

2021 Annual Publication of Assistance Listings

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of the President**

**Office of Management
and Budget**

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**U.S. General Services
Administration**

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INTRODUCTION

Annual Publication of Assistance Listings

The Annual Publication of Assistance Listings is a government-wide compendium of Federal programs, projects, services, and activities that provide assistance or benefits to the American public. It contains financial and nonfinancial assistance listings administered by departments and establishments of the Federal government.

In 1984, Public Law 98-169 authorized the transfer of responsibilities of the Federal Program Information Act from the Office of Management and Budget to the General Services Administration (GSA). The transfer took place in July 1984. These responsibilities include the dissemination of Federal domestic assistance information through the legacy Catalog of Financial Domestic Assistance, pursuant to the Federal Program Information Act, Public Law 95-220, as amended by Public Law 98-169. GSA now maintains the Federal assistance information database from which assistance information is obtained. The Office of Management and Budget serves as an intermediary agent between the Federal agencies and GSA, thus providing oversight to the necessary collection of Federal domestic assistance data. As the basic reference source of Federal assistance listings, the primary purpose of the Publication is to assist users in identifying listings that meet specific objectives of the potential applicant, and to obtain general information on Federal assistance listings. In addition, the intent of the Publication is to improve coordination and communication between the Federal government and State and local governments. In 2019, www.cfda.gov officially transitioned to www.sam.gov. As the new authoritative source for domestic assistance (including both this Publication and the site) and other federal awards, any reference to an "assistance program" will now be called an "assistance listing" or "listing".

The Publication provides the user with access to assistance listings administered by Federal departments and agencies in a single publication. Listing information is cross referenced by functional classification (Functional Index), subject (Subject Index), applicant (Applicant Index), deadline(s) for assistance listing application submission (Deadlines Index), and authorizing legislation (Authorization Index). These are valuable resource tools that, if used carefully, can make it easier to identify the specific areas of a listing's interest more efficiently.

Other sections of the Publication provide users with information on assistance listings added and deleted since the last edition of the Publication, a crosswalk of APAL numbers and title changes, regional or local assistance locations, intergovernmental review requirements, definitions of the types of assistance under which listings are administered, proposal writing, grant application procedures, and additional sources of information on Federal assistance listings and services. Assistance listings selected for inclusion in the Federal assistance database are defined as any function of a Federal agency that provides assistance or benefits for a State or States, territorial possession, county, city, other political subdivision, grouping, or instrumentality thereof; any domestic profit or nonprofit corporation, institution, or individual, other than an agency of the Federal government. A "Federal domestic assistance listing" may in practice be called an assistance listing, an activity, a service, a project, a process, or some other name, regardless of whether it is identified as a separate listing by statute or regulation. It will be identified in terms of its legal authority, administering office, funding, purpose, benefits, and beneficiaries.

"Assistance" or "benefits" refers to the transfer of money, property, services, or anything of value, the principal purpose of which is to accomplish a public purpose of support or stimulation authorized by Federal statute. Assistance includes, but is not limited to grants, loans, loan guarantees, scholarships, mortgage loans, insurance, and other types of financial assistance, including cooperative agreements; property, technical assistance, counseling, statistical, and other expert information; and service activities of regulatory agencies. It does not include the provision of conventional public information services.

For years, GSA has published a printed version of the Annual Publication of Assistance Listings (APAL or Publication), as required by legislation. That same legislation allowed GSA to distribute free copies of the printed Publication to designated recipients. In fiscal year 2003, nearly 10,000 paper copies of the Publication were distributed at no cost to the recipients.

Current legislation, however, authorizes GSA to determine in what form to prepare and publish the Publication. Consistent with the Administration's Electronic-

Government initiatives, the Government Paperwork Elimination Act, and a move to a paper free environment, GSA will now disseminate the Publication electronically through the APAL website on the Internet. As a result, effective immediately, GSA will no longer print and distribute free copies of the Publication. The Internet and GSA's free website <http://www.sam.gov> will be the primary means of disseminating the Publication. The [sam.gov](http://www.sam.gov) site will also contain a PDF file version of the Publication that, when printed by any user, will have the same layout as the printed document that the Government Printing Office (GPO) has provided.

GPO will continue printing and selling the APAL to interested buyers. For information about purchasing the Annual Publication of Assistance Listings from GPO, call the Superintendent of Documents at 202-512-1800 or toll free at 866-512-1800, or you may reach GPO's on-line bookstore at bookstore.gpo.gov.

Types Of Assistance

Currently, assistance listings in the Publication are being classified by GSA into 15 types of assistance. (Cooperative Agreements as a type of assistance is used for assistance listings administered under that mechanism. However, the definition does not appear in this section.) Benefits and services of the listings are provided through seven financial types of assistance and eight non-financial types of assistance. The following list defines the types of assistance that are available through the assistance listings. Code letters below (A through O) which identify the type of assistance) will follow assistance listing titles in the Agency Index, Applicant Eligibility Index, the Functional Index, Deadlines Index, and in the list of added listings.

- A. Formula Grants - Allocations of money to States or their subdivisions in accordance with distribution formulas prescribed by law or administrative regulation, for activities of a continuing nature not confined to a specific project.
- B. Project Grants - The funding, for fixed or known periods, of specific projects. Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants.
- C. Direct Payments for Specified Use - Financial assistance from the Federal government provided directly to individuals, private firms, and other private institutions to encourage or subsidize a particular activity by conditioning the receipt of the assistance on a particular performance by the recipient. This does not include solicited contracts for the procurement of goods and services for the Federal government.
- D. Direct Payments with Unrestricted Use - Financial assistance from the Federal government provided directly to beneficiaries who satisfy Federal eligibility requirements with no restrictions being imposed on the recipient as to how the money is spent. Included are payments under retirement, pension, and compensatory assistance listings.
- E. Direct Loans - Financial assistance provided through the lending of Federal monies for a specific period of time, with a reasonable expectation of repayment. Such loans may or may not require the payment of interest.
- F. Guaranteed/Insured Loans - Assistance listings in which the Federal government makes an arrangement to identify a lender against part or all of any defaults by those responsible for repayment of loans.
- G. Insurance - Financial assistance provided to assure reimbursement for losses sustained under specified conditions. Coverage may be provided directly by the Federal government or through private carriers and may or may not involve the payment of premiums.
- H. Sale, Exchange, or Donation of Property and Goods - Assistance listings which provide for the sale, exchange, or donation of Federal real property, personal property, commodities, and other goods including land, buildings, equipment, food and drugs. This does not include the loan of, use of, or access to Federal facilities or property.
- I. Use of Property, Facilities, and Equipment - Assistance listings which provide for the loan of, use of, or access to Federal facilities or property wherein the federally owned facilities or property do not remain in the possession of the

recipient of the assistance.

- J. Provision of Specialized Services - Assistance listings that provide Federal personnel directly to perform certain tasks for the benefit of communities or individuals. These services may be performed in conjunction with nonfederal personnel, but they involve more than consultation, advice, or counseling.
- K. Advisory Services and Counseling - Assistance listings which provide Federal specialists to consult, advise, or counsel communities or individuals to include conferences, workshops, or personal contacts. This may involve the use of published information, but only in a secondary capacity.
- L. Dissemination of Technical Information - Assistance listings that provide for the publication and distribution of information or data of a specialized or technical nature frequently through clearinghouses or libraries. This does not include conventional public information services designed for general public consumption.
- M. Training - Assistance listings that provide instructional activities conducted directly by a Federal agency for individuals not employed by the Federal government.
- N. Investigation of Complaints - Federal administrative agency activities that are initiated in response to requests, either formal or informal, to examine or investigate claims of violations of Federal statutes, policies, or procedure. The origination of such claims must come from outside the Federal government.
- O. Federal Employment - Assistance Listings that reflect the Government wide responsibilities of the Office of Personnel Management in the recruitment and hiring of Federal civilian agency personnel.

Contents of the Publication

The Publication contains Federal domestic assistance listings available to: State and local governments (including the District of Columbia and federally-recognized Indian tribal governments); Territories (and possessions) of the United States; domestic public, quasi-public, and private profit and nonprofit organizations and institutions; specialized groups; and individuals.

Listings in the Publication provide information on the:

- Federal agency administering a listing;
- Authorization upon which a listing is based;
- Objectives and goals of a listing;
- Types of financial and non-financial assistance offered under a listing;
- Uses and restrictions placed upon a listing; Eligibility requirements;
- Application and award process;
- Amount of obligations for the past, current, and future fiscal years;
- Regulations, guidelines and literature relevant to a assistance listing; Information contacts at the headquarters, regional, and local offices;
- Listings that are related based upon listing objectives and uses;
- Examples of funded projects;
- Criteria for selecting proposals; and
- Individual agency policies and Federal management policy directives pertaining to a listing.

Listings in the Publication provide a wide range of benefits and services, which have been grouped into 20 basic functional categories, and 176 subcategories that identify specific areas of interest. Listed below are the 20 basic categories in which all listings have been grouped by primary purpose.

- Agriculture
- Business and Commerce
- Community Development
- Consumer Protection
- Cultural Affairs
- Disaster Prevention and Relief
- Education Employment, Labor, and Training
- Energy
- Environmental Quality
- Food and Nutrition
- Health
- Housing
- Income Security and Social Services
- Information and Statistics
- Law, Justice, and Legal Services
- Natural Resources
- Regional Development

- Science and Technology
- Transportation

Assistance listings in the Publication also include service activities of regulatory agencies.

The Publication does not include:

- Solicited contracts administered under procurement laws and regulations for the purchase of goods and services for the Federal government;
- Foreign activities except as such listings have direct economic benefit in the domestic economy. (A listing that provides both domestic and foreign assistance will be included with the description of the listing oriented toward the domestic aspect.);
- Personnel recruitment listings of individual Federal departments which offer employment opportunities as part of normal recruiting operations, (the overall government-wide listings of the Office of Personnel Management are included);
- Benefits or assistance available only to current employees of the Federal government either civilian or military;
- New assistance listings proposed in the Budget for which appropriations have not been enacted; or
- Assistance listings that are no longer active due to expired authorization or appropriation.

Organization of the Publication

The Publication is divided into three basic sections -- the indices, the assistance listing descriptions, and the appendices.

INDICES --To locate specific listings of interest, determine eligibility, and to obtain information on the dates applications for assistance should be submitted to the funding agency, users may consult the Agency Assistance Listing Index, the Functional Index, or the Subject Index; the Applicant Eligibility Index, and the Index listing deadlines for assistance listing applications.

The Agency Index Summary provides a description of the functions and activities of Federal agencies responsible for administering assistance listings in the Publication. APAL numbers are also listed with the administering agency.

The Agency Assistance Listing Index lists all assistance listings in the Publication in numerical order by the five-digit APAL identification number, the assistance listing title, the Federal agency responsible for administering the listing, and whether the listing offers financial assistance, non-financial assistance, or a combination of both.

The Alphabetical Index of Listings catalogs all assistance listings by alphabetical order with their corresponding APAL number and assistance type.

The Functional Index Summary lists the basic functional categories and the subcategories that further identify specific areas of interest. Following the Summary is the Functional Index listing each APAL number and title under the appropriate basic category and subcategory.

The Subject Index provides a detailed record of assistance listings by various topics, popular name, general functional terms, categories of services, and selected beneficiaries, and is followed by the applicable APAL numbers.

The Applicant Eligibility Index is a listing in APAL number sequence, along with listing titles, indicating the applicants eligible to apply. The index lists assistance listings that may be applied for by:

- Any of the several States of the United States, the District of Columbia, or any agency or instrumentality of a State exclusive of State institutions of higher education and hospitals;

- Local governments which include a county, parish, municipality, city, town, township, village, State-designated Indian tribal government, local public authority, school district, special district, intrastate district, council of governments, sponsor group representative organizations, and other regional or interstate government entity, or any agency or instrumentality of a local government;
- U.S. Territories (and possessions) of the United States which include the Commonwealths of Puerto Rico and the Northern Mariana Islands, the Virgin Islands, Guam, Trust Territory of the Pacific Islands, and American Samoa;
- Federally-recognized Indian Tribal governments which include the governing body or a governmental agency of any Indian tribe, band, nation, or other organized group or community (including any Native village as defined in Section 3 of the Alaska Native Claims Settlement Act, 85 Stat. 688) certified by the Secretary of the Interior as eligible for the special listings and services provided through the Bureau of Indian Affairs;
- Nonprofit organizations and institutions which include quasi-public, public and private institutions of higher education and hospitals, Native American Indian Organizations, and other quasi-public and private nonprofit organizations such as, but not limited to, community action agencies (CAAs), Head Start agencies, research institutes, educational associations, and health centers. Excluded under this definition are government-owned contractor operated facilities or research centers providing support for mission-oriented, large scale listings that are government-owned or controlled, or are designed as federally-funded research and development centers; and
- Private individuals such as Native Americans, homeowners, students, farmers, artists, scientists, consumers, small business, refugees, aliens, veterans, senior citizens, low-income persons, health and education professionals, builders, contractors, developers, handicapped persons, the physically afflicted.

The Deadlines Index (for assistance listing applications)

is an index of APAL numbers and listing titles and the deadline date(s) by which funding agencies must receive applications. This information is also contained in the Deadlines Section of the assistance listing descriptions.

Users should also consult the following listings:

The Archived Listings index identifies assistance listings that have been archived since the previous edition of the Publication due to: expiration of budget authority; rescission of budget authority for the current fiscal year; assistance listing consolidation which has rendered the former CFDA number(s) obsolete; the replacement of a categorical grant program by a block grant program; the replacement of two or more categorical programs by a block grant program; the abolishment of an agency; or the criteria for including assistance listings in the Publication no longer valid being (e.g., a listing which no longer operates under Federal funding).

The Added Assistance Listings index identifies assistance listings that have been added since the previous edition of the Publication due to: the appropriation of new budget authority; the consolidation of two or more assistance listings creating a new listing; the splitting of elements from a former assistance listing creating two or more new assistance listings; the transformation of a single categorical grant program into a block program; or the consolidation of two or more categorical grant programs into a block program.

The Crosswalk of Changes to APAL Numbers and Listing Titles which catalogs assistance listings that have undergone a title change, or a APAL number change due to restructuring of listings, or reorganization of a Federal agency.

ASSISTANCE LISTING DESCRIPTIONS -- The center section of the printed Publication and the listings available on this web site contain descriptions of Federal assistance listings organized by APAL number in the same

numerical sequence as in the Agency Assistance Listing Index. Detailed information concerning listings is contained under the description headings of each Publication program. The following is an explanation of each listing description heading followed by examples of the type of information found under each heading.

NOTE: The assistance listing used in the example below does not exist. It is provided for the purpose of illustration only.

ASSISTANCE LISTING APAL NUMBER, TITLE, AND POPULAR NAME

-- Each listing in the Publication is preceded by a five-digit APAL identification number. The first two digits identify the Federal department or agency that administers the assistance listing, and the last three numbers are assigned in numerical sequence. Thus, APAL number 10.500 is administered by the Department of Agriculture, 11.500 by the Department of Commerce, 12.500 by the Department of Defense, 93.500 by the Department of Health and Human Services, and so on. (In the numerical sequence of APAL numbers, some numbers do not appear due to listing deletions or consolidations. To accommodate users' systems and records, the numbers are not reassigned to other listings but are reserved for the reinstated listings.) The assistance listing title is the descriptive name given to a listing. The popular name, which is less descriptive than the assistance listing title, is the name by which assistance listings are commonly known or most often used by applicants and agencies.

Example: 93.259 Mental Health -- Children's Services

FEDERAL AGENCY -- The Federal agency is the Federal department, agency, commission, council or instrumentality of the government, and the primary organizational sub-unit (the administering office) that has direct operational responsibility for managing an assistance listing.

Example: SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION, PUBLIC HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION -- This section lists the legal authority upon which an assistance listing is based (acts, amendments to acts, Public Law numbers, titles, sections, Statute Codes, citations to the U.S. Code, Executive Orders, Presidential Reorganization Plans, and Memoranda from an agency head).

Example: Community Mental Health Centers Act of 1975, Part A, Section 203(e), Public Law 94-63, 42 U.S.C. 2689.

OBJECTIVES -- This is a brief statement of specific objectives stated in terms of what the listing is intended to accomplish along with the goals toward which the listing is directed.

Example: To stimulate innovative approaches to children's mental health problems emphasizing prevention and coordination of community services; to expand training activities; and, to broaden resources for children's mental health services.

TYPES OF ASSISTANCE -- This section indicates the form in which the assistance is transmitted from the Federal government and is initially received for use or distribution by the applicant.

Example: Project Grants.

USES AND USE RESTRICTIONS -- This section describes the potential uses for the assistance provided to meet stated objectives, and the specific restrictions placed upon the use of funds. The section cites one or more applications depending upon the nature of a particular assistance listing. Since this section translates objectives into the uses of an assistance listing, users may develop a clearer understanding of the listing's objectives.

Example: Support for Continuation Grants only. assistance listing authorizes funds on a matching basis for initial staffing of facilities offering mental health services for children. Staffing grants may be used for a portion of the costs of professional and technical personnel to operate a facility for child mental health services; a higher percentage may be paid if an area has been designated a poverty area by the Secretary, HHS. The proposed assistance listing must provide consultation and coordinating services with other community agencies serving children in service area, and must include a plan with the means by which it will be evaluated.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility -- This section indicates who can apply to the Federal government for assistance and the criteria the potential applicant must satisfy. For example, individuals may be eligible for research grants, and the criteria to be satisfied may be that they have a professional or scientific degree, 3 years of research experience, and be a citizen of the United States. Universities, medical schools, hospitals, or State and local governments may also be eligible. Where State governments are eligible, the type of State agency will be indicated (State welfare agency or State agency on aging) and the criteria that they must satisfy.

Certain assistance listings in the Publication (e.g., the Pell Grant program which provides grants to students) involve intermediate levels of application processing, i.e., applications are transmitted through colleges or universities that are neither the direct applicant nor the ultimate beneficiary. For these listings, the criteria that the intermediaries must satisfy are also indicated, along with intermediaries who are not eligible.

Example: To be eligible for staffing grants, applicants must be part of, or affiliated with, a community mental health center, unless there is no center serving the community. Applicants may then be any public or private nonprofit agency providing or coordinating with assistance listings that will provide a full range of mental health services for children and their families residing in the service area.

Beneficiary Eligibility -- This section lists the ultimate beneficiaries of an assistance listing, the criteria they must satisfy and who specifically is not eligible. The applicant and beneficiary will generally be the same for assistance listings that provide assistance directly from a Federal agency. However, financial assistance that passes through State or local governments will have different applicants and beneficiaries since the assistance is transmitted to private sector beneficiaries who are not obligated to request or apply for the assistance.

Example: Children and their families in the service area as well as personnel of schools and other community agencies serving children.

Credentials/Documentation -- This is a brief description of the credentials or documentation required prior to, or along with, an application for assistance. The eligibility factors that must be proven, certified, or established are indicated in this section. This section also indicates whether OMB Circular No. A-87 requirements, "Cost Principles Applicable to Grants and Contracts with State and Local Governments," are applicable. In cases where specific Federal circulars or other regulatory requirements are not applicable to the assistance listing, disclaimer statements may be included referencing the requirement(s) from which the assistance listing is excluded, e.g., "This listing is excluded from coverage

under (applicable requirement)."

Example: Proof of nonprofit status is required of nonprofit organizations and institutions. This assistance listing is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination -- This section indicates whether any prior coordination or approval is required with governmental or non-governmental units prior to the submission of a formal application to the Federal funding agency. For example, listings may require: (1) State agency approval prior to the submission of an application to a Federal agency; (2) the submission of environmental impact information as required by the National Environmental Policy Act of 1969, and Executive Order 11514 of March 4, 1970; (3) coordination with the policies of the recently revised OMB Circular No. A-102, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" (referenced here for construction, land acquisition, and land development projects for which Federal funding exceeds \$100,000); (4) coverage for eligibility under Executive Order 12372, "Intergovernmental Review of Federal Programs"; or (5) a preapplication or preapplication conference. Applicants should also ascertain from the Federal agency the existence of other circular requirements not indicated by this section, and from the State, any State requirements that may be in effect. In cases where E.O. 12372 is not applicable to the listing, a disclaimer statement is included referencing the exclusion, e.g., "This listing is excluded from coverage under E.O. 12372."

Example: Preapplication consultation with the Substance Abuse and Mental Health Services Administration (SAMHSA) Branch of the HHS Regional Office is not mandatory. Application must be accompanied by evidence of approval and recommendation by the appropriate State agency or agencies. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A102, must be used for this assistance listing. This listing is excluded from coverage under E.O. 12372.

Application Procedure -- This section discusses the basic procedural steps required by the Federal agency in the application process, beginning with the lowest level (e.g., State and local government units, institutions or organizations) and ending eventually with the Federal government. Each assistance listing will indicate whether applications are to be submitted to the Federal headquarters, regional or local assistance location, or to a State or local government office. Numerous listings in the Publication require the standard application forms in OMB Circular No. A-102(Attachment M). Other applications may be in the form of a written request to the funding agency stating the need for assistance and requesting available services, or a formal proposal prepared in response to an announcement in the Federal Register or the Commerce Business Daily. Also indicated in this section is guidance concerning the applicability of OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." In cases where specific Federal circulars or other regulatory requirements are not applicable to the assistance listing, disclaimer statements may be included referencing the requirement(s) from which the assistance listing is excluded, e.g., "This assistance listing is excluded from coverage under (applicable requirement)."

Example: Continuation Application Form ADM-115 should be used for staffing. Instructions and consultation may be obtained from the mental health section of the appropriate HHS Regional Office. Applications are sent to the Regional Office with copies to Acting Director, Division of Mental Health Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane,

Rockville, MD 20857. This listing is subject to the provisions of OMB Circular No. A-110. This listing is excluded from coverage under OMB Circular No. A-102.

Award Procedure -- This section lists the basic procedural steps for awarding assistance, beginning with the organizational components of the Federal agency that has final approval authority for the application and ending with the lowest level at which Federal resources are expended. Also indicated is whether assistance passes through the initial applicant for further distribution by intermediate level applicants to groups or individuals in the private sector. Accepted applications are subject to evaluation by the headquarters, regional, local or district office to determine the feasibility of the proposed project to include consistency with Federal and individual agency policies concerning its scope and purpose. Grant payments may be made by a letter of credit, advance by Treasury check, or reimbursement by Treasury check. The headquarters office may make awards directly to the applicant, an agency field office, a regional office, or by an authorized county office.

Example: The Regional Health Administrator makes awards to approved applicants.

Deadlines -- When available, this section indicates the deadlines for applications to the funding agency that will be stated in terms of the date(s) or between what dates the application should be received. Reference is made to new applications, continuations, renewals, and supplementals. Application deadline information is also indicated in the Deadlines Index, in the agency's listing guidelines, or announced in the Federal Register. Where not available, applicants should contact the funding agency for deadline information.

Example: Staffing: Determined by award period (contact the Regional Office).

Range of Approval or Disapproval Time -- This section informs the applicant of the representative range of time required for the application to be processed (in terms of days or months) at the Federal level.

Example: From 90 to 120 days.

Appeals -- In some cases, there are no provisions for appeal. Where applicable, this section discusses appeal procedures or allowable rework time for resubmission of applications to be processed by the funding agency. Appeal procedures vary with individual assistance listings and are either listed in this section or applicants are referred to appeal procedures documented in the relevant Code of Federal Regulations (CFR).

Example: Not applicable. (An appeal was not applicable in this case. A related assistance listing (93.231) allows applicants to reapply if revised applications are submitted.)

Renewals -- This section advises the applicant as to whether renewals or extensions of applications are available and indicates the appropriate procedures to follow. In some instances, renewal procedures may be the same as for the application procedure, e.g., for projects of a non-continuing nature renewals will be treated as new, competing applications; for projects of an ongoing nature, renewals may be given annually.

Example: This assistance listing is renewed annually. Grantees are required to update their plan and submit a current year budget.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements -- This section indicates the formula and matching requirements prescribed in the allocation of funds or maintenance of effort requirements. A formula may be based on population, per capita income, and other statistical factors. Applicants are informed whether there are any matching requirements to be met when participating in the cost of a project. In general, the matching share represents that portion of the project costs not borne by the Federal government. Usually, a minimum percentage for matching share is prescribed by assistance listing legislation, and matching share requirements are included in the grant agreement. Attachment F of OMB Circular No. A-102 sets forth the criteria and procedures for the evaluation of matching share requirements which may be cash or in-kind contributions made by State and local governments or other agencies, institutions, private organizations, or individuals to satisfy matching requirements of Federal grants or loans.

Cash contributions represent the grantees' cash outlay, including the outlay of money contributed to the grantee by other public agencies, institutions, private organizations, or individuals. When authorized by Federal regulation, Federal funds received from other grants may be considered as the grantees' cash contribution.

In-kind contributions represent the value of non-cash contributions provided by the grantee, other public agencies and institutions, private organizations or individuals. In-kind contributions may consist of charges for real property and equipment, and value of goods and services directly benefiting and specifically identifiable to the grant program. When authorized by Federal legislation, property purchased with Federal funds may be considered as grantees' in-kind contribution.

Maintenance of effort (MOE) is a requirement contained in certain legislation, regulations, or administrative policies stating that a grantee must maintain a specified level of financial effort in a specific area in order to receive Federal grant funds, and that the Federal grant funds may be used only to supplement, not supplant, the level of grantee funds. assistance listings that have maintenance of effort requirements and have total allocations over \$100 million (current FY) should have the following statement in this section: This listing has maintenance of effort (MOE) requirements; see funding agency for further details.

Example: Staffing: Federal funds on a decreasing percentage basis over a period of 8 years 90 percent - 1st and 2nd years; 80 percent - 3rd year; 75 percent - 4th and 5th years; 70 percent - 6th, 7th and 8th years. Non-poverty areas are entitled to: 80 percent - 1st and 2nd years; 75 percent -3rd year; 60 percent -4th year; 45 percent - 5th year; 30 percent - 6th, 7th and 8th years.

Length and Time Phasing of Assistance -- This section indicates the time period during which the assistance is normally available, whether there are any restrictions placed on the time permitted to use the funds awarded, and the timing of disbursement of the assistance, e.g., lump sum, annually, quarterly, or as required.

Example: Staffing grants are limited to 8 years by law. Payments are made on a Monthly Cash Request System or under a Letter of Credit.

POST ASSISTANCE REQUIREMENTS:

Reports -- This section indicates whether assistance listing reports, expenditure reports, cash reports or performance monitoring is required by the Federal funding agency, and specifies at what time intervals (monthly, annually, etc.) this must be accomplished.

Example: Interim progress reports must be submitted annually as part of anon-competing application; reports of expenditures are due annually. Immediate reporting of any inventions is required.

Audits -- This section discusses audits required by the Federal agency. The procedures and requirements for State and local governments and nonprofit entities are set forth in OMB Circular No. A-133. These requirements pertain to awards

made within the respective State's fiscal year -- not the Federal fiscal year, as some State and local governments may use the calendar year or other variation of time span designated as the fiscal year period, rather than that commonly known as the Federal fiscal year (from October 1st through September 30th).

Example: In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have an audit made for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records -- This section indicates the record retention requirements and the type of records the Federal agency may require. Not included are the normally imposed requirements of the General Accounting Office. For assistance listings falling under the purview of OMB Circular No. A-102, record retention is set forth in Attachment C. For other listings, record retention is governed by the funding agency's requirements.

Example: Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

FINANCIAL INFORMATION:

Account Identification -- This 11-digit budget account identification code represents the account that funds a particular listing. This code should be consistent with the code given for the assistance listing area as specified in Appendix II of the Budget of the United States Government. (See Appendix II for further information on the meaning of the 11 digits of this code.)

Example: 75-1361-0-1-550.

Obligations -- The dollar amounts listed in this section represent obligations for the past fiscal year (PY), estimates for the current fiscal year (CY), and estimates for the budget fiscal year (BY) as reported by the Federal agencies. In each succeeding edition of the Publication, the dollar amounts are revised to reflect changes that may result from supplemental appropriations or amendments. Each assistance listing indicates what the obligation figures represent in terms of the type of assistance provided. Obligations for nonfinancial assistance listings indicate the administrative expenses involved in the operation of an assistance listing as an indication of the magnitude of the services being provided, or the items involved in obligations. Introduction and How to Use the Publication VI July 2019

Example: (Grants) PY \$19,853,000; CY est. \$20,407,000; and BY est. \$14,830,000.

Range and Average of Financial Assistance -- This section lists the representative range (smallest to largest) of the amount of financial assistance available. These figures are based upon funds awarded in the past fiscal year and the current fiscal year to date. Also indicated is an approximate average amount of awards that were made in the past and current fiscal years.

Example: Formula: \$26,355 to \$691,481; \$235,305.

ACCOMPLISHMENTS -- This section briefly describes the accomplishments of an assistance listing using quantitative data, focusing on assistance listing output, results achieved, or services rendered during the past fiscal year, the current fiscal year, and projections for the coming fiscal year.

Example: In the past fiscal year, 140 applications were received, and 140 staffing

awards were issued. Approximately 147 continuation grants were funded during the current fiscal year and 103 are estimated to be funded in the budget fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE -- This section lists the title, number, and price of guidelines, handbooks, manuals, and other officially published information pertinent to an assistance listing. Since assistance listing regulations are published first in the Federal Register (FR) and later in the Code of Federal Regulations (CFR), citations to the CFR are listed.

Example: Interim guidelines available in application kits.

INFORMATION CONTACTS:

Regional or Local Assistance Location -- This section lists the agency contact person, address and telephone number of the Federal Regional or local assistance location to be contacted for detailed information regarding a assistance listing such as: (0) current availability of funds and the likelihood of receiving assistance within a given period; (2) preapplication and application forms required; (3) whether a preapplication conference is recommended; (4) assistance available in preparation of applications; (5) whether funding decisions are made at the headquarters, regional or local level; (6) application renewal procedures (including continuations and supplementals) or appeal procedures for rejected applications; and (7) recently published assistance listing guidelines and material.

However, for most listings in the Publication, this section will instruct the reader to consult Appendix IV of the Publication (Agency Regional and Local Office Addresses) due to the volume of Regional and Local Office Contacts for most agencies. For those agencies with fewer contacts, the actual information will be provided in this section.

Example: Substance Abuse and Mental Health Services Administration (SAMSHA) Branch of the appropriate HHS Regional Office (see Appendix IV of the Publication for listing).

(Appendix IV Listing) Region 0
(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
William Farrow
John F. Kennedy Federal Building
Government Center
Boston, MA 02203
(607) 123-4567
(Use same number for FTS)

Headquarters Office -- This section lists names and addresses of the office at the headquarters level with direct operational responsibility for managing an assistance listing. A telephone number is provided in cases where a Regional or Local Assistance Location is not normally able to answer detailed inquiries concerning an assistance listing. Also listed are the name(s) and telephone number(s) of the information contact person(s) who can provide additional assistance listing information to applicants.

Example: Dr. Steven Sharfstein, Acting Director, Division of Mental Health Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 123-4567. Use same number for FTS.

RELATED LISTINGS -- This section of the assistance listing description details all listings in the Publication that are closely related based on objectives and assistance listing uses. Applicants should also refer to these assistance listings, as they may provide additional assistance in a related area of interest.

Example: 93.232, Maternal and Child Health Services; 93.233, Maternal and Child Health Training; 93.242, Mental Health Research Grants; 93.295, Community

Mental Health Centers-Comprehensive Support; 93.630, Developmental Disabilities-Basic Support.

EXAMPLES OF FUNDED PROJECTS -- This section indicates the different types of projects which have been funded in the past. Only projects funded under Project Grants or Direct Payments for Specified Use should be listed here. The examples give potential applicants an idea of the types of projects that may be accepted for funding. The agency should list at least five examples of the most recently funded projects.

Example: Awards are made only for staffing of facilities offering mental health services for children.

CRITERIA FOR SELECTING PROPOSALS -- This section indicates the criteria used by the Federal grantor agency to evaluate proposals in order to inform potential applicants of the application review process and the criteria used to award funds for projects.

Example: The criteria for selecting proposals are based upon the extent the project will contribute to needed services and training, capability of applicant to provide services and training, more effective utilization of personnel providing mental health services, and development of new methods or information.

APPENDICES --The last section of the Publication contains the following appendices: Listings Requiring Executive Order 12372 Review (Appendix I); Budget Functional Code Appendix (Appendix II); Sources of Additional Information Agency Regional and Local Office Addresses (Appendix III); Agency Regional and Local Office Addresses (Appendix IV); and in the December update to the Publication, Historical Profile of Publication Listings (Appendix V).

Appendix I -- Listings Requiring Executive Order 12372

Review: This Appendix gives a brief description of Executive Order 12372. The description explains its purpose, identifies a listing of all Publication program numbers and titles to which it applies, the general procedures to follow in applying for assistance, and the State Single Point of Contact List to which the States may refer for application coordination purposes.

Appendix II -- Budget Functional Code Appendix: This appendix catalogs listings by the Budget functional classification. The three digits listed are the major and minor functional classifications used to identify the major purpose of the listing.

Appendix III -- Sources of Additional Information

Information pertaining to Federal programs is available from Federal Information Centers and Federal Executive Boards as listed in this Appendix. Also listed are other government sources of information, including the 24 U.S. locations for the Government Printing Office Bookstores that sell the Publication and other Federal publications.

Appendix IV -- Agency Regional and Local Assistance

Location Addresses: This appendix lists the names, addresses and telephone numbers of the regional and local assistance location that should be contacted for detailed information concerning a program and for initiating the process for applying for assistance. In cases where a Federal agency does not have a regional or local assistance location, the headquarters office listed in the program description should be contacted.

Appendix V -- Historical Profile of Publication Listings:

This appendix catalogs all listings that have been published in the Annual Publication of Assistance Listings beginning with the 1965 edition, and the subsequent action taken related to those listings. It can be found on the Assistance Listings Web Site: <http://www.sam.gov>

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AGENCY INDEX SUMMARY

The following is a summary description of the functions and activities of federal agencies responsible for administering assistance listings in the Publication. The APAL numbers are also listed next to the administering agency.

AGENCY FOR INTERNATIONAL DEVELOPMENT

(98.001-98.999)

To promote transformational development in less-developed and selected middle-income countries. Transformational development brings far-reaching, fundamental changes in governance and institutional capacity, human capacity, and economic structure. Such development helps a county sustain further economic and social progress without depending on foreign aid. The goal of achieving transformational development pertains to stable developing countries which have significant need for concessional assistance and are committed to promoting economic freedom, ruling justly, and investing in people.

AGRICULTURE, DEPARTMENT OF (10.001-10.999)

The United States Department of Agriculture (USDA) was created in 1862 under President Abraham Lincoln who declared it to be "The People's Department". USDA's 29 agencies serve the American people at more than 4,500 locations across the country and abroad. Its mission is to provide leadership on agriculture, food, natural resources, rural infrastructure, nutrition, and related issues through fact-based, data-driven, and customer-focused decisions. USDA's vision is to provide economic opportunity through innovation, promote agriculture production to feed Americans and others throughout the world, and preserve our Nation's natural resources through conservation, restored forests, improved watersheds, and healthy private working lands.

AGRICULTURAL MARKETING SERVICE (10.153, 10.155-10.156, 10.162-10.165, 10.167-10.168, 10.170, 10.172-10.181)

Administers standardization, grading, voluntary inspection, market news, marketing orders, regulatory, and related programs.

AGRICULTURAL RESEARCH SERVICE (10.001, 10.700)

Conducts research to develop new knowledge and technology to ensure an abundance of high quality agricultural commodities and products at reasonable prices to meet the increasing needs of an expanding economy and to provide for the continued improvement in the standard of living of all Americans. It conducts basic, applied, and developmental research on animal and plant production use and improvement of soil, water, and air processing, storage, distribution, food safety, consumer services and human nutrition research, and food and agriculture sciences. The National Agricultural Library of ARS disseminates useful information about agricultural and other related sciences to scientists and researchers, administrators and managers, farmers, and to the general public provides library services, such as bibliographies, reference services and document delivery.

ANIMAL AND PLANT HEALTH INSPECTION SERVICE (10.025, 10.028, 10.030)

Conducts regulatory and control programs to protect and improve animal and plant health for the benefit of man and the environment and to control or reduce damage caused by nuisance mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases, except for urban rodent control.

ECONOMIC RESEARCH SERVICE (10.250, 10.253, 10.255)

The Economic Research Service produces economic and other social science information as a service to the general public and to help Congress and the administration develop, administer, and evaluate agricultural and rural policies and programs.

FARM SERVICE AGENCY (10.051, 10.053-10.054, 10.056, 10.069, 10.087, 10.089, 10.098-10.099, 10.102, 10.108-10.114, 10.116-10.117, 10.120, 10.123, 10.127-10.133, 10.136-10.138, 10.145, 10.147, 10.171, 10.404, 10.406-10.407, 10.421, 10.435, 10.449, 10.451)

Administers domestic commodity price and income support, farm loan, disaster assistance, and conservation cost-share programs for the Department of

Agriculture.

FOOD AND NUTRITION SERVICE (10.528-10.529, 10.531-10.537, 10.539-10.543, 10.545, 10.547, 10.551, 10.553, 10.555-10.561, 10.565-10.569, 10.572, 10.574-10.580, 10.582, 10.585, 10.587, 10.592-10.594, 10.596-10.597, 10.649)

The Food and Nutrition Service (FNS) administers the USDA food assistance programs. These programs, which serve one in six Americans, represent our Nation's commitment to the principle that no one in this country should fear hunger or experience want. They provide a Federal safety net to people in need. The goals of the programs are to provide needy persons with access to a more nutritious diet, to improve the eating habits of the Nation's children, and to help America's farmers by providing an outlet for distributing foods purchased under farmer assistance authorities. The Service works in partnership with the States in all its programs. State and local agencies determine most administrative details regarding distribution of food benefits and eligibility of participants and FNS provides commodities and funding for additional food and to cover administrative costs.

FOOD SAFETY AND INSPECTION SERVICE (10.475, 10.477, 10.479)

Assures that meat, poultry and egg products moving in interstate and foreign commerce are safe, wholesome, unadulterated and accurately labeled, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. The Meat, Poultry, and Egg Products Inspection Programs provide continuous in plant inspection of all domestic plants preparing meat, poultry, and egg products for sale or distribution in commerce. In addition, these acts include the review of inspection systems in foreign establishments that prepare meat, poultry, or egg products for export to the United States under inspection programs that are equivalent to the U.S. program. FSIS provides technical and financial assistance to States which maintain meat and poultry inspection programs equal to Federal inspection. FSIS also enters into Cooperative Agreements with Academic institutions; State, local and tribal government agencies; and non-profit organizations to improve Food Safety and Food Defense.

FOREIGN AGRICULTURAL SERVICE (10.600-10.601, 10.603-10.606, 10.608, 10.610, 10.612-10.613, 10.615-10.620, 10.777, 10.960-10.962)

The export promotion and service agency for the U.S. Department of Agriculture. Stimulates overseas markets for U.S. agricultural products. FAS fulfills its role as the promotional agency for the world's largest agricultural export business through its network of agricultural counselors, attaches, and trade officers stationed overseas and its backup team of analysts, marketing specialists, negotiators, and related specialists. FAS maintains a worldwide agricultural intelligence and reporting system through its attached service. FAS also has a continuing market development program to develop, service, and expand commercial export markets for U.S. agricultural products. By virtue of the Agricultural Trade Act of 1978, FAS received authority to open at least 6 and not more than 25 agricultural trade offices overseas to develop, maintain and expand international markets for U.S. agricultural commodities. Ten of these offices have been established and are located in such key markets as West Germany, Saudi Arabia, Singapore, and Mexico City. To improve access for U.S. farm products abroad, FAS international trade policy specialists coordinate and direct USDA's responsibilities in international trade agreement programs and negotiations. FAS also manages the Public Law 480 Program, Titles I and III (Food for Peace Program), and the Commodity Credit Corporation (CCC) Export Credit Guarantee Programs. Public Law 480 is aimed at long-range improvement in the economies of developing countries. Commercial programs promote commercial agricultural exports by providing credit guarantees to exporters which are used to obtain additional U.S. exports. Title I, the concession sales section of Public Law 480, provides for low-interest, long-term credit to recipients of U.S. farm commodities. The Title II program authorizes the donation of agricultural commodities to foreign governments, voluntary relief agencies, or intergovernmental organizations. Title

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III permits multiyear programming and forgiveness of dollar payments, provided the recipient country undertakes specific agricultural and economic development projects for commodities delivered under Title I agreements. FAS focuses on sharing knowledge of agriculture through development assistance and cooperation with other countries. Primary activities are providing technical assistance and training in agriculture to other countries, particularly the developing world; working with international food and agricultural organizations to solve world food problems; and sponsoring scientific exchanges and research that will help farmers both at home and abroad.

FOREST SERVICE (10.652, 10.664-10.666, 10.674-10.676, 10.678-10.684, 10.689-10.691, 10.693-10.694, 10.697-10.699, 10.701, 10.703-10.705, 10.707-10.708, 10.711-10.712)

Cares for the land and serves people. Promotes the sustainability of ecosystems by ensuring their health, diversity, and productivity, which is coupled with this service ethic: work collaboratively and use appropriate scientific information in caring for the land and serving people. Provides financial, technical and scientific assistance to outside organizations in order to achieve these goals when authorized by statute. These land and service ethics are applied by the Forest Service through ecosystem management. Ecosystem management is the integration of ecological, economic, and social factors in order to maintain and enhance the quality of the environment to meet current and future needs. The four strategic goals of the Forest Service are to: (1) protect ecosystems, (2) restore deteriorated ecosystems, (3) provide multiple benefits for people within the capabilities of ecosystems, and (4) ensure organizational effectiveness. The Forest Service Natural Resource Agenda identifies four key areas of national focus. They are: watershed, health and restoration; sustainable forest ecosystem management; forest roads management; and recreation enhancement. Implementation of the agenda will help bring people together and help them find ways to live within the limits of the land. This in turn will ensure that future generations will forever be endowed with the rich natural bounty of our Nation.

NATIONAL AGRICULTURAL STATISTICS SERVICE (10.950-10.951)

Agricultural estimates involve collecting, analyzing, and publishing agricultural production and marketing data, including: number of farms and acreage in farms; crop acreage, yields, production, stocks, value, and utilization; inventories and production of livestock, poultry, eggs, and dairy products; prices received by farmers for products, prices paid for commodities and services for living and production, and related indexes; farm employment and wage rates; cold storage supplies; agricultural chemical use; aquaculture; and other relevant aspects of the agricultural economy. Estimates for about 120 crops and 45 livestock items are published in about 400 Federal and 9,000 State-Federal reports each year. Beginning in 1997, NASS is responsible for the Census of Agriculture, previously conducted by the Bureau of the Census, Commerce Department. The Census of Agriculture is taken every 5 years and provides comprehensive data down to the county level on all aspects of the agricultural economy for the U.S., as well as selected data for American Samoa, Puerto Rico, Guam, Virgin Islands, and the Northern Mariana Islands. All information is made available to the news media and the public at scheduled release times and is available for free on the Internet. Statistical research and service is directed toward improving crop and livestock estimating techniques. Considerable emphasis is placed on improving survey sample designs as well as testing new forecasting and estimating techniques, such as using satellite data.

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE (10.200, 10.202-10.203, 10.205-10.207, 10.210, 10.212, 10.215-10.217, 10.219-10.223, 10.225-10.233, 10.303-10.304, 10.306-10.313, 10.318-10.320, 10.322, 10.326, 10.328-10.334, 10.336, 10.500, 10.511-10.525, 10.527)

The National Institute of Food and Agriculture (NIFA) is an agency within the U.S. Department of Agriculture (USDA), part of the executive branch of the Federal Government. Congress created NIFA through the Food, Conservation, and Energy Act of 2008. NIFA replaced the former Cooperative State Research, Education, and Extension Service (CSREES), which had been in existence since 1994. NIFA's unique mission is to advance knowledge for agriculture, the environment, human health and well-being, and communities by supporting research, education, and

extension programs in the Land-Grant University System and other partner organizations. NIFA doesn't perform actual research, education, and extension but rather helps fund it at the state and local level and provides program leadership in these areas.

NATURAL RESOURCES CONSERVATION SERVICE (10.072, 10.093, 10.902-10.905, 10.907, 10.912-10.914, 10.916-10.917, 10.920-10.929, 10.931-10.935)

Develops and carries out a national soil and water conservation program in cooperation with landowners, operators and other land users and developers, community planning agencies and regional resource groups, Federal, State, and local government agencies; also assists in agricultural pollution control, environmental improvement, and rural community development. Preserves, protects, and restores valued wetlands, and improves wildlife and migratory bird habitat. Supports the objectives of the Nation's commitment to the 1973 International Boundary and Water Commission Agreement concerning the quality of water in the Colorado River delivered downstream to users in the United States and the Republic of Mexico. Conserves water; preserves, maintains, and improves migratory waterfowl habitat and other wildlife resources. Encourages good forestry management through the development, management, and protection of non-industrial private forest lands, to increase the production of timber and enhance other forest resources.

RISK MANAGEMENT AGENCY (10.450, 10.460)

The Risk Management Agency (RMA) is part of USDA. RMA's role is to help producers manage their business risks through effective, market-based risk management solutions. RMA's mission is to promote, support, and regulate sound risk management solutions to preserve and strengthen the economic stability of America's agricultural producers. As part of this mission, RMA operates and manages the Federal Crop Insurance Corporation (FCIC). RMA was created in 1996; the FCIC was founded in 1938. RMA, via the FCIC, provides crop insurance to American producers. Private-sector insurance companies sell and service the policies. RMA develops and/or approves the premium rate, administers premium and expense subsidy, approves and supports products, and reinsures the insurance companies. RMA through the formation of partnerships with public and private organizations fund projects that: (A) Create new products, seek enhancements in existing products, and expand the use of non-insurance risk management tools that will be utilized by agricultural producers to assist them in mitigating the risks inherent in agricultural production. Risk management tools include a variety of risk management options and strategies developed to assist producers in mitigating the risks inherent in agricultural production. Risk management tools may include financial management tools to mitigate price and production risks; tools to enhance measurement and prediction of risks in order to facilitate risk diversification; tools to improve production management, harvesting, record keeping or marketing. (B) Provide women, limited resource, socially disadvantaged, and other traditionally underserved producers of Priority Commodities with risk management training, informational opportunities, and assistance necessary to understand: (1) The kind of risks addressed by existing and emerging risk management tools; (2) The features and appropriate use of existing and emerging risk management tools; and (3) How to make sound risk management decisions. (C) Deliver crop insurance education and information to U.S. agricultural producers in certain States that have been designated as historically underserved with respect to crop insurance. The states, collectively referred to as Targeted States, are Connecticut, Delaware, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming. (D) Deliver risk management education and information in the management of production, marketing, and financial risk to U.S. agricultural producers. The program gives priority to educating producers of crops currently not insured under Federal crop insurance, specialty crops, and underserved commodities, including livestock and forage.

RURAL BUSINESS COOPERATIVE SERVICE (10.350-10.352, 10.377, 10.379, 10.754-10.755, 10.767-10.768, 10.771, 10.782, 10.854, 10.865, 10.867-10.868, 10.870-10.872, 10.874, 10.890)

The mission of the Rural Business-Cooperative Service is to enhance the quality of life for all rural Americans by providing leadership in building competitive businesses and sustainable cooperatives that can prosper in the global marketplace.

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USDA, OFFICE OF THE CHIEF ECONOMIST (10.290-10.291)

The Office of the Chief Economist (OCE) advises the Secretary on the economic implications of policies and programs affecting the U.S. food and fiber system and rural areas as well as coordinates, reviews, and approves the Department's commodity and farm sector forecasts. OCE staff also coordinates USDA's Agricultural Outlook Forum, which has been hosted annually since 1923.

USDA, Office of Partnerships and Public Engagement (10.443, 10.464)

USDA, OFFICE OF ADVOCACY AND OUTREACH

APPALACHIAN REGIONAL COMMISSION

The Appalachian Regional Commission is a Federal-State governmental agency concerned with the economic, physical, and social development of the 13-State Appalachian region, which includes parts of Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Virginia, and all of West Virginia. The comprehensive goals of the Commission are to provide the people of Appalachia with the health and skills they need to compete for opportunities and to develop a self-sustaining economy and environment capable of supporting a population with rising incomes and standards of living and increasing employment opportunities. To accomplish this task, the Commission has concentrated on areas of development in which there remain great needs throughout the region: community development and housing, education, the environment, health and child development, industrial development and management, tourism, and transportation.

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD (88.001-88.999)

Ensures compliance to Federal laws requiring accessibility for physically handicapped persons in certain federally funded buildings and facilities throughout the Nation. This includes setting guidelines and requirements for accessibility standards prescribed by Federal agencies, providing technical assistance to organizations agencies and individuals requesting help in solving accessible design and construction problems, and conducting research to determine appropriate specifications for accessibility.

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FUND (85.200-85.299)

Established in 1983 to honor former Senator Barry Goldwater through the operation of an education scholarship program, financed by a permanent trust fund endowment, designed to encourage outstanding students to pursue careers in mathematics, the natural sciences, and engineering.

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION (85.100-85.199)

Established by Congress in 1992 to award fellowships to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind.

COMMERCE, DEPARTMENT OF (11.001-11.999)

The Department of Commerce encourages, serves, and promotes the Nation's international trade, economic growth, and technological advancement. The Department provides a wide variety of programs through the competitive free enterprise system. It offers assistance and information to increase America's competitiveness in the world economy; administers programs to prevent unfair foreign trade competition; provides social and economic statistics and analyses for business and government planners; provides research and support for the increased use of scientific, engineering, and technological development; works to improve our understanding and benefits of the Earth's physical environment and oceanic resources; grants patents and registers trademarks; develops policies and conducts research on telecommunications; provides assistance to promote domestic economic development; and assists in the growth of minority businesses.

ECONOMIC DEVELOPMENT ADMINISTRATION (11.020, 11.023-11.024, 11.300, 11.302-11.303, 11.307, 11.312-11.313)

The EDA's mission is to lead the federal economic development agenda by

promoting innovation and competitiveness, preparing American regions for growth and success in the worldwide economy.

INTERNATIONAL TRADE ADMINISTRATION (11.112)

Promotes world trade and strengthens the international trade and investment position of the United States.

MINORITY BUSINESS DEVELOPMENT AGENCY (11.802, 11.804-11.805)

Assists minority businesses in achieving effective and equitable participation in the American free enterprise system and in overcoming social and economic disadvantages that have limited their participation in the past. The agency provides national policies and leadership in forming and strengthening a partnership of business, industry, and government with the Nation's minority businesses. Management and technical assistance is provided to minority firms on request, primarily through a network of local business development organizations funded by the Agency.

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (11.013, 11.601, 11.603-11.604, 11.606, 11.609-11.612, 11.616, 11.619-11.620)

The NIST mission is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life. Since 1901, NIST, a non-regulatory agency, has been supplying the measurements and tools—from standardized high-precision gage blocks needed to manufacture interchangeable parts to the world's most accurate atomic clock—to help U.S. industry compete successfully through innovation. Over the last few decades, NIST has been assigned important new roles and responsibilities, including awarding competitive grants to foster development of promising, high-risk technologies; diffusing advanced technologies and business practices to smaller manufacturers; and promoting quality management methods in key sectors. Old and new, all NIST programs support the nation's agility, innovation, and competitiveness. NIST Laboratory Program - For more than 100 years, NIST has maintained the national standards of measurement, a role that the U.S. Constitution assigns to the Federal Government. Today, the NIST Laboratories address increasingly complex measurement challenges. For example, NIST develops measurements focusing on the very small (e.g., nanotechnology devices) and the very large (e.g., skyscrapers), the physical—methods for characterizing strands of DNA for forensic testing and the virtual—methods for testing electronic health record systems, and tests the performance of walk-through metal detectors. The NIST Laboratories work at the frontiers of measurement science to ensure that the U.S. system of measurements is firmly grounded on a sound scientific and technical foundation. NIST promotes the use of measurements based on the international system of units (SI). The measurement science research at NIST is useful to all science and engineering disciplines. The NIST Laboratories work to assure that the U.S. realization of the basic and derived measurement units is consistent with the realization in other nations. NIST Laboratories engage in a number of international activities to support trade and global science, and to promote the international acceptance of U.S. measurement standards. The NIST Laboratories provide industry and academia with unique user facilities that support innovation in materials science, nanotechnology, and other emerging technology areas through the NIST Center for Neutron Research, which provides world class neutron measurement capabilities to the U.S. research community, and the NIST Center for Nanoscale Science and Technology, which supports nanotechnology development from discovery to production. The NIST Laboratories also support the development of standards and specifications that define technical and performance requirements for goods and services. These standards—also known as documentary standards—are often developed collaboratively with the private sector through an open, consensus-based process. NIST scientists and engineers lend their expertise to these efforts in order to promote standards that are based on sound science, and to ensure that the standards are supported by effective measurements and testing methods for conformity. In addition, NIST is designated under the National Technology Transfer Advancement Act (NTTAA) as the coordinator for all Federal agencies using documentary standards that are developed by private-sector consensus bodies to carry out their policy objectives. Innovation and Industry Services Hollings Manufacturing Extension Partnership - Through partnerships between Federal and

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state governments and non-profit organizations, NIST's Hollings Manufacturing Extension Partnership (MEP) provides technical and business assistance to smaller manufacturers through a nationwide network in all 50 states and Puerto Rico. Field agents and programs are helping manufacturers understand, adopt, and apply new technologies and business practices, as well as reap the benefits through increased productivity, better performance, cost savings, waste reduction, and creation and retention of manufacturing jobs. MEP acts as a strategic advisor to promote business growth and innovation and to connect manufacturers to public and private resources essential for increased profitability and competitiveness in the global marketplace. Technology Innovation Program (TIP) - The TIP statute originated in the America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Sciences (COMPETES) Act of 2007 (P.L. 110-69). The COMPETES Act was recently reauthorized as the America COMPETES Reauthorization Act of 2010 (P.L. 111-358). TIP was established to help U.S. businesses, institutions of higher education, and other organizations—such as national laboratories and nonprofit research institutes—to support, promote, and accelerate innovation in the United States through high-risk, high-reward research in areas of critical national need. The final FY 2012 appropriations did not provide funding for TIP. The program is currently implementing an orderly close down of the program. Baldrige Performance Excellence Program (BPEP) - NIST's Baldrige Performance Excellence Program (BPEP) provides global leadership in the learning and sharing of successful strategies and performance practices, principles, and methodologies to strengthen U.S. organizations. The program promotes organizational excellence through education, outreach, and an annual Presidential awards program. The Baldrige Award is given to organizations in six categories: manufacturing, service, small business, health care, education, and nonprofit. BPEP works closely with these organizations to recognize and disseminate proven best practices for management and operation, leading to organizations that are more strategic, innovative, competitive, and effective. BPEP also takes advantage of more than 20 years worth of learning from Baldrige Award recipients to identify strategies and practices that are most likely to strengthen the performance and competitiveness of U.S. industry and other organizations.

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (11.008, 11.011-11.012, 11.015, 11.017, 11.021-11.022, 11.400, 11.405, 11.407-11.408, 11.413, 11.415, 11.417, 11.419-11.420, 11.426-11.427, 11.429, 11.431-11.441, 11.451-11.452, 11.454-11.455, 11.457, 11.459-11.460, 11.462-11.463, 11.467-11.469, 11.472-11.474, 11.477-11.478, 11.480-11.483, 11.999)

Reports the weather of the U.S. and its possessions and provides weather forecasts to the general public, issues warnings against such destructive natural events as hurricanes, tornadoes, floods, and tsunamis and provides special services in support of aviation, marine activities, agriculture, forestry, urban air-quality control, and other weather-sensitive activities; monitors and reports all nonfederal weather modification activities conducted in the U.S.; conducts an integrated program of management, research, and services related to the protection and rational use of living marine mammals; prepares and issues nautical and aeronautical charts, provides the Nation's precise geodetic surveys, and conducts broad research programs in marine and atmospheric sciences, solar-terrestrial physics, and experimental meteorology, including weather modifications; predicts tides, currents, and the state of the oceans, conducts research and development aimed at providing alternatives to ocean dumping; provides Federal leadership in promoting wise and balanced management of the Nation's coastal zone; provides satellite observations of the environment by establishing and operating a national environmental satellite system; conducts integrated program of research and services relating to the oceans and inland waters, the lower and upper atmosphere, space environment, and the earth; acquires, stores, and disseminates worldwide environmental data through a system of meteorological, oceanographic, geodetic, and seismological data centers; develops a system of data buoys for automatically obtaining and disseminating marine environmental data; promotes the development of technology to meet future needs of the marine community.

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION (11.028-11.029, 11.031, 11.549, 11.553, 11.557)

Exercises significant responsibility in the areas of communication security, privacy protection, and the application of public service satellites, public

telecommunications facilities planning and construction; formulates policies to support the development, growth and regulation of telecommunications, information, and related industries; furthers the efficient development and use of telecommunications and information services; provides policy and management for the use of electromagnetic spectrum; provides telecommunications facilities grants to public service users.

US CENSUS BUREAU (11.016)

US CENSUS BUREAU

COMMISSION ON CIVIL RIGHTS (29.001-29.999)

COMMISSION ON CIVIL RIGHTS

COMMODITY FUTURES TRADING COMMISSION (78.001-78.999)

Promotes healthy economic growth, protects the rights of customers, and ensures fairness and integrity in the marketplace through regulation of futures trading. To this end it also engages in the analysis of economic issues affected by or affecting futures trading.

CONSUMER PRODUCT SAFETY COMMISSION (87.001-87.050)

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 112-28, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act. The CPSC has jurisdiction over thousands of types of consumer products used in and around the home, in recreation, and in schools, from children's toys to portable gas generators and toasters. Although the CPSC's regulatory purview is quite broad, a number of product categories fall outside the CPSC's jurisdiction.

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE (94.001-94.999)

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE (94.002-94.003, 94.006, 94.008-94.009, 94.011-94.014, 94.016-94.017, 94.019-94.021, 94.026)

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

DELTA REGIONAL AUTHORITY (90.200-90.299)

To assist the eight-state, 240-county Mississippi Delta region in obtaining the transportation and basic public infrastructure, skills training, and opportunities for economic development essential to strong local economies. The DRA was created as a Federal-State partnership. DRA will focus on: basic public infrastructure in distressed counties and isolated area of distress; transportation infrastructure facilitating the economic development of the region; business development; and job training or employment-related education.

DENALI COMMISSION (90.100-90.199)

Created by Congress in 1998, the Denali Commission is the Federal government and the State of Alaska working together to assist the most remote of American citizens to help themselves move closer to economic self-sufficiency, while preserving ancient cultural values and new opportunities to people living in America's last frontier. With the creation of the Denali Commission, Congress acknowledged the need for increased inter-agency cooperation and focus on these remote communities. This Federal-State partnership is intended to promote rural development, provide power generation and transmission facilities, modern communication systems, water and sewer systems and other infrastructure needs.

DEPT OF DEFENSE (12.001-12.999)

Principal advisor to the Under Secretary of Defense (Policy) and the Secretary of

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Defense on national security strategy and defense strategy and in the requirements, forces and contingency plans necessary to implement those strategies. Civil functions of the Department of the Army include the Civil Works Program, the administration of Arlington and Soldiers' Home National Cemeteries, and other related matters. The Army's Civil Works Program, a responsibility of the Corps of Engineers is the Nation's major Federal water resources development activity and involves engineering works such as major dams, reservoirs, levees, harbors, waterways, locks, and many other types of structures. These works provide flood protection for cities and major river valleys, reduce the cost of transportation, supply water for municipal and industrial use, generate hydroelectric power, provide recreational opportunities for vast numbers of people, regulate the rivers for many purposes including the improvement of water quality and the enhancement of fish and wildlife, protect the shores of the oceans and lakes, and provide still other types of benefits. Planning assistance is also provided to States and other nonfederal entities for the comprehensive management of water resources, including pollution abatement works. In addition, through the Civil Works Program the Federal government protects the navigable waters of the United States under legislation empowering the Secretary of the Army to prohibit activities which would reduce the value of such waters to the Nation. Includes two lead offices: the Office of Naval Research and the Office of Naval Technology. The Chief of Naval Research is responsible for the Department of Navy Research (6.1) and Exploratory Development (6.2) Programs. The Chief of Naval Research is responsible to the Secretary of the Navy through the Assistant Secretary (Research, Engineering and Systems) and is a principal adviser to the latter. The Chief of Naval Research is also responsible for providing leadership, management, and direction to the Department of Navy research and exploratory development programs and other research, development, technology, and equipment programs assigned to and conducted by the Office of the Chief of Naval Research; developing and formulating viable and responsive naval research and technology requirements based on current and projected Navy and Marine Corps long-range objectives and considerations of national security; and coordinating naval research and promoting cooperative research efforts within the Department of the Navy with other elements of the Department of Defense, National Science Foundation, National Aeronautics and Space Administration, Department of Energy, and other government research groups. The Office of Naval Research also conducts research in conjunction with the research and development conducted by other Department of Navy activities, coordinates the Naval Basic Research Program, and conducts a contract management program with educational institutions in support of all Federal agencies. The Office of Naval Technology, established in October 1980, manages the Department of Navy's Exploratory Development (6.2) Program, assessing, planning, programming, budgeting, directing, and monitoring the program, and manages the oversight activities in regard to the Industrial Independent Research and Development Program. The Secretary's responsibilities include matters pertaining to organization, training, logistical support, maintenance, welfare of personnel, administrative, recruiting, research and development, and other activities prescribed by the President or the Secretary of Defense. The principal assistant to the Secretary is the Under Secretary, who acts with the full authority of the Secretary on all affairs of the Department. The Air Force Materiel Command researches, develops, tests, acquires, delivers, and logistically supports every Air Force weapons system. It has responsibilities from inception of a weapons system through its operational life and final disposition. The Air Force Materiel Command operates major product centers, logistics centers, test centers, and laboratories. It was created in July 1992 through the integration of the Air Force Logistics Command and the Air Force Systems Command. The Defense Advanced Research Projects Agency is a separately organized agency within the Department of Defense under a Director appointed by the Secretary of Defense. The Agency engages in advanced, basic, and applied research and development projects essential to the Department of Defense, and conducts prototype projects that embody technology that may be incorporated into joint programs, programs in support of deployed U.S. forces, or selected Military Department programs and, on request, assists the Military Departments in their prototype efforts. In this regard, the Agency arranges, manages, and directs the performance of work connected with assigned advanced projects by the Military Departments, other government agencies, individuals, private business entities, as appropriate; recommends through the Under Secretary of Defense for Acquisition to the Secretary of Defense assignment of advanced projects to the Agency; keeps the Under Secretary, the Organization of Joint Chiefs of Staff, the Military Departments, and other Department of Defense agencies informed on significant

new developments and technological advances within assigned projects; and performs other such functions as the Secretary of Defense or the Under Secretary may assign.

Office of the Secretary of Defense (12.599, 12.630-12.632)

The Secretary's responsibilities include matters pertaining to organization, training, logistical support, maintenance, welfare of personnel, administrations, recruiting, research and development, and other activities prescribed by the President or the Secretary of Defense. The principal assistant to the Secretary is the Under Secretary, who acts with the full authority of the Secretary on all affairs of the Department.

UNIFORMED SERVICES UNIVERSITY OF THE HEALTH SCIENCES (USUHS) (12.750)

UNIFORMED SERVICES UNIVERSITY OF THE HEALTH SCIENCES (USUHS)

EDUCATION, DEPARTMENT OF (84.001-84.999)

Administers programs designed to fund activities that assist students with limited English proficiency and minority languages populations by providing support for programs, activities, and management initiatives meeting the special educational needs of those populations. Provides national leadership in expanding fundamental knowledge and improving education. The Office is responsible for conducting and supporting education-related research activities; monitoring the state of education through the collection and analysis of statistical data; promoting the use and application of research and development to improve instructional practices in the classroom; and disseminating these findings to States and local education entities. The Assistant Secretary for Elementary and Secondary Education formulates policy for, directs, and coordinates the activities relating to preschool, elementary, and secondary education. Included are programs of grants to State educational agencies and local school districts, postsecondary schools, and nonprofit organizations for State and local reform, compensatory, migrant, and Indian education; drug-free schools; other school improvement programs; and impact aid. The Assistant Secretary for Postsecondary Education formulates policy, directs, and coordinates programs for assistance to postsecondary educational institutions and students pursuing a postsecondary education. Programs include assistance for the improvement and expansion of American educational resources for international studies and services, grants to improve instruction in crucial academic subjects, and construction assistance for academic facilities. The Office is headed by the Department's Chief Operating Officer and is the Federal Government's first performance based organization. The Office directs and coordinates activities that provide financial assistance through grants and work and loan programs to students pursuing a postsecondary education. Federal student financial aid programs include Stafford Loans, Parent Loans for Undergraduate Students (PLUS), Supplemental Loans to Students (SLS), Federal Insured Student Loans (FISL), consolidated loans, Perkins Loans, income contingent loans, Pell Grants, College Work-Study, Supplemental Educational Opportunity Grants (SEOG), and State Student Incentives Grants (SSIG). The Office provides leadership and direction for the Department in meeting its goals of excellence in credit management and debt collection through the collection of defaulted loans under the Guaranteed Student Loan Program and the Law Enforcement Education Program, and the collection of overpayments in the Pell Grant Program and Supplemental Educational Opportunities Program. The Assistant Secretary for Vocational and Adult Education administers programs of grants, contracts, and technical assistance for vocational and technical education, and for adult education and literacy. The Office is also responsible for coordinating these programs with other Education Department and Federal programs supporting services and research for adult education, literacy, and occupational training. The Secretary of Education advises the President on education plans, policies, and programs of the Federal government. The Secretary directs Department staff in carrying out the approved programs and activities of the Department and promotes general public understanding of the Department's goals, programs, and objectives. The Secretary also carries out certain Federal responsibilities for four federally aided corporations: The American Printing House of the Blind, Gallaudet University, Howard University, and the National Technical Institute for the Deaf. Provides leadership to ensure that people with disabilities have services, resources, and equal opportunities to learn, work, and live as fully integrated, contributing members of society. The Office coordinates the activities of the Office of Special

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Education Programs, which works to help States provide quality educational opportunities and early intervention services to help students with disabilities achieve their goals. Through the Rehabilitation Services Administration, the Office supports State vocational rehabilitation programs that give disabled people the education, job training, and job placement services they need to gain meaningful employment. Programs include support for training of teachers and other professional personnel; grants for research; financial aid to help States initiate, expand, and improve their resources; and media services and captioned films for the deaf. Each regional office serves as a center for the dissemination of information and provides technical assistance to State and local educational agencies and other institutions and individuals interested in Federal education activities. At present, offices are located in 10 cities: Atlanta, Boston, Chicago, Dallas, Denver, Kansas City, New York, Philadelphia, San Francisco, and Seattle.

INSTITUTE OF EDUCATION SCIENCES (84.305, 84.324, 84.329, 84.372)

The Education Sciences Reform Act of 2002 established a new organization within the U.S. Department of Education, the Institute of Education Sciences. Our mission is to provide rigorous evidence on which to ground education practice and policy. By identifying what works, what doesn't, and why, we intend to improve the outcomes of education for all students, particularly those at risk of failure. The Institute funds hundreds of research studies on ways to improve academic achievement, conducts large-scale evaluations of federal education programs and reports a wide array of statistics on the condition of education such as the National Assessment of Educational Progress. We support the building of an infrastructure for evidence-based education through activities such as grants to states to develop longitudinal data systems. We disseminate evidence on education to the public and practitioners through the What Works Clearinghouse and the ERIC education database, conferences, publications and products and 10 regional education laboratories and 10 national research and development centers.

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION (84.004, 84.010-84.011, 84.013, 84.040-84.041, 84.060, 84.141, 84.144, 84.149, 84.165, 84.184, 84.196, 84.206, 84.215, 84.282-84.283, 84.287, 84.295, 84.299, 84.310, 84.336, 84.351, 84.354, 84.356, 84.358, 84.362, 84.365, 84.367-84.371, 84.374, 84.403, 84.411, 84.415, 84.423)

The Assistant Secretary for Elementary and Secondary Education formulates policy for, directs, and coordinates the activities relating to preschool, elementary, and secondary education. Included are programs of grants to State educational agencies and local school districts, postsecondary schools, and nonprofit organizations for State and local reform, compensatory, migrant, and Indian education; drug-free schools; other school improvement programs; and impact aid.

OFFICE OF FEDERAL STUDENT AID (84.007, 84.033, 84.063, 84.268, 84.379, 84.408)

The Office is headed by the Department's Chief Operating Officer and is the Federal Government's first performance based organization. The Office directs and coordinates activities that provide financial assistance through grants and work and loan programs to students pursuing a postsecondary education. Federal student financial aid programs include Stafford Loans, Parent Loans for Undergraduate Students (PLUS), Supplemental Loans to Students (SLS), Federal Insured Student Loans (FISL), consolidated loans, Perkins Loans, income contingent loans, Pell Grants, College Work-Study, Supplemental Educational Opportunity Grants (SEOG), and State Student Incentives Grants (SSIG). The Office provides leadership and direction for the Department in meeting its goals of excellence in credit management and debt collection through the collection of defaulted loans under the Guaranteed Student Loan Program and the Law Enforcement Education Program, and the collection of overpayments in the Pell Grant Program and Supplemental Educational Opportunities Program.

OFFICE OF HUMAN RESOURCES AND ADMINISTRATION (84.145)

OFFICE OF HUMAN RESOURCES AND ADMINISTRATION

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES (84.027, 84.126, 84.129, 84.160-84.161, 84.173,

84.177, 84.181, 84.187, 84.235, 84.240, 84.246, 84.250, 84.263-84.264, 84.315, 84.323, 84.325-84.328, 84.373, 84.380)

Provides leadership to ensure that people with disabilities have services, resources, and equal opportunities to learn, work, and live as fully integrated, contributing members of society. The Office coordinates the activities of the Office of Special Education Programs, which works to help States provide quality educational opportunities and early intervention services to help students with disabilities achieve their goals. Through the Rehabilitation Services Administration, the Office supports State vocational rehabilitation programs that give disabled people the education, job training, and job placement services they need to gain meaningful employment. Programs include support for training of teachers and other professional personnel; grants for research; financial aid to help States initiate, expand, and improve their resources; and media services and captioned films for the deaf.

Office of Career, Technical, and Adult Education (84.002, 84.048, 84.051, 84.101, 84.191, 84.245, 84.259)

The Assistant Secretary for Career, Technical, and Adult Education administers programs of grants, contracts, and technical assistance for vocational and technical education, and for adult education and literacy. The Office is also responsible for coordinating these programs with other Education Department and Federal programs supporting services and research for adult education, literacy, and occupational training.

ELECTION ASSISTANCE COMMISSION (90.400-90.499)

ELECTION ASSISTANCE COMMISSION

ENERGY, DEPARTMENT OF (81.001-81.999)

DOE is major government enterprise. If included among the Nation's Fortune 500 firms, it would rank in the top 50. Its \$16.5 billion appropriation comprises close to 3 percent of total Federal discretionary spending DOE Funds the largest environmental cleanup in history, and research and development that supports the Nation's defense and its energy and economic security. DOE employs over 11,000 Federal employees and about 108,000 contract employees. DOE owns and manages over 50 major installations located on 2.4 million acres in 35 States and is the fourth largest Federal landowner in the United States. DOE is an energy policy, supply, and technology enterprise. It invests in developing a secure, clean, and sustainable energy system. It helps the Nation meet its environmental challenges by administering the largest pollution prevention and efficiency program in the world, with partners from every sector of the economy. It enhances the Nation's energy security by increasing the diversity of energy, and fuel choices and sources; bringing renewable energy sources into the market, strengthening domestic production of oil and gas, maintaining the U.S. nuclear energy option, and increasing the efficiency with which we use energy and generate electricity. The Department also maintains the Strategic Petroleum Reserve and operates five Power Marketing Administrations that sell and distribute over \$3 billion of electric power generated at Federal hydroelectric plants. DOE is a national security enterprise. It is a key player in the Administration's furtherance of the Comprehensive Test Ban Treaty and its overall goal of reducing the global danger from nuclear weapons. It ensures the safety and reliability of the U.S. nuclear weapons stockpile without underground testing. At the same time, it manages and safely dismantles excess nuclear materials, and ensures the security of vital departmental nuclear assets. It provides policy and technical assistance to curb global proliferation of weapons of mass destruction, emphasizing U.S. nonproliferation, arms control, and nuclear safety objectives in the states of the former Soviet union and worldwide. Further, it develops and ensures the safety and reliability of nuclear reactor plants to power U.S. Navy warships. DOE is an environmental remediation enterprise. It cleans up the 50-year environmental legacy left at the industrial complexes where nuclear weapons were designed and manufactured. It manages the problems associated with the large quantities of various types of radioactive wastes, surplus nuclear materials, and spent nuclear fuels that remain at the sites of the Nation's nuclear weapons facilities and at nuclear energy research and development sites. In addition, it must address the growing inventory of spent nuclear fuel from commercial nuclear reactors that is awaiting disposal. These wastes must be dealt with responsibly to ensure the safety and health of the public. DOE is a science and technology enterprise. At the center of all we do are our 27 laboratories, our additional scientific user facilities, and our

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researchers at the Nation's universities. These form the backbone of U.S. scientific leadership by conducting and facilitating breakthrough research in energy sciences and technology, high energy physics, global climate change, genomics, superconducting materials, accelerator technologies, environmental sciences, and super-computing in support of DOE's mission. The laboratories, described as the crown jewels of the Nation's science establishment, and the Department's funding of research at universities have resulted in 66 Nobel prize winners, including three in 1996. The Department is also an investor in the Nation's most precious resource - its youth - by supporting science and mathematics education in our schools through grants, educational programs, and fellowships. DOE is global enterprise. The outcome of our work is the technology that stimulates the private market for the expansion of clean energy to meet national and global energy requirements of almost 500 quadrillion Btu's by the year 2010 - a staggering 36 percent increase over 1995. Overseas energy market needs include coal, nuclear power, oil and gas exploration, energy efficiency, and renewable energy technologies that are available for export now or that will soon be available for the international marketplace. DOE supports the export of U.S. energy services and technologies by assisting the nations in Asia, South America, Eastern Europe, an Africa, and the states of the former Soviet Union in developing private markets for environmentally responsible, sustainable energy. These alliances support U.S. competitiveness in a global economy of growing energy infrastructure requirements and create jobs in the U.S. at all skill levels.

ENERGY, DEPARTMENT OF (81.008, 81.010)

ENERGY, DEPARTMENT OF

ENVIRONMENTAL PROTECTION AGENCY (66.001-66.999)

The mission of EPA is to protect human health and the environment. EPA's purpose is to ensure that: all Americans are protected from significant risks to human health and the environment where they live, learn and work; national efforts to reduce environmental risk are based on the best available scientific information; federal laws protecting human health and the environment are enforced fairly and effectively; environmental protection is an integral consideration in U.S. policies concerning natural resources, human health, economic growth, energy, transportation, agriculture, industry, and international trade, and these factors are similarly considered in establishing environmental policy; all parts of society -- communities, individuals, businesses, and state, local and tribal governments -- have access to accurate information sufficient to effectively participate in managing human health and environmental risks; environmental protection contributes to making our communities and ecosystems diverse, sustainable and economically productive; and the United States plays a leadership role in working with other nations to protect the global environment.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION (30.001-30.999)

Eliminates discrimination based on race, color, religion, sex, national origin, age or disability in hiring, promotion, firing, wages, testing, training, apprenticeship, and all other conditions of employment. The Commission also promotes voluntary action programs by employers, unions, and community organizations to make equal employment opportunity an actuality. EEOC also has oversight responsibility for all compliance and enforcement activities relating to equal employment opportunity among Federal employees and applicants, including discrimination against individuals with disabilities.

EXECUTIVE OFFICE OF THE PRESIDENT (95.001-95.999)

EXECUTIVE OFFICE OF THE PRESIDENT

EXPORT-IMPORT BANK OF THE U.S. (31.001-31.999)

The Export-Import Bank of the United States (Ex-Im Bank) is the official export credit agency of the United States. Ex-Im Bank's mission is to assist in financing the export of U.S. goods and services to international markets. Ex-Im Bank enables U.S. companies — large and small — to turn export opportunities into real sales that help to maintain and create U.S. jobs and contribute to a stronger national economy. Ex-Im Bank does not compete with private sector lenders but provides export financing products that fill gaps in trade financing. We assume credit and

country risks that the private sector is unable or unwilling to accept. We also help to level the playing field for U.S. exporters by matching the financing that other governments provide to their exporters. Ex-Im Bank provides working capital guarantees (pre-export financing); export credit insurance; and loan guarantees and direct loans (buyer financing). No transaction is too large or too small. On average, 85% of our transactions directly benefit U.S. small businesses. With more than 70 years of experience, Ex-Im Bank has supported more than \$400 billion of U.S. exports, primarily to developing markets worldwide.

FEDERAL COMMUNICATIONS COMMISSION (32.001-32.999)

Regulates interstate and foreign communications by radio, television, wire, satellite, and cable. It is responsible for the orderly development and operation of broadcast services and the provision of rapid, efficient nationwide and worldwide telephone and telegraph services at reasonable rates. Its responsibilities also include the use of communications for promoting safety of life and property and for strengthening the national defense.

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE (38.001-38.050)

The ASC's Mission Statement: To provide federal oversight of State appraiser and appraisal management company (AMC) regulatory programs and a monitoring framework for the Appraisal Foundation and the Federal Financial Institutions Regulatory Agencies in their roles to protect federal financial and public policy interests in real estate appraisals utilized in federally related transactions.

FEDERAL MARITIME COMMISSION (33.001-33.999)

Regulates the waterborne foreign and domestic offshore commerce of the United States, assures that United States international trade is open to all nations on fair and equitable terms, and protects against unauthorized, concerted activity in the waterborne commerce of the United States. This is accomplished through maintaining surveillance over steamship conferences and common carriers by water; assuring that only the rates on file with the Commission are charged; conducting analysis and appropriate disposition of agreements between persons subject to the Shipping Act of 1984 and the Shipping Act, 1916; guaranteeing equal treatment to shippers, carriers, and other persons subject to the shipping statutes; and ensuring that adequate levels of financial responsibility are maintained for indemnification of passengers.

FEDERAL MEDIATION AND CONCILIATION SERVICE (34.001-34.999)

Assists labor and management in resolving disputes bargaining contract negotiation through voluntary mediation and arbitration services; provides training to unions and management in cooperative processes to improve long-term relationship under the Labor-Management Cooperation Act of 1978, including Federal sector partnership training authorized by Executive Order 12871; provides alternative dispute resolution services and training to government agencies, including the facilitation of regulatory negotiations under Administrative Dispute Resolution and Negotiated Rulemaking Acts of 1990; awards competitive grants to joint labor-management committees to encourage innovative approaches to cooperative efforts.

FEDERAL TRADE COMMISSION (36.001-36.999)

Maintains competitive enterprise as the keystone of the American economic system. Although the duties of the Commission are many and varied, the foundation of public policy underlying all these duties is essentially the same: to prevent the free enterprise system from being fettered by monopoly or restraints on trade or corrupted by unfair or deceptive trade practices. In brief, the Commission is charged with keeping competition both free and fair.

FEDERAL EMERGENCY MANAGEMENT ADMINISTRATION (83.001-83.999)

FEMA is the focal point within the Federal Government for emergency planning, preparedness, mitigation, response, and recovery. The Agency works closely with State and local governments by funding emergency programs and providing technical guidance and training. These coordinated activities at the Federal, State,

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and local levels ensure a broad-based emergency program to protect public safety and property. FEMA was established in the executive branch as an independent agency pursuant to Reorganization Plan No. 3 of 1978 (5 U.S.C. app.) and Executive Orders 12127 of March 31, 1979 (Federal Emergency Management Agency), and 12148 of July 20, 1979 (Federal Emergency Management).

GENERAL SERVICES ADMINISTRATION (39.001-39.999)

Establishes policy and provides for the Government an economical and efficient system for the management of its property including construction and operation of buildings, procurement and distribution of supplies, utilization and disposal of property, transportation, and travel management, and management of Government-wide information technology solutions and network services. GSA is organized much like a large corporation doing business in a number of different fields. It consists of operating services and supporting staff offices, with functions carried out at three levels of organization: The Central office, regional offices, and field activities. (See Appendix IV of the Catalog for a listing of these offices.) Various publications and catalogs published by GSA include: 1) The Catalog of Federal Domestic Assistance published jointly by GSA and the Office of Management and Budget; and 2) the Consumer Information Catalog which lists selected Federal publications of interest to consumers. GSA also administers the Federal Information Centers (FCIC) which are focal points for information about the Federal government's services, programs, and regulations.

GOVERNMENT PRINTING OFFICE

Executes orders for printing and binding placed by Congress and the departments and establishments of the Federal government. It furnishes blank paper, inks, and similar supplies to all governmental activities on order. It prepares catalogs and distributes and sells Government publications. GPO invites bids from commercial suppliers on a wide variety of printing and binding services, awards and administers contracts, and maintains liaison between ordering agencies and contractors. GPO sells through mail orders and Government bookstores approximately 10,000 publications that originate in various Government agencies, and administers the depository library program through which selected Government publications are made available in libraries throughout the country.

GULF COAST ECOSYSTEM RESTORATION COUNCIL (87.051-87.100)

The Council was created by the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (RESTORE) Act of 2012, Sec. 1603(t)(2). The Council is charged with helping to restore the ecosystem and economy of the Gulf Coast region by developing and overseeing implementation of a Comprehensive Plan and carrying out other responsibilities. The Council is directed by statute to expend funds made available from the Trust Fund to undertake projects and programs, using the best available science, that would restore and protect the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast.

HARRY S TRUMAN SCHOLARSHIP FOUNDATION (85.001-85.099)

Awards college scholarships of up to \$5 thousand annually for 4 years to persons who demonstrate outstanding potential for and who are preparing to pursue a career in public service.

HEALTH AND HUMAN SERVICES, DEPARTMENT OF (93.001-93.999)

The Secretary of HHS advises the President on health, welfare, and income security plans, policies, and programs of the Federal government. The Secretary administers these functions through the Office of the Secretary and the Department's 11 operating divisions, including a budget of \$460 billion and a workforce of 65,000 employees. Supports cross-cutting research on health care systems, health care quality and cost issues, and effectiveness of medical treatments. Provides a system of health surveillance to monitor and prevent the outbreak of diseases. With the assistance of States and other partners, CDC guards against international disease transmission, maintains national health statistics and provides for immunization services, and supports research into disease and injury prevention. Supports a network of 37 hospitals, 60 health centers, 3 school health centers, 46 health stations and 34 urban Indian health centers to provide services to

nearly 1.5 million American Indians and Alaska Natives of 557 federally recognized tribes. Administers the Medicare and Medicaid programs, that provide health care to America's aged and indigenous populations. About one in every four Americans, including nearly 18 million children and nursing homes for low-income elderly persons are covered. CMS also administers the new Children's Health Insurance Program through approved State plans that cover more than 2.2 million children.

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY (93.225-93.226)

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY

CENTERS FOR DISEASE CONTROL AND PREVENTION (93.061-93.062, 93.064-93.070, 93.073-93.074, 93.079-93.080, 93.082-93.084, 93.097-93.099, 93.116, 93.118, 93.135-93.136, 93.161, 93.184-93.185, 93.197, 93.240, 93.261-93.262, 93.268-93.270, 93.276, 93.283, 93.304-93.305, 93.312, 93.314-93.319, 93.322-93.323, 93.326, 93.328, 93.334, 93.336, 93.339, 93.348, 93.354, 93.366, 93.376-93.377, 93.380, 93.387, 93.391, 93.421-93.422, 93.424, 93.426-93.427, 93.430-93.431, 93.435-93.437, 93.439, 93.446, 93.456, 93.465, 93.478-93.479, 93.488, 93.494-93.495, 93.521, 93.524, 93.533-93.534, 93.606, 93.649, 93.684, 93.733, 93.735, 93.738, 93.745, 93.753, 93.755, 93.759, 93.762, 93.765, 93.772, 93.792, 93.795, 93.800, 93.809-93.810, 93.814, 93.816, 93.818, 93.832-93.834, 93.845, 93.850, 93.852, 93.858, 93.860-93.861, 93.874, 93.898, 93.919, 93.939-93.947, 93.967, 93.977-93.978, 93.980-93.981, 93.991, 93.998)

Provides a system of health surveillance to monitor and prevent the outbreak of diseases. With the assistance of States and other partners, CDC guards against international disease transmission, maintains national health statistics and provides for immunization services, and supports research into disease and injury prevention.

CENTERS FOR MEDICARE AND MEDICAID SERVICES (93.332, 93.341, 93.378, 93.381, 93.413, 93.423, 93.525, 93.545, 93.621, 93.624, 93.634, 93.638-93.640, 93.650, 93.664, 93.687, 93.767, 93.770, 93.773-93.775, 93.777-93.779, 93.791, 93.796, 93.829, 93.881, 93.968, 93.986)

CENTERS FOR MEDICARE AND MEDICAID SERVICES

FOOD AND DRUG ADMINISTRATION (93.103, 93.367, 93.876)

Assures the safety of foods and cosmetics, and the safety and efficacy of pharmaceuticals, biological products, and medical devices.

HEALTH RESOURCES AND SERVICES ADMINISTRATION (93.011, 93.059, 93.107, 93.110, 93.117, 93.124, 93.127, 93.129-93.130, 93.134, 93.145, 93.153, 93.155, 93.157, 93.162, 93.165, 93.178, 93.186, 93.191, 93.211, 93.223-93.224, 93.236, 93.241, 93.247, 93.250-93.251, 93.253, 93.255, 93.257, 93.264, 93.266, 93.288, 93.300-93.301, 93.303, 93.342, 93.359, 93.364-93.365, 93.461, 93.498, 93.501, 93.504, 93.516, 93.526-93.528, 93.530, 93.547, 93.680, 93.686, 93.697, 93.732, 93.822, 93.870, 93.877, 93.884, 93.908, 93.912-93.914, 93.917-93.918, 93.923-93.926, 93.928, 93.932, 93.965, 93.969, 93.976, 93.994)

Helps provide health resources for medically underserved populations. HRSA Supports a nationwide network of 643 community and migrant health centers, and 144 primary care programs for the homeless and residents of public housing, serving 8.1 million Americans each year. HRSA also works to build the health care workforce and maintains the National Health Service Corps overseas, the Nation's organ transplantation system, works to decrease infant mortality and improve child health, and provides services to people with AIDS through the Ryan White CARE Act programs.

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES (93.008, 93.019, 93.078, 93.081, 93.089,

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93.239, 93.345-93.347, 93.355, 93.360, 93.691, 93.801, 93.817, 93.825-93.826, 93.831, 93.835, 93.851, 93.878, 93.882-93.883, 93.889, 93.893, 93.983)

The Department of Health and Human Services (HHS) is the United States government's principal agency for protecting the health of all Americans and providing essential human services, especially for those who are least able to help themselves. The work of HHS is conducted by the Office of the Secretary and 11 agencies. The agencies perform a wide variety of tasks and services, including research, public health, food and drug safety, grants and other funding, health insurance, and many others. The subdivisions of the Office of the Secretary provide direct support for the Secretary's initiatives.

INDIAN HEALTH SERVICE (93.123, 93.164, 93.193, 93.210, 93.228, 93.231, 93.237, 93.284, 93.382, 93.441, 93.444, 93.653-93.654, 93.933, 93.970-93.972)

Supports a network of 37 hospitals, 60 health centers, 3 school health centers, 46 health stations and 34 urban Indian health centers to provide services to nearly 1.5 million American Indians and Alaska Natives of 557 federally recognized tribes.

NATIONAL INSTITUTES OF HEALTH (93.077, 93.113, 93.121, 93.140, 93.142-93.143, 93.172-93.173, 93.187, 93.209, 93.213, 93.220, 93.232-93.233, 93.242, 93.273, 93.279-93.280, 93.285-93.286, 93.307-93.308, 93.310, 93.313, 93.321, 93.350-93.353, 93.361, 93.368, 93.370, 93.372, 93.393-93.399, 93.662-93.663, 93.702, 93.837-93.840, 93.846-93.847, 93.853, 93.855, 93.859, 93.865-93.867, 93.879, 93.936, 93.989)

Works with 17 separate institutes, serves as the world's premier medical research organization, supporting some 35,000 research projects nationwide in diseases like cancer, Alzheimer's, diabetes, arthritis, heart ailments and AIDS.

OFFICE OF ASSISTANT SECRETARY FOR HEALTH (93.007, 93.085, 93.088, 93.137, 93.217, 93.260, 93.297, 93.311, 93.343-93.344, 93.500, 93.910, 93.974, 93.990)

OFFICE OF ASSISTANT SECRETARY FOR HEALTH

OFFICE OF THE ASSISTANT SECRETARY FOR ADMINISTRATION (ASA) (93.291)

OFFICE OF THE ASSISTANT SECRETARY FOR ADMINISTRATION (ASA)

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION (93.104, 93.243, 93.665, 93.696, 93.788, 93.799, 93.958-93.959, 93.982, 93.997)

Works to improve the quality and availability of substance abuse prevention, addiction treatment, and mental health services.

HOMELAND SECURITY, DEPARTMENT OF (97.001-97.999)

On January 23, 2002, the Department of Homeland Security (DHS) was created through the Homeland Security Act of 2002. The Act provides grants award authority for DHS to award grants, cooperative agreements and other assistance to non-Federal entities in order to assist them with homeland security and disaster preparedness, security, migration and recovery measures. The primary mission of the Department is to prevent terrorist attacks within the United States; reduce the vulnerability of the United States to terrorism; minimize damage, and assist in the recovery from terrorist attacks that do occur within the United States; carry out all the functions of entities transferred to the Department, including by acting as focal point regarding natural and manmade crises and emergency planning; ensure that the function of the agencies and subdivisions within the Department that are not related directly to securing the homeland are not diminished or neglected except by a specific explicit Act of Congress; and monitor connection between illegal drug trafficking and terrorism, coordinate efforts to serve such connections, and otherwise contribute to efforts to interdict illegal drug trafficking.

Countering Weapons of Mass Destruction (97.077, 97.091, 97.106, 97.130)

Cybersecurity and Infrastructure Security Agency (97.120, 97.123, 97.127-97.128)

FEDERAL EMERGENCY MANAGEMENT AGENCY (97.005, 97.007-97.008, 97.018, 97.022-97.030, 97.032-97.034, 97.036, 97.039-97.048, 97.050, 97.052, 97.055-97.057, 97.067, 97.070, 97.075, 97.082-97.083, 97.088-97.089, 97.092, 97.107, 97.110-97.111, 97.131-97.134)

FEDERAL EMERGENCY MANAGEMENT AGENCY

U.S. CITIZENSHIP AND IMMIGRATION SERVICES (97.010)

U.S. CITIZENSHIP AND IMMIGRATION SERVICES

U.S. COAST GUARD (97.012)

U.S. COAST GUARD

U.S. IMMIGRATION AND CUSTOMS ENFORCEMENT (97.076)

U.S. IMMIGRATION AND CUSTOMS ENFORCEMENT

HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF (14.001-14.999)

The Assistant Secretary for Housing-Federal Housing Commissioner directs the Department's housing functions. He or she oversees aid for the construction and financing of new and rehabilitated housing and the preservation of existing housing. The Assistant Secretary is responsible for: Underwriting single family, multifamily, property improvement, and manufactured home loans; administering special purpose programs designed specifically for the elderly, the handicapped, and the chronically mentally ill; administering assisted housing programs for low-income families who are experiencing difficulties affording standard housing; administering grants to fund resident ownership of multifamily housing properties; protecting consumers against fraudulent practices of land developers and promoters, and administering housing development grants, where HUD provides grants to cities, urban counties, and States acting on behalf of local governments to support the development of rental housing in areas with severe rental housing shortages. Grantees use the HUD funds to provide capital grants or loans, interest-reduction payments, rental subsidies, or other types of assistance to facilitate the construction or substantial rehabilitation of rental projects by private owners. The Assistant Secretary for Community Planning and Development administers the grant programs that help communities plan and finance their growth and development, increase their capacity to govern, and provide shelter and services for homeless people. In addition, the Assistant Secretary is responsible for: Community Development Block Grant (CDBG) Programs for Entitlement Communities, States and HUD-administered Small Cities, Section 108 Community Development Loan Guarantees, and Special Purpose Grants for Insular Areas, Historically Black Colleges and Universities, and Technical Assistance; Home Investment in Affordable Housing (HOME), providing Federal assistance for use by participating jurisdictions or Indian tribes for housing rehabilitation, tenant-based assistance, assistance to first time homebuyers and new construction when a jurisdiction is determined to need new rental housing; the Department's programs to address homelessness, including the Supportive Housing Program (Transitional Housing and Permanent Housing components), Shelter Plus Care, Surplus Property for Use to Assist the Homeless, Section 8 Moderate Rehabilitation Single Room Occupancy (SRO) Program, Housing Opportunities for Persons with AIDS, and Emergency Shelter Grants; The Youthbuild Program to provide opportunities and assistance to very low-income young adults who have dropped out of high school; Consolidated Plans; and Empowerment Zones and Enterprise Communities. The Assistant Secretary for Public and Indian Housing (PIH) directs the Department's low-income public housing program. This program provides funds for the development, operation, and modernization of public housing, including such housing for American Indians. It also promotes resident management and ownership of public housing. PIH administers Public and Indian Housing Programs, including rental and homeownership programs and provides technical and financial assistance in planning, developing, and managing low-income projects; provides operating subsidies for Public Housing Agencies (PHAs) and Indian Housing Authorities (IHAs), including procedures for the review of the management of PHAs and IHAs; administers the Capital Fund Program for the

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modernization of low-income housing projects to upgrade living conditions, correct physical deficiencies, and achieve operating efficiency and economy; administers the Resident Initiatives Program for resident participation, resident management; homeownership, economic development and supportive services, and drug-free neighborhood programs; implements and monitors program requirements related to program eligibility and admission of families to public and assisted housing, tenant income and rent requirements pertaining to continued occupancy; administers the HOPE VI and Vacancy Reductions Programs; administers the Section 8 Housing Choice Vouchers Program and the Moderate Rehabilitation Program; coordinates all departmental housing and community development programs for Indian and Alaska Natives; and awards grants to PHAs and IHAs for the construction, acquisition and operations of Public and Indian Housing Projects. The Office is responsible for: Increasing awareness of the public and building industry of the dangers of lead-based paint poisoning and the options for detection, risk reduction, and abatement; encouraging the development of safer, more effective, and less costly methods for detection, risk reduction, and abatement; encouraging State and local governments to develop lead-based paint programs covering primary prevention, public education, certification of contractors, hazard reduction, financing, and enforcement.

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT (14.218, 14.225, 14.228, 14.231, 14.235, 14.238-14.239, 14.241, 14.247-14.250, 14.252, 14.259, 14.261, 14.265-14.273, 14.275-14.276, 14.278-14.279)

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT

ASSISTANT SECRETARY FOR FAIR HOUSING AND EQUAL OPPORTUNITY (14.400-14.401, 14.408, 14.416-14.418)

ASSISTANT SECRETARY FOR FAIR HOUSING AND EQUAL OPPORTUNITY

ASSISTANT SECRETARY FOR POLICY DEVELOPMENT AND RESEARCH (14.506, 14.536-14.537)

ASSISTANT SECRETARY FOR POLICY DEVELOPMENT AND RESEARCH

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING (14.850, 14.856, 14.862, 14.865, 14.867, 14.869-14.874, 14.878-14.881, 14.888-14.889, 14.892-14.893, 14.895-14.896, 14.899)

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER (14.103, 14.108, 14.110, 14.117, 14.119, 14.122-14.123, 14.126, 14.128-14.129, 14.133-14.135, 14.138-14.139, 14.142, 14.151, 14.155, 14.157, 14.162, 14.169, 14.171, 14.175, 14.181, 14.183, 14.188, 14.191, 14.195, 14.198, 14.311, 14.313, 14.316, 14.322, 14.326-14.327)

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER

INTERIOR, DEPARTMENT OF THE (15.001-15.999)

The U.S. Department of the Interior uses sound science to manage and sustain America's lands, water, wildlife, and energy resources, honors our nation's responsibilities to tribal nations, and advocates for America's island communities. As keepers of our nation's legacy, we manage the resources in our care to benefit Americans now and in the future. Under the leadership of Secretary Ken Salazar, our department and its employees are developing and implementing the cutting-edge science and expert management techniques that make this possible.

BUREAU OF INDIAN AFFAIRS (15.020-15.022, 15.024-15.038, 15.040-15.044, 15.046-15.048, 15.051-15.053, 15.057-15.063, 15.065-15.067, 15.108, 15.113-15.114, 15.124, 15.130, 15.133, 15.141, 15.144, 15.146-15.151, 15.154-15.156, 15.159-15.163, 15.959-15.960)

As stated in Title 25 CFR Part 32.3, BIE's mission is to provide quality education opportunities from early childhood through life in accordance with a tribe's needs for cultural and economic well-being, in keeping with the wide diversity of Indian

tribes and Alaska Native villages as distinct cultural and governmental entities. Further, the BIE is to manifest consideration of the whole person by taking into account the spiritual, mental, physical, and cultural aspects of the individual within his or her family and tribal or village context.

BUREAU OF LAND MANAGEMENT (15.015-15.017, 15.214, 15.222, 15.224-15.225, 15.228-15.239, 15.241, 15.243-15.248)

Has responsibility for the total management of 341 million acres of public lands located primarily in the Far West and Alaska and scattered parcels located in other States. In addition to minerals management responsibilities on the public lands and the Outer Continental Shelf, the Bureau is responsible for subsurface resource management of an additional 169 million acres where mineral rights have been reserved to the Federal Government. Resources managed by the Bureau include timber, minerals, oil and gas, geothermal energy, wildlife habitat, endangered plant and animal species, rangeland vegetation, recreation and cultural values, wild and scenic rivers, designated conservation and wilderness areas, and open space; provides for the protection (including fire suppression), orderly development, and use of public lands and resources under principles of multiple use and sustained yield. Land use plans are developed with public involvement to provide orderly use and development while maintaining and enhancing the quality of the environment; manages watersheds to protect soil and enhance water quality; makes land available through sale to individuals, organizations, local governments, and other Federal agencies when such transfer is in the public interest. Lands may be leased to State and local government agencies and to nonprofit organizations for certain purposes; the Bureau issues rights-of-way, in certain instances, for crossing Federal lands under other agencies' jurisdictions; is responsible for the survey of Federal lands and establishes and maintains public land records and records of mining claims; and also administers a program of payments in lieu of taxes based on the amount of Federally-owned land in counties and other units of local government.

BUREAU OF OCEAN ENERGY MANAGEMENT (15.013, 15.408, 15.421-15.424, 15.428, 15.443-15.444)

The Bureau of Ocean Energy Management, Regulation, and Enforcement (BOEMRE) assesses the nature, extent, recoverability, and value of leasable minerals on the Outer Continental Shelf. It ensures the orderly and timely inventory and development and the efficient recovery of mineral resources; encourages utilization of the best available and safest technology; and safeguards against fraud, waste, and abuse.

BUREAU OF RECLAMATION (15.504, 15.506-15.512, 15.514, 15.516-15.522, 15.524-15.527, 15.529-15.533, 15.535, 15.537-15.546, 15.548, 15.550, 15.552-15.560, 15.563-15.567, 15.569-15.574)

The Bureau of Reclamation manages, develops, and protects water and related resources in an environmentally and economically sound manner in the interest of the American public. The agency is the nation's second largest wholesale water supplier, administering 348 reservoirs with 245 million acre-feet of water. One out of five western farmers uses Reclamation water to irrigate 10 million acres of land, producing 60 percent of the nation's vegetables and 25 percent of its fruits and nuts. The agency also serves as the fifth largest electric utility in the 17 States west of the Mississippi River. Reclamation encourages and supports water conservation and environmental restoration through partnerships, incentive programs, and challenge grants. It also supports efforts to meet increasing water demands through water reclamation, recycling, and reuse.

BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT (15.441-15.442)

BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT

DEPARTMENTAL OFFICES (15.152, 15.158, 15.226, 15.427, 15.429-15.440, 15.876, 15.982)

DEPARTMENTAL OFFICES

INSULAR AFFAIRS (15.875)

The Secretary of the Interior has administrative responsibility for coordinating federal policy in the territories of American Samoa, Guam, the U.S. Virgin Islands,

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and the Commonwealth of the Northern Mariana Islands, and the responsibility to administer and oversee U.S. federal assistance provided to the Freely Associated States of the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau under the Compacts of Free Association. The Office of Insular Affairs executes these responsibilities on behalf of the Secretary.

NATIONAL PARK SERVICE (15.011-15.012, 15.014, 15.406-15.407, 15.904, 15.912, 15.915-15.916, 15.921-15.923, 15.925-15.926, 15.928-15.933, 15.935, 15.938-15.948, 15.954-15.958, 15.961-15.964)

Administers for the American people an extensive system of national parks, monuments, historic sites, and recreation areas. The objectives of the National Park Service are to administer the properties under its jurisdiction for the enjoyment and education of our citizens, to protect the natural environment of the areas, and to assist States, local governments, and citizen groups in the development of park areas, the protection of the natural environment, and the preservation of historic properties.

OFFICE OF SURFACE MINING, RECLAMATION AND ENFORCEMENT (15.250, 15.252-15.255)

Creation of a nationwide program that protects society and the environment from the adverse effects of coal mining operations, while ensuring an adequate supply of coal to meet the Nation's energy needs. Major objectives of the Office include establishment of minimum national standards for regulating the surface effects of coal mining, assistance to the States in developing and implementing regulatory programs, and promotion of the reclamation of previously mined areas.

U.S. FISH AND WILDLIFE SERVICE (15.605, 15.608, 15.611, 15.614-15.616, 15.619-15.623, 15.626, 15.628-15.631, 15.633-15.637, 15.639-15.641, 15.643, 15.645, 15.647-15.658, 15.660-15.671, 15.674, 15.676-15.684)

The Office of the Assistant Secretary for Fish and Wildlife and Parks has responsibility for programs associated with the use, management and conservation of natural resources, lands and cultural facilities associated with the National Park and National Refuge Systems, and the conservation and enhancement of fish, wildlife, vegetation, and habitat. The Office represents the Department in the coordination of marine ecosystems and biological resources programs with other Federal agencies. It also exercises secretarial direction and supervision over the United States Fish and Wildlife Service and the National Park Service.

US GEOLOGICAL SURVEY (15.805, 15.807-15.808, 15.810, 15.812, 15.814-15.815, 15.817-15.820, 15.978, 15.980-15.981)

US GEOLOGICAL SURVEY

INTER-AMERICAN FOUNDATION (85.001-85.999)

The Inter-American Foundation, an independent U.S. government agency, was created by Congress to channel development assistance directly to the organized poor in Latin America and the Caribbean. The IAF has carried out its mandate by responding with grant and other development support for the most creative ideas for self-help received from grassroots groups and nongovernmental organizations. It also encourages partnerships among community organizations, business and local government directed at improving the quality of life for poor people and strengthening democratic practices.

JAMES MADISON MEMORIAL FELLOWSHIP COMMISSION (85.500-85.599)

Fellowships are awarded that seek to strengthen secondary school teaching of the principles, framing, and development of the U.S. Constitution. The program works to contribute to a deeper understanding of American government and to foster in both teachers and students the spirit of civic participation that inspired the Nation's founders.

JAPAN-U.S. FRIENDSHIP COMMISSION (90.300-90.399)

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants for the promotion of scholarly, cultural, and artistic

activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies in American universities, policy oriented research, faculty and other professional exchanges, public affairs programs, and other cultural and educational activities primarily in the United States.

JUSTICE, DEPARTMENT OF (16.001-16.999)

The Office of the Attorney General was created by the Judiciary Act of 1789 (ch. 20, sec. 35, 1 Stat. 73, 92-93), as a one-person part-time position. The Act specified that the Attorney General was to be "learned in the law," with the duty "to prosecute and conduct all suits in the Supreme Court in which the United States shall be concerned, and to give his advice and opinion upon questions of law when required by the President of the United States, or when requested by the heads of any of the departments, touching any matters that may concern their departments."

Officially coming into existence on July 1, 1870, the Department of Justice was empowered to handle all criminal prosecutions and civil suits in which the United States had an interest. To assist the Attorney General, the 1870 Act also created the Office of the Solicitor General, who represents the interests of the United States before the U.S. Supreme Court.

The 1870 Act remains the foundation for the Department's authority, but the structure of the Department of Justice has changed over the years, with the addition of the offices of Deputy Attorney General, Associate Attorney General, and the formation of various components, offices, boards and divisions. From its beginning as a one-man, part-time position, the Department of Justice has evolved into the world's largest law office and the chief enforcer of federal laws.

Thomas Jefferson wrote, "The most sacred of the duties of government [is] to do equal and impartial justice to all its citizens." This sacred duty remains the guiding principle for the women and men of the U.S. Department of Justice.

The U.S. Department of Justice serves as counsel for its citizens. It represents them in enforcing the law in the public interest. Through its thousands of lawyers, investigators, and agents, the Department plays the key role in protection against criminals and subversion, ensuring healthy business competition, safeguarding the consumer, and enforcing drug, immigration, and naturalization laws.

DRUG ENFORCEMENT ADMINISTRATION (16.001, 16.003-16.004)

Enforces the controlled substances laws and regulations, and brings to the criminal and civil justice system of the United States or any other competent jurisdiction, those organizations, and principal members of organizations involved in the cultivation, manufacture, or distribution of controlled substances appearing in or destined for the illicit traffic in the United States. DEA's mission requires it to provide a leadership role in narcotic and dangerous drug suppression programs at the national and international level.

FEDERAL BUREAU OF INVESTIGATION (16.300-16.305, 16.307-16.309)

Investigates all violation of Federal laws with the exception of those which have been assigned by legislative enactment or otherwise to some other Federal agency. The FBI's jurisdiction includes a wide range of responsibilities in the criminal, civil, and security fields. Among these are espionage, sabotage, and other domestic security matters; kidnapping; extortion; bank robbery; interstate transportation of stolen property; civil rights matters; interstate gambling violations; fraud against the Government; and assault or killing the President or a Federal officer. Cooperative services of the FBI for other duly authorized law enforcement agencies include fingerprint identification, laboratory services, police training, the National Crime Information Center. Uniform crime reports, and National Instant Criminal Background Check System.

FEDERAL PRISON SYSTEM / BUREAU OF PRISONS (16.601-16.603)

Responsible for the custody and care of inmates convicted to imprisonment for Federal crimes, the detention of individuals awaiting trial or sentencing in Federal

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court, and the confinement of the District of Columbia's sentenced felon population. To meet its mission of confining offenders and facilitating community re-entry, the BOP operates institutions at four security levels (minimum, low, medium, and high) to meet the various security needs of its diverse inmate population, and has one maximum-security prison for the less than one percent of the inmates who require that level of security. It also has administrative facilities, such as pretrial detention centers and medical referral centers, that have specialized missions and confine offenders of all security levels. The nationwide prison system includes a Central Office (headquarters), 6 regional offices, and 28 community corrections offices. The Central Office and regional offices provide administrative oversight and support to the institutions and community corrections offices. Community corrections offices oversee community corrections centers and home confinement programs.

OFFICE OF JUSTICE PROGRAMS (16.015, 16.030-16.040, 16.123, 16.203, 16.320-16.321, 16.540, 16.543-16.544, 16.548, 16.550, 16.554, 16.560, 16.562, 16.566, 16.571, 16.575-16.576, 16.578, 16.582-16.583, 16.585, 16.593, 16.596, 16.606-16.610, 16.614-16.615, 16.726, 16.731, 16.734-16.735, 16.738-16.739, 16.741-16.742, 16.745-16.746, 16.750-16.752, 16.754, 16.756-16.758, 16.812-16.813, 16.815-16.818, 16.820, 16.823-16.825, 16.827-16.828, 16.830-16.836, 16.838-16.842, 16.844)

OFFICE OF JUSTICE PROGRAMS

LABOR, DEPARTMENT OF (17.001-17.999)

The Office of the Assistant Secretary for Veterans' Employment and Training (VETS) is responsible for the administration, formulating and implementation of policy and procedures affecting veterans as well as veteran's employment and training programs nationwide. VETS serves as a national leader on Veterans policy and procedure to the Department of Labor (DOL) and other Federal agencies. VETS fulfills a wide range of obligations prescribed by the Secretary of Labor regarding the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. The VETS staff works closely with and provides technical assistance to State Employment Security Agencies and Job Training Partnership Act grant recipients to ensure that veterans are provided the priority services required by law. They also coordinate with employers, labor unions, veterans' service organizations, and community organizations through planned public information and outreach activities. Federal contractors are provided management assistance in complying with their veterans affirmative action and reporting obligations. Also administered by the Assistant Secretary through the Service is the Job Training Partnership Act, Title IV, Part C grant program designed to meet the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. Job Training Partnership Act grants are awarded and monitored through the Services' national office and field staff. The Assistant Secretary also administers through the Service the Homeless Veterans Reintegration project grant program to provide employment, training, housing assistance, and supportive services to help homeless veterans reenter mainstream society. Homeless Veterans Reintegration Project grants are awarded and monitored through the Services' national office and field staff. Certain other Service staff also administer the veterans Uniformed Services Employment and Reemployment Rights Act program. They provide assistance to help restore job, seniority, and pension rights to veterans following absences from work for active military service and to protect employment and retention rights of members of the Reserve or National Guard. Other staff provide assistance to preference eligible veterans to ensure that they are not denied their veterans' preference benefits (preference in Federal government hiring and preference retention in reduction in force (RIF), under the Veterans Employment Opportunities Act (VEOA)). The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor (DOL) and other Federal agencies. ODEP was established to bring a heightened, permanent focus to address the significant employment obstacles faced by individuals with disabilities and to ensure coordination among Federal agencies on matters related to or affecting people with disabilities. ODEP's research provides new knowledge used to develop evidence-based disability employment policies and practices for dissemination to workforce systems and partners. ODEP works to achieve its mission through collaborating on inter- and intra-agency initiatives, commissions,

councils, and workgroups; building partnerships with Federal, state, local, and non-governmental stakeholders; reviewing Federal legislation and policies; designing and conducting research studies; highlighting and promoting policies and practices that increase the employment of people with disabilities; and implementing education and outreach initiatives. ODEP's stakeholders include Federal, state, and local government agencies, private and public employers and their employees, educational and training institutions, individuals with disabilities and their families, and the disability community.

BUREAU OF INTERNATIONAL LABOR AFFAIRS (17.007)

BUREAU OF INTERNATIONAL LABOR AFFAIRS

BUREAU OF LABOR STATISTICS (17.002-17.005)

The Bureau of Labor Statistics (BLS) is the principal fact-finding agency for the Federal Government in the broad field of labor economics and statistics. The BLS is an independent national statistical agency that collects, processes, analyzes, and disseminates essential statistical data to the American public, the U.S. Congress, other Federal agencies, State and local governments, business, and labor. The BLS also serves as a statistical resource to the Department of Labor. BLS data must satisfy a number of criteria, including relevance to current social and economic issues, timeliness in reflecting today's rapidly changing economic conditions, accuracy and consistently high statistical quality, and impartiality in both subject matter and presentation.

EMPLOYEE BENEFITS SECURITY ADMINISTRATION (17.150)

The Employee Retirement Income Security Act of 1974 (ERISA), (88 Stat. 829; 29 U.S.C. 1001 note), requires administrators of private pension and welfare plans to provide plan participants with easily understandable summaries of plans; to file those summaries in the Office of Pension and Welfare Benefit Programs; and to report annually on the financial operation of the plans and bonding of persons charged with handling plan funds and assets. Plan Administrators must also meet strict fiduciary responsibility standards which are enforced by the Office of Pension and Welfare Benefit Programs. Vesting, participation, and funding standards are, for the most part, administered by the Internal Revenue Service.

EMPLOYMENT AND TRAINING ADMINISTRATION (17.201, 17.207, 17.225, 17.235, 17.245, 17.258-17.259, 17.261, 17.264-17.265, 17.268, 17.270-17.274, 17.276-17.278, 17.280-17.281, 17.283, 17.285-17.287)

The Employment and Training Administration (ETA) administers and oversees a number of programs designed to assist unemployed, unskilled, dislocated workers and the economically disadvantaged get the job training and other services needed to become fully productive members of society, while improving the skills and productivity of those already employed. ETA fulfills a wide range of responsibilities assigned to the Secretary of Labor relating to employment services, job training, and unemployment insurance. ETA funds training programs which enable workers to attain the skills needed for employment; administers a Federal-State employment service system which helps people find jobs and employers find workers. It also offers wage replacement programs for workers who lose their jobs through no fault of their own, such as the Unemployment Insurance (UI) and the Trade Adjustment Assistance (TAA) programs. Special efforts are made to address the unique job market problems of groups having difficulty entering or returning to the work force, such as older workers, school dropouts, displaced homemakers and individuals with disabilities. ETA also is responsible for promoting apprenticeship standards and programs and conducting programs of research, development and evaluation. The Job Training Partnership Act, enacted in 1982, is designed to provide training and related education and employment services to economically disadvantaged adults and youth to ensure that they have the required marketable skills leading to productive, unsubsidized employment. JTPA also provides reemployment and retraining services for workers dislocated through plant closings or mass layoffs. Block grants are provided to the States and U.S. territories for the operation of the program. JTPA provides for a summer youth employment and training program and Job Corps as well as special activities which offer basic skills training, job training and support services for special targeted groups such as Native Americans, and seasonal and migrant farmworkers. Implementing regulations for JTPA issued by the Department of Labor are contained in Title 20 of the Code of Federal Regulations, Parts 626-638 and 675-684. Effective July 1, 2000, JTPA is repealed and replaced by the Workforce Investment Act (WIA).

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WIA establishes, with States and local communities, a revitalized workforce investment system that provides workers with the information, advice, job search assistance, and training they need to get and keep good jobs, and provides employers with skilled workers. WIA is administered through State and local Workforce Investment Boards and required partnerships of local One-Stop Career Centers. Funds are used for youth, adult and dislocated worker employment and training activities. The Act also authorizes a number of national programs and the Job Corps. The U.S. Employment Service (ES) seeks to match workers looking for employment with employers seeking workers, and is operated by the States. Programs such as Alien Labor Certification are also administered under the ES by State ES agencies through reimbursement agreements with the Department of Labor. The Senior Community Service Employment Program (SCSEP), or Older Worker Program is authorized by the Older Americans Act of 1965. It provides subsidized part-time community service work for unemployed low-income people ages 55 and over. The Assistant Secretary for Employment and Training is responsible for ensuring that programs funded through ETA are free from unlawful discrimination, fraud, and abuse. It is the policy of ETA to ensure full compliance with constitutional, statutory, and regulatory provisions and to promote equal opportunity, affirmative action, and fund integrity in programs to which ETA extends financial assistance.

MINE SAFETY AND HEALTH ADMINISTRATION (17.600-17.604)

Develops and promulgates mandatory safety and health standards, ensures compliance with such standards, assesses civil penalties for violations, investigates accidents, cooperates with and provides assistance to the States in the development of effective State mine safety and health programs, improves and expands training programs in cooperation with the States and the mining industry, and, in coordination with the Department of Health and Human Services contributes to the improvement and expansion of mine health research and development. All of these activities are aimed at preventing and reducing mine accidents and occupational diseases in the mining industry.

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION (17.502-17.504)

Develops and promulgates occupational safety and health standards; develops and issues regulations; conducts investigations and inspections to determine the status of compliance with safety and health standards and regulations; and issues citations and proposes penalties for noncompliance with safety and health standards and regulations.

OFFICE OF DISABILITY EMPLOYMENT POLICY (17.720)

The Office of Disability Employment Policy (ODEP) provides national disability employment policy leadership by developing and influencing the implementation of disability employment policies and practices affecting the employment of people with disabilities. ODEP's response to low employment rates among such a large group of Americans is unique, comprehensive, and aggressive, and includes the active involvement and cooperation of Federal, State, and local public and private entities, including employers. ODEP also leads efforts to achieve the employment related goals of the President's New Freedom Initiative. ODEP addresses the significant barriers to employment faced by individuals with disabilities by developing and disseminating national, State, and local disability employment policy; by fostering implementation of innovative strategies and practices among employers and throughout the various systems serving people with disabilities; by conducting disability-related research to build knowledge to inform policy development; and by providing technical assistance to service delivery systems and employers to increase employment opportunities and the recruitment, retention, and promotion of people with disabilities.

OFFICE OF LABOR-MANAGEMENT STANDARDS (17.309)

The Office of Labor-Management Standards conducts criminal and civil investigations to safeguard the financial integrity of unions and to ensure union democracy, and conducts investigate audits of labor unions to uncover and remedy criminal and civil violations of the Labor-Management Reporting and Disclosure Act and related studies.

OFFICE OF WORKERS COMPENSATION PROGRAM (17.302, 17.307, 17.310)

The Office of Workers' Compensation Programs administers four major disability compensation programs which provide wage replacement benefits, medical treatment, vocational rehabilitation and other benefits to certain workers or their dependents who experience work-related injury or occupational disease.

VETERANS EMPLOYMENT AND TRAINING SERVICES (17.801, 17.805, 17.807)

VETERANS EMPLOYMENT AND TRAINING SERVICES

WOMEN'S BUREAU (17.700)

WOMEN'S BUREAU

LIBRARY OF CONGRESS (42.001-42.999)

Under the organic law, the Library's first responsibility is service to Congress. One department, the Congressional Research Service, functions exclusively for the legislative branch of the Government. As the Library has developed, its range of service has come to include the entire governmental establishment in all its branches and the public at large, so that it has become a national library for the United States. The Library's extensive collections are universal in scope. They include books and pamphlets on every subject and in a multitude of languages. Among them are the most comprehensive collections of Chinese, Japanese, and Russian language books outside Asia and the Soviet Union; volumes relating to science and legal materials, outstanding for American and foreign law; the world's largest collection of published aeronautical literature; and the most extensive collection in the western hemisphere of books printed before 1501 A.D. The manuscript collections relate to manifold aspects of American history and civilization and include the personal papers of most of the Presidents from George Washington through Calvin Coolidge. The music collections contain volumes and pieces (manuscript and published) from classic works to the newest popular compositions. Other materials available for research include maps and views; photographic records from the daguerreotype to the latest news photo; recordings, including folksongs and other music, speeches, and poetry readings; prints, drawings, and posters; government documents, newspapers, and periodicals from all over the world; and motion pictures, microforms, and audio and video tapes. Admission to the various research facilities of the Library is free. No introduction or credentials are required for persons over high school age who wish to read in the general reading rooms; however, certain collections, like those of the Manuscript, Rare Book and Special Collections, Motion Picture, Broadcasting, and Recorded Sound Divisions, can be used only by those with a serious purpose for doing so. The Library is also responsible for copyrights, which are registered by the Copyright Office. All copyrightable works, whether published or unpublished, are subject to a system of statutory protection that gives the copyright owner certain exclusive rights, including the right to reproduce the copyrighted work and distribute it to the public by sale, rental, lease, or lending. Works of authorship include books, periodicals, and other literary works, musical compositions, song lyrics, dramas and dramatic musical compositions, pictorial, graphic, and sculptural works, pantomimes and choreographic works, motion pictures and other audiovisual works, and sound recordings. The Library extends its service through an interlibrary loan system; the photoduplication, at reasonable cost, subject to conditions of law, copyright, and deposit of books, manuscripts, maps, and newspapers, and prints in its collections; the sale of sound recordings, which are released by its Recording Laboratory; the exchange of duplicates with other institutions; the sale of printed catalog cards and magnetic tapes, and the publication in book format or microform of cumulative catalogs, which make available the results of the expert bibliographical and cataloging work of its technical personnel; a centralized acquisitions program whereby the Library of Congress acquires material published all over the world, catalogs it promptly, and distributes cataloging information in machine readable form as well as other means to the Nation's libraries; a cooperative catalog program, whereby the cataloging of data by name authority and bibliographic records, prepared by other libraries becomes part of the Library of Congress data base and distribute them through the MARC Distribution Service; a cataloging-in-publication program in cooperation with American publishers for printing and cataloging information in current books; the National Serials Data Program, a national center that maintains a record of serial titles to which International Standard Serial Numbers have been assigned and serves, with this file, as the United States Register; and the development of general schemes of classification (Library of Congress and Dewey Decimal), subject headings, and cataloging, embracing the entire field of printed matter. The

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American Folklife Center in the Library of Congress, has a coordinative function both in and outside the Federal establishment to carry out appropriate programs to support, preserve, and present American folklife through such activities as the collection and maintenance of archives, scholarly research, field projects, performances, exhibitions, festivals, workshops, publications, and audiovisual presentations. The Center for the Book was established in the Library of Congress to provide a program for investigating the transmission of human knowledge and to heighten public interest in the role of books and printing in the diffusion of knowledge. Drawing on the resources of the Library of Congress, the Center works closely with other organizations to explore important issues in the book and educational communities, to encourage reading, and to encourage research about books and about reading. Its goal is to serve as a useful catalyst by bringing together authors, publishers, librarians, booksellers, educators, scholars, and readers to discuss common concerns and work toward the solution of common problems. The Library provides technical information related to the preservation and restoration of library and archival material. A series of leaflets on various preservation and conservation topics has been prepared by the Preservation Office. Information and publications are available from the National Preservation Program Office, Library of Congress. A free national library program of braille and recorded materials for blind and physically handicapped persons is administered by the National Library Service for the Blind and Physically Handicapped (NLS), Library of Congress. With the cooperation of authors and publishers who grant permission to use copyrighted works, NLS selects and produces full-length books and magazines in braille on recorded disc and cassette. Reading material are distributed to a cooperating network of regional and subregional (local) libraries where they are circulated to eligible borrowers. Reading material and playback machines are sent to borrowers and returned to libraries by postage-free mail. Established by an act of Congress in 1931 to serve blind adults, the program was expanded in 1952 to include children, in 1962 to provide music materials, and again in 1966 to include individuals with other physical impairments that prevent the reading of standard print. Information and publications are available from the National Library Service for the Blind and Physically Handicapped, Library of Congress, Washington, DC 20542.

LIBRARY OF CONGRESS (42.010-42.011)

LIBRARY OF CONGRESS

MILLENNIUM CHALLENGE CORPORATION (85.700-85.749)

The Millennium Challenge Corporation (MCC) forms partnerships with some of the world's poorest countries, but only those committed to: good governance, economic freedom, and investments in their citizens. MCC provides these well-performing countries with large-scale grants to fund country-led solutions for reducing poverty through sustainable economic growth. MCC grants complement other U.S. and international development programs.

MORRIS K. UDALL FOUNDATION

Scholarships, internships, and fellowships are financed by a permanent trust fund endowment. Programs are designed to develop increased opportunities for Americans to prepare for and pursue careers related to the environment and for Native Americans and Alaska Natives to pursue careers in health care and tribal public policy.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (43.001-43.999)

The National Aeronautics and Space Administration (NASA) was established by the National Aeronautics and Space Act of 1958, as amended (42 U.S.C. 2451 et seq.). The mission of NASA is to pioneer the future in space exploration, scientific discovery, and aeronautics research.

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (89.001-89.999)

Establishes policies and procedures for managing the records of the United States Government. NARA assists Federal agencies in adequately documenting their activities, administering their records management programs, scheduling their records, and retiring their noncurrent records to Federal Records Centers. The mission of the National Archives and Records Administration is to ensure, for the

Citizen and the public servant, for the President and the Congress and the Courts, ready access to essential evidence. Managing the Presidential Libraries system, assisting the National Historical Publications and Records Commission in its grant program for State and local records and edited publications of prominent Americans, and publishing the laws, regulations, Presidential, and other public documents are also key functions of the National Archives and Records Administration.

NATIONAL COUNCIL ON DISABILITY

The Council is responsible for reviewing all laws, programs, and policies of the Federal Government affecting disabled individuals, and establishing general policies for the National Institute on Disability and Rehabilitation Research and the President's Committee on Employment of People with Disabilities. The Council also makes recommendations on issues affecting people with disabilities to the President, the Congress, the Rehabilitation Services Administration Commissioner, the National Institute on Disability, the President's Committee on Employment of People with Disabilities, the Rehabilitation Research Director, and other Federal Departments and agencies, as may be appropriate. The National Council is the only Federal agency with the responsibility of developing policy.

NATIONAL CREDIT UNION ADMINISTRATION (44.001-44.999)

The National Credit Union Administration Board is responsible for chartering, insuring, supervising, and examining Federal credit unions and administering the National Credit Union Share Insurance Fund. The Board also manages the Central Liquidity Facility, a mixed-ownership Government corporation whose purpose is to supply emergency loans to member credit unions.

NATIONAL ENDOWMENT FOR THE ARTS (45.001-45.099)

The National Endowment for the Arts is a public agency dedicated to supporting excellence in the arts, both new and established bringing the arts to all Americans, and providing leadership in arts education. The National Endowment for the Arts is the largest annual funder of the arts in the United States. An independent federal agency, the National Endowment for the Arts is the official arts organization of the United States government. The National Endowment for the Arts awards more than \$100 million annually investing in every state which in turn generates more than \$700 million in additional support. The Arts Endowment has played a transformative and sustaining role in the development of regional theater, opera, dance, orchestras, museums, and other arts both contemporary and traditional that Americans now enjoy.

NATIONAL ENDOWMENT FOR THE HUMANITIES (45.100-45.199)

Promotes and supports the production and dissemination of knowledge in the humanities. The term "humanities" includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism, and theory of the arts; and those aspects of the social sciences that employ historical or philosophical approaches. The Endowment makes grants to individuals, groups, or institutions (schools, colleges, universities, museums, public television stations, libraries, public agencies, and nonprofit private groups) to increase understanding and appreciation of the humanities.

NATIONAL GALLERY OF ART (68.001-68.999)

The National Gallery's collections embrace every major school of western European art from the 13th century to the present and of American art from colonial days to the present. A professor-in-residence position is filled annually by a distinguished scholar in the field of art history; graduate and postgraduate research is conducted under a fellowship program; programs for children and the general public are conducted daily; and Extension Programs produces and distributes education resources for loan throughout the world; audiovisual materials include films, slide teaching programs, videocassettes, videodiscs, and CD-ROMS.

NATIONAL LABOR RELATIONS BOARD (46.001-46.999)

Administers the Nation's principal law, the National Labor Relations Act. The

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NLRB is vested with the power to prevent or remedy unfair labor practices and to safeguard employees' rights to organize and determine through elections whether to have unions as their bargaining representatives.

NATIONAL SCIENCE FOUNDATION (47.001-47.999)

Promotes the progress of science and engineering through the support of research and education programs. Its major emphasis is on high quality, science-driven basic research, the search for improved understanding of the fundamental laws of nature upon which our future well-being as a Nation depends. The National Science Foundation also supports applied research in several areas. Its educational programs are aimed at ensuring increasing understanding of science and engineering at all educational levels and at training an adequate supply of scientists and engineers to meet our country's needs.

NORTHERN BORDER REGIONAL COMMISSION (90.601-90.699)

The Northern Border Regional Commission is a Federal-State governmental agency concerned with the economic well-being of the citizens and businesses within a thirty-six (36) county region of Maine, New Hampshire, New York, and Vermont (12 counties in Maine; 4 counties in New Hampshire, 14 counties in New York, and 6 counties in Vermont). The region served by the Commission has had chronic and contiguous long-term economic distress. The mission of the Commission is to eliminate these conditions, concentrating its efforts to develop water, sewer, energy and telecommunications infrastructure; providing job skills and employment related education, as well as entrepreneurship, technology and business development; provide basic health care and other public services for those areas that are severely economically distressed and underdeveloped; and to promote resource conservation, tourism, recreation, and preservation of open spaces in a manner consistent with economic development goals; and finally, to promote the development of renewable and alternative energy sources.

NUCLEAR REGULATORY COMMISSION (77.001-77.999)

Licenses and regulates the civilian uses of nuclear energy to protect the public health and safety and the environment. It does this by licensing persons and companies to build and operate nuclear reactors and other facilities and to own and use nuclear materials. The NCR makes rules and sets standards for these types of licenses. The NRC also carefully inspects the activities of the persons and companies licensed to ensure that they do not violate the safety rules of the Commission.

OFFICE OF PERSONNEL MANAGEMENT

OFFICE OF PERSONNEL MANAGEMENT

OVERSEAS PRIVATE INVESTMENT CORPORATION (70.001-70.999)

Offers United States investors assistance in finding investment opportunities, insurance, and loans and loan guaranties to help finance their projects in developing countries. It encourages investment projects that will help the social and economic development of these countries.

PEACE CORPS (45.400-45.499)

The mission of the Peace Corps is to promote world peace and friendship by placing Peace Corps Volunteers (PCVs) in over 70 countries to address and assist with community-based needs in the areas of education, community development, health, business, information technology, agriculture and the environment. From AIDS education to emerging technologies to environmental preservation to new market economies, Peace Corps Volunteers have helped people build better lives for themselves. Their work in villages, towns, and cities around the globe represents a legacy of service that has become a significant part of America's history and positive image abroad. The Peace Corps' mission has three simple goals: 1. Helping the people of interested countries in meeting their need for trained men and women. 2. Helping promote a better understanding of Americans on the part of the peoples served. 3. Helping promote a better understanding of other peoples on the part of Americans.

PENSION BENEFIT GUARANTY CORPORATION (86.001-86.999)

The Pension Benefit Guaranty Corporation guarantees payment of nonforfeitable pension benefits in covered, private-sector-defined benefit pension plans. Title IV of the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1301 et seq.) provides for coverage of most private-sector-defined benefit pension plans that provide a benefit based on factors such as age, years of service, and average or highest salary. The Corporation administers two benefit insurance programs separately covering single-employer and multi-employer plans. Nearly 40 million workers participate in more than 112,000 covered plans. Single-Employer Insurance: Under the single-employer program, the Corporation guarantees payment of a covered plan's basic benefits if that plan terminates without sufficient assets to pay those guaranteed benefits. Multi-employer Insurance: Under Title IV of the Act, as originally enacted, the Corporation guaranteed nonforfeitable benefits for multi-employer plans in a similar fashion as for single-employer plans. However, the payment of guaranteed benefits was at the agency's discretion under the provisions of the law that remained in force until August 1, 1980. The Multi-employer Pension Plan Amendments Act of 1980 (29 U.S.C. 1001) revised the law applicable to multi-employer pension plans by changing the insurable event from plan termination to plan insolvency. In accordance with the Act, the Corporation provides financial assistance to plans that are unable to pay basic benefits. The plans are obligated to repay such assistance. The act also made employers withdrawing from a plan liable to the plan for a portion of its unfunded vested benefits. Premium Collections: All defined benefit pension plans covered by Title IV of Employee Retirement Income Security Act are required to pay premiums under prescribed rates to the Corporation.

RAILROAD RETIREMENT BOARD (57.001-57.999)

Administers comprehensive retirement-survivor and unemployment-sickness benefit programs for the Nation's railroad workers and their families, under the Railroad Retirement and Railroad Unemployment Insurance Acts. The Board participates in the administration of the Social Security Act and the Health Insurance for the Aged Act insofar as they affect railroad retirement beneficiaries.

SECURITIES AND EXCHANGE COMMISSION (58.001-58.999)

The mission of the SEC is to protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The SEC strives to promote a market environment that is worthy of the public's trust.

SMALL BUSINESS ADMINISTRATION (59.001-59.999)

Aids, counsels, assists, and protects the interests of small business; ensures that small business concerns receive a fair proportion of Government purchases, contracts, and subcontracts, as well as of the sales of Government property; makes loans to small business concerns, State and local development companies, and the victims of floods or other catastrophes, or certain types of economic injury; and licenses, regulates, and makes loans to small business investment companies.

SMITHSONIAN INSTITUTION (85.600-85.649)

The Smithsonian Institution is an independent trust instrumentality of the United States which comprises the world's largest museum and research complex. The Smithsonian includes 19 museums and galleries, the National Zoo, and research facilities in several States and the Republic of Panama. It holds more than 136 million artifacts and specimens in its trust for the American people. The Smithsonian is dedicated to public education, national service, and scholarship in the arts, science, history, and culture. The Smithsonian Institution was created by an act of Congress on August 10, 1846 (20 U.S.C. 41 et seq.), to carry out the terms of the will of British scientist James Smithson (1765, 1829), who in 1826 had bequeathed his entire estate to the United States to found at Washington, under the name of the Smithsonian Institution, an establishment for increase and diffusion of knowledge among men. On July 1, 1836, Congress accepted the legacy and pledged the faith of the United States to the charitable trust. In September 1838, Smithson's legacy, which amounted to more than 100,000 gold sovereigns, was delivered to the mint at Philadelphia, Congress vested responsibility for administering the trust in the Secretary of the Smithsonian and the Smithsonian Board of Regents, composed of the Chief Justice, the Vice President, three Members of the Senate, three Members of the House of Representatives, and nine citizen members appointed by joint resolution of Congress.

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SOCIAL SECURITY ADMINISTRATION (96.001-96.999)

On March 31, 1995, the Social Security Administration (SSA) became an independent agency. SSA administers a national program of contributory social insurance. Employees, employers, and the self-employed pay contributions which are pooled in special trust funds. When earnings cease or are reduced because the worker retires, dies, or becomes disabled, monthly cash benefits are paid to replace part of the earnings the family has lost. Part of the workers contribution goes into a separate hospital insurance trust fund. This fund helps disabled workers, retirees, and their dependents with their hospital bills. They may also elect to receive assistance with medical expenses. This is done by the workers paying a percentage of supplementary medical insurance premiums. The Federal government pays the balance. Together, these two programs are often referred to as "Medicare." Medicare protection is also provided, under certain conditions, to Railroad Retirement beneficiaries based on a disability. The principal functions of SSA include, but are not limited to; research and recommendations oriented to the problems of poverty; health care for the aged, blind, and disabled; long-range planning, design, and development of SSA administrative plans; data processing systems used in establishing and maintaining records essential to its' various programs; statistical measurement and systematic evaluation of its' programs; policy guidance for the administration of the OASDI and SSI programs; and development of programs and materials to assure that Congress, Federal and State agencies, and the general public have an adequate understanding of the protections, rights, and responsibilities under SSA administered programs. In addition, SSA, through a world-wide organization of ten regional offices, six program service centers, and over 1,300 field offices, guides and directs all aspects of the cash benefit program operations of SSA

STATE, DEPARTMENT OF (19.001-19.999)

Advance freedom for the benefit of the American people and the international community by helping to build and sustain a more democratic, secure, and prosperous world composed of well-governed states that respond to the needs of their people, reduce widespread poverty, and act responsibly within the international system

OFFICE TO MONITOR AND COMBAT TRAFFICKING IN PERSONS (19.019)

The Office to Monitor and Combat Trafficking in Persons is on a mission to eradicate modern-day slavery, and, on this Web site, we invite you to learn about our approach of victim protection, prosecution of traffickers and prevention of trafficking. You can find information about programs the U.S. funds to help victims and improve law enforcement efforts worldwide, learn what you can do, and, of course, read our annual report on the actions countries are taking to combat trafficking in persons. This work, and cooperation around the world, is vital.

TRANSPORTATION, DEPARTMENT OF (20.001-20.999)

Under the authority of the motor carrier safety provisions of Title 49 of the United States Code, the agency exercises Federal regulatory jurisdiction over the safety performance of all commercial motor carriers engaged in interstate commerce. It deals with more than 500,000 carriers, approximately 13,000 passenger carriers and 42,000 hazardous material carriers. The primary mission of the Agency is to improve the safety of commercial vehicle operations on our nation's highways. To accomplish this mission the FMCSA focuses its efforts on reducing the number and severity of large truck-involved crashes through safety regulation and research, safety in commercial operations through training and enforcement, improvements to the commercial driver's license program, and enhancing highway and transportation systems infrastructure. To accomplish these activities, the FMCSA works closely in partnership with other Federal and State agencies, private organizations and individuals. The FMCSA works with various governmental agencies, the commercial motor vehicle industry and other interested groups to promote truck and bus safety by addressing vehicle safety issues such as the mechanical condition of trucks and buses, infrastructure improvements including high profile grade crossings, and commercial operations such as commercial driver license and hours-of-service regulations.

FEDERAL AVIATION ADMINISTRATION (20.106, 20.108-20.109, 20.111-20.113)

Regulates air commerce in a manner that promotes its development and safety and

fulfills the requirements of national defense; controls the use of navigable airspace of the United States and regulates both civil and military operations in such airspace in the interest of safety and efficiency; promotes, encourages, and develops civil aeronautics; consolidates research and development with respect to air navigation facilities; installs and operates air navigation facilities; develops and operates a common system of air traffic control and navigation for both civil and military aircraft; and develops and implements, programs and regulations to control aircraft noise, sonic boom, and other environmental effects of civil aviation.

FEDERAL HIGHWAY ADMINISTRATION (20.200, 20.205, 20.215, 20.219, 20.224, 20.240)

Coordinates highways with other modes of transportation to achieve the most effective balance of transportation systems and facilities under cohesive Federal transportation policies pursuant to the Act. FHWA is concerned with the total operation and environment of highway systems, including highway safety. In administering its highway transportation programs, it gives full consideration to the impacts of highway development and travel; transportation needs; engineering and safety aspects; social, economic, and environmental effects; and project costs. It ensures balanced treatment of these factors by utilizing a systematic, interdisciplinary approach in providing for safe and efficient highway transportation.

FEDERAL RAILROAD ADMINISTRATION (20.301, 20.313-20.315, 20.317-20.321, 20.323-20.326)

Promulgates and enforces rail safety regulations, administer railroad financial assistance programs, conduct research and development in support of improved railroad safety and national rail transportation policy, provide for the rehabilitation of Northeast Corridor rail passenger service, and consolidate government support of rail transportation activities. Railroad Safety: The Administration administers and enforces the Federal laws and related regulations designed to promote safety on railroads; exercises jurisdiction over all areas of rail safety under the Rail Safety Act of 1970, such as track maintenance, inspection standards, equipment standards, and operating practices. It also administers and enforces regulations resulting from railroad safety legislation for locomotives, signals, safety appliances, power brakes, hours of service, transportation of explosives and other dangerous articles, and reporting and investigation of railroad accidents. Railroad and related industry equipment, facilities, and records are inspected and required reports reviewed. Research and Development: A ground transportation research and development program is administered to advance all aspects of intercity ground transportation and railroad safety pertaining to the physical sciences and engineering, in order to improve railroad safety and ensure that railroads continue to be a viable national transportation resource. Transportation Test Center: This 50-square-mile facility, located near Pueblo, CO, provides testing for advanced and conventional systems and techniques designed to improve ground transportation. The facility has been managed and staffed for the Administration by the Association of American Railroads since October 1, 1982. The United States and Canadian Governments and private industry use this facility to explore, under controlled conditions, the operation of both conventional and advanced systems. It is used by the Urban Mass Transportation Administration for testing of urban rapid transit vehicles. Policy: Program management for new and revised policies, plans and projects related to railroad transportation economics, finance, system planning, and operations is provided; appropriate studies and analyses are performed; relevant tests, demonstrations, and evaluations are conducted; and labor/management programs are evaluated. Analyses of issues before regulatory agencies are carried out and recommendations are made to the Secretary as to the positions to be taken by DOT. Passenger and Freight Services: The Administration administers a program of Federal assistance for national, regional, and local rail services. Programs include rail freight service assistance programs; rail service continuation programs and State rail planning; and rail passenger service on a national, regional, and local basis. The agency also administers programs to develop, implement, and administer rail system policies, plans and programs for the Northeast Corridor in support of applicable provisions of the Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 501), and related legislation.

FEDERAL TRANSIT ADMINISTRATION (20.500, 20.505, 20.507, 20.509, 20.513-20.514, 20.516, 20.518-20.522, 20.524-20.531)

Assists in the development of improved mass transportation facilities, equipment,

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techniques, and methods, with the cooperation of mass transportation companies both public and private; encourages the planning and establishment of areawide urban mass transportation systems needed for economical and desirable urban development, with the cooperation of mass transportation companies both public and private; and provides assistance to State and local governments and their instrumentalities in financing such systems, to be operated by public or private mass transportation companies as determined by local needs.

MARITIME ADMINISTRATION (20.802-20.803, 20.806-20.808, 20.812-20.814, 20.816-20.823)

Administers programs to aid in the development, promotion, and operation of the U.S. Merchant Marine; organizes and directs emergency merchant ship operations; administers subsidy programs through the Maritime Subsidy Board, under which the Federal government, subject to statutory limitations, pays the difference between certain costs of operating ships under the U.S. flag and foreign competitive flags on essential services, and the difference between the costs of constructing ships in U.S. and foreign shipyards; provides financing guarantees for the construction, reconstruction, and reconditioning of ships; and enters into capital construction fund agreements which grant tax deferrals on moneys to be used for the acquisition, construction, or reconstruction of ships; constructs or supervises the construction of merchant-type ships for the Federal government; it helps industry generate increased business for U.S. ships and conducts programs to develop ports, facilities, and internodal transport, and to promote domestic shipping. Administers a War Risk Insurance program insuring operators and seamen against losses caused by hostile action if domestic commercial insurance is not available; Under emergency conditions, charters Government-owned ships to U.S. operators, requisitions or procures ships owned by U.S. citizens, and allocates them to meet defense needs. It maintains a National Defense Reserve Fleet of Government-owned ships that it operates through general agents when required in national defense interests. An element of this activity is the Ready Reserve Force consisting of a number of ships available for quick-response activation; regulates sales to aliens and transfers to foreign registry of ships that are fully or partially owned by U.S. citizens; also disposes of Government-owned ships found nonessential for national defense; operates the U.S. Merchant Marine Academy, Kings Point, NY, where young people are trained to become merchant marine officers, and conducts training in shipboard firefighting at Earle, NJ, and Toledo, OH. It also administers a Federal assistance program for the maritime academies operated by California, Maine, Massachusetts, Michigan, New York, and Texas.

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (20.600, 20.607-20.608, 20.611, 20.614-20.616)

Carries out programs relating to the safety performance of motor vehicles and related equipment, motor vehicle drivers and pedestrians and a uniform nationwide speed limit under the National Traffic and Motor Vehicle Safety Act of 1966 (80 Stat. 718), as amended. Under the authority of the Motor Vehicle Information and Cost Saving Act (86 Stat. 947), as amended, the Administration carries out programs and studies aimed at reducing economic losses in motor vehicle crashes and repairs, through general motor vehicle programs; administers the Federal odometer law; and promulgates average fuel economy standards for passenger and nonpassenger motor vehicles. Under the authority of the Clean Air amendments of 1970 (84 Stat. 1700), the Administration certifies as to the consistency of Environmental Protection Agency State grants with any highway safety program developed pursuant to section 402 of Title 23 of the United States Code. The National Highway Traffic Safety Administration was established to carry out a congressional mandate to reduce the mounting number of deaths, injuries, and economic losses resulting from traffic accidents on the Nation's highways and to provide motor vehicle damage susceptibility and ease of repair information, motor vehicle inspection demonstrations, and protection of purchasers of motor vehicles having altered odometers, and to provide average standards for greater vehicle mileage per gallon of fuel for vehicle under 10,000 pounds (gross vehicle weight).

OFFICE OF THE SECRETARY (20.114, 20.223, 20.316, 20.701, 20.901, 20.910, 20.930, 20.933-20.936)

IMMEDIATE OFFICE OF THE SECRETARY OF TRANSPORTATION

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATOR (20.700, 20.703, 20.706-20.707, 20.710, 20.720-20.721, 20.723-20.725)

Responsible for a number of programs involving safety regulation, emergency preparedness, and research and development. Emphasis is given to hazardous material transportation and pipeline safety, transportation emergency preparedness, and safety training.

TREASURY, DEPARTMENT OF THE (21.001-21.999)

The Department of the Treasury's mission highlights its role as the steward of U.S. economic and financial systems, and as an influential participant in the global economy. The Treasury Department is the executive agency responsible for promoting economic prosperity and ensuring the financial security of the United States. The Department is responsible for a wide range of activities such as advising the President on economic and financial issues, encouraging sustainable economic growth, and fostering improved governance in financial institutions. The Department of the Treasury operates and maintains systems that are critical to the nation's financial infrastructure, such as the production of coin and currency, the disbursement of payments to the American public, revenue collection, and the borrowing of funds necessary to run the federal government. The Department works with other federal agencies, foreign governments, and international financial institutions to encourage global economic growth, raise standards of living, and to the extent possible, predict and prevent economic and financial crises. The Treasury Department also performs a critical and far-reaching role in enhancing national security by implementing economic sanctions against foreign threats to the U.S., identifying and targeting the financial support networks of national security threats, and improving the safeguards of our financial systems.

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS (21.011-21.012, 21.014, 21.020-21.021, 21.024-21.025)

Through monetary awards and the allocation of tax credits, the CDFI Fund helps promote access to capital and local economic growth in urban and rural low-income communities across the nation. Through its various programs, the CDFI Fund enables locally based organizations to further goals such as: economic development (job creation, business development, and commercial real estate development); affordable housing (housing development and homeownership); and community development financial services (provision of basic banking services to underserved communities and financial literacy training).

DEPARTMENTAL OFFICES (21.017-21.019, 21.023, 21.026-21.029)

DEPARTMENTAL OFFICES

INTERNAL REVENUE SERVICE (21.004, 21.006, 21.008)

Administers and enforces the Internal Revenue laws and related statutes, except those relating to alcohol, tobacco, firearms, and explosives. The IRS mission is to encourage and achieve the highest possible degree of voluntary compliance with the tax laws and regulations and to conduct itself so as to warrant the highest degree of public confidence in the integrity and efficiency of the Service. Accomplishment of this mission involves advising the public of its rights and responsibilities; communicating requirements of the law to the public; assisting taxpayers in complying with the laws and regulations, and taking those enforcement actions necessary for fair, effective, and impartial tax administration. Basic IRS activities include ensuring satisfactory resolution of taxpayer complaints, providing taxpayer service and education; determination, assessment, and collection of internal revenue taxes; determination of pension plan qualifications and exempt organization status; and preparation and issuance of rulings and regulations to supplement the provisions of the Internal Revenue Code.

UNITED STATES AGENCY FOR GLOBAL MEDIA, BBG (90.500-90.599)

The Broadcasting Board of Governors (BBG) encompasses all U.S. civilian international broadcasting, including the Voice of America (VOA), Radio Free Europe/Radio Liberty (RFE/RL), Radio Free Asia (RFA), Radio and TV Marti, and the Middle East Broadcasting Networks (MBN) -- Radio Sawa and Alhurra Television. BBG broadcasters distribute programming in 59 languages to an estimated weekly audience of 171 million people via radio, TV, the Internet and other news media. The BBG works to serve as an example of a free and professional press, reaching a worldwide audience with new, information, and relevant discussions.

AGENCY INDEX SUMMARY

UNITED STATES GOVERNMENT PUBLISHING OFFICE (40.001-40.999)

Executes orders for printing and binding placed by Congress and the departments and establishments of the Federal government. It furnishes blank paper, inks, and similar supplies to all governmental activities on order. It prepares catalogs and distributes and sells Government publications. GPO invites bids from commercial suppliers on a wide variety of printing and binding services, awards and administers contracts, and maintains liaison between ordering agencies and contractors. GPO sells through mail orders and Government bookstores approximately 10,000 publications that originate in various Government agencies, and administers the depository library program through which selected Government publications are made available in libraries throughout the country.

UNITED STATES INSTITUTE OF PEACE (91.001-91.999)

The United States Institute of Peace was established as an independent, Federal, nonprofit corporation by act of October 19, 1984 (22 U.S.C. 4603). It was established to strengthen the Nation's capacity to promote international peace and the peaceful resolution of conflicts among the peoples and nations of the world. The purpose of the Institute is to develop and disseminate knowledge about the peaceful resolution of international conflict. To accomplish this the Institute has set the following goals: To provide creative practical insights through research, education, and training on negotiation, mediation, and other skills to those actively engaged in resolving international conflicts; to expand the body of knowledge about the nature and processes of peace, war, and international conflict management; and to disseminate information to the public about these subjects. Among the Institute's instruments are grants, fellowships, a library, and in-house projects. The grants program provides financial support to nonprofit organizations, including private colleges and universities; official public institutions, including public schools, colleges, universities, libraries, and Federal, State, and local agencies; and individuals, whether or not they are associated with nonprofit or official public institutions. The Jennings Randolph Program for International Peace offers senior fellowships annually to practitioners or scholars in the U.S. and around the world who join the Institute for about ten months to work on projects concerning the sources and nature of international conflict and ways of managing conflict and sustaining peace. The program also awards Peace Scholar Dissertation Fellowships annually to outstanding doctoral students enrolled in an accredited university in the U.S. who are researching or writing a dissertation that promises to contribute knowledge relevant to the formulation of policy on international peace and conflict issues. The Jeannette Rankin Library Program is developing four main components on international peacemaking: a specialized research library; a network with and support for other libraries, both specialized and public; an oral history resource; and bibliographic as well as other data bases. Institute-directed projects under the Education and Public Information Program include an educational TV program and cassette programs on such topics as U.S.-Soviet summitry and a National Peace Essay Contest for high school students. The in-house Research and Studies is completing a broad, systematic examination of peace and conflict management theories and features workshops and seminars. Institute publications include biennial report to Congress and the President; a newsletter, The United States Institute of Peace Journal; and short issue papers, in brief. For more information please visit the Institute's web site at www.usip.org.

VETERANS AFFAIRS, DEPARTMENT OF (64.001-64.999)

Administers the National Cemetery Administration, which provides cemetery services to veterans and other eligibles as prescribed by the Secretary of Veterans Affairs pursuant to the provisions of the National Cemeteries Act of 1973 and other statutory authorities and regulations. These services also include providing headstones and markers for the graves of eligibles in national and State veterans cemeteries and for veterans interred in private cemeteries. Monetary aid to States for establishment, expansion, and improvement of veterans' cemeteries is also available.

NATIONAL CEMETERY SYSTEM (64.201-64.203)

Administers the National Cemetery Administration, which provides cemetery services to veterans and other eligibles as prescribed by the Secretary of Veterans Affairs pursuant to the provisions of the National Cemeteries Act of 1973 and other statutory authorities and regulations. These services also include providing headstones and markers for the graves of eligibles in national and State

veterans cemeteries and for veterans interred in private cemeteries. Monetary aid to States for establishment, expansion, and improvement of veterans' cemeteries is also available.

VA HEALTH ADMINISTRATION CENTER (64.005, 64.011-64.015, 64.024, 64.026, 64.029, 64.033, 64.035, 64.053-64.054)

Provides hospital, nursing home and domiciliary care, and outpatient medical and dental care to eligible veterans of military service in the Armed Forces. Dependents of certain veterans are provided medical care supplied by non-VA institutions and physicians. The Department conducts both individual medical and health-care delivery research projects and multi-hospital research programs. It assists in the education of physicians and dentists and with training of many other health care professionals through affiliations with educational institutions and organizations.

VETERANS BENEFITS ADMINISTRATION (64.027-64.028, 64.030-64.032, 64.051, 64.100-64.101, 64.104-64.106, 64.109-64.110, 64.114, 64.116-64.118, 64.120, 64.124-64.128)

Conducts an integrated program of veterans benefits: The Compensation and Pension (C & P) Service has responsibility for: claims for disability compensation, pension, and Spina Bifida monthly allowance under 38 U.S.C. 1805; automobile allowances and special adaptive equipment; claims for specially adapted housing; special clothing allowances; emergency officer's retirement pay; eligibility determinations based on military service for other VA benefits and services or those of other Government agencies; survivor's claims for death compensation, dependency and indemnity compensation, death pension, burial and plot allowance claims; claims for accrued benefits; forfeiture determinations; claims for adjusted compensation in death cases; and claims for reimbursement for headstones or markers. The Education Service has responsibility for: readjustment education benefits for post-Vietnam era veterans and recently-discharged veterans, as well as educational assistance for spouses, surviving spouses, and children of veterans who are permanently and totally disabled or die from disability incurred or aggravated in active service in the Armed forces, or are currently prisoners of war or missing in action. In addition, certain members of the selected Reserve or National Guard are also eligible for education benefits administered by the Service. Vocational Rehabilitation Service has responsibility for the rehabilitation of disabled veterans. Information, advice, and assistance are provided to veterans, their dependents and beneficiaries, representatives and others in applying for benefits administered by the Department of Veterans Affairs. Benefit information and readjustment assistance is provided to recently separated veterans. C&P also maintains a benefits protection program (fiduciary activities) for minors and incompetent veterans and other incompetent adult beneficiaries and provide field-investigative services. Special restorative training is also available to eligible children. The mission is also to provide credit assistance whereby the housing credit needs of eligible veterans and active duty service personnel may be satisfied by private capital on more liberal terms than generally available to non-veterans. Assistance is provided chiefly through substituting the Government's guaranty on loans made by private lenders in lieu of the downpayments, shorter terms, and other requirements generally required in conventional home mortgage transactions. Direct loans are made to Native Americans on trust land or to supplement a grant to get a specially adapted home for certain eligible veterans who have a permanent and total service-connected disability(ies). In addition, a system of direct financial grants is operated to help certain permanently disabled veterans to acquire specially adapted housing. VA life insurance operations are for the benefit of service members, veterans, and their beneficiaries. In addition, the VA is responsible for: supervision of the Servicemen's Group Life Insurance (SGLI) and Veterans Group Life Insurance (VGLI) Programs for today's service members and veterans. The Veterans' Insurance Act of 1974 (88 Stat. 165; 38 U.S.C. 765-779), effective May 24, 1974, has substantially amended the law provided for the conversion of SGLI to a 5-year nonrenewable term policy known as Veterans Group Life Insurance (VGLI). At the termination of the 5-year term period, it may be converted to an individual policy with any one of the many participating commercial insurance companies. Supervision of the Veterans Mortgage Life Insurance Program for those disabled veterans who receive a VA grant for specially adapted housing - under the terms of contractual agreement with a Primary Insurer. VA guarantees the protection of commercial life insurance (up to \$10,000) against premium payments for persons while in military or naval service, and 2 years thereafter.

AGENCY INDEX SUMMARY

VIETNAM EDUCATION FOUNDATION (85.801-85.899)

The Vietnam Education Foundation (VEF) was established by the U.S. Congress under the Vietnam Education Foundation Act (2000) to create educational exchange activities for Vietnamese nationals to pursue graduate studies or professional development at U.S. universities and for Americans to teach at Vietnamese universities and, thus, build the bilateral relationship between the United States and Vietnam. VEF is governed by a thirteen-member Board of Directors: two U.S. Senators, two U.S. Representatives, three U.S. cabinet members, and six members from the U.S. citizenry appointed by the U.S. President. A Board-appointed American Executive Director oversees VEF operations, conducted through two highly coordinated offices: the U.S. Headquarters in the Washington, D.C., area and the Vietnam Field office in Hanoi. VEF receives an annual budget of U.S. \$5 million from the U.S. Congress until 2018. The VEF programs, as prescribed in the VEF legislation, focus on the sciences (natural, physical, and environmental), engineering, mathematics, medicine (including public health and health sciences), and technology (including information technology), known as the STEMM fields. The following are the core VEF activities: (1) Fellowship Program that brings Vietnamese nationals to the United States for graduate degree programs, funded through cost-sharing agreements with U.S. universities; (2) Visiting Scholar Program that funds Vietnamese nationals with a Ph.D. to develop their professional skills through studies, research, and/or observational activities at leading U.S. institutions; and (3) U.S. Faculty Scholar Program that provides grants to American professors to teach courses in English at Vietnamese institutions for one or two semesters, on site in Vietnam or via interactive, real-time videoconferencing. VEF capacity-building efforts build the relationship between U.S. and Vietnamese institutions and government entities and strengthen the foundations in Vietnam for the return of VEF Fellows and Visiting Scholars to their home country.

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AGENCY ASSISTANCE LISTING INDEX

This index provides an assistance listing list by agency showing the APAL number and assistance listing title. It also distinguishes between listings that provide financial assistance and those that provide non-financial assistance in the form of services and technical assistance. The letter(s) in parentheses following the listing title indicates the type of assistance available through that listing. The following letter codes are used:

1. A: Formula Grants
2. B: Project Grants
3. C: Direct Payments for Specified Use
4. D: Direct Payments with Unrestricted Use
5. E: Direct Loans
6. F: Guaranteed/Insured Loans
7. G: Insurance
8. H: Sale, Exchange, or Donation of Property and Goods
9. I: Use of Property, Facilities, and Equipment
10. J: Provision of Specialized Services
11. K: Advisory Services and Counseling
12. L: Dissemination of Technical Information
13. M: Training
14. N: Investigation of Complaints
15. O: Federal Employment
16. Z: Salaries and Expenses

Assistance Listing Description	Financial	Non-Financial
AGENCY FOR INTERNATIONAL DEVELOPMENT		
98.001 USAID Foreign Assistance for Programs Overseas (B)	Y	
98.002 Cooperative Development Program (CDP) (B)	Y	
98.003 Ocean Freight Reimbursement Program (OFR) (B)	Y	
98.004 Non-Governmental Organization Strengthening (NGO) (B)	Y	
98.005 Institutional Capacity Building (ICB) (B)	Y	
98.006 Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (B)	Y	
98.007 Food for Peace Development Assistance Program (DAP) (B, H)	Y	Y
98.008 Food for Peace Emergency Program (EP) (B, H)	Y	Y
98.009 John Ogonowski Farmer-to-Farmer Program (B)	Y	
98.010 Denton Program (B, J)	Y	Y
98.011 Global Development Alliance (B)	Y	
98.012 USAID Development Partnerships for University Cooperation and Development (B)	Y	
AGRICULTURE, DEPARTMENT OF		
AGRICULTURAL MARKETING SERVICE		
10.153 Market News (L)		Y
10.155 Marketing Agreements and Orders (J, K, Z)	Y	Y
10.156 Federal-State Marketing Improvement Program (B)	Y	
10.162 Inspection Grading and Standardization (J, Z)	Y	Y
10.163 Market Protection and Promotion (J, K, M, Z)	Y	Y
10.164 Wholesale Farmers and Alternative Market Development (K, M)		Y
10.165 Perishable Agricultural Commodities Act (N)		Y
10.167 Transportation Services (K, M)		Y
10.168 Farmers Market Promotion Program (B)	Y	
10.170 Specialty Crop Block Grant Program - Farm Bill (A, B)	Y	
10.172 Local Food Promotion Program (B)	Y	
10.173 Sheep Production and Marketing Grant Program (B)	Y	
10.174 Acer Access Development Program (B)	Y	
10.175 Farmers Market and Local Food Promotion Program (B)	Y	
10.176 Dairy Business Innovation Initiatives (B)	Y	
10.177 Regional Food System Partnerships (B)	Y	
10.178 Trade Mitigation Program Eligible Recipient Agency Operational Funds (A)	Y	
10.179 Micro-Grants for Food Security Program (B)	Y	
10.180 Meat and Poultry Interstate Shipment and Inspection Readiness Program (ISIRP) (B)	Y	
10.181 Agricultural Worker Pandemic Relief and Protection Program (B)	Y	
AGRICULTURAL RESEARCH SERVICE		
10.001 Agricultural Research Basic and Applied Research (B)	Y	

AGENCY ASSISTANCE LISTING INDEX

Assistance Listing Description	Financial	Non-Financial
10.700 National Agricultural Library (B, L)	Y	Y
ANIMAL AND PLANT HEALTH INSPECTION SERVICE		
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B, Z)	Y	
10.028 Wildlife Services (B, Z)	Y	
10.030 Indemnity Program (D)	Y	
ECONOMIC RESEARCH SERVICE		
10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B, L)	Y	Y
10.253 Consumer Data and Nutrition Research (B, L)	Y	Y
10.255 Research Innovation and Development Grants in Economic (RIDGE) (A, L)	Y	Y
FARM SERVICE AGENCY		
10.051 Commodity Loans and Loan Deficiency Payments (D, E)	Y	
10.053 Dairy Indemnity Payment Program (D)	Y	
10.054 Emergency Conservation Program (C, D)	Y	
10.056 Farm Storage Facility Loans (E)	Y	
10.069 Conservation Reserve Program (C, D)	Y	
10.087 Biomass Crop Assistance Program (C, D)	Y	
10.089 Livestock Forage Disaster Program (C)	Y	
10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)	Y	
10.099 Conservation Loans (F)	Y	
10.102 Emergency Forest Restoration Program (D)	Y	
10.108 Livestock Indemnity Program-2014 Farm Bill (D)	Y	
10.109 Livestock Forage Disaster Program (D)	Y	
10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program (C)	Y	
10.111 Tree Assistance Program (C, D)	Y	
10.112 Price Loss Coverage (C)	Y	
10.113 Agriculture Risk Coverage Program (C, D)	Y	
10.114 Cotton Transition Assistance Program (C, D)	Y	
10.116 The Margin Protection Program (C)	Y	
10.117 Biofuel Infrastructure Partnership (B)	Y	
10.120 2017 Wildfires and Hurricanes Indemnity Program (D)	Y	
10.123 Market Facilitation Program (D)	Y	
10.127 Dairy Margin Coverage (C)	Y	
10.128 Heirs' Property Relending Program (F)	Y	
10.129 Wildfires and Hurricanes Indemnity Program Plus (D)	Y	
10.130 Coronavirus Food Assistance Program 1 (D)	Y	
10.131 Seafood Trade Relief Program (STRP) (C)	Y	
10.132 Coronavirus Food Assistance Program 2 (D)	Y	
10.133 Quality Loss Adjustment Program (D)	Y	
10.136 American Rescue Plan Act of 2021 Loan Payment (C)	Y	
10.137 Pandemic Assistance for Timber Harvesters and Haulers (PATHH) Program (D)	Y	
10.138 Pandemic Livestock Indemnity Program (D)	Y	
10.145 Pandemic Assistance Block Grant (A)	Y	
10.147 Outreach Education and Technical Assistance (L)		Y
10.171 Organic Certification Cost Share Programs (B, C)	Y	
10.404 Emergency Loans (E)	Y	
10.406 Farm Operating Loans (E, F)	Y	
10.407 Farm Ownership Loans (E, F)	Y	
10.421 Indian Tribes and Tribal Corporation Loans (E)	Y	
10.435 State Mediation Grants (B)	Y	
10.449 Boll Weevil Eradication Loan Program (E)	Y	
10.451 Noninsured Crop Disaster Assistance Program (D)	Y	
FOOD AND NUTRITION SERVICE		
10.528 USDA WIC Telehealth Evaluation Collaborative (B)	Y	
10.529 Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project (B)	Y	
10.531 State Agency: Farm to School Program Training and Curricula (B)	Y	

AGENCY ASSISTANCE LISTING INDEX

Assistance Listing Description	Financial	Non-Financial
10.532 School Nutrition Training Grant for Allied Professional Organizations (B)	Y	
10.533 SNAP-Ed Toolkit (B)	Y	
10.534 CACFP Meal Service Training Grants (A)	Y	
10.535 SNAP Fraud Framework Implementation Grant (B)	Y	
10.536 CACFP Training Grants (B)	Y	
10.537 Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (B)	Y	
10.539 CNMI Nutrition Assistance (B, C)	Y	
10.540 Participant Research Innovation Laboratory for Enhancing WIC Services (B, Z)	Y	
10.541 Child Nutrition-Technology Innovation Grant (B)	Y	
10.542 Pandemic EBT Food Benefits (A)	Y	
10.543 Healthier US School Challenge: Smarter Lunchrooms (C)	Y	
10.545 Farmers' Market Supplemental Nutrition Assistance Program Support Grants (B, Z)	Y	
10.547 Professional Standards for School Nutrition Employees (B, M)	Y	Y
10.551 Supplemental Nutrition Assistance Program (A, B, C, Z)	Y	
10.553 School Breakfast Program (A)	Y	
10.555 National School Lunch Program (A)	Y	
10.556 Special Milk Program for Children (A)	Y	
10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A, B)	Y	
10.558 Child and Adult Care Food Program (A)	Y	
10.559 Summer Food Service Program for Children (A)	Y	
10.560 State Administrative Expenses for Child Nutrition (A)	Y	
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A, Z)	Y	
10.565 Commodity Supplemental Food Program (A, H, Z)	Y	Y
10.566 Nutrition Assistance For Puerto Rico (C, Z)	Y	
10.567 Food Distribution Program on Indian Reservations (B, C, H)	Y	Y
10.568 Emergency Food Assistance Program (Administrative Costs) (A)	Y	
10.569 Emergency Food Assistance Program (Food Commodities) (A)	Y	
10.572 WIC Farmers' Market Nutrition Program (FMNP) (A, B)	Y	
10.574 Team Nutrition Grants (B)	Y	
10.575 Farm to School Grant Program (B, L, M)	Y	Y
10.576 Senior Farmers Market Nutrition Program (A)	Y	
10.577 SNAP Partnership Grant (B)	Y	
10.578 WIC Grants To States (WGS) (A, B)	Y	
10.579 Child Nutrition Discretionary Grants Limited Availability (B)	Y	
10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B, Z)	Y	
10.582 Fresh Fruit and Vegetable Program (B)	Y	
10.585 FNS Food Safety Grants (B)	Y	
10.587 National Food Service Management Institute Administration and Staffing Grant (B, Z)	Y	
10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (B)	Y	
10.593 Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)	Y	
10.594 Food Distribution Program on Indian Reservations Nutrition Education Grants (B)	Y	
10.596 Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (B)	Y	
10.597 School Wellness Policy Cooperative Agreement (B)	Y	
10.649 Pandemic EBT Administrative Costs (Z)	Y	
FOOD SAFETY AND INSPECTION SERVICE		
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)	Y	
10.477 Meat, Poultry, and Egg Products Inspection (B, J)	Y	Y
10.479 Food Safety Cooperative Agreements (B)	Y	
FOREIGN AGRICULTURAL SERVICE		
10.600 Foreign Market Development Cooperator Program (A, C)	Y	
10.601 Market Access Program (A, C)	Y	
10.603 Emerging Markets Program (B, C)	Y	
10.604 Technical Assistance for Specialty Crops Program (B, C)	Y	
10.605 Quality Samples Program (B, C)	Y	
10.606 Food for Progress (C, H)	Y	Y
10.608 Food for Education (C, H)	Y	Y
10.610 Export Guarantee Program (F)	Y	

AGENCY ASSISTANCE LISTING INDEX

Assistance Listing Description	Financial	Non-Financial
10.612	USDA Local and Regional Food Aid Procurement Program (B)	Y
10.613	Faculty Exchange Program (B, C)	Y
10.615	Pima Agriculture Cotton Trust Fund (D)	Y
10.616	Agriculture Wool Apparel Manufacturers Trust Fund (D)	Y
10.617	PL-480 Market Development and Technical Assistance (B)	Y
10.618	Agricultural Trade Promotion Program (B)	Y
10.619	International Agricultural Education Fellowship Program (B)	Y
10.620	Scientific Exchanges Program (B)	Y
10.777	Norman E. Borlaug International Agricultural Science and Technology Fellowship (B, C)	Y
10.960	Technical Agricultural Assistance (B, C)	Y
10.961	Scientific Cooperation and Research (B, C)	Y
10.962	Cochran Fellowship Program-International Training-Foreign Participant (B, C)	Y
FOREST SERVICE		
10.652	Forestry Research (B)	Y
10.664	Cooperative Forestry Assistance (A, B)	Y
10.665	Schools and Roads - Grants to States (A, B)	Y
10.666	Schools and Roads - Grants to Counties (C)	Y
10.674	Wood Utilization Assistance (B)	Y
10.675	Urban and Community Forestry Program (B)	Y
10.676	Forest Legacy Program (B)	Y
10.678	Forest Stewardship Program (B)	Y
10.679	Collaborative Forest Restoration (B)	Y
10.680	Forest Health Protection (A, B)	Y
10.681	Wood Education and Resource Center (WERC) (B)	Y
10.682	National Forest Foundation (C)	Y
10.683	National Fish and Wildlife Foundation (C)	Y
10.684	International Forestry Programs (B)	Y
10.689	Community Forest and Open Space Conservation Program (CFP) (B)	Y
10.690	Lake Tahoe Erosion Control Grant Program (B)	Y
10.691	Good Neighbor Authority (B)	Y
10.693	Watershed Restoration and Enhancement Agreement Authority (B)	Y
10.694	Southwest Forest Health and Wildfire Prevention (C)	Y
10.697	State & Private Forestry Hazardous Fuel Reduction Program (B)	Y
10.698	State & Private Forestry Cooperative Fire Assistance (B)	Y
10.699	Partnership Agreements (C)	Y
10.701	Stewardship Agreements (B)	Y
10.703	Cooperative Fire Protection Agreement (B, C)	Y
10.704	Law Enforcement Agreements (B)	Y
10.705	Cooperative Forest Road Agreements (B)	Y
10.707	Research Joint Venture and Cost Reimbursable Agreements (C)	Y
10.708	Community Wood Energy and Wood Innovation Program (B)	Y
10.711	Forest Service 638 Authority for Tribes (B)	Y
10.712	Great American Outdoors Act Deferred Maintenance Program (C)	Y
NATIONAL AGRICULTURAL STATISTICS SERVICE		
10.950	Agricultural Statistics Reports (L)	Y
10.951	Agricultural Statistics (L)	Y
NATIONAL INSTITUTE OF FOOD AND AGRICULTURE		
10.200	Grants for Agricultural Research, Special Research Grants (B)	Y
10.202	Cooperative Forestry Research (A)	Y
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act (A)	Y
10.205	Payments to 1890 Land-Grant Colleges and Tuskegee University (A)	Y
10.206	Grants for Agricultural Research_Competitive Research Grants (B)	Y
10.207	Animal Health and Disease Research (A)	Y
10.210	Higher Education – Graduate Fellowships Grant Program (B)	Y
10.212	Small Business Innovation Research (B)	Y
10.215	Sustainable Agriculture Research and Education (B)	Y

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Assistance Listing Description	Financial	Non-Financial
10.216	1890 Institution Capacity Building Grants (B)	Y
10.217	Higher Education - Institution Challenge Grants Program (B)	Y
10.219	Biotechnology Risk Assessment Research (B)	Y
10.220	Higher Education - Multicultural Scholars Grant Program (B)	Y
10.221	Tribal Colleges Education Equity Grants (B)	Y
10.222	Tribal Colleges Endowment Program (A)	Y
10.223	Hispanic Serving Institutions Education Grants (B)	Y
10.225	Community Food Projects (B)	Y
10.226	Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)	Y
10.227	1994 Institutions Research Program (B)	Y
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)	Y
10.229	Extension Collaborative on Immunization Teaching & Engagement (B)	Y
10.230	Farm of the Future (B)	Y
10.231	Agriculture Business Innovation Center at HBCU (B)	Y
10.232	Blue Ribbon (B)	Y
10.233	Open Data Framework (B)	Y
10.303	Integrated Programs (B)	Y
10.304	Homeland Security Agricultural (B)	Y
10.306	Biodiesel (B)	Y
10.307	Organic Agriculture Research and Extension Initiative (B)	Y
10.308	Resident Instruction Grants for Insular Area Activities (B)	Y
10.309	Specialty Crop Research Initiative (B)	Y
10.310	Agriculture and Food Research Initiative (AFRI) (B)	Y
10.311	Beginning Farmer and Rancher Development Program (B)	Y
10.312	Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)	Y
10.313	Veterinary Medicine Loan Repayment Program (C)	Y
10.318	Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)	Y
10.319	Farm Business Management and Benchmarking Competitive Grants Program (B)	Y
10.320	Sun Grant Program (B)	Y
10.322	Distance Education Grants for Institutions of Higher Education in Insular Areas (B)	Y
10.326	Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)	Y
10.328	National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (B)	Y
10.329	Crop Protection and Pest Management Competitive Grants Program (B)	Y
10.330	Alfalfa and Forage Research Program (B)	Y
10.331	Food Insecurity Nutrition Incentive Grants Program (B)	Y
10.332	Agricultural Genome to Phenome Initiative (B)	Y
10.333	Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative (B)	Y
10.334	Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)	Y
10.336	Veterinary Services Grant Program (B)	Y
10.500	Cooperative Extension Service (A, B)	Y
10.511	Smith-Lever Funding (Various Programs) (A, B)	Y
10.512	Agriculture Extension at 1890 Land-grant Institutions (A)	Y
10.513	1890 Facilities Grants Program (B)	Y
10.514	Expanded Food and Nutrition Education Program (A, B)	Y
10.515	Renewable Resources Extension Act and National Focus Fund Projects (A, B)	Y
10.516	Rural Health and Safety Education Competitive Grants Program (B)	Y
10.517	Tribal Colleges Extension Programs (B)	Y
10.518	Food Animal Residue Avoidance Databank (B)	Y
10.519	Equipment Grants Program (EGP) (B)	Y
10.520	Agriculture Risk Management Education Partnerships Competitive Grants Program (B)	Y
10.521	Children, Youth and Families At-Risk (B)	Y
10.522	Food and Agriculture Service Learning Program (B)	Y
10.523	Centers of Excellence at 1890 Institutions (B)	Y
10.524	Scholarships for Students at 1890 Institutions (B)	Y
10.525	Farm and Ranch Stress Assistance Network Competitive Grants Program (B)	Y
10.527	New Beginnings for Tribal Students (B)	Y
NATURAL RESOURCES CONSERVATION SERVICE		
10.072	Wetlands Reserve Program (B, Z)	Y

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Assistance Listing Description	Financial	Non-Financial
10.093 Voluntary Public Access and Habitat Incentive Program (A, B, Z)	Y	
10.902 Soil and Water Conservation (K, Z)	Y	Y
10.903 Soil Survey (L, Z)	Y	Y
10.904 Watershed Protection and Flood Prevention (B, K, Z)	Y	Y
10.905 Plant Materials for Conservation (J, Z)	Y	Y
10.907 Snow Survey and Water Supply Forecasting (L, Z)	Y	Y
10.912 Environmental Quality Incentives Program (C, Z)	Y	
10.913 Farm and Ranch Lands Protection Program (C, Z)	Y	
10.914 Wildlife Habitat Incentive Program (C, Z)	Y	
10.916 Watershed Rehabilitation Program (B, C, K, Z)	Y	Y
10.917 Agricultural Management Assistance (C, Z)	Y	
10.920 Grassland Reserve Program (C, Z)	Y	
10.921 Conservation Security Program (C, Z)	Y	
10.922 Healthy Forests Reserve Program (HFRP) (C, Z)	Y	
10.923 Emergency Watershed Protection Program (B, Z)	Y	
10.924 Conservation Stewardship Program (B, Z)	Y	
10.925 Agricultural Water Enhancement Program (C, Z)	Y	
10.926 Chesapeake Bay Watershed Program (C, Z)	Y	
10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B, Z)	Y	
10.928 Emergency Watershed Protection Program - Floodplain Easements – Disaster Relief Appropriations Act (C, Z)	Y	
10.929 Water Bank Program (B, C, Z)	Y	
10.931 Agricultural Conservation Easement Program (B, Z)	Y	
10.932 Regional Conservation Partnership Program (B, Z)	Y	
10.933 Wetland Mitigation Banking Program (B, Z)	Y	
10.934 Feral Swine Eradication and Control Pilot Program (B, Z)	Y	
10.935 Urban Agriculture and Innovative Production (B, Z)	Y	
RISK MANAGEMENT AGENCY		
10.450 Crop Insurance (B, G)	Y	
10.460 Risk Management Education Partnerships (B)	Y	
RURAL BUSINESS COOPERATIVE SERVICE		
10.350 Technical Assistance to Cooperatives (J, K, L, M)		Y
10.351 Rural Business Development Grant (A, B)	Y	
10.352 Value-Added Producer Grants (B)	Y	
10.377 Agriculture Innovation Center Demonstration Program (B)	Y	
10.379 Rural Energy Pilot Program Grant (B)	Y	
10.754 Higher Blends Infrastructure Incentive Program (A)	Y	
10.755 Rural Innovation Stronger Economy (B)	Y	
10.767 Intermediary Relending Program (E)	Y	
10.768 Business and Industry Loans (F)	Y	
10.771 Rural Cooperative Development Grants (B)	Y	
10.782 Appropriate Technology Transfer for Rural Areas (B)	Y	
10.854 Rural Economic Development Loans and Grants (B, E, F)	Y	
10.865 Biorefinery Assistance (C, F)	Y	
10.867 Bioenergy Program for Advanced Biofuels (B, D)	Y	
10.868 Rural Energy for America Program (B, F)	Y	
10.870 Rural Microentrepreneur Assistance Program (B, E)	Y	
10.871 Socially-Disadvantaged Groups Grant (B)	Y	
10.872 Healthy Food Financing Initiative (B)	Y	
10.874 Delta Health Care Services Grant Program (B)	Y	
10.890 Rural Development Cooperative Agreement Program (B)	Y	
RURAL HOUSING SERVICE		
10.405 Farm Labor Housing Loans and Grants (B, E, F)	Y	
10.410 Very Low to Moderate Income Housing Loans (E, F, G)	Y	
10.411 Rural Housing Site Loans and Self Help Housing Land Development Loans (E)	Y	
10.415 Rural Rental Housing Loans (B, E)	Y	
10.417 Very Low-Income Housing Repair Loans and Grants (B, E)	Y	

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Assistance Listing Description	Financial	Non-Financial
10.420 Rural Self-Help Housing Technical Assistance (B)	Y	
10.427 Rural Rental Assistance Payments (C)	Y	
10.433 Rural Housing Preservation Grants (B)	Y	
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)	Y	
10.446 Rural Community Development Initiative (B)	Y	
10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B, C, E)	Y	
10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)	Y	
10.494 Multi-Family Housing Non-Profit Transfer Technical Assistance Grants (B)	Y	
10.495 Farm Labor Housing Technical Assistance Grants (B)	Y	
10.766 Community Facilities Loans and Grants (B, E, F)	Y	
RURAL UTILITIES SERVICE		
10.751 Rural Energy Savings Program (RESP) (E)	Y	
10.752 Rural eConnectivity Pilot Program (B, E)	Y	
10.759 Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (B)	Y	
10.760 Water and Waste Disposal Systems for Rural Communities (B, E, F)	Y	
10.761 Technical Assistance and Training Grants (B)	Y	
10.762 Solid Waste Management Grants (B)	Y	
10.763 Emergency Community Water Assistance Grants (B)	Y	
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B, E)	Y	
10.850 Rural Electrification Loans and Loan Guarantees (E, F)	Y	
10.851 Rural Telephone Loans and Loan Guarantees (E, F)	Y	
10.855 Distance Learning and Telemedicine Loans and Grants (B, E)	Y	
10.858 Denali Commission Grants and Loans (B)	Y	
10.859 Assistance to High Energy Cost Rural Communities (B)	Y	
10.862 Rural Decentralized Water Systems Grant Program (B)	Y	
10.863 Community Connect Grant Program (B)	Y	
10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)	Y	
10.886 Rural Broadband Access Loans and Loan Guarantees (E, F)	Y	
USDA, OFFICE OF THE CHIEF ECONOMIST		
10.290 Agricultural Market and Economic Research (B)	Y	
10.291 Agricultural and Food Policy Research Centers (B)	Y	
USDA, Office of Partnerships and Public Engagement		
10.443 Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)	Y	
10.464 Socially Disadvantaged Farmers and Ranchers Policy Research Center (B)	Y	
BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FUND		
85.200 Barry M. Goldwater Scholarship Program (C)	Y	
COMMERCE, DEPARTMENT OF		
ECONOMIC DEVELOPMENT ADMINISTRATION		
11.020 Cluster Grants (B)	Y	
11.023 Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)	Y	
11.024 BUILD TO SCALE (B)	Y	
11.300 Investments for Public Works and Economic Development Facilities (B)	Y	
11.302 Economic Development Support for Planning Organizations (B)	Y	
11.303 Economic Development Technical Assistance (B)	Y	
11.307 Economic Adjustment Assistance (B)	Y	
11.312 Research and Evaluation Program (B)	Y	
11.313 Trade Adjustment Assistance for Firms (B)	Y	
INTERNATIONAL TRADE ADMINISTRATION		
11.112 Market Development Cooperator Program (B)	Y	
MINORITY BUSINESS DEVELOPMENT AGENCY		
11.802 Minority Business Resource Development (B)	Y	
11.804 MBDA Business Center - American Indian and Alaska Native (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
11.805 MBDA Business Center (B)	Y	
NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY		
11.013 Education Quality Award Ambassadorship (B)	Y	
11.601 Calibration Program (H, J)		Y
11.603 National Standard Reference Data System (H)		Y
11.604 Standard Reference Materials (H)		Y
11.606 Weights and Measures Service (H, J, K, L, M)		Y
11.609 Measurement and Engineering Research and Standards (B)	Y	
11.610 Standards Information Center (A, L)	Y	Y
11.611 Manufacturing Extension Partnership (B, L)	Y	Y
11.612 Advanced Technology Program (A, B)	Y	
11.616 Technology Innovation Program (TIP) (B)	Y	
11.619 Arrangements for Interdisciplinary Research Infrastructure (B)	Y	
11.620 Science, Technology, Business and/or Education Outreach (B)	Y	
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION		
11.008 NOAA Mission-Related Education Awards (B)	Y	
11.011 Ocean Exploration (B)	Y	
11.012 Integrated Ocean Observing System (IOOS) (B)	Y	
11.015 Broad Agency Announcement (B)	Y	
11.017 Ocean Acidification Program (OAP) (B)	Y	
11.021 NOAA Small Business Innovation Research (SBIR) Program (B)	Y	
11.022 Bipartisan Budget Act of 2018 (B)	Y	
11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)	Y	
11.405 Cooperative Institute (Inter-Agency Funded Activities) (B)	Y	
11.407 Interjurisdictional Fisheries Act of 1986 (A)	Y	
11.408 Fishermen's Contingency Fund (D)	Y	
11.413 Fishery Products Inspection and Certification (J)		Y
11.415 Fisheries Finance Program (E)	Y	
11.417 Sea Grant Support (B)	Y	
11.419 Coastal Zone Management Administration Awards (A, B)	Y	
11.420 Coastal Zone Management Estuarine Research Reserves (B)	Y	
11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)	Y	
11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)	Y	
11.429 Marine Sanctuary Program (B)	Y	
11.431 Climate and Atmospheric Research (B)	Y	
11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)	Y	
11.433 Marine Fisheries Initiative (B)	Y	
11.434 Cooperative Fishery Statistics (B)	Y	
11.435 Southeast Area Monitoring and Assessment Program (B)	Y	
11.436 Columbia River Fisheries Development Program (B)	Y	
11.437 Pacific Fisheries Data Program (B)	Y	
11.438 Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)	Y	
11.439 Marine Mammal Data Program (B)	Y	
11.440 Environmental Sciences, Applications, Data, and Education (B)	Y	
11.441 Regional Fishery Management Councils (B)	Y	
11.451 Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B, Z)	Y	
11.452 Unallied Industry Projects (B)	Y	
11.454 Unallied Management Projects (B)	Y	
11.455 Cooperative Science and Education Program (B)	Y	
11.457 Chesapeake Bay Studies (B)	Y	
11.459 Weather and Air Quality Research (B)	Y	
11.460 Special Oceanic and Atmospheric Projects (B)	Y	
11.462 Hydrologic Research (B)	Y	
11.463 Habitat Conservation (B)	Y	
11.467 Meteorologic and Hydrologic Modernization Development (B, D, L, M)	Y	Y
11.468 Applied Meteorological Research (B)	Y	
11.469 Congressionally Identified Awards and Projects (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
11.472 Unallied Science Program (B)	Y	
11.473 Office for Coastal Management (B)	Y	
11.474 Atlantic Coastal Fisheries Cooperative Management Act (B)	Y	
11.477 Fisheries Disaster Relief (B)	Y	
11.478 Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)	Y	
11.480 National Ocean Service Intern Program (B, Z)	Y	
11.481 Educational Partnership Program (B)	Y	
11.482 Coral Reef Conservation Program (B, Z)	Y	
11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)	Y	
11.999 Marine Debris Program (B, Z)	Y	
NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION		
11.028 Connecting Minority Communities Pilot Program (B)	Y	
11.029 Tribal Broadband Connectivity Program (B)	Y	
11.031 Broadband Infrastructure Program (B)	Y	
11.549 State and Local Implementation Grant Program (B)	Y	
11.553 Special Projects (B)	Y	
11.557 Broadband Technology Opportunities Program (BTOP) (B)	Y	
US CENSUS BUREAU		
11.016 Statistical, Research, and Methodology Assistance (B)	Y	
CONSUMER PRODUCT SAFETY COMMISSION		
87.002 Virginia Graeme Baker Pool and Spa Safety (B)	Y	
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE		
94.027 AmeriCorps VISTA Recruitment Support (Z)	Y	
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE		
94.002 Retired and Senior Volunteer Program (B)	Y	
94.003 State Commissions (B)	Y	
94.006 AmeriCorps (B)	Y	
94.008 Commission Investment Fund (B)	Y	
94.009 Training and Technical Assistance (B, L, M)	Y	Y
94.011 Foster Grandparent Program (B)	Y	
94.012 September 11th National Day of Service and Remembrance Grants (B)	Y	
94.013 Volunteers in Service to America (J)		Y
94.014 Martin Luther King Jr Day of Service Grants (B)	Y	
94.016 Senior Companion Program (B)	Y	
94.017 Senior Demonstration Program (B)	Y	
94.019 Social Innovation Fund (B, C)	Y	
94.020 CNCS Disaster Response Cooperative Agreement (B, C)	Y	
94.021 Volunteer Generation Fund (B)	Y	
94.026 National Service and Civic Engagement Research Competition (B)	Y	
DELTA REGIONAL AUTHORITY		
90.200 Delta Regional Development (B)	Y	
90.201 Delta Area Economic Development (A, B)	Y	
90.202 Delta Local Development District Assistance (B)	Y	
90.203 Delta Creative Place-Making Pilot Initiative (B)	Y	
90.204 States' Economic Development Assistance Program (B)	Y	
DENALI COMMISSION		
90.100 Denali Commission Program (B)	Y	
90.199 Shared Services (B)	Y	
DEPT OF DEFENSE		
12.225 Commercial Technologies for Maintenance Activities Program (B)	Y	
12.357 ROTC Language and Culture Training Grants (B, Z)	Y	

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Assistance Listing Description	Financial	Non-Financial
12.550 The Language Flagship Grants to Institutions of Higher Education (B, Z)	Y	
12.551 National Security Education Program David L. Boren Scholarships (B)	Y	
12.552 National Security Education Program David L. Boren Fellowships (B)	Y	
12.554 English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (B)	Y	
12.556 Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (B)	Y	
12.557 Invitational Grants for Military-Connected Schools (B)	Y	
12.579 Language Training Center (B)	Y	
12.620 Troops to Teachers Grant Program (B)	Y	
DEFENSE ADVANCED RESEARCH PROJECTS AGENCY (DARPA)		
12.910 Research and Technology Development (B)	Y	
DEFENSE HEALTH AGENCY (DHA)		
12.007 Military Health Services Research (MHSR) (B)	Y	
12.011 DOD HIV/AIDS Prevention Program (B)	Y	
DEFENSE LOGISTICS AGENCY (DLA)		
12.002 Procurement Technical Assistance For Business Firms (B)	Y	
DEFENSE POW/MIA ACCOUNTING AGENCY (DPAA)		
12.740 Past Conflict Accounting (B)	Y	
DEFENSE THREAT REDUCTION AGENCY (DTRA)		
12.351 Scientific Research - Combating Weapons of Mass Destruction (B)	Y	
12.501 Training and Support – Combating Weapons of Mass Destruction (B, Z)	Y	
DEPT OF DEFENSE		
12.006 National Defense Education Program (B)	Y	
12.018 Science, Technology, Engineering, and Mathematics (STEM) Educational Outreach Programs Grant (B)	Y	
DEPT OF DEFENSE EDUCATION ACTIVITY (DODEA)		
12.558 Department of Defense Impact Aid (Supplement, CWSD, BRAC) (C, D)	Y	
DEPT OF THE AIR FORCE		
12.777 Defense Production Act Title III (DPA Title III) (B, E)	Y	
12.800 Air Force Defense Research Sciences Program (B)	Y	
12.801 Air Force Academy Athletic Programs (B)	Y	
12.810 Air Force Medical Research and Development (B)	Y	
12.840 Civil Air Patrol Program (B)	Y	
DEPT OF THE ARMY		
12.004 Fort Huachuca Sentinel Landscapes for Military Training (B)	Y	
12.005 Conservation and Rehabilitation of Natural Resources on Military Installations (B)	Y	
12.008 Dredged Material Containment Area (B)	Y	
12.010 Youth Conservation Services (B)	Y	
12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services (B, Z)	Y	
12.114 Collaborative Research and Development (B)	Y	
12.116 Department of Defense Appropriation Act of 2003 (J)		Y
12.400 Military Construction, National Guard (B)	Y	
12.401 National Guard Military Operations and Maintenance (O&M) Projects (B, Z)	Y	
12.404 National Guard ChalleNGe Program (A)	Y	
12.420 Military Medical Research and Development (B)	Y	
12.431 Basic Scientific Research (B)	Y	
12.432 U.S. Military Academy Athletic Programs at West Point (B)	Y	
12.440 Dissertation Year Fellowship (B)	Y	
12.460 Fisher House Foundation (B)	Y	
12.987 Upper San Pedro Partnership Support (B)	Y	
DEPT OF THE NAVY		
12.300 Basic and Applied Scientific Research (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
12.330 Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (B)	Y	
12.340 Naval Medical Research and Development (B)	Y	
12.350 Department of Defense HIV/AIDS Prevention Program (B)	Y	
12.369 Marine Corps Systems Command Federal Assistance Program (B, Z)	Y	
IMMEDIATE OFFICE OF THE SECRETARY OF DEFENSE		
12.013 Defense Civilian Training Corps (DCTC) - Scholarship/Internship (B)	Y	
12.219 EASE 2.0 (B)	Y	
12.355 Pest Management and Vector Control Research (B)	Y	
12.360 Research on Chemical and Biological Defense (B)	Y	
12.560 DOD, NDEP, DOTC-STEM Education Outreach Implementation (B)	Y	
NATIONAL SECURITY AGENCY (NSA)		
12.014 OnRampII (C)	Y	
12.900 Language Grant Program (B)	Y	
12.901 Mathematical Sciences Grants (B)	Y	
12.902 Information Security Grants (B)	Y	
12.903 GenCyber Grants Program (B)	Y	
12.905 CyberSecurity Core Curriculum (B)	Y	
OFFICE OF ECONOMIC ADJUSTMENT		
12.003 Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (B)	Y	
12.600 Community Investment (B, C, L)	Y	Y
12.604 Community Economic Adjustment Assistance for Reductions in Defense Spending (B, L)	Y	Y
12.607 Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)	Y	
12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)	Y	
12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)	Y	
12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)	Y	
12.615 Research and Technical Assistance (B)	Y	
12.617 Economic Adjustment Assistance for State Governments (B)	Y	
12.618 Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)	Y	
Office of the Secretary of Defense		
12.599 Congressionally Directed Assistance (B)	Y	
12.630 Basic, Applied, and Advanced Research in Science and Engineering (B)	Y	
12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (B)	Y	
12.632 Legacy Resource Management Program (B)	Y	
UNIFORMED SERVICES UNIVERSITY OF THE HEALTH SCIENCES (USUHS)		
12.750 Uniformed Services University Medical Research Projects (B, Z)	Y	
WASHINGTON HEADQUARTERS SERVICES (WHS)		
12.015 Past Conflict Accounting - Vietnam (B)	Y	
12.016 Transportation - DASH 102X and WMATA 7M Shuttles (B)	Y	
12.017 Readiness and Environmental Protection Integration (REPI) Program (A)	Y	
EDUCATION, DEPARTMENT OF		
84.417 Directed Grants and Awards (C)	Y	
84.421 Disability Innovation Fund (DIF) (B)	Y	
84.422 American History and Civics Education (B)	Y	
84.424 Student Support and Academic Enrichment Program (A)	Y	
84.425 Education Stabilization Fund (A, B)	Y	
84.426 Randolph-Sheppard – Financial Relief and Restoration Payments (A)	Y	
84.938 Disaster Recovery Assistance for Education (A, B)	Y	
EDUCATION, DEPARTMENT OF		
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	Y	
84.016 Undergraduate International Studies and Foreign Language Programs (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
84.017	International Research and Studies (B)	Y
84.018	Overseas Programs Special Bilateral Projects (B)	Y
84.021	Overseas Programs - Group Projects Abroad (B)	Y
84.022	Overseas Programs - Doctoral Dissertation Research Abroad (B)	Y
84.031	Higher Education Institutional Aid (B)	Y
84.042	TRIO Student Support Services (B)	Y
84.044	TRIO Talent Search (B)	Y
84.047	TRIO Upward Bound (B)	Y
84.066	TRIO Educational Opportunity Centers (B)	Y
84.103	TRIO Staff Training Program (B)	Y
84.116	Fund for the Improvement of Postsecondary Education (B)	Y
84.120	Minority Science and Engineering Improvement (B)	Y
84.153	Business and International Education Projects (B)	Y
84.200	Graduate Assistance in Areas of National Need (B)	Y
84.217	TRIO McNair Post-Baccalaureate Achievement (B)	Y
84.220	Centers for International Business Education (B)	Y
84.229	Language Resource Centers (B)	Y
84.274	American Overseas Research Centers (B)	Y
84.334	Gaining Early Awareness and Readiness for Undergraduate Programs (B)	Y
84.335	Child Care Access Means Parents in School (B)	Y
84.382	Strengthening Minority-Serving Institutions (B)	Y
84.407	Transition Programs for Students with Intellectual Disabilities into Higher Education (B)	Y
INSTITUTE OF EDUCATION SCIENCES		
84.305	Education Research, Development and Dissemination (B)	Y
84.324	Research in Special Education (B)	Y
84.329	Special Education Studies and Evaluations (B)	Y
84.372	Statewide Longitudinal Data Systems (B)	Y
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION		
84.004	Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (B)	Y
84.010	Title I Grants to Local Educational Agencies (A)	Y
84.011	Migrant Education State Grant Program (A)	Y
84.013	Title I State Agency Program for Neglected and Delinquent Children and Youth (A)	Y
84.040	Impact Aid Facilities Maintenance (B)	Y
84.041	Impact Aid (A, B)	Y
84.060	Indian Education Grants to Local Educational Agencies (A)	Y
84.141	Migrant Education High School Equivalency Program (B)	Y
84.144	Migrant Education Coordination Program (B)	Y
84.149	Migrant Education College Assistance Migrant Program (B)	Y
84.165	Magnet Schools Assistance (B)	Y
84.184	School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (B)	Y
84.196	Education for Homeless Children and Youth (A)	Y
84.206	Javits Gifted and Talented Students Education (B)	Y
84.215	Innovative Approaches to Literacy; Promise Neighborhoods; and Full-Service Community Schools (B)	Y
84.282	Charter Schools (B)	Y
84.283	Comprehensive Centers (B)	Y
84.287	Twenty-First Century Community Learning Centers (A, B)	Y
84.295	Ready-To-Learn Television (B)	Y
84.299	Indian Education -- Special Programs for Indian Children (B)	Y
84.310	Statewide Family Engagement Centers (B)	Y
84.336	Teacher Quality Partnership Grants (B)	Y
84.351	Arts in Education (B)	Y
84.354	Credit Enhancement for Charter School Facilities (B)	Y
84.356	Alaska Native Educational Programs (B)	Y
84.358	Rural Education (A)	Y
84.362	Native Hawaiian Education (B)	Y
84.365	English Language Acquisition State Grants (A)	Y
84.367	Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants) (A)	Y

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Assistance Listing Description	Financial	Non-Financial
84.368 Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) (B)	Y	
84.369 Grants for State Assessments and Related Activities (A)	Y	
84.370 DC Opportunity Scholarship Program (B)	Y	
84.371 Comprehensive Literacy Development (B)	Y	
84.374 Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)	Y	
84.403 Consolidated Grant to the Outlying Areas (A)	Y	
84.411 Education Innovation and Research (formerly Investing in Innovation (i3) Fund) (B)	Y	
84.415 Indian Education National Activities (State Tribal Education Partnership (STEP) and Native American Language (NAL) (B)	Y	
84.423 Supporting Effective Educator Development Program (B)	Y	
OFFICE OF FEDERAL STUDENT AID		
84.007 Federal Supplemental Educational Opportunity Grants (C)	Y	
84.033 Federal Work-Study Program (C)	Y	
84.063 Federal Pell Grant Program (C)	Y	
84.268 Federal Direct Student Loans (E)	Y	
84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)	Y	
84.408 Postsecondary Education Scholarships for Veteran's Dependents (A, C)	Y	
OFFICE OF HUMAN RESOURCES AND ADMINISTRATION		
84.145 Federal Real Property Assistance Program (H)		Y
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES		
84.027 Special Education Grants to States (A)	Y	
84.126 Rehabilitation Services Vocational Rehabilitation Grants to States (A)	Y	
84.129 Rehabilitation Long-Term Training (B)	Y	
84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	Y	
84.161 Rehabilitation Services Client Assistance Program (A)	Y	
84.173 Special Education Preschool Grants (A)	Y	
84.177 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (B)	Y	
84.181 Special Education-Grants for Infants and Families (A)	Y	
84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)	Y	
84.235 Rehabilitation Services Demonstration and Training Programs (B)	Y	
84.240 Program of Protection and Advocacy of Individual Rights (A)	Y	
84.246 Rehabilitation Short-Term Training (B)	Y	
84.250 American Indian Vocational Rehabilitation Services (B)	Y	
84.263 Innovative Rehabilitation Training (B)	Y	
84.264 Rehabilitation Training Technical Assistance Centers (B)	Y	
84.315 Traditionally Underserved Populations (B)	Y	
84.323 Special Education - State Personnel Development (B)	Y	
84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)	Y	
84.326 Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)	Y	
84.327 Special Education Educational Technology Media, and Materials for Individuals with Disabilities (B)	Y	
84.328 Special Education Parent Information Centers (B)	Y	
84.373 Special Education Technical Assistance on State Data Collection (B)	Y	
84.380 Special Education - Special Olympics Education Programs (B)	Y	
Office of Career, Technical, and Adult Education		
84.002 Adult Education - Basic Grants to States (A)	Y	
84.048 Career and Technical Education -- Basic Grants to States (A)	Y	
84.051 Career and Technical Education -- National Programs (B)	Y	
84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)	Y	
84.191 Adult Education National Leadership Activities (B, C)	Y	
84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)	Y	
84.259 Native Hawaiian Career and Technical Education (B)	Y	
ELECTION ASSISTANCE COMMISSION		
90.401 Help America Vote Act Requirements Payments (A, C)	Y	
90.404 2018 HAVA Election Security Grants (A)	Y	

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Assistance Listing Description	Financial	Non-Financial
ENERGY, DEPARTMENT OF		
81.005 Environmental Monitoring, Independent Research, Technical Analysis (B)	Y	
81.036 Inventions and Innovations (B, K, L)	Y	Y
81.041 State Energy Program (A, L)	Y	Y
81.042 Weatherization Assistance for Low-Income Persons (A)	Y	
81.049 Office of Science Financial Assistance Program (B)	Y	
81.057 University Coal Research (B)	Y	
81.065 Nuclear Legacy Cleanup Program (B, C)	Y	
81.086 Conservation Research and Development (B)	Y	
81.087 Renewable Energy Research and Development (B)	Y	
81.089 Fossil Energy Research and Development (B)	Y	
81.092 Remedial Action and Waste Management (B)	Y	
81.102 Student Driven Research and Long Term Monitoring of Selected Populations in the Valley and Ridge Eco-region (B)	Y	
81.104 Environmental Remediation and Waste Processing and Disposal (B)	Y	
81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)	Y	
81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)	Y	
81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)	Y	
81.112 Stewardship Science Grant Program (B)	Y	
81.113 Defense Nuclear Nonproliferation Research (B)	Y	
81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)	Y	
81.119 State Energy Program Special Projects (B)	Y	
81.121 Nuclear Energy Research, Development and Demonstration (B)	Y	
81.122 Electricity Research, Development and Analysis (B)	Y	
81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)	Y	
81.124 Predictive Science Academic Alliance Program (B)	Y	
81.126 Federal Loan Guarantees for Innovative Energy Technologies (E, F)	Y	
81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A, B)	Y	
81.131 Expand and Extend Clean Coal Power Initiative (B)	Y	
81.135 Advanced Research Projects Agency - Energy (B)	Y	
81.136 Long-Term Surveillance and Maintenance (B)	Y	
81.137 Minority Economic Impact (A, B)	Y	
81.138 State Heating Oil and Propane Program (B, Z)	Y	
81.139 Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (B)	Y	
81.140 Los Alamos National Laboratory - Fire Protection (B)	Y	
81.214 Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (B)	Y	
81.250 Energy Policy and Systems Analysis (B)	Y	
ENERGY, DEPARTMENT OF		
81.008 Cybersecurity, Energy Security & Emergency Response (CESER) (B)	Y	
81.010 Office of Technology Transitions (OTT)-Technology Deployment, Demonstration and Commercialization (B)	Y	
NNSA		
81.009 Molybdenum-99 Program (B)	Y	
ENVIRONMENTAL PROTECTION AGENCY		
66.001 Air Pollution Control Program Support (A, B)	Y	
66.032 State Indoor Radon Grants (A, B)	Y	
66.033 Ozone Transport Commission (B)	Y	
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	Y	
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)	Y	
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)	Y	
66.039 Diesel Emission Reduction Act (DERA) National Grants (B)	Y	
66.040 Diesel Emissions Reduction Act (DERA) State Grants (A)	Y	
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
66.110	Healthy Communities Grant Program (B)	Y
66.121	Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)	Y
66.123	Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)	Y
66.124	Coastal Wetlands Planning Protection and Restoration Act (B)	Y
66.125	Lake Pontchartrain Basin Restoration Program (PRP) (B)	Y
66.126	The San Francisco Bay Water Quality Improvement Fund (B)	Y
66.129	Southeast New England Coastal Watershed Restoration Program (B)	Y
66.130	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)	Y
66.202	Congressionally Mandated Projects (B)	Y
66.203	Environmental Finance Center Grants (B)	Y
66.204	Multipurpose Grants to States and Tribes (A)	Y
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	Y
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	Y
66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	Y
66.312	State Environmental Justice Cooperative Agreement Program (B)	Y
66.313	International Compliance and Enforcement Projects (B)	Y
66.418	Construction Grants for Wastewater Treatment Works (B)	Y
66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)	Y
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	Y
66.432	State Public Water System Supervision (A)	Y
66.433	State Underground Water Source Protection (A)	Y
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	Y
66.437	Long Island Sound Program (B)	Y
66.440	Urban Waters Small Grants (B)	Y
66.441	Healthy Watersheds Consortium Grant Program (B)	Y
66.442	Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A) (A)	Y
66.443	Reducing Lead in Drinking Water (SDWA 1459B) (B)	Y
66.444	Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d)) (A)	Y
66.445	Innovative Water Infrastructure Workforce Development Program (SDWA 1459E) (B)	Y
66.446	Technical Assistance for Treatment Works (Clean Water Act [CWA] Section 104(b)(8)) (B)	Y
66.447	Sewer Overflow and Stormwater Reuse Municipal Grant Program (A)	Y
66.448	Drinking Water System Infrastructure Resilience and Sustainability Program – SDWA 1459A(l) (B)	Y
66.454	Water Quality Management Planning (A)	Y
66.456	National Estuary Program (B)	Y
66.458	Capitalization Grants for Clean Water State Revolving Funds (A)	Y
66.460	Nonpoint Source Implementation Grants (A)	Y
66.461	Regional Wetland Program Development Grants (B)	Y
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	Y
66.466	Chesapeake Bay Program (B)	Y
66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)	Y
66.469	Great Lakes Program (B, I, L)	Y
66.472	Beach Monitoring and Notification Program Implementation Grants (A)	Y
66.473	Direct Implementation Tribal Cooperative Agreements (B)	Y
66.475	Gulf of Mexico Program (B)	Y
66.481	Lake Champlain Basin Program (B)	Y
66.482	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)	Y
66.483	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)	Y
66.484	South Florida Geographic Initiatives Program (B)	Y
66.508	Senior Environmental Employment Program (B)	Y
66.509	Science To Achieve Results (STAR) Research Program (B)	Y
66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	Y
66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)	Y
66.514	Science To Achieve Results (STAR) Fellowship Program (B)	Y
66.516	P3 Award: National Student Design Competition for Sustainability (B)	Y
66.517	Regional Applied Research Efforts (RARE) (B)	Y
66.518	State Senior Environmental Employment Program (B)	Y

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Assistance Listing Description	Financial	Non-Financial
66.521 Innovative Water Technology Grant Program (B)	Y	
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)	Y	
66.604 Environmental Justice Small Grant Program (B)	Y	
66.605 Performance Partnership Grants (A, B)	Y	
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)	Y	
66.609 Protection of Children from Environmental Health Risks (B)	Y	
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)	Y	
66.611 Environmental Policy and Innovation Grants (B)	Y	
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (A, B)	Y	
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (A, B)	Y	
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (A)	Y	
66.708 Pollution Prevention Grants Program (B)	Y	
66.714 Pesticide Environmental Stewardship Program (PESP) Grants (B)	Y	
66.716 Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)	Y	
66.717 Source Reduction Assistance (B)	Y	
66.801 Hazardous Waste Management State Program Support (A)	Y	
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)	Y	
66.804 Underground Storage Tank (UST) Prevention, Detection, and Compliance Program (A)	Y	
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)	Y	
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)	Y	
66.808 Solid Waste Management Assistance Grants (B)	Y	
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)	Y	
66.812 Hazardous Waste Management Grant Program for Tribes (B)	Y	
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	Y	
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)	Y	
66.815 Brownfields Job Training Cooperative Agreements (B)	Y	
66.816 Headquarters and Regional Underground Storage Tanks Program (B)	Y	
66.817 State and Tribal Response Program Grants (A)	Y	
66.818 Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Cooperative Agreements (B)	Y	
66.926 Indian Environmental General Assistance Program (GAP) (B)	Y	
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)	Y	
66.950 National Environmental Education Training Program (B)	Y	
66.951 Environmental Education Grants (B)	Y	
66.956 Targeted Airshed Grant Program (B)	Y	
66.958 Water Infrastructure Finance and Innovation (WIFIA) (E)	Y	
66.961 Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core) (B)	Y	
66.962 Columbia River Basin Restoration (CRBR) Program (B)	Y	
66.963 Healthy Environmental Living Program (HELP) (B)	Y	
66.964 Chesapeake Bay Program Implementation, Regulatory/Accountability and Monitoring Grants (B)	Y	

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K, N, O)		Y
30.005 Employment Discrimination Private Bar Program (J)		Y
30.008 Employment Discrimination Age Discrimination in Employment (K, N, O)		Y
30.010 Employment Discrimination Equal Pay Act (K, N, O)		Y
30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K, N, O)		Y
30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K, N, O, Z)	Y	Y

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95.001 High Intensity Drug Trafficking Areas Program (B)	Y	
95.004 Anti-Doping Activities (B)	Y	
95.005 Drug Court Training and Technical Assistance (B)	Y	
95.006 Model Acts Program (B)	Y	
95.007 Research and Data Analysis (B)	Y	
95.008 Drug-Free Communities Support Program - National Youth Leadership Initiative (B)	Y	

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95.003 DFC NATIONAL COMMUNITY ANTIDRUG COALITION INSTITUTE (B)	Y	
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EXPORT-IMPORT BANK OF THE U.S.		
31.007 Export - Loan Guarantee/Insured Loans (E, F)	Y	
FEDERAL COMMUNICATIONS COMMISSION		
32.003 UNIVERSAL SERVICE FUND - LIFELINE (C)	Y	
32.004 UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)	Y	
32.005 UNIVERSAL SERVICE FUND - RURAL HEALTH CARE (C)	Y	
32.006 COVID-19 Telehealth Program (C)	Y	
32.007 Connected Care Pilot Program (C)	Y	
FEDERAL COMMUNICATIONS COMMISSION		
32.002 UNIVERSAL SERVICE FUND - HIGH COST (C)	Y	
32.008 Emergency Broadband Benefit Program (C)	Y	
32.009 Emergency Connectivity Fund Program (C)	Y	
32.010 Supply Chain Reimbursement Program (C)	Y	
FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE		
38.006 State Appraiser Agency Support Grants (B)	Y	
38.008 Training and Technical Assistance for State Appraiser Regulatory Agencies (B)	Y	
38.009 Board Support and Innovation Grant (B)	Y	
FEDERAL MEDIATION AND CONCILIATION SERVICE		
34.002 Labor Management Cooperation (B)	Y	
GENERAL SERVICES ADMINISTRATION		
39.002 Disposal of Federal Surplus Real Property (H, Z)	Y	Y
39.003 Donation of Federal Surplus Personal Property (H)		Y
39.007 Sale of Federal Surplus Personal Property (H, Z)	Y	Y
GULF COAST ECOSYSTEM RESTORATION COUNCIL		
87.051 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (B)	Y	
87.052 Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (B)	Y	
HEALTH AND HUMAN SERVICES, DEPARTMENT OF		
ADMINISTRATION FOR CHILDREN AND FAMILIES		
93.060 Sexual Risk Avoidance Education (B)	Y	
93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B, L)	Y	Y
93.087 Enhance Safety of Children Affected by Substance Abuse (B)	Y	
93.090 Guardianship Assistance (A)	Y	
93.092 Affordable Care Act (ACA) Personal Responsibility Education Program (A, B)	Y	
93.093 Health Profession Opportunity Grants (B)	Y	
93.235 Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program (A)	Y	
93.327 Demonstration Grants for Domestic Victims of Human Trafficking (B)	Y	
93.340 Native American Community Research, Demonstration, and Pilot Projects (B)	Y	
93.356 Head Start Disaster Recovery (B)	Y	
93.434 Every Student Succeeds Act/Preschool Development Grants (B, M, Z)	Y	Y
93.471 Title IV-E Kinship Navigator Program (A)	Y	
93.472 Title IV-E Prevention Program (A)	Y	
93.489 Child Care Disaster Relief (A)	Y	
93.496 Family Violence Prevention and Services/Culturally Specific Domestic Violence and Sexual Violence Services (B)	Y	
93.497 Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports (A)	Y	
93.550 Transitional Living for Homeless Youth (B)	Y	
93.556 MaryLee Allen Promoting Safe and Stable Families Program (A, B)	Y	
93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)	Y	
93.558 Temporary Assistance for Needy Families (A, L)	Y	Y
93.560 Payments to Territories – Adults (A)	Y	
93.563 Child Support Enforcement (A)	Y	

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93.564	Child Support Enforcement Research (B)	Y
93.566	Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A, B)	Y
93.567	Refugee and Entrant Assistance Voluntary Agency Programs (A)	Y
93.568	Low-Income Home Energy Assistance (A, M)	Y
93.569	Community Services Block Grant (A, B, M)	Y
93.570	Community Services Block Grant Discretionary Awards (B)	Y
93.575	Child Care and Development Block Grant (A, B)	Y
93.576	Refugee and Entrant Assistance Discretionary Grants (B)	Y
93.579	U.S. Repatriation (B)	Y
93.581	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)	Y
93.583	Refugee and Entrant Assistance Wilson/Fish Program (B)	Y
93.586	State Court Improvement Program (A, B)	Y
93.587	Promote the Survival and Continuing Vitality of Native American Languages (A, B)	Y
93.590	Community-Based Child Abuse Prevention Grants (A, B)	Y
93.591	Family Violence Prevention and Services/State Domestic Violence Coalitions (A)	Y
93.592	Family Violence Prevention and Services/Discretionary (B)	Y
93.594	Tribal Work Grants (A)	Y
93.595	Welfare Reform Research, Evaluations and National Studies (B)	Y
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A, B)	Y
93.597	Grants to States for Access and Visitation Programs (A)	Y
93.598	Services to Victims of a Severe Form of Trafficking (B, C)	Y
93.599	Chafee Education and Training Vouchers Program (ETV) (A)	Y
93.600	Head Start (B, C)	Y
93.602	Assets for Independence Demonstration Program (B, M)	Y
93.603	Adoption and Legal Guardianship Incentive Payments (A)	Y
93.604	Assistance for Torture Victims (B, C, Z)	Y
93.605	Family Connection Grants (B)	Y
93.612	Native American Programs (B)	Y
93.623	Basic Center Grant (B)	Y
93.643	Children's Justice Grants to States (A)	Y
93.645	Stephanie Tubbs Jones Child Welfare Services Program (A)	Y
93.647	Social Services Research and Demonstration (B)	Y
93.648	Child Welfare Research Training or Demonstration (B)	Y
93.652	Adoption Opportunities (B)	Y
93.658	Foster Care Title IV-E (A, B)	Y
93.659	Adoption Assistance (A)	Y
93.667	Social Services Block Grant (A)	Y
93.669	Child Abuse and Neglect State Grants (A)	Y
93.670	Child Abuse and Neglect Discretionary Activities (B)	Y
93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)	Y
93.674	John H. Chafee Foster Care Program for Successful Transition to Adulthood (A)	Y
93.676	Unaccompanied Alien Children Program (B)	Y
93.714	ARRA – Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)	Y
93.787	Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (B)	Y
93.872	Tribal Maternal, Infant, and Early Childhood Home Visiting (B)	Y
ADMINISTRATION FOR COMMUNITY LIVING (ACL)		
93.041	Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)	Y
93.042	Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)	Y
93.043	Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)	Y
93.044	Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)	Y
93.045	Special Programs for the Aging, Title III, Part C, Nutrition Services (A)	Y
93.047	Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)	Y
93.048	Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (B)	Y
93.051	Alzheimer's Disease Demonstration Grants to States (B)	Y
93.052	National Family Caregiver Support, Title III, Part E (A)	Y
93.053	Nutrition Services Incentive Program (A)	Y
93.054	National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (A, B)	Y

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Assistance Listing Description	Financial	Non-Financial
93.071 Medicare Enrollment Assistance Program (A, B)	Y	
93.072 Lifespan Respite Care Program (B)	Y	
93.234 Traumatic Brain Injury State Demonstration Grant Program (B)	Y	
93.324 State Health Insurance Assistance Program (B)	Y	
93.325 Paralysis Resource Center (B)	Y	
93.362 Assistive Technology National Activities (B)	Y	
93.369 ACL Independent Living State Grants (A)	Y	
93.432 ACL Centers for Independent Living (B)	Y	
93.433 ACL National Institute on Disability, Independent Living, and Rehabilitation Research (B)	Y	
93.464 ACL Assistive Technology (A, B)	Y	
93.469 Assistive Technology Alternative Financing Program (B)	Y	
93.470 Alzheimer's Disease Program Initiative (ADPI) (B)	Y	
93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A, B)	Y	
93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)	Y	
93.631 Developmental Disabilities Projects of National Significance (B)	Y	
93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)	Y	
93.734 Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF) (B, Z)	Y	
93.747 Elder Abuse Prevention Interventions Program (B)	Y	
93.761 Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (B)	Y	
93.763 Alzheimer's Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (B)	Y	
93.843 ACL Assistive Technology State Grants for Protection and Advocacy (A)	Y	
93.844 ACL Centers for Independent Living, Recovery Act (B)	Y	
93.873 State Grants for Protection and Advocacy Services (A)	Y	
AGENCY FOR HEALTHCARE RESEARCH AND QUALITY		
93.225 National Research Service Awards Health Services Research Training (M)		Y
93.226 Research on Healthcare Costs, Quality and Outcomes (B)	Y	
CENTERS FOR DISEASE CONTROL AND PREVENTION		
93.061 Innovations in Applied Public Health Research (B)	Y	
93.062 Biomonitoring Programs for State Public Health Laboratories (B)	Y	
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)	Y	
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)	Y	
93.066 State Vital Statistics Improvement Program (B)	Y	
93.067 Global AIDS (B)	Y	
93.068 Chronic Diseases: Research, Control, and Prevention (B)	Y	
93.069 Public Health Emergency Preparedness (B)	Y	
93.070 Environmental Public Health and Emergency Response (B)	Y	
93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)	Y	
93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A, B)	Y	
93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)	Y	
93.080 Blood Disorder Program: Prevention, Surveillance, and Research (B)	Y	
93.082 Sodium Reduction in Communities (B)	Y	
93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)	Y	
93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (B)	Y	
93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)	Y	
93.098 Tribal Public Health Capacity Building and Quality Improvement (B)	Y	
93.099 Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (B)	Y	
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)	Y	
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)	Y	
93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)	Y	
93.136 Injury Prevention and Control Research and State and Community Based Programs (B)	Y	
93.161 Health Program for Toxic Substances and Disease Registry (B)	Y	
93.184 Disabilities Prevention (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
93.185 Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)	Y	
93.197 Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)	Y	
93.240 State Capacity Building (B)	Y	
93.261 Scaling the National Diabetes Prevention Program to Priority Populations (B)	Y	
93.262 Occupational Safety and Health Program (B, M)	Y	Y
93.268 Immunization Cooperative Agreements (B)	Y	
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	Y	
93.270 Viral Hepatitis Prevention and Control (B)	Y	
93.276 Drug-Free Communities Support Program Grants (B)	Y	
93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	Y	
93.304 Racial and Ethnic Approaches to Community Health (B)	Y	
93.305 PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (B)	Y	
93.312 Child Development and, Surveillance, Research and Prevention (B)	Y	
93.314 Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (B)	Y	
93.315 Rare Disorders: Research, Surveillance, Health Promotion, and Education (B)	Y	
93.316 Public Health Preparedness and Response Science, Research, and Practice (B)	Y	
93.317 Emerging Infections Programs (B)	Y	
93.318 Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (B)	Y	
93.319 Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (B)	Y	
93.322 CSELS Partnership: Strengthening Public Health Laboratories (B)	Y	
93.323 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (B)	Y	
93.326 Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (B)	Y	
93.328 National Implementation and Dissemination for Chronic Disease Prevention (B)	Y	
93.334 The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (B)	Y	
93.336 Behavioral Risk Factor Surveillance System (B)	Y	
93.339 Public Health Conference Support (B)	Y	
93.348 Technical Assistance to Increase Tobacco Cessation (B)	Y	
93.354 Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (B)	Y	
93.366 State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (B)	Y	
93.376 Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (B)	Y	
93.377 Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (B)	Y	
93.380 The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (B)	Y	
93.387 National and State Tobacco Control Program (B)	Y	
93.391 Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (B)	Y	
93.421 Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (B)	Y	
93.422 National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (B)	Y	
93.424 NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (B)	Y	
93.426 Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (B)	Y	
93.427 Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes. (B)	Y	
93.430 PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (B)	Y	
93.431 Networking2Save™: CDC's National Network Approach to Preventing and Controlling Tobacco-related Cancers in Special Populations (B)	Y	
93.435 Innovative State and Local Public Health Strategies to prevent and Manage Diabetes and Heart Disease and Stroke-(B)	Y	
93.436 WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (WISEWOMAN) (B)	Y	
93.437 PPHF2018-National Organization for Chronic Disease Prevention and Health Promotion-financed in part by 2018 Prevention and Public Health Funds (B)	Y	
93.439 State Physical Activity and Nutrition (SPAN) (B)	Y	
93.446 Partner Actions to Improve Oral Health Outcomes (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
93.456 CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (B)	Y	
93.465 Tobacco Prevention and Control Legal Technical Assistance (B)	Y	
93.478 Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (B)	Y	
93.479 Good Health and Wellness in Indian Country (B)	Y	
93.488 National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (B)	Y	
93.494 Global Tuberculosis:Developing,Evaluating,Implementing Evidence-based and Innovative Approaches to Find, Cure, and Prevent Tuberculosis Globally (B)	Y	
93.495 Community Health Workers for Public Health Response and Resilient (B)	Y	
93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)	Y	
93.524 Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (B)	Y	
93.533 Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)	Y	
93.534 Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)	Y	
93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)	Y	
93.649 Nutrition and Physical Activity Programs (B)	Y	
93.684 Engaging State and Local Emergency Management Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events (B)	Y	
93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (B)	Y	
93.735 State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (B)	Y	
93.738 PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (B)	Y	
93.745 PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (B)	Y	
93.753 Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (B)	Y	
93.755 Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)	Y	
93.759 Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (B)	Y	
93.762 A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (B)	Y	
93.765 PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)	Y	
93.772 Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)	Y	
93.792 Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (B)	Y	
93.795 Community Health Workers for COVID Response and Resilient Communities (B)	Y	
93.800 Organized Approaches to Increase Colorectal Cancer Screening (B)	Y	
93.809 National Organizations for Chronic Disease Prevention and Health Promotion (B)	Y	
93.810 Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)	Y	
93.814 Partner support for heart disease and stroke prevention (B)	Y	
93.816 Preventing Heart Attacks and Strokes in High Need Areas (B)	Y	
93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)	Y	
93.832 Promoting the Cancer Surveillance Workforce, Education and Data Use (B)	Y	
93.833 Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)	Y	
93.834 Capacity Building Assistance (CBA) for High-Impact HIV Prevention (B)	Y	
93.845 Promoting Population Health through Increased Capacity in Alcohol Epidemiology (B)	Y	
93.850 Improving Epilepsy Programs, Services, and Outcomes through National Partnerships (B)	Y	
93.852 National Syndromic Surveillance Program Community of Practice (NSSP CoP) (B)	Y	
93.858 National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (B)	Y	
93.860 Emerging Infections Sentinel Networks (A, B)	Y	
93.861 Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (B)	Y	
93.874 Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (B)	Y	
93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (B)	Y	
93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)	Y	
93.939 HIV Prevention Activities Non-Governmental Organization Based (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
93.940	HIV Prevention Activities Health Department Based (B)	Y
93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)	Y
93.942	Research, Prevention, and Education Programs on Lyme Disease in the United States (B)	Y
93.943	Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)	Y
93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)	Y
93.945	Assistance Programs for Chronic Disease Prevention and Control (B)	Y
93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)	Y
93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)	Y
93.967	CDC's Collaboration with Academia to Strengthen Public Health (B)	Y
93.977	Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)	Y
93.978	Sexually Transmitted Diseases (STD) Provider Education Grants (B)	Y
93.980	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (B, Z)	Y
93.981	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)	Y
93.991	Preventive Health and Health Services Block Grant (A, B)	Y
93.998	Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)	Y
CENTERS FOR MEDICARE AND MEDICAID SERVICES		
93.332	Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (B)	Y
93.341	Analyses, Research and Studies to Address the Impact of CMS' Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (B)	Y
93.378	Integrated Care for Kids Model (A)	Y
93.381	Emergency Triage, Treat, and Transport (ET3) Model (A)	Y
93.413	The State Flexibility to Stabilize the Market Grant Program (B)	Y
93.423	1332 State Innovation Waivers (B)	Y
93.525	State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges (B)	Y
93.545	Consumer Operated and Oriented Plan [CO-OP] Program (E)	Y
93.621	Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)	Y
93.624	Community Health Access and Rural Transformation (CHART) Model (A, B)	Y
93.634	Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals (B)	Y
93.638	ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (B)	Y
93.639	Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services (B)	Y
93.640	Basic Health Program (Affordable Care Act) (A, G)	Y
93.650	Accountable Health Communities (A)	Y
93.664	Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (B)	Y
93.687	Maternal Opioid Misuse Model (A)	Y
93.767	Children's Health Insurance Program (A)	Y
93.770	Medicare Prescription Drug Coverage (C, G)	Y
93.773	Medicare Hospital Insurance (C, G)	Y
93.774	Medicare Supplementary Medical Insurance (C, G)	Y
93.775	State Medicaid Fraud Control Units (A)	Y
93.777	State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A, C)	Y
93.778	Medical Assistance Program (A)	Y
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)	Y
93.791	Money Follows the Person Rebalancing Demonstration (A, B)	Y
93.796	State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)	Y
93.829	Section 223 Demonstration Programs to Improve Community Mental Health Services (B)	Y
93.881	The Reduction of Issuer Burden Through Technology Grant Program and The Health Insurance Enforcement and Consumer Protections Grant Program (B)	Y
93.968	Funding in Support of the Pennsylvania Rural Health Model (B)	Y
93.986	Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (A, Z)	Y
FOOD AND DRUG ADMINISTRATION		
93.103	Food and Drug Administration Research (B)	Y
93.367	Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs (B, Z)	Y
93.876	Antimicrobial Resistance Surveillance in Retail Food Specimens (B)	Y

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Assistance Listing Description	Financial	Non-Financial
HEALTH RESOURCES AND SERVICES ADMINISTRATION		
93.011 National Organizations of State and Local Officials (B)	Y	
93.059 Training in General, Pediatric, and Public Health Dentistry (B)	Y	
93.107 Area Health Education Centers (B)	Y	
93.110 Maternal and Child Health Federal Consolidated Programs (B)	Y	
93.117 Preventive Medicine Residency (B)	Y	
93.124 Nurse Anesthetist Traineeship (A)	Y	
93.127 Emergency Medical Services for Children (B)	Y	
93.129 Technical and Non-Financial Assistance to Health Centers (B)	Y	
93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)	Y	
93.134 Grants to Increase Organ Donations (B)	Y	
93.145 HIV-Related Training and Technical Assistance (B)	Y	
93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)	Y	
93.155 Rural Health Research Centers (B)	Y	
93.157 Centers of Excellence (B)	Y	
93.162 National Health Service Corps Loan Repayment (C)	Y	
93.165 Grants to States for Loan Repayment (B, C)	Y	
93.178 Nursing Workforce Diversity (B)	Y	
93.186 National Research Service Award in Primary Care Medicine (B)	Y	
93.191 Graduate Psychology Education (B)	Y	
93.211 Telehealth Programs (B)	Y	
93.223 Development and Coordination of Rural Health Services (B)	Y	
93.224 Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)	Y	
93.236 Grants to States to Support Oral Health Workforce Activities (B)	Y	
93.241 State Rural Hospital Flexibility Program (B)	Y	
93.247 Advanced Nursing Education Workforce Grant Program (B)	Y	
93.250 Geriatric Academic Career Awards Department of Health and Human Services (B)	Y	
93.251 Early Hearing Detection and Intervention (B)	Y	
93.253 Poison Center Support and Enhancement Grant (B)	Y	
93.255 Children's Hospitals Graduate Medical Education Payment Program (C)	Y	
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	Y	
93.264 Nurse Faculty Loan Program (NFLP) (A)	Y	
93.266 Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)	Y	
93.288 National Health Service Corps Scholarship Program (C)	Y	
93.300 National Center for Health Workforce Analysis (B)	Y	
93.301 Small Rural Hospital Improvement Grant Program (B)	Y	
93.303 Nurse Corps Scholarship (C)	Y	
93.342 Health Professions Student Loans, Including Primary Care Loans and Loans for Disadvantaged Students (B)	Y	
93.359 Nurse Education, Practice Quality and Retention Grants (B)	Y	
93.364 Nursing Student Loans (E)	Y	
93.365 Sickle Cell Treatment Demonstration Program (B)	Y	
93.461 HRSA COVID-19 Claims Reimbursement for the Uninsured Program and the COVID-19 Coverage Assistance Fund (C)	Y	
93.498 Provider Relief Fund (C)	Y	
93.501 Grants for School-Based Health Center Capital Expenditures (B)	Y	
93.504 Family to Family Health Information Centers (B)	Y	
93.516 Public Health Training Centers Program (B)	Y	
93.526 Grants for Capital Development in Health Centers (B)	Y	
93.527 Grants for New and Expanded Services under the Health Center Program (B)	Y	
93.528 National Forum for State and Territorial Chief Executives (B)	Y	
93.530 Teaching Health Center Graduate Medical Education Payment (A)	Y	
93.547 National Health Service Corps (B, C)	Y	
93.680 Medical Student Education (B)	Y	
93.686 Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B (B)	Y	
93.697 COVID-19 Testing and Mitigation for Rural Health Clinics (C)	Y	
93.732 Mental and Behavioral Health Education and Training Grants (B)	Y	
93.822 Health Careers Opportunity Program (HCOP) (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
93.870	Maternal, Infant and Early Childhood Home Visiting Grant (A, B)	Y
93.877	Autism Collaboration, Accountability, Research, Education, and Support (B)	Y
93.884	Primary Care Training and Enhancement (B)	Y
93.908	Nurse Corps Loan Repayment Program (C)	Y
93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement (B)	Y
93.913	Grants to States for Operation of State Offices of Rural Health (B)	Y
93.914	HIV Emergency Relief Project Grants (B)	Y
93.917	HIV Care Formula Grants (A, B)	Y
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)	Y
93.923	Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (B, C)	Y
93.924	Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (A, B)	Y
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)	Y
93.926	Healthy Start Initiative (B)	Y
93.928	Special Projects of National Significance (B)	Y
93.932	Native Hawaiian Health Care Systems (B)	Y
93.965	Coal Miners Respiratory Impairment Treatment Clinics and Services (B)	Y
93.969	PPHF Geriatric Education Centers (B)	Y
93.976	Primary Care Medicine and Dentistry Clinician Educator Career Development Awards (B)	Y
93.994	Maternal and Child Health Services Block Grant to the States (A)	Y
IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES		
93.008	Medical Reserve Corps Small Grant Program (B)	Y
93.019	Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B, Z)	Y
93.078	Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)	Y
93.081	ASPR Science Preparedness and Response Grants (B)	Y
93.089	Emergency System for Advance Registration of Volunteer Health Professionals (B)	Y
93.239	Policy Research and Evaluation Grants (B)	Y
93.345	Leading Edge Acceleration Projects (LEAP) in Health Information Technology (B)	Y
93.346	Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (B)	Y
93.347	Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (B)	Y
93.355	Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program) (B)	Y
93.360	Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)	Y
93.691	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)	Y
93.801	Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (B)	Y
93.817	Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (A)	Y
93.825	National Ebola Training and Education Center (NETEC) (B)	Y
93.826	Closing the Gap Between Standards Development and Implementation (B)	Y
93.831	Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (B)	Y
93.835	Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (B)	Y
93.851	Tracking Electronic Health Record Adoption and Capturing Related Insights in U.S. Hospitals (B)	Y
93.878	Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (B, Z)	Y
93.882	High Impact Pilot Awards (B)	Y
93.883	Standards Exploration Award (B)	Y
93.889	National Bioterrorism Hospital Preparedness Program (A, B)	Y
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	Y
93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)	Y
INDIAN HEALTH SERVICE		
93.123	Health Professions Pre-graduate Scholarship Program for Indians (M)	Y
93.164	Indian Health Service Educational Loan Repayment (C)	Y
93.193	Urban Indian Health Services (B)	Y
93.210	Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)	Y
93.228	Indian Health Service, Health Management Development Program (B)	Y

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Assistance Listing Description	Financial	Non-Financial
93.231	Epidemiology Cooperative Agreements (B)	Y
93.237	Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (B)	Y
93.284	Injury Prevention Program for American Indians and Alaskan Natives Cooperative Agreements (B)	Y
93.382	Indian Health Service Community Health Aide Program (B)	Y
93.441	Indian Self-Determination (C)	Y
93.444	Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)	Y
93.653	Indian Health Service Domestic Violence Prevention Programs (B)	Y
93.654	Indian Health Service Behavioral Health Programs (B)	Y
93.933	Demonstration Projects for Indian Health (B)	Y
93.970	Health Professions Recruitment Program for Indians (B)	Y
93.971	Health Professions Preparatory Scholarship Program for Indians (M)	Y
93.972	Health Professions Scholarship Program (M)	Y
NATIONAL INSTITUTES OF HEALTH		
93.077	Family Smoking Prevention and Tobacco Control Act Regulatory Research (B, M)	Y
93.113	Environmental Health (B)	Y
93.121	Oral Diseases and Disorders Research (B)	Y
93.140	Intramural Research Training Award (C)	Y
93.142	NIEHS Hazardous Waste Worker Health and Safety Training (B)	Y
93.143	NIEHS Superfund Hazardous Substances_Basic Research and Education (B)	Y
93.172	Human Genome Research (B, M)	Y
93.173	Research Related to Deafness and Communication Disorders (B)	Y
93.187	Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (C)	Y
93.209	Contraception and Infertility Research Loan Repayment Program (C)	Y
93.213	Research and Training in Complementary and Integrative Health (B, M)	Y
93.220	National Institutes of Health Intramural Loan Repayment Program (C)	Y
93.232	Loan Repayment Program for General Research (C)	Y
93.233	National Center on Sleep Disorders Research (B)	Y
93.242	Mental Health Research Grants (B, M)	Y
93.273	Alcohol Research Programs (B)	Y
93.279	Drug Abuse and Addiction Research Programs (B, M)	Y
93.280	National Institutes of Health Extramural Loan Repayment Program (C)	Y
93.285	National Institutes of Health Pediatric Research Loan Repayment Program (C)	Y
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health (B)	Y
93.307	Minority Health and Health Disparities Research (B)	Y
93.308	National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)	Y
93.310	Trans-NIH Research Support (B, M)	Y
93.313	NIH Office of Research on Women's Health (B)	Y
93.321	Dietary Supplement Research Program (B)	Y
93.350	National Center for Advancing Translational Sciences (B)	Y
93.351	Research Infrastructure Programs (B)	Y
93.352	Construction Support (B)	Y
93.353	21st Century Cures Act - Beau Biden Cancer Moonshot (B)	Y
93.361	Nursing Research (B, M)	Y
93.368	21st Century Cures Act - Precision Medicine Initiative (B)	Y
93.370	21st Century Cures Act: Regenerative Medicine Initiative (B)	Y
93.372	21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (B)	Y
93.393	Cancer Cause and Prevention Research (B)	Y
93.394	Cancer Detection and Diagnosis Research (B)	Y
93.395	Cancer Treatment Research (B)	Y
93.396	Cancer Biology Research (B)	Y
93.397	Cancer Centers Support Grants (B)	Y
93.398	Cancer Research Manpower (B)	Y
93.399	Cancer Control (B)	Y
93.662	Extramural Research Facilities Restoration Program: Hurricanes Harvey, Maria, and Irma – Construction (B)	Y
93.663	Extramural Research Restoration Program: Hurricanes Harvey, Maria, and Irma – non-construction (B)	Y
93.702	National Center for Research Resources, Recovery Act Construction Support (B)	Y
93.837	Cardiovascular Diseases Research (B)	Y
93.838	Lung Diseases Research (B)	Y

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Assistance Listing Description	Financial	Non-Financial
93.839 Blood Diseases and Resources Research (B)	Y	
93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders (B)	Y	
93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)	Y	
93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	Y	
93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	Y	
93.855 Allergy and Infectious Diseases Research (B)	Y	
93.859 Biomedical Research and Research Training (B)	Y	
93.865 Child Health and Human Development Extramural Research (B)	Y	
93.866 Aging Research (B)	Y	
93.867 Vision Research (B)	Y	
93.879 Medical Library Assistance (B)	Y	
93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B, C)	Y	
93.989 International Research and Research Training (B, M)	Y	Y
OFFICE OF ASSISTANT SECRETARY FOR HEALTH		
93.007 Public Awareness Campaigns on Embryo Adoption (B)	Y	
93.085 Research on Research Integrity (B)	Y	
93.088 Advancing System Improvements for Key Issues in Women's Health (B)	Y	
93.137 Community Programs to Improve Minority Health Grant Program (B)	Y	
93.217 Family Planning Services (B)	Y	
93.260 Family Planning Personnel Training (B)	Y	
93.297 Teenage Pregnancy Prevention Program (B)	Y	
93.311 Mobilization For Health: National Prevention Partnership Awards (B)	Y	
93.343 Public Health Service Evaluation Funds (B)	Y	
93.344 Research, Monitoring and Outcomes Definitions for Vaccine Safety (B)	Y	
93.500 Pregnancy Assistance Fund Program (B)	Y	
93.910 Family and Community Violence Prevention Program (B)	Y	
93.974 Family Planning Service Delivery Improvement Research Grants (B)	Y	
93.990 National Health Promotion (B)	Y	
OFFICE OF THE ASSISTANT SECRETARY FOR ADMINISTRATION (ASA)		
93.291 Surplus Property Utilization (H)		Y
OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION TECHNOLOGY (ONC)		
93.462 Strengthening the Technical Advancement & Readiness of Public Health via Health Information Exchange Program (B)	Y	
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION		
93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)	Y	
93.243 Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)	Y	
93.665 Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 (B)	Y	
93.696 Certified Community Behavioral Health Clinic Expansion Grants (B)	Y	
93.788 Opioid STR (A)	Y	
93.799 CARA Act – Comprehensive Addiction and Recovery Act of 2016 (A, B)	Y	
93.958 Block Grants for Community Mental Health Services (A, B)	Y	
93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)	Y	
93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)	Y	
93.997 Assisted Outpatient Treatment (B)	Y	
HOMELAND SECURITY, DEPARTMENT OF		
Countering Weapons of Mass Destruction		
97.077 Evaluation and Demonstration of Technologies Related to Countering Weapons of Mass Destruction (B)	Y	
97.091 Homeland Security Biowatch Program (B, I, Z)	Y	Y
97.106 Securing the Cities Program (B, I, L)	Y	Y
97.130 National Nuclear Forensics Expertise Development Program (B)	Y	
Cybersecurity and Infrastructure Security Agency		
97.120 Rural Emergency Medical Communications Demonstration Project (B, Z)	Y	
97.123 State, Local, Tribal and Territorial Security Operations Center/Information Sharing and Analysis Center (B, Z)	Y	

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Assistance Listing Description	Financial	Non-Financial
97.127 Cybersecurity Education and Training (B)	Y	
97.128 CISA Cyber Security Awareness Campaign (B)	Y	
FEDERAL EMERGENCY MANAGEMENT AGENCY		
97.005 State and Local Homeland Security National Training Program (B)	Y	
97.007 Homeland Security Preparedness Technical Assistance Program (B)	Y	
97.008 Non-Profit Security Program (A, B)	Y	
97.018 National Fire Academy Training Assistance (B, C)	Y	
97.022 Flood Insurance (G)	Y	
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)	Y	
97.024 Emergency Food and Shelter National Board Program (B)	Y	
97.025 National Urban Search and Rescue (US&R) Response System (B)	Y	
97.026 Emergency Management Institute Training Assistance (C)	Y	
97.027 Emergency Management Institute (EMI) Independent Study Program (M)		Y
97.028 Emergency Management Institute (EMI) Resident Educational Program (M)		Y
97.029 Flood Mitigation Assistance (B)	Y	
97.030 Community Disaster Loans (E)	Y	
97.032 Crisis Counseling (B)	Y	
97.033 Disaster Legal Services (C, J)	Y	Y
97.034 Disaster Unemployment Assistance (B, C)	Y	
97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)	Y	
97.039 Hazard Mitigation Grant (B)	Y	
97.040 Chemical Stockpile Emergency Preparedness Program (B)	Y	
97.041 National Dam Safety Program (B)	Y	
97.042 Emergency Management Performance Grants (A)	Y	
97.043 State Fire Training Systems Grants (B)	Y	
97.044 Assistance to Firefighters Grant (B)	Y	
97.045 Cooperating Technical Partners (B)	Y	
97.046 Fire Management Assistance Grant (B, J)	Y	Y
97.047 BRIC: Building Resilient Infrastructure and Communities (B)	Y	
97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B, C)	Y	
97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	Y	
97.052 Emergency Operations Center (B)	Y	
97.055 Interoperable Emergency Communications (B)	Y	
97.056 Port Security Grant Program (B)	Y	
97.057 Intercity Bus Security Grants (B)	Y	
97.067 Homeland Security Grant Program (A)	Y	
97.070 Map Modernization Management Support (B, Z)	Y	
97.075 Rail and Transit Security Grant Program (B)	Y	
97.082 Earthquake Consortium (B)	Y	
97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)	Y	
97.088 Disaster Assistance Projects (B)	Y	
97.089 Driver's License Security Grant Program (B)	Y	
97.092 Repetitive Flood Claims (B)	Y	
97.107 National Incident Management System (NIMS) (B)	Y	
97.110 Severe Repetitive Loss Program (B)	Y	
97.111 Regional Catastrophic Preparedness Grant Program (RCPGP) (B)	Y	
97.131 Emergency Management Baseline Assessments Grant (EMBAG) (C)	Y	
97.132 Financial Assistance for Targeted Violence and Terrorism Prevention (B)	Y	
97.133 Preparing for Emerging Threats and Hazards (B)	Y	
97.134 Presidential Residence Protection Security Grant (B)	Y	
SCIENCE AND TECHNOLOGY		
97.061 Centers for Homeland Security (B, Z)	Y	
97.062 Scientific Leadership Awards (B, C)	Y	
97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B)	Y	
U.S. CITIZENSHIP AND IMMIGRATION SERVICES		
97.010 Citizenship Education and Training (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
U.S. COAST GUARD		
97.012 Boating Safety Financial Assistance (A, B)	Y	
U.S. IMMIGRATION AND CUSTOMS ENFORCEMENT		
97.076 CyberTipline (B)	Y	
HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF		
ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT		
14.218 Community Development Block Grants/Entitlement Grants (A, B)	Y	
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)	Y	
14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A, B)	Y	
14.231 Emergency Solutions Grant Program (A)	Y	
14.235 Supportive Housing Program (B, C)	Y	
14.238 Shelter Plus Care (B)	Y	
14.239 Home Investment Partnerships Program (A)	Y	
14.241 Housing Opportunities for Persons with AIDS (A, B)	Y	
14.247 Self-Help Homeownership Opportunity Program (B)	Y	
14.248 Community Development Block Grants Section 108 Loan Guarantees (F)	Y	
14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (B)	Y	
14.250 Rural Housing and Economic Development (B)	Y	
14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)	Y	
14.259 Community Compass Technical Assistance and Capacity Building (B)	Y	
14.261 Homeless Management Information Systems Technical Assistance (B)	Y	
14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)	Y	
14.266 Border Community Capital Initiative (B)	Y	
14.267 Continuum of Care Program (B, C)	Y	
14.268 Rural Housing Stability Assistance Program (B, C)	Y	
14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)	Y	
14.270 Appalachia Economic Development Initiative (B)	Y	
14.271 Delta Community Capital Initiative (B)	Y	
14.272 National Disaster Resilience Competition (B)	Y	
14.273 Pay for Success Permanent Supportive Housing Demonstration (B)	Y	
14.275 Housing Trust Fund (A)	Y	
14.276 Youth Homelessness Demonstration Program (B, C)	Y	
14.278 Veterans Housing Rehabilitation and Modification Program (B, Z)	Y	
14.279 Specialized Housing and Services for Victims of Human Trafficking (B)	Y	
ASSISTANT SECRETARY FOR FAIR HOUSING AND EQUAL OPPORTUNITY		
14.400 Equal Opportunity in Housing (N, Z)	Y	Y
14.401 Fair Housing Assistance Program State and Local (A, B)	Y	
14.408 Fair Housing Initiatives Program (B)	Y	
14.416 Education and Outreach Initiatives (B)	Y	
14.417 Fair Housing Organization Initiatives (B)	Y	
14.418 Private Enforcement Initiatives (B)	Y	
ASSISTANT SECRETARY FOR POLICY DEVELOPMENT AND RESEARCH		
14.506 General Research and Technology Activity (B, J)	Y	Y
14.536 Research and Evaluations, Demonstrations, and Data Analysis and Utilization (B)	Y	
14.537 Eviction Protection Grant Program (B)	Y	
ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING		
14.850 Public and Indian Housing (A, C)	Y	
14.856 Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (C)	Y	
14.862 Indian Community Development Block Grant Program (B)	Y	
14.865 Public and Indian Housing Indian Loan Guarantee Program (F)	Y	
14.867 Indian Housing Block Grants (A, B)	Y	
14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)	Y	
14.870 Resident Opportunity and Supportive Services - Service Coordinators (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
14.871 Section 8 Housing Choice Vouchers (C)	Y	
14.872 Public Housing Capital Fund (A)	Y	
14.873 Native Hawaiian Housing Block Grants (B)	Y	
14.874 Loan Guarantees for Native Hawaiian Housing (F)	Y	
14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)	Y	
14.879 Mainstream Vouchers (C)	Y	
14.880 Family Unification Program (FUP) (C)	Y	
14.881 Moving to Work Demonstration Program (A)	Y	
14.888 Lead-Based Paint Capital Fund Program (B)	Y	
14.889 Choice Neighborhoods Implementation Grants (B)	Y	
14.892 Choice Neighborhoods Planning Grants (B)	Y	
14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (M)		Y
14.895 Jobs-Plus Pilot Initiative (B)	Y	
14.896 Family Self-Sufficiency Program (B)	Y	
14.899 Tribal HUD-VA Supportive Housing Program (B)	Y	
ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER		
14.103 Interest Reduction Payments Rental and Cooperative Housing for Lower Income Families (C, F)	Y	
14.108 Rehabilitation Mortgage Insurance (F)	Y	
14.110 Manufactured Home Loan Insurance Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F, H)	Y	Y
14.117 Mortgage Insurance Homes (F)	Y	
14.119 Mortgage Insurance Homes for Disaster Victims (F, H)	Y	Y
14.122 Mortgage Insurance Homes in Urban Renewal Areas (F)	Y	
14.123 Mortgage Insurance Housing in Older, Declining Areas (F)	Y	
14.126 Mortgage Insurance Cooperative Projects (F)	Y	
14.128 Mortgage Insurance Hospitals (F)	Y	
14.129 Mortgage Insurance Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)	Y	
14.133 Mortgage Insurance Purchase of Units in Condominiums (F)	Y	
14.134 Mortgage Insurance Rental Housing (F)	Y	
14.135 Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)	Y	
14.138 Mortgage Insurance Rental Housing for the Elderly (F)	Y	
14.139 Mortgage Insurance Rental Housing in Urban Renewal Areas (F)	Y	
14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F, H)	Y	Y
14.151 Supplemental Loan Insurance Multifamily Rental Housing (F)	Y	
14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)	Y	
14.157 Supportive Housing for the Elderly (B, C)	Y	
14.162 Mortgage Insurance Combination and Manufactured Home Lot Loans (F, H)	Y	Y
14.169 Housing Counseling Assistance Program (B)	Y	
14.171 Manufactured Home Dispute Resolution (J)		Y
14.175 Adjustable Rate Mortgages (F)	Y	
14.181 Supportive Housing for Persons with Disabilities (B, C)	Y	
14.183 Home Equity Conversion Mortgages (F)	Y	
14.188 Housing Finance Agencies (HFA) Risk Sharing (F)	Y	
14.191 Multifamily Housing Service Coordinators (B)	Y	
14.195 Section 8 Housing Assistance Payments Program (C)	Y	
14.198 Good Neighbor Next Door Sales Program (H)		Y
14.311 Single Family Property Disposition (H)		Y
14.313 Dollar Home Sales (H)		Y
14.316 Housing Counseling Training Program (B)	Y	
14.322 Tenant Education and Outreach Program (B)	Y	
14.326 Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities (B)	Y	
14.327 Performance Based Contract Administrator Program (C)	Y	
OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES		
14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
14.901 Healthy Homes Demonstration Grants (B)	Y	
14.902 Lead Technical Studies Grants (B)	Y	
14.905 Lead Hazard Reduction Demonstration Grant Program (B)	Y	
14.906 Healthy Homes Technical Studies Grants (B)	Y	
14.913 Healthy Homes Production Program (B)	Y	
14.920 Lead Hazard Control for High Risk Areas (B)	Y	
14.921 Older Adults Home Modification Grant Program (B)	Y	
INTERIOR, DEPARTMENT OF THE		
BUREAU OF INDIAN AFFAIRS		
15.020 Aid To Tribal Governments (C)	Y	
15.021 Consolidated Tribal Government (C)	Y	
15.022 Tribal Self-Governance (C)	Y	
15.024 Indian Self-Determination Contract Support (C)	Y	
15.025 Services to Indian Children, Elderly and Families (C)	Y	
15.026 Indian Adult Education (C)	Y	
15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)	Y	
15.028 Tribally Controlled Community College Endowments (B)	Y	
15.029 Tribal Courts (C)	Y	
15.030 Indian Law Enforcement (C)	Y	
15.031 Indian Community Fire Protection (C)	Y	
15.032 Indian Economic Development (B, C)	Y	
15.033 Road Maintenance Indian Roads (B, C)	Y	
15.034 Agriculture on Indian Lands (C, J, K)	Y	Y
15.035 Forestry on Indian Lands (C, J, K)	Y	Y
15.036 Indian Rights Protection (C)	Y	
15.037 Water Resources on Indian Lands (C, J, K)	Y	Y
15.038 Minerals and Mining on Indian Lands (C, J, L)	Y	Y
15.040 Real Estate Programs Indian Lands (C)	Y	
15.041 Environmental Management Indian (C)	Y	
15.042 Indian School Equalization (C)	Y	
15.043 Indian Child and Family Education (B, M)	Y	Y
15.044 Indian Schools Student Transportation (C)	Y	
15.046 Administrative Cost Grants for Indian Schools (B)	Y	
15.047 Indian Education Facilities, Operations, and Maintenance (C)	Y	
15.048 Bureau of Indian Affairs Facilities Operations and Maintenance (C)	Y	
15.051 Endangered Species on Indian Lands (C, K, L)	Y	Y
15.052 Litigation Support for Indian Rights (C)	Y	
15.053 Attorney Fees Indian Rights (C)	Y	
15.057 Navajo-Hopi Indian Settlement (C, J)	Y	Y
15.058 Indian Post Secondary Schools (M)		Y
15.059 Indian Graduate Student Scholarships (B)	Y	
15.060 Indian Vocational Training United Tribes Technical College (D, M)	Y	Y
15.061 Indian Job Placement United Sioux Tribes Development Corporation (D, K)	Y	Y
15.062 Replacement and Repair of Indian Schools (C)	Y	
15.063 Improvement and Repair of Indian Detention Facilities (C)	Y	
15.065 Safety of Dams on Indian Lands (C)	Y	
15.066 Tribal Great Lakes Restoration Initiative (B)	Y	
15.067 Strengthening Tribal Nations (B, K, L, M)	Y	Y
15.108 Indian Employment Assistance (C)	Y	
15.113 Indian Social Services Welfare Assistance (C)	Y	
15.114 Indian Education Higher Education Grant (B)	Y	
15.124 Indian Loans Economic Development (F)	Y	
15.130 Indian Education Assistance to Schools (C)	Y	
15.133 Native American Business Development Institute (A)	Y	
15.141 Indian Housing Assistance (B, L)	Y	Y
15.144 Indian Child Welfare Act Title II Grants (B)	Y	
15.146 Ironworker Training (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
15.147 Tribal Courts Trust Reform Initiative (B)	Y	
15.148 Tribal Energy Development Capacity Grants (C)	Y	
15.149 FOCUS on Student Achievement (B)	Y	
15.150 Juvenile Detention Education (B)	Y	
15.151 Education Enhancements (B)	Y	
15.154 21st Century Conservation Service Corps (B)	Y	
15.155 Office of the Special Trustee for American Indians, Field Operations (B, Z)	Y	
15.156 Tribal Climate Resilience (C)	Y	
15.159 Cultural Resources Management (B, C)	Y	
15.160 BIA Wildland Urban Interface Community Fire Assistance (B, I, L, M)	Y	Y
15.161 Native Language Immersion Grant (C)	Y	
15.162 Tiwahe Housing (B)	Y	
15.163 Tribal Education Departments (C)	Y	
15.959 Education Program Management (C)	Y	
15.960 Tribal Technical Colleges (B)	Y	
BUREAU OF LAND MANAGEMENT		
15.015 Good Neighbor Authority (B)	Y	
15.016 Experienced Services Program (B)	Y	
15.017 Eastern Nevada Conservation, Recreation and Development (B)	Y	
15.214 Non-Sale Disposals of Mineral Material (H)		Y
15.222 Cooperative Inspection Agreements with States and Tribes (B)	Y	
15.224 Cultural and Paleontological Resources Management (B)	Y	
15.225 Recreation and Visitor Services (B)	Y	
15.228 BLM Fuels Management and Community Fire Assistance Program Activities (B, I, L, M)	Y	Y
15.229 Wild Horse and Burro Resource Management (B)	Y	
15.230 Invasive and Noxious Plant Management (B, M)	Y	Y
15.231 Fish, Wildlife and Plant Conservation Resource Management (B, M)	Y	Y
15.232 Joint Fire Science Program (B)	Y	
15.233 Forest and Woodlands Resource Management (B)	Y	
15.234 Secure Rural Schools and Community Self-Determination (B, M)	Y	Y
15.235 Southern Nevada Public Land Management (B)	Y	
15.236 Environmental Quality and Protection (B)	Y	
15.237 Rangeland Resource Management (B)	Y	
15.238 Challenge Cost Share (B)	Y	
15.239 Management Initiatives (B)	Y	
15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B, M)	Y	Y
15.243 Youth Conservation Opportunities on Public Lands (B)	Y	
15.244 Fisheries and Aquatic Resources Management (B)	Y	
15.245 Plant Conservation and Restoration Management (B, M)	Y	Y
15.246 Threatened and Endangered Species (B)	Y	
15.247 Wildlife Resource Management (B)	Y	
15.248 National Landscape Conservation System (B)	Y	
BUREAU OF OCEAN ENERGY MANAGEMENT		
15.013 Alaska Native Science and Engineering (B)	Y	
15.408 Bureau of Ocean Energy Management Renewable Energy (B)	Y	
15.421 Alaska Coastal Marine Institute (B)	Y	
15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)	Y	
15.423 Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (B)	Y	
15.424 Marine Minerals Activities (B)	Y	
15.428 Marine Gas Hydrate Research Activities (B)	Y	
15.443 Not For Profit (B)	Y	
15.444 Take Pride (B)	Y	
BUREAU OF RECLAMATION		
15.504 Title XVI Water Reclamation and Reuse (A, B)	Y	
15.506 Water Desalination Research and Development (B)	Y	
15.507 WaterSMART (Sustain and Manage America's Resources for Tomorrow) (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (B)	Y	
15.509 Title II, Colorado River Basin Salinity Control (B)	Y	
15.510 Colorado Ute Indian Water Rights Settlement Act (B, C)	Y	
15.511 Cultural Resources Management (B)	Y	
15.512 Central Valley Improvement Act, Title XXXIV (B)	Y	
15.514 Reclamation States Emergency Drought Relief (B)	Y	
15.516 Fort Peck Reservation Rural Water System (B, C)	Y	
15.517 Fish and Wildlife Coordination Act (B)	Y	
15.518 Garrison Diversion Unit (B)	Y	
15.519 Indian Tribal Water Resources Development, Management, and Protection (B, C)	Y	
15.520 Lewis and Clark Rural Water System (B)	Y	
15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)	Y	
15.522 Mni Wiconi Rural Water Supply Project (B)	Y	
15.524 Recreation Resources Management (B)	Y	
15.525 Rocky Boy's/North Central Montana Regional Water System (B)	Y	
15.526 San Gabriel Basin Restoration (B)	Y	
15.527 San Luis Unit, Central Valley (B)	Y	
15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery (B)	Y	
15.530 Water Conservation Field Services (WCFS) (B)	Y	
15.531 Yakima River Basin Water Enhancement (YRBWE) (B)	Y	
15.532 Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (B)	Y	
15.533 California Water Security and Environmental Enhancement (B)	Y	
15.535 Upper Colorado River Basin Fish and Wildlife Mitigation (B)	Y	
15.537 Middle Rio Grande Endangered Species Collaborative (B)	Y	
15.538 Lower Colorado River Multi-Species Conservation (B)	Y	
15.539 Equus Beds Division Aquifer Storage Recharge (B)	Y	
15.540 Lake Mead/Las Vegas Wash (B)	Y	
15.541 Colorado River Basin Act of 1968 (B)	Y	
15.542 Arizona Water Settlement Act of 2004 (B, C)	Y	
15.543 Lake Tahoe Regional Wetlands Development (B)	Y	
15.544 Platte River Recovery Implementation (B)	Y	
15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder (B)	Y	
15.546 Youth Conservation (B)	Y	
15.548 Reclamation Rural Water Supply (B)	Y	
15.550 Fishing Events for Disadvantaged Children (B)	Y	
15.552 Navajo-Gallup Water Supply (B)	Y	
15.553 Eastern New Mexico Rural Water System (B)	Y	
15.554 Cooperative Watershed Management (B)	Y	
15.555 San Joaquin River Restoration (B)	Y	
15.556 Crow Tribe Water Rights Settlement (C)	Y	
15.557 Applied Science Grants (B)	Y	
15.558 White Mountain Apache Tribe Rural Water System (C)	Y	
15.559 New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (B, C)	Y	
15.560 SECURE Water Act – Research Agreements (B)	Y	
15.563 Suisun Marsh Preservation Agreement (B)	Y	
15.564 Central Valley Project Conservation (B)	Y	
15.565 Implementation of the Taos Pueblo Indian water rights settlement (B)	Y	
15.566 Upper Klamath Basin Hydrolic Analyses (A, B, C, L)	Y	Y
15.567 Colorado River System Conservation Pilot (B)	Y	
15.569 Educational Outreach (B)	Y	
15.570 Bay-Delta Restoration Program (B)	Y	
15.571 Salton Sea Program (B)	Y	
15.572 Agricultural Water Use Efficiency Program (B)	Y	
15.573 Temperature Control Devices at Folsom Dam (B)	Y	
15.574 Water Storage Enhancement (B)	Y	
BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT		
15.441 Safety and Environmental Research and Data Collection for Offshore Energy and Mineral Activities (B)	Y	
15.442 Alaska Native Science and Engineering (B)	Y	

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DEPARTMENTAL OFFICES		
15.152 Land Buy-Back For Tribal Nations (B)	Y	
15.158 DOI National Fire Plan (B)	Y	
15.226 Payments in Lieu of Taxes (C, D)	Y	
15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)	Y	
15.429 State Select (D)	Y	
15.430 8(g) State Coastal Zone (D)	Y	
15.431 Alaska Settlement Agreement (C, D)	Y	
15.432 California Refuge Account (C, D)	Y	
15.433 Flood Control Act Lands (C, D)	Y	
15.434 Geothermal Resources (C)	Y	
15.435 GoMESA (C)	Y	
15.436 Late Disbursement Interest (C, D)	Y	
15.437 Minerals Leasing Act (C)	Y	
15.438 National Forest Acquired Lands (C)	Y	
15.439 National Petroleum Reserve - Alaska (C)	Y	
15.440 South Half of the Red River (C)	Y	
15.876 Experienced Services (B)	Y	
15.982 Radium Remediation at Land-Grant Universities (B)	Y	
INSULAR AFFAIRS		
15.875 Economic, Social, and Political Development of the Territories (A, B, C, D)	Y	
NATIONAL PARK SERVICE		
15.011 Experienced Services Program (B)	Y	
15.012 Residential Environmental Learning Centers (B)	Y	
15.014 Supporting the Lower Mississippi Delta Initiative (B)	Y	
15.406 National Park Service Centennial Challenge (B)	Y	
15.407 Keweenaw National Historical Park (NHP) Preservation Grants (B)	Y	
15.904 Historic Preservation Fund Grants-In-Aid (A, B)	Y	
15.912 National Historic Landmark (K)		Y
15.915 Technical Preservation Services (K, L)		Y
15.916 Outdoor Recreation Acquisition, Development and Planning (A, B)	Y	
15.921 Rivers, Trails and Conservation Assistance (B, K)	Y	Y
15.922 Native American Graves Protection and Repatriation Act (B)	Y	
15.923 National Center for Preservation Technology and Training (B)	Y	
15.925 National Maritime Heritage Grants (B)	Y	
15.926 American Battlefield Protection (B)	Y	
15.928 Battlefield Land Acquisition Grants (B)	Y	
15.929 Save America's Treasures (B)	Y	
15.930 Chesapeake Bay Gateways Network (B)	Y	
15.931 Youth and Veteran Organizations Conservation Activities (B)	Y	
15.932 Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (B)	Y	
15.933 Preservation of Japanese American Confinement Sites (B)	Y	
15.935 National Trails System Projects (B)	Y	
15.938 Boston African-American National Historic Site (B)	Y	
15.939 Heritage Partnership (B)	Y	
15.940 New Bedford Whaling National Historic Park Cooperative Management (B)	Y	
15.941 Mississippi National River and Recreation Area State and Local Assistance (B, K)	Y	Y
15.942 Environmental Outreach and Conservation - North Cascades (B)	Y	
15.943 Challenge Cost Share (B, C)	Y	
15.944 Natural Resource Stewardship (B)	Y	
15.945 Cooperative Research and Training Programs – Resources of the National Park System (B)	Y	
15.946 Cultural Resources Management (B)	Y	
15.947 Boston Harbor Islands Partnership (B, C)	Y	
15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L)	Y	Y
15.954 National Park Service Conservation, Protection, Outreach, and Education (B)	Y	
15.955 Martin Luther King Junior National Historic Site and Preservation District (B)	Y	

AGENCY ASSISTANCE LISTING INDEX

Assistance Listing Description	Financial	Non-Financial
15.956 Cooperative Management of Ebey's Landing National Historical Reserve. (B, C, J)	Y	Y
15.957 Emergency Supplemental Historic Preservation Fund (A, B)	Y	
15.958 Route 66 Corridor Preservation (B)	Y	
15.961 Federal Historic Preservation Tax Incentive (K)		Y
15.962 National Wild and Scenic Rivers System (B)	Y	
15.963 Southwest Border Resource Protection Program (B)	Y	
15.964 9/11 Memorial Act Grant Program (B)	Y	
OFFICE OF SURFACE MINING, RECLAMATION AND ENFORCEMENT		
15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B, C)	Y	
15.252 Abandoned Mine Land Reclamation (AMLR) (A, B)	Y	
15.253 Not-for-Profit AMD Reclamation (B)	Y	
15.254 OSM/VISTA AmeriCorps (B, C)	Y	
15.255 Science and Technology Projects Related to Coal Mining and Reclamation (B)	Y	
U.S. FISH AND WILDLIFE SERVICE		
15.605 Sport Fish Restoration (A)	Y	
15.608 Fish and Wildlife Management Assistance (B)	Y	
15.611 Wildlife Restoration and Basic Hunter Education (A)	Y	
15.614 Coastal Wetlands Planning, Protection and Restoration (B)	Y	
15.615 Cooperative Endangered Species Conservation Fund (B)	Y	
15.616 Clean Vessel Act (B)	Y	
15.619 Rhinoceros and Tiger Conservation Fund (B)	Y	
15.620 African Elephant Conservation Fund (B)	Y	
15.621 Asian Elephant Conservation Fund (B)	Y	
15.622 Sportfishing and Boating Safety Act (B)	Y	
15.623 North American Wetlands Conservation Fund (B)	Y	
15.626 Enhanced Hunter Education and Safety (A)	Y	
15.628 Multistate Conservation Grant (B)	Y	
15.629 Great Apes Conservation Fund (B)	Y	
15.630 Coastal (B)	Y	
15.631 Partners for Fish and Wildlife (B)	Y	
15.633 Landowner Incentive (B)	Y	
15.634 State Wildlife Grants (A, B)	Y	
15.635 Neotropical Migratory Bird Conservation (B)	Y	
15.636 Alaska Subsistence Management (B)	Y	
15.637 Migratory Bird Joint Ventures (B)	Y	
15.639 Tribal Wildlife Grants (B)	Y	
15.640 Latin America and Caribbean Regional (B)	Y	
15.641 Wildlife Without Borders-Mexico (B)	Y	
15.643 Alaska Migratory Bird Co-Management Council (B)	Y	
15.645 Marine Turtle Conservation Fund (B)	Y	
15.647 Migratory Bird Conservation (B)	Y	
15.648 Central Valley Project Improvement Act (CVPIA) (B)	Y	
15.649 Service Training and Technical Assistance (Generic Training) (B)	Y	
15.650 Research Grants (Generic) (B)	Y	
15.651 Central Africa Regional (B)	Y	
15.652 Invasive Species (B)	Y	
15.653 National Outreach and Communication (B)	Y	
15.654 National Wildlife Refuge System Enhancements (B)	Y	
15.655 Migratory Bird Monitoring, Assessment and Conservation (B)	Y	
15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)	Y	
15.657 Endangered Species Recovery Implementation (B)	Y	
15.658 Natural Resource Damage Assessment and Restoration (B)	Y	
15.660 Candidate Species Conservation (B)	Y	
15.661 Lower Snake River Compensation Plan (B)	Y	
15.662 Great Lakes Restoration (B)	Y	
15.663 NFWF-USFWS Conservation Partnership (B)	Y	
15.664 Fish and Wildlife Coordination and Assistance (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
15.665 National Wetlands Inventory (B)	Y	
15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)	Y	
15.667 Highlands Conservation (B)	Y	
15.668 Coastal Impact Assistance (A)	Y	
15.669 Cooperative Landscape Conservation (B)	Y	
15.670 Adaptive Science (B)	Y	
15.671 Yukon River Salmon Research and Management Assistance (B)	Y	
15.674 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L, M)	Y	Y
15.676 Youth Engagement, Education, and Employment (B)	Y	
15.677 Hurricane Sandy Disaster Relief Activities-FWS (B)	Y	
15.678 Cooperative Ecosystem Studies Units (B)	Y	
15.679 Combating Wildlife Trafficking (B)	Y	
15.680 Mexican Wolf Recovery (B)	Y	
15.681 Cooperative Agriculture (I)		Y
15.682 Experienced Services (B)	Y	
15.683 Prescott Marine Mammal Rescue Assistance (B)	Y	
15.684 White-nose Syndrome National Response Implementation (B)	Y	
US GEOLOGICAL SURVEY		
15.805 Assistance to State Water Resources Research Institutes (A, B)	Y	
15.807 Earthquake Hazards Program Assistance (B)	Y	
15.808 U.S. Geological Survey Research and Data Collection (B)	Y	
15.810 National Cooperative Geologic Mapping (B)	Y	
15.812 Cooperative Research Units (B)	Y	
15.814 National Geological and Geophysical Data Preservation (B)	Y	
15.815 National Land Remote Sensing Education Outreach and Research (B)	Y	
15.817 National Geospatial Program: Building The National Map (B)	Y	
15.818 Volcano Hazards Program Research and Monitoring (B)	Y	
15.819 Energy Cooperatives to Support the National Energy Resources Data System (B)	Y	
15.820 National and Regional Climate Adaptation Science Centers (B)	Y	
15.978 Upper Mississippi River Restoration Long Term Resource Monitoring (B)	Y	
15.980 National Ground-Water Monitoring Network (B)	Y	
15.981 Water Use and Data Research (B)	Y	
Inter-American Foundation		
85.750 IAF Assistance for Overseas Programs (B)	Y	
JAPAN-U.S. FRIENDSHIP COMMISSION		
90.300 Japan-U.S. Friendship Commission Grants (B)	Y	
JUSTICE, DEPARTMENT OF		
16.041 National Ashanti Alert Network Training and Technical Assistance Program (B)	Y	
16.843 Gulf States Regional Law Enforcement Technology Training and Technical Assistance Initiative (B)	Y	
BOARDS AND DIVISIONS OFFICES		
16.016 Culturally and Linguistically Specific Services Program (B)	Y	
16.017 Sexual Assault Services Formula Program (A)	Y	
16.019 Tribal Registry (B)	Y	
16.021 Justice Systems Response to Families (B)	Y	
16.023 Sexual Assault Services Culturally Specific Program (B)	Y	
16.024 Tribal Sexual Assault Services Program (B)	Y	
16.025 Special Domestic Violence Criminal Jurisdiction Implementation (B)	Y	
16.026 OVW Research and Evaluation Program (B)	Y	
16.027 National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)	Y	
16.028 Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)	Y	
16.029 Office on Violence Against Women Special Projects (B)	Y	
16.524 Legal Assistance for Victims (B)	Y	
16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)	Y	
16.526 OVW Technical Assistance Initiative (B)	Y	

AGENCY ASSISTANCE LISTING INDEX

Assistance Listing Description	Financial	Non-Financial
16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)	Y	
16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)	Y	
16.556 State Domestic Violence and Sexual Assault Coalitions (A, B)	Y	
16.557 Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (B)	Y	
16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (B)	Y	
16.588 Violence Against Women Formula Grants (A)	Y	
16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)	Y	
16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)	Y	
16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)	Y	
16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)	Y	
16.889 Grants for Outreach and Services to Underserved Populations (B)	Y	
DRUG ENFORCEMENT ADMINISTRATION		
16.001 Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J, Z)	Y	Y
16.003 Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L, Z)	Y	Y
16.004 Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M, Z)	Y	Y
FEDERAL BUREAU OF INVESTIGATION		
16.300 Law Enforcement Assistance FBI Advanced Police Training (M)		Y
16.301 Law Enforcement Assistance FBI Crime Laboratory Support (J, K, M)		Y
16.302 Law Enforcement Assistance FBI Field Police Training (M)		Y
16.303 Law Enforcement Assistance FBI Fingerprint Identification (J, L)		Y
16.304 Law Enforcement Assistance National Crime Information Center (J, L)		Y
16.305 Law Enforcement Assistance Uniform Crime Reports (K, L)		Y
16.307 Combined DNA Index System (B)	Y	
16.308 Indian Country Investigations (M)		Y
16.309 Law Enforcement Assistance National Instant Criminal Background Check System (J, L)		Y
FEDERAL PRISON SYSTEM / BUREAU OF PRISONS		
16.601 Corrections Training and Staff Development (B, J, L, M)	Y	Y
16.602 Corrections Research and Evaluation and Policy Formulation (B, J, L)	Y	Y
16.603 Corrections Technical Assistance/Clearinghouse (B, J, L)	Y	Y
OFFICE OF JUSTICE PROGRAMS		
16.015 Missing Alzheimer's Disease Patient Assistance Program (B)	Y	
16.030 National Center on Restorative Justice (B)	Y	
16.031 Emmett Till Cold Case Investigations Program (B)	Y	
16.032 Academic-based Drug Field Testing and Training Initiative (B)	Y	
16.033 Collaborative Mental Health and Anti-Recidivism Initiative (B)	Y	
16.034 Coronavirus Emergency Supplemental Funding Program (A)	Y	
16.035 Preventing Trafficking of Girls (B)	Y	
16.036 Prosecuting Cold Cases Using DNA (B)	Y	
16.037 Strengthening the Medical Examiner - Coroner System (B)	Y	
16.038 National Decertification Index (B)	Y	
16.039 Rural Violent Crime Initiative (B)	Y	
16.040 Matthew Shepard and James Byrd, Jr. Hate Crimes Education, Investigation and Prosecution Program (B)	Y	
16.123 Community-Based Violence Prevention Program (B)	Y	
16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B, M)	Y	Y
16.320 Services for Trafficking Victims (B, C)	Y	
16.321 Antiterrorism Emergency Reserve (B)	Y	
16.540 Juvenile Justice and Delinquency Prevention (A, B, M)	Y	Y
16.543 Missing Children's Assistance (B)	Y	
16.544 Youth Gang Prevention (A, B)	Y	
16.548 Title V Delinquency Prevention Program (A)	Y	
16.550 State Justice Statistics Program for Statistical Analysis Centers (B)	Y	
16.554 National Criminal History Improvement Program (NCHIP) (B)	Y	
16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B, L)	Y	Y
16.562 Criminal Justice Research and Development Graduate Research Fellowships (B)	Y	

AGENCY ASSISTANCE LISTING INDEX

Assistance Listing Description	Financial	Non-Financial
16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)	Y	
16.571 Public Safety Officers' Benefits Program (D)	Y	
16.575 Crime Victim Assistance (A)	Y	
16.576 Crime Victim Compensation (A)	Y	
16.578 Public Benefit Conveyance Program (H)		Y
16.582 Crime Victim Assistance/Discretionary Grants (B, C)	Y	
16.583 Children's Justice Act Partnerships for Indian Communities (B, C)	Y	
16.585 Drug Court Discretionary Grant Program (B)	Y	
16.593 Residential Substance Abuse Treatment for State Prisoners (A)	Y	
16.596 Justice System Infrastructure Program for Indian Tribes (B)	Y	
16.606 State Criminal Alien Assistance Program (C)	Y	
16.607 Bulletproof Vest Partnership Program (C)	Y	
16.608 Tribal Justice Systems and Alcohol and Substance Abuse (B)	Y	
16.609 Project Safe Neighborhoods (B)	Y	
16.610 Regional Information Sharing Systems (B)	Y	
16.614 State and Local Anti-Terrorism Training (B, K, L, M)	Y	Y
16.615 Public Safety Officers' Educational Assistance (D)	Y	
16.726 Juvenile Mentoring Program (B)	Y	
16.731 Tribal Youth Program (B)	Y	
16.734 Special Data Collections and Statistical Studies (B)	Y	
16.735 PREA Program: Strategic Support for PREA Implementation (B)	Y	
16.738 Edward Byrne Memorial Justice Assistance Grant Program (A, B)	Y	
16.739 National Prison Rape Statistics Program (B)	Y	
16.741 DNA Backlog Reduction Program (A, B)	Y	
16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A, B)	Y	
16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)	Y	
16.746 Capital Case Litigation Initiative (B)	Y	
16.750 Support for Adam Walsh Act Implementation Grant Program (B)	Y	
16.751 Edward Byrne Memorial Competitive Grant Program (B)	Y	
16.752 Economic, High-Tech, and Cyber Crime Prevention (B)	Y	
16.754 Harold Rogers Prescription Drug Monitoring Program (B)	Y	
16.756 Court Appointed Special Advocates (B)	Y	
16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)	Y	
16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)	Y	
16.812 Second Chance Act Reentry Initiative (B)	Y	
16.813 NICS Act Record Improvement Program (B)	Y	
16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)	Y	
16.816 John R. Justice Prosecutors and Defenders Incentive Act (B)	Y	
16.817 Byrne Criminal Justice Innovation Program (B)	Y	
16.818 Children Exposed to Violence (B)	Y	
16.820 Postconviction Testing of DNA Evidence (B)	Y	
16.823 Emergency Planning for Juvenile Justice Facilities (B)	Y	
16.824 Emergency Law Enforcement Assistance Grant (B)	Y	
16.825 Smart Prosecution Initiative (B)	Y	
16.827 Justice Reinvestment Initiative (B)	Y	
16.828 Swift, Certain, and Fair Supervision Program: Applying the Principles Behind Project HOPE (B)	Y	
16.830 Girls in the Juvenile Justice System (B)	Y	
16.831 Children of Incarcerated Parents (B)	Y	
16.832 Children of Incarcerated Parents Web Portal (B)	Y	
16.833 National Sexual Assault Kit Initiative (B)	Y	
16.834 Domestic Trafficking Victim Program (B)	Y	
16.835 Body Worn Camera Policy and Implementation (A, B, I, J, K, L, M, N)	Y	Y
16.836 Indigent Defense (B)	Y	
16.838 Comprehensive Opioid, Stimulant, and Substance Abuse Program (B)	Y	
16.839 STOP School Violence (B)	Y	
16.840 Keep Young Athletes Safe (B)	Y	
16.841 VOCA Tribal Victim Services Set-Aside Program (B)	Y	
16.842 Opioid Affected Youth Initiative (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
16.844 Combatting Contraband Cell Phone Use in Prisons (B)	Y	
OFFICES, BOARDS AND DIVISIONS		
16.710 Public Safety Partnership and Community Policing Grants (B)	Y	
16.922 Equitable Sharing Program (C)	Y	
LABOR, DEPARTMENT OF		
17.701 Women in Apprenticeship and Nontraditional Occupations (“WANTO”) Technical Assistance Grant Program (B)	Y	
BUREAU OF INTERNATIONAL LABOR AFFAIRS		
17.007 International Labor Programs (B)	Y	
BUREAU OF LABOR STATISTICS		
17.002 Labor Force Statistics (B, L, Z)	Y	Y
17.003 Prices and Cost of Living Data (L, Z)	Y	Y
17.004 Productivity and Technology Data (L, Z)	Y	Y
17.005 Compensation and Working Conditions (B, L, Z)	Y	Y
Bureau of International Labor Affairs		
17.401 International Labor Programs (B)	Y	
EMPLOYEE BENEFITS SECURITY ADMINISTRATION		
17.150 Employee Benefits Security Administration (L, Z)	Y	Y
EMPLOYMENT AND TRAINING ADMINISTRATION		
17.201 Registered Apprenticeship (B, K, M, Z)	Y	Y
17.207 Employment Service/Wagner-Peyser Funded Activities (A, B)	Y	
17.225 Unemployment Insurance (A, D)	Y	
17.235 Senior Community Service Employment Program (A, B)	Y	
17.245 Trade Adjustment Assistance (A)	Y	
17.258 WIOA Adult Program (A)	Y	
17.259 WIOA Youth Activities (A)	Y	
17.261 WIOA Pilots, Demonstrations, and Research Projects (B)	Y	
17.264 National Farmworker Jobs Program (A)	Y	
17.265 Native American Employment and Training (A)	Y	
17.268 H-1B Job Training Grants (B)	Y	
17.270 Reentry Employment Opportunities (B)	Y	
17.271 Work Opportunity Tax Credit Program (WOTC) (A)	Y	
17.272 Permanent Labor Certification for Foreign Workers (J, Z)	Y	Y
17.273 Temporary Labor Certification for Foreign Workers (A, J, O)	Y	Y
17.274 YouthBuild (B)	Y	
17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)	Y	
17.277 WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)	Y	
17.278 WIOA Dislocated Worker Formula Grants (A)	Y	
17.280 WIOA Dislocated Worker National Reserve Demonstration Grants (B)	Y	
17.281 WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)	Y	
17.283 Workforce Innovation Fund (B)	Y	
17.285 Apprenticeship USA Grants (B)	Y	
17.286 Hurricanes and Wildfires of 2017 Supplemental– National Dislocated Worker Grants (B)	Y	
17.287 Job Corps Experimental Projects and Technical Assistance (B)	Y	
MINE SAFETY AND HEALTH ADMINISTRATION		
17.600 Mine Health and Safety Grants (B)	Y	
17.601 Mine Health and Safety Counseling and Technical Assistance (K, L, Z)	Y	Y
17.602 Mine Health and Safety Education and Training (M, Z)	Y	Y
17.603 Brookwood-Sago Grant (B)	Y	
17.604 Safety and Health Grants (B)	Y	
OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION		
17.502 Occupational Safety and Health Susan Harwood Training Grants (B, M)	Y	Y

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Assistance Listing Description	Financial	Non-Financial
17.503 Occupational Safety and Health State Program (A, B)	Y	
17.504 Consultation Agreements (A, B)	Y	
OFFICE OF DISABILITY EMPLOYMENT POLICY		
17.720 Disability Employment Policy Development (B)	Y	
OFFICE OF LABOR-MANAGEMENT STANDARDS		
17.309 Labor Organization Reports (K, L, N, Z)	Y	Y
OFFICE OF WORKERS COMPENSATION PROGRAM		
17.302 Longshore and Harbor Workers' Compensation (D)	Y	
17.307 Coal Mine Workers' Compensation (D)	Y	
17.310 Energy Employees Occupational Illness Compensation (D)	Y	
VETERANS EMPLOYMENT AND TRAINING SERVICES		
17.801 Jobs for Veterans State Grants (A)	Y	
17.805 Homeless Veterans' Reintegration Program (B)	Y	
17.807 Transition Assistance Program (J)		Y
WOMEN'S BUREAU		
17.700 Women's Bureau (K, Z)	Y	Y
LIBRARY OF CONGRESS		
LIBRARY OF CONGRESS		
42.010 Teaching with Primary Sources (B)	Y	
42.011 Library of Congress Grants (B)	Y	
MILLENNIUM CHALLENGE CORPORATION		
85.002 MCC Foreign Assistance for Overseas Programs (B, C)	Y	
MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION		
85.400 Morris K. Udall Scholarship Program (C)	Y	
85.402 Morris K. Udall Native American Congressional Internship Program (C)	Y	
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION		
43.001 Science (B, C, I, M)	Y	Y
43.002 Aeronautics (B, L)	Y	Y
43.003 Exploration (B, C, I, J, L, M)	Y	Y
43.007 Space Operations (B)	Y	
43.008 Office of Stem Engagement (OSTEM) (B)	Y	
43.009 Safety, Security and Mission Services (B)	Y	
43.012 Space Technology (B, C, I, M)	Y	Y
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION		
89.001 National Archives Reference Services Historical Research (I, K, L, Z)	Y	Y
89.003 National Historical Publications and Records Grants (B)	Y	
NATIONAL CREDIT UNION ADMINISTRATION		
44.002 Community Development Revolving Loan Fund Program for Credit Unions (B, C, E)	Y	
NATIONAL ENDOWMENT FOR THE ARTS		
45.024 Promotion of the Arts Grants to Organizations and Individuals (B)	Y	
45.025 Promotion of the Arts Partnership Agreements (B, K)	Y	Y
45.201 Arts and Artifacts Indemnity (G)	Y	
NATIONAL ENDOWMENT FOR THE HUMANITIES		
45.129 Promotion of the Humanities Federal/State Partnership (A, B)	Y	
45.130 Promotion of the Humanities Challenge Grants (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
45.149 Promotion of the Humanities Division of Preservation and Access (B)	Y	
45.160 Promotion of the Humanities Fellowships and Stipends (B)	Y	
45.161 Promotion of the Humanities Research (B)	Y	
45.162 Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)	Y	
45.163 Promotion of the Humanities Professional Development (B)	Y	
45.164 Promotion of the Humanities Public Programs (B)	Y	
45.169 Promotion of the Humanities Office of Digital Humanities (B)	Y	
NATIONAL SCIENCE FOUNDATION		
47.041 Engineering (B)	Y	
47.049 Mathematical and Physical Sciences (B)	Y	
47.050 Geosciences (B)	Y	
47.070 Computer and Information Science and Engineering (B)	Y	
47.074 Biological Sciences (B)	Y	
47.075 Social, Behavioral, and Economic Sciences (B)	Y	
47.076 Education and Human Resources (B)	Y	
47.078 Polar Programs (B)	Y	
47.079 Office of International Science and Engineering (B)	Y	
47.083 Integrative Activities (B)	Y	
NORTHERN BORDER REGIONAL COMMISSION		
90.601 Northern Border Regional Development (B)	Y	
NUCLEAR REGULATORY COMMISSION		
77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)	Y	
77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B)	Y	
77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)	Y	
77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)	Y	
OVERSEAS PRIVATE INVESTMENT CORPORATION		
70.002 Foreign Investment Financing (E, F)	Y	
70.003 Foreign Investment Insurance (G)	Y	
PEACE CORPS		
45.400 Peace Corps' Global Health and PEPFAR Initiative Program (B)	Y	
PENSION BENEFIT GUARANTY CORPORATION		
86.001 Pension Plan Termination Insurance (G)	Y	
RAILROAD RETIREMENT BOARD		
57.001 Social Insurance for Railroad Workers (D, Z)	Y	
SMALL BUSINESS ADMINISTRATION		
59.006 8(a) Business Development Program (J)		Y
59.007 7(j) Technical Assistance (B)	Y	
59.008 Disaster Assistance Loans (E)	Y	
59.011 Small Business Investment Companies (F)	Y	
59.012 7(a) Loan Guarantees (F)	Y	
59.016 Surety Bond Guarantees (G)	Y	
59.026 SCORE (B)	Y	
59.037 Small Business Development Centers (A, B, J, K, L)	Y	Y
59.041 504 Certified Development Loans (F)	Y	
59.043 Women's Business Ownership Assistance (B)	Y	
59.044 Veterans Outreach Program (B)	Y	
59.046 Microloan Program (A, E, K)	Y	Y
59.050 Prime Technical Assistance (B)	Y	
59.053 Ombudsman and Regulatory Fairness Boards (N)		Y
59.054 7(a)Export Loan Guarantees (F)	Y	
59.058 Federal and State Technology Partnership Program (B)	Y	

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Assistance Listing Description	Financial	Non-Financial
59.061 State Trade Expansion (B)	Y	
59.062 Intermediary Loan Program (E)	Y	
59.065 Growth Accelerator Fund Competition (C)	Y	
59.066 Transition Assistance – Entrepreneurship Track (Boots to Business) (B, K)	Y	Y
59.071 Makerspace Training, Collaboration, and Hiring (MaTCH) (B)	Y	
59.072 Economic Injury Disaster Loan Emergency Advance (C)	Y	
59.073 Paycheck Protection Loan Program (PPP) (F)	Y	
59.074 OED Resource Partners Training Portal (RPTP) (B)	Y	
59.075 Shuttered Venue Operators Grant Program (C)	Y	
59.076 Lab-to-Market (D)	Y	
59.077 Community Navigator Pilot Program (B)	Y	
59.078 Restaurant Revitalization Fund (C)	Y	
SOCIAL SECURITY ADMINISTRATION		
96.001 Social Security Disability Insurance (C, D)	Y	
96.002 Social Security Retirement Insurance (D)	Y	
96.004 Social Security Survivors Insurance (C, D)	Y	
96.006 Supplemental Security Income (C, D)	Y	
96.007 Social Security Research and Demonstration (B, Z)	Y	
96.008 Social Security - Work Incentives Planning and Assistance Program (B, Z)	Y	
96.009 Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)	Y	
96.020 Special Benefits for Certain World War II Veterans (D)	Y	
STATE, DEPARTMENT OF		
19.013 Thomas R. Pickering Foreign Affairs Fellowship Program (B, Z)	Y	
19.020 Charles B. Rangel International Affairs Program (B, Z)	Y	
19.024 Soft Target Program for Overseas Schools (B)	Y	
19.026 Global Peace Operations Initiative (B)	Y	
19.220 Ambassadors' Special Self Help Fund (B)	Y	
19.223 South Sudan and Sudan Assistance Program (B)	Y	
19.225 Africa Regional Democracy Fund (B)	Y	
19.303 Decrypting PRC Industrial and Technology Policy (B)	Y	
19.441 ECA – American Spaces (B)	Y	
19.501 Public Diplomacy Programs for Afghanistan and Pakistan (B)	Y	
19.666 EUR/ACE National Endowment for Democracy Small Grants (B)	Y	
19.700 General Department of State Assistance (B)	Y	
19.706 Partnership for Regional East Africa Counterterrorism (B)	Y	
19.990 International Justice and Accountability Programming (B)	Y	
BUREAU OF ECONOMIC AND BUSINESS AFFAIRS		
19.662 Fiscal Transparency Innovation Fund (A)	Y	
OFFICE OF OVERSEAS SCHOOLS		
19.023 Overseas Schools Program (B)	Y	
OFFICE OF THE COORDINATOR FOR CYBER ISSUES		
19.035 Cyber Capacity Building (B)	Y	
OFFICE OF THE COORDINATOR OF U.S. ASSISTANCE TO EUROPE AND EURASIA		
19.900 AEECA/ESF PD Programs (B)	Y	
OFFICE OF U.S. GLOBAL AIDS COORDINATOR		
19.029 The U.S. President's Emergency Plan for AIDS Relief Programs (B)	Y	
OFFICE TO MONITOR AND COMBAT TRAFFICKING IN PERSONS		
19.019 International Programs to Combat Human Trafficking (B)	Y	
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STATE, DEPARTMENT OF		
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19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)	Y	
19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)	Y	
19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (B)	Y	
19.015 Cultural, Technical and Educational Centers (B)	Y	
19.017 Environmental and Scientific Partnerships and Programs (B)	Y	
19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (B)	Y	
19.021 Investing in People in The Middle East and North Africa (B)	Y	
19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)	Y	
19.025 U.S. Ambassadors Fund for Cultural Preservation (B)	Y	
19.027 Energy Governance and Reform Programs (B)	Y	
19.033 Global Threat Reduction (B)	Y	
19.036 Cultural Antiquities Task Force (B)	Y	
19.040 Public Diplomacy Programs (B)	Y	
19.087 International Fisheries Commissions (A)	Y	
19.121 Conflict and Stabilization Operations (B)	Y	
19.124 East Asia and Pacific Grants Program (B)	Y	
19.204 Fishermen's Guaranty Fund (B, G)	Y	
19.221 Regional Democracy Program (B)	Y	
19.222 Trans-Sahara Counterterrorism Partnership (TSCTP) (B)	Y	
19.224 Nonproliferation and Disarmament Fund (B)	Y	
19.300 Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (B)	Y	
19.301 The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (B)	Y	
19.322 Economic Statecraft (B)	Y	
19.345 International Programs to Support Democracy, Human Rights and Labor (B)	Y	
19.400 Academic Exchange Programs - Graduate Students (B)	Y	
19.401 Academic Exchange Programs - Scholars (B)	Y	
19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program (B)	Y	
19.408 Academic Exchange Programs - Teachers (B)	Y	
19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)	Y	
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19.432 Academic Exchange Programs - Educational Advising and Student Services (B)	Y	
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19.450 ECA Individual Grants (B)	Y	
19.451 Special International Exchange Grant Programs (B)	Y	
19.452 International Exchange Alumni Programs (B)	Y	
19.500 Middle East Partnership Initiative (B)	Y	
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19.511 Overseas Refugee Assistance Programs for East Asia (B)	Y	
19.515 Contributions to International Organizations for Overseas Assistance (B, C)	Y	
19.517 Overseas Refugee Assistance Programs for Africa (B)	Y	
19.518 Overseas Refugee Assistance Programs for Western Hemisphere (B)	Y	
19.519 Overseas Refugee Assistance Program for Near East (B)	Y	
19.520 Overseas Refugee Assistance Programs for Europe (B)	Y	
19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities (B)	Y	
19.523 Overseas Refugee Assistance Program for South Asia (B)	Y	
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19.601 Syria Assistance Program (B)	Y	
19.701 Global Counterterrorism Programs (B)	Y	
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19.750 Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (B)	Y	
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19.980 Partnership for Regional East Africa Counterterrorism (PREACT): (B)	Y	
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THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES		
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45.308 Native American/Native Hawaiian Museum Services Program (B, C)	Y	
45.309 Museum Grants for African American History and Culture (B, C)	Y	
45.310 Grants to States (A)	Y	
45.311 Native American and Native Hawaiian Library Services (B, C)	Y	
45.312 National Leadership Grants (B, C)	Y	
45.313 Laura Bush 21st Century Librarian Program (B, C)	Y	
TRANSPORTATION, DEPARTMENT OF		
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20.106 Airport Improvement Program and COVID-19 Airports Programs (B, K)	Y	Y
20.108 Aviation Research Grants (B, I)	Y	Y
20.109 Air Transportation Centers of Excellence (B, I, J)	Y	Y
20.111 Aircraft Pilots Workforce Development Grant Program (B)	Y	
20.112 Aviation Maintenance Technical Workforce Grant Program (B)	Y	
20.113 Veterans Pilot Training Program (B)	Y	
FEDERAL HIGHWAY ADMINISTRATION		
20.200 Highway Research and Development Program (B)	Y	
20.205 Highway Planning and Construction (A, B, Z)	Y	
20.215 Highway Training and Education (B, M)	Y	Y
20.219 Recreational Trails Program (A)	Y	
20.224 Federal Lands Access Program (A, B)	Y	
20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)	Y	
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION		
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20.231 Performance and Registration Information Systems Management (B)	Y	
20.232 Commercial Driver's License Program Implementation Grant (B)	Y	
20.233 Border Enforcement Grants (B)	Y	
20.234 Safety Data Improvement Program (B)	Y	
20.235 Commercial Motor Vehicle Operator Safety Training Grants (B)	Y	
20.237 Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (B)	Y	
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20.301 Railroad Safety (B)	Y	
20.313 Railroad Research and Development (B)	Y	
20.314 Railroad Development (B)	Y	
20.315 National Railroad Passenger Corporation Grants (B)	Y	
20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)	Y	
20.318 Maglev Project Selection Program - SAFETEA-LU (B)	Y	
20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (B)	Y	
20.320 Rail Line Relocation and Improvement (B)	Y	
20.321 Railroad Safety Technology Grants (B)	Y	
20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (B)	Y	
20.324 Restoration and Enhancement (B)	Y	
20.325 Consolidated Rail Infrastructure and Safety Improvements (B)	Y	
20.326 Federal-State Partnership for State of Good Repair (B)	Y	
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20.509 Formula Grants for Rural Areas and Tribal Transit Program (A)	Y	
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20.516 Job Access and Reverse Commute Program (B)	Y	
20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)	Y	
20.519 Clean Fuels (B)	Y	
20.520 Paul S. Sarbanes Transit in the Parks (B)	Y	
20.521 New Freedom Program (A)	Y	
20.522 Alternatives Analysis (B)	Y	
20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (B)	Y	
20.525 State of Good Repair Grants Program (A)	Y	
20.526 Buses and Bus Facilities Formula, Competitive, and Low or No Emissions Programs (A, B)	Y	
20.527 Public Transportation Emergency Relief Program (B)	Y	
20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (A)	Y	
20.529 Bus Testing (B)	Y	
20.530 Public Transportation Innovation (B, C, L, M)	Y	Y
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MARITIME ADMINISTRATION		
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20.803 Maritime War Risk Insurance (G)	Y	
20.806 State Maritime Schools (B, C, I, M)	Y	Y
20.807 U.S. Merchant Marine Academy (M)		Y
20.808 Capital Construction Fund (C)	Y	
20.812 Construction Reserve Fund (C)	Y	
20.813 Maritime Security Fleet Program or Ship Operations Cooperation Program (C)	Y	
20.814 Assistance to Small Shipyards (B, C)	Y	
20.816 America's Marine Highway Grants (B)	Y	
20.817 Air Emissions and Energy Initiative (B)	Y	
20.818 Great Ships Initiative (B, Z)	Y	
20.819 Ballast Water Treatment Technologies (B, Z)	Y	
20.820 Maritime Studies and Innovations (B)	Y	
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20.822 Port of Guam Improvement Enterprise Program (B)	Y	
20.823 Port Infrastructure Development Program (B)	Y	
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION		
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20.607 Alcohol Open Container Requirements (A, B)	Y	
20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (A, B)	Y	
20.611 Incentive Grant Program to Prohibit Racial Profiling (A)	Y	
20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (B)	Y	
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20.616 National Priority Safety Programs (A)	Y	
OFFICE OF THE SECRETARY		
20.114 Aviation Manufacturing Jobs Protection (AMJP) Program (C)	Y	
20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E, F)	Y	
20.316 Railroad Rehabilitation and Improvement Financing Program (E, F)	Y	
20.701 University Transportation Centers Program (B)	Y	
20.901 Payments for Essential Air Services (B, C)	Y	
20.910 Assistance to Small and Disadvantaged Businesses (B, Z)	Y	
20.930 Payments for Small Community Air Service Development (B)	Y	
20.933 National Infrastructure Investments (B)	Y	
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20.935 State and Local Government Data Analysis Tools for Roadway Safety (B)	Y	

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20.700 Pipeline Safety Program State Base Grant (A)	Y	
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)	Y	
20.706 Pipeline Emergency Response Grant (PERG) (M)		Y
20.707 Hazardous Materials State Inspection (HMSI) Grant (B)	Y	
20.710 Technical Assistance Grants (B)	Y	
20.720 State Damage Prevention Program Grants (B)	Y	
20.721 PHMSA Pipeline Safety Program One Call Grant (B)	Y	
20.723 PHMSA Pipeline Safety Research and Development "Other Transaction Agreements" (B)	Y	
20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)	Y	
20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)	Y	
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21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)	Y	
21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)	Y	
21.016 Equitable Sharing (C)	Y	
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21.012 Native Initiatives (B)	Y	
21.014 Community Development Financial Institutions Bond Guarantee Program (F)	Y	
21.020 Community Development Financial Institutions Program (B)	Y	
21.021 Bank Enterprise Award Program (B)	Y	
21.024 Community Development Financial Institutions Rapid Response Program (CDFI RRP) (B)	Y	
21.025 Small Dollar Loan Program (B)	Y	
DEPARTMENTAL OFFICES		
21.017 Social Impact Partnerships to Pay for Results Act (SIPRA) (B)	Y	
21.018 Coronavirus Relief - Pandemic Relief for Aviation Workers (C, Z)	Y	
21.019 Coronavirus Relief Fund (C)	Y	
21.023 Emergency Rental Assistance Program (C)	Y	
21.026 Homeowner Assistance Fund (C)	Y	
21.027 CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)	Y	
21.028 Coronavirus Economic Relief for Transportation Services Act (A, Z)	Y	
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21.008 Low Income Taxpayer Clinics (B)	Y	
UNITED STATES AGENCY FOR GLOBAL MEDIA, BBG		
90.500 International Broadcasting Independent Grantee Organizations (B, Z)	Y	
UNITED STATES INSTITUTE OF PEACE		
91.005 Priority Grant Competition (B)	Y	
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64.034 VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces (B)	Y	
64.037 VA U.S. Paralympics Monthly Assistance Allowance Program (C, D)	Y	
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64.049 VHA Community Living Center (C)	Y	
64.050 VHA Diagnostic Care (C)	Y	
64.052 Veterans Employment Pay for Success Program (B)	Y	
64.130 Veteran Rapid Retraining Assistance Program (C)	Y	
DIRECTORY/NATIONAL CEMETERY ADMINISTRATION		
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64.205 VA Casket or Urn Reimbursement Program (C)	Y	
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64.011 Veterans Dental Care (J, Z)	Y	Y
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64.015 Veterans State Nursing Home Care (A)	Y	
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64.033 VA Supportive Services for Veteran Families Program (B)	Y	
64.035 Veterans Transportation Program (B)	Y	
64.053 Payments to States for Programs to Promote the Hiring and Retention of Nurses at State Veterans Homes (B)	Y	
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64.104 Pension for Non-Service-Connected Disability for Veterans (C, D)	Y	
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90.404	2018 HAVA Election Security Grants (A)
93.353	21st Century Cures Act - Beau Biden Cancer Moonshot (B)
93.368	21st Century Cures Act - Precision Medicine Initiative (B)
93.370	21st Century Cures Act: Regenerative Medicine Initiative (B)
93.372	21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (B)
93.423	1332 State Innovation Waivers (B)

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APPLICANT ELIGIBILITY INDEX

The alphabet(s) in parenthesis following the assistance listing title, shows the type(s) of assistance available through that assistance listing. The alphabet codes with accompanying types of assistance are as follows:

1. A: Formula Grants
2. B: Project Grants
3. C: Direct Payments for Specified Use
4. D: Direct Payments with Unrestricted Use
5. E: Direct Loans
6. F: Guaranteed/Insured Loans
7. G: Insurance
8. H: Sale, Exchange, or Donation of Property and Goods
9. I: Use of Property, Facilities, and Equipment
10. J: Provision of Specialized Services
11. K: Advisory Services and Counseling
12. L: Dissemination of Technical Information
13. M: Training
14. N: Investigation of Complaints
15. O: Federal Employment
16. Z: Salaries and Expenses

Definitions of the types of applicants used in this Index are given below.

Individual - Any person or persons, as individuals, groups, or profit-making organizations. Such persons and groups do not represent Federally Recognized Indian Tribal Governments. Includes Indians or other Native Americans who apply as individuals rather than as a member of a tribe or other Indian organization.

Local - Agencies or instrumentalities of political subdivisions within a State, to include cities, towns, townships, parishes, municipalities, villages, counties, school districts, and other special local districts. Included under local are Indian tribes on State reservations, Indian bands and group, Pueblos, Indian school boards, and State designated Indian tribes. Local does not include institutions of higher education and hospitals.

Nonprofit - A public or private agency or organization established by charter to perform specialized functions or services for the benefit of all or part of the general public. Functions or services are provided without charge or at cost and earn no profit. The agency or organization has no shareholders to receive dividends.

State - Any agency or instrumentality of the fifty States of the United States, and the District of Columbia. State does not include the political subdivisions of the State but does include institutions of higher education and hospitals.

U.S. Territories - Any agency or instrumentality of the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Trust Territories of the Pacific Islands, and Mariana Islands. Included are the political subdivisions of the territories, institutions of higher education, and hospitals.

Federally Recognized Indian Tribal Organizations - The governing body or a governmental agency of an Indian Tribe, nation, or other organized group or community recognized and certified by the Secretary of the Interior. Included are Native villages as defined in the Alaska Native Claims Settlement Act.

(Note: The information contained in this Index was taken from the Applicant Eligibility section of the assistance listing descriptions and from other sources. As a potential applicant, if you feel you also qualify to apply for assistance under a particular listing but the Index says otherwise, always contact the agency contact persons. As regarding whether or not a potential applicant is eligible for an assistance listing, the agency is always the best source.)

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
AGENCY FOR INTERNATIONAL DEVELOPMENT						
98.001 USAID Foreign Assistance for Programs Overseas (B)	Y	Y	Y	Y	Y	Y
98.002 Cooperative Development Program (CDP) (B)						
98.003 Ocean Freight Reimbursement Program (OFR) (B)						
98.004 Non-Governmental Organization Strengthening (NGO) (B)			Y			
98.005 Institutional Capacity Building (ICB) (B)			Y			
98.006 Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (B)			Y			
98.007 Food for Peace Development Assistance Program (DAP) (B, H)			Y			
98.008 Food for Peace Emergency Program (EP) (B, H)			Y			
98.009 John Ogonowski Farmer-to-Farmer Program (B)		Y	Y	Y		
98.010 Denton Program (B, J)			Y			
98.011 Global Development Alliance (B)	Y	Y	Y	Y		Y
98.012 USAID Development Partnerships for University Cooperation and Development (B)				Y		
AGRICULTURE, DEPARTMENT OF						

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.001 Agricultural Research Basic and Applied Research (B)	Y	Y	Y	Y	Y	Y
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B, Z)		Y	Y	Y	Y	
10.028 Wildlife Services (B, Z)		Y	Y	Y		Y
10.030 Indemnity Program (D)	Y	Y	Y	Y	Y	Y
10.051 Commodity Loans and Loan Deficiency Payments (D, E)	Y					
10.053 Dairy Indemnity Payment Program (D)	Y		Y		Y	
10.054 Emergency Conservation Program (C, D)	Y					
10.056 Farm Storage Facility Loans (E)	Y	Y	Y	Y	Y	Y
10.069 Conservation Reserve Program (C, D)	Y	Y		Y		
10.072 Wetlands Reserve Program (B, Z)	Y	Y		Y		Y
10.087 Biomass Crop Assistance Program (C, D)						
10.089 Livestock Forage Disaster Program (C)	Y					
10.093 Voluntary Public Access and Habitat Incentive Program (A, B, Z)				Y		Y
10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)	Y				Y	
10.099 Conservation Loans (F)	Y					
10.102 Emergency Forest Restoration Program (D)	Y	Y	Y	Y	Y	Y
10.108 Livestock Indemnity Program-2014 Farm Bill (D)	Y	Y				
10.109 Livestock Forage Disaster Program (D)	Y	Y				
10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program (C)	Y	Y	Y	Y	Y	Y
10.111 Tree Assistance Program (C, D)	Y					
10.112 Price Loss Coverage (C)	Y	Y	Y	Y		Y
10.113 Agriculture Risk Coverage Program (C, D)	Y	Y	Y	Y	Y	Y
10.114 Cotton Transition Assistance Program (C, D)	Y	Y	Y	Y	Y	Y
10.116 The Margin Protection Program (C)	Y	Y	Y	Y	Y	Y
10.117 Biofuel Infrastructure Partnership (B)				Y		
10.120 2017 Wildfires and Hurricanes Indemnity Program (D)	Y			Y		
10.123 Market Facilitation Program (D)	Y					
10.127 Dairy Margin Coverage (C)	Y	Y		Y	Y	Y
10.128 Heirs' Property Relending Program (F)			Y			
10.129 Wildfires and Hurricanes Indemnity Program Plus (D)	Y			Y		
10.130 Coronavirus Food Assistance Program 1 (D)	Y					Y
10.131 Seafood Trade Relief Program (STRP) (C)		Y	Y		Y	Y
10.132 Coronavirus Food Assistance Program 2 (D)	Y					Y
10.133 Quality Loss Adjustment Program (D)	Y			Y		
10.136 American Rescue Plan Act of 2021 Loan Payment (C)						
10.137 Pandemic Assistance for Timber Harvesters and Haulers (PATHH) Program (D)	Y					Y
10.138 Pandemic Livestock Indemnity Program (D)	Y					Y
10.145 Pandemic Assistance Block Grant (A)			Y	Y		Y
10.147 Outreach Education and Technical Assistance (L)		Y	Y	Y		Y
10.153 Market News (L)				Y		
10.155 Marketing Agreements and Orders (J, K, Z)	Y					
10.156 Federal-State Marketing Improvement Program (B)				Y	Y	
10.162 Inspection Grading and Standardization (J, Z)						
10.163 Market Protection and Promotion (J, K, M, Z)	Y	Y	Y	Y	Y	Y
10.164 Wholesale Farmers and Alternative Market Development (K, M)				Y		Y
10.165 Perishable Agricultural Commodities Act (N)	Y					
10.167 Transportation Services (K, M)	Y	Y	Y	Y	Y	Y
10.168 Farmers Market Promotion Program (B)		Y				Y
10.170 Specialty Crop Block Grant Program - Farm Bill (A, B)				Y		

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description		Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.171	Organic Certification Cost Share Programs (B, C)	Y			Y		
10.172	Local Food Promotion Program (B)		Y	Y			Y
10.173	Sheep Production and Marketing Grant Program (B)			Y			
10.174	Acer Access Development Program (B)			Y	Y		Y
10.175	Farmers Market and Local Food Promotion Program (B)		Y	Y			Y
10.176	Dairy Business Innovation Initiatives (B)			Y	Y		
10.177	Regional Food System Partnerships (B)	Y	Y	Y	Y	Y	Y
10.178	Trade Mitigation Program Eligible Recipient Agency Operational Funds (A)				Y		
10.179	Micro-Grants for Food Security Program (B)						Y
10.180	Meat and Poultry Interstate Shipment and Inspection Readiness Program (ISIRP) (B)						
10.181	Agricultural Worker Pandemic Relief and Protection Program (B)	Y		Y			
10.200	Grants for Agricultural Research, Special Research Grants (B)			Y	Y		Y
10.202	Cooperative Forestry Research (A)				Y	Y	
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act (A)			Y	Y	Y	
10.205	Payments to 1890 Land-Grant Colleges and Tuskegee University (A)			Y	Y		
10.206	Grants for Agricultural Research_Competitive Research Grants (B)	Y		Y	Y		Y
10.207	Animal Health and Disease Research (A)			Y	Y		
10.210	Higher Education – Graduate Fellowships Grant Program (B)			Y	Y		
10.212	Small Business Innovation Research (B)						
10.215	Sustainable Agriculture Research and Education (B)			Y	Y		Y
10.216	1890 Institution Capacity Building Grants (B)			Y	Y		Y
10.217	Higher Education - Institution Challenge Grants Program (B)			Y	Y		
10.219	Biotechnology Risk Assessment Research (B)		Y	Y	Y		Y
10.220	Higher Education - Multicultural Scholars Grant Program (B)			Y	Y		Y
10.221	Tribal Colleges Education Equity Grants (B)		Y		Y		
10.222	Tribal Colleges Endowment Program (A)		Y		Y		
10.223	Hispanic Serving Institutions Education Grants (B)			Y	Y		
10.225	Community Food Projects (B)			Y			
10.226	Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)			Y	Y		
10.227	1994 Institutions Research Program (B)		Y		Y		
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)			Y	Y		
10.229	Extension Collaborative on Immunization Teaching & Engagement (B)			Y			
10.230	Farm of the Future (B)			Y			
10.231	Agriculture Business Innovation Center at HBCU (B)						
10.232	Blue Ribbon (B)				Y		Y
10.233	Open Data Framework (B)				Y		
10.250	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B, L)	Y	Y	Y	Y	Y	Y
10.253	Consumer Data and Nutrition Research (B, L)	Y	Y	Y	Y	Y	Y
10.255	Research Innovation and Development Grants in Economic (RIDGE) (A, L)	Y	Y	Y	Y	Y	Y
10.290	Agricultural Market and Economic Research (B)	Y	Y	Y	Y	Y	Y
10.291	Agricultural and Food Policy Research Centers (B)	Y		Y	Y		
10.303	Integrated Programs (B)			Y	Y		Y
10.304	Homeland Security Agricultural (B)	Y	Y	Y	Y	Y	Y
10.306	Biodiesel (B)			Y	Y		
10.307	Organic Agriculture Research and Extension Initiative (B)	Y		Y	Y		Y
10.308	Resident Instruction Grants for Insular Area Activities (B)			Y	Y	Y	

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.309 Specialty Crop Research Initiative (B)	Y	Y	Y	Y	Y	Y
10.310 Agriculture and Food Research Initiative (AFRI) (B)	Y		Y	Y		Y
10.311 Beginning Farmer and Rancher Development Program (B)		Y	Y	Y		Y
10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)			Y	Y		Y
10.313 Veterinary Medicine Loan Repayment Program (C)	Y					
10.318 Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)	Y	Y	Y	Y		Y
10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)	Y		Y	Y		Y
10.320 Sun Grant Program (B)			Y			
10.322 Distance Education Grants for Institutions of Higher Education in Insular Areas (B)				Y		
10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)						
10.328 National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (B)		Y	Y	Y		Y
10.329 Crop Protection and Pest Management Competitive Grants Program (B)			Y			
10.330 Alfalfa and Forage Research Program (B)	Y		Y			
10.331 Food Insecurity Nutrition Incentive Grants Program (B)		Y	Y	Y		Y
10.332 Agricultural Genome to Phenome Initiative (B)	Y	Y	Y	Y	Y	Y
10.333 Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative (B)						
10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)			Y			
10.336 Veterinary Services Grant Program (B)		Y	Y	Y	Y	Y
10.350 Technical Assistance to Cooperatives (J, K, L, M)	Y					
10.351 Rural Business Development Grant (A, B)		Y	Y	Y		Y
10.352 Value-Added Producer Grants (B)	Y					
10.377 Agriculture Innovation Center Demonstration Program (B)		Y	Y	Y		Y
10.379 Rural Energy Pilot Program Grant (B)		Y		Y		Y
10.404 Emergency Loans (E)	Y					
10.405 Farm Labor Housing Loans and Grants (B, E, F)	Y	Y	Y	Y	Y	
10.406 Farm Operating Loans (E, F)	Y					
10.407 Farm Ownership Loans (E, F)	Y					
10.410 Very Low to Moderate Income Housing Loans (E, F, G)	Y					
10.411 Rural Housing Site Loans and Self Help Housing Land Development Loans (E)			Y			
10.415 Rural Rental Housing Loans (B, E)	Y	Y	Y	Y	Y	
10.417 Very Low-Income Housing Repair Loans and Grants (B, E)	Y					
10.420 Rural Self-Help Housing Technical Assistance (B)		Y	Y			
10.421 Indian Tribes and Tribal Corporation Loans (E)						Y
10.427 Rural Rental Assistance Payments (C)	Y	Y				
10.433 Rural Housing Preservation Grants (B)			Y	Y	Y	
10.435 State Mediation Grants (B)			Y	Y		
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)		Y	Y			
10.443 Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)		Y	Y		Y	Y
10.446 Rural Community Development Initiative (B)			Y			Y
10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B, C, E)	Y	Y	Y	Y	Y	Y
10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)	Y					
10.449 Boll Weevil Eradication Loan Program (E)						
10.450 Crop Insurance (B, G)	Y					

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description		Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.451	Noninsured Crop Disaster Assistance Program (D)	Y	Y				
10.460	Risk Management Education Partnerships (B)			Y	Y		Y
10.464	Socially Disadvantaged Farmers and Ranchers Policy Research Center (B)						
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)				Y		
10.477	Meat, Poultry, and Egg Products Inspection (B, J)	Y					
10.479	Food Safety Cooperative Agreements (B)		Y		Y		
10.494	Multi-Family Housing Non-Profit Transfer Technical Assistance Grants (B)			Y			
10.495	Farm Labor Housing Technical Assistance Grants (B)			Y			
10.500	Cooperative Extension Service (A, B)	Y	Y	Y	Y	Y	Y
10.511	Smith-Lever Funding (Various Programs) (A, B)			Y	Y	Y	
10.512	Agriculture Extension at 1890 Land-grant Institutions (A)						
10.513	1890 Facilities Grants Program (B)			Y	Y		Y
10.514	Expanded Food and Nutrition Education Program (A, B)			Y			
10.515	Renewable Resources Extension Act and National Focus Fund Projects (A, B)			Y	Y		
10.516	Rural Health and Safety Education Competitive Grants Program (B)			Y			
10.517	Tribal Colleges Extension Programs (B)			Y			
10.518	Food Animal Residue Avoidance Databank (B)			Y			
10.519	Equipment Grants Program (EGP) (B)			Y			
10.520	Agriculture Risk Management Education Partnerships Competitive Grants Program (B)		Y	Y	Y	Y	Y
10.521	Children, Youth and Families At-Risk (B)				Y		
10.522	Food and Agriculture Service Learning Program (B)	Y	Y	Y	Y	Y	Y
10.523	Centers of Excellence at 1890 Institutions (B)			Y			
10.524	Scholarships for Students at 1890 Institutions (B)			Y			
10.525	Farm and Ranch Stress Assistance Network Competitive Grants Program (B)		Y	Y	Y		
10.527	New Beginnings for Tribal Students (B)				Y	Y	
10.528	USDA WIC Telehealth Evaluation Collaborative (B)						
10.529	Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project (B)			Y	Y		Y
10.531	State Agency: Farm to School Program Training and Curricula (B)			Y			
10.532	School Nutrition Training Grant for Allied Professional Organizations (B)						
10.533	SNAP-Ed Toolkit (B)			Y	Y		
10.534	CACFP Meal Service Training Grants (A)				Y	Y	
10.535	SNAP Fraud Framework Implementation Grant (B)				Y	Y	
10.536	CACFP Training Grants (B)			Y			
10.537	Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (B)				Y		
10.539	CNMI Nutrition Assistance (B, C)					Y	
10.540	Participant Research Innovation Laboratory for Enhancing WIC Services (B, Z)			Y			
10.541	Child Nutrition-Technology Innovation Grant (B)				Y		
10.542	Pandemic EBT Food Benefits (A)				Y	Y	
10.543	Healthier US School Challenge: Smarter Lunchrooms (C)		Y		Y	Y	
10.545	Farmers' Market Supplemental Nutrition Assistance Program Support Grants (B, Z)		Y	Y	Y	Y	Y
10.547	Professional Standards for School Nutrition Employees (B, M)				Y		
10.551	Supplemental Nutrition Assistance Program (A, B, C, Z)				Y	Y	
10.553	School Breakfast Program (A)			Y	Y	Y	
10.555	National School Lunch Program (A)			Y	Y	Y	

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.556 Special Milk Program for Children (A)			Y	Y	Y	
10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A, B)		Y	Y	Y	Y	Y
10.558 Child and Adult Care Food Program (A)			Y	Y	Y	
10.559 Summer Food Service Program for Children (A)			Y	Y	Y	
10.560 State Administrative Expenses for Child Nutrition (A)				Y	Y	
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A, Z)				Y	Y	
10.565 Commodity Supplemental Food Program (A, H, Z)				Y		Y
10.566 Nutrition Assistance For Puerto Rico (C, Z)					Y	
10.567 Food Distribution Program on Indian Reservations (B, C, H)				Y		Y
10.568 Emergency Food Assistance Program (Administrative Costs) (A)				Y		
10.569 Emergency Food Assistance Program (Food Commodities) (A)				Y		
10.572 WIC Farmers' Market Nutrition Program (FMNP) (A, B)				Y		
10.574 Team Nutrition Grants (B)				Y		
10.575 Farm to School Grant Program (B, L, M)		Y	Y	Y		Y
10.576 Senior Farmers Market Nutrition Program (A)				Y		
10.577 SNAP Partnership Grant (B)				Y		
10.578 WIC Grants To States (WGS) (A, B)				Y		
10.579 Child Nutrition Discretionary Grants Limited Availability (B)		Y		Y	Y	
10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B, Z)			Y	Y		
10.582 Fresh Fruit and Vegetable Program (B)				Y	Y	
10.585 FNS Food Safety Grants (B)			Y	Y	Y	
10.587 National Food Service Management Institute Administration and Staffing Grant (B, Z)			Y	Y		
10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (B)				Y	Y	Y
10.593 Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)			Y			
10.594 Food Distribution Program on Indian Reservations Nutrition Education Grants (B)				Y		Y
10.596 Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (B)				Y	Y	
10.597 School Wellness Policy Cooperative Agreement (B)			Y			
10.600 Foreign Market Development Cooperator Program (A, C)			Y			
10.601 Market Access Program (A, C)			Y			Y
10.603 Emerging Markets Program (B, C)			Y	Y		
10.604 Technical Assistance for Specialty Crops Program (B, C)			Y	Y		Y
10.605 Quality Samples Program (B, C)			Y			
10.606 Food for Progress (C, H)		Y	Y	Y		
10.608 Food for Education (C, H)			Y			
10.610 Export Guarantee Program (F)			Y			
10.612 USDA Local and Regional Food Aid Procurement Program (B)			Y			
10.613 Faculty Exchange Program (B, C)		Y	Y	Y	Y	
10.615 Pima Agriculture Cotton Trust Fund (D)						
10.616 Agriculture Wool Apparel Manufacturers Trust Fund (D)						
10.617 PL-480 Market Development and Technical Assistance (B)			Y			Y
10.618 Agricultural Trade Promotion Program (B)			Y			Y
10.619 International Agricultural Education Fellowship Program (B)		Y	Y	Y		
10.620 Scientific Exchanges Program (B)		Y	Y	Y		
10.649 Pandemic EBT Administrative Costs (Z)		Y		Y	Y	

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.652	Forestry Research (B)	Y	Y	Y	Y	
10.664	Cooperative Forestry Assistance (A, B)		Y	Y	Y	
10.665	Schools and Roads - Grants to States (A, B)		Y	Y	Y	
10.666	Schools and Roads - Grants to Counties (C)			Y		
10.674	Wood Utilization Assistance (B)		Y	Y		
10.675	Urban and Community Forestry Program (B)		Y	Y		
10.676	Forest Legacy Program (B)			Y		
10.678	Forest Stewardship Program (B)		Y	Y		
10.679	Collaborative Forest Restoration (B)		Y			
10.680	Forest Health Protection (A, B)		Y	Y		
10.681	Wood Education and Resource Center (WERC) (B)		Y	Y		Y
10.682	National Forest Foundation (C)		Y			
10.683	National Fish and Wildlife Foundation (C)		Y			
10.684	International Forestry Programs (B)	Y	Y	Y	Y	Y
10.689	Community Forest and Open Space Conservation Program (CFP) (B)		Y			Y
10.690	Lake Tahoe Erosion Control Grant Program (B)		Y	Y		
10.691	Good Neighbor Authority (B)		Y	Y		Y
10.693	Watershed Restoration and Enhancement Agreement Authority (B)	Y	Y	Y		Y
10.694	Southwest Forest Health and Wildfire Prevention (C)					
10.697	State & Private Forestry Hazardous Fuel Reduction Program (B)		Y	Y	Y	Y
10.698	State & Private Forestry Cooperative Fire Assistance (B)		Y	Y	Y	Y
10.699	Partnership Agreements (C)		Y	Y	Y	Y
10.700	National Agricultural Library (B, L)	Y	Y	Y	Y	
10.701	Stewardship Agreements (B)		Y	Y	Y	Y
10.703	Cooperative Fire Protection Agreement (B, C)		Y	Y	Y	Y
10.704	Law Enforcement Agreements (B)		Y	Y		
10.705	Cooperative Forest Road Agreements (B)		Y	Y		
10.707	Research Joint Venture and Cost Reimbursable Agreements (C)			Y		Y
10.708	Community Wood Energy and Wood Innovation Program (B)		Y	Y		Y
10.711	Forest Service 638 Authority for Tribes (B)					Y
10.712	Great American Outdoors Act Deferred Maintenance Program (C)	Y	Y	Y	Y	Y
10.751	Rural Energy Savings Program (RESP) (E)		Y			
10.752	Rural eConnectivity Pilot Program (B, E)		Y	Y	Y	Y
10.754	Higher Blends Infrastructure Incentive Program (A)	Y				
10.755	Rural Innovation Stronger Economy (B)		Y	Y	Y	Y
10.759	Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (B)		Y	Y	Y	
10.760	Water and Waste Disposal Systems for Rural Communities (B, E, F)		Y			Y
10.761	Technical Assistance and Training Grants (B)		Y			
10.762	Solid Waste Management Grants (B)		Y			
10.763	Emergency Community Water Assistance Grants (B)		Y			
10.766	Community Facilities Loans and Grants (B, E, F)		Y	Y	Y	Y
10.767	Intermediary Relending Program (E)		Y	Y		Y
10.768	Business and Industry Loans (F)	Y	Y			Y
10.770	Water and Waste Disposal Loans and Grants (Section 306C) (B, E)		Y		Y	Y
10.771	Rural Cooperative Development Grants (B)		Y			
10.777	Norman E. Borlaug International Agricultural Science and Technology Fellowship (B, C)		Y	Y		
10.782	Appropriate Technology Transfer for Rural Areas (B)					
10.850	Rural Electrification Loans and Loan Guarantees (E, F)		Y		Y	Y
10.851	Rural Telephone Loans and Loan Guarantees (E, F)		Y			

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description		Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
10.854	Rural Economic Development Loans and Grants (B, E, F)	Y	Y	Y	Y	Y	Y
10.855	Distance Learning and Telemedicine Loans and Grants (B, E)		Y	Y	Y	Y	
10.858	Denali Commission Grants and Loans (B)						Y
10.859	Assistance to High Energy Cost Rural Communities (B)		Y		Y	Y	
10.862	Rural Decentralized Water Systems Grant Program (B)			Y			
10.863	Community Connect Grant Program (B)		Y	Y	Y		
10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)						
10.865	Biorefinery Assistance (C, F)	Y	Y	Y	Y	Y	Y
10.867	Bioenergy Program for Advanced Biofuels (B, D)	Y					
10.868	Rural Energy for America Program (B, F)	Y	Y	Y	Y	Y	Y
10.870	Rural Microentrepreneur Assistance Program (B, E)			Y			Y
10.871	Socially-Disadvantaged Groups Grant (B)						
10.872	Healthy Food Financing Initiative (B)						
10.874	Delta Health Care Services Grant Program (B)			Y			
10.886	Rural Broadband Access Loans and Loan Guarantees (E, F)		Y	Y	Y		
10.890	Rural Development Cooperative Agreement Program (B)	Y	Y	Y	Y		Y
10.902	Soil and Water Conservation (K, Z)	Y	Y		Y	Y	
10.903	Soil Survey (L, Z)	Y	Y	Y	Y	Y	Y
10.904	Watershed Protection and Flood Prevention (B, K, Z)		Y	Y	Y	Y	
10.905	Plant Materials for Conservation (J, Z)				Y	Y	Y
10.907	Snow Survey and Water Supply Forecasting (L, Z)	Y	Y	Y	Y	Y	Y
10.912	Environmental Quality Incentives Program (C, Z)	Y	Y	Y	Y	Y	Y
10.913	Farm and Ranch Lands Protection Program (C, Z)		Y		Y		
10.914	Wildlife Habitat Incentive Program (C, Z)	Y	Y	Y	Y	Y	Y
10.916	Watershed Rehabilitation Program (B, C, K, Z)		Y		Y		
10.917	Agricultural Management Assistance (C, Z)	Y	Y	Y	Y	Y	Y
10.920	Grassland Reserve Program (C, Z)	Y	Y	Y	Y	Y	Y
10.921	Conservation Security Program (C, Z)	Y	Y	Y	Y	Y	Y
10.922	Healthy Forests Reserve Program (HFRP) (C, Z)	Y	Y				
10.923	Emergency Watershed Protection Program (B, Z)	Y	Y	Y	Y	Y	Y
10.924	Conservation Stewardship Program (B, Z)	Y	Y	Y	Y	Y	Y
10.925	Agricultural Water Enhancement Program (C, Z)	Y	Y		Y		
10.926	Chesapeake Bay Watershed Program (C, Z)	Y	Y	Y	Y	Y	Y
10.927	Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B, Z)	Y	Y		Y		
10.928	Emergency Watershed Protection Program - Floodplain Easements – Disaster Relief Appropriations Act (C, Z)	Y	Y	Y	Y	Y	Y
10.929	Water Bank Program (B, C, Z)	Y	Y		Y		
10.931	Agricultural Conservation Easement Program (B, Z)		Y		Y		Y
10.932	Regional Conservation Partnership Program (B, Z)		Y		Y		Y
10.933	Wetland Mitigation Banking Program (B, Z)	Y		Y	Y	Y	Y
10.934	Feral Swine Eradication and Control Pilot Program (B, Z)		Y	Y	Y		Y
10.935	Urban Agriculture and Innovative Production (B, Z)		Y	Y	Y	Y	Y
10.950	Agricultural Statistics Reports (L)	Y	Y	Y	Y	Y	Y
10.951	Agricultural Statistics (L)	Y	Y	Y	Y	Y	Y
10.960	Technical Agricultural Assistance (B, C)			Y	Y	Y	
10.961	Scientific Cooperation and Research (B, C)			Y	Y	Y	
10.962	Cochran Fellowship Program-International Training-Foreign Participant (B, C)			Y	Y	Y	

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FUND

85.200 Barry M. Goldwater Scholarship Program (C)

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
COMMERCE, DEPARTMENT OF						
11.008 NOAA Mission-Related Education Awards (B)	Y	Y	Y	Y	Y	Y
11.011 Ocean Exploration (B)	Y	Y	Y	Y	Y	Y
11.012 Integrated Ocean Observing System (IOOS) (B)	Y	Y	Y	Y	Y	Y
11.013 Education Quality Award Ambassadorship (B)	Y	Y	Y	Y	Y	Y
11.015 Broad Agency Announcement (B)	Y	Y	Y	Y	Y	Y
11.016 Statistical, Research, and Methodology Assistance (B)			Y	Y	Y	Y
11.017 Ocean Acidification Program (OAP) (B)		Y	Y	Y	Y	Y
11.020 Cluster Grants (B)		Y	Y	Y	Y	Y
11.021 NOAA Small Business Innovation Research (SBIR) Program (B)						
11.022 Bipartisan Budget Act of 2018 (B)	Y	Y	Y	Y	Y	Y
11.023 Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)		Y	Y	Y	Y	Y
11.024 BUILD TO SCALE (B)		Y	Y	Y	Y	Y
11.028 Connecting Minority Communities Pilot Program (B)			Y			Y
11.029 Tribal Broadband Connectivity Program (B)						Y
11.031 Broadband Infrastructure Program (B)				Y	Y	
11.112 Market Development Cooperator Program (B)			Y	Y		
11.300 Investments for Public Works and Economic Development Facilities (B)		Y	Y	Y	Y	Y
11.302 Economic Development Support for Planning Organizations (B)		Y	Y	Y	Y	Y
11.303 Economic Development Technical Assistance (B)	Y	Y	Y	Y	Y	Y
11.307 Economic Adjustment Assistance (B)		Y	Y	Y	Y	Y
11.312 Research and Evaluation Program (B)	Y	Y	Y	Y	Y	Y
11.313 Trade Adjustment Assistance for Firms (B)			Y	Y		
11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)		Y		Y		
11.405 Cooperative Institute (Inter-Agency Funded Activities) (B)	Y	Y	Y	Y	Y	Y
11.407 Interjurisdictional Fisheries Act of 1986 (A)				Y		
11.408 Fishermen's Contingency Fund (D)	Y					
11.413 Fishery Products Inspection and Certification (I)	Y	Y		Y		Y
11.415 Fisheries Finance Program (E)	Y					
11.417 Sea Grant Support (B)	Y	Y	Y	Y	Y	Y
11.419 Coastal Zone Management Administration Awards (A, B)				Y	Y	
11.420 Coastal Zone Management Estuarine Research Reserves (B)				Y	Y	
11.426 Financial Assistance for National Centers for Coastal Ocean Science (B)	Y	Y	Y	Y		
11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)	Y	Y	Y	Y	Y	Y
11.429 Marine Sanctuary Program (B)		Y	Y	Y	Y	
11.431 Climate and Atmospheric Research (B)	Y	Y	Y	Y	Y	Y
11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)				Y		
11.433 Marine Fisheries Initiative (B)	Y	Y	Y	Y	Y	Y
11.434 Cooperative Fishery Statistics (B)				Y		
11.435 Southeast Area Monitoring and Assessment Program (B)				Y		
11.436 Columbia River Fisheries Development Program (B)			Y	Y		
11.437 Pacific Fisheries Data Program (B)			Y	Y		
11.438 Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)			Y	Y		
11.439 Marine Mammal Data Program (B)			Y	Y		
11.440 Environmental Sciences, Applications, Data, and Education (B)			Y	Y		

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
11.441	Regional Fishery Management Councils (B)		Y			
11.451	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B, Z)		Y	Y	Y	Y
11.452	Unallied Industry Projects (B)	Y	Y		Y	Y
11.454	Unallied Management Projects (B)	Y	Y	Y	Y	Y
11.455	Cooperative Science and Education Program (B)		Y	Y	Y	
11.457	Chesapeake Bay Studies (B)		Y	Y		
11.459	Weather and Air Quality Research (B)	Y	Y	Y	Y	Y
11.460	Special Oceanic and Atmospheric Projects (B)		Y	Y		
11.462	Hydrologic Research (B)		Y			
11.463	Habitat Conservation (B)	Y	Y	Y	Y	Y
11.467	Meteorologic and Hydrologic Modernization Development (B, D, L, M)		Y	Y	Y	
11.468	Applied Meteorological Research (B)		Y	Y	Y	
11.469	Congressionally Identified Awards and Projects (B)	Y	Y	Y	Y	Y
11.472	Unallied Science Program (B)	Y	Y	Y	Y	Y
11.473	Office for Coastal Management (B)		Y	Y	Y	Y
11.474	Atlantic Coastal Fisheries Cooperative Management Act (B)			Y		
11.477	Fisheries Disaster Relief (B)			Y		
11.478	Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)	Y	Y	Y		Y
11.480	National Ocean Service Intern Program (B, Z)		Y			
11.481	Educational Partnership Program (B)		Y	Y		
11.482	Coral Reef Conservation Program (B, Z)	Y	Y	Y	Y	Y
11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)		Y	Y		Y
11.549	State and Local Implementation Grant Program (B)			Y		
11.553	Special Projects (B)	Y	Y	Y	Y	Y
11.557	Broadband Technology Opportunities Program (BTOP) (B)		Y	Y	Y	Y
11.601	Calibration Program (H, J)	Y	Y	Y	Y	Y
11.603	National Standard Reference Data System (H)	Y	Y	Y	Y	Y
11.604	Standard Reference Materials (H)	Y	Y	Y	Y	Y
11.606	Weights and Measures Service (H, J, K, L, M)	Y	Y	Y	Y	Y
11.609	Measurement and Engineering Research and Standards (B)		Y	Y		Y
11.610	Standards Information Center (A, L)	Y	Y	Y	Y	Y
11.611	Manufacturing Extension Partnership (B, L)		Y	Y		
11.612	Advanced Technology Program (A, B)					
11.616	Technology Innovation Program (TIP) (B)		Y			Y
11.619	Arrangements for Interdisciplinary Research Infrastructure (B)	Y	Y	Y	Y	Y
11.620	Science, Technology, Business and/or Education Outreach (B)	Y	Y	Y	Y	Y
11.802	Minority Business Resource Development (B)		Y	Y	Y	Y
11.804	MBDA Business Center - American Indian and Alaska Native (B)		Y	Y		Y
11.805	MBDA Business Center (B)		Y	Y		Y
11.999	Marine Debris Program (B, Z)		Y	Y	Y	Y

CONSUMER PRODUCT SAFETY COMMISSION

87.002	Virginia Graeme Baker Pool and Spa Safety (B)		Y		Y	Y
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CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.002	Retired and Senior Volunteer Program (B)		Y	Y	Y	Y
94.003	State Commissions (B)			Y	Y	
94.006	AmeriCorps (B)		Y	Y	Y	Y
94.008	Commission Investment Fund (B)			Y	Y	
94.009	Training and Technical Assistance (B, L, M)			Y		
94.011	Foster Grandparent Program (B)		Y	Y		Y

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
94.012 September 11th National Day of Service and Remembrance Grants (B)		Y	Y	Y	Y	Y
94.013 Volunteers in Service to America (J)		Y	Y	Y	Y	Y
94.014 Martin Luther King Jr Day of Service Grants (B)		Y	Y	Y	Y	Y
94.016 Senior Companion Program (B)		Y	Y	Y		Y
94.017 Senior Demonstration Program (B)		Y	Y	Y	Y	
94.019 Social Innovation Fund (B, C)		Y	Y	Y	Y	Y
94.020 CNCS Disaster Response Cooperative Agreement (B, C)		Y	Y	Y	Y	Y
94.021 Volunteer Generation Fund (B)				Y	Y	
94.026 National Service and Civic Engagement Research Competition (B)			Y		Y	
94.027 AmeriCorps VISTA Recruitment Support (Z)			Y	Y		
DELTA REGIONAL AUTHORITY						
90.200 Delta Regional Development (B)			Y	Y		
90.201 Delta Area Economic Development (A, B)			Y	Y		
90.202 Delta Local Development District Assistance (B)		Y				
90.203 Delta Creative Place-Making Pilot Initiative (B)			Y	Y		
90.204 States' Economic Development Assistance Program (B)			Y	Y		
DENALI COMMISSION						
90.100 Denali Commission Program (B)		Y	Y	Y		Y
90.199 Shared Services (B)	Y	Y	Y	Y	Y	Y
DEPT OF DEFENSE						
12.002 Procurement Technical Assistance For Business Firms (B)		Y	Y	Y	Y	
12.003 Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (B)		Y		Y		
12.004 Fort Huachuca Sentinel Landscapes for Military Training (B)			Y			
12.005 Conservation and Rehabilitation of Natural Resources on Military Installations (B)				Y	Y	Y
12.006 National Defense Education Program (B)		Y	Y	Y	Y	Y
12.007 Military Health Services Research (MHSR) (B)			Y	Y		Y
12.008 Dredged Material Containment Area (B)		Y				
12.010 Youth Conservation Services (B)			Y			
12.011 DOD HIV/AIDS Prevention Program (B)			Y			
12.013 Defense Civilian Training Corps (DCTC) - Scholarship/Internship (B)		Y	Y	Y	Y	
12.014 OnRampII (C)						
12.015 Past Conflict Accounting - Vietnam (B)			Y			
12.016 Transportation - DASH 102X and WMATA 7M Shuttles (B)		Y		Y		
12.017 Readiness and Environmental Protection Integration (REPI) Program (A)		Y	Y	Y		
12.018 Science, Technology, Engineering, and Mathematics (STEM) Educational Outreach Programs Grant (B)	Y	Y	Y	Y	Y	Y
12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services (B, Z)				Y		
12.114 Collaborative Research and Development (B)		Y	Y	Y		
12.116 Department of Defense Appropriation Act of 2003 (J)				Y		
12.219 EASE 2.0 (B)		Y		Y	Y	
12.225 Commercial Technologies for Maintenance Activities Program (B)			Y			
12.300 Basic and Applied Scientific Research (B)			Y			
12.330 Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (B)			Y			
12.340 Naval Medical Research and Development (B)			Y			

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
12.350 Department of Defense HIV/AIDS Prevention Program (B)				Y		Y
12.351 Scientific Research - Combating Weapons of Mass Destruction (B)			Y			
12.355 Pest Management and Vector Control Research (B)			Y		Y	Y
12.357 ROTC Language and Culture Training Grants (B, Z)			Y			
12.360 Research on Chemical and Biological Defense (B)		Y	Y			
12.369 Marine Corps Systems Command Federal Assistance Program (B, Z)			Y			
12.400 Military Construction, National Guard (B)		Y		Y	Y	
12.401 National Guard Military Operations and Maintenance (O&M) Projects (B, Z)				Y		
12.404 National Guard ChalleNGe Program (A)				Y		
12.420 Military Medical Research and Development (B)		Y	Y	Y	Y	Y
12.431 Basic Scientific Research (B)		Y	Y	Y		
12.432 U.S. Military Academy Athletic Programs at West Point (B)			Y			
12.440 Dissertation Year Fellowship (B)						
12.460 Fisher House Foundation (B)			Y			
12.501 Training and Support – Combating Weapons of Mass Destruction (B, Z)						
12.550 The Language Flagship Grants to Institutions of Higher Education (B, Z)			Y			
12.551 National Security Education Program David L. Boren Scholarships (B)			Y			
12.552 National Security Education Program David L. Boren Fellowships (B)			Y			
12.554 English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (B)			Y	Y		
12.556 Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (B)		Y				
12.557 Invitational Grants for Military-Connected Schools (B)		Y				
12.558 Department of Defense Impact Aid (Supplement, CWSD, BRAC) (C, D)						
12.560 DOD, NDEP, DOTC-STEM Education Outreach Implementation (B)	Y	Y	Y	Y	Y	Y
12.579 Language Training Center (B)			Y	Y		
12.599 Congressionally Directed Assistance (B)	Y	Y	Y	Y	Y	Y
12.600 Community Investment (B, C, L)		Y		Y		
12.604 Community Economic Adjustment Assistance for Reductions in Defense Spending (B, L)		Y		Y		
12.607 Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)		Y		Y	Y	Y
12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)		Y		Y		
12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)		Y		Y		Y
12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)		Y		Y		
12.615 Research and Technical Assistance (B)		Y		Y		
12.617 Economic Adjustment Assistance for State Governments (B)				Y	Y	Y
12.618 Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)		Y		Y	Y	Y
12.620 Troops to Teachers Grant Program (B)		Y		Y	Y	
12.630 Basic, Applied, and Advanced Research in Science and Engineering (B)			Y			
12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (B)			Y			
12.632 Legacy Resource Management Program (B)			Y	Y		Y
12.740 Past Conflict Accounting (B)	Y	Y	Y	Y	Y	Y
12.750 Uniformed Services University Medical Research Projects (B, Z)			Y	Y		

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
12.777 Defense Production Act Title III (DPA Title III) (B, E)			Y			
12.800 Air Force Defense Research Sciences Program (B)			Y			
12.801 Air Force Academy Athletic Programs (B)			Y			
12.810 Air Force Medical Research and Development (B)			Y	Y	Y	Y
12.840 Civil Air Patrol Program (B)			Y			
12.900 Language Grant Program (B)			Y	Y	Y	Y
12.901 Mathematical Sciences Grants (B)		Y	Y	Y	Y	Y
12.902 Information Security Grants (B)		Y	Y	Y	Y	Y
12.903 GenCyber Grants Program (B)		Y	Y	Y	Y	Y
12.905 CyberSecurity Core Curriculum (B)			Y	Y	Y	
12.910 Research and Technology Development (B)	Y	Y	Y	Y	Y	Y
12.987 Upper San Pedro Partnership Support (B)						
EDUCATION, DEPARTMENT OF						
84.002 Adult Education - Basic Grants to States (A)		Y		Y		
84.004 Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (B)		Y	Y			
84.007 Federal Supplemental Educational Opportunity Grants (C)			Y	Y		
84.010 Title I Grants to Local Educational Agencies (A)				Y		
84.011 Migrant Education State Grant Program (A)				Y		
84.013 Title I State Agency Program for Neglected and Delinquent Children and Youth (A)				Y		
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)			Y			
84.016 Undergraduate International Studies and Foreign Language Programs (B)			Y	Y		
84.017 International Research and Studies (B)	Y		Y			
84.018 Overseas Programs Special Bilateral Projects (B)	Y					
84.021 Overseas Programs - Group Projects Abroad (B)		Y	Y	Y		
84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)			Y		Y	
84.027 Special Education Grants to States (A)		Y		Y	Y	
84.031 Higher Education Institutional Aid (B)			Y	Y	Y	
84.033 Federal Work-Study Program (C)			Y	Y		
84.040 Impact Aid Facilities Maintenance (B)		Y				
84.041 Impact Aid (A, B)		Y				
84.042 TRIO Student Support Services (B)		Y	Y	Y		
84.044 TRIO Talent Search (B)		Y	Y	Y		
84.047 TRIO Upward Bound (B)			Y	Y		
84.048 Career and Technical Education -- Basic Grants to States (A)				Y		
84.051 Career and Technical Education -- National Programs (B)			Y	Y		
84.060 Indian Education Grants to Local Educational Agencies (A)		Y				Y
84.063 Federal Pell Grant Program (C)	Y					
84.066 TRIO Educational Opportunity Centers (B)		Y	Y	Y		
84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)						Y
84.103 TRIO Staff Training Program (B)			Y	Y		
84.116 Fund for the Improvement of Postsecondary Education (B)			Y			
84.120 Minority Science and Engineering Improvement (B)			Y		Y	
84.126 Rehabilitation Services Vocational Rehabilitation Grants to States (A)				Y	Y	
84.129 Rehabilitation Long-Term Training (B)		Y	Y	Y	Y	
84.141 Migrant Education High School Equivalency Program (B)			Y			
84.144 Migrant Education Coordination Program (B)		Y		Y		

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
84.145 Federal Real Property Assistance Program (H)		Y	Y	Y		
84.149 Migrant Education College Assistance Migrant Program (B)			Y			
84.153 Business and International Education Projects (B)			Y	Y		Y
84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)			Y			
84.161 Rehabilitation Services Client Assistance Program (A)				Y	Y	
84.165 Magnet Schools Assistance (B)						Y
84.173 Special Education Preschool Grants (A)				Y		
84.177 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (B)				Y	Y	
84.181 Special Education-Grants for Infants and Families (A)				Y		
84.184 School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (B)		Y	Y	Y	Y	
84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)				Y	Y	
84.191 Adult Education National Leadership Activities (B, C)			Y			
84.196 Education for Homeless Children and Youth (A)				Y		
84.200 Graduate Assistance in Areas of National Need (B)			Y	Y		
84.206 Javits Gifted and Talented Students Education (B)				Y		Y
84.215 Innovative Approaches to Literacy; Promise Neighborhoods; and Full-Service Community Schools (B)			Y	Y		Y
84.217 TRIO McNair Post-Baccalaureate Achievement (B)			Y	Y		
84.220 Centers for International Business Education (B)			Y	Y		Y
84.229 Language Resource Centers (B)		Y	Y	Y		
84.235 Rehabilitation Services Demonstration and Training Programs (B)			Y	Y		Y
84.240 Program of Protection and Advocacy of Individual Rights (A)				Y		
84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)						Y
84.246 Rehabilitation Short-Term Training (B)		Y	Y	Y		
84.250 American Indian Vocational Rehabilitation Services (B)						Y
84.259 Native Hawaiian Career and Technical Education (B)			Y			
84.263 Innovative Rehabilitation Training (B)			Y	Y		
84.264 Rehabilitation Training Technical Assistance Centers (B)			Y	Y		
84.268 Federal Direct Student Loans (E)	Y					
84.274 American Overseas Research Centers (B)			Y	Y		
84.282 Charter Schools (B)		Y	Y	Y		
84.283 Comprehensive Centers (B)			Y			
84.287 Twenty-First Century Community Learning Centers (A, B)		Y	Y	Y		
84.295 Ready-To-Learn Television (B)						
84.299 Indian Education -- Special Programs for Indian Children (B)		Y	Y			Y
84.305 Education Research, Development and Dissemination (B)			Y			
84.310 Statewide Family Engagement Centers (B)		Y	Y			
84.315 Traditionally Underserved Populations (B)			Y			
84.323 Special Education - State Personnel Development (B)				Y	Y	
84.324 Research in Special Education (B)			Y			
84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)		Y	Y	Y		
84.326 Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)		Y	Y	Y		
84.327 Special Education Educational Technology Media, and Materials for Individuals with Disabilities (B)		Y	Y	Y		

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
84.328 Special Education Parent Information Centers (B)		Y	Y	Y		Y
84.329 Special Education Studies and Evaluations (B)			Y			
84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)			Y	Y		
84.335 Child Care Access Means Parents in School (B)		Y	Y			
84.336 Teacher Quality Partnership Grants (B)		Y				
84.351 Arts in Education (B)			Y	Y		
84.354 Credit Enhancement for Charter School Facilities (B)		Y	Y	Y		
84.356 Alaska Native Educational Programs (B)						
84.358 Rural Education (A)		Y				
84.362 Native Hawaiian Education (B)		Y	Y	Y		
84.365 English Language Acquisition State Grants (A)		Y		Y		
84.367 Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants) (A)				Y		
84.368 Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) (B)				Y		
84.369 Grants for State Assessments and Related Activities (A)				Y		
84.370 DC Opportunity Scholarship Program (B)			Y			
84.371 Comprehensive Literacy Development (B)		Y		Y		
84.372 Statewide Longitudinal Data Systems (B)			Y	Y	Y	
84.373 Special Education Technical Assistance on State Data Collection (B)				Y		
84.374 Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)		Y		Y		
84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)		Y		Y		
84.380 Special Education - Special Olympics Education Programs (B)			Y			
84.382 Strengthening Minority-Serving Institutions (B)		Y			Y	Y
84.403 Consolidated Grant to the Outlying Areas (A)					Y	
84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)			Y	Y		
84.408 Postsecondary Education Scholarships for Veteran's Dependents (A, C)	Y		Y			
84.411 Education Innovation and Research (formerly Investing in Innovation (i3) Fund) (B)		Y				
84.415 Indian Education National Activities (State Tribal Education Partnership (STEP) and Native American Language (NAL) (B)		Y		Y		
84.417 Directed Grants and Awards (C)			Y			
84.421 Disability Innovation Fund (DIF) (B)			Y	Y		
84.422 American History and Civics Education (B)			Y			
84.423 Supporting Effective Educator Development Program (B)			Y	Y		
84.424 Student Support and Academic Enrichment Program (A)				Y		
84.425 Education Stabilization Fund (A, B)				Y		
84.426 Randolph-Sheppard – Financial Relief and Restoration Payments (A)				Y		
84.938 Disaster Recovery Assistance for Education (A, B)				Y	Y	

ELECTION ASSISTANCE COMMISSION

90.401 Help America Vote Act Requirements Payments (A, C)				Y		
90.404 2018 HAVA Election Security Grants (A)				Y		

ENERGY, DEPARTMENT OF

81.005 Environmental Monitoring, Independent Research, Technical Analysis (B)			Y	Y		
81.008 Cybersecurity, Energy Security & Emergency Response (CESER) (B)	Y	Y	Y	Y	Y	Y
81.009 Molybdenum-99 Program (B)						

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
81.010 Office of Technology Transitions (OTT)-Technology Deployment, Demonstration and Commercialization (B)	Y	Y	Y	Y	Y	Y
81.036 Inventions and Innovations (B, K, L)	Y	Y	Y	Y	Y	Y
81.041 State Energy Program (A, L)				Y	Y	
81.042 Weatherization Assistance for Low-Income Persons (A)		Y	Y	Y	Y	Y
81.049 Office of Science Financial Assistance Program (B)	Y	Y	Y	Y	Y	Y
81.057 University Coal Research (B)			Y	Y		
81.065 Nuclear Legacy Cleanup Program (B, C)		Y		Y		Y
81.086 Conservation Research and Development (B)	Y	Y	Y	Y		
81.087 Renewable Energy Research and Development (B)		Y	Y	Y		
81.089 Fossil Energy Research and Development (B)	Y	Y	Y	Y	Y	
81.092 Remedial Action and Waste Management (B)				Y		
81.102 Student Driven Research and Long Term Monitoring of Selected Populations in the Valley and Ridge Ecoregion (B)		Y				
81.104 Environmental Remediation and Waste Processing and Disposal (B)		Y	Y	Y	Y	Y
81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)				Y		
81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)			Y	Y	Y	Y
81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)			Y		Y	Y
81.112 Stewardship Science Grant Program (B)			Y	Y		
81.113 Defense Nuclear Nonproliferation Research (B)			Y	Y		
81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)			Y			
81.119 State Energy Program Special Projects (B)				Y	Y	
81.121 Nuclear Energy Research, Development and Demonstration (B)	Y	Y	Y	Y	Y	Y
81.122 Electricity Research, Development and Analysis (B)		Y	Y	Y		
81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)			Y	Y	Y	
81.124 Predictive Science Academic Alliance Program (B)			Y			
81.126 Federal Loan Guarantees for Innovative Energy Technologies (E, F)		Y	Y	Y		
81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A, B)				Y	Y	
81.131 Expand and Extend Clean Coal Power Initiative (B)			Y			
81.135 Advanced Research Projects Agency - Energy (B)	Y	Y	Y	Y	Y	Y
81.136 Long-Term Surveillance and Maintenance (B)		Y	Y	Y	Y	Y
81.137 Minority Economic Impact (A, B)		Y	Y			
81.138 State Heating Oil and Propane Program (B, Z)				Y		
81.139 Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (B)			Y			
81.140 Los Alamos National Laboratory - Fire Protection (B)		Y				
81.214 Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (B)		Y	Y	Y	Y	
81.250 Energy Policy and Systems Analysis (B)			Y			

ENVIRONMENTAL PROTECTION AGENCY

66.001 Air Pollution Control Program Support (A, B)		Y		Y	Y	Y
66.032 State Indoor Radon Grants (A, B)				Y	Y	Y
66.033 Ozone Transport Commission (B)		Y		Y		
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)		Y	Y	Y	Y	Y
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)		Y	Y	Y	Y	Y

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)						Y
66.039 Diesel Emission Reduction Act (DERA) National Grants (B)		Y	Y	Y	Y	Y
66.040 Diesel Emissions Reduction Act (DERA) State Grants (A)				Y	Y	
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	Y	Y	Y	Y	Y	Y
66.110 Healthy Communities Grant Program (B)		Y	Y	Y		Y
66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)						Y
66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)		Y	Y	Y		Y
66.124 Coastal Wetlands Planning Protection and Restoration Act (B)		Y		Y		
66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)		Y				
66.126 The San Francisco Bay Water Quality Improvement Fund (B)		Y	Y	Y		
66.129 Southeast New England Coastal Watershed Restoration Program (B)		Y	Y	Y	Y	Y
66.130 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)		Y	Y	Y		Y
66.202 Congressionally Mandated Projects (B)		Y	Y	Y	Y	Y
66.203 Environmental Finance Center Grants (B)			Y	Y		
66.204 Multipurpose Grants to States and Tribes (A)				Y	Y	Y
66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)			Y	Y	Y	Y
66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)			Y		Y	Y
66.309 Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)		Y	Y	Y	Y	Y
66.312 State Environmental Justice Cooperative Agreement Program (B)		Y		Y	Y	Y
66.313 International Compliance and Enforcement Projects (B)		Y	Y	Y	Y	Y
66.418 Construction Grants for Wastewater Treatment Works (B)				Y	Y	
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)				Y	Y	Y
66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)		Y	Y	Y	Y	Y
66.432 State Public Water System Supervision (A)				Y	Y	Y
66.433 State Underground Water Source Protection (A)				Y	Y	Y
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)		Y	Y	Y	Y	Y
66.437 Long Island Sound Program (B)		Y	Y	Y		Y
66.440 Urban Waters Small Grants (B)		Y	Y	Y	Y	Y
66.441 Healthy Watersheds Consortium Grant Program (B)			Y			
66.442 Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A) (A)				Y	Y	Y
66.443 Reducing Lead in Drinking Water (SDWA 1459B) (B)		Y	Y	Y	Y	Y
66.444 Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d)) (A)				Y	Y	Y
66.445 Innovative Water Infrastructure Workforce Development Program (SDWA 1459E) (B)			Y			
66.446 Technical Assistance for Treatment Works (Clean Water Act [CWA] Section 104(b)(8)) (B)			Y			

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
66.447 Sewer Overflow and Stormwater Reuse Municipal Grant Program (A)				Y	Y	
66.448 Drinking Water System Infrastructure Resilience and Sustainability Program – SDWA 1459A(l) (B)		Y		Y	Y	Y
66.454 Water Quality Management Planning (A)				Y		
66.456 National Estuary Program (B)	Y		Y	Y		Y
66.458 Capitalization Grants for Clean Water State Revolving Funds (A)				Y	Y	Y
66.460 Nonpoint Source Implementation Grants (A)				Y	Y	Y
66.461 Regional Wetland Program Development Grants (B)		Y		Y	Y	Y
66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)			Y			
66.466 Chesapeake Bay Program (B)		Y	Y	Y		Y
66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)				Y	Y	Y
66.469 Great Lakes Program (B, I, L)		Y	Y	Y		Y
66.472 Beach Monitoring and Notification Program Implementation Grants (A)		Y		Y	Y	Y
66.473 Direct Implementation Tribal Cooperative Agreements (B)						Y
66.475 Gulf of Mexico Program (B)		Y	Y	Y		Y
66.481 Lake Champlain Basin Program (B)			Y	Y		
66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)				Y		
66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)				Y		
66.484 South Florida Geographic Initiatives Program (B)		Y	Y	Y	Y	Y
66.508 Senior Environmental Employment Program (B)			Y			
66.509 Science To Achieve Results (STAR) Research Program (B)		Y	Y	Y	Y	Y
66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)		Y	Y	Y	Y	Y
66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)		Y	Y	Y	Y	Y
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	Y					
66.516 P3 Award: National Student Design Competition for Sustainability (B)			Y			
66.517 Regional Applied Research Efforts (RARE) (B)		Y	Y	Y	Y	Y
66.518 State Senior Environmental Employment Program (B)			Y			
66.521 Innovative Water Technology Grant Program (B)		Y	Y	Y	Y	Y
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)					Y	
66.604 Environmental Justice Small Grant Program (B)			Y		Y	Y
66.605 Performance Partnership Grants (A, B)				Y	Y	Y
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)				Y	Y	Y
66.609 Protection of Children from Environmental Health Risks (B)			Y	Y	Y	Y
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)			Y	Y	Y	Y
66.611 Environmental Policy and Innovation Grants (B)	Y	Y	Y	Y	Y	Y
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (A, B)				Y	Y	Y
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (A, B)				Y	Y	Y
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (A)				Y	Y	Y
66.708 Pollution Prevention Grants Program (B)				Y	Y	Y
66.714 Pesticide Environmental Stewardship Program (PESP) Grants (B)				Y	Y	Y

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
66.716 Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)			Y	Y	Y	Y
66.717 Source Reduction Assistance (B)		Y	Y	Y	Y	Y
66.801 Hazardous Waste Management State Program Support (A)				Y	Y	Y
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)		Y		Y	Y	Y
66.804 Underground Storage Tank (UST) Prevention, Detection, and Compliance Program (A)				Y	Y	Y
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)				Y	Y	Y
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)			Y			
66.808 Solid Waste Management Assistance Grants (B)		Y	Y	Y	Y	Y
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)				Y	Y	Y
66.812 Hazardous Waste Management Grant Program for Tribes (B)						Y
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	Y		Y	Y	Y	Y
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)		Y	Y	Y	Y	Y
66.815 Brownfields Job Training Cooperative Agreements (B)		Y	Y	Y	Y	Y
66.816 Headquarters and Regional Underground Storage Tanks Program (B)		Y	Y	Y		Y
66.817 State and Tribal Response Program Grants (A)				Y		Y
66.818 Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Cooperative Agreements (B)		Y	Y	Y		Y
66.926 Indian Environmental General Assistance Program (GAP) (B)						Y
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)		Y	Y	Y	Y	Y
66.950 National Environmental Education Training Program (B)			Y			
66.951 Environmental Education Grants (B)		Y	Y	Y	Y	
66.956 Targeted Airshed Grant Program (B)		Y		Y		Y
66.958 Water Infrastructure Finance and Innovation (WIFIA) (E)		Y	Y	Y	Y	Y
66.961 Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core) (B)				Y	Y	Y
66.962 Columbia River Basin Restoration (CRBR) Program (B)		Y	Y	Y		Y
66.963 Healthy Environmental Living Program (HELP) (B)		Y	Y	Y		Y
66.964 Chesapeake Bay Program Implementation, Regulatory/Accountability and Monitoring Grants (B)		Y		Y		Y

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K, N, O)	Y	Y	Y	Y	Y	Y
30.005 Employment Discrimination Private Bar Program (J)	Y					
30.008 Employment Discrimination Age Discrimination in Employment (K, N, O)	Y				Y	
30.010 Employment Discrimination Equal Pay Act (K, N, O)	Y	Y	Y	Y	Y	Y
30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K, N, O)	Y	Y	Y	Y	Y	Y
30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K, N, O, Z)	Y	Y	Y	Y	Y	Y

EXECUTIVE OFFICE OF THE PRESIDENT

95.001 High Intensity Drug Trafficking Areas Program (B)						
95.003 DFC NATIONAL COMMUNITY ANTIDRUG COALITION INSTITUTE (B)			Y			

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
95.004	Anti-Doping Activities (B)					
95.005	Drug Court Training and Technical Assistance (B)					
95.006	Model Acts Program (B)					
95.007	Research and Data Analysis (B)					
95.008	Drug-Free Communities Support Program - National Youth Leadership Initiative (B)					
EXPORT-IMPORT BANK OF THE U.S.						
31.007	Y	Y	Y	Y	Y	Y
FEDERAL COMMUNICATIONS COMMISSION						
32.002	UNIVERSAL SERVICE FUND - HIGH COST (C)					
32.003	Y	Y	Y	Y	Y	Y
32.004	UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)					
32.005	Y	Y	Y	Y	Y	Y
32.006	COVID-19 Telehealth Program (C)					
32.007	Connected Care Pilot Program (C)					
32.008	Emergency Broadband Benefit Program (C)					
32.009	Emergency Connectivity Fund Program (C)					
32.010	Supply Chain Reimbursement Program (C)					
FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE						
38.006	State Appraiser Agency Support Grants (B)					
38.008	Training and Technical Assistance for State Appraiser Regulatory Agencies (B)					
38.009	Board Support and Innovation Grant (B)					
FEDERAL MEDIATION AND CONCILIATION SERVICE						
34.002	Labor Management Cooperation (B)					
GENERAL SERVICES ADMINISTRATION						
39.002	Y	Y	Y	Y		
39.003	Donation of Federal Surplus Personal Property (H)					
39.007	Y	Y	Y	Y	Y	Y
GULF COAST ECOSYSTEM RESTORATION COUNCIL						
87.051	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (B)					
87.052	Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (B)					
HEALTH AND HUMAN SERVICES, DEPARTMENT OF						
93.007	Public Awareness Campaigns on Embryo Adoption (B)					
93.008	Medical Reserve Corps Small Grant Program (B)					
93.011	National Organizations of State and Local Officials (B)					
93.019	Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B, Z)					
93.041	Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)					
93.042	Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)					
93.043	Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)					
93.044	Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)					

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.045 Special Programs for the Aging, Title III, Part C, Nutrition Services (A)				Y	Y	
93.047 Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)		Y				Y
93.048 Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (B)			Y			
93.051 Alzheimer's Disease Demonstration Grants to States (B)				Y		
93.052 National Family Caregiver Support, Title III, Part E (A)		Y		Y	Y	
93.053 Nutrition Services Incentive Program (A)				Y	Y	Y
93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (A, B)						Y
93.059 Training in General, Pediatric, and Public Health Dentistry (B)		Y	Y	Y	Y	Y
93.060 Sexual Risk Avoidance Education (B)		Y	Y	Y	Y	Y
93.061 Innovations in Applied Public Health Research (B)			Y			
93.062 Biomonitoring Programs for State Public Health Laboratories (B)				Y		
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)		Y	Y	Y	Y	
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)				Y		
93.066 State Vital Statistics Improvement Program (B)	Y	Y	Y	Y	Y	Y
93.067 Global AIDS (B)	Y	Y	Y	Y	Y	Y
93.068 Chronic Diseases: Research, Control, and Prevention (B)		Y	Y	Y	Y	Y
93.069 Public Health Emergency Preparedness (B)		Y		Y	Y	
93.070 Environmental Public Health and Emergency Response (B)			Y			Y
93.071 Medicare Enrollment Assistance Program (A, B)						
93.072 Lifespan Respite Care Program (B)				Y		
93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)			Y	Y	Y	Y
93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A, B)		Y		Y	Y	
93.077 Family Smoking Prevention and Tobacco Control Act Regulatory Research (B, M)			Y			
93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)			Y	Y		
93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)			Y	Y	Y	Y
93.080 Blood Disorder Program: Prevention, Surveillance, and Research (B)		Y	Y	Y	Y	Y
93.081 ASPR Science Preparedness and Response Grants (B)		Y	Y	Y		
93.082 Sodium Reduction in Communities (B)		Y		Y		Y
93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)		Y	Y	Y	Y	Y
93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (B)		Y	Y	Y	Y	Y
93.085 Research on Research Integrity (B)		Y	Y	Y	Y	Y
93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B, L)		Y	Y	Y	Y	Y
93.087 Enhance Safety of Children Affected by Substance Abuse (B)		Y	Y	Y	Y	Y
93.088 Advancing System Improvements for Key Issues in Women's Health (B)		Y	Y	Y	Y	Y
93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)			Y	Y	Y	
93.090 Guardianship Assistance (A)				Y		Y

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.092 Affordable Care Act (ACA) Personal Responsibility Education Program (A, B)		Y	Y	Y	Y	Y
93.093 Health Profession Opportunity Grants (B)			Y	Y	Y	Y
93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)						
93.098 Tribal Public Health Capacity Building and Quality Improvement (B)			Y			
93.099 Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (B)						
93.103 Food and Drug Administration Research (B)		Y	Y	Y	Y	Y
93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)		Y		Y		
93.107 Area Health Education Centers (B)			Y	Y	Y	
93.110 Maternal and Child Health Federal Consolidated Programs (B)		Y	Y	Y	Y	Y
93.113 Environmental Health (B)		Y	Y	Y	Y	Y
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)		Y		Y	Y	
93.117 Preventive Medicine Residency (B)		Y	Y	Y	Y	Y
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)		Y	Y	Y	Y	Y
93.121 Oral Diseases and Disorders Research (B)		Y	Y	Y	Y	Y
93.123 Health Professions Pre-graduate Scholarship Program for Indians (M)						
93.124 Nurse Anesthetist Traineeship (A)			Y	Y	Y	
93.127 Emergency Medical Services for Children (B)			Y	Y	Y	
93.129 Technical and Non-Financial Assistance to Health Centers (B)			Y			Y
93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)				Y	Y	
93.134 Grants to Increase Organ Donations (B)			Y	Y	Y	Y
93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)			Y	Y		
93.136 Injury Prevention and Control Research and State and Community Based Programs (B)		Y	Y	Y	Y	Y
93.137 Community Programs to Improve Minority Health Grant Program (B)		Y	Y	Y	Y	Y
93.140 Intramural Research Training Award (C)	Y					
93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)			Y	Y	Y	Y
93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)		Y	Y	Y	Y	Y
93.145 HIV-Related Training and Technical Assistance (B)		Y	Y	Y		
93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)		Y	Y	Y	Y	Y
93.155 Rural Health Research Centers (B)		Y	Y	Y		Y
93.157 Centers of Excellence (B)			Y	Y	Y	Y
93.161 Health Program for Toxic Substances and Disease Registry (B)	Y	Y		Y		
93.162 National Health Service Corps Loan Repayment (C)	Y					
93.164 Indian Health Service Educational Loan Repayment (C)						
93.165 Grants to States for Loan Repayment (B, C)				Y	Y	Y
93.172 Human Genome Research (B, M)		Y	Y	Y	Y	Y
93.173 Research Related to Deafness and Communication Disorders (B)			Y			
93.178 Nursing Workforce Diversity (B)		Y	Y	Y	Y	Y
93.184 Disabilities Prevention (B)		Y	Y	Y		Y
93.185 Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)		Y	Y	Y	Y	Y

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.186 National Research Service Award in Primary Care Medicine (B)		Y	Y	Y	Y	Y
93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (C)	Y	Y	Y	Y	Y	Y
93.191 Graduate Psychology Education (B)			Y		Y	Y
93.193 Urban Indian Health Services (B)						
93.197 Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)		Y	Y	Y	Y	Y
93.209 Contraception and Infertility Research Loan Repayment Program (C)						
93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)					Y	Y
93.211 Telehealth Programs (B)		Y	Y	Y	Y	Y
93.213 Research and Training in Complementary and Integrative Health (B, M)		Y	Y	Y	Y	Y
93.217 Family Planning Services (B)		Y	Y	Y	Y	Y
93.220 National Institutes of Health Intramural Loan Repayment Program (C)				Y	Y	Y
93.223 Development and Coordination of Rural Health Services (B)		Y	Y	Y	Y	Y
93.224 Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)		Y	Y	Y		Y
93.225 National Research Service Awards Health Services Research Training (M)			Y			
93.226 Research on Healthcare Costs, Quality and Outcomes (B)		Y	Y	Y	Y	Y
93.228 Indian Health Service, Health Management Development Program (B)						Y
93.231 Epidemiology Cooperative Agreements (B)		Y				Y
93.232 Loan Repayment Program for General Research (C)	Y			Y	Y	Y
93.233 National Center on Sleep Disorders Research (B)	Y	Y	Y			
93.234 Traumatic Brain Injury State Demonstration Grant Program (B)				Y	Y	Y
93.235 Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program (A)				Y	Y	
93.236 Grants to States to Support Oral Health Workforce Activities (B)			Y	Y	Y	
93.237 Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (B)		Y				Y
93.239 Policy Research and Evaluation Grants (B)		Y	Y	Y		
93.240 State Capacity Building (B)		Y		Y	Y	
93.241 State Rural Hospital Flexibility Program (B)				Y		Y
93.242 Mental Health Research Grants (B, M)		Y	Y	Y		
93.243 Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)		Y	Y	Y		Y
93.247 Advanced Nursing Education Workforce Grant Program (B)			Y		Y	Y
93.250 Geriatric Academic Career Awards Department of Health and Human Services (B)						
93.251 Early Hearing Detection and Intervention (B)			Y	Y	Y	
93.253 Poison Center Support and Enhancement Grant (B)		Y	Y	Y	Y	Y
93.255 Children's Hospitals Graduate Medical Education Payment Program (C)			Y	Y	Y	Y
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)		Y	Y	Y		Y
93.260 Family Planning Personnel Training (B)		Y	Y	Y	Y	Y
93.261 Scaling the National Diabetes Prevention Program to Priority Populations (B)			Y			Y
93.262 Occupational Safety and Health Program (B, M)		Y	Y	Y	Y	
93.264 Nurse Faculty Loan Program (NFLP) (A)			Y	Y	Y	
93.266 Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)			Y			
93.268 Immunization Cooperative Agreements (B)		Y		Y	Y	

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)			Y	Y	Y	
93.270 Viral Hepatitis Prevention and Control (B)	Y	Y	Y	Y	Y	Y
93.273 Alcohol Research Programs (B)	Y	Y	Y	Y	Y	Y
93.276 Drug-Free Communities Support Program Grants (B)			Y		Y	Y
93.279 Drug Abuse and Addiction Research Programs (B, M)	Y	Y	Y	Y		
93.280 National Institutes of Health Extramural Loan Repayment Program (C)						
93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	Y	Y	Y	Y		
93.284 Injury Prevention Program for American Indians and Alaskan Natives Cooperative Agreements (B)			Y			Y
93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)						
93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)			Y		Y	Y
93.288 National Health Service Corps Scholarship Program (C)	Y					
93.291 Surplus Property Utilization (H)		Y	Y	Y		
93.297 Teenage Pregnancy Prevention Program (B)		Y	Y	Y	Y	Y
93.300 National Center for Health Workforce Analysis (B)		Y	Y	Y		Y
93.301 Small Rural Hospital Improvement Grant Program (B)		Y		Y		Y
93.303 Nurse Corps Scholarship (C)	Y					
93.304 Racial and Ethnic Approaches to Community Health (B)			Y			
93.305 PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (B)				Y		
93.307 Minority Health and Health Disparities Research (B)	Y	Y	Y	Y	Y	Y
93.308 National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)						
93.310 Trans-NIH Research Support (B, M)		Y	Y	Y		
93.311 Mobilization For Health: National Prevention Partnership Awards (B)		Y	Y	Y	Y	Y
93.312 Child Development and, Surveillance, Research and Prevention (B)		Y	Y	Y	Y	Y
93.313 NIH Office of Research on Women's Health (B)			Y	Y	Y	
93.314 Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (B)		Y	Y	Y	Y	Y
93.315 Rare Disorders: Research, Surveillance, Health Promotion, and Education (B)		Y	Y	Y	Y	Y
93.316 Public Health Preparedness and Response Science, Research, and Practice (B)		Y	Y	Y	Y	Y
93.317 Emerging Infections Programs (B)				Y		
93.318 Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (B)			Y		Y	Y
93.319 Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (B)						
93.321 Dietary Supplement Research Program (B)			Y	Y	Y	Y
93.322 CSELS Partnership: Strengthening Public Health Laboratories (B)	Y	Y	Y	Y	Y	Y
93.323 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (B)		Y		Y	Y	
93.324 State Health Insurance Assistance Program (B)				Y	Y	
93.325 Paralysis Resource Center (B)			Y			Y
93.326 Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (B)						
93.327 Demonstration Grants for Domestic Victims of Human Trafficking (B)		Y	Y	Y	Y	Y
93.328 National Implementation and Dissemination for Chronic Disease Prevention (B)			Y			

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.332 Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (B)	Y		Y			Y
93.334 The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (B)			Y			
93.336 Behavioral Risk Factor Surveillance System (B)				Y	Y	
93.339 Public Health Conference Support (B)	Y	Y	Y	Y	Y	Y
93.340 Native American Community Research, Demonstration, and Pilot Projects (B)		Y	Y		Y	Y
93.341 Analyses, Research and Studies to Address the Impact of CMS' Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (B)			Y			
93.342 Health Professions Student Loans, Including Primary Care Loans and Loans for Disadvantaged Students (B)			Y	Y	Y	Y
93.343 Public Health Service Evaluation Funds (B)		Y	Y	Y	Y	Y
93.344 Research, Monitoring and Outcomes Definitions for Vaccine Safety (B)		Y	Y	Y	Y	Y
93.345 Leading Edge Acceleration Projects (LEAP) in Health Information Technology (B)		Y	Y	Y	Y	Y
93.346 Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (B)			Y			
93.347 Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (B)			Y			
93.348 Technical Assistance to Increase Tobacco Cessation (B)		Y	Y	Y	Y	Y
93.350 National Center for Advancing Translational Sciences (B)		Y	Y	Y		Y
93.351 Research Infrastructure Programs (B)				Y		
93.352 Construction Support (B)			Y			
93.353 21st Century Cures Act - Beau Biden Cancer Moonshot (B)						
93.354 Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (B)		Y		Y	Y	Y
93.355 Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program) (B)			Y	Y		
93.356 Head Start Disaster Recovery (B)		Y	Y		Y	Y
93.359 Nurse Education, Practice Quality and Retention Grants (B)		Y	Y	Y	Y	Y
93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)			Y	Y	Y	Y
93.361 Nursing Research (B, M)	Y	Y	Y	Y	Y	Y
93.362 Assistive Technology National Activities (B)			Y	Y		
93.364 Nursing Student Loans (E)			Y		Y	Y
93.365 Sickle Cell Treatment Demonstration Program (B)			Y			
93.366 State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (B)				Y	Y	
93.367 Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs (B, Z)				Y	Y	Y
93.368 21st Century Cures Act - Precision Medicine Initiative (B)			Y			
93.369 ACL Independent Living State Grants (A)				Y	Y	
93.370 21st Century Cures Act: Regenerative Medicine Initiative (B)			Y			
93.372 21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (B)			Y			
93.376 Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (B)		Y	Y	Y	Y	Y

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.377 Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (B)		Y	Y	Y	Y	
93.378 Integrated Care for Kids Model (A)		Y	Y			Y
93.380 The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (B)		Y		Y	Y	Y
93.381 Emergency Triage, Treat, and Transport (ET3) Model (A)		Y		Y		
93.382 Indian Health Service Community Health Aide Program (B)						Y
93.387 National and State Tobacco Control Program (B)			Y	Y	Y	Y
93.391 Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (B)						
93.393 Cancer Cause and Prevention Research (B)		Y	Y	Y		
93.394 Cancer Detection and Diagnosis Research (B)		Y	Y	Y		
93.395 Cancer Treatment Research (B)		Y	Y	Y		
93.396 Cancer Biology Research (B)		Y	Y	Y		
93.397 Cancer Centers Support Grants (B)		Y	Y	Y		
93.398 Cancer Research Manpower (B)			Y			
93.399 Cancer Control (B)		Y	Y	Y		
93.413 The State Flexibility to Stabilize the Market Grant Program (B)				Y		
93.421 Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (B)		Y	Y	Y	Y	
93.422 National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (B)	Y	Y	Y	Y	Y	Y
93.423 1332 State Innovation Waivers (B)					Y	
93.424 NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (B)			Y			
93.426 Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (B)				Y		
93.427 Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes. (B)			Y			
93.430 PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (B)		Y	Y	Y	Y	
93.431 Networking2Save™: CDC's National Network Approach to Preventing and Controlling Tobacco-related Cancers in Special Populations (B)	Y	Y	Y	Y	Y	Y
93.432 ACL Centers for Independent Living (B)		Y	Y	Y	Y	
93.433 ACL National Institute on Disability, Independent Living, and Rehabilitation Research (B)	Y	Y	Y	Y		Y
93.434 Every Student Succeeds Act/Preschool Development Grants (B, M, Z)				Y	Y	
93.435 Innovative State and Local Public Health Strategies to prevent and Manage Diabetes and Heart Disease and Stroke- (B)		Y		Y		
93.436 WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (WISEWOMAN) (B)				Y	Y	Y
93.437 PPHF2018-National Organization for Chronic Disease Prevention and Health Promotion-financed in part by 2018 Prevention and Public Health Funds (B)			Y			
93.439 State Physical Activity and Nutrition (SPAN) (B)				Y		
93.441 Indian Self-Determination (C)		Y				
93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)						Y
93.446 Partner Actions to Improve Oral Health Outcomes (B)				Y	Y	

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.456 CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (B)			Y			
93.461 HRSA COVID-19 Claims Reimbursement for the Uninsured Program and the COVID-19 Coverage Assistance Fund (C)						
93.462 Strengthening the Technical Advancement & Readiness of Public Health via Health Information Exchange Program (B)			Y	Y	Y	
93.464 ACL Assistive Technology (A, B)				Y		
93.465 Tobacco Prevention and Control Legal Technical Assistance (B)						
93.469 Assistive Technology Alternative Financing Program (B)			Y	Y	Y	
93.470 Alzheimer's Disease Program Initiative (ADPI) (B)		Y	Y	Y	Y	Y
93.471 Title IV-E Kinship Navigator Program (A)				Y		Y
93.472 Title IV-E Prevention Program (A)				Y		Y
93.478 Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (B)		Y	Y	Y	Y	Y
93.479 Good Health and Wellness in Indian Country (B)						Y
93.488 National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (B)		Y	Y	Y	Y	Y
93.489 Child Care Disaster Relief (A)				Y	Y	Y
93.494 Global Tuberculosis:Developing,Evaluating,Implementing Evidence-based and Innovative Approaches to Find, Cure, and Prevent Tuberculosis Globally (B)	Y	Y	Y	Y	Y	Y
93.495 Community Health Workers for Public Health Response and Resilient (B)				Y	Y	Y
93.496 Family Violence Prevention and Services/Culturally Specific Domestic Violence and Sexual Violence Services (B)			Y			Y
93.497 Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports (A)				Y	Y	Y
93.498 Provider Relief Fund (C)						
93.500 Pregnancy Assistance Fund Program (B)				Y		Y
93.501 Grants for School-Based Health Center Capital Expenditures (B)		Y	Y	Y		Y
93.504 Family to Family Health Information Centers (B)		Y	Y	Y	Y	Y
93.516 Public Health Training Centers Program (B)			Y			Y
93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)				Y	Y	
93.524 Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (B)						
93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges (B)				Y	Y	
93.526 Grants for Capital Development in Health Centers (B)		Y	Y	Y	Y	
93.527 Grants for New and Expanded Services under the Health Center Program (B)		Y	Y	Y	Y	Y
93.528 National Forum for State and Territorial Chief Executives (B)			Y			
93.530 Teaching Health Center Graduate Medical Education Payment (A)		Y	Y			Y
93.533 Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)		Y	Y	Y	Y	Y
93.534 Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)			Y	Y		Y
93.545 Consumer Operated and Oriented Plan [CO-OP] Program (E)			Y			

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.547 National Health Service Corps (B, C)	Y			Y	Y	
93.550 Transitional Living for Homeless Youth (B)		Y	Y	Y	Y	Y
93.556 MaryLee Allen Promoting Safe and Stable Families Program (A, B)				Y	Y	Y
93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)		Y	Y	Y	Y	Y
93.558 Temporary Assistance for Needy Families (A, L)				Y	Y	Y
93.560 Payments to Territories – Adults (A)					Y	
93.563 Child Support Enforcement (A)				Y	Y	
93.564 Child Support Enforcement Research (B)				Y		Y
93.566 Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A, B)				Y		
93.567 Refugee and Entrant Assistance Voluntary Agency Programs (A)			Y			
93.568 Low-Income Home Energy Assistance (A, M)		Y		Y	Y	Y
93.569 Community Services Block Grant (A, B, M)				Y	Y	Y
93.570 Community Services Block Grant Discretionary Awards (B)			Y			
93.575 Child Care and Development Block Grant (A, B)				Y	Y	Y
93.576 Refugee and Entrant Assistance Discretionary Grants (B)		Y	Y	Y		Y
93.579 U.S. Repatriation (B)			Y	Y	Y	Y
93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)						Y
93.583 Refugee and Entrant Assistance Wilson/Fish Program (B)			Y	Y		
93.586 State Court Improvement Program (A, B)				Y		Y
93.587 Promote the Survival and Continuing Vitality of Native American Languages (A, B)		Y	Y		Y	Y
93.590 Community-Based Child Abuse Prevention Grants (A, B)			Y	Y	Y	
93.591 Family Violence Prevention and Services/State Domestic Violence Coalitions (A)			Y			
93.592 Family Violence Prevention and Services/Discretionary (B)			Y			Y
93.594 Tribal Work Grants (A)						Y
93.595 Welfare Reform Research, Evaluations and National Studies (B)		Y	Y	Y	Y	Y
93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A, B)				Y	Y	Y
93.597 Grants to States for Access and Visitation Programs (A)					Y	
93.598 Services to Victims of a Severe Form of Trafficking (B, C)		Y	Y	Y		Y
93.599 Chafee Education and Training Vouchers Program (ETV) (A)				Y	Y	Y
93.600 Head Start (B, C)		Y	Y		Y	Y
93.602 Assets for Independence Demonstration Program (B, M)		Y	Y	Y		Y
93.603 Adoption and Legal Guardianship Incentive Payments (A)				Y	Y	Y
93.604 Assistance for Torture Victims (B, C, Z)		Y	Y	Y		Y
93.605 Family Connection Grants (B)		Y	Y	Y		
93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)			Y			
93.612 Native American Programs (B)		Y	Y		Y	Y
93.618 Voting Access for Individuals with Disabilities- Grants for Protection and Advocacy Systems (A, B)				Y		
93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)	Y	Y	Y		Y	Y
93.623 Basic Center Grant (B)		Y	Y	Y	Y	Y
93.624 Community Health Access and Rural Transformation (CHART) Model (A, B)					Y	
93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)				Y		

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.631 Developmental Disabilities Projects of National Significance (B)		Y	Y	Y		
93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)			Y			
93.634 Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals (B)						
93.638 ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (B)		Y	Y			
93.639 Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services (B)			Y			
93.640 Basic Health Program (Affordable Care Act) (A, G)				Y		
93.643 Children's Justice Grants to States (A)				Y	Y	
93.645 Stephanie Tubbs Jones Child Welfare Services Program (A)				Y	Y	Y
93.647 Social Services Research and Demonstration (B)			Y	Y	Y	Y
93.648 Child Welfare Research Training or Demonstration (B)		Y	Y	Y		Y
93.649 Nutrition and Physical Activity Programs (B)	Y	Y	Y	Y	Y	Y
93.650 Accountable Health Communities (A)			Y	Y	Y	Y
93.652 Adoption Opportunities (B)			Y	Y		Y
93.653 Indian Health Service Domestic Violence Prevention Programs (B)						Y
93.654 Indian Health Service Behavioral Health Programs (B)			Y			Y
93.658 Foster Care Title IV-E (A, B)				Y	Y	Y
93.659 Adoption Assistance (A)				Y	Y	Y
93.662 Extramural Research Facilities Restoration Program: Hurricanes Harvey, Maria, and Irma – Construction (B)		Y	Y	Y	Y	Y
93.663 Extramural Research Restoration Program: Hurricanes Harvey, Maria, and Irma – non-construction (B)		Y	Y	Y	Y	Y
93.664 Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (B)						
93.665 Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 (B)				Y		Y
93.667 Social Services Block Grant (A)				Y	Y	
93.669 Child Abuse and Neglect State Grants (A)				Y	Y	
93.670 Child Abuse and Neglect Discretionary Activities (B)		Y	Y	Y		
93.671 Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)				Y	Y	Y
93.674 John H. Chafee Foster Care Program for Successful Transition to Adulthood (A)				Y		Y
93.676 Unaccompanied Alien Children Program (B)		Y	Y	Y		
93.680 Medical Student Education (B)			Y			
93.684 Engaging State and Local Emergency Management Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events (B)			Y			
93.686 Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B (B)		Y		Y	Y	
93.687 Maternal Opioid Misuse Model (A)				Y	Y	
93.691 Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)			Y			
93.696 Certified Community Behavioral Health Clinic Expansion Grants (B)			Y			
93.697 COVID-19 Testing and Mitigation for Rural Health Clinics (C)						
93.702 National Center for Research Resources, Recovery Act Construction Support (B)			Y			
93.714 ARRA – Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)				Y	Y	Y
93.732 Mental and Behavioral Health Education and Training Grants (B)			Y	Y	Y	Y

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (B)		Y		Y	Y	
93.734 Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF) (B, Z)			Y	Y	Y	
93.735 State Public Health Approaches for Ensuring Qitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (B)				Y	Y	
93.738 PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (B)			Y	Y	Y	Y
93.745 PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (B)					Y	
93.747 Elder Abuse Prevention Interventions Program (B)			Y	Y		Y
93.753 Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (B)		Y	Y	Y	Y	Y
93.755 Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)		Y	Y	Y	Y	Y
93.759 Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (B)			Y	Y	Y	
93.761 Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (B)			Y	Y	Y	Y
93.762 A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (B)						
93.763 Alzheimer’s Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (B)		Y	Y		Y	Y
93.765 PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)		Y	Y		Y	Y
93.767 Children’s Health Insurance Program (A)		Y		Y	Y	
93.770 Medicare Prescription Drug Coverage (C, G)						
93.772 Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)						Y
93.773 Medicare Hospital Insurance (C, G)	Y					
93.774 Medicare Supplementary Medical Insurance (C, G)	Y					
93.775 State Medicaid Fraud Control Units (A)				Y		
93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A, C)				Y		
93.778 Medical Assistance Program (A)		Y		Y		
93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)			Y	Y		
93.787 Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (B)		Y	Y		Y	Y
93.788 Opioid STR (A)					Y	
93.791 Money Follows the Person Rebalancing Demonstration (A, B)				Y		
93.792 Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (B)		Y	Y	Y	Y	
93.795 Community Health Workers for COVID Response and Resilient Communities (B)	Y	Y	Y	Y	Y	Y
93.796 State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)				Y		
93.799 CARA Act – Comprehensive Addiction and Recovery Act of 2016 (A, B)		Y	Y	Y		Y
93.800 Organized Approaches to Increase Colorectal Cancer Screening (B)			Y	Y	Y	Y

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.801 Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (B)				Y		
93.809 National Organizations for Chronic Disease Prevention and Health Promotion (B)			Y			
93.810 Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)				Y		
93.814 Partner support for heart disease and stroke prevention (B)	Y	Y	Y	Y	Y	Y
93.816 Preventing Heart Attacks and Strokes in High Need Areas (B)				Y		
93.817 Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (A)				Y		
93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)						
93.822 Health Careers Opportunity Program (HCOP) (B)		Y	Y	Y		
93.825 National Ebola Training and Education Center (NETEC) (B)			Y			
93.826 Closing the Gap Between Standards Development and Implementation (B)						
93.829 Section 223 Demonstration Programs to Improve Community Mental Health Services (B)						
93.831 Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (B)		Y	Y	Y		
93.832 Promoting the Cancer Surveillance Workforce, Education and Data Use (B)			Y			
93.833 Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)			Y	Y	Y	Y
93.834 Capacity Building Assistance (CBA) for High-Impact HIV Prevention (B)		Y	Y	Y	Y	
93.835 Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (B)			Y			
93.837 Cardiovascular Diseases Research (B)	Y	Y	Y			
93.838 Lung Diseases Research (B)	Y	Y	Y			
93.839 Blood Diseases and Resources Research (B)	Y	Y	Y			
93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders (B)	Y	Y	Y			
93.843 ACL Assistive Technology State Grants for Protection and Advocacy (A)				Y		
93.844 ACL Centers for Independent Living, Recovery Act (B)						Y
93.845 Promoting Population Health through Increased Capacity in Alcohol Epidemiology (B)				Y		
93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)	Y	Y	Y	Y		Y
93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	Y		Y	Y		Y
93.850 Improving Epilepsy Programs, Services, and Outcomes through National Partnerships (B)	Y	Y	Y	Y	Y	Y
93.851 Tracking Electronic Health Record Adoption and Capturing Related Insights in U.S. Hospitals (B)			Y			
93.852 National Syndromic Surveillance Program Community of Practice (NSSP CoP) (B)	Y	Y	Y	Y	Y	Y
93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	Y	Y	Y	Y	Y	
93.855 Allergy and Infectious Diseases Research (B)	Y	Y	Y	Y		
93.858 National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (B)	Y	Y	Y	Y	Y	Y
93.859 Biomedical Research and Research Training (B)	Y	Y	Y	Y		
93.860 Emerging Infections Sentinel Networks (A, B)	Y	Y	Y	Y	Y	Y
93.861 Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (B)						

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.865 Child Health and Human Development Extramural Research (B)	Y	Y	Y	Y		
93.866 Aging Research (B)	Y	Y	Y	Y	Y	Y
93.867 Vision Research (B)	Y	Y	Y	Y	Y	Y
93.870 Maternal, Infant and Early Childhood Home Visiting Grant (A, B)				Y	Y	
93.872 Tribal Maternal, Infant, and Early Childhood Home Visiting (B)		Y	Y			Y
93.873 State Grants for Protection and Advocacy Services (A)				Y		Y
93.874 Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (B)						
93.876 Antimicrobial Resistance Surveillance in Retail Food Specimens (B)		Y	Y	Y	Y	Y
93.877 Autism Collaboration, Accountability, Research, Education, and Support (B)	Y	Y	Y	Y	Y	Y
93.878 Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (B, Z)			Y			
93.879 Medical Library Assistance (B)		Y	Y	Y	Y	Y
93.881 The Reduction of Issuer Burden Through Technology Grant Program and The Health Insurance Enforcement and Consumer Protections Grant Program (B)				Y		
93.882 High Impact Pilot Awards (B)		Y	Y	Y	Y	Y
93.883 Standards Exploration Award (B)		Y	Y	Y	Y	Y
93.884 Primary Care Training and Enhancement (B)		Y	Y	Y	Y	Y
93.889 National Bioterrorism Hospital Preparedness Program (A, B)		Y		Y	Y	
93.893 Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)		Y	Y			
93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (B)				Y	Y	Y
93.908 Nurse Corps Loan Repayment Program (C)	Y					Y
93.910 Family and Community Violence Prevention Program (B)		Y	Y	Y	Y	Y
93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement (B)		Y	Y	Y	Y	Y
93.913 Grants to States for Operation of State Offices of Rural Health (B)			Y	Y		Y
93.914 HIV Emergency Relief Project Grants (B)		Y	Y	Y	Y	Y
93.917 HIV Care Formula Grants (A, B)				Y	Y	
93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)			Y			Y
93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)				Y	Y	Y
93.923 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (B, C)			Y	Y	Y	
93.924 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (A, B)			Y	Y	Y	Y
93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)		Y	Y	Y	Y	Y
93.926 Healthy Start Initiative (B)		Y	Y	Y	Y	Y
93.928 Special Projects of National Significance (B)		Y	Y	Y	Y	Y
93.932 Native Hawaiian Health Care Systems (B)			Y			
93.933 Demonstration Projects for Indian Health (B)		Y	Y			Y
93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B, C)				Y	Y	
93.939 HIV Prevention Activities Non-Governmental Organization Based (B)			Y			
93.940 HIV Prevention Activities Health Department Based (B)		Y		Y	Y	
93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)		Y	Y	Y		

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States (B)		Y	Y	Y		Y
93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)		Y	Y	Y		
93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)		Y		Y	Y	
93.945 Assistance Programs for Chronic Disease Prevention and Control (B)			Y	Y	Y	
93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)		Y	Y	Y	Y	
93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)		Y	Y	Y		
93.958 Block Grants for Community Mental Health Services (A, B)				Y	Y	
93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)				Y	Y	Y
93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)		Y	Y	Y		Y
93.967 CDC's Collaboration with Academia to Strengthen Public Health (B)	Y	Y	Y	Y	Y	Y
93.968 Funding in Support of the Pennsylvania Rural Health Model (B)				Y		
93.969 PPHF Geriatric Education Centers (B)			Y		Y	
93.970 Health Professions Recruitment Program for Indians (B)		Y	Y			Y
93.971 Health Professions Preparatory Scholarship Program for Indians (M)	Y					
93.972 Health Professions Scholarship Program (M)	Y					
93.974 Family Planning Service Delivery Improvement Research Grants (B)			Y	Y	Y	Y
93.976 Primary Care Medicine and Dentistry Clinician Educator Career Development Awards (B)			Y			Y
93.977 Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)		Y		Y	Y	
93.978 Sexually Transmitted Diseases (STD) Provider Education Grants (B)			Y	Y		
93.980 Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (B, Z)	Y	Y	Y	Y	Y	Y
93.981 Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)				Y	Y	Y
93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)		Y	Y	Y		
93.983 Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)			Y			
93.986 Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (A, Z)	Y	Y	Y	Y	Y	Y
93.989 International Research and Research Training (B, M)		Y	Y	Y	Y	Y
93.990 National Health Promotion (B)			Y			
93.991 Preventive Health and Health Services Block Grant (A, B)				Y	Y	Y
93.994 Maternal and Child Health Services Block Grant to the States (A)				Y		
93.997 Assisted Outpatient Treatment (B)				Y		
93.998 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)		Y	Y	Y	Y	Y
HOMELAND SECURITY, DEPARTMENT OF						
97.005 State and Local Homeland Security National Training Program (B)		Y	Y	Y		Y

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
97.007 Homeland Security Preparedness Technical Assistance Program (B)			Y			
97.008 Non-Profit Security Program (A, B)		Y				
97.010 Citizenship Education and Training (B)			Y			
97.012 Boating Safety Financial Assistance (A, B)			Y	Y	Y	
97.018 National Fire Academy Training Assistance (B, C)			Y			
97.022 Flood Insurance (G)		Y				
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)						
97.024 Emergency Food and Shelter National Board Program (B)			Y			
97.025 National Urban Search and Rescue (US&R) Response System (B)						
97.026 Emergency Management Institute Training Assistance (C)		Y			Y	
97.027 Emergency Management Institute (EMI) Independent Study Program (M)		Y				
97.028 Emergency Management Institute (EMI) Resident Educational Program (M)		Y		Y		
97.029 Flood Mitigation Assistance (B)				Y	Y	Y
97.030 Community Disaster Loans (E)		Y				
97.032 Crisis Counseling (B)				Y	Y	Y
97.033 Disaster Legal Services (C, J)						
97.034 Disaster Unemployment Assistance (B, C)				Y	Y	Y
97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)		Y	Y	Y		
97.039 Hazard Mitigation Grant (B)				Y	Y	Y
97.040 Chemical Stockpile Emergency Preparedness Program (B)		Y				
97.041 National Dam Safety Program (B)						
97.042 Emergency Management Performance Grants (A)				Y	Y	
97.043 State Fire Training Systems Grants (B)						
97.044 Assistance to Firefighters Grant (B)		Y			Y	
97.045 Cooperating Technical Partners (B)		Y	Y	Y	Y	Y
97.046 Fire Management Assistance Grant (B, J)				Y	Y	Y
97.047 BRIC: Building Resilient Infrastructure and Communities (B)				Y	Y	Y
97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B, C)	Y					
97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	Y					
97.052 Emergency Operations Center (B)						
97.055 Interoperable Emergency Communications (B)		Y				
97.056 Port Security Grant Program (B)			Y			
97.057 Intercity Bus Security Grants (B)			Y			
97.061 Centers for Homeland Security (B, Z)			Y		Y	
97.062 Scientific Leadership Awards (B, C)						
97.067 Homeland Security Grant Program (A)		Y		Y	Y	
97.070 Map Modernization Management Support (B, Z)		Y		Y	Y	
97.075 Rail and Transit Security Grant Program (B)						
97.076 CyberTipline (B)			Y			
97.077 Evaluation and Demonstration of Technologies Related to Countering Weapons of Mass Destruction (B)		Y	Y	Y		
97.082 Earthquake Consortium (B)						
97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)		Y				
97.088 Disaster Assistance Projects (B)	Y	Y	Y	Y		Y
97.089 Driver's License Security Grant Program (B)				Y	Y	
97.091 Homeland Security Biowatch Program (B, I, Z)		Y		Y		
97.092 Repetitive Flood Claims (B)				Y	Y	Y
97.106 Securing the Cities Program (B, I, L)		Y				

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
97.107 National Incident Management System (NIMS) (B)		Y	Y	Y	Y	Y
97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B)	Y	Y	Y	Y		Y
97.110 Severe Repetitive Loss Program (B)				Y	Y	Y
97.111 Regional Catastrophic Preparedness Grant Program (RCPGP) (B)		Y		Y		
97.120 Rural Emergency Medical Communications Demonstration Project (B, Z)			Y			
97.123 State, Local, Tribal and Territorial Security Operations Center/Information Sharing and Analysis Center (B, Z)						
97.127 Cybersecurity Education and Training (B)			Y			
97.128 CISA Cyber Security Awareness Campaign (B)			Y			
97.130 National Nuclear Forensics Expertise Development Program (B)			Y			
97.131 Emergency Management Baseline Assessments Grant (EMBAG) (C)			Y			
97.132 Financial Assistance for Targeted Violence and Terrorism Prevention (B)		Y	Y	Y		Y
97.133 Preparing for Emerging Threats and Hazards (B)		Y		Y	Y	Y
97.134 Presidential Residence Protection Security Grant (B)		Y		Y		

HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

14.103 Interest Reduction Payments Rental and Cooperative Housing for Lower Income Families (C, F)	Y		Y			
14.108 Rehabilitation Mortgage Insurance (F)	Y					
14.110 Manufactured Home Loan Insurance Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F, H)	Y					
14.117 Mortgage Insurance Homes (F)	Y					
14.119 Mortgage Insurance Homes for Disaster Victims (F, H)	Y					
14.122 Mortgage Insurance Homes in Urban Renewal Areas (F)	Y	Y	Y	Y	Y	Y
14.123 Mortgage Insurance Housing in Older, Declining Areas (F)	Y					
14.126 Mortgage Insurance Cooperative Projects (F)			Y			
14.128 Mortgage Insurance Hospitals (F)			Y			
14.129 Mortgage Insurance Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)			Y			
14.133 Mortgage Insurance Purchase of Units in Condominiums (F)	Y					
14.134 Mortgage Insurance Rental Housing (F)	Y		Y			
14.135 Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)	Y		Y			
14.138 Mortgage Insurance Rental Housing for the Elderly (F)			Y			
14.139 Mortgage Insurance Rental Housing in Urban Renewal Areas (F)	Y		Y			
14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F, H)	Y					
14.151 Supplemental Loan Insurance Multifamily Rental Housing (F)	Y		Y			
14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)	Y	Y	Y	Y	Y	Y
14.157 Supportive Housing for the Elderly (B, C)			Y			
14.162 Mortgage Insurance Combination and Manufactured Home Lot Loans (F, H)	Y					
14.169 Housing Counseling Assistance Program (B)		Y	Y	Y		Y
14.171 Manufactured Home Dispute Resolution (J)	Y					
14.175 Adjustable Rate Mortgages (F)	Y					
14.181 Supportive Housing for Persons with Disabilities (B, C)			Y			

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
14.183 Home Equity Conversion Mortgages (F)	Y					
14.188 Housing Finance Agencies (HFA) Risk Sharing (F)	Y	Y	Y	Y	Y	Y
14.191 Multifamily Housing Service Coordinators (B)			Y			
14.195 Section 8 Housing Assistance Payments Program (C)						
14.198 Good Neighbor Next Door Sales Program (H)						
14.218 Community Development Block Grants/Entitlement Grants (A, B)						
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)					Y	
14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A, B)				Y		
14.231 Emergency Solutions Grant Program (A)						
14.235 Supportive Housing Program (B, C)		Y	Y			
14.238 Shelter Plus Care (B)		Y		Y		Y
14.239 Home Investment Partnerships Program (A)		Y		Y		Y
14.241 Housing Opportunities for Persons with AIDS (A, B)		Y	Y	Y	Y	
14.247 Self-Help Homeownership Opportunity Program (B)						
14.248 Community Development Block Grants Section 108 Loan Guarantees (F)				Y	Y	
14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (B)			Y			
14.250 Rural Housing and Economic Development (B)						
14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)						
14.259 Community Compass Technical Assistance and Capacity Building (B)			Y	Y		Y
14.261 Homeless Management Information Systems Technical Assistance (B)		Y		Y		
14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)						
14.266 Border Community Capital Initiative (B)			Y			Y
14.267 Continuum of Care Program (B, C)		Y	Y	Y		
14.268 Rural Housing Stability Assistance Program (B, C)				Y		
14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)		Y		Y	Y	
14.270 Appalachia Economic Development Initiative (B)			Y			Y
14.271 Delta Community Capital Initiative (B)			Y			Y
14.272 National Disaster Resilience Competition (B)		Y		Y	Y	
14.273 Pay for Success Permanent Supportive Housing Demonstration (B)			Y			
14.275 Housing Trust Fund (A)				Y		
14.276 Youth Homelessness Demonstration Program (B, C)	Y					
14.278 Veterans Housing Rehabilitation and Modification Program (B, Z)			Y			
14.279 Specialized Housing and Services for Victims of Human Trafficking (B)						
14.311 Single Family Property Disposition (H)	Y	Y	Y	Y	Y	Y
14.313 Dollar Home Sales (H)		Y				
14.316 Housing Counseling Training Program (B)			Y			
14.322 Tenant Education and Outreach Program (B)			Y			
14.326 Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities (B)				Y		
14.327 Performance Based Contract Administrator Program (C)				Y		
14.400 Equal Opportunity in Housing (N, Z)	Y	Y	Y	Y	Y	Y
14.401 Fair Housing Assistance Program State and Local (A, B)				Y		
14.408 Fair Housing Initiatives Program (B)		Y	Y	Y		
14.416 Education and Outreach Initiatives (B)		Y	Y	Y		
14.417 Fair Housing Organization Initiatives (B)		Y	Y	Y		
14.418 Private Enforcement Initiatives (B)	Y	Y	Y	Y	Y	Y
14.506 General Research and Technology Activity (B, J)			Y			Y

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
14.536 Research and Evaluations, Demonstrations, and Data Analysis and Utilization (B)		Y	Y	Y		Y
14.537 Eviction Protection Grant Program (B)		Y	Y	Y		Y
14.850 Public and Indian Housing (A, C)		Y				
14.856 Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (C)						
14.862 Indian Community Development Block Grant Program (B)						Y
14.865 Public and Indian Housing Indian Loan Guarantee Program (F)		Y	Y			Y
14.867 Indian Housing Block Grants (A, B)		Y				Y
14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)						Y
14.870 Resident Opportunity and Supportive Services - Service Coordinators (B)			Y			Y
14.871 Section 8 Housing Choice Vouchers (C)			Y	Y		
14.872 Public Housing Capital Fund (A)		Y		Y		
14.873 Native Hawaiian Housing Block Grants (B)						
14.874 Loan Guarantees for Native Hawaiian Housing (F)	Y		Y			
14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)		Y			Y	
14.879 Mainstream Vouchers (C)				Y		
14.880 Family Unification Program (FUP) (C)			Y	Y		
14.881 Moving to Work Demonstration Program (A)		Y				
14.888 Lead-Based Paint Capital Fund Program (B)						
14.889 Choice Neighborhoods Implementation Grants (B)		Y	Y			
14.892 Choice Neighborhoods Planning Grants (B)			Y	Y		
14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (M)			Y			Y
14.895 Jobs-Plus Pilot Initiative (B)				Y		
14.896 Family Self-Sufficiency Program (B)				Y		
14.899 Tribal HUD-VA Supportive Housing Program (B)						
14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing (B)		Y		Y		Y
14.901 Healthy Homes Demonstration Grants (B)		Y	Y			Y
14.902 Lead Technical Studies Grants (B)		Y	Y	Y		Y
14.905 Lead Hazard Reduction Demonstration Grant Program (B)		Y		Y		Y
14.906 Healthy Homes Technical Studies Grants (B)		Y	Y	Y		Y
14.913 Healthy Homes Production Program (B)		Y	Y	Y		Y
14.920 Lead Hazard Control for High Risk Areas (B)		Y		Y		
14.921 Older Adults Home Modification Grant Program (B)		Y	Y	Y		

INTERIOR, DEPARTMENT OF THE

15.011 Experienced Services Program (B)						
15.012 Residential Environmental Learning Centers (B)		Y	Y	Y	Y	Y
15.013 Alaska Native Science and Engineering (B)			Y	Y		Y
15.014 Supporting the Lower Mississippi Delta Initiative (B)		Y	Y	Y		
15.015 Good Neighbor Authority (B)		Y		Y		Y
15.016 Experienced Services Program (B)			Y			
15.017 Eastern Nevada Conservation, Recreation and Development (B)		Y	Y	Y		Y
15.020 Aid To Tribal Governments (C)						Y
15.021 Consolidated Tribal Government (C)						Y
15.022 Tribal Self-Governance (C)						Y
15.024 Indian Self-Determination Contract Support (C)						Y
15.025 Services to Indian Children, Elderly and Families (C)						Y
15.026 Indian Adult Education (C)						Y
15.027 Assistance to Tribally Controlled Community Colleges and Universities (B)						Y
15.028 Tribally Controlled Community College Endowments (B)						Y

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
15.029 Tribal Courts (C)						Y
15.030 Indian Law Enforcement (C)						Y
15.031 Indian Community Fire Protection (C)						Y
15.032 Indian Economic Development (B, C)						Y
15.033 Road Maintenance Indian Roads (B, C)						Y
15.034 Agriculture on Indian Lands (C, J, K)						Y
15.035 Forestry on Indian Lands (C, J, K)						Y
15.036 Indian Rights Protection (C)						Y
15.037 Water Resources on Indian Lands (C, J, K)						Y
15.038 Minerals and Mining on Indian Lands (C, J, L)						Y
15.040 Real Estate Programs Indian Lands (C)						Y
15.041 Environmental Management Indian (C)						Y
15.042 Indian School Equalization (C)						Y
15.043 Indian Child and Family Education (B, M)						Y
15.044 Indian Schools Student Transportation (C)						Y
15.046 Administrative Cost Grants for Indian Schools (B)						Y
15.047 Indian Education Facilities, Operations, and Maintenance (C)						Y
15.048 Bureau of Indian Affairs Facilities Operations and Maintenance (C)						Y
15.051 Endangered Species on Indian Lands (C, K, L)						Y
15.052 Litigation Support for Indian Rights (C)						Y
15.053 Attorney Fees Indian Rights (C)						Y
15.057 Navajo-Hopi Indian Settlement (C, J)						Y
15.058 Indian Post Secondary Schools (M)						
15.059 Indian Graduate Student Scholarships (B)						
15.060 Indian Vocational Training United Tribes Technical College (D, M)						
15.061 Indian Job Placement United Sioux Tribes Development Corporation (D, K)						
15.062 Replacement and Repair of Indian Schools (C)						Y
15.063 Improvement and Repair of Indian Detention Facilities (C)						Y
15.065 Safety of Dams on Indian Lands (C)						Y
15.066 Tribal Great Lakes Restoration Initiative (B)						Y
15.067 Strengthening Tribal Nations (B, K, L, M)						Y
15.108 Indian Employment Assistance (C)	Y					Y
15.113 Indian Social Services Welfare Assistance (C)	Y					Y
15.114 Indian Education Higher Education Grant (B)	Y					Y
15.124 Indian Loans Economic Development (F)						Y
15.130 Indian Education Assistance to Schools (C)				Y		Y
15.133 Native American Business Development Institute (A)						Y
15.141 Indian Housing Assistance (B, L)	Y					Y
15.144 Indian Child Welfare Act Title II Grants (B)						Y
15.146 Ironworker Training (B)						Y
15.147 Tribal Courts Trust Reform Initiative (B)						Y
15.148 Tribal Energy Development Capacity Grants (C)						Y
15.149 FOCUS on Student Achievement (B)						Y
15.150 Juvenile Detention Education (B)						Y
15.151 Education Enhancements (B)						Y
15.152 Land Buy-Back For Tribal Nations (B)						Y
15.154 21st Century Conservation Service Corps (B)			Y			
15.155 Office of the Special Trustee for American Indians, Field Operations (B, Z)		Y	Y			Y
15.156 Tribal Climate Resilience (C)						Y
15.158 DOI National Fire Plan (B)			Y			
15.159 Cultural Resources Management (B, C)		Y	Y	Y		Y
15.160 BIA Wildland Urban Interface Community Fire Assistance (B, I, L, M)		Y	Y	Y		Y
15.161 Native Language Immersion Grant (C)						Y
15.162 Tiwahe Housing (B)						Y

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
15.163 Tribal Education Departments (C)						Y
15.214 Non-Sale Disposals of Mineral Material (H)		Y		Y		Y
15.222 Cooperative Inspection Agreements with States and Tribes (B)				Y		Y
15.224 Cultural and Paleontological Resources Management (B)		Y	Y	Y		Y
15.225 Recreation and Visitor Services (B)		Y	Y	Y		Y
15.226 Payments in Lieu of Taxes (C, D)			Y			
15.228 BLM Fuels Management and Community Fire Assistance Program Activities (B, I, L, M)		Y	Y	Y		Y
15.229 Wild Horse and Burro Resource Management (B)		Y	Y	Y		Y
15.230 Invasive and Noxious Plant Management (B, M)	Y	Y	Y	Y	Y	Y
15.231 Fish, Wildlife and Plant Conservation Resource Management (B, M)		Y	Y	Y		Y
15.232 Joint Fire Science Program (B)	Y	Y	Y	Y	Y	Y
15.233 Forest and Woodlands Resource Management (B)		Y	Y	Y		Y
15.234 Secure Rural Schools and Community Self-Determination (B, M)		Y	Y	Y		
15.235 Southern Nevada Public Land Management (B)				Y		
15.236 Environmental Quality and Protection (B)	Y	Y	Y	Y	Y	Y
15.237 Rangeland Resource Management (B)	Y	Y	Y	Y	Y	Y
15.238 Challenge Cost Share (B)	Y	Y	Y	Y	Y	Y
15.239 Management Initiatives (B)			Y			
15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B, M)						Y
15.243 Youth Conservation Opportunities on Public Lands (B)		Y	Y	Y		Y
15.244 Fisheries and Aquatic Resources Management (B)		Y	Y	Y		Y
15.245 Plant Conservation and Restoration Management (B, M)		Y	Y	Y		Y
15.246 Threatened and Endangered Species (B)		Y	Y	Y		Y
15.247 Wildlife Resource Management (B)		Y	Y	Y		Y
15.248 National Landscape Conservation System (B)		Y	Y	Y		Y
15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B, C)				Y		Y
15.252 Abandoned Mine Land Reclamation (AMLRL) (A, B)				Y		Y
15.253 Not-for-Profit AMD Reclamation (B)			Y			
15.254 OSM/VISTA AmeriCorps (B, C)			Y			
15.255 Science and Technology Projects Related to Coal Mining and Reclamation (B)		Y	Y	Y		Y
15.406 National Park Service Centennial Challenge (B)	Y	Y	Y	Y		Y
15.407 Keweenaw National Historical Park (NHP) Preservation Grants (B)	Y	Y	Y	Y		
15.408 Bureau of Ocean Energy Management Renewable Energy (B)			Y	Y		
15.421 Alaska Coastal Marine Institute (B)				Y		
15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)						
15.423 Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (B)			Y	Y		
15.424 Marine Minerals Activities (B)				Y		
15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination (B)				Y		Y
15.428 Marine Gas Hydrate Research Activities (B)			Y	Y		
15.429 State Select (D)				Y		
15.430 8(g) State Coastal Zone (D)				Y		
15.431 Alaska Settlement Agreement (C, D)				Y		
15.432 California Refuge Account (C, D)				Y		
15.433 Flood Control Act Lands (C, D)				Y		
15.434 Geothermal Resources (C)				Y		
15.435 GoMESA (C)				Y		
15.436 Late Disbursement Interest (C, D)				Y		
15.437 Minerals Leasing Act (C)				Y		

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
15.438				Y		
15.439				Y		
15.440				Y		
15.441			Y	Y		
15.442		Y		Y		Y
15.443	Y	Y	Y	Y	Y	Y
15.444			Y			
15.504		Y		Y		Y
15.506		Y	Y	Y		Y
15.507		Y		Y		Y
15.508		Y	Y	Y		
15.509	Y	Y	Y	Y	Y	Y
15.510		Y		Y		Y
15.511		Y	Y	Y		Y
15.512		Y		Y		
15.514				Y		Y
15.516						Y
15.517		Y	Y	Y		Y
15.518		Y				Y
15.519		Y				Y
15.520						
15.521				Y		
15.522						Y
15.524		Y		Y		
15.525						Y
15.526						
15.527		Y	Y	Y		Y
15.529		Y	Y	Y		Y
15.530		Y	Y	Y		
15.531		Y		Y		Y
15.532		Y	Y	Y		Y
15.533				Y		
15.535			Y	Y		Y
15.537			Y			Y
15.538	Y	Y	Y	Y	Y	Y
15.539		Y		Y		
15.540				Y		
15.541		Y		Y		
15.542		Y				Y
15.543		Y	Y	Y		Y
15.544				Y		
15.545		Y				
15.546		Y	Y	Y		Y
15.548		Y		Y		Y
15.550	Y		Y	Y		Y
15.552		Y	Y			
15.553		Y		Y		Y

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
15.554	Cooperative Watershed Management (B)		Y	Y	Y	Y
15.555	San Joaquin River Restoration (B)	Y	Y	Y	Y	Y
15.556	Crow Tribe Water Rights Settlement (C)					Y
15.557	Applied Science Grants (B)			Y	Y	Y
15.558	White Mountain Apache Tribe Rural Water System (C)					Y
15.559	New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (B, C)					Y
15.560	SECURE Water Act – Research Agreements (B)		Y	Y		
15.563	Suisun Marsh Preservation Agreement (B)			Y		
15.564	Central Valley Project Conservation (B)	Y	Y	Y	Y	Y
15.565	Implementation of the Taos Pueblo Indian water rights settlement (B)					
15.566	Upper Klamath Basin Hydrolic Analyses (A, B, C, L)		Y	Y		Y
15.567	Colorado River System Conservation Pilot (B)		Y			
15.569	Educational Outreach (B)		Y	Y		
15.570	Bay-Delta Restoration Program (B)					
15.571	Salton Sea Program (B)	Y	Y	Y	Y	Y
15.572	Agricultural Water Use Efficiency Program (B)		Y			Y
15.573	Temperature Control Devices at Folsom Dam (B)					
15.574	Water Storage Enhancement (B)			Y		
15.605	Sport Fish Restoration (A)			Y	Y	
15.608	Fish and Wildlife Management Assistance (B)	Y	Y	Y		Y
15.611	Wildlife Restoration and Basic Hunter Education (A)			Y		
15.614	Coastal Wetlands Planning, Protection and Restoration (B)			Y	Y	
15.615	Cooperative Endangered Species Conservation Fund (B)			Y	Y	
15.616	Clean Vessel Act (B)			Y	Y	
15.619	Rhinoceros and Tiger Conservation Fund (B)		Y	Y		Y
15.620	African Elephant Conservation Fund (B)	Y	Y	Y	Y	Y
15.621	Asian Elephant Conservation Fund (B)		Y	Y		Y
15.622	Sportfishing and Boating Safety Act (B)			Y	Y	
15.623	North American Wetlands Conservation Fund (B)	Y		Y	Y	
15.626	Enhanced Hunter Education and Safety (A)			Y	Y	
15.628	Multistate Conservation Grant (B)			Y	Y	Y
15.629	Great Apes Conservation Fund (B)		Y	Y		Y
15.630	Coastal (B)	Y	Y	Y		Y
15.631	Partners for Fish and Wildlife (B)	Y	Y	Y	Y	Y
15.633	Landowner Incentive (B)			Y	Y	
15.634	State Wildlife Grants (A, B)			Y		
15.635	Neotropical Migratory Bird Conservation (B)	Y		Y	Y	Y
15.636	Alaska Subsistence Management (B)	Y		Y		Y
15.637	Migratory Bird Joint Ventures (B)	Y	Y	Y		Y
15.639	Tribal Wildlife Grants (B)					Y
15.640	Latin America and Caribbean Regional (B)		Y	Y		Y
15.641	Wildlife Without Borders-Mexico (B)		Y	Y		Y
15.643	Alaska Migratory Bird Co-Management Council (B)		Y	Y		
15.645	Marine Turtle Conservation Fund (B)			Y		Y
15.647	Migratory Bird Conservation (B)		Y	Y		Y
15.648	Central Valley Project Improvement Act (CVPIA) (B)		Y	Y		
15.649	Service Training and Technical Assistance (Generic Training) (B)	Y	Y	Y	Y	Y
15.650	Research Grants (Generic) (B)			Y	Y	Y
15.651	Central Africa Regional (B)		Y	Y		Y
15.652	Invasive Species (B)	Y	Y	Y		
15.653	National Outreach and Communication (B)	Y	Y	Y	Y	Y
15.654	National Wildlife Refuge System Enhancements (B)	Y	Y	Y		
15.655	Migratory Bird Monitoring, Assessment and Conservation (B)		Y	Y	Y	Y

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)	Y	Y	Y	Y	Y	Y
15.657 Endangered Species Recovery Implementation (B)		Y	Y	Y		Y
15.658 Natural Resource Damage Assessment and Restoration (B)	Y	Y	Y	Y	Y	Y
15.660 Candidate Species Conservation (B)	Y	Y	Y	Y	Y	Y
15.661 Lower Snake River Compensation Plan (B)				Y		Y
15.662 Great Lakes Restoration (B)	Y	Y	Y	Y		Y
15.663 NFWF-USFWS Conservation Partnership (B)			Y			
15.664 Fish and Wildlife Coordination and Assistance (B)	Y	Y	Y	Y	Y	Y
15.665 National Wetlands Inventory (B)	Y	Y	Y	Y	Y	Y
15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)				Y		Y
15.667 Highlands Conservation (B)				Y		
15.668 Coastal Impact Assistance (A)						
15.669 Cooperative Landscape Conservation (B)	Y	Y	Y	Y		Y
15.670 Adaptive Science (B)	Y	Y	Y	Y		Y
15.671 Yukon River Salmon Research and Management Assistance (B)	Y	Y	Y	Y		Y
15.674 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L, M)	Y	Y	Y	Y		Y
15.676 Youth Engagement, Education, and Employment (B)		Y	Y	Y		
15.677 Hurricane Sandy Disaster Relief Activities-FWS (B)		Y	Y	Y		Y
15.678 Cooperative Ecosystem Studies Units (B)		Y		Y		Y
15.679 Combating Wildlife Trafficking (B)			Y			
15.680 Mexican Wolf Recovery (B)	Y	Y	Y	Y		Y
15.681 Cooperative Agriculture (I)	Y					
15.682 Experienced Services (B)						
15.683 Prescott Marine Mammal Rescue Assistance (B)	Y		Y	Y	Y	Y
15.684 White-nose Syndrome National Response Implementation (B)		Y	Y	Y		Y
15.805 Assistance to State Water Resources Research Institutes (A, B)				Y	Y	
15.807 Earthquake Hazards Program Assistance (B)	Y	Y	Y	Y		
15.808 U.S. Geological Survey Research and Data Collection (B)		Y	Y	Y		
15.810 National Cooperative Geologic Mapping (B)				Y		
15.812 Cooperative Research Units (B)						
15.814 National Geological and Geophysical Data Preservation (B)				Y		
15.815 National Land Remote Sensing Education Outreach and Research (B)		Y	Y	Y		
15.817 National Geospatial Program: Building The National Map (B)		Y	Y	Y	Y	Y
15.818 Volcano Hazards Program Research and Monitoring (B)		Y	Y	Y		
15.819 Energy Cooperatives to Support the National Energy Resources Data System (B)			Y	Y		
15.820 National and Regional Climate Adaptation Science Centers (B)			Y			
15.875 Economic, Social, and Political Development of the Territories (A, B, C, D)					Y	
15.876 Experienced Services (B)			Y			
15.904 Historic Preservation Fund Grants-In-Aid (A, B)			Y	Y	Y	
15.912 National Historic Landmark (K)	Y	Y	Y	Y	Y	Y
15.915 Technical Preservation Services (K, L)	Y	Y	Y	Y		Y
15.916 Outdoor Recreation Acquisition, Development and Planning (A, B)	Y	Y	Y	Y	Y	Y
15.921 Rivers, Trails and Conservation Assistance (B, K)		Y	Y	Y		Y
15.922 Native American Graves Protection and Repatriation Act (B)		Y		Y		Y
15.923 National Center for Preservation Technology and Training (B)		Y	Y	Y		Y
15.925 National Maritime Heritage Grants (B)		Y		Y		

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
15.926	American Battlefield Protection (B)		Y	Y	Y	Y
15.928	Battlefield Land Acquisition Grants (B)		Y	Y		
15.929	Save America's Treasures (B)		Y	Y		Y
15.930	Chesapeake Bay Gateways Network (B)	Y	Y	Y	Y	Y
15.931	Youth and Veteran Organizations Conservation Activities (B)		Y			
15.932	Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (B)		Y	Y		
15.933	Preservation of Japanese American Confinement Sites (B)		Y	Y		Y
15.935	National Trails System Projects (B)	Y	Y	Y		Y
15.938	Boston African-American National Historic Site (B)		Y			
15.939	Heritage Partnership (B)		Y	Y		
15.940	New Bedford Whaling National Historic Park Cooperative Management (B)		Y	Y		
15.941	Mississippi National River and Recreation Area State and Local Assistance (B, K)		Y	Y		
15.942	Environmental Outreach and Conservation - North Cascades (B)					Y
15.943	Challenge Cost Share (B, C)					
15.944	Natural Resource Stewardship (B)	Y	Y	Y		
15.945	Cooperative Research and Training Programs – Resources of the National Park System (B)	Y	Y	Y		Y
15.946	Cultural Resources Management (B)		Y	Y		Y
15.947	Boston Harbor Islands Partnership (B, C)		Y			
15.948	National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L)		Y	Y		
15.954	National Park Service Conservation, Protection, Outreach, and Education (B)		Y	Y		Y
15.955	Martin Luther King Junior National Historic Site and Preservation District (B)	Y	Y	Y	Y	Y
15.956	Cooperative Management of Ebey's Landing National Historical Reserve. (B, C, J)		Y	Y		Y
15.957	Emergency Supplemental Historic Preservation Fund (A, B)			Y		Y
15.958	Route 66 Corridor Preservation (B)	Y	Y	Y	Y	Y
15.959	Education Program Management (C)					Y
15.960	Tribal Technical Colleges (B)					Y
15.961	Federal Historic Preservation Tax Incentive (K)	Y	Y	Y	Y	Y
15.962	National Wild and Scenic Rivers System (B)		Y	Y		Y
15.963	Southwest Border Resource Protection Program (B)		Y	Y		Y
15.964	9/11 Memorial Act Grant Program (B)		Y			
15.978	Upper Mississippi River Restoration Long Term Resource Monitoring (B)		Y	Y		Y
15.980	National Ground-Water Monitoring Network (B)		Y	Y	Y	Y
15.981	Water Use and Data Research (B)			Y		
15.982	Radium Remediation at Land-Grant Universities (B)			Y		

Inter-American Foundation

85.750 IAF Assistance for Overseas Programs (B)

JAPAN-U.S. FRIENDSHIP COMMISSION

90.300 Japan-U.S. Friendship Commission Grants (B)

JUSTICE, DEPARTMENT OF

16.001 Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J, Z)

16.003 Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L, Z)

16.004 Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M, Z)

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
16.015 Missing Alzheimer's Disease Patient Assistance Program (B)		Y	Y			Y
16.016 Culturally and Linguistically Specific Services Program (B)		Y	Y			
16.017 Sexual Assault Services Formula Program (A)				Y	Y	
16.019 Tribal Registry (B)		Y				Y
16.021 Justice Systems Response to Families (B)		Y	Y	Y	Y	Y
16.023 Sexual Assault Services Culturally Specific Program (B)			Y			
16.024 Tribal Sexual Assault Services Program (B)						Y
16.025 Special Domestic Violence Criminal Jurisdiction Implementation (B)						Y
16.026 OVW Research and Evaluation Program (B)		Y	Y	Y	Y	Y
16.027 National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)			Y			Y
16.028 Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)			Y			
16.029 Office on Violence Against Women Special Projects (B)		Y	Y	Y	Y	Y
16.030 National Center on Restorative Justice (B)			Y			
16.031 Emmett Till Cold Case Investigations Program (B)		Y		Y		Y
16.032 Academic-based Drug Field Testing and Training Initiative (B)			Y	Y		
16.033 Collaborative Mental Health and Anti-Recidivism Initiative (B)				Y	Y	
16.034 Coronavirus Emergency Supplemental Funding Program (A)		Y		Y		Y
16.035 Preventing Trafficking of Girls (B)			Y			
16.036 Prosecuting Cold Cases Using DNA (B)		Y		Y		Y
16.037 Strengthening the Medical Examiner - Coroner System (B)			Y	Y		Y
16.038 National Decertification Index (B)			Y			
16.039 Rural Violent Crime Initiative (B)		Y		Y		Y
16.040 Matthew Shepard and James Byrd, Jr. Hate Crimes Education, Investigation and Prosecution Program (B)		Y	Y	Y	Y	Y
16.041 National Ashanti Alert Network Training and Technical Assistance Program (B)			Y			
16.123 Community-Based Violence Prevention Program (B)		Y	Y	Y		Y
16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B, M)	Y	Y	Y	Y	Y	
16.300 Law Enforcement Assistance FBI Advanced Police Training (M)	Y	Y		Y		
16.301 Law Enforcement Assistance FBI Crime Laboratory Support (J, K, M)		Y		Y	Y	
16.302 Law Enforcement Assistance FBI Field Police Training (M)		Y		Y		
16.303 Law Enforcement Assistance FBI Fingerprint Identification (J, L)		Y		Y		Y
16.304 Law Enforcement Assistance National Crime Information Center (J, L)				Y		Y
16.305 Law Enforcement Assistance Uniform Crime Reports (K, L)	Y	Y		Y		Y
16.307 Combined DNA Index System (B)		Y		Y		
16.308 Indian Country Investigations (M)		Y				Y
16.309 Law Enforcement Assistance National Instant Criminal Background Check System (J, L)	Y	Y	Y	Y	Y	Y
16.320 Services for Trafficking Victims (B, C)		Y	Y	Y		Y
16.321 Antiterrorism Emergency Reserve (B)			Y	Y		Y
16.524 Legal Assistance for Victims (B)			Y	Y		
16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)			Y	Y		
16.526 OVW Technical Assistance Initiative (B)			Y			

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)		Y	Y	Y		Y
16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)		Y	Y	Y		Y
16.540 Juvenile Justice and Delinquency Prevention (A, B, M)				Y	Y	
16.543 Missing Children's Assistance (B)	Y	Y	Y	Y		Y
16.544 Youth Gang Prevention (A, B)	Y		Y			
16.548 Title V Delinquency Prevention Program (A)		Y		Y		
16.550 State Justice Statistics Program for Statistical Analysis Centers (B)				Y		
16.554 National Criminal History Improvement Program (NCHIP) (B)				Y		Y
16.556 State Domestic Violence and Sexual Assault Coalitions (A, B)			Y			
16.557 Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (B)			Y			
16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B, L)		Y	Y	Y	Y	
16.562 Criminal Justice Research and Development Graduate Research Fellowships (B)			Y	Y		
16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)	Y					
16.571 Public Safety Officers' Benefits Program (D)	Y					
16.575 Crime Victim Assistance (A)			Y	Y	Y	
16.576 Crime Victim Compensation (A)				Y	Y	
16.578 Public Benefit Conveyance Program (H)				Y	Y	
16.582 Crime Victim Assistance/Discretionary Grants (B, C)			Y	Y		
16.583 Children's Justice Act Partnerships for Indian Communities (B, C)		Y	Y			Y
16.585 Drug Court Discretionary Grant Program (B)		Y		Y		
16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (B)						Y
16.588 Violence Against Women Formula Grants (A)				Y	Y	
16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)		Y	Y	Y		Y
16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)		Y	Y	Y	Y	Y
16.593 Residential Substance Abuse Treatment for State Prisoners (A)		Y		Y		
16.596 Justice System Infrastructure Program for Indian Tribes (B)						Y
16.601 Corrections Training and Staff Development (B, J, L, M)	Y	Y	Y	Y		
16.602 Corrections Research and Evaluation and Policy Formulation (B, J, L)	Y	Y	Y	Y		
16.603 Corrections Technical Assistance/Clearinghouse (B, J, L)	Y	Y	Y	Y		
16.606 State Criminal Alien Assistance Program (C)		Y		Y	Y	
16.607 Bulletproof Vest Partnership Program (C)				Y	Y	Y
16.608 Tribal Justice Systems and Alcohol and Substance Abuse (B)						Y
16.609 Project Safe Neighborhoods (B)		Y	Y	Y		
16.610 Regional Information Sharing Systems (B)		Y		Y		
16.614 State and Local Anti-Terrorism Training (B, K, L, M)		Y		Y		
16.615 Public Safety Officers' Educational Assistance (D)						
16.710 Public Safety Partnership and Community Policing Grants (B)		Y	Y	Y	Y	Y
16.726 Juvenile Mentoring Program (B)			Y	Y		Y
16.731 Tribal Youth Program (B)						Y
16.734 Special Data Collections and Statistical Studies (B)		Y	Y	Y	Y	Y
16.735 PREA Program: Strategic Support for PREA Implementation (B)			Y			

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)		Y	Y	Y		Y
16.738 Edward Byrne Memorial Justice Assistance Grant Program (A, B)		Y		Y	Y	
16.739 National Prison Rape Statistics Program (B)	Y	Y	Y	Y		
16.741 DNA Backlog Reduction Program (A, B)				Y	Y	
16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A, B)		Y		Y		
16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)		Y		Y		Y
16.746 Capital Case Litigation Initiative (B)			Y	Y	Y	
16.750 Support for Adam Walsh Act Implementation Grant Program (B)	Y	Y	Y	Y	Y	Y
16.751 Edward Byrne Memorial Competitive Grant Program (B)		Y	Y	Y		Y
16.752 Economic, High-Tech, and Cyber Crime Prevention (B)		Y	Y	Y		Y
16.754 Harold Rogers Prescription Drug Monitoring Program (B)		Y		Y	Y	Y
16.756 Court Appointed Special Advocates (B)						
16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)		Y	Y			
16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)		Y	Y			
16.812 Second Chance Act Reentry Initiative (B)		Y	Y	Y		Y
16.813 NICS Act Record Improvement Program (B)				Y	Y	Y
16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)			Y			
16.816 John R. Justice Prosecutors and Defenders Incentive Act (B)				Y		
16.817 Byrne Criminal Justice Innovation Program (B)		Y	Y	Y	Y	Y
16.818 Children Exposed to Violence (B)		Y	Y	Y		Y
16.820 Postconviction Testing of DNA Evidence (B)		Y		Y	Y	
16.823 Emergency Planning for Juvenile Justice Facilities (B)				Y	Y	
16.824 Emergency Law Enforcement Assistance Grant (B)				Y	Y	
16.825 Smart Prosecution Initiative (B)		Y	Y	Y		Y
16.827 Justice Reinvestment Initiative (B)		Y		Y	Y	Y
16.828 Swift, Certain, and Fair Supervision Program: Applying the Principles Behind Project HOPE (B)		Y		Y	Y	Y
16.830 Girls in the Juvenile Justice System (B)		Y	Y			Y
16.831 Children of Incarcerated Parents (B)		Y	Y	Y	Y	Y
16.832 Children of Incarcerated Parents Web Portal (B)		Y	Y	Y	Y	Y
16.833 National Sexual Assault Kit Initiative (B)		Y	Y	Y		Y
16.834 Domestic Trafficking Victim Program (B)					Y	
16.835 Body Worn Camera Policy and Implementation (A, B, I, J, K, L, M, N)		Y	Y	Y	Y	Y
16.836 Indigent Defense (B)			Y	Y	Y	Y
16.838 Comprehensive Opioid, Stimulant, and Substance Abuse Program (B)		Y		Y	Y	Y
16.839 STOP School Violence (B)				Y		Y
16.840 Keep Young Athletes Safe (B)			Y			
16.841 VOCA Tribal Victim Services Set-Aside Program (B)						Y
16.842 Opioid Affected Youth Initiative (B)		Y		Y		Y
16.843 Gulf States Regional Law Enforcement Technology Training and Technical Assistance Initiative (B)		Y		Y		Y
16.844 Combatting Contraband Cell Phone Use in Prisons (B)			Y			
16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)		Y	Y	Y		Y
16.889 Grants for Outreach and Services to Underserved Populations (B)			Y			

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
16.922 Equitable Sharing Program (C)		Y		Y	Y	Y
LABOR, DEPARTMENT OF						
17.002 Labor Force Statistics (B, L, Z)	Y	Y	Y	Y	Y	Y
17.003 Prices and Cost of Living Data (L, Z)	Y	Y	Y	Y	Y	Y
17.004 Productivity and Technology Data (L, Z)	Y	Y	Y	Y	Y	Y
17.005 Compensation and Working Conditions (B, L, Z)	Y	Y	Y	Y	Y	Y
17.007 International Labor Programs (B)	Y	Y	Y	Y	Y	Y
17.150 Employee Benefits Security Administration (L, Z)	Y					
17.201 Registered Apprenticeship (B, K, M, Z)	Y	Y	Y	Y	Y	Y
17.207 Employment Service/Wagner-Peyser Funded Activities (A, B)				Y	Y	
17.225 Unemployment Insurance (A, D)				Y	Y	
17.235 Senior Community Service Employment Program (A, B)		Y	Y	Y	Y	Y
17.245 Trade Adjustment Assistance (A)	Y	Y	Y	Y	Y	Y
17.258 WIOA Adult Program (A)		Y		Y	Y	
17.259 WIOA Youth Activities (A)				Y		
17.261 WIOA Pilots, Demonstrations, and Research Projects (B)		Y	Y	Y		
17.264 National Farmworker Jobs Program (A)		Y	Y	Y		Y
17.265 Native American Employment and Training (A)						Y
17.268 H-1B Job Training Grants (B)		Y	Y	Y		
17.270 Reentry Employment Opportunities (B)		Y	Y	Y	Y	Y
17.271 Work Opportunity Tax Credit Program (WOTC) (A)				Y		
17.272 Permanent Labor Certification for Foreign Workers (J, Z)			Y			
17.273 Temporary Labor Certification for Foreign Workers (A, J, O)			Y	Y	Y	
17.274 YouthBuild (B)		Y	Y			Y
17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)				Y		
17.277 WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)		Y		Y	Y	
17.278 WIOA Dislocated Worker Formula Grants (A)				Y	Y	
17.280 WIOA Dislocated Worker National Reserve Demonstration Grants (B)		Y	Y	Y	Y	
17.281 WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)		Y	Y	Y	Y	
17.283 Workforce Innovation Fund (B)		Y		Y		Y
17.285 Apprenticeship USA Grants (B)			Y	Y	Y	
17.286 Hurricanes and Wildfires of 2017 Supplemental–National Dislocated Worker Grants (B)				Y	Y	Y
17.287 Job Corps Experimental Projects and Technical Assistance (B)					Y	
17.302 Longshore and Harbor Workers' Compensation (D)	Y					
17.307 Coal Mine Workers' Compensation (D)	Y					
17.309 Labor Organization Reports (K, L, N, Z)	Y		Y			
17.310 Energy Employees Occupational Illness Compensation (D)	Y					
17.401 International Labor Programs (B)	Y	Y	Y	Y	Y	Y
17.502 Occupational Safety and Health Susan Harwood Training Grants (B, M)		Y	Y			
17.503 Occupational Safety and Health State Program (A, B)				Y		
17.504 Consultation Agreements (A, B)				Y		
17.600 Mine Health and Safety Grants (B)				Y		
17.601 Mine Health and Safety Counseling and Technical Assistance (K, L, Z)	Y	Y	Y	Y	Y	Y
17.602 Mine Health and Safety Education and Training (M, Z)	Y	Y	Y	Y	Y	Y
17.603 Brookwood-Sago Grant (B)			Y	Y		
17.604 Safety and Health Grants (B)			Y	Y		
17.700 Women's Bureau (K, Z)	Y	Y	Y	Y	Y	Y

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
17.701 Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program (B)						
17.720 Disability Employment Policy Development (B)		Y	Y	Y	Y	Y
17.801 Jobs for Veterans State Grants (A)				Y	Y	
17.805 Homeless Veterans' Reintegration Program (B)		Y	Y	Y		
17.807 Transition Assistance Program (J)						
LIBRARY OF CONGRESS						
42.010 Teaching with Primary Sources (B)			Y	Y	Y	Y
42.011 Library of Congress Grants (B)					Y	
MILLENNIUM CHALLENGE CORPORATION						
85.002 MCC Foreign Assistance for Overseas Programs (B, C)	Y	Y	Y	Y	Y	Y
MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION						
85.400 Morris K. Udall Scholarship Program (C)	Y					
85.402 Morris K. Udall Native American Congressional Internship Program (C)	Y					
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION						
43.001 Science (B, C, I, M)	Y	Y	Y	Y	Y	Y
43.002 Aeronautics (B, L)	Y	Y	Y	Y	Y	Y
43.003 Exploration (B, C, I, J, L, M)	Y	Y	Y	Y	Y	Y
43.007 Space Operations (B)	Y	Y	Y	Y	Y	Y
43.008 Office of Stem Engagement (OSTEM) (B)	Y	Y	Y	Y	Y	Y
43.009 Safety, Security and Mission Services (B)	Y	Y	Y	Y	Y	Y
43.012 Space Technology (B, C, I, M)	Y	Y	Y	Y	Y	Y
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION						
89.001 National Archives Reference Services Historical Research (I, K, L, Z)	Y	Y	Y	Y	Y	Y
89.003 National Historical Publications and Records Grants (B)		Y	Y	Y	Y	Y
NATIONAL CREDIT UNION ADMINISTRATION						
44.002 Community Development Revolving Loan Fund Program for Credit Unions (B, C, E)			Y			
NATIONAL ENDOWMENT FOR THE ARTS						
45.024 Promotion of the Arts Grants to Organizations and Individuals (B)	Y	Y	Y	Y		Y
45.025 Promotion of the Arts Partnership Agreements (B, K)				Y	Y	
45.201 Arts and Artifacts Indemnity (G)		Y	Y	Y		Y
NATIONAL ENDOWMENT FOR THE HUMANITIES						
45.129 Promotion of the Humanities Federal/State Partnership (A, B)			Y			
45.130 Promotion of the Humanities Challenge Grants (B)			Y			
45.149 Promotion of the Humanities Division of Preservation and Access (B)			Y			
45.160 Promotion of the Humanities Fellowships and Stipends (B)	Y					
45.161 Promotion of the Humanities Research (B)			Y			
45.162 Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)			Y			
45.163 Promotion of the Humanities Professional Development (B)			Y			
45.164 Promotion of the Humanities Public Programs (B)			Y			
45.169 Promotion of the Humanities Office of Digital Humanities (B)			Y			

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
NATIONAL SCIENCE FOUNDATION						
47.041	Engineering (B)		Y	Y		Y
47.049	Mathematical and Physical Sciences (B)	Y	Y	Y		Y
47.050	Geosciences (B)	Y	Y	Y		Y
47.070	Computer and Information Science and Engineering (B)		Y	Y		Y
47.074	Biological Sciences (B)		Y	Y		Y
47.075	Social, Behavioral, and Economic Sciences (B)		Y	Y	Y	Y
47.076	Education and Human Resources (B)		Y	Y	Y	Y
47.078	Polar Programs (B)		Y	Y		Y
47.079	Office of International Science and Engineering (B)		Y	Y		Y
47.083	Integrative Activities (B)		Y	Y		Y
NORTHERN BORDER REGIONAL COMMISSION						
90.601	Northern Border Regional Development (B)		Y	Y		Y
NUCLEAR REGULATORY COMMISSION						
77.006	U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)		Y			
77.007	U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B)		Y	Y	Y	Y
77.008	U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)		Y	Y	Y	
77.009	U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)		Y	Y	Y	Y
OVERSEAS PRIVATE INVESTMENT CORPORATION						
70.002	Foreign Investment Financing (E, F)	Y				
70.003	Foreign Investment Insurance (G)	Y				
PEACE CORPS						
45.400	Peace Corps' Global Health and PEPFAR Initiative Program (B)		Y			
PENSION BENEFIT GUARANTY CORPORATION						
86.001	Pension Plan Termination Insurance (G)					
RAILROAD RETIREMENT BOARD						
57.001	Social Insurance for Railroad Workers (D, Z)	Y				
SMALL BUSINESS ADMINISTRATION						
59.006	8(a) Business Development Program (J)					
59.007	7(j) Technical Assistance (B)	Y				Y
59.008	Disaster Assistance Loans (E)	Y	Y			
59.011	Small Business Investment Companies (F)					
59.012	7(a) Loan Guarantees (F)					
59.016	Surety Bond Guarantees (G)					
59.026	SCORE (B)					
59.037	Small Business Development Centers (A, B, J, K, L)		Y	Y		
59.041	504 Certified Development Loans (F)					
59.043	Women's Business Ownership Assistance (B)		Y			
59.044	Veterans Outreach Program (B)		Y			
59.046	Microloan Program (A, E, K)		Y			
59.050	Prime Technical Assistance (B)		Y			
59.053	Ombudsman and Regulatory Fairness Boards (N)		Y			
59.054	7(a)Export Loan Guarantees (F)					
59.058	Federal and State Technology Partnership Program (B)		Y	Y		
59.061	State Trade Expansion (B)			Y	Y	

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
59.062 Intermediary Loan Program (E)			Y			
59.065 Growth Accelerator Fund Competition (C)		Y	Y	Y		
59.066 Transition Assistance – Entrepreneurship Track (Boots to Business) (B, K)			Y			Y
59.071 Makerspace Training, Collaboration, and Hiring (MaTCH) (B)	Y	Y	Y	Y	Y	Y
59.072 Economic Injury Disaster Loan Emergency Advance (C)						
59.073 Paycheck Protection Loan Program (PPP) (F)						
59.074 OED Resource Partners Training Portal (RPTP) (B)			Y			
59.075 Shuttered Venue Operators Grant Program (C)						
59.076 Lab-to-Market (D)	Y	Y	Y	Y	Y	Y
59.077 Community Navigator Pilot Program (B)		Y	Y	Y	Y	Y
59.078 Restaurant Revitalization Fund (C)						
SOCIAL SECURITY ADMINISTRATION						
96.001 Social Security Disability Insurance (C, D)	Y					
96.002 Social Security Retirement Insurance (D)	Y					
96.004 Social Security Survivors Insurance (C, D)	Y					
96.006 Supplemental Security Income (C, D)	Y					
96.007 Social Security Research and Demonstration (B, Z)			Y	Y	Y	Y
96.008 Social Security - Work Incentives Planning and Assistance Program (B, Z)		Y	Y	Y		
96.009 Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)		Y	Y	Y		
96.020 Special Benefits for Certain World War II Veterans (D)	Y					
STATE, DEPARTMENT OF						
19.009 Academic Exchange Programs - Undergraduate Programs (B)			Y			
19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)			Y			
19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)			Y			
19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (B)			Y			
19.013 Thomas R. Pickering Foreign Affairs Fellowship Program (B, Z)			Y			
19.015 Cultural, Technical and Educational Centers (B)			Y			
19.017 Environmental and Scientific Partnerships and Programs (B)			Y			
19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (B)						
19.019 International Programs to Combat Human Trafficking (B)			Y	Y		Y
19.020 Charles B. Rangel International Affairs Program (B, Z)			Y			
19.021 Investing in People in The Middle East and North Africa (B)			Y			
19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)			Y			
19.023 Overseas Schools Program (B)			Y			
19.024 Soft Target Program for Overseas Schools (B)			Y			
19.025 U.S. Ambassadors Fund for Cultural Preservation (B)			Y			
19.026 Global Peace Operations Initiative (B)			Y	Y		Y
19.027 Energy Governance and Reform Programs (B)	Y	Y	Y	Y	Y	Y
19.029 The U.S. President's Emergency Plan for AIDS Relief Programs (B)						
19.033 Global Threat Reduction (B)			Y			
19.035 Cyber Capacity Building (B)			Y			
19.036 Cultural Antiquities Task Force (B)			Y			
19.040 Public Diplomacy Programs (B)	Y		Y			
19.087 International Fisheries Commissions (A)						

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
19.121 Conflict and Stabilization Operations (B)			Y			
19.124 East Asia and Pacific Grants Program (B)			Y			
19.204 Fishermen's Guaranty Fund (B, G)	Y					
19.220 Ambassadors' Special Self Help Fund (B)						
19.221 Regional Democracy Program (B)		Y	Y	Y		
19.222 Trans-Sahara Counterterrorism Partnership (TSCTP) (B)			Y			
19.223 South Sudan and Sudan Assistance Program (B)			Y			
19.224 Nonproliferation and Disarmament Fund (B)						
19.225 Africa Regional Democracy Fund (B)			Y			
19.300 Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (B)			Y			
19.301 The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (B)						
19.303 Decrypting PRC Industrial and Technology Policy (B)			Y			
19.322 Economic Statecraft (B)	Y	Y	Y	Y	Y	Y
19.345 International Programs to Support Democracy, Human Rights and Labor (B)			Y			
19.400 Academic Exchange Programs - Graduate Students (B)			Y			
19.401 Academic Exchange Programs - Scholars (B)			Y			
19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program (B)			Y			
19.408 Academic Exchange Programs - Teachers (B)			Y			
19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)			Y			
19.421 Academic Exchange Programs - English Language Programs (B)			Y			
19.432 Academic Exchange Programs - Educational Advising and Student Services (B)			Y			
19.440 ECA U.S. Speaker Program (B)			Y			
19.441 ECA - American Spaces (B)	Y	Y	Y	Y	Y	Y
19.450 ECA Individual Grants (B)	Y	Y	Y	Y	Y	Y
19.451 Special International Exchange Grant Programs (B)			Y			
19.452 International Exchange Alumni Programs (B)			Y			
19.500 Middle East Partnership Initiative (B)		Y	Y			
19.501 Public Diplomacy Programs for Afghanistan and Pakistan (B)			Y			
19.510 U.S. Refugee Admissions Program (B)				Y		
19.511 Overseas Refugee Assistance Programs for East Asia (B)						
19.515 Contributions to International Organizations for Overseas Assistance (B, C)			Y			
19.517 Overseas Refugee Assistance Programs for Africa (B)						
19.518 Overseas Refugee Assistance Programs for Western Hemisphere (B)						
19.519 Overseas Refugee Assistance Program for Near East (B)						
19.520 Overseas Refugee Assistance Programs for Europe (B)						
19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities (B)			Y			
19.523 Overseas Refugee Assistance Program for South Asia (B)						
19.600 Bureau of Near Eastern Affairs (B)		Y	Y	Y	Y	Y
19.601 Syria Assistance Program (B)		Y	Y	Y	Y	
19.662 Fiscal Transparency Innovation Fund (A)	Y	Y	Y	Y	Y	Y
19.666 EUR/ACE National Endowment for Democracy Small Grants (B)			Y			
19.700 General Department of State Assistance (B)	Y		Y			
19.701 Global Counterterrorism Programs (B)			Y			
19.703 Criminal Justice Systems (B)	Y	Y	Y	Y	Y	Y
19.704 Counter Narcotics (B)	Y	Y	Y	Y	Y	Y

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description		Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
19.705	Trans-National Crime (B)	Y	Y	Y	Y	Y	Y
19.706	Partnership for Regional East Africa Counterterrorism (B)			Y			
19.750	Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (B)		Y	Y	Y		
19.800	Weapons Removal and Abatement (B)	Y		Y			
19.801	Office of Global Women's Issues (B)			Y			
19.878	EUR-Other (B)						
19.900	AEECA/ESF PD Programs (B)	Y		Y			
19.901	Export Control and Related Border Security (B)			Y	Y	Y	
19.948	Organization of American States Programs (B, Z)			Y			
19.979	Regional Peace and Security (B)		Y	Y	Y		
19.980	Partnership for Regional East Africa Counterterrorism (PRACT): (B)	Y	Y	Y	Y	Y	Y
19.990	International Justice and Accountability Programming (B)			Y			

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

45.301	Museums for America (B, C)		Y	Y	Y	Y	Y
45.308	Native American/Native Hawaiian Museum Services Program (B, C)						Y
45.309	Museum Grants for African American History and Culture (B, C)			Y	Y	Y	
45.310	Grants to States (A)				Y		
45.311	Native American and Native Hawaiian Library Services (B, C)			Y			Y
45.312	National Leadership Grants (B, C)			Y	Y	Y	
45.313	Laura Bush 21st Century Librarian Program (B, C)			Y	Y	Y	

TRANSPORTATION, DEPARTMENT OF

20.106	Airport Improvement Program and COVID-19 Airports Programs (B, K)		Y	Y	Y	Y	Y
20.108	Aviation Research Grants (B, I)			Y	Y	Y	
20.109	Air Transportation Centers of Excellence (B, I, J)			Y			
20.111	Aircraft Pilots Workforce Development Grant Program (B)			Y	Y		
20.112	Aviation Maintenance Technical Workforce Grant Program (B)			Y	Y		
20.113	Veterans Pilot Training Program (B)			Y			
20.114	Aviation Manufacturing Jobs Protection (AMJP) Program (C)						
20.200	Highway Research and Development Program (B)				Y		Y
20.205	Highway Planning and Construction (A, B, Z)		Y			Y	Y
20.215	Highway Training and Education (B, M)		Y		Y		
20.218	Motor Carrier Safety Assistance (A)				Y		
20.219	Recreational Trails Program (A)				Y		
20.223	Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E, F)		Y	Y	Y		
20.224	Federal Lands Access Program (A, B)		Y			Y	Y
20.231	Performance and Registration Information Systems Management (B)					Y	
20.232	Commercial Driver's License Program Implementation Grant (B)	Y	Y	Y	Y	Y	Y
20.233	Border Enforcement Grants (B)				Y		
20.234	Safety Data Improvement Program (B)				Y		
20.235	Commercial Motor Vehicle Operator Safety Training Grants (B)		Y	Y	Y		
20.237	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (B)		Y		Y	Y	
20.240	Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)				Y		
20.301	Railroad Safety (B)		Y	Y			
20.313	Railroad Research and Development (B)			Y			

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
20.314 Railroad Development (B)				Y		
20.315 National Railroad Passenger Corporation Grants (B)						
20.316 Railroad Rehabilitation and Improvement Financing Program (E, F)		Y		Y		
20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)				Y		
20.318 Maglev Project Selection Program - SAFETEA-LU (B)				Y		
20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (B)				Y		
20.320 Rail Line Relocation and Improvement (B)				Y		
20.321 Railroad Safety Technology Grants (B)				Y		
20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (B)						
20.324 Restoration and Enhancement (B)				Y		
20.325 Consolidated Rail Infrastructure and Safety Improvements (B)				Y		
20.326 Federal-State Partnership for State of Good Repair (B)				Y		
20.500 Federal Transit Capital Investment Grants (A, B)		Y		Y		Y
20.505 Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (A)				Y		
20.507 Federal Transit Formula Grants (A)		Y		Y		
20.509 Formula Grants for Rural Areas and Tribal Transit Program (A)		Y	Y	Y		Y
20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A, B)		Y	Y	Y		
20.514 Public Transportation Research, Technical Assistance, and Training (B, C, L, M)			Y	Y	Y	Y
20.516 Job Access and Reverse Commute Program (B)		Y	Y	Y		
20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)			Y			
20.519 Clean Fuels (B)		Y		Y		
20.520 Paul S. Sarbanes Transit in the Parks (B)		Y		Y		Y
20.521 New Freedom Program (A)		Y	Y	Y		
20.522 Alternatives Analysis (B)		Y		Y		
20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (B)						
20.525 State of Good Repair Grants Program (A)		Y		Y		Y
20.526 Buses and Bus Facilities Formula, Competitive, and Low or No Emissions Programs (A, B)			Y	Y	Y	
20.527 Public Transportation Emergency Relief Program (B)		Y		Y	Y	
20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (A)				Y		
20.529 Bus Testing (B)				Y		
20.530 Public Transportation Innovation (B, C, L, M)			Y	Y	Y	Y
20.531 Technical Assistance and Workforce Development (B, C, L, M)		Y	Y	Y	Y	Y
20.600 State and Community Highway Safety (A)				Y	Y	Y
20.607 Alcohol Open Container Requirements (A, B)				Y		
20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (A, B)				Y		
20.611 Incentive Grant Program to Prohibit Racial Profiling (A)				Y	Y	
20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (B)		Y	Y	Y		
20.615 E-911 Grant Program (A)				Y	Y	Y
20.616 National Priority Safety Programs (A)				Y	Y	
20.700 Pipeline Safety Program State Base Grant (A)				Y		
20.701 University Transportation Centers Program (B)			Y			
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)				Y	Y	Y

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
20.706 Pipeline Emergency Response Grant (PERG) (M)		Y		Y		
20.707 Hazardous Materials State Inspection (HMSI) Grant (B)				Y		
20.710 Technical Assistance Grants (B)		Y				
20.720 State Damage Prevention Program Grants (B)				Y	Y	
20.721 PHMSA Pipeline Safety Program One Call Grant (B)				Y	Y	
20.723 PHMSA Pipeline Safety Research and Development "Other Transaction Agreements" (B)	Y	Y	Y		Y	Y
20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)			Y	Y	Y	
20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)				Y	Y	
20.802 Federal Ship Financing Guarantees (F)	Y					
20.803 Maritime War Risk Insurance (G)						
20.806 State Maritime Schools (B, C, I, M)				Y		
20.807 U.S. Merchant Marine Academy (M)	Y					
20.808 Capital Construction Fund (C)	Y					
20.812 Construction Reserve Fund (C)	Y	Y	Y	Y	Y	Y
20.813 Maritime Security Fleet Program or Ship Operations Cooperation Program (C)			Y			
20.814 Assistance to Small Shipyards (B, C)		Y		Y		
20.816 America's Marine Highway Grants (B)		Y	Y	Y	Y	
20.817 Air Emissions and Energy Initiative (B)						
20.818 Great Ships Initiative (B, Z)			Y	Y		
20.819 Ballast Water Treatment Technologies (B, Z)			Y	Y		
20.820 Maritime Studies and Innovations (B)	Y	Y	Y	Y	Y	Y
20.821 Women on the Water (WOW) (B)			Y	Y		Y
20.822 Port of Guam Improvement Enterprise Program (B)					Y	
20.823 Port Infrastructure Development Program (B)		Y		Y	Y	Y
20.901 Payments for Essential Air Services (B, C)						
20.910 Assistance to Small and Disadvantaged Businesses (B, Z)			Y			
20.930 Payments for Small Community Air Service Development (B)		Y				
20.933 National Infrastructure Investments (B)				Y	Y	Y
20.934 Nationally Significant Freight and Highway Projects (B)		Y		Y	Y	Y
20.935 State and Local Government Data Analysis Tools for Roadway Safety (B)		Y		Y	Y	Y
20.936 Transportation Demonstration Program (B)				Y	Y	Y

TREASURY, DEPARTMENT OF THE

21.004 Exchange of Federal Tax Information With State Tax Agencies (J)				Y		
21.006 Tax Counseling for the Elderly (B)			Y			Y
21.008 Low Income Taxpayer Clinics (B)			Y			
21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)		Y	Y			Y
21.011 Capital Magnet Fund (B)			Y			
21.012 Native Initiatives (B)			Y			
21.014 Community Development Financial Institutions Bond Guarantee Program (F)			Y			
21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)						
21.016 Equitable Sharing (C)		Y		Y		Y
21.017 Social Impact Partnerships to Pay for Results Act (SIPRA) (B)		Y		Y		Y
21.018 Coronavirus Relief - Pandemic Relief for Aviation Workers (C, Z)						
21.019 Coronavirus Relief Fund (C)		Y		Y	Y	Y
21.020 Community Development Financial Institutions Program (B)			Y			

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Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
21.021 Bank Enterprise Award Program (B)						
21.023 Emergency Rental Assistance Program (C)		Y		Y	Y	Y
21.024 Community Development Financial Institutions Rapid Response Program (CDFI RRP) (B)			Y			
21.025 Small Dollar Loan Program (B)			Y			
21.026 Homeowner Assistance Fund (C)		Y		Y		Y
21.027 CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)		Y		Y	Y	Y
21.028 Coronavirus Economic Relief for Transportation Services Act (A, Z)						
21.029 Coronavirus Capital Projects Fund (A)				Y	Y	Y

UNITED STATES AGENCY FOR GLOBAL MEDIA, BBG

90.500 International Broadcasting Independent Grantee Organizations (B, Z)			Y			
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UNITED STATES INSTITUTE OF PEACE

91.005 Priority Grant Competition (B)			Y			
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VETERANS AFFAIRS, DEPARTMENT OF

64.005 Grants to States for Construction of State Home Facilities (B)				Y		
64.011 Veterans Dental Care (J, Z)	Y					
64.012 Veterans Prescription Service (H, Z)	Y					
64.013 Veterans Prosthetic Appliances (C, J)	Y					
64.014 Veterans State Domiciliary Care (A)				Y		
64.015 Veterans State Nursing Home Care (A)				Y		
64.024 VA Homeless Providers Grant and Per Diem Program (B)			Y			
64.026 Veterans State Adult Day Health Care (A)						
64.027 Post-9/11 Veterans Educational Assistance (C)	Y					
64.028 Post-9/11 Veterans Educational Assistance (C, D)	Y					
64.029 Purchase Care Program (C)	Y	Y	Y	Y	Y	Y
64.030 Life Insurance for Veterans – Face Amount of New Life Insurance Policies Issued (G)	Y	Y	Y	Y	Y	Y
64.031 Life Insurance for Veterans - Direct Payments for Insurance (G)	Y	Y	Y	Y	Y	Y
64.032 Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (C, D)	Y					
64.033 VA Supportive Services for Veteran Families Program (B)			Y			
64.034 VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces (B)		Y	Y	Y	Y	
64.035 Veterans Transportation Program (B)			Y			
64.037 VA U.S. Paralympics Monthly Assistance Allowance Program (C, D)	Y					
64.039 CHAMPVA (C)	Y	Y	Y	Y	Y	Y
64.040 VHA Inpatient Medicine (C, D)	Y					
64.041 VHA Outpatient Specialty Care (C)	Y					
64.042 VHA Inpatient Surgery (C)	Y					
64.043 VHA Mental Health Residential (C)	Y					
64.044 VHA Home Care (C)	Y					
64.045 VHA Outpatient Ancillary Services (C)	Y					
64.046 VHA Inpatient Psychiatry (C)	Y					
64.047 VHA Primary Care (C)	Y					
64.048 VHA Mental Health clinics (C)	Y	Y	Y	Y	Y	Y
64.049 VHA Community Living Center (C)	Y					
64.050 VHA Diagnostic Care (C)	Y					
64.051 Specially Adapted Housing Assistive Technology Grant Program (B)	Y	Y	Y	Y	Y	Y
64.052 Veterans Employment Pay for Success Program (B)	Y		Y			

APPLICANT ELIGIBILITY INDEX

Assistance Listing Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Government
64.053 Payments to States for Programs to Promote the Hiring and Retention of Nurses at State Veterans Homes (B)				Y		
64.054 Research and Development (B)						Y
64.100 Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (C)	Y					
64.101 Burial Expenses Allowance for Veterans (C, D)	Y					
64.104 Pension for Non-Service-Connected Disability for Veterans (C, D)	Y					
64.105 Pension to Veterans Surviving Spouses, and Children (D)	Y					
64.106 Specially Adapted Housing for Disabled Veterans (C)	Y					
64.109 Veterans Compensation for Service-Connected Disability (C, D)	Y					
64.110 Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)	Y					
64.114 Veterans Housing Guaranteed and Insured Loans (F)	Y					
64.116 Vocational Rehabilitation for Disabled Veterans (C, D, E)	Y					
64.117 Survivors and Dependents Educational Assistance (C)	Y					
64.118 Veterans Housing Direct Loans for Certain Disabled Veterans (E)	Y					
64.120 Post-Vietnam Era Veterans' Educational Assistance (D)	Y					
64.124 All-Volunteer Force Educational Assistance (C, D)	Y					
64.125 Vocational and Educational Counseling for Servicemembers and Veterans (C, K)						
64.126 Native American Veteran Direct Loan Program (E)						
64.127 Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (C, D)						
64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (D)						
64.130 Veteran Rapid Retraining Assistance Program (C)	Y					
64.201 National Cemeteries (J, Z)	Y					
64.202 Procurement of Headstones and Markers and/or Presidential Memorial Certificates (C, J, Z)	Y					
64.203 Veterans Cemetery Grants Program (B)				Y	Y	Y
64.204 Veterans Legacy Grants Program (B)			Y	Y		
64.205 VA Casket or Urn Reimbursement Program (C)						
64.206 VA Outer Burial Receptacle Allowance Program (C)						

VIETNAM EDUCATION FOUNDATION

85.801 U.S. Faculty Scholar Grants (B)	Y
85.802 Fellowship Program (B)	Y
85.803 Visiting Scholar Grants (B)	Y

DEADLINES INDEX

This index provides a chronological list of assistance listing deadlines as submitted by the administering agency

February 28, 2021

93.971 Health Professions Preparatory Scholarship Program for Indians (M)

March 01, 2021

93.140 Intramural Research Training Award (C)

March 28, 2021

93.123 Health Professions Pre-graduate Scholarship Program for Indians (M)

April 15, 2021

64.005 Grants to States for Construction of State Home Facilities (B)

April 16, 2021

21.008 Low Income Taxpayer Clinics (B)

April 25, 2021

21.026 Homeowner Assistance Fund (C)

May 14, 2021

59.078 Restaurant Revitalization Fund (C)

97.042 Emergency Management Performance Grants (A)

May 28, 2021

42.010 Teaching with Primary Sources (B)

May 31, 2021

59.073 Paycheck Protection Loan Program (PPP) (F)

June 04, 2021

21.006 Tax Counseling for the Elderly (B)

21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)

June 18, 2021

21.008 Low Income Taxpayer Clinics (B)

June 26, 2021

44.002 Community Development Revolving Loan Fund Program for Credit Unions (B, C, E)

June 30, 2021

38.006 State Appraiser Agency Support Grants (B)

July 01, 2021

64.203 Veterans Cemetery Grants Program (B)

July 12, 2021

59.077 Community Navigator Pilot Program (B)

July 30, 2021

20.823 Port Infrastructure Development Program (B)

August 02, 2021

10.755 Rural Innovation Stronger Economy (B)

August 16, 2021

14.218 Community Development Block Grants/Entitlement Grants (A, B)

14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)

14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A, B)

14.239 Home Investment Partnerships Program (A)

August 17, 2021

11.031 Broadband Infrastructure Program (B)

September 01, 2021

20.114 Aviation Manufacturing Jobs Protection (AMJP) Program (C)

September 24, 2021

45.312 National Leadership Grants (B, C)

45.313 Laura Bush 21st Century Librarian Program (B, C)

September 30, 2021

20.106 Airport Improvement Program and COVID-19 Airports Programs (B, K)

20.700 Pipeline Safety Program State Base Grant (A)

20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)

21.026 Homeowner Assistance Fund (C)

64.204 Veterans Legacy Grants Program (B)

November 15, 2021

45.301 Museums for America (B, C)

45.308 Native American/Native Hawaiian Museum Services Program (B, C)

45.309 Museum Grants for African American History and Culture (B, C)

45.312 National Leadership Grants (B, C)

November 18, 2021

93.280 National Institutes of Health Extramural Loan Repayment Program (C)

December 31, 2021

59.072 Economic Injury Disaster Loan Emergency Advance (C)

January 01, 2022

10.575 Farm to School Grant Program (B, L, M)

March 01, 2022

45.311 Native American and Native Hawaiian Library Services (B, C)

March 15, 2022

93.220 National Institutes of Health Intramural Loan Repayment Program (C)

March 25, 2022

45.312 National Leadership Grants (B, C)

45.313 Laura Bush 21st Century Librarian Program (B, C)

April 01, 2022

45.311 Native American and Native Hawaiian Library Services (B, C)

DEADLINES INDEX

April 15, 2022

43.001 Science (B, C, I, M)

June 30, 2022

12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services (B, Z)

45.310 Grants to States (A)

July 19, 2022

93.980 Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (B, Z)

September 30, 2022

19.024 Soft Target Program for Overseas Schools (B)

December 31, 2022

43.008 Office of Stem Engagement (OSTEM) (B)

December 31, 2023

10.053 Dairy Indemnity Payment Program (D)

FUNCTIONAL INDEX

This index lists assistance listings grouped by functional area as designated by the administering agency. The letter(s) in parentheses following the assistance listing title indicates the type of assistance available through that listing. The following letter codes are used:

1. A: Formula Grants
2. B: Project Grants
3. C: Direct Payments for Specified Use
4. D: Direct Payments with Unrestricted Use
5. E: Direct Loans
6. F: Guaranteed/Insured Loans
7. G: Insurance
8. H: Sale, Exchange, or Donation of Property and Goods
9. I: Use of Property, Facilities, and Equipment
10. J: Provision of Specialized Services
11. K: Advisory Services and Counseling
12. L: Dissemination of Technical Information
13. M: Training
14. N: Investigation of Complaints
15. O: Federal Employment
16. Z: Salaries and Expenses

AGRICULTURAL

All subcategories (K through Q) apply

- 10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
- 10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)
- 10.511 Smith-Lever Funding (Various Programs) (A, B)
- 10.516 Rural Health and Safety Education Competitive Grants Program (B)
- 10.606 Food for Progress (C, H)
- 10.610 Export Guarantee Program (F)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (B, C)
- 10.960 Technical Agricultural Assistance (B, C)
- 10.961 Scientific Cooperation and Research (B, C)
- 10.962 Cochran Fellowship Program-International Training-Foreign Participant (B, C)
- 12.005 Conservation and Rehabilitation of Natural Resources on Military Installations (B)
- 19.124 East Asia and Pacific Grants Program (B)
- 19.600 Bureau of Near Eastern Affairs (B)
- 66.475 Gulf of Mexico Program (B)
- 85.750 IAF Assistance for Overseas Programs (B)
- 90.199 Shared Services (B)
- 90.601 Northern Border Regional Development (B)

Forestry

- 10.028 Wildlife Services (B, Z)
- 10.202 Cooperative Forestry Research (A)
- 10.219 Biotechnology Risk Assessment Research (B)
- 10.331 Food Insecurity Nutrition Incentive Grants Program (B)
- 10.406 Farm Operating Loans (E, F)
- 10.443 Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
- 10.515 Renewable Resources Extension Act and National Focus Fund Projects (A, B)
- 10.652 Forestry Research (B)
- 10.664 Cooperative Forestry Assistance (A, B)
- 10.665 Schools and Roads - Grants to States (A, B)
- 10.666 Schools and Roads - Grants to Counties (C)
- 10.674 Wood Utilization Assistance (B)
- 10.675 Urban and Community Forestry Program (B)
- 10.676 Forest Legacy Program (B)

- 10.678 Forest Stewardship Program (B)
- 10.679 Collaborative Forest Restoration (B)
- 10.680 Forest Health Protection (A, B)
- 10.681 Wood Education and Resource Center (WERC) (B)
- 10.682 National Forest Foundation (C)
- 10.683 National Fish and Wildlife Foundation (C)
- 10.684 International Forestry Programs (B)
- 10.689 Community Forest and Open Space Conservation Program (CFP) (B)
- 10.693 Watershed Restoration and Enhancement Agreement Authority (B)
- 10.694 Southwest Forest Health and Wildfire Prevention (C)
- 10.697 State & Private Forestry Hazardous Fuel Reduction Program (B)
- 10.698 State & Private Forestry Cooperative Fire Assistance (B)
- 10.699 Partnership Agreements (C)
- 10.701 Stewardship Agreements (B)
- 10.705 Cooperative Forest Road Agreements (B)
- 10.711 Forest Service 638 Authority for Tribes (B)
- 10.712 Great American Outdoors Act Deferred Maintenance Program (C)
- 10.922 Healthy Forests Reserve Program (HFRP) (C, Z)
- 12.017 Readiness and Environmental Protection Integration (REPI) Program (A)
- 15.035 Forestry on Indian Lands (C, J, K)
- 15.233 Forest and Woodlands Resource Management (B)
- 15.921 Rivers, Trails and Conservation Assistance (B, K)
- 15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L)

Marketing

- 10.053 Dairy Indemnity Payment Program (D)
- 10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program (C)
- 10.123 Market Facilitation Program (D)
- 10.153 Market News (L)
- 10.155 Marketing Agreements and Orders (J, K, Z)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.162 Inspection Grading and Standardization (J, Z)
- 10.163 Market Protection and Promotion (J, K, M, Z)
- 10.164 Wholesale Farmers and Alternative Market Development (K, M)
- 10.165 Perishable Agricultural Commodities Act (N)
- 10.167 Transportation Services (K, M)
- 10.168 Farmers Market Promotion Program (B)
- 10.170 Specialty Crop Block Grant Program - Farm Bill (A, B)
- 10.172 Local Food Promotion Program (B)
- 10.173 Sheep Production and Marketing Grant Program (B)

FUNCTIONAL INDEX

10.174	Acer Access Development Program (B)	10.619	International Agricultural Education Fellowship Program (B)
10.175	Farmers Market and Local Food Promotion Program (B)	10.681	Wood Education and Resource Center (WERC) (B)
10.176	Dairy Business Innovation Initiatives (B)	10.782	Appropriate Technology Transfer for Rural Areas (B)
10.177	Regional Food System Partnerships (B)	10.865	Biorefinery Assistance (C, F)
10.179	Micro-Grants for Food Security Program (B)	10.935	Urban Agriculture and Innovative Production (B, Z)
10.180	Meat and Poultry Interstate Shipment and Inspection Readiness Program (ISIRP) (B)	15.034	Agriculture on Indian Lands (C, J, K)
10.181	Agricultural Worker Pandemic Relief and Protection Program (B)		
10.290	Agricultural Market and Economic Research (B)	Research and Development	
10.333	Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative (B)	10.001	Agricultural Research Basic and Applied Research (B)
10.352	Value-Added Producer Grants (B)	10.174	Acer Access Development Program (B)
10.377	Agriculture Innovation Center Demonstration Program (B)	10.176	Dairy Business Innovation Initiatives (B)
10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)	10.200	Grants for Agricultural Research, Special Research Grants (B)
10.500	Cooperative Extension Service (A, B)	10.202	Cooperative Forestry Research (A)
10.576	Senior Farmers Market Nutrition Program (A)	10.203	Payments to Agricultural Experiment Stations Under the Hatch Act (A)
10.600	Foreign Market Development Cooperator Program (A, C)	10.205	Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
10.601	Market Access Program (A, C)	10.206	Grants for Agricultural Research_Compertitive Research Grants (B)
10.603	Emerging Markets Program (B, C)	10.207	Animal Health and Disease Research (A)
10.605	Quality Samples Program (B, C)	10.210	Higher Education – Graduate Fellowships Grant Program (B)
10.617	PL-480 Market Development and Technical Assistance (B)	10.212	Small Business Innovation Research (B)
10.618	Agricultural Trade Promotion Program (B)	10.215	Sustainable Agriculture Research and Education (B)
10.674	Wood Utilization Assistance (B)	10.216	1890 Institution Capacity Building Grants (B)
98.009	John Ogonowski Farmer-to-Farmer Program (B)	10.219	Biotechnology Risk Assessment Research (B)
		10.220	Higher Education - Multicultural Scholars Grant Program (B)
Production and Operation		10.227	1994 Institutions Research Program (B)
10.056	Farm Storage Facility Loans (E)	10.250	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B, L)
10.098	Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)	10.253	Consumer Data and Nutrition Research (B, L)
10.099	Conservation Loans (F)	10.255	Research Innovation and Development Grants in Economic (RIDGE) (A, L)
10.108	Livestock Indemnity Program-2014 Farm Bill (D)	10.290	Agricultural Market and Economic Research (B)
10.109	Livestock Forage Disaster Program (D)	10.291	Agricultural and Food Policy Research Centers (B)
10.111	Tree Assistance Program (C, D)	10.303	Integrated Programs (B)
10.112	Price Loss Coverage (C)	10.309	Specialty Crop Research Initiative (B)
10.113	Agriculture Risk Coverage Program (C, D)	10.310	Agriculture and Food Research Initiative (AFRI) (B)
10.114	Cotton Transition Assistance Program (C, D)	10.311	Beginning Farmer and Rancher Development Program (B)
10.116	The Margin Protection Program (C)	10.312	Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)
10.120	2017 Wildfires and Hurricanes Indemnity Program (D)	10.320	Sun Grant Program (B)
10.123	Market Facilitation Program (D)	10.328	National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (B)
10.127	Dairy Margin Coverage (C)	10.329	Crop Protection and Pest Management Competitive Grants Program (B)
10.128	Heirs' Property Relending Program (F)	10.332	Agricultural Genome to Phenome Initiative (B)
10.129	Wildfires and Hurricanes Indemnity Program Plus (D)	10.336	Veterinary Services Grant Program (B)
10.131	Seafood Trade Relief Program (STRP) (C)	10.460	Risk Management Education Partnerships (B)
10.133	Quality Loss Adjustment Program (D)	10.464	Socially Disadvantaged Farmers and Ranchers Policy Research Center (B)
10.136	American Rescue Plan Act of 2021 Loan Payment (C)	10.513	1890 Facilities Grants Program (B)
10.171	Organic Certification Cost Share Programs (B, C)	10.518	Food Animal Residue Avoidance Databank (B)
10.174	Acer Access Development Program (B)	10.600	Foreign Market Development Cooperator Program (A, C)
10.176	Dairy Business Innovation Initiatives (B)	10.601	Market Access Program (A, C)
10.178	Trade Mitigation Program Eligible Recipient Agency Operational Funds (A)	10.652	Forestry Research (B)
10.307	Organic Agriculture Research and Extension Initiative (B)	10.681	Wood Education and Resource Center (WERC) (B)
10.330	Alfalfa and Forage Research Program (B)	10.694	Southwest Forest Health and Wildfire Prevention (C)
10.333	Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative (B)	10.707	Research Joint Venture and Cost Reimbursable Agreements (C)
10.352	Value-Added Producer Grants (B)	10.771	Rural Cooperative Development Grants (B)
10.404	Emergency Loans (E)	10.782	Appropriate Technology Transfer for Rural Areas (B)
10.406	Farm Operating Loans (E, F)	11.024	BUILD TO SCALE (B)
10.407	Farm Ownership Loans (E, F)	11.433	Marine Fisheries Initiative (B)
10.435	State Mediation Grants (B)	11.460	Special Oceanic and Atmospheric Projects (B)
10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)	12.355	Pest Management and Vector Control Research (B)
10.450	Crop Insurance (B, G)	12.360	Research on Chemical and Biological Defense (B)
10.520	Agriculture Risk Management Education Partnerships Competitive Grants Program (B)	15.236	Environmental Quality and Protection (B)

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Resource Conservation and Development		10.093	Voluntary Public Access and Habitat Incentive Program (A, B, Z)
10.025	Plant and Animal Disease, Pest Control, and Animal Care (B, Z)	10.102	Emergency Forest Restoration Program (D)
10.028	Wildlife Services (B, Z)	10.309	Specialty Crop Research Initiative (B)
10.054	Emergency Conservation Program (C, D)	10.450	Crop Insurance (B, G)
10.069	Conservation Reserve Program (C, D)	10.925	Agricultural Water Enhancement Program (C, Z)
10.072	Wetlands Reserve Program (B, Z)	10.926	Chesapeake Bay Watershed Program (C, Z)
10.215	Sustainable Agriculture Research and Education (B)	10.928	Emergency Watershed Protection Program - Floodplain Easements – Disaster Relief Appropriations Act (C, Z)
10.225	Community Food Projects (B)	10.929	Water Bank Program (B, C, Z)
10.406	Farm Operating Loans (E, F)	10.931	Agricultural Conservation Easement Program (B, Z)
10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)	10.932	Regional Conservation Partnership Program (B, Z)
10.449	Boll Weevil Eradication Loan Program (E)	12.017	Readiness and Environmental Protection Integration (REPI) Program (A)
10.515	Renewable Resources Extension Act and National Focus Fund Projects (A, B)	15.034	Agriculture on Indian Lands (C, J, K)
10.682	National Forest Foundation (C)	15.234	Secure Rural Schools and Community Self-Determination (B, M)
10.683	National Fish and Wildlife Foundation (C)	15.236	Environmental Quality and Protection (B)
10.689	Community Forest and Open Space Conservation Program (CFP) (B)	15.244	Fisheries and Aquatic Resources Management (B)
10.691	Good Neighbor Authority (B)	15.520	Lewis and Clark Rural Water System (B)
10.763	Emergency Community Water Assistance Grants (B)	15.533	California Water Security and Environmental Enhancement (B)
10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)	15.649	Service Training and Technical Assistance (Generic Training) (B)
10.902	Soil and Water Conservation (K, Z)	15.666	Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)
10.905	Plant Materials for Conservation (J, Z)	93.570	Community Services Block Grant Discretionary Awards (B)
10.912	Environmental Quality Incentives Program (C, Z)	Technical Assistance, Information and Services	
10.913	Farm and Ranch Lands Protection Program (C, Z)	10.001	Agricultural Research Basic and Applied Research (B)
10.914	Wildlife Habitat Incentive Program (C, Z)	10.025	Plant and Animal Disease, Pest Control, and Animal Care (B, Z)
10.916	Watershed Rehabilitation Program (B, C, K, Z)	10.028	Wildlife Services (B, Z)
10.920	Grassland Reserve Program (C, Z)	10.030	Indemnity Program (D)
10.921	Conservation Security Program (C, Z)	10.131	Seafood Trade Relief Program (STRP) (C)
10.924	Conservation Stewardship Program (B, Z)	10.147	Outreach Education and Technical Assistance (L)
10.925	Agricultural Water Enhancement Program (C, Z)	10.153	Market News (L)
10.926	Chesapeake Bay Watershed Program (C, Z)	10.155	Marketing Agreements and Orders (J, K, Z)
10.929	Water Bank Program (B, C, Z)	10.156	Federal-State Marketing Improvement Program (B)
10.931	Agricultural Conservation Easement Program (B, Z)	10.163	Market Protection and Promotion (J, K, M, Z)
10.932	Regional Conservation Partnership Program (B, Z)	10.174	Acer Access Development Program (B)
10.934	Feral Swine Eradication and Control Pilot Program (B, Z)	10.175	Farmers Market and Local Food Promotion Program (B)
11.419	Coastal Zone Management Administration Awards (A, B)	10.176	Dairy Business Innovation Initiatives (B)
11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)	10.177	Regional Food System Partnerships (B)
12.004	Fort Huachuca Sentinel Landscapes for Military Training (B)	10.179	Micro-Grants for Food Security Program (B)
12.017	Readiness and Environmental Protection Integration (REPI) Program (A)	10.180	Meat and Poultry Interstate Shipment and Inspection Readiness Program (ISIRP) (B)
15.034	Agriculture on Indian Lands (C, J, K)	10.181	Agricultural Worker Pandemic Relief and Protection Program (B)
15.235	Southern Nevada Public Land Management (B)	10.203	Payments to Agricultural Experiment Stations Under the Hatch Act (A)
15.236	Environmental Quality and Protection (B)	10.205	Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
15.421	Alaska Coastal Marine Institute (B)	10.229	Extension Collaborative on Immunization Teaching & Engagement (B)
15.518	Garrison Diversion Unit (B)	10.250	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B, L)
15.533	California Water Security and Environmental Enhancement (B)	10.253	Consumer Data and Nutrition Research (B, L)
15.535	Upper Colorado River Basin Fish and Wildlife Mitigation (B)	10.255	Research Innovation and Development Grants in Economic (RIDGE) (A, L)
15.572	Agricultural Water Use Efficiency Program (B)	10.313	Veterinary Medicine Loan Repayment Program (C)
15.649	Service Training and Technical Assistance (Generic Training) (B)	10.319	Farm Business Management and Benchmarking Competitive Grants Program (B)
15.667	Highlands Conservation (B)	10.332	Agricultural Genome to Phenome Initiative (B)
15.676	Youth Engagement, Education, and Employment (B)	10.350	Technical Assistance to Cooperatives (J, K, L, M)
15.681	Cooperative Agriculture (I)	10.351	Rural Business Development Grant (A, B)
15.921	Rivers, Trails and Conservation Assistance (B, K)	10.377	Agriculture Innovation Center Demonstration Program (B)
66.469	Great Lakes Program (B, I, L)	10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
Stabilization and Conservation Service		10.500	Cooperative Extension Service (A, B)
10.051	Commodity Loans and Loan Deficiency Payments (D, E)	10.515	Renewable Resources Extension Act and National Focus Fund Projects (A, B)
10.053	Dairy Indemnity Payment Program (D)		
10.054	Emergency Conservation Program (C, D)		
10.072	Wetlands Reserve Program (B, Z)		

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10.518	Food Animal Residue Avoidance Databank (B)	11.015	Broad Agency Announcement (B)
10.521	Children, Youth and Families At-Risk (B)	11.405	Cooperative Institute (Inter-Agency Funded Activities) (B)
10.523	Centers of Excellence at 1890 Institutions (B)	11.407	Interjurisdictional Fisheries Act of 1986 (A)
10.525	Farm and Ranch Stress Assistance Network Competitive Grants Program (B)	11.408	Fishermen's Contingency Fund (D)
10.529	Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project (B)	11.413	Fishery Products Inspection and Certification (J)
10.531	State Agency: Farm to School Program Training and Curricula (B)	11.415	Fisheries Finance Program (E)
10.543	Healthier US School Challenge: Smarter Lunchrooms (C)	11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
10.574	Team Nutrition Grants (B)	11.434	Cooperative Fishery Statistics (B)
10.580	Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B, Z)	11.435	Southeast Area Monitoring and Assessment Program (B)
10.601	Market Access Program (A, C)	11.436	Columbia River Fisheries Development Program (B)
10.604	Technical Assistance for Specialty Crops Program (B, C)	11.437	Pacific Fisheries Data Program (B)
10.612	USDA Local and Regional Food Aid Procurement Program (B)	11.438	Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)
10.619	International Agricultural Education Fellowship Program (B)	11.441	Regional Fishery Management Councils (B)
10.620	Scientific Exchanges Program (B)	11.451	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B, Z)
10.700	National Agricultural Library (B, L)	11.452	Unallied Industry Projects (B)
10.754	Higher Blends Infrastructure Incentive Program (A)	11.457	Chesapeake Bay Studies (B)
10.759	Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (B)	11.463	Habitat Conservation (B)
10.761	Technical Assistance and Training Grants (B)	11.477	Fisheries Disaster Relief (B)
10.771	Rural Cooperative Development Grants (B)	11.482	Coral Reef Conservation Program (B, Z)
10.782	Appropriate Technology Transfer for Rural Areas (B)	11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
10.855	Distance Learning and Telemedicine Loans and Grants (B, E)	19.204	Fishermen's Guaranty Fund (B, G)
10.871	Socially-Disadvantaged Groups Grant (B)	85.750	IAF Assistance for Overseas Programs (B)
10.886	Rural Broadband Access Loans and Loan Guarantees (E, F)		
10.890	Rural Development Cooperative Agreement Program (B)		
10.903	Soil Survey (L, Z)		
10.907	Snow Survey and Water Supply Forecasting (L, Z)		
10.916	Watershed Rehabilitation Program (B, C, K, Z)		
10.920	Grassland Reserve Program (C, Z)		
10.921	Conservation Security Program (C, Z)		
10.928	Emergency Watershed Protection Program - Floodplain Easements - Disaster Relief Appropriations Act (C, Z)		
10.931	Agricultural Conservation Easement Program (B, Z)		
10.934	Feral Swine Eradication and Control Pilot Program (B, Z)		
10.935	Urban Agriculture and Innovative Production (B, Z)		
10.950	Agricultural Statistics Reports (L)		
10.951	Agricultural Statistics (L)		
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)		
15.921	Rivers, Trails and Conservation Assistance (B, K)		
19.700	General Department of State Assistance (B)		
19.980	Partnership for Regional East Africa Counterterrorism (PREACT): (B)		
38.008	Training and Technical Assistance for State Appraiser Regulatory Agencies (B)		
66.716	Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)		
93.362	Assistive Technology National Activities (B)		
98.009	John Ogonowski Farmer-to-Farmer Program (B)		

BUSINESS AND COMMERCE

All subcategories (K through S) apply

11.013	Education Quality Award Ambassadorship (B)	11.015	Broad Agency Announcement (B)
19.124	East Asia and Pacific Grants Program (B)	11.405	Cooperative Institute (Inter-Agency Funded Activities) (B)
19.600	Bureau of Near Eastern Affairs (B)	11.407	Interjurisdictional Fisheries Act of 1986 (A)
19.750	Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (B)	11.408	Fishermen's Contingency Fund (D)
90.199	Shared Services (B)	11.413	Fishery Products Inspection and Certification (J)
		11.415	Fisheries Finance Program (E)
		11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
		11.434	Cooperative Fishery Statistics (B)
		11.435	Southeast Area Monitoring and Assessment Program (B)
		11.436	Columbia River Fisheries Development Program (B)
		11.437	Pacific Fisheries Data Program (B)
		11.438	Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)
		11.441	Regional Fishery Management Councils (B)
		11.451	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B, Z)
		11.452	Unallied Industry Projects (B)
		11.457	Chesapeake Bay Studies (B)
		11.463	Habitat Conservation (B)
		11.477	Fisheries Disaster Relief (B)
		11.482	Coral Reef Conservation Program (B, Z)
		11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
		19.204	Fishermen's Guaranty Fund (B, G)
		85.750	IAF Assistance for Overseas Programs (B)
			Economic Development
		10.056	Farm Storage Facility Loans (E)
		10.232	Blue Ribbon (B)
		10.377	Agriculture Innovation Center Demonstration Program (B)
		10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
		10.464	Socially Disadvantaged Farmers and Ranchers Policy Research Center (B)
		10.603	Emerging Markets Program (B, C)
		10.755	Rural Innovation Stronger Economy (B)
		10.767	Intermediary Relending Program (E)
		10.768	Business and Industry Loans (F)
		10.771	Rural Cooperative Development Grants (B)
		10.870	Rural Microentrepreneur Assistance Program (B, E)
		10.890	Rural Development Cooperative Agreement Program (B)
		11.020	Cluster Grants (B)
		11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)
		11.024	BUILD TO SCALE (B)
		11.028	Connecting Minority Communities Pilot Program (B)
		11.029	Tribal Broadband Connectivity Program (B)
		11.031	Broadband Infrastructure Program (B)
		11.300	Investments for Public Works and Economic Development Facilities (B)
		11.312	Research and Evaluation Program (B)
		11.557	Broadband Technology Opportunities Program (BTOP) (B)
		11.611	Manufacturing Extension Partnership (B, L)
		11.612	Advanced Technology Program (A, B)
		11.804	MBDA Business Center - American Indian and Alaska Native (B)
		11.805	MBDA Business Center (B)
		12.002	Procurement Technical Assistance For Business Firms (B)
		12.600	Community Investment (B, C, L)
		12.607	Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)
		12.610	Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)
		12.615	Research and Technical Assistance (B)
		12.617	Economic Adjustment Assistance for State Governments (B)

Commercial Fisheries

10.331	Food Insecurity Nutrition Incentive Grants Program (B)
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12.618	Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)	93.691	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)
14.218	Community Development Block Grants/Entitlement Grants (A, B)	93.882	High Impact Pilot Awards (B)
14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)	93.883	Standards Exploration Award (B)
14.228	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A, B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
14.252	Section 4 Capacity Building for Community Development and Affordable Housing (B)	93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)
14.265	Rural Capacity Building for Community Development and Affordable Housing Grants (B)		
14.862	Indian Community Development Block Grant Program (B)		
15.020	Aid To Tribal Governments (C)		
15.021	Consolidated Tribal Government (C)		
15.022	Tribal Self-Governance (C)		
15.024	Indian Self-Determination Contract Support (C)		
15.032	Indian Economic Development (B, C)		
15.124	Indian Loans Economic Development (F)		
15.133	Native American Business Development Institute (A)		
15.875	Economic, Social, and Political Development of the Territories (A, B, C, D)		
19.027	Energy Governance and Reform Programs (B)		
19.121	Conflict and Stabilization Operations (B)		
19.301	The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (B)		
19.322	Economic Statecraft (B)		
19.501	Public Diplomacy Programs for Afghanistan and Pakistan (B)		
19.662	Fiscal Transparency Innovation Fund (A)		
20.507	Federal Transit Formula Grants (A)		
20.814	Assistance to Small Shipyards (B, C)		
20.822	Port of Guam Improvement Enterprise Program (B)		
20.910	Assistance to Small and Disadvantaged Businesses (B, Z)		
21.011	Capital Magnet Fund (B)		
21.012	Native Initiatives (B)		
21.014	Community Development Financial Institutions Bond Guarantee Program (F)		
21.020	Community Development Financial Institutions Program (B)		
21.021	Bank Enterprise Award Program (B)		
21.024	Community Development Financial Institutions Rapid Response Program (CDFI RRP) (B)		
21.025	Small Dollar Loan Program (B)		
23.001	Appalachian Regional Development (See individual Appalachian Programs) (B)		
23.002	Appalachian Area Development (B)		
23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)		
31.007	Export - Loan Guarantee/Insured Loans (E, F)		
32.008	Emergency Broadband Benefit Program (C)		
44.002	Community Development Revolving Loan Fund Program for Credit Unions (B, C, E)		
59.041	504 Certified Development Loans (F)		
59.062	Intermediary Loan Program (E)		
59.076	Lab-to-Market (D)		
66.708	Pollution Prevention Grants Program (B)		
66.717	Source Reduction Assistance (B)		
85.002	MCC Foreign Assistance for Overseas Programs (B, C)		
85.750	IAF Assistance for Overseas Programs (B)		
87.052	Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (B)		
90.200	Delta Regional Development (B)		
90.201	Delta Area Economic Development (A, B)		
93.355	Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program) (B)		
93.570	Community Services Block Grant Discretionary Awards (B)		
93.612	Native American Programs (B)		
			Economic Injury and Natural Disaster
		10.056	Farm Storage Facility Loans (E)
		10.450	Crop Insurance (B, G)
		10.615	Pima Agriculture Cotton Trust Fund (D)
		10.616	Agriculture Wool Apparel Manufacturers Trust Fund (D)
		10.703	Cooperative Fire Protection Agreement (B, C)
		10.768	Business and Industry Loans (F)
		10.854	Rural Economic Development Loans and Grants (B, E, F)
		11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)
		11.307	Economic Adjustment Assistance (B)
		11.312	Research and Evaluation Program (B)
		11.313	Trade Adjustment Assistance for Firms (B)
		11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
		12.607	Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)
		12.610	Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)
		12.611	Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)
		12.618	Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)
		17.245	Trade Adjustment Assistance (A)
		19.204	Fishermen's Guaranty Fund (B, G)
		20.803	Maritime War Risk Insurance (G)
		21.018	Coronavirus Relief - Pandemic Relief for Aviation Workers (C, Z)
		21.019	Coronavirus Relief Fund (C)
		21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)
		43.001	Science (B, C, I, M)
		59.008	Disaster Assistance Loans (E)
		59.050	Prime Technical Assistance (B)
		59.072	Economic Injury Disaster Loan Emergency Advance (C)
		59.075	Shuttered Venue Operators Grant Program (C)
		59.078	Restaurant Revitalization Fund (C)
		85.750	IAF Assistance for Overseas Programs (B)
		87.051	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (B)
		97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)
			International
		10.617	PL-480 Market Development and Technical Assistance (B)
		10.960	Technical Agricultural Assistance (B, C)
		10.962	Cochran Fellowship Program-International Training-Foreign Participant (B, C)
		11.112	Market Development Cooperator Program (B)
		11.313	Trade Adjustment Assistance for Firms (B)
		11.804	MBDA Business Center - American Indian and Alaska Native (B)
		11.805	MBDA Business Center (B)
		17.245	Trade Adjustment Assistance (A)
		19.121	Conflict and Stabilization Operations (B)
		19.221	Regional Democracy Program (B)
		19.222	Trans-Sahara Counterterrorism Partnership (TSCTP) (B)
		19.224	Nonproliferation and Disarmament Fund (B)
		19.500	Middle East Partnership Initiative (B)
		19.511	Overseas Refugee Assistance Programs for East Asia (B)

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19.517	Overseas Refugee Assistance Programs for Africa (B)	59.011	Small Business Investment Companies (F)
19.519	Overseas Refugee Assistance Program for Near East (B)	59.046	Microloan Program (A, E, K)
19.520	Overseas Refugee Assistance Programs for Europe (B)	59.062	Intermediary Loan Program (E)
19.523	Overseas Refugee Assistance Program for South Asia (B)	85.750	IAF Assistance for Overseas Programs (B)
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59.061	State Trade Expansion (B)	93.883	Standards Exploration Award (B)
70.002	Foreign Investment Financing (E, F)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
70.003	Foreign Investment Insurance (G)	93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)
84.153	Business and International Education Projects (B)		
84.220	Centers for International Business Education (B)		
85.750	IAF Assistance for Overseas Programs (B)		
90.500	International Broadcasting Independent Grantee Organizations (B, Z)		
98.001	USAID Foreign Assistance for Programs Overseas (B)		
98.003	Ocean Freight Reimbursement Program (OFR) (B)		
98.011	Global Development Alliance (B)		
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11.012	Integrated Ocean Observing System (IOOS) (B)		
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11.455	Cooperative Science and Education Program (B)		
11.478	Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)		
11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)		
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17.302	Longshore and Harbor Workers' Compensation (D)		
20.802	Federal Ship Financing Guarantees (F)		
20.803	Maritime War Risk Insurance (G)		
20.806	State Maritime Schools (B, C, I, M)		
20.807	U.S. Merchant Marine Academy (M)		
20.808	Capital Construction Fund (C)		
20.812	Construction Reserve Fund (C)		
20.814	Assistance to Small Shipyards (B, C)		
20.822	Port of Guam Improvement Enterprise Program (B)		
20.823	Port Infrastructure Development Program (B)		
21.028	Coronavirus Economic Relief for Transportation Services Act (A, Z)		
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10.377	Agriculture Innovation Center Demonstration Program (B)		
10.421	Indian Tribes and Tribal Corporation Loans (E)		
10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)		
10.464	Socially Disadvantaged Farmers and Ranchers Policy Research Center (B)		
10.754	Higher Blends Infrastructure Incentive Program (A)		
10.768	Business and Industry Loans (F)		
10.771	Rural Cooperative Development Grants (B)		
10.871	Socially-Disadvantaged Groups Grant (B)		
10.872	Healthy Food Financing Initiative (B)		
11.024	BUILD TO SCALE (B)		
11.028	Connecting Minority Communities Pilot Program (B)		
11.300	Investments for Public Works and Economic Development Facilities (B)		
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)		
11.802	Minority Business Resource Development (B)		
11.804	MBDA Business Center - American Indian and Alaska Native (B)		
11.805	MBDA Business Center (B)		
15.124	Indian Loans Economic Development (F)		
15.133	Native American Business Development Institute (A)		
59.006	8(a) Business Development Program (J)		
59.007	7(j) Technical Assistance (B)		
		59.011	Small Business Investment Companies (F)
		59.046	Microloan Program (A, E, K)
		59.062	Intermediary Loan Program (E)
		85.750	IAF Assistance for Overseas Programs (B)
		93.882	High Impact Pilot Awards (B)
		93.883	Standards Exploration Award (B)
		93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
		93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)
		Small Business	
		10.212	Small Business Innovation Research (B)
		10.352	Value-Added Producer Grants (B)
		10.377	Agriculture Innovation Center Demonstration Program (B)
		10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
		10.464	Socially Disadvantaged Farmers and Ranchers Policy Research Center (B)
		10.754	Higher Blends Infrastructure Incentive Program (A)
		10.767	Intermediary Relending Program (E)
		10.768	Business and Industry Loans (F)
		10.771	Rural Cooperative Development Grants (B)
		10.870	Rural Microentrepreneur Assistance Program (B, E)
		10.871	Socially-Disadvantaged Groups Grant (B)
		10.872	Healthy Food Financing Initiative (B)
		11.020	Cluster Grants (B)
		11.313	Trade Adjustment Assistance for Firms (B)
		11.611	Manufacturing Extension Partnership (B, L)
		11.620	Science, Technology, Business and/or Education Outreach (B)
		14.218	Community Development Block Grants/Entitlement Grants (A, B)
		15.124	Indian Loans Economic Development (F)
		19.121	Conflict and Stabilization Operations (B)
		20.814	Assistance to Small Shipyards (B, C)
		20.910	Assistance to Small and Disadvantaged Businesses (B, Z)
		21.019	Coronavirus Relief Fund (C)
		21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)
		31.007	Export - Loan Guarantee/Insured Loans (E, F)
		43.001	Science (B, C, I, M)
		59.006	8(a) Business Development Program (J)
		59.007	7(j) Technical Assistance (B)
		59.008	Disaster Assistance Loans (E)
		59.011	Small Business Investment Companies (F)
		59.012	7(a) Loan Guarantees (F)
		59.016	Surety Bond Guarantees (G)
		59.026	SCORE (B)
		59.037	Small Business Development Centers (A, B, J, K, L)
		59.041	504 Certified Development Loans (F)
		59.043	Women's Business Ownership Assistance (B)
		59.044	Veterans Outreach Program (B)
		59.046	Microloan Program (A, E, K)
		59.050	Prime Technical Assistance (B)
		59.053	Ombudsman and Regulatory Fairness Boards (N)
		59.054	7(a)Export Loan Guarantees (F)
		59.058	Federal and State Technology Partnership Program (B)
		59.061	State Trade Expansion (B)
		59.062	Intermediary Loan Program (E)
		59.065	Growth Accelerator Fund Competition (C)
		59.071	Makerspace Training, Collaboration, and Hiring (MaTCH) (B)
		59.073	Paycheck Protection Loan Program (PPP) (F)
		59.074	OED Resource Partners Training Portal (RPTP) (B)
		59.076	Lab-to-Market (D)
		59.077	Community Navigator Pilot Program (B)

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59.078	Restaurant Revitalization Fund (C)	93.691	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)
66.708	Pollution Prevention Grants Program (B)	93.882	High Impact Pilot Awards (B)
66.717	Source Reduction Assistance (B)	93.883	Standards Exploration Award (B)
81.105	National Industrial Competitiveness through Energy, Environment, and Economics (B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
85.750	IAF Assistance for Overseas Programs (B)	93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)
93.103	Food and Drug Administration Research (B)		
93.691	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)	COMMUNITY DEVELOPMENT	
93.882	High Impact Pilot Awards (B)	<hr/>	
93.883	Standards Exploration Award (B)	All subcategories (K through U) apply	
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	11.557	Broadband Technology Opportunities Program (BTOP) (B)
93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)	12.777	Defense Production Act Title III (DPA Title III) (B, E)
97.022	Flood Insurance (G)	14.218	Community Development Block Grants/Entitlement Grants (A, B)
		14.862	Indian Community Development Block Grant Program (B)
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10.231	Agriculture Business Innovation Center at HBCU (B)	23.001	Appalachian Regional Development (See individual Appalachian Programs) (B)
10.377	Agriculture Innovation Center Demonstration Program (B)	66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)
10.518	Food Animal Residue Avoidance Databank (B)	66.604	Environmental Justice Small Grant Program (B)
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)	90.199	Shared Services (B)
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)	Construction, Renewal and Operations	
11.407	Interjurisdictional Fisheries Act of 1986 (A)	10.665	Schools and Roads - Grants to States (A, B)
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)	10.754	Higher Blends Infrastructure Incentive Program (A)
11.438	Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)	10.766	Community Facilities Loans and Grants (B, E, F)
11.439	Marine Mammal Data Program (B)	10.768	Business and Industry Loans (F)
11.474	Atlantic Coastal Fisheries Cooperative Management Act (B)	10.872	Healthy Food Financing Initiative (B)
11.606	Weights and Measures Service (H, J, K, L, M)	11.300	Investments for Public Works and Economic Development Facilities (B)
11.610	Standards Information Center (A, L)	11.307	Economic Adjustment Assistance (B)
12.002	Procurement Technical Assistance For Business Firms (B)	11.407	Interjurisdictional Fisheries Act of 1986 (A)
20.935	State and Local Government Data Analysis Tools for Roadway Safety (B)	11.415	Fisheries Finance Program (E)
32.010	Supply Chain Reimbursement Program (C)	12.114	Collaborative Research and Development (B)
38.006	State Appraiser Agency Support Grants (B)	12.599	Congressionally Directed Assistance (B)
59.026	SCORE (B)	14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
59.044	Veterans Outreach Program (B)	14.228	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A, B)
66.708	Pollution Prevention Grants Program (B)	14.248	Community Development Block Grants Section 108 Loan Guarantees (F)
85.750	IAF Assistance for Overseas Programs (B)	14.272	National Disaster Resilience Competition (B)
90.202	Delta Local Development District Assistance (B)	14.901	Healthy Homes Demonstration Grants (B)
93.691	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)	14.920	Lead Hazard Control for High Risk Areas (B)
93.882	High Impact Pilot Awards (B)	15.048	Bureau of Indian Affairs Facilities Operations and Maintenance (C)
93.883	Standards Exploration Award (B)	15.124	Indian Loans Economic Development (F)
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	15.510	Colorado Ute Indian Water Rights Settlement Act (B, C)
93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)	15.559	New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (B, C)
		15.573	Temperature Control Devices at Folsom Dam (B)
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11.434	Cooperative Fishery Statistics (B)	15.940	New Bedford Whaling National Historic Park Cooperative Management (B)
11.601	Calibration Program (H, J)	15.946	Cultural Resources Management (B)
11.604	Standard Reference Materials (H)	16.596	Justice System Infrastructure Program for Indian Tribes (B)
11.606	Weights and Measures Service (H, J, K, L, M)	19.026	Global Peace Operations Initiative (B)
17.002	Labor Force Statistics (B, L, Z)	20.106	Airport Improvement Program and COVID-19 Airports Programs (B, K)
17.003	Prices and Cost of Living Data (L, Z)	20.500	Federal Transit Capital Investment Grants (A, B)
17.004	Productivity and Technology Data (L, Z)	20.507	Federal Transit Formula Grants (A)
17.005	Compensation and Working Conditions (B, L, Z)	20.525	State of Good Repair Grants Program (A)
20.935	State and Local Government Data Analysis Tools for Roadway Safety (B)	20.526	Buses and Bus Facilities Formula, Competitive, and Low or No Emissions Programs (A, B)
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20.527	Public Transportation Emergency Relief Program (B)	15.957	Emergency Supplemental Historic Preservation Fund (A, B)
21.014	Community Development Financial Institutions Bond Guarantee Program (F)	15.961	Federal Historic Preservation Tax Incentive (K)
21.021	Bank Enterprise Award Program (B)	19.036	Cultural Antiquities Task Force (B)
21.025	Small Dollar Loan Program (B)	19.501	Public Diplomacy Programs for Afghanistan and Pakistan (B)
21.029	Coronavirus Capital Projects Fund (A)	45.201	Arts and Artifacts Indemnity (G)
64.203	Veterans Cemetery Grants Program (B)	45.301	Museums for America (B, C)
66.418	Construction Grants for Wastewater Treatment Works (B)	45.308	Native American/Native Hawaiian Museum Services Program (B, C)
		45.309	Museum Grants for African American History and Culture (B, C)
		89.003	National Historical Publications and Records Grants (B)
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12.607	Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)	Indian Action Services	
12.617	Economic Adjustment Assistance for State Governments (B)	10.421	Indian Tribes and Tribal Corporation Loans (E)
12.618	Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)	10.768	Business and Industry Loans (F)
15.916	Outdoor Recreation Acquisition, Development and Planning (A, B)	14.218	Community Development Block Grants/Entitlement Grants (A, B)
39.002	Disposal of Federal Surplus Real Property (H, Z)	14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
39.003	Donation of Federal Surplus Personal Property (H)	15.020	Aid To Tribal Governments (C)
39.007	Sale of Federal Surplus Personal Property (H, Z)	15.021	Consolidated Tribal Government (C)
84.145	Federal Real Property Assistance Program (H)	15.022	Tribal Self-Governance (C)
93.291	Surplus Property Utilization (H)	15.024	Indian Self-Determination Contract Support (C)
		15.036	Indian Rights Protection (C)
		15.048	Bureau of Indian Affairs Facilities Operations and Maintenance (C)
		15.067	Strengthening Tribal Nations (B, K, L, M)
		15.113	Indian Social Services Welfare Assistance (C)
		15.124	Indian Loans Economic Development (F)
		15.130	Indian Education Assistance to Schools (C)
		15.133	Native American Business Development Institute (A)
		15.155	Office of the Special Trustee for American Indians, Field Operations (B, Z)
		15.510	Colorado Ute Indian Water Rights Settlement Act (B, C)
		15.552	Navajo-Gallup Water Supply (B)
		15.922	Native American Graves Protection and Repatriation Act (B)
		16.019	Tribal Registry (B)
		16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)
		16.528	Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
		16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)
		16.583	Children's Justice Act Partnerships for Indian Communities (B, C)
		16.587	Violence Against Women Discretionary Grants for Indian Tribal Governments (B)
		16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)
		16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)
		16.596	Justice System Infrastructure Program for Indian Tribes (B)
		32.002	UNIVERSAL SERVICE FUND - HIGH COST (C)
		32.003	UNIVERSAL SERVICE FUND - LIFELINE (C)
		32.004	UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)
		93.047	Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)
		93.237	Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (B)
		93.444	Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)
		Land Acquisition	
		10.421	Indian Tribes and Tribal Corporation Loans (E)
		10.768	Business and Industry Loans (F)
		14.218	Community Development Block Grants/Entitlement Grants (A, B)
		14.248	Community Development Block Grants Section 108 Loan Guarantees (F)
		15.124	Indian Loans Economic Development (F)
		15.152	Land Buy-Back For Tribal Nations (B)
Fire Protection			
10.652	Forestry Research (B)		
11.300	Investments for Public Works and Economic Development Facilities (B)		
14.218	Community Development Block Grants/Entitlement Grants (A, B)		
15.160	BIA Wildland Urban Interface Community Fire Assistance (B, I, L, M)		
15.228	BLM Fuels Management and Community Fire Assistance Program Activities (B, I, L, M)		
15.948	National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L)		
32.002	UNIVERSAL SERVICE FUND - HIGH COST (C)		
81.140	Los Alamos National Laboratory - Fire Protection (B)		
84.259	Native Hawaiian Career and Technical Education (B)		
97.018	National Fire Academy Training Assistance (B, C)		
97.044	Assistance to Firefighters Grant (B)		
97.046	Fire Management Assistance Grant (B, J)		
97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)		
Historical Preservation			
11.300	Investments for Public Works and Economic Development Facilities (B)		
11.307	Economic Adjustment Assistance (B)		
14.218	Community Development Block Grants/Entitlement Grants (A, B)		
14.252	Section 4 Capacity Building for Community Development and Affordable Housing (B)		
15.407	Keweenaw National Historical Park (NHP) Preservation Grants (B)		
15.904	Historic Preservation Fund Grants-In-Aid (A, B)		
15.912	National Historic Landmark (K)		
15.915	Technical Preservation Services (K, L)		
15.922	Native American Graves Protection and Repatriation Act (B)		
15.923	National Center for Preservation Technology and Training (B)		
15.925	National Maritime Heritage Grants (B)		
15.926	American Battlefield Protection (B)		
15.928	Battlefield Land Acquisition Grants (B)		
15.929	Save America's Treasures (B)		
15.932	Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (B)		
15.933	Preservation of Japanese American Confinement Sites (B)		
15.939	Heritage Partnership (B)		
15.955	Martin Luther King Junior National Historic Site and Preservation District (B)		
15.956	Cooperative Management of Ebey's Landing National Historical Reserve. (B, C, J)		

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15.234	Secure Rural Schools and Community Self-Determination (B, M)	21.025	Small Dollar Loan Program (B)
15.235	Southern Nevada Public Land Management (B)	21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)
15.605	Sport Fish Restoration (A)	23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)
15.904	Historic Preservation Fund Grants-In-Aid (A, B)	45.301	Museums for America (B, C)
15.916	Outdoor Recreation Acquisition, Development and Planning (A, B)	45.308	Native American/Native Hawaiian Museum Services Program (B, C)
15.941	Mississippi National River and Recreation Area State and Local Assistance (B, K)	45.309	Museum Grants for African American History and Culture (B, C)
84.145	Federal Real Property Assistance Program (H)	66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)
Planning and Research			
10.351	Rural Business Development Grant (A, B)	66.717	Source Reduction Assistance (B)
10.759	Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (B)	85.750	IAF Assistance for Overseas Programs (B)
10.761	Technical Assistance and Training Grants (B)	93.434	Every Student Succeeds Act/Preschool Development Grants (B, M, Z)
10.872	Healthy Food Financing Initiative (B)	93.569	Community Services Block Grant (A, B, M)
10.890	Rural Development Cooperative Agreement Program (B)	93.570	Community Services Block Grant Discretionary Awards (B)
11.020	Cluster Grants (B)	93.602	Assets for Independence Demonstration Program (B, M)
11.307	Economic Adjustment Assistance (B)	94.003	State Commissions (B)
11.312	Research and Evaluation Program (B)	94.009	Training and Technical Assistance (B, L, M)
12.003	Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (B)	97.055	Interoperable Emergency Communications (B)
12.604	Community Economic Adjustment Assistance for Reductions in Defense Spending (B, L)	97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)
12.607	Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)	Recreation	
12.610	Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)	10.405	Farm Labor Housing Loans and Grants (B, E, F)
12.611	Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)	10.415	Rural Rental Housing Loans (B, E)
12.614	Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)	10.500	Cooperative Extension Service (A, B)
12.615	Research and Technical Assistance (B)	10.768	Business and Industry Loans (F)
12.617	Economic Adjustment Assistance for State Governments (B)	10.903	Soil Survey (L, Z)
12.618	Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)	10.904	Watershed Protection and Flood Prevention (B, K, Z)
14.218	Community Development Block Grants/Entitlement Grants (A, B)	11.419	Coastal Zone Management Administration Awards (A, B)
14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)	11.420	Coastal Zone Management Estuarine Research Reserves (B)
14.228	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A, B)	14.218	Community Development Block Grants/Entitlement Grants (A, B)
14.252	Section 4 Capacity Building for Community Development and Affordable Housing (B)	15.014	Supporting the Lower Mississippi Delta Initiative (B)
14.265	Rural Capacity Building for Community Development and Affordable Housing Grants (B)	15.124	Indian Loans Economic Development (F)
14.272	National Disaster Resilience Competition (B)	15.235	Southern Nevada Public Land Management (B)
14.506	General Research and Technology Activity (B, J)	15.550	Fishing Events for Disadvantaged Children (B)
14.536	Research and Evaluations, Demonstrations, and Data Analysis and Utilization (B)	15.605	Sport Fish Restoration (A)
15.510	Colorado Ute Indian Water Rights Settlement Act (B, C)	15.608	Fish and Wildlife Management Assistance (B)
16.528	Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)	15.916	Outdoor Recreation Acquisition, Development and Planning (A, B)
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)	15.954	National Park Service Conservation, Protection, Outreach, and Education (B)
16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)	16.726	Juvenile Mentoring Program (B)
16.596	Justice System Infrastructure Program for Indian Tribes (B)	20.219	Recreational Trails Program (A)
16.726	Juvenile Mentoring Program (B)	39.002	Disposal of Federal Surplus Real Property (H, Z)
19.121	Conflict and Stabilization Operations (B)	64.034	VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces (B)
19.600	Bureau of Near Eastern Affairs (B)	64.037	VA U.S. Paralympics Monthly Assistance Allowance Program (C, D)
20.935	State and Local Government Data Analysis Tools for Roadway Safety (B)	84.380	Special Education - Special Olympics Education Programs (B)
21.011	Capital Magnet Fund (B)	85.750	IAF Assistance for Overseas Programs (B)
21.012	Native Initiatives (B)	97.012	Boating Safety Financial Assistance (A, B)
21.019	Coronavirus Relief Fund (C)	Rural Community Development	
21.020	Community Development Financial Institutions Program (B)	10.200	Grants for Agricultural Research, Special Research Grants (B)
21.021	Bank Enterprise Award Program (B)	10.250	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B, L)
21.024	Community Development Financial Institutions Rapid Response Program (CDFI RRP) (B)	10.331	Food Insecurity Nutrition Incentive Grants Program (B)
		10.350	Technical Assistance to Cooperatives (J, K, L, M)
		10.379	Rural Energy Pilot Program Grant (B)
		10.405	Farm Labor Housing Loans and Grants (B, E, F)
		10.411	Rural Housing Site Loans and Self Help Housing Land Development Loans (E)
		10.415	Rural Rental Housing Loans (B, E)
		10.420	Rural Self-Help Housing Technical Assistance (B)

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10.433	Rural Housing Preservation Grants (B)	90.203	Delta Creative Place-Making Pilot Initiative (B)
10.438	Section 538 Rural Rental Housing Guaranteed Loans (F)	93.297	Teenage Pregnancy Prevention Program (B)
10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)	93.319	Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (B)
10.446	Rural Community Development Initiative (B)	93.500	Pregnancy Assistance Fund Program (B)
10.464	Socially Disadvantaged Farmers and Ranchers Policy Research Center (B)	93.570	Community Services Block Grant Discretionary Awards (B)
10.500	Cooperative Extension Service (A, B)	94.013	Volunteers in Service to America (J)
10.521	Children, Youth and Families At-Risk (B)	94.019	Social Innovation Fund (B, C)
10.751	Rural Energy Savings Program (RESP) (E)		
10.752	Rural eConnectivity Pilot Program (B, E)	Site Acquisition	
10.754	Higher Blends Infrastructure Incentive Program (A)	10.768	Business and Industry Loans (F)
10.755	Rural Innovation Stronger Economy (B)	14.218	Community Development Block Grants/Entitlement Grants (A, B)
10.767	Intermediary Relending Program (E)	14.248	Community Development Block Grants Section 108 Loan Guarantees (F)
10.768	Business and Industry Loans (F)	21.011	Capital Magnet Fund (B)
10.850	Rural Electrification Loans and Loan Guarantees (E, F)	84.145	Federal Real Property Assistance Program (H)
10.851	Rural Telephone Loans and Loan Guarantees (E, F)		
10.854	Rural Economic Development Loans and Grants (B, E, F)	Technical Assistance and Services	
10.855	Distance Learning and Telemedicine Loans and Grants (B, E)	10.167	Transportation Services (K, M)
10.858	Denali Commission Grants and Loans (B)	10.230	Farm of the Future (B)
10.862	Rural Decentralized Water Systems Grant Program (B)	10.420	Rural Self-Help Housing Technical Assistance (B)
10.863	Community Connect Grant Program (B)	10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)	10.446	Rural Community Development Initiative (B)
10.870	Rural Microentrepreneur Assistance Program (B, E)	10.500	Cooperative Extension Service (A, B)
10.872	Healthy Food Financing Initiative (B)	10.766	Community Facilities Loans and Grants (B, E, F)
14.248	Community Development Block Grants Section 108 Loan Guarantees (F)	10.872	Healthy Food Financing Initiative (B)
14.252	Section 4 Capacity Building for Community Development and Affordable Housing (B)	11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)
14.265	Rural Capacity Building for Community Development and Affordable Housing Grants (B)	11.028	Connecting Minority Communities Pilot Program (B)
14.266	Border Community Capital Initiative (B)	11.029	Tribal Broadband Connectivity Program (B)
14.270	Appalachia Economic Development Initiative (B)	11.303	Economic Development Technical Assistance (B)
14.271	Delta Community Capital Initiative (B)	11.307	Economic Adjustment Assistance (B)
15.014	Supporting the Lower Mississippi Delta Initiative (B)	11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
15.162	Tiwahe Housing (B)	11.601	Calibration Program (H, J)
15.942	Environmental Outreach and Conservation - North Cascades (B)	11.610	Standards Information Center (A, L)
16.528	Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)	12.002	Procurement Technical Assistance For Business Firms (B)
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)	12.113	State Memorandum of Agreement Program for the Reimbursement of Technical Services (B, Z)
16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)	12.604	Community Economic Adjustment Assistance for Reductions in Defense Spending (B, L)
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)	12.607	Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)
19.121	Conflict and Stabilization Operations (B)	12.610	Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)
19.220	Ambassadors' Special Self Help Fund (B)	12.615	Research and Technical Assistance (B)
19.801	Office of Global Women's Issues (B)	12.617	Economic Adjustment Assistance for State Governments (B)
21.011	Capital Magnet Fund (B)	12.618	Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)
21.012	Native Initiatives (B)	14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
21.020	Community Development Financial Institutions Program (B)	14.252	Section 4 Capacity Building for Community Development and Affordable Housing (B)
21.024	Community Development Financial Institutions Rapid Response Program (CDFI RRP) (B)	14.259	Community Compass Technical Assistance and Capacity Building (B)
21.029	Coronavirus Capital Projects Fund (A)	14.261	Homeless Management Information Systems Technical Assistance (B)
23.002	Appalachian Area Development (B)	14.265	Rural Capacity Building for Community Development and Affordable Housing Grants (B)
32.002	UNIVERSAL SERVICE FUND - HIGH COST (C)	14.537	Eviction Protection Grant Program (B)
32.003	UNIVERSAL SERVICE FUND - LIFELINE (C)	14.901	Healthy Homes Demonstration Grants (B)
32.004	UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)	14.920	Lead Hazard Control for High Risk Areas (B)
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	16.726	Juvenile Mentoring Program (B)
66.717	Source Reduction Assistance (B)	17.701	Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program (B)
84.145	Federal Real Property Assistance Program (H)	19.019	International Programs to Combat Human Trafficking (B)
84.287	Twenty-First Century Community Learning Centers (A, B)		
85.750	IAF Assistance for Overseas Programs (B)		
90.100	Denali Commission Program (B)		

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- 19.222 Trans-Sahara Counterterrorism Partnership (TSCTP) (B)
- 19.223 South Sudan and Sudan Assistance Program (B)
- 19.345 International Programs to Support Democracy, Human Rights and Labor (B)
- 19.666 EUR/ACE National Endowment for Democracy Small Grants (B)
- 19.705 Trans-National Crime (B)
- 19.878 EUR-Other (B)
- 21.012 Native Initiatives (B)
- 21.020 Community Development Financial Institutions Program (B)
- 21.024 Community Development Financial Institutions Rapid Response Program (CDFI RRP) (B)
- 23.002 Appalachian Area Development (B)
- 23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)
- 44.002 Community Development Revolving Loan Fund Program for Credit Unions (B, C, E)
- 66.032 State Indoor Radon Grants (A, B)
- 66.708 Pollution Prevention Grants Program (B)
- 66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
- 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
- 66.817 State and Tribal Response Program Grants (A)
- 90.203 Delta Creative Place-Making Pilot Initiative (B)
- 90.204 States' Economic Development Assistance Program (B)
- 91.005 Priority Grant Competition (B)
- 93.569 Community Services Block Grant (A, B, M)
- 93.631 Developmental Disabilities Projects of National Significance (B)
- 93.978 Sexually Transmitted Diseases (STD) Provider Education Grants (B)
- 94.003 State Commissions (B)
- 94.008 Commission Investment Fund (B)
- 94.009 Training and Technical Assistance (B, L, M)
- 94.013 Volunteers in Service to America (J)
- 94.021 Volunteer Generation Fund (B)
- 94.027 AmeriCorps VISTA Recruitment Support (Z)
- 98.002 Cooperative Development Program (CDP) (B)
- 98.004 Non-Governmental Organization Strengthening (NGO) (B)

CONSUMER PROTECTION

All subcategories (K through M) apply

- 38.009 Board Support and Innovation Grant (B)
- 90.199 Shared Services (B)

Complaint Investigation

- 10.163 Market Protection and Promotion (J, K, M, Z)
- 10.165 Perishable Agricultural Commodities Act (N)

Regulation, Inspection, Enforcement

- 10.163 Market Protection and Promotion (J, K, M, Z)
- 10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
- 10.477 Meat, Poultry, and Egg Products Inspection (B, J)
- 10.479 Food Safety Cooperative Agreements (B)
- 11.413 Fishery Products Inspection and Certification (J)
- 14.920 Lead Hazard Control for High Risk Areas (B)
- 16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
- 16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)
- 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)
- 20.218 Motor Carrier Safety Assistance (A)
- 20.301 Railroad Safety (B)
- 20.600 State and Community Highway Safety (A)

- 20.700 Pipeline Safety Program State Base Grant (A)
- 20.721 PHMSA Pipeline Safety Program One Call Grant (B)
- 20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)
- 20.935 State and Local Government Data Analysis Tools for Roadway Safety (B)
- 38.006 State Appraiser Agency Support Grants (B)
- 66.032 State Indoor Radon Grants (A, B)
- 81.092 Remedial Action and Waste Management (B)

Information and Educational Services

- 10.500 Cooperative Extension Service (A, B)
- 10.518 Food Animal Residue Avoidance Databank (B)
- 14.316 Housing Counseling Training Program (B)
- 20.935 State and Local Government Data Analysis Tools for Roadway Safety (B)
- 32.004 UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)
- 32.008 Emergency Broadband Benefit Program (C)
- 38.008 Training and Technical Assistance for State Appraiser Regulatory Agencies (B)
- 66.032 State Indoor Radon Grants (A, B)
- 87.002 Virginia Graeme Baker Pool and Spa Safety (B)
- 97.128 CISA Cyber Security Awareness Campaign (B)

CULTURAL AFFAIRS

All subcategories (K through L) apply

- 15.159 Cultural Resources Management (B, C)
- 15.938 Boston African-American National Historic Site (B)
- 19.021 Investing in People in The Middle East and North Africa (B)
- 19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)
- 19.025 U.S. Ambassadors Fund for Cultural Preservation (B)
- 19.040 Public Diplomacy Programs (B)
- 19.124 East Asia and Pacific Grants Program (B)
- 19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)
- 19.441 ECA – American Spaces (B)
- 19.452 International Exchange Alumni Programs (B)
- 19.501 Public Diplomacy Programs for Afghanistan and Pakistan (B)
- 19.900 AEECA/ESF PD Programs (B)
- 45.301 Museums for America (B, C)
- 45.308 Native American/Native Hawaiian Museum Services Program (B, C)
- 45.309 Museum Grants for African American History and Culture (B, C)
- 45.310 Grants to States (A)
- 45.311 Native American and Native Hawaiian Library Services (B, C)
- 45.312 National Leadership Grants (B, C)
- 45.313 Laura Bush 21st Century Librarian Program (B, C)
- 90.199 Shared Services (B)

Promotion of the Arts

- 15.014 Supporting the Lower Mississippi Delta Initiative (B)
- 19.036 Cultural Antiquities Task Force (B)
- 45.024 Promotion of the Arts Grants to Organizations and Individuals (B)
- 45.025 Promotion of the Arts Partnership Agreements (B, K)
- 45.201 Arts and Artifacts Indemnity (G)
- 84.351 Arts in Education (B)
- 85.750 IAF Assistance for Overseas Programs (B)
- 90.300 Japan-U.S. Friendship Commission Grants (B)

Promotion of the Humanities

- 15.014 Supporting the Lower Mississippi Delta Initiative (B)
- 15.922 Native American Graves Protection and Repatriation Act (B)

FUNCTIONAL INDEX

15.955	Martin Luther King Junior National Historic Site and Preservation District (B)	17.286	Hurricanes and Wildfires of 2017 Supplemental– National Dislocated Worker Grants (B)
19.036	Cultural Antiquities Task Force (B)	19.515	Contributions to International Organizations for Overseas Assistance (B, C)
45.129	Promotion of the Humanities Federal/State Partnership (A, B)	20.114	Aviation Manufacturing Jobs Protection (AMJP) Program (C)
45.130	Promotion of the Humanities Challenge Grants (B)	20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
45.149	Promotion of the Humanities Division of Preservation and Access (B)	21.018	Coronavirus Relief - Pandemic Relief for Aviation Workers (C, Z)
45.160	Promotion of the Humanities Fellowships and Stipends (B)	21.019	Coronavirus Relief Fund (C)
45.161	Promotion of the Humanities Research (B)	21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)
45.162	Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)	44.002	Community Development Revolving Loan Fund Program for Credit Unions (B, C, E)
45.163	Promotion of the Humanities Professional Development (B)	59.008	Disaster Assistance Loans (E)
45.164	Promotion of the Humanities Public Programs (B)	84.040	Impact Aid Facilities Maintenance (B)
45.169	Promotion of the Humanities Office of Digital Humanities (B)	84.041	Impact Aid (A, B)
45.201	Arts and Artifacts Indemnity (G)	85.750	IAF Assistance for Overseas Programs (B)
90.300	Japan-U.S. Friendship Commission Grants (B)	93.356	Head Start Disaster Recovery (B)
93.340	Native American Community Research, Demonstration, and Pilot Projects (B)	93.489	Child Care Disaster Relief (A)

DISASTER PREVENTION AND RELIEF

All subcategories (K through N) apply

10.511	Smith-Lever Funding (Various Programs) (A, B)	97.022	Flood Insurance (G)
14.862	Indian Community Development Block Grant Program (B)	97.023	Community Assistance Program State Support Services Element (CAP-SSSE) (B)
15.674	National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L, M)	97.025	National Urban Search and Rescue (US&R) Response System (B)
19.124	East Asia and Pacific Grants Program (B)	97.030	Community Disaster Loans (E)
20.723	PHMSA Pipeline Safety Research and Development “Other Transaction Agreements” (B)	97.032	Crisis Counseling (B)
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	97.033	Disaster Legal Services (C, J)
66.475	Gulf of Mexico Program (B)	97.034	Disaster Unemployment Assistance (B, C)
66.483	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)	97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
90.199	Shared Services (B)	97.047	BRIC: Building Resilient Infrastructure and Communities (B)
97.088	Disaster Assistance Projects (B)	97.048	Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B, C)
		97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
		97.107	National Incident Management System (NIMS) (B)
		97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)

Disaster Relief

10.054	Emergency Conservation Program (C, D)
10.056	Farm Storage Facility Loans (E)
10.089	Livestock Forage Disaster Program (C)
10.129	Wildfires and Hurricanes Indemnity Program Plus (D)
10.130	Coronavirus Food Assistance Program 1 (D)
10.132	Coronavirus Food Assistance Program 2 (D)
10.133	Quality Loss Adjustment Program (D)
10.136	American Rescue Plan Act of 2021 Loan Payment (C)
10.137	Pandemic Assistance for Timber Harvesters and Haulers (PATHH) Program (D)
10.138	Pandemic Livestock Indemnity Program (D)
10.145	Pandemic Assistance Block Grant (A)
10.404	Emergency Loans (E)
10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
10.451	Noninsured Crop Disaster Assistance Program (D)
10.578	WIC Grants To States (WGS) (A, B)
10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
11.022	Bipartisan Budget Act of 2018 (B)
11.477	Fisheries Disaster Relief (B)
11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
14.119	Mortgage Insurance Homes for Disaster Victims (F, H)
14.139	Mortgage Insurance Rental Housing in Urban Renewal Areas (F)
14.269	Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)
15.677	Hurricane Sandy Disaster Relief Activities-FWS (B)

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14.218	Community Development Block Grants/Entitlement Grants (A, B)
16.034	Coronavirus Emergency Supplemental Funding Program (A)
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)
20.600	State and Community Highway Safety (A)
20.935	State and Local Government Data Analysis Tools for Roadway Safety (B)
21.019	Coronavirus Relief Fund (C)
21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)
32.006	COVID-19 Telehealth Program (C)
93.081	ASPR Science Preparedness and Response Grants (B)
93.228	Indian Health Service, Health Management Development Program (B)
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)
93.360	Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)
93.391	Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (B)
93.461	HRSA COVID-19 Claims Reimbursement for the Uninsured Program and the COVID-19 Coverage Assistance Fund (C)
93.498	Provider Relief Fund (C)
93.697	COVID-19 Testing and Mitigation for Rural Health Clinics (C)
93.882	High Impact Pilot Awards (B)
93.883	Standards Exploration Award (B)
97.027	Emergency Management Institute (EMI) Independent Study Program (M)

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97.028 Emergency Management Institute (EMI) Resident Educational Program (M)

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10.054 Emergency Conservation Program (C, D)
10.304 Homeland Security Agricultural (B)
12.116 Department of Defense Appropriation Act of 2003 (J)
12.351 Scientific Research - Combating Weapons of Mass Destruction (B)
12.401 National Guard Military Operations and Maintenance (O&M) Projects (B, Z)
12.404 National Guard ChalleNGe Program (A)
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15.065 Safety of Dams on Indian Lands (C)
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84.041 Impact Aid (A, B)
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97.008 Non-Profit Security Program (A, B)
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)
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All subcategories (A through W) apply

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93.288 National Health Service Corps Scholarship Program (C)
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 84.246 Rehabilitation Short-Term Training (B)
 84.250 American Indian Vocational Rehabilitation Services (B)

84.263 Innovative Rehabilitation Training (B)
 84.268 Federal Direct Student Loans (E)
 84.323 Special Education - State Personnel Development (B)
 84.421 Disability Innovation Fund (DIF) (B)
 85.750 IAF Assistance for Overseas Programs (B)
 93.569 Community Services Block Grant (A, B, M)

EMPLOYMENT, LABOR, AND TRAINING

All subcategories (K through U) apply

11.557 Broadband Technology Opportunities Program (BTOP) (B)
 17.007 International Labor Programs (B)
 17.720 Disability Employment Policy Development (B)
 90.199 Shared Services (B)
 94.026 National Service and Civic Engagement Research Competition (B)

Assistance and Services for the Unemployed

15.108 Indian Employment Assistance (C)
 17.207 Employment Service/Wagner-Peyser Funded Activities (A, B)
 17.225 Unemployment Insurance (A, D)
 17.245 Trade Adjustment Assistance (A)
 17.258 WIOA Adult Program (A)
 17.259 WIOA Youth Activities (A)
 17.261 WIOA Pilots, Demonstrations, and Research Projects (B)
 17.264 National Farmworker Jobs Program (A)
 17.277 WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)
 17.278 WIOA Dislocated Worker Formula Grants (A)
 17.280 WIOA Dislocated Worker National Reserve Demonstration Grants (B)
 17.285 Apprenticeship USA Grants (B)
 17.310 Energy Employees Occupational Illness Compensation (D)
 21.017 Social Impact Partnerships to Pay for Results Act (SIPRA) (B)
 32.003 UNIVERSAL SERVICE FUND - LIFELINE (C)
 57.001 Social Insurance for Railroad Workers (D, Z)
 64.125 Vocational and Educational Counseling for Servicemembers and Veterans (C, K)
 85.750 IAF Assistance for Overseas Programs (B)
 93.569 Community Services Block Grant (A, B, M)
 93.612 Native American Programs (B)
 94.013 Volunteers in Service to America (J)
 94.014 Martin Luther King Jr Day of Service Grants (B)
 96.008 Social Security - Work Incentives Planning and Assistance Program (B, Z)
 96.009 Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)

Assistance to State and Local Governments

10.537 Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (B)
 11.300 Investments for Public Works and Economic Development Facilities (B)
 12.400 Military Construction, National Guard (B)
 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
 17.201 Registered Apprenticeship (B, K, M, Z)
 17.261 WIOA Pilots, Demonstrations, and Research Projects (B)
 17.271 Work Opportunity Tax Credit Program (WOTC) (A)
 17.280 WIOA Dislocated Worker National Reserve Demonstration Grants (B)
 17.281 WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)
 17.285 Apprenticeship USA Grants (B)
 19.878 EUR-Other (B)
 32.003 UNIVERSAL SERVICE FUND - LIFELINE (C)

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32.004	UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)	14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
64.053	Payments to States for Programs to Promote the Hiring and Retention of Nurses at State Veterans Homes (B)	14.895	Jobs-Plus Pilot Initiative (B)
66.518	State Senior Environmental Employment Program (B)	14.920	Lead Hazard Control for High Risk Areas (B)
66.716	Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)	15.060	Indian Vocational Training United Tribes Technical College (D, M)
84.048	Career and Technical Education -- Basic Grants to States (A)	15.061	Indian Job Placement United Sioux Tribes Development Corporation (D, K)
93.569	Community Services Block Grant (A, B, M)	15.108	Indian Employment Assistance (C)
93.570	Community Services Block Grant Discretionary Awards (B)	15.144	Indian Child Welfare Act Title II Grants (B)
Bonding and Certification			
17.150	Employee Benefits Security Administration (L, Z)	15.243	Youth Conservation Opportunities on Public Lands (B)
17.272	Permanent Labor Certification for Foreign Workers (J, Z)	15.682	Experienced Services (B)
17.273	Temporary Labor Certification for Foreign Workers (A, J, O)	15.876	Experienced Services (B)
Equal Employment Opportunity			
15.108	Indian Employment Assistance (C)	16.004	Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M, Z)
15.144	Indian Child Welfare Act Title II Grants (B)	16.028	Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)
17.285	Apprenticeship USA Grants (B)	16.601	Corrections Training and Staff Development (B, J, L, M)
17.700	Women's Bureau (K, Z)	17.201	Registered Apprenticeship (B, K, M, Z)
19.345	International Programs to Support Democracy, Human Rights and Labor (B)	17.207	Employment Service/Wagner-Peyser Funded Activities (A, B)
20.821	Women on the Water (WOW) (B)	17.235	Senior Community Service Employment Program (A, B)
30.001	Employment Discrimination Title VII of the Civil Rights Act of 1964 (K, N, O)	17.245	Trade Adjustment Assistance (A)
30.005	Employment Discrimination Private Bar Program (J)	17.258	WIOA Adult Program (A)
30.008	Employment Discrimination Age Discrimination in Employment (K, N, O)	17.259	WIOA Youth Activities (A)
30.010	Employment Discrimination Equal Pay Act (K, N, O)	17.261	WIOA Pilots, Demonstrations, and Research Projects (B)
30.011	Employment Discrimination Title I of The Americans with Disabilities Act (K, N, O)	17.264	National Farmworker Jobs Program (A)
30.013	Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K, N, O, Z)	17.265	Native American Employment and Training (A)
32.003	UNIVERSAL SERVICE FUND - LIFELINE (C)	17.268	H-1B Job Training Grants (B)
32.004	UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)	17.270	Reentry Employment Opportunities (B)
Facilities, Planning, Construction, and Equipment			
10.712	Great American Outdoors Act Deferred Maintenance Program (C)	17.274	YouthBuild (B)
11.300	Investments for Public Works and Economic Development Facilities (B)	17.277	WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)
12.401	National Guard Military Operations and Maintenance (O&M) Projects (B, Z)	17.278	WIOA Dislocated Worker Formula Grants (A)
14.218	Community Development Block Grants/Entitlement Grants (A, B)	17.280	WIOA Dislocated Worker National Reserve Demonstration Grants (B)
15.925	National Maritime Heritage Grants (B)	17.281	WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)
21.029	Coronavirus Capital Projects Fund (A)	17.283	Workforce Innovation Fund (B)
93.612	Native American Programs (B)	17.285	Apprenticeship USA Grants (B)
93.630	Developmental Disabilities Basic Support and Advocacy Grants (A)	17.286	Hurricanes and Wildfires of 2017 Supplemental- National Dislocated Worker Grants (B)
Federal Employment			
19.013	Thomas R. Pickering Foreign Affairs Fellowship Program (B, Z)	17.502	Occupational Safety and Health Susan Harwood Training Grants (B, M)
Job Training, Employment			
10.532	School Nutrition Training Grant for Allied Professional Organizations (B)	17.701	Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program (B)
10.699	Partnership Agreements (C)	17.801	Jobs for Veterans State Grants (A)
10.755	Rural Innovation Stronger Economy (B)	17.805	Homeless Veterans' Reintegration Program (B)
10.768	Business and Industry Loans (F)	17.807	Transition Assistance Program (J)
10.872	Healthy Food Financing Initiative (B)	19.020	Charles B. Rangel International Affairs Program (B, Z)
10.874	Delta Health Care Services Grant Program (B)	20.215	Highway Training and Education (B, M)
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)	20.806	State Maritime Schools (B, C, I, M)
11.300	Investments for Public Works and Economic Development Facilities (B)	20.807	U.S. Merchant Marine Academy (M)
11.303	Economic Development Technical Assistance (B)	20.821	Women on the Water (WOW) (B)
14.218	Community Development Block Grants/Entitlement Grants (A, B)	21.017	Social Impact Partnerships to Pay for Results Act (SIPPRA) (B)
		21.019	Coronavirus Relief Fund (C)
		21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)
		21.029	Coronavirus Capital Projects Fund (A)
		32.003	UNIVERSAL SERVICE FUND - LIFELINE (C)
		32.004	UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)
		59.066	Transition Assistance - Entrepreneurship Track (Boots to Business) (B, K)
		64.052	Veterans Employment Pay for Success Program (B)
		64.116	Vocational Rehabilitation for Disabled Veterans (C, D, E)
		66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)
		66.508	Senior Environmental Employment Program (B)

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66.716	Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)	11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)
81.123	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)	11.303	Economic Development Technical Assistance (B)
84.002	Adult Education - Basic Grants to States (A)	11.312	Research and Evaluation Program (B)
84.101	Career and Technical Education - Grants to Native Americans and Alaska Natives (B)	12.404	National Guard ChalleNGe Program (A)
84.129	Rehabilitation Long-Term Training (B)	14.252	Section 4 Capacity Building for Community Development and Affordable Housing (B)
84.263	Innovative Rehabilitation Training (B)	14.265	Rural Capacity Building for Community Development and Affordable Housing Grants (B)
85.750	IAF Assistance for Overseas Programs (B)	16.601	Corrections Training and Staff Development (B, J, L, M)
93.093	Health Profession Opportunity Grants (B)	16.603	Corrections Technical Assistance/Clearinghouse (B, J, L)
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	17.258	WIOA Adult Program (A)
93.569	Community Services Block Grant (A, B, M)	17.259	WIOA Youth Activities (A)
93.612	Native American Programs (B)	17.261	WIOA Pilots, Demonstrations, and Research Projects (B)
93.631	Developmental Disabilities Projects of National Significance (B)	17.264	National Farmworker Jobs Program (A)
93.648	Child Welfare Research Training or Demonstration (B)	17.265	Native American Employment and Training (A)
94.013	Volunteers in Service to America (J)	17.277	WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)
94.019	Social Innovation Fund (B, C)	17.278	WIOA Dislocated Worker Formula Grants (A)
96.001	Social Security Disability Insurance (C, D)	17.280	WIOA Dislocated Worker National Reserve Demonstration Grants (B)
Labor Management Services			
10.520	Agriculture Risk Management Education Partnerships Competitive Grants Program (B)	17.281	WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)
17.150	Employee Benefits Security Administration (L, Z)	17.285	Apprenticeship USA Grants (B)
17.265	Native American Employment and Training (A)	17.701	Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program (B)
17.309	Labor Organization Reports (K, L, N, Z)	19.345	International Programs to Support Democracy, Human Rights and Labor (B)
34.002	Labor Management Cooperation (B)	32.004	UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)
93.882	High Impact Pilot Awards (B)	84.002	Adult Education - Basic Grants to States (A)
93.883	Standards Exploration Award (B)	84.051	Career and Technical Education -- National Programs (B)
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	84.246	Rehabilitation Short-Term Training (B)
93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)	84.263	Innovative Rehabilitation Training (B)
Planning, Research, and Demonstration			
10.872	Healthy Food Financing Initiative (B)	85.750	IAF Assistance for Overseas Programs (B)
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)	93.612	Native American Programs (B)
11.307	Economic Adjustment Assistance (B)	93.630	Developmental Disabilities Basic Support and Advocacy Grants (A)
11.312	Research and Evaluation Program (B)	93.882	High Impact Pilot Awards (B)
12.007	Military Health Services Research (MHSR) (B)	93.883	Standards Exploration Award (B)
15.108	Indian Employment Assistance (C)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
16.601	Corrections Training and Staff Development (B, J, L, M)	93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)
17.235	Senior Community Service Employment Program (A, B)	Statistical	
17.283	Workforce Innovation Fund (B)	17.002	Labor Force Statistics (B, L, Z)
17.285	Apprenticeship USA Grants (B)	17.003	Prices and Cost of Living Data (L, Z)
17.401	International Labor Programs (B)	17.004	Productivity and Technology Data (L, Z)
17.701	Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program (B)	93.882	High Impact Pilot Awards (B)
19.121	Conflict and Stabilization Operations (B)	93.883	Standards Exploration Award (B)
20.530	Public Transportation Innovation (B, C, L, M)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
20.531	Technical Assistance and Workforce Development (B, C, L, M)	93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)
32.004	UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)	ENERGY	
84.051	Career and Technical Education -- National Programs (B)	<hr/>	
93.340	Native American Community Research, Demonstration, and Pilot Projects (B)	All subcategories (K through P) apply	
93.882	High Impact Pilot Awards (B)	10.379	Rural Energy Pilot Program Grant (B)
93.883	Standards Exploration Award (B)	10.867	Bioenergy Program for Advanced Biofuels (B, D)
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	15.148	Tribal Energy Development Capacity Grants (C)
93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)	19.124	East Asia and Pacific Grants Program (B)
Program Development			
		19.750	Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (B)
		66.039	Diesel Emission Reduction Act (DERA) National Grants (B)
		66.040	Diesel Emissions Reduction Act (DERA) State Grants (A)

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81.010	Office of Technology Transitions (OTT)-Technology Deployment, Demonstration and Commercialization (B)	10.250	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B, L)
90.199	Shared Services (B)	10.290	Agricultural Market and Economic Research (B)
Conservation			
10.087	Biomass Crop Assistance Program (C, D)	10.312	Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)
10.312	Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)	10.320	Sun Grant Program (B)
10.500	Cooperative Extension Service (A, B)	10.865	Biorefinery Assistance (C, F)
10.754	Higher Blends Infrastructure Incentive Program (A)	14.506	General Research and Technology Activity (B, J)
10.859	Assistance to High Energy Cost Rural Communities (B)	15.408	Bureau of Ocean Energy Management Renewable Energy (B)
10.868	Rural Energy for America Program (B, F)	15.819	Energy Cooperatives to Support the National Energy Resources Data System (B)
15.422	Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)	20.529	Bus Testing (B)
15.424	Marine Minerals Activities (B)	20.530	Public Transportation Innovation (B, C, L, M)
15.649	Service Training and Technical Assistance (Generic Training) (B)	20.531	Technical Assistance and Workforce Development (B, C, L, M)
15.656	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)	20.723	PHMSA Pipeline Safety Research and Development "Other Transaction Agreements" (B)
19.017	Environmental and Scientific Partnerships and Programs (B)	20.724	Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)
81.041	State Energy Program (A, L)	23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)
81.042	Weatherization Assistance for Low-Income Persons (A)	81.008	Cybersecurity, Energy Security & Emergency Response (CESER) (B)
81.057	University Coal Research (B)	81.049	Office of Science Financial Assistance Program (B)
81.065	Nuclear Legacy Cleanup Program (B, C)	81.057	University Coal Research (B)
81.086	Conservation Research and Development (B)	81.065	Nuclear Legacy Cleanup Program (B, C)
81.087	Renewable Energy Research and Development (B)	81.086	Conservation Research and Development (B)
81.128	Energy Efficiency and Conservation Block Grant Program (EECBG) (A, B)	81.087	Renewable Energy Research and Development (B)
84.002	Adult Education - Basic Grants to States (A)	81.089	Fossil Energy Research and Development (B)
84.040	Impact Aid Facilities Maintenance (B)	81.104	Environmental Remediation and Waste Processing and Disposal (B)
85.750	IAF Assistance for Overseas Programs (B)	81.105	National Industrial Competitiveness through Energy, Environment, and Economics (B)
93.568	Low-Income Home Energy Assistance (A, M)	81.108	Epidemiology and Other Health Studies Financial Assistance Program (B)
93.612	Native American Programs (B)	81.113	Defense Nuclear Nonproliferation Research (B)
Education and Training			
15.649	Service Training and Technical Assistance (Generic Training) (B)	81.119	State Energy Program Special Projects (B)
17.285	Apprenticeship USA Grants (B)	81.121	Nuclear Energy Research, Development and Demonstration (B)
19.027	Energy Governance and Reform Programs (B)	81.122	Electricity Research, Development and Analysis (B)
81.104	Environmental Remediation and Waste Processing and Disposal (B)	81.131	Expand and Extend Clean Coal Power Initiative (B)
81.106	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)	81.135	Advanced Research Projects Agency - Energy (B)
81.108	Epidemiology and Other Health Studies Financial Assistance Program (B)	Specialized Technical Services	
81.113	Defense Nuclear Nonproliferation Research (B)	14.901	Healthy Homes Demonstration Grants (B)
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)	19.027	Energy Governance and Reform Programs (B)
81.250	Energy Policy and Systems Analysis (B)	81.008	Cybersecurity, Energy Security & Emergency Response (CESER) (B)
Facilities and Equipment			
10.117	Biofuel Infrastructure Partnership (B)	81.041	State Energy Program (A, L)
10.708	Community Wood Energy and Wood Innovation Program (B)	81.122	Electricity Research, Development and Analysis (B)
10.754	Higher Blends Infrastructure Incentive Program (A)	81.126	Federal Loan Guarantees for Innovative Energy Technologies (E, F)
10.868	Rural Energy for America Program (B, F)	97.070	Map Modernization Management Support (B, Z)
64.114	Veterans Housing Guaranteed and Insured Loans (F)	ENVIRONMENTAL QUALITY	
81.108	Epidemiology and Other Health Studies Financial Assistance Program (B)	<hr/>	
81.113	Defense Nuclear Nonproliferation Research (B)	Air Pollution Control	
81.119	State Energy Program Special Projects (B)	15.236	Environmental Quality and Protection (B)
General Information Services			
81.036	Inventions and Innovations (B, K, L)	15.252	Abandoned Mine Land Reclamation (AMLR) (A, B)
81.119	State Energy Program Special Projects (B)	20.817	Air Emissions and Energy Initiative (B)
81.138	State Heating Oil and Propane Program (B, Z)	43.002	Aeronautics (B, L)
Research and Development			
		66.001	Air Pollution Control Program Support (A, B)
		66.032	State Indoor Radon Grants (A, B)
		66.033	Ozone Transport Commission (B)
		66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)
		66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)

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66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)	87.052	Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (B)
66.039	Diesel Emission Reduction Act (DERA) National Grants (B)	90.199	Shared Services (B)
66.040	Diesel Emissions Reduction Act (DERA) State Grants (A)		
66.042	Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	Pesticides Control	
66.203	Environmental Finance Center Grants (B)	10.025	Plant and Animal Disease, Pest Control, and Animal Care (B, Z)
66.509	Science To Achieve Results (STAR) Research Program (B)	10.053	Dairy Indemnity Payment Program (D)
66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	12.008	Dredged Material Containment Area (B)
66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)	12.355	Pest Management and Vector Control Research (B)
66.514	Science To Achieve Results (STAR) Fellowship Program (B)	15.230	Invasive and Noxious Plant Management (B, M)
66.516	P3 Award: National Student Design Competition for Sustainability (B)	66.203	Environmental Finance Center Grants (B)
66.517	Regional Applied Research Efforts (RARE) (B)	66.509	Science To Achieve Results (STAR) Research Program (B)
66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)	66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)
66.956	Targeted Airshed Grant Program (B)	66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)
	All subcategories (K through P) apply	66.514	Science To Achieve Results (STAR) Fellowship Program (B)
15.041	Environmental Management Indian (C)	66.516	P3 Award: National Student Design Competition for Sustainability (B)
15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B, C)	66.517	Regional Applied Research Efforts (RARE) (B)
19.017	Environmental and Scientific Partnerships and Programs (B)	66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)
19.087	International Fisheries Commissions (A)	66.700	Consolidated Pesticide Enforcement Cooperative Agreements (A, B)
19.124	East Asia and Pacific Grants Program (B)	66.701	Toxic Substances Compliance Monitoring Cooperative Agreements (A, B)
19.750	Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (B)	66.707	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (A)
66.110	Healthy Communities Grant Program (B)	66.714	Pesticide Environmental Stewardship Program (PESP) Grants (B)
66.202	Congressionally Mandated Projects (B)	66.716	Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)
66.204	Multipurpose Grants to States and Tribes (A)		
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	Radiation Control	
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	66.032	State Indoor Radon Grants (A, B)
66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	81.005	Environmental Monitoring, Independent Research, Technical Analysis (B)
66.312	State Environmental Justice Cooperative Agreement Program (B)	81.139	Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (B)
66.313	International Compliance and Enforcement Projects (B)	97.106	Securing the Cities Program (B, I, L)
66.473	Direct Implementation Tribal Cooperative Agreements (B)		
66.475	Gulf of Mexico Program (B)	Research, Education, Training	
66.604	Environmental Justice Small Grant Program (B)	10.207	Animal Health and Disease Research (A)
66.605	Performance Partnership Grants (A, B)	10.290	Agricultural Market and Economic Research (B)
66.609	Protection of Children from Environmental Health Risks (B)	10.320	Sun Grant Program (B)
66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)	10.329	Crop Protection and Pest Management Competitive Grants Program (B)
66.611	Environmental Policy and Innovation Grants (B)	10.500	Cooperative Extension Service (A, B)
66.717	Source Reduction Assistance (B)	10.518	Food Animal Residue Avoidance Databank (B)
66.809	Superfund State and Indian Tribe Core Program Cooperative Agreements (B)	11.008	NOAA Mission-Related Education Awards (B)
66.812	Hazardous Waste Management Grant Program for Tribes (B)	11.015	Broad Agency Announcement (B)
66.815	Brownfields Job Training Cooperative Agreements (B)	11.432	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)
66.818	Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Cooperative Agreements (B)	11.451	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B, Z)
66.926	Indian Environmental General Assistance Program (GAP) (B)	11.460	Special Oceanic and Atmospheric Projects (B)
66.931	International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)	11.473	Office for Coastal Management (B)
66.961	Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core) (B)	11.482	Coral Reef Conservation Program (B, Z)
66.962	Columbia River Basin Restoration (CRBR) Program (B)	11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
66.963	Healthy Environmental Living Program (HELP) (B)	11.999	Marine Debris Program (B, Z)
81.092	Remedial Action and Waste Management (B)	12.007	Military Health Services Research (MHSR) (B)
81.136	Long-Term Surveillance and Maintenance (B)	14.920	Lead Hazard Control for High Risk Areas (B)
87.051	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (B)	15.428	Marine Gas Hydrate Research Activities (B)
		15.506	Water Desalination Research and Development (B)
		15.548	Reclamation Rural Water Supply (B)
		15.649	Service Training and Technical Assistance (Generic Training) (B)
		20.530	Public Transportation Innovation (B, C, L, M)

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20.531	Technical Assistance and Workforce Development (B, C, L, M)	66.516	P3 Award: National Student Design Competition for Sustainability (B)
20.817	Air Emissions and Energy Initiative (B)	66.517	Regional Applied Research Efforts (RARE) (B)
20.818	Great Ships Initiative (B, Z)	66.801	Hazardous Waste Management State Program Support (A)
21.015	Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)	66.802	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	66.804	Underground Storage Tank (UST) Prevention, Detection, and Compliance Program (A)
66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)	66.805	Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)	66.806	Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.129	Southeast New England Coastal Watershed Restoration Program (B)	66.808	Solid Waste Management Assistance Grants (B)
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	66.816	Headquarters and Regional Underground Storage Tanks Program (B)
66.437	Long Island Sound Program (B)	81.139	Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (B)
66.461	Regional Wetland Program Development Grants (B)		
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)		Water Pollution Control
66.466	Chesapeake Bay Program (B)	10.690	Lake Tahoe Erosion Control Grant Program (B)
66.469	Great Lakes Program (B, I, L)	10.759	Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (B)
66.481	Lake Champlain Basin Program (B)	10.763	Emergency Community Water Assistance Grants (B)
66.509	Science To Achieve Results (STAR) Research Program (B)	10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	10.933	Wetland Mitigation Banking Program (B, Z)
66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)	11.419	Coastal Zone Management Administration Awards (A, B)
66.514	Science To Achieve Results (STAR) Fellowship Program (B)	11.429	Marine Sanctuary Program (B)
66.516	P3 Award: National Student Design Competition for Sustainability (B)	11.451	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B, Z)
66.517	Regional Applied Research Efforts (RARE) (B)	11.999	Marine Debris Program (B, Z)
66.521	Innovative Water Technology Grant Program (B)	15.236	Environmental Quality and Protection (B)
66.608	Environmental Information Exchange Network Grant Program and Related Assistance (B)	15.252	Abandoned Mine Land Reclamation (AMLR) (A, B)
66.707	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (A)	15.253	Not-for-Profit AMD Reclamation (B)
66.714	Pesticide Environmental Stewardship Program (PESP) Grants (B)	15.254	OSM/VISTA AmeriCorps (B, C)
66.813	Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	15.506	Water Desalination Research and Development (B)
66.950	National Environmental Education Training Program (B)	15.522	Mni Wiconi Rural Water Supply Project (B)
81.102	Student Driven Research and Long Term Monitoring of Selected Populations in the Valley and Ridge Eco-region (B)	15.525	Rocky Boy's/North Central Montana Regional Water System (B)
85.750	IAF Assistance for Overseas Programs (B)	15.531	Yakima River Basin Water Enhancement (YRBWE) (B)
93.113	Environmental Health (B)	15.565	Implementation of the Taos Pueblo Indian water rights settlement (B)
93.142	NIEHS Hazardous Waste Worker Health and Safety Training (B)	15.630	Coastal (B)
93.143	NIEHS Superfund Hazardous Substances_Basic Research and Education (B)	20.817	Air Emissions and Energy Initiative (B)
93.581	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)	20.819	Ballast Water Treatment Technologies (B, Z)
		21.015	Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)
		66.121	Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)
		66.123	Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)
		66.125	Lake Pontchartrain Basin Restoration Program (PRP) (B)
		66.126	The San Francisco Bay Water Quality Improvement Fund (B)
		66.129	Southeast New England Coastal Watershed Restoration Program (B)
		66.130	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)
		66.203	Environmental Finance Center Grants (B)
		66.418	Construction Grants for Wastewater Treatment Works (B)
		66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)
		66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)
		66.433	State Underground Water Source Protection (A)
		66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)
		66.437	Long Island Sound Program (B)
		66.440	Urban Waters Small Grants (B)
		66.441	Healthy Watersheds Consortium Grant Program (B)

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66.442	Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A) (A)	10.131	Seafood Trade Relief Program (STRP) (C)
66.443	Reducing Lead in Drinking Water (SDWA 1459B) (B)	10.514	Expanded Food and Nutrition Education Program (A, B)
66.444	Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d)) (A)	10.522	Food and Agriculture Service Learning Program (B)
66.445	Innovative Water Infrastructure Workforce Development Program (SDWA 1459E) (B)	10.528	USDA WIC Telehealth Evaluation Collaborative (B)
66.446	Technical Assistance for Treatment Works (Clean Water Act [CWA] Section 104(b)(8)) (B)	10.532	School Nutrition Training Grant for Allied Professional Organizations (B)
66.447	Sewer Overflow and Stormwater Reuse Municipal Grant Program (A)	10.533	SNAP-Ed Toolkit (B)
66.448	Drinking Water System Infrastructure Resilience and Sustainability Program – SDWA 1459A(l) (B)	10.534	CACFP Meal Service Training Grants (A)
66.454	Water Quality Management Planning (A)	10.535	SNAP Fraud Framework Implementation Grant (B)
66.456	National Estuary Program (B)	10.540	Participant Research Innovation Laboratory for Enhancing WIC Services (B, Z)
66.458	Capitalization Grants for Clean Water State Revolving Funds (A)	10.541	Child Nutrition-Technology Innovation Grant (B)
66.460	Nonpoint Source Implementation Grants (A)	10.542	Pandemic EBT Food Benefits (A)
66.461	Regional Wetland Program Development Grants (B)	10.547	Professional Standards for School Nutrition Employees (B, M)
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	10.553	School Breakfast Program (A)
66.466	Chesapeake Bay Program (B)	10.555	National School Lunch Program (A)
66.472	Beach Monitoring and Notification Program Implementation Grants (A)	10.556	Special Milk Program for Children (A)
66.481	Lake Champlain Basin Program (B)	10.557	WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A, B)
66.482	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)	10.558	Child and Adult Care Food Program (A)
66.484	South Florida Geographic Initiatives Program (B)	10.559	Summer Food Service Program for Children (A)
66.509	Science To Achieve Results (STAR) Research Program (B)	10.560	State Administrative Expenses for Child Nutrition (A)
66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	10.565	Commodity Supplemental Food Program (A, H, Z)
66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)	10.572	WIC Farmers' Market Nutrition Program (FMNP) (A, B)
66.514	Science To Achieve Results (STAR) Fellowship Program (B)	10.574	Team Nutrition Grants (B)
66.516	P3 Award: National Student Design Competition for Sustainability (B)	10.575	Farm to School Grant Program (B, L, M)
66.517	Regional Applied Research Efforts (RARE) (B)	10.578	WIC Grants To States (WGS) (A, B)
66.521	Innovative Water Technology Grant Program (B)	10.579	Child Nutrition Discretionary Grants Limited Availability (B)
66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)	10.582	Fresh Fruit and Vegetable Program (B)
66.958	Water Infrastructure Finance and Innovation (WIFIA) (E)	10.585	FNS Food Safety Grants (B)
66.964	Chesapeake Bay Program Implementation, Regulatory/Accountability and Monitoring Grants (B)	10.587	National Food Service Management Institute Administration and Staffing Grant (B, Z)
81.105	National Industrial Competitiveness through Energy, Environment, and Economics (B)	10.597	School Wellness Policy Cooperative Agreement (B)
93.113	Environmental Health (B)	10.608	Food for Education (C, H)
		10.612	USDA Local and Regional Food Aid Procurement Program (B)
		10.649	Pandemic EBT Administrative Costs (Z)
		10.935	Urban Agriculture and Innovative Production (B, Z)
		85.750	IAF Assistance for Overseas Programs (B)
		93.762	A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (B)
		93.981	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)
		94.013	Volunteers in Service to America (J)
		94.014	Martin Luther King Jr Day of Service Grants (B)

FOOD AND NUTRITION

All subcategories (K through N) apply

10.534	CACFP Meal Service Training Grants (A)
10.536	CACFP Training Grants (B)
90.199	Shared Services (B)

Food Inspection

10.225	Community Food Projects (B)
10.518	Food Animal Residue Avoidance Databank (B)
11.413	Fishery Products Inspection and Certification (J)
93.053	Nutrition Services Incentive Program (A)
93.367	Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs (B, Z)
93.876	Antimicrobial Resistance Surveillance in Retail Food Specimens (B)
98.005	Institutional Capacity Building (ICB) (B)
98.007	Food for Peace Development Assistance Program (DAP) (B, H)
98.008	Food for Peace Emergency Program (EP) (B, H)

Food and Nutrition for Children

Food and Nutrition for Individual and Families

10.253	Consumer Data and Nutrition Research (B, L)
10.255	Research Innovation and Development Grants in Economic (RIDGE) (A, L)
10.331	Food Insecurity Nutrition Incentive Grants Program (B)
10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)
10.514	Expanded Food and Nutrition Education Program (A, B)
10.528	USDA WIC Telehealth Evaluation Collaborative (B)
10.529	Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project (B)
10.533	SNAP-Ed Toolkit (B)
10.535	SNAP Fraud Framework Implementation Grant (B)
10.539	CNMI Nutrition Assistance (B, C)
10.540	Participant Research Innovation Laboratory for Enhancing WIC Services (B, Z)
10.542	Pandemic EBT Food Benefits (A)
10.545	Farmers' Market Supplemental Nutrition Assistance Program Support Grants (B, Z)
10.551	Supplemental Nutrition Assistance Program (A, B, C, Z)

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10.557	WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A, B)	93.087	Enhance Safety of Children Affected by Substance Abuse (B)
10.561	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A, Z)	93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
10.565	Commodity Supplemental Food Program (A, H, Z)	93.162	National Health Service Corps Loan Repayment (C)
10.566	Nutrition Assistance For Puerto Rico (C, Z)	93.165	Grants to States for Loan Repayment (B, C)
10.567	Food Distribution Program on Indian Reservations (B, C, H)	93.243	Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)
10.568	Emergency Food Assistance Program (Administrative Costs) (A)	93.247	Advanced Nursing Education Workforce Grant Program (B)
10.569	Emergency Food Assistance Program (Food Commodities) (A)	93.336	Behavioral Risk Factor Surveillance System (B)
10.572	WIC Farmers' Market Nutrition Program (FMNP) (A, B)	93.528	National Forum for State and Territorial Chief Executives (B)
10.592	Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (B)	93.654	Indian Health Service Behavioral Health Programs (B)
10.593	Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)	93.664	Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (B)
10.594	Food Distribution Program on Indian Reservations Nutrition Education Grants (B)	93.687	Maternal Opioid Misuse Model (A)
10.596	Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (B)	93.883	Standards Exploration Award (B)
10.597	School Wellness Policy Cooperative Agreement (B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
10.649	Pandemic EBT Administrative Costs (Z)	93.908	Nurse Corps Loan Repayment Program (C)
21.019	Coronavirus Relief Fund (C)	93.910	Family and Community Violence Prevention Program (B)
21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)	93.923	Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (B, C)
85.750	IAF Assistance for Overseas Programs (B)	93.959	Block Grants for Prevention and Treatment of Substance Abuse (A)
93.045	Special Programs for the Aging, Title III, Part C, Nutrition Services (A)	93.997	Assisted Outpatient Treatment (B)
93.319	Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (B)	Alcoholism, Drug Abuse and Mental Health - Law Enforcement	
93.569	Community Services Block Grant (A, B, M)	16.001	Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J, Z)
93.762	A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (B)	16.003	Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L, Z)
93.809	National Organizations for Chronic Disease Prevention and Health Promotion (B)	16.004	Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M, Z)
94.013	Volunteers in Service to America (J)	16.033	Collaborative Mental Health and Anti-Recidivism Initiative (B)
94.014	Martin Luther King Jr Day of Service Grants (B)	16.593	Residential Substance Abuse Treatment for State Prisoners (A)
97.024	Emergency Food and Shelter National Board Program (B)	20.614	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (B)
Research		93.732	Mental and Behavioral Health Education and Training Grants (B)
10.207	Animal Health and Disease Research (A)	93.883	Standards Exploration Award (B)
10.250	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B, L)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
10.253	Consumer Data and Nutrition Research (B, L)	93.997	Assisted Outpatient Treatment (B)
10.255	Research Innovation and Development Grants in Economic (RIDGE) (A, L)	Alcoholism, Drug Abuse and Mental Health - Planning	
10.500	Cooperative Extension Service (A, B)	93.104	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
10.518	Food Animal Residue Avoidance Databank (B)	93.145	HIV-Related Training and Technical Assistance (B)
10.528	USDA WIC Telehealth Evaluation Collaborative (B)	93.162	National Health Service Corps Loan Repayment (C)
10.533	SNAP-Ed Toolkit (B)	93.242	Mental Health Research Grants (B, M)
10.540	Participant Research Innovation Laboratory for Enhancing WIC Services (B, Z)	93.243	Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)
10.577	SNAP Partnership Grant (B)	93.247	Advanced Nursing Education Workforce Grant Program (B)
10.585	FNS Food Safety Grants (B)	93.664	Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (B)
10.597	School Wellness Policy Cooperative Agreement (B)	93.687	Maternal Opioid Misuse Model (A)
10.777	Norman E. Borlaug International Agricultural Science and Technology Fellowship (B, C)	93.732	Mental and Behavioral Health Education and Training Grants (B)
10.961	Scientific Cooperation and Research (B, C)	93.883	Standards Exploration Award (B)
84.040	Impact Aid Facilities Maintenance (B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
93.103	Food and Drug Administration Research (B)	Alcoholism, Drug Abuse and Mental Health - Research	
94.026	National Service and Civic Engagement Research Competition (B)	12.340	Naval Medical Research and Development (B)
HEALTH		20.614	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (B)
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Alcoholism, Drug Abuse and Mental Health - General			
15.025	Services to Indian Children, Elderly and Families (C)	93.242	Mental Health Research Grants (B, M)
16.544	Youth Gang Prevention (A, B)	93.243	Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)
16.593	Residential Substance Abuse Treatment for State Prisoners (A)	93.273	Alcohol Research Programs (B)
93.011	National Organizations of State and Local Officials (B)	93.279	Drug Abuse and Addiction Research Programs (B, M)

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93.687	Maternal Opioid Misuse Model (A)	93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	93.285	National Institutes of Health Pediatric Research Loan Repayment Program (C)
All subcategories (A through U) apply			
10.516	Rural Health and Safety Education Competitive Grants Program (B)	93.323	Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (B)
19.029	The U.S. President's Emergency Plan for AIDS Relief Programs (B)	93.528	National Forum for State and Territorial Chief Executives (B)
19.124	East Asia and Pacific Grants Program (B)	93.686	Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B (B)
90.199	Shared Services (B)	93.733	Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (B)
93.043	Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)	93.755	Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)
93.345	Leading Edge Acceleration Projects (LEAP) in Health Information Technology (B)	93.765	PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)
93.346	Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (B)	93.787	Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (B)
93.347	Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (B)	93.788	Opioid STR (A)
93.355	Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program) (B)	93.818	Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)
93.462	Strengthening the Technical Advancement & Readiness of Public Health via Health Information Exchange Program (B)	93.834	Capacity Building Assistance (CBA) for High-Impact HIV Prevention (B)
93.691	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)	93.852	National Syndromic Surveillance Program Community of Practice (NSSP CoP) (B)
93.796	State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)	93.860	Emerging Infections Sentinel Networks (A, B)
93.882	High Impact Pilot Awards (B)	93.883	Standards Exploration Award (B)
93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
94.026	National Service and Civic Engagement Research Competition (B)	93.908	Nurse Corps Loan Repayment Program (C)
Communicable Diseases			
10.207	Animal Health and Disease Research (A)	93.910	Family and Community Violence Prevention Program (B)
10.518	Food Animal Residue Avoidance Databank (B)	93.914	HIV Emergency Relief Project Grants (B)
12.340	Naval Medical Research and Development (B)	93.917	HIV Care Formula Grants (A, B)
19.033	Global Threat Reduction (B)	93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)
21.019	Coronavirus Relief Fund (C)	93.923	Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (B, C)
21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)	93.924	Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (A, B)
32.006	COVID-19 Telehealth Program (C)	93.928	Special Projects of National Significance (B)
45.400	Peace Corps' Global Health and PEPFAR Initiative Program (B)	93.936	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B, C)
93.011	National Organizations of State and Local Officials (B)	93.939	HIV Prevention Activities Non-Governmental Organization Based (B)
93.060	Sexual Risk Avoidance Education (B)	93.940	HIV Prevention Activities Health Department Based (B)
93.068	Chronic Diseases: Research, Control, and Prevention (B)	93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)
93.079	Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)	93.943	Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)
93.084	Prevention of Disease, Disability, and Death by Infectious Diseases (B)	93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)
93.092	Affordable Care Act (ACA) Personal Responsibility Education Program (A, B)	93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)
93.098	Tribal Public Health Capacity Building and Quality Improvement (B)	93.977	Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)
93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)	93.978	Sexually Transmitted Diseases (STD) Provider Education Grants (B)
93.118	Acquired Immunodeficiency Syndrome (AIDS) Activity (B)	93.989	International Research and Research Training (B, M)
93.145	HIV-Related Training and Technical Assistance (B)	93.994	Maternal and Child Health Services Block Grant to the States (A)
93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)	Education and Training	
93.185	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)	10.514	Expanded Food and Nutrition Education Program (A, B)
93.235	Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program (A)	10.518	Food Animal Residue Avoidance Databank (B)
93.266	Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)	10.608	Food for Education (C, H)
93.268	Immunization Cooperative Agreements (B)	10.874	Delta Health Care Services Grant Program (B)
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)
		12.011	DOD HIV/AIDS Prevention Program (B)

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12.350	Department of Defense HIV/AIDS Prevention Program (B)	93.266	Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)
14.906	Healthy Homes Technical Studies Grants (B)		
16.593	Residential Substance Abuse Treatment for State Prisoners (A)	93.273	Alcohol Research Programs (B)
17.600	Mine Health and Safety Grants (B)	93.280	National Institutes of Health Extramural Loan Repayment Program (C)
17.602	Mine Health and Safety Education and Training (M, Z)		
17.603	Brookwood-Sago Grant (B)	93.288	National Health Service Corps Scholarship Program (C)
17.604	Safety and Health Grants (B)	93.301	Small Rural Hospital Improvement Grant Program (B)
19.021	Investing in People in The Middle East and North Africa (B)	93.303	Nurse Corps Scholarship (C)
21.019	Coronavirus Relief Fund (C)	93.307	Minority Health and Health Disparities Research (B)
21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)	93.311	Mobilization For Health: National Prevention Partnership Awards (B)
45.400	Peace Corps' Global Health and PEPFAR Initiative Program (B)	93.317	Emerging Infections Programs (B)
66.716	Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)	93.322	CSELS Partnership: Strengthening Public Health Laboratories (B)
84.246	Rehabilitation Short-Term Training (B)	93.342	Health Professions Student Loans, Including Primary Care Loans and Loans for Disadvantaged Students (B)
84.263	Innovative Rehabilitation Training (B)		
85.750	IAF Assistance for Overseas Programs (B)	93.359	Nurse Education, Practice Quality and Retention Grants (B)
93.008	Medical Reserve Corps Small Grant Program (B)	93.364	Nursing Student Loans (E)
93.011	National Organizations of State and Local Officials (B)	93.365	Sickle Cell Treatment Demonstration Program (B)
93.059	Training in General, Pediatric, and Public Health Dentistry (B)	93.422	National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (B)
93.060	Sexual Risk Avoidance Education (B)		
93.064	Laboratory Training, Evaluation, and Quality Assurance Programs (B)	93.500	Pregnancy Assistance Fund Program (B)
93.065	Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)	93.504	Family to Family Health Information Centers (B)
93.079	Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)	93.516	Public Health Training Centers Program (B)
93.092	Affordable Care Act (ACA) Personal Responsibility Education Program (A, B)	93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)
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		93.687	Maternal Opioid Misuse Model (A)
		93.772	Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)

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93.810	Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)	93.211	Telehealth Programs (B)
93.816	Preventing Heart Attacks and Strokes in High Need Areas (B)	93.213	Research and Training in Complementary and Integrative Health (B, M)
93.818	Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)	93.220	National Institutes of Health Intramural Loan Repayment Program (C)
93.850	Improving Epilepsy Programs, Services, and Outcomes through National Partnerships (B)	93.225	National Research Service Awards Health Services Research Training (M)
93.879	Medical Library Assistance (B)	93.226	Research on Healthcare Costs, Quality and Outcomes (B)
93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement (B)	93.228	Indian Health Service, Health Management Development Program (B)
93.913	Grants to States for Operation of State Offices of Rural Health (B)	93.232	Loan Repayment Program for General Research (C)
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)	93.233	National Center on Sleep Disorders Research (B)
93.928	Special Projects of National Significance (B)	93.240	State Capacity Building (B)
93.939	HIV Prevention Activities Non-Governmental Organization Based (B)	93.247	Advanced Nursing Education Workforce Grant Program (B)
93.940	HIV Prevention Activities Health Department Based (B)	93.280	National Institutes of Health Extramural Loan Repayment Program (C)
93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)	93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)
93.942	Research, Prevention, and Education Programs on Lyme Disease in the United States (B)	93.285	National Institutes of Health Pediatric Research Loan Repayment Program (C)
93.968	Funding in Support of the Pennsylvania Rural Health Model (B)	93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health (B)
93.974	Family Planning Service Delivery Improvement Research Grants (B)	93.300	National Center for Health Workforce Analysis (B)
93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)	93.343	Public Health Service Evaluation Funds (B)
93.991	Preventive Health and Health Services Block Grant (A, B)	93.398	Cancer Research Manpower (B)
94.013	Volunteers in Service to America (J)	93.516	Public Health Training Centers Program (B)
94.019	Social Innovation Fund (B, C)	93.765	PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)
96.008	Social Security - Work Incentives Planning and Assistance Program (B, Z)	93.837	Cardiovascular Diseases Research (B)
96.009	Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)	93.838	Lung Diseases Research (B)
		93.839	Blood Diseases and Resources Research (B)
		93.840	Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders (B)
		93.846	Arthritis, Musculoskeletal and Skin Diseases Research (B)
		93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research (B)
		93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders (B)
		93.855	Allergy and Infectious Diseases Research (B)
		93.859	Biomedical Research and Research Training (B)
		93.865	Child Health and Human Development Extramural Research (B)
		93.866	Aging Research (B)
		93.867	Vision Research (B)
		93.876	Antimicrobial Resistance Surveillance in Retail Food Specimens (B)
		93.877	Autism Collaboration, Accountability, Research, Education, and Support (B)
		93.936	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B, C)
		93.942	Research, Prevention, and Education Programs on Lyme Disease in the United States (B)
		93.943	Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)
		93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)
		93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)
		93.989	International Research and Research Training (B, M)
			Veterans Health
		12.740	Past Conflict Accounting (B)
		32.005	UNIVERSAL SERVICE FUND - RURAL HEALTH CARE (C)
		32.006	COVID-19 Telehealth Program (C)
		32.007	Connected Care Pilot Program (C)
		64.011	Veterans Dental Care (J, Z)
		64.012	Veterans Prescription Service (H, Z)
		64.013	Veterans Prosthetic Appliances (C, J)
		64.014	Veterans State Domiciliary Care (A)
Specialized Health Research and Training			
10.207	Animal Health and Disease Research (A)		
10.518	Food Animal Residue Avoidance Databank (B)		
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)		
15.616	Clean Vessel Act (B)		
16.593	Residential Substance Abuse Treatment for State Prisoners (A)		
19.019	International Programs to Combat Human Trafficking (B)		
93.007	Public Awareness Campaigns on Embryo Adoption (B)		
93.051	Alzheimer's Disease Demonstration Grants to States (B)		
93.065	Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)		
93.110	Maternal and Child Health Federal Consolidated Programs (B)		
93.113	Environmental Health (B)		
93.121	Oral Diseases and Disorders Research (B)		
93.127	Emergency Medical Services for Children (B)		
93.134	Grants to Increase Organ Donations (B)		
93.135	Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)		
93.136	Injury Prevention and Control Research and State and Community Based Programs (B)		
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93.143	NIEHS Superfund Hazardous Substances_Basic Research and Education (B)		
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93.185	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)		
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93.187	Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (C)		
93.209	Contraception and Infertility Research Loan Repayment Program (C)		

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64.024	VA Homeless Providers Grant and Per Diem Program (B)	64.106	Specially Adapted Housing for Disabled Veterans (C)
64.026	Veterans State Adult Day Health Care (A)	64.118	Veterans Housing Direct Loans for Certain Disabled Veterans (E)
64.054	Research and Development (B)		
64.127	Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (C, D)		
64.128	Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (D)		
93.107	Area Health Education Centers (B)		
93.234	Traumatic Brain Injury State Demonstration Grant Program (B)		
93.528	National Forum for State and Territorial Chief Executives (B)		
93.822	Health Careers Opportunity Program (HCOP) (B)		
93.908	Nurse Corps Loan Repayment Program (C)		
93.923	Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (B, C)		
94.012	September 11th National Day of Service and Remembrance Grants (B)		
94.013	Volunteers in Service to America (J)		

HOUSING

All subcategories (K through V) apply

14.316	Housing Counseling Training Program (B)
14.862	Indian Community Development Block Grant Program (B)
14.867	Indian Housing Block Grants (A, B)
90.199	Shared Services (B)

Construction Rehabilitation

10.405	Farm Labor Housing Loans and Grants (B, E, F)
10.410	Very Low to Moderate Income Housing Loans (E, F, G)
10.415	Rural Rental Housing Loans (B, E)
10.433	Rural Housing Preservation Grants (B)
14.103	Interest Reduction Payments Rental and Cooperative Housing for Lower Income Families (C, F)
14.108	Rehabilitation Mortgage Insurance (F)
14.122	Mortgage Insurance Homes in Urban Renewal Areas (F)
14.123	Mortgage Insurance Housing in Older, Declining Areas (F)
14.126	Mortgage Insurance Cooperative Projects (F)
14.134	Mortgage Insurance Rental Housing (F)
14.135	Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
14.138	Mortgage Insurance Rental Housing for the Elderly (F)
14.139	Mortgage Insurance Rental Housing in Urban Renewal Areas (F)
14.142	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F, H)
14.157	Supportive Housing for the Elderly (B, C)
14.181	Supportive Housing for Persons with Disabilities (B, C)
14.218	Community Development Block Grants/Entitlement Grants (A, B)
14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
14.231	Emergency Solutions Grant Program (A)
14.235	Supportive Housing Program (B, C)
14.239	Home Investment Partnerships Program (A)
14.248	Community Development Block Grants Section 108 Loan Guarantees (F)
14.272	National Disaster Resilience Competition (B)
14.275	Housing Trust Fund (A)
14.278	Veterans Housing Rehabilitation and Modification Program (B, Z)
14.850	Public and Indian Housing (A, C)
14.872	Public Housing Capital Fund (A)
14.881	Moving to Work Demonstration Program (A)
14.889	Choice Neighborhoods Implementation Grants (B)
14.913	Healthy Homes Production Program (B)
15.141	Indian Housing Assistance (B, L)
15.938	Boston African-American National Historic Site (B)

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10.411	Rural Housing Site Loans and Self Help Housing Land Development Loans (E)
10.415	Rural Rental Housing Loans (B, E)
10.448	Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)
14.126	Mortgage Insurance Cooperative Projects (F)
14.134	Mortgage Insurance Rental Housing (F)
14.135	Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
14.138	Mortgage Insurance Rental Housing for the Elderly (F)
14.139	Mortgage Insurance Rental Housing in Urban Renewal Areas (F)
14.151	Supplemental Loan Insurance Multifamily Rental Housing (F)
14.155	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)
14.157	Supportive Housing for the Elderly (B, C)
14.169	Housing Counseling Assistance Program (B)
14.191	Multifamily Housing Service Coordinators (B)
14.239	Home Investment Partnerships Program (A)
14.241	Housing Opportunities for Persons with AIDS (A, B)
14.247	Self-Help Homeownership Opportunity Program (B)
14.249	Section 8 Moderate Rehabilitation Single Room Occupancy (B)
14.275	Housing Trust Fund (A)
14.276	Youth Homelessness Demonstration Program (B, C)
14.856	Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (C)
14.902	Lead Technical Studies Grants (B)
21.023	Emergency Rental Assistance Program (C)

Experimental and Development Projects

14.181	Supportive Housing for Persons with Disabilities (B, C)
14.188	Housing Finance Agencies (HFA) Risk Sharing (F)
14.231	Emergency Solutions Grant Program (A)
14.235	Supportive Housing Program (B, C)
14.238	Shelter Plus Care (B)
14.267	Continuum of Care Program (B, C)
14.273	Pay for Success Permanent Supportive Housing Demonstration (B)
14.506	General Research and Technology Activity (B, J)
14.878	Affordable Housing Development in Main Street Rejuvenation Projects (B)
21.017	Social Impact Partnerships to Pay for Results Act (SIPRA) (B)
64.024	VA Homeless Providers Grant and Per Diem Program (B)
97.024	Emergency Food and Shelter National Board Program (B)

Home Improvement

10.405	Farm Labor Housing Loans and Grants (B, E, F)
10.410	Very Low to Moderate Income Housing Loans (E, F, G)
10.415	Rural Rental Housing Loans (B, E)
10.417	Very Low-Income Housing Repair Loans and Grants (B, E)
10.433	Rural Housing Preservation Grants (B)
14.108	Rehabilitation Mortgage Insurance (F)
14.123	Mortgage Insurance Housing in Older, Declining Areas (F)
14.126	Mortgage Insurance Cooperative Projects (F)
14.134	Mortgage Insurance Rental Housing (F)
14.135	Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
14.138	Mortgage Insurance Rental Housing for the Elderly (F)
14.139	Mortgage Insurance Rental Housing in Urban Renewal Areas (F)
14.142	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F, H)
14.151	Supplemental Loan Insurance Multifamily Rental Housing (F)

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14.157	Supportive Housing for the Elderly (B, C)	14.870	Resident Opportunity and Supportive Services - Service Coordinators (B)
14.169	Housing Counseling Assistance Program (B)	14.893	Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (M)
14.181	Supportive Housing for Persons with Disabilities (B, C)	14.899	Tribal HUD-VA Supportive Housing Program (B)
14.218	Community Development Block Grants/Entitlement Grants (A, B)	15.124	Indian Loans Economic Development (F)
14.247	Self-Help Homeownership Opportunity Program (B)	15.141	Indian Housing Assistance (B, L)
14.248	Community Development Block Grants Section 108 Loan Guarantees (F)	15.144	Indian Child Welfare Act Title II Grants (B)
14.275	Housing Trust Fund (A)	15.162	Tiwahe Housing (B)
14.316	Housing Counseling Training Program (B)	93.047	Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)
14.888	Lead-Based Paint Capital Fund Program (B)		
14.901	Healthy Homes Demonstration Grants (B)		
14.920	Lead Hazard Control for High Risk Areas (B)		
15.141	Indian Housing Assistance (B, L)		
15.144	Indian Child Welfare Act Title II Grants (B)		
64.106	Specially Adapted Housing for Disabled Veterans (C)		
64.114	Veterans Housing Guaranteed and Insured Loans (F)		
64.118	Veterans Housing Direct Loans for Certain Disabled Veterans (E)		
94.014	Martin Luther King Jr Day of Service Grants (B)		
	Homebuying, Homeownership		
10.405	Farm Labor Housing Loans and Grants (B, E, F)		
10.410	Very Low to Moderate Income Housing Loans (E, F, G)		
14.108	Rehabilitation Mortgage Insurance (F)		
14.110	Manufactured Home Loan Insurance Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F, H)		
14.117	Mortgage Insurance Homes (F)		
14.119	Mortgage Insurance Homes for Disaster Victims (F, H)		
14.123	Mortgage Insurance Housing in Older, Declining Areas (F)		
14.126	Mortgage Insurance Cooperative Projects (F)		
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14.155	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)		
14.162	Mortgage Insurance Combination and Manufactured Home Lot Loans (F, H)		
14.169	Housing Counseling Assistance Program (B)		
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14.191	Multifamily Housing Service Coordinators (B)		
14.195	Section 8 Housing Assistance Payments Program (C)		
14.198	Good Neighbor Next Door Sales Program (H)		
14.239	Home Investment Partnerships Program (A)		
14.247	Self-Help Homeownership Opportunity Program (B)		
14.248	Community Development Block Grants Section 108 Loan Guarantees (F)		
14.275	Housing Trust Fund (A)		
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14.313	Dollar Home Sales (H)		
14.316	Housing Counseling Training Program (B)		
14.865	Public and Indian Housing Indian Loan Guarantee Program (F)		
14.871	Section 8 Housing Choice Vouchers (C)		
14.873	Native Hawaiian Housing Block Grants (B)		
14.874	Loan Guarantees for Native Hawaiian Housing (F)		
14.902	Lead Technical Studies Grants (B)		
64.033	VA Supportive Services for Veteran Families Program (B)		
64.114	Veterans Housing Guaranteed and Insured Loans (F)		
64.118	Veterans Housing Direct Loans for Certain Disabled Veterans (E)		
	Indian Housing		
14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)		
14.250	Rural Housing and Economic Development (B)		
14.850	Public and Indian Housing (A, C)		
14.865	Public and Indian Housing Indian Loan Guarantee Program (F)		
14.867	Indian Housing Block Grants (A, B)		
14.869	Title VI Federal Guarantees for Financing Tribal Housing Activities (F)		
			Land Acquisition
		10.405	Farm Labor Housing Loans and Grants (B, E, F)
		10.411	Rural Housing Site Loans and Self Help Housing Land Development Loans (E)
		10.415	Rural Rental Housing Loans (B, E)
		14.218	Community Development Block Grants/Entitlement Grants (A, B)
		14.872	Public Housing Capital Fund (A)
		15.124	Indian Loans Economic Development (F)
			Multifamily
		10.438	Section 538 Rural Rental Housing Guaranteed Loans (F)
		10.448	Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)
		10.494	Multi-Family Housing Non-Profit Transfer Technical Assistance Grants (B)
		10.495	Farm Labor Housing Technical Assistance Grants (B)
		14.103	Interest Reduction Payments Rental and Cooperative Housing for Lower Income Families (C, F)
		14.126	Mortgage Insurance Cooperative Projects (F)
		14.134	Mortgage Insurance Rental Housing (F)
		14.135	Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
		14.138	Mortgage Insurance Rental Housing for the Elderly (F)
		14.139	Mortgage Insurance Rental Housing in Urban Renewal Areas (F)
		14.142	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F, H)
		14.151	Supplemental Loan Insurance Multifamily Rental Housing (F)
		14.155	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)
		14.157	Supportive Housing for the Elderly (B, C)
		14.181	Supportive Housing for Persons with Disabilities (B, C)
		14.188	Housing Finance Agencies (HFA) Risk Sharing (F)
		14.191	Multifamily Housing Service Coordinators (B)
		14.195	Section 8 Housing Assistance Payments Program (C)
		14.239	Home Investment Partnerships Program (A)
		14.322	Tenant Education and Outreach Program (B)
		14.326	Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities (B)
		14.327	Performance Based Contract Administrator Program (C)
		14.850	Public and Indian Housing (A, C)
		14.856	Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (C)
		14.870	Resident Opportunity and Supportive Services - Service Coordinators (B)
		14.872	Public Housing Capital Fund (A)
		14.888	Lead-Based Paint Capital Fund Program (B)
		14.896	Family Self-Sufficiency Program (B)
		14.902	Lead Technical Studies Grants (B)
			Planning
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		10.768	Business and Industry Loans (F)
		14.169	Housing Counseling Assistance Program (B)
		14.198	Good Neighbor Next Door Sales Program (H)

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- 14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)
- 14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)
- 14.273 Pay for Success Permanent Supportive Housing Demonstration (B)
- 14.536 Research and Evaluations, Demonstrations, and Data Analysis and Utilization (B)
- 14.872 Public Housing Capital Fund (A)
- 14.881 Moving to Work Demonstration Program (A)
- 14.892 Choice Neighborhoods Planning Grants (B)
- 14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing (B)
- 14.905 Lead Hazard Reduction Demonstration Grant Program (B)
- 15.141 Indian Housing Assistance (B, L)
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- 14.117 Mortgage Insurance Homes (F)
- 14.169 Housing Counseling Assistance Program (B)
- 14.175 Adjustable Rate Mortgages (F)
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- 10.405 Farm Labor Housing Loans and Grants (B, E, F)
- 10.410 Very Low to Moderate Income Housing Loans (E, F, G)
- 10.411 Rural Housing Site Loans and Self Help Housing Land Development Loans (E)
- 10.415 Rural Rental Housing Loans (B, E)
- 10.420 Rural Self-Help Housing Technical Assistance (B)
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- 10.494 Multi-Family Housing Non-Profit Transfer Technical Assistance Grants (B)
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- 14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)
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- 14.276 Youth Homelessness Demonstration Program (B, C)
- 14.311 Single Family Property Disposition (H)
- 14.921 Older Adults Home Modification Grant Program (B)
- 85.750 IAF Assistance for Overseas Programs (B)

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- 10.405 Farm Labor Housing Loans and Grants (B, E, F)
- 10.411 Rural Housing Site Loans and Self Help Housing Land Development Loans (E)
- 10.415 Rural Rental Housing Loans (B, E)
- 14.162 Mortgage Insurance Combination and Manufactured Home Lot Loans (F, H)
- 14.218 Community Development Block Grants/Entitlement Grants (A, B)
- 14.872 Public Housing Capital Fund (A)
- 15.124 Indian Loans Economic Development (F)

INCOME SECURITY AND SOCIAL SERVICES

All subcategories (A through S) apply

- 90.199 Shared Services (B)
- 93.638 ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (B)
- 93.639 Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services (B)
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- 94.017 Senior Demonstration Program (B)
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- 12.599 Congressionally Directed Assistance (B)
- 21.017 Social Impact Partnerships to Pay for Results Act (SIPPPRA) (B)
- 59.044 Veterans Outreach Program (B)
- 59.066 Transition Assistance – Entrepreneurship Track (Boots to Business) (B, K)
- 64.013 Veterans Prosthetic Appliances (C, J)
- 64.014 Veterans State Domiciliary Care (A)
- 64.100 Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (C)
- 64.104 Pension for Non-Service-Connected Disability for Veterans (C, D)
- 64.106 Specially Adapted Housing for Disabled Veterans (C)
- 64.109 Veterans Compensation for Service-Connected Disability (C, D)
- 64.116 Vocational Rehabilitation for Disabled Veterans (C, D, E)
- 64.118 Veterans Housing Direct Loans for Certain Disabled Veterans (E)
- 64.126 Native American Veteran Direct Loan Program (E)
- 93.234 Traumatic Brain Injury State Demonstration Grant Program (B)
- 93.893 Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
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- 14.879 Mainstream Vouchers (C)
- 17.302 Longshore and Harbor Workers' Compensation (D)
- 17.307 Coal Mine Workers' Compensation (D)
- 19.800 Weapons Removal and Abatement (B)
- 20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A, B)
- 21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)
- 21.017 Social Impact Partnerships to Pay for Results Act (SIPPPRA) (B)
- 93.047 Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)
- 93.433 ACL National Institute on Disability, Independent Living, and Rehabilitation Research (B)
- 93.560 Payments to Territories – Adults (A)
- 93.600 Head Start (B, C)
- 93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)
- 93.631 Developmental Disabilities Projects of National Significance (B)
- 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)
- 93.773 Medicare Hospital Insurance (C, G)
- 93.774 Medicare Supplementary Medical Insurance (C, G)
- 93.893 Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
- 94.016 Senior Companion Program (B)
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- 10.578 WIC Grants To States (WGS) (A, B)

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14.235	Supportive Housing Program (B, C)	93.564	Child Support Enforcement Research (B)
15.113	Indian Social Services Welfare Assistance (C)	93.566	Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A, B)
16.001	Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J, Z)	93.590	Community-Based Child Abuse Prevention Grants (A, B)
16.034	Coronavirus Emergency Supplemental Funding Program (A)	93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A, B)
16.301	Law Enforcement Assistance FBI Crime Laboratory Support (J, K, M)	93.597	Grants to States for Access and Visitation Programs (A)
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16.304	Law Enforcement Assistance National Crime Information Center (J, L)	93.602	Assets for Independence Demonstration Program (B, M)
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19.800	Weapons Removal and Abatement (B)	93.630	Developmental Disabilities Basic Support and Advocacy Grants (A)
20.600	State and Community Highway Safety (A)	93.631	Developmental Disabilities Projects of National Significance (B)
21.019	Coronavirus Relief Fund (C)	93.645	Stephanie Tubbs Jones Child Welfare Services Program (A)
21.023	Emergency Rental Assistance Program (C)	93.652	Adoption Opportunities (B)
21.026	Homeowner Assistance Fund (C)	93.659	Adoption Assistance (A)
21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)	93.667	Social Services Block Grant (A)
64.110	Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)	93.669	Child Abuse and Neglect State Grants (A)
93.327	Demonstration Grants for Domestic Victims of Human Trafficking (B)	93.670	Child Abuse and Neglect Discretionary Activities (B)
93.566	Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A, B)	93.714	ARRA – Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)
93.598	Services to Victims of a Severe Form of Trafficking (B, C)	93.865	Child Health and Human Development Extramural Research (B)
93.665	Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 (B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)	96.007	Social Security Research and Demonstration (B, Z)
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)		
93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)		
97.048	Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B, C)		
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)		
Families and Child Welfare Services			
10.565	Commodity Supplemental Food Program (A, H, Z)		
12.369	Marine Corps Systems Command Federal Assistance Program (B, Z)		
14.231	Emergency Solutions Grant Program (A)		
14.880	Family Unification Program (FUP) (C)		
15.025	Services to Indian Children, Elderly and Families (C)		
15.113	Indian Social Services Welfare Assistance (C)		
15.144	Indian Child Welfare Act Title II Grants (B)		
16.021	Justice Systems Response to Families (B)		
21.017	Social Impact Partnerships to Pay for Results Act (SIPRA) (B)		
93.047	Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)		
93.086	Healthy Marriage Promotion and Responsible Fatherhood Grants (B, L)		
93.090	Guardianship Assistance (A)		
93.217	Family Planning Services (B)		
93.228	Indian Health Service, Health Management Development Program (B)		
93.268	Immunization Cooperative Agreements (B)		
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)		
93.471	Title IV-E Kinship Navigator Program (A)		
93.550	Transitional Living for Homeless Youth (B)		
93.556	MaryLee Allen Promoting Safe and Stable Families Program (A, B)		
93.557	Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)		
93.558	Temporary Assistance for Needy Families (A, L)		
			Indian Services
		10.567	Food Distribution Program on Indian Reservations (B, C, H)
		15.025	Services to Indian Children, Elderly and Families (C)
		15.113	Indian Social Services Welfare Assistance (C)
		15.144	Indian Child Welfare Act Title II Grants (B)
		15.147	Tribal Courts Trust Reform Initiative (B)
		16.024	Tribal Sexual Assault Services Program (B)
		16.027	National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)
		16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)
		16.587	Violence Against Women Discretionary Grants for Indian Tribal Governments (B)
		16.841	VOCA Tribal Victim Services Set-Aside Program (B)
		21.009	Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)
		32.003	UNIVERSAL SERVICE FUND - LIFELINE (C)
		64.126	Native American Veteran Direct Loan Program (E)
		93.047	Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)
		93.054	National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (A, B)
		93.210	Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)
		93.340	Native American Community Research, Demonstration, and Pilot Projects (B)
		93.587	Promote the Survival and Continuing Vitality of Native American Languages (A, B)
		93.594	Tribal Work Grants (A)
		93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
			Information and Referral Services
		10.700	National Agricultural Library (B, L)
		14.231	Emergency Solutions Grant Program (A)
		15.113	Indian Social Services Welfare Assistance (C)
		16.003	Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L, Z)
		16.301	Law Enforcement Assistance FBI Crime Laboratory Support (J, K, M)

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16.303	Law Enforcement Assistance FBI Fingerprint Identification (J, L)	10.559	Summer Food Service Program for Children (A)
16.304	Law Enforcement Assistance National Crime Information Center (J, L)	10.565	Commodity Supplemental Food Program (A, H, Z)
16.305	Law Enforcement Assistance Uniform Crime Reports (K, L)	10.566	Nutrition Assistance For Puerto Rico (C, Z)
17.700	Women's Bureau (K, Z)	10.567	Food Distribution Program on Indian Reservations (B, C, H)
20.600	State and Community Highway Safety (A)	10.572	WIC Farmers' Market Nutrition Program (FMNP) (A, B)
21.019	Coronavirus Relief Fund (C)	15.113	Indian Social Services Welfare Assistance (C)
21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)	93.045	Special Programs for the Aging, Title III, Part C, Nutrition Services (A)
30.005	Employment Discrimination Private Bar Program (J)	93.228	Indian Health Service, Health Management Development Program (B)
32.002	UNIVERSAL SERVICE FUND - HIGH COST (C)	93.560	Payments to Territories – Adults (A)
32.003	UNIVERSAL SERVICE FUND - LIFELINE (C)	93.612	Native American Programs (B)
64.125	Vocational and Educational Counseling for Servicemembers and Veterans (C, K)	93.773	Medicare Hospital Insurance (C, G)
93.044	Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)	93.774	Medicare Supplementary Medical Insurance (C, G)
93.045	Special Programs for the Aging, Title III, Part C, Nutrition Services (A)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	94.013	Volunteers in Service to America (J)
93.566	Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A, B)	Old Age Assistance	
93.576	Refugee and Entrant Assistance Discretionary Grants (B)	10.565	Commodity Supplemental Food Program (A, H, Z)
93.600	Head Start (B, C)	14.921	Older Adults Home Modification Grant Program (B)
93.612	Native American Programs (B)	15.011	Experienced Services Program (B)
93.623	Basic Center Grant (B)	17.235	Senior Community Service Employment Program (A, B)
93.631	Developmental Disabilities Projects of National Significance (B)	21.006	Tax Counseling for the Elderly (B)
93.674	John H. Chafee Foster Care Program for Successful Transition to Adulthood (A)	21.009	Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)
93.773	Medicare Hospital Insurance (C, G)	32.002	UNIVERSAL SERVICE FUND - HIGH COST (C)
93.774	Medicare Supplementary Medical Insurance (C, G)	86.001	Pension Plan Termination Insurance (G)
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	93.041	Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)
94.002	Retired and Senior Volunteer Program (B)	93.042	Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)
94.013	Volunteers in Service to America (J)	93.044	Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)
94.016	Senior Companion Program (B)	93.045	Special Programs for the Aging, Title III, Part C, Nutrition Services (A)
97.010	Citizenship Education and Training (B)	93.048	Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (B)
Legal and Advocacy Services		93.072	Lifespan Respite Care Program (B)
10.163	Market Protection and Promotion (J, K, M, Z)	93.576	Refugee and Entrant Assistance Discretionary Grants (B)
14.231	Emergency Solutions Grant Program (A)	93.773	Medicare Hospital Insurance (C, G)
15.144	Indian Child Welfare Act Title II Grants (B)	93.774	Medicare Supplementary Medical Insurance (C, G)
16.021	Justice Systems Response to Families (B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
16.029	Office on Violence Against Women Special Projects (B)	94.002	Retired and Senior Volunteer Program (B)
30.005	Employment Discrimination Private Bar Program (J)	94.011	Foster Grandparent Program (B)
30.008	Employment Discrimination Age Discrimination in Employment (K, N, O)	94.016	Senior Companion Program (B)
30.010	Employment Discrimination Equal Pay Act (K, N, O)	96.002	Social Security Retirement Insurance (D)
93.586	State Court Improvement Program (A, B)	96.006	Supplemental Security Income (C, D)
93.600	Head Start (B, C)	Prevention	
93.630	Developmental Disabilities Basic Support and Advocacy Grants (A)	12.369	Marine Corps Systems Command Federal Assistance Program (B, Z)
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	14.921	Older Adults Home Modification Grant Program (B)
94.013	Volunteers in Service to America (J)	15.113	Indian Social Services Welfare Assistance (C)
Nutrition		16.841	VOCA Tribal Victim Services Set-Aside Program (B)
10.206	Grants for Agricultural Research/Competitive Research Grants (B)	93.045	Special Programs for the Aging, Title III, Part C, Nutrition Services (A)
10.529	Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project (B)	93.228	Indian Health Service, Health Management Development Program (B)
10.533	SNAP-Ed Toolkit (B)	93.268	Immunization Cooperative Agreements (B)
10.553	School Breakfast Program (A)	93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)
10.555	National School Lunch Program (A)	93.327	Demonstration Grants for Domestic Victims of Human Trafficking (B)
10.556	Special Milk Program for Children (A)	93.497	Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports (A)
10.557	WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A, B)		
10.558	Child and Adult Care Food Program (A)		

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16.016	Culturally and Linguistically Specific Services Program (B)	93.674	John H. Chafee Foster Care Program for Successful Transition to Adulthood (A)
16.021	Justice Systems Response to Families (B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
16.023	Sexual Assault Services Culturally Specific Program (B)	96.008	Social Security - Work Incentives Planning and Assistance Program (B, Z)
16.024	Tribal Sexual Assault Services Program (B)	96.009	Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)
16.528	Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)		
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)		
16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)		
16.841	VOCA Tribal Victim Services Set-Aside Program (B)		
16.889	Grants for Outreach and Services to Underserved Populations (B)		
64.125	Vocational and Educational Counseling for Servicemembers and Veterans (C, K)		
84.149	Migrant Education College Assistance Migrant Program (B)		
93.041	Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)		
93.042	Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)		
93.048	Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (B)		
93.327	Demonstration Grants for Domestic Victims of Human Trafficking (B)		
93.496	Family Violence Prevention and Services/Culturally Specific Domestic Violence and Sexual Violence Services (B)		
93.497	Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports (A)		
93.579	U.S. Repatriation (B)		
93.591	Family Violence Prevention and Services/State Domestic Violence Coalitions (A)		
93.592	Family Violence Prevention and Services/Discretionary (B)		
93.598	Services to Victims of a Severe Form of Trafficking (B, C)		
93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)		
93.674	John H. Chafee Foster Care Program for Successful Transition to Adulthood (A)		
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)		
93.910	Family and Community Violence Prevention Program (B)		
93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)		
94.013	Volunteers in Service to America (J)		
96.008	Social Security - Work Incentives Planning and Assistance Program (B, Z)		
96.009	Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)		
97.010	Citizenship Education and Training (B)		
Training Assistance			
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)		
15.043	Indian Child and Family Education (B, M)		
16.601	Corrections Training and Staff Development (B, J, L, M)		
17.201	Registered Apprenticeship (B, K, M, Z)		
17.285	Apprenticeship USA Grants (B)		
17.701	Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program (B)		
21.017	Social Impact Partnerships to Pay for Results Act (SIPPPRA) (B)		
21.029	Coronavirus Capital Projects Fund (A)		
32.003	UNIVERSAL SERVICE FUND - LIFELINE (C)		
84.129	Rehabilitation Long-Term Training (B)		
84.263	Innovative Rehabilitation Training (B)		
93.048	Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (B)		
93.234	Traumatic Brain Injury State Demonstration Grant Program (B)		
93.260	Family Planning Personnel Training (B)		
93.558	Temporary Assistance for Needy Families (A, L)		
93.648	Child Welfare Research Training or Demonstration (B)		
			Veterans Services
		12.599	Congressionally Directed Assistance (B)
		21.017	Social Impact Partnerships to Pay for Results Act (SIPPPRA) (B)
		59.066	Transition Assistance – Entrepreneurship Track (Boots to Business) (B, K)
		64.005	Grants to States for Construction of State Home Facilities (B)
		64.030	Life Insurance for Veterans – Face Amount of New Life Insurance Policies Issued (G)
		64.031	Life Insurance for Veterans - Direct Payments for Insurance (G)
		64.100	Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (C)
		64.101	Burial Expenses Allowance for Veterans (C, D)
		64.104	Pension for Non-Service-Connected Disability for Veterans (C, D)
		64.105	Pension to Veterans Surviving Spouses, and Children (D)
		64.106	Specially Adapted Housing for Disabled Veterans (C)
		64.110	Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)
		64.116	Vocational Rehabilitation for Disabled Veterans (C, D, E)
		64.117	Survivors and Dependents Educational Assistance (C)
		64.201	National Cemeteries (J, Z)
		64.202	Procurement of Headstones and Markers and/or Presidential Memorial Certificates (C, J, Z)
		64.203	Veterans Cemetery Grants Program (B)
		64.205	VA Casket or Urn Reimbursement Program (C)
		64.206	VA Outer Burial Receptacle Allowance Program (C)
		93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
		94.012	September 11th National Day of Service and Remembrance Grants (B)
		96.020	Special Benefits for Certain World War II Veterans (D)
			Youth Services
		10.500	Cooperative Extension Service (A, B)
		14.880	Family Unification Program (FUP) (C)
		15.025	Services to Indian Children, Elderly and Families (C)
		21.017	Social Impact Partnerships to Pay for Results Act (SIPPPRA) (B)
		84.044	TRIO Talent Search (B)
		84.047	TRIO Upward Bound (B)
		93.550	Transitional Living for Homeless Youth (B)
		93.557	Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
		93.602	Assets for Independence Demonstration Program (B, M)
		93.623	Basic Center Grant (B)
		93.659	Adoption Assistance (A)
		93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)
		93.674	John H. Chafee Foster Care Program for Successful Transition to Adulthood (A)
		93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
		94.019	Social Innovation Fund (B, C)
INFORMATION AND STATISTICS			
All subcategories (K through N) apply			
		90.199	Shared Services (B)
			Census Data

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- 11.016 Statistical, Research, and Methodology Assistance (B)
- 16.750 Support for Adam Walsh Act Implementation Grant Program (B)

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- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B, Z)
- 10.153 Market News (L)
- 10.155 Marketing Agreements and Orders (J, K, Z)
- 10.230 Farm of the Future (B)
- 10.233 Open Data Framework (B)
- 10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B, L)
- 10.500 Cooperative Extension Service (A, B)
- 10.700 National Agricultural Library (B, L)
- 10.903 Soil Survey (L, Z)
- 10.907 Snow Survey and Water Supply Forecasting (L, Z)
- 10.950 Agricultural Statistics Reports (L)
- 11.303 Economic Development Technical Assistance (B)
- 11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)
- 11.553 Special Projects (B)
- 11.603 National Standard Reference Data System (H)
- 11.604 Standard Reference Materials (H)
- 11.606 Weights and Measures Service (H, J, K, L, M)
- 12.015 Past Conflict Accounting - Vietnam (B)
- 15.130 Indian Education Assistance to Schools (C)
- 15.916 Outdoor Recreation Acquisition, Development and Planning (A, B)
- 16.003 Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L, Z)
- 16.305 Law Enforcement Assistance Uniform Crime Reports (K, L)
- 16.603 Corrections Technical Assistance/Clearinghouse (B, J, L)
- 17.002 Labor Force Statistics (B, L, Z)
- 17.003 Prices and Cost of Living Data (L, Z)
- 17.004 Productivity and Technology Data (L, Z)
- 17.005 Compensation and Working Conditions (B, L, Z)
- 20.600 State and Community Highway Safety (A)
- 20.819 Ballast Water Treatment Technologies (B, Z)
- 21.004 Exchange of Federal Tax Information With State Tax Agencies (J)
- 43.002 Aeronautics (B, L)
- 45.311 Native American and Native Hawaiian Library Services (B, C)
- 45.313 Laura Bush 21st Century Librarian Program (B, C)
- 81.036 Inventions and Innovations (B, K, L)
- 89.001 National Archives Reference Services Historical Research (I, K, L, Z)
- 91.005 Priority Grant Competition (B)
- 92.002 National Council on Disability (B, C)
- 93.336 Behavioral Risk Factor Surveillance System (B)
- 93.600 Head Start (B, C)
- 93.765 PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)
- 93.816 Preventing Heart Attacks and Strokes in High Need Areas (B)
- 93.882 High Impact Pilot Awards (B)
- 93.883 Standards Exploration Award (B)

Libraries, Clearinghouses, Archives

- 10.500 Cooperative Extension Service (A, B)
- 45.310 Grants to States (A)
- 45.311 Native American and Native Hawaiian Library Services (B, C)
- 45.312 National Leadership Grants (B, C)
- 45.313 Laura Bush 21st Century Librarian Program (B, C)
- 89.001 National Archives Reference Services Historical Research (I, K, L, Z)
- 89.003 National Historical Publications and Records Grants (B)
- 93.600 Head Start (B, C)

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LAW, JUSTICE AND LEGAL SERVICES

All subcategories (K through S) apply

- 16.825 Smart Prosecution Initiative (B)
- 16.830 Girls in the Juvenile Justice System (B)
- 16.831 Children of Incarcerated Parents (B)
- 16.832 Children of Incarcerated Parents Web Portal (B)
- 16.922 Equitable Sharing Program (C)
- 19.948 Organization of American States Programs (B, Z)
- 90.199 Shared Services (B)

Law Enforcement - Crime Analysis and Data

- 16.001 Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J, Z)
- 16.031 Emmett Till Cold Case Investigations Program (B)
- 16.036 Prosecuting Cold Cases Using DNA (B)
- 16.037 Strengthening the Medical Examiner - Coroner System (B)
- 16.301 Law Enforcement Assistance FBI Crime Laboratory Support (J, K, M)
- 16.303 Law Enforcement Assistance FBI Fingerprint Identification (J, L)
- 16.304 Law Enforcement Assistance National Crime Information Center (J, L)
- 16.305 Law Enforcement Assistance Uniform Crime Reports (K, L)
- 16.307 Combined DNA Index System (B)
- 16.308 Indian Country Investigations (M)
- 16.309 Law Enforcement Assistance National Instant Criminal Background Check System (J, L)
- 16.320 Services for Trafficking Victims (B, C)
- 16.321 Antiterrorism Emergency Reserve (B)
- 16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
- 16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)
- 16.543 Missing Children's Assistance (B)
- 16.554 National Criminal History Improvement Program (NCHIP) (B)
- 16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B, L)
- 16.582 Crime Victim Assistance/Discretionary Grants (B, C)
- 16.588 Violence Against Women Formula Grants (A)
- 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)
- 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)
- 16.710 Public Safety Partnership and Community Policing Grants (B)
- 16.731 Tribal Youth Program (B)
- 16.741 DNA Backlog Reduction Program (A, B)
- 16.750 Support for Adam Walsh Act Implementation Grant Program (B)
- 16.752 Economic, High-Tech, and Cyber Crime Prevention (B)
- 16.817 Byrne Criminal Justice Innovation Program (B)
- 16.818 Children Exposed to Violence (B)
- 16.820 Postconviction Testing of DNA Evidence (B)
- 16.827 Justice Reinvestment Initiative (B)
- 16.828 Swift, Certain, and Fair Supervision Program: Applying the Principles Behind Project HOPE (B)
- 16.833 National Sexual Assault Kit Initiative (B)
- 16.835 Body Worn Camera Policy and Implementation (A, B, I, J, K, L, M, N)
- 16.838 Comprehensive Opioid, Stimulant, and Substance Abuse Program (B)
- 16.842 Opioid Affected Youth Initiative (B)
- 19.019 International Programs to Combat Human Trafficking (B)
- 19.121 Conflict and Stabilization Operations (B)
- 19.703 Criminal Justice Systems (B)

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19.704	Counter Narcotics (B)	16.607	Bulletproof Vest Partnership Program (C)
19.705	Trans-National Crime (B)	16.609	Project Safe Neighborhoods (B)
20.935	State and Local Government Data Analysis Tools for Roadway Safety (B)	16.610	Regional Information Sharing Systems (B)
21.016	Equitable Sharing (C)	16.614	State and Local Anti-Terrorism Training (B, K, L, M)
97.076	CyberTipline (B)	16.710	Public Safety Partnership and Community Policing Grants (B)
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15.030	Indian Law Enforcement (C)	16.731	Tribal Youth Program (B)
16.001	Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J, Z)	16.734	Special Data Collections and Statistical Studies (B)
16.003	Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L, Z)	16.735	PREA Program: Strategic Support for PREA Implementation (B)
16.004	Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M, Z)	16.739	National Prison Rape Statistics Program (B)
16.320	Services for Trafficking Victims (B, C)	16.742	Paul Coverdell Forensic Sciences Improvement Grant Program (A, B)
16.544	Youth Gang Prevention (A, B)	16.745	Criminal and Juvenile Justice and Mental Health Collaboration Program (B)
16.710	Public Safety Partnership and Community Policing Grants (B)	16.752	Economic, High-Tech, and Cyber Crime Prevention (B)
16.731	Tribal Youth Program (B)	16.813	NICS Act Record Improvement Program (B)
16.735	PREA Program: Strategic Support for PREA Implementation (B)	16.817	Byrne Criminal Justice Innovation Program (B)
16.754	Harold Rogers Prescription Drug Monitoring Program (B)	16.818	Children Exposed to Violence (B)
19.703	Criminal Justice Systems (B)	16.823	Emergency Planning for Juvenile Justice Facilities (B)
19.704	Counter Narcotics (B)	16.824	Emergency Law Enforcement Assistance Grant (B)
19.705	Trans-National Crime (B)	16.827	Justice Reinvestment Initiative (B)
93.276	Drug-Free Communities Support Program Grants (B)	16.828	Swift, Certain, and Fair Supervision Program: Applying the Principles Behind Project HOPE (B)
93.788	Opioid STR (A)	16.833	National Sexual Assault Kit Initiative (B)
95.001	High Intensity Drug Trafficking Areas Program (B)	16.835	Body Worn Camera Policy and Implementation (A, B, I, J, K, L, M, N)
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15.030	Indian Law Enforcement (C)	16.836	Indigent Defense (B)
15.063	Improvement and Repair of Indian Detention Facilities (C)	16.838	Comprehensive Opioid, Stimulant, and Substance Abuse Program (B)
16.025	Special Domestic Violence Criminal Jurisdiction Implementation (B)	19.036	Cultural Antiquities Task Force (B)
16.034	Coronavirus Emergency Supplemental Funding Program (A)	19.121	Conflict and Stabilization Operations (B)
16.036	Prosecuting Cold Cases Using DNA (B)	19.701	Global Counterterrorism Programs (B)
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15.538	Lower Colorado River Multi-Species Conservation (B)		
15.540	Lake Mead/Las Vegas Wash (B)		
15.541	Colorado River Basin Act of 1968 (B)		
15.542	Arizona Water Settlement Act of 2004 (B, C)		
15.544	Platte River Recovery Implementation (B)		
15.554	Cooperative Watershed Management (B)		
15.557	Applied Science Grants (B)		
15.559	New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (B, C)		
15.560	SECURE Water Act – Research Agreements (B)		
15.563	Suisun Marsh Preservation Agreement (B)		
15.564	Central Valley Project Conservation (B)		

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15.517 Fish and Wildlife Coordination Act (B)
 15.522 Mni Wiconi Rural Water Supply Project (B)
 15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery (B)
 15.531 Yakima River Basin Water Enhancement (YRBWE) (B)
 15.532 Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (B)
 15.537 Middle Rio Grande Endangered Species Collaborative (B)
 15.538 Lower Colorado River Multi-Species Conservation (B)
 15.540 Lake Mead/Las Vegas Wash (B)
 15.544 Platte River Recovery Implementation (B)
 15.605 Sport Fish Restoration (A)
 15.608 Fish and Wildlife Management Assistance (B)
 15.611 Wildlife Restoration and Basic Hunter Education (A)
 15.615 Cooperative Endangered Species Conservation Fund (B)
 15.619 Rhinoceros and Tiger Conservation Fund (B)
 15.620 African Elephant Conservation Fund (B)
 15.621 Asian Elephant Conservation Fund (B)
 15.623 North American Wetlands Conservation Fund (B)
 15.626 Enhanced Hunter Education and Safety (A)
 15.628 Multistate Conservation Grant (B)
 15.629 Great Apes Conservation Fund (B)
 15.631 Partners for Fish and Wildlife (B)
 15.634 State Wildlife Grants (A, B)
 15.635 Neotropical Migratory Bird Conservation (B)
 15.636 Alaska Subsistence Management (B)
 15.637 Migratory Bird Joint Ventures (B)
 15.639 Tribal Wildlife Grants (B)
 15.640 Latin America and Caribbean Regional (B)
 15.641 Wildlife Without Borders-Mexico (B)
 15.643 Alaska Migratory Bird Co-Management Council (B)
 15.645 Marine Turtle Conservation Fund (B)
 15.647 Migratory Bird Conservation (B)
 15.648 Central Valley Project Improvement Act (CVPIA) (B)
 15.649 Service Training and Technical Assistance (Generic Training) (B)
 15.651 Central Africa Regional (B)
 15.652 Invasive Species (B)
 15.653 National Outreach and Communication (B)
 15.654 National Wildlife Refuge System Enhancements (B)
 15.655 Migratory Bird Monitoring, Assessment and Conservation (B)
 15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)
 15.657 Endangered Species Recovery Implementation (B)
 15.660 Candidate Species Conservation (B)
 15.661 Lower Snake River Compensation Plan (B)
 15.662 Great Lakes Restoration (B)
 15.663 NFWF-USFWS Conservation Partnership (B)
 15.664 Fish and Wildlife Coordination and Assistance (B)
 15.668 Coastal Impact Assistance (A)
 15.671 Yukon River Salmon Research and Management Assistance (B)
 15.679 Combating Wildlife Trafficking (B)
 15.680 Mexican Wolf Recovery (B)
 15.683 Prescott Marine Mammal Rescue Assistance (B)
 15.684 White-nose Syndrome National Response Implementation (B)
 15.812 Cooperative Research Units (B)
 15.818 Volcano Hazards Program Research and Monitoring (B)
 15.820 National and Regional Climate Adaptation Science Centers (B)
 15.923 National Center for Preservation Technology and Training (B)
 15.963 Southwest Border Resource Protection Program (B)
 66.469 Great Lakes Program (B, I, L)
 66.481 Lake Champlain Basin Program (B)

All subcategories (K through T) apply

11.020 Cluster Grants (B)
 11.557 Broadband Technology Opportunities Program (BTOP) (B)
 14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)
 14.265 Rural Capacity Building for Community Development and Affordable Housing Grants (B)
 19.121 Conflict and Stabilization Operations (B)
 19.750 Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (B)
 19.878 EUR-Other (B)
 23.001 Appalachian Regional Development (See individual Appalachian Programs) (B)
 66.475 Gulf of Mexico Program (B)
 90.199 Shared Services (B)
 93.638 ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (B)
 93.639 Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services (B)
 94.006 AmeriCorps (B)

Economic Development

10.603 Emerging Markets Program (B, C)
 10.752 Rural eConnectivity Pilot Program (B, E)
 10.755 Rural Innovation Stronger Economy (B)
 10.767 Intermediary Relending Program (E)
 11.020 Cluster Grants (B)
 11.023 Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)
 11.024 BUILD TO SCALE (B)
 11.029 Tribal Broadband Connectivity Program (B)
 11.302 Economic Development Support for Planning Organizations (B)
 11.303 Economic Development Technical Assistance (B)
 11.307 Economic Adjustment Assistance (B)
 11.611 Manufacturing Extension Partnership (B, L)
 12.002 Procurement Technical Assistance For Business Firms (B)
 12.599 Congressionally Directed Assistance (B)
 12.604 Community Economic Adjustment Assistance for Reductions in Defense Spending (B, L)
 15.014 Supporting the Lower Mississippi Delta Initiative (B)
 15.443 Not For Profit (B)
 15.444 Take Pride (B)
 15.958 Route 66 Corridor Preservation (B)
 19.021 Investing in People in The Middle East and North Africa (B)
 19.027 Energy Governance and Reform Programs (B)
 19.800 Weapons Removal and Abatement (B)
 19.801 Office of Global Women's Issues (B)
 19.948 Organization of American States Programs (B, Z)
 20.224 Federal Lands Access Program (A, B)
 20.822 Port of Guam Improvement Enterprise Program (B)
 21.029 Coronavirus Capital Projects Fund (A)
 23.009 Appalachian Local Development District Assistance (B)
 23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)
 32.003 UNIVERSAL SERVICE FUND - LIFELINE (C)
 59.041 504 Certified Development Loans (F)
 66.708 Pollution Prevention Grants Program (B)
 66.717 Source Reduction Assistance (B)
 85.750 IAF Assistance for Overseas Programs (B)
 90.204 States' Economic Development Assistance Program (B)
 94.013 Volunteers in Service to America (J)
 94.019 Social Innovation Fund (B, C)

REGIONAL DEVELOPMENT

Education

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11.020	Cluster Grants (B)	12.604	Community Economic Adjustment Assistance for Reductions in Defense Spending (B, L)
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)	15.011	Experienced Services Program (B)
11.303	Economic Development Technical Assistance (B)	15.574	Water Storage Enhancement (B)
12.599	Congressionally Directed Assistance (B)	19.021	Investing in People in The Middle East and North Africa (B)
14.400	Equal Opportunity in Housing (N, Z)	19.035	Cyber Capacity Building (B)
14.408	Fair Housing Initiatives Program (B)	19.225	Africa Regional Democracy Fund (B)
14.416	Education and Outreach Initiatives (B)	19.706	Partnership for Regional East Africa Counterterrorism (B)
14.417	Fair Housing Organization Initiatives (B)	20.224	Federal Lands Access Program (A, B)
14.418	Private Enforcement Initiatives (B)	20.530	Public Transportation Innovation (B, C, L, M)
15.158	DOI National Fire Plan (B)	20.531	Technical Assistance and Workforce Development (B, C, L, M)
15.942	Environmental Outreach and Conservation - North Cascades (B)	20.706	Pipeline Emergency Response Grant (PERG) (M)
17.285	Apprenticeship USA Grants (B)	20.707	Hazardous Materials State Inspection (HMSI) Grant (B)
19.021	Investing in People in The Middle East and North Africa (B)	23.009	Appalachian Local Development District Assistance (B)
32.003	UNIVERSAL SERVICE FUND - LIFELINE (C)	23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)
43.002	Aeronautics (B, L)	30.010	Employment Discrimination Equal Pay Act (K, N, O)
94.013	Volunteers in Service to America (J)	66.032	State Indoor Radon Grants (A, B)
94.019	Social Innovation Fund (B, C)	66.130	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)
	Energy	66.717	Source Reduction Assistance (B)
10.320	Sun Grant Program (B)	84.283	Comprehensive Centers (B)
10.858	Denali Commission Grants and Loans (B)	85.750	IAF Assistance for Overseas Programs (B)
20.706	Pipeline Emergency Response Grant (PERG) (M)	90.204	States' Economic Development Assistance Program (B)
20.707	Hazardous Materials State Inspection (HMSI) Grant (B)	97.111	Regional Catastrophic Preparedness Grant Program (RCPPG) (B)
	Health and Nutrition		Resources and Development
10.253	Consumer Data and Nutrition Research (B, L)	10.755	Rural Innovation Stronger Economy (B)
10.255	Research Innovation and Development Grants in Economic (RIDGE) (A, L)	11.020	Cluster Grants (B)
10.331	Food Insecurity Nutrition Incentive Grants Program (B)	11.024	BUILD TO SCALE (B)
93.478	Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (B)	15.226	Payments in Lieu of Taxes (C, D)
94.019	Social Innovation Fund (B, C)	15.555	San Joaquin River Restoration (B)
	Housing	15.558	White Mountain Apache Tribe Rural Water System (C)
12.460	Fisher House Foundation (B)	15.574	Water Storage Enhancement (B)
14.279	Specialized Housing and Services for Victims of Human Trafficking (B)	15.982	Radium Remediation at Land-Grant Universities (B)
14.921	Older Adults Home Modification Grant Program (B)	19.021	Investing in People in The Middle East and North Africa (B)
15.162	Tiwahe Housing (B)	19.601	Syria Assistance Program (B)
21.011	Capital Magnet Fund (B)	23.009	Appalachian Local Development District Assistance (B)
21.026	Homeowner Assistance Fund (C)	23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)
94.013	Volunteers in Service to America (J)	93.762	A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (B)
	Land Acquisition and Rehabilitation and Facilities Construction		Transportation
10.513	1890 Facilities Grants Program (B)	10.167	Transportation Services (K, M)
10.712	Great American Outdoors Act Deferred Maintenance Program (C)	10.754	Higher Blends Infrastructure Incentive Program (A)
11.307	Economic Adjustment Assistance (B)	12.016	Transportation - DASH 102X and WMATA 7M Shuttles (B)
15.234	Secure Rural Schools and Community Self-Determination (B, M)	20.223	Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E, F)
15.622	Sportfishing and Boating Safety Act (B)	20.316	Railroad Rehabilitation and Improvement Financing Program (E, F)
20.224	Federal Lands Access Program (A, B)	20.706	Pipeline Emergency Response Grant (PERG) (M)
21.015	Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)	20.707	Hazardous Materials State Inspection (HMSI) Grant (B)
	Planning and Technical Assistance	20.820	Maritime Studies and Innovations (B)
10.759	Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (B)	20.935	State and Local Government Data Analysis Tools for Roadway Safety (B)
10.890	Rural Development Cooperative Agreement Program (B)	23.003	Appalachian Development Highway System (B)
11.020	Cluster Grants (B)	66.039	Diesel Emission Reduction Act (DERA) National Grants (B)
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)	66.040	Diesel Emissions Reduction Act (DERA) State Grants (A)
11.024	BUILD TO SCALE (B)	90.204	States' Economic Development Assistance Program (B)
11.302	Economic Development Support for Planning Organizations (B)		SCIENCE AND TECHNOLOGY
11.307	Economic Adjustment Assistance (B)		All subcategories (K through M) apply
		10.516	Rural Health and Safety Education Competitive Grants Program (B)
		11.020	Cluster Grants (B)

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11.451	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B, Z)	43.009	Safety, Security and Mission Services (B)
11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)	45.301	Museums for America (B, C)
11.620	Science, Technology, Business and/or Education Outreach (B)	47.076	Education and Human Resources (B)
12.225	Commercial Technologies for Maintenance Activities Program (B)	47.078	Polar Programs (B)
12.740	Past Conflict Accounting (B)	64.051	Specially Adapted Housing Assistive Technology Grant Program (B)
15.159	Cultural Resources Management (B, C)	66.039	Diesel Emission Reduction Act (DERA) National Grants (B)
15.442	Alaska Native Science and Engineering (B)	66.040	Diesel Emissions Reduction Act (DERA) State Grants (A)
15.665	National Wetlands Inventory (B)	66.708	Pollution Prevention Grants Program (B)
15.678	Cooperative Ecosystem Studies Units (B)	81.036	Inventions and Innovations (B, K, L)
15.820	National and Regional Climate Adaptation Science Centers (B)	81.049	Office of Science Financial Assistance Program (B)
19.033	Global Threat Reduction (B)	90.401	Help America Vote Act Requirements Payments (A, C)
19.040	Public Diplomacy Programs (B)	93.317	Emerging Infections Programs (B)
19.124	East Asia and Pacific Grants Program (B)	93.362	Assistive Technology National Activities (B)
19.303	Decrypting PRC Industrial and Technology Policy (B)	93.469	Assistive Technology Alternative Financing Program (B)
19.600	Bureau of Near Eastern Affairs (B)	93.488	National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (B)
19.750	Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (B)	93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)
21.015	Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)	93.826	Closing the Gap Between Standards Development and Implementation (B)
43.001	Science (B, C, I, M)	93.831	Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (B)
43.002	Aeronautics (B, L)	93.876	Antimicrobial Resistance Surveillance in Retail Food Specimens (B)
43.003	Exploration (B, C, I, J, L, M)	97.091	Homeland Security Biowatch Program (B, I, Z)
43.009	Safety, Security and Mission Services (B)		
43.012	Space Technology (B, C, I, M)		
45.312	National Leadership Grants (B, C)		
66.475	Gulf of Mexico Program (B)		
81.009	Molybdenum-99 Program (B)		
81.049	Office of Science Financial Assistance Program (B)		
81.124	Predictive Science Academic Alliance Program (B)		
81.214	Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (B)		
90.199	Shared Services (B)		
93.103	Food and Drug Administration Research (B)		
93.355	Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program) (B)		
93.691	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)		
93.882	High Impact Pilot Awards (B)		
93.883	Standards Exploration Award (B)		
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)		
Information and Technical			
10.230	Farm of the Future (B)		
10.232	Blue Ribbon (B)		
10.318	Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)		
10.331	Food Insecurity Nutrition Incentive Grants Program (B)		
10.518	Food Animal Residue Avoidance Databank (B)		
10.865	Biorefinery Assistance (C, F)		
10.903	Soil Survey (L, Z)		
11.008	NOAA Mission-Related Education Awards (B)		
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)		
11.028	Connecting Minority Communities Pilot Program (B)		
11.549	State and Local Implementation Grant Program (B)		
11.557	Broadband Technology Opportunities Program (BTOP) (B)		
11.603	National Standard Reference Data System (H)		
11.604	Standard Reference Materials (H)		
11.610	Standards Information Center (A, L)		
11.616	Technology Innovation Program (TIP) (B)		
43.001	Science (B, C, I, M)		
43.002	Aeronautics (B, L)		
			Research - General
		10.215	Sustainable Agriculture Research and Education (B)
		10.216	1890 Institution Capacity Building Grants (B)
		10.219	Biotechnology Risk Assessment Research (B)
		10.518	Food Animal Residue Avoidance Databank (B)
		10.613	Faculty Exchange Program (B, C)
		10.777	Norman E. Borlaug International Agricultural Science and Technology Fellowship (B, C)
		10.961	Scientific Cooperation and Research (B, C)
		11.008	NOAA Mission-Related Education Awards (B)
		11.011	Ocean Exploration (B)
		11.012	Integrated Ocean Observing System (IOOS) (B)
		11.020	Cluster Grants (B)
		11.021	NOAA Small Business Innovation Research (SBIR) Program (B)
		11.024	BUILD TO SCALE (B)
		11.426	Financial Assistance for National Centers for Coastal Ocean Science (B)
		11.431	Climate and Atmospheric Research (B)
		11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
		11.609	Measurement and Engineering Research and Standards (B)
		11.616	Technology Innovation Program (TIP) (B)
		11.619	Arrangements for Interdisciplinary Research Infrastructure (B)
		12.006	National Defense Education Program (B)
		12.219	EASE 2.0 (B)
		12.300	Basic and Applied Scientific Research (B)
		12.335	Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (B)
		12.340	Naval Medical Research and Development (B)
		12.431	Basic Scientific Research (B)
		12.910	Research and Technology Development (B)
		15.428	Marine Gas Hydrate Research Activities (B)
		15.807	Earthquake Hazards Program Assistance (B)
		15.808	U.S. Geological Survey Research and Data Collection (B)
		15.810	National Cooperative Geologic Mapping (B)
		15.814	National Geological and Geophysical Data Preservation (B)
		43.001	Science (B, C, I, M)

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43.002	Aeronautics (B, L)	11.482	Coral Reef Conservation Program (B, Z)
43.007	Space Operations (B)	11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
43.009	Safety, Security and Mission Services (B)	11.616	Technology Innovation Program (TIP) (B)
47.041	Engineering (B)	12.114	Collaborative Research and Development (B)
47.049	Mathematical and Physical Sciences (B)	12.300	Basic and Applied Scientific Research (B)
47.076	Education and Human Resources (B)	12.340	Naval Medical Research and Development (B)
47.078	Polar Programs (B)	12.351	Scientific Research - Combating Weapons of Mass Destruction (B)
47.079	Office of International Science and Engineering (B)	12.355	Pest Management and Vector Control Research (B)
47.083	Integrative Activities (B)	12.420	Military Medical Research and Development (B)
59.058	Federal and State Technology Partnership Program (B)	12.431	Basic Scientific Research (B)
66.521	Innovative Water Technology Grant Program (B)	12.630	Basic, Applied, and Advanced Research in Science and Engineering (B)
77.009	U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)	12.800	Air Force Defense Research Sciences Program (B)
81.049	Office of Science Financial Assistance Program (B)	12.810	Air Force Medical Research and Development (B)
81.112	Stewardship Science Grant Program (B)	12.901	Mathematical Sciences Grants (B)
84.051	Career and Technical Education -- National Programs (B)	12.902	Information Security Grants (B)
90.404	2018 HAVA Election Security Grants (A)	12.910	Research and Technology Development (B)
93.085	Research on Research Integrity (B)	15.807	Earthquake Hazards Program Assistance (B)
93.213	Research and Training in Complementary and Integrative Health (B, M)	15.808	U.S. Geological Survey Research and Data Collection (B)
93.368	21st Century Cures Act - Precision Medicine Initiative (B)	15.810	National Cooperative Geologic Mapping (B)
93.370	21st Century Cures Act: Regenerative Medicine Initiative (B)	15.819	Energy Cooperatives to Support the National Energy Resources Data System (B)
93.372	21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (B)	16.026	OVW Research and Evaluation Program (B)
93.662	Extramural Research Facilities Restoration Program: Hurricanes Harvey, Maria, and Irma -- Construction (B)	19.901	Export Control and Related Border Security (B)
93.663	Extramural Research Restoration Program: Hurricanes Harvey, Maria, and Irma -- non-construction (B)	20.530	Public Transportation Innovation (B, C, L, M)
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	20.531	Technical Assistance and Workforce Development (B, C, L, M)
93.876	Antimicrobial Resistance Surveillance in Retail Food Specimens (B)	20.723	PHMSA Pipeline Safety Research and Development "Other Transaction Agreements" (B)
94.026	National Service and Civic Engagement Research Competition (B)	43.001	Science (B, C, I, M)
		43.002	Aeronautics (B, L)
		43.007	Space Operations (B)
		43.009	Safety, Security and Mission Services (B)
		47.049	Mathematical and Physical Sciences (B)
		47.050	Geosciences (B)
		47.070	Computer and Information Science and Engineering (B)
		47.074	Biological Sciences (B)
		47.075	Social, Behavioral, and Economic Sciences (B)
		47.076	Education and Human Resources (B)
		47.078	Polar Programs (B)
		77.009	U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)
		81.049	Office of Science Financial Assistance Program (B)
		81.057	University Coal Research (B)
		81.112	Stewardship Science Grant Program (B)
		84.051	Career and Technical Education -- National Programs (B)
		84.120	Minority Science and Engineering Improvement (B)
		85.801	U.S. Faculty Scholar Grants (B)
		85.802	Fellowship Program (B)
		85.803	Visiting Scholar Grants (B)
		93.083	Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)
		93.085	Research on Research Integrity (B)
		93.213	Research and Training in Complementary and Integrative Health (B, M)
		93.313	NIH Office of Research on Women's Health (B)
		93.316	Public Health Preparedness and Response Science, Research, and Practice (B)
		93.353	21st Century Cures Act - Beau Biden Cancer Moonshot (B)
		93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders (B)
		95.007	Research and Data Analysis (B)
		97.077	Evaluation and Demonstration of Technologies Related to Countering Weapons of Mass Destruction (B)
		97.130	National Nuclear Forensics Expertise Development Program (B)
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10.207	Animal Health and Disease Research (A)		
10.219	Biotechnology Risk Assessment Research (B)		
10.303	Integrated Programs (B)		
10.320	Sun Grant Program (B)		
10.328	National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (B)		
10.329	Crop Protection and Pest Management Competitive Grants Program (B)		
10.961	Scientific Cooperation and Research (B, C)		
11.015	Broad Agency Announcement (B)		
11.017	Ocean Acidification Program (OAP) (B)		
11.417	Sea Grant Support (B)		
11.429	Marine Sanctuary Program (B)		
11.431	Climate and Atmospheric Research (B)		
11.432	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)		
11.440	Environmental Sciences, Applications, Data, and Education (B)		
11.452	Unallied Industry Projects (B)		
11.454	Unallied Management Projects (B)		
11.455	Cooperative Science and Education Program (B)		
11.457	Chesapeake Bay Studies (B)		
11.460	Special Oceanic and Atmospheric Projects (B)		
11.463	Habitat Conservation (B)		
11.467	Meteorologic and Hydrologic Modernization Development (B, D, L, M)		
11.468	Applied Meteorological Research (B)		
11.469	Congressionally Identified Awards and Projects (B)		
11.472	Unallied Science Program (B)		
11.478	Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)		
11.480	National Ocean Service Intern Program (B, Z)		

FUNCTIONAL INDEX

TRANSPORTATION

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20.106	Airport Improvement Program and COVID-19 Airports Programs (B, K)
20.114	Aviation Manufacturing Jobs Protection (AMJP) Program (C)
20.224	Federal Lands Access Program (A, B)
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
20.707	Hazardous Materials State Inspection (HMSI) Grant (B)
20.901	Payments for Essential Air Services (B, C)
20.930	Payments for Small Community Air Service Development (B)
20.936	Transportation Demonstration Program (B)
21.018	Coronavirus Relief - Pandemic Relief for Aviation Workers (C, Z)

All subcategories (K through O) apply

20.607	Alcohol Open Container Requirements (A, B)
64.035	Veterans Transportation Program (B)
66.039	Diesel Emission Reduction Act (DERA) National Grants (B)
66.040	Diesel Emissions Reduction Act (DERA) State Grants (A)
66.956	Targeted Airshed Grant Program (B)
90.199	Shared Services (B)
90.601	Northern Border Regional Development (B)

Highways, Public Roads, and Bridges

10.705	Cooperative Forest Road Agreements (B)
10.903	Soil Survey (L, Z)
11.300	Investments for Public Works and Economic Development Facilities (B)
15.033	Road Maintenance Indian Roads (B, C)
15.234	Secure Rural Schools and Community Self-Determination (B, M)
15.916	Outdoor Recreation Acquisition, Development and Planning (A, B)
20.200	Highway Research and Development Program (B)
20.205	Highway Planning and Construction (A, B, Z)
20.215	Highway Training and Education (B, M)
20.218	Motor Carrier Safety Assistance (A)
20.223	Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E, F)
20.224	Federal Lands Access Program (A, B)
20.231	Performance and Registration Information Systems Management (B)
20.232	Commercial Driver's License Program Implementation Grant (B)
20.233	Border Enforcement Grants (B)
20.234	Safety Data Improvement Program (B)
20.235	Commercial Motor Vehicle Operator Safety Training Grants (B)
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This index lists those assistance listings previously published in the Catalog but were not updated by their issuing agencies in FY 2021. The assistance listing descriptions can be found on the assistance listing web site at www.sam.gov, but do not appear elsewhere in this Publication. Please contact the issuing agency for further information on the assistance listings listed below.

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10.610	Export Guarantee Program (F)	10.850	Rural Electrification Loans and Loan Guarantees (E, F)
10.612	USDA Local and Regional Food Aid Procurement Program (B)	10.851	Rural Telephone Loans and Loan Guarantees (E, F)
10.613	Faculty Exchange Program (B, C)	10.854	Rural Economic Development Loans and Grants (B, E, F)
10.615	Pima Agriculture Cotton Trust Fund (D)	10.855	Distance Learning and Telemedicine Loans and Grants (B, E)
10.616	Agriculture Wool Apparel Manufacturers Trust Fund (D)	10.858	Denali Commission Grants and Loans (B)
10.617	PL-480 Market Development and Technical Assistance (B)	10.859	Assistance to High Energy Cost Rural Communities (B)
10.618	Agricultural Trade Promotion Program (B)	10.862	Rural Decentralized Water Systems Grant Program (B)
10.619	International Agricultural Education Fellowship Program (B)	10.863	Community Connect Grant Program (B)
10.620	Scientific Exchanges Program (B)	10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
10.649	Pandemic EBT Administrative Costs (Z)	10.865	Biorefinery Assistance (C, F)
10.652	Forestry Research (B)	10.867	Bioenergy Program for Advanced Biofuels (B, D)
10.664	Cooperative Forestry Assistance (A, B)	10.868	Rural Energy for America Program (B, F)
10.665	Schools and Roads - Grants to States (A, B)	10.870	Rural Microentrepreneur Assistance Program (B, E)
10.666	Schools and Roads - Grants to Counties (C)	10.871	Socially-Disadvantaged Groups Grant (B)
10.674	Wood Utilization Assistance (B)	10.872	Healthy Food Financing Initiative (B)
10.675	Urban and Community Forestry Program (B)	10.874	Delta Health Care Services Grant Program (B)
10.676	Forest Legacy Program (B)	10.886	Rural Broadband Access Loans and Loan Guarantees (E, F)
10.678	Forest Stewardship Program (B)	10.890	Rural Development Cooperative Agreement Program (B)
10.679	Collaborative Forest Restoration (B)	10.902	Soil and Water Conservation (K, Z)
10.680	Forest Health Protection (A, B)	10.903	Soil Survey (L, Z)
10.681	Wood Education and Resource Center (WERC) (B)	10.904	Watershed Protection and Flood Prevention (B, K, Z)
10.682	National Forest Foundation (C)	10.905	Plant Materials for Conservation (J, Z)
10.683	National Fish and Wildlife Foundation (C)	10.907	Snow Survey and Water Supply Forecasting (L, Z)
10.684	International Forestry Programs (B)	10.912	Environmental Quality Incentives Program (C, Z)
10.689	Community Forest and Open Space Conservation Program (CFP) (B)	10.913	Farm and Ranch Lands Protection Program (C, Z)
10.690	Lake Tahoe Erosion Control Grant Program (B)	10.914	Wildlife Habitat Incentive Program (C, Z)
10.691	Good Neighbor Authority (B)	10.916	Watershed Rehabilitation Program (B, C, K, Z)
10.693	Watershed Restoration and Enhancement Agreement Authority (B)	10.917	Agricultural Management Assistance (C, Z)
10.694	Southwest Forest Health and Wildfire Prevention (C)	10.920	Grassland Reserve Program (C, Z)
10.697	State & Private Forestry Hazardous Fuel Reduction Program (B)	10.921	Conservation Security Program (C, Z)
10.698	State & Private Forestry Cooperative Fire Assistance (B)	10.922	Healthy Forests Reserve Program (HFRP) (C, Z)
10.699	Partnership Agreements (C)	10.923	Emergency Watershed Protection Program (B, Z)
10.700	National Agricultural Library (B, L)	10.924	Conservation Stewardship Program (B, Z)
		10.925	Agricultural Water Enhancement Program (C, Z)
		10.926	Chesapeake Bay Watershed Program (C, Z)
		10.927	Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B, Z)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

10.928	Emergency Watershed Protection Program - Floodplain Easements – Disaster Relief Appropriations Act (C, Z)	11.436	Columbia River Fisheries Development Program (B)
10.929	Water Bank Program (B, C, Z)	11.437	Pacific Fisheries Data Program (B)
10.931	Agricultural Conservation Easement Program (B, Z)	11.438	Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)
10.932	Regional Conservation Partnership Program (B, Z)	11.439	Marine Mammal Data Program (B)
10.933	Wetland Mitigation Banking Program (B, Z)	11.440	Environmental Sciences, Applications, Data, and Education (B)
10.934	Feral Swine Eradication and Control Pilot Program (B, Z)	11.441	Regional Fishery Management Councils (B)
10.935	Urban Agriculture and Innovative Production (B, Z)	11.451	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B, Z)
10.950	Agricultural Statistics Reports (L)	11.452	Unallied Industry Projects (B)
10.951	Agricultural Statistics (L)	11.454	Unallied Management Projects (B)
10.960	Technical Agricultural Assistance (B, C)	11.455	Cooperative Science and Education Program (B)
10.961	Scientific Cooperation and Research (B, C)	11.457	Chesapeake Bay Studies (B)
10.962	Cochran Fellowship Program-International Training-Foreign Participant (B, C)	11.459	Weather and Air Quality Research (B)

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FUND

85.200 Barry M. Goldwater Scholarship Program (C)

COMMERCE, DEPARTMENT OF

11.008	NOAA Mission-Related Education Awards (B)	11.468	Applied Meteorological Research (B)
11.011	Ocean Exploration (B)	11.469	Congressionally Identified Awards and Projects (B)
11.012	Integrated Ocean Observing System (IOOS) (B)	11.472	Unallied Science Program (B)
11.013	Education Quality Award Ambassadorship (B)	11.473	Office for Coastal Management (B)
11.015	Broad Agency Announcement (B)	11.474	Atlantic Coastal Fisheries Cooperative Management Act (B)
11.016	Statistical, Research, and Methodology Assistance (B)	11.477	Fisheries Disaster Relief (B)
11.017	Ocean Acidification Program (OAP) (B)	11.478	Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)
11.020	Cluster Grants (B)	11.480	National Ocean Service Intern Program (B, Z)
11.021	NOAA Small Business Innovation Research (SBIR) Program (B)	11.481	Educational Partnership Program (B)
11.022	Bipartisan Budget Act of 2018 (B)	11.482	Coral Reef Conservation Program (B, Z)
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)	11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
11.024	BUILD TO SCALE (B)	11.549	State and Local Implementation Grant Program (B)
11.028	Connecting Minority Communities Pilot Program (B)	11.553	Special Projects (B)
11.029	Tribal Broadband Connectivity Program (B)	11.557	Broadband Technology Opportunities Program (BTOP) (B)
11.031	Broadband Infrastructure Program (B)	11.601	Calibration Program (H, J)
11.112	Market Development Cooperator Program (B)	11.603	National Standard Reference Data System (H)
11.300	Investments for Public Works and Economic Development Facilities (B)	11.604	Standard Reference Materials (H)
11.302	Economic Development Support for Planning Organizations (B)	11.606	Weights and Measures Service (H, J, K, L, M)
11.303	Economic Development Technical Assistance (B)	11.609	Measurement and Engineering Research and Standards (B)
11.307	Economic Adjustment Assistance (B)	11.610	Standards Information Center (A, L)
11.312	Research and Evaluation Program (B)	11.611	Manufacturing Extension Partnership (B, L)
11.313	Trade Adjustment Assistance for Firms (B)	11.612	Advanced Technology Program (A, B)
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)	11.616	Technology Innovation Program (TIP) (B)
11.405	Cooperative Institute (Inter-Agency Funded Activities) (B)	11.619	Arrangements for Interdisciplinary Research Infrastructure (B)
11.407	Interjurisdictional Fisheries Act of 1986 (A)	11.620	Science, Technology, Business and/or Education Outreach (B)
11.408	Fishermen's Contingency Fund (D)	11.802	Minority Business Resource Development (B)
11.413	Fishery Products Inspection and Certification (J)	11.804	MBDA Business Center - American Indian and Alaska Native (B)
11.415	Fisheries Finance Program (E)	11.805	MBDA Business Center (B)
11.417	Sea Grant Support (B)	11.999	Marine Debris Program (B, Z)
11.419	Coastal Zone Management Administration Awards (A, B)		
11.420	Coastal Zone Management Estuarine Research Reserves (B)		
11.426	Financial Assistance for National Centers for Coastal Ocean Science (B)		
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)		
11.429	Marine Sanctuary Program (B)		
11.431	Climate and Atmospheric Research (B)		
11.432	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)		
11.433	Marine Fisheries Initiative (B)		
11.434	Cooperative Fishery Statistics (B)		
11.435	Southeast Area Monitoring and Assessment Program (B)		

CONSUMER PRODUCT SAFETY COMMISSION

87.002 Virginia Graeme Baker Pool and Spa Safety (B)

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.002	Retired and Senior Volunteer Program (B)
94.003	State Commissions (B)
94.006	AmeriCorps (B)
94.008	Commission Investment Fund (B)
94.009	Training and Technical Assistance (B, L, M)
94.011	Foster Grandparent Program (B)
94.012	September 11th National Day of Service and Remembrance Grants (B)
94.013	Volunteers in Service to America (J)
94.014	Martin Luther King Jr Day of Service Grants (B)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

94.016	Senior Companion Program (B)	12.460	Fisher House Foundation (B)
94.017	Senior Demonstration Program (B)	12.501	Training and Support – Combating Weapons of Mass Destruction (B, Z)
94.019	Social Innovation Fund (B, C)	12.550	The Language Flagship Grants to Institutions of Higher Education (B, Z)
94.020	CNCSS Disaster Response Cooperative Agreement (B, C)	12.551	National Security Education Program David L. Boren Scholarships (B)
94.021	Volunteer Generation Fund (B)	12.552	National Security Education Program David L. Boren Fellowships (B)
94.026	National Service and Civic Engagement Research Competition (B)	12.554	English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (B)
94.027	AmeriCorps VISTA Recruitment Support (Z)	12.556	Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (B)
DELTA REGIONAL AUTHORITY			
90.200	Delta Regional Development (B)	12.557	Invitational Grants for Military-Connected Schools (B)
90.201	Delta Area Economic Development (A, B)	12.558	Department of Defense Impact Aid (Supplement, CWSD, BRAC) (C, D)
90.202	Delta Local Development District Assistance (B)	12.560	DOD, NDEP, DOTC-STEM Education Outreach Implementation (B)
90.203	Delta Creative Place-Making Pilot Initiative (B)	12.579	Language Training Center (B)
90.204	States' Economic Development Assistance Program (B)	12.599	Congressionally Directed Assistance (B)
DENALI COMMISSION			
90.100	Denali Commission Program (B)	12.600	Community Investment (B, C, L)
90.199	Shared Services (B)	12.604	Community Economic Adjustment Assistance for Reductions in Defense Spending (B, L)
DEPT OF DEFENSE			
12.002	Procurement Technical Assistance For Business Firms (B)	12.607	Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)
12.003	Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (B)	12.610	Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)
12.004	Fort Huachuca Sentinel Landscapes for Military Training (B)	12.611	Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)
12.005	Conservation and Rehabilitation of Natural Resources on Military Installations (B)	12.614	Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)
12.006	National Defense Education Program (B)	12.615	Research and Technical Assistance (B)
12.007	Military Health Services Research (MHSR) (B)	12.617	Economic Adjustment Assistance for State Governments (B)
12.008	Dredged Material Containment Area (B)	12.618	Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)
12.010	Youth Conservation Services (B)	12.620	Troops to Teachers Grant Program (B)
12.011	DOD HIV/AIDS Prevention Program (B)	12.630	Basic, Applied, and Advanced Research in Science and Engineering (B)
12.013	Defense Civilian Training Corps (DCTC) - Scholarship/Internship (B)	12.631	Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (B)
12.014	OnRampII (C)	12.632	Legacy Resource Management Program (B)
12.015	Past Conflict Accounting - Vietnam (B)	12.740	Past Conflict Accounting (B)
12.016	Transportation - DASH 102X and WMATA 7M Shuttles (B)	12.750	Uniformed Services University Medical Research Projects (B, Z)
12.017	Readiness and Environmental Protection Integration (REPI) Program (A)	12.777	Defense Production Act Title III (DPA Title III) (B, E)
12.018	Science, Technology, Engineering, and Mathematics (STEM) Educational Outreach Programs Grant (B)	12.800	Air Force Defense Research Sciences Program (B)
12.113	State Memorandum of Agreement Program for the Reimbursement of Technical Services (B, Z)	12.801	Air Force Academy Athletic Programs (B)
12.114	Collaborative Research and Development (B)	12.810	Air Force Medical Research and Development (B)
12.116	Department of Defense Appropriation Act of 2003 (J)	12.840	Civil Air Patrol Program (B)
12.219	EASE 2.0 (B)	12.900	Language Grant Program (B)
12.225	Commercial Technologies for Maintenance Activities Program (B)	12.901	Mathematical Sciences Grants (B)
12.300	Basic and Applied Scientific Research (B)	12.902	Information Security Grants (B)
12.330	Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (B)	12.903	GenCyber Grants Program (B)
12.340	Naval Medical Research and Development (B)	12.905	CyberSecurity Core Curriculum (B)
12.350	Department of Defense HIV/AIDS Prevention Program (B)	12.910	Research and Technology Development (B)
12.351	Scientific Research - Combating Weapons of Mass Destruction (B)	12.987	Upper San Pedro Partnership Support (B)
12.355	Pest Management and Vector Control Research (B)	EDUCATION, DEPARTMENT OF	
12.357	ROTC Language and Culture Training Grants (B, Z)	84.002	Adult Education - Basic Grants to States (A)
12.360	Research on Chemical and Biological Defense (B)	84.004	Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (B)
12.369	Marine Corps Systems Command Federal Assistance Program (B, Z)	84.007	Federal Supplemental Educational Opportunity Grants (C)
12.400	Military Construction, National Guard (B)	84.010	Title I Grants to Local Educational Agencies (A)
12.401	National Guard Military Operations and Maintenance (O&M) Projects (B, Z)	84.011	Migrant Education State Grant Program (A)
12.404	National Guard ChalleNGe Program (A)	84.013	Title I State Agency Program for Neglected and Delinquent Children and Youth (A)
12.420	Military Medical Research and Development (B)		
12.431	Basic Scientific Research (B)		
12.432	U.S. Military Academy Athletic Programs at West Point (B)		
12.440	Dissertation Year Fellowship (B)		

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	84.268	Federal Direct Student Loans (E)
84.016	Undergraduate International Studies and Foreign Language Programs (B)	84.274	American Overseas Research Centers (B)
84.017	International Research and Studies (B)	84.282	Charter Schools (B)
84.018	Overseas Programs Special Bilateral Projects (B)	84.283	Comprehensive Centers (B)
84.021	Overseas Programs - Group Projects Abroad (B)	84.287	Twenty-First Century Community Learning Centers (A, B)
84.022	Overseas Programs - Doctoral Dissertation Research Abroad (B)	84.295	Ready-To-Learn Television (B)
84.027	Special Education Grants to States (A)	84.299	Indian Education -- Special Programs for Indian Children (B)
84.031	Higher Education Institutional Aid (B)	84.305	Education Research, Development and Dissemination (B)
84.033	Federal Work-Study Program (C)	84.310	Statewide Family Engagement Centers (B)
84.040	Impact Aid Facilities Maintenance (B)	84.315	Traditionally Underserved Populations (B)
84.041	Impact Aid (A, B)	84.323	Special Education - State Personnel Development (B)
84.042	TRIO Student Support Services (B)	84.324	Research in Special Education (B)
84.044	TRIO Talent Search (B)	84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)
84.047	TRIO Upward Bound (B)	84.326	Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)
84.048	Career and Technical Education -- Basic Grants to States (A)	84.327	Special Education Educational Technology Media, and Materials for Individuals with Disabilities (B)
84.051	Career and Technical Education -- National Programs (B)	84.328	Special Education Parent Information Centers (B)
84.060	Indian Education Grants to Local Educational Agencies (A)	84.329	Special Education Studies and Evaluations (B)
84.063	Federal Pell Grant Program (C)	84.334	Gaining Early Awareness and Readiness for Undergraduate Programs (B)
84.066	TRIO Educational Opportunity Centers (B)	84.335	Child Care Access Means Parents in School (B)
84.101	Career and Technical Education - Grants to Native Americans and Alaska Natives (B)	84.336	Teacher Quality Partnership Grants (B)
84.103	TRIO Staff Training Program (B)	84.351	Arts in Education (B)
84.116	Fund for the Improvement of Postsecondary Education (B)	84.354	Credit Enhancement for Charter School Facilities (B)
84.120	Minority Science and Engineering Improvement (B)	84.356	Alaska Native Educational Programs (B)
84.126	Rehabilitation Services Vocational Rehabilitation Grants to States (A)	84.358	Rural Education (A)
84.129	Rehabilitation Long-Term Training (B)	84.362	Native Hawaiian Education (B)
84.141	Migrant Education High School Equivalency Program (B)	84.365	English Language Acquisition State Grants (A)
84.144	Migrant Education Coordination Program (B)	84.367	Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants) (A)
84.145	Federal Real Property Assistance Program (H)	84.368	Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) (B)
84.149	Migrant Education College Assistance Migrant Program (B)	84.369	Grants for State Assessments and Related Activities (A)
84.153	Business and International Education Projects (B)	84.370	DC Opportunity Scholarship Program (B)
84.160	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	84.371	Comprehensive Literacy Development (B)
84.161	Rehabilitation Services Client Assistance Program (A)	84.372	Statewide Longitudinal Data Systems (B)
84.165	Magnet Schools Assistance (B)	84.373	Special Education Technical Assistance on State Data Collection (B)
84.173	Special Education Preschool Grants (A)	84.374	Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)
84.177	Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (B)	84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)
84.181	Special Education--Grants for Infants and Families (A)	84.380	Special Education - Special Olympics Education Programs (B)
84.184	School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (B)	84.382	Strengthening Minority-Serving Institutions (B)
84.187	Supported Employment Services for Individuals with the Most Significant Disabilities (A)	84.403	Consolidated Grant to the Outlying Areas (A)
84.191	Adult Education National Leadership Activities (B, C)	84.407	Transition Programs for Students with Intellectual Disabilities into Higher Education (B)
84.196	Education for Homeless Children and Youth (A)	84.408	Postsecondary Education Scholarships for Veteran's Dependents (A, C)
84.200	Graduate Assistance in Areas of National Need (B)	84.411	Education Innovation and Research (formerly Investing in Innovation (i3) Fund) (B)
84.206	Javits Gifted and Talented Students Education (B)	84.415	Indian Education National Activities (State Tribal Education Partnership (STEP) and Native American Language (NAL) (B)
84.215	Innovative Approaches to Literacy; Promise Neighborhoods; and Full-Service Community Schools (B)	84.417	Directed Grants and Awards (C)
84.217	TRIO McNair Post-Baccalaureate Achievement (B)	84.421	Disability Innovation Fund (DIF) (B)
84.220	Centers for International Business Education (B)	84.422	American History and Civics Education (B)
84.229	Language Resource Centers (B)	84.423	Supporting Effective Educator Development Program (B)
84.235	Rehabilitation Services Demonstration and Training Programs (B)	84.424	Student Support and Academic Enrichment Program (A)
84.240	Program of Protection and Advocacy of Individual Rights (A)	84.425	Education Stabilization Fund (A, B)
84.245	Tribally Controlled Postsecondary Career and Technical Institutions (B)	84.426	Randolph-Sheppard – Financial Relief and Restoration Payments (A)
84.246	Rehabilitation Short-Term Training (B)	84.938	Disaster Recovery Assistance for Education (A, B)
84.250	American Indian Vocational Rehabilitation Services (B)		
84.259	Native Hawaiian Career and Technical Education (B)		
84.263	Innovative Rehabilitation Training (B)		
84.264	Rehabilitation Training Technical Assistance Centers (B)		

ELECTION ASSISTANCE COMMISSION

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

90.401	Help America Vote Act Requirements Payments (A, C)	66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)
90.404	2018 HAVA Election Security Grants (A)		
ENERGY, DEPARTMENT OF			
81.005	Environmental Monitoring, Independent Research, Technical Analysis (B)	66.039	Diesel Emission Reduction Act (DERA) National Grants (B)
81.008	Cybersecurity, Energy Security & Emergency Response (CESER) (B)	66.040	Diesel Emissions Reduction Act (DERA) State Grants (A)
81.009	Molybdenum-99 Program (B)	66.042	Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)
81.010	Office of Technology Transitions (OTT)-Technology Deployment, Demonstration and Commercialization (B)	66.110	Healthy Communities Grant Program (B)
81.036	Inventions and Innovations (B, K, L)	66.121	Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)
81.041	State Energy Program (A, L)	66.123	Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)
81.042	Weatherization Assistance for Low-Income Persons (A)	66.124	Coastal Wetlands Planning Protection and Restoration Act (B)
81.049	Office of Science Financial Assistance Program (B)	66.125	Lake Pontchartrain Basin Restoration Program (PRP) (B)
81.057	University Coal Research (B)	66.126	The San Francisco Bay Water Quality Improvement Fund (B)
81.065	Nuclear Legacy Cleanup Program (B, C)	66.129	Southeast New England Coastal Watershed Restoration Program (B)
81.086	Conservation Research and Development (B)	66.130	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)
81.087	Renewable Energy Research and Development (B)	66.202	Congressionally Mandated Projects (B)
81.089	Fossil Energy Research and Development (B)	66.203	Environmental Finance Center Grants (B)
81.092	Remedial Action and Waste Management (B)	66.204	Multipurpose Grants to States and Tribes (A)
81.102	Student Driven Research and Long Term Monitoring of Selected Populations in the Valley and Ridge Eco-region (B)	66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)
81.104	Environmental Remediation and Waste Processing and Disposal (B)	66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)
81.105	National Industrial Competitiveness through Energy, Environment, and Economics (B)	66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)
81.106	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)	66.312	State Environmental Justice Cooperative Agreement Program (B)
81.108	Epidemiology and Other Health Studies Financial Assistance Program (B)	66.313	International Compliance and Enforcement Projects (B)
81.112	Stewardship Science Grant Program (B)	66.418	Construction Grants for Wastewater Treatment Works (B)
81.113	Defense Nuclear Nonproliferation Research (B)	66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)	66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)
81.119	State Energy Program Special Projects (B)	66.432	State Public Water System Supervision (A)
81.121	Nuclear Energy Research, Development and Demonstration (B)	66.433	State Underground Water Source Protection (A)
81.122	Electricity Research, Development and Analysis (B)	66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)
81.123	National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)	66.437	Long Island Sound Program (B)
81.124	Predictive Science Academic Alliance Program (B)	66.440	Urban Waters Small Grants (B)
81.126	Federal Loan Guarantees for Innovative Energy Technologies (E, F)	66.441	Healthy Watersheds Consortium Grant Program (B)
81.128	Energy Efficiency and Conservation Block Grant Program (EECBG) (A, B)	66.442	Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A) (A)
81.131	Expand and Extend Clean Coal Power Initiative (B)	66.443	Reducing Lead in Drinking Water (SDWA 1459B) (B)
81.135	Advanced Research Projects Agency - Energy (B)	66.444	Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d)) (A)
81.136	Long-Term Surveillance and Maintenance (B)	66.445	Innovative Water Infrastructure Workforce Development Program (SDWA 1459E) (B)
81.137	Minority Economic Impact (A, B)	66.446	Technical Assistance for Treatment Works (Clean Water Act [CWA] Section 104(b)(8)) (B)
81.138	State Heating Oil and Propane Program (B, Z)	66.447	Sewer Overflow and Stormwater Reuse Municipal Grant Program (A)
81.139	Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (B)	66.448	Drinking Water System Infrastructure Resilience and Sustainability Program - SDWA 1459A(I) (B)
81.140	Los Alamos National Laboratory - Fire Protection (B)	66.454	Water Quality Management Planning (A)
81.214	Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (B)	66.456	National Estuary Program (B)
81.250	Energy Policy and Systems Analysis (B)	66.458	Capitalization Grants for Clean Water State Revolving Funds (A)
ENVIRONMENTAL PROTECTION AGENCY			
66.001	Air Pollution Control Program Support (A, B)	66.460	Nonpoint Source Implementation Grants (A)
66.032	State Indoor Radon Grants (A, B)	66.461	Regional Wetland Program Development Grants (B)
66.033	Ozone Transport Commission (B)	66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	66.466	Chesapeake Bay Program (B)
66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)	66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)
		66.469	Great Lakes Program (B, I, L)
		66.472	Beach Monitoring and Notification Program Implementation Grants (A)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

66.473 Direct Implementation Tribal Cooperative Agreements (B)
 66.475 Gulf of Mexico Program (B)
 66.481 Lake Champlain Basin Program (B)
 66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)
 66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)
 66.484 South Florida Geographic Initiatives Program (B)
 66.508 Senior Environmental Employment Program (B)
 66.509 Science To Achieve Results (STAR) Research Program (B)
 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)
 66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)
 66.514 Science To Achieve Results (STAR) Fellowship Program (B)
 66.516 P3 Award: National Student Design Competition for Sustainability (B)
 66.517 Regional Applied Research Efforts (RARE) (B)
 66.518 State Senior Environmental Employment Program (B)
 66.521 Innovative Water Technology Grant Program (B)
 66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)
 66.604 Environmental Justice Small Grant Program (B)
 66.605 Performance Partnership Grants (A, B)
 66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)
 66.609 Protection of Children from Environmental Health Risks (B)
 66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
 66.611 Environmental Policy and Innovation Grants (B)
 66.700 Consolidated Pesticide Enforcement Cooperative Agreements (A, B)
 66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (A, B)
 66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (A)
 66.708 Pollution Prevention Grants Program (B)
 66.714 Pesticide Environmental Stewardship Program (PESP) Grants (B)
 66.716 Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)
 66.717 Source Reduction Assistance (B)
 66.801 Hazardous Waste Management State Program Support (A)
 66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
 66.804 Underground Storage Tank (UST) Prevention, Detection, and Compliance Program (A)
 66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
 66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
 66.808 Solid Waste Management Assistance Grants (B)
 66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
 66.812 Hazardous Waste Management Grant Program for Tribes (B)
 66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)
 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
 66.815 Brownfields Job Training Cooperative Agreements (B)
 66.816 Headquarters and Regional Underground Storage Tanks Program (B)
 66.817 State and Tribal Response Program Grants (A)
 66.818 Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Cooperative Agreements (B)
 66.926 Indian Environmental General Assistance Program (GAP) (B)
 66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)
 66.950 National Environmental Education Training Program (B)

66.951 Environmental Education Grants (B)
 66.956 Targeted Airshed Grant Program (B)
 66.958 Water Infrastructure Finance and Innovation (WIFIA) (E)
 66.961 Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core) (B)
 66.962 Columbia River Basin Restoration (CRBR) Program (B)
 66.963 Healthy Environmental Living Program (HELP) (B)
 66.964 Chesapeake Bay Program Implementation, Regulatory/Accountability and Monitoring Grants (B)

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K, N, O)
 30.005 Employment Discrimination Private Bar Program (J)
 30.008 Employment Discrimination Age Discrimination in Employment (K, N, O)
 30.010 Employment Discrimination Equal Pay Act (K, N, O)
 30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K, N, O)
 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K, N, O, Z)

EXECUTIVE OFFICE OF THE PRESIDENT

95.001 High Intensity Drug Trafficking Areas Program (B)
 95.003 DFC NATIONAL COMMUNITY ANTIDRUG COALITION INSTITUTE (B)
 95.004 Anti-Doping Activities (B)
 95.005 Drug Court Training and Technical Assistance (B)
 95.006 Model Acts Program (B)
 95.007 Research and Data Analysis (B)
 95.008 Drug-Free Communities Support Program - National Youth Leadership Initiative (B)

EXPORT-IMPORT BANK OF THE U.S.

31.007 Export - Loan Guarantee/Insured Loans (E, F)

FEDERAL COMMUNICATIONS COMMISSION

32.002 UNIVERSAL SERVICE FUND - HIGH COST (C)
 32.003 UNIVERSAL SERVICE FUND - LIFELINE (C)
 32.004 UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)
 32.005 UNIVERSAL SERVICE FUND - RURAL HEALTH CARE (C)
 32.006 COVID-19 Telehealth Program (C)
 32.007 Connected Care Pilot Program (C)
 32.008 Emergency Broadband Benefit Program (C)
 32.009 Emergency Connectivity Fund Program (C)
 32.010 Supply Chain Reimbursement Program (C)

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE

38.006 State Appraiser Agency Support Grants (B)
 38.008 Training and Technical Assistance for State Appraiser Regulatory Agencies (B)
 38.009 Board Support and Innovation Grant (B)

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.002 Labor Management Cooperation (B)

GENERAL SERVICES ADMINISTRATION

39.002 Disposal of Federal Surplus Real Property (H, Z)
 39.003 Donation of Federal Surplus Personal Property (H)
 39.007 Sale of Federal Surplus Personal Property (H, Z)

GULF COAST ECOSYSTEM RESTORATION COUNCIL

87.051 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (B)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

<p>87.052 Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (B)</p> <p>HEALTH AND HUMAN SERVICES, DEPARTMENT OF</p> <hr/> <p>93.007 Public Awareness Campaigns on Embryo Adoption (B)</p> <p>93.008 Medical Reserve Corps Small Grant Program (B)</p> <p>93.011 National Organizations of State and Local Officials (B)</p> <p>93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B, Z)</p> <p>93.041 Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)</p> <p>93.042 Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)</p> <p>93.043 Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)</p> <p>93.044 Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)</p> <p>93.045 Special Programs for the Aging, Title III, Part C, Nutrition Services (A)</p> <p>93.047 Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)</p> <p>93.048 Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (B)</p> <p>93.051 Alzheimer's Disease Demonstration Grants to States (B)</p> <p>93.052 National Family Caregiver Support, Title III, Part E (A)</p> <p>93.053 Nutrition Services Incentive Program (A)</p> <p>93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (A, B)</p> <p>93.059 Training in General, Pediatric, and Public Health Dentistry (B)</p> <p>93.060 Sexual Risk Avoidance Education (B)</p> <p>93.061 Innovations in Applied Public Health Research (B)</p> <p>93.062 Biomonitoring Programs for State Public Health Laboratories (B)</p> <p>93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)</p> <p>93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)</p> <p>93.066 State Vital Statistics Improvement Program (B)</p> <p>93.067 Global AIDS (B)</p> <p>93.068 Chronic Diseases: Research, Control, and Prevention (B)</p> <p>93.069 Public Health Emergency Preparedness (B)</p> <p>93.070 Environmental Public Health and Emergency Response (B)</p> <p>93.071 Medicare Enrollment Assistance Program (A, B)</p> <p>93.072 Lifespan Respite Care Program (B)</p> <p>93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)</p> <p>93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A, B)</p> <p>93.077 Family Smoking Prevention and Tobacco Control Act Regulatory Research (B, M)</p> <p>93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)</p> <p>93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)</p> <p>93.080 Blood Disorder Program: Prevention, Surveillance, and Research (B)</p> <p>93.081 ASPR Science Preparedness and Response Grants (B)</p> <p>93.082 Sodium Reduction in Communities (B)</p> <p>93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)</p> <p>93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (B)</p> <p>93.085 Research on Research Integrity (B)</p> <p>93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B, L)</p> <p>93.087 Enhance Safety of Children Affected by Substance Abuse (B)</p>	<p>93.088 Advancing System Improvements for Key Issues in Women's Health (B)</p> <p>93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)</p> <p>93.090 Guardianship Assistance (A)</p> <p>93.092 Affordable Care Act (ACA) Personal Responsibility Education Program (A, B)</p> <p>93.093 Health Profession Opportunity Grants (B)</p> <p>93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)</p> <p>93.098 Tribal Public Health Capacity Building and Quality Improvement (B)</p> <p>93.099 Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (B)</p> <p>93.103 Food and Drug Administration Research (B)</p> <p>93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)</p> <p>93.107 Area Health Education Centers (B)</p> <p>93.110 Maternal and Child Health Federal Consolidated Programs (B)</p> <p>93.113 Environmental Health (B)</p> <p>93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)</p> <p>93.117 Preventive Medicine Residency (B)</p> <p>93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)</p> <p>93.121 Oral Diseases and Disorders Research (B)</p> <p>93.123 Health Professions Pre-graduate Scholarship Program for Indians (M)</p> <p>93.124 Nurse Anesthetist Traineeship (A)</p> <p>93.127 Emergency Medical Services for Children (B)</p> <p>93.129 Technical and Non-Financial Assistance to Health Centers (B)</p> <p>93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)</p> <p>93.134 Grants to Increase Organ Donations (B)</p> <p>93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)</p> <p>93.136 Injury Prevention and Control Research and State and Community Based Programs (B)</p> <p>93.137 Community Programs to Improve Minority Health Grant Program (B)</p> <p>93.140 Intramural Research Training Award (C)</p> <p>93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)</p> <p>93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)</p> <p>93.145 HIV-Related Training and Technical Assistance (B)</p> <p>93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)</p> <p>93.155 Rural Health Research Centers (B)</p> <p>93.157 Centers of Excellence (B)</p> <p>93.161 Health Program for Toxic Substances and Disease Registry (B)</p> <p>93.162 National Health Service Corps Loan Repayment (C)</p> <p>93.164 Indian Health Service Educational Loan Repayment (C)</p> <p>93.165 Grants to States for Loan Repayment (B, C)</p> <p>93.172 Human Genome Research (B, M)</p> <p>93.173 Research Related to Deafness and Communication Disorders (B)</p> <p>93.178 Nursing Workforce Diversity (B)</p> <p>93.184 Disabilities Prevention (B)</p> <p>93.185 Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)</p> <p>93.186 National Research Service Award in Primary Care Medicine (B)</p> <p>93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (C)</p> <p>93.191 Graduate Psychology Education (B)</p> <p>93.193 Urban Indian Health Services (B)</p> <p>93.197 Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)</p>
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ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

93.209	Contraception and Infertility Research Loan Repayment Program (C)	93.291	Surplus Property Utilization (H)
93.210	Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)	93.297	Teenage Pregnancy Prevention Program (B)
93.211	Telehealth Programs (B)	93.300	National Center for Health Workforce Analysis (B)
93.213	Research and Training in Complementary and Integrative Health (B, M)	93.301	Small Rural Hospital Improvement Grant Program (B)
93.217	Family Planning Services (B)	93.303	Nurse Corps Scholarship (C)
93.220	National Institutes of Health Intramural Loan Repayment Program (C)	93.304	Racial and Ethnic Approaches to Community Health (B)
93.223	Development and Coordination of Rural Health Services (B)	93.305	PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (B)
93.224	Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)	93.307	Minority Health and Health Disparities Research (B)
93.225	National Research Service Awards Health Services Research Training (M)	93.308	National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)
93.226	Research on Healthcare Costs, Quality and Outcomes (B)	93.310	Trans-NIH Research Support (B, M)
93.228	Indian Health Service, Health Management Development Program (B)	93.311	Mobilization For Health: National Prevention Partnership Awards (B)
93.231	Epidemiology Cooperative Agreements (B)	93.312	Child Development and, Surveillance, Research and Prevention (B)
93.232	Loan Repayment Program for General Research (C)	93.313	NIH Office of Research on Women's Health (B)
93.233	National Center on Sleep Disorders Research (B)	93.314	Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (B)
93.234	Traumatic Brain Injury State Demonstration Grant Program (B)	93.315	Rare Disorders: Research, Surveillance, Health Promotion, and Education (B)
93.235	Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program (A)	93.316	Public Health Preparedness and Response Science, Research, and Practice (B)
93.236	Grants to States to Support Oral Health Workforce Activities (B)	93.317	Emerging Infections Programs (B)
93.237	Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (B)	93.318	Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (B)
93.239	Policy Research and Evaluation Grants (B)	93.319	Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (B)
93.240	State Capacity Building (B)	93.321	Dietary Supplement Research Program (B)
93.241	State Rural Hospital Flexibility Program (B)	93.322	CSELS Partnership: Strengthening Public Health Laboratories (B)
93.242	Mental Health Research Grants (B, M)	93.323	Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (B)
93.243	Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)	93.324	State Health Insurance Assistance Program (B)
93.247	Advanced Nursing Education Workforce Grant Program (B)	93.325	Paralysis Resource Center (B)
93.250	Geriatric Academic Career Awards Department of Health and Human Services (B)	93.326	Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (B)
93.251	Early Hearing Detection and Intervention (B)	93.327	Demonstration Grants for Domestic Victims of Human Trafficking (B)
93.253	Poison Center Support and Enhancement Grant (B)	93.328	National Implementation and Dissemination for Chronic Disease Prevention (B)
93.255	Children's Hospitals Graduate Medical Education Payment Program (C)	93.332	Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (B)
93.257	Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	93.334	The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (B)
93.260	Family Planning Personnel Training (B)	93.336	Behavioral Risk Factor Surveillance System (B)
93.261	Scaling the National Diabetes Prevention Program to Priority Populations (B)	93.339	Public Health Conference Support (B)
93.262	Occupational Safety and Health Program (B, M)	93.340	Native American Community Research, Demonstration, and Pilot Projects (B)
93.264	Nurse Faculty Loan Program (NFLP) (A)	93.341	Analyses, Research and Studies to Address the Impact of CMS' Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (B)
93.266	Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)	93.342	Health Professions Student Loans, Including Primary Care Loans and Loans for Disadvantaged Students (B)
93.268	Immunization Cooperative Agreements (B)	93.343	Public Health Service Evaluation Funds (B)
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	93.344	Research, Monitoring and Outcomes Definitions for Vaccine Safety (B)
93.270	Viral Hepatitis Prevention and Control (B)	93.345	Leading Edge Acceleration Projects (LEAP) in Health Information Technology (B)
93.273	Alcohol Research Programs (B)	93.346	Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (B)
93.276	Drug-Free Communities Support Program Grants (B)	93.347	Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (B)
93.279	Drug Abuse and Addiction Research Programs (B, M)	93.348	Technical Assistance to Increase Tobacco Cessation (B)
93.280	National Institutes of Health Extramural Loan Repayment Program (C)	93.350	National Center for Advancing Translational Sciences (B)
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	93.351	Research Infrastructure Programs (B)
93.284	Injury Prevention Program for American Indians and Alaskan Natives Cooperative Agreements (B)	93.352	Construction Support (B)
93.285	National Institutes of Health Pediatric Research Loan Repayment Program (C)	93.353	21st Century Cures Act - Beau Biden Cancer Moonshot (B)
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health (B)		
93.288	National Health Service Corps Scholarship Program (C)		

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93.354	Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (B)	93.435	Innovative State and Local Public Health Strategies to prevent and Manage Diabetes and Heart Disease and Stroke- (B)
93.355	Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program) (B)	93.436	WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (WISEWOMAN) (B)
93.356	Head Start Disaster Recovery (B)	93.437	PPHF2018-National Organization for Chronic Disease Prevention and Health Promotion-financed in part by 2018 Prevention and Public Health Funds (B)
93.359	Nurse Education, Practice Quality and Retention Grants (B)	93.439	State Physical Activity and Nutrition (SPAN) (B)
93.360	Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)	93.441	Indian Self-Determination (C)
93.361	Nursing Research (B, M)	93.444	Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)
93.362	Assistive Technology National Activities (B)	93.446	Partner Actions to Improve Oral Health Outcomes (B)
93.364	Nursing Student Loans (E)	93.456	CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (B)
93.365	Sickle Cell Treatment Demonstration Program (B)	93.461	HRSA COVID-19 Claims Reimbursement for the Uninsured Program and the COVID-19 Coverage Assistance Fund (C)
93.366	State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (B)	93.462	Strengthening the Technical Advancement & Readiness of Public Health via Health Information Exchange Program (B)
93.367	Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs (B, Z)	93.464	ACL Assistive Technology (A, B)
93.368	21st Century Cures Act - Precision Medicine Initiative (B)	93.465	Tobacco Prevention and Control Legal Technical Assistance (B)
93.369	ACL Independent Living State Grants (A)	93.469	Assistive Technology Alternative Financing Program (B)
93.370	21st Century Cures Act: Regenerative Medicine Initiative (B)	93.470	Alzheimer's Disease Program Initiative (ADPI) (B)
93.372	21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (B)	93.471	Title IV-E Kinship Navigator Program (A)
93.376	Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (B)	93.472	Title IV-E Prevention Program (A)
93.377	Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (B)	93.478	Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (B)
93.378	Integrated Care for Kids Model (A)	93.479	Good Health and Wellness in Indian Country (B)
93.380	The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (B)	93.488	National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (B)
93.381	Emergency Triage, Treat, and Transport (ET3) Model (A)	93.489	Child Care Disaster Relief (A)
93.382	Indian Health Service Community Health Aide Program (B)	93.494	Global Tuberculosis:Developing,Evaluating,Implementing Evidence-based and Innovative Approaches to Find, Cure, and Prevent Tuberculosis Globally (B)
93.387	National and State Tobacco Control Program (B)	93.495	Community Health Workers for Public Health Response and Resilient (B)
93.391	Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (B)	93.496	Family Violence Prevention and Services/Culturally Specific Domestic Violence and Sexual Violence Services (B)
93.393	Cancer Cause and Prevention Research (B)	93.497	Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports (A)
93.394	Cancer Detection and Diagnosis Research (B)	93.498	Provider Relief Fund (C)
93.395	Cancer Treatment Research (B)	93.500	Pregnancy Assistance Fund Program (B)
93.396	Cancer Biology Research (B)	93.501	Grants for School-Based Health Center Capital Expenditures (B)
93.397	Cancer Centers Support Grants (B)	93.504	Family to Family Health Information Centers (B)
93.398	Cancer Research Manpower (B)	93.516	Public Health Training Centers Program (B)
93.399	Cancer Control (B)	93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)
93.413	The State Flexibility to Stabilize the Market Grant Program (B)	93.524	Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations-financed in part by Prevention and Public Health Funds (PPHF) (B)
93.421	Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (B)	93.525	State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges (B)
93.422	National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (B)	93.526	Grants for Capital Development in Health Centers (B)
93.423	1332 State Innovation Waivers (B)	93.527	Grants for New and Expanded Services under the Health Center Program (B)
93.424	NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (B)	93.528	National Forum for State and Territorial Chief Executives (B)
93.426	Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (B)	93.530	Teaching Health Center Graduate Medical Education Payment (A)
93.427	Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes. (B)	93.533	Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)
93.430	PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (B)	93.534	Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)
93.431	Networking2Save™: CDC's National Network Approach to Preventing and Controlling Tobacco-related Cancers in Special Populations (B)	93.545	Consumer Operated and Oriented Plan [CO-OP] Program (E)
93.432	ACL Centers for Independent Living (B)	93.547	National Health Service Corps (B, C)
93.433	ACL National Institute on Disability, Independent Living, and Rehabilitation Research (B)	93.550	Transitional Living for Homeless Youth (B)
93.434	Every Student Succeeds Act/Preschool Development Grants (B, M, Z)	93.556	MaryLee Allen Promoting Safe and Stable Families Program (A, B)

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93.557	Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)	93.653	Indian Health Service Domestic Violence Prevention Programs (B)
93.558	Temporary Assistance for Needy Families (A, L)	93.654	Indian Health Service Behavioral Health Programs (B)
93.560	Payments to Territories – Adults (A)	93.658	Foster Care Title IV-E (A, B)
93.563	Child Support Enforcement (A)	93.659	Adoption Assistance (A)
93.564	Child Support Enforcement Research (B)	93.662	Extramural Research Facilities Restoration Program: Hurricanes Harvey, Maria, and Irma – Construction (B)
93.566	Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A, B)	93.663	Extramural Research Restoration Program: Hurricanes Harvey, Maria, and Irma – non-construction (B)
93.567	Refugee and Entrant Assistance Voluntary Agency Programs (A)	93.664	Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (B)
93.568	Low-Income Home Energy Assistance (A, M)	93.665	Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 (B)
93.569	Community Services Block Grant (A, B, M)	93.667	Social Services Block Grant (A)
93.570	Community Services Block Grant Discretionary Awards (B)	93.669	Child Abuse and Neglect State Grants (A)
93.575	Child Care and Development Block Grant (A, B)	93.670	Child Abuse and Neglect Discretionary Activities (B)
93.576	Refugee and Entrant Assistance Discretionary Grants (B)	93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)
93.579	U.S. Repatriation (B)	93.674	John H. Chafee Foster Care Program for Successful Transition to Adulthood (A)
93.581	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)	93.676	Unaccompanied Alien Children Program (B)
93.583	Refugee and Entrant Assistance Wilson/Fish Program (B)	93.680	Medical Student Education (B)
93.586	State Court Improvement Program (A, B)	93.684	Engaging State and Local Emergency Management Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events (B)
93.587	Promote the Survival and Continuing Vitality of Native American Languages (A, B)	93.686	Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B (B)
93.590	Community-Based Child Abuse Prevention Grants (A, B)	93.687	Maternal Opioid Misuse Model (A)
93.591	Family Violence Prevention and Services/State Domestic Violence Coalitions (A)	93.691	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)
93.592	Family Violence Prevention and Services/Discretionary (B)	93.696	Certified Community Behavioral Health Clinic Expansion Grants (B)
93.594	Tribal Work Grants (A)	93.697	COVID-19 Testing and Mitigation for Rural Health Clinics (C)
93.595	Welfare Reform Research, Evaluations and National Studies (B)	93.702	National Center for Research Resources, Recovery Act Construction Support (B)
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A, B)	93.714	ARRA – Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)
93.597	Grants to States for Access and Visitation Programs (A)	93.732	Mental and Behavioral Health Education and Training Grants (B)
93.598	Services to Victims of a Severe Form of Trafficking (B, C)	93.733	Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (B)
93.599	Chafee Education and Training Vouchers Program (ETV) (A)	93.734	Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF) (B, Z)
93.600	Head Start (B, C)	93.735	State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (B)
93.602	Assets for Independence Demonstration Program (B, M)	93.738	PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (B)
93.603	Adoption and Legal Guardianship Incentive Payments (A)	93.745	PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (B)
93.604	Assistance for Torture Victims (B, C, Z)	93.747	Elder Abuse Prevention Interventions Program (B)
93.605	Family Connection Grants (B)	93.753	Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (B)
93.606	Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)	93.755	Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)
93.612	Native American Programs (B)	93.759	Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (B)
93.618	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A, B)	93.761	Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (B)
93.621	Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)	93.762	A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (B)
93.623	Basic Center Grant (B)	93.763	Alzheimer's Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (B)
93.624	Community Health Access and Rural Transformation (CHART) Model (A, B)	93.765	PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)
93.630	Developmental Disabilities Basic Support and Advocacy Grants (A)	93.767	Children's Health Insurance Program (A)
93.631	Developmental Disabilities Projects of National Significance (B)	93.770	Medicare Prescription Drug Coverage (C, G)
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)	93.772	Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)
93.634	Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals (B)		
93.638	ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (B)		
93.639	Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services (B)		
93.640	Basic Health Program (Affordable Care Act) (A, G)		
93.643	Children's Justice Grants to States (A)		
93.645	Stephanie Tubbs Jones Child Welfare Services Program (A)		
93.647	Social Services Research and Demonstration (B)		
93.648	Child Welfare Research Training or Demonstration (B)		
93.649	Nutrition and Physical Activity Programs (B)		
93.650	Accountable Health Communities (A)		
93.652	Adoption Opportunities (B)		

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

93.773	Medicare Hospital Insurance (C, G)	93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders (B)
93.774	Medicare Supplementary Medical Insurance (C, G)	93.855	Allergy and Infectious Diseases Research (B)
93.775	State Medicaid Fraud Control Units (A)	93.858	National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (B)
93.777	State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A, C)	93.859	Biomedical Research and Research Training (B)
93.778	Medical Assistance Program (A)	93.860	Emerging Infections Sentinel Networks (A, B)
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)	93.861	Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (B)
93.787	Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (B)	93.865	Child Health and Human Development Extramural Research (B)
93.788	Opioid STR (A)	93.866	Aging Research (B)
93.791	Money Follows the Person Rebalancing Demonstration (A, B)	93.867	Vision Research (B)
93.792	Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (B)	93.870	Maternal, Infant and Early Childhood Home Visiting Grant (A, B)
93.795	Community Health Workers for COVID Response and Resilient Communities (B)	93.872	Tribal Maternal, Infant, and Early Childhood Home Visiting (B)
93.796	State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)	93.873	State Grants for Protection and Advocacy Services (A)
93.799	CARA Act – Comprehensive Addiction and Recovery Act of 2016 (A, B)	93.874	Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (B)
93.800	Organized Approaches to Increase Colorectal Cancer Screening (B)	93.876	Antimicrobial Resistance Surveillance in Retail Food Specimens (B)
93.801	Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (B)	93.877	Autism Collaboration, Accountability, Research, Education, and Support (B)
93.809	National Organizations for Chronic Disease Prevention and Health Promotion (B)	93.878	Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (B, Z)
93.810	Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)	93.879	Medical Library Assistance (B)
93.814	Partner support for heart disease and stroke prevention (B)	93.881	The Reduction of Issuer Burden Through Technology Grant Program and The Health Insurance Enforcement and Consumer Protections Grant Program (B)
93.816	Preventing Heart Attacks and Strokes in High Need Areas (B)	93.882	High Impact Pilot Awards (B)
93.817	Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (A)	93.883	Standards Exploration Award (B)
93.818	Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)	93.884	Primary Care Training and Enhancement (B)
93.822	Health Careers Opportunity Program (HCOP) (B)	93.889	National Bioterrorism Hospital Preparedness Program (A, B)
93.825	National Ebola Training and Education Center (NETEC) (B)	93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)
93.826	Closing the Gap Between Standards Development and Implementation (B)	93.898	Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (B)
93.829	Section 223 Demonstration Programs to Improve Community Mental Health Services (B)	93.908	Nurse Corps Loan Repayment Program (C)
93.831	Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (B)	93.910	Family and Community Violence Prevention Program (B)
93.832	Promoting the Cancer Surveillance Workforce, Education and Data Use (B)	93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement (B)
93.833	Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)	93.913	Grants to States for Operation of State Offices of Rural Health (B)
93.834	Capacity Building Assistance (CBA) for High-Impact HIV Prevention (B)	93.914	HIV Emergency Relief Project Grants (B)
93.835	Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (B)	93.917	HIV Care Formula Grants (A, B)
93.837	Cardiovascular Diseases Research (B)	93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)
93.838	Lung Diseases Research (B)	93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)
93.839	Blood Diseases and Resources Research (B)	93.923	Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (B, C)
93.840	Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders (B)	93.924	Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (A, B)
93.843	ACL Assistive Technology State Grants for Protection and Advocacy (A)	93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)
93.844	ACL Centers for Independent Living, Recovery Act (B)	93.926	Healthy Start Initiative (B)
93.845	Promoting Population Health through Increased Capacity in Alcohol Epidemiology (B)	93.928	Special Projects of National Significance (B)
93.846	Arthritis, Musculoskeletal and Skin Diseases Research (B)	93.932	Native Hawaiian Health Care Systems (B)
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	93.933	Demonstration Projects for Indian Health (B)
93.850	Improving Epilepsy Programs, Services, and Outcomes through National Partnerships (B)	93.936	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B, C)
93.851	Tracking Electronic Health Record Adoption and Capturing Related Insights in U.S. Hospitals (B)	93.939	HIV Prevention Activities Non-Governmental Organization Based (B)
93.852	National Syndromic Surveillance Program Community of Practice (NSSP CoP) (B)	93.940	HIV Prevention Activities Health Department Based (B)
		93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)
		93.942	Research, Prevention, and Education Programs on Lyme Disease in the United States (B)
		93.943	Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)	97.034	Disaster Unemployment Assistance (B, C)
93.945	Assistance Programs for Chronic Disease Prevention and Control (B)	97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)	97.039	Hazard Mitigation Grant (B)
93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)	97.040	Chemical Stockpile Emergency Preparedness Program (B)
93.958	Block Grants for Community Mental Health Services (A, B)	97.041	National Dam Safety Program (B)
93.959	Block Grants for Prevention and Treatment of Substance Abuse (A)	97.042	Emergency Management Performance Grants (A)
93.965	Coal Miners Respiratory Impairment Treatment Clinics and Services (B)	97.043	State Fire Training Systems Grants (B)
93.967	CDC's Collaboration with Academia to Strengthen Public Health (B)	97.044	Assistance to Firefighters Grant (B)
93.968	Funding in Support of the Pennsylvania Rural Health Model (B)	97.045	Cooperating Technical Partners (B)
93.969	PPHF Geriatric Education Centers (B)	97.046	Fire Management Assistance Grant (B, J)
93.970	Health Professions Recruitment Program for Indians (B)	97.047	BRIC: Building Resilient Infrastructure and Communities (B)
93.971	Health Professions Preparatory Scholarship Program for Indians (M)	97.048	Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B, C)
93.972	Health Professions Scholarship Program (M)	97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
93.974	Family Planning Service Delivery Improvement Research Grants (B)	97.052	Emergency Operations Center (B)
93.976	Primary Care Medicine and Dentistry Clinician Educator Career Development Awards (B)	97.055	Interoperable Emergency Communications (B)
93.977	Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)	97.056	Port Security Grant Program (B)
93.978	Sexually Transmitted Diseases (STD) Provider Education Grants (B)	97.057	Intercity Bus Security Grants (B)
93.980	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (B, Z)	97.061	Centers for Homeland Security (B, Z)
93.981	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)	97.062	Scientific Leadership Awards (B, C)
93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)	97.067	Homeland Security Grant Program (A)
93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)	97.070	Map Modernization Management Support (B, Z)
93.986	Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (A, Z)	97.075	Rail and Transit Security Grant Program (B)
93.989	International Research and Research Training (B, M)	97.076	CyberTipline (B)
93.990	National Health Promotion (B)	97.077	Evaluation and Demonstration of Technologies Related to Countering Weapons of Mass Destruction (B)
93.991	Preventive Health and Health Services Block Grant (A, B)	97.082	Earthquake Consortium (B)
93.994	Maternal and Child Health Services Block Grant to the States (A)	97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)
93.997	Assisted Outpatient Treatment (B)	97.088	Disaster Assistance Projects (B)
93.998	Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)	97.089	Driver's License Security Grant Program (B)
		97.091	Homeland Security Biowatch Program (B, I, J)
		97.092	Repetitive Flood Claims (B)
		97.106	Securing the Cities Program (B, I, L)
		97.107	National Incident Management System (NIMS) (B)
		97.108	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B)
		97.110	Severe Repetitive Loss Program (B)
		97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)
		97.120	Rural Emergency Medical Communications Demonstration Project (B, Z)
		97.123	State, Local, Tribal and Territorial Security Operations Center/Information Sharing and Analysis Center (B, Z)
		97.127	Cybersecurity Education and Training (B)
		97.128	CISA Cyber Security Awareness Campaign (B)
		97.130	National Nuclear Forensics Expertise Development Program (B)
		97.131	Emergency Management Baseline Assessments Grant (EMBAG) (C)
		97.132	Financial Assistance for Targeted Violence and Terrorism Prevention (B)
		97.133	Preparing for Emerging Threats and Hazards (B)
		97.134	Presidential Residence Protection Security Grant (B)

HOMELAND SECURITY, DEPARTMENT OF

97.005	State and Local Homeland Security National Training Program (B)
97.007	Homeland Security Preparedness Technical Assistance Program (B)
97.008	Non-Profit Security Program (A, B)
97.010	Citizenship Education and Training (B)
97.012	Boating Safety Financial Assistance (A, B)
97.018	National Fire Academy Training Assistance (B, C)
97.022	Flood Insurance (G)
97.023	Community Assistance Program State Support Services Element (CAP-SSSE) (B)
97.024	Emergency Food and Shelter National Board Program (B)
97.025	National Urban Search and Rescue (US&R) Response System (B)
97.026	Emergency Management Institute Training Assistance (C)
97.027	Emergency Management Institute (EMI) Independent Study Program (M)
97.028	Emergency Management Institute (EMI) Resident Educational Program (M)
97.029	Flood Mitigation Assistance (B)
97.030	Community Disaster Loans (E)
97.032	Crisis Counseling (B)
97.033	Disaster Legal Services (C, J)

HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

14.103	Interest Reduction Payments Rental and Cooperative Housing for Lower Income Families (C, F)
14.108	Rehabilitation Mortgage Insurance (F)
14.110	Manufactured Home Loan Insurance Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F, H)
14.117	Mortgage Insurance Homes (F)
14.119	Mortgage Insurance Homes for Disaster Victims (F, H)
14.122	Mortgage Insurance Homes in Urban Renewal Areas (F)
14.123	Mortgage Insurance Housing in Older, Declining Areas (F)
14.126	Mortgage Insurance Cooperative Projects (F)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

14.128	Mortgage Insurance Hospitals (F)	14.322	Tenant Education and Outreach Program (B)
14.129	Mortgage Insurance Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)	14.326	Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities (B)
14.133	Mortgage Insurance Purchase of Units in Condominiums (F)	14.327	Performance Based Contract Administrator Program (C)
14.134	Mortgage Insurance Rental Housing (F)	14.400	Equal Opportunity in Housing (N, Z)
14.135	Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)	14.401	Fair Housing Assistance Program State and Local (A, B)
14.138	Mortgage Insurance Rental Housing for the Elderly (F)	14.408	Fair Housing Initiatives Program (B)
14.139	Mortgage Insurance Rental Housing in Urban Renewal Areas (F)	14.416	Education and Outreach Initiatives (B)
14.142	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F, H)	14.417	Fair Housing Organization Initiatives (B)
14.151	Supplemental Loan Insurance Multifamily Rental Housing (F)	14.418	Private Enforcement Initiatives (B)
14.155	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)	14.506	General Research and Technology Activity (B, J)
14.157	Supportive Housing for the Elderly (B, C)	14.536	Research and Evaluations, Demonstrations, and Data Analysis and Utilization (B)
14.162	Mortgage Insurance Combination and Manufactured Home Lot Loans (F, H)	14.537	Eviction Protection Grant Program (B)
14.169	Housing Counseling Assistance Program (B)	14.850	Public and Indian Housing (A, C)
14.171	Manufactured Home Dispute Resolution (J)	14.856	Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (C)
14.175	Adjustable Rate Mortgages (F)	14.862	Indian Community Development Block Grant Program (B)
14.181	Supportive Housing for Persons with Disabilities (B, C)	14.865	Public and Indian Housing Indian Loan Guarantee Program (F)
14.183	Home Equity Conversion Mortgages (F)	14.867	Indian Housing Block Grants (A, B)
14.188	Housing Finance Agencies (HFA) Risk Sharing (F)	14.869	Title VI Federal Guarantees for Financing Tribal Housing Activities (F)
14.191	Multifamily Housing Service Coordinators (B)	14.870	Resident Opportunity and Supportive Services - Service Coordinators (B)
14.195	Section 8 Housing Assistance Payments Program (C)	14.871	Section 8 Housing Choice Vouchers (C)
14.198	Good Neighbor Next Door Sales Program (H)	14.872	Public Housing Capital Fund (A)
14.218	Community Development Block Grants/Entitlement Grants (A, B)	14.873	Native Hawaiian Housing Block Grants (B)
14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)	14.874	Loan Guarantees for Native Hawaiian Housing (F)
14.228	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A, B)	14.878	Affordable Housing Development in Main Street Rejuvenation Projects (B)
14.231	Emergency Solutions Grant Program (A)	14.879	Mainstream Vouchers (C)
14.235	Supportive Housing Program (B, C)	14.880	Family Unification Program (FUP) (C)
14.238	Shelter Plus Care (B)	14.881	Moving to Work Demonstration Program (A)
14.239	Home Investment Partnerships Program (A)	14.888	Lead-Based Paint Capital Fund Program (B)
14.241	Housing Opportunities for Persons with AIDS (A, B)	14.889	Choice Neighborhoods Implementation Grants (B)
14.247	Self-Help Homeownership Opportunity Program (B)	14.892	Choice Neighborhoods Planning Grants (B)
14.248	Community Development Block Grants Section 108 Loan Guarantees (F)	14.893	Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (M)
14.249	Section 8 Moderate Rehabilitation Single Room Occupancy (B)	14.895	Jobs-Plus Pilot Initiative (B)
14.250	Rural Housing and Economic Development (B)	14.896	Family Self-Sufficiency Program (B)
14.252	Section 4 Capacity Building for Community Development and Affordable Housing (B)	14.899	Tribal HUD-VA Supportive Housing Program (B)
14.259	Community Compass Technical Assistance and Capacity Building (B)	14.900	Lead-Based Paint Hazard Control in Privately-Owned Housing (B)
14.261	Homeless Management Information Systems Technical Assistance (B)	14.901	Healthy Homes Demonstration Grants (B)
14.265	Rural Capacity Building for Community Development and Affordable Housing Grants (B)	14.902	Lead Technical Studies Grants (B)
14.266	Border Community Capital Initiative (B)	14.905	Lead Hazard Reduction Demonstration Grant Program (B)
14.267	Continuum of Care Program (B, C)	14.906	Healthy Homes Technical Studies Grants (B)
14.268	Rural Housing Stability Assistance Program (B, C)	14.913	Healthy Homes Production Program (B)
14.269	Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)	14.920	Lead Hazard Control for High Risk Areas (B)
14.270	Appalachia Economic Development Initiative (B)	14.921	Older Adults Home Modification Grant Program (B)
14.271	Delta Community Capital Initiative (B)		
14.272	National Disaster Resilience Competition (B)		
14.273	Pay for Success Permanent Supportive Housing Demonstration (B)		
14.275	Housing Trust Fund (A)		
14.276	Youth Homelessness Demonstration Program (B, C)		
14.278	Veterans Housing Rehabilitation and Modification Program (B, Z)		
14.279	Specialized Housing and Services for Victims of Human Trafficking (B)		
14.311	Single Family Property Disposition (H)		
14.313	Dollar Home Sales (H)		
14.316	Housing Counseling Training Program (B)		

INTERIOR, DEPARTMENT OF THE

15.011	Experienced Services Program (B)
15.012	Residential Environmental Learning Centers (B)
15.013	Alaska Native Science and Engineering (B)
15.014	Supporting the Lower Mississippi Delta Initiative (B)
15.015	Good Neighbor Authority (B)
15.016	Experienced Services Program (B)
15.017	Eastern Nevada Conservation, Recreation and Development (B)
15.020	Aid To Tribal Governments (C)
15.021	Consolidated Tribal Government (C)
15.022	Tribal Self-Governance (C)
15.024	Indian Self-Determination Contract Support (C)
15.025	Services to Indian Children, Elderly and Families (C)
15.026	Indian Adult Education (C)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

15.027	Assistance to Tribally Controlled Community Colleges and Universities (B)	15.225	Recreation and Visitor Services (B)
15.028	Tribally Controlled Community College Endowments (B)	15.226	Payments in Lieu of Taxes (C, D)
15.029	Tribal Courts (C)	15.228	BLM Fuels Management and Community Fire Assistance Program Activities (B, I, L, M)
15.030	Indian Law Enforcement (C)	15.229	Wild Horse and Burro Resource Management (B)
15.031	Indian Community Fire Protection (C)	15.230	Invasive and Noxious Plant Management (B, M)
15.032	Indian Economic Development (B, C)	15.231	Fish, Wildlife and Plant Conservation Resource Management (B, M)
15.033	Road Maintenance Indian Roads (B, C)	15.232	Joint Fire Science Program (B)
15.034	Agriculture on Indian Lands (C, J, K)	15.233	Forest and Woodlands Resource Management (B)
15.035	Forestry on Indian Lands (C, J, K)	15.234	Secure Rural Schools and Community Self-Determination (B, M)
15.036	Indian Rights Protection (C)	15.235	Southern Nevada Public Land Management (B)
15.037	Water Resources on Indian Lands (C, J, K)	15.236	Environmental Quality and Protection (B)
15.038	Minerals and Mining on Indian Lands (C, J, L)	15.237	Rangeland Resource Management (B)
15.040	Real Estate Programs Indian Lands (C)	15.238	Challenge Cost Share (B)
15.041	Environmental Management Indian (C)	15.239	Management Initiatives (B)
15.042	Indian School Equalization (C)	15.241	Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B, M)
15.043	Indian Child and Family Education (B, M)	15.243	Youth Conservation Opportunities on Public Lands (B)
15.044	Indian Schools Student Transportation (C)	15.244	Fisheries and Aquatic Resources Management (B)
15.046	Administrative Cost Grants for Indian Schools (B)	15.245	Plant Conservation and Restoration Management (B, M)
15.047	Indian Education Facilities, Operations, and Maintenance (C)	15.246	Threatened and Endangered Species (B)
15.048	Bureau of Indian Affairs Facilities Operations and Maintenance (C)	15.247	Wildlife Resource Management (B)
15.051	Endangered Species on Indian Lands (C, K, L)	15.248	National Landscape Conservation System (B)
15.052	Litigation Support for Indian Rights (C)	15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B, C)
15.053	Attorney Fees Indian Rights (C)	15.252	Abandoned Mine Land Reclamation (AMLR) (A, B)
15.057	Navajo-Hopi Indian Settlement (C, J)	15.253	Not-for-Profit AMD Reclamation (B)
15.058	Indian Post Secondary Schools (M)	15.254	OSM/VISTA AmeriCorps (B, C)
15.059	Indian Graduate Student Scholarships (B)	15.255	Science and Technology Projects Related to Coal Mining and Reclamation (B)
15.060	Indian Vocational Training United Tribes Technical College (D, M)	15.406	National Park Service Centennial Challenge (B)
15.061	Indian Job Placement United Sioux Tribes Development Corporation (D, K)	15.407	Keweenaw National Historical Park (NHP) Preservation Grants (B)
15.062	Replacement and Repair of Indian Schools (C)	15.408	Bureau of Ocean Energy Management Renewable Energy (B)
15.063	Improvement and Repair of Indian Detention Facilities (C)	15.421	Alaska Coastal Marine Institute (B)
15.065	Safety of Dams on Indian Lands (C)	15.422	Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)
15.066	Tribal Great Lakes Restoration Initiative (B)	15.423	Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (B)
15.067	Strengthening Tribal Nations (B, K, L, M)	15.424	Marine Minerals Activities (B)
15.108	Indian Employment Assistance (C)	15.427	Federal Oil and Gas Royalty Management State and Tribal Coordination (B)
15.113	Indian Social Services Welfare Assistance (C)	15.428	Marine Gas Hydrate Research Activities (B)
15.114	Indian Education Higher Education Grant (B)	15.429	State Select (D)
15.124	Indian Loans Economic Development (F)	15.430	8(g) State Coastal Zone (D)
15.130	Indian Education Assistance to Schools (C)	15.431	Alaska Settlement Agreement (C, D)
15.133	Native American Business Development Institute (A)	15.432	California Refuge Account (C, D)
15.141	Indian Housing Assistance (B, L)	15.433	Flood Control Act Lands (C, D)
15.144	Indian Child Welfare Act Title II Grants (B)	15.434	Geothermal Resources (C)
15.146	Ironworker Training (B)	15.435	GoMESA (C)
15.147	Tribal Courts Trust Reform Initiative (B)	15.436	Late Disbursement Interest (C, D)
15.148	Tribal Energy Development Capacity Grants (C)	15.437	Minerals Leasing Act (C)
15.149	FOCUS on Student Achievement (B)	15.438	National Forest Acquired Lands (C)
15.150	Juvenile Detention Education (B)	15.439	National Petroleum Reserve - Alaska (C)
15.151	Education Enhancements (B)	15.440	South Half of the Red River (C)
15.152	Land Buy-Back For Tribal Nations (B)	15.441	Safety and Environmental Research and Data Collection for Offshore Energy and Mineral Activities (B)
15.154	21st Century Conservation Service Corps (B)	15.442	Alaska Native Science and Engineering (B)
15.155	Office of the Special Trustee for American Indians, Field Operations (B, Z)	15.443	Not For Profit (B)
15.156	Tribal Climate Resilience (C)	15.444	Take Pride (B)
15.158	DOI National Fire Plan (B)	15.504	Title XVI Water Reclamation and Reuse (A, B)
15.159	Cultural Resources Management (B, C)	15.506	Water Desalination Research and Development (B)
15.160	BIA Wildland Urban Interface Community Fire Assistance (B, I, L, M)	15.507	WaterSMART (Sustain and Manage America's Resources for Tomorrow) (B)
15.161	Native Language Immersion Grant (C)	15.508	Providing Water to At-Risk Natural Desert Terminal Lakes (B)
15.162	Tiwahe Housing (B)		
15.163	Tribal Education Departments (C)		
15.214	Non-Sale Disposals of Mineral Material (H)		
15.222	Cooperative Inspection Agreements with States and Tribes (B)		
15.224	Cultural and Paleontological Resources Management (B)		

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

15.509	Title II, Colorado River Basin Salinity Control (B)	15.615	Cooperative Endangered Species Conservation Fund (B)
15.510	Colorado Ute Indian Water Rights Settlement Act (B, C)	15.616	Clean Vessel Act (B)
15.511	Cultural Resources Management (B)	15.619	Rhinoceros and Tiger Conservation Fund (B)
15.512	Central Valley Improvement Act, Title XXXIV (B)	15.620	African Elephant Conservation Fund (B)
15.514	Reclamation States Emergency Drought Relief (B)	15.621	Asian Elephant Conservation Fund (B)
15.516	Fort Peck Reservation Rural Water System (B, C)	15.622	Sportfishing and Boating Safety Act (B)
15.517	Fish and Wildlife Coordination Act (B)	15.623	North American Wetlands Conservation Fund (B)
15.518	Garrison Diversion Unit (B)	15.626	Enhanced Hunter Education and Safety (A)
15.519	Indian Tribal Water Resources Development, Management, and Protection (B, C)	15.628	Multistate Conservation Grant (B)
15.520	Lewis and Clark Rural Water System (B)	15.629	Great Apes Conservation Fund (B)
15.521	Lower Rio Grande Valley Water Resources Conservation and Improvement (B)	15.630	Coastal (B)
15.522	Mni Wiconi Rural Water Supply Project (B)	15.631	Partners for Fish and Wildlife (B)
15.524	Recreation Resources Management (B)	15.633	Landowner Incentive (B)
15.525	Rocky Boy's/North Central Montana Regional Water System (B)	15.634	State Wildlife Grants (A, B)
15.526	San Gabriel Basin Restoration (B)	15.635	Neotropical Migratory Bird Conservation (B)
15.527	San Luis Unit, Central Valley (B)	15.636	Alaska Subsistence Management (B)
15.529	Upper Colorado and San Juan River Basins Endangered Fish Recovery (B)	15.637	Migratory Bird Joint Ventures (B)
15.530	Water Conservation Field Services (WCFS) (B)	15.639	Tribal Wildlife Grants (B)
15.531	Yakima River Basin Water Enhancement (YRBWE) (B)	15.640	Latin America and Caribbean Regional (B)
15.532	Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (B)	15.641	Wildlife Without Borders-Mexico (B)
15.533	California Water Security and Environmental Enhancement (B)	15.643	Alaska Migratory Bird Co-Management Council (B)
15.535	Upper Colorado River Basin Fish and Wildlife Mitigation (B)	15.645	Marine Turtle Conservation Fund (B)
15.537	Middle Rio Grande Endangered Species Collaborative (B)	15.647	Migratory Bird Conservation (B)
15.538	Lower Colorado River Multi-Species Conservation (B)	15.648	Central Valley Project Improvement Act (CVPIA) (B)
15.539	Equus Beds Division Aquifer Storage Recharge (B)	15.649	Service Training and Technical Assistance (Generic Training) (B)
15.540	Lake Mead/Las Vegas Wash (B)	15.650	Research Grants (Generic) (B)
15.541	Colorado River Basin Act of 1968 (B)	15.651	Central Africa Regional (B)
15.542	Arizona Water Settlement Act of 2004 (B, C)	15.652	Invasive Species (B)
15.543	Lake Tahoe Regional Wetlands Development (B)	15.653	National Outreach and Communication (B)
15.544	Platte River Recovery Implementation (B)	15.654	National Wildlife Refuge System Enhancements (B)
15.545	Bunker Hill Groundwater Basin, Riverside-Corona Feeder (B)	15.655	Migratory Bird Monitoring, Assessment and Conservation (B)
15.546	Youth Conservation (B)	15.656	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)
15.548	Reclamation Rural Water Supply (B)	15.657	Endangered Species Recovery Implementation (B)
15.550	Fishing Events for Disadvantaged Children (B)	15.658	Natural Resource Damage Assessment and Restoration (B)
15.552	Navajo-Gallup Water Supply (B)	15.660	Candidate Species Conservation (B)
15.553	Eastern New Mexico Rural Water System (B)	15.661	Lower Snake River Compensation Plan (B)
15.554	Cooperative Watershed Management (B)	15.662	Great Lakes Restoration (B)
15.555	San Joaquin River Restoration (B)	15.663	NFWF-USFWS Conservation Partnership (B)
15.556	Crow Tribe Water Rights Settlement (C)	15.664	Fish and Wildlife Coordination and Assistance (B)
15.557	Applied Science Grants (B)	15.665	National Wetlands Inventory (B)
15.558	White Mountain Apache Tribe Rural Water System (C)	15.666	Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)
15.559	New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (B, C)	15.667	Highlands Conservation (B)
15.560	SECURE Water Act – Research Agreements (B)	15.668	Coastal Impact Assistance (A)
15.563	Suisun Marsh Preservation Agreement (B)	15.669	Cooperative Landscape Conservation (B)
15.564	Central Valley Project Conservation (B)	15.670	Adaptive Science (B)
15.565	Implementation of the Taos Pueblo Indian water rights settlement (B)	15.671	Yukon River Salmon Research and Management Assistance (B)
15.566	Upper Klamath Basin Hydrolic Analyses (A, B, C, L)	15.674	National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L, M)
15.567	Colorado River System Conservation Pilot (B)	15.676	Youth Engagement, Education, and Employment (B)
15.569	Educational Outreach (B)	15.677	Hurricane Sandy Disaster Relief Activities-FWS (B)
15.570	Bay-Delta Restoration Program (B)	15.678	Cooperative Ecosystem Studies Units (B)
15.571	Salton Sea Program (B)	15.679	Combating Wildlife Trafficking (B)
15.572	Agricultural Water Use Efficiency Program (B)	15.680	Mexican Wolf Recovery (B)
15.573	Temperature Control Devices at Folsom Dam (B)	15.681	Cooperative Agriculture (I)
15.574	Water Storage Enhancement (B)	15.682	Experienced Services (B)
15.605	Sport Fish Restoration (A)	15.683	Prescott Marine Mammal Rescue Assistance (B)
15.608	Fish and Wildlife Management Assistance (B)	15.684	White-nose Syndrome National Response Implementation (B)
15.611	Wildlife Restoration and Basic Hunter Education (A)	15.805	Assistance to State Water Resources Research Institutes (A, B)
15.614	Coastal Wetlands Planning, Protection and Restoration (B)	15.807	Earthquake Hazards Program Assistance (B)
		15.808	U.S. Geological Survey Research and Data Collection (B)
		15.810	National Cooperative Geologic Mapping (B)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

15.812 Cooperative Research Units (B)
 15.814 National Geological and Geophysical Data Preservation (B)
 15.815 National Land Remote Sensing Education Outreach and Research (B)
 15.817 National Geospatial Program: Building The National Map (B)
 15.818 Volcano Hazards Program Research and Monitoring (B)
 15.819 Energy Cooperatives to Support the National Energy Resources Data System (B)
 15.820 National and Regional Climate Adaptation Science Centers (B)
 15.875 Economic, Social, and Political Development of the Territories (A, B, C, D)
 15.876 Experienced Services (B)
 15.904 Historic Preservation Fund Grants-In-Aid (A, B)
 15.912 National Historic Landmark (K)
 15.915 Technical Preservation Services (K, L)
 15.916 Outdoor Recreation Acquisition, Development and Planning (A, B)
 15.921 Rivers, Trails and Conservation Assistance (B, K)
 15.922 Native American Graves Protection and Repatriation Act (B)
 15.923 National Center for Preservation Technology and Training (B)
 15.925 National Maritime Heritage Grants (B)
 15.926 American Battlefield Protection (B)
 15.928 Battlefield Land Acquisition Grants (B)
 15.929 Save America's Treasures (B)
 15.930 Chesapeake Bay Gateways Network (B)
 15.931 Youth and Veteran Organizations Conservation Activities (B)
 15.932 Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (B)
 15.933 Preservation of Japanese American Confinement Sites (B)
 15.935 National Trails System Projects (B)
 15.938 Boston African-American National Historic Site (B)
 15.939 Heritage Partnership (B)
 15.940 New Bedford Whaling National Historic Park Cooperative Management (B)
 15.941 Mississippi National River and Recreation Area State and Local Assistance (B, K)
 15.942 Environmental Outreach and Conservation - North Cascades (B)
 15.943 Challenge Cost Share (B, C)
 15.944 Natural Resource Stewardship (B)
 15.945 Cooperative Research and Training Programs – Resources of the National Park System (B)
 15.946 Cultural Resources Management (B)
 15.947 Boston Harbor Islands Partnership (B, C)
 15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L)
 15.954 National Park Service Conservation, Protection, Outreach, and Education (B)
 15.955 Martin Luther King Junior National Historic Site and Preservation District (B)
 15.956 Cooperative Management of Ebey's Landing National Historical Reserve. (B, C, J)
 15.957 Emergency Supplemental Historic Preservation Fund (A, B)
 15.958 Route 66 Corridor Preservation (B)
 15.959 Education Program Management (C)
 15.960 Tribal Technical Colleges (B)
 15.961 Federal Historic Preservation Tax Incentive (K)
 15.962 National Wild and Scenic Rivers System (B)
 15.963 Southwest Border Resource Protection Program (B)
 15.964 9/11 Memorial Act Grant Program (B)
 15.978 Upper Mississippi River Restoration Long Term Resource Monitoring (B)
 15.980 National Ground-Water Monitoring Network (B)
 15.981 Water Use and Data Research (B)
 15.982 Radium Remediation at Land-Grant Universities (B)

Inter-American Foundation

85.750 IAF Assistance for Overseas Programs (B)

JAPAN-U.S. FRIENDSHIP COMMISSION

90.300 Japan-U.S. Friendship Commission Grants (B)

JUSTICE, DEPARTMENT OF

16.001 Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J, Z)
 16.003 Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L, Z)
 16.004 Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M, Z)
 16.015 Missing Alzheimer's Disease Patient Assistance Program (B)
 16.016 Culturally and Linguistically Specific Services Program (B)
 16.017 Sexual Assault Services Formula Program (A)
 16.019 Tribal Registry (B)
 16.021 Justice Systems Response to Families (B)
 16.023 Sexual Assault Services Culturally Specific Program (B)
 16.024 Tribal Sexual Assault Services Program (B)
 16.025 Special Domestic Violence Criminal Jurisdiction Implementation (B)
 16.026 OVW Research and Evaluation Program (B)
 16.027 National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)
 16.028 Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)
 16.029 Office on Violence Against Women Special Projects (B)
 16.030 National Center on Restorative Justice (B)
 16.031 Emmett Till Cold Case Investigations Program (B)
 16.032 Academic-based Drug Field Testing and Training Initiative (B)
 16.033 Collaborative Mental Health and Anti-Recidivism Initiative (B)
 16.034 Coronavirus Emergency Supplemental Funding Program (A)
 16.035 Preventing Trafficking of Girls (B)
 16.036 Prosecuting Cold Cases Using DNA (B)
 16.037 Strengthening the Medical Examiner - Coroner System (B)
 16.038 National Decertification Index (B)
 16.039 Rural Violent Crime Initiative (B)
 16.040 Matthew Shepard and James Byrd, Jr. Hate Crimes Education, Investigation and Prosecution Program (B)
 16.041 National Ashanti Alert Network Training and Technical Assistance Program (B)
 16.123 Community-Based Violence Prevention Program (B)
 16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B, M)
 16.300 Law Enforcement Assistance FBI Advanced Police Training (M)
 16.301 Law Enforcement Assistance FBI Crime Laboratory Support (J, K, M)
 16.302 Law Enforcement Assistance FBI Field Police Training (M)
 16.303 Law Enforcement Assistance FBI Fingerprint Identification (J, L)
 16.304 Law Enforcement Assistance National Crime Information Center (J, L)
 16.305 Law Enforcement Assistance Uniform Crime Reports (K, L)
 16.307 Combined DNA Index System (B)
 16.308 Indian Country Investigations (M)
 16.309 Law Enforcement Assistance National Instant Criminal Background Check System (J, L)
 16.320 Services for Trafficking Victims (B, C)
 16.321 Antiterrorism Emergency Reserve (B)
 16.524 Legal Assistance for Victims (B)
 16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)
 16.526 OVW Technical Assistance Initiative (B)
 16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
 16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)
 16.540 Juvenile Justice and Delinquency Prevention (A, B, M)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

16.543	Missing Children's Assistance (B)	16.815	Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)
16.544	Youth Gang Prevention (A, B)	16.816	John R. Justice Prosecutors and Defenders Incentive Act (B)
16.548	Title V Delinquency Prevention Program (A)	16.817	Byrne Criminal Justice Innovation Program (B)
16.550	State Justice Statistics Program for Statistical Analysis Centers (B)	16.818	Children Exposed to Violence (B)
16.554	National Criminal History Improvement Program (NCHIP) (B)	16.820	Postconviction Testing of DNA Evidence (B)
16.556	State Domestic Violence and Sexual Assault Coalitions (A, B)	16.823	Emergency Planning for Juvenile Justice Facilities (B)
16.557	Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (B)	16.824	Emergency Law Enforcement Assistance Grant (B)
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants (B, L)	16.825	Smart Prosecution Initiative (B)
16.562	Criminal Justice Research and Development Graduate Research Fellowships (B)	16.827	Justice Reinvestment Initiative (B)
16.566	National Institute of Justice W.E.B. DuBois Fellowship Program (B)	16.828	Swift, Certain, and Fair Supervision Program: Applying the Principles Behind Project HOPE (B)
16.571	Public Safety Officers' Benefits Program (D)	16.830	Girls in the Juvenile Justice System (B)
16.575	Crime Victim Assistance (A)	16.831	Children of Incarcerated Parents (B)
16.576	Crime Victim Compensation (A)	16.832	Children of Incarcerated Parents Web Portal (B)
16.578	Public Benefit Conveyance Program (H)	16.833	National Sexual Assault Kit Initiative (B)
16.582	Crime Victim Assistance/Discretionary Grants (B, C)	16.834	Domestic Trafficking Victim Program (B)
16.583	Children's Justice Act Partnerships for Indian Communities (B, C)	16.835	Body Worn Camera Policy and Implementation (A, B, I, J, K, L, M, N)
16.585	Drug Court Discretionary Grant Program (B)	16.836	Indigent Defense (B)
16.587	Violence Against Women Discretionary Grants for Indian Tribal Governments (B)	16.838	Comprehensive Opioid, Stimulant, and Substance Abuse Program (B)
16.588	Violence Against Women Formula Grants (A)	16.839	STOP School Violence (B)
16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)	16.840	Keep Young Athletes Safe (B)
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)	16.841	VOCA Tribal Victim Services Set-Aside Program (B)
16.593	Residential Substance Abuse Treatment for State Prisoners (A)	16.842	Opioid Affected Youth Initiative (B)
16.596	Justice System Infrastructure Program for Indian Tribes (B)	16.843	Gulf States Regional Law Enforcement Technology Training and Technical Assistance Initiative (B)
16.601	Corrections Training and Staff Development (B, J, L, M)	16.844	Combatting Contraband Cell Phone Use in Prisons (B)
16.602	Corrections Research and Evaluation and Policy Formulation (B, J, L)	16.888	Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)
16.603	Corrections Technical Assistance/Clearinghouse (B, J, L)	16.889	Grants for Outreach and Services to Underserved Populations (B)
16.606	State Criminal Alien Assistance Program (C)	16.922	Equitable Sharing Program (C)
16.607	Bulletproof Vest Partnership Program (C)		
16.608	Tribal Justice Systems and Alcohol and Substance Abuse (B)		
16.609	Project Safe Neighborhoods (B)	LABOR, DEPARTMENT OF	
16.610	Regional Information Sharing Systems (B)	17.002	Labor Force Statistics (B, L, Z)
16.614	State and Local Anti-Terrorism Training (B, K, L, M)	17.003	Prices and Cost of Living Data (L, Z)
16.615	Public Safety Officers' Educational Assistance (D)	17.004	Productivity and Technology Data (L, Z)
16.710	Public Safety Partnership and Community Policing Grants (B)	17.005	Compensation and Working Conditions (B, L, Z)
16.726	Juvenile Mentoring Program (B)	17.007	International Labor Programs (B)
16.731	Tribal Youth Program (B)	17.150	Employee Benefits Security Administration (L, Z)
16.734	Special Data Collections and Statistical Studies (B)	17.201	Registered Apprenticeship (B, K, M, Z)
16.735	PREA Program: Strategic Support for PREA Implementation (B)	17.207	Employment Service/Wagner-Peyser Funded Activities (A, B)
16.736	Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)	17.225	Unemployment Insurance (A, D)
16.738	Edward Byrne Memorial Justice Assistance Grant Program (A, B)	17.235	Senior Community Service Employment Program (A, B)
16.739	National Prison Rape Statistics Program (B)	17.245	Trade Adjustment Assistance (A)
16.741	DNA Backlog Reduction Program (A, B)	17.258	WIOA Adult Program (A)
16.742	Paul Coverdell Forensic Sciences Improvement Grant Program (A, B)	17.259	WIOA Youth Activities (A)
16.745	Criminal and Juvenile Justice and Mental Health Collaboration Program (B)	17.261	WIOA Pilots, Demonstrations, and Research Projects (B)
16.746	Capital Case Litigation Initiative (B)	17.264	National Farmworker Jobs Program (A)
16.750	Support for Adam Walsh Act Implementation Grant Program (B)	17.265	Native American Employment and Training (A)
16.751	Edward Byrne Memorial Competitive Grant Program (B)	17.268	H-1B Job Training Grants (B)
16.752	Economic, High-Tech, and Cyber Crime Prevention (B)	17.270	Reentry Employment Opportunities (B)
16.754	Harold Rogers Prescription Drug Monitoring Program (B)	17.271	Work Opportunity Tax Credit Program (WOTC) (A)
16.756	Court Appointed Special Advocates (B)	17.272	Permanent Labor Certification for Foreign Workers (J, Z)
16.757	Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)	17.273	Temporary Labor Certification for Foreign Workers (A, J, O)
16.758	Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)	17.274	YouthBuild (B)
16.812	Second Chance Act Reentry Initiative (B)	17.276	Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)
16.813	NICS Act Record Improvement Program (B)	17.277	WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)
		17.278	WIOA Dislocated Worker Formula Grants (A)
		17.280	WIOA Dislocated Worker National Reserve Demonstration Grants (B)

ASSISTANCE LISTING DESCRIPTIONS NOT UPDATED FOR FY 2021

17.281	WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)
17.283	Workforce Innovation Fund (B)
17.285	Apprenticeship USA Grants (B)
17.286	Hurricanes and Wildfires of 2017 Supplemental– National Dislocated Worker Grants (B)
17.287	Job Corps Experimental Projects and Technical Assistance (B)
17.302	Longshore and Harbor Workers' Compensation (D)
17.307	Coal Mine Workers' Compensation (D)
17.309	Labor Organization Reports (K, L, N, Z)
17.310	Energy Employees Occupational Illness Compensation (D)
17.401	International Labor Programs (B)
17.502	Occupational Safety and Health Susan Harwood Training Grants (B, M)
17.503	Occupational Safety and Health State Program (A, B)
17.504	Consultation Agreements (A, B)
17.600	Mine Health and Safety Grants (B)
17.601	Mine Health and Safety Counseling and Technical Assistance (K, L, Z)
17.602	Mine Health and Safety Education and Training (M, Z)
17.603	Brookwood-Sago Grant (B)
17.604	Safety and Health Grants (B)
17.700	Women's Bureau (K, Z)
17.701	Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program (B)
17.720	Disability Employment Policy Development (B)
17.801	Jobs for Veterans State Grants (A)
17.805	Homeless Veterans' Reintegration Program (B)
17.807	Transition Assistance Program (J)

LIBRARY OF CONGRESS

42.010	Teaching with Primary Sources (B)
42.011	Library of Congress Grants (B)

MILLENNIUM CHALLENGE CORPORATION

85.002	MCC Foreign Assistance for Overseas Programs (B, C)
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MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

85.400	Morris K. Udall Scholarship Program (C)
85.402	Morris K. Udall Native American Congressional Internship Program (C)

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

43.001	Science (B, C, I, M)
43.002	Aeronautics (B, L)
43.003	Exploration (B, C, I, J, L, M)
43.007	Space Operations (B)
43.008	Office of Stem Engagement (OSTEM) (B)
43.009	Safety, Security and Mission Services (B)
43.012	Space Technology (B, C, I, M)

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.001	National Archives Reference Services Historical Research (I, K, L, Z)
89.003	National Historical Publications and Records Grants (B)

NATIONAL CREDIT UNION ADMINISTRATION

44.002	Community Development Revolving Loan Fund Program for Credit Unions (B, C, E)
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NATIONAL ENDOWMENT FOR THE ARTS

45.024	Promotion of the Arts Grants to Organizations and Individuals (B)
45.025	Promotion of the Arts Partnership Agreements (B, K)

45.201	Arts and Artifacts Indemnity (G)
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NATIONAL ENDOWMENT FOR THE HUMANITIES

45.129	Promotion of the Humanities Federal/State Partnership (A, B)
45.130	Promotion of the Humanities Challenge Grants (B)
45.149	Promotion of the Humanities Division of Preservation and Access (B)
45.160	Promotion of the Humanities Fellowships and Stipends (B)
45.161	Promotion of the Humanities Research (B)
45.162	Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)
45.163	Promotion of the Humanities Professional Development (B)
45.164	Promotion of the Humanities Public Programs (B)
45.169	Promotion of the Humanities Office of Digital Humanities (B)

NATIONAL SCIENCE FOUNDATION

47.041	Engineering (B)
47.049	Mathematical and Physical Sciences (B)
47.050	Geosciences (B)
47.070	Computer and Information Science and Engineering (B)
47.074	Biological Sciences (B)
47.075	Social, Behavioral, and Economic Sciences (B)
47.076	Education and Human Resources (B)
47.078	Polar Programs (B)
47.079	Office of International Science and Engineering (B)
47.083	Integrative Activities (B)

NORTHERN BORDER REGIONAL COMMISSION

90.601	Northern Border Regional Development (B)
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NUCLEAR REGULATORY COMMISSION

77.006	U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)
77.007	U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B)
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20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)
Archived on 05/27/21

20.602 Occupant Protection Incentive Grants (A, B)
Archived on 05/27/21

20.609 Safety Belt Performance Grants (A, B)
Archived on 05/27/21

ARCHIVED LISTINGS

20.610 State Traffic Safety Information System Improvement Grants (B)
Archived on 05/27/21

20.612 Incentive Grant Program to Increase Motorcyclist Safety (A)
Archived on 05/27/21

20.613 Child Safety and Child Booster Seats Incentive Grants (A, B)
Archived on 05/27/21

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ADDED LISTINGS

This index provides a list of assistance listings added to the publication within the fiscal year. The date added is provided for each listing.

AGRICULTURE, DEPARTMENT OF

- 10.128 Heirs' Property Relending Program (F)**
Added on 07/09/21
- 10.133 Quality Loss Adjustment Program (D)**
Added on 01/18/21
- 10.136 American Rescue Plan Act of 2021 Loan Payment (C)**
Added on 05/25/21
- 10.137 Pandemic Assistance for Timber Harvesters and Haulers (PATHH) Program (D)**
Added on 08/02/21
- 10.138 Pandemic Livestock Indemnity Program (D)**
Added on 06/29/21
- 10.145 Pandemic Assistance Block Grant (A)**
Added on 07/20/21
- 10.147 Outreach Education and Technical Assistance (L)**
Added on 09/07/21
- 10.180 Meat and Poultry Interstate Shipment and Inspection Readiness Program (ISIRP) (B)**
Added on 03/22/21
- 10.181 Agricultural Worker Pandemic Relief and Protection Program (B)**
Added on 08/10/21
- 10.229 Extension Collaborative on Immunization Teaching & Engagement (B)**
Added on 03/31/21
- 10.230 Farm of the Future (B)**
Added on 07/28/21
- 10.231 Agriculture Business Innovation Center at HBCU (B)**
Added on 08/05/21
- 10.232 Blue Ribbon (B)**
Added on 07/21/21
- 10.233 Open Data Framework (B)**
Added on 07/21/21
- 10.379 Rural Energy Pilot Program Grant (B)**
Added on 09/14/21
- 10.494 Multi-Family Housing Non-Profit Transfer Technical Assistance Grants (B)**
Added on 05/19/21
- 10.495 Farm Labor Housing Technical Assistance Grants (B)**
Added on 05/19/21
- 10.542 Pandemic EBT Food Benefits (A)**
Added on 01/21/21
- 10.649 Pandemic EBT Administrative Costs (Z)**
Added on 01/21/21
- 10.712 Great American Outdoors Act Deferred Maintenance Program (C)**
Added on 12/25/20
- 10.755 Rural Innovation Stronger Economy (B)**
Added on 06/16/21

COMMERCE, DEPARTMENT OF

- 11.024 BUILD TO SCALE (B)**
Added on 12/30/20
- 11.028 Connecting Minority Communities Pilot Program (B)**
Added on 06/24/21
- 11.029 Tribal Broadband Connectivity Program (B)**
Added on 04/09/21
- 11.031 Broadband Infrastructure Program (B)**
Added on 04/13/21

DEPT OF DEFENSE

- 12.013 Defense Civilian Training Corps (DCTC) - Scholarship/Internship (B)**
Added on 02/16/21
- 12.014 OnRampII (C)**
Added on 03/15/21
- 12.015 Past Conflict Accounting - Vietnam (B)**
Added on 06/28/21
- 12.016 Transportation - DASH 102X and WMATA 7M Shuttles (B)**
Added on 07/29/21
- 12.017 Readiness and Environmental Protection Integration (REPI) Program (A)**
Added on 08/16/21
- 12.018 Science, Technology, Engineering, and Mathematics (STEM) Educational Outreach Programs Grant (B)**
Added on 09/08/21

EDUCATION, DEPARTMENT OF

- 84.426 Randolph-Sheppard - Financial Relief and Restoration Payments (A)**
Added on 02/03/21

ENVIRONMENTAL PROTECTION AGENCY

- 66.447 Sewer Overflow and Stormwater Reuse Municipal Grant Program (A)**
Added on 03/29/21
- 66.448 Drinking Water System Infrastructure Resilience and Sustainability Program - SDWA 1459A(I) (B)**
Added on 09/02/21
- 66.963 Healthy Environmental Living Program (HELP) (B)**
Added on 02/02/21
- 66.964 Chesapeake Bay Program Implementation, Regulatory/Accountability and Monitoring Grants (B)**
Added on 03/09/21

FEDERAL COMMUNICATIONS COMMISSION

- 32.007 Connected Care Pilot Program (C)**
Added on 01/11/21
- 32.008 Emergency Broadband Benefit Program (C)**
Added on 03/09/21
- 32.009 Emergency Connectivity Fund Program (C)**
Added on 08/23/21
- 32.010 Supply Chain Reimbursement Program (C)**
Added on 09/02/21

ADDED LISTINGS

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE

38.009 Board Support and Innovation Grant (B)
Added on 10/27/20

HEALTH AND HUMAN SERVICES, DEPARTMENT OF

93.382 Indian Health Service Community Health Aide Program (B)
Added on 05/25/21

93.495 Community Health Workers for Public Health Response and Resilient (B)
Added on 12/30/20

93.496 Family Violence Prevention and Services/Culturally Specific Domestic Violence and Sexual Violence Services (B)
Added on 09/14/21

93.497 Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports (A)
Added on 09/14/21

HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

14.019 Eviction Protection Grant Program (B)
Added on 06/04/21

INTERIOR, DEPARTMENT OF THE

15.013 Alaska Native Science and Engineering (B)
Added on 03/09/21

15.014 Supporting the Lower Mississippi Delta Initiative (B)
Added on 06/28/21

15.015 Good Neighbor Authority (B)
Added on 07/05/21

15.016 Experienced Services Program (B)
Added on 07/05/21

15.017 Eastern Nevada Conservation, Recreation and Development (B)
Added on 07/09/21

JUSTICE, DEPARTMENT OF

16.039 Rural Violent Crime Initiative (B)
Added on 03/03/21

16.040 Matthew Shepard and James Byrd, Jr. Hate Crimes Education, Investigation and Prosecution Program (B)
Added on 03/16/21

16.041 National Ashanti Alert Network Training and Technical Assistance Program (B)
Added on 04/30/21

LIBRARY OF CONGRESS

42.010 Teaching with Primary Sources (B)
Added on 05/04/21

42.011 Library of Congress Grants (B)
Added on 07/28/21

SMALL BUSINESS ADMINISTRATION

59.075 Shuttered Venue Operators Grant Program (C)
Added on 02/16/21

59.076 Lab-to-Market (D)
Added on 03/25/21

59.077 Community Navigator Pilot Program (B)
Added on 05/21/21

59.078 Restaurant Revitalization Fund (C)
Added on 06/21/21

STATE, DEPARTMENT OF

19.036 Cultural Antiquities Task Force (B)
Added on 02/12/21

19.220 Ambassador's Special Self Help Fund (B)
Added on 12/16/20

19.223 South Sudan and Sudan Assistance Program (B)
Added on 02/04/21

19.225 Africa Regional Democracy Fund (B)
Added on 02/04/21

19.303 Decrypting PRC Industrial and Technology Policy (B)
Added on 08/30/21

19.706 Partnership for Regional East Africa Counterterrorism (B)
Added on 02/04/21

19.990 International Justice and Accountability Programming (B)
Added on 05/25/21

TRANSPORTATION, DEPARTMENT OF

20.114 Aviation Manufacturing Jobs Protection (AMJP) Program (C)
Added on 06/09/21

20.706 Pipeline Emergency Response Grant (PERG) (M)
Added on 05/27/21

20.707 Hazardous Materials State Inspection (HMSI) Grant (B)
Added on 07/08/21

20.936 Transportation Demonstration Program (B)
Added on 02/23/21

TREASURY, DEPARTMENT OF THE

21.023 Emergency Rental Assistance Program (C)
Added on 01/13/21

21.024 Community Development Financial Institutions Rapid Response Program (CDFI RRP) (B)
Added on 01/22/21

21.025 Small Dollar Loan Program (B)
Added on 04/01/21

21.026 Homeowner Assistance Fund (C)
Added on 04/23/21

21.027 CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)
Added on 05/28/21

21.028 Coronavirus Economic Relief for Transportation Services Act (A, Z)
Added on 05/05/21

21.029 Coronavirus Capital Projects Fund (A)
Added on 08/12/21

VETERANS AFFAIRS, DEPARTMENT OF

64.130 Veteran Rapid Retraining Assistance Program (C)
Added on 07/23/21

CROSSWALK OF CHANGES TO APAL NUMBERS AND LISTING TITLES

This index highlights those listings that had an assistance listing title or number change within the fiscal year. The historical crosswalk in Appendix VI reflects the full assistance listing change history.

Title Changes			To
		16.828	From Byrne Criminal Justice Innovation Program Innovative Responses to Behavior in the Community: Swift, Certain, and Fair Supervision Program
			To Swift, Certain, and Fair Supervision Program: Applying the Principles Behind Project HOPE
INTERIOR, DEPARTMENT OF THE			
15.441	From		Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities
	To	97.077	Safety and Environmental Research and Data Collection for Offshore Energy and Mineral Activities
15.931	From		Conservation Activities by Youth Service Organizations
	To	97.123	Youth and Veteran Organizations Conservation Activities
15.942	From		Environmental Education and Conservation - North Cascades
	To	97.127	Environmental Outreach and Conservation - North Cascades
AGRICULTURE, DEPARTMENT OF			
10.053	From	97.128	Dairy Indemnity Program
	To		Dairy Indemnity Payment Program
10.130	From	97.047	Coronavirus Food Assistance Program (CFAP)
	To		Coronavirus Food Assistance Program 1
10.862	From		Household Water Well System Grant Program
	To		Rural Decentralized Water Systems Grant Program
TRANSPORTATION, DEPARTMENT OF			
20.106	From	19.441	Airport Improvement Program
	To		Airport Improvement Program and COVID-19 Airports Programs
20.113	From	19.979	Veteran Pilot Training Program
	To		Veterans Pilot Training Program
20.529	From	19.440	Bus Testing Facility
	To		Bus Testing
COMMERCE, DEPARTMENT OF			
11.405	From	93.639	Anadromous Fish Conservation Act Program
	To		Cooperative Institute (Inter-Agency Funded Activities)
EDUCATION, DEPARTMENT OF			
84.215	From	93.881	Innovative Approaches to Literacy, Full-service Community Schools; and Promise Neighborhoods
	To		Innovative Approaches to Literacy; Promise Neighborhoods; and Full-Service Community Schools
84.415	From	93.342	State Tribal Education Partnership (STEP)
	To		Indian Education National Activities (State Tribal Education Partnership (STEP) and Native American Language (NAL)
ENVIRONMENTAL PROTECTION AGENCY			
66.446	From	93.461	Technical Assistance for Treatment Works (CWA 104(b)(8))
	To		Technical Assistance for Treatment Works (Clean Water Act [CWA] Section 104(b)(8))
66.714	From	93.697	Regional Agricultural IPM Grants
	To		Pesticide Environmental Stewardship Program (PESP) Grants
66.815	From	93.822	Environmental Workforce Development and Job Training Cooperative Agreements
	To	93.884	Brownfields Job Training Cooperative Agreements
JUSTICE, DEPARTMENT OF			
16.817	From	93.355	Innovations in Community-Based Crime Reduction
			To Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection
			To Evaluation and Demonstration of Technologies Related to Countering Weapons of Mass Destruction
			To Multi-State Information Sharing and Analysis Center
			To State, Local, Tribal and Territorial Security Operations Center/Information Sharing and Analysis Center
			To Cybersecurity Education and Training Assistance Program (CETAP)
			To Cybersecurity Education and Training
			To National Cyber Security Awareness
			To CISA Cyber Security Awareness Campaign
			To Pre-Disaster Mitigation
			To BRIC: Building Resilient Infrastructure and Communities
			To Ambassador's Special Self Help Fund
			To Ambassadors' Special Self Help Fund
			To ECA - American Spaces
			To ECA – American Spaces
			To Office of Security Affairs
			To Regional Peace and Security
			To IIP Individual Grants
			To ECA U.S. Speaker Program
			To ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs)
			To Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services
			To The Health Insurance Enforcement and Consumer Protections Grant Program
			To The Reduction of Issuer Burden Through Technology Grant Program and The Health Insurance Enforcement and Consumer Protections Grant Program
			To Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students
			To Health Professions Student Loans, Including Primary Care Loans and Loans for Disadvantaged Students
			To COVID-19 Claims Reimbursement to Health Care Providers and Facilities for Testing, Treatment, and Vaccine Administration for the Uninsured
			To HRSA COVID-19 Claims Reimbursement for the Uninsured Program and the COVID-19 Coverage Assistance Fund
			To COVID-19 Testing for Rural Health Clinics
			To COVID-19 Testing and Mitigation for Rural Health Clinics
			To Health Careers Opportunity Program
			To Health Careers Opportunity Program (HCOP)
			To Grants for Primary Care Training and Enhancement
			To Primary Care Training and Enhancement
			To Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program

CROSSWALK OF CHANGES TO APAL NUMBERS AND LISTING TITLES

	To	Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program)
93.220	From	Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds
	To	National Institutes of Health Intramural Loan Repayment Program
93.280	From	National Institutes of Health Loan Repayment Program for Clinical Researchers
	To	National Institutes of Health Extramural Loan Repayment Program

Program Number Changes

HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

From	14.019	Eviction Protection Grant Program
To	14.537	Eviction Protection Grant Program

ASSISTANCE LISTINGS DESCRIPTIONS

The following section provides the complete text for each assistance listing as submitted by the administering agency.

AGRICULTURE, DEPARTMENT OF

10.001 Agricultural Research Basic and Applied Research

(Extramural Research),

FEDERAL AGENCY:

AGRICULTURAL RESEARCH SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Food Security Act of 1985, , Public Law 99-198, 7 USC Code 427-427i, 1624.

OBJECTIVES:

To make agricultural research discoveries, evaluate alternative ways of attaining research goals, and provide scientific technical information.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research is conducted that is in cooperation with and is correlated with the Agricultural Research Service's in-house research programs and projects. Limited discretionary research funds are periodically made available.

Applicant Eligibility:

Anyone/general public; Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Beneficiary Eligibility:

Anyone/general public; Public nonprofit institution/organization; Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Letters should be submitted to the Agricultural Research Service, Department of Agriculture. Give name of applicants, location of facilities, and State of incorporation, if any.

Award Procedure:

A peer review panel considers each proposal, evaluates the qualifications of applicants in line with research to be undertaken and determines priority for final negotiations of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other.

Appeals:

Other. None

Renewals:

Other. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: other

Reports:

Progress reports, final technical reports, financial statements, and inventions and subaward reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 As performed by cognizant audit agency.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1400-0-1-352.

Obligations:

Project Grants FY 20 \$9,089,413.00; FY 21 est \$7,000,000.00; FY 22 est \$7,001,000.00;

Range and Average of Financial Assistance:

\$5,000 to \$50,000. Average \$20,000

TAFS Codes:

12-1400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200

Regional or Local Office:

See Regional Assistance Locations. See the Agricultural Research Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Deborah Watson,
5601 Sunnyside Avenue, MS 5110,
Beltsville MD 20705

Email: Deborah.Watson@usda.gov

Phone: 301-504-1148

Fax: 301-504-1262

Website Address:

<http://www.ars.usda.gov>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.250 Agricultural and Rural Economic Research; 10.207 Animal Health and Disease Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.700 National Agricultural Library;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Peer review.

10.025 Plant and Animal Disease, Pest Control, and Animal Care

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

ANIMAL AND PLANT HEALTH INSPECTION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Plant Protection Act, , Public Law 106-224, 7 USC Code 7701-7772. Animal Welfare Act, as amended, , 7 USC Code 2131-2155. Farm Security and Rural Investment Act of 2002, , Public Law 107-171, E USC Code 10401-10418.

OBJECTIVES:

To protect U.S. agriculture from economically injurious plant and animal diseases and pests, ensure the safety and potency of veterinary biologic, and ensure the humane treatment of animals.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Conduct surveys, inspections to detect and appraise infestations, eradication and control activities, and carry out regulatory actions to prevent interstate spread of infestations and diseases.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Foreign, State, local, and U.S. Territorial government agencies, nonprofit institutions of higher education, and nonprofit associations or organizations requiring Federal support to eradicate, control, or assess the status of injurious plant and animal diseases and pests that are a threat to regional or national agriculture and conduct related demonstration projects.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Farmer/Rancher/Agriculture Producer; State; Local; Public nonprofit institution/organization; Farmers, ranchers, agriculture producers, State, local, U.S. Territorial government agencies, public and private institutions and organizations benefit from Federal assistance to eradicate or control injurious plant and animal diseases and pests that are a threat to regional or national agriculture.

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Comply with E.O. 12372, "Intergovernmental Review of Federal Programs," and submit a completed Standard Form 424 "Application for Federal Assistance (Non-construction)" and project proposal (work plan), financial plan, curriculum vitae, and other required certifications to the appropriate APHIS area, regional, or Headquarters Office. See Regional and Local Office Address Listing.

Award Procedure:

Applications are approved by the Administrator or Authorized Departmental Officers (ADOs) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 120 days.

Appeals:

Other. None

Renewals:

Other. Based on program needs and availability of annual funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 1 year from the date of award. Funds are made available as required to cover expenditures. Method of awarding/releasing assistance: other

Reports:

Requirements are specifically indicated in the award documents and may vary for given programs; however, quarterly financial reports, annual progress reports, final financial and final summary progress reports are generally required. SF-425, Federal Financial Report Performance progress reports prescribed by 2 CFR Part 200

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503
N/A

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-9971-0-7-352. 12-1600-0-1-352.

Obligations:

Salaries and Expenses FY 20 \$280,778,537.00; FY 21 est \$292,319,664.00; and FY 22 est \$283,051,063.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1600.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Selected examples of progress: Brucellosis class free status States - 50 States and 3 Territories; Tuberculosis - number of States/Territories recognized as TB free - 49 States, 2 Territories Fiscal Year 2021: Selected examples of progress: Brucellosis class free status States - 50 States and 3 Territories; Tuberculosis - number of States/Territories recognized as TB free - 49 States, 2 Territories Fiscal Year 2022: Selected examples of progress: Brucellosis class free status States - 50 States and 3 Territories; Tuberculosis - number of States/Territories recognized as TB free - 49 States, 2 Territories

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Part 200; Nonprocurement Debarment and Suspension 2 CFR 417; Requirements for Drug-Free Workplace", 2 CFR Part 421; "New Restrictions on Lobbying", 2 CFR Part 418; and Office of Management and Budget regulations governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320

Regional or Local Office:

See Regional Assistance Locations. Consult Appendix IV of the Catalog for addresses of regional offices of the Animal and Plant Health Inspection Service.

Headquarters Office:

Eileen M. Berke.,
4700 River Road, Unit 55,
Riverdale MD 20737
Email: eileen.m.berke@aphis.usda.gov
Phone: (301) 851-2856

Website Address:

<http://www.aphis.usda.gov/>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.028 Wildlife Services; 15.611 Wildlife Restoration; 12.100 Aquatic Plant Control; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.207 Animal Health and Disease Research; 10.215 Sustainable Agriculture Research and Education; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.028 Wildlife Services

FEDERAL AGENCY:

ANIMAL AND PLANT HEALTH INSPECTION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Animal Damage Control Act of 1931, , 7 USC Code 426,426b, 426c. Public Law 115-334

OBJECTIVES:

To reduce damage caused by mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases, (except for urban rodent control through control and research activities). Wherever feasible, humane methods will be emphasized.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Works closely with State departments of fish and game, agriculture, health, and counties in joint efforts to alleviate wild animal damage. Conduct surveys, campaigns to reduce wild animal damage including bird problems at airports, develop methods to control wild animal damage, and provide technical advice and assistance. For direct technical assistance, State fish and game departments should be contacted.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State and local governments, federally recognized Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Land/Property Owner; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; States, local jurisdictions, U.S. Territorial government agencies, federally recognized Indian tribal governments, public and private institutions and organizations, farmers, ranchers, agricultural producers, and land/property owners benefit from Federal assistance in the control of nuisance mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases.

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for

more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are approved by the Administrator or authorized departmental officers (ADO's) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Based on program needs and availability of annual funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to one year from the date of award. Funds are made available as required to cover expenditures. Method of awarding/releasing assistance: other

Reports:

SF-425, Federal Financial Report Performance reports as prescribed in 2 CFR Part 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 N/A

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1600-0-1-352.

Obligations:

Salaries and Expenses FY 20 \$10,740,402.00; FY 21 est \$10,847,911.00; and FY 22 est \$10,956,391.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Part 200; Nonprocurement Debarment and Suspension 2 CFR 417; Requirements for Drug-Free Workplace", 2 CFR Part 421; "New Restrictions on Lobbying", 2 CFR Part 418; and Office of Management and Budget regulations governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog.

Headquarters Office:

Eileen M. Berke.,
4700 River Road, Unit 55, Suite 3B06.3,

ASSISTANCE LISTINGS DESCRIPTIONS

Riverdale MD 20737
Email: eileen.m.berke@aphis.usda.gov
Phone: (301) 851-2856

Website Address:

<http://www.aphis.usda.gov>

RELATED PROGRAMS:

10.025 Plant and Animal Disease, Pest Control, and Animal Care; 10.652 Forestry Research; 15.611 Wildlife Restoration; 10.934 Feral Swine Eradication and Control Pilot Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to agency program mission and qualification of principle investigator and institution.

10.030 Indemnity Program

FEDERAL AGENCY:

ANIMAL AND PLANT HEALTH INSPECTION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Plant Protection Act, Title IV, Section 415(e), Public Law 106-224, 9 CFR parts 50-54,

OBJECTIVES:

Animal and Plant Health Inspection Service administers regulations at 9 CFR parts 50 to 54 that authorizes payment for indemnities. This authority covers a wide variety of indemnity situations ranging from large livestock depopulations to small fowl depopulations, and there are various indemnity calculations and processes for determining the indemnity value for each specific species. The Secretary of Agriculture offers an opinion that constitutes an emergency and threatens the U.S. animal population. Payment for the destroyed animals is based on fair market value. Also, under Section 415 (e) of the Plant Protection Act (Title IV of Public Law 106-224), under a declaration of extraordinary emergency because of the presence of a plant pest or noxious weed that is new to or not known to be widely prevalent in the United States, the Secretary may pay compensation for economic losses incurred by as a result of actions taken under the authorities in this section (415).

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

None

Applicant Eligibility:

Anyone/general public; N/A

Beneficiary Eligibility:

Anyone/general public; N/A

Credentials/Documentation:

Required documentation will be specified in the Declaration of Emergency issued by the Secretary of Agriculture This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

Required documentation will be specified in the Declaration of Emergency issued by the Secretary of Agriculture

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Time period of availability will be specified in the Declaration of Emergency issued by the Secretary of Agriculture Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Record requirements will be specified in the Declaration of Emergency issued by the Secretary of Agriculture

Account Identification:

12-1600-0-1-352.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$21,375,161.00; FY 21 est \$5,107,724.00; and FY 22 est \$10,630,376.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Donna Cichy,
100 North 6th Street, Suite 510C,
Minneapolis MN 55403
Email: Donna.R.Cichy@aphis.usda.gov
Phone: 6123363261

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.051 Commodity Loans and Loan Deficiency Payments

Marketing Assistance Loans (MAL's) and Loan Deficiency Payments (LDP's),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Agricultural Act of 2014, , Public Law 113-79, Title Agriculture Improvement Act

ASSISTANCE LISTINGS DESCRIPTIONS

of 2018, , Public Law 115-334

OBJECTIVES:

To improve and stabilize farm income, to assist in bringing about a better balance between supply and demand of the commodities, and to assist farmers in the orderly marketing of their crops.

TYPES OF ASSISTANCE:

Direct Loans, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Commodity loans and loan deficiency payments (LDP's) give farmers a means of promoting more orderly marketing. Loans to producers may be "nonrecourse" which means that producers have the option of forfeiting the collateral to CCC at loan maturity in full satisfaction of the loan obligation; or "recourse" for low quality grain, or non-ginned seed cotton, which means that producers must repay the loans by maturity." If market prices are above the support level, producers may repay their loans at the original loan principal plus interest and market their commodities. When market prices are low, most nonrecourse commodity loan repayments are less than the original loan principal plus interest. Eligible commodities for loans are produced and harvested wheat, corn, grain sorghum, oats, barley, rice, peanuts, upland cotton, extra-long staple cotton, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, sunflower seed, sesame seed, dry peas, lentils, small and large chickpeas, graded and ungraded wool, honey, and sugar. LDP's are offered for produced and harvested wheat, corn, grain sorghum, oats, barley, upland cotton, rice, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, sunflower seed, sesame seed, peanuts, dry peas, lentils, small and large chickpeas, graded and ungraded wool, unshorn pelts, honey, hay, and silage. If the loan repayment rates for these commodities are less than the established loan levels, producers may, for most commodities that are eligible for a nonrecourse loan, agree to forego such loan and elect to receive an LDP. The LDP payment rate equals the amount by which the loan rate exceeds the loan repayment rate in effect at the time the LDP application is approved, or the delivery date, or date sold or date beneficial interest is lost, as applicable. The 2016 Consolidated Appropriations Act authorized producers the option of a commodity certificate exchange as a nonrecourse loan repayment mechanism. In situations when the loan rate exceeds the exchange rate, producers may opt to purchase a commodity certificate from the local FSA office and immediately exchange that certificate for their outstanding loan collateral.

Applicant Eligibility:

Individual/Family; Profit organization; Owner, landlord, tenant, or sharecropper on an eligible farm that has produced the eligible commodities or, in the case of sugar, a processor or refiner who meets program requirements as announced by the Secretary.

Beneficiary Eligibility:

Individual/Family; Farmer/Rancher/Agriculture Producer; Land/Property Owner; Owner, landlord, tenant, or sharecropper on a farm that has produced the eligible commodities, meets program requirements as announced by the Secretary, and maintains beneficial interest in the commodity. State and County governments may be eligible for MAL's and LDP's when they have a share in produced and harvested eligible commodities on land they own, if the benefits or payments are used to support public schools.

Credentials/Documentation:

The commodity must be produced and harvested by the producer, and the producer must meet program requirements as announced by the Secretary. Requirements include a record of the farming operation on file in the FSA county office and a complete acreage report to account for all cropland on the farm must be submitted for the applicable crop year. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No additional information provided.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In the case of warehouse-stored commodities, producer or Cooperative Marketing Association presents warehouse receipts to the FSA county office (warehouse-stored peanut

loans may be made through Designated Marketing Associations). In the case of farm-stored commodities (including sugar), producer/processor or Cooperative Marketing Association requests a loan at the FSA county office.

Award Procedure:

Applications are approved by the FSA upon determination that applicant and commodity are eligible.

Deadlines:

Deadline for the year following year in which crop is normally harvested is as follows: January 31st -- the last day to request, MALs and LDPs for peanuts, mohair, and wool (including unshorn pelt LDPs). March 31st -- last day to MALs and LDPs for wheat, barley, oats, canola, flaxseed, crambe, rapeseed, sesame seed, and honey; May 31st -- last day to request MALs and LDPs for rice, corn, grain sorghum, cotton, soybeans, safflower, sunflower seed, mustard seed, small and large chickpeas, lentils, dry peas, and cotton; and September 30th -- last day to request sugar loans.

Range of Approval/Disapproval Time:

From 15 to 30 days. Approximately 3 days but could take from 15 to 30 days.

Appeals:

From 60 to 90 days. Applications may be reviewed by county, State, or national offices.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is generally available for 9 months or less, and is normally disbursed on a lump-sum basis. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic and required spot checks of farm-stored grain will be made by the county FSA office. Recipients are subject to other audits by FSA and by the Office of Inspector General, USDA.

Records:

Not applicable.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Loans FY 20 (\$255,201,296.00); FY 21 est (\$5,273,296.00); FY 22 est \$294,726,704.00; Direct Payments with Unrestricted Use FY 20 \$22,160,000.00; FY 21 est \$15,239,000.00; FY 22 est \$42,089,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register 7 CFR, Chapter XIV, Parts 1421, 1425, 1427, 1434, and 1435; announcements issued to news media and letters to producers; " FSA Commodity Fact Sheets, " no cost: The Price Support Program," ; Farm Service Agency, Department of Agriculture, STOP 0506, 1400 Independence Avenue S.W., Washington, DC 20250-0506.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Commodity Loan and Loan Deficiency Payment Program Manager Shayla Watson,
1400 Independence Avenue, SW, Stop 0510,
Washington DC 20250-0510

Email: Shayla.watson@usda.gov

Phone: (202) 690-2350

Website Address:

<http://www.fsa.usda.gov/programs-and-services/price-support/Index>

RELATED PROGRAMS:

10.155 Marketing Agreements and Orders;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.053 Dairy Indemnity Payment Program

DIPP,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Act of August 13, 1968, , Statue 82-750, Public Law 90-484, 7 USC Code 450j-450l. Title Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

To protect dairy farmers and manufacturers of dairy products who through no fault of their own, are directed to remove their milk or dairy products from commercial markets because of contamination from pesticides which have been approved for use by the Federal government. Dairy farmers can also be indemnified because of contamination with chemicals or toxic substances, nuclear radiation or fallout.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Fair market value for the milk is paid to the dairy farmer who is unable to market because of any of the violating substances, and the fair market value of the dairy product is paid to the manufacturer who is unable to market because of pesticide residue. No payment may be made to any dairy farmer or any manufacturer whose milk or dairy product was removed from the market as a result of his negligence or his willful failure to follow procedures prescribed by the Federal government.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is also available in Puerto Rico.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Farmer/Rancher/Agriculture Producer; Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is available in Puerto Rico.

Credentials/Documentation:

In the case of a dairy farmer, the notice removing the milk from the market along with a record of past marketing records for milk to determine the quantity and value of the milk not marketed, the violating substance involved and the uses of such violating substances during the previous 24 months. In the case of the manufacturer of dairy products, the notice removing the product from the market and sufficient data to determine the value of the product. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Producers must file an application for payment on Form FSA-373 with the local county FSA office. Manufacturers must file information on the cause and amount of their loss with the local county FSA office.

Award Procedure:

Initial approval is made by the county FSA committee. Final approval is made by the Price Support Division in Washington, DC.

Deadlines:

January 01, 2019 - December 31, 2023. Claims must be filed by December 31 following the fiscal year in which the loss has occurred.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Other. Applicants may appeal to the county Farm Service Agency Committee and to the FSA, Department of Agriculture, Appeals and Litigation Group, 1400 Independence Avenue, SW., Washington, DC 20250-0570.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Payment is made by Commodity Credit Corporation (CCC) check after claim approval. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The dairy farmer and the manufacturer of dairy products must keep any records in applying for a payment for 3 years following the year in which an application for payment was filed.

Account Identification:

12-1140-0-1-351.

Obligations:

Direct Payments with Unrestricted Use FY 19 \$4,137,000.00; FY 20 est \$5,176,000.00; FY 21 est \$500,000.00;

Range and Average of Financial Assistance:

No Payment Limitation.

TAFS Codes:

12-3314.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations were published in the Federal Register, 7 CFR, 760, and announced through the news media, Handbook 3-LD, Circulars and regulations

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issued by FSA.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Douglas E. Kilgore,
1400 Independence Avenue, SW,
Washington DC 20250-0512
Email: Douglas.E.Kilgore@usda.gov
Phone: (202) 720-9011

Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/price-support/Index>

RELATED PROGRAMS:

10.500 Cooperative Extension Service;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.054 Emergency Conservation Program

(ECP),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Agricultural Credit Act of 1978, , Statute 92-420, Public Law 95-334, 16 USC Code 2201-2205. Title Additional Supplemental Appropriations for Disaster Relief Act, 2019, , Public Law 116-20

OBJECTIVES:

To enable farmers to perform emergency conservation measures to control wind erosion on farmlands, to rehabilitate farmlands damaged by wind erosion, floods, hurricanes, or other natural disasters and to carry out emergency water conservation or water enhancing measures during periods of severe drought.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use
USES AND USE RESTRICTIONS:

Following a natural disaster, the county FSA committee determines, with concurrence from the State FSA committee, to make the program available in the county. Emergency cost-sharing is limited to new conservation problems created by natural disasters which, if not treated will impair or endanger the land, materially affect the productive capacity of the land, represent damage that is unusual in character and, except for wind erosion, is not the type that would recur frequently in the same area and will be so costly to rehabilitate that Federal assistance is or will be required to return the land to productive agricultural use. Eligible drought situations for water enhancing measures must be determined by the Deputy Administrator for Farm Programs, FSA.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in American Samoa, Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Farmer/Rancher/Agriculture Producer; Land/Property Owner; Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch,

including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in American Samoa, Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Credentials/Documentation:

Identification as an eligible person and proof of contribution to the cost of performing the conservation practice. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible persons may submit an application on Form AD-245, for cost-sharing, at the county FSA office for the county in which the affected land is located. .

Award Procedure:

The county FSA committee reviews, prioritizes, and may approve applications in whole or in part. Approvals cannot exceed the county allocation of Federal funds for that purpose.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. From 2 to 3 weeks.

Appeals:

Not Applicable. Participants may appeal to county FSA committee, State FSA committee, or National Appeals Division (NAD) on any determination. Matters that are generally applicable to all producers are not appealable.

Renewals:

Not Applicable. Certain approvals may be extended by the FSA county committee, when necessary, with proper justification.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Practice cost-share approvals are given on a fiscal year basis. The approvals specify the time that the practice must be carried out. Payment is by check or electronic funds transfer following completion of the measure. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Maintained in the county FSA office and Federal record centers for a specified number of years.

Account Identification:

12-3316-0-1-453.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$267,041,000.00; FY 21 est \$200,000,000.00; FY 22 est \$100,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3316.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register at 7 CFR, Part 701. Program is announced through the news media in the county area designated as a disaster

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area.

Regional or Local Office:

See Regional Assistance Locations. Farmers are advised to contact their local county FSA office after a natural disaster has occurred to determine whether the program is available in the county and to determine eligibility for emergency cost-share assistance. Consult the local telephone directory for location of the county FSA office. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

ECP Program Manager Shanita Landon,
1400 Independence Ave. SW,
Washington DC 20250
Email: Shanita.Landon@usda.gov
Phone: (202) 690-1612
Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/conservation-programs/emergency-conservation/index>

RELATED PROGRAMS:

10.102 Emergency Forest Restoration Program ; 10.404 Emergency Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.056 Farm Storage Facility Loans

FSFL and SSFL,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title 1, Part Subtitle 5, Section 1614, Public Law 110-246, 7 USC Code 7971, 8789. CCC Charter Act, 15 USC Code 714. Food, Conservation, and Energy Act of 2008, Title 1, Section 1402

OBJECTIVES:

The Farm Storage Facility Loan (FSFL) Program provides low-interest financing for producers to build, upgrade, or acquire farm storage and handling facilities, storage and handling equipment and trucks. The following commodities are eligible for on-farm storage, drying and handling equipment: corn, grain sorghum, rice, soybeans, oats, peanuts, wheat, barley, or minor oilseeds harvested as whole grain; corn, grain sorghum, wheat, oats or barley harvested as other-than-whole grain; pulse crops (lentils, chickpeas and dry peas); hay; honey; renewable biomass; fruits (includes nuts) and vegetables - cold storage facilities; aquaculture (excluding systems that maintain live animals through uptake and discharge of water); floriculture; hops; milk; rye; meat and poultry (unprocessed); eggs; cheese, butter and yogurt. The loan must be approved by the local FSA state or county committee before any site preparation, construction, and/or acquisition can be started. All loan requests are subject to an environmental evaluation. Accepting delivery of equipment, starting any site preparation, or construction before loan approval, may impede the successful completion of an environmental evaluation and may adversely affect loan eligibility. The SSFL program provides loans to processors of domestically produced sugarcane and sugar beets for the construction or upgrading of storage and handling facilities for raw sugars and refined sugars.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

A FSFL is used to finance the purchase, construction, and/or upgrade of storage structures, storage and handling equipment and trucks--all eligible items may be new or used, permanently affixed or portable. The loan amount is limited to \$500,000 per storage facility for a regular loan and a \$50,000 aggregate balance for

microloans. A SSFL will be used for the purchase and installation of eligible storage facilities, permanently affixed handling equipment or the remodeling of existing facilities to store raw or refined sugar. Eligible facilities and equipment include: 1) New conventional type bins or silos; 2) New flat-type storage structures, including a permanent concrete floor and bulkheads; 3) New storage structures; 4) New electrical equipment; 5) New equipment to improve, maintain, or monitor the quality of storage sugar; and 5) New concrete foundations, aprons, pits and pads, including site preparation, labor and material.

Applicant Eligibility:

Individual/Family; Anyone/general public; An eligible FSFL borrower is any person who, as landowner, landlord, operator, producer, tenant, leaseholder, or sharecropper: (1) Has a satisfactory credit history and demonstrates an ability to repay the debt arising under this program using a financial statement acceptable to CCC prepared within 90 days of the date of application; (2) has no delinquent Federal debt defined by the Debt Collection Improvement Act of 1996 at the time of loan disbursement; (3) is a producer of a facility loan commodity as defined by CCC; (4) demonstrates a need for storage capacity as defined by CCC; (5) provides proof of crop insurance offered under the Federal Crop Insurance Program for crops of economic significance on all farms operated by the borrower in the county where the storage facility is located; (6) is in compliance with USDA provisions for highly erodible land and wetlands provisions according to 7 CFR Part 12; (7) demonstrates compliance with any applicable local zoning, land use, and building codes for the applicable farm storage facility structures; (8) provides proof of flood insurance if CCC determines such insurance is necessary to protect the interests of CCC, and proof of all peril structural insurance, to CCC annually; (9) demonstrates compliance with the National Environmental Policy Act regulations at 40 CFR, Parts 1500- 1508; and (10) has not been convicted under Federal or State law of a controlled substance violation under 7 CFR Part 718. An eligible producer is the owner of a part or all of the domestically-grown sugar beets or sugarcane, including share rent landowners, at both the time of harvest and the time of delivery to the processor. A sugar beet or sugarcane processor is eligible for loans only if the processor has agreed to all the terms and conditions in the loan application, and has executed a note and security agreement, and storage agreement with the Commodity Credit Corporation (CCC). Processors must: 1) Have a satisfactory credit history; 2) Demonstrate a need for increased storage capacity; 3) Demonstrate compliance with an applicable local zoning, land use and building codes; 4) Annually provide CCC proof of all-peril insurance on the structure; 5) Demonstrate compliance with the National Environmental Policy Act; 6) Not have been convicted under federal or State law of disqualifying controlled substance violation; and 7) Be approved by CCC to store sugar either owned or pledged as security to CCC. SSFL must be approved by the local FSA state or county committee before any site preparation, construction, and/or acquisition can be started. All loan requests are subject to an environmental evaluation. Accepting delivery of equipment, starting any site preparation, or construction before loan approval, may impede the successful completion of an environmental evaluation and may adversely affect loan eligibility.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Farmer/Rancher/Agriculture Producer; Land/Property Owner; Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria. Landowners, landlords, operators, producers, tenants, leaseholders, or sharecroppers are the beneficiaries. The authorized SSFL will be used by the processor for the construction or upgrading of storage and handling facilities for raw sugars and refined sugars.

Credentials/Documentation:

Applicants must establish that they have a need for the storage capacity for structures. There is no need to establish the storage need for handling equipment and trucks. The applicant must establish that he/she has the ability to repay the loan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. No additional information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FSFL Application Form

ASSISTANCE LISTINGS DESCRIPTIONS

CCC-185 provided by the Farm Service Agency must be presented, with supporting information, to the FSA county office serving the applicant's county. FSA personnel assist applicants in completing their application forms. The SSFL processor must file a loan obligation with the State committee of the State where the processor is headquartered or with a county committee designated by the State committee. The processor must execute a note and security agreement, and storage agreement with CCC and provide quantity and quality information of the commodity to be used as collateral.

Award Procedure:

FSA State and County Committees are authorized to approve a FSFL after applicants are determined eligible. SSFL processor must pay a loan service fee (determined by CCC) before loans are disbursed until the sugar and in-process sugar have actually been produced and guaranteed as being eligible to be pledged as loan collateral.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The approval process may take from 2 to 6 weeks.

Appeals:

Other. Applicants for loans may appeal adverse actions taken against them. The applicant is given an opportunity to appeal the decision to the National Appeals Division. Appeal regulations are set forth in parts 11 and 789 of 7CFR.

Renewals:

Not Applicable. The loan term is 3, 5, 7, 10, or 12 years. The term of the loan may be extended only as an authorized exception. The loan is repaid in equal annual installments of principal and interest amortized over the loan term.

Formula and Matching Requirements:

Statutory Formula: Title 7 Agriculture, Chapter XIV, Part 1436, Subpart ,Public Law 110-236. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Applicants shall report, by the 20th of each month, on CCC-required forms, its imports and receipts, processing inputs, production, distribution, stocks, and other information necessary to administer the sugar programs. If the 20th of the month falls on a weekend or a Federal holiday, the report shall be due the next business day. By November 20 of each year, applicants will submit to CCC a report, as specified by CCC, from an independent Certified Public Accountant that reviews its information submitted to CCC during the previous October 1 through September 30 period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Borrowers are required to annually submit proof, as applicable, of crop insurance, auto insurance, flood insurance, property insurance, hazard insurance, and property taxes. Applicants having custody of records required by CCC to operate FSFL and SSFL programs must retain financial books and records and other written or electronic data for not less than 3 years from the date a loan is disbursed, market data's are reported; or marketing's are conducted under marketing allotments.

Account Identification:

12-3301-0-1-351. 12-4158-0-3-351.

Obligations:

Direct Loans FY 20 \$410,000,000.00; FY 21 est \$400,000,000.00; FY 22 est \$400,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3301. 12-4158.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

(1) A factsheet, press release, forms, and directives are available. Regulations at 7 CFR Part 1436 were published in the Federal Register under a final rule on August 18, 2009, amended on March 10, 2014 and on April 29, 2016.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

FSFL Program Manager Toni D. Williams,
USDA-FSA-PSD, Stop 0512, 1400 Independence Ave., SW.,
Washington DC 20250-0512

Email: toni.williams@usda.gov

Phone: 202-720-2270

Fax:

Website Address:

<http://www.fsa.usda.gov/FSA/webapp?area=home&subject=prsu&topic=flp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.069 Conservation Reserve Program

(CRP),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Food Security Act of 1985, as amended, Section 1231, Public Law 110-246, 16 USC Code 3831-3835a. Title The Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

The Conservation Reserve Program (CRP) is authorized by the Food Security Act of 1985 and was reauthorized by the Agricultural Improvement Act of 2018. The program is also governed by regulations published in 7 CFR, part 1410. The program is implemented by Farm Service Agency on behalf of USDAs Commodity Credit Corporation. CRP is a voluntary program that contracts with agricultural producers so that environmentally sensitive agricultural land is not farmed or ranched, but instead devoted to conservation benefits. The objective is to protect the Nation's long-term capability to produce food and fiber; to reduce soil erosion and sedimentation, improve water quality, and create or enhance habitat for wildlife.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Eligible owners or operators may place highly erodible or other environmentally sensitive land into a 10-15 year contract. The participant, in return for annual payments, agrees to implement a conservation plan approved by the Natural Resources Conservation Service for converting highly erodible cropland or other environmentally sensitive land to a long-term resource conserving cover i.e., eligible land must be planted with a vegetative cover, such as, perennial grasses, legumes, fobs, shrubs, or trees. Financial and technical assistance are available to participants to assist in the establishment of a long- term resource conserving cover.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of

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higher education and hospitals; Individual/Family; Eligible participants may include: individual trust - revocable general partnership State and local government joint venture churches, charities, and non-profit organizations corporation public school limited liability company BIA or Indian represented by BIA limited partnership trust - irrevocable limited liability partnership individual operating a small business limited liability limited partnership Indian tribal venture. estate Payment limitation, adjusted gross income provisions and certain payment eligibility requirements apply.

Beneficiary Eligibility:

Individual/Family; Farmer/Rancher/Agriculture Producer; Land/Property Owner; State; Local; If their offer is accepted for enrollment, an individual, partnership, association, Indian Tribal ventures, corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, political subdivision of State, or any agency thereof may earn benefits.

Credentials/Documentation:

Producers have the opportunity to offer certain land for CRP annually during announced enrollment periods for certain competitive enrollment. Offers are then ranked competitively against all other offers according to certain criteria. Producers with offers that meet ranking and eligibility criteria are selected for contracts. Producers with certain environmentally sensitive land devoted to certain conservation practices may be enrolled in CRP at any time. Certain eligibility requirements apply but offers are not subject to a competitive offer process. Criteria for application and selection varies based on enrollment method and offer type. Land and producer eligibility vary based on the method of enrollment and offer type. In general, land must be owned or operated for not less than 12 months prior to the close of the signup period for competitive enrollment, or before the signup begins for non-competitive enrollment unless the land was acquired by will or succession or FSA determines that ownership was not acquired for the purpose of placing the land in the CRP. Certain other conditions may apply depending on enrollment method and offer type. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FSA has two major methods for enrolling acreage in the CRP. The first method is competitive (general signup and CRP Grasslands). The second method is non-competitive (continuous signup, Conservation Reserve Enhancement Program, Farmable Wetlands Program, etc). Each method has specific enrollment provisions such as land eligibility criteria. For all signups, potential participants must submit an offer for enrollment at the local FSA county office or USDA service center. Enrollment through a competitive offer process is conducted during designated signup periods and occurs when the Secretary of Agriculture announces USDA will accept offers for enrollment. Offers from potential CRP participants are ranked against each other at the national level. Ranking is based on the environmental benefits expected to result from the proposed conservation practices and expected costs. Each offer is assigned a score using ranking factors designed to reflect the expected environmental benefits and costs. The offer process is competitive and not all offers will necessarily rank high enough to be selected for enrollment in CRP. For practices and land with especially high environmental value, enrollment on a non-competitive basis is usually available year-round without ranking periods. This type of signup is focused on environmentally sensitive land and offers are not ranked against each other.

Award Procedure:

FSA will notify producers whose offers are determined acceptable as soon as practicable after the close of the signup for offers submitted through a competitive enrollment. For offers submitted through a non-competitive enrollment, FSA will notify producers as soon as practicable after all documents are submitted by the producer and all other producer, practice and land eligibility determinations are completed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 7 to 120 days.

Appeals:

Other. Producers may appeal any determination to county FSA committee, State committee, or National Appeals Division. Matters that are generally applicable to all producers are not appealable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In general, annual rental payments are made for 10-15 years. If cost-share assistance to establish the appropriate cover is requested, a payment is made after it is determined that the practice is installed according to an approved conservation plan. FSA may provide certain incentives for buffers, wetlands or other environmentally sensitive lands. Method of awarding/releasing assistance: Annually and based on performance. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Maintained in county FSA office and Federal Record centers for a specified number of years.

Account Identification:

12-4336-0-3-999. 12-1004-0-1-302.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$1,853,886.00; FY 21 est \$2,056,282.00; FY 22 est \$2,331,904.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR Part 1410.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

CRP Program Manager Beverly Preston,
1400 Independence Ave. SW,
Washington DC 20250
Email: Beverly.Preston@usda.gov
Phone: 202-720-9563 (office)

Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/conservation-programs/conservation-reserve-program/index>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.072 Wetlands Reserve Program; 10.054

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Emergency Conservation Program; 10.904 Watershed Protection and Flood Prevention;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.072 Wetlands Reserve Program

(WRP).

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Food Security Act of 1985 (This program was repealed by the Agricultural Act of 2014, effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.), Title XII, Part Subtitle D, Section 1237, Statute 99-1504, Public Law 99-198, 16 USC Code 3831.

OBJECTIVES:

To assist landowners in restoring and protecting wetlands on eligible lands on which they agree to enter into a permanent or 30-year long-term easement (30-year contract for Indian Tribes), or a restoration cost-share agreement with the Secretary. The goal of WRP is to maximize wetland functions and values and wildlife benefits on every acre enrolled in the program.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

Eligible landowners may offer farmed wetlands, prior converted wetlands, wetlands farmed under natural condition, former or degraded wetlands on lands that have been used or are currently being used for the production of food and fiber, including cropland, rangeland and forest production land, lands substantially altered by flooding, certain riparian areas, along with certain adjacent areas. The goal of the WRP is to achieve the greatest wetlands functions and values, along with optimum wildlife habitat on every acre enrolled in the program. At least 70 percent of the wetland and upland areas will be restored to the natural condition to the extent practicable; the remaining 30 percent of the project area may be restored to other than natural conditions. Enrollment options include permanent easements, 30-year easements, restoration cost-share agreements, and, for acreage owned by Indian Tribes, 30-year contracts. To be eligible for participation, land must be restorable and be suitable for wildlife benefits. Participating landowners must comply with the terms and conditions of their easement, agreement or contract for the duration of the document. For easement projects, landowners shall ensure the easement is superior to the rights of all others and shall agree to implement a wetland restoration plan designed to restore and maintain the easement area. Landowners must agree to a permanent retirement of crop acreage bases, allotments, and quotas to the extent that the sum of the crop acreage bases and allotments will not exceed the remaining cropland on the farm. Participating landowners receive financial and technical assistance to install necessary restoration practices as follows: Permanent easements: Easement duration is in perpetuity. Landowners receive an easement payment after the easement is filed. In addition, NRCS shall share the cost of carrying out the establishment conservation measures and practices, and the protection of wetland functions and values, including necessary maintenance activities, to the extent that the Secretary determines that cost-sharing is appropriate and in the public interest. 30-year easements: Easement duration is 30 years. Landowners receive an easement payment after the easement is filed that is the equivalent of 75 percent of the value for a permanent easement and up to 75 percent of the eligible restoration costs. Restoration cost-share agreements: Restoration cost-share agreements are made available to participating landowners as an alternative mechanism to restore wetlands without requiring the participant to sell an easement. Agreements are generally for a 10-year period, although longer agreement periods may be required for unique projects that are funded at a higher level. There is no easement payment; however, NRCS pays up to 75 percent of the eligible restoration costs. 30-year

contracts: Acreage owned by Indian Tribes can be enrolled through the use of a 30-year contract which shall be equivalent in value to a 30-year easement. For both permanent and 30-year easements, WRP pays for all the overhead costs associated with recording the easement in the local land records office including recording fees, charges for title abstracts, surveys, appraisal fees, records searches, and title insurance associated with acquiring an easement. These overhead costs are generally not paid to participants but are provided directly to the vendor performing the service. Therefore, payments appearing on USA Spending.gov will be reflective of payments to participants and payments to vendors for services associated with restoration and management activities and administrative costs associated with recording an easement. Eligible applicants must be in compliance with the highly erodible land and wetland conservation provisions in 7 CFR part 12 and the Adjusted Gross Income provisions in 7 CFR part 1400. They must be the landowner of the eligible land being offered for participation. For easement applications, the applicant must have owned the land for the 7-year period prior to the time the land is determined eligible for enrollment unless it is determined that the land was acquired by will or succession as a result of death of the previous owner; the ownership change occurred due to foreclosure and the owner of the land immediately before foreclosure exercises a right of redemption from the mortgage holder; or the land was acquired under circumstances that give adequate assurances, as determined by NRCS that such land was not acquired for the purposes of placing it in the program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Individual/Family; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An individual landowner, partnership, association, corporation, estate, trust, other business or other legal entities and Indian Tribe. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Native American Organizations; Land/Property Owner; State; Local; An individual landowner, partnership, association, corporation, estate, trust, other business enterprises or other legal entities and Indian Tribe. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Credentials/Documentation:

The landowner must have owned the land offered for at least the preceding 7 years prior to the end of the period in which the intent to participate in an easement is declared unless the land was acquired by will or succession as a result of the death of the previous owner; or the Department determines that the new owner did not acquire such land for the purpose of placing it in the WRP. The 7 year requirement is not applicable to restoration agreement. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. No longer available for new enrollments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Award Procedure:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Deadlines:

Not Applicable.

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Range of Approval/Disapproval Time:

Other. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Appeals:

Other. Landowner may appeal certain determinations to the National Appeals Division.

Renewals:

Other. Not applicable. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue. Lump sum payments or no less than 5 nor more than 30 annual payments of equal or unequal value are made for easements. Cost-share payments of 100 percent of the cost of implementing the Wetland Restoration Plan will be paid for a permanent easement with 75 percent of permanent easement amounts being paid for 30-year easements, 30-year contracts and restoration cost-share agreements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cash easement payments will be made in a lump sum amount or in annual installments beginning at closing. Cost share payments for implementation of easement practices will be made when a specific practice has been implemented by either the landowner or contractor. Method of awarding/releasing assistance: other

Reports:**Audits:**

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be filed in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-4336-0-1-302. 12-1080-0-1-302. 12-1004-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$5,821,000.00; FY 21 est \$836,000.00; FY 22 est \$104,000.00; Salaries and Expenses FY 20 \$2,841,000.00; FY 21 est \$15,250,000.00; FY 22 est \$1,887,000.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the

internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

John Glover,
1400 Independence Ave., SW,
Washington DC 20250
Email: John.Glover@usda.gov
Phone: (202) 260-8106
Fax:

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/easements/wetlands/>

RELATED PROGRAMS:

10.920 Grassland Reserve Program; 10.904 Watershed Protection and Flood Prevention; 10.913 Farm and Ranch Lands Protection Program; 10.931 Agricultural Conservation Easement Program ; 10.069 Conservation Reserve Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Implementation of contracts entered into prior to that date will continue.

10.087 Biomass Crop Assistance Program

(BCAP),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Supplemental Appropriations Act, 2010, Title 1, Part Chapter 1, Section 101, Public Law 111-212, The Farm Security and Rural Investment Act of 2002, Title IX, , 7 USC Code 8101. The Food, Conservation, and Energy Act of 2008, Title IX, Section 9001, Public Law 110-246, The Agriculture Act of 2014, Title IX, Part , Section 9010, Public Law 113-79, The Agriculture Improvement Act of 2018, Title IX, Part , Section 9010, Public Law 115-334, Statue 7 USC Chapter 107-1926-1928, 7: Agriculture: Chapter 107: Renewable Energy Research and Development USC Code 8111.

OBJECTIVES:

The purpose of BCAP is to encourage the production of biofuels. BCAP accomplishes this by providing funding for agricultural and forest land owners and operators to receive matching payments for certain eligible material sold to qualified biomass conversion facilities for conversion to heat, power, bio based products, or advanced biofuels. It also provides funding for producers of eligible crops of renewable biomass within specified project areas to receive establishment payments and annual payments.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

BCAP is intended to encourage the production of biofuels by assisting agricultural and forest land owners and operators with the establishment and production of eligible crops in selected project areas for conversion to bioenergy, and with the collection, harvest, storage, and transportation of eligible material for use in a biomass conversion facilities.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); To be eligible to enter into a BCAP contract for the purposes of receiving an annual payment or establishment payments, a person or legal entity must be an owner, operator, or tenant of eligible land within a project area. Eligible land must be agricultural land or nonindustrial private forest land. Eligible agricultural land includes cropland; grassland; pastureland, rangeland, hayland, and other land on which food fiber, or other agricultural products are produced or capable of being legally produced for

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which a valid conservation plan exists and is implemented. Ineligible land is as follows: (1) Federal lands; (2) State-owned, municipal, or other local government-owned lands; (3) Native sod; and (4) Land that is already enrolled in CCCs CRP, Wetlands Reserve Program, or Grassland Reserve Program. Eligible crops for annual and establishment payments are renewable plant materials such as feed grains, other agricultural commodities, or other plants and trees, and algae; waste materials including vegetative waste, materials, such as woods wastes and wood residues, animal waste and byproducts, such as fats, oils, greases, and manure, food waste, and yard waste. Ineligible crops are any crop eligible to receive payments under Title I of the Food, Conservation, and Energy Act of 2008 (See 8-LP, paragraph 126 and 7-CN for Title I commodities); and any plant that is invasive or noxious or has the potential to become invasive or noxious. Eligible materials for matching payments include various types of renewable biomass collected or harvested directly from the land in accordance with an approved conservation plan, forest stewardship plan, or an equivalent plan before transport and delivery to the biomass conversion facility. Materials will only be eligible if USDA determines that there is no higher value use for that material within a reasonable distance of the biomass conversion facility. Matching payments are not available for the following products: (1) Material that is whole grain from any crop that is eligible to receive; payments under Title I of the Food, Conservation, and Energy Act of 2008 or an amendment made by that title, including, but not limited to, barley, corn, grain sorghum, oats, rice, or wheat; honey; or material that is mohair; certain oilseeds such as canola, crambe, flaxseed, mustard seed, rapeseed, safflower seed, soybeans, sesame seed, and sunflower seeds; peanuts; pulse crops such as small chickpeas, lentils, and dry peas; dairy products; sugar; wool; and cotton boll fiber; (2) Animal waste and by-products of animal waste including fats, oils, greases, and manure; (3) Food waste and yard waste; and (4) Algae. All eligible material must be harvested or collected directly from the land by the eligible material owner according to a conservation, forest stewardship, or equivalent plan and be separated from the higher value product before the point of delivery. Woody biomass harvested or collected outside of project area contracts is limited to eligible material resulting from preventative treatments to address fire load reduction, insect or disease outbreaks, or restore ecosystem health. Woody biomass harvested or collected outside of project area contracts is limited to eligible materials that do not have an existing market for non-biomass use and cannot be co-mingled with higher product value materials. Eligible material owner, for purposes of the matching payment, means a person or entity having the right to collect or harvest eligible material, who has the risk of loss in the material that is delivered to an eligible facility and who has directly or by agent delivered or intends to deliver the eligible material to a qualified biomass conversion facility, including: (1) For eligible material harvested or collected from private lands, including cropland, the owner of the land, the operator or producer conducting farming operations on the land, or any other person designated by the owner of the land; and (2) For eligible material harvested or collected from public lands, a person having the right to harvest or collect eligible material pursuant to a contract or permit with the US Forest Service or other appropriate Federal agency, such as a timber sale contract, stewardship contract or agreement, service contract or permit, or related applicable Federal land permit or contract, and who has submitted a copy of the permit or contract authorizing such collection to CCC. Eligible facilities are biomass conversion facilities that convert or propose to convert renewable biomass into heat, power, bio based products, or advanced biofuels and have been approved for the BCAP program by the CCC.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Land/Property Owner; U.S. Citizen; Owners and operators of agricultural and non-industrial private forest land will receive the ultimate benefits because it will provide financial assistance to establish, produce, and deliver biomass feedstocks. Eligible material owners who are the person or entity having the right to collect or harvest eligible material, who has the risk of loss in the material that is delivered to an eligible facility and who has directly or by agent delivered or intends to deliver the eligible material to a qualified biomass conversion facility.

Credentials/Documentation:

In order to enroll land in BCAP, the participant must enter into a contract with the Commodity Credit Corporation (CCC). Acceptance or rejection will be at the sole discretion of CCC, and offers may be rejected for any reason as determined appropriate to accomplish the purposes of BCAP. Contracts will be for a term of up to five years for annual and non-woody perennial crops and 15 years for woody

perennial crops; or time period may vary due to type of crop, agronomic conditions, and other factors. Eligible material owner who enter into a contract with CCC may receive matching payments up to a period of two-years following the first payment for the delivery of eligible material to a qualified biomass conversion facility. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. FSA prepared a Final Programmatic Environmental Impact Statement (PEIS) for BCAP and final rule published in the Federal Register on May 20, 2015 (80 FR 28807).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In order for a delivery of eligible materials to a biomass conversion facility to qualify for a BCAP payment, the receiving biomass conversion facility must be qualified for BCAP. To become qualified, the biomass conversion facility must enter into an agreement with CCC, through the FSA State office in the State where the facility is physically located. For any facility, whether or not yet in operation, the entity requesting that a facility become qualified must provide proof of all applicable Federal, State, local, and Tribal permits and licenses required for operation or proof of application completions or letters of renewal submissions from the applicable governmental entity. Applicable permits and licenses may include, but are not limited to, business licenses, air quality permits, water discharge permits, storm water permits, or Bureau of Alcohol, Tobacco, Firearms and Explosives registrations. Each biomass conversion facility must enter into a separate agreement with CCC regardless of whether a single owner has multiple facilities. CCC will issue a unique facility identification number to each qualifying biomass conversion facility. Eligible material owners must apply at an FSA county office and receive approval for that application before delivering the eligible material to the qualified biomass conversion facility. Applications must include the following based on information obtained from contracts, agreements, or binding letters of intent: (1) An estimate of the total dry tons of eligible material expected to be sold to the qualified biomass conversion facility; (2) The type(s) of eligible material that is expected to be sold; (3) The name of the qualified biomass conversion facility that will purchase the eligible material; (4) The expected, fair market, per dry ton payment rate the owner plans to receive for the delivery of the eligible material; (5) The date or dates the eligible material is expected to be delivered to the qualified biomass conversion facility; (6) A new or amended conservation plan, forest stewardship plan, or equivalent plan. Eligible material owners who deliver eligible material to more than one qualified biomass conversion facility must submit separate applications for each facility to which eligible material will be delivered. In order to enroll land for Establishment and Annual payments, the participant must enter into a contract with CCC. Only the owners, operators, or tenants of eligible land within a project area that will actually perform the work specified in the contract are eligible to apply for the program. The contract is comprised of: (1) The terms and conditions for participation in BCAP; (2) The conservation plan, forest stewardship plan, or equivalent plan; and (3) Any other materials or agreements determined necessary by CCC.

Award Procedure:

Contract approval for matching payments is subject to availability of funds and to eligible material owners completion of required conservation plan, forest stewardship plan or equivalent plan; presentation of proof of material ownership; and compliance with all eligible material provisions. Eligible material owners accepted into the program will receive their matching payments after they provide CCC documentation of deliveries to qualified biomass conversion facilities. Establishment payments will be made based on a determination by CCC that an eligible practice or an identifiable portion exists. Eligible practices are practices specified in the conservation plan, forest stewardship plan, or equivalent plan that meet all standards need to cost-effectively establish annual crops, non-wood perennial crops, and wood perennial crops. Payments will not be more than 75 percent of the cost for establishing a perennial crop for seed and stock costs; planting costs, cost of site preparation for non-industrial forest land; could include but not limited to site preparation for non-tree planting and supplemental or temporary irrigation. Annual payments will be calculated on a per acre basis using market-based rental rates. Rental payment rates will be based on average soil rental

ASSISTANCE LISTINGS DESCRIPTIONS

rate for cropland and all other agricultural land; marginal pastureland; and for forest land. The first years' payments will be made as an advance in an amount equal to 50 percent with 30 days of contract approval, with the remaining 50 percent being paid within 30 days of the first-year contract anniversary date. Annual payments for subsequent years will be made within 30 days of the contract anniversary beginning with the second-year contract anniversary. If BCAP participants collect both annual and matching payments for their crops, they are subject to a reduction in their annual payment. The size of the reduction depends on the end use of the biomass being delivered to a biomass conversion facility. The annual payment will be reduced by: 1 percent if the eligible crop is delivered to a biomass conversion facility for conversion to cellulosic biofuels as defined by 40 CFR 80.1401; by 10 percent if the eligible crop is delivered to a biomass conversion facility for conversion to advanced biofuels; and by 25 percent if the eligible crop is delivered to a biomass conversion facility for conversion to heat, power, or biobased products.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Determinations may be appealed in accordance with the administrative appeal regulations at parts 11 and 780 of 7 CFR. Determinations by the Natural Resources Conservation Service may be appealed in accordance with procedures established under part 614 of 7 CFR.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter , Part 1450, Subpart ,Public Law 115-334. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching payments may be available for the delivery of eligible material to qualified biomass conversion facilities by eligible material owners. Qualified biomass conversion facilities produce heat, power, biobased products, or advanced bio fuels from biomass feed stocks. Matching payments will be made at a rate of \$1 for each \$1 per dry ton paid by qualified biomass conversion facilities up to \$45 per dry ton. Payments are paid within 30 days after the request for payment by the eligible material owner is submitted at the FSA county office, including submission of sales invoice(s) issued by the qualified biomass conversion family. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available in the fiscal year when appropriated and are permitted to be obligated to participants according to contract period of performance to spend the money awarded. A contract for establishing a crop shall have a term of up to 5 years for annual and perennial crops; or 15 years for woody biomass. A contract for collecting, harvesting, storing and transporting shall not exceed 2 years. Method of awarding/releasing assistance: Method of awarding/releasing assistance: lump sum.

Reports:

Biomass Conversion Facilities agree to develop and maintain a BCAP Purchase List of all renewable biomass purchases and include purchases from both eligible material owner and sellers not participating in BCAP.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. BCAP is a program operated by the Commodity Credit Corporation (CCC), and therefore is included in the CCC annual audit. All CCC audit procedures apply to BCAP.

Records:

Producers enrolling in all types of BCAP contracts may be reviewed or audited by FSA as appropriate. Recipients shall retain records which include spreadsheet, books, papers, records, contracts, scale tickets, settlement sheets, invoices, written price quotations, or other documents related to BCAP. Records shall be maintained and retained for no less than three years from the date of payment for cultivation or renewable biomass purchases.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1450

Regional or Local Office:

See Regional Assistance Locations. Persons with disabilities who require alternative means for communication (Braille, large print, audiotape, etc.) should contact the: USDA Target Center 202-720-2600

Headquarters Office:

Shanita Landon, Program Manager,
1400 Independence Ave. SW,
Washington DC 20250
Email: shanita.landon@usda.gov
Phone: (202) 690-1612
Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/conservation-programs/emergency-conservation/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.089 Livestock Forage Disaster Program

(LFP).

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Food Conservation and Energy Act of 2008; American Taxpayer Relief Act of 2012 (ATRA), , Public Law 110-246

OBJECTIVES:

The objective of LFP is to provide financial assistance from the Agricultural Disaster Relief Trust Fund (Trust Fund). The Secretary of Agriculture (henceforth the Secretary) has the authority to use sums from the Trust Fund to provide compensation to livestock producers who suffered grazing losses due to drought or fire. For drought, the losses must have occurred because of a qualifying drought during the normal grazing period for the county on land that is native or improved pastureland with permanent vegetative cover or is planted to a crop planted specifically for grazing covered livestock. For fire, LFP provides payments to eligible livestock producers that have suffered grazing losses on range land managed by a Federal agency if the eligible livestock producer is prohibited by the Federal agency from grazing the normal permitted livestock on the managed range land because of a qualifying fire. Eligible grazing losses must have occurred on or after January 1, 2008, and before October 1, 2011. The eligible grazing losses must occur within the same calendar year for which benefits are being requested. ATRA provides extending benefits to livestock producers for grazing losses due to drought and wildfires on Federal lands through September 30, 2013, subject to appropriations. See assistance listing #10.109 Livestock Forage Disaster Program update

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

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The assistance can be used to provide financial assistance for grazing losses due to drought equal to one, two, or three times the monthly payment rate to eligible livestock producers if the eligible livestock producer owns or leases a grazing land or pastureland that is physically located in a county that is rated by the U.S. Drought Monitor as D2 Drought (severe drought) for at least eight consecutive weeks, D3 (extreme drought) at any time or for four weeks (not necessarily four consecutive weeks), or a D4 (exceptional drought at anytime) during the normal grazing period for the specific type of grazing land or pastureland for the county. ATRA provides extending benefits to livestock producers for grazing losses due to drought and wildfires on Federal lands through September 30, 2013, subject to appropriations.

Applicant Eligibility:

Individual/Family; Minority group; Small business (less than 500 employees); An eligible applicant or livestock producer may use assistance for grazing or fire losses for any specific purpose. Eligible covered livestock includes cattle (including dairy cattle); buffalo/beefalo/ alpacas, deer, elk, emu, equine, goats, llamas, poultry; reindeer, sheep; swine; and other livestock as determined by the Secretary.

Beneficiary Eligibility:

Individual/Family; Minority group; Small business; Farmer/Rancher/Agriculture Producer; Beneficiary eligibility is extended to an eligible livestock producer who is an owner, cash share lessee, a contract grower of covered livestock that provides the pasture land or grazing land (including cash-leased pasture land or grazing land for the livestock) that is physically located in a county affected by drought. The term "eligible livestock producer" does not include an owner, cash or share lessee, or contract grower of livestock that rents or leases pasture land or grazing land owned by another person on a rate-of-gain basis. The eligible producer on a farm must have during the 60 calendar days before the beginning date of a qualifying drought or fire, owned, cashed or share leased, or been a contract grower of eligible covered livestock. An eligible livestock producer must also be an individual or entity that is a citizen of the United States (U.S.); a resident alien; a partnership of citizens of the U.S.; or a corporation, limited liability corporation, or other farm organizational structure organized under State law. An eligible livestock producer shall only be eligible for assistance if the livestock producer meets the risk management purchase requirement by obtaining a policy or plan of insurance or filed the required paperwork and paid the administrative fee by the applicable State application closing deadline date for the Noninsured Crop Disaster Assistance Program (NAP) for the grazing land crop that incurred the loss for which assistance is being requested. For 2008 only, a waiver was authorized to allow producers to pay a buy-in fee or receive a waiver by September 16, 2008, for grazing land for which the producer did not obtain a policy or plan of insurance for 2008. The risk management purchase requirement will be waived for producers who are considered to be a socially disadvantaged farmer or rancher, limited resource farmer or rancher, or beginning farmer or rancher.

Credentials/Documentation:

A livestock producer must certify that they have a grazing loss due to drought or fire. There is no minimum loss percentage required by the producer. A livestock producer must provide an FSA-925 (LFP application for payment) in their administrative FSA county office along with required supporting documents. Supporting Documentation includes: CCC-502 Farm Operating Plan for Payment Eligibility Review, applicable for 2008 calendar year. CCC-901 Members Information 2009 and Subsequent Years, applicable for 2009 and subsequent years. AD-1026 - Highly Erodible Land Conservation and Wetland Conservation Certification, applicable for 2008, 2009, 2010, and 2011. CCC-526 Payment Eligibility Average Adjusted Gross Income Certification for 2008. CCC-926 Average Adjusted Gross Income Statement for 2009 and Subsequent Years. Copy of contract grower contract. Report of acreage for the grazing land is owned or leased. Evidence that grazing land is owned or leased. Evidence of meeting risk management purchase requirement or an approved waiver. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Payment Eligibility for Losses Due To Drought. An eligible livestock producer will

be eligible to receive payments for grazing losses for qualifying drought equal to one, two, or three times the monthly payment rate. Total LFP payments to an eligible producer in a calendar year for grazing losses due to a qualifying drought will not exceed three monthly payments for the same livestock. To be eligible for a one month payment, the eligible livestock producer must own or lease grazing land or pastureland that is physically located in a county that is rated by the U.S. Drought Monitor as having at least a D2 severe drought (intensity) in any area of the county for at least 8 consecutive weeks during the normal grazing period for the specific type of grazing land or pastureland in the county. To be eligible for a two month payment, the eligible livestock producer must own or lease grazing land or pastureland that is physically located in a county that is rated by the U.S. Drought Monitor as having at least a D3 extreme drought (intensity) in any area of the county at any time during the normal grazing period for the specific type of grazing land or pastureland for the county. To be eligible for a three month payment, the eligible livestock producer must own or lease grazing land or pastureland that is physically located in a county that is rated by the U.S. Drought Monitor as having at least a D3 extreme drought (intensity) in any area of the county for at least four weeks during the normal grazing period for the specific type of grazing land or pastureland for the county, or is rated as having a D4 exceptional drought (intensity) in any area of the county at any time during the normal grazing period for the specific type of grazing land or pastureland for the county. The monthly payment rate for grazing losses due to a qualifying drought for producers who suffer grazing losses on grazing lands located in a county due to drought will be equal to 60 percent of the lesser of the monthly feed cost for all covered livestock owned or leased by the eligible livestock producer or the monthly feed cost calculated using the normal carrying capacity of the eligible grazing land of the eligible livestock producer. If an eligible livestock producer sold or otherwise disposed of covered livestock due to a qualifying drought in one or both of the two production year immediately preceding the current production year, the payment rate is 80 percent of the monthly payment rate. Payment Eligibility For Losses Due To Fire. Livestock producers who suffer grazing losses on grazing lands managed by a Federal agency due to a fire, will receive a payment equal to 50 percent of the monthly feed cost for the number of days the producer is prohibited from grazing the managed range-land because of the qualifying fire, not to exceed 180 calendar days. The payment begins on the first day the permitted livestock are prohibited from grazing the eligible range-land and ending on the earlier of the last day of the Federal lease of the eligible livestock producer or the day that would make the period a 180 calendar day period. ATRA provides extending benefits to livestock producers for grazing losses due to drought and wildfires on Federal lands through September 30, 2013, subject to appropriations. Payments for these losses will not occur if funds are not appropriated.

Deadlines:

December 10, 2009. To apply for LFP, producers that suffer eligible grazing losses during 2008 must file FSA-925 and required supporting documentation by no later than December 10, 2009. Producers that suffer eligible grazing losses during 2009 and later years must submit a completed FSA-925 and supporting documentation to the administrative FSA county office no later than 30 calendar days after the end of the calendar year in which the grazing loss occurs. Late filed applications will not be accepted.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions place on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

12-5531-0-2-351.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-5531.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Scotty Abbott,
LFP Program ManagerUSDA, FSA, Deputy Administrator for Farm ProgramsProduction, Emergencies, & Compliance Division (PECD)1400 Independence Ave SW Stop 0517, Washington DC 20250
Email: scotty.abbott@wdc.usda.gov
Phone: 202-720-7997
Fax: 202-690-2130

Website Address:

<http://www.fsa.usda.gov>

RELATED PROGRAMS:

10.109 Livestock Forage Disaster Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.093 Voluntary Public Access and Habitat Incentive Program

(VPA-HIP),

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title The Food Security Act of 1985, , Public Law 99-198, Title The Agricultural Act of 2014, , Public Law 113-79, Title The Agriculture Improvement Act of 2018, , Public Law 115-334, 15 US Code USC Code 714b and 714c.

OBJECTIVES:

The primary objective of VPA-HIP is to encourage owners and operators of privately-held farm, ranch, and forest land to voluntarily make that land available for access by the public for wildlife-dependent recreation, including hunting or fishing, under programs implemented by state or tribal governments.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

The funding provided by the VPA-HIP helps states and tribal governments increase public access to private land and improve wildlife habitat. Grant recipients can use the funding to provide financial incentives to landowners to participate in a public access program, provide infrastructure or equipment associated with

implementation of the public access program, and provide incentives to landowners to improve wildlife habitat on lands enrolled in a public access program.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; Only States and Tribal governments are eligible for VPA-HIP. An eligible State government means any State or local government, including State, city, town, or county government. An eligible Tribal Government means any Federally-recognized Indian tribe, band, nation, or other organized group, or community, including pueblos, rancherias, colonies and any Alaska Native Village, or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C 1601-1629H), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

Beneficiary Eligibility:

Anyone/general public; The beneficiary eligibility is extended to the public for the purposes of expanding existing public access programs or create new public access programs or provide incentives to improve habitat on enrolled program lands. Proposals for grant money should be submitted to the local State or Tribal governments by owners and operators of privately-held farm, ranch, and forest land. An eligible owner is one who has legal ownership of farmland, ranchland, or forestland. An eligible operator (individual, entity, or joint operation) is one who is determined by the FSA county committee to be in control of the farming, ranching, or silvicultural operations on the farm. For the purposes of receiving grant money, an appropriate wildlife habitat should be suitable or proper, as determined by the applicable State or tribal government, to support fish and wildlife populations in the area. An eligible farmland or ranch land means the sum of the Direct and Counter-Cyclical (DCP) cropland, forest, acreage planted to an eligible crop acreage and other land on the farm. Eligible forest land is at least 120 feet wide and one acre in size with at least 10 percent cover (or equivalent stocking) by live trees of any size, including land that formerly had such tree cover and that will be naturally or artificially regenerated. Forest land includes transition zones, such as areas between forest and non-forest lands that have at least 10 percent cover (or equivalent stocking) with live trees and forest areas adjacent to urban and built-up lands. Roadside, streamside, and shelterbelt strips of trees must have a crown width of at least 120 feet and continuous length of at least 363 feet to qualify as forest land. Unimproved roads and trails, streams, and clearings in forest areas are classified as forest if they are less than 120 feet wide or an acre in size. Tree-covered areas in agricultural production settings, such as fruit orchards, or tree-covered areas in urban settings, such as city parks, are not considered forest land. Eligible privately-held land means farm, ranch, or forest land that is owned or operated by an individual or entity that is not an entity of any government unit or Tribe.

Credentials/Documentation:

Funding priority will be given to proposals that expend VPA-HIP funding through a public access program to address these objectives: Maximize participation by landowners; Ensure that land enrolled in the program has appropriate wildlife habitat; Encourage participation of Wetland Reserve Easement (WRE) landowners in the public access program; Supplement funding and services from other federal, state, tribal government or private resources; and Provide information to the public about the location of public access land. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant is the individual State or tribal government. Any application from any unit of the State or tribal government must be coordinated for a single submission of one application from the State or Tribal government. Incomplete applications will not be considered for funding. Since the VPA-HIP is a competitive grants program, information furnished by grant applicants in their proposals will be used to determine eligibility for the VPA-HIP benefits and for ranking their proposals against others. Furnishing the data is voluntary; however, the failure to provide information could result in program benefits being withheld or denied. The following forms must be completed, signed, and submitted as part of the

ASSISTANCE LISTINGS DESCRIPTIONS

application; other forms may be required, as specified in the applicable RFA/APF: 1. Application for Federal Assistance; 2. Budget Information-Non-Construction Programs; and 3. Assurances-Non-Construction Programs.

Award Procedure:

Grants are awarded through a competitive process. Following award selections, grantees enter into a grant agreement with NRCS. The agreement includes the deliverables and proposed budget for the project, as well as reporting requirements and other general terms and conditions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Appeals will be handled according to 7 CFR parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Section 726 of the Consolidated and Further Continuing Appropriations Act, 2012, effectively ended any new VPA-HIP obligations, or modifications to existing obligations that were made under the Food Security Act of 1975. VPA-HIP was reauthorized by the Agriculture Improvement Act of 2018, P.L. 115334. Method of awarding/releasing assistance is as documented in the specific agreements between NRCS and awardees. Method of awarding/releasing assistance: other

Reports:

Progress and financial reports are required from state and tribal grantees. Annual performance reports that compare accomplishments to the objectives stated in the application that also identify all tasks completed to date and provide documentation supporting the reported results, if the original schedule provided in the work plan is not being met, the report must discuss the problems or delays that may affect completion of the project, and list objectives for the next reporting period, and discuss compliance with any special conditions on the use of award funds. CCC will incorporate performance criteria in grant award documentation and will regularly evaluate the progress and performance of grant awardees. Final project performance reports are due within 90 days of the completion of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

No Data Available.

Account Identification:

12-1004-0-1-302.

Obligations:

Project Grants FY 20 \$49,421,000.00; FY 21 est \$6,000.00; FY 22 est \$0.00; Salaries and Expenses FY 20 \$103,000.00; FY 21 est \$542,000.00; FY 22 est \$169,000.00;

Range and Average of Financial Assistance:

The Agriculture Improvement Act of 2018 authorized \$50 million for VPA-HIP. The maximum amount for a single award is \$3 million.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, Uniform Federal Assistance Regulations; 7 CFR Part 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to

State and Local Governments; 7 CFR Part 3017, Government wide Debarment and Suspension (non procurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3052, Audits of States, Local Governments and Non-profit Organizations

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Kari Cohen,
Natural Resources Conservation Service
1400 Independence Ave, SW,
Washington DC 20250

Email: kari.cohen@usda.gov

Phone: 202-720-6037

Fax:

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/detail/national/programs/farmbill/?cid=stelprdb1242739>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Below are four examples of VPA-HIP projects awarded in 2020: ARIZONA DEPT OF GAME AND FISH \$1,175,078 The Arizona Department of Game and Fish (AGFD) will maintain and expand its Landowner Relations Program. Through this program, AGFD provides financial incentives to private landowners in exchange for public access agreements. These access agreements will provide the public with opportunities for hunting, fishing and other wildlife dependent recreation. ARKANSAS GAME & FISH COMMISSION \$2,100,000 The Arkansas Game and Fish Commission (AGFC) will expand the existing Arkansas Waterfowl Rice Incentive Conservation Enhancement (ARWRICE) Program. Through the ARWRICE program, AGFC provides financial incentives to rice producers near select Wildlife Management Areas and National Wildlife Refuges to increase public hunting access on private rice fields while improving wildlife habitat. This program will work with landowners to enhance waterfowl habitat quality that has been declining due to changing agricultural practices. COLORADO DIVISION OF PARKS AND WILDLIFE \$1,220,000 The Colorado Division of Parks and Wildlife (CPW) will expand Colorados Walk-In Access (WIA) Program. Through the WIA program, CWP delivers opportunities to landowners statewide, opening privately owned lands to increase public access for small and big game hunting. GEORGIA DEPARTMENT OF NATURAL RESOURCES \$1,918,750 The Georgia Department of Natural Resources will lease privately-owned farm, forestland, and agricultural cropland for dove hunting to expand the Georgia Wildlife Resources Divisions current Wildlife Management Area Public Access Program. Additionally, funds received from this grant will be used to provide incentives to enhance wildlife habitat on enrolled lands.

CRITERIA FOR SELECTING PROPOSALS:

Incomplete applications will not be evaluated. All applications will be evaluated using the evaluation criteria and scored in accordance with the VPA-HIP funding announcement. An evaluation panel will rank proposals and make recommendations for funding. The NRCS Chief makes the final award decisions.

10.098 Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers

(RTCP),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title The Consolidated Appropriations Act of 2021, Section 747, Public Law 110-246

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The intended goal of the program is to provide direct reimbursement payments to a geographically disadvantaged farmer or rancher who transports an agricultural commodity, or inputs used to produce an agricultural commodity during a fiscal year. Input transportation cost are transportation costs of inputs used to produce an agricultural commodity including, but not limited to, air, ocean, and land freight of chemicals, feed, fertilizer, fuel, seeds, plants, supplies, equipment parts, and other inputs. The Farm Service Agency (FSA), Deputy Administrator for Farm Programs (DAFP) administers RTCP. The program will be carried out in the field by FSA State and county committee and FSA employees. State and local governments and their political subdivisions and related agencies are not eligible for RTCP payments.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

RTCP provides monetary assistance to geographically disadvantaged farmers and ranchers by reimbursing them for a portion of the transportation cost of their agricultural commodity, or transported inputs used to produce an agricultural commodity during the fiscal year. The payments provided by RTCP are intended to offset a portion of the high cost of transporting inputs for production purposes and transporting products to markets. The types of transportation rates used to determine reimbursable amounts are: (1) Actual transportation rates which are based on the actual costs incurred by the applicant and must be determined in all cases from verifiable records. (2) Fixed transportation rates are determined by FSA and will establish per unit transportation costs for each eligible commodity or input used to produce the eligible commodity. (3) Set transportation rates are established for those transportation costs that are not on the FSA list of fixed rates and for which an actual rate cannot be documented. They will be set by FSA based on available data of transportation costs similar commodities and inputs.

Applicant Eligibility:

U.S. Territories and possessions; Individual/Family; Specialized group (e.g. health professionals, students, veterans); To be eligible to receive program benefits, a geographically disadvantaged farmer or rancher must: (1) Be a producer of an eligible agricultural commodity in substantial commercial quantities (2) Incur transportation costs for the transportation of the agricultural commodity or input used to produce the agriculture commodity (3) Submit an application for payment during the specified period applicable for each fiscal year. (4) Be in compliance with conservation and wetland protection requirements on all their land (5) Be a citizen of or legal resident alien of the U.S. Eligible commodities include any agricultural commodity (including horticulture, aquaculture, and floriculture) food, feed, fiber, livestock (including elk, reindeer, bison, horses, and deer), insects or products thereof.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; The U.S. farmers and ranchers outside the continental U.S. (the 48 contiguous U.S.) receive the ultimate benefit from the program because they operate at a competitive disadvantage relative to farmers and ranchers in the continental U.S. This disadvantage is due to the high cost of transporting agricultural commodities from those areas to markets in the continental U.S. and in other countries, and the high cost of transporting agricultural inputs to those areas. Geographically disadvantaged farmers and ranchers located in Hawaii, Alaska, or an insular area such as the Commonwealth of Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and the Virgin Islands of the U.S. are the primary beneficiaries.

Credentials/Documentation:

To be eligible for RTCP, a producer must be a farmer or rancher in an insular area, Hawaii, Alaska, Puerto Rico and Virgin Islands. The disadvantaged farmer or rancher must produce an eligible agricultural commodity, incur transportation cost for the transportation of the agricultural commodity or input used to produce the agricultural commodity. The producer must also meet adjusted gross income and pay limit eligibility requirements up to an \$8,000 per producer cap and be in compliance with wetland and highly erodible land requirements. The producer must also submit an accurate and complete application FSA-218 by the application deadline for the applicable Fiscal Year. CCC-770 Eligibility must be completed for each producer before RTCP is approved. Each producer requesting payment must certify to the accuracy and truthfulness of the information in their application and

any supporting documentation. Producers who submit actual costs for reimbursement at the actual cost rate, must provide verifiable records. Failure or refusal to allow FSA to verify the information provided will result in a denial of eligibility. Furnishing the information is voluntary; however, without it program benefits will not be approved. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application for payment must be submitted on a completed application form. Applications and any other supporting documentation must be submitted to the FSA county office that services the county where the agricultural operation is located, but, in any case, must be received by the FSA county office by the close of business on the last day of the application period established by the Deputy Administrator. An application received after the close of business after the application period will not be eligible for benefits.

Award Procedure:

Eligibility reimbursement amount will be calculated by multiplying the number of units of the reported transportation amount times the applicable transportation fixed, set, or actual rate times the applicable non-foreign area cost of living allowance or post differential (COLA).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 15 to 30 days. The appellant must submit a written request asking the next level reviewing authority within FSA to review a decision. The appeal regulations are specified in 7CFR parts 11 and 780 apply to determinations for RTCP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter VII, Part 755, Subpart ,Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Persons applying for RTCP payments must maintain records and accounts to document all eligibility requirements for three years after the date of payment to the producer.

Account Identification:

12-2701-0-1-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-2701.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Douglas E. Kilgore,
1400 Independence Avenue, SW,
Washington DC 20250

Email: Douglas.E.Kilgore@usda.gov

Phone: (202) 720-9011

Fax: Angela Pope,
1400 Independence Ave. SW,
Washington DC 20250

Email: Angela.Pope@usda.gov

Phone: (202) 720-0482

Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/price-support/RTCP-Program/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.099 Conservation Loans

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Consolidated Farm and Rural Development Act, Title XIV, Part A, Section 304, 7 USC Code 1924.

OBJECTIVES:

To provide access to credit for farmers who need and want to implement conservation measures on their land but do not have the up front funds available to implement these practices. Unlike FSAs traditional farm ownership and operating loan programs that are targeted toward smaller and less financially established farmers, eligibility requirements for the CL program are expanded to permit FSA to provide assistance to some applicants who may be large and financially strong.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

FSA Conservation Loans are for implementing conservation practices approved by the USDAs Natural Resources Conservation Service (NRCS), such as:
I.Reducing soil erosion;
II.Improving water quality; and
III.Promoting sustainable and organic agricultural practices.
Specific conservation practices include:
I.Installing conservation structures;
II.Establishing forest cover;
III.Installing water conservation measures;
IV.Establishing or improving permanent pastures;
V.Transitioning to organic production;
VI.Managing manure, including manure digestion systems; and
VII.Adapting other emerging or existing conservation practices, techniques or technologies.

Applicant Eligibility:

Individual/Family; Minority group; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); General Eligibility for Direct Conservation Loans
The following requirements must be met to qualify for an FSA Conservation Loans, unless otherwise stated in the eligibility requirements for the loan:
I.Be a family farmer;
II.Have a satisfactory credit history;
III.Be a citizen of the United States, including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, Commonwealth of the Northern Mariana Islands,

Republic of Palau, Federated States of Micronesia and the Republic of Marshall Islands; a U.S. non-citizen national or a qualified alien under federal immigration law;
IV.Be unable to obtain credit elsewhere at reasonable rates and terms to meet actual needs;
V.Have the legal capacity to incur the obligations of the loan;
VI. Not have outstanding unpaid judgments obtained by the U.S. in any court, excluding judgments filed in U.S. Tax Courts;
VII.Not be delinquent on a federal debt;
VIII.Must not have provided FSA with false or misleading documents or statements in the past;
IX. Not have been convicted under federal or state laws of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the last 5 crop years;
X.Not have received debt forgiveness from FSA (certain exceptions apply); and
XI.Be within the time restrictions as to the number of years they can receive FSA assistance.
General Eligibility for Guaranteed Loan Applicants:
An applicant, including members of an entity applicant, must meet the following eligibility criteria to obtain a guaranteed loan:
I.Be a U.S. citizen or legal resident alien which includes Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa and certain former Pacific Trust Territories
II.Have an acceptable credit history as determined by the lender
III.Demonstrate ability to repay the loan
IV.Provide sufficient security for the loan
V.Have the legal capacity to incur the obligations of the loan
VI.Be unable to obtain a loan without a guarantee
VII.Have not received FSA debt forgiveness on more than three occasions on or prior to April 4, 1996; or any occasion after April 4, 1996
VIII.Be the owner or tenant operator of a family farm after the loan is closed. For Operating Loans, the applicant must be the operator of a family farm after the loan is closed.
IX.Not be delinquent on any federal debt
X.Not have outstanding unpaid judgments obtained by the U.S. in any court excluding judgments filed in U.S. Tax Courts;
XI.Not have provided FSA with false or misleading documents or statements in the past;
XIII. Not have been convicted under federal or state laws of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the last 5 crop years.
Applications may also be made by entities. Entities are corporations, cooperatives, joint operations, partnerships, trusts, and limited liability companies. Their members/stockholders must meet these same eligibility requirements. The entity must also be authorized to operate a farm or ranch in the state where the land is located.
For socially disadvantaged members, they must hold a majority interest in the entity applicant to receive targeted funding.

Beneficiary Eligibility:

Individual/Family; Farmer/Rancher/Agriculture Producer; Individual/Entity, SDA(Socially Disadvantaged (SDA)/Non-SDA; SDA applicant or farmer is an individual or entity who is a member of a socially disadvantaged group. SDA group is a group whose members have been subject to racial, ethnic, or gender prejudice because of their identity as members of a group without regard to their individual qualities. These groups consist of: American Indians or Alaskan Natives, Asians, Blacks or African Americans, Native Hawaiians or other Pacific Islanders, Hispanics, and women.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No loan will be made until all Federal and state statutory and regulatory environmental requirements have been complied with.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must have an NRCS-approved conversation plan, contact the local NRCS staff to develop one for the farm or ranch. Conservation plans must be approved by NRCS before FSA can provide financing.

Award Procedure:

If a Conservation loan is approved, FSA will notify the applicant in writing of: the approved use of loan funds the interest rate the term of the loan the collateral that will be required to pledge to secure the loan when the money is expected to be available any pre-loan closing requirements Responsibilities as an FSA direct loan borrower. The applicant will need to sign and return the letter accepting the loan conditions. Loan closing will be scheduled as soon as possible after loan approval. Normally, loan funds are provided within 15 days of approval. If the

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application for a guarantee is approved, FSA will provide written notification to the lender. FSA receives funding for loans and guarantees on a fiscal year basis, and the demand for some loan types may exceed the level of funding received. When this occurs, a loan can be approved subject to the availability of funding, but it cannot be closed until additional funding is received.

Deadlines:

No loan will be made until all Federal and state statutory and regulatory environmental requirements have been complied with.

Range of Approval/Disapproval Time:

Other. Within 60 calendar days after receiving a complete loan application, the Agency will complete the processing of the Direct loan request and notify the applicant of the decision reached, and the reason for any disapproval. For guaranteed loans, an EZ, CLP, or PLP loan is approved or rejected within 14 calendar days. A SEL loan is approved or rejected within 30 calendar days. Each application is reviewed within 5 calendar days of being received.

Appeals:

Other. FLP applicants and borrowers have the right to request reconsideration, file appeals, and enter alternate dispute resolutions about adverse decisions. If a loan is not approved, FSA will provide written notification of opportunities to request: Reconsideration Applicants are provided with the opportunity to meet with the FSA loan officer to present additional information and explain why you believe FSAs decision may be in error; Mediation A process for resolution of a disagreement. A trained neutral mediator assists two or more parties who disagree by looking at the issues, considering all available options, and attempting to agree on an acceptable solution; Appeal to the Department of Agriculture National Appeal Division (NAD) An independent organization within USDA, where you may present evidence which demonstrates why you believe that FSAs decision may be wrong.

Renewals:

Other. Applicants may reapply for an FSA loan at any time. Applicants who were given a denial of a loan request will be advised of potential actions or alternatives that might resolve or help resolve the issues that resulted in the denial of the loan request. Applicants previously given a loan denial must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Terms vary based on the life of the security offered, but not to exceed 30 years. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Applicants must maintain accurate financial and production records during the period of the loan.

Account Identification:

12-4213-0-3-351. 12-4212-0-3-351. 12-1140-0-1-351.

Obligations:

Guaranteed/Insured Loans FY 20 \$150,000,000.00; FY 21 est \$150,000,000.00; FY 22 est \$150,000,000.00;

Range and Average of Financial Assistance:

Conservations loans up to \$1,776,000 (Amount adjusted annually for inflation).

TAFS Codes:

12-1140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 762 and 764. FSA Handbooks 1-FLP, 2-FLP, 3-FLP and 1-APP; FSA Fact Sheets. Program Aid "FSA Producer's Guide to Loan Programs", FSA

Brochure FSA-BK-01 Your Guide to FSA Farm Loans; All information is available on FSA website at: www.fsa.usda.gov.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

James Jackson,
1400 Independence Ave, SW,
Washington DC 20250
Email: James.Jackson@usda.gov
Phone: 202-692-4940
Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/farm-loan-programs/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.102 Emergency Forest Restoration Program

(EFRP),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2012 Division A, Title VII, Section 735, Public Law 112-55, 125 Stat. 588., , Food, Conservation, and Energy Act of 2008, Title VIII, Section 8203, Statue 122-2051, Public Law 110-246, 16 USC Code 2201-2206.

OBJECTIVES:

The object of EFRP is to make financial assistance available to eligible participants on eligible land for certain practices to restore nonindustrial private forest land that has been damaged by a natural disaster. The financial assistance will be cost share payments to assist an EFRP participant to establish practices required to address qualifying damage suffered in connection with a qualifying disaster.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Subject to the availability of funds the Farm Service Agency (FSA) will provide cost share payments to owners of nonindustrial private forest land who carry out emergency measures to restore land damaged by a natural disaster on or after January 1, 2010, as determined by FSA.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Anyone/general public; To be eligible to participate in EFRP, a person or legal entity must be an owner of nonindustrial private forest land affected by a natural disaster, and must be liable for or have the expense that is the subject of the financial assistance. The owner must be a person or legal entity (including Indian tribes) with full decision-making authority over the land, as determined by FSA, or with such waivers as may be needed from lenders or others as may be required, to undertake program commitments. Federal agencies and States, including all agencies and political subdivisions of a State, are ineligible for EFRP. For land to be eligible, it must be nonindustrial private forest land and must, as determined by FSA. The land must have existing tree cover or have had tree cover immediately before the natural disaster and be suitable for growing trees, have damage to natural resources caused by a natural disaster, which occurred on or after January 1, 2010, that, if not

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treated, would impair or endanger the natural resources on the land and would materially affect future use of the land. The land must be physically located in a county in which EFRP has been implemented and is ineligible for EFRP if FSA determines that the land is owned or controlled by the United States, or owned or controlled by States, including State agencies or political subdivisions of a State. A qualifying natural disaster means wildfires, hurricanes or excessive winds, drought, ice storms or blizzards, floods, or other naturally-occurring resource impacting events as determined by FSA. For EFRP, a natural disaster also includes insect or disease infestations as determined by FSA in consultation with other Federal and State agencies as appropriate.

Beneficiary Eligibility:

Small business; Anyone/general public; Land/Property Owner; Federally Recognized Indian Tribal Governments; The owners of nonindustrial private forest land will receive the ultimate benefit.

Credentials/Documentation:

After completion of the approved practice, the participant must certify completion and request payment by the payment request deadline. FSA will provide the participant with a form or another manner to be used to request payment. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Participants who perform practices shall be responsible for obtaining the authorities, permits, rights, easements, or other approvals necessary to the performance and maintenance of the practices according to applicable laws and regulations. The EFRP participant shall be wholly responsible for any actions taken with respect to the project and shall, in addition, be responsible for returning and refunding any EFRP cost shares made, where the purpose of the project cannot be accomplished because of the applicants' lack of clearances or other problems. Cost share assistance is dependent upon the availability of funds and the performance of the practice. An eligible applicant must certify and provide proof of completion of the practice. The eligible participant shall submit all information to their local FSA county office. The enrollment period for submitting EFRP cost-share requests will be accepted after the announced enrollment period.

Award Procedure:

FSA will establish the minimum qualifying cost of restoration, which may vary by State or region. Participants are not eligible to receive funding under EFRP for land on which FSA determines that the participant has or will receive funding for the same or similar expenses under: The Emergency Conservation Program, The Wetland Reserve Program, The Emergency Wetland Reserve Program, The Emergency Watershed Protection Program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. An onsite inspection must be made before approval of any request for EFRP assistance. The on site inspection may be waived by FSA, in its discretion only, where damage is so severe that an onsite inspection is unnecessary as determined by FSA. The time limits for submission of information shall be determined by the Deputy Administrator. The payment request deadline for each EFRP practice will be provided in the agreement after the application is approved. Time limits may be extended where failure to submit required information within the applicable time limits is due to reasons beyond the control of the participant.

Appeals:

Other. Determinations may be appealed in accordance with the administrative appeal regulations at parts 11 and 780 of 7 CFR .

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary.

COST SHARE REQUIREMENT. Payments made under subsection(b) shall not exceed 75 percent of the total cost of the emergency measures carried out by an owner of nonindustrial private forest land. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

For the purposes of EFRP, no receipt, invoice, or other record is required to be retained by any agricultural producer as evidence tending to show performance of a practice needs to be retained by such producer more than two years following the close of the program year of the program.

Account Identification:

12-0171-0-1-453.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$68,059,000.00; FY 21 est \$100,000,000.00; FY 22 est \$100,000,000.00;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

12-0171.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 701 Provides the terms, conditions and requirements of EFRP.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Shanita Landon, Program Manager,
1400 Independence Ave. SW,
Washington DC 20250
Email: shanita.landon@usda.gov
Phone: 202-690-1612

Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/emergency-forest-restoration/index>

RELATED PROGRAMS:

10.054 Emergency Conservation Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.108 Livestock Indemnity Program-2014 Farm Bill

(LIP),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Agricultural Act of 2014 (2014 Farm Bill), Title I, Part III, Section 1501, Public Law -, Public Law 113-79

OBJECTIVES:

LIP provides benefits to eligible livestock owners or livestock contract growers for

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livestock deaths in excess of normal mortality caused by eligible loss conditions, including eligible adverse weather, eligible disease and by attacks by animals reintroduced into the wild by the federal government or protected by federal law, including wolves and avian predators. In addition, LIP provides assistance to eligible livestock owners that must sell livestock at a reduced price because of an injury from an eligible loss condition.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

LIP is a permanent program and provides retroactive authority to cover eligible livestock losses back to October 1, 2011. Eligible livestock owners and contract growers will be compensated for eligible livestock deaths in the calendar year for which benefits are being requested as a direct result of an eligible adverse loss condition.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); To be eligible for benefits, an individual or legal entity must be a citizen of the United States (U.S.); legal Resident alien in the U.S.; Partnership comprised of U.S. citizens; Corporation, limited liability corporation or company, or other organization structure established under State law; or an Indian tribe or tribal organization. An eligible livestock owner must have had the production and market risks associated with the agricultural production of livestock and who had legal ownership of the eligible livestock on the day the livestock died or were injured and under conditions in which no contract grower could have been eligible for benefits with respect to the livestock. To be eligible livestock, the livestock must have died in excess of normal mortality as a direct result of an eligible loss condition and no later than 30 calendar days after the ending date of the applicable eligible loss condition. An eligible contract grower must have interest in the livestock, not as owner but as a person or entity whose interest is in poultry or swine, as of the day of the eligible loss condition, a written agreement setting the specific terms, conditions and obligations of the parties involved regarding the production of livestock with the owner of eligible livestock, on the day the poultry or swine died, and a risk of loss in the animal. Eligible livestock for livestock owners are alpacas, adult or non-adult beef, beefalo, buffalo/bison and dairy cattle, caribou, chickens, deer, ducks, elk, emus, equine, geese, goats, llamas, reindeer, sheep, swine, or turkeys. Eligible livestock for contract growers are chickens, ducks, geese, swine, or turkeys. The eligible livestock must have been maintained for commercial use as part of a farming operation before dying and on the day the eligible livestock died. An eligible loss condition includes an eligible adverse weather event, eligible disease, and eligible attack. Eligible adverse weather event means extreme or abnormal damaging weather that is not expected to occur during the loss period for which it occurred, which directly results in eligible livestock losses. An eligible adverse weather event must occur in the calendar year for which benefits are requested. Eligible adverse weather events include, but are not limited to, as determined by the FSA Deputy Administrator of Farm Programs or designee, earthquake; hail; lightning; tornado; tropical storm; typhoon; fog, if directly related to a volcanic eruption; winter storm; hurricanes; floods; blizzards; wildfires; extreme heat; extreme cold; and straight-line winds. Drought is not an eligible adverse weather event except when associated with anthrax, a condition that occurs because of drought and results in the death of eligible livestock. Eligible disease means a disease that is exacerbated by an eligible adverse weather event that directly results in eligible livestock losses, including, but not limited to, anthrax, cyanobacteria, (beginning in 2015 calendar year) and larkspur poisoning (beginning in 2015 calendar year). In addition, eligible disease means a disease that is caused and/or transmitted by vectors and vaccination or acceptable management practices are not available, whether or not they were or were not implemented, that directly result in death of eligible livestock in excess of normal mortality, including but not limited to Blue Tongue, EHD and CVV. Eligible attack means an attack by animals reintroduced into the wild by the Federal Government or protected by Federal law, including wolves and avian predators, that directly results in either injured livestock sold at a reduced price or death of eligible livestock, in excess of normal mortality.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; The eligible livestock owner or contract grower will receive the ultimate benefit from LIP.

Credentials/Documentation:

All of the livestock owners or contract growers interest in inventory of eligible livestock in that county for the calendar year must be accounted for and summarized when determining eligibility. Livestock owners and contract growers must record all pertinent information (including the number and kind) of all livestock and those adversely impacted by an eligible loss condition resulting in either death losses or injury and sales of injured livestock sold at a reduced price. Livestock owners who sold injured livestock for a reduced price because the livestock were injured due to an eligible adverse weather event or eligible attack, must provide verifiable evidence of the reduced sale of the livestock. The injured livestock must be sold to an independent third party (such as sale barn, slaughter facility, or rendering facility). Documents that may provide verifiable evidence of livestock sold at a reduced price include, but are not limited to, sales receipts from a livestock auction, sale barn or other similar livestock sale facilities, rendering facility receipts, processing plant receipts. The documentation for injured livestock sales must have the price for which the animal was sold as well as information on livestock kind, type, and weight sold. FSA will use information furnished by the applicant to determine eligibility. Furnishing the required information is voluntary; however, without all required information, program benefits will not be approved or provided. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Eligible livestock owners and contract growers must submit a notice of loss and an application for payment to the local FSA office that serves the physical location county where the livestock losses occurred. A notice of loss must be submitted within the earlier of 30 calendar days of when the loss of livestock is first apparent to the producer or 60 calendar days after the end of the calendar year in which the eligible loss condition occurred. In addition, an application for payment must be submitted within 60 calendar days after the end of the calendar year in which the eligible loss condition occurred.

Award Procedure:

LIP payments for livestock death losses, adjusted for normal mortality, are calculated by multiplying the national payment rate for the applicable livestock category by the number of eligible livestock in that category times the producers share. The LIP national payment rate for eligible livestock owners is based on 75 percent of the average fair market value of the livestock. The LIP national payment rate for eligible livestock contract growers is based on 75 percent of the average income loss sustained by the contract grower with respect to the dead livestock. A contract growers LIP payment will be reduced by the amount of monetary compensation received from the owner for the loss of income suffered from the death of livestock under contract. For eligible livestock owners, LIP payments for injured livestock that are sold within 30 days of the date of an eligible loss condition at a reduced price due to an eligible adverse weather event or eligible attack are calculated by multiplying the national payment rate for the applicable livestock category minus the amount that the livestock owner received for the eligible livestock in that category times the livestock owners share. If injured eligible livestock are sold for more than the national payment rate for the applicable livestock category, there is no payment.

Deadlines:

An owner or contract grower must file a notice of loss within 30 calendar days of when the loss of livestock is first apparent as well as file an application for payment within 60 calendar days after the end of the calendar year in which the eligible loss condition occurred.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

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Other. Appeal regulations set forth in parts 11 and 780 of 7CFR apply to determinations made under LIP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Applicants receiving assistance for LIP shall maintain and retain financial books and records which will permit verification of all transactions for at least three years following the end of the calendar year in which assistance was provided and allow authorized representatives of USDA and the Government Accountability Office to inspect and verify all applicable livestock and acreage and to inspect, examine, and make copies of all financial books and records.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$61,219,000.00; FY 21 est \$50,681,000.00; FY 22 est \$52,096,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

LIP regulations are found in part 1416 of 7CFR. Program is also announced through press releases, news media, and newsletters.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Amy Mitchell,
USDA, FSA, Safety Net Division, 1400 Independence Ave SW Stop 0517,
Washington DC 20250
Email: Amy.Mitchell1@usda.gov
Phone: (202) 720-8954
Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/livestock-indemnity/index>

RELATED PROGRAMS:

10.109 Livestock Forage Program-2014 Farm Bill; 10.111 Tree Assistance Program-2014 Farm Bill; 10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.109 Livestock Forage Disaster Program

(LFP).

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Agricultural Act of 2014 (The 2014 Farm Bill), Title I, Part III, Section 1501, Public Law 113-79, Title The Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

LFP provides compensation to eligible livestock producers that have suffered grazing losses for covered livestock on land that is native or improved pastureland with permanent vegetative cover or is planted specifically for grazing. It also provides compensation to eligible livestock producers that have suffered grazing losses on rangeland managed by a federal agency if the eligible livestock producer is prohibited by the federal agency from grazing the normal permitted livestock on the managed rangeland due to a qualifying fire.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

LFP is a permanent program and provides retroactive authority to cover eligible losses back to October 1, 2011.

Applicant Eligibility:

Individual/Family; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); To be eligible for benefits, an individual or legal entity must be a citizen of the United States (U.S.); Resident alien; Partnership of citizens of the U.S.; or Corporation, limited liability corporation, Indian tribe or tribal organization, as defined in the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5304), or other farm organization structure organized under State law. An eligible livestock producer must own, cash or share lease, or be a contract grower of covered livestock during the 60 calendar days before the beginning date of a qualifying drought or fire; provide pastureland or grazing land for covered livestock, including cash-rented pastureland or grazing land that is either physically located in a country affected by a qualifying drought during the normal grazing period for the county, or rangeland managed by a federal agency and the eligible livestock producer is prohibited from grazing the normally permitted livestock because of a qualifying fire.

Beneficiary Eligibility:

Individual/Family; U.S. Citizen; The eligible livestock or contract owner will receive the ultimate benefit from LFP.

Credentials/Documentation:

The eligible livestock producer must certify that they have suffered a grazing loss because of a qualifying drought or fire on eligible grazing land or pastureland and timely file an acreage report for all grazing land for which a loss of grazing is being claimed. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for LFP, the eligible livestock producer must submit a completed application for payment and required supporting documentation for grazing losses no later than 30 calendar days after the end of the calendar year in which the grazing loss occurred. A contract grower must provide a copy of the grower contract along with the completed application and supporting documentation for payment.

Award Procedure:

Payment calculations for grazing losses because of a qualifying drought are equal to 1, 3, 4, or 5 times the LFP monthly payment rate. The monthly payment rate for

ASSISTANCE LISTINGS DESCRIPTIONS

drought is equal to 60 percent of the lesser of the monthly feed cost for all covered livestock owned or leased by the producer; or calculated by using the normal carrying capacity of the eligible grazing land of the producer. Total payments to a producer in a calendar year for grazing losses will not exceed five monthly payments for the same covered livestock. For losses suffered because of a qualifying fire on federally managed rangeland for which the producer is prohibited from grazing the normal permitted livestock, the payments begin on the first day the Federal agency excludes the eligible livestock producer from using the managed grazing land for grazing and end on the last day of the Federal lease not to exceed 180 days.

Deadlines:

January 30, 2022. Livestock producers have 30 calendar days after the end of the calendar year in which the loss occurs to submit a completed application for payment and required supporting documentation.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Appeal regulations set forth in parts 11 and 780 of 7CFR apply to determinations made under LFP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the funds awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$160,304,000.00; FY 21 est \$236,939,000.00; FY 22 est \$212,879,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Livestock Forage Disaster Program(LFP), 7 CFR 1416 Subpart C.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Kelly Breinig, Program Manager,
USDA, Farm Production and Conservation, Farm Service Agency, Safety Net Division,
Washington DC 20250
Email: Kelly.Breinig@usda.gov
Phone: (202) 720-1603
Fax: (202) 774-7437
Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/livestock-forage/index>

RELATED PROGRAMS:

10.108 Livestock Indemnity Program-2014 Farm Bill; 10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program

(ELAP).

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The Agricultural Act of 2014 (The 2014 Farm Bill), as amended, Title I, Part III, Section 1501, Title The Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

ELAP provides financial assistance to eligible producers of livestock, honeybees and farm-raised fish for losses due to disease, certain adverse weather events or loss conditions, including blizzards and wildfires, as determined by the Secretary. ELAP assistance is provided for losses not covered by other disaster assistance programs authorized by the 2014 Farm Bill, such as losses not covered by the Livestock Forage Disaster Program (LFP) and the Livestock Indemnity Program (LIP).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

ELAP is a permanent disaster program. The Agriculture Improvement Act of 2018 authorized the use of Commodity Credit Corporation (CCC) funds each fiscal year for ELAP. ELAP begins January 1 of the calendar year and ends January 30 of the calendar year following the calendar year in which the loss occurred. There are three categories of livestock losses covered by ELAP, livestock feed and grazing losses that are not due to drought or wildfires on federally managed lands; losses resulting from the additional cost of transporting water to livestock due to an eligible drought; and losses resulting from the additional cost associated with gathering livestock for treatment and/or inspection related to cattle tick fever. There are three categories of honeybee losses covered by ELAP, losses of colonies, in excess of normal mortality, losses of honeybee hives; and losses of honeybee feed that was purchased or produced, including additional feed purchased above normal quantities to sustain honeybees until such time that additional feed becomes available. There are two categories of farm-raised fish losses covered by ELAP, death losses, in excess of normal mortality, and purchased or produced farm-raised fish feed losses.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Small business (less than 500 employees); Profit organization; Anyone/general public; To be eligible for benefits, an individual or legal entity must be a citizen of the United States (U.S.); Resident alien; Partnership of citizens of the U.S.; or Corporation, limited liability corporation, other farm organizational structure organized under State law, or Indian tribe or tribal organization. The eligible applicant must have legal ownership of the livestock, honeybees, or farm-raised fish on the day the loss occurs and must be a producer or contract grower of livestock, honeybee, or farm-raised fish that assumes the production and market risks associated with the agricultural production of crops or livestock on a farm and that meets the requirements to receive ELAP payments. An eligible producer who certifies they are socially disadvantaged, limited resource, or beginning or veteran farmer or rancher, will have their payments for losses under ELAP based on a

ASSISTANCE LISTINGS DESCRIPTIONS

national payment factor of 90 percent.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Eligible producers of livestock, honeybees, and farm-raised fish will receive the ultimate benefits from ELAP. An eligible livestock producer, honeybee producer, and eligible farm-raised fish producer may receive payments for eligible losses based on a national payment rate.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for ELAP, the participant must have suffered an eligible livestock, honeybee, or farm-raised fish loss. The eligible producer must submit a Notice of Loss and a completed application to the FSA administrative county office that maintains the participant's farm records for the agricultural operation. For livestock feed and grazing losses, and losses resulting from the transporting water and gathering livestock to treat and/or inspect for cattle tick fever, the applicant must submit a completed Emergency Loss Assistance for Livestock application, for honeybee feed, colony, hive, or farm-raised fish feed or death losses, the applicant must complete an Emergency Loss Assistance for Honeybees or Farm-Raised Fish Application; a report of acreage; a copy of the participant's grower's contract (if a contract grower); other supporting documents required by the Farm Service Agency (FSA); and a farm operating plan.

Award Procedure:

FSA will use the data furnished by the applicant to determine eligibility for program benefits. Furnishing the data is voluntary; however, without all required data program benefits will not be approved or provided.

Deadlines:

Producers can apply to receive ELAP assistance at local FSA service centers. For 2020 program year losses, the application period will end January 30, 2021. In addition to submitting an application for payment, producers must submit a notice of loss within 30 days of when the loss is first apparent to the participant for all losses not including honeybee losses. Honeybee losses must be reported within 15 days of when the loss is first apparent to the participant.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

From 15 to 30 days. Appeal regulations are set for in Parts 11 and 780 of 7 CFR apply to determinations made under ELAP

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR, Part 1416, Subpart B, Public Law 113-79. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The producer or any other legal entity or person who provides information enabling a producer to receive payments must maintain any books, records, and accounts supporting the information for three years following the end of the year during which the request for payment was submitted and allow authorized representatives

of USDA and the U.S. Government Accountability Office during regular business hours, to inspect, examine, and make copies of such books or records, and to enter the farm and to inspect and verify all applicable acreage in which the producer has an interest for the purpose of confirming the accuracy of information provided by or for the producer.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments for Specified Use FY 20 \$60,421,000.00; FY 21 est \$57,940,000.00; FY 22 est \$54,318,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1416.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Amy Mitchell,
USDA, FSA, Safety Net Division, 1400 Independence Ave SW Stop 0517,
Washington DC 20250
Email: Amy.Mitchell1@usda.gov
Phone: (202) 720-8954
Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/emergency-assist-for-livestock-honey-bees-fish/index>

RELATED PROGRAMS:

10.109 Livestock Forage Program-2014 Farm Bill; 10.108 Livestock Indemnity Program-2014 Farm Bill; 10.111 Tree Assistance Program-2014 Farm Bill;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.111 Tree Assistance Program

(TAP),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Agricultural Act of 2014 (The Farm Bill), Title I, Part III, Section 1501, Public Law 113-79, the Bipartisan Budget Act of 2018, Title , Public Law 115-123, the Consolidated Appropriations Act, 2018, Title , Public Law 115-141, the Agriculture Improvement Act of 2018 (2018 Farm Bill), Title , Public Law 115-334

OBJECTIVES:

TAP provides financial assistance to eligible orchardists and nursery tree growers to replant or rehabilitate eligible trees, bushes, and vines lost by natural disasters.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

TAP is a permanent disaster program. Eligible orchardists and nursery tree growers will be compensated for eligible tree, bush, and vine losses that occurred in the calendar year (or loss period in the case of plant disease) for which benefits are requested and as a direct result of a natural disaster.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

Individual/Family; To be eligible for benefits, an individual or legal entity must be a citizen of the United States (U.S.); Resident alien; Partnership of citizens of the U.S.; or Corporation, limited liability corporation, or other farm organizational structure organized under State law. An eligible orchardist is a person or legal entity that produces annual crops from trees, bushes, or vines for commercial purposes. An eligible nursery tree grower is a person or legal entity that produces nursery, ornamental, fruit, nut, or Christmas trees for commercial sale. To qualify for TAP, the eligible orchardist or nursery tree grower must have planted, continuously owned, and suffered eligible losses of trees, bushes, or vines that were planted for commercial purposes; and replaced eligible trees, bushes, and vines within 12 months from the date the application is approved. Eligible losses must be the result of a natural disaster. The stand must have sustained a mortality loss in excess of 15 percent after adjustment for normal mortality, or where applicable, damage in excess of 15 percent, adjusted for normal mortality and normal damage, that occurred in the calendar year (or loss period in the case of plant disease) for which benefits are being requested. The loss could not have been prevented through reasonable and available measures; the damage or loss must be visible and obvious to the FSA representative; and FSA may require information from a qualified expert to determine the extent of loss in the case of plant disease or insect infestation. An eligible natural disaster means plant disease, insect infestation, drought, fire, freeze, flood, earthquake, lightning, or other natural occurrence of such magnitude or severity so as to be considered disastrous.

Beneficiary Eligibility:

Individual/Family; Farmer/Rancher/Agriculture Producer; The eligible orchardists and nursery tree growers receive the TAP benefit.

Credentials/Documentation:

The eligible applicant must present a written estimate of the number of trees, bushes, or vines lost or damaged that is certified by the producer or qualified expert, including the number of acres on which the loss occurred. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. An applicant cannot begin TAP practices until successful completion of the appropriate level of environmental review by designated FSA staff, and TAP approval has been received in writing.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for TAP an orchardist or nursery tree grower must submit a completed application provided by FSA; an acreage report for the farming operation; a written estimate of the number of trees, bushes, or vines lost or damaged; sufficient evidence of the loss; and a farm operating plan.

Award Procedure:

Payments to eligible orchardist or nursery tree growers who suffered losses in excess of 15 percent mortality will be calculated using the lesser of 65 percent of the actual cost of the practice or the amount calculated using rates established by the Deputy Administrator for the practice. For the cost of pruning, removal, and other costs incurred for salvaging damaged trees, bushes, or vines, or in the case of mortality, to prepare the land to replant trees, bushes, or vines, the lesser of 50 percent of the actual cost of the practice, or the amount calculated using rates established by the Deputy Administrator for the practice. Beginning farmers and ranchers and veteran farmers and ranchers will receive 75 percent of the cost of pruning, removal, and other costs incurred for salvaging the existing plants, or in the case of plant mortality, to prepare land for replanting.

Deadlines:

Applicants must submit an application and supporting documentation to FSA within 90 calendar days of the disaster event or the date when the loss is apparent.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Appeal regulations are set forth in parts 11 and 780 of 7CFR apply to determinations made under TAP.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Applicants receiving assistance for TAP shall maintain and retain financial books and records which will permit verification of all transactions for at least three years, following the end of the calendar year in which assistance was provided and allow authorized representatives of the USDA and the Government Accountability Office to inspect all financial books, records, and all applicable livestock and acreage.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments for Specified Use FY 20 \$54,254,000.00; FY 21 est \$9,147,000.00; FY 22 est \$8,759,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through press releases, news media, and newsletters.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Kay Niner, Program Specialist,
1400 Independence Ave SW, Stop 0517,
Washington DC 20250
Email: Kay.Niner@usda.gov
Phone: 202-205-9354
Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/tree-assistance-program/index>

RELATED PROGRAMS:

10.109 Livestock Forage Program-2014 Farm Bill; 10.108 Livestock Indemnity Program-2014 Farm Bill; 10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.112 Price Loss Coverage

(PLC),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

The Agricultural Act of 2014, Title I, Part II, Section 1116, Public Law 113-79, Title The Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

The Price Loss Coverage program (PLC) is carried over from the 2014 Farm Bill. PLC provides income support through price loss payments to eligible producers.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The PLC yield for covered commodities on the farm is equal to the counter-cyclical payment yield established for each covered commodity on the farm that was effective on September 13, 2013, unless the PLC yield is updated.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An eligible producer is eligible to enter into a contract if 1) the owner of the farm has an ownership of a crop and assumes all or a part of the risk producing a crop that is commensurate with that claimed ownership of the crop; 2) a producer, other than the owner, on a farm with a share-rent lease for such farm, regardless of the length of the lease, if the owner of the farm enters into the same contract; 3) a producer, other than an owner, on a farm who rents such farm under a lease expiring on or after September 30 of the year of the contract in which case the owner is not required to enter into the contract; 4) a producer, other than an owner , on a farm who cash rents such farm under a leasing expiring before September 30 of the year of the contract; 5)An owner of an eligible farm who cash rents such farm and the lease expires before September 30 of the year of the contract, if the tenant declines to enter into a contract for the applicable year.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Small Business Person; School; PLC provides payments to eligible producers on farms enrolled for the 2019 through 2023 crop years.

Credentials/Documentation:

It is understood and agreed that producers on a farm may participate in the program only by enrolling in a contract that is consistent with the election previously made for the farm and covered commodities of that farm. Election is not enrollment and the election that applies to a farm and the covered commodities of a farm applies without regard to whether or not producers choose to enroll the farm or not. Enrollment is required each and every contract year. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

PLC program payments are issued when the effective price of a covered commodity is less than the effective reference price for that commodity. The effective price equals the higher of the market year average price (MYA) or the national average loan rate for the covered commodity. Producers receive their PLC payments after October 1 following the end of the 12-month marketing year for the covered commodity as applicable.

Deadlines:

The 2019 enrollment was September 2019 and ended March 16, 2020; the 2020 enrollment was October 2019 and ended June 30, 2020; the 2021 enrollment was October 13, 2020, and ended March 15, 2021. The 2022 enrollment will be in October 2021 and end March 15, 2022 and the 2023 enrollment will begin in October 2022 and end March 15, 2023.

Range of Approval/Disapproval Time:

Other. Approval of payments depends on farmer compliance with conservation and wetland provisions.

Appeals:

From 1 to 15 days. A producer may obtain reconsideration and review of any adverse determination made under this part in accordance with the appeal regulations found at parts 11 and 780 of Title 7 Agriculture.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter 1412, Part , Subpart A,Public Law 113-79. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

PLC payments shall be made beginning October 1, or as soon as practicable thereafter, after the end of the applicable marketing year for the covered commodity, this is statutory. There is no limit place on the time permitted to spend the money award. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

An owner or any other individual or entity receiving assistance for PLC shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments for Specified Use FY 20 \$1,927,807,000.00; FY 21 est \$678,029,704.00; FY 22 est \$1,029,219,704.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1412.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Jamie Garriott,
1400 Independence Ave SW Room 4759-S,
Washington DC 20024
Email: jamie.garriott@usda.gov
Phone: (202) 253-9843

Fax:

Website Address:

http://www.fsa.usda.gov/programs-and-services/arclplc_program/index

RELATED PROGRAMS:

10.113 Agriculture Risk Coverage Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.113 Agriculture Risk Coverage Program

ARC,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The 2014 Farm Bill, Title 1, Section 1115, Public Law 113-79, Title The Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

The ARC program carried over from the 2014 Farm Bill. ARC provides income support to eligible producers of covered commodities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

The Agricultural Risk Coverage-County Coverage (ARC-CO) provides income support through revenue loss coverage at the county level for selected covered commodities on a farm. The Agricultural Risk Coverage-Individual Coverage program provides income support through revenue loss coverage at the farm level for all acreage devoted to covered commodities across all of a producer's ARC-IC farms. ARC income support through a revenue-based program designed to cover a portion of a farmer's out-of-pocket loss (referred to as "shallow loss:") when crop revenues fall below benchmark revenue levels, with the benchmark revenue based on either county level historic revenue for ARC-CO or the individual farm's historic revenue for ARC-IC.

Applicant Eligibility:

Individual/Family; Small business (less than 500 employees); Profit organization; Anyone/general public; An eligible producer is eligible to enter into a contract if 1) the owner of the farm has an ownership of a crop and assumes all or a part of the risk producing a crop that is commensurate with that claimed ownership of the crop; 2) a producer, other than the owner, on a farm with a share-rent lease for such farm, regardless of the length of the lease, if the owner of the farm enters into the same contract; 3) a producer, other than an owner, on a farm who rents such farm under a lease expiring on or after September 30 of the year of the contract in which case the owner is not required to enter into the contract; 4) a producer, other than an owner , on a farm who cash rents such farm under a leasing expiring before September 30 of the year of the contract; 5) An owner of an eligible farm who cash rents such farm and the lease expires before September 30 of the year of the contract, if the tenant declines to enter into a contract for the applicable year.

Beneficiary Eligibility:

Individual/Family; Minority group; Small business; Profit organization; Anyone/general public; Farmer/Rancher/Agriculture Producer; Land/Property Owner; Federally Recognized Indian Tribal Governments;

Credentials/Documentation:

When required, the Farm Service Agency (FSA), may require documentary evidence supporting any certification of yield, production, occupancy by providing that documentation to the county committee of the county where the farm is administratively located. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not Applicable

Award Procedure:

ARC is income support through a revenue-based program that is designed to cover a portion of a farmer's out-of-pocket loss when crop revenues fall below the guarantee, with the benchmark revenue based on either county level for ARC-CO or the individual farms historic revenue for ARC-IC. ARC-CO payment calculation

is based on base acres. Payments are triggered when actual county crop revenue of a covered commodity is less than the ARC-CO guarantee for the covered commodity. ARC-IC payments are triggered when the actual crop revenue, averaged across all covered commodities planted on the ARC-IC farm, is less than ARC-IC guarantee, averaged across those covered commodities planted or eligible subsequently planted crop acreage on the farm. Producers receive their ARC payments after October 1, after the end of the marketing year for a specific covered commodity.

Deadlines:

The 2019 enrollment was September 2019 and ended March 16, 2020; the 2020 enrollment was October 2019 and ended June 30, 2020; the 2021 enrollment was October 13, 2020, and ended March 15, 2021. The 2022 enrollment will begin in October 2021 and end March 15, 2022 and the 2023 enrollment will begin in October 2022 and end March 15, 2023.

Range of Approval/Disapproval Time:

From 15 to 30 days. Approval of payments depends on farmer compliance with conservation and wetland provisions.

Appeals:

From 15 to 30 days. A producer may obtain reconsideration and review of any adverse determination made under this part in accordance with the appeal regulations specified in 7 CFR parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture Risk Coverage, Chapter 7, Part 1412, Subpart ,Public Law 113-79. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is no limit placed on the time permitted to spend the money awarded.

Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

An owner or any other individual or entity receiving assistance for ARC shall maintain and retain financial books and records which will permit verification of all transactions for at least three years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$695,377,998.00; FY 21 est \$1,107,452,000.00; FY 22 est \$24,789,000.00;

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register and 7 CFR, Part 1412.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Jamie Garriott,

ASSISTANCE LISTINGS DESCRIPTIONS

1400 Independence Ave SW Room 4759-S,
Washington DC 20250

Email: jamie.garriott@usda.gov

Phone: (202) 253-9843

Fax:

Website Address:

http://www.fsa.usda.gov/programs-and-services/arcplc_program/index

RELATED PROGRAMS:

10.055 Direct and Counter-cyclical Payments Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.114 Cotton Transition Assistance Program

(CTAP).

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Agricultural Act of 2014, Title I, Part II, Section 1119, Public Law 113-79

OBJECTIVES:

CTAP is a temporary program that provides payments to producers on farms for which cotton base acres were in existence as of September 30, 2013.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

CTAP is intended to be a transition for producers on farms with upland cotton base acres that were in existence as of September 30, 2013, between the previous Direct and Counter-Cycle Program (DCP) payments and the new Stacked Income Protection Plan (STAX) which is scheduled to be made available to most cotton counties in 2015 crop year. CTAP is authorized for all counties and farms having cotton base acres in the 2014 crop year; however, it will only operate in the 2015 crop year in counties where STAX is not yet available. STAX is specified in Section 11017 of P.L. 113-79. Similar to DCP, producers do not have to actually grow or harvest upland cotton to be eligible for CTAP. CTAP payments will be made to eligible producers on or after October 1 of the crop year when upland cotton is or ordinarily would have been harvested. Similar to DCP, payment eligibility is based upon the number of upland cotton base acres, which are not required to be planted to cotton.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An eligible producer for CTAP is required to be a person or legal entity who is actively engaged in farming and otherwise eligible to receive payment. CTAP payments in each of the 2014 and 2015 program years are limited to \$40,000 per person or legal entity, similar to the \$40,000 per person or legal entity limitation to applied to DCP under The Food, Conservation, and Energy Act of 2008, P.L. 110-246. A person or legal entity is ineligible for payments if the person's or legal entity's average gross income (AGI) for the applicable compliance program year is in excess of \$900,000. If a person with an indirect interest in a legal entity has AGI in excess of \$900,000, the CTAP payments subject to AGI compliance provisions to the legal entity will be reduced as calculated based on the percent interest of the person in the legal entity receiving the payment.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Farmer/Rancher/Agriculture Producer; The Farm Service Agency (FSA) will provide adequate notice to producer about the new CTAP regulations so they will be ready to begin sign-up for CTAP.

Payments will process as soon as possible after October 1, 2014, as soon as the application period closes. Therefore, to begin providing benefits to producers in a timely fashion, the final rule is effective when published in the Federal Register.

Credentials/Documentation:

An eligible producer on a farm is required to provide a copy of their written lease to the county committee and, in the absence of a written lease, is required to provide the county committee a complete written description of the terms and conditions of any oral agreement or lease. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible producers may apply for CTAP by completing CCC-957 application and providing supportive and necessary contractual documents to the FSA county office. For 2014 CTAP applications must be submitted by September 30, 2014 and for 2015 CTAP, by July 31, 2015.

Award Procedure:

Eligible producers receive their 2014 CTAP payments after October 1, 2014 after the end of the application period. Eligible producers receive their 2015 CTAP payments after October 1, 2015 after the end of the application period.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Each eligible producer on a farm may apply for and receive CTAP payments determined to be fair and equitable as agreed to by all producers on the farm and as approved by the county committee. CTAP payments will be determined based on shares recorded on the application for CTAP payments for the particular program year.

Appeals:

Other. Generally, participants receiving an adverse program determination have 30 days from date of receipt to file an appeal of that decision. A participant may seek reconsideration and appeal review of any individual program eligibility adverse determination made in accordance with the appeal regulations found in 7 CFR, parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR, Chapter 1412, Part E, Public Law 113-79. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

An eligible producer or other eligible persons or legal entity receiving CTAP assistance, shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-999.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$4,772.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1412.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Kimberly V. Graham,
1400 Independence Ave SW Room 4759-S,
Washington DC 20024

Email: kimberly.graham@usda.gov

Phone: (202) 692-5277

Fax:

Website Address:

http://www.fsa.usda.gov/FSA/newsReleases?area=newsroom&subject=landing&to pic=pf&newstype=prfactsheet&type=detail&item=pf_20150706_insup_en_ctap.h tml

RELATED PROGRAMS:

10.055 Direct and Counter-cyclical Payments Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.116 The Margin Protection Program

MPP-Dairy,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Agricultural Act of 2014, Title I, Part I, Section 1401, Public Law 113-79, 7 USC Code 9051.

OBJECTIVES:

MPP-Dairy offers a protection plan which provides payments to dairy operations when the difference between the all-milk price and the average feed cost falls below a certain, producer selected, dollar amount. Producers will be eligible for a basic level of margin protection for a small administrative fee, and be able to purchase greater coverage for a premium. The Secretary of Agriculture (Secretary) will use administrative fees collected to cover administrative costs incurred to carry out the margin protection program. Not renewed with Agriculture Improvement Act of 2018, see Dairy Margin Coverage, CFDA 10.127.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Milk is produced in all 50 states and the maintenance and expansion of existing markets for dairy are vital to the welfare of milk producers in the United States (U.S.). The dairy industry has experienced dramatic structural changes at all levels of the marketing channel that have directly affected milk prices, dairy product sales, farm incomes, and other direct aspects of dairy profitability and volatility. Low margins have been a producer complaint for some time. In addition, low prices, high production costs, and oversupply have been issues for the dairy industry in recent years. In order to address these concerns, MPP-Dairy was included in P.L. 113-79 to replace existing dairy price and income support programs.

Applicant Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Other private institutions/organizations; Anyone/general public; All dairy operations in the U.S. shall be eligible to participate in the MPP-Dairy program to receive margin protection payments. A dairy operation must produce milk from cows in the U.S. and must be commercially marketing milk produced at the time of enrollment and continue to market milk for the duration of the program. A dairy operation may participate in the MPP-Dairy program or the Livestock Gross Margin for Dairy (LGM-Dairy) but not both. LGM-Dairy is operated by the Risk Management Agency of the U.S. Department of Agriculture (USDA). However since the MPP-Dairy program is made available after potential applicants under MPP-Dairy have applied for coverage under LGM-Dairy, for the open enrollment period established for the 2014 and 2015 calendar year coverage only, a producer with coverage under LGM-Dairy that would like to participate in the MPP-Dairy program must register to participate in the MPP-Dairy program during the open enrollment period established for calendar 2014 and 2015 and agree not to extend or obtain new LGM-Dairy coverage.

Beneficiary Eligibility:

Individual/Family; Minority group; Small business; Profit organization; Anyone/general public; Federally Recognized Indian Tribal Governments; The ultimate benefit of the MPP-Dairy program will help protect farm equity and reduce financial losses that occur during times of low margins.

Credentials/Documentation:

To participate in the MPP-Dairy program, an eligible dairy operation must have a production history determined for the dairy operation; register to participate during a signup announced by the Farm Service Agency (FSA); pay a \$100.00 administrative fee annually for the duration of the MPP-Dairy program. For 2018 coverage year only, a limited resources, beginning, veteran, or socially disadvantaged farmer or rancher, shall be exempt from the administrative fee; select a coverage level ranging from \$4.00 to \$8.00 per cwt, in \$.50 increments; and select a coverage percentage of dairy operation's production history ranging from 25 percent to 90 percent, in 5 percent increments. For an existing dairy operation FSA will determine the production history from the highest of the 2011, 2012, and 2013 calendar years. For a new dairy operation (in operation for less than one year), FSA will determine the production history by either the volume of the actual milk marketings for the months the participating dairy operation has been in operation extrapolated to a yearly amount or an estimate of the actual milk marketing of the participating dairy operation based on the herd size of the participating dairy operation relative to the national rolling here average data published by USDA. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A dairy operation must submit an accurate and complete contract to participate during the application period. The contract is prescribed by the Commodity Credit Corporation (CCC). Producers may obtain such contract in person, by mail, or by facsimile from any county FSA office. The form may be downloaded at <http://www.sc.egov.usda.gov>. Contract offers must be received at FSA by the close of business on the last day of the open enrollment period.

Award Procedure:

An eligible dairy operation must complete and submit a contract; agree with all terms and conditions in the contract; comply with instructions issued by or for CCC; provide proof of milk production commercially marketed by all persons in the dairy operation; and provide any other supporting documentation to any county FSA office. Producers must certify to accuracy and truthfulness of the information in their contracts and supporting documentation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

Other. Any producer who is dissatisfied with a determination made may request reconsideration or appeal of such determination under 7 CFR part 11 or part 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR, Chapter 1430, Subpart C, Public Law 113-79. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the program benefits. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Producers approved for MPP-Dairy benefits must maintain and retain accurate records and accounts that will document that they meet all eligibility requirements for three years after the date of payment to the dairy operation. Destruction of the records three years after the date of payment shall be the risk of the party undertaking the destruction.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments for Specified Use FY 20 \$1,153,000.00; FY 21 est \$1,200,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media. Regulations published in the Federal Register, 7 CFR Part 1430. CCC is committed to complying with the E-Government Act, to promote the use of the Internet and other information technologies to provide increased opportunities for citizen access to Government information and services, and for other purposes.

Regional or Local Office:**Headquarters Office:**

Program Manager Danielle Cooke,
1400 Independence Avenue, SW,
Washington DC 20250-0512
Email: danielle.cooke@wdc.usda.gov
Phone: (202) 720-1919
Fax: www.fsa.usda.gov

Website Address:

<http://www.fsa.usda.gov/programs-and-services/Dairy-MPP/index>

RELATED PROGRAMS:

10.127 Dairy Margin Coverage;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.117 Biofuel Infrastructure Partnership

Biofuel Infrastructure Partnership (BIP),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

CCC Charter Act, , 15 USC Code 714c.

OBJECTIVES:

The objective of BIP is to expand infrastructure for renewable fuels, in order to increase the demand for ethanol, by offering competitive grants to States, the Commonwealth of Puerto Rico, and Washington, D.C. Federal funds, matched with state and private contributions, will create innovative ways to distribute higher blends of renewable fuel. Currently not soliciting applications.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

BIP funds may be used to pay a portion of the costs related to the installation of fuel pumps and related infrastructure dedicated to the distribution of higher ethanol blends, such as E15 and E85, at fueling stations or fleet facilities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Additional information is provided in the Notice of Funds Availability (NOFA) published on June 16, 2015.

Beneficiary Eligibility:

Federal; Individual/Family; Small business; Profit organization; Anyone/general public; Interstate; Intrastate; State; U.S. Citizen; Local; Federally Recognized Indian Tribal Governments; Additional information is provided in the Notice of Funds Availability (NOFA) published on June 16, 2015.

Credentials/Documentation:

Additional information is provided in the Notice of Funds Availability (NOFA) published on June 16, 2015. Currently not soliciting applications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. On June 12, 2015 the Commodity Credit Corporation (CCC) announced that all 50 states, the Commonwealth of Puerto Rico and Washington, D.C. may now apply for up to \$100 million in grants under the Biofuels Infrastructure Partnership (BIP). The funding is to support the infrastructure needed to make more renewable fuel options available to American consumers. The Farm Service Agency will administer BIP. Applications must be submitted by July 15, 2015, using www.grants.gov. To locate, search by funding opportunity number USDA-FSA-2015-22.

Award Procedure:

Procedures were announced in the Notice of Funds Availability (NOFA) published on June 16, 2015.

Deadlines:

June 12, 2015 - July 15, 2015. Applications must be submitted by July 15, 2015, using www.grants.gov. To locate, search by funding opportunity number "USDA-FSA-2015-22."

Range of Approval/Disapproval Time:

Other. Application and approval information were announced in the Notice of Funds Availability (NOFA) published on June 16, 2015.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching requirements were described in the Notice of Funds Availability (NOFA) published on June 16, 2015. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

Length and timing of assistance were described in the Notice of Funds Availability (NOFA) published on June 16, 2015. States must fully expend Federal funds by December 31, 2016, with an opportunity for extension upon approval by CCC.

Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements were described in the Notice of Funds Availability (NOFA) published on June 16, 2015. Reporting requirements were described in the Notice of Funds Availability (NOFA) published on June 16, 2015. Reporting requirements were described in the Notice of Funds Availability (NOFA) published on June 16, 2015. Reporting requirements were described in the Notice of Funds Availability (NOFA) published on June 16, 2015. Reporting requirements were described in the Notice of Funds Availability (NOFA) published on June 16, 2015.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records requirements were described in the Notice of Funds Availability (NOFA) published on June 16, 2015.

Account Identification:

12-4336-0-3-999.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Funds Availability (NOFA) published on June 16, 2015 in the Federal Register. Press Release announcing the program issued on June 12, 2015

Regional or Local Office:

None.

Headquarters Office:

Jody Kenworthy,
1400 Independence Ave., SW,
Washington DC 20250
Email: Jody.Kenworthy@usda.gov
Phone: (202) 690-5230
Fax:

Website Address:

<http://origin2.www.fsa.usda.gov/programs-and-services/energy-programs/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals were described in the Notice of Funds Availability (NOFA) published on June 16, 2015.

10.120 2017 Wildfires and Hurricanes Indemnity Program

2017 WHIP,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Public Law 115-123, Public Law 116-20

OBJECTIVES:

The 2017 WHIP is directly reimbursing producers for crop production losses in 2018. In addition to WHIP, USDA is providing a grant to Florida, which is reimbursing citrus producers for the cost of buying and planting replacement trees, including resetting and grove rehabilitation, and for repair of damages to irrigation systems. This grant is also providing assistance for losses of citrus production expected during the 2019 and 2020 crop years.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Assistance will be used by producers who suffered losses due to hurricanes

Applicant Eligibility:

State; Individual/Family; Agriculture producers are eligible to apply.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

We recommend producers who have not participated in a USDA program to contact their local USDA service center to establish farm records. To establish a farm tract number, be sure to bring: Proof of identity: drivers license, Social Security number/card; copy of recorder deed, survey plat, rental, or lease agreement of the land, you do not have to own property to participate in FSA programs; entities: corporation, estate, or trust documents. Once signup begins, producers will be asked to provide verifiable and reliable production records by crop, type, practice, intended use, and acres. Producers with this information on file do not need to provide again. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Producers may apply through their local FSA county office by filing an application form and supporting documentation. For the grantee, the signing of a grant agreement with FSA is required. 2CFR200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to the grant.

Award Procedure:

The assistance will be approved and awarded by FSA to eligible producers. The block grants will be granted to Florida State of Agriculture from FSA. They will determine who is eligible for assistance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 30 to 60 days. a) Requesters seeking administrative appeal of a denial of a request for records or denial of a fee waiver must ensure that the appeal is received by the agency within 45 days of the date of the denial letter. (b) Each agency shall provide for review of appeals by an official different from the official or officials designated to make initial denials. (c) 5 U.S.C. 552(a)(6)(A)(ii) provides that each agency in the Department to which an appeal of a denial is submitted shall inform the requester of its determination concerning that appeal within 20 working days (excepting Saturdays, Sundays, and legal public holidays), plus any extension authorized by 1.16, of its date of receipt. If the agency determines to grant the appeal, it shall inform the requester of any conditions surrounding the granting of the request (e.g., payment of fees) and the approximate date upon which compliance will be effected. If the agency grants only a portion of the appeal, it shall treat the portion not granted as a denial. If it determines to deny the appeal either in part or in whole, it shall inform the requester of that decision and of the following: (1) The reasons for denial; (2) The name and title or position of each person responsible for denial of the appeal; and (3) The right to judicial review of the denial in accordance with 5 U.S.C. 552(a)(4). (d) Each agency, upon a

ASSISTANCE LISTINGS DESCRIPTIONS

determination that it wishes to deny an appeal, shall send a copy of the records requested and of all correspondence relating to the request to the Assistant General Counsel, General Law Division, Office of the General Counsel (Assistant General Counsel). When the volume of records is so large as to make sending a copy impracticable, the agency shall enclose an informative summary of those records. The agency shall not deny an appeal until it receives concurrence from the Assistant General Counsel(e) The Assistant General Counsel shall promptly review the matter (including necessary coordination with the agency) and render all necessary assistance to enable the agency to respond to the appeal within the administrative deadline or any extension of the administrative deadline.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. The grants to Florida will be block grant advances to Florida State Department of Agriculture. Method of awarding/releasing assistance: lump sum.

Reports:

The grantee must provide a Performance Progress Report within 45 days of the end of each Federal fiscal quarter, in addition to a final performance report due 90 days after completion. The grantee must provide a financial report using Standard Form 425 within 45 days of the end of each Federal fiscal quarter, in addition to a final financial report due 90 days after completion. Once signup begins, producers will be asked to provide verifiable and reliable production records by crop, type, practice, intended use, and acres. Producers with this information on file do not need to provide again. Producers should bring production records for the last five years. If a producer is unable to provide production records, USDA is calculating the yield based on 65 percent of county expected yield.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The producer must maintain any books, records, and accounts supporting the information for 3 years following the end of the year during which the request for payment was submitted. In accordance with 7 CFR 200.333, the grant recipient should retain all records relating to the grant for a period of 3 years after the final Federal Financial Report has been submitted to the Federal Agency, or until final resolution of any audit finding or litigation, whichever is later.

Account Identification:

12-2701-0-1-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Producers are subject to a \$125,000 payment limitation, meaning a producer can receive more than \$125,000 for losses. But a producer can receive a higher payment if three-fourths or more of their income is derived from farming or another agricultural-based business. Producers who derived 75 percent of their income in tax years 2013, 2014 and 2015 will be subject to a \$900,000 payment limitation.

TAFS Codes:

12-2701.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 760

Regional or Local Office:

None. Consult the appropriate FSA State office where the property is located. For a

list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Program Manager Jennifer Fiser,
1400 Independence Ave., SW,
Washington DC 20250
Email: Jennifer.Fiser@usda.gov
Phone: (202) 720-9882
Fax:

Website Address:

<https://www.fsa.usda.gov/>

RELATED PROGRAMS:

10.129 Wildfires and Hurricanes Indemnity Program Plus;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.123 Market Facilitation Program

MFP,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

This section authorizes CCC to assist in the disposition of surplus commodities and to increase the domestic consumption of agricultural commodities by expanding or aiding in the expansion of domestic markets or by developing or aiding in the development of new and additional markets, marketing facilities, and uses for such commodities., Title CCC Commodity Credit Act, Section 5

OBJECTIVES:

The Commodity Credit Corporation (CCC) is issuing new regulations to implement MFP. MFP provides assistance for producers with commodities that have been significantly impacted by actions of foreign governments resulting in the loss of traditional exports. The imposition of tariffs by other countries on U.S. agricultural products, among other actions, are disrupting marketing of agricultural commodities and are outside of the control of the agricultural producers who are being negatively impacted.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

The assistance will be used to provide payments to help producers who have been directly impacted by illegal retaliatory tariffs, resulting in the loss of traditional exports.

Applicant Eligibility:

Non-Government - General; Individual/Family; A producer must be in compliance with highly erodibleland conservation and wetland conservation provisions, commonly referred to as the conservation compliance provisions. Other eligibility requirements also apply A producers average adjusted gross income may not exceed \$900,000.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements for Federal Awards applies to this program.

Award Procedure:

2018 MFP - A payment will be issued on the first 50 percent of the producer's total production of the commodity. MFP payments are capped per person or legal entity as follows: A combined \$125,000 for eligible crop commodities A combined \$125,000 for dairy production and hogs. A combined \$125,000 for fresh sweet cherries and shelled almonds. MFP payments do not count against other 2014 Farm Bill payment limitations. 2019 MFP A payment will be issued based upon the following: on the producers total reported 2019 planted acreage, not to exceed the producers 2018 planted and prevented planted non-specialty crop acres; total acres of 2019 planted specialty crops; total production for dairy and/or number of hogs owned. MFP payments are capped per person or legal entity as follows: A combined \$250,000 for eligible crop commodities A combined \$250,000 for dairy production and hogs. A combined \$250,000 for specialty crops. MFP payments do not count against other 2014 Farm Bill payment limitations. Overall payment limitation is capped at \$500,000 total for all 2019 MFP commodities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 90 to 120 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available from September 4, 2018 through January 15, 2019 Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records are to be maintained for three years.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$1,072,121,408.00; FY 21 est \$60,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1409

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Program Manager Kelly Hereth Dawson,
1400 Independence Avenue, SW, Stop 0512,
Washington DC 20250-0512
Email: kelly.hereth@usda.gov
Phone: (202) 720-0448
Fax: (202) 690-3307
Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.127 Dairy Margin Coverage

DMC,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Agriculture Improvement Act of 2018, Title 1, Part Subtitle D - Dairy Margin Coverage and Other Dairy Related Provisions, Section 1401 - 1410, Public Law 115-334

OBJECTIVES:

DMC offers a protection plan which provides payments to dairy operations when the difference between the all-milk price and the average feed cost fall below a certain, producer selected, dollar amount. Producers will be eligible for a basic level of margin protection for a small administrative fee and be able to purchase higher coverage with a fee. The Secretary of Agriculture (Secretary) will use administrative fees collected to cover administrative costs incurred to carry out the DMC program.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Milk is produced in all 50 states and the maintenance and expansion of existing markets for dairy are vital to the welfare of milk producers in the United States (U.S.). The dairy industry has experienced dramatic structural changes at all levels of the marketing channel that have directly affected milk prices, dairy product sales, farm incomes, and other direct aspects of dairy profitability and volatility. Low margins have been a producer complaint for some time. In addition, low prices, high production costs, and oversupply have been issues for the dairy industry in recent years. To address these concerns, DMC was included in P.L. 115-334 to replace existing dairy price and income support programs. The DMC program is much like an insurance program in that the dairy operation selects a level of coverage, and pays a premium based on the amount of coverage (and an administrative fee). As is customary with other insurance or insurance-like programs both agricultural and otherwise, the regulations only provide for coverage prospectively and contemplated that a party's level of coverage will remain unchanged unless during a designated enrollment period the party makes a different election. The amount of coverage chosen by producers in a participating dairy operation requires two selections. One is the margin trigger and the second is the amount of milk covered (which is based on a history of production).

Applicant Eligibility:

Individual/Family; Minority group; Small business (less than 500 employees); Profit organization; Anyone/general public; Federally Recognized Indian Tribal Governments;

Beneficiary Eligibility:

The ultimate benefit of the DMC-Dairy program will help protect farm equity and reduce financial losses that occur during times of low margins.

Credentials/Documentation:

To participate in the DMC program, an eligible dairy operation must have a production history determined for the dairy operation; register to participate during a sign-up announced by the Farm Service Agency (FSA); pay a \$100.00 administrative fee annually during the duration of the DMC-Dairy program. An exemption of the administrative fee is applicable for those eligible that include limited resource, socially disadvantaged, beginning, and veteran farmer. Participants will select a coverage level from \$4.00 to \$9.50 cwt, in \$.50 increments, for dairy operations of more than 5 million pounds. They will select a

ASSISTANCE LISTINGS DESCRIPTIONS

coverage at the Tier 2 level if they selected a Tier 1 coverage of \$8.50, \$9.00, and \$9.50. Additionally, the dairy operation will select a coverage percentage of dairy operations production history ranging from 5 percent to 95 percent. For 2019, participating dairy operations have the option to lock-in coverage levels until 2023 and receive a 25 percent discount in their premium fees. For an existing dairy operation FSA will determine the production history from the highest of the 2011, 2012, and 2013 calendar years. For a new dairy operation (in operation less than one year), FSA will determine the production history by either the volume of the actual milk marketing for the months the participating dairy operation has been in operation extrapolated to a yearly amount or an estimate of the actual milk marketing of the participating dairy operation based on the herd size of the participating dairy operation relative to the national rolling herd average data published by USDA. For a dairy operation that started commercially producing milk on or after January 1, 2014 but on or before January 1 of the previous year, the dairy operation will select the total milk marketings for one year to determine a production history. All dairy operations in the U.S. are eligible to participate in the DMC program to receive margin protection payments. A dairy operation must produce milk from cows in the U.S. and must be commercially marketing milk produced at the time of enrollment and continue to market milk for the duration of the program. A dairy operation may participate in the DMC program or the Livestock Gross Margin for Dairy (LGM-Dairy). LGM-Dairy is operated by the Risk Management Agency of the U.S. Department of Agriculture (USDA). For 2019, the 2018 Farm Bill allowed 2018 LGM participants the opportunity to retroactively enroll in the 2018 MPP-Dairy program. Additionally, the 2018 Farm Bill authorized repayment of premiums paid by dairy operations from 2014-2017 by year, above the amount of any indemnity paid. Dairy operations had the choice to take the 50 percent of the premium amount as a direct cash payment or take 75 percent of the repayment as credit for future DMC premiums. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A dairy operation must submit an accurate and complete contract to participate during the application period. The contract is prescribed by the Commodity Credit Corporation (CCC). Producers may obtain such contract in person, by mail, or by facsimile from any county FSA office. The form may be downloaded at <http://www.sc.egov.usda.gov>. Contract offers must be received at FSA by the close of business on the last day of the open enrollment period.

Award Procedure:

An eligible dairy operation must complete and submit a contract; agree with all terms and conditions in the contract; comply with instructions issued by or for CCC; provide proof of milk production commercially marketed by all persons in the dairy operation; and provide any other supporting documentation to any county FSA office. Producers must certify to accuracy and truthfulness of the information in their contracts and supporting documentation

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable. Any producer who is dissatisfied with a determination made may request reconsideration or appeal of such determination under 7 CFR part 11 or part 780.

Renewals:

>180 Days. DMC participants are required to enroll annually or opt out for a year.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR, Chapter 1430, Part , Subpart C, Public Law 115-334. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Method of awarding/releasing assistance: For 2019, the DMC enrollment date is June 17, 2019 through September 20, 2019 for 2019 coverage year. For 2020 and future years, participating dairy operations must enroll during the coverage election period which occurs prior to the coverage year. DMC is authorized to spend program benefits until December of 2023, the end of 2018 Farm Bill. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Producers approved for DMC benefits must maintain and retain accurate records and accounts that will document that they meet all eligibility requirements for three years after the date of payment to the dairy operation. Destruction of the records three years after the date of payment shall be the risk of the party undertaking the destruction.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments for Specified Use FY 20 \$218,203,000.00; FY 21 est \$1,647,000,000.00; FY 22 est \$771,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations published in the Federal Register, 7 CFR Part 1430. CCC is committed to complying with the E-Government Act, to promote the use of the Internet and other information technologies to provide increased opportunities for citizen access to Government information and services, and for other purposes. Program is announced through news media.

Regional or Local Office:

None.

Headquarters Office:

Douglas E. Kilgore,
1400 Independence Avenue, SW,
Washington DC 20250-0512
Email: Douglas.E.Kilgore@usda.gov
Phone: 202-720-9011
Fax:

Website Address:

<https://www.fsa.usda.gov/programs-and-services/dairy-margin-coverage-program/index>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.128 Heirs Property Relending Program

HPRP,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Title 2018 Agriculture Improvement Act, Section 5104, Public Law 115-334

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

As specified in 7 U.S.C. 1936(c), HPRP provides loan funds to intermediaries who will re-lend loan funds to individuals and entities with undivided ownership interests in order to resolve ownership and succession issues relating to a farm owned in common by multiple owners. .

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Authorized loan purposes:(a) Loans to the intermediary. HPRP loan funds must be used by the intermediary to provide direct loans to eligible ultimate recipients according to the rules provided in this subpart and pursuant to the HPRP loan agreement approved by the agency.(b) Loans to the ultimate recipients. HPRP loan funds:(1) Must be used to assist heirs with undivided ownership interests to resolve ownership and succession on a farm that is owned by multiple owners;(2) Must be sufficient to cover costs and fees associated with development and implementation of the succession plan, including closing costs (such as costs for preparing documents, appraisals, surveys, and title reports) and other associated legal services (such as fees incurred for mediation and other related legal actions); and(3) May be used to purchase and consolidate fractional interests held by other heirs in jointly-owned property, and to purchase rights-of-way, water rights, easements, and other appurtenances that would normally pass with the property and are necessary for the proposed operation of the farm.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); (a) Eligible entity types. The types of entities eligible to serve as intermediaries for HPRP are cooperatives, credit unions, and nonprofit organizations.(b) Certification. The intermediary must be certified as a community development financial institution under 12 CFR 1805.201 (or successor regulations) to operate as a lender.(c) Citizenship. The applicant and the member of the intermediary must be U.S. citizens.(d) Experience. The intermediary must have:(1) At least 5 years of experience assisting socially disadvantaged farmers and ranchers (as defined in subsection (a) of section 2501 of the Food, Agriculture, Conservation, and Trade Act of 1990 (7 U.S.C. 2279)) or limited resource or new and beginning farmers and ranchers, rural businesses, cooperatives, or credit unions;(2) The requisite experience and capability in making and servicing agricultural and commercial loans that are similar in nature to HPRP. If consultants will be used, the agency will assess the intermediaries experience in choosing and supervising consultants;(3) The legal authority necessary to carry out the proposed loan purposes and to obtain, provide security for, and repay the proposed loan; and(4) Demonstrated ability and willingness to repay the loan based on the intermediaries financial condition, managerial capabilities, and other resources.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Public nonprofit institution/organization; (a) The eligibility requirements for the ultimate recipient are:(1) Ultimate recipients may be individuals or legal entities, with authority to incur the debt and to resolve ownership and succession of a farm owned by multiple owners; and(2) As a condition of receiving a loan from the intermediary, the ultimate recipient must agree to complete a succession plan.(b) The intermediary will determine the eligibility of the applicant to become the ultimate recipient in accordance with the rules provided in this subpart and in accordance with the intermediaries relending plan as approved by the agency in the HPRP loan agreement.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Processing loan applications:(a) Opening and closing dates for applications from intermediaries will be established and announced by the agency on the agency's website (www.fsa.usda.gov).(b) The agency will review applications from intermediaries for compliance with the provisions of this subpart.(c) Applications meeting the provisions in this subpart will be approved for either the full requested loan amount or a lower amount depending on fund availability.(d) Preference will be given to intermediaries with not less than 10 years experience serving socially disadvantaged farmers and ranchers that are located in states that have adopted a statute consisting of an enactment or adoption of the Uniform Partition of Heirs Property Act, as approved and recommended for enactment in all States by the National Conference of Commissioners on Uniform State Laws in 2010, that relend to owners of heirs property (as defined by the Uniform Partition of Heirs Property Act). In addition, the agency may give priority to intermediaries having applications from ultimate recipients already in process. The agency may also consider other factors including the size of the farm, the number of heirs, and the type of land. (e) Information supplied by the intermediary in the loan application will need to be updated if the information is more than 90 days old at the time of loan closing.

Deadlines:

Opening and closing dates for applications from intermediaries will be established and announced by the agency on the agency's website (www.fsa.usda.gov).

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Any appealable adverse decision made by the agency may be appealed upon written request of the intermediary as specified in 7 CFR part 11.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Method of awarding/releasing assistance: lump sum Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

12-1140-0-1-351.

Obligations:

Guaranteed/Insured Loans FY 20 \$18,215,000.00; FY 21 est \$67,385,722.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None

Regional or Local Office:

None.

Headquarters Office:

Md Mutaleb,
U.S. Department of Agriculture 1400 Independence Avenue SW,
Washington DC 20250
Email: md.mutaleb@usda.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: (202) 720-3168

Fax:

Website Address:

<http://www.fsa.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(A) The intermediaries loan application will consist of:(1) An application form provided by the agency;(2) A relending plan addressing the items in 769.157.(3) Other evidence the agency requires to determine that the intermediary satisfies the eligibility requirements in 769.152, and that the intermediaries proposed relending plan is feasible and meets the objectives of HPRP;(4) Documentation of the intermediaries ability to administer the HPRP loan funds in accordance with this part; and(5) The name(s) of attorneys or any third parties involved with the application process.(b) Prior to loan approval and advancing funds, the intermediary must certify that:(1) The intermediary and its officers, or agents are not delinquent on any Federal debt, including, but not limited to, federal income tax obligations, federal loan or loan guarantee, or obligation from another Federal agency. If delinquent, the intermediary must provide in writing the reasons for the delinquency, and the agency will take this into consideration in deciding whether to approve the loan or advance of funds;(2) The intermediary and its officers have not been convicted of a felony criminal violation under Federal law in the 24 months preceding the date of the loan application;(3) The intermediary is in compliance with the restrictions and requirements in 31 U.S.C. 1352, Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions;(4) The intermediary has been informed of the options by the Federal Government to collect delinquent debt; and(5) The intermediary, its officers, or agents are not debarred or suspended from participation in Government contracts or programs. (c) An intermediary that has received one or more HPRP loans may apply for and be considered for subsequent HPRP loans provided:(1) The intermediary is relending all collections from loans made from its revolving fund in excess of what is needed for required debt service, approved administration costs, and a reserve for bad debts;(2) The outstanding loans of the intermediaries HPRP revolving loan fund are performing; and(3) The intermediary is following all regulatory requirements and is complying with the terms and conditions of its HPRP loan agreement(s) and the intermediaries relending plan(s) approved by the agency.(d) The agency may require the intermediary to provide information relating to applications from ultimate recipients the intermediary has in process.

10.129 Wildfires and Hurricanes Indemnity Program Plus

WHIP Plus,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Title Title 1, , Public Law 116-20, as amended by Further Consolidated Appropriations Act, 2020, Pub. L. 116-94, Division B, Title VII

OBJECTIVES:

The WHIP Plus is directly reimbursing producers for crop, tree, bush, and vine losses due to qualifying disaster events in 2018 and 2019. FSA is also providing assistance for these losses through grants to participating state agencies and cooperative agreements with sugar beet cooperative processors.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Assistance will be used by producers who suffered losses due to qualifying disaster events.

Applicant Eligibility:

State; Individual/Family; Profit organization; Agriculture producers are eligible to

apply.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

We recommend that producers who have not participated in a USDA program contact their local USDA service center to establish farm records. To establish a farm tract number, be sure to bring: proof of identity (drivers license, Social Security number/card); copy of recorder deed, survey plat, rental, or lease agreement of the land (you do not have to own property to participate in FSA programs); for entities, corporation, estate, or trust documents. Once signup begins, producers will be asked to provide verifiable and reliable production records by crop, type, practice, intended use, and acres. Producers with this information on file with FSA do not need to provide again. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Producers may apply through their local FSA county office by filing an application form and supporting documentation. For the participating state agency grantees, the signing of a grant agreement with FSA is required. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to the grant

Award Procedure:

The assistance will be approved and awarded by FSA County Committees to eligible producers. The grants will be granted to participating state agencies by FSA. The grantees will determine producer eligibility for assistance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

From 30 to 60 days. Requesters seeking administrative appeal of a denial of a request for records or denial of a fee waiver must ensure that the appeal is received by the agency within 45 days of the date of the denial letter. (b) Each agency shall provide for review of appeals by an official different from the official or officials designated to make initial denials. (c) 5 U.S.C. 552(a)(6)(A)(ii) provides that each agency in the Department to which an appeal of a denial is submitted shall inform the requester of its determination concerning that appeal within 20 working days (excepting Saturdays, Sundays, and legal public holidays), plus any extension authorized by 1.16, of its date of receipt. If the agency determines to grant the appeal, it shall inform the requester of any conditions surrounding the granting of the request (e.g., payment of fees) and the approximate date upon which compliance will be effected. If the agency grants only a portion of the appeal, it shall treat the portion not granted as a denial. If it determines to deny the appeal either in part or in whole, it shall inform the requester of that decision and of the following: (1) The reasons for denial; (2) The name and title or position of each person responsible for denial of the appeal; and (3) The right to judicial review of the denial in accordance with 5 U.S.C. 552(a)(4). (d) Each agency, upon a determination that it wishes to deny an appeal, shall send a copy of the records requested and of all correspondence relating to the request to the Assistant General Counsel, General Law Division, Office of the General Counsel (Assistant General Counsel). When the volume of records is so large as to make sending a copy impracticable, the agency shall enclose an informative summary of those records. The agency shall not deny an appeal until it receives concurrence from the Assistant General Counsel (e) The Assistant General Counsel shall promptly review the matter (including necessary coordination with the agency) and render all necessary assistance to enable the agency to respond to the appeal within the administrative deadline or any extension of the administrative deadline.

Renewals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded. The grants to participating state agencies will be block grant advances. Method of awarding/releasing assistance: lump sum.

Reports:

The grantee must provide a Performance Progress Report within 45 days of the end of each Federal fiscal quarter, in addition to a final performance report due 90 days after completion. The grantee must provide a financial report using Standard Form 425 within 45 days of the end of each Federal fiscal quarter, in addition to a final financial report due 90 days after completion. Once signup begins, producers will be asked to provide verifiable and reliable production records by crop, type, practice, intended use, and acres. Producers with this information on file do not need to provide again. Producers should bring production records for the last five years. If a producer is unable to provide production records, USDA is calculating the yield based on 65 percent of county expected yield.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The producer must maintain any books, records, and accounts supporting the information for 3 years following the end of the year during which the request for payment was submitted. In accordance with 7 CFR 200.333, the grant recipient should retain all records relating to the grant for a period of 3 years after the final Federal Financial Report has been submitted to the Federal Agency, or until final resolution of any audit finding or litigation, whichever is later.

Account Identification:

12-2701-0-1-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Producers are subject to a \$125,000 payment limitation for all eligible losses under WHIP+. A producer can receive a higher payment if three-fourths or more of their income is derived from farming or another agricultural-based business. Producers who derived 75 percent of their income in tax years 2015, 2016, and 2017 will be subject to a \$900,000 payment limitation for all eligible losses under WHIP+.

TAFS Codes:

12-2701.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 760 Subpart O

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Program Manager Jennifer Fiser,
1400 Independence Ave SW,
Washington DC 200024
Email: Jennifer.Fiser@usda.gov
Phone: 2027209882
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.130 Coronavirus Food Assistance Program 1

CFAP,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title Coronavirus Aid, Relief, and Economic Stability Act (CARES Act), Part Pub. L. 116-136, Section Division B, Title I, 15 U.S.C. 714c USC Code 5(e).

OBJECTIVES:

CFAP provides direct financial assistance to producers of eligible agricultural commodities where prices and market supply chains have been impacted by the COVID-19 pandemic.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Unrestricted use.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Applicants must be a citizen of the United States; resident alien; partnership of citizens of the United States; corporation, limited liability company, or other organizational structure organized under State law; Indian Tribe or Tribal organization; or foreign person or foreign entity who meets all requirements of 7 CFR part 1400. Applicants must have had a share in the eligible commodity. An average adjusted gross income limit of \$900,000 applies unless at least 75 percent of the average AGI was derived from farming, ranching, or forestry related activities.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants must submit an application and additional required forms, including an average adjusted gross income statement, and highly erodible land conservation and wetland certification if not already on file with FSA. FSA may request that applicants submit verifiable inventory/production records to substantiate the information on their application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a complete CFAP application to their FSA county office. All required supporting documentation must be submitted to their FSA county office no later than 60 days from the date a producer signs the application. If required documentation is not submitted within the 60 days, the application will not be processed and will not be acted on by USDA.

Award Procedure:

The assistance will be approved and awarded by FSA to eligible producers. Payments to eligible producers are expected to be made once applications are processed. USDA will make an initial payment of 80 percent of an eligible 2020 CFAP participant's calculated 2020 CFAP payment. Any additional payment will be made at the Secretary's discretion if funding is available.

Deadlines:

May 26, 2020 - September 11, 2020. Producers must apply for CFAP by September 11, 2020. All required supporting documentation must be submitted to the applicant's FSA county office no later than 60 days from the date the applicant

ASSISTANCE LISTINGS DESCRIPTIONS

signs their CFAP application.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

From 15 to 30 days. Applicants may request reconsideration of a decision by the decision authority or appeal such decisions to a higher authority including the county and State FSA committees and the National Appeals Division. Appeal regulations in 7 CFR parts 11 and 780 apply. CFAP commodity eligibility and other matters of general applicability that are not in response to, or result from, an individual set of facts in an individual participants application for payment are not matters that can be appealed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The application period will be May 26, 2020 through September 11, 2020. All required supporting documentation must be submitted to the applicant's FSA county office no later than 60 days from the date the applicant signs their CFAP application. There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

If requested, applicants must submit documentation to verify the information certified on their application. Applicants must retain documentation in support of the application for 3 years after the date of approval.

Account Identification:

12-0115-0-1-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$2,147,483,647.00; FY 21 est \$350,000,000.00; FY 22 est \$2,147,483,647.00;

Range and Average of Financial Assistance:

Producers are subject to a \$250,000 payment limitation. A corporate entity (corporation, limited liability company, or limited partnership) may receive more than \$250,000 in CFAP payments if the applicant, under penalty of perjury, certifies that two or three owners of the corporate entity each provide at least 400 hours of active personal management or personal active labor. The corporate entity may be eligible to receive up to \$500,000 if two owners provide active personal labor or management, or up to \$750,000 if three or more owners provide active personal labor or management.

TAFS Codes:

12-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 9. Procedural handbooks are available in county FSA offices.

Regional or Local Office:

None.

Headquarters Office:

Kimberly Graham,
1400 Independence Ave., SW,
Washington DC 20250
Email: kimberly.graham@usda.gov
Phone: 202-720-6825
Fax:

Website Address:

<https://www.farmers.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.131 Seafood Trade Relief Program (STRP)

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

This section authorizes CCC to assist in the disposition of surplus commodities and to increase the domestic consumption of such commodities by expanding or aiding in the expansion of domestic markets or by developing or aiding in the development of new and additional markets, marketing facilities, and uses for such commodities; Title 5 (e) of the CCC Charter Act (15 U.S.C. 714C), Title , Part , Section

OBJECTIVES:

STRP provides payments to eligible commercial fishermen of seafood commodities that have been identified as having been impacted by trade actions of foreign governments resulting in the loss of exports. Payments are for the purpose of expanding or aiding in the expansion of domestic markets for U.S. caught and sold seafood.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The assistance will be used to provide payments to help commercial fishermen who have been directly impacted by illegal retaliatory tariffs, resulting in the loss of traditional exports.

Applicant Eligibility:

U.S. Territories and possessions; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. commercial fisherman who hold a valid federal or state license or permit to commercially catch seafood, and such marine species are brought to shore and sold or transferred to another party that must be a legally permitted or licensed seafood dealer.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

STRP payments will be issued on the commercial fishermen's total reported commercial landings of eligible seafood species caught and sold from January 1, 2019 through December 31, 2019. STRP payments are capped per person or legal entity at \$250,000.

Deadlines:

The deadline to submit an application was extended to January 15, 2021. If additional documentation for eligibility is required, such as proof of a commercial fishing license, such documentation must be submitted no later than 60 days from the date the applicant signs the application.

ASSISTANCE LISTINGS DESCRIPTIONS

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 90 to 120 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available from September 14, 2020 through December 14, 2020.

Method of awarding/releasing assistance: lump sum.

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records are to be maintained for three years.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments for Specified Use FY 20 \$140,000,000.00; FY 21 est \$390,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

85 FR 56572, Docket Number 2020-20143.

Regional or Local Office:

None.

Headquarters Office:

Program Manager Kelly Hereth Dawson,
1400 Independence Avenue, SW, Stop 0512,
Washington DC 20250-0512

Email: kelly.hereth@usda.gov

Phone: (202) 720-0448

Fax: (202) 372-5176

Website Address:

<https://www.farmers.gov/manage/seafood>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.132 Coronavirus Food Assistance Program 2

(CFAP 2).

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title I Coronavirus Aid, Relief, and Economic Security Stability Act (CARES

Act), Part Division B, Section 15 U.S.C. 714c, section 5(b),(d), and (e), Public Law 116-260.

OBJECTIVES:

CFAP 2 provides direct financial assistance to producers of eligible agricultural commodities who face continuing market disruptions, low farm-level prices, and significant marketing costs due to COVID-19.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Unrestricted use.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Applicants must be a citizen of the United States; resident alien; partnership of citizens of the United States; corporation, limited liability company, or other organizational structure organized under State law; Indian Tribe or Tribal organization; or foreign person or foreign entity who meets all requirements of 7 CFR part 1400. Applicants must have had a share in the eligible commodity. An average adjusted gross income limit of \$900,000 applies unless at least 75 percent of the average AGI was derived from farming, ranching, or forestry related activities.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants must submit an application and additional required forms, including an average adjusted gross income statement and highly erodible land conservation and wetland certification, if not already on file with FSA. An acreage report is required for producers of non-specialty crops. FSA may request that applicants submit verifiable acreage, inventory, production, revenue, or sales records to substantiate the information on their application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a complete CFAP 2 application to their FSA county office. All required supporting documentation must be submitted to their FSA county office no later than 60 days from the date a producer signs the application. If required documentation is not submitted within the 60 days, the application will not be processed and will not be acted on by USDA.

Award Procedure:

The assistance will be approved and awarded by FSA to eligible producers. Payments to eligible producers are expected to be made once applications are processed.

Deadlines:

Producers must apply for CFAP 2 by October 12, 2021. All required supporting documentation must be submitted to the applicant's FSA county office no later than 60 days from the date the applicant signs their CFAP 2 application.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

From 15 to 30 days. Applicants may request reconsideration of a decision by the decision authority or appeal such decisions to a higher authority including the county and State FSA committees and the National Appeals Division. Appeal regulations in 7 CFR parts 11 and 780 apply. CFAP 2 commodity eligibility and other matters of general applicability that are not in response to, or result from, an individual set of facts in an individual participants application for payment are not matters that can be appealed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The application period will be ongoing until the deadline to be announced by FSA. All required supporting documentation must be submitted to the applicant's FSA county office no later than 60 days from the date the applicant signs their CFAP 2 application. There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

If requested, applicants must submit documentation to verify the information certified on their application. Applicants must retain documentation in support of the application for 3 years after the date of approval.

Account Identification:

12-0115-0-1-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$1,115,098,112.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Producers are subject to a \$250,000 payment limitation. A legal entity (corporation, limited liability company, limited partnership, trust, or estate) may receive more than \$250,000 in CFAP 2 payments if the applicant, under penalty of perjury, certifies that two or three members, partners, stockholders, beneficiaries, or heirs of the legal entity each provide at least 400 hours of active personal management or personal active labor. The legal entity may be eligible to receive up to \$500,000 if two members, partners, stockholders, beneficiaries, or heirs provide active personal labor or management, or up to \$750,000 if three or more members, partners, stockholders, beneficiaries, or heirs provide active personal labor or management.

TAFS Codes:

12-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 9, subparts A and C. Procedural handbooks are available in county FSA offices.

Regional or Local Office:

None.

Headquarters Office:

Kimberly Graham,
1400 Independence Ave., SW,
Washington DC 20250
Email: kimberly.graham@usda.gov
Phone: 2027206825
Fax:

Website Address:

<https://www.farmers.gov/>

RELATED PROGRAMS:

10.130 Coronavirus Food Assistance Program (CFAP);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.133 Quality Loss Adjustment Program

QLA Program,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Public Law 116-94

OBJECTIVES:

The Quality Loss Adjustment (QLA) Program provides assistance to producers who suffered crop quality losses due to hurricanes, excessive moisture, floods, drought, tornadoes, typhoons, volcanic activity, snowstorms, and wildfires occurring in calendar years 2018 and 2019.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Unrestricted use.

Applicant Eligibility:

State; Individual/Family; Profit organization; Agriculture producers are eligible to apply.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Producers must provide documentation to support the information on their application. A complete application includes the required documentation and FSA-898, QLA Application; FSA895, Crop Insurance and/or NAP Coverage Agreement; and FSA-899, Historical Nutritional Value Weighted Average Worksheet (if applicable). If not already on file with FSA, producers must also file FSA-578, Report of Acreage; CCC-902, Farm Operating Plan for Payment Eligibility 2009 and Subsequent Program Years; CCC-941 Average Adjusted Gross Income (AGI) Certification and Consent to Disclosure of Tax Information; CCC-942 Certification of Income from Farming, Ranching and Forestry Operations (if applicable); and AD-1026, Highly Erodible Land Conservation (HELIC) and Wetland Conservation Certification. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The assistance will be approved and awarded by FSA to eligible producers. Payments to eligible producers will be issued after the application period has ended. If applications exceed the amount of funding available, FSA will prorate payments by a national factor.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The application period will be January 6, 2021, through March 5, 2021. FSA-578, FSA-895, FSA-899 (if applicable), and documentation of losses must be submitted to the applicant's FSA county office no later than 14 days from the application deadline. CCC-902, CCC-941, CCC-942 (if applicable), and AD-1026 must be

ASSISTANCE LISTINGS DESCRIPTIONS

submitted to the applicant's FSA county office no later than 60 days from the date the applicant signs their QLA Program application. There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. The producer must maintain any books, records, and accounts supporting the information for 3 years following the end of the year during which the request for payment was submitted.

Records:

Account Identification:

12-2701-0-1-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 Not Available; FY 21 est \$400,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Producers are subject to a \$250,000 payment limit for each crop year that was affected (2018, 2019, and 2020), up to a total of \$500,000 in QLA Program payments per producer for all crop years combined.

TAFS Codes:

12-2701.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 760 Subpart R

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Program Manager Jennifer Fiser,
1400 Independence Ave., SW,
Washington DC 20250
Email: Jennifer.Fiser@usda.gov
Phone: 2027209882
Fax:

Website Address:

<https://www.farmers.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.136 American Rescue Plan Act of 2021 Loan Payment

ARPA,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title American Rescue Plan Act of 2021, Part Title 1, Section 1005

OBJECTIVES:

The American Rescue Plan Act of 2021 Loan Payment (ARPA) will provide financial assistance to eligible racial or ethnic farmers and ranchers to recover from the negative impacts of the COVID-19 pandemic.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The majority of payment funds must be used to payoff the balance on the associated loan account. Payment funds exceeding the loan balance may be used by the borrower as necessary, including to cover the tax liability associated with the payment.

Applicant Eligibility:

Minority group; Borrowers and co-borrowers of outstanding FSA direct and guaranteed loan debt as of January 1, 2021 must be reflected as a racial or ethnic minority in FSA records. An application is not available for this assistance.

Payments are limited to those existing direct and guaranteed FSA loan borrowers who had debt outstanding as of January 1, 2021 and are a racial or ethnic minority.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

As an applicant does not need to apply for this program benefit, no credentials or documentation are required from a recipient. Those eligible for an APRA payment are already known by FSA as they are existing loan customers who previously underwent an extensive application process to originally obtain a loan from FSA.

As part of the loan application process, customers voluntarily submit their racial and ethnic demographic identification, which FSA records internally. These records are relied upon for FSA to determine the potential recipients of an ARPA payment. At any time, existing loan customers are also able to update demographic data by contacting the FSA and reporting updated information using form AD-2047. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As an applicant does not need to apply for this program benefit, no Credentials or documentation are required from a recipient. Those eligible for an APRA payment are already known by FSA as they are existing loan customers who previously underwent an extensive application process to originally obtain a loan from FSA. As part of the loan application process, customers voluntarily submit their racial and ethnic demographic identification, which FSA records internally. These records are relied upon for FSA to determine the potential recipients of an ARPA payment.

Award Procedure:

Payments will be processed and verified by multiple FSA staff to ensure accurate calculations and offers are distributed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Payment offers are anticipated to be provided 30-60 days after publication of program guidance.

Appeals:

Other. Eligible borrowers who receive a payment offer may elect to meet with FSA to discuss payment calculations. If there is disagreement regarding the payment, appeal rights will be provided.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is currently no end date to this program. If an end date is established, it will be publicly announced and eligible borrowers will be provided 30 days advanced notice. Eligible borrowers will be notified in writing of payment offers with funds delivered electronically when possible. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

12-0172-1-1-351.

Obligations:

Direct Payments for Specified Use FY 20 Not Available; FY 21 est \$735,032,704.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-0172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is anticipated that approximately thirty-thousand FSA direct and guaranteed loans may be eligible for payment under ARPA.

REGULATIONS, GUIDELINES, AND LITERATURE:

American Rescue Plan Act of 2021 Title 1 section 1005

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at: www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Lee Nault, Loan Servicing and Property Management Division, FSA, DAFLP, 1400 Independence Ave SW, Washington DC 20250-0520
Email: Lee.Nault@usda.gov
Phone: 202-720-4671
Fax: 202-692-4106

Website Address:

<https://www.farmers.gov/americanrescueplan>

RELATED PROGRAMS:

10.099 Conservation Loans ; 10.404 Emergency Loans; 10.406 Farm Operating Loans; 10.407 Farm Ownership Loans; 10.056 Farm Storage Facility Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.137 Pandemic Assistance for Timber Harvesters and Haulers (PATHH) Program

PATHH.

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Title The Consolidated Appropriations Act 2021, Part 116, Section 260

OBJECTIVES:

PATHH provides direct financial assistance to timber harvesters and/or haulers who experienced a 10 percent or greater gross revenue loss from January 1, 2020 through December 1, 2020 compared to January 1, 2019 through December 1, 2019.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Unrestricted use. Assistance will be used by producers and handlers who incurred costs of highly erodible land conservation and wetland certification during the COVID-19 pandemic.

Applicant Eligibility:

Profit organization; Federally Recognized Indian Tribal Governments; Individual/Family; Applicants must be a citizen of the United States; resident alien; partnership of citizens of the United States; corporation, limited liability company, or other organizational structure organized under State law; or Indian Tribe or Tribal organization who meets all requirements of 7 CFR part 1400. Applicants must have had a share in the eligible commodity. Applicants must have 50 percent or more of its gross revenue derived from timber harvesting and/or timber hauling between January 1 and December 1, 2019 and January 1 and December 1, 2020.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants must submit an application and additional required forms, including a highly erodible land conservation and wetland certification if not already on file with FSA. FSA may request that applicants submit verifiable inventory/production records to substantiate the information on their application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a complete PATHH application to their FSA county office. All required supporting documentation must be submitted to their FSA county office no later than 60 days from the date a producer signs the application. If required documentation is not submitted within the 60 days, the application will not be processed and will not be acted on by USDA.

Award Procedure:

The assistance will be approved and awarded by FSA to eligible applicants. An initial payment is expected to be made to eligible applicants once applications are processed, and a final payment will be made to eligible applicants once the program signup period has ended.

Deadlines:

Producers must apply for PATHH by October 15, 2021. All required supporting documentation must be submitted to the applicant's FSA county office no later than 60 days from the date the applicant signs their PATHH application.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

From 15 to 30 days. Applicants may request reconsideration of a decision by the decision authority or appeal such decisions to a higher authority including the county and State FSA committees and the National Appeals Division. Appeal regulations in 7 CFR parts 11 and 780 apply. PATHH commodity eligibility and other matters of general applicability that are not in response to, or result from, an individual set of facts in an individual participants application for payment are not matters that can be appealed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The application period will be July 22, 2021 through October 15, 2021. All required supporting documentation must be submitted to the applicant's FSA

ASSISTANCE LISTINGS DESCRIPTIONS

county office no later than 60 days from the date the applicant signs their PATHH application. There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

If requested, applicants must submit documentation to verify the information certified on their application. Applicants must retain documentation in support of the application for 3 years after the date of approval.

Account Identification:

12-0115-0-1-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 Not Available; FY 21 est \$200,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

A person or legal entity, including joint ventures and general partnerships, cannot receive, directly, payments of more than \$125,000 under PATHH. Eligible applicants must have 50 percent or more of its gross revenue derived from timber harvesting and/or timber hauling between January 1 and December 1, 2019 and January 1 and December 1, 2020.

TAFS Codes:

12-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Funds Availability (NOFA). Procedural notices are available in county FSA offices.

Regional or Local Office:

None.

Headquarters Office:

Jody Kenworthy,
1400 Independence Ave., SW,
Washington DC 20250
Email: Jody.Kenworthy@usda.gov
Phone: 2026905230
Fax:

Website Address:

<https://www.farmers.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.138 Pandemic Livestock Indemnity Program

PLIP,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Chapter 1, Pub. L. 116260, Title Consolidated Appropriations Act, 2021, Part Division N, Title VII., Section Subtitle B,

OBJECTIVES:

The Pandemic Livestock Indemnity Program (PLIP) provides direct financial assistance to livestock owners for losses of livestock and poultry depopulated from March 1, 2020, through December 26, 2020, due to insufficient processing access

during the COVID-19 pandemic, and for the cost of depopulation and disposal.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Assistance will be used by livestock and poultry owners who depopulated animals and incurred costs for depopulation and disposal due to insufficient processing access during the COVID-19 pandemic.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Eligible applicants only persons or legal entities who, as of the day the eligible livestock or poultry was depopulated, had legal ownership of the livestock or poultry. Packers, live poultry dealers, and contract growers are not eligible for PLIP. Applicants must be a citizen of the United States; resident alien; partnership of U.S. citizens or of resident aliens; corporation, limited liability company, or other organizational structure organized under State law solely owned by U.S. citizens or resident aliens; or Indian Tribe or Tribal organization. Applicants must have had an ownership share in the eligible livestock or poultry. Applicants are subject to an average adjusted gross income limit of \$900,000.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants must submit an application and additional required forms, including a farm operating plan, an average adjusted gross income statement, and a highly erodible land conservation and wetland certification, if not already on file with FSA. FSA may request that applicants submit documentation to substantiate the information on their application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a complete PLIP application to their FSA county office. All eligibility documentation must be submitted to their FSA county office no later than 60 days from the date a producer signs the application. If required documentation is not submitted within the 60 days, the application will not be processed and will not be acted on by USDA. If requested by FSA, the applicant must provide supporting documentation to substantiate the information on their application and ownership of the livestock and poultry claimed on the application. Examples of supporting documentation that may be requested include veterinarian records, feeding records, inventory records, rendering receipts, purchase receipts, and other records determined acceptable by the relevant FSA county committee. If any supporting documentation is requested, the documentation must be submitted to FSA within 30 days from the request or the application will be disapproved by FSA.

Award Procedure:

The assistance will be approved and awarded by FSA to eligible livestock and poultry owners.

Deadlines:

Livestock and poultry owners must apply for PLIP by September 17, 2021. All required supporting documentation must be submitted to the applicant's FSA county office no later than 60 days from the date the applicant signs their PLIP application.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

From 15 to 30 days. Applicants may request reconsideration of a decision by the decision authority or appeal such decisions to a higher authority including the county and State FSA committees and the National Appeals Division. Appeal regulations in 7 CFR parts 11 and 780 apply. Matters of general applicability that are not in response to, or result from, an individual set of facts in an individual participants application for payment are not matters that can be appealed.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The application period will be July 20, 2021 through September 17, 2021. All required supporting documentation must be submitted to the applicant's FSA county office no later than 60 days from the date the applicant signs their PLIP application. There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

If requested, applicants must submit documentation to verify the information certified on their application. Applicants must retain documentation in support of the application for 3 years after the date of approval.

Account Identification:

12-0115-0-1-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 Not Available; FY 21 est \$325,570,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

Payments will be based on 80 percent of the market value of the livestock or poultry and depopulation and disposal costs. PLIP is not subject to a per-applicant payment limitation.

TAFS Codes:

12-0115.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is anticipated that FSA will receive approximately 1,270 PLIP applications and issue payments totaling approximately \$325,570,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program will be administered according to the Notice of Funds Availability published in the Federal Register. Procedural handbooks are available in county FSA offices.

Regional or Local Office:

None.

Headquarters Office:

Kimberly Graham,
1400 Independence Ave., SW,
Washington DC 20250
Email: kimberly.graham@usda.gov
Phone: 2027206825
Fax:

Website Address:

<https://www.farmers.gov/>

RELATED PROGRAMS:

10.130 Coronavirus Food Assistance Program (CFAP); 10.132 Coronavirus Food Assistance Program 2;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.145 Pandemic Assistance Block Grant

PABG,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

The Coronavirus Aid, Relief, and Economic Stability Act (CARES Act; Pub. L. 116-136).

OBJECTIVES:

The Pandemic Assistance Block Grant will help specific regions in the country where the pandemic has created vulnerabilities in the food supply chain and highlighted the importance of supporting critical food processing and packing infrastructure. The Coronavirus Aid, Relief, and Economic Stability Act (CARES Act; Pub. L. 116-136) provides funds to address those vulnerabilities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Unrestricted use.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Other public institution/organization; Other private institutions/organizations; Grantees may include the following: City or township governments County governments Native American tribal governments (Federally recognized) Native American tribal organizations (other than Federally recognized tribal governments) Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education Nonprofits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education Private institutions of higher education Public housing authorities/Indian housing authorities Special district governments State governments Public and State controlled institutions of higher education.

Beneficiary Eligibility:

Eligible producer receives the PABG benefit. An eligible producer is a person or legal entity that must be a citizen of the United States; resident alien, a resident alien means lawful alien as defined in 7 CFR part 1400; partnership consisting solely of citizens or resident aliens of the United States; or corporation, limited liability corporation, or other farm organizational structure organized under State law consisting solely of citizens or resident aliens of the United States.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Project awarded under this assistance listing are non-competitive. The awarding agency will provide application requirements to potential applicants once eligible pools of applicants are identified. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The assistance will be approved and awarded by FSA to grantee and the terms must be followed as listed in the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

There are no restrictions placed on the time permitted to spend the money awarded except as specified in the terms of the grant agreement. Method of awarding/releasing assistance: lump sum.

Reports:

The grantee must submit performance progress reports monthly by close of business on the first Wednesday of each month for the previous month that include following information for the current month and cumulatively, 1. The number of applications; 2. The number of applications approved; 3. The amount of dollars disbursed; 4. The number of reviews conducted; 5. Administrative expenditures; and 6. Significant developments including, a. Problems, delays, or adverse conditions; and estimated time frames as to when these "significant developments" will be resolved and who is responsible for resolving them, and b. Favorable developments. The grantee must submit two SF-425 Financial Reports (one reflecting funds for producer payments and one reflecting funds for administrative expenses) on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The grantee must retain all records pertaining to the agreement in accordance with 2 CFR 200.333-337 and any additional requirements included in the agreement statement of work. The grantee must require eligible producers to retain financial and other records relating to grant funds for a period of 3 years after completion of the distribution of grant funds or until final resolution of any audit findings or litigation claims relating to the distribution of such funds, whichever is later.

Account Identification:

12-0115-0-1-351.

Obligations:

Formula Grants FY 20 Not Available; FY 21 est \$25,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

Grant awards may range from \$1 million to \$25 million.

TAFS Codes:

12-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through press releases, news media, and newsletters.

Regional or Local Office:

None.

Headquarters Office:

Jody Kenworthy,
1400 Independence Ave., SW,
Washington DC 20250
Email: Jody.Kenworthy@usda.gov
Phone: 2026905230
Fax:

Website Address:

<https://www.farmers.gov/>

RELATED PROGRAMS:

10.132 Coronavirus Food Assistance Program 2;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.147 Outreach Education and Technical Assistance

OETA,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Rural Development Act of 1972 (7 U.S.C. 2204b(b)(4) USC Code Section 607(b4)((4).

OBJECTIVES:

EOTA provides financial assistance to eligible recipients to perform outreach and technical assistance to improve the coordination and effectiveness of Federal programs, services, and actions affecting rural areas. The main goals are to (1) increase access and participation in FSA programs and services and (2) improve technical assistance to producers for FSA farm and farm loan programs with an emphasis on reaching socially disadvantaged, historically- underserved, beginning, and veteran producers .

TYPES OF ASSISTANCE:

Dissemination of Technical Information

USES AND USE RESTRICTIONS:

Except as otherwise indicated in specific Notices of Funding Opportunities under the program, assistance funds may be used for project costs in accordance with 2 CFR 200, Subpart E Cost Principles.

Applicant Eligibility:

Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Eligibility for this opportunity is limited to the following entity types: a. Native American tribal governments (Federally recognized) b. Native American tribal organizations (other than Federally recognized tribal governments) c. Nonprofits having a 501(c)(3) status with the IRS (other than institutions of higher education) d. Public and State-controlled institutions of higher education

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Additional restrictions may apply depending on the applicable FSA program for which outreach or technical assistance is provided.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Additional specific award procedures may be outlined in individual Notices of Funding Opportunities published on Grants.gov. However, procedures will generally follow the steps set out here. Once funding decisions are made, the agency may contact individual applicants to clarify certain components of their applications. Merit/technical reviews of all applications will be conducted by a technical review board nominated by the approving official. Risk reviews will be conducted by the FPAC Business Center, Grants and Agreements Division. The approving official will make the final award decisions. The approving official for this opportunity is the FSA Associate Administrator. Reviews will be conducted in a multi-phase review process in which an interagency staff panel from FPAC agencies will make recommendations to the approving official. Interagency reviewers will be selected based upon knowledge, skills, training and experience in relevant fields.

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Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding for this program is not cyclical. Funding opportunities will be announced as funding is available and as agency priorities dictate. Method of awarding/releasing assistance: other

Reports:

Recipients must submit SF-425, Federal Financial Report on an annual basis if a recipient selects reimbursement fund disbursement or on a quarterly basis if advance payments are requested. (Note, advance payments may be restricted based on the agency's risk review of applicants.) Recipients must provide the following to the agency: Quarterly performance reports that compare accomplishments to the objectives stated in the application, and that must also: Identify all tasks completed to date and provide documentation supporting the reported results (dates and locations of activities, county, city state; number of participants assisted, barriers to participation); If the original schedule provided in the work plan is not being met, discuss the problems or delays that may affect completion of the project; List objectives for the next reporting period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 This program is subject to 2 CFR 200, Subpart F - Audit Requirements.

Records:**Account Identification:**

12-0600-0-1-999. 12-0115-0-1-999. 12-1003-0-1-999.

Obligations:

Dissemination of Technical Information FY 20 \$0.00; FY 21 est \$2,000,000.00; and FY 22 Estimate Not Available; Dissemination of Technical Information FY 20 \$0.00; FY 21 est \$2,709,883.00; and FY 22 est \$0.00; Dissemination of Technical Information FY 20 Not Available; FY 21 est \$1,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

Because this is a new program, data for averages is not readily available. However, anticipated ranges fall between \$100,000 and \$1,000,000.

TAFS Codes:

12-0115. 12-0600. 12-1003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

J. Latrice Hill,
1400 Independence Ave., SW, Stop 0511 Washington, DC 20250-0511,
Washington DC 20250
Email: latrice.hill@usda.gov

Phone: 202-690-1700

Fax:

Website Address:

<https://www.fsa.usda.gov/outreach>

RELATED PROGRAMS:

10.051 Commodity Loans and Loan Deficiency Payments; 10.054 Emergency Conservation Program; 10.056 Farm Storage Facility Loans; 10.089 Livestock Forage Disaster Program; 10.110 Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program; 10.111 Tree Assistance Program; 10.112 Price Loss Coverage; 10.113 Agriculture Risk Coverage Program; 10.129 Wildfires and Hurricanes Indemnity Program Plus; 10.132 Coronavirus Food Assistance Program 2; 10.133 Quality Loss Adjustment Program; 10.171 Organic Certification Cost Share Programs; 10.406 Farm Operating Loans; 10.407 Farm Ownership Loans; 10.421 Indian Tribes and Tribal Corporation Loans; 10.451 Noninsured Crop Disaster Assistance Program; 10.136 American Rescue Plan Act of 2021 Loan Payment;

EXAMPLES OF FUNDED PROJECTS:

2021 This is a new program, so projects have not yet been funded. However, efforts covered by this program funding may include, but are not limited to, the following examples. Outreach may be delivered via educational workshops, online webinars, in-person meetings, and other community-level events with the goal of reaching new audiences and providing information about FSA programs. It could also include the development and distribution of informational materials. For instance, a recipient may develop a booklet that educates heirs on how to obtain a farm number from FSA and make it available during a workshop. The 2018 Farm Bill (Pub. L. 115-334; see <https://www.usda.gov/farmbill>) identified additional alternative ways for heirs to show control of the land without a deed. Extensive outreach is needed to educate heirs, particularly those in Uniform Partition of Heirs Property Act states, of how to obtain a farm number, which is needed to participate in all USDA programs. Technical assistance covers activities that directly help producers accomplish tasks to participate in FSA programs. It can include assistance provided in training workshops or one-on-one meetings with producers to help them complete forms and electronic applications. For instance, a project might sponsor periodic clinics in community spaces where producers can get help completing paperwork to apply to an FSA program or loan. It may also include developing tools that generally assist producers with such activities, such as developing a phone application that allows producers to easily apply for an FSA program.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened for completeness and compliance with the provisions of this notice. Incomplete, noncompliant, and/or applications not meeting the formatting criteria may be eliminated from competition. In that event, the agency will send notification of elimination to the applicant. An applicant must meet the following standards to be considered for award: a. Financial Stability. The applicant maintains an adequate financial resources or cash flow to meet its financial obligations on a routine basis in order to successfully complete any agreement it may be awarded. b. Quality of Management Systems and Ability to meet Management Standards prescribed in 2 CFR Part 200. The applicant has a financial management system adequate to segregate and track federal funds. It has adequate systems in place for proper agreement administration; compliance with the standards outlined in 2 CFR Part 200 Subpart D for procurement, property, and records management; and required financial and performance reporting. c. History of Performance. If the applicant has previously obtained Federal financial assistance award, it has never failed to materially comply with the Federal award terms and conditions and further that it has never had an award terminated on that basis. Submission of an application constitutes certification that an applicant meets these standards (items a. through c. above). The agency may request documentation to substantiate the certification. Based on risk assessment, the agency may impose specific award conditions in accordance with 2 CFR 200.208. Awards Over the Simplified Acquisition Threshold (if applicable) a. Prior to making a Federal award with a total Federal share greater than the simplified acquisition threshold (\$250,000), the agency must review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (the Federal Awardee Performance Integrity Information System, FAPIIS) (see 41 U.S.C. 2313 and 2 CFR 200.206(a)); b. An applicant may review information in FAPIIS accessible through SAM and comment on any information

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about it that a Federal awarding agency previously entered; c. The agency will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in 2 CFR 200.206, Federal awarding agency review of risk posed by applicants.

Additional selection criteria will be included in Notices of Funding Opportunities, as applicable.

10.153 Market News

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Cotton Statistics and Estimates Act, 7 U.S.C. 471- 473, 473b, 475-476; Tobacco Inspection Act, 7 U.S.C. 511h; Agricultural Marketing Act of 1946, 7 U.S.C. 1622k; Tobacco Statistics Act, 7 U.S.C. 501-508; Naval Stores Act, 7 U.S.C. 91-99; Cotton Futures Act, 7 U.S.C. 15b; Peanut Statistics Act, 7 U.S.C. 951-957; Food Security Act of 1985, as amended.,

OBJECTIVES:

To provide timely and accurate information on prices, demand, movement, volume, and quality on all major U.S. agricultural commodities to state departments of agriculture.

TYPES OF ASSISTANCE:

Information

USES AND USE RESTRICTIONS:

The information is used by State Departments of Agriculture as a basis for judging prevailing commodity values. Market News information is widely distributed through a variety of electronic sources, as well as fax.

Applicant Eligibility:

Government - General; State; State Departments of Agriculture may subscribe to existing market news reports or bulletins pertaining to specific agricultural commodities and markets.

Beneficiary Eligibility:

State; State Departments of Agriculture

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for Market News reports and information should be made to the appropriate commodity division of the AMS field office (see Appendix IV of the Catalog for listing of office locations).

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

As provided for in Cooperative agreements with states, normally on an annual basis. Method of awarding/releasing assistance: quarterly

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

Information FY 20 \$33,659,000.00; FY 21 est \$33,968,000.00; FY 22 est \$34,629,000.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

MB-40, "The Federal- State Market News Services;" MB-41, "Market News Service on Cotton;" MB-61, "The Market News Service on Fruits, Vegetables, Ornamental and Specialty Crops;" MB-44, "The Market News Service on Grain;" MB-63 "Market News Service on Dairy, MB-60 Market News Service and Poultry Products;" MB-43, "Market News Service for Tobacco and Naval Stores;" Annual Market Statistical Summaries are available for sale only through the AMS commodity divisions.

Regional or Local Office:

See Regional Assistance Locations. AMS field offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator Erin Morris,
1400 Independence Ave SW,
Washington DC 20250

Email: erin.morris@usda.gov

Phone: (202) 690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

10.950 Agricultural Statistics Reports; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.700 National Agricultural Library;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.155 Marketing Agreements and Orders

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Agricultural Marketing Agreement Act of 1937, as amended, 7 U.S.C. 601, 602, 608a-e, 612, 614, 624, 671-674; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203, 7 U.S.C. 4501- 4513; Agriculture and Food Act of 1981, 7 U.S.C. 2242a.,

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OBJECTIVES:

Marketing Agreements and Orders are designed to stabilize market conditions and improve returns to producers through the establishment of minimum prices, regulating the quality and quantity of commodities sold through commercial channels, and providing market promotion.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Provision of Specialized Services, Salaries and Expenses

USES AND USE RESTRICTIONS:

The provisions of orders and agreements are designed specifically to meet the marketing and economic problems of the commodity or area covered. For dairy products, the orders establish orderly marketing conditions in the sale of milk by dairy farmers to handlers, whereas fruit, vegetable, nut, and grass seed, are established to regulate the quantity and quality of products sold in commercial channels.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Marketing orders are issued by the Secretary of Agriculture only after a public hearing where milk, fruit and vegetable producers, marketers, and consumers testify, and after farmers vote approval through a referendum. Growers of certain fruits, vegetables, and specialty crops (like nuts, raisins, olives, and hops). Dairy farmers are the primary applicants.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Consumer; The beneficiaries are producers of milk, fruit and vegetable products.

Credentials/Documentation:

Evidence (usually hearings) required indicating that agreement or order will assist in the orderly marketing of a commodity. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Made in the form of a letter to the Secretary of Agriculture fully documenting the need for an agreement or order.

Award Procedure:

Decision to establish or not to establish an agreement and order is made by the Secretary of Agriculture after public hearings and referendums are conducted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Subject to time required to hold public hearings, investigations and to conduct a referendum. Approximately 1 year after start of public hearings.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Duration of agreement and order. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly and yearly financial and statistical reports are to be made to the applicable AMS commodity division by producers or handlers as provided for by each agreement and order. Quarterly and yearly financial and statistical reports are to be made to the applicable AMS commodity division by producers or handlers as provided for by each agreement and order.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Financial and program audits pertaining to marketing agreements and order transactions are made periodically.

Records:

Financial and statistical records relating to the operation of the agreement and order are to be maintained for 3 years.

Account Identification:

12-5209-0-2-605.

Obligations:

Salaries and Expenses FY 20 \$20,705,000.00; FY 21 est \$20,705,000.00; FY 22 est \$20,817,000.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-5209.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

AH-421, Compilation of Agricultural Marketing Agreement Act; PA947, Can a Marketing Order Help?; PA-1095, Marketing Agreements and Orders for Fruits and Vegetables; AMS-565, Sources of Milk for Federal Order Markets by State and County; AMS-559, Questions and Answers on Federal Milk Marketing Orders; MB-27, The Federal Milk Marketing Order Program; SB-625, Federal Milk Order Market Statistics, Annual Summary; SB-624, Dairy Market Statistics, Annual Summary; MB-63, Market News Service on Dairy Products; How to Buy Dairy Products, HG-201; How to Buy Cheese, HG-193; How to Buy Butter, HG-148; Dairy Products Grading Services, MB-69; Judging and Scoring Milk and Cheese, FB-2259.

Regional or Local Office:

None.

Headquarters Office:

Associate Administrator Erin Morris,
1400 Independence Ave, SW,
Washington DC 20250
Email: erin.morris@ams.usda.gov
Phone: 202-690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

36.001 Fair Competition Counseling and Investigation of Complaints; 10.051 Commodity Loans and Loan Deficiency Payments; 10.500 Cooperative Extension Service;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.156 Federal-State Marketing Improvement Program

FSMIP,

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title Agricultural Marketing Act of 1946, Section Section 204b, Agricultural Marketing Act of 1946 USC Code Section 204b, 7 U.S.C. 1623(B).

OBJECTIVES:

To assist in exploring new market opportunities for U.S. food and agricultural products and to encourage research and innovation aimed at improving the

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efficiency and performance of the U.S. marketing system.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

Proposals may deal with barriers, challenges or opportunities at any stage of the marketing chain including direct, wholesale, and retail. Proposals may involve small, medium or large scale agricultural entities but should potentially benefit multiple producers. Proprietary proposals that benefit one business or individual will not be considered. Proposals that address issues of importance at the State, regional or national level are appropriate for FSMIP. FSMIP also seeks unique proposals on a smaller scale that may serve as pilot projects or case studies useful as a model for others. Of particular interest are proposals that reflect a collaborative approach between the States, academia, the farm sector and other appropriate entities and stakeholders.

Applicant Eligibility:

U.S. Territories and possessions; State; State Departments of Agriculture, State Agricultural Experiment Stations and other appropriate State agencies including State universities, State colleges, and State government entities such as State departments of forestry, natural resources, or energy.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Consumer; State; Anyone/general public; Farmer/Rancher/Agriculture Producer, Consumer, State Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

A grant agreement is entered into between the AMS and the eligible entity that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted via Grants.gov in response to specific RFA. Please refer to the RFA for further details for submitting applications. The most current RFAs are available at www.ams.usda.gov/grants. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listing database.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a three-step process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this three-step process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in selecting competitively awarded grants. The Initial Qualification Screening is Step 1. In this step, each application is reviewed for overall completeness, as well as compliance with eligibility and program requirements as set forth in the RFA. Applications that met these basic requirements moved forward to Step 2. Step 2 is the Technical Review. Applications are evaluated by panels of external peer reviewers. Selected reviewers have diverse expertise representing various entities with programmatic knowledge. To eliminate bias, AMS assigns applications to reviewers outside of their own geographic areas and avoid project assignments associated with reviewer businesses. Each reviewer also signs a conflict of interest and confidentiality agreement. Reviewers that indicate a real or perceived conflict do not review the affected application(s) and are removed from those application discussions. The panels analyze each application using the RFAs evaluation criteria and instructions prepared by AMS. Individual reviewers evaluate and score their assigned applications and then confer with their team to derive a consensus review. The

consensus review serves as the basis for awarding grant funds and focuses on the applications strengths and weaknesses. The third step is the Administrative Review (Step 3). AMS conducts a final administrative evaluation of each application in the review panels top rankings and recommendations. In addition to the applications scores, each award is reviewed to ensure that the recommended applications align with the grant programs statutory purpose and that the budget items were allowable and necessary. AMS also assesses the applicants ability to account for the use of Federal funds and monitor the performance associated with these monies. Non-competitive grant programs implemented by AMS are limited to Step 3 in the application review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Eligible applicants should contact the headquarters office for application deadlines. Annual application deadlines are posted in Grants.gov. More information can be found at www.ams.usda.gov/grants.

Appeals:

Not Applicable. Not applicable.

Renewals:

Not Applicable. Awards are for 3 years in length. A one- year extension to complete the project may be requested.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are mandatory. This program has no statutory formula. Matching Requirements: Percent: 100%. MOE requirements are not applicable to this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are advanced or reimbursed. Applicants have up to 3 calendar years to use the funds. Method of awarding/releasing assistance: See www.ams.usda.gov/grants for grant agreement award terms and conditions to obtain information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Annual performance and financial reports are required. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For each year that a State receives a grant under this section, the State shall conduct an audit of the expenditures of grant funds by the State in accordance with 2 CFR 200, Subpart F- Audit Requirements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by AMS or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Project Grants (for specified projects) FY 20 \$1,235,000.00; FY 21 est \$1,235,000.00; FY 22 est \$1,235,000.00;

Range and Average of Financial Assistance:

(FY-18) \$69,290.00 to \$455,000 - Average: \$248,000. (FY-19) \$99,727 to \$378,415 - Average: \$240,000. (FY-20) \$275,000 to \$498,799 - Average: \$375,000.

TAFS Codes:

12-2501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FY 20 (est) Obligation: - Project Grants (for specified projects) Total \$1,000,000 \$25,000 to \$1,000,000. Average: \$148,000

REGULATIONS, GUIDELINES, AND LITERATURE:

An RFA is announced each fiscal year via www.grants.gov. Additional information about this program is available at www.ams.usda.gov/grants.

Regional or Local Office:

None.

Headquarters Office:

Martin Rosier,
1400 Independence Ave SW, Rm. 4543 Mail Stop 0234,
Washington DC 20250
Email: martin.rosier@usda.gov
Phone: 202-260-8449
Beverly Thompson,
1400 Independence Avenue, SW Room 4543, Mail Stop 0234, Washington, DC 20250,
Washington DC 20250
Email: Beverly.thompson@usda.gov
Phone: 202-260-8704
Fax:

Website Address:

<https://www.ams.usda.gov/services/grants/fsmip>

RELATED PROGRAMS:

10.174 Acer Access Development Program; 10.170 Specialty Crop Block Grant Program - Farm Bill;

EXAMPLES OF FUNDED PROJECTS:

2020 *Fiscal Year 2018: FSMIP projects funded in Fiscal Year (FY) 2018 can be found at www.ams.usda.gov/fsmip. *Fiscal Year 2019: FSMIP projects funded in Fiscal Year (FY) 2019 can be found at www.ams.usda.gov/fsmip. *Fiscal Year 2020: FSMIP funded \$1 million to applications in FY2020. FSMIP projects funded in Fiscal Year (FY) 2020 can be found at www.ams.usda.gov/fsmip.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are within guidelines established for the program as described in the RFA. The most current RFA is available via Grants.gov or www.ams.usda.gov/grants.

10.162 Inspection Grading and Standardization

(Agricultural Fair Practices Act),

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1622a-J, 1-n; Cotton Statistics and Estimates Act, 7 U.S.C. 473d; Cotton Futures Act, 7 U.S.C. 15b; Cotton Standards Act, 7 U.S.C. 51-65; Naval Stores Act, 7 U.S.C. 91-99; Tobacco Inspection Act, 7 U.S.C. 511 i-q; Wool Standards Act, 7 U.S.C. 415b-d; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C. 1031-1056; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 4501-4513; Tobacco Statistics Act, 7 U.S.C. 501-508; Food Security Act of 1985, as amended.,

OBJECTIVES:

To develop and apply standards of quality and condition for agricultural commodities; to participate and assist in the development of international agricultural standards; and to conduct quarterly inspections of egg handlers and hatcheries.

TYPES OF ASSISTANCE:

Provision of Specialized Services, Salaries and Expenses

USES AND USE RESTRICTIONS:

USDA grades are used by the owners of agricultural commodities and by dealers in these commodities to Hatcheries and shell egg handlers, except for producer-packers with an annual production from 3,000 hens or less packing for the retail consumer are required to be checked quarterly to determine the disposition of certain undergrade eggs.

Applicant Eligibility:

Profit organization; Any owner of or dealer in agricultural commodities who (a) has a financial interest in the commodity to be graded and (b) is located within the United States and its Territories. All hatcheries and shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located within the U.S. or its Territories.

Beneficiary Eligibility:

Small business; Buyers and sellers of agricultural commodities. Shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located in the U.S. or its Territories.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Request for grading of a commodity must be submitted to local AMS grading office in writing. Eligible hatcheries apply for registration by contacting: Chief, Poultry Grading Branch, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250.

Award Procedure:

Eligible hatcheries and shell egg handlers must complete registration forms.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. In cases in which applicants do not agree with the grade given, they may appeal for a review of the grade to the local AMS office.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Duration of agreements. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

12-2500-0-1-352. 12-8015-0-7-352.

Obligations:

Salaries and Expenses FY 20 \$166,732,000.00; FY 21 est \$167,244,000.00; FY

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22 est \$170,075,000.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-2500. 12-8015.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 26-32, 41-70, 160- 171, 205; AH-533, "USDA Standards for Food and Farm Products;" Marketing Bulletin No. 37, "The Story of Cotton;" "Annual Report on Tobacco Statistics, AH-566, The Classification of Cotton;" Misc. Pub. No. 867, "Tobacco in the U.S.;" quarterly report-- "Tobacco Stocks Reports as of Jan. 1, April 1, July 1, and October 1;" MS-82, "Cotton Testing Service;" AMS-180 MB-41, "Market News Service on Cotton;" MB-43, 7 CFR 2851, 2852, 2853, 2855, 2856, 2858, 2870, 28171; AH-341, "USDA Standards for Food and Farm Products." AH-31, "Poultry Grading Manual." AH-75, "Egg Grading Manual." Egg and Egg Products Inspection Regulations (7 CFR Part 2859); POB-FL-33, Tobacco Market Review for Flue-cured; POB-LA-34, Tobacco Market Review for Burley; POB-LA-33, Tobacco Market Review for Fire and Dark Air.

Regional or Local Office:

See Regional Assistance Locations. Local Agricultural Marketing Service inspection, grading, and classing offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator Erin Morris,
1400 Independence Ave. SW,
Washington DC 20250
Email: Erin.morris@usda.gov
Phone: 202-690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

10.500 Cooperative Extension Service;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.163 Market Protection and Promotion

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1621-1627, 7 U.S.C. 2101-2119; Federal Seed Act, 7 U.S.C. 1551-1611; National Wool Act of 1954, as amended, 7 U.S.C. 1787; Agricultural Fair Practices Act, 7 U.S.C. 2301-2306; Capper Volstead Act, 7 U.S.C 291-292; Organic Foods Production Act of 1990, 7 U.S.C. 6501-6522; Agriculture Improvement Act of 2018, Hemp Production, Part 990, Section 10113, Public Law 115 - 334, Title , Part , Section , Public Law

OBJECTIVES:

The Federal Seed Program prevents mislabeled seed from moving in interstate commerce by providing for random sampling of seeds to insure accurate labeling of seed characteristics. The Pesticide Data Program works with participating States to collect and analyze data on pesticide use and residue levels for selected commodities in the nation's food supply. This program provides statistically based data to Federal agencies for use in making policy decisions for regulatory and educational purposes.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Provision of Specialized Services, Salaries and Expenses, Training

USES AND USE RESTRICTIONS:

Assistance provided to assure fair and open marketing distribution system for agricultural products.

Applicant Eligibility:

Anyone/general public; Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State, trade associations, and universities may be eligible for cooperative agreements.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Farmer/Rancher/Agriculture Producer; State; Public nonprofit institution/organization; Other public institution/organization; Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service.

Credentials/Documentation:

As provided for in applicable Acts as referred to in the Authorization Section above. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Procedures vary as provided for in applicable Acts. Contact appropriate area offices as listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. As provided for in applicable Acts.

Renewals:

Other. As provided for in applicable Acts.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As provided in agreements. Method of awarding/releasing assistance: other
Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

As provided for in applicable Acts.

Account Identification:

12-2500-0-1-352.

Obligations:

Salaries and Expenses FY 20 \$54,732,000.00; FY 21 est \$57,578,000.00; FY 22 est \$57,937,000.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

PA-655 "Export Fruit Acts-Questions and Answers," AMS-592, Facts About Naming and Labeling Varieties of Seed," " requirements under the Federal Seed Act for Labeling treated Seed," 7 CFR 33-35, 101- 151,201-202; PA-1005, "Farmers Rights Under the Agricultural Fair Practices Act."

Regional or Local Office:

See Regional Assistance Locations. Regional and local offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator Erin Morris,
1400 Independence Ave SW,
Washington DC 20250
Email: erin.morris@usda.gov
Phone: 202-690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

10.155 Marketing Agreements and Orders; 36.001 Fair Competition Counseling and Investigation of Complaints;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.164 Wholesale Farmers and Alternative Market Development

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Agricultural Marketing Act of 1946.,

OBJECTIVES:

To provide technical assistance to States and municipalities interested in creating or upgrading markets and marketing facilities.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Training

USES AND USE RESTRICTIONS:

Assistance provided in cooperation with other government agencies and private industry to reduce marketing costs.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Other public institution/organization; Profit organization; Other government agencies and private industry. State, trade associations, universities, and other nonprofit organizations are eligible to apply for cooperative agreements.

Beneficiary Eligibility:

Federal; Anyone/general public; State; Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, or OMB Circular No. A-21 for Educational Institutions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA. The standard application forms as furnished by the Federal agency must be used for this program.

Award Procedure:

As provided for in the Act.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. As provided for in the Act.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As provided for in agreements. Method of awarding/releasing assistance: quarterly

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

As provided for in the Agreement.

Account Identification:

12-2500-0-1-352.

Obligations:

Advisory Services and Counseling FY 20 \$5,246,000.00; FY 21 est \$5,279,000.00; FY 22 est \$5,340,000.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Transportation and Marketing Programs Karla Whalen,
1400 Independence Ave SW,
Washington DC 20250
Email: Karla.Whalen@usda.gov
Phone: 2026901300
Fax:

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.165 Perishable Agricultural Commodities Act

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Perishable Agricultural Commodities Act of 1930, as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497.,

OBJECTIVES:

To reduce unfair and fraudulent practices in the marketing of perishable agricultural commodities in interstate and foreign commerce. To prevent the destruction or dumping, without good and sufficient cause of farm produce received in interstate commerce by commission merchants and others and to require them truly and correctly to account for all farm produce received by them.

TYPES OF ASSISTANCE:

Investigation of Complaints

USES AND USE RESTRICTIONS:

Assistance provided to assure a fair and open marketing distribution system for agricultural products. No specific restrictions.

Applicant Eligibility:

Individual/Family; Profit organization; Business and industry or individuals may apply for a PACA license.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Business and industry or individuals may apply for a PACA license.

Credentials/Documentation:

As provided for in the Perishable Agricultural Commodities Act, 1930 as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact appropriate area offices listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. As provided for in applicable Acts.

Renewals:

Other. As provided for in applicable Acts.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. As provided for in applicable Acts.

Records:

As provided for in applicable Acts.

Account Identification:

12-5070-0-2-352.

Obligations:

Investigation of Complaints FY 20 \$10,896,000.00; FY 21 est \$10,935,000.00; FY 22 est \$11,099,000.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-5070.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Perishable Agricultural Commodities Act of 1930, and Regulations (other than rules of practice).

Regional or Local Office:

See Regional Assistance Locations. Regional and local offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator Erin Morris,

1400 Independence Ave SW,

Washington DC 20250

Email: erin.morris@usda.gov

Phone: 202-690-4024

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

36.001 Fair Competition Counseling and Investigation of Complaints;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.167 Transportation Services

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

OF

AUTHORIZATION:

Agricultural Marketing Act of 1946, , 7 USC Code 1621. Rural Development Act of 1972, , 7 USC Code 2662-2663. Agricultural Adjustment Act of 1938, , 7 USC Code 1281 et seq.. Agricultural Trade and Assistance Act of 1954, , 7 USC Code 1427,1431,1691. International Carriage of Perishable Foodstuff Act of 1982, , Cooperative Marketing Act of 1926, , 7 USC Code 291-292.

OBJECTIVES:

The Transportation Services program develops and promotes efficient agriculture transportation systems to help improve farm income, expand exports and meet the needs of rural America. The program provides technical and administrative direction, coordination, and leadership in the development and execution of agricultural transportation policies. Transportation Services provides a basis for Federal-State decision-makers in regulatory, policy and legislative matters in order to assure the transportation needs of rural communities and agriculture.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Training

USES AND USE RESTRICTIONS:

Assistance provided where it is determined that there is a significant regional or policy issue with potentially broad implications.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher

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education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State, trade associations, universities, and nonprofit organizations may be eligible for cooperative agreements.

Beneficiary Eligibility:

Anyone/general public; State; Producers, processors, and general public.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No formal application procedure specified; informal correspondence or personal contact is permissible. Contact Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As provided for in agreements. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

Advisory Services and Counseling FY 20 \$3,929,000.00; FY 21 est \$3,959,000.00; FY 22 est \$4,019,000.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Transportation and Marketing Programs Karla Whalen, 1400 Independence Ave SW, Washington DC 20250

Email: Karla.Whalen@usda.gov

Phone: (202) 690-1300

Website Address:

<http://www.ams.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.168 Farmers Market Promotion Program

FMPP,

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 5 of the Farmer-to-Consumer Direct Marketing Act of 1976, Public Law 94-463, as amended by Section 10003 of the Agricultural Act of 2014, Public Law 113-79., , Public Law 113-79

OBJECTIVES:

To increase domestic consumption of and access to locally and regionally produced agricultural products, and to develop new market opportunities for farm and ranch operations serving local markets, by developing, improving, expanding, and providing outreach, training, and technical assistance to, or assisting in the development, improvement, and expansion of domestic farmers markets, roadside stands, community-supported agriculture programs, agri-tourism activities and other direct producer-to-consumer market opportunities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Two types of applications will be accepted under the Program: Capacity Building (CB) and Community Development, Training, and Technical Assistance (CDTTA) grants. Applicants cannot be awarded both grant types within the same grant cycle. CB projects are intended to assist applicants in the development, improvement, and expansion of domestic farmers markets, roadside stands, CSA programs, agritourism activities, and other direct producer-to-consumer market opportunities. CB projects should demonstrate a direct benefit to farm and ranch operations serving local markets (including new and beginning farmers) and maximize the involvement of farmers and ranchers and community organizations. CDTTA projects are intended to support applicants efforts to provide outreach, training, and technical assistance to farm and ranch operations serving local markets and other interested parties for developing, improving, and expanding of domestic farmers markets, roadside stands, CSA programs, agritourism activities, and other direct producer-to-consumer market opportunities. CTA projects should engage a diverse set of local and regional foods stakeholders, including farmers and ranchers, to illustrate a substantive effect on the local and regional food system and stakeholders. Eligible entities may not use grant funds for the purchase, construction, or rehabilitation of a building or structure.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Agricultural cooperatives, local governments, nonprofit corporations, producer networks, producer associations, community supported agriculture networks, community supported agriculture associations, public benefit corporations, economic development corporations, regional farmers market authorities, and Tribal governments. Projects and applicants must be owned, operated, and located within the 50 States, the District of Columbia, and the U.S. territories (American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and U.S. Virgin Islands).

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Local: Projects that benefit producers, direct marketing enterprises, and consumers.

Credentials/Documentation:

A grant agreement is entered into between the administering Federal agency and the State agency that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. Applicants must submit forms SF-424, and SF-424B, an eligibility statement, written proof of eligibility, critical resources and infrastructure documentation, a narrative description of the proposal; a supplemental budget summary, and any supporting documents. Letters of commitment may be required as applicable.

Award Procedure:

The requests are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Recipients are notified of the amount awarded by email. Grant payments are made by electronic fund transfer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Annual application deadlines are posted in Grants.gov and on the programs website at <https://www.ams.usda.gov/services/grants/fmpp>. Funds are awarded by September 30 of each federal fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Awards are generally for three years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant payments are made on an as-needed reimbursable basis over the grant period. Advance payments may be requested. Method of awarding/releasing assistance: other

Reports:

Annual performance reports and financial reports are required. A final performance report and a final financial report are required within 90 days after the expiration date of the grant period. The details of the reporting requirements are included in the award terms and conditions. Annual financial reports (SF-425) are required. A final SF-425 is required within 90 days after the expiration date of the grant period. The details of the reporting requirements are included in the award terms and conditions. AMS uses a variety of monitoring tools to ensure compliance with the legislated authority of the program and 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR part 200, Subpart F - Audit requirements apply.

Records:

Recipients must retain financial and other records relating to grant for a period of

three years after the final Federal Financial Report (SF-425) is received by the Agricultural Marketing Service or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2500-0-1-352.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Capacity Building (CB) - \$50,000-\$250,000 Community Development, Training, and Technical Assistance (CDTTA) - \$250,000-500,000

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for proposals is announced each year via Federal Register notice, www.grants.gov, and the program web site. Additional information about the Local Food Promotion Program is available at the program web site at www.ams.usda.gov/fmpp.

Regional or Local Office:

None.

Headquarters Office:

USDA FMPP,
1400 Independence Ave SW,
Washington DC 20250
Email: USDAFMPPQuestions@ams.usda.gov
Phone: 202-720-8317

Website Address:

<http://www.ams.usda.gov/FMPP>

RELATED PROGRAMS:

10.172 Local Food Promotion Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project selection is based on several factors, including how well the proposed project will accomplish the Program objectives, quality of proposal, and impact on project beneficiaries. Projects are peer review, scored and ranked by subject matter experts against the evaluation criteria in the grant program Request for Applications(RFA). Eligible Promise Zone applicants will receive five priority points as a component of the criteria used to evaluate applications.

10.170 Specialty Crop Block Grant Program - Farm Bill

Specialty Crop Block Grant Program (SCBGP) or Specialty Crop Multi-State Program (SCMP),

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 101 of the Specialty Crops Competitiveness Act of 2004 (7 U.S.C. 1621 note) and amended under section 10107 of the Agriculture Improvement Act of 2018, Public Law 115-334 (the Farm Bill), , Public Law 115-334

OBJECTIVES:

The U.S. Department of Agriculture (USDA) Agricultural Marketing Service (AMS) implements the Specialty Crop Block Grants through the Specialty Crop Block Grant Program (SCBGP) and the Specialty Crop Multi-State Program (SCMP) to enhance the competitiveness of specialty crops. Specialty crops are defined as fruits, vegetables, tree nuts, dried fruits, horticulture, and nursery crops (including floriculture). The SCBGP issues non-competitive, formula grants to

ASSISTANCE LISTINGS DESCRIPTIONS

state departments of agriculture for the purposes of leveraging efforts to market and promote specialty crops; assisting producers with research and development relevant to specialty crops; expanding availability and access to specialty crops; and addressing local, regional, and national challenges confronting specialty crop producers. SCMP competitively awards funds for collaborative, multi-state projects that address the following regional or national level specialty crop issues: food safety, plant pests and disease, research, crop-specific projects addressing common issues, and marketing.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Projects must enhance the competitiveness of specialty crops.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Eligible entities for the SCBGP include the State department of agriculture, agency, commission, or department of a State government responsible for agriculture within any of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands. Eligible entities for SCMP are the same; however, non-Federal entities residing in nonparticipating States may apply for, compete, and if merited receive a grant directly from AMS.

Beneficiary Eligibility:

State; Producers, processors, growers, state agencies, beginning and socially disadvantaged farmers, and general public.

Credentials/Documentation:

A grant agreement is entered into between the AMS and the eligible entity that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted via Grants.gov in response to the specific RFA. Please refer to the RFA for further details for submitting applications. The most current RFAs are available at www.ams.usda.gov/grants. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listing database.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a three-step process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this three-step process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in selecting competitively awarded grants. The Initial Qualification Screening is Step 1. In this step, each application is reviewed for overall completeness, as well as compliance with eligibility and program requirements as set forth in the RFA. Applications that met these basic requirements moved forward to Step 2. Step 2 is the Technical Review. Applications are evaluated by panels of external peer reviewers. Selected reviewers have diverse expertise representing various entities with programmatic knowledge. To eliminate bias, AMS assigns applications to reviewers outside of their own geographic areas and avoid project assignments associated with reviewer

businesses. Each reviewer also signs a conflict of interest and confidentiality agreement. Reviewers that indicate a real or perceived conflict do not review the affected application(s) and are removed from those application discussions. The panels analyze each application using the RFAs evaluation criteria and instructions prepared by AMS. Individual reviewers evaluate and score their assigned applications and then confer with their team to derive a consensus review. The consensus review serves as the basis for awarding grant funds and focuses on the applications strengths and weaknesses. The third step is the Administrative Review (Step 3). AMS conducts a final administrative evaluation of each application in the review panels top rankings and recommendations. In addition to the applications scores, each award is reviewed to ensure that the recommended applications align with the grant programs statutory purpose and that the budget items were allowable and necessary. AMS also assesses the applicants ability to account for the use of Federal funds and monitor the performance associated with these monies. Non-competitive grant programs implemented by AMS are limited to Step 3 in the application review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Eligible applicants should contact the headquarters office for application deadlines. Annual application deadlines are posted in Grants.gov. More information can be found at www.ams.usda.gov/grants.

Appeals:

Other. No formal appeal procedure.

Renewals:

Other. Awards are for 3 calendar years.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are advanced or reimbursed. Applicants have up to 3 calendar years to use the funds. Method of awarding/releasing assistance: other

Reports:

Annual performance and financial reports are required. A final performance and financial report are required within 90 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. A final performance and financial report are required within 120 days after the expiration date of the grant period. Annual performance and financial reports are required. A final performance and financial report are required within 120 days after the expiration date of the grant period. Annual performance and financial reports are required. A final performance and financial report are required within 90 days after the expiration date of the grant period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For each year that a State receives a grant under this section, the State shall conduct an audit of the expenditures of grant funds by the State in accordance with 2 CFR 200, Subpart F- Audit Requirements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by the Agricultural Marketing Service or until final resolution of any audit findings or litigation claims relating to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

12-2501-0-1-352.

Obligations:

Project Grants FY 20 \$5,000,000.00; FY 21 est \$105,000,000.00; FY 22 est \$5,000,000.00; Formula Grants FY 20 \$74,985,000.00; FY 21 est \$75,115,000.00; FY 22 est \$75,115,000.00;

Range and Average of Financial Assistance:

As provided for in the applicable request for applications.

TAFS Codes:

12-2501.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

An RFA is announced each fiscal year via www.grants.gov. Additional information about the SCBGP and SCMP is available at www.ams.usda.gov/grants.

Regional or Local Office:

None. USDA AMS SCBGP1400 Independence Ave SW, RM 2055, Stop 0201, Washington, DC 20250 SCBlockGrants@usda.gov 202-260-8702 Website: <http://www.ams.usda.gov/scbgp> USDA AMS SCMP1400 Independence Ave SW, RM 2055, Stop 0201, Washington, DC 20250 Jarrett.Ponsolle@usda.gov 202-260-8449 Website: <https://www.ams.usda.gov/scmp>

Headquarters Office:

Carly Borgmeier,
1400 Independence Ave. SW Rm. 4534, Stop 0269,
Washington DC 20250
Email: carlym.borgmeier@ams.usda.gov
Phone: 202-260-8702
Martin Rosier- SCMP,
1400 Independence Ave SW, Rm. 4543 Mail Stop 0234,
Washington DC 20250
Email: martin.rosier@ams.usda.gov
Phone: 2022608449
Fax:

Website Address:

<https://www.ams.usda.gov/scmp>

RELATED PROGRAMS:

10.172 Local Food Promotion Program; 10.173 Sheep Production and Marketing Grant Program; 10.175 Farmers Market and Local Food Promotion Program; 10.176 Dairy Business Innovation Initiatives; 10.177 Regional Food System Partnerships; 10.179 Micro-Grants for Food Security Program; 10.521 Children, Youth and Families At-Risk; 10.310 Agriculture and Food Research Initiative (AFRI); 10.331 Food Insecurity Nutrition Incentive Grants Program; 10.309 Specialty Crop Research Initiative;

EXAMPLES OF FUNDED PROJECTS:

2020 \$5,000,000 \$5,000,000 \$72,488,000 \$77,488,000 2021 Fiscal Year 2021 It is anticipated that \$85 million will be available to fund applications in FY 2021. Enactment of additional Federal continuing resolutions or appropriations may affect the availability or level of funding for this program.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are within guidelines established for the program as described in the RFA. The most current RFA is available via Grants.gov or www.ams.usda.gov/grants.

10.171 Organic Certification Cost Share Programs

OCCSP,

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The Agricultural Management Cost Share Program is authorized under the Federal Crop Insurance Act (FICA) as amended., Title 7, Part 36, Section 1501-1524, 7 USC Code 1501-1524. The National Cost Share Program is authorized under 7 U.S.C. 7901 note, as amended by section 10004(c) of the Agriculture Act of 2014 (2014 Farm Bill), Title 7, Part 94, Section 7901, Public Law 113-79, 7 USC Code 7901. Title The Agriculture Improvement Act of 2018, Section 10105, Public Law 115-334

OBJECTIVES:

The objective of this program is to provide financial assistance to certified organic producers and handlers.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

The Organic Certification Cost Share Programs reimburse eligible producers and handlers for a portion of the costs of organic certification. Applicants may receive reimbursements of up to 50% of the costs of certification, with an annual maximum of \$500. State agencies (typically Departments of Agriculture) work with the USDA to administer the program. After entering into a grant agreement, the state agencies process applications for cost share funds from certified organic producers and handlers, and the USDA reimburses them. FSA will also process applications through FSA county offices. Applicants typically submit a one-page application form, along with proof of certification and an itemized invoice, to their States department of agriculture or an FSA county office.

Applicant Eligibility:

State; Individual/Family; State Agencies are eligible to establish an agreement to administer the program within their State. To be eligible for cost share payments, a producer or handler must possess USDA organic certification at the time of application and have paid fees/expenses related to its initial certification or renewal of its certification from a certifying agent. FSA county offices accept applications directly from producers and handlers.

Beneficiary Eligibility:

Individual/Family; Farmer/Rancher/Agriculture Producer; Rural; The eligible certified producer/handler receives the OCCSP benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The USDA will coordinate with State Agencies to determine budgetary allocations.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Organic producers and handlers may apply through their local administrative FSA county office or through a participating State Agency. Producers and handlers must file an application form and supporting documentation showing eligible certification costs by Oct. 31 of the applicable fiscal year. For State Agencies, the submission of a Standard Form 424 (Application for Federal Assistance) through Grants.Gov and the signing of a grant agreement with FSA is required.

Award Procedure:

Allocations are awarded to State Departments of Agriculture or their equivalents based on the number of certified organic operations in the State, as well as the history of participation in the cost share program by certified organic operations in that State. Payments to eligible operations will be calculated as 50 percent of the allowable costs incurred by an eligible operation, not to exceed a maximum of \$500 per certification scope.

Deadlines:

Producers and handlers who apply through FSA county offices must submit an application by Oct. 31 of the applicable fiscal year.

State Agencies that want to administer the program may apply for grant awards during a time period announced by FSA headquarters.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 1 to 15 days.

Appeals:

Other. Appeal regulations set forth in parts 11 and 780 of 7CFR apply to determinations on applications submitted to the Farm Service Agency by certified organic and operations.

Renewals:

Other. Grant agreements last one fiscal year in duration. Pending the availability of funds, State Agencies, may sign a new grant agreement for the ensuing fiscal year or, if applicable, extend existing agreements to provide funding for an additional year. FSA will notify participating State Agencies of the opportunity to extend existing agreements when available. .

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter 94, Part 7901, Subpart ,Public Law 113-79. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for each federal fiscal year through 2022. For producers who apply through FSA county offices, assistance is normally provided in a single payment following approval of the application. Participating State Agencies must disburse funds and finalize reports within 120 days after the end of the federal fiscal year. Funds are disbursed upon request, utilizing Standard Form 270, "Request for Advance or Reimbursement." Method of awarding/releasing assistance: lump sum.

Reports:

State Agencies must submit a final narrative report, which is to be no more than 2 pages. The report should cover:1. Description of the outreach activities carried out by the State and/or subrecipients, including the methods used to identify potential applicants, means of contact with potential applicants, and outreach materials for distribution, i.e. publications, announcements, press releases, etc.2. Description of problems or obstacles related to reimbursement, program administration, outreach, etc., during the reporting period.3. Explanation for any denial of requests for reimbursement. A final report is required, utilizing Standard Form 425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The program requires record of applicant by name, applicants' certification expenses, and the reimbursement paid to the applicants. In accordance with 7 CFR 3016.42, grant recipients should retain all records relating to the grant for a period of three years after the final Federal Financial Report been submitted to the Federal Agency, or until final resolution of any audit finding or litigation, whichever is later. Electronic records retention is acceptable.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments for Specified Use FY 20 \$13,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$0.00; Project Grants FY 20 Not Available; FY 21 Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Grant awards to participating State Agencies range from \$2,000 to \$3,500,000 annually. Payments made to individual producers and handlers range from \$37 to \$500 per eligible scope(category) of certification annually.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through press releases, news media, and newsletters. Guidelines and Regulations can be found at <http://www.fsa.usda.gov/programs-and-services/occp>.

Regional or Local Office:

None. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, information is available on the internet, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Kay Niner,
1400 Independence Ave., SW,
Washington DC 20250
Email: Kay.Niner@usda.gov
Phone: (202) 205-9354
Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/occp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.172 Local Food Promotion Program

LFPP,

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 5 of the Farmer-to-Consumer Direct Marketing Act of 1976, Public Law 94-463, as amended by Section 10003 of the Agricultural Act of 2014, Public Law 113-79, , Public Law 113-79

OBJECTIVES:

To increase domestic consumption of and access to locally and regionally produced agricultural products, and to develop new market opportunities for farm and ranch operations serving local markets, by developing, improving, expanding, and providing outreach, training, and technical assistance to, or assisting in the development, improvement, and expansion of local and regional food business enterprises (including those that are not direct producer-to-consumer markets). These businesses must process, distribute, aggregate, or store locally or regionally produced food products.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Two types of applications will be accepted under the Program: Planning and Implementation grants. Applicants cannot be awarded both grant types within the same grant cycle. Planning grants are used in the planning stages of establishing or expanding a local and regional food business enterprise. Eligible activities can include but are not limited to market research, feasibility studies, and business planning. Implementation grants are used to establish a new local and regional food business enterprise, or to improve or expand an existing local or regional food business enterprise. Eligible activities can include but are not limited to training and technical assistance for the business enterprise and/or for producers working with the business enterprise; outreach and marketing to buyers and consumers; and non-construction infrastructure improvements to business enterprise facilities or information technology systems. Eligible entities may not use grant funds for the purchase, construction, or rehabilitation of a building or structure.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals);

ASSISTANCE LISTINGS DESCRIPTIONS

Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Agricultural businesses and cooperatives, local governments, nonprofit corporations, producer networks, producer associations, community supported agriculture networks, community supported agriculture associations, public benefit corporations, economic development corporations, regional farmers market authorities, and Tribal governments. Projects and applicants must be owned, operated, and located within the 50 States, the District of Columbia, and the U.S. territories (American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and U.S. Virgin Islands.

Beneficiary Eligibility:

Anyone/general public; Local; Projects that benefit producers, local and regional food business enterprises, and consumers.

Credentials/Documentation:

A grant agreement is entered into between the administering Federal agency and the State agency that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200 and 2 CFR 400 applies to this program. Applicants must submit forms SF-424, and SF-424B, critical resources and infrastructure documentation, a narrative description of the proposal with budget summary, and any supporting documents. Letters of commitment may be required as applicable. Matching funds are required in the form of cash or an in-kind contribution in an amount equal to 25 percent of the total cost of the project.

Award Procedure:

The requests are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Recipients are notified of the amount awarded by email. Grant payments are made by electronic fund transfer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Annual application deadlines are posted in Grants.gov and on the programs website at www.ams.usda.gov/services/grants/lfpp. Funds are awarded by September 30 of each federal fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Planning projects are not to exceed 18 months. Implementation projects are not to exceed 36 months. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. This program does not have a statutory formula or MOE requirements. Matching Requirements: Percent: 25%. Matching funds are required in the form of cash or an in-kind contribution in an amount equal to 25 percent of the total cost of the project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant payments are made on an as-needed reimbursable basis over the grant period. Advance payments may be requested. Method of awarding/releasing assistance: other

Reports:

Annual performance reports and financial reports are required. A final performance report and a final financial report are required within 90 days after the expiration

date of the grant period. Annual performance reports are required. A final performance report is required within 90 days after the expiration date of the grant period. The details of the reporting requirements are included in the award terms and conditions. Annual financial reports (SF-425) are required. A final SF-425 is required within 90 days after the expiration date of the grant period. The details of the reporting requirements are included in the award terms and conditions. AMS uses a variety of monitoring tools to ensure compliance with the legislated authority of the program and 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR part 200, Subpart F - Audit requirements apply.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by the Agricultural Marketing Service or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2500-0-1-352.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

As provided for in the applicable request for applications. For planning grants, the minimum grant request is \$25,000; maximum grant request is \$100,000. For implementation grants, the minimum grant request is \$100,000; maximum grant request is \$500,000.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for proposals is announced each year via Federal Register notice, www.grants.gov, and program web site. Additional information about the Local Food Promotion Program is available at the program web site at www.ams.usda.gov/services/grants/lfpp.

Regional or Local Office:

Headquarters Office:

USDA LFPP,
1400 Independence Ave SW, RM 4543, Stop 0234,
Washington DC 20250
Email: USDALFPPQuestions@ams.usda.gov
Phone: 202-720-8713

Website Address:

<http://www.ams.usda.gov/lfpp>

RELATED PROGRAMS:

10.168 Farmers' Market Promotion Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project selection is based on several factors, including how well the proposed project will accomplish the Program objectives, quality of proposal, and impact on project beneficiaries. Projects are peer review, scored and ranked by subject matter experts against the evaluation criteria in the grant program Request for Applications (RFA). Eligible Promise Zone applicants will receive five priority points as a component of the criteria used to evaluate applications.

10.173 Sheep Production and Marketing Grant

ASSISTANCE LISTINGS DESCRIPTIONS

Program

Sheep Production and Marketing Grant Program (SPMGP),

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 12102 of the Agricultural Act of 2014, Public Law 113-79, as amended by section 12102 of the Agriculture Improvement Act of 2018, Public Law 115-343, 7 US Code 1627(a), Title Agriculture Improvement Act of 2018, Part , Section , Public Law 115-343, 7 USC Code 1627 (a).

OBJECTIVES:

To develop projects that strengthen and enhance the production and marketing of sheep and sheep products in the United States, including through the improvement of infrastructure, business, resource development, and through the development of innovative approaches to solve long-term needs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support one or more of the following project types as identified in the Request for Applications. Funds must be used in accordance with the requirements of the Request for Applications and 2 CFR 200.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; National entities whose mission is consistent with strengthening and enhancing the production and marketing of sheep and sheep products in the United States.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; U.S. sheep producers.

Credentials/Documentation:

A grant agreement is entered into between the AMS and the eligible entity that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted via Grants.gov in response to specific Request for Applications (RFA). Please refer to the RFA for further details for submitting applications. The most current RFAs are available at www.ams.usda.gov/grants. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a three-step process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this three-step process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in selecting competitively awarded grants. The Initial Qualification Screening is Step 1. In this step, the application is reviewed to ensure that they met the RFAs basic requirements. Applications that met these basic requirements moved forward to Step 2. Step 2 is the Competitive Review. Applications are evaluated by panels of external peer reviewers. Selected reviewers have diverse expertise representing

various entities with programmatic knowledge. To eliminate bias, AMS assigns applications to reviewers outside of their own geographic areas and avoid project assignments associated with reviewer businesses. Each reviewer also signs a conflict of interest and confidentiality agreement. Reviewers that indicate a real or perceived conflict do not review the affected application(s) and are removed from those application discussions. The panels analyze each application using the RFAs evaluation criteria and instructions prepared by AMS. Individual reviewers evaluate and score their assigned applications and then confer with their team to derive a consensus review. The consensus review serves as the basis for awarding grant funds and focuses on the applications strengths and weaknesses. The third step is the Administrative Review (Step 3). AMS conducts a final administrative evaluation of each application in the review panels top rankings and recommendations. In addition to the applications scores, each award is reviewed to ensure that the recommended applications align with the grant programs statutory purpose and that the budget items were allowable and necessary. AMS also assesses the applicants ability to account for the use of Federal funds and monitor the performance associated with these monies. Non-competitive grant programs implemented by AMS are limited to Step 3 in the application review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Eligible applicants should contact the headquarters office for application deadlines. Annual application deadlines are posted in Grants.gov. More information can be found at www.ams.usda.gov/grants.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the RFA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are advanced or reimbursed. Method of awarding/releasing assistance: See www.ams.usda.gov/grants for grant agreement award terms and conditions to obtain information on how assistance is awarded/released. Method of awarding/releasing assistance: lump sum.

Reports:

Annual performance and financial reports are required. A final performance and financial report are required within 90 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. A final performance and financial report are required within 90 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. A final performance and financial report are required within 90 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by AMS or until final resolution of any audit findings or litigation claims relating to this

ASSISTANCE LISTINGS DESCRIPTIONS

program.

Account Identification:

12-8015-0-7-352.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Not to exceed \$2,000,000.

TAFS Codes:

12-8015.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Additional information is posted at www.ams.usda.gov/grants.

Regional or Local Office:

None.

Headquarters Office:

John Miklozek,
1400 Independence Ave, SW Rm 4534, Mail Stop 0234,
Washington DC 20250

Email: john.miklozek@usda.gov

Phone: 2027201403

Fax:

Website Address:

<https://www.ams.usda.gov/spmgrp>

RELATED PROGRAMS:

10.156 Federal-State Marketing Improvement Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are within guidelines established for the program as described in the RFA. The most current RFA is available via Grants.gov or www.ams.usda.gov/grants.

10.174 Acer Access Development Program

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title Agriculture Improvement Act of 2018, , Public Law 115-334, 7 USC Code 1632c.

OBJECTIVES:

To support the efforts of such States, tribal governments, and research institutions to promote the domestic maple syrup industry through the following activities:(1)Promotion of research and education related to maple syrup production.(2)Promotion of natural resource sustainability in the maple syrup industry.(3)Market promotion for maple syrup and maple-sap products.(4)Encouragement of owners and operators of privately held land containing species of trees in the genus Acer-(A)to initiate or expand maple-sugaring activities on the land; or(B)to voluntarily make the land available, including by lease or other means, for access by the public for maple-sugaring activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support one or more of the following project types as identified in the Request for Applications. Funds must be used in accordance with the requirements of the Request for Applications and 2 CFR 200.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; State agencies, tribal governments, and research institutions.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

A grant agreement is entered into between AMS and the eligible entity that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted via Grants.gov in response to specific RFA. Please refer to the RFA for further details for submitting applications. The most current RFAs are available at www.ams.usda.gov/grants. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listing database.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a three-step process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this three-step process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in selecting competitively awarded grants. The Initial Qualification Screening is Step 1. In this step, each application is reviewed for overall completeness, as well as compliance with eligibility and program requirements as set forth in the RFA. Applications that met these basic requirements moved forward to Step 2. Step 2 is the Technical Review. Applications are evaluated by panels of external peer reviewers. Selected reviewers have diverse expertise representing various entities with programmatic knowledge. To eliminate bias, AMS assigns applications to reviewers outside of their own geographic areas and avoid project assignments associated with reviewer businesses. Each reviewer also signs a conflict of interest and confidentiality agreement. Reviewers that indicate a real or perceived conflict do not review the affected application(s) and are removed from those application discussions. The panels analyze each application using the RFAs evaluation criteria and instructions prepared by AMS. Individual reviewers evaluate and score their assigned applications and then confer with their team to derive a consensus review. The consensus review serves as the basis for awarding grant funds and focuses on the applications strengths and weaknesses. The third step is the Administrative Review (Step 3). AMS conducts a final administrative evaluation of each application in the review panels top rankings and recommendations. In addition to the applications scores, each award is reviewed to ensure that the recommended applications align with the grant programs statutory purpose and that the budget items were allowable and necessary. AMS also assesses the applicants ability to account for the use of Federal funds and monitor the performance associated with these monies. Non-competitive grant programs implemented by AMS are limited to Step 3 in the application review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

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Other. Eligible applicants should contact the headquarters office for application deadlines. Annual application deadlines are posted in Grants.gov. More information can be found at www.ams.usda.gov/grants.

Appeals:

Not Applicable. No formal appeal procedure.

Renewals:

Other. Not Applicable. Awards are for 3 years in length. A one- year extension to complete the project may be requested.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are advanced or reimbursed. Applicants have up to 3 calendar years to use the funds. Method of awarding/releasing assistance: See www.ams.usda.gov/grants for grant agreement award terms and conditions to obtain information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Annual performance and financial reports are required 90 days after the expiration date of the grant period. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required 90 days after the expiration date of the grant period. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required 90 days after the expiration date of the grant period. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For each year that a State receives a grant under this section, the State shall conduct an audit of the expenditures of grant funds by the State in accordance with 2 CFR 200, Subpart F- Audit Requirements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by AMS or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

Project Grants FY 20 \$6,000,000.00; FY 21 est \$6,000,000.00; FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

Obligation(s) Project Grants Total FY17- \$1,000,000FY18-\$3,000,000FY19 (est.)-\$3,000,000FY20- 6,000,000TotalsFY17- \$1,000,000, FY18- \$3,000,000, FY19 (est.)-\$3,000,000, FY20- 6,000,000

TAFS Codes:

12-2501.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

An RFA is announced each fiscal year via www.grants.gov. Additional information

about this program is available at www.ams.usda.gov/grants.

Regional or Local Office:

None. Martin RO RosierTelephone: (202) 260-8449E-Mail: Martin.Rosier@usda.govJarrett Ponsolle Phone: (202) 308-4321E-Mail: jarrett.ponsolle@usda.gov Diane RiessenPhone: (202) 695-0155E-Mail: diane.riessen@usda.gov Beverly Thompson Phone: (202) 260-8704E-Mail: Beverly.thompson@usda.gov

Headquarters Office:

Martin Rosier,
1400 Independence Ave SW, Rm. 4543 Mail Stop 0234,
Washington DC 20250
Email: martin.rosier@ams.usda.gov
Phone: 2022608449
Fax:

Website Address:

<https://www.ams.usda.gov/services/grants/acer>

RELATED PROGRAMS:

10.170 Specialty Crop Block Grant Program - Farm Bill; 10.156 Federal-State Marketing Improvement Program;

EXAMPLES OF FUNDED PROJECTS:

2020 It is anticipated that \$6 million will be available on a yearly basis to fund applications until 2023. Enactment of additional Federal continuing resolutions or appropriations may affect the availability or level of funding for this program. 2021 Acer projects funded in Fiscal Year (FY) 2020 can be found at <https://www.ams.usda.gov/services/grants/acer>.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are within guidelines established for the program as described in the RFA. The most current RFA is available via Grants.gov or www.ams.usda.gov/grants.

10.175 Farmers Market and Local Food Promotion Program

Farmers Market Promotion Program or Local Food Promotion Program,

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Subtitle A of the Agricultural Marketing Act of 1946 as amended under section 10102 of the Agriculture Improvement Act of 2018, Title Agricultural Improvement Act of 2018, Section 10102, Public Law 115-334, 7 USC Code 1621.

OBJECTIVES:

The Farmers Market and Local Food Promotion Program (FMLFPP) supports the development, coordination and expansion of local and regional food systems through two competitive grant programs: (1) Farmers Market Promotion Program (FMPP) and (2) Local Food Promotion Program (LFPP). FMPP grants fund direct producer-to-consumer marketing projects such as farmers markets, community-supported agriculture programs, roadside stands, and agritourism. FMPP accepts Capacity Building and Community Development, Training, and Technical Assistance grant project types to support domestic direct producer-to-consumer marketing channels.LFPP grants fund local and regional food business enterprises that serve as intermediaries to process, distribute, aggregate, and store locally or regionally produced food products. LFPP accepts Planning and Implementation grant project types to support local and regional food businesses.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

FMPP funds may be used to increase domestic consumption of, and access to, locally and regionally produced agricultural products, and to develop new market opportunities for farm and ranch operations serving local markets by developing,

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improving, expanding, and providing outreach, training, and technical assistance to, or assisting in the development, improvement, and expansion of, domestic farmers markets, roadside stands, community-supported agriculture programs, agritourism activities, and other direct producer-to-consumer market opportunities. LFPF funds may also be used to support the development and expansion of local and regional food business enterprises to increase domestic consumption of, and access to, locally and regionally produced agricultural products, and to develop new market opportunities for farm and ranch operations serving local markets.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Agricultural businesses and cooperatives, local governments, nonprofit corporations, producer networks and associations, community supported agriculture networks and associations, public benefit corporations, economic development corporations, food councils, regional farmers market authorities, and Tribal governments. Projects and applicants must be owned, operated, and located within the 50 States, the District of Columbia, and the U.S. territories (American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and U.S. Virgin Islands).

Beneficiary Eligibility:

Local; Anyone/general public; Projects that benefit producers, local and regional food business enterprises, and consumers.

Credentials/Documentation:

A grant agreement is entered into between the AMS and the eligible entity that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted via Grants.gov in response to specific RFA. Please refer to the RFA for further details for submitting applications. The most current RFAs are available at www.ams.usda.gov/grants. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listing database.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a three-step process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this three-step process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in selecting competitively awarded grants. The Initial Qualification Screening is Step 1. In this step, each application is reviewed for overall completeness, as well as compliance with eligibility and program requirements as set forth in the RFA. Applications that met these basic requirements moved forward to Step 2. Step 2 is the Technical Review. Applications are evaluated by panels of external peer reviewers. Selected reviewers have diverse expertise representing various entities with programmatic knowledge. To eliminate bias, AMS assigns applications to reviewers outside of their own geographic areas and avoid project assignments associated with reviewer businesses. Each reviewer also signs a conflict of interest and confidentiality agreement. Reviewers that indicate a real or perceived conflict do not review the

affected application(s) and are removed from those application discussions. The panels analyze each application using the RFAs evaluation criteria and instructions prepared by AMS. Individual reviewers evaluate and score their assigned applications and then confer with their team to derive a consensus review. The consensus review serves as the basis for awarding grant funds and focuses on the applications strengths and weaknesses. The third step is the Administrative Review (Step 3). AMS conducts a final administrative evaluation of each application in the review panels top rankings and recommendations. In addition to the applications scores, each award is reviewed to ensure that the recommended applications align with the grant programs statutory purpose and that the budget items were allowable and necessary. AMS also assesses the applicants ability to account for the use of Federal funds and monitor the performance associated with these monies. Non-competitive grant programs implemented by AMS are limited to Step 3 in the application review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Eligible applicants should contact the headquarters office for application deadlines. Annual application deadlines are posted in Grants.gov. More information can be found at www.ams.usda.gov/grants.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Not Applicable. Awards are for 3 years in length. A one year extension to complete the project may be requested.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. 25% of the Federal portion of the grant. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants have between 1.5 to 3 calendar years to use the funds. Method of awarding/releasing assistance: See www.ams.usda.gov/grants for grant agreement award terms and conditions to obtain information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Annual performance and financial reports are required. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For each year that a State receives a grant under this section, the State shall conduct an audit of the expenditures of grant funds by the State in accordance with 2 CFR 200, Subpart F- Audit Requirements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by AMS or until final resolution of any audit findings or litigation claims relating to this

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program.

Account Identification:

12-2500-0-1-352.

Obligations:

Project Grants FY 20 \$5,400,000.00; FY 21 est \$7,400,000.00; FY 22 est \$7,400,000.00; Project Grants FY 20 Not Available; FY 21 est \$22,160,500.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

FMPP Capacity Building (CB) \$50,000-\$250,000 and Community Development, Training, and Technical Assistance (CTA) \$100,000-500,000 LFPP Planning projects \$25,000-\$100,000 and Implementation projects \$100,000-\$500,000.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

An RFA is announced each fiscal year via www.grants.gov. Additional information about this program is available at www.ams.usda.gov/grants.

Regional or Local Office:

None. USDA FMPP1400 Independence Ave SW, RM 2055-SB, Stop 0201 Washington, DC 20250USDAFMPPQuestions@ams.usda.gov 2027200933Website: <http://www.ams.usda.gov/fmppUSDA LFPP1400> Independence Ave SW, RM 2055, Stop 0201, Washington, DC 20250USDALFPPQuestions@ams.usda.gov 2027200933Website: <http://www.ams.usda.gov/lfpp>

Headquarters Office:

USDA FMPP,
1400 Independence Ave SW,
Washington DC 20250
Email: USDAFMPPQuestions@ams.usda.gov
Phone: 2027200933
Fax: USDA LFPP,
1400 Independence Ave SW, RM 4543, Stop 0234,
Washington DC 20250
Email: USDALFPPQuestions@ams.usda.gov
Phone: 2027200933
Fax:

Website Address:

<http://www.ams.usda.gov/lfpp>

RELATED PROGRAMS:

10.170 Specialty Crop Block Grant Program - Farm Bill; 10.156 Federal-State Marketing Improvement Program; 10.225 Community Food Projects; 10.352 Value-Added Producer Grants; 10.575 Farm to School Grant Program;

EXAMPLES OF FUNDED PROJECTS:

2020 It is anticipated that \$23 million will be available on a yearly basis to fund applications until 2023. Enactment of additional Federal continuing resolutions or appropriations may affect the availability or level of funding for this program.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are within guidelines established for the program as described in the RFA. The most current RFA is available via Grants.gov or www.ams.usda.gov/grants.

10.176 Dairy Business Innovation Initiatives

DBI Initiatives,

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title Agriculture Improvement Act of 2018, Section 12513, Public Law 115-343, 7 USC Code 1623(b).

OBJECTIVES:

The Dairy Business Innovation (DBI) Initiatives connects the Agricultural Marketing Service (AMS) with eligible entities to host DBI Initiatives to manage the program by supporting dairy businesses in the development, production, marketing, and distribution of dairy products. These initiatives specifically focus on: (1) Diversifying dairy product markets to reduce risk and develop higher-value uses for dairy products; (2) Promoting business development that diversifies farmer income through processing and marketing innovation; and (3) Encouraging the use of regional milk production.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support one or more of the following project types as identified in the Request for Applications. Funds must be used in accordance with the requirements of the Request for Applications and 2 CFR 200.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible entities include: State departments of agriculture or other state entities, cooperative extension services, institutions of higher education, and nonprofit organizations. Entities must have the capacity to provide consultation and expertise necessary to advance the purpose and activities of the DBI Initiatives, and expertise in grant distribution and tracking to be considered eligible for this program. Dairy Promotion Programs are ineligible to host an initiative.

Beneficiary Eligibility:

State; Small business; Farmer/Rancher/Agriculture Producer; Producers, processors, growers, state agencies, beginning and socially disadvantaged farmers, and general public.

Credentials/Documentation:

See Request for Applications 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted via Grants.gov in response to specific RFA. Please refer to the RFA for further details for submitting applications. The most current RFAs are available at www.ams.usda.gov/grants. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a three-step process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this three-step process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in selecting competitively awarded grants. The Initial Qualification Screening is Step 1. In this step, the application is reviewed to ensure that they met the RFAs basic requirements. Applications that met these basic requirements moved forward to Step 2. Step 2 is the Competitive Review. Applications are evaluated by panels of external peer reviewers. Selected reviewers have diverse expertise representing various entities with programmatic knowledge. To eliminate bias, AMS assigns applications to reviewers outside of their own geographic areas and avoid project assignments associated with reviewer businesses. Each reviewer also signs a conflict of interest and confidentiality agreement. Reviewers that indicate a real or perceived conflict do not review the affected application(s) and are removed from those application discussions. The panels analyze each application using the RFAs

ASSISTANCE LISTINGS DESCRIPTIONS

evaluation criteria and instructions prepared by AMS. Individual reviewers evaluate and score their assigned applications and then confer with their team to derive a consensus review. The consensus review serves as the basis for awarding grant funds and focuses on the applications strengths and weaknesses. The third step is the Administrative Review (Step 3). AMS conducts a final administrative evaluation of each application in the review panels top rankings and recommendations. In addition to the applications scores, each award is reviewed to ensure that the recommended applications align with the grant programs statutory purpose and that the budget items were allowable and necessary. AMS also assesses the applicants ability to account for the use of Federal funds and monitor the performance associated with these monies. Non-competitive grant programs implemented by AMS are limited to Step 3 in the application review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Eligible applicants should contact the headquarters office for application deadlines. Annual application deadlines are posted in Grants.gov. More information can be found at www.ams.usda.gov/grants.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Award duration may be found within the RFA.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart Annual performance and financial reports are required 90 days after the expiration date of the grant period. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Public Law Annual performance and financial reports are required 90 days after the expiration date of the grant period. A final performance and financial report are required within 120 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are advanced or reimbursed. Applicants have up to 3 calendar years to use the funds. Method of awarding/releasing assistance: See www.ams.usda.gov/grants for grant agreement award terms and conditions to obtain information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted via Grants.gov in response to specific RFA. Please refer Interim performance and financial reports are required. A final performance and financial report are required within 90 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a three-step process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this three-step process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in selecting competitively awarded grants. The Initial Qualification Screening is Step 1. In this step, each application is reviewed for overall completeness, as well as compliance with eligibility and program requirements as set forth in the RFA. Applications that met these basic requirements moved forward to Step 2. Step 2 is the Technical Review.

Applications are evaluated by panels of external peer reviewers. Selected reviewers have diverse expertise representing various entities with programmatic knowledge. To eliminate bias, AMS assigns applications to reviewers outside of their own geographic areas and avoid project assignments associated with reviewer businesses. Each reviewer also signs a conflict of interest and confidentiality agree

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For each year that a State receives a grant under this section, the State shall conduct an audit of the expenditures of grant funds by the State in accordance with 2 CFR 200, Subpart F- Audit Requirements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Not Applicable. Awards are for 3 years in length. A one- year extension to complete the project may be requested.

Account Identification:

12-2500-0-1-352.

Obligations:

Project Grants FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Project Grants FY 20 \$20,000,000.00; FY 21 est \$22,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

As provided for in the applicable Request for Applications (RFA).

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

An RFA is announced each fiscal year via www.grants.gov. Additional information about this program is available at www.ams.usda.gov/grants.

Regional or Local Office:

None.

Headquarters Office:

USDA Dairy Business Innovation Initiatives,
1400 Independence Ave SW,
Washington DC 20250
Email: amsgrants@usda.gov
Phone: 2027201403

Fax:

Website Address:

<https://www.ams.usda.gov/services/grants>

RELATED PROGRAMS:

10.170 Specialty Crop Block Grant Program - Farm Bill; 10.172 Local Food Promotion Program; 10.173 Sheep Production and Marketing Grant Program; 10.175 Farmers Market and Local Food Promotion Program; 10.176 Dairy Business Innovation Initiatives; 10.177 Regional Food System Partnerships; 10.179 Micro-Grants for Food Security Program; 10.521 Children, Youth and Families At-Risk; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.331 Food Insecurity Nutrition Incentive Grants Program ;

EXAMPLES OF FUNDED PROJECTS:

2020 <https://www.ams.usda.gov/services/grants/dbi>

CRITERIA FOR SELECTING PROPOSALS:

<https://www.ams.usda.gov/services/grants/dbi>

10.177 Regional Food System Partnerships

RFSP, Partnerships,

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT

ASSISTANCE LISTINGS DESCRIPTIONS

OF

AUTHORIZATION:

Subtitle A of the Agricultural Marketing Act of 1946 (7 U.S.C. 1621 et seq.) as amended under section 10102 of the Agriculture Improvement Act of 2018, Public Law 115-334, Title Subtitle A of the Agricultural Marketing Act of 1946 (7 U.S.C. 1621 et seq.), Section 10102, Public Law 115-334, 7 USC Code 1621.

OBJECTIVES:

RFSP is a component of the Local Agricultural Marketing Program (LAMP). RFSP supports partnerships that brings together public and private resources to plan and develop local or regional food systems and encourages food economy viability and resilience. Through RFSP, AMS works together with partners to accelerate local or regional food system growth through increased access to local knowledge and networks. Partners will lead the scope determination of the regional food system to be developed, including goals, outreach objectives, and eligible activities to be carried out, while coordinating with AMS to receive technical and financial assistance.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to plan and develop local or regional food systems and encourages food economy viability and resilience.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Applications can be submitted by an eligible entity or eligible partner. An application must have at least one eligible entity and eligible partner to be considered for funding. Eligible entities include producers; producer networks or associations; farmer or rancher cooperatives; majority-controlled producer-based business ventures; food councils; local or Tribal governments; nonprofit corporations; economic development corporations; public benefit corporations; community-supported agriculture networks or associations; and regional farmers market authorities. Eligible partners include State agencies or regional authorities; philanthropic organizations; private corporations; institution of higher educations; and commercial, Federal, or Farm Credit System lending institutions. Projects and applicants must be owned, operated, and located within the 50 States, the District of Columbia, and the U.S. territories (American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and U.S. Virgin Islands).

Beneficiary Eligibility:

Local; Anyone/general public; Projects that benefit producers, local and regional food business enterprises, and consumers. Unsuccessful applicants will be contacted by AMS via email as soon as possible after the RFSP awards are announced. The unsuccessful applicants will be provided anonymous review panel consensus comments regarding their proposals as feedback.

Credentials/Documentation:

A grant agreement is entered into between the AMS and the eligible entity that submits the application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted via Grants.gov in response to specific RFA. Please refer to the RFA for further details for submitting applications. The most current RFAs are available at www.ams.usda.gov/grants. Any specific instructions in the RFAs supersede the general information provided in the CFDA

database.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted via Grants.gov in response to specific RFA. Please refer to the RFA for further details for submitting applications. The most current RFAs are available at www.ams.usda.gov/grants. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a three-step process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this three-step process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in selecting competitively awarded grants. The Initial Qualification Screening is Step 1. In this step, the application is reviewed to ensure that they met the RFAs basic requirements. Applications that met these basic requirements moved forward to Step 2. Step 2 is the Competitive Review. Applications are evaluated by panels of external peer reviewers. Selected reviewers have diverse expertise representing various entities with programmatic knowledge. To eliminate bias, AMS assigns applications to reviewers outside of their own geographic areas and avoid project assignments associated with reviewer businesses. Each reviewer also signs a conflict of interest and confidentiality agreement. Reviewers that indicate a real or perceived conflict do not review the affected application(s) and are removed from those application discussions. The panels analyze each application using the RFAs evaluation criteria and instructions prepared by AMS. Individual reviewers evaluate and score their assigned applications and then confer with their team to derive a consensus review. The consensus review serves as the basis for awarding grant funds and focuses on the applications strengths and weaknesses. The third step is the Administrative Review (Step 3). AMS conducts a final administrative evaluation of each application in the review panels top rankings and recommendations. In addition to the applications scores, each award is reviewed to ensure that the recommended applications align with the grant programs statutory purpose and that the budget items were allowable and necessary. AMS also assesses the applicants ability to account for the use of Federal funds and monitor the performance associated with these monies. Non-competitive grant programs implemented by AMS are limited to Step 3 in the application review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Eligible applicants should contact the headquarters office for application deadlines. Annual application deadlines are posted in Grants.gov. More information can be found at www.ams.usda.gov/grants.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part See Request for Applications, Subpart ,Public Law .Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. This listing has matching requirements10% match of the Federal portion of the grant. Additional match is encouraged. See RFA for more information.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants have 3 calendar years to use the funds. Method of awarding/releasing assistance: See www.ams.usda.gov/grants for grant agreement award terms and conditions to obtain information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Annual performance and financial reports are required. A final performance and financial report are required within 90 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. A final performance and financial report are required within 90 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. A final performance and financial report are required within 90 days after the expiration date of the grant period. See www.ams.usda.gov/grants for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For each year that a State receives a grant under this section, the State shall conduct an audit of the expenditures of grant funds by the State in accordance with 2 CFR 200, Subpart F - Audit Requirements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Recipients must retain financial and other records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by AMS or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2500-0-1-352.

Obligations:

Project Grants FY 20 \$9,700,000.00; FY 21 est \$15,300,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

See Request for Applications.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funded 23 projects. See <https://www.ams.usda.gov/services/grants/rfsp/awards> for more information.

REGULATIONS, GUIDELINES, AND LITERATURE:

An RFA is announced each fiscal year via www.grants.gov. Additional information about this program is available at www.ams.usda.gov/grants.

Regional or Local Office:

None. USDA, Agricultural Marketing Service 1400 Independence Avenue, SW Room 2055 South Building Stop 0201

Headquarters Office:

USDA Regional Food Systems Partnerships,
1400 Independence Ave SW,
Washington DC 20250
Email: IPPGGrants@usda.gov
Phone: 2026901300
Fax:

Website Address:

<https://www.ams.usda.gov/rfsp>

RELATED PROGRAMS:

10.170 Specialty Crop Block Grant Program - Farm Bill; 10.175 Farmers Market and Local Food Promotion Program; 10.156 Federal-State Marketing Improvement Program; 10.225 Community Food Projects; 10.352 Value-Added Producer Grants; 10.575 Farm to School Grant Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Please see <https://www.ams.usda.gov/services/grants/rfsp/awards>

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are within guidelines established for the program as described in the RFA. The most current RFA is available via Grants.gov or www.ams.usda.gov/grants.

10.178 Trade Mitigation Program Eligible Recipient Agency Operational Funds

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

15 USC Code 714b.

OBJECTIVES:

To support the Trade Mitigation Food Purchase and Distribution Program and to help supplement the diets of low-income persons by making funds available to The Emergency Food Assistance Program (TEFAP) eligible recipient agencies for storage and distribution costs of Trade Mitigation Food Purchase and Distribution Program foods. Eligible recipient agencies are local organizations, such as soup kitchens, food banks, and food pantries, including faith-based organizations, that provide food assistance to needy persons.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are provided to State agencies to pass through to local agencies to cover operational costs incurred at the local level in the operation of the program. Funds may only be used for approved operational expenses. States may choose sites that distribute to low-income households and/or congregate sites that provide prepared meals to the needy. Allowable costs include warehousing, food delivery, participant certification, and other administrative costs.

Applicant Eligibility:

State;

Beneficiary Eligibility:

Local; Public nonprofit institution/organization; Other public institution/organization; Quasi-public nonprofit organization; Public or private non-profit organizations, such as food banks, food pantries, and soup kitchens, which provide food assistance to low-income persons.

Credentials/Documentation:

Local organizations are required to document their use of the operational funds, and only those administrative costs permitted under 2 CFR Part 200 for local governments will be allowed. Local agencies may be required to document their non-profit status. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Operational funds are allocated among the States on the basis of a formula determined by the Secretary of Agriculture that takes into account the amount of Trade Mitigation Program food ordered by each State. One hundred percent of these funds must be passed down to eligible recipient agencies.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The funds will be provided to State agencies in three installments prior to September 30, 2019. All of these funds must be liquidated no later than December 31, 2019. Any funds which are made available through the initial allocation or a subsequent reallocation that are not used are turned back to the Federal government. Method of awarding/releasing assistance: quarterly

Reports:

Annual performance and financial reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Normal records accounting for receipts and dispersal; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-4336-0-3-999.

Obligations:

Formula Grants FY 20 \$61,443,800.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Polly Fairfield,
3101 Park Center Drive,
Alexandria VA 22302
Email: polly.fairfield@usda.gov
Phone: 703-305-4386
Fax:

Website Address:

<http://fns.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.179 Micro-Grants for Food Security Program

Micro-Grants Program, Micro-Grants, MGFSP,

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The purpose of this section is to increase the quantity and quality of locally grown food through small-scale gardening, herding, and livestock operations in food insecure communities in areas of the United States that have significant levels of food insecurity and import a significant quantity of food., Title Subtitle A of the Agricultural Marketing Act of 1946, as amended under section 4206 of the Agriculture Improvement Act of 2018, Part Title IV Nutrition, Subtitle C Miscellaneous, Section Sec. 4206 Micro-Grants for Food Security, Public Law 115-334, 7 USC Code 7518.

OBJECTIVES:

To increase the quantity and quality of locally grown food through small-scale gardening, herding, and livestock operations in food insecure communities in Alaska, Hawaii, and the insular areas or territories (American Samoa, the Commonwealth of the Northern Mariana Islands, the Commonwealth of Puerto Rico, the Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the United States Virgin Islands) of the United States that have significant levels of food insecurity and import a significant quantity of food.

TYPES OF ASSISTANCE:

Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

Eligible entities may not use grant funds for the construction or rehabilitation of a building. Funds must be used in accordance with the requirements of the 2 CFR 200, Award Terms and Conditions, and the Request for Applications. More information can be found at www.ams.usda.gov/grants.

Applicant Eligibility:

Other private institutions/organizations; Government - General; Federal; Applications are limited to agriculture agencies, commissions, or departments, responsible for agriculture, in the following states and insular areas: Alaska, Hawaii, American Samoa, the Commonwealth of the Northern Mariana Islands, the Commonwealth of Puerto Rico, the Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the United States Virgin Islands. Applications submitted by other states or entities are not eligible for consideration under the MGFSP and will be excluded from review.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations; American Indian; Federal; Local; Public nonprofit institution/organization; Individual/Family; States and insular areas/territories must develop proposals that include a series of projects led by eligible sub-applicant(s) who will use the funds to engage in activities to increase the quantity and quality of locally grown food for food insecure individuals, families, neighborhoods, and

Credentials/Documentation:

A grant agreement is entered between the AMS and the eligible entity that submits an application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in establishing grants.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. As a condition of receiving a subgrant under this section, an eligible entity shall provide funds equal to 10 percent of the amount received by the eligible entity under the subgrant, to be derived from non-Federal sources. A State or insular area may waive the matching requirement for an individual who otherwise meets the requirements to receive a subgrant by the eligible State/insular area. This program has MOE requirements, see funding agency for further details. Additional Information: This listing has a matching requirement for the subgrant recipient. The matching requirement does not apply to the agency, commission, or department of agriculture..

Length and Time Phasing of Assistance:

Applicants have 4 calendar years to use the funds (1 year to conduct the competitive subaward process, and 3 years for subawardees to conduct their projects). Method of awarding/releasing assistance: See www.ams.usda.gov/grants for grant agreement award terms and conditions to obtain information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Annual performance and financial reports are required. An eligible subgrantee shall submit to the eligible State (including insular areas and territories) a report not later than 60 days after the end of the project funded by the subgrant. A final performance and financial report are required within 90 days after the expiration date of the grant period from the eligible state or territory. Not later than 120 days after the date on which an eligible State receives a report from each eligible entity in that State, the eligible State shall submit to the Secretary a report that describes, in the aggregate, the information and data contained in the reports received from those eligible entities. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. An eligible subgrantee shall submit to the eligible State (including insular area) a report not later than 60 days after the end of the project funded by the subgrant. A final performance and financial report are required within 90 days after the expiration date of the grant period from the eligible state or territory. Not later than 120 days after the date on which an eligible State receives a report from each eligible entity in that State, the eligible State shall submit to the Secretary a report that describes, in the aggregate, the information and data contained in the reports received from those eligible entities. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. An eligible subgrantee shall submit to the eligible State (including insular area) a report not later than 60 days after the end of the project funded by the subgrant. A final performance and financial report are required within 90 days after the expiration date of the grant period from the eligible state or territory. Not later than 120 days after the date on which an eligible State receives a report from each eligible entity in that State, the eligible State shall submit to the Secretary a report that describes, in the aggregate, the information and data contained in the reports received from those eligible entities. See www.ams.usda.gov/grants for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For each year that a State receives a grant under this section, the State shall conduct an audit of the expenditures of grant funds by the State in accordance with 2 CFR 200, Subpart F- Audit Requirements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Recipients must retain all records (including financial) relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by AMS or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

Project Grants (for specified projects) FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

See Request for Applications for more information.

TAFS Codes:

12-2501.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

AMS Grants Division,
1400 Independence Avenue SW,
Washington DC 20250
Email: IPPGraints@usda.gov
Phone: 2027200933
Fax:

Website Address:

<https://www.ams.usda.gov/services/grants/mgfs>

RELATED PROGRAMS:

10.170 Specialty Crop Block Grant Program - Farm Bill; 10.172 Local Food Promotion Program; 10.173 Sheep Production and Marketing Grant Program; 10.175 Farmers Market and Local Food Promotion Program; 10.176 Dairy Business Innovation Initiatives; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.521 Children, Youth and Families At-Risk;

EXAMPLES OF FUNDED PROJECTS:

2020 <https://www.ams.usda.gov/services/grants/mgfs/awards>

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are within the guidelines established for the program as described in the RFA. The most current RFA is available via Grants.gov or www.ams.usda.gov/grants.

10.180 Meat and Poultry Interstate Shipment and Inspection Readiness Program (ISIRP)

Meat and Poultry Grant, MPG,

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The purpose of this section is to grants to eligible meat and poultry slaughter and processing facilities to assist with costs incurred in making improvements and carrying out other planning activities necessary to obtain a Federal Grant of Inspection under the Federal Meat Inspection Act (FMIA) or the Poultry Products Inspection Act (PPIA); or to operate as a State-inspected facility that is compliant

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with FMIA or PPIA under the respective Cooperative Interstate Shipment (CIS) program., Title Consolidated Appropriations Act of 2021, Part Title IIV Nutrition and Agriculture Relief, Subtitle B Agriculture, Section Sec. 764 Grants for Improvements to Meat and Poultry Facilities to Allow for Interstate Shipment, Public Law 116-260, Not yet available USC Code Not yet available.

OBJECTIVES:

To assist eligible meat and poultry slaughter and processing facilities with costs incurred in making improvements and carrying out other planning activities necessary to obtain a Federal Grant of Inspection under the Federal Meat Inspection Act (FMIA) or the Poultry Products Inspection Act (PPIA); or to operate as a State-inspected facility that is compliant with FMIA or PPIA under the respective Cooperative Interstate Shipment (CIS) program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to modernize or expand an existing facility, modernize equipment, meet packaging and labeling compliance requirements under applicable law, meet safety requirements under applicable law, develop processes to ensure food safety, and other purposes that the Secretary of the U.S. Department of Agriculture determines to be appropriate for obtaining a Federal Grant of Inspection or becoming eligible for inspection under the CIS program.

Applicant Eligibility:

Interstate; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Applications are limited to meat or poultry slaughter or processing facilities, operating under State inspection or exempt from Federal inspection, that are in operation as of the grant application date and seeking to obtain a Federal Grant of Inspection under the Federal Meat Inspection Act (FMIA) or the Poultry Products Inspection Act (PPIA), as applicable or to be eligible for inspection under a Cooperative Interstate Shipment (CIS) program that is compliant with FMIA or PPIA and is located in a State that participates in the CIS program. Applications submitted by other entities are not eligible for consideration under the MGFSP and will be excluded from review.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

A grant agreement is entered between the AMS and the eligible entity that submits an application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications submitted via Grants.gov in response to this RFA. Applicants are urged to submit early to the Grants.gov system. For an overview of the Grants.gov application process, see the Grants.gov Apply for Grants webpage. Please refer to the RFA for further information needed to obtain and complete required application forms and AMS-specific attachments. The most current RFAs are available at www.ams.usda.gov/grants. Any specific instructions in the RFA supersedes the general information provided in the CFDA database. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After the application deadline has passed, AMS begins a process to evaluate each application submitted to the grant program and select applications for funding. Grant agreements are established with applicants based on the results of this process to ensure compliance with requirement that Federal awarding agencies maintain a fair, unbiased merit review process in establishing grants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. Matching requirement is waived for FY21 funding cycle. For following funding cycles, as a condition of receiving a subgrant under this section, an eligible entity shall provide matching non-Federal funds in an amount equal to the amount of the grant. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants have 3 calendar years (36 calendar months) to use the funds. Method of awarding/releasing assistance: See www.ams.usda.gov/grants for grant agreement award terms and conditions to obtain information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Annual performance and financial reports are required. An eligible entity shall submit a report not later than 90 calendar days after each annual performance reporting period end date. A final performance and financial report are required within 120 calendar days after the expiration date of the grant period from the eligible entity. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. An eligible entity shall submit a report not later than 90 calendar days after each annual performance reporting period end date. A final performance and financial report are required within 120 calendar days after the expiration date of the grant period from the eligible entity. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. An eligible entity shall submit a report not later than 90 calendar days after each annual performance reporting period end date. A final performance and financial report are required within 120 calendar days after the expiration date of the grant period from the eligible entity. See www.ams.usda.gov/grants for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Recipients (other than Federal agencies and for-profit recipients) are responsible for obtaining audits in accordance with the 2 CFR 200 Subpart F Audit Requirements. These standards require any non-Federal entity that expends \$750,000 or more in Federal awards during its fiscal year to have a single or program-specific audit conducted for that year. The audit must meet the standards specified in GAGAS. Recipients must follow a systematic method to ensure timely and appropriate resolution of audit findings and recommendations, whether discovered because of a Federal audit or an audit initiated by the passthrough entity. If applicable, a recipient must submit the most recent Single Audit to AMS 30 calendar days after completion of the audit. If a recipient does not submit its Single Audit, it must conduct a Program Specific Audit following GAGAS within 60 calendar days after the end date of its grant agreement. A link to the audit report or an electronic version of the audit report is acceptable. All auditees are also to submit their audit reports directly to the Federal Audit Clearinghouse (FAC). The SF-SAC and reporting package MUST be submitted electronically to FAC at: <http://harvester.census.gov/sac/>. Any future updates to the location of the FAC may be found at the OMB website.

Records:

Recipients must retain all records (including financial) relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by AMS or until final resolution of any audit findings or litigation claims relating to this program.

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Account Identification:

12-2500-0-1-352.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$60,000,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

See Request for Application for more information.

TAFS Codes:

12-2500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

USDA Dairy Business Innovation Initiatives,

1400 Independence Ave SW,

Washington DC 20250

Email: amsgrants@usda.gov

Phone: 202-690-1300

Fax:

Website Address:

<https://www.ams.usda.gov/services/grants>

RELATED PROGRAMS:

10.170 Specialty Crop Block Grant Program - Farm Bill; 10.172 Local Food Promotion Program; 10.173 Sheep Production and Marketing Grant Program; 10.175 Farmers Market and Local Food Promotion Program; 10.176 Dairy Business Innovation Initiatives; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.331 Food Insecurity Nutrition Incentive Grants Program ; 10.521 Children, Youth and Families At-Risk;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are within the guidelines established for the program as described in the RFA.

10.181 Agricultural Worker Pandemic Relief and Protection Program

FEDERAL AGENCY:

AGRICULTURAL MARKETING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The purpose of this section is to provide grants to small or midsize food processors or distributors, seafood processing facilities and processing vessels, farmers markets, producers, or other organizations to respond to coronavirus, including for measures to protect workers against the Coronavirus Disease 2019 (COVID19), Title Consolidated Appropriations Act of 2021, Part Title IV Nutrition and Agriculture Relief, Subtitle B Agriculture, Section Sec. 751 Office of the Secretary

OBJECTIVES:

This program supports food related entities, including producers, processors, and distributors, in responding to the coronavirus, including measures to protect workers against novel coronavirus (COVID-19).

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Workplace Safety Measures: Workplace safety measures, including, but not limited

to, personal protective equipment, sanitizer, hand washing stations, air filters, thermometers, cleaning supplies, etc. Market Pivots: Market pivots such as transition to virtual/online sales costs (online platform development and fees, online marketing, credit card processing fees), supplies, new signage.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Small or midsize food processors or distributors, seafood processing facilities and processing vessels, farmers markets, producers, or other organizations. See the request for applications for more information. Eligible entities are described program request for applications. Eligible entities must be domestic entities owned, operated, and located within the geographic area of the United States, including the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Public nonprofit institution/organization; Private nonprofit institution/organization; Individual/Family; Domestic small or midsize food processors or distributors, seafood processing facilities and processing vessels, farmers markets, producers, or other organizations to respond to coronavirus, including for measures to protect workers against the Coronavirus Disease 2019 (COVID-19). Small or midsize food processors or distributors, seafood processing facilities and processing vessels, farmers markets, producers, or other organizations to respond to coronavirus, including for measures to protect workers against the Coronavirus Disease 2019 (COVID-19). More information is described program request for applications.

Credentials/Documentation:

A grant agreement is entered between the AMS and the eligible entity that submits an application. OMB Guidance for Grants Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR 200 and 2 CFR 400 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AMS only accepts electronic applications which are submitted as specified in the RFA. Please refer to the RFA for further details for submitting applications, which will be available via Grants.gov. Any specific instructions in the RFAs supersede the general information provided in the assistance listing database. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

AMS outlines the application requirements and merit review process in each grant programs RFA. Applicants are required to use the RFA to apply for grant funds. After applications are received, AMS begins a process to evaluate eligibility, analyze requests, and determine funding awards. AMS also assesses the applicants ability to account for the use of Federal funds and monitor the performance associated with these monies.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

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are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of time of the assistance is provided in the request for applications.. Method of awarding/releasing assistance: lump sum.

Reports:

Final performance and financial reports are required. An eligible entity shall submit a report not later than 90 calendar days after each annual performance reporting period end date. See www.ams.usda.gov/grants for more information. Annual performance and financial reports are required. An eligible entity shall submit a report not later than 90 calendar days after each annual performance reporting period end date. See www.ams.usda.gov/grants for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For each year that a State receives a grant under this section, the State shall conduct an audit of the expenditures of grant funds by the State in accordance with 2 CFR 200, Subpart F- Audit Requirements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General

Records:

Recipients must retain all records relating to grant for a period of three years after the final Federal Financial Report (SF-425) is received by AMS or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-0115-0-1-352.

Obligations:

Project Grants (including individual awards) FY 20 Not Available; FY 21 est \$1,400,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

FY 2021 - \$1,400,000,000

TAFS Codes:

12-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

AMS Grants Division,
1400 Independence Ave SW,
Washington DC 20250
Email: amsgrants@usda.gov
Phone: 2026901300
Fax:

Website Address:

<https://www.ams.usda.gov/services/grants>

RELATED PROGRAMS:

10.170 Specialty Crop Block Grant Program - Farm Bill; 10.172 Local Food Promotion Program; 10.173 Sheep Production and Marketing Grant Program; 10.175 Farmers Market and Local Food Promotion Program; 10.176 Dairy Business Innovation Initiatives; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.331 Food Insecurity Nutrition Incentive Grants Program ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

USDA intends to operate this opportunity as a discretionary program. All eligible entities that meet the requirements outlined in the RFA will receive some amount of funding. The most current RFA is available via Grants.gov.

10.200 Grants for Agricultural Research, Special Research Grants

Special Research Grants (SRGP),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 2 (c), Public Law 89-106, 7 U.S.C. 450i(c), as amended,

OBJECTIVES:

To carry out research, to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the nation and to facilitate or expand on-going State-Federal food and agricultural research programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. Awards are generally limited to high priority problems of a regional or national scope.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; For Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by

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the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program> Minor Crop Pest Management Program Interregional Research Project (IR-4):<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4> Special Research Grants Program Potato Breeding Research:<https://nifa.usda.gov/funding-opportunity/potato-breeding-research> Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research> Supplemental and Alternative Crops Competitive Grants Program (SACC):<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program> Minor Crop Pest Management Program Interregional Research Project (IR-4):<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4> Special Research Grants Program

Potato Breeding Research:<https://nifa.usda.gov/funding-opportunity/potato-breeding-research> Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research> Supplemental and Alternative Crops Competitive Grants Program (SACC):<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program> Minor Crop Pest Management Program Interregional Research Project (IR-4):<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4> Special Research Grants Program Potato Breeding Research:<https://nifa.usda.gov/funding-opportunity/potato-breeding-research> Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research> Supplemental and Alternative Crops Competitive Grants Program (SACC):<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Section 094 - Deadlines: Specific deadline dates are announced on the Agency's website: <http://www.nifa.usda.gov> Contact the headquarters, as appropriate, for application deadlines. See Section 152 for specific details. Further, dates for specific deadlines are announced in the RFA each fiscal year. Section 095 - Range of Approval/Disapproval Time: From 30 to 180 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov> <http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as

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follows: Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>Minor Crop Pest Management Program Interregional Research Project (IR-4):<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>Special Research Grants Program Potato Breeding Research:<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>Supplemental and Alternative Crops Competitive Grants Program (SACC):<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFAs are available as follows: Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>Minor Crop Pest Management Program Interregional Research Project (IR-4):<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>Special Research Grants Program Potato Breeding Research:<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>Supplemental and Alternative Crops Competitive Grants Program (SACC):<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed three (3) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows: Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>Minor Crop Pest Management Program Interregional Research Project (IR-4):<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>Special Research Grants Program Potato Breeding Research:<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>Supplemental and Alternative Crops Competitive Grants Program (SACC):<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at

<https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

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Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$18,068,000.00; FY 21 est \$18,068,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFAs are available as follows: Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program> Minor Crop Pest Management Program Interregional Research Project (IR-4):<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4> Special Research Grants Program Potato Breeding Research:<https://nifa.usda.gov/funding-opportunity/potato-breeding-research> Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research> Supplemental and Alternative Crops Competitive Grants Program (SACC):<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal Year (FY) 2020 ACTIVE Programs:(B) Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is projected that the funding level will be comparable to that previously allocated. Under the approved Competition Waiver approved in 2019, the project entitled Agroclimatology - Global Change, Ultraviolet Radiation Monitoring and Research is eligible for funding. Pertinent details will be provided by Program at a future date.(D) Minor Crop Pest Management Program Interregional Research Project #4 (aka IR-4):The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is projected that the funding level will be comparable and the types of projects will be similar. NIFA anticipates funding five (5) continuation projects, i.e. four (4) regional centers and a National Headquarters Office. Pertinent details will be provided by Program at a future date.(F) Potato Breeding Research:The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is anticipated that NIFA will offer only continuation awards. NIFA does not intend to solicit new applications for 2020. Pertinent details will be provided by Program at a future date.(H) Aquaculture Research (aka Aquaculture Centers):FISCAL YEAR (FY) 2020:The FY 2020 funding levels have yet to be established by Congressional Appropriations. However, if the Program is funded in FY 2020, it is anticipated that an amount similar to the FY 2019 levels (\$2.0 million, appropriated) will be made available for this program with approximately 70 proposals submitted for funding. If funded, it is anticipated that approximately \$1.7 million will be available for eight (8) awards of approximately \$100,000 to \$300,000 each. It is projected that similar projects will be supported in FY 2020. Pertinent details will be provided by Program at a future date.(I) Supplemental and Alternative Crops Competitive Grants Program (aka Canola Research and SACC):(S) Food and Agriculture Education Information System (FAEIS)The FY 2020 funding levels is contingent upon Congressional Appropriations to Higher Education programs. FAEIS will be funded through a non-competitive continuation award.(T) Air Force Medical Operations Agency (AFMOA) Mental Health Division Research Project (aka MFRP)Program will not receive funding in FY 2020.(U) Air Force Family

Advocacy ProgramThis program is not projected to be funded in FY 2020.SPECIAL NOTE: The listing below represents Programs which have not been recently funded. Hence, no further action is required (N/A/N) for the following Programs: (A) Expert IPM Decision Support Systems;(C) Integrated Pest Management & Biological Control;(E) Pest Management Alternatives (PMAP);(G) Critical Agricultural Materials;(J) Policy Research Centers (aka Agriculture and Rural Policy Research);(K) McIntire-Stennis Cooperative Forestry Research. See CFDA # 10.202 for this Program.(L) Forest Products Research Grants Program;(M) Minor Use Animal Drugs;(N) National Biological Impact Assessment Program; and (R) Regional Nutrition Education and Obesity Prevention Centers of Excellence (RNECE). Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONSThe Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIAThe Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCEThe National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Additional Websites:<http://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4><http://nifa.usda.gov/funding-opportunity/potato-breeding-research><http://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research><http://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

Headquarters Office:

National Program Leader, USDA, NIFA, Institute of Food Safety and Nutrition (IFSN), National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package

ASSISTANCE LISTINGS DESCRIPTIONS

Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,
Kansas City MO 64141
Email: Policy@usda.gov
Phone: 2027200740
Fax:

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.219 Biotechnology Risk Assessment Research; 10.303 Integrated Programs; 10.250 Agricultural and Rural Economic Research; 10.309 Specialty Crop Research Initiative; 10.320 Sun Grant Program; 10.207 Animal Health and Disease Research; 10.215 Sustainable Agriculture Research and Education; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI); 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

2020 Fiscal Year (FY) 2020 ACTIVE Programs:(B) Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):It is anticipated that similar projects will be funded in Fiscal Year 2020.Pertinent information will be provided by Program at a future date.(D) Minor Crop Pest Management Program Interregional Research Project #4 (aka IR-4):The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is anticipated that the funding level and types of projects will be similar.It is projected that this project will continue continued support for four (4) regional Centers plus a National Headquarters Office. Since the plant protection industry has little economic incentive to develop registrations for specialty crop chemicals, IR-4 develops the data that provide legal, effective, safe and IPM-compatible pest control agents for minor and specialty crops. Without this program, many specialty crops could no longer be produced in the US or would be greatly compromised in cost and quality. This projects objectives for minor and specialty crops are to obtain pesticide clearances for food uses, further the development and adoption of biopesticides, and conduct research to protect ornamental crops. There are five (5) regions that can apply for IR-4 funding and five (5) awards are made.(F) Potato Breeding Research:It is anticipated that similar projects will be funding in FY 2020.Pertinent information will be provided by Program at a future date.(H) Aquaculture Research (aka Aquaculture Centers):The FY 2020 funding levels have not yet been established by Congressional Appropriations. Pertinent details will be provided by Program at a future date.(I) Supplemental and Alternative Crops Competitive Grants Program (aka Canola Research and SACC):It is anticipated that similar projects will be funding in FY 2020.Pertinent information will be provided by Program at a future date.(S) Food and Agriculture Education Information System (FAEIS)FAEIS is the Food and Agricultural Education Information System. FAEIS compiles nationwide higher education data for the life, food, veterinary, human, natural resource, and agricultural sciences. These data include student enrollment, degrees awarded and placement at all degree levels and by gender and race as well as faculty counts and salaries by race/ethnicity, gender, rank and discipline.(T) Air Force Medical Operations Agency (AFMOA) Mental Health Division Research ProjectThe project will not be funded in FY 2020. The program will continue to work toward initial goal until funds are expended.(U) Air Force Family Advocacy ProgramInformation is not yet available. Pertinent data will be provided by program at a future date if funds are still being expended. SPECIAL NOTE: The listing below represents Programs which have not been recently funded. Hence, no further action is required (N/A/N) for the following Programs: (A) Expert IPM Decision Support Systems;(C) Integrated Pest Management & Biological Control;(E) Pest Management Alternatives (PMAP);(G) Critical Agricultural Materials;(J) Policy Research Centers (aka Agriculture and Rural Policy Research);(K) McIntire-Stennis Cooperative Forestry Research. See CFDA # 10.202 for this Program.(L) Forest Products Research Grants Program;(M) Minor Use Animal Drugs;(N) National Biological Impact Assessment Program; and (R) Regional Nutrition Education and Obesity Prevention Centers of Excellence (RNECE). 2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available as follows: Global Change Ultraviolet Radiation Monitoring and Research Program (GC/UV-B):<https://nifa.usda.gov/funding-opportunity/global-change-ultraviolet-radiation-monitoring-and-research-program>Minor Crop Pest Management Program Interregional Research Project (IR-4):<https://nifa.usda.gov/funding-opportunity/minor-crop-pest-management-program-interregional-research-project-4-ir-4>Special Research Grants Program Potato Breeding Research:<https://nifa.usda.gov/funding-opportunity/potato-breeding-research>Special Research Grants Program Aquaculture Research (aka Aquaculture Centers):<https://nifa.usda.gov/funding-opportunity/special-research-grants-program-aquaculture-research>Supplemental and Alternative Crops Competitive Grants Program (SACC):<https://nifa.usda.gov/funding-opportunity/supplemental-and-alternative-crops-sacc>

10.202 Cooperative Forestry Research

McIntire-Stennis Cooperative Forestry Research Act (M/S) Program,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Executive Order Public Law 87-778 (76 Stat. 806, 16 U.S.C. 582a, et seq.) signed into law on October 10, 1962 is also known as the McIntire-Stennis Cooperative Forestry Research Act, . 16 USC Code 582a thru a-7.

OBJECTIVES:

The purpose of this funding is to increase forestry research in the production, utilization, and protection of forestland; to train future forestry scientists; and to involve other disciplines in forestry research. Funding should also address the high priority issues described in the current M/S Strategic Plan: Sustaining Healthy and Productive Forests: An Investment in Americas Competitive Position in the Global Marketplace: (1) science of integration; (2) forest ecosystem services; (3) human attitudes and behaviors; (4) conflict, uncertainty, and decision-making; (5) technological advancements, productivity, and forest applications; and (6) urban ecosystems.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

The McIntire-Stennis Cooperative Forestry Research Act (M/S) grant is used to assist all states in carrying out a program of state forestry research at state forestry schools and colleges and developing a trained pool of forest scientists capable of conducting needed forestry research, which should include: (1) ecological restoration; (2) catastrophe management; (3) valuing and trading ecological services; (4) energy conservation, biomass energy and bio-based materials development; (5) forest fragmentation; (6) carbon sequestration and climate change; and (7) ways of fostering healthy forests and a globally competitive forest resources sector. Additionally, M/S funds should be allocated to the following high priority issues: (1) science of integration (ecosystem or landscape approaches including interdisciplinary multi-state projects); (2) forest ecosystem services; (3) human attitudes and behaviors; (4) conflict, uncertainty, and decision-making; (5) technological advancements (biotechnology, nanotechnology and geospatial technology), productivity, and forest applications; and (6) urban ecosystems. Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors: (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land; (2) forty percent is allocated based upon the volume of timber cut annually from stock; and (3) twenty percent is allocated based on the total expenditures for forestry

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research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee.

Applications may be submitted by State-certified Schools of Forestry as stipulated in accordance with Section 2 of Public Law 87-788, McIntire-Stennis Act. Section 7412 of the Food, Conservation, and Energy Act of 2008 amended Section 2 of the McIntire-Stennis Cooperative Forestry Act (16 U.S.C. 582a-1) to include the 1890 Land-grant Institutions and made this change effective October 1, 2008.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State-certified forestry schools and other groups concerned with forestry research. This program is also available to Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana Islands, and Micronesia.

Beneficiary Eligibility:

U.S. Territories; State; Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State-certified forestry schools and other groups concerned with forestry research. This program is also available in Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana, and Micronesia.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one-time basis, with updates on an as-needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

<https://nifa.usda.gov/program/mcintire-stennis-capacity-grantRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive,

and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All Request for Applications (RFAs) are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: <http://www.grants.gov/>. NIFA Applications (R&R Other Project Information - Section 4.4) requires a response to the question regarding "Actual or Potential Impact on the Environment." For this program the response is "No.". Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications, 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/mcintire-stennis-capacity-grantRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors: (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land; (2) forty percent is allocated based upon the volume of timber cut annually from stock; and (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general

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public of each application. Evaluation Criteria will be delineated in the Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/program/mcintire-stennis-capacity-grant> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/program/mcintire-stennis-capacity-grant> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart , Public Law 87-778. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors: (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land; (2) forty percent is allocated based upon the volume of timber cut annually from stock; and (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. Formula grant recipients are to provide matching [as detailed in Part VIII.A.6 of the Formula Grant Opportunity (FGO)], either cash or in-kind, on a dollar-for-dollar basis (100 percent) on all Federal funds allotted. Eligible institutions located in insular areas (i.e., American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the Virgin Islands) are not required to match amounts at or below \$100,000, if the allocation is below \$200,000. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

M/S funds are expected to be fully expended in the fiscal year (FY) of appropriation; however funds may be carried forward one (1) additional fiscal year (FY). These carryover funds must be fully expended by September 30 of the following year. No prior approval to carryover these funds is required from NIFA. Method of awarding/releasing assistance: quarterly. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/mcintire-stennis-capacity-grant> RFAs are generally

released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

Grantees are to submit initial project information, annual summary and final reports through NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. The following is a description of project data reporting requirements through the REEport system (located at <http://portal.nifa.usda.gov>) as well as the SF-425 reporting requirements: 1. Financial Reporting A Financial Report shall be submitted to NIFA through REEport annually for all eligible projects from the preceding fiscal year. A Financial Report is also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on the federal fiscal year basis. Financial reporting via the SF-425, Federal Financial Report is due to the Capacity Grants Branch of OGFM on December 31 for the period October 1 through September 30, on an annual basis, until the award is closed out. NIFA uses the SF-425, Federal Financial Report to monitor cash. McIntire-Stennis funds are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one year after the end of the year for which they were appropriated. No prior approval is required to carry over funds for one additional year; however, no additional carryover requests may be considered or approved, as no legislative authority to do so is provided. 2. REEport Reporting Institutions should submit a project proposal through the REEport systems. The Essentials of a McIntire-Stennis Proposal guideline document can assist you in developing the format of your proposal. The guideline can be found at <http://nifa.usda.gov/resource/mcintire-stennis-project-proposal-essentials> under the Resources section or on Appendix B in the RFA. The following is a description of project data reporting requirements through the REEport system (located at <http://portal.nifa.usda.gov>) as well as the SF-425 reporting requirements: REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. See <http://nifa.usda.gov/program/mcintire-stennis-capacity-grant> for additional details of annual, final technical and financial reporting requirements. Instructions for using the REEport system are included on the NIFA web site at: <http://nifa.usda.gov/reeport-resources-land-grant->

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partners. The office listed below provides agency oversight of these reports: Capacity Grants Branch Awards Management Division Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 E-mail: formulagrantsquestions@usda.gov. SPECIAL NOTES: (1) Refer to the Capacity, Competitive, and/or Non-Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. NIFA uses the SF-425, Federal Financial Report to monitor cash. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program

Account Identification:

12-1500-0-1-352.

Obligations:

Formula Grants (Apportionments) FY 20 \$33,802,560.00; FY 21 est \$33,802,559.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per the Capacity, Competitive, and/or Non-Competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Appropriation for FY 2020 are projected to be approximately \$28 million. The projected amount for award is \$27,104,958, with a potential 81 universities participating, which may include the addition of two new tribal institutions. Fiscal Year 2021: Pertinent information will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs

primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141-3061 Email: patrick.cassidy@usda.gov Phone: (816) 926-1490 Fax:

Website Address:

<http://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.207 Animal Health and Disease Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

2020 Contingent upon available funding, the McIntire-Stennis Cooperative Forestry Research Program will continue to fund projects in FY 2020, and it is anticipated that those projects will have the same breadth and depth of impacts as previously funded projects. Pertinent information will be provided by Program at a future date. 2021 Pertinent information will be provided by Program at a future date.

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CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Capacity Request for Applications (RFA) [formerly known as Formula Grant Opportunity (FGO)]. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDFAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/program/mcintire-stennis-capacity-grant>

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act

Hatch Act and The Hatch Act of 1887 aka PAYMENTS TO AGRICULTURAL EXPERIMENT STATIONS UNDER THE HATCH ACT: (A) The Hatch Act of 1887 (Regular Research) (B) The Hatch Act of 1887 (Multistate Research Fund),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Hatch Act of 1887, as amended; Public Law 84-352, 7 U.S.C. 361a-361i; Education Amendments of 1972, Section 506, Public Law 92-318; Public Law 93-471; Public Law 95-113; Education Amendments of 1980, Section 1361, Public Law 96-374, 7 U.S.C. 301; Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185, , Public Law 084, 7 USC Code 361a-i.

OBJECTIVES:

To support agricultural research at State Agricultural Experiment Stations. Its purpose is to promote efficient production, marketing, distribution, and utilization of products of the farm as essential to the health and welfare of people and to promote a sound prosperous agriculture and rural life. Up to 25 percent (25%) of funds to be used for integrated cooperative research and extension activities. Two (2) Programs are funded under CFDA 10.203. Specific Objectives are as follows: (A) The Hatch Act of 1887 (Regular Research) The Hatch Act of 1887 provides the basis for Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas. State Agricultural Experiment Stations are eligible for funds appropriated under this Act according to the following formula: The previous years base plus the current year increase as follows: Three percent (3%) for Federal Administration, Twenty percent (20%) equally, Twenty-six percent (26%) in an amount which bears the same ratio to the total amount to be allotted as the rural population of the State bears to the total rural population of all the States as determined by the last preceding decennial census; Twenty-six percent (26%) in an amount which bears the same ratio to the total amount to be allotted as the farm population of the State bears to the total farm population of all the States as determined by the last preceding decennial census. Twenty-five percent (25%) for the Hatch Multistate Research Fund. (B) The Hatch Act of 1887 (Multistate Research) Not less than twenty-five percent (25%) of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually. The 25 percent applies to any amounts appropriated above the level of funding in 1955 (the base year).

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

USES: Money appropriated pursuant to this Act shall also be available, in addition to meeting expenses for research and investigations conducted under authority of

Section 2, for printing and disseminating the results of such research, retirement of employees subject to the provisions of an Act approved March 4, 1940 (54 Stat. 39), administrative planning and direction, and for the purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting research. The State Agricultural Experiment Stations are authorized to plan and conduct any research authorized under Section 2 of this Act in cooperation with each other and such other agencies and individuals as may contribute to the solution of the agricultural problems involved, and moneys appropriated pursuant to this Act shall be available for paying the necessary expenses of planning, coordinating, and conducting such cooperative research. Up to twenty-five percent (25%) of funds to be used for integrated cooperative research and extension activities. (A) The Hatch Act of 1887 (Regular Research) This grant is used to support continuing agricultural research at institutions eligible to receive funds under the Act approved July 2, 1862 (12 Stat. 503, as amended) (1862 Land-Grant Institutions), as well as State agricultural experiment stations. Funds appropriated under this section shall be used to conduct original and other researches, investigations, and experiments bearing directly on and contributing to the establishment and maintenance of a permanent and effective agricultural industry of the United States, including researches basic to the problems of agriculture in its broadest aspects, and such investigations as have for their purpose the development and improvement of the rural home and rural life and the maximum contribution by agriculture to the welfare of the consumer, as may be deemed advisable, having due regard to the varying conditions and needs of the respective States. Further, funds may be used printing and disseminating the results of such research, retirement of employees subject to the provisions of an Act approved March 4, 1940 (54 Stat. 39), administrative planning and direction, and for the purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting research. (B) The Hatch Act of 1887 (Multistate Research) In addition to the uses applicable to the Regular Research funds, Multistate Research funds must be used for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State. These funds are known as the Hatch Multistate Research Fund (MRF).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); (A) The Hatch Act of 1887 (Regular Research) Hatch Act funds are provided for agricultural research on an annual basis to the State Agricultural Experiment Stations (SAESs) which were established under the direction of the college or university or agricultural departments of the college or university in each State in accordance with the act approved July 2, 1862 (7 U.S.C. 301 et seq.); or such other substantially equivalent arrangements as any State shall determine. Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project. (B) The Hatch Act of 1887 (Multistate Research) Hatch Act funds are provided for agricultural research on an annual basis to the State Agricultural Experiment Stations (SAESs) which were established under the direction of the college or university or agricultural departments of the college or university in each State in accordance with the act approved July 2, 1862 (7 U.S.C. 301 et seq.); or such other substantially equivalent arrangements as any State shall determine. Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

Beneficiary Eligibility:

U.S. Territories; State; Public nonprofit institution/organization; Funds under the Hatch Act are allocated in accordance with the statutory formula stated in the Act to the State agricultural experiment stations of the 50 States, the District of Columbia, Guam, Puerto Rico, the Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands. These institutions have been identified and declared eligible by their respective State legislatures.

Credentials/Documentation:

Credentials/Documentation (083): The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet

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Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to:(i) Be registered in SAM before submitting its application;(ii) Provide a valid DUNS number in its application; and(iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/program/hatch-act-1887RFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All Request for Applications (RFAs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Applications should be submitted as outlined in the RFA. Applicants must follow the instructions provided per Grants.gov. Please see the following NIFA Agency links for more information: Hatch Act Regular:

<http://nifa.usda.gov/program/hatch-act-1887HatchActMultistate>:

<http://nifa.usda.gov/program/hatch-act-1887-multistate-research-fund> Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA. Applicants must follow the instructions provided per Grants.gov. Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov

Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/hatch-act-1887RFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Funds will be released on a quarterly basis to the institutions. See Appendix A of the Request for Application (RFA) for the specific allocations. Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements. Hatch Act Funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation. 2 CFR Part 200 Subpart C and Appendix I apply to this program. 2 CFR Part 400 applies to this program. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/hatch-act-1887RFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Section 094 - Deadlines: Dates for specific deadlines are announced in the Capacity Request for Applications (RFA) each fiscal year (FY). Section 095 - Range: From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current

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RFA is available via:<https://nifa.usda.gov/program/hatch-act-1887>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. Not Applicable.2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Not applicable, each year of funding is awarded as a new grant. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via:

<https://nifa.usda.gov/program/hatch-act-1887>

Formula and Matching Requirements:

Statutory Formula: Title The Hatch Act of 1887, Chapter , Part , Subpart ,Public Law 084-352.Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. (Other Matching Requirements:A) The Hatch Act of 1887 (Regular Research) 100 percent. However, the state agricultural experiment stations in the District of Columbia and the insular areas shall provide non-Federal matching funds equal to not less than 50% of the formula funds distributed by the Secretary of Agriculture. This requirement may be waived by the Secretary.(B) The Hatch Act of 1887 (Multistate Research) Required Multistate Match amounts are disclosed in RFA..MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Hatch funds are expected to be fully expended in the fiscal year (FY) of appropriation; however, funds may be carried over for up to one (1) year after the end of the year for which they were appropriated. No prior approval is required to carry over funds for one (1) additional year; however, no additional carryover requests may be considered or approved, as no legislative authority to do so is provided.Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/hatch-act-1887>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

B.Expected Program Outputs and Reporting RequirementsOrganizations receiving Hatch Regular funding from NIFA are required to submit the following reports:1.Fiscal Year (FY) 2023 Plan of Work (POW) update;2.FY 2021 Annual Report of Accomplishments and Results (Annual Report);3.Program/project Initiation in the NIFA Reporting System (NRS);4.Federal Financial Report (SF-425)The FY 2023 Plan of Work (POW) update and the FY 2021 Annual Report are due by April 1, 2022. NIFA is building a new integrated reporting portal for research and extension that will affect how the 2021 Annual Report will be submitted to NIFA. Updated instructions for submitting the 2021 Annual Report to NIFA will be provided on the NRS web page <https://nifa.usda.gov/tool/pow-nifa-reporting-system>. The FY 2023 Plan of Work should be submitted online through the Institutional Profile in REEport unless instructed otherwise on the NRS webpage (<https://nifa.usda.gov/tool/pow-nifa-reporting-system>). In FY 2022, Institutions will initiate Research projects in NRS via this link: <https://nifa.usda.gov/tool/pow-nifa-reporting-system>. Institutions must submit a Project Initiation in the NRS prior to the initiation of each capacity-funded project. An Initiation includes the Project Description, Classifications, Assurances, and identification of Integrated and Multi-State activities prior to initiation of each capacity-funded project. The program will undergo a review by NIFA and be approved, deferred, or declined.Institutions will submit annual progress reports in the NRS based on the federal fiscal year. Reports are due March 1 for the preceding fiscal year. Progress reports will form the basis for the newly formatted Annual Report of Accomplishments and Results. A Final Report must be submitted to NIFA through the NRS for each completed or terminated project. Federal

Financial reporting via the SF-425 is due within the ezFedGrants portal, at minimum on an annual basis. Please see your Notice of Award for additional required SF-425s throughout the life of the award. Information on how to access the ezFedGrants portal can be found under the training on the ezFedGrants page at ezFedGrants (eFG) Grants and Agreements System.See The Hatch Act of 1887 for additional details of reporting requirements. Additional information about the new system and reporting requirements is provided at <https://nifa.usda.gov/tool/pow-nifa-reporting-system>. To sign-up to receive information, schedules, and updates about the POW-REEport Integration Project, send an email message to pow@usda.gov (link sends e-mail). Insert the following text on the subject line: "Request to Sign Up for POW notifications." You will receive an email confirming your subscription.Capacity Grants BranchAwards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061E-mail: formulagrantsquestions@usda.gov.New Website:NIMSS (National Information Management and Support System) for the Hatch Multistate Research Fund projects that the domain name for access has changed. It is now <http://www.nimss.org/>. NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays. PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Formula Grants (Apportionments) FY 20 \$243,418,711.00; FY 21 est \$243,418,711.00; FY 22 est \$243,418,711.00;

Range and Average of Financial Assistance:

See Appendix A of the Request for Applications (RFA) for the specific allocation. If minimum or maximum amounts of funding per capacity, competitive and/or non-competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA).The most current RFA is available via: <https://nifa.usda.gov/program/hatch-act-1887>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal Year (FY) 2020: (A) HATCH REGULAR RESEARCH:Based upon the Presidents Budget, for the FY 2020 award cycle, the projected amount available for awards will be \$172,382,319. NIFA projects making awards for the 51 1862 state land-grant universities and six land-grants in insular areas. We project that there will be approximately 5,000 active projects, of which about 1,000 will be new in FY 2020.(B) HATCH MULTI-STATE

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RESEARCH:The Hatch Act of 1887 provides Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas. Not less than 25 percent (25%) of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually. A matching fund requirement exists for this Capacity Grant Program.For the FY 2020 Capacity Request for Applications (RFAs), NIFA projects that a similar level of funding and similar number of proposals as in FY 2019.Funded projects should address multistate agricultural research projects. Fiscal Year 2021: Pertinent information will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:**POLICY GUIDENIFAs** Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>**CERTIFICATIONS AND REPRESENTATIONS**Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>**ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA**When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>**FEDERAL REGULATIONS**The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>**FOIA**The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>**NEPA POLICY AND GUIDANCE**The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>**OGFM ISSUED CORRESPONDENCE**The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>**RESEARCH MISCONDUCT**NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct>**NIFAS GENERAL AWARD TERMS AND CONDITIONS**Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Additional Websites: <http://nifa.usda.gov/program/hatch-act-1887> <http://nifa.usda.gov/program/hatch-act-1887-multistate-research-fund>

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop

10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: capacitygrantquestions@usda.gov

Phone: 816-401-8219

Fax:

Website Address:

<https://nifa.usda.gov/program/hatch-act-1887>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.207 Animal Health and Disease Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service;

EXAMPLES OF FUNDED PROJECTS:

2020 Fiscal Year (FY) 2020: (A) HATCH REGULAR RESEARCH: A Southern region project looks to identify opportunities to optimize swine production systems for the efficiency of nutrient utilization by investigating the variability generated by pig/microflora interactions. Investigators will combine information obtained for dense marker genotypes and microbiota sequence information from a large nucleus population with performance data on growth and intake. With this information, the investigators aim to provide solid evidence of the extent of genetic control of the gut microbiome population composition in swine and its relationship with growth efficiency. (B) HATCH MULTI-STATE RESEARCH: When approved, new projects which began in Fiscal Year 2020 will be posted on the National Information Management Support System (NIMSS) at <https://www.nimss.org/>. Pertinent information will be provided by Program at a future date. 2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO), 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/program/hatch-act-1887>

10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University

Evans-Allen Research and/or Agricultural Research at 1890 Land-Grant Institutions, Including Tuskegee University, West Virginia State University and Central State University.,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977 is also known as the Evans-Allen Research Program. This law provides the basis for Federal funding for agricultural research activities at 1890 Land-Grant Institutions under the Act of August 30, 1890 (26 Stat. 417419, as amended; 7 U.S.C. 321326 and 328), including Tuskegee University, West Virginia State University and Central State University. Amended by Public Law 95-547, Public Law 97-98, Public Law 99-198, Public Law 101-624, Public Law 104-127 and Public Law 105-185., 7 U.S.C 3222; Public Law 113-79, . 7 USC Code 3222.

OBJECTIVES:

To support continuing agricultural research at colleges eligible to receive funds under the Act of August 30, 1890 (26 Stat. 417-419), as amended; 7 U.S.C. 321-326 and 328), including Tuskegee University, West Virginia State University and Central State University. Its purpose is to promote efficient production, marketing,

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distribution and utilization of products of the farm as essential to the health and welfare of people and to promote a sounds prosperous agriculture and rural life.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

This grant is used to support continuing agricultural research at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University and Central State University. Funds appropriated under this section shall be used for expenses of conducting agricultural research, printing, disseminating the results of such research, contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3940, as amended; 7 U.S.C. 331), administrative planning and direction, and purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting agricultural research. The eligible institutions are authorized to plan and conduct agricultural research in cooperation with each other and such agencies, institutions and individuals as may contribute to the solution to the solution of agricultural problems, and funds appropriated pursuant to section 1445 shall be available for paying the necessary expenses of planning, coordinating and conducting such cooperative research.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University, West Virginia State University and Central State University.

Beneficiary Eligibility:

State; Public nonprofit institution/organization; Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University, West Virginia State University and Central State University.

Credentials/Documentation:

Credentials/Documentation (083): The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-

Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890nstitutionsModification882017.pdf> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All Request for Applications (RFAs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

<http://www.grants.gov>. This program is excluded from coverage under E.O. 12372.

Pre-award form submissions must be submitted to the Evans-Allen program as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements. Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 and 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 and 1890 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1. Specific project details and pertinent information should be entered in the REEport. The REEport system collects technical and financial data about projects NIFA has funded and allows grantees to report significant accomplishments and impacts of their research, extension, and education work. An environmental impact statement is required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890nstitutionsModification882017.pdf> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

The Planning, Accountability and Reporting Staff (PARS) and the Office of Grants and Financial Management (OGFM) Capacity Branch will be reviewing submitted documents to determine if all program, financial, and administrative requirements have been met and are current. To receive an annual allotment of funds, each eligible institution or State must first submit a plan of work to NIFA for approval. During the grant period, PARS will notify the OGFM Capacity Branch and the institution regarding each institutions compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OGFM Capacity Branch will notify the institutions when all requirements have been met or approved. PARS will notify the OGFM Capacity Branch and the institution regarding each institutions

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compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OGFMC Capacity Branch will notify the institutions when all requirements have been met or approved. 2 CFR Part 200 Subpart C and Appendix I apply to this program. 2 CFR Part 400 applies to this program. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat18901nstitutionsModification882017.pdf> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Deadlines (094): Contact the headquarters or regional office, as appropriate, for application deadlines. Range of Approval/Disapproval Time (095): From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat18901nstitutionsModification882017.pdf> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Not applicable, each year of funding is awarded as a new grant. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat18901nstitutionsModification882017.pdf>

Formula and Matching Requirements:

Statutory Formula: Title Evans-Allen Research, Chapter , Part , Subpart , Public Law 95-113. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Evans-Allen Research Program funds are fiscal year appropriated and expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one additional year. Please note there is no limitation on the amount of Federal funds that may be carried over for this one additional fiscal year. No prior approval from NIFA is required. Since this limitation is legislatively mandated, NIFA is not authorized to grant any carryover requests beyond this one additional year. 2 CFR Part 200, Subpart D applies to this program. Evans-Allen Research Program funds are fiscal year appropriated and expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one (1) additional year. Please note there is no limitation on the amount of Federal funds that may be carried over for this one (1) additional fiscal year. No prior approval from NIFA is required. Since this limitation is legislatively mandated, NIFA is not authorized to grant any carryover requests beyond this one (1) additional year. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat18901nstitutionsModification882017.pdf> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Pre-award form submissions must be submitted to the Evans-Allen Research Program as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) and REEport and together satisfy all legislative and regulatory pre-award requirements. The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1 online at the web address: <http://pow.NIFA.usda.gov>. Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 Land-Grant Institution, including Tuskegee University, West Virginia State University and Central State University, must submit both a Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results. Both reports are due April 1. Specific project details and pertinent information should be entered in REEport. The REEport system collects technical and financial data about projects NIFA has funded and allows grantees to report significant accomplishments and impacts of their research, extension, and education work. The Plan of Work (POW) and Annual Report of Accomplishments and Results should be submitted online through the NIFA Reporting Portal at the web address:

<http://portal.nifa.usda.gov/portal>. Additional information about the POW is available at: <http://nifa.usda.gov/tool/pow> The following is a description of project data reporting requirements through the REEport system (located at

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<http://portal.nifa.usda.gov>) as well as the SF-425 reporting requirements: REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. Financial reporting via the SF-425, Federal Financial Report is due to the Capacity Grants Branch, Awards Management Division, OGF, on December 31 for the period October 1 through September 30 annually, until the award is closed out. A Final Report shall be submitted to NIFA through REEport for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a summary of accomplishments for the entire life of the project. See <http://www.nifa.usda.gov/business/awards/formula/evansallen.html> for additional details of annual, final technical and financial reporting requirements. Instructions for using the REEport system are included on the NIFA web site at: <http://nifa.usda.gov/reeport-resources-land-grant-partners>. Capacity Branch Awards Management Division Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA), P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 E-mail: formulagrants@usda.gov. 2 CFR Part 200 - Subpart D applies to this program. NIFA uses the SF-425, Federal Financial Report to monitor cash. (Details regarding Progress Reports are provided above.) (Details regarding Expenditure Reports are provided above.) (Details regarding Performance Monitoring are provided above.)

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records, grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are

subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200 - Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Formula Grants (Apportionments) FY 20 \$69,910,320.00; FY 21 est

\$68,544,080.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFA is available via:

<https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890InstitutionsModification882017.pdf>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For FY 2020, the Presidents Budget is approximately \$53.8 million. FY 2020 awards will support the 19 institutions located in 18 states. The amount available for awards is projected to be approximately \$50.5 million. NIFA will issue FY 2020 quarterly payments subject to the availability of funds and the applicant having met the previous years reporting requirements. Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF, ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture P.O.

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Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: formulagrantsquestions@usda.gov

Phone: (816) 702-9676

Fax:

Website Address:

<http://nifa.usda.gov/program/agricultural-research-1890-land-grant-institutions>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.207 Animal Health and Disease Research; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.001 Agricultural Research, Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

2020 ADMINISTRATION OF RESEARCH SUPPORTED BY SECTION 1445, PL95-113 FUNDS The overall goal is to provide an effective and efficient administration and coordination of the various approved integrative research projects under the Evans-Allen Program of Research. The objectives are to: 1) Determine the relevant research to be conducted based on the national needs and stakeholder input; 2) provide leadership and oversight in the compliance of rules and regulations that are applicable to the development and implementation of the research program; 3) provide oversight on the use of funds allocated to the implementation of research projects; 4) ensure the on time submission of financial and technical reports including the annual Plan of Work (POW) and the Annual Accomplishment Report; and 5) provide leadership, support and facilitate innovation and technology transfer. ADMINISTRATION OF RESEARCH SUPPORTED BY SECTION 1445 To provide planning, direction, support, and effective administration of the research program supported by section 1445. The Land Grant Program is committed to excellence in research to meet the needs of a diverse population. The objectives are: 1) To promote faculty, staff, and student research productivity through innovative research approaches that address stakeholder needs in both rural and urban settings; and 2) to enhance research support and administrative efficiency in planning and implementing of Evans Allen funds to ensure compliance with NIFA regulations. The 19 institutions will respond to the Request for Applications and submit an Administrative Plan of Work. The programs of research must have at least one active research project before funds are released. 2021 Pertinent details will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/sites/default/files/resources/FY18AgriculturalResearchat1890institutionsModification882017.pdf>

10.206 Grants for Agricultural Research Competitive Research Grants

National Research Initiative Competitive Grants Program (NRI),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), [7 U.S.C. 450i] . . . 7 USC Code 450i.

OBJECTIVES:

To support research, education and extension grants that address key problems of national, regional and multistate importance in sustaining all components of agriculture (farming, ranching, forestry, aquaculture, rural communities, human nutrition and obesity, food and fiber processing, etc.) Providing this support to US agriculture requires that the NRI advance fundamental. Building on these discoveries will necessitate new efforts in education and extension that deliver science-based knowledge to people, allowing them to make informed practical decisions. All grants were awarded on a competitive basis.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

NIFA Competitive Programs Unit administers the NRI. The purpose of the NRI Competitive Grants Program was to support research grants and integrated research, extension, and education grants that address key problems of National, regional, and multi-state importance in sustaining all components of agriculture (farming, ranching, forestry including urban and agroforestry, aquaculture, rural communities, human nutrition, processing, etc.). Providing this support requires that NRI advances fundamental sciences in support of agriculture and coordinates opportunities to build on these discoveries. Building on these discoveries will necessitate new efforts in education and extension that deliver science-based knowledge to people, allowing them to make informed practical decisions. Hence, the NRI accepted applications for fundamental research, mission-linked research, and integrated research, extension, and education projects. However, applicants should know that the NRI utilized no more than twenty-two percent (22%) of available funds to support integrated projects and that these funds were not distributed uniformly, but targeted to specific priorities. Targeted priorities for integrated projects were clearly identified within the detailed descriptions of program offerings [see Part II, E of the Fiscal Year (FY) 08 Request for Applications (RFAs)].

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; For research projects, the eligibility requirements for the NRI were as follows: except where otherwise prohibited by law, State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals were eligible to apply for and to receive a competitive grant. The Agricultural Research Enhancement Awards (AREA) have some notable differences from these requirements. See Part II C, 2. of Fiscal Year (FY) 08 Requests for Applications (RFAs). For integrated projects, the eligibility requirements for the NRI were as follows: except where otherwise prohibited by law, State agricultural experiment stations, all colleges and universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies, and national laboratories were eligible to apply for and receive a competitive grant. The bridge grants have some notable differences from these requirements. See Part II, C, 3(b) of Fiscal Year (FY) 08 RFAs for details. Unsolicited applications were not considered and applications from scientists at non-United States organizations were not accepted. Award recipients may subcontract to organizations not eligible to apply provided such organizations were necessary for the conduct of the project.

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; For research grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, other U.S. research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For integrated research, education

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and extension grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies and national laboratories. Proposals from scientists at non-U.S. organizations will not be considered for support.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual. Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Applicants must furnish the information required in the RFAs. Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but were not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one (1) time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there were factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs were published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Award Procedure:

Applications were subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who were specialists in the field covered by the proposal. Within the limit

of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications were judged most meritorious under the procedures set forth in the RFA. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Reviewers were selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other .094 Deadlines: Dates for specific deadlines were announced in the RFA each fiscal year (FY).095 Range of Approval/Disapproval Time: From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Proposals for renewal, were submitted at the announced deadline. Renewals were treated in competition with all other pending proposals. Authority has been repealed per Section 7406 of the Food, Conservation, and Energy Act of 2008 The Agriculture and Food Research Initiative (AFRI) was established to replace NRI. (See CFDA 10.310.)

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Funds were awarded competitively. No formula grants were awarded under this authority. For equipment grants Unless criteria was met to qualify for waiver by the Secretary, matching shall not exceed 50 percent of the cost of the special research equipment or other equipment acquired using funds from the grant. For applied research if the grant was commodity-specific; and not of national scope, the grant recipient was required to match USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Normally, competitive research projects were supported for periods of up to four (4) years. Method of awarding/releasing assistance: letter

Reports:

Reporting requirements, as specified in 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (the Uniform Guidance), and 7 CFR 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions. REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress

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Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds. NIFA uses the SF-425, Federal Financial Report to monitor cash. This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations). Awards Management Branch Office of Extramural Programs National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit

Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds were used for authorized purposes. Grant-related records were subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant were established by the annual program announcement or RFA.

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

See Regional Assistance Locations. USDA, NIFA, Competitive Programs, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Email: MMIRANDO@nifa.usda.gov

Headquarters Office:

National Program Leader USDA, NIFA, Competitive Programs, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: mark.mirando@usda.gov

Phone: 202-401-4336

Fax:

Website Address:

<http://nifa.usda.gov>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.207 Animal Health and Disease Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.207 Animal Health and Disease Research

ASSISTANCE LISTINGS DESCRIPTIONS

(AHDR),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Executive Order National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1433, Public Law 95-113, as amended; Section 1429, Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185., , 7 USC Code 3195.

OBJECTIVES:

The purpose of this funding is to increase animal health and disease research activities at accredited State veterinary schools or colleges or agricultural experiment stations that conduct animal health and disease research. To support animal health and disease research at eligible Schools and Colleges of Veterinary Medicine, and State Agricultural Experiment Stations whose purpose is to improve the health and productivity of food animals and horses through effective prevention, control or treatment of disease, reduction of losses from transportation and other hazards, and protect human health through control of animal diseases transmissible to people.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

The National Institute of Food and Agriculture (NIFA) requests applications for the FY 2009 formula grants authorized under authorized under section 1433 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) for the Animal Health and Disease Research (AHDR) Program. These grants are used to assist all states in carrying out a program of research activities designed to: (1) to meet expenses of conducting animal health and disease research, publishing and disseminating the results of such research, and contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3940, as amended; 7 U.S.C. 331); (2) for administrative planning and direction; and (3) to purchase equipment and supplies necessary for conducting such research. States are eligible for funds appropriated under this Act according to the following formula: One half of the State allotment will be distributed among the States in the proportion that the value of and income to producers from domestic livestock, poultry, and commercial aquaculture species in each State bears to the total value of and income to producers from domestic livestock, poultry, and commercial aquaculture species in all the States, based on the most current inventory of all cattle, sheep, swine, horses, poultry, and commercial aquaculture species published by the U.S. Department of Agriculture. The remainder of the State allotment will be distributed among the States in the proportion that the animal health and disease research capacity of the eligible institutions in each State bears to the total animal health and disease research capacity in all the States, as determined by NIFA. Uses: Research on animal health and disease of food animals and horses conducted in eligible institutions under the following categories: (1) Infectious diseases; (2) internal and external parasites; (3) noninfectious diseases, toxins, poisons, transportation losses, predators and other hazards; (4) diseases and parasites of wildlife transmissible to food animals and horses; and (5) diseases and parasites of animals transmissible to people.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research: (1) Schools and Colleges of Veterinary Medicine; and (2) State Agricultural Experiment Stations. Funds are appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act. Applications may be submitted by accredited State veterinary schools or colleges or agricultural experiment stations that conduct animal health and disease research in accordance with NARETPA section 1433(c): Auburn University, Agricultural Experiment Station; Auburn University, School of Veterinary Medicine; Tuskegee University, School of Veterinary Medicine; University of Alaska, Agricultural Experiment Station; University of Arizona, Agricultural Experiment Station; University of Arkansas, Agricultural Experiment Station; University of California-Oakland, Agricultural Experiment Station; University of California-Davis, School of Veterinary Medicine; Colorado State University, Agricultural Experiment Station

and College of Veterinary Medicine; University of Connecticut-Storrs, Agricultural Experiment Station; University of Delaware, Agricultural Experiment Station; University of Florida, Agricultural Experiment Station; University of Florida, College of Veterinary Medicine; University of Georgia, Agricultural Experiment Station; University of Georgia, College of Veterinary Medicine; University of Hawaii, Agricultural Experiment Station; University of Idaho, Agricultural Experiment Station; University of Illinois, Agricultural Experiment Station and College of Veterinary Medicine; Purdue University, Agricultural Experiment Station and College of Veterinary Medicine; Iowa State University, Agricultural and Home Economics Experiment Station; Iowa State University, College of Veterinary Medicine; Kansas State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Kentucky, Agricultural Experiment Station; Louisiana State University, Agricultural Experiment Station; Louisiana State University, College of Veterinary Medicine; University of Maine, Agricultural Experiment Station; University of Maryland, Agricultural Experiment Station; University of Massachusetts, Agricultural Experiment Station; Tufts University, School of Veterinary Medicine; Michigan State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Minnesota, Agricultural Experiment Station; University of Minnesota, College of Veterinary Medicine; Mississippi State University, Agricultural and Forestry Experiment Station and College of Veterinary Medicine; University of Missouri, Agricultural Experiment Station; University of Missouri, College of Veterinary Medicine; Montana State University, Agricultural Experiment Station; University of Nebraska, Agricultural Experiment Station; University of Nevada, Agricultural Experiment Station; University of New Hampshire, Agricultural Experiment Station; Rutgers University, Agricultural Experiment Station; New Mexico State University, Agricultural Experiment Station; Cornell University, Agricultural Experiment Station; Cornell University, College of Veterinary Medicine; North Carolina State University, Agricultural Experiment Station; North Carolina State University, College of Veterinary Medicine; North Dakota State University, Agricultural Experiment Station; Ohio State University, Ohio Agricultural Research & Development Center; Ohio State University, College of Veterinary Medicine; Oklahoma State University, Agricultural Experiment Station and College of Veterinary Medicine; Oregon State University, Agricultural Experiment Station; Pennsylvania State University, Agricultural Experiment Station; University of Pennsylvania, College of Veterinary Medicine; University of Puerto Rico, Agricultural Experiment Station; University of Rhode Island, Agricultural Experiment Station; Clemson University, Agricultural Experiment Station; South Dakota State University, Agricultural Experiment Station; University of Tennessee, Agricultural Experiment Station; University of Tennessee, College of Veterinary Medicine; Texas A&M University, Agricultural Experiment Station and College of Veterinary Medicine; Utah State University, Agricultural Experiment Station; University of Vermont, Agricultural Experiment Station; Virginia Polytechnic Institute and State University, Agricultural Experiment Station and College of Veterinary Medicine; Washington State University, Agricultural Experiment Station and College of Veterinary Medicine; West Virginia University, Agricultural and Forestry Experiment Station; University of Wisconsin, Agricultural Experiment Station and College of Veterinary Medicine; and University of Wyoming, Agricultural Experiment Station. Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity.

Beneficiary Eligibility:

State; Public nonprofit institution/organization; Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research: (1) Schools and Colleges of Veterinary Medicine; and (2) State Agricultural Experiment Stations. Funds are appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its

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application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/program/animal-health-and-disease-research-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All Request for Applications (RFAs) are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements. Please see the following NIFA Agency link for more information: <http://nifa.usda.gov/program/animal-health-research-and-disease-program>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA. Applicants must follow the instructions provided per Grants.gov. Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for

Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/animal-health-and-disease-research-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Funds will be released on a quarterly basis to the institutions. See Appendix A of the Request for Application (RFA) for the specific allocation. AHDR funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation. 2 CFR Part 200 Subpart C and Appendix I apply to this program. 2 CFR Part 400 applies to this program. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/animal-health-and-disease-research-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/program/animal-health-and-disease-research-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

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Not Applicable. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/program/animal-health-and-disease-research-program>

Formula and Matching Requirements:

Statutory Formula: Title Food and Agriculture Act of 1977, Chapter , Part , Subpart , Public Law 95-113. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. 7 U.S.C. 3200 provides that No funds in excess of \$100,000 shall be paid by the Federal Government to any State under this subchapter during any fiscal year in excess of the amount from non-Federal sources made available to and budgeted for expenditure by eligible institutions in the State during the same fiscal year for animal health and disease research. The Secretary is authorized to make such payments in excess of \$100,000 on the certificate of the appropriate official of the eligible institution having charge of the animal health and disease research for which such payments are to be made. If any eligible institution certified for receipt of matching funds fails to make available and budget for expenditure for animal health and disease research in any fiscal year sums at least equal to the amount for which it is certified, the difference between the Federal matching funds available and the funds made available to and budgeted for expenditure by the eligible institution shall be reapportioned by the Secretary among other eligible institutions of the same State, if there are any which qualify therefore, and, if there are none, the Secretary shall reapportion such difference among the other States. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

AHDR funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation. 2 CFR Part 200, Subpart D applies to this program. AHDR funds are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one (1) additional year. Please note that there is no limitation on the amount of federal funds that may be carried over for this one (1) additional fiscal year. No prior approval from NIFA is required. Since this limitation is legislatively mandated, NIFA is not authorized to grant any carry-over requests beyond this one (1) additional year. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/animal-health-and-disease-research-programRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

Reporting requirements, as specified in 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (the Uniform Guidance), and 7 CFR 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements. Institutions are expected to submit an annual Program of Research, which is a listing of all approved AHDR projects for said institution. REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be

documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. Financial reporting via the SF-425, Federal Financial Report are due annually to the Capacity Grants Branch, Awards Management Division, OIGM, on December 31st, for the period of October 1st through September 30th, on an annual basis until the award is closed out. For additional details regarding the annual, final technical and financial reporting requirements, please refer to the Agency's website titled Animal Health Research and Disease Program at: <http://nifa.usda.gov/program/animal-health-research-and-disease-program> Instructions for using the REEport system are included on the NIFA web site at: <http://nifa.usda.gov/reeport-resources-land-grant-partners>. Capacity Grants Branch Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 E-mail: capacitygrantquestions@usda.gov NIFA uses the SF-425, Federal Financial Report to monitor cash. (Pertinent details regarding Progress Reports are provided above.) (Pertinent details regarding Expenditure Reports are provided above.) (Pertinent details regarding Performance Monitoring Reports are provided above.)

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

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Formula Grants (Apportionments) FY 20 \$3,717,120.00; FY 21 est \$3,717,120.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per Capacity, Competitive, and/or Non-Competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/program/animal-health-and-disease-research-program>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Sixty-seven Capacity block grants will be awarded to eligible State entities (all Agricultural Experiment Stations and US Veterinary Colleges). These funds will be used at the discretion of authorized Deans and Directors to address local, regional or national agricultural animal health and disease research priorities of their respective states.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES The Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,

Kansas City MO 64141

Email: capacitygrantquestions@usda.gov

Phone: (202) 401-4952

Fax:

Website Address:

<http://nifa.usda.gov/program/animal-health-research-and-disease-program>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.025 Plant and Animal Disease, Pest Control, and Animal Care; 10.500 Cooperative Extension Service; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

2021 The projects will be conducted in accordance with the legal authority for this program, all of the following project topic areas relate directly toward the enhancement of animal health through the support of research activities related to: 1) reducing the incidence of food-borne illness and to provide a safer food supply; and 2) to protect animal health by minimizing disease transmission through improved basic and applied mechanisms to prevent and control disease in livestock (i.e., vaccinology, improved diagnostic testing and capabilities, and improved herd and flock management).

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/program/animal-health-and-disease-research-program>

10.210 Higher Education Graduate Fellowships Grant Program

Institution Challenge, Multicultural Scholars & Graduate Fellowships Grant Program (Graduate Fellowships) [Formerly: National Needs Fellowship (NFF)],

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Section 7106 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246) amends the legislative authority for this program contained in Section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152) to include the University of the District of Columbia as an eligible applicant., 7 USC Code 3152.

OBJECTIVES:

The purpose of the NNF Grants Program is to train students for Masters and/or doctoral degrees and provide additional postdoctoral training for Fellows who have completed their doctoral degrees at colleges and universities that have demonstrable teaching and research competencies in the food and agricultural sciences. Fellowships and IRTA awards are specifically intended to support traineeship programs that encourage outstanding students to pursue and complete their degrees or obtain postdoctoral training in areas where there is a national need for the development of scientific and professional expertise in the food and agricultural sciences. Fellowships and IRTA awards invest in graduate training and relevant international experiential learning for a cadre of diverse individuals who demonstrate their potential to successfully complete graduate degree programs in disciplines relevant to the mission of the USDA.

TYPES OF ASSISTANCE:

Project Grants

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

Competitive annual grants providing for a limited number of graduate stipends are awarded to selected U.S. institutions of higher education so as to distribute support for graduate study to promising food and agricultural scientists and professionals. Individuals selected initially by the institution must enroll in a program leading to a graduate, master's or doctoral degree in one of the targeted national needs areas of the food and agricultural sciences. Such persons may not have been enrolled previously in the program at the same degree level. Current fellows are eligible to receive supplemental grants of \$3,000 to cover travel and living expenses for special international study or thesis/dissertation research experiences. Funding for the supplemental grants will be awarded on a competitive basis using no-year funds drawn from expired fellowship grants with unspent funds remaining as set forth in the annual program guidelines.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Proposals may be submitted by all U.S. colleges and universities that confer a master's or doctoral degree in at least one area of the food and agricultural sciences targeted for national needs fellowships. As defined in Section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3103), the terms "college" and "university" mean "an educational institution in any State which: (a) Admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (b) is legally authorized within such State to provide a program of education beyond secondary education; (c) provides an educational program for which a bachelor's degree or any other higher degree is awarded; (d) is a public or other nonprofit institution; and (e) is accredited by a nationally recognized accrediting agency or association." Eligibility also applies to research foundations maintained by eligible colleges or universities.

Beneficiary Eligibility:

Minority group; Private nonprofit institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; Funds awarded in this program are used to support the training of graduate students to obtain either a master's or doctoral degree in one of the targeted specializations of the food and agricultural sciences.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward

process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduateRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An Environmental Impact statement (EIS) (actual or potential) is not required for this program. However the NIFA Application (R&R Other Project Information Part 4.4) requires a statement to that effect.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduateRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types

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(e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduateRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Section 094 - Deadlines: Dates for specific deadlines are announced in the RFA each fiscal year. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduateRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Grants cover a five (5) year period, however, fellows are supported for three (3) years for a doctoral degree or two (2) years for a masters during that five (5) year period. 2 CFR Part 200, Subpart D applies to this program. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduateRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful);

ASSISTANCE LISTINGS DESCRIPTIONS

(2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.327, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@nifa.usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017).(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$3,110,400.00; FY 21 est \$3,100,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Pertinent details to be provided by program at a future date.

Fiscal Year 2021: Pertinent details to be provided by program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141 Email: NNF@usda.gov Phone: 816-926-1453

ASSISTANCE LISTINGS DESCRIPTIONS

Fax:

Website Address:

<http://nifa.usda.gov/program/national-needs-graduate-and-postgraduate-fellowship-grants-program-funding-opportunity-ann>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants; 10.310 Agriculture and Food Research Initiative (AFRI); 10.220 Higher Education Multicultural Scholars Program; 10.216 1890 Institution Capacity Building Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 Pertinent details to be provided by program at a future date. 2021 Pertinent details to be provided by program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

(1) The degree to which the proposal clearly establishes that the proposed program of graduate training will result in the development of outstanding scientific/professional expertise related to the national need area and in a reasonable period of time; (2) the degree to which the proposed program of study reflects special features such as a combination-disciplinary, approach (inter-disciplinary, multi-disciplinary or cross-disciplinary), a unique collateral specialization in a related discipline, experimental learning opportunities, a unique mentoring program, seminars, or a multi-university collaborative approach; (3) the degree to which the proposal establishes that the institution's faculty, facilities and equipment, instructional support resources, and other attributes are excellent for providing outstanding graduate study and research at the forefront of science and technology related to the chosen area of national need; (4) the degree to which the institution's plans and procedures for recruitment and selection of academically outstanding fellows and for monitoring and facilitating fellow's progress through a program of study reflect excellence as documented in the proposal; (5) the degree to which supporting summary data substantiate program quality in the targeted national need area; (6) the quality of the proposal as reflected by its substantive content, organization, clarity, and accuracy; and (7) any additional evaluation criteria as cited in the annual program announcement published in the Federal Register. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agricultural-sciences-national-needs-graduate-and-postgraduate>

10.212 Small Business Innovation Research

(SBIR Program - Phase I and II),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

This program solicitation is issued pursuant to the Small Business Innovation Development Act of 1982, Public Law 97-219, as amended (15 U.S.C. 638) and Section 630 of the Act making appropriations for Agriculture, Rural Development and Related Agencies programs for fiscal year ending September 30, 1987, and for other purposes, as made applicable by Section 101(a) of Public Law 99-591, 100 Stat. 3341., 15 USC Code 638.

OBJECTIVES:

To stimulate technological innovation in the private sector, strengthen the role of small businesses in meeting Federal research and development needs, increase private sector commercialization of innovations derived from USDA-supported research and development efforts, and foster and encourage participation, by women-owned and socially disadvantaged small business firms in technological innovation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The selected areas for research are Forests and Related Resources; Plant Production and Protection-Biology; Animal Production and Protection; Air, Water and Soils; Food Science and Nutrition; Rural and Community Development; Aquaculture; Biofuels and Biobased Products; Small and Mid-Size Farms, Plant Production and Protection-Engineering. The Small Business Innovation Research Program is carried out in three separate phases: Phase I projects are supported to determine the scientific or technical feasibility of ideas submitted by small business applicants on the selected research areas; Phase II awards are made to firms with approaches that appear sufficiently promising as a result of Phase I studies. Only those small businesses previously receiving Phase I awards in either of the two preceding fiscal years are eligible to submit Phase II proposals. Phase II projects are limited to \$450,000 for a period normally not to exceed 24 months; Phase III is to be conducted by the small business concern (including joint ventures and limited partnerships), and will be non-SBIR funded through the exercising of a follow-on funding commitment. The purpose of Phase III is to stimulate technological innovation and the national return on investment from research through the pursuit of commercialization objectives resulting from the USDA-supported work carried out in Phases I and II.

Applicant Eligibility:

Small business (less than 500 employees); Applicant Eligibility (1) is organized for profit, with a place of business located in the United States, which operates primarily within the United States, or which makes a significant contribution to the United States economy through the payment of taxes or use of American products, materials or labor; (2) is in the legal form of an individual proprietorship, partnership, limited liability company, corporation, joint venture, association, trust or cooperative, except that where the form is a joint venture, there can be no more than 49 percent participation by foreign business entities in the joint venture; (3) is at least 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, except in the case of a joint venture, where each entity in the venture must be 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in the United States; and (4) has, including its affiliates, not more than 500 employees. The term "affiliates" is defined in greater detail in 13 CFR 121.103. The term "number of employees" is defined in 13 CFR 121.106.

Beneficiary Eligibility:

Small business; Small businesses.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g.,

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debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iSBIR> Phase II <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iiRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants-grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iSBIR> Phase II <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iiRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA. 2

CFR Part 200 Subpart C and Appendix I apply to this program.2 CFR Part 400 applies to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iSBIR> Phase II <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iiRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Section 094 - Deadlines: Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below: <http://www.nifa.usda.gov/> <http://www.grants.gov> Section 095 - Range of Approval/Disapproval Time: From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iSBIR> Phase II: <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

Appeals:

Other. Phase I applications may be revised and resubmitted during a later funding cycle, provided the subject matter of the proposal is not changed, and the topic area under which the proposal was originally submitted is still listed in the solicitation. Phase I grantees may apply for a Phase II grant only once for each Phase I project funded.2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iSBIR> Phase II <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Phase I grants are normally limited to 8 months. Phase II grants are normally limited to 2 years.2 CFR Part 200, Subpart D applies to this program. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years for SBIR Phase II projects. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iSBIR> Phase II <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-iiRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REePORT GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REeReport). Initial reporting (item a. below) is to be submitted through the REeReport system. Annual

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progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or

audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1502-0-1-352. 12-1500-0-1-352. 12-1003-0-1-271.

Obligations:

Project Grants FY 20 \$25,475,046.00; FY 21 est \$27,988,048.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i> SBIR Phase II <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

TAFS Codes:

12-1003. 12-1500. 12-1502. 12-1502. 12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: SBIR Phase I and Phase II proposals were solicited in ten (10) different topic areas: Forests and Related Resources; Plant Production and Protection Biology; Plant Production and Protection Engineering; Animal Production and Protection; Air, Water and Soils; Food Science and Nutrition; Rural and Community Development; Aquaculture, Biofuels and Biobased Products; and Small and Mid-Size Farms. Based upon the Presidents Budget, NIFA projects that \$25,029,507 will be available to support projects for this program. However, if additional funding becomes available, it is projected that \$7,500,000 to \$8,500,000 will be available to fund Phase I awards and \$17,500,000 to \$18,500,000 will be available to fund Phase II awards. Pertinent information will be provided by Program at a future date. Fiscal Year 2021: Pertinent information will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and>

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guidanceOGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONSAward terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061Additional Websites:<http://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i><http://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061ADDITIONAL CONTACT:USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Food Safety, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061Dr. Daniel Cassidy 8.1 Forests and Related Resources Patrick.Cassidy@usda.gov (202) 906-0614Dr. Robert Nowierski 8.2 Plant Production and Protection- Biology Robert.Nowierski@usda.gov (202) 552-9084Dr. Robert Smith 8.3 Animal Production and Protection Robert.M.Smith@usda.gov (202) 445-3468 Dr. James Dobrowolski 8.4 Conservation of Natural Resources James.Dobrowolski@usda.gov (202) 420-8918 Dr. Mallory Koenings 8.5 Food Science and Nutrition Mallory.Koenings@usda.gov (202) 604-1985 Dr. Richard Elrod 8.6 Rural and Community Development Richard.Elrod@usda.gov (202) 445-5456 Dr. Timothy Sullivan 8.7 Aquaculture Timothy.Sullivan@usda.gov Dr. Timothy Conner 8.8 Biofuels and Biobased Products Timothy.Conner@usda.gov (816) 926-1816 Dr. Denis Ebodaghe 8.12 Small and Mid-Size Farms Denis.Ebodaghe@usda.gov (202) 445-5460 Dr. Steven Thomson 8.13 Plant Production and Protection Engineering Steven.J.Thomson@usda.gov (816) 926-8805, Kansas City MO 64141 Email: Policy@nifa.usda.gov Phone: (202) 720-5229

Website Address:

<https://nifa.usda.gov/program/small-business-innovation-research-program-sbir>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 SBIR Phase I and Phase II proposals will be solicited in ten (10) different topic areas: Forests and Related Resources; Plant Production and Protection Biology; Plant Production and Protection Engineering; Animal Production and Protection; Air, Water and Soils; Food Science and Nutrition; Rural and Community Development; Aquaculture, Biofuels and Biobased Products; and Small and Mid-Size Farms. Pertinent information will be provided by Program at a future date. 2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

(a) The proposing firm must qualify as a small business concern as specified in the

SBIR solicitation; (b) The proposal must meet the Proposal Content and Format requirements as described in subsection 3.3 of the SBIR solicitation; (c) Proposals must be limited to one research problem (see subsection 3.1 of the SBIR solicitation); (d) The proposed budget must be within the dollar limit identified in subsection 1.2 of the SBIR solicitation; (e) The proposed duration of Phase I projects should normally not exceed 8 months, except in special, justified circumstances, and the duration of Phase II projects should normally not exceed 24 months. Where a proposed research project requires more than 8 months to complete in Phase I, a longer grant period, not to exceed 20 months, may be considered. An applicant of a Phase I project with an anticipated duration beyond 8 months should specify and justify the length of duration in the proposal at the time of its submission to USDA in order for it to be considered; (f) Proposals must cover scientific research activities only (see subsection 3.1 of the SBIR solicitation); (g) The proposed Phase I research must fall within a solicited topic area; (h) A proposal must contain adequate scientific/technical information to state clearly the research plan and objectives. USDA reserves the right not to submit for review any proposal which it finds to have insufficient scientific/technical information; (i) A resubmitted proposal must address concerns of the previous review panel. USDA reserves the right not to submit for review any proposal found not to be responsive to the previous review; and (j) Is it clear that the project director will work a minimum of 51 percent of his/her time for the small business firm during the period of the grant and that the small business firm will conduct a minimum of two-thirds of the research effort? 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: SBIR Phase I <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-i> SBIR Phase II <https://nifa.usda.gov/funding-opportunity/small-business-innovation-research-program-phase-ii>

10.215 Sustainable Agriculture Research and Education

(SARE),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Section 1628 of the Food, Agriculture, Conservation, and Trade Act of 1990 (FACTA Act), , Public Law 101-624, 7 USC Code 5831. Section 1619-1624 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACTA), Public Law 101-624 (7 U.S.C. 5801), , 7 USC Code 5801. Section 1629 of the Food, Agriculture, Conservation, and Trade Act of 1990 (FACTA Act), Smith-Lever 3(d), , Public Law 101-624, 7 USC Code 5832. Section 1621 of the Food, Agriculture, Conservation, and Trade Act of 1990 (FACTA Act), , Public Law 101-624, 7 USC Code 5811. Section 1622 of the Food, Agriculture, Conservation, and Trade Act of 1990 (FACTA Act), and for selected national projects, , Public Law 101-624, 7 USC Code 5812.

OBJECTIVES:

The purpose of the Sustainable Agriculture Research and Education Program (SARE) program is to encourage research designed to increase our knowledge concerning agricultural production systems that: (1) maintain and enhance the quality and productivity of the soil; (2) conserve soil, water, energy, natural resources, and fish and wildlife habitat; (3) maintain and enhance the quality of surface and ground water; (4) protect the health and safety of persons involved in the food and farm system; (5) promote the well-being of animals; and (6) increase employment opportunities in agriculture (section 1619-1624 of the Food, agriculture, Conservation and Trade Act of 1990 (FACTA), Public Law 101-624 (7 U.S.C. 5801)). The request for applications (RFA) is for the regional SARE host institutions to apply for funds to carry out the regional SARE programs under the direction of the SARE Regional Administrative Councils (section 1622 of FACTA (7 U.S.C. 5812)), and for selected national projects. Most SARE competitive grants are made by the SARE regions as subawards. Typically, approximately 85% of the

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award to a SARE regional host institution is used for subawards. Individuals and organizations interested in applying for SARE competitive grants should NOT respond to this funding opportunity; rather they should apply to the appropriate SARE regional program. (See <http://www.nifa.usda.gov/fo/sustainableagricultureresearchandeducation.cfm>)

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Public nonprofit institution/organization; Other public institution/organization; Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as

follows: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications, 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

2 CFR Part 200 - Subpart C and Appendix I apply to this program. Regional technical committees will review, rank and prioritize proposals received from public and private organizations and make recommendations for funding to the regional administrative councils. Regional administrative councils will approve proposals for funding and associated plans of work and submit them to USDA. Research and education subcommittee on sustainable agriculture will review plans of work and the Department will give final approval. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA

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Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. 2 CFR Part 200 - Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

2 CFR Part 200, Subpart D applies to this program. The term of a competitive grant under this authority may not exceed five (5) years. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of

awarding/releasing assistance: other

Reports:

REPort GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepport> and the REReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REReport Database-- Research, Education, and Extension project online reporting tool (REReport). All projects must be documented in REReport. The NIFA contact for all REReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$34,667,520.00; FY 21 est \$37,478,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: NIFA projects that approximately \$17.7 million will be available for awards. Pertinent details will be provided by Program at a future date. Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATION The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your

grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Food Production and Sustainability, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: denis.ebodaghe@usda.gov

Phone: (202) 445-5460

Fax:

Website Address:

<http://nifa.usda.gov/program/sustainable-agriculture-program>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.250 Agricultural and Rural Economic Research; 10.207 Animal Health and Disease Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

2020 NIFA anticipates that similar projects will be funded as per prior years. Pertinent details will be provided by Program at a future date. 2021 Pertinent details will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sare-regional-host-institution>

10.216 1890 Institution Capacity Building Grants

1890 Capacity (CBG),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Authority for this program is contained in section 1417 (b)(4) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) [7 U.S.C. 3152(b)(4)](b)(4), , 7 USC Code 3152(b)(4).

OBJECTIVES:

To build the research and teaching capacities of the 1890 land-grant institutions and Tuskegee University through cooperative programs with Federal and nonfederal entities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For teaching grants, funds may be used in the following targeted areas: (1) Curricula design and materials development; (2) faculty preparation and enhancement for teaching; (3) instruction delivery systems; (4) scientific

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instrumentation for teaching; (5) student experiential learning; and (6) student recruitment and retention. For research grants, funds may be used to address high-priority research initiatives in areas where there is a present or anticipated need for increased capabilities and in which it is feasible for applicants to develop programs recognized for their excellence. Research areas include, but are not limited to: (1) Studies and experimentation in food and agricultural sciences; (2) centralized research support systems; and (3) technology delivery systems.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; The 1890 land-grant institutions and Tuskegee University. The 1890 land-Grant Institutions are: Alabama A&M University; Alcorn State University; University of Arkansas-Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Lincoln University (MO); Langston University; University of Maryland-Eastern Shore; North Carolina A&T State University; Prairie View A&M University; South Carolina State University; Southern University and A&M College; Tennessee State University; Virginia State University; and West Virginia State College.

Beneficiary Eligibility:

Federal; Education Professional; State; Public nonprofit institution/organization; Other public institution/organization; The seventeen 1890 land-grant institutions and Tuskegee University, non-1890 academic institutions, private industry, and the Department of Agriculture.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbgRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost

Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbgRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA. 2 CFR Part 200 - Subpart C and Appendix I apply to this program. 2 CFR Part 400 applies to this program. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within

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reviewer scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program. 2 CFR Part 400 applies to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a one (1) to three (3) year period and may receive no-cost extensions of time as appropriate up to maximum of five (5) years. 2 CFR Part 200, Subpart D applies to this program. 2 CFR Part 400 applies to this program. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the

Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$23,000,000.00; FY 21 est \$24,693,760.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Pertinent details will be provided by Program at a future date.

Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgment for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and

conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: manoharan.muthusamy@usda.gov

Phone: (816)702-9676

Fax:

Website Address:

<http://nifa.usda.gov/program/1890-land-grant-institutions-programs>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.217 Higher Education Challenge Grants; 10.200 Grants for Agricultural Research, Special Research Grants; 10.207 Animal Health and Disease Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University;

EXAMPLES OF FUNDED PROJECTS:

2021 Data is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbg>

10.217 Higher Education - Institution Challenge Grants Program

Institution Challenge, Multicultural Scholars & Graduate Fellowships Grant Program (Institution Higher Education Challenge) [Formerly: Challenge or HEC Grants],

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, , 7 USC Code 3152(b)(1). National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1405, 7 USC Code 3121, 1417(b)(1).

OBJECTIVES:

To increase institutional capacities to respond to State, regional, national, or international educational needs by strengthening college and university teaching programs in the food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, faculty preparation and enhancement for teaching, instruction delivery systems, scientific instrumentation for teaching, student experiential

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learning, and student recruitment and retention, as cited in the annual program announcement in the Federal Register.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Applicants for the HEC must meet all the requirements discussed in this RFA. Applications may be submitted by: 1. U.S. public or private nonprofit colleges and universities offering a baccalaureate or first professional degree in at least one discipline or area of the food and agricultural sciences; 2. land-grant colleges and universities, (including land grant institutions in the Insular Areas); 3. colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of food and agricultural sciences; and 4. other colleges and universities having a demonstrable capacity to carry out the teaching of food and agricultural sciences.

Beneficiary Eligibility:

Minority group; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; Applicants for the HEC must meet all the requirements discussed in this RFA. Applications may be submitted by: 1. U.S. public or private nonprofit colleges and universities offering a baccalaureate or first professional degree in at least one discipline or area of the food and agricultural sciences; 2. land-grant colleges and universities, (including land grant institutions in the Insular Areas); 3. colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of food and agricultural sciences; and 4. other colleges and universities having a demonstrable capacity to carry out the teaching of food and agricultural sciences.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026. Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs)

for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formal proposals should be submitted to the Proposal Services Unit, NIFA, as outlined in the solicitation of applications. Application procedures are contained in the program regulations and required forms are contained in the Grant Application Kit. This program is subject to the regulatory provisions of USDA, 7 CFR Part 3015, et seq., and all successor regulations. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov. 2 CFR Part 200 Subpart C and Appendix I apply to this program. 2 CFR Part 400 applies to this program. Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE:

ASSISTANCE LISTINGS DESCRIPTIONS

Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. A grant recipient is required to match 25% of the USDA funds awarded. Matching funds must come from non-Federal sources and are not required to come in any particular amount from any one source. However, all matching funds pledged in the application must be accompanied by documentation. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a one (1) to three (3) year period and may receive no-cost extensions as appropriate up to a maximum of five (5) years. 2 CFR Part 200, Subpart D applies to this program. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-

6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention

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requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$4,700,000.00; FY 21 est \$4,500,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is anticipated that the funding level will be comparable and the types of projects will be similar. Pertinent details will be provided by Program at a future date. Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgment for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO

64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: ilawrence@usda.gov
Phone: 816-926-1905
Fax:

Website Address:

<http://nifa.usda.gov/program/higher-education-challenge-grants-program>

RELATED PROGRAMS:

10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 Pertinent data to be provided by Program at a future date. 2021 Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 US DAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-challenge-hec-grants-program>

10.219 Biotechnology Risk Assessment Research

(BRAG).

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Section 7210, , Public Law 101-171, 7 USC Code 5921. Food, Agriculture, Conservation, and Trade Act of 1990, Section 1668, , 7 USC Code 7901.

OBJECTIVES:

The purpose of the BRAG program is to assist Federal regulatory agencies in making science-based decisions about the effects of introducing into the environment genetically engineered organisms, including plants, microorganisms (including fungi, bacteria, and viruses), arthropods, fish, birds, mammals and other animals excluding humans. Investigations of effects on both managed and natural environments are relevant. The BRAG program accomplishes its purpose by providing Federal regulatory agencies with scientific information relevant to regulatory considerations derived from the risk assessment research that the program funds.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research objectives. Funds may not be used for purposes other than those approved in the grant award documents.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public

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institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Any public or private research or educational institution or organization.

Beneficiary Eligibility:

Minority group; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Any public or private research or educational institution or organization.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-bragRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are

submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-bragRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-bragRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-bragRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. Not Applicable.

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Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management

Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352. 12-1003-0-1-271. 12-1502-0-1-352.

Obligations:

Project Grants FY 20 \$4,500,000.00; FY 21 est \$4,500,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag> TAFS Codes:

12-1500. 12-1502. 12-1003. 12-1502. 12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Based upon the Presidents Budget, NIFA projects that \$3,736,564 will be available for awards. Similar projects will be supported as those funded in FY 2019. Pertinent information will be provided by Program at a future date. Fiscal Year 2021: Pertinent information will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with

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grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Food Production and Sustainability, Division of Plant Systems-Production, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: lakskhmi.matukumalli@usda.gov
Phone: 816-926-1189
Fax:

Website Address:

<http://nifa.usda.gov/program/biotechnology-risk-assessment-research-grants-program>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.250 Agricultural and Rural Economic Research; 10.207 Animal Health and Disease Research; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

2020 It is anticipated that similar projects will be funded in Fiscal Year 2020. Pertinent information will be provided by Program at a future date. 2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biotechnology-risk-assessment-research-grants-program-brag>

10.220 Higher Education - Multicultural Scholars Grant Program

Institution Challenge, Multicultural Scholars & Graduate Fellowships Grant Program (Multicultural Scholars) [Formerly: Minority Scholars Program],

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Legislative authority for this program is contained in section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152). USDA/NIFA administers this federal assistance grant program to support food and agricultural sciences baccalaureate and Doctor of Veterinary Medicine (D.V.M.) degree training of the next generation of scientists, policy makers, and educators in the Food and Agricultural Sciences. USDA initiated the Higher Education Multicultural Scholars Program in FY 1994. This program, functioning collaboratively with eligible higher education institutions, is developing intellectual capital to secure the preeminence of U.S. food and agricultural systems., , 7 USC Code 3152.

OBJECTIVES:

To increase the ethnic and cultural diversity of the food and agricultural scientific and professional work force, and to advance the educational achievement of minority Americans, by providing grants to colleges and universities that have a demonstrable capacity to attract, educate, and graduate minority students for careers as agriscience and agribusiness professionals, and have unique capabilities for achieving the objective of full representation of minority groups in the fields of agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agricultural system.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive annual grants to selected U.S. institutions of higher education provide for a limited number of four-year undergraduate scholarships to support minority students pursuing baccalaureate degrees in the food and agricultural sciences. Institutions also receive an annual cost-of-education allowance for each scholar supported by a grant. The scholars are selected by the institutions and students must apply for the scholarships through procedures established by the individual institutions. No scholarship grants are made directly to students and students cannot apply directly to USDA for scholarships. Scholarship monies may be used for tuition, fees, room, board, and other educational expenses as announced in a Federal Register notice inviting applications for new awards. The institutional cost-of-education allowance may be used by the selected institutions to defray program expenses including, but not limited to, travel and publications costs incurred for student recruitment, and salaries of project personnel.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Only the following are eligible to apply: Land-Grant Institutions, Colleges and universities having

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significant minority enrollments, other colleges and universities, and Institutes or research foundations maintained by an eligible college or university. Institutions that have not previously applied are especially encouraged to do so. Applicants must be institutions that confer a bachelors or D.V.M. degree in at least one of the disciplines in the food and agricultural sciences. Awards are made to eligible colleges and universities. Individuals are not eligible to apply for these grants. Project Directors who have received MSP awards in the last five years may also apply. However, the respective proposal must differ substantively in scope and objectives from previously funded MSP projects in the last five years by the same Project Director.

Beneficiary Eligibility:

Minority group; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Other Non-White; State; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Only the following are eligible to apply: Land-Grant Institutions, Colleges and universities having significant minority enrollments, other colleges and universities, and Institutes or research foundations maintained by an eligible college or university. Institutions that have not previously applied are especially encouraged to do so. Applicants must be institutions that confer a bachelors or D.V.M. degree in at least one of the disciplines in the food and agricultural sciences. Awards are made to eligible colleges and universities. Individuals are not eligible to apply for these grants. Project Directors who have received MSP awards in the last five years may also apply. However, the respective proposal must differ substantively in scope and objectives from previously funded MSP projects in the last five years by the same Project Director.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-mspRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific

instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-mspRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-mspRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

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Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-mspRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a five (5) year period, however, scholars are supported for four (4) years during that five (5) year period. One (1) year of the grant period is available for student recruitment and for short interruptions of a scholar's educational advancement due to personal circumstances or emergencies. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-mspRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) also is to be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <http://portal.nifa.usda.gov>. a. Initial Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport) All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: REEReportNational Institute of Food and AgricultureU.S. Department of AgricultureSTOP 22131400 Independence Avenue, S.W. Washington, D.C. 20250-2213Telephone: (202) 690-0009E-mail: electronic@nifa.usda.gov. Annual Progress Reports. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Project Modifications section of the annual Progress Report. 1.) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. c. Final Technical Report The Final Technical Report

is required within 90 calendar days after the expiration or termination of the award. The Final Technical Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) STOP 2271 1400 Independence Avenue, SW Washington, DC 20250-2271 Telephone: (202) 401-4986 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subpart F Audit Requirements nonfederal entities that expend financial assistance of \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. A non-Federal entity that expends less than \$750,000 during the non-Federal entity's fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.333 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to

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ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$950,400.00; FY 21 est \$945,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is anticipated that similar projects will be awarded.

Approximately 24 applications, and five awards are projected. Fiscal Year 2021:

Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: MSP@usda.gov

Phone: 816-926-1453

Fax:

Website Address:

<http://nifa.usda.gov/program/higher-education-multicultural-scholars-program-msp>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 Project data is not yet available. Pertinent data to be provided by Program at a future date. 2021 Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/higher-education-multicultural-scholars-program-msp>

10.221 Tribal Colleges Education Equity Grants

Higher Education Native American Formula and/or Higher Education Native American Institutions,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Authority for the Tribal Colleges Education Equity Grants (TCEG) program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note) as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). Appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements., , 7 USC Code 301 note.

OBJECTIVES:

This grants program is designed to promote and strengthen higher education instruction in the food and agricultural sciences at the 34 Tribal Colleges designated as 1994 Land-Grant Institutions in the Equity in Educational Land-Grant Status Act of 1994, as amended. Plans of Work should focus on undergraduate and/or graduate studies in the food and agricultural sciences in one or more of the following areas: Curricula Design and Materials Development, Faculty Development and Preparation for Teaching, Instruction Delivery Systems, Student Experiential Learning, Equipment and Instrumentation for Teaching, or Student Recruitment and Retention. The purpose of the TCEG Program is to provide funding to enhance educational opportunities for Native Americans in the food and agricultural sciences. The TCEG program is intended to strengthen institutional capacity to deliver relevant formal education opportunities. The TCEG is intended to be a component of the applicant 1994 institutions land grant roadmap or strategic planning process. To the extent practicable, priorities should reflect the following national critical needs areas: 1. Sustainable energy 2. Global food security and hunger 3. Climate change 4. Nutrition and preventing childhood obesity 5. Food safety 6. Sustainable rural economies Awards are made upon approval of each institutions application for a grant that relate to an institutions long-range goals. As indicated in Part 1, C. of the RFA, an application may address

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one (1) or more of the following program areas: Curricula Design and Materials Development, Faculty Development and Preparation for Teaching, Instruction Delivery Systems, Student Experiential Learning, Equipment and Instrumentation for Teaching, Student Recruitment and Retention. Recognizing that strengthening instructional programs is a long-term ongoing process, the TCEG program is interested in funding subsequent phases of previously funded projects in order to strengthen institutional capacity, and institutions are encouraged to build on a theme over several grant awards to reach institutional long-range goals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Tribal College Equity Program (TCEG) provides funds to enhance educational opportunities for Native Americans by strengthening instructional programs in the food and agricultural sciences at the thirty-four (34) tribal colleges designated as the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions). Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended. This Act, as amended in Section 533(a), requires that each 1994 Land-Grant Institution be accredited or making progress towards accreditation and be recognized as a legal entity. If accreditation is being sought, a college must demonstrate its progress towards accreditation by a letter from a nationally recognized accreditation agency affirming receipt of application for an accreditation site visit or other such documentation. An applicant's failure to meet an eligibility criterion by the time of an application deadline will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from making an award. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of project goals and objectives.

Beneficiary Eligibility:

State; Local; Current Listing of 1994 Land-Grant Institutions (aka Tribal Colleges): Aaniiih Nakoda College; Bay Mills Community College; Blackfeet Community College; Cankdeska Cikana Community College; Chief Dull Knife College; College of Menominee Nation; College of the Muscogee Nation; Dine College; Fond du Lac Tribal and Community College; Fort Peck Community College; Haskell Indian Nations University; Iisagvik College; Institute of American Indian Arts; Keweenaw Bay Ojibwa Community College; Lac Courte Oreilles Ojibwa Community College; Leech Lake Tribal College; Little Big Horn College; Little Priest Tribal College; Navajo Technical University; Nebraska Indian Community College; Nueta, Hidatsa and Sahnish College; Northwest Indian College; Oglala Lakota College; Saginaw Chippewa Tribal College; Salish Kootenai College; Sinte Gleska University; Sisseton Wahpeton College; Sitting Bull College; Southwestern Indian Polytechnic Institute; Stone Child College; Tohono Oodham Community College; Turtle Mountain Community College; United Tribes Technical College; and White Earth Tribal and Community College.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM

requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one-time basis, with updates on an as-needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tcegrfAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All Requests for Applications (RFAs) are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications, 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tcegrfAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension

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experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tcegRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tcegRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Under this RFA, only new applications for four-year continuation awards may be submitted to the TCEG Program. These are project applications not previously submitted to TCEG. All new applications will be reviewed by a review panel using the process and criteria described in Part V of the RFA Application Review Requirements. 2 CFR Part 200, Subpart D applies to this program. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tcegRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs

supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

Award Terms and Conditions: Awards are accompanied by provisions including Terms and Conditions for the funded project. Grantees must stay informed and guided by the Terms and Conditions of the award regarding required reports, program/project data collection, program income, release of information, sharing of findings, data, and other project products, patents, inventions, copyrights, etc. Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally funded projects. The details of the reporting requirements are included in the award terms and conditions. REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. The details of the reporting requirements are included in the award terms and conditions. Generally, the final technical report should be a summary of the completed project, including: 1. A review of project objectives and accomplishments; 2. A description of outcomes resulting from the project and activities undertaken to disseminate these outcomes; 3. An explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project; 4. A description of the projects impact on the PD(s), the institution(s) involved, and the community; 5. Any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award; and, Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations). Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 NIFA uses SF-425, Federal Financial Report to monitor cash. (Pertinent

ASSISTANCE LISTINGS DESCRIPTIONS

details regarding Progress Reports are provided above.) A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations). Awards Management Branch Office of Extramural Programs National Institute of Food and Agriculture U.S. Department of Agriculture STOP 2271 1400 Independence Avenue, SW Washington, DC 20250-2271 Telephone: (202) 401-4986 (Pertinent details regarding Performance Monitoring Reports are provided above.)

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$4,000,000.00; FY 21 est \$3,439,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Equity Funds for ineligible 1994 institutions or of those who fail to apply by the application submission date will be redistributed equally among the remaining eligible 1994 institutions. Under this RFA, only new applications for four-year continuation awards may be submitted to the TCEG Program. These are project applications not previously submitted to TCEG. All new applications will be reviewed by a review panel using the process and criteria described in Part V of the RFA Application Review Requirements. If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-education-equity-program-tceg>

TAFS Codes:
12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FY 2020 is projected to be the same as FY 2019. Fiscal Year 2021: Pertinent details will be provided by program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs

primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: erin.riley@usda.gov
Phone: (816) 926-2131
Fax:

Website Address:

<http://nifa.usda.gov/program/tribal-equity-grants-program>

RELATED PROGRAMS:

10.500 Cooperative Extension Service; 10.222 Tribal Colleges Endowment Program;

EXAMPLES OF FUNDED PROJECTS:

2020 FY 2020 is the third year of a continuation cycle and the same projects are funded as in FY 2019. 2021 Pertinent details will be provided by program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 US DAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges->

ASSISTANCE LISTINGS DESCRIPTIONS

education-equity-program-tceg

10.222 Tribal Colleges Endowment Program

Tribal Colleges Endowment Interest Program
1994 Institutions Endowment Interest Program,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title V, Part C of the Improving Americas Schools Act of 1994, Public Law 103-382 (October 20, 1994), 7 U.S.C. 301 note, as amended; Public Law 105-185, cited as Equity in Educational Land-Grant Status Act of 1994, (hereafter referred to as the Act) provides that certain tribal colleges, designated as 1994 Land-Grant Institutions, (hereafter referred to as LGIs) receive various benefits. . . 7 USC Code 301 note.

OBJECTIVES:

To enhance educational opportunities at the thirty-four (34) Land Grant Institutions by strengthening their teaching programs in the food and agricultural sciences in targeted need areas. The legislation designates that the interest from the endowment funds benefit the 1994 land-Grant institutions by supporting teaching programs in the food and agricultural sciences in the target areas of: 1) Curricula design and instructional materials 2) Faculty development and preparation for teaching 3) Instruction delivery systems 4) Student experiential learning 5) Equipment & instrumentation for teaching 6) Student recruitment and retention. Additionally, endowment funds released on or after October 1, 2001, also may be used for facility renovation, repair, construction and maintenance to support teaching programs in the food and agriculture sciences in addition to the above six (6) areas, to support the land-grant mission.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

The Tribal Colleges Endowment Fund benefits the 1994 land-grant institutions by promoting capacity development in teaching programs in the food and agricultural sciences. The Tribal Colleges Endowment Fund, as a teaching capacity development program, is a companion program of the Tribal Colleges Equity Grants Program. It differs primarily from the Equity Grants Program in two (2) respects, namely: Endowment funds may be escrowed indefinitely and used for major obligations relating to the allowable activities. As of October 1, 2001, Endowment funds also may be used for facility renovation, repair, construction, and maintenance in support of and in addition to the six (6) targeted areas of support listed below. Interest distribution from an endowed corpus based on a formula specific to this legislation. The legislation designates that the interest from the endowment funds benefit the 1994 land-Grant institutions by supporting teaching programs in the food and agricultural sciences in the target areas of: 1) Curricula design and instructional materials 2) Faculty development and preparation for teaching 3) Instruction delivery systems 4) Student experiential learning 5) Equipment & instrumentation for teaching 6) Student recruitment and retention. Additionally, endowment funds released on or after October 1, 2001, also may be used for facility renovation, repair, construction and maintenance to support teaching programs in the food and agriculture sciences in addition to the above six (6) areas, to support the land-grant mission.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Eligibility is defined by legislation. An institution must be an accredited 1994 Land Grant Institution with current accreditation from a recognized accreditation organization. Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note: Public Law 103-382) by adding at the end the following: (34) Ilisagvik College. The complete listing of 1994 Land-Grant Institutions (LGIs) is as follows: Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Chief Dull Knife College, College of the Menominee Nation,

D-Q University, Dine College, Fond du Lac Tribal and Community College, Fort Belknap College, Fort Berthold Community College, Fort Peck Community College, Haskell Indian Nations University, Ilisagvik College, Institute of American Indian Arts, Leech Lake Tribal College, Little Big Horn College, Little Priest Tribal College, Navajo Technical College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Saginaw Chippewa Tribal College, Salish Kootenai College, Si Tanka University, Sinte Gleska University, Sisseton Wahpeton College, Sitting Bull College, Southwestern Indian Polytechnic Institute, Stone Child College, Tohono Oodham Community College, Turtle Mountain Community College, United Tribes Technical College, Lac Courte Oreilles Ojibwa Community College, and White Earth Tribal and Community College.

Beneficiary Eligibility:

State; Local; Eligibility is defined by legislation. An institution must be an accredited 1994 Land Grant Institution with current accreditation from a recognized accreditation organization. Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note: Public Law 103-382) by adding at the end the following: (34) Ilisagvik College. The complete listing of 1994 Land-Grant Institutions (LGIs) is as follows: Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Chief Dull Knife College, College of the Menominee Nation, D-Q University, Dine College, Fond du Lac Tribal and Community College, Fort Belknap College, Fort Berthold Community College, Fort Peck Community College, Haskell Indian Nations University, Ilisagvik College, Institute of American Indian Arts, Leech Lake Tribal College, Little Big Horn College, Little Priest Tribal College, Navajo Technical College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Saginaw Chippewa Tribal College, Salish Kootenai College, Si Tanka University, Sinte Gleska University, Sisseton Wahpeton College, Sitting Bull College, Southwestern Indian Polytechnic Institute, Stone Child College, Tohono Oodham Community College, Turtle Mountain Community College, United Tribes Technical College, Lac Courte Oreilles Ojibwa Community College, and White Earth Tribal and Community College.

Credentials/Documentation:

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM) - each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) provide a valid DUNS number in its application; and (iii) continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There is no application process. Please refer to Section .093 for specific details regarding the Award Procedure.

Award Procedure:

On the termination of each fiscal year, the Secretary of Agriculture withdraws the earned interest from the Endowment fund and distributes it to the 1994s on a pro rata basis. The pro rata basis formula is as follows: 60 percent is based on the Indian student count for each institution; 40 percent is distributed in equal shares. Interest distributions are made on an annual basis. The interest earned from the endowment corpus is distributed to each 1994 Institution based on a 60-40 percentage formula: 60 percent (60%) is based on the number of American Indian students enrolled (based on the annual Student Indian Count as defined in Section 390(3) of the Carl D. Perkins Vocational and Applied Technology Education Act) will be furnished to the agency by the American Indian Higher Education Consortium - AIHEC) and 40 percent (40%) on an equal base formula. The computation is made by NIFA and a letter of notification is sent to each 1994 institution President. The Endowment Interest distribution is available to the 1994 Institutions to be drawn down at their discretion through the electronic payment systems of either the Department of Health and Human Services (PMS) or through the Treasury ASAP system. Upon notification of their annual distribution, 1994s are required to submit a Planning Document (subject to approval) on how their Endowment funds will be spent.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Title Equity in Educational Land-Grant Status Act of 1994, Chapter , Part , Subpart , Public Law 103-382. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Interest distributions are made on an annual basis. The Endowment Interest distribution is no-year funds and can be used or held indefinitely. Method of awarding/releasing assistance: letter

Reports:

Grantees are to submit initial project information and annual summary reports to NIFAs electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects. The details of the reporting requirements are included in the award terms and conditions. REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in

the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. Planning Document College intent to utilize the Endowment Funds (Institutions are encouraged to engage in long-range planning and the sharing of ideas within the Tribal College Community.). Annual Technical/Progress Report How funds were spent over the past year and any impact of those funds. Annual SF- 425, Federal Financial Report, per Agency instructions. SPECIAL NOTE: Specific and detailed guidance regarding the format and content of the Planning Document and Annual Technical/Progress Report accompany the annual Interest Distribution letters which are sent to the Presidents of each 1994 Land-Grant Institution. Further, the reports are required to keep Congress informed of progress and accomplishments regarding the utilization of the Endowment funds. NIFA uses the SF-425, Federal Financial Report to monitor cash. (Pertinent details regarding Progress Reports are provided above.) Institutions are required to submit the SF-425, Federal Financial Report, per Agency instructions. The office listed below provides agency oversight of these reports: Capacity Grant Branch Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) STOP 22981400 Independence Avenue, SW Washington, DC 20250-2298 Telephone: (202) 401-6520 Fax: (202) 690-3002 E-mail: formulagrantsquestions@usda.gov (Pertinent details regarding Performance Monitoring Reports are provided above.)

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-5205-0-1-352.

Obligations:

Formula Grants (Apportionments) FY 20 \$4,866,258.00; FY 21 est \$4,832,755.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The Endowment Interest distribution increases each year in proportion to the Endowment Corpus and the interest earnings for each year; as well as the number of American Indians enrolled at each institution. The highest amount awarded was in 2009 was \$299,509 and the lowest amount was \$57,866. Three Institutions received amounts over \$200,000; 11 Institutions received amounts over \$100,000 and 18 Institutions received amounts under \$99,000. NOTE: For Fiscal Year (FY) 2009, two (2) LGIs did not meet eligibility criteria for interest distribution.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

12-5205.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For FY 2020, per the Budget Office, the projected amount available for this Program is approximately \$4.8 million. Pertinent data to be provided by program staff at a future date. Fiscal Year 2021: Pertinent data to be provided by program staff at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONSThe Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIAThe Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCEThe National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture US Postal Service Mailing Address: P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: erin.riley@usda.gov
Phone: (816) 926-2131
Fax:

Website Address:

<http://nifa.usda.gov/program/tribal-college-endowment-program>

RELATED PROGRAMS:

10.221 Tribal Colleges Education Equity Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 For Fiscal Year (FY) 2018: Endowment projects are spent at the discretion of the college. The funding can be used for purposes not covered by other 1994 grant programs, kept in reserve to cover repairs or facility upgrades or for any purpose the institution sees as worthwhile to building a quality institution of higher learning. 2021 Pertinent data to be provided by program staff at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.223 Hispanic Serving Institutions Education Grants

HSI Grants,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

This program is administered under the provisions of section 1455 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) (7 U.S.C. 3241) pursuant to section 815 of Public Law 104-127 and Public Law 110-246. Section 1455 of NARETPA, as reauthorized by section 7128 of Public Law 110-246, provides the Secretary of Agriculture with the authority to make competitive grants (or grants without regard to any requirement for competition) to Hispanic-Serving Institutions for the purpose of promoting and strengthening the ability of Hispanic-Serving Institutions to carry out education, applied research, and related community development programs., 7 USC Code 3241.

OBJECTIVES:

The objective of this program is to promote and strengthen the ability of Hispanic-Serving Institutions to carry out higher education programs in the food and agricultural sciences. These programs aim to attract outstanding students and produce graduates capable of enhancing the Nation's food and agricultural scientific and professional work force. Grants under this program will be awarded to: (1) support the activities of consortia of Hispanic-Serving Institutions to enhance educational equity for underrepresented students; (2) strengthen institutional educational capacities including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international educational needs in the food and agricultural sciences; (3) attract and support undergraduate and graduate students from underrepresented groups in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States, beginning with the mentoring of students at the high school level and continuing with the provision of financial support for students through their attainment of a doctoral degree; and (4) facilitate cooperative initiatives between two or more Hispanic-Serving Institutions, or between Hispanic-Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to support one or more of the following target areas or additional target areas as identified in the annual program announcement: Curricula design; materials development; library resources; faculty preparation and enhancement for teaching; instruction delivery systems; scientific instrumentation for teaching; student experiential learning; and student recruitment and retention.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Minority group; Hispanic serving institutions are eligible to receive funds under this program. "Hispanic serving institutions" means an institution of higher education which, at

ASSISTANCE LISTINGS DESCRIPTIONS

the time of application, has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students, and which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such certificate; (2) is a public or other nonprofit institutions accredited by a nationally recognized accrediting body; and (3) is legally authorized to provide a program of education beyond the secondary level for which a 2-year associate, baccalaureate, or higher degree is awarded. Applications may be submitted by, and awards may only be made to, public or other non-profit Hispanic-Serving Institutions as defined in the definitions section of this solicitation. For the purposes of this program, the individual branches of a State university system or public system of higher education that are separately accredited as degree granting institutions are treated as separate institutions eligible for awards. Accreditation must be by an agency or association recognized by the Secretary, U.S. Department of Education. Institutions also must be legally authorized to offer at least a two-year program of study creditable toward an associate's or bachelor's degree. Separate branches or campuses of a college or university that are not individually accredited as degree granting institutions are not treated as separate institutions. To be eligible for competitive consideration for an award under this program, a Hispanic-Serving Institution must at the time of application: (1) certify that it has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students; and (2) provide assurances that not less than 50 percent of the institution's Hispanic students are low-income individuals as defined in the definitions section of this solicitation.

Beneficiary Eligibility:

Minority group; Graduate Student; Spanish Origin; State; Public nonprofit institution/organization; Other public institution/organization; Applications may only be submitted by Hispanic-Serving Institutions. To be considered an HSI, institutions must have a minimum of 25 percent undergraduate full-time Hispanic enrollment. Failure to meet an eligibility criterion by the application deadline may result in the application being excluded from consideration and, even though an application may be reviewed, will preclude NIFA from making an award.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture

Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsirFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsirFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsirFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs

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supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost

overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$11,200,000.00; FY 21 est \$10,000,000.00; FY 22 Not

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Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: (FY) 2020, it is projected that approximately \$ 9 million will be appropriated to support projects. Per the Budget Office, it is projected that approximately \$8.8 million will be available for grants. A total of 75 proposals are expected for consideration for funding in 2020, the twenty second year of the program. We are expecting proposals from the following states: Arizona, California, Florida, Illinois, New Mexico, New York, Texas, Washington, and Puerto Rico. A three-day panel is expected. The grant applications will be evaluated by a peer review panel comprised of faculty members from land-grant and non-land-grant universities, members from private industry, and representatives of the Federal government. Panelists will represent various academic areas including business, agriculture, natural resources, forestry, veterinary medicine, family and consumer sciences, and closely allied disciplines. Based on the peer review deliberations, funds should be available to support about 28 grants. Each project will include some form of collaboration and coordination efforts with a local USDA office. Fiscal Year 2021: Pertinent information will be provided at a later date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATION The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,

Kansas City MO 64141

Email: ilawrence@usda.gov

Phone: 816-926-1905

Fax:

Website Address:

<http://nifa.usda.gov/program/hispanic-serving-institutions-education-grants-program>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants; 10.220 Higher Education Multicultural Scholars Program; 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 FY 2020-it is anticipated that the funding level will be comparable and the types of projects will be similar. Per the Budget Office, it is projected that approximately \$8.8 million will be available to support the HSI grants. 2021 Pertinent information will be provided by program at a later date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 US DAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/hispanic-serving-institutions-education-grants-program-hsi>

10.225 Community Food Projects

Community Foods,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Community Food Projects Competitive Grants Program (CFPCGP) legislative authority is located in Section 25 of the Food Stamp Act of 1977 (7 U.S.C. 2034), as amended by the Food and Nutrition Act of 2008 and Section 4402 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Pub. L. 110-246), which authorizes a program of federal grants to establish and carry out Community Food Projects., , 7 USC Code 2034.

OBJECTIVES:

To support the development of community food projects designed to meet the food needs of low-income people; increase the self-reliance of communities in providing for their own needs; and promote comprehensive responses to local food, farm, and nutrition issues.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Community food projects are intended to take a comprehensive approach to developing long-term solutions that help to ensure food security in communities by linking the food sector to community development, economic opportunity, and environmental enhancement. Comprehensive solutions may include elements such

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as: (1) Improved access to high quality, affordable food among low-income households; (2) support for local food systems, from urban gardening to local farms that provide high quality fresh food, ideally with minimal adverse environmental impact; and (3) expanded economic opportunities for community residents through local business or other economic development, improved employment opportunities, job training, youth apprenticeship, school-to-work transition, and the like. Any solution proposed must tie into community food needs. Successful applicants must provide matching funds, either in cash or in-kind amounting to at least 50 percent of the total cost of the project during the term of the grant award.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Proposals may be submitted by private nonprofit entities. Because projects must promote comprehensive responses to local food, farm, and nutrition issues, applicants are encouraged to seek and create partnership among public, private nonprofit and private for-profit organizations or firms. To be further eligible for a grant, a private nonprofit applicant must meet three mandatory requirements: 1. Have experience in the area of: (a) community food work, particularly concerning small and medium-sized farms, including the provision of food to people in low-income communities and the development of new markets in low-income communities for agricultural producers; or (b) job training and business development activities in low-income communities; 2. demonstrate competency to implement a project, provide fiscal accountability and oversight, collect data, and prepare reports and other appropriate documentation; and 3. demonstrate a willingness to share information with researchers, practitioners, and other interested parties.

Beneficiary Eligibility:

Private nonprofit institution/organization; Low income people.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp->

competitive-grants-programRFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDOA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-programRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-programRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

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deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website.

NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12RFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. Successful CFP applicants and PP award applicants MUST provide matching on a dollar-for-dollar basis (100%) for all federal funds awarded. Matching funds are not required for T & TA grants. The legislation establishing the FSLC requires that as a condition to receiving a grant from NIFA, the NGO must contribute in-kind resources toward implementing the grant. To comply with this provision, NIFA has determined that applicants must provide at least 25 percent of total project resources on an in-kind basis during the term of the grant award. The Federal share of FSLC costs can be no more than 75 percent of total project costs. CFP, PP and FSLC grantees may provide matching funds through cash and/or in-kind contributions, including third-party in-kind contributions fairly evaluated, including facilities. The non-federal share of the funding may come from state government, local government, other non-profit entities, or private sources. Examples of qualifying matching contributions may include direct costs such as: rent for office space used exclusively for the funded project; duplication or postage costs; and staff time from an entity other than the applicant for job training or nutrition education. SPECIAL NOTES: (1) Use of Indirect Costs as In-Kind Matching Contributions. Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. (2) Matching funds are not required for training and technical assistance (T & TA) grants. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. 2 CFR Part 200, Subpart D applies to this program. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and

Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-programRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REReport Database-- Research, Education, and Extension project online reporting tool (REReport). All projects must be documented in REReport. The NIFA contact for all REReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform

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Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-3505-0-1-605.

Obligations:

Project Grants FY 20 \$4,800,000.00; FY 21 est \$4,800,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funds for FY 2021 will be approximately \$4,800,000. NIFA projects that 3 Planning Projects and 11 Community Food Projects will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONSThe Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIAThe Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCEThe National

Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.
Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture US Postal Service Mailing Address: P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Food Safety and Nutrition, Division of Nutrition, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: Lisa.Jahns@usda.gov

Phone: 816-820-9584

Fax:

Website Address:

<http://nifa.usda.gov/program/community-food-projects-competitive-grant-program-cfpcgp>

RELATED PROGRAMS:

10.572 WIC Farmers' Market Nutrition Program (FMNP);

EXAMPLES OF FUNDED PROJECTS:

2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA). 1. The applicability and merit of the proposed project in regard to its ability to: Meet the food needs of low-income people in the proposed community for providing for its own food needs; and promote comprehensive responses to local food, farm, and nutrition needs; 2. the capacity to become self-sustaining once Federal funding ends; and 3. organizational and staff qualifications and experience; and 4. additional criteria will be considered relative to the extent the proposed project contributes to: (a) developing linkages between two or more sectors of the food system; (b) supporting the development of entrepreneurial projects; (c) developing innovative linkages between the for-profit and nonprofit food sectors; (d) encouraging long-term planning activities and multi-system, interagency approaches; and (e) incorporating linkages to one or more ongoing USDA themes or initiatives referred to in the program guidelines and/or annual proposal solicitation. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/community-food-projects-cfp-competitive-grants-program>

ASSISTANCE LISTINGS DESCRIPTIONS

10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants

SPECA Grants Program,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j)). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture (USDA), who has delegated the authority to the Director of the National Institute of Food and Agriculture (NIFA), will award grants to: (a) promote and strengthen secondary and 2-year postsecondary agriscience and agribusiness education, and Agriculture in the K-12 Classroom, in order to help ensure the existence in the United States of a qualified workforce to serve the food and agricultural sciences system; and (b) promote complementary and synergistic linkages among secondary, 2-year postsecondary, and higher education programs in the food and agricultural sciences in order to attain excellence in education and to encourage more young Americans to pursue and complete a baccalaureate or higher degree in the food and agricultural sciences., , 7 USC Code 3121. Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j))., , 7 USC Code 3152 (j).

OBJECTIVES:

The purpose of the Secondary Education, Two-Year Postsecondary Education, and Agriculture in the K-12 Classroom Challenge (SPECA) Grants Program is to: enhance curricula in agricultural education; increase faculty teaching competencies; interest young people in pursuing higher education in order to prepare for scientific and professional careers in the food and agricultural sciences; promote the incorporation of agriscience and agribusiness subject matter into other instructional programs, particularly classes in science, business, and consumer education; facilitate joint initiatives by the grant recipient with other secondary schools, institutions of higher education that award an associate's degree, institutions of higher education that award a bachelor's degree, and nonprofit organizations supporting agriscience and agribusiness education, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve agriscience and agribusiness education; support other initiatives designed to meet local, State, regional, or national needs related to promoting excellence in agriscience and agribusiness education; and support current Agriculture in the Classroom programs for grades K-12.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, promotion of teaching competencies, promotion of agriscience and agribusiness career information, instruction delivery systems, student experiential learning, and educational activities that increase the diversity of students pursuing degrees in agriscience and agribusiness.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Public secondary schools or public

or private nonprofit junior and community colleges.

Beneficiary Eligibility:

Private nonprofit/organization; Quasi-public nonprofit organization; State; Public nonprofit institution/organization; Other public institution/organization; Public secondary schools or public or private nonprofit junior and community colleges.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit

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Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12RFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12RFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12RFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year->

[postsecondary-education-and-agriculture-k-12](https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12)

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. A grant recipient is required to match the USDA funds awarded on a dollar-for-dollar (100%) basis from non-Federal sources. Matching funds are not required to come in any particular amount from any one source. However, all matching funds pledged in this application must be accompanied by documentation. See Part IV, B.5. SF 424 (R&R) Budget Fed and Non-Fed, Field K-Budget Justification, for additional details. **Note #2 - Use of Indirect Costs as In-Kind Matching Contributions. Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12RFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final

ASSISTANCE LISTINGS DESCRIPTIONS

Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$864,000.00; FY 21 est \$800,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity

project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For Fiscal Year (FY) 2020:Information is not yet available.

Pertinent data to be provided by Program at a future date. Fiscal Year 2021:

Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct>NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: carlos.ortiz@usda.gov

Phone: (202) 445-5594

Fax:

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<http://nifa.usda.gov/program/secondary-education-two-year-postsecondary-education-and-agriculture-k-12-classroom>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 For Fiscal Year (FY) 2020: Information is not yet available. Pertinent data to be provided by Program at a future date. 2021 Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/secondary-education-two-year-postsecondary-education-and-agriculture-k-12>

10.227 1994 Institutions Research Program

Tribal Colleges Research Grants Program (TCRGP),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), as amended (7 U.S.C. 3121), designates the U. S. Department of Agriculture (USDA) as the lead Federal Agency for agricultural research, extension, and teaching in the food and agricultural sciences. Authority for this program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture may award competitive grants, as defined in section 536 of the Equity in Educational Land Grant Status Act of 1994 (7 U.S.C. 301 note), to assist the 1994 Land-Grant Institutions in conducting agricultural research that addresses high priority concerns of tribal, national or multi-state significance., , 7 USC Code 301 note.

OBJECTIVES:

The Tribal Colleges Research Grants Program (TCRGP) (aka 1994 Institutions Research Program) is a competitive grants program supporting fundamental and/or applied agricultural research projects that address high priority concerns of tribal, national or multi-state significance. The program funds investigative and analytical studies and experimentation in the food and agricultural sciences (as defined in section 1404 of the NARETPA (7 U.S.C. 3103) and Part VIII, E. of this RFA). TCRGP seeks to advance the body of knowledge in the basic and applied natural and social sciences within the food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This research grants program is authorized to conduct agricultural research that addresses high priority concerns of tribal, national or multi-State significance.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community

College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian National University, Leech Lake Tribal College, College of the Menominee Nation, and Little Priest Tribal College.

Beneficiary Eligibility:

State; Local; Current Listing of 1994 Land-Grant Institutions (aka Tribal Colleges): Aaniiih Nakoda College; Bay Mills Community College; Blackfeet Community College; Cankdeska Cikana Community College; Chief Dull Knife College; College of Menominee Nation; College of the Muscogee Nation; Dine College; Fond du Lac Tribal and Community College; Fort Peck Community College; Haskell Indian Nations University; Ilisagvik College; Institute of American Indian Arts; Keweenaw Bay Ojibwa Community College; Lac Courte Oreilles Ojibwa Community College; Leech Lake Tribal College; Little Big Horn College; Little Priest Tribal College; Navajo Technical University; Nebraska Indian Community College; Nueta, Hidatsa and Sahnish College; Northwest Indian College; Oglala Lakota College; Saginaw Chippewa Tribal College; Salish Kootenai College; Sinte Gleska University; Sisseton Wahpeton College; Sitting Bull College; Southwestern Indian Polytechnic Institute; Stone Child College; Tohono Oodham Community College; Turtle Mountain Community College; United Tribes Technical College; and White Earth Tribal and Community College.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrp> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgr> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgr> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgr> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgr>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. 2 CFR Part 200, Subpart D applies to this program. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgr> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate

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subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$3,800,000.00; FY 21 est \$3,800,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgp>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: (A) TRIBAL COLLEGES RESEARCH GRANTS PROGRAM (TCRGP) Pertinent information will be provided by Program at a future date.(B) TRIBAL COLLEGE RESEARCH AREA OF EXPERTISE (TCRAE)Pertinent information will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONSCertifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFAWhen acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONSThe Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIAThe Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCEThe National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONSAward terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,
Kansas City MO 64141
Email: erin.riley@usda.gov
Phone: (202) 505-0361
Fax:

Website Address:

<http://nifa.usda.gov/program/tribal-college-research-grant-program>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research;

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10.207 Animal Health and Disease Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

2021 (A) TRIBAL COLLEGES RESEARCH GRANTS PROGRAM (TCRGP)
Pertinent information will be provided by Program at a future date.(B) TRIBAL COLLEGE RESEARCH AREA OF EXPERTISE (TCRAE)

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/tribal-colleges-research-grants-program-tcrgp>

10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants

ANNH Grants Program,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

This program is administered under the provisions of section 759 of Public Law 106-78 (7 U.S.C. 3242) which was amended and redesignated as section 1419B of the National Agricultural Research, Extension, and Teaching Policy Act (NARETPA) of 1977 (7 U.S.C. 3155). As legislatively reauthorized in the Public Law 110-246, the purpose of this program continues to promote and strengthen the ability of eligible Alaska Native-Serving Institutions and Native Hawaiian-Serving Institutions to carry out education, applied research, and related community development programs within a broadly defined area of food and agricultural sciences and related disciplines. In FY 2009, the Secretary will make competitive grant awards to individual eligible institutions or consortia of eligible institutions in Alaska and in Hawaii, with funds awarded equally to each of the states of Alaska and Hawaii., , 7 USC Code 3242.

OBJECTIVES:

The purpose of this program is to promote and strengthen the ability of Alaska Native-Serving Institutions and Native Hawaiian-Serving (ANNH) Institutions to carry out education, applied research, and related community development programs. NIFA intends this program to address educational needs, as determined by each institution, within a broadly defined area of food and agricultural sciences and related disciplines.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to enhance educational equity for under-represented students in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States; to strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified educational needs in the food and agricultural sciences; and to facilitate activities and cooperative initiatives between AN/NH Serving Institutions, or between AN/NH Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Individual public or private,

non-profit Alaska Native-Serving and Native Hawaiian-Serving Institutions of higher education that meet the definitions of Alaska Native-Serving Institution or Native Hawaiian Serving Institution established in Title III, Part A of the Higher Education Act of 1965, as amended (20 U.S.C. 1059d.) are eligible institutions under this program.

Beneficiary Eligibility:

Native American Organizations; State; Public nonprofit institution/organization; Alaska Native Serving Institutions and Native Hawaiian Serving Institutions.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-educationRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov

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Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable.2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which

are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time-frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial

ASSISTANCE LISTINGS DESCRIPTIONS

Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$3,066,240.00; FY 21 est \$3,066,240.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is projected that \$3,065,340 will be available for similar projects in FY 2021. Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND

REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: ilawrence@usda.gov
Phone: 816-926-1905
Fax:

Website Address:

<http://nifa.usda.gov/program/alaska-native-serving-and-native-hawaiian-serving-institutions-education-competitive-grants>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants; 10.220 Higher Education Multicultural Scholars Program; 10.223 Hispanic Serving Institutions Education Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 Project data is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alaska-native-serving-and-native-hawaiian-serving-institutions-education>

ASSISTANCE LISTINGS DESCRIPTIONS

10.229 Extension Collaborative on Immunization Teaching & Engagement

(EXCITE),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Centers for Disease Control and Prevention is entering into an Interagency Agreement with the USDA National Institute of Food and Agriculture using the provisions of the Economy Act, 31 U.S.C. 1535. These funds were originally provided to CDC under the heading Centers for Disease Control and Prevention CDC-Wide Activities and Program Support (including transfer of funds) Subtitle B., Section 313 (Public Awareness Campaign on the Importance of Vaccinations) of Division M (Coronavirus Response and Relief Supplemental Appropriations Act, 2021) of the Consolidated Appropriations Act, 2021 (P.L. 116-260). In addition to the Economy Act, NIFA will also leverage 7 U.S.C. 3318 when executing this agreement. NIFA may enter into contracts, grants, or cooperative agreements, for periods not to exceed five years, with State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food and agricultural sciences of the Department of Agriculture., Title Coronavirus Response and Relief Supplemental Appropriations Act, 2021, Part Subtitle B., Section 313 (Public Awareness Campaign on the Importance of Vaccinations) of Division M, Section

OBJECTIVES:

Funds are provided for support the Extension Collaborative on Immunization Teaching & Engagement (EXCITE). As of 15 March 2021, the United States has 29.5 million confirmed Covid-19 cases, resulting in 534,000 deaths. The pandemic has led to disruptions in the food and agricultural supply chain, from field to production to distribution, and among the labor force in terms of lost wages, compromised health, and loss of life. New strains of the mutating virus are proving more readily transmissible and heightening concerns that vaccination efficacy could be negatively impacted. The vaccine rollout has been hampered by logistical and jurisdictional concerns, leading to confusion among the general population about how, when, where, and why to receive the vaccination. To help promote vaccine confidence and uptake, the Centers for Disease Control and Prevention (CDC) and the National Institute of Food and Agriculture have entered into an Interagency Agreement to engage Land-grant Universities (LGUs) and the Cooperative Extension System (CES) to improve vaccination coverage in rural and other medically underserved communities. The eXtension Foundation, working collaboratively with the Extension Committee on Organization and Policy at the Association of Public and Land-grant Colleges and Universities, has the infrastructure and capacity to respond expeditiously and effectively to help address this public health emergency.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are provided for support the Extension Collaborative on Immunization Teaching & Engagement (EXCITE). As of 15 March 2021, the United States has 29.5 million confirmed Covid-19 cases, resulting in 534,000 deaths. The pandemic has led to disruptions in the food and agricultural supply chain, from field to production to distribution, and among the labor force in terms of lost wages, compromised health, and loss of life. New strains of the mutating virus are proving more readily transmissible and heightening concerns that vaccination efficacy could be negatively impacted. The vaccine rollout has been hampered by logistical and jurisdictional concerns, leading to confusion among the general population about how, when, where, and why to receive the vaccination. To help promote vaccine confidence and uptake, the Centers for Disease Control and Prevention (CDC) and the National Institute of Food and Agriculture have entered into an Interagency Agreement to engage Land-grant Universities (LGUs) and the Cooperative Extension System (CES) to improve vaccination coverage in rural and other medically underserved communities. The eXtension Foundation, working collaboratively with the Extension Committee on Organization and Policy at the

Association of Public and Land-grant Colleges and Universities, has the infrastructure and capacity to respond expeditiously and effectively to help address this public health emergency.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); All Land Grant Universities (111) are eligible to apply for sub-award funding through the eXtension Foundation to support their customization and deployment of the Vaccinate with Confidence Campaign.

Beneficiary Eligibility:

Other public institution/organization; All Land Grant Universities (111) are eligible to apply for sub-award funding through the eXtension Foundation to support their customization and deployment of the Vaccinate with Confidence Campaign.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Register in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFA). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant must be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. Current funding request information is available from the program contact. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of

ASSISTANCE LISTINGS DESCRIPTIONS

the grant lifecycle application processes see:

<https://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications, 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Current funding request information is available from the program contact. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database.

Award Procedure:

Evaluation Criteria will be delineated in the Request for Applications (RFA).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally, 60 days, unless otherwise noted. Contact the Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. NOTE: The Headquarters Point-of-Contact is usually the National Program Leader (NPL) as reflected in the Request for Applications (RFA) Part VII Agency Contacts. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <https://nifa.usda.gov/> <https://www.grants.gov>. Current funding request information is available from the program contact. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database.

Appeals:

Other.

Renewals:

Other. Specific details are provided in the RFA, which are generally published annually. Current funding request information is available from the program contact.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed 5 years. Further details are provided in the Award document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated November 2020) https://www.nsf.gov/bfa/dias/policy/fedrtc/agencyspecifics/nifa_1120.pdf Visit the NSF website to access this critical information (see <https://www.nsf.gov/awards/managing/rtc.jsp>). Current funding request information is available from the program contact. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. Method of awarding/releasing assistance: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds. Currently, ASAP is the only payment source for new NIFA grantees. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at

<https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the RFA for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings (CFDA) database. (2) The details of the reporting requirements are included in the Award document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated November 2020). https://www.nsf.gov/bfa/dias/policy/fedrtc/agencyspecifics/nifa_1120.pdf Visit the NSF website to access this critical information (see <https://www.nsf.gov/awards/managing/rtc.jsp>). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. See Program Reports section above for pertinent data and specific details See Program Reports section above for pertinent data and specific details

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

ASSISTANCE LISTINGS DESCRIPTIONS

from Federal audit requirements for that year, except as noted in 2 CFR 200.501. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$8,955,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

\$200000

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDE NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated November 2020) at:

https://www.nsf.gov/bfa/dias/policy/fedrtc/agencyspecifics/nifa_1120.pdf Visit the NSF website to access this critical information (see <https://www.nsf.gov/awards/managing/rtc.jsp>).

Regional or Local Office:

None. National Institute of Food and Agriculture U.S. Department of Agriculture

P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205
Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

Brent Elrod,
National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061.,
Kansas City MO 64141
Email: richard.elrod@usda.gov

Phone: 202-445-5456

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 US DAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Request for Applications (RFA). Current funding request information is available from the program contact. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database

10.230 Farm of the Future

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title 2021 Consolidated Appropriations Act, Section 799

OBJECTIVES:

Farm of the Future is a competitive grant program that establishes an agricultural test bed and demonstration site. Farm of the Future seeks to launch this rural test bed for precision agriculture, smart automation, and data connectivity and transfer to demonstrate best practices in production agriculture and animal systems that enhance sustainability and farm profitability. The test bed will evaluate smart technologies [autonomous robotics, remote sensing, artificial intelligence, machine learning, Internet of Things, unmanned aerial systems (UAS), satellites], to provide accessible, data-driven solutions that support resilient agricultural and value-added practices. The Farm of the Future seeks to optimize climate-smart agricultural productivity, environmental health, and natural resources management.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Pertinent details will be provided at a later date

Applicant Eligibility:

Other private institutions/organizations; Private nonprofit institution/organization (includes institutions of higher education and hospitals); A single competitive grant will be awarded to an institution in the land-grant university system Applications may only be submitted by the following entities:(A)Land Grant Universities only.Public and private collaborations are encouraged.

Beneficiary Eligibility:

State; Local;

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: TBD RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: TBD. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Notice of Funding Opportunities (NOFO) for this

listing will be posted on Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: TBD. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/> <http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: TBD. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: TBD

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: TBD

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available TBD. RFAs are generally released annually.

ASSISTANCE LISTINGS DESCRIPTIONS

Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: TBD Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit

Requirements. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 Not Available; FY 21 Not Available; and FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDE NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFm ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAs GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

ASSISTANCE LISTINGS DESCRIPTIONS

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. USDA, NIFA, Deputy Director/Institute of Food Production and Sustainability (IFPS), Division of Plant Systems - Production, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City, MO 64141

Headquarters Office:

Ganesh Bora,
National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, MS 10000 Kansas City, MO 64141-6205,
Kansas City MO 64141
Email: Ganesh.Bora@usda.gov
Phone: 816-489-0944
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: TBD

10.231 Agriculture Business Innovation Center at HBCU

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title 2021 Consolidated Appropriations Act, Section 766

OBJECTIVES:

National Institute of Food and Agriculture issues a competitive grant to support the establishment of an Agriculture Business Innovation Center at a HBCU to serve as a technical assistance hub to enhance agriculture-based business development opportunities. The establishment of this center will enhance agriculture-based business development opportunities to include the following: 1. Provide technical assistance to food and agricultural producers, including production scale assessments, market planning and development, business planning, and other advisory services. 2. Assist startups in agriculture business including planning and obtaining funding. 3. Provide educational support for students interested in careers in agriculture business. 4. Offer outreach services and activities, including the training, workshops and dissemination of information and materials.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research objectives. Funds may not be used for purposes other than those approved in the grant award documents. The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in the Office of Management and Budget (OMB) Circular No. A-21, (codified at 2 CFR 220), some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing. Section 720 of

the Consolidated and Further Continuing Appropriations Act, 2012 (Pub.L. No. 112-55) limits indirect costs to 30 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 30 percent of total Federal funds awarded. **Special Note on Indirect Costs as in-kind matching contributions: Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 30 percent pursuant to FY 2012 appropriated funds, Section 720 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2012 (Division A of Pub. L. 112-55), the awardee may request 15 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 30 percent. Fully discretionary.

Applicant Eligibility:

Other public institution/organization; Minority group; Applicants for the ABIC program must meet all the requirements discussed in this RFA. Applications may only be submitted by a lead and/or faculty member at an Historically Black College or University (HBCU). Grant recipients may subcontract to organizations not eligible to apply for the purpose of supporting the overall coordination and administration of the HBCU Agriculture Business Innovation Center.

Beneficiary Eligibility:

Minority group; Education Professional; Other public institution/organization;

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management

ASSISTANCE LISTINGS DESCRIPTIONS

information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: TBD RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: TBD. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: TBD. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/> <http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: TBD. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: TBD.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: TBD. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds. Currently, ASAP is the only payment source for new NIFA grantees. Method of awarding/releasing assistance: quarterly

Reports:

See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$2,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDE NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFIM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: Maurice.smith2@usda.gov
Phone: 816-518-1754
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: TBD

10.232 Blue Ribbon

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Title Consolidated Appropriations Act, 2021, Section 798, 7 USC Code 3319a.

OBJECTIVES:

For the Under Secretary for Research, Education, and Economics to convene a blue-ribbon panel for the purpose of evaluating the overall structure of research and education through the public and land-grant universities, including 1890 Institutions, to define a new architecture that can better integrate, coordinate, and assess economic impact of the collective work of these institutions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Contact the headquarters or regional location, as appropriate for more details.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Contact the headquarters or regional location, as appropriate for more details.

Beneficiary Eligibility:

Other public institution/organization; Contact the headquarters or regional location, as appropriate for more details.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a

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grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: TBD RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the headquarters or regional location, as appropriate for more details. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Pertinent program details will be provided at a later date.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Pertinent program details will be provided at a later date.

Appeals:

Other. Pertinent program details will be provided at a later date.

Renewals:

Other. Pertinent program details will be provided at a later date.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: TBD. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds. Currently, ASAP is the only payment source for new NIFA grantees. Method of awarding/releasing assistance: Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at

<https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and

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Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$300,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDE NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. USDA, National Institute of Food and Agriculture National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312

East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City, MO 64141

Headquarters Office:

National Program Leader, USDA, NIFA, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141 Email: Policy@usda.gov Phone: 703-200-8608 Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.233 Open Data Framework

Open Data,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The FY 2021 consolidated appropriations bill included a general provision (Section 779) that requires NIFA to develop a public-private cooperative open data framework neutral data repository for data from the agricultural industry., Title 2021 Consolidated Appropriations Act, Section 779, 7 USC Code 3318. Public Law 116-260

OBJECTIVES:

The FY 2021 consolidated appropriations bill included a general provision (Section 779) that requires NIFA to develop a public-private cooperative framework based on open data standards for the preservation and curation of this data in collaboration with land-grant universities. This data would eventually support the development of advanced technologies and practices to meet the increasingly complex agricultural challenges of farmers and ranchers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Pertinent details will be provided at a later date.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Pertinent details will be provided at a later date.

Beneficiary Eligibility:

State; Pertinent details will be provided at a later date.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must

ASSISTANCE LISTINGS DESCRIPTIONS

state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: TBD RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Pertinent details will be provided at a later date.

Award Procedure:

Pertinent details will be provided at a later date.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Pertinent details will be provided at a later date.

Appeals:

Other. Contact the headquarters or regional location, as appropriate for more details.

Renewals:

Other. Contact the headquarters or regional location, as appropriate for more details.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: TBD. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information

available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: NIFA utilizes the Automated Standard Application for Payments (ASAP), a secure, web-based electronic payment and information system that allows federal agencies to administer funds. Currently, ASAP is the only payment source for new NIFA grantees. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$470,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDE NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for

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identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance-OGFM-ISSUED-CORRESPONDENCE> The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205
Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City, MO 64141

Headquarters Office:

National Program Leader, USDA, National Institute of Food and Agriculture, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205
Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: Policy@usda.gov
Phone: 7032008608
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.250 Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations

FEDERAL AGENCY:

ECONOMIC RESEARCH SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq., 2 CFR Part 400, 2 CFR Part 415, 2 CFR Part 416, 7USC 3318b.,

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements, Dissemination of Technical Information, Project Grants

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that address a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Anyone/general public; Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Mailstop 1800, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: other

Reports:

Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 N/A

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1701-0-1-352.

Obligations:

Project Grants FY 19 \$71,908.00; FY 20 est \$394,996.00; FY 21 est \$0.00;

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Cooperative Agreements FY 19 \$1,228,467.00; FY 20 est \$2,469,642.00; FY 21 est \$1,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1701.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Selected Examples of Recent Progress: Farm income indicators and forecasts measure the financial performance of the U.S. farm sector. ERS provides authoritative information on the financial health of the farm sector, including the performance of farm businesses and well-being of farm households. In the most recent statement, ERS forecasted a 4.8 percent increase in 2019 net farm income relative to 2018 estimates. Over the same time period, the median income of farm operator households is expected to increase 3.7 percent. Published three times a year, these core statistical indicators provide guidance to policy makers, lenders, commodity organizations, farmers, and others interested in the financial status of the farm economy. ERS's farm income statistics also inform the computation of agriculture's contribution to the gross domestic product for the U.S. economy in the Bureau of Economic Analysis statistics for Gross Domestic Product. In 2019, ERS briefed the Secretary of Agriculture on the findings on September 10, all USDA sub-cabinet officials on September 25, and the Assistant Secretary of Civil Rights on November 12. SNAP redemptions have impacts on county-level employment. The Supplemental Nutrition Assistance Program (SNAP) is the third-largest means-tested Federal program (in terms of outlays) and the largest USDA program. Payments nearly quadrupled between 2001 and 2013, in part due to changes in policies intended to stimulate the economy during and after the Great Recession. An ERS report examined the impact on county-level employment that may have occurred as a result of the increase in payments. Over the entire 2001 to 2014 study period, SNAP redemptions had a positive average estimated impact on county-level employment in non-metro counties, but no measurable impact in metro counties. During the Great Recession and its immediate aftermath (2008 to 2010), SNAP redemptions had a positive impact on employment in both metro and nonmetro counties, though the impacts per dollar spent were larger in nonmetro counties. During the recession, the impacts of SNAP were larger per dollar spent than the impacts of all other Federal and State government transfer payments combined. The results were presented to Stephen Vaden, the USDA General Counsel on June 24, 2019. Since the end of the Great Recession, growth in population, employment, and per capita income have been slower in nonmetro counties than metro counties, and slowest in the most rural and remote nonmetro areas. ERS provides up-to-date information on rural economic and demographic trends in an annual series, Rural America at a Glance. The latest report noted that nonmetropolitan America encompasses a diverse set of counties, from more urban counties with urban populations of up to 50,000 people and counties adjacent to a metro area, to completely rural counties and counties that are remote from metro areas. These areas include nearly three-fourths of the land area and 14 percent of the population of the United States. Demographic and economic trends in nonmetro counties have been less favorable than those in metro America, but employment has grown since 2010 in all types of nonmetro counties except the most rural and remote counties, and poverty has declined in all types of counties since 2013. The findings were communicated via a webinar and in briefings to senior USDA policy makers. A new Survey of Irrigation Organizations will provide a foundation for understanding local irrigation decisions and their impact on drought resilience. Increasing demands for limited water resources, and concerns for agricultural drought resilience under heightened water scarcity, has prompted renewed interest in water data development at the agricultural district scale. Working with partners both inside and beyond USDA, ERS is developing a national survey of irrigation organizations to provide the first updated dataset of local water-supply management entities since the 1978 Census of Irrigation Organizations. This initiative builds on ERS research collaborations addressing regional groundwater management including managed aquifer recharge in California's Central Valley and the Lower Mississippi alluvial aquifer, and groundwater sustainability in the High Plains. Survey findings and supporting geodatabase will inform future research efforts as well as an array of Federal and State program activities. The survey will be implemented, and data collection will begin in FY 2020. Dropped conservation contract practices are an indication of lower on-farm benefits. USDA working lands programs have resulted in hundreds of thousands of conservation contracts; these contracts represent voluntary

agreements between USDA and farmers to implement conservation practices in exchange for technical and financial assistance. Most conservation contract practices are implemented as planned. An ERS report examines the contracts of the 10 to 20 percent of the practices that are dropped to better understand program implementation. Results show that these dropped practices are more likely to yield low on-farm benefits, information which can help program managers evaluate and adjust program incentives. Adoption of drought-tolerant corn is expanding at a rate similar to early adoption of insect-resistant and herbicide-resistant corn. Federal natural disaster and crop insurance payments to U.S. farmers are often the result of drought that results in crop yield losses and crop failures. In 2012 genetically engineered drought resilient (DT) corn was introduced, becoming widely available in 2013. An ERS report examines the development, adoption, and management of DT corn in the U.S. in 2016. Results show that over one-fifth of U.S. corn acreage was planted to DT corn in 2016 and DT corn made up roughly 40 percent of corn acreage in some drought-prone States. In addition, results show the use of DT corn is often accompanied by other conservation practices; 62 percent of DT corn fields used tillage methods that minimally disturb soils.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Agency Budget Officer Nancy A. Thomas,
355 E Street SW, Room 5-254,
Washington DC 20024-3231
Email: NThomas@ers.usda.gov
Phone: 2026945008

Website Address:

<http://www.ers.usda.gov>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.153 Market News; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.001 Agricultural Research_Basic and Applied Research; 10.700 National Agricultural Library;

EXAMPLES OF FUNDED PROJECTS:

2020 ERS conducts research that strengthens the understanding of American farms, the agricultural sector, and rural communities. This includes analysis of commodity markets, the competitiveness of U.S. farms at home and abroad, and the health of the rural economy. ERS research and analysis provides insights into market conditions facing U.S. agriculture, potential avenues for innovation and market expansion, and the effects of farm policies. The agency conducts research on the effects of new agricultural technologies and practices on farm business and sector performance as well as their implications for the changing size and organization of U.S. farms. ERS produces USDAs estimates of farm business and farm household income and identifies and analyzes market structure and technological developments that affect farm efficiency and profitability. ERS research and analysis also provides insights into how the agricultural sector is evolving in both the short and long term. ERS's ongoing Commodity Outlook and Cost of Production programs address the impacts of market factors impacting supply, demand, prices, and costs and returns of agricultural commodities. The Commodity Outlook program produces monthly outlook reports and research results for over 25 commodities, including most of the major U.S. crop, livestock, dairy, and poultry commodities. Bi-annual analysis is produced for over 150 additional commodities. Cost of Production analysts produce annual estimates for 12 major crop, livestock, and dairy commodities and conduct research on the factors impacting commodity costs and returns. This foundational work enables ERS to provide quick analysis for USDA leadership and Congress, and statistical data and analysis to inform decision makers in the public and private sectors. Analysis of the major factors driving the outlook for agricultural commodity markets plays a central role in supporting USDAs World Agriculture Supply and Demand Estimates (WASDE), which serves as the benchmark for information on major global commodities. Each year ERS also coordinates the USDA's Baseline projections for U.S. and world agriculture for the coming decade. The 2019 long-term projections were presented at the 2018 USDA Agricultural Outlook Forum and helped shape planning for the federal budget. The Projections have long supported FSAs estimation of budget costs for farm program commodities. In

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addition to its importance for USDAs policymakers, the annual Baseline projections report and related data products are essential references for public and private decision makers. ERSs rural research explores how investments in businesses, communities, and people affect the capacity of rural economies to prosper in a changing global marketplace. The agency analyzes how employment opportunities, Federal policies, demographic trends, and public investment in infrastructure and technology enhance economic opportunity and quality of life for rural Americans. Selected Examples of Recent Progress: Farm income indicators and forecasts measure the financial performance of the U.S. farm sector. ERS provides authoritative information on the financial health of the farm sector, including the performance of farm businesses and well-being of farm households. In the most recent statement, ERS forecasted a 4.8 percent increase in 2019 net farm income relative to 2018 estimates. Over the same time period, the median income of farm operator households is expected to increase 3.7 percent. Published three times a year, these core statistical indicators provide guidance to policy makers, lenders, commodity organizations, farmers, and others interested in the financial status of the farm economy. ERSs farm income statistics also inform the computation of agriculture's contribution to the gross domestic product for the U.S. economy in the Bureau of Economic Analysis statistics for Gross Domestic Product. In 2019, ERS briefed the Secretary of Agriculture on the findings on September 10, all USDA sub-cabinet officials on September 25, and the Assistant Secretary of Civil Rights on November 12. SNAP redemptions have impacts on county-level employment. The Supplemental Nutrition Assistance Program (SNAP) is the third-largest means-tested Federal program (in terms of outlays) and the largest USDA program. Payments nearly quadrupled between 2001 and 2013, in part due to changes in policies intended to stimulate the economy during and after the Great Recession. An ERS report examined the impact on county-level employment that may have occurred as a result of the increase in payments. Over the entire 2001 to 2014 study period, SNAP redemptions had a positive average estimated impact on county-level employment in non-metro counties, but no measurable impact in metro counties. During the Great Recession and its immediate aftermath (2008 to 2010), SNAP redemptions had a positive impact on employment in both metro and nonmetro counties, though the impacts per dollar spent were larger in nonmetro counties. During the recession, the impacts of SNAP were larger per dollar spent than the impacts of all other Federal and State government transfer payments combined. The results were presented to Stephen Vaden, the USDA General Counsel on June 24, 2019. Since the end of the Great Recession, growth in population, employment, and per capita income have been slower in nonmetro counties than metro counties, and slowest in the most rural and remote nonmetro areas. ERS provides up-to-date information on rural economic and demographic trends in an annual series, Rural America at a Glance. The latest report noted that nonmetropolitan America encompasses a diverse set of counties, from more urban counties with urban populations of up to 50,000 people and counties adjacent to a metro area, to completely rural counties and counties that are remote from metro areas. These areas include nearly three-fourths of the land area and 14 percent of the population of the United States. Demographic and economic trends in nonmetro counties have been less favorable than those in metro America, but employment has grown since 2010 in all types of nonmetro counties except the most rural and remote counties, and poverty has declined in all types of counties since 2013. The findings were communicated via a webinar and in briefings to senior USDA policy makers. The ERS conservation and natural resources economics research program improves understanding of the interrelationship between agricultural production and environmental outcomes, and assesses policy and program options for supporting sustainable production while enhancing the Nation's natural resources. ERS research examines how economic incentives influence the adoption of management practices that can improve the environmental performance of agriculture and conserve scarce resources, including land, water, soil, air and biodiversity. ERS also contributes to USDAs efforts to improve the science behind Federal environmental, water and air quality regulations and programs, including insights into policy options for controlling nonpoint source pollution. ERS develops models and other analytical techniques to estimate the impacts of alternative approaches used by farmers to adapt to changing weather conditions and resource constraints as the demand for agricultural production grows. The models predict responses of farmers to USDA programs, including voluntary incentives for drought mitigation and improved soil health and nutrient management. A related area of research addresses the implications of regional drought for U.S. agriculture, including producers production and investment decisions, and their participation in conservation and other risk-

mitigating programs. ERS research on farmer responses and the implications for markets and natural resources builds on expertise in the economics of land use and land management, technology adoption, and conservation program design. Selected Examples of Recent Progress: A new Survey of Irrigation Organizations will provide a foundation for understanding local irrigation decisions and their impact on drought resilience. Increasing demands for limited water resources, and concerns for agricultural drought resilience under heightened water scarcity, has prompted renewed interest in water data development at the agricultural district scale. Working with partners both inside and beyond USDA, ERS is developing a national survey of irrigation organizations to provide the first updated dataset of local water-supply management entities since the 1978 Census of Irrigation Organizations. This initiative builds on ERS research collaborations addressing regional groundwater management including managed aquifer recharge in California's Central Valley and the Lower Mississippi alluvial aquifer, and groundwater sustainability in the High Plains. Survey findings and supporting geodatabase will inform future research efforts as well as an array of Federal and State program activities. The survey will be implemented, and data collection will begin in FY 2020. Dropped conservation contract practices are an indication of lower on-farm benefits. USDA working lands programs have resulted in hundreds of thousands of conservation contracts; these contracts represent voluntary agreements between USDA and farmers to implement conservation practices in exchange for technical and financial assistance. Most conservation contract practices are implemented as planned. An ERS report examines the contracts of the 10 to 20 percent of the practices that are dropped to better understand program implementation. Results show that these dropped practices are more likely to yield low on-farm benefits, information which can help program managers evaluate and adjust program incentives. Adoption of drought-tolerant corn is expanding at a rate similar to early adoption of insect-resistant and herbicide-resistant corn. Federal natural disaster and crop insurance payments to U.S. farmers are often the result of drought that results in crop yield losses and crop failures. In 2012 genetically engineered drought resilient (DT) corn was introduced, becoming widely available in 2013. An ERS report examines the development, adoption, and management of DT corn in the U.S. in 2016. Results show that over one-fifth of U.S. corn acreage was planted to DT corn in 2016 and DT corn made up roughly 40 percent of corn acreage in some drought-prone States. In addition, results show the use of DT corn is often accompanied by other conservation practices; 62 percent of DT corn fields used tillage methods that minimally disturb soils.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.253 Consumer Data and Nutrition Research

FEDERAL AGENCY:

ECONOMIC RESEARCH SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

ACT: FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq. 2251 et seq. 2 CFR Part 400, 2 CFR Part 415, 2 CFR Part 416, 7 USC 3318c,

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements, Dissemination of Technical Information, Project Grants USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that address a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the

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use of ERS produced information. The objective of the consumer data program is to enhance existing public and private data collection systems and availability to answer the most important policy questions. This objective is achieved by: (1) supplementing existing government surveys with separate modules when possible, (2) integrating and linking data from disparate surveys, (3) investing in proprietary data, and (4) enhancing existing surveys.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Anyone/general public; Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Anyone/general public; Farmer/Rancher/Agriculture Producer; Rural; Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Not Applicable

Appeals:

Not Applicable. Not Applicable

Renewals:

Other. Other

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Other Method of awarding/releasing assistance: other

Reports:

Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement. Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement. Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement. Progress reports, financial reports, financial statements, and inventions and subawards reports. The frequency of reports is outlined in the terms of the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 N/A

Records:

Financial records, supporting documents, statistical records, and all other records

pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1701-0-1-352.

Obligations:

Cooperative Agreements FY 19 \$1,228,467.00; FY 20 est \$2,469,642.00; FY 21 est \$1,000,000.00; Project Grants FY 19 \$71,908.00; FY 20 est \$394,996.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1701.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: An estimated 88.9 percent of American households were food secure throughout the entire year in 2018, meaning that they had access at all times to enough food for an active, healthy life for all household members. The remaining households (11.1 percent) were food insecure at least some time during the year, including 4.3 percent with very low food security because the household lacked money and other resources for food, resulting in reduced food intake and disruptions in eating patterns for one or more household members. The 2018 prevalence of food insecurity declined, for the first time, to the pre-recession (2007) level of 11.1 percent. The decline in overall food security between 2017 and 2018 was statistically significant. The decline in rate of very low food security from 4.5 percent in 2017 to 4.3 percent in 2018 was not statistically significant. Children and adults were food insecure in 7.1 percent of U.S. households with children in 2018, versus 7.7 percent in 2017. The ERS food security statistics are widely recognized as the benchmark for measuring food security in the U.S., and support decision making on USDA food and nutrition assistance programs. The authors briefed senior USDA officials on the reports findings and presented a webinar to field press inquiries and related interest that the report generated. SNAP benefits spent during an economic downturn provide income to the businesses where those benefits are spent, as well as to their employees and suppliers, whose spending further stimulates the economy. The Supplemental Nutrition Assistance Program (SNAP) is one of the largest assistance programs in the United States. The U.S. Department of Agriculture spent \$65.3 billion on the program in fiscal year 2018 and served an average of 40.3 million people per month. ERS researchers estimated the multiplier effects of SNAP using a newly compiled Social Accounting Matrix multiplier model and the most recent data available. The study estimated that \$1 billion in SNAP benefit outlays generates \$1.5 billion in gross domestic product, which supports 13,560 new jobs including \$32 million added income going to agricultural industries that support 480 agricultural jobs. Higher levels of breastfeeding would raise WIC program costs, but reduce current and future health-related costs for mothers and infants participating in WIC. ERS researchers examined the effects of a hypothetical increase in breastfeeding rates among WIC participants from their 2016 levels to medically recommended levels: 90 percent of infants are exclusively breastfed for their first 6 months, followed by continued breastfeeding with the addition of complementary foods but not infant formula for the next 6 months. Results indicate that the number of mothers who participated in WIC that year would have increased by an estimated 646,000 per month (an 8-percent increase). WIC program costs would have risen by an estimated \$252.4 million, or 4.2 percent of total costs in 2016. As a result of health benefits associated with breastfeeding, Federal Medicaid costs would have decreased by at least \$111.6 million, resulting in an estimated increase of \$140.9 million in combined Federal WIC and Medicaid costs. Health-related cost savings that accrue to WIC households or their health insurance providers would have totaled \$9.0 billion (excluding the savings that accrue to the Federal portion of Medicaid). Nutrition information on restaurant menus may help some consumers meet their calorie targets. ERS researchers examined survey data on restaurant menu label use and calorie intakes among U.S. adults age 20 and older who reported seeing nutrition information on a menu the last time they visited a fast-food or sit-down restaurant. Survey respondents who reported seeing and using restaurant menu labels consumed significantly fewer calories per day than did respondents who reported seeing the labels, but not using them. The relationship between menu label use and caloric intake was similar for both fast-food and sit-

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down restaurants and was statistically significant in both cases.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Agency Budget Officer Nancy A. Thomas,
355 E Street SW, Room 5-254,
Washington DC 20024-3231
Email: NThomas@ers.usda.gov
Phone: 2026945008

Website Address:

<http://www.ers.usda.gov>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.153 Market News; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.001 Agricultural Research_Basic and Applied Research; 10.700 National Agricultural Library;

EXAMPLES OF FUNDED PROJECTS:

2020 ERS conducts research on the economic forces influencing consumer food choices and the effect of these choices on nutrition and health outcomes. To understand these relationships, ERS examines the interactions between factors such as food prices, grocery store accessibility, food labeling, household income, and household composition. Market and industry level factors examined include product offerings by firms, changes in store types and store formats, firm and consumer reactions to food safety incidences, and the role of government programs and the food system as a whole in the macro-economy. ERS analyzes USDAs food and nutrition assistance programs, often coordinating research priorities with USDAs Food and Nutrition Service. These programs receive substantial Federal funding and affect the daily lives of millions of Americas children. Long-term research themes include food security outcomes, dietary and nutritional outcomes, food program targeting and delivery, and measurement of program participation. ERS food safety research focuses on enhancing methodologies for valuing societal benefits associated with reducing food safety risks, understanding consumer and producer responses to food safety incidents, assessing industry incentives to enhance food safety through new technologies and supply chain linkages, and evaluating regulatory options and change. ERS research also investigates the safety of food imports and the efficacy of international food safety policies and practices. Selected Examples of Recent Progress are the following: An estimated 88.9 percent of American households were food secure throughout the entire year in 2018, meaning that they had access at all times to enough food for an active, healthy life for all household members. The remaining households (11.1 percent) were food insecure at least some time during the year, including 4.3 percent with very low food security because the household lacked money and other resources for food, resulting in reduced food intake and disruptions in eating patterns for one or more household members. The 2018 prevalence of food insecurity declined, for the first time, to the pre-recession (2007) level of 11.1 percent. The decline in overall food security between 2017 and 2018 was statistically significant. The decline in rate of very low food security from 4.5 percent in 2017 to 4.3 percent in 2018 was not statistically significant. Children and adults were food insecure in 7.1 percent of U.S. households with children in 2018, versus 7.7 percent in 2017. The ERS food security statistics are widely recognized as the benchmark for measuring food security in the U.S., and support decision making on USDA food and nutrition assistance programs. The authors briefed senior USDA officials on the reports findings and presented a webinar to field press inquiries and related interest that the report generated. SNAP benefits spent during an economic downturn provide income to the businesses where those benefits are spent, as well as to their employees and suppliers, whose spending further stimulates the economy. The Supplemental Nutrition Assistance Program (SNAP) is one of the largest assistance programs in the United States. The U.S. Department of Agriculture spent \$65.3 billion on the program in fiscal year 2018 and served an average of 40.3 million people per month. ERS researchers estimated the multiplier effects of SNAP using a newly compiled Social Accounting Matrix multiplier model and the most recent data available. The study estimated that \$1 billion in SNAP benefit outlays generates \$1.5 billion in gross domestic product, which supports 13,560 new jobs including \$32 million added income going to

agricultural industries that support 480 agricultural jobs. Higher levels of breastfeeding would raise WIC program costs, but reduce current and future health-related costs for mothers and infants participating in WIC. ERS researchers examined the effects of a hypothetical increase in breastfeeding rates among WIC participants from their 2016 levels to medically recommended levels: 90 percent of infants are exclusively breastfed for their first 6 months, followed by continued breastfeeding with the addition of complementary foods but not infant formula for the next 6 months. Results indicate that the number of mothers who participated in WIC that year would have increased by an estimated 646,000 per month (an 8-percent increase). WIC program costs would have risen by an estimated \$252.4 million, or 4.2 percent of total costs in 2016. As a result of health benefits associated with breastfeeding, Federal Medicaid costs would have decreased by at least \$111.6 million, resulting in an estimated increase of \$140.9 million in combined Federal WIC and Medicaid costs. Health-related cost savings that accrue to WIC households or their health insurance providers would have totaled \$9.0 billion (excluding the savings that accrue to the Federal portion of Medicaid). Nutrition information on restaurant menus may help some consumers meet their calorie targets. ERS researchers examined survey data on restaurant menu label use and calorie intakes among U.S. adults age 20 and older who reported seeing nutrition information on a menu the last time they visited a fast-food or sit-down restaurant. Survey respondents who reported seeing and using restaurant menu labels consumed significantly fewer calories per day than did respondents who reported seeing the labels, but not using them. The relationship between menu label use and caloric intake was similar for both fast-food and sit-down restaurants and was statistically significant in both cases.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.255 Research Innovation and Development Grants in Economic (RIDGE)

FEDERAL AGENCY:

ECONOMIC RESEARCH SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

FY 2012 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq, 2CFR Part 400, 2CFR Part 415, 2CFR Part 416, 7USC 3318c,

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs. The Research Innovation and Development Grants in Economics (RIDGE) Program encourages new and innovative research on food and nutrition assistance issues and broadens the participation of social science scholars in such research.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Anyone/general public; Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Anyone/general public; Farmer/Rancher/Agriculture Producer; Rural; Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for technical information may be made to the Chief, Publishing and Communications Branch, Economic Research Service (ERS), 1400 Independence Avenue SW, Mail Stop 1800, Washington, DC 20520-1800.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. None

Appeals:

Not Applicable. none

Renewals:

Not Applicable. none

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: other

Reports:

Progress reports, final technical reports, financial statements, and inventions and subaward reports. The frequency of reports is outlined in the terms of the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 N/A

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1701-0-1-352.

Obligations:

Formula Grants (Cooperative Agreements) FY 19 \$934,844.00; FY 20 est \$600,000.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1701.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Research projects funded by Tufts/UConn in FY 2019 will be completed during FY 2020 and findings presented at the biennial RIDGE Conference scheduled for October 14, 2020. Rounds 1 and 2 have been highly

successful. Round 3 is now in progress. Current projects are the following: Does maternal depression caused by food insufficiency influence parenting practices and impact infant well-being? The role of SNAP \$49,998; SNAP and work-related policies: an in-depth analysis of low-wage worker perspectives and behaviors \$49,871; Food insecurity and child food consumption patterns among WIC participating families in Los Angeles County within the context of the WIC food package change and WIC + SNAP concurrent participation \$49,958; Labor Supply Distortions from Nutrition Assistance Programs: Evidence from a Bunching Estimator \$42,153; Understanding Barriers to Low SNAP Enrollment Among College Students \$49,999; SNAP, school meals, and the food security of multigenerational households \$50,000; Breakfast in the classroom, body mass index, and academic outcomes \$49,940; Did the Healthy Hunger-Free Kids Act Help Improve Dietary Quality among Low-Income Children? \$29,980.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Agency Budget Officer Nancy A. Thomas,
355 E Street SW, Room 5-254,
Washington DC 20024-3231
Email: NThomas@ers.usda.gov
Phone: 202-694-5008

Website Address:

<http://www.ers.usda.gov>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.153 Market News; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.001 Agricultural Research_Basic and Applied Research; 10.700 National Agricultural Library;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.290 Agricultural Market and Economic Research

N/A.

FEDERAL AGENCY:

USDA, OFFICE OF THE CHIEF ECONOMIST, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

7 U.S.C. Section 3318,

OBJECTIVES:

To conduct cooperative economic research and analyses in support of the Office of the Chief Economist's responsibility to advise the Secretary of Agriculture on the economic implications of Department policies, programs, and proposed legislation. These research projects and analyses cover the nation's agricultural commodity markets; risk analyses and cost-benefit analyses related to international food and agriculture; sustainable development; energy issues related to the agricultural economy; agricultural labor; global climate change; and any other topics that support the Department's consideration or review of policies and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to conduct research that is in cooperation with the Office of the Chief Economist's in-house research and analysis programs and projects.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes

ASSISTANCE LISTINGS DESCRIPTIONS

State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants must have substantial experience in the field of research for which they are applying.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Funds are awarded directly to the ultimate beneficiary. This is not a pass-through program.

Credentials/Documentation:

Documentation is required, but will vary on the nature of the project and the beneficiary. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Interested applicants must contact the Office of the Chief Economist prior to submitting a proposal.

Award Procedure:

The Office of the Chief Economist considers proposals received, evaluates the qualifications of the proposal with respect to the research issue, and, in consultation with the selected cooperator institution, establishes a funding level and the specific deliverables due at the conclusion of the agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. 7 CFR Part 11 does not apply to this program.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds may be awarded at any time during the fiscal year. The period of performance is typically restricted to one year, but may be longer at the discretion

of the Agency. Method of awarding/releasing assistance: other

Reports:

Progress reports are required semi-annually, or as otherwise directed by the Agency. A final report is required within 90 days of project completion. Financial reports are required semi-annually, or as otherwise directed by the Agency. A final report is required within 90 days of project completion.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

12-0123-0-1-352.

Obligations:

Cooperative Agreements FY 20 \$1,052,335.00; FY 21 est \$1,515,487.00; FY 22 est \$1,515,487.00;

Range and Average of Financial Assistance:

For FY17, the range of Financial Assistance was \$15,000 to \$365,000, with an average award of \$124,713.

TAFS Codes:

12-0123.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Celia Hernandez,
1400 Independence Ave., SW Rm 4434-S,
Washington DC 20250-3812
Email: Celia.hernandez@usda.gov
Phone: 202-401-0463
Fax:

Website Address:

<http://www.usda.gov/oce>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review by professional economic and senior management staff at the Office of the Chief Economist for relevance of the proposed research topic to the Office of the Chief Economist's priorities, experience of the institution with respect to the research topic, cost effectiveness, and qualifications of key personnel.

10.291 Agricultural and Food Policy Research Centers

FEDERAL AGENCY:

USDA, OFFICE OF THE CHIEF ECONOMIST, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

Agricultural Act of 2014, , Public Law 113-79, 7 USC Code 3155.

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

To conduct research and education programs that are objective, operationally independent, and external to the Federal Government and that concern the effect of public policies and trade agreements on: (1) the farm and agricultural sectors (including commodities, livestock, dairy, and specialty crops);(2) the environment;(3) rural families, households, and economies; and(4) consumers, food, and nutrition.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding may be used for disciplinary and interdisciplinary research and education concerning policy research activities consistent with this section, including activities that(1) quantify the implications of public policies and regulations;(2) develop theoretical and research methods;(3) collect, analyze, and disseminate data for policymakers, analysts, and individuals; and(4) develop programs to train analysts.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Individual/Family; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Applicants must have substantial experience in the field of research for which they are applying, including a history of providing (1) unbiased, nonpartisan economic analysis to Congress on the farm and agricultural sectors (including commodities, livestock, dairy, and specialty crops), the environment, rural families, households, and economies, and consumers, food, and nutrition; or (2) objective, scientific information to Federal agencies and the public to support and enhance efficient, accurate implementation of Federal drought preparedness and drought response programs, including inter agency thresholds used to determine eligibility for mitigation or emergency assistance.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; Funds are awarded directly to the ultimate beneficiary. This is not a pass-through program.

Credentials/Documentation:

Applicants must provide evidence of legal capacity. Additional documentation may be required at the discretion of the agency. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Interested applicants must contact the Office of the Chief Economist prior to submitting a proposal. Applicants for cooperative agreements must provide an SF-424, evidence of legal capacity, a proposed work plan and budget, and a description of how the proposed project meets eligibility requirements.

Award Procedure:

The Office of the Chief Economist considers proposals received, evaluates the qualifications of the proposal with respect to the research issue, and, in consultation with the selected cooperator institution, establishes a funding level and the specific deliverables due at the conclusion of the agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 30 to 90 days, but no later than any Congressionally-mandated deadline for obligation.

Appeals:

Not Applicable. 7 CFR Part 11 does not apply to this program.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds may be awarded at any time during the fiscal year. The period of performance is typically restricted to one year, but may be longer at the discretion of the Agency. Method of awarding/releasing assistance: other

Reports:

Progress reports are required semi-annually, or as directed by the Agency. A final report is required within 90 days of project completion. Financial reports are required semi-annually, or as otherwise directed by the Agency. A final report is required within 90 days of project completion.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients must comply with the record-keeping requirements in 2 C.F.R. 200.333-.337.

Account Identification:

12-0123-0-1-352.

Obligations:

Cooperative Agreements FY 20 \$7,700,000.00; FY 21 est \$8,000,000.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

2016 range: \$3,800,000 - \$3,800,0002016 average: \$3,800,0002017 range: \$3,800,000 - \$3,800,0002017 average: \$3,800,0002018 range: \$3,800,000 - \$3,800,0002018 average: \$3,800,000

TAFS Codes:

12-0123.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Celia A Hernandez,
1400 Independence Ave., SW Rm 4434-S,
Washington DC 20250-3812

Email: celia.hernandez@usda.gov

Phone: 202-401-0463

Website Address:

<http://www.usda.gov/oce>

RELATED PROGRAMS:

10.290 Agricultural Market and Economic Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria may include, but are not limited to, the applicants experience performing the work for which funds are requested, the reasonableness of the budget, and past performance using funding awarded through this program.

10.303 Integrated Programs

Integrated Programs,

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Competitive, Special, and Facilities Research Grant Act, Public Law 89-106, 7 USC Code 450i. Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626), as reauthorized by Section 7306 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246), authorized the Secretary of Agriculture to establish a competitive grants program that provides funding for integrated, multifunctional agricultural research, extension, and education activities. Subject to the availability of appropriations to carry out this program, the Secretary may award grants to colleges and universities [as defined by section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. 3103)], as amended, on a competitive basis for projects that address priorities in United States agriculture and involve integrated research, education, and extension activities, as determined by the Secretary in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB). Section 7129 of the FCEA amended section 406(b) of AREERA (7 U.S.C. 7626(b)), adding Hispanic-serving agricultural colleges and universities (HSACUs) as eligible entities for competitive funds awarded under this authority (see Part III.B. of RFA for more information)., 7 USC Code 7626. Section 2(c)(1)(B) of Public Law 89106, as amended., Public Law 89-106, 7 USC Code 7626. Title V of the Rural Development Act of 1972, Public Law 92-419, 7 USC Code 2204a.

OBJECTIVES:

GENERAL:NIFA Integrated Programs provide support for integrated research, education, and extension activities. Integrated, multi-functional projects are particularly effective in addressing important agricultural issues through the conduct of problem-focused research that is combined with education and extension of knowledge to those in need of solutions. These activities address critical national, regional, and multi-state agricultural issues, priorities, or problems. Integrated Programs hold the greatest potential to produce and disseminate knowledge and technology directly to end users while providing for educational opportunities to assure agricultural expertise in future generations. See individual program Requests for Applications for additional information about the topics. SEVERAL PROGRAMS ARE FUNDED UNDER CFDA 10.303.

SPECIFIC OBJECTIVES ARE AS FOLLOWS:(1) Integrated Research, Education, and Extension Competitive Grants Program: National Integrated Water Quality ProgramThe goal of the National Integrated Water Quality Program is to improve the quality of our Nation's surface water and groundwater resources through research, education, and extension activities. Projects funded through this program will facilitate achieving this goal by advancing and disseminating the knowledge base available to agricultural and rural communities. Funded projects should lead to science-based decision-making and management practices that improve the quality of the Nation's surface water and groundwater resources in agricultural and rural watersheds. See RFA for priority areas.(2) Integrated Research, Education, and Extension Competitive Grants Program: National Integrated Food Safety InitiativeThe purpose of the National Integrated Food Safety Initiative is to support food safety projects that demonstrate an integrated approach to solving problems in applied food safety research, education, or extension. Various models for integration of applied research, education, and extension will be considered for funding. Applications describing multi-state, multi-institutional, multidisciplinary, and multifunctional activities (and combinations thereof) are encouraged. Applicants are strongly encouraged to address at least two of the three functional areas of research, education, and extension (i.e., research and extension, research and education, or extension and education).(3) Integrated Research, Education, And Extension Competitive Grants Program: Regional Integrated Pest Management CentersThe goal of the Regional Integrated Pest Management Centers (IPM Centers) is to promote the development and implementation of IPM by facilitating collaboration across states, disciplines, and purposes. IPM Centers will establish and maintain information networks, build partnerships to address pest management challenges and opportunities, evaluate the impact of IPM implementation, communicate positive outcomes to key stakeholders, and manage funding resources effectively. Successful applicants to this program will demonstrate the capacity and commitment necessary to advance the goals of the National Roadmap for Integrated Pest Management

(www.ipmcenters.org/IPMRoadMap.pdf), and evaluate the progress of this advancement. The IPM Roadmap addresses pest management needs for production agriculture, natural resources and recreational environments, and residential and public areas.(4) Integrated Pest Management: Crops at Risk ProgramThe goal of the CAR program is to enhance the development and implementation of innovative, ecologically based sustainable IPM system(s). Preferably, this should involve a diversity of tactics and approaches for a single or specific food or fiber commodity in commercial production for pre- and/or post-harvest system(s). The program addresses either a major acreage or high value crop commodity such as key fruits and vegetables. The primary emphasis is on crop productivity and profitability, while addressing critical environmental quality and human health issues. The CAR program will fund integrated multifunctional/multidisciplinary research, education, and extension projects for crops with high priority IPM needs as identified by stakeholders.(5) Integrated Pest Management: Risk Avoidance and Mitigation ProgramThe goal of the Risk Avoidance and Mitigation (RAMP) program is to enhance the development and implementation of innovative, ecologically based sustainable IPM strategies and system(s) for (a) multi-crop food and fiber production systems; (b) an area-wide or a landscape scale agroecosystem; or (c) a documented pesticide impact on water, human or environmental health. RAMP applications may address major acreage agricultural production systems, high value crops such as key fruit and vegetable systems, or other agroecosystems. The primary emphasis of the application should be on productivity and profitability while addressing critical environmental quality and human health issues. The intent of RAMP is to fund medium-term projects that emphasize systems approaches.(6) Integrated Research, Education, and Extension Competitive Grants Program: Integrated Pest Management: Methyl Bromide Transitions ProgramThe goal of the Methyl Bromide Transitions (MBT) program is to support the discovery and implementation of practical pest management alternatives to methyl bromide uses or minimize methyl bromide emissions for which the United States is requesting critical use exemptions. The program is focused on integrated commercial or field scale research that targets short- to medium-term solutions.(7) Integrated Organic ProgramThe purpose of the Integrated Organic Program is to solve critical organic agriculture issues, priorities, or problems through the integration of research, education, and extension activities. The Organic Transitions Program (ORG) funds the development and implementation of research, extension, and higher education programs to improve the competitiveness of organic producers and producers who are adopting organic practices. Funding opportunities for the ORG Program is included in the same Request for Applications (RFA) as the Organic Research and Extension Initiative (OREI).PLEASE NOTE: THIS PROGRAM DOES NOT FUND START UP BUSINESSES.(8) Regional Rural Development Centers (RRDCs):The RRDCs play a unique role in USDA's service to rural America. They link the research and educational outreach capacity of the nation's public universities with communities, local decision makers, entrepreneurs, families, and farmers and ranchers to help address a wide range of development issues. They collaborate on national issues that span regions-like e-commerce, the changing interface between rural, suburban, and urban places, and workforce quality and jobs creation. Each tailors programs to address particular needs in its region.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This research, education, and extension competitive grants program provides funding for integrated, multi-functional agricultural research, extension, and education activities which addresses priorities in United States agriculture. Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

Federal; Minority group; Private nonprofit institution/organization; Other private institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: Methyl Bromide Transition Program (MBT):<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>Organic Transition-Risk Assessment (ORG):<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>Regional Rural Development Centers:<https://nifa.usda.gov/regional-rural-development-centers>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see:

<http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications, 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: Methyl Bromide Transition Program (MBT):<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>Organic Transition-Risk Assessment (ORG):<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>Regional Rural Development Centers:<https://nifa.usda.gov/regional-rural-development-centers>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: Methyl Bromide Transition Program (MBT):<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>Organic Transition-Risk Assessment (ORG):<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>Regional Rural Development Centers:<https://nifa.usda.gov/regional-rural-development-centers>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: Methyl Bromide Transition Program (MBT):<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>Organic Transition-Risk Assessment

ASSISTANCE LISTINGS DESCRIPTIONS

(ORG):<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>Regional Rural Development Centers:<https://nifa.usda.gov/regional-rural-development-centers>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: Methyl Bromide Transition Program (MBT):<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>Organic Transition-Risk Assessment (ORG):<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>Regional Rural Development Centers:<https://nifa.usda.gov/regional-rural-development-centers>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Regarding the Critical Issues and Regional Rural Development Centers (Section 2(c)(1)(B) of Public Law 89106, as amended), normally, competitive research projects will be supported for periods of up to three (3) years. In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: Methyl Bromide Transition Program (MBT):<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>Organic Transition-Risk Assessment (ORG):<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>Regional Rural Development Centers:<https://nifa.usda.gov/regional-rural-development-centers>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and

must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

Project Grants FY 20 \$9,497,600.00; FY 21 est \$10,524,843.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be

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announced in the annual Competitive Request for Application (RFA).The most current RFA is available via: Methyl Bromide Transition Program (MBT):<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>Organic Transition-Risk Assessment (ORG):<https://nifa.usda.gov/funding-opportunity/organic-transitions-org>Regional Rural Development Centers:<https://nifa.usda.gov/regional-rural-development-centers>

TAFS Codes:

12-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal Year (FY) 2020 ACTIVE Programs: The projected amount available for Fiscal Year 2020 Integrated Activities awards is \$1,611,743.(F) Methyl Bromide Transition Program (MBT)Integrated Research, Education, and Extension Competitive Grants Program-Methyl Bromide Transition:If funding is available for this program in FY 2020, NIFA anticipates supporting similar projects at a similar level of funding. Pertinent information to be provided by Program at a future date.(G) Organic Transition-Risk AssessmentOrganic Transition Program (ORG):NOTE: Also see CFDA 10.307 OREI The FY 2020 RFA will focus on the development and implementation of research, extension and higher education programs to improve the competitiveness of organic livestock and crop producers, as well as those who are adopting organic practices. The program will focus on the development and implementation of biologically based pest management practices that mitigate the ecological, agronomic and economic risks associated with a transition from conventional to organic agricultural production systems. The anticipated total amount (pending approval) for the program will be \$6 million.Pertinent information will be provided by Program at a future date.(H) Regional Rural Development Centers:We anticipated the same level of funding for FY 2020 and similar projects will be awarded. The listing below represents Programs which have not been recently funded. Hence, no further action is required (N/A/N) for the following Programs: (A) Water Quality(also Conservation Effects Assessment Projects (CEAP)aka Integrated - Water Quality;(B) National Integrated Food Safety Initiative (aka NIFSI);(C) (Regional) IPM (Integrated Pest Management) Centers; (D) Crops at Risk from FQPA Implementation; andSPECIAL NOTE: See CPPM - CFDA # 10.329(E) FQPA Risk Mitigation Program for Major Food Crop System (aka Risk Avoidance and Mitigation Program).SPECIAL NOTE: See CPPM - CFDA # 10.329. Fiscal Year 2021: Pertinent information to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONSThe Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIAThe Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCEThe National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research

Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Additional Websites:<http://nifa.usda.gov/program/national-water-quality-program><http://nifa.usda.gov/program/national-integrated-food-safety-initiative>http://www.epa.gov/pesticides/regulating/laws/fqpa/fqpa_implementation.htm<http://nifa.usda.gov/resource/integrated-programs-application-information><http://nifa.usda.gov/funding-opportunity/methyl-bromide-transitions><http://nifa.usda.gov/program/organic-agriculture-program><http://nifa.usda.gov/regional-rural-development-centers>

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 ADDITIONAL CONTACTS: USDA, NIFA, National Program Leader; Institute of Food Safety and Nutrition, Division of Food Safety P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 AND USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Family and Consumer Sciences P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141 Email: Amer.Fayad@usda.gov Phone: 816-894-7228 Fax:

Website Address:

<https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.212 Small Business Innovation Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.309 Specialty Crop Research Initiative; 10.311 Beginning Farmer and Rancher Development Program; 10.207 Animal Health and Disease Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

2020 Fiscal Year (FY) 2020 ACTIVE Programs: (F) Methyl Bromide Transition Program (MBT)Integrated Research, Education, and Extension Competitive Grants Program-Methyl Bromide Transition:If funding is available for this program in FY 2020, NIFA anticipates supporting similar projects at a similar level of funding. Pertinent information to be provided by Program at a future date.(G) Organic Transition-Risk AssessmentOrganic Transition Program (ORG):NOTE: Also

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see CFDA 10.307 OREI We projects making approximately 12 awards in 2020. Pertinent details to be provided by Program at a future date. (H) Regional Rural Development Centers: Four awards are projected at the \$474,880 amount. The listing below represents Programs which have not been recently funded. Hence, no further action is required (N/A/N) for the following Programs: (A) Water Quality (also Conservation Effects Assessment Projects (CEAP) aka Integrated - Water Quality); (B) National Integrated Food Safety Initiative (aka NIFSI); (C) (Regional) IPM (Integrated Pest Management) Centers; (D) Crops at Risk from FQPA Implementation; and SPECIAL NOTE: See CPPM - CFDA # 10.329(E) FQPA Risk Mitigation Program for Major Food Crop System (aka Risk Avoidance and Mitigation Program); SPECIAL NOTE: See CPPM - CFDA # 10.329. 2021 Pertinent information to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: Methyl Bromide Transition Program (MBT): <https://nifa.usda.gov/funding-opportunity/methyl-bromide-transition> Organic Transition-Risk Assessment (ORG): <https://nifa.usda.gov/funding-opportunity/organic-transitions-org> Regional Rural Development Centers: <https://nifa.usda.gov/regional-rural-development-centers>

10.304 Homeland Security Agricultural

FOOD AND AGRICULTURE DEFENSE INITIATIVE (FADI) (aka Homeland Security Program) Extension Disaster Education Network (EDEN), National Plant Diagnostic Network (NPDN) National Animal Health Laboratory Network (NAHLN),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), Section 1472, 7 U.S.C. 3318., , 7 USC Code 3318. Homeland Security (Cooperative Agreements): Section 1484 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977., , 7 USC Code 3351.

OBJECTIVES:

To provide funding to protect the food supply and agricultural production. This is accomplished by providing federal assistance, through existing cooperative agreements, to four (4) national agricultural homeland security networks: the National Animal Health Laboratory Network (NAHLN), the National Plant Diagnostic Network (NPDN), the Extension Disaster Education Network (EDEN), and the Pest Information Platform for Education and Extension (ipmPIPE).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These funds are subject to the provisions of 31 U.S.C. 1301 requiring their use only for the purpose for which they were appropriated. Funding is limited to support for the four (4) aforementioned agrosecurity networks.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Anyone/general public; In accordance with section 1472(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, (NARETPA) applicant may be: State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food and agricultural sciences of the Department of Agriculture.

Beneficiary Eligibility:

Federal; Anyone/general public; State; In accordance with section 1472(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, (NARETPA) applicant may be: State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food and agricultural sciences of the Department of Agriculture.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the following websites for further specific and pertinent details: National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN): <https://nifa.usda.gov/national-plant-diagnostic-network> National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative <https://nifa.usda.gov/national-animal-health-laboratory-network> Extension Disaster Education Network (EDEN): <https://nifa.usda.gov/extension-disaster-education-network> 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. The National Institute of Food and Agriculture (NIFA) solicits proposals to fund existing and new cooperative agreements through a request for application process. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

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Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the following websites for further specific and pertinent details: National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):<https://nifa.usda.gov/national-plant-diagnostic-network>National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative:<https://nifa.usda.gov/national-animal-health-laboratory-network>Extension Disaster Education Network (EDEN):<https://nifa.usda.gov/extension-disaster-education-network>

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the following websites for further specific and pertinent details: National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):<https://nifa.usda.gov/national-plant-diagnostic-network>National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative:<https://nifa.usda.gov/national-animal-health-laboratory-network>Extension Disaster Education Network (EDEN):<https://nifa.usda.gov/extension-disaster-education-network>

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the following websites for further specific and pertinent details: National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):<https://nifa.usda.gov/national-plant-diagnostic-network>National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative:<https://nifa.usda.gov/national-animal-health-laboratory-network>Extension Disaster Education Network (EDEN):<https://nifa.usda.gov/extension-disaster-education-network>

Appeals:

Other. Not applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA) each fiscal year. SPECIAL NOTE: Please refer to the following websites for further specific and pertinent details: National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):<https://nifa.usda.gov/national-plant-diagnostic-network>National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative:<https://nifa.usda.gov/national-animal-health-laboratory-network>Extension Disaster Education Network (EDEN):<https://nifa.usda.gov/extension-disaster-education-network>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, the term of a Cooperative Agreement under this authority may not exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the following websites for further specific and pertinent details: National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN):<https://nifa.usda.gov/national-plant-diagnostic-network>National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative:<https://nifa.usda.gov/national-animal-health-laboratory-network>Extension Disaster Education Network (EDEN):<https://nifa.usda.gov/extension-disaster-education-network> Method of awarding/releasing assistance: other

Reports:

REReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REReport Database-- Research, Education, and Extension project online reporting tool (REReport). All projects must be documented in REReport. The NIFA contact for all REReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is

ASSISTANCE LISTINGS DESCRIPTIONS

required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

Cooperative Agreements FY 20 \$8,000,000.00; FY 21 est \$8,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per Capacity, Competitive, and/or Non-Competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). SPECIAL NOTE: Please refer to the following websites for further specific and pertinent details: National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN): <https://nifa.usda.gov/national-plant-diagnostic-network> National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative <https://nifa.usda.gov/national-animal-health-laboratory-network> Extension Disaster Education Network (EDEN): <https://nifa.usda.gov/extension-disaster-education-network>

TAFS Codes:

12-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Food and Ag Defense Initiative (FADI) is unfunded in the

Presidents FY 2020 budget. NIFA will continue to support three multi-institutional homeland security networks via current non-competitively awarded cooperative agreements: The National Plant Diagnostic Network, the National Animal Health Laboratory Network (NAHLN), and the Extension Disaster Education Network. NAHLN: It is anticipated that changes in lab membership from FY 2019 into FY 2020 will be minor since continuity is an important consideration in sustaining agrosecurity preparedness. Given the lack of funding for this program in the FY 2020 budget, the agency does not anticipate making any renewal awards. Fiscal Year 2021: Pertinent information will be provided at a later date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Additional Websites: <http://nifa.usda.gov/national-animal-health-laboratory-network> <http://nifa.usda.gov/extension-disaster-education-network> <http://nifa.usda.gov/national-plant-diagnostic-network>

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, NPDN P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141 Email: amer.fayad@usda.gov Phone: 816-894-7228 Fax: National Program Leader USDA, NIFA, National Program Leader, NAHLN USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community (IYFC), Division of Family and Consumer Sciences National Institute

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of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: Steven.L.Smith@usda.gov
Phone: 202-445-5480
Fax:

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI) ; 10.500 Cooperative Extension Service;

EXAMPLES OF FUNDED PROJECTS:

2020 FADI: The Food and Agriculture Defense Initiative (FADI) is not funded in the Presidents FY2020 Budget. While we expect to continue to support three multi-institutional homeland security networks via existing non-competitively awarded cooperative agreements: The National Plant Diagnostic Network, the National Animal Health Laboratory Network (NAHLN), and the Extension Disaster Education Network. The agency does not expect to renew any of the current NAHLN or NPDN awards in the current budget scenario. More specific details to be provided by Program in next years report. EDEN is strategically planning for continuing to address disasters through education. Funding level will determine how and whether the network is able to expand to further combat reducing the impact of disasters through education. The following are projected: Manage a competitive grants program for sub awards to achieve disaster preparedness initiatives; Maintain, develop, and implement systems for a more holistic approach to communication across the network; Coordinate review of website content; Identify evaluator to conduct external evaluation. Facilitate growth of the 1890 Land Grant University membership and participation in EDEN; Collaborate with Agrosecurity Coordination subcontract team; Encourage and promote collaboration with internal stakeholders and external partners; Enhance the networks outreach capability to expand connections within and outside of Extension; and Continue project analysis and evaluation. 2021 Pertinent information will be provided at a later date.

CRITERIA FOR SELECTING PROPOSALS:

In Fiscal Year (FY) 2002, a panel of USDA scientists knowledgeable in the Nation's plant and animal disease diagnostic capability, recommended to the Secretary a plan of initiating a comprehensive national network of laboratories for the rapid detection and diagnosis of plant and animal diseases. The institutions selected by the panel for initial inclusion in the network were recommended using the following criteria: (1) Existing scientific capacity; (2) Existing laboratory capacity which could be modified to meet Biosafety Level 3 requirements; (3) Both animal and plant diagnostic capabilities; (4) Geographical location meeting one or more of the following requirements: located in an area with a high risk of disease/pest incursions; located in an area with high concentration of vulnerable agricultural activity; complements or supplements regional Federal laboratory activity or is in an area not effectively served by an existing Federal facility; (5) State-of-the-art communication and information technology systems. Must be capable of managing secure communications with other laboratories and central reporting unit; and (6) An effective outreach/extension system. These recommendations were utilized to select the original cooperative agreement recipients and are annually evaluated by USDA/NIFA in network planning. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the following websites for further specific and pertinent details: National Plant Diagnostic Network for the Food and Agricultural Defense Initiative (NPDN): <https://nifa.usda.gov/national-plant-diagnostic-network> National Animal Health Laboratory Network (NAHLN) for the Food and Agricultural Defense Initiative: <https://nifa.usda.gov/national-animal-health-laboratory-network> Extension Disaster Education Network (EDEN): <https://nifa.usda.gov/extension-disaster-education-network>

10.306 Biodiesel

(Biodiesel Fuel Education Program),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Section 9006 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-246), amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) to re-establish the Biodiesel Fuel Education Program which requires that the Secretary make competitive grants to eligible entities to educate governmental and private entities that operate vehicle fleets, other interested entities (as determined by the Secretary), and the public about the benefits of biodiesel fuel use. The Secretary delegated this authority to the Chief Economist, who in turn delegated this authority to the Director of the Office of Energy Policy and New Uses (OEPNU). OEPNU has joined with NIFA for assistance in administering Biodiesel Education under the authorities found in the Economy Act (31 U.S.C. 1535) and Section 1472 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3318). Specific Biodiesel Education administrative provisions are found in 7 CFR 2903., , 7 USC Code 3318.

OBJECTIVES:

The goals of the Biodiesel Fuel Education Program as originally established in Section 9004 of the Farm Security Investment Act of 2002 (7 U.S.C. 8104) were to stimulate biodiesel consumption and to stimulate the development of a biodiesel infrastructure. The information and outreach activities to raise awareness of the benefits of biodiesel fuel use complemented the incentives provided by the Energy Policy Act of 2005 (EPAct) (Public Law 109-58), and the Energy Independence and Security Act of 2007 (Public Law 110-140). As a result of increased awareness and consumption of biodiesel over the past 5 years, the FY 2008 Biodiesel Education program will focus on educational programs which will support advances in infrastructure, technology transfer, fuel quality, fuel safety and increasing feedstock production.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This funding is used for education and outreach activities. Program funds may not be used for renovation or refurbishment or research space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition or construction of buildings or facilities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligibility is restricted to nonprofit organizations or institution of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).
Beneficiary Eligibility:

Private nonprofit institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; Nonprofit organizations or institutions of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an

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applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged

in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Awards are made as continuation grants, and subsequent annual funding is provided based on demonstrated progress. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the

ASSISTANCE LISTINGS DESCRIPTIONS

REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Relation to other audit requirements,

but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0123-0-1-352.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

TAFS Codes:

12-0123.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Contingent upon future funding, Program will submit pertinent information at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,

Kansas City MO 64141

Email: patrick.cassidy@usda.gov

Phone: 202-401-6444

Fax:

Website Address:

<http://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI) ;

EXAMPLES OF FUNDED PROJECTS:

2020 Contingent upon future funding, it is anticipated that similar projects will be awarded. Program will submit pertinent information at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biodiesel-fuel-education>

10.307 Organic Agriculture Research and Extension Initiative

(OREI),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 7206 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended section 1672B of the Food, Agriculture, Conservation, and Trade (FACT) Act of 1990 (7 U.S.C. 5925b) amending and re-authorizing the Organic Agriculture Research and Extension Initiative (OREI). The FACT Act, as amended, authorizes the Secretary of Agriculture, in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB), to make competitive grants to support research and extension activities regarding organically grown and processed agricultural commodities for eight legislatively defined goals. See RFA Part I.B. for further general information and specific details., , 7 USC Code 5925b.

OBJECTIVES:

Facilitate the development of organic agriculture production, breeding, and processing methods. Functionally identify soil microbial communities and ways to manage microbial dynamics to enhance nutrient cycling and disease suppression; Develop systemic approaches to weed, insect and disease management; Prevent, control, and treat internal and external parasites in various livestock species; and Breed crops for disease and insect resistance, good yield in a biologically diverse system, compatibility with intercropping, good response to organic fertility sources, horizontal resistance (traits determined by multiple genes). Evaluate the potential economic benefits to producers and processors who use organic methods. Analyze potential economic costs, returns and risks of organic production systems; Use environmental valuation tools to quantify externalities of producing food, and compare externalities of producing organic and conventional food; - Analyze price

and market structures, including ability of small, medium-, and large-scale growers to access different markets, in order to frame policies that minimize concentration within the industry; and Analyze marketing channels to document how organic food is distributed, what share of the organic food dollar is returned to the farmer, and the implications of large-scale manufacturers entering the organic market. Explore international trade opportunities for organically grown and processed agricultural commodities. Compare compatibility of certification standards used in different parts of the world, with the ultimate goal of harmonization and reciprocity; Undertake marketing studies of international consumer demand for U.S. produced organic goods; and Perform Welfare analyses (quantified gains and losses for producers and consumers) of trade policies affecting international competitiveness, including implementation of the National Organic Program, domestic support programs such as the Conservation Security Act, country of origin labeling, GMO labeling, etc. Determine desirable traits for organic commodities. Examine relationships between nutrients in the soil and nutrients in the food grown on that soil, including long-term soil nutrient and crop nutrient profiles under conventional and organic management; Perform comparisons of nutrient levels between organic and conventional crops and relationship, if any, between taste and nutrient profile; Investigate the role of post-harvest handling and treatment in the maintenance of quality in fresh market organic products; and Determine the reasons for consumer preferences for organic goods. Identify marketing and policy constraints on the expansion of organic agriculture. Analyze opportunities and constraints to organic agriculture resulting from provisions of the Farm Security and Rural Investment Act of 2002; Investigate specific barriers to markets, such as scale-based regulations that restrict family farm access to processors and/or markets; Study negative lender perception of organic farming and ways to change this; and Analyze regulatory barriers, such as lack of access to Federal farm programs, and developing solutions to these challenges. Conduct advanced on-farm research and development that emphasizes observation of, experimentation with, and innovation for working organic farms, including research relating to production and marketing and to socioeconomic conditions. (Note: Many topics from other goal areas can be conducted on working farms.) Develop rigorous on-farm systems research designs; and Conduct long-term, interdisciplinary systems research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Integrated Organic Program is particularly interested in proposed projects that emphasize research and outreach that assist farmers and ranchers with whole farm planning and ecosystem integration. Projects should plan to deliver applied production information to producers. Fieldwork for both program areas must be done on certified organic land or on land in transition to organic certification, as appropriate to project goals and objectives. Refer to the USDA National Organic Program (<http://www.ams.usda.gov/nop>) for organic production standards.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Applications may only be submitted by the following entities: 1. State agricultural experiment stations; 2. Colleges and universities; 3. University research foundations; 4. Other research institutions and organizations; 5. Federal agencies; 6. National laboratories; 7. Private organizations, foundations, or corporations; 8. Individuals who are United States citizens or nationals; or 9. A group consisting of two or more of the entities described in subparagraphs (1) through (8).

Beneficiary Eligibility:

Federal; Individual/Family; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Public nonprofit institution/organization; Other public institution/organization; Applications may only be submitted by the following entities: 1. State agricultural experiment stations; 2. Colleges and universities; 3. University research foundations; 4. Other research institutions and organizations; 5. Federal agencies; 6. National laboratories; 7. Private organizations, foundations, or corporations; 8. Individuals who are United States citizens or nationals; or 9. A group consisting of two or more of the entities

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described in subparagraphs(1) through (8).

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative> RFAs are

generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. Cost Sharing or Matching: (1) General Requirement The Secretary shall require the recipient of a grant under this section to provide funds or in-kind support from non-Federal sources in an amount at least equal to the amount

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provided by the Federal Government. See R&R Budget section regarding matching funds Part IV, B., 6 of this RFA for more details.(2) WaiverNIFA may waive the matching funds requirement specified in the above paragraph for a grant if NIFA determines that: (a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or (b) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiativeRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov if

questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

Project Grants FY 20 \$18,000,000.00; FY 21 est \$25,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>

TAFS Codes:

12-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The total amount available based on the 2018 Farm Bill is \$25 million. The projected amount available for awards is \$18.9 million.The Fiscal Year (FY) 2020 RFA will focus on the following eight (8) legislatively-defined goals: (1) Facilitating the development of organic agriculture production, breeding, and processing methods; (2) Evaluating the potential economic benefits of organic agricultural production and methods to producers, processors and rural communities; (3) Exploring international trade opportunities for organically grown and processed agricultural commodities; (4) Determining desirable traits for organic commodities; (5) Identifying marketing and policy constraints on the expansion of organic agriculture; (6) Conducting advanced on-farm research and development that emphasizes observation of, experimentation with, and innovation for working organic farms, including research relating to production and marketing, food safety, socioeconomic conditions, and farm business management; (7) Examining optimal conservation and environmental outcomes relating to

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organically produced agricultural products; and (8) Developing new and improved seed varieties that are particularly suited for organic agriculture. Fiscal Year 2021: Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,

Kansas City MO 64141

Email: steven.i.smith@usda.gov

Phone: (202) 445-5480

Fax:

Website Address:

<http://nifa.usda.gov/program/organic-agriculture-program>

RELATED PROGRAMS:

10.303 Integrated Programs;

EXAMPLES OF FUNDED PROJECTS:

2020 NIFA projects making about 20 awards in 2020. Pertinent details to be provided by Program at a future date. 2021 Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/organic-agriculture-research-and-extension-initiative>

10.308 Resident Instruction Grants for Insular Area Activities

Grants for Insular Areas - Resident Instruction Grants for Insular Areas (RIIA), FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

This program is administered under the provisions of the Farm Security and Rural Investment Act of 2002 (Section 7501 of Public Law 107-171) as reauthorized in Section 7143 of Public Law 110-246, which amended the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3101 et seq.) by providing for a program of resident instruction grants for insular areas (7 U.S.C. 3363). Funds are available for a program of competitive grants, with funds to be awarded to one or more individual, eligible institutions of higher education in Insular Areas (Insular Area Institutions) or consortia of such eligible institutions, to carry out teaching and education programs in the food and agricultural sciences., , 7 USC Code 3363.

OBJECTIVES:

In General. -The Secretary of Agriculture shall make competitive grants to eligible institutions to- (1) strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international education needs in the food and agricultural sciences; (2) attract and support undergraduate and graduate students in order to educate them in identified areas of national need in the food and agriculture sciences; (3) facilitate cooperative initiatives between two or more insular area eligible institutions, or between those institutions and units of State Government or organizations in the private sector, to maximize the development and use of resources such as faculty, facilities, and equipment to improve food and agricultural sciences teaching programs; and (4) conduct undergraduate scholarship programs to assist in meeting national needs for training food and agricultural scientists.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The purpose of the Resident Instruction Grants Program for Institutions of Higher Education in Insular Areas (RIIA) is to promote and strengthen the ability of Insular Area Institutions to carry out teaching and education programs within the broadly defined food and agricultural sciences-related disciplines. Food and agricultural sciences include programs in agriculture, food and fiber, renewable energy and natural resources, forestry, aquaculture, veterinary medicine, family and consumer sciences, home economics, nutrition and dietetics, rural human ecology, rural economic and community development, and other higher education activities and fields of study related to the production, processing, marketing, distribution, conservation, utilization, consumption, research, and development of food and agriculturally-related products and services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education

ASSISTANCE LISTINGS DESCRIPTIONS

programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used. The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Public nonprofit institution/organization; The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used. The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are

submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

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Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period for most of the competitive grants and/or cooperative agreements under this program is for three (3) years. However, the statutory time limit for all projects may not exceed five (5) years. 2 CFR Part 200, Subpart D applies to this program. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is

required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$1,200,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For FY 2020 it is projected that the budget for the Insular Areas Program will be comparable to Fiscal Year (FY) 2019 and will be distributed in a similar manner. Pertinent data to be provided by Program at a future date.

SPECIAL NOTE: Also see Assistance Listing (CFDA) # 10.322 for other pertinent information regarding the Insular Areas Program. Fiscal Year 2021: Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATION The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: ilawrence@usda.gov
Phone: 816-926-1905
Fax:

Website Address:

<http://nifa.usda.gov/program/resident-instruction-grants-riia-and-distance-education-grants-deg-institutions-higher>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 For FY 2020, it is projected that approximately 10-20 applications will be received, and approximately seven (7) to eight (8) awards will be made. Specific data is not yet available. Pertinent data to be provided by Program at a future date.
2021 Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/resident-instruction-grants-program-institutions-higher-education-insular-areas>

10.309 Specialty Crop Research Initiative

(SCRI).

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Specialty Crop Research Initiative (SCRI) is authorized by Section 7311 of the Food, Conservation, and Energy Act of 2008, which added section 412 to the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA). Section 412 of AREERA establishes a specialty crop research and extension initiative to address the critical needs of the specialty crop industry by developing and disseminating science-based tools to address needs of specific crops and their regions., Public Law 105-185, 7 USC Code 7632.

OBJECTIVES:

The Specialty Crop Research Initiative (SCRI) was established to solve critical industry issues through research and extension activities. SCRI will give priority to projects that are multistate, multi-institutional, or trans-disciplinary; and include explicit mechanisms to communicate results to producers and the public. Projects must address at least one of five focus areas: research in plant breeding, genetics, and genomics to improve crop characteristics; efforts to identify and address threats from pests and diseases, including threats to specialty crop pollinators; efforts to improve production efficiency, productivity, and profitability over the long term; new innovations and technology, including improved mechanization and technologies that delay or inhibit ripening; and methods to prevent, detect, monitor, control, and respond to potential food safety hazards in the production and processing of specialty crops including fresh produce.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Anyone/general public; Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations, individuals, or groups consisting of two or more of these entities.

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Anyone/general public; State; Public nonprofit institution/organization; Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations, individuals, or groups consisting of two or more of these entities.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Notice of Intent to Submit an Application: Prospective applicants are asked to email a notification of intent to submit an application. The notification of intent to submit is not required and does not enter into the review of a subsequent application. The information it contains will be used to help program staff plan the review and estimate the potential review workload. This email should include the following information: Descriptive (draft) title of proposed research; Name of the Project Director and applicant name if applicable; Names of other potential co-Project Directors and their affiliations, if applicable; Focus area(s) addressed, (see Part I(B) for specific details); Likely type of proposal (Coordinated Agricultural Projects, Standard Research and Extension Projects, Regional Partnerships for Innovation Projects, eXtension Projects, and Research and Extension Planning Projects); and Subject line of email should read: SCRI Intent to Submit. Emails should be sent to scri@csrees.usda.gov. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process must follow the instructions provided per Grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see:

<http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via:

ASSISTANCE LISTINGS DESCRIPTIONS

<https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>
Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. Applicants may use both the unrecovered indirect costs associated with the Federal Budget and the unrecovered indirect costs associated with the Non-Federal Budget to meet their matching requirements. Indirect costs may not be recovered on third-party matching contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If

questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. (6/21/17 FYI ONLY: NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays.) PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$92,814,697.00; FY 21 est \$80,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>

TAFS Codes:

12-1502. 12-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For the Fiscal Year (FY) 2020 award cycle, approximately \$75 million is projected to be available for the SCRI program. Relevancy review of pre-applications will be followed by a scientific merit review of solicited full applications. NIFA anticipates funding one (1) continuation award for SCRI (approximately \$2 million). A new Assistance Listing (formerly known as CFDA) number will be used for the issuance of the Fiscal Year 2020 RFA for the CDRE program. Fiscal Year 2021: Pertinent details will be provided at a later date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support

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their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Production, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: tbewick@usda.gov
Phone: 202-445-5392

Fax:

Website Address:

<http://nifa.usda.gov/program/specialty-crop-research-initiative>

RELATED PROGRAMS:

10.307 Organic Agriculture Research and Extension Initiative; 10.200 Grants for Agricultural Research, Special Research Grants; 10.303 Integrated Programs; 10.329 Crop Protection and Pest Management Competitive Grants Program;

EXAMPLES OF FUNDED PROJECTS:

2020 The SCRI call for pre-applications has been published. In 2020, there will be just one continuation award and the remaining funds will be utilized for new awards. 2021 Pertinent details will be provided at a later date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as

described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/specialty-crop-research-initiative-scri>

10.310 Agriculture and Food Research Initiative (AFRI)

(AFRI),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), 7 U.S.C. 450i., 7 USC Code 450i.

OBJECTIVES:

To establish a competitive grants program to provide funding for fundamental and applied research, extension, and education to address food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. The competitive grants program shall address the following areas: A) Plant health and production and plant products; B) Animal health and production and animal products; C) Food safety, nutrition, and health; D) Renewable energy, natural resources, and environment; E) Agriculture systems and technology; and F) Agriculture economics and rural communities. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Quasi-public nonprofit institution/organization; This initiative supports integrated and non-integrated programs. Please refer to Part III, A of the current Agriculture and Food Research Initiative (AFRI) Request for Applications for the complete eligibility requirements.

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Quasi-public nonprofit organization; State; Public nonprofit institution/organization; This initiative supports integrated and non-integrated programs. Please refer to Part III, A of the current Agriculture and Food Research Initiative (AFRI) Request for Applications (RFA) for the complete eligibility requirements.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a

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Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: AFRI: Agricultural and Natural Resources Science for Climate Variability and Change: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>AFRI: Food Safety: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>AFRI: Sustainable Bioenergy: <https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>AFRI: Childhood Obesity Prevention: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>AFRI: Foundational Program: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program><https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>Joint Plant Feedstock: <https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>AFRI: Water (for Agriculture): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS): <https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Applications should be submitted as outlined in the Request for Applications (RFA). Applications must follow the instructions provided per Grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive

Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: AFRI: Agricultural and Natural Resources Science for Climate Variability and Change: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>AFRI: Food Safety: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>AFRI: Sustainable Bioenergy: <https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>AFRI: Childhood Obesity Prevention: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>AFRI: Foundational Program: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program><https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>Joint Plant Feedstock: <https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>AFRI: Water (for Agriculture): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS): <https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: AFRI: Agricultural and Natural Resources Science for Climate Variability and Change: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>AFRI: Food Safety: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>AFRI: Sustainable Bioenergy: <https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>AFRI: Childhood Obesity Prevention: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>AFRI: Foundational Program: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program><https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>Joint Plant Feedstock: <https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>AFRI: Water (for Agriculture): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS): <https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

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initiative-foundational-program<https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>Joint Plant
Feedstock:<https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>AFRI: Water (for Agriculture):<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):<https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>AFRI: Food Safety:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>AFRI: Sustainable Bioenergy:<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>AFRI: Childhood Obesity Prevention:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>AFRI: Foundational Program:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program><https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>Joint Plant
Feedstock:<https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>AFRI: Water (for Agriculture):<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):<https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Not Applicable. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFAs are available as follows: AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>AFRI: Food Safety:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>AFRI: Sustainable Bioenergy:<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>AFRI: Childhood Obesity Prevention:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>AFRI: Foundational Program:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program><https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>Joint Plant

foundation-exploratory-researchJoint Plant
Feedstock:<https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>AFRI: Water (for Agriculture):<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):<https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. Funds are awarded competitively. No formula grants are awarded under this authority. For equipment grants Unless criteria is met to qualify for waiver by the Secretary, matching shall not exceed 50 percent of the cost of the special research equipment or other equipment acquired using funds from the grant. For applied research if the grant is commodity-specific; and not of national scope, the grant recipient is required to match USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of a competitive grant under this program may not exceed ten (10) years. 2 CFR Part 200, Subpart D applies to this program. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows: AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>AFRI: Food Safety:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>AFRI: Sustainable Bioenergy:<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>AFRI: Childhood Obesity Prevention:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>AFRI: Foundational Program:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program><https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>Joint Plant
Feedstock:<https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>AFRI: Water (for Agriculture):<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):<https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REReport Database-- Research, Education, and Extension project online reporting tool (REReport). All projects must be documented in REReport. The NIFA contact for all REReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-

ASSISTANCE LISTINGS DESCRIPTIONS

6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three

(3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$398,495,304.00; FY 21 est \$551,282,662.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFAs are available as follows: AFRI: Agricultural and Natural Resources Science for Climate Variability and Change: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources> AFRI: Food Safety: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area> AFRI: Sustainable Bioenergy: <https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment> AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources> AFRI: Childhood Obesity Prevention: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge> AFRI: Foundational Program: <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program> <https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research> Joint Plant Feedstock: <https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe> AFRI: Water (for Agriculture): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area> Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS): <https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For Fiscal Year 2020 the projected per the Presidents Budget for the Agriculture & Food Research Initiative Grants Program (AFRI) is \$500 million. The projected amount available for awards was \$457,613,568 after legislatively mandated set-asides. Fiscal Year (FY) 2020 ACTIVE Programs: (D) AFRI: Sustainable Bioenergy: N/A Additional funding is not anticipated for this Program. (G) AFRI: Foundational and Applied Sciences Program: The FY 2020 funding levels have not yet been established by Congressional Appropriations. Pertinent details will be provided by Program at a future date. (I) Joint Plant Feedstock: N/A Additional funding is not anticipated for this Program. (J) AFRI: Water for Food Production Systems Challenge Area (WFPS): The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is projected that the funding level will be comparable and the types of projects will be similar. Pertinent details will be provided by Program at a future date. (M) Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS): It is projected that \$5 million will be available for funding the continuation portion of the four (4) FY 2019 awards. (N) AFRI - Education and Workforce Development (EWD): Data is not yet available. Pertinent details to be provided by program at a future date. (O) AFRI - Sustainable Agricultural Systems (SAS): The FY 2020 funding levels have not yet been established by Congressional Appropriations. Pertinent details will be provided by Program at a future date. (P) Comparative and Evolutionary Genomics Research for Accelerated Animal Breeding (Joint NIH Project) (aka CEG): It is projected that this Program will make up to two (2) awards; totaling up to \$3 million. (Q) Cyber-Physical System Program (Interagency Solicitation): The FY 2020 funding levels have not yet been established by Congressional Appropriations. Pertinent details will be provided by Program at a future date. (R) National Robotics Initiative: The FY 2020 funding levels have not yet been established by Congressional

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Appropriations. Pertinent details will be provided by Program at a future date.(S) Joint NIFA/NSF Plant and Animal Microbiome DCL (aka EAGERS):This program is scheduled to sunset in FY 2020. Thus, no information is available.Pertinent information will be provided by Program at a future date.(T) NSF-NIFA Plant Biotic Interactions Program (PBI):NIFA projects that the funding available for the NSF-NIFA Plant Biotic Interactions Program in FY 2020 will be \$8 million.(U) Signals in the Soil (SiS) Program:Projected minimum amount of \$2.4 million, to support three (3) awards.The listing below represents AFRI Programs which have not been recently funded. Hence, no further action is required (N/A/N) for the following Programs: (A) Agricultural and Natural Resources Science for Climate Variability and Change;(B) Food Security;(C) Food Safety;(E) Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI);NOTE: Formerly known as AFRI Fellowships.(F) Childhood Obesity Prevention;(H) Ecology and Evolution of Infectious Diseases (Joint Project with NSF, NIH, USDA and UK BBSRC);(L) International Wheat Yield Partnership; andIntegrated Biorefinery Optimization (Joint Project with DOE).

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Additional Websites: <http://nifa.usda.gov/program/afri-climate-variability-and-change-challenge-area> <http://nifa.usda.gov/program/afri-food-security-challenge-area> <http://nifa.usda.gov/program/afri-food-safety-challenge-area> <http://nifa.usda.gov/program/afri-sustainable-bioenergy-challenge-area> <http://nifa.usda.gov/program/afri-education-and-literacy-initiative> <http://nifa.usda.gov/program/afri-childhood-obesity-prevention-challenge-area> <http://nifa.usda.gov/program/afri-foundational-program> [\[diseases\]\(http://nifa.usda.gov/program/afri-diseases\) \[feedstocks\]\(http://nifa.usda.gov/program/afri-feedstocks\) \[bioenergy-production-departments\]\(http://nifa.usda.gov/program/afri-bioenergy-production-departments\) \[water-agriculture-challenge-area\]\(http://nifa.usda.gov/program/afri-water-agriculture-challenge-area\)](http://nifa.usda.gov/funding-opportunity/ecology-and-evolution-infectious-</p></div><div data-bbox=)

Headquarters Office:

USDA, NIFA, National Program Leader, USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Animal Systems P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 ADDITIONAL CONTACTS: USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Global Climate Change, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Food Safety, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 AND USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Nutrition, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City, MO 64141 Email: afri@usda.gov Phone: (202) 734-0472 Fax:

Website Address:

<https://nifa.usda.gov/program/agriculture-and-food-research-initiative-afri>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.217 Higher Education Challenge Grants; 10.200 Grants for Agricultural Research, Special Research Grants; 93.535 Affordable Care Act (ACA) Childhood Obesity Research Demonstration; 10.212 Small Business Innovation Research; 10.219 Biotechnology Risk Assessment Research; 10.220 Higher Education Multicultural Scholars Program; 10.250 Agricultural and Rural Economic Research; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.309 Specialty Crop Research Initiative; 10.216 1890 Institution Capacity Building Grants; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

2020 Fiscal Year (FY) 2020 ACTIVE Programs: (D) AFRI: Sustainable Bioenergy: N/A Additional funding is not anticipated for this Program.(G) AFRI: Foundational and Applied Sciences Program: Information is not yet available for FY 2020. Pertinent details will be provided by Program at a future date.(I) Joint Plant Feedstock: N/A Additional funding is not anticipated for this Program.(J) AFRI: Water for Food Production Systems Challenge Area (WFPS): The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is supported by the Agency and funded in FY 2020, it is projected that the funding level would be comparable and the types of projects will be similar. Pertinent details will be provided by Program at a future date.(M) Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS): NIFA will provide continuation funding to the FY 2019 projects. Four (4) projects totaling \$5 million.(N) AFRI - Education and Workforce Development (EWD): Data is not yet available. Pertinent details to be provided by program at a future date. (O) AFRI - Sustainable Agricultural Systems (SAS): Information is not yet available for FY 2020. Pertinent details will be provided by Program at a future date.(P) Comparative and Evolutionary Genomics Research for Accelerated

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Animal Breeding (Joint NIH Project) (aka CEG):It is projected that the program will make up to two (2) awards in the area of comparative genomics through identification of genome regions responsible for the traits related to animal health, nutrition, reproduction, and welfare.Pertinent data will be provided by Program at a future date.(Q) Cyber-Physical System Program (Interagency Solicitation):The FY 2020 funding levels have not yet been established by Congressional Appropriations. Pertinent details will be provided by Program at a future date.(R) National Robotics Initiative:The FY 2020 funding levels have not yet been established by Congressional Appropriations. Pertinent details will be provided by Program at a future date.(S) Joint NIFA/NSF Plant and Animal Microbiome DCL (aka EAGERS):This program is sunset in FY 2020. Thus, no information is available.Pertinent information will be provided by Program at a future date.(T) NSF-NIFA Plant Biotic Interactions Program (PBI):NIFA projects that the funding available for the NSF-NIFA Plant Biotic Interactions Program in FY 2020 will be \$8 million.(U) Signals in the Soil (SitS) Program:It is anticipated that NIFA will support funding for three (3) awards.The listing below represents AFRI Programs which have not been recently funded. Hence, no further action is required (N/A/N) for the following Programs: (A) Agricultural and Natural Resources Science for Climate Variability and Change;(B) Food Security;(C) Food Safety;(E) Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI);NOTE: Formerly known as AFRI Fellowships.(F) Childhood Obesity Prevention;(H) Ecology and Evolution of Infectious Diseases (Joint Project with NSF, NIH, USDA and UK BBSRC);(L) International Wheat Yield Partnership; andIntegrated Biorefinery Optimization (Joint Project with DOE). 2021 Pertinent Information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available as follows:AFRI: Agricultural and Natural Resources Science for Climate Variability and Change:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-agriculture-and-natural-resources>AFRI: Food Safety:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-safety-challenge-area>AFRI: Sustainable Bioenergy:<https://nifa.usda.gov/funding-opportunity/afri-foundational-bioenergy-natural-resources-and-environment>AFRI: Food, Agriculture, Natural Resources and Human Sciences Education and Literacy Initiative (ELI): <https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-food-agriculture-natural-resources>AFRI: Childhood Obesity Prevention:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-childhood-obesity-prevention-challenge>AFRI: Foundational Program:<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-foundational-program><https://nifa.usda.gov/funding-opportunity/afri-foundational-exploratory-research>Joint Plant Feedstock:<https://nifa.usda.gov/funding-opportunity/plant-feedstock-genomics-bioenergy-joint-research-solicitation-usda-doe>AFRI: Water (for Agriculture):<https://nifa.usda.gov/funding-opportunity/agriculture-and-food-research-initiative-water-agriculture-challenge-area>Innovation at the Nexus of Food, Energy, and Water Systems (INFEWS):<https://nifa.usda.gov/funding-opportunity/innovations-nexus-food-energy-and-water>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

10.311 Beginning Farmer and Rancher Development Program

(BFRDP),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f], , Public Law 107-171, 7 USC Code 3319f.

OBJECTIVES:

To support the nations beginning farmers and ranchers by making competitive grants to new and established local and regional training, education, outreach, and technical assistance initiatives that address the needs of beginning farmers and ranchers.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Competitive grants are made to support:(A) mentoring, apprenticeships, and internships;(B) resources and referral;(C) assisting beginning farmers or ranchers in acquiring land from retiring farmers and ranchers;(D) innovative farm and ranch transfer strategies;(E) entrepreneurship and business training;(F) model land leasing contracts;(G) financial management training;(H) whole farm planning;(I) conservation assistance;(J) risk management education;(K) diversification and marketing strategies;(L) curriculum development;(M) understanding the impact of concentration and globalization;(N) basic livestock and crop farming practices;(O) the acquisition and management of agricultural credit;(P) environmental compliance;(Q) information processing; and(R) other similar subject areas of use to beginning farmers or ranchers.In addition, cooperative agreements may be awarded to establish Education Teams:(1) In General: In carrying out this section, the Secretary shall establish beginning farmer and rancher education teams to develop curricula and conduct educational programs and workshops for beginning farmers or ranchers in diverse geographical areas of the United States.(2) Curriculum:In promoting the development of curricula, the Secretary shall, to the maximum extent practicable, include modules tailored to specific audiences of beginning farmers or ranchers, based on crop or regional diversity.(3) Composition: In establishing an education team for a specific program or workshop, the Secretary shall, to the maximum extent practicable: (A) obtain the short-term services of specialists with knowledge and expertise in programs serving beginning farmers or ranchers; and(B) use officers and employees of the Department with direct experience in programs of the Department that may be taught as part of the curriculum for the program or workshop.(4) Cooperation: (A) In General:In carrying out this subsection, the Secretary shall cooperate, to the maximum extent practicable, with: (i) State cooperative extension services;(ii) Federal and State agencies;(iii) community-based and nongovernmental organizations;(iv) colleges and universities (including an institution awarding an associates degree) or foundations maintained by a college or university; and(v) other appropriate partners, as determined by the Secretary.(B) Cooperative Agreement:Notwithstanding chapter 63 of title 31, United States Code, the Secretary may enter into a cooperative agreement to reflect the terms of any cooperation under subparagraph (A).An award also may be made to establish a Curriculum and Training Clearinghouse. The Secretary shall establish an online clearinghouse that makes available to beginning farmers or ranchers education curricula and training materials and programs, which may include online courses for direct use by beginning farmers or ranchers.Set-Aside:Not less than 25 percent of funds used to carry out this subsection for a fiscal year shall be used to support programs and services that address the needs of:(A) limited resource beginning farmers or ranchers (as defined by the Secretary);(B) socially disadvantaged beginning farmers or ranchers (as defined in section 355(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 2003(e)); and(C) farmworkers desiring to become farmers or ranchers.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; The recipient must be a collaborative, State, tribal, local, or regionally-based network or partnership of public or private entities, which may include: state cooperative extension service; community-based and nongovernmental organization; college or university (including institutions awarding associate degrees); or any other appropriate partner. Others may be

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eligible to apply. Please refer to Part III of the current BFRDP Request for Applications for complete eligibility requirements.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; The recipient must be a collaborative, State, tribal, local, or regionally-based network or partnership of public or private entities, which may include: state cooperative extension service; community-based and nongovernmental organization; college or university (including institutions awarding associate degrees); or any other appropriate partner. Others may be eligible to apply. Please refer to Part III of the current BFRDP Request for Applications for complete eligibility requirements.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are subjected to a system of peer and merit review in accordance with section 103 of

the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA. 2 CFR Part 200 Subpart C and Appendix I apply to this program. 2 CFR Part 400 applies to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding->

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opportunity/beginning-farmer-and-rancher-development-program-bfrdpRFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Not Applicable. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Funds are awarded competitively. No formula grants are awarded under Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f]. To be eligible to receive a grant under this program, a recipient must provide a match in the form of cash or in-kind contributions in an amount equal to 25 percent of the funds provided by the grant. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Standard BFRDP Projects: The Statutory time limitation is three (3) years from the project start date on the Award Face Sheet (Form NIFA-2009). Educational Enhancement Team (EET) Projects: In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp> Method of awarding/releasing assistance: letter

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2

CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program. .

Account Identification:

12-0502-0-1-352.

Obligations:

Cooperative Agreements FY 20 \$14,115,000.00; FY 21 est \$14,115,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In accordance with the 2018 Farm Bill, the projected 2020 Appropriations is \$15 million for BFRDP projects. The projected amount available for awards is \$14,400,000. Fiscal Year 2021: The projected amount available for

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awards is \$14,115,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Food Production and Sustainability, Division of Agricultural Systems, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: denis.ebodaghe@usda.gov
Phone: (202) 445-5460
Fax:

Website Address:

<http://nifa.usda.gov/program/beginning-farmer-and-rancher-development-program>

RELATED PROGRAMS:

10.500 Cooperative Extension Service;

EXAMPLES OF FUNDED PROJECTS:

2020 Information is not yet available. It is anticipated that similar projects will be funded in Fiscal Year 2020. Pertinent information will be provided by Program at a future date. 2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/beginning-farmer-and-rancher-development-program-bfrdp>

10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI)

(BRDI).

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Collaboration between Department of Energy (DOE) and Department of Agriculture (USDA) on a Biomass Research and Development Initiative is directed under various statutory authorities, primarily the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) and the Energy Policy Act of 2005 (EPA Act 2005) (Public Law 109-58; Section 941). USDA's authorization appears in Section 9008 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) which replaced section 9008 of the Farm Security, and Rural Investment Act of 2002 (FSRIA) (Public Law 107-171) [7 U.S.C. 8108]; thereby amending section 307 of the Biomass Research and Development Act of 2000 (BRDA) (7 U.S.C. 7624 note, and 7 U.S.C. 8101 note; Public Law 106224). Pursuant to Executive Order 13134, BRDA can be found at (7 U.S.C. 8601 et seq). BRDA authorizes the Biomass Research and Development., Public Law 109-58, 7 USC Code 8606.

OBJECTIVES:

To carry out research on and development and demonstration of: (A) biofuels and biobased products; and (B) the methods, practices, and technologies, for the production of biofuels and biobased products.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Eligible entities include: (A) an institution of higher education; (B) a National Laboratory; (C) a Federal research agency; (D) a State research agency; (E) a private sector entity; (F) a nonprofit organization; or (G) a consortium of 2 or more entities described in subparagraphs (A) through (F)

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Public nonprofit institution/organization; Eligible entities include: (A) an institution of higher education; (B) a National Laboratory; (C) a Federal research agency; (D) a State research agency; (E) a private sector entity; (F) a nonprofit organization; or (G) a consortium of 2 or more entities described in subparagraphs (A) through (F)

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one

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new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. All RFAs are published on Grants.gov. Applicants must complete the Grants.gov registration process and follow the instructions provided per Grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Funds are awarded competitively. No formula grants are awarded under this authority. The minimum required recipient cost share must be at least 20% of the total allowable costs for Research and Development (R&D) projects and 50% of the total allowable costs for Demonstration projects. Applicant cost share must come from non-Federal sources unless otherwise allowed by law. No blending of R&D and Demonstration or associated cost share will be permitted. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

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In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: letter

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA

database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-1003-0-1-271.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>

TAFS Codes:

12-1003.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: N/A. The Program ended in FY 2017. However, Assistance Listing (CFDA) # 10.312 for the Biomass Research and Development Initiative (BRDI) cannot be archived. Several pending and/or unrecorded financial transactions prevent this action at this time. Fiscal Year 2021: The Program ended in FY 2017. However, Assistance Listing (CFDA) # 10.312 for the Biomass Research and Development Initiative (BRDI) cannot be archived. Several pending and/or unrecorded financial transactions prevent this action at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations

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published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIAThe Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCEThe National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFA GENERAL AWARD TERMS AND CONDITIONSAward terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. National Institute of Food and AgricultureU.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, National Institute of Food and AgricultureU.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: brdi@usda.gov
Phone: (202) 401-5244
Fax:

Website Address:

<http://nifa.usda.gov/program/biobased-products-processing-programs>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.212 Small Business Innovation Research; 10.320 Sun Grant Program; 10.215 Sustainable Agriculture Research and Education;

EXAMPLES OF FUNDED PROJECTS:

2020 N/A. The Program ended in FY 2017. However, Assistance Listing (CFDA) # 10.312 for the Biomass Research and Development Initiative (BRDI) cannot be archived. Several pending and/or unrecorded financial transactions prevent this action at this time. 2021 The Program ended in FY 2017. However, Assistance Listing (CFDA) # 10.312 for the Biomass Research and Development Initiative (BRDI) cannot be archived. Several pending and/or unrecorded financial transactions prevent this action at this time.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/biomass-research-and-development-initiative-brdi>

10.313 Veterinary Medicine Loan Repayment

Program

VMLRP,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NAREPTA), Section 1415A [7 U.S.C. 3151a], , Public Law 95-113, 7 USC Code 3151a.

OBJECTIVES:

The program responds to the current shortage of large animal veterinarians in rural areas by encouraging veterinarians, through loan repayment, to provide veterinary service in designated shortage areas. To carry out a program of entering into agreements with veterinarians under which the Secretary shall pay specified amounts of the principal and interest of qualifying educational loans of the veterinarians.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

(1) SERVICE IN SHORTAGE SITUATIONS. The Secretary shall carry out a program of entering into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations. For each year of such service under an agreement under this paragraph, the Secretary shall pay an amount, as determined by the Secretary and specified in the agreement, of the principal and interest of qualifying educational loans of the veterinarians.(2) SERVICE TO FEDERAL GOVERNMENT IN EMERGENCY SITUATIONS. (A) IN GENERAL. The Secretary may enter into agreements of 1 year duration with veterinarians who have agreements pursuant to paragraph (1) for such veterinarians to provide services to the Federal Government in emergency situations, as determined by the Secretary, under terms and conditions specified in the agreement. Pursuant to an agreement under this paragraph, the Secretary shall pay an amount, in addition to the amount paid pursuant to the agreement in paragraph (1), as determined by the Secretary and specified in the agreement, of the principal and interest of qualifying educational loans of the veterinarians.(B) REQUIREMENTS. Agreements entered into under this paragraph shall include the following:(i) A veterinarian shall not be required to serve more than 60 working days per year of the agreement.(ii) A veterinarian who provides service pursuant to the agreement shall receive a salary commensurate with the duties and shall be reimbursed for travel and per diem expenses as appropriate for the duration of the service.None of the funds appropriated to the Secretary under subsection (f) may be used to carry out section 5379 of title 5, United States Code.

Applicant Eligibility:

Individual/Family; The Secretary may enter into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations.

Beneficiary Eligibility:

Individual/Family; The Secretary may enter into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations.

Credentials/Documentation:

Applicants must furnish the information required in the request for applications (RFAs), which include: (1) a Loan Information Form for each loan to be considered for repayment; (2) an Intent of Employment form providing assurance that the applicant will be engaged in employment at a NIFA-designated veterinary shortage area for not less than three years from the anticipated effective date of the VMLRP contract between the individual and NIFA; and (3) a signed VMLRP Contract by which the applicant agrees to serve the obligated minimum period of three years providing veterinary service in a designated veterinary shortage area. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

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Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per the VMLRP website at www.nifa.usda.gov/vmlrp. Qualified individuals interested in participating in the VMLRP should visit the VMLRP website for all application forms and requirements. The application will be evaluated by a peer review panel, convened for this purpose by the NIFA. In selecting applicants for participation in the VMLRP, the panel will consider eligibility status, quality of the applicant, and the applicants career plan focus. All selections are subject to final approval by the VMLRP manager. The NIFA will notify the State Animal Health Official and the applicant of the outcome of the review. Several Federal statutes and regulations apply to VMLRP applications considered for review and to service agreements awarded under this program. Please refer to Section # 140 for specific details.

Award Procedure:

Once the applicant is selected to participate in the VMLRP, the applicant and the NIFA Director (or Secretary of Agriculture) will sign a written binding contract stating the terms and conditions for participating in the VMLRP. The effective date of the contract will be the date it is signed by the NIFA Director, or the date employment/service begins at an NIFA-designated veterinary shortage area, whichever is later.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Dates for specific deadlines are announced in the RFAs or guidelines for each fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. A VMLRP participant completing the initial 3-year period of qualified veterinary service has an option to extend the obligatory period of service on an annual basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The VMLRP will pay equal quarterly payments to the lenders. Each payment will be made shortly after the end of each full quarter of satisfactory service. Method of awarding/releasing assistance: quarterly

Reports:

1) Quarterly Report: VMLRP recipients will be required to verify that the terms of the VMLRP contract are being met on a quarterly basis. Subsequent quarterly loan repayments will not be disbursed until this verification is provided. This report will be due ten business days after the end of each 3 month interval during the VMLRP contract for the previous 3 month period and must include the following information: (a) A listing of states, counties, and/or insular areas served (b) A listing of veterinary services and activities provided in the shortage situation (c) Percentage time (on a 40-hour week basis) providing service to veterinary shortage situation identified in the agreement. Program participants are responsible for notifying NIFA of any changes in the service being provided in the specified shortage situation during the 3-year period. It is strongly recommended that program participants advise NIFA of these changes at least 2 months in advance to allow sufficient processing time. Failure to provide the updated information may result in the termination of the VMLRP contract and the program participant may be subject to penalties as outlined in Section C, Paragraph 3 of the contract. SPECIAL NOTES: See below for information regarding submission of the Termination Report. Please refer to 7 CFR Part 3431 and annual RFA for further details. See above for information regarding submission of Quarterly Reports. See below for information regarding submission of the Termination Report. SPECIAL NOTE: Please refer to 7 CFR Part 3431 and annual RFA for further details. 2) Termination Report: At the end of the term of the agreement, the recipient and

his/her supervisor will each prepare and submit to NIFA a Termination Report that addresses the following, as well as any other information the participants wish to share with NIFAs VMLRP leadership: a. A summary of the services provided toward mitigation of the original veterinary shortage situation, as well as other veterinary services provided to the local community, institution, or other employing entity. b. An assessment of the current state of veterinary services in the recipients service area and adjacent service areas, or specialty area, with emphasis on identification of ongoing shortage situations. c. An assessment of future veterinary service needs and trends in the recipients service (or specialty area) and, to the extent such information may be known, adjacent service areas, with emphasis on identification of ongoing shortage situations, either geographic or disciplinary. d. An appraisal of the overall effectiveness of the VMLRP in addressing the specific veterinary shortage situation served by the awardee, including the role of the mentor (where applicable). The Termination Report must be submitted by email attachment to vmlrp@usda.gov, preferably as an MS Word or WordPerfect document. The subject line and the first line of the body of the email should include, in the following order: Termination Report: <Last name of recipient>, <award number>, <shortage situation code>. SPECIAL NOTES: See above for specific details regarding submission of the Quarterly Reports. Please refer to 7 CFR Part 3431 and annual RFA for further details.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Please refer to 7 CFR Part 3431 for guidance.

Records:

Please refer to 7 CFR Part 3431 for guidance.

Account Identification:

12-1500-0-1-352.

Obligations:

Direct Payments for Specified Use FY 20 \$7,200,000.00; FY 21 est \$7,650,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement.

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For Fiscal Year (FY) 2020, NIFA anticipates receiving and reviewing approximately 140 applications and that of these, 55 to 60 veterinarians will receive loan repayment awards totaling approximately \$4,400,000 in exchange for their commitment to provide professional food supply or public health-related veterinary services in designated US food supply veterinary shortage situations for three (3) years. Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for

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identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONSAward terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

USDA, NIFA, National Program Leader,
Institute of Food Production and Sustainability, Division of Animal Systems,
National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,
Kansas City MO 64141
Email: VMLRP@usda.gov
Phone: (202) 401-6134
Fax:

Website Address:

<http://nifa.usda.gov/program/veterinary-medicine-loan-repayment-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Not Applicable: Awards under the VMLRP are loan repayments not projects. See veterinary shortage maps for 2020 when they are posted (projected for early 2020) at www.nifa.usda.gov/vmlrp-map to review the locations and types of veterinary service shortages eligible to be filled.

CRITERIA FOR SELECTING PROPOSALS:

In carrying out its review, the peer review panel will take into account the quality of match between an applicant's professional training and experience and the circumstances and needs of the designated shortage situation the applicant proposes to fill. The following evaluation criteria will be considered in the context of the factors and circumstances necessary for 1) most effective mitigation of the specific veterinary shortage situation, 2) prioritizing the relatively more severe shortages and, 3) achieving the highest likelihood that awardees will realize employment satisfaction and professional success during and beyond the term of the VMLRP agreement. Additional guidelines are described in the RFAs.

10.318 Women and Minorities in Science, Technology, Engineering, and Mathematics Fields

WAMS; Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (STEM) program,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 7204 of the Food Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) amends section 1672 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACT) (7 U.S.C. 5925) to authorize the Secretary of Agriculture to make competitive grants to support research and extension activities. The Secretary shall make these grants in consultation with the National Agricultural Research, Extension, and Education, and Economics Advisory Board.,

OBJECTIVES:

The Women and Minorities (WAMS) in Science, Technology, Engineering and Mathematics (STEM) Fields program was authorized to increase participation by women and underrepresented minorities from rural areas in the fields of science, technology, engineering and mathematics, with priority given to eligible institutions that carry out continuing programs funded by the Secretary. The goal of the WAMS program is to develop and implement robust partnerships to increase the representation, participation, and entrepreneurship of women in STEM careers, thereby contributing to national economic prosperity. The purpose of the grant program is to support projects that: Provide STEM knowledge, skills and competency to Women and Minorities from Rural Areas with successful placement (a) in the workforce in STEM fields, or (b) as innovators and entrepreneurs adding value to the STEM fields in areas that have relevancy to the USDA Secretary's priorities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research and extension grants to increase participation by women and underrepresented minorities from rural areas in the fields of science, technology, engineering and mathematics, with priority given to eligible institutions that carry out continuing programs funded by the Secretary.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State agricultural experiment stations; colleges and universities; university research foundations; other research institutions and organizations; Federal agencies; national laboratories; private organizations or corporations; individuals; or any group consisting of 2 or more of these entities.

Beneficiary Eligibility:

Federal; Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State agricultural experiment stations; colleges and universities; university research foundations; other research institutions and organizations; Federal agencies; national laboratories; private organizations or corporations; individuals; or any group consisting of 2 or more of these entities.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM

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requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific,

education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website.

NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. Not applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. Grant recipients are required to match the USDA funds awarded on dollar-for-dollar basis from non-Federal sources with cash and in-kind contributions. NIFA may waive the matching funds requirement for a grant if NIFA determines that: (a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or (b) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields> RFAs are generally released annually. Hence, the RFAs provide

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the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: letter

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. (6/21/17 FYI ONLY: NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays.) PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$384,000.00; FY 21 est \$384,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is projected that the funding level will be comparable and the types of projects will be similar. Pertinent details will be provided by Program at a future date. Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific

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Integrity Policy and the Federal Policy on Research

Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: carlos.ortiz@usda.gov

Phone: 202-445-5594

Fax:

Website Address:

<http://nifa.usda.gov/program/women-and-minorities-science-technology-engineering-and-mathematics-fields-grant-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Pertinent data to be provided by Program at a future date. 2021 Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/women-and-minorities-science-technology-engineering-and-mathematics-fields>

10.319 Farm Business Management and Benchmarking Competitive Grants Program

(FBMB).

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 1672D of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 5925f), , 7 USC Code 5925f.

OBJECTIVES:

To establish a competitive research and extension grants program for the purpose of: (1) improving the farm management knowledge and skills of agricultural producers; and (2) establishing and maintaining a national, publicly available farm financial management database to support improved farm management.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

In general: The Secretary may make competitive research and extension grants for the purpose of: (1) improving the farm management knowledge and skills of agricultural producers; and (2) establishing and maintaining a national, publicly available farm financial management database to support improved farm management. Selection criteria: In allocating funds made available to carry out this section, the Secretary may give priority to grants that: (1) demonstrate an ability to work directly with agricultural producers; (2) collaborate with farm management and producer associations; (3) address the farm management needs of a variety of crops and regions of the United States; and (4) use and support the national farm financial management database. Administration: Paragraphs (4), (7), (8), and (11)(B) of subsection (b) of section 450i of this title shall apply with respect to the making of grants under this section. Authorization of appropriations: There are authorized to be appropriated such sums as are necessary to carry out this section.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Applications may be submitted by qualified public and private entities. Pursuant to 7 U.S.C. 450i(7), this includes: (A) State agricultural experiment stations; (B) colleges and universities; (C) university research foundations; (D) other research institutions and organizations; (E) Federal agencies; (F) national laboratories; (G) private organizations or corporations; (H) individuals; or (I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H). Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicant's failure to meet an eligibility criterion by the time of an application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Public nonprofit institution/organization; Other public institution/organization; Applications may be submitted by qualified public and private entities. Pursuant to 7 U.S.C. 450i(7), this includes: (A) State agricultural experiment stations; (B) colleges and universities; (C) university research foundations; (D) other research institutions and organizations; (E) Federal agencies; (F) national laboratories; (G) private organizations or corporations; (H) individuals; or (I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H). Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicant's failure to meet an eligibility criterion by the time of an application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the

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award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via [Grants.gov](http://www.grants.gov) in response to specific Requests for Applications (RFA). Applicants must complete the [Grants.gov](http://www.grants.gov) registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female

representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the [Grants.gov](http://www.grants.gov) website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

Appeals:

Other. Not applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, for competitive project grants and/or cooperative agreements may not exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: letter

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-

ASSISTANCE LISTINGS DESCRIPTIONS

6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three

(3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$2,000,000.00; FY 21 est \$1,850,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program is subject to congressional budget approval for FY 2020. At this time, the projected funding level is Zero (\$0). However, if funding is provided, it is anticipated that similar projects will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFIM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

National Program Leader USDA, NIFA, National Program Leader,
Institute of Youth, Family, and Community, Division of Family and Consumer
Sciences, National Institute of Food and Agriculture U.S. Department of
Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-
6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop
10000, Kansas City, MO 64141-3061,
Kansas City MO 64141

Email: richard.elrod@usda.gov

Phone: 202-445-5456

Fax:

Website Address:

<http://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/farm-business-management-and-benchmarking-fbmb-competitive-grants-program>

10.320 Sun Grant Program

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

The Sun Grant Program, was originally established in section 778 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2004 (Public Law 108-199), which amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) adding section 9011, entitled the Sun Grant Research Initiative Act of 2003. Section 7526 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8114) establishes the Sun Grant Program, replacing the Sun Grant Research Initiative Act of 2003. Authority for the Sun Grant Program is delegated to the National Institute of Food and Agriculture in title 7 Part 2.66 subpart (a) section (153) of the Code of Federal Regulations., , 7 USC Code 8114.

OBJECTIVES:

This program responds to the need to enhance national energy security with biobased energy technology; promote diversification and environmental sustainability through biobased technology; promote economic diversification in the rural U.S. through biobased technology; and enhance the efficiency of biobased technology research and development (R&D) through collaborations among USDA; Department of Energy (DOE); and Land Grant Institutions. Grants will be awarded to the sun grant centers and subcenter for the purposes of carrying out a competitive grants program and to conduct multi-institutional and multistate research, extension, and education programs on technology development; and integrated research, extension, and education programs on technology implementation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Sun Grant Program was established to: (1) Enhance national energy security with biobased energy tech; (2) Promote diversification and environmental sustainability through biobased tech; (3) Promote economic diversification in the rural US through biobased technology; and (4) Enhance the efficiency of biobased technology R&D through collaborations among the United States Department of

Agriculture, Department of Energy, and Land Grant Institutions. A Sun Grant Center or Subcenter shall use 75 percent of the funds to provide competitive grants to entities that are (i) eligible to receive grants under subsection (b)(7) of the Competitive, Special, and Facilities Research Grant Act (7 U.S.C. 450i(b)(7)); and (ii) located in the region covered by the Sun Grant Center or Subcenter.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Only the Sun Grant Centers and Subcenter as specifically designated in 7 U.S.C. 8114 are eligible to apply for funding under this program.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Only the Sun Grant Centers and Subcenter as specifically designated in 7 U.S.C. 8114 are eligible to apply for funding under this program.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/sun-grant-programRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see:

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<http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-programRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA).2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-programRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-programRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable.2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching

Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. Funds allocated for competitive grants must be matched in an amount of 20 percent from non-Federal sources by all subawardees. Matching requirements do not apply to fundamental research. Additionally, the Sun Grant Center or Subcenter may reduce or eliminate the requirement for non-Federal funds for applied research if the Sun Grant Center or Subcenter determines that the reduction is necessary and appropriate pursuant to specific guidance issued by NIFA. Specific matching provisions are announced in the request for applications or guidelines for each fiscal year.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-programRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: letter

Reports:

REReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepor> and the REReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REReport Database-- Research, Education, and Extension project online reporting tool (REReport). All projects must be documented in REReport. The NIFA contact for all REReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please

ASSISTANCE LISTINGS DESCRIPTIONS

contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards.

PROGRESS REPORTS: See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$2,787,840.00; FY 21 est \$2,787,840.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-program>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Sun Grant program will continue in FY 2020 if sufficient funding is available. The President's FY 2020 Budget proposes a zero appropriation for the Sun Grant Program. Fiscal Year 2021: Pertinent details will be provided at a later date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: **POLICY GUIDELINES** NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> **CERTIFICATIONS AND**

REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> **ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA** When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgment for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> **FEDERAL REGULATIONS** The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> **FOIA** The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> **NEPA POLICY AND GUIDANCE** The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> **OGFM ISSUED CORRESPONDENCE** The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> **RESEARCH MISCONDUCT** NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> **NIFAS GENERAL AWARD TERMS AND CONDITIONS** Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Bioenergy, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: patrick.cassidy@usda.gov
Phone: 816-908-3121
Fax:

Website Address:

<http://nifa.usda.gov/funding-opportunity/sun-grant-program>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI) ; 10.306 BIODIESEL; 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI);

EXAMPLES OF FUNDED PROJECTS:

2020 Contingent upon future funding, the Sun Grant Program will continue in FY 2020 and it is anticipated that it will have the same breadth and depth of impacts as previously years. 2021 Pertinent details will be provided at a later date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/sun-grant-program>

10.322 Distance Education Grants for Institutions

ASSISTANCE LISTINGS DESCRIPTIONS

of Higher Education in Insular Areas

Grants for Insular Areas - Distance Education Grants for Insular Areas (DEG)[Formerly: Distance Education Grant (DEG)].

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Distance Education for Insular Areas (Resident Instruction Grants for Insular Area Activities),, 7 USC Code 3363. Distance Education Grants for Insular Areas (Distance Education Grants for Institutions of Higher Education in Insular Areas),, 7 USC Code 3362.

OBJECTIVES:

To strengthen the capacity of Institutions of Higher Education in Insular Areas to carry out resident instruction, curriculum, and teaching programs in the food and agricultural sciences through distance education technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The purpose of Distance Education Grants for Institutions of Higher Education in Insular Areas is to strengthen the capacity of Institutions of Higher Education in Insular Areas to carry out resident instruction, curriculum, and teaching programs in the food and agricultural sciences through distance education technology. Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Other public institution/organization; Individual land-grant colleges and universities, and other institutions that have secured land-grant status through Federal legislation, and which are located in Insular Areas are automatically eligible for awards under the DEG grants program, either as direct applicants or as parties to a consortium agreement.

Beneficiary Eligibility:

State; Other public institution/organization; Individual land-grant colleges and universities, and other institutions that have secured land-grant status through Federal legislation, and which are located in Insular Areas are automatically eligible for awards under the DEG grants program, either as direct applicants or as parties to a consortium agreement.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the

Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and

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non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Not Applicable. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: letter

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all

REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. (6/21/17 FYI ONLY: NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays.) PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention

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requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$800,000.00; FY 21 est \$800,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Per the Budget Office, it is projected that approximately \$1.9 million will be available for the Insular Area Grants Program (CFDA # 10.308 and # 10.322). Funds will be allocated between DEG and RIIA. For FY 2020 it is projected that the budget for the Insular Areas Program will be comparable to Fiscal Year FY 2019 and will be distributed in a similar manner. Pertinent data to be provided by Program at a future date. SPECIAL NOTE: Also see Assistance Listing (CFDA) # 10.308 for other pertinent information regarding the Insular Areas Program. Fiscal Year 2021: Pertinent data to be provided by Program at a future date. SPECIAL NOTE: Also see Assistance Listing (CFDA) # 10.308 for other pertinent information regarding the Insular Areas Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFIM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award

date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: ilawrence@usda.gov
Phone: (202) 531-0633

Fax:

Website Address:

<http://nifa.usda.gov/program/resident-instruction-grants-riia-and-distance-education-grants-deg-institutions-higher>

RELATED PROGRAMS:

10.308 Resident Instruction Grants for Insular Area Activities;

EXAMPLES OF FUNDED PROJECTS:

2020 For FY 2020, it is projected that approximately 10-20 applications will be received, and approximately seven (7) to eight (8) awards will be made. Specific data is not yet available. Pertinent data to be provided by Program at a future date. 2021 Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/distance-education-grants-institutions-higher-education-insular-areas-deg>

10.326 Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA)

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act 2012, , Public Law 112-55, National Agricultural Research, Extension, and Teaching Policy Act (7 U.S.C. 3319i) as amended by section 7138 of the Food, Conservation, and Energy Act of 2008, , Public Law 110-246, 7 USC Code 3319i.

OBJECTIVES:

To assist the NLGCA Institutions in maintaining and expanding their capacity to conduct education, research, and outreach activities relating to agriculture, renewable resources, and other similar disciplines.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

To maintain and expand the capacity of the NLGCA Institution to: compete, successfully, for funds from Federal grants and other sources to carry out educational, research, and outreach activities that address priority concerns of national, regional, State, and local interest; disseminate information relating to priority concerns to interested members of the agriculture, renewable resources, and other relevant communities; the public; and any other interested entity; and

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encourage members of the agriculture, renewable resources, and other relevant communities to participate in priority education, research, and outreach activities by providing matching funding to leverage grant funds. This can be accomplished through the: purchase or other acquisition of equipment and other infrastructure; professional growth and development of the faculty of the NLGCA Institution; and development of graduate assistantships.

Applicant Eligibility:

Other public institution/organization; Only a non-land-grant public college or university (NLGCA) offering a baccalaureate or higher degree in the study of agriculture or forestry. The terms "NLGCA Institution" and "non-land-grant college of agriculture" do not include - (i) Hispanic-serving agricultural colleges and universities; or (ii) any institution designated under - (I) the Act of July 2, 1862 (commonly known as the "First Morrill Act"; 7 U.S.C. 301 et seq.); (II) the Act of August 30, 1890 (commonly known as the "Second Morrill Act") (7 U.S.C. 321 et seq.); (III) the Equity in Educational Land-Grant Status Act of 1994 (Public Law 103-382; 7 U.S.C. 301 note); or (IV) Public Law 87-788 (commonly known as the "McIntire-Stennis Cooperative Forestry Act") (16 U.S.C. 582a et seq.)

Beneficiary Eligibility:

Other public institution/organization; A non-land-grant public college or university offering a baccalaureate or higher degree in the study of agriculture or forestry, faculty of NLGCA, students engaged in the study of agriculture or forestry, the public, interested members of the agriculture, renewable resources, and other relevant and interested communities.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the

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Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgea> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgea>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgea> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: letter

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency

required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$4,700,000.00; FY 21 est \$4,700,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgea>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program is subject to congressional budget approval for FY 2020. If funding is provided, it is anticipated that the number of proposals received and projects awarded will be similar to prior years. Pertinent information will be provided by Program at a future date. Fiscal Year 2021: Pertinent data to be

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provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: NLGCA@usda.gov
Phone: 202-815-6053

Website Address:

<http://nifa.usda.gov/program/capacity-building-grants-non-land-grant-colleges-agriculture-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Pertinent data to be provided by Program at a future date. 2021 Pertinent data to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/capacity-building-grants-non-land-grant-colleges-agriculture-program-nlgca>

10.328 National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program

National Food Safety Training, Education, Extension, Outreach, and Technical Assistance (aka FSMA),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Drug Cosmetic Act, 21 U.S.C. 391 et seq. Section 209 of FSMA added section 1011, Subsection (d) entitled National Food Safety Training, Education, Extension, Outreach and Technical Assistance Program. Paragraph (d)(1) requires the Secretary of Health and Human Services to enter into a Memorandum of Understanding or cooperative agreement with the Secretary of Agriculture to establish a competitive grant program within the National Institute of Food and Agriculture. This program will award competitive grants to organizations to provide food safety training, education, extension, outreach, and technical assistance to owners and operators of small and medium-sized farms, beginning farmers, socially-disadvantaged farmers, small processors, or small fresh fruit and vegetable merchant wholesalers. The authority for the National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program is under Section 405 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7625).

OBJECTIVES:

The National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Program will award grants that increase the understanding and adoption of established food safety standards, guidance, and protocols. Grants awarded through this program will be carried out in a manner that facilitates the integration of food safety standards and guidance with a variety of agricultural production and processing systems, including conventional, sustainable, organic, and conservation and environmental practices carried out by the eligible entities. The assistance provided by these programs shall be coordinated with and delivered in cooperation with similar services or assistance by other federal agencies or programs serving those eligible entities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The new National Food Safety Training, Education, Extension, Outreach, and Technical Assistance proposals are expected to describe Regional Centers that have a leading role in coordinating the development of FSMA-related training, education, and outreach programs and resources for small and medium-sized farms, beginning farmers, socially disadvantaged farmers, small processors, and/or small fresh fruit and vegetable merchant wholesalers. An outreach plan for conducting education and training to a cadre of regional FSMA trainers must be developed, along with an implementation plan for extending both training and technical assistance to the targeted audiences of farmers, processors and vendors in the respective regions. In addition, the Regional Centers must work collaboratively with a National Coordination Center for Food Safety Training, Education, Extension, Outreach, and Technical Assistance to ensure that training curricula and resources cover core competencies across all funded regional centers, and to provide annual reports to NIFA on overall program objectives.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals);

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Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The Cooperative Extension Service for a U.S. state or territory; A non-profit community-based or non-governmental organization representing owners and operators of farms, small food processors, or small fruit and vegetable merchant wholesalers that has a commitment to public health and expertise in administering programs that contribute to food safety; An institution of higher education (as defined in Section 101(a) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)) or a foundation maintained by an institution of higher education; Federal, State, Local, or Tribal Agencies; A collaboration of two or more eligible entities; or Such other appropriate entity, as determined by the Secretary of Agriculture.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; The Cooperative Extension Service for a U.S. state or territory; A non-profit community-based or non-governmental organization representing owners and operators of farms, small food processors, or small fruit and vegetable merchant wholesalers that has a commitment to public health and expertise in administering programs that contribute to food safety; An institution of higher education (as defined in Section 101(a) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)) or a foundation maintained by an institution of higher education; Federal, State, Local, or Tribal Agencies; A collaboration of two or more eligible entities; or Such other appropriate entity, as determined by the Secretary of Agriculture.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>

RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. All Requests for Applications (RFAs) are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants-grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

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Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, vary from (1) to five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this

article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR Part 200, Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$7,680,000.00; FY 21 est \$9,600,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2020: The projected amount for FSOP awards is \$3,749,498. If funding remains level, NIFA will continue to follow Congress intent, and prioritize FSOP funds for projects that provide food safety education and training to small, midsized, beginning, socially disadvantaged, and veteran farmers. NIFA will also collect data from FSOP award recipients to ensure projects are serving these audiences. Fiscal Year 2021: Pertinent details will be provided by Program at a later date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATION The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

Director, Division of Food Safety USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition (IFSN), National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: helen.chipman@usda.gov
Phone: 202-701-3524
Fax:

Website Address:

<http://nifa.usda.gov/program/food-safety>

RELATED PROGRAMS:

10.303 Integrated Programs;

EXAMPLES OF FUNDED PROJECTS:

2020 Information is not yet available. Pertinent details to be provided by Program at a future date. 2021 Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-safety-outreach-program>

10.329 Crop Protection and Pest Management Competitive Grants Program

Crop Protection and Pest Management (CPPM),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Consolidated Appropriations Act of 2014 (H.R. 3547) provided NIFA with funding for competitive grants programs authorized under section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7626), including the Crop Protection and Pest Management Program. These funds are available to support integrated, multifunctional agricultural research, extension, and education activities. Subject to the availability of appropriations to carry out this program, the Secretary may award grants to colleges and universities (as defined by section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. 3103)), as amended, on a competitive basis for projects that address priorities in United States agriculture and involve integrated research, education, and extension activities, as determined by the Secretary in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board., 7 USC Code 7626.

OBJECTIVES:

The CPPM program supports projects that address these challenges with IPM approaches developed by coordinated state, regional, and national research and extension efforts. The impact of these research and extension efforts will be increased by the establishment of communication networks and stakeholder participation in setting priorities. In FY 2021, NIFA will only accept competitive applications for funding in the Applied Research and Development Program area (ARDP) and the Extension Implementation Program area (EIP) of the CPPM program. NIFA will fund current Regional Coordination Program area (RCP) projects through continuation applications. The anticipated amount available for CPPM grants in FY 2021 is approximately \$18.1 million. Of this amount, approximately \$4.0 million will be used to fund ARDP awards, approximately \$10 million to fund EIP awards; and approximately \$4.1 million to fund current RCP continuation awards.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The new CPPM program provides support for three linked programs that emphasize research (discovery), extension (translation) of that knowledge, and enhanced coordination, collaboration and communications among related CPPM programs and grantees. The three program areas are: Applied Research and Development Program Area (ARDP) To enhance the development and implementation of innovative, ecologically-based, sustainable IPM tactics and strategies that address regional and/or national IPM priorities. Extension Implementation Program Area (EIP) To assure the implementation of IPM through extension activities and coordination with other EIP grantees and other CPPM program areas based on defined state, multi-state, regional, national, or international needs. Regional Coordination Program Area (RCP) To increase coordination and improve efficiency of IPM research and extension efforts; facilitate collaboration across states and disciplines; and promote further

ASSISTANCE LISTINGS DESCRIPTIONS

development and adoption of IPM through regional pest management information networks, collaborative team building and broad-based stakeholder participation.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Other private institutions/organizations; Colleges and universities (as defined in section 1404 of NARETPA) (7 U.S.C. 3103) are eligible to submit applications for the CPPM program. Section 1404 of NARETPA was amended by section 7101 of the Food, Conservation, and Energy Act of 2008 (FCEA) to define Hispanic-serving Agricultural Colleges and Universities (HSACUs), and to include research foundations maintained by eligible colleges or universities. For the purposes of this program, the terms college and university mean an educational institution in any state which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (2) is legally authorized within such state to provide a program of education beyond secondary education; (3) provides an educational program for which a bachelors degree or any other higher degree is awarded; (4) is a public or other nonprofit institution; and (5) is accredited by a nationally recognized accrediting agency or association. Applications also may be submitted by 1994 Land-Grant Institutions (see Part VIII, E), HSACUs, and research foundations maintained by eligible colleges or universities. Section 7206 of the Farm Security and Rural Investment Act of 2002 amended section 406(b) of AREERA to add the 1994 Land-Grant Institutions as eligible to apply for grants under this authority.

Beneficiary Eligibility:

Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Other private institution/organization, Public nonprofit institution/organization, Other public institution/organization. Applications may only be submitted by colleges and universities, as defined in 7 U.S.C. 3103, 1994 Institutions, and Hispanic-serving agricultural colleges and universities. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. Failure to meet an eligibility criterion by the application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award. Colleges and universities (as defined in section 1404 of NARETPA) (7 U.S.C. 3103) are eligible to submit applications for the CPPM program. Section 1404 of NARETPA was amended by section 7101 of the Food, Conservation, and Energy Act of 2008 (FCEA) to define Hispanic-serving Agricultural Colleges and Universities (HSACUs), and to include research foundations maintained by eligible colleges or universities. For the purposes of this program, the terms college and university mean an educational institution in any state which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (2) is legally authorized within such state to provide a program of education beyond secondary education; (3) provides an educational program for which a bachelors degree or any other higher degree is awarded; (4) is a public or other nonprofit institution; and (5) is accredited by a nationally recognized accrediting agency or association. Applications also may be submitted by 1994 Land-Grant Institutions (see Part VIII, E), HSACUs, and research foundations maintained by eligible colleges or universities. Section 7206 of the Farm Security and Rural Investment Act of 2002 amended section 406(b) of AREERA to add the 1994 Land-Grant Institutions as eligible to apply for grants under this authority.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM

requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/crop-protection-and-pest-management> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formal applications are solicited and should be submitted to USDA, NIFA, as outlined in the guidelines and/or request for applications. Application procedures are contained in the guidelines or request for applications. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA. 2 CFR Part 200 Subpart C and Appendix I apply to this program. 2 CFR Part 400

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applies to this program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 180 days. Specific details are provided in the Request for Applications (RFA) each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below: <http://nifa.usda.gov/grants><http://www.grants.gov>

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. When a grant provides a particular benefit to a specific agricultural commodity, the grant recipient must match awarded USDA funds with cash and in-kind contributions on dollar-for-dollar basis from non-Federal sources (see RFA for specific details). NIFA may waive the matching funds requirement for a grant if we determine that: (a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or (b) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of projects under this program varies. In accordance with statutory time limits, project periods, including no-cost extensions of time, are from one (1) to five (5) years. 2 CFR Part 200, Subpart D applies to this program. Method of awarding/releasing assistance: letter

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial

reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1502-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$20,000,000.00; FY 21 est \$18,100,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/crop-protection-and-pest-management>

TAFS Codes:

12-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program is subject to congressional budget approval for FY 2020. Contingent upon Fiscal Year (FY) 2020 funding, NIFA projects funding four (4) continuation Regional Coordination Program Area projects, i.e. one (1) Regional Integrated Pest Management Center in each USDA region. Three (3) awards are projected to be \$1,000,000 each and one (1) award is projected to be

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\$1,150,000. NIFA anticipates competitively funding 53 continuation Extension Implementation Program Area awards up to \$300,000 per/year each to fund integrated pest management extension coordination projects at eligible institutions across the U.S. NIFA projects competitively funding 13-16 new awards for the Applied Research and Development Program Area in FY 2020 for a total of approximately \$4.1 million. These awards from \$200,000 to \$325,000 will fund integrated pest management research (single-function), research-led, or extension-led projects for 2-4 years in agricultural, natural, and urban settings. NIFA projects that the funding success rate will be approximately 15-18%. NIFA will provide pertinent data at a future date. Fiscal Year 2021: NIFA will provide pertinent data at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability (IFPS), National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: vijay.nandula@usda.gov
Phone: 816-894-7229
Fax:

Website Address:

<https://nifa.usda.gov/program/crop-protection-and-pest-management-program>
RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 Awards for projects for Fiscal Year (FY) 2020 are anticipated to include projects on integrated pest management topics for three (3) program areas included in the Crop Protection and Pest Management Program: Regional Coordination Program Area, Extension Implementation Program Area, and the Applied Research and Development Program Area. NIFA projects awarding the four (4) continuation awards for the Regional Coordination Program Area as described above to fund four (4) Regional Integrated Pest Management Centers. Three (3) awards are projected to be \$1,000,000 each and one (1) award is projected to be \$1,150,000. NIFA anticipates awarding approximately 53 new continuation Extension Implementation Program Area awards up to \$300,000 each to fund integrated pest management extension coordination projects at eligible institutions across the U.S. NIFA projects soliciting new competitive proposals for the Applied Research and Development Program Area for an approximate total of \$4.1 million. These awards, projected at approximately 13-16, will fund integrated pest management research (single-function), research-led, or extension-led projects. Applied Research (single-function) projects will develop innovative, ecologically-based, sustainable IPM strategies and systems that address regional and/or national IPM priorities. Research-led projects enhance the adoption of innovative, ecologically-based, sustainable IPM strategies and systems. Extension-led projects extend implementation of innovative, ecologically-based, sustainable IPM strategies and systems by IPM practitioners and growers. NIFA will provide pertinent data on the awards at a future date. 2021 NIFA will provide pertinent data on the awards at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/crop-protection-and-pest-management>

10.330 Alfalfa and Forage Research Program

(AFRP),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

High-Priority Research and Extension Initiatives, , 7 USC Code 5925(d)(8).

OBJECTIVES:

To improve alfalfa and forage yield and seed yield of crops grown for propagation, improve persistence of plantings, reduce pest pressure for both forage and seed production, improve genetic quality of commercial cultivars and reduce losses during harvest and storage.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Secretary may award competitive grants for collaborative projects that are mutually beneficial to the United States and other countries and encourage private sector involvement.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; (1) State agricultural experiment stations; (2) colleges and universities; (3) university research foundations; (4) other research institutions and organizations; (5) Federal agencies,

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(6) national laboratories; (7) private organizations or corporations; (8) individuals who are U.S. citizens or permanent residents; and (9) any group consisting of 2 or more entities identified in (1) through (8).

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Public nonprofit institution/organization; Other public institution/organization; (1) State agricultural experiment stations; (2) colleges and universities; (3) university research foundations; (4) other research institutions and organizations; (5) Federal agencies, (6) national laboratories; (7) private organizations or corporations; (8) individuals who are U.S. citizens or permanent residents; and (9) any group consisting of 2 or more entities identified in (1) through (8).

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is not applicable. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. An environmental impact statement is required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA).

Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

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Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details.

The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersedes the general information provided in the CFDA database. Method of awarding/releasing assistance: letter

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial

Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. (6/21/17 FYI ONLY:NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays.) PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details. Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$2,787,840.00; FY 21 est \$2,787,840.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: FISCAL YEAR (FY) 2021:Pertinent data to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and->

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representationsACKNOWLEDGEMENT OF USDA SUPPORT BY NIFAWhen acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONSThe Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIAThe Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCENational Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCENational Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONSAward terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. National Institute of Food and AgricultureU.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

USDA, NIFA, Deputy Director, Institute of Food Production and Sustainability (IFPS), Division of Plant Systems - Production, National Institute of Food and AgricultureU.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: tbewick@usda.gov
Phone: (202) 913-3052
Fax: 202-401-1782

Website Address:

<http://nifa.usda.gov/program/agronomic-forage-crops-program>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI) ;

EXAMPLES OF FUNDED PROJECTS:

2021 FISCAL YEAR (FY) 2021: Pertinent information will be provided by program at a future date once received.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/alfalfa-and-forage-research-program>

10.331 Food Insecurity Nutrition Incentive Grants Program

GusNIP [(formerly known as Food Insecurity Nutrition Incentive Program (FINI)),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Agricultural Act of 2014 (Pub. L. 113-79) amended Section 4405 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 7517) and established the competitive grant program, the Food Insecurity Nutrition Incentive program (FINI)., Additionally, Section 755 of Division M (Coronavirus Response and Relief Act, 2021) of the FY 2021 Consolidated Appropriations Act (H.R. 133), Title Coronavirus Response and Relief Act, 2021, Section Section 755 of Division M

OBJECTIVES:

Additional COVID relief and response appropriations were made to GusNIP. GusNIP COVID Relief and Response (GusCRR) funding will generally be directed to address critical food security needs of low-income communities, enhancing the resilience of food and healthcare systems enduring the pandemic, and maximize funds reaching participants in communities in need. GusNIP awardees are particularly well positioned to respond with pandemic relief in an impactful and timely way. NIFA requests applications for expanded scope of work of active GusNIP and FINI awards. For eligibility purposes, the period of performance in your current active GusNIP or FINI award must have begun prior to December 27, 2020 and must have an end date at least 90 days after the publication date of this RFA. GusCRR does not replace current programming or current award activities. The purpose of the GusNIP program is to fund and evaluate projects intended to increase the purchase of fruits and vegetables by low-income consumers by providing incentives at the point of purchase and bring together stakeholders from various parts of the food and healthcare systems to foster understanding of how they might improve the health and nutrition status of participating households. The GusNIP program includes nutrition incentive grants, produce prescription grants and cooperative agreements. The primary goals and objectives for GusNIP nutrition incentive grants are to increase the purchase of fruits and vegetables by low-income consumers participating in Supplemental Nutrition Assistance Program (SNAP) by providing incentives at the point of purchase [7 U.S.C. 7517(b)(2)(A)(ii)(II) as amended]. For GusNIP, nutrition incentive grants, NIFA defines fruits and vegetables as any variety of fresh, canned, dried, or frozen whole or cut fruits and vegetables without added sugars, fats, or oils, and salt (i.e., sodium). GusNIP nutrition incentive grants will test strategies that could contribute to our understanding of how best to increase the purchase of fruits and vegetables by SNAP participants to inform future efforts. The primary goals and objectives for GusNIP produce prescription grants are to prescribe fresh fruits and vegetables in addition to educational opportunities relating to nutrition to members that demonstrate and evaluate the impact of produce prescriptions on (1) the improvement of dietary health through increased consumption of fruits and vegetables; (2) the reduction of individual and household food insecurity; and (3) the reduction in healthcare use and associated costs. The Gus Schumacher Nutrition Incentive Program [formerly known as the Food Insecurity Nutrition Incentive (FINI)] grant program is a competitive program. Projects funded under this program provide incentives to low-income consumers participating in the supplemental nutrition assistance program (SNAP) to purchase fruits and vegetables at the point of sale. The projects supported by this program have the support of State agencies and include use of effective and efficient benefit redemption technologies that can serve as a replicable model for benefit redemption.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The FINI grant program projects will provide incentives to low-income consumers participating in SNAP to increase their purchase of fruits and vegetables. These projects will be evaluated for their success in increasing the purchase of fruits and vegetables and improving nutrition and health outcomes. Proposals must have the support of the State agency, provide incentives at the point of sale, and include effective and efficient technologies for benefit redemption systems that could be replicated. All FINI grant program recipients will be required to participate in a comprehensive FINI program evaluation conducted by an independent evaluator and conduct a self-evaluation of their own project. GusNIP COVID Relief and Response (GusCRR) funding will generally be directed to address critical food

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security needs of low-income communities, enhancing the resilience of food and healthcare systems enduring the pandemic, and maximize funds reaching participants in communities in need. GusNIP awardees are particularly well positioned to respond with pandemic relief in an impactful and timely way. NIFA requests applications for expanded scope of work of active GusNIP and FINI awards. For eligibility purposes, the period of performance in your current active GusNIP or FINI award must have begun prior to December 27, 2020 and must have an end date at least 90 days after the publication date of this RFA. GusCRR does not replace current programming or current award activities.

Applicant Eligibility:

Government - General; Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible entities include governmental agencies and nonprofit organizations. GusNIP COVID Relief and Response (GusCRR) : Eligibility to receive a GusCRR grant is limited to organizations with a current active GusNIP nutrition incentive grant, GusNIP produce prescription grant, or FINI grant. Organizations with a GusNIP cooperative agreement are not eligible for GusCRR. Current active GusNIP or FINI awards must have begun prior to December 27, 2020, and must have an end date at least 90 days after the publication date of this RFA. GusCRR does not replace current programming or current award activities. An eligible organization may submit only one GusCRR nutrition incentive grant application. An eligible organization may submit only one GusCRR produce prescription grant application to supplement their most recent active award. A GusCRR nutrition incentive grant and a GusCRR produce prescription grant may be submitted from the same organization.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Eligible entities include governmental agencies and nonprofit organizations. Eligibility to receive a GusCRR grant is limited to organizations with a current active GusNIP nutrition incentive grant, GusNIP produce prescription grant, or FINI grant. Organizations with a GusNIP cooperative agreement are not eligible for GusCRR. Current active GusNIP or FINI awards must have begun prior to December 27, 2020 and must have an end date at least 90 days after the publication date of this RFA. GusCRR does not replace current programming or current award activities. An eligible organization may submit only one GusCRR nutrition incentive grant application. An eligible organization may submit only one GusCRR produce prescription grant application to supplement their most recent active award. A GusCRR nutrition incentive grant and a GusCRR produce prescription grant may be submitted from the same organization.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed

basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fini-grant-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. All Requests for Applications (RFAs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. All applicants must have the support of the State agency prior to submitting a proposal.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fini-grant-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types

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(e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fini-grant-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fini-grant-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fini-grant-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. GusNIP: This program has a 100% match requirement with non-Federal funds. GusNIP COVID Relief and Response (GusCRR): NIFA will not require match for FY 2021 GusCRR grants approved during the public health emergency declared by the Secretary of Health and Human Services under section 319 of the Public Health Service Act (42 U.S.C. 247d) on January 31, 2020, with respect to COVID-19 (or any renewal of that declaration). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fini-grant-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: letter Method of awarding/releasing assistance: letter

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the

REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. GusNIP COVID Relief and Response (GusCRR) NIS, NILS, and PRX grantees will be required to provide annual reports to NIFA and periodically provide the NTAE centers a core program data set to ensure common program tracking and enable meaningful comparisons across all projects. For additional information on the core program data set participant-level metrics, visit the GusNIP webpage (<https://nifa.usda.gov/program/gus-schumacher-nutrition-incentive-grant-program>). PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.501. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants FY 20 \$41,554,560.00; FY 21 est \$75,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fini-grant-program>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: GusNIP COVID Relief and Response (GusCRR) is funded at \$75,000,000

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDE NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OIGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research>

misconduct NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader, USDA, NIFA, Institute of Food Safety and Nutrition (IFSN),

National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,

Kansas City MO 64141

Email: Policy@usda.gov

Phone: (202) 720-0740

Fax:

Website Address:

<http://nifa.usda.gov/program/food-insecurity-nutrition-incentive-fini-grant-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-insecurity-nutrition-incentive-fini-grant-program>

10.332 Agricultural Genome to Phenome Initiative

Genome to Phenome,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Public Law 115-334

OBJECTIVES:

The National Institute of Food and Agriculture's Agricultural Genome to Phenome Initiative (AG2PI) focuses on collaborative science engagement and invites innovative research proposals that will lay the foundation for expanding knowledge concerning genomes and phenomes of crops and animals of importance to the agriculture sector of the United States

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

C. Funding Restrictions Legislative mandated Indirect Cost (IDC)-Indirect Cost (IDC) not to exceed 30 percent of Total Federal Funds Awarded (TFFA) of the recipient. Section 1462(a) and (c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) limits IDC for the overall award to

ASSISTANCE LISTINGS DESCRIPTIONS

30 percent of Total Federal Funds Awarded (TFFA) under a research, education, or extension grant. The maximum IDC rate allowed under the award is determined by calculating the amount of IDC using: 1.the sum of an institutions negotiated indirect cost rate and the indirect cost rate charged by sub-awardees, if any; or 2.30 percent of TFFA. The maximum allowable IDC rate under the award, including the IDC charged by the sub-awardee(s), if any, is the lesser of the two rates. If the result of number one is the lesser of the two rates, the grant recipient is allowed to charge the negotiated IDC rate on the prime award and the sub-award(s), if any. Any sub-awards would be subject to the sub-awardees negotiated IDC rate. The sub-awardee may charge its negotiated IDC rate on its portion of the award, provided the sum of the IDC rate charged under the award by the prime awardee and the sub-awardee(s) does not exceed 30 percent of the TFFA.If the result of number two is the lesser of the two rates, then the maximum IDC rate allowed for the overall award, including any sub-award(s), is limited to 30 percent of the TFFA. That is, the IDC of the prime awardee plus the sum of the IDC charged by the sub-awardee(s), if any, may not exceed 30 percent of the TFFA. In the event of an award, the prime awardee is responsible for ensuring the maximum indirect cost allowed for the award is not exceeded when combining IDC for the Federal portion (i.e., prime and sub-awardee(s)) and any applicable cost-sharing (see 7 CFR 3430.52(b)). Amounts exceeding the maximum allowable IDC are considered unallowable. See sections 408 and 410 of 2 CFR 200.

Applicant Eligibility:

Federal; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Small business (less than 500 employees); Specialized group (e.g. health professionals, students, veterans); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other public institution/organization; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); The Secretary may make grants under this subsection to-(A) State agricultural experiment stations;(B) colleges and universities;(C) university research foundations;(D) other research institutions and organizations;(E) Federal agencies;(F) national laboratories;(G) private organizations, foundations, or corporations;(H) individuals; or(I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

The Secretary may make grants under this subsection to-(A) State agricultural experiment stations;(B) colleges and universities;(C) university research foundations;(D) other research institutions and organizations;(E) Federal agencies;(F) national laboratories;(G) private organizations, foundations, or corporations;(H) individuals; or(I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).Applicants for the AG2PI must meet all the requirements discussed in this RFA. Failure to meet the eligibility criteria by the application deadline may result in exclusion from consideration or, preclude NIFA from making an award. For those new to Federal financial assistance, NIFAs Grants Overview provides information about grants and other resources to help understand the Federal awards process. [See Part III of RFA Key] 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply to this RFA electronically; no other method or response is accepted. The electronic application for this RFA and additional resources are available on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Within the limit of funds authorized, the NIFA awarding official will make grants to responsible and eligible applicants whose applications are judged most

meritorious under the procedures set forth in this RFA

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter 2, Part 200, Subpart E,Public Law .Matching Requirements: Percent: 100%. Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FY20 and FY21 Method of awarding/releasing assistance: quarterly

Reports:

Provide reports on progress of project REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. Provide annual performance reports on goals of project Audits may be conducted to ensure compliance with Grant program Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Contract program office for records retention requirements

Account Identification:

12-1500-0-1-352.

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Obligations:

Project Grants FY 20 \$960,000.00; FY 21 est \$960,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture US Postal Service Mailing Address: P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

Edward Kaleikau,
6501 Beacon Dr,
Kansas City MO 64131
Email: Edward.kaleikau@usda.gov
Phone: 816-926-1741
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

NIFA evaluates each application in a two-part process. First, we screen each application to ensure that it meets the administrative requirements as set forth in this RFA. Second, a scientific peer-review process will be used to technically evaluate applications that meet the administrative requirements using a review panel.

10.333 Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative

Urban Agriculture,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

URBAN, INDOOR, AND OTHER EMERGING AGRICULTURAL PRODUCTION RESEARCH, EDUCATION, AND EXTENSION INITIATIVE. USC Code 7 Sec 5925g.

OBJECTIVES:

To make competitive grants in support of research, education, and extension activities for the purposes of facilitating the development of urban, indoor, and other emerging agricultural production.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Facilitating the development of urban, indoor and other emerging agricultural production.

Applicant Eligibility:

Other private institutions/organizations; Non-Government - General;

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Failure to meet an eligibility criterion by the application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award. Only the applicant must meet the eligibility requirement. Project partners and collaborators need not meet the eligibility requirement. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the successful completion of the project. Applications submitted by individuals are not eligible for consideration under any category applicable to AgVets. Fiscal Agent: If an applicant cannot accept Federal funds directly, a letter must be included in the application stating that in the event the application results in an award, the award funds must be administered through a fiscal agent organization on their behalf. This agent must be identified in the letter from the applicant and the letter must be countersigned by an authorized representative of the fiscal agent organization. The letter should include the fiscal agent's point of contact, address, telephone number, fax number and e-mail address. This letter is to be included as an attachment to Field 12, Other Attachments (Part IV.B.). In the event an application is recommended for funding, NIFA will request that both the applicant or institution/organization and the fiscal agent organization submit complete management information (see Part V, D.). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply to this RFA electronically; no other method or response is accepted. The electronic application for this RFA and additional resources are available on Grants.gov Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

See NIFA evaluation process for award

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. See NIFA evaluation process

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FY20, FY21 Method of awarding/releasing assistance: quarterly

Reports:

Reporting requirements, as specified in 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (the Uniform Guidance), and 7 CFR 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions. REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all

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REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program. When an application results in an award, it becomes a part of NIFA transaction records, which are available to the public. Information that the Secretary of Agriculture determines to be confidential, privileged, or proprietary in nature will be held in confidence to the extent permitted by law. Therefore, applicants should clearly mark any information within the application they wish to have considered as confidential, privileged, or proprietary. NIFA will retain a copy of an application that does not result in an award for three years. Such an application will be released only with the consent of the applicant or to the extent required by law. An applicant may withdraw at any time prior to the final action thereon.

Account Identification:

12-1502-0-1-352.

Obligations:

Project Grants FY 20 \$9,600,000.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

100,000 to 250,000

TAFS Codes:

12-1502.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See NIFA grant application

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture US Postal Service Mailing Address: P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader Brent Elrod,
1400 Independence Avenue, SW., Rm. 4434,
Washington DC 20250
Email: richard.elrod@usda.gov
Phone: 816-908-3140

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program

AgVets,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2017 (H.R. 244), Section 760

OBJECTIVES:

The purpose of the Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program is to provide grants to nonprofit organizations for programs and services to establish and enhance farming and ranching opportunities for military veterans.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to provide grants to nonprofit organizations for programs and services to establish and enhance farming and ranching opportunities for military veterans.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Funds may be used to provide grants to nonprofit organizations for programs and services to establish and enhance farming and ranching opportunities for military veterans.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Veteran/Service person/Reservist (including dependents); Funds may be used to provide grants to nonprofit organizations for programs and services to establish and enhance farming and ranching opportunities for military veterans.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its

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application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via [Grants.gov](https://www.grants.gov) in response to specific Requests for Applications (RFA). Applicants must complete the [Grants.gov](https://www.grants.gov) registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA [Grants.gov](https://www.nifa.usda.gov) Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant

scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans-competitive-grants> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the [Grants.gov](https://www.grants.gov) website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

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REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. See above for pertinent and specific details. See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$4,800,000.00; FY 21 est \$4,850,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In accordance with financial data provided by the Budget Office, the FY 2020 funding levels have not yet been established by Congressional Appropriations. However, NIFA projects that approximately \$4.7 million will be available to fund similar projects. Fiscal Year 2021: NIFA projects that approximately \$4.8 million will be available to fund similar projects. .

REGULATIONS, GUIDELINES, AND LITERATURE:

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail

ASSISTANCE LISTINGS DESCRIPTIONS

Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader Brent Elrod,
National Institute of Food and Agriculture U.S. Department of Agriculture P.O.
Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package
Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO
64141-3061,
Kansas City MO 64141
Email: belrod@nifa.usda.gov
Phone: 2026903468
Fax:

Website Address:

<https://nifa.usda.gov/program/enhancing-agricultural-opportunities-military-veterans-agvets>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 It is anticipated that similar projects will be funded in Fiscal Year 2020. Pertinent information will be provided by Program at a future date. 2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USFAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/enhancing-agricultural-opportunities-military-veterans>

10.336 Veterinary Services Grant Program

VSGP,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

Section 7104 of the Agricultural Act of 2014 (the Farm Bill) (H.R. 2642), , 7 USC Code 3151b.

OBJECTIVES:

The purpose of the Veterinary Services Grant Program (VSGP) is to relieve veterinarian shortage situations and support veterinary services. The Secretary shall carry out a program to make competitive grants to qualified entities that carry out programs or activities for the purpose of developing, implementing, and sustaining veterinary services through education, training, recruitment, placement, and retention of veterinarians and veterinary students. Grants will also be made to establish or expand veterinary practices.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants awarded under this Program shall be considered to be competitive research, extension, or education grants. USES: Funds provided may be used for any of the following purposes: (A) To promote recruitment (including for programs in secondary schools), placement, and retention of veterinarians, veterinary technicians, students of veterinary medicine, and students of veterinary technology. (B) To allow veterinary students, veterinary interns, externs, fellows, and residents, and veterinary technician students to cover expenses (other than the types of expenses described in section 1415A(c)(5)) to attend training programs in food safety or food animal medicine. (C) To establish or expand accredited veterinary education programs (including faculty recruitment and retention), veterinary residency and fellowship programs, or veterinary internship and externship programs carried out in coordination with accredited colleges of veterinary medicine. (D) To provide continuing education and extension, including veterinary

telemedicine and other distance-based education, for veterinarians, veterinary technicians, and other health professionals needed to strengthen veterinary programs and enhance food safety. (E) To provide technical assistance for the preparation of applications submitted to the Secretary for designation as a veterinarian shortage situation under this section or section 1415A.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; A qualified entity shall be eligible to receive a grant described in paragraph (1) if the entity carries out programs or activities that the Secretary determines will: (A) Substantially relieve veterinarian shortage situations; (B) Support or facilitate private veterinary practices engaged in public health activities; or (C) support or facilitate the practices of veterinarians who are providing or have completed providing services under an agreement entered into with the Secretary under section 1415A(a)(2). The term qualified entity means: (A) A for-profit or nonprofit entity located in the United States that, or an individual who, operates a veterinary clinic providing veterinary services: (i) In a rural area, as defined in section 343(a) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1991(a)); and (ii) In a veterinarian shortage situation; (B) A State, national, allied, or regional veterinary organization or specialty board recognized by the American Veterinary Medical Association; (C) A college or school of veterinary medicine accredited by the American Veterinary Medical Association; (D) A university research foundation or veterinary medical foundation; (E) A department of veterinary science or department of comparative medicine accredited by the Department of Education; (F) A State agricultural experiment station; or (G) A State, local, or tribal government agency. The term veterinarian shortage situation means a veterinarian shortage situation as determined by the Secretary under section 1415A

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Health Professional; State; Local; Sponsored organization; Rural; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; A qualified entity shall be eligible to receive a grant described in paragraph (1) if the entity carries out programs or activities that the Secretary determines will: (A) Substantially relieve veterinarian shortage situations; (B) Support or facilitate private veterinary practices engaged in public health activities; or (C) support or facilitate the practices of veterinarians who are providing or have completed providing services under an agreement entered into with the Secretary under section 1415A(a)(2). The term qualified entity means: (A) A for-profit or nonprofit entity located in the United States that, or an individual who, operates a veterinary clinic providing veterinary services: (i) In a rural area, as defined in section 343(a) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1991(a)); and (ii) In a veterinarian shortage situation; (B) A State, national, allied, or regional veterinary organization or specialty board recognized by the American Veterinary Medical Association; (C) A college or school of veterinary medicine accredited by the American Veterinary Medical Association; (D) A university research foundation or veterinary medical foundation; (E) A department of veterinary science or department of comparative medicine accredited by the Department of Education; (F) A State agricultural experiment station; or (G) A State, local, or tribal government agency. The term veterinarian shortage situation means a veterinarian shortage situation as determined by the Secretary under section 1415A

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the

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Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/program/veterinary-services-grant-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Preapplication coordination is not applicable. Environmental impact information is not required for this program. For the reasons set forth in the final Rule related Notice to 2 CFR part 415, subpart C, this program is excluded from the scope of the Executive Order 12372 which requires intergovernmental consultation with State and local officials. COORDINATION PREFERENCE In selecting recipients of grants to be used for any of the purposes described in subsection (d)(1), the Secretary shall give a preference to qualified entities that provide documentation of coordination with other qualified entities, with respect to any such purpose. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as outlined in the RFA. Applicants must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific,

education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/veterinary-services-grant-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 180 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <http://nifa.usda.gov/program/veterinary-services-grant-program>

Appeals:

Other. Not applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/program/veterinary-services-grant-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/veterinary-services-grant-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-

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6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are

subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,880,000.00; FY 21 est \$2,880,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/program/veterinary-services-grant-program>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For Fiscal Year (FY) 2020: This program is subject to congressional budget approval for FY 2020. If funding is provided, it is projected that approximately 40 applications will be received and a total of approximately 16 awards will be granted, totaling \$3 million, minus administrative overhead and panel expenses. Fiscal Year 2021: Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATION The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFMS ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO

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64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability (IFPS),
National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,
Kansas City MO 64141
Email: VSGP@usda.gov
Phone: 202 445-3468
Fax:

Website Address:

<https://nifa.usda.gov/program/veterinary-services-grant-program>

RELATED PROGRAMS:

10.313 Veterinary Medicine Loan Repayment Program ;

EXAMPLES OF FUNDED PROJECTS:

2020 It is projected that the funding level will be comparable and the types of projects will be similar. If funded, it is projected that under the Rural (veterinary) Practice Enhancement (RPE) program area, there will be approximately eight (8) awards. These competitively awarded grants to veterinarians or veterinary business entities will support projects designed to enhance capacity and capability of awardees for-profit or nonprofit veterinary practices to serve designated veterinary service shortage areas. Under the Education, Extension & Training (EET) program area, approximately eight (8) awards are projected. These competitively awarded grants, which can be applied for by several different types of eligible applicant entities including (but not limited to) educational institutions, professional organizations, foundations, and state/tribal governments, will support projects designed to provide specialized formal and/or informal educational opportunities for veterinarians and veterinary technicians to gain specialized skills and knowledge promoting the successful practice of food supply veterinary medicine in underserved areas. Pertinent details to be provided by Program at a future date.
2021 Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

The Request for Applications (RFA) will identify the criteria to be evaluated for selection. COORDINATION PREFERENCE: In selecting recipients of grants to be used for any of the purposes described in subsection (d)(1), the Secretary shall give a preference to qualified entities that provide documentation of coordination with other qualified entities,

10.350 Technical Assistance to Cooperatives

TAC,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

Cooperative Marketing Act of 1926,

OBJECTIVES:

To develop and administer research, technical assistance, statistical, and educational programs on finances, organization, management, legal, social, and economic aspects of rural cooperatives, focusing on farmer cooperatives.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Advisory Services on Compliance, Dissemination of Technical Information, Provision of Specialized Services, Training

USES AND USE RESTRICTIONS:

Services include facilitating strategic planning, board of director training, member surveys, and feasibility analysis.

Applicant Eligibility:

Individual/Family; Minority group; Other private institutions/organizations; Farmer

and rural cooperatives and rural residents in all U.S. States and Territories.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Native American Organizations; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Consumer; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Rural; Ultimate beneficiaries must be located in rural areas.

Credentials/Documentation:

Requests for technical assistance by an established cooperative should come from the cooperative board of directors. Requests for assistance in forming a cooperative should be made in writing from a steering committee on behalf of the interested rural group. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for technical assistance for an established cooperative should come from the cooperatives board of directors. Requests for assistance with forming a cooperative should be from a steering committee on behalf of the interested group. All requests must be in writing and submitted to the National Office.

Award Procedure:

Requests are considered on a first-come, first-served basis and limited to available staff resources.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Requests are typically approved/disapproved within 30-60 days.

Appeals:

Not Applicable. N/A

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available year-round. Assistance is typically provided for specific activities that will take less than a year. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

No record retention is required.

Account Identification:

12-1900-0-1-452.

Obligations:

Advisory Services on Compliance FY 20 Not Available; FY 21 est \$0.00; FY 22 est \$0.00; Advisory Services and Counseling FY 20 Not Available; FY 21 Estimate Not Available; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Non-monetary, This program offers technical assistance and advise

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Administrator 's Office USDA, Rural Business-Cooperative Services.,
1400 Independence Avenue, SWMail Stop 3201, Room 5803-South,
Washington DC 20250

Email: cprgrant-dcwa3@usda.gov

Phone: 202-720-1400

Website Address:

<http://www.rd.usda.gov/about-rd/agencies/rural-business-cooperative-service>

RELATED PROGRAMS:

10.771 Rural Cooperative Development Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.351 Rural Business Development Grant

(RBDG),

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 310B, 7 U.S.C 1932.,

OBJECTIVES:

To promote sustainable economic development and facilitate the development of small and emerging private business, industry, and related employment for improving the economy in rural communities.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Rural business development grants (RBDG) provide Business opportunity, Business enterprise. Funds may be used to assist in the economic development of rural areas by providing technical assistance, training, and planning for businesses. Business enterprise type grants may be used to create, expand, or operate rural distance learning networks or programs that provide educational or job training instruction related to potential employment or job advancement for adult students; develop, construct or acquisition land, buildings, plants, equipment, access streets and roads, parking areas, utility extensions, necessary water supply and waste disposal facilities; refinancing; services and fees; and to establish a revolving loan fund.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State; Applicants eligible for RBDG grants are government entities, Indian tribes or nonprofit corporations serving rural areas such as States, counties, cities, townships, and incorporated towns and villages, boroughs, authorities, districts and Indian tribes on Federal and State reservations which will serve rural areas. Rural area for this program is defined as a city, town, or unincorporated area that has a population of 50,000 inhabitants or less, other than an urbanized area immediately adjacent to a

city, town, or unincorporated area that has a population in excess of 50,000 inhabitants.

Beneficiary Eligibility:

Federal; Interstate; State; Local; Public nonprofit institution/organization; Rural communities and small and emerging private business enterprises which will employ 50 or less new employees and have less than \$1.0 million in projected gross revenue. Government entities, private nonprofit corporations, and Federally recognized Indian tribes that receive the grant to assist a business type operation. Grants are not made directly to a business.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested. 2 CFR 200 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR and 2 CFR 400-418 applies to this program. Application Form SF-424 is filed at the Rural Development local office. The standard application forms as furnished by the Federal agency and required by 2 CFR and 2 CFR 400-418 must be used for this program.

Award Procedure:

After the application has been reviewed by the RD local office, it is forwarded to the RD State Office for review and processing instructions. Following approval by the State Office, funds are made available to the local office for final delivery. Notification of awards must be made to the designated State Central Information Reception Agency.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. A NOSA will be published annually to provide deadline to submit completed applications to you local USDA Office.

Appeals:

Other. Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division. Appeals will be handled in accordance with 7 CFR 1900-B and 7 CFR part 11

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This program has no statutory formula or specific matching requirements. Funds are allocated to States on rural population and percent of nonmetropolitan per capita income. On occasion, the allocation to States may not be practical due to funding or administrative constraints. In these cases, funds will be controlled by the National Office.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, 90 to 120 days, subject to the availability of funding. See the following for information on how assistance is awarded/released: Grant funds are disbursed in multiple draws, generally on a reimbursement basis upon the written request of the grantee. Method of awarding/releasing assistance: lump sum.

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Reports:

Periodic reports are made to RD. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Periodic reports are made to RD. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Periodic reports are made to RD. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Periodic reports are made to RD. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-1902-0-1-452.

Obligations:

Formula Grants FY 20 \$49,344,113.00; FY 21 est \$40,000,000.00; FY 22 est \$37,000,000.00;

Range and Average of Financial Assistance:

\$25,000 to \$500,000. Average is less than \$100,000.

TAFS Codes:

12-0406.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subpart B.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Consult your telephone directory for RD local office number. If not listed, get in touch with appropriate Rural Development State Office listed in Appendix IV of the Catalog. Click here for a listing of local offices <https://www.rd.usda.gov/contact-us/state-offices>

Headquarters Office:

SPD Rural Business-Cooperative Services,
PMD Intermediary Programs Branch, 1400 Independence Avenue, SW.,
Washington DC 20250
Email: RBCS@USDA.gov
Phone: 202-720-1400
Fax:

Website Address:

<http://www.rd.usda.gov/programs-services/rural-business-development-grants>

RELATED PROGRAMS:

10.766 Community Facilities Loans and Grants; 10.768 Business and Industry Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects selected for funding should, as much as practical, adhere to the following priorities: (1) Projects which will be located in communities having a large portion

of their population with low incomes; (2) projects which will save existing jobs; (3) projects which will create jobs; and (4) projects located in areas with high unemployment rate.

10.352 Value-Added Producer Grants

VAPG/LAMP,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

7 USC 1632a,

OBJECTIVES:

To help agricultural producers enter into value-added activities related to the processing and/or marketing of bio-based, value-added products. Generating new products, creating and expanding marketing opportunities, and increasing producer income are the goals of this program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The VAPG program offers two types of grants to support activities directly related to the processing and/or marketing of value-added agricultural products. Planning grants provide funding for activities necessary to determine the economic viability of a potential value-added venture, including development of feasibility studies and business plans. Working capital grants provide funds for the day-to-day operating expenses of the venture associated with processing and/or marketing a value-added product. An application may be for either Planning or Working Capital activities, but not for both.

Applicant Eligibility:

Individual/Family; Small business (less than 500 employees); Profit organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Native American Organizations; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Consumer; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Rural; Agricultural producers

Credentials/Documentation:

Applicants must demonstrate legal capacity and provide evidence of good standing in their State of operations. Applicants for Working Capital funds must provide an independent feasibility study and business plan completed specifically for the proposed value-added project, except as otherwise noted in 7 CFR 4284.922(b)(6)(i and ii). Applicants have the option to demonstrate eligibility for Reserved Funds and/or Priority Points, as applicable. Applicants must provide a detailed budget supporting the work plan that identifies all sources and uses of funds during the project period. Applicants must provide authentic documentation from the source of matching funds at time of application to confirm the eligibility and availability of both cash and in-kind contributions. Applicants must certify that matching funds will be spent in advance of grant funds. 2 CFR 200, Sub-part E - Cost Principles

ASSISTANCE LISTINGS DESCRIPTIONS

applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the procedures described in an annual Federal Register notice.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications are reviewed by independent reviewers and ranked according to published evaluation criteria. Applications are funded in rank order until available funds have been expended.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 100 to 150 days after the application submission deadline.

Appeals:

Other. Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Matching funds are subject to the same restrictions as grant funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are typically made during September each year. The Period of Performance is 1-3 years. Method of awarding/releasing assistance: other

Reports:

Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Recipients must comply with 2 CFR 200.333-.337.

Account Identification:

12-1900-0-1-452.

Obligations:

Project Grants FY 20 \$67,843,488.00; FY 21 est \$41,000,000.00; FY 22 est \$41,000,000.00;

Range and Average of Financial Assistance:

Average = \$120,000 Range = \$5,000 (minimum) to \$250,000 (maximum)

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subpart J

Regional or Local Office:

See Regional Assistance Locations. Contact information for USDA Rural Development State Offices is available at: <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Cooperative Programs, Grants Division USDA, Rural Business-Cooperative

Service,

1400 Independence Avenue, SW Room 4208-South,

Washington DC 20250

Email: cpgrants-dcwa3@usda.gov

Phone: 202-720-1400

Website Address:

<http://www.rd.usda.gov/programs-services/value-added-producer-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on 6 criteria: (1) Nature of the Proposed Venture, (2) Qualifications of Key Personnel, (3) Commitments and Support, (4) Work Plan/Budget, (5) Priority Points, (6) Administrators Discretionary Points. Applicants should review 7 CFR 4284.942 and the annual Federal Register notice for details on how these criteria will be scored.

10.377 Agriculture Innovation Center Demonstration Program

Agriculture Innovation Center (AIC) Program,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

This Act amends the eligibility requirements, removes the requirement to conduct research on the effects of the program on the agricultural sector, and removes the requirement to submit a report to Congress., Title Agriculture Improvement Act of 2018, Part Title VII, Subtitle F, Section 7608, Public Law 116th-Pub. L. 116-6, Agriculture Innovation Center Demonstration Program USC Code 7 U.S.C. 1632b.

OBJECTIVES:

The purpose of this program is to establish and operate Agriculture Innovation Centers that provide technical assistance and services to agricultural producers to help them develop and market value-added agricultural products.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Award funds may be used only to provide the following services directly to agricultural producers for the purpose of producing and marketing a value-added agricultural product: Financial advisory services related to the development, expansion, or operation of a business owned by an agricultural producer(s) that will produce a value-added agricultural product, as long as the assistance is not to support forming a joint marketing effort by a group of producers, such as a farmers market, roadside stand, community-supported agriculture, and online sales Process development services, including: Engineering services, including scale-up of production systems (not to include cost of renovating or constructing a facility or system) Scale production assessments, defined as studies that analyze facilities, including processing facilities, for potential value-added activities to determine the size that optimizes construction and other cost efficiencies Systems development Other technical assistance and applied research related to development, implementation, improvement and operations of processes and systems to produce and market a value-added agricultural product Organizational assistance, including legal and technical advisory services related to the development, expansion, or operation of a business owned by an agricultural producer(s) that will produce a value-added agricultural product, as long as this assistance is not provided to support forming a joint marketing effort of food and food products by a group of producers, such as a farmers market, roadside stand, community-supported agriculture, and online sales Outreach assistance, limited to assistance with connecting an agricultural producer to a distribution system, processing facility, or commercial kitchen Technical assistance for product development (excluding R&D), where product development has the following

ASSISTANCE LISTINGS DESCRIPTIONS

definition: stages involved in bringing a product from idea or concept through commercial-scale production, including concept testing, feasibility and cost analysis, product taste-testing, demographic and other types of consumer analysis, production analysis, and evaluation of packaging and labeling options. Costs associated with establishing and operating a Center, such as legal services, accounting services, clerical assistance, technical services, hiring employees, monitoring contracts, and Board of Director travel. Grants of \$5,000 or less to agricultural producers for the above services, where the aggregate amount of all such matching grants made by the Center does not exceed \$50,000.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals);

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Agricultural producers, farmers, ranchers, and other businesses that produce or market value-added agricultural products may apply for assistance directly from recipients.

Credentials/Documentation:

Applicants must verify their legal status and demonstrate their eligibility for the program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit an application through Grants.gov using the requirements published in a NOFO. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be ranked based on the points scored for the evaluation criteria. Applications will be funded in rank order until available funds are expended. Applications that cannot be fully funded may be offered partial funding at the Agency's discretion.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable. The agency will only approve an extension in cases where mitigating circumstances beyond the recipients control prevented completion of the project.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter , Part 4284, Subpart K, Public Law 115.334. Matching Requirements: Requirement: Matching requirements are mandatory. Recipients must provide one-third of the project funds as matching funds. Matching funds may be provided in cash by the recipient or a third-party or as in-kind from a third-party. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is available until expended. Funds may be awarded for projects up to two years. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients must submit a Federal Financial Report on a quarterly basis within 30 calendar days of the end of the quarter. The quarters end on March 31, June 30, September 30, and December 31. A final Federal Financial Report is due within 90 calendar days of the end of the period of performance or the completion of the project, whichever comes earliest. Recipients must submit a Performance Report on a quarterly basis within 30 calendar days of the end of the quarter. The quarters end on March 31, June 30, September 30, and December 31. A final Performance Report is due within 90 calendar days of the end of the period of performance or the completion of the project, whichever comes earliest.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents (including any deliverables or work products), statistical records, and all other records must be retained for a period of three years from the date of submission of the final financial report. See 2 CFR 200.333 for additional information.

Account Identification:

12-1232-0-1-452.

Obligations:

Project Grants FY 20 \$6,500,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Minimum: \$400,000 Maximum: \$1,000,000 Average: \$730,000

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 4284 Subparts A and K

Regional or Local Office:

None. Any USDA Rural Development State or Area Office may be contacted about this listing. Contact information is available at: <https://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Gail Thuner,
1400 Independence Avenue, SW Room 4208-South,
Washington DC 20250
Email: Gail.Thuner@USDA.gov
Phone: 202-690-2426
Fax:

Website Address:

<https://www.rd.usda.gov/programs-services/agriculture-innovation-center-program>

RELATED PROGRAMS:

10.352 Value-Added Producer Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will first be reviewed for eligibility for funding. Applications determined to be eligible will be evaluated based on the criteria published in the NOFO.

10.379 Rural Energy Pilot Program Grant

REPP,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

ASSISTANCE LISTINGS DESCRIPTIONS

AUTHORIZATION:

Public Law 116-260

OBJECTIVES:

\$10 million in competitive grants available to rural energy community partnerships to further develop renewable energy will be awarded in the form of cost-share grants of up to 80 percent of total eligible project costs but not more than \$2 million to assist eligible entities with planning, installing, equipping, and maintaining community scale distributed/renewable energy technologies, technologies/systems/resources.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible Project Costs are only those costs incurred during the grant period and that are directly related to the use and purposes of the REPP. Eligible Project Costs may include: (a) For up to 20 percent of available funds: activities, materials, machinery and/or equipment associated with -- Community energy planning, capacity building, technical assistance, efficiency and weatherization;(b) Retrofitting of existing, or purchase and installation of new distributed/renewable energy technologies, including any associated materials, machinery and/or equipment. (Limited to 2 Megawatts; MW)(c) Construction, retrofitting, replacement, and improvements; (d) Fees for construction permits and licenses; and (e) Professional service fees for qualified consultants, contractors, installers, and other third-party service providers.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

1.Letter of Intent; 2.Complete Application including a.Form SF-424, "Application for Federal Assistance; Form SF-424A, "Budget Information- Non-Construction Programs," if use of funds includes non-construction services such as technical assistance;b.Form SF-424C, "Budget Information- Construction Programs," if use of funds includes construction;c.Form SF-424D, "Assurances - Construction Programs," if use of funds includes construction;d.RD Form 400-1, "Equal Opportunity Agreement," for construction projects only;e.Nonprofit organizations/applicants may voluntarily fill out and submit OMB No. 1890-0014, "Survey on Ensuring Equal Opportunity for Applicants";f.Rural Energy Community Partnership Planning Documents, i.Business Plan; ii.Technical Report, as required for Weatherization and Efficiency Projects and Distributed/Renewable Energy Projects; iii.Feasibility Study, as required for renewable energy systems and distributed energy resources;g.Certification of Matching Funds; h.Environmental checklist;i.Certification that the lead applicant is a legal entity in good standing (as applicable) and operating in accordance with the laws of the state(s) or Tribe where the applicant exists;j.The application must identify whether or not the lead applicant has a known relationship or association with an Agency employee. If there is a known relationship, the lead applicant must identify each Agency employee with whom the lead applicant has a known relationship; and k.Identify the ethnicity, race, and gender characteristics of the lead applicant's leadership. This information is optional and is not a required component for a complete application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Required Letters of Intent must be received no later than 90 days after NOFA publication to be eligible for consideration.Complete Applications must be received no later than 180 days after NOFA publication to be eligible for funding.All application materials are available electronically on the Government-wide www.Grants.gov website. No other form of application submission will be accepted. To submit an application electronically, applicants must follow the instructions found at <http://www.grants.gov>. A password is not required to access the website.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The National Office will review applications to determine if they are complete and eligible for assistance based on requirements specified in this notice, and other applicable Federal regulations. Applications so deemed, will be evaluated by an independent review panel of USDA/DOE/EPA subject matter experts/employees and afforded a priority score in accordance with the point allocation as specified in Section V. A. of the REPP NOFA. The Administrator may choose to award up to 10 Administrator priority points based on the criterion specified in Section V. B. of the REPP NOFA. Any awarded Administrator points will be added to the cumulative score for a total possible score of 110. Applications will be selected for funding according to rank, beginning with the highest priority score and proceeding until the available funding is exhausted. Applications that cannot be fully funded may be offered partial funding at the Agency's discretion; as in the event of a ranked tie among two or more applications. Unfunded applications will not be carried forward into any future competition. Successful applicants must comply with requirements identified in Section VI. Federal Award Administration Information. Applicants selected for funding, will receive a signed notice of Federal award by postal or electronic mail containing instructions and requirements necessary to proceed with execution and performance of the award. Applicants selected for funding must comply with all applicable statutes, regulations, and notice requirements before the grant award will be funded. Awards to successfully appealed applications will be limited to available funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Applicants not selected for funding will be notified in writing and informed of any review and appeal rights.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. 20 percent of eligible project costs/MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant period is 36 months with a possible extension of up to 18 months as granted by the Agency. Method of awarding/releasing assistance: quarterly

Reports:

In addition, all recipients of Federal financial assistance are required to report information about first-tier subawards and executive compensation (see 2 CFR part 170). Grantees are required to have the necessary processes and systems in place to comply with the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109 282) reporting requirements (see 2 CFR 170.200(b), unless exempt under 2 CFR 170.110(b)).The following additional requirements apply to grantees selected for awards within this program: (a) Execution of an Agency-approved financial assistance agreement; and (b) Acceptance of a written letter of conditions; and submission of the following Agency forms: (1) Form RD 19401, Request for Obligation of Funds. (2) Form RD 194246, Letter of Intent to Meet Conditions. (3) Form RD 4001 for construction projects. See Expenditure Reports After grant approval and through grant completion, grantees are required to provide an SF425, Federal Financial Report, and a performance report on a semiannual basis (due 30 working days after the end of the semiannual period) for the first three years, and then annually thereafter. The project performance reports shall include the following: (a) A listing and description of all activities funded with grant proceeds and matching funds; (b) Evaluation of progress towards program initiatives identified in the application for the grant and a discussion of any issues/challenges which may have occurred; and (c) Measurement of progress during the project period using performance measures specified in the Letter of Conditions.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

12-1908-0-1-451.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$10,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

New Pilot Program

TAFS Codes:

12-1908.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Anthony Crooks,
1400 Independence Ave,
Washington DC 20410
Email: EnergyPrograms@USDA.gov
Phone: 2022059322
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Agency will score each complete and eligible REPP application using the criteria specified in criteria (a) through (e) with a maximum score of 100 points possible:a) Ability to further develop renewable energy (25 pts)b) Community and regional impacts (25 pts)c) Targeted region (25 pts)d) Project and community support (15 pts)e) Demonstrated readiness and likelihood of success (10 pts)The Agency retains the discretion to afford priority to applications that support REPP policy goals and that specifically promote economic development to improve the quality of life in rural areas that are most in need. The Agency also retains the discretion to afford priority to applications that achieve geographic distribution of REPP grant awards across the maximum number of States and diversity of communities targeted. A maximum of up to 10 points will be awarded, with justification, at the discretion of the Administrator.

10.404 Emergency Loans

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Agricultural Act of 2014, , Statute 128-838, Public Law 113-79, 7 USC Code 1961. Consolidated Farm and Rural Development Act, as amended, Subtitle C, Sections 321-330, Public Law 92-419, 7 U.S.C. 1961- 1984; Public Law 96-438; Public Law 97-35; Public Law 98-258; Public Law 99-198; Public Law 100-233; Public Law 100-387; Public Law 101-624., , Title Agricultural Improvement Act

of 2018, , Public Law 115-334

OBJECTIVES:

FSA provides emergency loans to help producers recover from production and physical losses due to drought, flooding, other natural disasters, or quarantine. The emergency loan program is designed to assist farming operations by improving their financial viability which will allow them to return to private sources of credit as soon as possible.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Emergency loan funds may be used to:I.Restore or replace essential property;II.Pay all or part of production costs associated with the disaster year;III.Pay essential family living expenses;IV.Reorganize the farming operation; andV. Refinance certain debts.

Applicant Eligibility:

Individual/Family; Minority group; General Eligibility for Emergency Loans
Emergency loans may be made to farmers and ranchers who:I.Own or operate land located in a county declared by the President or designated by the Secretary of Agriculture as a primary disaster area or quarantine area. All counties contiguous to the declared, designated, or quarantined primary counties also are eligible for emergency loans. A disaster designation by the FSA Administrator authorizes emergency loan assistance for physical losses only in the designated and contiguous counties;II.Are established family farm operators and have sufficient farming or ranching experience;III.Are citizens or permanent residents of the United States;IV.Has suffered at least a 30 percent loss in crop production or a physical loss to livestock, livestock products, real estate, or chattel property;V.Has an acceptable credit history;VI. Are unable to receive credit from commercial sources;VII.Can provide collateral to secure the loan; andVIII.Have repayment ability

Beneficiary Eligibility:

Individual/Family; Minority group; Individual/Entity, Socially Disadvantaged (SDA)/Non-SDA; SDA applicant or farmer is an individual or entity who is a member of a socially disadvantaged group. SDA group is a group whose members have been subject to racial, ethnic, or gender prejudice because of their identity as members of a group without regard to their individual qualities. These groups consist of: American Indians or Alaskan Natives, Asians, Blacks or African Americans, Native Hawaiians or other Pacific Islanders, Hispanics, and women.

Credentials/Documentation:

Applicants must establish that substantial physical property damage and/or severe production losses, caused by the designated natural disaster or quarantine area, has occurred. To qualify for loss loans, the applicant must be unable to get credit elsewhere and demonstrate repayment ability on the loan. For production loss loans, applicants must demonstrate a 30 percent loss in a single farming enterprise. Applicants may receive loans up to 100 percent of production or physical losses. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No loan will be made until all Federal and state statutory and regulatory environmental requirements have been complied with.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Form FSA 2001 provided by the Farm Service Agency must be presented, with supporting information, to the FSA county office serving the applicant's county. FSA personnel assist applicants in completing their application forms. Applications must be submitted no later than 8 months from when the disaster or quarantine area is declared or designated.

Award Procedure:

If an emergency loan is approved, FSA will notify the applicant in writing of: the approved use of loan funds the interest rate the term of the loan the collateral that will be required to pledge to secure the loan when the money is expected to be available any pre-loan closing requirements Responsibilities as an FSA direct

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loanborrower. The applicant will need to sign and return the letter accepting the loan conditions. Loan closing will be scheduled as soon as possible after loan approval. Normally, loan funds are provided within 15 days of approval. If the application for a guarantee is approved, FSA will provide written notification to the lender. FSA receives funding for loans and guarantees on a fiscal year basis, and the demand for some loan types may exceed the level of funding received. When this occurs, a loan can be approved subject to the availability of funding, but it cannot be closed until additional funding is received.

Deadlines:

Applications for emergency loans must be received within eight months of the county's disaster or quarantine designation date.

Range of Approval/Disapproval Time:

Other. Within 60 calendar days after receiving a complete loan application, the Agency will complete the processing of the Direct loan request and notify the applicant of the decision reached, and the reason for any disapproval.

Appeals:

Other. FLP applicants and borrowers have the right to request reconsideration, file appeals, and enter into alternate dispute resolutions about adverse decisions. If a loan is not approved, FSA will provide written notification of opportunities to request: Reconsideration Applicants are provided with the opportunity to meet with the FSA loan officer to present additional information and explain why you believe FSA's decision may be in error; Mediation A process for resolution of a disagreement. A trained neutral mediator assists two or more parties who disagree by looking at the issues, considering all available options, and attempting to agree on an acceptable solution; Appeal to the Department of Agriculture National Appeal Division (NAD) An independent organization within USDA, where you may present evidence which demonstrates why you believe that FSA's decision may be wrong.

Renewals:

Other. Applicants may reapply for an FSA loan at any time. Applicants who were given a denial of a loan request will be advised of potential actions or alternatives that might resolve or help resolve the issues that resulted in the denial of the loan request. Applicants previously given a loan denial must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Loans for crop, livestock, and non-real estate losses are normally repaid within one to seven years, depending on the loan purpose, repayment ability, and collateral available as loan security. In special circumstances, terms of up to 20 years may be authorized. Loans for physical losses to real estate are normally repaid within 30 years. In certain circumstances, repayment may be made over a maximum of 40 years. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Applicants must furnish 3 year history of farm production, if available, when production losses are claimed. Otherwise, FSA records of acres grown and proven yields, county or State production averages, or combinations of the above records when approved, are used. Applicants must maintain accurate financial and production records during the period of the loan.

Account Identification:

12-4212-0-3-351.

Obligations:

Direct Loans FY 20 \$37,668,000.00; FY 21 est \$37,668,000.00; FY 22 est \$37,668,000.00;

Range and Average of Financial Assistance:

The maximum emergency loan amount may not exceed \$500,000.

TAFS Codes:

12-1140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 764; FSA Handbook 1- FLP, 2-FLP, 3-FLP & 1-APP. Farm Service Agency Fact Sheets; FSA Program Aids 1610 "Farm Service Agency Producer's Guide to Loan Programs"; FSA Program Aids 1620 "Lender's Guide to FSA Loan Programs"; FSA Brochure FSA-BK-01 "Your Guide to FSA Farm Loans"; All information is available on FSA website at: www.fsa.usda.gov.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Director James Jackson,
1400 Independence Avenue, SW,
Washington DC 20250
Email: james.jackson@usda.gov
Phone: 202-692-4940

Website Address:

<http://www.fsa.usda.gov/programs-and-services/farm-loan-programs/index>

RELATED PROGRAMS:

10.406 Farm Operating Loans; 59.008 Disaster Assistance Loans; 10.407 Farm Ownership Loans; 10.450 Crop Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.405 Farm Labor Housing Loans and Grants

(Labor Housing).

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514 and 516, Public Laws 89-117 and 89-754, 42 U.S.C 1484 and 1486.,

OBJECTIVES:

To provide decent, safe, and sanitary low-rent housing and related facilities for domestic farm laborers.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans, Project Grants

USES AND USE RESTRICTIONS:

The loans and grants may be used for construction, repair, or purchase of year-round or seasonal housing; acquiring the necessary land and making improvements on land for housing; and developing related support facilities including central cooking and dining facilities, small infirmaries, laundry facilities, day care centers, other essential equipment and facilities or recreation areas. Funds may also be used to pay certain fees and interest incidental to the project. Restrictions on the use of funds are: Developers' fees, resident services, cost of unrelated commercial space, costs associated with other lenders/grantors. Housing financed with labor housing loan or grant funds must be occupied by domestic farm laborers, individuals who derive a substantial portion of their income from farm labor, and their families. The occupants must also be a U.S. citizen or permanent resident.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

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hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Loans are available to farmers, family farm partnership, family farm corporations, or an association of farmers. Loans and grants are available to States, Puerto Rico, the U.S. Virgin Islands, political subdivisions of States, broad-based public or private nonprofit organizations, federally recognized Indian Tribes and non-profit corporations of farm workers. Grants are available to eligible applicants only when there is a pressing need and when it is doubtful that such facilities could be provided unless grant assistance is available.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Farmer/Rancher/Agriculture Producer; U.S. Citizen; A domestic farm laborer is any person who receives a substantial portion of his/her income as a laborer on a farm in the United States and is either (1) a citizen of the United States, or (2) has been legally admitted for permanent residency.

Credentials/Documentation:

The applicant must furnish factual evidence of the following: (a) The number of domestic farm laborers currently being used in the area; (b) the kind of labor performed; (c) the future need for domestic farm labor in the area; (d) the kind, condition, and adequacy of housing presently used for such labor; (e) ownership of presently occupied housing; (f) ability of workers to pay necessary rent; and (g) with the exception of State and local public agencies, be unable to provide housing from its own resources or credit on terms and conditions that would enable the applicant to provide labor housing. Costs will be determined in accordance with 7 CFR part 3015 or 3016 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Section 514/516 Program is awarded through Notice of Solicitation of Applications (NOSA) announced in the Federal Register.

Award Procedure:

Applications will be scored on the following factors: (1) The presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. A minimum of ten percent leveraged assistance is required to earn points; however, less than ten percent and the proposal includes donated land, two points will be awarded for the donated land, (0 to 20 points); (2) Seasonal, temporary, migrant housing (5 points for up to and including 50 percent of the units; 10 points for 51 percent or more); National Office initiative will be based on the presence of and extent to which a tenant services plan exists that clearly outlines services that will be provided to the residents of the proposed project. Two points will be awarded for each resident service included in the tenant services plan up to a maximum of 10 points, (0 to 10 points). Application selection process: (1) States will review and score the applications and submit a list of applications in rank and point score order to the National Office; (2) the National Office will rank applications on a nation-wide basis and will advise States of the results.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. RHS uses a two-stage application process for the Farm Labor Housing Program. First, applicants submit preapplications, which are used to determine preliminary eligibility and feasibility. RHS then invites some applicants to submit formal applications. Section 514/516 funds are divided between off-farm housing and on-farm housing. The off-farm program provides loans and grants to

organizations that assist farm workers at off-farm locations with no restrictions that workers be employed on a particular farm. Under new regulations effective June 1999, preapplications for the off-farm program funds must be submitted in response to a Notice of Solicitation of Applications (NOSA) published in the Federal Register every year. RHS scores the preapplications, using a ranking process to determine which applicants will be invited to submit formal applications. The on-farm program makes loans to eligible farmers (or a group of farmers) to provide Housing, usually for their own laborers. Preapplications for on-farm units, or for repair And rehabilitation of existing off-farm units, may be submitted at any time are processed on a first-come, first-served basis.

Appeals:

From 15 to 30 days. A person or organization who is directly and adversely affected by an administrative decision by Rural Development should follow the procedures found in 7 CFR part 1900, Subpart B of "Rural Development Administrative Appeal Procedures."

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. Section 516 grants for off-farm FLH may not exceed the lesser of 90 percent of the total development cost (TDC) as provided in 7 CFR 3560.562(c)(1).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Loans are usually made for 33 years at 1 percent interest. Grants may cover up to 90 percent of development cost. The balance may be (and usually is) a Section 514 loan. RHS rental assistance (RA) subsidy can be used to limit tenant payments to 30 percent of their income. RA can be used as an operating subsidy for projects or units with Section 516 funding that serve migrants. It is RHS policy to attempt to use less than a 90 percent grant when RA is utilized. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For grants only, 2 CFR parts 200and 400, which establishes the uniformadministrative and audit requirementsfor grants and cooperative agreements toState and local Governments and tonon-profit organizations.

Records:

Adequate operating tenant, and accounting records must be maintained by borrower.

Account Identification:

12-4141-0-3-371. 12-2081-0-4-371. 12-2004-0-1-604.

Obligations:

Direct Loans FY 20 \$28,001,000.00; FY 21 est \$26,600,000.00; FY 22 Estimate Not Available; Project Grants FY 20 \$10,000,000.00; FY 21 est \$9,500,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4215.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 3560; Subpart L

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory for Rural Development Area Office number. If no listing, contact appropriate Rural Development Specialist listed in Appendix IV of the Catalog or on the internet at

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<https://www.sc.egov.usda.gov/data/MFH.html>

Headquarters Office:

USDA Rural Development, Production and Preservation Division,
1400 Independence Ave. SW., Room 5017,
Washington DC 20250

Email: daniel.rogers2@usda.gov

Phone: (202) 400-0127

Fax:

Website Address:

<https://www.rd.usda.gov/programs-services/farm-labor-housing-direct-loans-grants>

RELATED PROGRAMS:

10.427 Rural Rental Assistance Payments;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A clearly defined need must exist to provide housing for domestic farm workers.

10.406 Farm Operating Loans

Farm Operating Loans (Direct & Guaranteed),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Farm and Rural Development Act, as amended, Subtitle B, Sections 311-317, Public Law 92-419, 7 U.S.C. 1942; Agriculture Act of 1961, Title III, Public Law 87-128, , Title The Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

The Farm Service Agency (FSA) offers direct and guaranteed loans to farmers and ranchers to promote, build, and sustain family farms for a thriving agricultural economy. The goal of FSAs farm loan program is to provide supervised credit and management assistance to eligible farmers to become owners or operators, or both, of family farms or to continue such operations when credit is not available elsewhere. Operating loans (OL) must be essential to the success of the farm operation and should establish and/or maintain financial viability. Guaranteed loans are available to farmers who may not meet loan qualifications from a commercial lender. Guaranteed loans are made and serviced by commercial lenders, such as banks, Farm Credit System institutions, or credit unions.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Direct Operating Loan funds must be essential to the success of the farming operation and must be used for the following purposes: I. Costs associated with reorganizing a farm to improve its profitability; II. Purchase of livestock, including poultry, farm equipment, quotas and bases, and cooperative stock for credit, production, processing or marketing purposes; III. Farm operating expenses, including but not limited to, feed, seed, fertilizer, pesticides, farm supplies, repairs and improvements which are to be expensed, cash rent and family living expenses; IV. Scheduled principal and interest payments on term debt provided the debt is for authorized FO or OL purposes; V. Minor improvements or repairs to buildings VI. Refinance certain farm-related debts, excluding real estate; VII. Land and water development, use, or conservation; VIII. Loan closing and borrower training costs
Guaranteed Operating Loan Funds may be used to: I. Payment of costs associated with reorganizing a farm to improve its profitability; II. Purchase of livestock, including poultry, and farm equipment or fixtures, quotas and bases, and cooperative stock for credit, production, processing or marketing purposes; III. Payment of annual farm operating expenses, examples of which include feed, seed, fertilizer, pesticides, farm supplies, repairs and improvements which are to be expensed, cash rent and family subsistence; IV. Payment of scheduled principal and interest payments on term debt provided the debt is for authorized FO or OL purposes; V. Payment of costs associated with land and water development for conservation or use purposes; VI. Refinancing indebtedness incurred for any authorized OL purpose, when the lender and applicant can

demonstrate the need to refinance; VII. Payment of loan closing costs; VIII. Payment of costs associated with complying with Federal or State-approved standards under the Occupational Safety and Health Act of 1970 (29 U.S.C. 655 and 667); this purpose is limited to applicants who demonstrate that compliance with the standards will cause them substantial economic injury; IX. Payment of training costs required or recommended by the Agency; X. Real Estate Improvements; XI. Processing or Marketing Purposes; XII. Refinancing; XIII. LOC Purposes: Loan funds under a line of credit may be advanced only for the following purposes: a. Payment of annual operating expenses, family subsistence, and purchase of feeder animals b. Payment of current annual operating debts advanced for the current operating cycle; under no circumstances can carry-over operating debts from a previous b. operating cycle be refinanced; c. Purchase of routine capital assets, such as replacement of livestock, that will be repaid within the operating cycle; d. Payment of scheduled, non-delinquent term debt payments provided the debt is for authorized FO or OL purposes; e. Purchase of cooperative stock for credit, production, processing, or marketing purposes; f. Payment of loan closing costs.

Applicant Eligibility:

Individual/Family; Minority group; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); General Eligibility for Direct Loans The following requirements must be met to qualify for an FSA Direct Operating Loan, unless otherwise stated in the eligibility requirements for the loan: I. Be a family farmer; II. Have a satisfactory credit history; III. Be a citizen of the United States, including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, Commonwealth of the Northern Mariana Islands, Republic of Palau, Federated States of Micronesia and the Republic of Marshall Islands; a U.S. non-citizen national or a qualified alien under federal immigration law; IV. Be unable to obtain credit elsewhere at reasonable rates and terms to meet actual needs; V. Have the legal capacity to incur the obligations of the loan; VI. Not have outstanding unpaid judgments obtained by the U.S. in any court, excluding judgments filed in U.S. Tax Courts; VII. Not be delinquent on a federal debt; VIII. Must not have provided FSA with false or misleading documents or statements in the past; IX. Not have been convicted under federal or state laws of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the last 5 crop years; X. Not have received debt forgiveness from FSA (certain exceptions apply); and XI. Be within the time restrictions as to the number of years they can receive FSA assistance.
General Eligibility for Guaranteed Loan Applicants An applicant, including members of an entity applicant, must meet the following eligibility criteria to obtain a guaranteed loan: I. Be a U.S. citizen or legal resident alien which includes Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa and certain former Pacific Trust Territories II. Have an acceptable credit history as determined by the lender III. Demonstrate ability to repay the loan IV. Provide sufficient security for the loan V. Have the legal capacity to incur the obligations of the loan VI. Be unable to obtain a loan without a guarantee VII. Have not received FSA debt forgiveness on more than three occasions on or prior to April 4, 1996; or any occasion after April 4, 1996 VIII. Be the owner or tenant operator of a family farm after the loan is closed. For Operating Loans, the applicant must be the operator of a family farm after the loan is closed. IX. Not be delinquent on any federal debt X. Not have outstanding unpaid judgments obtained by the U.S. in any court excluding judgments filed in U.S. Tax Courts; XI. Not have provided FSA with false or misleading documents or statements in the past; XII. Not have been convicted under federal or state laws of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the last 5 crop years. Applications may also be made by entities. Entities are corporations, cooperatives, joint operations, partnerships, trusts, and limited liability companies. Their members/stockholders must meet these same eligibility requirements. The entity must also be authorized to operate a farm or ranch in the state where the land is located. For socially disadvantaged members, they must hold a majority interest in the entity applicant to receive targeted funding.

Beneficiary Eligibility:

Individual/Family; Individual/Entity, Socially Disadvantaged Applicants (SDA)/Non-SDA; SDA applicant or farmer is an individual or entity who is a member of a socially disadvantaged group. SDA group is a group whose members have been subject to racial, ethnic, or gender prejudice because of their identity as members of a group without regard to their individual qualities. These groups consist of: American Indians or Alaskan Natives, Asians, Blacks or African

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Americans, Native Hawaiians or other Pacific Islanders, Hispanics, and women.

Credentials/Documentation:

Applicants for direct loans must be unable to obtain credit elsewhere and applicants for guaranteed loans must be unable to obtain credit without the guarantee. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No loan will be made until all Federal and state statutory and regulatory environmental requirements have been complied with.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may apply for direct loans at local FSA offices. If the total outstanding direct operating loan debt combined with direct farm ownership debt will be less than \$50,000, it would be considered a direct microloan. Microloans have a separate application process. Guaranteed loans may be available from local commercial lenders who apply for loan guarantees from FSA.

Award Procedure:

If a direct or guaranteed loan is approved, FSA will notify the applicant in writing of: the approved use of loan funds the interest rate the term of the loan the collateral that will be required to pledge to secure the loan when the money is expected to be available any pre-loan closing requirements Responsibilities as an FSA direct loan borrower. The applicant will need to sign and return the letter accepting the loan conditions. Loan closing will be scheduled as soon as possible after loan approval. Normally, loan funds are provided within 15 days of approval. If the application for a guarantee is approved, FSA will provide written notification to the lender. FSA receives funding for loans and guarantees on a fiscal year basis, and the demand for some loan types may exceed the level of funding received. When this occurs, a loan can be approved subject to the availability of funding, but it cannot be closed until additional funding is received.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Within 60 calendar days after receiving a complete loan application, the Agency will complete the processing of the Direct loan request and notify the applicant of the decision reached, and the reason for any disapproval. For guaranteed loans, an EZ, CLP, or PLP loan is approved or rejected within 14 calendar days. A SEL loan is approved or rejected within 30 calendar days. Each application is reviewed within 5 calendar days of being received.

Appeals:

Other. FLP applicants and borrowers have the right to request reconsideration, file appeals, and enter alternate dispute resolutions about adverse decisions. If a loan is not approved, FSA will provide written notification of opportunities to request. Reconsideration Applicants are provided with the opportunity to meet with the FSA loan officer to present additional information and explain why you believe FSAs decision may be in error; Mediation A process for resolution of a disagreement. A trained neutral mediator assists two or more parties who disagree by looking at the issues, considering all available options, and attempting to agree on an acceptable solution; Appeal to the Department of Agriculture National Appeal Division (NAD), an independent organization within USDA, where you may present evidence which demonstrates why you believe that FSAs decision may be wrong.

Renewals:

Other. Applicants may reapply for an FSA loan at any time. Applicants who were given a denial of a loan request will be advised of potential actions or alternatives that might resolve or help resolve the issues that resulted in the denial of the loan request. Applicants previously given a loan denial must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The repayment of all Direct and Guaranteed Operating loans is based on the

applicants ability to repay and the useful life of the security. In no event will the term of the loan exceed 7 years from the date of the note. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Applicants must maintain accurate financial and production records during the period of the loan.

Account Identification:

12-4212-0-3-351. 12-4213-0-3-351. 12-1140-0-1-351.

Obligations:

Guaranteed/Insured Loans FY 20 \$1,960,000,000.00; FY 21 est \$2,118,482,000.00; FY 22 est \$2,118,482,000.00; Direct Loans FY 20 \$1,550,133,000.00; FY 21 est \$1,633,333,000.00; FY 22 est \$1,633,333,000.00;

Range and Average of Financial Assistance:

Direct Farm Operating Loans up to \$400,000. (\$50,000 total or less is considered a microloan); Guaranteed Farm Operating Loans up to \$1,776,000. (Amount adjusted annually for inflation.)

TAFS Codes:

12-1140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 761, 762, 764; Farm Service Agency Fact Sheets; FSA Handbook 1 FLP, 2 FLP, 3-FLP, & 1-APP; FSA Program Aids 1610 "Farm Service Agency Producer's Guide to Loan Programs"; FSA Program Aids 1620 "Lender's Guide to FSA Loan Programs"; FSA Brochure FSA-BK-01 "Your Guide to FSA Farm Loans"; All information is available on FSA website at: www.fsa.usda.gov.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Director James Jackson,
1400 Independence Avenue, SW,
Washington DC 20250
Email: james.jackson@usda.gov
Phone: 202-692-4940

Website Address:

<http://www.fsa.usda.gov/programs-and-services/farm-loan-programs/index>

RELATED PROGRAMS:

10.404 Emergency Loans; 10.407 Farm Ownership Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.407 Farm Ownership Loans

Farm Ownership Loans (Direct and Guaranteed),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Consolidated Farm and Rural Development Act, as amended, Subtitle A, Sections 302, 310D, Public Laws 100-233, 87-128, 91-620, 95-334, 97-98, 7 U.S.C. 1922; Section 303, Public Laws 87-708, 90-488, 95-113, 96-438, 7 U.S.C. 1923; Section 305, Public Law 92-419, 7 U.S.C. 1925; Section 307, Public Law 97-35, 7 U.S.C. 1927; Section 308, Public Laws, 87-798, 89-240, 92-133, 7 U.S.C. 1928; 7 U.S.C. 1934., Title The Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

To assist farmers and ranchers become owner-operators of family farms, improve and expand current operations, increase agricultural productivity, and assist with land tenure to save farmland for future generations.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

The operation must be an eligible farm enterprise. Farm Ownership loan funds cannot be used to finance nonfarm enterprises, such as exotic birds, tropical fish, dogs or horses used for non-farm purposes (racing, pleasure, show and boarding). Direct Farm Ownership Loan funds may be used to: I. Buy a farm or ranch; II. Enlarge an existing farm or ranch; III. Make a down payment on a farm; IV. Purchase of easements; V. Construct, purchase or improve farm buildings, service buildings or other facilities and improvements essential to the farming operation; VI. Promote soil and water conservation and protection; VII. Pay loan closing costs VIII. Refinance a bridge loan Guaranteed farm ownership loans are authorized only to: (1) Acquire or enlarge a farm (2) Make capital improvements; (3) Promote soil and water conservation and protection; (4) Pay closing costs, including but not limited to, purchasing stock in a cooperative, appraisal and survey fees; (5) Refinance indebtedness incurred for authorized FO or OL purposes, provided the lender and applicant demonstrate the need to refinance the debt.

Applicant Eligibility:

Individual/Family; Minority group; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); General Eligibility for Direct Farm Ownership Loans The following requirements must be met to qualify for an FSA Direct Farm Ownership Loan, unless otherwise stated in the eligibility requirements for the loan: I. Be a family farmer; II. Have a satisfactory credit history; III. Be a citizen of the United States, including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, Commonwealth of the Northern Mariana Islands, Republic of Palau, Federated States of Micronesia and the Republic of Marshall Islands; a U.S. non-citizen national or a qualified alien under federal immigration law; IV. Be unable to obtain credit elsewhere at reasonable rates and terms to meet actual needs; V. Have the legal capacity to incur the obligations of the loan; VI. Not have outstanding unpaid judgments obtained by the U.S. in any court, excluding judgments filed in U.S. Tax Courts; VII. Not be delinquent on a federal debt; VIII. Must not have provided FSA with false or misleading documents or statements in the past; IX. Not have been convicted under federal or state laws of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the last 5 crop years; X. Not have received debt forgiveness from FSA (certain exceptions apply); and XI. Be within the time restrictions as to the number of years they can receive FSA assistance. General Eligibility for Guaranteed Loan Applicants An applicant, including members of an entity applicant, must meet the following eligibility criteria to obtain a guaranteed loan: I. Be a U.S. citizen or legal resident alien which includes Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa and certain former Pacific Trust Territories II. Have an acceptable credit history as determined by the lender III. Demonstrate ability to repay the loan IV. Provide sufficient security for the loan V. Have the legal capacity to incur the obligations of the loan VI. Be unable to obtain a loan without a guarantee VII. Have not received FSA debt forgiveness on more than three occasions on or prior to April 4, 1996; or any occasion after April 4, 1996 VIII. Be the owner or tenant operator of a family farm after the loan is closed. For Operating Loans, the applicant must be the operator of a family farm after the loan is closed IX. Not be delinquent on any federal debt X. Not have outstanding unpaid judgments obtained by the U.S. in any court excluding judgments filed in U.S. Tax Courts; XI. Not have provided FSA with false or misleading documents or statements in the past; XIII. Not have been convicted under federal or state laws of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the last 5 crop years. Applications may also be made by entities. Entities are corporations, cooperatives, joint operations, partnerships, trusts, and limited liability companies. Their members/stockholders

must meet these same eligibility requirements. The entity must also be authorized to operate a farm or ranch in the state where the land is located. For socially disadvantaged members, they must hold a majority interest in the entity applicant to receive targeted funding.

Beneficiary Eligibility:

Individual/Family; Minority group; Small business; Farmer/Rancher/Agriculture Producer; Land/Property Owner; Black; American Indian; U.S. Citizen; Women; Low Income; Rural; Individual/Entity, Socially Disadvantaged Applicants (SDA)/Non-SDA; SDA applicant or farmer is an individual or entity who is a member of a socially disadvantaged group. SDA group is a group whose members have been subject to racial, ethnic, or gender prejudice because of their identity as members of a group without regard to their individual qualities. These groups consist of: American Indians or Alaskan Natives, Asians, Blacks or African Americans, Native Hawaiians or other Pacific Islanders, Hispanics, and women.

Credentials/Documentation:

Applicants for direct loans must be unable to obtain credit elsewhere and applicants for guaranteed loans must be unable to obtain credit without the guarantee. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is not applicable. No loan will be made until all Federal and state statutory and regulatory environmental requirements have been complied.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may apply for direct loans at local FSA offices. If the total outstanding direct ownership loan debt (combined with direct farm operating debt) will be less than \$50,000, it would be considered a direct microloan. Microloans have a separate application process. Guaranteed loans may be available from local commercial lenders who apply for loan guarantees from FSA.

Award Procedure:

If a direct or guaranteed farm ownership loan is approved, FSA will notify the applicant in writing of: the approved use of loan funds the interest rate the term of the loan the collateral that will be required to pledge to secure the loan when the money is expected to be available any pre-loan closing requirements Responsibilities as an FSA direct loan borrower. The applicant will need to sign and return the letter accepting the loan conditions. Loan closing will be scheduled as soon as possible after loan approval. Normally, loan funds are provided within 15 days of approval. If the application for a guarantee is approved, FSA will provide written notification to the lender. FSA receives funding for loans and guarantees on a fiscal year basis, and the demand for some loan types may exceed the level of funding received. When this occurs, a loan can be approved subject to the availability of funding, but it cannot be closed until additional funding is received.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Within 60 calendar days after receiving a complete loan application, the Agency will complete the processing of the Direct loan request and notify the applicant of the decision reached, and the reason for any disapproval. For guaranteed loans, an EZ, CLP, or PLP loan is approved or rejected within 14 calendar days. A SEL loan is approved or rejected within 30 calendar days. Each application is reviewed within 5 calendar days of being received.

Appeals:

Other. FLP applicants and borrowers have the right to request reconsideration, file appeals, and enter alternate dispute resolutions about adverse decisions. If a loan is not approved, FSA will provide written notification of opportunities to request: Reconsideration Applicants are provided with the opportunity to meet with the FSA loan officer to present additional information and explain why you believe FSA's decision may be in error; Mediation A process for resolution of a disagreement. A trained neutral mediator assists two or more parties who disagree by looking at the issues, considering all available options, and attempting to agree on an acceptable solution; Appeal to the Department of Agriculture National Appeal Division (NAD), an independent organization within USDA, where you

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may present evidence which demonstrates why you believe that FSAs decision may be wrong.

Renewals:

Other. Applicants may reapply for an FSA loan at any time. Applicants who were given a denial of a loan request will be advised of potential actions or alternatives that might resolve or help resolve the issues that resulted in the denial of the loan request. Applicants previously given a loan denial must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The repayment of all Direct and Guaranteed Farm Ownership loan is based on the applicants ability to repay and the useful life of the security. In no event will the term be more than 40 years from the date of the note. For MLs made for FO purposes, the Agency schedules repayment of an FO based on the applicants ability to repay and the useful life of the security. In no event will the term be more than 25 years from the date of the note. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Applicants must maintain accurate financial and production records during the period of the loan.

Account Identification:

12-4213-0-3-351. 12-4212-0-3-351. 12-1140-0-1-351.

Obligations:

Guaranteed/Insured Loans FY 20 (\$994,967,296.00); FY 21 est (\$994,967,296.00); FY 22 est (\$794,967,296.00); Direct Loans FY 20 (\$1,951,217,296.00); FY 21 est (\$1,794,967,296.00); FY 22 est (\$1,494,967,296.00);

Range and Average of Financial Assistance:

Direct Farm Ownership Loans up to \$600,000; Guaranteed Farm Ownership Loans up to \$1,776,000 (amount adjusted annually for inflation).

TAFS Codes:

12-1140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 761, 762, 764; Farm Service Agency Fact Sheets; FSA Handbook 1 FLP, 2 FLP, 3-FLP, & 1-APP;FSA Program Aids 1610 "Farm Service Agency Producer's Guide to Loan Programs"; FSA Program Aids 1620 "Lender's Guide to FSA Loan Programs";FSA Brochure FSA-BK-01 "Your Guide to FSA Farm Loans";All information is available on FSA website at: www.fsa.usda.gov.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

James Jackson,
1400 Independence Ave SW,
Washington DC 20250
Email: james.jackson@usda.gov
Phone: (202) 692-4940
Fax:
Website Address:

<http://www.fsa.usda.gov/programs-and-services/farm-loan-programs/index>

RELATED PROGRAMS:

10.406 Farm Operating Loans; 10.404 Emergency Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.410 Very Low to Moderate Income Housing Loans

(Section 502 Rural Housing Loans).

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Housing Act of 1949,

OBJECTIVES:

To assist very low, low-income, and moderate-income households to obtain modest, decent, safe, and sanitary housing for use as a permanent residence in rural areas.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans, Insurance

USES AND USE RESTRICTIONS:

Direct and Guaranteed loans may be used to buy, build, or improve the applicant's permanent residence. New manufactured homes may be financed when they are on a permanent site and meet certain other requirements. Under very limited circumstances, homes may be re-financed. Dwellings financed must be modest, decent, safe, and sanitary. The value of a home financed with a direct loan may not exceed the area loan limit. The property must be located in an eligible rural area. Assistance is available in the States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's, and the Trust Territories of the Pacific Islands.

Applicant Eligibility:

Individual/Family; Applicants must have very low-, low- or moderate incomes. Families must be able to afford housing payments, including principal, interest, taxes, and insurance and have an acceptable credit history. Please visit Agency eligibility website at:

<https://eligibility.sc.egov.usda.gov/eligibility/welcomeAction.do>

Beneficiary Eligibility:

Individual/Family; Moderate Income; Low Income; Rural; Applicants must meet eligibility requirements.

Credentials/Documentation:

Applicants, or lenders in the Guaranteed program, will need to submit evidence of income, debts, and other information on the application and property (e.g. plans, specifications, and cost estimates). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For direct loans, application is made at the Rural Development field office serving the county where the dwelling is or will be located. For guaranteed loans, application is made to a participating private lender.

Award Procedure:

Rural Development field offices have authority to approve direct loan requests. Please visit the website for direct loan State Contact at-
<https://www.rd.usda.gov/about-rd/state-offices> for a State Office listing. An

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approved guaranteed lenders can be found at <https://www.rd.usda.gov/page/sfh-guaranteed-lender>.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. For direct loans, processing times vary by State. For guarantees, a decision is required within 3 days of loan package submission by the approved lender.

Appeals:

Other. Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD). NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision. Please visit: <https://www.nad.usda.gov/>

Renewals:

Other. Applicants may reapply at any time.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This program has no matching requirements. A basic formula is used to allocate program funds to various States. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For both the Guaranteed and Direct programs, assistance is available throughout the year. For the Direct program, funds may be disbursed at loan closing or deposited in a supervised bank account for purchase of a building site, and purchase or repair of a dwelling, or in multiple advances for construction. Method of awarding/releasing assistance: other

Reports:

Borrowers receiving a payment subsidy must submit verification of household income annually for recalculation of benefits. Borrowers are not required to, but should retain copies of loan-related documents.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

12-4141-0-3-371. 12-2081-0-1-371.

Obligations:

Guaranteed/Insured Loans FY 20 \$23,074,582.00; FY 21 est \$24,000,000.00; FY 22 est \$30,000,000.00; Direct Loans FY 20 \$1,001,415.00; FY 21 est \$1,000,000.00; FY 22 est \$1,500,000.00; Insurance FY 20 \$0.00; FY 21 est \$40,000.00; and FY 22 est \$40,000.00;

Range and Average of Financial Assistance:

FY 20 Average: 502 Direct Loans (\$172,649) Guaranteed Loans (\$167,255) FY 21 Average: 502 Direct Loans (\$186,992) Guaranteed Loans (\$177,864) FY 22 are estimates. Loans in high cost areas may be higher.

TAFS Codes:

12-2081. 12-4215.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550 "Direct Single Family Housing Loans and Grants." For guaranteed loans, 7 CFR Part 3555 "Guaranteed Rural Housing Program"

Regional or Local Office:

See Regional Assistance Locations. Visit the website <https://www.rd.usda.gov/about-rd/state-offices>

Headquarters Office:

Finance and Loan Analyst Andria Hively,
1400 Independence Avenue, SW,

Washington DC 20250

Email: andria.hively@usda.gov

Phone: (360) 999-0252

Website Address:

<http://www.rd.usda.gov>

RELATED PROGRAMS:

10.417 Very Low-Income Housing Repair Loans and Grants; 10.405 Farm Labor Housing Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.411 Rural Housing Site Loans and Self Help Housing Land Development Loans

(Section 523 and 524 Site Loans),

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Housing Act of 1949, as amended, Sections 523 and 524, Public Law 89-117; Public Law 89-754, 42 U.S.C. 1490 (c) and (d),.

OBJECTIVES:

To assist public or private nonprofit organizations interested in providing sites for housing; to acquire and develop land in rural areas to be subdivided as adequate building sites and sold on a cost development basis to families eligible for low and very low income loans, cooperatives, and broadly based nonprofit rural rental housing applicants.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

For the purchase and development of adequate sites, including necessary equipment which becomes a permanent part of the development; for water and sewer facilities if not available; payment of necessary engineering, legal fees, and closing costs; for needed landscaping and other necessary facilities related to buildings such as walks, parking areas, and driveways. Restrictions: loan funds may not be used for refinancing of debts, payment of any fee, or commission to any broker, negotiator, or other person for the referral of a prospective applicant or solicitation of a loan; no loan funds will be used to pay operating costs or expenses of administration other than actual cash cost of incidental administrative expenses if funds to pay those expenses are not otherwise available. Repayment of loan is expected within two years.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); A private or public nonprofit organization that will provide the developed sites to qualified borrowers on a cost of development basis in open country and towns of 10,000 population or less and places up to 25,000 population under certain conditions. Applicants from towns of 10,000 to 25,000 population should check with local RD office to determine if agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, and the Northern Marianas.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Quasi-public nonprofit organization; Moderate Income; Low Income; Rural; Public nonprofit institution/organization; Other public institution/organization; Sites developed with Section 524 loans must be for housing low and very low income families and may be sold to families, nonprofit organizations, public agencies and cooperatives eligible for assistance under any Section of Title V of the Housing Act of 1949, or under any other law which provides financial assistance. Sites developed with Section 523 loans must be for housing to be built by the self-help method.

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Credentials/Documentation:

Applicants must furnish pre-application documents identified in RD Instruction 444.8 including: (1) Evidence of need for such services; (2) legal capacity to borrow funds and develop land for sale; (3) a sound budget; and (4) general project description. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application will be in the form of a letter to the Rural Development Manager of the Rural Development (RD). Supporting information and costs should be included as needed.

Award Procedure:

After application has been reviewed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Not Applicable. Applicants may reapply at any time provided there is evidence of need for the proposed building sites in the locality.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Adequate operating records must be maintained by borrower.

Account Identification:

12-2081-0-1-371. 12-4141-0-3-371.

Obligations:

Direct Loans FY 20 \$0.00; FY 21 est \$5,000.00; FY 22 est \$5,012.00; Direct Loans FY 20 \$0.00; FY 21 est \$5,000.00; FY 22 est \$5,000.00;

Range and Average of Financial Assistance:

Loan amounts vary based on proposed project size.

TAFS Codes:

12-2081. 12-4215.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

RD Instruction 444.8, "Rural Housing Site Loan Policies, Procedures, and Authorizations"; 7 CFR 1822.261-1822.278; PA-1131.

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <https://www.rd.usda.gov/about-rd/state-offices>.

Headquarters Office:

Loan Specialist Andria Hively,
1400 Independence Avenue, SW,
Washington DC 20250
Email: andria.hively@usda.gov
Phone: 360-999-0252

Website Address:

<https://www.rd.usda.gov>

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans; 10.415 Rural Rental Housing Loans; 10.420 Rural Self-Help Housing Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.415 Rural Rental Housing Loans

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Housing Act of 1949, as amended, Section 515 and 521, Public Law 102-550, 42 U.S.C. 1485, 1490a.,

OBJECTIVES:

To provide economically designed and constructed rental and cooperative housing and related facilities suited for rural residents.

TYPES OF ASSISTANCE:

Direct Loans, Project Grants

USES AND USE RESTRICTIONS:

Loans can be used to construct, or to purchase and substantially rehabilitate rental or cooperative housing or to develop manufactured housing projects. Housing as a general rule will consist of multi-units with two or more family units and any appropriately related facilities. Funds may also be used to provide approved recreational and service facilities appropriate for use in connection with the housing and to buy and improve the land on which the buildings are to be located. Loans may not be made for nursing, special care, or institutional-type homes. Grants are in the form of rental assistance for some units within the underwritten property.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Applicants may be individuals, cooperatives, nonprofit organizations, State or local public agencies, profit corporations, trusts, partnerships, limited partnerships, and be unable to finance the housing either with their own resources or with credit obtained from private sources. However, applicants must be able to assume the obligations of the loan, furnish adequate security, and have sufficient income for repayment. They must also have the ability and intention of maintaining and operating the housing for purposes for which the loan is made. Loans may be made

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in communities up to 10,000 people in MSA areas and some communities up to 20,000 population in non-MSA areas. Applicants in towns of 10,000 to 20,000 should check with their local Rural Development office to determine if the agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Mariana's, and the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Senior Citizen (60+); Moderate Income; Low Income; Occupants must be very low-, low- or moderate-income families households, elderly, handicapped, or disabled persons.

Credentials/Documentation:

Applicants must furnish evidence of the following: (a) A comprehensive market analysis showing the need for such service; (b) a legal capacity to incur the obligation and operate the housing; (c) a sound budget; (d) good credit history; (e) inability to obtain necessary funds from other sources on terms and conditions that would enable the applicants to rent the units for amounts that are within payment ability of eligible very low, low-, or moderate-income family, households, elderly, handicapped, or disabled occupants. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

<https://www.rd.usda.gov/programs-services/multi-family-housing-direct-loans>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Section 515 Program is awarded through a Notice of Solicitation of Applications (NOSA) announced in the Federal Register.

Award Procedure:

Applications will be scored on the following factors: (1) the presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. (0 to 30 points); (2) the units to be developed are in a Colonia, tribal land, EZ, EC, or REAP community, or in a place identified in the State Consolidated Plan or State Needs Assessment as a high need community for multifamily housing. ("State" refers to the State Government.) (20 points); (3) the loan request includes donated land meeting the provisions of 7 CFR section 3560.56(c)(1)(iv). (5 points); (4) in States where RHS has an on-going formal working relationship, agreement or Memorandum of Understanding (MOU) with the State to provide State resources (State funds, State RA, HOME funds, CDBG funds, or Low-Income Housing Tax Credits) for RHS proposals; or where the State provides preference or points to RHS proposals in awarding such State resources, 20 points will be provided to loan requests that include such State resources in an amount equal to at least 5 percent of the total development cost. (National office initiative) (20 points); (5) Presidentially Declared Disaster Area Pursuant to 7 CFR 3560.56(c)(1)(iii), points will be awarded if the property will be constructed or rehabilitated in a Presidentially declared disaster area in 2011. (10 points); and (6) Energy Initiatives, Properties may receive points for energy initiatives. (0 to 42 points).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Within 30 days, States will review and score the applications and submit a list of applications in rank and point score order to the National Office. Following the State's submission of applications to the National Office, within 30 days the National Office will rank applications on a nation-wide basis and advise States of the results.

Appeals:

Other. Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Other. Applicants may reapply at the next Notice of Solicitation of Applications (NOSA).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Loans are for up to 30 years at an effective 1 percent interest rate, and are amortized over 50 years. A current rate is used for the promissory note but thereafter is used only to determine maximum rent payments. Tenants pay basic rent or 30 percent of adjusted income, whichever is greater. RHS rental assistance subsidy can be used to limit tenant payment to 30 percent of their income. Loans made through contracts entered into on or after December 15, 1989 cannot be prepaidd. Method of awarding/releasing assistance: lump sum.

Reports:

Monthly progress reports are to be made to the Rural Development Manager, during the first six months of successful operation and each month thereafter until discontinued by the Rural Development Manager. Annual reports required thereafter. Annual reports required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR 400

Records:

Good business records required.

Account Identification:

12-4141-0-3-371. 12-2081-0-1-371.

Obligations:

Direct Loans FY 20 \$40,000,000.00; FY 21 est \$40,000,000.00; FY 22 Not Available; Direct Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-2081.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Refer to the NOSA which lists Offices to contact or consult your local telephone directory for Rural Development. If no listing, get in touch with appropriate Rural Development Specialist listed in Appendix IV of the Catalog or on the internet at <https://www.sc.egov.usda.gov/data/MFH.html>.

Headquarters Office:

Director USDA Rural Development, Production and Preservation Division, 1400 Independence Ave. SW., Room 5017, Washington DC 20250
Email: daniel.rogers2@usda.gov
Phone: (202) 400-0127

Website Address:

<https://www.rd.usda.gov/programs-services/multi-family-housing-direct-loans>

RELATED PROGRAMS:

10.427 Rural Rental Assistance Payments; 10.405 Farm Labor Housing Loans and Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.417 Very Low-Income Housing Repair Loans and Grants

(Section 504 Rural Housing Loans and Grants),

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Housing Act of 1949, Title V, Section 504, as amended, Public Laws 89-117, 89-754, and 92-310, 42 U.S.C. 1474.,

OBJECTIVES:

Section 504 loans and grants are intended to help very low-income owner-occupants in rural areas repair their properties.

TYPES OF ASSISTANCE:

Direct Loans, Project Grants

USES AND USE RESTRICTIONS:

Loan funds may be used to make general repairs and improvements to properties or to remove health and safety hazards. Grant funds may be used only to pay for the costs for repairs and improvements that will remove identified health and safety hazards or to repair or remodel dwellings to make them accessible for household members with disabilities. Loans and grants are typically used for repair or replacement of heating, plumbing or electrical services, roof or basic structure as well as water and waste disposal systems, and weatherization. Loans bear an interest rate of one percent and are repaid over a period up to 20 years. In addition to the above purpose, loan funds may be used to modernize the dwelling.

Maximum loan amount cannot exceed a cumulative total of \$20,000 (\$40,000 in pilot) to any eligible person and maximum lifetime grant assistance is \$7,500 (\$10,000 in pilot) to any eligible person. The house must be located in an eligible rural area found at -

<https://eligibility.sc.gov.usda.gov/eligibility/welcomeAction.do>. Assistance is available in States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's and the Trust Territories of the Pacific Islands.

Applicant Eligibility:

Individual/Family; Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for that part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Beneficiary Eligibility:

Individual/Family; Homeowner; U.S. Citizen; Senior Citizen (60+); Rural; Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for the part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Credentials/Documentation:

Evidence of ownership and verification of income and debts. Grant recipients must provide evidence of age. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must file an application form at the Rural Development field office serving the county where

the dwelling is located-<https://www.rd.usda.gov/about-rd/state-offices>

Award Procedure:

Delegated to Local or Area Loan Approval Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Application processing times varies by State.

Appeals:

Other. Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Other. Applicants may reapply at any time.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of an established allocation system. Funds are usually released to borrowers/grantees as a lump sum payment for completed repairs or, as needed, for repairs in progress. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Borrowers and/or grantees are not required to, but should retain copies of loan or grant-related documents.

Account Identification:

12-4141-0-3-371. 12-2064-0-1-604. 12-2081-0-1-371.

Obligations:

Project Grants FY 20 \$33,542,000.00; FY 21 est \$30,000,000.00; FY 22 est \$30,000,000.00; Direct Loans FY 20 \$16,641,000.00; FY 21 est \$28,003,000.00; FY 22 est \$27,977,000.00;

Range and Average of Financial Assistance:

FY 2020 average grant amount is \$6,510. FY 2021 average grant amount is \$6,570. FY 2020 average loan amount is \$6,075. FY 2021 average loan amount is \$6,312. FY 2021 averages are estimates.

TAFS Codes:

12-1953. 12-4215. 12-2081.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550, Direct Single Family Housing Loans and Grants. (no charge); Home Improvement and Repair Loans, PA-1184, no charge.

Regional or Local Office:

See Regional Assistance Locations. To locate an office, please visit the website-<https://www.rd.usda.gov/about-rd/state-offices>

Headquarters Office:

Finance and Loan Analyst Andria Hively,
1400 Independence Avenue, SW,
Washington DC 20250
Email: andria.hively@usda.gov

Phone: (360) 999-0252

Website Address:

<http://www.rurdev.usda.gov>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.420 Rural Self-Help Housing Technical Assistance

(Section 523 Technical Assistance),

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Housing Act of 1949, as amended, Section 523, Public Laws 89-117 and 89-754, 42 U.S.C. 1490c.,

OBJECTIVES:

Self-Help Technical Assistance Grants provide financial assistance to qualified nonprofit organizations and public bodies that will assist very low and low-income individuals and their families build homes in rural areas by the self help method. Any State, political subdivision, private or public nonprofit corporation is eligible to apply. Section 523 Grants are typically used to pay salaries, rent, and office expenses of the nonprofit organization.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible organizations may use technical assistance funds to hire the personnel to carry out a technical assistance program for self-help housing in rural areas; to pay necessary and reasonable office and administrative expenses; to purchase or rent equipment such as power tools for use by families participating in self-help housing construction; and to pay fees for training self-help group members in construction techniques or for other professional services needed. Funds will not be used to hire personnel to perform any construction work, to buy real estate or building materials, or pay any debts, expenses or costs other than previously outlined for participating families in self-help projects.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Must be a State or political subdivision, public nonprofit corporation or a private nonprofit corporation. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, Guam, and the Northern Marianas.

Beneficiary Eligibility:

Private nonprofit institution/organization; Low Income; Rural; Public nonprofit institution/organization; Very low and low-income rural families, usually in groups of 6 to 10 families.

Credentials/Documentation:

Applicants must furnish evidence of the need for such services, have legal capacity to incur the obligation and operate the proposed project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Form SF-424 is submitted to Rural Development (RD), including information attached to Part IV, fully documenting the applicant's experience, need for the grant and the proposed

amount needed. Attachments relating to the size of the proposed project, estimated cost, budget and need are also required. This program is subject to provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

Award is made by the National office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 60 to 120 days from the time of application.

Appeals:

Other. Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Other. Applicants may reapply at any time, if needed to help low- income families build homes in rural areas by the mutual self-help method is clearly evidenced.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are typically for a 2-year period, however, extension may be authorized if appropriate. Applications for assistance far exceed available funding. Interest organization work with regional technical assistance contractors to assess feasibility and available funding. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports are to be made to the RD-Housing Programs Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Annual audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Adequate operating records must be maintained by Grantee.

Account Identification:

12-2006-0-1-604.

Obligations:

Project Grants FY 20 \$32,784,000.00; FY 21 est \$31,000,000.00; FY 22 est \$32,000,000.00;

Range and Average of Financial Assistance:

FY 2020 average grant assistance \$729,032.FY 2021 average grant assistance \$652,035FY 2021 average grant amount is estimated.

TAFS Codes:

12-1953.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Subpart I of Part 1944; Chapter XVIII; RD Instruction 1944-I, "Self-Help Technical Assistance Grants"; (PA 1254) (no charge).

Regional or Local Office:

See Regional Assistance Locations. To find a local Rural Development office, please visit our website at <https://www.rd.usda.gov/about-rd/state-offices>.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Finance and Loan Analyst Andria Hively,
1400 Independence Avenue, SW,
Washington DC 20250
Email: Andria.hively@usda.gov
Phone: (360) 999-0252

Website Address:

<http://www.rd.usda.gov>

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are the evidence of a clearly defined need in an area for mutual self-help housing and the families in the area cannot afford or obtain a modest house by customary methods, and the applicant possesses the expertise to provide the technical assistance (TA) required.

10.421 Indian Tribes and Tribal Corporation Loans

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Farm and Rural Development Act. Agriculture Act of 1961, Title III, Public Law 87-128,

OBJECTIVES:

To assist Indian tribes become owners of additional property within the reservation to advance and increase current operations, provide financial prospects for Native American Communities, increase agricultural productivity, and save farmland for future generations. FSA serves as a valuable resource to extend credit to Indian tribes or tribal corporations that do not qualify for standard commercial loans.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Loan funds may only be used to: I. Acquire land and interests therein (including fractional interests, rights-of-way, water rights, easements, and other appurtenances (excluding improvements) that would normally pass with the land or are necessary for the proposed operation of the land) located within the Native American tribes reservation which will be used for the benefit of the tribe or its members. II. Pay costs incidental to land acquisition, including but not limited to, title clearance, legal services, land surveys, and loan closing. III. Refinance non-United States Department of Agriculture preexisting debts the applicant incurred to purchase the land provided the following conditions exist: (1) Prior to the acquisition of such land, the applicant filed a loan application regarding the purchase of such land and received the Agency's approval for the land purchase; (2) The applicant could not acquire an option on such land; (3) The debt for such land is a short term debt with a balloon payment that cannot be paid by the applicant and that cannot be extended or modified to enable the applicant to satisfy the obligation; and (4) The purchase of such land is consistent with all other applicable requirements of this part. IV. Pay for the costs of any appraisal conducted pursuant to this part.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants must meet the requirements addressed: I. Submit a completed Agency application form, FSA-2620; II. Obtain an option or other acceptable purchase agreement for land to be purchased with loan funds; III. Be a Native American tribe or tribal corporation of a Native American tribe without adequate uncommitted funds based on Generally Accepted Accounting Principals, or another financial accounting method acceptable to the Secretary of Interior to acquire lands or interests therein within the Native American tribes reservation for the use of the Native American tribe or tribal corporation or the members of either; IV. Be unable to obtain sufficient credit elsewhere at reasonable rates and terms V. Demonstrate

reasonable prospects of success in the proposed operation of the land to be purchased with funds provided under this part by providing: - a feasibility plan for the use of the Native American tribes land and other enterprises and funds from any other source from which payment will be made; - a satisfactory management and repayment plan; and - a satisfactory record for paying obligations. VI. Unless waived by the FSA Administrator, not have any outstanding debt with any Federal Agency which is in a delinquent status; VII. Not be subject to a judgement lien against the tribes property arising out of a debt to the United States; VIII. Have not received a write-down as provided in within the preceding 5 years

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; American Indian; Rural; Native American tribe or tribal corporation established pursuant to the Indian Reorganization Act seeking a loan; Native American tribe is: (1) An Indian tribe recognized by the Department of the Interior; or (2) A community in Alaska incorporated by the Department of the Interior pursuant to the Indian Reorganization Act.

Credentials/Documentation:

Credentials provided by the Secretary of the Interior. Feasibility documentation must be supplied by the applicant. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No loan will be made until all Federal and state statutory and regulatory environmental requirements have been complied.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Form FSA 770-2620 will be submitted to the State FSA offices. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

If a loan is approved, FSA will notify the applicant in writing of: the approved use of loan funds the interest rate the term of the loan the collateral that will be required to pledge to secure the loan when the money is expected to be available any pre-loan closing requirements Responsibilities as an FSA direct loan borrower. The applicant will need to sign and return the letter accepting the loan conditions. Loan closing will be scheduled as soon as possible after loan approval. Normally, loan funds are provided within 15 days of approval. FSA receives funding for loans and guarantees on a fiscal year basis, and the demand for some loan types may exceed the level of funding received. When this occurs, a loan can be approved subject to the availability of funding, but it cannot be closed until additional funding is received.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Within 60 calendar days after receiving a complete loan application, the Agency will complete the processing of the Direct loan request and notify the applicant of the decision reached, and the reason for any disapproval.

Appeals:

Other. FLP applicants and borrowers have the right to request reconsideration, file appeals, and enter alternate dispute resolutions about adverse decisions. If a loan is not approved, FSA will provide written notification of opportunities to request: Reconsideration Applicants are provided with the opportunity to meet with the FSA loan officer to present additional information and explain why you believe FSAs decision may be in error; Mediation A process for resolution of a disagreement. A trained neutral mediator assists two or more parties who disagree by looking at the issues, considering all available options, and attempting to agree on an acceptable solution; Appeal to the Department of Agriculture National Appeal Division (NAD), an independent organization within USDA, where you may present evidence which demonstrates why you believe that FSAs decision may be wrong.

Renewals:

Other. Applicants may reapply for an FSA loan at any time. Applicants who were given a denial of a loan request will be advised of potential actions or alternatives that might resolve or help resolve the issues that resulted in the denial of the loan

ASSISTANCE LISTINGS DESCRIPTIONS

request. Applicants previously given a loan denial must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Each loan will be scheduled for repayment over a period not to exceed 40 years from the date of the note. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Applicants must maintain accurate financial and production records during the period of the loan.

Account Identification:

12-4212-0-3-351. 12-1140-0-1-351.

Obligations:

Direct Loans FY 20 \$10,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

No Current Data Available.

TAFS Codes:

12-1140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 769.770; Farm Service Agency Fact Sheets; FSA Handbook 6-FLP & 1-APP; All information is available on FSA website at: www.fsa.usda.gov.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

James Jackson,
1400 Independence Ave SW,
Washington DC 20250
Email: james.jackson@usda.gov
Phone: (202) 692-4940
Fax:

Website Address:

<http://fsa.usda.gov/FSA/webapp?area=home&subject=fmlp&topic=landing>

RELATED PROGRAMS:

15.124 Indian Loans_Economic Development;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.427 Rural Rental Assistance Payments

(Rental Assistance).

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Housing Act of 1949, as amended, Section 521, Public Laws 90-448 and 93-128,

42 U.S.C. 1490a.,

OBJECTIVES:

To reduce the tenant contribution paid by low-income families occupying eligible Rural Rental Housing (RRH), Rural Cooperative Housing (RCH), and Farm Labor Housing (LH) projects financed by the Rural Housing Service (RHS) through its Sections 515, 514 and 516 loans and grants.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Rental assistance may be used to reduce the rents paid by low-income senior citizens or families and domestic farm laborers and families whose rents exceed 30 percent of an adjusted annual income. Tenants who may be eligible must occupy units in eligible RRH, RCH and LH projects financed by RHS.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Individual/Family; To be eligible to participate in the rental assistance program, borrowers must have an eligible project. All projects must convert to Interest Credit Plan II before they are eligible, except direct RRH and insured RRH loans approved prior to August 1, 1968, and LH loans and grants. For a borrower to have an eligible project, the loan must be an RRH insured or direct loan made to a broad-based nonprofit organization, or State or local agency or; an RRH insured loan made to an individual or organization who has or will agree to operate the housing on a limited profit basis as defined in 7 CFR 3560.254 (a) or; an RCH insured or direct loan or; an LH loan, or an LH loan and grant combination made to a broad-based nonprofit organization or nonprofit organization of farm workers or a State or local public agency. New construction and/or rehabilitation projects, utilizing the Section 8 program from HUD will not be considered eligible projects, although it may be used for eligible families in existing projects utilizing Section 8 for part of the units.

Beneficiary Eligibility:

Individual/Family; Senior Citizen (60+); Low Income; Rural; Any very low and low-income family, handicapped or senior citizen that is unable to pay the approved rental rate for an eligible RHS rental assistance unit within 30 percent of their adjusted monthly income. Households eligible for rental assistance are those 1) whose net tenant contribution to rent, determined in accordance with 3560.203 (a)(2), is less than the basic rent for the unit; 2) who meet the occupancy rules established by the borrower in accordance with 3560.155 (e); and 3) who have a signed, unexpired tenant certification form on file with the borrower. Additional eligibility requirements must be met for Section 514 Farm Labor Housing Program occupancy.

Credentials/Documentation:

The applicant must be the owner or plan to become an owner of an eligible RHS RRH, RCH, or LH project operating on a limited profit or nonprofit basis. This program is excluded from coverage under 7 CFR 3015 or 3016. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible borrowers will initiate the processing by submitting Form RD 3560-25, "Request for Rental Assistance". Applications shall then be reviewed under the procedure set forth in 7 CFR 3560.255. This program is excluded from coverage under 7 CFR 3015 or 3016.

Award Procedure:

After the application has been reviewed by the Rural Development Manager, it is given final approval by the State Director. The State Director will determine the number of rental assistance units to be given from the total of units that were allocated to the State. Projects may be eligible for rental assistance for up to 100 percent of the number of units in the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. From 30 to 90 days from the time Form RD 3560-25, "Request for Rental Assistance" is filed.

Appeals:

Other. Applicant may request reconsideration on the basis of pertinent facts concerning the application under the provisions of RD Instruction 1900-B.

Renewals:

Other. Rental assistance contracts for new as well as existing projects may be renewed at the end of the original contract subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Rental assistance contracts shall be funded subject to the availability of funds and appropriation language. Method of awarding/releasing assistance: other

Reports:

The borrower must initially submit Form RD 3560-8, "Tenant Certification," for each tenant. Subsequent tenant certifications must be obtained annually and submitted to the Rural Development Manager. Quarterly progress reports are to be made to the Rural Development Manager during the first year of successful operation and each quarter thereafter until discontinued by the Rural Development Manager. An annual report will also be necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Borrowers not covered by OMB Circular No. A-133, are required to provide annual financial reports in accordance with 7 CFR 3560.308.

Records:

Adequate operating records must be maintained by borrower.

Account Identification:

12-2002-0-1-604. 12-0137-0-1-604.

Obligations:

Direct Payments for Specified Use FY 20 \$1,375,000,000.00; FY 21 est \$1,410,000,000.00; FY 22 est \$1,450,000,000.00; Direct Payments for Specified Use FY 20 Not Available; FY 21 est \$100,000,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

From 30 to 90 days from the time Form RD 3560-25, "Request for Rental Assistance" is filed.

TAFS Codes:

12-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3560, Direct Multi-Family Housing Loans and Grants.

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Multi-Family Housing Asset Management Division, Rural Housing Service,

U.S. Department of Agriculture 1400 Independence Ave SW,
Washington DC 20250

Email: Jennifer.Larson@usda.gov

Phone: 202-720-1615

Fax:

Website Address:

<http://www.rd.usda.gov/>

RELATED PROGRAMS:

10.415 Rural Rental Housing Loans; 10.405 Farm Labor Housing Loans and Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.433 Rural Housing Preservation Grants

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Housing Act of 1949, as amended, Section 533, Public Law 98-181, 42 U.S.C. 1480.

OBJECTIVES:

To assist very low- and low-income rural residents individual homeowners, rental property owners (single/multi-unit) or by providing the consumer cooperative housing projects (co-ops) the necessary assistance to repair or rehabilitate their dwellings. These objectives will be accomplished through the establishment of repair/rehabilitation, projects run by eligible applicants. This program is intended to make use of and leverage any other available housing programs which provide resources to very low and low-income rural residents to bring their dwellings up to development standards.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Organizations may use less than 20 percent of the Housing Preservation Grant funds for program administration purposes, such as to hire the personnel to carry out a project of housing rehabilitation to meet the needs of very low and low-income persons in rural areas; to pay necessary and reasonable office and administrative expenses; and to pay reasonable fees for training of organization personnel. Eighty percent or more of funds must be used for loans, grants or other assistance on individual homes, homeowners, rental properties or co-ops to pay any part of the cost for repair or rehabilitation of structures; funds may not be used to hire personnel to perform construction or to pay any debts, expenses or costs other than previously outlined and approved in the project application.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Must be a State or political subdivision, public nonprofit corporation, Indian tribal corporations, authorized to receive and administer housing preservation grants, private nonprofit corporation, or a consortium of such eligible entities. Applicants must provide assistance under this program to persons residing in open country and communities with a population of 10,000 that are rural in character and places with a population of up to 20,000 under certain conditions. Applicants in towns with population of 10,000 to 20,000 should check with local Rural Development office to determine if the Agency can serve them. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, and the territories and possessions of the United States.

Beneficiary Eligibility:

Individual/Family; Homeowner; Low Income; Rural; Very low and low-income rural individuals and families who are homeowners and need resources to bring their housing up to code standards, rental property owners, or co-ops.

Credentials/Documentation:

Applicants must have the financial, legal, administrative, and operational capacity to carry out the objectives of the program by having experience in rural housing rehabilitation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

<https://www.rd.usda.gov/programs-services/housing-preservation-grants>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Section 533 program is awarded through a Notice of Solicitation of Applications (NOSA) announced in the Federal Register.

Award Procedure:

Award is made by the Rural Development State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notice of action taken on preapplications will be generally within 60 days of final date of acceptance of preapplication.

Appeals:

Other. Applicants may request reconsideration on the basis of pertinent facts concerning their application within 30 days of notification of action taken on the preapplication or application.

Renewals:

Not Applicable. Applicants may apply for an additional HPG grant when they have achieved or nearly achieved the goals established for the previous or existing grant. The grantee must file a preapplication for the current fiscal year which will be processed and compared under the project selection criteria to others submitted at that time.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR 1940-L Methodology and Formulas for Allocation of Loan and Grant Funds, Chapter , Part , Subpart , Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are made for a 12-month period. Agency consent is required for grants that exceed 1 year. Method of awarding/releasing assistance: other

Reports:

Quarterly financial and project performance reports are to be made to the Rural Development Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Grantees shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-1953-0-1-604.

Obligations:

Project Grants FY 20 \$15,000,000.00; FY 21 est \$15,150,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

For fiscal year 2019, approximately 130 plus grants were awarded to provide assistance to 2,200 plus units.

TAFS Codes:

12-1953.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1944-N, Section 1944.651 through 1944.700, RD Instruction 1944-N. Regulations are available from Rural Development State offices.

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://www.rd.usda.gov/contact-us/state-offices> for a State Office listing.

Headquarters Office:

Production and Preservation Division,
U.S. Department of Agriculture 1400 Independence Avenue, S.W. Mail Stop 0782,
Washington DC 20250-0788

Email: bonnie.edwards@usda.gov

Phone: (202) 690-0759

Website Address:

<https://www.rd.usda.gov/programs-services/housing-preservation-grants>

RELATED PROGRAMS:

14.108 Rehabilitation Mortgage Insurance; 10.410 Very Low to Moderate Income Housing Loans; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects must provide a feasible repair rehabilitation program and serve areas with a concentration of substandard housing and very low and low-income persons. In addition, the following criteria will be considered in the selection of grant recipients. Each preapplication and its accompanying statement of activities will be evaluated on: (1) The percentage of very low-income persons assisted; (2) the percentage of use of HPG funds to total cost of housing preservation; (3) the applicant's administrative capacity and experience in (i) housing rehabilitation or weatherization, (ii) assisting very low and low-income persons attain housing assistance and (iii) prior programs no outstanding audits findings; (4) the proposed program will be undertaken in non-Metropolitan Statistical Areas identified by Rural Development as having populations below 10,000 or in remote parts of other rural areas, (i.e., rural areas contained in Metropolitan Statistical Areas with less than 5,000 population); (5) the program will minimize the use of grant funds for administrative purposes, i.e., less than 20 percent of grant funds; (6) the program will alleviate overcrowding in rural residences inhabited by very low and low-income families; and (7) if an existing grantee has met the objectives of its current grant.

10.435 State Mediation Grants

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Agricultural Credit Act of 1987, Title V, 7 CFR, Part 785, Section , Public Law

OBJECTIVES:

To assist States in establishing and administering mediation programs and services for agricultural producers, creditors of producers, (as applicable) and persons involved in an issue for which mediation services are provided by a State-Certified mediation program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants will be used only to pay the allowable costs of operation and administration of the components of a qualifying States mediation program. Costs of services other than mediation services to covered persons within the State are not considered part of the cost of operation and administration of the mediation

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program.

Applicant Eligibility:

State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); The Governor, or head of a State agency designated by the Governor, must certify in writing to the FSA Administrator that the States mediation program meets the program requirements.

Beneficiary Eligibility:

State; Agricultural producers, creditors of producers (as applicable) and persons directly affected by actions of the USDA, and any other persons involved in an issue for which mediation services are provided by a State-Certified mediation program.

Credentials/Documentation:

A State mediation program must be certified by the Administrator of FSA. The program must provide evidence to justify the estimated costs of operating and administering the States mediation program. The Governor of a State or head of an entity designated by the Governor of a State must make a written request to FSA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. To be a qualifying State as of the beginning of a fiscal year and to be eligible for grant funding as of the beginning of the fiscal year, the Governor of a State or head of a State agency designated by the Governor of a State must submit for certification and application for a grant on or before August 1 of the calendar year in which the fiscal year begins. FSA will accept requests for re-certification and for new certifications of State mediation programs after August 1 in each calendar year; however, such request will not be considered for grant funding until after March 1.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application procedures for this program can be found in 785.3 and 785.4. The standard application forms are available on <https://www.grants.gov/web/grants/forms.html>

Award Procedure:

FSA will consider the demand and use of mediation services (historical and projected); scope of services; service record of the State program, as evidenced by, number of inquiries, requests for and use of mediation services, number resulting in a signed agreement, timeliness, activities promoting awareness, historic use of program funds and material changes in the State program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Thirty days from receipt of a complete application package.

Appeals:

Other. The Administrator is authorized to withdraw certification of a State mediation program, terminate or suspend the grant to such program, require a return of unspent grant funds, a reimbursement of grant funds on account of expenditures that are not allowed, and may impose any other penalties or sanctions authorized by law.

Renewals:

Other. If a State is a qualifying State at the time its request is made, the written request need only describe the changes made in the program since the previous years request, together with such documents and information as necessary concerning such changes, and a written certification that the remaining elements of the program will continue as described in the previous request.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. A grant award shall not exceed 70 percent of the budgeted allowable costs of operation and administration of the certified State mediation program. In no case will the sum granted to a State exceed \$500,000 per fiscal year.MOE requirements

are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant will be awarded on an annual basis and will run concurrently with the Federal Governments fiscal year. Grant funds will be paid in advance, in installments throughout the Federal fiscal year as requested by a State certified mediation program and approved by FSA. Payment of grant funds will be by electronic funds transfer to the designated account of each certified State program. Method of awarding/releasing assistance: other

Reports:

No later than 30 days following the end of a fiscal year during which a qualifying State received a grant award, the State must submit to the Administrator an annual report on its certified State mediation program. A narrative review of the estimated average costs of mediation services per client with estimates furnished in terms of the allowable costs set forth in 785.4(b)(1); estimated savings to the State as a result of having the State mediation program and projected costs of avoided USDA administrative appeals. A narrative review of the goals and accomplishments of the certified State mediation program midway through the fiscal year.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The regulations in 2 CFR 200.333 through 200.337 provide general record retention and access requirements for records pertaining to grants. In addition, the State must maintain and provide the Government access to pertinent records regarding services delivered by the certified State mediation program for purposes of evaluation, audit and monitoring of the certified State mediation program.

Account Identification:

12-0170-0-1-351.

Obligations:

Project Grants FY 20 \$5,545,000.00; FY 21 est \$6,914,000.00; FY 22 est \$6,914,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-0170.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 785, published on September 10, 2002, unless otherwise noted.

Regional or Local Office:

See Regional Assistance Locations. Program is administered through the U.S. Department of Agriculture, Farm Service Agency (FSA) Farm Loan Programs

Headquarters Office:

Fernando Pineiro,
1400 Independence Avenue. SW Stop 0523,
Washington DC 202500523
Email: fernando.pineiro@usda.gov
Phone: 202-720-2558

Website Address:

<https://www.fsa.usda.gov/Assets/USDA-FSA-Public/usdfiles/FactSheets/agricultural-mediation-program-factsheets.pdf>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Certification by the Administrator, FSA, that mediation program meets the requirements of Section 501 (c) of Title V of the Agricultural Credit Act (Public Law 100-233) as amended; application meets requirements of 7 CFR 785.4. of the FSA regulations to be implemented.

ASSISTANCE LISTINGS DESCRIPTIONS

10.438 Section 538 Rural Rental Housing

Guaranteed Loans

Rural Rental Housing Guaranteed Loans,

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Housing Act of 1949, as amended, Section 538, Public Law 106-569, 42 U.S.C. 1490p-2.,

OBJECTIVES:

This program has been designed to increase the supply of affordable multifamily housing in rural areas through partnerships between Rural Development and major lending sources, as well as State and local housing finance agencies and bond insurers. The program provides Federal credit enhancement to encourage private and public lenders to make new loans for affordable rental properties that meet program standards.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

The guarantee will encourage the construction of new or rehabilitation of existing rural rental housing and appropriate related facilities. Housing as a general rule will consist of projects with five or more dwellings.

Applicant Eligibility:

Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); The applicant in this program is the lender. The lender must be approved and currently active with Fannie Mae, Freddie Mac, HUD/FHA insurance programs, Ginnie Mae or be a State or local Housing Finance Agency. A member of the Federal Home Loan Bank System or other lender may be able to participate if they can demonstrate satisfactory experience with multifamily lending.

Beneficiary Eligibility:

Moderate Income; Low Income; Rural; Occupants must be families or persons with income not in excess of 115 percent of the Median Income at the time of initial occupancy.

Credentials/Documentation:

Eligible borrowers include: individual partnerships; profit and not-for-profit corporations; limited liability companies; trusts; State and local agencies; and Indian Tribes. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The lender originates the loan and performs the necessary underwriting and provides the documentation required by the RHS and request for guarantee to the RHS for consideration.

Award Procedure:

Rural Development will review all the applications for compliance with the program requirements and issue the Conditional Commitment of Guarantee to the lender with conditions. Once the conditions are met the final Contract Guarantee will be issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Decisions on applications will normally be rendered within 60-120 calendar days of receipt of a complete application.

Appeals:

Other. Applicants may appeal adverse decisions in accordance with 7 CFR Part 11, National Appeals Division.

Renewals:

Other. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Annual borrower financial statements are required to be provided to the Agency by the lender.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 As required by the lender and program regulations.

Records:

Good business records and any records required by the lender. These records must be kept for a minimum of seven years after the loan's maturity date.

Account Identification:

12-0137-0-1-604.

Obligations:

Guaranteed/Insured Loans FY 20 \$230,000,000.00; FY 21 est \$230,000,000.00; FY 22 est \$230,000,000.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

12-4216. 12-2081.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Final Rule, 7 CFR 3565, became effective January 19, 2005. Also available in the Guaranteed Rural Rental Housing Program Origination and Servicing Handbook.

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development or visit the website <https://www.sc.egov.usda.gov/data/MFH.html> for an Office listing.

Headquarters Office:

Director Production and Preservation Division,
USDA Rural Development 1400 Independence Avenue SW Room 5017,
Washington DC 20250
Email: daniel.rogers2@usda.gov

Phone: (202) 400-0127

Fax:

Website Address:

<https://www.rd.usda.gov/programs-services/multi-family-housing-loan-guarantees>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Provided in the Notice of Funding Availability (NOFA) or Notice of Solicitation of Applications (NOSA)

ASSISTANCE LISTINGS DESCRIPTIONS

10.443 Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers

USDA 2501 Grant Program,

FEDERAL AGENCY:

USDA, Office of Partnerships and Public Engagement, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title Food, Agriculture, Conservation, and Trade Act of 1990, Section 2501, Public Law 115-334, 7 USC Code 2279. The Agricultural Act of 2014 further expanded the program to include outreach and technical assistance to veterans., Title , , Title Consolidated Appropriations Act 2021, Section 754, Title Coronavirus Response and Relief Supplemental Appropriations Act,

OBJECTIVES:

The overall goal of the 2501 Program is to encourage and assist socially disadvantaged farmers and ranchers, veteran farmers and ranchers, and beginning farmers and ranchers with owning and operating farms and ranches and in participating equitably in the full range of agricultural, forestry, and related programs offered by USDA. In partnership with the OPPE, eligible entities may compete for funding on projects that provide education and training in agriculture, agribusiness, forestry, agriculturally related services, and USDA programs, and to conduct outreach initiatives designed to accomplish those goals. This partnership includes working closely with OPPE, attend OPPE-led events in your proposed service territory, and collaborate with your State Food and Agriculture Council (SFAC). The SFAC consists of leadership in each state of the following agencies: Farm Service Agency, Natural Resources Conservation Service, and Rural Development.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

2501 organizations extend OPPE outreach efforts to connect with and assist socially disadvantaged and veteran farmers and ranchers. They play a critical role in identifying and addressing the factors and challenges experienced by socially disadvantaged groups while also providing training, education, and technical expertise. These organizations help to ensure socially disadvantaged groups and veterans are able to equitably participate in the full range of USDA programs in an effort to help improve their ability to start and maintain successful agricultural businesses.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Organizations that may apply: Any community-based organization, network, or coalition of community-based organizations with documented evidence of working with and on behalf of socially disadvantaged and veteran farmers and ranchers during the 3-year period preceding this application cycle and does not or has not engaged in activities prohibited under Section 501(c)(3) of the Internal Revenue Code of 1986; an 1890 or 1994 institution of higher education (as defined in 7 U.S.C. 7601); a Hispanic-Serving Institution of higher education (as defined in 7 U.S.C. 3103); American Indian tribal community colleges and universities and Alaska Native cooperatives colleges; other institutions of higher education with documented experience in providing agricultural education or services to socially disadvantaged or veteran farmers; Indian tribes or national tribal organizations with documented experience in providing agricultural education or services to socially disadvantaged or veteran farmers; organizations or institutions that received funding under 7 U.S.C. 2279(a) with respect to projects that the Secretary considers similar to projects previously carried out by the organization or institution under this subsection.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations; Farmer/Rancher/Agriculture Producer; Minority group; Black; American Indian; Spanish Origin; Asian; Refugee/Alien; Veteran/Service person/Reservist (including dependents; A farmer or rancher who is a member of one or more of the following groups whose members have been subjected to racial or ethnic prejudice because of their identity as members of a group without regard to their individual qualities: African-Americans, American Indians, Alaskan Natives, Hispanics, Asians, and Pacific Islanders. Beneficiaries also include military veterans. The Secretary of Agriculture will determine on a case-by-case basis whether additional groups qualify under this definition.

Credentials/Documentation:

Funds will be awarded to eligible entities that have documented knowledge of and experience with USDA programs and experience in providing agricultural education or other agriculturally related services to socially disadvantaged farmers and ranchers or veteran farmers and ranchers during the 3-year period preceding the submission of an application. The Secretary shall give priority to nongovernmental and community-based organizations with demonstrated history of serving socially disadvantaged and veteran farmers and ranchers. An applicant MUST be an entity or organization. Individuals and for-profit organizations do not meet the eligibility criteria. Community-based organizations and non-profit organizations should provide a current certification of non-profit status in accordance with section 501(c)(3) of the Internal Revenue Code of 1986 and have dated Articles of Incorporation for at least 3 years prior to application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Eligible entities whose proposals meet the threshold criteria stated in the Funding Opportunity Announcement will be reviewed. Proposals will be reviewed and evaluated by a Peer Review Panel which rates the qualification of applicants and the merit of proposals. Funding decisions will be based on the Panel's ranking scores of each proposal. Final funding decisions will be made by the designated approving official.

Deadlines:

Please review the Notice of Funding Opportunity (NOFO) for application submission deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Proposals must be received by the deadline indicated on the Funding Opportunity Announcement posted on www.grants.gov. Proposals received after the posted deadline will not be considered for funding.

Appeals:

Other. Grant-making decisions are not appealable.

Renewals:

From 30 to 60 days. For renewals, a new Notice of Funding Opportunity will be posted on grants.gov. Extensions must be requested at least 45 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

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this assistance listing.

Length and Time Phasing of Assistance:

Per the Funding Opportunity Announcement posted on Grants.gov, grants are awarded for a period of three years. Method of awarding/releasing assistance: other

Reports:

In accordance with 2 CFR 200.301, OPPE must require the use of OMB-approved standard information collections in relating financial data to performance accomplishments of the Federal award when providing financial and performance information. Progress and Financial Reports must be submitted within 30 days after the end of each quarter, including the fourth quarter, and any subsequent quarters should a no-cost-extension be approved. Final Progress and Financial Reports which cover the entire period of performance must also be submitted within 90 days after the period of performance end date. N/A Please see the Funding Opportunity Announcement for Progress Reporting due dates or frequency. Please see the Funding Opportunity Announcement for SF-425 Federal Financial Reports (FFRs) due dates or frequency. Monitoring of performance will be conducted through use of quarterly Progress and Financial Reports and may also include audits and on-site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR 200.333, Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-9913-0-1-999.

Obligations:

Project Grants FY 20 \$15,000,000.00; FY 21 est \$34,400,000.00; FY 22 est \$28,750,000.00;

Range and Average of Financial Assistance:

Up to \$750,000 per award over a 3-year period. Maximum funding amount each year is \$250,000.

TAFS Codes:

12-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 U.S.C. 7601; 7 U.S.C. 3103; 7 U.S.C. 2279, 7CFR 2500 and 2 CFR part 200 Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards

Regional or Local Office:

None.

Headquarters Office:

Program Director Kenya Nicholas,
Office of Partnerships and Public Engagement 1400 Independence Avenue SW,
Stop 0601,
Washington DC 20250-9600
Email: 2501Grants@usda.gov
Phone: 202-720-6350

Website Address:

<https://www.usda.gov/partnerships>

RELATED PROGRAMS:

10.464 Socially Disadvantaged Farmers and Ranchers Policy Research Center;

EXAMPLES OF FUNDED PROJECTS:

2020 Please see our website for examples of projects funded in previous years:
<https://www.usda.gov/partnerships/socially-disadvantaged-farmers-and-ranchers>

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Office of Partnerships and Public Engagement (OPPE), in consultation with collaborating agencies and organizations. Contact OPPE for additional information. Please review the Funding Opportunity Announcement on www.grants.gov for more explicit information on selection criteria.

10.446 Rural Community Development Initiative

RCDI,

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title Further Consolidated Appropriations Act, 2020, Public Law 116-94,

OBJECTIVES:

To develop the capacity and ability of private, nonprofit community-based housing and community development organizations and low income rural communities to improve housing, community and economic development projects, and community facilities in rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Rural Community Development Initiative grants may be used for but are not limited to (a) training sub-grantees to conduct a program on home-ownership education; (b) training sub-grantees to conduct a program for minority business entrepreneurs; (c) providing technical assistance to sub-grantees on how to effectively prepare a strategic plan; (d) provide technical assistance to sub-grantees on how to access alternative funding sources; (e) building organizational capacity through board training; (f) developing training tools, such as videos, workbooks, and reference guides to be used by the sub-grantee; (g) providing technical assistance and training on how to develop successful child care facilities; and (h) providing training on effective fundraising techniques.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Rural Community Development Initiative grants may be made to a legally qualified private or public (including tribal) organization that provides technical assistance to nonprofit community-based housing and community development organizations, and low income rural communities. The grantee must provide a program of technical assistance to the recipient entity. The grantee must have been legally organized for a minimum of three years prior experience working with nonprofit organizations or low-income rural communities in the areas of housing, community facilities, or community and economic development. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Rural; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Recipient entities must be legally organized private, nonprofit community-based housing and community development organizations, low income rural communities, and Federally

ASSISTANCE LISTINGS DESCRIPTIONS

recognized Indian Tribes.

Credentials/Documentation:

Applicants must possess the legal, financial, administrative, and operational capacity relative to the activity for which assistance is requested. Applicants must also possess the necessary background and experience with proven ability to perform responsibly in the areas of housing, community facilities, or community and economic development, and display the ability to work within established guidelines. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures will be announced in the Federal Register and on the Grants.gov web site. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Award is made by the Administrator, Housing and Community Facilities Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As required, 90 days to 120 days.

Appeals:

Other. If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of the decision from the National Appeals Division, USDA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The grantee must provide matching funds at least equal to the amount of the grant in the form of cash or committed funding. (No in-kind contributions). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Proposals must be structured to utilize the Rural Community Development Initiative grant funds within 3 years from the execution of the Rural Community Development Initiative grant agreement. Method of awarding/releasing assistance: other

Reports:

Grantee submits report detailing expenditures and progress as required by the grant agreement. The grantee will perform self-evaluations by preparing quarterly financial and project performance reports in accordance with the grant agreement for RCIDI.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The borrower must maintain adequate records and accounts related to the technical assistance performed.

Account Identification:

12-4215-0-3-371. 12-2081-0-1-371.

Obligations:

Project Grants FY 20 \$6,504,338.00; FY 21 est \$6,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

FY 2020 grant range: \$50,000 to \$250,000. Average: \$197,101

TAFS Codes:

12-1951.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations 7 CFR 3015, 7 CFR 3016, 7 CFR 3019, 7 CFR 3052; H.R. 5426 Guidelines announced in NOFA, published in the Federal Register.

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory for Rural Development State Office numbers. If a listing is not available, contact the appropriate Rural Development State Office found on the internet at <http://www.rurdev.usda.gov>.

Headquarters Office:

Community Programs Specialist Shirley Stevenson,
1400 Independence Ave, SW,
Washington DC 20250
Email: shirley.stevenson@usda.gov
Phone: 202-205-9685
Fax: 844-496-7779

Website Address:

<https://www.rd.usda.gov/programs-services/rural-community-development-initiative-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are received are competitively scored and the highest scoring are awarded grants until appropriated funds are exhausted. Factors considered for project selection include but are not limited to median household income, rural population, and points for evaluation criteria published in the notice of funding availability (NOFA).

10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR)

(Restructuring Program),

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514/516 and 515, and The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2008 (Public Law 110-161),

OBJECTIVES:

To preserve and revitalize existing rural rental housing and farm labor housing projects financed by RHS under Section 515 and Sections 514/516 of the Housing Act of 1949 and to ensure that sufficient resources are available in order to continue to provide safe and affordable housing for very low- and low-income residents.

TYPES OF ASSISTANCE:

Direct Loans, Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Funds will be used to meet the physical needs of rental and farm labor housing properties financed under Section 515 and Sections 514/516 of the Housing Act of 1949. Related soft costs are also eligible. Owners or buyers are required to agree to a Restrictive Use Covenant for 20 years or the remaining term of any loans or the remaining term of any existing restrictive-use provisions whichever ends later. This ensures the property will be used for low-income housing as defined by the Housing Act of 1949.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

Anyone/general public; Owners or buyers of financially viable Section 515 financed rental or Section 514/516 labor housing properties.

Beneficiary Eligibility:

Low Income; Rural; Low-income rural residents needing safe, decent, and sanitary rental housing are eligible.

Credentials/Documentation:

Applicants must provide a Capital Needs Assessment (CNA) to identify the physical needs of the property as well as the estimated cost to make the needed repairs over a 20-year period. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Multi-Family Housing Revitalization Demonstration Program (MPR) Pre-application is available electronically at <http://www.rd.usda.gov/programs-services/housing-preservation-revitalization-demonstration-loans-grants> or through contacting the RD State Office in the state where the project is located. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The MPR program is awarded through a Notice of Solicitation of Applications (NOSA) announced in the Federal Register.

Award Procedure:

Pre-applications will be scored on the following factors: (1) Contribution of funds from other sources; (2) Owner contribution sufficient to pay transaction costs; (3) Age of Project; (4) Transfer and revitalization of troubled projects; (5) Prior Agency approved CNAs; (6) Energy Conservation, Energy Generation, and Green Property Management; (7) New tenant services to be provided by a non-profit organization at no cost to the project and that are available to all tenants; (8) Consolidation of project Operations; (9) Proposed Sale to Non-profit/Public Housing Authority for properties sold to non-profit organizations under the prepayment process, as explained in 7 CFR Part 3560, subpart N.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Within 45 days from Pre-application submission, pre-applications will be scored and ranked, eligibility will be confirmed, and applicants will be notified of selection for participation and requested to submit full applications.

Appeals:

Other. Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Other. Non selected applicants may reapply under the future Notice of Solicitation of Applications (NOSA).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Debt deferral is the lesser of the remaining term of the existing loan or 20 years. A balloon payment of accrued principal and interest will be due at the end of the deferral period. A revitalization grant for non-profit applicants/borrowers only is limited to the cost of correcting health and safety violations as identified by a CNA. A revitalization zero percent loan will be amortized over 30 years. A soft-second loan with a one percent interest rate will have its interest and principal deferred, to a balloon payment, due at the time the latest maturing Section 514 or 515 loan becomes due. An additional 30-year Section 515 loan at an effective one percent interest rate amortized over a period not to exceed 50 years. An additional 33-year Section 514 loan at an effective one percent interest rate amortized over a period not to exceed 33 years. An additional Section 516 grant not to exceed the

lesser of 90 percent of the total development cost, or that portion of the total development cost which exceeds the sum of any amount provided by the applicant from their own resources plus the amount of any Section 514 loans approved for the applicant. Method of awarding/releasing assistance: other

Reports:

Tracking and monitoring reports are required for Rural Rental and Farm Labor Housing. Since this is a Demonstration Program, procedures for tracking and monitoring expenditures are under development

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Quarterly or annual financial statements completed using agreed-upon procedures and performance standards described in the RHS Multi-Family Housing Audit Program.

Records:

Business records must be retained.

Account Identification:

12-2081-0-1-371.

Obligations:

Direct Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available; Direct Payments for Specified Use FY 20 \$27,000,000.00; FY 21 est \$28,000,000.00; FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The underwriting guidelines include, but are not limited to, the following: The maximum soft-second loan will be limited to no more than \$5,000 per unit; revitalization grants limited to \$5,000 per unit; total assistance provided from a revitalization grant, revitalization zero percent loan, and/or a soft-second loan is limited to \$10,000 per unit; and the maximum Section 515 loan or Section 514/516 loan and grant is limited to no more than \$20,000 per unit.

TAFS Codes:

12-2002.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Refer to the NOSA which lists contacts at Headquarters and consult your local telephone directory for Rural Development numbers or visit the website <https://www.sc.egov.usda.gov/data/MFH.html>.

Headquarters Office:

Dan Rogers,
1400 Independence Ave SW, Room 5017,
Washington DC 20250
Email: daniel.rogers2@usda.gov
Phone: 202-400-1027
Fax:

Website Address:

<http://www.rd.usda.gov>

RELATED PROGRAMS:

10.415 Rural Rental Housing Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected based on eligibility and ranking and scoring criteria listed in the NOSA.

ASSISTANCE LISTINGS DESCRIPTIONS

10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program

Rural Development Voucher Demonstration Program,

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2012 (Public Law 112-55) for the Rural Development Voucher Program as authorized under Section 542 of the Housing Act of 1949, as amended, 42 U.S.C. 1471 et. seq. (without regard to Section 542(b)).

OBJECTIVES:

The Rural Housing Voucher Demonstration program is designed to provide rental housing assistance vouchers to tenants in properties that prepay their Rural Development mortgage. The amount of this subsidy is the difference between the comparable market rent and the amount of rent being paid by the tenant when prepayment occurs. Prepaying properties may be located anywhere in the 50 states, Puerto Rico, the U.S. Virgin Islands and Guam.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The monthly housing assistance payment for the Rural Development Voucher Program is the difference between the comparable market rent for the family's former Section 515 unit and the tenant's rent contribution on the date of the prepayment.

Applicant Eligibility:

Individual/Family; Applicants must (a) be residing in the Section 515 project on the date of the prepayment of the Section 515 loan or upon foreclosure by Rural Development; (b) the date of the prepayment or foreclosure must be after September 30, 2005; (c) as required by 42 U.S.C. 1436a the tenant must be a citizen, U.S. non-citizen national or qualified alien and will so provide proof of citizenship.

Beneficiary Eligibility:

Individual/Family; U.S. Citizen; Refugee/Alien; Low Income; Rural; Applicants must be citizens, U.S. non-citizen nationals or qualified aliens and have an adjusted household income at or below 80 percent of area median income as determined annually by the U.S. Department of Housing and Urban Development (HUD) to be eligible for a rural housing voucher.

Credentials/Documentation:

The applicant must furnish factual evidence that their adjusted household's income is at or below 80 percent of area median income as determined annually by HUD and furnish proof of citizenship to be eligible, or to continue to be eligible, for a rural housing voucher. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

During the prepayment or foreclosure process, Rural Development will evaluate every tenant family to determine if it is low-income. If Rural Development determines a family is low-income, after the foreclosure or prepayment, Rural Development will send the primary tenant a letter offering the family a voucher.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. The voucher is renewable, annually, subject to the availability of appropriations to the USDA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

On an annual basis. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

12-2002-0-1-604.

Obligations:

Direct Payments for Specified Use FY 20 \$32,000,000.00; FY 21 est \$40,000,000.00; FY 22 est \$45,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-2002.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Director, Multi-Family Asset Management Division, Rural Housing Service, USDA, Rural Development, 1400 Independence Avenue, S.W.,

Washington DC 20250

Email: Jennifer.Larson@usda.gov

Phone: 202-720-1615

Fax:

Website Address:

<http://www.rd.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.449 Boll Weevil Eradication Loan Program

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 104-180, 7 U.S.C. 1989.,

OBJECTIVES:

To assist producers and state government agencies in the eradication of boll weevils from cotton producing areas and ensuring the pest is not re-introduced.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Loan funds may be used for any purpose directly related to boll weevil eradication activities, including, but not limited to: I.Purchase or lease of supplies and equipment; II.Operating expenses, including but not limited to, travel and office operations; III.Salaries and benefits. Loan funds may not be used to pay expenses incurred for lobbying, public relations, or related activities, or to pay interest on loans from the Agency.

Applicant Eligibility:

Sponsored organization; An eligible applicant must: (1) Meet all requirements prescribed by APHIS to qualify for cost-share grant funds as determined by APHIS, (FSA will accept the determination by APHIS as to an organization's qualification); (2) Have the appropriate charter and/or legal authority as a non-profit corporation or as a State organization specifically organized to operate the boll weevil eradication program in any State, biological, or geographic region of any State in which it operates; (3) Possess the legal authority to enter into contracts, including debt instruments; (4) Operate in an area in which producers have approved a referendum authorizing producer assessments and in which an active eradication or post-eradication program is underway or scheduled to begin no later than the fiscal year following the fiscal year in which the application is submitted; (5) Have the legal authority to pledge producer assessments as security for loans from FSA. Individual producers are not eligible for loans.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; State; Local; Other public institution/organization; The beneficiaries of this program include the local boll weevil organization and agricultural community as well as local, State, and national governments.

Credentials/Documentation:

All applicants must be nonprofit entities, authorized to operate under the appropriate State law and for the specific purpose of eradicating boll weevils from the nation's agricultural community. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No loan will be made until all Federal and state statutory and regulatory environmental requirements have been complied with.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Complete applications will be accepted by the National Office accompanied by documentation showing the entity's authority to borrow and the operation's ability to make the scheduled loan payment(s).

Award Procedure:

Loan requests will be processed based on the date FSA receives the application. Loan approval is subject to the availability of funds. However, when multiple applications are received on the same date and available funds will not cover all applications received, applications from active eradication areas, which FSA determines to be most critical for the accomplishment of program objectives, will be funded first.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Within 60 calendar days after receiving a complete loan application, the Agency will complete the processing of the Direct loan request and notify the applicant of the decision reached, and the reason for any disapproval.

Appeals:

Other. FLP applicants and borrowers have the right to request reconsideration, file appeals, and enter into alternate dispute resolutions about adverse decisions. If a loan is not approved, FSA will provide written notification of opportunities to request:ReconsiderationApplicants are provided with the opportunity to meet with the FSA loan officer to present additional information and explain why you believe FSAs decision may be in error;MediationA process for resolution of a disagreement. A trained neutral mediator assists two or more parties who disagree by looking at the issues, considering all available options, and attempting to agree on an acceptable solution;Appeal to the Department of Agriculture National Appeal Division (NAD), an independent organization within USDA, where you

may present evidence which demonstrates why you believe that FSAs decision may be wrong.

Renewals:

Other. Applicants may reapply for an FSA loan at any time. Applicants who were given a denial of a loan request will be advised of potential actions or alternatives that might resolve or help resolve the issues that resulted in the denial of the loan request. Applicants previously given a loan denial must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The loan term will be based upon the needs of the applicant to accomplish the objectives of the loan program as determined by FSA, but may not exceed 10 years. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audited financial statements and profit and loss statements will be annually submitted for review and analysis.

Records:

Applicants must maintain accurate financial and production records during the period of the loan.

Account Identification:

12-1140-0-1-351. 12-4212-0-3-351.

Obligations:

Direct Loans FY 20 \$60,000,000.00; FY 21 est \$60,000,000.00; FY 22 est \$60,000,000.00;

Range and Average of Financial Assistance:

No Data Available

TAFS Codes:

12-1140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 771; Farm Loan Program Fact Sheets; FSA Handbook 1-BWEP & 1-APP;All information is available on FSA website at: www.fsa.usda.gov.

Regional or Local Office:

See Regional Assistance Locations. Consult the appropriate FSA State office where the property is located. For a list of FSA State offices with telephone numbers and addresses, visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Director James Jackson,
1400 Independence Avenue, SW,
Washington DC 20250
Email: James.Jackson@usda.gov
Phone: (202)692-4940
Fax:

Website Address:

<http://fsa.usda.gov/FSA/webapp?area=home&subject=fmlp&topic=landing>

RELATED PROGRAMS:

10.025 Plant and Animal Disease, Pest Control, and Animal Care;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

10.450 Crop Insurance

Crop Insurance,

FEDERAL AGENCY:

RISK MANAGEMENT AGENCY, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Federal Crop Insurance Act, as amended, 7 U.S.C. 1501-1520, Agricultural Adjustment Act of 1938, 7 (U.S.C. 1501; Federal Crop Insurance Act of 1980, as amended, Public Law 101-624; Federal Crop Insurance Reform Act of 1994, Public Law 103-354; Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127; Agricultural Research, Extension, and Education Reform Act of 1998, Public Law 105-185; Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 1999, Public Law 109-97; Agriculture Risk Protection Act of 2000, Public Law 106-224; Food, Conservation and Energy Act of 2008, Public Law 110-234; and Agricultural Act of 2014, Public Law No. 113-79., , Agriculture Improvement Act of 2018, Title , Section , Public Law 115-334

OBJECTIVES:

Risk Management Agency's (RMA) mission is serving America's agricultural producers through effective, market-based risk management tools and solutions to strengthen the economic stability of agricultural producers and rural communities. To carry out this mission, RMA operates and manages the Federal Crop Insurance Corporation (FCIC). FCIC provides crop insurance and risk management strategies to American producers. Private sector insurance providers, approved by FCIC, sell and service the policies. RMA develops and/or approves premium rates, administers the premium and expense subsidies, approves and supports products, and reinsures the Approved Insurance Providers (AIPs). In addition, RMA sponsors educational programs on risk management and undertakes compliance activities to ensure program soundness.

TYPES OF ASSISTANCE:

Cooperative Agreements, Insurance

USES AND USE RESTRICTIONS:

The Federal Crop Insurance Corporation (FCIC) is a wholly owned government corporation created February 16, 1938 (7 U.S.C. 1501.) The program was amended by Public Law (P.L.) 96-365, dated September 26, 1980, to provide for nationwide expansion of a comprehensive crop insurance plan. FCIC is administered by the Risk Management Agency (RMA), and promotes the national welfare by improving the economic stability of agriculture through a secure system of crop insurance. FCIC provides an actuarially sound risk management program that protects against agricultural production losses due to unavoidable causes such as drought, excessive moisture, hail, wind, hurricane, tornado, lightning, and insects. In addition to these causes, revenue insurance programs are available under which producers of certain crops are protected against loss of revenue stemming from low prices, poor yields, or a combination of both. Federal crop insurance is available to producers through private insurance companies that market and service policies and also share in the risk. Thus, the program delivery is a joint effort between the Federal government and the private insurance industry. Federal government subsidizes insurance premiums at a variety of levels depending on level of insurance coverage. The Federal government also engages in agreements with private entities to aid in compliance activities to ensure program integrity.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Unless otherwise restricted by the insurance policy, owners or operators of farmland, who have an insurable interest in a crop in a county where insurance is offered on that crop, are eligible for insurance.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Land/Property Owner; This program is excluded from coverage under OMB Circular No. A-87.

Credentials/Documentation:

Different types of crop production information is required depending on the crop insurance purchased. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application for crop insurance offered by a company reinsured by FCIC must be filed with a crop insurance sales agent. Both catastrophic and additional coverage are available only from private companies. In general, crops and acreage must be reported to establish insurance coverage for crop insurance and, if not eligible for crop insurance. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The insurance contract becomes effective upon issuance of a Notice of Acceptance by the insurance company. Notices of Acceptance for insurance coverage are issued upon a determination that the applicant is eligible.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 15 to 20 days.

Appeals:

Other. Appeals should be addressed within 30 days to the National Appeals Division, U.S. Department of Agriculture, Washington, DC 20250.

Renewals:

Other. Continuous insurance contract.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Private Industry Crop Insurance Acreage Report; Actual Production History Yield Report; and in the event of a loss, Notice of Damage, Production Worksheet, and proof of loss.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Recipients are subject to audit by the RMA internal compliance function, private insurance company auditors, Office of the Inspector General, USDA, and the General Accounting Office.

Records:

Insured must keep for 3 years, after the end of the crop year, records of harvesting, shipments, sale or other disposition of all insured crops produced on each unit covered by the contract and separate records for any uninsured acreage of the insured crops.

Account Identification:

12-4085-0-3-351.

Obligations:

Insurance FY 20 (\$2,075,967,296.00); FY 21 est (\$1,548,967,296.00); FY 22 est (\$1,346,967,296.00); Insurance FY 20 \$1,295,065,408.00; FY 21 est (\$944,901,888.00); FY 22 est \$2,083,065,408.00; Cooperative Agreements FY 20 \$5,900,000.00; FY 21 est \$6,400,000.00; FY 22 est \$6,400,000.00;

Range and Average of Financial Assistance:

Level of assistance varies according to policy, crop and indemnities paid.

TAFS Codes:

12-4085.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 400 and a brochure "Introduction to Risk Management"- available at no charge. <http://www.rma.usda.gov/>

Regional or Local Office:

See Regional Assistance Locations. Interested producers should contact their Regional Office listed in Appendix IV of the Catalog, or a private industry crop insurance agent. <https://www.rma.usda.gov/en/RMALocal/Field-Offices/Regional->

ASSISTANCE LISTINGS DESCRIPTIONS

Offices

Headquarters Office:

David Zanoni, Senior Underwriter,
PO Box 419205, Stop 0801,
Kansas City MO 64141-6205
Email: David.Zanoni@usda.gov
Phone: 816-926-6142
Fax:

Website Address:

<http://www.rma.usda.gov>

RELATED PROGRAMS:

10.404 Emergency Loans; 10.451 Noninsured Assistance; 97.022 Flood Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.451 Noninsured Crop Disaster Assistance Program

(NAP),

FEDERAL AGENCY:

FARM SERVICE AGENCY, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, , Public Law 104-127

OBJECTIVES:

To provide financial assistance to producers of non-insurable crops when low yields, loss of inventory, or prevented planting occur due to natural disasters.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Individual/Family; Eligible crops include commercial crops and other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for wood, paper, or pulp products) that are produced for food or fiber and specifically includes: Floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) Who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose average adjusted gross income (AGI) is in accord with 7 CFR Part 1400.NAP provides catastrophic level coverage based on the amount of loss that exceeds 50 percent of expected production at 55 percent of the average market price for the crop. Additional coverage levels are available ranging from 50 to 65 percent of production, in 5 percent increments, at 100 percent of the average market price. Additional coverage must be elected by a producer by the application closing date. Producers who elect additional coverage must pay a premium in addition to the service fee. Crops intended for grazing are not eligible for additional coverage.

Beneficiary Eligibility:

Local; Farmer/Rancher/Agriculture Producer; Applicant Eligibility: Eligible crops include commercial crops and other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for lumber or paper products) that are produced for food, fiber, or bioenergy conversion and specifically includes: floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose

average AGI is in accord with 7 CFR Part 1400.NAP provides catastrophic level coverage based on the amount of loss that exceeds 50 percent of expected production at 55 percent of the average market price for the crop. Additional coverage levels are available ranging from 50 to 65 percent of production, in 5 percent increments, at 100 percent of the average market price. Additional coverage must be elected by a producer by the application closing date. Producers who elect additional coverage must pay a premium in addition to the service fee. Crops intended for grazing are not eligible for additional coverage.

Credentials/Documentation:

Applicants must provide to the local administrative FSA office, annual certifications of acreage and production and acceptable records of production. Applicants must provide evidence as necessary for a proper determination of their eligibility. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must file an application for coverage in the local administrative FSA office. An applicable service fee must accompany the application. Coverage is effective the later of: (1) 1 day after the application for coverage is filed; (2) date the crop is planted for yield-based crops or the beginning of the crop year for all other eligible crops. Other documentation required to fulfill the application requirements include: (1) A report of acreage, facility, etc.; (2) a notice of loss when the eligible crop is prevented from being planted as a result of eligible natural disaster or the eligible planted crop or commodity suffers loss due to eligible natural disaster; (3) if the crop is harvested, a certification of production or inventory, and in the crop year for which an application for payment is filed, acceptable evidence of production or inventory, (4) if the crop will not be harvested, a request to the local county FSA office for appraisal; and (5) a certification of compliance with the average AGI limitation.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The county committee will normally make a decision regarding the application for payment within 60 calendar days after all required information and documentation is received.

Appeals:

Other. Applicants may request reconsideration of a decision by the decision authority or appeal such decisions to a higher authority including the county and State FSA committees and the National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is normally provided in a single payment following approval of the application for payment. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

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Applicants must retain financial books and records that permit verification of all transactions for at least 3 years following the end of the calendar year in which an application for coverage is filed.

Account Identification:

12-4336-0-3-999.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$128,775,000.00; FY 21 est \$165,000,000.00; FY 22 est \$165,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is administered according to 7 CFR part 1437 and the NAP Basic Provisions. Procedural handbooks, NAP Basic Provisions, and published regulations are available in county FSA offices.

Regional or Local Office:

See Regional Assistance Locations. Consult the local telephone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office.

Headquarters Office:

Tona Huggins, Branch Chief,
USDA, Deputy Administrator for Farm Programs, Safety Net Division, Program Policy Branch,
Washington DC 20250
Email: Tona.Huggins@usda.gov
Phone: (202) 205-9847
Fax:

Website Address:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/noninsured-crop-disaster-assistance/index>

RELATED PROGRAMS:

10.404 Emergency Loans; 10.054 Emergency Conservation Program; 10.450 Crop Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.460 Risk Management Education Partnerships

FEDERAL AGENCY:

RISK MANAGEMENT AGENCY, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Federal Crop Insurance Act (FCIA), 7 U.S.C. 1522(d)(3)(F).

OBJECTIVES:

To deliver training and information as well as outreach activities in the management of production, marketing, financial, legal and human risk to the U.S. agricultural producers with a priority to producers of crops not insurable with Federal crop insurance, specialty crops, and underserved commodities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Entities receiving funding will use funds to (a) plan a local program of risk management education and outreach activities for agricultural producers, (b) develop and assemble instructional materials, (c) promote awareness for risk management and education opportunities, (d) deliver education activities to agricultural producers and agribusiness professional, (e) document project

activities, (f) report activities and spending as directed by RMA, and (g) measure the results of the training and/or activities. Applicants may propose additional uses of funds that meet the objective and direction of the project. Indirect costs allowed for projects submitted under this program will be limited to ten percent of total direct costs of the cooperative agreement. Funds may not be used to (a) plan, repair, rehabilitate, acquire, or construct a building or facility including a processing facility, (b) purchase, rent, or install fixed equipment, (c) repair or maintain privately owned vehicles, (d) pay for the preparation of the cooperative agreement application, (e) fund political activities, (f) purchase alcohol, give-away promotional items, or entertainment, (g) lend money to support farming or agricultural business operation or expansion, (h) pay entrance fees, conference registration fees, or other expenses to conferences or similar activities for any person not on the agenda or not working at an event booth promoting RMA programs or the RMA funded project. These expenses will not be paid for the recipients Board of Directors, family members or similar entities, (i) pay costs associated 501(c) applications, and (j) Fund any activities prohibited in 2 CFR Parts 200 and 400, as applicable.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include State departments of agriculture, universities, non-profit agricultural organization and other public or private organizations with the capacity to lead a local program of risk management education for farmers and ranchers in an RMA Region. Individuals are not eligible applicants. Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other factors may exclude an applicant from receiving Federal assistance under this program (e.g. debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant, or partnership; a determination of a violation of applicable ethical standards.

Beneficiary Eligibility:

Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; The ultimate beneficiaries of this education program are agricultural producers with a priority of producers of crops not insurable by Federal crop insurance, specialty crops, and undeserved commodities. Applicants receiving awards will ensure that such producers receive effective risk management education, information and outreach activities that can impact the risk management decision making.

Credentials/Documentation:

Applicants must demonstrate the capacity to deliver crop insurance education and information programs to producers in the written narratives and statements of work that accompany applications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applicants must submit applications in compliance with the criteria outlined in the Request for Applications (RFA) by the deadline specified in the Notice. Applications must be submitted electronically. Hard copy applications are not acceptable. Program application materials may be downloaded from the RMA website at www.rma.usda.gov.

Award Procedure:

Each application will be evaluated using a two-part process. First, each application will be screened by RMA personnel to ensure that it meets the requirements listed in the Request for Applications announcement. Second, a review panel will consider the merits of all applications that meet the requirements in the announcement. The panel will examine and score applications based on the evaluation criteria contained in the Request for Applications. Other review panel

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information is provided in the Request for Applications announcement. Following its review, the panel will report to the Manager of the Federal Crop Insurance Corporation (FCIC) with a listing of the recommended applicants to receive cooperative agreements. The Manager of FCIC will make the final determination on applications that will be awarded funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines and other key dates are listed in the Request for Applications. Applicants may contact headquarters or a regional office if they have additional questions.

Appeals:

Not Applicable. No provision is provided in this program for an appeal.

Renewals:

Not Applicable. The project period is one year; there are no renewals under this program.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding will normally be available for projects that will begin in September and will continue for up to eighteen months. Method of releasing federal funds is through the submission and certifying of the SF-270 on an as needed basis. See the following for information on how assistance is awarded/released: The Recipient submits an SF-270 with backup documentation to request funds on an as needed basis. Before a request is approved it is reviewed and certified by the Grants and Agreement Specialist. Method of awarding/releasing assistance: other

Reports:

Program reports and updates are submitted quarterly. Program and Federal Financial Reports are required to be submitted quarterly. When requests for reimbursements are submitted the Recipients also provides backup documentation for the expenses. A quarterly federal financial report (SF-425) is also submitted documenting expenses to date. 10 Regional Offices and RMED DC Office provide substantial involvement on these projects.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Recipients will maintain (at its place of business) all records required by the agreement and the respective OMB circulars.

Account Identification:

12-4085-0-3-351.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$955,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

Fiscal year 2018, RMA awarded approximately 56 cooperative agreements with an average of \$109,051 (the lowest amount being \$16,045 and the highest award at \$290,430).

TAFS Codes:

12-4085.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is announced as a Request for Applications at www.Grants.gov and the RMA web site, along with specific information on obtaining an application package.

Regional or Local Office:

See Regional Assistance Locations. RMA has ten Regional Offices serving potential applicants. A listing may be obtained from the RMA website or RMA Headquarters.

Headquarters Office:

Sean Patrick Director of Public Affairs,
1400 Independence Ave. SW USDA South Building,
Washington DC 20250

Email: Sean.Patrick@usda.gov

Phone: 202-720-0106

Fax:

Website Address:

<http://www.rma.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.464 Socially Disadvantaged Farmers and Ranchers Policy Research Center

Socially Disadvantaged Farmers and Ranchers Policy Research Center,

FEDERAL AGENCY:

USDA, Office of Partnerships and Public Engagement, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

The Agriculture Act of 2018, Title 12, Part Subtitle B, Section 12203, Public Law 115-334, 7 USC Code 2279.

OBJECTIVES:

The Policy Research Center was established to collect, analyze, and evaluate data to develop policy recommendations for the protection and promotion of the interest of socially disadvantaged farmers and ranchers. The Center will serve as a public awareness center that will provide education to the public about the history and condition of socially disadvantaged farmers and ranchers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Policy Research Center specializes in policy research impacting socially disadvantaged farmers and ranchers. Land loss, land retention, and access to local, state, and federal programs, and youth education and nutrition are major areas of research and policy development.

Applicant Eligibility:

Other public institution/organization; Only 1890 Institutions as defined in 7 U.S.C. 7601, including Tuskegee University, may apply and are eligible to receive funds under the Act of August 30, 1890 (& U.S.C. 321 et seq.).

Beneficiary Eligibility:

Minority group; Private nonprofit institution/organization; Native American Organizations; Farmer/Rancher/Agriculture Producer; Small Business Person; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Moderate Income; Low Income; Rural; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Minority Farmers and Ranchers

Credentials/Documentation:

Only 1890 Institutions as defined in 7 U.S.C. 7601, including Tuskegee, University may apply and are eligible to receive funds under the Act of August 30, 1890 (& U.S.C. 321 et seq.). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for

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more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at:<https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by an Independent Review Panel which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Funds will be awarded no later than the last day of each fiscal year.

Appeals:

From 30 to 60 days. Award decisions are not appealable.

Renewals:

Other. For renewals, new Funding Opportunity Notices will be posted on grants.gov. Extensions must be requested at least 45 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The first Policy Research Center grant was awarded in 2015. FY2016 - FY2021 funds were awarded to the successful FY2015 awardee without further competition. Method of awarding/releasing assistance: other

Reports:

Reports must be submitted within 30 days after the end of each quarter, including the fourth quarter, and any subsequent quarters should a no-cost-extension be approved. Final Progress and Financial Reports which covers the entire period of performance must also be submitted within 90 days after the period of performance end date. Federal Financial Reports are required. Please see the NOFO for required Progress Reporting due dates or frequency. Please see the NOFO for required SF-425 Federal Financial Reports (FFRs) due dates or frequency. Monitoring of performance will be conducted through use of quarterly Progress and Financial Reports and may also include audits and on-site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Per 2 CFR 200.501 Audit requirements. Audit required. A non-Federal entity (Federal awardee) that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. Please refer to this section of 2 CFR 200 for more information.

Records:

Per 2 CFR 200.333, Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that

support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-9913-0-1-999.

Obligations:

Project Grants FY 20 \$1,500,000.00; FY 21 est \$1,500,000.00; FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

\$1,500,000

TAFS Codes:

12-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 U.S.C. 7601 & U.S.C. 321 et seq. and 2 CFR part 200 Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards

Regional or Local Office:

None.

Headquarters Office:

Program Manager Kenya Nicholas,
1400 Independence Avenue, SW - Room 520-AMail Stop 0601,
Washington DC 20250

Email: 2501Grants@usda.gov

Phone: 2027206350

Website Address:

<https://www.usda.gov/partnerships/socially-disadvantaged-farmers-and-ranchers>

RELATED PROGRAMS:

10.443 Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Office of Partnerships and Public Engagement (OPPE), in consultation with collaborating agencies and organizations. Contact OPPE for additional information.

10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection

(Meat and Poultry Inspection State Programs),

FEDERAL AGENCY:

FOOD SAFETY AND INSPECTION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Federal Meat Inspection Act, , Public Law 90-201, 21 USC Code 601-624.

Federal-State Cooperative Act (Talmadge-Aiken), , Public Law 87-718, 7 USC Code 450. Agriculture Marketing Act of 1946, , 7 USC Code 1621-1627. Poultry Products Inspection Act, , Public Law 90-492, 21 USC Code 451-471.

OBJECTIVES:

To supply Federal assistance to States desiring to operate a meat and poultry inspection program in order to assure the consumer an adequate supply of safe, wholesome, and properly labeled meat and poultry products.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

To assist States that operate meat and poultry inspection programs which are equal to Federal meat and poultry inspection.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

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hospitals); An appropriate State or U.S. Territory agency administering State or Territorial meat or poultry inspection programs under laws equal to the Federal Meat and Poultry Products Inspection Acts.

Beneficiary Eligibility:

Anyone/general public; General Public.

Credentials/Documentation:

Receipt of State inspection mandatory laws, copies of regulations related to the laws, and State performance plans. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notify the Outreach and Partnership Division, Office of Outreach, Employee Education and Training, Food Safety and Inspection Service, U.S. Department of Agriculture, Patriot Plaza III Building., SW, Mailstop 3778/Room 9-258, 355 E Street, Washington, District of Columbia 20024. Contact: Keith Payne. Telephone: (202) 690-6522. The applicant should express their interest and request copies of the cooperative agreements currently in use by participating States.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded on an annual basis to States. Method of awarding/releasing assistance: letter

Reports:

Applicants will keep a complete accounting of the cost of developing and operating the State inspection program and will submit quarterly statements to the Food Safety and Inspection Service.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. State will arrange for an audit of the records pertaining to these agreements by the State Auditor of Public Accounts, annually or otherwise, as provided by applicable State law or regulations.

Records:

Complete accounting records shall be available to the Comptroller General of the United States and to the authorized representatives of the Secretary of Agriculture.

Account Identification:

12-3700-0-1-554.

Obligations:

Cooperative Agreements FY 20 \$57,447,970.00; FY 21 est \$45,000,000.00; FY 22 est \$45,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3700.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FSIS Directive 5720.2, Revision 3, State Cooperative Inspection Programs.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a list of addresses of the District Offices.

Headquarters Office:

Dean Norman,
355 E. St.Patriot Plaza III BuildingRoom 9-256,
Washington DC 20024
Email: Dean.Norman@fsis.usda.gov
Phone: (202) 418-8897

Website Address:

<http://www.fsis.usda.gov>

RELATED PROGRAMS:

10.477 Meat, Poultry, and Egg Products Inspection;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.477 Meat, Poultry, and Egg Products Inspection

FEDERAL AGENCY:

FOOD SAFETY AND INSPECTION SERVICE, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

Humane Slaughter Act, , Public Law 85-765, 7 USC Code 1901-1906.
Agriculture Marketing Act of 1946, , 7 USC Code 1621-1627. Federal Meat
Inspection Act, , Public Law 90-201, 21 USC Code 601-624. Poultry Products
Inspection Act, , Public Law 90-492, 21 USC Code 451-471. Egg Products
Inspection Act, , Public Law 91-597, 21 USC Code 1031-1056.

OBJECTIVES:

To assure that all meat, poultry and egg products produced in plants and shipped in commerce are federally inspected for safety, wholesomeness, and proper labeling.

TYPES OF ASSISTANCE:

Cooperative Agreements, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Meat, poultry, and egg products plants located within the United States or its Territories engaged in slaughtering or processing meat, poultry, and egg products for shipment in commerce are required to have inspection. All plants located within the U.S. or its territories processing egg products are required to be under continuous USDA inspection. The Department of Agriculture is reimbursed for overtime and holiday inspection services. Inspection not required under law is provided on request for a fee.

Applicant Eligibility:

Individual/Family; Profit organization; Other private institutions/organizations; Any meat or poultry plant planning to engage in slaughtering or processing meat and poultry products, and all egg products processing plants for shipment in commerce. This program is available in the U.S. and its Territories.

Beneficiary Eligibility:

Anyone/general public; General public (meat, poultry, and egg products.)

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

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Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A request for inspection should be submitted to the Meat, Poultry and Egg Products Inspection District Office, or to the Administrator.

Award Procedure:

Certification is made that the applicant meets the regulations on sanitation, and operating standards for the inspection of meat, poultry and egg products plant facilities and equipment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

12-3700-0-1-554.

Obligations:

Cooperative Agreements FY 20 \$1,332,352.00; FY 21 est \$16,500,000.00; FY 22 est \$16,500,000.00;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

12-3700.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

MPI-7, Meat and Poultry Inspection Manual; Sanitation Handbook; 9 CFR 301-592, Inspection Regulations.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a list of addresses of the District Offices.

Headquarters Office:

Assistant Administrator William C. Smith,
344-E Jamie Whitten Building,
Washington DC 20250-3700
Email: William.Smith@fsis.usda.gov
Phone: (202) 720-8803

Website Address:

<http://www.fsis.usda.gov>

RELATED PROGRAMS:

10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.479 Food Safety Cooperative Agreements

FEDERAL AGENCY:

FOOD SAFETY AND INSPECTION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Public Law 108-7, Sec. 713, 117 Stat. 39,

OBJECTIVES:

To reduce the incidence of foodborne illnesses associated with meat, poultry, and egg products and to protect the food supply. FSIS is authorized to use cooperative agreements to reflect a relationship between FSIS and cooperators to carry out educational programs or special studies to improve the safety of the nation's food supply. Also, FSIS has been directed to further develop the Food Emergency Response Network, a network of Federal, state and local laboratories that provides the nation the analytic capabilities and capacity it needs to cope with agents threatening the food supply.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Available discretionary funds are contingent on annual appropriations. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State, local and tribal government agencies; academic institutions and non-profit organizations.

Beneficiary Eligibility:

Anyone/general public; General public.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Academic institutions; State, local and tribal government agencies; and non-profit organizations are invited to submit brief proposals (two to four pages) for cooperative agreements in any of the areas described in the request for proposals along with an SF-424. These proposals will be reviewed by FSIS. The criteria used by FSIS to assess proposals are listed for each cooperative agreement program area in the request for proposals. Cooperators whose proposals are selected for further project development will need to discuss and reach agreement with FSIS on project details to permit establishment of a cooperative agreement. Unlike typical Federal grants, cooperative agreements involve a Federal agency's active participation with the cooperator during both project development and project execution. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public. All proposals should address the following points: * Project description, including specific goals, timeline, and deliverables; * Description of national public benefit expected, including expected utility of work products, for example, training manuals, CDs, and videos; * Projected costs, including cooperator contributions; * Projected performance measures; * Primary contact, principal investigator, and other likely participants, and; * All work products may be freely reproduced and distributed by FSIS.

Award Procedure:

Cooperative agreement awards are made upon selection through a competitive process directed by the relevant FSIS program area. The program area identifies the awardees to the FSIS Administrative Services Division, which prepares and

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executes required documentation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally from 30 to 90 days from the deadline listed in the request for proposals.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions may be available under extraordinary circumstances if approved prior to the closing date of the initial agreements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, funding will be available for projects up to one year. Multi-year projects will be considered, but they are subject to annual renewal and may be affected by changes in FSIS' annual budget. Method of awarding/releasing assistance: lump sum.

Reports:

The cooperative agreement will specify the submission of performance progress reports. A comprehensive final report is required which documents all activities conducted within the partnership. The cooperative agreement will specify the frequency of submission of performance progress reports, which will not be more frequently than quarterly or less frequently than annually. A comprehensive final report is required which documents all activities conducted within the partnership.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to audit by the Office of the Inspector General, USDA, as set forth in OMB Circular No. A-133, or in Federal Acquisition Regulations.

Records:

The recipient will maintain at its place of business all records required by the partnership agreement and the applicable OMB Circulars.

Account Identification:

12-3700-0-1-554.

Obligations:

Cooperative Agreements FY 20 \$2,950,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3700.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

9 CFR 301-592, Inspection Regulations. Guidelines and literature can be found on FSIS website at www.fsis.usda.gov.

Regional or Local Office:

None.

Headquarters Office:

Dr. Robert Phillips, Senior Microbiologist,
U.S. Department of Agriculture, Food Safety and Inspection Service, FERN
Staff,950 College Station Road,
Athens GA 30605
Email: Robert.Phillips@fsis.usda.gov
Phone: (706) 546-2349.

Website Address:

<http://www.fsis.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used by the application review panel for recommending awards are listed in the request for proposals.

10.494 Multi-Family Housing Non-Profit Transfer Technical Assistance Grants

MFH NPTA Grants,

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

There is hereby appropriated \$1,000,000, to remain available until expended, for a pilot program for the Secretary to provide grants to qualified non-profit organizations and public housing authorities to provide technical assistance, including financial and legal services, to RHS multi-family housing borrowers to facilitate the acquisition of RHS multi-family housing properties in areas where the Secretary determines a risk of loss of affordable housing, by non-profit housing organizations and public housing authorities as authorized by law that commit to keep such properties in the RHS multi-family housing program for a period of time as determined by the Secretary., Title Consolidated Appropriations Act, 2018, Part (H.R. 1625), Section 749

OBJECTIVES:

Provides grants to qualified nonprofits (NP) and public housing authorities (PHA) which will in turn provide technical assistance to RHS MFH borrowers and applicants to facilitate the acquisition of Section 515 properties by NPs and PHAs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Provide technical assistance for the purpose of facilitating the transfer and preservation of existing Rural Rental Housing properties under Section 515 of the Housing Act of 1949, as amended.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Qualified nonprofits (NP) and public housing authorities (PHA)

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Scope of Work This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

RHS will evaluate and score the grant applications

Deadlines:

60 days

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

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Not Applicable.

Renewals:

>180 Days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

60 days Method of awarding/releasing assistance: quarterly

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

12-5631-2-0-120.

Obligations:

Project Grants FY 20 \$1,000,000.00; FY 21 est \$2,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-0012.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. For more information, contact the local Rural Development Specialist by visiting <https://www.sc.egov.usda.gov/data/MFH.html>.

Headquarters Office:

Dan Rogers,
1400 Independence Avenue SW,
Washington DC 20250
Email: dan.rogers2@usda.gov
Phone: 202-400-0127
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

10.415 Rural Rental Housing Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.495 Farm Labor Housing Technical Assistance Grants

MFH FLH TA,

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Authorizes RHS to utilize up to 10 percent of its Section 516 appropriations to engage qualified private and public nonprofit (NP) agencies to provide technical assistance to other qualified organizations to obtain loans and grants for the construction of farm labor housing projects., Title Housing Act of 1949, Section

516(i)

OBJECTIVES:

Provide grant funds to qualified private and public nonprofit (NP) agencies to provide technical assistance to other qualified organizations to obtain loans and grants for the construction of domestic and migrant farm labor housing projects.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Construction of farm labor housing projects.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Qualified private and public nonprofit (NP) agencies

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State; Local; Sponsored organization; A broad-based nonprofit organization. A limited partnership with a nonprofit general partner. A nonprofit organization of farmworkers. A Federally recognized Indian tribe. An agency or political subdivision of State or local government.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. 2 CFR 200 and NOFA

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NOFA Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are made by the Administrator, Rural Housing Service

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. In an application is rejected, the reasons for the rejection are fully stated. The applicant may request a review of the decision from the National Appeals Division, USDA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Three year Grant Agreement and Scope of Work Method of awarding/releasing assistance: quarterly

Reports:

Grantee submits report detailing expenditures and progress as required by the Grant Agreement. The Grantee will perform self-evolutions by preparing quarterly financial and project performance reports in accordance with the Grant Agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

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12-4141-0-3-371. 12-2081-0-4-371. 12-2004-0-1-604.

Obligations:

Project Grants FY 20 Not Available; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1471.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3560 subpart L

Regional or Local Office:

None.

Headquarters Office:

Dan Rogers,
1400 Independence Avenue SW Room 5017,
Washington DC 20250
Email: daniel.rogers2@usda.gov
Phone: 202-400-1027
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.500 Cooperative Extension Service

(CES).

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

SPECIAL NOTES:(1) Numerous Programs are funded under CFDA 10.500. Most of the Cooperative Extension Service (CES) grants were issued under the general authority of the Secretary of Agriculture, pursuant to 7 U.S.C. 343 (d) (2) Listed below are several programs, which are indicative of the types of projects currently funded under CFDA 10.500. However, please be advised that the listing is NOT exhaustive. I. SMITH-LEVER 3(b) & (c):(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Capacity Grants - formerly known as Formula Funds) Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities. (b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) Sections 3(b)(1) and 8 of the Smith-Lever Act provide the basis of funding special needs agricultural extension programs at 1862 Land-grant institutions.(c) Smith-Lever Special Needs Program Section 7129 of the Food, Conservation, and Energy Act of 2008 (FCEA), re-authorizes Section 3(b) & (c) of the Smith-Lever Act, allowing the Secretary of Agriculture, acting through the Director of the National Institute of Food and Agriculture (NIFA), to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and man-made disasters.(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) Funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) Federal funds are available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula. (f) District of Columbia Public Postsecondary Education

Reorganization Act Program (Cooperative Extension Programs) aka EUDC Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that In the administration of the Act of August 30,1890 (7 U.S.C. 321-326, 328) (known as the Second Morrill Act), the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301-305, 307, 308) (known as the First Morrill Act); and the term "State", is used in the laws and provisions of law listed in the preceding, paragraphs of this section shall include the District of Columbia. In the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301- 305, 307,308); and the term "State" as used in such Act of May 8, 1949, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act. In lieu of an authorization of appropriations for the District of Columbia under section 3 of such Act of May 8, 1914, there is authorized to be appropriated such sums as may be necessary to provide cooperative agricultural extension work in the District of Columbia under such Act. Any reference in such Act (other than section 3 thereof) to funds appropriated under such Act shall in the case of the District of Columbia be considered a reference to funds appropriated under this subsection. Therefore, this grant funds the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects. Section 7417 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-234) amended section 208 of the District of Columbia Public Postsecondary Education Reorganization Act to eliminate any matching requirement for the extension formula funds provided to the University of the District of Columbia, effective October 1, 2008. 2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University, West Virginia State University and (newly designated) Central State University (Section 1444) (7 U.S.C. 3221)Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act Of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977, is also known as the Section 1444 Program. This law provides the basis for Federal funding for agricultural extension activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University and Central State University. 3. SMITH-LEVER 3(d): (a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University and West Virginia State University. Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish various goals as indicated in Part I.A. of the Capacity Grant Request for Application (Capacity RFA). (b) Youth at Risk Pertinent details will be provided at a future date.(c) Improve Rural Quality of Life Represents a new program: Pertinent details will be provided at a future date.(d) Farm Safety See 7 U.S.C. 343 (d). Pertinent details will be provided at a future date.(e) New Technologies at Ag Extension See 7 U.S.C. 343 (d). Pertinent details will be provided at a future date.(f) Pest Management Pertinent details will be provided at a future date.(g) Sustainable Agriculture (SARE-PD) Effective FY 2014 this Program was consolidated under Research. See CFDA 10.215 for pertinent details.(h) Federally Recognized Tribes See 7 U.S.C. 343 (d). Pertinent details will be provided at a future date.(i) Youth

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Farm Safety Education & Certification See 7 U.S.C. 343 (d). Pertinent details will be provided at a future date.(j) EIPM Support Pertinent details will be provided at a future date.(k) EIPM Coordination Pertinent details will be provided at a future date.4. OTHER EXTENSION ACTIVITIES: (a) Renewable Resources Extension Act The Renewable Resources Extension Act of 1978 (P.L. 95-306, 92 Stat. 349, 16 U.S.C. 1671 et seq.) provides for an expanded and comprehensive extension program for forest and rangeland renewable resources. The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated. (b) Rural Health and Safety The authority for this program is under Section 502 (i) of Title V of the Rural Development Act of 1972, as amended (7 U.S.C. 2662). The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I. The Rural Health and Safety Education Competitive Program addresses the Individual and Family Health Education component of the authorization.(c) Extension Services at the 1994 Institutions This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 201 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601). This section amends Section 3 of the Act of May 8, 1914 (Smith-Lever Act) (7 U.S.C. 341 et seq.), as amended. Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 institutions) for Extension work and funds are to be distributed on the basis of a competitive application process.(d) Grants to Youth Serving Institutions Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act (FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.(e) Food Animal Residue Avoidance Database Program (FARAD) Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities. (f) Federal Administration DIRECT APPROPRIATION Pertinent details will be provided at a future date.(g) 1890 Facilities (Section 1447) Pursuant to the authority contained in Section 1447 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) [7 U.S.C. 3222b], and reauthorized by Section 7123 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246) grants will be awarded under the Facilities Grants Program to the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. The Omnibus Appropriations Act, 2009 appropriates funds under Division A, Title I.(h) Agriculture Risk Management Education Partnership Grants Program (aka ARPA and RME):Section 133 of the Agricultural Risk Protection Act of 2000 (ARPA), (Pub. L. 106-224), amended the Federal Crop Insurance Act to add section 524(a)(3); [7 U.S.C. Section 1501 as amended by section 132(a) and section 524]; which requires the Secretary, acting through the National Institute of Food and Agriculture (NIFA), to establish a competitive grants program for the purpose of educating agricultural producers about the full range of risk management activities.Section 524(a) of the Federal Crop Insurance Act, 7 U.S.C. 1524(a) was further amended by Section 12026 of the Food, Conservation, and Energy Act of 2008, (FCEA)(Pub. L. 110-246), which requires that the Secretary place special emphasis on risk management strategies, education, and specifically targeted outreach. , 7 USC Code 341-349.

OBJECTIVES:

GENERAL: [As reflected in Part I.B. of the Request for Applications (RFA) or Capacity Requests for Applications (Capacity RFA)]1. Smith-Lever (3b) & (c) Capacity Grants (formerly known as Formula Funds)a) Cooperative Extension Programs at 1862 Land-Grant Institutions (formerly known as 3b & c Formula Funds) These funds are used by institutions eligible to receive funds under the Act of Congress approved July second, eighteen hundred and sixty-two, entitled An Act donating public lands to the several States and Territories which may provide colleges for the benefit of agriculture and the mechanic arts (Twelfth Statutes at Large, page five hundred and three) (1862 Land-grant Institutions), for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-grant Institutions in the several communities, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.The purpose of this funding is to conduct agricultural extension work.(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) The purpose of this funding is to increase the level of agricultural extension work. These funds are used to support extension activities identified in the eligible institutions approved 5-Year Plan of Work. Special Needs funds are allocated to a State Cooperative Extension Service to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.(c) Smith-Lever Special Needs Program Funds support innovative extension education approaches to addressing risks, hazards and disasters. The Cooperative Extension Service (CES) has an important role in reducing the impact of disasters through extension education. The Extension Special Needs program sponsors targeted projects that enable CES to assist in preparing for, providing an educational response to, and recovering from disasters. Education programming funded by this program will help communities, families and individuals become more self-sustaining by strengthening and increasing their resiliency to disaster. Some types of hazards and disaster related events funded in previous years include uncertainties caused by losses of economic infrastructure, severe weather or other natural disasters, security breaches, human disease, or high consequence animal diseases and plant pests.There has been an increase in public awareness regarding the number and severity of disaster type critical incidents involving earthquakes, tsunamis, tornadoes, wildfire, drought, contagious disease, and terrorist events. The National Academy of Sciences Committee on Environment and Natural Resources and the Subcommittee on Disaster Reduction of the National Science and Technology Council have acknowledged the many effective roles that the Cooperative Extension System (CES) has played in disaster preparedness, response and remediation. Within the states and territories, the CES has repeatedly served as the trusted community organization that has helped to enable families, communities, and businesses to successfully prepare for, respond to and cope with disaster losses and critical incidents. Once a disaster has occurred, the local extension outreach includes: 1) Communicating practical science-based risk information, 2) Developing relevant educational experiences and programs, 3) Working with individuals and communities to open new communication channels, and 4) Mitigating losses and facilitating recovery. Never was this more evident than after hurricanes Katrina, Rita and Wilma in 2005 and during the 2008 Midwestern Summer flooding. During and after these incidents, local Extension agents served as a critical communication node throughout affected urban and rural areas, particularly when traditional communication systems were temporarily overwhelmed or destroyed. The Extension Special Needs RFA encourages proposals that specifically address one or more of the following six key target areas: 1. Education and Technical assistance through inter-disciplinary and multi-state disaster training programs and demonstration projects for problem solving, especially those which build upon already existing strengths, contribute to or expand the EDEN and/or eXtension educational materials related to disaster preparation, mitigation, response and recovery; 2. Collaboration with Federal, state and local agencies and other disaster relief organizations to support education and service activities that enhance recovery of impacted rural communities, schools, businesses and agricultural-based activities; 3. Long range family, community and regional planning projects that will enhance implementation of programs that serve public needs in preparation for, during and after emergency situations within impacted States and across impacted regions; 4. Communication delivery of key information that meets end-users needs in a timely fashion with consideration of

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potentially limited communication channels due to disaster situations; 5. Dissemination of credible, science-based information that is reliable and easily accessible even if electronic access is compromised; and 6. Integrated Research and Extension Planning Projects (up to \$15,000) are intended to provide assistance to applicants in bringing together teams for the development of highly competitive grant proposals where extension personnel would apply for large grants (in excess of \$250,000) from other grant programs (e.g., the Agriculture and Food Research Initiative and etc) and lead nationally important disaster prevention, protection and mitigation projects. Fundable projects should support education and extension activities which: 1. Reduce risk through planning, disaster preparedness and emergency response by improving communication between the public, community leaders, state and Federal agencies; 2. Develop strategies and educational materials and/or programming in the area of emergency planning, infrastructure design and disaster recovery operation; 3. Develop community networks that provide real-time disaster education information; and/or 4. Develop or expand educational materials regarding disaster issues suitable for use and distribution by the Extension Disaster Education Network (EDEN) or the eExtension Community of Practice working with disaster planning.(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) Capacity grants (formerly known as "Formula") authorized under the CSRS Retirement Contributions Program. These grants are used to defray the CSRS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work. Fiscal Year 2014 represented the last year that NIFA published a RFA for this program.(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) These grants are used to defray the FERS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work.(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC These funds are used by the University of the District of Columbia for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University, West Virginia State University and (newly designated) Central State University (Section 1444) The purpose of this funding is to support agricultural and forestry extension activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University and Central State University.3. SMITH-LEVER 3(d): (a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) These grants are used to assist all States in carrying out a program of extension activities designed to employ and train professional and paraprofessional aides to engage in direct nutrition education of low-income families and in other appropriate nutrition education programs.(b) Children, Youth, and Families at Risk (CYFAR) Pertinent details will be provided at a future date.(c) Improve Rural Quality of Life Pertinent details will be provided at a future date.(d) Farm Safety Pertinent details will be provided at a future date.(e) New Technologies at Ag Extension Pertinent details will be provided at a future date.(f) Pest Management Pertinent details will be provided at a future date.(g) Sustainable Agriculture (SARE-PD) Effective FY 2014, this Program was consolidated under Research. See CFDA 10.215 for pertinent details.(h) Federally Recognized Tribes Pertinent details will be provided at a future date.(i) Youth Farm Safety Education & Certification Pertinent details will be provided at a future date.(j) EIPM Support Pertinent details will be provided at a future date.(k) EIPM Coordination Pertinent details will be provided at a future date.4. OTHER EXTENSION ACTIVITIES: (a) Renewable Resources Extension Act The purpose of the grant program is to provide funds for projects that: (1) Have national or regional relevancy; (2) Develop new and innovative projects that can be replicated at other institutions; or (3) Develop a strategic framework for the nationwide forest and rangeland resources extension program. NFF priorities are those that have been identified in the FY 2005-2009 RREA Strategic Plan:http://www.nifa.usda.gov/nea/nre/in_focus/forests_if_rea.html.(b) Rural Health and Safety As specified in 7 U.S.C. 2662, grants will be made to establish the Rural Health and Safety Education Competitive Programs carried out by the eligible organizations in rural areas. The assistance provided by these programs, to the extent practicable, shall be coordinated with and delivered in cooperation with similar services or assistance by other Federal Agencies or programs for rural

residents. (c) Extension Services at the 1994 Institutions This program provides funding to (1) increase Extension program capacity at 1994 institutions; and (2) address special needs, take advantage of important opportunities, and/or demonstrate long-term sustained benefits of Extension projects at 1994 institutions. In FY 2009, funded projects will support one or more of the six NIFA Strategic Goals (see Part VIII, E., Definitions) outlined in the NIFA Strategic Plan for FY 2007-2012:1) Enhance international competitiveness of American agriculture;2) Enhance the competitiveness and sustainability of rural and farm economies;3) Support increased economic opportunities and improved quality of life in rural America;4) Enhance protection and safety of the Nation's agriculture and food supply;5) Improve the Nation's nutrition and health; and6) Protect and enhance the Nation's natural resource base and environment.(d) Grants to Youth Serving Institutions As specified in 7 U.S.C. 7630, grants will be made to establish pilot projects to expand the youth development programs carried out by the eligible organizations in rural areas or small towns. Broad Purposes: Support and enhance the goals, objectives, and priorities of the eligible youth organizations; Support programs which address issues and needs of rural youth; Involve youth in design and implementation of their educational activities; Increase knowledge, skills, attitudes and behaviors necessary for rural youth to live productive, contributing, and fulfilling lives; and Increase economic opportunities and sustainability and improve quality of life in rural communities through enhanced human, social, civic, natural, financial, cultural, and built capital.(e) Food Animal Residue Avoidance Database Program (FARAD) The purpose of the FARAD is to provide livestock producers, extension specialists, scientists, and veterinarians with up to date information to prevent drug, pesticide and environmental contaminant residues in food animal products.(f) Federal Administration Pertinent details will be provided at a future date.(g) 1890 Facilities (Section 1447) The 1890 Facilities Grants Program provides funds for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, Tuskegee University, West Virginia State University and (newly designated) Central State University, may participate fully in the production of human capital in the food and agricultural sciences. (h) Agriculture Risk Management Education Partnership Grants Program (aka ARPA & RME & ERME) Pertinent details will be provided at a future date.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Section # 070 USES ONLY (SEE BELOW FOR RESTRICTIONS)SPECIAL NOTES:(1) The majority of Cooperative Extension Service (CES) Programs are issued under the general authority of the Secretary of Agriculture [7 U.S.C. 343 (d)].A synopsis of general uses is provided in the CFDA database.(2) Please refer to the Competitive, Non-Competitive and/or Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.(3) Although the information provided in the CFDA database is not exhaustive, NIFA attempts to also indicate Exceptions to the general uses.GENERAL STATEMENTS REGARDING USES:Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense.NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.DETAILED INFORMATION USES ONLY1. SMITH-LEVER 3(b) & (c):(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (formerly known as 3b & c Formula Funds):Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities.These funds are used by institutions eligible to receive funds under the Act of Congress approved as 1862 Land-grant Institutions, for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-grant Institutions in the several communities, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.(b)

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Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) :These funds are used to support extension activities identified in the eligible institutions approved 5-Year Plan of Work. Special Needs funds are allocated to a State Cooperative Extension Service (CES) to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.Funds are allocated under this program to a State CES to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.(c) Smith-Lever Special Needs Program :There has been an increase in public awareness regarding the number and severity of disaster type critical incidents involving earthquakes, tsunamis, tornadoes, wildfire, drought, contagious disease, and terrorist events.Within the states and territories, the CES has repeatedly served as the trusted community organization that has helped to enable families, communities, and businesses to successfully prepare for, respond to and cope with disaster losses and critical incidents.The Extension Special Needs RFA encourages proposals that specifically address one or more of the key target areas.Fundable projects should support education and extension activities which:1. Reduce risk through planning, disaster preparedness and emergency response by improving communication between the public, community leaders, state and Federal agencies;2. Develop strategies and educational materials and/or programming in the area of emergency planning, infrastructure design and disaster recovery operation;3. Develop community networks that provide real-time disaster education information; and/or4. Develop or expand educational materials regarding disaster issues suitable for use and distribution by the Extension Disaster Education Network (EDEN) or the eExtension Community of Practice working with disaster planning.(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement):This program is no longer funded by NIFA. The deadline for the FY 2015 Request for Applications (RFA) was October 15, 2014.(e) FERS Retirement Contributions Program (aka ERET FERS Retirement):This program is no longer funded by NIFA. The deadline for the FY 2016 RFA was July 20, 2015.(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC:These funds are used by the University of the District of Columbia for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides further pertinent information.There is no matching requirement for the University of the District of Columbia. 2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University, West Virginia State University and Central State University (Section 1444):Funds appropriated under this section shall be used for expenses of conducting extension programs and activities in accordance with 7 U.S.C. 331. No portion of the funds allocated under this grant will be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college course teaching, lectures in college, or any other purpose not specified in NARETPA section 1444.Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution. 3. SMITH-LEVER 3(d):(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education):Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University, West Virginia State University and Central State University.(b) Children, Youth, and Families at Risk (CYFAR)Pertinent details will be provided at a future date.(c) Improve Rural

Quality of LifePertinent details will be provided at a future date.(d) Farm SafetyNOTE: Effective FY 2012, the following programs were consolidated: Youth Farm Safety; Farm Safety and Youth Safety Education and Certification; and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project.Pertinent details will be provided at a future date.(e) New Technologies at Ag Extension)Pertinent details will be provided at a future date.(f) Pest ManagementProgram was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).(g) Sustainable Agriculture (SARE-PD aka Chapter 1)NOTES:(1) Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account.(2) See CFDA Number 10.215 for all pertinent details.(h) Federally Recognized TribesPertinent details will be provided at a future date.(i) Youth Farm Safety Education & CertificationNOTE: Effective FY 2012, the following programs were consolidated: Youth Farm Safety; Farm Safety and Youth Safety Education and Certification; and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project.Pertinent details will be provided at a future date.(j) EIPM SupportProgram was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).(k) EIPM CoordinationProgram was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).4. OTHER EXTENSION ACTIVITIES:(a) Renewable Resources Extension ActRenewable Resources Extension Act-National Focus Fund Projects provide for expanded and comprehensive extension programs for forest and rangeland renewable resources programs at a national, regional, or multi-institutional level.Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated.(b) Rural Health and SafetyThe Rural Health and Safety Education Competitive Grants Program is designed to meet national goals for addressing the health and access needs of rural Americans. Rural Health and Safety Education Programs will focus on issues related to aging in one or more of three areas:1) population aging in rural areas;2) eldercare or caregiving and its impact on rural and farm families; and/or3) related issues of rural health care to provide older individuals and families.(c) Extension Services at the 1994 InstitutionsThe Tribal Colleges Extension Program (TCEP) provides funding for the 1994 Land-Grant Institutions to conduct non-formal education and outreach activities to help meet the needs of the Native American people. Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.(d) Grants to Youth Serving Institutions (aka Rural Youth Development or RYD Grants)This Program has not been funded for several years.(e) Food Animal Residue Avoidance Database Program (FARAD)Pertinent details will be provided at a future date.(f) Federal Administration: DIRECT APPROPRIATION - Extension ActivitiesPertinent details will be provided at a future date.(g) 1890 Facilities (Section 1447)Facilities awards will be made for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Tuskegee University, West Virginia State University and Central State University may participate fully in the production of human capital in the food and agricultural sciences. Priorities for the eligible institutions are set in the facilities plan that is submitted to NIFA for review and approval.Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.(h) Agriculture Risk Management Education Partnerships Grants Program (aka ARPA, ERME & RME):The Secretary, acting through NIFA, is required to establish a competitive grants program for the purpose of educating agricultural producers about the full range of risk management activities. These activities include futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, marketing plans and tactics, farm resources risk reduction, and other appropriate risk management strategies. The Risk Management Education (RME) program brings the existing knowledge base to bear on risk management issues faced by agricultural producers and expands the program throughout the Nation on a regional and multi-regional basis.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes

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institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; SPECIAL NOTE: Please refer to the Competitive, Non-Competitive and/or Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive and/or Capacity RFAs supersede the general information provided in the CFDA database. 1. SMITH-LEVER 3(b) & (c): (a) Cooperative Extension Programs at 1862 Land-Grant Institutions 3b & c Capacity (formerly known as formula Grants: Applications may be submitted by the following 1862 Land-grant Institutions: Auburn University, University of Alaska-Fairbanks, American Samoa Community College, University of Arizona, University of Arkansas, University of California, Colorado State University, University of Connecticut, University of Delaware, University of Florida, University of Georgia, University of Guam, University of Hawaii, University of Idaho, University of Illinois, Purdue University, Iowa State University, Kansas State University, University of Kentucky, Louisiana State University, University of Maine, University of Maryland-College Park, University of Massachusetts, Michigan State University, College of Micronesia, University of Minnesota, Mississippi State University, University of Missouri, Montana State University, University of Nebraska, University of Nevada-Reno, University of New Hampshire, Rutgers University, New Mexico State University, Cornell University, North Carolina State University, North Dakota State University, Northern Marianas College, Ohio State University, Oklahoma State University, Oregon State University, Pennsylvania State University, University of Puerto Rico, University of Rhode Island, Clemson University, South Dakota State University, University of Tennessee, Texas A & M University, Utah State University, University of Vermont, Virginia Polytechnic Institute & State University, University of the Virgin Islands, Washington State University, West Virginia University, University of Wisconsin, and University of Wyoming. Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project. (b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) : Applications may be submitted by the following 1862 Land-grant Institutions: University of Alaska-Fairbanks, University of Arizona, Colorado State University, University of Idaho, Kansas State University, Montana State University, University of Nebraska, University of Nevada-Reno, New Mexico State University, North Dakota State University, Oregon State University, South Dakota State University, Texas A & M University, Utah State University, University of Vermont, and University of Wyoming. Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project. (c) Smith-Lever Special Needs Program Applications may be submitted with the approval of Extension Directors of 1862 Land-grant Institutions in the 50 states, American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the U.S. Virgin Islands. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicant's failure to meet an eligibility criterion by the time of an application deadline will result in NIFA not accepting the application or, even though an application may be reviewed, will preclude NIFA from making an award. (d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement): This program is no longer funded by NIFA. The deadline for the FY 2015 Request for Applications (RFA) was October 15, 2014. (e) FERS Retirement Contributions Program (aka ERET FERS Retirement): This program is no longer funded by NIFA. The deadline for the FY 2016 RFA was July 20, 2015. (f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC The University of the District of Columbia, as the 1862 Land-Grant Institution, is the only applicant eligible for funding under the DCPPERA. The award recipient may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project. 2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444): A. Eligible Applicants: Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University, that conduct agricultural extension activities in accordance with NARETPA section 1444(a)(1): Alabama A&M University; Tuskegee University; University of Arkansas - Pine

Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University. Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution. Institutions may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity. 3. SMITH-LEVER 3(d): (a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education): Applications may be submitted by State colleges and universities in accordance with Section 3(d) of the Smith-Lever Act: Auburn University; Alabama A & M University; Tuskegee University; University of Alaska; American Samoa Community College; University of Arizona; University of Arkansas; University of Arkansas Pine Bluff; University of California; Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A & M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; College of Micronesia; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A & T University; North Dakota State University; Northern Marianas College; Ohio State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A & M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University; Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming. Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project. (b) Children, Youth, and Families at Risk (CYFAR) Pertinent details will be provided at a future date. (c) Improve Rural Quality of Life Pertinent details will be provided at a future date. (d) Farm Safety NOTE: Effective FY 2012, the following programs were consolidated: Youth Farm Safety; Farm Safety and Youth Safety Education and Certification; and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project. Pertinent details will be provided at a future date. (e) New Technologies at Ag Extension Pertinent details will be provided at a future date. (f) Pest Management Pertinent details will be provided at a future date. (g) Sustainable Agriculture (SARE-PD) NOTES: (1) Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account. (2) See CFDA Number 10.215 for all pertinent details. (h) Federally Recognized Tribes Pertinent details will be provided at a future date. (i) Youth Farm Safety Education & Certification NOTE: Effective FY 2012, the following programs were consolidated: Youth Farm Safety; Farm Safety and Youth Safety Education and Certification; and Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project. Pertinent details will be provided at a future date. (j) EIPM Support Pertinent details will be provided at a future date. (k) EIPM Coordination Pertinent details will be provided at a future date. 4. OTHER EXTENSION ACTIVITIES: (a) Renewable Resources Extension Act (RREA) Applications may be submitted by 1862 and 1890 land-grant institutions. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicant's failure to meet an eligibility criterion by the time of an application deadline will preclude NIFA from making an award. (b) Rural Health and Safety (RHSE) Land-grant colleges and universities that are eligible to receive funds under the Act of July 2, 1862 (7 U.S.C. 301 et seq.), and the Act of August 30, 1890 (7 U.S.C. 321 et seq.), including Tuskegee University, West Virginia State University

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and the University of the District of Columbia. Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended. Award recipients may subaward to other organizations provided such organizations are necessary for the conduct of the project. Failure to meet an eligibility criterion by the application deadline will disqualify an applicant from consideration and will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from reviewing the application and making an award. (c) Extension Services at the 1994 Institutions Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of project goals and objectives. An applicant's failure to meet an eligibility criterion by the time of an application deadline will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from making an award. (d) Grants to Youth Serving Institutions (RYD) Pursuant to 7 U.S.C. Section 7630, only the Girl Scouts of the United States of America, the National 4-H Council, the Boy Scouts of America, and the National FFA Organization are eligible to apply. NIFA will accept only one application from each organization. The application must be developed and submitted by the national office of each respective organization. Rural Youth Development awards will be distributed to each of the four (4) eligible organizations that submits an application in accordance with RFA requirements, if the application is found to be worthy of support through the peer review process. The amount awarded to each organization will be determined based on review and recommendations of a peer review panel. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. If an applicant fails to meet an eligibility criterion by the time of the application deadline, the application will be at risk of being excluded from NIFA review and will preclude NIFA from making an award. (e) Food Animal Residue Avoidance Database Program (FARAD) The Secretary shall offer to enter into a contract, grant, or cooperative agreement with 1 or more appropriate colleges and universities to operate the FARAD program. (f) Federal Administration (DIRECT APPROPRIATION) Pertinent details will be provided at a future date. (g) 1890 Facilities (Section 1447) Eligible applicants under this RFA are the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. They are: Alabama A&M University, Tuskegee University, University of Arkansas-Pine Bluff, Delaware State University, Florida A&M University, Fort Valley State University, Kentucky State University, Southern University, University of Maryland-Eastern Shore, Lincoln University, Alcorn State University, North Carolina A&T State University, Langston University, South Carolina State University, Tennessee State University, Prairie View A&M University, Virginia State University, and West Virginia State University. Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution.

Beneficiary Eligibility:

Federal; U.S. Territories; Minority group; Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; State; Public nonprofit institution/organization; Other public institution/organization; Extension Programs at the State and county level are available to the general public.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by

the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity): <https://nifa.usda.gov/program/smith-lever-act-capacity-grant> Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity): <https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf> Smith-Lever Special Needs Competitive Grants Program: <https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant> 1890 LGI's and Tuskegee, West Virginia & Central State: <https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions> Expanded Food and Nutrition Education Program (EFNEP): <https://nifa.usda.gov/resource/fy2017-efnep-rfa> <https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webinars> Farm Safety and Youth Safety Education and Certification <https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program> New Technologies at Ag Extension (NTAE) <https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension> Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA): Sustainable Community Projects (SCP): <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> Military: <https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military> <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance> Professional and Technical Assistance (PDTA): <https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical> Federally-Recognized Tribes Extension Program (aka FRTEP): <https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian> Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE): <https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep> <https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se> Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF): <https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant> <https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rrea-nff> Rural Health and Safety (aka Rural Health & Safety Education): <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse> 1890 Facilities Grant Program (and Renewals): <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals> Food Animal Residue Avoidance Database Program (FARAD) <http://www.farad.org/Agriculture-Risk-Management-Education-Program> (aka RME Program): <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-arme-competitive-grants> Assistive Technology

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Program for Farmers with Disabilities: National AgrAbility
Project:<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>Healthy Homes
Partnership:<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. SPECIAL NOTE: Please refer to the Competitive, Non-Competitive and/or Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive and/or Capacity RFAs supersede the general information provided in the CFDA database. All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. This program is excluded from coverage under E.O. 12372. GENERAL PERTINENT DETAILS: 1. SMITH-LEVER 3(b) & (c): (a) Cooperative Extension Programs at 1862 Land-Grant Institutions [(aka 3b & c Capacity Funds), formerly known as "Formula" funds] Pre-award form submissions must be submitted to the Cooperative Extension Programs at 1862 Land-grant Institutions program as a new application on the www.grants.gov website. As noted in the Capacity Request for Applications (Capacity RFA), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements. Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1. (b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) : Pre-award form submissions must be submitted to Special Needs Program as a new application on the www.grants.gov website. As noted in the Capacity Request for Applications (Capacity RFA), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements. Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1. (c) Smith-Lever Special Needs Program : Pertinent info to be determined at a later date. (d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement): This program is no longer funded by NIFA. The deadline for the FY 2015 Request for Applications (RFA) was October 15, 2014. (e) FERS Retirement Contributions Program (aka ERET FERS Retirement): This program is no longer funded by NIFA. The deadline for the FY 2016 RFA was July 20, 2015. (f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC: Pre-award form submissions must be submitted to the DCPPERA program as a new application on the www.grants.gov website. As noted in the Capacity Request for Applications (Capacity RFA), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements. Section 105 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require an approved Plan of Work for extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. As stated in the Preface to the Federal Register Notice at 71 FR 4107, Although the District of Columbia receives extension funds under the District of Columbia Postsecondary Education Reorganization Act, Public Law 93471, as opposed to the Smith-Lever Act, NIFA has determined that it should be

subject to the POW requirements imposed under these guidelines except where expressly excluded. Therefore, the University of the District of Columbia must submit both a 5-Year Plan of Work Update (i.e., submitted as an annual update) and an Annual Report of Accomplishments and Results. Both reports were due April 1. 2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444): Pre-award form submissions must be submitted to the Section 1444 Program as a new application on the www.grants.gov website. These application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements. Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 land-grant institution, including Tuskegee University and West Virginia State University, must submit both a 5-Year Plan of Work Update and an Annual Report of Accomplishments and Results. Both reports are due April 1. Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution. 3. SMITH-LEVER 3(d): (a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education): No Standard Form (SF) 424-A, Budget Information for Non-Construction Programs, and no Budget Narrative Attachment Form are required for either the initial or the final submissions. However, the EFNEP-specific forms will be required. Pre-award form submissions must be submitted to EFNEP as a new application on the www.grants.gov website. The SF-424M Application Package should include: Application for Federal Assistance (Standard Form (SF) 424M (Mandatory) NIFA Supplemental Information Form Key Contacts Form (b) Youth at Risk (CYFAR): Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (c) Improve Rural Quality of Life Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (d) Farm Safety: NOTE: The following Farm Safety Programs were combined: Farm Safety; Farm Safety and Youth Safety Education and Certification Program; and Assistive Technology Program for Farmers with Disabilities -National AgrAbility Project. Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (e) New Technologies at Ag Extension (NTAE): Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (f) Pest Management: Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (g) Sustainable Agriculture (SARE-PD) NOTE: Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account. See CFDA Number 10.215 for pertinent details. (h) Federally Recognized Tribes: Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (i) Youth Farm Safety Education & Certification: NOTE: The following Farm Safety Programs were combined: Farm Safety; Farm Safety and Youth Safety Education and Certification Program; and Assistive Technology Program for Farmers with Disabilities -National AgrAbility Project. Pertinent details will be provided at a future date. (j) EIPM Support: Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (k) EIPM Coordination: Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. 4. OTHER EXTENSION ACTIVITIES: (a) Renewable Resources Extension Act (RREA): Application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Research, Extension, and Education Project Online Reporting Tool (REEport), and together satisfy all legislative and regulatory pre-award requirements. Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (b) Rural Health and Safety (RHSE): Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (c) Extension Services at the 1994 Institutions: Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (d) Grants to Youth Serving Institutions

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(RYD): Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date.

(e) Food Animal Residue Avoidance Database Program (FARAD): Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (f) Federal Administration (DIRECT APPROPRIATION): Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (g) 1890 Facilities (Section 1447): In accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution. Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. .

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via [Grants.gov](https://www.grants.gov) in response to specific Requests for Applications (RFA). Applicants must complete the [Grants.gov](https://www.grants.gov) registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER)

(Capacity): <https://nifa.usda.gov/program/smith-lever-act-capacity-grant> Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity): <https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf> Smith-Lever Special Needs Competitive Grants Program: <https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant> 1890 LGI's and Tuskegee, West Virginia & Central State: <https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions> Expanded Food and Nutrition Education Program (EFNEP): <https://nifa.usda.gov/resource/fy2017-efnep-rfa> <https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webeers> Farm Safety and Youth Safety Education and Certification <https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program> New Technologies at Ag Extension (NTAE) <https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae> Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA): Sustainable Community Projects (SCP): <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> Military: <https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military> <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance> Professional and Technical Assistance (PDTA): <https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical> Federally-Recognized Tribes Extension Program (aka FRTEP): <https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian> Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE): <https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep> <https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se> Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFE): <https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant> <https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nf> Rural Health and Safety (aka Rural Health &

Safety Education): <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse> 1890 Facilities Grant Program (and Renewals): <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals> Food Animal Residue Avoidance Database Program (FARAD) <http://www.farad.org/Agriculture Risk Management Education Program> (aka RME Program): <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants> Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project: <https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities> Healthy Homes Partnership: <https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity): <https://nifa.usda.gov/program/smith-lever-act-capacity-grant> Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity): <https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf> Smith-Lever Special Needs Competitive Grants Program: <https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant> 1890 LGI's and Tuskegee, West Virginia & Central State: <https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions> Expanded Food and Nutrition Education Program (EFNEP): <https://nifa.usda.gov/resource/fy2017-efnep-rfa> <https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webeers> Farm Safety and Youth Safety Education and Certification <https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program> New Technologies at Ag Extension (NTAE) <https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae> Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA): Sustainable Community Projects (SCP): <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> Military: <https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military> <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership>

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professional-development-and-technical-assistanceProfessional and Technical Assistance (PDTA):<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>Federally-Recognized Tribes Extension Program (aka FRTEP):<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep><https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant><https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff>Rural Health and Safety (aka Rural Health & Safety Education):<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>1890 Facilities Grant Program (and Renewals):<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>Food Animal Residue Avoidance Database Program (FARAD)<http://www.farad.org/Agriculture Risk Management Education Program> (aka RME Program):<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-arme-competitive-grants>Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>Healthy Homes Partnership:<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available as follows: JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER)

(Capacity):<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>Smith-Lever Special Needs Competitive Grants Program:<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant> 1890 LGI's and Tuskegee, West Virginia & Central State:<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>Expanded Food and Nutrition Education Program (EFNEP):<https://nifa.usda.gov/resource/fy2017-efnep-rfa><https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webneers>Farm Safety and Youth Safety Education and Certification:<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program>New Technologies at Ag Extension (NTAE)<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA); Sustainable Community Projects (SCP):<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>Military:<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military><https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership>professional-development-and-technical-assistanceProfessional and Technical Assistance (PDTA):<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>Federally-Recognized Tribes Extension Program (aka FRTEP):<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep><https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-

Recognized Tribes Extension Program (aka FRTEP):<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep><https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant><https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff>Rural Health and Safety (aka Rural Health & Safety Education):<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>1890 Facilities Grant Program (and Renewals):<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>Food Animal Residue Avoidance Database Program (FARAD)<http://www.farad.org/Agriculture Risk Management Education Program> (aka RME Program):<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-arme-competitive-grants>Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>Healthy Homes Partnership:<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Other. Not Applicable. 2 CFR Part 200 Subparts D & E apply to this program.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available as follows: JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity):<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity):<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>Smith-Lever Special Needs Competitive Grants Program:<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant> 1890 LGI's and Tuskegee, West Virginia & Central State:<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>Expanded Food and Nutrition Education Program (EFNEP):<https://nifa.usda.gov/resource/fy2017-efnep-rfa><https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webneers>Farm Safety and Youth Safety Education and Certification:<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program>New Technologies at Ag Extension (NTAE)<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntae>Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA); Sustainable Community Projects (SCP):<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>Military:<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military><https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership>professional-development-and-technical-assistanceProfessional and Technical Assistance (PDTA):<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>Federally-Recognized Tribes Extension Program (aka FRTEP):<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep><https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-

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NFF):<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant><https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-reea-nff>Rural Health and Safety (aka Rural Health & Safety Education):<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>1890 Facilities Grant Program (and Renewals):<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>Food Animal Residue Avoidance Database Program (FARAD)[http://www.farad.org/Agriculture Risk Management Education Program \(aka RME Program\)](http://www.farad.org/Agriculture Risk Management Education Program (aka RME Program)):<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>Healthy Homes Partnership:<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

Formula and Matching Requirements:

Statutory Formula: Title Various, Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. SPECIAL NOTE:Please refer to the Competitive and/or Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive and/or Capacity RFAs supersede the general information provided in the CFDA database.1. SMITH-LEVER 3(b) & (c):(a) Cooperative Extension Programs at 1862 Land-Grant Institutions [(aka 3b & c Capacity Grants (formerly known as "Formula Funds"))]:States are eligible for funds appropriated under this Act according to the following formula: Out of each annual allocation, each State will be entitled to receive a sum of money equal to the sums available from Federal cooperative extension funds for the fiscal year 1962, and subject to the same requirements as to furnishing of equivalent sums by the State, except that amounts heretofore made available to the Secretary for allotment on the basis of special needs shall continue available for use on the same basis. Further, the University of Guam and the University of the Virgin Islands will receive \$100,000 each in addition to the sums appropriated for the several States of the United States and Puerto Rico under the provisions of sections 3(b) and 3(c) of the Smith-Lever Act. Of the remainder so appropriated for each fiscal year 20 per cent will be paid to the several States in equal proportions, 40 per cent will be paid to the several States in the proportion that the rural population of each bears to the total rural population of the several States as determined by the census, and the balance will be paid to the several States in the proportion that the farm population of each bears to the total farm population of the several States as determined by the census. Any appropriation made under sections 3(b) and 3(c) of the Smith-Lever Act will be allotted in the first and succeeding years on the basis of the decennial census current at the time the appropriation is first made, and as to any increase, on the basis of decennial census current at the time such increase is first appropriated.Cost Sharing or MatchingSection 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section..... The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) :Funds will be distributed to the institutions on a quarterly basis. See Appendix A of the Capacity Request for Applications (RFA) for the specific allocation.Cost Sharing or Matching1862 Land-grant Institutions in the 50 States must match 100 percent of the Special Needs funds they receive with

funds from non-Federal sources such as State or county appropriations. The matching must be in the form of cash. Matching funds must be used for extension activities approved in the eligible institutions 5-Year Plan of Work.(c) Smith-Lever Special Needs Program :Cost Sharing or MatchingPursuant to rules and policies governing Section 3(b) & (c) of the Smith-Lever Act, as amended through Public Law 107-293, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work.1. To comply with the matching requirements of the Special Needs Program, applicants are required to provide 100 percent matching funds from non-federal sources for all proposed federal funds sought in the application. In-kind and third party contributions are not allowed for the Smith-Lever Special Needs program. Grant awards cannot be issued until ALL required matching has been documented and verified.2. Matching Alternative. Extension Directors may certify an offset of guaranteed non-Federal funds to your application. The signed certification of offset must be scanned and included as a PDF attachment (see Part IV.,B.,2.,c.,(1) for specific instructions).3. Matching Exception. Insular Areas: In lieu of the matching funds requirement, the insular areas of the Commonwealth of Puerto Rico, Guam, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the grants awarded. The Secretary may waive this matching fund requirement for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year. Insular applicants may submit a waiver request as a PDF attachment to the application (see Part IV.,B.,2.,c.,(2) for specific instructions).For all projects:Matching: Applications shall include written verification of commitments of matching support from non-federal sources. Written verification means:The sources and the amount of all matching support from outside the applicant organization should be summarized on a separate page and placed in the application as a part of the Budget/Justification attachment (see Field K on the Form SF 424 (R&R) Budget). Include the matching amount, the budget category for the match, and detail how the matching support, from each source, will be used (e.g., salary and position supported). Additionally, all pledge agreements must be included as a PDF attachment in Field K as well. The value of applicant contributions to the project shall be established in accordance with the applicable cost principles. Applicants should refer to OMB Circulars A-21, Cost Principles for Educational Institutions; A-87, Cost Principles for State, Local, and Tribal Governments; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A110); for further guidance and other requirements relating to allowable costs.(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement):This program is no longer funded by NIFA. The deadline for the FY 2015 Request for Applications (RFA) was October 15, 2014.(e) FERS Retirement Contributions Program (aka ERET FERS Retirement):This program is no longer funded by NIFA. The deadline for the FY 2016 RFA was July 20, 2015.(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC:There is no matching requirement for these funds. 2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444):The 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, are eligible for funds appropriated under this Act according to the following formula: Any funds annually appropriated under NARETPA section 1444 up to the amount appropriated for the fiscal year ending September 30, 1978, pursuant to section 3(d) of the Act of May 8, 1914, as amended, for eligible institutions, will be allocated among the eligible institutions in the same proportion as funds appropriated under section 3(d) of the Act of May 8, 1914, as amended, for the fiscal year ending September 30, 1978, are allocated among the eligible institutions. Of the funds in excess of that amount, 20 per cent will be allotted among the eligible institutions in equal proportions; 40 per cent will be allotted among the eligible institutions in the proportion that the rural population of the State in which each eligible institution is located bears to the total rural population of all the States in which eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated; and the balance will be allotted amount the eligible institutions in the proportion that the farm population of the State in which each eligible institution is located bears to the total farm population of all the States in which the eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated. For purposes of

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computing the distribution, the allotments to Tuskegee University and Alabama A&M University will be determined as if each institution were in a separate State. Cost Sharing or Matching NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [capacity grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement. 7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution. 7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities. Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution. 3. SMITH-LEVER 3(d):(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education):Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish the following:Notwithstanding section 3(d) of the Smith-Lever Act of May 8, 1914 [7 U.S.C. 343(d)] for administration, technical, and other services for coordinating the extension work of the Department and the several States, Territories, and possessions the remainder shall be allocated among the States as follows:1. 1862 Institutions shall receive a base in an amount equaling their FY 1981 allocation.2. Four percent (4%) shall be available for administrative expenses.3. \$100,000 will be distributed to each 1862 and 1890 Land-Grant Institution including the University of the District of Columbia.4. Effective fiscal year (FY) 2009 10 percent of funds appropriated for EFNEP in excess of funds appropriated will be allocated to the 1890 Institutions in an amount that bearing the same ratio to the population living at or below 125 percent of the poverty level (as prescribed by the Office of Management and Budget and as adjusted pursuant to section 673(2) of the Community Services Block Grant Act (42 U.S.C.9902(2))) in the State where the 1890 Institution is located; bears to the total population living at or below 125 percent of the poverty level in all States where 1890 Institutions are located; as determined by the most recent decennial census at the time when the appropriated amount first exceeds levels appropriated for EFNEP. The FY 2009 rate of 10 percent is to increase by 1 percentage point annually until FY 2014 maxing out at 15 percent and remaining at that level for every year thereafter.5. The remainder will be allocated to each 1862 Land-Grant Institutions in an amount that bears the same ratio to the total amount allocated as the population living at or below 125 percent of the poverty level in the State; bears to the total population living at or below 125 percent of the poverty level in all States; as determined by the most recent decennial census at the time at which each such additional amount is first appropriated. Cost Sharing or Matching There is no cost-sharing or matching requirement for these funds.(b) Children, Youth, and Families at Risk (CYFAR):Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (c) Improve Rural Quality of Life:Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (d) Farm Safety:NOTE: The following Farm Safety Programs were combined: Farm Safety; Farm Safety and Youth Safety Education and Certification Program; and Assistive Technology Program for Farmers with Disabilities -National AgrAbility Project.Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (e) New Technologies at Ag

Extension (NTAE):Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (f) Pest Management:Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).(g) Sustainable Agriculture (SARE-PD):NOTE: Effective Fiscal Year 2014, Programs under Sustainable Agriculture (research, education and extension) were merged into a single program under the research account. See CFDA Number 10.215 for pertinent details. (h) Federally Recognized Tribes:Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (i) Youth Farm Safety Education & Certification:NOTE: The following Farm Safety Programs were combined: Farm Safety; Farm Safety and Youth Safety Education and Certification Program; and Assistive Technology Program for Farmers with Disabilities -National AgrAbility Project.Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (j) EIPM Support:Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).(k) EIPM Coordination:Program was consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM).4. OTHER EXTENSION ACTIVITIES:(a) Renewable Resources Extension Act (RREA):The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state. Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects. (RREA-NFF).NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.(b) Rural Health and Safety (RHSE):The Rural Health and Safety Education Competitive Grants Program provides funds to meet national goals for addressing the health and access needs of rural Americans.NIFA does not require matching or cost sharing support for this program and matching resources will not be factored into the review process as evaluation criteria.NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.(c) Extension Services at the 1994 Institutions (TCEP):Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.NIFA does not require matching support for this program, and matching resources will not be factored into the review process as evaluation criteria.Under the TCEP, the use of grant funds to plan, acquire, or construct a building or facility, or to acquire land, is not allowed. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, grant funds may be used to purchase equipment, or for improvements, alterations, renovations, or repairs to land, buildings, or equipment, deemed necessary to retrofit existing spaces and resources in order to carry out a funded project under this grant. However, requests to use grant funds for such purposes must demonstrate that such expenditures are incidental to the major purpose for which the grant request is made and no more than twenty-five percent of the project budget may be used to purchase equipment. Any equipment purchased with Federal funds is the property of the grantee or the subgrantee, as appropriate.(d) Grants to Youth Serving Institutions (RYD):This Program has not been funded for several years.(e) Food Animal Residue Avoidance Database Program (FARAD):Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (f) Federal Administration (DIRECT APPROPRIATION):Refer to the most current Request for Applications (RFA) for specific instructions. Pertinent details to be reflected in the CFDA database at a future date. (g) 1890 Facilities (Section 1447):NIFA assessed four percent (4%) of the appropriated amount for Federal Administration, distributed sixty percent (60%) of the balance equally, and distributed the remaining forty percent (40%) based on the formula found in Section 1444 of NAREPTA of 1977, as amended (Pub. L. No. 95-113). Within each institution, the research, teaching and extension programs must each receive at least 20 percent of the annual allocation. The remaining 40 percent may be allocated based on institutional needs for research, teaching, or extension. No more than 60 percent of the total allocation should be directed to research, teaching, or extension. However, if there are unique situations in your institution which warrant a deviation from these guidelines, we will consider requests for reallocation. Such reallocations must be justified by the submission of a situation statement which describes the research, teaching, and extension facilities needs in your institution

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and how previously available Federal funds were utilized. NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria. The obligation and expenditure of funds awarded under these grants are limited to equipment, land, buildings and other related costs which are or will be used in the administration and conduct of approved research, teaching and extension activities. In accordance with NARETPA Section 1447 (e), funds provided for this program may not be used for the payment of any overhead costs of the eligible institutions. Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as 1890 Institution. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The statutory time limits, project periods, including no-cost extensions of time, varies: For some of the projects, funds must be fully expended in the fiscal year of appropriation. For some projects, funds may be carried over for up to one (1) year after the end of the year for which they were appropriated. For other projects, the statutory time limit may range from one (1) to five (5) years. NOTE: The applicable statutory time limit is reflected in the Award document and the Award Terms and Conditions. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Capacity, Competitive, and/or Non-Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows: JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity): <https://nifa.usda.gov/program/smith-lever-act-capacity-grant> Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity): <https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf> Smith-Lever Special Needs Competitive Grants Program: <https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant> 1890 LGI's and Tuskegee, West Virginia & Central State: <https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions> Expanded Food and Nutrition Education Program (EFNEP): <https://nifa.usda.gov/resource/fy2017-efnep-rfa> <https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webneers> Farm Safety and Youth Safety Education and Certification <https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program> New Technologies at Ag Extension (NTAE) <https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-nae> Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA); Sustainable Community Projects (SCP): <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> Military: <https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military> <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance> Professional and Technical Assistance (PDTA): <https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical> Federally-Recognized Tribes Extension Program (aka FRTEP): <https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian> Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE): <https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep> <https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se> Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF): <https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant> <https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-reea-nff> Rural Health and Safety (aka Rural Health & Safety Education): <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse> 1890 Facilities Grant Program (and Renewals): <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals> Food Animal Residue Avoidance Database Program

(FARAD) [http://www.farad.org/Agriculture Risk Management Education Program](http://www.farad.org/Agriculture_Risk_Management_Education_Program) (aka RME Program): <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants> Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project: <https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities> Healthy Homes Partnership: <https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Capacity, Competitive, and/or Non-Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database. (2) The

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details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017), (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants FY 20 \$303,422,017.00; FY 21 est \$303,422,017.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per the Capacity, Competitive, and/or Non-Competitive project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available as follows: JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (<https://nifa.usda.gov/program/smith-lever-act-capacity-grant>) Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity): (<https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf>) Smith-Lever Special Needs Competitive Grants Program: (<https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant>) 1890 LGI's and Tuskegee, West Virginia & Central State: (<https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions>) Expanded Food and Nutrition Education Program (EFNEP): (<https://nifa.usda.gov/resource/fy2017-efnep-rfa>) (<https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webinars>) Farm Safety and Youth Safety Education and Certification (<https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program>) New Technologies at Ag Extension (NTAE) (<https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension>) Children, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA); Sustainable Community Projects (SCP): (<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>) Military: (<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military>) (<https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>) Professional and Technical Assistance (PDTA): (<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>) Federally-

Recognized Tribes Extension Program (aka FRTEP): (<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>) Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE): (<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep>) (<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>) Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF): (<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>) (<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-national-focus-fund-projects-rea-nff>) Rural Health and Safety (aka Rural Health & Safety Education): (<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>) 1890 Facilities Grant Program (and Renewals): (<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>) Food Animal Residue Avoidance Database Program (FARAD) (http://www.farad.org/Agriculture_Risk_Management_Education_Program) (aka RME Program): (<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>) Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project: (<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>) Healthy Homes Partnership: (<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>) RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal Year (FY) 2020 ACTIVE Programs: (G), (H) & (W) Farm Safety, Youth Farm Safety Education and Certification, and Assistive Technology Program for Farmers with Disabilities (AgrAbility Project) NOTE: Programs were combined in FY 2012. The FY 2020 funding levels have not yet been established by Congressional Appropriations. Pertinent details will be provided by Farm Safety Program at a future date. (I) New Technologies at Ag Extension (aka NTAE) This program is subject to congressional budget approval for FY 2020. Should funding provided and based upon successful project performance, it is anticipated that continuation funding in the amount of \$1,488,000 will be awarded. (DD) Healthy Homes Partnership Continuation of this project will be contingent upon availability of funding through the Interagency Agreement of USDA National Institute of Food and Agriculture (NIFA) with Housing and Urban Development (HUD) Office of Lead Hazard Control and Healthy Homes or another source of funding. Pertinent details will be provided by Program at a future date. (GG) Agriculture in the Classroom (AIRC) The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is anticipated that the funding level and types of projects will be similar. Anticipate increases to the number of free curriculum resources nationally available in FY 2020. Anticipate maintenance of number of students and teacher directly contacted through AIRC with program to enhance capacity to teach agricultural literacy. Anticipate maintenance of the number of students directly contacted through AIRC. Pertinent details will be provided by Program at a future date. (HH) Air Force Personal Financial Readiness Program Evaluation Development Program will not receive funding in FY 20. (II) Substance Abuse Program at Joint Base San Antonio, Texas The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is anticipated that the funding level and types of projects will be similar. Pertinent details will be provided by Program at a future date. (JJ) National Food and Agricultural Sciences Teaching, Extension, and Research Awards (aka Food and Agriculture Service Learning Program, TEACH, TERA and TA) The FY 2020 funding level is of \$100,000. This will be year 2 of a four-year continuation award. However, for Fiscal Year (FY) 2020, it is projected that approximately \$100,000 will be appropriated to support one project. A total of 40 award nominations are expected for the Regional and National Teaching Awards. There will be no new projects. (KK) Youth Support and Internship Program Anticipated funding is \$900,000. (LL) Military REACH The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is anticipated that the funding level and types of projects will be

ASSISTANCE LISTINGS DESCRIPTIONS

similar. Pertinent details will be provided by Program at a future date. (MM) Yellow Ribbon Reintegration Program Curriculum Development & Metrics The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is anticipated that the funding level and types of projects will be similar. Pertinent details will be provided by Program at a future date. (NN) Clearinghouse for Military Family Readiness The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is anticipated that the funding level and types of projects will be similar. Pertinent details will be provided by Program at a future date. The following list represents CFDA numbers created FY 2019, as part of the initiative to break out the separate programs formerly contained in CFDA # 10.500. Please see the FY 2020 Reference for pertinent details. FY 20 Ref Program Title 10.511 (A) (Joint) Cooperative Extension Programs at 1862 Land-grant Institutions (1862 LGIs) (Capacity Grant Program) 10.511 (B) Cooperative Extension Programs at 1862 Land-Grant Institutions (Smith-Lever Special Needs) (Capacity Grant Program) 10.511 (C) Smith-Lever Special Needs Competitive Grants Program (aka SLSNCGP) 10.511 (D) (Joint) University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) 10.512 Agriculture Extension at 1890 Land-grant Institutions (1890 LGIs-Section 1444) 10.513 1890 Facilities Grants Program (1890 FGP) 10.514 Expanded Food and Nutrition Education Program (EFNEP) 10.515 Renewable Resources Extension Act (RREA) and National Focus Fund Projects (RREA-NFF) 10.516 Rural Health and Safety Education Competitive Grants Program (RHSE) 10.517 (A) Extension Services at the 1994 Institutions Program (aka Tribal Colleges Extension Program and TCEP) 10.517 (B) Tribal Colleges Extension - Special Emphasis (aka TCEP-SE) 10.517 (C) Federally-Recognized Tribes Extension Program (aka FRTEP) 10.518 Food Animal Residue Avoidance Databank (FARAD) 10.520 Agriculture Risk Management Education Partnerships Competitive Grants Program (ARME and RME) 10.521 (A) Children, Youth, and Families At-Risk (aka CYFAR) 10.521 (B) Sustainable Community Projects (aka CYFAR-SCP); 10.521 (C) Professional and Development and Technical Assistance (aka CYFAR-PDTA) 10.521 (D) Military Partnerships The listing below represents Programs which have not been recently funded. Hence, no further action is required (N/A/N) for the following Programs: (F) Pest Management NOTE: Program was subsequently, consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM). (K) Sustainable Agriculture Research and Education - Professional Development (aka SARE-PD and SARE Chapter 3 Program) NOTE: SARE Chapters 1 & 3 were combined in FY 2014. See CFDA # 10.215. (S) Grants to Youth Serving Institutions (aka Rural Youth Development Grants Program and RYD) (X) Extension IPM Coordination and Support Program (IPM-CS) Program was subsequently, consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM). (Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care Act and (AA) Second Language & Culture Exposure for Children Project (aka SLCECY). Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for

identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OIGM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Represents additional Websites: <http://nifa.usda.gov/funding-opportunity/smith-lever-special-needs-competitive-grants-program> <http://nifa.usda.gov/funding-opportunity/1890-institution-teaching-research-and-extension-capacity-building-grants-cbgh> <http://nifa.usda.gov/funding-opportunity/supplemental-nutrition-assistance-program-and-expanded-food-and-nutrition> <http://nifa.usda.gov/funding-opportunity/agrability-%13-assistive-technology-program-farmers-disabilities> <http://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension-ntaeh> <http://nifa.usda.gov/program/children-youth-and-families-risk-cyfar-grant-program> <http://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> <http://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian> [http://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-seh](http://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tceph) <http://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rea-nff> <http://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhseh> <http://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewalsh> <http://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-arme-competitive-grants>

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Food Production and Sustainability, Division of Plant Systems-Protection, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 ADDITIONAL CONTACTS: USDA, NIFA, National Program Leader, Institute of Food Production and Sustainability, Division of Agricultural Systems, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Office of the Director, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Institute of Food Safety and Nutrition, Division of Nutrition, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment, Division of Global Climate Change, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader,

ASSISTANCE LISTINGS DESCRIPTIONS

Institute of Youth, Family, and Community, Division of Youth and 4-H, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate and Environment Division of Environmental Systems, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 AND USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Family and Consumer Sciences, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: Policy@usda.gov
Phone: (202) 401-4939
Fax:

Website Address:

<http://nifa.usda.gov/grants>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.155 Marketing Agreements and Orders; 10.053 Dairy Indemnity Program; 10.551 Supplemental Nutrition Assistance Program; 10.902 Soil and Water Conservation; 10.153 Market News; 15.611 Wildlife Restoration; 10.227 1994 Institutions Research Program; 23.009 Appalachian Local Development District Assistance; 10.221 Tribal Colleges Education Equity Grants; 10.304 Homeland Security_Agricultural; 10.215 Sustainable Agriculture Research and Education; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.652 Forestry Research; 10.025 Plant and Animal Disease, Pest Control, and Animal Care; 93.103 Food and Drug Administration_Research; 10.001 Agricultural Research_Basic and Applied Research; 10.477 Meat, Poultry, and Egg Products Inspection; 10.222 Tribal Colleges Endowment Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Fiscal Year (FY) 2020 ACTIVE Programs: (G), (H) & (W) Farm Safety, Youth Farm Safety Education and Certification, and Assistive Technology Program for Farmers with Disabilities (AgrAbility Project) NOTE: Programs were combined in FY 2012. The FY 2020 funding levels have not yet been established by Congressional Appropriations. Pertinent details will be provided by Farm Safety Program at a future date. (I) New Technologies at Ag Extension (aka NTAE) Should funding become available and since this is a 4-year continuation award, the objectives will remain the same as in FY 2019. (DD) Healthy Homes Partnership Expand outreach of Healthy Homes to 18 states and/or territories including several target audiences: a) tribal designated housing entities and b) Limited English Proficiency (LEP) populations. A new version of the existing Native American Help Yourself to a Healthy Home will be developed to reflect updates in the Everyone Deserves a Safe and Healthy Home. The Healthy Homes Evaluation Portal will be evaluated with stakeholders and updated as necessary. (GG) Agriculture in the Classroom For the FY 2020 award cycle, it is anticipated that \$520,920 will be used for capacity building for national agricultural literacy through professional development for teachers, development of standards-based curriculum resources, and strategic partnerships with allies, and direct contact with student. Resources and updates can be found at www.agclassroom.org. Pertinent details will be provided by Program at a future date. (HH) Air Force Personal Financial Readiness Program Evaluation Development The project will not be funded in FY 2020. The program will continue to work toward initial goal until funds are expended. (II) Substance Abuse Program at Joint Base San Antonio, Texas Information is not yet available. Pertinent data will be provided by program at a future date. It is expected scope will be similar. (JJ) National Food and Agricultural Sciences Teaching, Extension, and Research Awards (aka Food and Agriculture Service Learning Program, TEACH, TERA and TA) The FY 2020 funding levels have not yet been established by Congressional Appropriations. If this Program is funded in FY 2020, it is anticipated that the funding level will be comparable and the types of projects will be similar. Per the Budget Office, it is projected that approximately \$8.8 million will be available to support the HSI grants. (KK) Youth Support and Internship

Program Data on this project will be available at a future date. (LL) Military REACH Information is not yet available. Pertinent data will be provided by program at a future date. It is expected scope will be similar. (MM) Yellow Ribbon Reintegration Program Curriculum Development & Metrics Information is not yet available. Pertinent data will be provided by program at a future date. It is expected scope will be similar. (NN) Clearinghouse for Military Family Readiness Information is not yet available. Pertinent data will be provided by program at a future date. It is expected scope will be similar. The following list represents CFDA numbers created FY 2019, as part of the initiative to break out the separate programs formerly contained in CFDA # 10.500. Please see the FY 2020 Reference for pertinent details. FY 20 Ref Program Title 10.511 (A) (Joint) Cooperative Extension Programs at 1862 Land-grant Institutions (1862 LGIs) (Capacity Grant Program) 10.511 (B) Cooperative Extension Programs at 1862 Land-Grant Institutions (Smith-Lever Special Needs) (Capacity Grant Program) 10.511 (C) Smith-Lever Special Needs Competitive Grants Program (aka SLSNCGP) 10.511 (D) (Joint) University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) 10.512 Agriculture Extension at 1890 Land-grant Institutions (1890 LGIs-Section 1444) 10.513 1890 Facilities Grants Program (1890 FGP) 10.514 Expanded Food and Nutrition Education Program (EFNEP) 10.515 Renewable Resources Extension Act (RREA) and National Focus Fund Projects (RREA-NFF) 10.516 Rural Health and Safety Education Competitive Grants Program (RHSE) 10.517 (A) Extension Services at the 1994 Institutions Program (aka Tribal Colleges Extension Program and TCEP) 10.517 (B) Tribal Colleges Extension - Special Emphasis (aka TCEP-SE) 10.517 (C) Federally-Recognized Tribes Extension Program (aka FRTEP) 10.518 Food Animal Residue Avoidance Databank (FARAD) 10.520 Agriculture Risk Management Education Partnerships Competitive Grants Program (ARME and RME) 10.521 (A) Children, Youth, and Families At-Risk (aka CYFAR) 10.521 (B) Sustainable Community Projects (aka CYFAR-SCP); 10.521 (C) Professional and Development and Technical Assistance (aka CYFAR-PDTA) 10.521 (D) Military Partnerships The listing below represents Programs which have not been recently funded. Hence, no further action is required (N/A/N) for the following Programs: (F) Pest Management NOTE: Program was subsequently, consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM). (K) Sustainable Agriculture Research and Education - Professional Development (aka SARE-PD and SARE Chapter 3 Program) NOTE: SARE Chapters 1 & 3 were combined in FY 2014. See CFDA # 10.215. (S) Grants to Youth Serving Institutions (aka Rural Youth Development Grants Program and RYD) (X) Extension IPM Coordination and Support Program (IPM-CS) Program was subsequently, consolidated under CFDA # 10.329, Crop Protection and Pest Management (CPPM). (Y) Extension Outreach on the Marketplace Exchanges of the Affordable Care Act and (AA) Second Language & Culture Exposure for Children Project (aka SLCECY). 2021 Pertinent details will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Capacity, Competitive, and/or Non-Competitive Request for Application (RFA). The most current RFAs are available as follows: JOINT PROGRAM: Cooperative Extension Programs at 1862 Land-grant Institutions and District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) (Capacity): <https://nifa.usda.gov/program/smith-lever-act-capacity-grant> Cooperative Extension Programs at 1862 Land-grant Institutions - (Special Needs) (Capacity): <https://nifa.usda.gov/sites/default/files/resources/FY18-CES-SLSN-Modification-882017.pdf> Smith-Lever Special Needs Competitive Grants Program: <https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant> 1890 LGI's and Tuskegee, West Virginia & Central State: <https://nifa.usda.gov/program/agricultural-extension-programs-1890-institutions> Expanded Food and Nutrition Education Program (EFNEP): <https://nifa.usda.gov/resource/fy2017-efnep-rfa> <https://nifa.usda.gov/funding-opportunity/expanded-food-and-nutrition-education-program-webneers> Farm Safety and Youth Safety Education and Certification <https://nifa.usda.gov/funding-opportunity/youth-farm-safety-education-and-certification-program> New Technologies at Ag Extension (NTAE) <https://nifa.usda.gov/funding-opportunity/new-technologies-ag-extension->

ASSISTANCE LISTINGS DESCRIPTIONS

ntaeChildren, Youth, and Families At-Risk (CYFAR); Sustainable Community Projects (CYFAR-SCP) and Professional Development Technology Assistance (CYFAR-PDTA); Sustainable Community Projects (SCP):<https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects>Military:<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-military><https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>Professional and Technical Assistance (PDTA):<https://nifa.usda.gov/funding-opportunity/archive-children-youth-and-families-risk-professional-development-and-technical>Federally-Recognized Tribes Extension Program (aka FRTEP):<https://nifa.usda.gov/funding-opportunity/federally-recognized-tribes-extension-program-frtep-formerly-extension-indian>Extension Services at the 1994 Institutions (aka Tribal Colleges Extension Program) (TCEP) and Special Emphasis (TCEP-SE):<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep><https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>Renewable Resources Extension Act Program - (RREA) and National Focus Fund Projects (RREA-NFF):<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant><https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff>Rural Health and Safety (aka Rural Health & Safety Education):<https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>1890 Facilities Grant Program (and Renewals):<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>Food Animal Residue Avoidance Database Program (FARAD)http://www.farad.org/Agriculture_Risk_Management_Education_Program (aka RME Program):<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>Assistive Technology Program for Farmers with Disabilities: National AgrAbility Project:<https://nifa.usda.gov/funding-opportunity/agrability-assistive-technology-program-farmers-disabilities>Healthy Homes Partnership:<https://nifa.usda.gov/funding-opportunity/healthy-homes-partnership>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity, Competitive, and/or Non-Competitive RFAs supersede the general information provided in the CFDA database.

10.511 Smith-Lever Funding (Various Programs)

1862 LGIs: 1862 CES (Smith-Lever) and DCPPERA, 1862 Smith-Lever Special Needs, and Smith-Lever Special Needs-Competitive,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA): Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that [i]n the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301-305, 307,308); and the term State as used in such Act of May 8, 1914, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act., , Smith-Lever Special Needs-Competitive (SLSNCGP):Section 3(b) & (c) of the Smith-Lever Act, Pub. L. No. 63-95 (7 U.S.C. 343) allows the Secretary of Agriculture, acting through the Director of the National Institute of Food and Agriculture (NIFA), to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and human-made disasters., , Cooperative Extension Programs at 1862 Land-Grant Institutions(1862 CES Capacity):7 U.S.C. 341-346, 347a-349 Sections 3(b) and 3(c) of the Smith-Lever Act (program referred to as SLBC) provide funding for agricultural extension programs at 1862 Land-grant universities., ,

1862 Smith-Lever Special Needs Capacity Grants Program (SLSN):Sections 3(b)(1) and 8 of the Smith-Lever Act provide the basis of funding special needs agricultural extension programs at 1862 Land-grant institutions.,

OBJECTIVES:

1862 Cooperative Extension Programs at 1862 Land-Grant Institutions(Smith-Lever) (1862 CES Capacity) University of the District of Columbia Public Postsecondary Education Reorganizational Act Program (DCPPERA):The purpose of this funding is to conduct cooperative agricultural extension work. Funding for the Cooperative Extension Programs at 1862 Land-Grant Institutions authorized under the Smith-Lever Act section 3(b) and (c) (SLBC) and the University of the District of Columbia under the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA) is for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-Grant Institutions, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects. 1862 Smith-Lever Special Needs Capacity Grants Program (SLSN):The purpose of this capacity grant program is to increase the level of agricultural extension activities and reach out to new audiences and to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and human-made disasters.Smith-Lever Special Needs Competitive Grants Program: (SLSNCGP):The purpose of this competitive program is to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and human-made disasters.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES:1862 CES Capacity (Smith-Lever) and DCPPERA:Funding for the Cooperative Extension Programs at 1862 Land-Grant Institutions authorized under the Smith-Lever Act section 3(b) and (c) (SLBC) and the University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA) under Section 208 of the District of Columbia Public Postsecondary Education Reorganization Act is to be used for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-Grant Institutions, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects. Program and Extension Activities - Smith-Lever Act sections 3(b) and 3(c) Federal funding may only be used for extension programs and an activity identified in the institutions approved 5-Year Plan of Work. 1862 Special Needs Capacity Grants Program (SLSN):1862 Smith-Lever Special Needs Capacity funds support extension education initiatives that address risks, hazards and disasters. The SLSN sponsors targeted projects enable Cooperative Extension Service to assist in preparing for, providing an educational response to, and recovering from disasters. Education programming funded by this program help communities, families and individuals become more self-sustaining by strengthening and increasing their resiliency to disaster. The SLSN increases awareness and capacity building of urban/rural communities response to natural and/or human-made disasters by increasing individual, family, farms, small business and community disaster preparedness through extension education, as well as collaboration with other agencies and volunteer organizations. Smith-Lever Special Needs Competitive Grants Program (SLSNCGP):SLSNCGP projects to implement applied scientific programs that serve public needs in preparation for, during, and after disaster. Though national, regional, and/or multi-state efforts are a priority for categories 1-3, highly replicable single-state projects may be considered if they have a strong plan for transferring successful methods to other states. The SLSNCGP also funds the development of educational programs and demonstration activities focusing on disaster preparedness, mitigation, response, and recovery. Successful projects in the past have helped extension educators, who normally do not have a disaster extension focus, to have a greater impact in this area.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); 1862 CES Capacity & DCPPERA:Joint Cooperative Extension Programs at 1862 Land-Grant Institutions applications may only be submitted by the following 1862 Land-grant Institutions: Auburn University, University of Alaska-Fairbanks, American Samoa Community College, University of Arizona, University of Arkansas, University of California, Colorado State University, University of Connecticut, University of Delaware, University of Florida, University of Georgia, University of Guam, University of Hawaii, University of Idaho, University of Illinois, Purdue University, Iowa State University, Kansas State University, University of Kentucky, Louisiana State University, University of Maine, University of Maryland-College Park, University of Massachusetts, Michigan State University, College of Micronesia, University of Minnesota, Mississippi State University, University of Missouri, Montana State University, University of Nebraska, University of Nevada-Reno, University of New Hampshire, Rutgers University, New Mexico State University, Cornell University, North Carolina State University, North Dakota State University, Northern Marianas College, Ohio State University, Oklahoma State University, Oregon State University, Pennsylvania State University, University of Puerto Rico, University of Rhode Island, Clemson University, South Dakota State University, University of Tennessee, Texas A & M University, Utah State University, University of Vermont, Virginia Polytechnic Institute & State University, University of the Virgin Islands, Washington State University, West Virginia University, University of Wisconsin, and University of Wyoming. University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA):Applications may only be submitted by the University of the District of Columbia. 1862 Special Needs Capacity (SLSN):Applications may only be submitted by the following 1862 Land-grant Institutions: University of Alaska-Fairbanks, University of Arizona, Colorado State University, University of Idaho, Kansas State University, Montana State University, University of Nebraska, University of Nevada-Reno, New Mexico State University, North Dakota State University, Oregon State University, South Dakota State University, Texas A&M University, Utah State University, University of Vermont, and University of Wyoming.Smith-Lever Special Needs Competitive (SLSNCGP):Applications may only be submitted by 1862 Land-grant Institutions in the 50 states and the U.S. territories, American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the U.S. Virgin Islands.

Beneficiary Eligibility:

U.S. Territories; State; Local; Public nonprofit institution/organization; Other public institution/organization; 1862 CES Capacity & DCPPERA:Joint Cooperative Extension Programs at 1862 Land-Grant Institutions applications may only be submitted by the following 1862 Land-grant Institutions: Auburn University, University of Alaska-Fairbanks, American Samoa Community College, University of Arizona, University of Arkansas, University of California, Colorado State University, University of Connecticut, University of Delaware, University of Florida, University of Georgia, University of Guam, University of Hawaii, University of Idaho, University of Illinois, Purdue University, Iowa State University, Kansas State University, University of Kentucky, Louisiana State University, University of Maine, University of Maryland-College Park, University of Massachusetts, Michigan State University, College of Micronesia, University of Minnesota, Mississippi State University, University of Missouri, Montana State University, University of Nebraska, University of Nevada-Reno, University of New Hampshire, Rutgers University, New Mexico State University, Cornell University, North Carolina State University, North Dakota State University, Northern Marianas College, Ohio State University, Oklahoma State University, Oregon State University, Pennsylvania State University, University of Puerto Rico, University of Rhode Island, Clemson University, South Dakota State University, University of Tennessee, Texas A & M University, Utah State University, University of Vermont, Virginia Polytechnic Institute & State University, University of the Virgin Islands, Washington State University, West Virginia University, University of Wisconsin, and University of Wyoming.University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA):Applications may only be submitted by the University of the District of Columbia.1862 Special Needs Capacity (SLSN):Applications may only be submitted by the following 1862 Land-grant Institutions: University of Alaska-

Fairbanks, University of Arizona, Colorado State University, University of Idaho, Kansas State University, Montana State University, University of Nebraska, University of Nevada-Reno, New Mexico State University, North Dakota State University, Oregon State University, South Dakota State University, Texas A&M University, Utah State University, University of Vermont, and University of Wyoming.Smith-Lever Special Needs Competitive (SLSNCGP):Applications may only be submitted by 1862 Land-grant Institutions in the 50 states and the U.S. territories, American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the U.S. Virgin Islands.

Credentials/Documentation:

Credentials/Documentation (083): The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:1862 CES & DCPPERA: <https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>1862 Smith-Lever Capacity (SLSN):<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%202008-30-16.pdf>Smith-Lever Special Needs Competitive (SLSNCGP):<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see:

ASSISTANCE LISTINGS DESCRIPTIONS

<http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USFAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: 1862 Cooperative Extension Capacity & District of Columbia Postsecondary Re organization Act
<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>reorganization-act-program-cooperative1862 Smith Lever Special Needs Capacity
<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%202008-30-16.pdf>Competitive Smith Lever Special Needs
<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Award Procedure:

1862 CES Capacity (Smith-Lever), DCPPERA, and 1862 Smith-Lever Special Needs Capacity (SLSN):NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current. 1862 CES Capacity & DCPPERA:
<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>1862 Smith-Lever Capacity (SLSN):
<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%202008-30-16.pdf>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.Competitive Smith Lever Special Needs Competitive (SLSNCGP):We evaluate each application in a two-part process. First, we screen each application to ensure Competitive Smith-Lever Special Needs:that it meets the administrative requirements as set forth in this RFA. Second, a technical review panel will evaluate applications that meet the administrative requirements. We select reviewers based upon their training and experience in relevant scientific, extension, or education fields, taking into account the following factors: the level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; the need to include experts from various areas of specialization within relevant scientific, education, or extension fields; the need to include other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; the need to include experts from a variety of organizational types (e.g., colleges, universities, industry, state and federal agencies, and private profit and non-profit organizations) and geographic locations; the need to maintain a balanced composition with regard to minority and female representation and an equitable age distribution; and the need to include reviewers who can judge the effective usefulness of each application to producers and the general public. After each peer review panel has completed its deliberations, the responsible program staff of SLSNCGP will recommend that your project (a) be approved for support from currently available funds or (b) be declined due to insufficient funds or unfavorable review. SLSNCGP reserves the right to negotiate with the PD/PI and/or with the submitting organization or institution regarding project revisions (e.g., reductions in the scope of work, funding level, period, or method of support) prior to recommending any project for funding.RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per

CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:
<http://nifa.usda.gov/http://www.grants.gov>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. Not Applicable.

Renewals:

Other. The most current RFAs are available as follows: 1862 Cooperative Extension & District of Columbia Postsecondary Re organization Act (1862 CES Capacity & DCPPERA):
<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>reorganization-act-program-cooperative1862 Smith Lever Special Needs Capacity (SLSN):
<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%202008-30-16.pdf>Competitive Smith Lever Special Needs Competitive (SLSNCGP)
<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Joint Cooperative Extension Programs at 1862 Land-Grant Institutions (1862 CES Capacity & DCPPERA):Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e) (1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section..... The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year. University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA):There is no match requirement for the DCPPERA Program.1862 Smith-Lever Special Needs Capacity (SLSN):1862 Land-Grant Institutions in the 50 States must match 100 percent (100%) of the SLSN funds they receive with funds from non-Federal sources such as State or county appropriations. The matching must be in the form of cash. Matching funds may only be used for extension activities approved in the eligible institutions five (5) year Plan of Work.Smith-Lever Special Needs-Competitive (SLSNCGP):Applicants are required to provide 100 percent matching funds from non-federal sources for all proposed federal funds sought in the application. In-kind and third party contributions are not allowed for this program; thus, all of the matching funds must come from the applicant institution (please note that sub-awards/partner match would be considered third party).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are as follows:Smith Lever Special Needs Competitive (SLSNCGP):Not to exceed three (3) years.1862 CES Capacity & DCPPERA:Not to exceed five (5) years.Smith Lever Special Needs Capacity (SLSN):Not to exceed five (5) years,Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows: 1862

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Cooperative Extension & District of Columbia Postsecondary Reorganization Act (1862 CES Capacity & DCPPERA):<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>reorganization-act-program-cooperative1862 Smith Lever Special Needs Capacity (SLSN):<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%202008-30-16.pdf>Competitive Smith Lever Special Needs Competitive (SLSNCGP):<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>RfAs are generally released annually. Hence, the RfAs provide the most current and accurate information available. Any specific instructions in the RfAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:For the SLBC and the DCPPERA programs, SF-425 financial reports for the awards whether annual or final, will be due Dec. 31 each year.See <http://nifa.usda.gov/program/smith-lever-act-capacity-grant> for additional details of annual, final and financial reporting requirements.A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES:(1) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).(2) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400,

USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 "In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements," nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program- specific audit conducted for that year.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$303,422,017.00; FY 21 est \$304,649,777.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Request for Application (RFA).The most current RfAs are available as follows: 1862 Cooperative Extension Capacity & District of Columbia Postsecondary Reorganization Act (1862 CES Capacity & DCPPERA):<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLBC%20and%20DCPPERA%20modifications.pdf>reorganization-act-program-cooperative1862 Smith Lever Special Needs Capacity (SLSN):<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%202008-30-16.pdf>Smith Lever Special Needs Competitive (SLSNCGP):<https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: (A) Cooperative Extension Programs at 1862 Land-grant Institutions (Capacity Program)FY 2020 funding levels have not yet been established by Congressional Appropriations. However, in accordance with financial data provided by the Budget Office, NIFA projects that approximately \$288,543,794 will be available to fund similar projects. Pertinent details to be provided by Program at a future date.(B) - Cooperative Extension Programs at 1862 Land-grant Institutions (Smith-Lever Special Needs Capacity Program)Based upon the Presidents Budget, for FY 2020, NIFA projects that \$1,029,979 will be allocated to support Special Needs individual projects that may be initiated under this program. Awards are projected to range from \$11,347 to \$111,504.(C) - Smith-Lever Special Needs Competitive Grants Program (aka SLSNCGP)FY 2020 funding levels have not yet been established by Congressional Appropriations. However, in accordance with financial data provided by the Budget Office, NIFA projects that approximately \$463,844 will be available to fund similar projects.Pertinent details to be provided by Program at a future date.(D) - University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPERA)In Fiscal Year (FY) 2020 it is projected that \$1,212,160 (representing the Appropriation, less the legislatively mandated set-asides) will be available to fund this program allocated solely to the University of the District of Columbia (UDC). There is no matching requirement for this program. Administrative costs are taken from Smith-Lever funds. Fiscal Year

ASSISTANCE LISTINGS DESCRIPTIONS

2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016> SMITH-LEVER ACT: <https://nifa.usda.gov/program/smith-lever-act-capacity-grant>

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Additional Websites: 1862 CES Capacity: <https://nifa.usda.gov/program/smith-lever-act-capacity-grant> 1862 Capacity (SLSN): <https://nifa.usda.gov/program/smith-lever-act-sections-3b-and-3c-special-needs-capacity-grant> 1862 Smith-Lever Competitive (SLSNCGP): <https://nifa.usda.gov/funding-opportunity/smith-lever-special-needs-competitive-grants-program>

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, Institute of Food and Production and Sustainability, Division of Agricultural Systems, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 ADDITIONAL CONTACT: Smith Lever Special Needs Competitive Grant Program-USDA, NIFA, National Program Leader, Institute of Division of Family and Consumer Sciences, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: bonita.williams@usda.gov
Phone: 816-908-3323

Website Address:

<https://nifa.usda.gov/program/district-columbia-public-postsecondary-education-reorganization-act-program-cooperative>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 (A) Cooperative Extension Programs at 1862 Land-grant Institutions (Capacity Program) It is projected that for FY 2020 Plans of Work will continue to address critical and emerging issues in global food security and hunger, food safety, climate change, sustainable energy; childhood obesity, nutrition and health, natural resources stewardship, agricultural productivity, youth and family development and Community and economic development. Other program areas of priorities to states will be addressed in the plans of work as they are updated. Project data will be available at a future date. (B) - Cooperative Extension Programs at 1862 Land-grant Institutions (Smith-Lever Special Needs Capacity Program) Information is not yet available. It is anticipated that similar projects will be funded in Fiscal Year 2020. Pertinent information will be provided by Program at a future date. (C) - Smith-Lever Special Needs Competitive Grants Program (aka SLSNCGP) This program is projected to continue to meet critical needs to address: (1) Education and Technical assistance and multi-state disaster training programs; (2) Collaboration with Federal, state and local agencies to support education and service that enhance recovery of impacted rural communities, schools, businesses and agricultural based activities; and (3) Long range family, community and regional planning projects that enhance implementation of programs that serve public needs in preparation for, during and after emergency situations within impacted states and regions (D) - University of the District of Columbia Public Postsecondary Education Reorganization Act Program (DCPPER) Information is not yet available. Pertinent details to be provided by Program at a future date. 2021 Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available as follows: 1862 Cooperative Extension Capacity & District of Columbia Postsecondary Reorganization Act (1862 CES Capacity & DCPPERA): <https://nifa.usda.gov/sites/default/files/resources/FY%202017%20SLB%20and%20DCPPERA%20modifications.pdf> reorganization-act-program-cooperative 1862 Smith Lever Special Needs Capacity (SLSN): <https://nifa.usda.gov/sites/default/files/resources/FY%202017%20CES%201862%20Special%20Needs%20Capacity%20RFA%20-%20MODIFIED%202008-30-16.pdf> Smith Lever Special Needs Competitive (SLSNCGP): <https://nifa.usda.gov/sites/default/files/rfa/FY%20%2717%20SLSNCGP%20RFA%20-FINAL.pdf>

10.512 Agriculture Extension at 1890 Land-grant Institutions

1890 LGIs Section 1444 Extension,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act Of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977, is also known as the Section 1444 Program. This law provides the basis for Federal funding for agricultural extension activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University, and Central State University. Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University

ASSISTANCE LISTINGS DESCRIPTIONS

has the designation as an 1890 Institution and is eligible to receive funds under this program beginning in Fiscal Year 2016. It is codified in 7 U.S.C. 3221.,

OBJECTIVES:

The purpose of this funding is to support agricultural and forestry extension activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University, and Central State University.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Uses: The purpose of this funding is to support the expenses of conducting extension programs and activities at 1890 Land-Grant Institutions, including Tuskegee University, West Virginia State University, and Central State University. **RESTRICTIONS:** (1) Use of Funds Limitation No portion of the funds allocated under this grant will be applied, directly, or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college course teaching, lectures in college, or any other purpose not specified in section 1444 of NARETPA. (2) Approved NIFA Section 1444 Projects Section 1444 Program funding may only be used to support agricultural extension activities identified in the approved 5-Year Plan of Work. (3) Indirect Costs and Tuition Remission In accordance with section 1473 of NARETPA, indirect costs and tuition remission are unallowable as Section 1444 Program formula grant expenditures.

Applicant Eligibility:

Other public institution/organization; Applications may only be submitted by 1890 Land-Grant Universities that conduct agricultural extension activities in accordance with NARETPA section 1444(a)(1): Alabama A&M University; Tuskegee University; University of Arkansas - Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Central State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University.

Beneficiary Eligibility:

Other public institution/organization; Applications may only be submitted by 1890 Land-Grant Universities that conduct agricultural extension activities in accordance with NARETPA section 1444(a)(1): Alabama A&M University; Tuskegee University; University of Arkansas - Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Central State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. **SPECIAL NOTE:** Please refer to the Capacity Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/sites/default/files/resources/FY2021-Agricultural-Extension-at-1890-Land-Grant-Institutions-RFA-508-Updated.pdf> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current. Although an applicant may be eligible, there are factors that may exclude an applicant from receiving federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Agricultural%20Extension%20at%201890_revised.pdf RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/http://www.grants.gov>. **SPECIAL NOTE:** Please refer to the Capacity Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

<https://nifa.usda.gov/sites/default/files/resources/FY2021-Agricultural-Extension-at-1890-Land-Grant-Institutions-RFA-508-Updated.pdf> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/sites/default/files/resources/FY2021-Agricultural-Extension-at-1890-Land-Grant-Institutions-RFA-508-Updated.pdf>

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Section 1449 of NARETPA (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement. Most regulations applicable to NIFA awards are housed in Title 7 of the Code of Federal Regulations. 7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution. 7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 or for qualifying education activities. NIFA may consider and approve matching waiver requests above the 50 percent level. See Part IV, B. 4. for instructions about how to request a waiver. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

States are encouraged to spend Section 1444 Program funds in the year they are appropriated; however, if carryover is necessary, no more than 20 percent of the funds received by an institution in any fiscal year may be carried forward to the succeeding fiscal year. No prior approval from NIFA is required. Carryover limitations are legislatively mandated and NIFA is not authorized to approve any carryover requests beyond the 20 percent and one-year limitations. (7 USC 3221). Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Capacity Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/sites/default/files/resources/FY2021-Agricultural-Extension-at-1890-Land-Grant-Institutions-RFA-508-Updated.pdf> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Capacity RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: quarterly

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the

Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Capacity Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Capacity RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. See above for pertinent and specific details. See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Account Identification:

12-0502-0-1-352.

Obligations:

Formula Grants FY 20 \$54,720,000.00; FY 21 est \$57,000,000.00; FY 22 Not

ASSISTANCE LISTINGS DESCRIPTIONS

Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Capacity Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/sites/default/files/resources/FY2021-Agricultural-Extension-at-1890-Land-Grant-Institutions-RFA-508-Updated.pdf>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is anticipated that the funding level will remain the same as in FY 2019, \$48,620,000. In accordance with financial data provided by the Budget Office, NIFA projects that \$45,417,600 will be available to fund similar projects. A Plan of Work is expected from each 1890 Institution describing the anticipated work to be accomplished under this program. Fiscal Year 2021: Pertinent Information will be released by Program at a later date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATION The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop

10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: Manoharan.Muthusamy@usda.gov

Phone: 816-702-9676

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 PUBLIC HEALTH MICROBIAL CHALLENGE STUDIES AGAINST NON-VACCINE PREVENTABLE FOODBORNE PATHOGENIC BACTERIA: CONTROL OF BIOFILMS IN NON-CLINICAL SETTINGS Research finds that bacterial agents in food and environment have the capability of forming biofilms, a community of viable and nonviable cells from one or multiple species, covered with extracellular polymeric substances while anchoring to biotic or abiotic surfaces. This form of life is currently considered the dominant ecological life cycle of bacteria in non-clinical setting, causing crucial challenges for assuring the public health against food-related, environmental, and waterborne infectious diseases. Unlike vaccine-preventable infectious diseases, control of pathogens of public health concern from food, water and environment is relying on preventive approaches to elimination, or reduce the risk of consumers exposure to the pathogens. This study intends to investigate prevention-based microbiological challenge studies for control of biofilms of bacterial pathogens. The results are intended to be of particular importance for research and extension stakeholder of the academe for control of these pathogens in non-clinical setting, particularly in food and agricultural environments. BACKYARD GARDEN PROGRAM (BGP) A garden assistance program for families and local organizations. The program helps approximately 20 families and organizations per growing season with their gardening needs including tilling, planting instructions, and weed, insect and pest control for their backyard garden. The BGP is a free, first-come, first-served program with limited available plants providing participants with three years of gardening assistance. 2021: Pertinent Information will be released by Program at a later date.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.513 1890 Facilities Grants Program

1890 FGP,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Pursuant to the authority contained in Section 1447 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), (7 U.S.C. 3222b), and reauthorized by Section 7112 of the Agricultural Act of 2014 (Pub. L. 113-79), including Tuskegee University and West Virginia State University. Further, in accordance with Section 7129 of the Agricultural Act of 2014 (House Conference Report 113-333, to accompany H.R. 2642), Central State University has the Designation as an 1890 Institution., , Public Law 113-79, 7 USC Code 3222b.

OBJECTIVES:

The 1890 Facilities Grants Program provides funds for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Central State University, Tuskegee University, and West Virginia State.

TYPES OF ASSISTANCE:

Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

USES: Facilities awards will be made for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that

ASSISTANCE LISTINGS DESCRIPTIONS

the 1890 land-grant institutions, including Central State University, Tuskegee University, and West Virginia State University, may participate fully in the production of human capital in the food and agricultural sciences. Authorized activities include purchase of equipment and land, planning, construction, alteration, or renovation of buildings. Priorities for the eligible institutions are set in the facilities plan that is submitted to NIFA for review and approval.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants under this Program are the 1890 land-grant institutions, including Central State University, Tuskegee University, and West Virginia State University. The detailed listing of eligible applicants follows: Alabama A&M University, Tuskegee University, University of Arkansas-Pine Bluff, Delaware State University, Florida A&M University, Fort Valley State University, Kentucky State University, Southern University, University of Maryland-Eastern Shore, Lincoln University, Alcorn State University, North Carolina A&T State University, Langston University, South Carolina State University, Tennessee State University, Prairie View A&M University, Virginia State University, West Virginia State University, and Central State University. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals> RFAs for new and/or renewal applications are generally released annually. The RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Beneficiary Eligibility:

Federal; State; Public nonprofit institution/organization; Extension Programs at the State and county level are available to the general public.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current

RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals> RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Not Applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR parts 400, 415, 416, and 418 apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. RENEWAL APPLICATIONS: NIFA will evaluate each application in a two-part process. First, we screen each application to ensure that it meets the administrative requirements as set forth in this RFA. Second, a technical review panel will evaluate applications that meet the administrative requirements. 1890 Facilities Grants Program (FGP) reserves the right to negotiate with the Project Director/Principal Investigator (PD/PI) and/or with the submitting organization or institution regarding project revisions (e.g., reductions in the scope of work, funding level, period, or method of support) prior to recommending any project for funding. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I apply to this program. 2 CFR 200, Appendix II Contracts Provisions for Non-federal Entity Contracts Under Federal Awards applies to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

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<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals> RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals> RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Other. Generally, 1890 Facilities RFAs are released every five (5) years for new awards, with annual renewals. Specific details are provided in the Competitive Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

Formula and Matching Requirements:

Statutory Formula: Title NAREPTA, Chapter , Part , Subpart , Public Law 95-113. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Effective Fiscal Year (FY) 2018, Project periods, (including no-cost extensions of time), are not normally to exceed ten (10) years. Further details are provided in the Award document Form NIFA-2009 and the 1890 Facilities Program, Section 1447, Special Terms and Conditions (dated May 2017) at:

<https://nifa.usda.gov/resource/1890-facilities-program-terms-and-conditions-may-2017>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals> RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

PERFORMANCE AND MONITORING REPORTS: NIFA expects the awarded funds to be used for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Tuskegee University, West Virginia State University and Central State University, may participate fully in human capital development in the food and agricultural sciences. A. Performance Reports Awardees of Federal funds are responsible for monitoring day-to-day project performance to ensure that project goals and performance schedules are met, contain costs, and accurately report progress to NIFA. An annual performance report is due in NIFA 90 days after completion of the first 12 months of the initial grant award and annually thereafter during the project period. Each report shall cover work performed during the previous 12-month period, including any funded or unfunded time extensions. If this project is supported in annual funding increments, the performance report required as part of the grantee's annual follow-on grant proposal shall satisfy this reporting requirement through the grantee's final application for funds. Thereafter these annual performance reports shall be submitted to the ADO. Performance reports should not exceed two pages in length, should contain appropriate

identifying data and shall provide the following information: 1. A comparison of actual accomplishments with the goals or objectives established for the program during the reporting period, including percentage-of-completion data and a computation of square footage costs for all projects undertaken; 2. The reasons for slippage if approved goals were not met; 3. Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Identify any issues, favorable or adverse conditions, changes, or situations which are expected to impact the scope, size, cost, or completion schedule for the project, along with a discussion of proposed or actual actions taken by your institution to resolve any problems encountered; 4. If the proposed represents any change to your approved five-year plan, please provide a description of, and justification for, the change; 5. A summary of activities to be undertaken during the ensuing 12-month period; 6. A listing of A/E, and construction contracts entered into during this reporting period only for each program component; and 7. A detailed breakdown of all project costs incurred to date (cumulative). In addition to the Annual Performance report described above, the grantee is required to submit an annual progress report in REEport. REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

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<https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals> RFAs for new and/or renewal applications are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) Details regarding the reporting requirements are included in the 1890 Facilities Program, Section 1447, Special Terms and Conditions (dated May 2017) at: <https://nifa.usda.gov/resource/1890-facilities-program-terms-and-conditions-may-2017>. (3) Further guidance is provided under 2 CFR part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants (with Formula Distribution) FY 20 \$19,700,000.00; FY 21 est \$18,940,799.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: \$19,730,00 was appropriated in support of the facilities programs at the 1890 Land-Grant Universities as (19) continuation projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL

REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> 1890 FACILITIES PROGRAM, SECTION 1447, SPECIAL TERMS AND CONDITIONS Provides specific terms and conditions which apply to this program. Refer to the award document, Form NIFA-2009, to determine the applicable terms and conditions document. <https://nifa.usda.gov/resource/1890-facilities-program-terms-and-conditions-may-2017>.

Regional or Local Office:

None. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

USDA, NIFA, National Program Leader,, Institute of Youth, Family, and Community (IYFC), Division of Community and Education (DOCE), National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141 Email: elewis@usda.gov Phone: (202) 690-4565

Fax:

Website Address:

<https://nifa.usda.gov/program/1890-land-grant-institutions-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Continuation Facilities projects will be funded based upon the availability of funds in the third year of funding the 2018-2022 program of work and upon receipt of annual performance/accomplishment reports. New funding will be used to continue the work to improve infrastructure, construct new facilities, renovate older facilities, secure state-of-the art equipment, and add other improvements that will attract students, faculty and researchers, as well as enhance outreach efforts within the surrounding communities, train farmers, and work collaboratively with external partners in support of the Food, Agriculture, and Human Sciences.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/1890-facilities-grants-program-renewals>

10.514 Expanded Food and Nutrition Education Program

EFNEP,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may

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fund extension work in several states, territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) is also known as the Expanded Food and Nutrition Education Program (EFNEP)., , 7 USC Code 3175.

OBJECTIVES:

The purpose of this funding is to increase the impact of nutrition education disseminated to low-income families and youth in the 50 states, the U.S. territories, and the District of Columbia.EFNEP serves two primary low-income audiences: 1) families with young children (parents and other caregivers with primary responsibility for feeding young children); and 2) youth/children (grade range - kindergarten through 12th grade).The priorities of EFNEP are to assist low-income families and youth in acquiring knowledge, skills, attitudes, and changed behaviors necessary for nutritionally sound diets and to contribute to their personal development and improvement of the total family diet and nutritional well-being. Participation in EFNEP should result in improved diets and nutritional well-being of the total family.Individuals and families should experience improvements in four core areas:Diet Quality and Physical ActivityImproved diets and nutritional and physical well-being through the adoption of federal food and physical activity recommendations.Food Resource ManagementIncreased ability to buy, grow, or otherwise appropriately obtain, prepare, and store food that meets nutritional needs.Food SafetyImproved household food safety and sanitation practices.Food SecurityIncreased ability to get food directlyand from food assistance programs where necessaryto ensure having enough healthy food to eat.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES: The Expanded Food and Nutrition Education Program (EFNEP) should constitute the employment and training of professional and paraprofessional (peer educator) staff to engage in direct nutrition education and other appropriate nutrition education efforts with low-income families and youth. To the maximum extent practicable, peer educators shall be hired from the indigenous target population.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Only Auburn University; Alabama A & M University; Tuskegee University; University of Alaska; American Samoa Community College; University of Arizona; University of Arkansas; University of Arkansas Pine Bluff; University of California; Central State University, Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A & M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; College of Micronesia; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A & T University; North Dakota State University; Northern Marianas College; Ohio State University; Central State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A & M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University; Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming may submit applications.

Beneficiary Eligibility:

Education (13+); Only Auburn University; Alabama A & M University; Tuskegee University; University of Alaska; American Samoa Community College; University of Arizona; University of Arkansas; University of Arkansas Pine Bluff; University of California; Central State University, Colorado State University;

University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A & M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; College of Micronesia; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A & T University; North Dakota State University; Northern Marianas College; Ohio State University; Central State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A & M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University; Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming may submit applications.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>.RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnepRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Award Procedure:

NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current.2 CFR 200 Subpart C and Appendix 1 and 2 CFR part 400 apply to this Program.The most current RFAs are available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnepRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: The most current RFA is available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.SPECIAL NOTE: Please refer to the

Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnepRFAs> are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: quarterly

Reports:

Universities must provide an EFNEP Annual Update, an EFNEP Budget Sheet and an EFNEP Budget Justification, using the Web-based Nutrition Education Evaluation and Reporting System (WebNEERS). Universities must provide a WebNEERS Report (universities end of year evaluation data), using WebNEERS. A final EFNEP Budget Sheet and EFNEP Budget Justification based on the final allocation amounts for each fiscal year is due through WebNEERS 45 days after the final allocations are published. The actual due date will be announced over the EFNEP listservs.Instructions for submitting reporting requirements through WebNEERS are available on the EFNEP Reporting Requirements Webpage <http://nifa.usda.gov/efnep-reporting-requirements>. If you need information about or access to WebNEERS, contact your universitys EFNEP Coordinator.All program-specific reporting requirements must be received and approved by NIFA program staff before quarterly funds can be released. EFNEP Annual Updates must be approved for first quarter and subsequent payments to be released, WebNEERS Reports must be approved for second quarter and subsequent payments to be released, and EFNEP Budget Sheets and EFNEP Budget Justifications must be approved for quarterly payments to be released, specific quarter that may be held will be contingent on the publication date of the final allocation amounts.Financial reporting via the SF-425, Federal Financial Report for each fiscal year (FY) is due to the ezFedGrants portal, on Dec. 31, of same FY, for the period Oct.1 of FY, through September 30 of FY, and annually thereafter until the award is closed out. Information on how to access the ezFedGrants portal will be provided directly to the grant recipient prior to the due date.See <https://nifa.usda.gov/efnep-reporting-requirements> for additional details of annual, final technical, and financial reporting requirements. Instructions for using the REEport system are included on the NIFA web site at <http://nifa.usda.gov/reeport-resources-land-grant-partners>. NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays. See above for pertinent and specific details. See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Formula Grants FY 20 \$69,400,680.00; FY 21 est \$69,400,680.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Request for Application (RFA).The most current RFA is available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>.As an administrator of U.S. government support, NIFA

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works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FISCAL YEAR (FY) 2020: In accordance with financial data provided by the Budget Office, NIFA projects that \$55,096,680 will be available to fund similar projects. If funding remains level, similar improvements in food-related behaviors are anticipated as those reported for FY2019, with fewer program participants reached. Historically, EFNEP outcomes and impacts are consistent. Fiscal Year 2021: Pertinent details to be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

None. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,
National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,
Kansas City MO 64141
Email: helen.chipman@usda.gov
Phone: 202-701-3524
Fax:

Website Address:

<https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Pertinent details to be provided by Program at a future date. 2021 Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/program/expanded-food-and-nutrition-education-program-efnep>

10.515 Renewable Resources Extension Act and National Focus Fund Projects

RREA and RREA-NFF,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Renewable Resources Extension Act (RREA) of 1978 (Pub. L. 95-306, 92 Stat. 349, 16 U.S.C. 1671 et seq.), , Public Law 95-306, 16 USC Code 1671 et seq.

OBJECTIVES:

The RREA-NFF, Assistance Listing 10.515, program goal is to enhance the sustainability of US forest and rangeland resources, and to enable landowners and managers to achieve their desired goals and objectives by making sound research available to them. Forest and rangeland resources provide multiple benefits to people including wildlife habitat, water supply, open space, recreation, and cultural resources. Many of these lands are privately owned and managed for forest products and livestock production. Forest and rangeland are susceptible to land use conversion and climate change. RREA-NFF projects must maximize the capacity, reach, and impact of the Cooperative Extension System Extension Forestry and Rangeland Programs to ensure appropriate management and conservation of natural resources and to ensure climate change resilience. Handling of baseline data and data collection will be addressed per approved project evaluation or performance measures/outcomes.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES: RREA: Carrying out an extension program designed to assist forest and range landowners and managers in making resource management decisions based on research findings. RREA-NFF: The RREA-NFF addresses the sustainable use of natural resources, by providing funding to the Cooperative Extension System to conduct educational programs for private forestland owners that contribute to well-managed forests and the nations and worlds fiber supply in support of rural economic systems.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; RREA: In accordance with the Renewable Resources Extension Act of 1978, applications may only be submitted by the following State colleges and universities. Auburn University; Alabama A&M University; Tuskegee University; University of Alaska - Fairbanks; University of Arizona; University of Arkansas; University of Arkansas at Pine Bluff; University of California; Central State University; Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A&M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern

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University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A&T State University; North Dakota State University; The Ohio State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A&M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming.RREA-NFF:Applicants for the RREA-NFF must meet all the requirements discussed in this RFA. Applications may only be submitted by 1862 (7 CFR 3430.2, 7 U.S.C. 301 et seq; and 7 U.S.C. 7601(1)) and 1890 land-grant institutions (7 U.S.C. 7601(2) and 7 CFR3430.2). Project Directors must have an Extension appointment. An Extension appointment is a formal appointment by an Extension Director/Administrator in the institutions extension service. Failure to meet the eligibility criteria by the application deadline may result in exclusion from consideration or preclude NIFA from making an award.

Beneficiary Eligibility:

State; Public nonprofit institution/organization; Other public institution/organization; RREA: In accordance with the Renewable Resources Extension Act of 1978, applications may only be submitted by the following State colleges and universities. Auburn University; Alabama A&M University; Tuskegee University; University of Alaska - Fairbanks; University of Arizona; University of Arkansas; University of Arkansas at Pine Bluff; University of California; Central State University; Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A&M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A&T State University; North Dakota State University; The Ohio State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A&M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming.RREA-NFF: Applications may only be submitted by 1862 and 1890 land-grant institutions. Project Directors must have an extension appointment. An extension appointment is a formal appointment by an Extension Director/Administrator in the institutions cooperative extension service. Failure to meet an eligibility criterion by the application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Credentials/Documentation:

Credentials/Documentation (083): The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or

(c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

RREA:<https://nifa.usda.gov/sites/default/files/resources/FY202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>RREA-NFF:<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rea-nff> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

RREA:<https://nifa.usda.gov/sites/default/files/resources/FY202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>RREA-NFF:<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rea-nff> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

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Award Procedure:

RREA:NIFA will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current. RREA-NFF:Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

RREA:<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>RREA-NFF:<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows:

RREA:<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>RREA-NFF:<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFAs are available as follows: RREA:<https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>RREA-NFF:<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff> RFAs are generally released annually. Hence,

the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed two (2) years for RREA and RREA-NFF. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available as follows: RREA: <https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf>RREA-NFF:<https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s). Method of awarding/releasing assistance: other

Reports:

RREA:Recipients have an approved Five (5) year project in REEport and are to provide an Annual Progress Report (by fiscal year), in REEport. The progress report should include program outputs and outcomes that correspond to the RREA strategic issues included in the recipients REEport project. Significant outputs and outcomes from emergent program offerings should also be included in the Annual Progress Report. The following is a description of project data reporting requirements through the REEport system (<http://portal.nifa.usda.gov>), as well as the SF-425 reporting requirements. Institutions must submit a REEport Project Initiation, which includes the Project Description, Project Classification, Assurance Form, and Project Proposal through the REEport System prior to the initiation of each capacity-funded project. The project must undergo a review process and be approved before it is incorporated into the Program of Research. Each institution must submit a REEport Progress Report annually for each eligible project. All Progress Reports are based on the federal fiscal year and must be submitted by March 1st for the preceding fiscal year. A Final Report must be submitted to NIFA through REEport for each completed or terminated project. Such reports must be submitted at the same time as are progress reports on active projects and should include a summary of accomplishments for the entire life of the project. A Project Financial Report must be submitted to NIFA through REEport annually for all eligible projects from the preceding fiscal year. A Project Financial Report is also required for expenditures on all state projects that are to be included in the non-federal funds and matching funds computation. Reports shall be made on the federal fiscal year basis. Financial reporting via the SF-425, Federal Financial Report is due to the ezFedGrants portal, on December 31st, for the period October 1st through September 30th, and annually thereafter until the award is closed out. Information on how to access the ezFedGrants portal can be found under the training on the ezFedGrants page: <https://www.nfc.usda.gov/ezFedGrants>. The final SF-425 is due no later than 90 days after the termination date of the grant. The form should be emailed as a PDF attachment to formulagrants@nifa.usda.gov (link sends e-mail). All questions relating to the SF-425 reports should be directed to the Administrative Contact listed in block 14 of the Award Face Sheet. See <http://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant> for additional details of annual, final technical, and financial reporting requirements. Instructions for using the REEport system are included on the NIFA web site at <http://nifa.usda.gov/reepreport-resources-land-grant-partners>. RREA-NFF: REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all

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REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention

requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$3,597,600.00; FY 21 est \$3,597,600.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available as follows: RREA: <https://nifa.usda.gov/sites/default/files/resources/FY%202021%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf> RREA-NFF: <https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The RREA Capacity and National Focus Funds (NFF) programs will continue in FY 2020 if sufficient funding is available. The Presidents FY 2020 Budget proposes a zero appropriation for the RREA Program. If Congress provides funding for FY 2020, the impacts of the capacity program and the National Focus Fund program is contingent upon the appropriation. Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA: <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016> RENEWABLE RESOURCES EXTENSION ACT OF 1978 <https://nifa.usda.gov/sites/default/files/Renewable%20Resources%20Extension%20Act%20of%201978.pdf>

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 Additional Website: RREA-NFF: <https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff>

Headquarters Office:

USDA, NIFA, National Program Leader Institute of Bioenergy, Climate, and Environment (IBCE), Division of Environmental Systems, Forest Resource Management, 1400 Independence Avenue, SW, Stop 2210, ., ADDITIONAL CONTACT: USDA, NIFA, National Program Leader, Institute of Bioenergy, Climate, and Environment (IBCE), Division of Environmental Systems, Range and Grasslands Ecosystems, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141 Email: james.dobrowolski@usda.gov Phone: 816-926-1630 Fax:

<https://nifa.usda.gov/program/renewable-resources-extension-act-capacity-grant>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Contingent upon available funding, capacity and National Focus Fund projects will be awarded in FY 2020 and it is anticipated that those projects will have the same breadth and depth of impacts as previously funded projects. Pertinent information will be provided by Program at a future date. 2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Request for Application (RFA). The most current RFAs are available as follows:

RREA: <https://nifa.usda.gov/sites/default/files/resources/FY%202017%20Renewable%20Resources%20Extension%20Act%20Program%20Modifications.pdf> RREA-NFF: <https://nifa.usda.gov/funding-opportunity/renewable-resources-extension-act-national-focus-fund-projects-rra-nff> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. Further, the National Program Leader(s) reflected per Section # 152 - Headquarters, can be contacted for the most recent RFA(s).

10.516 Rural Health and Safety Education Competitive Grants Program

Rural Health and Safety,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The authority for the Rural Health and Safety Program is under Section 502 (i) of Title V of the Rural Development Act of 1972, as amended (7 U.S.C. 2662), , 7 USC Code 2662.

OBJECTIVES:

The Rural Health and Safety RHSE program addresses the health and well-being of rural America through supporting the development and/or implementation of projects focused on: (1) individual and family health education programs with specified contents; (2) rural health leadership development education programs to

assist rural communities in developing health care services and facilities and assist community leaders and public officials in understanding their roles and responsibilities; and (3) farm safety education programs to provide information and training to farm workers, timber harvesters, and farm families.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences and approaches Grantees must use Cooperative Extension as the mode of program outreach and delivery.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Applications may be submitted by 1862 and 1890 Land Grant colleges and universities that are eligible to receive funds under the Act of July 2, 1862 (7 U.S.C. 301 et seq.), and the Act of August 30, 1890 (7 U.S.C. 321 et seq.), including Central State University, Tuskegee University, West Virginia State University and the University of the District of Columbia. Applications also may be submitted by any of the Tribal colleges and universities designated as 1994 Land Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Student/Trainee; Farmer/Rancher/Agriculture Producer; Public nonprofit institution/organization; Applications may be submitted by 1862 and 1890 Land Grant colleges and universities that are eligible to receive funds under the Act of July 2, 1862 (7 U.S.C. 301 et seq.), and the Act of August 30, 1890 (7 U.S.C. 321 et seq.), including Central State University, Tuskegee University, West Virginia State University and the University of the District of Columbia. Applications also may be submitted by any of the Tribal colleges and universities designated as 1994 Land Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control

ASSISTANCE LISTINGS DESCRIPTIONS

No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable. Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed three (3) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the

ASSISTANCE LISTINGS DESCRIPTIONS

award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. **FINANCIAL REPORTING:**As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD)/Office of Grants and Financial Management (OGFM)/National Institute of Food and Agriculture (NIFA)/U.S. Department of Agriculture (USDA)/P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205/Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 **SPECIAL NOTES:** (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. **PROGRESS REPORTS:** See above for pertinent and specific details. **EXPENDITURE REPORTS:** See above for pertinent and specific details. **PERFORMANCE MONITORING:** See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$3,840,000.00; FY 21 est \$3,880,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most

current RFA is available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For Fiscal Year 2021 it is projected that \$3.5 million will be available to support RHSE projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: **POLICY GUIDELINES** Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> **CERTIFICATIONS AND REPRESENTATIONS** Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> **ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA** When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> **FEDERAL REGULATIONS** The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> **FOIA** The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> **NEPA POLICY AND GUIDANCE** The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> **OGFM ISSUED CORRESPONDENCE** The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> **RESEARCH MISCONDUCT** NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> **NIFAS GENERAL AWARD TERMS AND CONDITIONS** Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205/Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community (IYFC), Division of Family and Consumer Sciences/National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205/Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: ashiple@usda.gov
Phone: 202-720-2324
Fax:

Website Address:

<https://nifa.usda.gov/program/rural-health-and-safety>

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

2020 Information is not yet available. Pertinent details to be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/rural-health-and-safety-education-competitive-grants-program-rhse>

10.517 Tribal Colleges Extension Programs

Tribal Colleges Extension Program Special Emphasis (TCEP-SE); Tribal Colleges Extension Program (TCEP); Federally Recognized Tribes Extension Program (FRTEP) (formerly Extension Indian Reservation Program).

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

TCEP-SE This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601). This section amends Section 3 of the Act of May 8, 1914 (Smith-Lever Act) (7 U.S.C. 341 et seq.), as amended. TCEP This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601). FRTEP This program is authorized under Section 3(d) of the Act of May 8, 1914, Smith-Lever Act, ch. 79, 38 Stat. 372, 7 U.S.C. 341 et seq. Section 7403 of the Food, Conservation, and Energy Act of 2008 (FCEA).

OBJECTIVES:

TCEP-SE: The TCEP-SE is intended to be a component of the applicant 1994 institution's land-grant roadmap or strategic planning process. To the extent practicable, priorities should reflect NIFA's national critical needs areas: 1) Development of sustainable energy; 2) Increased global food security; 3) Adaptation of agriculture and natural resources to global climate change; 4) Reduction of childhood and adolescent obesity; and 5) Improved food safety. TCEP: The purpose of the TCEP is to enable 1994 institutions to deliver science-based, culturally relevant extension education programs designed to address public needs and improve quality of life. The TCEP is intended to be a component of the applicant 1994 institutions land-grant roadmap or strategic planning process. The following are priority areas: 1. Promotion of energy conservation and development of sustainable energy sources; 2. Increased reservation, pueblo, tribal or other American Indian Community food security; 3. Adaptation of tribal agriculture and natural resources to global climate change; 4. Reduction of childhood and adolescent obesity; and/or 5. Improved food safety. The purpose and intent of this program is to establish an Extension presence and support Extension outreach on Federally Recognized Indian Reservations and Tribal jurisdictions of Federally Recognized Tribes. The intent and mission of this competitive grant program is to establish: 1) An Extension presence to promote equity in access to Extension outreach; 2) To provide resources to address long-standing need among Federally Recognized Tribes. FRTEP: The purpose and intent of this program is to establish an Extension presence and support Extension outreach on Federally Recognized Indian Reservations and Tribal jurisdictions of Federally Recognized Tribes. This program seeks to continue the Land Grants mission of inclusion--providing education and research-based knowledge to those who might not otherwise receive it.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES: TCEP-SEAgriculture educational programs help individuals learn new

ways to generate income through alternative enterprises, improved marketing strategies, and management skills and help farmers, foresters and ranchers improve productivity through resource management, controlling crop pests, soil testing, livestock production practices, and marketing. 2. 4-H Youth Development builds important life skills in youth that build character and assist them in making appropriate life and career choices. At-risk youth participate in school retention and enrichment programs. Youth learn science, math, social skills, and much more, through hands-on projects and activities. 3. Leadership Development trains extension professionals and volunteers to deliver programs in gardening, health and safety, family and consumer issues, and 4-H youth development and serve in leadership roles in the community. 4. Natural Resources informs landowners and homeowners how to achieve sustainable use of natural resources and enhance environmental values with educational programs in water quality, water conservation, timber management, composting, lawn waste management, and recycling. 5. Family and Consumer Sciences enhances families resiliency and health by teaching nutrition, food preparation skills, positive child care, family communication, financial management, and health care strategies. 6. Community and Economic Development helps local governments investigate and create viable options for economic and community development, such as improved job creation and retention, small and medium-sized business development, effective and coordinated emergency response, solid waste disposal, tourism development, workforce education, and land use planning. TCEP: Projects will be tailored to support current or proposed Extension education infrastructure, personnel and programming. Procurement of educational equipment, extension office accommodation, salaries for Extension personnel, student internships, community outreach and allowable program support expenses are considered part of capacity building. FRTEP Applicants will work towards a comprehensive Extension plan and engage in both direct and indirect activities in support of their proposed programs. Examples of direct programs activities include, but are not limited to, needs assessments, educational workshops, site visits, producer demonstration projects and cultural-learning events. Indirect activities might include, but are not limited to, extending partnerships, expanding communication networks and acquiring additional resources in support of the overall goals and objectives of the proposed project.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; TCEP-SE and TCEP: The Educational Land-Grant Status Act of 1994, as amended in Section 533(a), requires that each 1994 Land-Grant Institution be accredited or making progress towards accreditation and be recognized as a legal entity. If accreditation is being sought, a college must demonstrate its progress towards accreditation by a letter from a nationally recognized accreditation agency affirming receipt of application for an accreditation site visit or other such documentation. FRTEP: Applications may be submitted by 1862 or 1890 Land-Grant Institutions that have a Federally Recognized Tribe within their state jurisdiction. For a state-by-state listing of Indian tribes or groups that are federally recognized, see <http://www.ncsl.org/research/state-tribal-institute/list-of-federal-and-state-recognized-tribes.aspx>.

Beneficiary Eligibility:

American Indian; Education (13+); TCEP-SE and TCEP: The Educational Land-Grant Status Act of 1994, as amended in Section 533(a), requires that each 1994 Land-Grant Institution be accredited or making progress towards accreditation and be recognized as a legal entity. If accreditation is being sought, a college must demonstrate its progress towards accreditation by a letter from a nationally recognized accreditation agency affirming receipt of application for an accreditation site visit or other such documentation. FRTEP: Applications may be submitted by 1862 or 1890 Land-Grant Institutions that have a Federally Recognized Tribe within their state jurisdiction. For a state-by-state listing of Indian tribes or groups that are federally recognized, see <http://www.ncsl.org/research/state-tribal-institute/list-of-federal-and-state-recognized-tribes.aspx>.

Credentials/Documentation:

Credentials/Documentation (083): The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award

ASSISTANCE LISTINGS DESCRIPTIONS

Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: Tribal Colleges Extension Program Special Emphasis:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf Tribal Colleges Extension Program:
<https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcepFRTEP>:

https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see:

<http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>.

Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: TCEP-SE:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf TCEP <https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcepFRTEP> https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs

supersede the general information provided in the CFDA database.

Award Procedure:

Applications are evaluated in a 2-part process. First, each application is screened to ensure that it meets the administrative requirements as set forth in this RFA.

Second, a review panel will technically evaluate applications that meet these requirements. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: TCEP_SE:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf TCEP <https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcepFRTEP> https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf TCEP <https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcepFRTEP> https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via:

TCEP_SE:
https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf TCEP <https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcepFRTEP> https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed 5 years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at:

<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: TCEP_SE:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf TCEP <https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcepFRTEP> https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf

Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

REReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REReport Database-- Research, Education, and Extension project online reporting tool (REReport). All projects must be documented in REReport. The NIFA contact for all REReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).1890 Facilities Terms and Conditions (dated May, 2017).(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$10,752,000.00; FY 21 est \$9,105,600.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).The most current RFA is available via: TCEP-SE:

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf TCEP <https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcepFRTEP> https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: (A) - Extension Services at the 1994 Institutions Program (aka Tribal Colleges Extension Program and TCEP) AND(B) - Tribal Colleges Extension - Special Emphasis (aka TCEP-SE)The projected funding rate for FY 2020 is projected to be the same for FY 2019. (C) - Federally-Recognized Tribes Extension Program (aka FRTEP)In Fiscal Year 2020, the FRTEP program represents the fourth year of a four-year continuation award. NIFA will be making continuation awards totaling \$2,917,440 to 36 extension offices through its 1862 Land Grant Universities. Awards will range from \$68,580 to \$122,000. This will be used to support all 36 Extension offices, including attendance at the 2020 Extension Professional Development Conference. The location is still To be Determined (TBD). Fiscal Year 2021: Pertinent details will be provided by program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONSCertifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFAWhen acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONSThe Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIAThe Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCEThe National Environmental Policy Act (NEPA) Policy and Guidance set the standard for

ASSISTANCE LISTINGS DESCRIPTIONS

identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONSAward terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader Erin Riley, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: erin.riley@usda.gov
Phone: (816) 926-2131

Website Address:

<https://nifa.usda.gov/funding-opportunity/tribal-colleges-extension-program-special-emphasis-tcep-se>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 (A) - Extension Services at the 1994 Institutions Program (aka Tribal Colleges Extension Program and TCEP) AND (B) - Tribal Colleges Extension - Special Emphasis (aka TCEP-SE) The FY 2020 is projected to be the same as FY 2019 because they are continuation awards. (C) - Federally-Recognized Tribes Extension Program (aka FRTEP) FRTEP programs represent 4-year continuation awards. Hence, the same projects will be funded from FY 2017 through FY 2020. The FRTEP Extension Offices are designed and equipped to respond to community and stakeholder needs. These may change regularly as life and nature dictate. Generally, the programming is consistent each year. 2021 Pertinent details will be provided by program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: TCEP SE

https://nifa.usda.gov/sites/default/files/11_Tribal%20Colleges%20Extension%20-%20Special%20Emphasis_0.pdf TCEP <https://www.nifa.usda.gov/funding-opportunity/tribal-colleges-extension-services-program-capacity-tcep> FRTEP https://nifa.usda.gov/sites/default/files/rfa/FY%202017%20FRTEP_final.pdf

10.518 Food Animal Residue Avoidance Databank

FARAD,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities., Title 7, Section 604, 7 USC Code 7642.

OBJECTIVES:

The purpose of the FARAD is to provide livestock producers, extension specialists, scientists, and veterinarians with up to date information to prevent drug, pesticide and environmental contaminant residues in food animal products. Collaboration between all institutions occurs on research projects that are necessary to validate the FARAD withdrawal interval estimates.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Food Animal Residue Avoidance Databank (FARAD) is a NIFA funding opportunity for the production of safe foods of animal origin through the development and dissemination of expert-mediated resources supporting prevention and mitigation of violative chemical (drug, pesticide, natural toxins, and environmental contaminant) residues in food animal products. The major roles of each FARAD Center: Kansas State University (KSU) - Develop pharmacokinetic modeling approaches and provide pharmacokinetic support to FARAD centers. In addition, develop an interface that links the developed models (physiologically-based PK models) with drug residue cases managed by responders at UCD and NCSU. The University of California (UCD) Maintain the pharmacokinetic, bibliographic and call center databases and web-based access to the kinetic and bibliographic citation files. On a rotating basis with NCSU also provides responses to drug and contaminant residue questions submitted to FARAD. The University of Florida (UFL) - Collect and enter data for the US Approved Animal Drugs Database (US-AADD), maintains internet websites for FARAD, creates and supports platforms for mobile devices to access the Veterinarian's Guide to Residue Avoidance Management (VetGRAM) and other mobile-friendly applications, and distributes electronic alerts and updates via email, Twitter and other electronic formats. North Carolina State University (NCSU) Develop pharmacokinetic modeling approaches and provide pharmacokinetic support to FARAD centers. In addition, develop an interface that links the developed Population PK models with drug residue cases managed by responders at UCD and NCSU. On a rotating schedule with UCD, provide responses to emergency queries (telephone, internet) from the FARAD Hotline. NCSU will work with a veterinary clinical pharmacologist provide veterinary clinical pharmacology technical support to responders at NCSU and UCD for drug residue cases submitted to FARAD and oversee that appropriate PK models are utilized to resolve FARAD cases submitted to NCSU and UCD response centers. Collaboration between all institutions occurs on research projects that are necessary to validate the FARAD withdrawal interval estimates.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities. This 30-year-old high performing integrated consortium of collaborating institutions currently includes at each Land Grant campus a lead PD, and his/her respective scientific staff. The institutions involved are University of California, Davis (UCD), North Carolina State University (NCSU), University of Florida (UFL), and Kansas State University (KSU).

Beneficiary Eligibility:

Public nonprofit institution/organization; Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD

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program) through contracts, grants, or cooperative agreements with appropriate colleges or universities. This 30-year-old high performing integrated consortium of collaborating institutions currently includes at each Land Grant campus a lead PD, and his/her respective scientific staff. The institutions involved are University of California, Davis (UCD), North Carolina State University (NCSU), University of Florida (UFL), and Kansas State University (KSU).

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications. 2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA. RFAs are generally released

annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the CFDA database

Appeals:

Not Applicable. Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. Contact the headquarters or regional office, for most current RFA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the contract, grant or cooperative agreement shall be three (3) years, with options to extend the term triennially. SPECIAL NOTE: Please refer to the Request for Applications (RFAs) for specific and pertinent details. Contact the headquarters or regional office, for most current RFA. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information

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provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. NIFA utilizes the Federal Financial Report, Form SF-425 to monitor cash outlays. See above for pertinent and specific details. See above for pertinent and specific details. See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants FY 20 \$2,500,000.00; FY 21 est \$2,500,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Request for Application (RFA). Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the

headquarters or regional office, for most current RFA.

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No major shifts of responsibilities among the five (5) collaborating Universities is projected. Each network node is expected to continue to effectively accomplish its site-specific scientific and communication tasks and provide 24/7 expert-mediated hotline and internet-accessible data and services in support of the overall integrated network mission. Fiscal Year 2021: Pertinent information will be provided by program at a later date.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OIG FM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogfm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter. <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader,, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: Robert.M.Smith@usda.gov
Phone: 202-445-3468
Fax:

Website Address:

<https://nifa.usda.gov/food-animal-residue-avoidance-databank>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The Funded Project Network Nodes are the same as for Fiscal Year 2019. Collaboration between all institutions occurs on research projects that are necessary to validate the FARAD withdrawal interval estimates. Collaboration between institutions will occur on research and specific case consultations. 2021 Pertinent information will be provided by program at a later date.

CRITERIA FOR SELECTING PROPOSALS:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Request for Application (RFA). Please refer to the Request for Applications (RFAs) for further specific and pertinent details. Contact the headquarters or regional office, for most current RFA.

10.519 Equipment Grants Program (EGP)

EGP,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Public Law 115-334

OBJECTIVES:

The Equipment Grant Program (EGP) serves to increase access to shared-use special purpose equipment/instruments for fundamental and applied research for use in the food and agricultural sciences programs at institutions of higher education, including State Cooperative Extension Systems

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

a. Fundamental research is research that increases knowledge or understanding of the fundamental aspects of phenomena and has the potential for broad application and has an effect on agriculture, food, nutrition, or the environment. b. Applied research is research that includes expansion of the findings of fundamental research to uncover practical ways in which new knowledge can be advanced to benefit individuals and society.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); a. 1862 and 1890 land-grant institutions; b. State-certified Schools of Forestry as stipulated in accordance with 16 U.S.C. 582a et seq. (the McIntire-Stennis Act of 1962); c. State Agricultural Experiment Stations located in the 50 States, the District of Columbia and the Insular Areas in accordance with 7 U.S.C. 361a et seq. (the Hatch Act of 1887); d. "Cooperative extension services" meaning the organizations established at the land-grant colleges and universities under the Smith-Lever Act of May 8, 1914 (38 Stat. 372374, as amended; 7 U.S.C. 341349), and section 209(b) of the Act of October 26, 1974 (88 Stat. 1428, as amended; D.C. Code, sec. 311719(b)); e. Accredited schools or colleges of veterinary medicine or State agricultural experiment stations that conduct animal health and disease research in accordance with section 1433(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977; f. Hispanic-serving institutions as defined in section 1101a of title 20; and g. Eligible institutions in insular areas including, the Commonwealth of Puerto Rico; Guam; American Samoa; the Commonwealth of the Northern Mariana Islands; the Federated States of Micronesia; the Republic of the Marshall Islands; the Republic of Palau; and the Virgin Islands of the United States.

Beneficiary Eligibility:

School; Education (13+); Accredited schools or colleges of veterinary medicine or State agricultural experiment stations that conduct animal health and disease research in accordance with section 1433(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977; Hispanic-serving institutions as defined in section 1101a of title 20;

Credentials/Documentation:

Eligibility is limited to: a) a college or university or b) a State Cooperative Institution, as defined in 7 U.S.C. 3103, including: 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply to this RFA electronically; no other method or response is accepted. The electronic application for this RFA and additional resources will be available on Grants.gov Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Within the limit of funds authorized, the NIFA awarding official will make grants to responsible and eligible applicants whose applications are judged most meritorious under the procedures set forth.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable. NA

Renewals:

From 120 to 180 days. Renewal Application A project application that seeks additional funding for a project beyond the period that was approved in an original or amended award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FY 20 and FY21 Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements, as specified in 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (the Uniform Guidance), and 7 CFR 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions. REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov b. Annual Progress Reports. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. c. Final Report: The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

When an application results in an award, it becomes a part of NIFA transaction records, which are available to the public. Information that the Secretary of Agriculture determines to be confidential, privileged, or proprietary in nature will be held in confidence to the extent permitted by law. Therefore, applicants should clearly mark any information within the application they wish to have considered as confidential, privileged, or proprietary. NIFA will retain a copy of an application that does not result in an award for three years. Such an application will be released only with the consent of the applicant or to the extent required by law. An applicant may withdraw at any time prior to the final action thereon.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$5,000,000.00; FY 21 est \$4,800,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

500000

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact the Grants.gov helpdesk for technical support, and keep a record of the correspondence. Contact NIFA if applicant does not receive correspondence from NIFA regarding an application within 30 days of the application deadline. Grants.gov Online Support Telephone support: 800-518-4726 Toll-Free or 606-545-5035 Email support: support@grants.gov Self-service customer based support: Grants.gov iPortal Key Information: Customer service business Hours 24/7, except federal holidays. Email: E-mail: electronic@usda.gov Phone: 202-401-5048 Key Information: Business hours: Monday thru Friday, 7a.m. 5p.m. ET, except federal holidays Mallory Koenings, PhD, RDN mallory.koenings@usda.gov (816) 926-2620 Carlos Ortiz, PhD carlos.ortiz@usda.gov (816) 926-2700 NIFAs Mailing Address: P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

Carlos Ortiz,
6501 Beacon Dr,
Kansas City MO 64133
Email: carlos.ortiz@usda.gov
Phone: (816) 926-2700
Fax:

Website Address:

<https://nifa.usda.gov/program/egp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.520 Agriculture Risk Management Education Partnerships Competitive Grants Program

ARME,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Federal Crop Insurance Act, 7 USC Code 1501. Agricultural Risk Protection Act of 2000, Section 133, Public Law 106-224, Federal Crop Insurance Act, 7 USC Code 1524(a). Food, Conservation, and Energy Act of 2008, Section 12026, Public Law 110-246

OBJECTIVES:

To establish a competitive grants program for the purpose of educating agricultural producers about the full range of risk management activities to enhance profitability of their operations. These activities include futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, marketing plans and tactics, farm resources risk reduction, and other appropriate risk management strategies. Further the National Institute of Food and Agriculture (NIFA) is required to place special emphasis on risk management strategies, education, and outreach specifically targeted at: (A) beginning farmers or ranchers; (B) legal immigrant farmers or ranchers that are attempting to become established producers in the United States; (C) socially disadvantaged farmers or ranchers; (D) farmers or ranchers that (i) are preparing to retire; and (ii) are using transition strategies to help new farmers or ranchers get started; and (E) new or established farmers or ranchers that are converting production and marketing systems to pursue new markets.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Qualified public and private entities (including land grant colleges, cooperative extension services, and colleges or universities) may use funds for the purpose of educating agricultural producers about the full range of risk management activities, including futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, farm resources risk reduction, and other risk management strategies.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Qualified public and private entities (including land grant colleges, cooperative extension services, and colleges or universities) may use funds for the purpose of educating agricultural producers about the full range of risk management activities, including futures, options, agricultural trade options, crop insurance, cash forward contracting, debt reduction, production diversification, farm resources risk reduction, and other risk management strategies.

Beneficiary Eligibility:

Federal; U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Applications may be submitted by qualified public and private entities. This includes all colleges and universities, Federal, State, and local agencies, nonprofit and for-profit private organizations or corporations, and other entities. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one

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new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants-program>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants-program>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-armed-competitive-grants-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods cannot exceed the statutory time limit of five (5) years. The funding period will commence on the effective date cited in the award instrument. Any such limitation also applies to subcontracts made under awards subject to a funding period limitation. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative

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Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.SPECIAL

NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via:

<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-competitive-grants-program>RFAs are generally released annually.

Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.govProgress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. **FINANCIAL REPORTING:**As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.(2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016).1890 Facilities Terms and Conditions (dated May, 2017).(3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative

Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS:See above for pertinent and specific details. EXPENDITURE REPORTS:See above for pertinent and specific details. PERFORMANCE MONITORING:See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants FY 20 \$9,033,600.00; FY 21 est \$9,052,800.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-competitive-grants-program>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: NOTE: Mandatory program delegated to another USDA agency (Risk Management Agency) but administered by NIFA.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONSCertifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFAWhen acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATIONSThe Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIAThe Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCEThe National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCEThe Office of Grants and

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Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification

purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research

Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONSAward terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader Deborah Reyome, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141

Email: deborah.reyome@usda.gov

Phone: 816-908-3283

Website Address:

<https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-arme-competitive-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 NOTE: Mandatory program delegated to another USDA agency (Risk Management Agency) but administered by NIFA. Continued capacity building on current ARME efforts within centers. Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/agriculture-risk-management-education-partnerships-competitive-grants-program>

10.521 Children, Youth and Families At-Risk

CYFAR, CYFAR-SCP and CYFAR-PDTA,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Smith-Lever Act of 1914, , 7 USC Code 343(d). Funding for the Children, Youth, and Families At-Risk Sustainable Community Projects (CYFAR SCP) is authorized under section 3(d) of the Smith-Lever Act of May 8, 1914, as amended (7 U.S.C. 341, et seq.). Section 7403 of the Food, Conservation, and Energy Act (FCEA) of 2008 amends section 3(d) of the Smith-Lever Act of 1914 (7 U.S.C. 343(d)) in regards to eligibility. Legislation indicates that funding for youth-at-risk programs falls under section 3(d) of the SmithLever Act., , 7 USC Code 341, et seq.

OBJECTIVES:

To marshal resources of the Land-grant Institutions (LGIs) and Cooperative Extension Systems (CES) so that, in collaboration with other organizations, they can develop and deliver educational programs that equip youth who are at-risk for not meeting basic human needs with the skills they need to lead positive, productive, and contributing lives. The CYFAR Program is based on research on effective programs for at-risk youth and families and on the human ecological principle of working across the lifespan in the context of the family and community.To assure that the critical needs of children and families are met, CYFAR supports comprehensive, intensive, community-based programs developed with active citizen participation in all phases. CYFAR promotes building resiliency and protective factors in youth, families, and communities. CYFAR supports collaboration by forming lasting partnerships to achieve greater outcomes and provides a support base for sustaining programs for those at risk. CYFAR also promotes the use of technology to improve programs, provides efficient access to educational resources, and provides essential technological skills for youth and adults in at-risk environments.Further, LGIs and CES, in collaboration with other organizations, develop and deliver educational programs that equip military-connected youth with resiliency skills necessary to lead positive, productive, and contributing lives. The CMPC-PDTA provides for professional development and technical assistance to CYFAR 4-H Military partnership programs to ensure continued development and implementation of CMPC-PDTA.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES:The CYFAR SCP has two (2) strategic objectives: 1. To support community educational programs for at-risk children, youth, and families which are based on locally identified needs, soundly grounded in research, and which lead to the accomplishment of one of four CYFAR National Outcomes and2. To integrate CYFAR programming into ongoing Extension programs for children, youth, and families insuring that at-risk, low income children, youth, and families continue to be part of Extension and/or 4-H programs and have access to resources and educational opportunities.The CMPC-PDTA has three (3) strategic objectives: 1. To provide professional development and technical assistance to the Land-grant institutions and Cooperative Extension Systems, in collaboration with other organizations, to ensure continued development and implementation of the 4-H program on military installations. 2. To support the development of Cooperative Extensions educational 4-H positive youth development programs (in local, often rural communities) for military-connected youth based on identified needs and soundly grounded in research.3. To integrate 4-H Military Partnership programming into ongoing Extension programs for children, youth, and families ensuring that military-connected youth continue to be a part of Extension 4-H programs and have access to resources and educational opportunities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Applicants for the CYFAR must meet all the requirements discussed in this RFA. Applications may only be submitted by Extension at 1890 Land-Grant Institutions, including Tuskegee University, Central State University, and West Virginia State University; 1862 Land-Grant Colleges and Universities; the University of the District of Columbia; and 1994 Land-Grant Institutions. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

Beneficiary Eligibility:

State; Public nonprofit institution/organization; Applicants for the CYFAR must meet all the requirements discussed in this RFA. Applications may only be submitted by Extension at 1890 Land-Grant Institutions, including Tuskegee University, Central State University, and West Virginia State University; 1862 Land-Grant Colleges and Universities; the University of the District of Columbia; and 1994 Land-Grant Institutions. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).Dun and Bradstreet Universal Numbering System (DUNS)

ASSISTANCE LISTINGS DESCRIPTIONS

Number and System for Award Management (SAM) - each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.

SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. **SPECIAL NOTE:** Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with

section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. **SPECIAL NOTE:** Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the Competitive Request for Applications (RFA), generally published for each fiscal year (FY). Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. **SPECIAL NOTE:** Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Other. Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. RFAs are generally published annually. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016) at: <https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and>

ASSISTANCE LISTINGS DESCRIPTIONS

cooperative-agreements-october-2016SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016), 1890 Facilities Terms and Conditions (dated May, 2017). (3) Further

guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants FY 20 \$8,395,000.00; FY 21 est \$6,080,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA).

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Pertinent details will be provided by Program at a future date. Fiscal Year 2021: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDE: NIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS: Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA: When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS: The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA: The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE: The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGF M ISSUED CORRESPONDENCE: The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees,

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and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCT:NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS:Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on December 26, 2014 and thereafter.<https://nifa.usda.gov/resource/nifa-general-terms-and-conditions-grants-and-cooperative-agreements-october-2016>.

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

USDA, NIFA. National Program Leader, Institute of Youth, Family, and Community (IYFC), Division of Youth and 4-H., National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: bonita.williams@usda.gov
Phone: 816-926-1686
Fax:

Website Address:

<https://nifa.usda.gov/program/children-youth-and-families-risk-cyfar>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Pertinent details will be provided by Program at a future date. 2021 Pertinent details will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/children-youth-and-families-risk-cyfar-sustainable-community-projects> and <https://nifa.usda.gov/funding-opportunity/cyfar-4-h-military-partnership-professional-development-and-technical-assistance>

10.522 Food and Agriculture Service Learning Program

FASLP,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Section 4209 of Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630 et seq) as amended. (Pub. L. 113-79), , Public Law 113-79, 7 USC Code 7630. Food and agriculture service learning program (7 U.S.C. 7633), , 7 USC Code 7633.

OBJECTIVES:

The purposes of the Program are-(1) to increase capacity for food, garden, and

nutrition education within host organizations or entities and school cafeterias and in the classroom;(2) to complement and build on the efforts of the farm to school programs implemented under section 18(g) of the Richard B. Russell National School Lunch Act (42 U.S.C. 1769(g));(3) to complement efforts by the Department and school food authorities to implement the school lunch program established under the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.) and the school breakfast program established by section 1773 of title 42;(4) to carry out activities that advance the nutritional health of children and nutrition education in elementary schools and secondary schools (as those terms are defined in section 7801 of title 20); and(5) to foster higher levels of community engagement and support the expansion of national service and volunteer opportunities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

USES:PRIORITIESIn making grants under this section, the Secretary may consider projects that are carried out by entities that-(A) have a proven track record in carrying out the purposes described in subsection (b);(B) work in underserved rural and urban communities;(C) teach and engage children in experiential learning about agriculture, gardening, nutrition, cooking, and where food comes from; and(D) facilitate a connection between elementary schools and secondary schools and agricultural producers in the local and regional area.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; The Secretary may make grants to carry out research, extension, and education under this subsection to-(A) State agricultural experiment stations;(B) colleges and universities;(C) university research foundations;(D) other research institutions and organizations;(E) Federal agencies;(F) national laboratories;(G) private organizations, foundations, or corporations;(H) individuals; or(I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Private nonprofit institution/organization; Other private institution/organization; State; Local; Other Urban; Rural; Education (0-8); Education (9-12); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; The Secretary may make grants to carry out research, extension, and education under this subsection to-(A) State agricultural experiment stations;(B) colleges and universities;(C) university research foundations;(D) other research institutions and organizations;(E) Federal agencies;(F) national laboratories;(G) private organizations, foundations, or corporations;(H) individuals; or(I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).

Credentials/Documentation:

Credentials/Documentation (083): The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM).Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Be registered in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready

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to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Competitive Request for Applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-and-agriculture-service-learning-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via [Grants.gov](http://www.grants.gov) in response to specific Requests for Applications (RFA). Applicants must complete the [Grants.gov](http://www.grants.gov) registration process. For information about the pre-award phase of the grant lifecycle application processes see: <http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA [Grants.gov](http://www.grants.gov) Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agriculture-service-learning-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d)

the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Competitive Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agriculture-service-learning-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days. Contact the National Program Leader (NPL), as indicated per CFDA Section # 152 Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. Information is also available via our website and may be obtained via the [Grants.gov](http://www.grants.gov) website. NIFAs respective links regarding general information are provided below: <http://nifa.usda.gov/http://www.grants.gov>. SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-and-agriculture-service-learning-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the Request for Applications (RFA), which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-and-agriculture-service-learning-program>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. In accordance with Section 1492 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3371), as added by section 7128 of the Agricultural Act of 2014 (Pub. L. 113-79), for grants awarded after Oct. 1, 2014, the recipient of an award from the FASLP program must provide funds, in-kind contributions, or a combination of both, from sources other than funds provided through such grant in an amount that is at least equal to the amount awarded by NIFA unless one of the exemptions described herein is applicable. Note that NIFA included information at http://www.nifa.usda.gov/business/awards/matching_require.html to further assist you in determining if you must meet the new matching requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed two (2) years. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated June 2017) at: https://www.nsf.gov/pubs/policydocs/rtr/agencyspecifics/nifa_617.pdf Visit the NSF website (link is external) (link is external) to access this critical information (see <https://www.nsf.gov/awards/managing/rtr.jsp> (link is external)). SPECIAL NOTE: Please refer to the Competitive Request for Applications (RFAs) for specific and pertinent details. The most current RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agriculture-service-learning-program> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the

ASSISTANCE LISTINGS DESCRIPTIONS

Competitive RFAs supersede the general information provided in the CFDA database. Method of awarding/releasing assistance: other

Reports:

REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the Competitive Requests for Applications (RFAs) for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the Competitive RFAs supersede the general information provided in the CFDA database. (2) The details of the reporting requirements are included in the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated October 2016). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See above for pertinent and specific details. EXPENDITURE REPORTS: See above for pertinent and specific details. PERFORMANCE MONITORING: See above for pertinent and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$1,000,000.00; FY 21 est \$1,920,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Competitive Request for Application (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/food-and-agriculture-service-learning-program>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Pertinent information will be provided by Program at a future date. Fiscal Year 2021: Pertinent information will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA. <https://nifa.usda.gov/acknowledgment-usda-support-nifa> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGFM ISSUED CORRESPONDENCE As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and

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procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>ACKNOWLEDGEMENT OF USDA SUPPORT BY NIFA When acknowledging USDA support in accordance with 2 CFR Part 415, grantees must use the following acknowledgement for all projects or initiatives supported by NIFA.<https://nifa.usda.gov/acknowledgment-usda-support-nifa>FEDERAL REGULATION The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct>NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grant's circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on June 1, 2017 and thereafter. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated June 2017) at: https://www.nsf.gov/pubs/policydocs/rtr/agencyspecifics/nifa_617.pdf Visit the NSF website (link is external) (link is external) to access this critical information (see <https://www.nsf.gov/awards/managing/rtr.jsp> (link is external)).

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

USDA, NIFA, National Program Leader Institute of Food Safety and Nutrition (IFSN), Division of Nutrition,, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: Lisa.Jahns@usda.gov
Phone: 816-820-9584
Fax:

Website Address:

<https://nifa.usda.gov/funding-opportunity/food-and-agriculture-service-learning-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 It is anticipated that similar projects will be funded in Fiscal Year 2020. Pertinent information will be provided by Program at a future date. 2021 Pertinent information will be provided by Program at a future date.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Competitive Request for Application (RFA). The most current

RFAs are available via: <https://nifa.usda.gov/funding-opportunity/food-and-agriculture-service-learning-program>

10.523 Centers of Excellence at 1890 Institutions

1890 Centers of Excellence (COEs),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Public Law Consolidated Appropriations Act, 2019-P.L. 116-6, Section 774, Public Law The Agriculture Improvement Act of 2018-H.R. 2, Section 7213

OBJECTIVES:

The Centers of Excellence at 1890 Institutions Program will establish new federally-funded Centers of Excellence, building on three existing non-federal Centers established in 2015 in conjunction with the 125th Anniversary of the Second Morrill Act of 1890. Funding will be awarded non-competitively to build capacity of the following Centers: 1) The Center for Innovation and Sustainable Small Farms, Ranches, and Landowners (CISFRL) is intended to increase profitability, natural resource conservation and market demand for small farmers, including socially disadvantaged/ underserved farmers, ranchers and forest landowners. This Center also addresses challenges related to healthier families and enhanced quality of life in rural communities. 2) The Center of Excellence for International Engagement and Development is designed to supply the country with a globally educated workforce and support critical global development needs. Projects may address trans-boundary challenges including U.S. national security, climate variability, conservation, and biodiversity, as well as the development/strengthening of teaching curricula and experiential learning. 3) The Virtual Center to Motivate and Educate for Achievement serves as an expansive resource center for developing and sustaining a diverse and talented STEAM workforce pipeline by working collaboratively with government and private sectors.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

1) The Center for Innovation and Sustainable Small Farms, Ranches, and Landowners will fund research, extension, and integrated projects designed to increase profitability, natural resource conservation and market demand for small farmers, including socially disadvantaged/ underserved farmers, ranchers and forest landowners. Projects may also address challenges related to healthier families and enhanced quality of life in rural communities. 2) The Center of Excellence for International Engagement and Development will fund teaching, research, extension, and integrated projects designed to supply the country with a globally educated workforce and support critical global development needs. Projects may include, but are not limited to, experiential learning opportunities for students; collaborative research to address trans-boundary challenges; and extension projects that have mutual benefits domestically and abroad. 3) The Virtual Center to Motivate and Educate for Achievement will fund teaching project such as: (1) Curricula design and materials development; (2) faculty preparation and enhancement for teaching; (3) instruction delivery systems; (4) scientific instrumentation for teaching; (5) student experiential learning; and (6) student recruitment and retention. Additional details available in the Centers of Excellence for 1890 Institutions Request for Applications (RFA).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Full applications may only be submitted by an individual or consortium of the nineteen 1890 land-grant institutions, including Tuskegee University (as identified in the Second Morrill Act; 7 U.S.C 3221 et seq. (7 U.S.C. 321)). Grant recipients may subcontract to organizations not eligible to apply for the purpose of supporting the overall coordination and administration of the 1890 COE.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

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The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Register in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFA). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant must be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/centers-excellence-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <https://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/centers-excellence-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic

Assistance (CFDA)] database. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Request for Applications (RFA). 2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/centers-excellence-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings (CFDA) database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally, 60 days, unless otherwise noted. Contact the Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. NOTE: The Headquarters Point-of-Contact is usually the National Program Leader (NPL) as reflected in the Request for Applications (RFA) Part VII Agency Contacts. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<https://nifa.usda.gov>/<https://www.grants.gov>. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/centers-excellence-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database.

Appeals:

Not Applicable. Not Applicable.

Renewals:

Other. Specific details are provided in the RFA, which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/centers-excellence-1890-institutions>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in

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the Award document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated June 2017) at: https://www.nsf.gov/pubs/policydocs/rte/agencyspecifics/nifa_617.pdf Visit the NSF website (link is external) (link is external) to access this critical information (see <https://www.nsf.gov/awards/managing/rte.jsp> (link is external)). SPECIAL NOTE: Please refer to the Request for Applications (RFA) for specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/centers-excellence-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. Method of awarding/releasing assistance: other

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reereport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the RFA for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings (CFDA) database. (2) The details of the reporting requirements are included in the Award

document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated June 2017). https://www.nsf.gov/pubs/policydocs/rte/agencyspecifics/nifa_617.pdf Visit the NSF website (link is external) (link is external) to access this critical information (see <https://www.nsf.gov/awards/managing/rte.jsp> (link is external)). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDA's Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS: See Program Reports section above for pertinent data and specific details. EXPENDITURE REPORTS: See Program Reports section above for pertinent data and specific details. PERFORMANCE REPORTS: See Program Reports section above for pertinent data and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 200 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$5,760,000.00; FY 21 est \$5,760,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Request for Applications (RFA). The most current RFA is available via: (NOTE: Insert hyperlink for Programs funding opportunity here.)

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDELINES Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental

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impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCEThe Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCTNIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct>NIFAS GENERAL AWARD TERMS AND CONDITIONSAward terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements.References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on June 1, 2017 and thereafter.Further details are provided in the Notice of Award (formerly Award Face Sheet) and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated June 2017) at: https://www.nsf.gov/pubs/policydocs/rtr/agencyspecifics/nifa_617.pdfVisit the NSF website (link is external) (link is external) to access this critical information (see <https://www.nsf.gov/awards/managing/rtr.jsp> (link is external)).

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community, Division of Community and Education, National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061, Kansas City MO 64141
Email: Manoharan.Muthusamy@usda.gov
Phone: 816-702-9676
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Request for Applications (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/centers-excellence-1890-institutions>RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database

10.524 Scholarships for Students at 1890 Institutions

1890 Scholarships,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title The Agriculture Improvement Act of 2018, H.R. 2, Section 7117, Public Law 115-334, 7 USC Code 3221.

OBJECTIVES:

The Scholarships for students at 1890 Institutions program was authorized by Section 7117 in the Agriculture Improvement Act of 2018. The goal of the program is to provide multiple scholarships for students at each of the 1890 Land-Grant Institutions and Tuskegee University.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance will be used to provide grants to the 1890 institutions. The 1890 institutions will use the grants to award scholarships for students. Each award will be no less than \$500,000 per institution.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Applications may only be submitted by 1890 Land-grant Institutions and Tuskegee University. Alabama A&M University Alcorn State University Central State University Delaware State University Florida A&M University Fort Valley State University Kentucky State University Langston University Lincoln University (MO) North Carolina A&T State University Prairie View A&M University South Carolina State University Southern University and A&M College Tennessee State University Tuskegee University University of Arkansas-Pine Bluff University of Maryland-Eastern Shore Virginia State University West Virginia State University

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Register in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFA). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant must be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/scholarships-students-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current

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and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <https://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program.SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/scholarships-students-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.Evaluation Criteria will be delineated in the Request for Applications (RFA).2 CFR 200 Subpart C and Appendix I and 2 CFR part 400 apply to this Program.SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/scholarships-students-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings (CFDA) database.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other: Generally, 60 days, unless otherwise noted. Contact the Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time.NOTE: The Headquarters Point-of-Contact is usually the National Program Leader (NPL) as reflected in the Request for Applications (RFA) Part VII Agency Contacts.Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<https://nifa.usda.gov>/<https://www.grants.gov>. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/scholarships-students-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database.

Appeals:

Not Applicable.

Renewals:

Other: Specific details are provided in the RFA, which are generally published annually. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/scholarships-students-1890-institutions>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed five (5) years. Further details are provided in the Award document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated June 2017) at: https://www.nsf.gov/pubs/policydocs/rtc/agency specifics/nifa_617.pdf Visit the NSF website (link is external) (link is external) to access this critical information (see <https://www.nsf.gov/awards/managing/rtc.jsp> (link is external)). SPECIAL NOTE: Please refer to the Request for Applications (RFA) for specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/scholarships-students-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. Method of awarding/releasing assistance: other

Reports:

REReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REReport). Initial reporting (item a. below) is to be submitted through the REReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REReport system. Information on REReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reepreport> and the REReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REReport Database-- Research, Education, and Extension project online reporting tool (REReport). All projects must be documented in REReport. The NIFA contact for all REReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.Final Report: c. Final Report. The Final

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Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING:As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA.Federal Financial Report, Form SF-425:NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov.If questions are encountered regarding financial reporting requirements, please contact:Awards Management Division (AMD)Office of Grants and Financial Management (OGFM)National Institute of Food and Agriculture (NIFA)U.S. Department of Agriculture (USDA)P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205Courier/Package Delivery Address:2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061SPECIAL NOTES: (1) Refer to the RFA for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings (CFDA) database.(2) The details of the reporting requirements are included in the Award document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated June 2017).https://www.nsf.gov/pubs/policydocs/rtr/agency specifics/nifa_617.pdfVisit the NSF website (link is external) (link is external) to access this critical information (see <https://www.nsf.gov/awards/managing/rtr.jsp> (link is external)). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. PROGRESS REPORTS:See Program Reports section above for pertinent data and specific details. EXPENDITURE REPORTS:See Program Reports section above for pertinent data and specific details. PERFORMANCE REPORTS:See Program Reports section above for pertinent data and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants (Discretionary) FY 20 \$4,800,000.00; FY 21 est \$9,600,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Request for Applications (RFA).The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/scholarships-students-1890-institutions>

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance:NIFAs primary (main) website:<https://nifa.usda.gov/regulations-and-guidelines>The following represent specific documents and direct links:POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures.<https://nifa.usda.gov/policy-guide>CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process.<https://nifa.usda.gov/certifications-and-representations>FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register.<https://nifa.usda.gov/federal-regulations>FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data.<https://nifa.usda.gov/foia>NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts.<https://nifa.usda.gov/nepa-policy-and-guidance>OGFM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes.<https://nifa.usda.gov/ogfm-issued-correspondence>RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct.<https://nifa.usda.gov/research-misconduct>NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on June 1, 2017 and thereafter. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated June 2017) at: https://www.nsf.gov/pubs/policydocs/rtr/agency specifics/nifa_617.pdfVisit the NSF website (link is external) (link is external) to access this critical information (see <https://www.nsf.gov/awards/managing/rtr.jsp> (link is external)).

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader, Institute of Youth, Family, and Community (IYFC), Division of Community and Education (DOCE), National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,

Kansas City MO 64141

Email: antonio.a.mclaren@usda.gov

Phone: 202-480-3494

Fax:

Website Address:

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<https://nifa.usda.gov/funding-opportunity/scholarships-students-1890-institutions-aka-1890-scholarships>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Request for Applications (RFA). The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/scholarships-students-1890-institutions> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database

10.525 Farm and Ranch Stress Assistance Network Competitive Grants Program

FRSAN,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title Agricultural Improvement Act, Section 7522, Public Law 115-334, Title FRSAN-SDA- Coronavirus Response and Relief Act, 2021 of the FY 2021 Consolidated Appropriations Act (H.R. 133), Section Section 766 of Division M

OBJECTIVES:

The purpose of the FRSAN Program is to establish a network that connects individuals who are engaged in farming, ranching, and other agriculture-related occupations to stress assistance programs. The term farmer is used in the broadest sense and should be interpreted to include agricultural farmers, ranchers, workers, business owners, and non-industrial private forest owners and managers. FRSAN-SDA: Funding appropriated for the FRSAN-SDA program may be used by State Departments of Agriculture to expand or sustain stress assistance programs for individuals who are engaged in farming, ranching, and other agriculture-related occupations, including: (1) programs that use funds to initiate, expand, or sustain programs that provide professional agricultural behavioral health counseling and referral for other forms of assistance as necessary through the following: (A) Farm telephone helplines and websites (B) Training, including training programs and workshop, for i. Advocates for individuals who are engaged in farming, ranching, and other occupations relating to agriculture: and ii. Other individuals and entities that may assist individuals who (1) are engaged in farming, ranching, and other occupations relating to agriculture and (2) are in crisis. (C) support groups; and (D) outreach services and activities, including the dissemination of information and materials. (2) any State initiatives in existence as of December 27, 2020 and identified in the State Plan that provide stress assistance for such individuals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 7522 of the Food, Conservation, and Energy Act of 2008, 7 U.S.C. 5936, authorizes NIFA to establish a Farm and Ranch Stress Assistance Network as follows: to establish a network that connects individuals who are engaged in farming, ranching, and other agriculture-related occupations to stress assistance programs.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Quasi-public nonprofit institution/organization; FRSAN-State, Local (includes State-designated Indian Tribes, excludes institutions

of higher education and hospitals, Quasi-public nonprofit institution/organization) Applications may only be submitted by a collaborative state; tribal; local or regionally-based network, or partnership of qualified public and/or private entities, as determined by the Secretary. These collaborations may include the following entities: Indian tribes (as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5304)); State departments of agriculture, State cooperative extension services; and nongovernmental organizations (NGOs). FRSAN-SDA- Only State Departments of Agriculture (or such equivalent department) are eligible for funding under this program. For this program, the term State includes (1) the 50 States; (2) the District of Columbia; (3) the Commonwealth of Puerto Rico; and (4) any other territory or possession of the United States.

Beneficiary Eligibility:

State; Quasi-public nonprofit organization; FRSAN-State, Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals, Quasi-public nonprofit institution/organization) Applications may only be submitted by a collaborative state; tribal; local or regionally-based network, or partnership of qualified public and/or private entities, as determined by the Secretary. These collaborations may include the following entities: Indian tribes (as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 5304)); State departments of agriculture, State cooperative extension services; and nongovernmental organizations (NGOs). FRSAN-SDA- Only State Departments of Agriculture (or such equivalent department) are eligible for funding under this program. For this program, the term State includes (1) the 50 States; (2) the District of Columbia; (3) the Commonwealth of Puerto Rico; and (4) any other territory or possession of the United States.

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Register in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFA). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant must be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://www.nifa.usda.gov/funding-opportunity/farm-and-ranch-stress-assistance-network> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs

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supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <https://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USDA's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://www.nifa.usda.gov/funding-opportunity/farm-and-ranch-stress-assistance-network> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Request for Applications (RFA).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally, 60 days, unless otherwise noted. Contact the Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. NOTE: The Headquarters Point-of-Contact is usually the National Program Leader (NPL) as reflected in the Request for Applications (RFA) Part VII Agency Contacts. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below: <https://nifa.usda.gov/> <https://www.grants.gov>. SPECIAL NOTE: Please refer to the Request for

Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://www.nifa.usda.gov/funding-opportunity/farm-and-ranch-stress-assistance-network> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the RFA, which are generally published annually. The most current RFA is available via: <https://www.nifa.usda.gov/funding-opportunity/farm-and-ranch-stress-assistance-network>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed 5 years. Further details are provided in the Award document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated June 2017) at: https://www.nsf.gov/pubs/policydocs/rf/agencyspecifics/nifa_617.pdf visit the NSF website to access this critical information (see <https://www.nsf.gov/awards/managing/rfc.jsp>). SPECIAL NOTE: Please refer to the Request for Applications (RFA) for specific and pertinent details. The most current RFA is available via: <https://www.nifa.usda.gov/funding-opportunity/farm-and-ranch-stress-assistance-network> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. Method of awarding/releasing assistance: other

Reports:

Program Reports: REEport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEport). Initial reporting (item a. below) is to be submitted through the REEport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEport system. Information on REEport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reeport> and the REEport software can be found at <https://portal.nifa.usda.gov>. a. Initial Reporting Documentation in the REEport Database-- Research, Education, and Extension project online reporting tool (REEport). All projects must be documented in REEport. The NIFA contact for all REEport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov Progress Reports: b. Progress Report. All projects must report annually into REEport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. Final Report: c. Final Report. The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire time frame of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2

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CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061 SPECIAL NOTES: (1) Refer to the RFA for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings (CFDA) database. (2) The details of the reporting requirements are included in the Award document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated June 2017). https://www.nsf.gov/pubs/policydocs/rte/agencyspecifics/nifa_617.pdf Visit the NSF website to access this critical information (see <https://www.nsf.gov/awards/managing/rte.jsp>). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USAs Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards. FRANS-SDA Reporting: Grantees are required to submit a report no later than 180 days after the public health emergency ends, describing (1) the activities conducted using such funds, (2) the amount of funds used to support each such activity; and (3) the estimated number of individuals served by each such activity. See Program Reports section above for pertinent data and specific details. See Program Reports section above for pertinent data and specific details. See Program Reports section above for pertinent data and specific details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.501. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-0502-0-1-352.

Obligations:

Project Grants FY 20 \$9,600,000.00; FY 21 est \$0.00; FY 22 Estimate Not Available; Project Grants FY 20 Not Available; FY 21 est \$28,000,000.00; and

FY 22 Not Available;

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive and/or capacity project grant, or cooperative agreement are established, these amounts will be announced in the annual Request for Applications (RFA). FRANS-SDA-Individual State Departments of Agriculture (or such equivalent department) may request up to \$500,000. The total allocations to each state may not exceed the cap specified of \$500,000. The most current RFA is available

via: <https://www.nifa.usda.gov/funding-opportunity/farm-and-ranch-stress-assistance-network>

TAFS Codes:

12-0502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Pertinent details will be provided by Program at a future date.

REGULATIONS, GUIDELINES, AND LITERATURE:

As an administrator of U.S. government support, NIFA works in partnership with grantees to ensure responsible stewardship of federal funds. Our grantees and partners are required to comply with all relevant rules and regulations. The following resources are provided to NIFAs partners and award recipients to support their adherence to federal regulations governing program performance: NIFAs primary (main) website: <https://nifa.usda.gov/regulations-and-guidelines> The following represent specific documents and direct links: POLICY GUIDENIFAs Federal Assistance Policy Guide describes agency policies and procedures. <https://nifa.usda.gov/policy-guide> CERTIFICATIONS AND REPRESENTATIONS Certifications and representations provided through the NIFA application process. <https://nifa.usda.gov/certifications-and-representations> FEDERAL REGULATIONS The Code of Federal Regulations (CFR) lists all regulations published in the Federal Register. <https://nifa.usda.gov/federal-regulations> FOIA The Freedom of Information Act (FOIA) provides that any person has the right to request access to federal documents and information such as research data. <https://nifa.usda.gov/foia> NEPA POLICY AND GUIDANCE The National Environmental Policy Act (NEPA) Policy and Guidance set the standard for identifying potential environmental impacts. <https://nifa.usda.gov/nepa-policy-and-guidance> OGM ISSUED CORRESPONDENCE The Office of Grants and Financial Management occasionally issues correspondence to applicants, grantees, and/or the general public for informational or clarification purposes. <https://nifa.usda.gov/ogm-issued-correspondence> RESEARCH MISCONDUCT NIFA requires that all its awardees adhere to the USDA Scientific Integrity Policy and the Federal Policy on Research Misconduct. <https://nifa.usda.gov/research-misconduct> NIFAS GENERAL AWARD TERMS AND CONDITIONS Award terms and conditions are determined by statutory, regulatory, and agency requirements, as well as each grants circumstances. Terms and conditions dictate important items related to your grant, including method of payment, reporting frequency and content, and prior approval requirements. References to the terms and conditions of awards are located on the NIFA 2009 Award Fact Sheet. NIFA's general award terms and conditions (see link below) is applicable to this program, for awards with an award date on June 1, 2017 and thereafter. Further details are provided in the Award document Form NIFA-2009 and the NIFA General Terms and Conditions Grants and Cooperative Agreements (dated June 2017) at: https://www.nsf.gov/pubs/policydocs/rte/agencyspecifics/nifa_617.pdf Visit the NSF website to access this critical information (see <https://www.nsf.gov/awards/managing/rte.jsp>).

Regional or Local Office:

See Regional Assistance Locations. National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061

Headquarters Office:

National Program Leader Brent Elrod,
National Institute of Food and Agriculture U.S. Department of Agriculture P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205 Courier/Package Delivery Address: 2312 East Bannister Road, Mail Stop 10000, Kansas City, MO 64141-3061,
Kansas City MO 64141
Email: richard.elrod@usda.gov

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Phone: 816-908-3140

Fax:

Website Address:

<https://www.nifa.usda.gov/funding-opportunity/farm-and-ranch-stress-assistance-network>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Within guidelines established for the program as described in the Request for Applications (RFA). The most current RFA is available via: <https://www.nifa.usda.gov/funding-opportunity/farm-and-ranch-stress-assistance-network>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database

10.527 New Beginnings for Tribal Students

NBTS,

FEDERAL AGENCY:

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Public Law 95-113

OBJECTIVES:

This program assists with scholarships to Tribal Students for recruiting; tuition and related fees; experiential learning; student services to include tutoring; counseling; academic advising and other student services that would increase retention and graduation rate of Tribal Students enrolled at the land-grant college or university,

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This program assists with scholarships to Tribal Students for recruiting; tuition and related fees; experiential learning; student services to include tutoring; counseling; academic advising and other student services that would increase retention and graduation rate of Tribal Students enrolled at the land-grant college or university,

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); In accordance with 7 U.S.C. 3222(e), the Secretary is authorized to make competitive grants to land-grant colleges or universities which includes 1994 Institutions (as defined in section 532 of the Equity in Educational Land-Grant Status Act of 1994 (Public Law 103382; 7 U.S.C. 301 note)) to provide support targeted at Tribal students. Land-grant colleges and universities includes 1862, 1890 and 1994 land-grant institutions. Colleges and Universities with Land-Grant Status. Tribal student is defined in this legislation as a student at a land-grant college or university that is a member of an Indian tribe as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C 5304).

Beneficiary Eligibility:

Graduate Student; Student/Trainee; American Indian; Tribal student is defined in this legislation as a student at a land-grant college or university that is a member of an Indian tribe as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C 5304).

Credentials/Documentation:

The System for Award Management (SAM) combines eight federal procurement

systems, including CCR, and the Catalog of Federal Domestic Assistance into one new system. CCR activities are conducted through SAM (the CCR website will redirect users to SAM). Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM): Each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal awarding agency under 2 CFR 25.110(d)) is required to: (i) Register in SAM before submitting its application; (ii) Provide a valid DUNS number in its application; and (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. It also must state that the Federal awarding agency may not make a Federal award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the Federal awarding agency is ready to make a Federal award, the Federal awarding agency may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant. Applicants must furnish the information required in the Request for Applications (RFA). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant must be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/new-beginning-tribal-students-programs-nbts>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The National Institute of Food and Agriculture (NIFA) only accepts electronic applications which are submitted via Grants.gov in response to specific Requests for Applications (RFA). Applicants must complete the Grants.gov registration process. For information about the pre-award phase of the grant lifecycle application processes see: <https://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html>. Further, applicants must follow the instructions provided in the NIFA Grants.gov Application Guide, which can be assessed as follows: Adobe NIFA Applications.2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and 2 CFR part 400 USAs Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/new-beginning-tribal-students-programs-nbts>. RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings [formerly known as the Catalog of Federal

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Domestic Assistance (CFDA)] database. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the Request for Applications (RFA).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally, 60 days, unless otherwise noted. Contact the Headquarters Office regarding dates for specific deadlines, start and end dates, and range of approval/disapproval time. NOTE: The Headquarters Point-of-Contact is usually the National Program Leader (NPL) as reflected in the Request for Applications (RFA) Part VII Agency Contacts. Information is also available via our website and may be obtained via the Grants.gov website. NIFAs respective links regarding general information are provided below:

<https://nifa.usda.gov>/<https://www.grants.gov>. SPECIAL NOTE: Please refer to the Request for Applications (RFA) for further specific and pertinent details. The most current RFA is available via: <https://nifa.usda.gov/funding-opportunity/new-beginning-tribal-students-programs-nbts> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database.

Appeals:

Not Applicable.

Renewals:

Other. Specific details are provided in the RFA, which are generally published annually.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are mandatory. This listing has 100% matching requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with statutory time limits, project periods, including no-cost extensions of time, are not to exceed ___3___ (X) years. Further details are provided in the Award document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated June 2017) at: https://www.nsf.gov/pubs/policydocs/rte/agency specifics/nifa_617.pdf Visit the NSF website (link is external) (link is external) to access this critical information (see <https://www.nsf.gov/awards/managing/rte.jsp> (link is external)). SPECIAL NOTE: Please refer to the Request for Applications (RFA) for specific and pertinent details. The most current RFA is available via:

<https://nifa.usda.gov/funding-opportunity/new-beginning-tribal-students-programs-nbts> RFAs are generally released annually. Hence, the RFAs provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the Assistance Listings [formerly known as the Catalog of Federal Domestic Assistance (CFDA)] database. Method of awarding/releasing assistance: quarterly

Reports:

REEReport GRANT REPORTING: All grant reporting must be completed using the Research, Education, and Extension project online reporting tool (REEReport). Initial reporting (item a. below) is to be submitted through the REEReport system. Annual progress and final reporting (items b. and c. below) must also be done through the REEReport system. Information on REEReport can be found on NIFAs web site at <https://nifa.usda.gov/tool/reerport> and the REEReport software can be found at <https://portal.nifa.usda.gov>. a. Initial Documentation in the REEReport Database-- Research, Education, and Extension project online reporting tool (REEReport). All projects must be documented in REEReport. The NIFA contact for all REEReport documentation is: USDA National Institute of Food and Agriculture, ATTN: REEReport, P.O. Box 419205, Mail Stop 10000, Kansas City, MO 64141-6205. E-mail: electronic@usda.gov b. Annual Progress Reports. All projects must report annually into REEReport. An annual Progress Report is due 90 calendar days after the awards anniversary date (i.e., one year following the month and day of which the project period begins and each year thereafter up until a final report is required). An annual Progress Report covers the most recent one-year period. The following information, when applicable, must be included in the Accomplishments section of the annual Progress Report. (1) A comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted if the information is considered useful); (2) The reasons for slippage if established goals were not met; and (3) Additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs. c. Final Report The Final Report is required within 90 calendar days after the expiration or termination of the award. The Final Report covers the entire period of performance of the award and must describe progress made during the entire timeframe of the project instead of covering accomplishments made only during the final reporting segment of the project. In addition to supplying the information required under item b. of this article, the final report must include the following when applicable: Identify equipment purchased with any Federal funds under the award and indicate subsequent use of such equipment. FINANCIAL REPORTING: As outlined in 2 CFR 200.329, the recipient must submit financial status reports by the frequency required in the terms and conditions of the award. The following are the financial reporting requirements for NIFA. Federal Financial Report, Form SF-425: NIFA uses the SF-425, Federal Financial Report to monitor cash. A Federal Financial Report, Form SF-425, is due on an annual basis no later than 90 days following the end of the award anniversary date (i.e., one year following the month and day when the project period begins and each year thereafter up until a final report is required). A final Federal Financial Report, Form SF-425, is due 90 days after the expiration date of this award. The report must be submitted to the Awards Management Division (AMD) as a pdf attachment to an email sent to awards@usda.gov. If questions are encountered regarding financial reporting requirements, please contact: Awards Management Division (AMD) Office of Grants and Financial Management (OGFM) National Institute of Food and Agriculture (NIFA) U.S. Department of Agriculture (USDA) STOP 22711400 Independence Avenue, SW Washington, DC 20250-2271 Telephone: (202) 401-4986 SPECIAL NOTES: (1) Refer to the RFA for further specific and pertinent details. RFAs are generally released annually and provide the most current and accurate information available. Any specific instructions in the RFAs supersede the general information provided in the beta.SAM.gov Assistance Listings (CFDA) database. (2) The details of the reporting requirements are included in the Award document Form NIFA-2009 and the Research Terms and Conditions and NIFA Agency Specific Terms and Conditions (dated June 2017). https://www.nsf.gov/pubs/policydocs/rte/agency specifics/nifa_617.pdf Visit the NSF website to access this critical information (see <https://www.nsf.gov/awards/managing/rte.jsp> (link is external)). (3) Further guidance is provided under 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards and 2 CFR Part 400, USDAs Uniform Administrative Requirements, Cost Principles and

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Audit Requirements for Federal Awards.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Relation to other audit requirements, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with 2 CFR Part 400 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 200.334 Retention requirements for records. Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved. 2 CFR 200 Subpart D applies to this program.

Account Identification:

12-1500-0-1-352.

Obligations:

Project Grants FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The Senate amendment provides that no State shall receive more than \$500,000 per year through grants under this program. At this time there is no minimum amount, there is however a matching amount requirement.

TAFS Codes:

12-1500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Erin Riley USDA, NIFA, National Program Leader, Institute of Youth, Family, and Community (IYFC), Division of Community and Education (DOCE), erin.riley@usda.gov, 816-926-2131.

Headquarters Office:

National Program Leader Erin Riley,
Institute of Youth, Family, and Community, Division of Community and Education, 1400 Independence Avenue, SW., STOP 2250,
Washington DC 20250-2250
Email: erin.riley@usda.gov
Phone: 816-926-2131
Fax:

Website Address:

<https://nifa.usda.gov/program/new-beginning-tribal-students>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.528 USDA WIC Telehealth Evaluation

Collaborative

WIC TEC,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Under this program, subject to the availability of funds, the Secretary of Agriculture may award competitive grants and cooperative agreements for the support of research projects to further USDA food and nutrition assistance programs., Title Child Nutrition Act of 1966, Section Section 17(g) (5)[1]

OBJECTIVES:

1.To competitively select State Agencies to implement promising and well-designed telehealth innovations that supplement the WIC nutrition education including breastfeeding support offered in the WIC clinic, while decreasing barriers to access among WIC participants.2.To rigorously evaluate the interventions implemented by sub-grantees, including a process evaluation and cost estimate. 3.To disseminate findings to inform the WIC Program at the Federal, State, and local level, and contribute to the evidence base related to telehealth. 4.To coordinate meetings that provide meaningful opportunities for information-sharing and project coordination.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

See Objectives section above for appropriate uses of grant funds to selected awardee

Applicant Eligibility:

Other public institution/organization; Other private institutions/organizations; Eligible entities include public and private colleges and universities and other non-profit research organizations. This Request for Application (RFA) is open to all Accredited Colleges/Universities, and Private or Public Non-profit Research Institutions or Organizations.

Beneficiary Eligibility:

Local; State; Individual/Family; U.S. Territories; Federally Recognized Indian Tribal Governments; Low Income; Rural; Welfare Recipient; Infant (0-5); Women; WIC participants may benefit from telehealth funded subgrant projects.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Following the initial screening process, FNS will assemble a panel group to review and determine the technical merits of each application. The panel will evaluate the proposals based on how well they address the required application components and array the applications from highest to lowest score. The panel members will recommend applications for consideration for a grant award based on the evaluation scoring. The selecting official reserves the right to accept the panels recommendation or to select an application for funding out of order to meet agency priorities, program balance, geographical representation, or project diversity. FNS reserves the right to use this solicitation and competition to award additional grants in the next fiscal year should additional funds be made available.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Awards are anticipated to be made before September 30, 2019.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

Assistance is available between September 2019-September 2024. The anticipated award period runs from September 2019-September 2024. All funds must be spent by September 24, 2024. Method of awarding/releasing assistance: other

Reports:

State-specific reports and a final report that includes all study instruments must be provided by Grantee. Other publications and presentations are encouraged.

Quarterly progress reports are required of grantees and sub-grantees. Quarterly financial reports are required of grantees.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Public access to Federal Financial Assistance records shall not be limited, except when such records must be kept confidential and would have been excepted from disclosure pursuant to the Freedom of Information regulation (5 U.S.C. 552).

Account Identification:

12-3510-0-1-605.

Obligations:

Cooperative Agreements FY 20 \$1,045,566.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

In a 5 year funding cycle, the USDA anticipates awarding up to \$8,500,000 in grant funding to support the creation of the WIC Telehealth Evaluation Collaborative, a WIC telehealth innovations research facility. The majority of these funds, \$5,000,000, shall be awarded as sub-grants through a competitive process to WIC State Agencies for the purpose of implementing telehealth innovations that supplement the nutrition education and breastfeeding support offered in the WIC clinic.

TAFS Codes:

12-3510.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: USDA/Tufts Telehealth Intervention Strategies for WIC (THIS-WIC) accomplished and progressed to completing a number of milestones. On November 7, 2019, Tufts hosted the kickoff meeting with our leadership team and advisory board members. In early October 2019, THIS-WIC sent out an interest survey to WIC State Agencies (SAs) for the grant opportunity. They received responses from 60 unique SAs and heard from 48 SA Directors. Conducting the survey allowed THIS-WIC to build awareness around the grant opportunity while gathering valuable feedback on what technical support requests and concerns SAs have. The survey responses also helped to guide the creation of the Request for Proposal (RFP), including selection criteria and communication materials and resources (e.g., Resource Library). Throughout the first quarter, THIS-WIC has been working collaboratively with FNS to obtain feedback on the RFP. On February 5, 2020, THIS-WIC released the request for proposals (RFP) and launched the website. THIS-WIC worked collaboratively to disseminate the RFP broadly. Organizations such as the National WIC Association (NWA), Association of State Public Health Nutritionists, and Association of Maternal & Child Health Program raised awareness around the opportunity. THIS-WIC, NWA, and FNS sent announcement emails directly to WIC State Agency (SA) Directors.

Additionally, phone calls were made in an attempt to engage SAs Directors that did not respond to our formative research survey in the fall. THIS-WIC organized three webinars to share general information about the RFP, Telehealth Resource Centers, and the evaluation process. The RFP, Telehealth Resource Center, and evaluation webinar had 107, 93, and 99 registrations respectively. The webinars engaged 36 unique SAs and had an average of 69 participants. The project management team (PMT) recorded webinars and posted them to the website shortly after their airing, along with responses to participants questions. After the webinars, THIS-WIC continued outreach to SAs through multiple channels. The THIS-WIC weekly digest engages 90 listserv members by sharing frequently asked questions, resources, and updates. Every Monday, NWA also reminds SAs of the opportunity through their Monday reports. Organizations such as the American Academy of Pediatrics and Black Mothers' Breast Feeding Association promoted the opportunity through social media (e.g., Twitter, Facebook). In addition to disseminating the RFP, the project management team organized procedures for

reviewing brief and full proposals. The team finalized scoring rubrics and the SurveyMonkey Apply platform for the review process. The PMT also selected nine team members for the brief proposal review that cover the expertise of nutrition, WIC, and telehealth.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Social Science Research Analyst Dr. Karen A. Castellanos-Brown,
1320 Braddock Place,

Alexandria VA 22314

Email: Karen.Castellanos-Brown@usda.gov

Phone: 7033052732

Fax:

Website Address:

<https://thiswic.nutrition.tufts.edu/>

RELATED PROGRAMS:

10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children ;

EXAMPLES OF FUNDED PROJECTS:

2021 The awardees are: District of Columbia Advancing Telehealth Technology and Innovation in DC WICDC WIC will evaluate and identify ways to enhance the agency's current telehealth activities, including existing telehealth education tools. In addition, the project will investigate strategies for integrating telehealth into home visiting program delivery models. Georgia Technology and WICA Comprehensive Approach to Public Health Georgia WIC plans to build on an existing statewide telehealth platform, Pathways Telehealth, to extend services beyond present boundaries, expanding the telehealth options to web and mobile and decrease barriers to access in rural communities, with the goal of influencing dietary habits and infant feeding practices. Michigan Michigan Telehealth Project Advancing WIC Michigan WIC will implement a pilot study in six rural counties to integrate telehealth technology into their delivery of nutrition education and breastfeeding support services to participants virtually. Telehealth appointments will allow certified professionals to provide high-risk follow-up and secondary education to families. Staff will receive training throughout the study period on the telehealth solution. North Carolina Catching up with the times: Bringing WIC to participants via Telehealth Solutions North Carolina WIC aims to decrease barriers of access to WIC services and increase focus on tailored nutritional needs through four different components: (1) a participant portal app for streamlining the certification process, (2) a video chat component available on the portal to host distance appointments, (3) fixed kiosks to be placed in high-participant-traffic areas of the community with participant portal capabilities, and (4) a TeleWIC Service Center to be utilized by local WIC agencies that are short-staffed to facilitate distance-based appointments. South Carolina Telehealth Solutions for SC WIC South Carolina WIC will develop an online, mobile-friendly telehealth application to enable WIC participants to access WIC services including high-risk nutrition care planning, breastfeeding education, breastfeeding support, and high-risk assessment via one-on-one video chat, phone call, text messaging, and document sharing through the app. Vermont Leveling Up: Delivery of Breastfeeding Education via Interactive Gamification Vermont WIC will develop a game-based telehealth solution for use during pregnancy and early postpartum weeks to promote breastfeeding initiation and longer duration. Through the game portal, qualified WIC staff members will be able to track a WIC participants progress in order to tailor counseling to their needs. The game is designed to work in low-bandwidth areas. Wisconsin Online Nutrition Education (ONE) in Wisconsin Wisconsin WIC plans to develop a multifaceted mobile-optimized website through the ONE system, an innovative tool that will combine videoconferencing, online nutrition education, including lessons and supporting secondary material, a messaging center, and a link to their existing WIC App. Through the tool, qualified WIC professionals will be able to share key information and content with participants, and participants will be able to use the materials in their own time.

CRITERIA FOR SELECTING PROPOSALS:

FNS will pre-screen all applications to ensure the applicants are eligible entities

ASSISTANCE LISTINGS DESCRIPTIONS

and are in compliance with all program regulations and to ensure that they contain the required documents and information, including but not limited to the project and budget narratives and all supporting documentation. Scoring for Grant Applications.1.Overall Approach (50 points)Evaluation of a grant applications Overall Approach will be based on: Sub-Grant Competition (20 points)Proposed focus area for sub-grant competition and justification;Proposed administration plan for executing the competitive RFP process;Sub-Grant Evaluation (20 points)Relevant evaluation experience, skills in designing and carrying out program evaluations, experience collaborating with program practitioners;Proposed approach to designing evaluations of sub-grantee interventions that demonstrates familiarity with common challenges specific to WIC; Proposed evaluation design including specification of main research questions, hypothesis, and outcome measures of interest, approach to data collection, sample size specifications and associated justification, and analysis plans.Dissemination Plan (5 points)Proposed approach to disseminating insights and findings from funded work as well as sharing resources with the WIC Program.Timeline (5 points)A reasonable and clearly described timeline for meeting project milestones and providing deliverables.2.Management Plan (15 Points)Criteria by which a grant applications Management Plan will be evaluated include:Degree to which the Management Plan articulates how the applicant will provide the oversight necessary to ensure high-quality products, services, or outcomes and to keep the funded projects on time and within budget;Practicality of the presented project timeline and whether presented milestones and deadlines are realistic and achievable; Whether the Management Plan demonstrates effective internal controls of funds that are provided to partners and local agencies that ensure funds are used only for project purposes, with an accounting record and audit trail; Plan for managing personnel associated with the project and for addressing any contingencies such as loss of key personnel.3.Staffing (20 Points)Criteria by which a grant applications staffing plan will be evaluated include:Identification and description of the roles and responsibilities of key personnel and other partners and staff involved in the proposed project; Documentation of key personnels necessary and relevant education, skills, and experience for their proposed roles on the project, and evidence that staff have expertise in WIC, evaluation, and similar interventions. Documentation includes relevant publications, prior funded activities, and presentations; Resumes of

10.529 Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

FDPIR is authorized under Section 4(b) of the Food and Nutrition Act of 2008 (as amended in the Agriculture Improvement Act of 2018, P.L. 115-334). FDPIR is authorized through fiscal year 2023. Federal regulations governing the program can be found at 7 CFR Parts 250, 253 and 254., Title Healthy, Hunger-Free Kids Act of 2010, Part 111-296, Section 4(b)

OBJECTIVES:

The Food and Nutrition Service (FNS) administers 15 Federal nutrition assistance programs of the United States Department of Agriculture (USDA). The mission of FNS is to reduce hunger and food insecurity in partnership with cooperating organizations by providing children and needy people access to food, a healthful diet, and nutrition education in a manner that supports American agriculture and inspires public confidence.The goal for this project is to develop and deliver a pilot nutrition paraprofessional training project for FDPIR staff working at local program sites. The training tools and resources developed under this grant should support the efforts of FDPIR local staff in conducting nutrition education activities for FDPIR participants who receive USDA foods.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance will be used to plan, develop, design, deliver and evaluate a culturally relevant training project that uses a multi-component approach

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Non-Government - General; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); This is a competitive, limited solicitation. The cooperative agreement is only open to accredited public or private academic institutes of higher education, research or training institutions, or nonprofit organizations.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; American Indian; Low Income; The applicant selected will teach local agency FDPIR staff the basic nutrition knowledge and skills needed to deliver basic nutrition information, on specific topics, to participants who receive foods through FDPIR.

Credentials/Documentation:

This is a competitive, limited solicitation. The cooperative agreement is only open to accredited public or private academic institutes of higher education, research or training institutions, or nonprofit organizations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applicants must complete the following forms:The following grants.gov forms are required of grant applicants. They are located athttp://www.grants.gov/agencies/afoms_repository_information.jsp:Non-Construction Grant Projects Forms: SF-424 Family Application and Instruction for Federal Assistance (SF-424)Budget Information and Instruction (SF-424)SF LLL (Disclosure of Lobbying Activities): Indicate on the form whether your organization intends to conduct lobbying activities. If your organization does not intend to lobby, write Not Applicable. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Following the initial screening process, FNS will assemble a panel to review and determine the technical merits of each application. The panel will evaluate the proposals based on how well they address the required application components and array the applications from highest to lowest score. The panel members will recommend applications for consideration for an agreement based on the evaluation scoring. The selecting official reserves the right to accept the panels recommendation or to select an application for funding out of rank order to meet agency priorities, program balance, geographical representation, or project diversity.

Deadlines:

Applicants need to submit their application within 60 days of the original posting date.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Performance period is one year with option for no-cost extension should the need arise. Method of awarding/releasing assistance: lump sum.

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:**Account Identification:**

12-3505-0-1-605.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Julie Skolmowski,
3101 Park Center Drive,
Alexandria VA 22302
Email: julie.skolmowski@usda.gov
Phone: 703-305-2885
Fax: Janell Walker,
3101 Park Center Drive,
Alexandria VA 22302
Email: janell.walker@usda.gov
Phone: 7034577714
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FNS will pre-screen all applications to ensure that they contain the required documents and information. After the initial screening of applicants, a review panel of technical and program staff will be convened to review and determine the technical merits of each grant application. The panel will evaluate each grant application on how well it addresses each application component. The panel will assign each application a score using the evaluation criteria and weights specified below.

10.531 State Agency: Farm to School Program Training and Curricula

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The Healthy, Hunger-Free Kids Act of 2010 (HHFKA) amended Section 18 of the Richard B. Russell National School Lunch Act (NSLA) to establish a Farm to School program in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools., Title Richard B. Russell National School Lunch Act, Section 18, Public Law 115-141

OBJECTIVES:

The overall goal of this project is for the selected applicant to conduct a needs

assessment among producers in collaboration with State Agencies (SAs) and then plan, develop, design, promote, and ultimately execute and evaluate training(s) that use a tiered approach to equip SAs (including Departments of Education, Agriculture, or Health) and other potential training participants with the knowledge and skills necessary to train local producers to effectively engage in farm to school efforts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance may be used to develop a training targeted to providing state agencies with the tools necessary to support agricultural producers in selling local goods (meat, fish, grain, dairy, produce) to school food authorities.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); The cooperative agreement is only open to accredited public or private academic institute of higher education, a research or training institution, or nonprofit or for-profit organizations. Special consideration will be given to applicants who have an established producer and State Agency network and/or have successfully implemented training initiatives with a producer on a national level. Please see the RFP for a full explanation of eligibility requirements.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Private nonprofit institution/organization; Other private institution/organization; Please see the RFP for additional information.

Credentials/Documentation:

Beyond documents required in 2 CFR 200, applicants should be prepared to provide references from past relevant projects that demonstrate expertise, an organizational chart and resumes of position descriptions for vacant positions and key personnel. Please see the RFP for a full list of required documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The selecting official makes the final decision regarding which applicant shall be awarded. The selecting official reserves the right to determine whether or not to accept the panels recommendation .FNS reserves the right to use this solicitation and competition to award additional grants in the next fiscal year should additional funds be made available.

Deadlines:

September 26, 2018 - November 26, 2018. These are tentative deadlines. Please see the RFP for the most up to date information regarding opportunity open and close dates.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Contingent upon agency approval

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FNS anticipates awarding a cooperative agreement to an accredited public or private academic institute of higher learning, a research or training institution, or for-profit or nonprofit organization by January 2019. The anticipated period of

ASSISTANCE LISTINGS DESCRIPTIONS

performance of the cooperative agreement will be from February 2019 September 2021. Method of awarding/releasing assistance: letter

Reports:

Quarterly Progress Reports Quarterly progress reports must be submitted to FNS. These progress reports must provide a description of the activities conducted during the reporting period, major accomplishments with completion dates and budget information, deviations from the proposed plan, difficulties encountered, solutions developed to overcome difficulties, and major planned activities for the next quarter. Any additional reporting requirements will be identified in the award terms and conditions, including results of the grant project. FNS has developed a Periodic Progress Report form, contained at the end of this document. Selected awardees may report their progress using this newly-developed form. The form is contained at the end of this solicitation. Please see RFP for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Please see RFP for more information.

Account Identification:

12-3539-0-1-605.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

\$1,000,000 - \$2,000,000

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None

Regional or Local Office:

None.

Headquarters Office:

Farm to School Team,
1320 Braddock Place,
Alexandria VA 22314
Email: farmtoschool@usda.gov
Phone: 703-457-7803
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals have a series of required sections that have points assigned. Please see RFP for details. Following the initial screening process, FNS will assemble a panel to review and determine the technical merits of each application. The panel will evaluate the proposals based on how well they address the required application components and array the applications from highest to lowest score. The panel members will recommend applications for consideration for a grant award based on the evaluation scoring.

10.532 School Nutrition Training Grant for Allied

Professional Organizations

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Public Law 115-141

OBJECTIVES:

School Nutrition Training Grant for Allied Professional Organizations funds will assist allied professional organizations in planning, developing, promoting, delivering, and evaluating a workforce development and training initiative to provide skills-based training and technical assistance for school nutrition professionals to strengthen school meals program operators competencies, knowledge, and skills within eight (8) key functional areas related to school nutrition operations. Topics include: personnel management; sanitation, safety, and security; facility and property management; marketing, communication, and customer service; procurement and inventory management; nutrition and meal management; financial management and accountability; and meal service and preparation. School nutrition professionals are school nutrition program employees at the district or school level who are administering or operating the school meals programs such as the National School Lunch Program (NSLP) and the School Breakfast Program (SBP), or who contract with a food service management company to provide school meals and manage aspects of school food service operations. In general, there are three job categories related to school food service operations: directors, managers/supervisors, and staff.

TYPES OF ASSISTANCE:

Project Grants (Special)

USES AND USE RESTRICTIONS:

School Nutrition Training Grant for Allied Professional Organizations funds will assist allied professional organizations in planning, developing, promoting, delivering, and evaluating a workforce development and training initiative to provide skills-based training and technical assistance for school nutrition professionals to strengthen school meals program operators competencies, knowledge, and skills within one or more of the eight (8) key functional areas. The eight identified functional areas that applicants may select from when developing and delivering the workforce development and training initiative as part of this grant are as follows: Meal Preparation and Service Nutrition and Meal Management Marketing, Communication, and Customer Service Procurement and Inventory Management Financial Management and Accountability Personnel Management Sanitation, Safety, and Security Facility and Property Management For more information on the Competencies, Knowledge, and Skills for School Nutrition Managers, see the full resource here: <https://theicn.org/icn-resources-a-z/ckssnmanagers21>.

Applicant Eligibility:

Other public institution/organization; Competition for this grant is open to all national allied professional organizations operating in the United States to which school nutrition professionals are eligible to join as a member, which provides membership representation for school nutrition professionals, and whose mission seeks to further the school nutrition field and the interests of school nutrition professionals. Applicants must clearly describe how their organization(s) meets the definition of allied professional organization, as stated above, and submit organization bylaws which clearly define the scope of members. All non-profit organizations must include their 501(c)(3) or 501(c)(4) determination letter issued by the Internal Revenue Service (IRS).

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Grant funds will ultimately benefit school food service program staff, school nutrition personnel, school nutrition professionals, school nutrition employees, school nutrition directors, school nutrition managers/supervisors, front-line staff through a job-skills and workforce development training.

Credentials/Documentation:

Competition for this grant is open to all national allied professional organizations operating in the United States to which school nutrition professionals are eligible to join as a member, which provides membership representation for school nutrition professionals, and whose mission seeks to further the school nutrition field and the interests of school nutrition professionals. Applicants must clearly describe how

ASSISTANCE LISTINGS DESCRIPTIONS

their organization(s) meets the definition of allied professional organization, as stated above, and submit organization bylaws which clearly define the scope of members. All non-profit organizations must include their 501(c)(3) or 501(c)(4) determination letter issued by the Internal Revenue Service (IRS). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Under the grant announcement, allied professional organizations (as defined in the RFA) may apply for the competitive School Nutrition Training Grant for Allied Professional Organizations. Eligible applicants should access the RFA on grants.gov, and comprehensively and thoroughly review the RFA components and comply with all stated requirements. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Competitive grants are reviewed by a technical review panel comprised of USDA Food and Nutrition Service (FNS) staff which convenes to determine the technical merit of each grant application, provide a numerical score for each application, and make recommendations to selecting officials. Method of awarding/releasing assistance: by letter of credit. The RFA includes application content requirements, screening requirements, and format requirements. The grant will be awarded through a competitive process and through a fully executed agreement with FNS once approval is made by program and grants and fiscal management divisions.

Deadlines:

November 26, 2018. Letter of Intent Due: November 26, 2018 January 28, 2019. Application Due Date: 11:59 PM, Eastern Standard Time (EST), January 28, 2019

Range of Approval/Disapproval Time:

Other. It is anticipated that the grant will be awarded in Spring 2019.

Appeals:

From 30 to 60 days. Debriefing requests available from 30-60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The School Nutrition Training Grant for Allied Professional Organizations will have a period of performance of four (4) years. Method of awarding/releasing assistance: letter

Reports:

The recipient will be responsible for managing and monitoring the progress of the grant project activities and performance. The grant terms and conditions will indicate the reporting schedule for submitting project performance/progress reports to FNS. Any additional reporting requirements will be identified in the award terms and conditions, including results of the grant project. Grantees must also be willing to participate in quarterly conference calls to discuss progress with FNS. Quarterly Reports Quarterly progress reports and quarterly financial reports must be submitted to FNS. The Grantee is responsible for obtaining the necessary information from the other partners to complete this report. The Grantee is required to utilize FNS-developed reporting templates. Quarterly progress reports must provide a description of the activities conducted during the reporting period, major accomplishments with completion dates and budget, deviations from the proposed plan, difficulties encountered, solutions developed to overcome difficulties, and major planned activities for the next quarter. Quarterly SF-425 financial reports are required, submitted electronically, within 30 days after the end of each quarter for which funding is received. Grantees should also use Form SF-425, Federal Financial Report, to report final expenditures. The final Federal Financial Report is due 90 days after the end of the project period of performance. The recipient will be responsible for managing and monitoring the progress of the grant project activities and performance. The grant terms and conditions will indicate the

reporting schedule for submitting project performance/progress reports to FNS. Any additional reporting requirements will be identified in the award terms and conditions, including results of the grant project. Grantees must also be willing to participate in quarterly conference calls to discuss progress with FNS. Quarterly Reports Quarterly progress reports and quarterly financial reports must be submitted to FNS. The Grantee is responsible for obtaining the necessary information from the other partners to complete this report. The Grantee is required to utilize FNS-developed reporting templates. Quarterly progress reports must provide a description of the activities conducted during the reporting period, major accomplishments with completion dates and budget, deviations from the proposed plan, difficulties encountered, solutions developed to overcome difficulties, and major planned activities for the next quarter. Within 90 days after the end of the grant period, the Grantee will be required to submit (1) a set of final materials (508-compliant) developed with grant funds (e.g. training curricula, data collection tools, and (2) a Final Report to FNS (no more than 20 pages in length) that includes the information specified in the RFA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Allied Professional Organizations must maintain records as necessary to support the use of School Nutrition Training Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

Project Grants (Special) FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Under this grant opportunity, one award of up to \$2 million is competitively available to eligible entities.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to 2 CFR Part 200

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. See the FNS Regional Offices listed in Appendix IV of the Catalog

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long,
Food and Nutrition Service, 3101 Park Center Drive Room 628,
Alexandria VA 22302
Email: Cindy.Long@fns.usda.gov
Phone: 7033052590
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. The following selection criteria will be used to evaluate applications for the RFA. Training Initiative Approach and Evaluation Potential (30 points); Curricula, Strategies, and Activities (15 points); Target Populations, Reach, and Partnerships (10 points); Staffing Plan (15 points); Management Plan (15 points); and Budget and Budget Narrative (15 points).

10.533 SNAP-Ed Toolkit

Supplemental Nutrition Assistance Program Education Toolkit,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

(d)(2)(vii)(D)SNAP-Ed activities must include evidence-based activities using two or more of these approaches: individual or group-based nutrition education, health promotion, and intervention strategies; comprehensive, multi-level interventions at multiple complementary organizational and institutional levels; community and public health approaches to improve nutrition and physical activity, Title 7 CFR, Part 272, Section 2

OBJECTIVES:

The Supplemental Nutrition Education (SNAP-Ed) Toolkit: - Hosts a database of nutrition education and physical activity promotion interventions which have been peer-reviewed and determined to be evidence-based interventions for use in SNAP-Ed. - Contains the SNAP-Ed Evaluation Framework, an interactive tool including recommended behavioral indicators and evaluation tools for use in SNAP-Ed programs. - Provides technical assistance resources that State and implementing agencies can use to improve implementation of SNAP-Ed, such as a list of interventions and resources for online education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This assistance was awarded in FY2019, FY2020 and FY2021 for the University of North Carolina at Chapel Hill to maintain and update the SNAP-Ed toolkit website.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); This is a noncompetitive cooperative agreement.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. This listing does not require an environmental impact statement or assessment. This listing is exempt from E.O. 12372

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This assistance is available as a noncompetitive grant to the University of North Carolina at Chapel Hill for the maintenance and update of the SNAP-Ed Toolkit website.

Award Procedure:

This is a noncompetitive award to the University of North Carolina at Chapel Hill for the maintenance and update of the SNAP-Ed Toolkit website.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. This noncompetitive award will be provided to the University of North Carolina at Chapel Hill.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This assistance is available for the University of North Carolina at Chapel Hill.

Funding for this award is determined on an annual basis. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly progress reports are required for this assistance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

12-3505-0-1-605. 12-3505-0-1-605.

Obligations:

Cooperative Agreements FY 20 \$169,091.00; FY 21 est \$194,664.00; FY 22 est \$277,602.00;

Range and Average of Financial Assistance:

An award was made to the University of North Carolina at Chapel Hill in the amount of \$140,836 for FY 2019. An award was made in the amount of \$160,091 to the University of North Carolina at Chapel Hill for FY 2020. An award is anticipated in the amount of \$194,664 to the University of North Carolina at Chapel Hill for FY 2021.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, an additional 21 new interventions were added to the SNAP-Ed Toolkit. There are now more than 150 evidence-based interventions available to State and implementing agencies. Existing interventions were updated to include cost of materials, with additional updates made, as needed. Additional training resources, such as a list of interventions that are evidence-based for use online, and an online resource list, were added to facilitate SNAP-Ed program's ability to operate while complying with distancing requirements to respond to the COVID-19 pandemic. Fiscal Year 2021: In FY2021, in addition website updates and maintenance, the SNAP-Ed Toolkit convened an expert working group to assess gaps and update selected SNAP-Ed Evaluation Framework indicators. The training resources section of the website was updated and enhances.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. The SNAP-Ed Toolkit is located at <https://snapedtoolkit.org/>.

Headquarters Office:

Doris Chin,
Food and Nutrition National Office 1320 Braddock Place,
Alexandria VA 22314
Email: Doris.Chin@usda.gov
Phone: 703-305-0153
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.534 CACFP Meal Service Training Grants

FY 18 Child and Adult Care Food Program (CACFP) Meal Service Training Grants,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Consolidated Appropriations Act, 2017, , Public Law 115-31

OBJECTIVES:

To provide training and technical assistance for CACFP sponsoring organizations, sponsored or independent child care centers, family day care or group homes, at-risk afterschool programs, adult day care centers, and emergency shelters to strengthen operator competencies related to the provision of nutritious meals in accordance with the CACFP meal patterns.

TYPES OF ASSISTANCE:

Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Under this grant program, State agencies are able to provide customized meal service training activities that best fit the needs of their local CACFP operators. States plan to use grant funds to provide training on the selection and/or purchase of foods, culinary skills and food preparation methods, meal planning, infant feeding, and family style meal service. States plan to provide training through statewide conferences, in-person regional workshops, site visits, and online training modules and webinars. Ten States will focus on reaching rural areas through online and in-person trainings.

Applicant Eligibility:

U.S. Territories and possessions; State; State Agencies that administer the CACFP
Beneficiary Eligibility:

State; Private nonprofit institution/organization; Sponsored organization; These are noncompetitive grants for State agencies to provide Child and Adult Care Food Program (CACFP) meal service training to CACFP operators.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Through a noncompetitive grants process, the FNS National Office (NO) will issue FY 2018 CACFP Meal Service Training Grants in the amount of \$100,000 to State agencies that administer the CACFP. In order to receive funds, State agencies shall submit a brief grant application to FNS via Grants.gov no later than May 30, 2018. By accepting these funds, the State agencies are agreeing to use these funds in accordance with the stated requirements. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The FNS NO will issue the Grant Cooperative Agreement (FNS-529), award letter, and Terms and Conditions for each award. Funds will be issued through a Letter of Credit process no later than September 30, 2018.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The initial period of performance ends on September 30, 2020. However, due to COVID grantees were able to request an extension. All grants will be completed by September 30, 2021. Method of awarding/releasing assistance: letter

Reports:

Annual progress reports Annual financial reports

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

12-3539-0-1-605.

Obligations:

Formula Grants (Apportionments) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The awards for each State were between \$80,000 - \$100,000.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance Memo published on Grants.gov

Regional or Local Office:

None.

Headquarters Office:

Program Officer Karen Virostek,
Food and Nutrition Service, Child Nutrition Programs United States Department of
Agriculture 1320 Braddock Place,
Alexandria VA 22314
Email: karen.virostek@usda.gov
Phone: 703- 605-4378

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.535 SNAP Fraud Framework Implementation Grant

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

H.R.244 - Consolidated Appropriations Act, 2017, , Public Law 115-31

OBJECTIVES:

The purpose of the SNAP Fraud Framework Implementation Grant Program is to support State agency efforts to improve and expand recipient fraud prevention, detection and investigation efforts using the procedures, ideas and practices outlined in the SNAP Fraud Framework.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The awards will support new projects that fall under any of the seven components of the SNAP Fraud Framework. Applications must comply with criteria listed in the Application Format and Requirements Letter.

Applicant Eligibility:

U.S. Territories and possessions; State; This grant opportunity is open to the 53 State agencies that administer SNAP. FNS will consider only one application per State agency. There is no State matching requirement for this grant program.

Beneficiary Eligibility:

Agreements are established between State agencies and FNS. Grants funds can be used for projects educating recipients on eligibility fraud as well as trafficking and misuse of benefits.

Credentials/Documentation:

Specific instructions provided in Application Format and Requirements Letter. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application package should be prepared in accordance with instructions in the Application Format and Requirements Letter and submitted to the Food and Nutrition Service by the deadline specified.

Award Procedure:

All applications related to the objectives of this grant program and meet the published deadline for submission with all the required documentations will be awarded.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The deadline for submission will be stipulated in the Application Format and Requirements Letter.

Appeals:

Other. Non-selected applicants may request a debriefing to discuss the strengths and weaknesses of submitted proposals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds should be expended within 24 months of identified start date. Method of awarding/releasing assistance: letter

Reports:

The State agency will submit quarterly financial status reports and progress reports. A final report will be submitted by the State agency no later than 90 days after end of grant period. FNS will conduct an ongoing review of quarterly progress and financial reports to monitor the grantees project activities to ensure that the objectives, terms and conditions of the agreement are met. FNS will conduct an ongoing review of quarterly financial reports to monitor the grantees project activities to ensure that the objectives, terms and conditions of the agreement are met.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 N/A

Records:

State agencies must maintain records as necessary to support the use of SNAP Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3505-0-1-605.

Obligations:

Project Grants FY 20 \$5,000,000.00; FY 21 est \$2,541,889.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

On August 13, 2021, five State agencies were awarded FY2021 SNAP Fraud Framework Implementation grants. The awards ranged from just over \$280,000 to \$750,000. The median award was \$539,628. On September 29, 2020, nine State agencies were awarded FY 2020 SNAP Fraud Framework Implementation grants. The awards ranged from \$95,000 to almost \$750,000. The median award was \$571,350. On July 16, 2019, nine State agencies were awarded FY 2019 SNAP Fraud Framework Implementation grants. The awards ranged from just over \$300,000 to almost \$745,000. The median award was just over \$475,000.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 272.1(g)(9)(iii); 7 CFR 272.1(g)(58); 7 CFR 272.4(e)(1-4); 7 CFR 272.4(g); 7 CFR 273.2(f)(11)(B)(1-2); 7 CFR 273.13; 7 CFR 273.15; 7 CFR 273.16; 7 CFR 273.18

Regional or Local Office:

None.

Headquarters Office:

Branch Chief, State Admin Branch Maribelle Balbes,
1320 Braddock Place,
Alexandria VA 22314
Email: maribelle.balbes@usda.gov
Phone: 703-605-4272

Website Address:

<https://www.fns.usda.gov/grant/fy-2021-snap-fraud-framework-implementation-grant-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 One of the 2020 awardees will develop a integrated fraud and recipient trafficking data analytics solution and investigative case management system. The data analytics solution will be configured specifically for the agency and will include alerts, geospatial maps, and profiles with fraud indicators. The case management system will prioritize cases according to highest value, based on a continuous feedback loop between case outcomes, trend analysis and data analytics on individuals suspected of intentional program violations (IPV). 2021 One of the 2021 awardees will develop a Fraud Finder Data Analytic Application in order to add new fraud finder functionality that will have multiple modules . The new application will allow the State to reference patterns more effectively in historical data, outline report components and constraints, prioritize caseload based on traceable metrics, and leverage data to uncover patterns and insights for recipient fraud detection.

CRITERIA FOR SELECTING PROPOSALS:

ASSISTANCE LISTINGS DESCRIPTIONS

All applications related to the objectives of this grant program and meet the published deadline for submission with all the required documentations will be awarded.

10.536 CACFP Training Grants

Child and Adult Care Food Program Competitive Grants and Cooperative Agreements,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Public Law 113-79, 42 USC Code 1766.

OBJECTIVES:

The overall goal of this project is for the selected applicant to plan, develop, design, promote, and ultimately execute and evaluate a training program that uses a tiered approach to equip State agencies with the knowledge and skills necessary to train their CACFP program operators (including CACFP institutions such as sponsoring organizations and/or independent centers as well as CACFP facilities including child care centers and day care homes) to effectively operate the CACFP at the local-level.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The cooperative agreement funds the creation of materials and training of State agencies on CACFP requirements. Some of the trainings will be in person and require travel.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); This announcement of the availability of funds is for one new cooperative agreement for a 2-year time-frame (September 2017 August 2019) with an accredited public or private Academic Institute of Higher Learning, a Research, or Training Institution (i.e. entity that provides training such as a corporation or nonprofit). Special consideration will be given to applicants who have expertise in CACFP and/or have successfully implemented training initiatives with a Child Nutrition Programs focus.

Beneficiary Eligibility:

State; Local; State agencies will be equipped with a training program that uses a tiered approach with the knowledge and skills necessary to train their program operators from CACFP institutions (sponsoring organizations and/or independent centers) and CACFP facilities (child care centers and day care homes) to effectively operate the CACFP at the local-level.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

There are application content requirements (pg 13), screening requirements (pg 11) and format requirements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

September 2017 - June 2022 Method of awarding/releasing assistance: letter

Reports:

Quarterly progress reports must be submitted to FNS. These progress reports must provide a description of the activities conducted during the reporting period, major accomplishments with completion dates and budget information, deviations from the proposed plan, difficulties encountered, solutions developed to overcome difficulties, and major planned activities for the next quarter. The award recipient will be required to enter the SF-425, Financial Status Report data into the FNS Food Program Reporting System (FPRS) on a quarterly basis. In order to access FPRS, the grant recipient must obtain USDA e-authentication certification and access to FPRS. For additional information on FPRS, visit: www.fprs.fns.usda.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

12-3539-0-1-605.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$253,600.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 Not Available; FY 21 est \$596,108.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

\$3,000,000 (one cooperative agreement awarded)\$253,600 (one year extension awarded)\$596,108 (one year extension awarded)

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In September 2019 and March and April of 2020, three CACFP Operational Resources & Education (CORE) online modules were released to sponsoring organizations: Financial Viability, Monitoring and Oversight and Serious Deficiency. Each online course contains three lessons designed to provide an engaging overview of the CACFP Performance Standards and federal CACFP monitoring requirements. As of June 30, the Financial Viability module has had 845 total registrants, the Monitoring and Oversight module has had 441 total registrants, and the Serious Deficiency module has had 228 total registrants. On May 8, 2020, the USDA CORE Mobile App was released to sponsoring organizations. The Mobile App contains a series of microlearning lessons designed to be used as a refresher of select content delivered as part of the CORE training. As of June 30, there have been a total of 246 downloads of the Mobile App on the Google and Apple platforms. The CORE-CACFP Train-the-Trainer Virtual Conference remains Available on Demand and registered participants may review the nine modules, activities, and quizzes at their own pace. As of June 30, there have been a total of 137 participant views of the On-Demand broadcast. The ten Resource Guide modules have been under development throughout fiscal year 2020. The modules include: Management Plans, Recordkeeping & Claims for Reimbursement, Monitoring & Oversight, Serious Deficiency, Preparing for a State Agency Review, Trainer Success, Financial Viability, Internal Controls, Budgets, and Corrective Action. Fiscal Year 2021: CACFP stakeholders continue to engage in the online training tools developed and maintained as part of the CACFP Operational Resources & Education (CORE) project. As of June 30, 2021, there have been 1,261 total registrants for the "Financial Viability" online module, 927

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total registrants for the "Monitoring & Oversight" online module, and 557 total registrants for the "Serious Deficiency" online module. As of June 30, 2021, there has been a total of 369 downloads of the CORE Mobile App on the google and apple platforms, and a total of 283 participants who viewed the Train-the-Trainer Virtual Conference On-Demand broadcast. In May and June 2021, the CORE-CACFP Train-the-Trainer Conference was broadcast to 40 FNS participants. Collaboration on the development of the ten topic modules for the CORE training resource guide continued.

REGULATIONS, GUIDELINES, AND LITERATURE:

Current regulations can be found under 7 CFR Part 226, <https://www.fns.usda.gov/cacfp/regulations>. For additional resources and guidance, see: <https://www.fns.usda.gov/cacfp/nutrition-and-nutrition-education>

Regional or Local Office:

None.

Headquarters Office:

Senior Program Analyst Barbara Smith,
3101 Park Center Drive,
Alexandria VA 22302
Email: barbara.smith@usda.gov
Phone: 703-605-0784
Fax: Anne Buckley,
1320 Braddock Pl.,
Alexandria VA 22302
Email: anne.buckley@usda.gov
Phone: 703-457-7802
Fax:

Website Address:

<https://www.fns.usda.gov/cacfp/child-and-adult-care-food-program>

RELATED PROGRAMS:

10.558 Child and Adult Care Food Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following selection criteria will be used to evaluate applications for the RFA. Project Design and Implementation (35 points) Budget Appropriateness (15 points) Organizational Experience and Management/Staff Capability (30 points) Experience and Past Performance (20 points)

10.537 Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants

SNAP E&T DATA Grants,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The Consolidated Appropriations Act, 2017 (P.L. 115-31) provides approximately \$5 million in discretionary grant funds to support State agencies in collecting and reporting outcome data for SNAP E&T programs., , Public Law 115-31

OBJECTIVES:

These grants will provide support to State agencies in developing the capacity to collect and analyze outcome reporting data required by Section 16(h)(5)(B) of the Food and Nutrition Act. Grant funds may be used to support State and local agencies in collecting and reporting outcome data for SNAP E&T programs, as well as additional funds for technical assistance, so that States can become more effective, data driven E&T providers.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Still under consideration.

Applicant Eligibility:

State; This grant opportunity is open to the 53 State agencies that administer SNAP. There is no State matching requirement for this grant program.

Beneficiary Eligibility:

State; Agreements are established between State agencies and FNS. State agencies will use funds to develop and analyze outcome reporting systems

Credentials/Documentation:

Specific instructions provided in Request for Application. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application package should be prepared in accordance with instructions in the Request for Application (RFA) and submitted to the Food and Nutrition Service by the deadline specified.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a technical review panel composed of Food and Nutrition Service staff which convenes to determine the technical merit of each grant application, provide a numerical score and make recommendations to selecting officials.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. Non-selected applicants may request a debriefing to discuss the strengths and weaknesses of submitted proposals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds should be expended within 36 months of identified start date. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: letter

Reports:

Quarterly Quarterly

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State agencies must maintain records as necessary to support the use of SNAP E&T DATA Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3505-0-1-605.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,254,471.00; FY 21 est \$3,681,495.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

For the FY 2017 grants the range is from \$371,736 to \$1,000,000. The average grant award is \$714,799. For the FY 2020 grants the range is from \$454,337 to \$961,973. For the FY 2021 grants the range is from \$664,900 to \$826,573. For the FY 2022 grants the range is expected to be between \$300,000 to \$1 million.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Still under consideration

Regional or Local Office:

Headquarters Office:

Director Moira Johnston,
3101 Park Center Dr, 8th floor,
Alexandria VA 22302
Email: moira.johnston@fns.usda.gov
Phone: 703-305-2515

Website Address:

<http://www.fns.usda.gov/snap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The review panel will score and rank applications using Technical Review Criteria which includes: (1) Soundness or Merit of Project Design; (2) Budget Appropriateness and Economic Efficiency; (3) Organizational Experience, Staff Capability and Management; (4) Implementation and Evaluation; and (5) Presentation.

10.539 CNMI Nutrition Assistance

Commonwealth of the Northern Mariana Islands Nutrition Assistance Program,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Public Law 95-348, , Public Law 95-348, Public Law 96-597, , Public Law 96-597

OBJECTIVES:

The Nutrition Assistance Program (NAP) in the Commonwealth of the Northern Mariana Islands (CNMI) provides family nutrition assistance to eligible residents of the CNMLCNMI's enhanced NAP called ENAP increases the income eligibility limits, increases the allotment benefits for each of the islands, implements a new eligibility system with EBT interface capabilities and adjust resources as needed.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Eligible households receive benefits to purchase nutritious food.

Applicant Eligibility:

U.S. Territories and possessions; Eligibility criteria are determined by the Commonwealth of the Northern Mariana Islands

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Low-income individuals and households are eligible for benefits as determined by the Commonwealth of the Northern Mariana Islands.

Credentials/Documentation:

TBD 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Secretary of Agriculture designates the Commonwealth of the Northern Mariana Islands agency or agencies to operate the program upon submission of the request.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for one fiscal year at a time and each fiscal year grant has a 24 month performance period. Method of awarding/releasing assistance: letter

Reports:

TBD TBD TBD TBD

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

12-3505-0-1-605.

Obligations:

Project Grants FY 20 \$12,148,000.00; FY 21 est \$12,148,000.00; FY 22 est \$12,148,000.00; Project Grants FY 20 \$0.00; FY 21 est \$14,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

47-7562.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Jessica Luna,
1320 Braddock Place,
Alexandria VA 22314
Email: jessica.luna@usda.gov
Phone: 7033054397

Website Address:

No Data Available.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.540 Participant Research Innovation Laboratory for Enhancing WIC Services

Hopkins/USDA Participant Research Innovation Laboratory; HPRIL,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The Child Nutrition Act of 1966, Title 17, Part g, Section 5

OBJECTIVES:

The objective of this opportunity was to announce the availability of funds to establish the Participant Research Innovation Laboratory for Enhancing WIC Services. The role of the PRIL is to support and evaluate local efforts to develop interactive tools, technical resources, or innovative solutions that improve customer service in WIC clinics and/or WIC retailers (referred to hereafter as WIC vendors) and ultimately encourage and improve retention of eligible children in WIC. Research projects build on and improve our understanding of the diverse personal experiences, perceptions, and attitudes of those eligible for WIC services. Implemented strategies/solutions will be evaluated for their impact on participant satisfaction and retention, and other relevant measures, within the local agency setting. In addition, the Grantee, Johns Hopkins University, will provide evaluation-related technical assistance to State and local WIC agencies carrying out similar interventions through WIC Special Project Grants or other awards.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements), Salaries and Expenses

USES AND USE RESTRICTIONS:

This Request for Applications (RFA) is open to all Accredited Colleges/Universities, and Private or Public Research Institutions. These are requirements for the selected recipient of the Cooperative Agreement, not any potential sub-grantees

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The grant is to be used to establish a cooperative agreement to identify, develop and undertake projects to meet Food and Nutrition Service (FNS) program needs and the food, nutrition, and health needs of WIC eligible participants.

Beneficiary Eligibility:

Consumer; Women; Infant (0-5); Low Income; All Accredited Colleges/Universities were eligible to apply to this opportunity. The awardee may benefit from this assistance as well as the WIC local agencies and WIC participants that benefit from the work conducted by the awardee and the products developed from the sub-grant awards.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

FNS pre-screened all applications to ensure that they contained the required documents and information, including but not limited to: all supporting documentation, the narrative proposal, Letters of Commitment from established partners specified in the application, and Agreement to Cooperate with FNS.

Complete applications needed to be submitted by eligible applicants, meet all other eligibility requirements stated in this RFA, submitted on or before the required deadlines, and had to be in the required format. If an application did not include all appropriate information, FNS considered the application to be non-responsive and eliminated it from further evaluation. Following the initial screening process, FNS assembled an evaluation panel to review and determine the technical merits of each application. The evaluation criteria discussed below was applied by a panel and used to score proposals. The proposals were ranked by score starting with the highest score. The panel presented their recommendation to the selecting official based on this ranking. Awards were considered based on rank order; however, the selection official reserved the right to make an award out of rank order to meet agency priorities, program balance, geographical representation, or project diversity. FNS reserves the right to use this solicitation and competition to award additional grants in the next fiscal year should additional funds be made available through future appropriations. FNS also reserves the right to fund successful applications at an amount less than requested if it is judged that an application can be implemented at a lower funding level. At the completion of the review and awards process, FNS provided funding for the approved applicant upon receipt of a properly executed agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The total time period for this cooperative agreement is 5 years. Cooperative agreements may not be awarded for more than 60 months. Funding will be made to successful recipients as specified in the terms of the cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. The total funds will be released incrementally over the award years. Method of awarding/releasing assistance: quarterly

Reports:

Program reports are required according to the terms of the cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Report SF-PPR is to be filed by the recipient according to the terms of the cooperative agreement. Annual Reports are required as specified in the RFA and a draft version of a journal article ready to be submitted to a peer-reviewed journal is required by project completion. Quarterly Progress Reports are required as specified in the RFA. Financial progress reports are submitted as specified in the RFA.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records (financial/administrative/grant) are to be maintained according to the time requirements specified in the terms of cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements.

Account Identification:

12-3510-0-1-605.

Obligations:

Salaries and Expenses FY 20 \$921,714.00; FY 21 est \$433,455.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

HPRIL was awarded \$2,000,000, including funds they used to make 5 sub-grant awards of approximately \$150,000 each to local agencies.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

12-3510.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Monthly COIN calls have been occurring with subgrantees. These calls focused on project implementation updates as well evaluation and data collection planning for the individual subgrantee project evaluations. Supplementing the monthly COIN calls, additional TA calls were conducted with each subgrantee to discuss implementation progress and talk through different elements of their process evaluations, including innovative tool coverage strategies and discussions about data collection instruments and interpretation and use of vendor data. Also, in 2020, implementation plans have been developed with subgrantees; a meeting with the advisory panel took place, and a TA Needs Assessment survey for Local WIC Agencies was administered. Fiscal Year 2021: The HPRIL team completed the following major activities in Year 3 Quarters 1, 2, and 3a. Received one-year extension. Provided opportunity for cost extension to subgrantees. Onboarded new epidemiologist to assist with data analysis, Yunhee Kang. Hosted an MSPH/RD student intern, Mary Champenye. Began receiving and analyzing MIS baseline data from subgrantees. Provided TA to HPRIL subgrantees. Hosted monthly COIN calls. Provided ongoing TA mentoring calls with agencies as needed, most of which focused on evaluation. Led a number of calls with state agency representatives related to baseline data. Updated HPRIL website and launched HPRIL blog. Submitted four conference abstracts and grantee and subgrantees presented 3 abstracts at 2021 NWA annual conference. Finalized literature review on social media marketing techniques in WIC.

Interviewed main staff from NY subgrant

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Social Science Research Analyst Dr. Karen A. Castellanos-Brown,
1320 Braddock Pl.,
Alexandria VA 22314
Email: Karen.Castellanos-Brown@usda.gov
Phone: 7033052732
Fax:

Website Address:

<https://www.jhsph.edu/departments/population-family-and-reproductive-health/projects/hopkins-usda-participant-research-innovation-laboratory-for-enhancing-wic-services/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following selection criteria and weights were used to evaluate applications for this RFA. All applicants were notified whether their proposal has been accepted for an award by FNS. The recipient of this cooperative agreement was required to demonstrate a thorough understanding of: barriers to retention and participation in WIC that have been previously identified in peer-reviewed literature or local, state, or Federal reports, personal factors, attitudes, beliefs, and perceptions WIC participants and eligible populations have regarding WIC, and the diverse communities WIC serves. The recipient also had to demonstrate an understanding of the services provided at local WIC agencies as well as the relationship among the Program and its approved vendors. Scoring for Grant Applications 1. Overall Approach (45) 2. Management Plan (20) 3. Staffing (20) 4. Budget (15) Total Possible Points = 100

10.541 Child Nutrition-Technology Innovation Grant

TIG,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

December 18, 2015, Title Consolidated Appropriations Act, , Public Law 114-113, March 23, 2018, Title 2018 Consolidated Appropriations Act, , Public Law 115-141, Public Law 116-94

OBJECTIVES:

To allow State agencies that administer the Child Nutrition Programs (CNPs) to apply for grant funding to implement innovative technology solutions that will improve program accountability, data accuracy, program performance measurement, and the capacity to identify and target error-prone areas (locations or program functions) within and across the CNPs at the State and Local Educational Agency (LEA) or Sponsor Organization (SO) levels.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To encourage State agencies that administer the CNPs to bridge gaps in automation by proposing innovative technology solutions that improve program accountability and efficiencies at both the State and local levels, thereby reducing duplication of effort, reducing errors, and increasing visibility of program operations for monitoring purposes.

Applicant Eligibility:

State; Grants are only available to State agencies administering the Child Nutrition Programs. The grants must relate to technology solutions for planning and implementation.

Beneficiary Eligibility:

State; Local; State agencies (and the local organizations that have agreements with the State agencies) administering the Child Nutrition Programs benefit from funded technology solutions.

Credentials/Documentation:

Register in Grants.gov, complete pre-application assessment questions and submit a RFA package 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In order to submit an application via grants.gov, applicants must have obtained a DUNS number and registered in both the new SAM and grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Following an initial screening process to ensure all submissions are complete, FNS assembles a peer panel group to review and determine the technical merits of each application. Panel evaluates proposals based on how well they address the required application components and scores each application for either a Planning or Implementation Grant. Panel recommends applications for consideration to selecting officials.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The award period for the FY 2019 Planning Grants and Implementation Grants will

ASSISTANCE LISTINGS DESCRIPTIONS

be as follows, beginning from the date of the award: Planning Grants will be awarded for up to one year; and Implementation Grants will be awarded for up to three years. All grant funds must be obligated and all program activities under the grant (other than activities relating to the close out of the grant) must be completed by the end of the award period. The close-out of the grant must occur no later than 90 days following the termination date of the agreement, and all obligations incurred under the grant must be liquidated by this date. Any funds that are not liquidated within 90 days following the end of the award period must be returned to FNS. In addition, the final progress reports are due to FNS no later than 90 days following the end of the award period. Method of awarding/releasing assistance: quarterly

Reports:

2 CFR Part 415: USDA General Program Administrative Regulations 2 CFR Part 416: USDA General Program Administrative Regulations for Grants and Cooperative Agreements to State and Local Governments The award recipient will be required to enter the SF-425, Financial Status Report data into the FNS Food Program Reporting System (FPRS) on a quarterly basis. In order to access FPRS, the grant recipient must obtain USDA e-authentication certification and access to FPRS. More detailed instructions for reporting will be included in the FNS Federal financial assistance award package. The recipient will be responsible for managing and monitoring the progress of the grant project activities and performance. The award document will indicate the reporting schedule for submitting project performance/progress reports to FNS. Any additional reporting requirements will be identified in the award terms and conditions, including results of the grant project. The recipient will be responsible for managing and monitoring the progress of the grant project activities and performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Also 2 CFR Part 400: USDAs implementing regulation of 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

Records:

2 CFR Part 415: USDA General Program Administrative Regulations 2 CFR Part 416: USDA General Program Administrative Regulations for Grants and Cooperative Agreements to State and Local Governments

Account Identification:

12-3539-0-1-605.

Obligations:

Project Grants FY 19 \$12,765,347.00; FY 20 est \$0.00; FY 21 est \$25,000,000.00;

Range and Average of Financial Assistance:

CN TIG Planning Grant: 0-\$100,000CN TIG Implementation Grant: 0-\$2,000,000For FY 2019: Thirteen (13) grants were awarded with a range of \$76,300 - \$1,998,820The average grant amount was \$981,950

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long,,
Food and Nutrition Service, 3101 Park Center Drive Room 628,
Alexandria VA 22302

Email: Cindy.Long@fns.usda.gov

Phone: (703) 305-2590

Website Address:

<https://www.grants.gov/search-grants.html?cfa=10.541>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grantees will be recommended on a competitive basis, based on an objective review of their proposals according to the technical evaluation criteria outlined in the RFA.

10.542 Pandemic EBT Food Benefits

P-EBT Benefits,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Public Law 116-127, Public Law 116-159, Public Law 116-260, Public Law 117-2

OBJECTIVES:

Improve nutrition of eligible school children who would have received free or reduced price meals under the National School Lunch Act if their schools were not closed or operating with reduced hours.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Through P-EBT, eligible school children receive temporary emergency nutrition benefits loaded on EBT cards that are used to purchase food. Children who would have received free or reduced price meals under the National School Lunch Act if their schools were not closed or operating with reduced hours, and not in attendance for at least 5 consecutive days are eligible to receive P-EBT benefits.

Applicant Eligibility:

U.S. Territories and possessions; State;

Beneficiary Eligibility:

Low Income; Child (6-15); Youth (16-21); Infant (0-5); Student/Trainee;

Credentials/Documentation:

Eligibility is determined by local social service offices based on National eligibility standards. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Families First Coronavirus Response Act of 2020 (PL 116127), as amended by the Continuing Appropriations Act, 2021 and Other Extensions Act (PL 116-159), provides the Secretary of Agriculture authority to approve state agency plans to administer P-EBT.

Award Procedure:

The Secretary of Agriculture approves State plans of operation submitted by the State agency that operates public assistance programs.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Approval of State plans of operation submitted by the State agency that operates public assistance programs occurs on a Fiscal Year basis.

Appeals:

Other.

Renewals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance period varies and time phasing is not applicable. Method of awarding/releasing assistance: Monthly allotments are made available to eligible individuals and households through EBT cards which operate like debit cards.

Method of awarding/releasing assistance: other

Reports:

Quarterly financial status report; monthly issuance and participation estimates; and cumulative totals for the fiscal year. Quarterly financial status report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Programs operated by the State or on behalf of the State are subject to audit by Office of Inspector General, USDA.

Records:

Records of households certified, benefits issued, and records relating to any financial transaction are required and must be maintained for 3 years.

Account Identification:

12-3505-0-1-605.

Obligations:

Formula Grants FY 20 (\$1,167,201,209.00); FY 21 est \$1,345,294,336.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long,
Food and Nutrition Service, 1320 Braddock Place 4th floor,
Alexandria VA 22314
Email: Cindy.Long@usda.gov
Phone: 7033052590
Fax: Associate Administrator Jessica Shahin,
Supplemental Nutrition Assistance Program, 1320 Braddock Pl.,
Alexandria VA 22314
Email: Jessica.Shahin@fns.usda.gov
Phone: 7033052026
Fax:

Website Address:

<https://www.fns.usda.gov/snap/state-guidance-coronavirus-pandemic-ebt-pebt>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.543 Healthier US School Challenge: Smarter Lunchrooms

HUSSC,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Healthy, Hunger-Free Kids Act of 2010 (HHFKA, P.L. 111-296),

OBJECTIVES:

HUSSC: SL was a voluntary certification initiative established to recognize those schools participating in the National School Lunch Program (NSLP) and School Breakfast Program (SBP) that have created healthier school environments through promotion of smarter lunchrooms, nutrition education, and physical activity.

Monetary incentive awards became available for each HUSSC award level:

Bronze, Silver, Gold, and Gold Award of Distinction in 2009. The USDA Food and Nutrition Service will no longer be accepting applications for the HealthierUS School Challenge (formally known as the HealthierUS School Challenge: Smarter Lunchrooms or HUSSC: SL) Award Program, effective July 1, 2018.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

These are discretionary funds. The monetary incentives are available to all schools that achieve HUSSC status by meeting the required criteria beginning on January 1, 2009. Schools approved as a HUSSC school under the current criteria prior to this announcement, will be eligible for the monetary incentive award. In addition to the plaque, banner and recognition currently provided, HUSSC schools approved under the current criteria will receive the following monetary incentive awards: - \$2,000 Gold Award of Distinction - \$1,500 Gold - \$1,000 Silver - \$500 Bronze. All HUSSC award monies must be deposited into the nonprofit school food service account and used for allowable expenses under that account. The monetary incentive awards are available until the funds are depleted. This program was discontinued as of December 31, 2018.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; n/a

Beneficiary Eligibility:

Education (0-8); Education (9-12); School decision makers, school food service staff, teachers, children, parents, other educators. This program was discontinued as of December 31, 2018.

Credentials/Documentation:

This program was discontinued as of December 31, 2018. Yes, schools or SFAs are required to complete a HealthierUS School Challenge application and must submit supporting documentation as well. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The USDA Food and Nutrition Service will no longer be accepting applications for the HealthierUS School Challenge (formally known as the HealthierUS School Challenge: Smarter Lunchrooms or HUSSC: SL) Award Program, effective July 1, 2018.

Award Procedure:

Applications are reviewed by the District, State agency, FNS Regional Office, and finally approved by FNS National Office. This program was discontinued as of December 31, 2018.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

Not Applicable. The USDA Food and Nutrition Service will no longer be accepting applications for the HealthierUS School Challenge (formally known as the HealthierUS School Challenge: Smarter Lunchrooms or HUSSC: SL) Award Program, effective July 1, 2018. This program was discontinued as of December 31, 2018.

Renewals:

Not Applicable. The USDA Food and Nutrition Service will no longer be accepting applications for the HealthierUS School Challenge (formally known as the HealthierUS School Challenge: Smarter Lunchrooms or HUSSC: SL) Award Program, effective July 1, 2018. This program was discontinued as of December 31, 2018.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Schools are awarded and the certification period is for 4-years. Schools receive a one-time monetary incentive (for the 4-year period). However, schools may apply for a higher award level one year from the date of their current award. If the school is awarded for a higher award or if the school is about to expire from their 4-year award period and is awarded, they will receive another monetary incentive. This program was discontinued as of December 31, 2018. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

12-3539-0-1-605.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

This program was discontinued as of December 31, 2018. For historical purposes, please know that schools were awarded monetary incentive based on the applied award level: Bronze - \$500 Silver - \$1000 Gold - \$1500 Gold Award of Distinction - \$2000

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Memo describing use of monetary incentives April 1, 2010 MEMO CODE:TA 04-2010 Guidelines existed in the form of criteria which are no longer applicable and this web address does not exist and is stated here for historical purposes.

<http://www.fns.usda.gov/hussc/application-criteria-and-guidance> This program was discontinued as of December 31, 2018.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Director, Nutrition Education Training and Technical Assistance Division Cheryl Lewis,

Food and Nutrition Service, 3101 Park Center Drive, 6th floor, Alexandria VA 22302

Email: Cheryl.Lewis@fns.usda.gov

Phone: (703) 305-1465

Branch Chief Sheldon Gordon,

3101 Park Center Drive,

Alexandria VA 22302

Email: Sheldon.Gordon@fns.usda.gov

Phone: 703-305-2893

Fax:

Website Address:

<https://www.fns.usda.gov/tn>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.545 Farmers Market Supplemental Nutrition Assistance Program Support Grants

Farmers Market SNAP Support Grants (FMSSG).

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Presidents FY 2014 budget request for FNS (The Food and Nutrition Service: 2014, Explanatory Notes), as enacted (in continuation of previous year appropriations) by P.L. 113-235, Consolidated and Further Continuing Appropriations Act, 2015, , Public Law 113-235

OBJECTIVES:

These funds are intended to support the participation of farmers markets in the Supplemental Nutrition Assistance Program (SNAP.) Specifically, the goals of FMSSG are to increase SNAP accessibility and participation at farmers markets, and support the establishment, expansion, and promotion of SNAP/Electronic Benefits Transfer (EBT) services at farmers markets.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Funds must be used to conduct tasks necessary for SNAP to operate at farmers markets, and to increase the number and effectiveness of farmers market participation in SNAP. As such, eligible uses include, but not necessarily limited to: salaries and benefits for time spent on SNAP activities, tasks for market(s); creating/operating systems (such as scrip, accounting, record-keeping) necessary to make SNAP operational at market(s); training and technical assistance to farmers market volunteers, vendors, and employees on SNAP; creating SNAP outreach and educational materials and performing outreach to current SNAP participants to inform them they may use their SNAP benefits at farmers markets; miscellaneous equipment, other than SNAP-EBT equipment -- such as scrip, wifi hotspots, accounting software, etc. necessary for SNAP-EBT equipment to operate at farmers markets. The funds must be used to further the goals of FMSSG, which specifically relate to the operation of SNAP at farmers markets. Accordingly, uses that do not relate to that purpose are impermissible. 100% of these funds are discretionary.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The funds must be used to further the goals of FMSSG, which specifically relate to the operation of SNAP at farmers markets. Accordingly, uses that do not relate to that purpose are

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impermissible. To the extent that the entities use the funds for such purposes, they are eligible.

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Farmer/Rancher/Agriculture Producer; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; The funds must be used to further the goals of FMSSG, which specifically relate to the operation of SNAP at farmers markets. Accordingly, uses that do not relate to that purpose are impermissible. To the extent that the entities use the funds for such purposes, they are eligible beneficiaries.

Credentials/Documentation:

Farmers' Markets that participate in FMSSG must be SNAP-authorized retailers. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All FMSSG proposals are submitted via Grants.gov. Required and suggested application forms are available online at <http://www.fns.usda.gov/ebt/learn-about-snap-benefits-farmers-markets>. FNS strongly encourages applicants to adhere to the application format. The proposed project plan are typed on 8 X 11 white paper, with at least one-inch margins on the top and bottom, using FNS-10-545A. All pages should be single-spaced, printed only on one-side, in 12 point font. The project description, including all relevant information, should be no longer than 10 pages, not including the cover sheet, key personnel qualifications, letters of commitment, project implementation addresses, charts created using the Census Bureau's American Community Survey (ACS) website, and required forms. Applications should include the following: Cover Sheet and Project Narrative (templates can be found in FNS-10-545A) FNS strongly encourages applicants to use FNS-10-545A when preparing their Project Narrative. Even if they choose not to do so, Project Narratives must include the following information: Requested FMSNAP Funding and Matching Funding; Entity Type; Background Statement; Goals/Purposes of the Project; Approach; Impact; Partnerships and Donations. Budget Documents Applicants should submit a Budget Summary that itemizes, line-by-line, each proposed Budget Item. Applicants must also submit a Budget Narrative. The Budget Narrative must justify and support the bona fide needs of the project costs, and must correspond with the Budget Summary. Proposed Budget Items must correlate to the purpose/goals of the project; and must be reasonable, necessary, allocatable, and allowable for the proposed work. FNS strongly encourages applicants to use form FNS-10-545B when preparing their Budget Summary and for additional guidance on preparing their Budget Narrative. Neither the Budget Summary nor the Budget Narrative count toward the Project Narratives page limit. The Budget Summary must include the following Budget Categories, and the Budget Narrative must provide justification for each Budget Item proposed under those categories. If an applicant does not propose a Budget Item under a particular Budget Category, indicate "None" in the "Itemization" column and "\$0" in the "Requested Budget" column: Personnel and Contractual; Equipment; Supplies; Other Supplemental Documents Letters and/or other evidence of commitment should establish the fact that critical resources and infrastructure required to initiate and implement the project are in place at the time of proposal submission. Required supplemental documents include: Key Personnel Qualifications; Letters of Commitment.

Award Procedure:

FNS pre-screens applications to ensure they contain all required information. Applications that do not include complete copies of forms SF-424, SF-424A, SF-424B, SF LLL, and (in the case of corporations, regardless of whether they are for-profit or non-profit) AD-3030, are considered non-responsive and eliminated from further consideration. Applications that do not include all required information, as described in the RFA, FNS-10-545A, and FNS-10-545B have points deducted during the evaluation process. Following the initial screening process, FNS may divide applications into two or more groups based on whether they are submitted by individual organizations or partnerships, scope of proposed projects, and/or

similar characteristics so that similar applications may be compared against each other. FNS assembles panels to review and score each application based on technical merits. The panels evaluate the proposals based on how well they address the evaluation criteria described in the RFA. The panels recommend the highest scoring applications for grant awards. The Selecting Official reserves the right to award a grant based solely on scoring, but may also consider agency priorities, program balance, available funding, geographical representation, or project diversity. When considering whether to award a grant, FNS may request that proposed Project Narratives and/or Budgets documents be revised. FNS contacts applicants to negotiate these revisions. Alternatively, applicants may choose not to revise their project proposal. During past awards, the following criteria were used to evaluate applications for FMSSG funds: Goals/Purpose (12 points); Approach (40 points); Workplan; Personnel; Resources (Non-personnel resources, such as land, building, equipment, supplies, etc.); Sustainability; Partnerships; Budget (20 points); Impact (20 points); and whether the project activities take place in SNAP Priority areas (30 points);

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. No Farmers Market SNAP Support Grants are available at this time.

Appeals:

Not Applicable. Non-selected applicants may request a debriefing, outlining the strengths and weaknesses of their proposal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FMSSG funds are awarded for projects with a minimum duration of 13 months and a maximum of 36 months. However, FNS determines the grant performance period. Method of awarding/releasing assistance: letter

Reports:

Award recipients are required to enter the SF-425, Financial Status Report data into the FNS Food Program Reporting System (FPRS) on a quarterly basis. A final Financial Status Report is required by no later than 90 days after the termination date of the grant project. In order to access FPRS, the grant recipient obtain USDA e-authentication certification and access to FPRS. More detailed instructions for reporting are included in the FNS Federal grant award package. Grant recipients are responsible for managing and monitoring the progress of the grant project activities and performance. The award document should indicate the reporting schedule for submitting project performance/progress reports to FNS. In order to make reporting efficient and consistent, grantees are asked to use an online account to set up profiles with baseline information and submit progress reports through the Farmers Market Coalition portal at www.fmtoolbox.org, as required in the FMSNAP General Award Terms and Conditions. Baseline data is entered at the start of each award project, and grantees submit progress reports into this database on a six month basis. Proposed budgets area required to reflect time allocated to reporting metrics. For grants involving the re-granting of funds to individual markets, please note that ALL participating markets are expected to establish and maintain reporting accounts, using the same reporting timeframe as the lead grantee. Recipients have been required to submit 6-month interim performance reports after the awards anniversary date, as provided by FMSNAP, until a Final Performance Report is required. Final performance reporting requirements are due within 90 days following the grant ending date. Award recipient are required to enter the SF-425, Financial Status Report data into the FNS Food Program Reporting System (FPRS) on a quarterly basis. A final Financial Status Report is required by no later than 90 days after the termination date of the grant project. In order to access FPRS, the grant recipient must obtain USDA e-authentication certification and access to FPRS. More detailed instructions for reporting are included in FNS Federal grant award packages. See section on Progress Reports

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements.

Records:

Financial records, records of project activities, according to the metrics selected by the grantee and agreed to by FNS, for the duration of the projects, which may be as long as 36 months. Metrics and records will vary from project to project, but may include items such as: oIncrease in dollar value of SNAP transactions (per day, week, month, year, etc.) oIncrease in number of SNAP transactions oIncrease in number of farmers markets redeeming SNAP benefits oIncrease in number of farmer-producers redeeming SNAP benefits oIncrease in number of SNAP participants redeeming benefits at farmers markets. oNumber of market staff trained oNumber of farmer-producers trained oNumber and type of SNAP outreach marketing materials disseminated Number and attendance for educational, informational, and outreach events hosted

Account Identification:

12-3505-0-1-605.

Obligations:

Salaries and Expenses FY 20 \$1,000,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

The range of awards are to be spread over a four year period.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: More than 2200 direct marketing farmers and farmers markets used the SNAP Mobile Processing App in FY2021 to complete more than \$15M in SNAP redemptions.

REGULATIONS, GUIDELINES, AND LITERATURE:

As approved by Congress in the Presidents FY 2014 budget request for FNS (The Food and Nutrition Service: 2014, Explanatory Notes), these funds are intended to support the participation of farmers markets in SNAP by providing equipment and support grants to new markets and those currently participating in the program."

Regional or Local Office:

None.

Headquarters Office:

Food and Nutrition Service, SNAP Retailer Management & Issuance Marcus Hambrick,

1320 Braddock Place,
Alexandria VA 22314

Email: mark.hambrick@usda.gov

Phone: 703-305-2994

Website Address:

<https://www.fns.usda.gov/ebt/snap-and-farmers-markets>

RELATED PROGRAMS:

10.575 Farm to School Grant Program; 10.576 Senior Farmers Market Nutrition Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 10.168 Farmers' Market Promotion Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In years that grants are funded, FNS assembles panels to review and score applications based on technical merits. The panels evaluate the proposals based on how well they address the evaluation criteria described in the RFA. The panels recommend the highest scoring applications for grant awards. The Selecting Official reserve the right to award a grant based solely on scoring, but may also consider agency priorities, program balance, available funding, geographical representation, or project diversity. The following criteria have been used in the past to evaluate applications for FMSSG funds: Goals/Purpose (12 points); Approach (40 points); Workplan; Personnel; Resources (Non-personnel resources, such as land, building, equipment, supplies, etc.); Sustainability; Partnerships; Budget (20 points); Impact (20 points); and whether the project activities take place in SNAP Priority areas (30 points).

10.547 Professional Standards for School Nutrition Employees

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Executive Order Section 306 of the Healthy, Hunger-Free Kids Act of 2010, Public Law 111296 (HHFKA) amended section 7 of the Child Nutrition Act of 1966 (CNA) (42U.S.C. 1776) by adding paragraph (g). Professional Standards for School Food Service. This rule proposes to amend 7 CFR part 210, the regulations governing the National School Lunch Program, and 7 CFR part 235, the regulations governing State Administrative Expense Funds, consistent with amendments made to the CNA by the HHFKA., Title 7 CFR, Part 210, Section 235, Healthy Hunger-Free Kids Act, Title 7 CFR, Part 210, Section 235, Public Law 222-111, 42 USC Code 1776.

OBJECTIVES:

The Healthy Hunger-Free Kids Act (HHFKA) of 2010, Section 306, directed the United States Department of Agriculture to establish minimum national professional standards for all school nutrition employees who manage and operate the National School Lunch and School Breakfast Programs. These new standards will ensure that school nutrition personnel have the training and tools they need to plan, purchase and prepare safe, nutritious and enjoyable school meals. The rule institutes minimum hiring standards for the selection of State and local school nutrition program directors; and requires all personnel in these programs to complete annual continuing education/training. The standards are effective July 1, 2015. The principal benefit of this rule is to ensure that key school nutrition personnel are meeting minimum professional standards in order to adequately perform the duties and responsibilities of their positions. The purpose of this Request for Applications (RFA) was to: Provide awards, on a competitive basis, to State agencies administering National School Lunch Program (NSLP) and School Breakfast Program (SBP), to develop and implement trainings to support the Professional Standards rule, Describe which entities are eligible to apply for grant funds, Describe the requirements for submitting a successful application, and Describe how applications will be reviewed and selected.

TYPES OF ASSISTANCE:

Project Grants, Training

USES AND USE RESTRICTIONS:

\$2.6 million dollars was awarded in Fiscal Year 2015 for Professional Standards Grants. Professional Standards Grants were funded in 2015, and these funds will not be issued again. Funding for approved Professional Standards Grants were provided through the Grant Award/Letter of Credit process, in the same manner as other Federal funds - which were released upon receipt of a properly executed Federal-State Professional Standards Grant Agreement and availability of funding. All Professional Standards Grant funds were obligated and all activities under the Professional Standards Grant were completed by August 2017 (unless an extension to the grant was approved). All State agencies were eligible to apply for the grant.

Applicant Eligibility:

State; The State agency applies for and signs an agreement to receive Federal fund for disbursement. The State agency enters into an agreement with each sponsor that has been approved for participation.

Beneficiary Eligibility:

State; Agreements are between USDA-FNS and State agencies.

Credentials/Documentation:

Selection criteria are clearly defined in application. Proposals must describe the qualifications of Project Director and other key staff. Resumes must be provided which demonstrate that staff have the appropriate educational, technical and experiential backgrounds for their proposed roles. A position description must be included for Project Directors and key staff to be hired after the grant is awarded. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Letter of intent is optional for this RFA but is highly recommended. It is due February 20, 2015. The RFA and grant funds is no longer available.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB circular No A-110 applies to this program.

Award Procedure:

When an application is approved, the sponsor signs an agreement with the administering agency. States were selected for Professional Standards Grant based on a formal grants selection process.

Deadlines:

April 20, 2015 - January 20, 2016. Rolling submissions Letters of intent are due February 20, 2015. Applications must be received by Food and Nutrition Service (FNS) by the four deadline dates of April 20, 2015; July 20, 2015, October 20, 2015, and January 20, 2016.

The RFA and grant funds is no longer available.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 30 to 60 days

Appeals:

From 30 to 60 days. Not applicable for renewal

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

August 1, 2015- July 31, 2017The RFA and grant funds is no longer available. Method of awarding/releasing assistance: lump sum.

Reports:

The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress. The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress. The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress. The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress. The quarterly and final programmatic and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, and all records pertinent to the grant program shall be retained for a period of 3 years.

Account Identification:

12-3539-0-1-605.

Obligations:

Training FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Training FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Each State could apply for up to 150,000 for this grant.The RFA and grant funds is no longer available.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR parts 210 and 235

Regional or Local Office:

See Regional Assistance Locations. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Rebecca MacIsaac,
1320 Braddock Place,
Alexandria VA 22314
Email: Rebecca.MacIsaac@usda.gov

Phone: 703-305-1458

Kaushalya Heendeniya,

1320 Braddock Place,

Alexandria VA 22314

Email: Kaushalya.Heendeniya1@usda.gov

Phone: 703-305-0037

Fax:

Website Address:

<https://www.fns.usda.gov/school-meals/child-nutrition-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Soundness or Merit of Project Design a. Proposal provides justification of the project(s) and activities being proposed.b. Proposal has objectives and goals that are appropriate to target audiences, are measurable, realistic, attainable, and address one or more focus areas (objectives see page 6) requested in the 2015 Professional Standards Grants Request for Application (RFA). c. Proposal describes State agency's use of USDA or NFSMI-developed materials, and adequate justifications are made if a portion of the grant funds will be used to develop or purchase needed materials. d. Proposal adequately describes the tasks and activities with realistic timelines.e. Proposal includes a chronological timeline, not to exceed two pages.f. Proposal is written concisely, succinctly, and demonstrates a comprehensive planning process.g. Proposal includes a plan for sustainability after the grant period has ended.2. Grant Impact Assessment a.Proposal adequately describes how the State agency will assess the effectiveness and usefulness of training approaches, programs, and proposed activities to meet Professional Standards requirements in Section 306 of Healthy, Hunger-Free Kids Act of 2010.b. Proposal describes how the State agency will document the number of individuals the State plans to train at three levels: Manager, Director, and other staff, as well as best practices and lessons learned as result of the grant activities. 3. Qualification of Project Personnel, Project Management, and Organizational Experiences a.Project Director (PD) is clearly identified. Time commitment for the grant is clearly stated as a percentage of a full time equivalent (FTE). b.Proposal describes the qualifications of PD and other key personnel. Resumes must be provided which demonstrate that they have the appropriate educational, technical, and experiential backgrounds for their proposed roles. A position description must be included for PD and/or key staff to be hired after the grant is awarded. The Project Director, or one of the Project Co-Directors, should have a four-year degree in nutrition/dietetics, public health, health education, or other related field.c. The contractor must be a subject matter expert with prior experience conducting training sessions for school food service personnel. Documentation should be provided to substantiate the training.d. Proposal describes the organizations credibility and capabilities to administer the grant.e. The organization of the project allows sufficient time for proposed tasks, and clearly discusses and demonstrates that effective communication will exist among staff. f. Proposal describes State agency's commitment to provide financial and administrative oversight for the grant. g. Letters of commitment are provided by project director, project directors supervisor, and/or key staff.4. Budget Appropriateness and Efficiency a. Budget

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narrative describes how funds will be spent, by whom and for which categories. b. Budget detail sufficiently itemizes costs within each budget category. c. Budget demonstrates consistency with project objectives and specific activities/tasks planned. Budget line items are linked to specific grant objectives. d. The level of funding requested is economical and reasonable in relation to the proposed scope and effort of the project.

10.551 Supplemental Nutrition Assistance Program

(SNAP).

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Food, Conservation and Energy Act of 2008; Food and Nutrition Act of 1977, as amended, Public Law 95- 113, 91 Stat. 958, 7 U.S.C. 2011 et seq.; Public Law 95-348, Public Law 96-58, Public Law 96-249, Public Law 97-35; Public Law 97-98; Public Law 97-253, Public Law 98-204, Public Law 98-369; Public Law 99-198, Public Law 99-425; Public Law 99-498, Public Law 99-603, Public Law 100-77, Public Law 100-175, Public Law 100-232, Public Law 100-387, Public Law 100-435, Public Law 100-503, Public Law 100-707, Public Law 101-202; Public Law 101-392; Public Law 101-508; Public Law 101-624; Public Law 102-237; Public Law 102-265; Public Law 102-325; Public Law 103-31; Public Law 103-66; Public Law 103-225; Public Law 103-286; Public Law 103-296; Public Law 104-66; Public Law 104-127; Public Law 104-193; Public Law 104-208; Public Law 105-18; Public Law 105-33; Public Law 105-185; Public Law 105-379; Public Law 106-171; Public Law 106-387; Public Law 107-171; Public Law 110-161; Public Law 110-246; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5; Healthy Hunger Free Kids Act, Public Law 111-296; American Taxpayer Relief Act, Public Law 112-240; Agricultural Act of 2014; Families First Coronavirus Relief Act; Consolidated Appropriations Act 2021, , Title Agriculture Improvement Act of 2018,

OBJECTIVES:

SNAP Benefits: Improve nutrition of low-income households by ensuring access to nutritious, healthful diets through the provision nutrition assistance consisting of issuance of monthly benefits for the purchase of food at authorized retailers as well as nutrition education. Contingency Fund: Placed in reserve for use only in such amounts and at such times as may be necessary to carry out program operations. Enables the program to react to shifts in program need that we not anticipated at the time of a budget request. ARRA: This project ended in FY14. Healthy Incentive Pilot: This project ended in FY14. The purpose was to determine if incentives provided to SNAP recipients at the point-of-sale increase the purchase of fruits, vegetables or other healthful foods.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Formula Grants, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

SNAP Benefits: Households receive Supplemental Nutrition Assistance Program (SNAP) benefits on an electronic benefit transfer (EBT) card, which operates like a debit card, to redeem benefits at authorized retailers. The amount of benefits varies according to household size, expenses, and income. Contingency Fund: Placed in reserve for use only in such amounts and at such times as may be necessary to carry out program operations. Enables the program to react to shifts in program need that we not anticipated at the time of a budget request. ARRA: This project ended in FY14. Healthy Incentive Pilot: This project ended in FY14. The purpose was to determine if incentives provided to SNAP recipients at the point-of-sale increase the purchase of fruits, vegetables or other healthful foods.

Applicant Eligibility:

U.S. Territories and possessions; State; SNAP Benefits: SNAP is a Federal program administered by the States. The State or U.S. Territory agency responsible for Federally aided public assistance programs submits requests for funding to USDA's Food and Nutrition Service. Contingency Fund: Placed in reserve for use only in such amounts and at such times as may be necessary to carry out program operations. Enables the program to react to shifts in program need that we not

anticipated at the time of a budget request. ARRA: This project ended in FY14. Healthy Incentive Pilot: This project ended in FY14. The purpose was to determine if incentives provided to SNAP recipients at the point-of-sale increase the purchase of fruits, vegetables or other healthful foods.

Beneficiary Eligibility:

Individual/Family; Unemployed; Welfare Recipient; Pension Recipient; Low Income; Applications for SNAP are made through a local social services agency which determines eligibility and benefit amount. Eligibility is based on household size, income, and expenses. Eligibility is also based on assets, citizenship or legal immigration status, and other factors. A gross income test is based on 130 percent of the poverty line, and the net income (after expenses) test is based on 100 percent of the poverty line. Households with elderly and disabled members need only meet the net income test. The FY22 resource limit is \$2,500 (\$3,7500 for a household with an elderly or disabled member). A total of 42 States use broad-based categorical eligibility to align the resource limits and gross income limits with other mean tested programs. Able-bodied adults with certain limited exceptions must meet a work requirement or face time limits on participation.

Credentials/Documentation:

Eligibility is determined by local social service offices based on National eligibility standards. Households must provide verification of identity, income, and resources. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Food and Nutrition Act of 2008, as amended, requires each State agency to submit to the Food and Nutrition Service for approval, a plan of operation specifying the manner in which SNAP will be conducted in every political subdivision within the State.

Award Procedure:

The Secretary of Agriculture approves State plans of operation submitted by the State agency that operates public assistance programs.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Full implementation of the Nationwide mandate was completed in fiscal year 1975, except for certain Indian reservations which continued in the Food Distribution Program.

Appeals:

Other.

Renewals:

Other. Program continues once approved, unless there is a substantial failure to comply with regulations or withdrawal on the part of the State.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance period varies and time phasing is not applicable. Method of awarding/releasing assistance: other

Reports:

Annual budget summary statements; monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients; and cumulative totals for the fiscal year. Quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report are all required Monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients. Quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; and cumulative totals for the fiscal year. Monthly and annual quality control reports; quarterly

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financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients; and cumulative totals for the fiscal year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Programs operated by the State or on behalf of the State are subject to audit by Office of Inspector General, USDA.

Records:

Records of households certified, benefits issued, and records relating to any financial transaction are required and must be maintained for 3 years.

Account Identification:

12-3505-0-1-605.

Obligations:

Salaries and Expenses FY 20 (\$1,294,967,296.00); FY 21 est (\$1,294,967,296.00); FY 22 Not Available; Project Grants FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Formula Grants FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Formula Grants FY 20 \$366,770,152.00; FY 21 est \$317,670,152.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Varies by income and household size. The average benefit for a household of 4 in FY21 was \$402.25

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 271-282. Additional publications may be available at www.fns.usda.gov/snap.

Regional or Local Office:

See Regional Assistance Locations. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Jessica Shahin,
Supplemental Nutrition Assistance Program, Food and Nutrition Service,
Department of Agriculture, 1320 Braddock Place,
Alexandria VA 22314
Email: Jessica.Shahin@usda.gov
Phone: (703) 305-2022.
Fax:

Website Address:

<http://www.fns.usda.gov/snap/>

RELATED PROGRAMS:

10.567 Food Distribution Program on Indian Reservations; 10.566 Nutrition Assistance For Puerto Rico;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.553 School Breakfast Program

SBP.

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Child Nutrition Act of 1966, as amended, , 42 USC Code 1773, 1779, 1793.

OBJECTIVES:

To assist States in implementing a nutritious nonprofit breakfast service for school children, through meal reimbursements and food donations.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Federally appropriated School Breakfast Program funds are available to each State agency to reimburse participating public and nonprofit private schools, of high school grade and under, including residential child care institutions, for providing nutritious breakfasts to eligible children. The breakfasts offered must meet the nutritional requirements prescribed by the Secretary of Agriculture in order to be reimbursable. The rates of reimbursement are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for all Urban Consumers. All participating schools must agree to serve free and reduced price meals to eligible children. Please refer to regulations: 7 CFR Part 220 -- School Breakfast Program; 7 CFR Part 235 -- State Administrative Expense; 7 CFR Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; States, including the District of Columbia and U.S. Territories, as applicable, may apply to administer the SBP and work in collaboration with public and nonprofit private schools and other institutions for children, such as public and nonprofit private residential child care institutions. All participating schools and institutions must agree to serve free and reduced price meals to eligible children, and operate a nonprofit meal service that is available to all children regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

Infant (0-5); Child (6-15); Youth (16-21); Student/Trainee; All children attending schools where this program is operating may receive nutrition benefits, which are determined based on the household income and size. Breakfast is served free to children who are determined by the local education agency to have household income levels at or below 130 percent, and at a reduced price to children from households with incomes higher than 130 but at or below 185 percent of the Federal poverty line. Paid breakfast is served to children who are not eligible for free and reduced-price meals. The Secretary prescribes the income eligibility guidelines for free and reduced-price meals by July 1. These guidelines are revised annually according to the Federal Income Poverty Guidelines. Children from households certified to receive benefits through the Supplemental Nutrition Assistance Program (SNAP), Food Distribution Program on Indian Reservations, or Temporary Assistance for Needy Families, and children in Head Start programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, migrant children, and foster children are automatically eligible for free meals. All reimbursable meals served under the SBP at the free, reduced-price, and paid categories get cash assistance.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Schools in all States

ASSISTANCE LISTINGS DESCRIPTIONS

apply to the State education agency, unless the State is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from the administering agency.

Award Procedure:

The State agency reviews the written application submitted by a school food authority/school or a residential child care institution and, upon determination of eligibility, makes a written agreement with the school or institution for participation in the program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Effective upon approval by the State Agency.

Appeals:

Not Applicable. The State agency is responsible for determining a school's eligibility for participation in the SBP.

Renewals:

Other. Permanent, amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: letter

Reports:

State Agencies, schools, and institutions file monthly reports on the number of meals served, by eligibility type, to claim program funds. Schools and institutions must submit final meal claims to the State no later than 60 days after claiming month. States must submit final program reports to FNS no later than 90 days after the claiming month. States must submit a final fiscal year grant closeout report to FNS no later than 120 days after the end of the fiscal year to which they pertain.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Schools must maintain full and accurate records of their breakfast programs. Such records must be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, records must be retained beyond the 3-year period until audit findings have been resolved.

Account Identification:

12-3539-0-1-605.

Obligations:

Formula Grants FY 20 \$536,416,704.00; FY 21 est \$744,118,704.00; FY 22 est \$893,782,704.00;

Range and Average of Financial Assistance:

State grants vary according to participation in this program.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The total number of breakfasts served in FY 2021 is projected to be 2.07 billion. Fiscal Year 2022: The total number of breakfasts served is projected to be 2.59 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Part 220, and 7 CFR 245 for free and

reduced price meal eligibility. Other information is on the FNS Web site at <https://www.fns.usda.gov/sbp/school-breakfast-program-sbp>

Regional or Local Office:

See Regional Assistance Locations. See the Food and Nutrition Service Regional Offices at <https://www.fns.usda.gov/fns-regional-offices>

Headquarters Office:

Deputy Administrator, Child Nutrition Division Cynthia Long,
1320 Braddock Place,
Alexandria VA 22314
Email: CNDInternet@fns.usda.gov

Phone: (703) 305-2590

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children; 10.574 Team Nutrition Grants; 10.582 Fresh Fruit and Vegetable Program ; 10.560 State Administrative Expenses for Child Nutrition; 10.541 Child Nutrition-Technology Innovation Grant; 10.579 Child Nutrition Discretionary Grants Limited Availability;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.555 National School Lunch Program

School Lunch,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Title Richard B. Russell National School Lunch Act, as amended, , 42 USC Code 1751, 1760, 1779.

OBJECTIVES:

To assist States, through cash grants and food donations, in providing a nutritious nonprofit lunch service for school children and to encourage the domestic consumption of nutritious agricultural commodities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federally appropriated National School Lunch Program funds are available to each State agency to reimburse participating public and nonprofit private schools, of high school grades or under, including residential child care institutions, for providing nutritious lunches to children. Funds are also available to reimburse schools for snacks served to children enrolled in eligible after school care programs. The meals offered must meet specific nutrition standards in order to be reimbursable. The rates of reimbursement are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for All Urban Consumers. All participating schools must agree to serve free and reduced price meals to eligible children. Please refer to regulations: 7 CFR Part 210 -- National School Lunch Program, 7 CFR Part 235 -- State Administrative Expense, and 7 CFR Part 245 Free and Reduced Price Eligibility.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; States, including the District of Columbia and U.S. Territories, as applicable, may apply to administer the National School Lunch Program and work in collaboration with public and nonprofit private schools and other institutions, such as residential child care institutions, to provide nutritious lunches for children.

ASSISTANCE LISTINGS DESCRIPTIONS

All participating schools and institutions must agree to operate a nonprofit food service that is available to all children regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

Infant (0-5); Child (6-15); Youth (16-21); Student/Trainee; All children enrolled in schools where this program is operating may receive a lunch daily. Eligibility for free, reduced-price, or paid lunches is determined based on the household income and size. Lunch is available free to children who are determined by the local education agency to have household income levels at or below 130 percent, and at a reduced price rate to children from households with incomes higher than 130 but at or below 185 percent of the Federal poverty line. Lunch is available at the paid rate to children who are not eligible for free and reduced-price meals. The Secretary prescribes the income eligibility guidelines for free and reduced-price meals by July 1. These guidelines are revised annually according to the Federal Income Poverty Guidelines. Children from households certified to receive benefits through the Supplemental Nutrition Assistance Program (SNAP), Food Distribution Program on Indian Reservations, or Temporary Assistance for Needy Families, and children in Head Start programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, migrant children, and foster children are automatically eligible for free meals. All reimbursable meals served at the free, reduced-price, and paid categories get cash assistance.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. School food authorities must submit a written application to the State agency for any school in which it desires to operate the lunch program. At the local level, households may apply for free or reduced price meals under the lunch program by submitting an application furnished by the Food and Nutrition Service (FNS), the State agency, or the School Food Authority, as applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Schools in all States apply to the State education agency, unless the State is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from the administering agency.

Award Procedure:

The State agency reviews the written application submitted by a school food authority/school or a residential child care institution and, upon determination of eligibility, makes a written agreement with the school or institution for participation in the lunch program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Effective upon approval by the State agency or FNS regional office as applicable.

Appeals:

Not Applicable. The State agency is responsible for determining a school's eligibility.

Renewals:

Other. Permanent, amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 30%. Requirement: Matching requirements are voluntary. As specified in Section 7 of the National School Lunch Act, State revenue matching must be not be less than 30 percent of the funds received by the State

agency under section 4 of the National School Lunch Act beginning in the 1980-81 school year.This program has MOE requirements, see funding agency for further details. Additional Information: See funding agency for further details..

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: letter

Reports:

State agencies and schools file monthly reports on the number of meals served, by type, to claim program funds. School food authorities must submit final meal claims to the State no later than 60 days after the claiming month, and States must submit final program reports to FNS no later than 90 days after the claiming month. States must submit final fiscal year grant close out reports to FNS within 120 days after the end of the fiscal year to which they pertain. Annually, school food authorities must report, to their State agency, the most common charge for lunches served to children who do not qualify for free or reduced price lunches. School food authorities report the price charged at the elementary, middle and high school levels, as applicable. In turn the State agency submits this information to FNS for publication. Reports are required as specified in 7 CFR 210.5(d).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Schools/school food authorities must maintain full and accurate records of their nonprofit food service operation, including --but not limited to-- records pertaining to finances, the price of paid lunches in relation to food costs, menu planning and production, and meal counting and claiming. School food authorities must make these records available to the State agency for review.

Account Identification:

12-3539-0-1-605.

Obligations:

Formula Grants FY 20 (\$377,423,888.00); FY 21 est \$654,895,112.00; FY 22 est \$1,780,953,112.00;

Range and Average of Financial Assistance:

State grants vary according to participation in this program.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The total number of school lunches and snacks served is projected to be 4.11 billion. Fiscal Year 2022: The total number of school lunches and snacks served is projected to be 5.24 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations on operational requirements are codified at 7 CFR Parts 210, and 7 CFR 245 for free and reduced price meal eligibility. Other information is on the FNS Web site at <https://www.fns.usda.gov/nslp/national-school-lunch-program-nslp>

Regional or Local Office:

See Regional Assistance Locations. See the Food and Nutrition Service Regional Offices at <https://www.fns.usda.gov/fns-regional-offices>

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long,
1320 Braddock Place,
Alexandria VA 22314
Email: CNDInternet@fns.usda.gov
Phone: 703-305-2590

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.556 Special Milk Program for Children;

ASSISTANCE LISTINGS DESCRIPTIONS

10.559 Summer Food Service Program for Children; 10.574 Team Nutrition Grants; 10.582 Fresh Fruit and Vegetable Program ; 10.560 State Administrative Expenses for Child Nutrition; 10.541 Child Nutrition-Technology Innovation Grant; 10.579 Child Nutrition Discretionary Grants Limited Availability;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.556 Special Milk Program for Children

SMP.

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Title Child Nutrition Act of 1966, as amended, , 42 USC Code 1772 and 1779.

OBJECTIVES:

To provide subsidies to schools and institutions to encourage the consumption of fluid milk by children.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are made available to State agencies to encourage the consumption of fluid milk by children in public and private, nonprofit schools of high school grade and under, public and private, nonprofit nursery schools, child care centers, settlement houses, summer camps, and similar nonprofit institutions devoted to the care and training of children, except Job Corps centers, provided that these schools and institutions do not participate in a meal service program authorized under the National School Lunch Act or the Child Nutrition Act of 1966. The Child Nutrition Amendments of 1986 expanded eligibility to include children in split session kindergarten and pre-kindergarten programs in nonprofit schools and institutions that do not have access to the Federal meal service program operating in schools the children attend. Disbursement to States is made on the basis of the number of half-pints of milk served to non-needy children, using a reimbursement rate specified by law. Milk served free to eligible needy children is reimbursed at the average cost of a half-pint of milk. Please refer to regulations: 7 CFR Part 215 -- Special Milk Program; 7 CFR Part 235 -- State Administrative Expense; 7 CFR Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; The State, including the District of Columbia, or U.S. Territory as applicable, administers this program. Public and nonprofit private school of high school grade or under, and public and private nonprofit residential and nonresidential child care institutions, except Job Corps centers, may participate in this program upon request if they do not participate in a meal service program authorized under the Richard B. Russell National School Lunch Act or the Child Nutrition Act of 1966. This generally includes nonprofit nursery schools, child care centers, settlement houses and summer camps. Schools with split session kindergarten and pre-kindergarten programs can receive subsidies for milk served to children in the split session kindergartens and pre-kindergartens that do not have access to another meal service program operating in the school. All schools and child care institutions that participate must agree to operate this program on a nonprofit basis for all children without regard to race, sex, color, national origin, age or disability.

Beneficiary Eligibility:

Infant (0-5); Child (6-15); Youth (16-21); Student/Trainee; All children enrolled in participating schools and institutions who do not have access to other Child Nutrition Programs, may participate in this program.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Schools and institutions in all States apply to the State education agency, unless the State is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from the administering agency.

Award Procedure:

The State agency reviews the written application of the school or child care institution and, upon determination of eligibility, makes a written agreement for participation in this program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Agreements are effective upon approval of the State Agency.

Appeals:

Not Applicable. State Agencies are responsible for determining eligibility of a school or institution.

Renewals:

Other. Agreements are permanent; amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: letter

Reports:

State agencies, schools, and institutions file monthly reports on program operations to claim reimbursement. Schools must submit final claims to the State agency no later than 60 days after the claiming month, and States must submit final program reports to the Food and Nutrition Service no later than 90 days after the claiming month. State agencies are required to complete SF-425 reports. For each fiscal year, States must submit final grant close out reports to the Food and Nutrition Service no later than 120 days after the close of the fiscal year to which they pertain.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Schools and institutions must maintain full and accurate records of Special Milk Program operations. Such records must be retained for a period of 3 years after the end of the fiscal year to which they pertain except that, if audit findings have not been resolved, the records must be retained as long as required for their resolution. This program is subject to periodic audits.

Account Identification:

12-3539-0-1-605.

Obligations:

Formula Grants FY 20 \$7,064,000.00; FY 21 est \$7,309,000.00; FY 22 est \$6,250,000.00;

Range and Average of Financial Assistance:

State grants vary according to participation in this program.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 20 million half pints of milk estimated to be served. Fiscal Year 2022: 29 million half pints of milk estimated to be served.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Parts 215 and 245. Other information is on the FNS website at <https://www.fns.usda.gov/smp/special-milk-program>

Regional or Local Office:

See Regional Assistance Locations. See the Food and Nutrition Service Regional Offices listed at <https://www.fns.usda.gov/fns-regional-offices>

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long,
1320 Braddock Place,
Alexandria VA 22314
Email: CNDInternet@fns.usda.gov
Phone: (703) 305-2590

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children; 10.574 Team Nutrition Grants; 10.582 Fresh Fruit and Vegetable Program ; 10.560 State Administrative Expenses for Child Nutrition; 10.541 Child Nutrition-Technology Innovation Grant; 10.579 Child Nutrition Discretionary Grants Limited Availability;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children

WIC Program,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786.,

OBJECTIVES:

To provide low-income pregnant, breastfeeding and postpartum women, infants, and children up to age five who are determined to be at nutritional risk with supplemental nutritious foods, nutrition education, and referrals to health and social services at no cost. WIC also promotes and supports breastfeeding as the feeding method of choice for infants, provides substance abuse education and promotes immunization and other aspects of healthy living. For Formula Grants, the Food and Nutrition Service (FNS) makes funds available to participating State health agencies and Indian Tribal Organizations (ITOs) that, in turn, distribute the funds to participating local agencies. State and local agencies use WIC funds to pay the costs of specified supplemental foods provided to WIC participants, and to pay for specified nutrition services and administration (NSA) costs, including the cost of nutrition assessments, blood tests for anemia, nutrition education, breastfeeding promotion and support, and health care referrals. For Project Grants, FNS makes funding available to carry out WIC outreach, innovation, and program modernization efforts to increase participation and redemption of benefits. Projects may focus on reducing disparities in program delivery, improving WIC service delivery, improving the WIC shopping experience, and increasing awareness of the Programs benefits and services.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Supplemental Foods, nutrition services, program administration

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); A local agency is eligible to apply to deliver locally the services of the WIC Program, provided that: (1) it serves a population of low-income women, infants, and children at nutritional risk; and (2) it is a public or private nonprofit health or human service agency. All local agencies must apply through the responsible State, Indian Tribal Organization or U.S. Territory agency. Project grants may be available to a WIC State agency, businesses, small businesses, nonprofits having a 501(c)(3) status with the IRS, institutions of higher education and for profit organizations other than small businesses, public and State controlled institutions of higher education and private institutions of higher education. All applications must be submitted via the Grants.gov portal.

Beneficiary Eligibility:

Women; Infant (0-5); Pregnant women, breastfeeding women up to one year postpartum, and non-breastfeeding women up to six months postpartum, infants, and children up to 5 years of age are eligible if: (1) they are individually determined by a competent professional to be in need of the special supplemental foods supplied by the Program because of nutritional risk; and (2) meet an income standard, or receive or have certain family members that receive benefits under the Supplemental Nutrition Assistance, Medicaid or Temporary Assistance for Needy Families Programs. They must also reside in the State in which benefits are received.

Credentials/Documentation:

Individuals are certified as meeting an income standard, or as participating in certain other means-tested Federal programs. Certification regarding nutritional need for supplemental foods is determined by local level professionals. As of April 1999, all State agencies were using uniform criteria to determine nutritional risk. The State agency designated official responsible for ensuring that the WIC Program is operated in accordance with Program requirements must sign the State Plan and Federal-State Agreement pursuant to Program regulations. 2 CFR 200, Subpart E - Cost Principles applies to this Program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Project Grants are posted to Grants.gov and applicants must follow the procedures noted in the Request For Application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Local agencies and retailers must apply to the State agency in writing. Individual participants apply for WIC benefits at an approved local agency. State agencies must submit required documentation to USDA Food and Nutrition Service. Project Grants are posted to Grants.gov and applicants must follow the procedures noted in the Request For Application.

Award Procedure:

Formula Grants are awarded by the Food and Nutrition Service to State agencies in accordance with funding formulas set forth in program legislation and regulations. State agencies distribute funds to local agencies to support program operations. Project Grants are competitively awarded and subject to available funds.

Deadlines:

August 15, 2021. A State agency designated official is required to submit a State plan for the following fiscal year to USDA Food and Nutrition Service no later

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than August 15 of the current fiscal year. Only substantive changes to the currently approved State plan must be submitted. The application period for WIC Project Grants closure date is specified in the Request For Applications posted to Grants.gov.

Range of Approval/Disapproval Time:

Other. Approval determination for the WIC Program Formula Grants is made within 30 days of submission of a completed plan or amendment. Approval determination for the WIC Program Project Grants is made within 30 days of the application closure date.

Appeals:

Other. For the WIC Program, local agency or food vendor appeals: The State agency provides administrative review procedures to any local agency or food vendor adversely affected by certain State or local agency actions. The adverse action may be postponed until a hearing decision is reached. Participant appeals: The State agency provides a hearing procedure for any individual that receives a State or local agency action that results in a claim for repayment of the cash value of improperly issued benefits, denial of participation, or disqualification from the WIC Program. The Request for Application will provide information regarding appeals of Project Grants.

Renewals:

Other. The WIC Program is authorized through September 30, 2021; it presently operates under the authority of the Child Nutrition Act of 1966, as amended.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter 2, Part 246, Subpart E, Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Formula grants for food and NSA are available from 1-2 years. Project grants are available from 2-5 years, depending on project timeline. Method of awarding/releasing assistance: Federal Letter of Credit. Method of awarding/releasing assistance: quarterly

Reports:

Formula grants require State agencies to submit monthly reports via the WIC Financial Management and Participation Report with Addendum (FNS-798) on participation and actual and/or estimated food and NSA grant obligations and expenditures. Formula grants require cash reports on operating expenses and funds withdrawn from the Federal Letter of Credit. Project Grants require periodic progress reports. Formula grants require annual closeout reports via the WIC Financial Management and Participation Report with Addendum (FNS-798/798A) on actual participation and final expenditures charged against the Federal Letter of Credit. Project grants require annual and final reports via the Federal Financial Report (SF-425). Formula grants require Financial Management Reviews and Management Evaluations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Full and complete records concerning program operations including financial operations, food delivery systems, food instrument issuance and redemption, equipment purchases and inventory, certification, nutrition education, civil rights and fair hearing procedures. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

Formula Grants FY 20 \$1,509,686,249.00; FY 21 est \$1,585,327,796.00; FY 22 est \$1,585,327,796.00; Formula Grants FY 20 \$0.00; FY 21 est \$500,000,000.00; and FY 22 est \$0.00; Formula Grants FY 20 \$0.00; FY 21 est \$490,000,000.00; and FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$390,000,000.00;

Range and Average of Financial Assistance:

For fiscal year 2020, FNS approved the operation of the WIC Program in 89 State agencies. This figure includes 50 States, the District of Columbia, 33 Indian Tribal Organizations, and five U.S. Territories (Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands). During fiscal year 2020, an average of approximately 6,250,000 women, infants and children received WIC benefits every month. Although food package costs varied widely among State agencies, the monthly average food package cost for fiscal year 2020 was approximately \$38.29 per person.

TAFS Codes:

12-3510.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, a monthly average of 1,446,146 pregnant, post-partum and breastfeeding low-income women, 1,551,034 infants, and 3,250,234 children who were determined to be at nutritional risk received supplemental nutritious foods, nutrition education, breastfeeding support, and referrals to health and social services at no cost. The Families First Coronavirus Act provided funding in response to the COVID-19 public health emergency. FNS made these funds available to all 89 WIC State agencies to pay for supplemental foods and nutrition services and administration costs in accordance with legislative and regulatory requirements. Fiscal Year 2021: In response to the COVID-19 public health emergency, the American Rescue Plan Act authorized FNS to temporarily increase the cash-value benefit for fruit and vegetable purchases for participants receiving certain food packages to an amount less than or equal to \$35 per month. Funds were allocated to 87 of 89 WIC State agencies. The American Rescue Plan Act appropriated \$390 million for outreach, innovation and modernization projects for WIC and WIC FMNP. This funding is available for obligation through FY 2024. No project funds are expected to be obligated until FY 2022. Fiscal Year 2022: The American Rescue Plan Act appropriated \$390 million for outreach, innovation and modernization projects. This funding is available for obligation through FY 2024.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 246; "WIC State Plan Guidance" is available at no charge from FNS.

Regional or Local Office:

See Regional Assistance Locations. <https://www.fns.usda.gov/fns-regional-offices>

Headquarters Office:

Director Sarah Widor, Director, Supplemental Food Programs Division, 1320 Braddock Pace, Alexandria VA 22314

Email: Sarah.Widor@usda.gov

Phone: (703) 305-2746

Fax:

Website Address:

<http://www.fns.usda.gov/wic>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.556 Special Milk Program for Children; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.600 Head Start;

EXAMPLES OF FUNDED PROJECTS:

2020 No grants awarded. 2021 No grants awarded. 2022 No grants awarded.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for evaluation of a Project Grant are included in the Request for Application posted to Grants.gov.

10.558 Child and Adult Care Food Program

ASSISTANCE LISTINGS DESCRIPTIONS

(CACFP),

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Richard B. Russell National School Lunch Act, Sections 9, 11, 14, 16 and 17, as amended, 89 Stat. 522-525, 42 U.S.C. 1758, 1759a, 1762a, 1765 and 1766.,

OBJECTIVES:

To assist States, through grants-in-aid and other means, to initiate and maintain nonprofit food service programs for children and elderly or impaired adults enrolled in nonresidential day care facilities, children attending afterschool care programs in low-income areas, and children residing in emergency shelters. The United States Department of Agriculture (USDA) operates CACFP in partnership with State administering agencies to provide aid to child and adult care sponsoring organizations, centers, and day care homes for the provision of nutritious foods that contribute to the wellness, healthy growth, and development of young children, and the health and wellness of older adults and chronically impaired disabled persons. Funds are also made available to the States for expenses associated with CACFP administration.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

USDA's Food and Nutrition Service (FNS) makes funds available to States for disbursement to eligible institutions to reimburse their costs in providing meals and snacks to children and adult participants enrolled in nonresidential day care, children attending afterschool care programs, and children residing in emergency shelters. Disbursement is made on the basis of the number of breakfasts, lunches, suppers, and snacks served, using annually adjusted reimbursement rates specified by law. CACFP allows reimbursement for up to two meals and one snack served each day to children through age 12, children of migrant workers through age 15, and persons with disabilities, in child care centers and day care homes. Adult day care centers receive reimbursement for up to two meals and one snack served each day to enrolled adults who are functionally impaired or age 60 and older. CACFP also provides reimbursement to emergency shelters for up to three meals served each day to children and youth through age 18. In at-risk afterschool care programs (including those operated by emergency shelters), reimbursement is available for one snack and one additional meal served each day, during the regular school year, to children and youth through age 18. During the COVID-19 public health emergency, the American Rescue Plan Act of 2021 allows emergency shelters and at-risk afterschool care programs operated by emergency shelters to claim CACFP reimbursement for meals and snacks served to young adults under age 25. All meals must meet USDA standards to be eligible for reimbursement. Funds are also paid to States for administrative expenses related to Program staffing and oversight, as well as for audit expenses associated with CACFP administration.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; The State or U.S. Territory agency applies for and signs an agreement to receive Federal funds for disbursement. The State agency enters into an agreement with each institution that has been approved for participation. The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements. Institutions must agree to operate a nonprofit food service that is available to all eligible children and adult participants regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Approved institutions providing nonresidential day care services may participate in CACFP. Eligible public and nonprofit private organizations may include day care centers, outside-school-hours care centers, family day care homes, and Head Start programs. Private for-profit centers may also participate if at least 25 percent of the children in care (enrolled or licensed capacity, whichever is less) are eligible for free or reduced price school meals or receive benefits under Title XX of the Social Security Act. Also eligible for

participation are nonprofit centers which provide nonresidential adult day care, and private for-profit centers if the center receives compensation under Title XIX of the Social Security Act or Title XX, and at least 25 percent of the adults enrolled in the center receive benefits under Title XIX, Title XX, or a combination of both.

Emergency shelters which provide shelter and meals to children experiencing homelessness and at-risk after school care programs in low-income areas are also eligible. Any eligible institution may participate in CACFP upon request with State agency approval.

Credentials/Documentation:

Applicants that are not public or proprietary institutions must furnish evidence of tax-exempt status under the Internal Revenue Code of 1986. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A child or adult care institution submits a written application to the State agency for participation in CACFP.

Award Procedure:

Each State agency enters into a written agreement with FNS for the administration of the Program. An approved child or adult care institution signs a permanent written agreement with the State agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. The State agency must make a decision within 30 calendar days of receiving a complete application from the institution.

Appeals:

Other. The administering agency must provide a hearing procedure for local institution grievances.

Renewals:

Other. The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for the period covered by the agreement. Method of awarding/releasing assistance: letter

Reports:

Institutions file monthly reports on Program operations to claim reimbursement for meals served. They must submit final meal claims no later than 60 days after the claiming month. States must then submit final Program reports to FNS no later than 90 days after the claiming month.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133. For-profit institutions are subject to audit by their administering State agencies.

Records:

Institutions must maintain full and accurate records of Program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of all audit issues.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

12-3539-0-1-605.

Obligations:

Formula Grants FY 20 (\$459,261,296.00); FY 21 est \$163,048,704.00; FY 22 est \$19,637,704.00; Formula Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00; Formula Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: FNS anticipates approximately 1.756 billion meals to be served to children and adult participants in child care centers, family day care homes, at-risk after school care programs, and adult care centers in FY 2021. Fiscal Year 2022: The current estimate projects 2.158 billion meals to be served to children and adult participants in child care centers, family day care homes, at-risk afterschool care programs, and adult care centers in FY 2022. This represents a 23 percent increase above the FY 2021 estimate of 1.756 billion meals. New standards will be fully implemented, which will further improve the nutritional quality of the meals served.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Part 226.

Regional or Local Office:

See Regional Assistance Locations. See the FNS Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Child Nutrition Division Cynthia Long,
Food and Nutrition Service,
Alexandria VA 22314
Email: Cindy.Long@usda.gov
Phone: 7033052590
Fax:

Website Address:

<http://fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.559 Summer Food Service Program for Children; 10.555 National School Lunch Program; 93.600 Head Start; 10.556 Special Milk Program for Children;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.559 Summer Food Service Program for Children

(SFSP),

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Richard B. Russell National School Lunch Act, Sections 9, 13, 14, and 26, as amended, 42 U.S.C. 1758, 1761, 1762a, and 1769g.

OBJECTIVES:

To assist States, through grants-in-aid and other means, to conduct nonprofit food service programs for children. The United States Department of Agriculture (USDA) operates SFSP in partnership with State agencies and local organizations to provide free meals to eligible children during the summer months and at other approved times, when school is not in session.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

USDA's Food and Nutrition Service (FNS) makes funds available to States for disbursement to eligible service institutions (sponsors) which provide free meals to children in areas where at least 50 percent of the children meet the income eligibility criteria for free and reduced price meals. Meals may be served to children 18 and younger, and to individuals over 18 who participate in State-approved school programs for persons with disabilities. SFSP generally operates during the months of May through September at site locations where regularly scheduled food services are provided for children. Sites may also participate in SFSP from September through May if an area school is closed because of an emergency situation. Sponsors operating food programs for children on school vacation under a continuous year-round calendar may apply for participation in other months. Reimbursement may be paid for one meal and one snack or two meals to each child each day. Camps and sites primarily serving children of migrant workers may be approved to serve up to three reimbursable meals to each child each day. Meals must meet USDA standards to be eligible for reimbursement. Funds are also paid to participating State agencies for administrative expenses related to program staffing, operation, and oversight.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The State or U.S. Territory agency applies for and signs an agreement to receive Federal funds for disbursement. The State agency enters into an agreement with each sponsor that has been approved for participation. The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements. Sponsors must agree to operate a nonprofit food service that is available to all eligible children regardless of race, sex, color, national origin, age, or disability.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; A service institution that conducts a regularly scheduled program for children from areas in which poor economic conditions exist is eligible to participate as a sponsor in this program. Sponsors include public or private nonprofit school food authorities; public or private nonprofit colleges or universities operating the National Youth Sports Program during the months of May to September; units of local, municipal, county, or State governments; and other faith or community-based private nonprofit organizations. Public or private nonprofit residential or nonresidential summer camps may also participate. However, at camps, reimbursement will only be paid for meals served to enrolled children who are individually determined to be eligible for free and reduced price school meals under USDA Income Eligibility Guidelines.

Credentials/Documentation:

Applicants that are not public institutions must furnish evidence of tax-exempt status under section 501(a) of the Internal Revenue Code of 1986. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant submits a written application to the State agency for participation in SFSP as a sponsor.

Award Procedure:

Each State agency enters into a written agreement with FNS for the administration of the Program. An approved sponsor enters into a permanent written operating agreement with the State agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. The State agency must make a decision within 30 calendar days of receiving a complete and correct sponsor application. If an incorrect application is received, the State agency must notify the applicant within 15 days and provide technical assistance to complete the application.

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Appeals:

Other. The State agency must have a hearing procedure for local institution grievances.

Renewals:

Other. The agreement is permanent and may be amended as necessary to ensure compliance with all Federal requirements.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for the period covered by the agreement. Method of awarding/releasing assistance: letter

Reports:

Sponsors file monthly reports on program operations to claim reimbursement for meals served. States must then submit final program reports to FNS no later than 90 days after the claiming month.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Sponsors must maintain full and accurate records of Program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of all audit issues.

Account Identification:

12-3539-0-1-605.

Obligations:

Formula Grants FY 20 \$526,385,000.00; FY 21 est \$551,944,000.00; FY 22 est \$581,074,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: FNS anticipates approximately 1.585 billion meals to be served to children, as about half of the meals normally served and claimed under the National School Lunch and School Breakfast Programs are being served and claimed under the Summer Food Service Program during FY 2021. Budget figures above represent historically normal operations and do not reflect the short-term impacts of the pandemic. Fiscal Year 2022: The current estimate projects 158 million meals. This represents a decrease of 89 percent below the estimate for FY 2021, but an increase above the pre-pandemic level of service of 142 million meals in FY 2019.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Part 225.

Regional or Local Office:

See Regional Assistance Locations. See the FNS Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Child Nutrition Division Cynthia Long,

Food and Nutrition Service,

Alexandria VA 22314

Email: Cindy.Long@usda.gov

Phone: 7033052590

Fax:

Website Address:

<http://fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.558 Child and Adult Care Food Program; 10.556 Special Milk Program for Children;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.560 State Administrative Expenses for Child Nutrition

State Administrative Expense (SAE) Funds,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Public Law 095-627, 42 USC Code 1776.

OBJECTIVES:

To provide each State agency with funds for its administrative expenses in supervising and giving technical assistance to local schools, school districts and institutions in their conduct of Child Nutrition Programs. State agencies that administer the distribution of USDA Foods to schools and child or adult care institutions are also provided with State Administrative Expense (SAE) funds.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

SAE funds must be used for purposes specified in the legislation, consistent with the cost principles and constraints on allowable and unallowable costs, and indirect cost rates as prescribed in government wide guidance at 2 CFR Part 200. These funds may be used, under certain conditions, for the procurement of supplies, equipment, and services.

Applicant Eligibility:

State; U.S. Territories and possessions; State agencies responsible for the administration of the Child Nutrition Programs and agencies responsible for the distribution of USDA Foods to schools and child or adult care institutions, including agencies in the U.S. Territories, may apply.

Beneficiary Eligibility:

State; U.S. Territories;

Credentials/Documentation:

Costs are to be determined and documented in accordance with agency regulations (7 CFR 235). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each State agency submits to their Food and Nutrition Service (FNS) Regional Office an initial state administrative expense (SAE) plan for disbursement of funds. A State agency may amend its plan at any time to reflect changes in funding or activities. Substantive changes require FNS approval.

Award Procedure:

Release of funds to a State agency is contingent upon approval of the State agency's initial SAE plan. Upon approval of the plan, the State agency and FNS enter into a written agreement. FNS will determine the amount of SAE funds available for payment to the State agency, specify the terms and conditions of the State agency's annual grant, and make funds available for payment via a letter of

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credit.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Annually.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter II, Part 235, Subpart 11(a), Public Law . Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: Expenditures of funds from State sources in any fiscal year for the administration of the National School Lunch Program, School Breakfast Program, Special Milk Program, Child and Adult Care Food Program shall not be less than that expended or obligated in fiscal year 1977. Failure of a State to maintain this level of funding will result in the total withdrawal of SAE funds..

Length and Time Phasing of Assistance:

Funding is provided each fiscal year during the period covered by the agreement. A State agency may carryover up to twenty percent of SAE funds allocated to it for obligation and expenditure during the succeeding fiscal year. Method of awarding/releasing assistance: letter

Reports:

Each State agency submits quarterly financial status information on SAE funds on forms provided by FNS and will continue to report information on the use of SAE funds, after the end of the fiscal year to which they pertain, until all unpaid obligations have been liquidated.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 7 CFR Part 235.8 - Each State agency shall provide FNS with full opportunity to conduct management evaluations of all operations of the State agency and shall provide OIG with full opportunity to conduct audits of all such operations. Each State agency shall make available its records, including records of the receipt and expenditure of funds, upon a reasonable request by FNS, OIG, or the U.S. Comptroller General.

Records:

Each State agency keeps records on administrative expenses conforming with the applicable SAE plan and maintains current accounting records of SAE funds that identify fund authorizations, obligations, unobligated balances, assets, liabilities, outlays, and income.

Account Identification:

12-3539-0-1-605.

Obligations:

Formula Grants FY 20 \$307,993,284.00; FY 21 est \$315,974,924.00; FY 22 est \$325,000,000.00;

Range and Average of Financial Assistance:

FY2021: Range is \$378,253 - \$38,196,020 Average is \$8,093,643 (50 States, DC, PR, GU, VI) FY2020: Range is \$380,329 \$36,970,743 Average is \$5,703,579 (50 States, DC, PR, GU, VI) FY2019: Range is \$375,411 - \$35,853,867 Average is \$5,542,171 (50 States, DC, PR, GU, VI)

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 7 CFR Part 235.

Regional or Local Office:

None. See Appendix IV of the Catalog.

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long,
Food and Nutrition Service, 3101 Park Center Drive Room 628,
Alexandria VA 22302

Email: Cindy.Long@fns.usda.gov

Phone: 703-305-2590

Fax:

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.559 Summer Food Service Program for Children; 10.555 National School Lunch Program; 10.558 Child and Adult Care Food Program; 10.556 Special Milk Program for Children;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program

Supplemental Nutrition Assistance Program (State Administrative Match),

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Food and Nutrition Act of 2008, as amended, Section 16, Public Law 95-113, 91 Stat. 958, 7 U.S.C. 2025; Public Law 99-198, Public Law 105-33, Public Law 105-185, Public Law 110-246, American Recovery and Reinvestment Act of 2009, Public Law 111-5, Healthy Hunger Free Kids Act, Public Law 111-296, American Taxpayer Relief Act, Public Law 112-240; Agricultural Act of 2014, Title Agriculture Improvement Act of 2018, Public Law 116-260, Public Law 117-7

OBJECTIVES:

SNAP State Administrative Expenses: To provide Federal financial participation to State agencies for costs incurred to operate the Supplemental Nutrition Assistance Program (SNAP). SNAP Employment and Training (E&T) 100 Percent Federal Funds and Reimbursement for 50 percent of allowable expenses: Funds provide grants to States to provide E&T services to assist SNAP participants in moving towards a better life. An E&T program may consist of many different types of components, including but not limited to: independent job search; job search training and support; workfare; educational programs to improve employability; work experience or training to improve employability; other employment oriented activities (e.g., job placement, supported work experience, Workforce Investment Act (WIA) services); and selfemployment training. USDA provide States with 100 percent Federal funding for E&T based on a specific formula. USDA also reimburses States for 50 percent of certain allowable, reasonable and necessary E&T expenses that exceed their 100 percent grant. USDA also provides 50 percent reimbursement for transportation and childcare costs to ensure successful participation in E&T programs. States are not allowed to use 100 grants for participant expenses. The Nutrition Education and Obesity Prevention Grants (SNAP-Ed) are 100 percent Federal funding for States based on a specified formula for nutrition education for low income people.

TYPES OF ASSISTANCE:

Formula Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

SNAP State Administrative Expenses: To provide Federal funding for administrative costs incurred by State and local agencies to operate SNAP. Unless authorized by Federal legislation, outlays charged to other Federal grants or to Federal contracts may not be considered as State agency costs. Submission of claims for payments of administrative costs shall be in accordance with 7 CFR 277 of the SNAP Regulations. SNAP Employment and Training: To provide Federal funding to States to help SNAP recipients find work or gain the skills, training and experience that lead to employment. SNAP-Ed Education: The Nutrition Education

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and Obesity Prevention Grants (SNAP-ED) are 100 percent Federal funding for States based on a specified formula for nutrition education for low income people. Offered by States to increase the likelihood that persons eligible for SNAP will make healthy food choices within a limited budget and choose physically active lifestyles consistent with the current Dietary Guidelines for Americans and USDA food guidance (MyPlate).

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; SNAP SAE, E&T, and SNAP Ed: Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.)

Beneficiary Eligibility:

U.S. Territories; State; Child (6-15); SNAP SAE, E&T, and SNAP Ed: Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.)

Credentials/Documentation:

No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR part 3016, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SNAP SAE; E&T, and SNAP Ed: State governments may apply for funding in accordance with requirements outlined in 7 CFR Parts, 271 and 277.

Award Procedure:

Food and Nutrition Service funds State Agency cooperators. No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 30 days.

Appeals:

Not Applicable.

Renewals:

Other. Annually, as of October 1.

Formula and Matching Requirements:

Statutory Formula: Title Food and Nutrition Act (FNA) of 2008, 2002 and 2008 Farm Bills for SNAP Employment and Training (E&T), Healthy, Hunger-Free Kids Act of 2010, Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annually by fiscal year. Method of awarding/releasing assistance: letter

Reports:

Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Financial Management Reviews, Management Evaluations, and Quality Control Reviews

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all records pertinent to a grant program shall be retained for a period of 3 years. Additionally, those record retention requirements outlined in 7 CFR Part 3016, apply to this program.

Account Identification:

12-3505-0-1-605.

Obligations:

Salaries and Expenses FY 20 \$670,683,704.00; FY 21 est \$1,018,459,704.00; FY 22 Not Available; Salaries and Expenses FY 20 \$489,794,000.00; FY 21 est \$501,888,000.00; FY 22 Not Available; Salaries and Expenses FY 20 \$441,000,000.00; FY 21 est \$448,000,000.00; FY 22 Not Available; Salaries and Expenses FY 20 \$123,900,000.00; FY 21 est \$123,900,000.00; FY 22 est \$123,900,000.00; Salaries and Expenses FY 20 \$0.00; FY 21 est \$100,000,000.00; and FY 22 est \$0.00; Salaries and Expenses FY 20 \$0.00; FY 21 est \$245,000,000.00; and FY 22 est \$445,000,000.00;

Range and Average of Financial Assistance:

Unavailable

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 275, 276, 277 and 3016.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator Jessica Shahin,
Supplemental Nutrition Assistance Program, 1320 Braddock Place,
Alexandria VA 22314
Email: Jessica.Shahin@usda.gov
Phone: (703) 305-2026.

Website Address:

<https://www.fns.usda.gov/snap/supplemental-nutrition-assistance-program>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

10.565 Commodity Supplemental Food Program

CSFP,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Sec. 5, Pub. L. 93-86, 87 Stat. 249, as added by Sec. 1304(b)(2), Pub. L. 95-113, 91 Stat. 980 (7 U.S.C. 612c note); Sec. 1335, Pub. L. 97-98, 95 Stat. 1293 (7 U.S.C. 612c note); Sec. 209, Pub. L. 98-8, 97 Stat. 35 (7 U.S.C. 612c note); Sec. 2(8), Pub. L. 98-92, 97 Stat. 611 (7 U.S.C. 612c note); Sec. 1562, Pub. L. 99-198, 99 Stat. 1590 (7 U.S.C. 612c note); Sec. 101(k), Pub. L. 100-202; Sec. 1771(a), Pub. L. 101-624, 101 Stat. 3806 (7 U.S.C. 612c note); Sec 402(a), Pub. L. 104-127, 110 Stat. 1028 (7 U.S.C. 612c note); Sec. 4201, Pub. L. 107-171, 116 Stat. 134 (7 U.S.C. 7901 note); Sec. 4221, Pub. L. 110-246, 122 Stat. 1886 (7 U.S.C. 612c note); Sec. 4221, Pub. L. 113-79, 7 U.S.C. 612c note),.

OBJECTIVES:

To improve the health of low-income elderly persons at least 60 years of age by supplementing their diets with nutritious USDA Foods, which are distributed through public and non-profit private local agencies such as food banks and community action organizations.

TYPES OF ASSISTANCE:

Formula Grants, Salaries and Expenses, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

USDA Foods and administrative grants are made to State agencies and Indian Tribal Organizations (ITOs) recognized by the Department of the Interior or the appropriate area office of the Indian Health Service of the Department of Health and Human Services. These agencies distribute USDA Foods and funds to participating local public or private nonprofit agencies. Federal funding for administrative costs may only be used in making USDA Foods and nutrition education available to eligible beneficiaries.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Agreements are made between USDA and the State agency or an ITO recognized by the Department of the Interior or the appropriate area office of the Indian Health Service of the Department of Health and Human Services.

Beneficiary Eligibility:

Individual/Family; Senior Citizen (60+); Low Income; To be certified as eligible to receive USDA Foods through the program, individuals must be at least 60 years of age. States also establish income guidelines to determine program eligibility for all participants. CSFP income guidelines must be set at or below 130 percent of Federal poverty income guidelines. States may require that participants be at nutritional risk, as determined by a physician or local agency staff. States may also require that an individual reside within the service area of the local agency at the time of application for program benefits. However, States may not require that the individual reside within the area for any fixed period of time.

Credentials/Documentation:

Participants are provided USDA Foods by local agency personnel or their designees. Costs are determined in accordance with 2 CFR 200, Subpart E Cost Principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The State agency or ITO must require each agency that desires approval as a local agency to submit an application that contains sufficient information to enable the State agency to make a determination of eligibility for that agency, and which meets the requirements of CSFP regulations, at 7 CFR 247.7. This section requires local agencies to have tax-exempt status, or to have applied for such status. Individual participants may apply for program benefits at an approved local agency.

Award Procedure:

USDA Foods and administrative funds are awarded by the Department to State agencies in accordance with the priorities and funding formulas set forth in program regulations and legislation. State agencies, in turn, distribute USDA Foods and administrative funds to the local level.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. A determination will be made within 30 days of submission of a completed plan.

Appeals:

Other. Local agency appeals: The State agency provides a hearing appeal procedure for a local agency adversely affected by a State agency action, if the action would adversely affect participation. Individual participant appeals: The State agency provides a hearing appeal procedure for any individual who receives an adverse action which may include the denial or discontinuance of program benefits, disqualification from the program, or a claim to repay the value of USDA Foods received as the result of fraud.

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

USDA Foods are made available on an ongoing basis. Administrative funds are provided to States annually, each fiscal year. Method of awarding/releasing assistance: Lump sum.

Reports:

FNS-191 Racial/Ethnic Group Participation. Local agencies must submit a report of racial/ethnic participation each year. FNS-153, Monthly Report of the Commodity Supplemental Food Program and Quarterly Administrative Financial Status Report. The State agency must submit the FNS153 on a monthly basis. In the report, State agencies must report the number of program participants by population category, the receipt and distribution of USDA Foods, including inventory and other data. State agencies must also report, on a quarterly basis, information on administrative funds expenditures, as well as other data. SF-425 - Federal Financial Report. State agencies must submit the SF-425 to report the financial status of the program at the close of the fiscal year. This report must be submitted within 90 days after the end of the fiscal year. State agencies must monitor local agency performance to ensure local agencies are meeting all regulatory requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits may be conducted less frequently under conditions specified in 2 CFR 200, Subpart F Audit Requirements.

Records:

Each State agency must maintain accurate and complete records of all receipts and dispositions as detailed in 7 CFR 247.29(a). Records must be retained for 3 FYs from the end of the FY to which they pertain, or if they are related to unsolved claims, actions, audits or investigations until those activities have been resolved.

Account Identification:

12-3507-0-1-605.

Obligations:

Salaries and Expenses FY 20 \$57,683,727.00; FY 21 est \$64,782,000.00; FY 22 est \$68,752,000.00; Formula Grants FY 20 \$187,534,644.00; FY 21 est \$310,218,000.00; FY 22 est \$263,248,000.00;

Range and Average of Financial Assistance:

On average, \$1,072,310 in appropriated administrative funding was allocated to each State for FY 2021. Funding ranged from \$6,924 to \$8,711,986 per State. For

ASSISTANCE LISTINGS DESCRIPTIONS

FY 2021, States received \$82.43 in administrative funding per assigned caseload slot.

TAFS Codes:

12-3507.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: States were assigned caseload of 736,110 in calendar year 2020 and were allocated administrative funds totaling \$59,620,931 in FY 2020. Fiscal Year 2021: Fiscal Year 2021: States have an assigned caseload of 760,634 in calendar year 2021 and were allocated administrative funds totaling \$64,782,693 in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 247 is available without charge from the Department.

Regional or Local Office:

See Regional Assistance Locations. See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Branch Chief Erica Antonson,
1320 Braddock Place,
Alexandria VA 22314
Email: Erica.Antonson@fns.usda.gov
Phone: 7033052680

Website Address:

<http://www.fns.usda.gov/csfp/commodity-supplemental-food-program-csfp>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.558 Child and Adult Care Food Program; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 93.600 Head Start; 10.556 Special Milk Program for Children;

EXAMPLES OF FUNDED PROJECTS:

2021 CSFP is authorized to operate in all 50 States, the District of Columbia, Puerto Rico, and six ITOs, including Oglala Sioux in South Dakota, Red Lake in Minnesota, the Seminole Nation in Oklahoma, the Shingle Springs Band of Miwok Indians in California, the Spirit Lake Sioux Tribe in North Dakota, and the Wichita and Affiliated Tribes in Oklahoma.

CRITERIA FOR SELECTING PROPOSALS:

Not Available.

10.566 Nutrition Assistance For Puerto Rico

(NAP).

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1981, as amended, Title 1, Section 116; Public Law 97-35; Public Law 97-253; Public Law 98-204; Public Law 99-114; Public Law 99-157; Public Law 99-182; Public Law 99-198; Public Law 101-624; Public Law 103-66; Public Law 104-127; Public Law 106-387; Public Law 107-171. American Recovery and Reinvestment Act of 2009, Public Law 111-5, American Taxpayer Relief Act, Public Law 112-240,

OBJECTIVES:

An alternative to the Special Nutrition Assistance Program (SNAP) to provide access to healthy food by needy persons residing in the Commonwealth of Puerto Rico.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

Households receive benefits to purchase food. A portion is provided as cash.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); The Commonwealth of Puerto Rico alone is eligible.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Anyone/general public; Low-income individuals and households are eligible for benefits as determined by the Commonwealth.

Credentials/Documentation:

The Commonwealth is required to document the classes of households that receive benefits and the level of those benefits. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Commonwealth is required to submit an annual plan of operation to the Food and Nutrition Service for approval.

Award Procedure:

The Secretary of Agriculture designates the Commonwealth agency or agencies to operate the program upon submission of the request.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Program continues once approved unless there is a substantial failure to comply with regulations or withdrawal on the part of the Commonwealth.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for one fiscal year at a time. Assistance is provided through the electronic transfer system, consistent with Treasury Fiscal Requirement Manual, Volume I, Part 6, Section 2030. Method of awarding/releasing assistance: letter

Reports:

The content and frequency of reports is specified by the Commonwealth's plan of operation. The content and frequency of reports is specified by the Commonwealth's plan of operation. The content and frequency of reports is specified by the Commonwealth's plan of operation. Financial Management Reviews and Management Evaluations

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Commonwealth is required to conduct an audit of expenditures at least once every two years, and is subject to audit by the Office of Inspector General, USDA.

Records:

The content and maintenance of records is specified by the Commonwealth's plan of operation.

Account Identification:

12-3505-0-1-605.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Salaries and Expenses FY 20 \$1,937,647,000.00; FY 21 est \$1,971,415,000.00; FY 22 est (\$1,763,191,296.00);

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Regional Administrator, Mid-Atlantic Regional Office, Food and Nutrition Service, Department of Agriculture, Robbinsville, NJ 08691-1598. Contact: Patty Bennet. Telephone: (609) 259-5025, FTS: (609) 259-5025.

Headquarters Office:

Regional Administrator Patty Bennet,
300 Corporate Blvd,
Robbinsville NJ 08691
Email: patty.bennet@usda.gov
Phone: 609-259-5025

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Only Puerto Rico may apply

10.567 Food Distribution Program on Indian Reservations

FDPIR,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Food and Nutrition Act of 2008 (codified in the Agricultural Improvement Act of 2018, P.L. 115-334), Public Law 110-246, 7 USC Code 2011-2036. Agriculture and Consumer Protection Act of 1973, Public Law 93-86, Title Coronavirus Aid, Relief, and Economic Security Act ("CARES Act"), Part , Section , Public Law 116-116-136

OBJECTIVES:

The Food Distribution Program on Indian Reservations (FDPIR) is a nutrition assistance program that may be operated at the request of a Tribe or Indian Tribal Organization (ITO) on an Indian reservation, approved areas near a reservation, or approved service areas in Oklahoma. Income-eligible households receive a supplemental monthly food package and nutrition education. Households may not participate in FDPIR and the Supplemental Nutrition Assistance Program (SNAP) simultaneously in the same month. In FY 2021, 103 ITOs and 3 State agencies (SAs) administered the program on approximately 276 Indian reservations, pueblos, rancherias, and Alaska Native Villages. Nutrition assistance was provided to an average of 74.8 thousand persons per month (FY 2020). All participating ITOs and SAs receive administrative funding for costs incurred in the operation of the program. A portion of these administrative funds can be used for nutrition education activities. Two new ITOs were approved to administer FDPIR at the end of FY 2021 making the total number of administering agencies going into FY 2022 at 105 ITOs and 3 SAs. Additionally, Food Distribution Program Nutrition Education (FDPNE) grants are awarded competitively to participating FDPIR ITOs/SAs for culturally relevant nutrition education activities that promote healthy choices through the use of USDA Foods and physical activity among FDPIR

participants. See CFDA 10.594 FDPIR Nutrition Education Grants.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

USDA pays for and provides USDA Foods to authorized ITOs and SAs for distribution to qualifying households. In FY 2021, FNS made available to ITOs and SAs a minimum of 80% of the administrative funds necessary to operate the program and to conduct nutrition education. FDPNE grants can be used for nutrition education services to FDPIR participants and FDPIR-eligible participants. ITOs and SAs must follow national policies in certifying eligible households and issuing USDA Foods, and must comply with program and financial reporting requirements. USDA Foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval from USDA. The Federal funds provided must be used to meet allowable expenses incurred in operating FDPIR.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The administration of FDPIR is limited to ITOs or to SAs that assume administration on behalf of/at the request of a Tribe(s).

Beneficiary Eligibility:

Individual/Family; Low Income; FDPIR eligibility is limited to income-eligible households residing on participating reservations or income-eligible Indian Tribal Households (see definition at 7 CFR 253.2) residing in approved areas near a reservation or in approved service areas in Oklahoma. ITOs/SAs are responsible for certifying households based on national eligibility criteria. The income standards vary by household size and are based on the applicable SNAP net monthly income eligibility standards for the appropriate area, increased by the amount of the applicable SNAP standard deduction for that area.

Credentials/Documentation:

Applicant ITO/SA administrators must submit Form SF-424 (Application for Federal Assistance) and a Plan of Operation. ITOs and SAs must comply with federal financial management policies and regulations. Applicant households must submit a completed application form and provide documentation in accordance with federal regulations at 7 CFR 253.6. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ITOs and SAs that want to administer FDPIR must submit Form SF-424 (Application for Federal Assistance) to the appropriate FNS Regional Office, as well as a Plan of Operation. The standard application forms as furnished by the Federal agency as implemented by 2 CFR Part 200 must be used for this program.

Award Procedure:

Administrative funding is provided annually by FNS to ITOs and SAs for use in administering the program. Program benefits for eligible households are provided in the form of a monthly food package, selected by the household in accordance with Federal guide rates that are based on household size. ITOs and SAs order from a list of over 100 available foods. The foods are delivered to the ITOs/SAs on a predetermined schedule set by the ITO/SDA and stored locally.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. ITOs/SAs who wish to begin administering the program can submit an application at any time. FNS must determine within 30 days of the receipt of a completed application if an ITO/SA is capable of administering the program. ITOs and SAs must make foods available to eligible households within 7 days of receipt of the completed application form (1 day for households in need of expedited

ASSISTANCE LISTINGS DESCRIPTIONS

services).

Appeals:

Not Applicable.

Renewals:

Not Applicable. Approval to administer the program continues unless a withdrawal is requested or required because of program irregularities. Administrative funding is provided on an annual basis; a new Form SF-424 must be submitted each year. Households are assigned certification periods of varying lengths based on household circumstances. Household certification periods may not exceed one year, except elderly or disabled households may be certified for up to 24 months if household circumstances are stable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter II, Part 253.11, Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Administrative funding for ITOs/SAs is provided each new federal fiscal year and has a period of availability at the ITO/SA level across two federal fiscal years before expiring. Food donations for household distribution are continuous. Method of awarding/releasing assistance: letter

Reports:

Form FNS-152, Monthly Distribution of Donated Foods to Family Units, captures, on a monthly basis, the number of households certified and number of households/individuals participating. It also captures food inventory (i.e., receipts, distributions, and remaining inventory at end of month). Form FNS-101, Participation in Food Program-by Race, captures racial ethnic data for the month of July each year and is due by September 15 of each year. Form SF-425, Federal Financial Report, captures, on a quarterly basis, Federal funding authorized, obligated, and obligated but not liquidated. Form SF-425 also captures non-federal funds used for the program. ITOs and SAs are required to conduct annual reviews of program operations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Each ITO and SA must maintain accurate and complete program records and reports, including household case files, for 3 years following the close of the fiscal year or until any outstanding audit, claim or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

Direct Payments for Specified Use FY 20 \$55,255,000.00; FY 21 est \$57,174,000.00; FY 22 est \$62,669,000.00; Project Grants FY 20 \$104,976,000.00; FY 21 est \$104,976,000.00; FY 22 est \$63,331,000.00; Direct Payments for Specified Use FY 20 \$50,000,000.00; FY 21 Not Available; FY 22 Estimate Not Available; Project Grants FY 20 \$50,000,000.00; FY 21 Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

In FY 2020, the monthly per person food package was approximately \$89.48. Federal administrative funding to the ITOs and SAs varies based on number of persons served in each program and the unique operational needs of each program.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, ITOs and SAs that administer FDPIR were awarded additional administrative funds, under the CARES Act (P.L. 116-136), to support

facility improvements and equipment upgrades at their FDPIR local sites to prevent, prepare for, and respond to coronavirus. Fiscal Year 2021: In FY 2021, FNS continued to award and/or modify grants awarded to ITOs and SAs that administer FDPIR under the CARES Act (P.L. 116-136), to support facility improvements and equipment upgrades at their FDPIR local sites to prevent, prepare for, and respond to coronavirus. In addition, in late FY 2021, FNS approved two new ITOs to administer FDPIR. The two new ITOs received administrative grants to renovate, purchase equipment, and provide salaries to new staff that will be managing and operating the program. Food distribution is expected to begin in FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 253; 7 CFR Part 254; 7 CFR Part 250; FNS Handbook 501

Regional or Local Office:

See Regional Assistance Locations. See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog. The following Food and Nutrition Service Regional Offices have FDPIR programs within their regional area: Mountain Plains, Midwest, Southwest, Southeast, Northeast, and Western.

Headquarters Office:

Erica Antonson,
Food and Nutrition Service Food Distribution Division 1320 Braddock Place - 3rd Floor,
Alexandria VA 22314
Email: Erica.Antonson@usda.gov
Phone: 703-305-2680

Website Address:

<http://www.fns.usda.gov/fdpir/food-distribution-program-indian-reservations-fdpir>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.594 Food Distribution Program on Indian Reservations Nutrition Education Grants ; 10.529 Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project;

EXAMPLES OF FUNDED PROJECTS:

2020 Contact the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog for participating ITOs/SAs.

CRITERIA FOR SELECTING PROPOSALS:

FDPIR eligibility is limited to income-eligible households residing on participating reservations or income-eligible Indian Tribal Households (see definition at 7 CFR 253.2) residing in approved areas near a reservation or in approved service areas in Oklahoma. The ITOs/SAs are responsible for certifying households based on national eligibility criteria. The income standards vary by household size and are based on applicable SNAP net monthly income eligibility standards for the appropriate area, increased by the amount of the applicable SNAP standard deduction for that area. Households may not participate in FDPIR and the SNAP simultaneously in the same month.

10.568 Emergency Food Assistance Program (Administrative Costs)

TEFAP,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Federal Supplemental Compensation Act of 1982 Amendments, Section 2, as amended, Public Law 98-92, 97 Stat. 608; Food Security Act of 1985, as amended, Section 1569, Public Law 99-198, 99 Stat. 1354; Public Law 100-77, 101 Stat. 536; Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882, , Emergency Food Assistance Act of 1983, Sections 204 and 209, , Statue 97-35, Public Law 98-8, 7 USC Code 7508. Agriculture Improvement Act of 2018, Title , Section 4018(b), Public Law 115-334, Families First Coronavirus Response Act, Title I, Part , , Public Law 116-127, Coronavirus Aid, Relief, and Economic Security Act, Title I,

ASSISTANCE LISTINGS DESCRIPTIONS

Part , , Public Law 116-136, Consolidated Appropriations Act, 2021, Title VII, , Public Law 116-260, American Rescue Plan Act, , Public Law 117-2

OBJECTIVES:

To help supplement the diets of low-income persons by making funds available to States for storage and distribution costs incurred by The Emergency Food Assistance Program (TEFAP) State agencies and local organizations, such as soup kitchens, food banks, and food pantries, including faith-based organizations, in providing food assistance to needy persons.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Administrative funds are provided to State agencies to cover administrative costs incurred at the State and local level in the operation of the program. Funds may only be used for approved administrative expenses, and the State agency is required to pass through at least 40 percent of the funds to emergency feeding organizations or expend such funds on their behalf. In addition, the States must match, either in cash or in-kind, the amount of administrative funds not passed through to emergency feeding organizations. States may choose sites that distribute to low-income households and/or congregate sites that provide prepared meals to the needy. Allowable costs include nutrition education, warehousing, food delivery, participant certification, and other administrative costs.

Applicant Eligibility:

Other public institution/organization; Non-Government - General; State; State agencies that are designated as distributing agencies by the Governor or other appropriate State executive authority may receive these administrative funds to support the distribution of USDA Foods to low-income persons.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; Public or private non-profit organizations, such as food banks, food pantries, and soup kitchens, which provide food assistance to low-income persons.

Credentials/Documentation:

State agencies and local organizations are required to document their use of the administrative funds, and only those administrative costs permitted under 2 CFR Part 200 for State and local governments will be allowed. Local agencies may be required to document their non-profit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

TEFAP administrative funds provided through regular appropriations and supplemental appropriations are allocated among TEFAP State agencies on the basis of a legislatively-mandated formula that takes into account each State's relative share of the National number of persons with income below the poverty level (60 percent) and of unemployed persons (40 percent). At a minimum, States must make at least 40 percent of the administrative grant available to emergency feeding organizations, or expend such funds on their behalf. Farm to Food Bank Project funds are allocated among TEFAP State agencies who opt in by submitting a project plan through a State Plan amendment. These funds are allocated to those States according to the same formula as regularly appropriated funds but are not subject to a 40 percent pass through requirement. TEFAP State agencies were eligible to apply non-competitively for Food Purchase and Distribution Program (FPDP) administrative funds for State FPDP costs. State agencies will be eligible

to apply for Reach and Resiliency grants in FY 2022.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Federal/State agreements are ongoing and amended as necessary. Local organizations receiving administrative funds must have an agreement with the State agency or with another local organization participating in the program. Such agreements are ongoing and amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture, Chapter 7, Part 251.3, Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. States are required to pass through to emergency feeding organizations at least 40 percent of the administrative funds allocated to the State. States are required to match, either as cash or in-kind contribution, 100 percent of the administrative funds not passed through to emergency feeding organizations. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are provided through the electronic transfer system as they are needed for actual expenditures on a fiscal year basis. Any funds which are made available through the initial allocation or a subsequent reallocation that are not used are turned back to the Federal government, and reallocated according to the legislatively mandated formula. Method of awarding/releasing assistance: letter

Reports:

Quarterly and annual financial reports are made on FNS-667 for regularly appropriated and supplemental TEFAP administrative funds. Semiannual and annual financial reports are made on SF-425 for TEFAP Farm to Food Bank funds. Quarterly and annual financial reports were made on SF-425 for Food Purchase and Distribution Program administrative funds. Reporting requirements for FY 2022 Reach and Resiliency grants are being finalized.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3507-0-1-605.

Obligations:

Formula Grants FY 20 \$364,307,559.00; FY 21 est \$211,403,788.00; FY 22 est \$242,544,000.00;

Range and Average of Financial Assistance:

In Fiscal Year 2020, the range for assistance to State agencies was from \$49,607 to \$48,158,972, after conversions and recoveries; the average amount of assistance was \$6,487,119.

TAFS Codes:

12-3507.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on USDA Foods

ASSISTANCE LISTINGS DESCRIPTIONS

handling.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Branch Chief Erica Antonson,
1320 Braddock Place,
Alexandria VA 22314
Email: Erica.Antonson@fns.usda.gov
Phone: 7033052680

Website Address:

<http://www.fns.usda.gov/tefap/emergency-food-assistance-program>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program; 10.569 Emergency Food Assistance Program (Food Commodities); 10.178 Trade Mitigation Program Eligible Recipient Agency Operational Funds;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not available.

10.569 Emergency Food Assistance Program (Food Commodities)

TEFAP, USDA Foods, Commodities,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Emergency Food Assistance Act of 1983, , Statue 97-35, Public Law 98-8, 7 USC Code 7501. Hunger Prevention Act of 1988, Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5., , Families First Coronavirus Response Act, Title I, , Public Law 116-127, Coronavirus Aid, Relief, and Economic Security Act, Title I, , Public Law 116-136, Consolidated Appropriations Act, 2021, Title VII, , Public Law 116-260

OBJECTIVES:

To help supplement the diets of low-income persons by making USDA Foods available to the State agencies that are responsible for the distribution of USDA Foods within the States.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

USDA Foods can only be used to provide food assistance to needy persons. USDA Foods may be provided for household consumption or for congregate feeding.

Applicant Eligibility:

State; State agencies that are designated as distributing agencies by the Governor or other appropriate State executive authority may receive and distribute USDA Foods. States can distribute these foods to eligible recipient agencies, such as food banks, food pantries, soup kitchens, and other eligible agencies, including faith-based organizations.

Beneficiary Eligibility:

Individual/Family; Unemployed; Welfare Recipient; Low Income; Low-income and needy individuals, including persons that are homeless, unemployed, underemployed, or receiving public assistance. The State agency must establish income-based eligibility criteria to ensure USDA Foods are provided to the needy. Persons interested in receiving TEFAP should contact their State agency (<http://www.fns.usda.gov/fdd/contacts/SdaContacts.htm>) for more information on how to access the program.

Credentials/Documentation:

Low-income individuals receiving USDA Foods for home consumption must establish eligibility to participate in the program on the basis of State eligibility standards. All individuals seeking food assistance at sites which serve prepared meals are presumed to be eligible to receive meals. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All States are eligible to participate; the State does not need to complete an application.

Award Procedure:

USDA Foods are allocated among the States on the basis of a legislatively-mandated formula that takes into account each State's relative share of the national number of persons with income below the poverty level (60 percent) and of unemployed persons (40 percent).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Federal/State agreements are ongoing and amended as necessary. Individuals receiving food for home consumption are recertified periodically. Persons receiving USDA Foods in the form of meals from sites which serve prepared meals are presumed to be eligible.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture, Chapter 7, Part 251, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

USDA Foods are made available on an ongoing basis. Method of awarding/releasing assistance: letter

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

Formula Grants FY 20 \$897,648,604.00; FY 21 est \$604,825,751.00; FY 22 est \$794,750,000.00;

Range and Average of Financial Assistance:

In Fiscal Year 2020, the range of awards was from \$666,410 to \$105,146,338, after conversions and carryover; the average award was \$16,320,884.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on USDA Foods handling.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Branch Chief Erica Antonson,
1320 Braddock Place,
Alexandria VA 22314
Email: Erica.Antonson@fns.usda.gov
Phone: 7033052680

Website Address:

<http://www.fns.usda.gov/tefap/emergency-food-assistance-program-tefap>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.568 Emergency Food Assistance Program (Administrative Costs); 10.178 Trade Mitigation Program Eligible Recipient Agency Operational Funds;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.572 WIC Farmers' Market Nutrition Program (FMNP)

WIC Farmers' Market Nutrition Program (FMNP).

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Child Nutrition Act of 1966 (CNA), as amended, Section 17(m)(1), 42 U.S.C. 1786.

OBJECTIVES:

The purposes of the WIC Farmers Market Nutrition Program (FMNP) are: (1) To provide fresh, nutritious, unprepared, locally grown fruits, vegetables, and herbs from farmers, farmers markets, and roadside stands to women, infants, and children who participate in the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC); and (2) to expand the awareness, use, and sales at farmers' markets and roadside stands.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

The FMNP is administered through a federal/State partnership in which the Food and Nutrition Service (FNS) awards cash grants to State agencies, including U.S. Territories and ITOs, to provide low-income women, infants, and children with checks or coupons that can be exchanged for eligible foods at farmers markets and roadside stands. As a prerequisite to receiving federal funds for the FMNP, each applying or participating State agency must submit a State Plan of Operations describing how the State agency intends to administer all aspects of the FMNP within its jurisdiction. The majority of grant funds must be used for food benefits. State agencies may use up to 17 percent of their grants for program administrative costs.

Applicant Eligibility:

State; Each State agency desiring to administer the FMNP shall annually submit a State Plan of Operations and enter into a written agreement with FNS for administration of the Program in the jurisdiction of the State agency. New State agencies are selected based on the availability of funds, after base grants for currently participating State agencies. Local FMNP agencies are selected by participating State agencies based on concentration of eligible WIC participants and access to farmers' markets.

Beneficiary Eligibility:

Women; Infant (0-5); Each State agency desiring to administer the FMNP shall annually submit a State Plan of Operations and enter into a written agreement with FNS for the administration of the Program in the jurisdiction of the State agency. New State agencies are selected based on the availability of funds, after base grants for currently participating State agencies. Participating state agencies select local FMNP agencies based on the concentration of eligible WIC participants and access to farmers' markets.

Credentials/Documentation:

A signed Federal/State Agreement (FNS-339) is necessary before funds can be allocated to a participating FMNP State agency. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Application is made through submission of a State Plan of Operations as required by law.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The State agency is responsible for the fiscal management of and accountability for FMNP-related activities for farmers, farmers markets, and roadside stands. Each State agency may decide whether to authorize farmers individually, farmers markets, roadside stands, or all of the above. All contracts or agreements entered into by the State agency for the management or operation of farmers, farmers markets, and roadside stands shall conform with the requirements of 2 CFR part 200, subpart D and Appendix II, Contract Provisions for Non-Federal Entity Contracts Under Federal Awards and USDA implementing regulations 2 CFR part 400 and 415. Only farmers, farmers markets, and roadside stands authorized by the State agency may redeem FMNP coupons. Only farmers authorized by the State agency or that have a valid agreement with an authorized farmers market may redeem coupons. The State agency contacts the farmer/farmers market to inform them of qualification. Individual participants apply for FMNP benefits at approved local WIC agencies.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies. If the available funds are insufficient to meet the base grant levels for current FMNP grantees, a pro-rata reduction is applied to grant levels awarded above \$75,000 for all participating FMNP State agencies. If additional funds become available for the FMNP, such funds would first be distributed evenly among the current grantees to make whole their base grants for that fiscal year. Grant payments are made by a letter of credit. The Project grant is available to FMNP State agencies, accredited colleges/universities, private or public non-profit or for-profit institutions.

Deadlines:

By November 15 of each year, each applying or participating State agency shall submit to FNS for approval a State Plan of Operations for the following year as a prerequisite to receiving federal funds.

Range of Approval/Disapproval Time:

Other. FNS will provide written approval or denial of a completed State Plan of Operations or amendment within 30 days. As required by law (Section 17(m)(6)(A) of the CNA, as amended), the Secretary must inform each FMNP State agency of the award of funds by February 15 of each year.

Appeals:

Other. All decisions by FNS are final.

Renewals:

Other. This Program is authorized beginning October 1 of any calendar year and ending September 30 of the following calendar year.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 30%. Requirement: Matching requirements are mandatory. .As a prerequisite to the receipt of Federal funds, a State agency must agree to contribute program income, in-kind contributions, or State, local or private funds equal to at least 30 percent of its administrative program cost. The match may be satisfied through expenditures for similar farmers' market programs that operate

ASSISTANCE LISTINGS DESCRIPTIONS

during the same period as the FMNP. Similar programs include other farmers' market programs which serve low-income women, infants, and children (who may or may not be WIC participants or on the waiting list for WIC services), as well as other categories of low-income recipients, such as, but not limited to, low-income elderly persons. ITOs may provide a lower match based on negotiation with the Department but not less than 10 percent of the administrative cost of the program. The matching funds can come from a variety of sources, such as State or local funds, private funds, similar programs, in-kind contributions, or program income. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FMNP funds are allocated to State agencies annually and available through September 30 of the fiscal year. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: letter

Reports:

State agencies shall submit financial and FMNP performance data on a yearly basis as specified by FNS and consistent with Section 17(m)(8) of the CNA. Such information shall include, but shall not be limited to: (1) Number and type of recipients (Federal and non-Federal); (2) value of coupons issued; (3) value of coupons redeemed; and (4) number of authorized outlets by type, e.g., farmers, farmers markets, and roadside stands.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Each State agency shall maintain full and complete records concerning FMNP operations. Such records shall comply with 2 CFR part 200, subpart D and USDA implementing regulations 2 CFR part 400 and part 415 and the following requirements: (1) Records shall include, but not be limited to, information pertaining to financial operations, FMNP coupon issuance and redemption, equipment purchases and inventory, nutrition education, and civil rights procedures; and (2) All records shall be retained for a minimum of 3 years following the date of submission of the final expenditure report for the period to which the report pertains.

Account Identification:

12-3510-0-1-605.

Obligations:

Formula Grants FY 20 \$22,597,119.00; FY 21 est \$29,829,601.00; FY 22 est \$29,829,601.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 2019 grants ranged from \$6,337 to \$3,871,070. (actual) FY 2020 grants range from \$6,337 to \$3,966,111. (actual) FY 2021 grants range from \$7,582 to \$5,858,776. (actual)

TAFS Codes:

12-3507.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Approved waivers to program operations under the Families First Coronavirus Response Act. Fiscal Year 2021: Colorado joined the FMNP, increasing the number of participating State agencies from 49 to 50. The American Rescue Plan Act appropriated \$390 million for outreach, innovation and modernization projects for WIC and WIC FMNP. This funding is available for obligation through FY 2024. No project funds are expected to be obligated until FY 2022. Fiscal Year 2022: The American Rescue Plan Act appropriated \$390 million for outreach, innovation, and modernization projects. This funding is available for obligation through FY 2024.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 248, 2 CFR part 200

Regional or Local Office:

See Regional Assistance Locations. <https://www.fns.usda.gov/fns-regional-offices>.

Headquarters Office:

Sarah Widor, Director, Supplemental Food Programs Division,

1320 Braddock Place,
Alexandria VA 22314
Email: Sarah.Widor@usda.gov
Phone: (703) 305-2746
Fax:

Website Address:

<http://www.fns.usda.gov/fmnp/wic-farmers-market-nutrition-program-fmnp>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.576 Senior Farmers Market Nutrition Program; 10.565 Commodity Supplemental Food Program;

EXAMPLES OF FUNDED PROJECTS:

2020 FMNP is operated by 50 State agencies, including 40 States, the District of Columbia, 3 U.S. Territories, and 6 federally recognized Indian Tribal Organizations (ITOs).

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.574 Team Nutrition Grants

Team Nutrition Training Grants,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Team Nutrition Training Grants are authorized under Public Law 111-296, which amended Section 19 of the Child Nutrition Act of 1966.

OBJECTIVES:

Team Nutrition is an initiative of the U.S. Department of Agriculture (USDA) Food and Nutrition Service (FNS) to support their Child Nutrition Programs through training and technical assistance for foodservice, nutrition education for children and their caregivers, and school and community support for healthy eating and physical activity. Team Nutrition Training Grants for Healthy School Meals have been identified in USDA's national Strategic Plan for Training and Technical Assistance as one of the anchor delivery systems for supporting the implementation of USDA's nutrition requirements and the Dietary Guidelines for Americans in Child Nutrition programs. Team Nutrition's goal is to improve children's lifelong eating and physical activity habits by using the principles of the Dietary Guidelines for Americans and the USDA Food Guidance System. In the FY 2019 Team Nutrition E-STAR Grant, States can apply for up to \$2.5 for use during the four-year grant period of performance to support implementation and evaluation of an enhanced version of the Institute of Child Nutrition's School Nutrition Strategies, Training, Action Plans, and Resources (E-STAR Program) training program. The objectives are: 1. To implement the E-STAR Program to increase school nutrition managers and frontline staff knowledge, skills, and self-efficacy related to school meal quality. 2. To engage school nutrition managers in implementing a goal-oriented action plan to improve school meal quality or students' perceptions of school meal quality. 3. To assess activities and impacts that result from participation in the E-STAR Program to inform best practices for training school nutrition professionals and increasing their capacity to improve school meal quality. In the FY 2019 Team Nutrition Training Grant for Innovative State Programs, States can apply for up to \$700,000 each for use during the three-year grant period of performance. Each grant will support States in expanding the reach of their existing training programs, so that more school nutrition professionals can receive training, particularly in rural or hard-to-reach areas. The objectives are: 1. Expand State-developed training programs to increase school nutrition professionals' knowledge, skills, and self-efficacy related to school meal quality. 2. Engage school nutrition professionals in implementing strategies to improve school meal quality or students' perceptions of school meal quality. 3. Increase State agency capacity to deliver training to school nutrition professionals. FY 2021 Team Nutrition Training Grant FNS will competitively award the FY 2021 Cohorts A & B Team Nutrition Training Grants for School Meal Recipe Development to State agencies that administer the USAs National School Lunch Program or School Breakfast Program. State agencies applying for a FY 2021 Cohorts A or B Team

ASSISTANCE LISTINGS DESCRIPTIONS

Nutrition Training Grant may request up to \$300,000 in funding for use during a two-year grant period of performance. These grants will support States in helping schools offer meals supported by recipes that utilize local agricultural products and reflect local food preparation practices and taste preferences. Using these grant funds, State agencies will build their capacity to develop standardized school meal recipes, a process that helps ensure that recipes yield consistent results in quality, quantity, and nutrition, while minimizing food waste and food costs. The objectives for the grant is: 1. Build State agency capacity and sustainable infrastructure to develop and evaluate standardized recipes in accordance with USDA guidance. 2. Develop appealing standardized recipes that utilize local agricultural products and meet USDA requirements. 3. Engage students and the school community in the process of developing standardized recipes. 4. Provide nutrition education to students on local agricultural products featured in the new school meal recipes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Team Nutrition Training Grants provide discretionary funding to assist State agencies administering the National School Lunch and School Breakfast Programs (NSLP and SBP) in achieving the Team Nutrition goal of improving children's lifelong eating and physical activity habits using the most current Dietary Guidelines for Americans. States may award sub-grants to schools and institutions in NSLP and SBP following the established oversight procedure as well as the Guidance for Interpreting Cost Principles of Sub-Grants.

Applicant Eligibility:

State; State agencies that administer the Child Nutrition, School Meal Programs (e.g., NSLP and SBP) may apply for the FY 2021 Team Nutrition Training Grant.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Preschool; School; School decision makers, school food service staff, students, parents, teachers, childcare professionals, and educators.

Credentials/Documentation:

FY 2017 Team Nutrition Training Grants State agencies must administer the Child Nutrition Programs (e.g., NSLP, SBP, CACFP). Applicants must prove ability to provide a competent experienced staff and other resources to assure adequate development, supervision and execution of Team Nutrition Training Grant activities. In addition, applicants are required to submit a written proposal which includes a description of the training issue or issues to be addressed, the proposed project approach, the rationale for it, specific program objectives, activities, and budget. A properly executed agreement between USDA Food and Nutrition Service and the State agency is necessary before funds can be allocated. 2 CFR 200, Subpart E - Cost Principles applies to this program. FY 2017 Team Nutrition Training Grants In addition to the aforementioned credentials/documentation, in FY 2017 State agencies applying for a competitive Team Nutrition Training Grant had to include a social scientist as key project staff in their proposal. A letter of support and curriculum vitae (CV)/resume has to be included in the application package submitted to grants.gov. This social scientist should have experience in evaluating public health programs and will serve as the evaluation lead for the States project. FY 2019 Team Nutrition Training Grants State agencies that administer the Child Nutrition School Meal Programs are eligible to apply. States must include a social scientist as key project staff in their proposal. A letter of support and curriculum vitae (CV)/resume has to be included in the application package submitted to grants.gov. This social scientist should have experience in evaluating public health programs and will serve as the evaluation lead for the States project. FY 2021 Team Nutrition Training Grants State agencies that administer the Child Nutrition School Meal Programs are eligible to apply. The purpose of the FY 2020 Team Nutrition Training Grant for School Meal Recipe Development is to build State capacity and sustainable infrastructure to develop, standardize, prepare, test, and menu recipes for the School Meal Programs. In addition, these grants will help build a repository of school meal recipes that reflect local agricultural products across the country. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a complete application to USDA Food and Nutrition Service via grants.gov by the deadline specified. FY 2015 (Competitive) Team Nutrition Training Grants In FY 2015, State agencies were able to apply for up to \$350,000 in competitive grants. The grant period of performance was two years (2015-2017). FY 2016 (Competitive) Team Nutrition Training Grants In FY 2016, State agencies were able to apply for up to \$500,000 in competitive grants. The grant period of performance was three years (2016-2019). FY 2017 Team Nutrition Training Grants In FY 2017, State agencies are able to apply for up to \$500,000 in competitive grants. The grant period of performance is three years (2017-2020).

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. FY 2017, FY 2019, and FY 2021 Team Nutrition Training Grants Competitive grants are reviewed by a technical review panel composed of USDA Food and Nutrition Service staff which convenes to determine the technical merit of each grant application, provide a numerical score for each application, and make recommendations to selecting officials.

Deadlines:

January 27, 2020 - March 03, 2020. State agencies that administer the National School Lunch Program or School Breakfast Program have until 11:59 PM ET on Friday, April 3, 2020, to apply for the grant. States may view the Request for Applications and apply online at Grants.gov. FNS will present an informational webinar on Tuesday, February 11, 2020, at 2:00pm ET to provide an overview of the Team Nutrition Training Grant funding opportunity, application requirements, and scoring criteria. This webinar is open to all who wish to participate. Information on how to register for this webinar is available at: <https://usda-fns.webex.com/usda-fns/onstage/g.php?MTID=e58cfc079124c4a768180b70b5b3be0e>

The deadline for State agencies to submit a letter of intent to apply is Friday, February 21, 2020.

Due to COVID, the Application Due Date: was extended to 11:59 PM, Eastern Standard Time (EST), May 27, 2020, with an Anticipated Award Date of October, 2020

Range of Approval/Disapproval Time:

Other. Once the application is received from the State agency, it is approximately 3 to 4 months before announcement of the Team Nutrition Training Grant awards.

Appeals:

From 30 to 60 days.

Renewals:

Other. Not applicable for the TN Training Grants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FY 2017 Team Nutrition Training Grants The grant period of performance is three years within Federal fiscal years. Method of awarding/releasing assistance: by letter of credit. FY 2019 (Competitive) Team Nutrition Training Grants The grant period of performance for the Team Nutrition E-STAR Training Grant is four years within Federal fiscal years. Method of awarding/releasing assistance: by letter of credit. The grant period of performance for the Team Nutrition Training Grant for Innovative State Programs is three years within Federal fiscal years. Method of awarding/releasing assistance: by letter of credit. FY 2021 Team Nutrition Training Grant The grant period of performance for the FY 2021 Team Nutrition Training Grant for School Meal Recipe Development is two years within Federal fiscal years. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: letter

Reports:

Quarterly financial reports are required. Quarterly progress reports are required.

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Quarterly SF-425 financial reports are required, submitted electronically.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State agencies must maintain records as necessary to support the use of Team Nutrition Training Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$10,000,000.00; FY 22 est \$6,000,000.00; FY 20 Not Available; FY 21 Estimate Not Available; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

State agencies applying for a FY 2021 Cohort A or B Team Nutrition Training Grant may request up to \$300,000 in funding for use during a two-year grant period of performance.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to 2 CFR Part 200

Regional or Local Office:

See Regional Assistance Locations. See the FNS Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long,

Food and Nutrition Service, 1320 Braddock Place 4th floor,

Alexandria VA 22314

Email: Cindy.Long@usda.gov

Phone: 7033052590

Fax:

Website Address:

<https://www.fns.usda.gov/tn/team-nutrition-training-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Technical Review Criteria for the FY 2021 Team Nutrition Training Grants for School Meal Recipe Development, which are furnished to all applicants in the RFA package are used by the review panel to score and rank Team Nutrition Training Grant applications. Categories for the Team Nutrition Training Grants (both competitive and non-competitive) criteria are Recipe Standardization Approach, Value of Recipe Standardization, Student Education and Engagement, Project Management and Quality Assurance, and Budget Plan.

10.575 Farm to School Grant Program

USDA Farm to School Grant Program,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Section 18 of the Richard B. Russell National School Lunch Act (NSLA) to establishes a Farm to School program in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools., Title Richard B. Russell National School Lunch Act, Part 18, Section 243, Public Law 111-113

OBJECTIVES:

The Farm to School program exists in order to assist eligible entities, through grants and technical assistance, in implementing farm to school programs that improve access to local foods in eligible schools.

TYPES OF ASSISTANCE:

Cooperative Agreements, Dissemination of Technical Information, Project Grants, Training

USES AND USE RESTRICTIONS:

Assistance in improving access to local foods in eligible schools can take the form of: (i) Training; (ii) Supporting operations; (iii) Planning; (iv) Purchasing equipment; (v) Developing school gardens; (vi) Developing partnerships; and (vii) Implementing farm to school programs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Non-Government - General; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State Agencies, local agencies, Indian Tribal Organizations, small- and medium-sized agricultural/groups of agricultural producers, schools/school districts, Institutions participating in the Child and Adult Care Food Program (CACFP) and/or Summer Food Service Program (SFSP), and non-profit entities (excluding institutions of higher education and hospitals) may apply for the Farm to School Grant.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; State; Local; School; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization; Eligible entities, and therefore beneficiaries, include schools/school districts, institutions participating in the Child and Adult Care Food Program (CACFP) and/or Summer Food Service Program (SFSP), Indian Tribal Organizations, non-profit organizations, producer and producer groups and State and local agencies.

Credentials/Documentation:

For the Farm to School Grant, non profit organizations will be required to submit proof of 501(c) 3 non profit status and an AD 3030. SF-424, SF-424A, SF 424B, SF-LL, and the Grant Program Accounting System & Financial Capability Questionnaire and the farm to school grant application coversheet. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see the RFA for more information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications that are submitted by the published deadline will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a review panel composed of trained external, FNS, and other Federal staff that will determine the technical merit of each grant application, provide a numerical score, and make recommendations to the selecting official.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

October 01, 2021 - January 01, 2022. This is the estimated deadline for the fiscal year 2022 Farm to School Grant.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

From 30 to 60 days. Applicants can submit appeals directly to the Grant Officer using the contact information provided in the request for application.

Renewals:

From 120 to 180 days. A grantee (awarded applicant) may apply for a one time six month no cost extension should they have a bonafide need.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. The applicant must provide at least 25 percent of the costs of the grant project as the federal share of costs for this grant cannot exceed 75 percent of the total cost of the project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Implementation grants will be awarded for a 12 or 24 month period with an expected start date at/or near June 30, 2022. State Agency grants will be awarded for a 12 or 24 month period, with an expected start date at/or near June 30, 2022. Turnkey grants will be awarded for a 12 or 24 month period, with an expected start date at/or near June 30, 2022. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: letter

Reports:

Grant recipients are responsible for managing and monitoring the progress of the grant project activities and performance. Grantees will submit progress reports on a semi-annual basis throughout the grant term and a final report 90 days after the close of the project period. Farm to School Grant Planning and Implementation grantees will submit a baseline report at the beginning of the project. The award document will indicate the reporting format and schedule for submitting project performance/progress reports to FNS. Grant recipients are responsible for managing and monitoring the financial status of the grant project. All grant types will submit financial reports on a quarterly basis throughout the grant term and a final report 90 days after the close of the project period. The award document will indicate the reporting format and schedule for submitting project performance/progress reports to FNS. As a condition of receiving a grant, grant recipients shall agree to cooperate in an evaluation of the program carried out using grant funds. Upon selection of grant awardees, USDA will provide further guidance and direction regarding evaluation protocols and common indicators. Applicants should expect that evaluation protocols will include both process evaluations (qualitative and quantitative indicators of progress toward the objectives, accomplishment of activities) and outcome evaluations (to determine whether the objectives were met and what impact they had).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The single Audit process and compliance supplement.

Records:

The grantee must maintain records in accordance with the grant agreement. Such records must be retained for a period of three years after the date of submission of the final report for the fiscal year to which the records pertain.

Account Identification:

12-3539-0-1-605.

Obligations:

Cooperative Agreements FY 20 \$12,100,000.00; FY 21 est \$12,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Implementation grants will range from \$50,000 - \$100,000; State Agency grants range \$50,000 - \$100,000, and Turnkey grants can be up to \$50,000 with no minimum amount. FY20 average awards: Planning grants - \$42,239;

Implementation grants - \$92,103; State Agency grants - \$87,395 FY21 average awards: Implementation grants - \$90,889; State Agency grants - \$89,640; Turnkey grants - \$42,461.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Implementation - \$7.5 million; State Agency- \$896,404; Turnkey - \$3.5 million. Fiscal Year 2022: For the Farm to School Grant Program it is anticipated that approximately 280 applications will be received and 170 awards will be granted in fiscal year 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Pascale C. Jean,
1320 Braddock Place,
Alexandria VA 22314
Email: pascale.jean@usda.gov
Phone: 7036050773

Website Address:

<https://www.fns.usda.gov/cfs/farm-school-grant-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Implementation - \$7.5 million; State Agency- \$896,404; Turnkey - \$3.5 million. Examples of projects include: aggregating local food supply to more effectively meet the needs of school districts; testing products or conducting school food market feasibility analyses; training agricultural producers on how to access the school food market; organizing field trips to agricultural operations; developing new local food products or formulations to meet the needs of schools; improving infrastructure to accommodate new ingredients or menu items; forming statewide or regional networks or coalitions of varying kinds; and more.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting Farm to School Grant proposals is detailed in the RFA and includes degree to which the project serves a school or school district with a high percentage of free and reduced price meal enrollment; need, readiness and likelihood of success; alignment with farm to school program goals; project design and management; sustainability and transferability; and appropriateness of budget plan.

10.576 Senior Farmers Market Nutrition Program

Senior Farmers Market Nutrition Program (SFMNP),

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, (P.L. 107171, 116 Stat. 134), Section 4402 as amended., Title ,

OBJECTIVES:

The purposes of the Senior Farmers Market Nutrition Program (SFMNP) are to: (1) provide resources in the form of fresh, nutritious, unprepared, locally grown fruits, vegetables, honey, and herbs from farmers markets, roadside stands, and community supported agriculture (CSA) programs to low-income seniors; 2) increase the domestic consumption of agricultural commodities by expanding or aiding in the expansion of domestic farmers markets, roadside stands, and CSAs; and (3) develop or aid in the development of new and additional farmers markets, roadside stands, and CSAs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

The SFMNP is administered through a federal/State partnership in which the Food and Nutrition Service (FNS) awards cash grants to State agencies, including U.S. Territories and ITOs, to provide low-income seniors with coupons that can be exchanged for eligible foods at farmers markets, roadside stands, and CSAs. The majority of grant funds must be used for benefits. State agencies may use up to 10 percent of their grants for program administrative costs.

Applicant Eligibility:

State; State means any of the 50 States, the District of Columbia, and U.S. Territories. State agencies include State Agriculture Department, Agency on Aging, or Health Department, and ITOs. Local agencies are nonprofit entities or local government agencies which certify eligible participants, issue SFMNP coupons, arrange for distribution of eligible foods through CSA programs, and/or provide nutrition education. Each State agency desiring to administer the SFMNP shall annually submit a State Plan of Operations and enter into a written agreement with FNS for administration of the Program in the jurisdiction of the State agency. New State agencies are selected based on the availability of funds, after base grants, for currently participating State agencies. Local SFMNP agencies are selected by participating State agencies based on concentration of eligible senior participants and access to farmers' markets. Participating state agencies select local SFMNP agencies based on the concentration of eligible senior participants and access to farmers'.

Beneficiary Eligibility:

State; Local; Low Income; Senior Citizen (60+); Persons eligible for the program are low-income seniors, generally defined as individuals who are at least 60 years old and who have household incomes of not more than 185 percent of the federal poverty income guidelines published each year by the Department of Health and Human Services. Some State agencies accept proof of participation or enrollment in another means-tested program, such as the Commodity Supplemental Food Program (CSFP) or Supplemental Nutrition Assistance Program (SNAP), for SFMNP eligibility. Individual participants apply for SFMNP benefits at authorized SFMNP local agencies.

Credentials/Documentation:

A signed Federal/State Agreement (FNS-339) is necessary before funds can be allocated to a participating SFMNP State agency. Costs will be determined in accordance with FNS guidelines and instructions. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Application is made through submission of a State Plan of Operations as required by law.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The State agency is responsible for the fiscal management of and accountability for SFMNP-related activities for farmers, farmers markets, roadside stands, and CSA programs. Each State agency may decide whether to authorize individual farmers and farmers markets separately, or to authorize only farmers markets. In addition, each State agency may decide whether to authorize roadside stands and/or CSA programs. The State agency may authorize a farmer for participation in a farmers market, a roadside stand, and/or CSA program simultaneously. All contracts or agreements entered into by the State agency for the management or operation of farmers, farmers markets, roadside stands, and/or CSA programs shall conform to the requirements of 2 CFR Part 200 and USDA implementing regulations 2 CFR Parts 400 and 415. Only farmers, farmers markets, and/or roadside stands authorized by the State agency may redeem SFMNP coupons.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies. If the available funds are insufficient to meet the base grant levels for current SFMNP grantees, a pro-rata reduction is applied to grant levels awarded above \$75,000 for all participating SFMNP State agencies. If additional funds become available for the SFMNP, such funds are distributed pro rata among current grantees to make whole their base grants for that fiscal year. Grant payments are made by a letter of credit.

Deadlines:

By November 15 of each year, each applying or participating State agency shall submit to FNS for approval a State Plan of Operations for the following year as a prerequisite to receiving federal funds.

Range of Approval/Disapproval Time:

Other. FNS will provide written approval or denial of a completed State Plan of Operations or amendment within 30 days of receipt.

Appeals:

Other. All decisions by FNS are final.

Renewals:

Other. This Program is authorized beginning October 1 of any calendar year and ending September 30 of the following calendar year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

SFMNP funds are allocated to State agencies annually and available through September 30 of the fiscal year. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: letter

Reports:

State agencies must submit financial and SFMNP performance data on a yearly basis as specified by FNS. Such information must include, but shall not be limited to: (1) Number of participants served with Federal SFMNP funds; (2) Value of coupons issued and/or eligible foods ordered under CSA programs; (3) Value of coupons redeemed and/or eligible foods provided to participants under CSA programs; and (4) Number of authorized outlets by type; i.e., farmers, farmers markets, roadside stands, and CSA programs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Each State agency must maintain full and complete records concerning SFMNP operations. Such records must comply with 2 CFR Part 200, Subpart D and USDA implementing regulations 2 CFR Parts 400 and 415 and the following requirements: (1) Records must include, but not be limited to, information pertaining to certification, financial operations, SFMNP coupon issuance and redemption, authorized outlet (farmers, farmers markets, and CSA program) agreements, authorized outlet monitoring, CSA program agreements, invoices, delivery receipts, equipment purchases and inventory, nutrition education, fair hearings, and civil rights procedures; and (2) all records must be retained for a minimum of 3 years following the date of submission of the final expenditure report for the period to which the report pertains.

Account Identification:

12-3507-0-1-605.

Obligations:

Formula Grants FY 20 \$21,083,410.00; FY 21 est \$23,718,701.00; FY 22 est \$23,718,701.00;

Range and Average of Financial Assistance:

FY 2019 State agency grants ranged from \$9,925 to \$1,776,067(actual). FY 2020 State agency grants range from \$9,925 to \$1,778,755 (actual).FY 2021 State agency grants range from \$9,925 to \$1,962,115 (actual).

TAFS Codes:

12-3507.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Spirit Lake Tribe, ND joined the SFMNP, increasing the number of participating State agencies from 54 to 55.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 249, 2 CFR Part 200

Regional or Local Office:

See Regional Assistance Locations. <https://www.fns.usda.gov/fns-regional-offices>

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Director Sarah Widor, Director, Supplemental Food Programs Division,
1320 Braddock Place,
Alexandria VA 22314
Email: Sarah.Widor@usda.gov
Phone: 7033052746
Fax:

Website Address:

<http://www.fns.usda.gov/sfmnp/senior-farmers-market-nutrition-program-sfmnp>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 10.558 Child and Adult Care Food Program;

EXAMPLES OF FUNDED PROJECTS:

2020 SFMNP is operated by 55 State agencies, including 44 States, the District of Columbia, Puerto Rico, and 9 federally recognized Indian Tribal Organizations

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.577 SNAP Partnership Grant

SNAP Participation Data and Research,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Consolidated Appropriation Act of 2010 Food & Nutrition Act of 2008, as Amended The Stafford Disaster Relief and Emergency Assistance Act of 1988, , Public Law 111-117, 7 USC Code 272.1 - 272.8. The Agricultural Improvement Act of 2018 (2018 Farm Bill) amended Section 17(n) of the Food and Nutrition Act of 2008, as amended (the Act). Section 17(n) of the Act now enables research on participation in and the operation of SNAP, including duration of participation in the SNAP program, over time and across State lines. We are referring to this as the SNAP Longitudinal Data Project (SNAP LDP), Title Agricultural Improvement Act of 2018, Section 17(n)

OBJECTIVES:

The purpose of the SNAP Partnership grants is to establish interstate data exchange projects for program information in the Supplemental Nutrition Assistance Program (SNAP) and Disaster Supplemental Assistance Program (D-SNAP).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Enables research on participation in and the operation of SNAP, including duration of participation in the SNAP program, over time and across State lines. We are referring to this as the SNAP Longitudinal Data Project (SNAP LDP).

Applicant Eligibility:

State; State agencies interested in establishing longitudinal research databases with eligibility, benefit, and demographic information about SNAP households and individual members. To be eligible, the SNAP administering agencies must have the capacity to provide, on a periodic and ongoing basis, household and participant data derived from their eligibility system and other data sources of the State. No cost sharing or matching considerations exist for this project.

Beneficiary Eligibility:

State; State agencies administering the SNAP and D-SNAP programs

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants must also respond to the pre-application assessment questions in the RFA.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Following the initial screening process, FNS will assemble a review panel to determine the technical merits of each application. The panel will evaluate the proposals based on how well they address the required application components, and score the applications from highest to lowest. The panel members will recommend applications for consideration for a grant award based on the evaluation scoring. The selecting official reserves the right to accept the panels recommendation or to select an application for funding to meet agency priorities, geographical representation, or project diversity. FNS reserves the right to use the solicitation and competition to award additional grants in the next FY should additional funds be made available.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FNS anticipates that funding per award will be up to \$2.25 million per State for no more than a four-year period of performance. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees will be required to submit progress reports to FNS 30 days following the end of each quarterly period, using the FNS-908 PPR form that will be sent to grantees at the time of award. The reports should cover the preceding period of activity. A final report identifying the accomplishments and results of the project will be due 90 days after the end date of the award. The award recipient will be required to enter the SF-425 (Financial Status Report) into the FNS Food Program Reporting System (FPRS) on a quarterly basis. Grantees will be required to submit progress reports to FNS 30 days following the end of each quarterly period, using the FNS-908 PPR form that will be sent to grantees at the time of award. The reports should cover the preceding period of activity. A final report identifying the accomplishments and results of the project will be due 90 days after the end date of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

To Be Determined

Records:

State agencies must maintain records as necessary to support the use of the funding in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain. In the event of an audit finding the records must be maintained until audit resolution is completed or as noted above.

Account Identification:

12-3505-0-1-605.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$20,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

The maximum amount a State may request per application is \$2.25 million; there is

ASSISTANCE LISTINGS DESCRIPTIONS

no minimum amount.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 272.1 (c)(1)(i) and 272.8(a)(2)

Regional or Local Office:

None.

Headquarters Office:

Christine Daffan,
1320 Braddock Place,
Alexandria VA 22314
Email: christine.daffan@usda.gov
Phone: 703-305-2473
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FNS will use the following selection criteria to evaluate applications for the initial RFA. (Please see RFA.)1. Soundness or Merit of Project Design (35 points)2. Implementation and Evaluation (15 points)3. Sustainability (20 points)4. Budget Appropriateness and Economic Efficiency (20 points)5. Staff Capability and Management (10 points)

10.578 WIC Grants To States (WGS)

WIC Grants to States (WGS),

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

The Child nutrition Act (CNA), Title 7, Part 246, Section D, Public Law 111-296,
42 USC Code 1786.

OBJECTIVES:

To assist WIC State agencies, through grants and cooperative agreements, in making improvements and/or enhancements to the delivery of program benefits and services to participants. These grants and cooperative agreements include the following:1. WIC Breastfeeding Peer Counseling Grants (discretionary) are provided to WIC State agencies to implement or maintain effective breastfeeding peer counselor programs. Combining peer counseling with the on-going breastfeeding promotion and support efforts in WIC agencies has the potential to significantly impact breastfeeding rates among WIC participants. The Food and Nutrition Services long-range vision is to institutionalize peer counseling as a core service in WIC. A portion of these funds may be used to award breastfeeding performance bonuses. These incentives build upon WICs longstanding efforts to promote and support breastfeeding and are intended to recognize and award State agencies that have demonstrated successful breastfeeding promotion and support efforts.2. WIC Infrastructure Grants (discretionary) are provided to WIC State agencies for infrastructure projects that support national and regional priorities to improve the delivery of program benefits and services to participants.3. WIC Special Project Innovation Grants (WSPi), formerly known as the WIC Special Project Grants, as awarded, will establish an academic and/or non-profit organization to award sub-grants to WIC State and local agencies as they develop, implement, and evaluate new or innovative projects to meet the changing needs of WIC participants. The guiding principles of the sub-grants are: build on and improve FNS understanding of the diverse personal experiences, perceptions, and attitudes of those eligible for WIC services; and allow the Program to refine and enhance service delivery and customer service based on the understanding of the

participant experience.4. WIC Implementation of Breastfeeding Support Campaign Project to provide technical support for the implementation and evaluation of the updated USDA National Breastfeeding Promotion Campaign WIC Breastfeeding Support, Learn Together. Grow Together. State agencies can use funds to implement and evaluate the updated Campaign to increase the knowledge of and to promote behavior change about breastfeeding among WIC staff and participants. 5. WIC Telehealth Innovations Project to supplement the nutrition education and breastfeeding support offered in the WIC clinic, and to decrease barriers to access to WIC services, particularly in rural communities, and other populations facing barriers to accessing support. State agencies can use funds to support a variety of telehealth interventions, including but not limited to the use of telehealth tools provided by WIC staff as well as clinical services and technologies provided by third-party vendors.6. Johns Hopkins University (JHU) Participant Research Innovation Laboratory for Enhancing WIC Services. To support local effort to develop interactive tools, technical resources and innovative solutions that will improve customer service in WIC clinics through the Johns Hopkins University (JHU) Participant Research Innovation Laboratory for Enhancing WIC Services. JHU will select up to five WIC local agencies as sub-grantees for this research through national competition.7. WIC Innovation Grants. The American Rescue Plan Act of 2021 included funds to carry out outreach, innovation, and program modernization, including appropriate waivers and flexibility, to increase participation and redemption of benefits. The Food and Nutrition Service will improve health equity by positioning WIC as critical component of maternal and child health care services by supporting projects that focus on the following goals: (1) Connect more eligible people to WIC; (2) Modernize the participant experience by leveraging technology solutions; and (3) Streamline benefit delivery and services.8. WIC Electronic Benefit Transfer (EBT) and Management Information System (MIS) Grants are provided to WIC State agencies for the implementation of WIC EBT systems and/or to transfer modern/viable MIS. These grants include: (1) WIC EBT Implementation (non-competitive) Grants to support EBT planning or implementation projects through the Advanced Planning Document (APD) process; (2) WIC State Agency Model (SAM) Amendments (non-competitive) Grants to support WIC State agencies in the SAM Users Group; (3) WIC SAM Transfers Grants (non-competitive) to complete planning activities and have an approved Alternatives Analysis document that justifies a SAM as their choice of system transfer; (4) WIC Management Information Systems (MIS) Implementation (non-competitive) Grants to implement a transfer MIS or are upgrading or enhancing their current MIS; (5) WIC SAM Product Management Office (PMO) (non-competitive) Grants for the support and management of the SAM change control process, including prioritization of enhancements, User Group governance, and maintenance and operation of the State agency MIS core code.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Technology grant funds are used to plan, develop and implement projects for WIC MIS and EBT. Grant funds are used to enhance and improve WIC service provision through the use of technology which will have a positive impact on the nutrition and health of WIC participants. WIC Breastfeeding Peer Counseling grants are used to administer peer counseling programs based on research-based components of a successful peer counseling program as identified by FNS in the WIC Breastfeeding Support (formerly Loving Support) model. This includes salary, office space and supervision of peer counselors. The use of funds for activities outside of the State agency's approved implementation plan or that are not supported by the WIC Breastfeeding Support model are not authorized. WIC Infrastructure Grants are used to plan, develop and implement approved special State projects of regional or national significance to improve the program services. The use of Special Project Grant funds is limited to grantee's FNS-approved budget for the project. WIC Innovation Grant funds are to increase program participation, retention, and utilization of benefits, especially for under-served eligible populations and are limited to grantee's FNS-approved budget for the project
Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State agencies that administer the WIC Program are eligible to apply for WIC Grant to States funds. States may apply individually or as a coalition of States for WIC technology funds. States may apply individually for WIC Breastfeeding Peer Counseling, Special Project, Innovation, and Infrastructure grants.

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

State; Public nonprofit institution/organization; WIC participants will be the ultimate beneficiary in that improved technology will allow for more efficient and effective clinic operations. Additionally, the WIC Program is moving towards the issuance of benefits through electronic benefit transfer. This will enhance their shopping experience and allow for greater flexibility in benefit delivery. Pregnant and breastfeeding women participating in the WIC Program are encouraged to initiate and sustain breastfeeding by peer counselors funded by Breastfeeding Peer Counseling grants. Program improvements resulting from Infrastructure Grants benefit all WIC participants. Program improvements resulting from WIC Special Project Grants benefit all WIC participants. Program improvements resulting from WIC Innovation Grants benefit all WIC and WIC Farmers Market Nutrition participants.

Credentials/Documentation:

Only WIC State agencies that administer the WIC Program are eligible. Applicants must submit proper documentation through the FNS funding process to ensure adequate resources are available for project success. Applicants are required to submit a description of the technology/ special State project, staffing and budget documents, feasibility studies, cost allocation plans, and any other required documents. A properly executed Federal/State agreement between FNS and the State agency is necessary before funds can be allocated. For WIC grants to States, State agencies submit Advance Planning Documents (APDs) for FNS review and subsequent approval to fund specific activities outlined in the projects while following FNS Handbook 901 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Funding process is supported by the APDs, and once a state has an approved APD, they will apply through Grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

FNS reviews all documentation within 90 days of receipt to determine if the project meets all requirements. Continued funding is provided if the WIC State agency meets all requirements and if funding is available. WIC Breastfeeding Peer Counseling grants are provided to State agencies administering the WIC Program based on an FNS approved plan and the number of pregnant and breastfeeding women participating in the program. Breastfeeding performance bonuses are funded with Breastfeeding Peer Counseling grants. Bonus awards are based upon State agencies that have the highest percentage of fully breastfed infants and/or those that have the greatest improvement of the percentage of fully breastfed infants over the last fiscal year. WIC Infrastructure Grants will be awarded by FNS to State agencies administering the WIC program once proposed projects have been approved based on established evaluation criteria, and deemed necessary, reasonable, and allocable. WIC Special Project Grants will be awarded once proposed projects have been approved based on statutory scope, and deemed necessary, reasonable, and allocable. FNS will provide funds to the Council of State Governments, who will distribute the funds to the grantees. WIC Innovation Grants will be awarded once proposed projects have been approved based on statutory scope, and deemed necessary, reasonable and allocable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval of a states large MIS and/or EBT project can take up to a year for initial approval. Approval determination for Breastfeeding Peer Counseling grant is made within 30 days of submission of a completed plan or amendment. Approval determination for the Infrastructure grants is made approximately 90 days from date of submission. Approval determination for the Special Project Grants and Innovation Grants is made approximately 60 to 90 days from date of submission.

Appeals:

Not Applicable.

Renewals:

Other. A no cost extension (NCE) for a technology grant, must occur within in the timeframe of the Terms and conditions of the grant. For all grants issued in 2021 for example, they must submit request 30-days prior to grant expiration date.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Technology grant funds are available from 2-5 years, depending on project timeline. Obligations must occur within the specified award period. WIC Breastfeeding Peer Counseling funds are 2-year funds. WIC Infrastructure Grant funds are 2-year funds. WIC Special Project Grant funds are 2-year funds. WIC Innovation Grant funds are available from 1-5 years, depending on project timeline. Obligations must occur within the specified award period. Method of awarding/releasing assistance: letter

Reports:

Progress reports and financial status reports must be submitted as outlined in the cooperative agreement. For technology grants, states must submit a quarterly report in FPRS and a quarterly narrative of grant status. Quarterly progress reports are required. WIC State agencies, and other grantees, must submit quarterly and annual financial reports in the FNS Food Programs and Reporting System (FPRS).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State agencies must maintain records as necessary to support the use of all grants to States funds in accordance with the Cooperative Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

Formula Grants FY 20 \$90,000,000.00; FY 21 est \$90,000,000.00; and FY 22 est \$90,000,000.00; Project Grants FY 20 \$5,034,582.00; FY 21 est \$7,387,867.00; and FY 22 Not Available; Project Grants FY 20 \$0.00; FY 21 est \$2,000,000.00; and FY 22 Not Available; Project Grants FY 20 \$28,270,864.00; FY 21 est \$6,927,353.00; and FY 22 Not Available; Cooperative Agreements FY 20 \$9,960,972.00; FY 21 est \$0.00; and FY 22 Not Available; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

27 WIC Infrastructure projects were funded in FY 2021 ranging from \$4,400 to \$2,336,383. WIC Special Project Innovation Grants will be awarded in FY 2021, those projects are expected to range from \$50,000 to \$2,000,000.

TAFS Codes:

12-3510.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 68 WIC State agencies, delivering benefits to over 90% of participants, have successfully implemented EBT projects statewide; as of the end of Fiscal Year 2020, 8 State agencies were in the planning phase for EBT; 13 WIC State agencies were in the implementing phase for EBT. Technology grant funding provided in support of WIC State agencies EBT and MIS projects. Other grant funding to WIC State agencies to improve, innovate, and/or enhance services of the WIC program. Fiscal Year 2021: 9 additional WIC State agencies have successfully implemented EBT projects statewide in FY 2021. WIC Special Project Innovation Grant has released a Request for Applications for the FY 2021 grants to WIC State and local agencies. 7 WIC State agency sub-grantees were competitively awarded to implement and evaluate the updated USDA National Breastfeeding Promotion Campaign WIC Breastfeeding Support, Learn Together. Grow Together. 7 WIC State agency sub-grantees were competitively awarded to implement telehealth solutions to supplement the nutrition education and

ASSISTANCE LISTINGS DESCRIPTIONS

breastfeeding support offered in the WIC clinic.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR PART 246 and 2 CFR Part 200

Regional or Local Office:

None. FNS Regional Offices <https://www.fns.usda.gov/fns-regional-offices>

Headquarters Office:

Director Sarah Widor, Director, Supplemental Food Programs Division,

1320 Braddock Place,

Alexandria VA 22314

Email: Sarah.Widor@usda.gov

Phone: (703) 305-2746

Fax:

Website Address:

<http://www.fns.usda.gov/wic/women-infants-and-children-wic>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.557 WIC Special Supplemental Nutrition Program for Women, Infants, and Children ; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 10.576 Senior Farmers Market Nutrition Program; 93.096 HHS Programs for Disaster Relief Appropriations Act - Construction ; 93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance ; 10.540 Participant Research Innovation Laboratory for Enhancing WIC Services;

EXAMPLES OF FUNDED PROJECTS:

2020 Individual State Agency MIS and EBT projects as well as multi-State SAM projects. 2021 EBT and MIS implementation projects and SAM support. 2022 EBT and MIS implementation projects and SAM support.

CRITERIA FOR SELECTING PROPOSALS:

State agencies use the APD process for project approval and request funding using MIS and EBT funding request protocol. State agencies submit a needs assessment form outlining the type of needs, the year of obligation, estimated cost, need reason and timeframe of completion. Special Project grants are competitive grants.

Applications are typically submitted via Grants.gov; however, for FY 2021 applications will be accepted by the WIC Special Project Innovation Grantee, the Council of State Governments. Applicants, or WIC agencies, must submit proper documentation to FNS for approval before the project begins. There is no application process for Breastfeeding Peer Counseling Grants. To receive funding, State agencies submit their funding requests in the annual State Plan. State agencies must submit a completed Application for Federal Assistance (SF-424), a proposal, and related assurance and certifications as provided by FNS to apply for WIC Infrastructure Grants. Innovation Project grants are competitive grants. Applications are submitted via Grants.gov.

10.579 Child Nutrition Discretionary Grants Limited Availability

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

42 USC Code 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779.

OBJECTIVES:

National School Lunch Program (NSLP) Equipment Assistance Grants are competitively awarded subgrants to local educational agencies and schools to purchase equipment, with a value of greater than \$1,000, needed to serve healthier meals, improve food safety, and to help support the establishment, maintenance, or expansion of the School Breakfast Program. Administrative Review and Training (ART) grants are awarded to State agencies to conduct additional administrative reviews of selected local educational agencies and to provide funding for state agencies to be used for oversight and training of administrative personnel on application, certification, verification, meal counting, and meal claiming procedures. Direct Certification Improvement Grants have been previously awarded to assist States agencies in improving their direct certification processes and rates. Summer Electronic Benefits Transfer for Children demonstrations

development and test innovative methods of providing access to food for children during the summer months when schools are not in regular session to reduce or eliminate the food insecurity and hunger of children, and improve the nutritional status of children. Participating States and Tribal Nations provide Summer EBT food benefits on debit cards to eligible low-income families with school-age children during the summer months, giving them more resources to use at retail food stores. Grants to Improve Health and Nutrition were previously available to State agencies for projects that were aimed at improving the health and wellness of children in child care settings. School Breakfast Expansion grants were previously available to eligible State agencies to provide sub-grants to local educational agencies for qualifying schools to establish, maintain, or expand the School Breakfast Program. Meals to You is a cooperative agreement between USDA and the Baylor University Collaborative on Hunger and Poverty to provide crucial nutrition to eligible children nationwide who live in rural areas where traditional congregate SFSP sites have historically been unsuccessful due to the lack of transportation. This project expanded to address the needs of eligible children affected by COVID-19 school closures.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance can be used for specific activities authorized by Child Nutrition legislation or regulation. In the past this has included grants to state agencies to assist local educational agencies in improving application, certification and verification, and meal counting and meal claiming procedures; grants to State agencies to improve their direct certification processes and rates; and grants to improve program access, health, and wellness of children. There may be restrictions, as required by legislation or regulation. Less than one percent of Child Nutrition funds are set aside for discretionary grants.

Applicant Eligibility:

State; U.S. Territories and possessions; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Determined by the legislation authorizing the grants.

Beneficiary Eligibility:

State; Local; School; Education (0-8); Education (9-12); Determined by the legislation authorizing the grants.

Credentials/Documentation:

Determined by the legislation providing the grants. For example, the SBP Grants under Public Law 108-7 earmarked funds for a specific State, with the remaining funds to be awarded competitively. Eleven States were identified that had less than 60 percent of their NSLP Schools participating in the SBP over the past 3 years. These States received an Application for SBP Start-up Grant Funds. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For ART and DC grants, application package must be submitted electronically via <http://www.grants.gov>. Note: NSLP Equipment grants are not submitted via grants.gov. For Summer EBT grants, application package must be submitted electronically via <http://www.grants.gov>.

Award Procedure:

Upon receipt of an application from an interested party a review is performed by the agency for eligibility and sufficiency of the Request For Applications (RFA) requirements. Subsequently, all acceptable applications are objectively reviewed by a technical panel according to established criteria set forth in the RFA. The panel makes award recommendations based on the scoring results. The Food and Nutrition Service (FNS) selecting official then makes the final award decisions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Selection of applicants for a grant award can take from 3 to 5 months depending on the number of applications that are received.

Appeals:

Other. Refer to the RFA for information.

Renewals:

Other. Refer to the RFA for information regarding renewals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance of the grants vary based on project plan. Grant period of performance is generally from 1 year to 4 years. Extensions to the period of performance must be approved by FNS. Method of awarding/releasing assistance: letter

Reports:

Grantees are required to submit both quarterly and a final financial and program progress reports. Grantees are required to submit quarterly and a final financial progress reports. SF-425 Grantees are required to submit quarterly and a final program progress reports. SF-425 Grantees are required to submit both quarterly and a final financial and program progress reports. SF-425

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR Part 400: USDAs implementing regulation of 2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

Records:

State agencies must maintain records as necessary to support the use of grant funds in accordance with the grant agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records must be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

Project Grants (Discretionary) FY 20 \$30,000,000.00; FY 21 est \$30,000,000.00; FY 22 est \$30,000,000.00; Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$2,000,000.00; FY 22 Estimate Not Available; Project Grants (Discretionary) FY 20 \$0.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Project Grants (Discretionary) FY 20 \$0.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Project Grants (Discretionary) FY 20 \$0.00; FY 21 Estimate Not Available; Project Grants (Discretionary) FY 20 \$0.00; FY 22 Estimate Not Available; Project Grants (Discretionary) FY 20 \$29,000,000.00; FY 21 Not Available; FY 22 Estimate Not Available; Cooperative Agreements (Discretionary Grants) FY 20 \$185,000,000.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

For FY 2021, the National School Lunch Program (NSLP) Equipment Assistance Grants ranged from \$36,292 to \$3,816,551; the grant average was \$555,556. For FY 2019, the Administrative Review and Training Grants awarded \$1.2 million and ranged from \$149,776 to \$2,409,924; the grant average was \$1,103,604.71. There are two types of awards: ART Planning Grants for awards up to \$150,000 with grant periods of up to one year; and ART Implementation Grants for awards up to \$3,000,000 with grant periods of one to three years. For FY 2018, there were three Direct Certification Grants awarded ranging from \$86,160 to \$970,580. Grant average was \$670,475. For Summer EBT in FY 19, \$31 million was awarded to four grantees for three summers of project implementation. Grants ranged from \$3 million to \$15 million.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Since 2009, USDA has awarded \$361 million in school nutrition equipment grants, including \$30 million being announced in May 2021. USDA provides this funding to states via formula, which then competitively award grants to school districts in order to purchase necessary equipment.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, as applicable. Also, determined by the legislation authorizing the grants.

Regional or Local Office:

See Regional Assistance Locations. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long,

Food and Nutrition Service Braddock Metro Center III 320 Braddock Place, Alexandria VA 22314

Email: Cindy.Long@fns.usda.gov

Phone: 703-305-2062

Fax:

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are initially reviewed for eligibility and sufficiency, as defined in the RFA. Those applications that meet the criteria are reviewed by a technical panel based on specific criteria outlined in the RFA. The panel makes recommendations to the selecting official based on scoring results. The selecting official makes the final award decisions.

10.580 Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants

Process and Technology Improvement Grants (PTIG).

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Section 11(t), Food and Nutrition Act of 2008, as amended, (Public Law 116-94), 7 U.S.C. 2020(t); Farm Security and Rural Investment Act of 2002, Public Law 107-171; Agricultural Improvement Act of 2018,

OBJECTIVES:

Process and Technology Improvement Grants: The purpose of these grants is to support efforts by State agencies and their community-based and faith-based partners to develop and implement projects that focus on improving the quality and efficiency of SNAP operations and processes. FY2021 grantees focus on one or more of the following priority areas: 1) Increasing the use of human centered-design in SNAP applications and notices; 2) Improving preparedness for disaster and pandemic response; or 3) Improving SNAP customer service responsiveness and effectiveness.

TYPES OF ASSISTANCE:

Project Grants (Discretionary), Salaries and Expenses

USES AND USE RESTRICTIONS:

Funds for PTIG projects are to be used to improve the quality and efficiency of operations within SNAP offices. Examples of how grants funds can be used include: 1) Upgrades to SNAP eligibility systems; 2) Upgrades or implementation of workflow management systems for caseworkers; 3) Document imaging systems; 4) Mobile technology such as the use of web platforms that send text message alerts and reminders directly to client or apps designed for tablets and smartphones which allow clients to upload documents and review and update their case

ASSISTANCE LISTINGS DESCRIPTIONS

information; 5) Contractual services related to Business Process Reengineering (BPR), etc. Pre-award costs will not be awarded for this grant project. Projects predicated on waiving SNAP regulations are not allowable and will not be considered for funding. However, if during the grant period, a State agency determines a waiver is needed, the State may apply for one but there is no guarantee FNS will approve the waiver. No more than 25 percent of the grant funds may be used for outreach activities. Applications must comply with criteria listed in the Request for Application package.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; State agencies that administer the SNAP, State or local governments; agencies that provide health or welfare services; public health or educational entities and private nonprofit entities such as community-based or faith-based organizations, food banks, or other emergency feeding organizations. Note: An eligible entity that received a Process and Technology Improvement Grant award in the prior fiscal year is ineligible to apply in the current fiscal year. This only applies to the lead entity on the award (i.e. the recipient whose name appears in block 9 of the awarded projects FNS-529) and not to partner organizations or government agencies on a particular project

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Low Income; Public nonprofit institution/organization; The entities eligible to receive grants under this competition are: - The 53 State agencies that administer the SNAP; - State or local governments; - Agencies providing health or welfare services; - Public health or educational entities; and - Private non-profit entities such as community-based or faith-based organizations, food banks, or other emergency feeding organizations. State agencies and State and local governments should have the necessary approvals of state officials (such as councils or legislatures) of funding prior to submitting the application. Applicants should also acknowledge in their application that all necessary approvals for funding have been obtained. Non-profit organizations are required to submit a copy of the IRS Determination Letter, form 501(c)(3) or proof of application for exempt status under section 501(c)(3) of the Internal Revenue Code, a list of their Board of Directors if applicable, and their most recent audited financial statements signed by the Treasurer or the Treasurer of the board. Educational entities are also required to submit their most recent financial statements signed by the Treasurer or Treasurer of the board. Applications submitted without these will be considered non-responsive and eliminated from consideration. All corporations, including non-profit corporations are required to complete the attached representation regarding felony convictions and tax delinquency.

Credentials/Documentation:

Proof of non-profit status is required of nonprofit organizations and institutions. Nonprofits must also submit an IRS Determination Letter, Form 501C (3). Specific instructions provided in Request for Application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Special Instructions Letters of Commitment or Endorsement FNS recognizes that the grant proposals responding to this RFA will directly affect State agency operations. Unless the applicant is a State agency submitting an application on its own, a letter of commitment or letter of endorsement must be included in the application package to be considered for funding. Applications that require a letter of commitment or letter of endorsement, which fail to provide one, will not be considered. Such letters may be addressed to the FNS Grants Officer. FNS welcomes grant proposals from entities other than a State agency; however, such applicants must demonstrate that the State agency strongly endorses and/or is involved in the proposed project through a letter of endorsement. A letter of endorsement is required if an applicant is an entity other than a State agency and is not directly partnering with a State agency on the project. Letters of endorsement from the State are also required when local or county governments submit an application. The State agency's letter of endorsement must: explain that the State agency is aware of the project and its projected impact on the States eligibility

system; acknowledge whether the project creates any additional work for the State agency and identify the State capacity to address the additional work; express support for the proposed project; describe how the proposed project will support the State agency's current or planned technology and/or business process improvement efforts and priorities; and the letter must be on the respective State agency's letterhead and signed by an authorizing official. A letter of commitment is also required if the grant proposal is from a State agency working in partnership with another organization(s). The State agency applying must submit a letter(s) of commitment from its partners. The letter(s) of commitment must describe: the organizations role in the project; the amount of time the partner organization intends to commit to the project and an attestation that it will cooperate with the grant applicant in implementing the project; and the letter must be on the respective organizations letterhead and signed by an authorizing individual.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application packages should be prepared in accordance with instructions in a specific Request for Application (RFA) and submitted to grants.gov by the specific deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Following the initial screening process, FNS will assemble a peer panel group to review and determine the technical merits of each application. The peer panel will evaluate the proposals based on how well they address the required application components. The peer panel members will recommend applications for consideration for a grant award based on the evaluation scoring. The selecting official reserves the right to award a grant to meet agency priorities, program balance, geographical representation, or project diversity. The selecting official will consider the panel recommendations. In general, awards will be based on rank funding order. However, FNS reserves the right to fund out of rank order to achieve agency priorities (such as to grant an award to an entity that includes a joint effort between a State agency and a community-based or faith-based organization, demographic, or socioeconomic diversity, etc.). If the panel review indicates that FNS has received few or no technically acceptable proposals, the selecting official may determine that FNS will make no awards, or commit less than the \$5 million set aside for this purpose.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. FNS will award the grants through a competitive process and will notify selected grantees of the grant award no later than September 30, 2021.

Appeals:

Not Applicable. Instructions provided in Request for Application.

Renewals:

Not Applicable. Instructions provided in Request for Application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grantees will be allowed to use the grant funds for the duration of the 3 year project period. Grants awarded in FY21 will be funded for the period of September 30, 2021, through September 30, 2024. Method of awarding/releasing assistance: letter

Reports:

Final report Quarterly progress reports Financial status reports Final report and major change reporting, if applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

State agencies must maintain records as necessary to support the use of SNAP Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3505-0-1-605.

Obligations:

Salaries and Expenses FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

See: <https://www.fns.usda.gov/grant/fy-2021-snap-process-and-technology-improvement-grants>FNS anticipates awarding between 6 and 11 awards annually.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Process and Technology Improvement Grants: 7 CFR Part 3015, 7 CFR Part 3016, 7CFR Part 3017, 7 CFR Part 3021, 7 CFR Part 3019.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Jessica Luna,
Program Design BranchProgram Development DivisionSupplemental Nutrition Assistance Program (SNAP)U.S. Department of Agriculture, FNS 1320 Braddock Place, 5th Floor,
Alexandria VA 22314

Email: jessica.luna@usda.gov

Phone: 7033054397

Fax: Hallie Nelson,

U.S. Department of Agriculture, FNS 1320 Braddock Place, 5th Floor,
Alexandria VA 22314

Email: Hallie.Nelson@usda.gov

Phone: 703-605-4325

Fax:

Website Address:

<https://www.fns.usda.gov/grant/fy-2021-snap-process-and-technology-improvement-grants>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The review panel to score and rank applications uses Technical Review Criteria which includes: (1) Project Design; (2) Budget Appropriateness and Economic Efficiency; (3) Organizational Experience and Management/Staff Capability; and (4) Evaluation on a 0-100 scale. Grantees can also earn up to 9 bonus points for proposals that are particularly data driven (up to 3 points), proposals that demonstrate a plan to collaborate with previous PTIG grantees who completed a similar project (up to 3 points), and proposals that demonstrate an impact on racial equity and inclusion and provide a comprehensive analysis of impacts to specific underserved communities (up to 3 points).

10.582 Fresh Fruit and Vegetable Program

FFVP,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Title Richard B. Russell National School Lunch Act, as amended, Section 19, 42 USC Code 1769.

OBJECTIVES:

To assist States, through cash grants, in providing free fresh fruits and vegetables to elementary schools with high percentages of children who receive free or reduced price meals through the National School Lunch Program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under Section 19 of the Richard B. Russell National School Lunch Act, 42. U.S.C. 1769, Fresh Fruit and Vegetable Program funding is available to State agencies through an annual transfer of the Agricultural Marketing Service's Section 32 funds. Selected low-income, public and nonprofit private elementary schools are reimbursed for produce served to school children outside of the National School Lunch Program and School Breakfast Program food service periods. All participating schools must agree to serve fresh fruits and vegetables free to all enrolled children, and to publicize fresh fruit and vegetable availability within the school.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State; U.S. Territories and possessions; In order to be eligible to participate in the FFVP, the school must be a low-income public or nonprofit private elementary school, and must participate in the National School Lunch Program.

Beneficiary Eligibility:

Student/Trainee; School; All elementary school children enrolled in schools participating in the Fresh Fruit and Vegetable Program receive free fresh fruits and vegetables outside of the National School Lunch and School Breakfast Programs.

Credentials/Documentation:

The applicant organization must be currently participating in the National School Lunch Program. The applicant must be an elementary school as defined by section 9101 of the Elementary and Secondary Education Act of 1965 (20 USC 7801). Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The school-level application prototype form furnished by FNS may be modified by the State agency. An applicant should consult the State office or official designated as the single point of contact in the State for the National School Lunch Program for more information on the process FNS requires to be followed for participation in FFVP.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Low income, public or private nonprofit elementary schools apply to the State agency, or may be selected for participation by the State agency.

Award Procedure:

The elementary schools with the highest percentages of children eligible for free and reduced price meals will be selected. The State agency then enters into an agreement with the school food authority for its participation in the program. The agreement stipulates that schools will operate the Fresh Fruit and Vegetable Program according to federal requirements for the program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Agreements become effective upon approval by the State agency.

Appeals:

Not Applicable. The State agency is responsible for selecting schools based on high free and reduced price percentages. There is no appeal process if not selected.

Renewals:

Other. Schools may be renewed annually through a re-application process (or modified application process) depending on their free and reduced price meal

ASSISTANCE LISTINGS DESCRIPTIONS

eligibility percent ranking each year.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The program is permanent and amended as necessary described by law. Schools/SFAs have one fiscal year in which to spend the funds awarded. Method of awarding/releasing assistance: letter

Reports:

State agencies must ensure that each school food authority with participating schools maintains and submits reimbursement claim forms to the State agency in order to receive reimbursement. State agencies must submit expenditure data quarterly via the SF-425 report. States must submit final fiscal year grant close out reports within 120 days after the end of the grant period. State agencies are required to complete SF-425 reports. State agencies must ensure that each school food authority with participating schools maintains and submits reimbursement claim forms to the State agency in order to receive reimbursement. State agencies must submit expenditure data quarterly via the SF-425. States must submit final fiscal year grant close out reports within 120 days after the end of the grant period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits may be conducted less frequently under conditions specified in A-133. The FFVP is subject to audits.

Records:

Schools must maintain full and accurate records of the FFVP's expenditures, similar to the National School Lunch and School Breakfast Programs. Such records must be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of audit findings.

Account Identification:

12-3539-0-1-605.

Obligations:

Project Grants FY 20 \$182,000,000.00; FY 21 est \$183,000,000.00; FY 22 est \$187,000,000.00;

Range and Average of Financial Assistance:

FFVP funds are allocated at a level of \$50 to \$75 per student per school year. Funding is allocated among States based on a funding formula, which is adjusted every July 1 to reflect changes in the Consumer Price Index. The minimum grant to each of the 50 States and the District of Columbia will equal one percent of the funds made available to carry out this program for the school year. Additional funding will be allocated to all States, the District of Columbia, Guam, Puerto Rico and the Virgin Islands, on the basis of population.

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: On August 18, 2020, FNS released the funding allocation distribution for FY21, which is available on the FNS Web site at <https://www.fns.usda.gov/ffvp/allocation-funds-fy-2021>. Fiscal Year 2022: On June 1, 2021, FNS released the funding allocation distribution for FY22, which is available on the FNS Web site at <https://www.fns.usda.gov/cn/sp-14-2021>.

REGULATIONS, GUIDELINES, AND LITERATURE:

An online toolkit is available at <http://www.fns.usda.gov/FFVP>. A handbook is also available at <http://www.fns.usda.gov/ffvp/fns-resources>. Policies are available at <https://www.fns.usda.gov/ffvp/ffvp-policy>.

Regional or Local Office:

See Regional Assistance Locations. See the Food and Nutrition Service Regional Offices listed at <https://www.fns.usda.gov/fns-regional-offices>.

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long,
1320 Braddock Place,
Alexandria VA 22314
Email: CNDInternet@fns.usda.gov
Phone: 703-305-2590

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children; 10.574 Team Nutrition Grants; 10.560 State Administrative Expenses for Child Nutrition; 10.541 Child Nutrition-Technology Innovation Grant; 10.579 Child Nutrition Discretionary Grants Limited Availability;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant schools must be currently participating in the National School Lunch Program. They must agree to serve fresh fruits and vegetables free to all enrolled children, and to publicize fresh fruit and vegetable availability within the school. They must have support for participation in the program signed by the school food manager, the school principal, and the district superintendent, or equivalent positions. States must target the elementary schools with the highest percentages of children eligible for free and reduced price meals.

10.585 FNS Food Safety Grants

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2010, 2010, Public Law 110-80; Richard B. Russell National School Lunch Act, Section 6(a)(3), 42 U.S.C 1755(a)(3); National Agriculture Research, Extension, and Teaching Policy Act of 1977, Section 1472(b), 7 U.S.C 3318(b), Title Richard B. Russell National School Lunch Act, Section 6(a)(3)

OBJECTIVES:

The Food and Nutrition Services Office of Food Safety provides funding to support its mission of increasing awareness, visibility, and impact of food safety on USDA nutrition assistance programs. There are two active cooperative agreements funded.1. The U.S. Department of Agriculture, Food and Nutrition Service (FNS) established a Center for Food Safety in Child Nutrition Programs to conduct research to improve the safety of foods provided through the FNS nutrition assistance programs, particularly those served in schools and child care settings. The Center provides an interdisciplinary and timely approach to applied food safety research needs related to FNS nutrition assistance programs, particularly the child nutrition programs, and develop written communications to convey research results to a variety of audiences, including scientists, policy makers, educators, and practitioners. (competitive)2. The Institute of Child Nutrition, an institute established by Congress to support Child Nutrition Programs, provides support to the FNS Office of Food Safety for developing food safety education programs and materials and conducting applied research related to food safety education. (non-competitive)

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Funding is provided to a university or organization to achieve the objectives of the grant. The university or organization may award sub-grants to obtain the expertise and skills needed to accomplish the objectives.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Assistance may be used to conduct research or perform other tasks to benefit FNS nutrition assistance programs in the area of food safety.

Beneficiary Eligibility:

Federal; Graduate Student; Scientist/Researchers; State; Education (0-8); Education (9-12); FNS nutrition assistance program decision makers, FNS nutrition assistance program providers, and FNS nutrition assistance program recipients

Credentials/Documentation:

Not currently applicable. Further direction will be available from Program Office. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not currently applicable. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a technical review panel composed of FNS and other Federal staff that will meet to determine the technical merit of each grant application, provide a numerical score, and make recommendations to selecting officials. Non-solicited proposals may also be considered as funding allows. Office of Food Safety is granted two-year funding appropriations.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. There are presently no open requests for applications.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable. Not currently applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not currently applicable. Method of awarding/releasing assistance: other

Reports:

The quarterly and final programmatic progress and financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions.

Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The grantee must maintain records in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$600,000.00; FY 21 est \$600,000.00; FY 22 est \$643,000.00; Cooperative Agreements FY 20 \$1,050,000.00; FY 21 est \$750,000.00; FY 22 est \$750,000.00;

Range and Average of Financial Assistance:

\$150,000 - \$1.8 million

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Research specific to food safety in child nutrition programs was published on topics including food defense and behavioral studies. Research was initiated on food safety practices in farm to school programs. New educational resources to support food safety in family child care homes was designed and prototyped. Fiscal Year 2021: Research specific to food safety in child nutrition programs was published on topics including . Research on food safety practices in farm to school programs was completed. Research on production method risk was initiated. New educational resources developed to support food safety in Food and Nutrition Service programs. Delivered Produce Safety University in new virtual format for school nutrition professionals. Distributed food safety kits for family child care homes.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018, 7 CFR Part 3019, 7 CFR Part 3021, and 7 CFR Part 3052.

Regional or Local Office:

None.

Headquarters Office:

Food Safety Specialist Charlsia Fortner,
1320 Braddock Place,
Alexandria VA 22314
Email: charlsia.fortner@usda.gov
Phone: 7033052231

Website Address:

http://www.fns.usda.gov/fns/food_safety.htm

RELATED PROGRAMS:

10.579 Child Nutrition Discretionary Grants Limited Availability;

EXAMPLES OF FUNDED PROJECTS:

2022 See Section 130

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.587 National Food Service Management Institute Administration and Staffing Grant

(ICN),

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779; School Breakfast Program (SBP); Child Nutrition Act of 1966, as amended, Public Laws 108-265, 104-193, 100-435, 99-661, 97-35; Special Milk Program (SMP); Child Nutrition Act of 1966, as amended; Child and Adult Care Food Program (CACFP); 89 Stat. 522-525, Summer Food Service Program (SFSP); American Recovery Act and Reinvestment Act of 2009, Public Law 111-5. The 2010 Agriculture Appropriations Act (Public Law 111-80),.

OBJECTIVES:

The Institute of Child Nutrition (ICN), formerly known as the National Food Service Management Institute (NFMSI) is part of the School of Applied Science at

ASSISTANCE LISTINGS DESCRIPTIONS

The University of Mississippi, and is the only federally funded national center dedicated to applied research, education and training, and technical assistance for Child Nutrition Programs. The Institute was established and authorized by Congress, in 1989, and funded at The University of Mississippi in 1991 by a grant administered through the United States Department of Agriculture (USDA), Food and Nutrition Service (FNS). In 1994, the Institute was permanently authorized. The specific duties (described below) of the Institute can be found in section 21 of the Richard B. Russell National School Lunch Act, 42 U.S.C. 1769b-1. Administration and Staffing Grant: The Institute receives \$5,000,000 through a non-competitive grant each year as stipulated in section 21 of the Richard B. Russell National School Lunch Act, as amended and reauthorized, for activities that include training, applied research, preparation of informational materials and maintenance of an information clearinghouse. The grant funds staff positions in the Institutes four divisions: Education and Training, Information Services, Applied Research and Administration. General Education Cooperative Agreement: The Institute receives \$800,000 for general education through a non-competitive cooperative agreement. The general education agreement supports activities under Team Nutrition. Team Nutrition is a USDA initiative to support the Child Nutrition Programs through training and technical assistance for foodservice, nutrition education for children and their caregivers, and school and community support for healthy eating and physical activity. In addition the FNS Office of Food Safety provides funding to support its mission of increasing awareness, visibility, and impact of food safety on USDA nutrition assistance programs. The Institute provides support to the FNS Office of Food Safety for developing food safety education programs and materials and conducting applied research related to food safety education (non-competitive). A complete description is at CFDA 10.585 FNS Food Safety Grants. Mission and Vision: The Institute's mission is to provide information and services that promote the continuous improvement of Child Nutrition Programs. The vision is to be the leader in providing education, research, and resources to promote excellence in Child Nutrition Programs. The Institute serves anyone connected with the Child Nutrition Programs: National School Lunch, School Breakfast, Summer Food Service, and Child and Adult Care Food Programs. As a national center, and to fulfill its mission, the Institute develops educational resources, and offers training and technical assistance using appropriate technology for child nutrition professionals across the nation and conducts applied research. It is the training and technical assistance arm of the Child Nutrition Programs.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Funding is provided to a university or organization to achieve the objectives of the grant. The university or organization may award sub-grants to obtain the expertise and skills needed to accomplish the objectives. Guidance on restrictions is set forth in the grant document.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Non-competitive. Appropriated by Congress to provide financial and other assistance to the University of Mississippi, in cooperation with the University of Southern Mississippi, to establish and maintain a food service management institute.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Sponsored organization; Public nonprofit institution/organization; FNS nutrition assistance program decision makers, FNS nutrition assistance program providers, and children and teachers.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application package must be submitted electronically via <http://www.grants.gov>.

Award Procedure:

Applicant must meet the non-competitive grant and cooperative agreement submission requirements for completeness and conformity in a grant application, statement of work and budget. FNS will determine the technical merit of each grant and cooperative agreement application, approve, and then award funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant period of performance is generally for 3 years. Extensions to the period of performance must be approved by FNS and limited to a maximum of 5 years.

Method of awarding/releasing assistance: letter

Reports:

The quarterly and final programmatic reports are required to be submitted by the grantee in accordance with the grant terms and conditions. The quarterly and final financial reports are required to be submitted by the grantee in accordance with the grant terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The grantee must maintain records in accordance with the Grant agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

Cooperative Agreements FY 20 \$1,000,000.00; FY 21 est \$0.00; FY 22 est \$1,000,000.00; Salaries and Expenses FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00; Cooperative Agreements FY 20 \$2,897,356.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Available Administration and Staffing Grant (non-competitive) - \$5,000,000. Available General Education Cooperative Agreement (non-competitive) - \$800,000 - \$2,000,000

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3018. Determined by the legislation authorizing the grants.

Regional or Local Office:

None.

Headquarters Office:

Deputy Administrator, Child Nutrition Programs Cynthia Long, Food and Nutrition Service, 3101 Park Center Drive Room 628, Alexandria VA 22302

ASSISTANCE LISTINGS DESCRIPTIONS

Email: Cindy.Long@fns.usda.gov
Phone: 7033052590

Fax:

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.559 Summer Food Service Program for Children; 10.555 National School Lunch Program; 10.558 Child and Adult Care Food Program; 10.556 Special Milk Program for Children;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.592 Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects

Demonstration Projects to End Childhood Hunger Healthy, Hunger-Free Kids Act (HHFKA) of 2010.

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Richard B. Russell National School Lunch Act, Section 141 of the Healthy, Hunger-Free Kids Act of 2010, Section 23. Childhood Hunger Research; (b) Demonstration Projects to End Childhood Hunger, Title E, Section 141, Statute 124-3206-3210, Public Law 111-296, 42 USC Code 1769d.

OBJECTIVES:

Demonstration Projects to End Childhood Hunger: To test innovative strategies to end childhood hunger, including alternative models for service delivery and benefit levels that promote the reduction or elimination of childhood hunger and food insecurity. The Healthy Hunger Free Kids Act (HHFKA) provided \$ 40 million to conduct and evaluate the demonstration projects. As stated in the request for applications (RFA) approximately \$ 30 million will be awarded for up to five demonstration projects. The demonstration projects awarded under this grants program will be evaluated by a competitively selected evaluation contractor.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance will be used for testing innovative strategies including alternative models for service delivery and benefit levels that promote reduction or elimination of childhood hunger and food insecurity particularly in areas or populations where there are currently elevated levels of food insecurity or gaps in nutrition assistance program coverage. Intervention strategies of particular interest to USDA are described on page 12 of the request for applications (RFA).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Not applicable.

Beneficiary Eligibility:

Individual/Family; Not applicable.

Credentials/Documentation:

Grant applications must be signed by the Governor of the State or U.S. Territory, the Mayor of the District, or the leader of the Indian Tribal Organization (ITO) that has the responsibility and authority to designate which entity of group of entities will oversee the demonstration project and administer the funds on behalf of the State Agency. Note: the term "State Agency" refers to the agencies of the 50 states, the District of Columbia, and U.S. Territories or ITOs that administer federal nutrition assistance programs. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

FNS will assemble a peer panel group to review and determine the technical merits of each application. Selection criteria to be used for evaluating applications are provided in the Request for Applications (RFA).

Deadlines:

July 07, 2014.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is a time restriction; money will no longer be available after 09/30/2017.

Method of awarding/releasing assistance: letter

Reports:

The recipient will be responsible for managing and monitoring the progress of the grant project activities and performance. The award document will indicate the reporting schedule for submitting project performance/progress reports to FNS. The award recipient will be required to enter the SF-425, Financial Status Report data into the FNS Food Program Reporting System on a quarterly basis.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

12-3539-0-1-605.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Chickasaw: 9.7 millionKentucky: 3.6 millionNavajo Nation: 2.4 millionNevada: 3.1 millionVirginia: 8.8 millionTotal: 27.6 millionAverage: 5.52 million

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Demonstration site accomplishments:Chickasaw Nation - The demonstration concluded in FY 2020.Kentucky Cabinet for Health and Family Services - The demonstration concluded in FY 2020.Navajo Nation, AZ - The demonstration concluded in FY 2020.Nevada Department of Health and Human Services - The demonstration concluded in FY 2019.Virginia Department of Education - The demonstration concluded in FY 2019.

REGULATIONS, GUIDELINES, AND LITERATURE:

Background literature on Childhood Hunger and Food Insecurity is provided in the Request for Applications (RFA). The citation and link to the legislation for the Healthy Hunger Free Kids Act (HHFKA) of 2010, Section 23 (b) of the Richard B. Russell National School Lunch Act is provided in the RFA. The RFA also includes the eligibility requirements and restrictions for the demonstration projects.

Regional or Local Office:

None.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Social Science Research Analyst Michael P. Burke,
1320 Braddock Place,
Alexandria VA 22314
Email: michael.burke@fns.usda.gov
Phone: (703) 305-4369

Website Address:

No Data Available.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children;

EXAMPLES OF FUNDED PROJECTS:

2020 Chickasaw Nation: The evaluation was completed and the final report can be found at <https://www.fns.usda.gov/ops/evaluation-demonstration-projects-ech>. The project concluded in FY 2020. Kentucky: The evaluation was completed and the final report can be found at <https://www.fns.usda.gov/ops/evaluation-demonstration-projects-ech>. The project concluded in FY 2020. Navajo Nation: The project concluded in FY 2020. Nevada: The evaluation was completed and the final report can be found at <https://www.fns.usda.gov/ops/evaluation-demonstration-projects-ech>. The project concluded in FY 2020. Virginia: The evaluation was completed and the final report can be found at <https://www.fns.usda.gov/ops/evaluation-demonstration-projects-ech>. The demonstration will concluded in FY 2019.

CRITERIA FOR SELECTING PROPOSALS:

The criteria include demonstration project design and implementation, staffing plan, management plan and budget and are described in the request for applications (RFA).

10.593 Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs

Bill Emerson and Mickey Leland Fellows Programs,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Public Law 11-246, Section 4401, updated Section 4404 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171. Annual Appropriations fund the Programs., Farm Security and Rural Investment Act of 2002, Public Law 107-171. Section 4404, Hunger Fellowship Program; Congressional Hunger Fellow Act of 2002, Public Law 107-171-171. 2 USC Code 1161. Consolidated and Further Continuing Appropriations Act 2013, Public Law 113-06, Section 4404 of Public Law 107-171, as amended by Section 4401 of Public Law 110-246(2. U.S.C. 1161); FY2012 Agriculture Appropriations Act, Public Law 112-55, Public Law 113-113-06, 2 USC Code 1161.

OBJECTIVES:

The Bill Emerson Hunger Fellowship Program is to address hunger and poverty in the United States. The Mickey Leland Hunger Fellowship Program is to address international hunger and other humanitarian needs. The purposes of the Fellowships Programs are to: encourage future leaders of the U.S. to pursue careers in humanitarian and public service; recognize the needs of low-income people and hungry people; provide assistance to people in need; seek public policy solutions to the challenges of hunger and poverty; provide training and development opportunities for to such leaders through placement in programs operated by appropriate organizations or entities; and increase awareness of the importance of public service.

TYPES OF ASSISTANCE:

Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

In accordance with the authorizing legislation and 2 CFR Part 2.19 and 2.57, the Administrator as delegated by the Secretary, is directed to offer a grant to the

Congressional Hunger Center to administer these fellowship programs. Funding is provided to the Congressional Hunger Center to train and inspire leaders who work to end hunger. The Congressional Hunger Center is the grantee; they are provided funding to achieve the purposes of the Fellowship Programs as noted above. The fellowships established shall provide experience and training to develop the skills and understanding necessary to improve the humanitarian conditions and the lives of individuals who suffer from hunger, including - (I) training in direct service to the hungry in conjunction with community-based organizations through a program or field placement; and (II) experience in policy development through placement in a governmental entity or nonprofit organization.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); N/A

Beneficiary Eligibility:

Public nonprofit institution/organization; N/A

Credentials/Documentation:

Forms: SF 424, SF 424 (a), SF-LLL This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. n/a

Award Procedure:

Applicant must meet the non-competitive grant submissions requirements for completeness and conformity in a grant application, project description and budget. FNS will determine the technical merit of the grant application, approve the proposal and then make a grant award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant period of performance is for 12 months. Extensions to the period of performance must be approved by the FNS. Method of awarding/releasing assistance: quarterly

Reports:

The quarterly and final programmatic reports are required to be submitted by the grantee in accordance with the grant terms and conditions. The quarterly and final financial reports, SF-425, are required to be submitted by the grantee in accordance with the grant terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The grantee is required to comply with the audit provisions in 2 CFR 200, Subpart F. There are no special audit procedures mandated for this grant program.

Records:

The grantee must maintain records in accordance with the grant agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except if audit findings have not been resolved, the records shall be retained beyond the 3 year period as

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long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3508-0-1-605.

Obligations:

Project Grants (Fellowships) FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Congressional Hunger Center (CHC) \$2,000,000 (non-competitive)

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Emerson Fellowship: 28th Class Interviews Completed: Emerson staff completed group and individual interviews for the 28th Class of Fellows. Staff conducted 7 group interviews throughout February. From those interviews, 28 applicants were selected for individual interviews that were conducted at the end of March. 27th Class Policy Training (2/25 3/9) and Field Work Report Presentations: Content focused on the work of D.C.-based anti-hunger/anti-poverty organizations. On March 5, 27th Class Fellows virtually presented their field work reports which outlined the work they accomplished at their field organizations. 27th Class Policy Placement: On March 10, 27th Class Fellows transitioned from their field placements and began working at their policy placements. 28th Class Field Site Recruitment: Emerson staff continued recruiting organizations for the 28th Class of Fellows. The application period for potential host organizations closes on May 7.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200, Uniform Guidance for Grants and Agreements. USDA appropriation language funding this grant program.

Regional or Local Office:

None.

Headquarters Office:

Grant Management Officer Greg Walton,
Food and Nutrition Service, Financial Management, 1320 Braddock Place.,
Alexandria VA 22314
Email: Greg.Walton@fns.usda.gov
Phone: 7033051575
Fax: 7033050363

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.594 Food Distribution Program on Indian Reservations Nutrition Education Grants

FDPNE Grants,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Food and Nutrition Act of 2008, as amended through Public Law 115-334, authorizes the Supplemental Nutrition Assistance Program (SNAP) and the Food Distribution Program on Indian Reservations (FDPIR) and provides for the provision of funds to State Agencies and Indian Tribal Organizations (ITOs) to conduct nutrition education activities. Since 2008, annual appropriations acts have provided funding for nutrition education services to State Agencies and ITOs participating in FDPIR. The need for FDPNE is determined annually by Congress. The Consolidated Appropriations Act, 2021 specifically funded FDPNE in FY21., .

Public Law -

OBJECTIVES:

The Food Distribution Program Nutrition Education (FDPNE) grant offers funding totaling approximately \$1 million annually to Indian Tribal Organizations (ITOs) or State Distributing Agencies (SDAs) that administer the Food Distribution Program on Indian Reservations (FDPIR), a nutrition assistance program. Awards are determined on a competitive basis. The most current guidance for the Supplemental Nutrition Assistance Program Education (SNAP-Ed) serves as the model for FDPNE. The goal of FDPNE is to enhance the nutrition knowledge of FDPIR participants. ITO and SDA administering agencies may apply for a grant as an individual agency or as a consortium of ITOs or SDAs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The funds may only be used to provide nutrition education to FDPIR and FDPIR-eligible participants.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); A current FDPIR allowance holder must submit the application. Applicants must propose projects that result in the delivery of nutrition education activities to FDPIR participants.

Beneficiary Eligibility:

Individual/Family; Low Income; Federally Recognized Indian Tribal Governments; Applicant ITO/SDA administrators must provide services to FDPIR participants or FDPIR-eligible participants only.

Credentials/Documentation:

ITOs and SDAs must comply with the codified requirements at 2 CFR 200 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Those who apply for this grant must submit grant forms and documents required by the Request for Applications and signed by the Authorized Representative of the organization. A copy of FNS-74 Federal State agreement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the application procedures, including the submission of all forms and content, required by the Request for Applications. Applicants must use the required format for application content that is documented in the Request for Applications.

Award Procedure:

FDPIR administering agencies submit applications in response to a Request for Applications that is released annually. USDA manages the review, evaluation, and approval of applications. Applications document nutrition education activities such as nutrition education classes, nutrition education materials, or garden-based nutrition education for provision to eligible FDPIR households. Applications are submitted to the Grants.gov website by the designated application submission date.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Applicants for awards must submit applications by the designated dates documented in the Request for Applications.

Appeals:

From 15 to 30 days.

Renewals:

Not Applicable. ITOs and SDAs must apply for funding each year to receive a competitive award. The funding performance period is one year.

Formula and Matching Requirements:

Statutory Formula: Title Uniform Administrative Requirements, Cost Principles,

ASSISTANCE LISTINGS DESCRIPTIONS

and Audit Requirements for Federal Awards, Chapter Title 2, Part 200, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FDPNE grant funding expires at the end of a one-year performance period. Method of awarding/releasing assistance: letter

Reports:

A final report, documenting successes and completion of goals and approved activities, is submitted by 90 days after the end of the performance period. The Award Terms and Conditions provide information on the requirements for submitting the final report. A required semi-annual progress report, describing progress towards completing goals and approved activities, is submitted after the second quarter of the performance period. The Award Terms and Conditions provide information on the submission date and content required for the report. Form SF-425, Federal Financial Report, captures, on a quarterly basis, Federal funding authorized, obligated, and obligated but not liquidated. This form also captures non-Federal funds used for the program. Awardees submit quarterly Federal Financial Reports during the performance period and a final Federal Financial Report by 90 days after the performance period ends.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Each funded ITO and SDA must maintain accurate and complete program records and reports for 3 years following the close of the performance period fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

Project Grants FY 20 \$985,560.00; FY 21 est \$992,960.00; FY 22 est \$997,999.00;

Range and Average of Financial Assistance:

Awards range from \$9,000 to \$214,000.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 7 CFR Part 253; 7 CFR Part 254; 7 CFR Part 250; FNS Handbook 501, and specifics found in the Request for Applications.

Regional or Local Office:

See Regional Assistance Locations. See Food and Nutrition Service Regional Offices by clicking on "Regional Agency Offices" at the top of this page.

Headquarters Office:

Food Safety Specialist Katie Del Rosario,

3101 Park Center Drive,

Alexandria VA 22305

Email: katie.delrosario@usda.gov

Phone: 7033051126

Fax:

Website Address:

<https://www.fns.usda.gov/fdpi/fdpi-nutrition-education-grant-awards>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.567 Food Distribution

Program on Indian Reservations;

EXAMPLES OF FUNDED PROJECTS:

2020 <https://fns-prod.azureedge.net/sites/default/files/resource-files/FY20%20FDPNE%20Project%20Summaries.pdf>

CRITERIA FOR SELECTING PROPOSALS:

Applicants submit applications that are responsive to the requirements documented in the Request for Applications. Applications document nutrition project goals that reflect the principles found in the most recent versions of the Dietary Guidelines for Americans and MyPlate. Project designs, implementation plans, and budget requests must reflect the goal(s) selected. Panels of reviewers evaluate and score application components such as project designs, implementation plans, budget appropriateness, and cultural relevance. USDA awards funding based on the panels scores and recommendations.

10.596 Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP

SNAP Employment and Training Pilots,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The Agricultural Act of 2014, Section 4022, Public Law 113-79, 7 USC Code 2025(h)(1)(F).

OBJECTIVES:

Pilot projects to reduce dependency and increase work requirements and work effort under SNAP: The purpose of these pilots is to develop and test methods that raise the number of work registrants under section 6(d) of the Food and Nutrition Act of 2008, as amended, who obtain unsubsidized employment, increase the earned income of the registrants, and reduce the reliance of the registrants on public assistance, so as to reduce the need for SNAP benefits.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Act provides funding for ten pilot projects that develop and test methods, including operating work programs with certain features comparable to work programs operated under the TANF program, for employment and training programs to raise the number of SNAP work registrants who obtain unsubsidized employment, increase the earned income of the registrants, and reduce the reliance of the registrants on public assistance. The Act requires an independent, rigorous evaluation to measure the impact of each pilot on participants employment, income, and other measures of well-being to inform implementation of SNAP E&T programs. In accordance with section 16(h)(4) of the FNA and 7 CFR 273.7(d)(ii), E&T funding, including E&T pilot funds, cannot be used for certification procedures, including determining whether an individual must be work registered, the work registration process, or any further screening performed during the certification process, nor for sanction activities. Allowable use of funds includes, but is not limited to, personnel costs; training; translation of forms; other supplies; printing, duplication, and dissemination of materials; and technology (both hardware and software) necessary and allocable for operating the pilot project and/or participating in the evaluation of the pilot project.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Only State agencies that currently administer the Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) program are eligible for these grants.

Beneficiary Eligibility:

U.S. Territories; State; Only State agencies that currently administer the Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) program are eligible for these grants.

Credentials/Documentation:

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The Act requires that State agencies interested in applying must commit to maintain at least the amount of State funding for SNAP E&T programs that they expended in FY 2013 for each year of the pilot. The Act also requires that funds made available through this funding opportunity shall be used only to supplement, not to supplant, non-Federal funds used for existing E&T activities or services. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

FNS pre-screened all applications to ensure that they contained the required documents and information. After the initial screening, FNS convened an evaluation panel to consider the merit of each grant application. Each application that passed initial screening was given to the panel to be evaluated and scored according to how well it addresses each application component. The panel members recommended applications for consideration for a grant award based on the evaluation scoring.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is a time restriction; money will no longer be available after 09/30/2018.

Method of awarding/releasing assistance: other

Reports:

At a minimum, progress reports should include the following information: A narrative description of project progress, tasks completed, and roadblocks or problems; Reasons why goals and objectives were not met, if appropriate, particularly at predefined go/no-go decision points, and justification of decision to proceed; Discuss the budget impact and/or costs associated within this reporting period; List key activities planned for the next report period; Findings or activities which may require changes in schedule, accomplishments, or costs, particularly those changes which may impact the Recipients ability to utilize grant funds within the specified time period; Other pertinent information including, when appropriate, analysis and explanation of cost overruns; and Any unique aspects that you would like to share. The Recipient is required to electronically enter the quarterly and final financial status report (SF-425) into the FNS, Food Programs Reporting System (FPRS). This report must be certified by the Recipients chief fiscal officer or an officer of comparable rank. Included with the terms and conditions are instructions on how to obtain access and enter data into FPRS. This report must be entered within 30 days after the close of each quarter. The Final Financial Report must be entered into FPRS within 90 days of the expiration of this agreement. This report must also be certified by the Recipients chief fiscal officer or an officer of comparable rank.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

12-3505-0-1-605.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The range is \$8,959,379 to \$22,329,952. The grant average is approximately \$17,509,239.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 273.7 Additional publications may be available at:

<http://www.fns.usda.gov/2014-snap-e-t-pilots>

Regional or Local Office:

Headquarters Office:

Moira Johnston,
3101 Park Center Dr, 8th floor,
Alexandria VA 22303
Email: moira.johnston@fns.usda.gov
Phone: 703-305-2022

Website Address:

<http://www.fns.usda.gov/snap>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Statement of Need; (2) Project Design; (3) Implementation Plan and Organizational Capacity; (4) Capacity to Support Independent Evaluation; and (5) Application Budget Narrative.

10.597 School Wellness Policy Cooperative Agreement

Local Wellness Policy Grant,

FEDERAL AGENCY:

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Section 204 of the Healthy, Hunger-Free Kids Act of 2010 added Section 9A to the Richard B. Russell National School Lunch Act (NSLA) (42 U.S.C. 1758b), Local School Wellness Policy Implementation, in order to strengthen wellness policies of LEAs by placing greater emphasis on implementation and evaluation efforts.,

OBJECTIVES:

The Local Wellness Policy Surveillance System allows us to monitor, collect, and analyze state law and local district policy data and their effects on the implementation of NSLA legislation and rules and student health outcomes. Through this competitive cooperative agreement, the University of Illinois has developed a national-level surveillance system that addresses the previous gaps in surveillance by federally and non-federally funded systems and surveys. Three major federal-level surveillance systems and surveys were in place at the time this agreement was awarded; each was expected to continue for at least another 2-3 years, if not indefinitely. Each of these surveillance systems and surveys is funded by a government agency, and each collects detailed data on the breadth and depth of existing state laws, district policies, and related school practices; the implementation of said laws, policies, and practices; and their effects on student health outcomes. The next section describes the strengths, primary areas of focus, periodicity, and other key characteristics for each of three major national surveillance systems and surveys. In so doing, it also highlights important gaps in what they cover, individually and collectively, and areas where linkage with other relevant surveys or research efforts is able to provide a more detailed picture of school wellness policies and their effects. 1. School Nutrition and Meal Cost Study/School Nutrition Dietary Assessment The School Nutrition Dietary Assessment (SNDA) is administered by the USDAs Food and Nutrition Service

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(FNS) every 5 years and collects and analyzes nationally representative data on school meals and the school meal environment (including competitive foods) at the district- and school-levels, with individual-level dietary information collected every 10 years. SNDA-V was administered as the School Nutrition and Meal Cost Study (SNMCS) in SY 2014-2015 and includes SNDA-type meal assessment data as well as data on meal cost, dietary recall, plate waste, and meals compliance with standards. SNDA/SNMCS is the only assessment that examines specifically the nutritional quality of school meals, how and if those meals are meeting the new standards, and meal cost. Examining SNDA/SNMCS data together with state law and district policy data, which SNDA does not currently collect, has the potential to demonstrate how the laws and policies at the state- and district-level are being implemented in, or affecting, lunchrooms (with regard to meal planning and meal environment) and students (with regard to meal cost and diet).

2. Classification of Laws Associated with School Students
The National Cancer Institutes Classification of Laws Associated with School Students (C.L.A.S.S.) is a policy classification system that can be used to evaluate state-level codified laws for nutrition and physical education in schools across all 50 states and the District of Columbia by grade level. C.L.A.S.S. uses state law as the data source and scores the concordance between state laws and national school wellness policy mandates. C.L.A.S.S. data are publicly available for use by researchers, policy makers, and school administrators to obtain information on state laws associated with childhood obesity, track policy changes over time, test relationships between law and behavior, associate C.L.A.S.S. scores with state- and school district-policies, and link to information about obesity and other cancer-related behaviors. C.L.A.S.S. also offers two data visualization tools: (1) a map function that depicts the strength of specific policy areas by grade level across states, and (2) a profile function that depicts policy scores across grade levels within each state by year. While C.L.A.S.S. is the only classification system that objectively scores state laws on nutritional and physical activity, it does not identify specific elements of the state laws. Therefore, additional, complementary research is required to parse out and examine relationships between individual policy components (e.g., addressing sugar or fat content in school foods) and related school practices and student outcomes (e.g., diet, physical activity, BMI).

3. School Health Policies and Practices Study
The Centers for Disease Control and Prevention's School Health Policies and Practices Study (SHPPS) is a national, comprehensive survey conducted to assess school wellness at the state-, district-, school-, and classroom-levels. SHPPS surveys cover health education, physical education, health services, mental health and social services, nutrition services, healthy and safe school environments, and faculty and staff health promotion. Due to the comprehensive design of SHPPS, wellness policy data may be linked with other school health data. SHPPS data are collected every two years, alternating school- and classroom-level data collection with district-level data collection. In 2014, SHPPS collected school- and classroom-level data, and in the following cycle (2016) it collected district-level data. SHPPS is unique in monitoring both school health policies and school wellness policies, which is essential for linking LWPs to student health outcomes such as services received, safety, and environments. The multi-level aspect of SHPPS assessments presents the opportunity for linking this data with state law data in order to show the effects of state laws on LWPs, and the effects of LWPs on overall health. However, SHPPS data are not collected annually. Great progress has been made in adopting school wellness policies and in developing surveillance systems to monitor their implementation and impact. Yet continued progress in school wellness, school wellness policy development, and positive impacts requires surveillance that provides a fuller understanding of the strengths and limitations of current policies, and that chart the course for evidence-based improvements. This competitive cooperative agreement provides a national-level surveillance system that addresses the most important gaps in the coverage of the existing school wellness policy surveillance systems and surveys by: - linking enacted school district wellness policy and related state law data with data being collected through other existing surveillance systems (e.g., SNDA, CLASS, SHPPS) in 2014-2015;- conducting a nationwide evaluation of the scope of on-the-books (enacted) school district wellness policies and related state laws;- collecting and analyzing qualitative data that can explore the implementation of and compliance with NSLA policies across various stakeholders (e.g., administrators, school food authorities, parents, children, etc.); and- developing and disseminating timely policy briefs, fact sheets, and other translation products (e.g., infographics) to inform the USDA, Congress, and the research field of key school wellness policy surveillance results and gaps as well as to produce papers for peer-reviewed publication.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds can be used for an implementation study around school wellness policies (see RFA for more details).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Blank

Beneficiary Eligibility:

Local; School; Blank

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

FNS will pre-screen all applications to ensure that they contain the required documents and information. Application will need to be submitted by eligible applicants, meet all other eligibility requirements stated in this RFA, submitted on or before the required deadlines, are completed and are in the required format. If an application does not include all appropriate information, FNS will consider the application to be non-responsive and will eliminate it from further evaluation. Following the initial screening process, FNS will assemble a peer panel group to review and determine the technical merits of each application. The peer panel will evaluate the proposals based on how well they address the required application components. The peer panel members will recommend applications for consideration for a grant award based on the evaluation scoring. The selecting official reserves the right to award a grant to meet agency priorities, program balance, geographical representation, or project diversity. FNS reserves the right to use this solicitation and competition to award additional grants in future fiscal years should additional funds be made available through future appropriations.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The total time period for which a grant or cooperative agreement is awarded may not exceed 60 months. See the following for information on how assistance is awarded/released: Funding will be made to successful recipients as specified in the terms of the grant or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Method of awarding/releasing assistance: quarterly

Reports:

Throughout the grant period and upon its completion, deliverables will be submitted to USDA and/or published with the aims of disseminating research findings (i.e., wellness policy surveillance results and gaps), informing policy development and evidence-based improvements, and serving as tools for practitioners, advocates, and school administrators. A broad range of dissemination methods will be employed to communicate research findings to both targeted

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school wellness stakeholder groups and the public at large including, but not necessarily limited to: articles in BTG, AFHK, and Rudd newsletters; descriptive reports; research briefs; info graphics; webinars; email blasts; social media (e.g., Twitter); postings to the BTG, AFHK, and Rudd websites; conference presentations; and scientific journal publications. For internal use informational purposes, FNS shall be provided an electronic copy of all manuscripts resulting from this grant at the time of submission for publication and in final form when published. The recipient will be responsible for managing and monitoring the progress of the grant project activities and performance. The award document will indicate the reporting schedule for submitting project performance/progress reports to FNS. Any additional reporting requirements will be identified in the award terms and conditions. Progress reports must be sent to the Agency 30 days following the end of each quarterly period. Progress reports should include information such as: a narrative description of project progress, tasks completed, and roadblocks or problems; reasons why goals and objectives were not met; budget impact and/or costs associated within this reporting period; key activities planned for the next report period; and findings or activities which may require changes in schedule, accomplishments, or costs. A final report identifying the accomplishments of the project is due 90 days after the end date of the grant agreement. The award recipient will be required to enter the SF-425, Financial Status Report data into the FNS Food Program Reporting System (FPRS) on a quarterly basis and submit a Final Financial Report within 90 days after the end of the grant agreement. In order to access FPRS, the grant recipient must obtain USDA e-authentication certification and access to FPRS. More detailed instructions for reporting will be included in the FNS Federal financial assistance award package. See RFA

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records (financial/administrative/grant) are to be maintained according to the time requirements specified in the terms of the grant, or cooperative agreement with Food and Nutrition Service or as applicable under federal regulation requirements. Grantee shall provide quarterly and final reports as outlined in section 111. Grantee must account for project funds separately from federal food nutrition assistance administrative funds and establish financial and management reporting and controls to assure that project funds are not commingled or used inappropriately. A separate and distinct audit trail must be established and maintained for the expenditure of project funds that clearly demonstrates that they are used solely for project purposes. Grantee must provide FNS with any data files created and used to generate reports, presentations, and publications resulting from this grant. Such data shall be accompanied with analytic code, output files, and codebooks and other applicable documentation sufficient for FNS to replicate the analyses. For internal use and informational purposes, ensure Grantee and sub-contractors provide FNS with an electronic copy of all manuscripts resulting from their sub-grants at the time of submission for publication and in final form when published. Equipment records must be maintained that include the description of the equipment, the serial number or other identification number, the source of equipment, the title holder, the acquisition date, the cost of the equipment, the location, use, and condition of the equipment, and any ultimate disposition data including the date of disposal and the sale price of the equipment. A physical inventory of the equipment must be taken and the results reconciled with the equipment records at least once every two years. The Recipient will share the results of this inventory. A Tangible Personal Property Report, SF-428, must be submitted at award close-out to report the status of the equipment, if requested. Evaluation and Access to Records (2 CFR 200.336): The Recipient will cooperate with any evaluation of the program by providing the Agency requested data and access to records. The Recipient will cooperate with any, as needed, on site financial and/or technical reviews and audits at any time during the term of the agreement. In addition, the Grantee shall make all records pertaining to activities under the grant available for audit purposes. The Recipient will require any sub-recipient or contractors to comply with the requirements of this agreement and ensure that the Agency has access to any sub-recipient or contractors for purposes of evaluating, monitoring or reviewing other operations or records as they relate to this grant. When entering into a sub-award, the Recipient shall ensure that the sub-

recipient agreement contains any clause required by Federal Statute or Executive Order and their implementing regulations.

Account Identification:

12-3539-0-1-605.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Award amount = \$1,699,985

TAFS Codes:

12-3539.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Social Science Research Analyst Holly Figueroa,
1320 Braddock Place,
Alexandria VA 22314
Email: Holly.Figueroa@fns.usda.gov
Phone: 7033052105

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Scoring for Grant Applications Need, Readiness and Likelihood of Success 25 points The applicant is appropriate for the grant, i.e. the applicant can demonstrate support and readiness for starting (or adapting and continuing) a school wellness policy surveillance system. Alignment with School Wellness Policy Surveillance System Program Goals 25 points The project goals and objectives are in line with the School Wellness Policy Surveillance System Cooperative Agreement focus areas and purpose of the funding described in this RFA. Project Design and Management 30 points The qualifications of the staff involved with the proposed project and/or organizational leadership reflect the expertise necessary to carry out the proposed project and reporting requirements. (Resumes of key staff are required). The management approach indicates that the applicant has the capacity to manage and execute the planning project successfully to meet the goals of the project. Sustainability and Transferability 10 points Budget Plan 10 points The total funding amount requested is appropriate for the scope of the project. Project costs are reasonable, necessary and allocable to carry out the projects goals and objectives.

10.600 Foreign Market Development Cooperator Program

FMD,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

7 USC Code 5623(c).

OBJECTIVES:

To provide cost-share assistance to nonprofit commodity and agricultural trade associations to maintain and develop foreign markets for United States agricultural commodities and products.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Formula Grants (Apportionments)

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

Cooperator funds are authorized through program agreements with nonprofit U.S. trade associations, called Cooperators, that provide for partial reimbursement of generic promotional activities in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency that administers the program for the Commodity Credit Corporation (CCC). In general, Cooperator funds may be used only in direct support of activities conducted outside the United States. Types of activities that may be funded include trade servicing, market research, and technical assistance to actual or potential foreign purchasers of U.S. commodities.

Applicant Eligibility:

Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); The Commodity Credit Corporation (CCC) enters into agreements with those nonprofit U.S. trade organizations that have the broadest possible producer representation of the commodity being promoted. To be approved, an applicant's proposal must indicate how it can effectively contribute to the creation, expansion, or maintenance of markets abroad. FAS considers a number of factors when reviewing proposed projects. These factors include: (1) The applicant's willingness to contribute resources including cash and goods and services of the U.S. industry and foreign third party; (2) the ability of the organization to provide an experienced U.S.-based staff and with technical and international trade expertise to ensure adequate development, supervision, and execution of the proposed project; (3) the degree to which the proposed project is likely to contribute to the development, expansion, or maintenance of foreign markets; and (4) the degree to which the strategic plan is coordinated with other private or U.S. government-funded market development projects.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Preference is given to nonprofit U.S. trade organizations which are nationwide in membership and scope.

Credentials/Documentation:

U.S. applicants must prove the ability to provide a competent experienced staff and other resources to assure adequate development, supervision, and execution of promotional activities. Applicants must submit a written export strategy that provides a brief discussion of the commodity for which an agreement is being requested and a description of proposed activities including the foreign countries in which they will be conducted. Applicants must also submit their Internal Revenue Service tax exempt identification number, a Dun and Bradstreet Data Universal Numbering System (DUNS) number, a description of the membership of the applicant organization, a description of the organization's management and administrative capability, the degree to which membership represents national production, an estimate of the level of Government funds required, and the extent to which industry resources are available to match Government expenditures. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Office of International Programs and on the USDA ezFedGrants portal at <https://grants.fms.usda.gov> Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals

Deadlines:

Deadlines will appear in the Notice of Funding Opportunity when posted.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days. For renewals, new NOFOs will be posted on grants.gov Extensions must be requested at least 45 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to two year periods of performance Method of awarding/releasing assistance: other

Reports:

Trip reports, research reports, and independent audit reports. Please see the NOFO for Progress Reporting due dates or frequency. Please see the NOFO for due dates or reporting frequency on the SF- 425 Cooperators must provide an annual program evaluation that measures and reports progress against the defined performance measures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Cooperator accounts are reviewed periodically, but normally at least every two years, by representatives of the Compliance Review Staff, FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-4336-0-3-999.

Obligations:

Formula Grants (Apportionments) FY 20 \$34,500,000.00; FY 21 est \$34,500,000.00; FY 22 est \$34,500,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1484.

Regional or Local Office:

Headquarters Office:

Director, Program Operations Division Curt Alt,
1400 Independence Ave SW,
Washington DC 20250
Email: curt.alt@fas.usda.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 202-720-4327

Website Address:

<http://www.fas.usda.gov/programs/foreign-market-development-program-fmd>

RELATED PROGRAMS:

10.601 Market Access Program;

EXAMPLES OF FUNDED PROJECTS:

2020 \$0 2021 FY21 - \$1,000,000.00

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.601 Market Access Program

MAP,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

7 USC Code 5623(b).

OBJECTIVES:

To encourage the development, maintenance, and expansion of commercial export markets for U.S. agricultural commodities and products by reimbursing participating organizations for a portion of the costs of carrying out overseas marketing and promotional activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Market Access Program (MAP) funds are authorized through program agreements that provide for partial reimbursement of eligible promotional expenses in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency that administers the program for the Commodity Credit Corporation (CCC). MAP participants may receive assistance for either generic or brand promotion activities. Program funds help finance activities such as consumer advertising, point of sale demonstrations, public relations, trade servicing activities, participation in trade fairs and exhibits, market research, and technical assistance.

Applicant Eligibility:

Federal; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; To be approved, applicants must be: (1) A nonprofit U.S. agricultural trade organization; (2) a nonprofit State regional trade group; (3) a U.S. agricultural cooperative; or (4) a State agency.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; CCC will enter into MAP agreements only where the eligible agricultural commodity is comprised of at least 50 percent U.S. origin content by weight, exclusive of added water.

Credentials/Documentation:

Applicants are required to provide a competent, experienced staff and other resources to assure adequate development, supervision, and execution of promotion activities. All applicants must submit a written proposal that provides a brief discussion of the commodity for which assistance is requested; the proposed program with a justification; and a strategic plan. In addition, all applicants must submit a statement certifying that any CCC resources received will supplement, but not supplant, any private or third party funds or other contributions to program activities. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372,

"Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application procedures are detailed in the annual NOFOs published to Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals

Deadlines:

Deadlines will appear in the annual Notice of Funding Opportunity posted to Grants.gov.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Extensions are generally not granted, but must be requested at least 45 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is provided for a single year. Method of awarding/releasing assistance: other

Reports:

Trip reports, research reports, and other program reports. Please see the NOFO for Progress Reporting due dates or frequency. Please see the NOFO for due dates or reporting frequency on the SF- 425 All MAP Participants must report annual results against their target market and/or regional constraints/opportunity performance measures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Participant accounts are reviewed as needed, but normally at least every two years, by representatives of the Compliance and Security Division (CSD) of FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and the Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

Grant records shall be retained for a period of 5 years from the day the recipient submits final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 5-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 5-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-4336-0-3-999.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Formula Grants (Cooperative Agreements) FY 20 \$200,000,000.00; FY 21 est \$200,000,000.00; FY 22 est \$200,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1485.

Regional or Local Office:

None.

Headquarters Office:

Director, Program Operations Division Curt Alt,
1400 Independence Ave SW,

Washington DC 20250

Email: curt.alt@usda.gov

Phone: 2026904784

Fax:

Website Address:

<http://www.fas.usda.gov/programs/market-access-program-map>

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposal, interested parties are welcome to submit statements of capability and expressions of interest.

10.603 Emerging Markets Program

EMP,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The Emerging Markets Program is authorized by the Food, Agriculture, Conservation, and Trade Act of 1990 (FACT Act), as amended., Title 7, Part A, Section 5623(d)

OBJECTIVES:

The primary objective of the Emerging Markets Program is to promote, enhance, or expand the exports of U.S. agricultural commodities to overseas emerging markets through cost-share assistance to eligible organizations that implement an Emerging Markets Program. The program supports the activities of U.S. agricultural organizations aimed at enhancing the food and rural business systems of eligible emerging markets.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Emerging Markets Program funds are authorized through project agreements that serve as binding instruments and create a legal obligation on the part of the Commodity Credit Corporation (CCC) to make appropriated funds available to the participant. The agreement creates a cooperative relationship between CCC and the implementor with each side contributing resources to support achievement of mutual goals. Program funds help finance activities such as feasibility studies, market research, sectorial assessments, orientation visits, specialized training, and business workshops. The Program is not intended for projects targeted at end-user consumers. Ineligible activities include in-store promotions, restaurant promotions,

branded product promotions, administrative and operational expenses for trade shows and advertising, except in connection with specific technical assistance activities such as training seminars.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be a: (1) U. S. agricultural or agribusiness organization -- nonprofit, trade association, university, consultant group (under certain conditions), (2) State Department of Agriculture, or (3) USDA agency (or other Federal agency involved in agricultural issues) or commercial entities.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; All EMP projects must fall into at least one of the following four categories: (a) Market Assessments: Assistance to teams (consisting primarily of agricultural consultants, agricultural producers, other persons from the private sector, and government officials expert in assessing the food and rural business systems of other countries) to enable those teams to make assessments of the food and rural business systems needs of the target market. This type of EMP project must accomplish all three of the following elements: 1. Conduct an assessment of the food and rural business system needs of an emerging market; 2. Make recommendations on measures necessary to enhance the effectiveness of those systems, including potential reductions in trade barriers; and 3. Identify opportunities and projects to enhance the effectiveness of the emerging markets food and rural business systems. To be eligible, such proposals must clearly demonstrate that experts are primarily agricultural consultants, agricultural producers, other persons from the private sector, or government officials and that they have expertise in assessing the food and rural business systems of other countries. (b) Subsistence Travel to Emerging Markets: Assistance to enable United States food and rural business system experts, including United States agricultural producers and other United States individuals knowledgeable in agricultural and agribusiness matters, to assist in transferring knowledge and expertise to entities from emerging markets. (c) Subsistence Travel to the United States: Assistance to enable individuals designated by emerging markets to consult with such United States experts to enhance the food and rural business systems of such emerging markets and to transfer knowledge and expertise to such emerging markets. (d) Technical Training: Technical assistance to enable individuals or other entities to carry out recommendations, projects, and opportunities in emerging markets. Proposals that do not fall into one or more of the four categories above, regardless of previous guidance provided regarding the EMP, are not eligible for consideration under the program.

Credentials/Documentation:

All eligible applicants must submit a written proposal in accordance with the guidelines set forth each year in the Federal Register. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Deadlines will appear in the annual Notice of Funding Opportunity posted to Grants.gov.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days. Extensions must be requested at least 45 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. While there is no minimum or maximum amount of cost share, the degree of commitment to a proposed project, represented by the amount and type of private funding, is one factor used in determining which proposals will be approved for funding. Proposals for which private industry is willing to commit cash, rather than in-kind contributions such as staff resources, will be given priority consideration. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will generally be granted for a project of up to three years. FAS will entertain requests to extend an agreement's expiration date up to a maximum of five years. Any requests must include a justification for why the extension is necessary and how the extension would effectively support the program's purpose. Method of awarding/releasing assistance: other

Reports:

Interim (annual) and final reports are required. Please see the NOFO for Progress Reporting due dates or frequency. Full cost accounting is required in the final report. Interim (annual) and final reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-4336-0-3-999.

Obligations:

Project Grants FY 20 \$8,000,000.00; FY 21 est \$8,000,000.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

Generally grants are for small, focused projects ranging from \$1,000 up to \$500,000.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are at 7 CFR PART 1486

Regional or Local Office:

None.

Headquarters Office:

Program Manager Benjamin Chan,

1400 Independence Ave, SW,
Washington DC 20250
Email: benjamin.chan@usda.gov
Phone: 2027208877

Fax:

Website Address:

<http://www.fas.usda.gov/programs/emerging-markets-program-emp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will appear in the annual Notice of Funding Opportunity posted to Grants.gov.

10.604 Technical Assistance for Specialty Crops Program

TASC,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The Technical Assistance for Specialty Crops Program is reauthorized by Section 3203 of the Food, Conservation, and Energy Act of 2008, as amended., Title 7, Part A, Section 5623(e)

OBJECTIVES:

The TASC program is designed to assist U.S. organizations by providing funding for projects that seek to remove, resolve, or mitigate existing or potential sanitary, phytosanitary, or technical barriers that prohibit or threaten the export of U.S. specialty crops. The TASC program is administered by personnel of the Foreign Agricultural Service (FAS) on behalf of the Commodity Credit Corporation.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Technical Assistance for Specialty Crops Program funds are authorized through project agreements that include the maximum amount that may be reimbursed and identify terms and conditions pursuant to which the Commodity Credit Corporation (CCC) will reimburse costs. The agreements also outline any specific responsibilities of the participant. Types of activities that may be funded under this program include initial pre-clearance programs, export protocol and work plan support, seminars and workshops, study tours, field surveys, development of pest lists, pest and disease research, database development, reasonable logistical and administrative support, travel and per diem expenses.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); To be approved, an applicant must be a: (1) U.S. government agency; (2) U.S. State government agency; (3) U.S. non-profit trade association; (4) U.S. university; (5) U.S. agricultural cooperative; (6) U.S. private company or (7) any other U.S. organization.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; State; Public nonprofit institution/organization; The Technical Assistance for Specialty Crops Program is intended to benefit the represented U.S. industry rather than a specific company or brand.

Credentials/Documentation:

Applicants must submit a written proposal which includes, but is not limited to, the following: a brief discussion of the commodity for which funding is requested; a market assessment, including a description of the specific export barrier to be

ASSISTANCE LISTINGS DESCRIPTIONS

addressed; a description of the activities planned to address the export barrier; and export information that includes performance measures, benchmark performance, and the viability of long-term sales to the market. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at:<https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Deadlines will appear in the annual Notice of Funding Opportunity posted to Grants.gov.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days. Extension requests must be received at least 45 days before the expiration date of the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will generally be granted for a project period not exceeding five calendar years. However, FAS will entertain requests to continue activities and extend an agreements activity completion date beyond five calendar years. Any requests to extend an agreements completion date beyond five calendar years must include a justification for why the extension is necessary and how the extension would effectively support the programs purpose. Method of awarding/releasing assistance: other

Reports:

Interim and final financial reports. Interim and final performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-4336-0-3-999.

Obligations:

Project Grants FY 20 \$9,000,000.00; FY 21 est \$9,000,000.00; FY 22 est \$9,000,000.00;

Range and Average of Financial Assistance:

Projects funded on a project by project basis for up to \$500,000 per year.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1487.

Regional or Local Office:

None.

Headquarters Office:

Richard Chavez - Program Manager,
1400 Independence Ave, SW Washington, DC 20050,
Washington DC 20050

Email: Richard.Chavez@fas.usda.gov

Phone: 202-401-0100

Fax:

Website Address:

<http://www.fas.usda.gov/programs/technical-assistance-specialty-crops-tasc>

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program; 10.601 Market Access Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals will be listed in the annual Notice of Funding Availability posted to Grants.gov.

10.605 Quality Samples Program

QSP.

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

The Quality Samples Program is authorized by Section 5(f) of the Commodity Credit Corporation (CCC) Charter Act, 15 U.S.C. 714c(f).

OBJECTIVES:

The Quality Samples Program is designed to encourage the development and expansion of export markets for U.S. agricultural commodities by assisting U.S. entities in providing commodity samples to potential foreign importers to promote a better understanding and appreciation for the high quality of U.S. agricultural commodities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Quality Samples Program funds are authorized through project agreements that include the maximum amount that may be reimbursed and identify terms and conditions pursuant to which CCC will reimburse costs. Under the QSP, participants may be reimbursed for certain costs of purchasing and transporting commodity samples. Although providing technical assistance is required for all projects, costs of providing the actual technical assistance is not reimbursed under the QSP.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals);

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Other private institutions/organizations; To be approved, an applicant must be a U.S. private or government entity.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; The Quality Samples Program is intended to benefit a represented U.S. industry rather than a specific company or brand.

Credentials/Documentation:

Applicants must submit a written proposal which includes, but is not limited to, the following: a description of the organization and its membership; a description of the organization's prior export promotion experience; a description of the organization's experience in implementing an appropriate trade/technical assistance component; an assessment of the market; a long-term strategy in the market; amount of funding requested; a brief description of the specific market development trade constraint or opportunity to be addressed by the project; a sample description; and the importer's role in the project regarding handling and processing the commodity samples. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at:<https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Deadlines will appear in the annual Notice of Funding Opportunity posted to Grants.gov.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days. Extension requests must be received at least 45 days before the agreement expiration date.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Although highly encouraged, financial and in-kind support from the participant is not required. Such contributions may be in the form of cash or goods or services. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month promotional effort and a requirement for program evaluation. Funds awarded in any given fiscal year may be made available for a longer period. Method of awarding/releasing assistance: other

Reports:

Participants are required to submit progress and final reports. Please see the NOFO for due dates or reporting frequency on the SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-4336-0-3-999.

Obligations:

Project Grants FY 20 \$2,500,000.00; FY 21 est \$2,500,000.00; FY 22 est \$2,500,000.00;

Range and Average of Financial Assistance:

range \$15,000 to \$75,000

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Vincent Cornetto - Program Manager,
1400 Independence Ave, SW Washington, DC 20050,
Washington DC 20050
Email: Vincent.Cornetto@fas.usda.gov
Phone: 202-720-7927

Fax:

Website Address:

<http://www.fas.usda.gov/programs/quality-samples-program-qsp>

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program; 10.601 Market Access Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals will appear in the annual Notice of Funding Opportunity posted to Grants.gov.

10.606 Food for Progress

FFPr;

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Food for Progress Act of 1985, Title XI, Part A, Section 1110, Statue 99-1472, Public Law 99-198, 7 USC Code 17360. Title Omnibus Trade and Competitiveness Act of 1988, Part Title IV, Section 4303, Statue 102-1397, Public Law 100-418, Title Food, Agriculture, Conservation, and Trade Act of 1990, Part Title XV, Section 1516, 1572(1), Statue 104-3663, 3702, Public Law 101-624, Title Food, Agriculture, Conservation, and Trade Act Amendments of 1991, Part Title III, Section 335, Statue 105-1859, Public Law 102-237, Title FREEDOM Support Act, Part Title VII, Section 701, Statue 106-3348, Public Law 102-511, Title Federal Agriculture Improvement and Reform Act of 1996, Part Title II,

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Section 227, 265(b), Statute 110-962, Public Law 104-127, Title Farm Security and Rural Investment Act of 2002, Part Title III, Section 3009(b)(2), 3106, Statute 116-281, 291, Public Law 107-171, Title Consolidated Appropriations Resolution, 2003, Part Div. A, Title VII, Section 745, Statute 117-44, Public Law 108-7, Title Food, Conservation, and Energy Act of 2008, Part Title III, Section 3001(b)(1)(A), (2)(F), 3014(b)(2), 3105, Statute 122-1820, 1826, 1833, Public Law 110-246, Title Agricultural Act of 2014, Part Title III, Section 3201, Statute 128-779, Public Law 113-79, Title Agriculture Improvement Act of 2018, Part Title III, Section 3302, Statute 132-4617, Public Law 115-334

OBJECTIVES:

The Food for Progress program provides for the donation of U.S. agricultural commodities to developing countries and emerging democracies that are committed to introducing or expanding free enterprise in their agricultural economies. Donated commodities are sold in the recipient countries and the proceeds of the monetization are used to fund agricultural development activities. Food for Progress has two high-level strategic objectives: (1) increase agricultural productivity and (2) expand trade of agricultural products (domestically, regionally, and internationally).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements), Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

The FFPr program has two principal objectives: To improve agricultural productivity; and To expand trade of agricultural products.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Quasi-public nonprofit institution/organization; An intergovernmental organization, a private voluntary organization, a nonprofit agricultural organization or cooperative, a nongovernmental organization, a college or university in the United States (as defined by the National Agricultural Research, Extension, and Teaching Act of 1977), or any other private entity is eligible to submit an application under this part to become a recipient under the Food for Progress Program. CCC will set forth specific eligibility information, including any factors or priorities that will affect the eligibility of an applicant or application for selection, in the full text of the applicable notice of funding opportunity posted on the U.S. Government web site for grant opportunities.

Beneficiary Eligibility:

Minority group; Farmer/Rancher/Agriculture Producer; Small Business Person; Small business; Land/Property Owner; Rural; State; Public nonprofit institution/organization; Other public institution/organization; The Food for Progress programs focuses on developing countries and emerging democracies that are committed to introducing or expanding free enterprise in their agricultural economies.

Credentials/Documentation:

In determining whether an entity will be eligible to be a participant, FAS may consider the entity's previous compliance or noncompliance with the provisions of 7 CFR 1499. FAS may consider matters such as whether the entity corrected deficiencies in the implementation of an agreement in a timely manner and whether the entity has filed timely and accurate reports and other submissions that are required to be filed with FAS and other agencies of the United States. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive->

[order/12372.html](https://www.archives.gov/federal-register/codification/executive-order/12372.html)) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>This does not apply to Foreign entities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements normally include program implementation periods of 3-5 years.

Method of awarding/releasing assistance: other

Reports:

Please see the NOFO for Progress Reporting due dates or frequency. Please see the NOFO for Progress Reporting due dates or frequency. Please see the NOFO for due dates or reporting frequency on the SF- 425. A baseline study, interim project evaluation and a final project evaluation are required and must be performed by a third party.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-4336-0-3-999.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$176,425,000.00; FY 21 est \$167,000,000.00; FY 22 est \$143,000,000.00;

Range and Average of Financial Assistance:

Food for Progress cooperative agreements generally have 3-5-year implementation periods and awards ranging from \$10-25 million.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Food for Progress regulations are 7 CFR 1499 and contain references to other

ASSISTANCE LISTINGS DESCRIPTIONS

applicable regulations for this program

Regional or Local Office:

None.

Headquarters Office:

Division Director Ingrid Ardjosoeiro,
1400 Independence Ave S.W; Stop 1030,
Washington DC 20250

Email: PPDED@fas.usda.gov

Phone: 202-720-4221

Website Address:

<http://www.fas.usda.gov/programs/food-progress/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposal, interested parties are welcome to submit statements of capability and expressions of interest.

10.608 Food for Education

McGovern-Dole International Food for Education and Children Nutrition Program,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Title III, Part B, Section 3107, Statute 116-295, Public Law 107-171, 7 USC Code 1736o-1. Title Food, Conservation, and Energy Act of 2008, Part Title III, Section 3001(b)(1)(A), (2)(G), 3106, Statute 122-1820, 1833, Public Law 110-246, Title Agricultural Act of 2014, Part Title III, Section 3204, Statute 128-780, Public Law 113-79, Title Agriculture Improvement Act of 2018, Part Title III, Section 3309, Statute 132-4622, Public Law 115-334

OBJECTIVES:

The key objectives of the McGovern-Dole program are to reduce hunger and improve literacy and primary education for school-aged children, especially for girls. By providing school meals, teacher training, and related support, McGovern-Dole projects help boost school enrollment and academic performance. At the same time, nutrition programs are offered for pregnant and lactating women, infants, and pre-school children to sustain and improve their health and learning capacity before they enter school. McGovern-Dole has two high-level strategic objectives: (1) improved literacy of school-aged children and (2) improved health, nutrition and dietary practices.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements), Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

This program provides for donations of U.S. agricultural products, commodities and the provision of financial and technical assistance to improve the education, food security and health of school-age children, especially girls, in developing countries.

Applicant Eligibility:

Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Other public institution/organization; FAS will set forth specific eligibility information, including any factors or priorities that will affect the eligibility of an applicant or application for selection, in the full text of the applicable notice of funding opportunity posted on the U.S. Government web site for grant opportunities.

Beneficiary Eligibility:

School; Child (6-15); Education (0-8); Education (9-12); The McGovern-Dole Program focuses on developing countries in which the national government of the recipient country is fully committed to achieving the goals of the World Declaration on Education for All and is taking steps to improve the quality and availability of education.

Credentials/Documentation:

In determining whether an entity will be eligible to be a participant, FAS may consider the entity's previous compliance or noncompliance with the provisions of 7 CFR 1599. FAS may consider matters such as whether the entity corrected deficiencies in the implementation of an agreement in a timely manner and whether the entity has filed timely and accurate reports and other submissions that are required to be filed with FAS and other agencies of the United States. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted via an online application found at <https://apps.fas.usda.gov/fais/public>. Organizations are required to pre-register for user credentials before applying at this site. The application process is described in 7 CFR 1599.4 Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications are reviewed for completeness and then given to a technical review committee for a competitive review. After FAS approves an applicant's proposal, FAS will develop an agreement in consultation with the applicant. The agreement will set forth the obligations of FAS and the participant. A participant must comply with the terms of the agreement to receive assistance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally 3-5 years in length. Method of awarding/releasing assistance: quarterly

Reports:

Semi-annual program reports are required. Semi-annual performance reports are required. Semi-annual financial reports are required. A baseline, interim evaluation and a final evaluation are required, and must be performed by a third party.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Program participants shall retain records and permit access to records for 3 years after the project end date in accordance with the requirements of 2 CFR 200.333

Account Identification:

12-2903-0-1-151.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Sale, Exchange, or Donation of Property and Goods FY 20 \$215,135,000.00; FY 21 est \$284,678,811.00; FY 22 est \$225,000,000.00;

Range and Average of Financial Assistance:

FAS encourages proposals for 5-year implementation periods, which are expected to range from \$18-30 million.

TAFS Codes:

12-2903.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

McGovern-Dole Program regulations are 7 CFR 1599 and contain references to other applicable regulations for this program.

Regional or Local Office:

None.

Headquarters Office:

Division Director Lindsay Carter,
1400 Independence Ave S.W; Stop 1030,
Washington DC 20250
Email: PPD@fas.usda.gov
Phone: 202-720-4221

Website Address:

<https://www.fas.usda.gov/programs/mcgovern-dole-food-education-program/>

RELATED PROGRAMS:

10.612 USDA Local and Regional Food Aid Procurement Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based upon assessed need, technical and programmatic merit, organizational background, competence and experience, past performance and financial plan. The Notice of Funding Opportunity will describe the specific selection criteria and weighting.

10.610 Export Guarantee Program

The GSM-102 Program,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

OMB 7 CFR 1493, , Executive Order 7 U.S.C. 5602, 5622, 5661, 5662, 5663, 5664, 5676; 15 U.S.C. 714b(d), 714c(f). Executive Order 13175, Title 7, Part 1493, Section A, Agricultural Trade Act of 1978, Title 7, Part 1493, Section A, 15 U.S.C. Code 714.

OBJECTIVES:

The U.S. Department of Agriculture's (USDA) Export Credit guarantee Program (GSM-102) provides credit guarantees to encourage financing of commercial exports of U.S. agricultural products, while providing competitive credit terms to buyers. By reducing financial risk to lenders, credit guarantees encourage exports to buyers in countries, mainly developing countries that have sufficient financial strength to have foreign exchange available for scheduled payments. The program guarantees credit extended by the private banking sector in the U.S. (or, less commonly, by the U.S. exporter) to approved foreign banks using dollar-denominated, irrevocable letters of credit for purchases of U.S. food and agricultural products by foreign buyers. USDA's Foreign Agricultural Service (FAS) administers the program on behalf of the Commodity Credit Corporation (CCC), which issues the credit guarantees. GSM 102 covers credit terms of up to three years; maximum terms may vary by country.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

CCC guarantees payments due from approved foreign banks to exporters or financial institutions in the U.S. However, the financing must be obtained through

normal commercial sources. Typically, 98 percent of principals and a portion of interest are covered by a guarantee. because payment is guaranteed, financial institutions in the U.S. can offer competitive credit terms to the foreign banks, usually with interest rates based on the London Inter Bank Offered Rate (LIBOR). Any follow-up on credit arrangements between the foreign bank and the importer are negotiated separately and are not covered by the CCC guarantee.

Applicant Eligibility:

Sponsored organization; Profit organization; Quasi-public nonprofit institution/organization; Export Credit guarantee Program (GSM-102) provides credit guarantees to encourage financing of commercial exports of U.S. agricultural products, while providing competitive credit terms to buyers. By reducing financial risk to lenders, credit guarantees encourage exports to buyers in countries, mainly developing countries, that have sufficient financial strength to have foreign exchange available for scheduled payments. This Program provides guarantees to lenders.

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Farmer/Rancher/Agriculture Producer; Small Business Person; Federally Recognized Indian Tribal Governments; Exporters or the exporters assignee are the direct beneficiaries and must meet the applicant eligibility requirements. Interested parties, including U.S. exporters, foreign buyers, banks, may request that the CCC establish a GSM-102 program for a country or region. Prior to announcing the availability of guarantees, the CCC evaluates the ability of each country and foreign bank to service CCC guaranteed debt.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. CCC must qualify exporters for participation before accepting guarantee applications. An exporter must have a business office in the U.S. and must not be debarred or suspended from any U.S. government program. Financial institutions must meet established criteria and be approved by CCC. CCC sets limits and advises each approved foreign bank on the maximum amount CCC will guarantee for that bank. The

Award Procedure:

The CCC-approved foreign bank issues a dollar-denominated, irrevocable letter of credit in favor of the U.S. exporter, ordinarily advised or confirmed by the financial institution in the U.S. agreeing to extend credit to the foreign bank. The U.S. exporter may negotiate an arrangement to be paid as exports occur by assigning to the U.S. financial institution to the right to process that may become payable under the CCC's guarantee. Under this arrangement, the exporter would also provide transaction-related documents required by the financial institution, including a copy of the export report, which must also be submitted to CCC.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Please contact the headquarters or regional office for addition approval information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Chapter 14, Part 1493, Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The payment guarantee applies to a credit period established in the application, and coverage begins either on the date(s) of export or the date when interest begins to accrue, whichever is earlier. Coverage will continue during the credit term

ASSISTANCE LISTINGS DESCRIPTIONS

specified in the payment guarantee. However, the payment guarantee does not become effective until the date of export. Method of awarding/releasing assistance: letter

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Recipients under this program are subject to audit by the Office of the Regional Inspector General, U.S. Department of Agriculture.

Records:

For CCC audit purposes, the U.S. exporter must obtain documentation to show that the commodity arrived in the eligible country, and the exporter or the exporter's assignee must maintain all transaction documents for five years from the date of completion of all payments.

Account Identification:

12-1336-0-1-351.

Obligations:

Guaranteed/Insured Loans FY 20 \$2,122,438,324.00; FY 21 est \$2,000,000,000.00; FY 22 est \$705,032,704.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Announcements issued by the Foreign Agricultural Service provide information on specific country and commodity allocations, length of credit period, and other program information and requirements.

Regional or Local Office:

See Regional Assistance Locations. For more information, contact: Director, Credit Programs Division, Office of Trade programs/FAS/USDA, Stop 1025, 1400 Independence Ave SW Washington DC 20250-1025

Headquarters Office:

Juan McCoy,
1400 Independence Avenue SW,
Washington DC 20250
Email: juan.mccoy@usda.gov
Phone: 2027200370
Fax:

Website Address:

<http://www.fas.usda.gov/programs/export-credit-guarantee-program-gsm-102>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.612 USDA Local and Regional Food Aid Procurement Program

Local and Regional Food Aid Procurement Program,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title III, Part C, Section 3206, Statute 122-1840, Public Law 110-246, 7 USC Code 1726c. Title Agricultural Act of 2014, Part Title III, Section 3207, Statute 128-780, Public Law 113-79, Title Agriculture Improvement Act of 2018, Part Title III, Section 3311, Statute 132-

4623, Public Law 115-334

OBJECTIVES:

Under the U.S. Department of Agriculture (USDA) Local and Regional Food Aid Procurement Program (USDA LRP program), recipients use FAS-provided funds to purchase eligible commodities in developing countries and pay for associated administrative and operational costs related to the implementation of field-based projects in a foreign country pursuant to an agreement with FAS. Funds provided by FAS under the USDA LRP program may be used to provide food assistance in the form of development assistance, an emergency response, or both through a field-based project. Field-based projects intended to provide development assistance will be implemented for a period of not less than one year. Food assistance may be provided under the USDA LRP program through local and regional procurement, food vouchers, and cash transfers.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Field-based projects that provide development assistance and emergency relief using locally procured commodities.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private voluntary organizations or cooperatives are eligible to participate. Factors or priorities that affect the eligibility of the applicant/application may be found in the full text of the applicable notice of funding opportunity. Priority consideration may be given to eligible entities that have, or are working toward, projects under the Food for Education (McGovern-Dole International Food for Education and Child Nutrition) Program established under section 3107 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 1736o1)

Beneficiary Eligibility:

School; Education (0-8); State; Public nonprofit institution/organization; Rural; Other public institution/organization; Farmer/Rancher/Agriculture Producer; Food-insecure populations in developing countries are eligible beneficiaries under the USDA LRP Program.

Credentials/Documentation:

A full list of application documentation is provided in 7 CFR Subtitle B (Regulations of the Department of Agriculture) Chapter XV (Foreign Agricultural Service) Part 1590, under Application process. In determining whether an entity will be eligible to be a participant, FAS may consider the entity's previous compliance or noncompliance with the provisions of the applicable regulations for agreements implemented under other USDA food assistance programs as well as the terms and conditions of those agreements. FAS may consider matters such as whether the entity corrected deficiencies in the implementation of an agreement in a timely manner and whether the entity has filed timely and accurate reports and other submissions that are required to be filed with FAS and other agencies of the United States. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted via an online application found at [<https://apps.fas.usda.gov/fais/public>]. Organizations are required to pre-register for user credentials before applying at this site. The application process is described in 7 CFR 1590.4 Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.usda.gov).

Award Procedure:

All applications are reviewed for completeness and then given to a technical review committee for a competitive review. After FAS approves an applicant's proposal, FAS will develop an agreement in consultation with the applicant. The agreement will set forth the obligations of FAS and the participant. A participant must comply with the terms of the agreement to receive assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements normally include assistance or program implementation periods of 2-3 years. Method of awarding/releasing assistance: other

Reports:

Please see the NOFO for Progress Reporting due dates or frequency. Please see the NOFO for Progress Reporting due dates or frequency. Please see the NOFO for due dates or reporting frequency on the SF- 425. A baseline assessment, an interim evaluation and a final evaluation are required for development assistance programs. Requirements for baseline assessments and interim and final evaluations for emergency programs will be specified in the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, there records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-2903-0-1-151.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Individual applications may be valued between \$3 and \$5 million.

TAFS Codes:

12-2903.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Local and Regional Food Aid Procurement program regulations can be found at 7 CFR 1590.

Regional or Local Office:

None.

Headquarters Office:

Division Director Lindsay Carter,
1400 Independence Ave S.W.; Stop 1030,
Washington DC 20250
Email: PPDED@fas.usda.gov
Phone: 202-720-4221

Website Address:

<http://www.fas.usda.gov/programs/local-and-regional-food-aid-procurement>

RELATED PROGRAMS:

10.608 Food for Education;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposal, interested parties are welcome to submit statements of capability and expressions of interest.

10.613 Faculty Exchange Program

Faculty Exchange Program,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Act of 1977, as amended, Title XIV, Part I, Section 1458, Statue 91-1015, Public Law 95-113, 7 USC Code 3291.

OBJECTIVES:

The Faculty Exchange Program assists developing countries to improve their university agricultural education, research and extension programs by providing a one semester training program at U.S. Land Grant Agricultural Universities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants, Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

The purpose of the Faculty Exchange Program is to assist developing countries to improve their university agricultural education, research, and extension programs by providing a one semester training program at U.S. Land Grant Agricultural Universities. Participants are able to upgrade their technical knowledge in their subject area, but they also learn new teaching methods through class observation, and they will learn new research methods through experience in the lab or through short courses or one-on-one instruction. Each participant revises and modernizes their class outlines for introduction at their home university after they return. Participants also travel to laboratories, farms, agribusinesses, and government offices to gain an understanding of how the veterinary science and animal health systems operate in the United States. Faculty mentors visit each participant in their home country 3-9 months after the conclusion of the U.S. based program to provide follow-on support and advice. USDA will select Fellows based on similar backgrounds in research areas and place them in groups of ten Fellows. Each group will be placed at a Land Grant University specializing in their topic.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Quasi-public nonprofit institution/organization; The Faculty Exchange Program solicits proposals from public universities and state cooperative institutions in the United States.

Beneficiary Eligibility:

Education Professional; Scientist/Researchers; State; Rural; Public nonprofit institution/organization; Other public institution/organization; Farmer/Rancher/Agriculture Producer; State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals), Other public institution/organization, Specialized group (e.g. health professionals, students, veterans), Quasi-public nonprofit institution/organization

Credentials/Documentation:

This program is subject to the provisions of 2 CFR Part 200, as adopted by USDA through 2 CFR part 400.. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Center for International Programs and on the USDA ezFedGrants portal at <https://grants.fms.usda.gov> Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

Proposals are evaluated by a technical review panel, which rates the qualifications of applicants and the merit of proposals

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. For renewals, new NOFOs will be posted on [grants.gov](https://www.grants.gov) Extensions must be requested at least 45 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to two year periods of performance Method of awarding/releasing assistance: other

Reports:

Mentor reports and participant reports are required. Please see the NOFO for Progress Reporting due dates or frequency. Please see the NOFO for due dates or reporting frequency on the SF- 425. Mentor and Participants must submit final reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, there records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-2900-0-1-352.

Obligations:

Project Grants (Fellowships) FY 20 \$795,124.00; FY 21 est \$800,000.00; FY 22 est \$800,000.00;

Range and Average of Financial Assistance:

Approximately \$30000 - \$45000 per participant.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nicola Sakhleh,
1400 Independence Ave. SW,
Washington DC 202501031
Email: nicola.sakhleh@usda.gov
Phone: 202-720-4228
Fax:

Website Address:

<https://www.fas.usda.gov/programs/faculty-exchange-program>

RELATED PROGRAMS:

10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposal, interested parties are welcome to submit statements of capability and expressions of interest.

10.615 Pima Agriculture Cotton Trust Fund

Pima Agriculture Cotton Trust Fund,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Agricultural Act of 2014, Title 7, Part C, Section 12314, Statue 128-993, Public Law 113-79, Public Law 115-334

OBJECTIVES:

The Agricultural Act of 2014 establishes the Pima Agriculture Cotton Trust Fund to reduce the injury to domestic textile manufacturers resulting from U.S. import tariffs on cotton fabric that are higher than tariffs on certain apparel articles made of cotton fabric. Section 12602 of the Agriculture Improvement Act of 2018 reauthorized and extended the Pima Agriculture Trust Fund through 2023.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Unrestricted use. For example, payments could be used to pay the salaries of the eligible claimant's employees or to offset paid U.S. import duties.

Applicant Eligibility:

Profit organization; Payments to reduce the injury to domestic textile manufacturers resulting from U.S. import tariffs on cotton fabric that are higher than tariffs on certain apparel articles made of cotton fabric.

Beneficiary Eligibility:

Profit organization; Beneficiaries limited to: one or more nationally recognized associations established for the promotion of pima cotton in apparel; yarn spinners of pima cotton that produce ring spun cotton yarns in the United States, and manufacturers who cut and sew cotton shirts in the United States who certify that

ASSISTANCE LISTINGS DESCRIPTIONS

they used imported cotton fabric in the preceding year.

Credentials/Documentation:

Per Section 12314 of the Agricultural Act of 2014 eligible claimants must file notarized affidavits as specified under subsection (c) for yarn spinners and subsection (d) for manufacturers who cut and sew cotton shirts. Additionally, these affidavits must be filed by the deadlines specified under subsection (e) in order to be eligible for payment. Section 12602 of the Agriculture Improvement Act of 2018 reauthorized and extended the Pima Agriculture trust Fund thorough 2023. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit applications to USDA by March 15th each year. Details of application process can be found at www.fas.usda.gov.

Award Procedure:

Per Section 12314 of the Agricultural Act of 2014 and reauthorized by Section 12602 of the Agriculture Improvement Act of 2018, eligible claimants must file notarized affidavits as specified under subsection (c) for yarn spinners and subsection (d) for manufacturers who cut and sew cotton shirts. Additionally, these affidavits must be filed by the deadlines specified under subsection (e) in order to be eligible for payment. Once eligibility has been established USDA will disburse payment to the claimant.

Deadlines:

Applications must be received not later than March 15.

Range of Approval/Disapproval Time:

From 15 to 30 days. Per Section 12314 of the Agricultural Act of 2014 and reauthorized by Section 12602 of the Agriculture Improvement Act of 2018, any eligible person who provides an affidavit under this program must file not later than March 15 of each year.

Appeals:

Not Applicable. USDA determination is final.

Renewals:

Not Applicable. Applications received after the March 15th deadline will not be considered.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The program is currently authorized through 2023. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Yarn Spinners must maintain supporting documentation showing the quantity of such yarns produced, and evidencing the yarns as ring spun cotton yarns, measuring less than 83.33 decitex (exceeding 120 metric number), in single and plied form during the preceding calendar year. Shirting manufacturers must maintain invoices along with other supporting documentation (such as price lists and other technical descriptions of the imported fabric qualities) showing the dollar value of such fabric purchased, the date of purchase, and evidencing the fabric as woven cotton fabric of 80s or higher count and 2-ply in warp.

Account Identification:

12-5635-0-2-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$16,000,000.00; FY 21 est \$16,000,000.00; FY 22 est \$16,000,000.00;

Range and Average of Financial Assistance:

Range: \$273,000 to \$4,285,000. Average: \$1,800,000.

TAFS Codes:

12-5635.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application information will be provided annually via the FAS website.

Regional or Local Office:

None.

Headquarters Office:

Director, Program Operations Division Curt Alt,
1400 Independence Ave SW,

Washington DC 20250

Email: curt.alt@usda.gov

Phone: 2026904784

Fax:

Website Address:

<http://www.fas.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A thorough review of the information provided in applications is conducted to determine applicant eligibility.

10.616 Agriculture Wool Apparel Manufacturers Trust Fund

Agriculture Wool Apparel Manufacturers Trust Fund,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Agricultural Act of 2014, Title 7, Part C, Section 12315, Statue 128-995-997, Public Law 113-79, Public Law 115-334

OBJECTIVES:

The Agricultural Act of 2014 establishes the Agriculture Wool Apparel Manufacturers Trust Fund to reduce the injury to domestic manufacturers resulting from tariffs on wool fabric that are higher than tariffs on certain apparel articles made of wool fabric. Section 12603 of the Agriculture Improvement Act of 2018 reauthorized and extended the Agriculture Wool Apparel Manufacturers Trust Fund through 2023.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Unrestricted use. For example, payments could be used to pay the salaries of the eligible claimant's employees or to offset paid U.S. import duties.

Applicant Eligibility:

Profit organization; Payments to reduce the injury to domestic manufacturers resulting from tariffs on wool fabric that are higher than tariffs on certain apparel articles made of wool fabric.

Beneficiary Eligibility:

Profit organization; Beneficiaries limited to: each eligible manufacturer under paragraph (3) of section 4002(c) of the Wool Suit and Textile Trade Extension Act of 2004 (Public Law 108429; 118 Stat. 2600), as amended by section 1633(c) of the Miscellaneous Trade and Technical Corrections Act of 2006 (Public Law 109280; 120 Stat. 1166) and section 325(b) of the Tax Extenders and Alternative

ASSISTANCE LISTINGS DESCRIPTIONS

Minimum Tax Relief Act of 2008 (division C of Public Law 110343; 122 Stat. 3875), and any successor-in-interest to such a manufacturer as provided for under paragraph (4) of such section 4002(c).

Credentials/Documentation:

Per Section 12315 of the Agricultural Act of 2014 and reauthorized by Section 12603 of the Agriculture Improvement Act of 2018, eligible claimants must file notarized affidavits as specified under subsection (b) "Distribution of Funds." This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit applications to USDA by March 1st each year. Details of application process can be found at www.fas.usda.gov.

Award Procedure:

Per Section 12315 of the Agricultural Act of 2014 eligible claimants must file notarized affidavits as specified under subsection (b)(2).

Deadlines:

Applications must be received by March 1 each year.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable. USDA determination is final.

Renewals:

Not Applicable. Applications received after the March 1st deadline will not be considered.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The program is currently authorized through 2023. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

See specific record keeping requirements for each eligible manufacturer (and any successor-in-interest to such a manufacturer) under paragraph (3) of section 4002(c) of the Wool Suit and Textile Trade Extension Act of 2004 (Public Law 108429; 118 Stat. 2600), as amended by section 1633(c) of the Miscellaneous Trade and Technical Corrections Act of 2006 (Public Law 109280; 120 Stat. 1166) and section 325(b) of the Tax Extenders and Alternative Minimum Tax Relief Act of 2008 (division C of Public Law 110343; 122 Stat. 3875).

Account Identification:

12-5636-0-2-351.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$30,000,000.00; FY 21 est \$30,000,000.00; FY 22 est \$30,000,000.00;

Range and Average of Financial Assistance:

Benefits range from approximately \$14,000 to \$5 million.

TAFS Codes:

12-5636.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application information and details will be provided each year via the FAS

website.

Regional or Local Office:

None.

Headquarters Office:

Director, Program Operations Division Curt Alt,
1400 Independence Ave SW,
Washington DC 20250

Email: curt.alt@usda.gov

Phone: 2026904784

Fax:

Website Address:

<http://www.fas.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A thorough review of the information provided in applications is conducted to determine applicant eligibility.

10.617 PL-480 Market Development and Technical Assistance

Section 108,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

7 USC Code 1708.

OBJECTIVES:

Uses available foreign currencies repaid to the United States to provide assistance in the implementation of market development and agricultural technical assistance activities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The program aims to expand or maintain U.S. agricultural exports.

Applicant Eligibility:

Federal; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Priority applicants will be U.S. agricultural non profit trade organizations who will conduct market development and technical assistance activities designed to increase or maintain U.S. agricultural exports.

Beneficiary Eligibility:

Private nonprofit institution/organization; U.S. agricultural entities are expected to benefit from the growth of U.S. export markets.

Credentials/Documentation:

The application instructions and requirements are provided in the program Notice of Funding Opportunity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see the program's Notice of Funding Opportunity for application deadlines and instructions. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

The program will be announced in Grants.gov, inviting proposals from interested parties. The Foreign Agricultural Service will conduct proposal reviews and make funding decisions. Formal agreements will be made with grantees, outlining reporting and other program requirements, upon the awarding of funds. Proposals will continue to be accepted on a rolling basis as long as funding remains.

Deadlines:

Please see the program's Notice of Funding Opportunity for application deadlines and instructions.

Range of Approval/Disapproval Time:

From 30 to 60 days. Additional approval information is provided in the funding announcement.

Appeals:

From 30 to 60 days. Applicants wishing to address the denial of their applications for funding may reach out to the Agency no later than 60 days after receiving the decision.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The agreement will contain an expiration date. Unless extended, the project activities are expected to conclude by the expiration date. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Financial Reporting and Progress Reporting are required as denoted in the terms and conditions of the award document issued. The grant recipient shall submit required progress reports to the Foreign Agricultural Service. Final reports are due within 90 days of the project's expiration or completion. The grant recipient shall submit required financial reports to the Foreign Agricultural Service. Final reports are due within 90 days of the project's expiration or completion. Annual performance reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All grant records must be maintained for three years from the final financial report.

Account Identification:

12-7787-0-1-999.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No funding for this program is available for FY2021. In FY2020, \$1.5 Million was available. In FY2019, \$3 Million was available.

TAFS Codes:

12-7787.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director, Program Operations Division Curt Alt,
1400 Independence Ave SW,
Washington DC 20250
Email: curt.alt@usda.gov

Phone: 2027204327

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The review criteria are described in the funding announcement.

10.618 Agricultural Trade Promotion Program

ATP.

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

15 USC Code 714(c)(f).

OBJECTIVES:

The Agricultural Trade Promotion Program (ATP) provides assistance to U.S. agricultural industries impacted by the 2018/2019 tariffs to support activities that promote U.S. agricultural commodities in foreign markets, including activities that address existing or potential non-tariff barriers to trade.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Agricultural Trade Promotion Program (ATP) funds are authorized through program agreements that provide for partial reimbursement of eligible promotional expenses in a Unified Export Strategy application approved by the Foreign Agricultural Service (FAS), the agency that administers the program for the Commodity Credit Corporation (CCC). ATP participants may receive assistance for either generic or brand promotion activities. Program funds help finance activities such as consumer advertising, point of sale demonstrations, public relations, trade servicing activities, participation in trade fairs and exhibits, market research, and technical assistance.

Applicant Eligibility:

Federal; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; This program is no longer accepting applications.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; CCC will enter into ATP agreements only where the eligible agricultural commodity is comprised of at least 50 percent U.S. origin content by weight, exclusive of added water.

Credentials/Documentation:

Applicants are required to provide a competent, experienced staff and other resources to assure adequate development, supervision, and execution of promotion activities. All applicants must submit a written proposal that provides a brief discussion of the commodity for which assistance is requested; the proposed program with a justification; and a strategic plan. In addition, all applicants must submit a statement certifying that any CCC resources received will supplement, but not supplant, any private or third party funds or other contributions to program activities. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of

ASSISTANCE LISTINGS DESCRIPTIONS

Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is no longer accepting applications. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals

Deadlines:

This program is no longer accepting applications.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days. Extensions must be requested at least 45 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. NOTE: In ATP generic promotion programs, an ATP Participant shall contribute a total amount in goods, services, and/or cash equal to at least 10 percent of the value of resources to be provided by the CCC for all generic promotion activities proposed to be undertaken by the ATP Participant. In ATP brand promotion programs, an ATP Participant conducting its own brand promotion that is a U.S. agricultural cooperative or a small-sized brand participant shall contribute at least 50 percent of the total eligible expenditures made on each approved brand promotion. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements generally include a twelve-month activity period and a provision for program evaluation by an independent third party. Funds awarded in any given fiscal year are typically available for two additional years. Method of awarding/releasing assistance: other

Reports:

Not later than 45 days after completion of travel (other than local travel), an ATP Participant shall electronically submit a trip report. The report must include the name(s) of the traveler(s), purpose of travel, itinerary, names and affiliations of contacts, and a brief summary of findings, conclusions, recommendations, and specific accomplishments. Not later than 6 months after the end of its program period, an ATP Participant shall submit a report on any research conducted pursuant to the approved ATP program. Please see the NOFO for Progress Reporting due dates or frequency. Please see the NOFO for due dates or reporting frequency on the SF- 425 All ATP participants must report annual results against their target market and/or regional constraints/opportunity performance measures. Not later than 6 months after the end of its program period, an ATP Participant shall submit a report on any evaluations conducted in accordance with the approved ATP program, including the outcome of action taken with ATP funding and the increased market access or exports that can be directly attributed to the ATP program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Participant accounts are reviewed as needed, but normally at least every two years, by representatives of the Compliance Review Staff (CRS) of FAS. Audits and reviews are also conducted sporadically by representatives of the Office of Inspector General and the Government Accounting Office. Accounts and records must be available for inspection or audit at any reasonable time.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-4336-0-3-999.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4336.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1489.

Regional or Local Office:

None.

Headquarters Office:

Director, Program Operations Division Curt Alt,
1400 Independence Ave SW,
Washington DC 20250
Email: curt.alt@usda.gov
Phone: 2026904784
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

10.601 Market Access Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposal, interested parties are welcome to submit statements of capability and expressions of interest.

10.619 International Agricultural Education Fellowship Program

IAEFP,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Title Agriculture Improvement Act of 2018, Section 3307, Public Law 115-334, 7 USC Code 3295.

OBJECTIVES:

To develop globally-minded United States agriculturalists with experience living

ASSISTANCE LISTINGS DESCRIPTIONS

abroad. To focus on meeting the food and fiber needs of the domestic population of eligible developing countries. To strengthen and enhance trade linkages between developing countries and the United States agricultural industry.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

For fellowships from middle income countries to help them better understand and support science-based research in agricultural in order to foster science based agricultural policies and regulations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Quasi-public nonprofit institution/organization;

Beneficiary Eligibility:

Rural; State; Public nonprofit institution/organization; Other public institution/organization; Farmer/Rancher/Agriculture Producer; State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals, Other public institution/organization, Specialized group (e.g. health professionals, students, veterans), Quasi-public nonprofit institution/organization

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Office of International Programs and on the USDA ezFedGrants portal at <https://grants.fms.usda.gov> Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by a technical review panel, which rates the qualifications of applicants and the merit of proposals

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days. For renewals, new NOFOs will be posted on grants.gov Extensions must be requested at least 45 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to two year periods of performance Method of awarding/releasing assistance: other

Reports:

Please see the NOFO for Progress Reporting due dates or frequency. Please see the NOFO for due dates or reporting frequency on the SF- 425

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

12-2900-0-1-352.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$999,862.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

Range of individual awards: \$500K - \$1M

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nicola Sakhleh,
1400 Independence Ave. SW,
Washington DC 20250
Email: nicola.sakhleh@usda.gov
Phone: 2027204228
Fax:

Website Address:

<https://www.fas.usda.gov/programs/international-agricultural-education-fellowship-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposal, interested parties are welcome to submit statements of capability and expressions of interest.

10.620 Scientific Exchanges Program

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

International Agricultural Research, Extension and Teaching USC Code 7 U.S. Code 3291.

OBJECTIVES:

The Scientific Exchanges Program will support Fellows from middle income countries to help them better understand and support science-based research in agriculture in order to foster science based agricultural policies and regulations.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Fellowships from middle income countries to help them better understand and support science-based research in agricultural in order to foster science based agricultural policies and regulations.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Other public institution/organization; Quasi-public nonprofit institution/organization; Proposals may be received from U.S. State Cooperative Institutions or other colleges and universities in the United States.

Beneficiary Eligibility:

Rural; State; Other public institution/organization; Farmer/Rancher/Agriculture Producer; Public nonprofit institution/organization;

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (see <https://www.archives.gov/federal-register/codification/executive-order/12372.html>). Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Deadlines will appear in the Notice of Funding Opportunity when posted. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by a technical review panel, which rates the qualifications of applicants and the merit of proposals

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

From 60 to 90 days.

Renewals:

From 30 to 60 days. For renewals, continuations will not be awarded however entities are not restricted from applying for funding each time a new NOFO is announced.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to two year periods of performance. Method of awarding/releasing assistance: other

Reports:

Progress reports are due as instructed in the NOFO and Notice of award. Expenditure Reports are due as instructed in the NOFO and Notice of award. Progress reports are due as instructed in the NOFO and Notice of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, there records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-2900-0-1-352.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$1,300,000.00; FY 22 est \$1,300,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nicola Sakhleh,
1400 Independence Ave. SW,
Washington DC 20250
Email: nicola.sakhleh@usda.gov
Phone: 2027204228
Fax:

Website Address:

<https://www.fas.usda.gov/programs/scientific-exchanges-program>

RELATED PROGRAMS:

10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.649 Pandemic EBT Administrative Costs

P-EBT Admin,

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

FOOD AND NUTRITION SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Public Law 116-127, Public Law 116-159, Public Law 116-260, Public Law 117-2

OBJECTIVES:

P-EBT Administrative Expenses: To provide Federal financial participation to State agencies and local entities for costs incurred to operate the Pandemic EBT Food Benefit Program (P-EBT).

TYPES OF ASSISTANCE:

Salaries and Expenses

USES AND USE RESTRICTIONS:

P-EBT Administrative Expenses: To provide Federal funding for administrative costs incurred by State and local agencies to operate P-EBT. Unless authorized by Federal legislation, outlays charged to other Federal grants or to Federal contracts may not be considered as State agency costs. Submission of claims for payments of administrative costs shall be in accordance with submitted State plan.

Applicant Eligibility:

U.S. Territories and possessions; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals);

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. A plan of operation must be submitted by the State agency prior to approval for funding.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Food and Nutrition Service funds State Agency cooperators. No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Other. Annually as of October 1.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annually by fiscal year. Method of awarding/releasing assistance: letter Method of awarding/releasing assistance: other

Reports:

Quarterly financial status report. Quarterly financial status report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all records

pertinent to a grant program shall be retained for a period of 3 years. Additionally, those record retention requirements outlined in 7 CFR Part 3016, apply to this program.

Account Identification:

12-3505-0-1-605.

Obligations:

Salaries and Expenses FY 20 \$0.00; FY 21 est \$500,000,000.00; and FY 22 Not Available; Salaries and Expenses FY 20 \$0.00; FY 21 est \$25,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-3505.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EO Guidance Document # FNS-GD-2020-0109

Regional or Local Office:

None.

Headquarters Office:

Associate Administrator Jessica Shahin,
Supplemental Nutrition Assistance Program, 1320 Braddock Pl.,
Alexandria VA 22314

Email: Jessica.Shahin@fns.usda.gov

Phone: 7033052026

Fax: Deputy Administrator, Child Nutrition Programs Cynthia Long,
Food and Nutrition Service, 1320 Braddock Place,

Alexandria VA 22314

Email: Cindy.Long@fns.usda.gov

Phone: 7033052590

Fax:

Website Address:

<https://www.fns.usda.gov/snap/state-guidance-coronavirus-pandemic-ebt-pebt>

RELATED PROGRAMS:

10.542 Pandemic EBT Food Benefits;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.652 Forestry Research

Research Grants & Cooperative Agreements,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Forest and Rangeland Renewable Resources Research Act of 1978, Public Law 95-307, 92 Stat. 353, 16 U.S.C. 1644,

OBJECTIVES:

To extend fundamental research activities of the Forest Service by awarding grants to nonprofit organizations, institutions of higher education, and organizations engaged in renewable resources research.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements will be used for research in the fields of forest management, watershed management, forest range management, wildlife habitat management, forest recreation, forest fire protection, forest insect and disease protection and control, forest products utilization, forest engineering, forest production economics, forest products marketing, forest survey, urban forestry,

ASSISTANCE LISTINGS DESCRIPTIONS

wilderness, and social/cultural influences. Indirect expenses, when permitted by statute, will be reimbursed according to the negotiated indirect cost rate established by the Government's cognizant audit agency. Grants and Cooperative Agreements are limited to 5 years duration.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Grants and cooperative agreements for basic or applied research may be made to State Agricultural Experiment Stations, universities and colleges, State and local governments, U.S. Territories, nonprofit research institutions or organizations, international organizations, individuals, and for-profit organizations.

Beneficiary Eligibility:

Anyone/general public; Scientist/Researchers; Organizations and scientists involved in basic and applied research activities related to forest and rangeland renewable resources.

Credentials/Documentation:

The principal investigator must document competence in the field of the research proposed. Costs will be determined in accordance with OMB Circular No. A-87, A-21, or A-122, Cost Principles for State and Local Governments, Cost Principles for Educational Institutions, or Cost Principles for Nonprofit Organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A complete research proposal must be submitted following guidelines available in each of the offices referred to below. The proposal must explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, other resources such as equipment, facilities, and services available or needed, and a proposed budget for each fiscal year during the life of the proposed grant or cooperative agreement. A short biographical sketch of the principal investigator and a list of his or her principal publications should be included.

Award Procedure:

All research grant and cooperative agreement proposals must compete for priority, showing technical competence and demonstrating the ability to meet national or regional needs. Research grants and cooperative agreements are made only in support of forestry research. Unsolicited proposals can be awarded for innovative or unique approaches to the proposed project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Contact the Research Station for guidance.

Renewals:

Other. Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal, except that a resume of progress to date must be added.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The applicant's contribution is negotiated for grants. The proposed budget by fiscal year shows the estimated cost of the complete project from Grant funds and value

of resources to be contributed by the applicant. Salaries of academic personnel for periods when they receive salary for teaching should not be included for payment from grant funds. MOE requirements are not applicable to this program. Grants awarded under 16 USC 1642 require applicants to provide matching funds in an amount equal to not less than 50 percent of the grant amount. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One to 5 years. Billings for expenses incurred may be submitted monthly, quarterly, or semi-annually. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator. Method of awarding/releasing assistance: other

Reports:

Program reports are not applicable. Cash reports are not applicable. Technical reports are required semiannually; a technical report is also required upon completion of project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Comptroller General of the United States, his representative, or auditors of the cognizant audit agency for the educational institution involved shall have access at any reasonable time to the laboratories, offices, or other facilities of the recipient for purposes of review and observation of the status and progress of the sponsored project. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

All related data, information, records, and accounts shall be retained for 3 years beyond the expiration date of the award unless other disposition is specified in writing by the awarding agency.

Account Identification:

12-1104-0-1-302.

Obligations:

Project Grants FY 20 \$6,267,672.00; FY 21 est \$4,359,574.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$3,000 to \$550,000. Average: \$88,000.

TAFS Codes:

12-1104. 12-8028.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 and A-87, as implemented by 2 CFR 225, are applicable to State and local government grantees. OMB Circular Nos. A-110 and A-21, as implemented by 2 CFR 215 and 2 CFR 220, are applicable to educational institutions. OMB Circular Numbers A-110 and A-122, as implemented by 2 CFR 215 and 2 CFR 230, are applicable to nonprofit organizations.

Regional or Local Office:

See Regional Assistance Locations. ee Regional Agency Offices. FPL - Director Tony Ferguson - 610-557-4162. IITF - Director, Dr. Ariel Lugo 787-764-7722. RMRS - Director, John Phipps - 970-498-1353. NRS - Director Tony Ferguson 610-557-4162. PNW Acting Paul Anderson - 503-808-2101. PSW - Director, Alexander Friend- 510-882-8832. SRS - Director, Robert Doudrick - 828-257-4300. Washington Office Budget Coordinator- Felipe Sanchez, 202-205-0833. Washington Office Grants & Agreements Contact- Duane Williams, 202-497-3917.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Acting Deputy Chief, R&D Carlos Rodriguez-Franco,
201 14th St. SW, 2NW,
Washington DC 20024
Email: crodriguezfranco@fs.fed.us
Phone: 202-205-1665

Website Address:

<http://www.fs.fed.us/links/research.html>

RELATED PROGRAMS:

10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.500 Cooperative Extension Service; 10.001 Agricultural Research_Basic and Applied Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis by Research Station Directors to meet Regional or National Research needs.

10.664 Cooperative Forestry Assistance

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313, as amended. 16 U.S.C 2101 et seq., Title ,

OBJECTIVES:

With respect to nonfederal forest and other rural lands to assist in the advancement of forest resources management and conservation; developing genetically improved tree seeds and seedlings; producing and distributing tree seeds and seedlings; promoting afforestation and reforestation; the encouragement of active forest management; the control of insects and diseases affecting trees and forests; the control of rural fires; the efficient utilization of wood and wood residues/biomass, including the recycling of wood fiber and biomass utilization; the improvement and maintenance of fish and wildlife habitat; and the planning and implementation of urban and community forestry programs; broaden existing programs on non-federal forest lands to meet the multiple use objectives of landowners; provide opportunities to private landowners to protect ecologically valuable and threatened non-Federal forest land; and strengthen educational, technical, and financial assistance programs that provide assistance to owners of non-Federal forest lands.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

To assist State Forester or equivalent designated state official(s) in forest stewardship programs on private, State, local, and other nonfederal forest and rural lands. Programs may include production of timber and efficient processing and use of wood products; developing genetically improved tree seeds and seedlings; producing and distributing tree seeds and seedlings; promoting afforestation and reforestation; timber stand improvement; improving wildlife habitat; assisting private woodland owners in harvesting, processing, and marketing activities; conversion of wood to energy; protection and improvement of forest soil fertility; forest insect and disease management; urban and community forestry; development and transfer of new and improved fire prevention, control, suppression and prescribed fire technologies; organization of shared fire suppression resources, and achievement of more efficient fire protection for States and local communities; provision of financial, technical and related assistance to local rural fire fighting forces; acquisition and loan of Federal excess property; organizational improvement; forestry resources planning; conservation of forest land; pursuit and development of new market drivers for conservation (e.g. carbon, ecosystem services, conservation finance); coordination of landscape scale efforts and the inclusion of private lands in large-scale planning and conservation efforts; and technology transfer.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; State Forestry or equivalent State agencies, Tribes, non-profits, and municipalities are eligible. All States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

Individual/Family; Profit organization; Other private institution/organization; Land/Property Owner; Federally Recognized Indian Tribal Governments; Landowners of nonfederal lands; rural community fire fighting forces; urban and municipal governments, non-profit organizations, Tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact Regional Office or International Institute of Tropical Forestry Office listed at www.fs.fed.us/contactus/regions.shtml

Award Procedure:

Established by the Regional Office or International Institute of Tropical Forestry.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 10 to 60 days. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not Applicable.

Renewals:

Other. Amend SF-424(A) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be established by the Regional Office or International Institute of Tropical Forestry.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Government shall not exceed the amount expended by a State grantee or grantee for all programs except those covered by Section 11 of Public Law 95-313. Section 10(b)(2), State Fire Assistance program funds require a 50 percent match by the grantee, unless reduced with a waiver. Section 10(b)(3) Volunteer Fire Assistance program funds require a 50 percent match by the grantee. Cost-sharing ratios for Section 11(a) Management Assistance and Section 11(c), Technology Implementation programs shall be negotiated. Section 11(b), Forest Resource Planning program funds require 20 percent matching by the State. Amount of grant based on negotiated targets to be achieved. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be awarded for up to 5 years. Method of awarding/releasing assistance: other

Reports:

Performance reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. Performance reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. SF-425 must be submitted at least

ASSISTANCE LISTINGS DESCRIPTIONS

annually and no more than quarterly as detailed in the applicable OMB Circulars and the grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1115-0-1-302. 12-1105-0-1-302.

Obligations:

Project Grants FY 20 \$134,573,236.00; FY 21 est \$140,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$25,000 to \$6,000,000. Average \$1,000,000

TAFS Codes:

12-1115. 12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Titles 3000, 3100, 3200, 3300, 3400, 3500, 3600, 3700, 3800, and 3900 available in all Forest Service offices, and State Forestry agency offices. Grant regulations found at 2 CFR 200 as implemented and supplemented by USDA at 2 CFR 400.

Regional or Local Office:

See Regional Assistance Locations. Refer to <https://www.fs.usda.gov/organization> for local State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Region and Institute Directors of State & Private Forestry.

Headquarters Office:

Anne Buckelew,
201 14th St SW,
Washington DC 20250
Email: anne.buckelew@usda.gov
Phone: 202-401-4073
Fax:

Website Address:

<https://www.fs.usda.gov/managing-land/private-land>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Most grants to State Forestry Agencies are approved on the basis of negotiated targets or objectives to be achieved. UCF community grants are based on local needs and resources. A specific project focus may be developed by State Urban Forestry Councils.

10.665 Schools and Roads - Grants to States

Payments to States,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Secure Rural Schools and Community Self Determination Act of 2000, Division C, Section 601(a), 16 U.S.C. 7101-7153; 16 U.S.C. 500.,

OBJECTIVES:

To share receipts from the National Forests and supplemental mandatory appropriations with States in which the National Forests are situated.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants

USES AND USE RESTRICTIONS:

For Federal fiscal years 2008 through 2011, reauthorized one year for FY 2012, reauthorized one year for FY 2013, and reauthorized for two years in 2015 to provide for payments in FY 2015 and FY 2016. The Secure Rural Schools Act allows counties in which national forests are situated to elect to share in the State payment (based on a formula in the Act) or to share in the state's 25-percent payment (based on the 7-year rolling average of receipts from national forests in the State). The fiscal year 2014 payment was made to states in May 2015. The 25-percent payments to states are to be used for the benefit of public schools and public roads in the counties in which national forests are situated. An eligible county that elects to receive a share of the State payment (based on the formula in the Act) must under some circumstances allocate a portion of that payment for title II special projects on national forests or title III county projects, or both. The portion of the Secure Rural Schools Act State payments not allocated for these purposes are to be used to benefit public schools and public roads in counties in which national forests are situated. The payments to benefit schools and roads are commonly called title I funds. Funds allocated by counties for title II special projects are reserved in a special account in the General Treasury and may be used by the Secretary of Agriculture for projects that improve the maintenance of existing infrastructure, enhance forest ecosystems, and restore and improve land health and water quality on national forests. Funds allocated by counties for title III county projects may be used only to: (1) carry out activities under the Firewise Communities program to provide homeowners in fire sensitive ecosystems education on, and assistance with implementing, techniques in home siting, home construction, and home landscaping that can increase the protection of people and property from wildfires; (2) reimburse the participating county for search and rescue and other emergency services, including firefighting, that are performed on a national forest after the date on which the use was approved, and paid for by the participating county; and (3) develop community wildfire protection plans in coordination with the Forest Service acting on behalf of the Secretary of Agriculture.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; Title I payments are made to States or territories of the United States to be allocated to counties in which national forests are situated. Title II project funds are reserved in special account in the U.S. Treasury and may be used by the Secretary of Agriculture for the purpose of entering into and implementing cooperative agreements with willing Federal agencies, State and local governments, private and nonprofit entities, and landowners. (16 U.S.C 7122). Title III funds are paid to respective states to be passed-through to participating counties.

Beneficiary Eligibility:

Federal; U.S. Territories; Profit organization; Other private institution/organization; Land/Property Owner; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; A beneficiary of title II special project funds is a person or entity that receives a grant or enters into a cooperative agreement with the Secretary of Agriculture to carry out a project for protection, restoration, and enhancement of fish and wildlife habitat, and other resource objectives consistent with the purposes of the Secure Rural Schools Act on national forests and on non-national forest land where projects would benefit the resources on national forests. (16 U.S.C. 7122)A beneficiary of title III funds is a participating county in which a national forest is situated that has elected to allocate a portion of its State payments for title III county projects.

Credentials/Documentation:

OMB circulars apply to title II special projects implemented using Financial Assistance instrument for public benefit projects. OMB circulars are not applicable to projects when implemented using partnership transaction on or adjacent to National Forest System (NFS) Lands. No Credentials or documentation are required for title I or title III payments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For Title II projects implemented using partnership instrument on or adjacent to NFS lands, 2CFR200 is not applicable for mutual interest-mutual benefit programs.

Award Procedure:

Contact a near-by national forest resource advisory committee coordinator for information about awards or other implementation of title II projects recommended by a resource advisory committee prior to the deadline.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. For title II, within 30 days of a rejection decision the Forest Supervisor shall notify the resource advisory committee in writing of the rejection and the reasons for the rejection.

Appeals:

Not Applicable. A decision to reject a title II project is not subject to administrative appeal or judicial review. (16 U.S.C 7124)

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. There are no matching requirements for the 25-percent payment to states or for the State payment. Acquisition management regulations and procedures applicable to the Forest Service for purchases, contracts, grants and agreements may impose matching requirements on title II projects. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The 25-percent payments and State payments for amounts allocated to title I and title III are generally made by December 31st or as soon thereafter as practicable each year after the close of the applicable fiscal year. Title II funds are not paid to the State but are reserved in a special account in the General Treasury available for projects recommended by a resource advisory committee and approved by the Forest Supervisor acting on behalf of the Secretary of Agriculture. The authority for each resource advisory committee to recommend title II projects has been extended September 30, 2017. Funds unobligated in one fiscal year remain available in the following fiscal year until September 30, 2018 by which time title II funds must be obligated or be returned to the U.S. Treasury. The authority for each county to initiate title III projects was extended by P.L. 114-10 until September 30, 2017. Funds unobligated in one fiscal year remain available in the following fiscal year until September 30, 2018 by which time title III funds must be obligated for authorized uses or be returned to the U.S. Treasury. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For Title II projects implemented using partnership instrument on or adjacent to NFS lands, 2 CFR 200 is not applicable for mutual interest-mutual benefit programs.

Records:

Financial and program records must be retained for three years from the date of submission and approval of final financial reports.

Account Identification:

12-9921-0-2-999.

Obligations:

Cooperative Agreements FY 20 \$7,029,231.00; FY 21 est \$4,203,087.00; FY 22 Not Available;

Range and Average of Financial Assistance:

25% 7 yr. average: \$2 to \$1,397,323 average: \$151,756 (19 states) Title I: \$1 to \$9,587,418 - average \$366,907: (42 states) Title II: \$1,922 to \$1,294,931 average: \$98,145 (31 states) Title III: \$1,682 to \$789,552 average: \$54,134 (31 states)

TAFS Codes:

12-5201. 12-5896.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Leanne Veldhuis,
201 14th Street, SW, Suite 4 NW,,
Washington DC 20024
Email: leanne.veldhuis@usda.gov

Phone: 202-649-1177

Website Address:

<http://www.fs.usda.gov/main/pts/home>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.666 Schools and Roads - Grants to Counties

Direct payments to States,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Public Law 75-210, 7 U.S.C. 1010-1012, Bankhead-Jones Farm Tenant Act,

OBJECTIVES:

To share receipts from the National Grasslands and Land Utilization Projects (LUP) with the Counties in which the National Grasslands and LUP's are situated.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used for the benefit of public schools and public roads of the county or counties in which the National Grassland or LUP's are situated.

Applicant Eligibility:

State; Eligible applicants are counties of the United States containing National Grassland or a LUP.

Beneficiary Eligibility:

Anyone/general public; Local; School; Counties within the United States

Credentials/Documentation:

No Credentials or documentation are required This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Not Applicable

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Not Applicable

Appeals:

Not Applicable. Not Applicable

Renewals:

Not Applicable. Not Applicable

Formula and Matching Requirements:

Statutory Formula: Title 7 U.S.C. 1010-1012, Chapter , Part , Subpart ,Public Law 75-210. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The payments will be made in March of each year. Method of awarding/releasing assistance: lump sum.

Reports:**Audits:**

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally accepted accounting systems are permissible, if acceptable to audit and reconciliation.

Account Identification:

12-9921-0-2-999.

Obligations:

Direct Payments for Specified Use FY 20 \$96,612.00; FY 21 est \$158,973.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-5896.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Bankhead Jones Farm Tenant Act of 1937 (7 USC 1010-1012)

Regional or Local Office:

None.

Headquarters Office:

Leanne Veldhuis,
201 14th Street, SW, Suite 4 NW,
Washington DC 20024
Email: leanne.veldhuis@usda.gov
Phone: 202-649-1177

Website Address:

<http://www.fs.fed.us/srs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.674 Wood Utilization Assistance

State and Private Forestry Wood Innovation Program,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title Agriculture Improvement Act of 2018, Section 8643, Public Law 115-334, 7 USC Code 7655d.

OBJECTIVES:

Provide direct technical assistance to Forest Service, state foresters, tribes, public and private organizations regarding new and emerging wood utilization technologies and products to effectively manage forests and extend the most efficient and effective economic opportunities to forest landowners. The State & Private Forestry staff provides expertise in science-based management decisions concerning forest products utilization, biofuels production, and woody biomass utilization that uses material removed to meet forest landowner goals. Program may include: (1) development of potential new products; (2) projects that showcase innovative uses for small diameter and low-valued hardwoods and softwoods; (3) reducing the challenge to economic and market barriers to the use of wood (4) provide seed money and gap funding for demonstration projects and (5) facilitate the creation/expansion of harvesting/processing/transporting enterprises around wildland urban interface areas threatened by catastrophic wildfires.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Technical assistance or limited project grants to achieve long-term forest health through local enterprises that support forest management. No land acquisition or construction.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Entities eligible include: Non-profits, local, state, and Tribal governments, business, companies, corporations (for Profit), institutions of higher education, and special purpose districts, (public utilities districts, fire districts, conservation districts, or ports).

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Application period will be published at grants.gov

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Procedures for submitting technical assistance or grant applications can be found on www.fpl.fs.fed.us/tmu or contact the Program Manager as listed under Information Contacts. . Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Grants, if appropriated funds are provided, will generally be nationally competitive and merit-based. Technical Assistance request will be prioritized based on Tribal, state, or national forestry issues such as insect, disease, catastrophic events, and economic adjustments.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Range of Approval/Disapproval Time: 160 days after the date of closure on a Request for Proposals or Technical Assistance.

Appeals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. A 1:1 match is required. The applicants match must come from non-Federal sources. The match may include cash or in-kind contributions. All matching funds must be directly related to the proposed project. Letters for specific request from non-profits, local, state, and Tribal governments, business, companies, corporation, (for profit), institutions of higher education, and special purpose districts, (public utilities districts, fire districts, conservation districts, or port) are encouraged to demonstrate collaboration and the role this federal assistance program can leverage accomplishing local projects. Matching will be required only for financial assistance and will be stated in Request For Proposal, and in accordance with Congressional direction. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As determined by project, no longer than 5 years on grants or cooperative agreements. Technical assistance is limited to one year unless negotiated due to tribal, state or national priorities. Method of awarding/releasing assistance: other

Reports:

Performance reports are required, and the frequency will be negotiated between the Forest Service and recipient. Financial reporting on SF-425 is required on financial assistance Forest Service program managers will provide overall program monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grant recipients may be subject to audit by the Office of Inspector General, USDA.

Records:

Grant records will be maintained for 3 years after submission of final documents. Technical Assistance record will be maintained for 3 years from the close of the assistance. Government Technical Report (GTR) maybe developed to share the results of Technical Assistance collaborative efforts.

Account Identification:

12-1106-0-1-302. 12-1105-0-1-302.

Obligations:

Project Grants FY 20 \$14,353,398.00; FY 21 est \$15,000,000.00; FY 22 est \$15,000,000.00;

Range and Average of Financial Assistance:

Typical awards are \$250,000 per award. Exceptions may be made for special circumstances.

TAFS Codes:

12-1106. 12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kevin Naranjo,
201 14th St SW,
Washington DC 20250
Email: kevin.naranjo@usda.gov
Phone: 404-673-3482

Fax:

Website Address:

<https://www.fs.usda.gov/science-technology/energy-forest-products/wood-innovation>

RELATED PROGRAMS:

10.681 Wood Education and Resource Center (WERC); 10.708 Community Wood Energy and Wood Innovation Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Financial grants and cooperative agreements are approved on the basis of a nationwide review and are based on technical and financial merits of the project as evaluated by a panel of Federal experts or their designees.

10.675 Urban and Community Forestry Program

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title Cooperative Forestry Assistance Act of 1978, Part , Section , Public Law 95-313 as amended, 16 USC Code 2105.

OBJECTIVES:

To plan for, establish, manage and protect trees, forests, green spaces and related natural resources in and adjacent to cities and towns. The urban and community forestry program provides ecosystem services, social and economic benefits. It links these benefits to governmental, private and grassroots organizations and resources to improve the quality of life in these cities and towns.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To assist State Foresters, equivalent State agencies, Tribal nations, interested members of the public and private non-profit organizations in urban and community forestry programs in cities and communities. 070a. National Urban & Community Forestry Challenge Grant Program: Provides a national competitive urban and community forestry challenge cost share grant program to assist urban and community forestry efforts across the country and address strategic urban forestry-related issues and opportunities as identified by the National Urban and Community Forestry Advisory Council.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State Foresters or equivalent State agencies, Tribal nations, interested members of the public, private non-profit organizations and others. All States, as well as the District of Columbia, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa and Guam, and other territories and possessions of the United States are eligible.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Anyone/general public; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State Foresters or equivalent State agencies, local governments, tribes, and non-profit organizations, and other State, local and private agencies acting through State Foresters, equivalent State agencies or other official representatives as agreed by the State Forester, equivalent State agency, and the Secretary. (082a) Projects are to be national or multi-state in scope and benefit the nation as a whole or a multi-state region. Results are to benefit, but not limited to, State Foresters or equivalent State agencies, local governments, Tribal Nations, applicable non-profit organizations, and other State, local, and applicable private agencies.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regional or ITF offices provide states and territories with program funding advice each year. The national competitive challenge cost share grant program. A Request for Proposals (RFP) is advertised with the criteria and eligibility. The RFP is available in hard copy, is published in the Federal Register and is posted to: <https://www.fs.usda.gov/managing-land/urban-forests/ucf>. An electronic mailing list is also maintained by the Washington Office Headquarters Staff.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and other eligible applicants prepare grant proposal narratives for submittal to Regional and Area Offices, accompanied by Form SF-424, SF-424(a) and SF-424(b) and other forms furnished by the Federal agency. Contact Regional or Institute Office listed in http://www.fs.fed.us/ucf/contact_regional.shtml For the national competitive challenge cost share grant program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and other eligible applicants prepare grant proposal narratives for submittal to www.grants.gov or via electronic means or hardcopy to the Forest Service contact person noted on the RFP, accompanied by Form SF-424, SF-424(a) and SF-424(b) and other forms furnished by the Federal agency and required by the OMB Circulars. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Established by the Washington, Regional and Institute offices. http://www.fs.fed.us/ucf/contact_regional.shtml The national competitive challenge cost share grant program award procedures are established by the Washington Office and USDA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Established by the Washington, Regional, Area, and Institute offices. http://www.fs.fed.us/ucf/contact_regional.shtml. Range of Approval/Disapproval varies between Forest Service offices depending on availability of funding. 095a: The national competitive challenge cost share grant program range of approval/disapproval varies between Forest Service Washington Office and Department of Agriculture and on the availability of funding.

Appeals:

Not Applicable.

Renewals:

Other. Amended SF-424 (a) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be established by the Washington, Regional, Area or Institute offices.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Applicant matching requirements are determined by the Washington office. If matching funds are required, the funds cannot come from Federal Sources. Cooperator matches may be non-Federal cash, in-kind and non-cash contributions. Matching requirements may range from 0 percent to 50 percent of the total award amount. 101a: For the national competitive challenge cost share grant program. Applicant match requirements are determined by the statutory authority which requires a 50:50 match. Matching funds may not come from other federal sources. The non-Federal share of the match may be in the form of cash, services or in-kind contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Electronic transfer of payments are required. Grants are normally awarded for a period of 12 months. A no cost extension justification must be received and approved by the Forest Service. Method of awarding/releasing assistance: other

Reports:

Annual accomplishment reports are required. For the national competitive challenge cost share grant program, narrative progress reports are required at mid-year and year-end. SF-425s are required quarterly. Invoices should provide

sufficient additional detail to explain the amount requested for reimbursement. Forest Service program managers will conduct program monitoring for awards under this CFDA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

Project Grants FY 20 \$9,077,684.00; FY 21 est \$20,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For all grant awards under this CFDA: The applicable OMB Circulars are available online at:

<http://www.whitehouse.gov/omb/circulars/index.html> <http://www.fs.fed.us/ucf/index.shtml> <http://www.fs.fed.us/ucf/nucfac.shtml>

Regional or Local Office:

See Regional Assistance Locations. For Forest Service, State and Private Forestry office addresses and telephone numbers. Visit:

http://www.fs.fed.us/ucf/contact_regional.shtml

Headquarters Office:

Beatra Wilson,
201 14th St SW,
Washington DC 20024
Email: beatra.wilson@usda.gov
Phone: 202-596-0955

Fax:

Website Address:

http://www.fs.fed.us/ucf/contact_regional.shtml

RELATED PROGRAMS:

10.664 Cooperative Forestry Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Most grants are approved on the basis of negotiated targets or objectives to be achieved. UCF community grants are based on local needs and resources. The national grant program selects proposals based on the National Urban and Community Forestry Advisory Council's criteria established for a given year.

10.676 Forest Legacy Program

(FLP),

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, , Public Law 95-313 as amended, 16 USC Code 2103c.

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

To effectively identify and protect environmentally important forest areas threatened by conversion to nonforest uses, through the use of conservation easements or fee simple purchase. The Forest Legacy Program (FLP) is a voluntary private land conservation partnership between the Forest Service, participating States, land trusts, private landowners, and others. The Program works with State partners and operates on a willing seller and willing buyer basis and is completely nonregulatory in its approach. No eminent domain authority or adverse condemnation is authorized for this Program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Landowner participation in the program is voluntary and consists of two components: (1) conveying land or interests in land to achieve land conservation objectives and (2) preparing and periodically updating a Forest Stewardship Management Plan or a multi-resource management plan, when the participation is through conservation easement. State lead agencies must first evaluate and approve projects as Forest Legacy eligible before competing for Forest Service funding.

Applicant Eligibility:

State; Projects are evaluated and prioritized by State lead agencies, in consultation with the State Forest Stewardship Coordinating Committees. All States and territories participate except North Dakota, the Commonwealth of the Northern Mariana Islands, and Guam.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; State; State agency, landowners of private forest lands, and land trust organizations.

Credentials/Documentation:

Each State must prepare a State Forest Action Plan (Statewide Assessment and Resource Strategy, including Assessments of Need(AON)) in order to be eligible for program funds. The FS Regional or Institute Responsible Official must concur with the State's Forest Action Plan. Requirements for an eligible project are: (1) within an approved Forest Legacy Area and fits within the priorities of a State's AON; (2) complies with FLP State and National criteria; (3) a completed grant application; (4) an approved Forest Stewardship Plan or a multi-resource management plan, if applicable; (5) negotiated terms of a conservation easement, if applicable; (6) an approved appraisal, meeting Federal acquisition appraisal standards as outlined in the Uniform Appraisal Standards for Federal Land Acquisitions; and, (7) adequate non-Federal cost share. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required, including negotiation of terms for the conservation easement or acquisition. Applicants should proceed with initial appraisal and Forest Stewardship Plan development, as due diligence is one of several key factors in project evaluation and ranking.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Individual participating States have application duties and procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Forest Service conducts an annual project selection process to arrive at a prioritized national project list which may be included in the President's budget. The Forest Service then issues grants to states based on the amounts provided in Congressional appropriations and the prioritized national project list.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. An annual project selection calendar with due dates will be developed each fiscal year, and will identify deadlines and approval times.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Federal Contribution cannot exceed 75 percent of total project costs, and at least a 25 percent non-Federal cost share is required. The non-Federal cost share may consist of: funds, donations, land or interests in land, in-kind contributions, direct costs, indirect costs, and others as determined by the Forest Service. Funds will be provided to the States on a per-project basis, as determined by the annual appropriations. The non-Federal cost share can occur at any phase of the project within the legal grant period, including planning, developing future projects, acquisition, capital improvement, management, or administrative activities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is provided via grants to States. Typical grant period is two years. Grants may receive a no cost extension up to five years with justification if approved by the Forest Service. Method of awarding/releasing assistance: other

Reports:

States are required to submit annual progress reports on outstanding grants. States are required to monitor properties with conservation assessments. Project specific Forest Stewardship plans are required to be established and updated periodically. SF-425 reporting is due at least annually and no more than quarterly as agreed upon in the provisions of the individual agreement. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State Lead Agencies are required to retain complete grant files on each grant issued. States must report annual accomplishments data in the Forest Legacy Information System.

Account Identification:

12-1105-0-1-302. 12-5367-0-1-302.

Obligations:

Project Grants FY 20 \$63,990,000.00; FY 21 est \$94,254,999.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

12-5367. 12-5367.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Legacy Program Implementation Guidelines of 2017

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Office. Refer to the web site below for Regional State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Program contacts: :

<https://www.fs.fed.us/managing-land/private-land/forest-legacy>

Headquarters Office:

Scott Stewart,,
1400 Independence Ave SW, MS 1123,,
Washington DC 20850
Email: scott.stewart@usda.gov
Phone: 202-205-1618

Website Address:

<https://www.fs.usda.gov/managing-land/private-land/forest-legacy>

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project evaluation and priority ranking is based on the three national core criteria (importance, threatened, and strategy, as defined in the 2017 FLP Implementation Guidelines) as well as project readiness and other evaluation considerations developed in consultation with States and FS Units.

10.678 Forest Stewardship Program

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313 as amended; 16 U.S.C. 2103a.

OBJECTIVES:

To promote and enable the long-term active management of non-industrial private and other non-federal forest land to sustain the multiple values and uses that depend on such lands.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To provide financial, technical, educational, and related assistance to State foresters or equivalent State officials for: The delivery of information and professional assistance to owners of nonindustrial private forest lands; The afforestation, reforestation and active management of state and other non-federal forest land; and Improved forest seedling production and distribution.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; State forestry or equivalent State agencies, tribes, non-profits, and municipalities are eligible. All States, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Trust Territory of the Pacific Islands, and territories and possessions of the United States may be eligible.

Beneficiary Eligibility:

Private nonprofit institution/organization; Land/Property Owner; State; Local; Other public institution/organization; Landowners of non-federal lands, non-profit organizations, tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives are eligible. Landowners seeking assistance through the Forest Stewardship Program should contact their state forest agency directly. Program guidelines differ from state to state.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and other eligible applicants prepare grant proposal narratives for submittal to Regional and Area Offices, accompanied by completed standard application forms as furnished by the Federal agency (i.e., SF-424).

Award Procedure:

Established by Regional and Area Offices.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 10 to 60 days after the application is filed with the Forest Service Regional or Area Office. States and eligible applicants are requested to submit Form SF-424(A) with SF-424 application and other required narratives, forms and assurances as advised by the Regional and Area Offices within 10 to 60 days following official funding advice notification.

Appeals:

Not Applicable.

Renewals:

Other. SF-424(A) with SF-424 application is required to amend the grant amount or length of commitment. Other requirements may be established by the Regional or Area Office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Applicant matching requirements are determined by Regional and Area Offices. Matching funds cannot come from other federal sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally awarded for a period of 12 to 18 months. Method of awarding/releasing assistance: other

Reports:

Periodic and annual accomplishment and financial reports are required by Regional and Area offices. These reports must accompany all grantee cost-share reimbursement requests. SF-425 should be submitted at least annually and no more than quarterly. Reporting of accomplishments and expenditure is required under this program as outlined above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Program grant recipients may be subject to audit by the Office of Inspector General, USDA.

Records:

State and other grant recipients and Regional and Area Offices must retain records pertaining to grant payments received for a minimum period of five years. State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation.

Account Identification:

12-1105-0-1-302.

Obligations:

Project Grants FY 20 \$8,016,900.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

\$50,000 to \$400,000

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual Titles 3000 and 3200 and the Forest Stewardship Program National Standards and Guidelines.

Regional or Local Office:

See Regional Assistance Locations. Refer to the Forest Service directory for address and telephone number of the State and Private Forestry Directory in your Region or Area of the Forest Service. <http://www.fs.fed.us/contactus/regions.shtml>

Headquarters Office:

Arvind Bhuta,
201 14th St SW,
Washington DC 20250
Email: arvind.bhuta@usda.gov
Phone: 202-205-1391

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

<https://www.fs.fed.us/managing-land/private-land/forest-stewardship/>

RELATED PROGRAMS:

10.664 Cooperative Forestry Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant grant proposals are reviewed, and funds are granted by Regional and Area Offices.

10.679 Collaborative Forest Restoration

CFRP,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Title VI-Community Forest Restoration, Public Law 106-393, Section 605, Establishment of Program,

OBJECTIVES:

The purposes of the grants are: to promote healthy watersheds and reduce the threat of large, high intensity wildfires, insect infestation, and disease in the forests in New Mexico; to improve the functioning of forest ecosystems and enhance plant and wildlife biodiversity by reducing the unnaturally high number and density of small diameter trees on Federal, Tribal, State, County, and Municipal forest lands; to improve communication and joint problem solving among individuals and groups who are interested in restoring the diversity and productivity of forested watersheds in New Mexico; to improve the use of, or add value to, small diameter trees; to encourage sustainable communities and sustainable forests through collaborative partnerships, whose objectives are forest restoration; and to develop, demonstrate, and evaluate ecologically sound forest restoration techniques.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding may be allocated for such things as technical assistance, training and education, equipment, marketing, personnel, travel, and all costs associated with forest and watershed restoration on federal, tribal, state, land grant, county or municipal forest land.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Local and tribal governments, educational institutions, landowners, conservation organizations, and other interested public and private entities. Grant proponents must include a diverse and balanced group of stakeholders as well as appropriate Federal, Tribal, State, County, and Municipal government representatives in the design and implementation of the project. The projects may be entirely on, or on any combination of, Federal, Tribal, State, County, Land Grant, or Municipal forestlands. Processing facilities may be located on private land if they are associated with restoration activities on public land.

Beneficiary Eligibility:

Private nonprofit institution/organization; Local; Public nonprofit institution/organization; See Applicant Eligibility.

Credentials/Documentation:

Credentials or documentation are not required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Implementation Project Proposals must include a signed NEPA Decision

Memo.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are required to submit form SF-424, SF-424(a), SF-424 (b), AD 1047, AD 1049, and other information described in the Request for Applications. Proposals should be sent to: CFRP Program, Cooperative and International Forestry, USDA Forest Service, Room 329, 333 Broadway SE, Albuquerque, NM 87102. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Collaborative Forest Restoration Program Technical Advisory Panel evaluates the proposals and provides recommendations to the Secretary of Agriculture on which ones best meet the purposes, objectives, and administrative requirements of the program. The Panel also evaluates the effect of each proposal on long-term forest management. The Secretary of Agriculture selects proposals for final award. The Secretary of Agriculture charters the Panel as a Federal Advisory Committee, appoints members, and approves proposals for final award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the Southwestern Regional Office or the appropriate Forest Supervisor's Office.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The Federal share of an individual project cost shall not exceed 80 percent of the total cost. The 20-percent matching may be in the form of cash of in-kind contribution. Projects cannot exceed the total annual cost of \$150,000, with the federal portion not exceeding \$120,000 annually, nor exceed a total cost of \$450,000 for the project, with the Federal portion of the total cost not exceeding \$360,000 (P.L. 106-393, Title VI, Sec. 605 (a) and (b)(8)).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects may not exceed 4 years in length (Public Law 106-393, Title VI, SEC. 605(b)(7)). Assistance will be provided by no more than monthly payments. Method of awarding/releasing assistance: other

Reports:

Periodic and final performance reports are required. Annual financial reports will also be required. Grant recipients must attend an annual workshop with other stakeholders for the purpose of discussing the Collaborative Forest Restoration Program and projects implemented under it. Grant recipients may use project grant funds to pay for their travel and per diem expenses to attend the workshop.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State and other generally accepted accounting systems can be used if control procedures allow for proper audit and reconciliation. All grantees must maintain adequate systems for collection and recording statistical data. Project records must be maintained for a period of three years after the project has ended.

Account Identification:

12-1106-0-1-302. 12-1115-0-1-302.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$3,839,870.00; FY 22 Not Available;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

\$66,361.00-\$360,000.00

TAFS Codes:

12-1105, 12-1115, 12-5201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The authorizing legislation (Public Law 106-393 Title VI), a list of the members of the Collaborative Forest Restoration Program Technical Advisory Panel, The Charter for the Technical Advisory Panel, The Technical Advisory Panel Recommendations, The Request for Applications, Project Summaries for funded activities, a List of Forest Service Contacts, and multi-party monitoring guidelines can be found on the website for the Southwestern Region of the Forest Service at www.fs.usda.gov/goto/r3/cfrp.

Regional or Local Office:

See Regional Assistance Locations. Refer to www.fs.usda.gov/goto/r3/cfrp for addresses and telephone numbers of the CFRP Coordinators on Forest Service National Forests in New Mexico. These are the Gila, Lincoln, Cibola, Santa Fe, and Carson National Forests.

Headquarters Office:

Ian Fox,
333 Broadway Blvd. SE,
Albuquerque NM 87102
Email: ian.fox@usda.gov
Phone: 505-842-3425

Fax:

Website Address:

<http://www.fs.usda.gov/goto/r3/cfrp>

RELATED PROGRAMS:

11.303 Economic Development_Technical Assistance; 10.854 Rural Economic Development Loans and Grants; 11.307 Economic Adjustment Assistance; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 10.664 Cooperative Forestry Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated to determine if they followed the administrative requirements of the program and the proposal format described in the Request for Applications. The Collaborative Forest Restoration Program Technical Advisory Panel will evaluate grant proposals based on the purpose and eligibility requirements described in the Community Forest Restoration Act of 2000 (Section 3 and Section 5, Title VI, Public Law 106-393). The Panel will consider the proposed projects effect on long-term management and the capability of the proponents to accomplish the project. The Panel will also examine the degree to which proposed activities complement existing projects and programs and evaluate whether the project would reduce the danger of wildland fire in high-risk areas in New Mexico.

10.680 Forest Health Protection

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313 as amended, 16 U.S.C. 2104,

OBJECTIVES:

Protect non-Federal forest and tree resources from damaging forest insects, disease causing agents, and invasive plants; develop/improve forest health protection technologies; and monitor the health of our nation's forests.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

To assist State Foresters, State Plant Regulatory Officials, equivalent State officials, or other official representatives, subdivisions of states, agencies, institutions (public and private), organizations (profit or nonprofit), and individuals on non-Federal lands. Activities include: conducting surveys to detect and assess insect, disease, invasive plant and other stressors; monitoring and reporting on the health of forests and trees; recommending measures to prevent, retard, slow the spread, control, suppress or eradicate incipient, potential, threatening or emergency forest and tree pests; planning, organizing, directing, and performing such measures; providing technical and scientific information, advice, and related assistance; developing applied methods and technology to improve management of forest health; providing information on pesticides and their use; promoting implementation of appropriate silvicultural or management techniques to improve forest health; and taking other actions deemed necessary to accomplish the objectives and purposes of the Forest Health Protection program. Assistance to tribal lands held in trust by the United States Government is provided directly by the Forest Service, and thus are not included in this cooperative program. However, other tribal lands, such as Alaska Native Corporation Lands, that are not held in trust are included.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State Forestry, State Agriculture or equivalent State agencies, subdivisions of states, Alaska native corporations and tribal governments (for lands not held in trust), institutions (public and private), organizations (profit and non-profit), and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the Virgin Islands, the Northern Mariana Islands, the Trust Territory of the Pacific Islands, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; State; Public nonprofit institution/organization; Other public institution/organization; State Foresters, State Plant Regulatory Officials, equivalent State officials or other official representatives, tribes, subdivisions of states, agencies, institutions (public and private), organizations (profit or nonprofit), and individuals on non-Federal lands.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200, 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact Regional or International Institute of Tropical Forestry (IITF) Office listed at: <http://www.fs.fed.us/contactus/regions.shtml>

Award Procedure:

Established by the Regional or IITF Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Submission due dates are developed for each fiscal year. Contact Region or IITF office. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not Applicable.

Renewals:

Other. Submit SF-424(A) with SF 424 application to amend the allotment amount or length of commitment; other requirements may be established by the Regional,

ASSISTANCE LISTINGS DESCRIPTIONS

Area or IITF Office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Funding for the Cooperative Forest health Protection program is provided to states to help support a base level of professional forest health expertise for non-federal forest managers. Grants for this program are allocated to states using a formula that is based on the number of acres of non-federal forest land within the states, other factors, and amount of funding available through annual appropriations. Applicant matching for cooperative Forest Health Protection programs and projects that treat specific forest health problems on non-federal lands is required and is 50%. In cases where it is mutually agreed upon that an applicant will treat federal lands as part of a cooperative project or program, the Forest Service reimbursement is 100% for the federal portion. However, applicant matching rates may be adjusted in emergency or extraordinary situations. Forest Service cost-share rates for activities involving development of new and improved forest health protection methods and technologies, and the conduct of special projects and evaluations are negotiated on a project-by-project basis with the grantee. Exceptions from the 50% cost-share requirement must be approved by the Deputy Chief for State and Private Forestry. The applicant share of cooperative programs may be in the form of cash, services, or in-kind contributions. If a state elects to consolidate the annual Forest Service financial assistance, in lieu of functional cost sharing mechanisms, the total consolidated payment to any state during any fiscal year may not exceed the total amount of non-federal funds expended to implement its state forest resources program during that year. Treatment funds and activities cannot be a part of the consolidated payment and must stand alone to meet the 50% match requirement (See FSM 3431.22).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are typically awarded for one or two years and may be extended on request and with the approval of the program manager. Method of awarding/releasing assistance: other

Reports:

Periodic and annual accomplishment reports are required per the provisions in the final agreement. SF425 is required no less than annually and no more than quarterly for each agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

Project Grants FY 20 \$13,958,058.00; FY 21 est \$22,614,792.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Title 3400 is available in all Forest Service offices, and State Forestry agency offices. OMB Circular Nos. A-102 and A-87, as implemented by 7CFR Part 3016 and 2 CFR 225, are applicable to State and local government grantees.

Regional or Local Office:

See Regional Assistance Locations. Refer to <http://www.fs.fed.us/contactus/regions.shtml> for Regional State and Private

Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters.

Headquarters Office:

Director, Forest Health Protection Rick Cooksey,
1400 Independence Ave SW, Mailstop 1110,
Washington DC 20250
Email: rick.cooksey@usda.gov
Phone: (703) 605-5340

Website Address:

<http://www.fs.fed.us/spf/foresthealth>

RELATED PROGRAMS:

10.664 Cooperative Forestry Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All grants and cooperative agreements are approved on the basis of negotiated targets, project objectives to be achieved, and relationship to national and regional forest health issues, priorities, and needs.

10.681 Wood Education and Resource Center (WERC)

WERC,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Public Law 105-277, Div. A, Section 101(e) Title III, Section 343, 112 Stat. 2681-231, 2681-297; Cooperative Forestry Assistance Act of 1978, as amended, 16 USC 2101 et. seq.; Forest and Rangeland Renewable Resources Act of 1978, as amended, 6 USC 1600-1614.

OBJECTIVES:

To provide technical assistance and funds, on a cost-share basis, for projects that enhance opportunities for sustained forest products production for primary and secondary wood industries located in the eastern hardwood forest region of the United States. In order to maintain and improve the health and stewardship of our Nation's forests, local wood-using industries need to be vibrant and able to utilize a wide variety of resources from the forest. Priorities include: Maintain the economic competitiveness of primary and secondary wood industries. Examples include: 1) Encouraging the adoption of new technology to improve competitiveness and profitability, 2) Bringing information and technology about processing, marketing, and business-related skills as well as urban wood utilization to existing and emerging businesses, and 3) Developing utilization options that improve forest stewardship and health. 4) Support activities that maintain or enhance harvesting industry capacity to serve the wood industry. Increase the knowledge and information about how the wood industry can contribute to the green building movement. Examples include chain of custody certification for sustainable wood products as well as life cycle analysis for a range of wood products. Increase the knowledge, information, and promotion of how carbon sequestration by wood products can provide a competitive edge to a sustainable forest products industry. An example includes developing specific carbon storage factors for a range of wood products. Develop technology and markets to address urgent issues on a global or domestic scale, including: 1) Sanitizing wood packaging materials, firewood, and similar products to eliminate these pathways for the transport of insect and disease pests, and 2) Developing markets for and using unexpected increases in the volume of urban and rural wood due to new pest introductions (for example, emerald ash borer) and weather events such as tornadoes and ice storms. Increase the sustainable use of woody biomass to meet our Nations needs for energy, raw materials, and reductions of hazardous fuels. Examples include public/private partnerships for using woody biomass in heating and cooling, process energy, cogeneration, district energy systems, and solid and liquid fuel production. Projects may also develop or maintain local markets and forest industry infrastructure by using woody biomass for both energy and value-added products.

ASSISTANCE LISTINGS DESCRIPTIONS

Provide key information to address the economic, social and ecological aspects of woody biomass production and use: including sustainable supplies, harvesting techniques, forest management, plantation development, and environmental implications.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects need to complement existing activities and/or focus on niches that are not being met by either industry or service providers. In addition, projects need to clearly document industry support and involvement.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Nonfederal agencies; public and private agencies including State, local and tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses; and others.

Beneficiary Eligibility:

Private nonprofit institution/organization; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Land/Property Owner; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Nonfederal agencies; public and private agencies including State, local and tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses.

Credentials/Documentation:

No credentials or documentation are required 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Depending on available funding, an application package (including instructions, application and electronic location of financial forms) is developed each year that provides guidelines for applicants to follow in developing their proposal. Standard financial assistance application forms (SF-424, SF-424A and applicable Certifications) are required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Established by the USDA Forest Service, Eastern Regional Office, Milwaukee, WI

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. SF-424A with SF-424 application is required to amend the awarded amount or length of commitment. Unsuccessful applications can be resubmitted in future years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Depending on budget authority, anywhere from 20 to 50 percent match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants or Cooperative Agreements are normally awarded for a period of 12 to 36 months. See the following for information on how assistance is awarded/released:

Established in award terms. Method of awarding/releasing assistance: other

Reports:

Annual accomplishment and periodic financial reports are required. In addition, a detail final report pertaining to program accomplishments is required. Invoices should provide sufficient detail to document the amount requested for reimbursement. Forest Service program staff will conduct monitoring activities to measure performance against established grant objectives. Invoices should provide sufficient detail to document the amount requested for reimbursement. Use form SF-425. Forest Service program managers will conduct monitoring activities to measure performance against established grant objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients need to maintain records adequate to collect and report statistical data. Forest Service or its designated representatives will have access to recipient records upon request.

Account Identification:

12-1105-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$250,000.00;

Range and Average of Financial Assistance:

Range varies from \$10,000 to \$100,000. Average project grant award is approximately \$50,000.

TAFS Codes:

12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

https://www.na.fs.fed.us/werc/projects/werc/p_details.cfm?ID=31

Regional or Local Office:

See Regional Assistance Locations. USDA Forest Service, Northeastern Area, Wood Education and Resource Center, 301 Hardwood Lane, Princeton, WV 24740, Phone: (304) 487-1510; FAX: (304) 487-6661, E-mail: werc@fs.fed.us

Headquarters Office:

Brian Brashaw,
201 14th St SW,
Washington DC 20250
Email: brian.brashaw@usda.gov
Phone: 202-205-1380
Fax:

Website Address:

<http://www.na.fs.fed.us/werc/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

When budgets allow for a competitive grants program, an evaluation panel is convened on an annual basis to evaluate and rate proposals received. Proposals are evaluated on a number of criteria including alignment with WERC's mission and goals, focus on yearly priority items, clear deliverables, industry support, minimal duplication, length of time, multi-state project, and training.

10.682 National Forest Foundation

NFF,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

ASSISTANCE LISTINGS DESCRIPTIONS

AUTHORIZATION:

16 U.S.C. USC Code 583j.

OBJECTIVES:

The purposes of the National Forest Foundation are to encourage, accept, and administer private gifts of money, and of real and personal property for the benefit of, or in connection with, the activities and services of the Forest Service of the Department of Agriculture; undertake and conduct activities that further the purposes for which units of the National Forest System are established and are administered and that are consistent with approved forest plans; and undertake, conduct and encourage educational, technical and other assistance, and other activities that support the multiple use, research, cooperative forestry and other programs administered by the Forest Service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To aid conservation partnership projects in support of the Forest Service mission, without regard to when the Foundation incurs expenses, for administrative expenses or projects on or benefitting National Forest System lands or related to Forest Service programs: Provided, That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match on at least one-for-one basis funds made available by the Forest Service: Provided further, That the Foundation may transfer Federal funds to Federal or a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Program is authorized for the National Forest Foundation under National Forest Foundation Act, Public Law 101-593 as amended by Public Law 103-106.

Beneficiary Eligibility:

Private nonprofit institution/organization; Small business; Profit organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Potential beneficiaries include non-governmental, nonprofit 501(c)(3) and for profit organizations, state and local governments and Native American tribes working on or adjacent to National Forests and Grasslands throughout the United States.

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. National Forest Foundation shall submit a Federal Financial Assistance application SF-424 annually based on the amount of Congressionally appropriated funds.

Award Procedure:

Not Applicable

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. 50% matching funds to be provided from private contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Accomplishment reports as set forth in the annual award letter are required. Quarterly performance reports are required. In addition, NFF is required to submit quarterly Federal Financial Reports (SF-425s). These documents are to be submitted on the same schedule (within 30 days of the close of each quarter). The Forest Service program manager shall conduct monitoring activities to track program accomplishments. Actual expenditures are detailed on each request for reimbursement and/or advance liquidation request. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements.

Records:

National Forest Foundation shall maintain programmatic and accounting records applicable to each annual award for a period of not less than 3 years after the completion of all activities thereunder.

Account Identification:

12-1106-0-1-302. 12-1103-0-1-302. 12-1105-0-1-302. 12-1104-0-1-302. 12-1115-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$3,000,000.00; FY 21 est \$3,062,185.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

12-1105. 12-1103. 12-1115. 12-1104. 12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Leanne Veldhuis,
201 14th Street SW, Suite 4NW,
Washington DC 20250
Email: leanne.veldhuis@usda.gov
Phone: 202-649-1177

Website Address:

<http://www.nationalforests.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.683 National Fish and Wildlife Foundation

National Fish and Wildlife Foundation (NFWF),

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Public Law 98-244 Section 2(b)(2),

OBJECTIVES:

Created by Congress in 1984, National Fish and Wildlife Foundation (NFWF)

ASSISTANCE LISTINGS DESCRIPTIONS

directs public conservation dollars to the most pressing environmental needs and matches those investments with private funds. The Foundations method is simple and effective to work with a full complement of individuals, foundations, government agencies, nonprofits, and corporations to identify and fund the Nations most intractable conservation challenges.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To aid cost-share conservation projects, without regard to when expenses are incurred, on or benefitting National Forest System lands or related to Forest Service programs provided, that such funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Program authorized for the National Fish and Wildlife Foundation (NFWF) only.

Beneficiary Eligibility:

Public nonprofit institution/organization; No additional information

Credentials/Documentation:

No Credentials or documentation are required 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Federal Assistance Application, SF-424 and SF-424 A, must be submitted annually based on the amount established in the Forest Service fiscal year appropriations.

Award Procedure:

Not Applicable

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 98-244.Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable Method of awarding/releasing assistance: lump sum.

Reports:

Annual accomplishment reporting is required. Actual expenditure detail is required in requests for reimbursement and/or liquidation of advances. The Forest Service program manager will conduct monitoring activities to track accomplishments against goals established in the award terms.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Retain all programmatic and accounting records for a period of not less than three (3) years from the completion date of awards for review by the Forest Service or its designated representative, as required.

Account Identification:

12-1115-0-1-302. 12-1106-0-1-302. 12-1104-0-1-302. 12-1105-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$300,000.00; FY 21 est \$300,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

12-1105. 12-1115. 12-1106. 12-1104.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. USDA, Forest Service, National Forest System, Watershed, Fish, Wildlife, Air and Rare Plants, 201 14th Street, SW Washington, DC 20024 (street address and express mail)

Headquarters Office:

Director, Watershed, Fish, Wildlife, Air and Rare Plants Robert M. Harper, 201 14th St. NW, 3SE, Washington DC 20024

Email: robert.harper@usda.gov

Phone: 202-205-1671

Website Address:

<http://www.nfwf.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.684 International Forestry Programs

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

International Forestry Cooperation Act of 1990, Act of November 4, 1990, Public Law 101-513, Title VI; 104 Stat. 2070; 16 U.S.C 4501 (note), 4501-4503, 4503a-d, 4504-4505.,

OBJECTIVES:

To extend Forest Service efforts to improve forest policies and practices internationally, address climate change, conserve and protect critical global forest environments and resources, and improve the lives of forest-dependent peoples by awarding grants to and entering into cooperative agreements with nonprofit organizations, multilateral organizations, and other individuals, organizations, institutions, and governments engaged in forest conservation and management.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are used to carry out, supplement and complement Forest Service international activities in a wide range of fields, including but not limited to forest policies and regulations, forest products trade, forest management practices, wildlife management, watershed management, recreation and tourism, fire management, forest insect and disease prevention and response, invasive species preventions and response, disaster response and mitigation, landscape planning, forest product development and utilization, climate change adaptation and mitigation, forest economics, and training and institutional strengthening. Grants and cooperative agreements will be limited to five years.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Potential applicants include U.S. and international organizations, educational institutions, government entities, and individuals. International applicants must be from countries sanctioned by the State Department.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Potential beneficiaries include host-country forest management agencies, non-profit organizations, forest landowners in the target countries, forest-dependent communities and peoples in the targeted countries, and U.S. landowners and organizations involved in or concerned with invasive species mitigation, migratory species conservation, legal trade in forest products, and the impact of climate change on forests.

Credentials/Documentation:

Project managers and organizations must document competence in the field and activities of the project proposed. Eligible projects must fit within the U.S. Forest Service International Programs priorities, comply with all federal grants and agreements regulations, and be documented through a completed grant application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A complete proposal must be submitted to International Programs and explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, resources such as equipment, facilities, services available or needed, and a detailed proposed budget for each fiscal year during the life of the grant. All grant proposals must show technical competence and demonstrate ability to meet international, transnational, national, regional or local needs. Proposals for federal financial assistance must also submit a SF-424, SF-424(A) and SF-424(B).

Award Procedure:

Proposals will be evaluated by the Forest Service Office of International Programs, in consultation with the U.S. State Department and the U.S. Agency for International Development, where relevant, for purposes of ensuring compliance with U.S. foreign policy and objectives. Proposed activities will be assessed for their ability to improve forest management and the welfare of forest-dependent peoples in the targeted country or countries, as well as their ability to enhance or complement existing or planned Forest Service programs and activities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal along with a detailed summary of progress to date. Applications for continued support must be received no later than 3 months prior to the expiration of the existing grant or agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The applicant's contribution is negotiated. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and the value of resources to be contributed by the applicant. The non-Federal cost share may

consist of: funds, donations, in-kind contributions, direct costs, indirect costs, and other as determined by the Forest Service. Funds will be provided on a per-project basis, as determined by annual budget allocations. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 5 years. Billing and invoices for expenses incurred may be submitted quarterly or semi-annually. Electronic transfers of payments are encouraged. Method of awarding/releasing assistance: other

Reports:

Final technical reports and financial status reports are due within one (1) month of completion of the project or of the expiration of the agreement, whichever is first. Financial status reports using a SF-425 are required at least annually and no more than quarterly as detailed in the applicable OMB Circulars and the grant award. Technical progress reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All related data, information, records and accounts shall be retained for 3 years beyond the expiration date of the grant unless other disposition is specified in writing by the awarding agency.

Account Identification:

12-1105-0-1-302. 12-1106-0-1-302. 12-1104-0-1-302.

Obligations:

Project Grants FY 20 \$18,188,742.00; FY 21 est \$10,010,851.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1021. 12-1104. 12-1021. 12-1105. 12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please consult with International Programs for information relevant to a specific proposal.

Regional or Local Office:

See Regional Assistance Locations. Val Mezainis, Director, International Programs, 202-644-4600 val.mezainis@usda.gov. Vanessa Felder-Pinkney, Grants and Agreements Specialist, International Programs, vanessa.pinkney@usda.gov, 202-644-4613.

Headquarters Office:

Program Specialist Vanessa Felder-Pinkney,
1 Thomas Circle NW Suite 400,
Washington DC 20005
Email: vanessa.pinkney@usda.gov
Phone: 202-644-4613

Website Address:

<http://www.fs.fed.us/global>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis and approved based on the negotiated objectives to be achieved at international, national, regional and local levels.

ASSISTANCE LISTINGS DESCRIPTIONS

10.689 Community Forest and Open Space Conservation Program (CFP)

Community Forest Program (CFP),

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, P.L. 95-313 as amended, 16 USC 2103d. The final rule for implementation of the Community Forest and Open Space Conservation Program (36 CFR Part 230) was published in the Federal Register in October 2011.,

OBJECTIVES:

The purpose of CFP is to achieve community benefits by establishing community forests through 50/50 financial assistance competitive grant awards to local governments, federally recognized tribes, and eligible nonprofit organizations. Grant funds are used by successful applicants to acquire and protect private forestlands. Community forest benefits are specified in the authorizing statute (16 U.S.C. 2103(d) & 2109(e)) and final rule (36 CFR Part 230), including: economic benefits from sustainable forest management and tourism; environmental benefits from natural resource conservation such as storm water management, clean air and water, and wildlife habitat; forest-based educational programs, model forest stewardship activities; and recreational opportunities. Public access to the community forests is required and intended to enhance public health and well-being. In addition, the program authorizes allocation of a limited amount of appropriated funds to State Foresters and equivalent Indian tribe officials for technical assistance to implement community forest projects.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Landowner participation in the program is voluntary and consists of conveying land to achieve conservation objectives.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Other private institutions/organizations; Project grants are awarded to local governments, Indian tribes, or nonprofit organizations (as defined in 16 U.S.C. 2103(d)) qualified to acquire land and with the authority to manage land.

Beneficiary Eligibility:

Federal; Individual/Family; Private nonprofit institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; No additional information

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regional or International Institute of Tropical Forestry (IITF) Offices and the State Foresters can be a resource for assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An annual request for applications will be published in the Federal Register with detailed instructions and updates to application procedures. Applicants will provide a narrative on the project with up to two eight inch by eleven inch maps, the draft community forest plan, and all forms required to process the grant (final rule section 230.7).

Documentation of applicant eligibility (final rule section 230.2), information regarding the property, and information regarding the proposed community forest must be included in the application.

Award Procedure:

The Forest Service will publish an annual request for applications based on the funding proposed in the President's Budget. The Forest Service will prioritize a national project list and issue grant to eligible applicants based on the amount

provided in Congressional appropriations.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Funds reimbursed by the Federal Government may not exceed 50 percent of the total project budget expended by the grantee. The non-Federal share of the match may be in the form of cash, services, or in-kind contribution. This program may use up to 10% of its funds for each fiscal year to provide technical assistance grants. Technical assistance funds do not have a matching requirement and are available to state foresters or equivalent officials of Indian tribes with a funded project in their jurisdiction. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The annual request for applications will describe in greater detail the award assistance length and time phasing. The initial grant period is 2 years and funds are released in accordance with the final rule, including completion of acquisition requirements under section 230.8. Method of awarding/releasing assistance: other

Reports:

The Forest Service program manager will conduct monitoring activities to measure performance against established grant objectives. Grant recipients must provide the Forest Service with a Geographic Information System (GIS) shapefile: a digital, vector-based storage format for storing geometric location and associated attribute information, of CFP project tracts and cost share tracts, if applicable. Additional requirements under section 230.9 of the final rule also apply. SF 425 Federal Financial Report will be used to report total program expenditures that clearly reflect the established 50% match. The Forest Service program manager will conduct monitoring activities to measure performance against established grant objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Access to financial reports shall be provided to Forest Service or its designees as described in the award.

Account Identification:

12-1105-0-1-302. 12-5367-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$4,983,000.00; FY 21 est \$5,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Individual grant applications may not exceed \$600,000 in program financial assistance. Range is from \$86,150 to \$600,000, with an average of \$329,000

TAFS Codes:

12-1105. 12-5367.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Forest Service S&PF, Cooperative Forestry 1400 Independence Avenue, SW Stop Code 1123 Washington, DC 20250

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Scott Stewart,
1400 Independence Ave SW, MS-1123,
Washington DC 20850
Email: scott.stewart@usda.gov
Phone: 202-205-1618

Website Address:

<https://www.fs.fed.us/managing-land/private-land/community-forest/program>

RELATED PROGRAMS:

10.676 Forest Legacy Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Forest Service will give priority to an application that maximizes the delivery of community benefits through a high degree of public participation; and (1) Type and extent of community benefits provided. Community benefits include:(i) Economic benefits such as timber and non-timber products resulting from sustainable forest management and tourism(ii) Environmental benefits, including clean air and water, stormwater management, and wildlife habitat(iii) Benefits from forest-based experiential learning, including K-12 conservation education programs; vocational education programs in disciplines such as forestry and environmental biology; and environmental education through individual study or voluntary participation in programs offered by organizations such as 4-H, Boy or Girl Scouts, Master Gardeners, etc.(iv) Benefits from serving as replicable models of effective forest stewardship for private landowners(v) Recreational benefits secured through public access, such as hiking, hunting and fishing (2) Extent and nature of community engagement in the establishment and long-term management of the community forest(3) Amount of cost share leveraged(4) Extent to which the community forest contributes to a landscape conservation initiative(5) Extent of due diligence completed on the project, including cost share committed and status of appraisal(6) Likelihood that, unprotected, the property would be converted to nonforest uses(7) Costs to the Federal government(8) Additional considerations as may be outlined in the RFP

10.690 Lake Tahoe Erosion Control Grant Program

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Lake Tahoe Restoration Act, Public Law 106-506, as amended by Department of the Interior and Related Agencies Appropriations Act for Fiscal Year 2004, Public Law 108-108.,

OBJECTIVES:

To make annual payments to the governing bodies of each of the political subdivisions (including public utilities) located in the Lake Tahoe Basin to be used primarily for erosion control and water quality projects. The goal of the program is to provide federal assistance to address urban stormwater runoff pollution, the primary source of pollutants (fine sediment and nutrients) affecting the clarity of Lake Tahoe. This program addresses urban runoff from public roads and right of ways. To fund a competitive grant award program for research projects high in technical merit and relevant to land management and regulatory agencies working in the Tahoe Basin. The goal of the program is to promote applied, timely, relevant research that addresses natural resource management needs in the basin. Research funded through this program addresses key management questions, includes input from agencies, produces decision-support tools that are useful and accessible, fosters collaboration and communication, builds on previous research, and ultimately addresses the science needs identified in the Lake Tahoe Environmental Improvement Program. The environmental goals of the Lake Tahoe Environmental Improvement Program are defined using thresholds that were established to protect the natural environment and maintain public health and safety within the basin. The threshold categories are: 1) water quality, 2) wildlife, 3) soil conservation, 4) scenic resources, 5) air quality, 6) recreation, 7) vegetation, 8) noise, and 9) fisheries.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Only after water quality and soil conservation thresholds have been achieved, funds may be used to address other environmental threshold categories. Payments shall be used only to carry out a project or proposed project that is part of the environmental improvement program adopted by the Tahoe Regional Planning Agency in 1998.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants for National Forest System awards includes State Forestry or equivalent agencies, tribes, non-profits, and municipalities in the local governing bodies of political subdivisions in the Lake Tahoe basin. Eligible applicants for competitive research awards includes State Agricultural Experiment Stations, universities and colleges, State and local governments, U.S. Territories, nonprofit research institutions or organizations, international organizations, individuals, and for-profit organizations.

Beneficiary Eligibility:

Local; Beneficiary eligibility for National Forest System awards include governing bodies of each of the political subdivisions (including public utilities) located in the Lake Tahoe Basin. Beneficiary eligibility for competitive research awards include organizations and scientists involved in basic and applied research activities related to forest and rangeland renewable resources.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Submittal of an annual list of priority projects, along with written proposals for each of the identified priority projects. The USFS has chosen to adopt the State guidelines for the format of these proposals, since they meet all the needs for project narratives for federal grant considerations, with one additional requirement. That additional requirement is clear identification of sources and amounts of proposed match funding. Implementation of construction projects under awarded grants are not allowed until a signed project decision under NEPA has been made, allowing the use of federal grant funds for implementation. Local Office monitors grant awards to assure compliance of this restriction. Planning, design, and monitoring grants do not require an environmental impact assessment. An environmental impact assessment is required for this program. For competitive research awards, applicants must adhere to the requirements of the current Request for Proposals as stipulated by the Pacific Southwest Research Station.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Project narratives submitted through the pre-application process are reviewed by an interagency technical advisory committee convened by the Federal grants program managers. Projects are then identified for award, along with instructions for any needed revisions to the project narratives. At that time, eligible applicants submit revised narratives along with standard application forms and assurances to the Local Office, as furnished by the Federal agency. For competitive research awards, a complete proposal must be submitted following guidelines provided by the Pacific Southwest Research Station. The proposal must explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, other resources such as equipment, facilities, and services available or needed, and a proposed budget for each fiscal year during the life of the proposed grant. A short biographical sketch of the principal investigator and a list of his or her principal publications should be included.

Award Procedure:

National Forest System will send an award letter to grantee, with identification of grant requirements, in letter from Local Office. Research award proposals must

ASSISTANCE LISTINGS DESCRIPTIONS

compete for priority, showing technical competence and demonstrating the ability to meet regional needs. Research grants cooperative agreements are made only in support of forestry research and monitoring of the Lake Tahoe Basin. Unsolicited proposals can be awarded for innovative or unique approaches to the proposed project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. For competitive research grants or cooperative agreements contact Pacific Southwest Research Station's Tahoe Science Coordinator.

Appeals:

Not Applicable. Contact Research Station for guidance regarding competitive research grants or cooperative agreements.

Renewals:

From 60 to 90 days. Extensions can be made for individual grants as long as end dates do not exceed five years post award date. For competitive research awards requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal, except that a resume of progress to date must be added.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. For National Forest System awards, notwithstanding section 9(b) of Public Law 106 506, funds hereinafter appropriated under Public Law 106506 shall require matching funds from non-Federal sources on the basis of aggregate contribution to the Environmental Improvement Program, as defined in Public Law 106506, rather than on a project-by-project basis, except for those activities provided under section 9(c) of that Act, to which this amendment shall not apply. For competitive research awards, as authorized by Public Law 106506 section 6(b) and 6(d), the applicant's contribution is negotiated for grants. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and value of resources to be contributed by the applicant. Salaries of academic personnel for periods when they receive salary for teaching should not be included for payment from grant funds. The minimum matching contribution for Cooperative Agreements must constitute at least 20-percent of the estimated total cost of the project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a period not to exceed 5 years. Invoices may be submitted no more frequently than monthly. Method of awarding/releasing assistance: other

Reports:

Accomplishment reports are required at least annually, but not more than quarterly as detailed in the applicable OMB Circulars and the grant award. A final accomplishment report, financial expenditures report, and final as-built project design drawings are required within 90 days of project completion. For competitive research awards, in addition to project-specific reports and products, all funded projects are required to submit quarterly project updates in January, April, July, and October and annual accomplishments reports to comply with the requirements of the Tahoe Science Program. Proposals must account for these reports in the project budgets and timelines. A final progress report showing all tasks complete and a final accomplishments report must be submitted along with the final project report and/or products. The final invoice and the final federal financial report (SF-425) must be submitted within 90 days of the Pacific Southwest Research Station agreement end date. Financial expenditures reporting is required. SF-425

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial and programmatic records must be retained for three years from the date of submission and approval of final financial reports. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1106-0-1-302. 12-1104-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$220,000.00; FY 21 est \$220,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1106. 14-5232.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Genevieve Villemarie, Forest Service, 35 College Drive, South Lake Tahoe, CA 96150, Telephone: 530-543-2783, or genevieve.villemarie@usda.gov Website:

<http://www.fs.fed.us/r5/Itbmu/ecgp/index.shtml>

Headquarters Office:

Genevieve Villemarie,
35 College Drive,
South Lake Tahoe CA 96150
Email: genevieve.villemarie@usda.gov
Phone: 530-543-2783

Website Address:

<http://www.fs.fed.us/r5/Itbmu/ecgp/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants must address planning, construction, or monitoring of projects identified in the Tahoe Regional Planning Agency environmental improvement program (EIP). Projects submitted from this list are selected based on the annual priority list provided by the eligible local governments, and direction provided in the LTRA: 1) The total amounts appropriated for payments under this subsection shall be allocated by the Secretary of Agriculture based on the relative need for and merits of projects proposed for payment under this section. 2) To the maximum extent practicable, for each fiscal year, the Secretary of Agriculture shall ensure that each political subdivision in the Lake Tahoe basin receives amounts appropriated for payments under this subsection. The Pacific Southwest Research Station (PSW) will complete an administrative review of all submitted proposals to ensure that proposal specifications as outlined in the Request for Proposals are met. Proposals passing the administrative review will be forwarded to the Tahoe Science Consortium (TSC). The TSC will coordinate technical reviews of the proposals. Proposals passing technical review will be forwarded to Tahoe Basin agency personnel for relevancy reviews. The TSC will coordinate a synthesis of the results from the technical reviews and agency relevancy reviews to create a list of proposals recommended for funding. PSW will review the suite of recommended proposals to finalize funding allocations; proposals recommended for funding are not guaranteed funding due to constraints on funding allocations. Final funding allocations for recommended proposals will be determined by the number of projects recommended for funding and individual project budgets. Some projects may be identified as alternates to receive support should funds become available during the negotiation of awards. PSW reserves the right to negotiate scopes of work, budget amounts, and deliverables with Principal Investigators based upon feedback from the review process and to comply with Forest Service policies. Projects may be required to modify their proposed indirect cost rates and/or demonstrate cost share contributions. Once the recommendations and funding allocations have been finalized, PSW will forward the package of recommended projects to the Tahoe Regional Executive Committee (TREC) and to the Bureau of Land Management (BLM) for final approval.

ASSISTANCE LISTINGS DESCRIPTIONS

10.691 Good Neighbor Authority

Good Neighbor,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Section 8206 of Public Law 113-79, the Agricultural Act of 2014 (Farm Bill) as amended.,

OBJECTIVES:

To permit the head of any state agency (including the Commonwealth of Puerto Rico) to perform restoration and protection services on Federal land. Note: Appropriations version limits cooperator to State Forestry. Federal land means land that is National Forest System land. It does not include a component of the National Wilderness Preservation System; Federal land on which the removal of vegetation is prohibited or restricted by Act of Congress or Presidential proclamation; or a wilderness study area.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restoration services provided are on a project-by-project basis as planned or made ready for implementation under existing Forest Service authorities. Types of projects include treatment of insect infested trees, reduction of hazardous fuels, and other activities to restore or improve forest, rangeland, and watershed health including fish and wildlife habitat. Projects may be completed by the states or subcontracts utilizing state contract procedures, local government organizations and tribal entities.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State includes state agencies that are part of a State university system. State universities are not eligible. State includes the Commonwealth of Puerto Rico.

Beneficiary Eligibility:

State; Federal; Program authorized for states containing or affected by National Forest System Land, including the Commonwealth of Puerto Rico.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. If necessary or as part of the agreement, appropriate environmental documentation (NEPA) should be completed prior to project implementation. Any decision required to be made under the National Environmental Policy Act of 1969 (42 USC 4321 et seq.) with respect to any authorized restoration services on Federal land to be provided remains the responsibility of the Forest Service. Additional,

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Forest Service and the cooperating agency mutually agree to projects that are appropriate under this authority.

Award Procedure:

The law authorizes sole source award of included projects. Projects and associated funding will be negotiated between the Forest Service and the State agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Negotiated between the Forest Service and the cooperating agency.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Good Neighbor Agreements may be executed for up to 10 years. Method of awarding/releasing assistance: other

Reports:

Performance reports are required at least annually but no more than quarterly as negotiated between the Forest Service and the cooperating agency. Financial reports (SF-425) shall be submitted in accordance with award terms.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All related data, information, records and accounts shall be retained for a period of 3 years beyond the date of submission of final financial reports. Financial and programmatic records will be made available to the Forest Service or its designated agent upon request.

Account Identification:

12-1106-0-1-302. 12-1115-0-1-302. 12-8028-0-1-302. 12-1105-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$23,202,872.00; FY 21 est \$6,298,492.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Variable. Projects may range from \$5,000 - \$1,000,000

TAFS Codes:

12-1115. 12-1105. 12-1106. 12-8028.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See regional and local Forest Service offices

Headquarters Office:

John Crockett,
201 14th St SW,
Washington DC 20250
Email: jwcrockett@fs.fed.us
Phone: 202-205-1495

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All Good Neighbor Agreements are approved on the basis of negotiated work products, project objectives to be achieved, and the relationship to regional forest and watershed health issues, priorities and needs.

10.693 Watershed Restoration and Enhancement Agreement Authority

Wyden Amendment,

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Public Law 105-277, Section 323 as amended by Public Law 109-54 Section 434. This program has been permanently authorized, Public Law 111-11., , Public Law 115-334

OBJECTIVES:

To enter into domestic cooperative agreements with willing participants for the protection, restoration, and enhancement of fish and wildlife habitat and other resources on public or private land and for the reduction of risk from natural disaster where public safety is threatened that benefit these resources within the watershed.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects that protect, enhance, or restore resources within a watershed and provide tangible benefits to achieving Forest Service goals and objectives are allowable under Wyden. Project types are not limited to actual projects on the ground; for example, stream gabion installation, check dam construction, fish habitat restoration, or culvert cleaning. Watershed analysis studies, habitat surveys and wildlife species monitoring, depending on the benefit to resources within the watershed, are also permissible under Wyden. Any project carried out under Wyden authority must comply with all applicable Federal, State and local laws and regulations, policies and permit requirements; for example, National Environmental Policy Act, Clean Water Act, and Endangered Species Act.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Private landowners, State, local or Tribal governments or other public entities, educational institutions or private nonprofit entities.

Beneficiary Eligibility:

Anyone/general public; Land/Property Owner; Projects must be located in the same watershed where the public resource benefits will occur.

Credentials/Documentation:

No Credentials or documentation are required 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Any project carried out under Wyden authority must comply with all applicable Federal, State and local laws and regulations, policies and permit requirements; for example, National Environmental Policy Act, Clean Water Act, and Endangered Species Act. The standard application forms SF 424s as furnished by the federal agency and required by OMB A-102, as implemented by 7 CFR Part 3016, must be used for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Forest Service and cooperator mutually agree to projects that are appropriate.

Award Procedure:

Established by Forest headquarters, Regional or Washington Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Not Applicable

Appeals:

Not Applicable. Not Applicable

Renewals:

From 30 to 60 days. Submit written request and completed SF-424 application and SF-424A (as applicable) to amend amount or length of commitment; other requirements may be established by the affected Forest headquarters, Regional or Washington Office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As specified on the cooperative agreement, but not to exceed 5 years. Invoices may be submitted no more frequently than monthly. Method of awarding/releasing assistance: other

Reports:

As specified in the award document, progress reporting is required to monitor progress against award objectives. Recipients will complete and submit SF-425s to report expenditures and cash on hand, as applicable. The recipient and other parties to the agreement are expected to conduct monitoring activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Access to financial reports shall be provided to Forest Service or its designees as described in the award.

Account Identification:

12-1115-0-1-302. 12-1105-0-1-302. 12-1106-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$8,940,087.00; FY 21 est \$2,438,963.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Varies by type of project and funding available.

TAFS Codes:

12-1115. 12-1106. 12-1105.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, as applicable to the type of recipient and 48 CFR 31.2 for Private Landowners.

Regional or Local Office:

See Regional Assistance Locations. Varies by type of project and funding available.

Headquarters Office:

Director, FS Watershed and Aquatics.,
201 14th Street NW, Room 3SE,
Washington DC 20024
Email: robert.harper@usda.gov
Phone: (202) 205-1671

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements and grants are negotiated on the basis of project objectives to be achieved, with emphasis on restoration, protection, and enhancement of fish and wildlife habitat, and other resources on public and private land, the reduction of risk from natural disaster where public safety is threatened, or a combination thereof or both that benefit these resources within the watershed; availability of funding.

ASSISTANCE LISTINGS DESCRIPTIONS

10.694 Southwest Forest Health and Wildfire Prevention

SWERI,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Southwest Forest Health and Wildfire Prevention Act, Public Law 108-317

OBJECTIVES:

(1) To enhance the capacity to develop, transfer, apply, monitor, and regularly update practical science-based forest restoration treatments that will reduce the risk of severe wildfires, and improve the health of dry forest and woodland ecosystems in the interior West; (2) to synthesize and adapt scientific findings from conventional research programs to the implementation of forest and woodland restoration on a landscape scale; (3) to facilitate the transfer of interdisciplinary knowledge required to understand the socioeconomic and environmental impacts of wildfire on ecosystems and landscapes; (4) to require the Institutes established under this Act to collaborate with Federal agencies to use ecological restoration and wildfire treatments; (5) to assist land managers in restoration-based applications and new management technologies; (6) to provide technical assistance to collaborative efforts by affected entities; and (7) to assist Federal and non-Federal land managers in providing information to the public on the role of fire and fire management.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To require the Institutes established under this Act to collaborate with Federal agencies (A) to use ecological restoration treatments to reverse declining forest health and reduce the risk of severe wildfires across the forest landscape; and (B) to design, implement, monitor, and regularly revise representative wildfire treatments based on the use of adaptive ecosystem management.

Applicant Eligibility:

Sponsored organization; none

Beneficiary Eligibility:

State; Other public institution/organization; One (1) Institute each of (A) the State of Arizona, to be located at Northern Arizona University; (B) the State of New Mexico, to be located at New Mexico Highlands University, while engaging the full resources of the consortium of universities represented in the Institute of Natural Resource Analysis and Management (INRAM); and (C) the State of Colorado, to be located at Colorado State University

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Annual work plans must be approved by the Forest Service in consultation with the Department of Interior. This authority provides for non-competitive awards to the institutes.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Institutes shall submit a Federal Financial Assistance application package, SF-424 forms, annually based on the amount of appropriated funds each fiscal year.

Award Procedure:

None

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

Each Institute must provide a peer-reviewed annual report to the Forest Service in accordance with P.L. 108-317. Accomplishment reports as set forth in the award letter are required. Actual expenditures are detailed on each request for reimbursement and/or advance liquidation request. An SF425 Financial Report must be submitted at least annually. Terms are noted in the award letter. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Institutes shall maintain programmatic and accounting records applicable to each annual award for a period of not less than 3 years after the completion of all activities thereunder.

Account Identification:

12-1105-0-1-302. 12-1115-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$4,000,000.00; FY 21 est \$4,000,000.00; FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

Range of assistance is \$150,000 - \$1,500,000

TAFS Codes:

12-1105. 12-1115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Plan; the report entitled Protecting People and Sustaining Resources in Fire-Adapted Ecosystems A Cohesive Strategy (65 Fed. Reg. 67480); and the report entitled 10-Year Comprehensive Strategy: A Collaborative Approach for Reducing Wildland Fire Risks to Communities and the Environment of the Western Governors Association.

Regional or Local Office:

None.

Headquarters Office:

Ian Fox,
333 Broadway Blvd SE,
Albuquerque NM 87102
Email: ian.fox@usda.gov

Phone: 505-842-3425

Fax:

Website Address:

<http://www.fs.usda.gov/goto/r3/sweri>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

10.697 State & Private Forestry Hazardous Fuel Reduction Program

S&PF Hazardous Fuels Program,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Public Law 108-148, as amended, 16 USC Code 6513.

OBJECTIVES:

Reduce the undesired effects of large, destructive wildfires by reducing the volume of hazardous fuels on forests, woodlands, shrublands, and grasslands. The program focuses on reducing the risk of wildland fire and long-term damage to resources and property in high priority areas. The desired outcome of the program is to reduce the risk of unplanned and unwanted wildland fire to communities and to the environment.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Use funds for necessary expenses to plan, implement and support hazardous fuel reduction projects on non-Federal land where such activities benefit resources on Federal land.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Federally Recognized Indian Tribal Governments; Hazardous fuel reduction projects on non-Federal land

Beneficiary Eligibility:

Individual/Family; Land/Property Owner; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Program supports activities on non-Federal land.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

States and other recipients selected for funding will be notified by the Region/Area/IITF. Standard Forest Service grant procedures apply.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be awarded for a period of up to five years, however it is preferred that work be completed within 1-3 years of funding. Method of awarding/releasing assistance: other

Reports:

States are required to report information on hazardous fuel reduction projects

completed, including geospatial data, in a form that is suitable for later recording into the FACTS system by a Forest Service employee. SF-425 Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

12-1106-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$16,606,000.00; FY 21 est \$20,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Alissa Tanner,
201 14th St SW,
Washington DC 20250
Email: alissa.tanner@usda.gov
Phone: 2022051347
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

10.698 State & Private Forestry Cooperative Fire Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.698 State & Private Forestry Cooperative Fire Assistance

Cooperative Fire Assistance,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Cooperative Fire Assistance, Title Cooperative Forestry Assistance Act, Section 10, Public Law 95-313 as amended, 16 USC Code 2106a-c.

OBJECTIVES:

With respect to nonfederal forest and other rural lands to assist in the control of rural fires; prevention, control, suppression, and prescribed use of fires on non-federal forest lands and other non-federal lands; development and transfer of new and improved fire prevention, control, suppression and prescribed fire technologies; organization of shared fire suppression resources, and achievement of more efficient fire mitigation and protection for States and local communities; provision of financial, technical and related assistance to local rural fire fighting forces.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Use funds for necessary expenses to plan, implement, and support the prevention, control, suppression, and prescribed use of fires on non-federal forest lands and other Non-federal lands.

Applicant Eligibility:

U.S. Territories and possessions; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes education institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments; Primary recipients are State Forestry agencies. Other non-profit organizations, tribal organizations, and educational institutions.

Beneficiary Eligibility:

State; Local; Individual/Family; U.S. Territories; Federally Recognized Indian Tribal Governments; Rural;

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact the headquarters or regional locations as appropriate for specific program information

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Assistance is awarded through grants or cooperative agreements by headquarters or regional offices. Applicants must coordinate with Forest Service program managers.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Grants may be awarded for a period of up to 5 years, including extensions.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are mandatory. Federal funds must be matched at a 1:1 ratio. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available on a case by case basis, depending on budgets and national priority. Assistance may be awarded for a period of up to 5 years. Method of awarding/releasing assistance: other

Reports:

SF-425 Financial Report is required. Frequency will be included in the award. Performance reports are required and should include detailed information about activity under the award, milestone, and any identified obstacles or issues.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be kept following the regulations at 2 CFR 200.333

Account Identification:

12-1106-0-1-302. 12-1105-0-1-302. 12-1115-0-1-302.

Obligations:

Project Grants FY 20 \$20,927,623.00; FY 21 est \$20,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1106. 12-1105. 12-1115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

James Fortner,
201 14th St SW,
Washington DC 20250
Email: james.fortner@usda.gov

Phone: 202-205-1504

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

10,664 Cooperative Forestry Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.699 Partnership Agreements

Partnership Agreements,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

2014 Farm Bill, Agriculture Conservation Experienced Service (ACES) Program, Public Law 113-79, Section 8302, , Public Law 113-79, Federal Technology Transfer Act of 1986, , Public Law 96-480, 15 USC Code 3710a. Cooperative Funds and Deposits Act of December 12, 1975, , Public Law 94-148, 16 USC Code 565a1 a3. Wyden Amendment, , Public Law 105-277, Sec 323, 16 USC Code 565a-1. Interior and Related Appropriations Act of 1992 (Challenge Cost-Share Authority), , Public Law 102-154, Public Land Corps Healthy Forests Restoration Act of 2005, , Public Law 109-154, 16 USC Code 1701. 25 U.S.C. 3115(b). Tribal forest management demonstration project, Title 2018 Farm Bill P.L. 115-334, Part , Section 8703

OBJECTIVES:

To increase participation by partners in all authorized Forest Service programs and activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Partnership agreements and cooperative agreements are entered into in support of the U.S. Forest Service's mission. The U.S. Forest Services mission is to sustain the health, diversity, and productivity of the nations forests and grasslands to meet the

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needs of present and future generations. The agency manages 193 million acres of public land, provides assistance to state and private landowners, and maintains the largest forestry research organization in the world. The U.S. Forest Service may approach or be approached by a potential partner to enter into a partnership agreement that results in a joint accomplishment of work benefiting both organizations. The principal purpose of partner agreements is the creation of partnerships in support of projects of mutual interest and mutual benefit to each party. Partnership agreements are considered non-assistance agreements and are not considered grants or cooperative agreements as those terms are used in the Federal Grants and Cooperative Agreements Act, 31 U.S.C. 6301 et seq. The principal purpose of partner agreements is the creation of partnerships in support of projects of mutual interest and mutual benefit to each party. Mutual interest exists when both parties benefit in the same qualitative way from the objectives of the agreement. If one party independently has an interest in a project that is shared by the other party, and both parties pool resources to obtain the end result of the project, mutual interest exists.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The Forest Service partners with non-profits; for-profit; institutions of higher education; federal, state, local, and Native American tribe governments; individuals; foreign governments and organizations.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Intrastate; State; Youth (16-21); The Forest Service has partnership authorities to enter into agreements and cooperative arrangements with willing members of the public, which include but are not limited to, for profit; non-profits; institutions of higher education; federal, state, local, and Native American tribe governments; foreign governments and organizations.

Credentials/Documentation:

The partner must maintain current information in the System for Award Management (SAM) until receipt of final payment. This requires review and update to the information at least annually after the initial registration, and more frequently if required by changes in information. System for Award Management (SAM) means the Federal repository into which an entity must provide information required for the conduct of business as a Cooperative. Additional information about registration procedures may be found at the SAM Internet site (currently at <https://www.sam.gov>). Also, the partner must immediately inform the U.S. Forest Service if they or any of their principals are presently excluded, debarred, or suspended from entering into covered transactions with the Federal Government according to the terms of 2 CFR Part 180. Additionally, should the partner or any of their principals receive a transmittal letter or other official Federal notice of debarment or suspension, they shall notify the U.S. Forest Service without undue delay. This applies whether the exclusion, debarment, or suspension is voluntary or involuntary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The U.S. Forest Service may approach or be approached by a potential partner to enter into a partnership or cooperative agreement. Any party may initiate discussions to enter into an agreement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A partnership agreement is not considered a procurement contract under the Federal Acquisition Regulations (FAR) or a grant as that term is used in the Federal Grants and Cooperative Agreements Act, 31 U.S.C. 6301 et seq. Partnership agreements do not incorporate

2 CFR Part 200 into the terms of the agreement. A partnership agreement is a mutually binding legal relationship between two or more parties creating obligations that are enforceable or otherwise recognizable at law. Partnership agreements do not require competition as the partner is required to provide a contribution or match to the agreement. Contributions are necessary to meet the intent and requirements of mutual interest mutual benefit partnership as stated in the authorizing authority provided to the U.S. Forest Service by Congress. Contributions from either party may include cash, services, in-kind contributions, donation of equipment, volunteer labor, and so forth.

Award Procedure:

Partnership agreements and their supporting documentation are both negotiated and drafted in collaboration with prospective partners prior to entering into an agreement. Discussions cover at a minimum, the appropriate statutory authority; a description of the project, including methodology and technical specifications; contributions and the responsibilities of the parties; financial plan; the period of performance; monitoring and accomplishments reporting. Regardless of who initiates discussions, all elements of a project are subject to negotiation. Any party may terminate the agreement at any time. Except for provisions necessary for legal compliance, all elements of a partnership agreement are subject to negotiation and modification. Both parties are expected to negotiate, resolve, and document differences in cost and/or proposed work in writing. Any party may terminate the agreement at any time. None of the parties should perform work outside the scope of the existing instrument or in excess of the funding prior to the execution of a modification.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Modification and extensions of agreements are subject to project requirements and available resources.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. For partnership agreements, the partners contribution should not be less than 20 percent. The partner may satisfy the matching requirement by providing cash, real or personal property, services, and/or in-kind contributions, such as volunteer labor. The only exception to the matching requirement is for agreements that take place primarily outside of National Forest System lands that cite the Wyden Authority. Contributions on these agreements are negotiable and may require competition. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Partnership Agreements may last up to a maximum of 5 years. Method of awarding/releasing assistance: other

Reports:

Agreements require performance reports. Frequency and level of detail are based on the type or complexity of the project. At a minimum, performance reports are submitted at least annually. Agreements require expenditure reports. Frequency and level of detail are based on the type or complexity of the project. At a minimum, reports are submitted at least annually and/or with invoice for reimbursement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Retain all records pertinent to this agreement for a period of no less than 3 years from the expiration or termination date. Records include books, documents, accounting procedures and practice, and other data, regardless of the type or format.

Account Identification:

12-1105-0-1-302. 12-1106-0-1-302. 12-1115-0-1-302. 12-1103-0-1-302. 12-5004-

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0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$146,165,614.00; FY 21 est \$87,811,282.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-5215. 12-1119. 12-5264. 12-1105. 12-5206. 12-5207. 12-1106. 12-5219. 12-5202. 12-1115. 12-8046. 12-8039. 12-5214. 12-4605. 12-8203. 12-5268. 12-5361. 12-8028. 12-5204. 12-1103.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Forest Service Regional Partnership websites may be found at the following link <http://www.fs.usda.gov/prc>

Headquarters Office:

Director, National Partnership Office Jacqueline Emanuel,
1400 Independence Ave, SW Mailstop 1138,
Washington DC 20250

Email: jacqueline.emanuel@usda.gov

Phone: 202-205-2254

Website Address:

<http://www.fs.fed.us/working-with-us/partnerships>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.700 National Agricultural Library

FEDERAL AGENCY:

AGRICULTURAL RESEARCH SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

7 U.S.C. 2201, 2204, 2662, 3101, 3121, 3125a, 3125b, 3126, 3317, 3318, 4205b, 5925d, 7654a; 17 U.S.C 106, 107 and 108,

OBJECTIVES:

To serve as the Nation's Chief Agricultural information resource by providing agricultural information products and services through traditional library functions and through modern electronic dissemination to agencies of the USDA, public organizations, and individuals.

TYPES OF ASSISTANCE:

Cooperative Agreements, Dissemination of Technical Information

USES AND USE RESTRICTIONS:

Anyone can use the services. Publications in the library collection are available through interlibrary loan or photo-reproduction, and the library facilities are open to anyone wishing to use them.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization;

Other private institutions/organizations; Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Apply by letter to the Office of Associate Director, Public Services Division, National Agricultural Library, Beltsville, MD 20705.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: other

Reports:

Performance Reports The frequency is outlined in the terms of the Agreement. The frequency is outlined in the terms of the Agreement. The frequency is outlined in the terms of the Agreement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

12-1400-0-1-352.

Obligations:

Cooperative Agreements FY 20 \$24,996,795.00; FY 21 est \$27,700,000.00; FY 22 est \$27,838,956.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Guide to the Services of the National Agricultural Library."

Regional or Local Office:

See Regional Assistance Locations. Office of the Director, National Agricultural Library, Beltsville, MD 20705-2351. Telephone: (301) 504-6780. Use the same

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number for FTS. Contact: Extramural Agreements Division.

Headquarters Office:

Deborah L. Watson,
5601 Sunnyside Ave., MS-5110,
Beltsville MD 207055110
Email: deborah.watson@usda.gov
Phone: 301-504-1148
Fax: 301-504-1262

Website Address:

<http://www.nal.usda.gov>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.250 Agricultural and Rural Economic Research; 10.253 Consumer Data and Nutrition Research; 10.950 Agricultural Statistics Reports;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.701 Stewardship Agreements

Stewardship End Result Contracting,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

The Agricultural Act of 2014., , Public Law 113-79, 16 USC Code 8205. Tribal Forest Management Demonstration Project, Title 2018 Farm Bill, P.L. 115-334, Section 8703

OBJECTIVES:

Stewardship End Result Contracting authorizes use of contracts and agreements to help achieve land management goals while meeting local and rural community needs, including contributing to the sustainability of rural communities and providing a continuing source of local income and employment. It focuses on the end result ecosystem benefits and outcomes, rather than on what's removed from the land.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The general purpose of stewardship contracting is to achieve land management goals for National Forest System lands while meeting local and rural community needs. A stewardship contract or agreement should be used when it is the most effective tool for accomplishing land management objectives. Where forest products will be removed from National Forest areas, it is recommended that the unit provide the cooperators the updated preferred bidders list for contract awards. Stewardship agreements are awarded on a best approach determination that takes into account a technical and cost evaluation. Stewardship agreements typically include the exchange of goods (such as forest products) for services, use of retained receipts, or a combination of both. Use of stewardship agreements requires that mutual interest and mutual benefit between the Forest Service and the partner exist in the same qualitative way. All stewardship projects must comply with the National Environmental Policy Act (NEPA). Stewardship projects must be consistent with plans relevant to the project, including: land and resource management plans; range allotment plans; fire management plans; and facilities master plans. All projects must comply with applicable laws, regulations, and agency direction affecting the project areas, including those addressing wilderness areas, endangered species, clean air and clean water, inventoried roadless areas, minimum road system (36 CFR 212.5(b)/FSM 7710.3), designations for motor vehicle use (36 CFR 212.56), and any other requirements for designated special areas. All contracts and agreements must comply with applicable laws, regulations, and agency direction.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public

institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The Forest Service may enter into stewardship agreements with any entity that has the ability to either perform the work or contract it out. This can include, state and local governments, Federally recognized tribes and non-profit organizations. Appropriate Stewardship Activities include (but are not limited to): Road and trail maintenance or obliteration to restore or maintain water quality; Soil productivity, habitat for wildlife and fisheries or other resource values; Setting of prescribed fires to reduce wildfire hazards, improve the composition, structure, condition, and health of forest stands, or to improve wildlife habitat; Removing vegetation or other activities to promote healthy forest stands, reduce wildfire hazards, or achieve other land management objectives; Watershed restoration and maintenance; Restoration and maintenance of wildlife and fish habitat; Control of noxious and exotic weeds and reestablishment of native plant species.

Beneficiary Eligibility:

Federal; U.S. Territories; Anyone/general public; Native American Organizations; State; U.S. Citizen; Local; Rural; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Stewardship Agreements should not be used for: Forest Service overhead costs; Forest Service salaries for contract/agreement development, preparation, or administration; Project planning or environmental analysis; Construction of administrative facilities or major developed facilities; Utilization of forage within an allotment that could be authorized through a grazing permit; Protection, operation, or maintenance of improvements resulting from stewardship projects; Research; Preparation and planning of administrative studies; Land Acquisition.

Credentials/Documentation:

When negotiating Stewardship Agreements, the discussions must cover at a minimum, a description of the project, including methodology and technical specifications; both parties contributions; the responsibilities of the parties; deliverables, reporting requirements; the period of performance; and monitoring. Regardless of who initiates discussions, all elements of a project are subject to negotiation at any time. The Forest Service will provide the partner, at a minimum, a map of the stewardship project area, a description of work to be accomplished; the desired outcome (end results) and the environmental analysis documents. It is also recommended that the Forest Service unit provide the cooperator the updated preferred bidders list for projects where forest product removal is being contemplated. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. An approved stewardship proposal must be in place prior to agreement negotiation.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. When negotiating Stewardship Agreements, there are a number of resource activities to consider. The Forest Service will provide to the partner the necessary resource information in order to complete the technical proposal and any potential bid packages. The technical proposal will be submitted to the Forest Service for joint review and approval. If timber will be removed, the Forest Service will provide the timber sale appraisal, end result work statements, and specifications similar to the A section of a timber sale contract, identified as Appendix E in the Stewardship Agreement. It is also recommended that the Forest Service unit provide the cooperator the updated preferred bidders list for projects where forest product removal is being contemplated. If there is a technical component to the project the Forest Service will describe the desired end results and/or provide specifications to accomplish the work. Throughout this process, the Forest Service and partner are communicating to work out the details to accomplish the project.

Award Procedure:

Stewardship projects are typically developed in a collaborative process between the

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Forest Service and stakeholders and approved by a regional forester or forest supervisor prior to execution of a Stewardship Agreement. A stewardship project may include one or many land management activities affecting areas from a few acres in size up to one or more watersheds. Many stewardship projects may be completed by a partner throughout the life of the (master) agreement. In order to use a stewardship agreement, a few elements must exist: the regional forester must approve the stewardship proposal submitted by the national forest unit; mutual interest and mutual benefit between the Forest Service and the partner exist in the same qualitative way; and both parties are contributing to the project to achieve mutually beneficial results. Retained receipts generated from stewardship projects can only be used on the same or other stewardship projects. We can use appropriated funds and retained receipts to accomplish stewardship activities with an emphasis on restoration, product removal is not required. Monitoring and support should be an integral part of the collaborative process when deciding which projects to pursue using retained receipts.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Other. Stewardship agreements may be in place for up to 10 years; and may use retained receipts from other stewardship projects to accomplish work. Certain projects that are being conducted in fire regime areas can be up to 20 years in duration.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Stewardship agreements are expected to have a 20 percent partner match. However, the Partner is only required to match at least 20 percent of the Total Federal Share column in the Stewardship FP tab of the stewardship agreement financial plan. The partner is not required to match the value of the Forest Product (Goods) for services tab in the financial plan. In the event of a low value of match and a high value of goods for services the Line Officer should carefully consider whether the project is better suited to a competitively awarded stewardship contract instead of a stewardship agreement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Stewardship agreements may be in place for a period of up to 10 years and up to 20 years for projects in certain fire regime areas. Method of awarding/releasing assistance: other

Reports:

If the partner is requesting reimbursement, the partner should include on the invoice the actual costs incurred, not to exceed the maximum allowed by the pre-determined unit rate based on units completed. If costs come in above the maximum allowable rate, the partner must make up the difference in the amount. The total costs listed on the financial plan crosswalk per service item is the cap for that item and payments are made on a per invoice basis. Reimbursement is not made at an average or annual rate and frequency of billing should be outlined in the terms of the agreement. Program reporting (monitoring) should be submitted by the partner no less than annually and no more than quarterly based on the negotiated terms of the agreement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The partner should retain all records for a period of no less than three years from the expiration or termination date of the agreement. Records include books, documents, accounting procedures and practice, and other data, regardless of the type or format. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be kept until all issues are resolved, or until the end of the regular 3-year period, whichever is later. Records for nonexpendable property acquired in whole or in

part, with Federal funds shall be retained for 3 years after its final disposition.

Account Identification:

12-1106-0-1-302. 12-5540-0-2-302.

Obligations:

Cooperative Agreements FY 20 \$31,345,274.00; FY 21 est \$9,846,861.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1115. 12-5206. 12-5540. 12-1106. 12-1105. 12-8028. 12-8046.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

16 USC 6591c.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Assistant Director , Forest Management John Crockett,
1400 Independence Ave, SW Mailstop 1138,
Washington DC 20250
Email: john.crockett@usda.gov
Phone: 202-205-1495

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.703 Cooperative Fire Protection Agreement

Cooperative Fire Protection Agreement,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Reciprocal Fire Protection Act of May 27, 1955, as amended, , 42 USC Code 1856a.

OBJECTIVES:

To allow reciprocal agreements with fire organizations (as defined in 42 USC 1856) for mutual aid in furnishing fire protection. Furthermore, to improve efficiency by facilitating the coordination and exchange of personnel, equipment, supplies, services, and funds among the parties in sustaining wildland fire management activities, such as prevention, preparedness, communication and education, fuels treatment and hazard mitigation, fire planning, response strategies, tactics and alternatives, suppression and post-fire rehabilitation and restoration.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cooperative Fire Protection Agreements as authorized by statute allow the Forest Service to enter into non-assistance cooperative agreements with defined fire organizations to provide wildland fire protection and to perform approved severity activities. Wildland fire protection includes, detection, suppression, and prevention, and may also extend to activities such as preparedness, training, wildland fire use, and fuels management. Agreements authorize coordination among fire organizations for these activities, and document the coordination among the parties, ensuring maximum protection of resources.

Applicant Eligibility:

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Government - General; Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; The Forest Service may enter into Cooperative Fire Protection Agreements with a fire organization. The term fire organization means any governmental entity or public or private corporation or association maintaining fire protection facilities within the United States, its Territories and possessions, and any governmental entity or public or private corporation or association which maintains fire protection facilities in any foreign country in the vicinity of any installation of the United States. The Forest Service is charged with the duty of providing fire protection for any property of the United States and is authorized to enter into a reciprocal agreement, with any fire organization maintaining fire protection facilities in the vicinity of such property, for mutual aid in furnishing fire protection for such property and for other property for which such organization normally provides fire protection.

Beneficiary Eligibility:

Federal; Land/Property Owner; State; Local; Federally Recognized Indian Tribal Governments; Forest Service enters into non-assistance cooperative agreements with willing fire organizations for the purpose of cooperation in the performance of wildland fire protection projects and during wildfires, emergencies and/or disasters.

Credentials/Documentation:

Non-assistance cooperative agreements under this CFDA description are neither procurements for the direct benefit or use of the Federal government nor are they Federal assistance instruments (i.e., Grant or Cooperative Agreement) where the primary purpose of the relationship is to accomplish a public purpose of support or stimulation. Non-assistance cooperative agreements under this CFDA do not incorporate by reference Federal financial assistance regulations found in 2 CFR Part 200 section A through F, relating to the Uniform Administrative Requirements and Cost Principles, as implemented by USDA regulations 2 CFR Part 400 and do not incorporate by reference procurement regulations. Cooperative Fire Protection Agreements are exempt from the Federal Grants and Cooperative Agreements Act of 1977 (FGCA) by OMB waiver dated March 4, 1981. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Cooperative Fire Protection Agreements are developed in collaboration with defined fire organizations. These are complex agreements that have several components. The agreements require execution of separate operating plans and provide an option to execute supplemental project agreements. Supplemental project agreements must at a minimum provide a description of the project, including methodology and technical specifications; contributions and the responsibilities of the parties; financial plan; the period of performance; and monitoring. Regardless of who initiates discussions, all elements of a project are subject to negotiation. Any party may terminate discussions at any time. Cooperative Fire Protection Agreements must include a waiver by each party of all claims against every other party for compensation for any loss, damage, personal injury, or death occurring in consequence of the performance of such agreement. Any such agreement may provide for the reimbursement of any party for all or any part of the cost incurred by such party in furnishing fire protection for or on behalf of any other party. The U.S Forest Service may only provide reimbursable payments to its cooperators. Cash advances are not allowed due to statutory restrictions. Cooperators that seek reimbursement for indirect costs must have sufficient documentation necessary for allowable reimbursement for such costs. Indirect cost rate documentation and/or evidence may include, but is not limited to, accounting records, audit results, cost allocation plan, letter of indirect cost rate approval from an independent accounting firm, or other Federal agency approved rate notice applicable to agreements (such as Negotiated Indirect Cost Rate Agreement (NICRA) from their cognizant agency).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Except for provisions necessary for legal compliance, all elements of the non-assistance cooperative agreement are subject to negotiation and modification. Both parties are expected to negotiate, resolve, and document differences in cost and/or proposed work in writing. Any party may terminate discussions at any time. None of the parties should perform work outside the scope of the existing instrument.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. There is a statutory requirement that agreements under this CFDA description are reciprocal between the parties and that parties to the agreement will bring contributions to the collaborative arrangement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative Fire Protection Agreements may last up to 5 years. Method of awarding/releasing assistance: quarterly

Reports:

Program, cash, progress, expenditure, and performance reports are not applicable to emergency response. For non-emergency projects, performance and expenditure reports may be applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

All related data, information, records and accounts shall be retained for a period of 3 years beyond the date of submission of final financial reports. Financial and programmatic records will be made available to the Forest Service or its designated agent upon request.

Account Identification:

12-1115-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$151,087,619.00; FY 21 est \$10,806,254.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1115. 12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Tim Melchert,
1400 Independence Ave, SW, Mailstop 1138,
Washington DC 20250

Email: timothy.melchert@usda.gov

Phone: 208-387-5100

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

10.704 Law Enforcement Agreements

Law Enforcement Agreements,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Cooperative Law Enforcement Act of August 10, 1971, , Public Law 92-82, 16 USC Code 551a.

OBJECTIVES:

Cooperative work between the Forest Service with State and local law enforcement agencies to enhance the enforcement and/or supervision of their laws or ordinances on lands within or part of any unit of the National Forest System. To increase the protection of persons and their property when visiting the National Forest System, and to utilize the opportunity to cooperate with States and Federal agencies in carrying out their specific responsibilities related to the National Forest System.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Patrol campgrounds, developed sites, or dispersed areas on NFS land for crime prevention and detection, emergency and public safety responses, criminal investigations, apprehension, and so forth. Upon request of a forest officer, provide dispatcher services for routine identification checks, wants/warrants checks, motor vehicle registration queries, and officer welfare checks. Special enforcement situations; includes but is not limited to fire emergencies, drug enforcement, and certain group gatherings. Purchase of equipment that will be of mutual benefit for both the Forest Service and cooperating agency is allowable. Reimbursement for equipment cannot constitute a major portion of the agreement. Please see agency policy for further detail prior to negotiating financial terms of the agreement.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State; cooperators include any State or political subdivision (that is, local governments). Cooperative Law Enforcement Agreements are most commonly entered into with county governments.

Beneficiary Eligibility:

State; Local; Agreements must comply with requirements in FSM 5360, Law Enforcement.

Credentials/Documentation:

In addition to the applicable form, the following elements are required, when applicable: (1) Financial plan. (2) Annual operating plan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

When negotiating Cooperative Law Enforcement Agreements, the discussions must cover at a minimum, a description of the project, including methodology and technical specifications, contributions and the responsibilities of the parties, deliverables, the period of performance, and monitoring. Regardless of who initiates discussions, all elements of a project are subject to negotiation. Any party may terminate discussions at any time.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements may be in place for a period of up to five (5) years. Method of awarding/releasing assistance: other

Reports:

Complete and furnish annually the U.S. Forest Service with Form FS-5300-5, Cooperative Law Enforcement Activity Report, identifying the number of crimes occurring on NFS lands. The report shall follow the FBI Uniform Crime Reporting groupings, Part I and Part II offenses. Offenses and arrest information shall be combined and reported for each crime. This report shall separate the crimes handled under this agreement from those handled during regular duties. In addition, the cooperating agency should provide the U.S. Forest Service Principal Contact, listed, with case reports and timely information relating to incidents/crimes in connection with activities on NFS lands. Billing the U.S. Forest Service should include actual costs incurred to date, displayed by separate cost elements, excluding any previous payment(s) made to the date of the invoice, not to exceed the cumulative funds obligated as specified on the Annual Operating Plan. Billing frequency should be specified in the Annual Operating Plan.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The U.S. Forest Service or Comptroller General, through any authorized representative, should be granted access to and the right to examine all records related to each agreement. Records include books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form.

Account Identification:

12-1106-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$5,010,639.00; FY 21 est \$4,417,258.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1106. 12-1115. 12-5268.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Assistant Director, Administrative Systems Tracie L. Wilkinson,
201 14th Street SW,
Washington DC 20024
Email: tracie.wilkinson@usda.gov
Phone: 202-205-1664

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

10.705 Cooperative Forest Road Agreements

Cooperative Forest Road Agreements,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Surface Transportation Act of 1978, , 23 USC Code 105 & 205. National Forest Road and Trails Act of October 13, 1964, , Public Law 88-657, 16 USC Code 532-538. Tribal Forest Management Demonstration Project, Title 2018 Farm Bill, P.L. 115-334, Section 8703

OBJECTIVES:

Allows the Forest Service to work cooperatively with State, county, or local public road authorities for the cooperative planning, survey, design, construction, reconstruction, improvement and maintenance of certain "Forest Roads" (as defined by 36 CFR 212.1)

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These agreements accomplish two purposes set forth in Title 36, Code of Federal Regulations, part 212: Existing or proposed forest roads that are or will be part of a transportation system of a State, county, or other local public road authority. Forest roads that are or will be part of a transportation system of a State, county, or other local public road authority and are on rights-of-way held by a State, county, or other local public road authority may be constructed, reconstructed, improved, or maintained by the Forest Service when there is an appropriate agreement with the State, county, or other local public road authority under Title 23, United States Code, section 205 and the construction, reconstruction, improvement, or maintenance is essential to provide safe and economical access to National Forest System lands (36 CFR 212.7a). Maintenance and reconstruction of National Forest System roads by users. The Chief may require, but not in conflict with an existing permit, easement, contract, or other agreement, the user or users of a road, including purchasers of Government timber and other products, to maintain the roads in a satisfactory condition commensurate with the particular use requirements of each. The maintenance to be borne by each user must be proportionate to total use and no individual user shall be required to perform or bear the costs of maintenance other than that commensurate with their use [36 CFR 212.5d(1)].

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State; State, county or local public road authorities

Beneficiary Eligibility:

Federal; State; U.S. Citizen; Local; Federally Recognized Indian Tribal Governments; Other Requirements. a. Direction regarding jurisdiction over forest transportation facilities is found in FSM 7703.3.b. Direction regarding common transportation interests with local public road authorities is found in FSM 7703.4.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Either the Forest Service or the Partnering Organization may initiate a Forest Roads Agreement. In addition to the applicable form, the following elements are required, when applicable: For Cooperative Road Agreements: (1) Schedule A. (2) Maintenance plan, when applicable. (3) Project Agreement, when applicable. (4) Financial plan, when entering into a project agreement.

Award Procedure:

When negotiating Forest Road Agreements, the discussions must cover at a minimum, a description of the project, including methodology and technical specifications, contributions and the responsibilities of the parties, deliverables, the period of performance, and monitoring. Regardless of who initiates discussions, all

elements of a project are subject to negotiation. Any party may terminate discussions at any time.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Agreements are in effect for up to five years from the date of execution, as negotiated.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements are in effect for up to five years from the date of execution, as negotiated. Method of awarding/releasing assistance: other

Reports:

Performance reporting is required no less than annually and no more than quarterly, as negotiated in agreement instrument between parties.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

12-1103-0-1-302. 12-1106-0-1-302. 69-0500-0-1-404. 69-8083-0-7-401.

Obligations:

Cooperative Agreements FY 20 \$4,125,534.00; FY 21 est \$2,379,606.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1103. 12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

National Transportation Program Manager David Payne,
201 14th Street, SW Suite 3C,
Washington DC 20024

Email: david.b.payne@usda.gov

Phone: 202-205-0963

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.707 Research Joint Venture and Cost Reimbursable Agreements

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Title National Agricultural Research, Extension, and Teaching Policy Act of 1977, , Public Law 95-113, 7 USC Code 3318 and 3319a.

OBJECTIVES:

To increase participation by partners in Agricultural rangeland and forestry research activities, agricultural formal teaching activities, and agricultural extension services.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Joint Venture Agreements are entered into to support the U.S. Forest Services research mission. The U.S. Forest Services mission is to sustain the health, diversity, and productivity of the nations forests and grasslands to meet the needs of present and future generations. The U.S. Forest Service may approach or be approached by a potential partner to enter into a joint venture agreement that results in a joint accomplishment of work benefiting both organizations. The principal purpose of agreement is the creation of partnerships in support of projects of mutual interest and mutual benefit to each party. Cost reimbursable agreements allow the U.S. Forest Service research stations and other units to work with Colleges and Universities providing an educational program for which a bachelors degree or any other higher degree is awarded. The U.S. Forest Service enters into the agreement for the acquisition of goods or services, including personal services, to carry out agricultural research, teaching, or extension. The U.S. Forest Service then obtains a deliverable from the College or University as agreed to in the award. CR agreements are the equivalent of a cost contract where the Forest Service must obtain a research, teaching, or extension agricultural science deliverable as defined at 7 USC 3103. Both joint venture and cost reimbursable agreements are considered non-assistance agreements and are not considered grants or cooperative agreements as those terms are used in the Federal Grants and Cooperative Agreements Act, 31 U.S.C. 6301 et seq. The principal purpose of the agreements is the creation of partnerships in support of projects of mutual benefit and/or mutual interest to each party. Mutual interest exists when both parties benefit in the same qualitative way from the objectives of the agreement. If one party independently has an interest in a project that is shared by the other party, and both parties pool resources to obtain the end result of the project, mutual interest exists.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Federal; Other public institution/organization; Other private institutions/organizations; Joint Venture Agreements: State cooperative institution, State department of agriculture, college, university, other research or educational institution or organization, Federal or private agency or organization, individual, or any other party. Cost Reimbursable Agreements: State cooperative institutions or other colleges and universities that provides an educational program for which a bachelor's degree or any other higher degree is awarded.

Beneficiary Eligibility:

Federal; State; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Scientist/Researchers; The Forest Service has partnership authorities to enter into agreements and cooperative arrangements with willing members of the public, which include but are not limited to, for profit; non-profits; institutions of higher education; federal, state, local, and Native American tribe governments; foreign governments and organizations.

Credentials/Documentation:

The partner must maintain current information in the System for Award Management (SAM) until receipt of final payment. This requires review and update to the information at least annually after the initial registration, and more frequently if required by changes in information. System for Award Management (SAM) means the Federal repository into which an entity must provide information required for the conduct of business as a Cooperative. Additional information about registration procedures may be found at the SAM Internet site (currently at <https://www.sam.gov>). Also, the partner must immediately inform the U.S. Forest Service if they or any of their principals are presently excluded, debarred, or suspended from entering into covered transactions with the Federal Government

according to the terms of 2 CFR Part 180. Additionally, should the partner or any of their principals receive a transmittal letter or other official Federal notice of debarment or suspension, they shall notify the U.S. Forest Service without undue delay. This applies whether the exclusion, debarment, or suspension is voluntary or involuntary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. The U.S. Forest Service may approach or be approached by a potential partner to enter into a partnership or cooperative agreement. Any party may initiate discussions to enter into an agreement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A joint venture partnership agreement is not considered a procurement contract under the Federal Acquisition Regulations (FAR) or a grant as that term is used in the Federal Grants and Cooperative Agreements Act, 31 U.S.C. 6301 et seq. Joint venture and cost reimbursable agreements do not incorporate 2 CFR Part 200 into the terms of the agreement. A Joint venture and cost reimbursable agreement is a mutually binding legal relationship between two or more parties creating obligations that are enforceable or otherwise recognizable at law. Joint venture partnership agreements do not require competition as the partner is required to provide a contribution or match to the agreement. Contributions are necessary to meet the intent and requirements of mutual interest mutual benefit partnership as stated in the authorizing authority provided to the U.S. Forest Service by Congress. Contributions from either party may include cash, services, in-kind contributions, donation of equipment, volunteer labor, and so forth. Cost reimbursable agreements do not require competition as stated in 7 USC 3319a. The Forest Service pays for the direct costs of the goods and/or services as negotiated and up to 10 percent indirect costs on the goods/services.

Award Procedure:

Not applicable

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Matching Requirements: Percent: Other For Joint venture agreements, the partners contribution should not be less than 20 percent of the total research project to include all costs. The partner may satisfy the matching requirement by providing cash, real or personal property, services, and/or in-kind contributions, such as volunteer labor. There is no matching requirement for Forest Service Research Cost-Reimbursable Agreements. Reimbursable costs shall include the actual direct costs of performance, as mutually agreed on by the parties, and the indirect costs of performance, not exceeding 10 percent of the direct costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Joint Venture and Cost Reimbursable Agreements may last up to a maximum of 5 years. Method of awarding/releasing assistance: Payment is generally made on a reimbursement basis. Cash advances are limited to Joint Venture Agreements. Cooperator cash advances are limited to the minimum amount needed to perform anticipated activities or no more than is needed for a 30-day period, whichever is less. Method of awarding/releasing assistance: other

Reports:

Agreements require performance reports. Frequency and level of detail are based on the type or complexity of the project. At a minimum, performance reports are submitted at least annually.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Retain all records pertinent to this agreement for a period of no less than 3 years from the expiration or termination date. Records include books, documents, accounting procedures and practice, and other data, regardless of the type or format.

Account Identification:

12-1104-0-1-302. 12-1105-0-1-302. 12-1106-0-1-302. 12-1115-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$45,779,535.00; FY 21 est \$30,394,782.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1104. 12-1105. 12-1106. 12-1115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See Research Stations. <https://www.fs.fed.us/research/>

Headquarters Office:

Branch Chief Grants and Agreements Policy Jacqueline Henry,
1400 Independence Ave, SW,
Washington DC 20250

Email: jacqueline.henry@usda.gov

Phone: 703-605-4776

Fax:

Website Address:

<https://www.fs.fed.us/research/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.708 Community Wood Energy and Wood Innovation Program

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Public Law 115-334, 7 USC Code 8113.

OBJECTIVES:

Provide assistance to supplement the capital costs 1) for installing a wood energy system that utilizes wood and wood biomass (including residuals) as the primary fuels source and 2) for building an innovative wood products facility which can include the facility, equipment, and/or equipment systems. Projects that support the wood energy program may include: 1) single facility central heating systems; 2) district heating systems that service several buildings; 3) combined heat and electric systems where thermal is the primary energy output. Projects that support the wood innovations program may include: 1) building components or systems that use large panelized wood construction, including mass timber; 2) wood products derived from nanotechnology or other new technology processes 3) other innovative wood products that use low-value, low-quality wood. Projects should support one or more criteria: 1) latest technology boiler controls or control systems; 2) carried out in a location where markets are needed for the low value, low quality

wood; 3) carried out in a location with limited access to natural gas pipelines; 4) include the use of retrofitting (or both) of existing sawmill facilities located in a location where the average annual unemployment rate exceeded the national average unemployment rate by more than 1 percent during the previous calendar year; or carried out in a location where the project would aid with forest restoration.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects should aid locations where markets are needed for low value, low quality wood; aid locations where forest restoration is needed; aid locations with previously closed or limited wood infrastructure; and aid locations with limited access to natural gas pipelines.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Entities eligible include: Non-profits; local, state, and Tribal governments; businesses, companies, corporations (for profit); institutions of higher education; and special purpose districts (public utility districts, fire districts, conservation districts, school districts, and ports.)

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.Grants.gov).

Award Procedure:

Grants, if appropriated funds are provided, will generally be nationally competitive and merit-based.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 65%. Requirement: Matching requirements are mandatory. Federal funds may cover no more than 35% of capital costs. Match must be non-Federal funding. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As determined by the project, no longer than 5 years on grants or cooperative agreements. Method of awarding/releasing assistance: Payments are made on authorization and negotiation of provisions with cooperator. Method of awarding/releasing assistance: other

Reports:

Financial reporting on SF-425 is required Performance reports are required, and the frequency will be negotiated between the Forest Service and recipient.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

ASSISTANCE LISTINGS DESCRIPTIONS

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

12-1106-0-1-302.

Obligations:

Project Grants FY 20 \$1,500,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Julie Tucker,
1400 Independence Ave SW,
Washington DC 20250
Email: julie.tucker@usda.gov
Phone: 202-205-6483
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

10.674 Wood Utilization Assistance;

EXAMPLES OF FUNDED PROJECTS:

2021 Projection: Furnish and install a weed energy system in a public or private facility to utilize low value woody biomass, including residuals.

CRITERIA FOR SELECTING PROPOSALS:

Financial grants are approved on the basis of nationwide review and are based on the technical and financial merits of the project as evaluated by a panel of Federal experts or their designees.

10.711 Forest Service 638 Authority for Tribes

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

25 USC Code 3115b.

OBJECTIVES:

The Forest Service received authority for demonstration projects with tribes and tribal organizations to perform administrative, management, and other functions of programs under the Tribal Forest Protection Act through a contract or agreement under the Indian Self-Determination and Education Assistance Act.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Approved Tribal Forest Protection Act (25 USC 3115a et seq) projects on National Forest System lands.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Only federally recognized Indian Tribes or Tribal organizations as defined in 25 USC 5304, are eligible for this program.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contracts or agreements may only be executed for approved projects under the Tribal Forest Protection Act (25 USC 3115a)

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The regulations at 2 CFR 200 do not apply to this program.

Award Procedure:

The award will be negotiated between the tribe or tribal organization and the local Forest Service unit once a project has been approved following the Tribal Forest Protection Act (25 USC 3115a) process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Agreements or contracts may be extended or renewed on a case by case basis, negotiated between the tribe or tribal organization and the Forest Service unit that issued the agreement or contract.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects will be selected on a case by case basis. Projects selected for funding must be approved and meet the criteria for work under the Tribal Forest Protection Act of 2004 (25 USC 3115a et seq.). Method of awarding/releasing assistance: other

Reports:

As negotiated, performance reports may be required for this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be retained by the cooperator for 3 years after the contract or agreement is closed.

Account Identification:

12-1106-0-1-302, 12-1115-0-1-302.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$157,800.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1106, 12-1115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

John G. Church,
201 14th St SW,
Washington DC 20250
Email: john.g.church@usda.gov
Phone: 202-205-1732
Fax: David Wilson,
201 14th St SW,
Washington DC 20250
Email: david.wilson@usda.gov
Phone: 202-649-1725
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.035 Forestry on Indian Lands;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.712 Great American Outdoors Act Deferred Maintenance Program

GAOA,

FEDERAL AGENCY:

FOREST SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Title Interior and Related Appropriation Act of 1992, , Title Cooperative Funds and Deposit Act of Dec. 12, 1975, , Title National Forest Roads and Trails Act of Oct, 13, 1964,

OBJECTIVES:

The Great American Outdoors Act (GAOA) establishes a new fund for five years to address the maintenance backlog of the US Forest Service and other federal land management agencies.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Great American Outdoors Act (GAOA) passed Congress and signed by the President committing \$900 million to the Land and Water Conservation Fund and \$1.9 billion annually for up to 5 years to land management agencies to address deferred maintenance backlog. Forest Service will receive approximately a \$285 million appropriation for congressionally approved deferred maintenance projects.

Applicant Eligibility:

U.S. Territories and possessions; Government - General; Federal; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applications not applicable as projects are pre-determined and approved by Congress based on list of projects submitted by the agency.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. NEPA reviews shall be completed and documented before being identified as shovel ready. Documentation could be a letter to the file, Decision Memo, Decision Notice, or Record of Decision. Compliance with other relevant laws including ESA and NHPA should be complete as well.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

SUBMISSION OF PRIORITY LIST OF PROJECTS TO CONGRESS. Not later than 90 days after the date of enactment of this section, the Secretary and the Secretary of Agriculture shall submit to the Committees on Energy and Natural Resources and Appropriations of the Senate and the Committees on Natural Resources and Appropriations of the House of Representatives a list of projects to be funded for fiscal year 2021 that (1) are identified by the Secretary and the Secretary of Agriculture as priority deferred maintenance projects; and (2) as of the date of the submission of the list, are ready to be implemented. SUBMISSION OF ANNUAL LIST OF PROJECTS TO CONGRESS. Until the date on which all of the amounts in the Fund are expended, the President shall annually submit to Congress, together with the annual budget of the United States, a list of projects to be funded from the Fund that includes a detailed description of each project, including the estimated expenditures from the Fund for the project for the applicable fiscal year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For each of fiscal years 2021 through 2025, there shall be deposited in the Fund an amount equal to 50 percent of all energy development revenues due and payable to the United States from oil, gas, coal, or alternative or renewable energy development on Federal land and water credited, covered, or deposited as miscellaneous receipts under Federal law in the preceding fiscal year. AVAILABILITY OF FUNDS. Amounts deposited in the Fund shall be available to the Secretary and the Secretary of Agriculture, as provided in subsection (e), without further appropriation or fiscal year limitation. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

12-5716-0-1-302.

Obligations:

Direct Payments for Specified Use (Cooperative Agreements) FY 20 Not Available; FY 21 est \$25,086,484.00; FY 22 est \$285,000,000.00;

Range and Average of Financial Assistance:

Not Applicable

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

12-5716.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Christopher Coppenbarger,
201 Independence Ave, SW,
Washington DC 20250

Email: christopher.k.coppenbarger@usda.gov

Phone: 202-641-6350

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.751 Rural Energy Savings Program (RESP)

RESP,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, , Public Law 107-171, 7 USC
Code 8107a. Section 6205 of the Agricultural Act of 2014, , Public Law 113-79

OBJECTIVES:

The Rural Energy Savings Program (RESP) provides loans to entities who provide energy efficiency services in rural areas that agree to make affordable loans to help Qualified consumers implement cost-effective, energy efficiency measures. This program, authorized by Congress in the 2014 Farm Bill, helps to build a cleaner and more sustainable domestic energy sector for future generations. RESP will help lower energy bills for rural families and businesses and will reduce barriers to investment in energy efficiency projects or activities.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

The Rural Energy Savings Program provides loans to qualified consumers to implement durable cost-effective energy efficiency measures. Borrowers must meet eligibility requirements, loan term must not exceed 10 years, loans made by an eligible entity to a qualified consumer may bear interest not to exceed 3%.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); N/A

Beneficiary Eligibility:

Individual/Family; Minority group; Small business; Native American Organizations; Consumer; Homeowner; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled);

Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Moderate Income; Low Income; Rural; N/A

Credentials/Documentation:

The following documents are required in order to submit a completed application: Letter of intent, energy efficiency program implementation work plan, environmental compliance agreement, a pro forma balance sheet, itemized budget for RESP activities and other applicable documents as specified within the RESP Notice of Funds Availability (NOFA). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. The application process consists of two steps. To be considered for this funding, Applicants must submit their documentation no later than the mandatory dates set forth herein. Step 1: To be considered for financing pursuant to this notice, an Applicant seeking financing must submit a Letter of intent to apply, as provided herein, in an electronic Portable Document Format (PDF), not to exceed 10 MB in size, by electronic mail (email) to RESP@wdc.usda.gov. This Notice will remain open until September 30, 2019; or until all funds available for this year have been obligated; or changed by a subsequent notice. If funds are exhausted prior to the end of the open period, applicants that qualify based on their Letter of Intent will be placed in a queue, and will be notified when funds become available. Late or incomplete Letters of Intent will not be considered by RUS. Step 2: An RESP Applicant that has been invited in writing by RUS to proceed with the loan application, as provided in this NOFA, will have up to sixty (60) days to complete the documentation for a complete application. The sixty (60) day timeframe will begin from the date the RESP Applicant receives an email with RUS Invitation to proceed. If the deadline to submit the completed application falls on Saturday, Sunday, or a Federal holiday, the application issue the next business day. Instructions on how to submit the loan application package will be included in the RUS Invitation to proceed to the RESP Applicant.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The RUS Administrator, has the discretion to make the final decision on approvals of the loans upon a finding that the applicant has met the criteria as outlined in the RESP Notice of Funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

RESP loans are generally made for a period up to 10 years. Any disbursements of loan funds to an eligible entity in 12 consecutive months from the date of the first advance shall not exceed 50 percent of the approved loan amount. Method of awarding/releasing assistance: other

Reports:

See NOSA for required reports See NOSA for required reports See NOSA for required reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

ASSISTANCE LISTINGS DESCRIPTIONS

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

12-1230-0-1-271.

Obligations:

Direct Loans FY 20 \$103,750,000.00; FY 21 est \$50,000,000.00; FY 22 est \$399,000,000.00;

Range and Average of Financial Assistance:

Range of loan size is from \$200,000 to \$13,000,000.00. The average is \$3,034,147.00

TAFS Codes:

12-1230.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the NOSA for all information

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs Christopher McLean,
1400 Independence Ave., Stop 1560,
Washington DC 20250

Email: christopher.mclean@wdc.usda.gov

Phone: 202-720-9545

Website Address:

<https://www.rd.usda.gov/programs-services/rural-energy-savings-program>

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see the NOSA for criteria

10.752 Rural eConnectivity Pilot Program

ReConnect Program,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

The Act appropriates \$600,000,000, to remain available until expended, for the Secretary of Agriculture to conduct a new broadband loan and grant pilot program under the Rural Electrification Act of 1936 (7 U.S.C. 901 et seq.). At least 90 percent of the households to be served by a project receiving a loan or grant under the pilot program shall be in a rural area without sufficient access to broadband, defined for this pilot program as 10 Mbps downstream, and 1 Mbps upstream., Title VII General Provisions, Part N/A, Section 779

OBJECTIVES:

The ReConnect Program provides loans, grants, and loan/grant combination awards to facilitate broadband deployment in rural areas. An essential goal of the ReConnect Program is to expand broadband service to rural areas without sufficient access to broadband, defined at the time of the funding notice (at a minimum: 10 megabits per second (Mbps) downstream and 1 Mbps upstream). In facilitating the expansion of broadband services and infrastructure, the program will fuel long-term rural economic development and opportunities in rural America.

TYPES OF ASSISTANCE:

Direct Loans, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Award funds may be used to pay for the following costs:(i) To fund the

construction or improvement of facilities, including buildings and land, required to provide broadband service, including facilities required for providing other services over the same facilities, such as equipment required to comply with CALEA;(ii) To fund reasonable preapplication expenses in an amount not to exceed five percent of the award. Preapplication expenses may be reimbursed only if they are incurred after the publication date of this FOA, and properly documented. Preapplication expenses must be included in the first request for award funds, and will be funded with either grant or loan funds. If the funding category applied for has a grant component, then grant funds will be used for this purpose; (iii) To fund the acquisition of an existing system that does not currently provide sufficient access to broadband for upgrading that system to meet the requirements of this FOA. The cost of the acquisition is limited to 40 percent of the amount requested. Acquisitions can only be considered in the 100 percent loan category; and (iv) To fund terrestrial-based facilities for satellite broadband service, provided the applicant clearly identifies the PFSA, demonstrates the ability to provide 25 Mbps downstream and 3 Mbps upstream simultaneously to every premises in the PFSA, and offers subscribers reasonable service plans that do not cap bandwidth usage.

Applicant Eligibility:

State; U.S. Territories and possessions; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Profit organization; Small business (less than 500 employees); Applicants must submit unqualified, audited financial statements for the two previous years from the date the application is submitted. Applicants may have limited eligibility for the program depending on the characteristics of the proposed funded service area defined in the application.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants must submit their applications online through the ReConnect Program Portals online application system. The online application requires applicants to provide documentation related to the organization; relationships with parent, subsidiary, or other affiliated entities; state or tribal certifications; organization financial position; existing network; and the proposed project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Coordination is required with state(s) or tribe(s), depending on the organization and proposed project. An EIS or EA may be required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must create a Level II eAuthentication Account, register in SAM.gov, acquire a DUNS number, create a Resolution identifying the applicant and specific representatives, request access to the ReConnect Online Application System, create an online account in the ReConnect Program Portal, create an application, and submit the application online. The online application system guides applicants as they complete their application.

Award Procedure:

The Agency approves the award. Successful applicants will receive an offer letter and award documents from RUS following award notification. No funds will be disbursed under this program until all other sources of funding have been obtained and any other pre-award conditions have been met. RUS loan and grant advances are made at the request of the Awardee according to the procedures stipulated in the Award Documents.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching Requirements: For the grant, applicants must provide a matching contribution equal to 25 percent of the cost of the overall project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Loans can be made not to exceed the composite economic life of facilities and equipment plus three years (average loan maturity is 18 yrs). See the following for information on how assistance is awarded/released: Provision is normally made for advances to be completed within 5 years. Advances of funds are requested by the recipient and are made subject to requirements. Method of awarding/releasing assistance: Provision is normally made for advances to be completed within 5 years. Advances of funds are requested by the recipient and are made subject to requirements. Method of awarding/releasing assistance: other

Reports:

Awardees must submit semiannual reports for 3 years after completion of the project, which must include the purpose of the financing, including new equipment and capacity enhancements that support high-speed broadband access for educational institutions, health care providers, and public safety service providers. Awardees must also submit to RUS 30 calendar days after the end of each calendar year quarter, balance sheets, income statements, statements of cash flow, rate package summaries, and the number of customers taking broadband service on a per community basis utilizing RUS on-line reporting system. These reports must be submitted throughout the loan amortization period or for the economic life of the facilities funded with a 100 percent grant. Awardees must submit semiannual reports for 3 years after completion of the project, which must include the progress towards fulfilling the objectives for which the assistance was granted, including:(i) The number of residences and businesses that will receive service equal to or greater than the speed required for the appropriate funding category;(ii) The types of facilities constructed and installed;(iii) The speed of the broadband services being delivered;(iv) The average price of the broadband services being delivered in each proposed service area;(v) The broadband adoption rate for each proposed service territory, including the number of new subscribers generated from the facilities funded. Awardees will also be required to submit annually updated service area maps through the RUS mapping tool showing the areas where construction has been completed and premises are receiving service until the entire proposed funded service area can receive the broadband service. At the end of the project, Awardees must submit a service area map indicating that all construction has been completed as proposed in the application. See Cash and Progress Reports for relevant details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Awardees must submit annual comparable audited financial statements along with a report on compliance and on internal control over financial reporting, and management letter in accordance with the requirements of 7 CFR part 1773.

Records:

Awardee records shall be retained and preserved in accordance with the provisions of 7 CFR part 1770, subpart A. In addition, awardees must adopt a system of accounts for maintaining financial records acceptable to the Agency, as described in 7 CFR part 1770, subpart B.

Account Identification:

12-1232-0-1-452. 12-4146-0-3-452.

Obligations:

Project Grants (Discretionary) FY 20 \$942,229,037.00; FY 21 est \$193,584,000.00; FY 22 Not Available; Direct Loans FY 20 \$362,117,009.00; FY 21 est \$21,809,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Award size: \$166,688 - \$49,991,984 Avg size: \$8,152,000

TAFS Codes:

12-1232. 12-4146.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Forms and Resources for a list of all official published information: <https://www.usda.gov/reconnect/forms-and-resources>. Regulations for the program may be found at 7 CFR 1740.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, U.S. Department of Agriculture 1400 Independence Ave. SW, Washington DC 20250
Email: laurel.leverrier@usda.gov
Phone: 202) 7209554
Fax:

Website Address:

<https://www.usda.gov/reconnect>

RELATED PROGRAMS:

10.886 Rural Broadband Access Loans and Loan Guarantees; 10.863 Community Connect Grant Program; 10.851 Rural Telephone Loans and Loan Guarantees;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The ReConnect Program specifies evaluation criteria for the competitive windows (i.e., grant and 50/50 loan/grant combination). Applications are scored based on rurality of the proposed funded service area; the number of farms, businesses, healthcare, educational, and critical community facilities served; performance of the offered service; presence of certain state broadband activities; and presence of tribal lands in the proposed funded service area.

10.754 Higher Blends Infrastructure Incentive Program

HBIIIP,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Conservation, and Energy Act of 2008, and the Agricultural Act of 2014, Public Law 113-79, Title IX, Section 9007, 7 U.S.C. 8107., Title Conservation and Energy Act 2014, Section 9007, Public Law 79-113, 7 USC Code 8107.

OBJECTIVES:

The Higher Blends Infrastructure Incentive Program (HBIIIP) is a new program that will expand the availability of domestic ethanol and biodiesel by incentivizing the expansion of sales of renewable fuels. To accompany the program, a new online grant application system has been developed to be used in applying for participation in the HBIIIP grant program. The HBIIIP grant program includes infrastructure projects for fueling station companies and fuel distribution facility companies.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Under the HBIIIP, funds will be awarded to assist transportation fueling and fuel distribution facilities to convert their facilities through upgrade or installation of equipment required to ensure all equipment is fully compatible with higher blends of ethanol (i.e., greater than 10 percent ethanol) and biodiesel (greater than 5 percent biodiesel) (HB fuel). The program will share the costs related to the upgrading of fuel dispensers (gas and diesel pumps) and attached equipment, underground storage tank system components (which includes but is not limited to tanks, pumps, ancillary equipment, lines, gaskets, and sealants), and other infrastructure required at a location to ensure the environmentally safe availability of fuel containing ethanol blends greater than 10 percent or fuel containing biodiesel blends greater than 5 percent.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Individual/Family; Minority group; Small business (less than 500 employees); Profit organization; Other public institution/organization; Owners of transportation fueling and fuel distribution facilities located in the United States and its territories may apply for this program. Eligible entities would include fueling stations, convenience stores, hypermarket retailer fueling stations, fleet facilities, and similar entities with equivalent capital investments, as well as fuel/biodiesel terminal operations, midstream partners, and heating oil distribution facilities or equivalent entities. Applicants must include all proposed activity under a single application.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

An eligible project must conform to all applicable Federal, State, Tribal and local regulatory requirements pertaining to: (1) Technical Standards and Corrective Action Requirements for Owners and Operators of Underground Storage Tanks (UST), 40 CFR parts 280 and 281; (2) Regulation of Fuels and Fuel Additives, 40 CFR part 80; (3) Occupational Safety and Health Standards Subpart H Hazardous Start Printed Page 26659 Materials Section 106 Flammable Liquids, 29 CFR 1910.106; (4) Safety and Health Regulations for Construction Subpart F Fire Protection and Prevention Section 152 Flammable Liquids, 29 CFR 1926.152; and (5) Automotive Fuel Ratings, Certification, and Posting, 16 CFR part 306. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Contact your local State Office for more information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

HBIP grants will be administered in accordance with Departmental Regulations, and as otherwise specified in this Notice. Applicants selected for funding, will receive a signed notice of Federal award containing instructions on requirements necessary to proceed with execution and performance of the award. Applicants not selected for funding will be notified in writing and informed of any review and appeal rights. Awards to successfully appealed applications will be limited to available funding.

Deadlines:

CLOSED - ROUND 2 WILL BE ANNOUNCED

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 30 to 60 days. Appeal process will be outlined on time of denial.

Renewals:

Other. One time Grant opportunity.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Those project funds required to receive an HBIP grant. The applicant is responsible for securing the remainder of the total eligible project costs not covered by grant funds. Matching funds are comprised of eligible in-kind contributions from third parties and/or cash. In-kind contributions by the applicant cannot be used to meet the matching fund requirement. Written commitments for matching funds (e.g., Letters of Commitment and bank statements) must be submitted with the Certification of Matching Funds when the application is submitted. Funds provided by the applicant in excess of matching funds are not matching funds. Unless authorized by statute, other Federal grant funds cannot be used to meet a matching funds requirement. Passive third-party equity contributions are acceptable for HBIP projects, including equity raised from the sale of Federal tax credits. In the event of ineligible, overstated, or otherwise unsubstantiated claims in the Certification of Matching Funds, the

Agency reserves the right to adjust an application's grant request such that it is commensurate with eligible/actual Matching Funds, or take otherwise action as deemed appropriate. Up to 10 percent of an applicant's Matching Funds requirement (up to five percent of total project costs) may be used to pay consumer education and/or marketing and/or signage related expenses. HBIP grant funds awarded to transportation fueling stations are intended to assist with converting those facilities to ensure full compatibility with HB fuel through upgrade or installation of fuel dispensers, related equipment, and infrastructure. And while the contributions of consumer education and/or marketing and/or signage toward a fuel station's fuel sales are well recognized, a very tall sign to display fuel prices does not in any way assist a facility with higher blends compatibility. Therefore, the Agency determined that while HBIP grant funds may not be used for consumer education and/or marketing and/or signage, MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Point of sales information records for a period of 5 years will need to remain available following the completion of construction that is to occur within 18 months following the full execution of the grant agreement. Comply per grant agreement if awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Performance reporting will be on an annual basis for a period of 5 years post construction. The construction period is to be completed within 18 months of the execution of the grant agreement. Financial reporting is required two times per fiscal year during the construction period, following the execution of the grant agreement. Financial reporting is required two times per fiscal year during the construction period, following the execution of the grant agreement. During the construction period, a performance report for construction progress will also be required. Following completion of construction, performance reporting is required for a period of 5 years. Yes, expenditure reports are required during the construction period of the grant award, which is 18 months from the date of the full execution of the grant agreement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Owners or operators who store regulated substances that contain more than 20 percent biodiesel or more than 10 percent ethanol, such as 15 percent ethanol or E15, must notify their implementing agency 30 days before storing the fuel. Owners and operators must also keep records demonstrating that their UST system is compatible with the substance stored. Point of sales information records for a period of 5 years will need to remain available following the completion of construction that is to occur within 18 months following the full execution of the grant agreement.

Account Identification:

12-2073-0-1-452.

Obligations:

Formula Grants FY 20 \$100,000,000.00; FY 21 est \$100,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

It is anticipated that awards and selections will occur in fiscal year 2020

TAFS Codes:

12-2073.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funds Availability published May 5, 2020 Federal Register, Vol. 85; No. 87 (85 FR 26656 - 26665). Further information is <https://www.rd.usda.gov/hbiip>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Jeff Carpenter,
1400 Independence Ave,
Washington DC 20410

ASSISTANCE LISTINGS DESCRIPTIONS

Email: EnergyPrograms@USDA.gov

Phone: 402-318-8195

Fax:

Website Address:

<https://www.rd.usda.gov/hbiip>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.755 Rural Innovation Stronger Economy

RISE Grant Program,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,

DEPARTMENT OF

AUTHORIZATION:

Title Agriculture Improvement Act of 2018, Section 6424, Public Law 115-34

OBJECTIVES:

To help struggling communities by funding job accelerators in low-income rural communities by supporting jobs accelerator partnerships to improve the ability of distressed rural and energy communities to create high wage jobs, accelerate the formation of new businesses, and help rural communities identify and maximize local assets.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The applicant project outcome must accelerate the formation of new businesses with high-growth potential, improve the ability of rural businesses and distressed rural communities to create high-wage jobs, and strengthen rural regional economies. Eligible uses include the following costs incurred after a complete application has been received by the Agency: 1. Costs directly related to the purchase or construction of an innovation center 2) Costs directly related to operations of an innovation center including including purchase of equipment, office supplies, and administrative costs including salaries directly related to the project; (3) Costs directly associated with support programs to be carried out at or in direct partnership with job accelerators; (4) Reasonable and customary travel expenses directly related to job accelerators and at rates in compliance with 2 CFR 200.474; (5) Utility costs, operating expenses of the innovation center and job accelerator programs and associated programs

Applicant Eligibility:

U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Rural Jobs Accelerator Partnerships comprised of key community and regional stakeholders formed after December 20, 2018 are eligible to apply. Partnership criteria and requirements may be found at 7 CFR 4284.1112.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications will only be accepted through Grants.gov. Contents of a complete application package are available at 7 CFR 4284.1115 Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The National Office will review applications to determine if they are eligible for assistance based on requirements in 7 CFR part 4284, subpart L, this notice, and other applicable Federal regulations. If determined eligible, your application will be scored by a panel of USDA employees in accordance with the point allocation. Applications will be funded in rank order until the funding limitation has been reached. Applications that cannot be fully funded may be offered partial funding at the Agency's discretion.

Deadlines:

June 16, 2021 - August 02, 2021. Completed applications must be submitted no later than 11:59 p.m. Eastern time, Monday August 2, 2021 through Grants.gov

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Agency decisions that are adverse to the individual participant are appealable, while matters of general applicability are not subject to appeal; however, such decisions are reviewable for appealability by the National Appeals Division (NAD). All appeals will be conducted by NAD and will be handled in accordance with 7 CFR part 11.

Renewals:

Other. Grants may not be renewed. The Agency may approve requests to extend the grant period for up to an additional two-year period. Requests must be made and approvals granted in writing.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture Improvement Act of 2018, Chapter , Part , Subpart ,Public Law 115-334.Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The Federal share of the cost of any activity carried out using a grant under this section shall not be greater than 80 percent.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding decisions will be made by September 15, 2021. Funds must be used on approved projects within a 4- year timeframe. Method of awarding/releasing assistance: other

Reports:

Between grant approval and completion of project (i.e., construction), SF-425, Federal Financial Report will be required of all grantees as applicable on a semiannual basis. The grantee will complete the project within the total sums available to it, including the grant, in accordance with the scope of work and any necessary modifications thereof prepared by grantee and approved by the Agency. Grantees shall submit a performance report semi-annually for the first two years, and then annually thereafter, with the first report submitted no later than six months after receiving a grant under this section. Contents of the performance reports may be found at 7 CFR 4284.1120

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 If applicable, grantees must provide an annual audit in accordance with 2 CFR part 200, subpart F. The Agency may exercise its right to do a program audit after the end of the project to ensure that all funding supported eligible project costs.

Records:

The grantee will retain financial records, supporting documents, statistical records, and all other records pertinent to the grant for a period of at least three (3) years after completion of the grant period, except that the records must be retained beyond the 3-year period if audit findings have not been resolved or if directed by the United States. The Agency and the Comptroller General of the United States, or

ASSISTANCE LISTINGS DESCRIPTIONS

any of their duly authorized representatives, must have access to any books, documents, papers, and records of the grantee that are pertinent to the specific grant for the purpose of making audit, examination, excerpts, and transcripts.

Account Identification:

12-1902-0-1-452.

Obligations:

Project Grants FY 20 Not Available; FY 21 Estimate Not Available; and FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

\$500,000 minimum; \$2,000,000 maximum grant request

TAFS Codes:

12-1902.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 4284, Subpart L

Regional or Local Office:

See Regional Assistance Locations. Contact the USDA Rural Development State Office well in advance of the application deadline to discuss your project and ask any questions about the RISE program or application process. Contact information for State Offices can be found at <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

David Chestnut,
1400 Independence Ave, SW,
Washington DC 20250
Email: David.Chestnut@usda.gov
Phone: 202-720-1400

Fax:

Website Address:

<https://www.rd.usda.gov/programs-services/rural-innovation-stronger-economy-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

RISE grant applications will be evaluated, assigned priority points as described in 7 CFR 4284.1117 and ranked from highest to lowest score for funding consideration, subject to the availability of funding.

10.759 Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH)

SEARCH Grant Program,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

The Food, Conservation and Energy Act, Public Law 110-234 (Farm Bill) amended Section 306(a)(2) of the Consolidated Farm and Rural Development Act (CONACT) (7 U.S.C. 1926 (a)(2), Title 110, Part 234, Section 306(a)(2), 7 USC Code 1926 (a)(2).

OBJECTIVES:

To make predevelopment planning grants for feasibility studies, design assistance, and technical assistance to financially distressed communities in rural areas with populations of 2,500 or fewer inhabitants for water and waste disposal projects.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

May fund up to 100 percent of the eligible grant costs, not to exceed \$30,000.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants must be (1) public bodies or governmental entities such as states, municipalities, counties, districts, authorities, and other political subdivisions of a State; (2) nonprofit organizations such as associations, cooperatives, private nonprofit corporations, and institutions of higher education and hospitals; or, (3) Native American Indian tribes on Federal and State reservations, other federally recognized Indian tribes, and Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, and associations. Applicants must demonstrate that they are financially distressed and unable to fund the project through their own means.

Beneficiary Eligibility:

U.S. Territories; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries are the entities or organizations eligible to develop projects under the Water and Waste Disposal Loan and Grant Program. Eligible entities include (1) public bodies or governmental entities such as municipalities, counties, districts, authorities, and other political subdivisions of a State, (2) nonprofit organizations such as associations, cooperatives, and private nonprofit corporations, (3) Native American Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

Evidence of organizational and legal capacity, and financial standing. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Public bodies or non-profit organizations may file applications with the RD State Office for the state in which the organization's headquarters is located. Addresses may be found on the web site of the Water and Environmental Programs <http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs> All required forms may be found on the RUS Water Programs web page at <http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>; on that page, select SEARCH - Special Evaluation Assistance for Rural Communities and Households.(1) Application for Federal Assistance: Standard Form 424;(2) Budget Information Non-Construction Programs: Standard Form 424-A;(3) Assurances Non-Construction Programs: Standard Form 424-B;(4) Supporting documentation necessary to make an eligibility determination such as financial statements, audits, organizational documents or existing debt instruments. The Processing Official will advise applicants regarding the required documents. (5) Project narrative detailing the project to be financed with the SEARCH grant funds. (6) Applicants will be required to submit the following items upon notification from the Processing official to proceed with further development of the full application: (a) Form RD 442-7, "Operating Budget" (b) Form RD 400-1, Equal Opportunity Agreement"(c) Form AD-1047, Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transaction.(d) Form AD-1049, Certification Regarding Drug-Free Workplace Requirements (Grants) Alternative For Grantees Other Than Individuals(e) Certifications for Contracts, Grants, and Loans (f) Certification regarding prohibited tying arrangements.

Award Procedure:

Selected applicant(s) will be notified by Form AD-622.

Deadlines:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Range of Approval/Disapproval Time:

Other. Dependent upon funding and whether the application is considered complete. A determination on a complete application will be made within 15 federal working days. If complete, an eligibility and funding determination will be issued within 45 working days.

Appeals:

Other. An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable Method of awarding/releasing assistance: other

Reports:

Required to maintain records and accounts to assure funds are used for authorized purposes. Quarterly or semi-annual narrative reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

Required to maintain records and accounts to assure funds are used for authorized purposes.

Account Identification:

12-1980-0-1-452.

Obligations:

Project Grants FY 20 \$2,470,100.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

\$11,400 to \$106,000. Average is \$28,736

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1774; RUS Instruction 1780; OMB Circular A-87, OMB Circular A-110, OMB Circular A-102 or OMB Circular A-122; Executive Order 12866; and Executive Order 12988

Regional or Local Office:

See Regional Assistance Locations. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing, contact appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Branch Chief Assistant Administrator,
1400 Independence Ave., SW,
Washington DC 20250
Email: charlesd.stephens@usda.gov
Phone: (202) 619-8500

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be selected based primarily on the funding priorities in Section 1780.17 of RUS Instruction 1780. The State Program Official discretionary points stated in Section 1780.17 (e) of RUS Instruction 1780 can also include consideration of the following criteria: (a) Systems with limited resources. (b) Smallest systems with lowest incomes. (c) Funds availability.

10.760 Water and Waste Disposal Systems for Rural Communities

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:

Provide loan and grant funds for water and waste projects serving the most financially needy communities. Financial assistance should result in reasonable user costs for rural residents, rural businesses, and other rural users.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans, Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and costs related thereto, and the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection, and treatment of sanitary, storm, and solid wastes.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Municipalities, counties, other political subdivisions of a State such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. The applicant must: (1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Individual/Family; Farmer/Rancher/Agriculture Producer; American Indian; Local; Rural; Users of the applicant systems, which are previously described as public bodies, private nonprofit corporations, Indian tribes, and individuals.

Credentials/Documentation:

Evidence of legal and organizational capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. OMB

ASSISTANCE LISTINGS DESCRIPTIONS

Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. USDA Rural Development (RD) offices administer the program on the local level. Applications include the criteria specified within 7 CFR 1777. This includes, but is not limited to, the requirement of applicants to file an application Form SF-424, Application for Federal Assistance, with the appropriate Rural Development (RD) Office.

Award Procedure:

Applications will be reviewed and scored for funding priority by the RD State Office. After the application has been reviewed at the local level, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan/grant approval, funds are made available to the applicant. As needed, the RD State Director may request supplemental funding from the RUS National Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dependent upon funding and whether the application is considered complete. A determination on a complete application will be made within 15 federal working days. If complete, an eligibility and funding determination will be issued within 45 working days.

Appeals:

Other. An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or

Management Report

Records:

Required to maintain records and accounts to assure funds are used for authorized purposes.

Account Identification:

12-4218-0-3-452. 12-4155-0-3-452.

Obligations:

Direct Loans FY 20 \$1,466,839,740.00; FY 21 est \$1,400,000,000.00; FY 22 est \$1,400,000,000.00; Guaranteed/Insured Loans FY 20 \$27,901,182.00; FY 21 est \$58,333,000.00; FY 22 est \$58,333,000.00; Project Grants FY 20 \$539,746,216.00; FY 21 est \$442,421,525.00; FY 22 est \$400,000,000.00;

Range and Average of Financial Assistance:

(Direct Loans) \$5,000 to \$53,585,000; average \$2,328,317 (Grants) \$7,500 to \$15,572,000; average \$1,251,136 (Guaranteed/Insured Loans) \$220,000 to \$6,630,973; average \$1,395,059

TAFS Codes:

12-4218. 12-4226. 12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1780, Water and Waste Loans and Grants, 7 CFR 1779, Water and Waste Disposal Program Guaranteed Loans.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs,, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Washington DC 20250
Email: charlesd.stephens@usda.gov
Phone: (202) 619-8500

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities;
14.218 Community Development Block Grants/Entitlement Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In selecting applications for funding, the agency cooperates with appropriate State agencies. RUS assistance for water and waste disposal projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as, but not limited to: Population; low income unemployment; and health and sanitary problems. RUS financed facilities will be consistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.761 Technical Assistance and Training Grants

TAT Grants,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Sections 306(a)(16)(A), Public Law 99-198, 7 U.S.C. 1926(a),.

OBJECTIVES:

Annual TAT - To identify and evaluate solutions to water and waste disposal

ASSISTANCE LISTINGS DESCRIPTIONS

problems in rural areas; to assist applicants in preparing applications made in accordance with 7 CFR 1780; to improve operation and maintenance of water and waste disposal facilities in rural areas. Technical Assistance and Training for Innovative Regional Wastewater Treatment Solutions (TAT/RWTS) - to identify and evaluate economically feasible innovative regional solutions to wastewater treatment concerns for historically impoverished communities in areas which have had difficulty installing traditional wastewater treatment systems due to soil conditions.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

Annual TAT - Funds may be used to pay expenses associated with providing technical assistance and/or training (TAT) to identify and evaluate solutions to water problems relating to source, storage, treatment, and distribution, and to waste disposal problems relating to collection, treatment, and disposal; assist applicants that have filed a application with RUS in the preparation of water and/or waste disposal loan and/or grant applications; and to provide training that will improve the management, operation and maintenance of water and waste disposal facilities. Grant funds may not be used to recruit applications, duplicate current services such as those performed by a consultant in developing a project, fund political activities, pay for capital assets, purchase real estate or vehicles, improve and renovate office space or repair and maintain privately owned property, pay construction or O&M costs, and pay costs incurred prior to the effective date of grants made. TAT/RWTS -Funds must be used to identify and evaluate innovative regional solutions to wastewater treatment solutions for historically impoverished communities in areas which have had difficulty installing traditional wastewater treatment systems due to soil conditions. The work product must be related to a proposed project that is regional in scope. Grant funds may not be used to recruit applications, duplicate current services such as those performed by a consultant in developing a project, fund political activities, pay for capital assets, purchase real estate or vehicles, improve and renovate office space or repair and maintain privately owned property, pay construction or O&M costs, and pay costs incurred prior to the effective date of grants made.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Annual TAT - Eligible entities must be private nonprofit organizations. Applicants must have proven ability, background, experience, legal authority and actual capacity to provide technical assistance and/or training on a regional basis to associations. TAT/RWTS - Eligible entities must be consortiums (regional institutions of higher education, academic health and research institutes or economic development entities, or combination thereof, located in the region identified to be served that have experience in addressing these issues in the region). Applicants must have proven ability, background, experience, legal authority and actual capacity to provide technical assistance .

Beneficiary Eligibility:

Local; Federally Recognized Indian Tribal Governments; Entities that may be eligible for water and waste disposal loans and grants (10.760) such as municipalities, counties, districts, authorities, and other political subdivisions of a State, organizations operated on a not-for-profit basis, such as associations, cooperatives, or private corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-110 and A-122. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Standard application forms as furnished by RUS must be used for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular A-110 applies to this program. This program is subject to the provisions of OMB Circular A-122. Applications include the criteria specified within 7 CFR 1775. This

includes, but is not limited to, the requirement to submit an application form Form SF 424, Application for Federal Assistance, to the National Office in Washington, D.C.

Award Procedure:

The Water and Environmental Programs staff in Washington, D.C. will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant on a reimbursement basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Dependent upon funding availability and the completeness of the application. From 60 to 120 days following the submission deadline.

Appeals:

Other. An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the technical assistance and/or training grant project is 12 months or less. Method of awarding/releasing assistance: quarterly

Reports:

All grantees will submit quarterly reports to the RUS National Office through the appropriate RD State Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least three years after closing.

Account Identification:

12-1980-0-1-452.

Obligations:

Project Grants FY 20 \$29,999,792.00; FY 21 est \$30,000,000.00; FY 22 est \$30,000,000.00; Project Grants (for specified projects) FY 20 \$0.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

\$80,000 to \$8,627,000. Average: \$1,304,339

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1775, Technical Assistance and Training Grants.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Charles Stephens, Rural Utilities Service, 1400 Independence Ave., SW, Washington DC 20250
Email: charlesd.stephens@usda.gov
Phone: (202) 619-8500

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Assistance will be directed to those applicants who: (1) have demonstrated ability to provide technical assistance and/or training to rural associations; (2) propose to serve multi-state, regional, or nationwide areas; (3) maximize use of grant funds for direct staffing of activities that are delivered to the associations; and (4) the population of the associations served have low income.

10.762 Solid Waste Management Grants

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B, Public Law 101-624, 7 U.S.C. 1932.,

OBJECTIVES:

To reduce or eliminate pollution of water resources and improve planning and management of solid waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to: Evaluate current landfill conditions to determine threats to water resources in rural areas; provide technical assistance and/or training to enhance operator skills in the maintenance and operation of active landfills in rural areas; provide technical assistance and/or training to help associations reduce the solid waste stream; and provide technical assistance and/or training for operators of landfills in rural areas which are closed or will be closed in the near future with the development/implementation of closure plans, future land use plans, safety and maintenance planning, and closure scheduling within permit requirements. Grant funds may not be used to: Recruit applications/applications for any loan and/or grant program including RUS Water and Waste Disposal Loan and/or Grant Program; duplication of current services, replacement or substitution of support previously provided such as those performed by an association's consultant in developing a project; fund political activities; pay for capital assets, the purchase of real estate or vehicles, improve and renovate office space, or repair and maintain privately-owned property; pay for construction or operation and maintenance costs of water and waste facilities; and pay costs incurred prior to the effective date of grants made under this subpart.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Entities eligible for grants are nonprofit organizations, including: Private, nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service (IRS); and public bodies including local governmental-based multijurisdictional organizations. Applicants

must have the proven ability, background, experience, legal authority, and actual capacity to provide technical assistance and/or training on a regional basis to eligible beneficiaries.

Beneficiary Eligibility:

Private nonprofit institution/organization; Local; Federally Recognized Indian Tribal Governments; The entities that receive assistance are: (1) municipalities, counties, districts, authorities, and other political subdivisions of a State; (2) organizations operated on a not-for-profit basis, such as associations, cooperatives, and private nonprofit corporations; (3) and, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and ability to deliver technical assistance and/or training. This program is excluded from coverage under OMB Circular No. A-87. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular A-122. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Applications include the criteria specified within 7 CFR 1775. This includes, but is not limited to, the requirement to submit an application Form SF-424, Application for Federal Assistance, to the National Office in Washington, DC.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant on a reimbursement basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Dependent upon funding availability and the completeness of the application. From 60 to 120 days following the submission deadline.

Appeals:

Other. An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less. Method of awarding/releasing assistance: other

Reports:

All grantees will submit quarterly reports to the RUS approval official. All grantees will submit quarterly reports to the RUS approval official. All grantees will submit quarterly reports to the RUS approval official.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In

ASSISTANCE LISTINGS DESCRIPTIONS

accordance with the provisions of 2 CFR 200re, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report .

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-1980-0-1-452.

Obligations:

Project Grants FY 20 \$4,000,000.00; FY 21 est \$4,000,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

\$33,000 to \$979,000 Average: \$173,913

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1775

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Charles Stephens, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Washington DC 20250

Email: charlesd.stephens@usda.gov

Phone: (202) 619-8500

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant funds will be directed to those applicants who; (1) Have demonstrated ability to provide technical assistance on a regional basis to rural communities; (2) propose to assist small, low income communities; (3) propose to serve multi-State, regional or nationwide areas; (4) the extent to which the proposed project is cost effective; and (5) meet the objectives of RUS Water and Waste Disposal Program.

10.763 Emergency Community Water Assistance Grants

Emergency Community Water Assistance Grants,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306A; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.,

OBJECTIVES:

To help rural residents who have experienced a significant decline in quantity or quality of water, due to an emergency event (such as drought, earthquake,

hurricane or tornado), to obtain adequate quantities of water that meet the standards of the Safe Drinking Water Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may be used for existing systems which have experienced an emergency event (such as drought, earthquake, hurricane or tornado): (1) to alleviate a significant decline in the available quantity or quality of water by constructing new wells, reservoirs, transmission lines, treatment plants, and other sources of water; (2) To extend or repair distribution waterlines, repair breaks, and pay for operation and maintenance items that remedy an acute shortage or significant decline in the quality or quantity of potable water; and, (3) To assist rural water systems comply with the requirements of the Federal Water Pollution Control Act or the Safe Drinking Water Act when noncompliance is related directly to a recent decline in quality of potable water. Grants made to alleviate a significant decline in quantity or quality of water available from the water supplies in rural areas that occurred within two years of filing an application with USDA cannot exceed \$1,000,000. Grants for repairs, partial replacement, or significant maintenance on an established system cannot exceed \$150,000.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible beneficiaries include (1) Public bodies or governmental entities such as municipalities, counties, districts, authorities, and other political subdivisions of a State, (2) nonprofit organizations such as associations, cooperatives, and private nonprofit corporations, (3) Native American Indian tribes on Federal and State reservations and other federally recognized Indian tribes. Projects must serve rural areas, excluding any city or town having a population greater than 10,000.

Beneficiary Eligibility:

Private nonprofit institution/organization; Local; Users of the applicant systems, which are previously described as public bodies, private nonprofit corporations, and federally-recognized Indian tribes.

Credentials/Documentation:

Evidence of legal and organizational capacity, and economic feasibility. This program is excluded from coverage under OMB Circular No. A-87. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Rural Development (RD) State Director will administer the program on the local level. Applications include the criteria specified within 7 CFR 1778. This includes, but is not limited to, the requirement of applicants to file an application Form SF-424, Application for Federal Assistance, with the appropriate Rural Development (RD) Office.

Award Procedure:

Applications received will be reviewed and scored for funding priority by the RD State office. Applications must compete on a national basis for available funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Dependent upon funding and whether the application is considered complete. A determination on a complete application will be made within 15 federal working days. If complete, an eligibility and funding determination will be issued within 45 working days.

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

Other. An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-1980-0-1-452.

Obligations:

Project Grants FY 20 \$16,128,330.00; FY 21 est \$20,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

\$18,343 to \$1,000,000. Average: \$384,008

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1778.

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory under United States Government, Department of Agriculture for Rural Development District Office number. If no listing, contact appropriate Rural Development State office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs Assistant Administrator,
1400 Independence Ave., SW,
Washington DC 20250
Email: charlesd.stephens@usda.gov
Phone: 202-619-8500
Fax:

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The proposed projects designed to serve a rural area with a population of 10,000 or less that has a median household income of not more than 100 percent of the statewide nonmetropolitan household income and had a significant decline in

quantity of water available from private individually owned wells will be given priority. Preference also will be given to proposed projects that will assist an established water system or remedy an acute shortage of quality water. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding.

10.766 Community Facilities Loans and Grants

FEDERAL AGENCY:

RURAL HOUSING SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Executive Order Consolidated and Further Continuing Appropriations Act, 2013, , Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 USC 1926, , Public Law 113-6

OBJECTIVES:

To construct, enlarge, extend, or otherwise improve community facilities providing essential services to rural residents.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans, Project Grants

USES AND USE RESTRICTIONS:

Community facilities include, but are not limited to, those providing or supporting overall community development such as hospitals, fire stations, child care facilities, food recovery and distribution centers; assisted-living facilities; group homes, mental health clinics, and shelters; and education facilities. Projects comprise community, social, health care, education, cultural, transportation, industrial park sites, fire and rescue services, access ways, and utility extensions. All facilities financed in whole or in part with RHS funds shall be for public use.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); City, county, and State agencies; political and quasi-political subdivisions of States and associations, including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes; and existing private corporations which: (1) are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Graduate Student; Farmer/Rancher/Agriculture Producer; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Moderate Income; Low Income; Rural; Education (0-8); Education (9-12); Education (13+); Loans for essential community facilities are made to eligible entities who provide essential community services to the population living within the service area of the facility or being served by the facility. Beneficiaries include farmers, ranchers, rural residents, rural businesses, and other users of such public facilities in eligible applicant areas as set out above.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

Evidence of legal capacity and financial responsibility of the applicants and the economic feasibility of the project relative to the activity for which assistance is requested. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as provided by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires in applying for assistance if the State has selected the project for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For direct loans and grants, applicants must file Standard Form SF-424 and supporting documentation at the local Rural Development Area Office. For guaranteed loans, the lender must file Form RD 3575-1 and supporting documentation.

Award Procedure:

After the preapplication has been reviewed by the Rural Development Area Office, it is forwarded to the Rural Development State Office for review and processing instructions. Following review by the State Office, the applicant is notified about eligibility, availability of funds, and if an application should be filed. Upon completion of application processing requirements and approval by the State Office, funds are made available to the Rural Development Area Office for delivery.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 30 to 90 days after a complete application is received.

Appeals:

Other. If an application is rejected, the reasons for rejection are fully stated. The notification will include a statement for appeal or review of the adverse decision. The applicant may request an appeal or review of the decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching funds are not required but may be used in connection with the funds provided from the applicant or other sources. Funds are allocated to States based upon rural population, number of households below the poverty level, and rural unemployment. The statistical factor for eligibility is cities, towns or incorporated areas under 20,000 population for direct and guaranteed loans and 20,000 population for grants. The source is "Latest Decennial Census." Grants are limited to a maximum of 75 percent of project cost. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of Community Facilities loan or grant funds. Funds will be awarded when all program requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by RHS to cover expenses for a 30-day period. Method of awarding/releasing assistance: other

Reports:

Periodic reports are made to Rural Development. Each borrower will monitor and report to RHS on actual performance during the construction of each project financed, or to be financed, in whole or in part with Community Facilities loan funds

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of loans and other agreements.

Records:

The borrower must maintain adequate records and accounts of the operation of the facility developed.

Account Identification:

12-1951-0-1-452. 12-4155-0-3-452.

Obligations:

Project Grants FY 20 \$112,719,369.00; FY 21 est \$147,854,000.00; FY 22 est \$535,303,000.00; Direct Loans FY 20 \$1,267,839,823.00; FY 21 est (\$1,494,967,296.00); FY 22 est (\$1,494,967,296.00); Guaranteed/Insured Loans FY 20 \$100,718,100.00; FY 21 est \$500,000,000.00; FY 22 est \$500,000,000.00;

Range and Average of Financial Assistance:

2020 Direct Loan ranged from \$1,700 to \$95,000,000. Average: \$2,626,324. Guaranteed Loan ranged from \$289,600 to \$19,000,000. Average: \$3,873,773. Grants ranged from \$1,061 to \$4,562,400. Average: \$65,785.

TAFS Codes:

12-4228. 12-1951. 12-4225.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, subpart A, Community Facilities Loans; 7 CFR 1942, subpart C, Fire and Rescue Loans; 7 CFR 3575, subpart A, Community Facilities Guaranteed Loans; 7 CFR 3570, subpart B, Community Facilities Grants.

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or Area office numbers or visit the website <https://www.rd.usda.gov/page/state-offices> for a State Office listing.

Headquarters Office:

Community Programs Specialist Jamie Davenport,

1400 Independence Ave SW,

Washington DC 20250

Email: jamie.davenport@usda.gov

Phone: 2027200002

Director, Guaranteed Loan Processing and Servicing Division Deb Jackson,

1400 Independence Ave, SW,

Washington DC 20250

Email: deb.jackson2@usda.gov

Phone: 2027208454

Fax: 8444967779

Website Address:

<http://www.rd.usda.gov>

RELATED PROGRAMS:

15.124 Indian Loans_Economic Development; 10.664 Cooperative Forestry

Assistance; 10.500 Cooperative Extension Service;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected for funding giving due consideration to State development strategies, serving the largest number of low income rural residents, and priority recommendations. Priority for funding will be given to those projects that will enhance public safety such as fire, police, rescue and ambulance services, and projects for health care facilities.

10.767 Intermediary Relending Program

ASSISTANCE LISTINGS DESCRIPTIONS

IRP,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Health and Human Services Act of 1986, Section 407, Public Law 99-425, 7 USC
Code 1932.

OBJECTIVES:

To finance business facilities and community development.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

An entity that receives an Intermediary Relending Program (IRP) loan from the Rural Business-Cooperative Service (RBS) is referred to as an intermediary. Intermediaries must relend all of the loan funds received from the IRP loan for business facilities or community development in rural areas. An entity that receives a loan from an intermediary is referred to as an ultimate recipient. The maximum loan to any one intermediary is \$2 million. The maximum term is 30 years and the interest rate is one percent per annum. Intermediaries may not use IRP funds to finance more than 75 percent of the cost of an ultimate recipient's project or for a loan of more than \$250,000 to one ultimate recipient. (No more than 25 percent of an IRP loan approved may be used for loans to ultimate recipients that exceed \$150,000.)

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible intermediaries may include: Private nonprofit organizations, State or local governments, and Federally recognized Indian tribes and cooperatives.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Public nonprofit institution/organization; Ultimate recipients may include: For profit organizations, individuals, public and private nonprofit organizations.

Credentials/Documentation:

Intermediaries must have adequate legal authority and a proven record of successfully assisting rural businesses and industries. Ultimate recipients must not be located within a city with a population of 25,000 or more. Both intermediaries and ultimate recipients must be unable to obtain the loan at reasonable rates and terms through commercial credit or other Federal, State, or local programs. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Potential intermediaries should file applications with the State office for the State in which the intermediary's headquarters is located. The application package includes Form 4274-1 and a written work plan. More details are available in 7 CFR 4274.343, or from the appropriate Rural Development State Office. The Rural Development administers the program on the local level. Intermediaries develop their own application procedures for ultimate recipients.

Award Procedure:

The application of each intermediary will be evaluated by the RD State Office. Applications received by RBS will be reviewed and ranked quarterly and funded in the order of priority ranking.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days.

Appeals:

Other. Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division.

Appeals will be handled in accordance with 7 CFR 1900-B.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicant intermediaries must show a need for the funds and limit the request to an amount they can expect to use within one year. After a loan is approved, the funds are released to the intermediary in multiple advances as required to fund loans to ultimate recipients. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly

Reports:

Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. No cash reports are required. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records and accounts must be maintained to reflect the operations of each project.

Account Identification:

12-4233-0-3-452. 12-2069-0-1-452.

Obligations:

Direct Loans FY 20 \$12,088,310.00; FY 21 est \$21,828,000.00; FY 22 est \$20,409,000.00;

Range and Average of Financial Assistance:

This program has no statutory formula. Matching requirements are not applicable to this program. However, Intermediaries may not use IRP funds to finance more than 75 percent of the cost of an ultimate recipient's project. MOE requirements are not applicable to this program

TAFS Codes:

12-2069.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4274, Subpart D; 7 CFR 1951, Subpart R.

Regional or Local Office:

See Regional Assistance Locations. RBS State Office listed in Appendix IV of the Catalog. If you are unable to find it, go to <https://www.rd.usda.gov/contact-us/state-offices>

Headquarters Office:

Program Specialist Lori Pittman,
1400 Independence Avenue, SW Room 4204 Mailstop 3226,
Washington DC 20250
Email: Lori.Pittman@usda.gov
Phone: 202-720-1400

Website Address:

<http://www.rd.usda.gov/programs-services/intermediary-relending-program>

RELATED PROGRAMS:

10,769 Rural Business Enterprise Grants;

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Factors considered in judging applications include: Financial condition, assurance of repayment ability, equity, collateral, experience and record of managing a loan program or providing other assistance to rural businesses, ability to leverage with funds from other sources, extent assistance would flow to low income persons.

10.768 Business and Industry Loans

B&I Guaranteed Loan Program,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 310B, 7 U.S.C 1932,
Section 310B, Public Law 92-419, 7 USC Code 1932.

OBJECTIVES:

The purpose of the B&I Guaranteed Loan Program is to improve, develop, or finance business, industry, and employment and improve the economic and environmental climate in rural communities.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

The program guarantees lenders loans for real estate, machinery and equipment, working capital, and debt refinancing. Guaranteed loans are the only type of assistance under this program. The guarantee is not intended for loans that are marginal or substandard. Assistance is limited to rural areas with populations of less than 50,000 inhabitants under most circumstances. Some loan purposes such as golf courses, gambling, and agricultural production are restricted or limited. The initial guarantee fee is 3% of the loan amount and the annual renewal fee is .5% of the outstanding guaranteed principal amount of the loan as of December 31st.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; A borrower may be a cooperative organization, corporation, partnership, or other legal entity organized and operated on a profit or nonprofit basis; an Indian tribe on a Federal or State reservation or other Federally recognized tribal group; a public body; or an individual.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; American Indian; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Local; Rural; Federally Recognized Indian Tribal Governments; N/A

Credentials/Documentation:

A complete application containing such items as credit reports, financial statements, and appraisals are required as part of the application. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complete the requirements of RD Instruction 4279-B, section 4279.161(b).

Award Procedure:

Applications are funded on a first-come first served basis according to priority points procedures outlined in RD Instruction 4279-B, section 4279.155.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications typically take 60 days to approve.

Appeals:

Other. Denial for program assistance is appealable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information:

Length and Time Phasing of Assistance:

Assistance is available each fiscal year until September 30 or until expended, whichever occurs first. Method of awarding/releasing assistance: other

Reports:

Guaranteed borrowers and guarantors are required to submit financial statements annually. Yes, but as part of the overall financial reporting requirement. Not duplication. The Agency monitors the number of jobs created and/or saved by the borrower

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The lender is required to maintain a complete loan file no differently than it would keep for a borrower without a guarantee. This includes annual financial statements, correspondence, servicing actions, etc. The file may be inspected at the Agency's request.

Account Identification:

12-0403-0-1-452. 12-4155-0-3-452.

Obligations:

Guaranteed/Insured Loans FY 20 \$1,578,000,000.00; FY 21 est \$922,681,000.00; FY 22 est \$1,530,150,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-4155.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Business and Industry Guaranteed Loan Program regulations has been updated and published.

Regional or Local Office:

See Regional Assistance Locations. Regional Agency Offices:

<http://www.rd.usda.gov/contact-us/state-offices>

Headquarters Office:

Program Processing Branch,
U.S. Department of Agriculture Rural Business-Cooperative Service 1400
Independence Ave SW,
Washington DC 20250-3224
Email: Brenda.Griffin@USDA.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 202-690-4103

Website Address:

<http://www.rd.usda.gov/programs-services/business-industry-loan-guarantees>

RELATED PROGRAMS:

10.766 Community Facilities Loans and Grants; 10.769 Rural Business Enterprise Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Businesses must demonstrate the ability to repay the loan. Existing businesses must have 10 percent tangible balance sheet equity and new businesses must have 20 percent tangible balance sheet equity.

10.770 Water and Waste Disposal Loans and Grants (Section 306C)

Section 306C,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306C, 7 U.S.C. 1926(c), as amended; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.,

OBJECTIVES:

Provide water and waste disposal facilities and services to low income rural communities whose residents face significant health risks.

TYPES OF ASSISTANCE:

Direct Loans, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for up to 100 percent of costs to: (1) construct, enlarge, extend, or otherwise improve a community water or waste system, including extending service lines to and/or connecting individual residences to a system; (2) allow applicant to make grants directly to individuals to extend service lines and/or connect residences plumbing to the applicant's system; (3) improve an individual's residence to allow use of water and/or waste disposal system; (4) pay reasonable charges and fees for connecting to system, installation of plumbing and related fixtures; (5) construction in dwelling for a bathroom; and, (6) pay costs for closing abandoned septic tanks and water wells.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Local level governments, Indian Tribes on Federal and State reservations, and other federally recognized Indian Tribes, U.S. Territories and possessions, and nonprofit associations can receive assistance under this program. Except for rural areas known as "Colonia" along the U.S./Mexico border, the projects funded under this program must primarily provide water and/or waste disposal services to residents of a county where the per capita income of the residents is not more than 70 percent of the most recent national average per capita income, as determined by the U.S. Department of Commerce, and unemployment rate of the residents is not less than 125 percent of the most recent national average unemployment rate, as determined by the Bureau of Labor Statistics. Also the residents must face significant health risks due to not having access to an affordable community water and/or waste disposal system.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; State; Federally Recognized Indian Tribal Governments; Users of the applicant systems, which are previously described as public bodies, private nonprofit corporations, Indian tribes, and individuals.

Credentials/Documentation:

Evidence of legal and organizational capacity, and economic feasibility. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications include the criteria specified within 7 CFR 1777. This includes, but is not limited to, the requirement of applicants to file an application Form SF-424, Application for Federal Assistance, with the appropriate Rural Development (RD) Office.

Award Procedure:

Applications will be reviewed and scored for funding priority by the RD State Office. Applications must compete on a national basis for available funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Dependent upon funding and whether the application is considered complete. A determination on a complete application will be made within 15 federal working days. If complete, an eligibility and funding determination will be issued within 45 working days.

Appeals:

Other. An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable Method of awarding/releasing assistance: other

Reports:

Required to maintain records and accounts to assure funds are used for authorized purposes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F, will provide an annual audit or Management Report.

Records:

Required to maintain records and accounts to assure funds are used for authorized purposes.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

12-1980-0-1-452.

Obligations:

Project Grants (Discretionary) FY 20 \$25,778,516.00; FY 21 est \$25,000,000.00; FY 22 est \$25,000,000.00; Project Grants (Discretionary) FY 20 \$27,380,790.00; FY 21 est \$1,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Colonias Grants - \$50,000 to \$6,946,790. Average: \$2,489,163 Native American Tribe Grants - \$447,540 to \$5,412,976. Average: \$2,254,074

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1777.

Regional or Local Office:

See Regional Assistance Locations. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing contact appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator,

Rural Utilities Service, 1400 Independence Avenue, SW.,
Washington DC 20250

Email: charlesd.stephens@usda.gov

Phone: 202-619-8500

Fax:

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A proposed project servicing a rural area with a population not in excess of 1,500, a median household income not in excess of 50 percent of the statewide non-metropolitan median household income, with leveraged financing, and, for Colonias funding, servicing residents with access or health risk issues in a Colonia along the U.S./Mexico border will be given priority. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding.

10.771 Rural Cooperative Development Grants

RCDG,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

7 USC 1932(e).

OBJECTIVES:

To improve the economic condition of rural areas by assisting individuals and businesses in the startup, expansion or operational improvement of rural cooperatives and other mutually-owned businesses through Cooperative Development Centers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to provide technical assistance to individuals and businesses in rural areas for the purpose of cooperative development.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Native American Organizations; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Consumer; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Rural; Ultimate beneficiaries must be located in rural areas.

Credentials/Documentation:

An annual Federal Register notice will describe documentation requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the procedures described in an annual Federal Register notice.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications are reviewed by a panel of experts and ranked according to published evaluation criteria. Applications are funded in rank order until available funds have been expended.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

Other. Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Matching funds are subject to the same restrictions as grant funds. 1994 Institutions only need to provide 5% of the total budget as matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are typically made during September each year. The Period of Performance is one year. Method of awarding/releasing assistance: other

Reports:

Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

ASSISTANCE LISTINGS DESCRIPTIONS

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Recipients must comply with 2 CFR 200.333-.337.

Account Identification:

12-1900-0-1-452.

Obligations:

Project Grants FY 20 \$5,800,000.00; FY 21 est \$5,800,000.00; FY 22 est \$5,800,000.00;

Range and Average of Financial Assistance:

Average = \$180,000 Range = \$70,000 (minimum) to \$200,000 (maximum)

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subparts A and F

Regional or Local Office:

See Regional Assistance Locations. Contact information for USDA Rural Development State Offices is available at: <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Cooperative Programs, Grants Division Lisa Sharp,
1400 Independence Avenue, SW Mail Stop 3253, Room 4208-South,
Washington DC 20250-3253

Email: cpgrants-dcwa3@usda.gov

Phone: 202-720-1400

Website Address:

<http://www.rd.usda.gov/programs-services/rural-cooperative-development-grant-program>

RELATED PROGRAMS:

10.871 Small Socially- Disadvantaged Producer Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on 10 criteria: (1) Administrative Capabilities, (2) Technical Assistance and Other Services, (3) Economic Development, (4) Past Performance in Establishing Legal Entities, (5) Networking and Regional Focus, (6) Commitment, (7) Matching Funds, (8) Work Plan/Budget, (9) Qualifications of Key Personnel, and (10) Local and Future Support. Applicants should review 7 CFR 4284.513 and the annual Federal Register notice for details on how these criteria will be scored.

10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship

Borlaug Fellowship Program,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title VII, Part A, Section 7139, Statute 122-1231, Public Law 110-234, 7 USC Code 3319j, Title Agriculture Improvement Act of 2018, Part Title III, Section 3306, Statute 132-4620, Public Law 115-334

OBJECTIVES:

The Borlaug International Agricultural Science and Technology Fellowship Program (Borlaug Fellowship Program) promotes food security and economic growth in developing and middle-income countries by educating a new generation

of agricultural scientists, increasing scientific knowledge and collaborative research to improve agricultural productivity, and extending that knowledge to users and intermediaries in the marketplace. The Borlaug Fellowship Program supports the following goals: training and collaborative research opportunities through exchanges for entry level and mid-career international agricultural research scientists, faculty, and policymakers from eligible countries; collaborative research to improve agricultural productivity; the transfer of new science and agricultural technologies to strengthen agricultural practice; and the reduction of barriers to technology adoption.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use, Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

Borlaug Fellowship Program funds are used for international training activities in agricultural research, extension, and teaching. Unsolicited proposals will not be considered.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The Borlaug Fellowship Program solicits proposals from U.S. universities and state cooperative institutions.

Beneficiary Eligibility:

Scientist/Researchers; Public nonprofit institution/organization; Other public institution/organization; Technical assistance and research collaboration provided through these agreements benefit foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

This program is subject to the provisions of 2 CFR Part 200, as adopted by USDA through 2 CFR part 400. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their State's Single Point of Contact (SPOC) to comply with the State's process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>). Name and addresses of the SPOCs are maintained at the Office of Management and Budget's home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Center for International Programs, on the USDA ezFedGrants portal at <https://grants.fms.usda.gov>, and on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days. For renewals, new NOFOs will be posted on grants.gov. Extensions must be requested at least 45 days before the end of the Period of

ASSISTANCE LISTINGS DESCRIPTIONS

Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects, generally 1-2 years. Method of awarding/releasing assistance: other

Reports:

Two program reports are required as described in the terms of the agreement. Semi-annual progress reports are required. Please see the NOFO for Progress Reporting due dates or frequency. Periodic financial reports are required. Please see the NOFO for due dates or reporting frequency on the SF-425. Mentor performance reports and Fellow's performance reports are required. Final reports are required at the end of the activity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-2900-0-1-352.

Obligations:

Project Grants (Fellowships) FY 20 \$1,743,347.00; FY 21 est \$1,500,000.00; FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

\$40,000 - \$60,000 per fellow.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nicola Sakhleh,
1400 Independence Ave. SW,
Washington DC 20250
Email: nicola.sakhleh@usda.gov
Phone: 202-720-4228
Fax:

Website Address:

<http://www.fas.usda.gov/programs/borlaug-fellowship-program>

RELATED PROGRAMS:

10.961 Scientific Cooperation and Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations.

Contact FAS/GP for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.782 Appropriate Technology Transfer for Rural Areas

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

7 USC 1932(i).

OBJECTIVES:

To provide diverse technical information and educational resources about sustainable agriculture to farmers, information providers, agriculture-related businesses, and community food organizations across the U.S.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds must be used to provide information about sustainable agriculture. Information may be provided through publications or direct technical assistance.

Applicant Eligibility:

Other private institutions/organizations; Applicants are not eligible if they have been debarred or suspended or otherwise this program is solely for National Center for Appropriate Technology (NCAT).

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Native American Organizations; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Consumer; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Rural; N/A

Credentials/Documentation:

Evidence of legal and unique capacity to execute the regional and national purpose and requirements of this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

The proposed work plan/budget is reviewed for allowability and reasonableness of costs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

Other. Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

Awards are typically made during January each year. The Period of Performance is one year. Method of awarding/releasing assistance: other

Reports:

Progress reports must be submitted quarterly. Expenditure reports must be submitted quarterly using Form SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Expenditure reports must be submitted quarterly using Form SF-425.

Account Identification:

12-1900-0-1-452.

Obligations:

Cooperative Agreements FY 20 \$2,800,000.00; FY 21 est \$2,800,000.00; FY 22 est \$2,800,000.00;

Range and Average of Financial Assistance:

Average = 1,500,000 Range = 611,000 to 2,500,000

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. For your local office, click on this link for a full list.

<https://www.rd.usda.gov/contact-us/state-offices>

Headquarters Office:

Grant Specialist Natalie Melton,
1400 Independence Ave,
Washington DC 20250
Email: Natalie.Melton@wdc.usda.gov
Phone: 2026901371

Fax:

Website Address:

<http://www.atra.ncat.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.850 Rural Electrification Loans and Loan Guarantees

Electric Loans and Loan Guarantees,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Rural Electrification Act of 1936, as amended, 7 U.S.C. 901-950.,

OBJECTIVES:

To assure that people in eligible rural areas have access to electric services comparable in reliability and quality to the rest of the Nation and to assist borrowers in implementation of demand-side management, energy efficiency and

conservation programs and on-grid and off-grid renewable energy systems.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Long-term, direct loans and loan guarantees to qualified organizations for the purpose of supplying or improving electric services on a continuing basis in rural areas.

Applicant Eligibility:

Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Rural electric cooperatives, public utility districts, municipalities, corporations, and other qualified power suppliers including those located in the U.S. Territories, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Consumer; Rural; Persons, businesses, public bodies, tribal entities, and other entities in rural areas (as defined in program regulations) or those currently served through RUS electric loans. Rural areas are defined in the Rural Electrification Act and program regulations as any area of the United States, or eligible insular areas, other than a city, town, or unincorporated area that has a population of greater than 20,000 inhabitants, or any area within a service area of an entity with an outstanding Rural Electrification Act loan on June 18, 2008.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. An environmental impact statement or an environmental assessment is required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR Part 200. Applications for direct loan and loan guarantees are made by resolution of the board of directors of the borrowing organization. Application packages are prepared with the assistance of RUS. Information on submitting applications is contained in 7 CFR Part 1710 and 1714.

Award Procedure:

Depending on the amount of the loan requested, the RUS Administrator, Assistant Administrator, or appropriate Regional Director makes the final decision on approval of the loan upon a finding that it is economically feasible and serves the purposes of the Rural Electrification Act. Borrowers and Members of Congress are notified of the approval of a loan or loan guarantee directly, and the public is notified through normal media communications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Distribution loans and loan guarantees are approved generally on an average of 3 to 6 months as funding becomes available. Loan guarantees for transmission and generation projects are approved generally on an average of 6 to 12 months. Larger projects may take longer.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Direct loans are generally made for a period up to 35 years, which approximates the expected useful life of the facilities financed. Provision is normally made for advances to be completed within 4 years, but this period is subject to extension if required. Advances of funds are made as required by the borrower. The terms and conditions of non-federal loan guarantees are negotiated between the borrower and the non-RUS lender, subject to RUS approval. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

RUS borrowers keep the records prescribed for utility companies by the RUS regulations and the RUS Uniform System of Accounts, and by sound business practices.

Account Identification:

12-4230-0-3-999. 12-1230-0-1-271.

Obligations:

Guaranteed/Insured Loans FY 20 \$1,195,753,704.00; FY 21 est \$1,205,032,704.00; FY 22 est \$1,205,032,704.00; Direct Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available; Guaranteed/Insured Loans FY 20 \$0.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Average Guaranteed FFB: \$32,668,948 Average.

TAFS Codes:

12-1230. 12-4209.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR XVII. RUS publishes and issues upon request bulletins bearing on the financing, construction, operation, and maintenance of rural electric systems. Also available are annual statistical summaries; and the Rural Electrification Act of 1936, as amended.

Regional or Local Office:

None. RUS has General Field Representatives (GFRs) located in areas served by borrowers across the United States. Contact headquarters to find the GFR serving a specific area.

Headquarters Office:

Assistant Administrator, Electric Programs Christopher McLean,
1400 Independence Ave., Stop 1560,
Washington DC 20250

Email: christopher.mclean@wdc.usda.gov

Phone: 2027209545

Fax:

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/electric-programs>

RELATED PROGRAMS:

10.854 Rural Economic Development Loans and Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.851 Rural Telephone Loans and Loan Guarantees

Telecommunications Infrastructure Loan Program,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Titles II and III, 7 U.S.C. 921, 922-924, and 930-940.,

OBJECTIVES:

To assure that people in eligible rural areas have access to telecommunications services comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

This program provides long-term direct and guaranteed loans to qualified organizations for the purpose of financing the improvement, expansion, construction, acquisition, and operation of telephone lines, facilities, or systems to furnish and improve Telecommunications, 911 and Emergency Communications services in rural areas. "Rural area" is defined as any area of the United States, its territories and insular possessions (including any areas within the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population exceeding 5,000 inhabitants (20,000 for 911 and emergency communications purposes). The population figure is obtained from the Bureau of Census. For purposes of the "rural area" definition, the character of an area is determined at the time the initial loan for the system is made.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Telephone companies or cooperatives, nonprofit associations, limited dividend associations, mutual associations or public bodies including those located in the U.S. Territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide telecommunications service to meet the needs of rural areas.

Beneficiary Eligibility:

Rural; Residents and businesses of eligible rural areas.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Applicants must supply environmental documentation to determine if an environmental assessment or an environmental impact statement will be required. Environmental impact information may be required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The completed loan application consists of four parts: an RUS Form 490; Application for Telephone Loan or Loan Guarantee; a market survey called Area Coverage Survey (ACS); the plan and associated costs for the proposed construction called the Loan Design (LD); and other various supplementary information specified in 7 CFR 1737.22. Detailed information on applying for an RUS telephone loan or loan guarantee is in 7 CFR Part 1737, available from RUS upon request.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

The RUS Administrator, Assistant Administrator, or Deputy Assistant Administrator makes the final decision on approval of the loan or loan guarantee upon a finding that it is economically feasible and serves the purposes of the Rural Electrification Act. Borrowers and Members of Congress are notified of the approval of a loan or loan guarantee directly, and the public is notified through normal media communications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

>180 Days. Application are processed on a first-come, first-served basis. Normal loan processing time is from 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Direct and guaranteed loans can be made not to exceed the composite economic life of facilities and equipment plus three years (average loan maturity is 18 yrs). See the following for information on how assistance is awarded/released: Provision is normally made for advances to be completed within 5 years. Advances of funds are requested by the borrower and are made subject to requirements. Method of awarding/releasing assistance: other

Reports:

RUS borrowers must submit to the Rural Utilities Service regularly scheduled operating reports showing financial condition and operating progress. The borrower follows the construction procedures is 7 CFR 1753 where construction contracts are approved as projects constructs and submits advance of funds requests which serves the purpose of an expenditure report. The request form track each individual construction project, how much of the loan has been advance for it and how much has been expended for it. Performance monitoring is conducted on site by the Agency's general field representatives as well as by financial and technical experts located in the National Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Entities which do not meet the criteria for applicability in 2 CFR 200 will have an audit prepared in accordance with 7 CFR 1773, Policy on Audits of RUS Borrowers and Grantees.

Records:

RUS borrowers keep the records prescribed for telecommunication companies by the RUS uniform system of accounts, and by sound business practices. The RUS uniform system of accounts is comprised of 47 CFR Part 32 supplemented by 7 CFR Part 1770.

Account Identification:

12-4230-0-3-271. 12-1230-0-1-271.

Obligations:

Direct Loans FY 20 \$93,449,000.00; FY 21 est \$345,000,000.00; FY 22 est \$690,000,000.00; Direct Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Guaranteed/Insured Loans FY 20 \$5,108,000.00; FY 21 est \$100,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Direct Loans - \$1,870,000 to \$20,860,000 Avg. \$11,681,125 Guaranteed Loans - \$5,108,000 to \$5,108,000 Avg. \$5,108,000

TAFS Codes:

12-4230. 12-1230.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR-XVII. RUS publishes and issues upon request technical operations manuals and bulletins covering financing, construction, operation and maintenance of rural telephone systems. An application guide and other supporting schedules are available at <http://www.rd.usda.gov/programs-services/telecommunications-infrastructure-loans-loan-guarantees>

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, Rural Utilities Service, 1400 Independence Avenue, SW., STOP 1590, Room 5151, Washington DC 20250

Email: laurel.leverrier@usda.gov

Phone: (202) 720-9554

Website Address:

<http://www.rd.usda.gov/programs-services/telecommunications-infrastructure-loans-loan-guarantees>

RELATED PROGRAMS:

10.886 Rural Broadband Access Loans and Loan Guarantees;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must be found to be financially and technically feasible and meet all of the eligibility requirements.

10.854 Rural Economic Development Loans and Grants

(REDLG),

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title III, 7 U.S.C. 930-940c.,

OBJECTIVES:

To promote rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects, and other reasonable expenses for the purpose of fostering rural development.

TYPES OF ASSISTANCE:

Direct Loans, Loan Guarantees/Grants, Project Grants

USES AND USE RESTRICTIONS:

This program is for projects which promote rural economic development and job creation. Maximum amount of grant is \$1.5million; maximum amount of loan is \$2,000,000. Maximum term of 10 years and interest rates of 0% for Intermediary.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Profit organization; Other private institutions/organizations; Anyone/general public; To receive funding (which will be forwarded to selected eligible projects), an entity must be: A former Rural Utilities Service borrower who borrowed, repaid or pre-paid an insured, direct or guaranteed loan. Nonprofit utilities that are eligible to receive assistance from the Rural Development Electric or Telecommunication Programs. Current Rural Development Electric or Telecommunication Programs borrowers. Electric and

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telephone utilities that have current loans with the Rural Utilities Service (RUS), Rural Telephone Bank loans, or guarantees outstanding and are not delinquent on any Federal debt or in bankruptcy proceedings.

Beneficiary Eligibility:

Local; Rural; Rural/General Public.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit application as described in 7 CFR 4280.39, no State plan required.

Award Procedure:

RBS selects projects to be funded. The funds pass through the RUS utility to rural economic development projects.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Open ended.

Appeals:

Not Applicable.

Renewals:

Other. Renewal of same application for 1 year. Submit new or revised application as often as desired.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. This program has no statutory formula. Matching Requirements: Percent: 20%.

This program requires the grantee to provide supplemental funds in the amount of 20 percent of the RBS application amounts. MOE requirements are not applicable to this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

please reference the annual NOSA Method of awarding/releasing assistance: lump sum.

Reports:

Reporting need established in 7 CFR 4280, Subpart A. Cash reports are not applicable. Reporting need established in 7 CFR 4280, Subpart A. Expenditure reports are not applicable. Reporting need established in 7 CFR 4280, Subpart A. Reporting need established in 7 CFR 4280, Subpart A. Reporting need established in 7 CFR 4280, Subpart A.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record retention requirements established in 7 CFR 4280, Subpart A.

Account Identification:

12-3108-0-1-452. 12-3105-0-1-452. 12-4230-0-3-271.

Obligations:

Loan Guarantees/Grants FY 20 \$50,506,394.00; FY 21 est \$50,000,000.00; FY 22 est \$50,000,000.00; Project Grants FY 20 \$10,900,000.00; FY 21 est \$10,000,000.00; FY 22 est \$10,000,000.00; Direct Loans FY 20 Not Available;

FY 21 Estimate Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Grants are used to establish Revolving Loan Fund Programs.

TAFS Codes:

12-3105. 12-4230. 12-3108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4280, Subpart A and the Rural Electrification Act of 1936.

Regional or Local Office:

See Regional Assistance Locations. Contact your Rural Development State Office - go to this website to see a full listing. <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

PPD-Intermediary Programs Branch,
1400 Independence Ave. S.W.,
Washington DC 20250
Email: RBCS@usda.gov
Phone: (202) 720-1400.

Website Address:

<http://www.rd.usda.gov/programs-services/rural-economic-development-loan-grant-program>

RELATED PROGRAMS:

10.351 Rural Business Development Grant;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR 4280.42.

10.855 Distance Learning and Telemedicine Loans and Grants

DLT - Distance Learning and Telemedicine,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Title VII, Public Law 104-127, 7 U.S.C 950.,

OBJECTIVES:

To encourage and improve the use of telemedicine, telecommunications, computer networks, and related advanced technologies to provide educational and medical benefits through distance learning and telemedicine projects to people living in rural areas and to improve rural opportunities.

TYPES OF ASSISTANCE:

Direct Loans, Project Grants

USES AND USE RESTRICTIONS:

Telecommunications, computer networks and related advanced technologies that connect medical professionals or teachers at originating sites, rural or non-rural, to medical professionals, patients, teachers and students at rural end-user sites.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); To be eligible to receive a grant, loan and grant combination, or loan, the applicant must be legally organized as an incorporated organization or partnership, an Indian tribe or tribal organization, a state or local unit of government, a consortium, or other legal entity. The applicant

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must have legal capacity to contract with RUS. The applicant also must propose to utilize the financing to: (i) operate a rural community facility; or (ii) deliver distance learning or telemedicine services to entities that operate a rural community facility or to residents of rural areas at rates calculated to ensure that the benefit of the financial assistance is passed through to such entities or to residents of rural areas. The applicant must not be delinquent on any Federal debt. RUS electric and telecommunications borrowers are not eligible for grants. Generally, applicants are organizations such as schools, libraries, hospitals, medical centers, or other eligible organizations that will be users of a telecommunications, computer network, or related advanced technology system to provide educational and/or medical benefits to rural residents.

Beneficiary Eligibility:

Anyone/general public; Rural; The people living in rural areas are beneficiaries, particularly in the areas of medical and health-related services, education, training and medical services.

Credentials/Documentation:

See 7 CFR Part 1734 for details. This program is excluded from coverage under OMB Circular No. A-87. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Submit application Form 424; non-delinquent on any Federal obligations; consultation with USDA State Director (for grant applicants); evidence of legal existence and authority to perform the proposed grant activities; a comprehensive rural telecommunications plan; scope of work plan, executive summary for the project; financial information; a statement of experience; funding commitment from other sources; compliance with Federal statutes and regulations (compliance with scoring criteria) and environmental impact; supplemental information and required additional RUS information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Based on a determination that the project is economically feasible and serves the purposes the program, awards are approved. For grants, RUS rates the applicants based on specified scoring criteria and determines awards based on those ratings.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The program open under funding windows established under a NOFA/NOSA process annually. Pre-application costs are not eligible, costs incurred after submittal of an application may be eligible. The standard project period is 3 years. Applications are competitively selected each fiscal year for which funding is made available. Applicants whose application was not selected in one year may resubmit an updated and improved application in a subsequent year in the competition.

Appeals:

Other. A grant applicant that is a current RUS Telecommunications or Electric Borrower may appeal the decision to reject their application to the Administrator in writing within 10 days after applicant is notified of the decision to deny the application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 15%. Requirement: Matching requirements are voluntary. This program has no statutory formula. Matching Requirements: Percent: 15% with an exception for projects in the Pacific. No matching is required for applicants requesting loans. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes. Applicants generally have three years to spend funds.

One extension may be granted. Method of awarding/releasing assistance: other

Reports:

SF 269, "Financial Status Report," is required annually. The disbursement of funds is made on the basis of invoices for eligible purposes. To this extent, RUS is aware of all expenditures for which reimbursement is sought. Projects are also periodically audited by field accounting staff. Project performance activity reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Entities which do not meet the criteria for applicability in 2 CFR 200 will have an audit prepared in accordance with 7 CFR 1773, Policy on Audits of RUS Borrowers and Grantees.

Records:

Grantees will provide evidence that funds are expended for approved purposes.

Account Identification:

12-1232-0-1-452.

Obligations:

Project Grants FY 20 \$71,541,031.00; FY 21 est \$50,100,000.00; FY 22 est \$57,000,000.00;

Range and Average of Financial Assistance:

\$50,000 to \$1,000,000 with an average of \$616,000

TAFS Codes:

12-4208. 12-1230.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1734, subparts D through G. Distance Learning and Telemedicine Program Grant Application Guide - For grants - Distance Learning and Telemedicine Program -Grant Application Guide located at: <https://www.rd.usda.gov/programs-services/distance-learning-telemedicine-grants> Loans made under this program are subject to congressional appropriations.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program,,
Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590,
Washington DC 20250
Email: laurel.leverrier@usda.gov
Phone: (202) 720-9554.

Website Address:

<http://www.rd.usda.gov/programs-services/distance-learning-telemedicine-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR Part 1734, subparts D through G.

ASSISTANCE LISTINGS DESCRIPTIONS

10.858 Denali Commission Grants and Loans

RUS - Denali Commission Grants,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.,

OBJECTIVES:

Grants and loans to the Denali Commission for the benefit of rural communities in Alaska with extremely high household energy costs exceeding 275 percent of the national average.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used for energy generation, transmission, or distribution facilities serving rural communities where annual average residential energy expenditures are at least 275 percent of the national average. Grant must be in coordination with State rural development initiatives. Planning and administrative expenses must not exceed 4 percent of the grant amount.

Applicant Eligibility:

Federal; The Denali Commission, a Federal Agency, is the only eligible applicant.

Beneficiary Eligibility:

Rural; Beneficiaries are residents of rural communities in Alaska with extremely high energy costs. Eligible beneficiary communities must apply for assistance directly to the Denali Commission and eligible projects must be on Denali Commission Annual Approved Work Plan.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR Part 200. The Denali Commission submits a letter of application under a Memorandum of Understanding with USDA.

Award Procedure:

Approval of the Denali Commission request for grant funds is made by the Administrator in his sole discretion.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant terms typically run for three years and may be extended upon the sole discretion of RUS Method of awarding/releasing assistance: other

Reports:

The Denali Commission includes information on projects funded with Denali Commission RUS grants in its published annual reports and on its website.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The Denali Commission maintains its books and records in accordance with Federal requirements of OMB Circular A-34.

Account Identification:

12-0403-0-1-452.

Obligations:

Project Grants FY 20 \$3,473,171.00; FY 21 est \$2,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Grant awards have ranged from \$2,310,686 to \$18,500,000.

TAFS Codes:

12-4208.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 1709.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs Christopher McLean,
1400 Independence Ave., Stop 1560,

Washington DC 20250

Email: christopher.mclean@wdc.usda.gov

Phone: 2027209545

Fax:

Website Address:

<http://www.denali.gov>

RELATED PROGRAMS:

10.854 Rural Economic Development Loans and Grants; 10.850 Rural

Electrification Loans and Loan Guarantees;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Agency Administrator in his sole discretion makes a grant award based on the Denali Commission Letter of Request and Denali Commission Annual Work Plan

10.859 Assistance to High Energy Cost Rural Communities

High Energy Cost Grants,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.,

OBJECTIVES:

Assistance to rural communities with extremely high energy costs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to acquire, construct, extend, upgrade, and improve energy generation, transmission, or distribution facilities serving communities in which the average annual expenditure for home energy costs is at least 275 percent of the national average. Energy efficiency and on-grid and off-grid renewable energy systems are eligible. Not more than 4 percent of amounts made available in grant funds may be used for planning and administrative expenses. Funds may not be used to pay utility bills, fuel costs, or operating expenses.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; Eligible applicants include States, political subdivisions of States, for-profit and non-profit businesses,

ASSISTANCE LISTINGS DESCRIPTIONS

cooperatives, associations, organizations, and other entities organized under the laws of States, Indian tribes, tribal entities, and individuals. The governments and entities located in any U.S. Territory/possession or other area authorized by law to receive the services and programs of the Rural Utilities Service or the Rural Electrification Act of 1936, as amended, are also eligible.

Beneficiary Eligibility:

State; Rural; Projects must serve rural communities in which the annual average residential expenditure for home energy is at least 275 percent of the national average. Energy cost eligibility benchmarks are included in the published Notice of Funding Availability.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications are accepted in response to a Notice of Funding Availability published in the Federal Register and Grants.gov. Application requirements are described in the published notice and on the agency web site. Application materials may also be requested from the Agency.

Award Procedure:

Grants will be awarded based on competitive ranking of applications according to published evaluation criteria for technical merit and community need.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Typically from 120 to 180 days. Selection of grant finalists will be announced approximately 90 to 180 days after the application deadline.

Final Grant awards will be approved after completion of environmental review and other pre-obligation requirements.

Appeals:

Other. Applicants may appeal rejections of ineligible applications to the Administrator of the Rural Utilities Service under procedures in program regulations at 7 CFR Part 1709. Applicants may not appeal numerical rankings of competitive grant applications.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant agreements will typically run for three years; extensions for good cause shown may be granted at the sole discretion of the RUS. Method of awarding/releasing assistance: other

Reports:

Grant recipients will be required to file periodic financial, expenditure, progress, and performance reports as specified in the grant agreement and program regulations. Grantee will typically be required to file quarterly or semi-annual progress reports and a final project report as specified in the grant agreement. Applicants are required to file quarterly or semi-annual expenditure reports and a final report as specified in the grant agreement. Grantees will be required to report on progress of their projects using performance metrics proposed in the grant application and incorporated into the grant agreement as approved by RUS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Uniform Administrative

Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

The Grantee must maintain records in accordance with requirements of program regulations, the grant agreement and 2 CFR Part 200.

Account Identification:

12-0403-0-1-452.

Obligations:

Project Grants FY 20 \$8,180,899.00; FY 21 est \$8,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Minimum award is \$50,000 and maximum is \$3,000,000.

TAFS Codes:

12-4208.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1709, 7 CFR Parts 1767 and 1773, and 2 CFR Part 200,

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Electric Programs Christopher McLean,
1400 Independence Ave., Stop 1560,
Washington DC 20250

Email: christopher.mclean@wdc.usda.gov

Phone: 2027209545

Fax:

Website Address:

<http://www.rd.usda.gov/programs-services/high-energy-cost-grants>

RELATED PROGRAMS:

10.854 Rural Economic Development Loans and Grants; 10.850 Rural Electrification Loans and Loan Guarantees;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be ranked competitively based on published evaluation criteria. All projects must serve rural communities with extremely high energy costs.

10.862 Rural Decentralized Water Systems Grant Program

DWS,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306E, Public Law 107-171, 7 U.S.C. 1926e.,

OBJECTIVES:

To make grants to private, nonprofit organizations to finance the construction, refurbishing and servicing of individually-owned household water well and/or wastewater systems in rural areas for individuals with low or moderate income.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Grant funds will be used by eligible entities to establish and maintain a revolving loan fund to provide loans to eligible individuals to construct, refurbish, and service individually-owned household water well and/or wastewater systems. Eligible individuals must own or will own the household water well or individual wastewater system. Loans will be made at a fixed rate of one-percent with a twenty year term. The maximum amount per loan is \$11,000. Funds may be used to pay administrative expenses associated with providing the loans. Grant funds may not be used to pay (1) administrative expenses exceeding 10 percent of the loans made during the calendar year; (2) administrative expenses incurred before the Rural Utilities Service executes a grant agreement; (3) delinquent debt owed to the Federal Government; (4) costs of home plumbing system.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in lending activities and promoting the safe and productive use of individually-owned household water well systems and ground water.

Beneficiary Eligibility:

Individual/Family; An individual in a household in which the combined income of all household members (for the most recent 12 months) does not exceed 100 percent of the median non-metropolitan household income for the State or territory in which the individual resides. Beneficiary must own home, or be purchasing home under land purchase contract, and must occupy home. Home must be in a rural area.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Standard application forms as furnished by RUS must be used for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular No. A-122 applies to this program. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Applications include the criteria specified within 7 CFR 1776. This includes, but is not limited to, the requirement to submit an application Form SF-424, Application for Federal Assistance, to the National Office in Washington, DC.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant on a reimbursement basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Dependent upon funding availability and the completeness of the application. From 60 to 120 days following the submission deadline.

Appeals:

Other. An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching

Requirements: Percent: 10%. Requirement: Not Applicable. Applicants must provide funds from sources other than the HWWS grant. The required match must be at least 10 percent of the HWWS grant. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

A time limitation is not specified; however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less. Method of awarding/releasing assistance: other

Reports:

All grantees will submit quarterly performance activity reports and financial reports to RUS until the project is complete and the funds are expended. Periodic reports are to be made to the RUS servicing official

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report

Records:

Records and accounts are required to reflect the project operations

Account Identification:

12-0403-0-1-452.

Obligations:

Project Grants FY 20 \$1,991,274.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

\$150,000 to \$1,000,000. Avg. \$398,255

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1776, Household Water Well System Grant Program 2020 Grant Application Guide

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs,, Rural Utilities Service, Department of Agriculture 1400 Independence Ave., SW, Washington DC 20250

Email: charlesd.stephens@usda.gov

Phone: (202) 619-8500

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural

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communities. Considerations for available funds emphasize such criteria as population, low income, unemployment; health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.863 Community Connect Grant Program

Community Connect,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Consolidated Appropriations Act, 2005; Title III: Rural Development Programs; Rural Utilities Service; Distance Learning, Telemedicine, and Broadband Program; Public Law 108-447.,

OBJECTIVES:

To encourage community-oriented connectivity by providing grants to eligible applicants who will deploy broadband transmission service in rural communities where such service does not currently exist; who will connect all critical community facilities such as local schools, education centers, libraries, hospitals, health care providers, law enforcement agencies, public safety organizations, fire, and rescue services, as well as residents and businesses; and who will operate a community center which provides free and open access to area residents.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants will be made available, on a competitive basis, for the deployment of broadband services to critical community facilities, rural residents, and rural businesses and for the operation of a community center which would provide free access to broadband services to community residents for at least two years. . See 7 CFR Part 1739 for details.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); To be eligible for a grant, the applicant must: (a) be legally organized as an incorporated organization, an Indian tribe or tribal organization, a state or local unit of government, or other legal entity, including cooperatives or private corporations or limited liability companies organized on a for profit or not-for profit basis, and (b) have the legal capacity and authority to own and operate the broadband facilities as proposed in its application, to enter into contracts and to otherwise comply with applicable federal statutes and regulations.

Beneficiary Eligibility:

Private nonprofit institution/organization: State; Local; The people living in rural areas and to improve rural opportunities through the availability of access to high speed broadband networks.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. To be eligible for a grant, the applicant must: (a) be legally organized as an incorporated organization, an Indian tribe or tribal organization, a state or local unit of government, or other legal entity, including cooperatives or private corporations or limited liability companies organized on a for profit or not-for profit basis, and (b) have the legal capacity and authority to own and operate the broadband facilities as proposed in its application, to enter into contracts and to otherwise comply with applicable federal statutes and regulations.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-

102 applies to this program. OMB Circular No. A-110 applies to this program. Submit an application in accordance with 7 CFR 1739 and its accompanying application guide.

Award Procedure:

Grants are awarded on a competitive basis to applicants receiving the highest number of points.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications are approved each fiscal year for which funding is made available.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 15%. Requirement: Matching requirements are voluntary. The grant applicant must contribute a matching contribution which is at least 15 percent of the grant amount requested and this must be in cash in an account of the awardee at the time of closing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants generally have three years to spend funds. Extensions may be granted. See the following for information on how assistance is awarded/released: Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes. Method of awarding/releasing assistance: other

Reports:

The disbursement of funds is made on the basis of invoices for eligible purposes. To this extent, RUS is aware of all expenditures for which reimbursement is sought. Projects are also periodically audited by field accounting staff. Project performance activity reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Entities which do not meet the criteria for applicability in 2 CFR 200 will have an audit prepared in accordance with 7 CFR 1773, Policy on Audits of RUS Borrowers and Grantees.

Records:

Grantee will provide evidence that funds are expended for approved purposes. For profit entities owe an audit for any year in which funds are expended. Other entities (state and local governments, non-profits, etc.) are subject only if more than \$750,000 is expended.

Account Identification:

12-1232-0-1-452.

Obligations:

Project Grants FY 20 \$15,772,959.00; FY 21 est \$65,500,000.00; FY 22 est \$35,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1230.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1739: Broadband Grant Program, Subpart A - Community Connect Grant Program

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program,
Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590,
Washington DC 20250
Email: laurel.leverrier@usda.gov
Phone: (202) 720-9554.

Website Address:

<http://www.rd.usda.gov/programs-services/community-connect-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects

Revolving Fund Program (RFP).

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 6002, Public Law 107-171, 7 U.S.C. 1926e.,

OBJECTIVES:

To make grants to private, nonprofit organizations to establish a revolving loan fund to make small, short term loans for pre-development or small capital water or waste disposal projects.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds will be used to establish and maintain a revolving loan fund to finance pre-development costs associated with proposed water and wastewater projects or with existing water and wastewater systems, and short-term costs incurred for replacement equipment, small-scale extension of services, or other small capital projects that are not part of the regular operations and maintenance activities of existing water and wastewater systems.

Applicant Eligibility:

Profit organization; Other private institutions/organizations; An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in making and servicing loans.

Beneficiary Eligibility:

Profit organization; Other private institution/organization; Municipalities, counties, and other political subdivisions of a State, such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. The loan recipient must be unable to finance the proposed project from their own resources or through commercial credit at reasonable rates and terms. The loan recipient must have or will obtain the legal authority necessary for owning,

constructing, operating and maintaining the proposed service or facility, and for obtaining, giving security for, and repaying the proposed loan.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Applications include the criteria specified within 7 CFR 1783. This includes, but is not limited to, the requirement to submit Form SF-424, Application for Federal Assistance, to the National Office in Washington, DC. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant on a reimbursement basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Dependent upon funding availability and the completeness of the application. From 60 to 90 days following submission deadline.

Appeals:

Other. An application determined ineligible may request a review of this decision with the National Appeals Division of USDA in accordance with USDA regulations at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Applicants must provide funds from other sources equal to at least 20 percent of the RFP grant. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

A time limitation is not specified for the RUS grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. Method of awarding/releasing assistance: other

Reports:

All grantees will submit quarterly activity reports and financial reports to RUS until the project is complete and the funds are expended.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-1980-0-1-452.

Obligations:

Project Grants FY 20 \$1,000,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

\$333,000 to \$334,000. Average grant: \$333,333

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

12-1980.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1783, Grant Program to Establish a Fund for Financing Water and Wastewater Projects; The Revolving Fund Program 2020 Grant Application Guide.

Regional or Local Office:

See Regional Assistance Locations. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs,,
Rural Utilities Service, 1400 Independence Ave., SW,

Washington DC 20250

Email: charlesd.stephens@usda.gov

Phone: (202) 619-8500

Website Address:

<http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs>

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as; population, low income, unemployment; health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.865 Biorefinery Assistance

Section 9003- Biorefinery, Renewable Chemical, and Biobased Manufacturing Assistance Program,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Title IX of the Farm Security and Rural Investment Act of 2002 is amended by the Food, Conservation, and Energy Act of 2008, and the Agricultural Act of 2014, Public Law 113-79, Title IX, Section 9003, 7 U.S.C. 8103., Title IX, Section 9003, 9 USC Code 9003.

OBJECTIVES:

The program provide loan guarantees for the development, construction, and retrofitting of commercial-scale biorefineries as well as biobased product manufacturing facilities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Loan guarantees are made to fund the development, construction, and retrofitting of commercial-scale biorefineries as well as biobased product manufacturing facilities using eligible technology. The maximum loan guarantee is \$250 million. Mandatory funding of \$100 million is available in Fiscal Year (FY) 2014, and \$50 million each in FY 2015 and FY 2016, until expended, Diversity of projects, approaches and technologies will be a factor in considering applications.

Discretionary funding of \$75 million may be available in each FY 2014 through FY 2018. As of this update, the budget has not been approved.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Other private institutions/organizations; State; Anyone/general public; Eligible entities under the program include: individuals, entities, Indian tribes, or units of State or local government, corporations, farm cooperatives, farmer cooperative organizations, associations of agricultural producers, National Laboratories, institutions of higher education, rural electric cooperatives, public power entities, or consortia of any of those entities.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Small business; Anyone/general public; Native American Organizations; Farmer/Rancher/Agriculture Producer; Industrialist/Business person; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Women; Local; Federally Recognized Indian Tribal Governments; see above

Credentials/Documentation:

Application for Federal Guarantee, feasibility Study, lender analysis and other applicable and required information as outlined in application and regulations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact assessment is required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit an original completed application with two copies to USDAs Rural Development National Office: Energy Branch, Attention: Biorefinery Assistance Program, 1400 Independence Avenue, SW, STOP 3225, Washington, DC, 20250-3225.

Award Procedure:

Based on a review of the application, the project must be eligible and have technical merit. Projects determined by the Agency to be without technical merit will not be selected for funding. The Agency will score each application in order to prioritize each proposed project.

Deadlines:

September 01, 2015 - October 01, 2015. There are two application cycles each fiscal year. Applications may be submitted at any time. Letter of Intent deadlines are September 1 and March 2. Application deadlines are October 1 and April 1. A notice published in the Federal Register will provide established dates and deadlines for application acceptance.

Range of Approval/Disapproval Time:

Other. Approval is subject to the completion of all requirements identified in the 7 CFR part 4279, subpart C.

Appeals:

Other. An applicant may have review or appeal rights in accordance with 7 CFR Part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Applicants must identify all sources, amounts, and status of matching funds. Borrowers shall demonstrate evidence of cash equity injection in the project of not less than 20 percent of eligible project costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Depends on number of applications submitted and complexity of the project.
Method of awarding/releasing assistance: lump sum.

Reports:

The lender must provide the Agency monthly reports from the borrower during construction. Once the project has been constructed, periodic reports for the life of the guaranteed loan. The borrowers reports will include, but not be limited to, the information specified in the following paragraphs, as applicable. 1. The actual amount of advanced biofuels produced to assess whether project goals are being met. 2. If applicable, documentation that identified health and/or sanitation problem has been solved. 3. A summary of the cost of operating and maintaining the facility. 4. Description of any maintenance or operational problems associated with the facility. 5. Demonstration that the project is and has been in compliance with all applicable State and Federal environmental laws and regulations. 6. The number of jobs created. 7. A description on the status of the projects feedstock including, but not limited to, the feedstock being used, outstanding feedstock contracts, feedstock changes and interruptions, and quality of the feedstock. Lenders must forward periodic financial statements and progress reports.

Expenditure reports are required as outlined below and in Loan Agreement and program Regulations. Performance monitoring is required. Regulations are contained in 7 CFR Part 4279, subpart C and in 7 CFR Part 4287, subpart D. Regulations will be updated to incorporate statutory changes required by the Agricultural Act of 2014, Public Law 113-79, effecting Section 9003. Performance monitoring is required as outlined below and in Loan Agreement and program Regulations. Once the project has been constructed, the lender must provide the Agency periodic reports from the borrower commencing the first full calendar year following the year in which project construction was completed and continuing for the life of the guaranteed loan. The borrowers reports will include, but not be limited to, the information specified in the following paragraphs, as applicable. (i) The actual amount of advanced biofuels produced to assess whether project goals are being met. (ii) If applicable, documentation that identified health and/or sanitation problem has been solved. (iii) A summary of the cost of operating and maintaining the facility. (iv) Description of any maintenance or operational problems associated with the facility. (v) Demonstration that the project is and has been in compliance with all applicable State and Federal environmental laws and regulations. (vi) The number of jobs created. (vii) A description on the status of the projects feedstock including, but not limited to, the feedstock being used, outstanding feedstock contracts, feedstock changes and interruptions, and quality of the feedstock. The lender must submit to the Agency quarterly financial statements within 45 days of the end of each quarter.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The borrower must permit representatives of the Agency (or other agencies of the U.S.) to inspect and make copies of any records pertaining to any Agency guaranteed loan during regular office hours of the borrower or at any other time upon agreement between the borrower and the Agency, as appropriate.

Account Identification:

12-3106-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$25,000,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Maximum loan guarantee cannot exceed \$250 million.

TAFS Codes:

12-3106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See solicitation for details

Regional or Local Office:

See Regional Assistance Locations. Regional Agency Offices: Go to this website to get a full listing of offices. <http://www.rd.usda.gov/contact-us/state-offices>

Headquarters Office:

ENERGY DIVISION James Carpenter,
U.S Department of Agriculture Rural Development, Business Programs, Energy
Branch 1400 Independence Avenue, SW,
Washington DC 20250
Email: James.Campbell3@usda.gov
Phone: 202-205-2421

Website Address:

<http://www.rd.usda.gov/programs-services/biorefinery-renewable-chemical-and-biobased-product-manufacturing-assistance>

RELATED PROGRAMS:

10.867 Bioenergy Program for Advanced Biofuels ; 10.866 Repowering Assistance ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Agency will score projects based on markets for the advanced biofuel, renewable chemicals, the potential for biobased manufacturing, and the diversity of project approaches, technologies and products. In general, the Agency may consider among other factors, whether the area in which the borrower proposes to place the biorefinery has other similar advanced biofuel facilities. Whether the borrower is proposing to use a feedstock not previously used in the production of advanced biofuels. Whether the borrower is proposing to work with producer associations or cooperatives. The level of financial participation by the borrower, including support from non-Federal and private sources. Whether the borrower has established that the adoption of the process proposed in the application will have a positive impact on resource conservation, public health, and the environment. Whether the borrower can establish that, if adopted, the biofuels production technology proposed in the application will not have any significant negative impacts on existing manufacturing plants or other facilities that use similar feedstocks. The potential for rural economic development, including the number of local jobs created and inclusion of local banks or other capital sources in any proposed debt syndication. The level of local ownership proposed in the application. Whether the project can be replicated. Whether the project is a first-of-a-kind technology, system, or process.

10.867 Bioenergy Program for Advanced Biofuels

Advanced Biofuel Payments Program,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

Title IX of the Farm Security and Rural Investment Act of 2002 is amended by the Food, Conservation, and Energy Act of 2008, and the Agricultural Act of 2014, Public Law 113-79, Title IX, Section 9005, 7 U.S.C, 8105, Title IX, Section 9005, Public Law 110-24-6

OBJECTIVES:

The purpose of this subpart is to support and ensure an expanding production of advanced biofuels by providing payments to eligible advanced biofuel producers.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use, Project Grants

USES AND USE RESTRICTIONS:

The purpose of this program is to support and ensure an expanding production of Advanced Biofuels by providing payments to eligible advanced biofuel producers. The Advanced Biofuel Payment Program promotes sustainable economic development in rural America, and is an important part of achieving increased biofuel production. Producers must produce advanced biofuel from feedstocks other than corn kernel starch. The Statute allows \$15 million of Mandatory Funds and \$20 million of Discretionary Funds.

Applicant Eligibility:

Other public institution/organization; Non-Government - General;
Individual/Family; Small business (less than 500 employees); Profit organization;

ASSISTANCE LISTINGS DESCRIPTIONS

Advance Biofuel Producer - an individual, corporation, company, foundation, association, labor organization, firm, partnership, society, joint stock company, group of organizations, or non-profit entity that produces and sells an advanced biofuel. An individual, corporation, company, foundation, association, labor company, group of organizations, or non-profit entity that blends or otherwise combines advanced biofuels into a blended biofuel is not considered an advanced biofuel producer under this Program.

Beneficiary Eligibility:

Profit organization; The Advanced Biofuel Producer must produce a biofuel that meets the definition of advanced biofuel, be a solid, liquid, or gaseous advanced biofuel, be a final product. Fuel must be derived from renewable biomass other than corn kernel starch

Credentials/Documentation:

1. Application for participation in the program. 2. Certifications for quality of advance biofuel. 3. Historical and current production records. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is not subject to the Environmental Assessment or Executive 12372.

Award Procedure:

1. Application for participation in the program. 2. Sign a contract with the Agency. 3. Submit a quarterly request for payment under the program with documentation of production. 4. Convert production to BTU values. 5. Award funding based on requests received and production for each producer

Deadlines:

October 01, 2015 - October 31, 2015. Application window is October 1 through October 31 each year. Quarterly payment request due within 30 days from end of each fiscal year quarter.

Range of Approval/Disapproval Time:

Not Applicable. No date range has been identified for this program.

Appeals:

Not Applicable. An applicant may seek a review of an Agency decision or appeal to the National Appeals Division in accordance with 7 CFR Part 11 of this title.

Renewals:

Not Applicable. The program will be available until the end of Farm Bill.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applications for participation are accepted between October 1 through October 31, each fiscal year, in accordance with 7 CFR 4288.120(b). Applicants must also comply with the quarterly submission dates referenced in 7 CFR 4288.130(d). A Notice will be published each year identifying the amount available for payments. Method of awarding/releasing assistance: quarterly

Reports:

RD 4288-3 ADVANCED BIOFUEL PAYMENT PROGRAM - PAYMENT REQUEST Quarterly request for payments under the program with documentation of production. Method of awarding/releasing assistance: quarterly request for payment under the program with documentation of quarterly production.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

No records submittal required after payment is made. Documentation is required prior to payment.

Account Identification:

12-2073-0-1-452.

Obligations:

Project Grants FY 20 \$6,800,000.00; FY 21 est \$6,800,000.00; FY 22 est \$6,800,000.00;

Range and Average of Financial Assistance:

Awards are based on requests received and production for each producer. Budget has not been approved as of the update of this information, contact the office listed below for more information.

TAFS Codes:

12-2073.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices are published in the Federal Register each fiscal year. Application and payment requirements are outlined in 7 CFR 4288-B

Regional or Local Office:

None. Rural Development Energy Coordinators

Headquarters Office:

Program Processing Branch,
U.S. Department of Agriculture Rural Development, Energy Division 511 W. 7th Street,

Atlantic IA 50022

Email: James.Campbell13@usda.gov

Phone: 202-205-2421

Website Address:

<http://www.rd.usda.gov/programs-services/repowering-assistance-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.868 Rural Energy for America Program

(REAP),

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Title IX of the Farm Security and Rural Investment Act of 2002 as amended by the Food, Conservation, and Energy Act of 2008, and the Agricultural Act of 2014, Public Law 113-79, Title IX, Section 9007, 7 U.S.C. 8107,

OBJECTIVES:

(1) Provision of grants and loan guarantees to agricultural producers and rural small businesses to promote energy efficiency improvements and renewable energy systems development. The program provides grants of up to 25% of project costs and/or loan guarantees of up to 75% of project costs to purchase and install energy efficiency improvements and renewable energy systems. (2) Provision of grants to government entities or their instrumentalities, educational institutions, rural electric cooperatives, Resource Conservation and Development Councils, and public power entities to assist with the cost of performing energy audits and renewable energy development assistance for agricultural producers and rural small businesses. Recipients of energy audits must pay at least 25% of the cost of the audit.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans, Loan Guarantees/Grants, Project Grants

USES AND USE RESTRICTIONS:

For renewable energy systems and energy efficiency improvements, the amount of a grant shall not exceed the lesser of 25 percent of eligible project costs or \$500,000 for renewable energy systems and \$250,000 for energy efficiency improvements. The maximum amount of combined grant or guaranteed loan made shall not exceed 75 percent of eligible project costs. For Energy Audit, the recipient of the audit must pay 25 percent of the cost of the energy audit.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

Individual/Family; Small business (less than 500 employees); Profit organization; Anyone/general public; To be eligible for renewable energy systems or energy efficiency improvement assistance, an applicant must be an agricultural producer or rural small business. Rural small businesses must be located in a rural area. The applicant or owner must not have an outstanding judgment, delinquent on any Federal debt or debarred from receiving Federal assistance. Under the Energy Audit and Renewable Energy Development Assistance programs eligible applicants include governmental entities and their instrumentalities, educational institutions, rural electric cooperatives, Resource Conservation and Development Councils, and public power entities

Beneficiary Eligibility:

Individual/Family; Small business; Anyone/general public; Farmer/Rancher/Agriculture Producer; Small Business Person; For energy efficiency improvements and renewable energy systems the program is for agricultural producers and rural small businesses. For energy audits and renewable energy development assistance grants, units of State, tribal, and local governments; land-grant colleges, universities, and other institutions of higher education; rural electric cooperatives and public power entities; instrumentalities of a state, tribal, and local governments; and Resource Conservation and Development Councils are eligible for the assistance.

Credentials/Documentation:

For applicants seeking renewable energy systems or energy efficiency improvement assistance: agricultural producers must be directly engaged in the production of agricultural products, including crops, livestock, forestry products, hydroponics, nursery stock, or aquaculture, whereby 50 percent or greater of their gross income is derived from the operations. A small business must be located in a rural area and meet the Small Business Administration's Business Size Standards including all parent, affiliate, or subsidiary entities at other locations. For the Energy Audit and Renewable Energy Development Assistance Program the entity applying must have experience in providing this service to qualify for the grant. All other application requirements and competitive benchmarks must be met in accordance with published Notices. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must submit a complete application to the Rural Development Energy Coordinator in their respective State. A complete application includes Standard Forms 424, 424C and 424D plus Rural Development forms, scope of work, feasibility studies, energy audits, environmental assessment (form RD 1940-20), and written technical reports.

Award Procedure:

Rural Development (RD) personnel will review all applications. Ineligible and incomplete applications may be returned to the applicant and not evaluated further. RD personnel score applications based on published evaluation criteria. Applications will be funded at the State level first. A second review and nationwide ranking will be conducted by the RD National Office. Funding recommendations for National funds will be forwarded to the Administrator, Rural Business-Cooperative Service for final awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 180 days after the application is filed with RBS dependent on the number of funding cycles offered per year.

Appeals:

Other. Adverse actions made on applications are appealable, pursuant to 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching Requirements: For Renewable Energy Systems and Energy Efficiency Improvement assistance: The applicant must provide at least 75 percent of leveraged funds if the request is for grant only funds. For a combination grant and loan guarantee and loan guarantee only, the applicant must provide at least 25 percent of leveraged funds. Other Federal grants may not be used to meet the 75 percent requirement for the grant. For Energy Audit and Renewable Energy Development Assistance: The recipient of the energy audit must pay at least 25% of the cost of the audit. MOE requirements are not applicable to this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant funds will be provided on a reimbursement basis. Guarantees on loan will be provided once the project is complete and operational. Project should typically be completed within two years from the award. Method of awarding/releasing assistance: monthly or as needed. Method of awarding/releasing assistance: other

Reports:

A financial status report and a project performance report are required on a semiannual basis (due 30 working days after June 30 and December 31). Grantees and Guaranteed Borrowers shall constantly monitor performance to ensure that time schedules are being met and projected goals by time periods are being accomplished. Once the project is completed annual reports will be required. For renewable energy system projects, these reports are to be provided commencing in the first full calendar year after construction is completed and continuing for 3 full years. For energy efficiency improvement projects, these reports are to be provided commencing the first full calendar year following the year in which project construction was completed and continuing for 2 full years. For Energy Audit and Renewable Energy Development Assistance, these reports are to be provided commencing in the first full calendar year after grant is completed and continuing for 2 full years.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Accounting records prepared in accordance with generally accepted accounting principles (GAAP) or financial statement in a format typically accepted by a commercial agricultural lender and supported by source documentation will be required for financial statements.

Records:

For Rural Energy for America Program applicant will provide a financial management system in accordance with 7 CFR Part 3015. The applicant will also retain financial records, supporting documents, statistical records, and other records pertinent to the award for a period of at least three years after final disbursement, except that the records shall be retained beyond the three-year period if audit findings have not been resolved.

Account Identification:

12-1908-0-1-451.

Obligations:

Loan Guarantees/Grants FY 20 \$58,000,000.00; FY 21 est \$50,000,000.00; FY 22 Not Available; Project Grants FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Guaranteed/Insured Loans FY 20 \$300,000,000.00; FY 21 est \$300,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Grant Range \$2,500 to \$500,000; Average \$45,000 Guaranteed Loan Range \$5,000 to \$25,000,000; Average \$85,000.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

12-1908.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Solicitation of Applications will be published in the Federal Register each fiscal year. Application, construction and serving requirements are outlined in 7 CFR 4280-B.

Regional or Local Office:

See Regional Assistance Locations. For general information, write to: US Department of Agriculture, Rural Development, Energy Division, MS 3225, 1400 Independence Avenue, SW, Washington, DC, 20250, or telephone 202-720-1400. For specific information, contact the RD State Energy Coordinator in your respective state: http://www.rd.usda.gov/files/RBS_StateEnergyCoordinators.pdf

Headquarters Office:

Lisa Noty,
U.S. Department of Agriculture Rural Development, Energy Division 511 W. 7th Street,
Atlantic IA 50022
Email: Lisa.Noty@wdc.usda.gov
Phone: 712-243-2107 X116
Fax: Debra Yocum,
1400 Independence Ave.,
Washington DC 20504
Email: Debra.Yocum@usda.gov
Phone: 402-499-1198
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

10.768 Business and Industry Loans; 10.769 Rural Business Enterprise Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Quantity of Energy Replaced, Produced, or Saved (2) Environmental benefits (3) Commercial availability (4) Technical Merit (5) Readiness (Grants only) (6) Small Agricultural Producer/Very Small Rural Business (7) Simplified application (8) Previous grantees and borrowers (9) Simple payback (10) State Director and Administrator priorities and points. (11) Loan Rate (Guaranteed Loans only):

10.870 Rural Microentrepreneur Assistance Program

(RMAP),

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008 of the Farm Bill, Title VI, Section 6022, Public Law 6124

OBJECTIVES:

Provide rural microentrepreneurs with the skills necessary to establish new rural microenterprises and to provide continuing technical and financial assistance related to the successful operation of rural microenterprises. (1) Make direct loans to Microenterprise Development Organizations (MDO), which may be a non-profit entity, Indian Tribe or public institution of higher education for the purpose of capitalizing microloan revolving funds to provide fixed interest rate business loans of the lesser of \$50,000 or 20 percent of the amount loaned to an MDO for startup and growing rural microenterprises. The maximum loan amount an MDO may borrower under this program will not exceed \$500,000. (2) Make grants to MDOs to support rural microenterprise development. These grants are known as

operational enhancement activities or services grants. These grants will be used to seek training and other enhancement services to strengthen their own organizations. These grants will not exceed 10 percent of available funding, whichever is less in any given year. (3) Any MDO that receives a loan under this program is eligible for a grant to assist microentrepreneurs who have received or are seeking a microloan from an MDO. These grants are known as technical assistance (TA) grants and may be used by an MDO to provide marketing, management, and other technical assistance to microentrepreneurs. TA grants will provide assistance specifically tailored to the needs of one or more microentrepreneurs.

TYPES OF ASSISTANCE:

Direct Loans, Project Grants

USES AND USE RESTRICTIONS:

Loans to MDO's for the purpose of capitalizing microloan revolving funds to provide fixed interest rate business loans of \$50,000 or less to microentrepreneurs as defined for startup and growing rural microenterprises. The maximum loan amount an MDO may borrower under this program will not exceed \$500,000. Grants will be used to provide training, operational support, business planning, market development assistance, other related services to rural microentrepreneurs, and to fund other such appropriate projects and activities. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount. Any MDO that receives a loan under this program is eligible for a grant to assist microentrepreneurs who have received or are seeking a microloan from an MDO. These grants are known as technical assistance (TA) grants and may be used by an MDO to provide marketing, management, and other technical assistance to microentrepreneurs. TA grants will provide assistance specifically tailored to the needs of one or more microentrepreneurs. TA grants will be not more than the lesser of 25 percent of the total outstanding balance of microloans or \$100,000. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount. TA grants will be in an amount equal to not more than the lesser of 25 percent of the total outstanding balance of microloans or \$100,000. The term of a loan made to an MDO will not exceed 20 years. The interest rate to an MDO will be at a minimum 1 percent and may adjust, after the 2-year deferral, depending on the performance of the MDO as published in the anticipated Final Rule. The Grant Agreement will be effective until such time the purpose of the grant is fulfilled.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); To be eligible to apply for Microlender status under the Rural Microentrepreneur Assistance Program, an applicant must be a non-profit entity, an Indian tribe, or a public institution of higher education. Applicants must be at least 51 percent controlled by persons who are either (i) citizens of the United States, the Republic of Palau, the Federated States of Micronesia, the Republic of the Marshall Islands, or American Samoa; or (ii) legally admitted permanent residents residing in the U.S. The applicant or owner must not have an outstanding judgment, be delinquent on any Federal debt or debarred from receiving Federal assistance.

Beneficiary Eligibility:

Small business; Small Business Person; U.S. Citizen; Rural; Microentrepreneur is defined as an owner and operator, or prospective owner and operator, of a rural microenterprise who is unable to obtain sufficient training, technical assistance, or as determined by the Secretary. All microentrepreneurs must be located in a rural area.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under

ASSISTANCE LISTINGS DESCRIPTIONS

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant Non-Profit organizations must submit a complete application to the USDA as called for in the final rule, which is planned for publication early in 2010. It is anticipated that the requirement will include Standard Forms 424, 424A and 424B plus program specific requirements as stated in the final rule.

Award Procedure:

For the Rural Microentrepreneur Assistance Program, Rural Development (RD) personnel will review, score, and rank applications. It is anticipated that this will take place on a quarterly basis based on published evaluation criteria. Funding recommendations will be forwarded to the Administrator, Rural Business and Cooperative Service for final awards. The National Office will transfer funds to the appropriate State office for obligation of successful awards. Unsuccessful applicants will be notified.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Adverse actions made on applications from MDO's are appealable pursuant to 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. A 10 percent non-federal cash match will be required against any technical assistance grant awarded. A 15 percent match will also be required which may be in the form of non-federal cash, in-kind goods, services, or indirect costs. These two sets of matching contribution will be combined for a total matching requirement of 25 percent of the grant amount. A five percent (5%) match is required against any loan awarded, which will be used to establish a loan loss reserve fund. MOE requirements are not applicable to this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For the Rural Microentrepreneur Assistance Program: Grant funds will be provided on a reimbursement basis. MDOs must make one or more microloans within 30 days of any disbursement it receives. MDOs may receive additional disbursements no more than quarterly Method of awarding/releasing assistance: quarterly

Reports:

Reporting requirements include quarterly reporting that provides information required by the Secretary to ensure that funds provided are being used for the purposes for which the loans and/or grants were made. As part of the reporting, MDOs will be required to provide evidence that the sum of the unexpended amount in the Rural Microentrepreneur Revolving Fund, plus the amount in the Loan Loss Reserve Fund, plus debt owed by the microborrowers is equal to a minimum of 105% of the amount owed by the MDO to the Agency. Reporting requirements include quarterly reporting that provides information required by the Secretary to ensure that funds provided are being used for the purposes for which the loans and/or grants were made.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are

exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Accounting records are to be prepared by or on behalf of borrowers and grantees in accordance with generally accepted accounting principles (GAAP) or audited financial statements. Source documentation will be required for financial statements.

Records:

Participating MDOs will be required to maintain loan portfolio and training records, to the extent that the Agency may conduct inspections and audits as needed.

Account Identification:

12-1955-0-1-452.

Obligations:

Direct Loans FY 20 \$13,611,372.00; FY 21 est \$12,739,000.00; FY 22 est \$150,000,000.00; Project Grants FY 20 \$4,170,617.00; FY 21 est \$5,600,000.00; FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

Range of financial assistance is outlined in the Notice of Funding Availability published in the federal register, however prior loans has ranged from \$131,250 to \$500,000.

TAFS Codes:

12-1955.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4280, Subpart D; 7 CFR 1951, Subpart R; RD Instruction 4280-D

Regional or Local Office:

See Regional Assistance Locations. Go here to find your local office contact information. <http://www.rd.usda.gov/contact-us/state-offices>

Headquarters Office:

Shamika Johnson,
1400 Independence Ave,
Washington DC 20250
Email: Shamika.Johnson@usda.gov
Phone: 202-720-1400
Fax:

Website Address:

<http://www.rd.usda.gov/programs-services/rural-microentrepreneur-assistance-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.871 Socially-Disadvantaged Groups Grant

SSDG,

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

7 USC 1932(e)(11),

OBJECTIVES:

To provide technical assistance to socially-disadvantaged groups through cooperatives and Cooperative Development Centers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To provide technical assistance to socially-disadvantaged groups through

ASSISTANCE LISTINGS DESCRIPTIONS

cooperatives and Cooperative Development Centers.

Applicant Eligibility:

Other private institutions/organizations; Eligible applicants are cooperatives, groups of cooperatives, and cooperative development centers that serve socially-disadvantaged groups and whose governing board is comprised of a majority of individuals who are members of a socially-disadvantaged group. Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Native American Organizations; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Consumer; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Rural; Ultimate beneficiaries must be located in rural areas, as defined by 7 U.S.C. 1991(a).

Credentials/Documentation:

An annual Federal Register notice will describe documentation requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the procedures described in an annual Federal Register notice.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications are reviewed by a panel of experts and ranked according to published evaluation criteria. Applications are funded in rank order until available funds have been expended.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

Other. Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are typically made during September each year. The Period of Performance is one year. Method of awarding/releasing assistance: quarterly

Reports:

Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Recipients must comply with 2 CFR 200.333-.337.

Account Identification:

12-1900-0-1-452.

Obligations:

Project Grants FY 20 \$2,190,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Average = \$150,000 Range = \$37,000 (minimum) to \$175,000 (maximum)

TAFS Codes:

12-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Contact information for USDA Rural Development State Offices is available at: <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Cooperative Programs, Grants Division Arti Kshirsager,
1400 Independence Avenue, SW Mail Stop 3253, Room 4208-South,
Washington DC 20250

Email: cprgrants@wdc.usda.gov

Phone: 202-690-1374

Website Address:

<http://www.rd.usda.gov/programs-services/socially-disadvantaged-groups-grant>

RELATED PROGRAMS:

10.771 Rural Cooperative Development Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on 5 criteria: (1) Technical Assistance, (2) Experience, (3) Commitment, (4) Work Plan/Budget, (5) Local Support.

Applicants should review the annual Federal Register notice for details on how these criteria will be scored.

10.872 Healthy Food Financing Initiative

HFFI.

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Healthy Food Financing Initiative, Title Agriculture Act of 2014, Section 4206,
Public Law 113-79, 7 USC Code 6953.

OBJECTIVES:

The objective of this program is to improve access to healthy foods in underserved areas, to create and preserve quality jobs, and to revitalize low income communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The National Fund Manager will provide grant funding and technical assistance.

ASSISTANCE LISTINGS DESCRIPTIONS

Grant funds will be available to beneficiaries to overcome the higher costs and initial barriers to entry in underserved urban, suburban, and rural areas. This flexible program will support innovations in fresh food retail and can assist retailers with a variety of aspects of store development and renovation processes, including predevelopment costs, energy efficiency upgrades, employee training, and security improvements aimed at providing a safe and welcoming shopping environment. All projects must accept, or plan to accept, Supplemental Nutritional Assistance Program (SNAP) benefits. Technical assistance will be provided to selected projects for managerial, financial, or operational consultation, as well as for marketing and feasibility studies.

Applicant Eligibility:

Other private institutions/organizations; An applicant must be a Community Development Financial Institutions (CDFI) certified by the U.S. Department of the Treasury Department CDFI Fund. The applicant must have been in existence as of February 7, 2014 and still be in existence at the time of application.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; Beneficiaries may be profit organizations (including corporations, limited liability companies, sole proprietors, public benefit corporations) and nonprofit organizations (including institutions of higher education; state and local governments and governmental agencies, authorities, and food policy councils; tribal governments and tribal governmental agencies, authorities, and food policy councils) and partnerships.

Credentials/Documentation:

Applicants must describe their experience with projects associated with retail, regional food systems, and locally-grown foods as well as with projects that serve women- and minority-owned businesses. Applicants must also provide information on outreach and collaboration, financial condition, project management capacity, experience in rural areas, and how the program will be evaluated. The agency may require additional information at its discretion. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. For eligible applicants (including intermediate levels), identified under the Applicant Eligibility section, what are the basic procedural steps required by the Federal agency in the application process, beginning with the lowest level and ending eventually with the Federal government? Do any of the 2 CFR 200 uniform guidance apply? Are there any Other Application Procedures?

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant must submit an application through Grants.gov using the requirements published in the NOFO. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be ranked based on the points scored for the evaluation criteria. The highest ranking applicant will be selected for funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 15 to 30 days. Applicants that were not approved may request an informal review or formal appeal within 30 days of receiving notification that the application was not approved.

Renewals:

Other. Because this is a new program, renewal procedures have not been developed yet. Recipients can request an extension in writing up to 30 calendar days prior to the expiration of the period of performance. Extensions will only be approved in situations where circumstances beyond the control of the recipient prevented timely completion of project activities.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The award has already been made for the National Fund Manager. The funding for the NFM will be available until September 30, 2020. The selection period for beneficiaries has already closed and no additional beneficiaries will be selected in 2019. The funding for beneficiaries must be spent within one year. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients must submit an SF-425 (Federal Financial Report) semi-annually and final report. The semi-annual reports are due 30 days after the end of the reporting period and the final report is due 90 days after the end of the period of performance. Recipients must submit a Performance Report semi-annually. The semi-annual reports are due 30 days after the end of the reporting period and the final report is due 90 days after the end of the period of performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents (including any deliverables or work products), statistical records, and all other records must be retained for a period of three years from the date of submission of the final financial report. See 2 CFR 200.333 for additional information.

Account Identification:

12-0015-0-1-451.

Obligations:

Project Grants FY 20 \$2,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-0015.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Gail Thuner,
1400 Independence Avenue, SW Room 4208-South,
Washington DC 20250
Email: Gail.Thuner@USDA.gov
Phone: 2026902426

Fax:

Website Address:

<https://www.reinvestment.com/initiatives/hffi/>

RELATED PROGRAMS:

21.020 Community Development Financial Institutions Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will first be reviewed for eligibility for funding. Applications determined to be eligible will be evaluated based on the criteria published in the NOFO.

ASSISTANCE LISTINGS DESCRIPTIONS

10.874 Delta Health Care Services Grant Program

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

7 USC 2008u, , Statue 7-1921, Public Law 92-419, 7 USC Code 1921.

OBJECTIVES:

To provide financial assistance to address the continued unmet health needs in the Delta Region through cooperation among health care professionals, institutions of higher education, research institutions, and other individuals and organizations in the Delta Region.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds must be used to for the development of health care services, health education programs, health care job training programs, and/or for the development an expansion of public health-related facilities in the Delta Region.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Eligible applicants are consortiums of the following: regional institutions of higher education, academic health and research institutes, and/or economic development entities located within the Delta Region. Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Individual/Family; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Rural; Ultimate beneficiaries must be located in rural areas in the Delta Region.

Credentials/Documentation:

An annual Federal Register notice will describe documentation requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-application coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must follow the procedures described in an annual Federal Register notice.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications are reviewed by the Agency and ranked according to published evaluation criteria.

Applications are funded in rank order until available funds have been expended.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

Other. Applicants may appeal adverse decisions in accordance with 7 CFR Part 11. The Agency provides instructions on how to appeal with each adverse decision.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Availability of assistance varies each year. The Period of Performance is 1-2 years. Method of awarding/releasing assistance: other

Reports:

Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional audit procedures are required for this program.

Records:

Expenditure reports must be submitted semi-annually using Form SF-425.

Account Identification:

12-1232-0-1-452.

Obligations:

Project Grants (Discretionary) FY 20 \$1,631,330.00; FY 21 est \$9,000,000.00; FY 22 est \$9,000,000.00;

Range and Average of Financial Assistance:

Average = \$N/ARange = \$50,000 (minimum) to \$1,000,000 (maximum)

TAFS Codes:

12-1232.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Contact information for USDA Rural Development State Offices is available at: <http://www.rd.usda.gov/contact-us/state-offices>.

Headquarters Office:

Cooperative Programs, Grants Division Direct Program Branch,
1400 Independence Avenue, SW,
Washington DC 20250-1550
Email: cpgrants-dcwa3@usda.gov
Phone: 202-720-1400

Website Address:

<http://www.rd.usda.gov/programs-services/delta-health-care-services-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated based on 2 criteria: Rurality of the Project and Communities Served and Community Needs and Benefits Derived from the Project. Applicants should review the annual Federal Register notice for details on how these criteria will be scored.

10.886 Rural Broadband Access Loans and Loan Guarantees

Farm Bill Broadband Loans & Loan Guarantees,

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title VI; 7 U.S.C. 950bb, OBJECTIVES:

To assure that people in eligible rural communities have access to broadband service comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Funds can be used for the costs of the construction, improvement, or acquisition of facilities and equipment for the provision of broadband service in rural areas. Additional restrictions may apply to projects depending on the availability of existing broadband service. A direct loan shall bear interest at an annual rate either (1) equivalent to the cost of borrowing to the Department of the Treasury for obligations of comparable maturity or (2) 4 percent. A guaranteed loan shall bear interest at an annual rate equivalent to the current applicable market rate for a loan of comparable maturity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); (a) To be eligible for a broadband loan, an applicant may be either a nonprofit or for-profit organization, and must take one of the following forms: (1) Corporation; (2) Limited liability company (LLC); (3) Cooperative or mutual organization; (4) Indian tribe or tribal organization as defined in 25 U.S.C. 450b; or (5) State or local government, including any agency, subdivision, or instrumentality thereof. (b) To be eligible for a broadband loan, the applicant must: (1) Submit a loan application which meets the requirements set forth the regulation; (2) Agree to complete the build-out of the broadband system described in the loan application within three years from the day the applicant is notified that loan funds are available. The loan application must demonstrate that all proposed construction be completed within this three year period with the exception of CPE. CPE can be funded throughout the forecast period; (3) Demonstrate an ability to furnish, improve, or extend broadband facilities to provide service at the broadband lending speed in rural areas; (4) Demonstrate an equity position equal to at least 10 percent of the amount of the loan requested in the application; and (5) Provide additional security if it is necessary to ensure financial feasibility as determined by the Administrator. An eligible entity that provides telecommunications or broadband service to at least 20 percent of the households in the United States may not receive a total amount of loans or guarantees for a fiscal year in excess of 15 percent of the funds authorized and appropriated for that fiscal year.

Beneficiary Eligibility:

Rural; Residents and businesses of eligible rural areas.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Applicants must supply environmental impact information to determine

whether an environmental assessment or an environmental impact statement will be required. Additional information regarding environmental requirements can be found in 7 C.F.R. Part 1794.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. New regulations will implement the changes authorized by the 2018 Farm Bill. For further information on submitting an application, please contact RUS and visit our website:

<http://www.rd.usda.gov/programs-services/farm-bill-broadband-loans-loan-guarantees>

Award Procedure:

The RUS Administrator makes the final decision on approval of the loan or loan guarantee. There must be a finding that the project is economically feasible, capable of delivering service at the Broadband Lending Speed, and serves the purposes of the program. Borrowers and member of Congress are notified directly of the approval of a loan or loan guarantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

>180 Days. Normal processing time is four to five months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Unless requested to be shorter by the applicant, broadband loans must be repaid with interest within a period that, rounded to the nearest whole year, is equal to the expected composite economic life of the assets to be financed, as determined by the Agency based upon acceptable depreciation rates. The borrower must begin construction within six months from the date the Agency notifies the applicant that loan funds are available. The build-out must be complete within three years from the day the Agency notifies the applicant that loan funds are available. Build-out is considered complete when the network design has been fully implemented, the service operations and management systems infrastructure is operational, and the borrower is ready to support the activation and commissioning of individual customers to the new system. Method of awarding/releasing assistance: other

Reports:

RUS borrowers must submit to the Rural Utilities Service quarterly operating reports showing financial condition and operating progress. Annual progress reports are required. The form that is used to request the advance of funds is also an expenditure reports. The form is called a Financial Requirement Statement and tracks individual construction projects. Performance monitoring is completed by the RUS National and Field offices.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Entities which do not meet the criteria for applicability in 2 CFR 200 will have an audit prepared in accordance with 7 CFR 1773, Policy on Audits of RUS Borrowers and Grantees.

Records:

Not Data Available.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

12-1232-0-1-452.

Obligations:

Direct Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$11,869,000.00; Direct Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available; Guaranteed/Insured Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

In FY20, no loans were made.

TAFS Codes:

12-1230. 12-4208. 12-4209.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the Final Rule published in the Federal Register on June 9, 2016. 81 FR 37121 (111)

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program,,
Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590,
Washington DC 20250

Email: laurel.leverrier@usda.gov

Phone: (202) 720-9554

Website Address:

<http://www.rd.usda.gov/programs-services/farm-bill-broadband-loans-loan-guarantees>

RELATED PROGRAMS:

10.851 Rural Telephone Loans and Loan Guarantees;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.890 Rural Development Cooperative Agreement Program

REDL

FEDERAL AGENCY:

RURAL BUSINESS COOPERATIVE SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

7 USC 2204b(b)(4),

OBJECTIVES:

USDA Rural Development Innovation Center in partnership with Rural Cooperative Business Service

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to improve the coordination and effectiveness of Federal programs, services, and actions affecting rural areas. Examples of allowable activities are providing leadership and entrepreneurial training, providing economic planning and development services, and assisting with outreach of Rural Development programs.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Private nonprofit

institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Applicants are not eligible if they have been debarred or suspended or otherwise excluded from participation in Federal assistance programs under Executive Order 12549, Debarment and Suspension. Applicants are not eligible if they have an outstanding judgement obtained by the U.S. in a Federal Court (other than U.S. Tax Court), are delinquent on the payment of Federal income taxes, or are delinquent on a Federal debt. Any corporation that has been convicted of a felony criminal violation under any Federal law within the past 24 months or that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, is not eligible for funding.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Native American Organizations; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Consumer; Black; American Indian; Spanish Origin; Asian; Other Non-White; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Rural; Ultimate beneficiaries must be located in rural areas.

Credentials/Documentation:

Applicants will be required to provide information regarding eligibility for assistance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a proposed scope of work and budget.

Award Procedure:

Applications are evaluated for eligibility for the program. Eligible applications are reviewed by the Agency and selected based on the reasonableness of the budget and on the priority of the proposed work.

Deadlines:

October 01, 2017 - July 29, 2018. Applications should be submitted no later than July 31 for consideration under the current year's funding availability. Applications received after July 31 will be held for consideration during the next fiscal year.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are typically approved/disapproved within 60-90 days of receipt.

Appeals:

Not Applicable. N/A

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Availability of assistance varies each year. The Period of Performance is typically one year, although the Agency may fund projects for longer time periods at its discretion. Method of awarding/releasing assistance: other

Reports:

Progress reports must be submitted semi-annually. Expenditure reports must be submitted semi-annually using Form SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

ASSISTANCE LISTINGS DESCRIPTIONS

No additional audit procedures are required for this program.

Records:

Recipients must comply with 2 CFR 200.333-.337.

Account Identification:

12-0403-0-1-452.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Average = \$130,000 Range = \$30,000 (minimum) to \$1,000,000 (maximum)

TAFS Codes:

12-0403.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Cooperataive Programs, Grants Division Rural Development Innovation Center,
1400 Independence Avenue, SW,
Washington DC 20250-3253
Email: RD.Innovation@OSEC.USDA.Gov
Phone: 202-720-1000

Website Address:

<https://www.rd.usda.gov/content/rural-economic-development-innovation-redi-initiative>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.902 Soil and Water Conservation

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act, , Statue N/A-N/A, Public Law 74-76, 16 USC Code 590 a-f.

OBJECTIVES:

Provide conservation technical assistance to private landowners, conservation districts, tribes, and other organizations through a national network of locally-respected, technically-skilled, professional conservationists and assist them in conserving, improving and sustaining our natural resources and environment.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Salaries and Expenses

USES AND USE RESTRICTIONS:

Technical assistance to the general public in planning and applying natural resource conservation practices, systems, and treatment; and furnishing technical natural resource conservation information to State and local governments.
Technical assistance to decision-makers on lands in agriculture, forestry and related uses for planning and applying natural resource conservation practices, systems, and treatment; and furnishing technical natural resource conservation information to State and local governments.

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes

institutions of higher education and hospitals; Sponsored organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; The CTA Program is delivered to private individuals, groups of decision makers, tribes, units of governments, and non-governmental organizations in all 50 States, the District of Columbia, Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of Palau, and the Marshall Islands.

Beneficiary Eligibility:

U.S. Territories; Farmer/Rancher/Agriculture Producer; Land/Property Owner; General public, State governments, and local governments.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the local Natural Resources Conservation Service office in nearly all counties. Preapplication coordination of this program is not required.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

Salaries and Expenses FY 20 \$770,086,000.00; FY 21 est \$784,917,000.00; FY 22 est \$773,813,000.00;

Range and Average of Financial Assistance:

Not applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

12-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Conquest of the Land," AIB99; "Soil Erosion by Water," AIB513; "Assistance Available from the Natural Resources Conservation Service," AIB345; "Controlling Erosion on Construction Sites," AIB347; "Community Improvement Through Resource Conservation and Development," PA1077; "Save Soil Systematically," PA1366. "List of Published Soil Surveys;" "Going Wild with Natural resource Conservation," PA1363; "Conversation Planning-You and Your Land," PA 1376, "Farming and Maintaining Terraces," LN570.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Aaron Lauster,
1400 Independence Ave., SW,
Washington DC 20250
Email: aaron.lauster@usda.gov
Phone: (202) 260-9230

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/site/national/home/>

RELATED PROGRAMS:

10.903 Soil Survey; 10.054 Emergency Conservation Program; 10.904 Watershed Protection and Flood Prevention; 10.907 Snow Survey and Water Supply Forecasting; 10.905 Plant Materials for Conservation; 10.500 Cooperative Extension Service;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.903 Soil Survey

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

The Soil Information Assistance for Community Planning and Resource Development Act of 1966, , Public Law 89-560, Soil Conservation and Domestic Allotment Act, , Public Law 74-46, 16 USC Code 590.

OBJECTIVES:

To produce and maintain up to date soil survey information (maps, data sets, soil interpretations, and reports) of the United States for widespread use by interested agencies, organizations, and individuals and to assist in the use of this information.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Salaries and Expenses

USES AND USE RESTRICTIONS:

Scientists and policy makers use soil survey information in studying global climate change and evaluating the sustainability and environmental impacts of land use and management practices. Soil Surveys provide input data that computer simulation models use to predict the dynamics of carbon, nutrients, and water in soils. Soil surveys are used by planners, engineers, zoning commissions, tax commissions, homeowners, farmers, ranchers, developers, landowners, and operators to evaluate soil suitability for home sites, subdivisions, commercial and industrial sites, farms, wildlife and recreational areas, prime agricultural land, hydric soils, highly erodible lands, highways, and airports and to select and implement appropriate use and

management of those soils.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; All individuals and groups that have a need for soil survey information can access it on the Web Soil Survey at websoilsurvey.nrcs.usda.gov or by contacting the local Natural Resources Conservation Service Office.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Sponsored organization; All individuals and groups that have a need for soil survey information are eligible to receive assistance.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may access the Web Soil Survey or request information from the local office of the Natural Resources Conservation Service, local Conservation Districts, Extension Service, the State Office of the Natural Resources Conservation Service, State Agricultural Experiment Station. Local units of government submit requests to State Conservationist, Natural Resources Conservation Service. Conservation District cooperators submit requests to their Conservation District board of supervisors.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not Applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

Salaries and Expenses FY 20 \$73,642,000.00; FY 21 est \$86,309,000.00; FY 22 est \$84,444,000.00;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

12-1000.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2020: Improved soils data on an estimated 45 million acres in the U.S. and territories

REGULATIONS, GUIDELINES, AND LITERATURE:

"List of Published Soil Surveys;" "From the Surface Down: An Introduction to Soil Surveys for Agronomic Use;" "Urban Soil Primer;" "Soil Surveys Can Help You," PA-1054. These publications are available from the Office of Public Affairs, USDA-NRCS, 1400 Independence Avenue, SW, Washington, DC 20250, at no charge.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Pam Thomas, Soils Program Manager,
1400 Independence Ave., SW,
Washington DC 20250
Email: pam.thomas@usda.gov
Phone: (202) 205-4211
Fax:

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/site/national/home/>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention;

EXAMPLES OF FUNDED PROJECTS:

2020 Conduct soil survey of private and federal lands in the U.S. and territories

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.904 Watershed Protection and Flood Prevention

(Watershed Program; Public Law 566 Operations Phase),

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

as amended; Public Law 84-1018, 70 Stat. 1088; Public Law 85-865, 72 Stat. 1605; Public Law 86-468, 74 Stat. 131, 132; Public Law 86-545, 74 Stat. 254; Public Law 87-703, 76 Stat. 608; Public Law 90-361, 82 Stat. 250; American Recovery and Reinvestment Act of 2009, Public Law 111-5., , Watershed Protection and Flood Prevention Act, , Statue 68-666, Public Law 83-566, Title The Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

To provide technical and financial assistance in carrying out works of improvement to protect, develop, and utilize the land and water resources in watersheds.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements), Salaries and Expenses

USES AND USE RESTRICTIONS:

Technical assistance is provided in planning, designing, and installing watershed works of improvement. Financial assistance is provided for sharing costs of implementing measures for watershed protection, flood prevention, agricultural water management, sedimentation control, public water based fish, wildlife, recreation; municipal and industrial water supply. Authorized watershed area must not exceed 250,000 acres. Capacity of a single structure is limited to 25,000 acre-feet of total capacity and 12,500 acre-feet of floodwater detention.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to levy taxes, condemnation authority, and to carry out maintenance, and operate watershed works of improvement may apply for assistance. This program is available in Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands and the Trust Territories of the Pacific Islands.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Not applicable.

Credentials/Documentation:

Applications must designate the proposed project area, be properly signed and attested to by all applicants, and set forth the need for the proposed project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms and information are available in all NRCS offices and from designated State agencies.

Award Procedure:

Details of the procedure are available from the State and field offices of the Natural Resources Conservation Service.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Technical and financial assistance under which program funds provide certain prescribed services and costs and a percentage of other costs on the basis of a contract vary according to purpose of the works of improvement. For example, for construction costs under the Act, program funds provide 100 percent for flood prevention; up to 50 percent of agricultural water management, public recreation and fish and wildlife purposes; and none of the costs for certain other nonagricultural water management purposes. All of the applicants' installation costs are eligible for program loans. Reimbursable advances are available for preservation of sites and future municipal water supply. Sponsors are required to obtain all land and water rights and permits prior to installation of project measures, and for operation and maintenance. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Annual operation and maintenance inspection and report are conducted by sponsors of project. Annual operation and maintenance inspection and report. Annual operation and maintenance inspection and report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1000-0-1-302. 12-1072-0-1-301.

Obligations:

Salaries and Expenses FY 20 \$68,035,000.00; FY 21 est \$72,785,000.00; FY 22 est \$16,500,000.00; Project Grants (Cooperative Agreements) FY 20 \$57,142,000.00; FY 21 est \$225,053,000.00; FY 22 est \$93,500,000.00;

Range and Average of Financial Assistance:

(per State) \$0 to \$2,164,000; \$650,000.

TAFS Codes:

12-1000. 12-1073. 12-1072.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Policy for implementation of this program is contained in the National Watershed Program Manual and NRCS General Manual. Program fact sheets, case studies, and guidelines are available from NRCS State Offices and can also be accessed through the NRCS website (www.nrcs.usda.gov). "What is a Watershed," PA-420; "Flood Plain Management - Why We Need It," PA-1276; "Multiple- Purpose Watershed Projects," PA-575; and "Small Watershed Projects," PA- 1354.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Kevin L. Farmer, Branch Chief, Watershed Programs Branch,
United States Department of Agriculture Natural Resources Conservation
Service Conservation Planning & Technical Assistance Division 1400 Independence
Avenue, SW,
Washington DC 20250
Email: kevin.farmer@wdc.usda.gov
Phone: (202) 720-3413
Fax:

Website Address:

https://www.nrcs.usda.gov/wps/portal/nrcs/detail/national/programs/landscape/wfpo/?cid=nrcs143_008271

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.903 Soil Survey; 10.907 Snow Survey and Water Supply Forecasting; 12.104 Flood Plain Management Services; 10.905 Plant Materials for Conservation; 10.664 Cooperative Forestry Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) The watershed must meet the requirements of the law; (2) an approved watershed plan has been prepared; (3) the local sponsors should indicate willingness to carry out a watershed project including acquiring land rights, permits, local cost-share, authority to levy taxes, condemnation authority, and ability for operating and maintaining works for life of project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio; and (5) no critical environmental issues exist.

10.905 Plant Materials for Conservation

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act, , Public Law 74-46, 16 USC Code 590a-f.

OBJECTIVES:

To assemble, evaluate, and promote the use of new and improved plant materials for soil, water, and related resource conservation and environmental improvement programs. To develop technology for land management and revegetation with plant materials. To transfer technology on plant materials to conservation partners and the public.

TYPES OF ASSISTANCE:

Provision of Specialized Services, Salaries and Expenses

USES AND USE RESTRICTIONS:

Plant materials are used in all phases of the soil and water conservation program. This program emphasizes field testing to determine the plant's value and restoration techniques. Participation in the program is limited to conservation cooperators in conjunction with soil conservation districts, State Agricultural Experiment Stations, State Crop Improvement Associations and other Federal and State agencies. The program currently maintains breeder or foundation materials for about 580 conservation plants that were released by NRCS and cooperators. The program provides commercial seed and plant growers with limited quantities of breeder and foundation quality seed or propagules of NRCS-released conservation plants through state Crop Improvement Associations or Foundations Seed Services, or in some cases directly to commercial growers.

Applicant Eligibility:

Federal; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Cooperating State and Federal agencies and cooperators of conservation districts where structured evaluations are conducted and commercial seed growers and nurserymen interested in the commercial production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands. The general public is not eligible to participate in this program.

Beneficiary Eligibility:

Federal; U.S. Territories; Specialized group (e.g. health professionals, students, veterans); State; Sponsored organization; Cooperating State and Federal agencies and cooperators of conservation districts and commercial seed growers and nurserymen interested in the production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the local Natural Resources Conservation Service office.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:**Audits:**

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

Salaries and Expenses FY 20 \$9,781,000.00; FY 21 est \$11,313,000.00; FY 22 est \$11,540,000.00;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

12-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"National Plant Materials Manual" provides overall guidance on the operation, policy and procedures of the Plant Materials Program. Over 2,800 technical and informational documents on a wide variety of plant related topics may be found on the Programs Web site at: <http://plant-materials.nrcs.usda.gov>.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

National Program Leader-Plant Materials John Englert,
, Ecological Sciences Division, Natural Resources Conservation Service,
Department of Agriculture, 1400 Independence Ave., SW, P.O. Box 2890,
Washington DC 20013

Email: John.Englert@usda.gov

Phone: (202) 720-0536

Fax:

Website Address:

<http://plant-materials.nrcs.usda.gov>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.907 Snow Survey and Water Supply Forecasting

(Snow Surveys).

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act, , Public Law 74-76, 16 USC
Code 590 a-f.

OBJECTIVES:

To provide reliable, accurate, and timely forecasts of surface water supply to water users and water managers in the West. To efficiently obtain, manage, and disseminate high quality data and information on snow, water, climate, and hydrologic conditions. To provide climate data to support NRCS conservation planning tools.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Salaries and Expenses

USES AND USE RESTRICTIONS:

Information is used by owners and operators of 30,000,000 irrigated acres in the mountain States, and the far West; as well as help for farm operators, rural communities, and municipalities which use water supply forecasts to manage water resources. Data are also used in administering international and interstate river flow distribution contracts and agreements, and in the regulation of hundreds of small and large reservoirs for irrigation, flood control, power generation, recreation, industry, and municipal supplies. Data also provide soil moisture/temperature and climate data which supports drought assessments, flood assessment, fire hazard assessment, wildlife management and climate change research. Cooperators include other Federal agencies, State agencies, municipalities, irrigation districts, water associations and private utilities, and water management officials in Canada and Mexico.

Applicant Eligibility:

Anyone/general public; General public, including those located in U.S. Territories.

Beneficiary Eligibility:

Anyone/general public; General public, including those located in U.S. Territories.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Individuals can make requests for reports from NRCS Field and State offices that issued them, and from the NRCS National Water and Climate Center (NWCC) in Portland, OR.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

ASSISTANCE LISTINGS DESCRIPTIONS

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Method of awarding/releasing assistance: is not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

Salaries and Expenses FY 20 \$8,844,000.00; FY 21 est \$11,521,000.00; FY 22 est \$16,488,000.00;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

12-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Snow Surveys, AIB 536.

Regional or Local Office:

See Regional Assistance Locations. State and local offices of the Natural Resources Conservation Service in Alaska, Arizona, California, Colorado, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming, and the National Water & Climate Center (NWCC) in Portland, OR. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <https://www.nrcs.usda.gov/>. California's Department of Water Resources (DWR), coordinates and issues snow surveys for California. Also, the British Columbia Department of Lands, Forests, and Water Resources-, supply data for monthly West-wide summaries.

Headquarters Office:

Director, National Water and Climate Center Brent Duncan, National Program Manager for Snow Survey and Water Supply Forecasting Soil Science and Resource Assessment, Natural Resources Conservation Service-, U.S. Department of Agriculture-, 1400 Independence Avenue, S.W., Washington DC 20250
Email: Brent.Duncan@usda.gov
Phone: 202-440-3965

Website Address:

<https://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.912 Environmental Quality Incentives Program

(EQIP),

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

This program is authorized by Chapter 4 of Subtitle D of Title XII of the Food Security Act of 1985 (16 USC 3839aa--3839aa-8), effective February 7, 2014., Title XII, Part Subtitle D, , Public Law 99-198, 16 USC Code 3830. Title The Agriculture Improvement Act of 2018, , Public Law 115-334

OBJECTIVES:

Promotes agricultural production, forest management, and environmental quality as compatible national goals and optimizes environmental benefits on eligible land with farmers, ranchers, and non-industrial private forest land owners. It is a voluntary program using partnerships with local, State, Tribal and Federal agencies, producer associations, and nonprofit organizations to provide flexible technical and financial assistance to install and maintain conservation practices include those related to organic production, that sustain food and fiber production. The purpose of this program is achieved through the implementation of structural, vegetative, and land management practices on eligible land. This program is funded through the Commodity Credit Corporation (CCC). NRCS provides overall program administration and management.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural, vegetative and land management practices. Payments may be made to implement one or more eligible structural, vegetative, and land management conservation practices. Fifty percent of the funding available for assistance shall be targeted at practices relating to livestock production. Ten percent of the funding available for assistance shall be targeted at practices relating to wildlife habitat.

Applicant Eligibility:

Individual/Family; Anyone/general public; Federally Recognized Indian Tribal Governments; Agricultural producers who face serious threats to soil, water, and related natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands or non-industrial forestlands. Limited resource producers, small-scale producers, social disadvantaged individuals, federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Beneficiary Eligibility:

Individual/Family; Federally Recognized Indian Tribal Governments; To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over the land to be entered into a contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provides either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer, or veteran farmer classification may be asked to provide documents to justify their claim. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Program participation is

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voluntary. The applicant applies at the local USDA Service Center on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant develops an EQIP plan of operations that identifies what conservation practices they are proposing to implement. Technical assistance and conservation practice payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the conservation EQIP plan of operations. A contract with a participant may apply one or more structural, vegetative, and/or land management practices.

Award Procedure:

NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on locally developed ranking process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 10 to 60 days.

Appeals:

Other. A participant may appeal any adverse determination.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. EQIP payment rates may be up to 75 percent of the estimated incurred costs and up to 100 percent of income foregone related to certain conservation practices.

Historically underserved producers, including socially disadvantaged, limited resource, or beginning farmers and ranchers, and tribal members, may be eligible for payment rates up to 90 percent for estimated incurred costs. Payment rates and estimated incurred costs are documented in agency developed and approved payment schedules. This program has MOE requirements, see funding agency for further details. Additional Information: None.

Length and Time Phasing of Assistance:

An EQIP contract expires after the last planned practice is implemented but cannot be longer than 10 years. Obligations for assistance are tied to the schedule for applying conservation practices included in the EQIP plan of operations used as the basis for the contract. Payments are made when the participant and NRCS certify that the conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP). The participant may not engage a TSP prior to contract approval. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice.

Records:

Maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

Salaries and Expenses FY 20 \$481,927,000.00; FY 21 est \$617,126,000.00; FY 22 est \$517,572,000.00; Direct Payments for Specified Use FY 20 \$1,331,315,000.00; FY 21 est \$1,488,305,000.00; FY 22 est \$1,196,241,000.00;

Range and Average of Financial Assistance:

Total EQIP conservation payments are limited to \$450,000 in financial assistance per person or legal entity for contracts entered into between fiscal years 2019 through 2023, regardless of the number of contracts. Average contract payments

are estimated to be \$23,000.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1466. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. Information is available on the internet at

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/financial/eqip/>.

Headquarters Office:

Ronnie Maurer, Acting Branch Chief, Programs, Financial Assistance Programs Division, Natural Resources Conservation, 1400 Independence Avenue SW; Room 4534-S,,

Washington DC 20250

Email: ronnie.maurer@usda.gov

Phone: (202) 819-6368

Fax:

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.072 Wetlands Reserve Program; 10.054 Emergency Conservation Program; 10.904 Watershed Protection and Flood Prevention; 10.069 Conservation Reserve Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; whether the land is located in a priority area and the extent the contract will assist the priority area goals and objectives.

10.913 Farm and Ranch Lands Protection Program

FRPP,

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Food Security Act of 1985. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date., Title XII, Part Subtitle D, , Public Law 99-198, 16 USC Code 3830.

OBJECTIVES:

To provide funding to eligible entities to purchase conservation easements to limit conversion to non-agricultural uses of farm and ranch lands that contain prime, unique, or important soils; that contain historical and archaeological resources; or that support the policy of a state or local farmland protection program.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

Funds are provided to purchase conservation easements in partnership with States, Tribes, or local government entities, or eligible nongovernmental organizations

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(eligible entities). The Federal share for any easement acquisition is limited to a maximum of 50 percent of the appraised fair market value of the conservation easement. A conservation plan is required for highly erodible lands enrolled under an FRPP easement. Conservation easements are perpetual easements. A right of enforcement is incorporated in the conservation easement deed for the protection of the Federal investment. A failure of title would require the eligible entity to reimburse the United States for the Federal share of the easement value.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); An eligible entity was any local or State agency, county or groups of counties, municipality, town or township, soil and water conservation district, or Indian tribe or tribal organization, that has a farmland protection program that purchases conservation easements for the purpose of protecting agricultural use and related conservation values by limiting conversion to non-agricultural uses of land, and that has pending offers. This program was available in all 50 States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands. The program was repealed by the Agricultural Act of 2014 effective February 7, 2014. No new enrollments are authorized after that date.

Beneficiary Eligibility:

State; Local; Landowners must be in compliance with the Wetland Compliance (WC) and Highly Erodible Land (HEL) provisions of the Farm Bill and met the Adjusted Gross Income (AGI) limitations in the Farm Bill.

Credentials/Documentation:

Entities must prove their eligibility by providing documents indicating their commitment to long-term conservation of agricultural lands through legal devices, such as right-to-farm laws, agricultural districts, zoning, or land use planning; uses of voluntary approaches to protect farmland from conversion to non-agricultural uses; and their capability to acquire, manage, and enforce conservation easements. Programs must have a systematic plan for acquiring conservation easements, have a proven commitment to agricultural land protection, and sufficient funds and staff to monitor and enforce conservation easements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Entities must submit an application form and supporting data for each parcel to the appropriate State Office of the Natural Resources Conservation Service (NRCS).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Award Procedure:

NRCS State Offices will rank parcels individually and award funding to the highest-ranked parcels. NRCS State Offices will obligate funds to the eligible entities associated with the highest-ranked parcels.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Each eligible entity is required to contribute cash towards the conservation

easement acquisition. The eligible entity must contribute a minimum of 25 percent of the purchase price of the easement (appraised fair market value minus the landowner donation). Each eligible entity is required to fund its own administrative costs in acquiring easements, such as appraisals, surveys, title searches, and costs incurred in managing and enforcing the easements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Easements must be closed within 18 months of the end of the fiscal year in which the cooperative agreement is signed. Federal funds must be disbursed within 2 to 5 years after signing the cooperative agreement. Technical assistance to maintain the conservation plan, however, is provided by NRCS through the life of the easement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual monitoring reports on the status of each easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records of easements acquired will be maintained for the life of the easements by the cooperating entity and NRCS.

Account Identification:

12-1004-0-1-302. 12-4336-0-1-302. 12-1804-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$658,000.00; FY 21 est \$7,697,000.00; FY 22 est \$17,166,000.00; Salaries and Expenses FY 20 \$10,547,000.00; FY 21 est \$13,596,000.00; FY 22 est \$881,000.00;

Range and Average of Financial Assistance:

\$2,700 to \$1,000,000 per landowner. Average: \$97,000.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Repealed by Section 2704 of the Agricultural Act of 2014 and FRPP functions consolidated into the Agricultural Conservation Easement Program (ACEP), authorized by Section 2301 of the Agricultural Act of 2014.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Program Manager John Glover,
1400 Independence Ave, SW,
Washington DC 20250
Email: John.Glover@usda.gov
Phone: 202-260-8106
Fax:

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.920 Grassland Reserve Program; 10.072 Wetlands Reserve Program; 10.931 Agricultural Conservation Easement Program ; 10.069 Conservation Reserve Program; 10.922 Healthy Forests Reserve Program (HFRP);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting proposals are: the percent of prime, unique, and important farmland in the parcel to be protected; percent of cropland, pastureland,

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grassland, and rangeland in the parcel to be protected; ratio of the total acres of land in the parcel to be protected to average farm size in the county according to the most recent USDA Census of Agriculture; decrease in the percentage of acreage of farm and ranch land in the county in which the parcel is located between the last two USDA Censuses of Agriculture; percent population growth in the county as documented by the United States Census; population density (population per square mile) as documented by the most recent United States Census; proximity of the parcel to other protected land, such as military installations land owned in fee title by the United States or a State or local government, or by an entity whose purpose is to protect agricultural use and related conservation values, or land that is already subject to an easement or deed restriction that limits the conversion of the land to nonagricultural use; and the proximity of the parcel to other agricultural operations and infrastructure.

10.914 Wildlife Habitat Incentive Program

(WHIP).

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Food Security Act of 1985. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date., Title XII, Part subtitle D, Section 1244h, Public Law 99-198, 16 USC Code 3831.

OBJECTIVES:

To help participants protect, restore, develop or enhance habitat for upland wildlife, wetland wildlife, threatened and endangered species, fisheries, and other types of wildlife.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

Technical assistance is provided to develop a WHIP Plan of Operation (WPO) for eligible participants. Cost-share payments may be made to implement fish and wildlife habitat conservation practices. Up to seventy-five percent cost-share is available from NRCS to reimburse participants for installing conservation practices beneficial to wildlife.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicant must meet Highly Erodible Land and Wetland Conservation (HEL/WC) requirements, Adjusted Gross Income (AGI) requirements, verification that applicant will be in control of land for the duration of a contract.

Beneficiary Eligibility:

Individual/Family; Minority group; Anyone/general public; Native American Organizations; Federally Recognized Indian Tribal Governments; A participant may be an owner, landlord, operator, or tenant of eligible lands. Limited resource producers, small-scale producers, producers of minority groups, Federally Recognized Indian Tribal Governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable Wildlife Habitat Development Plan for the land unit of concern that incorporates needed wildlife habitat conservation practices. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Award Procedure:

NRCS may designate a wildlife habitat type or a region of special wildlife habitat concern as a priority. The priorities are designated by the State Conservationist based on recommendations of local working groups which are convened by the local conservation district with recommendations from the State Technical Committee. NRCS will give special consideration to applicants who have WPOs that address wildlife habitat concerns consistent with National and state priorities. Applications will be ranked and selected for funding.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. A participant may appeal any adverse determination to NRCS.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Installation of cost-share practices to develop wildlife habitat can be funded by cost-sharing up to 75 percent of the total cost of establishing the conservation practice by NRCS. Program participants or nonfederal partners provide the remaining 25 percent. Other conservation practices may be required to be installed without program payments. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The WHIP cost-share agreement period is a minimum of 1 to 10 years. Obligations for assistance are tied to the schedule for applying wildlife habitat conservation practices included in the WPO used as the basis for cost-share agreement. Payments are made when the participant and NRCS certify that a conservation practice is completed in accordance with the cost-share agreement. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Records:

Maintained in the NRCS state office and Federal records centers for specified number of years.

Account Identification:

12-1004-0-1-302. 12-3322-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$10,000.00; FY 21 est \$1,338,000.00; FY 22 est \$207,000.00; Salaries and Expenses FY 20 \$1,406,000.00; FY 21 est \$4,745,000.00; FY 22 est \$733,000.00;

Range and Average of Financial Assistance:

Average contract payments are estimated to be \$4,500.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 636. Program manuals, handbooks, and leaflets issued by NRCS and FSA.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. NRCS, FSA, and many local conservation districts are located in USDA service centers. For a list of NRCS State Office telephone numbers and addresses, see Appendix IV of the Catalog or visit the NRCS National Website at <http://offices.sc.egov.usda.gov/locator/app>.

Headquarters Office:

Ronnie Maurer, Acting Branch Chief, Programs Branch, Financial Assistance Programs Division,

1400 Independence Avenue, SW; Room 4534-S.,

Washington DC 20250

Email: ronnie.maurer@usda.gov

Phone: 202-819-6368

Fax:

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.912 Environmental Quality Incentives Program; 10.072 Wetlands Reserve Program; 10.054 Emergency Conservation Program; 10.904 Watershed Protection and Flood Prevention; 10.069 Conservation Reserve Program; 10.921 Conservation Security Program ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be ranked and selected for funding based on: The wildlife habitat benefits derived and the extent the cost-share agreement will assist in achieving the wildlife habitat priority goals and objectives in the area the land unit is located.

10.916 Watershed Rehabilitation Program

(Watershed Rehabilitation; PL-566 Watershed Program),

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Watershed Protection and Flood Prevention Act, , Statute 68-666, Public Law 83-566, 16 USC Code 1012.

OBJECTIVES:

To provide technical and financial assistance to rehabilitate dams originally constructed with assistance of USDA Watershed Programs. Rehabilitation must extend the life of the dam and meet applicable safety and performance standards. Priority is given to dams that maximize risk reduction and that could result in loss of life if the dam should fail.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

Technical assistance is provided for planning, designing, and work necessary to extend service life of dam. Financial assistance for implementing the works of improvement is provided by cost-sharing. Watershed rehabilitation projects may include reconstruction or decommissioning of the dam and relocation or flood proofing of downstream property and infrastructure.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsoring local organizations for existing watershed projects that include dams that were originally constructed with

assistance from one of the following water resource programs: Public Law 78-534, Section 13 of the Flood Control Act of 1944; Public Law 156-67, the pilot watershed program authorized under the heading Flood Prevention of the Department of Agriculture Appropriation Act of 1954; Public Law 83-566, the Watershed Protection and Flood Prevention Act of 1954; and Subtitle H of Title XV of the Agriculture and Flood Act of 1981, commonly known as the Resource Conservation and Development Program.

Beneficiary Eligibility:

State; Local; Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may become a sponsoring local organization for a watershed rehabilitation project.

Credentials/Documentation:

Applications must designate the proposed dam to be rehabilitated, be properly signed and attested to by all sponsoring local organizations of the existing watershed, and set forth the need for the proposed project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Applications are evaluated by analyzing the condition of the dam and consequences of failure of the dam. The State Dam Safety Agency is also consulted for input. Details of the procedure are available from the State Offices of the Natural Resources Conservation Service.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 35%. Requirement: Matching requirements are mandatory. Federal cost-share is limited to 65 percent of the total eligible rehabilitation cost with a limit of 100 percent of the actual construction cost. Sponsors are required to obtain all land and water rights and permits prior to installation of project measures, and to provide operation and maintenance for the life of the works of improvement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual operation and maintenance inspection and report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 .

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1142-0-1-301. 12-1002-0-1-301.

Obligations:

Salaries and Expenses FY 20 \$1,266,000.00; FY 21 est \$8,791,000.00; FY 22 est \$5,000,000.00; Cooperative Agreements (Discretionary Grants) FY 20 \$5,000,000.00; FY 21 est \$5,591,000.00; FY 22 est \$5,000,000.00; Salaries and Expenses FY 20 \$5,330,000.00; FY 21 est \$10,652,000.00; FY 22 est \$0.00; Direct Payments for Specified Use FY 20 \$6,865,000.00; FY 21 est \$37,504,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$0 to \$6,451,000; \$770,000 average per state.

TAFS Codes:

12-1002. 12-1142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Policy for implementation of this program is contained in the National Watershed Program Manual and NRCS General Manual. Program fact sheets, case studies, and guidelines are available from NRCS State Offices and can also be accessed through the NRCS website (www.nrcs.usda.gov).

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Kevin L. Farmer, Branch Chief, Watershed Programs Branch,
United States Department of Agriculture Natural Resources Conservation
Service Conservation Planning & Technical Assistance Division 1400 Independence
Avenue, SW,
Washington DC 20250
Email: kevin.farmer@wdc.usda.gov
Phone: (202) 720-3413
Fax:

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/landscape/wr/>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 12.104 Flood Plain Management Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) The existing watershed project must meet the requirements of the law; (2) operation and maintenance of the existing dam must be current; (3) the local sponsors must agree to carry out the watershed project including acquiring land rights, permits, local cost-share, authority to levy taxes, condemnation authority, and ability for operating and maintaining the rehabilitated dam for the life of the project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio.

10.917 Agricultural Management Assistance

AMA,

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Agricultural Risk Protection Act of 2000, Title I, Part Subtitle C, Section 524b, Statute 114-387, Public Law 106-224, 7 USC Code 1524.

OBJECTIVES:

To provide financial assistance to producers on private lands through contracts to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

Eligible producers in approved states may receive financial assistance to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

Applicant Eligibility:

Anyone/general public; The land must be in one of the 16 following eligible states: Connecticut, Delaware, Hawaii, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming.

Beneficiary Eligibility:

State; Applicants must have control of the land for the length of the contract which may not be more than 10 years. Applicants are subject to the Adjusted Gross Income (AGI) Limitations under Section 1001D of the Food Security Act of 1985.

Credentials/Documentation:

The land must be in one of the 16 following eligible states: Connecticut, Delaware, Hawaii, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Potential applicants must submit documents to the appropriate local NRCS or Conservation District office.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms are available in USDA Service Centers as well as in an automated format on the Internet on the USDA NRCS homepage.

Award Procedure:

Participants must sign a contract which may not be more than 10 years in length based on the conservation plan to receive financial assistance. Financial assistance payments are made directly to the applicant upon satisfactory completion on identifiable units of work. Approval of contracts are made by an authorized representative of the Commodity Credit Corporation (CCC).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Variable, no specified time. Approval will depend on volume of applications received and available funds.

Appeals:

Other. Any participant shall have the right to appeal final adverse or potentially adverse decisions made by NRCS.

Renewals:

Other. Contracts that are scheduled for less than 10 years may be extended up to the ten-year contract limit, in order for the participant to complete the practices scheduled in the contract. However, the participant must apply all practices within

ASSISTANCE LISTINGS DESCRIPTIONS

of the contract duration.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. AMA participants are eligible for financial assistance payments of up to 75 percent of the estimated incurred cost. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Long term contracts may not be more than 10 years in length. Financial assistance is paid when the practice is performed. Method of awarding/releasing assistance: lump sum for practice completion. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

Salaries and Expenses FY 20 \$888,000.00; FY 21 est \$943,000.00; FY 22 est \$943,000.00; Direct Payments for Specified Use FY 20 \$3,609,000.00; FY 21 est \$3,772,000.00; FY 22 est \$3,772,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1465

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Ronnie Maurer, Acting Branch Chief, Programs Branch, Financial Assistance Programs Division,
1400 Independence Ave, SW; Room 4534-S.,
Washington DC 20250
Email: ronnie.maurer@usda.gov
Phone: 202-819-6368
Fax:

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A State developed ranking process and ranking criteria will be used. The ranking criteria will emphasize: the construction or improvement of water management structures or irrigation structures; planting of trees for windbreaks or to improve water quality; and mitigating financial risk through production or marketing

diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

10.920 Grassland Reserve Program

(GRP),

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

Title Food Security Act of 1985, , Public Law 99-198, Title The Agricultural Act of 2014, , Public Law 113-79

OBJECTIVES:

To assist landowners and operators in restoring and protecting eligible grazing lands, including rangeland, pastureland and certain other lands through rental contracts and easements.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

GRP easements and rental contracts emphasize support for working grazing lands. Easements and rental contracts (1) permit grazing on the land in a manner that is consistent with maintaining the viability of the native and natural grass, shrub, forest, and wildlife species adapted to the locality; (2) permit haying, mowing, or harvesting for seed production, except during the nesting and brood-rearing seasons for birds in the area that are in significant decline; (3) allow for fire rehabilitation and construction of firebreaks, fences, watering facilities and practices that protect and restore the grasslands functions and values; and (4) prohibit the production of row crops, fruit trees, vineyards, or any other agricultural commodities. Both easements and rental contracts require that the land is managed to maintain the viability of the plant community as described in the conservation or grazing management plan.

Applicant Eligibility:

Individual/Family; Anyone/general public; GRP is available on privately owned lands. Eligible land includes grassland, land that contains forbs, or shrubs, including rangeland and pasture land; or land that is located in an area that has historically been dominated by grassland, forbs, and shrubs; and has potential to provide habitat for animal or plant populations of significant ecological value. Incidental lands, in conjunction with eligible land, may also be considered for enrollment to allow for the efficient administration of an easement or rental contract.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Only landowners may submit applications for easements; landowners and others who have general control of the acreage may submit applications for rental contracts. Easements may also be acquired by eligible entities based on a 50 percent cost-share with the Federal government. Eligible entities are defined as units of State, local or Tribal government or nongovernmental organizations that have a charter describing a commitment to conserving rangeland, agricultural land, or grassland for grazing and conservation purposes. All participants are subject to the Adjusted Gross Income Provision set forth in 7 CFR Part 1400.

Credentials/Documentation:

Eligible entities must demonstrate relevant experience and resources to administer a GRP easement. Its charter or mission describes its long term commitment to conserving rangeland, agricultural land, or grassland for grazing and conservation purposes. NRCS evaluates an entities' capacity to acquire, manage and enforce easements; its staffing and the ability of an entity to provide matching funds before entering into a cooperative agreement. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. USDA established criteria to evaluate and rank applications for easement and rental contract enrollment. Ranking criteria emphasized support for high

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quality grasslands and shrublands; grazing operations; plant and animal biodiversity; and grasslands under threat of conversion to cropping or development. Individual project selection was made by State level USDA officials.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Award Procedure:

NRCS State Offices ranked the individual parcels in the applications based on ranking criteria established by the State Technical Committee. The ranking factors were developed based on national and State priorities.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Within a Federal fiscal year (October 1 September 30) based on funding availability.

Appeals:

Other. Applicants or participants may appeal decisions regarding this program in accordance with 7 CFR Part 614 and Parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. For GRP acquisitions made through a Cooperative Agreement, each eligible entity is required to provide, in cash, at least 50 percent of the purchase price of the conservation easement. Purchase price is defined as the appraised fair market value minus any landowner donation. The landowner donation is not a cash donation, but is merely the reduction in value the landowner will accept as payment for the sale of the conservation easement. Each eligible entity is required to fund its own administrative costs in acquiring easements, such as surveys, appraisals, deed preparation, title searches, and costs incurred in restoring, managing and enforcing the easements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Easement duration is either perpetual, or the maximum duration permitted by State law. Financial assistance for easement acquisition is provided at closing. For rental contracts, payments are made annually on the agreement anniversary date of USDA approval. For both easements and rental contracts, if restoration activities are required, financial assistance for such activities is provided when required practices are established. Method of awarding/releasing assistance: lump sum.

Reports:

Annual reports on the status of the easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Records:

Records of easements acquired will be maintained for the life of the easements by the eligible entity and NRCS.

Account Identification:

12-1004-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$12,000.00; FY 21 est \$3,682,000.00; FY 22 est \$39,000.00; Salaries and Expenses FY 20 \$5,568,000.00; FY 21 est \$1,483,000.00; FY 22 est \$4,420,000.00;

Range and Average of Financial Assistance:

The 5 year average estimated cost per acre for easement acquisition was approximately \$400, ranging from \$65 per acre to over \$30,000 per acre. The

average estimated cost per acre for rental contracts was \$134 per acre over the life of the rental contract. Over 250 GRP easements were acquired on over 117,000 acres.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

GRP rental contracts are limited to \$50,000 per person per year. GRP restoration agreements are also limited to \$50,000 per person per year. Grassland Reserve Program Website; www.nrcs.usda.gov/programs/grp.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Program Manager Carrie Lindig,
1400 Independence Ave, SW,
Washington DC 20250
Email: Carrie.Lindig@usda.gov
Phone: 202-720-1882

Fax:

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.072 Wetlands Reserve Program; 10.913 Farm and Ranch Lands Protection Program; 10.931 Agricultural Conservation Easement Program ; 10.069 Conservation Reserve Program; 10.922 Healthy Forests Reserve Program (HFRP);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.921 Conservation Security Program

(CSP).

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

Title XII, subtitle D, chapter 2, subchapter A of the Food Security Act of 1985, 16U.S.C. 3838-3838c, as added by the Farm Security and Rural Investment Act of 2002, Pub.L.107-171, as amended by Section 2301 of the Food, Conservation, and Energy Act of 2008, Pub. L. 110-246. The Agricultural Act of 2014 did not make any changes to the authority for NRCS to continue to make payments on existing Security contracts entered into prior to FY 2009.,

OBJECTIVES:

The CSP provides financial and technical assistance to promote the conservation and improvement of soil, water, air, energy, plant and animal life, and other conservation purposes on Tribal and private working lands. Working lands include cropland, grassland, prairie land, improved pasture, and range land, as well as forested land that is an incidental part of an agriculture operation. The goal of CSP is to identify and reward those farmers and ranchers who are meeting the highest standards of conservation and environmental management on their operations and to support ongoing stewardship of private agricultural lands by providing payments for maintaining and enhancing natural resources.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

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Applicants may offer eligible land on a portion of their agricultural operation to enter into a five year contract, or entire agricultural operation for a five to ten year contract. Subject to the acceptance of an offer by the Federal Government, the participant shall agree to participate as outlined in the CSP stewardship plan and contract. The contract will include appropriate stewardship, new practice, existing practice, and enhancement payments. Subject to the availability of funds, CCC will make stewardship, existing practice, new practice or enhancement payments at the rates specified in this contract after a determination by CCC that an eligible practice or activity has been established in compliance with the CSP plan of operations and in accordance with appropriate standards and specifications.

Applicant Eligibility:

Individual/Family; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants must have applied prior to Oct. 1, 2008. Applicants may offer eligible land on a portion of their agricultural operation to enter into a five year contract, or entire agricultural operation for a five to ten year contract. Subject to the acceptance of an offer by the Federal Government, the participant shall agree to participate as outlined in the CSP stewardship plan and contract. The contract will include appropriate stewardship, new practice, existing practice, and enhancement payments. Subject to the availability of funds, CCC will make stewardship, existing practice, new practice or enhancement payments at the rates specified in this contract after a determination by CCC that an eligible practice or activity has been established in compliance with the CSP plan of operations and in accordance with appropriate standards and specifications.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Native American Organizations; Land/Property Owner; An individual producer, partnership, association, corporation, estate, trust, other business or other legal entities controlling eligible lands. The term producer means an owner, operator, landlord, tenant or sharecropper that shares in the risk of producing any crop or livestock; and must be entitled to share in the crop or livestock available for marketing from an agricultural operation. An applicant must be in compliance with highly erodible land and wetland conservation provisions, and average adjusted gross income requirements. Lands enrolled in the Conservation Reserve Program, Wetlands Reserve Program, the Grassland Reserve Program pursuant to 16 U.S.C. 3838n, public land including land owned by a Federal, State or local unit of government are not eligible for enrollment in CSP and may not receive CSP payments.

Credentials/Documentation:

The participant must have control of the land offered for the length of the contract period. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Applicants must have applied prior to Oct. 1, 2008.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Conduct a CSP self-assessment and benchmark condition inventory, and submit an intention to enroll to the local NRCS office that serves the area in which the agricultural operation is located during the designated sign-up period.

Award Procedure:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 60 to 180 days after the application is filed with the NRCS.

Appeals:

Other. An applicant or a participant may obtain administrative review of an adverse decision. Participants cannot appeal payment rates, payment limits, and cost-share percentages, eligible conservation practices, or other matters of general applicability. Before a participant can seek judicial review, the participant must

exhaust all administrative appeal procedures.

Renewals:

Other. The conservation security contract may be renewed, as appropriate, for an additional period of not less than 5, nor more than 10 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Payments may have as many as four components including a base conservation stewardship payment, existing practice payment, new practice cost-share payment, and enhancement payment. Payments will be made as described in the contract in return for the implementation and/or maintenance of a specified level of conservation treatment on all or part of the agricultural operation. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The schedule of payments will be specified in the conservation stewardship contract based on calculations identified in the rule and sign up notice. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained by the participant, as appropriate and in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement.

Account Identification:

12-1004-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$42,000.00; FY 21 est \$463,000.00; FY 22 est \$0.00; Salaries and Expenses FY 20 \$1,000.00; FY 21 est \$24,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program was announced through news media, local conservation districts, and in letters to agricultural landowners in the county. Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Ronnie Maurer, Acting Branch Chief, Programs Branch, Financial Assistance Programs Division,
1400 Independence Ave, SW Room 4534-S.,
Washington DC 20250
Email: ronnie.maurer@usda.gov
Phone: (202) 819-6368

Fax:

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

10.912 Environmental Quality Incentives Program; 10.917 Agricultural Management Assistance; 10.904 Watershed Protection and Flood Prevention; 10.924 Conservation Stewardship Program; 10.914 Wildlife Habitat Incentive Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

10.922 Healthy Forests Reserve Program (HFRP)

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Healthy Forest Restoration Act of 2003, Title V, Section 501, Statue 117-1911, Public Law 108-148, 16 USC Code 6571-6578.

OBJECTIVES:

The purpose of the Healthy Forests Reserve Program (HFRP) is to assist landowners, on a voluntary basis, in restoring, enhancing and protecting forestland resources on private lands through easements, 30-year contracts and 10-year cost-share agreements. The objectives of HFRP are to: 1. Promote the recovery of endangered and threatened species; under the Endangered Species Act of 1973 (ESA); 2. Improve plant and animal biodiversity; 3. Enhance carbon sequestration.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

HFRP restoration agreements and easements are designed for working Agricultural lands. HFRP is a voluntary program to assist landowners in restoring, enhancing and maintaining forest ecosystems on private lands through easements, contracts, and restoration agreements. Easements, contracts and restoration agreements shall be maintained in accordance with HFRP goals and objectives for the duration of the restoration agreement and easement including the restoration, protection, enhancement, maintenance, and management of habitat for listed species within a forest ecosystem's functions and values.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Individual/Family; NRCS, in coordination with FWS or NMFS, will determine whether land is eligible for enrollment and whether once found eligible, the lands may be included in the program based on the likelihood of successful restoration, enhancement and protection of forest ecosystem functions and values when considering the cost of acquiring the easement, 30-year contract, or 10-year cost share agreement and the restoration, protection, enhancement, maintenance, and management costs. Land will be considered eligible for enrollment in the HFRP only if NRCS determines that: (i) Such private land will contribute to the restoration or enhancement of the habitat or otherwise measurably increase the likelihood of recovery for a selected species listed under section 4 of the ESA; and (ii) Such private land will contribute to the restoration or enhancement of the habitat or otherwise measurably improve the well-being of a selected species not listed under Section 4 of the ESA but is a candidate for such listing, or the selected species is a State-listed species, or is a species identified by the Chief for special consideration for funding. NRCS may also enroll land adjacent to eligible land if the enrollment of such adjacent land would contribute significantly to the practical administration of the easement area, but not more than it determines is necessary for such contribution. To be enrolled in the program, eligible land must be configured in a size and with boundaries that allow for the efficient management of the area for easement purposes and otherwise promote and enhance program objectives.

Beneficiary Eligibility:

Land/Property Owner; American Indian; To be eligible to enroll an easement in the HFRP, an individual or entity must (1) Be the landowner of eligible land for which enrollment is sought; (2) Agree to provide such information to NRCS, as the agency deems necessary or desirable, to assist in its determination of eligibility for program benefits and for other program implementation purposes. In the case of acreage owned by an Indian tribe, NRCS may enroll acreage into the HFRP which is privately owned by either the tribe or an individual.

Credentials/Documentation:

Under the HFRP, NRCS will purchase conservation easements from, or enter into 30-year contracts or 10-year cost-share agreements with, eligible landowners who voluntarily cooperate in the restoration and protection of forestlands and associated lands. To participate in HFRP, a landowner will agree to the implementation of a HFRP restoration plan, the effect of which is to restore, protect, enhance, maintain, and manage the habitat conditions necessary to increase the likelihood of recovery of listed species under the ESA, or measurably improve the well-being of species that are not listed as endangered or threatened under the ESA but are candidates for such listing, State-listed species, or species identified by the Chief for special consideration for funding. NRCS may provide cost-share assistance for the activities that promote the restoration, protection, enhancement, maintenance and management of forest ecosystem functions and values. Specific restoration, protection, enhancement, maintenance and management activities may be undertaken by the landowner or other NRCS designee. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Any owner meeting eligibility criteria may submit an application for participation. Applications are accepted in local USDA Service Center Offices during announced enrollment sign-up periods. USDA will establish criteria to evaluate and rank applications for easement and restoration agreement enrollment, criteria will be detailed in the sign-up notice. NRCS will identify through a sign-up notice process the geographic scope and ranking priorities for that particular sign-up.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted to local NRCS field office staff for ranking and prioritization. Selected applications will be reviewed by NRCS staff to determine all eligibility criteria have been met and then be entered into appropriate NRCS systems tools for funding consideration.

Award Procedure:

Offers of enrollment. Based on the priority ranking, NRCS will notify an affected landowner of tentative acceptance into the program. This notice of tentative acceptance into the program does not bind NRCS or the United States to enroll the proposed project in HFRP, nor does it bind the landowner to convey an easement, or to contract or agree to HFRP activities. The letter notifies the landowner that NRCS intends to continue the enrollment process on their land unless otherwise notified by the landowner. Acceptance of offer of enrollment. An agreement to purchase or a restoration cost-share agreement or contract will be presented by NRCS to the landowner which will describe the easement, agreement, or contract area; the easement, agreement, or contract terms and conditions; and other terms and conditions for participation that may be required by NRCS. Effect of the acceptance of the offer. After the agreement to purchase or restoration cost-share agreement or contract is executed by NRCS and the landowner, the land will be considered enrolled in the HFRP. For easements, NRCS will proceed with various easement acquisition activities, which may include conducting a survey of the easement area, securing necessary subordination agreements, procuring title insurance, and conducting other activities necessary to record the easement or implement the HFRP, as appropriate for the enrollment option being considered. For restoration cost-share agreements and contracts, the landowner will proceed to implement the restoration plan with technical assistance and cost-share from NRCS. Withdrawal of offers. Prior to execution of an agreement to purchase, a restoration cost-share agreement, or contract between the United States and the landowner, NRCS may withdraw the land from enrollment at any time due to lack

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of availability of funds, inability to clear title, or other reasons. An agreement to purchase will be void, and the offer withdrawn, if not executed by the landowner within the time specified.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Within a Federal fiscal year (October 1 September 30) based on funding availability.

Appeals:

Other. A person participating in the HFRP may obtain a review of any administrative determination concerning eligibility for participation utilizing the administrative appeal regulations provided in 7 CFR Part 614.

Renewals:

Other. If not selected for funding, the land offered may be re-offered in a future sign-up unless the land or landowner is ineligible

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. not specified MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Land may be enrolled in HFRP in accordance with a 10-year restoration cost-share agreement, a 30-year easement, a 30-year contract, or a permanent easement or the maximum duration permitted by State law. 30-year contracts are only available to acreage owned by Indian Tribes. Enrollment into an easement or 30-year contract may take from 18-24 months to complete the process. Time required to enroll in a restoration agreement is typically significantly less. Financial assistance for easement acquisition or contract enrollment is provided by NRCS at the time of acquisition. For easements, contracts, and restoration agreements, if restoration activities are required, financial assistance for such activities is provided when required practices are established and certified by NRCS. Technical assistance is available for the length of the easement or restoration agreement. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. If cooperative agreements are utilized, then in accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be recorded in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-1090-0-1-302. 12-1004-0-1-302.

Obligations:

Salaries and Expenses FY 20 \$171,000.00; FY 21 est \$1,018,000.00; FY 22 Estimate Not Available; Direct Payments for Specified Use FY 20 \$31,000.00; FY 21 est \$6,693,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

The average estimated cost per acre for easement acquisition was approximately \$1,048. Congressional authorization of funds for HFRP is discretionary.

TAFS Codes:

12-1090. 12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HFRP Regulations are published in the Federal Register and 7 CFR Part 625.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Lisa McCauley,
1400 Independence Ave, SW,
Washington DC 20250
Email: Lisa.McCauley@usda.gov
Phone: 202-494-5716
Fax:

Website Address:

<http://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.931 Agricultural Conservation Easement Program ; 10.932 Regional Conservation Partnership Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on the specific criteria set forth in a sign-up announcement and the applications for participation, NRCS, in coordination FWS and NMFS, may consider the following factors to rank properties: (1) Estimated conservation benefit to habitat required by threatened or endangered species listed under section 4 of the ESA; (2) Estimated conservation benefit to habitat required by species not listed as endangered or threatened under section 4 of the ESA but that are candidates for such listing, State-listed species, or species identified by the Chief for special consideration for funding; (3) Estimated improvement of biological diversity, if enrolled; (4) Potential for increased capability of carbon sequestration, if enrolled; (5) Availability of contribution of non-Federal funds; (6) Significance of forest ecosystem functions and values; (7) Estimated cost-effectiveness of the particular restoration cost-share agreement, contract, or easement, and associated HFRP restoration plan; and (8) Other factors identified in a HFRP sign-up notice; (a) NRCS may place higher priority on certain forest ecosystems based regions of the State or multi-State area where restoration of forestland may better achieve NRCS programmatic and sign-up goals and objectives. (b) Notwithstanding any limitation of this part, NRCS may enroll eligible lands at any time in order to encompass project areas subject to multiple land ownership or otherwise to achieve program objectives. Similarly, NRCS may, at any time, exclude otherwise eligible lands if the participation of the adjacent landowners is essential to the successful restoration of the forest ecosystem and those adjacent landowners are unwilling to participate. (c) If available funds are insufficient to accept the highest ranked application, and the applicant is not interested in reducing the acres offered to match available funding, NRCS may select a lower ranked application that can be fully funded. In cases where HFRP funds are not sufficient to cover the costs of an application selected for funding, the applicant may lower the cost of the application by changing the duration of the easement or agreement or reducing the acreage offered, unless these changes result in a reduction of the application ranking score below that of the score of the next available application on the ranking list.

10.923 Emergency Watershed Protection Program

ASSISTANCE LISTINGS DESCRIPTIONS

EWP,

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Title III, Part Subtitle H, Section 382, Statute 110-1016, Public Law 104-127, 16 USC Code 2203. Agricultural Credit Act of 1978, Section 403, Public Law 95-334, 33 USC Code 701B-1. Section 216 of the Flood Control Act of 1950, Section 216, Public Law 81-516, 33 USC Code 701b. American Recovery and Reinvestment Act of 2009, , Statute 123-117, Public Law 111-5, Title Bipartisan Budget Act of 2018, , Public Law 115-123, Title Additional Supplemental Appropriation for Disaster Relief Act of 2019, , Public Law 116-20

OBJECTIVES:

The objective of the EWP Program is to assist sponsors, landowners, and operators in implementing emergency recovery measures for runoff retardation and erosion prevention to relieve imminent hazards to life and property created by a natural disaster that causes a sudden impairment of a watershed.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

All EWP work must reduce threats to life and property and must be economically, environmentally, and socially defensible and sound from a technical standpoint. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the U.S. Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services.

Applicant Eligibility:

Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; Public and private landowners are eligible for assistance but must be represented by a project sponsor. Project sponsor means a State government or a State agency or a legal subdivision thereof, local unit of government, or any Native American tribe or tribal organization as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), with a legal interest in or responsibility for the values threatened by a watershed emergency; is capable of obtaining necessary land rights, and permits; and is capable of carrying out any operation and maintenance responsibilities that may be required. Sponsors are also responsible for furnishing the local cost share and for accomplishing the installation of work. Work can be done either through Federal or local contracts. Emergency watershed protection is authorized in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; Public nonprofit institution/organization; Other public institution/organization; None

Credentials/Documentation:

Sponsors must submit a formal request to the State Conservationist for assistance within 60 days of the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. Requests must include a statement that the sponsors understand their responsibilities and are willing to pay its cost-shared percentage as well as information pertaining to the natural disaster, including the nature, location, and scope of the problems and the assistance needed. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Potential sponsors submit formal requests for assistance to the NRCS state conservationist.

Award Procedure:

Project sponsors seeking EWP assistance must apply to the STC in writing within 60 days of the natural disaster occurrence. Project sponsors may use SF 424 Application for Federal Assistance but must ensure that following information is provided: (1) A description of the problems encountered; (2) Assistance needed, including the following: Nature of the problem; Location; Scope of the problem; Commitment by the sponsor to assume the following responsibilities: local cost-share; Land rights; acquisition; Permits; Operations and Maintenance (O and M), A statement indicating that funds have either been exhausted or are insufficient to provide adequate recovery measures from the applicable hazards; A statement identifying any other State or Federal funding received or application submitted. On receipt of a formal request for EWP assistance, the State Conservationist or designee shall immediately investigate the emergency situation to determine whether EWP is applicable and to prepare an initial cost estimation for submission to the NRCS Chief or designee. The cost estimation will be submitted no later than 60 days from receipt of the formal request from the sponsor. NRCS may not commit funds until notified by the Chief, or designee, of the availability of funds. Before the release of financial assistance, NRCS will enter into a Cooperative Agreement with a sponsor that specifies the responsibilities of the sponsor under this part, including any required operation and maintenance responsibilities. NRCS will not provide funding for activities undertaken by a sponsor prior to the signing of the agreement between NRCS and the sponsor.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Timeline is dependent upon when funding is appropriated. The EWP program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Other. Only NRCS decisions relating to eligibility for the EWP Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the US Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Continues until all EWP Program recovery measures are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are due on the 15th of each month on projects. Cash reports are not applicable. Final reports requesting payments are prepared for each project within 90 days of completion. A benefit and accomplishment report is prepared for the fiscal year based upon final reports. Final report: When all emergency recovery measures for a disaster event (5000 series project number) are complete, the STC will submit a final report to the national EWP program manager within 90 days after the expiration of the last project agreement of the disaster event. The final report must include A. The emergency recovery measures installed. B. The benefits provided

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records of operation are maintained for the life of the project.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

12-1072-0-1-301.

Obligations:

Salaries and Expenses FY 20 \$32,410,306.00; FY 21 est \$43,564,769.00; FY 22 est \$0.00; Cooperative Agreements (Discretionary Grants) FY 20 \$220,531,834.00; FY 21 est \$130,648,836.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1072. 12-1073.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Kevin Farmer, Branch Chief, Watershed Programs Branch,
United States Department of Agriculture Natural Resources Conservation Service
Conservation Planning & Technical Assistance Division 1400 Independence Ave.,
SW.,
Washington DC 20250
Email: kevin.farmer@usda.gov
Phone: 202-720-3413
Fax:

Website Address:

<https://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/landscape/ewp/>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EWP projects are eligible when it is determined that the current condition of the land or watershed impairment poses a threat to health, life, and property. Assistance includes EWP practices that provide runoff retardation and soil erosion prevention, safeguard lives and property, and relieve an imminent threat that is a result of a sudden impairment of a watershed due to a natural disaster.

10.924 Conservation Stewardship Program

Conservation Stewardship Program (CSP),

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Title XII, subtitle D, chapter 4, subchapter B of the Food Security Act of 1985, Title , , 16 USC Code 3838d-3838g. Title Agriculture Act of 2018, , Public Law 115-334

OBJECTIVES:

Through CSP, NRCS provides financial and technical assistance to eligible producers to conserve and enhance soil, water, air, and related natural resources on their land. Eligible lands include cropland, grassland, prairie land, pastureland, rangeland, nonindustrial private forest lands, agricultural land under the jurisdiction of an Indian tribe, and other private agricultural land (including cropped woodland, marshes, and agricultural land used for the production of livestock) on which resource concerns related to agricultural production could be

addressed. Participation in the program is voluntary. CSP encourages land stewards to improve their conservation performance by installing and adopting additional activities, and improving, maintaining, and managing existing activities on agricultural land and nonindustrial private forest land. The NRCS will make CSP available nationwide on a continuous application basis.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

CSP is available on Tribal and private agricultural lands, as well as nonindustrial private forest lands in all 50 States, the District of Columbia, and the Caribbean and Pacific Islands Areas. The entire operation must be enrolled and must include all eligible land that will be under the applicant's control for the term of the proposed contract that is operated substantially separate from other operations. Land enrolled in the Conservation Reserve Program (7 CFR part 1410), a Wetlands Reserve Easement through the Agricultural Conservation Easement Program, and the Conservation Security Program (CFR part 1469) are ineligible for CSP. Additionally, a participant may not receive payment for land used for crop production after February 7, 2014, that had not been planted, considered to be planted, or devoted to crop production for at least four of the six years preceding that date, unless the land was: previously enrolled in the Conservation Reserve Program; maintained using long-term rotations, such as hayland in rotation; or incidental to the operation but needed for the efficient management of the operation. An example of land considered incidental to the operation that may be eligible for payment is land that had once been used for buildings and is now being used for crop production to square up a cropland field.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; The program provides equitable access to all producers, regardless of operation size, crops produced, or geographic location. Individual producers, legal entities, corporations, and Indian Tribes may be eligible for the program. Eligible lands include cropland, grassland, prairie land, pastureland, rangeland, nonindustrial private forest land, and agricultural land under the jurisdiction of an Indian tribe. Applicants must have documented control of the land for the term of the proposed contract; include the eligible land in their entire operation as represented for other USDA programs; and be in compliance with the highly erodible land and wetland conservation provisions of 7 CFR Part 12, and adjusted gross income provisions of 7 CFR part 1400. The applicants operation must also meet a stewardship threshold.

Beneficiary Eligibility:

Individual/Family; Farmer/Rancher/Agriculture Producer; Land/Property Owner; State; Local; The program provides equitable access to all producers, regardless of operation size, crops produced, or geographic location. Individual producers, legal entities, corporations, and Indian Tribes may be eligible for the program. Eligible lands include cropland, grassland, prairie land, pastureland, rangeland, nonindustrial private forest land, and agricultural land under the jurisdiction of an Indian tribe. As stated in the Federal Register, 1470.6a. Applicants must be the operator of record in the USDA farm records management system for the eligible land being offered for enrollment; have documented control of the land for the term of the proposed contract; include the eligible land in their entire operation as represented for other USDA programs; and be in compliance with the highly erodible land and wetland conservation provisions of 7 CFR Part 12, and adjusted gross income provisions of 7 CFR part 1400. The applicants operation must also meet a stewardship threshold.

Credentials/Documentation:

The participant must have control of the land offered for the length of the contract period. NRCS requires all program payments be made by direct deposit unless the participant requests a waiver as described in 31 CFR Part 208.4, paragraph (a). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O.

ASSISTANCE LISTINGS DESCRIPTIONS

12372. A programmatic environmental assessment has been prepared in association with this rulemaking. The analysis has determined that there will not be a significant impact to the human environment and as a result an Environmental Impact Statement is not required to be prepared (40 CFR part 1508.13).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Once applicant and land eligibility are determined, the NRCS field office will assist the producer with completing the resource inventory to determine if the stewardship threshold requirement is met, rank applications, and establish payments. Applicants will be ranked relative to other applicants who face similar resource challenges in State-established ranking pools using ranking scores. Upon approval, the contract will obligate the participant to achieve a higher level of conservation performance by installing additional activities scheduled in their conservation stewardship plan and to maintain the level of existing conservation performance identified at the time of application.

Deadlines:

Continuous enrollment. Periodic ranking cut-off dates posted on Agency website.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 15 to 30 days. A participant may obtain administrative review in accordance with 7 CFR parts 11 and 614 for an adverse decision. Participants cannot appeal payment rates, payment limits, and cost-share percentages, eligible conservation practices, or other matters of general applicability. Before a participant can seek judicial review, the participant must exhaust all administrative appeal procedures.

Renewals:

Other. At the end of an initial conservation stewardship contract, NRCS will allow a participant to compete for a renewal contract to receive payments for an additional five year period, subject to the availability of funds. To be considered for contract renewal, the participant must be in compliance with the terms of their initial contract as determined by NRCS; add any newly acquired eligible land that is part of the agricultural operation and meets minimum treatment criteria as established and determined by NRCS; meet stewardship thresholds for additional priority resource concerns as determined by NRCS; and agree to adopt conservation activities as determined by NRCS.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

NRCS will make payments as soon as practicable after October 1 of each fiscal year for activities carried out in the previous fiscal year. CSP payments to a person or legal entity may not exceed \$200,000 for contracts entered into FY 2019 through FY 2023. Each CSP contract will be limited to \$200,000 over the term of the initial contract period. The above limitations exclude funding arrangements with federally recognized Indian tribes or Alaska Native corporations. Annual payment. Method of awarding/releasing assistance: lump sum.

Reports:

The designated conservationist will review contract implementation with the participant annually. Annual reviews will be completed early enough in the year to allow for completion of any necessary corrective actions prior to issuance of the annual payment

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

As stipulated in 1470.6 (a), the applicant must make available to NRCS upon request appropriate records documenting applied conservation activity and

production system information. NRCS will request records when conducting field verification with pre-approved applicants.

Account Identification:

12-1004-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$697,018,000.00; FY 21 est \$526,065,000.00; FY 22 est \$575,871,000.00; Salaries and Expenses FY 20 \$1,522,411,000.00; FY 21 est \$544,762,000.00; FY 22 est \$189,066,000.00;

Range and Average of Financial Assistance:

CSP payments to a person or legal entity may not exceed \$200,000 for contracts entered into during FY 2019 through FY 2023. Each CSP contract is limited to \$200,000 over the term of the initial contract period. The above limitations exclude funding arrangements with federally recognized Indian tribes or Alaska Native corporations as described in 7 CFR part 1470+.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congress authorized the enrollment of an additional 10,000,000 acres for each fiscal year (FY) for the period beginning February 7, 2014, and ending on September 30, 2019.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Ronnie Maurer, Acting Branch Chief, Programs Branch, Financial Assistance Programs Division, Natural Resources Conservation Service,
1400 Independence Avenue SW; Room 4534-S.,
Washington DC 20250
Email: ronnie.maurer@usda.gov

Phone: (202) 8196368

Fax:

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/financial/csp/>

RELATED PROGRAMS:

10.912 Environmental Quality Incentives Program; 10.917 Agricultural Management Assistance; 10.904 Watershed Protection and Flood Prevention; 10.914 Wildlife Habitat Incentive Program; 10.921 Conservation Security Program ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications which meet the sign-up criteria will be placed in an enrollment category until field verification against records is made, upon which applications will be funded in priority order until the available funds are exhausted.

10.925 Agricultural Water Enhancement Program

(AWEP).

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

The Food Security Act of 1985. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date., . Public Law 99-198, 16 USC Code 3801.

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

AWEP as part of the Environmental Quality Incentives Program, promotes ground and surface water conservation and improved water quality on agricultural lands. As authorized by Congress, this is not a grant program to eligible partners. This is a program whereby approved, eligible partners will enter into multi-year agreements with NRCS to promote ground and surface water conservation, or improve water quality on eligible agricultural lands

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural, and land management practices. Cost-share payments may be made to implement one or more eligible structural or vegetative practices. Incentive payments can be made to implement one or more land management practices.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Non-Government - General; Individual/Family; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Partners representing agricultural producers who face serious threats to soil, water, and related natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands or non-industrial forestlands. Limited resource producers, small-scale producers, socially disadvantaged individuals, federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply. Eligible partners include: Federally recognized Indian Tribes, States, units of local government, agricultural or silvicultural associations or other groups of such producers, or other nongovernmental organization with experience working with agricultural producers

Beneficiary Eligibility:

Anyone/general public; Land/Property Owner; To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provides either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Under AWEP, the NRCS enters into partnership agreements with eligible entities and organizations that want to promote ground and surface water conservation or improve water quality on agricultural lands. After the Chief has announced approved AWEP project areas, eligible agricultural producers may submit a program application. Each fiscal year, NRCS may make AWEP financial and technical assistance available to eligible owners and operators of agricultural lands who participate in approved AWEP project areas. Partners may assist NRCS by identifying producers in the project area. Partners may also assist producers in submitting applications for the program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7,

2014. Therefore, no new enrollments are authorized for this program after that date.

Award Procedure:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Appeals:

Other. A participant may appeal any adverse determination to the Chief of the Natural Resources Conservation Service

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Conservation practices may be eligible for conservation payments up to 75 percent of the total cost of establishing the practice including costs of foregone income. Limited resource producers, socially disadvantaged producers and beginning farmers and ranchers may be eligible for payments up to 90 percent of the approved practice cost. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

An agricultural producer may apply directly to NRCS for financial or technical assistance to implement agricultural water enhancement activities. Producers who apply directly to NRCS must be eligible for EQIP and selected applications will be subject to applicable EQIP requirements. Eligible partners enter into multi-year agreements with NRCS to promote ground and surface water conservation or improve water quality on eligible agricultural lands. AWEP is intended to leverage investment in natural resources conservation along with services and non-Federal resources of other eligible partners. Eligible partners include: Federally recognized Indian Tribes, States, units of local government, agricultural or silvicultural associations or other groups of such producers such as an irrigation association, an agricultural land trust, or other nongovernmental organization with experience working with agricultural producers. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Reports shall also address partner efforts to monitor and evaluate implementation of conservation activities included in NRCS program contracts within the approved project area. Provide the NRCS agency representative with semi-annual and annual reports during the project period and a final project report that documents project accomplishments and goals achieved. Reports shall also address partner efforts to monitor and evaluate implementation of conservation activities included in NRCS program contracts within the approved project area.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 This program is excluded from coverage under 2 CFR 200, Subpart F. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Records:

Partnership Agreements and producer contracts are maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Salaries and Expenses FY 20 \$153,000.00; FY 21 est \$4,678,000.00; FY 22 est \$655,000.00; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$825,000.00; FY 22 est \$116,000.00;

Range and Average of Financial Assistance:

Conservation payments are limited to a maximum payment limitation per producer of \$300,000 for the life of the 2008 Farm Bill. Average contracts are estimated to be \$40,841. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Related program manuals, handbooks and leaflets are issued by NRCS.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>. AWEPP program information is available on the internet at <http://www.nrcs.usda.gov/programs/awep>.

Headquarters Office:

Ronnie Maurer, Acting Branch Chief, Programs Branch, Financial Assistance Program Division,
1400 Independence Avenue, SW Room 4534-S,
Washington DC 20250
Email: ronnie.maurer@usda.gov
Phone: 202-819-6368

Fax:

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/financial/awep/>

RELATED PROGRAMS:

10.912 Environmental Quality Incentives Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Include high percentages of agricultural land and producers in a region or other appropriate area; 2. Result in high levels of applied agricultural water quality and water conservation activities; 3. Significantly enhance agricultural activity; 4. Allow for monitoring and evaluation by the partner; 5. Assist agricultural producers in meeting a regulatory requirement that reduces the economic scope of the producers operation; 6. Achieve the projects land and water treatment objectives within 5 years or less; 7. Are from States with water quantity concerns where the proposal will: a. Include conservation practices that support the conversion of agricultural land from irrigated farming to dryland farming; b. Leverage Federal funds provided under the program with funds provided by partners; c. Assist producers in States with high priority water quantity concerns, as determined by the agency. The high priority areas are located in the following regions: Eastern Snake Plain Aquifer, Everglades, Ogallala Aquifer, Puget Sound, Red River, Sacramento River Basin, and Upper Mississippi River Basin. d. Include other factors and criteria as approved by the agency which help identify those proposals which best achieve the purposes of AWEPP.

10.926 Chesapeake Bay Watershed Program

(CBWP),

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

The Food Security Act of 1985. This program was repealed by the Agricultural Act

of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date., , Public Law 99-198

OBJECTIVES:

The Chesapeake Bay Watershed Program (CBWP) helps agricultural producers improve water quality and quantity, and restore, enhance, and preserve soil, air, and related resources in the Chesapeake Bay Watershed through the implementation of conservation practices. These conservation practices reduce soil erosion and nutrient levels in ground and surface water; improve, restore, and enhance wildlife habitat; and help address air quality and related natural resource concerns. CBWP encompasses all tributaries, backwaters, and side channels, including their watersheds, draining into the Chesapeake Bay.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

The Natural Resources Conservation Service (NRCS), an agency of the United States Department of Agriculture, uses CBWP funds to enter into contracts with eligible producers to share the costs of the applicable conservation treatment on agricultural lands in the Chesapeake Bay Watershed. NRCS provides payments for approved conservation practices and systems and land use adjustments within a time schedule specified by the conservation plan. The CBWP contracts may be modified to increase funds provided the increased cost is the result of a valid contract modification within the original contract scope and intent. The modification must follow the rules of the conservation program used to apply the conservation treatment.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Individual/Family; Anyone/general public; Only agricultural producers owning or operating within the Chesapeake Bay Watershed are eligible to participate in CBWP. In addition, NRCS applies the eligibility requirements of the particular natural resource program used to implement CBWP (e.g., the Environmental Quality Incentives Program (EQIP) (16 U.S.C. 3839aa et seq.), the Wildlife Habitat Incentives Program (WHIP) (16 U.S.C. 3839bb-1)). Limited resource producers, small-scale producers, beginning farmers and ranchers, socially-disadvantaged producers, and federally recognized Indian tribes are encouraged to apply.

Beneficiary Eligibility:

Federal; Individual/Family; Minority group; Anyone/general public; Land/Property Owner; State; Local; Federally Recognized Indian Tribal Governments; To be eligible, the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions at 7 CFR part 12, and in compliance with the Adjusted Gross Income (AGI) payment limitations at 7 CFR part 1400.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provide either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. Program participation is voluntary. The applicant applies to NRCS at the local USDA Service Center on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant develops a CBWP plan of operations that

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identifies what conservation practices they are proposing to implement. Technical assistance and conservation payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the conservation CBWP plan of operations. A contract with a participant may apply one or more land management practices or one or more structural practices.

Award Procedure:

This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date. NRCS applies the ranking and selection requirements of the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on locally developed ranking process. The ranking criteria of the various Farm Bill program can be found at <http://www.nrcs.usda.gov/programs>.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Appeals:

From 15 to 30 days. A participant may appeal any adverse determination to the State Conservationist.

Renewals:

Not Applicable. Not applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Matching requirements for CBWP follow the rules for the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). Typically, conservation practices may be eligible for conservation payments up to 75 percent of the total cost of establishing the practice including costs of foregone income. Limited resource producers, socially-disadvantaged producers and beginning farmers and ranchers may be eligible for payments up to 90 percent of the approved practice cost. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

CBWP contract lengths and implementation schedules will follow the rules for the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP). Obligations for assistance are tied to the schedule for applying conservation practices included in the CBWP plan of operations conservation plan used as the basis for the contract. Payments are made when the participant and NRCS certify that conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP) in accordance with 7 CFR part 652. The participant may not engage a TSP prior to contract approval. This assistance will be reimbursed based upon applicable TSP payment rates. These payments will be made after the services of the certified TSP have been provided in accordance with NRCS standards and specifications. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. NRCS makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice. Participants are subject to audit by the Office of the Inspector General, USDA.

Records:

Records are maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$122,000.00; FY 21 est \$4,641,000.00; FY 22 est \$0.00; Salaries and Expenses FY 20 \$759,000.00; FY 21 est \$3,632,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Conservation payments are limited to the rules for the particular natural resource program used to implement CBWP (e.g., EQIP, WHIP).

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The CBWP was carried out through natural resources conservation programs authorized under Subtitle D, Title XII of the Food Security Act of 1985, 16 U.S.C. 3830-3839bb-5. This program was repealed by the Agricultural Act of 2014 (2014 Farm Bill), effective February 7, 2014. Therefore, no new enrollments are authorized for this program after that date.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. A list of NRCS State offices with telephone numbers and addresses is available on the internet at <http://www.nrcs.usda.gov/about/organization/regions.html>.

Headquarters Office:

Ronnie Maurer, Acting Branch Chief, Programs Branch, Financial Assistance Programs Division,
1400 Independence Avenue, SW; Room 4534-S,,
Washington DC 20250

Email: ronnie.maurer@usda.gov

Phone: (202) 819-6368

Fax:

Website Address:

<https://www.nrcs.usda.gov/wps/portal/nrcs/detailfull/national/programs/initiatives/?cid=stelprdb1047323>

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.902 Soil and Water Conservation; 10.912 Environmental Quality Incentives Program; 10.913 Farm and Ranch Lands Protection Program; 10.914 Wildlife Habitat Incentive Program; 10.920 Grassland Reserve Program; 10.924 Conservation Stewardship Program; 10.921 Conservation Security Program ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: the environmental benefits per dollar expended; the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; whether the land is located in a priority area and the extent the contract will assist the priority area goals and objectives.

10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act

EWP - Disaster Relief (Hurricane Sandy),

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Disaster Relief Appropriations Act, , Statute 4-127, Public Law 113-2

OBJECTIVES:

The objective of the EWP Program is to assist sponsors, landowners, and operators in implementing emergency recovery measures for runoff retardation and erosion

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prevention to relieve imminent hazards to life and property created by a natural disaster that causes a sudden impairment of a watershed.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts), Salaries and Expenses

USES AND USE RESTRICTIONS:

All EWP work must reduce threats to life and property and must be economically, environmentally, and socially defensible and sound from a technical standpoint. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the U.S. Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Non-Government - General; Individual/Family; State; For this appropriation, only applicants affected by Hurricane Sandy and only in those areas declared as a major disaster are eligible for assistance through the EWP program. Public and private landowners are eligible for assistance but must be represented by a project sponsor. Project sponsor means a State government or a State agency or a legal subdivision thereof, local unit of government, or any Native American tribe or tribal organization as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), with a legal interest in or responsibility for the values threatened by a watershed emergency; is capable of obtaining necessary land rights, and permits; and is capable of carrying out any operation and maintenance responsibilities that may be required. Sponsors are also responsible for furnishing the local cost share and for accomplishing the installation of work. Work can be done either through Federal or local contracts.

Beneficiary Eligibility:

Individual/Family; Land/Property Owner; State; Local; None

Credentials/Documentation:

Sponsors must submit a formal request to the State Conservationist for assistance within 60 days of the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. Requests must include a statement that the sponsors understand their responsibilities and are willing to pay its cost-shared percentage as well as information pertaining to the natural disaster, including the nature, location, and scope of the problems and the assistance needed. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Potential sponsors submit formal requests for assistance to the NRCS state conservationist.

Award Procedure:

Project sponsors seeking EWP assistance must apply to the STC in writing within 60 days of the natural disaster occurrence. Project sponsors may use SF 424 Application for Federal Assistance but must ensure that following information is provided: (1) A description of the problems encountered; (2) Assistance needed, including the following: Nature of the problem; Location; Scope of the problem; Commitment by the sponsor to assume the following responsibilities: local cost-share; Land rights; acquisition; Permits; Operations and Maintenance (O and M), A statement indicating that funds have either been exhausted or are insufficient to provide adequate recovery measures from the applicable hazards; A statement identifying any other State or Federal funding received or application submitted. On receipt of a formal request for EWP assistance, the State Conservationist or designee shall immediately investigate the emergency situation to determine whether EWP is applicable and to prepare an initial cost estimation for submission to the NRCS Chief or designee. The cost estimation will be submitted no later than 60 days from receipt of the formal request from the sponsor. NRCS may not commit funds until notified by the Chief, or designee, of the availability of funds. Before the release of financial assistance, NRCS will enter into a Cooperative Agreement with a sponsor that specifies the responsibilities of the sponsor under this part, including any required operation and maintenance responsibilities. NRCS will not provide funding for activities undertaken by a sponsor prior to the signing

of the agreement between NRCS and the sponsor.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Timeline is dependent upon when funding is appropriated. The EWP program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Other. Only NRCS decisions relating to eligibility for the EWP Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the US Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Continues until all EWP Program recovery measures are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: other

Reports:

Progress reports are due on the 15th of each month on projects. Cash reports are not applicable. Final reports requesting payments are prepared for each project within 90 days of completion. A benefit and accomplishment report is prepared for the fiscal year based upon final reports. Final Report: When all emergency recovery measures for a disaster event (5000 series project number) are complete, the STC will submit a final report to the national EWP program manager within 90 days after the expiration of the last project agreement of the disaster event. The final report must include A. The emergency recovery measures installed. B. The benefits provided. Performance monitoring is required and conducted by COTR or GR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records of operation are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

Salaries and Expenses FY 20 \$543,414.00; FY 21 est \$5,671,545.00; FY 22 est \$0.00; Project Grants (Cooperative Agreements or Contracts) FY 20 \$0.00; FY 21 est \$15,259,179.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

12-1072.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS

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State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Kevin Farmer, Branch Chief, Watershed Programs Branch,
1400 Independence Ave, SW,
Washington DC 20250

Email: Kevin.Farmer@usda.gov

Phone: (202) 720-3413

Fax:

Website Address:

<https://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/landscape/ewpp/>

RELATED PROGRAMS:

10.923 Emergency Watershed Protection Program; 10.904 Watershed Protection and Flood Prevention;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EWP projects are eligible when it is determined that the current condition of the land or watershed impairment poses a threat to life, health and property. Assistance includes EWP practices that provide runoff retardation and soil erosion prevention, safeguard lives and property, and relieve an imminent threat that is a result of a sudden impairment of a watershed due to a natural disaster

10.928 Emergency Watershed Protection Program - Floodplain Easements Disaster Relief Appropriations Act

EWPP-FPE Additional Supplemental Appropriations for Disaster Relief Act 2019,

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013, , Statue 127-4, Public Law 113-2

OBJECTIVES:

The Emergency Watershed Protection - Floodplain Easement Program (EWPP-FPE) provides an alternative measure to traditional EWPP recovery where it is determined that acquiring an easement in lieu of recovery measures is the more economical and prudent approach to reducing a threat to life or property (see 7 CFR Part 624). The easement must be restored to the extent practicable to the natural environment and may include both structural and nonstructural practices to restore the flood storage and flow, erosion control, and improve the practical management of the easement. Structures, including buildings, within the floodplain easement must be demolished and removed, or relocated outside the 100-year floodplain or dam breach inundation area.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

NRCS may bear up to 100 percent of costs related to easement acquisition and related acquisition costs. NRCS will determine easement compensation in accordance with applicable regulation and other law. NRCS will not acquire any easement unless the landowner accepts the amount of the easement payment that is offered by NRCS. NRCS reserves the right not to purchase an easement if the easement compensation for a particular easement would be too expensive, as determined by NRCS. NRCS may provide up to 100 percent of the restoration and enhancement costs of the easement. NRCS may enter into an agreement with the landowner or another third party to ensure that identified practices are implemented. NRCS, the landowner, or other designee may implement identified practices. Restoration and enhancement efforts may include both structural and non-structural practices. An easement acquired under this EWPP-Floodplain Easement regulations shall provide NRCS with the full authority to restore, protect,

manage, maintain, and enhance the functions and values of the floodplain.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Non-Government - General; Individual/Family; State; Anyone/general public; For this appropriation, only applicants affected by the natural disaster and only in those areas declared as a major disaster are eligible for assistance through the EWPP-FPE program. Pursuant to 7 CFR 624.10(b)(2), NRCS may determine land is eligible under for EWPP-FPE if any of the following apply: 1) The floodplain lands were damaged by flooding at least once within the previous calendar year or have been subject to flood damage at least twice within the previous 10 years. 2) Other lands within the floodplain are eligible, provided the lands would contribute to the restoration of the flood storage and flow, provide for control of erosion, or that would improve the practical management of the floodplain easement. 3) Lands would be inundated or adversely impacted as a result of a dam breach. NRCS may determine that land is ineligible under this section if any of the following apply: 1) Implementation of restoration practices would be futile due to onsite or offsite conditions. 2) The land is subject to an existing easement or deed restriction that provides sufficient protection or restoration, as determined by the Chief of NRCS, of the floodplains functions and values. 3) The purchase of an easement would not meet the purposes of this part.

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; Homeowner; Land/Property Owner; State; Local; Sponsored organization; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Private and non-federal landowners are eligible for EWPP-FPE. To be eligible for FPE, the NRCS will require participating landowners to 1) Comply with the terms of the easement. 2) Comply with all terms and conditions of any associated agreement. 3) Convey title to the easement that is acceptable to NRCS and warrant that the easement is superior to the rights of all others, except for exceptions to the title that are deemed acceptable by NRCS. For projects that include parcels with residential dwellings or other structures on nonagricultural lands (such as flood mitigation efforts intended to assist families in moving from flood-prone areas as part of EWPP recovery efforts), a local sponsor will be identified and serve as the local cooperating entity for these efforts. A sponsor will be a local or State unit of government (city, county, conservation district, watershed conservancy district, or State agency) that has a local presence and staff available to assist in the implementation of the program.

Credentials/Documentation:

The landowner must provide a copy of the vesting deed to the land. If the landowner is an entity, the entity must provide a list of the individuals who comprise the entity, including the percent of ownership for each individual, to the Farm Service Agency (FSA). The entity must provide documents to FSA and NRCS that show the entity to be legal and valid in the State and which members have the authority to sign contractual documents on behalf of the entity. If funds are being requested for the purchase of easements on lands with residences or other nonagricultural structures, the State Conservationist (STC) must provide confirmation that the acquisition is part of a strategy that will facilitate the restoration of an entire reach of the floodplain. The relatively high cost of these transactions requires a greater level of documentation that the benefits to the floodplain outweigh the costs. In addition, the STC must provide confirmation that the project sponsor will acquire fee title to the easement area. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. NRCS state offices in impacted states will submit an assessment of potential level of interest in areas with eligible lands.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal

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Awards applies to this program. Landowners may only enroll land in the Emergency Watershed Protection for Floodplain Easements (EWPP-FPE) Program through a permanent easement. Landowners apply for the EWPP-FPE using the Application for Long-Term Contracted Assistance.

Award Procedure:

1) States receive applications, gather landowner information, rank applications, conduct preliminary investigations, and determine land and landowner eligibility 2) The STC will list all applications received during the announced signup period in rank order, beginning with the highest-ranked easement first. 3) States will submit an Easement Request Package to NHQ that includes documentation of land eligibility, landowner eligibility, and evidence of disaster damage. 4) Easement Request Packages will be reviewed and project selection will be conducted by the Deputy Chief for Programs. 5) Selected applications will be notified, either through an intent to continue letter for projects that will be individually appraised or through the issuance of Agreement to Purchase Conservation Easement (APCE) for projects with easement compensation determined through the Area-wide Market Analysis and Geographic Area Rate Cap process. 6) When the APCE has been signed by the applicant and the STC, the acres are considered enrolled in the program. At this time, the funds are obligated to the landowner as the vendor for the easement purchase cost only. The landowner may choose to assign all or a portion of the easement acquisition payment to the closing agent through a properly executed assignment of payment provided to NRCS along with the signed APCE. 7) The effective period of the APCE may not exceed 12 months from the date of the STCs signature. The effective period may be extended when necessary using the Option Agreement to Purchase Amendment. The STC may delegate, in writing, the authority to sign the APCE (and amendments) to the Assistant State Conservationist responsible for easements. No further delegation of this authority is allowed. 1) In addition to the above described activities, for EWPP-FPE applications on land with residences or other structures, NRCS will enter into a cooperative or project agreement with the project sponsors prior to the commencing of easement acquisition activities. The agreement must specify the reimbursable tasks to be carried out by the sponsor to expedite the easement acquisition process. 2) In order to reduce the administrative burden and easement management cost to NRCS, sponsors are required to purchase the fee simple title from the landowner and enroll properties in a strategic manner that increases the likelihood that the floodplain can be reconnected. 3) The FPE warranty easement deed is closed before the fee simple interest is conveyed to the sponsor, unless a different order of recordation is desired by NRCS and authorized by the Office of the General Counsel.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Timeline is dependent upon when funding is appropriated. The FPE program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Other. Only NRCS decisions relating to eligibility for the EWPP-FPE Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EWPP Floodplain easements are a long-term solution and exist for perpetuity. After initial eligibility and project selection, easement acquisition phase requires 18 to 24 months on average. Following easement acquisition phase, restoration implementation phase requires 1 to 3 years until all practices are installed and vegetative practices are considered established. Continues until all EWPP-FPE Program measures are installed (relocation or demolition of structures and/or floodplain restoration practices). Maintenance and monitoring of floodplain easements continues in perpetuity. Method of awarding/releasing assistance: lump sum.

Reports:

Easement milestones include enrollment, closing, recording, restoration completion, and monitoring. These milestones are tracked in the National Easement Staging Tool (NEST). NEST reports will be generated quarterly to track milestones. Landowners are required to comply with the terms of the easement, comply with all terms and conditions of any associated agreement. Convey title to the easement that is acceptable to NRCS and warrant that the easement is superior to the rights of all others, except for exceptions to the title that are deemed acceptable by NRCS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The count and condition of closed easements is reported annually in the agency's financial statement pursuant to requirements of the Statements of Federal Financial Accounting Standard 29. 2 CFR 200 applies only to the program activities implemented through a Cooperative Agreement.

Records:

Easements are recorded in the public records and case files are maintained in the appropriate NRCS State Offices.

Account Identification:

12-1072-0-1-301.

Obligations:

Direct Payments for Specified Use FY 20 \$275,655.00; FY 21 est \$62,452,174.00; FY 22 Estimate Not Available; Salaries and Expenses FY 20 \$692,220.00; FY 21 est \$16,496,166.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

12-1072.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Over 189,000 acres in 37 states have been enrolled in EWPP-FPE

REGULATIONS, GUIDELINES, AND LITERATURE:

ACEP: 7 CFR 1468; Final Rule, Volume 86, Number 22, February 4, 2021. The program is announced through news media and in letters to agricultural landowners in the county.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Program Manager Jeff Williams,
1400 Independence Ave, SW, Room 5238-S,
Washington DC 20250
Email: Jeff.Williams3@usda.gov
Phone: 2027206268
Fax:

Website Address:

http://www.nrcs.usda.gov/wps/portal/nrcs/detail/national/programs/initiatives/?cid=NRCS143_008216

RELATED PROGRAMS:

10.923 Emergency Watershed Protection Program; 10.904 Watershed Protection and Flood Prevention;

EXAMPLES OF FUNDED PROJECTS:

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2020 Examples include acquiring conservation easements in response to Hurricane Sandy and 2019 flooding.

CRITERIA FOR SELECTING PROPOSALS:

States are required to develop and administer ranking criteria that assign point values to each application based on the potential benefits of each project.

10.929 Water Bank Program

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Water Bank Act, , Public Law 91-559, 16 USC Code 1301-1311.

OBJECTIVES:

To preserve and improve major wetlands as habitat for migratory waterfowl and other wildlife, conserve surface waters, reduce soil and wind erosion, contribute to flood control, improve water quality, improve subsurface moisture, and enhance the natural beauty of the landscape.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements), Project Grants (Cooperative Agreements or Contracts), Salaries and Expenses

USES AND USE RESTRICTIONS:

Agreements are for 10 years with eligible landowners to help preserve important nesting, breeding, and feeding areas of migratory waterfowl. During the agreement, the participants agree in return for annual payments not to drain, burn, fill, or otherwise destroy the wetland character of such areas and not to use areas for agricultural purposes, as determined by the Secretary. The Secretary carries out the program in harmony with wetlands programs administered by the Secretary of the Interior and uses technical and related services of appropriate State, Federal, and private conservation agencies to assure proper coordination.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Individual/Family; State; Applicants must have land in Minnesota, North Dakota and South Dakota.

Beneficiary Eligibility:

Individual/Family; Land/Property Owner; State; Local; Landowners and operators of specified types of wetlands in designated important migratory waterfowl nesting, breeding and feeding areas. Program is offered in Minnesota, North Dakota and South Dakota.

Credentials/Documentation:

Identification as an owner and/or operator of eligible land; a conservation plan developed in cooperation with the local soil and water conservation district; and proof of required compliance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

New contracts were accepted in FY 2019. Current contracts remain in effect with payments being made until the expiration date.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. The period officially specified for the program year.

Appeals:

Other. Participants may appeal to the National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annual payments will be made for 10 years. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Recipients are subject to audit by Office of Inspector General, USDA.

Records:

Maintained in the NRCS office and Federal record centers for a specified number of years.

Account Identification:

12-3320-0-1-302.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$4,918,000.00; FY 21 est \$4,013,000.00; FY 22 est \$0.00; Salaries and Expenses FY 20 \$106,000.00; FY 21 est \$120,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$20 to \$50 per acre. Average: \$35.

TAFS Codes:

12-3320.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register, 7 CFR Part 752. Program is announced through the news media to eligible participants in the States designated by the Secretary of Agriculture as a participating State.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Ronnie Maurer, Acting Branch Chief, Programs Branch, Financial Assistance Programs Division,
1400 Independence Avenue, SW; Room 4534-S,
Washington DC 20250
Email: ronnie.maurer@usda.gov
Phone: (202) 819-6368
Fax:

Website Address:

http://www.nrcs.usda.gov/wps/portal/nrcs/detail/national/programs/financial/?cid=s_telpdb1047790

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 15.611 Wildlife Restoration;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

10.931 Agricultural Conservation Easement Program

(ACEP).

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Food Security Act of 1985, Title XII, Subtitle H, as amended by Section 2301 of the Agricultural Act of 2014, Public Law 113-79; 15 U.S.C. 714b and 714c and 16 U.S.C. 3865-3865d., Title The Agriculture Improvement Act of 2018, Public Law 115-334

OBJECTIVES:

To establish an agricultural conservation easement program for the conservation of eligible land and natural resources through easements or other interests in the land. The Agricultural Conservation Easement Program (ACEP) is comprised of two components Agricultural Land Easements (ALE) and Wetland Reserve Easements (WRE). The ACEP-ALE component provides funding to eligible entities to purchase conservation easements to protect the agricultural use and future viability, and related conservation values of eligible land by limiting nonagricultural uses of that land; and to protect grazing uses and related conservation values by restoring or conserving eligible land. The ACEP-WRE component provides funding for the purchase of conservation easements held by NRCS, and to restore, protect, and enhance wetlands on eligible land.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

The ACEP-ALE component provides funds to protect the agricultural use, including grazing, and related conservation values of eligible land through cost-share assistance to eligible entities for purchasing agricultural land easements. The Federal share for ACEP-ALE acquisition is limited to a maximum of 50 percent of the appraised fair market value of the agricultural land easement. The Chief can determine lands as Grasslands of Special Environmental Significance which authorizes a federal share of up to 75 percent of the fair market value of the agricultural land easement. ACEP-ALE are perpetual easements or maximum duration allowed by State law. A right of enforcement is incorporated in the conservation easement deed for the protection of the Federal investment. A failure of title would require the eligible entity to reimburse the United States for the Federal share of the easement value. Under ACEP-WRE, eligible landowners may offer farmed wetlands, prior converted wetlands, wetlands farmed under natural condition, former or degraded wetlands on lands that have been used or are currently being used for the production of food and fiber, including cropland, rangeland and forest production land, lands substantially altered by flooding, certain riparian areas, along with certain adjacent areas. The goal of ACEP-WRE is to achieve the greatest wetlands functions and values, along with optimum wildlife habitat on every acre enrolled in the program. Enrollment options include permanent easements, 30-year easements, or easements for the maximum duration allowed under State law, and, for acreage owned by Indian Tribes, 30-year contracts. To be eligible for participation, land must be capable of being successfully and cost-effectively restored to wetlands and associated habitats. For easement projects, landowners shall ensure the easement is superior to the rights of all others and shall agree to implement a wetland restoration plan designed to restore and maintain the easement area. Landowners must agree to a permanent retirement of crop acreage bases, allotments, and quotas to the extent that the sum of the crop acreage bases and allotments will not exceed the remaining cropland on the farm. Participating landowners receive financial assistance for the purchase of the easement and NRCS shall share the cost of carrying out the establishment conservation measures and practices, and the protection of wetland functions and values including necessary maintenance activities to the extent that the Secretary determines that cost-sharing is appropriate and in the public interest. NRCS provides financial and technical assistance to the landowner or a third party as follows: Permanent easements: Easement duration is in perpetuity; landowners receive up to 100 percent of the cost of the easement. NRCS provides up to 100 percent of the cost for establishment and maintenance of conservation measures and practices. 30-year easements or maximum duration allowed by State law: Easement duration is 30 years or maximum duration allowed by State law;

landowners receive the equivalent of 75 percent of the value for a permanent easement and NRCS provides up to 75 percent of the eligible restoration costs. 30-year contracts: Acreage owned by Indian Tribes can be enrolled using a 30-year contract which shall be equivalent in value to a 30-year easement. NRCS provides up to 75 percent of the eligible restoration costs. States with an approved Exhibit E may enroll easements with reserved grazing rights. The applicable compensation provided to the landowner for these easements will be reduced by 25%. For both permanent and 30-year easements, ACEP-WRE pays for all the related costs associated with acquiring and recording the easement including recording fees, charges for title abstracts, surveys, appraisal fees, records searches, and title insurance associated with acquiring an easement. These related costs are generally not paid to participants but are provided directly to the vendor performing the service. Therefore, payments appearing on USAspending.gov will be reflective of payments to participants and payments to vendors for services associated with restoration and management activities and administrative costs associated with acquiring and recording an easement.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); For ACEP-ALE an eligible entity that is an Indian Tribe, State government, local government, or a nongovernmental organization which has a farmland or grassland protection program that purchases agricultural land easements for the purpose of protecting agriculture use and related conservation values, including grazing uses and related conservation values, by limiting conversion to nonagricultural uses of the land, and that has pending offers may apply for funds. This program is available in all 50 States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands. Individual landowners must apply through the local agency or organization that handles the farmland or grassland protection program. For ACEP-WRE, private landowners, including individual landowners, partnerships, associations, corporations, estates, trusts, and other business or legal entities, and Indian tribes are eligible to apply. This program is available in all 50 States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands.

Beneficiary Eligibility:

Land/Property Owner; State; Local; Federally Recognized Indian Tribal Governments; All landowners applying for ACEP must be in compliance with the Wetland Compliance (WC) and Highly Erodible Land (HEL) provisions of the Farm Bill and, within the exception of FY2014, meet the Adjusted Gross Income (AGI) limitations in the Farm Bill. For ACEP-ALE, applications are submitted by an eligible entity who will be the participant in the program. For ACEP-WRE, only private landowners, partnerships, associations, corporations, estates, trusts, other business or legal entities and, Indian tribes may submit applications for easements.

Credentials/Documentation:

ACEP-ALE - Eligible entities must provide documents indicating: 1) they have the relevant experience and resources to administer an ACEP-ALE easement; 2) commitment to long-term conservation of agricultural lands, ranchlands or grassland for grazing or related conservation purposes through legal devices, such as right-to-farm laws, agricultural districts, zoning, or land use planning; 3) use of voluntary approaches to protect farmland from conversion to non-agricultural uses; and 4) their capability to acquire, manage, and enforce conservation easements. NRCS evaluates an entities capacity to acquire, manage and enforce easements; its staffing and the ability of an entity to provide matching funds before entering into an agreement. If the eligible entity is a nongovernmental organization, they must be: 1) organized for, and at all times since, the formation of the organization and has been operated principally for one or more of the conservation purposes specified in clause (i), (ii), (iii), or (iv) of section 170(h)(4)(A) of the Internal Revenue Code of 1986; 2) an organization described in section 501(c)(3) of that Code that is exempt from taxation under 501(a) of that Code; and 3) described (i) In section 509(a)(1) and (2) of that Code, or (ii) Is described in section 509(a)(3) of that Code and is controlled by an organization described in section 509(a)(2) of that Code. 2 CFR 200, Subpart E - Cost Principles applies to this program. ACEP-WRE - Eligible applicants must be in compliance with the highly erodible land and wetland conservation provisions in 7 CFR part 12 and, except for FY 2014, the Adjusted Gross Income provisions in 7 CFR part 1400. They must be the landowner of the

ASSISTANCE LISTINGS DESCRIPTIONS

eligible land being offered for participation. For easement applications, the applicant must have owned the land for the 24-month period prior to the time the land is determined eligible for enrollment. Under limited circumstances a waiver of the 24-month ownership period may be granted from the State Conservationist, or the Chief of NRCS. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. All ACEP applicants must submit required documentation as evidence of eligibility. The land itself is evaluated and ranked in accordance with eligibility requirements and ranking criteria developed by NRCS. A programmatic environmental analysis is completed. Individual project selection may be made primarily at the State level by responsible NRCS officials.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACEP-ALE / ACEP-WRE - Applications are accepted on a continuous basis by the NRCS State Offices. Applicants are required to provide general information about the location of the project, contact information for the applicant, eligibility and ownership documentation, and designate the type of enrollment desired by the applicant (i.e. permanent easement, 30-year easement or maximum allowed by State law). Applications and supporting information requirements for the application can be obtained from the State Offices of the (NRCS) and USDA NRCS home page. See www.nrcs.usda.gov. Select Programs from the menu.

Award Procedure:

NRCS will rank parcels individually and award funding to the highest-ranked parcels based on ranking criteria established by NRCS in consultation with the State Technical Committee. The ranking factors are developed based on national and state criteria and priorities. NRCS conducts preliminary due diligence activities on the projects tentatively selected for funding and applicants are provided an offer of enrollment. Projects are enrolled in ACEP through agreements signed by NRCS and the eligible entity under ACEP-ALE or the landowner under ACEP-WRE.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Within a Federal fiscal year (October 1 - September 30), based on funding availability, States establish a single or multiple application batching periods for consideration of current year funding. Eligible entity or landowner applications may remain on sign-up list for subsequent funding consideration.

Appeals:

Other. Applicants or participants may appeal certain determinations regarding this program in accordance with 7 CFR Part 614 and Parts 11 and 780.

Renewals:

Other. Lands enrolled in 30-year easements, less-than perpetual easements limited by State law, and 30-year contracts under ACEP-ALE and ACEP-WRE may be re-enrolled in a future sign-up after the original easement expires, unless the land or landowner is ineligible.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. ACEP-ALE NRCS may provide up to 50 percent of the fair market value of the agricultural land easement. An eligible entity will share in the cost of purchasing an agricultural land easement in an amount that is at least equivalent to the Federal share. An eligible entity may include as part of its share a charitable donation or qualified conservation contribution (as defined by section 170(h) of the Internal Revenue Code of 1986) from the landowner. NRCS may authorize a waiver to increase the federal share to 75 percent for grasslands of special environmental significance with respective adjustments to the eligible entity contribution. For grasslands of special environmental significance enrollments, the eligible entity

must provide a non-Federal share that is at least equivalent to the Federal share or comprises the remainder of the fair market value of the agricultural land easement, whichever is less. Each eligible entity is required to fund its own administrative costs in acquiring easements, such as appraisals, surveys, title searches, and costs incurred in managing and enforcing the easements. ACEP-WRE - Matching Requirements: While NRCS pays up to 100 percent of all related acquisition costs for 30-year easements, less-than perpetual easements limited by State law, and 30-year contracts, up to 75 percent of the cost of implementing the Wetland Restoration Plan will be paid by NRCS, with the landowner providing the balance (25 percent). MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ACEP-ALE - Easements must be closed within 18 months of the end of the fiscal year in which the agreement is signed. Federal funds must be disbursed within 2 to 5 years after signing the agreement. Method of awarding/releasing assistance: lump sum. Easement duration is either perpetual, 30-year, or the maximum duration permitted by State law. Financial assistance for easement acquisition is provided at closing. ACEP-WRE - Easement duration is either perpetual, 30-year, or the maximum duration permitted by State law. Cash easement payments will be made in a lump sum amount, or in annual installments beginning at closing. Payments for implementation of restoration practices will be made when a specific practice has been implemented by either the landowner or third party and certified by NRCS. Method of awarding/releasing assistance: other

Reports:

ACEP-ALE - Annual monitoring reports on the status of each easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS. ACEP-WRE Annual monitoring reports on the status of each easement acquired will be prepared by NRCS in accordance with NRCS monitoring policy.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR 200 applies only to the program activities implemented through a Cooperative Agreement. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

ACEP-WRE - Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be filed in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-1004-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$378,649,000.00; FY 21 est \$435,578,000.00; FY 22 est \$278,749,000.00; Salaries and Expenses FY 20 \$114,260,000.00; FY 21 est \$191,994,000.00; FY 22 est \$137,294,000.00;

Range and Average of Financial Assistance:

Under ACEP-ALE, cost-share provided by NRCS for the purchase of an agricultural land easement ranges from \$216 per acre for grasslands of special environmental significance easements to \$13,670 per acre for all other general ACEP-ALE easements. The average per acre cost-share amount provided by NRCS is \$2,790 per acre. Under ACEP-WRE, easement compensation amounts provided directly to eligible landowners ranges from \$1,200 per acre to \$13,000 per acre, with an average cost of \$2,600 per acre.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACEP: 7 CFR 1468; Final Rule, Volume 86, Number 22, February 4, 2021. The program is announced through news media and in letters to agricultural landowners in the county.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Program Manager Carrie Lindig,
1400 Independence Ave, SW,
Washington DC 20250
Email: Carrie.Lindig@usda.gov
Phone: 202-720-1882

Fax:

Website Address:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/easements/acep/>

RELATED PROGRAMS:

10.920 Grassland Reserve Program; 10.072 Wetlands Reserve Program; 10.904 Watershed Protection and Flood Prevention; 10.913 Farm and Ranch Lands Protection Program; 10.069 Conservation Reserve Program; 10.922 Healthy Forests Reserve Program (HFRP);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ACEP-ALE - Criteria used for selecting applications include: Percent of prime, unique, and other important farmland in the parcel to be protected; protection of historical or archeological resources; protection of grazing uses and related conservation values; Percent of cropland, rangeland, grassland, historic grassland, pastureland, or nonindustrial private forest land in the parcel to be protected; Ratio of the total acres of land in the parcel to be protected to average farm size in the county; Decrease in the percentage of acreage of farm and ranch land in the county in which the parcel is located; Percent population growth and density in the county; Existence of a farm or ranch succession plan or similar plan established to address farm viability; Proximity of the parcel to other protected land and to other agricultural operations and agricultural infrastructure; Maximizing the protection of contiguous acres devoted to agricultural use; Zoning; Eligible entity's performance; Geographic regions help achieve national, State, and regional conservation goals and objectives; Diversity of natural resources to be protected; Score in the land evaluation and site assessment system or equivalent measure for grassland enrollments; the parcels support of grazing operations; protection of grassland, land that contains forbs, and shrubland at the greatest risk from the threat of conversion to uses other than grazing; and plant and animal biodiversity. ACEP-WRE All offers are screened at both the local and State level to determine the acceptability of the application. Offers will be evaluated based on the environmental benefits and government expenditures on restoration and easement purchase and the requirement that wetland and wildlife functions and values benefits be maximized. Criteria for selecting applications include: The conservation benefits of obtaining an easement or other interest in the land; The cost effectiveness of each easement so as to maximize the environmental benefits per dollar expended; Whether the landowner or another person is offering to contribute financially to leverage Federal funds; The extent to which the purposes of this part would be achieved on the land; The productivity of the land; The on-farm and off-farm environmental threats if the land is used for the production of agricultural commodities; Obtaining permanent easements over shorter term easements; and Acquiring easements based on the value of the easement for protecting and enhancing habitat for migratory birds and other wetland-dependent wildlife or improving water quality, in coordination with U.S. Fish and Wildlife Service at the local level, as may be appropriate. NRCS, in consultation with the State Technical Committee, may place higher priority on certain land types or geographic regions of the State where restoration of wetlands may better achieve State and regional goals and objectives. Priority may also be given to land that is currently enrolled in the Conservation Reserve Program (CRP) in a contract that is set to expire within one year from the date of application and is farmed wetland and adjoining land that has the highest wetland functions and values and is likely to return to production after the land leaves CRP.

10.932 Regional Conservation Partnership Program

(RCPP),

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Food Security Act of 1985, Title XII, Part Subtitle I, , Public Law 99-198, Title the Agriculture Improvement Act of 2018, , Public Law 115-334, 16 USC Code 3871 et seq..

OBJECTIVES:

To further the conservation, protection, restoration, and sustainable use of soil, water (including sources of drinking water and groundwater), wildlife, agricultural land, and related natural resources on eligible land on a regional or watershed scale based on Partner lead strategies and goals. To encourage eligible partners to cooperate with producers in implementing projects that will result in the adoption, installation, and maintenance of eligible activities that affect multiple agricultural or nonindustrial private forest operations on a local, regional, State, or multistate basis. To encourage the flexible and streamlined delivery of conservation assistance to producers through partnership agreements. To engage producers and eligible partners in conservation projects to achieve greater conservation outcomes and benefits for producers than would otherwise be achieved.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants and in limited situations for overall project management. Financial assistance is provided to producers for implementation of conservation activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Producers in an approved partner project area who have priority resource concerns related to soil, water, and related natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands or non-industrial forestlands. Limited resource producers, small-scale producers, social disadvantaged individuals, federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Beneficiary Eligibility:

Native American Organizations; Farmer/Rancher/Agriculture Producer; Land/Property Owner; State; Local; Sponsored organization; Federally Recognized Indian Tribal Governments; To be eligible the agricultural producers participating within an approved project area must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations, unless waived during the partnership agreement negotiation.

Credentials/Documentation:

Partnering entities must prove their eligibility by providing documents indicating their legal status and agree to provide such information to NRCS as the agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes. To be eligible to participate, a producer must be within an approved project area, must be in compliance with highly erodible land and wetland conservation provisions (7 CFR part 12), and must be in compliance with the Adjusted Gross Income (AGI) payment limitations (7 CFR part 1400), unless waived during the partnership agreement negotiation. To be eligible as a Partner, for a Programmatic Agreement, you must be one of the following: An agricultural or silvicultural producer

ASSISTANCE LISTINGS DESCRIPTIONS

association or other group of producers, a state or unit of local government, an Indian tribe, a farmer cooperative, a water district, irrigation district, acequia, rural water district or association, or other organization with specific water delivery authority to agricultural producers, municipal water or wastewater treatment entity, Institution of Higher Education, an organization or entity with an established history of working cooperatively with producers on ag land, an entity, such as Indian tribe, State government, local government or a nongovernmental organization that has a farmland or grassland protection program that purchases agricultural land easements, and a Conservation District. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Under RCPP, the NRCS enters into partnership agreements with eligible entities and organizations that want to promote ground and surface water conservation or improve water quality on agricultural lands. After the Chief has announced approved RCPP project areas, eligible agricultural producers may submit a program application to NRCS. Each fiscal year, NRCS may make RCPP financial and technical assistance available to eligible owners and operators of agricultural lands who participate in approved RCPP project areas. Partners may assist NRCS by identifying producers in the project area. Partners may also assist producers in submitting applications for the program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For each program year the Natural Resources Conservation Service issues one or more announcements of program funding (APF) through the Grants.gov website. Application procedures are outlined in the APF. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NRCS announces RCPP awards through a public notice and then enters into project negotiations with lead partners to finalize the details of RCPP projects.

Deadlines:

Deadlines for proposals for partnership agreements identified in applicable notices of availability of funding. Deadlines for producer applications under a partnership agreement identified in the partnership agreement and posted by the partner and NRCS on applicable websites and through other publications.

Range of Approval/Disapproval Time:

Other. Application procedures are outlined in the APF.

Appeals:

Other. A partner may not appeal any adverse determination to the Chief of the Natural Resource Conservation Service. The agency decision for development and submission of a full proposal is final and there is no appeal process, or opportunity for reconsideration. This is included in the APF. Producers participating in RCPP in an approved project area may appeal an adverse determination through appeal process under 7 CFR parts 11 and 614.

Renewals:

Other. A partnership agreement will be for five years. NRCS may provide a single one year no-cost extension at the request of a partner. The 2018 Farm Bill provides USDA with the authority to provide noncompetitive renewals of existing RCPP projects. Details are provided to existing partners.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are mandatory. RCPP lead partners propose and deliver partner contributions as a part of their project. NRCS has a goal that partners provide contributions that at least match the RCPP investment. More details are available in annual funding announcements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Eligible partners enter into multi-year partnership agreements of five years with NRCS to promote conservation and address resource concerns on eligible agricultural lands. RCPP is intended to leverage investment in natural resources conservation along with services and non-Federal resources of other eligible partners. Method of awarding/releasing assistance: other

Reports:

NRCS is required to report to the Secretary and Congress every two years.

Participating partners are required to provide project progress and results for inclusion in the Congressional report. Other reporting requirements apply.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of RCPP contract items.

Records:

Partnership Agreement copies are maintained in National Headquarters, Washington, DC and official Partnership Agreements are maintained in State and producer contracts and agreements in local NRCS offices and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

Salaries and Expenses FY 20 \$24,164,000.00; FY 21 est \$190,558,000.00; FY 22 est \$105,888,000.00; Cooperative Agreements FY 20 \$28,558,000.00; FY 21 est \$280,323,000.00; FY 22 est \$394,791,000.00;

Range and Average of Financial Assistance:

The 2018 Farm Bill authorizes \$300 million annually for RCPP. While the range of financial assistance offered can vary, in recent years the minimum award has been \$250,000 and the maximum award has been \$10 million. For FY 2021 RCPP, the average award amount was just over \$3.9 million to be expended over 5 years.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for the program will be promulgated at 7 CFR part 1464. Related program manuals, handbooks and leaflets are issued by NRCS.

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Kari Cohen, Branch Chief, Program Projects Branch, Financial Assistance Programs Division,
Natural Resources Conservation Service 1400 Independence Ave, SW,
Washington DC 20250
Email: kari.cohen@usda.gov
Phone: (202) 720-6037
Fax: (202) 720-4265

Website Address:

<https://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/financial/rcpp>

RELATED PROGRAMS:

10.912 Environmental Quality Incentives Program; 10.904 Watershed Protection and Flood Prevention; 10.931 Agricultural Conservation Easement Program ; 10.924 Conservation Stewardship Program; 10.922 Healthy Forests Reserve Program (HFRP);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated against the following four criteria: Impact, Partner Contribution, Innovation, and Partnerships and Management. More detail and subcriteria are identified in the APF.

10.933 Wetland Mitigation Banking Program

Wetland Mitigation Banking Program.

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

16 USC Code 3822(k), Title Agriculture Improvement Act of 2018, , Public Law
115-334

OBJECTIVES:

The Wetland Mitigation Banking Program is used to help establish wetland mitigation banks to help producers meet their wetland conservation compliance responsibilities needed to maintain eligibility for many USDA programs administered by the FSA and NRCS, and to maintain eligibility for the Federal crop insurance premium subsidy administered by the Risk Management Agency.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

The intent of the program is for qualified third parties to operate and manage all aspects of a wetland mitigation bank with oversight by NRCS.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Non-Government - General; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Proposals will be accepted from applicants in any of the 50 States, the District of Columbia, the Caribbean Area (Puerto Rico and the U.S. Virgin Islands), and the Pacific Islands Area (Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands). NRCS will accept proposals from eligible entities that include federally recognized Indian Tribes, State and local units of government, for-profit entities, and nongovernmental organizations.

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; U.S. Citizen; Rural; Mitigation banks established through this program are for the explicit and sole purpose of assisting agricultural producers with wetland conservation compliance, also known as Swampbuster compliance.

Credentials/Documentation:

Priority will be given to banks located in geographic areas with the largest numbers of wetland compliance producer requests. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. If the program receives an allocation of funding the announcement and associated Federal forms for this program funding opportunity will be able to be viewed at www.grants.gov. See the Content and Format section for more information on the required forms and information.

Award Procedure:

NRCS uses a grant agreement to provide program funds to each selected applicant. The project budget period, amount of Federal assistance, terms and conditions of the award, and reporting requirements are described and provided to the selected applicants as part of this process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. Will be available if the program is funded.

Renewals:

Not Applicable. Will be made available if the program is funded.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are mandatory. Recipients must provide a non-Federal funding match amount at least equal to 25 percent of the Federal funding request. Matching funds may be committed by the applicant, project partners, or both and can be a combination of cash and in-kind contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects may be up to 4 years in duration. Method of awarding/releasing assistance: other

Reports:

A detailed bank accounting report documenting all debits, credits, and transfers that occurred with the bank. A financial report showing all authorized transactions associated with the banking instrument. Form SF-425, Federal Financial Report, submitted quarterly to show the progress of the bank in relation to the agreed to deliverables in the grant agreement. Selected applicants will be required to submit an SF-425, Federal Financial Report, to the NRCS administrative contact, no later than 45 days after the end of each quarter and 90 days after completion of project. A monitoring report detailing how the compensatory mitigation project is progressing towards meeting its performance standards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

A credit ledger submitted to the program manager annually to show the deposits and withdrawals from the bank. Submitted annually, no later than November 15th.

Account Identification:

12-1004-0-1-302.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$9,680,000.00; FY 22 est \$0.00; Salaries and Expenses FY 20 \$0.00; FY 21 est \$320,000.00; FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$440,000.00; FY 22 est \$0.00; Salaries and Expenses FY 20 \$153,000.00; FY 21 est \$441,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

This is a one-time direct appropriation provided in FY 2014, and the funds are available until expended. Up to \$9 million will be available for awards.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 417, Non-procurement Debarment and Suspension 2 CFR Part 418, New Restrictions on Lobbying 2 CFR Part 421, Requirements for Drug Free Workplace (Financial Assistance) The National Environmental Policy Act (NEPA) regulations at 40 CFR part 1500-1508, and the NRCS regulation that implements NEPA at 7 CFR part 650. Wetland Conservation Compliance Provisions 7 CFR 12.4, 16 U.S.C. Section 3822.

Regional or Local Office:

None. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Kari Cohen,
Natural Resources Conservation Service, 1400 Independence Ave, SW,
Washington DC 20250

Email: kari.cohen@usda.gov

Phone: 202-720-6037

Fax:

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<http://www.nrcs.usda.gov/wps/portal/nrcs/detail/national/programs/farmland/?cid=stelprdb1242739>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NRCS Projects Branch screens proposals for completeness and adherence to the provisions of the Announcement for Program funding. Proposals that pass the initial screening undergo a technical review carried out by a panel consisting of Federal employees with experience in wetland restoration and mitigation banking. The technical panel evaluates each proposal against the criteria in the Notice of Funding Opportunity and ranks all proposals to make funding recommendations to NRCS leadership. NRCS State Conservationists also review relevant proposals for duplication of existing efforts and ethical concerns and provide overall recommendations. The NRCS Chief makes the final award decisions.

10.934 Feral Swine Eradication and Control Pilot Program

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE, DEPARTMENT OF

AUTHORIZATION:

Agriculture Improvement Act of 2018, Title II, Part Subpart D, Section 2408, Public Law 115-334

OBJECTIVES:

The Feral Swine Pilot Program will allow NRCS and APHIS to respond to the threat feral swine pose to agriculture; native ecosystems; and human and animal health in selected pilot areas.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Partner will work closely with landowners/producers, APHIS, universities, local and state soil and water conservation districts, and state departments of fish and game, agriculture, health, and counties in joint efforts to alleviate feral swine damage. Activities covered may include conducting surveys and monitoring, baiting, education and outreach about feral swine, and eradication and control efforts, including live trapping with corral traps.

Applicant Eligibility:

Intrastate; Intrastate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Sponsored organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals);

Beneficiary Eligibility:

Farmer/Rancher/Agriculture Producer; Land/Property Owner;

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are approved by the Chief or authorized departmental officers (ADO's) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. % cash or in-kind contributions of materials or services. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available until 9/30/2023. All funds must be spent by 9/30/2028.

Method of awarding/releasing assistance: lump sum.

Reports:

Annual operation and maintenance report. SF-425, Federal Financial Report Requirements are specifically indicated in the award documents and may vary for given projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Instruction provided in the Notice of Award. Awardees are expected to maintain separate records for each agreement to ensure that funds are used for the purpose for which the agreement was made. Records are subject to inspection during the life of the award and for three years thereafter.

Account Identification:

12-1004-0-1-302.

Obligations:

Project Grants FY 20 \$16,754,000.00; FY 21 est \$10,993,000.00; FY 22 est \$5,650,000.00; Salaries and Expenses FY 20 \$144,000.00; FY 21 est \$2,189,000.00; FY 22 est \$575,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: There are two rounds of pilot projects in this program. Round 1 consists of 20 projects and Round 2 has 14 projects, totaling 34 projects across 12 states. These 34 projects were selected for funding and are supported by 22 grants with the selected partners. As of June 18, 2021, the Round 1 projects have assisted over 750 landowners on almost 1.3 million acres in the removal of feral swine. Each project is participating in the National Landowner Damage Assessment Survey which aims to provide better estimates and tracking of the damage caused by feral swine in the project areas. Examples of project activities accomplished in the first year include creating websites for reporting feral swine sightings and damages, trapping demonstrations, water quality monitoring, and removing feral swine.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Part 200; Nonprocurement Debarment and Suspension 2 CFR 417; Requirements for Drug-Free Workplace", 2 CFR Part 421; "New

ASSISTANCE LISTINGS DESCRIPTIONS

Restrictions on Lobbying", 2 CFR Part 418; and Office of Management and Budget regulations governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Lacey Williamson,
1400 Independence Ave. S.W., Room 5204-S/Stop 2890,
Washington DC 20250
Email: lacey.williamson@usda.gov
Phone: 202-619-8569
Fax:

Website Address:

<https://www.nrcs.usda.gov/>

RELATED PROGRAMS:

10.028 Wildlife Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: damage and threat to protected resources; cooperation between parties; achievability/feasibility of goals and the project; and the long-term and short-term beneficial impact.

10.935 Urban Agriculture and Innovative Production

UAIP,

FEDERAL AGENCY:

NATURAL RESOURCES CONSERVATION SERVICE, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

The Agriculture Improvement Act of 2018, Title XII, Part C, Section 12302, Public Law 115-334

OBJECTIVES:

To encourage and promote urban, indoor, and other emerging agricultural practices, including (A) community gardens and farms located in urban areas, suburbs, and urban clusters; (B) rooftop farms, outdoor vertical production, and green walls; (C) indoor farms, greenhouses, and high-tech vertical technology farms; (D) hydroponic, aeroponic, and aquaponic farm facilities; and (E) other innovations in agricultural production, as determined by the Secretary; and to encourage local or municipal governments to develop and test strategies for planning and implementing municipal compost plans and food waste reduction plans.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Urban Agriculture, Community Gardens, Aquaculture, Hydroponics, Agroforestry, Food Waste, Composting, Vertical Farming, Food Access, Greenhouses; Roof Top Gardens

Applicant Eligibility:

U.S. Territories and possessions; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State; Grants: Nonprofit organizations, units of local government, Tribal government, schools that serve any grades kindergarten through grade 12. Cooperative agreements: municipalities, counties, local governments, or city planners

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Deadlines for proposals for grants and cooperative agreements identified in applicable notices of availability of funding. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For each program year, the Natural Resource Conservation Service issues an announcement of program funding. Application procedures are outlined in the NOFO.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. For each program year, the Natural Resource Conservation Service issues an announcement of program funding. Application procedures are outlined in the NOFO.

Appeals:

Other. A partner may not appeal any adverse determination to the Chief of the Natural Resource Conservation Service. The agency decision for development and submission of a full proposal is final and there is no appeal process, or opportunity for reconsideration. This is included in the NOFA.

Renewals:

Other. A grant or cooperative agreement shall not exceed five years. NRCS may extend a partnership agreement for one time for up to 12 months. Renewal opportunities exist.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Matching requirements for cooperative agreements are statutorily required to this assistance listing. The recipient shall provide funds, in-kind contributions, or a combination of both from sources other than funds provided through the cooperative agreement in an amount equal to not less than 25 percent of the amount of the grant. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available on an annual basis. Method of awarding/releasing assistance: lump sum.

Reports:

NRCS is required to report to the Secretary and Congress every year. Funding recipients are required to provide input on information needed to be included in the NRCS report to Congress. Other reporting requirements apply. Annual operation and maintenance report. Quarterly submission of SF-425, Federal Financial Report Requirements are specifically indicated in the award documents and may vary for given projects

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Instruction provided in the Notice of Award. Awardees are expected to maintain separate records for each grant and cooperative agreement to ensure that funds are used for the purpose for which the agreement was made. Records are subject to inspection during the life of the award and for three years thereafter.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

12-1004-0-1-302.

Obligations:

Project Grants FY 20 \$2,879,000.00; FY 21 est \$3,715,000.00; FY 22 est \$4,796,000.00; Cooperative Agreements FY 20 \$1,599,000.00; FY 21 est \$2,620,000.00; FY 22 est \$3,420,000.00; Salaries and Expenses FY 20 \$403,000.00; FY 21 est \$665,000.00; FY 22 est \$1,242,000.00;

Range and Average of Financial Assistance:

Minimum and Maximum amounts for any fiscal year will be established by the Chief of NRCS.

TAFS Codes:

12-1004.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: USDA awarded approximately \$1.14 million for three Urban and Innovative Agriculture Planning Projects and approximately \$1.88 million for seven Urban and Innovative Agriculture Implementation Projects. Planning projects initiate or expand efforts of farmers, gardeners, citizens, government officials, schools and other stakeholders in urban and suburban areas. Implementation projects accelerate existing and emerging models of urban, indoor and other agricultural practices that serve multiple farmers. Projects will improve local food access and collaborate with partner organizations and may support infrastructure needs, emerging technologies, educational endeavors and urban farming policy implementation. Activities funded include operating community gardens and nonprofit farms, increasing food production and access in economically distressed communities, providing job training and education, and developing business plans and zoning. Through Community Compost and Food Waste Reduction (CCFWR) Projects, USDA invested approximately \$1.09 million in 13 pilot projects that develop and test strategies for planning and implementing municipal compost plans and food waste reduction. Priority was given to projects that anticipated or demonstrated economic benefits, incorporated plans to make compost easily accessible to farmers, including community gardeners, integrated other food waste strategies, including food recovery efforts, and collaborated with multiple partners.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Part 200; Non-procurement Debarment and Suspension 2 CFR 417; Requirements for Drug-Free Workplace, 2 CFR Part 421; "New Restrictions on Lobbying", 2 CFR Part 418; and Office of Management and Budget regulations governing "Controlling Paperwork Burdens on the Public", 5 CFR 1320

Regional or Local Office:

See Regional Assistance Locations. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for the location of the nearest USDA service center. For a list of NRCS State offices with telephone numbers and addresses, information is available on the internet at <http://www.nrcs.usda.gov/>.

Headquarters Office:

Leslie Glover II, Urban Agriculture Program Manager, Outreach & Partnerships Division, Natural Resources Conservation Service, 1400 Independence Ave., SW, Washington DC 20250
Email: Leslie.Glover@usda.gov
Phone: 602-309-9536
Fax:

Website Address:

<https://www.nrcs.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Community Composting and Food Waste Reduction: Lake County, Illinois - Lake County Community Compost and Food Waste Reduction Project: The project is developing a stronger, more vibrant circular economy for managing food scraps and other organic material. It includes a compost to farmland/community garden demonstration study, strengthening the community gardening network, market development for compost, and education efforts to increase participation rates in

the commercial and residential sectors. Prince William County, Virginia - Prince William County Public Schools Food Waste Composting and Education Pilot: The Prince William County Department of Public Works Solid Waste Division (SWD) its project partners are implementing a food waste composting pilot program for county schools and educating students on the environmental, economic, and social benefits of food waste composting. Henderson County, North Carolina - Henderson County Organics Pilot Expansion: This project is improving local infrastructure by expanding the public compost facility, increasing the lunchroom composting program to six additional schools, and starting a residential discounted compost bin program. Urban Agriculture and Innovation Production Competitive Grants - Planning Projects: City of New Haven, Connecticut - The New Haven Urban Agriculture Master Plan - Creating a Blueprint for Equitable Urban Agricultural Growth in New Haven, Connecticut: The city's Food System Policy Division is developing the first New Haven Urban Agriculture Master Plan. The plan will be used to access land and opportunities to increase the production and sale of locally grown foods, build community, improve public health and well-being, and provide economic opportunity, particularly in areas with vacant land and limited food access. Center for Land-Based Learning, California - Urban Agriculture Assessment and Recommendations: Connecting Urban Farmers with Broderick/Bryte Community to Improve Community Food Access, Nutrition Education, and Economic Development: The Center for Land-Based Learning is producing a comprehensive urban agriculture assessment of West Sacramento. It will map and document current activities, identify opportunities for growth, and include recommendations to bolster the layers of positive impact urban agriculture has on communities. Feast Down East, North Carolina - Community Learning Farm Planning Project: The project supports a strategic planning process, including a feasibility study and stakeholder assessment, for the development of a community learning farm. A site development plan that will create community access and opportunities will also be created for the both the physical site and for programming. Urban Agriculture and Innovation Production Competitive Grants - Implementation Projects: Arkansas Interfaith Power and Light, Arkansas - Building a Network of Growers to Improve Access to Local Food: Arkansas Interfaith Power and Light is collaborating with partners to improve access to local food, foster a network of urban gardeners and farmers who share resources and knowledge, assist local growers with building infrastructure, expand and improve community gardens to become self-sustainable, educate the community on food systems and the nutritional value of plant-rich diets, share knowledge of environmental benefits of local agricultural production, offer hands-on learning in organic farming, mentor youth and young adults interested in urban agricultural occupations, and engage more people in local food production. The Greenleaf Foundation, Georgia - Eastside Hyper-Local Food System Project: Greenleaf Community Farm serves as a hub for connecting and supporting entrepreneurial food projects and closing the food system gap in Atlanta City Council District 5. The project includes a community farm, a payflex farm stand, and a community gathering space to connect and educate residents. It will also expand the Edible Neighborhoods program to provide equitable access to fresh produce, educate residents on edible landscaping, and serve as an entry point into the food system. Common Ground Producers and Growers, Kansas - Common Ground Urban Innovation Project: The Common Ground Mobile Market will provide fresh produce to food deserts and food insecure areas in targeted urban zip codes in Wichita and Sedgewick Counties. The project will facilitate entrepreneurial projects through job training, use of farm equipment and land, mentoring and other business development assistance to new and beginning farmers. The mobile market will partner with the K-State Extension Growing Growers program, which provides an apprentice to help with the market and offer training in farming and gardening.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.950 Agricultural Statistics Reports

(Agricultural Estimates),

FEDERAL AGENCY:

NATIONAL AGRICULTURAL STATISTICS SERVICE, AGRICULTURE,
DEPARTMENT OF
AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

5 U.S.C. 3109; 7 U.S.C. 411, 411a, 411b, 427, 471, 475, 476, 501, 951, 953, 955-957; Agricultural Marketing Act of 1946: 7 U.S.C. 1621-1623, 1623a, 1624-1627; Census of Agriculture Act of 1997: Public Law 105-113, 7 U.S.C. 2201, 2202, 2204g, 2225, 2248, 3103, 3311, 3504; 13 U.S.C. 142a, 142b; 18 U.S.C. 1902, 1905, 2072; 42 U.S.C. 1891-1893; 44 U.S.C. 3501-3511; 50 U.S.C. 2061 et seq., 2251 et seq.,

OBJECTIVES:

The mission of the National Agricultural Statistics Agency (NASS) is to provide timely, accurate, and useful statistics in service to the U.S. agriculture economy. The statistical data provided by NASS is essential to the public and private sectors for making effective policy, production, and marketing decisions on a wide range of agricultural commodities. The statistical data provided in NASS studies and reports is necessary to maintain an objective source to ensure commodity markets operate fairly and efficiently. Every 5 years the Census of Agriculture provides comprehensive national, State, and county data as well as selected data for Puerto Rico, Guam, the U.S. Virgin Islands, and Northern Mariana Islands. Formulate, develop, and administer programs for collecting and publishing statistics related to agriculture, resources, and rural communities. Assist rural communities to create prosperity so they are self sustaining, repopulating and economically thriving. Ensure our national forests and private working lands are conserved, restored, and made more resilient to climate change, while enhancing our water resources. To ensure that all of America's children have access to safe, nutritious and balanced meals.

TYPES OF ASSISTANCE:

Dissemination of Technical Information

USES AND USE RESTRICTIONS:

Copies of publications of estimates and reports of production, supply inventory, price and other aspects of the agricultural economy are available to anyone without restriction. Publications are provided free to survey respondents, press, Congress, and, many current publications and all data products are also available for free on the NASS Internet World Wide Website: <http://www.nass.usda.gov>. Other publications are available to all others on a subscription basis.

Applicant Eligibility:

Anyone/general public; Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, state and local governments, educational institutions, and the general public including those located in the U.S. Territories. The type of assistance NASS provides is: Dissemination of Technical Information: specifically Agricultural Statistics.

Beneficiary Eligibility:

Federal; U.S. Territories; Anyone/general public; Farmer/Rancher/Agriculture Producer; Consumer; State; Rural; Public nonprofit institution/organization; Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, state and local governments, educational institutions, and the general public including those located in the U.S. Territories. The type of assistance NASS provides is: Dissemination of Technical Information: specifically Agricultural Statistics.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The type of assistance NASS offers is: Dissemination of Technical Information in the form of agricultural statistics. Request for technical information of agricultural statistics only, may be made to the headquarters office listed below. Also for Agricultural Statistics and Census of Agriculture go to the NASS website: <http://www.nass.usda.gov/>

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

12-1801-0-1-352.

Obligations:

Dissemination of Technical Information FY 20 \$188,858,000.00; FY 21 est \$183,921,000.00; FY 22 est \$193,662,000.00;

Range and Average of Financial Assistance:

Not applicable. USDA National Agricultural Statistics Service (NASS) does not award grants. The federal domestic assistance type NASS provides is:

Dissemination of Technical Information: Agricultural Statistics and the Census of Agriculture.

TAFS Codes:

12-1801.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The results of the 2020 Local Food Marketing Practices Survey conducted in November of 2020 will be available in November 2021. In May 2021, NASS released the 2020 Soybean Chemical Use survey. In partnership with Animal and Plant Health Inspection Service National Animal Health Monitoring System (APHIS-NAHMS), NASS will conduct a Swine Large, Swine Small, and Cattle on Feed Studies in FY 2021. Provided technical assistance and training to improve agricultural statistics programs in five countries including: Dominican Republic, Georgia, India, Kenya, and Tanzania.

REGULATIONS, GUIDELINES, AND LITERATURE:

Agricultural Statistics Board catalog (annual listing of reports available by subscription issued by Agricultural Statistics Board), no charge.

Regional or Local Office:

See Regional Assistance Locations. Consult Appendix IV for addresses of National Agricultural Statistics Service Regional Statistical Offices.

Headquarters Office:

Budget Officer Ann Johnson,
1400 INDEPENDENCE AVENUE, S.W., South Agriculture Building, Room 5041A,

WASHINGTON DC 20250

Email: ann.johnson@nass.usda.gov

Phone: (202)690-0919

Fax: 202-720-9013

Website Address:

<http://www.nass.usda.gov>

RELATED PROGRAMS:

10.153 Market News; 17.003 Prices and Cost of Living Data; 10.250 Agricultural and Rural Economic Research; 10.700 National Agricultural Library;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

10.951 Agricultural Statistics

NASDA,

FEDERAL AGENCY:

NATIONAL AGRICULTURAL STATISTICS SERVICE, AGRICULTURE,
DEPARTMENT OF

AUTHORIZATION:

Public Law 105--113

OBJECTIVES:

The mission of the National Agricultural Statistics Agency (NASS) is to provide timely, accurate, and useful statistics in service to the U.S. agriculture economy. The statistical data provided by NASS is essential to the public and private sectors for making effective policy, production, and marketing decisions on a wide range of agricultural commodities. The statistical data provided in NASS studies and reports is necessary to maintain an objective source to ensure commodity markets operate fairly and efficiently. Every 5 years the Census of Agriculture provides comprehensive national, State, and county data as well as selected data for Puerto Rico, Guam, the U.S. Virgin Islands, and Northern Mariana Islands. Formulate, develop, and administer programs for collecting and publishing statistics related to agriculture, resources, and rural communities. Assist rural communities to create prosperity so they are self sustaining, repopulating and economically thriving. Ensure our national forests and private working lands are conserved, restored, and made more resilient to climate change, while enhancing our water resources. To ensure that all of America's children have access to safe, nutritious and balanced meals.

TYPES OF ASSISTANCE:

Dissemination of Technical Information

USES AND USE RESTRICTIONS:

The type of assistance NASS offers is: Dissemination of Technical Information in the form of agricultural statistics. Request for technical information of agricultural statistics only, may be made to the headquarters office listed below. Also for Agricultural Statistics and Census of Agriculture go to the NASS website: <http://www.nass.usda.gov/>

Applicant Eligibility:

Anyone/general public; Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, state and local governments, educational institutions, and the general public including those located in the U.S. Territories. The type of assistance NASS provides is: Dissemination of Technical Information: specifically Agricultural Statistics.

Beneficiary Eligibility:

Anyone/general public; Federal, U.S. Territories, Anyone/general public, Farmer/Rancher/Agriculture Producer, Consumer, State, Rural, Public nonprofit institution/organization Farmers and agricultural producers, marketing and processing groups, transportation and handler groups, consumers, state and local governments, educational institutions, and the general public including those located in the U.S. Territories. The type of assistance NASS provides is: Dissemination of Technical Information: specifically Agricultural Statistics.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: National Agricultural Statistics Service (NASS) assistance type is disseminates of technical information, i.e., agricultural statistics and Census of Agriculture. See the NASS website: <http://www.nass.usda.gov/> Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

12-1801-0-1-352.

Obligations:

Dissemination of Technical Information FY 20 \$20,300,000.00; FY 21 est \$35,000,000.00; FY 22 est \$37,000,000.00;

Range and Average of Financial Assistance:

Not applicable. USDA National Agricultural Statistics Service (NASS) does not award grants. The federal domestic assistance type NASS provides is: Dissemination of Technical Information: Agricultural Statistics and the Census of Agriculture

TAFS Codes:

12-1801.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The results of the 2020 Local Food Marketing Practices Survey conducted in November of 2020 will be available in November 2021. In May 2021, NASS released the 2020 Soybean Chemical Use survey. In partnership with Animal and Plant Health Inspection Service National Animal Health Monitoring System (APHIS-NAHMS), NASS will conduct a Swine Large, Swine Small, and Cattle on Feed Studies in FY 2021. Provided technical assistance and training to improve agricultural statistics programs in five countries including: Dominican Republic, Georgia, India, Kenya, and Tanzania.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Budget Officer Ann Johnson,
1400 INDEPENDENCE AVENUE, S.W., South Agriculture Building, Room 5041A,
WASHINGTON DC 20250
Email: ann.johnson@usda.gov
Phone: 2026900919
Fax: 2027209013

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.960 Technical Agricultural Assistance

Technical Agricultural Assistance,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Title XIV, Part I, Section 1458, Statue 91-1015, Public Law 95-113, 7 USC Code 3291. Food Security Act of 1985, as amended, Title XIV, Part A, Section 1426, Statue 99-1553, Public Law 99-198, 7 USC Code 3319a.

OBJECTIVES:

To identify international agricultural issues and problems and apply the most appropriate solutions in agricultural technical assistance and research. Implement capacity building projects that help U.S. trading partners understand and implement science-based international standards and practices.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use, Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Each Notice of Funding Opportunity will describe objectives for funding available under that notice.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Institutions of higher education, state cooperative institutions, non-profit organizations, and public international organizations.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Scientist/Researchers; State; Public nonprofit institution/organization; Other public institution/organization; Technical assistance provided through these agreements generally benefits agricultural institutions in specified locations, or supports programming that does so.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Nonprofit Organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their States Single Point of Contact (SPOC) to comply with the States process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>) Name and addresses of the SPOCs are maintained at the Office of Management and Budgets home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding

Opportunity may also be distributed through the USDA/NIFA Office of International Programs and on the USDA ezFedGrants portal at <https://grants.fms.usda.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of the individual projects. The anticipated length of each award is described in the corresponding Notice of Funding Opportunity. Method of awarding/releasing assistance: other

Reports:

Please see the Notice of Funding Opportunity for Progress Reporting due dates or frequency. Please see the Notice of Funding Opportunity for due dates or reporting frequency on the SF-425. A Performance Monitoring and Evaluation plan may be required with the application proposal.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-2900-0-1-352.

Obligations:

Direct Payments for Specified Use (Cooperative Agreements) FY 20 \$21,673,252.00; FY 21 est \$17,149,719.00; FY 22 est \$21,500,000.00;

Range and Average of Financial Assistance:

Most awards are between \$25,000 - \$1,500,000.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Please see the Notice of Funding Opportunity for the designated agency points of contact.

Headquarters Office:

Deputy Division Director Otto Gonzalez,

ASSISTANCE LISTINGS DESCRIPTIONS

1400 Independence Ave. SW,
Washington DC 20250
Email: Otto.Gonzalez@usda.gov
Phone: 202-649-3859

Website Address:

<http://www.fas.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.961 Scientific Cooperation and Research

Scientific Cooperation Research Program (SCRCP).

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, Title XIV, Part I, Section 1458, Statute 91-1015, Public Law 95-113, 7 USC Code 3291.

OBJECTIVES:

To leverage resources with domestic and international counterparts in order to advance cooperative research, education, and extension in agriculture

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds under the Scientific Cooperation Research Program may be used for direct costs of conducting collaborative research projects with counterpart institutions in eligible countries. Allowable expenses include travel for research, extension, and training purposes, supplies, equipment, and indirect costs necessary to implement the research projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education in the United States, including state cooperative institutions.

Beneficiary Eligibility:

Scientist/Researchers; Other public institution/organization; Beneficiaries will be specified in the Notice of Funding Opportunities, and are generally nationals of an eligible beneficiary country.

Credentials/Documentation:

This program is subject to the provisions of 2 CFR Part 200, as adopted by USDA through 2 CFR part 400. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their State's Single Point of

Contact (SPOC) to comply with the State's process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>). Name and addresses of the SPOCs are maintained at the Office of Management and Budget's home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be made available through the USDA/NIFA Center for International Programs, through the USDA ezFedGrants portal at <https://grants.fms.usda.gov>, and on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days. For renewals, new NOFOs will be posted on Grants.gov. Extensions must be requested at least 60 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements are entered into with select institutions for a period of 24 months, depending on the nature of the program. Method of awarding/releasing assistance: other

Reports:

Periodic program reports are required. Periodic performance progress reports are required. Please see the NOFO for Progress Reporting due dates or frequency. Periodic financial reports are required. Please see the NOFO for due dates or reporting frequency on the SF-425. Participants must include a monitoring and evaluation component in the proposal, which is appropriate for the nature of the proposal.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure reports. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-2900-0-1-352.

Obligations:

Cooperative Agreements FY 20 \$299,709.00; FY 21 est \$299,928.00; FY 22 est \$300,000.00;

Range and Average of Financial Assistance:

Research projects up to 24 months. Individual projects may not exceed \$50,000 of

ASSISTANCE LISTINGS DESCRIPTIONS

program funding.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nicola Sakhleh,
1400 Independence Ave. SW,
Washington DC 20250
Email: nicola.sakhleh@usda.gov
Phone: 202-720-4228
Fax:

Website Address:

<https://www.fas.usda.gov/programs/scientific-cooperation-research-program>

RELATED PROGRAMS:

10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.962 Cochran Fellowship Program-International Training-Foreign Participant

Cochran Fellowship Program,

FEDERAL AGENCY:

FOREIGN AGRICULTURAL SERVICE, AGRICULTURE, DEPARTMENT OF
AUTHORIZATION:

Agriculture Development and Trade Act of 1990, Title XV, Part D, Section 1543, Statue 104-3694, Public Law 101-624, 7 USC Code 3293. Freedom for Russia and Emerging Eurasian Democracies and Open Markets Support Act of 1992, Title VII, Section 705, Statue 106-3349, Public Law 102-511, Food, Agriculture, Conservation, and Trade Act Amendments of 1991, Title X, Section 1002, Statue 105-1894, Public Law 102-237, Federal Agriculture Improvement and Reform Act of 1996, Title II, Part C, Section 277, Statue 110-978, Public Law 104-127, Title Agriculture Improvement Act of 2018, Part Title III, Section 3201(b)(3)(B), 3305, Statue 132-4616, 4619, Public Law 115-334

OBJECTIVES:

To provide quality training resulting in knowledge and skills that will assist eligible countries in meeting the food security needs of their domestic populations; and to strengthen and enhance trade linkages between eligible countries and agricultural businesses in the United States.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Cochran Program funds are used for providing training to international exchange visitors to improve agricultural systems in their home countries.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher

education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The Cochran Program solicits proposals for training from U.S. institutions of higher education, nonprofit organizations, U.S. agricultural trade and market development associations, and private agribusinesses.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Other public institution/organization; Technical assistance provided through these agreements benefits foreign governments and agricultural institutions in their countries.

Credentials/Documentation:

This program is subject to the provisions of 2 CFR Part 200, as adopted by USDA through 2 CFR part 400. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An intergovernmental review may be required. Applicant(s) must contact their State's Single Point of Contact (SPOC) to comply with the State's process under Executive Order 12372 (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>). Name and addresses of the SPOCs are maintained at the Office of Management and Budget's home page at: <https://www.whitehouse.gov/wp-content/uploads/2019/02/SPOC-February-2019.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity will be distributed through the USDA/NIFA Center for International Programs, on the USDA ezFedGrants portal at <https://grants.fms.usda.gov>, and on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by FAS, which rates the qualifications of applicants and the merit of proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days. For renewals, new NOFOs will be posted on grants.gov. Extensions must be requested at least 45 days before the end of the Period of Performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects, generally 3 months but occasionally up to one year. Method of awarding/releasing assistance: other

Reports:

A final program report is required as described in the Notice of Funding Opportunity. Periodic progress reports are required. Please see the NOFO for Progress Reporting due dates or frequency. Periodic financial reports are required. Please see the NOFO for due dates or reporting frequency on the SF-425. Training evaluation reports are required. Participant evaluations and development of post-training action plans are required.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

12-2900-0-1-352.

Obligations:

Direct Payments for Specified Use FY 20 \$1,430,815.00; FY 21 est \$3,900,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Training activities last approximately two weeks. Average cost of each project is \$55,000, but varies based on the number of fellows.

TAFS Codes:

12-2900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Branch Chief Desiree Thomas,
1400 Independence Ave. SW,
Washington DC 20250
Email: desiree.thomas@usda.gov
Phone: 202-690-0947

Website Address:

<https://www.fas.usda.gov/programs/cochran-fellowship-program>

RELATED PROGRAMS:

10.614 Scientific Cooperation Exchange Program with China;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Global Programs (FAS/GP), in consultation with collaborating agencies and organizations. Contact FAS/GP for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

COMMERCE, DEPARTMENT OF

11.008 NOAA Mission-Related Education Awards

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science Reauthorization Act of 2010 ("America

COMPETES Reauthorization Act of 2010"),, 33 USC Code 893 a.

OBJECTIVES:

The objectives of the overall program are to facilitate educational activities related to NOAA's mission of science, service and stewardship. Awards may support the education of children, youth, and/or adults through informal or formal (K-16) education projects that address one or more of NOAA's mission goals and the objectives of the NOAA Education Strategic Plan for 2021-2040 (see <https://www.noaa.gov/education/explainers/2021-2040-noaa-education-strategic-plan>).

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

To provide funds to support educational activities and studies related to NOAA's mission.

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include federal, state, and local governments, tribal entities, universities and colleges, nonprofit and for-profit organizations and/or individuals. Individual Notices of Funding Opportunities may have more restrictive eligibility requirements.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Intrastate; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Local; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; This program benefits other Federal, state, and local governments, tribal entities, public and private universities and colleges, for-profit and nonprofit organizations, and the general public. Individual Notices of Funding Opportunities may have more restrictive eligibility requirements.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including standard and special award conditions for financial assistance. Recipients are required to use the System for Award Management Registration (formerly Central Contractor Registration) and Dun and Bradstreet Universal Numbering System and be subject to reporting requirements, as identified in OMB guidance published at 2 C.F.R Parts 25 and 170. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Most funding opportunities under 11.008 are excluded from coverage under E.O. 12372. Check individual Notices of Federal Opportunity (NOFO) to confirm the applicability of this requirement.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications, and in some cases preliminary applications, are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity and/or the CFDA number to access the applications package and instructions. Application package will then be submitted directly to the Program Office. This program is subject to 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance). For guidelines, see the specific funding opportunity. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will undergo an administrative review and a technical review based on NOAA standardized evaluation criteria. For each project recommended for funding under this program, NOAA must analyze the potential environmental impacts of projects as required under the provisions of the National Environmental Policy Act, 40 C.F.R 1500 through 1508. See the funding opportunity announcement for further NEPA guidance. NOAA reserves the right to withhold the awarding of a grant or cooperative agreement to any individual or organization delinquent on a debt to the Federal government until payment is made or satisfactory arrangements are made with the agency to which the debt is owed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Approval time is expected to range from 180 to 240 days from the time of receipt of a complete application. This timeframe includes processing the award through NOAA and DOC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Other. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce/NOAA and contingent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to five years. The funding is spent during the award's period of performance unless otherwise negotiated between the recipient and NOAA and approved by the NOAA Grants Management Division. Method of awarding/releasing assistance: lump sum.

Reports:

Cash reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial reports are submitted semi-annually or as otherwise required under special award conditions. Reports will be submitted through NOAA's Grants Online System. A final financial status report is required 120 days after the end of the award period. Programmatic progress reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that progress reports are submitted semi-annually or as otherwise required under special award conditions. Programmatic progress reports summarize work accomplished, relate the progress to the original approved application and timeliness, explain situations where expected work has not been completed, and request modifications to the project plan as necessary. Reports will be submitted through NOAA's Grants Online System. Depending on the program issuing the award, final project reports are due 120 days after the end of the award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained in accordance with the terms of the award. The recipient must retain records for 3 years from the date with the final financial status report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$10,212,993.00; FY 21 est \$5,437,577.00; FY 22 est \$7,000,000.00;

Range and Average of Financial Assistance:

Environmental Literacy Program Awards typically range from \$100,000 to \$500,000 under the competitive process, with an average award amount of approximately \$450,000. Bay-Watershed Education and Training Awards typically range from \$25,000 to \$80,000 per year for up to \$240,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 146 institutions advanced NOAA's mission to enhance awareness and understanding of Earth system science through formal (K-12) and informal education initiatives. These institutions reach people in 36 states and 2 territories (including the District of Columbia) and 668 communities. Over 1,000 educators participated in professional development programs using evidence-based practices conveying Earth system science in compelling and relevant ways. Over 5,000 K-12 students participated in formal science education programs. Over 15,000 children, youth, and adults participated in informal science education programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Commerce Grants and Cooperative Agreements Regulations. Allowable cost will be determined in accordance with 2 C.F.R Part 200 (Uniform Guidance). Financial assistance management will be in accordance with 2 C.F.R Part 200 (Uniform Guidance). For programmatic information on past and current funding opportunities, visit <http://www.noaa.gov/office-education/grants>.

Regional or Local Office:

None.

Headquarters Office:

Office of Education,
HCHB 68631401 Constitution Avenue, NW,
Washington DC 20230
Email: oed.grants@noaa.gov
Phone: 202-482-3384

Website Address:

<http://www.noaa.gov/office-education/grants>

RELATED PROGRAMS:

11.481 Educational Partnership Program;

EXAMPLES OF FUNDED PROJECTS:

2020 The Environmental Literacy Program ran several competitions in FY 2020; projects funded through these competitions are summarized at <https://www.noaa.gov/office-education/elp/grants/awards/2020-environmental-literacy-grants>. Several B-WET regional programs provided federal assistance in FY2020 under 11.008: B-WET Gulf of Mexico and B-WET New England. More information about funded projects can be found via <https://www.noaa.gov/office-education/bwet/apply>. Other offices and programs at NOAA funded individual projects under 11.008 from applications submitted through NOAA's Broad Agency Announcement.

CRITERIA FOR SELECTING PROPOSALS:

Applications will undergo an administrative review and a technical merit review based on NOAA standardized evaluation criteria. NOAA standardized selection factors may also be used in making the final selection.

11.011 Ocean Exploration

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Omnibus Public Lands Management Act of 2009, Title XII, , Public Law 111-11

OBJECTIVES:

To explore the Earth's largely unknown oceans in all their dimensions for the purpose of discovery and the advancement of knowledge, using state of the art technologies in evolutionary and revolutionary ways within Oceanic and Atmospheric Research (OAR).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Cooperative agreements and grants may be used for research and development, education and training, and advisory services. They may also be used for operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants and cooperative agreements are evaluated on an individual program basis.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); As stated in NOAA special announcements or applicable reports in support of NOAA's mission.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Organizations and individuals with interests in support of managing effectively the Nation's ocean exploration resources.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with applicable OMB Circulars. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceans and Atmospheric Research

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range varies from 90 to 180 days from the time of proposal submission to NOAA.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are conducted by negotiation with the OAR/OER and subject to availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Assistance is available one to three years based on availability of funding. Restrictions are contained in the DOC Grants and Cooperative Agreements Manual. Method of awarding/releasing assistance: other

Reports:

Progress reports require program information. Required every six months Progress interim reports every six months and a final report at the end of the award period. Required as part of the interim and final progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are expected to maintain complete records for each grant and/or cooperative agreement to ensure funds are used for the purpose for which the award was made.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$20,000,000.00; FY 21 est \$22,000,000.00; FY 22 est \$24,000,000.00;

Range and Average of Financial Assistance:

Grants and cooperative agreements are awarded as applicable. For example, the Ocean Exploration Science Program sponsors competitive, peer-reviewed exploration projects to collaborate with and augment NOAA intramural research programs.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOAA Grants and Cooperative Agreements Policy Manual. Allowable cost will be determined in accordance with 2 CFR 225 for State and local governments and Indian Tribes; 2 CFR 230 for nonprofit and for-profit organizations; 2 CFR 220 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Assistance Locations. Satellite Offices in Narragansette, Rhode

ASSISTANCE LISTINGS DESCRIPTIONS

Island; Charleston, South Carolina; Seattle, Washington.

Headquarters Office:

Ocean Exploration and Research Director, Ocean Exploration & Research,
1315 East-West Highway, Room 10210,

Silver Spring MD 20910

Email: oceanexplorer@noaa.gov

Phone: (301) 734-1010

Website Address:

<http://www.explorer.noaa.gov>

RELATED PROGRAMS:

11.426 Financial Assistance for National Centers for Coastal Ocean Science;
11.420 Coastal Zone Management Estuarine Research Reserves; 11.419 Coastal
Zone Management Administration Awards; 11.431 Climate and Atmospheric
Research; 11.417 Sea Grant Support; 11.432 Office of Oceanic and Atmospheric
Research (OAR) Joint and Cooperative Institutes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceans and Atmospheric Research.

11.012 Integrated Ocean Observing System (IOOS)

IOOS.

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Integrated Coastal and Ocean Observation System Act of 2009, 33 U.S.C 3601-3610., 33 USC Code 3601-3610.

OBJECTIVES:

To support projects aimed at the development and sustainability of a national and international integrated ocean observing system designed to collect, monitor and disseminate marine environment data in an interoperable, reliable, timely and user-specified manner by leveraging federal, regional and private-sector partnerships.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

IOOS is working to link national and regional observations, data management and modeling to provide required data and information on local to global scales. Assistance will be used to deliver the data and information needed to increase understanding of our oceans, coasts and great lakes, so decision makers can take action to improve safety, enhance the economy, and protect the environment. Uses will be in the following areas (1) Observations; (2) Data Management; (3) Modeling; (4) Research and Development; and (5) Education and Outreach.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Non-Government - General; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Organizations and individuals with professional expertise in the sciences, engineering and economics that demonstrate how participation in the IOOS effort

builds on and furthers the progress of observing system development funded by this agency.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Organizations and individuals with professional expertise in the sciences, engineering and economics that demonstrate how participation in the IOOS effort builds on and furthers the progress of observing system development funded by this agency.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with 2 CFR 200, Subpart E Cost Principles 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review." If 'No' is selected: "This program is excluded from coverage under E.O. 12372

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Selecting Official(s) will consider the rank order of proposals against the selection factors. The Selecting Official shall award in the rank order unless the proposal is justified to be selected out of rank order based upon one or more of the selection factors. The Selecting Official or designee may negotiate the funding level of the proposal.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 210 to 240 days after the proposal submission deadline.

Appeals:

Not Applicable. N/A

Renewals:

Other. Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extensions requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests should be received by the federal program office 45 days

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prior to the end date of the award. The federal program office will not recommend approval for any retroactive extension request (received after the end date of the award). Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of a six-month extension for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Support for projects may be provided for periods up to 5 years in annual increments. Method of awarding/releasing assistance: other

Reports:

The Federal Financial Report, Standard Form (SF) 425, and Performance Progress Reports are required semi-annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records will be retained in accordance with 2 CFR 200

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$280,033.00; FY 21 est \$379,967.00; FY 22 est \$500,000.00;

Range and Average of Financial Assistance:

Estimate not available

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: National Oceanographic Partnership Program (NOPP) awarded three new three-year US MBON projects covering the Arctic, northern and southern California Current, Pacific Northwest, Gulf of Maine, and South Florida. These three projects are now addressing specific needs of their collaborating federal, state and foundation partners

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with 2 CFR 200. Further guidelines are outlined in Department Administrative Order 203-36, dated May 15, 1985 (Department of Commerce Grants Administration) and also the NOAA Grants and Cooperative Agreement Policy Manual.

Regional or Local Office:

See Regional Assistance Locations. Carl Gouldman, Deputy Director, NOAA Integrated Ocean Observing System Program, 1315 East West Highway, 2nd Floor, Silver Spring, MD 20910. Telephone: (240) 533-9456 Debra Esty, Federal Program Officer, NOAA Integrated Ocean Observing System Program, 1315 East West Highway, 2nd Floor, Silver Spring, MD 20910. Telephone: (240) 533-9468 Main Line and General Inquiries, NOAA Integrated Ocean Observing System

Program, 1315 East West Highway, 2nd Floor, Silver Spring, MD 20910. Telephone: (240) 533-9444

Headquarters Office:

Director Carl Gouldman,
1315 East-West Highway, Silver Spring, MD 20910,
Silver Spring MD 20910
Email: carl.gouldman@noaa.gov
Phone: (240) 533-9454

Website Address:

<http://www.ioos.noaa.gov/>

RELATED PROGRAMS:

11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.419 Coastal Zone Management Administration Awards; 11.431 Climate and Atmospheric Research; 11.440 Environmental Sciences, Applications, Data, and Education; 11.473 Coastal Services Center; 11.417 Sea Grant Support; 11.455 Cooperative Science and Education Program; 11.429 Marine Sanctuary Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An initial administrative screening is conducted to determine compliance with requirements and completeness. The merit review process will then be conducted by the National Oceanographic Partnership Program (NOPP) sponsors and support team with input from the funding agencies and other interested NOPP agencies. All proposals will be evaluated and individually scored in accordance with the assigned weights of the above evaluation criteria by at least three independent peer evaluations. The merit review ratings are used to produce a rank order of the proposals.

11.013 Education Quality Award Ambassadorship

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

15 USC Code 3706. 15 USC Code 3711a.

OBJECTIVES:

Support Malcolm Baldrige National Quality Award Program (MBNQA) winners in conducting outreach, serving as role models, and encouraging other organizations to strive for performance excellence.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant money will be used for non-commercial Baldrige award recipients to share information on their successful performance and quality strategies with other U.S. organizations. Principal mechanisms to share information are presentations at the Quest for Excellence Conference, regional conferences, and hosting and speaking at different conferences, workshops/meetings.

Applicant Eligibility:

Anyone/general public; Applicants must have recently received the Malcolm Baldrige National Quality Award.

Beneficiary Eligibility:

Sponsored organization; Public from 18 sponsored organizations.

Credentials/Documentation:

Proposals with statements of work and detailed budgets. Costs will be determined in accordance with applicable federal cost principles. Grants are limited to recipients of the Baldrige National Quality Award. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under

ASSISTANCE LISTINGS DESCRIPTIONS

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Baldrige Performance Excellence Program (BPEP) Federal Project Office (FPO) will advise educational award recipients of grant opportunity. Baldrige award process is based upon published selection criteria.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Future or continued funding will be at the discretion of NIST, based on such factors as availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Usually five years in length. Method of awarding/releasing assistance: other

Reports:

Quarterly and final financial status reports are required; unless specified in award document. Quarterly and final program reports are required; unless specified in award document. Quarterly and final financial status reports are required; unless specified in award document. Quarterly and final program reports are required; unless specified in award document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits of all grant recipients shall be conducted in accordance with Government Auditing Standards issued by the Comptroller General of the United States (the Yellow Book).

Records:

All financial and programmatic records are required to be retained by recipients and subawardees in accordance with 2 CFR 200.333.

Account Identification:

13-0500-0-1-376.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Not to exceed \$100,000 over the life of the grant.

TAFS Codes:

13-0525.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200

Regional or Local Office:

None.

Headquarters Office:

Federal Project Officer Barbara F. Fischer,
100 Bureau Drive MD A-600,
Gaithersburg MD 20899-1020

Email: barbara.fischer@nist.gov

Phone: 301-975-8942

Website Address:

<http://www.nist.gov/baldrige>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Recipients have shared best practices on successful performance strategies with other US Corporations and organizations. Through these presentations, non-proprietary information is shared with others who want to learn and pursue performance excellence best practices. Examples funded projects include: Montgomery County Public Schools, Jenk's Public Schools, Richland College, Iredell-Statesville Schools, and the Pewaukee School District.

11.015 Broad Agency Announcement

BAA,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Executive Order Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act,

OBJECTIVES:

This BAA is a mechanism to encourage research, education and outreach, innovative projects, or sponsorships that are not addressed through NOAA's competitive discretionary programs.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Maintain and improve the viability of marine and coastal ecosystems, deliver valuable weather, climate, and water information and services, understand the science and consequences of climate change, and support global commerce and transportation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); None

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; U.S. Citizen; Local; School; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; None

Credentials/Documentation:

Registration in the System for Award Management (SAM) and Grants.gov is required. Also, applicants must submit all required Office of Management and

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Budget and Department of Commerce approved forms, and all required supporting documentation that thereby comprise a complete funding application package. Additionally, applicants must adhere to all requirements contained in the Broad Agency Announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. The recipient and subrecipients must comply with all environmental standards, to include those prescribed under the following statutes and Executive Orders, and shall identify to the awarding agency any impact the award may have on the environment. In some cases, funds can be withheld by the grants Officer under a special award condition requiring the recipient to submit additional environmental compliance information sufficient to enable the DOC to make an assessment on any impacts that a project may have on the environment.1. The National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.). Recipients of Federal assistance are required to identify to the awarding agency any impact an award will have on the quality of the human environment, and assist the agency in complying with the National Environmental Policy Act when the award activities remain subject to federal authority and control. Applicants for assistance may be requested to assist DOC in drafting an environmental assessment or environmental impact statement if DOC determines such documentation is required.2. The National Historic Preservation Act (NHPA) (16 U.S.C. 470 et seq.). Recipients of Federal funding are required to identify to the awarding agency any effects the award may have on properties included on or eligible for inclusion on the National Register of Historic Places. Recipients may also be requested to assist DOC in consulting with State or Tribal Historic Preservation Officers or other applicable interested parties necessary to identify, assess and resolve adverse effects to historic properties. Until such time as the appropriate NHPA consultations and documentation is complete, funds can be withheld by the Grants Officer under a special award condition requiring the recipient to fully comply with the requirement of the NHPA.3. Executive Order 11988, May 24, 1977(Floodplain Management) and Executive Order 11990, May 24, 1977 (Protection of Wetlands). Recipients must identify proposed actions in Federally-defined floodplains and wetlands to enable the Department to make a determination as to whether there is an alternative to minimize any potential harm.4. Clean Air Act (42 U.S.C. 7401 et seq.), Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.) (Clean Water Act), and Executive Order 11738 (Providing for administration of the Clean Air Act and the Federal Water Pollution Control Act with respect to Federal contracts, grants or loans). Recipients must comply with the provisions of the Clean Air Act (42 U.S.C. 7401 et seq.), Clean Water Act (33 U.S.C. 1251 et seq.), and Executive Order 11738. Recipients shall not use a facility placed by EPA on the Excluded Parties List System (EPLS) (<http://www.sam.gov>) in performing any award that is nonexempt under Subpart J of 2 CFR Part 1532.5. The Flood Disaster Protection Act of 1973 (42 U.S.C. 4002 et seq.). Flood insurance, when available, is required for Federally-assisted construction or acquisition in flood-prone areas.6. The Endangered Species Act of 1973, as amended (16 U.S.C. 1531 et seq.). Recipients must identify any impact or activities which may involve a threatened or endangered species. Federal agencies have the responsibility to ensure that no adverse effects to a protected species or habitat occur from actions under Federal assistance awards and conduct the required reviews under the Endangered Species Act, as applicable.7. The Coastal Zone Management Act, as amended (16 U.S.C. 1451 et seq.). Funded projects must be consistent with a coastal States approved management program for the coastal zone.8. The Coastal Barriers Resources Act (16 U.S.C. 3501 et seq.). Restrictions are placed on Federal funding for actions within a Coastal Barrier System.9. The Wild and Scenic Rivers Act, as amended (16 U.S.C. 1271 et seq.). This Act applies to awards that may affect existing or proposed components of the National Wild and Scenic Rivers system.10. The Safe Drinking Water Act of 1974, as amended (42 U.S.C. 300f-j). This Act precludes Federal assistance for any project that the EPA determines may contaminate a sole-source aquifer so as to threaten public health.11. The Resource Conservation and Recovery Act of 1976, as amended (42 U.S.C. 6901 et seq.). This Act regulates the generation, transportation, treatment, and disposal of hazardous wastes. The Act also provides that recipients of Federal funds give preference in their procurement programs to the purchase of recycled products pursuant to EPA guidelines.12. The Comprehensive Environmental Response, Compensation, and Liability Act (Superfund) (42 U.S.C. 9601 et seq.) and the Community Environmental Response

Facilitation Act (41 U.S.C. 11001 et seq.). These requirements address responsibilities of hazardous substance releases, threatened releases, and environmental cleanup. There are also reporting and community involvement requirements designed to ensure disclosure of the release or disposal of regulated substances and cleanup of hazards to state and local emergency responders.13. Executive Order 12898 (Environmental Justice in Minority Populations and Low Income Populations). Federal agencies are required to identify and address the disproportionately high and adverse human health or environmental effects of Federal programs, policies and activities on low income and minority populations.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Registration in System for Award Management (SAM)Secure a DUNS numberApply through [Grants.gov](https://www.grants.gov)Adhere to all requirements as outlined in the Broad Agency Announcement posted on the [Grants.gov](https://www.grants.gov) website

Award Procedure:

Applicant submits application to [Grants.gov](https://www.grants.gov);Application is received by NOAA from [Grants.gov](https://www.grants.gov) through NOAA's Grants Online electronic grants system;Applications are reviewed by responsible Program Office staff for adherence to requirements of BAA;Those applications selected for further review are reviewed by technical experts and assessed;Applications are selected for funding and recommended to Approving Official;Approved applications are routed to Grants Management Division for further review and to DOC attorneys for review, if required;Applications receive final review by Grants Officer before Grants Officer approves;The Grants Officer will notify successful applicants in an electronic award notice when the applicants have been selected for an award. Prior to official Grants Officer notification, other officials and employees from the operating unit are prohibited from either formally or informally notifying applicants verbally or in writing that they have been selected for awards.

Deadlines:

October 01, 2017 - September 30, 2020. None

Range of Approval/Disapproval Time:

>180 Days. None

Appeals:

Not Applicable. None

Renewals:

>180 Days. Awards may be renewed dependent upon funding availability and recipient performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

10/01/2017 through 09/30/2020 Method of awarding/releasing assistance: other

Reports:

Cash Reports are required quarterly. A Final Financial Report is required at the end of the award period. Progress Reports are required semi-annually unless otherwise noted in the award document. Performance will be monitored by the both NOAA Program Office staff and Grants Management Division staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Procedures as outlined in 2 CFR Part 200. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of

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three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities. The only exceptions are the following: (a) If any litigation, claim, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. (b) When the non-Federal entity is notified in writing by the Federal awarding agency, cognizant agency for audit, oversight agency for audit, cognizant agency for indirect costs, or pass-through entity to extend the retention period. (c) Records for real property and equipment acquired with Federal funds must be retained for 3 years after final disposition. (d) When records are transferred to or maintained by the Federal awarding agency or pass-through entity, the 3-year retention requirement is not applicable to the non-Federal entity. (e) Records for program income transactions after the period of performance. In some cases recipients must report program income after the period of performance. Where there is such a requirement, the retention period for the records pertaining to the earning of the program income starts from the end of the non-Federal entity's fiscal year in which the program income is earned. (f) Indirect cost rate proposals and cost allocation plans. This paragraph applies to the following types of documents and their supporting records: indirect cost rate computations or proposals, cost allocation plans, and any similar accounting computations of the rate at which a particular group of costs is chargeable (such as computer usage chargeback rates or composite fringe benefit rates). (1) If submitted for negotiation. If the proposal, plan, or other computation is required to be submitted to the Federal government (or to the pass-through entity) to form the basis for negotiation of the rate, then the 3-year retention period for its supporting records starts from the date of such submission. (2) If not submitted for negotiation. If the proposal, plan, or other computation is not required to be submitted to the Federal government (or to the pass-through entity) for negotiation purposes, then the 3-year retention period for the proposal, plan, or computation and its supporting records starts from the end of the fiscal year (or other accounting period) covered by the proposal, plan, or other computation.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$12,000,000.00; FY 22 est \$14,000,000.00;

Range and Average of Financial Assistance:

\$5,000 - \$10 million

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Research pertaining to fish, coasts, ocean exploration, weather, rivers, Great Lakes, mammals. Projects that help to maintain and improve the viability of marine and coastal ecosystems, delivering valuable weather, climate, and water information and services, understanding the science and consequences of climate change, and supporting global commerce and transportation.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200 Department of Commerce Financial Assistance Standard Terms and Conditions NOAA Administrative Standard Award Conditions Specific Conditions

Regional or Local Office:

None. National Oceanic and Atmospheric Administration Grants Management Division 1325 East West Highway, 9th Floor, Room 9328 Silver Spring MD 20910 phone: 301.628.1319

Headquarters Office:

Grants Officer Lamar Dwayne Revis,
1325 East West Highway, 9th floor, Bldg 2, Room 9328,
Silver Spring MD 20910
Email: lamar.revis@noaa.gov
Phone: 3016281308
Fax: 3017130947

Website Address:

<http://www.noaa.gov/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Fish, Coasts, Ocean Exploration, Weather, Research

CRITERIA FOR SELECTING PROPOSALS:

Research, education and outreach, innovative projects, or sponsorships that address maintaining and improving the viability of marine and coastal ecosystems, for delivering valuable weather, climate, and water information and services, for understanding the science and consequences of climate change, and for supporting the global commerce and transportation.

11.016 Statistical, Research, and Methodology Assistance

FEDERAL AGENCY:

US CENSUS BUREAU, COMMERCE, DEPARTMENT OF AUTHORIZATION:

Department of Commerce Appropriation Act 2020, Pub. L. No. 116-93, Section 11013 U.S.C. 1 et. seq, Title I, Section 110, Public Law 116-93, 13 USC Code 1 et seq.

OBJECTIVES:

To make awards to, or conclude cooperative agreements with appropriate entities, including any Federal, State, or local governmental unit, or institution of higher education, to aid and promote statistical, research, and methodology activities related to the Census Bureau mission under Title 13 of the United States Code. Awards provided under this notice support the Census Bureau and the Dept. of Commerce by: (1) developing and analyzing information for program and policy considerations; and, (2) undertaking special research.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Topics and areas of specific interest to the Census Bureau may be identified in a Notice of Funding Opportunity (NOFO) issued under this program. Proposals outside of a NOFO, or beyond the identified topics and areas of interest in a NOFO, may also be considered. Activities under this program must relate to the Census Bureau's mission to serve as the leading source of quality data about the nation's people and economy that honors privacy, protects confidentiality, and is guided by scientific objectivity, and research-based innovation.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Activities under this program must relate to the Census Bureau's mission to serve as the leading source of quality data about the nation's people and economy that honors privacy, protects confidentiality, and is guided by scientific objectivity, and research-based innovation.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Student/Trainee; Graduate Student; Scientist/Researchers; State; Local; Education (13+); Public nonprofit institution/organization; Eligible respondents include any appropriate entities, including but not restricted to Federal, State, or local governmental unit, or institution of higher education.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

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required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant is notified of funding availability through letter, electronic mail communication, or a Notice of Funding Opportunity Notice (NOFO), and how to apply for the funding through this notification. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Census will make final funding recommendation to the Grants Officer. The Grants Officer will review the recommendation, process the funding request and execute the notice of award. Name checks, verification of academic credentials and pre-award audits may be required of the recipient prior to award. Electronic notification of award will be generated and forwarded to the selected recipient by the Grants Officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. To the extent practicable, an applicant will be notified of its application status within 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Renewals are not anticipated, but may be considered based on Agency priorities, funding availability and performance of recipients.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods should not exceed one year. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly Quarterly Quarterly Quarterly Quarterly

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

09-0900-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$1,796,441.00; FY 21 est \$1,050,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

\$300,000 - \$1,000,000

TAFS Codes:

13-0450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. ADRM.Agreements@census.gov

Headquarters Office:

ADRM Agreements,

4600 Silver Hill Road,

Suitland MD 20746

Email: ADRM.Agreements@census.gov

Phone: 301-763-4628

Fax:

Website Address:

<http://www.census.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made based on a panel evaluation of the applications. This evaluation includes such factors as capability and experience of staff assigned to the project, techniques and methodology, resources and costs.

11.017 Ocean Acidification Program (OAP)

OAP,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,

COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Subtitle D of the Omnibus Public Land Management Act of 2009; Federal Ocean

Acidification Research and Monitoring Act of 2009. Public Law 111-111,

OBJECTIVES:

The OAP plays an integral role in maintaining long-term monitoring; conducting research designed to enhance the conservation of marine ecosystems sensitive to ocean acidification; promoting ocean acidification educational opportunities; engaging in national public outreach activities related to ocean acidification and its impacts; and coordinating ocean acidification activities with other agencies and appropriate international ocean science bodies.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The OAP provides financial assistance for critical research projects related to ocean acidification research and monitoring including those that explore the effects of ocean acidification on marine organisms, ecosystems and the socioeconomic impacts leading to potential adaptive strategies.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Profit organization; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); None

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Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; None

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. None

Award Procedure:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceanic and Atmospheric Research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. The range varies from 120 to 180 days from the time of proposal submission to NOAA.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available one to three years based on availability of funding. The commitment to obligate the amount of available funding for the next funding period with continuation amendments shall be clearly conditioned upon the availability of funds, satisfactory progress by the grantee, and the Program Office's determination that continued funding is in the best interest of the government. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the DOC Terms and Conditions for the Financial Assistance Awards. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Reporting requirements are outlined in the DOC Terms and Conditions for the Financial Assistance Awards. Financial reports indicate use of funds during the financial reporting period designated.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audit requirements are outlined in the DOC Terms and Conditions for the Financial Assistance Awards.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$1,750,000.00; FY 21 est \$1,925,000.00; FY 22 est \$2,117,500.00;

Range and Average of Financial Assistance:

\$100,000 to \$400,000

TAFS Codes:

13-1033.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOAA Grants and Cooperative Agreements Policy Manual. Allowable cost will be determined in accordance with 2 CFR 225 for State and local governments and Indian Tribes; 2 CFR 230 for nonprofit and for-profit organizations; 2 CFR 220 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Libby Jewett, Ph.D.,
SSMC3 10356,
Silver Spring MD 20910
Email: Libby.Jewett@noaa.gov
Phone: (301) 734-1288

Website Address:

<http://Oceanacidification.noaa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceanic and Atmospheric Research.

11.020 Cluster Grants

Build to Scale Program,

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, COMMERCE,
DEPARTMENT OF

AUTHORIZATION:

The statutory authority for the Build to Scale Program is Section 27 of the Stevenson-Wylder Technology Innovation Act of 1980, as amended (most recently by the National Defense Authorization Act for 2020, Pub. L 116-92, sec. 1742, 133 Stat. 1837 (December 20, 2019)), 15 U.S.C. 3722. The regulations governing the Build to Scale Program are located at subparts A and B of 13 C.F.R. part 312., Title 15 USC, Part Chapter 63, Section 27

OBJECTIVES:

EDAs Office of Innovation & Entrepreneurship is committed to furthering technology-based economic development initiatives that accelerate high quality job growth, create more economic opportunities, and support the future of the next generation of industry leading companies. To advance these goals, EDA awards grants through the Build to Scale Program (formerly the Regional Innovation Strategies Program) for activities designed to develop and support regional innovation initiatives. EDA thereby advances the growth of connected, innovation-centric economies that increase job growth, enable the workforce of tomorrow, enhance global competitiveness, and foster global competitiveness through technology commercialization and entrepreneurship as described in Stevenson-Wylder Technology Innovation Act of 1980 (hereafter Section 27). The Build to

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Scale Program invites organizations who are aiding companies in developing the next generation of technologies to apply for funding. These organizations may be operating initiatives to unlock investment capital across a region or sector, operating programs to accelerate company growth, empowering the next generation of entrepreneurs, and/or enabling technology commercialization. Under the Build to Scale Program, EDA is soliciting applications for three separate competitions: (1) the Venture Challenge, (2) the Capital Challenge, and (3) the Industry Challenge. Applicants may apply to all three challenges but may only submit one application per competition. As discussed further below, these activities are designed to develop and support regional innovation initiatives.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

i. Venture Challenge The Venture Challenge invites organizations to submit competitive proposals that seek to support entrepreneurship and accelerate company growth in their community, region, or combination of regions. Applicant organizations should be addressing issues within their innovation systems in order to strengthen economic competitiveness through new product innovation or new technology adoption, improve the commercialization of research, enhance the overall innovation capacity and resilience of a region, and/or leverage regional competitive strengths to stimulate innovation and job creation. Companies served by the applicant organization should be challenging the status quo of established markets, commercializing technologies, and furthering job creation within their businesses. Organizations should apply with a clearly defined strategy on how their organization will leverage funds to address challenges, launch new programming and/or scale existing programming. Funding will primarily support operational and programmatic costs and may not go directly to companies (i.e., startups) being served by the applicant organization. The Venture Challenge is a single competition but is comprised of two funding levels Build and Scale. Venture Challenge Build applicants may not request in excess of \$600,000 over the three-year period of performance. Venture Challenge Scale applicants must request greater than \$600,000 and may not request in excess of \$1,500,000 over the three-year period of performance. Venture Challenge Build applicants: May be piloting a solution to a demonstrated need. May be implementing a proven solution for a new region or community. Demonstrate a commitment and ability to collect agreed upon impacts. Request may not exceed \$600,000 over a 3-year project period. Provide a 1:1 match. Venture Challenge Scale applicants: May be scaling an existing initiative that has established and achieved impacts. Have a proven track record of successful deployment of programs. Demonstrate a commitment and ability to collect agreed upon impacts. Request must be greater than \$600,000 and may not exceed \$1,500,000 over a 3-year project period. Provide a 1:1 match. Examples: Organizations interested in applying to the Venture Challenge are encouraged to review project profiles of past awardees of the i6 Challenge (the predecessor to the Venture Challenge), at <https://www.eda.gov/oe/historical/.ii>. Capital Challenge The Capital Challenge provides operational support for the formation, launch, or scale of investment funds that seek to invest their capital in scalable startups or to organizations with a goal to expand capital deployment within a community, region, or regional industry (i.e., angel networks or investor training programs). Funding will primarily support operational and programmatic costs and may not be used as investment capital. Capital Challenge applicants should: Practice equity-based investing, whether through traditional or hybrid models, or be supporting an initiative whose participants practice equity-based investing. Evaluate companies for high-growth potential as a central factor of their investment strategy. Utilize grant funds to catalyze the deployment of capital within their region and/or related regions. Demonstrate a commitment and ability to collect agreed upon impacts. Request an amount not to exceed \$300,000 over a 3-year project period. Provide a 1:1 match. Examples: Organizations interested in applying to the Capital Challenge are encouraged to review project profiles of past awardees of the Seed Fund Support competition (the predecessor to the Capital Challenge), at <https://www.eda.gov/oe/historical/.iii>. Industry Challenge Innovation in the Blue Economy EDA and the U.S. Department of Energy Water Power Technologies Office (DOE WPTO) are jointly seeking solutions under this competition. 4 The Industry Challenge Innovation in the Blue Economy invites organizations to submit competitive proposals that seek to support entrepreneurship and accelerate company growth in their community, region, or combination of regions specializing in innovation within the blue economy. Applicant organizations should be addressing one or more of the following topics: supporting commercialization of

blue economy related technologies for freshwater or marine activities; improving the competitiveness of relevant startups and companies; increasing connectivity and collaboration between ocean technology innovation hubs as well as government and research stakeholders to enhance the overall innovation capacity and resilience of a region; solving user-adoption challenges in marine sectors; and leveraging the blue economy to stimulate innovation and job creation in their respective region. The purpose of the Industry Challenge is to support projects addressing energy innovation in the Blue Economy. Proposed projects should focus on energy innovation related to ocean activities, or complementary, broader blue economy innovations. Energy topics of interest include, but are not limited to, marine energy technologies (waves, current and tidal, as well as thermal and salinity gradients), offshore wind, floating offshore solar, maritime fuels, port microgrids, vessel supplemental propulsion, etc. Blue economy sectors that may benefit from energy innovation include, but are not limited to: marine energy, offshore wind, marine conservation, marine ecosystem biodiversity, aquaculture, ocean observing, maritime transport, or other lines of business directly connected to oceans, rivers, or other freshwater and marine waterways. Applicant organizations should clearly articulate how their organization will leverage funds to launch new programming and/or scale existing programming to address the energy-specific challenges facing blue economy companies outlined above. Industry Challenge applicants: May be piloting or scaling a solution related to innovation in the blue economy. May be implementing a proven solution, specific to innovation in the blue economy, within a new region. May be creating a support network related to a specific sector or sectors in the blue economy ecosystem to increase collaboration. Demonstrate a commitment and ability to collect agreed upon impacts. May request an amount not to exceed \$600,000 over a 3-year project period. Provide a 1:1 match in funds. **Applicant Eligibility:**

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); None

Beneficiary Eligibility:

Federal; U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Interstate; Native American Organizations; Intrastate; State; Local; Sponsored organization; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; None

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental and Historic Preservation Requirements Applications may be reviewed by EDA for compliance with the National Environmental Policy Act of 1969, as amended (NEPA), depending on the nature and specific elements of each given application. During the NEPA review process, applicants may be instructed to contact the designated State and/or Tribal Historic Preservation Officer (SHPO/THPO), provide approvals from other governmental agencies, or provide more detailed environmental information. EDA, after compliance with requirements for consultation with Federally-recognized Indian tribes, may require applicants to participate in Tribal consultation, as necessary. The implementing regulations for NEPA require EDA to provide public notice of the availability of project-specific environmental documents, such as environmental impact statements, environmental Entities applying as public-

ASSISTANCE LISTINGS DESCRIPTIONS

private partnerships must submit documentation of their contractual relationship. See 13. C.F.R 312.5. Regardless of entity type, EDA reserves the right to request documentation or other proof of organizational status, assessments, findings of no significant impact, and records of decision, to the affected public.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Project Narrative -One per application
Budget Narrative and Staffing Plan -One per application
Matching Share Commitment Letters -One per match source
State/Local Government Support (if applicable)- One per application

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EDA may award grants to eligible applicants to support project activities. Periods of performance are dependent on the type of project and the scope of work of the grant award. See Section F.1(p. 25) of this NOFO for award notification information. For all competitions, anticipated awards typically will have an initial period of performance of approximately three (3) years with an estimated start date on or about 45 days after the date on which EDA makes the award. EDA expects all projects to proceed efficiently and expeditiously, and EDA expects applicants to document clearly in their applications a reasonable and appropriate timeline that includes the start and completion dates of the proposed scope of work. As a condition of their respective awards, grantees will be required to provide timely periodic progress reports and performance data. Method of awarding/releasing assistance: other

Reports:

All recipients are required to submit financial, performance, and impact reports in accordance with the terms and conditions of the grant award, generally no less than semi-annually. All project progress and financial reports must be submitted to the applicable EDA program officer in electronic format. Recipients will be required to provide updates on their progress towards meeting any output and outcome measures identified in their application, as well as any other metrics requested by EDA and identified in the grant special award conditions. Furthermore, recipients will be required to provide economic development impact reports to EDA at the end of the grant performance period, two years after the end of the grant performance period, and five years after the end of the grant performance period. As part of its administration of the Build to Scale Program, EDA may conduct program evaluations. If so, recipients of grants under this program may need to furnish performance data to evaluators, including but not limited to EDA staff and outside parties contracted by EDA. EDA may also, for research purposes linked to improving economic outcomes, choose to share data with other Federal partners, including but not limited to statistical agencies. EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis. EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

Project Grants FY 20 \$33,000,000.00; FY 21 est \$38,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

i. Venture Challenge EDA plans to award approximately \$26,000,000 under the 2020 Venture Challenge. The maximum Federal share of each Venture Challenge Build award is \$600,000; the maximum Federal share of each Venture Challenge Scale award is \$1,500,000. ii. Capital Challenge EDA plans to award approximately \$5,000,000 under the 2020 Capital Challenge. The maximum Federal share of each Capital Challenge award is \$300,000. iii. Industry Challenge EDA plans to award approximately \$4,000,000 under the 2020 Industry Challenge. These funds will include \$2,000,000 from DOE WPTO and \$2,000,000 from EDA funding authorized by Section 27. The maximum Federal share of each Industry Challenge award is \$600,000.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR part 312 - Regional Innovation Program

Regional or Local Office:

See Regional Assistance Locations. www.eda.gov/contact

Headquarters Office:

Office of Innovation and Entrepreneurship,
1401 Constitution Ave NW,
Washington DC 20230
Email: oi@eda.gov
Phone: 202-482-8001

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 <https://www.eda.gov/oi/historical/>

CRITERIA FOR SELECTING PROPOSALS:

Environmental and Historic Preservation Requirements Applications may be reviewed by EDA for compliance with the National Environmental Policy Act of 1969, as amended (NEPA), depending on the nature and specific elements of each given application. During the NEPA review process, applicants may be instructed to contact the designated State and/or Tribal Historic Preservation Officer (SHPO/THPO), provide approvals from other governmental agencies, or provide more detailed environmental information. EDA, after compliance with requirements for consultation with Federally-recognized Indian tribes, may require applicants to participate in Tribal consultation, as necessary. The implementing regulations for NEPA require EDA to provide public notice of the availability of project-specific environmental documents, such as environmental impact statements, environmental assessments, findings of no significant impact, and records of decision, to the affected public.

11.021 NOAA Small Business Innovation Research (SBIR) Program

ASSISTANCE LISTINGS DESCRIPTIONS

NOAA SBIR Program,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Small Business Innovation Development Act (P.L. 97-219), , 15 USC Code 638.

OBJECTIVES:

The Small Business Innovation Research (SBIR) seeks to stimulate technological innovation in the private sector, strengthen the role of small businesses in meeting federal research and development needs, increase private sector commercialization of innovations derived from NOAA-supported research and development efforts, and foster and encourage participation by women-owned and socially disadvantaged small business firms in technological innovation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The selected areas of research are: Integrated Earth System Processes and Predictions; Environmental Observations; Decision Science, Risk Assessment and Risk Communication; Integrated Water Prediction; and, Arctic Research. The Small Business Innovation Research Program is carried out in three separate phases: The purpose of Phase I is to determine the scientific, technical, and commercial merit and feasibility of the proposed research. NOAA Phase I grants are up to \$120,000 for a 6-month period of performance. Phase II is the research and development (R&D) or prototype development phase. It requires a comprehensive proposal outlining the research and a detailed plan to commercialize the final product. Phase II grants are up to \$400,000 for a 24-month period of performance (plus an additional 12 months post-R&D for the development of a commercialization report). Phase III is to be conducted by the small business concern (including joint ventures and limited partnerships), and will be non-SBIR funded through the exercising of a follow-on funding commitment. The purpose of Phase III is to stimulate technological innovation and the national return on investment from research through the pursuit of commercialization objectives resulting from the supported work carried out in Phases I and II. Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives. Under Phase III, the grantee is required to obtain funding from the private sector, a non-SBIR government source, or both, to further develop the prototype into a viable product or service for sale in the federal government and/or private sector markets.

Applicant Eligibility:

Small business (less than 500 employees); Applicant Eligibility (1) is organized for profit, with a place of business located in the United States, which operates primarily within the United States, or which makes a significant contribution to the United States economy through the payment of taxes or use of American products, materials or labor; (2) is in the legal form of an individual proprietorship, partnership, limited liability company, corporation, joint venture, association, trust or cooperative, except that where the form is a joint venture, there can be no more than 49 percent participation by foreign business entities in the joint venture; (3) is at least 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, except in the case of a joint venture, where each entity in the venture must be 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in the United States; and (4) has, including its affiliates, not more than 500 employees. The term "affiliates" is defined in greater detail in 13 CFR 121.103. The term "number of employees" is defined in 13 CFR 121.106.

Beneficiary Eligibility:

Small business; Each year, NOAA sets aside a portion of its extramural R&D budget to fund research from small science and technology-based firms. The NOAA SBIR Program supports innovative research projects that fall within NOAA's core mission of science, service, and stewardship.

Credentials/Documentation:

Applicants for both Phase I and Phase II must qualify as a small business concern for research or research and development (R/R&D) purposes (Section 1.7.11) at the time of the award and at any other time set forth in the Small Business Administrations (SBA) regulations at 13 CFR 121.701-121.705. Each awardee must submit a certification (See Section 2.4.1 and 9.5) stating that it meets the size,

ownership and other requirements of the SBIR Program at the time of award, and at any other time set forth in SBAs regulations at 13 CFR 121.701-705. Phase I and Phase II applicants will be required to provide certain information via www.SBIR.gov as well as other information required by the SBIR Policy Directive. Applicants applying for an award are required to update the appropriate information in the U.S. Small Business Administration (SBA) Tech-Net database on SBIR.gov for any of its existing and prior Phase II awards. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All Phase I and II proposals will be evaluated and judged on a competitive basis. A proposal will not be deemed acceptable if it represents presently available technology. Proposals will be initially screened to determine responsiveness. Proposals passing this initial screening will be technically evaluated by engineers or scientists (reviewers may be NOAA employees or outside of NOAA) to determine the most promising technical and scientific approaches. Each proposal will be judged on its own merit. NOAA is under no obligation to fund any proposal or any specific number of proposals in a given topic. It also may elect to fund several or none of the proposed approaches to the same topic or subtopic. Final award recommendation decisions will be made by NOAA based upon rankings assigned by the selection panel and consideration of additional factors, including possible duplication of other research, the importance of the proposed research as it relates to NOAA needs, and the availability of funding. In the event of a tie between proposals, manufacturing-related projects as well as those regarding energy efficiency and renewable energy systems will receive priority in the award selection process. NOAA may elect to fund several or none of the proposals received on a given subtopic. Upon recommendation of a proposal for a Phase I award, NOAA reserves the right to review and negotiate, if necessary, the amount of the award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Notice is sent to applicants for each proposal submitted stating whether it was recommended or not for award no more than 90 days after the closing date of the solicitation. Awards are issued no more than 180 days after the closing of the solicitation.

Appeals:

>180 Days. Phase I applications may be revised and resubmitted during a later funding cycle, provided the subject matter of the proposal is not changed, and the topic area under which the proposal was originally submitted is still listed in the solicitation. Phase I grantees may apply for a Phase II grant only once for each Phase I project funded.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Phase I grants are normally limited to six (6) months. Phase II grants are normally limited to two (2) years, with an additional year for the completion of the commercialization report. Method of awarding/releasing assistance: other

Reports:

For Phase I grants, required reports include progress, financial and the final technical report. The same reports for Phase I are required for Phase II grants along with the commercialization report. Progress reports should include technical details regarding the research conducted and detailed plans for the next stages of the project. Inclusion of proprietary information within the progress reports and final report may be necessary in order to effectively communicate. The recipient must submit federal financial reports based on the frequency required in the terms and conditions of the award.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$11,000,000.00; FY 22 est \$12,000,000.00;

Range and Average of Financial Assistance:

Maximum allowable amounts are as follows:Phase I grants: \$120,000 eachPhase II grants: \$400,000 each

TAFS Codes:

13-1033.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The SBIR program was originally established in 1982 by the Small Business InnovationDevelopment Act (P.L. 97-219). It was then expanded by the Small Business Research andDevelopment Enhancement Act of 1992, extending the program to the year 2000 and then to2008. The program was reauthorized under Public Law 112-81, Section E. For over thirty years--since FY 1985--NOAA has awarded contracts to small, science and technology-focused companies under the SBA-administered Small Business Innovation Research Program.

Regional or Local Office:

See Regional Assistance Locations. NOAA Technology Partnerships Office (TPO)Small Business Innovation Research Program1315 East-West Highway, SSMC3Silver Spring, MD 20910

Headquarters Office:

Bruce T. Gibbs,
1315 East-West Highway,
Silver Spring MD 20910
Email: bruce.t.gibbs@noaa.gov
Phone: 301.734.1134
Fax:

Website Address:

<http://techpartnerships.noaa.gov/SBIR.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.022 Bipartisan Budget Act of 2018

Bipartisan Budget Act of 2018,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Bipartisan Budget Act of 2018 - Public Law 115-123, , Public Law 115-123

OBJECTIVES:

Identifying and documenting incremental risks and mitigating controls with robust criteria related to the disaster relief funding;Noting the applicability of the Improper Payments Information Act of 2002 to disaster relief funds;Documenting the linkages between risks related to disaster and emergency funding and efforts to address known internal control risks;Ensuring that grantees expend all awarded disaster relief funds within the 24-month period following the agency's obligation

of those funds, unless waived by OMB.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Disaster relief in response to recent hurricanes, wildfires, and other disasters.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); N/A

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; Scientist/Researchers; State; U.S. Citizen; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Entities affected by hurricanes, wildfires, and other disasters.

Credentials/Documentation:

Applicants for funding must submit documentation responding to requirements developed and published by the U.S. Department of Commerce. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR Part 200, applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Registration in System for Award Management (SAM);Secure a Data Universal Numbering System (DUNS) number;Apply through Grants.gov;Adhere to all requirements as outlined in the Notice of Funding Opportunity (NOFO) posted on the Grants.gov website.

Award Procedure:

Applicant submits application through Grants.gov;Application is received by NOAA from Grants.gov through NOAA's Grants Online electronic grants system;Applications are reviewed by responsible Program Office staff for adherence to requirements of the Notice of Funding Opportunity;Those applications selected for further review are reviewed by technical experts and assessed by predetermined criteria;Applications are selected for funding and recommended to approving official;Approved applications are routed to the Grants Management Division for further review and to DOC attorneys for review, if required;Applications receive final review by Grants Officer prior to approval and issuance;The Grants Officer will notify successful applicants in an electronic award notice when the applicant has been selected for an award.Prior to official Grants Officer notification, other officials and employees from the operating unit are prohibited from either formally or informally notifying applicants verbally or in writing that that have been selected for an award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. None

Appeals:

Not Applicable. None

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The funding will be available from FY 18 through FY 20, and must be expended within the 24-month period following the agency's obligation of funds - unless waived by OMB. Method of awarding/releasing assistance: other

Reports:

Cash Reports are required quarterly. Progress Reports are required quarterly. See Notice of Funding Opportunity for specifics. Performance monitoring will be performed by both NOAA Program Office and Grants Management Division staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR Part 200, Subpart F, Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a Single or a Program-Specific audit conducted for that year. Non-federal entities that expend less than \$750,000 in a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR Part 200, Section 200.503.

Records:

See Notice of Funding Opportunity that will be developed and published by the U.S. Department of Commerce after this Catalog of Federal Domestic Assistance (CFDA) program is published.

Account Identification:

13-1460-0-1-306.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Project Grants (including individual awards) FY 20 \$13,999,999.00; FY 21 est \$15,400,000.00; FY 22 est \$16,940,000.00;

Range and Average of Financial Assistance:

No data available

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200 U.S. Department of Commerce Financial Assistance Terms and Conditions NOAA Financial Assistance Administrative Terms Notice of Funding Opportunity

Regional or Local Office:

See Regional Assistance Locations. NOAA Grants Management Division

Headquarters Office:

Grants Officer Alan P. Conway,
1325 East West Highway, Bldg 2, 9th Floor, Room 9314,
Silver Spring MD 20910

Email: alan.p.conway@noaa.gov

Phone: 301.628.1310

Fax: 301.713.0947

Website Address:

<http://www.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Successful response to the Notice of Funding Opportunity.

11.023 Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program

STEM Talent Challenge,

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, COMMERCE,
DEPARTMENT OF

AUTHORIZATION:

EDA is authorized to carry out a grant program to identify and develop strategies that support the growth of STEM talent development programs, and to implement those programs where plans and strategies exist., Title Stevenson-Wydler Technology Innovation Act of 1980, Section 28, 15 USC Code 3723.

OBJECTIVES:

EDAs Office of Innovation & Entrepreneurship is committed to furthering technology-based economic development initiatives that accelerate high-quality job growth, create more economic opportunities, and support the future of the next generation of industry-leading companies. To advance these goals, EDA awards grants through the Build to Scale Program to develop and support regional innovation initiatives that help to build regional economies through scalable, technology-driven startups as described in the EDA administered portions of Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3720-3723) (Stevenson-Wydler).As these regional innovation initiatives scale across the country, America has benefited from growth in jobs requiring sophisticated science, technology, engineering, and math (STEM) skills. High-skilled human capital is proving essential to the growth and expansion of these regional technological ecosystems; however, U.S. employers have struggled to fill jobs in these fields, and younger or leaner firms, like many innovative startups, are especially hard hit by this challenge. An increasing number of jobs that require STEM skills do not require a bachelors degree, and other education and training pathways such as apprenticeships, fellowships, and other work-based learning models are becoming instrumental in meeting the urgent demand for a STEM-capable workforce. To meet these demands, Section 28 of Stevenson-Wydler (15 U.S.C. 3723), authorizes EDA to carry out a grant program to identify and develop strategies that support the growth of STEM talent development programs, and to implement those programs where plans and strategies exist.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Proposed projects may aim for some or all of the following outputs and outcomes or others, as appropriate, considering each proposed projects regional, sectoral, and economic contexts.A.STEM Work-Based Learning and Training Projects should focus on an interdisciplinary approach and utilize training models that provide workers with the experiences and skills they will need to succeed on-the-job and in real-world applications. These may include, but are not limited to, innovative industry-driven apprenticeships, fellowships, internships, and other cooperative employer-educator partnerships, and are not limited to existing industry definitions experimentation and innovation is encouraged. While important to the development of a future workforce, general education programs such as those found in K-12 curriculums are not encouraged, unless part of a broader strategy in a STEM readiness program. B.Increase Regional Innovation CapacityProjects should focus on connecting regional innovation stakeholders and employers with the workforce and talent development leaders of the region, or training providers who are readying tomorrows workforce. Programs should emphasize enabling the regions fast-paced technology startups and innovative companies to hire locally and decrease their costs and lead time to filling positions, allowing them to grow their teams and scale their businesses faster. Traditional workforce development programs may not have the expertise or networks to accomplish this organizations that normally do not identify as workforce development organizations, but are driving regional innovation through worker training are encouraged to apply. These may include, but are not limited to eligible applicants that are business accelerators and incubators, digital economy training organizations, and venture development

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organizations. Please see Section C (p. 4) of this NOFO for eligibility information regarding the STEM Talent Challenge. C.Increase Diversity, Inclusion, and Equity in STEM Projects should focus on enabling all members of a community to have equitable access to and participate in the innovation economy. Talent development programs should prioritize forging new and reinforcing existing relationships among an inclusive group of regional stakeholders, especially those who are underrepresented or historically underserved, including across socioeconomic and geographic categories. D.Build Computational Expertise for the Digital EconomyDigital tools and the internet have profoundly transformed the economy and our approach to learning. The COVID-19 global pandemic has accelerated these trends, and as such, a talent pool with computational literacy is imperative to remain globally competitive. In an increasingly virtual and remote world, these skills are essential to diversify economies and to adapt to rapidly transforming places of work and education. Examples of this could involve digital and cloud platforms to increase collaboration, teaching and training, customizable individual instruction in computer science or adjacent fields, as well as virtual reality or simulation-based training activities.

Applicant Eligibility:

U.S. Territories and possessions; Government - General; Federal; Interstate; Intrastate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applications for and eligible recipients of EDA investment assistance under this NOFO include:a State;an Indian tribe;a city or other political subdivision of a State;an entity that is a nonprofit organization;an institution of higher education;oa public-private partnership;oa science or research park;oa Federal laboratory;oa economic development organization or similar entity; and that has an application supported by a State, a political subdivision of a State, or a native organization; ora consortium of any of the above-mentioned eligible applicants.EDA is not authorized to provide assistance to individuals under this NOFO, and such requests will not be considered for funding. EDA is not authorized to provide grants or cooperative agreements under its Public Works or EAA programs to individuals or to for-profit entities. Requests from such entities will not be considered for funding. Pursuant to Section 3 of PWEDA (42 U.S.C. 3122) and 13 C.F.R. 300.3, eligible applicants for EDA financial assistance under the Public Works and EAA programs include a(n): (i) District Organization of an EDA-designated Economic Development District; (ii) Indian Tribe or a consortium of Indian Tribes; (iii) State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (iv) institution of higher education or a consortium of institutions of higher education; or (v) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Anticipated awards typically will have a period of performance of approximately

18 to 24 months with an estimated start date about 45 days after the date on which EDA makes the award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Applicants must provide matching non-Federal Share equal to at least 50 percent of the total project cost; i.e., applicants must match each dollar requested with at least one dollar of applicant match.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Anticipated awards typically will have a period of performance of approximately 18 to 24 months with an estimated start date about 45 days after the date on which EDA makes the award. If opting for \$50,000 planning and development activities, this work must be completed within the first six months of the award. The application is expected to be released in August 2020. Subject to the availability of funding, EDA expects to notify applicants of its decision in writing approximately 90 to 120 days after the Application Deadline. EDA expects that all projects will proceed efficiently and expeditiously, and EDA encourages applicants to clearly document how quickly the applicant will be able to start and complete the proposed work. Method of awarding/releasing assistance: Funds are disbursed on a reimbursement basis. Method of awarding/releasing assistance: other

Reports:

All recipients are required to submit financial, performance, and impact reports in accordance with the terms and conditions of the award, generally no less than semi-annually. All project progress and financial reports must be submitted to the applicable EDA program officer in electronic format. Recipients will be required to provide updates on their progress towards meeting any output and outcome measures identified in their application, as well as any other metrics requested by EDA and identified in the specific award conditions. Furthermore, recipients will be required to provide economic development impact reports to EDA at the end of the award period of performance. As part of its administration of the program, EDA may conduct program evaluations. If so, recipients may need to furnish performance data to evaluators, including but not limited to EDA staff and outside parties contracted by EDA. EDA may also, for research purposes linked to improving economic outcomes, choose to share data with other Federal partners, including but not limited to statistical agencies. Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. EDA may require that recipients provide EDA additional data on actual impact of the funded investment, pursuant to the Government Performance and Results Act (GPRA), up to nine years after award. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The applicant is reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an

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audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

Cooperative Agreements FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

The STEM Talent Challenge is designed to help communities with two activities planning and development, and program implementation. A total of \$2 million has been appropriated for this program and EDA invites applications that maximize impacts across both activities within this program, though planning and development activities are optional. Applicants may not request in excess of \$300,000 over an 18 to 24-month period of performance, of which no more than \$50,000 may support planning and development activities if such support is needed.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. You may contact the EDA Office of Innovation and Entrepreneurship: Email: oie@eda.gov Phone: (202) 482-8001

Headquarters Office:

Emily Miller,
1401 Constitution Ave,
Washington DC 20230
Email: emiller@eda.gov
Phone: (202) 482-8001
Fax:

Website Address:

<https://eda.gov/oie/>

RELATED PROGRAMS:

11.020 Cluster Grants; 11.307 Economic Adjustment Assistance; 11.303 Economic Development Technical Assistance; 10.761 Technical Assistance and Training Grants; 17.285 Apprenticeship USA Grants; 17.201 Registered Apprenticeship; 12.330 Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program; 12.560 DOD, NDEP, DOTC-STEM Education Outreach Implementation; 12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Evaluation Criteria To apply for an award under this announcement, an eligible applicant must submit a complete application to EDA in accordance with Section D. of this NOFO. Applications that do not meet all of the requirements or that exceed the page limitations set forth in Section D.2.b. of this announcement may be considered non responsive and may not be considered for review. Applications will be evaluated against the following six equally-weighted criteria by awarding between 0 and 10 points under each criterion. Project Support and Connectivity: The extent to which the project is supported by and connected to regional innovation leaders such as technology centers, business accelerators, community colleges, as well as STEM industry leaders such as technology startups, advanced manufacturers, and life science businesses, and other similar entities; as well as local leadership and institutions, such as relevant governmental departments, councils of governments, planning entities, or other similar entities. Engagement and Diversity: The extent to which the project will encourage and solicit

participation from an inclusive group of regional stakeholders that might benefit from participation including newly formed entities, rival existing participants, and underrepresented or unconnected populations and organizations across socioeconomic and geographic categories. Economic and Innovation Impacts: The extent to which the project stimulates innovation, increases regional innovation capacity, encourages experimentation with STEM talent development strategies and apprenticeship-based programs, and expands regional workforce capacity to support high-growth entrepreneurial ventures, industries of the future, and other innovation-driven businesses that have a high likelihood of accelerating economic competitiveness and job creation in their respective regions and in the United States. Assets and Infrastructure: Whether the project has access to, will leverage, and/or will contribute to a regional economy's competitive strengths, assets and infrastructure (such as technology infrastructure, innovation pipelines, and sources of early-stage capital). Project Sustainability and Adaptability: The extent to which the project is likely to be able to sustain activities once grant funds are expended, including the likelihood of future financial or programmatic support from non-Federal sources. Project Feasibility: The extent to which the application organization(s) demonstrates the ability to execute the proposed project successfully, including if the proposed projects budget and narrative reasonably and realistically correspond to the costs and activities necessary for its successful execution. 2. Review and Selection Process Throughout the review and selection process, EDA reserves the right to seek clarification from applicants whose applications are being reviewed. EDA may ask applicants to clarify application materials, objectives, and scope of work, or modify budgets or other specifics necessary to comply with Federal requirements. a. Review for Eligibility and Completeness (Technical Review) EDA staff will initially conduct an eligibility and technical completeness review (the Technical Review) of all applications received to assess whether the application meets the requirements of D.2. Applications received from ineligible entities will not be considered for funding. Additionally, applications that do not contain all forms and required documentation listed in Section D.2. of this NOFO may be deemed non responsive and excluded from further consideration. EDA expects all applicants to complete and include all required forms and documentation. However, EDA, in its sole discretion, may determine that an omission is a non-substantive technical deficiency if it can easily and quickly be rectified and therefore may continue its consideration of the application despite the deficiency. b. Merit Review Merit Reviewers will evaluate the applications against the evaluation criteria enumerated in Section E of this NOFO. Each application will be reviewed by at least three Merit Reviewers. Merit reviewers may include but are not limited to, DOC and EDA personnel, and other Federal and non-Federal subject matter experts. EDA, in its sole discretion, may use a statistical technique to normalize and aggregate Merit Reviewer quantitative evaluations (i.e., scores) and may consider qualitative Merit Reviewer evaluation information. For each competition, the most highly ranked applications will be recommended to the Grants Officer as the applications that merit consideration for EDA funding. c. Due Diligence If an application is selected, the applicant still may have to complete certain due diligence requirements. EDA may request that the applicant submit additional documents and information to allow EDA to fully evaluate compliance with applicable rules and regulations. If the applicant provides the requested information and supporting documentation in a timely fashion and EDA determines that the project is fully compliant with applicable rules and regulations, the application will be forwarded to the Grants Officer for a final decision and award approval. Applicants that do not provide the additional information and supporting documentation in a timely fashion or that are deemed not to be in compliance with applicable rules and regulations will receive notification that their application was not successful. d. Grants Officers Decision The most highly ranked applications will be forwarded to the Grants Officer under this announcement, which will be EDA's Deputy Assistant Secretary for Regional Affairs (DAS/RA) or the DAS/RAs delegate. The Grants Officer has been delegated the authority to make the final decision on whether to fund an application and may select a project for funding that differs from the most highly ranked applications based on any of the following Selection Factors or use these Selection Factors to break a tie for applications that are otherwise considered substantially equal in merit: 1. the extent to which the application meets the overall objectives of Section 28 of the Stevenson Wylder Technology Innovation Act of 1980; 2. the ability of a project to start quickly, realistically achieve project goals, and catalyze additional resources; 3. the financial or management capability of the applicant; 4. the applicant's performance under previous Federal financial assistance awards, including whether the awardee submitted required performance reports and

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data;5.the extent to which the application leverages complementary public or private sector programs or policies, including but not limited to those operated or managed by the Federal Government;6.the availability of program funding;7.the extent to which any technical deficiencies or any budgetary or legal issues in the application may impact an applicants ability to executive the project or achieve the desired impacts; or8.the extent to which the project supports EDAs goals of geographic balance in distribution of program funds (including but not limited to diversity of urban and rural states), project types and award activities and the overall portfolio. The final decision of the Grants Officer must be consistent with this NOFO and applicable law. Should the Grants Officer make a selection that differs from the review committees recommendation, the Grants Officer will document the rationale for the decision in writing. There is no appeal process for denied applications.

11.024 BUILD TO SCALE

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, COMMERCE,
DEPARTMENT OF

AUTHORIZATION:

Public Law 116th Congress-Pub. L 116-92, sec. 1742, 133 Stat. 1837

OBJECTIVES:

EDAs Office of Innovation & Entrepreneurship is committed to furthering technology-based economic development initiatives that accelerate high quality job growth, create more economic opportunities, and support the future of the next generation of industry leading companies. To advance these goals, EDA awards grants through the Build to Scale for activities designed to develop and support regional innovation initiatives. 1 EDA thereby advances the growth of connected, innovation-centric economies that increase job growth, enable the workforce of tomorrow, enhance global competitiveness, and foster global competitiveness through technology commercialization and entrepreneurship as described in Stevenson-Wylder Technology Innovation Act of 1980 (hereafter Section 27). The Build to Scale Program invites organizations who are aiding companies in developing the next generation of technologies to apply for funding. These organizations may be operating initiatives to unlock investment capital across a region or sector, operating programs to accelerate company growth, empowering the next generation of entrepreneurs, and/or enabling technology commercialization. Under the Build to Scale Program, EDA is soliciting applications for two separate competitions: (1) the Venture Challenge and (2) the Capital Challenge. Applicants may apply to both challenges. As discussed further below, these activities are designed to develop and support regional innovation initiatives.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

i. Venture Challenge The Venture Challenge invites organizations to submit competitive proposals that seek to support entrepreneurship and accelerate company growth in their community, region, or combination of regions. Competitive proposals will outline how the project will strengthen economic competitiveness through new product innovation or new technology adoption, enhancing research commercialization processes and outcomes, remediating structural barriers that inhibit regional innovation capacity and resilience, and/or leveraging regional competitive strengths to stimulate innovation and job creation. Companies served by the applicant organization should be challenging the status quo of established markets, commercializing technologies, and furthering job creation within their businesses. Applicants should provide evidence that illustrates how funds leveraged through this competition will not only launch new programming and/or scale existing programming, but also generate sustainable added value for the regions entrepreneurial ecosystem by augmenting existing regional assets for innovation and entrepreneurship. The Venture Challenge is a single competition but is comprised of two funding levels: Build and Scale. Venture Challenge Build applicants may not request in excess of \$750,000 over the three-year period of performance. Venture Challenge Scale applicants must request

more than \$750,000 and may not request in excess of \$1,500,000 over the three-year period of performance. Venture Challenge Build applicants: May be piloting a solution to a demonstrated need May be implementing a proven solution for a new region or community Demonstrate a commitment and ability to collect agreed upon impacts Request may not exceed \$750,000 over a 3-year project period Provide a 1:1 match Venture Challenge Scale applicants: May be scaling an existing initiative that has established and achieved impacts Have a proven track record of successful deployment of programs Demonstrate a commitment and ability to collect agreed upon impacts Request must be greater than \$750,000 and may not exceed \$1,500,000 over a 3-year project period Provide a 1:1 match Examples: Organizations interested in applying to the Venture Challenge are encouraged to review project profiles of past awardees of the 2020 Venture Challenge or i6 Challenge (the predecessor to the Venture Challenge), at <https://www.eda.gov/oe/historical/.ii>. Capital Challenge The Capital Challenge provides operational support for the formation, launch, or scale of investment funds that seek to invest their capital in scalable startups or to organizations with a goal to expand capital deployment within a community, region, or regional industry (i.e., angel networks or investor training programs). Funding will primarily support operational and programmatic costs and may not be used as investment capital. Capital Challenge applicants should: Practice equity-based investing, whether through traditional or hybrid models, or be supporting an initiative whose participants practice equity-based investing Evaluate companies for high-growth potential as a central factor of their investment strategy Utilize grant funds to catalyze the deployment of capital within their region and/or related impacts Demonstrate a commitment and ability to collect agreed upon impacts Request may not exceed \$400,000 over a 3-year project period Provide a 1:1 match Examples: Organizations interested in applying to the Capital Challenge are encouraged to review project profiles of past awardees of the 2020 Capital Challenge or Seed Fund Support competition (the predecessor to the Capital Challenge), at <https://www.eda.gov/oe/historical/>.

Applicant Eligibility:

U.S. Territories and possessions; Government - General; Interstate; Intrastate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other public institution/organization; Eligible applicants for EDA financial assistance under this NOFO include: A State; An Indian tribe; A city or other political subdivision of a State; An entity whose application is supported by a State or a political subdivision of a State and that is a nonprofit organization, an institution of higher education, a public-private partnership, a science or research park, a Federal laboratory, a venture development organization, an economic development organization or similar entity; or A consortium of any of the immediately aforementioned entities. EDA is not authorized to provide grants or cooperative agreements to individuals under this Program. Requests from individuals will not be considered for funding.

Beneficiary Eligibility:

Federal; Interstate; Intrastate; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; Education Professional; Scientist/Researchers; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (13+);

Credentials/Documentation:

i. Venture Challenge The Venture Challenge invites organizations to submit competitive proposals that seek to support entrepreneurship and accelerate company growth in their community, region, or combination of regions. Applicant organizations should be addressing issues within their innovation systems in order to strengthen economic competitiveness through new product innovation or new

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technology adoption, improve the commercialization of research, enhance the overall innovation capacity and resilience of a region, and/or leverage regional competitive strengths to stimulate innovation and job creation. Companies served by the applicant organization should be challenging the status quo of established markets, commercializing technologies, and furthering job creation within their businesses. Organizations should apply with a clearly defined strategy on how their organization will leverage funds to address challenges, launch new programming and/or scale existing programming. Funding will primarily support operational and programmatic costs and may not go directly to companies (i.e., startups) being served by the applicant organization. The Venture Challenge is a single competition but is comprised of two funding levels Build and Scale. Venture Challenge Build applicants may not request in excess of \$750,000 over the three-year period of performance. Venture Challenge Scale applicants must request greater than \$750,000 and may not request in excess of \$1,500,000 over the three-year period of performance. Venture Challenge Build applicants: May be piloting a solution to a demonstrated need May be implementing a proven solution for a new region or community Demonstrate a commitment and ability to collect agreed upon impacts Request may not exceed \$750,000 over a 3-year project period Provide a 1:1 match Venture Challenge Scale applicants: May be scaling an existing initiative that has established and achieved impacts Have a proven track record of successful deployment of programs Demonstrate a commitment and ability to collect agreed upon impacts Request must be greater than \$750,000 and may not exceed \$1,500,000 over a 3-year project period Provide a 1:1 match Examples: Organizations interested in applying to the Venture Challenge are encouraged to review project profiles of past awardees, at <https://www.eda.gov/oie/historical/>. ii. Capital Challenge The Capital Challenge provides operational support for the formation, launch, or scale of investment funds that seek to invest their capital in scalable startups or to organizations with a goal to expand capital deployment within a community, region, or regional industry (i.e., angel networks or investor training programs). Funding will primarily support operational and programmatic costs and may not be used as investment capital. Capital Challenge applicants should: Practice equity-based investing, whether through traditional or hybrid models, or be supporting an initiative whose participants practice equity-based investing Evaluate companies for high-growth potential as a central factor of their investment strategy Utilize grant funds to catalyze the deployment of capital within their region and/or related regions Demonstrate a commitment and ability to collect agreed upon impacts Request an amount not to exceed \$400,000 over a 3-year project period Provide a 1:1 match Examples: Organizations interested in applying to the Capital Challenge are encouraged to review project profiles of past awardees of the Seed Fund Support competition at <https://www.eda.gov/oie/historical/>. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applications submitted under this NOFO are subject to the requirements of Executive Order (EO) 12372, Intergovernmental Review of Federal Programs, if a State has adopted a process under EO 12372 to review and coordinate proposed federal financial assistance and direct federal development (commonly referred to as the single point of contact review process). All applicants whose primary service areas fall within one or more such States must give State and local governments a reasonable opportunity to review and comment on the proposed project, including review and comment from area-wide planning organizations in metropolitan areas. To find out more about a States process under EO 12372, applicants may contact their States Single Point of Contact (SPOC). Names and addresses of some States SPOCs are listed at <https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>. Question 19 of Form SF-424 allows applicants to indicate compliance with EO 12372; however, note that applicants must supply as part of their application packages documentation that supports the answer provided to Question 19 (i.e., that demonstrates compliance). See section D.2. (p. 8).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

2. Review and Selection Process. Review for Eligibility and Completeness (Technical Review) EDA staff will conduct an eligibility and technical completeness review (the Technical Review) of all applications received by the application deadline. Applications received from ineligible entities will not be considered for funding. Applications that do not contain all forms and required documentation listed in section D.2 (p.8) of this NOFO may be deemed non-responsive and excluded from further consideration. EDA expects all applicants to complete and include all required forms and documentation. However, EDA, in its sole discretion, may determine that an omission is curable and therefore may continue its consideration of the application despite the deficiency. Technical Review will be conducted separately for each application for each competition. ii. Merit Review Merit Reviewers will evaluate applications against the evaluation criteria for the relevant competition enumerated in section E.1 (p. 20) of this NOFO. Each application will be reviewed by at least three Merit Reviewers. Merit reviewers may include, but are not limited to, DOC and EDA personnel and other federal and non-federal subject matter experts. EDA, in its sole discretion, may use a statistical technique to normalize and aggregate Merit Reviewers quantitative evaluations (i.e., scores) and may consider qualitative Merit Reviewer evaluation information. For each competition, the most highly ranked applications will be recommended to the Grants Officer as the applications that merit consideration for EDA funding. iii. Grants Officer Decision The most highly ranked applications will be forwarded to the Grants Officer under this NOFO, which EDA anticipates will be the Director of OIE. The Grants Officer has been delegated the authority to make the final decision on whether to fund an application and may select a project for funding that differs from the most highly ranked applications based on any of the following Selection Factors or use these Selection Factors to break a tie for applications that are otherwise considered substantially equal in merit: 1. the extent to which the application meets the overall objectives of Section 27; 2. the extent to which the selection of the application, alone or in the context of other applications, supports EDAs compliance with appropriations law requirements and report language guidance; 3. the ability of a project to start quickly, realistically achieve project goals, and catalyze additional resources; 4. the comparative financial or management capability of the applicant; 5. the applicants performance under previous federal financial assistance awards, including whether the grantee submitted required performance reports and data; 6. for previous grantees, the extent to which the application builds upon and creates synergies with previously funded work; 7. for applicants to multiple competitions under this NOFO, the extent to which the proposal leverages dollars across the multiple programs to make their overall approach stronger; 8. the extent to which the application leverages complementary public or private sector programs or policies, including but not limited to those operated or managed by the Federal Government; 9. the availability of program funding; 10. the extent to which the project supports EDAs goals of geographic balance in distribution of program funds (including but not limited to diversity among urban and rural states), project types (including but not limited to diversity among Build and Scale awards under the Venture Challenge), sectoral focus (including but not limited to advanced wood products; advanced manufacturing; artificial intelligence; bioscience; commercial space; energy; marine energy; nanotechnology; telecommunications, including broadband; etc.), organizational type (including but not limited to organization size and stage of development) and the overall portfolio; and 11. the extent to which any technical deficiencies or any budgetary or legal issues in the application may impact an applicants ability to execute the project or achieve the desired impacts. The final decision of the Grants Officer must be consistent with this NOFO and applicable law. Should the Grants Officer make a selection that differs from the most highly ranked applications, the Grants Officer will document the rationale for the decision in writing. There is no appeal process for denied applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

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Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Applicants must provide matching share equal to at least 50 percent of the total project cost; i.e., applicants must match each dollar requested with at least one dollar of applicant match. See section C.2 (p.7) of this NOFO.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Under this NOFO, EDA may award grants to eligible applicants to support project activities. Periods of performance are dependent on the type of project and the scope of work of the grant award. See section F.1 (p. 25) of this NOFO for award notification information. For both competitions, EDA anticipates awards will typically have an initial period of performance of approximately three (3) years with an anticipated start date of October 1, 2021. EDA expects all projects to proceed efficiently and expeditiously, and EDA expects applicants to document clearly in their applications a reasonable and appropriate timeline that includes the start and completion dates of the proposed scope of work. As a condition of their respective awards, grantees will be required to provide timely periodic progress reports and performance data as set forth in section F.3 (p. 25). Method of awarding/releasing assistance: other

Reports:

Progress Reports: All recipients are required to submit financial, performance, and impact reports in accordance with the terms and conditions of the grant award, generally no less than semi-annually. All project progress and financial reports must be submitted to the applicable EDA program officer in electronic format. Recipients will be required to provide updates on their progress towards meeting any output and outcome measures identified in their application, as well as any other metrics requested by EDA and identified in the grant special award conditions. Furthermore, recipients will be required to provide economic development impact reports to EDA at the end of the grant performance period, two years after the end of the grant performance period, and five years after the end of the grant performance period. As part of its administration of the Build to Scale Program, EDA may conduct program evaluations. If so, recipients of grants under this program may need to furnish performance data to evaluators, including but not limited to EDA staff and outside parties contracted by EDA. EDA may also, for research purposes linked to improving economic outcomes, choose to share data with other Federal partners, including but not limited to statistical agencies. Expenditure Reports: EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis. Performance Reports: EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$33,000,000.00; FY 21 est \$38,000,000.00; FY 22 est \$45,000,000.00;

Range and Average of Financial Assistance:

Venture Challenge EDA plans to award approximately \$32,000,000 under the 2020 Venture Challenge. The maximum Federal share of each Venture Challenge Build award is \$750,000; the maximum Federal share of each Venture Challenge Scale award is \$1,500,000. ii. Capital Challenge EDA plans to award approximately \$6,000,000 under the 2020 Capital Challenge. The maximum Federal share of each Capital Challenge award is \$400,000.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR part 312 - Regional Innovation Program

Regional or Local Office:

See Regional Assistance Locations. www.eda.gov/contact

Headquarters Office:

Office of Innovation and Entrepreneurship,

1401 Constitution Ave NW,

Washington DC 20230

Email: oi@eda.gov

Phone: 2024828001

Fax:

Website Address:

<https://www.eda.gov/funding-opportunities/>

RELATED PROGRAMS:

10.212 Small Business Innovation Research; 10.255 Research Innovation and Development Grants in Economic (RIDGE); 10.854 Rural Economic Development Loans and Grants; 11.303 Economic Development Technical Assistance; 11.307 Economic Adjustment Assistance; 12.617 Economic Adjustment Assistance for State Governments; 15.875 Economic, Social, and Political Development of the Territories; 81.036 Inventions and Innovations; 94.007 Program Development and Innovation Grants; 11.020 Cluster Grants; 11.023 Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program;

EXAMPLES OF FUNDED PROJECTS:

2020 <https://www.eda.gov/oi/historical/>

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed against the following six equally weighted criteria by awarding between 0 and 7 points on the two sub-questions per criteria, with 0 meaning "does not address" and 7 meaning "addresses with 100% success". These criteria will be used for all competitions in this NOFO. Applications from each competition will be scored competitively against applications received for that same competition using the following common criteria: Summary of CriteriaEcosystem Resources and AssetsProposed SolutionUse of Partner EntitiesMeasurable Goals and SustainabilityBudget and Staffing PlanAlignment with B2S Program Goals

11.028 Connecting Minority Communities Pilot Program

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Title Consolidated Appropriations Act 2021, Pub. L. 116-260, Part Division N, Title IX, Section 902, Statue 134-1182

OBJECTIVES:

The Connecting Minority Communities Pilot Program provides grants to eligible recipients in anchor communities for the purchase of broadband internet access service or any eligible equipment, or to hire and train information technology personnel to 1) facilitate educational instruction and learning of Historically Black Colleges and Universities, Tribal Colleges or Universities, Minority Serving

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Institutions and related consortiums; 2) operate minority business enterprise managed by a related consortium; or 3) operate an eligible organization managed by a related consortium.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible recipients may use grant funds to: (1) purchase broadband internet access service, including the installation or upgrade of broadband facilities on a one-time, capital improvement, basis to increase or expand broadband capacity and/or connectivity at the eligible institution; (2) purchase or lease of eligible equipment and devices for student or patron use, subject to any restrictions and prohibited uses; and/or(3) hire and train information technology personnel who are a part of the eligible organization.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); (1) a historically Black college or university; (2) a Tribal College or University; (3) a Minority-serving institution; or(4) a consortium that is led by a historically Black college or university, a Tribal College or University, or a Minority-serving institution and that also includes a minority business enterprise or an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under 10 section 501(a) of such Code. Additionally, an eligible recipient must be in an anchor community that has an estimated median annual household income of not more than two hundred and fifty percent (250%) of the poverty line and has demonstrated the greatest unmet financial need. A consortium partnership is eligible for a grant under the Connecting Minority Communities Pilot Program if it is led by an eligible recipient educational institution as defined above.

Beneficiary Eligibility:

Minority group; Anchor Communities defined as any area that (1) is not more than 15 miles from a historically Black college or university, a Tribal College or University, or a Minority serving institution; and (2) has an estimated Median annual household income of not more than 250 percent of the poverty line, as that term is defined in section 673(2) of the Community Services Block Grant Act (42 U.S.C. 6 9902(2)). NTIA may establish a different maximum distance for Tribal Colleges or Universities located on land held in trust by the United States in consultation with the Secretary of the Interior.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This information will be included in the Notice of Funding Opportunity. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

This information will be included in the Notice of Funding Opportunity.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Period of performance is estimated to be up to two years. The NTIA Administrator may extend the two-year award period for an eligible recipient that proposes to use the grant funds for the program purpose if the eligible recipient certifies that: (i) the eligible recipient has a plan for use of the grant funds; (ii) the execution or implementation of the project is underway; or (iii) extenuating circumstances require an extension of time to allow the project to be completed. Method of awarding/releasing assistance: lump sum.

Reports:

Not later than one year after receiving grant funds under the Connecting Minority Communities Pilot Program, and annually thereafter until the funds have been expended, an eligible recipient shall submit a report, with respect to the one-year period immediately preceding the report date, that: (a) describes how the eligible recipient expended the funds; (b) certifies that the eligible recipient complied with the requirements of the Act and the Connecting Minority Communities Pilot Program, including: (i) a description of the services provided, and equipment purchased with the grant funds; (ii) the number of locations on campus or in anchor communities at which broadband internet service was improved or connectivity expanded using the grant funds; (iii) number of students and/or patrons connected; (iv) number of IT personnel or staff hired and/or trained; (v) number of faculty and/or staff who benefitted from the grant funds; (vi) the retention rates of those students impacted by grant funds; (vii) the number and type of equipment and/or devices purchased and provided to students and patrons; and (c) identifies each subrecipient that received a subgrant from the eligible recipient and a description of the specific project for which grant funds were provided. Each award recipient will be required to submit an SF-425, Federal Financial Report on a semi-annual basis for the periods ending March 31 and September 30 of each year. Each award recipient will be required to submit a technical progress report on a semi-annual basis for the periods ending March 31 and September 30 of each year. Technical progress reports shall contain information as prescribed in 2 C.F.R. 200.329 and Department of Commerce Financial Assistance Standard Terms and Conditions dated November 12, 2020 Section A.01.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR Part 200 Subpart F, adopted by the Department of Commerce through 2 CFR 1327.101 requires any non-federal entity (e.g., non-profit institutions of higher education and other non-profit organizations) that expends federal awards of \$750,000 or more in the recipients fiscal year to conduct a single or program-specific audit in accordance with the requirements set out in the Subpart. Applicants are reminded that NTIA, the Department of Commerce Office of Inspector General, or another authorized federal agency may conduct an audit of an award at any time.

Records:

Account Identification:

16-0561-0-1-452.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$268,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Please see Notice of Federal Funding Opportunity when published on grants.gov.

TAFS Codes:

13-0561.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Associate Administrator, Office of Telecommunications and Inform Douglas Kinkoph,
U.S. Department of Commerce NTIA, BroadbandUSA1401 Constitution Avenue NW, Room 4887,
Washington DC 20230
Email: BroadbandUSA@ntia.gov
Phone: 2024822048
Fax:

Website Address:

<http://www.ntia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This information will be included in the Notice of Funding Opportunity.

11.029 Tribal Broadband Connectivity Program

Tribal Broadband Connectivity Program,

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION
ADMINISTRATION, COMMERCE, DEPARTMENT OF
AUTHORIZATION:

The Consolidated Appropriations Act, 2021, Division N, Title IX, Part , Section 905(c), Statute 134-1182 (Dec. 27, 2020), Public Law 116-260

OBJECTIVES:

This program provides grants to eligible tribal or Native entities in the United States to expand access to and adoption of broadband service on Tribal land or remote learning, telework, or telehealth resources during the COVID-19 pandemic.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This information is included in the Notice of Funding Opportunity. Please go to Grants.Gov.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); a Tribal Government, a Tribal College or University, the Department of Hawaiian Home Lands on behalf of the Native Hawaiian Community, including Native Hawaiian Education Programs, a Tribal organization, or a Native Corporation

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations; Native American communities

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

This information is included in the Notice of Funding Opportunity. Please go to Grants.Gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One year from the receipt of grant funds; furthermore, an eligible entity must commit the funds in accordance with its approved application not later than 180 days after receiving grant funds. The Assistant Secretary (NTIA Administrator) may extend the period required for the expenditure of funds for an eligible entity that proposes to use the grant funds for construction of broadband infrastructure if the eligible entity certifies that: (I) the eligible entity has a plan for use of the grant funds; (II) the construction project is underway; or (III) extenuating circumstances require an extension of time to allow the project to be completed. Method of awarding/releasing assistance: lump sum.

Reports:

Not later than one year after receiving grant funds, and annually thereafter until the funds have been expended, an eligible entity shall submit to NTIA a report, with respect to the one-year period immediately preceding the report date, that: (i) describes how the eligible entity expended the funds; (ii) certifies that the eligible entity complied with the requirements of the Act and the Tribal Broadband Connectivity Program, including: (I) a description of each service provided with the grant funds; and (II) the number of locations or geographic areas at which broadband service was provided using the grant funds; and (iii) identifies each subgrantee that received a subgrant from the eligible entity and a description of the specific project for which grant funds were provided. Each award recipient will be required to submit an SF-425, Federal Financial Report on a semi-annual basis for the periods ending March 31 and September 30 of each year. Each award recipient will be required to submit a technical progress report on a semi-annual basis for the periods ending March 31 and September 30 of each year. Technical progress reports shall contain information as prescribed in 2 C.F.R. 200.329.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 C.F.R. Part 200 Subpart F, adopted by the Department of Commerce through 2 C.F.R. 1327.101 requires any non-federal entity (e.g., non-profit institutions of higher education and other non-profit organizations) that expends federal awards of \$750,000 or more in the recipients fiscal year to conduct a single or program-specific audit in accordance with the requirements set out in the Subpart. Applicants are reminded that NTIA, the Department of Commerce Office of Inspector General, or another authorized federal agency may conduct an audit of an award at any time.

Records:**Account Identification:**

13-0560-0-1-452.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$980,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Broadband Infrastructure Deployment Projects: \$1, 000,000 to \$50,000,000;
average \$7,500,000
Broadband Adoption and Use Projects: \$50,000 to \$2,500,000;
average \$750,000

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

13-0560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Associate Administrator, Office of Telecommunications and Inform Douglas Kinkoph,

U.S. Department of Commerce NTIA, BroadbandUSA1401 Constitution Avenue NW, Room 4887,

Washington DC 20230

Email: BroadbandUSA@ntia.gov

Phone: 2024822048

Fax:

Website Address:

<http://www.ntia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This information is included in the Notice of Funding Opportunity. Please go to Grants.Gov.

11.031 Broadband Infrastructure Program

Broadband Infrastructure Program,

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Title Consolidated Appropriations Act, 2021, Section 905(d), Public Law 116-260, 134 Stat. 1182

OBJECTIVES:

The Broadband Infrastructure Program provides federal grants for the deployment of broadband infrastructure.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This information will be included in the Notice of Funding Opportunity. Information will be updated after the release.

Applicant Eligibility:

U.S. Territories and possessions; State; An applicant must be a "covered partnership," which means partnership between (A) a State or U.S. Territory, or one or more political subdivisions of a State or U.S. Territory; and (B) a provider of fixed broadband service. The partnership does not need to be documented in a formal legal agreement at the time of application submission but can be expressed in the application as a general intent to cooperate in implementing the covered broadband project proposed for an award.

Beneficiary Eligibility:

Anyone/general public; General public and businesses will benefit from this assistance which supports broadband infrastructure deployment to areas lacking adequate broadband service, especially rural areas.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is

required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

This information is included in the Notice of Funding Opportunity. Please go to Grants.Gov.

Deadlines:

May 19, 2021 - August 17, 2021.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One year; however, the NTIA Administrator may extend the period if the covered partnership certifies that (i) the covered partnership has a plan for use of the grant funds; (ii) the construction project is underway; or (iii) extenuating circumstances require an extension of time to allow the project to be completed. Method of awarding/releasing assistance: lump sum.

Reports:

Not later than one year after receiving grant funds and annually thereafter until the funds have been expended, a covered partnership shall submit to the Assistant Secretary a report, with respect to the one-year period immediately preceding the report date, that (a) describes how the covered partnership expended the funds; (b) certifies that the covered partnership complied with the requirements of the Broadband Infrastructure Program, including (i) a description of each service provided with the grant funds; and (ii) the number of locations or geographic areas at which broadband service was provided using the grant funds; and (iii) identifies each subgrantee that received a subgrant from the covered partnership and a description of the specific project for which grant funds were provided. Each award recipient will be required to submit an SF-425, Federal Financial Report on a semi-annual basis for the periods ending March 31 and September 30 of each year. Each award recipient will be required to submit a technical progress report on a semi-annual basis for the periods ending March 31 and September 30 of each year. Technical progress reports shall contain information as prescribed in 2 C.F.R. 200.329 and Department of Commerce Financial Assistance Standard Terms and Conditions dated November 12, 2020 Section A.01.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR Part 200 Subpart F, adopted by the Department of Commerce through 2 CFR 1327.101 requires any non-federal entity (e.g., non-profit institutions of higher education and other non-profit organizations) that expends federal awards of \$750,000 or more in the recipients fiscal year to conduct a single or program-specific audit in accordance with the requirements set out in the Subpart. Applicants are reminded that NTIA, the Department of Commerce Office of Inspector General, or another authorized federal agency may conduct an audit of an award at any time.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

13-0560-0-1-452.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$288,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$5,000,000 to \$30,000,000; average \$7,000,000

TAFS Codes:

13-0560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Associate Administrator, Office of Telecommunications and Inform Douglas Kinkoph,
U.S. Department of Commerce NTIA, BroadbandUSA1401 Constitution Avenue NW, Room 4887,
Washington DC 20230
Email: BroadbandUSA@ntia.gov
Phone: 2024822048
Fax:

Website Address:

<http://www.ntia.gov>

RELATED PROGRAMS:

11.557 Broadband Technology Opportunities Program (BTOP); 10.886 Rural Broadband Access Loans and Loan Guarantees;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This information is included in the Notice of Funding Opportunity. Please go to Grants.Gov.

11.112 Market Development Cooperator Program

MDCP,

FEDERAL AGENCY:

INTERNATIONAL TRADE ADMINISTRATION, COMMERCE,

DEPARTMENT OF

AUTHORIZATION:

Omnibus Trade and Competitiveness Act of 1988, Public Law 100-418, Title II, Section 2303, 102 Stat. 1342, 15 U.S.C.4723., , 15 USC Code 4723.

OBJECTIVES:

Through the Market Development Cooperator Program (MDCP), the International Trade Administration (ITA) aims to develop, maintain and expand foreign markets for nonagricultural goods and services produced in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

A notice of funding opportunity (NOFO) describing the program is available at grants.gov or from the contact listed in "Information Contacts" below. The most prominent uses and restrictions are summarized below. 1. Applicants should propose foreign market development activities that result in U.S. firms increasing exports that create or maintain U.S. jobs. 2. The primary beneficiaries of project activity should be small-and medium-sized enterprises. 3. No more than \$300,000 in federal funds is available for each project. 4. The federal funds are to last for the life of the three to five-year project. 5. Applicant match is two to one: for each federal dollar received, applicant must match at least one dollar with cash and

another dollar with cash or in-kind contribution.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Applicants are found to be eligible in one of three categories. 1. Nonprofit industry organizations (includes small business development centers, World Trade Centers, and 501(c)nonprofits such as chambers of commerce, international trade centers, port authorities, economic development organizations, and other business interest groups). 2. Trade associations. 3. State departments of trade and their regional associations. The Statute stipulates that private industry firms or groups of firms may be found eligible in cases where no entity described above represents that industry. However, since the program began operating in 1993, no private firm has been able to demonstrate that an organization in one of the first three categories did not represent its interests.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Public nonprofit institution/organization; U.S. firms that sell non-agricultural goods or services. (While private firms benefit from MDCP project activity, as noted above, they are generally not eligible to apply for MDCP funds.) See trade.gov/mdcp for more information.

Credentials/Documentation:

In order to determine eligibility, applicants should consult trade.gov/mdcp and request an eligibility determination in writing from the MDCP Director (see "Information Contacts"). The written request should be accompanied by the most current version of all the following documents that apply: articles of incorporation; charter; bylaws; information on types of members and membership fees; Internal Revenue Service acknowledgment of non-profit status; annual report; audited financial statements; and documentation of ties to state trade departments or their regional associations. See trade.gov/mdcp for more information. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Before applying an applicant should get a determination from ITA of its eligibility to be considered to be selected to receive an MDCP award. MDCP awards are cooperative agreements, not grants. ITA will be substantially involved in helping an MDCP award winner to achieve project objectives. Accordingly, applicants are strongly encouraged to coordinate with the contact identified in this sam.gov listing as well as other relevant ITA staff. Directories of ITA staff can be accessed via trade.gov/mdcp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After NOFO publication, application packages will be available at grants.gov and at trade.gov/mdcp, which includes an example of a complete MDCP application. Applicants are strongly encouraged to submit applications via grants.gov. All forms can be downloaded from grants.gov. Completed forms and all other elements of the application are to be uploaded onto grants.gov. The application will be organized into four parts: forms, project, budget, and other. 1. Forms: a. SF-424; b. SF-424A; c. SF-424B; and d. CD-511. 2. Project narrative file (page limit: 12): a. One-page executive summary including target markets, projected exports to result, size of the market, and brief project description. b. Background including market research that makes clear why the project is needed and has a good chance to succeed. c. Description of the project. d. Description of estimate of exports to be generated and other performance measures. e. Description of partnership with ITA envisioned by applicant. f. Credentials of staff to work on the project. g. Evidence of support for the project from U.S. firms interested in participating. h. Description of how applicant proposes to use the ITA emblem to further project goals. i. Draft success agreement outlining benefits to participating firms and their duty to report exports. 3. Budget narrative attachment file (page limit: 12): a. Spreadsheet that breaks down the budget into the categories used on the SF-424A and apportions it over the award period. b. Supporting worksheets and explanations. c. Discussion of

ASSISTANCE LISTINGS DESCRIPTIONS

financial systems and projections that identify how, when, and from what sources the matching funds will be or have been raised. d. List of financial assistance awards of \$40,000 or more received over the last five years. e. Financial statements. f. Evidence of commitment of cash or in-kind support. g. Any additional evidence of financial responsibility. 4. Other attachment file (page limit: 10): This must not include any documents that should be classified as forms, project narrative, or budget narrative. Most MDCP applications do not include this other attachment because the other three parts of the application encompass all necessary elements. Every page of the application must be easily legible. Textual documents generated by an applicant should have a one-inch margin on either side and top and bottom, and the typeface should be no smaller than 11-point. Headers and footers can lie outside the margins. Pages that are not easily legible may be ignored by reviewers. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A merit review panel of four senior ITA officials evaluates each MDCP application based on the following five criteria: potential to strengthen competitiveness; export estimate, methodology, significance, and reporting likelihood; partnership potential with ITA; compatibility with ITA priorities; creativity; institutional capacity; budget suitability; and post-project sustainability. Each application can receive from 5 to 20 points for each of the eleven categories for a total possible score of 100. Once applications are received, applicants may not revise them. Unsuccessful applicants will be notified within 10 days after award decisions have been announced. Since the program began in 1993, ITA has made, on average, 7 awards per year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 65%. Requirement: Matching requirements are mandatory. Applicants match the federal share two-to-one. The first dollar of match must be cash. The second dollar of match may be cash or in-kind. The federal share will never be greater than one third. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds may be expended over a project period of at least three years but not to exceed five years from the start of the project period. Funds are available as soon as the approved project period begins. Method of awarding/releasing assistance: other

Reports:

Sixty (60) days after the end of each calendar quarter recipients report exports generated by project activity and project milestones. Thirty (30) days after the end of each calendar quarter recipients submit SF-425. Quarterly "program" reports described above register project progress. Quarterly financial report SF-425 described above summarize expenditures. Quarterly "program" reports described above allow ITA to monitor performance. ITA also monitors performance while working alongside recipient on project activity.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure report is submitted.

Account Identification:

13-1250-0-1-376.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,800,000.00; FY 21 est \$1,500,000.00; FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

Individual awards may not exceed \$300,000. Average award size is \$250,000 with actual awards ranging from \$80,000 to \$300,000.

TAFS Codes:

13-1250.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: ASABE and its partner, the American Feed Industry Association (AFIA) have led the U.S. feed machinery's participation in ISO international standardization efforts initiated by China. With regard to terminology for the standardization work, U.S. experts recruited by ASABE and AFIA reined in China's initial proposal for 961 terms to be included. These terms are not all essential to feed machinery safety and design and would have been challenging for producers to follow. They were intended, by their volume, to overwhelm the scrutiny of delegates from other countries participating in the standardization process, thereby shaping the process to China's advantage. If accepted into the international standard, they would have created unfair and unnecessary requirements for U.S. exporters. Through ITA's MDCP support of ASABE and AFIA, U.S. experts were able to come to consensus with China and reduce this number to approximately 39 terms.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants can link to Internet sources for each of the authorities cited on sam.gov at trade.gov/mdcp. This site also contains a mock application, directories of ITA officials to consult, and tips on preparing applications.

Regional or Local Office:

See Regional Assistance Locations. MDCP is managed from the headquarters office, which should be the applicant's initial point of contact for MDCP information. In addition, applicants are encouraged to contact relevant ITA industry specialists in Washington, DC and their nearest U.S. Commercial Service domestic field office. Contact information is available at trade.gov/mdcp.

Headquarters Office:

MDCP Director Brad Hess,
14th Street & Constitution Ave., N.W., Room 2872,
Washington DC 20230
Email: brad.hess@trade.gov
Phone: 2024822969
Fax: 2024824462

Website Address:

<http://www.trade.gov/mdcp>

RELATED PROGRAMS:

10.600 Foreign Market Development Cooperator Program; 10.601 Market Access Program; 59.061 State Trade Expansion;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each MDCP application is evaluated based on eleven criteria: potential to strengthen competitiveness; export estimate, methodology, significance, and likelihood; partnership potential with ITA; compatibility with ITA priorities; creativity; institutional capacity; budget suitability; and post-project sustainability. Each application can receive 5 to 20 points in each of the eleven categories for a total possible score of 100. Additional details on the selection criteria are published in the NOFO, the annual solicitation for applications. The most recent solicitation is available at trade.gov/mdcp.

11.300 Investments for Public Works and Economic Development Facilities

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, COMMERCE,

ASSISTANCE LISTINGS DESCRIPTIONS

DEPARTMENT OF AUTHORIZATION:

The statutory authorities for EDAs Public Works and EAA programs are Sections 201(42 U.S.C. 3141) and 209 (42 U.S.C. 3149) of PWEDA, Title ,

OBJECTIVES:

Through the Public Works program, EDA provides catalytic investments to help distressed communities build, design, or engineer critical infrastructure and facilities that will help implement regional development strategies and advance bottom-up economic development goals to promote regional prosperity. The Public Works program provides resources to meet the construction and/or infrastructure design needs of communities to enable them to become more economically competitive. Prior examples of investments EDA has supported through the Public Works program include projects supporting water and sewer system improvements, industrial parks, high-tech shipping and logistics facilities, workforce training facilities, business incubators and accelerators, brownfield redevelopment, technology-based facilities, wet labs, multi-tenant manufacturing facilities, science and research parks, and telecommunications infrastructure and development facilities. As noted above, investments made through the Public Works program must be aligned with a current CEDS or equivalent EDA-accepted regional economic development strategy and clearly lead to the creation or retention of long-term high-quality jobs. For example, under this program, EDA may provide funding to a consortium of eligible recipients to support the construction of a technology center that provides laboratory, office, and manufacturing space that leads to the creation of advanced manufacturing jobs. As another example, EDA may provide funding to a county government to support regional job creation in targeted cluster industries and expand those industries' ability to export goods.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

EDA Public Works program investments help facilitate the transition of communities from being distressed to becoming competitive by developing key public infrastructure, such as technology-based facilities that utilize distance learning networks, smart rooms, and smart buildings; multi-tenant manufacturing and other facilities; business and industrial parks with fiber optic cable; and telecommunications and development facilities. In addition, EDA invests in traditional public works projects, including water and sewer systems improvements, industrial parks, business incubator facilities, expansion of port and harbor facilities, skill-training facilities, and brownfields redevelopment

Applicant Eligibility:

Government - General; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; EDA is not authorized to provide grants or cooperative agreements under its Public Works or EAA programs to individuals or to for-profit entities. Requests from such entities will not be considered for funding. Pursuant to Section 3 of PWEDA (42 U.S.C. 3122) and 13 C.F.R. 300.3, eligible applicants for EDA financial assistance under the Public Works and EAA programs include a(n): (i) District Organization of an EDA-designated Economic Development District; (ii) Indian Tribe or a consortium of Indian Tribes; (iii) State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (iv) institution of higher education or a consortium of institutions of higher education; or (v) public or private nonprofit organization or association acting in cooperation with officials of a political subdivision of a State.

Beneficiary Eligibility:

Major Metropolitan (over 250,000); Other Urban; Suburban; Rural; Federal; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; U.S. Territories; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization; Quasi-public nonprofit organization;

Credentials/Documentation:

An applicant must submit a complete application, as detailed in Section D.2.a of this PWEAA NOFO, to be considered for funding. EDA intends to review an application within 60 days of EDAs receipt of the complete application. EDA may seek additional information or documentation from the applicant to clarify information presented in the application. Please see Section E of this PWEAA NOFO for more information on EDAs review and selection process. EDA strongly encourages applicants to consult with the EDA representative for their State to discuss whether their project is in alignment with EDAs Investment Priorities, eligibility requirements, cost-sharing requirements, property standards, or other requirements outlined in this PWEAA NOFO. This consultation is limited to clarification of technical matters involving their proposed project, project alignment with EDAs mission and Investment Priorities, and all other relevant and publicly available information relating to general technical matters. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. NA

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FY 2020 Economic Development Assistance Programs. Application submission and program requirements for EDAs Public Works and Economic Adjustment Assistance programs Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Staff in the applicable EDA regional office review complete applications for eligibility and compatibility with EDA's mission and funding priorities. Applications deemed eligible and at least minimally consistent with EDA's mission and funding priorities are referred to an Investment Review Committee, which recommends applications for funding to the Regional Director. The Regional Director makes a funding determination as the Grants Officer for the respective region.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Applications for renewal or supplementation of additional projects may compete with applications for new awards.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Cost sharing is an essential element of any EDA project, and the availability and committed nature of matching funds is a competitiveness factor in evaluating applications against each other. Generally, the amount of an EDA award may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that may not exceed up to 30 percent of the total project cost, based on the relative needs of the Region in which the project will be located, as determined by EDA. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EDA expects that all projects will proceed efficiently and expeditiously, and EDA encourages applicants to clearly document how quickly the applicant will be able to start and complete the proposed work. All construction projects are expected to be completed within five years from the date of award. Method of awarding/releasing assistance: other

Reports:

Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews

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progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The applicant is reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

Project Grants FY 20 \$118,500,000.00; FY 21 est \$119,499,999.00; FY 22 est \$124,000,000.00;

Range and Average of Financial Assistance:

The average size of a Public Works investment has been approximately \$1.4 million and investments generally range from \$600,000 to \$3,000,000. Historically, EDA has awarded funds for between 80 and 150 Public Works projects a year.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 13 CFR Chapter III, Parts 300-302, 305, 310, and 314.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Program Analyst Philip Saputo,
1401 Constitution Avenue, NW, Room 71030,
Washington DC 20230
Email: psaputo@eda.gov
Phone: (202) 400-0662

Website Address:

<https://www.eda.gov/funding-opportunities/>

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all applications for investment assistance against the evaluation criteria outlined in the applicable Federal Funding Opportunity, which generally includes a review of the feasibility of the budget presented, conformance with EDA statutory and regulatory requirements, and alignment with strategic areas of interest and priority considerations identified in the applicable announcement. For more information on these investment priorities and current funding opportunities, go to <http://www.eda.gov>.

11.302 Economic Development Support for Planning Organizations

Planning Investments and Comprehensive Economic Development Strategies,

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, COMMERCE,
DEPARTMENT OF

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (PWEDA) (42 U.S.C. 3121 et seq.).

OBJECTIVES:

Through the Planning program, EDA provides assistance to eligible recipients to create regional economic development plans in order to stimulate and guide the economic development efforts of a community or region. As part of this program, EDA supports Partnership Planning investments to facilitate the development, implementation, revision, or replacement of Comprehensive Economic Development Strategies (CEDS), which leverage the unique assets of their respective regions to help create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the Nation's most economically distressed regions. To facilitate CEDS, EDA provides Partnership Planning grants to the designated planning organization (e.g., District Organization) serving EDA-designated Economic Development Districts (EDDs) throughout the Nation. These planning organizations are typically recognized by the State in which they reside as multi-jurisdictional councils of governments, regional commissions, or planning and development centers. Partnership Planning grants enable planning organizations to manage and coordinate the development and implementation of CEDS. In addition, EDA provides Partnership Planning grants to Indian Tribes to help organize and assist with the implementation of economic development activities within their areas. The majority of funding under the Planning program is for Partnership Planning grants for Indian Tribes and EDA-designated EDDs. The Planning program also helps support planning organizations and other eligible recipients, including District Organizations and Indian Tribes, with Short-Term and State Planning efforts in order to stimulate and guide the creation and/or retention of higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the Nation's most economically distressed regions. For example, EDA might provide Short-Term Planning funding to a coalition of Tribal and regional organizations to plan a coordinated response to the sudden loss of a major employer in the affected area(s).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

EDA Planning investments support the preparation of CEDS that guide EDAs Public Works and Economic Adjustment Assistance implementation investments, including Revolving Loan Funds. Sound local planning also attracts other Federal, state, and local funds plus private sector investments to implement long term development strategies. In this way, EDAs Planning program plays a foundational role in helping communities develop important strategies that inform future economic development decisions. Comprehensive, market-based, local and regional planning is an essential component of successful economic development. Effective planning creates a road map for community growth and development with a focused approach towards creating higher-skill, higher-wage jobs. The Planning Program provides a foundation for EDAs infrastructure investments, which are designed to stimulate economic growth in distressed regions. The planning process supports an assessment of the regions economic conditions and the development of a CEDS to guide resource allocation and project development. The key value of this process is that it is locally determined and involves participation from all the diverse interests in the community.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a(n): (a) District Organization (as defined in 13 CFR 304.2); (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association

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acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. As used in this paragraph, State includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. See 13 CFR 300.3 and 301.2.

Beneficiary Eligibility:

Major Metropolitan (over 250,000); Other Urban; Suburban; Rural; EDA Planning investments provide support to Planning Organizations for the development, implementation, revision, or replacement of a CEDS. They also may provide support for related short-term planning investments and State plans designed to create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the nation's most economically distressed regions.

Credentials/Documentation:

To be considered for EDA assistance, applicants must first submit a complete EDA application package. The applicant must provide a list of its proposed Strategy Committee members. The Committee must represent the main economic interests of the Region and must include Private Sector Representatives as a majority of its membership. The applicant should ensure that the Strategy Committee includes public officials, community leaders, representatives of workforce development boards, institutions of higher education, minority and labor groups, and private individuals. The Strategy Committee representing Indian Tribes or States may vary. Applicants must also submit documentation of matching fund availability. Finally, non-profit applicants will be asked to provide additional documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is not required, but EDA offers applicants assistance in developing applications through its network of field-based Economic Development Representatives (EDRs).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For information on the application process you may access the applicable federal funding opportunity (FFO) announcement for Planning investment assistance at www.grants.gov and at www.eda.gov. EDA will review each application for Planning investment assistance in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO.

Award Procedure:

Staff in the applicable EDA regional office review applications for eligibility and compatibility with EDAs mission and funding priorities. Applications deemed eligible and at least minimally consistent with EDAs mission and funding priorities are referred to an Investment Review Committee, which recommends applications for funding to the Regional Director. The Regional Director makes a funding determination and sends a recommendation to EDA Headquarters for concurrence.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. EDA accepts new applications on a rolling basis and announces awards throughout the year. For continuation awards, regional offices may impose their own deadline for submission based on the previous award's period of performance.

Appeals:

Not Applicable.

Renewals:

Other. For continuation awards, regional offices may impose their own deadline for submission based on the previous award's period of performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching

Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The minimum EDA investment rate for planning projects is 50 percent, and the maximum allowable EDA investment rate may generally not exceed 80 percent. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most grants are for 36-month periods. Method of awarding/releasing assistance: quarterly

Reports:

Grant recipients must submit financial and project progress reports either on a quarterly or bi-annual basis, as required by the Special Terms and Conditions (SAC) of the award. Recipients receiving CEDS assistance must submit an updated CEDS at least once every three years. EDA requires the submission of the SF-425 Federal Financial Report. EDA may elect to conduct site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients and sub-recipients must be maintained according to the terms of the investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

Project Grants FY 20 \$33,000,000.00; FY 21 est \$33,000,000.00; FY 22 est \$36,000,000.00;

Range and Average of Financial Assistance:

The average size of a Planning investment has been approximately \$70,000, and investments generally range from \$40,000 to \$200,000.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Parts 300-303.

Regional or Local Office:

Headquarters Office:

Program Analyst David Ives,
1401 Constitution Avenue, NW Room 71030,
Washington DC 20230
Email: dives@eda.gov
Phone: 202-482-0529

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In addition to evaluating applications based on EDA's mission, funding priorities, and the evaluation criteria laid out in the applicable FFO, EDA also considers: (1) The proposed scope of work for the development, implementation, revision or replacement of the CEDS, or the relation of the CEDS to the proposed short-term planning activities or the State plan; (2) The qualifications of the applicant to implement the goals and objectives resulting from the CEDS, short-term planning activities or the State plan; (3) The involvement of the Region's business leadership at each stage of the preparation of the CEDS, short-term planning activities or State plan; (4) The extent of broad-based representation and involvement of the Region's civic, business, labor, minority and other interests in the proposed economic development activities; and (5) The feasibility of the proposed scope of work to

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create and retain higher-skill, higher-wage jobs through implementation of the CEDS. In addition, for continuation awards, funded recipients are evaluated on the basis of the extent of continuing economic distress within the Region, their past performance, and the overall effectiveness of their CEDS. For Planning Investment awards to a State, EDA will also consider the extent to which the State will integrate and coordinate its CEDS with local and Economic Development District plans.

11.303 Economic Development Technical Assistance

National Technical Assistance,

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, COMMERCE,
DEPARTMENT OF

AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (42 U.S.C. 3121 et seq.) (PWEDA). Effective date: 5/31/2018, Title 42,

OBJECTIVES:

EDAs NTA program supports a small number of projects that provide technical assistance at a national scope. These projects support best practices among communities trying to solve problems related to economic development goals. By working in conjunction with its national technical assistance partners, EDA helps States, local governments, and community-based organizations to achieve their highest economic potential. The NTA program supports activities that are beneficial to the economic development community nationwide and includes, but is not limited to, outreach, training, and information dissemination. It can also provide assistance with implementation of economic development best practices and proven techniques.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Technical assistance is used to provide information, data, resources and toolkits which practitioners and policymakers can utilize when evaluating, shaping and implementing specific projects and programs to promote economic development efforts in their respective economically distressed regions. EDA announces opportunities to compete for funding to support University Centers through its annual Federal Funding Opportunity (FFO) on University Centers, and the intent to support local technical assistance through its annual FFO on planning and local technical assistance. EDA may identify specific training, research or technical assistance projects it will fund under National Technical Assistance, which will be subject to competition. Ordinarily, these projects are specified in a FFO, which will provide the specific requirements, timelines and the appropriate points of contact and addresses. The EDA-supported University Center (UC) sub-program is specifically designed to marshal the resources located within colleges and universities to support job creation and economic growth in regions experiencing economic distress. UCs, which EDA considers long-term partners in economic development, are required to devote the majority of their funding to respond to technical assistance requests originating from organizations located in the economically distressed portions of their service regions. EDA-sponsored University Centers provide strategic technical assistance to regional partners; including, tribal entities, local governments, and public and private sector organizations; conduct economic impact assessments; support cluster development and conduct cluster studies; facilitate regional collaboration; support commercialization and technology transfer efforts; and undertake other activities with the goal of enhancing regional economic development by promoting a favorable business environment to attract private capital investment and higher-skill, higher-wage jobs. EDA University Center competitions are held once every five years in each EDA Region. The project must be consistent with the CEDS of the region in which it is located, if applicable. At least eighty (80) percent of EDA funding under a University Center Economic Development award must be allocated to direct costs of program delivery; unrecovered indirect costs are not chargeable to the award without EDAs express approval. All EDA grants are competitive; EDA does not award formula grants. The Local Technical Assistance

(Local TA) sub-program, one of EDAs smallest programs in terms of funding, is an extremely flexible and useful economic development tool. The most common purpose of a Local TA project has been to analyze the feasibility of a potential economic development project, such as an industrial park or a high-technology business incubator, or to support other critical studies necessary for economic development professionals to make informed business decisions, including economic impact assessments. The National Technical Assistance sub-program is designed to provide economic development professionals with access to tools and resources on cutting edge economic development concepts that can inform their decision-making and help support good decision making which will lead to strong, vibrant economic development and regional prosperity. Through this program, EDA provides a wide array of resources, including a cluster mapping tool (<http://clustermapping.us>), a website to identify innovation index of self-selected communities and compare against other geographies

(www.statsamerica.org/innovation/), and a resource to help support best practices among venture development organizations (VDOs) (<http://regionalinnovation.org/>).

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Pursuant to PWEDA, eligible applicants for and eligible recipients of EDA investment assistance under this NOFO include a(n): a. District Organization; b. Indian Tribe or a consortium of Indian Tribes; c. State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; d. Institution of higher education or a consortium of institutions of higher education; e. Public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State; f. A private individual org. For-profit organization,.

Beneficiary Eligibility:

Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Description of the technical assistance that will be provided to stakeholders; Description of how the proposed project increases the economic development capacity of individuals, firms, or communities; Discussion of how the project will stimulate economic development in distressed regions; and Explanation of how the proposed project supports EDAs mission to lead the Federal economic development agenda by promoting innovation and competitiveness, preparing and supporting American regions for growth and success in the global economy.

Credentials/Documentation:

To be considered for EDA investment assistance, applicants must first submit a complete EDA application package, as specified by the applicable Federal Funding Opportunity, which generally includes requirements for project narratives, budget narratives, and the resumes of key personnel. Non-profit applicants applying for technical assistance may be asked to provide additional documentation, including documentation of Good Standing in the State in which they operate. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EDA will award investment assistance in accord with the procedures set forth in the applicable announcement of NOFO.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

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deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. EDA encourages cost sharing, and applications that include matching funds may be more competitive than those that do not. Generally, the amount of an EDA award may not exceed 50 percent of the total cost of the project. However, for RNTA awards, the Assistant Secretary of Commerce for Economic Development (Assistant Secretary) has the discretion to establish a maximum Investment Rate of up to 100 percent where the project: (i) Merits, and is not otherwise feasible without, an increase to the Investment Rate; or (ii) Will be of no or only incidental benefit to the Eligible Recipient. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EDA anticipates a twelve (12) to eighteen (18) month project period for investments funded under this NOFO, subject to the availability of funds, EDA policy, and satisfactory performance under the award. Applicants should ensure that their proposal and budget clearly specify how they will complete the scope of work outlined above within this timeframe. A typical project period begins with an initial kickoff meeting between the recipient and EDA staff to discuss project scope and to ensure that all parties are in agreement as to the project terms. After the initial meeting, the recipient generally will be required to submit a final work plan to EDA staff for review and approval. Progress and financial reports and project work will be submitted to EDA based on the dates agreed to during the initial meeting and as outlined in the Special Award Conditions. Method of awarding/releasing assistance: other

Reports:

Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The applicant is reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

Project Grants FY 20 \$9,500,000.00; FY 21 est \$10,000,000.00; FY 22 est \$14,000,000.00;

Range and Average of Financial Assistance:

In recent years, the average size of R&E and NTA investments has been approximately \$350,000, and investments generally range from \$200,000 to \$500,000. Historically, EDA has funded approximately five R&E projects per year and three NTA projects.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 C.F.R. parts 300-302, 306.

Regional or Local Office:

None.

Headquarters Office:

Program Analyst David Ives,
1401 Constitution Avenue, NW Room 71030,
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Washington DC 20230
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Phone: 2024821464
Fax:

Website Address:

http://www.eda.gov

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance; 11.312 Research and Evaluation Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria are set forth in the applicable NOFO.

11.307 Economic Adjustment Assistance

Economic Adjustment,

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, COMMERCE,
DEPARTMENT OF
AUTHORIZATION:

Sections 209 and 703 of the Public Works and Economic Development Act of 1965, as amended (42 U.S.C. 3121 et seq.) (PWEDA),

OBJECTIVES:

The EAA program provides a wide range of technical, planning, and public works and infrastructure assistance in regions experiencing adverse economic changes that may occur suddenly or over time. These adverse economic impacts may result from a steep decline in manufacturing employment following a plant closure, changing trade patterns, catastrophic natural disaster, a military base closure, or environmental changes and regulations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assists state and local interests in designing and implementing strategies to adjust or bring about change to an economy. The program focuses on areas that have experienced or are under threat of serious structural damage to the underlying economic base. Under Economic Adjustment, EDA administers its Revolving Loan Fund (RLF) Program, which supplies small businesses and entrepreneurs with the gap financing needed to start or expand their business.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; EDA is not authorized to provide

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grants or cooperative agreements under its Public Works or EAA programs to individuals or to for-profit entities. Requests from such entities will not be considered for funding. Pursuant to Section 3 of PWEDA (42 U.S.C. 3122) and 13 C.F.R. 300.3, eligible applicants for EDA financial assistance under the Public Works and EAA programs include a(n): (i) District Organization of an EDA-designated Economic Development District; (ii) Indian Tribe or a consortium of Indian Tribes; (iii) State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (iv) institution of higher education or a consortium of institutions of higher education; or (v) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State.

Beneficiary Eligibility:

Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Interstate; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Beneficiaries of investments made under Economic Adjustment are those communities who satisfy one or more of the economic distress and/or Special Need criteria set forth in 13 C.F.R. 301.3(a) and 13 C.F.R. 300.3 to revitalize, expand, or upgrade their economic development assets to attract new industry, encourage business expansion, diversify their local economies, and generate or retain long-term private sector jobs and capital investments. Investments are intended to alleviate long-term deterioration and sudden and severe economic dislocation in distressed communities and regions.

Credentials/Documentation:

In order to be eligible for funding under this PWEAA NOFO, an applicant must propose a project that meets EDAs distress criteria. Applicants must self-define the appropriate region geographically. The geographic area comprising a region need not be contiguous or defined by political boundaries but should constitute a cohesive area capable of undertaking self-sustained economic development. Applicants must provide third-party data that clearly indicate that the relevant region is subject to one (or more) of the following economic distress criteria: (i) an unemployment rate that is, for the most recent 24-month period for which data are available, at least one percentage point greater than the national average unemployment rate; (ii) per capita income that is, for the most recent period for which data are available, 80 percent or less of the national average per capita income; or (iii) a Special Need, as determined by EDA. EDA will review and evaluate documentation submitted by the applicant to determine eligibility. EDA will reject any documentation of eligibility that the agency determines is inaccurate or incomplete, which may cause the application to be rejected. EDA reserves the right to request additional documentation or information from the applicant to make an eligibility determination. The proposed project must meet EDAs distress criteria as of the date EDA receives a complete application. However, if an award has not been made within six months of that date, EDA will then re-evaluate the project to determine continued eligibility for investment assistance. If the project no longer meets EDAs distress criteria, the project will no longer be considered. For construction projects (including design and engineering) the project must be located within an eligible region. For non-construction projects, the investments scope of work must primarily benefit an eligible region and stakeholders from that eligible area must be directly engaged. EDA suggests that applicants work closely with the EDA representative listed for their State as they define an eligible project in their region. A proposed project may meet the regional eligibility criteria in one of the following three ways: 1. The proposed project will be located in a region that meets EDAs economic distress criteria; 2. The proposed project is located in an Economic Development District (EDD) that is located in a region that does not meet EDAs economic distress criteria, and EDA determines the proposed project will be of substantial direct benefit to a geographic area within the EDD that meets EDAs economic distress criteria; or 3. The proposed project will be located in a geographic area of poverty or high unemployment that meets EDAs economic distress criteria, but which is located in a region that overall does not meet EDAs distress criteria. Below are further details on economic distress criteria. See also 13 C.F.R. 301.3(a), 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for

assistance, if the State has selected the program for review. EDA strongly encourages applicants to consult with the EDA representative for their State to discuss whether their project is in alignment with EDAs Investment Priorities, eligibility requirements, cost-sharing requirements, property standards, or other requirements outlined in this PWEAA NOFO. This consultation is limited to clarification of technical matters involving their proposed project, project alignment with EDAs mission and Investment Priorities, and all other relevant and publicly available information relating to general technical matters.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FY 2020 EDA Public Works and Economic Adjustment Assistance Programs including CARES Act Funding Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each Regional Office will convene periodic IRCs as necessary depending on the volume of applications, that consists of at least four EDA staff members (except in the case of an IRC to review ACC applications, which need only consist of three EDA staff members) to review each complete application. Before the IRC reviews an application EDA will conduct an administrative review to determine that the application is complete. All IRC members will review each complete application before the IRC discussion and evaluation. The IRC will make a group evaluation of the merits of each application based on the extent to which the application meets the program-specific award and application requirements provided in 13 C.F.R. part 305 for Public Works investments and 13 C.F.R. part 307 for EAA investments

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. If an applicant is awarded funding, neither DOC nor EDA is under any obligation to provide any additional future funding in connection with that award or to make any future award(s). Amendment or renewal of an award to increase funding or to extend the period of performance is at the discretion of DOC and EDA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. In the case of EDA investment assistance to a(n) (i) Indian Tribe, (ii) State (or political subdivision of a State) that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity, or (iii) non-profit organization that the Assistant Secretary determines has exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EDA expects that all projects will proceed efficiently and expeditiously, and EDA encourages applicants to clearly document how quickly the applicant will be able to start and complete the proposed work. All construction projects are expected to be completed within five years from the date of award. Method of awarding/releasing assistance: other

Reports:

Project progress and financial reports are required, generally on a quarterly basis, as required by the Special Terms and Conditions governing the award. EDA reviews progress and financial reports for compliance with all award terms and conditions, as well as EDA regulations. EDA requires the submission of the SF-425 Federal Financial Report. EDA reserves the right to conduct site visits on an as-needed basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Single or program-specific audits shall be performed. The applicant is reminded that EDA or the Department of Commerce's Office of Inspector General also may conduct an audit of an award at any time.

Records:

All financial and programmatic records, supporting documents, statistical reports and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement.

Account Identification:

13-2050-0-1-452.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$1,567,000,000.00; FY 21 est (\$1,207,967,296.00); FY 22 est \$138,500,000.00;

Range and Average of Financial Assistance:

The average award amounts set out below are provided only for your information and may prove useful for planning purposes. EDA anticipates making similar awards, subject to the availability of funding. Actual amounts awarded may be higher or lower depending on the nature of the proposed project and the availability of funds. Applications for funding of activities related to existing awards may compete with applications for new awards. The average size of an EAA investment has been approximately \$650,000 and investments generally range from \$150,000 to \$1,000,000. Historically, EDA has awarded funds for between 70 and 140 EAA projects a year. In FY 2020, EDA will continue to support assistance to coal communities through the ACC program and expects to award grants generally ranging from \$500,000 to \$2,000,000 for implementation projects and from \$100,000 to \$350,000 for planning activities. In FY 2020, EDA will support nuclear closure communities and expects to award grants generally ranging from \$500,000 to \$2,000,000 for implementation projects and from \$100,000 to \$350,000 for planning activities.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR parts 300-302, 307.

Regional or Local Office:

See Regional Assistance Locations. For questions concerning this PWEAA NOFO, or more information about EDA programs, you may contact the appropriate EDA representative listed below. An EDA contact for each State is provided for PW and non-ACC EAA projects. An EDA contact for each EDA Regional Office is provided for ACC projects and environmental inquiries. Updated contact information can be found on EDA's website at <https://www.eda.gov/contact/>

Headquarters Office:

Program Analyst Philip Saputo,
1401 Constitution Avenue, NW, Room 71030,
Washington DC 20230
Email: psaputo@eda.gov
Phone: (202) 400-0662

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities; 11.302 Economic Development_Support for Planning Organizations; 11.303 Economic Development_Technical Assistance; 59.067 Regional Innovation Clusters;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review and evaluate documentation submitted by the applicant to determine eligibility. EDA will reject any documentation of eligibility that the

agency determines is inaccurate or incomplete, which may cause the application to be rejected. EDA reserves the right to request additional documentation or information from the applicant to make an eligibility determination. The proposed project must meet EDA's distress criteria as of the date EDA receives a complete application. However, if an award has not been made within six months of that date, EDA will then re-evaluate the project to determine continued eligibility for investment assistance. If the project no longer meets EDA's distress criteria, the project will no longer be considered.

11.312 Research and Evaluation Program

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, COMMERCE,
DEPARTMENT OF
AUTHORIZATION:

Public Works and Economic Development Act of 1965, as amended (42 U.S.C. 3121 et seq.) (PWEDA). Effective date: 5/31/2018.,

OBJECTIVES:

Through the R&E program, EDA supports the development of tools, recommendations, and resources that shape Federal economic development policies and inform economic development decision-making. R&E program investments provide critical, cutting-edge research and best practices to regional, state, and local practitioners in the economic development field, thereby enhancing understanding and implementation of economic development concepts throughout the country. EDA also regularly evaluates the impacts and outcomes of its various programs as a means of identifying policy and program modifications that will increase the Agency's efficiency and effectiveness.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Through the R&E program, EDA supports the development of tools, recommendations, and resources that shape Federal economic development policies and inform economic development decision-making. R&E program investments provide critical, cutting-edge research and best practices to regional, state, and local practitioners in the economic development field, thereby enhancing understanding and implementation of economic development concepts throughout the country. EDA also regularly evaluates the impacts and outcomes of its various programs as a means of identifying policy and program modifications that will increase the Agency's efficiency and effectiveness. R&E investments may be awarded to: Research and evaluate topics or issues to improve the understanding of economic development principles, strategies, and concepts; Improve understanding of the causes of economic distress and distressed communities and potential remedies thereof; Evaluate success of economic development programs, policies and strategies for improving economic outcomes; Develop innovative tools, measures and widely applicable data sets for studying economic development outcomes, principles and policies; or Perform other activities determined by EDA to be appropriate under the R&E program.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Government - General; Federal; Interstate; Intrastate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other public institution/organization; Pursuant to PWEDA, eligible applicants for and eligible recipients of EDA investment assistance under this NOFO include a(n): a. District Organization; b. Indian Tribe or a consortium of Indian Tribes; c. State, county, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; d. Institution of higher education or a

ASSISTANCE LISTINGS DESCRIPTIONS

consortium of institutions of higher education;e. Public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State;f. A private individual org. For-profit organization

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Interstate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Federal; Intrastate; Quasi-public nonprofit organization; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Not applicable. Research and Evaluation investments are designed to finance projects for research into techniques that promote competitiveness and innovation in urban and rural regions throughout the United States.

Credentials/Documentation:

Researcher qualifications are considered in the application review process. Applicants must have demonstrated expertise in the proposed area of study. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should submit applications in accordance with the requirements of 13 C.F.R. part 306 and the applicable federal funding opportunity (FFO) announcement. EDA Headquarters can provide assistance in connection with proposed Research and Evaluation projects. Costs will be determined in accordance with applicable federal cost principles and uniform administrative requirements.

Award Procedure:

The Assistant Secretary of Commerce for Economic Development (Assistant Secretary), or other designated individual, is the selecting official for Research and Evaluation projects. EDA will award investment assistance in accord with the procedures set forth in the applicable FFO.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally, two months are required for EDA to reach a final decision after receipt of a completed application that meets all requirements.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 13, Chapter 3, Part 306, Subpart A, Public Law 108-373. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. All matching shares must be allowable based on cost principles applicable to award. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EDA anticipates a twelve (12) to eighteen (18) month project period for investments funded under this NOFO, subject to the availability of funds, EDA policy, and satisfactory performance under the award. Method of awarding/releasing assistance: other

Reports:

Grantees are required to provide project progress and financial reports, generally on a quarterly basis, in accordance with the applicable terms and conditions of the award. EDA requires the submission of the SF-425 Federal Financial Report. EDA reserves the right to conduct site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained pursuant to the terms of investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$1,500,000.00; FY 21 est \$1,500,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

In recent years, the average size of R&E and NTA investments has been approximately \$350,000, and investments generally range from \$200,000 to \$500,000. Historically, EDA has funded approximately five R&E projects and three NTA projects per year. EDA anticipates making similar awards in FY 2021-2023, subject to availability of funds.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR parts 300-302, 306.

Regional or Local Office:

None. Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Ryan M. Smith,
1401 Constitution Ave., NW, Room 71030,
Washington DC 20230
Email: rsmith2@eda.gov
Phone: 202-482-1464

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all Research and Evaluation applications for the feasibility of the budget presented and conformance with EDA statutory, regulatory requirements, and those enumerated in the applicable federal funding opportunity (FFO). Applications must meet EDA's investment policy guidelines as set forth in 13 C.F.R. 301.8, the requirements of 13 C.F.R. part 306, as applicable, and other requirements as provided in the applicable FFO.

11.313 Trade Adjustment Assistance for Firms

Trade Adjustment Assistance for Firms,

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, COMMERCE,
DEPARTMENT OF

AUTHORIZATION:

Trade Act of 1974, as amended (19 U.S.C. 2341 et seq.), , Public Law 114-27

OBJECTIVES:

The Trade Adjustment Assistance for Firms (TAAF) program helps economically distressed U.S. business in building strategies to increase exports and thereby create jobs. The program provides technical assistance to U.S. businesses that have lost sales and employment due to increased imports of similar goods and services.

ASSISTANCE LISTINGS DESCRIPTIONS

Technical assistance is provided through a nationwide network of eleven Economic Development Administration (EDA) funded Trade Adjustment Assistance Centers (TAACs).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Under Chapters 3 and 5 of Title II of Trade Act of 1974, as amended (19 U.S.C. 2341 et seq.), a firm may apply to the applicable TAAC supported by the Economic Development Administration (EDA) of the Department of Commerce for certification of eligibility to apply for trade adjustment assistance (TAA) related technical assistance. Interested firms that believe they meet the requirements set forth in 13 CFR part 315 may contact EDA or one of the EDA-funded TAACs. TAACs will assist firms, at no cost, in completing and submitting a petition (Form ED-840P or any successor form) to EDA. Before a firm receives technical assistance under the program, the firm must have an adjustment proposal approved by EDA. Usually the adjustment proposal, which includes an unbiased diagnostic of the firm's strengths, weaknesses, opportunities and threats, is prepared by the TAAC in cooperation with the certified firm. The adjustment proposal also identifies technical assistance tasks, which when implemented, will guide the firm toward economic recovery. The adjustment proposal must also demonstrate that the implementation of the technical assistance tasks has a reasonable chance helping the firm recover. The firm must pay at least 25 percent of the cost of preparing the adjustment proposal. After a firm's adjustment proposal is accepted by EDA, the firm is authorized to apply for technical assistance to implement the recovery strategy. The technical assistance is usually provided by a private consultant, who is jointly hired by the certified firm and the TAAC. The certified firm and the TAAC each typically pay one half of the consultant's fees. Actual provision of TAA will depend on the feasibility of the firm's adjustment proposal, compliance with the requirements of the Trade Act, EDA's regulations and guidelines, and the availability of funds. Organizations representing trade-injured industries are eligible under the Trade Act to apply to EDA for industry-wide assistance.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Section 253 of the Trade Act (19 U.S.C. 2343(b)) provides that grants may be awarded to "intermediary organizations (including Trade Adjustment Assistance Centers)" to provide assistance to trade-injured firms. For an industry association or other organizations to be eligible for industry assistance under section 265 of the Trade Act (19 U.S.C. 2355(a)), the applicant must submit evidence demonstrating that the industry faces import competition and includes a substantial number of Trade Act-certified firms or worker groups.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Only firms certified by EDA on behalf of the Secretary of Commerce are eligible for assistance under the TAAF program. Industries that can demonstrate they have been injured by imports and have a substantial number of Trade Act certified firm or worker groups may also benefit. The principal benefit is cost-shared technical assistance: the TAA program pays up to one-half of the cost of assistance by private-sector consultants and contractors for operational improvements at certified firms.

Credentials/Documentation:

The TAACs act as intermediaries for this program between EDA and the firm. Entities seeking industry-wide assistance must contact EDA staff to discuss their needs, describe the import injury and determine if funding is available for industry-wide projects. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal

Awards applies to this program. The nationwide network of TAACs apply to EDA each year for funding for the coming fiscal year. Industry associations or other organizations seeking industry assistance must submit adjustment proposals to the applicable TAAC center.

Award Procedure:

Awards to TAACs are made based upon submitted applications, previous performance under cooperative agreements with EDA, and the availability of funds. Acceptance of an application or amended application for a cooperative agreement does not ensure funding by EDA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. EDA is statutorily required to review petitions for certification of eligibility under the program within 40 days of petition acceptance; and has 60 days to make a final determination on an adjustment proposal.

Appeals:

Not Applicable.

Renewals:

Other. Generally, the cooperative agreement to the TAAC is to provide up to five years of services to import-impact firms.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, the cooperative agreement to the TAAC is to provide up to five years of services to import-impact firms. The TAA program is a nationwide federal program designed to help U.S. firms that have experienced business decline and loss of employment due to imports of like or directly competitive products. See the following for information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Periodic reporting of operating results and progress, financial statements, and summary of funds spent, consistent with EDAs Government Performance Results Act (GPRA) reporting requirements. TAACs are required to submit quarterly and annual reports on their progress. Other ad-hoc reports may be requested. EDA requires the submission a Form SF-425 Federal Financial Report. EDA reserves the right to conduct site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 As TAAF grantees expend financial assistance of \$750,000 or more in Federal awards, they are required to submit audit conducted for that year. Compliance is a condition of their awards.

Records:

Records are required to be maintained for at least three years. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the agreement. The recipient must retain records for at least three years after submission of the final financial report, and have them readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

Cooperative Agreements FY 20 \$13,000,000.00; FY 21 est \$13,500,000.00; FY 22 est \$13,000,000.00;

Range and Average of Financial Assistance:

Awards range between \$1M-\$1.6M.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of pertinent regulations (13 C.F.R. Part 315), guidelines, and forms are available from EDA, the TAACs or the TAAC website at www.taacenters.org. Allowable costs will be determined in accordance with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, as codified at 2 C.F.R. Part 200.

Regional or Local Office:

See Regional Assistance Locations. <http://taacenters.org/>.

Headquarters Office:

Lead Program Analyst Miriam J. Kearse,
1401 Constitution Avenue NWSuite 71030,
Washington DC 20230
Email: mkearse@eda.gov
Phone: 202-482-0556

Website Address:

<http://www.eda.gov>

RELATED PROGRAMS:

17.245 Trade Adjustment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA may invite new TAACs to submit applications through an applicable Federal Funding Opportunity (FFO) announcement. EDA may invite existing (currently funded) TAACs to submit either new or amended applications provided they have performed successfully and complied with previous conditions in their cooperative agreements with EDA and contingent upon the availability of funds. EDA generally evaluates existing (currently funded) TAACs based on: (i) performance under cooperative agreements with EDA and compliance with terms and conditions if such cooperative agreements; (ii) proposed scope of work, budget and application or amended application; and (iii) availability of funds.

11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)

Geodetic and Hydrographic Surveys,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Coast and Geodetic Survey Act., , Public Law 80-373, 33 USC Code 892.
Commerce and Trade, , 15 USC Code 1525. Coast and Geodetic Survey Act., ,
Public Law 80-373, 33 USC Code 883 (a,d,e).

OBJECTIVES:

The National Geodetic Survey (NGS) is a program office within the National Ocean Service (NOS) that provides the authoritative coordinate system for all positioning activities in the Nation. Known as the National Spatial Reference System (NSRS), NGS authoritative coordinate system defines latitude, longitude, height, gravity, and shoreline information, which supports a wide range of important activities, including mapping and charting, navigation, transportation, infrastructure, flood risk determination, national security, and environmental observations. NGS also develops industry guidelines, specifications, and standards and provides training for surveyors, mapping professionals, scientists and other users of the NSRS. The goals of this financial assistance listing are 1. To conduct research in the field of geodesy and improve applications of geodesy and the National Spatial Reference System 2. To improve the techniques and technology of geodetic and hydrographic surveys and related data, products, and services 3. Extend the National Spatial Reference System into areas not adequately covered to

meet infrastructure needs. 4. To educate and provide outreach to the public on applications of geodesy, hydrography and related data products, and services

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

1. To conduct research in the field of geodesy and improve applications of geodesy and the National Spatial Reference System 2. To improve the techniques and technology of geodetic and hydrographic surveys and related data, products, and services 3. Extend the National Spatial Reference System into areas not adequately covered to meet infrastructure needs. 4. To educate and provide outreach to the public on applications of geodesy, hydrography and related data products, and services

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Institutions of higher education, state, local and Indian tribal governments may apply for assistance. Federal agencies or institutions and foreign governments may not be the primary recipient of awards under this announcement, but are encouraged to partner with eligible applicants when appropriate.

Beneficiary Eligibility:

Federal; State; Local; State, local, municipal, universities and/or regional agencies. For the JHC limited to the Universities.

Credentials/Documentation:

An official of the State, local, municipal, university and/or regional agency should certify as the official authorized, in accordance with State, local, municipal, or regional agency law, to commit the State, local, municipal, university and/or regional agency to participate in the grant program, to sign project documents, and to receive payments. For the JHC, an approved official of the selected university should certify the application to commit the university to participate in the cooperative agreement and to receive payments in accordance with 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Federal Funding Opportunities for this listing will be posted on Grants.gov. Application packages should be submitted through www.grants.gov. The Grants.gov site contains directions for submitting an application, the application package (forms), and is also where the completed application is submitted.

Award Procedure:

The applications recommended for funding by the Selecting Official will be forwarded to the NOAA Grants Management Division (GMD) by the Program Office. The applicant will be notified by the program office by email that its application was recommended for funding. Unsuccessful proposals will not be returned to the applicant. The applicant must be aware that the notification by the program office that a proposal was forwarded to GMD is NOT the official award notice. Official notification happens only when the applicant receives an award notice from the Grants Officer either by postal mail or electronically. Costs incurred prior to receiving notice from an authorized federal grants or procurement officer are solely at one's own risk of these costs not being included under the award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Up to 240 days

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. No formal appeal procedure for grant applications. If grant applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit grant applications, projects must be revised in accordance with recommended changes.

Renewals:

Other. Annually

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This assistance listing is usually awarded as a 5-year multiyear grant. The last Federal Funding Opportunity solicited under this listing occurred in 2018. The next Federal Funding Opportunity is expected to be due in 2023. Funding must be spent in accordance with NOAA and DOC terms and conditions. Method of awarding/releasing assistance: other

Reports:

Refer to 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and other terms and conditions specific to a particular award. Refer to 2 CFR 200.327. This information must be collected with the frequency required by the terms and conditions of the federal award, but no less frequently than annually nor more frequently than quarterly except in unusual circumstances. Refer to 200.328. The non-Federal entity must submit progress reports at the interval required by the Federal awarding agency or pass through entity to best inform improvements in program outcomes and productivity. Refer to 200.032 Recipient must provide accurate, current and complete disclosure of the financial expenditures/results of each federal award or program in accordance with the reporting requirements set forth in 2 CFR 200.327. Refer to 2 CFR 200.328. The non-federal entity must submit performance reports using OMB approved standard information collection when providing performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, "nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$4,000,000.00; FY 21 est \$3,999,998.00; FY 22 est \$3,999,999.00;

Range and Average of Financial Assistance:

For Geospatial Modeling: FY16 = \$2,979,455 FY17 = \$2,919,319 FY18 = \$3,000,000 - Estimate For JHC, FY 14 \$7,083,370; FY 15 est. \$6,200,000; FY 16 est. \$6,200,000

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: *Enhanced the geodetic infrastructure by integrating the vertical control established by a regional network of Continuously Operating Reference Stations (CORS) sites with tide gauges *Conduct research on Unmanned Aerial Systems surveys and lidar surveys in marsh areas *Conducted several geospatial workshops and trainings *Continued the densification and lifecycle replacement of

continuous GNSS stations.*Conduct geodetic surveys to improve transformation models and tools. Fiscal Year 2021: Enhanced the geodetic infrastructure by integrating the vertical control established by a regional network of Continuously Operating Reference Stations (CORS) sites with tide gauges *Conduct research on Unmanned Aerial Systems surveys and lidar surveys in marsh areas *Conducted several geospatial workshops and trainings *Continued the densification and lifecycle replacement of continuous GNSS stations.*Conduct geodetic surveys to improve transformation models and tools. Conduct InSAR research

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200

Regional or Local Office:

None.

Headquarters Office:

NOS GMAC Kadija Baffoe-Harding,
1305 East West HighwaySSMC 4 Room 13267,
Silver Spring MD 20910

Email: Kadija.BaffoeHarding@noaa.gov

Phone: 2405330955

Fax:

Website Address:

<http://www.nauticalcharts.gov>

RELATED PROGRAMS:

11.003 Census Geography;

EXAMPLES OF FUNDED PROJECTS:

2020 \$4M for Geospatial Modeling - Enhance infrastructure of geodetic control, coastal remote sensing data, terrestrial gravity measurements, and other physical datasets 2021 \$4M for Geospatial Modeling - Enhance infrastructure of geodetic control, coastal remote sensing data, terrestrial gravity measurements, and other physical datasets 2022 \$4M for Geospatial Modeling - Enhance infrastructure of geodetic control, coastal remote sensing data, terrestrial gravity measurements, and other physical datasets

CRITERIA FOR SELECTING PROPOSALS:

The merit review ratings shall provide a rank order to the Selecting Official for final recommendation to the Grants Officer. The Selecting Official shall award according to rank order unless the proposal is justified to be selected out of rank order based upon one or more of the following factors: 1. Availability of funding 2. Balance/distribution of funds: a. By type of partners b. Geographically c. By research areas d. By type of institutions 3. Applicant's prior award performance 4. Program priorities and policy factors 5. Partnerships with/Participation of targeted groups 6. Duplication of other projects funded or considered for funding by NOAA/federal agencies 7. Adequacy of information necessary for NOAA staff to make a NEPA determination and draft necessary documentation before recommendations for funding are made to the Grants Officer.

11.405 Cooperative Institute (Inter-Agency Funded Activities)

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

118 St at. 71, Fish and Wildlife Act, 16 USC Code 753a. Navigation and Navigable Waters, 33 USC Code 1442. Weather Service Organic Act, 15 USC Code 313. Cooperative Agreements/Reimbursement to Further NOAAs Mission, 15 USC Code 1540. National Climate Program Act, 15 USC Code 2904. Fish and Wildlife Coordination Act of 1956, 16 USC Code 661. National Climate Program Act, 15 USC Code 2901 et seq. Navigation and Navigable Waters, 33 USC Code 883d. Federal Aviation Act, 49 USC Code 44720(b),.

OBJECTIVES:

To increase the effectiveness of research and develop innovative approaches to education in the environmental sciences by facilitating cooperation between government, university, and nonprofit research institutions.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Program authorizes funds to be used for research and development, education and training, advisory services and operational systems as they relate to specific NOAA programs. Specific restrictions, other than those mandated for cooperative agreements, are evaluated on an individual program basis. Most Cooperative Institutes (CIs) operate under a Memorandum of Understanding/Memorandum of Agreement and are geographically located near a NOAA Research Laboratory or a NOAA facility. In addition, 118 Stat. 71 (2004) authorizes the Secretary to designate (delegated to the Under Secretary of NOAA) CIs to use the personnel, services, or facilities of such organizations for research, education, training, and outreach to further NOAA's mission under a cooperative agreement.

Applicant Eligibility:

Anyone/general public; State (includes District of Columbia, public institutions of higher education and hospitals); Single or multiple non-federal public and private non-profit universities, colleges and research institutions that collectively offer accredited graduate level degree-granting programs in NOAA related sciences.

Beneficiary Eligibility:

Anyone/general public; Individual/Family; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Scientist/Researchers; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Organizations with interests in support of the NOAA mission to understand and predict changes in Earth's environment and conserve and manage coastal and marine resources to meet the nations' economic, social, and environmental needs.

Credentials/Documentation:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposal evaluations, technical and administrative reviews, and external reviews, if applicable. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval. All applicants are notified whether or not their proposal was funded.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days from time of proposal submission.

Appeals:

Not Applicable.

Renewals:

Other. Initial awards are for 5 years; renewals may be made for a period of up to 5 additional years, based upon the availability of funds and the results of an extensive scientific and administrative review, typically occurring in the fourth year of the first 5-year award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Generally, five years with funding approved on individual and group proposal basis throughout the 5 years in accordance with DOC approval. Method of awarding/releasing assistance: other Method of awarding/releasing assistance: other

Reports:

In accordance with applicable report or announcement published in the Federal Register and/or Federal Funding Opportunities in Grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are expected to maintain complete records for each cooperative agreement to insure funds are used for the purposes that the cooperative agreement was made. Records must be retained for 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

13-1450-0-1-306. 13-1460-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$1,000,000.00; and FY 22 est \$1,250,000.00;

Range and Average of Financial Assistance:

Total annual funding at current Cooperative Institutes ranges from \$1,300,000 to \$30,000,000. Average funding is \$10,000,000

TAFS Codes:

13-1450. 13-1460.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Shannon Louie, Director, Cooperative Institute Program Office. 1315 East-West Highway, Room 11350, Office: (301) 734-1180 Fax: (301) 713-1459 E-mail: Shannon.Louie@noaa.gov.

Headquarters Office:

Shannon Louie,
Shannon Louie, Director, Cooperative Institute Program Office. 1315 East-West Highway, Room 11350, Office: (301) 734-1180 Fax: (301) 713-1459 E-mail: Shannon.Louie@noaa.gov.,
Silver Spring MD 20910
Email: Shannon.Louie@noaa.gov
Phone: (301) 734-1180
Fax: (301) 713-1459

Website Address:

<http://www.ci.noaa.gov>

RELATED PROGRAMS:

11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.407 Interjurisdictional Fisheries Act of 1986

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Interjurisdictional Fisheries Act of 1986, Title 3, , Public Law 99-659, 16 USC Code 4106.

OBJECTIVES:

To assist States in managing interjurisdictional fisheries resources.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds can be used for research and enforcement of interjurisdictional fishery resources, for the development of Fishery Management plans, and for restoration of resources damaged by a natural resource disaster.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); The agency of a State government authorized under its laws to regulate commercial fisheries, and the Pacific, Atlantic, and Gulf Interstate Marine Fisheries Commissions.

Beneficiary Eligibility:

State; The agency of a State government authorized under its laws to regulate commercial fisheries.

Credentials/Documentation:

The Governor of each State shall notify the Secretary of Commerce which State agency is authorized to regulate commercial fisheries. An official of the State agency shall certify as the official(s) authorized in accordance with State law to commit the State to participation under the Act, to sign project documents, and to receive payments. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications reviewed by the National Marine Fisheries Service Regional Program Office and processed by the NOAA Grants Management Division (OA32).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 75 days or less.

Appeals:

Other. No formal appeal procedure. If application is unacceptable the reasons are fully stated to the applicant. If the applicant desires to resubmit application, project must be revised in accordance with recommended changes.

Renewals:

Other. No renewal. However, an approved on-going project can be extended by submission of an amendment request.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary.

Apportionment to States is based on average value and volume of raw fish landed by domestic commercial fishermen. No State that qualified for funding may receive less than 1/2 of 1 percent or more than 6 percent of funds appropriated in any fiscal year based on conditions of the act. Federal funding is not to exceed 75 percent of approved project costs, except where a project supports an approved interstate or Federal Fishery Management Plan, up to 90 percent Federal funding may apply. The statistical factors used for fund allocation are: (1) Three year average of value of commercial fish landed, the source is the "Fishery Statistics of the U.S."; and (2) volume of fish landed, the source is the "Fishery Statistics of the U.S." No matching requirements for commission grants.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months.

Award funds must be spent in the indicated budget period and is expended in accordance with DOC/NOAA finance and reporting procedures. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the six-month period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Formula Grants FY 20 \$6,251,404.00; FY 21 est \$6,876,544.00; FY 22 est \$7,564,198.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 253 available from Director, Office of Sustainable Fisheries (F/SF), National Marine Fisheries Service, 1315 East West Highway, Silver Spring, MD 20910. or from regional offices without cost.

Regional or Local Office:

See Regional Assistance Locations. Regional or Local Office: Potential applicants should make initial contact relative to this program at the region or area offices of the National Marine Fisheries Service listed. Alaska: Alaska Regional Office, Barbara Fosburg, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7273. Use the same numbers for FTS. Email: barbara.fosburg@noaa.gov. Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281- 9117. Email: grants.information@noaa.gov. Northwest Regional Office, Kevin Ford or Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349. Telephone: (206) 526-6115 or 6113). E-mail Kevin.Ford@naoo.gov or Stephen Freese@noaa.gov. Pacific Islands Region: Scott Bloom, Federal Program Officer, 1601 Kapiolani Blvd., Honolulu, HI 96814-4700. Telephone: (808) 973-2941. E-

ASSISTANCE LISTINGS DESCRIPTIONS

mail: scott.bloom@noaa.gov Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13 Ave. South, St. Petersburg, FL 33701 Telephone: (727) 824- 5324.. Fax: (727) 824-5364 E-mail: Cynthia.Binkley@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, Southwest Region Office, 501 West Ocean Blvd., Suite 4200, Long Beach, CA 90802- 4213. Telephone: (310) 980- 4239. Fax: (562) 980-4047. E-mail: trisha.culver@noaa.gov.

Headquarters Office:

Steve Meyers,
Office of Sustainable Fisheries, National Marine, Fisheries Service, 1315 East-West Highway,,
Silver Spring MD 20910
Email: steve.meyers@noaa.gov
Phone: (301) 713-2334
Fax: (301) 713-0596

Website Address:

<http://www.http://sero.nmfs.noaa.gov/>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.474 Atlantic Coastal Fisheries Cooperative Management Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.408 Fishermen's Contingency Fund

Fisheries Contingency Fund,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Outer Continental Shelf Lands Act Amendments of 1978, Title IV, Section 402

OBJECTIVES:

To compensate U.S. commercial fishermen for damage/loss of fishing gear and 50 percent of resulting economic loss due to oil and gas related activities in any area of the Outer Continental Shelf.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

To compensate fishermen at specific geographic locations negatively impacted by oil and gas obstructions in these fishing grounds.

Applicant Eligibility:

Individual/Family; Profit organization; Applicant must be a U.S. commercial fisherman.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; U.S. Commercial fishermen.

Credentials/Documentation:

Financial statements, records, logbooks, receipts, and estimates which establish that the applicant is a fisherman and owned the property for which compensation is claimed. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Submit applications to Chief, Financial Services Division, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910.

Award Procedure:

Review and approval by Financial Services Division, NMFS.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. For two months.

Appeals:

Other. Assistant Administrator, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

None.

Account Identification:

13-5120-0-2-376.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-5120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Available from Chief, Financial Services Division, National Marine Fisheries Service. 43 U.S.C. 1841 et seq; 50 CFR Part 296.

Regional or Local Office:

None. See Appendix IV of the Catalog.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring MD 20910
Email: jeffrey.kulnis@noaa.gov
Phone: (301) 427-8771

Website Address:

http://www.nmfs.noaa.gov/mb/financial_services/fcf.htm.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

11.413 Fishery Products Inspection and Certification

(Inspection and Grading of Fishery Products),

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Fish and Wildlife Act of 1956, , Public Law 84-1024, 16 USC Code 742(a-j).
Agricultural Marketing Act of 1946, , Public Law 79-733, 7 USC Code 1324-1627. Reorganization Plan No. 4, 1970,

OBJECTIVES:

To ensure that the hygienic aspects of seafood harvesting and processing operations meet the minimum public health requirements and to determine and certify the identity, condition, and distributing quality, and quantity of seafood products.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

The voluntary inspection, grading and certification of seafood is made at the processing plant, or at other locations depending upon the inspection services desired. Funds are derived from fees charged for the services provided.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Any individual, Federal, State, county, or municipal agencies, or carrier having a financial interest in the commodity.

Beneficiary Eligibility:

Federal; Individual/Family; Farmer/Rancher/Agriculture Producer; Industrialist/Business person; State; General public.

Credentials/Documentation:

Applicants must stipulate that they have a financial interest in the product. Applicants for in-plant inspection service must furnish a surety bond or other guarantee of payment. Applicants for other types of services may be required to furnish a surety bond or make payment prior to the receipt of services. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for in-plant inspection services must do so in writing to the nearest regional inspection office. Requests for other types of services may be made orally or in writing to the Regional Inspection Office.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

n/a Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

13-1450-0-1-306.

Obligations:

Provision of Specialized Services FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Chapter II, Parts 260 to 267; Inspection Services Brochure, USDC approved list of fish establishments and products, free. Also available on the Internet at <http://seafood.nmfs.noaa.gov/>. Service fees are established annually.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring MD 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

http://www.seafood.nmfs.noaa.gov/export/export_certification/euexport.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.415 Fisheries Finance Program

Fisheries Finance Program,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Merchant Marine Act, , 46 USC Code 1271 et seq.

OBJECTIVES:

Provides direct loans for certain fisheries costs. Vessel financing available for the purchase of used vessels or the reconstruction of vessels (limited to reconstructions that do not add to fishing capacity). Refinancing available for existing debt obligations. FFP loans are not issued for purposes which could contribute to over capitalization of the fishing industry. Finance or refinance fisheries shore side facilities or Aqua cultural facilities. Provides Individual Fishing Quota (IFQ) financing (at the request of a Fishery Management Council). IFQ financing available at this time to first time purchasers and small vessel operators in the Halibut Sablefish fisheries. Provides long term fishery buy back financing (at the

ASSISTANCE LISTINGS DESCRIPTIONS

request of a Fishery Management Council or Governor) to purchase and retire fishing permits and/or fishing vessels in overcapitalized fisheries.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Direct loans for up to 80 percent of actual cost, for reconstruction or reconditioning of fishing vessels, renovation, repair or construction of fisheries shore side facilities, (including aquaculture facilities) and financing and refinancing the cost of certain IFQs. Maximum maturity up to 25 years. Funds from this program are not used for purposes which contribute to over capitalization of the fishing industry.

Applicant Eligibility:

Individual/Family; Profit organization; Other private institutions/organizations; Applicant must possess the ability, experience, financial resources, and other qualifications necessary to operate successfully and repay the debt.

Beneficiary Eligibility:

Profit organization; Other private institution/organization; Commercial fishermen, processors or distributors of fishery products.

Credentials/Documentation:

Financial statements, records, business history, and tax returns. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum term is 25 years. Financing based on the economic useful life of the asset financed. Method of awarding/releasing assistance: other

Reports:

Annual Financial Statements and associated financial information as needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Agency has the right to inspect all relevant financial documents and records.

Account Identification:

13-1456-0-1-376.

Obligations:

Direct Loans FY 20 \$6,357,566.00; FY 21 est \$6,993,200.00; FY 22 est \$7,692,520.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1456.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 253.

Regional or Local Office:

Headquarters Office:

Chief Leo Erwin,
Financial Services Division, National Marine Fisheries Service, Department of Commerce, 1315 East-West Highway,

Silver Spring MD 20910

Email: leo.erwin@noaa.gov

Phone: 3017132390

Fax: 3017131306

Website Address:

https://www.greateratlantic.fisheries.noaa.gov/mediacenter/2016/march/29_noaa_now_accepting_applications_for_fisheries_finance_program.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.417 Sea Grant Support

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Sea Grant College Program Improvement Act of 1976, , Public Law 94-461, 33 USC Code 1121. National Sea Grant College Program Act, , Public Law 100-220

OBJECTIVES:

To support the establishment and operation of major university centers for marine resources research, education, and training and to support marine advisory services. Some individual efforts in these same areas also receive funding.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may be used for research and development, education and training, and advisory services. Coherent area, institutional and Sea Grant College support is provided to some institutions. Grant money cannot be used to purchase, or construct ships or facilities.

Applicant Eligibility:

Anyone/general public; Universities, colleges, junior colleges, technical schools, institutes, laboratories; any public or private corporation, partnership, or other association or entity; any State, political subdivision of a State or agency or officer thereof; any individual.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Public nonprofit institution/organization; Other public institution/organization; Organizations and individuals with professional interest in marine affairs.

Credentials/Documentation:

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Proposals with statement of work and estimated budget. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See contact information

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Made in a proposal to Headquarters Office or local Sea Grant program fully documenting need for grant and proposed amount. This program is excluded from coverage under OMB Circular No. A- 102.

Award Procedure:

Awards are made competitively on the basis of proposal evaluation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days from time of proposal submission.

Appeals:

Not Applicable.

Renewals:

Other. By negotiation with Sea Grant Office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: other

Reports:

Financial status reports and performance reports required quarterly. Final reports are required at the end of the support period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for purpose for which grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$76,000,000.00; FY 21 est \$83,600,000.00; FY 22 est \$91,960,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 600-635; Literature: Suggestions for Submission of Proposals and Administration of Grants, National Sea Grant Program (no charge). Federal Register Vol. 43, No. 70 dates April 1978 and Vol. 43, No. 153 dated August 8, 1978, Part III, Title 15, Chapter IX, Part 917. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for

commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other nonprofit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

na,
Director, National Sea Grant College Program, National Oceanic and Atmospheric Administration, 1315 East-West Highway,

Silver Spring MD 20910

Email: SGweb@noaa.gov

Phone: (301) 713-2448

Director,

1315 East West Hwy.,

Silver Spring MD 20910

Email: SGweb@noaa.gov

Phone: 301-734-1066

Fax:

Website Address:

<http://www.noaa.gov>

RELATED PROGRAMS:

20.807 U.S. Merchant Marine Academy; 20.806 State Maritime Schools; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.419 Coastal Zone Management Administration Awards; 11.407 Interjurisdictional Fisheries Act of 1986; 47.050 Geosciences;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged on the degree to which they contribute to the purposes of the Act, along with their relevance to the oceans, Great Lakes, and the marine environment. They are also judged on expected results and benefits, soundness of scientific approach, cost effectiveness, and other factors.

11.419 Coastal Zone Management Administration Awards

Agency: Department of Commerce Office: National Oceanic and Atmospheric Administration (NOAA),

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Coastal Zone Management Act Amendments of 1984, , Public Law 98-620, Coastal Zone Management Act of Amendments 1976, Section 306, Public Law 94-370, Coastal Zone Management Act Amendments of 1986, , Public Law 99-272, Executive Order Pub. L. No. 92-583, 16 U.S.C. 1451 et seq., , Coastal Zone Management Act of Amendments 1980, , Public Law 96-464, 16 USC Code 1451. Coral Reef Conservation Act of 2000, Section 6403, Public Law 106-562, Executive Order Pub. L. No. 92-583, 16 U.S.C. 1451 et seq., , Coastal Zone Management Act of 1972, , Public Law 92-583, 16 USC Code 1451. Executive Order Pub. L. No. 92-583, 16 U.S.C. 1451 et seq., , Coastal Zone Act Reauthorization Amendments of 1990, , Public Law 101-508, 16 USC Code 1451.

OBJECTIVES:

To assist States in implementing and enhancing Coastal Zone Management and related programs that have been approved by the Secretary of Commerce.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Cooperative Agreements may be used only to implement and enhance the States'

ASSISTANCE LISTINGS DESCRIPTIONS

approved Coastal Zone Management programs. This includes personnel salaries, travel and other related costs required to support the administration of the program. Ten to twenty percent of Section 306 of the CZMA funds are available annually to develop new program requirements under Section 309 of the CZMA (16 U.S.C. 1456b) in the areas of coastal wetlands management and protection; natural hazards management (including potential sea and Great Lakes level rise); public access improvements; reduction of marine debris; assessment of cumulative and secondary impacts of coastal growth and development; special area management planning; impacts of coastal growth and development; special area management planning; ocean resource planning; and citing of coastal energy and Government facilities; and program development grants as authorized by section.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Any coastal State, including those that border the Great Lakes, and including Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Freely Associated States of the Pacific whose Coastal Zone Management program has been approved by the Secretary of Commerce. The Governor shall designate the State agency or entity that is to be the applicant.

Beneficiary Eligibility:

U.S. Territories; State; Institutions of higher educations, hospitals, other nonprofits, commercial organizations, foreign governments, organizations under the jurisdiction of foreign governments, international organizations, and state, local and Indian tribal governments.

Credentials/Documentation:

Letter from Governor designating the applicant. Proposal with statement of work and budget estimate. Costs will be determined in accordance with relevant OMB requirements. DOC has adopted the OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (OMB Uniform Guidance), which are codified at 2 C.F.R. Part 200 and incorporates relevant cost principles. Relevant OMB cost principles apply to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review."

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals should be submitted through Grants.gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be submitted directly to the applicable federal program. Proposals are subject to the requirements of 2 C.F.R. Part 200

Award Procedure:

Applications are approved by the Office for Coastal Management.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 150 days.

Appeals:

Other. No formal procedure.

Renewals:

Other. Continuation grants on an annual basis are available. Individual grants may be extended, however, all funds must be obligated within the fiscal year following the fiscal year of the original award.

Formula and Matching Requirements:

Statutory Formula: Title 15 - Commerce and Foreign Trade, Chapter IX - National Oceanic and Atmospheric Administration, Part 923 - Coastal Zone Management Program Regulations, Subpart B, Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is typically available to formula recipients for 12 to 18 months with a possible extension not to exceed a project period of 3 total years. Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extension requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests must be submitted to the NOAA at least 30 days prior to end date. Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of an extension up to 1 year for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department. Method of awarding/releasing assistance: other

Reports:

Financial status and performance reports are required semi-annually. Final financial status reports are required within 90 days of award ending date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 A non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of the OMB Uniform Guidance (see Subpart F to 2 C.F.R. Part 200).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained by the terms of the agreement. In accordance with 2 C.F.R. 200.333, the non-Federal entity must retain records for three years from the date when the final expenditure report is submitted, unless certain exceptions apply.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$77,030,563.00; FY 21 est \$78,421,500.00; and FY 22 est \$78,421,499.00;

Range and Average of Financial Assistance:

\$795,000 to \$2,300,000 (average \$2,000,000)

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 923. Allowable costs and administrative requirements will be determined in accordance with relevant OMB requirements. As noted above, the DOC adopted the OMB Uniform Guidance as of December 26, 2014, which incorporates all relevant cost principles and uniform administrative requirements.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

See Regional Assistance Locations. Joelle Gore, Chief, Stewardship Division, Office for Coastal Management, National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, SSMC 4, Room 10622, Silver Spring, Maryland 20910 Phone: (240) 533-0813

Headquarters Office:

NOS GMAC Kadija Baffoe-Harding,
1305 East West Highway SSMC 4 Room 13267,
Silver Spring MD 20910
Email: Kadija.BaffoeHarding@noaa.gov
Phone: 2405330955

Fax:

Website Address:

<http://coastalmanagement.noaa.gov/programs/czm.html>

RELATED PROGRAMS:

11.420 Coastal Zone Management Estuarine Research Reserves; 11.482 Coral Reef Conservation Program; 11.473 Coastal Services Center; 11.417 Sea Grant Support;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

While 80 to 90 percent of the appropriated funds are allocated to States through a formula based on coastal population and shoreline mileage, the tasks in the State application are reviewed for relevance to program objectives and cost effectiveness. The remainder of the funds are allocated according to criteria published by the Office for Coastal Management. Coastal Estuarine and Land Conservation Program and Coral Reef funds are distributed through a competitive process, subject to availability of funds for competitive awards.

11.420 Coastal Zone Management Estuarine Research Reserves

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Coastal Zone Management Act Amendments of 1984, , Public Law 98-960,
Coastal Zone Management Act of 1972, Section 312, Public Law 92-583, 16 USC Code 1451. Coastal Zone Management Act Amendments of 1980,, , Public Law 96-464, Coastal Zone Act Reauthorization Amendments of 1990, , Statue 508, Public Law 101, Coastal Zone Management Act Amendments of 1987, Section 315, Public Law 94-370

OBJECTIVES:

To assist States in the development, acquisition, monitoring, research, education, operation and facilities construction for National Estuarine Research Reserves for the purpose of creating natural field laboratories to gather data and make studies of, and educate people about the natural and human processes occurring within the estuaries of the coastal zone.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may be used to cover the costs of land acquisition, development, research, education, operation and facilities construction for National Estuarine Research Reserves. Development and operation costs may include the administrative expenses necessary for implementing reserve programs. Grants are available to states for education projects at reserves. Competitive research grants are also available.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Land acquisition, development, operation, and facilities construction grants are available to any coastal State and, in some cases, public or private person, including those bordering the Great Lakes. For the purposes of the

Coastal Zone Management Act, the coastal State also applies to Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Governor shall designate the State agency or entity to be the applicant. Competitive research grants are available to any coastal State or qualified public or private person. Education grants are available to any coastal State entity.

Beneficiary Eligibility:

State; Any coastal State including those bordering the Great Lakes, as well as Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands are eligible for reserve operation, land acquisition, or construction awards where a reserve has been designated and for development awards for designating new reserves. Also qualified students are eligible to apply for research fellowship grants.

Credentials/Documentation:

(1) For development grants: A letter from the Governor designating the lead agency for new reserve development; (2) for competitive research grant proposals which do not come from the lead agency: A letter from the reserve manager indicating formal support of the application by the lead agency; (3) for reserve operations, land acquisition, and construction: An application from the reserve lead agency or its designee. For all grants, a statement of work and budget estimate must be submitted. As noted above, costs will be determined in accordance with relevant OMB cost principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals should be submitted through Grants.gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be submitted directly to the applicable federal program. Proposals are subject to the requirements of 2 C.F.R. Part 200

Award Procedure:

Applications are ranked and selected and submitted to the Grants Management Division for processing and approval. Once a review of the application is completed and a risk assessment is done on the applicant if there are no outstanding issues the application is approved by the Grants Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 150 workdays.

Appeals:

Other. No formal procedure. If application is unacceptable the applicant is fully informed and may revise application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 15, Chapter IX, Part 921, Subpart D, Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is typically available to formula recipients for 12 to 18 months with a possible extension not to exceed a project period of 3 total years. Assistance is

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typically available to competitive recipients for 1 to 5 years. The maximum time that may be granted for recipients to expend and drawdown funds is 5 years.

Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extension requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests must be submitted to the NOAA at least 30 days prior to end date.

Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of an extension up to 1 year for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department. Method of awarding/releasing assistance: other

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. All financial status and performance reports are required semi-annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement. Each non-Federal entity must retain records for three years from the date when the Final expenditure report is submitted.

Account Identification:

13-1450-0-1-306, 13-1460-0-1-306.

Obligations:

Project Grants FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Project Grants FY 20 \$31,776,028.00; FY 21 est \$32,563,534.00; and FY 22 est \$32,563,533.00;

Range and Average of Financial Assistance:

\$20,000 to \$600,000 (average \$250,000)

TAFS Codes:

13-1450, 13-1460.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 921. Allowable costs and administrative requirements will be determined in accordance with relevant OMB requirements. As noted above, the DOC anticipates adopted the OMB Uniform Guidance as of December 26, 2014, which incorporates all relevant cost principles and uniform administrative requirements.

Regional or Local Office:

None. Joelle Gore, Chief, Stewardship Division, Office for Coastal Management, National Ocean Service, National Oceanic and Atmospheric Administration, Department of Commerce, 1305 East-West Highway, SSMC 4, Room 10622, Silver Spring, Maryland 20910 Phone: (240) 533-0813

Headquarters Office:

NOS GMAC Kadija Baffoe-Harding,

1305 East West Highway SSMC 4 Room 13267,

Silver Spring MD 20910

Email: Kadija.BaffoeHarding@noaa.gov

Phone: 2405330955

Fax:

Website Address:

<http://www.nerrs.noaa.gov>

RELATED PROGRAMS:

11.419 Coastal Zone Management Administration Awards; 11.417 Sea Grant Support; 11.429 Marine Sanctuary Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

There are five types of awards to coastal States under the National Estuarine Research Reserve Program: (1) land acquisition; (2) development; (3) operation, education, monitoring; (4) research; and (5) facilities construction. Detailed criteria for the State's development of these awards and criteria for reviewing each award are provided in the regulations for the National Estuarine Research Reserves Program (15 CFR Part 921). Final regulations were published in the Federal Register, July 15, 1993.

11.426 Financial Assistance for National Centers for Coastal Ocean Science

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF
AUTHORIZATION:

Executive Order 13158, Marine Protection, Research, and Sanctuaries Act of 1972, Title II, Section 201, Public Law 095-532, National Ocean Pollution Planning Act of 1978, Section 6, Public Law 095-273

OBJECTIVES:

NCCOS provides research, scientific information and tools to help balance the Nations ecological, social and economic goals. Our partnerships with local and national coastal managers are essential in providing science and services to benefit communities and the Nation. The research and tools we provide are central to addressing coastal issues raised in legislation and NOAA's priorities. We seek to help coastal communities protect themselves from harmful algae, contamination and the implications of changing climate. We also provide the research necessary for communities to develop effective and sustainable management of their resources. Through competitive research funding, NCCOS also engages the nations best talent to develop cutting-edge science for coastal management applications. NCCOS's four major research priorities are: climate impacts, coastal ecosystem management, coastal pollution, and harmful algal blooms.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Universities, colleges, junior colleges, technical schools, institutes, laboratories, State and local government agencies, public or private profit or nonprofit entities or individuals.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Public nonprofit institution/organization; Other public institution/organization; Organizations and individuals utilizing science in effectively managing the Nations coastal resources

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs

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will be determined in accordance with OMB Circular Nos. A-87 relocated to 2 CFR Part 225 for State and local governments, A-21 relocated to 2 CFR Part 220 for educational institutions, and A-122 relocated to 2 CFR Part 230 for nonprofit organizations 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from Grants.gov. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Awards are made on the basis of proposal evaluation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 210 days from the time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

Other. By application to the National Centers for Coastal Ocean Science, 1305 East-West Highway, Silver Spring, MD 20910. Attn: Mary C Erickson

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Support for projects may be provided for periods up to 5 years in annual increments. Method of awarding/releasing assistance: other

Reports:

Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis. Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis. Cash reports are not applicable. Expenditure reports are not applicable. Semi-annual and final performance reports; quarterly and final financial reports, and other special reports as may be required on a case-by-case basis.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. A-133, recipients that are States, Local Governments, Nonprofit Organizations, and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained by the terms of the agreement (OMB Circular No. A110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments). The grantee must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$11,000.00; FY 22 est \$12,100.00;

Range and Average of Financial Assistance:

\$20,000 to \$550,000. Average \$250,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Director Jeffrey Kulnis,
SSMC3 Rm: 14358 1315 East-West Hwy Silver Spring, MD 20910-3282,
Silver Spring MD 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://coastalscience.noaa.gov>

RELATED PROGRAMS:

11.417 Sea Grant Support;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants/cooperative agreements, criteria for selecting proposals is contained in the individual program solicitation. For non-competitive grants, applications received by NCCOS are reviewed for completeness, accuracy, and compliance with all program requirements. Final funding decisions will be constrained by the actual amount of agency funding available and changes in programmatic priorities.

11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program

The Saltonstall-Kennedy Research Grant Program,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Saltonstall-Kennedy Act, , 15 USC Code 713c 3(c).

OBJECTIVES:

To increase greatly the Nation's wealth and quality of life through sustainable fisheries that support fishing industry jobs, safe and wholesome seafood, and

ASSISTANCE LISTINGS DESCRIPTIONS

recreational opportunities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Proposals must respond to funding priorities in the annual solicitation. Applicants should demonstrate an awareness of the fisheries resources in the region over which the resource extends as well as the issues/problems involving such fisheries. Issues/problems addressed in the project should be supported by industry experience.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; Any U.S. citizen or national, or any citizen of the Northern Mariana Islands, the Republic of the Marshall Islands, Republic of Palau, or the Federated States of Micronesia; or group including State and local governments, except that employees of Federal agencies, and Regional Fishery Management Councils and their employees, are not eligible.

Beneficiary Eligibility:

Minority group; Anyone/general public; State; Local; Any U.S. citizen or national, or any citizen of the Northern Mariana Islands, the Republic of the Marshall Islands, Republic of Palau, or the Federated States of Micronesia; or group including State and local governments.

Credentials/Documentation:

Proposal with statement of work and budget estimate. See annual solicitation published at www.grants.gov for details. Costs will be determined in accordance with 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. A listing of State single points of contact under E.O. 12372 is available at www.hhs.gov/grantsnet/lawsregs/eo.htm.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit Standard Form 424, project proposal and budget following the format specified by the funding office in the annual solicitation. Proposals are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to NOAA. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are reviewed after the submission deadline in competition with all other proposals submitted. To the extent that funds are available, those proposals judged to best meet priorities are funded. Either grants or cooperative agreements will be awarded.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Other. Projects of up to 24-month durations will be considered. Proposals for continuing work must be competed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Grants are not to exceed 24 months. Financial status reports are completed and submitted. Method of awarding/releasing assistance: other

Reports:

Semiannual progress and financial reports shall be due within 30 days after the end of the 6-month period. Final reports shall be submitted within 90 days after the end of the project.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an agreement shall be retained for a period of three years.

Account Identification:

13-5139-0-2-376.

Obligations:

Project Grants FY 20 \$7,714,789.00; FY 21 est \$8,486,268.00; FY 22 est \$9,334,895.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-5139.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For costs principles, see 2 CFR 200

Regional or Local Office:

See Regional Assistance Locations. All Regional Contacts are listed in the Full Opportunity found at www.grants.gov as well as at the SK homepage http://www.fisheries.noaa.gov/mb/financial_services/skhome.htm

Headquarters Office:

Dan Namur,
Headquarters Office: Dan Namur, NOAA National Marine Fisheries Service,, Office of Management and Budget, 1315 East-West Highway, Rm. 14358, SilverSpring, MD 20910 .,
Silver Spring MD 20910
Email: Dan.Namur@noaa.gov
Phone: (301) 427-8730
Fax: (301) 713-1306.

Website Address:

http://www.fisheries.noaa.gov/mb/financial_services/skhome.htm

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 11.417 Sea Grant Support;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Significance of the issue addressed in the proposal, methodology proposed, responsiveness to published priorities, cost proposed, etc.

11.429 Marine Sanctuary Program

Office of National Marine Sanctuaries,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act of 1972, Title 3, , Public Law 92-532, 16 USC Code 1431. National Marine Sanctuaries Amendments Act of

ASSISTANCE LISTINGS DESCRIPTIONS

2000, , Public Law 106-513, National Marine Sanctuaries Preservation Act of 1996, , Public Law 104-283

OBJECTIVES:

To provide educational and outreach to the citizens of the United States of America on ocean conservation, marine sanctuaries ,and marine protected areas through numerous competitive and non-competitive grants to private foundations, local public schools, universities and many other entities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

ONMS funds grant recipients who work with the office on educational and outreach activities such as advisory councils, conferences and workshops that focus on ocean conservation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Agreements to solicit private donations may only be made with nonprofit organizations.

Beneficiary Eligibility:

Anyone/general public; Financial assistance is made for a public purpose. The ultimate beneficiary is the public. The scholarship program benefits individuals who do not have the financial means of pursuing graduate level studies and, in turn, ensures that women and minorities are representative in the areas supported by the scholarship program.

Credentials/Documentation:

The applicants must provide all funding and related documentation in order to apply for a grant. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms, as furnished by NOAA and required by 15 CFR Part 24, must be used when applying for Federal funding. These forms can be obtained from the NOAA Grants Management Division. Informal preapplication conferences are recommended. Consultation and assistance is available from NOAA in the preparation of an application. This program is excluded from coverage under E.O. 12372. For the scholarships to individuals, there is no preapplication requirement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted by due dates issued by the servicing agency and all applications must be done in good faith in order for the application to successfully approved by governmental agency attorneys and the grant office.

Award Procedure:

Applications are required for competitive and non-competitive grants. Grantees must apply by the due date published in the announcement posted on www.grants.gov. Application packages must be submitted on time, be complete and meet minimum requirements in order to be considered for funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Up to 240 DAYS

Appeals:

Not Applicable. No formal procedure.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Marine Protection, Research, and Sanctuaries Act of 1972, Chapter Title 3, Public Law Public Law 92-532. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typically, ONMS awards have a 1 year period of performance. However, applicants should rely on the funding announcements posted on www.grants.gov for specific details pertaining to the financial assistance being sought. Method of awarding/releasing assistance: other

Reports:

Refer to 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards and other terms and conditions specific to a particular award. 200.327 This information must be collected with the frequency required by the terms and conditions of the federal award, but no less frequently than annually nor more frequently than quarterly except in unusual circumstances. 200.328 The non-Federal entity must submit progress reports at the interval required by the Federal awarding agency or pass through entity to best inform improvements in program outcomes and productivity. 200.032 Recipient must provide accurate, current and complete disclosure of the financial expenditures/results of each federal award or program in accordance with the reporting requirements set forth in 200.327. 200.328 The non federal entity must submit performance reports using OMB approved standard information collection when providing performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, "nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program- specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

"In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements," nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program- specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$11,101,379.00; FY 21 est \$12,211,517.00; FY 22 est \$13,432,669.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to 2 CFR 200.

Regional or Local Office:

None. Regional or Local Office. Local Offices at each sanctuary.

Headquarters Office:

Federal Program Officer Joseph Faulkner,
Joe T. Faulkner, Federal Program Officer NOAA, Office of National Marine Sanctuaries 1305 East West Highway, SSMC4, Station 11653 Silver Spring, MD 20910 Telephone: 301-713-7241,

ASSISTANCE LISTINGS DESCRIPTIONS

Silver Spring MD 20910
Email: Joe.T.Faulkner@noaa.gov
Phone: 301-713-7241

Website Address:

<http://sanctuaries.noaa.gov/http://fosterscholars.noaa.gov/>

RELATED PROGRAMS:

11.457 Chesapeake Bay Studies; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.419 Coastal Zone Management Administration Awards; 11.473 Coastal Services Center; 11.417 Sea Grant Support;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

- 1) Importance and/or relevance and applicability of proposal to the program goals;
- (2) Technical Merit; (3) Overall qualifications for applicants; (4) Project costs, and
- (5) Financial need of student

11.431 Climate and Atmospheric Research

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Federal Aviation Act, , 49 USC Code 4720. National Climate Program Act, , 15 USC Code 2931-2934. Navigation and Navigable Waters, , 33 USC Code 883d. Weather Service Organic Act, , 15 USC Code 2904. Cooperative Agreements/Reimbursement to Further NOAAs Mission, USC Code 15 USC Code 1540.

OBJECTIVES:

To develop the knowledge required to establish a predictive capability for short and long-term climate fluctuations by facilitating cooperation between government, university, and nonprofit research institutions. To enhance scientific knowledge of climate and atmospheric phenomena to allow for better-informed decisions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may be used for research and development, advisory services, and operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Other private institutions/organizations; Anyone/general public; Institutions of higher education, other nonprofits, commercial organizations, international organizations, state, local and Indian tribal governments.

Beneficiary Eligibility:

Individual/Family; Other private institution/organization; Anyone/general public; State; Other public institution/organization; Organizations and individuals with interests in meteorology, oceanography, and climate research and prediction.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See contact below

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards are made on the basis of peer review of proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. By negotiation with NOAA Oceanic and Atmospheric Research.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Cost Sharing is only required in one program element competition which is the NOAA Climate Transition Program (NCTP) where the Cost Share Percentage must be at least 5 percent of the total costs. The other competitions have no cost sharing requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant award period ranges one to five years with annual funding for one year.

Method of awarding/releasing assistance: other

Reports:

Annual performance and financial reports are required. Final reports are due 90 days after expiration of award. Annual performance and financial reports are required. Final reports are due 90 days after expiration of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for purpose for which grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$367,000,000.00; FY 21 est \$374,000,000.00; FY 22 est \$380,000,000.00;

Range and Average of Financial Assistance:

Awards range from \$100,000 to \$3,000,000, with an average of \$200,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Director,

ASSISTANCE LISTINGS DESCRIPTIONS

Director, Climate Program Office, National Oceanic and Atmospheric Administration, Telephone: (301) 734-0000, Silver Spring MD 20910
Email: CPO@noaa.gov
Phone: (301) 427-2089
Bruce T. Gibbs,
1315 East-West Highway,
Silver Spring MD 20910
Email: bruce.t.gibbs@noaa.gov
Phone: 301-734-1134
Fax:

Website Address:

<http://cpo.noaa.gov/>

RELATED PROGRAMS:

11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes; 11.460 Special Oceanic and Atmospheric Projects; 11.459 Weather and Air Quality Research; 11.468 Applied Meteorological Research; 11.011 Ocean Exploration; 11.417 Sea Grant Support;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office and peer review process taking into consideration primarily scientific merit and application to office research goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Department of Commerce Appropriation Act of 1999, , Fish and Wildlife Act, , 16 USC Code 753a. Navigation and Navigable Waters, , 33 USC Code 1442.
Weather Service Organic Act, , 15 USC Code 313. Cooperative Agreements/Reimbursement to Further NOAAs Mission, , 15 USC Code 1540.
National Climate Program Act, , 15 USC Code 2904. Fish and Wildlife Coordination Act of 1956, , 16 USC Code 661. National Climate Program Act, , 15 USC Code 2901 et seq. Navigation and Navigable Waters, , 33 USC Code 883d. Federal Aviation Act, , 49 USC Code 44720(b).

OBJECTIVES:

To increase the effectiveness of research and develop innovative approaches to education in the environmental sciences by facilitating cooperation between government, university, and nonprofit research institutions.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Program authorizes funds to be used for research and development, education and training, advisory services and operational systems as they relate to specific NOAA programs. Specific restrictions, other than those mandated for cooperative agreements, are evaluated on an individual program basis. Most Cooperative Institutes (CIs) operate under a Memorandum of Understanding/Memorandum of Agreement and are geographically located near a NOAA Research laboratory or a NOAA facility. In addition, 118 Stat. 71 (2004) authorizes the Secretary to designate (delegated to the Under Secretary of NOAA) CIs to use the personnel, services, or facilities of such organizations for research, education, training, and outreach to further NOAA's mission under a cooperative agreement.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Single or multiple non-federal public and private non-profit universities, colleges and research institutions that collectively offer accredited graduate level

degree-granting programs in NOAA related sciences.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Scientist/Researchers; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Organizations with interests in support of the NOAA mission to understand and predict changes in Earth's environment and conserve and manage coastal and marine resources to meet the nations' economic, social, and environmental needs.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with OMB Circular Nos. A-21 for agreements with educational institutions, A-87 for State and local governments, and A-122 for nonprofit institutions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. See contact person

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Accepted applications are subject to peer review by a review panel assembled by the Cooperative Institute Program Manager. All applicants are notified whether or not their proposal was funded.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days from time of proposal submission.

Appeals:

Not Applicable.

Renewals:

Other. Initial awards are for 5 years; renewals may be made for a period of up to 5 additional years, based upon the availability of funds and the results of an extensive scientific and administrative review, typically occurring in the fourth year of the first 5-year award..

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Generally, five years with funding approved on individual and group proposal basis throughout the 5 years in accordance with DOC approval. Method of awarding/releasing assistance: other

Reports:

In accordance with applicable report or announcement published in the Federal Register and/or Federal Funding Opportunities in Grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are expected to maintain complete records for each cooperative agreement to insure funds are used for the purposes that the cooperative agreement was made. Records must be retained for 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

13-1450-0-1-306. 13-1460-0-1-306.

Obligations:

Project Grants FY 20 \$175,500,000.00; FY 21 est \$193,050,000.00; FY 22 est

ASSISTANCE LISTINGS DESCRIPTIONS

\$212,355,000.00;

Range and Average of Financial Assistance:

Total annual funding at current Cooperative Institutes ranges from \$1,300,000 to \$30,000,000. Average funding is \$10,000,000

TAFS Codes:

13-1460. 13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. CI Director, NOAA Research, 1315 East-West Highway, Room 11350, Office: (301) 734-1177 Fax: (301) 713-1459 NESDIS: Satya Kalluri, Chief, Cooperative Research Programs Division, NCWCP Building, NOAA/NESDIS/STAR, 5830 University Research Ct. 2nd Floor, #2612, College Park, MD 20740-3818, Telephone: 301-683-3510, E-mail: satya.kalluri@noaa.gov. NMFS: Julie Whitaker, 1842 Wasp Blvd., Bldg. 176 Honolulu, HI 96818, Office: (808)725-5355 E-mail: Julie.Whitaker@noaa.gov.

Headquarters Office:

CI Director,
CI Director NOAA Research, 1315 East-West Highway, Room 11350, Office: (301) 734-1177 Fax: (301) 713-1459. NESDIS: Satya Kalluri, Chief, Cooperative Research Programs Division, NCWCP Building, NOAA/NESDIS/STAR, 5830 University Research Ct. 2nd Floor, #2612, College Park, MD 20740-3818, Telephone: 301-683-3510, E-mail: satya.kalluri@noaa.gov. NMFS: Julie Whitaker, 1842 Wasp Blvd., Bldg 176 Honolulu, HI 96818, Office: (808)725-5355 E-mail: Julie.Whitaker@noaa.gov.,

Silver Spring MD 20910

Email: OAR.CL.webmaster@noaa.gov

Phone: (301) 734-1177

CI Director,

1315 East West Hwy.,

Silver Spring MD 20910

Email: OAR.CL.Webmaster@noaa.gov

Phone: (301) 734-1177

Fax:

Website Address:

<http://www.ci.noaa.gov>

RELATED PROGRAMS:

11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.460 Special Oceanic and Atmospheric Projects; 11.462 Hydrologic Research; 11.431 Climate and Atmospheric Research; 11.473 Coastal Services Center; 11.417 Sea Grant Support; 11.459 Weather and Air Quality Research; 11.468 Applied Meteorological Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to peer-review evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into primary consideration scientific merit and application to the mission goals of NOAA.

11.433 Marine Fisheries Initiative

(MARFIN),

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Magnuson Fishery Conservation and Management Act, , 16 USC Code 1854e. Fish and Wildlife Act of 1956, , 16 USC Code 753a. Saltonstall-Kennedy Act, , 16 USC Code 713 c-3d.

OBJECTIVES:

To provide financial assistance for research and development projects that will provide information for the full and wise use and enhancement of fishery resources in the Southeast U. S. Gulf of Mexico and the South Atlantic beginning with the State of North Carolina south to Florida. In addition, beginning in Fiscal Year 1998 similar assistance is provided for the coastal New England states.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used to develop harvest methods, economic analyses of fisheries, processing methods, fish stock assessment and fish stock enhancement. Projects are restricted to the fisheries in the Southeast Region including the U.S. Gulf of Mexico and the South Atlantic beginning with North Carolina, South Carolina to Florida. Funds are not used for loans. Also, projects in the coastal New England States will be considered. In the Northeast, project priorities have varied during the first two years of funding availability. During Fiscal Year 1999, the area of emphasis was new methods or techniques to improve the ability of scientists to identify the stock structure of selected species of fish and shellfish to improve fisheries management.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); States or local governments, universities, private enterprise, individuals or any other entity, nonprofit or otherwise, if such entity is a citizen of the United States within the meaning of Section 2 of the Shipping Act, 1916, as amended, 46 U.S.C. 802.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Anyone/general public; Native American Organizations; State; Local; Public nonprofit institution/organization; Federal, State and local governments, universities, private enterprise, nonprofit or profit organizations, and the general public.

Credentials/Documentation:

Applications must include resumes of qualifications and experience of the principal investigators. They are expected to demonstrate expertise in the proposed area of study. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals will be reviewed, evaluated and ranked by the National Marine Fisheries Service (NMFS). The ranking is divided into two groups; (a) recommended; and (b) not recommended. They are then presented to a panel of fishery experts and to the MARFIN Panel. The Panel will individually submit their funding recommendations to the Regional Administrator of the appropriate Region. The Regional Administrator in consultation with the Assistant Administrator for Fisheries will determine funding availability and select the projects to be funded. The selected proposals will be reviewed and negotiated by the appropriate

ASSISTANCE LISTINGS DESCRIPTIONS

Regional Office and the assigned Technical Monitor. A complete application will be forwarded to the NOAA Grants Officer for approval and award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Successful applicants will be selected generally within 180 days. Awards will be made normally within 240 days after the date of publication of the Federal Register.

Appeals:

Not Applicable.

Renewals:

Other. Multi-year awards of up to three years may be approved. Once awarded, multi-year projects will not compete for funding in subsequent years. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The money must be spent during the award period. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant. Method of awarding/releasing assistance: other

Reports:

Semi-annual progress and financial reports are due six months after the start date of the award. Progress reports should summarize all work accomplished during the six months following the start date of the award and the financial reports should reflect the use of funds. A final report is due 90 days after completion of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be retained for 3 years from the date of the final financial status report for each award.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$1,464,595.00; FY 21 est \$1,611,055.00; FY 22 est \$1,772,160.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A description of MARFIN activities is available and published in an "Annual Report." A copy may be obtained from the Southeast Regional Office. There is no funding history in the Northeast Region prior to FY 1998. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

See Regional Assistance Locations. Regional or Local Office: Southeast: Robert Sadler, Federal Program Officer, State/Federal Liaison Branch, National Marine Fisheries Service, 263 13th Avenue S., St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. E-mail: robert.sadler@noaa.gov. Kenneth L. Beal, National Marine Fisheries Service, Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281-9117.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring 1 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

11.427 Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Priority in program emphasis will be placed upon funding projects that have the greatest probability of recovering, maintaining, improving, or developing fisheries, improving our understanding of factors affecting recruitment success, generating increased values from fisheries, and generating increased recreational opportunity and harvest potential. Projects will be evaluated as to the likelihood of achieving these benefits through both short-term and long-term research projects with consideration of the magnitude of the eventual economic benefit that may be realized.

11.434 Cooperative Fishery Statistics

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Fish and Wildlife Act of 1956, , 16 USC Code 753 a.

OBJECTIVES:

To maintain a cooperative State and Federal partnership to provide a continuing source of fisheries dependent statistics to support fishery management in the States' Territorial Sea and the U.S. Exclusive Economic Zone.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds are to support cooperative State and Federal programs to collect statistics on commercial and recreational fisheries in support of the Magnuson-Stevens Fishery Conservation Management Act.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Eligible applicants are the Marine Fisheries Conservation agencies of the States of Alabama, Florida, Georgia, Louisiana, Mississippi, North Carolina, South Carolina, Texas, Puerto Rico, U.S. Virgin Islands and the Gulf States Marine Fisheries Commission.

Beneficiary Eligibility:

Federal; State; This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations; academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. Applicants may be required to show proof that they are mandated by their own State to monitor fishery resources and to manage or participate in the management of these resources. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to project guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS, NOAA, and DOC financial assistance procedures.

Appeals:

Not Applicable.

Renewals:

Other. Multiple year awards of up to five years may be approved. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and are to be expended in accordance with DOC/NOAA finance and reporting procedures. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the financial reporting period designated.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be retained for 3 years from the date of the final financial status report for each award.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$16,746,623.00; FY 21 est \$18,421,285.00; FY 22 est \$20,263,413.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with 2 CFR 225 for state, local, and Indian tribal governments; 2 CFR 230 for nonprofit organizations; 2 CFR 220 for educational institutions; and 48 CFR 31 for profit-making organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

See Regional Assistance Locations. Southeast: Jeffrey Brown, Federal Program Officer, State/Federal Liaison Branch, National Marine Fisheries Service, 263 13th Avenue S., St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. E-mail: jeff.brown@noaa.gov. For GulfFIN: Kelly Donnelly, Federal Program Officer, State/Federal Liaison Branch, National Marine Fisheries Service, 263 13th Avenue S., St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. E-mail:kelly.donnelly@noaa.gov.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring 1 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://sero.nmfs.noaa.gov/grants/csp.htm>

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 11.435 Southeast Area Monitoring and Assessment Program; 11.474 Atlantic Coastal Fisheries Cooperative Management Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the results of Cooperative Statistics and Fisheries Information Network (FIN) committee activities and on negotiations between NMFS and cooperators. The nature and scope of cooperative activities are based on the needs of the cooperating agencies. Funding is allocated by NMFS based on Cooperative Statistics and Fisheries Information Network (FIN) committee recommendations and on the level of activities that are planned for accomplishment. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.435 Southeast Area Monitoring and Assessment Program

(SEAMAP),

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Fish and Wildlife Act of 1956, , 16 USC Code 753 a..

OBJECTIVES:

To maintain a cooperative program which engages State and Federal agencies in the coordinated collection, management, and dissemination of fishery - independent information on marine fisheries in support of State Territorial Sea and U.S. Exclusive Economic Zone fisheries management programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding through cooperative agreements involve regional member organizations in fishery - independent sampling projects. Projects are restricted to fisheries of the Gulf of Mexico, South Atlantic and U.S. Caribbean. Cooperators are State and territorial governments, Interstate Marine Fishery Commissions.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Eligible applicants are the Marine Fishery Conservation agencies of the States of Alabama, Florida, Georgia, Louisiana, Mississippi, North Carolina, South Carolina, Texas, Puerto Rico, the U.S. Virgin Islands, the Atlantic and Gulf States Marine Fishery Commissions.

Beneficiary Eligibility:

Federal; Anyone/general public; State; This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations; academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. Applicants may be required to show proof that they are mandated by their own State to monitor fishery resources and to manage or participate in the management of these resources. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the agency. This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

In FY 2003, the SEAMAP program changed to multi-year grants. Funding continues to be awarded annually, however, grant recipients submit three year proposals, that are reviewed and evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Subsequent years of the grant do not require proposals. All requirements for funding are worked by the Program Officer. Recipients receive notification when funding has been approved for the current fiscal year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time is expected to range from 30 to 90 days, which includes processing of the award through the NMFS, NOAA, and DOC financial assistance procedures. Subsequent funding for the multi-year grant is typically awarded 30 days after the Program Officer completes all funding requirements.

Appeals:

Not Applicable.

Renewals:

Other. Multiple year awards of up to five years may be approved. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months.

Award funds must be spent in the indicated budget period and are to be expended in accordance with DOC/NOAA finance and reporting procedures. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the financial reporting period designated.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$4,063,684.00; FY 21 est \$4,470,052.00; FY 22 est \$4,917,057.00;

Range and Average of Financial Assistance:

\$71,500 - \$1,372,023. Average: \$337,845.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with 2 CFR 225 for state, local, and Indian tribal governments; 2 CFR 230 for nonprofit organizations; 2 CFR 220 for educational institutions; and 48 CFR 31 for profit-making organizations.

Regional or Local Office:

See Regional Assistance Locations. Kelly Donnelly, Federal Program Officer,

ASSISTANCE LISTINGS DESCRIPTIONS

State/Federal Liaison Branch, National Marine Fisheries Service, 263 13th Avenue South, St. Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. E-mail: kelly.donnelly@noaa.gov.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring 1 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://caldera.sero.nmfs.gov/grants/programs/seamap.htm>.

RELATED PROGRAMS:

11.434 Cooperative Fishery Statistics; 11.407 Interjurisdictional Fisheries Act of 1986;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the results of SEAMAP committee activities and on negotiations between NMFS and cooperators. The nature and scope of cooperative activities are based on the needs of the cooperating agencies. Funding is allocated by NMFS based on SEAMAP committee recommendations and on the level of activities that are planned for accomplishment. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.436 Columbia River Fisheries Development Program

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Mitchell Act., Public Law 79-676, 16 USC Code 756-757.

OBJECTIVES:

This program uses the facilities and personnel of State and Federal fisheries agencies and Tribal governments in the Pacific Northwest to protect and enhance the salmon and steelhead resources in the Columbia River Basin

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used to develop and implement measures to protect and enhance salmon and steelhead resources in the Columbia River Basin.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State governments and quasi-public nonprofit institution/organizations.

Beneficiary Eligibility:

Federal; Quasi-public nonprofit organization; Interstate; State; Federal, Interstate, State, and Quasi-public nonprofit entities.

Credentials/Documentation:

Proposal from a State or an Interstate Fishery Agency should be submitted in accordance with 15 CFR Part 24. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program

guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Northwest Regional Office and processed by the NOAA Grants Office. Pursuant to Section 404 of Public Law 102-567, financial assistance awards to a State or Interstate Fishery Commission may be provided to the State on a sole-source basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 120 working days.

Appeals:

Other. No formal appeal procedure. If applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit applications, projects must be revised in accordance with recommended changes.

Renewals:

Other. Continuation grants can be made on an annual basis for approved multi-year projects. Future or continued funding will be at the discretion of NMFS based on the availability of each fiscal year funding and satisfactory performance.

Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements are normally made for one year. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally require that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$16,323,165.00; FY 21 est \$17,955,481.00; FY 22 est \$19,751,029.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

ASSISTANCE LISTINGS DESCRIPTIONS

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 24, Mitchell Act. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Assistance Locations. Northwest Region: Robert Z. Smith, Director, Columbia River Fisheries Development Program, NOAA/NMFS, Salmon Recovery Division, Hatcheries and Inland Fisheries Branch, 1201 NE Lloyd Blvd., Portland, OR 97232-1274. Telephone: (503) 231-2009. Fax: (503) 872-2737. E-mail: rz.smith@noaa.gov.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring 1 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Priority consideration is given to projects that directly relate to NOAA statutory responsibilities (Mitchell Act) and to court actions and Federal treaties. Special consideration is given to Columbia Basin Watershed planning activities and activities impacting Federal responsibilities under the Endangered Species Act.

11.437 Pacific Fisheries Data Program

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Magnuson-Stevens Fishery Conservation and Management Act., , Public Law 94-265, 16 USC Code 1854e.

OBJECTIVES:

This cooperative program provides support to State fishery agencies to enhance their data collection and analysis systems to respond to coast wide and insular area fisheries management needs. The program supports fisheries data collection and analysis projects that provide catch, effort, economic and biological data on federally managed species to the Fishery Management Councils as needed for continuing management of Pacific fisheries.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used to enhance State fishery data collection and analysis systems to respond to Federal fisheries management needs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State governments (including territories of Guam and American Samoa and the Commonwealth of the Northern Mariana Islands) and quasi-public nonprofit institution/organizations.

Beneficiary Eligibility:

State; The agency of a State government authorized under its laws to regulate

commercial and recreational fisheries.

Credentials/Documentation:

Proposal from a State or an Interstate Fishery Agency should be submitted in accordance with 15 CFR Part 24. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the receiving National Marine Fisheries Service Regional Office and processed by the NOAA Grants Office. Pursuant to Section 404 of Public Law 102-567, financial assistance awards to a State or Interstate Fishery Commission may be provided on a sole-source basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 120 working days.

Appeals:

Other. No formal appeal procedure. If applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit applications, projects must be revised in accordance with recommended changes.

Renewals:

Other. Continuation grants on an annual basis for approved multi-year projects. Future or continued funding will be at the discretion of NMFS based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally made for one year. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally requires that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated

ASSISTANCE LISTINGS DESCRIPTIONS

with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-5284-0-2-306, 13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$22,860,150.00; FY 21 est \$25,152,165.00; FY 22 est \$27,667,381.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450, 13-5284.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

15 CFR Part 24, Magnuson Fishery Conservation and Management Act. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Assistance Locations. Regional or Local Office: Alaska: Alaska Regional Office, Shawn Carey, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7845. Use the same numbers for FTS. Email: shawn.carey@noaa.gov. Northwest Regional Office, Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349 Telephone: (206) 526-6115 or 6113. Email Stephen.Freese@noaa.gov. Northwest Center, Northwest: Dr. Robert Iwamoto, OMI Director, Northwest Fisheries Science Center, 2725 Montlake Boulevard East, Seattle, WA 98112-2097. Telephone: (206) 860-3200. Fax: (206) 860-3442. Email: Bob.Iwamoto@noaa.gov. Pacific Islands Region: Scott Bloom, Federal Program Officer, 1601 Kapiolani Blvd., Honolulu, HI 96814-4700. Telephone: (808) 944-2218. Email: scott.bloom@noaa.gov. Southwest: Martha Kawai, Federal Program Officer, Southwest Center, Telephone: (858) 546-7007. Email: Martha.kawai@noaa.gov.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring 1 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Priority consideration is given to State projects that provide the Fishery Management Councils with the timely information needed to make the management decisions required under fishery management plans.

11.438 Pacific Coast Salmon Recovery Pacific Salmon Treaty Program

Pacific Coast Salmon Recovery_Pacific Salmon Treaty Program,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Pacific Coastal Salmon Recovery, , Public Law 106-113, 16 USC Code 3634.

Pacific Coast Salmon Treaty Act: Public Law, , Public Law 99-5, 16 USC Code 3641.

OBJECTIVES:

This is a cooperative program that assists the States in salmon restoration and in fulfilling responsibilities under the Pacific Salmon Treaty by providing administrative management. Support is provided to treaty Indian tribes for salmon recovery and to meet the needs of the Pacific Salmon Commission and U.S. international commitments under the treaty.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used by State agencies and treaty Indian tribes to assist in salmon recovery and in fulfilling Federal responsibilities under the Pacific Salmon Treaty.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State government agencies and treaty Indian tribes.

Beneficiary Eligibility:

Federal; State; This program benefits the State governments, treaty Indian tribes, Federal government, international relationships, and conservation of a public resource by ensuring that State agencies and tribal governments participate in the recovery and international management of salmon on the west coast.

Credentials/Documentation:

Proposal from a State agency or treaty Indian tribe should be submitted in accordance with 15 CFR Part 24. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Regional Offices and processed by the NOAA Grants Management Division. Under Section 404 of Public Law 102-567, financial assistance awards to a State or Interstate Fishery Commission may be provided on a sole-source basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Within 75 calendar days from the receipt of a complete and acceptable application.

Appeals:

Other. No formal appeal procedure. If applications are unacceptable, the reasons are fully stated to the applicants. If the applicants desire to resubmit applications, applications must be revised in accordance with recommended changes.

Renewals:

Other. Continuation grants can be made on an annual basis for approved multi-year projects. Future or continued funding will be at the discretion of NMFS, based on the availability of each fiscal year funding and satisfactory performance.

Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. For the Pacific Coast Salmon Recovery Program, States are required to provide a matching amount of 25 percent. Treaty Indian tribes are not required to provide a match for Pacific Salmon Recovery Program funds. The Pacific Salmon Treaty funds have no statutory formula; projects are funded at up to 100 percent Federal share. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally made for one year. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance Standard Terms and Conditions generally require that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306. 13-1451-0-1-306.

Obligations:

Project Grants FY 20 \$93,017,645.00; FY 21 est \$102,319,409.00; FY 22 est \$112,551,350.00;

Range and Average of Financial Assistance:

Pacific Coast Salmon Recovery: Range: \$30,000 to \$30,000,000 Average: To Be Advised Pacific Salmon Treaty: \$25,000 - \$985,000 FY 2009 Average: \$661,031.00

TAFS Codes:

13-1450. 13-1451.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pacific Salmon Treaty; 16 U.S.C. 3631 et seq. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other nonprofit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Assistance Locations. Pacific Salmon Treaty - Northwest Region: Dave Cantillon, NOAA/NMFS, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-4140, Fax: (206) 526-6534. E-mail: Dave.Cantillon@noaa.gov.

Pacific Coast Salmon Recovery Program Northwest Region: Nicolle Hill, NOAA/NMFS, 7600 Sand Point Way NE, Seattle, WA 98115. Telephone: (206) 526-4358, Fax: (206) 526-6426, E-mail: Nicolle.Hill@noaa.gov.

Alaska Region: Shawn Carey, NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907) 586-7845. Use the same numbers for FTS. E-mail: Shawn.Carey@noaa.gov. Southwest Region: Patricia Culver, NOAA/NMFS, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA 90802. Telephone: (562) 980-4239, E-mail: Trisha.Culver@noaa.gov

Headquarters Office:

Jeffrey Kulnis,

1305 East West Highway Silver Spring, MD 20910, Silver Spring 1 20910

Email: jeffrey.kulnis@noaa.gov

Phone: 301-427-8771

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Priority consideration is given to State and tribal programs that directly address salmon recovery or the information needs of the Pacific Salmon Commission for international management of salmon fisheries stocks under the Pacific Salmon Treaty.

11.439 Marine Mammal Data Program

Marine Mammal Data Program,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION, COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Marine Mammal Rescue Assistance Act of 2000, , 16 USC Code 1421f-1. Marine Mammal Act of 1972, , 16 USC Code 1361.

OBJECTIVES:

Nondiscretionary funding under this authorization provides support to State agencies for the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources. Information collected is used by the State and Federal governments to conserve marine mammals, address or resolve conflicts between marine mammals, fisheries and living marine resources, and to improve their understanding of the health of individual marine mammals as well as marine mammal populations. Discretionary funds may be announced in the Federal Register under competitive programs to undertake research in subjects which are relevant to the protection and conservation of marine mammals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Nondiscretionary funds may be nondiscretionary and appropriated for a particular recipient for a particular purpose such as the Marine Mammal cooperative agreements in Alaska (16 U.S.C. 1388) or allocated to State agencies for collection of information on marine mammals that occur in State waters and interact with fisheries and other marine resources. Discretionary funds announced in the Federal Register under competitive programs may restrict the use of funds or include additional program restrictions based on the appropriation language.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State governments and quasi-public nonprofit institutions or organizations. U.S. Marine Mammal Stranding Network participants, including state and local governments, academia, aquaria, non profits, private individuals and organizations. Eligibility may vary under programs announced in the Federal Register.

Beneficiary Eligibility:

State; This program benefits the States that have marine mammals in waters under State jurisdiction and supports Federal requirements for conservation of marine mammals, and other public resources. The program also benefits the active volunteer U.S. marine mammal stranding network members throughout coastal states.

Credentials/Documentation:

Proposal from a State fishery agency or an Interstate Fisheries Commission, or an eligible stranding network member, should be submitted in accordance with 15

ASSISTANCE LISTINGS DESCRIPTIONS

CFR Part 14; 15 CFR Part 24 and the applicable Federal Register notice. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are evaluated by the National Marine Fisheries Service Regional or Headquarters Offices and processed by the NOAA Grants Management Division. Pursuant to Section 404 of Public Law 102- 567, financial assistance awards to a State or Interstate Fishery Commission may be provided to the State on a non-discretionary basis for projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources. For discretionary funds including Stranding Networks, a competitive award process is announced in the Federal Register for projects related to stranding network activities. A small percentage of discretionary funds will be available to be provided on a non-competed basis for catastrophic stranding events.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Within 75 calendar days after a complete and acceptable application is received.

Appeals:

Not Applicable. No formal appeal procedure.

Renewals:

Other. For projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources, continuation grants can be made on an annual basis for approved multi- year projects. For projects related to stranding network activities, grants or cooperative agreements will be awarded for a maximum award period of three years; however the total Federal share of each award is fixed at a maximum of \$100,000 regardless of the funding period requested. Future or continued funding will be at the discretion of NMFS, based on the availability of each fiscal year funding and satisfactory performance. Procedures for renewals are the same as application procedures.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. For projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources, the program has no statutory formula. Projects are funded at up to 100 percent Federal share. For projects related to stranding network activities the maximum Federal award for each project will be \$100,000, and a minimum cost share of 25 percent of total project costs is required. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally made for one year. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. The Department of Commerce Financial Assistance

Standard Terms and Conditions generally require that performance and financial reports be submitted semi-annually unless a waiver is provided by the NOAA Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained in accordance the terms and conditions of the Financial Assistance Award. The grantee must maintain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$7,706,663.00; FY 21 est \$8,477,329.00; FY 22 est \$9,325,061.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Marine Mammal Protection Act, as amended. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments.

Regional or Local Office:

See Regional Assistance Locations. Discretionary: Stranding Network Contacts- Alaska Region: Aleria Jensen, Alaska Region, NMFS, Federal Building, 709 West 9th Street, 14th Floor, Juneau, AK 99802-1668. Telephone: (907) 586-7248 or aleria.jensen@noaa.gov. Northeast Region: Dana Hartley, NMFS, 1 Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978) 281-9328 or dana.hartley@noaa.gov. Northwest Region: Brent Norberg, NMFS, Brent Norberg, 7600 Sand Point Way, N.E., Building 1, Seattle, WA 98115. Telephone: (206) 526-6733 or brent.norberg@noaa.gov; Southeast Region: Laura Engelby, NMFS, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727) 824-5312 or laura.Engleby@noaa.gov; Southwest Region: Joe Cordaro, Southwest Region, NMFS, 501 West Ocean Boulevard, Suite 4200, Long Beach, CA: 90802-4213. Telephone: (562) 980-4017 or joe.cordaro@noaa.gov. Nondiscretionary: Alaska Regional Office: Barbara Fosburg, NOAA/NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907) 586-7273. Northwest Regional Office: Joe Scordino, NOAA, National Marine Fisheries Service, Northwest Region, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-6150, Fax: (206) 526-6426, E-mail: Joe.Scordino@noaa.gov. Pacific Islands Area Office: Margaret Akamine, NMFS, 1601 Kapiolani Blvd., Suite 1110, Honolulu, HI, 96814-4700. Telephone: (808) 973-2935 or margaret.akamine@noaa.gov. Headquarters Office: Budget Officer, Administrative and Budget Processes Division, National Marine Fisheries Service, 1315 East-West Highway, Silver Spring, MD 20910. Telephone: (301) 713-2245. Fax: (301) 713-2299.

Headquarters Office:

Teri Rowles,
Office of Protected Resources, NMFS, Teri Rowles, 1315 East West Highway,
Silver Spring MD 20910
Email: teri.rowles@noaa.gov
Phone: 3017132322 ext 178

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Nondiscretionary: For projects involving the collection and analysis of information on marine mammals that occur in the State's waters and interact with State managed fisheries and other marine resources, priority consideration is given to proposals for the collection of information on marine mammals involved in interactions with fisheries and other marine resources, and on activities impacting marine mammals such as native subsistence; **Discretionary:** For projects involving stranding network activities, priorities are published in the Federal Register Notice soliciting applications for financial assistance. Unsolicited proposals may also be submitted outside of the competitive process for response to catastrophic stranding events.

11.440 Environmental Sciences, Applications, Data, and Education

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Meteorological Services, , 49 USC Code 44720(b). Navigation and Navigable Waters, , 33 USC Code 1442. Organic Act of 1890, , 15 USC Code 313. Navigation and Navigable Waters, , USC Code 883d. National Climate Program Act, , 15 USC Code 2901.

OBJECTIVES:

To advance and promote applied research, data assimilation and management, technology development, and education in environmental science.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds can be used to support a wide variety of atmospheric and oceanographic research, development, data distribution and assimilation programs, education, advisory services, and to support long-term partnerships between the Federal government, universities, and institutions of research and higher education for cooperative science and education in environmental science.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any State university, college, institute or laboratory; any public or private nonprofit institution or consortium.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Education Professional; Public nonprofit institution/organization; Organizations and individuals with interests in support of managing effectively the Nation's oceanic and atmospheric resources.

Credentials/Documentation:

None. Costs are determined in accordance with applicable OMB circulars and applicable Federal Register notices. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit Standard Form

424, project proposal and budget following the format specified by the funding office in the annual solicitation. Proposals are submitted through Grants.gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to NOAA. Proposals are subject to the requirements of 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, as applicable.

Award Procedure:

Awards are made on the basis of proposal evaluations, technical and administrative reviews, and external reviews, if applicable. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days from time of receipt of the proposal.

Appeals:

Not Applicable.

Renewals:

Other. Future or continued funding will be at the discretion of the Federal government based upon satisfactory performance and the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From one to five years. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other related records of grantees or sub grantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years from the date when the final expenditure and technical reports are submitted.

Account Identification:

13-1460-0-1-306. 13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$1,505,239.00; FY 21 est \$158,252.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1460. 13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Assistance Management/Allowable cost will be determined in accordance with 2 CFR PART 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS, and the Department of Commerce Grants and Cooperative Agreement Policy Manual Interim Change 1, Dated January 25, 2018.

Regional or Local Office:

None.

Headquarters Office:

Director, CoRP Dr. Christopher Brown,

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Research Program/DOC/NOAA/NESDIS/STAR,5825 University
Research Court, Suite 4001,
College Park MD 20740
Email: christopher.w.brown@noaa.gov
Phone: 301-405-8031
Fax: 3016833526

Website Address:

<http://www.nesdis.noaa.gov>

RELATED PROGRAMS:

11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and
Atmospheric Research (OAR) Joint and Cooperative Institutes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated technically and administratively primarily for scientific
merit and application to the goals and objectives of NOAA. The qualifications of
the principal investigator are considered and costs are subject to negotiation.

11.441 Regional Fishery Management Councils

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Magnuson-Stevens Fishery Conservation and Management Act., , 16 USC Code
1852.

OBJECTIVES:

The objectives of the eight Regional Fishery Management Councils are to prepare,
monitor and revise fishery management plans and data collection programs for
domestic and foreign fishing within the 200-mile U.S. Exclusive Economic Zone
(EEZ). This is done with approval and implementation by the Secretary of
Commerce who has stewardship responsibility for living marine resources in the
EEZ under the MSFCMA.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are used by the eight Regional Fishery Management Councils to prepare
plans and amendments to recommend to the Secretary of Commerce, under the
MSFCMA.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education
and hospitals); Other private institutions/organizations; Eight Regional Fishery
Management Councils (New England, Mid-Atlantic, South Atlantic, Gulf of
Mexico, Caribbean, Pacific, North Pacific and Western Pacific) as established by
the MSFCMA. These councils can best be described as "quasi-government"
because they are sponsored by the Federal government, specifically funded by
Congress but the members are appointed by the Secretary of Commerce from the
private sector; they are not Federal employees.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization;
Anyone/general public; This program benefits commercial and recreational fishing
enterprises in the EEZ. Foreign fishing enterprises may also benefit from this
program if authorized to conduct fishing operations. U.S. consumers will benefit as
a result of availability of seafood. Imports may be reduced and exports may be
increased.

Credentials/Documentation:

Generally a work plan for the funding year. 2 CFR 200, Sub-part E - Cost
Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not
required for this program. This program is excluded from coverage under E.O.

12372. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. Proposals are submitted
through Grants.Gov. Applicants will need to enter the Funding Opportunity
Number and/or CFDA number to access the application package and instructions.
Application package will then be submitted directly to the (Name of your Office -
Example Coastal Services Center). Proposals are subject to the requirements of 15
CFR Parts 14 and 24, as applicable.

Award Procedure:

Applications are initially evaluated by the respective National Marine Fisheries
Service Regional Administrators and are forwarded to NMFS Headquarters for
final approval. They are then forwarded for processing to the Grants Management
Division, Office of Administration, NOAA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application
deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Multi-year awards of up to five years may be approved. Funding for multi-
year projects beyond the first year is contingent upon the availability of program
funds in subsequent fiscal years, and the extent to which the recipient meets project
objectives and reporting requirements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements
are not applicable to this assistance listing. MOE requirements are not applicable to
this assistance listing.

Length and Time Phasing of Assistance:

Awards can be approved for one year due to annual Congressional appropriation
schedule. Funds are released in advance or by reimbursement, as agreed to in the
Standard Terms and Conditions document required for each grant. Method of
awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in 50 CFR Part 605, Guidelines for Council
Operation/Administration.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records will be retained in accordance with provisions of 15 CFR Part 14 for
institutions of higher education and other nonprofit organizations and with 15 CFR
Part 24 for State and local governments. Generally, a recipient is required to retain
records relating to a particular grant for three (3) years from the date of submission
of the final financial report. In cases where litigation, claim or an audit is initiated
prior to expiration of the three-year period, records must be retained until the action
and resolution of any issues associated with it are complete or until the end of the
three- year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$34,813,387.00; FY 21 est \$38,294,725.00; FY 22 est
\$42,124,198.00;

Range and Average of Financial Assistance:

\$890,400 to \$2,451,000

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Chapter 6; the Statement of Organization, Practices, and Procedures, and 50 CFR Part 605 Operational Guidelines for Council Operations. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CRF Part 24 for state and local governments.

Regional or Local Office:

See Regional Assistance Locations. Alaska: Alaska Regional Office, Barbara Fosburg, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7273. Use the same numbers for FTS. Email: barbara.fosburg@noaa.gov. Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281- 9117. Northwest Regional Office, Kevin Ford or Stephen Freese, 7600 Sand Point Way, NE, Seattle, WA 98115-6349 Telephone: (206) 526-6115 or 6113). Email Kevin.Ford@noaa.gov or Stephen.Freese@noaa.gov. Pacific Islands Region: 1601 Kapiolani Blvd., Honolulu, HI 96814-4700. Telephone: (808) 973-2941. Email: scott.bloom@noaa.gov Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13 Ave. South, St. Petersburg, FL 33701 Telephone: (727) 824-5324.. Fax: (727) 824-5364 Email: Cynthia.Binkley@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, Southwest Region Office, 501 West Ocean Blvd., Suite 4200, Long Beach, CA 90802- 4213. Telephone: (310) 980-4239. Fax: (562) 980-4047. Email: trisha.culver@noaa.gov.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring MD 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

http://www.nmfs.noaa.gov/sfa/domes_fish/index.htm.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This program is non- competitive. It is Congressionally mandated.

11.451 Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology

National Centers for Coastal Ocean Science NOAA RESTORE Act Science Program,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Section 1604 of the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (RESTORE Act) (Subtitle F of Pub. L No. 112-141), , Public Law 112-141

OBJECTIVES:

The RESTORE Act Science program will increase understanding of the Gulf of Mexico ecosystem and support its long-term sustainability, including its fish stocks, habitats, wildlife, and fishing industries.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements), Salaries and Expenses

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be used to fund research to address the science needs in the Gulf of Mexico related to the objectives outlined in the RESTORE Act legislation

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Institutions of higher education, not-for-profit institutions, State, local and Indian tribal governments, commercial organizations and Federal agencies. All applicants will be treated equally in the competitive process.

Beneficiary Eligibility:

State; The five Gulf Coast states: Texas, Mississippi, Louisiana, Florida, and Alabama

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae; Costs will be determined in accordance with relevant OMB Cost Principles, which NOAA anticipates will be located at 2 C.F.R. Part 200 for State and local governments, educational institutions, and for nonprofit organizations. For commercial organizations, costs will be determined in accordance with 48 C.F.R. Part 31. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Send an application for financial assistance (Form SF-424 and other required forms as specified in the published Federal Funding Opportunity (FFO)) to NCCOS/CSCOR with statement of work to be performed and proposed amount in response to a current funding announcement only. Applications should be submitted through Grants.Gov. Applicants will need to enter the funding opportunity number and/or the CFDA number to access the application package and instructions. This program is subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, which NOAA anticipates will be located at 2 C.F.R. Part 200

Award Procedure:

Awards are made on the basis of competitive peer review of proposals

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 210 days from the time of proposal receipt

Appeals:

Not Applicable. Not applicable

Renewals:

Other. By negotiation with the NCCOS/CSCOR Office; multi- year awards of two to five years may be approved. Once awarded, multi- year projects will not compete for funding in subsequent years. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

From one to five years, with funding approved annually Method of awarding/releasing assistance: other

Reports:

Progress reports are required Federal Financial Reports are required for this program Progress reports are required for this program Federal Financial Reports are required for this program. Performance monitoring is required for this program

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

OMB Circular No. A-133

Records:

Records will be retained in accordance with relevant provisions of OMB Circulars, which NOAA anticipates will be located at 2 C.F.R. Part 200.

Account Identification:

13-1455-0-1-304.

Obligations:

Salaries and Expenses FY 20 \$3,211,120.00; FY 21 est \$3,532,232.00; FY 22 est \$3,885,455.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Relevant RESTORE Act regulations promulgated by Treasury. In addition, NOAA anticipates publishing an FFO setting out program priorities.

Regional or Local Office:

See Regional Assistance Locations. Mary Erickson, Director National Centers for Coastal Ocean Science, 1305 East West Highway, SSMC4, #8211 Silver Spring, MD 20910 , phone number 301-713-3020; fax 301-713-4044, Mary.Erickson@noaa.gov

Headquarters Office:

Laura J. Golden,
1305 East West Hwy,
Silver Spring, MD 20901
Email: laurie.golden@noaa.gov
Phone: 301713338151

Website Address:

<http://restoreactscienceprogram.noaa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated by competitive peer review taking into consideration primarily scientific merit and applicability to the RESTORE Act goals and objectives. Principal investigators' qualifications and cost are also considered, with costs subject to negotiation

11.452 Unallied Industry Projects

Unallied Industry Projects,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Department of Commerce Appropriation Act of 1999, , Public Law 103-211, Saltonstall-Kennedy Act, , 15 USC Code 713c-3(d).. Fish and Wildlife Coordination Act of 1956, , 16 USC Code 661-666c.

OBJECTIVES:

To provide grants and cooperative agreements for biological, economic, sociological, public policy, and other research and administration projects to benefit U.S. fisheries industries and contribute to the economic and social welfare of the Nation; also, to award grants and cooperative agreements to develop innovative approaches and methods for ensuring the safety, quality and integrity of U.S. fishery products; also, to provide funds to the five States bordering the Gulf of Mexico, for projects or other measures designed to alleviate the long-term effects of disasters on the Gulf's fishery resources and associated habitat. To facilitate the administration, coordination and implementation of the research activities of the Cooperative Institute for Fisheries Molecular Biology (FISHTEC). The goal of FISHTEC is to develop, test, and apply new technology in molecular biology for use in management of commercial and recreational marine fisheries. Emphasis of the research program is placed on development of molecular genetics techniques to identify and evaluate the status of fish stocks/populations subject to fishing pressure and/or environmental impacts. To support the implementation of community-based demonstration projects in the U.S. western Pacific that promote the development of fishery-related industries and the economic self-sufficiency of native Pacific islanders and communities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research and management activities for high- priority marine and estuarine resources, especially for species and/or their habitat currently under, or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Eligible applicants for assistance include State and local governments, including their universities and colleges; U.S. territorial agencies; Federal and State-recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations and/or individuals.

Beneficiary Eligibility:

Federal; Anyone/general public; State; Federally Recognized Indian Tribal Governments; This program benefits Federal, State, and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. A listing of State single points of contact under E.O. 12372 is available at www.hhs.gov/grantsnet/lawsregs/eo.htm.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions.

ASSISTANCE LISTINGS DESCRIPTIONS

Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS office/region/science center, or their component laboratories, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approval time is expected to range for 90 to 120 days, which includes processing of the award through the NMFS, NOAA and DOC financial assistance procedures.

Appeals:

Not Applicable.

Renewals:

Other. Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding, extend the period of an award to increase funding, or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period. In some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and are expended in accordance with DOC/NOAA finance and reporting procedures. Method of awarding/releasing assistance: other

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's financial assistance standard terms and conditions generally require that financial and performance reports be submitted semi annually. Progress reports summarize work accomplished and explain situations where expected work has not been Reports are due in accordance with the terms and conditions of the award. The Department's financial assistance standard terms and conditions generally require that financial and performance reports be submitted semi annually. Progress reports summarize work accomplished and explain situations where expected work has not been

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three- year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$482,837.00; FY 21 est \$531,120.00; FY 22 est \$584,232.00;

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: \$50,000 to \$1,361,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Costs will be determined in accordance with OMB Circular Nos. A-21 for Institutions of Higher Education, A-87 for State and Local Governments, and with A-122 for Nonprofit Organizations. For grants management principles, see 15 CFR 24 (States and local governments) and 15 CFR 14 for other recipients.

Regional or Local Office:

See Regional Assistance Locations. Alaska: Shawn Carey, NMFS, Alaska Regional Office, P.O. Box 12668, Juneau, AK 99802. Telephone: (907) 586-7280. E-mail: shawn.carey@noaa.gov Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281- 9117. E-mail: Grants.Information@noaa.gov. Northwest: Kevin Ford, National Marine Fisheries Service, Northwest Region, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-6115. Fax: (206) 526- 4461. Email: Kevin.Ford@noaa.gov. Southeast: Jeffrey Brown, State/Federal Liaison Branch, 263 13th Avenue South, St. Petersburg, FL 33701. Telephone: (727) 824-5364 Fax: (727) 824-5364. E-mail: jeff.brown@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA 90802. Telephone: (562) 980-4033. Fax: (562) 980-4047. E-mail: trisha.culver@noaa.gov. PIRO: Scott Bloom, 1601 Kapiolani Blvd, Suite 1110, Honolulu, HI 96814. Telephone: (808)944- 2218. Fax: (808)973-2941. E-mail: scott.bloom@noaa.gov.

Headquarters Office:

Dan Namur,
Office of Management and Budget., National Marine Fisheries Service, 1315 East-West Highway,,
Silver Spring MD 20910
Email: dan.namur@noaa.gov
Phone: (301) 713-1364
Fax: (301) 713-2258

Website Address:

<http://sero.nmfs.noaa.gov/grants/uiip.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals may be selected from several sources, e.g., unsolicited proposals, Congressionally-mandated projects, applications received as a result of notices published in the Federal Register. Recipients and sub recipients are subject to all applicable Federal laws and Federal and Departmental policies, regulations, and procedures applicable to Federal financial assistance awards.

11.454 Unallied Management Projects

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF
AUTHORIZATION:

Magnuson Fishery Conservation and Management Act, , 16 USC Code 1854e. Department of Commerce Appropriation Act of 1999, , Fish and Wildlife Coordination Act of 1956, , 16 USC Code 661. National Fisheries Research and Development Program, , 15 USC Code 713c3(d).

OBJECTIVES:

Projects provide economic, sociological, public policy, and other information needed by Federal and State natural resource administrators for conserving and

ASSISTANCE LISTINGS DESCRIPTIONS

managing fishery resources and protected species and their environment in Federal, State, and U.S. territorial waters. Information derived from project studies may consist of econometric data, user profiles, sociological case studies, policy and systems analyses, and other knowledge, and is used in such decisions as resource allocations, total and zonal harvest determinations, and initial apportionment of harvesting rights under controlled access management regimes. Primary users of project information include the National Marine Fisheries Service, Regional Fishery Management Councils, Interstate Marine Fisheries Commissions, State, and other Federal resource management agencies.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of management activities for high-priority marine and estuarine resources, especially for species and/or their habitat currently under or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Eligible applicants for assistance include: State and local governments, including their universities and colleges; U.S. territorial agencies; federally and state recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations and individuals.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; Interstate; State; Federally Recognized Indian Tribal Governments; This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations; academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS and NOAA financial assistance procedures.

Appeals:

Not Applicable.

Renewals:

Other. Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS and NOAA financial assistance procedures.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month or 18-month period, but in some instances, award periods may extend beyond these periods, or may be completed in less time.

Award funds must be spent in the indicated budget period and are expended in accordance with DOC/NOAA finance and reporting procedures. Funds are requested through the Financial Management System as part of the Department of Treasury's Automated Standard Application for Payment System (ASAP). See http://www.fms.treas.gov/asap/pr_gettingstarted.html. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds on a semi-annual schedule.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$303,970,260.00; FY 21 est \$334,367,286.00; FY 22 est \$367,804,014.00;

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: \$146,500 to \$1.27 million.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For cost principles, see OMB Circular Nos. A-21 (educational institutions), A-87 (State and local governments) or A-122 (nonprofit organizations). For grants management principles, see 15 CFR 24 (States and local governments) and 15 CFR 14 for other recipients.

Regional or Local Office:

See Regional Assistance Locations. Alaska: Shawn Carey, Alaska Regional Office, NMFS, P.O. Box 12668, Juneau, AK 99802-1668. Telephone: (907) 586-7845.

Use the same numbers for FTS.E-mail: shawn.carey@noaa.gov. Northeast: Harold

ASSISTANCE LISTINGS DESCRIPTIONS

C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243. Fax: (978) 281-9117. Telephone: (978) 281-9243. Fax: (978) 281-9117. E-mail: Grants.Information@noaa.gov. Northwest: Kevin Ford, National Marine Fisheries Service, Northwest Regional Office, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206)526-6150. Fax: (206) 526-6426. E-mail: Joe.Scordino@noaa.gov. Southeast: Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701. Telephone: (727)551-5760. Fax: (727) 824-5364. E-mail: Robert.sadler@noaa.gov. Southwest: Patricia Culver, Federal Program Officer, Southwest Region Office, 501 West Ocean Blvd., Suite 4200, Long Beach, CA 90802-4213. Telephone: (562) 980-4239. Fax: (562) 980-4047. E-mail: trisha.culver@noaa.gov. Pacific Island: Scott Bloom. Telephone (808) 944-2218. E-mail: scott.bloom@noaa.gov.

Headquarters Office:

Dan Namur,
Office of Management and Budget, National Marine Fisheries Service, 1315 East-West Highway,
Silver Spring MD 20910
Email: dan.namur@noaa.gov
Phone: (301) 713-1364
Fax: (301) 713-2258

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected from several sources: Unsolicited proposals; special solicitations in the Federal Register, FedBizOpps, or Congressionally mandated projects. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.455 Cooperative Science and Education Program

Cooperative Marine Education and Research Programs (CMER); Joint Institute for Marine Observation (JIMO); Cooperative Unit of Fisheries Education and Research (CUFER); Cooperative Institute of Fishery Oceanography (CIFO); Cooperative Education and Research Program (CERP); Cooperative Institute Agreement (CIA); Cooperative Institute for Marine Resources Studies (CIMRS); Cooperative Institute for Marine and Atmospheric Studies (CIMAS); and Cooperative Institute for Arctic Research (CIFAR); Joint Institute for the Study of the Atmosphere and Oceans (JISAO).

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Department of Commerce Appropriation Act of 1999., Fish and Wildlife Coordination Act of 1956., 16 USC Code 661. Fish and Wildlife Act., 16 USC Code 753a.

OBJECTIVES:

To support through grants and cooperative agreements, enduring partnerships between the Federal government and institutions of research and higher education for cooperative science and education on marine issues, especially living marine resources and their habitat, that confront local, regional, and national resources managers; also, to award grants and cooperative agreements to develop innovative approaches and methods for marine and estuarine science and education.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research and higher

education projects on high-priority marine and estuarine resource issues, especially resources and/or their habitat currently under, or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Eligible applicants for assistance are State, U.S. territorial, and private institutions of higher learning and education, especially universities and colleges, with which the National Marine Fisheries Service or the National Oceanic and Atmospheric Administration has entered into, or may enter into, an enduring partnership for purposes of research and education on the marine environment. Also included are private and public research organizations affiliated with institutions of higher learning, and national and international organizations and programs dedicated to marine and estuarine research, education, and outreach.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; State; This program benefits Federal, State, and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the appropriate NMFS Science and Research Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional research and education goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time is expected to range from 90 to 150 days, which includes processing of the award through the NMFS and NOAA.

Appeals:

Not Applicable.

Renewals:

Other. Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding or extend the period of performance is at the sole

ASSISTANCE LISTINGS DESCRIPTIONS

discretion of the Department.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months or may be completed in less than 12 months. Award funds must be spent in the indicated budget period and expended in accordance with DOC/NOAA finance and reporting procedures. Method of awarding/releasing assistance: other

Reports:

Submitted through Grants Online Submitted through Grants Online Reports are due in accordance with the terms and conditions of the award. The Department's financial assistance standard terms and conditions generally require that financial and performance reports be submitted semi-annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), recipients that are States, Local Governments, Nonprofit Organizations (to include Hospitals), and Institutions of Higher Learning shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three (3) years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$324,300.00; FY 21 est \$356,730.00; FY 22 est \$392,403.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Assistance Locations. Program contacts: Alaska: Mr. James M. Coe, Deputy Director, Alaska Fisheries Science Center, 7600 Sand Pt. Way NE., Bldg. 4, Seattle, WA 98115-6349. Telephone: (206) 526-4000. Fax: (206) 526-4004. E-mail: jim.coe@noaa.gov. Northeast: Dr. Ken Sherman, Chief, Office of Marine Ecosystem Studies, Northeast Fisheries Science Center, 28 Tarzwell Drive, Narragansett, RI, 02882. Telephone: (401) 782-3211. Fax: 401-782-3201. E-mail: Kenneth.Sherman@noaa.gov. Northwest: Dr. Robert Iwamoto, OMI Director, Northwest Fisheries Science Center, 2725 Montlake Boulevard East, Seattle, WA 98112-2097. Telephone: (206) 860-3200. Fax: (206) 860-3442. E-mail:

Bob.Iwamoto@noaa.gov. Southeast: Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St. Petersburg, FL 33701, Telephone (727) 824-5324. Fax: (727) 824-5364. Southwest: Dr. Richard Neal, Deputy Science Director, Southwest Fisheries Science Center, 8604 La Jolla Shores Drive, La Jolla, CA 92038-1508. Telephone: (858) 546-7066. Fax: (858) 546-7003. E-mail: Richard.A.Neal@noaa.gov.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring 1 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://www.woodsholediversity.org/pep/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected following consultation between NMFS fisheries centers scientists and universities with established memoranda of understanding or agreement, and subject to availability of funds. Proposals may be reviewed by coordinating or technical committees, based on joint determination by these reviewers and in consideration of the informational needs of the State regional and Federal marine resource management agencies, and on peer-review comments related to the technical quality of the proposal. All proposals must comply with all OMB, DOC, and NOAA grants policies and procedures.

11.457 Chesapeake Bay Studies

(Chesapeake Bay Studies),

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

NOAA Authorization Act of 1992, , Fish and Willdlife Coordination Act, , 16 USC Code 661-666c. Fish and Wildlife Act of 1956, , 16 USC Code 753a. Department of Commerce and Related Agencies Appropriations Act of 2002, , Public Law 102-567, Commerce and Trade, , 15 USC Code 1540.

OBJECTIVES:

To provide financial assistance for research and development projects that will provide information for the living marine resources of Chesapeake Bay. In addition, assistance is provided for the Chesapeake Bay Watershed Education and Training Initiative (B-WET). The B-WET Program will work with and support organizations that provide environment-based education to students, teachers, and communities throughout the Chesapeake Bay watershed. In addition, assistance is provided for research and restoration of Submerged Aquatic Vegetation (SAV) in the Chesapeake Bay.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used to improve surveys and fisheries statistics, investigate living marine resource linkages with dependent habitats and conduct research in support of fisheries stock assessments in conjunction with cooperative, long-term data collection programs for the Bay's living marine resources. Funding can also be used to support environmental-based education, expand student Chesapeake Bay or stream outdoor experiences, improve opportunities for teachers to receive professional training in the area of environmental education, and promote internship opportunities for students and teachers in the field of environmental education.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

ASSISTANCE LISTINGS DESCRIPTIONS

hospitals); Quasi-public nonprofit institution/organization; Eligible applicants are institutions of higher education, hospitals, other nonprofits, commercial organizations, foreign governments, organizations under the jurisdiction of foreign governments, international organizations, State, local and Indian tribal governments.

Beneficiary Eligibility:

Federal; Quasi-public nonprofit organization; Interstate; State; Federal, State and local governments, universities, independent school divisions, nonprofit organizations, and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs applications and conduct. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the NOAA Chesapeake Bay Office, and then are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national and regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for Department of Commerce clearance and processing procedures. In additions, funds may be provided on a sole source basis for priority projects for the stewardship of Chesapeake Bay resources.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 120 working days.

Appeals:

Other. No formal appeal procedure. If an application is unacceptable, the reasons are fully stated to the applicant.

Renewals:

Other. Multi-year awards of up to three years may be approved. Once awarded, multi-year projects will not compete for funding in subsequent years, however proposals may be subject to a technical evaluation. Funding for multi-year projects beyond the first year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants/cooperative awards are normally made for one year. Assistance is released via the NOAA Financial Assistance Disbursement System (FADS). Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12-months, or may be completed in less than 12-months. Award funds must be spent in the indicated budget period and in accordance with DOC/NOAA

finance and reporting procedures. Method of awarding/releasing assistance: other

Reports:

Semi-annual progress and financial reports are due six months after the start date of the award. Progress reports should summarize all work accomplished during the six months following the start date of the award and the financial reports should reflect the use of funds. A final report is due 90 days after completion of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three- year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$3,274,035.00; FY 21 est \$3,601,438.00; FY 22 est \$3,961,582.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fish and Wildlife Act of 1956. Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Assistance Locations. NOAA Chesapeake Bay Office, 410 Severn Avenue, Suite 107A, Annapolis, MD 21403. Contact: Derek Orner, Fishery Biologist or Shannon Sprague, Education Coordinator. Telephone: (410) 267-5660. Fax: (410) 267-5666. E-mail: Derek.Orner@noaa.gov or Shannon.Sprague@noaa.gov.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring 1 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://noaa.chesapeakebay.net/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Consideration is given to projects that directly relate to the interstate/governmental Chesapeake Bay 2000 Agreement. Consideration is measured amongst several criterion including: project description and conceptual approach for resolution; soundness of project design/technical approach; project management and

ASSISTANCE LISTINGS DESCRIPTIONS

experience and qualification of personnel; justification and allocation of the budget in terms of the work to be performed; demonstration of project partnerships; and continued teacher involvement and communication.

11.459 Weather and Air Quality Research

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Weather Service Organic Act, Title 15, Section 313, Transportation;
Meteorological Services; Promoting Safety and Efficiency, Title 49, Section 44720(b), Federal Aviation Act, , 49 USC Code 1463. Navigation and Navigable Waters: Improvement of Methods, Instruments, and Equipment; Investigations and Research, Title 33, Section 883d, The Public Health and Welfare: Research, investigation, training, and other activities, Title 42, Section 7403, Weather Research and Forecasting Innovation Act, Title 4, Section 401-407, Weather Research and Forecasting Innovation Act, Title 1, Section 102-110

OBJECTIVES:

To develop the knowledge required to establish a predictive capability for short and long-term climate and air quality fluctuations and trends. To develop the base of scientific knowledge for better informed decisions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may be used for research and development, science assessments, advisory services, and operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis. Grants and contracts currently exist with National Acid Precipitation Assessment Program (NAPAP) participants.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Other private institutions/organizations; Anyone/general public; Universities, colleges, junior colleges, technical schools, institutions, laboratories; any State, political subdivision of a State or agency or officer thereof; any individual.

Beneficiary Eligibility:

Individual/Family; Other private institution/organization; Anyone/general public; State; Other public institution/organization; Organizations and individuals with interests in meteorology, oceanography, climate, and air-quality research and prediction and organizations and individuals in the social sciences with interests in the linkages between human systems and the aforementioned interest areas.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards are made on the basis of peer review of proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days from time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

Other. By negotiation with OAR.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Normally for a period of one year. Method of awarding/releasing assistance: other

Reports:

Quarterly performance and financial reports are required. Final reports are due 90 days after expiration of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See contact person

Records:

Grantees expected to maintain complete records for each grant to insure funds are used for the purpose for which the grant was made.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$28,400,000.00; FY 21 est \$31,240,000.00; FY 22 est \$34,364,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None. Office of Weather and Air Quality, Office of Oceanic and Atmospheric Research, National Oceanic and Atmospheric Administration

Headquarters Office:

Administrator,
1315 East-West Highway,
Silver Spring MD 20910
Email: melissa.pratt-zossoungbo@noaa.gov
Phone: (301) 734-1130

Bruce T. Gibbs,
1315 East-West Highway,
Silver Spring MD 20910
Email: bruce.t.gibbs@noaa.gov
Phone: (301) 734-1134
Fax:

Website Address:

<http://owaq.noaa.gov/>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

11.431 Climate and Atmospheric Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office and peer review process taking into consideration primarily scientific merit and application to NOAA's research and operational goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.460 Special Oceanic and Atmospheric Projects

Disaster Relief Act of 2019,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Public Law Meteorological Services, 49 US Code 44720(b), Navigation and Navigable Waters, 33 US Code 1442 Organic Act of 1890, 15 US Code 313 Navigation and Navigable Waters, US Code 883d Navigation and Navigable Waters, US Code 883d National Climate Program Act, 15 US Code 2901 Fish and Wildlife Act, 16 US Code 753a Weather Service Organic Act, 15 US Code 313 Cooperative Agreements/Reimbursement to Further NOAAs Mission, 15 US Code 1540 National Climate Program Act, 15 US Code 2904 Fish and Wildlife Coordination Act of 1956, 16 US Code 661 Navigation and Navigable Waters, 33 US Code 883d Federal Aviation Act, 49 US Code 44720(b)

OBJECTIVES:

To strengthen the communication and improve the impact of hurricane, flood, and wildfire forecast modeling through the use of state of the art and emerging research and technologies within the National Environmental Satellite, Data and Information Service (NESDIS), National Weather Service (NWS), Oceanic and Atmospheric Research (OAR) and National Ocean Service (NOS) including unmanned ocean observing platforms and data assimilation.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Cooperative agreements and grants may be used for research and development, education and training, and advisory services. They may also be used for operational systems as they relate to specific programs. Specific restrictions, other than those mandated for grants and cooperative agreements are evaluated on an individual program basis.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); As stated in NOAA special announcements or applicable reports in support of NOAA's mission.

Beneficiary Eligibility:

Private nonprofit institution/organization; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Other public institution/organization; Organizations and individuals with interests in support of managing effectively the Nation's oceanic and atmospheric resources.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with applicable OMB Circulars. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O.

12372. See contact person

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit an electronic application to Grants.gov APPLY for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular No. A-110 and 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments," as applicable.

Award Procedure:

Awards will be made on the basis of proposal evaluations, technical reviews, administrative reviews, and external reviews, if applicable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days from the time of proposal submission to NOAA/OAR.

Appeals:

Not Applicable. None anticipated.

Renewals:

Other. By negotiation with the OAR and subject to availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with the applicable NOAA Report or special NOAA announcement and in accordance with FARB approvals. Method of awarding/releasing assistance: other

Reports:

Financial and performance reports will be required quarterly at a minimum

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are expected to maintain complete records for each grant and/or cooperative agreement to ensure funds are used for the purpose for which the award was made.

Account Identification:

13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$10,500,000.00; FY 21 est \$11,550,000.00; FY 22 est \$12,705,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOAA Grants and Cooperative Agreements Policy Manual. Allowable cost will be determined in accordance with 2 CFR 225 for State and local governments and Indian Tribes; 2 CFR 230 for nonprofit and for-profit organizations; 2 CFR 220 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Assistance Locations. Mark S. Vincent, PhD, MBANOAA/OAR/ Office of Weather and Air Quality Office: 301-734-1025E-mail

ASSISTANCE LISTINGS DESCRIPTIONS

Mark.Vincent@noaa.gov

Headquarters Office:

Program Coordinator,
1315 East-West Highway,
Silver Spring MD 20910
Email: info.WPO@noaa.gov

Phone: (301) 734-1025

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Silver Spring MD 20910
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Phone: (301) 734-1134

Fax:

Website Address:

<http://WPO.noaa.gov>

RELATED PROGRAMS:

11.426 Financial Assistance for National Centers for Coastal Ocean Science;
11.420 Coastal Zone Management Estuarine Research Reserves; 11.431 Climate
and Atmospheric Research; 11.417 Sea Grant Support; 11.432 Office of Oceanic
and Atmospheric Research (OAR) Joint and Cooperative Institutes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the Office of Oceans and Atmospheric Research.

11.462 Hydrologic Research

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Meteorological Services to Aviation, , 49 USC Code 44720. Weather Service
Organic Act, , 15 USC Code 313.

OBJECTIVES:

To maintain a cooperative university/Federal partnership to conduct joint research and development on pressing water science and hydrological issues common to National, regional, local operational offices, private consulting hydrologists, and academics.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used to support research and development on issues related to the forecasting of surface hydrologic conditions. Specific restrictions, other than those mandated for grants, are evaluated on an individual grant or program basis. The program office may support non-competitive awards by direction from legislative language or unsolicited projects that are individually qualified.

Applicant Eligibility:

Quasi-public nonprofit institution/organization; Not applicable. No opportunities anticipated.

Beneficiary Eligibility:

Federal; Quasi-public nonprofit organization; Farmer/Rancher/Agriculture Producer; Homeowner; State; Local; Since the benefits of the overall program are for a reduction in loss of life and damage resulting from flooding and drought, and improved utilization of water supply and water dependent industries, benefits accrue from these projects to: (1) Federal agencies responsible to forecast, assess, manage, disperse, project economic conditions based on projections of water supply for agriculture and industry, and control water resources; (2) interstate commissions to control and allocate surface waters; (3) State agencies responsible for allocating and controlling water resources and for making projections for the

use of water for industry and agriculture and for planning and developing infrastructure within the State that is impacted by rivers and lakes; (4) local agencies dependent on water for economic planning, emergency preparedness, and public water supply; (5) all businesses and industries depending all or in part upon surface water supplies or adequate water in lakes for recreation, in rivers to generate power, or in production or dispersion of products or who must take action in order to ameliorate damage during flood situations, (6) wildlife interests at all levels that are dependent upon water management for managing the environment; (7) homeowners or farmers who must plan for proper responses to flooding or inadequate water supply; and (8) academic institutions which are funded for programs dealing with the studies of hydrologic science.

Credentials/Documentation:

No future funding opportunities anticipated. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals for new cooperative agreements are solicited with an announcement in the Federal Register or grants.gov. These announcements include specific information for proposal submission. All proposals are subject to the requirements of OMB Circular Nos. A-21 and A-110, as applicable. Standard Form 424 series, certification, and other related forms must accompany formal proposals. Detailed instructions are contained in solicitations. Applications will be submitted through the program manager to the NOAA Grants Management Division for review and approval. The Department of Commerce will review all projects before an award is executed by the NOAA Grants Officer. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are made on the basis of proposal evaluations and technical and administrative reviews. Proposal will be submitted to the NOAA Grants Management Division for review and approval. Cooperative activities and funding amounts are determined through an evaluation conducted in coordination with the Director of the Office of Hydrologic Development by the Senior Scientist of the Hydrology Laboratory, and the technical experts of the Laboratory.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time is expected to range from 90 to 180 days, which includes processing of the award through the NWS and NOAA financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Other. By negotiation, cooperative activities are normally continuing subject to the availability of funding for subsequent budget periods. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Historically, multi-year awards were issued. No future awards are anticipated.

Method of awarding/releasing assistance: quarterly

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial and performance reports be submitted quarterly or semiannually.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education, other nonprofit organizations, and commercial organizations and in accordance with 15 CFR Part 24 for State and local governments.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$11,272,714.00; FY 21 est \$9,659,985.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No additional funding is available under this catalog number.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The NSCP has supported numerous early career scientists who have learned about NOAA-related sciences and shared their cutting-edge expertise through fellowships and in office collaboration across four of the five NOAA line offices.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Headquarters Office:

Senior Scientist Sam Contorno,
IWRSS National Water Center, 205 Hackberry Lane,

Tuscaloosa AL 35401

Email: SamContorno@noaa.gov

Phone: (205) 347-1313

Website Address:

<http://www.noaa.gov>

RELATED PROGRAMS:

11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes; 11.467 Meteorologic and Hydrologic Modernization Development; 11.468 Applied Meteorological Research;

EXAMPLES OF FUNDED PROJECTS:

2020 The NOAA Science Collaboration Program (NSCP) 2021 The NOAA Science Collaboration Program (NSCP)

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are announced within solicitations published in the Federal Register. Examples of criteria used in past solicitations included operational applicability, scientific merit, technology transfer and methodology, capability of researchers, and cost effectiveness.

11.463 Habitat Conservation

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1956, , 16 USC Code 661. National Marine Sanctuaries Act, , 16 USC Code 1431. Marine Mammal Protection Act, , 16 USC Code 1382. Coastal Zone Management Act of 1972, , 16 USC Code 1451. Coral Reef Conservation Act of 2000, , 16 USC Code 6403. Department of Commerce Appropriation Act of 1999, , Magnuson-Stevens Fishery Conservation and Management Reauthorization Act of 2006, , 16 USC Code 1891a. Act to Prevent Pollution from Ships, , 16 USC Code 1901 et seq. Coastal Wetlands Planning, Protection and Restoration Act, , 16 USC Code 3951 et seq. Department of Commerce Appropriation Act of 1999, , Marine Debris Research, Prevention, and Reduction Act, , 33 USC Code 1951. Coral Reef Conservation Act, , 16 USC Code 6401 et seq. Endangered Species Act, , 16 USC Code 1535.

OBJECTIVES:

To provide grants and cooperative agreements for habitat conservation activities including coastal and marine habitat restoration and protection. Projects are funded to carry out public policy pertaining to protection and restoration of the Nation's wetlands and other coastal habitats (including Great Lakes habitats), pursuant to the Fish and Wildlife Coordination Act, Magnuson Fishery Conservation and Management Act as reauthorized in 2006, Endangered Species Act, Estuary Restoration Act, Marine Mammal Protection Act, Marine Plastic Pollution Research and Control Act of 1987, Coastal Wetlands Planning, Protection and Restoration Act (CWPPRA), Coral Reef Conservation Act, Marine Debris Research, Prevention, and Reduction Act, and other legislation. Research and management includes determining the effects of habitat modifications and contaminants on populations of living marine resources, restoring depleted stocks that have been adversely impacted by habitat modifications, determining if artificial or restored habitat fulfills essential habitat needs of living marine resources, and quantifying contaminants and debris that pose a hazard to populations of these animals.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of habitat restoration, coral reef conservation, construction, management, public education activities, and research for marine and estuarine habitats, especially for species currently under, or proposed for, Federal or inter-jurisdictional management. Restoration includes, but is not limited to, activities that contribute to the return of degraded or altered marine, estuarine, coastal, and freshwater (diadromous fish) habitats to a close approximation of their function prior to disturbance. Habitat restoration activities that produce significant ecological habitat features to create buffers or green infrastructure that serve to protect coastal communities from sea level rise, coastal storms and flooding, or that provide adaptation to climate change are also included. Projects funded under this CFDA primarily support NOAAs Ecosystems mission support goal of Protect, Restore, and Manage Use of Coastal and Ocean Resources through Ecosystem-Based Management.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; Eligible applicants for assistance include State and local governments, including their universities and colleges; U.S. territorial agencies; federally and State-recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations, and/or individuals.

Beneficiary Eligibility:

Federal; Other private institution/organization; Anyone/general public; State; Other public institution/organization; Federally Recognized Indian Tribal Governments; This program benefits Federal, State, and interstate marine resource conservation and management agencies; U.S. Territories and Freely Associated States; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. 2 CFR 200, Sub-part E - Cost Principles applies

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to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the Office of Habitat Conservation, or NOAA Coral Conservation Program lead, as appropriate. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the appropriate NMFS Office/Region/Science Center, or their component laboratories, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time is expected to range from 150-180 days, which includes processing of the award through NMFS and NOAA.

Appeals:

Not Applicable.

Renewals:

Other. Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Statutory formulas, if any, are specific to programs that fall under this CFDA number. Project costs are funded at up to 100 percent. Grantee matching contributions vary by specific funding opportunity. CWPPRA projects require a 15 percent match by the State of Louisiana at this time. Under the Community-based Restoration Program guidelines, projects are encouraged to demonstrate a minimum nonfederal match of 50 percent of the total cost needed to complete the proposed project to be most competitive. A 1:1 match is required for the General and International Coral Reef Conservation Grant Programs and grants under the Marine Debris Research, Prevention, and Reduction Act, although the MDRPR Act allows the NOAA Administrator to waive all or part of the matching requirement in certain instances. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally for 12-24 month periods, and vary by specific funding opportunity. Award funds must be spent in the indicated budget period and expended in accordance with DOC/NOAA finance and reporting procedures. Method of awarding/releasing assistance: other

Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions may require that financial and performance reports be submitted semi-annually or on some other agreed upon schedule.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$69,293,655.00; FY 21 est \$76,223,020.00; FY 22 est \$83,845,322.00;

Range and Average of Financial Assistance:

\$15,000 to \$36,000,000. Typical award: \$35,000 to \$200,000; CWPPRA and ARRA awards are among the largest, typically in the millions.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cost will be determined in accordance with OMB Circular No. A-21 for institutions of higher education, with OMB Circular No. A-87 for State and local governments, and with OMB Circular No. A-122 for nonprofit organizations. For grants management administrative requirements, see 15 CFR 24 (state and local governments) and 2 CFR 215 for other recipients. All recipients will adhere to Department of Commerce Financial Assistance Standard Terms and Conditions and NOAA Administrative Standard Award Conditions available at http://www.ago.noaa.gov/ago/grants/external_links.cfm. For awards under the ARRA, recipients will also follow regulations and guidelines stated in Title XV Sec. 1512 of the ARRA.

Regional or Local Office:

None. See Appendix IV.

Headquarters Office:

Jeffrey Kulnis,
NOAA Fisheries, Office of Habitat Conservation, 1315 East West Highway, Silver Spring, MD 20910. CWPPRA: Ms. Cecelia Linder; Telephone (301)713-0174; Fax (301)713-0184; E-mail Cecelia.Linder@noaa.gov. Community-based Restoration Program (CRP): Ms. Robin Bruckner; Telephone (301)713-0174; Fax (301)713-0184; E-mail Robin.Bruckner@noaa.gov. General Coral Reef Conservation Grant Program: Ms. Jennifer Koss; Telephone (301) 713-4300; Fax (301) 713-1043; Email Jennifer.Koss@noaa.gov. International Coral Reef Conservation Grant Program: Scot Frew; 1315 East West Highway, 5th Floor, Room 5826, Silver Spring, MD 20910; Telephone (301) 713-3078; Fax 301-713-4263; E-mail Scot.Frew@noaa.gov, Silver Spring MD 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://www.nmfs.noaa.gov/habitat/ecosystem/index.htm>.

RELATED PROGRAMS:

11.469 Congressionally Identified Awards and Projects; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.457 Chesapeake Bay Studies; 11.419 Coastal Zone Management Administration Awards; 11.441 Regional Fishery Management Councils;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are received and projects selected from several sources: Unsolicited

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proposals; responses to special solicitations in the Federal Register and Grants.gov; or congressionally directed projects. For CWPPRA, the projects to be funded are selected through an annual, publicly-vetted process and ultimately approved by a multi-agency Task Force. Applications submitted through competitions are reviewed based on NOAA's standard criteria which are weighted as announced in specific funding opportunities. These criteria include: Importance and Applicability; Technical/Scientific Merit; Overall Qualifications of Applicants; Project Costs; and Outreach and Education. Regardless of source, applications must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures. Any financial assistance announcements must be made in the Federal Register; announcement on Grants.gov only is not sufficient.

11.467 Meteorologic and Hydrologic Modernization Development

(Hydrometeorological Development),

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Meteorological Services to Aviation, , 49 USC Code 44720. Weather Service Organic Act, , 15 USC Code 313.

OBJECTIVES:

To maintain a cooperative university and Federal partnerships to conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community, and to reduce the impact of tsunamis through hazard assessment, warning guidance, and mitigation.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use, Dissemination of Technical Information, Project Grants, Training

USES AND USE RESTRICTIONS:

The funds are used by recipients to implement programs described in proposals submitted and selected in response to calls for proposals from the program office or NOAA. The program office may support non-competitive awards in case specific instances or by direction from legislative language or unsolicited projects that are individually qualified.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; Quasi-public nonprofit institution/organization; State; Eligible applicants are accredited Federally recognized institutions of higher learning, consortia of these institutions, agencies of State or local governments including school systems, quasi-public institutions, consultants, and companies involved in using and developing meteorological or hydrologic forecasts or forecast methodology. In addition, the National Tsunami Hazard Mitigation Program (NTHMP) funding provides resources to reduce the impact of tsunamis through hazard assessment, warning guidance, and mitigation.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart

Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; The benefits of the overall program are for reduction in loss of life and damage which the general public can realize from improvement in weather forecasts, watches, and warnings of hazardous weather and resultant flooding. For the improvements from the modernization of the Nation's weather and hydrologic services to be realized, there must be significant involvement of the entire hydrometeorological community. Thus, benefits will accrue to: (1) General public through higher quality weather products and information; (2) State and local agencies responsible for the planning and implementation of emergency services, fire prevention, allocation and control of water resources, and those agencies who project economic conditions based on weather and water resource availability; (3) educational institutions (0-13+) which need to incorporate the information which will result from modernization of the weather services into their curricula; (4) scientists and developers who will be using the new information to enhance the State of the knowledge of the atmosphere and improve present system safety and reliability; and academic institutions which are funded for programs dealing with studies of the atmospheric and hydrologic science. In addition, the National Tsunami Hazard Mitigation Program (NTHMP) funding provides resources to reduce the impact of tsunamis through hazard assessment, warning guidance, and mitigation.

Credentials/Documentation:

Proposals will be considered if applicants meet the qualifications listed in solicitations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. No preapplication coordination is required. However, since proposals are frequently required to demonstrate high levels of collaboration with operational NWS components, it is recommended that applicants discuss potential interactions with relevant NWS personnel prior to submission. Consultation and assistance is available through the NWS program manager. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals for new cooperative agreements are solicited with an announcement in the Federal Register or grants.gov. These announcements include specific information for proposal submission. All proposals are subject to the requirements of OMB Circular Nos. A-21 and A-110, as applicable. Standard Form 424 series, certification, and other related forms must accompany formal proposals. Detailed instructions are contained in solicitations. Applications will be submitted through the program manager to the NOAA Grants Management Division for review and approval. The Department of Commerce will review all projects before an award is executed by the NOAA Grants Officer.

Award Procedure:

Awards are selected by the program office and are made on the basis of proposal evaluations and technical and administrative reviews.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time for grants is expected to range from 90 to 180 days, which includes processing of the award through the NWS, NOAA, and DOC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

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Other. Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce. .

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are for a 12-60 month period, although only one year is funded at a time. Outyears are funded based on availability of funds and adequate progress. Funds are disbursed through the U.S. Treasury Payment System. Method of awarding/releasing assistance: other

Reports:

Technical and financial progress reports are due within 30 days of the end of the evaluation period. Final reports are due within 90 days of the expiration of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits will be conducted in accordance with OMB Circular No. A-133 for universities and nonprofit organizations.

Records:

Financial records and supporting documents and all other records, i.e., property, performance work products, etc., pertinent to the agreement shall be maintained in accordance with the provisions of 15 CFR 14.53 as applicable.

Account Identification:

13-1460-0-1-306. 13-1450-0-1-306.

Obligations:

Project Grants FY 20 \$6,000,000.00; FY 21 est \$6,000,000.00; FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

\$6,000 to \$5,814,360 Average: \$400,000

TAFS Codes:

13-1450. 13-1460.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Headquarters Office:

Portfolio Coordinator Leroy Spayd,
Chief, Training Division/National Weather Service/Office of the Chief Learning Officer
1325 East West Highway,
Silver Spring MD 20910
Email: leroy.spayd@noaa.gov
Phone: 3014279322

Website Address:

<http://www.noaa.gov>

RELATED PROGRAMS:

11.462 Hydrologic Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes; 11.468 Applied Meteorological Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are announced within solicitations published in the Federal Register. Examples of criteria used in past solicitations included operational applicability, scientific merit, technology transfer and methodology, capability of researchers, and cost effectiveness.

11.468 Applied Meteorological Research

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

The Weather Research and Forecasting Innovation Act of 2017, , 15 USC Code 313. The Weather Research and Forecasting Innovation Act of 2017, , 49 USC Code 44720.

OBJECTIVES:

The Collaborative Science, Technology, and Applied Research (CSTAR) program from NOAA/NWS provides funding to the university community for collaborative applied meteorological research to create a cost-effective transition from basic and applied research to operations and services. NOAA/NWS also provides funding to external research partners to support the Unified Forecast System (UFS). The UFS is designed to provide more accurate forecast guidance through operational numerical weather prediction capabilities. Projects that advance the UFS development are awarded to support the goals of the Next Generation Global Prediction System (NGGPS) program, the Weeks 3-4 Program, and the Hurricane Forecast Improvement Program (HFIP).

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The funds are used by recipients for collaborative research described in proposals submitted and selected in response to calls for proposals from the NOAA program office. The program office may support non-competitive awards in specific instances or by direction from legislative language or unsolicited projects that are individually qualified.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other private institutions/organizations; Other public institution/organization; U.S. institutions of higher education; U.S.-based commercial organizations; state, local and Indian tribal governments in the U.S.; other U.S. non-profit organizations and federally funded educational institutions

Beneficiary Eligibility:

U.S. Citizen; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Other private institution/organization; U.S. institutions of higher education; U.S.-based commercial organizations; state, local and Indian tribal governments in the U.S.; other U.S. non-profit organizations and federally funded educational institutions

Credentials/Documentation:

Proposals will be considered if applicants meet the qualifications listed in solicitations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No preapplication coordination is required. However, since proposals must demonstrate high levels of collaboration with operational NWS components, it is recommended that applicants discuss potential interactions with relevant NWS operational personnel prior to submission. Consultation and assistance is available

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through the NWS program manager. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals for new cooperative agreements are solicited with an announcement in Grants.gov. These announcements include specific information for proposal submission. All proposals are subject to the requirements of OMB Circular Nos. A-21 and A-110, as applicable. Standard Form 424 series, certification, and other related forms must accompany formal proposals. Detailed instructions are contained in solicitations. Applications will be submitted through the program manager to the NOAA Grants Management Division for review and approval. The Department of Commerce will review all projects before an award is executed by the NOAA Grants Officer. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are selected by the program office and are made on the basis of proposal evaluations and technical and administrative reviews.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approval time is expected to be about four to six months, which includes processing the award through NWS, NOAA, and DOC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Other. Grants and cooperative agreements are approved on an annual basis, but may be continued beyond the first segment, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are for a 12-36 month period, although only one year may be funded at a time. Out-years are funded based on availability of funds and adequate progress. Funds are disbursed through the U.S. Treasury Payment System. Method of awarding/releasing assistance: lump sum.

Reports:

Semiannual financial reports are due within 30 days of the end of the evaluation period. Final reports are due within 90 days of the expiration of the award. Semiannual technical progress reports are due within 30 days of the end of the evaluation period. Final reports are due within 90 days of the expiration of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records and supporting documents and all other records, i.e., property, performance work products, etc., pertinent to the agreement shall be maintained in accordance with the provisions of 15 CFR 14.53 as applicable.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$2,966,000.00; FY 21 est \$2,700,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

\$75,000 to \$250,000 Average: \$180,000

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2022: Projects awarded through the CSTAR, HFIP, Weeks 3-4 and NNGPS programs will lead to a deeper understanding of meteorological factors involved in the NWS warning and forecasting programs, which in turn, will benefit the U.S. public with more responsive warning and forecast programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None. N/A.

Headquarters Office:

Physical Scientist Christopher Hedge,
1325 East-West Highway SSMC2, Room 15440,
Silver Spring MD 20910
Email: christopher.hedge@noaa.gov
Phone: 301-427-9242

Website Address:

<http://www.noaa.nws.gov>

RELATED PROGRAMS:

11.462 Hydrologic Research; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes; 11.467 Meteorologic and Hydrologic Modernization Development;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are announced within solicitations published in Grants.gov. Examples of criteria used in past solicitations included operational applicability, scientific merit, technology transfer and methodology, capability of researchers, and cost effectiveness.

11.469 Congressionally Identified Awards and Projects

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF
AUTHORIZATION:

Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act.,

OBJECTIVES:

The objectives of the overall program are to facilitate education, research and development in the fields of marine and atmospheric science, and to provide a provision of assistance for the construction of suitable facilities for these activities, as specified by Congress.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

To provide appropriated funds to facilitate education, research and development in the fields of marine and atmospheric science. Appropriated funds can also be used for construction of facilities and construction-related activities to support education, research and development associated with issues of atmospheric or marine sciences. Specific restrictions, other than those mandated for grants, are

ASSISTANCE LISTINGS DESCRIPTIONS

evaluated on an individual grant or program basis.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; Eligible applicants include State and local governments, including their universities and colleges, quasi-governmental agencies, private universities and colleges, and private profit and nonprofit organizations and/or individuals. Typically those specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; Through the awarding of financial assistance to facilitate education, research and development in the fields of marine and atmospheric science, and for the construction of educational, research and development facilities, this program benefits Federal, State, local governments, public and private universities and colleges, profit and nonprofit organizations, and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of an application on Standard Form 424 as furnished by NOAA, including all required certifications, to the Program Officer, Congressionally Identified Awards, Office of Finance and Administration, Acquisitions and Grants Office. This program is subject to the provisions of 15 CFR 24, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, and 15 CFR 14, Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations. NOAA reserves the right to withhold the awarding of a grant or cooperative agreement to any individual or organization delinquent on a debt to the Federal government until payment is made or satisfactory arrangements are made with the agency to which the debt is owed.

Award Procedure:

Applications reviewed by NOAA and are made on the basis of proposal evaluations and technical and administrative reviews.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time is expected to range from 90 to 180 days from the time of receipt of a complete application. This timeframe includes processing of the award through the NOAA and DOC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Other. Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Commerce/NOAA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are usually for a 12-month period. In some instances, award periods may extend beyond 12 months. The funding is spent in the award's budget period unless otherwise negotiated between the recipient and NOAA and approved by the NOAA

Grants Management Division. Method of awarding/releasing assistance: other
Reports:

Reports are due in accordance with the terms and conditions of the award. The Department's Financial Assistance Standard Terms and Conditions generally require that financial and performance reports be submitted semi-annually or as otherwise required under special award conditions. Progress reports summarize work accomplished, relate the progress to the original approved proposal and timelines, explain situations where expected work has not been completed, and request modifications to the project plan as necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub-grantees are required to be maintained in accordance with the terms of the award. The recipient must retain records for three years from the date when the final financial status report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congressional appropriations, Public Law (for FY 1998, Public Law 105-119, Nov. 13, 1997; for FY 1999, Public Law 105-277, October 19, 1998). Costs will be determined in accordance with OMB Circular No.A-21 for institutions of higher education, OMB Circular No.A-87 for State and local governments, and OMB Circular No.A-122 for nonprofit organizations. Grants management will be in accordance with provisions of 15 CFR Part 14 for institutions of higher education and other nonprofit organizations or 15 CFR 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring MD 20910
Email: jeffrey.kulnis@noaa.gov
Phone: 301-427-8771

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.472 Unallied Science Program

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

ASSISTANCE LISTINGS DESCRIPTIONS

AUTHORIZATION:

Fish and Wildlife Act, , 16 USC Code 753a. Fish and Wildlife Coordination Act of 1956., , 16 USC Code 661.

OBJECTIVES:

To provide grants and cooperative agreements of biological, socio-economic and physical science research on the stocks of fishery and protected resources of the United States and their environment that will contribute to their optimal management for the benefit of the Nation; also, to award grants and cooperative agreements to develop innovative approaches and methods for marine and estuarine science.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used by recipients to support a wide variety of research on high-priority marine and estuarine resource issues, especially for resources and/or their habitat currently under, or proposed for future Federal or interjurisdictional management.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants for assistance include: State and local governments, including their universities and colleges; U.S. territorial agencies; federally and State-recognized Indian Tribal governments; private universities and colleges; private profit and nonprofit research and conservation organizations and/or individuals.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Anyone/general public; Intrastate; Consumer; State; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; This program benefits Federal, State and interstate marine resource conservation and management agencies; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs applications and conduct. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time is expected to range from 90 to 150 days, which includes processing of the award through the NMFS, NOAA, and DOC financial assistance procedures.

Appeals:

Not Applicable.

Renewals:

Other. Renewal of an award to increase funding and/or extend the period of an award is at the sole discretion of the Department.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally for a 12-month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months.

Award funds must be spent in the indicated budget period and in accordance with DOC/NOAA finance and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds on a semi-annual schedule. Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds on a semi-annual schedule.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306. 11-5362-0-2-302.

Obligations:

Cooperative Agreements FY 20 \$36,197,930.00; FY 21 est \$39,817,723.00; FY 22 est \$43,799,495.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-5362. 13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

See Regional Assistance Locations. Regional or Local Office: Alaska: Shawn Carey, Alaska Regional Office, NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907) 586-7845, Fax: (907) 586-7255. Use the same numbers for FTS. Email: peter.d.jones@noaa.gov Northeast: Harold C. Mears, State, Federal and Constituent Programs Office; Northeast Region, 55 Great Republic Dr., Gloucester, MA 01930-2276. Telephone: (978) 281-9243, Fax: (978) 281-9117. Telephone: (978) 281-9243, Fax: (978) 281-9117. Email: Grants.Information@noaa.gov. Northwest: Kevin Ford, National Marine Fisheries Service, Northwest Region, 7600 Sand Point Way NE., Seattle, WA 98115. Telephone: (206) 526-6115, Fax: (206) 526-4461. Email: Kevin.Ford@noaa.gov. Northwest Center: Dr. Robert Iwamoto, OMI Director, Northwest Fisheries Science Center, 2725 Montlake Boulevard East, Seattle, WA 98112-2097. Telephone: (206) 860-3200, Fax: (206) 860-3442, Email: Bob.Iwamoto@noaa.gov. Southeast: Jeffrey Brown, State/Federal Liaison Staff, Southeast Regional Office, 9721 Executive Center Drive, North, St. Petersburg, FL 33702-2432. Telephone: (727) 570-5324, Fax: (727) 570-5364, Email: jeff.brown@noaa.gov. Southwest Region: Patricia Culver, 501 W. Ocean Blvd., Suite 4200, Long Beach, CA. 90802. Telephone: (562) 980-4239, Email: trisha.culver@noaa.gov.

Headquarters Office:

Dan Namur,
National Marine Fisheries Services, Office of Management and Budget, 1315 East-West Highway,
Silver Spring MD 20910
Email: dan.namur@noaa.gov
Phone: 301 713-1364
Fax: (301) 713-2258

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected from several sources: Unsolicited proposals; special solicitations in the Federal Register or Commerce Business Daily; or Congressionally-mandated projects. Regardless of source, proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.473 Office for Coastal Management

Office for Coastal Management,

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Commerce and Trade, , 15 USC Code 1540. Coastal Zone Management Act of 1972, , 16 USC Code 1456c. Navigation and Navigable Waters, , 33 USC Code 1442.

OBJECTIVES:

To support projects aimed at developing a science-based, multi-dimensional approach that will allow for the maintenance or improvement of environmental quality while at the same time allowing for economic growth.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds provided under this program may be used in the following areas: 1) Regional Coastal Resilience Program; 2) Bay Watershed Education and Training (B-WET) Hawaii program; and 3) Great Lakes Areas of Concern Land Acquisition Grants. The Office for Coastal Management manages the Regional Coastal Resilience Program to help coastal communities prepare for and recover from

extreme weather events, climate hazards, and changing ocean conditions. Awarded projects advance resilience strategies that use science-based solutions, demonstrate regional coordination, and create economic and environmental benefits for coastal communities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Institutions of higher education, hospitals, other nonprofits, for-profit organizations, foreign public entities, foreign organizations, and state, local and Indian tribal governments

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Unrestricted

Credentials/Documentation:

The Department of Commerce has adopted the OMB Uniform Guidance codified at 2 C.F.R. Part 200, which includes relevant cost principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals should be submitted through Grants.gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be submitted directly to the applicable federal program. Proposals are subject to the requirements of 2 C.F.R. Part 200

Award Procedure:

Awards are made on the basis of proposal evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Funding recommendations from the NOAA program office to the NOAA Grants Management Division are generally made: 3 to 5 months after competitive applications are received. Within a month after formula and non-competitive applications are received. Processing of awards by the NOAA Grants Management Division generally takes place within two months after recommendations are received from the NOAA program office. Successful applicants typically receive federal financial assistance awards offers 2 to 4 months after the NOAA program office submits award recommendations to the NOAA Grants Management Division.

Appeals:

Not Applicable. No formal procedure.

Renewals:

Other. Not applicable unless noted in awards.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is typically available to formula recipients for 12 to 18 months with a possible extension not to exceed a project period of 3 total years. Assistance is typically available to competitive recipients for 1 to 5 years. The maximum time that may be granted for recipients to expend and drawdown funds is 5 years. Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extension requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests must be submitted to the NOAA at least 30 days prior to end date. Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of an extension up to 1 year for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department. Method of awarding/releasing assistance: other

Reports:

Financial and Performance Progress Reports are required semi-annually. Final financial and progress reports are required within 90 days of the end of the period of performance unless noted in the award. Inventories of equipment and supplies are required at least once every two years.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of the OMB Uniform Guidance (see Subpart F to 2 C.F.R. Part 200).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or sub grantees are required to be maintained according to the terms of the agreement. Each non-Federal entity must retain records for three years from the date when the Final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$88,595,327.00; FY 21 est \$38,724,067.00; and FY 22 est \$38,724,066.00;

Range and Average of Financial Assistance:

Widely varies accounting to federal funding opportunity announcement. Contact the relevant federal program for details.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOC Grants and Cooperative Agreements Manual. OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (OMB Uniform Guidance), adopted by the Department of Commerce through 2 C.F.R. 1327.101 and which are codified at 2 C.F.R. Part 200 and incorporate relevant cost

principles.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Jeffrey L. Payne, Acting Director, NOAA Office for Coastal Management, 2234 South Hobson Ave., Charleston, SC 29405-2413. Telephone: (843) 740-1207 or contact James Lewis A. Free, Grants Coordinator, NOAA Office for Coastal Management, Telephone: (843) 740-1185.

Headquarters Office:

NOS GMAC Kadja Baffoe-Harding,
1305 East West HighwaySSMC 4 Room 13267,
Silver Spring MD 20910
Email: Kadja.BaffoeHarding@noaa.gov
Phone: 2405330955
Fax:

Website Address:

<http://coast.noaa.gov/>

RELATED PROGRAMS:

11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.419 Coastal Zone Management Administration Awards; 11.431 Climate and Atmospheric Research; 11.440 Environmental Sciences, Applications, Data, and Education; 11.417 Sea Grant Support; 11.432 Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes; 11.455 Cooperative Science and Education Program; 11.429 Marine Sanctuary Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into consideration primarily scientific merit and application to the goals of NOAA and the relevant federal program office.

11.474 Atlantic Coastal Fisheries Cooperative Management Act

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Atlantic Coastal Fisheries Cooperative Management Act, 16 USC Code 5107.

OBJECTIVES:

To provide assistance to eligible States and the Atlantic States Marine Fisheries Commission in order to support and encourage the development, implementation, and enforcement of effective interstate conservation and management of Atlantic Coastal resources. Activities conducted under projects will be used to collect information necessary for the preparation, implementation and enforcement of coastal fisheries management plans and State activities required within such plans. Primary users of the information would be the Atlantic States Marine Fisheries Commission (ASMFC), the Atlantic Coast States, the National Marine Fisheries Service, and the U.S. Fish and Wildlife Service.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds are restricted to "coastal fishery resources" which means any fishery, any species of fish, or any stock of fish that moves among, or is broadly distributed across, waters under the jurisdiction of two or more Atlantic Coastal States or waters under the jurisdiction of one or more Atlantic Coastal States and the exclusive economic zone. Funds can be provided to the Commission and to the specified States for the preparation, implementation and enforcement of coastal fishery management plans and State activities required within such plans. Funds can also be used for program activities to support and enhance State cooperation in

ASSISTANCE LISTINGS DESCRIPTIONS

collection, management and analysis of fishery data; law enforcement; habitat conservation; fishery research including biological and socioeconomic research; and fishery management planning.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Eligible applicants for assistance are the Atlantic States Marine Fisheries Commission (ASMFC), the Atlantic Coast State governments which are Maine, New Hampshire, Massachusetts, Rhode Island, Connecticut, New York, New Jersey, Pennsylvania, Delaware, Maryland, Virginia, North Carolina, South Carolina, Georgia, Florida, the Potomac River Fisheries Commission, and the District of Columbia.

Beneficiary Eligibility:

Anyone/general public; State; This program benefits State, and interstate marine resource conservation agencies, the marine fishing industries and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the (Name of your Office - Example Coastal Services Center). Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent NMFS Office/Region/Science Center, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The application processing time is 75 days as required by 15 U.S.C. 1539 (sole source selection).

Appeals:

Not Applicable.

Renewals:

Other. Grants and cooperative agreements are generally approved on an annual basis, but may be continued beyond the initial project period, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. The Department of Commerce has the discretion to renew the award to increase funding, or extend the period of performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally for a 12 month period but in some instances, award periods may extend beyond 12 months, or may be completed in less than 12 months.

Award funds must be spent in the indicated budget period and is expended in accordance with DOC/NOAA finance and reporting procedures. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the Terms and Conditions of the Financial Assistance Award. Progress reports summarize work accomplished and explain situations where expected work has not been completed. Financial reports indicate use of funds during the reporting period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$9,720,644.00; FY 21 est \$10,692,708.00; FY 22 est \$11,761,978.00;

Range and Average of Financial Assistance:

\$38,000 to \$1,900,000. Average: \$330,000

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with 2 CFR 225 for state, local, and Indian tribal governments; 2 CFR 230 for nonprofit organizations; 2 CFR 220 for educational institutions; and 48 CFR 31 for profit-making organizations.

Regional or Local Office:

See Regional Assistance Locations. Northeast: Harold C. Mears, Director, State, Federal and Constituent Programs Office, National Marine Fisheries Service, Northeast Regional Office, One Blackburn Dr., Gloucester MA 01930. Telephone: (978) 281-9243. Fax: (978) 281-9117. Email: Grants.Information@noaa.gov. Southeast: Cynthia Binkley, Federal Program Officer, State/Federal Liaison Branch, 263 13th Ave. South, St.Petersburg, FL 33701. Telephone: (727) 824-5324. Fax: (727) 824-5364. Email:cynthia.binkley@noaa.gov.

Headquarters Office:

Jeffrey Kulnis,
1305 East West Highway Silver Spring, MD 20910,
Silver Spring MD 20910
Email: jeffrey.kulnis@noaa.gov
Phone: (301) 427-8771
Fax:

Website Address:

<http://www.nmfs.noaa.gov/>

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are solicited from eligible coastal States and other eligible applicants by notification from the National Marine Fisheries Service, Northeast and Southeast

ASSISTANCE LISTINGS DESCRIPTIONS

Regional Offices. Proposals must undergo rigorous technical review and comply with all OMB, DOC, and NOAA grants policies and procedures.

11.477 Fisheries Disaster Relief

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Magnuson-Stevens Fishery Conservation and Management Act of 1996, Section 312(a), 16 U.S.C. 1861a; Departments of Justice and State, the Judiciary, and Related Agencies Appropriations Act, Title II.

OBJECTIVES:

To deal with commercial fishery failures due to fishery resource disasters. Disaster causes may be (a) natural; (b) man-made (if they are "beyond the control of fisheries managers to mitigate through conservation and management measures"); or (c) undetermined.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used for assessing the effects of commercial fishery failures, or restoring fisheries or preventing future failures, and assisting fishing communities affected by the failures. However, the Secretary of Commerce must first determine that the activity will not expand the commercial fishery failure in that fishery or into other fisheries or other geographical regions.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Eligible applicants are agencies of State governments or fishing communities for programs to provide assistance to fishing communities (including fishing vessel owners, operators, and crew and United States fish processors that are based in such community).

Beneficiary Eligibility:

State; This program directly benefits fishing communities (including fishing vessel owners, operators, crew, and U.S. fish processors based in such communities) that are adversely affected by commercial fishery failures due to a fishery resource disaster.

Credentials/Documentation:

Applicants are required to satisfy all Department of Commerce, National Oceanic and Atmospheric Administration (DOC/NOAA) standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. Costs will be determined in accordance with OMB Circular No. A- 87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the National Marine Fisheries Service. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Proposals are initially evaluated by the pertinent National Marine Fisheries Service (NMFS) office, region science center, or their component laboratories, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects recommended for funding will be submitted to the NOAA Grants Management Division for review and approval.

Deadlines:

Project applications must be received by the receiving NMFS office at least 120 days before the requested start date of the project.

Range of Approval/Disapproval Time:

Not Applicable. Approval time is expected to range from 90 to 120 days, which includes processing of the award through the NMFS and DOC financial assistance procedures.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Grants and cooperative agreements are approved for a fixed period, but may be continued beyond that period, subject to approved time frame and scope of work, satisfactory progress, and availability of funds. Renewal of an award to increase funding, extend the period of an award to increase funding, or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies. Award funds must be spent in the indicated budget period and are expended in accordance with OMB Cost Principles and DOC financial and reporting procedures. Funds are released in advance or by reimbursement, as agreed to in the Standard Terms and Conditions document required for each grant. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be maintained by the terms of the agreement. The grantee must retain records for 3 years from the date when the final financial status report is submitted unless otherwise directed by DOC/NOAA pursuant to the exception or 15 CFR Part 24, as applicable. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$18,919,062.00; FY 21 est \$20,810,968.00; FY 22 est \$22,892,065.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1460.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants administration will be in accordance with provisions of 15 CFR Part 24 for State and Local Governments. For other projects developed to provide assistance to universities, nonprofits, and individuals, grants administration will be in accordance with 15 CFR Part 14 and costs will be determined in accordance with OMB Circular No. A-21 for Institutions of Higher Education, Circular No. A-122 for Nonprofit Organizations, and for Commercial Organizations 48 CFR Part 31.

Regional or Local Office:

None. Alaska: Shawn Carey, Alaska Regional Office, NMFS, P.O. Box 21668, Juneau, AK 99802-1668. Telephone: (907) 586-7845, Fax: (907) 586-7255. Use the same numbers for FTS. E-mail: Shawn.Carey@noaa.gov. Northeast: Harold C. Mears, Director, State, Federal, and Constituent Programs Office, National Marine

ASSISTANCE LISTINGS DESCRIPTIONS

Fisheries Service; Northeast Regional Office, One Blackburn Drive, Gloucester, MA 01930-2298. Telephone: (978)281-9243. Fax: (978) 281-9117. E-mail: Grants.Information@noaa.gov. Northwest: Steve Freese, Northwest Regional Office, NMFS, Sustainable Fisheries Division, 7600 Sand Point Way NE, Seattle, WA 98115. Telephone: (206) 526-6113. Fax: (206) 526- 4461. E-mail: steve.freese@noaa.gov. Southeast: Jeffrey Brown, State/Federal Liaison Staff, Southeast Regional Office, 263 13th Ave., South, St. Petersburg, FL 33702-2432. Telephone: (727) 551-5324. Fax: (727) 551-5364. E-mail: jeff.brown@noaa.gov. Southwest: Tricia Culver, Federal Program Officer, Southwest Regional Office, 501 W. Ocean Blvd., Long Beach, CA 90802-4213. Telephone: (562) 980-4239. Fax: (562) 980-4047. E-mail: tricia.culver@noaa.gov..

Headquarters Office:

Steve Aguzin,
Steve Aguzin, NOAA National Marine Fisheries Service, Financial Services Division, 1315 East-West Highway, Rm. 13134, Silver Spring, MD 20910 (301) 713-2358 Ext. 215. Fax: (301) 713-1306. E-Mail: Stephen.Aguzin@noaa.gov., Silver Spring MD 20910
Email: Stephen.Aguzin@noaa.gov
Phone: (301) 713-1306

Website Address:

<http://www.fakr.noaa.gov/omi/grants/default.htm>.Southeast:<http://caldera.sero.nmfs.gov/grants/programs/disaster.htm>.

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 11.452 Unallied Industry Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.478 Center for Sponsored Coastal Ocean Research Coastal Ocean Program

(CSCOR/(COP)),

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Navigation and Navigable Waters, , 33 USC Code 1442. Commerce and Trade, , 15 USC Code 1540. Harmful Algal Bloom and Hypoxia Research and Control Act of 1998, , Public Law 105-383, Navigation and Navigable Waters, , 33 USC Code 883d. Coastal Ocean Program, Section 201c, Public Law 102-567, Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies (RESTORE) of the Gulf Coast States Act of 2012 RESTORE Act., Section 16, Public Law 112-141, Coastal Zone Management Act of 1972, as amended, , 16 USC Code 1456c.

OBJECTIVES:

NOAA's Competitive Research Program (CRP) supports the development of actionable information and tools that improve how the nation protects, manages, and conserves ocean and coastal ecosystems. CRP funds regional-scale and targeted research through a competitive, peer-reviewed process to deliver the highest-quality science in a timely manner for important coastal policy decisions by supporting high-priority research related to NOAA's mission in the following areas; harmful algal blooms, hypoxia, coastal resiliency, sea-level rise, ocean acidification, mesophotic coral ecosystems and effective ecosystem-based management. CRP supports sound coastal management decisions by involving resource managers, planners, policymakers and impacted communities as research project partners or advisors to ensure useful results.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants may be used to fund research and interagency initiatives under specific CSCOR/ COP programs related to NOAA's mission in harmful algal blooms,

hypoxia and regional ecosystem research and to increase understanding of the Gulf of Mexico ecosystem and support its long-term sustainability, including its fish stocks, habitats, wildlife, and fishing industries.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education, not-for-profit institutions, State, , local and Indian tribal governments, commercial organizations and Federal agencies. Foreign researchers may apply as subawards through an eligible US entity. All applicants will be treated equally in the competitive process.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; State; Local; School; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally recognized Indian Tribal Governments; Organizations and individuals utilizing science in effectively managing the Nations coastal resources.

Credentials/Documentation:

Proposals with statement of work, estimated budget, and curriculum vitae. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Send an application for financial assistance (Standard Form 424) to CSCOR/COP with statement of work to be performed and proposed amount in response to a current funding announcement only. This program is subject to the requirements of CFR Part 14, "Uniform Administrative Requirements for Grants and Agreements with Institutions of High Education, Hospitals, Other Nonprofit and Commercial Organization, which replaces OMB Circular No. A-110 as the administrative rules applicable to these awards. In addition, this program is subject to the requirements of 15 CFR Part 24, "Grants and Cooperative Agreements with State and Local Governments", which replaces OMB A-102 as the administrative rule applicable to these awards. Proposals are submitted through Grants.Gov. Applicants will need to enter the funding opportunity number and/or the CFDA number to access the application package and instructions. Application package will then be submitted directly to the Center for Sponsored Coastal Ocean Research/Coastal Ocean Program. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of competitive peer review of proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 210 days from the time of proposal receipt.

Appeals:

Not Applicable.

Renewals:

Other. By negotiation with the CSOR/COP Office. Multi- year awards of two to five years may be approved. Once awarded, multi- year projects will not compete for funding in subsequent years. Funding for multi-year projects beyond the first

ASSISTANCE LISTINGS DESCRIPTIONS

year is contingent upon the availability of program funds in subsequent fiscal years, and the extent to which the recipient meets project objectives and reporting requirements. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From one to five years, with funding approved annually. Method of awarding/releasing assistance: other

Reports:

Implementation plans are required annually. Progress reports are due annually. Financial status reports are required semi-annually. Final reports are due ninety days after expiration of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients and subrecipients that are State and local governments, institutions of higher education or other nonprofit organizations shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (3 U.S.C. 7501-7507) and revised OMB Circular No. A-133. Commercial organizations shall be subject to the audit requirements as stipulated in the award document.

Records:

Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and local governments.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$15,254,328.00; FY 21 est \$19,393,003.00; FY 22 est \$19,999,999.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: CRP continued to support its harmful algal bloom (HAB) and hypoxia research with monitoring and modeling capabilities to provide managers with seasonal HAB and hypoxia forecasts in numerous regions in the US including the Gulf of Mexico, Chesapeake Bay, Lake Erie, Gulf of Maine, and coastal Washington, Oregon, and California. Research investigated HAB socioeconomics and HAB prevention, control and mitigation. Studies continued supporting the effects of sea level rise (ESLR) on the Outer Banks of North Carolina and the use of natural and nature-based features (NNBF) to mitigate sea level rise around the U.S. Coral ecosystem research continued on connectivity of coral ecosystems in the Northwestern Gulf of Mexico and the mesophotic coral ecosystems of American Samoa. Support continued for the combined effects of ocean acidification (OA) and hypoxia on living marine resources. Fiscal Year 2021: CRP continues to support its harmful algal bloom (HAB) and hypoxia research with monitoring and modeling capabilities to provide managers with seasonal HAB and hypoxia forecasts in numerous regions in the US including the Gulf of Mexico, Chesapeake Bay, Lake Erie, Gulf of Maine, and coastal Washington, Oregon, and California. CRP also continues to support effects of sea level rise (ESLR) to enhance Natural and Nature-based Features (NNBF) for coastal resilience in the Gulf of Mexico, the Mid- and SE coastal Atlantic and the Pacific Coast. Regarding corals, CRP continues regional ecosystem and mesophotic coral ecosystem connectivity studies in the Gulf of Mexico, Hawaii, and American Samoa. Support continues for the combined effects of ocean acidification (OA) and hypoxia on living marine resources. New CRP research in FY21 investigates coastal and surface transportation resilience for management applications along the coastlines of the Gulf of Mexico, eastern U.S. seaboard, and New England. New regional

ecosystem research investigates species habitat requirements and ecosystem connectivity among and within Marine Protected Areas (MPAs). New HAB research supports application of clay flocculation for removal of *Karenia brevis* cells, enhancing the capabilities of the 3rd Generation Environmental Sample Processor (3G ESP) for HAB toxin detection, and developing an optimized early warning program for the mitigation of HAB Impacts on shellfish in the Pacific Northwest. Future support is being planned for investigating the relationship between ocean acidification and HABs. Fiscal Year 2022: TBD

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

NOS GMAC Kadja Baffoe-Harding,
1305 East West HighwaySSMC 4 Room 13267,
Silver Spring MD 20910

Email: Kadja.BaffoeHarding@noaa.gov

Phone: 2405330955

Fax:

Website Address:

<http://coastalscience.noaa.gov/about/centers/cscor>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Prevention, Control & Mitigation: Harmful Algal Bloom Community Technology Accelerator (\$400K in FY20); HAB Socioeconomics: Evaluation of Mitigation Strategies for Harmful Algal Blooms in the West Coast Dungeness Crab Fishery (\$293K in FY20); Mesophotic Coral Ecosystems of American Samoa (\$676 in FY20); Coastal Hypoxia: Linking Exposure, Impacts and Adaptation - Advancing Fishery Response to Hypoxia Intensification in the Pacific Northwest (\$265 in FY20). 2021 Effects of Sea Level Rise Transportation Resilience: Coastal Communities Pavement Resilience to Sea Level Rise Using Natural and Nature-Based Features (\$962K in FY21); Regional Ecosystem Research: Integrating Reef-Seagrass Functional Connectivity into MPA Management and Design (\$764 in FY21); Mitigation and Event Response: An Optimized Early Warning Program for the Mitigation of HAB Impacts on Shellfish in the Pacific Northwest (\$150K in FY21). Prevention, Control & Mitigation: Application of Clay Flocculation for Removal of *Karenia brevis* Cells and Toxins in Southwest Florida Coastal Waters (\$450 in FY21) 2022 TBD

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated by competitive peer review taking into consideration primarily scientific merit and applicability to COP's research goals. Principal investigators' qualifications and cost are also considered, with cost subject to negotiation.

11.480 National Ocean Service Intern Program

(NOS Intern Program),

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Executive Order Executive Order 11748, Title VII, Part A, Section 717, Public Law 99-506, 42 USC Code 2000.

OBJECTIVES:

To establish an Intern Program which will provide unique opportunities for cooperative study, research, and development that would be of major benefit in advancing the number and diversity of skilled engineers, scientists, and managers in the environmental arena who are familiar with the techniques and technologies used by the National Ocean Service (NOS).

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

Primary grantee may use funds to recruit and evaluate candidate interns and to administer and fund stipends for implemented internships.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Primary awards will be available to nonprofit organizations. Internships are restricted to recent college graduates and have a maximum cumulative duration of 24 months for any individual.

Beneficiary Eligibility:

Anyone/general public; Student/Trainee; Scientist/Researchers; Benefits to the Nation are increased opportunities for recent college graduates to increase public awareness and gain exposure to government programs that affect the Nation's coastal areas and resources. This program will also promote interest in civil service as a career. Benefits also include a transfer of the techniques, technologies, and methods used by NOS to the next generation of engineers, scientists, and managers in the environmental arena. Interns who are selected by the program will receive increased training and skill enhancement as identified by the particular Internship opportunity in which they participate.

Credentials/Documentation:

Applicants are required to satisfy all Department of Commerce (DOC) and National Oceanic and Atmospheric Administration (NOAA) standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. Costs will be determined in accordance with OMB Circular Nos. A-21 for educational institutions and A-22 for nonprofit organizations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grantee: Candidate partners will submit an application for financial assistance (SF 424) with statement of work to be performed and proposed amount. This program is subject to the requirements of OMB Circular Title 2 Grants and Cooperative Agreements with State and Local Governments, as applicable. The Statement of work should include the proposed plan for advertising and recruitment, costs for recruiting, selecting, and administering an intern based on duration and stipend level of the internship. Intern: Intern candidates will apply for internships developed, documented, and funded by a component of NOS, and transmitted, after review by the project office, to the grantee who shall advertise them according to their proposed plan. The grantee will screen the applicants based on the documented requirements of the internship and transmit the highest qualified (typically 5 to 10) to NOS for further review. NOS will assign priority sequences to the candidates who will be offered the internship by the grantee in that order. Funding of the intern and administrative supervision will be through the grantee according to their proposal. The interns will work in Governmental offices under the technical supervision of the Government. Proposals are submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity Number and/or CFDA number to access the application package and instructions. Application package will then be submitted directly to the Office of Coast Survey. Proposals are subject to the requirements of as applicable.

Award Procedure:

Awards are made on the basis of proposal evaluation, technical review, and administrative review.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approval time is expected to range from 90 to 180 days, which includes processing of the award through the NOS, NOAA, and DOC financial assistance functions.

Appeals:

From 120 to 180 days.

Renewals:

From 120 to 180 days. Cooperative activities are generally approved on an annual

fiscal year basis, but may be continued beyond the first year, subject to satisfactory progress and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five years with funding approved annually. Method of awarding/releasing assistance: lump sum.

Reports:

SF-425 PPR

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements."

Records:

None.

Account Identification:

13-1450-0-1-306.

Obligations:

Salaries and Expenses FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

0 to 75000

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

NOS GMAC Kadija Baffoe-Harding,
1305 East West HighwaySSMC 4 Room 13267,
Silver Spring MD 20910
Email: Kadija.BaffoeHarding@noaa.gov
Phone: 2405330955

Website Address:

<http://www.grants.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.481 Educational Partnership Program

Jos E. Serrano Educational Partnership Program with Minority Serving Institutions (EPP/MSI).

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF
AUTHORIZATION:

Executive Order 13256, 13230, 13021 and 13339, , 15 USC Code 1540. 33 USC Code 893a.

OBJECTIVES:

To continue development of education, research, policy and natural resource management programs aimed at increasing education, training and graduation rates

ASSISTANCE LISTINGS DESCRIPTIONS

in NOAA mission STEM disciplines at Minority Serving Institutions. The EPP is administered through a national competitive process. Partnerships and capacity building are achieved through collaboration with NOAA scientists and managers and with the use of NOAA facilities and resources in atmospheric, oceanic, environmental sciences, and remote sensing technologies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support student tuition assistance, stipends, course development, research, faculty and program enhancement and students undertaking course work and/or research in NOAA related sciences at minority serving institutions. No less than 50% of a total award may be used for direct student support at all Center institutions.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants are designated as minority serving institutions by the United States Department of Education list of minority serving institutions. Non-minority institutions may also participate in this program when partnered with a minority serving institution. Lead institutions applying to become Cooperative Science Center must have a Ph.D. degree program in the science or technology disciplines (ocean, marine and environmental sciences and remote sensing technology) that directly supports NOAA mission.

Beneficiary Eligibility:

Student/Trainee; Graduate Student; Scientist/Researchers; State; Education (13+); The benefits to the Nation are an increased number of academic institutions capable of providing services and products in support of the agency's mission to describe and predict changes in the environment, and to conserve and manage the nation's coastal and marine resources to ensure sustainable economic opportunities. Collaboration among NOAA and academic institutions will increase the number of trained professionals in NOAA-related sciences and will also lead to a larger number of institutions participating in collaborative research and co-management of the nation's natural resources with State, local and tribal governments.

Credentials/Documentation:

Applicants are required to satisfy all DoC/NOAA standards and regulations, including routine and special terms and conditions, for financial assistance programs application and conduct. Costs will be determined in accordance with 2 CFR, Part 220 for educational institutions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of an application package which includes the Standard Form SF-424 Application for Federal Assistance, SF-424A Budget Information Non-Construction Programs, SF-424B Assurances Non-Construction Programs, statement of work, budget and supporting details, and CD-511 Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug Free Workplace Requirements, and Lobbying must be used when applying for Federal funds. This program is subject to the provisions of 15 CFR Part 14.

Award Procedure:

Awards are made on the basis of an initial administrative review followed by an evaluation of proposals by an external panel of STEM, education and management experts. 2 CFR, Part 200 applies to all awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time is anticipated to range from 90 to 150 days, which includes processing of the award through NOAA Education, NOAA and DoC financial assistance functions.

Appeals:

Not Applicable.

Renewals:

Other. Cooperative activities are generally approved on an annual fiscal year basis, but may be continued for up to ten years, subject to approved time frame, program development plan, satisfactory progress, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Subject to availability of funding, financial assistance awards may be made from one to ten years with funding approved annually. Method of awarding/releasing assistance: lump sum.

Reports:

Cash on hand reports are due Periodic progress and financial reports are required. However, the reporting frequency may be changed to be more or less frequent progress reports should summarize work accomplished and explain situations where expected work has not been completed. Financial reports must indicate use of funds during the semi-annual period. Final reports are due 120 days after expiration of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Recipients will be subject to the provisions of the Single Audit Act Amendments of 1996 and revised OMB Circular A-133, "Audits of States and Local Governments and Nonprofit Organizations".

Records:

Financial records and supporting documents and all other records, i.e., property, performance, work products, patents etc. pertinent to the agreement shall be maintained for a period of three years from the date on which the final expenditure report is submitted.

Account Identification:

13-1450-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$14,661,032.00; FY 21 est \$16,056,022.00; FY 22 est \$23,000,000.00;

Range and Average of Financial Assistance:

\$45 K - Undergraduate Scholarship award \$3.0 - \$3.2M annually to Cooperative Science Centers

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Cooperative Science Center awards expanded higher education access for students from communities historically excluded from careers in the NOAA-mission enterprise. They supported 363 students of which 63 graduated with undergraduate degrees (39) or graduate degrees (20 Master's and 4 doctoral). In addition, 3 CSC graduates entered the NOAA mission enterprise workforce as Federal employees or contractors - others are pursuing higher degrees and or in the workforce in academia, private sector and state agencies. The CSCs increased the number of post-secondary students trained with core competencies relevant to the NOAA-mission workforce, including: increased quantitative and analytical skills; increased competence in applying STEM to decision making, policy, and management; and increased skills to use large data sets, geographical information systems and statistical analysis, computer modeling, and algorithm development. Although the COVID-19 pandemic impacted access to NOAA offices, CSC graduate students completed virtual graduate internships with NOAA scientists and other professionals serving as mentors. CSC students and faculty published 59 refereed journal articles, 4 books, 6 book chapters and made over 100 presentations at national and regional meetings, on campuses. All presentations, after March 2020, were in virtual settings.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

For cost principles, see OMB Circular No. A-21, "Cost Principles for Educational Institutions". For grants administration requirements, see 15 CFR Part 14, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

EPP Program Manager Jacqueline Rousseau,
National Oceanic And Atmospheric Association, Office of Education, Educational Partnership Program 1315 East-West Highway, SSMC 3, 10th Floor,
Silver Spring MD 20910
Email: jacqueline.j.rousseau@noaa.gov
Phone: (301) 628-2905

Website Address:

<https://www.noaa.gov/office-education/epp-msi>

RELATED PROGRAMS:

11.008 NOAA Mission-Related Education Awards;

EXAMPLES OF FUNDED PROJECTS:

2020 1. An ecosystem assessment framework for Floridas coastal and aquatic managed areas.2. Utilizing Social Marketing Tools and Theories to Support Protected Species Management.3. Exploring Oyster Aquaculture Potential and Investigating Economic, Ecological, and Legal Barriers.4. Fin whale trends in the Bering Sea and Unimak Pass5. Development of a Maryland Coastal Bays Ecosystem Model to Assess the Influence of Climatic Factors on Biomass Distributions of Fish and Macroinvertebrates, Food Web Linkages and Community Structure.6. Developing methods to detect the effect of CO2 on the physiology of Dungeness crab. 2021 1. Using HYSPLIT, ground-based and satellite data to assess coastal NO2 Dynamics 2. Mechanisms for Enhancing Tsunami Disaster Resilience.3. Understanding adaptive capacity: An analysis of community perceptions and policy responses to ocean acidification and other marine stressors on the West Coast.4. Evaluation of Operational Model Boundary Layer Depth in Urban and Rural Areas.5. Mapping the footprint of rockfish conservation area (RCA) closures on the US West Coast.6. Top predator ecology and ecosystem response to climate variability and change in the Northeast Pacific. 2022 1. Research and Training with NOAA Office of Legislative Affairs and the U.S. House Natural Resources Committee.2. Chemical Characterization of Microplastics in Marine Environments Using a Novel Method of Pyrolysis GC-MS.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to an internal administrative review and an external panel review process taking into consideration the applicant(s) performance plan, research plans, scientific merit, investigator's qualifications, cost and available funds. Proposals undergo a rigorous technical review and comply with all OMB, DoC and NOAA grant policies and procedures. Specific information about the selection process will be included in the solicitation.

11.482 Coral Reef Conservation Program

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Coral Reef Conservation Act of 2000, , 16 USC Code 6401-6403.

OBJECTIVES:

To provide matching grants of financial assistance in support of coral reef conservation programs and projects that preserve, sustain, and restore U.S. and international coral reef ecosystems.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

Awards made under this program may be used to support programmatic and project expenses related to coral reef conservation activities conducted by U.S. States and

Territories, Regional Fishery Management Councils with jurisdiction over coral reefs, and International partners in three key regions, and project costs associated with research studies and conservation activities undertaken by other eligible non-governmental partner organizations in the U.S.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Institutions of higher education, non-profit organizations, commercial organizations, local and Indian tribal government agencies, foreign governments, relevant Regional Fishery Management Councils (i.e., Caribbean Fishery Management Council, the Gulf of Mexico Fishery Management Council, the South Atlantic Fishery Management Council, and the Western Pacific Regional Fishery Management Council), and State, Territorial and Commonwealth resource management agencies that were appointed by their respective Governors to serve as the primary point of contact agencies for coral reef conservation activities in each of the jurisdictions of American Samoa, Florida, the Commonwealth of the Northern Mariana Islands, Guam, Hawaii, Puerto Rico, and the U.S. Virgin Islands. Eligible international applicants are institutions of higher education, non-profit organizations, non-U.S. government natural resource management agencies, foreign public entities and foreign organizations, and for-profit organizations.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Organizations and individuals with professional expertise in managing and conserving coral reef ecosystems in the U.S. and internationally.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with 2 CFR Part 200: Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State

ASSISTANCE LISTINGS DESCRIPTIONS

has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals should be submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be forwarded directly to the applicable federal program. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposals evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Funding recommendations from the NOAA program office to the NOAA Grants Management Division are generally made: 3 to 5 months after competitive applications are received. Within a month after formula and non-competitive applications are received. Processing of awards by the NOAA Grants Management Division generally takes place within two months after recommendations are received from the NOAA program office. Successful applicants typically receive federal financial assistance awards offers 2 to 4 months after the NOAA program office submits award recommendations to the NOAA Grants Management Division.

Appeals:

Not Applicable.

Renewals:

Other. Renewal of multi-year awards to increase funding or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Per the Coral Reef Conservation Act of 2000 (16 USC 6401 et seq; specifically 6403) Federal funds may not exceed 50% of the total cost of a project unless a waiver to this requirement is obtained by the applicant. Provision of a waiver is at the discretion of the CRCP Program Manager, who was delegated authority for this purpose by the NOAA Administrator. Matching funds contributed by the applicant may be comprised of cash, in-kind contributions and/or other non-cash support. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is typically available to formula recipients for 12 to 18 months with a possible extension not to exceed a project period of 3 total years. Assistance is typically available to competitive recipients for 1 to 5 years. The maximum time that may be granted for recipients to expend and drawdown funds is 5 years. Extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extension requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests must be submitted to the NOAA at least 30 days prior to end date. Recipients should make every effort to submit one extension request for all projects needing an extension (when possible, multiple extension requests should be avoided). With sufficient justification, the federal program office will recommend approval of an extension up to 1 year for the first extension request. First time or cumulative extension requests for more than six months but less than 12 months, will need more compelling justification as to why the project was not completed on time or could not be completed within the first six-month extension. Although the federal program office discourages extensions of more than 12 months, the federal program office will recommend approval in cases where the funds are already obligated. Renewal of an award to increase funding or extend the period of

performance is at the total discretion of the Department. Method of awarding/releasing assistance: other

Reports:

Progress reports are required on a semi-annual basis. Additional detail on expenditures may be required under certain circumstances. Performance is monitored through review of performance progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records will be retained in accordance with 2 CFR Part 200 for all non-Federal entities.

Account Identification:

13-1450-0-1-306.

Obligations:

Salaries and Expenses FY 20 \$11,488,947.00; FY 21 est \$9,339,959.00; FY 22 est \$9,000,003.00;

Range and Average of Financial Assistance:

Range is approximately \$35,000 to \$850,000; average is \$259,000.

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Through CFDA 11.482, the Coral Reef Conservation Program (CRCP) funds projects proposed by State and Territorial Governments, Non-Governmental Organizations including community groups, and academic Institutions. Funded projects focus on addressing three primary threats to coral reef ecosystems: land-based pollution, fishing impacts, and climate change. The Coral Reef Conservation Program expects to continue funding coral reef conservation projects proposed by a variety of recipients, with a focus on addressing three primary threats to coral reef ecosystems: land-based pollution, fishing impacts and climate change. Funding may also be requested to respond to new or emerging management issues related to coral reef conservation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs and financial assistance management will be determined in accordance with 2 CFR 200 for non-Federal entities. Further guidelines are outlined in the DOC Grants and Cooperative Agreement Policy Manual. The CRCP Grant Program Implementation Guidelines published in 2010 are also applicable to these awards.

Regional or Local Office:

None. Jennifer Koss, Program Manager, NOAA Coral Reef Conservation Program, 1305 East-West Hwy, SSMC4-N/ORM1, Silver Spring, MD 20910. (240) 533-0777.

Headquarters Office:

NOS GMAC Kadja Baffoe-Harding,
1305 East West Highway SSMC 4 Room 13267,
Silver Spring MD 20910
Email: Kadja.BaffoeHarding@noaa.gov
Phone: 2405330955
Fax:

Website Address:

<http://coralreef.noaa.gov>

RELATED PROGRAMS:

11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.419 Coastal Zone Management Administration Awards; 11.463 Habitat Conservation; 11.441 Regional Fishery Management Councils;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and externals reviews, if applicable. Recommendations for funding to the selecting official take into consideration factors such as scientific/technical merit, applicability to the goals of NOAA CRCP and jurisdictional partners, direct benefit to coral reef resources and ecosystems, past performance of the recipient, cost-effectiveness, adequacy of the project implementation plan, and availability of matching funds, as described in annual Federal Funding Opportunity notices for each competition.

11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION, COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Disaster Relief Appropriations Act of 2013, , Public Law 113-2

OBJECTIVES:

1. Protect, Restore, and Manage the Use of Coastal and Ocean Resources through an Ecosystem Approach to Management; 2. Understand Climate Variability and Change to Enhance Society's Ability to Plan and Respond; 3. Serve Society's Needs for Weather and Water Information.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Mapping, charting, geodesy services marine debris surveys for coastal States impacted by Hurricane Sandy; Repair and replace ocean observing and coastal monitoring assets damaged by Hurricane Sandy; Provide technical assistance to support State assessments of coastal impacts of Hurricane Sandy; Improve weather forecasting and hurricane intensity forecasting capabilities, to include data assimilation from ocean observing platforms and satellites; Laboratories and cooperative institutes research activities associated with sustained observations weather research programs, and ocean and coastal research; and Necessary expenses related to fishery disasters during calendar year 2012 that were declared by the Secretary of Commerce as a direct result of impacts from Hurricane Sandy.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Eligible applicants include public or private profit or not-for-profit organizations, institutions of higher education, state, local and Indian tribal governments. Uses of assistance include: Mapping, charting, geodesy services marine debris surveys for coastal States impacted by Hurricane Sandy; Repair and replace ocean observing and coastal monitoring assets damaged by Hurricane Sandy; Provide technical assistance to support State assessments of coastal impacts of Hurricane Sandy; Improve weather forecasting and hurricane intensity forecasting capabilities, to include data assimilation from ocean observing platforms and satellites; Laboratories and cooperative institutes research activities associated with sustained observations weather research programs, and ocean and coastal research; Necessary expenses related to fishery disasters during calendar year 2012 that were declared by the Secretary of Commerce as a direct result of impacts from Hurricane Sandy.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group

(e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Entities impacted by Hurricane Sandy in 2012; entities benefitting from other activities funded through the Act.

Credentials/Documentation:

Applicants for funding will be required to submit documentation responding to requirements developed and published by the Department of Commerce. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Refer to program guidelines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Award Procedure:

See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

24 months Method of awarding/releasing assistance: other

Reports:

Yes, quarterly. See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published. Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

ASSISTANCE LISTINGS DESCRIPTIONS

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Account Identification:

13-1460-0-1-306. 13-1450-0-1-306.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-1460. 13-1450.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Yes, see Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

Regional or Local Office:

None. National Ocean Service - Jane Piercy, N/MB3, 1305 East-West Highway, SSMC4, #13267, Silver Spring, MD 20910, Jane.Piercy@NOAA.Gov, Phone: 301-713-3050, Extension 161 National Marine Fisheries Service - Dan Namur, F/MB, 1315 East-West Highway, SSMC3, #14358, Silver Spring, MD 20910, dan.namur@noaa.gov, Phone: 301-427-8730 National Weather Service - Carla Kirby, W/CFO2, 1325 East-West Highway, SSMC2, #18390, Silver Spring, MD 20910, Carla.Kirby@noaa.gov, Phone: 301-713-0420, Extension 113 National Environmental Satellite, Data, and Information Service, Ingrid Guch, E/RA, 5830 University Research Court, #2612, College Park, MD 20740, Ingrid.Guch@noaa.gov, Phone: 301-683-3510 Office of Oceanic and Atmospheric Research - Brenda Alford, R/CFO5, 1315 East-West Highway, SSMC3, #11618, Silver Spring, MD 20910, Brenda.Alford@noaa.gov, Phone: 301-734-1174

Headquarters Office:

Dan Namur,
Office of Management and Budget, National Marine Fisheries Service, 1315 East-West Highway,
Silver Spring MD 20910
Email: dan.namur@noaa.gov
Phone: (301) 713-1364
Fax: (301) 713-2258

Website Address:

<http://www.noaa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Federal Funding Opportunity (FFO) that will be developed and published by the Department of Commerce after the CFDA program is published.

11.549 State and Local Implementation Grant Program

(SLIGP),

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, COMMERCE, DEPARTMENT OF

AUTHORIZATION:

The Middle Class Tax Relief and Job Creation Act of 2012, , Public Law 112-96

OBJECTIVES:

To assist State, regional, tribal, and local jurisdictions to identify, plan, and implement the most efficient and effective way for such jurisdictions to utilize and integrate the infrastructure, equipment, and other architecture associated with the nationwide public safety broadband network to satisfy the wireless communications and data services needs of that jurisdiction, including with regards to coverage, siting, and other needs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Provides grants to states to assist them, in collaboration with regional, tribal, and local jurisdictions, with activities related to planning for the establishment of a national wide public safety broadband network. NTIA issued a new funding opportunity at the end of FY 2017, SLIGP 2.0, to provide recipients with the necessary resources for planning activities during the post-State Plan period as Radio Access Network (RAN) buildout occurs. NTIA leveraged unspent funds from original SLIGP grants to make 46 awards.

Applicant Eligibility:

State; 1. Grants were awarded to eligible States and Territories. 2. The grants will be used to assist applicants with activities related to planning for the establishment of a nationwide public safety broadband network. 3. The State must designate a single officer or governmental body to serve as the coordinator of the grant funds.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; Indirect beneficiaries of the grants are law enforcement officers, fire fighters, emergency medical professionals and other public safety officials, as well as the general public, who will receive improved communications capabilities from the creation of the single, nationwide interoperable public safety broadband network that these grants will facilitate.

Credentials/Documentation:

OMB Circular No. A-87 is required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The designee must apply for grant funding through the Grants.gov online system when the application window opens.

Award Procedure:

Each application was reviewed by NTIA for completeness and adherence to programmatic guidelines prior to award. Grantees can draw down and expend funds through the Automated Standard for Application for Payment (ASAP) system.

Deadlines:

March 19, 2013. The SLIGP 1.0 grant opportunity closed on March 19, 2013. NTIA issued the SLIGP 2.0 grant announcement at the end of FY 2017. The SLIGP 2.0 grant opportunity closed on December 28, 2017; one territorial agency applicant was approved to submit the application past this date under the conditions noted in the Notice of Funding.

Range of Approval/Disapproval Time:

Other. Award payments are generally available within 90 days of application approval.

Appeals:

Not Applicable.

Renewals:

Other. This program is not eligible for renewals, but a no cost extension may be considered.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory.

ASSISTANCE LISTINGS DESCRIPTIONS

The non-federal share of the cost is 20 percent for any activity carried out under the grant. The Assistant Secretary may waive, in whole or in part, the matching requirement for good cause shown upon determining that such a waiver is in the public interest. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant period of performance for SLIGP 1.0 was 4.5 years. The period of performance for SLIGP 1.0 grants ended on February 28, 2018. The original period of performance for SLIGP 2.0 was 2 years, with some grantees receiving an extension until March 31, 2021. Payment and Reporting System payments are generally within 90 days. Method of awarding/releasing assistance: other

Reports:

A Performance Progress Report (PPR) is due quarterly to the NTIA Federal Program Officer. A Federal Financial Report (FFR also known as SF-425) is due to the NIST Grants Officer on the same quarterly basis. A Closeout Report is due 90 days after the grant end date along with the Final FFR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 OMB Circular A-133 is applicable for SLIGP 1.0. SLIGP 2.0 awards were made under 2 CFR 200.

Records:

Grant recipient must retain records for three years after award closeout.

Account Identification:

13-0516-0-1-376.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

SLIGP 2.0 awards for the first increment of funding ranged from \$200,000 to \$425,000. For the second increment of funding, awards ranged from \$102,645 to \$695,000.

TAFS Codes:

13-0516.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Stakeholder meetings to be convened= 40; Number of governance meetings to be held= 637 Fiscal Year 2021: Stakeholder meetings to be convened 21; Number of governance meetings to be held 327.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Program Director Natalie Romanoff,
Office of Public Safety Communications U.S. Department of Commerce National
Telecommunications and Information Administration (NTIA) 1401 Constitution
Avenue, NW Room 4086,
Washington DC 20230
Email: nromanoff@ntia.doc.gov
Phone: 202-482-2236

Website Address:

<https://www.ntia.doc.gov/category/state-and-local-implementation-grant-program-20>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.553 Special Projects

SPECIAL PROJECTS.

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION
ADMINISTRATION, COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Varies, , Statue 123-115, Public Law 111-5

OBJECTIVES:

To assist various organizations identified by Congress or through non-competitive grants that promote the availability and support new sources of advanced telecommunications.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance is provided to implement specific projects identified by Congress or through non-competitive grants for projects that promote the availability and support new sources of advanced telecommunications.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Organizations specifically identified by Congress in agency appropriations legislation or other authority that provides for non-competitive grants.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Anyone/general public; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries are those served by the organizations receiving awards.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. CFDA Program 11.553 - Special Projects is an ACTIVE program in NIST Grants Management Information System (GMIS) - periodic grant funding to US Telecom Training Institute (USTTI) -- amounts vary

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. None.

Award Procedure:

Submit all standard forms (SF-424 Series Forms), project proposal and budget following the format specified by the Department of Commerce.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. All award decisions are final. There is no administrative appeal process.

Renewals:

Other. Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies depending on project. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure report is submitted to NIST.

Account Identification:

13-0550-0-1-376. 13-0550-0-2-376. 13-0550-0-1-376.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0550.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wayne A. Ritchie,
U.S. Department of Commerce, NTIA, 1401 Constitution Ave NW,
Washington DC 20230
Email: writch@ntia.doc.gov
Phone: 2024825515
Fax:

Website Address:

<https://www.ntia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.557 Broadband Technology Opportunities Program (BTOP)

Broadband Technology Opportunities Program (BTOP) - INACTIVE Funding for this program has ended.

FEDERAL AGENCY:

NATIONAL TELECOMMUNICATIONS AND INFORMATION
ADMINISTRATION, COMMERCE, DEPARTMENT OF

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Public Law No. 111-5.,

OBJECTIVES:

The purposes of the Broadband Technology Opportunities Program (BTOP) is inactive and no longer funding projects. The purposes of BTOP were to accelerate broadband deployment in unserved and underserved areas and to ensure that strategic institutions which are likely to create jobs or provide significant public benefits have broadband connections.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

INACTIVE - Funding for the BTOP program has ended. Competitive grants under the Broadband Technology Opportunities Program were awarded to: (1) provide access to broadband service to U.S. consumers in unserved areas; (2) provide improved access to broadband in underserved areas; (3) provide broadband support and services to strategic institutions; (4) improve broadband access by public safety agencies; and (5) stimulate broadband demand, economic growth, and job creation.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); INACTIVE - Funding for the BTOP program has ended.

Beneficiary Eligibility:

Anyone/general public; INACTIVE - Funding for the BTOP program has ended.

Credentials/Documentation:

Applicants must certify that the organization is in compliance, or will comply, with the Department of Commerce assurances included in the standard application. Applicants must also: provide assurances that they will substantially complete funded projects in accordance with project timelines, not to exceed two years following an award; provide a detailed explanation of how any amount received under the program will be used to carry out the purposes of the Program in an efficient and expeditious manner; show that the project would not have been implemented during the grant period without Federal grant assistance; demonstrate that it is capable of carrying out the project or function to which the application relates in a competent manner in compliance with all applicable Federal, State, and local laws; demonstrate that it will appropriate (if the applicant is a State or local government agency) or otherwise unconditionally obligate, from non-Federal sources, funds required to meet the requirements of Section 6001, subsection (f); disclose the source and amount of other Federal or State funding sources from which the applicant receives, or has applied for, funding for activities or projects to which the application relates; and provide such assurances and procedures as may be required to ensure that grant funds are used and accounted for in an appropriate manner. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. INACTIVE - Funding for the BTOP program has ended.

Award Procedure:

INACTIVE - Funding for the BTOP program has ended.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. INACTIVE - Funding for the BTOP program has ended.

Appeals:

Not Applicable. INACTIVE - Funding for the BTOP program has ended.

Renewals:

Not Applicable. INACTIVE - Funding for the BTOP program has ended.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

INACTIVE - Funding for the BTOP program has ended. Method of awarding/releasing assistance: other

Reports:

Financial and progress reports are to be submitted in accordance with the terms and conditions of the award, and are due on a quarterly basis. The NTIA Assistant Secretary, or its designate, may establish additional reporting and information requirements for any recipient of an award. As required by Section 1512 of the American Recovery and Reinvestment Act of 2009 (Recovery Act) and OMB guidance, each recipient is required to report the following information to the NTIA ten days after the end of each calendar quarter. As prescribed by the Recovery Act, these reports will include: 1. The total amount of recovery funds received from NTIA. 2. The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated allotment balances to facilitate reconciliations. 3. A detailed list of all projects or activities for which Recovery Act funds were obligated and expended, including a. The name of the project or activity; b. A description of the project or activity; c. An evaluation of the completion status of the project or activity; d. An estimate of the number of jobs created and the number of jobs retained by the project or activity; and e. For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name the person to contact at the agency if there are concerns with the infrastructure investment. As required by Section 1512 of the American Recovery and Reinvestment Act of 2009 (Recovery Act) and OMB guidance, each recipient is required to report the following information to the NTIA ten days after the end of each calendar quarter. As prescribed by the Recovery Act, these reports will include: 1. The total amount of recovery funds received from NTIA. 2. The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated allotment balances to facilitate reconciliations. 3. A detailed list of all projects or activities for which Recovery Act funds were obligated and expended, including a. The name of the project or activity; b. A description of the project or activity; c. An evaluation of the completion status of the project or activity; d. An estimate of the number of jobs created and the number of jobs retained by the project or activity; and e. For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name the person to contact at the agency if there are concerns with the infrastructure investment. Financial and progress reports are to be submitted in accordance with the terms and conditions of the award, and are due on a quarterly basis. The NTIA Assistant Secretary, or its designate, may establish additional reporting and information requirements for any recipient of an award. Progress reports and site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

INACTIVE - Funding for the BTOP program has ended.

Account Identification:

13-0554-0-1-376.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Program is currently inactive. This listing is being updated until final grant is closed.

TAFS Codes:

13-0554.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

<https://www2.ntia.doc.gov/compliance>

Regional or Local Office:

None. INACTIVE - Funding for the BTOP program has ended.

Headquarters Office:

Wayne A. Ritchie,

U.S. Department of Commerce, NTIA, 1401 Constitution Ave NW, Washington DC 20230

Email: writchie@ntia.doc.gov

Phone: 2024825515

Fax:

Website Address:

<https://www.ntia.doc.gov/legacy/broadbandgrants/projects.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.601 Calibration Program

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY, COMMERCE, DEPARTMENT OF AUTHORIZATION:

15 USC Code 271 et seq., National Institute of Standards and Technology Act, as amended.

OBJECTIVES:

To provide a consistent system of physical measurement in the United States of America.

TYPES OF ASSISTANCE:

Provision of Specialized Services, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Provides traceability to the National Standards for measurements that are used for assurance of interchangeability and uniformity of manufactured items, for process control, for informational and scientific purposes, and for fairness and objectivity in commerce and regulation. There are no use restrictions.

Applicant Eligibility:

Anyone/general public; Calibrations and tests are provided on a fee basis to state and local governments, academic institutions, scientific laboratories, industrial firms, corporations, and individuals.

Beneficiary Eligibility:

Federal; Anyone/general public; State; Local; Not Applicable.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of purchase order to appropriate office for service of interest: Calibration Program, 100 Bureau Drive Stop 8363, National Institute of Standards and Technology, Department of Commerce, Gaithersburg, MD 20899-8363. Information available at <http://www.nist.gov/calibrations/>

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not Applicable.

Account Identification:

13-4650-0-4-376.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$5,630,740.00; FY 21 est \$7,310,120.00; FY 22 est \$7,310,120.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Institute of Standards and Technology Special Publication 250 "Calibration and Related Measurement Services of the National Institute of Standards and Technology, and NIST 250 appendix, "Fee Schedule"" available on the web at <http://www.nist.gov/calibrations/>

Regional or Local Office:

None.

Headquarters Office:

James Fedchak,
100 Bureau Drive,
Gaithersburg MD 20899
Email: James.Fedchak@nist.gov
Phone: 3019758962

Website Address:

<http://www.nist.gov/calibrations/>

RELATED PROGRAMS:

11.606 Weights and Measures Service; 11.603 National Standard Reference Data System; 11.604 Standard Reference Materials;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.603 National Standard Reference Data System

SRD.

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

15 USC Code 290a et seq..

OBJECTIVES:

To make evaluated scientific and technical data readily available to scientists, engineers, and the general public.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

NIST Standard Reference Data aid scientists and engineers by providing reliable evaluated information on the chemical, physical, and other properties of substances and systems. Evaluations are carried out by NIST experts. Data compilations published, and databases and online systems established by the program are available without restriction. A small number of databases are for sale at www.nist.gov/srd or through distributors. There are no specific use restrictions.

Applicant Eligibility:

Anyone/general public; Federal agencies, universities, industrial laboratories, institutions, firms, corporations, other research establishments, and individuals may purchase databases. Data compilations published and databases established by the program are owned by the Federal government and are subject to copyright restrictions set forth in 15 US Code 290e.

Beneficiary Eligibility:

Anyone/general public; Scientist/Researchers; Engineer/Architect; Federal agencies, universities, industrial laboratories, institutions, firms, corporations, other research establishments, and individuals may purchase databases. Data compilations published and databases established by the program are owned by the Federal government and are subject to copyright restrictions set forth in 15 US Code 290e.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To locate and access Standard Reference Data products, go to <http://www.nist.gov/srd>, or call (844)-374-0183.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. No renewals. Not applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Applicable.

Account Identification:

13-0500-0-1-376. 13-4650-0-4-376.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$11,470,553.00; FY 21 est \$11,787,500.00; FY 22 est \$11,787,500.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Catalog available on Internet at <http://www.nist.gov/srd>.

Regional or Local Office:

See Regional Assistance Locations. Department of Commerce field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Hanisch,
100 Bureau Drive,
Gaithersburg MD 20899
Email: Robert.Hanisch@nist.gov
Phone: (844) 374-0183
Fax:

Website Address:

<http://www.nist.gov/data>

RELATED PROGRAMS:

11.604 Standard Reference Materials;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.604 Standard Reference Materials

Standard Reference Materials(SRM),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

15 USC Code 272(c)(6).

OBJECTIVES:

Standard Reference Materials are certified and issued by NIST to develop accurate methods of analysis; to calibrate measurement systems used to: (1) facilitate the exchange of goods, (2) institute quality control, (3) determine material performance characteristics, (4) measure materials at state-of-the-art limits, and (5) assure the long-term adequacy and integrity of measurement quality assurance programs.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Standard Reference Materials are used as primary reference measurement standards in such areas as clinical laboratories testing, air and water pollution monitoring, low-level radioactive pollution monitoring, and production control of basic materials, such as steel, rubber, cement, and plastics. Standard Reference Materials

are intended for measurement quality control, regulatory compliance, product development, and scientific research.

Applicant Eligibility:

Anyone/general public; Federal agencies, State and local governments, societies, institutions, firms, corporations, and individual businesses may purchase the materials.

Beneficiary Eligibility:

Federal; Anyone/general public; State; Local; Not Applicable.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Purchase orders should be directed to the Office of Reference Materials, National Institute of Standards and Technology, 100 Bureau Drive, Stop 2300, Gaithersburg, MD 20899-2300. Catalogs and price lists may be viewed at <http://www.nist.gov/srm>

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Applicable.

Account Identification:

13-4650-0-4-376. 13-0500-0-0-376.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$21,041,285.00; FY 21 est \$21,896,200.00; FY 22 est \$21,893,700.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0500. 13-4650.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General information is available at no cost from the Office of Reference Materials, National Institute of Standards and Technology, 100 Bureau Drive Stop 2300, Gaithersburg, MD 20899-2300. E-mail: srminfo@nist.gov. Catalogs and price lists may be viewed electronically by accessing URL <http://www.nist.gov/srm>

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Steven Choquette,
100 Bureau Drive,
Gaithersburg MD 20899
Email: Steven.Choquette@nist.gov
Phone: 3019753096
Fax:

Website Address:

<http://www.nist.gov/srm>.

RELATED PROGRAMS:

11.601 Calibration Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.606 Weights and Measures Service

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

15 USC Code 271 et seq., National Institute of Standards and Technology Act, as amended.

OBJECTIVES:

To provide leadership and technical resources to assure the accuracy of the quantities and quantity representations in all commercial transactions in the United States, to promote a uniform national weights and measures system, to promote harmonization of national and international legal metrology standards, and to facilitate the transition to the metric system.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Dissemination of Technical Information, Provision of Specialized Services, Sale, Exchange, or Donation of Property and Goods, Training

USES AND USE RESTRICTIONS:

This program provides education, technical advice, and assistance to States, State and industry metrologists, manufacturers of weights and measures devices, and other device users; manages U.S. representation and participation in the International Organization of Legal Metrology (OIML) and in regional legal metrology organizations to harmonize legal metrology standards; provides leadership and assistance on metric use and conversion to businesses, state and local governments, standards organizations, trade associations, and the education community; coordinates the metric transition activities of all Federal agencies; develops model laws and regulations; upgrades State laboratories; conducts technical studies; and provides technical support to the National Conference on Weights and Measures. It includes publications, related activities, special studies, technical advice, technology transfer through audit of State laboratories, technical training, and development of training material. There are no restrictions on the use of this material.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Profit organization; Anyone/general public; States, political subdivisions of States, private industry, and the general public.

Beneficiary Eligibility:

Other private institution/organization; Anyone/general public; State; Local; States, political subdivisions of States, private industry, and the general public.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Letter requesting services should be sent to Chief, Office of Weights and Measures, National Institute of Standards and Technology, 100 Bureau Drive, Stop 2600, Gaithersburg, MD 20899-2600.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

13-4650-0-4-376. 13-0500-0-1-376.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$4,979,376.00; FY 21 est \$5,063,400.00; FY 22 est \$4,993,400.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

13-0500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Model laws, regulations, codes, and test procedures relating to weights and measures enforcement, packaging and labeling, method of sale of commodities, unit pricing, open dating, field inspections, and registration of service persons, and service agencies, engine fuel quality, and weighmasters. Manuals for coordinating the system of State laboratories, testing specific measuring devices, and training State officials. Design and performance codes for scales, liquid and vapor meters, distance and time measuring devices, and other measuring equipment. Most publications available on the NIST website at: <http://www.nist.gov/pml/wmd/pubs/index.cfm>.

Regional or Local Office:

None.

Headquarters Office:

Patrick Hovis,
100 Bureau Drive,
Gaithersburg MD 20899
Email: Patrick.Hovis@nist.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 3019754290

Website Address:

<http://www.nist.gov/pml/wmd/index.cfm>

RELATED PROGRAMS:

11.601 Calibration Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.609 Measurement and Engineering Research and Standards

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

15 USC Code 271 et seq., National Institute of Standards and Technology Act, as amended. 15 USC Code 3706. 15 USC Code 7501 et seq.. 42 USC Code 7701 et seq.. 42 USC Code 15701. 47 USC Code 1443.

OBJECTIVES:

To provide scientific and engineering research and technology transfer for measurement and standards.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grant money will be used to further scientific and engineering research and technology transfer in areas of fire research, building research, precision measurement, automation manufacturing, materials science, chemistry, physics, biotechnology, electronics, optical technologies, mathematics, neutron research, nanoscale science and technology, manufacturing engineering, communications and information science and technology, and other areas of specific research. Focus areas of high interest include: energy, environment, information technology/cybersecurity, biosciences/healthcare, disaster resilience, manufacturing, physical infrastructure, forensic sciences, and communications technology, including public safety.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Non-Government - General; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Institutions of higher education (e.g. universities and colleges), professional institutes and associations, nonprofit organizations, State and local governments, laboratories, and commercial organizations.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Universities, colleges, professional institutes and associations, nonprofit organizations, State and local governments, and commercial organizations.

Credentials/Documentation:

Applicants must have and demonstrate scientific competence in areas of research for which grants are requested. Costs will be determined in accordance with applicable cost principles found at 2 CFR Part 200, Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should reply to Notices of Funding Opportunities posted at www.grants.gov.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Regular programs approval times are from 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

Other. Future or continued funding will be at the discretion of NIST, based on such factors as satisfactory performance and the availability of funds. Renewals are competed whereas continuations of multi-year agreements are not subject to further competition.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Usually one to five years in length. Method of awarding/releasing assistance: other

Reports:

Semi-annual and final program and financial status reports are required; unless specified in award document. Semi-annual and final program and financial status reports are required; unless specified in award document. Semi-annual and final program and financial status reports are required; unless specified in award document. Semi-annual and final program and financial status reports are required; unless specified in award document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records are required to be retained by recipient and subawardees in accordance with 2 CFR 200.333.

Account Identification:

13-4650-0-4-376. 13-0515-0-1-376. 13-0525-0-1-376. 13-0500-0-1-376.

Obligations:

Project Grants FY 20 \$65,670,636.00; FY 21 est \$68,600,344.00; FY 22 est \$78,462,995.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0500. 13-4650. 13-0525. 13-0515.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Misty Roosa,
100 Bureau Drive, Bldg 101/AO421,
Gaithersburg MD 20899

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Email: Misty.Roosa@nist.gov

Phone: 301-975-3007

Website Address:

No Data Available.

RELATED PROGRAMS:

11.606 Weights and Measures Service; 11.603 National Standard Reference Data System; 11.601 Calibration Program; 11.604 Standard Reference Materials;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary with competition. Specific criteria are listed in Notices of Funding Opportunities posted at www.grants.gov.

11.610 Standards Information Center

(SIC),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

19 USC Code 2544.

OBJECTIVES:

To serve as a standards and conformity assessment information center and referral service. To respond to inquiries, develop and publish lists, and guidance on standards and related information, and to provide regulatory and certification information to U.S. exporters and manufacturers. To serve as the inquiry point for requests for information regarding standards-related activities, whether adopted or proposed, within the United States

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Formula Grants

USES AND USE RESTRICTIONS:

Responds to the needs of government, industry, U.S. exporters, manufacturers, and the general public for information on domestic and foreign standards, regulations, certification procedures, and standards related activities. Information on standards, collections, copyrights and lending restrictions are provided on site. The Standards Information Center does not analyze, evaluate, or interpret standards.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; State and local government, private, public, profit organizations, nonprofit institutions and individuals.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Anyone/general public; State; Local; State and local government, private, public, profit organizations, nonprofit institutions and individuals will benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Inquiries may be made by letter to the Standards Information Center, 100 Bureau Drive, Stop 2100, Gaithersburg, MD 20899-2100, or by email to standardsinfo@nist.gov

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on the nature of the request. Response time for 90 percent of the inquiries ranges from immediate to several weeks. Trade related inquiries that depend on information from abroad may take longer for response. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

13-4650-0-4-376. 13-0500-0-1-376.

Obligations:

Formula Grants FY 20 \$90,350.00; FY 21 est \$106,969.00; FY 22 est \$107,699.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0525.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kerry Miles,
100 Bureau Drive,
Gaithersburg MD 20899
Email: Kerry.Miles@nist.gov
Phone: 3019755571

Website Address:

<https://www.nist.gov/standardsgov/what-we-do/trade-regulatory-programs/standards-information-center>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.611 Manufacturing Extension Partnership

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

15 USC Code 278k. 15 USC Code 278k-1. 15 USC Code 278l.

OBJECTIVES:

Enhance competitiveness, productivity, and technological performance in United States manufacturing. Establish, maintain, and support Manufacturing Extension Centers and services, the functions of which are to improve the competitiveness of firms by accelerating the usage of appropriate manufacturing technology by smaller U.S. based manufacturing firms, and partner with the States in developing such technical assistance programs and services for their manufacturing base.

TYPES OF ASSISTANCE:

Cooperative Agreements, Dissemination of Technical Information

USES AND USE RESTRICTIONS:

Federal funding provided under this program shall be used for the creation and support of manufacturing extension centers (MEP Centers), or used by the States to plan for and pilot test statewide extension services. It may also be used to plan for and pilot test services within a multistate region which has sufficient regional linkages to justify such services. Extension service providers shall be affiliated with a U.S. based nonprofit institution or organization, or a consortium thereof; institution of higher education; or a State, U.S. territory, local or tribal government. Funds may be used for the purpose of demonstrations, technology deployment, active transfer and dissemination of research findings and extension service expertise to a wide range of companies and enterprises, especially small manufacturers with fewer than 500 employees. Congressional funding has been provided annually since fiscal year 1988 (under Manufacturing Technology Centers and the State Technology Extension Program, which were combined into the Hollings Manufacturing Extension Partnership in fiscal year 1993).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; For MEP Center projects under 15 U.S.C. 278k, eligible applicants shall be U.S.-based nonprofit institutions or organizations or a consortium thereof; institutions of higher education; or a State, U.S. territory, local or tribal government. Applicants generally must contribute at least 50 percent of the proposed service's capital, annual operating and maintenance costs. For competitive awards under 15 U.S.C. 278k-1, eligible applicants are existing MEP Centers. For extension service planning and pilot services agreements under 15 U.S.C. 278l, eligible applicants shall be State governments or State-affiliated nonprofit organizations. For multistate regional planning and pilot services agreements, eligible applicants shall be State and local governments, representing either themselves or a consortium of States, or appropriate private or public nonprofit organizations, operating on behalf of a consortium of States or as a representative of States.

Beneficiary Eligibility:

Small business; Private nonprofit institution/organization; Small Business Person; Beneficiary shall be U.S.-based manufacturing firms, especially smaller companies.

Credentials/Documentation:

An applicant for a Manufacturing Extension Center cooperative agreement must submit a proposal in accordance with the Notice of Funding Opportunity (NOFO), which generally requires that applicants provide assurance that they will contribute 50 percent or more of the proposed Center's capital, annual operating and maintenance costs. Proposals also must contain sufficient information regarding management, technical, and technology strengths sufficient for a formal merit review of the proposal in accordance with the requirements set forth in the applicable NOFO. Applicants must have and demonstrate competence in areas for which financial assistance is requested. Costs will be determined in accordance with applicable cost principles found in 2 CFR 200 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

required for this program. This program is excluded from coverage under E.O. 12372. The extension service planning and pilot testing portion of this program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For Manufacturing Extension Centers there is no formal preapplication process. Environmental impact information is not required for the MEP Center program. The MEP Center program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit proposals in accordance with deadlines and other details as published in formal program announcements including Standard Form 424 and Commerce Department Form 511. Applicants for Manufacturing Extension Centers must follow the format set out in the applicable Federal Register Notice and accompanying Notice of Funding Opportunity. Applicants for other programs must follow the format set out in the applicable Notice of Funding Opportunity.

Award Procedure:

All timely submitted and qualified proposals are reviewed by a NIST selection panel. For proposals for Manufacturing Extension Centers, the finalists' proposals are selected on the basis of published evaluation criteria, and may undergo a site visit. For proposals for competitive awards, proposals are selected on the basis of published evaluation criteria, and may undergo a site visit. For proposals for extension services planning and pilot testing, proposals are selected on the basis of published evaluation criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Consult the applicable Federal Register Notice and/or Notice of Funding Opportunity or contact the MEP headquarters for application deadlines.

Appeals:

Not Applicable.

Renewals:

Other. For MEP Centers, future or continued funding will be based on availability of funds, on satisfactory performance, and are subject to re-competition every ten (10) years. Other awards are not eligible for renewal.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter 15, Part 278, Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. For MEP Center projects under 15 U.S.C. 278k, applicants must contribute at least 50 percent of the capital and of the annual operating and maintenance costs required to establish and operate a MEP Center. For competitive awards under 15 U.S.C. 278k-1, there is not a matching share requirement. For extension service planning and pilot services agreements under 15 U.S.C. 278l, there is not a matching requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For extension service planning and pilot testing agreements, one year. For Manufacturing Extension Centers, duration of support is up to 10 years, based upon continued positive evaluations in accordance with 15 U.S.C. 278k(g). For competitive awards, up to three years. Method of awarding/releasing assistance:

other

Reports:

Semi-annual progress and semi-annual financial reports are required, unless otherwise specified in the Notice of Funding Opportunity (NOFO) or in the terms and conditions of a specific award. Semi-annual progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution, unless otherwise specified in the Notice of Funding Opportunity (NOFO) or in the terms and conditions of a specific award. Semi-annual progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution, unless otherwise specified in the Notice of Funding Opportunity

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(NOFO) or in the terms and conditions of a specific award. Semi-annual progress and financial reports are required. Each request for reimbursement must be accompanied by a report of applicant's cost-share contribution, unless otherwise specified in the Notice of Funding Opportunity (NOFO) or in the terms and conditions of a specific award. Each awardee receives an annual performance review prior to annual renewal of Federal funding. In addition, 15 USC 278k(g) requires each MEP Center awardee to be peer reviewed by an external panel during year three and year eight of an award and to undergo a Secretarial review in year five of an award. Continued funding is predicated on satisfactory peer and Secretarial reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records of awardees or subawardees are required to be retained in accordance with 2 CFR 200.333.

Account Identification:

13-0525-0-1-376.

Obligations:

Cooperative Agreements FY 20 \$175,297,000.00; FY 21 est \$135,191,000.00; FY 22 est \$228,612,000.00;

Range and Average of Financial Assistance:

Individual awards for extension service planning and pilot testing and special project agreements generally range between \$25,000 and \$100,000. Awards for Manufacturing Extension Centers generally range between \$500,000 to \$15,000,000 annually. Competitive awards generally range between \$500,000 and \$1,000,000 per award.

TAFS Codes:

13-0525.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are codified at 15 CFR Parts 290-92. A Federal Register Notice and/or Notice of Funding Opportunity announcing the request for proposals from qualified organizations will be published when funds are available.

Regional or Local Office:

None.

Headquarters Office:

Robert Ivester,
100 Bureau Drive Stop 4800,
Gaithersburg MD 20899
Email: Robert.Ivester@nist.gov
Phone: 301-975-5200

Website Address:

<http://www.mep.nist.gov>

RELATED PROGRAMS:

11.303 Economic Development_Technical Assistance; 43.002 Technology Transfer; 59.037 Small Business Development Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected on the basis of merit. For MEP Centers, selection criteria are published in the applicable Federal Register Notice and accompanying Notice of Funding Opportunity. For other programs, selection criteria are published in the Notice of Funding Opportunity.

11.612 Advanced Technology Program

(ATP),

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Appropriation Acts,

OBJECTIVES:

To work in partnership with industry to foster the development and broad dissemination of challenging, high-risk technologies that offer the potential for significant, broad-based economic benefits for the nation.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Single for-profit company recipients can receive ATP funds for R&D activities for up to 3 years, with ATP funding not to exceed \$2 million. ATP funds may only be used to pay for direct costs for single company recipients. Single company recipients are responsible for funding all of their overhead/indirect costs. Small and medium sized companies applying as single company proposers are not required to provide cost-sharing of direct costs. Large companies applying as single company proposers, however, must cost-share at least 60 percent of the total project costs (direct plus indirect costs). A large company is defined as any business, including any parent company plus related subsidiaries, having annual revenues in excess of \$3.043 billion. (Note that this number will likely change for future competitions and, if so, will be noted in future annual announcements of availability of funds and ATP Proposal Preparation Kits.) Joint ventures can receive ATP funds for R&D activities for up to 5 years, with ATP funding a minority share of the total project costs. Joint ventures must cost-share (matching funds) more than 50 percent of the total project costs (direct plus indirect costs). Joint ventures must consist of at least two separately-owned for-profit companies, both of which are substantially involved in the R&D and both contributing towards the matching fund requirement. The joint venture may include additional for-profit companies, universities, governmental laboratories (excluding any NIST laboratory), independent research organizations, and/or nonprofit organizations, which may or may not contribute funds (other than Federal funds) to the project and perform R&D activities. The joint venture need not be a legally constituted entity but can consist of companies who simply agree to collaborate on the R&D and divide tasks. ATP funding may not be used to fund product development or be used to fund existing or planned research programs that would otherwise be conducted in the same period.

Applicant Eligibility:

Sponsored organization; Small business (less than 500 employees); U.S. businesses and U.S. joint research and development ventures. Foreign-owned businesses are eligible for funding, provided they meet the requirements of Public Law 102-245, Sec. 201(c)(6-7). Universities, government laboratories (excluding any NIST laboratory), independent research organizations, and/or nonprofit organizations, may participate as a member of a joint venture that includes at least two separately owned for-profit companies, both of which are substantially involved in the R&D and both contributing towards the matching-fund requirement.

Beneficiary Eligibility:

Small business; Sponsored organization; U.S. businesses and U.S. joint ventures; and foreign-owned businesses that meet the requirements of Public Law 102-245, Section 201(c)(6-7).

Credentials/Documentation:

Proposals with statements of work, business plans, and detailed budgets. Costs will be determined in accordance with applicable Federal costs principles found in OMB Circular Nos. A-21 for educational institutions, A-122 for nonprofit organizations, 48 CFR 31 for commercial organizations, and 45 CFR 74, Appendix E for hospitals. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposal are submitted

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under a multi-stage and sequential review process to reduce the amount of information required at one time. Required information is submitted at different stages as determinations are made by ATP that proposals have high merit based on the selection criteria. These stages in the review process are called "gates."

Proposals must pass through each gate in order to receive funding. Proposals should be submitted only in response to formal competition announcements and requests for proposals published in the "Federal Register" and "Federal Funding Opportunity" available at www.grants.gov.

Award Procedure:

Competitive award process based upon published selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. ATP awards may be renewed within the statutory time limitation based on satisfactory performance and availability of funds from Congress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding for joint research and development ventures may be provided for no more than five years. Funds for single company awards may not exceed three years. The funds are released on an advance payment basis. Continued funding is contingent on satisfactory performance and availability of appropriated funding from Congress. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Documents, papers, and financial records are required to remain available to the Federal government for 3 years from the date of submission of the final financial status report. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients are required to be maintained in accordance with the terms of the agreement.

Account Identification:

13-0525-0-1-376.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

13-0525.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Advanced Technology Program, National Institute of Standards and Technology, 100 Bureau Drive Stop 4701 Gaithersburg, MD 20899-4701. Telephone: (1-800) ATP-FUND. Fax: (301) 926-9524. E-mail: tip@nist.gov.

Headquarters Office:

Michael Privman,
100 Bureau Drive,
Gaithersburg MD 20899
Email: Michael.Privman@nist.gov
Phone: (301) 975-2686

Fax:

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.616 Technology Innovation Program (TIP)

(TIP).

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF
AUTHORIZATION:

The America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science (COMPETES) Act, Section 3012, Public Law 110-69, 15 USC Code 278n.

OBJECTIVES:

Technology Innovation Program (TIP), is a cost-shared federal financial assistance program to support, promote, and accelerate innovation in the United States through high-risk, high-reward research in areas of critical national need.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Single small or medium sized company recipients can receive up to a total of \$3 million in TIP funding for R and D activities spanning up to 3 years. TIP funds may only be used to pay direct costs. Single company recipients are responsible for funding all of their indirect/overhead costs. Small and medium sized companies applying as single company proposers must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). A large-sized company is not eligible to apply for TIP funding. Joint venture recipients can receive up to a total of \$9 million for R and D activities spanning up to 5 years. TIP funds may only be used to pay direct costs. Joint ventures are responsible for funding all of their indirect/overhead costs. Joint ventures must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs). A joint venture is a business arrangement that includes (1) at least two separately owned small-sized or medium-sized for-profit companies that are both substantially involved in the project and both of which are contributing to the cost-sharing requirement, with the lead entity of the joint venture being an eligible company as defined in the TIP regulations (15 CFR Part 296); or(2) at least one eligible company and one institution of higher education or other organization, such as a national laboratory, governmental laboratory (not including NIST), or nonprofit research institute, that are both substantially involved in the project and both of which are contributing to the cost sharing requirement, with the lead entity of the joint venture being either the eligible company or the institution of higher education.

Applicant Eligibility:

Federal; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; A U.S.-owned, single, small-sized or medium-sized company doing a majority of its business in the United States or a joint venture may apply for TIP funding. Members of joint ventures that are companies must also be doing a majority of their business in the United States. In addition, a company incorporated in the United States that has a parent company incorporated in another country may apply as either a single applicant or a joint venture member provided that certain requirements are met. Institutions of higher education, national laboratories, governmental laboratories (not including NIST), and nonprofit

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research institutions may also participate as a member of a joint venture.

Beneficiary Eligibility:

Federal; Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; A U.S.-owned, single, small-sized or medium-sized company doing a majority of its business in the United States or a joint venture may apply for TIP funding. Members of joint ventures that are companies must also be doing a majority of their business in the United States. In addition, a company incorporated in the United States that has a parent company incorporated in another country may apply as either a single applicant or a joint venture member provided that certain requirements are met. Institutions of higher education, national laboratories, governmental laboratories (not including NIST), and nonprofit research institutions may also participate as a member of a joint venture.

Credentials/Documentation:

Proposals require statements of work and detailed budgets. Costs will be determined in accordance with applicable federal cost principles found in OMB Circular Nos. A-21 for institutions of higher education, A-122 for nonprofit organizations, 48 C.F.R. 31 for commercial organizations, and 45 C.F.R. 74, Appendix E for hospitals. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted in response to formal competition announcements and requests for proposals published in the Federal Register and Grants.gov. Proposals must be prepared in accordance with the instructions in the TIP Proposal Preparation Kit.

Award Procedure:

Competitive award process based upon published evaluation and award criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. TIP awards may be renewed within the statutory time limitation based on satisfactory performance and availability of funds.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter 15, Part 296, Subpart ,Public Law .Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. Recipients must cost share at least 50 percent of the yearly total project costs (direct plus all of the indirect costs).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Single recipients may receive TIP funding for up to 3 years. Joint venture recipients may receive funding for up to 5 years. Method of awarding/releasing assistance: other

Reports:

Quarterly technical performance, impact assessment, and financial reports. Quarterly and financial reports. Quarterly technical performance, impact assessment reports. Quarterly financial reports. Quarterly technical performance and impact assessment reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits of all recipients shall be conducted in accordance with Government Auditing Standards (GAS) issued by the Comptroller General of the United States

(the Yellow Book). TIP recipients covered under OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, should have an audit performed in accordance with the requirements of the OMB Circular.

Records:

All financial and programmatic records are required to remain available to the federal government for 3 years from the date of submission of the final financial status report.

Account Identification:

13-0525-0-1-376.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

New program; therefore, no range and average available.

TAFS Codes:

13-0525.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Implementing regulations are published at 15 C.F.R. Part 296. The TIP Proposal Preparation Kit is available at www.nist.gov/tip/helpful.html. This program is also subject to the provisions of 15 C.F.R. Part 14.

Regional or Local Office:

See Regional Assistance Locations. Technology Innovation Program, National Institute of Standards and Technology, 100 Bureau Drive, Building 101, Room 1029, Gaithersburg, MD 20899-4701.

Headquarters Office:

Senior Management Advisor Michael Privman,
100 Bureau Drive,
Gaithersburg MD 20899
Email: Michael.Privman@nist.gov
Phone: 301-975-2686

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Prior to discontinuation, proposals were selected for funding based on the evaluation criteria listed in 15 C.F.R. 296.21 and the award criteria listed in 15 C.F.R. 296.22. Additionally, no proposal was funded unless TIP determined that it has scientific and technical merit and that the proposed research has strong potential for addressing a societal challenge within the TIP-identified areas of critical national need.

11.619 Arrangements for Interdisciplinary Research Infrastructure

Not applicable.

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF
AUTHORIZATION:

15 USC Code 271, et seq., National Institute of Standards and Technology Act, as amended. 15 USC Code 3706.

OBJECTIVES:

To establish a strengthen new and/or existing centers, consortia, or other arrangements for interdisciplinary research infrastructure where NIST, academic, industry and/or other federal researchers will collaborate on emerging areas of

ASSISTANCE LISTINGS DESCRIPTIONS

basic and applied research on innovations in measurement science, standards, technology, and/or data.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds must be expended on interdisciplinary research infrastructure to further scientific research and catalyze technology and knowledge transfer in scientific areas relevant to NIST and emerging areas of national need.

Applicant Eligibility:

Government - General; Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public and private institutions of higher education, public and private hospitals, and other quasi-public and private non-profit organizations such as, but not limited to, community action agencies, research institutes, educational associations, and health centers. The term may include commercial organizations, foreign or international organizations (such as agencies of the United Nations) which are recipients, subrecipients, or contractors or subcontractors of recipients or subrecipients at the discretion of the DoC. The term does not include government-owned contractor-operated facilities or research centers providing continued support for mission-oriented, large-scale programs that are government-owned or controlled, or are designated as federally-funded research and development centers.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Local; School; Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Public and private institutions of higher education, public and private hospitals, and other quasi-public and private nonprofit organizations such as, but not limited to, community action agencies, research institutes, educational associations, and health centers. The term may include commercial organizations, foreign or international organizations (such as agencies of the United Nations) which are recipients, subrecipients, or contractors or subcontractors of recipients or subrecipients at the discretion of the DoC. The term does not include government-owned contractor-operated facilities or research centers providing continued support for mission-oriented, large-scale programs that are government-owned or controlled, or are designated as federally-funded research and development centers.institutions of research and/or education, professional institutes and associations, non-profit organizations, state and local governments, and commercial organizations.

Credentials/Documentation:

Any credentials/documentation in addition to those required by 2 CFR Part 200

will be described in the applicable Notice of Funding Opportunity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should reply to specific Notices of Funding Opportunities posted at www.grants.gov.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Regular programs approval times are from 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Other. Future or continued funding will be at the discretion of NIST, based on such factors as satisfactory performance and the availability of funds. Renewals are competed whereas continuations of multi-year agreements are not subject to further competition.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Usually one to five years in length. Method of awarding/releasing assistance: other

Reports:

Semi-annual and final financial status reports are required; unless specified in the award document. From time to time, and in accordance with the Uniform Administrative Requirements and other terms and conditions governing the award, the recipient may need to submit property and patent reports. Semi-annual and final financial status reports are required; unless specified in the award document. Annual technical progress reports are required; unless specified in the award document. Semi-annual and final financial status reports are required; unless specified in the award document. Annual technical progress reports are required; unless specified in the award document. From time to time, and in accordance with the Uniform Administrative Requirements and other terms and conditions governing the award, the recipient may need to submit property and patent reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 NIST, the DoC Office of Inspector General or another authorized Federal agency may conduct an audit of an award at any time.

Records:

All financial and programmatic records of are required to be retained by recipients and subawardees in accordance with 2 CFR 200.333.

Account Identification:

13-0500-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$31,425,329.00; FY 21 est \$163,441,639.00; FY 22 est \$169,005,005.00;

Range and Average of Financial Assistance:

Dependent upon nature and type of grant

TAFS Codes:

13-0500.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200

Regional or Local Office:

None.

Headquarters Office:

Jessica Strickler,
100 Bureau Drive,
Gaithersburg MD 20899
Email: Jessica.Strickler@nist.gov
Phone: 3019758938
Fax:

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary with competition. Specific criteria listed in the Notices of Funding Opportunities posted at www.grants.gov.

11.620 Science, Technology, Business and/or Education Outreach

FEDERAL AGENCY:

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

15 USC Code 272, National Institute of Standards and Technology Act, as amended. 15 USC Code 278g-1. 15 USC Code 278g-2. 15 USC Code 638, Aid to Small Business, Research and Development.

OBJECTIVES:

To evaluate the benefits and impacts of NIST research and development efforts and/or evaluate and/or stimulate technological innovation in the private sector, strengthen the role of small businesses in meeting Federal research and development needs, and/or increase private sector commercialization of innovations derived from NIST research and development efforts and/or NIST's Small Business Innovation Research Program; also, to support innovative approaches and methods for science and/or technology education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To evaluate the benefits and impacts of NIST research and development efforts and/or evaluate and/or stimulate technological innovation in the private sector, strengthen the role of small businesses in meeting Federal research and development needs, and/or increase private sector commercialization of innovations derived from NIST research and development efforts and/or NIST's Small Business Innovation Research Program; also, to support innovative approaches and methods for science and/or technology education.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Anyone/general public; Public and private institutions of higher education, public and private hospitals, and other quasi-public and private non-profit organizations such as, but not limited to, community action agencies, research institutes, educational associations, and health centers. The term may include commercial organizations, foreign or international organizations (such as agencies of the United Nations) which are recipients, subrecipients, or contractors

or subcontractors of recipients or subrecipients at the discretion of the DoC. The term does not include government-owned contractor-operated facilities or research centers providing continued support for mission-oriented, large-scale programs that are government-owned or controlled, or are designated as federally-funded research and development centers

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Public and private institutions of higher education, public and private hospitals, and other quasi-public and private non-profit organizations such as, but not limited to, community action agencies, research institutes, educational associations, and health centers. The term may include commercial organizations, foreign or international organizations (such as agencies of the United Nations) which are recipients, subrecipients, or contractors or subcontractors of recipients or subrecipients at the discretion of the DoC. The term does not include government-owned contractor-operated facilities or research centers providing continued support for mission-oriented, large-scale programs that are government-owned or controlled, or are designated as federally-funded research and development centers, institutions of research and/or education, professional institutes and associations, non-profit organizations, state and local governments, and commercial organizations

Credentials/Documentation:

Any required credentials/documentation will be announced in a federal funding opportunity announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should reply to Notices of Funding Opportunities at posted at www.grants.gov.

Award Procedure:

Award process based upon published selection criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Regular programs approval times are from 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Other. Future or continued funding will be at the discretion of NIST, based on such factors as satisfactory performance and the availability of funds. Renewals are competed whereas continuations of multi-year agreements are not subject to further competition.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Usually one to five years in length. Method of awarding/releasing assistance: other

Reports:

Quarterly and final financial status reports are required; unless specified in the award document. Annual technical progress reports are required; unless specified in the award document. From time to time, and in accordance with the Uniform Administrative Requirements and other terms and conditions governing the award, the recipient may need to submit property and patent reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 NIST, the DoC Office of Inspector General or another authorized Federal agency

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may conduct an audit of an award at any time.

Records:

All financial and programmatic records are required to be retained by recipients and awardees in accordance with 2 CFR 200.333.

Account Identification:

13-0525-0-1-376. 13-0500-0-1-376.

Obligations:

Cooperative Agreements FY 20 \$17,341,035.00; FY 21 est \$23,811,017.00; FY 22 est \$19,030,000.00;

Range and Average of Financial Assistance:

Dependent upon nature and type of grant

TAFS Codes:

13-0500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200

Regional or Local Office:

None.

Headquarters Office:

Brandi Toliver,
100 Bureau Drive,
Gaithersburg MD 20899
Email: Brandi.Toliver@nist.gov
Phone: 301-975-2371
Fax: Brenda Thomasson,
100 Bureau Drive,
Gaithersburg MD 20899
Email: Brenda.Thomasson@nist.gov
Phone: 3019753084
Fax:

Website Address:

<http://www.nist.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria vary with competition. Specific criteria are listed in the Notices of Funding Opportunities posted at www.grants.gov.

11.802 Minority Business Resource Development

FEDERAL AGENCY:

MINORITY BUSINESS DEVELOPMENT AGENCY, COMMERCE,
DEPARTMENT OF

AUTHORIZATION:

Executive Order 11625, October 13, 1971, , 15 USC Code 1512.

OBJECTIVES:

The objectives of the overall program are to 1) provide financial assistance awards for projects that affect or contribute to the establishment, preservation and strengthening of minority business enterprises (MBEs) as directed by congressional mandate, and 2) promote the development of novel approaches to increasing the strategic growth and global competitiveness of MBEs through the administration of pilot or demonstration projects.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Program funds in this category are restricted to the uses stipulated by congressional mandate, or for the specific uses identified as part of a pilot or demonstration

project.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants eligible to provide services under pilot or demonstration projects are nonprofit organizations, for-profit firms, State and local governments, Native American Tribal entities, and educational institutions. Applicants for congressionally mandated projects are those specifically identified in applicable legislation.

Beneficiary Eligibility:

Minority group; Black; American Indian; Spanish Origin; Asian; Other Non-White; Congressionally mandated award beneficiaries are members of the minority business community. Pilot or demonstration project beneficiaries are minority business enterprises.

Credentials/Documentation:

Recipients are subject to the administrative requirements contained in 15 CFR Part 14 or 15 CFR Part 24, depending on the type of recipient. Eligible costs under the award will be determined in accordance with 2 C.F.R. part 220 (2007) (OMB Circular A-21, Cost Principles for Educational Organizations), 2 C.F.R. part 225 (2007) (OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments) and 2 C.F.R. part 230 (2007) (OMB Circular A-122, Cost Principles for Nonprofit Organizations). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. MBDA will conduct a pre-application conference for all grant competitions.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application packages will be made available on Grants.gov. Applicants must submit a program narrative, and applicable standard forms (SF) and Department of Commerce (DOC) forms. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

MBDA makes the funding recommendation to the Grants Officer. The Grants Officer will review the recommendation, process the funding request and execute the notice of award. Name checks, verification of academic credentials and pre-award audits may be required of the recipient prior to award. Electronic notification of award will be generated and forwarded to the selected recipient by the Grants Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Unsuccessful and successful applicants shall be notified in writing.

Appeals:

Other. All decisions are final. There are no administrative appeals, however, unsuccessful applicants may request debriefing from MBDA.

Renewals:

Other. Renewals are not anticipated, but may be considered based on Agency priorities, funding availability and performance of recipients.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 30%. Requirement: Matching requirements are mandatory. Congressionally mandated awards typically do not require matching funds. Pilot

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projects may require non-Federal cost-sharing in the form of in-kind contributions, cash and/or program fees. Cost share requirements are outlined in the applicable Federal Register, Federal Funding Opportunity announcement and Grants.gov.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Congressionally mandated awards are typically funded for a one-year period. Pilot or demonstration projects may be funded as single-year or multi-year awards. Method of awarding/releasing assistance: other

Reports:

Progress Reports (semi-annual and annual) reporting requirements. Financial Reports (quarterly and annual) reports are required. At a minimum, a comprehensive final report is required. A completed SF-425 financial report is required quarterly. MBDA conducts periodic performance monitoring reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, audits may be conducted by the Department of Commerce Office of Inspector General and by other authorized federal agencies.

Records:

Documents, papers and financial records of grantees or subrecipients relating to the award are required to remain available to the Federal Government for three years from the date of submission of the final financial status report.

Account Identification:

13-0201-0-1-376.

Obligations:

Project Grants FY 20 \$6,000,000.00; FY 21 est \$5,900,000.00; FY 22 est \$590,000.00;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

13-0201. 13-0201. 13-0201. 13-0201. 13-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Exceed Performance Goals Fiscal Year 2021: Will meet Performance Goals Fiscal Year 2022: Will meet Performance Goals

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact Minority Business Development Agency Headquarters

Headquarters Office:

Program Manager Nakita Chambers,
1401 Constitution Avenue NW,
Washington DC 20230

Email: nchambers@mbda.gov

Phone: 2024820065

Website Address:

<http://www.mbda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made based on a panel evaluation of the applications. This evaluation includes such factors as capability and experience of staff assigned to the project, techniques and methodology, resources and costs.

11.804 MBDA Business Center - American Indian

and Alaska Native

FEDERAL AGENCY:

MINORITY BUSINESS DEVELOPMENT AGENCY, COMMERCE,
DEPARTMENT OF

AUTHORIZATION:

Executive Order 11625, , 15 USC Code 1512.

OBJECTIVES:

The purpose of the MBDA Business Center - American Indian and Alaska Native program is to provide strategic deal making and business consulting services to eligible American Indian and Alaska Native (AIAN) firms and Minority Business Enterprises (MBEs). This program supports the Agency mission of promoting the growth and competitiveness of U.S. businesses that are minority owned. The program is a key component of MBDA's overall portfolio of minority business development services, focusing on securing large public and/or private sector contracts and financing transactions, stimulating job creation and retention, and facilitating entry to global markets for "eligible minority-owned businesses"

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program funds in this category are to be used by program operators to provide services including, but not limited to, development of a pool of contracting and financing opportunities; direct matching of opportunities with qualified/vetted AIAN firms and MBEs; execution of relationship management and deal sourcing initiatives by industry; assisting AIAN firms and MBEs in accessing global market opportunities; identification and securing of alternative sources of capital for AIAN firms and MBEs; promotion of the advantages of achieving size and scale; educating AIAN firms and MBEs on the benefits of strategic alternatives (i.e. mergers, acquisitions, joint ventures and/or divestitures); and provision of service referrals to AIAN firms and MBEs of all sizes. The uses and use restrictions are more specifically defined in the applicable Federal Funding Opportunity announcement.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants eligible to operate an MBDA Business Center are nonprofit organizations, for-profit firms, State and local governments, Native American Tribal entities, and educational institutions.

Beneficiary Eligibility:

Minority group; Profit organization; Industrialist/ Business person; Black; American Indian; Spanish Origin; Asian; Other Non-White; Federally Recognized Indian Tribal Governments; The MBDA Business Center program serves all eligible minority business enterprises through direct services or through strategic partner referral. Eligible beneficiaries of this program are: Native Americans and Alaska Natives (including Alaska Natives, Alaska Native Corporations and Tribal entities), African Americans, Hispanic Americans, Asian and Pacific Islander Americans, Asian Indians and Hasidic Jews. See 15 C.F.R. 1400.1-2 and Executive Order 11625.

Credentials/Documentation:

Applicants must submit proof of legal entity, for example, State-issued Certificate of Good Standing, copy of registered Articles of Incorporation, by-laws, IRS 501 (c)(3) tax-exempt letter, authorizing legislation or other evidence of applicant entity legal status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication conferences are scheduled for all MBDA program

ASSISTANCE LISTINGS DESCRIPTIONS

competitions.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications must be submitted electronically via Grants.Gov. Applicants must submit a program narrative, detailed budget and budget narrative and applicable standard forms (SF) and Department of Commerce (DOC) forms. Application packages will be made available on Grants.gov.

Award Procedure:

Each application will be reviewed and evaluated by MBDA. The Agency will make funding recommendations to the Grants Officer. The Grants Officer will review the recommendations, process the funding requests and execute the notices of award. Name checks, verification of academic credentials and pre-award audits may be required of the recipient prior to or following an award. Electronic notification of award will be generated and forwarded to the selected recipient by the Grants Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Unsuccessful applicants will be notified in writing within ten days of notification to the successful applicant.

Appeals:

Not Applicable. All decisions are final. There are no administrative appeals; however, unsuccessful applicants may request a debriefing from MBDA.

Renewals:

From 60 to 90 days. MBDA Business Center awards are typically made for a period of five years, with funding renewed on an annual basis at the discretion of MBDA and the Department of Commerce based upon the availability of funds, the recipients performance level, and Agency priorities. In FY18 the AIAN Program ended and there was not a competition.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are generally made for a five-year period, but may be made for a shorter period at the discretion of MBDA. Method of awarding/releasing assistance: other

Reports:

Program compliance reports are required. Financial (semi-annual and annual) reports are required. Performance progress reports (semi-annual and annual/final) are required for each program year. Performance monitoring will be conducted by MBDA throughout the duration of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits shall be performed in accordance with audit requirements contained in the Uniform Administrative Requirements, Cost Principles and Audit Requirements found in 2 CFR part 200, Subsection F. Recipients expending \$750,000 or more in federal funds during the recipients fiscal year must conduct a single audit in accordance with guidelines outlined in 2 CFR 200.500-.520. For-profit organizations not covered by the audit requirements in 2 CFR 200.500-.520 are subject to the audit requirements set forth in the terms and conditions of the award. Recipients that expend less than \$750,000 during the recipients fiscal year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Applicants are also reminded that other audits may be conducted by the Department of Commerce Office of Inspector General and by other authorized federal agencies.

Records:

Documents, papers and financial records of grantees or subrecipients relating to the award are required to remain available to the Federal Government for three years from the date of submission of the final financial status report, unless a longer retention period is required under 15 CFR Section 14.53 or 15 CFR Section 24.42 (depending on the type of recipient).

Account Identification:

13-0201-0-1-376.

Obligations:

Cooperative Agreements FY 20 \$39,000,000.00; FY 21 est \$3,900,000.00; FY 22 est \$3,900,000.00;

Range and Average of Financial Assistance:

\$300,000

TAFS Codes:

13-0201. 13-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Program Manager Nakita Chambers,
1401 Constitution Avenue NW,
Washington DC 20230
Email: nchambers@mbda.gov
Phone: 2024820065

Website Address:

<http://www.mbda.gov>

RELATED PROGRAMS:

11.805 MBDA Business Center; 11.802 Minority Business Resource Development;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted are competitively evaluated and awarded. Selection criteria includes such factors as capability and experience of staff assigned to the project, techniques and methodology, resources, costs, and alignment with program priorities. Past performance under an MBDA, Department of Commerce or other federal award may also be considered when selecting proposals for an MBDA Business Center award. In FY18 the AIAN Program ended and there was not a competition.

11.805 MBDA Business Center

Business Center Program,

FEDERAL AGENCY:

MINORITY BUSINESS DEVELOPMENT AGENCY, COMMERCE,
DEPARTMENT OF
AUTHORIZATION:

Executive Order 11625, 15 USC Code 1512.

OBJECTIVES:

The Minority Business Development Agency (MBDA), a bureau of the U.S. Department of Commerce, will provide Federal assistance to support innovative projects seeking to promote and ensure the growth of minority enterprises. The MBDA Business Center Program provides technical assistance and business development services to minority business enterprises (MBEs).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program funds in this category are to be used by MBDA Business Center operators to provide services including, but not limited to, development of a pool of

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contracting and financing opportunities; direct matching of opportunities with qualified/vetted MBEs; execution of relationship management and deal sourcing initiatives; assisting MBEs in accessing global market opportunities; identification and securing of alternative sources of capital for MBEs; promotion of the advantages of achieving size and scale; educating MBEs on the benefits of strategic alternatives (i.e. mergers, acquisitions, joint ventures and/or divestitures); and provision of service referrals to MBEs of all sizes. The uses and use restrictions are more specifically defined in the applicable Announcement of Federal Funding Opportunity (FFO).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants eligible to operate MBC projects are nonprofit organizations, for-profit firms, State and local governments, Native American Tribal entities, and educational institutions.

Beneficiary Eligibility:

Minority group; Small business; Profit organization; Industrialist/ Business person; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; Federally Recognized Indian Tribal Governments; The Minority Business Center program serves all eligible minority business enterprises through direct services or through strategic partner referral. Eligible beneficiaries of this program are: African Americans, Hispanic Americans, Asian and Pacific Islander Americans, Native Americans (including Alaska Natives, Alaska Native Corporations and Tribal entities), Asian Indians and Hasidic Jews. See 15 C.F.R. 1400.1-.2 and Executive Order 11625.

Credentials/Documentation:

Recipients are subject to the uniform administrative requirements contained in 15 C.F.R. Part 14 or 15 C.F.R. Part 24, and in 2 C.F.R. Part 215 (OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Other Non-Profit Organizations) and OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments, depending on the type of recipient. Eligible costs under the award will be determined in accordance with 2 C.F.R. part 220 (OMB Circular A-21, Cost Principles for Educational Organizations), 2 C.F.R. part 225 (OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments), 2 C.F.R. part 230 (OMB Circular A-122, Cost Principles for Nonprofit Organizations) or 48 C.F.R. Part 31 for commercial organizations and for those organizations listed in Attachment C to OMB Circular A-122. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication conferences will be scheduled for all MBDA program competitions.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications must be submitted electronically via Grants.Gov. Applicants must submit a program narrative, detailed budget and budget narrative and applicable standard forms (SF) and Department of Commerce (DOC) forms. Application packages will be made available on Grants.gov.

Award Procedure:

Each application will be reviewed and evaluated by MBDA. The Agency will make funding recommendations to the Grants Officer. The Grants Officer will review the recommendations, process the funding requests and execute the notices of award. Name checks, verification of academic credentials and pre-award audits may be required of the recipient prior to or following an award. Electronic notification of award will be generated and forwarded to the selected recipient by the Grants Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Unsuccessful applicants shall be notified in writing within ten days of notification to the successful applicant.

Appeals:

Not Applicable. All decisions are final. There are no administrative appeals; however, unsuccessful applicants may request a debriefing from MBDA.

Renewals:

From 60 to 90 days. MBC awards are typically made for a period of five years, with funding renewed on an annual basis at the discretion of MBDA and the Department of Commerce based upon the availability of funds, the MBCs performance level, and Agency priorities.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Cost share requirements are outlined in the applicable Announcement of Federal Funding Opportunity. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are generally made for a five-year period, but may be made for a shorter period at the discretion of MBDA. Method of awarding/releasing assistance: other

Reports:

Program compliance reports are required. Financial (quarterly and annual) reports are required. Performance progress reports (semi-annual, annual, and final) are required for each program year. Financial Performance monitoring will be conducted by MBDA throughout the duration of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits shall be performed in accordance with audit requirements contained in the Uniform Administrative Requirements, Cost Principles and Audit Requirements found in 2 CFR part 200, Subsection F. Recipients expending \$750,000 or more in federal funds during the recipients fiscal year must conduct a single audit in accordance with guidelines outlined in 2 CFR 200.500-.520. For-profit organizations not covered by the audit requirements in 2 CFR 200.500-.520 are subject to the audit requirements set forth in the terms and conditions of the award. Recipients that expend less than \$750,000 during the recipients fiscal year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Applicants are also reminded that other audits may be conducted by the Department of Commerce Office of Inspector General and by other authorized federal agencies.

Records:

(i) Financial reports are to be submitted to the Department of Commerce Grants Office on a semi-annual basis. A final financial report is due within ninety (90) days after expiration of the award. (ii) Performance narrative reports are to be submitted to the Federal Program Officer within forty-five (45) days after the end of the second program quarter of each funding period. (iii) An annual or final performance report is due forty-five (45) days after the end of each funding period. (iv) Expense summary reports are to be submitted to Federal Program Officer within forty-five (45) days after the end of each quarter of each funding period. (v) Additional reporting requirements are set forth in the FFO. Failure to submit reports on time may result in MBDA award enforcement action or delay in access to federal funds.

Account Identification:

13-0201-0-1-376.

Obligations:

Cooperative Agreements FY 20 \$17,567,563.00; FY 21 est \$20,000,000.00; FY

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22 est \$18,000,000.00; Cooperative Agreements FY 20 \$17,567,563.00; FY 21 est \$20,000,000.00; FY 22 est \$18,000,000.00; Cooperative Agreements FY 20 \$17,567,563.00; FY 21 est \$20,000,000.00; FY 22 est \$18,000,000.00;

Range and Average of Financial Assistance:

375,000 - 415,000 (annual funding)

TAFS Codes:

13-0201. 13-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Will meet Performance Goals Fiscal Year 2021: Will meet Performance Goals Fiscal Year 2022: Will meet Performance Goals

REGULATIONS, GUIDELINES, AND LITERATURE:

MBDA is in compliance with 2 CFR 200

Regional or Local Office:

None. MBDA has a Headquarter Office

Headquarters Office:

Program Manager Nakita Chambers,
1401 Constitution Avenue NW,
Washington DC 20230
Email: nchambers@mbda.gov
Phone: 2024820065

Website Address:

<http://www.mbda.gov>

RELATED PROGRAMS:

11.802 Minority Business Resource Development; 11.804 MBDA Business Center - American Indian and Alaska Native;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted are competitively evaluated and awarded. Selection criteria includes such factors as capability and experience of staff assigned to the project, techniques and methodology, resources, costs, and alignment with program priorities. Past performance under an MBDA, Department of Commerce or other federal award may also be considered when selecting proposals for an MBC award.

11.999 Marine Debris Program

FEDERAL AGENCY:

NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION,
COMMERCE, DEPARTMENT OF

AUTHORIZATION:

Marine Debris Research, Prevention, and Reduction Act, , 33 USC Code 1951 - 1958.

OBJECTIVES:

To provide grants and cooperative agreements to help identify, determine sources of, assess, reduce, and prevent marine debris and its adverse impacts on the marine environment and navigation safety within the coastal United States, territories, and Freely Associated States. Awards made under this program will remove marine debris from coastal habitats; explore non-regulatory incentives to reduce the quantity and impacts of derelict fishing gear; support regional coordination among marine debris practitioners, states, and tribes; conduct outreach and education activities aimed at preventing marine debris; develop interagency plans to respond to severe marine debris events and other natural disasters; assess debris composition, volume, and trajectory in different marine and coastal ecosystems; conduct research and development on marine debris impacts and distribution; and estimate the potential impacts of marine debris on coastal resources, habitats, and economies.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

Financial assistance can be used to support the implementation of locally-driven marine debris projects that achieve the objectives of the program listed above.

These generally include prevention, identification and assessment, research, and removal projects including reducing and preventing fishing gear loss that will benefit coastal habitat, waterways, and NOAA trust resources. Education and outreach projects directed at stakeholders and the general public are also high priorities for this program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants for assistance include 1) state and local governments including public universities and colleges; 2) U.S. territorial agencies and organizations; 3) federally and State-recognized Indian Tribal governments; 4) private universities and colleges; 5) private / commercial for-profit organizations; and 6) nonprofit research and conservation organizations.

Beneficiary Eligibility:

Federal; Other private institution/organization; Anyone/general public; State; Other public institution/organization; Federally Recognized Indian Tribal Governments; This program benefits Federal, State, and interstate marine resource conservation and management agencies; U.S. Territories and Freely Associated States; U.S. and foreign commercial and recreational fishing industries; conservation organizations, academic institutions; international and Indian Tribal treaties; private and public research groups; consumers; and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOC/NOAA standards and regulations as it relates to applying for and administering financial assistance, including routine and special terms and conditions, for financial assistance programs application and conduct. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by the appropriate NOAA subject matter experts, and are subject to review for technical merit, soundness of design, competency of the applicant to perform the proposed work, potential contribution of the project to national or regional goals, and appropriateness and reasonableness of proposed costs. Projects approved for funding will be submitted to the NOAA Grants Management Division and the Department of Commerce's Office of Federal Assistance for review and approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Refer to the federal funding opportunities posted on www.grants.gov for specific submission and deadline information.

Appeals:

Not Applicable. N/A

Renewals:

From 60 to 90 days. Grants and cooperative agreements are approved on an annual basis. Financial assistance may be continued beyond the original project period, but

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cannot exceed 5 years. Such extensions depend on NOAA approval of the projects scope of work, satisfactory progress toward project goals, and availability of agency funds. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. The Marine Debris Act Section 3 (c) (2) (a) requires that Federal funds for any grant under this section must not exceed 50 percent of the total cost of such project. The non-Federal share of project costs may be provided by in-kind contributions and other non-cash support. The Marine Debris Act allows the NOAA Administrator to waive all or part of the matching requirement in certain instances. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods are normally for 12-24 months, but may vary. Award funds must be spent in the indicated budget period and expended in accordance with DOC/NOAA finance and reporting procedures. See the following for information on how assistance is awarded / released. Method of awarding/releasing assistance: other

Reports:

The terms and conditions of the financial assistance awarded through these grant programs require regular progress reporting and communication of project accomplishments to MDP. Progress reports contain information related to, among other things, the overall short and long-term goals of the project, project methods and monitoring techniques, actual accomplishments (such as tons of debris removed from an ecosystem, numbers of volunteers participating in a cleanup project, etc.), status of approved activities, challenges or potential roadblocks to future progress, and lessons learned. This information collection enables MDP to monitor and evaluate the activities supported by federal funds to ensure accountability to the public and to ensure that funds are used consistent with the purpose for which they were appropriated. It also ensures that reported information is standardized in such a way that allows for it to be meaningfully synthesized across a diverse set of projects and project types. MDP uses the information collected in a variety of ways to communicate with federal and non-federal partners and stakeholders on individual project and general program accomplishments. The terms and conditions of the financial assistance awarded through these grant programs require regular financial reporting via federal standard form SF-425. Performance monitoring is required as referenced in 2 CFR 200.328 and other associated terms and conditions applicable at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, a recipient is required to retain records relating to a particular grant for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period; whichever is latest.

Account Identification:

13-1450-0-1-306.

Obligations:

Salaries and Expenses FY 20 \$2,671,113.00; FY 21 est \$3,196,798.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

Range: \$15,000 - \$250,000 Average: \$90,000

TAFS Codes:

13-1450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FY2020 Marine Debris Removal; \$1,298,805; 10 projects supported. FY2020 Marine Debris Prevention; \$1,372,308; 13 projects supported. Fiscal Year 2021: FY2021 Marine Debris Removal; \$1,765,018; 10 projects supported. FY2021 Marine Debris Research; \$1,431,780; 5 projects supported.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

NOS Grants Management Advisory Committee Representative Kadija Baffoe-Harding,
1305 East West Highway SSMC 4 Rm 13267,
Silver Spring MD 20910
Email: Kadija.BaffoeHarding@noaa.gov
Phone: 301-713-3050
Fax: 301-713-4292

Website Address:

<http://www.marinedebris.noaa.gov>

RELATED PROGRAMS:

11.469 Congressionally Identified Awards and Projects; 11.457 Chesapeake Bay Studies; 11.463 Habitat Conservation; 11.441 Regional Fishery Management Councils;

EXAMPLES OF FUNDED PROJECTS:

2020 The NOAA Marine Debris Program regularly provides funding to support eligible organizations to conduct research directly related to marine debris through field, laboratory, and modeling experiments. 2021 The NOAA Marine Debris Program regularly provides funding for projects that create long-term, quantifiable ecological benefits and habitat improvements through on-the-ground marine debris removal activities, with highest priority for those targeting derelict fishing gear and other medium- and large-scale debris. The NOAA Marine Debris Program also supports projects that actively engage and educate a target audience (such as students, teachers, recreational fisher communities, state or local governments, industries, elected officials, etc) in hands-on programs designed to raise awareness, reduce barriers to marine debris prevention (e.g., lack of access to waste receptacles or alternatives to single-use items), and encourage and support changes in behaviors to ensure long-term prevention of marine debris.

CRITERIA FOR SELECTING PROPOSALS:

Applications for marine debris removal projects are funded through competitive solicitations for proposals, non-competitive awards, or unsolicited applications for which no competition exists. Proposals are evaluated by at least three individual technical reviewers and scored according to the criteria and weights described in the specific funding opportunity description. These criteria are: Importance and Applicability; Technical/Scientific Merit; Overall Qualifications of Applicants; Project Costs; and Outreach and Education. Reviewer comments, composite project scores, and a rank order of reviewed proposals are presented to the NOAA Marine Debris Program Selecting Official. The Selecting Official, in consultation with NOAA Marine Debris Program staff, will identify the proposals to be recommended to the NOAA Grants Management Division for funding and will determine the amount of funds available for each approved proposal.

DEPT OF DEFENSE

12.002 Procurement Technical Assistance For Business Firms

Procurement Technical Assistance Program (PTAP),

FEDERAL AGENCY:

DEFENSE LOGISTICS AGENCY (DLA), DEPT OF DEFENSE

AUTHORIZATION:

The Procurement Technical Assistance Program was established by section 1241 of the Department of Defense Authorization Act for Fiscal Year 1985., , Public Law 98-525. 10 USC Code 2411-2420.

OBJECTIVES:

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To expand the number of businesses capable of participating in government contracts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Defense Logistics Agency (DLA) awards cooperative agreements to eligible program participants who establish and maintain Procurement Technical Assistance Centers (PTACs). The PTACs serve as a resource for businesses to obtain information and training related to contracting opportunities with DoD, other federal agencies, state and local governments and with government prime contractors.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants. Only those entities listed in this section are eligible to apply.a.States. State means a State of the United States, the District of Columbia, a territory or possession of the United States, an agency or instrumentality of a State, and a multi-State, regional, or interstate entity having governmental duties and powers (refer to 10 U.S.C. 2411(1)(A)).b.Local governments. Local government, means a unit of government in a State, a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, an interstate entity, or another instrumentality of a local government (refer to 10 U.S.C. 2411(1)(B)).c.Private nonprofit organizations. Private nonprofit organization means an entity which is exempt from federal income taxation under Section 501 of the Internal Revenue Code, and no part of its earnings inure to the benefit of any private shareholder or individual, and no substantial part of its activities is carrying on propaganda or otherwise attempting to influence legislation or participating in any political campaign on behalf of any candidate for public office (refer to 10 U.S.C. 2411(1)(C)). d.Economic enterprises. Economic enterprise means any Indian-owned (as defined by the Secretary of the Interior) commercial, industrial, or business activity established or organized for profit purposes or for nonprofit purposes. Provided, that such Indian ownership constitutes not less than 51 percent of the enterprise (refer to 10 U.S.C. 2411(1)(D)).e.Tribal organizations. Tribal organization means the recognized governing body of any Indian tribe; any legally established organization of Indians which is controlled, sanctioned, or chartered by such governing body, or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of Indians in all phases of its activities. Provided, that in any case where a cooperative agreement is made to an organization to perform services benefiting more than one Indian tribe, the approval of each such Indian tribe shall be a prerequisite to the making of such cooperative agreement (refer to 10 U.S.C. 2411(1)(D)).

Beneficiary Eligibility:

Small business; Profit organization; Anyone/general public; Native American Organizations; Small Business Person; Any established US business who wants to sell goods or services to any US government agency.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21, "Cost Principles for Educational Institutions," and OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. see funding announcement listed at [grants.gov](https://www.dla.mil/SmallBusiness/PTAP/)

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Funding opportunities, including application instructions, are posted at www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

Merit-based, competitive procedures are used to evaluate applications and make awards. Funding Opportunity Announcements include the criteria that will be used to evaluate applications submitted in response to the announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

From 60 to 90 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 10, Chapter 142, Part , Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Non-Federal cost sharing requirements generally range between 25 and 40%. Refer to the Funding Opportunity Announcement for more information.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, announcements are five-year funding opportunities, which will usually consist of a one-year base period and four subsequent, one-year option periods.

Method of awarding/releasing assistance: other

Reports:

The Federal Financial Report (SF 425) -submitted quarterly The Procurement Technical Assistance Center Cooperative Agreement Performance Report (DLA Form 1806) - submitted quarterly

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The records retention period is 6 years.

Account Identification:

97-0100-0-1-051.

Obligations:

Cooperative Agreements FY 20 \$44,500,000.00; FY 21 est \$48,000,000.00; FY 22 est \$52,000,000.00;

Range and Average of Financial Assistance:

The smallest award is about \$100,000 and serves businesses in one or a few counties and the largest is \$1,000,000 and serves businesses throughout an entire state.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Procurement Technical Assistance Centers (PTAC)s provided one-on-one assistance to businesses virtually during the stay-at-home orders caused nationwide by the COVID-19 pandemic. PTACs were designated essential critical infrastructure to the Defense Industrial Base and were used extensively to disseminate information from government to businesses. PTACs assisted businesses entering or re-entering the government marketplace due to a downturn in their commercial business caused by the pandemic. PTACs directed suppliers to the solicitations used by the government to procure critical supplies and equipment to support the pandemic response and helped them understand how to submit an offer to provide the supplies.

REGULATIONS, GUIDELINES, AND LITERATURE:

refer to <https://www.dla.mil/SmallBusiness/PTAP/>

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Sherry Savage, DLA Office of Small Business,
8725 John J. Kingman Road, Suite 1127,
Fort Belvoir VA 22060
Email: PTAP@dla.mil
Phone: 5717670192

Website Address:

<https://www.dla.mil/SmallBusiness/PTAP/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Funding was used to operate a center where businesses receive assistance with submitting offers and complying with terms and conditions of contracts with local or federal government agencies. During the COVID-19 pandemic, center employees predominantly provided remote-only assistance and served businesses virtually. Assistance includes a wide range of support including identifying which agencies buy what the business sells, applying for socioeconomic certifications, understanding the end-to-end contracting process, set-asides, terms and conditions, how to get paid, and what to do when being audited. The centers also host events designed to help businesses network with each other and with government procurement personnel. Due to the COVID-19 pandemic, most events were accomplished using virtual meeting and matchmaking software.

CRITERIA FOR SELECTING PROPOSALS:

Merit-based, competitive procedures are used to evaluate applications and make awards. Funding Opportunity Announcements include the criteria that will be used to evaluate applications submitted in response to the announcement.

12.003 Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation

Community Economic Adjustment Assistance for Military Installation Resilience,

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

Executive Order Identify problems of States, regions, metropolitan areas, or communities that result from major Defense base closures, realignments, and Defense contract-related adjustments, and the encroachment of the civilian community on the mission of military installations and that require Federal assistance., Title EO 12788, Defense Economic Adjustment Program, Section 3(a), Public Law 115th-115-232, Military Base Reuse Studies and Community Planning Assistance USC Code 10 USC 2391(b)(1)(E).

OBJECTIVES:

Assist State and local governments to review existing capabilities supporting military installations and develop strategies to protect resources necessary to enhance resilience of military installations, defined as the capability of a military installation to avoid, prepare for, minimize the effect of, adapt to, and recover from extreme weather events, or from anticipated or unanticipated changes in environmental conditions, that do, or have the potential to, adversely affect the military installation or essential transportation, logistical, or other necessary resources outside of the military installation that are necessary in order to maintain, improve, or rapidly reestablish installation mission assurance and mission-essential functions, in order to maintain, improve, or rapidly reestablish installation mission assurance and mission-essential functions of the military installation in support of the National Defense Strategy. Grantees and participating governments are expected to adopt and implement the identified recommendations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Planning, Community Development Respond to threats to military installation

resilience caused by lack of necessary resources outside the military installation which can adversely affect the military installation and its operations supporting the National Defense Strategy through a military installation resilience review. The State and/or local government partners with the military installation to plan and carry out strategies promoting protection of critical resources adjacent to installations, ranges, and military flight corridors which are vital to military installation resilience. The review includes a strategic plan with specific implementation actions to ensure military installation resilience are compatible with, and supportive of, vital training, testing, and other military missions.

Applicant Eligibility:

State; Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Interstate, Intrastate, State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals).

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; Applicants for this assistance are to contact the Office of Local Defense Community Cooperation and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, and special purpose units of a State or local government are eligible for this assistance if the Director, Office of Local Defense Community Cooperation determines that the capability of a military installation to avoid, prepare for, minimize the effect of, adapt to, and recover from extreme weather events, or from anticipated or unanticipated changes in environmental conditions is at risk due to lack of necessary resources outside the military installation.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. Applicants must document their intent to work with the Military Department, Federal, State, and local officials, residents, businesses, and landowners to cooperatively participate in the development and implementation of a strategic plan and specific implementation measures to protect community resources critical to enhance military installation resilience to maintain, improve, or rapidly reestablish installation mission assurance and mission-essential functions of the military installation. Applicants also must show evidence that the proposed planning process and implementation measures will further protect community resources necessary to ensure military installation resilience. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed by Office of Local Defense Community Cooperation staff. The Office of Local Defense Community Cooperation may consult with other Federal agencies as necessary in consideration of an application. Any issues or concerns noted in the application may be negotiated with the applicant prior to the application being accepted as final by the Office of Local Defense Community Cooperation. Awards by the Director, Office of Local Defense Community Cooperation, will be made on the basis of an approved final application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. To the extent practicable, the Office of Local Defense

ASSISTANCE LISTINGS DESCRIPTIONS

Community Cooperation will inform an applicant of approval within seven business days of the receipt of a final, completed application for planning assistance, and thirty business days of the receipt of a final, completed application for other types of assistance to carry out community adjustments or economic diversification programs. The Office of Local Defense Community Cooperation will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: Other, a minimum of ten percent (10%) of the project's total proposed funding is to be comprised of non-Federal sources. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods may occur over a 12 to 24 month period. Method of awarding/releasing assistance: Funds are disbursed quarterly or as required. Method of awarding/releasing assistance: other

Reports:

Program reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of monitoring will be identified in the "Terms and Conditions" of the award. Progress reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of monitoring will be identified in the "Terms and Conditions" of the award. Expenditure reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of monitoring will be identified in the "Terms and Conditions" of the award. Performance reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Standard Federal audit requirements apply, as appropriate to the type of recipient. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the Grantees fiscal year

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

97-0100-0-7-051.

Obligations:

Project Grants FY 20 \$5,847,801.00; FY 21 est \$8,857,885.00; FY 22 est \$15,000,000.00;

Range and Average of Financial Assistance:

\$50,000 - \$500,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Protection from flooding, tidal flooding, stormwater flooding, sea level rise, storm surge, extreme weather events, stormwater management, and wildfires; energy infrastructure resiliency; improved transportation networks; and water sustainability.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any subrecipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of title 2, Code of Federal Regulations (CFR), Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR Part 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards ; Part 25 of title 32, CFR, OMB Circular A-133; Audits of States, Local Governments and Non-Profit Organizations; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

None. Regional Director/Western Region Office of Local Defense Community Cooperation/Department of Defense 1325 J Street, Suite 1500/Sacramento, CA 95814/Phone: (916) 557-7365

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: 703-697-2161
Fax:

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Example Project 1. Enable civilian authorities to work with their local installation to identify high priority transportation corridors and impact to the local mission from vulnerability of these routes to water threats (e.g., storm surge, wave action, high water levels, tidal action, storm water, and groundwater) through a community-based planning process yielding actionable enhancements following consideration of environmentally and economically feasible improvements to optimize the sustainability of the local mission. Position civilian authorities to carry out solutions by leveraging public and private resources to enhance the resiliency of the local installation. Example Project 2. Enable civilian authorities to work with their local installation to conduct a resiliency review of an 11-mile water line as the main source of water for the local mission, including a business plan of investment and sustainment strategies to ensure the long-term reliability of the line. Local planning effort included development of a GIS-based query tool to improve awareness among stakeholders and an educational symposium to raise awareness across military and civilian stakeholders. Example Project 3. Enable civilian authorities to work with their local installation to conduct an energy and water resilience study to identify and analyze vulnerabilities to critical and aging infrastructure; points of failure (energy and water); transportation limitations; need for water importation; seismic, flood, wind, heat, extreme weather, drought and chronically overdrawn water table as natural risks; communication risks; and to create an action plan to support the continued mission readiness of the installation. July 2019 magnitude 6.4 and 7.1 earthquakes affected installation and region highlighting several vulnerabilities. 2022 The Office of Local Defense Community Cooperation requested Military Installation Resilience Nominations from the

ASSISTANCE LISTINGS DESCRIPTIONS

Military Services in June 2021. A Military Installation Resilience Federal Funding Opportunity Notice is expected to be published in CY2021. The Fiscal Year 2022 Military Installation Resilience projected projects will come from the projects derived from those documents.

CRITERIA FOR SELECTING PROPOSALS:

Any selection criteria will be indicated in each individual announcement.

12.004 Fort Huachuca Sentinel Landscapes for Military Training

Sentinel Landscapes,

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

Cooperative Agreements for Management of Cultural Resources USC Code 10 USC 2684.

OBJECTIVES:

Objective 1: Future external encroachment mitigation through conservation of open space and working lands. Objective 2: Expand DoD use of military ranges through restoration of habitat for sensitive species outside installation boundaries. Objective 3: Create expanded ground operations capabilities for military operations adjacent federal managed lands.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

To support conservation and landowner development.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Sole Source Award to the US Endowment. Organizations website is below: www.usendowment.org

Beneficiary Eligibility:

Federal; State; Anyone/general public; Federal, State and Private Land owners may benefit from recognition and monetary incentives.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This will be awarded sole source to the US Endowment for management of the Sentinel Landscape. Website is below: www.usendowment.org

Award Procedure:

This will be awarded sole source to the US Endowment for management of the Sentinel Landscape. Website is below: www.usendowment.org

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Federal Partners must fund at least 20%. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Period I: November 5, 2018 to November 4, 2019. Period II: November 5, 2019 to November 4, 2020. Period III: November 5, 2020 to November 4, 2021. Period IV: November 5, 2021 to November 4, 2022. Method of awarding/releasing assistance: lump sum.

Reports:

Provide quarterly reports of expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be kept for 6 years.

Account Identification:

21-2020-1-0-018.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$13,000.00; FY 21 est \$13,000.00; FY 22 est \$13,000.00;

Range and Average of Financial Assistance:

Funding in the amount of \$13,000 will be provided annually.

TAFS Codes:

21-2020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Coordinator has worked with the FHSLP Communications Committee to compile and complete four quarterly newsletters to date. The newsletters are shared among the Partnerships agencies and network. Additionally, the coordinator is working with NRCS partners to complete the Tools for Effective Landowner Engagement process which began in January 2021 with a completion date of December 2021. Fiscal Year 2021: 8 - FSHLP Steering Committee Meetings (every other month, unless issues arise) 12 Communications Working Group Meetings (every month, unless issues arise) 6 Sentinel Landscapes Restoration Partnership Meetings (every other month, unless other issues arise) 4 Open-Space and Working Lands Group Meetings (quarterly, unless other issues arise) 6 Tools for Effective Landowner Engagement Meetings (for follow-up and follow through on the project)

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Agreements Officer Nancy Johnson,
Auger Street Bldg #22208,
Fort Huachuca AZ 85613
Email: nancy.e.johnson.civ@mail.mil
Phone: 520 533-1464
Fax:

Website Address:

<http://www.usendowment.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Expanded DoD use of military ranges through restoration of habitat for sensitive species outside installation boundaries. In FY 20, removed non-native species in an effort to restore native aquatic species. Removed/thinned non-native trees as part of a restoration project. 2021 Partnered with both the Bureau of Land Management and the Arizona State Land Department to help find and provide secure databases for Fort Huachuca Sentinel Landscape sensitive geospatial information. These relationships continue to develop and presentations at the Steering Committee Meetings continue to determine the best option for the Partnership.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

This will be awarded sole source to the US Endowment for management of the Sentinel Landscape. Website is below:www.usendowment.org

12.005 Conservation and Rehabilitation of Natural Resources on Military Installations

Sikes Act,

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

16 USC Code 670a-670o, 74 Stat. 1052, as amended, Public Law 86-797.

OBJECTIVES:

The Sikes Act attempts to ensure that fish, wildlife and other natural resources that exist on and are associated with military lands in the United States are protected and enhanced. The Sikes Act is written such that conservation activities are promoted while allowing military lands to continue to meet the needs of military operations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To conserve and rehabilitate natural resources on Army military Installations

Applicant Eligibility:

U.S. Territories and possessions; State; U.S. Territories and possessions (includes institutions of higher education and hospitals); Federal; Federal and State agencies having responsibility for the conservation or management of fish and wildlife. Centers for natural lands management, universities, etc.

Beneficiary Eligibility:

Federal; Local; State; Public in general

Credentials/Documentation:

Requirements will be added to announcements in Grants.gov. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Follow instructions posted on Grants.gov Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Award procedures will be detailed on Grants.gov postings.

Deadlines:

review funding opportunities for all relevant deadlines

Range of Approval/Disapproval Time:

Not Applicable. N/A

Appeals:

Not Applicable. N/A

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Yearly, based on availability of funding Method of awarding/releasing assistance: other

Reports:

Will be described on individual Grants.gov postings Will be described on individual Grants.gov postings Will be described on individual Grants.gov postings

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Will be described on individual Grants.gov postings

Account Identification:

21-2020-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$56,700,000.00; FY 21 est \$34,700,000.00; FY 22 est \$91,400,000.00;

Range and Average of Financial Assistance:

\$76,000 - \$25M

TAFS Codes:

21-2020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Assist PACAF installations in meeting installation natural and cultural resource requirements per their established INRMPs. This support benefits the personnel living on and recreating on the installations, ensures tribal partners are engaged when applicable, and protects the wildlife, flora, and cultural sites that are present at the PACAF installations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Will be described on individual Grants.gov postings

Regional or Local Office:

None. See posting on Grants.gov for available locations

Headquarters Office:

Chief, Strategic Operations Division Robin A. Baldwin,

441 G. Street. NW,

Washington DC 20314-1000

Email: Robin.A.Baldwin@usace.army.mil

Phone: 2027618645

Fax:

Website Address:

https://www.fws.gov/fisheries/sikes_act

RELATED PROGRAMS:

12.130 Estuary Habitat Restoration Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Shoreline Stabilization; Natural Resources Support, Edwards Air Force Base; PACAF Natural and Cultural Resources Support for Alaska and Pacific.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria will be outlined in each individual announcement

12.006 National Defense Education Program

STEM Education and Workforce Development Initiatives,

FEDERAL AGENCY:

DEPARTMENT OF DEFENSE, DEPT OF DEFENSE

AUTHORIZATION:

America Creating Opportunities to Meaningfully Promote Excellence in Technology Education, and Science Reauthorization Act of 2010., Title America

COMPETES Reauthorization Act of 2010, Part a, Section 1, Improvement of education in technical fields: general authority regarding education in science,

mathematics, and engineering USC Code 2192. Educational Partnerships USC Code 2194. Manufacturing Experts in the Classroom USC Code 2197.

Department of Defense cooperative education programs USC Code 2195.

Manufacturing Engineering Education Program USC Code 2196. Improvement of

education in technical fields: grants for higher education in science and

mathematics USC Code 2193.

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

To obtain support for the management, development, implementation, evaluation, and furthering of new and existing partnerships between the Department of Defense and its National Defense Education Program (NDEP) for Science, Technology, Engineering, and Mathematics (STEM) education and outreach activities, and other educational outreach efforts, as well as STEM workforce development initiatives across the K through College continuum, to include skilled trades and advanced manufacturing that do not require four-year degrees. The scope will cover all 50 states, U.S. territories, and DoDEA schools.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

1. Develop, implement, recommend, manage, evaluate, and support science, technology, engineering and mathematics (STEM) education and outreach programs, other educational outreach programs, as well as workforce development initiatives aligned to DoD's National Defense Education Program (NDEP), and to the Federal STEM strategic plan. Partnerships between academia, industry, nonprofit organizations, and local educational agencies are strongly encouraged.2. Develop, implement, manage and evaluate programs that demonstrate an effective integration of math across science and technology subject areas.3. Develop and implement exploratory pilot programs that seek to create new educational experiences for students in STEM, especially those from underserved populations to include military connected students and schools.4. Develop larger cohesive STEM education and outreach activities that strengthen the capacity of regional/local communities and stakeholders to leverage partnerships.5. Develop, implement, manage, and evaluate manufacturing engineering education program (MEEP) at various levels from pre-college, to collegiate levels of undergraduate and graduate that prepares participants for realistic job previews.6. Develop activities that exposes participants to the necessary technical and problem solving skills for competency in cyber, promote/encourage computational and digital literacy.7. Encourage partnerships in the STEM ecosystem to develop and implement STEM education and outreach programs with a holistic approach to understanding real-world applications.8. Develop trans-disciplinary activities which creates understanding of innovation entrepreneurship in the development of new technologies and technology transfer.9. Provide training, marketing and outreach videos.10. Provide programmatic support to the NDEP STEM programs/initiatives for students, teachers, parents, and schools.11. Informing K-12 and post-secondary students/learners of the possibility of a career in the STEM fields so as to insure that a viable workforce will be available from which DoD and the Nation can recruit future scientists, engineers, and other STEM professionals.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Small business (less than 500 employees); Profit organization; Please refer to funding opportunity announcement for specific eligibility requirements. Profit is not allowed.

Beneficiary Eligibility:

Anyone/general public; Minority group; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); General public: students, parents, learners, teachers/educators in K-12 schools and post-secondary institutions.

Credentials/Documentation:

Applicants should reference the funding announcement on grants.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Funding opportunity announcement may require a two-step process in white paper submission and full technical proposals. See funding opportunity for directions. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After merit review is completed, awards will be negotiated, and POCs will be contacted.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

Other. Appeals are not applicable to this assistance listing and funding opportunity announcement.

Renewals:

>180 Days. Grant awards are typically 3-year duration. Awardees may apply and compete for renewal or extension after the 3-year period, if funding opportunity is made available.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The awards will be three to four year duration by letter of credit. Method of awarding/releasing assistance: letter

Reports:

Grant recipients will be required to submit financial and performance (technical) reports. All financial reports and performance (technical) reports shall be submitted to the WHS Grants Officer and to the DoD STEM Director. Electronic submission of performance reports is preferred. Performance reports will be submitted on a quarterly schedule and must be submitted no later than 30 days following the end of each quarter from the start date of the award. The comprehensive final progress report is due 90 days after the award expiration. Award terms will outline the submission process and schedule for all financial and cash reports. SF270 Quarterly progress reports indicating program and budget execution to date. SF425 Annual and end of award report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Only as required and necessary if not covered by 2 CFR 200.

Records:

Recipients are required to maintain records adequate to document costs incurred. Financial records, supporting documents, statistical records and all other records pertinent to an agreement will be retained for a period of 3 years. The retention period starts from the date of the submission of the final expenditure report or, for grants that are renewed annually, from the date of the submission of the annual financial status report. The recipient will allow access to any pertinent books, documents, papers, and records of the recipient organization and their sub-recipients to make audits, examinations, excerpts and transcripts.

Account Identification:

97-0400-0-1-120.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$30,000,000.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Project Grants (Cooperative Agreements) FY 20 Not Available; FY 21 est \$45,000,000.00; FY 22 Estimate Not Available; Project Grants (Cooperative Agreements or Contracts) FY 20 Not Available; FY 21 Estimate Not Available; and FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

Awards will vary in duration and amount. Awards will be up to 4-years period of performance, and up to \$6,000,000 total award amount for project period.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In the 2019-2020 solicitation cycle, the Department of Defense and its National Defense Education Program (NDEP) announced the selection of 12 awardees for the National Defense Education Program Science, Technology, Engineering, and Mathematics (STEM) awards. These distinguished educational and industry partners will receive more than \$31 million over a three-year period to establish or expand STEM education, outreach, and workforce initiatives for students and educators from early childhood through postsecondary. The goal of this effort is to establish programs to better position the current and next-generation STEM workforce, which is critical to the Department and our Nations security. To learn more about each of these award, please visit our DoD STEM website at <https://www.dodstem.us/meet/blog/entries/national-defense-education-program-stem-awardees/>. Fiscal Year 2021: The National Defense Education Program (NDEP) successfully published a funding opportunity announcement in DEC of 2020, for the 2020-2021 solicitation cycle. Grant awards will be completed and made publicly available by SEP/OCT 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal STEM Strategic Plan/DoD STEM Strategic Plan

Regional or Local Office:

None.

Headquarters Office:

Louie Lopez,
4800 Mark Center Drive,
Alexandria VA 22350
Email: louie.r.lopez.civ@mail.mil
Phone: 5713726715

Fax:

Website Address:

<https://dodstem.us>

RELATED PROGRAMS:

12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART);

EXAMPLES OF FUNDED PROJECTS:

2021 NDEP STEM Grant Recipient Project Abstracts can be found on DoD STEM website: <https://www.dodstem.us/meet/blog/entries/national-defense-education-program-stem-awardees/>. Funded projects range from providing educational opportunities to students across the K-20 education continuum, to providing teacher professional development at the K-12. Sample activities include web-based portal where students can interact for free with virtual STEM professionals in DoD priority areas; transforming early childhood science teaching based upon DoDEA College and Career Ready Standards for Science to measurably increase student science, literacy, and math achievement through high-impact STEM educational experiences for students and teachers; introducing undergraduates to restricted research initiative; build-up the effectiveness of teachers while providing innovative student engagement as levers of change in the STARward STEM program that is purposefully designed to ignite students passion for STEM while illuminating new and exciting STEM career paths by starting early, incorporating technology, and using innovative partnerships to fuel this mission; and leveraging existing college and community strengths and resources, the program will create new engagement experiences by providing clear pathways for students to explore STEM options and train for STEM careers

CRITERIA FOR SELECTING PROPOSALS:

Criteria for the review and selection of proposals will be published in the funding opportunity.

12.007 Military Health Services Research (MHSR)

FEDERAL AGENCY:

DEFENSE HEALTH AGENCY (DHA), DEPT OF DEFENSE

AUTHORIZATION:

10 USC Code 2358, 2371,2371a.

OBJECTIVES:

The Military Health System Research Initiative, provides intramural grants for topic areas directed by the Office of the Assistant Secretary of Defense for Health Affairs (OASD (HA)) and the Defense Health Agency (DHA). The intent of this initiative is to foster capability and capacity within the Military Health System (MHS) by fostering research that supports the transition to an integrated health system focused on the Quadruple Aim, improved health readiness, better health, better care, and lower cost. Military Health System Research (MHSR) seeks studies that identify factors that affect the economics/cost, quality, variation and outcomes of military health care delivery. This includes how policies, social factors, financing systems, organizational structures and processes, health technologies, behaviors influence the health care delivery to individuals, families, communities, and populations. The goal is to identify the factors which influence the efficiency and effectiveness of delivery of care. Knowledge obtained from this research supports evidence-based policy and decision-making at the strategic and front-line levels. This Funding Opportunity Announcement (FOA) seeks rigorous health system research through collaborations that have potential innovate military and potentially civilian health care. The goal is to enhance data-driven evidence that optimizes the MHS delivery of health care and improvement of beneficiary health.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Uses and restrictions are specified in individual FOAs

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Minority group; State; Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); The eligibility for applicants will be in accordance with the individual FOA. Generally, competitions are open to private and public educational institutions that carryout science and engineering research and/or related science and engineering education; however, competition may be limited to a class of such institutions, such as Historically Black Colleges and Universities or other minority institutions (HBCUs/MIs). Some competitions may allow eligibility to other types of organizations, such as for-profit applicants. However, awards are not made to individuals.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each program announcement, FOA, or other notice of funding opportunity tells potential proposers who is eligible to apply, what activities will be supported, in which science and engineering areas they will be supported, and how to apply. Some announcements also may require white papers before submission of full proposals. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Award decisions are based on a competitive selection of proposals, using a technical merit review. Evaluation criteria are specified in the relevant announcement. If selected for award, the proposal is the basis for the award document and the applicant agrees to perform the research or research related activities (e.g., science or engineering education or research infrastructure-building activities) described therein.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 120 to 180 days.

Appeals:

Other. Appeal procedures are stated in applicable program announcements, FOAs, or other notices of funding opportunities.

Renewals:

Other. As specified in individual program announcements, FOAs, or other notices of funding opportunities, applications for competitive new awards are permitted

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for the length stated in individual FOA or other notice of funding opportunities. Method of awarding/releasing assistance: lump sum.

Reports:

Individual program announcement, FOAs, or other notices of funding opportunities specify report requirements for program reports Individual program announcement, FOAs, or other notices of funding opportunities specify report requirements for cash reports Individual program announcement, FOAs, or other notices of funding opportunities specify report requirements for progress reports Individual program announcement, FOAs, or other notices of funding opportunities specify report requirements for expenditure reports Individual program announcement, FOAs, or other notices of funding opportunities specify report requirements for performance reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required.

Account Identification:

09-7202-0-2-020.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$10,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

See individual FOA's for expected range of award accounts (generally vary from \$750,000 to \$1,000,000 over 2 year period of performance).

TAFS Codes:

97-0130.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No new projects funded due to Covid 19, so no accomplishment to report. Examples of projects that could be funded resulting in accomplishments include studies that identify factors that affect the economics/cost, quality, variation and outcomes of military health care delivery. This includes how policies, social factors, financing systems, organizational structures and processes, health technologies, behaviors influence the health care delivery to individuals, families, communities, and populations.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program announcements, FOAs, or other notices of funding opportunities issued by executive agents. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Nick Ceciliani,
16401 E Centretex Parkway,
Aurora CO 80012

Email: nicholas.r.ceciliani.civ@mail.mil

Phone: (303)676-3997

Fax:

Website Address:

<https://www.grants.gov/web/grants/view-opportunity.html?oppld=330852>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No new projects funded due to Covid 19. Examples of projects that could be funded include studies that identify factors that affect the economics/cost, quality, variation and outcomes of military health care delivery. This includes how policies, social factors, financing systems, organizational structures and processes, health technologies, behaviors influence the health care delivery to individuals, families, communities, and populations.

CRITERIA FOR SELECTING PROPOSALS:

As specified in individual program announcements, FOAs, or other notices of funding opportunities. Generally, the principal evaluation and selection criteria are the technical merits of the proposed research (or research-related activity) and its potential relationship in the long term to missions of the Department of Defense. Other selection criteria vary with the nature of the specific research or research-related activity. Typical examples include: Qualifications of the principal investigator and other key personnel to perform the proposed activity; adequacy of current or planned facilities and equipment to do so; and realism and reasonableness of proposed costs.

12.008 Dredged Material Containment Area

Ditching Within the Dredged Material Containment Area,

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

Management practices to extend capacity and useful life of dredged material disposal areas, , 33 USC Code 419a. Assistance programs, Title 33 USC, Part 23, Section 39

OBJECTIVES:

inspect, ditch and drain dredged material containment areas related to dredging operations performed by U.S. Army Corps of Engineers (USACE), Savannah District in the Savannah Harbor

TYPES OF ASSISTANCE:

Project Grants (Special)

USES AND USE RESTRICTIONS:

ditching and draining of surface waters as part of their protection of the public health and welfare against the breeding of mosquitoes and other pest in the same locale as the disposal sites.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Single Source Utilized - Chatham County is the only reasonable source to perform the requirements. The County already has a well-established and comprehensive program in place and operating in the location of the disposal area. The comprehensive program operated by Chatham County, with the necessary specialized equipment including long reach pontoon excavators, already undertakes ditching and draining of surface waters as part of their protection of the public health and welfare against the breeding of mosquitoes and other pest in the same locale as the disposal sites.

Beneficiary Eligibility:

Local; The USACE Civil Works mission includes implementing management practices for disposal areas.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

This is a single source award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This is a yearly requirement Method of awarding/releasing assistance: quarterly

Reports:

Recipient shall submit progress reports annually utilizing the form included in Appendix A of this agreement. Reports are due no later than 30 days following the end of each reporting period. A final performance progress report shall be submitted within 90 days after the expiration date of the award. On a monthly basis, one set of the daily reports of all operations performed for the prior month shall be submitted to Operations Division, Navigation Management Branch. Payments will be made on a reimbursable basis for actual costs incurred. Recipient shall submit a Request for Advance or Reimbursement (SF-270) along with other required documentation to the Governments Agreement Administrator (see paragraph 1.2.1) no more frequently than monthly. USACE shall have the right to inspect the work to be performed at any time prior to commencement, during progress, and upon completion.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The Recipient shall submit a Federal Financial Report (SF-425) on an annual basis. Reports are due no later than 30 days following the end of each reporting period. A final SF-425 shall be submitted within 90 days after the expiration date of the award.

Account Identification:

96-3123-0-0-000.

Obligations:

Project Grants (Special) FY 20 \$511,000.00; FY 21 est \$511,000.00; FY 22 est \$97,417.00;

Range and Average of Financial Assistance:

500000-100000

TAFS Codes:

96-3123.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Ensured adequate containment areas for dredged materials in the Savannah Harbor. Ditched and drained surface water in order to protect public health and welfare against the breeding of mosquitoes and other pest in the same locale as the disposal sites.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ellisha Kamau,
100 AWest Ogalthorpe Ave,
Savannah GA 31401

Email: ellisha.r.kamau.civ@mail.mil

Phone: 912 652-6123

Fax: Chief, Strategic Operations Division Robin A. Baldwin,

441 G. Street. NW,

Washington DC 20314-1000

Email: Robin.A.Baldwin@usace.army.mil

Phone: 2027618645

Fax:

Website Address:

<https://www.sas.usace.army.mil/About/Divisions-and-Offices/Operations-Division/Savannah-Harbor-Maintenance/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Savannah Harbor dredged material containment area inspections.

CRITERIA FOR SELECTING PROPOSALS:

This is a single source award to Chatham County.

12.010 Youth Conservation Services

Youth Conservation Services,

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

Water Resources Development Act of 2000, Title 33, Part 2339, Section 213(a), Statute 114-2593, Public Law -, 33 USC Code 2339.

OBJECTIVES:

To further training and educational opportunities at water resources development projects under the jurisdiction of the Secretary, the Secretary may enter into cooperative agreements with non-Federal public and nonprofit entities for services relating to natural resources conservation or recreation management.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Provide young adults and veterans with real life work experiences in the stewardship of the environment in all fifty states by offering opportunities for education, leadership and personal development to the Recipients participants, while providing a high quality public service in natural resources/recreation management and conservation.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); None.

Beneficiary Eligibility:

Private nonprofit institution/organization; None.

Credentials/Documentation:

Documentation that an organization's purpose is strongly related or solely for the purpose of youth/student/veteran education in an conservation oriented environment. Proof of past performance in the areas of resource management, conservation, recreation management, visitor services and cultural resources and assistance to youth. Proof of non-profit status is required. Each organization submitting an application will be required to follow the grants.gov registration requirements in advance of submitting an application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Portions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply in accordance with 2 CFR 1103.100. The Department of Defense Grants and Cooperative Agreements Regulations apply.

Award Procedure:

The Government will perform an initial review to determine that the applicant is (1) eligible in accordance with the announcement; (2) all information required by the announcement has been submitted; and (3) all mandatory requirements are satisfied. The specific review and award criteria are identified in the announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Individual requirements will specified in the cooperative agreement issued by the Grants Officer. Method of awarding/releasing assistance: other

Reports:

Recipient will be required to submit progress, financial, and property reports quarterly, semi-annually, or annually as stipulated in the terms and conditions of the final cooperative agreement. All reporting shall be in accordance with 32 CFR Part 32 and/or as specified by the Task Order. Recipient will be required to submit progress, financial, and property reports quarterly, semi-annually, or annually as stipulated in the terms and conditions of the final cooperative agreement. All reporting shall be in accordance with 32 CFR Part 32 and/or as specified by the Task Order. The recipient will conduct a quantitative evaluation, qualitative feedback and voluntarily disclosed demographic information from Recipient members and USACE. Recipient will monitor performance, trouble-shoot problem areas, and create solutions for future recruitment activities

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records and, when required participate in audits, in accordance with the provisions of 2 CFR 200 et seq (2 CFR Subpart F for audits) as applicable through 2 CFR 1103.100 and any applicable regulations contained in the Department of Defense Grants and Cooperative Agreements Regulations.

Account Identification:

96-3123-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$103,000.00; FY 21 est \$3,400,000.00; FY 22 est \$3,400,000.00;

Range and Average of Financial Assistance:

\$18,000 - \$2M

TAFS Codes:

96-3123.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Provided environmental stewardship interns for wildlife monitoring and forest monitoring in the Rivers Project Office, MO.; Natural

resource management education and training of botanically sophisticated restoration workers outreach to local schools to benefit natural resource goals with the Walama Restorations Project; and Kennedy High School habitat restoration.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Chief, Strategic Operations Division Aglae Cantave,
441 G. Street, NW,
Washington DC 20314-1000
Email: aglae.cantave@usace.army.mil
Phone: 202-761-8646

Website Address:

<http://www.usace.army.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Natural Resources Training and Education at Mill Creek and Tr- Rivers. 2021 Natural Resources Management Education and Training of Area Youth at Fern Ridge Lake, Eugene.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection proposals will be provided in the the opportunity announcement on www.grants.gov

12.011 DOD HIV/AIDS Prevention Program

DHAPP,

FEDERAL AGENCY:

DEFENSE HEALTH AGENCY (DHA), DEPT OF DEFENSE

AUTHORIZATION:

Public Law 108-25

OBJECTIVES:

The Department of Defense HIV/AIDS Prevention Program (DHAPP) is responsible for assisting foreign military partners with the development and implementation of culturally focused, military-specific HIV/AIDS prevention, care, and treatment programs in more than 55 countries around the globe

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental U.S. organizations and educational institutions, to carry out activities that support HIV/AIDS prevention programs in militaries around the world. Funds are generally limited for direct support of activities conducted outside the United States

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization;

Beneficiary Eligibility:

Federal; Beneficiaries are primarily foreign militaries

Credentials/Documentation:

In accordance with the DoD Grant and Agreement Regulations (DoDGARs) at 32 CFR 34.17, costs will be determined for-profit entities costs in accordance with Subpart 31.2 of the Federal Acquisition Regulation (FAR) and Subpart 231.2 of the Department of Defense FAR Supplement (DFARS). Applicants must be registered in System for Award Management (SAM). Also, the applicant, its principals, and proposed subrecipients/subrecipient principals must not appear on the SAM Exclusions website as currently debarred, suspended, or otherwise ineligible 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Information will be listed on the posted Program Announcement on www.grants.gov

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The award procedure will be outlined on the Program Announcement on grants.gov

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding will reflect the period of performance and may be awarded incrementally. Normally, projects are funded for one fiscal year at a time. Funds are normally provided in individual grants according to a quarterly schedule of payments. Method of awarding/releasing assistance: Funding may be awarded incrementally. Method of awarding/releasing assistance: other

Reports:

Refer to information on the Program Announcement on grants.gov Refer to information on the Program Announcement on grants.gov Refer to information on the Program Announcement on grants.gov

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

19-1030-0-1-551.

Obligations:

Project Grants FY 20 \$55,000,000.00; FY 21 est \$55,000,000.00; FY 22 est \$58,000,000.00;

Range and Average of Financial Assistance:

\$200,000 to \$7,000,000

TAFS Codes:

97-1031.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Met with key partners like PEPFAR to determine provisional major program areas and other technical assistance needs. Adapted DHAPP support to prevention, care, and treatment of HIV/AIDS after assessing a country's epidemic, and more specifically, in that country's military. Leveraged assets with other country partners who had successful prevention, care, and treatment efforts. Implemented and monitored programs to ensure accountability and sustainability.

REGULATIONS, GUIDELINES, AND LITERATURE:

DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200

which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements

Regional or Local Office:

None.

Headquarters Office:

Eric Hoe,
Defense Health Agency DoD HIV/AIDS Prevention Program (DHAPP) Naval Health Research Center Gate 4, Patterson Rd at McClelland Rd Barracks Bldg. 304 Room 201,
San Diego CA 92152
Email: eric.h.hoe.civ@mail.mil
Phone: 619-400-9130

Fax:

Website Address:

<https://www.grants.gov/web/grants/view-opportunity.html?oppld=329198>

RELATED PROGRAMS:

12.350 Department of Defense HIV/AIDS Prevention Program;

EXAMPLES OF FUNDED PROJECTS:

2021 DHAPP's objective, funded through the PEPFAR & DOD program, is to save lives, prevent HIV infections, and accelerate progress toward achieving HIV/AIDS epidemic control and to support the development of interventions and programs in military health systems that address these issues. DHAPP works with militaries of foreign countries to devise plans based on the following process: Meet with key partners in country to determine provisional major program areas and other technical assistance needs. Adapt DHAPP support to a country's need for prevention, care and/or treatment of its HIV/AIDS situation based on an assessment of the country's epidemic, and more specifically, in that country's military. Strengthen the military capacity for ownership and behavioral changes over the long term. Consider program design by leveraging assets with other country partners who have/had successful prevention, care, and/or treatment efforts. Focus on prevention, care and/or treatment impact aligned with national implementation plans. Implement and monitor programs to ensure accountability and sustainability.

CRITERIA FOR SELECTING PROPOSALS:

Applicants for an award should be aware of the specific military's HIV control activities and propose a plan that builds on military's activities without duplicating efforts, creating parallel systems, or conflicting activities. Criteria is stated in the DHAPP Program Announcement on grants.gov

12.013 Defense Civilian Training Corps (DCTC) - Scholarship/Internship

DCTC,

FEDERAL AGENCY:

IMMEDIATE OFFICE OF THE SECRETARY OF DEFENSE, DEPT OF DEFENSE

AUTHORIZATION:

Establishment of Defense Civilian Training Corps, Title National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2020, Section 860, Public Law 116-92, 10 USC Code 2000g-j. Title Consolidated Appropriations Act for FY 2020, Public Law 116-93, 10 USC Code 2200.

OBJECTIVES:

To establish a civilian training corps organized into one or more units, at any accredited civilian educational institution authorized to grant baccalaureate degrees. DCTC's purpose is to prepare selected students for public service in DoD occupations relating to acquisition, science, engineering, or other civilian occupations determined by the Secretary of Defense, and to target critical skill gaps. As a scholarship-based program providing a direct path to a STEM and public service career, DCTC will draw from a diverse population and be a natural educational capstone to nation-wide kindergarten through grade 12 (K-12) STEM programs. With a strong corps framework, DCTC will stand apart from other scholarship programs, inspiring a diverse and talented applicant pool. DCTC

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graduates will strengthen DoDs human capital environment, contributing a uniquely-trained cohort experienced in STEM and DoD technical challenges, and growing the DCTC model into a prestigious pipeline for STEM and other critical DoD needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

University Host: 1. To establish and maintain a Public Service Department as an integral department of the school and to adopt as part of its curriculum. 2. To require each student enrolled in the DCTC unit to devote the number of hours to the military science curriculum as agreed to by the school and the Secretary of Defense 3. To grant degree credit for DCTC courses and to list DCTC course grades on student transcripts. Credit for DCTC courses will be reviewed on the same basis as other institutional courses. If applicable credit is in question, the institution will recommend adjustments to ensure such courses are granted credit. 4. To arrange for the scheduling of classes within the public service curriculum so that they will be just as convenient for the student to attend as other courses at the same educational level. 5. To include a representative of the Public Service Department on all faculty committees that directly affect the Department. 6. To provide a full-time school employee under the supervision of the Head of the Public Service Department to serve as liaison for the DCTC unit regarding administration. 7. To provide at no cost to the DoD, the necessary and adequate classrooms, administrative offices and equipment, areas for computers and printing equipment with secure storage space, access to gymnasium and fitness facilities, physical training field, storage area, and other required facilities. 8. To provide janitorial and grounds upkeep, parking space for government and staff vehicles, and other required support in the same manner and measure that is provided to the other departments of the school. 9. To provide printing and publication support, information technology equipment/support and information assurance support services that ensures protection of the data and systems. To provide unrestricted access to government networks or systems in support of the DCTC mission. 10. To provide without expense to the DoD, adequate storage facilities for all U.S. property provided for the DCTC program. It is agreed that such facilities will be separate and apart from those occupied by any other department of the school or government agency and satisfy DoD security requirements. 11. To take reasonable measures, to include withholding transcripts, grades, and/or certificates of graduation, when requested by the Professor of Public Service, to recover government property that is improperly in the hands of students or former students. Scholarship: Financial assistance through scholarships provided to undergraduate students majoring in a DoD-relevant STEM field. Applicants must be U.S. citizens and enrolled in an accredited U.S. institution of higher learning, e.g., university, college, etc. Qualifications are specified in detail in the application on <https://www.hci.mil/dctc.html>.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit is not allowed. Subject to language in any announcement for competitive procedures.

Beneficiary Eligibility:

Education (13+); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); U.S. Citizen; Veteran/Service person/Reservist (including dependents); Other Non-White; Asian; Spanish Origin; American Indian; Black; Student/Trainee; All applicants for scholarships must be at least 18 years of age (17 years with parental/guardian permission) and U.S. citizens. Applicants must be accepted as an undergraduate student majoring in a DoD-relevant STEM field at one of the host units. Applicants participate in internships at DoD laboratories. Participants will be required to obtain and/or maintain a security clearance. Upon completion of their degree, applicants are expected to work full-time for the DoD.

Credentials/Documentation:

Applicants should reference the funding announcement on [grants.gov](https://www.grants.gov). 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies

to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. White papers are required. See funding opportunity for directions on white paper submission. The application procedures for scholarships can be found at <https://www.hci.mil/dctc.html>. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.Grants.gov).

Award Procedure:

University Host: After merit review is completed, awards will be negotiated, and POCs will be contacted. Scholarship award decisions will be based on a competitive selection process and availability of funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

Not Applicable.

Renewals:

>180 Days. University hosts will be allowed to renew 5-year awards upon request from the host University and a review of program progress by the contracting office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

University - The awards will be five-year duration by letter of credit. Method of awarding/releasing assistance: letter Scholarship - Eligible individuals may receive a SMART scholarship from one to five years. Method of awarding/releasing assistance: Varies depending on type of assistance. Method of awarding/releasing assistance: other

Reports:

University Host: Grant recipients will be required to submit financial and performance (technical) reports. All financial reports and performance (technical) reports shall be submitted to the WHS Grants Officer and to the DoD STEM Director. Electronic submission of performance reports is preferred. Performance reports will be submitted on a quarterly schedule and must be submitted no later than 30 days following the end of each quarter from the start date of the award. The comprehensive final progress report is due 90 days after the award expiration. Award terms will outline the submission process and schedule for all financial and cash reports. SF270 University Hosts: Quarterly progress reports indicating program and budget execution to date. Scholarship: Participant reports are required in accordance with the DCTC Service Agreement and Scholar Handbook. SF425 University: Annual and end of award report Scholarship: Participant performance reports are required in accordance with the DCTC Service Agreement and Scholar Handbook.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

University: Recipients are required to maintain records adequate to document costs incurred. Financial records, supporting documents, statistical records and all other records pertinent to an agreement will be retained for a period of 3 years. The retention period starts from the date of the submission of the final expenditure report or, for grants that are renewed annually, from the date of the submission of

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the annual financial status report. The recipient will allow access to any pertinent books, documents, papers, and records of the recipient organization and their sub-recipients to make audits, examinations, excerpts and transcripts. Scholarship: Described in the terms and conditions of any ensuing award.

Account Identification:

97-0111-0-1-051.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$1,249,999.00; and FY 22 est \$1,999,999.00;

Range and Average of Financial Assistance:

Most unit awards will be 5 years up to \$1,000,000 total award amount for the project period. Individual scholarships will be 4-5 years up to \$350,000 total award amount for the project period.

TAFS Codes:

97-0111.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Established 5 units at educational institutions. This is the first step in starting to train students for future public service to DoD, especially in the STEM field where talent is needed.

REGULATIONS, GUIDELINES, AND LITERATURE:

University: TBDScholarship: <https://www.hci.mil/detc.html>

Regional or Local Office:

None.

Headquarters Office:

Mr. Garry Shafovaloff,
9820 Belvoir Blvd,
Ft Belvoir VA 22305
Email: garry.shafovaloff@hci.mil
Phone: 703-805-4969

Fax:

Website Address:

<https://www.hci.mil/detc.html>

RELATED PROGRAMS:

12.006 National Defense Education Program; 12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART);

EXAMPLES OF FUNDED PROJECTS:

2021 Stood up civilian training corps at educational institutions. Funding for these training corps are preparing students for public service in DoD occupations. This scholarship-based program targets critical skills gaps and helps ensure the workforce of the future is properly trained and ready to address DoD needs, especially in regards to the STEM field.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for the review and selection of proposals will be published in the funding opportunity. Selection for scholarship beneficiaries is based on merit including (1) academic record and potential to succeed in their proposed study; (2) additional supporting documentation; and (3) commitment to Federal service and availability of funds

12.014 OnRampII

OnRampII,

FEDERAL AGENCY:

NATIONAL SECURITY AGENCY (NSA), DEPT OF DEFENSE

AUTHORIZATION:

10 USC Code 2192. 10 USC Code 2194.

OBJECTIVES:

The purpose of the OnRamp II program is to foster educational partnerships between the Agency and academic institutions to promote the health of a diverse pipeline of students in Science, Technology, Engineering and Mathematics (STEM) disciplines. Partnership with OnRamp II schools includes scholarship in

return for service obligations with the Agency, internships, and opportunities for mission focused research. This means selected OnRamp II Scholarship participants will be pursuing employment with NSA while enhancing their academic caliber.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

OnRamp II scholarship participants will receive funding up to \$30,000 per academic year (dollar amount subject to change based on tuition rates and budget) to cover: Tuition support and education related fee (does not include meal plan, housing, or parking), Book allowance including e-books and audio books, and school supplies up to \$3,000, Professional development allowance (including travel and conference fees, professional certifications and leadership development) up to \$2,000. Note: Scholarship funding cannot be used for stipends.

Applicant Eligibility:

Government - General; To be eligible, the ultimate beneficiary must be a student at an institution of higher learning with an established Educational Partnership Agreement with the Agency. The candidate must be a student at one of the competitively selected OnRamp II schools with priority given to underrepresented students, including members of minority groups, women, persons with disabilities, and others who traditionally are involved in these fields in disproportionately low numbers.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Student/Trainee; Education (13+); Must be a student at one of the competitively selected OnRamp II schools with priority given to underrepresented students, including members of minority groups, women, persons with disabilities, and others who traditionally are involved in these fields in disproportionately low numbers.

Credentials/Documentation:

To be considered for an OnRamp II scholarship at the competitively selected, designated OnRamp II academic institutions, students who are U.S. citizens pursuing a career in Science, Technology, Engineering, and Mathematics (STEM) fields of study must provide: 1. Resume including: a. Relevant professional experiences such as internships and positions of employment. b. All scholarships, academic honors, STEM student leadership roles, honorary societies, and any other recognition relevant to their field of study since entering college. Any notable contributions to STEM prior to college should also be highlighted. 2. Up-to-date official transcript submitted as an electronic PDF. 3. If applicable, copies of relevant publications and presentations pertaining to the STEM fields such as articles, journal submissions, conference presentations, etc. 4. Two Letters of Recommendation on of which is from a STEM faculty member. 5. Statement that the student is aware of the criteria to obtain a clearance that is required for employment and paid internship. 6. Statement of acknowledgement from student that they will sign the OnRamp II Scholarship Program Service Agreement in return for a service obligation and their desire to be employed by the Agency. Estimate of their academic year expenses - tuition support and education fees, book allowance and school supplies, and professional development. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Students at competitively selected OnRamp II institutions provides their nomination packages to their respective institutions, who then provides the scholarship nomination packages to the Agency. Competitively selected by the Agency, OnRamp II scholarship participants will then begin security clearance processing via intelligencecareers.gov

Award Procedure:

Procedural steps for awarding assistance will be documented in the call for proposal. Applicants to refer to this document. To make certain that the selection of OnRamp II scholarship recipient is fair and equitable; a duly constituted board to review/select the original OnRamp II proposals was established with senior level

ASSISTANCE LISTINGS DESCRIPTIONS

representatives from each Agency Directorate who will also review the student nomination packages.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Scholarship assistance will be for one academic school year. Annual academic scholarships are awarded to undergraduate and graduate students of designated OnRamp II academic institutions. Students may receive scholarship funding for up to three years to complete their STEM course of study. The Agency provides scholarship funding directly to the academic institutions who are responsible for disbursing funds to pay for tuition and education related fees, books, school supplies, and professional development. Grants will be awarded during the period of availability of the applicable appropriation after selected students sign their service agreements. Method of awarding/releasing assistance: other

Reports:

To be outlined in contract. To be outlined in contract. To be outlined in contract. To be outlined in contract. To be outlined in contract.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-1010-0-4-500.

Obligations:

Direct Payments for Specified Use FY 20 Not Available; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-1010.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. National Security Agency Attn: OnRamp II Office 9800 Savage Road Fort George G. Meade, MD 20755-6833 410-854-8035

Headquarters Office:

OnRamp II Office,
9800 Savage Road,
Fort George G. Meade MD 20755

Email: onramp@nsa.gov

Phone: 410-854-8035

Fax:

Website Address:

<https://www.nsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting OnRamp II scholarship recipients: 1. Student must be pursuing a career in Science, Technology, Engineering, and Mathematics (STEM) fields of study. 2. Student should have at least a 3.0 cumulative GPA on a 4.0 scale each semester/quarter and not be on academic probation. 3. Student recommendations from STEM faculty and other supporting references providing a consistent personal perspective on the nominee's strengths and background and likelihood for success with the OnRamp II program. 4. Preference will be given to: a. Underrepresented students, including members of minority groups, women, persons with disabilities, and others who traditionally are involved in these fields in disproportionately low numbers. b. Students previously receiving OnRamp II scholarship funding, not to exceed three years. c. Students who possess prior research experience.

12.015 Past Conflict Accounting - Vietnam

Cooperative program with Vietnam to account for Vietnamese personnel missing in action.

FEDERAL AGENCY:

WASHINGTON HEADQUARTERS SERVICES, DEPT OF DEFENSE

AUTHORIZATION:

Statue National Defense Authorization Act, Fiscal Year 2021 Section 1254-

OBJECTIVES:

The Secretary of Defense, in coordination with the Secretary of State, is authorized to carry out a cooperative program with the Ministry of Defense of Vietnam to assist in accounting for Vietnamese personnel missing in action. (b) PURPOSE. The purpose of the cooperative program under subsection (a) is to carry out the following activities: (1) Collection, digitization, and sharing of archival information. (2) Building the capacity of Vietnam to conduct archival research, investigations, and excavations. (3) Improving DNA analysis capacity. (4) Increasing veteran-to-veteran exchanges. (5) Other support activities the Secretary of Defense considers necessary and appropriate.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Awards will be in accordance with 2 CFR 200. The Department seeks an institution of higher education to upgrade and improve the existing online data through database updates, improved translation, meta-data tagging, and cataloguing. The institution should have extensive experience in conducting such efforts, strong historical data research experience, and significant experience in southeast Asia studies.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education with the required experience.

Beneficiary Eligibility:

Other public institution/organization; Public nonprofit institution/organization; Federal; Anyone/general public; Student/Trainee; Scientist/Researchers; Specialized group (e.g. health professionals, students, veterans); Small business; Graduate Student; Education Professional; Other private institution/organization; Beneficiaries of an assistance award can be any private entity. This initiative will support USG efforts to assist Vietnam account for Vietnamese Missing in Action (VMIA) by drawing public and key stakeholder attention to the importance of this work and by providing platforms for bilateral dialogue.

Credentials/Documentation:

See CFR 200 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under

ASSISTANCE LISTINGS DESCRIPTIONS

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applicant should submit proposals as provided for in the relevant announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

From 1 to 15 days. Any appeal should be submitted to the WHS AD designated Point of Contact for consideration.

Renewals:

From 1 to 15 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time the assistance will be available will initially be for one year, and include up to four follow-on option years which are subject the availability of funds. Method of awarding/releasing assistance: quarterly

Reports:

Required reporting will be specified in the announcement and the award. Required reporting will be specified in the announcement and the award. Required reporting will be specified in the announcement and the award. Required reporting will be specified in the announcement and the award. Required reporting will be specified in the announcement and the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal award will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that years, expect as noted in 2 CRF 200.503.

Records:

Record keeping requirements will be according to the terms and conditions of the award, which will include appropriate provisions related to recipient records required by the CFR.

Account Identification:

97-0100-0-0-000.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$2,000,000.00; and FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Range: \$1,000,000.00 to \$4,000,000.00 Average: \$1,500,00.00

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: This project provides public benefit by addressing war legacies and forging reconciliation, which contributes to overall U.S.-Vietnam relations and the Vietnamese Missing in Action (VMIA) initiative.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Christopher Lindley,
Office of the Under Secretary of Defense for Policy 2100 Defense Pentagon,
Washington DC 202012600

Email: christopher.h.lindley.civ@mail.mil

Phone: 571-748-9196

Fax:

Website Address:

<https://policy.defense.gov/OUSDP-Offices/ASD-for-Indo-Pacific-Security-Affairs/South-and-Southeast-Asia/>

RELATED PROGRAMS:

12.740 Past Conflict Accounting;

EXAMPLES OF FUNDED PROJECTS:

2021 The scope of this work will include the Combined Documentation Exploitation Centers (CDEC) document archive, which includes millions of pages of captured war documents. The work will also include archival information located with the National Archives and Records Administration (NARA); and interviews with researchers, government officials, and families of unaccounted soldiers in Vietnam, to correlate information derived from the CDEC with other materials (i.e. maps, letters, and memorabilia).

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria will be set forth in the announcement.

12.016 Transportation - DASH 102X and WMATA 7M Shuttles

DASH 102X Route and WMATA 7M Route,

FEDERAL AGENCY:

WASHINGTON HEADQUARTERS SERVICES (WHS), DEPT OF DEFENSE

AUTHORIZATION:

Public Law 110-181, 10 USC Code 2687.

OBJECTIVES:

Objective is to provide Transportation between the Mark Center, the Pentagon, and the City of Alexandria, VA. This will be awarded by the City of Alexandria DASH 102X Shuttle and the Washington Metropolitan Area Transit Authority (WMATA) 7M Shuttle routes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

DOD employees traveling from the Mark Center to the Pentagon, and between the Pentagon and the City of Alexandria, VA will have transit access to these shuttles.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; The City of Alexandria (DASH 102X route) and the Washington Metropolitan Area Transit Authority (7M route)

Beneficiary Eligibility:

Federal; State; Local; Individual/Family; All drivers using the roads will benefit from reduced traffic.

Credentials/Documentation:

Must award to the City of Alexandria (ATC) and the Washington Metropolitan Area Transit Authority (WMATA) as they provide these shuttles for the area. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. These are mandated to

ASSISTANCE LISTINGS DESCRIPTIONS

be awarded to the City of Alexandria and the Washington Metropolitan Area Transit Authority

Award Procedure:

To be awarded as two Cooperative Agreements

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five (5) years starting 1 September 2021. Method of awarding/releasing assistance: other

Reports:

Ridership reports (interim and final) Ridership reports (interim and final)
Ridership reports (interim and final) Ridership reports (interim and final)

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Quarterly audits before the invoices are paid.

Records:

Six (6) years after end of the COOPs.

Account Identification:

97-2021-4-9-505.

Obligations:

Project Grants (Cooperative Agreements) FY 20 Not Available; FY 21 est \$1,816,902.00; and FY 22 est \$1,871,409.00;

Range and Average of Financial Assistance:

\$3M to \$7M each for the two shuttles.

TAFS Codes:

97-4950.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Employees traveling between the Mark Center and the Pentagon and between the Pentagon and the City of Alexandria, VA. Thereby reducing the SOVs on the roadways and reduce pollution and wear and tear on SOVs.

REGULATIONS, GUIDELINES, AND LITERATURE:

DoDI 4000.19 Interservice and Intragovernmental SupportDoD 4500.36 Management, Acquisition and Use of Motor VehiclesDoD 3210.6 Defense Grant and Agreement Regulatory System (DGARS)The BRAC 133 Interservice Support Agreement (ISSA) between U.S. Army Garrison Fort Belvoir and Director, Administration and Management, on behalf of Washington Headquarters ServicesThe BRAC 133 Transportation Management Plan and the Transportation Demand Plan

Regional or Local Office:

None.

Headquarters Office:

Michael A. Jarrell,
The Pentagon Reservation 1155 Defense Pentagon,
Washington DC 20301
Email: michael.a.jarrell8.civ@mail.mil
Phone: 571-372-0904
Fax: Stephenie W. Charlot,
The Pentagon Reservation - Southern Mobile Office Complex,

Alexandria VA 20222

Email: stephenie.w.charlot.civ@mail.mil

Phone: 703-302-9388

Fax:

Website Address:

<https://my.whs.mil/services/transportation-management-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 - HQ00342120004 Alexandria Transit Company COOP DASH 102X Route Shuttle- HQ00342120008 Washington Metropolitan Area Transit Authority COOP 7M Route Shuttle

CRITERIA FOR SELECTING PROPOSALS:

These are mandated to be awarded to the City of Alexandria and the Washington Metropolitan Area Transit Authority

12.017 Readiness and Environmental Protection Integration (REPI) Program

DoD REPI Program,

FEDERAL AGENCY:

WASHINGTON HEADQUARTERS SERVICES, DEPT OF DEFENSE

AUTHORIZATION:

10 USC Code 2684a. 10 USC Code 2694. 10 USC Code 670c-1.

OBJECTIVES:

The Readiness and Environmental Protection Integration (REPI) Program funds direct awards, primarily through the Military Services, in support of innovative partnerships between DoD installations and conservation partners that leverage public and private funds to preserve critical buffer areas and landscapes, restore natural resources, and promote climate resilience.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Awards will be in accordance with 2 CFR 200. The Department plans awards to the Land Trust Alliance Inc., the National Association of Conservation Districts, National Fish and Wildlife Foundation, and the United States Endowment for Forestry.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Quasi-public nonprofit institution/organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Government - General;

Beneficiary Eligibility:

Private nonprofit institution/organization; General Public, Military, Private nonprofit institutions/organizations

Credentials/Documentation:

See CFR 2002 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The grants officer will contact recipients directly.

Award Procedure:

Applicant should submit proposals as requested for in the relevant announcement.

Deadlines:

ASSISTANCE LISTINGS DESCRIPTIONS

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 1 to 15 days. Any appeal should be submitted to the WHS ADesignated Point of Contact for consideration.

Renewals:

From 30 to 60 days. Renewal information will be listed in the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time the assistance will be available will be for one year, and include up to four follow-on option years which are subject the availability of funds.

Method of awarding/releasing assistance: Quarterly Method of awarding/releasing assistance: quarterly

Reports:

Required reporting will be specified in the award. Required reporting will be specified in the award. Required reporting will be specified in the award. Required reporting will be specified in the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements will be according to the terms and conditions of the award, which will include appropriate provisions related to recipient records required by the CFR.

Account Identification:

97-0100-0-0-000.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$33,100,000.00; FY 21 est \$38,000,000.00; and FY 22 est \$52,600,000.00;

Range and Average of Financial Assistance:

Typically, funded projects range between \$40,000 and \$10,000,000.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through FY20, the NFWF Longleaf Stewardship Fund has maximized DoDs investment by leveraging over \$6.3 million in DoD funds more than 9-to-1 with funding from other Federal agency and private organizations to protect the missions of 14 DoD installations. Through FY 20, the Army has leveraged \$640 million in REPI and Military Service funds with over \$510 million in non-DoD partner contributions nearly a 1:1 match to protect over 420,000 acres of land, safeguarding vital test, training, and operational assets and capabilities at 39 Army installations and ranges.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kristin Thomasgard,
Office of the Assistant Secretary of Defense for Sustainment, 4800 Mark Center

Drive, Suite 16F18,
Alexandria VA 22350

Email: osd.repi@mail.mil

Phone: (571) 372-6835

Fax:

Website Address:

<https://www.repi.mil/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 oThe National Fish and Wildlife Foundation (NFWF) leverages public and private funding to maintain and improve natural resources located off of DoD installations through its Longleaf Stewardship Fund to relieve or eliminate current or anticipated Endangered Species Act restrictions that could interfere with current or anticipated military activities. The Longleaf Stewardship Fund investments will support longleaf pine restoration and protection objectives that are supportive of and complementary to the missions of each of the signatory federal agencies, including DoD, to the Longleaf Pine Memorandum of Understanding. The Stewardship Fund will, in part, direct investments to large geographic conservation areas that are anchored by military installations in communities located throughout the historic longleaf pine range including, North Carolina, South Carolina, Georgia, Florida, Alabama, Mississippi, Louisiana and Texas. oThe National Association of Conservation Districts (NACD) assists DoD by engaging local Conservation districts to build local capacity around military installations and ranges to more effectively engage private landowners in sustainable land use and management practices that preserve and enhance the militarys ability to test, train, and operate. Additionally, NACD is developing information and resources for local conservation districts on the importance of private, working lands to the DoD; and emphasizing the strong historical role that DoD has played in helping sustain these lands. oThe Army is partnering with a land trust in New Mexico to leverage REPI funding with non-DoD contributions to permanently protect over private and state lands located in the Northern Call-Up Area and Western Call-Up Area adjacent to White Sands Missile Range (WSMR) to preserve the largest overland test and evaluation range in the U.S. for long-range precision fires, directed-energy systems, hypersonics, swarming autonomous drones, and nuclear and chemical threat simulations from incompatible development.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.018 Science, Technology, Engineering, and Mathematics (STEM) Educational Outreach Programs Grant

STEM Grant,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY OF DEFENSE, DEPT OF DEFENSE

AUTHORIZATION:

10 USC Code 2192.

OBJECTIVES:

In support of 10 USC 2192, this Grant focuses on engaging and improving Grades K-12 Plus (to include colleges, universities, and vocational schools) STEM skills through outreach programs and support services on a national level. The requirement for increased STEM professional development is necessary to meet the long term national defense needs of the United States for personnel proficient in such skills.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance will be used for Grades K-12 Plus (to include colleges, universities, and vocational schools)- students and faculty

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Anyone/general public; In accordance with 10 U.S.C. 2192 (b)(1)(B), the Government entered into one (1) grant of financial assistance with an eligible entity, as defined within 10 U.S.C. 2192, for a period not to exceed five (5) years.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants can reference the closed funding announcement on grants.gov (W15QKN-20-R-0ANX); 2 CFR 200, Subpart E Cost Principles applies to this program 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The assistance award is competitive and source selection will be conducted in accordance with established evaluation criteria in the FOA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Grant was executed with a period not to exceed five (5) years from date of award. Method of awarding/releasing assistance: other

Reports:

Covered in the Quarterly technical status report (Note: all reporting requirements are provided within the executed Grant, W15QKN-21-1-1000) Cash in hand reporting required to accompany voucher submissions in WAWF Covered in the Quarterly technical status report Covered in the Quarterly financial report through completion of the Federal Financial Report based on the SF425 format. Covered in the Quarterly technical status report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Audits to be conducted in accordance with 2 CFR 200, Subpart F Audit Requirements

Records:

Recipients are required to maintain records adequate to document costs incurred. Financial records, supporting documents, statistical records and all other records pertinent to an agreement will be retained for a period of 3 years. The retention period starts from the date of the submission of the final expenditure report or, for grants that are renewed annually, from the date of the submission of the annual financial status report. The recipient will allow access to any pertinent books, documents, papers, and records of the recipient organization and their subrecipients to make audits, examinations, excerpts and transcripts.

Account Identification:

97-0400-1-1-001.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$5,000,000.00; and FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

Estimated at \$5M per year for 5 years, from FY21-FY25

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 3D CAD Training: Educating 24 local educators regarding the viability of new free cloud based CAD software TinkerCAD. Supported 100 FIRST Robotics Teams: OSD / DOD STEM in partnership with Picatinny STEM financially support various FIRST robotics teams. Each team must have a government scientists or an engineer as head mentor. Funds from OSD are matches in equal form via the utilization of the nCASE grant. This allows the teams to be 95% funded which allows them to focus on the study of the engineering and science that will be used to build the robot. The objectives of all these activities is to encourage students to pursue and discover the fundamental governing forces of the world around them, and to help them better understand the value of the language of mathematics and the value and possibility of pursuing a STEM education and subsequent STEM career. Supported the MADE Student Program providing support for 24 under represented and underprivileged students to attend one STEM class at Montclair University in partnership with Josh and Judy Weston Foundation. FDU Python Program providing support for 24 under represented and under privileged students to attend one STEM class (in computer programming) at Fairleigh Dickinson University Teaneck. Supported local Hackathons and local STEM events.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Yolie Davila,
Phipps Road, Bldg. 9,
Picatinny Arsenal NJ 07806
Email: yolie.m.davila.civ@mail.mil
Phone: 973-724-3583
Fax: Morgan Ziatyk,
Phipps Road, Bldg. 9,
Picatinny Arsenal NJ 07806
Email: morgan.f.ziatyk.civ@mail.mil
Phone: 973-724-3504
Fax:

Website Address:

<https://dodstem.us/>

RELATED PROGRAMS:

12.560 DOD, NDEP, DOTC-STEM Education Outreach Implementation;

EXAMPLES OF FUNDED PROJECTS:

2021 3D CAD Training: Educating 24 local educators regarding the viability of new free cloud based CAD software TinkerCAD. Supported 100 FIRST Robotics Teams: OSD / DOD STEM in partnership with Picatinny STEM financially support various FIRST robotics teams. Each team must have a government scientists or an engineer as head mentor. Funds from OSD are matches in equal form via the utilization of the nCASE grant. This allows the teams to be 95% funded which allows them to focus on the study of the engineering and science that will be used to build the robot. The objectives of all these activities is to encourage students to pursue and discover the fundamental governing forces of the world around them, and to help them better understand the value of the language of mathematics and the value and possibility of pursuing a STEM education and subsequent STEM career. Supported the MADE Student Program providing support for 24 under represented and under privileged students to attend one STEM class at Montclair University in partnership with Josh and Judy Weston Foundation. FDU Python Program providing support for 24 under represented and under privileged students to attend one STEM class (in computer programming) at Fairleigh Dickinson University Teaneck. Supported local Hackathons and local STEM events.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

The criteria is outlined in the FOA

12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services

(DSMOA),

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Public Law 96-510, as amended, 10 U.S.C. 2810; Superfund Amendments and Reauthorization Act of 1986, Section 211(d), Public Law 99-499.,

OBJECTIVES:

To reimburse each State and territory for their costs incurred by providing technical services in support of Department of Defense Environmental Restoration Program activities. This program seeks to facilitate State and territory participation in expediting cleanup at DoD hazardous waste sites, and to foster relations between States, military services, Defense agencies and DoD.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

State/territorial services that qualify for payment under the program include the following types of assistance provided by the State/territory commencing at site identification and continuing through operation and maintenance as well as any other activities that are funded by DERA: 1. Technical review, comments and recommendations on all documents or data required to be submitted to the State/territory under an agreement between the State/territory and a DoD component, all documents or data that a DoD component requests the State/territory to review, and all documents or data that are provided by a DoD component to the State/territory for review as a result of a request from the State/territory made under applicable State/territorial law; 2. identification and explanation of State/territorial applicable or relevant and appropriate requirements related to response actions at DoD installations; 3. site visits to review DoD response actions and ensure their consistency with appropriate requirements, or in accordance with site-specific requirements established in other agreements between the State/territory and DoD Component; 4. participation in cooperation with DoD in the conduct of public education and public participation activities in accordance with Federal and State/territorial requirements for public involvement; 5. services provided at the request of DoD in connection with participation in technical review committees; 6. preparation and administration of a cooperative agreement (CA) to implement this agreement, including the estimates of State/territorial costs; and 7. other services that the State/territory will provide that are set out in the DSMOA or are included in installation-specific cleanup agreements. The DSMOA program does not include costs incurred prior to October 16, 1986. Funded from DERA, BRAC 1, BRAC 91, and Defense stock funds and will soon include selected formerly used Defense site activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State and territorial governments only.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; State and territorial governments, local governments, public nonprofit organization/institution, public institution/organization, profit organization, private nonprofit institution/organization.

Credentials/Documentation:

Applicants must enter into a Defense/State Memorandum of Agreement (54 FR 31358-31362, July 28, 1989). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. see funding announcement

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Administration of CAs will be in accordance with Office of management and Budget (OMB) Circular No. A-102, Grants and Cooperative Agreements with State and Local Governments, and Title 32 CFR 278, Office of the Secretary of Defense, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. A State/territory will submit a complete application package for Federal assistance, consisting of Standard Form 424 (SF 424) and attachments, including a proposal narrative, the signed DSMOA, and a project management plan. The State/territory's application must also include a description of the type and amount of support services that the State/territory plans to provide for each installation covered in the DSMOA for the specific award period of the CA. CAs will be awarded for a term of two years, based on an annual estimate of requirements. Applications will be accepted after signature of the DSMOA by both parties; DoD processing time for applications is expected to be two months. The Corps of Engineers, as executive agent for DASD(E) will accept the application, review it, and make a decision as to the award. This CA agreement, when signed by both the Head of the Agency signing on behalf of the State/territory, and approved by the chief of engineers, comprises the contractual relationship between the DoD and the State/territory. States/territories may request funds in accordance with the methods outlined in OMB Circular No. A-102 and 32 CFR 278. These documents provide for the following methods of payment: (1) Advances; and (2) reimbursement; and a State/territory may request a payment method in its cooperative agreement application. Allowable costs will be determined in accordance with OMB Circular No. A-87, Cost Principles for State and Local Governments. Specific services to be provided by the State/territory will be as described in the DSMOA. Auditing of State/territorial programs will be accomplished in accordance with OMB Circular No. A-128, Audits of State and Local Governments. DoD DASD(E) will invite State/territories to sign DSMOAs and submit applications for CAs.

Award Procedure:

Reimbursement will be accomplished, using Federal procedures for CAs, with State/territories that have signed DSMOAs. Eligible activities are limited to those authorized for the Defense Environmental Restoration Program (DERP), and funded by the Defense Environmental Restoration Account (DERA), Sections 2701 et seq., of Title 10 U.S.C., and as specified in the DSMOA. In general, these activities will be centralized in the Office of the Deputy Assistant Secretary of Defense (Environment) with the assistance of the Army Corps of Engineers who will administer the program on a day to day basis. CAs will be awarded for a term of two years, based on annual estimate of requirements. Applications will be accepted after signature of the DSMOA by both parties; processing time for applications is expected to be two months. The Deputy Assistant Secretary of Defense (Environment) (DASD(E)) will approve the DSMOA, and the Corps of Engineers accept the application, review it, and make a decision as to the award. This CA agreement, when signed by the Head of the Agency signing on behalf of the State/territory, and approved by the Corps of engineers, comprises the contractual relationship between the DoD and the State/territory. States may request funds in accordance with the methods outlined in OMB Circular No. A-102 and 32 CFR 278. These documents provide for the following methods of payment: (1) Advances; and (2) Reimbursement; and a State may request either payment method in its cooperative agreement application.

Deadlines:

June 01, 2021 - June 30, 2022. For receipt of funds in any given year, a complete application must be received by June 1.

Range of Approval/Disapproval Time:

Not Applicable. 30 to 60 days.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable. For applications not approved, the Army Corps of Engineers (managing office of the program) will work with applicants to complete applications.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Two years. Annual budgets are prepared and reimbursement or advances are awarded on a quarterly basis. Method of awarding/releasing assistance: letter

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Copies of State's expenditures by installations quarterly.

Account Identification:

97-0810-0-1-250.

Obligations:

Salaries and Expenses FY 20 \$14,245,025.00; FY 21 est \$13,500,000.00; FY 22 est \$135,000,000.00;

Range and Average of Financial Assistance:

\$8,000 - \$28M

TAFS Codes:

97-0810.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Environmental Restoration Program

REGULATIONS, GUIDELINES, AND LITERATURE:

FR Vol. 54, No. 144, July 18, 1989 - 31358-31362 for detailed information on program.

Regional or Local Office:

None.

Headquarters Office:

Robin A. Baldwin,
HQ U.S. Army Corps of Engineers 441 G Street NW CECT-SO,
Washington DC 20314

Email: robin.a.baldwin@usace.army.mil

Phone: 202-761-8645

Website Address:

<http://www.usace.army.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The Commonwealth of Virginia will provide technical services related to environmental restoration at the Warrenton Training Center. Technical Services for Warrenton Training Center, VA: Cooperative Agreement under the Defense and State Memorandum of Agreement Program for the Reimbursement of Technical Services to expedite environmental restoration at Department of Defense Installations. The Commonwealth of Virginia will provide technical services related to environmental restoration at the Warrenton Training Center.

CRITERIA FOR SELECTING PROPOSALS:

A technical proposal will be selected based off of the type and amount of support services that the State/territory plans to provide for each installation covered in the DSMOA for the specific award period of the agreement.

12.114 Collaborative Research and Development

(Construction Productivity Advancement Research (CPAR) Program),

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

Water Resources Development Act of 1988, Section 7, Public Law 100-676, 33 U.S.C. 2313.,

OBJECTIVES:

To facilitate productivity-improving research and development and application of advanced construction technologies through collaborative research and development, field demonstration, licensing agreements, and other means of commercialization and technology transfer.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Projects are based on ideas from the U.S. construction industry on how to improve productivity. Industry partners must agree to substantially produce and market products domestically. Corps laboratory must perform a significant portion of each project.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Any U.S. private firm, including corporations, partnerships, limited partnerships and industrial development organizations; public and private foundations; nonprofit organizations; units of State and local governments; academic institutions; and others who have interest in and the capability to address CPAR objectives.

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Anyone/general public; Engineer/Architect; Builder/Contractor/Developer; State; Local; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries of CPAR products will include the U.S. construction industry (builder/contractor/developer, engineer/architect, construction equipment/material suppliers) profit and nonprofit business organizations, the general public, and Federal, State and local government agencies.

Credentials/Documentation:

Representations, certifications and other statements of proposers disclosure of lobbying activities are required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See funding announcement

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Written proposal to the Corps laboratory must be submitted.

Award Procedure:

Proposals recommended by the Corps laboratories are evaluated and prioritized by the CPAR Executive Committee in Corps headquarters. Proposals are funded in descending priority order until available funds are exhausted.

Deadlines:

Time for submission of proposals varies, set annually.

Range of Approval/Disapproval Time:

Not Applicable. From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Variable. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

97-0400-0-2-999.

Obligations:

Cooperative Agreements FY 20 \$10,914,446.00; FY 21 est \$8,038,675.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

\$78,000 - \$7M

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Bistatic observations of the ionosphere with Super Darn HR Radars; Natural resource management at Ft. Leonard Wood, MO; and support for cutting edge research for the AFRL Scholars Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

CPAR Guidelines for Participation, published annually.

Regional or Local Office:

None. Corps research and development laboratories.

Headquarters Office:

Robin A. Baldwin,
Headquarters, U.S. Army Corps of Engineers 441 G Street NWCECT-SO,
Washington DC 20314
Email: robin.a.baldwin@usace.army.mil
Phone: 202-761-8645

Website Address:

<http://www.usace.army.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Bistatic observations of the ionosphere with Super Darn HR Radars; Natural resource management at Ft. Leonard Wood, MO; and support for cutting edge research for the AFRL Scholars Program.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria will be outlined in the announcement

12.116 Department of Defense Appropriation Act of 2003

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

Public Law 116-260

OBJECTIVES:

For developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities. For the mitigation of environmental impacts, including training and

technical assistance to tribes, related administrative support, the gathering of information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

Funds, as appropriated by Congress, may be used by the State and Tribes of the funds appropriated to the Department of Defense under the heading "Operation and Maintenance, Defense-Wide", not less than \$12,000,000 shall be made available only for the mitigation of environmental impacts, including training and technical assistance to tribes, related administrative support, the gathering of information, documenting of environmental damage, and developing a system for prioritization of mitigation and cost to complete estimates for mitigation, on Indian lands resulting from Department of Defense activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); The 50 States, the District of Columbia, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, Palau Island, the Commonwealth of Puerto Rico, the Virgin Islands, and Federally recognized Indian tribes.

Beneficiary Eligibility:

State; The 50 States, the District of Columbia, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, Palau Island, the Commonwealth of Puerto Rico, the Virgin Islands, and Federally recognized Indian tribes.

Credentials/Documentation:

Applicants must furnish evidence is sufficient detail so that the relationship of a State's or Tribes request for Corps input for some particular aspect of the State or Tribe program may be appraised. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application information will be provided with each grants opportunity announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests are made in the form of a letter to the District Engineer documenting the need for assistance.

Award Procedure:

The appropriate Division Engineer reviews and approves federally recognized Tribe requests. The Office of the Chief of Engineers allocates funds to the appropriate Corps field office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are released from the Office of the Chief of Engineers on an annual basis after the start of the fiscal year. The length of the agreement is defined in the Cooperative Agreement, but is subject to some modification, depending on the availability of funds to each of the participating Federally recognized Tribe. Method of awarding/releasing assistance: other

Reports:

Quarterly reports are required including financial (SF 269). SEE MOA/CA for

ASSISTANCE LISTINGS DESCRIPTIONS

details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 internal review is accomplished by BIA of Tribal programs.

Records:

Per OMB Circular No. A-102.

Account Identification:

97-0100-0-1-999.

Obligations:

Provision of Specialized Services FY 20 \$12,000,000.00; FY 21 est \$12,000,000.00; FY 22 est \$9,250,000.00;

Range and Average of Financial Assistance:

\$5,000 - \$1.5M

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: This program successfully has remediated three sites containing approximately 3,900 acres to date. The accomplishments include investigation and clean up of historic bombing ranges dating back to World War II.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOD Regulation 3210.6-R Department of Defense Grant and Agreement Regulations.

Regional or Local Office:

None. See Appendix IV of the Catalog for listing of District Engineers.

Headquarters Office:

Aglae Cantave,
441 G. Street. NW,
Washington DC 20314
Email: Aglae.Cantave@usace.army.mil
Phone: 202-761-8646

Website Address:

<https://www.spa.usace.army.mil/Missions/Civil-Works/Tribal-Program/NALEMP/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Environmental restoration programs on Native American lands.

CRITERIA FOR SELECTING PROPOSALS:

The criteria will be included in the announcement

12.219 EASE 2.0

Effective Absentee Systems for Elections 2.0,

FEDERAL AGENCY:

IMMEDIATE OFFICE OF THE SECRETARY OF DEFENSE, DEPT OF DEFENSE

AUTHORIZATION:

Executive Order 12642, , 10 USC 2358 Research and Development Projects, 10 USC 1566, 10 USC 1566a, , 42 USC Code 1973ff- et sec.

OBJECTIVES:

1. Establish and ensure successful, sustainable, and effective methods to improve voting systems for voters protected by the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA); 2. Increase the percentage of ballots successfully returned by UOCAVA voters to be either equal to, or greater to that of the general population; and 3. Collect and analyze data to increase the effectiveness of absentee voting procedures and systems for voters protected by UOCAVA.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research the effectiveness of online ballot delivery systems as well as other related methods to streamline the absentee voting process for voters covered by UOCAVA.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; Applicants are encouraged to develop ballot delivery systems or streamlined procedures for the processing of absentee voting materials for voters covered by UOCAVA.

Beneficiary Eligibility:

U.S. Territories; State; Local; The amount and period of performance of each selected proposal may vary depending on the research area and technical approach pursued by the selected recipient.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Award decisions will be based on a competitive selection of proposals based on a scientific, technical, and cost benefit analysis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The average time for processing an award is 90 days, but it can take longer depending on the complexity of the award and the number of awards issued.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds must be dispersed within 60 months of award. Method of awarding/releasing assistance: other

Reports:

The award terms and conditions shall prescribe the type and frequency with which program reports shall be submitted. Progress reports must be submitted by recipients detailing their ability to facilitate the proposed project. Quarterly financial reports (SF425) Data reports provide a written analysis of the quantitative and qualitative data; note trends, changes and usage of the implemented program; and fulfill the requirements set forth in the provided data reporting requirements document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Retention and Access Requirements IAW 32 CFR 32.50- 32 CFR 32.53

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

97-0400-7-3-010.

Obligations:

Project Grants FY 20 \$656,000.00; FY 21 est \$1,000,000.00; FY 22 est \$770,000.00;

Range and Average of Financial Assistance:

Only 1 award per year is made. \$656,000 for FY20 and estimated to be \$1M for FY21.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The transition of data from voter registration records to absentee voting status

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Grants Officer Heather Hay,
4800 Mark Center Drive, Suite 03E25,
Alexandria VA 22350-1300
Email: Heather.j.hay.civ@mail.mil
Phone: (571)372-2614

Website Address:

<https://www.fvap.gov/info/laws/uocava>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 (1)Execute and disseminate research programs and initiatives developed by the Office of the Under Secretary for Personnel and Readiness including the consideration of interstate compact agreements. (2) Review and evaluate how State and local election officials interact with UOCAVA voters based on those States and local jurisdictions that perform above and below the national average rate of ballot rejections in coordination with Working Group members.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection is described in the announcement

12.225 Commercial Technologies for Maintenance Activities Program

CTMA,

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

10 USC Code 2358.

OBJECTIVES:

In partnership with industry, the goal of the CTMA program is to leverage and advance commercial developments in maintenance and sustainment technology and processes for the primary benefit of U.S. industry and the public applying the research, development, evaluation, integration, and deployment to DoD issues to reduce costs, improve system/platform operational availabilities, increase systems reliability, improve logistical support efficiency and effectiveness, improve the planning and execution of sustainment operations, increase the resiliency of the sustainment industrial base, improve environmental compliance, and increase sustainment personnel competency and safety.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to supplement the private investment being applied to develop, integrate and transition commercial sustainment technologies and processes which can: improve weapon systems readiness and reliability; improve

weapon systems capability with lowered overall sustainment costs; reduce cycle time for sustainment; and improve business practices and data collection/management.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); N/A

Beneficiary Eligibility:

Federal; N/A

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other proposal requirements specified by awarding agency. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A project concept paper is developed between the project sponsor and the CTMA program office. Funding is approved, and a modification package is submitted to the awarding office for scope and legal review. Once the modification package has been reviewed and approved, the Grants Officer bilaterally executes a cooperative agreement modification with the industry partner.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. N/A

Appeals:

Not Applicable. N/A

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This program will cost share.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Throughout the period of performance determined for any given project associated with the CA. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reports will be submitted and based on the Government's fiscal year (01 October - 30 September).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs) and 2 CFR 200.

Account Identification:

97-0400-1-2-225.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Cooperative Agreements FY 20 \$298,226,151.00; FY 21 est \$208,165,624.00; FY 22 est \$250,000,000.00;

Range and Average of Financial Assistance:

The average expected financial assistance is \$180M annually.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Elimination of Hexavalent Chromium/Cadmium for Sustainment - Phase II: This initiative is intended to demonstrate and conduct a proof of concept that the industrial base can support a transition to ZnNi plated hardware. During Phase II, the project team will build upon previous work and progress to technology validation via application on a specific weapon system platform. The team will demonstrate the technology on the Self-Propelled Howitzer System (SPHS) specifically the M109A7 (Paladin) which is currently fielded by the US Army.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jonathan E. Bertsch,
4800 Mark Center Drive,
Alexandria VA 223500002
Email: jonathan.e.bertsch.civ@mail.mil
Phone: 703-545-3559

Katie E. Freeman,
4800 Mark Center Drive,
Alexandria VA 223500002
Email: katie.e.freeman.civ@mail.mil
Phone: 703-545-0596

Fax:

Website Address:

<https://www.whs.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Inspection and Fractographic Analysis to Develop a Digital Twin for a Legacy Aircraft: The overall objective of this initiative is to develop and validate a process to create detailed digital twins when much of the necessary technical data is missing. Using the B-1 as a surrogate to achieve this will provide the military with non-proprietary government owned models and methods that can be used to evaluate damage or changes to the aircraft usage in order to repair, modify the design, modify structural inspection intervals or even reevaluate the design life of the asset, with the end result being an environment that provides the best possible aircraft sustainment and fleet readiness. 2021 Elimination of Hexavalent Chromium/Cadmium for Sustainment - Phase II: This initiative is intended to demonstrate and conduct a proof of concept that the industrial base can support a transition to ZnNi plated hardware. During Phase II, the project team will build upon previous work and progress to technology validation via application on a specific weapon system platform. The team will demonstrate the technology on the Self-Propelled Howitzer System (SPHS) specifically the M109A7 (Paladin) which is currently fielded by the US Army.

CRITERIA FOR SELECTING PROPOSALS:

A Technical Evaluation Panel (TEP) was selected to review applications that had been submitted by a cut-off date on Grants.gov.

12.300 Basic and Applied Scientific Research

FEDERAL AGENCY:

DEPT OF THE NAVY, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358,

OBJECTIVES:

To support and stimulate basic and applied research and technology at educational institutions, non-profits and other research organizations, which have potential for superiority in the improvement of naval operations, and to train and motivate future researchers in science and engineering disciplines.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Basic, applied and advanced research in the physical, mathematical, environmental, engineering and life sciences providing knowledge necessary for the Department of the Navy to make informed decisions about further exploratory development to provide the technology base from which naval capabilities are drawn and partial support of symposia in the listed disciplines. Programs to encourage careers in science, technology and engineering by supporting outstanding research by graduate and undergraduate students pursuing studies in areas of naval concern; research programs to increase the number of science and engineering graduates from underrepresented minority groups and programs providing funding for universities to purchase major, high-cost research instrumentation.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Office of Naval Research (ONR) headquarters Grants and Agreements cannot be awarded to individuals or families. ONR Global Grants and Agreements can be awarded to individuals.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; See above.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants may submit proposals in response to the relevant Broad Agency Announcements and Funding Opportunity Announcements published in grants.gov. See announcement for actual submission instructions.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review. Evaluations will use the criteria contained in the relevant announcement. If selected, the proposal is incorporated into a grant/cooperative agreement document and the applicant agrees to perform the research described therein.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Awards may be made at any time throughout the year for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Reapplication with new proposal is permitted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will reflect a period of performance which is generally 3-5 years. Method of awarding/releasing assistance: other

Reports:

Recipients are expected to publish or otherwise make results of work publicly available. Performance reports, both interim and final, are required. Interim performance reports are required on an annual basis using the Research Performance Progress Report (RPPR) format. A final financial report (SF-425) is required. Typically the SF-270 used for payment requests is also used for interim financial reporting purposes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Non-Federal entities that expend financial assistance of \$750,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend \$750,000 or less a year in Federal Awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

17-1319-0-1-051.

Obligations:

Project Grants FY 20 \$683,415,363.00; FY 21 est \$760,000,000.00; and FY 22 est \$830,000,000.00;

Range and Average of Financial Assistance:

\$5,000 - \$20,000,000; mean=\$500,000; median=\$300,000

TAFS Codes:

17-1319.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: During the height of the COVID pandemic, the grantee deployed 100 driftingwave buoys in the Southern Ocean. Storms in the Southern Ocean generateenergetic waves that radiate across the Pacific, Atlantic , and Indianocean. The propagation of of these traveling swell waves was firstinvestigated by Dr. Walter Munk in the 1960's with funding from the Officeof Naval Research (ONR). Progress in ocean Internet of Things (IoT)technology enables the grantee to collect unprecedented data densities, whichdirectly improves ocean and wave theories, validate and constrain ocean andatmospheric models, and provide a ground truth for satellite remote sensingdata. The technology deployed by the grantee was developed with ONR sponsorship.It represents a shift in global ocean sensing toward a distributed paradigmwith massive arrays of low-cost internet-connected nodes.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements. Also refer to ONR website <http://www.onr.navy.mil>

Regional or Local Office:

Headquarters Office:

Susan Sutherland,
875 North Randolph Street,
Arlington VA 22203
Email: susan.sutherland@navy.mil
Phone: 703-696-4601

Website Address:

<http://www.onr.navy.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 100 drifting wave buoys were deployed in the Southern Ocean. Storms in the Southern Ocean generate energetic waves that radiate across the Pacific, Atlantic , and Indian ocean. Progress in ocean Internet of Things (IoT) technology enables the grantee to collect unprecedented data densities, which directly improves ocean and wave theories, validate and constrain ocean and atmospheric models, and provide a ground truth for satellite remote sensing data. The technology deployed by the grantee was developed with ONR sponsorship. It represents a shift in global ocean sensing toward a distributed paradigm with massive arrays of low-cost internet-connected nodes.

CRITERIA FOR SELECTING PROPOSALS:

Award decisions will be based on a competitive selection of proposals resulting from a scientific and cost review. Evaluations will typically be conducted using the following evaluation criteria: 1. Overall scientific and technical merits of the proposal; 2. Potential Naval relevance and contributions of the effort to the agency's specific mission; 3. The offeror's capabilities, related experience, facilities, techniques or unique combinations of these which are integral factors for achieving the proposal objectives; 4. The qualifications, capabilities and experience of the proposed Principal Investigator (PI), team leader and key personnel who are critical in achieving the proposal objectives; and 5. The realism of the proposed costs and availability of funds.

12.330 Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program

FEDERAL AGENCY:

DEPT OF THE NAVY, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2192 and 10 U.S.C. 2193,

OBJECTIVES:

To support educational programs in Science, Technology, Engineering and Mathematics (STEM) Education, Outreach and Workforce Program

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Educational programs in science, technology, engineering and mathematics (STEM), which foster an interest in, knowledge of, and study in science, technology, engineering and mathematics nationwide to ensure an educated and well-prepared workforce capable of meeting the needs of the Navy and the Nation. In support of this mission, the following five goals have been identified:- Inspire the next generation of scientists and engineers. [Grades K-10]; - Engage students in STEM-related hands-on learning activities using Navy content. [Grades 3-12]; - Educate students to be well-prepared for employment in STEM disciplines in the Navy or in supporting academic institutions or the Naval contractor community. [Higher Education]; - Employ, retain and develop Naval STEM professionals. [Higher Education, Professional Development, Faculty]; - Collaborate across Naval STEM programs to maximize benefits to participants and the Navy.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; All responsible sources from public nonprofit institutions/organizations to include elementary, middle and high schools, private non-profit institutions/organizations, small businesses, profit organizations, and other private institutions/organizations may submit proposals. Grants cannot be awarded to individuals.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Education Professional; Student/Trainee; Graduate Student; Small Business Person; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Black Colleges and Universities (HBCUs), and Minority Institutions (MIs).

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in agency announcements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible applicants, so long as profit and fee are not proposed, may submit proposals in response to the relevant STEM Announcement(s) published in the grants.gov.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a technical, management and cost review. Evaluations will use the criteria contained in the relevant announcement. If selected for award, the proposal is incorporated into a grant or cooperative agreement document, and the applicant agrees to perform the mission described therein.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Awards may be made at any time throughout the open period for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Other. Reapplication with new proposal is permitted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will reflect a period of performance. Method of awarding/releasing assistance: other

Reports:

Recipient is expected to publish or otherwise make results of work publicly available. Copies of program reports are furnished by the recipient to a prescribed list of addressees (as set forth in the award instrument). Copies of cash reports are furnished by the recipient to a prescribed list of addressees (as set forth in the award instrument). Copies of progress reports are furnished by the recipient to a prescribed list of addressees (as set forth in the award instrument). Copies of expenditure reports are furnished by the grantee/recipient to a prescribed list of addressees (as set forth in the award instrument).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

17-1319-0-1-051.

Obligations:

Project Grants FY 20 \$18,717,076.00; FY 21 est \$20,000,000.00; FY 22 est \$22,000,000.00;

Range and Average of Financial Assistance:

FY18\$3,047 - \$506,312Average \$205,504Median \$233,667

TAFS Codes:

17-1319.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In collaboration with the Naval Air Warfare Center Patuxent River facility, the grantee worked to recruit high-school students, with an emphasis on women and other underrepresented minorities, to a 6-year DON STEM Workforce Matriculation Program that afforded students the opportunity to engage in DON relevant projects that will lead them to graduate with both a B.S. in a STEM major and an M.S. in Flight Test Engineering (FTE). In addition, the program allowed students who were already enrolled at grantee, the opportunity to earn a certificate in FTE, a program that helps develop their skills to be flight test-ready engineers upon graduation and to increase their readiness to enter the DON STEM workforce. By the completion of the program, the grantee had met all their program goals, resulting in 281 K-12 students being inspired, 190 undergraduate students being flown in FTE aircraft, 8 Senior Design projects funded, 32 new enrollments at the Patuxent River Naval Air Station (Pax NAS) Education Center, and numerous students with STEM degrees from grantee. The program also included uniformed members of Navy and current Navy civilians as successful recipients of the M.S. degree in FTE and the FTE certificate. In addition, a number of students selected civilian and contractor DON STEM careers including working at or in support of Pax NAS.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to ONR website: <http://www.onr.navy.mil> for program announcements. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

Headquarters Office:

Susan Sutherland,
875 North Randolph Street,
Arlington VA 22203
Email: susan.sutherland@navy.mil
Phone: 703-696-4601

Website Address:

<http://www.onr.navy.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Recruited high-school students, with an emphasis on women and other underrepresented minorities, to a 6-year DON STEM Workforce Matriculation Program that afforded students the opportunity to engage in DON relevant projects that will lead them to graduate with both a B.S. in a STEM major and an M.S. in Flight Test Engineering (FTE). In addition, the program allowed students who were already enrolled at grantee, the opportunity to earn a certificate in FTE, a program that helps develop their skills to be flight test-ready engineers upon graduation and to increase their readiness to enter the DON STEM workforce.

CRITERIA FOR SELECTING PROPOSALS:

1. Potential naval or defense relevance and contributions of the effort to the agency's specific education and science and engineering workforce. Scientific and technical merit of the proposal and its potential to achieve the educational objectives of the program, including the extent to which the proposed effort would enhance multidisciplinary studies relative to current capabilities. Appropriateness of the metrics used to determine impact and or success of the program. Appropriateness of the methodology for obtaining and validating the metrics.
2. Outreach initiatives proposed for increasing and maintaining the educational pipeline and the potential of the proposed program to educate future scientists and

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engineers in STEM disciplines critical to the defense mission. Increased or enhanced opportunities to disseminate information on navy or defense programs and careers. Impact of the initiatives toward improving science and engineering education in the United States. Extent to which the program engages naval or defense laboratories as active participants in program execution. 3. Potential contributions of the proposed programs to the national defense mission. 4. Past, present or proposed collaborative educational activities with other institutions, such as colleges/universities. The degree of partnership between the school system or local education agency, and the naval/defense laboratory, or warfare center. 5. Industry-Government Partnering ONR highly encourages partnering among industry and Government with a view toward speeding the incorporation of new science and technology into fielded systems. Proposals that utilize industry-Government partnering which enhances the development of novel educational programs will be given favorable consideration. 6. Personnel qualifications, capabilities, availability, and experience. Key personnel must commit time and attention to ensure success of the program. 7. Budgetary realism and cost effectiveness of the program.

12.340 Naval Medical Research and Development

FEDERAL AGENCY:

DEPT OF THE NAVY, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358,

OBJECTIVES:

To enhance the health, safety, readiness, and performance of Navy and Marine Corps personnel through basic and applied medical research executed largely through grants, cooperative agreements, and contracts with civilian educational and research institutions/organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Recipients are required to conform to their accepted research protocol and are usually required to submit some form of annual or project report. Project support is limited to fundamental research which is defined as basic and applied research aimed at sustaining the technology base or understanding a biological- medical process, thereby providing a technological edge in solving complex biological-medical problems and countering future military medical threats. Some grants are also awarded to support conferences and symposiums.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Applicants must be an institution/organization. Applications will not be accepted from individuals.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries are relatively independent investigators associated with an applicant institution/organization.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial and managerial capability as well as a certification of compliance with Federal, State, and local environmental laws, ordinances and regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. White papers or pre-proposals may be required prior to full application submission.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Applicants should obtain a copy of the Naval Medical Logistics Command's Broad Agency Announcement (BAA) or Program Announcements (PAs), which describe the full research program and include necessary forms and instructions for proposal submissions. The BAA and PAs can be viewed at the Grants.gov website.

Award Procedure:

Proposals are evaluated by in-house committee or by a combination of in-house and extramural review committees against the evaluation criteria listed in the Broad Agency Announcement (BAA) or Program Announcement (PA). The final stage of evaluation is the establishment of an order of merit in which all competing proposals are ranked on the basis of their respective military relevance and scientific merit evaluations. Award amount will be negotiated with those proposals recommended for funding. Awards depend upon the availability of funds and the priority which the Navy determines to exist at the time of award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. White papers or pre-proposals are normally reviewed within 60 to 90 days. Formal proposals are usually reviewed and notifications received within 6 months from submission. Grant award dates are normally within 4 months from notification.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Once a grant or cooperative agreement is awarded, any follow-on research proposal is treated as a new request. Follow-on work should allow for the review time noted above.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. The maximum period acceptable for a research proposal is 5 years. Funds are normally released on a quarterly basis. Method of awarding/releasing assistance: other

Reports:

Annual and final reports will be required. Quarterly reports may also be required. Quarterly financial reports and a final financial report, i.e., the Federal Financial Report (SF425) are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain records adequate to reflect the nature and extent of their costs and expenditures and to insure that the required cost of participation is achieved. Records must be maintained for 3 years following the date of submission of final reimbursement request for services rendered.

Account Identification:

17-1319-0-1-051.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$22,560,624.00; FY 21 est \$65,173,506.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$100,000 to \$20,000,000. Average: \$1,000,000.

TAFS Codes:

17-1319.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Researching sepsis outcomes. Research and development of

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operational and undersea medical issues of military importance. Research and development of protective vaccines against infectious disease agents of military importance.

REGULATIONS, GUIDELINES, AND LITERATURE:

Naval Medical Logistics Command's Broad Agency Announcement (BAA) or Program Announcements (PAs) provide program information, applicable regulations, and guidance for submitting applications. The BAA and PAs can be viewed at the Grants.gov website.

Regional or Local Office:

None.

Headquarters Office:

Marc L. Mitchell,
693 Neiman Street,
Fort Detrick MD 21702-9203
Email: marc.l.mitchell.civ@mail.mil
Phone: 301-619-8433

Fax:

Website Address:

<http://www.nmlc.med.navy.mil/>

RELATED PROGRAMS:

12.420 Military Medical Research and Development;

EXAMPLES OF FUNDED PROJECTS:

2021 Researching sepsis outcomes. Research and development of operational and undersea medical issues of military importance. Research and development of protective vaccines against infectious disease agents of military importance.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected for funding based on the evaluation criteria listed in the Naval Medical Logistics Command's Broad Agency Announcement (BAA) or Program Announcements (PAs). The BAA and PAs can be viewed at the Grants.gov website.

12.350 Department of Defense HIV/AIDS Prevention Program

FEDERAL AGENCY:

DEPT OF THE NAVY, DEPT OF DEFENSE

AUTHORIZATION:

Section 632(a) of the Foreign Assistance Act of 1961, as amended and the United States Leadership Against HIV/AIDS, Tuberculosis and Malaria ACT, 2003 (Public Law 108-25) (U.S. Leadership Act); Memorandum of Agreement between the Department of State Office of the U.S. Global AIDS Coordinator (OGAC) and the U.S. Department of Defense (DOD) signed 13 September 2016.,

OBJECTIVES:

To train and assist selected foreign militaries in establishing and implementing HIV/AIDS prevention, care, and treatment programs for their military personnel and dependents.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental U.S. organizations and educational institutions, to carry out activities that support HIV/AIDS prevention programs in militaries around the world. Funds are generally limited for direct support of activities conducted outside the United States.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Generally any type of applicant may apply. Grants cannot be awarded to individuals.

Beneficiary Eligibility:

Federal; State; Beneficiaries are primarily foreign Governments.

Credentials/Documentation:

For institutions of higher education, nonprofit organizations, States, local governments, and Indian tribes, costs will be determined in accordance with Subpart E of 2 CFR part 200. In accordance with the DoD Grant and Agreement Regulations (DoDGARs) at 32 CFR 34.17, costs will be determined for-profit entities costs in accordance with Subpart 31.2 of the Federal Acquisition Regulation (FAR) and Subpart 231.2 of the Department of Defense FAR Supplement (DFARS). Applicants must be registered in System for Award Management (SAM). Also, the applicant, its principals, and proposed subrecipients/subrecipient principals must not appear on the SAM Exclusions website as currently debarred, suspended, or otherwise ineligible. Further requirements are stated in the Funding Opportunity Announcement (FOA). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See funding announcement

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible organizations may submit proposals in response to general and specific funding opportunity announcements (FOAs) published annually or as the need arises. Information regarding areas of interest and points of contact are contained in the FOAs. Application and award procedures are also contained in the FOA brochures.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from a merit review. Evaluations will use the criteria contained in the relevant Funding Opportunity Announcement. If selected for award, the proposal is incorporated into a grant document and the applicant agrees to perform the work describes therein.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Formal proposals are normally reviewed and notification made within six months from submission. Grant awards are usually made within three months from notification.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are made by competitive applications and reviews.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Funding will reflect the period of performance and may be awarded incrementally. Normally, projects are funded for one year of operations. Funds are normally provided in individual grants according to a quarterly schedule of payments. Method of awarding/releasing assistance: other

Reports:

Refer to grant for Reporting Requirements Refer to grant for Reporting Requirements. Refer to grant for Reporting Requirements. Refer to grant for Reporting Requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records

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that are required by the DoDGARS.

Account Identification:

97-1030-0-1-551.

Obligations:

Project Grants FY 20 \$55,000,000.00; FY 21 est \$55,000,000.00; FY 22 est \$57,999,997.00;

Range and Average of Financial Assistance:

\$200,000 to \$7,000,000

TAFS Codes:

97-1031.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Devised plans, implement and monitor programs towards achieving HIV/AIDS epidemic control.

REGULATIONS, GUIDELINES, AND LITERATURE:

DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Eric Hoe,
Defense Health Agency DoD HIV/AIDS Prevention Program (DHAPP) Naval Health Research Center Gate 4 Patterson Rd at McClelland Rd Barracks Bldg 304 Room 201,
San Diego CA 92152
Email: eric.h.hoe.civ@mail.mil
Phone: 619-400-9130
Fax:

Website Address:

<https://www.grants.gov/web/grants/view-opportunity.html?oppId=329198>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 DHAPP's objective, funded through the PEPFAR & DOD program, is to save lives, prevent HIV infections, and accelerate progress toward achieving HIV/AIDS epidemic control and to support the development of interventions and programs in military health systems that address these issues. DHAPP works with militaries of foreign countries to devise plans based on the following process: Meet with key partners in country to determine provisional major program areas and other technical assistance needs. Adapt DHAPP support to a country's need for prevention, care and/or treatment of its HIV/AIDS situation based on an assessment of the country's epidemic, and more specifically, in that country's military. Strengthen the military capacity for ownership and behavioral changes over the long term. Consider program design by leveraging assets with other country partners who have/had successful prevention, care, and/or treatment efforts. Focus on prevention, care and/or treatment impact aligned with national implementation plans. Implement and monitor programs to ensure accountability and sustainability

CRITERIA FOR SELECTING PROPOSALS:

Criteria are defined specifically in the FOAs when issued.

12.351 Scientific Research - Combating Weapons of Mass Destruction

FEDERAL AGENCY:

DEFENSE THREAT REDUCTION AGENCY (DTRA), DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358 (R&D Grants and cooperative agreements). 10 U.S.C. 2361 (Grants & contracts to universities-competitive). 10 U.S.C. 2371 (Transactions other than contracts and grants),.

OBJECTIVES:

To support and stimulate basic, applied and advanced research at educational or research institutions, non-profit organizations, and commercial firms, which support the advancement of fundamental knowledge and understanding of the sciences with an emphasis on exploring new and innovative research for combating or countering Weapons of Mass Destruction (WMD).

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Uses and restrictions are specified in individual program BAAs and award documents issued by and codified regulations applicable to awards. These are submitted at the time of proposal or prior to award, as specified by executive agents.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); As stated in individual program BAAs. Generally, competitions are open to private and public educational accredited institutions of higher education that carry out science and engineering research and/or related science and engineering education on a non-profit basis. Some awards are made to other non-profit and for profit organizations that conduct research. Awards are not made to individuals.

Beneficiary Eligibility:

Profit organization; Public nonprofit institution/organization; Other public institution/organization; See above.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants may submit white papers or proposals in response to relevant Broad Agency Announcements (BAA) published on Grants.gov or www.FedBizOpps.gov under "Other Defense Agencies - DTRA". Each BAA identifies to potential applicants and what activities or research topic areas will be supported, and how to apply.

Award Procedure:

Award decisions are based on evaluations of proposals using peer and/or scientific review. Evaluation criteria are contained in the relevant BAA. If selected for award, the proposal is the basis for the award document and the applicant agrees to perform the research or research related activities described therein.

Deadlines:

Review the appropriate funding announcement for due date and deadline information.

Range of Approval/Disapproval Time:

Other. Award times will be identified in the relevant BAA. Typically, decisions are made within 90-120 days of receipt of proposal for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Other. Re-application with new proposals is permitted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

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this assistance listing.

Length and Time Phasing of Assistance:

Since this is a research and technology program, the assistance is generally for the period of performance as stated in the individual grant, agreement or TIA. Funding is usually provided incrementally in accordance with a payment schedule contained in the award document. Grants are normally a base period and option years up to five total years. Method of awarding/releasing assistance: other

Reports:

As required by Assistance Award As required by Assistance Award As required by Assistance Award

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audit requirements for for-profit organizations, which are not subject to 2 CFR part 200, are consistent with the requirements in the DoD Grant and Agreement Regulations (DoDGARs) at 32 CFR 34.16.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARs.

Account Identification:

97-0400-3-4-000.

Obligations:

Project Grants FY 20 \$105,671,894.00; FY 21 est \$94,585,278.00; FY 22 est \$53,860,652.00;

Range and Average of Financial Assistance:

Range from \$100,000 per year to \$1,000,000 per year over a 5-year period.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Awarded grants through DTRA's fundamental research broad agency announcement under Thrust Area 6Cooperative Counter WMD Research with Global Partners, Thrust Area 7Fundamental Science for Chemical and Biological Defense, and Topic G5: Formation, Evolution, and Conductivity of X-ray Generated Warm Dense Plasma, which solicited proposals to develop a fundamental knowledge of how plasmas are generated on the surfaces of solar arrays exposed to intense X-ray pulses and how the physical properties of those plasmas evolve with time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, Guidelines and Literature sources are specified in the individual relevant Broad Agency Announcements. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Grants Officer Mary Kay Chase,
8725 John J. Kingman Road,
Fort Belvoir VA 22060
Email: mary.k.chase2.civ@mail.mil
Phone: 571-616-6159
Fax:

Website Address:

http://www.dtra.mil/be/business_opp/procurement/acq_procopp.cfm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 A Combined Experimental, Theoretical And Computational Approach To The Interaction Of Prompt X-Rays With Solar Panels. This proposal provides quantitative measurements of the plasma ablated from the surface of solar panel

materials by bursts of soft prompt X-ray radiation, such as that expected from high altitude/exo-atmospheric nuclear detonations. These measurements will underpin the use of different models of the plasma properties in these conditions, and the models will then be utilised as the basis for high resolution simulations of solar panels. Computational Modeling Of Immune Responses To Clostridium Difficile Infection For Predicting Therapeutic Efficacy. This project proposes to develop computational models and tools of the kinetic of infection, host response, pathology and response to treatment with a major focus on toxicogenic bacteria.

CRITERIA FOR SELECTING PROPOSALS:

Generally, the principal evaluation and selection criteria are the technical or socio-economic merits of the proposal and potential long term contributions of DTRA's specific mission. Other selection criteria vary with the nature of the specific research or research-related activity. Typically, these include the qualifications, capabilities, and experience of the proposed Principal Investigator and/or key personnel who are critical in achieving the proposal objectives; adequacy of current or planned facilities, and equipment; and reasonableness and realism of the proposed costs.

12.355 Pest Management and Vector Control Research

FEDERAL AGENCY:

IMMEDIATE OFFICE OF THE SECRETARY OF DEFENSE, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358.

OBJECTIVES:

Research designed to develop new interventions for protection of deployed military personnel from diseases caused by arthropod-borne pathogens and to improve control of filth flies.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Recipients are required to conform to their accepted research protocol and are usually required to submit some form of performance report.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants must be a public or private educational institution, nonprofit organizations operated for purposes in the public interest and commercial firms.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries are relatively independent investigators associated with an applicant organization.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial and managerial capability as well as a certification of compliance with Federal, State, and local environmental laws, ordinances and regulations. Applicants must be registered in System for Award Management (SAM). Also, the applicant, its principals, and proposed subrecipients/subrecipient principals must not appear on the SAM Exclusions website as currently debarred, suspended, or otherwise ineligible. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. see funding announcement

Application Procedures:

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2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The AFPMB BAA is available for download at www.beta.sam.gov. The BAA describes the award procedures, selection criteria, areas of interest, necessary forms, and instructions for proposal submissions.

Award Procedure:

The AFPMB BAA is available for download at beta.sam.gov. The BAA describes the award procedures, selection criteria, areas of interest, necessary forms, and instructions for proposal submissions.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Once a grant is awarded, any follow-on research proposal may be treated as a new request. Follow-on work should allow for review time noted above.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. The maximum period acceptable for a research proposal is 5 years. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly financial reports and a final financial transactions report, i.e. SF 425 are required. Additionally, some grants may require interim and/or final programmatic reports. Some awards may require interim and/or final programmatic reports. SF 425 are required. Some awards may require monthly or quarterly performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DGARS/2CFR 200

Account Identification:

97-0130-0-1-051.

Obligations:

Cooperative Agreements FY 20 \$3,700,000.00; FY 21 est \$3,100,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Not to exceed \$300K per year/per award.

TAFS Codes:

97-0130.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Development and Field Deployment of Biorational Spatial Repellents for the Prevention of Mosquito-Bourne Disease; Baits Against bed Bugs and Other Hematophagous Pests; and Predicting Insect Contact and Transmission Using Historical Entomological and Environmental Data

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DGARS) (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) and individual program announcements.

Regional or Local Office:

See Regional Assistance Locations. Technical Information: Armed Forces Pest Management Board, US Army Garrison Forest Glen, 2460 Linden Lane, Building 172, Silver Spring, MD 20910. Web Site Address:<http://www.afpmb.org/Contact> for additional information: ACC-APG-NCD-Ft Detrick, 110 Thomas Johnson Dr, Frederick, MD 21702, Telephone: 301-649-2895, email: usarmy.detrick.mcs.mbx.contracting@mail.mil

Headquarters Office:

Richard W. Totten,
110 Thomas Johnson Drive,
Frederick MD 21702
Email: richard.w.totten2.civ@mail.mil
Phone: 3016492446

Website Address:

<https://sam.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Development and Field Deployment of Biorational Spatial Repellents for the Prevention of Mosquito-Bourne Disease; Baits Against bed Bugs and Other Hematophagous Pests; and Predicting Insect Contact and Transmission Using Historical Entomological and Environmental Data

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria is contained in the AFPMB BAA which is available at no cost to the applicant and is also available for download at beta.sam.gov.

12.357 ROTC Language and Culture Training Grants

ROTC Project GO (Global Officers),

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

National Defense Authorization Act of 2006, Section 535, Public Law 109-163

OBJECTIVES:

Project GO (Global Officers) is a DoD-funded initiative that promotes critical language education, study abroad, and intercultural dialogue opportunities within the ROTC student population in order to develop future military officers who possess the cross-cultural communication skills required for effective leadership in the 21st Century operational environment.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to institutions of higher education to expand ROTC student participation in the study of critical languages and study abroad. Grant awards are only made to U.S. institutions of higher education with ROTC units on their campuses. Grant funds are used for any appropriate academic-oriented experience including student support for intensive domestic and overseas language immersion and academic year interventions to strengthen on-campus or web-based language instruction. Funding may not be used for students in Junior ROTC or students below the legal age limit for participation in ROTC.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any accredited U.S. institution of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of 1965, is eligible to apply for a grant. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible. Applicants must demonstrate an institutional commitment to increasing the critical language and cross-cultural proficiency of their ROTC students as well as proven institutional capability to provide quality

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instruction in these subject areas. Institutions applying for institutional grants must have an ROTC unit on their campus.

Beneficiary Eligibility:

Education (13+); Accredited U.S. institutions of higher education and their ROTC students.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3), the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible, private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements posted on grants.gov.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review by a panel comprised of specialists in language acquisition and study abroad as well as representatives from the military services.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are negotiated and are conducted annually based on national program strategy, institutional performance and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are one-year awards and may be renewed up to two years. Additional renewal years are possible based on performance, program needs and availability of funding. Method of awarding/releasing assistance: quarterly

Reports:

Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis. Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis. Site visits are conducted. Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A program-specific audit will be conducted each year.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0100-7-3-001.

Obligations:

Salaries and Expenses FY 20 \$23,000,000.00; FY 21 est \$24,000,000.00; FY 22

est \$22,000,000.00;

Range and Average of Financial Assistance:

Grants range from \$150,000 to \$350,000 annually for multi-year projects.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Scholarship and fellowship administration

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Senior Program Manager Shirley T. Rapues,
4800 Mark Center Drive Suite 8G08,
Alexandria VA 22350-7000
Email: shirley.t.rapues.civ@mail.mil
Phone: (571) 256-0716
Fax: (703) 588-5667

Website Address:

<https://www.thelanguageflagship.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Funding for the Defense Language and National Security Education Office (DLNSEO) which recruits, trains, sustains, and enhances language and culture capabilities to ensure national security and defense readiness by building a highly-qualified pool of U.S. citizens with foreign language and international expertise.

CRITERIA FOR SELECTING PROPOSALS:

All projects must involve a comprehensive and structured language component and study abroad component although projects do not need to offer each component under their own auspices. All projects must clearly demonstrate strong recruiting and retention plans as well as plans for institutional commitment. All projects has an articulated existing or planned paths to allow Project GO students to reach the minimum ILR level 1 proficiency by the time of their graduation through one intensive language intervention or a series of multiple language interventions.

12.360 Research on Chemical and Biological Defense

FEDERAL AGENCY:

IMMEDIATE OFFICE OF THE SECRETARY OF DEFENSE, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358,

OBJECTIVES:

To further the capability to prevent, detect, diagnose and treat the effects of chemical, radiological and biological warfare agents.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Recipients are required to conform to their accepted research protocol and are usually required to submit some form of performance report.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Small business (less than 500 employees); Other private institutions/organizations; Applicants

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must be a public or private educational institution, a nonprofit organizations operated for purposes in the public interest, or a commercial firm.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Scientist/Researchers; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries are relatively independent investigators associated with an applicant organization.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial and managerial capability as well as a certification of compliance with Federal, State, and local environmental laws, ordinances and regulations. Applicants must be registered in System for Award Management (SAM). Also, the applicant, proposed subrecipients, and principals must not appear on the SAM Exclusions website as currently debarred, suspended, or otherwise ineligible. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should obtain a copy of the relevant BAA which describes the full research program areas of interest and includes necessary forms and instruction for preproposal/proposal submissions. The BAA is available for download, at no cost to the applicant, at www.fedbizopps.gov, www.jpeocbd.osd.mil, (search under ACC-APG-NCD), www.3.natick.army.mil/ and www.grants.gov.

Award Procedure:

Award procedures are contained in the BAA and available for download at www.fedbizopps.gov (search under ACC-APG-NCD), www.jpeocbd.osd.mil, www.3.natick.army.mil/ and www.grants.gov describes the award procedures and criteria as well as the areas of interest and necessary forms and instructions for proposal submissions.

Deadlines:

Any relevant deadline(s) will be listed in the BAA or individual announcements.

Range of Approval/Disapproval Time:

From 60 to 90 days. See the relevant BAA or individual announcement.

Appeals:

Not Applicable.

Renewals:

Other. Once a grant is awarded, any follow-on research proposal may be treated as a new request. Follow-on work should allow for review time noted above.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. The maximum period acceptable for a research proposal is 5 years. Method of awarding/releasing assistance: other

Reports:

Quarterly financial reports and a final financial transactions report, i.e. SF 425 are required. Additionally, some grants/cooperative agreements may require interim and/or final programmatic reports. SF 425 are required. Typically awards require quarterly performance reports. Cash reports may be required as described in the individual announcement. Some awards may require monthly or quarterly progress reports Expenditure reports are required as described in the individual announcement. Performance monitoring is required as described in the individual announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

97-0400-0-1-051.

Obligations:

Project Grants FY 20 \$1,000,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

\$10,000 - \$1,000,000

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Health monitoring to improve diagnosis and treatment chronic pain in US service members, telehealth platform to deliver therapeutic care for US service members at high risk for post traumatic osteoarthritis, evaluation of a running sock designed to provide real time biofeedback to healthy US service members.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARS) (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) and individual program announcements

Regional or Local Office:

None.

Headquarters Office:

Grants Officer Richard W. Totten,
110 Thomas Johnson Drive,
Frederick MD 21702
Email: richard.w.totten2.civ@mail.mil
Phone: 3016192446
Fax:

Website Address:

<http://www.jpeocbd.osd.mil/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Research related to medical/biological prophylaxis; prevention, treatment, or supportive care regimens for adverse reactions to prophylaxis or pretreatments against bio-warfare agents; Development of improved methods for delivery of vaccines, including adjuvants, nucleic acid vaccines, methods for oral or nasal immunization with inactivated, live and subunit antigens; sustained release formulations; and methods for delivery of antigens for specific induction of mucosal immunity and development of methods to enhance appropriate immune responses to include co- delivery of cytokines; prophylactic/pretreatment and therapeutic pharmaceuticals are non-vaccine pharmacological or biological products used to prevent or treat patients exposed to either chemical warfare agents (CWA) or biological warfare agents (BWA); Prophylactic/pretreatment and therapeutic pharmaceuticals are non-vaccine pharmacological or biological products used to prevent or treat patients exposed to either chemical warfare agents (CWA) or biological warfare agents (BWA).

CRITERIA FOR SELECTING PROPOSALS:

As specified in the relevant BAA or individual announcement

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12.369 Marine Corps Systems Command Federal Assistance Program

FEDERAL AGENCY:

DEPT OF THE NAVY, DEPT OF DEFENSE

AUTHORIZATION:

Department of Defense Appropriations Act, 2008, H.R. 3222, Title VIII, Section 8030, Public Law 110-116

OBJECTIVES:

To reduce the demand for illegal drugs among Americas youth by providing standardized Drug Demand Reduction (DDR) training through a science-based curriculum to youth ranging from age eight through the completion of high school, not to exceed twenty years old.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Funds must be expended in accordance with the work identified in the proposal that is referenced in the award and in accordance with applicable Government-wide cost principles as specified in the DoD Grant and Agreement Regulations.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Other private/public nonprofit organizations which are operated primarily for educational or similar purposes in the public interest, and commercial concerns.

Beneficiary Eligibility:

Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); The beneficiaries for this program are American youth ranging from age eight through the completion of high school, not to exceed twenty years old.

Credentials/Documentation:

Awards are subject to the requirements in the program announcements posted on grants.gov, including submission of certifications and representations and having a current registration in the System for Award Management (www.sam.gov). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals shall be prepared in accordance with instructions in individual program announcements. See Grants.gov (www.grants.gov)

Award Procedure:

Congress has authorized the program indefinitely via H.R. 3222, SEC 8030 for the Young Marines through the DDR program and throughout the years has provided additional funding through the Department of Defense (DoD) DDR budget under DoD appropriations acts. There has been no subsequent law enacted to remove the program authorization that is identified in H.R. 3222-27 SEC 8030.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30-90 days, depending on the authority under which the funds would be awarded.

Appeals:

From 1 to 15 days.

Renewals:

Other. Pre-application with new proposal is permitted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Normally from 12 to 36 months. Method of awarding/releasing assistance: other

Reports:

Financial and Programmatic Progress Reports Financial and Programmatic Progress Reports Reports of expenditures Continuous monitoring throughout the effort

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Other audits as deemed appropriate.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

17-1106-0-1-054. 17-1106-1-1-054.

Obligations:

Salaries and Expenses FY 20 \$4,000,000.00; FY 21 est \$4,000,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

Support for the 235 units across the country varies based on the relative number of adult and youth members for each unit. The average support costs provided per unit is \$21,000.

TAFS Codes:

17-1106.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funding goes towards youth camps and conferences focused on leadership, citizenship through community service, self-discipline, and living a healthy, drug-free lifestyle. For example, summer training events focus on leadership, teamwork, healthy lifestyles, outdoor survival, STEM programs, Civics, and historical education areas and averages over \$700K per year. The Annual Adult Leaders conference which provides direct training to Adult Volunteers on youth development, risk management, drug demand reduction education, and other program support which averages over \$300K per event. All events allow for youth members to actively use leadership and healthy drug free applications throughout each event.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARS) (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) and individual program announcements.

Regional or Local Office:

None.

Headquarters Office:

Procurement Analyst/Grants Officer Angela Gorman,
2200 Lester Street,
Quantico VA 22134
Email: angela.gorman@usmc.mil
Phone: 7034323159

Website Address:

<https://sam.gov/fal/fb5ded58fa954a9cb1ae4e1a1b00de64/view>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Funding goes towards youth camps and conferences focused on leadership, citizenship through community service, self-discipline, and living a healthy, drug-free lifestyle. For example, summer training events focus on leadership, teamwork, healthy lifestyles, outdoor survival, STEM programs, Civics, and historical education areas and averages over \$700K per year. The Annual Adult Leaders

ASSISTANCE LISTINGS DESCRIPTIONS

conference which provides direct training to Adult Volunteers on youth development, risk management, drug demand reduction education, and other program support which averages over \$300K per event. All events allow for youth members to actively use leadership and healthy drug free applications throughout each event.

CRITERIA FOR SELECTING PROPOSALS:

Congress has authorized the program indefinitely via H.R. 3222, SEC 8030 for the Young Marines through the DDR program and throughout the years has provided additional funding through the Department of Defense (DoD) DDR budget under DoD appropriations acts. There has been no subsequent law enacted to remove the program authorization that is identified in H.R. 3222-27 SEC 8030.

12.400 Military Construction, National Guard

Military Construction, National Guard,

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

10 USC Code 1803.

OBJECTIVES:

Provide for the acquisition of facilities necessary for the training and administration of Army National Guard (ARNG) units and Air National Guard units in the 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands and Guam, by purchase, transfer, construction, expansion, rehabilitation or conversion.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Most military construction projects are specifically authorized and funded by Department of Defense annual appropriations.

Applicant Eligibility:

Government - General; U.S. Territories and possessions; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); States, local governments, US territories and possessions

Beneficiary Eligibility:

U.S. Territories; State; The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, and the territories. The State National Guard unit must be federally recognized. States must provide real estate for armory projects.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The State Adjutant General submits proposed projects in accordance with DOD and NGB construction criteria guidelines to the National Guard Bureau.

Award Procedure:

Congress authorizes funds in the National Defense Authorization Act and appropriates funds in the Military Construction, Veterans Affairs and Related Agencies Appropriations Act. The National Guard Bureau reviews and approves all necessary plans, specifications, bidding documents, contracts and other documentation, before the award can be made.

Deadlines:

The deadline for each announcement is 30 September of the current Fiscal Year.

Range of Approval/Disapproval Time:

From 120 to 180 days. 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal government contributes no more than 75 percent of the total cost of construction (including the cost of architectural, engineering and design services) for readiness centers, but may contribute 100 percent for construction of other facilities. The State must provide all necessary real estate and any remaining funds. If there is a cost share it depends on the project and type of facility. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available during the fiscal year until applicable funding expires. Method of awarding/releasing assistance: Costs are reimbursed as obligated by the grantee. Method of awarding/releasing assistance: other

Reports:

Expenditure Reports: States submit reports as directed by NGB. Final accounting and settlement must be accomplished in accordance with National Guard Regulation 5-1. Performance monitoring and review is done by the Facilities Management Office in each State.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are accomplished by the state if they are the grantee, State IR audits CAS and National Guard bureau accomplishes audits.

Records:

States are required to maintain records adequate to reflect the nature and extent of their cost and expenditures and to ensure that the required costs of participation are achieved. Records must be maintained for 10 years following the date of submission of final requests for services rendered.

Account Identification:

21-2085-0-1-051. 57-3830-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$436,611,844.00; FY 21 est \$140,000,000.00; FY 22 est \$400,000,000.00;

Range and Average of Financial Assistance:

\$2 million - \$50 million, average \$5 million

TAFS Codes:

21-2085. 57-3830.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: W912KC-20-2-3086 Northeast Counter Drug Training Center, PA

REGULATIONS, GUIDELINES, AND LITERATURE:

National Guard Regulation 5-1, Grants and Cooperative Agreements and National Guard Regulation 415-5, Army National Guard Military Construction Program Execution. 2 CFR.

Regional or Local Office:

See Regional Assistance Locations. State Adjutant General Office in each State.

Headquarters Office:

LTC Gwen Duncan,
National Guard Bureau,
Arlington VA 22204
Email: Gwendolyn.f.duncan2.civ@mail.mil
Phone: 6083720712

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<http://www.ngb.dtic.mil/indexshtml/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Projects all 50 states and US territories. Examples include construction for sanitary sewer, waste water treatment plant, alteration of a dining facility, constructing of a gas station. 2021 Projects all 50 states and US territories. Examples include HVAC repair, roof repair, rebuilding of a training site. 2022 Projects all 50 states and US territories. Examples include repair of taxiway lighting system, fence repair, new fitness center, and tarmac repair.

CRITERIA FOR SELECTING PROPOSALS:

The funding announcement will have criteria information.

12.401 National Guard Military Operations and Maintenance (O&M) Projects

National Guard Military Operations and Projects,

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

32 USC Code 106-107. 31 USC Code 63.

OBJECTIVES:

Provide funding for the States through cooperative agreements to support the operations and maintenance of Army National Guard (ARNG) and Air National Guard (ANG) facilities and provide authorized service support activities to National Guard units and personnel through assistance awards listed below. a. ARNG Real Property O&M Projects (RPOMA) - provide support for authorized facilities for leases, real property services, real property maintenance, and O & M minor construction projects. b. ARNG Environmental Programs Resources Management - for services provided to accomplish environmental actions such as Environmental Compliance/Corrective Projects, Environmental and Program Management. c. ARNG Security Guard Activities for security services provided at authorized facilities. d. ARNG Electronic Security System Installation - services to maintain Joint Services Interior Intrusion Detection Systems (J-SIIDS), Commercial Intrusion Detection Systems (CIDS), Exterior Instruction Detection Systems and Closed Circuit Television (CCTV) used for security surveillance and associated on site and off site remote monitoring equipment at authorized facilities. e. ARNG Telecommunications - services provided for installation telecommunication activities. f. ARNG Aviation Training Base Operation services provided for Air Traffic Control (ATC), Airport Agreements management and Aircraft Rescue Fire Fighting (AFF). g. ARNG Sustainable Range Program - services provided for range operations, range maintenance, leases, rentals and equipment at authorized ranges and training lands. h. ARNG Full Time Dining Facility Operations - services provided for Food Service Operations at authorized ARNG training sites. i. Office of the Chief - Administrative Services Activities - services provided for printing and duplication, mail distribution and records management services. j. ARNG Surface Petroleum support services provided for ARNG surface vehicle and equipment fuel. k. ARNG Aviation Reimbursable Maintenance Operations - support provided for aviation reimbursable maintenance operations to include the maintenance, fabrication, and/or repair of aircraft, aircraft subassemblies, and systems. l. ARNG Distributed Learning Program- services provided for the operation and management of linked Distance Learning centers using information technology (audio/video telecommunications) to train National Guard personnel. m. ARNG Anti-Terrorism Program Manager Activities- services provided in support of security and anti-terrorism prevention measures in State ARNG facilities. n. ANG Facilities Operations & Maintenance (FOMA) Activities - services provided at authorized facilities for leases, real property operations, real property maintenance and repair, and O & M minor construction costs. o. ANG Environmental Program Management- services provided to accomplish environmental compliance/corrective projects, environmental services, program management, hazardous waste management, environmental planning activities and natural resources actions. p. ANG Security Guard - services in rendering security guard activities at authorized facilities. q. ANG Fire Protection Activities - services

provided for authorized fire protection activities. r. ANG Natural and Cultural Resources Management - for Natural and Cultural resource Management projects to comply with environmental laws, conserve and restore the environment. s. ANG Air Traffic Control Activities - service provided to perform non-approach control air traffic control and aviation weather observing/reporting. t. ANG Logistics Facilities - services provided for painting NG aircraft and related administrative support. u. ANG Services Resources Management services provided by employees for training, lodging and food service activities (cooks, custodial support and food service accounting) at authorized locations. v. ANG Combat Readiness Training Center (CRTC) Base Operating Support- services provided for CRTC operations at authorized ANG centers. w. State Family Program Activities- services provided to ARNG and ANG families preparing for deployment, during deployment and upon return from deployment to include orientation workshops, conferences and social service activities briefings, counseling and assistance.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

O&M Projects support Army and Air National Guard activities approved by NGB and executed in accordance with National Guard Regulation (NGR) 5-1, NG Grants & Cooperative Agreements.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); The 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam. The State National Guard unit must be federally recognized.

Beneficiary Eligibility:

U.S. Territories; State; The 50 States, District of Columbia, Commonwealth of Puerto Rico, Virgin Islands, and Guam. The State National Guard must be federally recognized.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See yearly request for applications to identify pre-application requirements for that year.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The State Adjutant General annually submits proposed projects/activities in accordance with National Guard Bureau criteria guideline and directives.

Award Procedure:

Congress authorizes, approves and appropriates funds for National Guard Operations & Maintenance in the Defense Appropriations Act. NGB reviews and approves requests for project/activity execution.

Deadlines:

June 30, 1111 - September 30, 1111. This is an ongoing program that is renewed annually. See instructions to determine deadline dates.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 1 to 2 months.

Appeals:

Not Applicable.

Renewals:

Other. Requires submission of a budget proposal to NGB each Federal fiscal year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. State matching funds vary depending on the assistance activity. Required State matching amount is identified in each agreement. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Twelve month funding. Method of awarding/releasing assistance: other

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

Reports are required as directed by NGB. Final accounting and settlement must be accomplished in accordance with NGR 5-1. Report requirements are specified in each activity agreement. Expenditure reports are required as specified in each activity agreement and in accordance with the Finance Chapter, NGR 5-1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Single Audit Act of 1984, OMB A-133 Compliance Supplement and Chapter 4, NGR 5-1.

Records:

States are required to maintain records adequate to reflect the nature and extent of their costs and expenditures and to insure required costs of participation are achieved. Records must be maintained for 10 years following the request for payment date the service was rendered.

Account Identification:

21-2065-0-1-051. 57-3840-0-1-051.

Obligations:

Salaries and Expenses FY 20 \$1,800,000,000.00; FY 21 est \$1,918,697,224.00; FY 22 est \$2,030,465,994.00;

Range and Average of Financial Assistance:

\$100,000 - \$195,000,000

TAFS Codes:

21-2065. 57-3840.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: ARNG Real Property O&M Projects (RPOMA), ARNG Environmental Programs Resources Management, ARNG Security Guard Activities, ARNG Electronic Security System Installation, ARNG Telecommunications, ARNG Aviation Training Base Operation, ARNG Sustainable Range Program, ARNG Full Time Dining Facility Operations, Office of the Chief - Administrative Services Activities, ARNG Surface Petroleum, ARNG Aviation Reimbursable Maintenance Operations, ARNG Distributed Learning Program, ARNG Anti-Terrorism Program Manager Activities, ANG Facilities Operations & Maintenance (FOMA) Activities, ANG Environmental Program Management, ANG Security Guard, ANG Fire Protection Activities, ANG Natural and Cultural Resources Management, ANG Air Traffic Control Activities, ANG Logistics Facilities, ANG Services Resources Management, and ANG Combat Readiness Training Center (CRTC) Base Operating Support, State Family Program Activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Guard Regulation 5-1.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Gwendolyn Duncan,
Directorate of Acquisitions National Guard Bureau/ Darcy Ostrander-Damon 703-607-2644.,

Alexandria VA 22311

Email: Gwendolyn.f.duncan2.civ@mail.mil

Phone: 6083720712

Fax:

Website Address:

<http://www.ngb.army.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 ARNG Real Property O&M Projects (RPOMA), ARNG Environmental Programs Resources Management, ARNG Security Guard Activities, ARNG Electronic Security System Installation, ARNG Telecommunications, ARNG Aviation Training Base Operation, ARNG Sustainable Range Program, ARNG

Full Time Dining Facility Operations, Office of the Chief - Administrative Services Activities, ARNG Surface Petroleum, ARNG Aviation Reimbursable Maintenance Operations, ARNG Distributed Learning Program, ARNG Anti-Terrorism Program Manager Activities, ANG Facilities Operations & Maintenance (FOMA) Activities, ANG Environmental Program Management, ANG Security Guard, ANG Fire Protection Activities, ANG Natural and Cultural Resources Management, ANG Air Traffic Control Activities, ANG Logistics Facilities, ANG Services Resources Management, and ANG Combat Readiness Training Center (CRTC) Base Operating Support, State Family Program Activities.

CRITERIA FOR SELECTING PROPOSALS:

States shall submit annual financial plans which will be reviewed, prioritized, and approved by the appropriate NGB Program Manager. DESCRIBE FOR THE READER THAT PROJECTS ARE IMPLEMENTED BY THE STATES AND THAT THE READER WOULD NEED TO CONTACT THE STATE ADJUTANT GENERAL TO OBTAIN INFORMATION ON PROJECTS THAT THE READER COULD SUBMIT PROPOSED BIDS.

12.404 National Guard Challenge Program

National Guard Challenge Program,

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

National Guard Challenge, Title 1993 Defense Authorization Bill, Part Subtitle I, Section 1091

OBJECTIVES:

The Secretary of Defense, acting through the Chief of the National Guard Bureau, conducts a National Guard civilian youth opportunities program (to be known as the "National Guard Challenge Program") to use the National Guard to provide military-based training, including supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

To carry out the National Guard Challenge Program in a State, the Secretary of Defense shall enter into an agreement with the Governor of the State or, in the case of the District of Columbia, with the commanding general of the District of Columbia National Guard, under which the Governor or the commanding general will establish, organize, and administer the National Guard Challenge Program in the State. The agreement may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Applicant Eligibility:

State; The Secretary of Defense shall provide for the conduct of the National Guard Challenge Program in such States and U.S. territories and possession as the Secretary considers to be appropriate.

Beneficiary Eligibility:

State; The Secretary of Defense shall provide for the conduct of the National Guard Challenge Program in such States and U.S. territories and possession as the Secretary considers to be appropriate, except that Federal expenditures under the program may not exceed \$62.5M for fiscal year 01.

Credentials/Documentation:

Must be a State Entity and apply through the State Adjutant General's Office to the USPFO through National Guard. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See funding announcement

Application Procedures:

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2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The State shall develop a plan which includes details on student application and selection procedures, number of students to be trained, staffing, curriculum, Post-Residential phase execution, facilities and services, State public and private service to be provided and a detailed budget.

Award Procedure:

After Congress authorizes, approves, and appropriates funds for the project and the National Guard Bureau reviews and approves all necessary plans, specifications, bidding documents, contracts and other documentation, the award can be made.

Deadlines:

Not available.

Range of Approval/Disapproval Time:

Not Applicable. From 2 to 3 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. States must provide matchMOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One year from the approval of the agreement. Method of awarding/releasing assistance: other

Reports:

Program is required to submit reports to NGB on Number of Cadets Graduated etc. Quarterly funding reports are required to NGB. Program Director/Manager and Grants Officer submit performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

An inventory of program assets by each State.

Account Identification:

57-3840-0-1-051.

Obligations:

Formula Grants FY 20 \$192,347,849.00; FY 21 est \$197,892,000.00; FY 22 est \$210,000,000.00;

Range and Average of Financial Assistance:

\$1 M - \$205 M awards

TAFS Codes:

57-3840.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Added additional Youth Challenge Programs within National Guard

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Gwendolyn Duncan,
Directorate of Acquisitions National Guard Bureau/ Darcy Ostrander-Damon 703-607-2644.,
Alexandria VA 22311
Email: Gwendolyn.f.duncan2.civ@mail.mil
Phone: 608-372-0712
Fax:

Website Address:

<http://www.ngb.dtic.mil/indexstm/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Fund Youth Challenge Program with appropriate states within the National Guard

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.420 Military Medical Research and Development

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358.,

OBJECTIVES:

Through the support of basic, applied, and advanced biomedical research: (1) to transform health care for Service Members and the American public through innovative and impactful research; (2) to investigate medical solutions for the battlefield with a focus on various areas of biomedical research, including military infectious diseases, combat casualty care, military operational medicine, medical chemical and biological defense, clinical and rehabilitative medicine, blast injury and regenerative medicine; and (3) to fund novel approaches to biomedical research in response to the expressed needs of Congress.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant and cooperative agreement awards provide funds to develop science and technology capabilities in specific areas of interest identified in each funding opportunity announcement. Notices of funding opportunity may be called Broad Agency Announcements or Program Announcements. Recipients must strictly conform to all applicable Federal, Department of Defense (DoD), Army, U.S. Army Medical Command (MEDCOM), and U.S. Army Medical Research and Development Command (USAMRDC) requirements for conducting research, including regulatory requirements involving human subjects, human anatomical substances, human cadavers, and animals.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federal, State, and local governments; public, State, and private institutions of higher education, Federally Recognized Indian Tribal government, U.S. territory or possession, small business, profit organization, private nonprofit institution/organization, quasi-public nonprofit institution/organization, other private institution/organizations, and Native American organizations. Awards are not made to individuals.

Beneficiary Eligibility:

Federal; U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Federal, State, and local governments; public, State, and private

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institutions of higher education, Federally Recognized Indian Tribal government, U.S. territory or possession, small business, profit organization, private nonprofit institution/organization, quasi-public nonprofit institution/organization, other private institution/organizations, and Native American organizations.

Credentials/Documentation:

Recipient eligibility is contingent upon submission of certifications and representations required by Federal statutes and executive orders. Applicants must have a current registration in the System for Award Management (www.sam.gov) and furnish evidence of financial stability and managerial capability. Specific notices of funding opportunity require additional research-related and administrative documentation, and may also require safety, environmental, and other regulatory documentation prior to the award of any grant or cooperative agreement. Health/Medical Grant and cooperative agreement awards provide funds to develop science and technology capabilities in specific areas of interest identified in each funding opportunity announcement. Notices of funding opportunity may be called Broad Agency Announcements or Program Announcements. Recipients must strictly conform to all applicable Federal, Department of Defense (DoD), Army, U.S. Army Medical Command (MEDCOM), and U.S. Army Medical Research and Development Command (USAMRDC) requirements for conducting research, including regulatory requirements involving human subjects, human anatomical substances, human cadavers, and animals. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Each notice of funding opportunity specifies applicable pre-application requirements. Notices of funding opportunity typically require the applicants Principal Investigator to submit a pre-application through the Electronic Biomedical Research Application Portal (eBRAP, available at <https://eBRAP.org/>). Pre-applications are screened for military relevance and technical merit against criteria stated in each notice of funding opportunity. Following pre-application screening, the applicants Authorized Organization Representative and Principal Investigator will be notified regarding whether the applicant is invited to submit a full application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All extramural applications for assistance under this program must be submitted through the Grants.gov website (available at www.grants.gov). Applicants can find specific application procedures, including necessary forms and instructions for application submission, in each notice of funding opportunity. Notices of funding opportunity are available at Grants.gov by searching Assistance Listing Number 12.420 on the Search Grants tab.

Award Procedure:

All invited applications are evaluated by USAMRDC scientists, other Federal agency representatives, outside scientists with diverse expertise, clinicians, consumers, or combinations thereof, using a two-tier review process. The first tier is a peer review of applications against criteria stated in each notice of funding opportunity to determine technical merit. The second tier is a programmatic review that makes recommendations for funding based on technical merit, military relevance, the specific intent of the funding opportunity, and any other criteria specified in the notice of funding opportunity. The best-scoring applications from the first tier of review are not automatically recommended for funding. Award amount will be negotiated for those applications recommended for funding. Awards depend upon the availability of funding and priorities which USAMRDC determines to exist at the time of award. Only the Grants Officer has the authority to issue awards and obligate funds on behalf of the Federal government.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Pre-applications are normally reviewed within 30 to 60 days from submission deadline or, if no deadline, within 120 days from date of receipt. Full

applications are usually reviewed and notifications whether or not applications have been recommended for funding issued within 180 days from submission deadline or, if no deadline, from date of receipt. Awards are normally made within 9-12 months from funding notification.

Appeals:

Other. If an application is not recommended for funding and the Principal Investigator feels that a factual or procedural error was made by the reviewers, (s)he can submit an inquiry. Each notice of funding opportunity will include instructions for submitting an inquiry and the timeframe for submission.

Renewals:

Other. An award may be extended one time up to 12 months with no additional funds. Additional extensions may be considered. Follow on awards are treated as new awards. Once an award ends, if continued funding is desired, a new application must be submitted under an applicable funding opportunity announcement and competitively reviewed. If selected for funding, a new award will be issued.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum period acceptable for a research project is specified in each notice of funding opportunity. However, the maximum initial period of performance for any award is five years. The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. Depending on the source of funding, some awards will be fully funded at the time of award, while others will be incrementally funded. Method of awarding/releasing assistance: other

Reports:

Quarterly and final or annual and final SF425 Federal Financial Reports are required, as specified in the award. Grants and cooperative agreements require annual and final programmatic reports. At times, quarterly programmatic reports and additional charts may be required. Some grants and cooperative agreements also require regulatory reports related to research with human subjects and research involving animals. Each award will include the applicable reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For-profit entities are required to comply with 32 CFR part 34.

Records:

Generally, a recipient is required to retain records relating to a particular award for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period, whichever is latest. Records for real property and equipment acquired with Federal funds must be retained for three years after final disposition.

Account Identification:

21-2040-0-1-051. 97-0130-0-1-051.

Obligations:

Project Grants (Discretionary) FY 20 \$1,262,733,178.00; FY 21 est \$1,112,000,000.00; FY 22 est \$1,112,000,000.00;

Range and Average of Financial Assistance:

This Assistance Listing supports approximately 30 or more programs each year. Overall, awards are expected to range from \$100,000 to \$20M (consortium) depending on the funding priorities, anticipated number of awards, and project activity/scope within each program for an award period, on average, of 3 to 4 years. The maximum award amount, if any, is specified in each Program Announcement or Broad Agency Announcement.

TAFS Codes:

97-0130. 21-2040.

ASSISTANCE LISTINGS DESCRIPTIONS

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Congressionally Directed Medical Research Programs (CDMRP) is a global funding organization that fosters novel approaches to biomedical research in response to the expressed needs of its stakeholdersthe American public, the military, and Congress. CDMRP originated in 1992 when Congress first appropriated funds to the Department of Defense (DOD), specifically for breast cancer research. Since that time, Congress has added additional research programs and topics. CDMRP now manages individual research programs focused on military medical research, cancer research, and other disease-, disorder-, and injury-specific research. All the programs managed by CDMRP share the common goal of advancing paradigm-shifting research, solutions that will lead to cures or improvements in patient care, or breakthrough technologies and resources for clinical benefit. CDMRP strives to transform healthcare for Service members and the American public by funding innovative and impactful research. CDMRP oversees the investment of congressionally directed dollars to fund groundbreaking, high-impact, high-risk, high-reward, meritorious research that targets critical research gaps. CDMRP also provides management support of intramural and extramural military medical research. CDMRP is located under the US Army Futures Command and within US Army Medical Research and Development Command (USAMRDC). Since it first originated in fiscal year 1992 (FY92), CDMRP has been responsible for managing more than \$15.94 billion (B) in funding targeted for congressionally directed research areas/topics.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of funding opportunity are available at Grants.gov by searching Assistance Listing Number 12.420 on the Search Grants tab. Applicable requirements in the DoD Grant and Agreement Regulations (DoDGARs) found in Chapter I, Subchapter C of Title 32, Code of Federal Regulations (CFR) and Chapter XI of Title 2, CFR apply to grants and cooperative agreements resulting from this Assistance Listing.

Regional or Local Office:

None.

Headquarters Office:

Director Ms. Jennifer Cramer,
U.S. Army Medical Research Acquisition Activity, Attn: MCMR-AAP,
Fort Detrick MD 21702-5014
Email: jennifer.l.cramer9.civ@mail.mil
Phone: (301) 619-7145
Fax:

Website Address:

<https://www.usamraa.army.mil/Pages/Main01.aspx>

RELATED PROGRAMS:

12.340 Naval Medical Research and Development;

EXAMPLES OF FUNDED PROJECTS:

2020 Traumatic Brain Injury Research Program 2021 Hearing Restoration Research Program

CRITERIA FOR SELECTING PROPOSALS:

All applications are reviewed for technical merit and relevance to the mission of the USAMRDC and its programs. Also, additional specific evaluation criteria will be included in each funding opportunity announcement.

12.431 Basic Scientific Research

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358, , 10 USC Code 2371 and 2371b. 31 USC Code 6303.

OBJECTIVES:

To support basic research that is related to or has potential for leading to the improvement of Army programs or operations.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Basic Research in the mathematical, physical, engineering, biological and geosciences providing knowledge necessary for the Army to make informed decisions about further exploratory development to provide the technology base from which Army capabilities are drawn. Partial support of symposia in the listed disciplines. Programs to encourage careers in science and engineering by supporting outstanding graduate, undergraduate and high school students pursuing studies in areas of Army concern. Programs to increase the number of science and engineering graduates from under-represented minority groups. Programs providing means for universities to buy major, high-cost research equipment.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; See specific broad agency announcements or similar announcements resulting from this CFDA number for eligibility requirements. Awards will not be made to individuals.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Investigators and resulting awardees.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. see funding announcement

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ARO publishes general and specific broad agency announcements (BAAs) or similar announcements in grants.gov and, as the need arises, in the Federal Business Opportunities (www.fedbizopps.gov). Information regarding areas of scientific interest and points of contact are contained in the announcements. Application and award procedures are also contained in the announcement brochures. Pre-proposal contacts with ARO scientific staff are encouraged prior to submission of a formal application.

Award Procedure:

Upon receipt of a proposal, the ARO scientific staff will perform an initial review of its scientific merit and potential contribution to the Army mission and also determine if funds are expected to be available for the effort. Proposals not considered to have sufficient scientific merit or relevance to the Army's needs or those in areas for which funds are not expected to be available may be declined without further review. Proposals not declined as a result of the initial review may be subject to an extensive peer review by highly qualified scientists from within the government and leading scientists and other preeminent experts outside the government. Each proposal will be evaluated based on the evaluation factors specified in the particular announcement. An example of evaluation criteria include: (1) overall scientific and/or technical merits; (2) military and program relevance; (3) capabilities, related experience, facilities, techniques or unique combinations of these; (4) qualifications, capabilities and experience of the proposed principal investigator, team leader or other key personnel; (5) record of past performance; and (6) reasonableness and realism of proposed costs. If selected for award, the proposal is incorporated into a grant document and the awardee agrees to perform the research described therein.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

ASSISTANCE LISTINGS DESCRIPTIONS

Range of Approval/Disapproval Time:

Other. Formal proposals are normally reviewed and notification made within six months from submission. Grant awards are usually made within three months from notification.

Appeals:

Not Applicable.

Renewals:

Other. Once a grant is awarded, any follow-on research proposal is treated as a new request. Follow-on work should allow for the review time noted in the "award procedure" above.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. MANTECH Funds may have a matching requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. It is preferred that proposals submitted cover a three year period with each year proposed as a severable unit. The maximum period acceptable for a research proposal is five years. Method of awarding/releasing assistance: other

Reports:

Usually annual progress reports and a final report are required, but more frequent reporting may be specified in individual awards. The SF 425 Federal Financial Report Form is used to report expenditures. Interim performance reports include a description of activities, findings, conclusions, technical progress, accomplishments and planned activities for the next reporting period using the RPPR format. Financial status is reported for periods of performance concurrent with performance (technical progress) reports. Technical monitors review progress reports and make periodic visits to research facilities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

21-2040-0-1-051.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$627,577,754.00; FY 21 est \$630,000,000.00; FY 22 est \$650,000,000.00;

Range and Average of Financial Assistance:

Range: \$1,374,000.00-\$1,500,000.00 and Average Award: \$1,457,744.00

TAFS Codes:

21-2040.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Army Research Office (ARO) drives cutting-edge and disruptive scientific discoveries that will enable crucial future Army technologies and capabilities through high-risk, high pay-off research opportunities. ARO competitively select and fund basic research proposals from educational institutions, nonprofit organizations and private industry to increase fundamental knowledge and understanding in the chemical, life, physical, engineering, materials, mechanical, computing, information, network, mathematical, earth, and social sciences, related to long-term national security needs. The research funded is conducted at 250 universities across the country and around the world with more than 1,100 individual researchers. The results of these research efforts are transitioned to the Army research and development community, industry, or academia for future technological superiority of our Soldiers, Army and nation. The research awarded under the grants and cooperative agreements represents the most long-range Army view, with system applications often 20-30 years away.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Army Research Office Broad Agency Announcements (BAA) or similar announcements are available without cost from the sponsoring agency on grants.gov. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Kia S McCormick,
US ARMY RESEARCH OFFICE / ACC-APG-RTP DIVISION,
RESEARCH TRIANGLE PARK NC 27709-2211
Email: kia.s.mccormick.civ@mail.mil
Phone: 919-541-4281
Fax:

Website Address:

<https://www.arl.army.mil/business/broad-agency-announcements/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Develop Protein Crystal Polymer Hybrids; Listening to the Bioelectronic Signature of Cells; Corrosion Investigation and Modeling; Decoding Multisensory Information at Different Spatiotemporal Scales of Neuronal Resolution; Social Intelligent Agents; and Experimental Strain-Based Tissue Damage Analysis to Model Impact and Blast-Induced Traumatic Brain Injury Scenarios.

CRITERIA FOR SELECTING PROPOSALS:

i. The overall scientific and/or technical merits of the proposal.ii. The potential contributions of the effort to the Army mission and the extent to which the research effort will contribute to balancing the overall Army research program.iii. The qualifications, capabilities, and experience of the proposed PI, team leader, or other key personnel who are critical to achievement of the proposed objectives.iv. The applicant's record of past performance

12.432 U.S. Military Academy Athletic Programs at West Point

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

National Defense Authorization Act for Fiscal Year 2016, , 10 USC Code 7462.

OBJECTIVES:

To support the athletic programs of the U.S. Military Academy at West Point, NY.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance in the form of athletic program development and organizational support. Any funds must be expended in a manner consistent with the authorizing statute and its aforementioned purpose.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); The intent is to issue a cooperative agreement, without using competitive procedures, to the Army West Point Athletic Association in accordance with 10 U.S.C. 4362(a)(1) and subject to the conditions in 10 U.S.C. 4362(g).

Beneficiary Eligibility:

Private nonprofit institution/organization; No additional information.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed

ASSISTANCE LISTINGS DESCRIPTIONS

subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other proposal requirements specified by the awarding office. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact awarding office for application procedures.

Award Procedure:

Any cooperative agreement awarded will comply with the requirements in the DoD Grant and Agreement Regulations (DoDGARs) found in Chapter I, Subchapter C of Title 32, Code of Federal Regulations (CFR) and Chapter XI of Title 2, CFR.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance 10 U.S.C. 4362(e), any funds received by the Secretary under this section may be retained for use in support of the athletic programs of the Academy and shall remain available until expended. Method of awarding/releasing assistance: other

Reports:

As required by the award. As required by the award. As required by the award. As required by the award. As required by the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARs.

Account Identification:

21-2020-0-1-051.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$14,924,706.00; FY 21 est \$6,496,184.00; FY 22 est \$14,359,203.00;

Range and Average of Financial Assistance:

\$0.00-\$15,000,000

TAFS Codes:

21-2020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: ACC-APG-RTP Division awarded a cooperative agreement for research effort titled, High-Fidelity Simulations of Contaminate Dispersion and Model Improvements through Magnetic Resonance Measurements", in the amount of \$43,540.00 on behalf of the United States Military Academy (USMA) and their Advanced MRI Measurements for Data Analysis and Model Validation Campaign.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Julia Wertley-Rotenberry,
800 PARK OFFICE DRIVE,
RESEARCH TRIANGLE PARK NC 27709
Email: julia.b.wertleyrotenberry.civ@mail.mil
Phone: 919-541-4691
Fax:

Website Address:

<https://www.arl.army.mil/business/broad-agency-announcements/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 High-Fidelity Simulations of Contaminate Dispersion and Model Improvements through Magnetic Resonance Measurements on behalf of the United States Military Academy (USMA) and their Advanced MRI Measurements for Data Analysis and Model Validation Campaign.

CRITERIA FOR SELECTING PROPOSALS:

The criteria will be included in the individual announcements

12.440 Dissertation Year Fellowship

CMH Dissertation Fellowship,

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

National Defense Authorization Act PL 115-230, Title 10, Part USC, Section 7417, Statue 106-2511-2512, Public Law 102-484, 10 USC Code 4317.

OBJECTIVES:

The U.S. Army Center of Military History Dissertation Year Fellowship program is designed to support scholarly research and writing among qualified civilian graduate students preparing dissertations in the history of land warfare. The area of study is broadly defined, and includes military campaigns, biography, military organization and administration, policy, strategy, tactics, weaponry, technology, training, logistics, and the evolution of civil-military relations. Topics focusing on the history of the U.S. Army are preferred but not required.

TYPES OF ASSISTANCE:

Project Grants (Fellowships), Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

The fellowships can be used to cover any costs related to dissertation research, required visits to the Center of Military History, or as supplemental income during the writing/editing phase of the dissertation.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Applicants must be civilian U.S. citizens pursuing a doctoral degree in history. They must be unaffiliated with the federal government. Military personnel, civilian federal service employees, and contractors with the U.S. government are ineligible.

Awards will be issued to individual (natural person) recipients vice to institutions that an individual is attending for their doctoral degree.

Beneficiary Eligibility:

Graduate Student; U.S. Citizen; N/A

Credentials/Documentation:

Applicants must provide official copies of all transcripts along with a statement from their graduate committee advisor indicating that the applicant has met all requirements for the doctoral degree except for the dissertation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Deadlines are usually Jan. 15 of a given year; however, please see funding announcement to see if any change to that date in a given year. Three months before the application deadline, cover letter signed by the Chief Historian and brochure describing the Dissertation Year Fellowship will be mailed to colleges, universities, and historical societies. Notice of the fellowship program and instructions on how to apply will also be posted on the Society for Military History website and Facebook page. Solicitations for the grant will also be posted on grants.gov.

Award Procedure:

Upon selection for the award, fellows must sign a statement formally accepting the fellowship and agreeing to its terms. Paperwork is then submitted by CMH and the fellow to the Army Contracting Command which issues payment in the form of a lump-sum grant (fixed amount award).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is issued at the beginning of the fellowship year, based upon the academic calendar. Method of awarding/releasing assistance: lump sum.

Reports:

Recipient will submit to Center of Military History one hard-bound copy of dissertation within one year of completion of degree.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The terms and conditions of any grants issued will specify the records requirements.

Account Identification:

21-2020-0-1-503.

Obligations:

Project Grants (Fellowships) FY 20 \$0.00; FY 21 est \$20,000.00; FY 22 est \$30,000.00;

Range and Average of Financial Assistance:

Individual awards total \$10,000 per fellowship. The program offers between two (2) and three (3) fellowships per year depending upon funding availability.

TAFS Codes:

21-2020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Graduate students were able to have their research funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

AR 870-5 Section 2-4

Regional or Local Office:

None.

Headquarters Office:

Historian Maria Forte,
U.S. Army Center of Military History Ft. Lesley J. McNair 102 Fourth Avenue,

S.W.,

Washington DC 20319

Email: maria.e.forte.civ@mail.mil

Phone: 202.685.2305

Website Address:

<http://www.history.army.mil/html/about/fellowship.html>

RELATED PROGRAMS:

14.516 Doctoral Dissertation Research Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 \$10,000 per graduate student. This is funding to do research for their dissertations in the history of land warfare.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are based upon a combination of the dissertation proposal's relevance to the program's objectives, the feasibility of the research program described in the application, and the applicants overall credentials (as reflected in letter's of recommendation, a writing sample, and transcripts). A special panel of U.S. Army Center of Military History historians review the proposals, rate them, and send recommendations to the Chief of Military History for a final selection.

12.460 Fisher House Foundation

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

Public Law 115-245, section 8067, Title Defense Appropriations Act 2020, Section , Public Law 116-260, Section 8068, Title Consolidated Appropriations Act 2021,

OBJECTIVES:

To implement Congressionally-directed assistance to the Fisher House Foundation, Inc. The Fisher House Foundation, Inc. builds homes at military and veterans medical centers to provide lodging to family members supporting a wounded, seriously ill, or critically injured military patient.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Construction/Renewal/Rehabilitation Upon the determination of the Secretary of Defense that it shall serve the national interest, funds under this program will be available only for a grant to the Fisher House Foundation, Inc., for the construction and furnishing of additional Fisher Houses to meet the needs of military family members when confronted with the illness or hospitalization of an eligible military beneficiary. The Recipient must strictly conform to all applicable Federal, Department of Defense (DoD), and Army requirements as specified in the terms and conditions of the grant.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); The only eligible applicant is the Fisher House Foundation, Inc., as directed by Congress.

Beneficiary Eligibility:

Public nonprofit institution/organization; The eligible applicant is the beneficiary.

Credentials/Documentation:

Recipient eligibility is contingent upon submission of certifications and representations required by Federal statutes and executive orders. The Applicant must have a current registration in the System for Award Management (www.sam.gov) and furnish evidence of financial stability and managerial capability. Additional administrative, safety, environmental, or other regulatory documentation may be required prior to the award of any grant. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. The responsible Grants Officer may engage in pre-application coordination with the applicant in

ASSISTANCE LISTINGS DESCRIPTIONS

addition to or in lieu of issuing a notice of funding opportunity, at the Grants Officers discretion.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Grants Officer will invite the eligible Applicant to apply for assistance under this program through the Grants.gov website (available at www.grants.gov) and will specify the necessary forms and instructions for application submission.

Award Procedure:

DoD will evaluate whether the invited application fulfills the intended purpose of the Congressionally-directed assistance. The Grants Officer will review the budget documentation to determine whether the proposed costs are allowable, allocable, and reasonable. The Grants Officer will conduct other pre-award procedures as described in the Department of Defense Grant and Agreement Regulations (DoDGAR), Section 22.420. Any award depends upon the availability of funding. Only the Grants Officer has the authority to issue awards and obligate funds on behalf of the Federal government.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Approval time depends on the lawful availability of funding for obligation and the timeliness of receiving a complete application from the applicant.

Appeals:

Not Applicable.

Renewals:

Other. An award may be extended one time up to 12 months with no additional funds. Additional extensions may be considered. Availability of follow on awards is subject to Congressional direction.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Applicant is expected to propose a base period of performance costing up to the amount authorized by Congress and expected to be available for obligation at the time of award. The recipient may also propose option periods that may be exercised upon receiving future Congressional authorization. The maximum initial period of performance for any award is five years. Method of awarding/releasing assistance: other

Reports:

Any award will include the applicable progress reporting requirements. Any award will include the applicable expenditure reporting requirements. Any award will include the applicable performance monitoring requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, a recipient is required to retain records relating to a particular award for three years from the date of submission of the final financial report. In cases where litigation, claim or an audit is initiated prior to expiration of the three-year period, records must be retained until the action and resolution of any issues associated with it are complete or until the end of the three-year retention period, whichever is latest. Records for real property and equipment acquired with Federal funds must be retained for three years after final disposition.

Account Identification:

97-0130-0-1-051.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$10,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Single award dependent on Congressional direction. The only eligible applicant is the Fisher House Foundation, Inc., as directed by Congress.

TAFS Codes:

97-0130.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Fisher House Foundation builds comfort homes where military & veteran families can stay free of charge, while a loved one is in the hospital. These homes are located at military and VA medical centers around the world. Fisher Houses have up to 21 suites, with private bedrooms and baths. Families share a common kitchen, laundry facilities, a warm dining room, and an inviting living room. Since inception, the program has saved military and veterans families an estimated \$500 million in out-of-pocket costs for lodging and transportation. By the Numbers: Families served: 13,000 in 2020 Daily capacity: 1,200 families Families served: More than 413,000 since inception Number of lodging days offered: 10 million +

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable requirements in the DoD Grant and Agreement Regulations (DoDGARs) found in Chapter I, Subchapter C of Title 32, Code of Federal Regulations (CFR) and Chapter XI of Title 2, CFR apply to any grant resulting from this assistance listing.

Regional or Local Office:

None.

Headquarters Office:

Director Ms. Jennifer Cramer,
U.S. Army Medical Research Acquisition Activity, Attn: MCMR-AAP,
Fort Detrick MD 21702-5014
Email: usarmy.detrick.medcom-usamraa.list.assistance-policy@mail.mil
Phone: (301) 619-7145

Website Address:

<http://www.usamraa.army.mil/Pages/Main01.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The program has saved military and veterans families an estimated \$500 million in out-of-pocket costs for lodging and transportation. By the Numbers: Families served: 13,000 in 2020 Daily capacity: 1,200 families Families served: More than 413,000 since inception Number of lodging days offered: 10 million +

CRITERIA FOR SELECTING PROPOSALS:

DoD will evaluate whether the invited application fulfills the intended purpose of the Congressionally-directed assistance.

12.501 Training and Support Combating Weapons of Mass Destruction

FEDERAL AGENCY:

DEFENSE THREAT REDUCTION AGENCY (DTRA), DEPT OF DEFENSE AUTHORIZATION:

Title Department of Defense Appropriations, 2019 (DoDA 19), Part Division A, Section , Public Law -, Title John S. McCain National Defense Authorization Act for FY 2019, Section 1301-1302, Public Law 115-232

OBJECTIVES:

To support and stimulate training and collaborative efforts for solutions to combat or counter weapons of mass destruction (WMD).

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

Uses and restrictions are specified in individual program announcements, funding opportunities, award documents, and codified regulations applicable to award. Examples of types of activities include but are not limited to, training seminars and workshops. Funding opportunities will be posted on www.grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

Other public institution/organization; Non-Government - General; Profit organization; Other private institutions/organizations; Applicant eligibility is specified in individual program announcements, funding opportunities, award documents, and codified regulations applicable to award.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Competitions are open to private and public accredited institutions of higher education, non-profit organizations, non-Federal government entities and other qualified organizations as listed in the appropriate funding opportunity. Beneficiary Eligibility: Review funding opportunity for additional information.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the funding opportunity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants may submit proposals in response to relevant funding opportunity postings published on Grants.gov or www.SAM.gov under "Other Defense Agencies - DTRA".

Award Procedure:

Award decisions are based on evaluations of proposals using peer and/or scientific review. Evaluation criteria are contained in the funding opportunity. If selected for award, the proposal is the basis for the award document and the applicant agrees to perform the work described therein. Award recipients shall submit requests for payment using Invoicing, Receipt, Acceptance, and Property Transfer (IRAPT). Foreign award recipients must have a U.S. bank account and be signed up for electronic payments (electronic funds transfers (EFT)).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Deadlines: See funding opportunity for proposal deadlines. Range of Approval/Disapproval Time. Award times will be identified in the relevant funding opportunity.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding will reflect period of performance and may be provided incrementally in accordance with a payment schedule contained in the award document. Funding opportunities may be one year or multi-year projects. Funding for multi-year projects is dependent upon availability of funds and satisfactory progress. Method of awarding/releasing assistance: other

Reports:

Award recipients are expected to publish or otherwise make results of work publicly available. Copies of reports are furnished by the award recipient to a

prescribed list of addressees (as set forth in the award documents), which includes the Defense Technical Information Center (DTIC). Subsequent distribution to DoD agencies and their contractors is made by DTIC on a request basis. Performance reporting requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to award recipients financial and performance reports that are required by 2 CFR 200. Performance reports, both interim and final, are required. Interim performance reports are required on an annual basis using the Research Performance Progress Report (RPPR) format. A final financial report (SF-425) is required. Financial reporting requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to award recipients financial and performance reports that are required by 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200.501, non-Federal entities that expend \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or a program-specific audit conducted for that year in accordance with the provisions of this part. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit requirements for profit organizations are consistent with the requirements in the DoD Grant and Agreement Regulations (DoDGARs) at 32 CFR 34.16.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARs.

Account Identification:

97-0134-3-4-000.

Obligations:

Salaries and Expenses FY 20 \$14,360,138.00; FY 21 est \$10,718,463.00; FY 22 est \$18,619,085.00;

Range and Average of Financial Assistance:

Representative range is \$1M to \$5M.

TAFS Codes:

97-0134.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Awarded a grant to the World Organisation for Animal Health (OIE), Post Eradication Rinderpest Security and Biological Threat Reduction. All grants aim to support the BTRP mission to prevent, prepare, investigate and timely respond to animal biological threats caused by deliberate, natural or accidental events.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, Guidelines, and Literature sources are specified in the funding opportunity and within the terms and conditions of the award. The DoDGARs (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Grants Officer Mary Kay Chase,
8725 John J. Kingman Road,
Fort Belvoir VA 22060
Email: mary.k.chase2.civ@mail.mil
Phone: 5716166159

Website Address:

http://www.dtra.mil/be/business_opp/procurement/acq_procopp.cfm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Centre for Chemistry and Technology Construction. The objective of this

ASSISTANCE LISTINGS DESCRIPTIONS

grant is to obtain new and expanded facilities for the Grantee to enhance its analytical capabilities to develop new and enhanced tools for verification, as well as to maintain awareness of new developments in science and technology. Sero-prevalence of Q fever and molecular characterization of circulating pathogens in domestic ruminants in selected pastoral areas in Ethiopia. This study proposes to gather baseline data on disease prevalence in Ethiopia and associated risk factors in selected pastoral areas that can be used to inform and develop an effective prevention and control strategy.

CRITERIA FOR SELECTING PROPOSALS:

See the funding opportunity for the evaluation and selection criteria.

12.550 The Language Flagship Grants to Institutions of Higher Education

The Language Flagship,

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

David L. Boren National Security Education Act, , Public Law 102-183, 50 USC Code 1901 - 1912.

OBJECTIVES:

(1) To establish centers for the teaching of critical languages that enable students to reach or exceed Level 3 in proficiency based on the Interagency Language Roundtable (ILR) scale in African Languages, Arabic, Chinese, Hindi/Urdu, Korean, Persian, and Russian; (2) To develop curricular, institutional, and instructional models for advanced language learning; (3) To test those models; (4) To stimulate institutional support and long term commitment to reforming language learning; and (5) To improve national capacity in critical languages

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Subawards (grants) are made to U.S. institutions of higher education to develop or strengthen their capabilities to enhance the national capacity and educate U.S. citizens in critical languages and cultures together with a variety of academic and professional disciplines. The National Security Education Act places no special or unique requirements on institutions receiving subawards. The only requirements that govern all Federal subawards will apply to institutions receiving National Security Education Program (NSEP) assistance. No International exchange programs may be funded by NSEP.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); The award for this program is made to a nonprofit organization that administers this assistance program on behalf of DoD. The award is typically a cooperative agreement made to administer this CFDA program as well as CFDA # 12.551 (National Security Education Program David L. Boren Scholarships) and CFDA # 12.552 (National Security Education Program David L. Boren Fellowships). The award is reported to USASpending.gov only under this CFDA number since it has the most funding of the three programs.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Education (13+); Any accredited U.S. institution of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of 1965) is eligible to apply for assistance (subaward) under this program. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals for subawards but may not be receive assistance directly from the administering nonprofit organization. Eligible entities for subawards must be located within the fifty (50) states, the District of Columbia, Commonwealth of Puerto Rico, Commonwealth of Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, Republic of the Marshall Islands, and the Federated States of Micronesia.

Credentials/Documentation:

The cooperative agreement award is subject to: 1) receipt of applicable/acceptable

certifications and representations from applicant; 2) the applicant having a current registration in the System for Award Management (SAM) (www.sam.gov); 3), the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) the applicant meeting other proposal requirements specified by the awarding office. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible, private and public U.S. institutions of higher education may submit proposals for subawards in response to the relevant announcements for assistance under this program.

Award Procedure:

All qualified applications for subawards will be forwarded to an objective review committee. Subaward decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 60 to 90 days.

Renewals:

From 30 to 60 days. Renewals of subawards are negotiated through the administering nonprofit organization and are conducted annually based on performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Subawards are multi-year renewed annually based on performance, pending availability of funding. Method of awarding/releasing assistance: quarterly

Reports:

Cash reports are submitted to cooperative agreement partner on a quarterly basis on behalf of NSEP. On NSEP's behalf, the cooperative agreement partner requires semi-annual and annual progress reports. Progress and performance reports are submitted via <http://grants.thelanguageflagship.org>. Cash reports are submitted to the cooperative agreement partner on a quarterly basis on behalf of NSEP. Reports are submitted via <http://grants.thelanguageflagship.org>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0100-0-1-051.

Obligations:

Salaries and Expenses FY 20 \$41,390,000.00; FY 21 est \$43,000,000.00; FY 22 est \$43,000,000.00;

Range and Average of Financial Assistance:

Subawards range from \$200,000 to \$500,000 depending on type of project. The average award is estimated at \$325,000 per subaward for each budget period. The core program has four-year project periods pending availability of funds.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Scholarship and fellowship administration

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

Headquarters Office:

Senior Program Manager Ed McDermott,
Suite 08 G 084800 Mark Center Dr.,
Alexandria VA 22350

Email: g.e.mcdermott.civ@mail.mil

Phone: 571.256.0756

Fax: 703.588.0288

Website Address:

<http://www.thelanguageflagship.org>

RELATED PROGRAMS:

12.555 English for Heritage Language Speakers Scholarships; 12.551 National Security Education Program David L. Boren Scholarships; 12.579 Language Training Center; 12.357 ROTC Language and Culture Training Grants; 12.552 National Security Education Program David L. Boren Fellowships;

EXAMPLES OF FUNDED PROJECTS:

2020 Project GO 2021 Boren Scholarship

CRITERIA FOR SELECTING PROPOSALS:

Significance of the problem and subaward proposal's response to the problem; proposals effectiveness, and evaluation plans.

12.551 National Security Education Program David L. Boren Scholarships

Boren Scholarships Program,

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

David L. Boren National Security Education Act, , Public Law 102-183, 50 USC Code 1901-1912.

OBJECTIVES:

(1) To provide the necessary resources, accountability and flexibility to meet the national security education needs of the United States, especially as such needs change over time;(2) To increase the quantity, diversity, and quality of the teaching and learning of subjects in the fields of foreign language, area studies, counterproliferation studies, and other international fields that are critical to the Nations interest;(3) To produce an increased pool of applicants for work in the departments and agencies of the United States Government with national security responsibilities; and(4) To expand, in conjunction with other Federal programs, the international experience, knowledge base, and perspectives on which the United States citizenry, Government employees and leaders rely.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Scholarship awards are given to degree-seeking undergraduate U.S. citizens. All Boren Scholarship recipients agree to seek employment in the federal government, and serve for a period of time equal to the length of the award but no less than one year.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); The award for this program is made to a nonprofit organization that administers this assistance program on behalf of DoD. The award is typically a cooperative agreement made to administer this CFDA program as well as CFDA #

12.550 (The Language Flagship Grants to Institutions of Higher Education) and CFDA # 12.552 (National Security Education Program David L. Boren Fellowships). The award is reported to USASpending.gov under CFDA 12.550, which has the most funding between the three programs

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Education (13+); Any U.S. citizen enrolled in a degree seeking program at an accredited two- or four- year public or private U.S. institution of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of 1965) is eligible to apply for an undergraduate scholarship. Students enrolled in Federal government schools are not eligible.

Credentials/Documentation:

Award to applicant is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3), the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other proposal requirements specified by the awarding office. For beneficiaries, proof of enrollment and proof of U.S. citizenship is required . 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Beneficiaries include all eligible, U.S. undergraduate students may apply for scholarships in response to the relevant agency announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days.

Renewals:

Other. Boren Scholarship recipients may be eligible to apply for a NSEP David L. Boren Fellowship if they subsequently enroll in a graduate degree program at an accredited U.S. institution of higher education.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Eligible students may receive Boren Scholarships for no more than twelve (12) months. Boren Scholarships promote long term linguistic and cultural immersion, and therefore study abroad proposals for two or more semesters are strongly encouraged. Preference will be given to undergraduate applicants proposing a full-year academic study. Summer-only programs must be eight weeks or more and are limited to science, technology, engineering and mathematics (STEM) students. Method of awarding/releasing assistance: other

Reports:

On behalf of NSEP, the nonprofit organization administering the program collects periodic progress reports. Each award recipient receives an oral proficiency interview (OPI) before traveling abroad in the language for which they receive funding and then again after returning to the U.S. Those who have no proficiency before traveling are waived the pre-test. Also, in some rare languages there are no OPIs available.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

ASSISTANCE LISTINGS DESCRIPTIONS

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

97-0100-0-1-051.

Obligations:

Cooperative Agreements FY 20 \$20,000,000.00; FY 21 est \$23,000,000.00; FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

Assistance to beneficiaries range: \$0 - \$20,000. Average - \$18,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Career Seminar and Planning Implementation. Language Proficiency testing Outreach and Implementation

REGULATIONS, GUIDELINES, AND LITERATURE:

50 U.S.C. 1901-1912 (Chap. 37); and Department of Defense Instruction 1025.6, "National Security Education Program Service Agreement," December 20, 1996. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None. For more information, go to www.borenawards.org.

Headquarters Office:

Program Analyst Alison Patz,
Suite 08 G 084800 Mark Center Dr.,
Alexandria VA 22350
Email: Alison.m.patz.civ@mail.mil
Phone: 571.256.0771
Fax: 703.696.5667

Website Address:

<http://www.borenawards.org>

RELATED PROGRAMS:

12.555 English for Heritage Language Speakers Scholarships; 12.579 Language Training Center; 12.357 ROTC Language and Culture Training Grants; 12.550 National Flagship Language Program Grants To U.S. Institutions Of Higher Education; 12.552 National Security Education Program David L. Boren Fellowships;

EXAMPLES OF FUNDED PROJECTS:

2021 LTC Fellowship and Scholarships

CRITERIA FOR SELECTING PROPOSALS:

Boren Scholarship recipients (beneficiaries) are selected based on merit including (1) academic record and potential to succeed in the proposed study, (2) commitment to federal service, (3) language interests and aptitude, and (4) quality and appropriateness of the proposed program and its relevance to the National Security Education Program.

12.552 National Security Education Program David L. Boren Fellowships

Boren Fellowships Program,

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

David L. Boren National Security Education Act, Public Law 102-183, 50 U.S.C. 1901-1912., , Public Law 102-183, 50 USC Code 1901-1912.

OBJECTIVES:

(1) To provide the necessary resources, accountability and flexibility to meet the

national security education needs of the United States, especially as such needs change over time;(2) To increase the quantity, diversity, and quality of the teaching and learning of subjects in the fields of foreign language, area studies, counterproliferation studies, and other international fields that are critical to the Nations interest;(3) To produce an increased pool of applicants for work in the departments and agencies of the United States Government with national security responsibilities; and(4) To expand, in conjunction with other Federal programs, the international experience, knowledge base, and perspectives on which the United States citizenry, Government employees and leaders rely.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

Fellowship awards are made to degree-seeking graduate-level U.S. citizens and provide funding for individuals to study a critical language and culture overseas during the course of their degree-seeking studies. All Boren Fellowship recipients agree to seek employment with the U.S. federal government, and serve for a period of time equal to the length of the award but no less than one year.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); The award for this program is made to a nonprofit organization that administers this assistance program on behalf of DoD. The award is typically a cooperative agreement to administer this CFDA program as well as CFDA # 12.550 (The Language Flagship Grants to Institutions of Higher Education) and CFDA # 12.551 (National Security Education Program David L. Boren Scholarships). The award is reported to USASpending.gov under CFDA 12.550, which has the most funding between the three programs.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Education (13+); Any U.S. citizen enrolled in an accredited public or private U.S. institution of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of 1965) is eligible to apply for a graduate fellowship. Students enrolled in Federal government schools are not eligible.

Credentials/Documentation:

Award to applicant is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other proposal requirements specified by the awarding office. For beneficiaries, proof of enrollment and proof of U.S. citizenship is required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible, U.S. graduate students may apply for fellowships in response to the relevant announcement.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 60 to 90 days.

Renewals:

Other. Boren Fellowship recipients are eligible to apply for a Boren Scholarship if they subsequently enroll in an undergraduate degree program at an accredited U.S. institution of higher education.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Boren Fellowship awards are made for a minimum of 12 weeks and maximum of 24 months. All overseas study must be a minimum of 12 weeks, and preference will be given to applicants proposing overseas programs of 6 months or longer. However, applicants proposing overseas programs of 3-6 months, especially those in the STEM (science, technology, engineering, and mathematics) fields are encouraged to apply. Method of awarding/releasing assistance: other

Reports:

On behalf of NSEP, the nonprofit organization administering the program collects periodic progress reports. Each award recipient (beneficiary) receives an oral proficiency interview (OPI) before traveling abroad in the language for which they received funding, and then again after returning to the U.S. Those who have no proficiency before traveling are waived the pre-test. Also, in some rare languages, there are no OPIs available.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

97-0100-0-1-051.

Obligations:

Project Grants (Fellowships) FY 20 \$2,000,000.00; FY 21 est \$20,000,000.00; FY 22 est \$20,000,000.00.

Range and Average of Financial Assistance:

Assistance to beneficiaries range: \$0 - \$30,000. Average: \$23,000.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Outreach Plan and Implementation Language Proficiency Testing Career Seminar and Testing

REGULATIONS, GUIDELINES, AND LITERATURE:

50 U.S.C. 1901-1911 (Chap. 37); Department of Defense Instructions 1025.02, "National Security Education Program," March 09, 2006; and Department of Defense Instruction 1025.6, "National Security Education Program Service Agreement," December 20, 1996. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

Headquarters Office:

Program Analyst Alison Patz,
Suite 08 G 084800 Mark Center Dr.,
Alexandria VA 22350
Email: alison.m.patz.civ@mail.mil
Phone: 571.256.0771
Fax: 703.696.5667

Website Address:

<http://www.borenawards.org>

RELATED PROGRAMS:

12.555 English for Heritage Language Speakers Scholarships; 12.551 National Security Education Program David L. Boren Scholarships; 12.579 Language Training Center; 12.357 ROTC Language and Culture Training Grants; 12.550 National Flagship Language Program Grants To U.S. Institutions Of Higher Education;

EXAMPLES OF FUNDED PROJECTS:

2021 Boren Fellowship and Scholarship

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria is outlined in each announcement

12.554 English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education

National Security Education Program (NSEP),

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

50 U.S.C. 1901-1911.,

OBJECTIVES:

The English for Heritage Language Speakers (EHLS) program is designed to provide intensive English language instruction for U. S. citizens who are native speakers of critical languages. The goal of the program is to enable participants to reach professional working proficiency in English, as measured by the federal Interagency Language Roundtable (ILR) level 3 and/or the American Council on the Teaching of Foreign Languages (ACTFL) superior level. The program equips participants with the ability to read, write, speak, and listen at a superior level in an effort to build a critical base of future leaders to serve in the federal government service and the broader professional community.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant awards are made to U.S. institutions of higher education to develop or strengthen their capabilities to enhance the national capacity and provide English-as-a-second-language instruction to native speakers of critical languages who are U.S. citizens. The National Security Education Act places no special or unique requirements on grantee institutions. Only requirements that govern all Federal grants will apply to NSEP grants. No International exchange programs may be funded by NSEP.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Any accredited U.S. institution of higher education (defined in Section 1201(a) of the Higher Education Act of 1965, 20 U.S.C. 1141(a) is eligible to apply for a grant. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); State; Public nonprofit institution/organization; Accredited U.S. institutions of higher education, their students, and U.S. citizens with superior-level proficiency in their native language.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible, private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting

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from an independent merit review.

Deadlines:

Specified in requests for applications released on behalf of NSEP by the Center for Applied Linguistic (CAL).

Range of Approval/Disapproval Time:

Not Applicable. From 45 to 60 days at each stage of a 2 stage process.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Renewals are negotiated through CAL, and are conducted annually based on performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are multi-year renewed each year based on performance.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The Comptroller General of the United States or a duly authorized representative from the General Accounting Office shall, until 3 years after final payment under this grant have access to and right to examine any of the recipient's directly pertinent books, documents, papers, or other records involving transactions related to this grant.

Account Identification:

97-0100-0-1-051.

Obligations:

FY 07 \$2,000,000.00; FY 08 Not Available; and FY 09 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Dr. Kevin Gormley, Department of Defense, National Defense University, National Security Education Program, 1101 Wilson Boulevard, Suite 1210, Arlington, VA 22209-2248. Telephone: (703) 696-1991, E-Mail Address: nsepo@ndu.edu, or Ms. Deborah Kennedy, Center for Applied Linguistics, 4646 40th Street NW, Washington, DC 20016. Telephone: (202)355-1572, E-mail Address: ehls@cal.org.,

Website Address:

<http://www.cal.org/ehls>.

RELATED PROGRAMS:

12.555 English for Heritage Language Speakers Scholarships;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.556 Competitive Grants: Promoting K-12 Student Achievement at Military-Connected

Schools

The Department of Defense Education Activity (DoDEA) Educational Partnership Grant Program.,

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

Section 574 (d) of P.L. 109-364, as amended by Section 553 of P.L. 110-417; Title 10 U.S.C. Section 2192(b) and Title 10 U.S.C. Section 2193a,

OBJECTIVES:

The DoDEA Educational Partnership Grant Program provide resources for local education agencies [LEAs] to meet the academic, social and emotional needs of the highly mobile military-connected students in their community. The Grant Program specifically provides resources to military-connected LEAs to develop and implement projects that are designed to: Increase academic performance based on College and Career Ready outcomes, Support social emotional needs of military connected students, Enhance and integrate technology through instruction and student work; and, Promote advanced placement and expanded learning opportunities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects use nationally recognized, research-based best practices to enhance student achievement primarily in academic areas. Projects should address identified needs at the target school(s). Projects must have an evaluation plan that uses multiple sources to measure both the fidelity and the results of implementation, especially as they contribute to improving the student achievement of military students at the target schools. The definition of military student is specified in the Federal Register announcement.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Awards will be made to local educational agencies (LEAs) on behalf of their eligible school(s). To qualify all participating schools must have a 10 percent or greater military dependent student enrollment.

Beneficiary Eligibility:

Local; Education (0-8); Education (9-12); K-12 students are the primary beneficiaries, although the grants may also fund teacher professional development.

Credentials/Documentation:

Awards are subject to the requirements in the notices of funding opportunity posted on grants.gov, including submission of certifications and representations and having a current registration in the System for Award Management (www.sam.gov). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must complete Standard Forms 424,424A, and applicable certifications and representations (e.g., Lobbying).

Award Procedure:

1. Grants.gov will announce a notice of funding opportunity for this program and provide application instructions. 2. LEAs will apply directly to Grants.gov for opportunity. 3. LEAs apply by submitting required package paperwork through Grants.gov funding opportunity link. 4. Applications are peer reviewed using the evaluation criteria listed in the notice, with DoDEA making the final award selections.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Funding decisions are based on the following specific criteria: Evaluation of risks posed by applicant to include history of performance

ASSISTANCE LISTINGS DESCRIPTIONS

and ability to effectively implement requirements. Application scores, as determined by peer reviewers. Availability of Federal funds.

Appeals:

Other. Appeals may be made to the DoDEA Grant Program Manager.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant award cycles are up to 5 years. Method of awarding/releasing assistance: other

Reports:

LEA's submit semester performance reports and an annual performance report detailing program progress and results. The program's evaluation plan largely determines the specifics of each report. Data must be disaggregated to show military students' progress/achievement. An annual and final transactions report (SF425) and at least quarterly Request for advance or reimbursement (SF270) are required. At the end of the grant award cycle, the LEA must submit a final performance report and final SF425. LEAs submit semester reports detailing program progress and results. The program's evaluation plan largely determines the specifics of each report. Annual financial reports (SF425), final financial report (SF425), request for advance or reimbursement (SF270) are required. LEA's submit semesterly reports detailing program progress and results. The program's evaluation plan largely determines the specifics of each report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0100-0-6-000.

Obligations:

Project Grants (Discretionary) FY 20 \$22,378,125.00; FY 21 est \$20,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

\$250,000 to \$1,500,000 implemented over a 5 year cycle.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Students are building two, 19-foot-long replicas of US Coast Guard dories that were used by the surfmen who staffed the Life Saving Station before it became a Coast Guard station in Kittery.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and Department of Defense Grant and Agreement Regulations are applicable to the project grants. The agency also lists grant information on Grants.gov.

Regional or Local Office:

None. The awarding agency is located at DoDEA headquarters in Arlington, VA.

Headquarters Office:

Education Partnership Specialist Jennifer Dailey-Perkins,
4800 Mark Center Drive,
Alexandria VA 22350
Email: grants@hq.dodea.edu
Phone: 571-372-6026

Website Address:

<http://www.dodea.edu/Partnership/grants.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 High school students in Kittery School District are part of a unique program that has supported social-emotional learning and STEM efforts during the COVID environment. The Wood Island Life Saving Station Association (WILSSA) in Kittery, Maine, strives to preserve the century-old Kittery site previously manned by predecessors of our modern-day Coast Guard. As part of educational efforts to raise awareness of the heroic surfmen of the old US Life Saving Service, WILSSA is partnering with Traip Academy, the town's public high school, to create a unique boatbuilding class. More than a dozen Traip students are not only learning new skills in wooden boat building, but local maritime history as well. The program encourages students to research and construct dories, supported by partnerships with the Portsmouth Naval Shipyard and Lowell's Boat Shop, the oldest boat shop in the country. Students are building two, 19-foot-long replicas of US Coast Guard dories that were used by the surfmen who staffed the Life Saving Station before it became a Coast Guard station in Kittery.

CRITERIA FOR SELECTING PROPOSALS:

The selection of proposals are based on five criteria. They were weighted in the following order: implementation plan, evaluation plan, needs assessment, goals/outcomes, and budget/sustainability. Additionally, the funding decisions are based on the following specific criteria: Evaluation of risks posed by applicant to include history of performance and ability to effectively implement requirements; Application scores, as determined by peer reviewers; and the availability of Federal funds.

12.557 Invitational Grants for Military-Connected Schools

DoDEA Grant,

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

Section 574(d) of Public Law 109-364, as amended by Section 553 of Public Law 110-417; Title 10 U.S.C. Section 2192(b) and Title 10 U.S.C. Section 2193a,

OBJECTIVES:

To enhance student learning opportunities, student achievement, educator professional development, and to ease the challenges students who are military dependents face due to their parents military station transfers or deployments. These grants may be used to address student achievement in science, technology, mathematics, English language arts, or reading. In addition, grants funds may be used for students transitional needs, such as additional guidance counselor support.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects use nationally recognized, research-based best practices to improve instruction and achievement and widely accepted strategies to ease the challenges of transitions / deployments. Projects must have an evaluation plan that uses multiple sources to measure both program implementation and results. School districts may not solicit the Department of Defense Education Activity (DoDEA) to participate.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); These DoDEA grants are awarded to military-connected local educational agencies (LEAs) by invitation only.

Beneficiary Eligibility:

Education (0-8); Education (9-12); K-12 students are the primary beneficiaries, although grants may also fund teacher professional development.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must complete Standard Form (SF) 424, SF 424A, SF 424B. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

1. Invited LEAs receive applications for grants. 2. LEAs work with DoDEA experts to complete the application. 3. Completed applications are reviewed by DoDEA experts and approved by DoDEA senior leadership.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 1 to 15 days. Appeals may be made to the DoDEA grants officer.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In FY 09, grants were made during the fiscal year (October 2008-September 2009). School districts may be reimbursed after submitting invoices. Arrangements can also be made by the school district--through the DoDEA grants officer--to receive advance payments. Method of awarding/releasing assistance: other

Reports:

Program reports cover the fidelity of implementation and project results as described in the project's evaluation plan. Standard financial reporting is required. Districts submit semi-annual reports detailing program progress and results. Data must be disaggregated to show military students' progress/achievement. Standard financial reporting is required. Districts submit semi-annual reports detailing program progress and results. Data must be disaggregated to show military students' progress/achievement. Annual SF425, final SF425 and SF270 are required. Semester and Annual performance reporting are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Semi-annual progress reports
Quarterly financial reports
Request for advance or reimbursement

Account Identification:

97-0100-0-6-000.

Obligations:

Project Grants (Discretionary) FY 20 \$22,000,000.00; FY 21 est \$19,000,000.00; FY 22 est \$9,000,000.00;

Range and Average of Financial Assistance:

\$250,000 to \$1,000,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: STEM focused projects for PK-12 public school students, they range from implementation of after school programming such as FIRST robotics to in-class curriculum instruction in science courses

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200

Regional or Local Office:

None.

Headquarters Office:

Contracts and Grants Liaison Jennifer Dailey-Perkins,

4800 Mark Center Drive,

Alexandria VA 22350

Email: jennifer.perkins@dodea.edu

Phone: 5713726026

Fax:

Website Address:

<https://dodeagrants.org/>

RELATED PROGRAMS:

12.556 Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools;

EXAMPLES OF FUNDED PROJECTS:

2021 STEM focused for PK-12 public school students and range from implementation of after school programming such as FIRST robotics to in-class curriculum instruction in science courses

CRITERIA FOR SELECTING PROPOSALS:

Merit review of proposal will be conducted.

12.558 Department of Defense Impact Aid (Supplement, CWSD, BRAC)

DoD Impact Aid Program,

FEDERAL AGENCY:

DEPT OF DEFENSE EDUCATION ACTIVITY (DODEA), DEPT OF DEFENSE AUTHORIZATION:

Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001, , Public Law 106-398, 20 USC Code 7703a-7703b.

OBJECTIVES:

Reimbursement to local educational agencies (LEAs) for education related costs for military dependent children enrolled in the LEA during the specified school year.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

There are no prescribed uses or restrictions for the DoD Impact Aid Supplemental awards.

Applicant Eligibility:

Other public institution/organization; Only LEAs eligible for Federal Impact Aid pursuant to Section 7703 of Title 20, U.S. Code may be eligible for one or more of the three independent DoD Impact Aid Programs.

Beneficiary Eligibility:

State; Local; Education (0-8); Education (9-12); Please see the DoD Impact Aid web site for detailed eligibility information:

<https://www.dodea.edu/Partnership/impact.cfm>

Credentials/Documentation:

The DoD Impact Aid for Children with Severe Disabilities Program annually notifies eligible LEAs that they may apply for DoD Impact Aid for Children with Severe Disabilities. A SD Form 816/816c must be submitted if the eligible LEA wants to apply. While no applications are required for DoD Impact Aid Supplemental and Large Scale Rebasings, banking information is required for award distribution. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Congressional law dictates that the DoD acquires eligibility data from the U.S. Department of Education, Office of Impact Aid Programs. Environmental

ASSISTANCE LISTINGS DESCRIPTIONS

impact information is not required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see the DoD Impact Aid web site for applicable application procedures:

<http://www.dodea.edu/partnership/impact.cfm>

Award Procedure:

LEAs are notified by email. Financial assistance is deposited into a designated, verified LEA bank account.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Only DoD Impact Aid for Children with Severe Disabilities has an application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

If the funds are appropriated by Congress, this financial assistance is available prior to September 30th of each fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

Annual CWSD applicates are required to submit a detailed cost analysis on the SD Form 816/816c, 'Application for DoD Impact Aid for CWSD for School Year 20__ - 20__'. All other eligibility data is provided by the U.S. Department of Education, Office of Impact Aid Programs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

For DoD Impact Aid for Children with Severe Disabilities- According to Section 222.10 of 34, Code of Federal Regulations: An LEA must retain the records described in Section 222.9 until the later of (a) Three years after the last payment for a FY; or (b) If the records have been questioned on Federal audit or review, until the question is finally resolved and any necessary adjustments to payments have been made.

Account Identification:

97-0010-0-6-000.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$50,000.00; FY 21 est \$50,000.00; FY 22 est \$50,000.00; Direct Payments for Specified Use FY 20 \$20,000.00; FY 21 est \$20,000.00; and FY 22 est \$10,000.00;

Range and Average of Financial Assistance:

in FY20, Supplemental awards ranged from \$3,032 to \$3,956,330 and CWSD awards ranged from 32,022 to \$4,646,665.

TAFS Codes:

97-0010.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: These funds are a reimbursement to LEAs for education related costs for military dependent children enrolled in the LEA during the specified school year.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 20 U.S.C. 7703a, 20 U.S.C. 7703b, and Department of Defense Education

Activity Administrative Instruction 1325.01., Department of Defense Impact Aid Program for Local Educational Agencies.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Todd Ruh,

Department of Defense Education Activity, Partnership and Resources Division, Alexandria VA 22350

Email: DoDEAimpactaid@dodea.edu

Phone: 571-372-5870

Fax:

Website Address:

<http://www.dodea.edu/partnership/impact.cfm>

RELATED PROGRAMS:

84.041 Impact Aid;

EXAMPLES OF FUNDED PROJECTS:

2020 These funds are a reimbursement to LEAs for education related costs for military dependent children enrolled in the LEA during the specified school year.

CRITERIA FOR SELECTING PROPOSALS:

Congressional law dictates that the DoD acquires eligibility data from the U.S. Department of Education, Office of Impact Aid Programs.

12.560 DOD, NDEP, DOTC-STEM Education Outreach Implementation

FEDERAL AGENCY:

IMMEDIATE OFFICE OF THE SECRETARY OF DEFENSE, DEPT OF DEFENSE

AUTHORIZATION:

Title U.S.C., Part 10, Section 2194

OBJECTIVES:

To obtain support for the management, development, and furthering of new and existing partnerships between the Department of Defense, National Defense Education Program, and Defense Ordnance Technology Consortium, for Science, Technology, Engineering, and Mathematics education and other outreach organizations, professional societies, and local education activities. The scope will cover all 50 states, U.S. territories, and DoDEA schools.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

1. Recommend, manage, consult, and support; development, negotiation, and pilot execution of; partnerships between DoD/ NDEP/DOTC-STEM and various education outreach organizations, professional societies, and local education groups.
2. Provide management and logistics support to convene: a series of regional and national community dialogs, professional development and planning workshops, and conferences on DoD/ NDEP/DOTC-STEM education and community outreach issues.
3. Develop concepts and tests on applying distance learning to DoD/ NDEP/DOTC-STEM outreach.
4. Provide training, marketing and outreach videos.
5. Provide programmatic support to the DOD DOTC-STEM office for student learning modules (SLM) and pre-engineering partnership (PEP) activities and their development, selection, modification, field-testing and other DoD/ NDEP/DOTC-STEM activities.
6. Support the alignment of Materials World Modules (MWM) and other DoD/ NDEP/DOTC-STEM educational outreach activities.
7. Provide an established, effective evaluation model and techniques for assessing the effectiveness of MWM and other DoD/ NDEP/DOTC-STEM outreach activities when deployed to large numbers of schools and districts.
8. Informing K-12 students of the possibility of a career in the STEM fields so as to insure that a viable workforce will be available from which DoD can recruit future scientists and engineers.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Anyone/general public; N/A

Beneficiary Eligibility:

Anyone/general public; Students and faculty in K-12+

Credentials/Documentation:

Applicants should reference the funding announcement on grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SF 424 R&R, SF-LLL Award Procedure:

Grant opportunity is posted on Grants.gov and Fedbizops. All responsive proposals will be evaluated in accordance with the evaluation criteria contained in the posted announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. N/A

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Any restrictions will be discussed in the grant opportunity document. Method of awarding/releasing assistance: other

Reports:

Grant recipient will be required to submit financial and performance (technical) reports. All financial reports and performance (technical) reports shall be submitted to the DOTC Grants Officer and to the DOTC-STEM Director. Electronic submission of performance reports is preferred. All reports will be submitted on a quarterly schedule and must be submitted no later than 30 days following the end of each quarter from the start date of the award. The comprehensive final report is due 90 days after the award expiration.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Defense Contract Audit Agency (DCAA) performs the auditing function for this program.

Records:

Recipients are required to maintain records adequate to document costs incurred. Financial records, supporting documents, statistical records and all other records pertinent to an agreement will be retained for a period of 3 years. The retention period starts from the date of the submission of the final expenditure report or, for grants that are renewed annually, from the date of the submission of the annual financial status report. The recipient will allow access to any pertinent books, documents, papers, and records of the recipient organization and their subrecipients to make audits, examinations, excerpts and transcripts.

Account Identification:

97-0400-1-1-001.

Obligations:

Project Grants FY 19 \$3,163,523.00; FY 20 est \$1,195,718.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

For FY2020: \$10k-\$75k; average of \$35k For FY2021: No additional funding; Funded STEM activities identified for FY2020 are in process of being completed, mostly in a virtual/telepresence environment, as a Grant period of performance extension was granted due to COVID-19.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Provided the students of the State of NJ and the families of Pocatiny employees access to the Dimension U Mathematics Video Game: Dimension U is a first person math video game designed to teach students how to function in a high intensity test taking environment while becoming aware of the verbiage and methods by which various state tests are written. Dimension U also provides the educators a passive means by which to determine the strengths and weaknesses of a cohort of students with respect to process retention and execution via monitoring their game play to see where there may be issues.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Morgan Ziatyk,
Phipps Road, Building 9,
Pocatiny Arsenal NJ 07806
Email: morgan.f.ziatyk.civ@mail.mil
Phone: (973) 724-3504

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Fiscal Year 2021: Provided the students of the State of NJ and the families of Pocatiny employees access to the Dimension U Mathematics Video Game: Dimension U is a first person math video game designed to teach students how to function in a high intensity test taking environment while becoming aware of the verbiage and methods by which various state tests are written. Dimension U also provides the educators a passive means by which to determine the strengths and weaknesses of a cohort of students with respect to process retention and execution via monitoring their game play to see where there may be issues.

CRITERIA FOR SELECTING PROPOSALS:

The DOTC-STEM Program Manager may review the ranking of the proposals and recommendations of the technical review panel. The reviewers independent ratings will be reconciled into a single rating for source selection purposes and a consensus will be drawn. Recommendations will be made to the Selecting Official whereby; the technical factor is approximately equal in ranking to that of the past performance factor. And both the technical and past performance factors rankings are significantly more important than cost. The average rank order from the review panel will be the primary consideration for the Selection Official in deciding which of the new proposals will be recommended for funding to the Grants Officer on a best value basis. However, the Selecting Official will select proposals after considering the technical reviews and recommendations of the DOTC-STEM Program Manager.

12.579 Language Training Center

Language Training Center Program,

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

National Defense Authorization Act of 2010, Section 529, Public Law 111-84

OBJECTIVES:

The Language Training Center Program is a DOD-funded initiative that seeks to accelerate the development of foundational or higher-level expertise in critical and strategic languages and regional studies for DOD personnel (both military and civilian) by leveraging U.S. institutions of higher education to meet the existing and demonstrated training needs of DOD units, offices, or agencies.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to institutions of higher education to increase DOD's training capacity in critical and strategic languages and regional area studies for DOD personnel. Grant awards are made to accredited U.S. institutions of higher education.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Any accredited U.S. institutions of higher education (defined in 20 U.S.C. 1001 of the Higher Education Act of 1965) is eligible for a grant. This includes 2- and 4-year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible. Applicants must demonstrate an institutional commitment to increasing the critical language and cross-cultural proficiency of DOD personnel as well as proven institutional capability to provide quality instruction in these subject areas.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Education (13+); Public nonprofit institution/organization; Accredited U.S. institutions of higher education.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements posted on grants.gov.

Award Procedure:

Award decisions will be based on competitive selection of proposals resulting from an independent merit review by a panel comprised of specialists in language acquisition and study abroad as well as representatives from the military services.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are negotiated and are conducted annually based on national program strategy as well as institutional performance and availability of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are single year awards and may be renewed up to two years. Additional renewal years are possible based on performance, program needs, and availability of funding. Method of awarding/releasing assistance: quarterly

Reports:

Challenges, success, enrollment figures are reported on a quarterly basis. Funds expended on a quarterly basis are reported. Challenges, success, enrollment figures are reported on a quarterly basis. Funds expended on a quarterly basis are reported. Annual site visits and program reviews are conducted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A program-specific audit will be conducted each year.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

97-0100-7-3-001.

Obligations:

Project Grants FY 17 \$8,755,000.00; FY 18 est \$5,800,000.00; FY 19 est \$5,800,000.00;

Range and Average of Financial Assistance:

Grant range from \$150,000 to \$2,000,000 annually for a single year project

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Fellowship and Scholarship administration

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to any DoD grants and cooperative agreements. Regulations applicable to this program are at 32 CFR Part 208.

Regional or Local Office:

Headquarters Office:

Senior Program Manager Shirley T. Rapues,
4800 Mark Center Drive,
Alexandria VA 22350
Email: shirley.t.rapues.civ@mail.mil
Phone: (571) 256-0716
Fax: (703) 588-5667

Website Address:

<http://www.dodlrc.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2022 LTC Training Program

CRITERIA FOR SELECTING PROPOSALS:

All projects must demonstrate existing institutional capacity and commitment to provide high-quality language training to DOD personnel. It must also demonstrate a clear, collaborative partnership with a DOD unit, office, or agency and their ability to demonstrate how their proposed training meets a DOD mission requirement.

12.599 Congressionally Directed Assistance

ASSISTANCE LISTINGS DESCRIPTIONS

Annual Congressionally Directed Assistance,

FEDERAL AGENCY:

Office of the Secretary of Defense, DEPT OF DEFENSE

AUTHORIZATION:

Department of Defense Appropriations Act (annual),

OBJECTIVES:

To implement Congressionally directed assistance for the purposes identified in the Department of Defense (DoD) annual appropriations act. The resulting assistance instruments support a variety of Congressional priorities. DoD awards the appropriate assistance instruments for the Congressionally identified purposes consistent with its authority, including applicable requirements for merit-based or competitive processes.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Uses and use restrictions may vary in accordance with the nature of the assistance identified in DoD's annual appropriations act. DoD takes appropriate account of other appropriate sources of supporting information consistent with its authority, such as related conference report(s), committee print(s), and explanatory statement(s).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Anyone/general public; Types of organizations that may apply vary with the statutory requirements and appropriate sources of supporting information. Examples of organizations that have received assistance include nonprofit organizations, educational institutions, memorial organizations, local governments and cultural organizations. Merit-based or competitive processes are followed when applicable.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; Beneficiary Eligibility (082); Eligible beneficiaries are determined in accordance with the applicable statutory authorization.

Credentials/Documentation:

Costs will be determined in accordance applicable regulations, applicable cost principles and appropriate OMB guidance (such as OMB Circular A-21 for educational institutions). Applicants may be required to demonstrate that they have the appropriate background, training, experience, and/or equipment to carry out the purposes of the Congressionally designated assistance. Depending on the circumstances, other requirements may be imposed consistent with DoDs authority at the discretion of the responsible DoD Grants Officer to ensure Congressional intent is carried out. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The responsible DoD Grants Officer generally will engage in appropriate pre-application assistance on a case-by-case basis, depending on the needs of the applicant and nature of the subject assistance. Generally the purposes of the directed grants are not of the nature to require environmental impact information. However, in the event that a grant is directed to a purpose that is environmentally sensitive an EIS could be required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants generally will be directed to use <http://www.grants.gov> (Grants.gov) to obtain the necessary electronic forms for applying for the subject assistance. At the appropriate time, the responsible DoD Grants Officer will notify the successful applicant in writing of DoDs intent to make an award pursuant to statutory authority.

Award Procedure:

Although assistance awards for Congressionally identified purposes typically are not implemented in the context of existing DoD programs, award procedures may be subject to statutory requirements governing competition for such awards, as implemented in DoD regulations. DoD will administratively evaluate the adequacy of the applicants plan to fulfill the Congressionally specified purpose. If the plan is adequate, and relevant audit(s) do not disclose any issues, DoD will proceed with award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval time varies and depends in part on how quickly DoD obtains the required information and forms. Awards may be made at any time throughout the year(s) for which funding is lawfully available.

Appeals:

Other. None.

Renewals:

Other. None, unless authorized by Congress in the relevant or subsequent appropriations acts.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at time of award. The length and time-phasing of the assistance will be stated in the assistance instrument and appropriately limited by the lawful availability of funds. Method of awarding/releasing assistance: other

Reports:

The SF425 is used for financial reporting. DoD includes financial reporting requirements in the terms and conditions of the assistance instruments. Generally, DoD requires quarterly reports. However, other reporting requirements may be imposed at the discretion of the responsible DoD Grants Officer, but no more often than quarterly. DoD includes progress reporting requirements in the terms and conditions of the assistance instruments. Generally, DoD requires quarterly reports. However, other reporting requirements may be imposed at the discretion of the responsible DoD Grants Officer, but no more often than quarterly. A Program Officer reviews each progress report to track progress toward project descriptions and goals established during the application process. For construction grants, site visits may be necessary to review progress toward established goals.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Assistance awards are subject to inspections and audits by any authorized representative of the Federal government. DoD generally will require a copy of the designated recipients most recent audit. However, the responsible DoD Grants Officer may require other audits, consistent with applicable regulations and OMB guidance. Further, the Grants Officer may request assistance in reviewing such audits from any appropriate agency of the Federal government.

Records:

Recipients must keep thorough financial records, including (but not limited to) all documents supporting entries in accounting records. Financial records must be thorough enough for the responsible DoD Grants Officer or any other authorized representative of the Federal government to determine whether or not the assistance funds were expended consistent with the purpose of the subject assistance. Recipients must maintain all such records in accordance with the requirements in applicable OMB guidance, as implemented in the DoD Grant and Agreement Regulations.

Account Identification:

97-0100-0-1-120.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants FY 20 \$121,077,835.00; FY 21 est \$121,000,000.00; FY 22 est \$120,999,997.00;

Range and Average of Financial Assistance:

Dependent on the language and direction found in the annual Defense Appropriations Act.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Support of the WWII 75th Celebration, Environmental Restoration Program, and Small SAT Innovation IHUB.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOD Grants and Agreements Regulations and applicable OMB Circulars.

Regional or Local Office:

None.

Headquarters Office:

Division Director Karen Rooney,,
1155 Defense Pentagon,
Washington DC 20301
Email: karen.rooney@whs.mil
Phone: 703-545-1046
Fax: 703-607-5030

Website Address:

<https://www.grants.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Support of the WWII 75th Celebration, Environmental Restoration Program, and Small SAT Innovation IHUB.

CRITERIA FOR SELECTING PROPOSALS:

These are congressionally directed grants and any selection criteria will be indicated in the announcements.

12.600 Community Investment

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

Section 8127 of the Consolidated Appropriations Act, 2018 (Public Law 115-141) appropriated an additional \$235M to construct, renovate, repair, or expand elementary and secondary public schools on military installations., Section , Section 8128 of the Defense Appropriations Act, 2019 appropriated an additional \$270M to construct, renovate, repair, or expand elementary and secondary public schools on military installations., , Public Law Section 8121 of the Consolidated Appropriations Act, 2020 (Public Law 116-93) appropriated an additional \$315M to construct, renovate, repair, or expand elementary and secondary public schools on military installations., Public Law Public Law No: 115-232 Section 846 (Defense Manufacturing Communities Support Program), Public Law Public Law No: 115-232 Section 2861(Defense Community Infrastructure Program), Section 8118 of the Consolidated Appropriations Act, 2021 (Public Law 116-260) appropriated an additional \$284 million to construct, renovate, repair, or expand elementary and secondary public schools on military installations., Title ,

OBJECTIVES:

To provide assistance authorized by statute.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use, Dissemination of Technical Information, Project Grants

USES AND USE RESTRICTIONS:

Uses and restrictions may be directed by statute and/or Department policy. For example, uses may include, or be restricted to, construction, planning, technical assistance or other forms of financial assistance, and program restrictions may include matching share requirements or limit eligibility to certain types of

applicants.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State; Applicant eligibility for this program may be directed or restricted by statute and Department of Defense policy. Applicants for this assistance are to contact the Office of Local Defense Community Cooperation and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. Unsolicited applications will not be accepted.

Beneficiary Eligibility:

State; Local; Beneficiaries under this program may be directed or restricted by statute and/or Department of Defense policy.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant is notified of funding availability through letter, electronic mail, or a Federal Funding Opportunity Notice (FFO), and how to apply for the funding through this notification. Funding may be subject to the National Environmental Policy Act, and an environmental impact assessment and/or an environmental impact statement may be required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Additional requirements may be provided in a Federal Funding Opportunity Notice. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Local Defense Community Cooperation, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Local Defense Community Cooperation, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711.

Award Procedure:

Applications are reviewed by Office of Local Defense Community Cooperation staff, and the Office of Local Defense Community Cooperation may consult with other Federal agencies as necessary in consideration of an application. Any issues or concerns noted in the application may be negotiated with the applicant prior to the application being accepted as final by the Office of Local Defense Community Cooperation. Awards by the Director, Office of Local Defense Community Cooperation, will be made on the basis of an approved final application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. To the extent practicable, the Office of Local Defense Community Cooperation will inform an applicant of approval within seven business days of the receipt of a final, completed application for planning assistance, and within thirty business days of the receipt of a final, completed application for assistance to carry out community adjustments or economic diversification programs. The Office of Local Defense Community Cooperation will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching requirements may apply to this program. This program has MOE requirements, see funding agency for further details. Additional Information: This program may have MOE requirements..

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Method of awarding/releasing assistance: other

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may also be required, and the frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Standard Federal audit requirements apply, as appropriate to the type of recipient. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the Grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

97-0100-0-7-051.

Obligations:

Direct Payments for Specified Use FY 20 \$255,059,264.00; FY 21 est \$250,000,000.00; FY 22 est \$125,000,000.00;

Range and Average of Financial Assistance:

Average: \$20,260,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Public Schools on Military Installation: Enabled Local Education Agencies to undertake projects to remedy capacity and condition needs as prioritized by the Secretary of Defense for two high schools on the Department of Defense Public Schools on Military Installations Priority List. Investments provided for the planning, design and construction of facilities to correct the identified deficiencies at these schools. The scope of construction ranged from major modernization and space addition to complete replacement. Defense Manufacturing Community Support Program (DMCSP): Following a competitive selection process, enabled designation of 6 Defense Manufacturing Community consortia and funding to enhance critical skills, research and development, workforce development, and small business support through innovation and technological advancements to strengthen the national security innovation and manufacturing base. These awards derive from a \$25 million Fiscal Year 2020 appropriation and leverage an additional \$12.6 million in non-Federal funding for a total investment of \$37.6 million. The awarded projects include: strengthening defense manufacturing capabilities through an industrial production ecosystem that melds advanced metals and materials with artificial intelligence, additive manufacturing, and robotics; offering Industry 4.0 technical assistance and workforce training for small- and mid-sized defense manufacturers, with a focus on the aerospace and ground vehicle sectors; enhancing the capabilities of the regional defense industrial ecosystem, with a focus on carbon composites and advanced

materials; facilitating the California Advanced Defense Ecosystems and National Consortia Effort and enhancing defense manufacturing in the state, particularly in the areas of microelectronics, 5G, and space; facilitating visibility, workforce training, and adoption of advanced manufacturing technologies in the region, with a focus on aviation, missiles, and ground vehicle systems; and, enhancing in-state defense prime vertical supply chains and bring Model Based Definition technology to each in an effort to transform the supply chain from a 2-dimensional design and manufacturing platform to a 3-dimensional platform. Defense Community Infrastructure Program (DCIP): Following a competitive selection process, 16 communities selected to undertake enhancements to improve the quality of life needs for our service members and their families at nearby installations. These awards derive from a \$50 million Fiscal Year 2020 appropriation and leverage an additional \$45.5 million in non-Federal funding for a total investment of \$95.3 million supporting military family quality of life. The awards include: constructing or modernizing multiple recreation, wellness, or aquatics centers; constructing an Emergency Medical Services substation; constructing a Multipurpose Recreation and Emergency Center; constructing multiple Science, Technology, Engineering, and Mathematics (STEM) classrooms, laboratories, and discovery centers; modernizing a School District Sports Complex; enhancing an Early Childhood Education Center; improving pedestrian access to a Pre-Kindergarten-8th Grade School; enhancing an Air Power Park and Museum and providing year-round access to museum grounds; enhancing utility infrastructure in support of life, health, and safety; enhancing a warrior challenge fitness course with accessibility improvements; and enhancements and interior renovations to schools. Fiscal Year 2021: Public Schools on Military Installations: Funding is by invitation only. Design and/or construction is underway by Local Education Agencies to correct capacity and facility condition deficiencies at five schools from the Department of Defense Public Schools on Military Installations Priority List. These efforts will provide a safe and modernized facilities necessary to support the education needs of over 18,000 military connected students annually. Accomplishments for the Defense Manufacturing Community Support Program and Defense Community Infrastructure Pilot Program are pending final project section and award.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any subrecipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of title 2, Code of Federal Regulations (CFR), "Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards Cost Principles, and Audit Requirements for Federal Awards; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Assistance Locations. Regional or Local Office: Regional Director, Western Region Office of Local Defense Community Cooperation, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814, Phone: (916) 557-7365.

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202-3711
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: (703) 697-2161
Fax:

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation;

EXAMPLES OF FUNDED PROJECTS:

2020 Public Schools on Military Installations: Funding is by invitation only. Enabled Local Education Agencies to undertake projects to remedy capacity and condition needs as prioritized by the Secretary of Defense for two high schools on

ASSISTANCE LISTINGS DESCRIPTIONS

the Department of Defense Public Schools on Military Installations Priority List. Investments provided for the planning, design and construction of facilities to correct the identified deficiencies at these schools. The scope of construction ranged from major modernization and space addition to complete replacement. Defense Manufacturing Community Support Program: Following a competitive selection process, enabled designation of 6 Defense Manufacturing Community consortia and funding to enhance critical skills, research and development, workforce development, and small business support through innovation and technological advancements to strengthen the national security innovation and manufacturing base. These awards derive from a \$25 million Fiscal Year 2020 appropriation and leverage an additional \$12.6 million in non-Federal funding for a total investment of \$37.6 million. Defense Community Infrastructure Program: Following a competitive selection process, 16 communities selected to undertake enhancements to improve the quality of life needs for our service members and their families at nearby installations. These awards derive from a \$50 million Fiscal Year 2020 appropriation and leverage an additional \$45.5 million in non-Federal funding for a total investment of \$95.3 million supporting military family quality of life. 2021 Public Schools on Military Installations: Funding is by invitation only. Grants will be awarded to Local Education Agencies to correct capacity and condition deficiencies in up to 5 K-12 schools based on prioritization from the Department of Defense Public Schools on Military Installations Priority List. These grant investments will provide for the planning, design and construction necessary to correct the identified deficiencies at these schools. The scope of construction ranges from major modernization and space addition to complete replacement. Defense Manufacturing Community Support Program: The Office of Local Defense Community Cooperation is conducting competitive project selection and grant award of up to \$25 million in support of the Defense Manufacturing Community Support Program. The program is designed to undertake long-term investments in critical skills, facilities, research and development, and small business support in order to strengthen the national security innovation and manufacturing base. The final number of grant awards will be based on the competitive section process to maximize the use of available funds. Defense Community Infrastructure Program: The Office of Local Defense Community Cooperation is again competitively selecting proposals to invite grant applications to award a total of no more than \$60 million in grant funding under the Defense Community Infrastructure Pilot Program for infrastructure enhancements that support installation military value, military installation resilience and/or military family quality of life.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

12.604 Community Economic Adjustment Assistance for Reductions in Defense Spending

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391,

OBJECTIVES:

To provide assistance to State and local governments affected by qualifying Department of Defense actions.

TYPES OF ASSISTANCE:

Cooperative Agreements, Dissemination of Technical Information, Project Grants

USES AND USE RESTRICTIONS:

Technical and financial assistance to States and local governments to assess the impact of a Defense spending reduction, operate and maintain a community-based organization to represent an impacted area and its workers, businesses, and communities; prepare cost effective strategies and action plans for sustainable economic recovery; and/or carry out a community adjustment and economic diversification program; plan and carry out local economic adjustment programs, including, but not limited to: feasibility studies; organizational staffing, operating, and administrative expenses; redevelopment and economic development capacity-building; public outreach; and other activities necessary for a community to

capably respond to the adverse impacts of Defense spending reductions on local schools, housing markets and central business districts, etc. Assistance may not be used to negate or contravene Department of Defense activities in carrying out a reduction in Defense spending.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Applicants for this assistance are to contact the Office of Local Defense Community Cooperation and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if there are reductions in Defense spending resulting in a proposed or actual military and/or civilian personnel reduction resulting from a reduction in spending. Personnel reductions must result in the loss of not less than: 2,000 military, civilian, and contractor personnel in an urban labor market area, or 1,000 military, civilian, and contractor personnel in the case of a non-urban labor market area. The Director, Office of Local Defense Community Cooperation must also determine whether the loss results is a direct and significant adverse impact on a community and its residents. Where multiple jurisdictions may be affected by reduced spending and personnel reductions, one program of assistance will be available and the affected jurisdictions will need to combine their efforts into one responsive program.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; States and communities, including workers, businesses, and other community interests that are affected by a qualifying Department of Defense action.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For the specific Army Downsizing actions in 2015, potentially eligible applicants were contacted directly by OEA (renamed Office of Local Defense Community Cooperation, OLDCC). Grants are dependent upon force structure changes. This information is traditionally not publicly releasable until a formal announcement by the military department. Grants are dependent upon force structure changes. This information is traditionally not publicly releasable until a formal announcement by the military department. based upon the Army's list of impacted installations. Additionally, OLDCC published a Federal Funding Opportunity in the Federal Register. OLDCC continues to work with the Military Departments to understand projected force structure actions and will inform potentially eligible entities in the event major force structure actions are announced. This will include possibly publishing a Federal Funding Opportunity notice in the Federal Register.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Local Defense Community Cooperation. Grants are dependent upon force structure changes. This information is traditionally not publicly releasable until a formal announcement by the military department, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Local Defense Community Cooperation, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711.

Award Procedure:

Applications are reviewed by Office of Local Defense Community Cooperation staff. The Office of Local Defense Community Cooperation may consult with other

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Federal agencies as necessary in consideration of an application. Any issues or concerns noted in the application may be negotiated with the applicant prior to the application being accepted as final by the Office of Local Defense Community Cooperation. Awards by the Director, Office of Local Defense Community Cooperation, will be made on the basis of an approved final application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. To the extent practicable, the Office of Local Defense Community Cooperation will inform an applicant of approval within seven business days of the receipt of a final, completed application for planning assistance, and thirty business days of the receipt of a final, completed application for assistance to carry out community adjustments or economic diversification programs. The Office of Local Defense Community Cooperation will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching requirements may apply to this program. A minimum of ten percent of the project's total proposed funding may be required and shall be comprised of non-Federal sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods should not exceed one year. Method of awarding/releasing assistance: other

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of the reports will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Standard Federal audit requirements apply, as appropriate to the type of recipient. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the Grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

97-0100-0-7-051.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$300,000 - \$400,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Identified thirty mitigation actions that the region can take to counter the impact and reduce the regions dependence on economic activity generated by that installation.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any subrecipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of Title 2, Code of Federal Regulations (CFR), Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Assistance Locations. Regional Director/Western Region Office of Local Defense Community Cooperation/Department of Defense 1325 J Street, Suite 1500/Sacramento, CA 95814/Telephone: (916) 557-7365

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202-3711
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: (703) 697-2161
Fax: (703) 607-0170

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

12.614 Community Economic Adjustment Diversification Planning;

EXAMPLES OF FUNDED PROJECTS:

2021 Assisted a region in (1) countering the economic impact of personnel reductions at an installation and (2) reducing the regions economic dependence on that installation. With OLDCC funding, the region completed a comprehensive study of the impact of personnel reductions on the regions economy. The study identified thirty mitigation actions that the region can take to counter the impact and reduce the regions dependence on economic activity generated by that installation.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

12.607 Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391; Section 204 of Public Law 100-526, Base Closure and Realignment Act of 1988, as amended; and Section 2905 of Public Law 101-510, Defense Base Closure and Realignment Act of 1990, as amended.,

OBJECTIVES:

Assist States and local governments to: plan and carry out adjustment strategies; engage the private sector in order to plan and undertake community economic development and base redevelopment; and, partner with the Military Departments in response to the proposed or actual expansion, establishment, realignment or closure of a military installation by the Department of Defense (DoD).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Plan and carry out local economic adjustment programs, including, but not limited

ASSISTANCE LISTINGS DESCRIPTIONS

to: base redevelopment and business/financial plans; infrastructure assessments and feasibility studies; organizational staffing, operating, and administrative expenses; redevelopment and economic development capacity-building; architecture and engineering activities; land use plans; specialized environmental and legal services; public outreach; and, other activities necessary for a community to capably respond to a wide range of adverse impacts of Defense actions on local economies, schools, housing markets and central business districts, etc. Assistance may not be used to negate or contravene DoD activities in carrying out an expansion, establishment, realignment, closure, or disposal of a military installation.

Applicant Eligibility:

Intrastate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Applicants for this assistance are to contact the Office of Local Defense Community Cooperation and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if there is a proposed or actual realignment or closure of a military installation that is likely to have a direct and significant adverse consequence on the affected community. In the case of the establishment or expansion of a military installation, assistance may be made only if community impact assistance is not otherwise available and if the establishment or expansion involves the assignment to the installation of: (1) more than 2,000 military, civilian, and contractor Department of Defense personnel or (2) more military, civilian, and contractor DoD personnel than the number equal to ten percent of the number of persons employed in counties or municipalities within fifteen miles of the installation, whichever is lesser. Where multiple jurisdictions may be affected, one program of assistance will be available and the affected jurisdictions will need to combine their efforts into one responsive program.

Beneficiary Eligibility:

U.S. Territories; Interstate; Intrastate; State; Local; Federally Recognized Indian Tribal Governments; States and communities, including workers, businesses, and other community interests that are affected by Department of Defense base closures and realignments.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. Applicants must document: (1) an establishment, expansion, realignment, or closure of a military installation has occurred or will occur; and (2) any known or anticipated local impacts from the action on the beneficiary. The assigned Office of Local Defense Community Cooperation Project Manager will work with the Applicant to identify other necessary information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The applicant may be notified of funding availability in person, through letter, electronic mail, or a Federal Funding Opportunity Announcement (FFO). The applicant will be advised on how to apply for the funding through this notification. The Office of Local Defense Community Cooperation will work closely with the affected State and/or community to craft a responsive program of technical assistance and, where eligible and necessary issue project grants. Environmental impact information is not required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Local Defense Community Cooperation, Department of

Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Local Defense Community Cooperation, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711.

Award Procedure:

Applications are reviewed by Office of Local Defense Community Cooperation staff. The Office of Local Defense Community Cooperation may consult with other Federal agencies as necessary in consideration of an application. Any issues or concerns noted in the application may be negotiated with the applicant prior to the application being accepted as final by the Office of Local Defense Community Cooperation. Awards by the Director, Office of Local Defense Community Cooperation, will be made on the basis of an approved final application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. To the extent practicable, the Office of Local Defense Community Cooperation will inform an applicant of approval within seven business days of the receipt of a final, completed application for planning assistance, and thirty business days of the receipt of a final, completed application for other types of assistance to carry out community adjustments or economic diversification programs. The Office of Local Defense Community Cooperation will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. A minimum of ten percent of the project's total proposed funding is to be comprised of non-Federal sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods should not exceed one year. Method of awarding/releasing assistance: other

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Standard Federal audit requirements apply, as appropriate to the type of recipient. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the Grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

97-0100-0-7-051.

Obligations:

Project Grants FY 20 \$3,668,688.00; FY 21 est \$1,500,000.00; FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

Range: \$79,560 - \$2,331,240 Average grant: \$648,093

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: After requesting Navy Base Realignment and Closure property for educational uses in 2006, Bowdoin College determined in 2019 that it no longer needed 144 acres of the original 275. The Navy asked the Midcoast Regional Redevelopment Authority (MRRRA) to add the property to their existing economic development conveyance. MRRRA concurred then engaged a consultant to work with MRRRA, the Town of Brunswick, and the public to develop a land use vision for the parcel to modify the existing plan. As part of this process, the consultant documented the existing natural and manmade conditions of the land and developed various scenarios based on public input for how the land could be used. Because of COVID-19 restrictions on public meetings, the consultant developed and deployed an innovative virtual public engagement strategy and survey instrument to guide this process. More than 530 people participated in the online virtual tours of the parcel and the initial survey. In February 2021, the Town Council entered into an agreement to purchase the property from MRRRA for conservation and outdoor recreation purposes. Fiscal Year 2021: The 2005 Defense Base Closure and Realignment Commission recommended the Umatilla Chemical Depot be closed upon completion of the chemical demilitarization mission. Located in rural Oregon's Umatilla and Morrow Counties, the depot closed in 2012. Although the regulatory process has extended the timeline for conveyance, the Columbia Development Authority's economic development conveyance application for approximately 9,500 acres was approved in 2018. The LRA is updating their infrastructure assessment and preparing a site development report in anticipation of receiving property from the U.S. Army in Fiscal Year 2022. Fiscal Year 2022: The 1988 Defense Base Realignment and Closure Commission recommended the realignment of Pueblo Chemical Depot in Colorado. While missions relocated, the Army did not issue a Surplus Property Notice until December 2013. Since 2014, Office of Local Defense Community Cooperation technical and financial assistance has enabled the PuebloPlex Development Authority to plan for redevelopment of the site. In 2022, PuebloPlex anticipates submitting an economic development conveyance application to the U.S. Army for the entire 15,847-acre property, and the first 5,300 acres are planned to transfer to PuebloPlex in Fiscal Year 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any subrecipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of title 2, Code of Federal Regulations (CFR), Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Assistance Locations. Western Region Director, Office of Local Defense Community Cooperation, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Phone: (916) 557-7365.

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202-3711
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: (703) 697-2161
Fax:

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

12.614 Community Economic Adjustment Diversification Planning; 14.218 Community Development Block Grants/Entitlement Grants; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment;

EXAMPLES OF FUNDED PROJECTS:

2020 Financial support for operational costs and consultants allowed entities of state and local government to prepare and obtain the necessary approvals of their civilian reuse and redevelopment plans. Funded projects include planning for the redevelopment of two former chemical depots. These plans support the transfer of 14,850 acres of property from the Department of Defense to Local Redevelopment Authorities in Fiscal Year 2022. 2021 Fiscal Year 2021 Projection: Financial support for operational costs and consultants will allow Local Redevelopment Authorities to further plan and prepare for the conveyance of surplus property, including preparation of a redevelopment plan for a Base Realignment and Closure parcel where a Federal entity withdrew interest in the property. 2022 Fiscal Year 2022 Projection: Financial support for operational costs and consultants will allow Local Redevelopment Authorities to further plan and prepare for the conveyance of surplus property.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

Provide technical and financial assistance to state and local governments to plan and implement civilian actions necessary to alleviate and/or prevent incompatible civilian development and other civilian activities that are likely to impair the continued operational utility of a DoD installation, range, special use air space, military operations area, and/or military training route. The program enables states and communities to assist local installations to optimize their mission: support lethality; and enhance the readiness and military value of their local installation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Potentially eligible activities may include, but are not limited to: Compatible Use Plans to comprehensively understand concerns and opportunities, and to develop a responsive strategy and action plan; preparation of land use ordinances; analysis and dissemination of information; timely consultation and cooperation among DoD, state and local governments, and other stakeholders; coordinated interagency and intergovernmental assistance; technical and financial studies; clearinghouse or websites to exchange information among federal, state, and local efforts; resolution of regulatory issues impeding the support of compatibility measures, drafting of state legislation; feasibility studies; integration of the Departments Military Aviation and Installation Assurance Siting Clearinghouse procedures with local/state reviews; development of follow-on open space/easement opportunities for the Departments Readiness and Environmental Protection Integration (REPI) Program; and, other innovative approaches. More specifically, assistance may be undertaken to respond to current, future, or potential areas or incidences of encroachment upon installations and ranges, including: energy project siting; incompatible development in aircraft runway clear and accident potential zones; light pollution; urban growth; noise; electromagnetic spectrum interference; protection from a threat of unmanned aerial and underwater vehicles; vertical obstructions; incompatible use of land, air and water resources; cyber vulnerabilities; and the management of endangered species.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Intrastate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Applicants for this assistance are to contact the Office of Local Defense Community Cooperation and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, and special purpose units of a State or local government are eligible for this assistance if the Director, Office of Local Defense Community Cooperation determines that the encroachment of the civilian community is likely to impair the continued operational utility of the military installation.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; States and communities, including local property owners, as well as the local military installation.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. Applicants must document their intent to work with the Military Department, Federal, State, and local officials, residents, businesses, and landowners to cooperatively participate in the development and implementation of a strategic plan and specific implementation measures to ensure civilian growth and development are compatible with the continued operational utility of the military installation. Applicants also must show evidence that the proposed planning process and implementation measures will prevent further encroachment on the military installation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process of State requires to be followed in applying for assistance, if the State has selected the program for review. When in receipt of a Military Department nomination, the Office of Local Defense Community Cooperation will notify the relevant Installation Commander that a Project Manager will schedule a visit to understand local encroachment threats, provide a program overview and confirm the Installation Commanders support to engage the affected local governments and/or State, and confirm local civilian interest and support to undertake the study and carry out the study recommendations. Once support from the Installation Commander and affected local governments and/or State is confirmed, the Project Manager will work with the local governments and/or State to craft an effective and responsive program of assistance to ensure any encroachment is responded to and civilian growth and development are compatible with the continued operational utility of the military installation. Assistance may also consist of community planning assistance to help prevent the siting of energy projects from adversely affecting Department of Defense missions. For example, the commercial development of energy projects (both generation and transmission) may affect unique military activities and readiness, especially when located near installations, ranges, or on lands beneath designated military training routes or special use airspace. State and local governments can support effective collaboration, through early engagement and dialog between the Defense Components and energy developers to ensure proposed energy projects may proceed without compromising the military mission. A proposal may address turbines; low-level flight obstructions associated with tall such as solar towers; and glint and glare impacts to flight operations associated with solar photovoltaic arrays or power tower projects near military airfields. Details on how to apply can be found in Federal Register Notice, Volume 84, number 150, dated August 5, 2019. A Project Manager will provide necessary information to assist the state or local government to prepare and submit an application for federal assistance under this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applications and supporting documentation must be submitted to the Director, Office of Local Defense Community Cooperation, Department of Defense. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Local Defense Community Cooperation, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Local Defense Community Cooperation, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711.

Award Procedure:

All proposals will be reviewed on their individual merit by a panel of Office of Local Defense Community Cooperation staff, all of whom are Federal employees. The Office of Local Defense Community Cooperation may coordinate with the appropriate Military Department, and other Federal entities, as appropriate and in the Office of Local Defense Community Cooperation's discretion, to develop a decision on the proposal.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The Office of Local Defense Community Cooperation will notify the respondent, to the extent practical, within thirty (30) days after receipt of a proposal, whether their proposal was successful. The successful respondents will then be assigned a Project Manager and instructed to submit an application through the Office of Local Defense Community Cooperations grants management system. Grant applications will be reviewed for their completeness and accuracy and a grant award will be issued, to the extent practical, within seven (7) business days from receipt of a complete application.

Appeals:

Not Applicable. There is no appeal process. However, unsuccessful respondents will be notified that their proposal was not selected for further action and funding, and may request a debriefing on their submitted proposal. When applicable, the Office of Local Defense Community Cooperation may include information about other applicable Federal grant programs in this communication. Requests for debriefing must be submitted in writing within three (3) calendar days of notification of an unsuccessful proposal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. A minimum of ten percent of the project's total proposed funding is to be comprised of non-Federal sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods may occur over a 12 to 24 month period. Method of awarding/releasing assistance: other

Reports:

Program reports are required Cash reports are required. Progress reports are required. Expenditure reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Standard Federal audit requirements apply, as appropriate to the type of recipient. These audits are due to the cognizant Federal agency, submitted through the

ASSISTANCE LISTINGS DESCRIPTIONS

Federal Audit Clearinghouse, not later than 9 months after the end of the Grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

97-0100-0-7-051.

Obligations:

Project Grants FY 20 \$11,314,034.00; FY 21 est \$8,286,595.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

\$50,000 - \$750,000; \$250,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Accomplishment 1: Grant funding for a web tool to support renewable energy proposals and to inform developers of potential incompatibility with military installations, airspace and ranges. Accomplishment 2: Resulting from a grant award, a state invested more than \$2.3 million in land purchases and infrastructure projects that prevent or mitigate civilian encroachment of missions at an installation. Fiscal Year 2021: Accomplishment 1: Grants for Army Compatible Use Buffers (ACUBs) at military bases across the United States. Accomplishment 2: Grants for Compatible Use Study Plans and Implementation Plans at military bases across the United States.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any subrecipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of title 2, Code of Federal Regulations (CFR), Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR Part 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards"; Part 25 of title 32, CFR, OMB Circular A-133; Audits of States, Local Governments and Non-Profit Organizations; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace(Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Assistance Locations. Regional Director, Western Region Office of Local Defense Community Cooperation, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Phone: (916) 557-7365.

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202-3711
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: (703) 697-2130
Fax:

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

12.600 Community Economic Adjustment ; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation;

EXAMPLES OF FUNDED PROJECTS:

2020 Example Project 1: Resulting from a grant award, a state Attorney General intervened to halt a large private wind turbine project that would have adversely

impacted operations and training at an Air Force Base. The energy company also cancelled two other projects that were deemed incompatible with military operations and training. This effort assisted in the creation of a House Bill to protect statewide low-level military training routes from potential encroachment from wind farms by ensuring developers and base officials talk early in the planning process. Example Project 2: Grant funding was used by a state government to develop a Military Energy Land Use geographical web tool to support the siting process for renewable energy proposals and to inform developers of potential incompatibility with military installations, airspace and ranges. This tool compliments an existing state law authorizing local jurisdictions to incentivize renewable energy siting in areas compatible with military missions and supports national security by protecting military readiness. Example Project 3: Resulting from a grant award, a state invested more than \$2.3 million in land purchases and infrastructure projects that prevent or mitigate civilian encroachment of missions at an installation. This effort included the purchase and demolition of a marina that impaired the Navys ability to dredge a turning basin wide enough to accommodate new submarine class. Efforts are presently underway to strategically locate future housing to reduce traffic congestion and improve workforce retention for the Navy. 2021 Example Project 1: Grants for Army Compatible Use Buffers (ACUBs) at the Aberdeen Proving Ground, Fort Bragg, Fort A.P. Hill, Fort Campbell, and Fort Huachuca. Example Project 2: Grants for housing studies in St. Mary's County and Camden County. Example Project 3: Grants for Compatible Use Study and Implementation Plans at Little Rock Air Base, Joint Base San Antonio, Pine Bluff Arsenal, and Fort Benning. 2022 Fiscal Year 22 Projected Projects: The Office of Local Defense Community Cooperation invited Compatible Use Nominations to the Military Services in June 2021. Projected Fiscal Year 2022 Compatible Use projects will derive from Service Nominations.

CRITERIA FOR SELECTING PROPOSALS:

Upon validating a respondents eligibility and the potential civilian development to impair the operational utility of an installation, consider each of the following equally-balanced factors as a basis to invite formal grant applications: an appropriate and clear project design to address the need, problem, or issue identified; evidence of an effective approach to ensure the project supports the continued operational utility of the local mission; the innovative quality of the proposed approach; and, a reasonable, allowable and allocable proposed budget with a non-Federal match commitment and schedule for completion of the work program specified.

12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391,

OBJECTIVES:

Assist States and local governments to plan and carry out community adjustment and economic diversification activities in response to reductions in defense industry employment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Plan and carry out local economic adjustment programs, including, but not limited to: assessment of local economic strengths, weaknesses, opportunities and threats; staffing, operating and administrative costs for an organization to represent the affected community; review of local Defense prime and sub contracting activity; outreach to local small businesses, workers, and other community interests; and, facility evaluations. Funds provided under this program may not be used to directly identify or assist a business, including a business expansion, in the relocation of a plant, facility, or operation from one Labor Market Area (LMA) to another if the relocation is likely to result in the loss of jobs in the LMA from which the relocation occurs.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Applicants for this assistance are to contact the Office of Local Defense Community Cooperation and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if there is: a publicly announced planned major reduction in Department of Defense (DoD) spending; the closure or significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the defense facility; the cancellation or termination of a DoD contract; or the failure to proceed with an approved major weapon system program if these actions will have a direct and significant adverse impact on a community or its residents. The local action must result in the loss of: 2,500 or more employee positions, in the case of a Metropolitan Statistical Area; 1,000 or more employee positions, in the case of a labor market area outside of a Metropolitan Statistical Area; or one percent of the total number of civilian jobs in that area to be considered for this assistance.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; States and communities, including workers, businesses, and other community interests, that may be affected by Defense actions.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. Applicants must document: 1) the publicly announced Defense action that has occurred or will occur; and 2) the known or anticipated impacts on the applicant due to the closure, reduction, cancellation, termination, or failure to proceed. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant may be notified of funding availability in person, through letter, electronic mail, or a Federal Funding Opportunity Announcement (FFO), and how to apply for the funding through this notification.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Local Defense Community Cooperation, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Local Defense Community Cooperation, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711.

Award Procedure:

Applications are reviewed by Office of Local Defense Community Cooperation staff. The Office of Local Defense Community Cooperation may consult with other Federal agencies as necessary in consideration of an application. Any issues or concerns noted in the application may be negotiated with the applicant prior to the application being accepted as final by the Office of Local Defense Community Cooperation. Awards by the Director, Office of Local Defense Community Cooperation, will be made on the basis of an approved final application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. To the extent practicable, the Office of Local Defense Community

Cooperation will inform an applicant of approval within seven business days of the receipt of a final, completed application for planning assistance, and thirty business days of the receipt of a final, completed application for other types of assistance to carry out community adjustments or economic diversification programs. The Office of Local Defense Community Cooperation will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. A minimum of ten percent of the project's total proposed funding is to be comprised of non-Federal sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods should not exceed one year. Method of awarding/releasing assistance: other

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring is required. The frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Standard Federal audit requirements apply, as appropriate to the type of recipient.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

97-0100-0-7-051.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range of Grant Funding: \$1,342,405 - \$3,500,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any subrecipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of title 2, Code of Federal Regulations (CFR), Interim Grant and Cooperative Agreement Implementation of 2 CFR Part 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title

ASSISTANCE LISTINGS DESCRIPTIONS

2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Assistance Locations. Regional Director, Western Region Office of Local Defense Community Cooperation, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Phone: (916) 557-7365.

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202-3711
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: (703) 697-2161
Fax:

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

12.614 Community Economic Adjustment Diversification Planning; 11.307 Economic Adjustment Assistance; 12.600 Community Economic Adjustment ; 59.012 7(a) Loan Guarantees; 14.218 Community Development Block Grants/Entitlement Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 As a result of a \$1.7 million OLDCC grant, a regions defense business sector and long-term availability to support defense systems was strengthened by the rebalancing of workforce skills and production capability. Variability in defense spending and regional job loss created risk to the defense supply chain. The funding provided workers with career programs for new and adaptable skills and business development programs that increase competitiveness toward new economic opportunities. As a result of a \$2 million OLDCC grant, a regions defense collaborative groups developed strategies to sustain businesses effected by reduced defense spending across 13 counties. Technologic areas such as autonomous transportation and connected mobility, lightweight materials manufacturing, 3-D printing, and robotics re-shoring opportunities were included in the effort. Funding assisted the workforce development pipeline and businesses to prepare for changes in manufacturing requirements and how to leverage international markets.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391.,

OBJECTIVES:

Assist State and local governments to lessen an area's dependence on defense expenditures by preparing economic diversification strategies, and contingency strategies and schematic land use plans for the potential redevelopment of a military installation prior to closure or realignment decisions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Develop economic diversification studies to lessen local economic dependency on Department of Defense and/or military installation-related expenditures; prepare contingency plans for organizing a community in response to a possible base closure or realignment; and/or produce strategies and schematic plans for the potential civilian reuse of a military installation prior to closure or realignment decision. Funds provided under this program shall not be used to directly identify or assist a business, including a business expansion, in the relocation of a plant, facility, or operation from one Labor Market Area (LMA) to another if the relocation is likely to result in the loss of jobs in the LMA from which the

relocation occurs. Funds shall not be used to directly or indirectly inform and/or influence deliberations under Public Law 101-510, as amended, or subsequent Base Closure and Realignment laws, of the Department of Defense (including any of its components). In considering military installations for closure or realignment, the Secretary of Defense may not take into account for any purpose any advance planning undertaken by an affected community with respect to the anticipated closure or realignment of a military installation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Applicants for this assistance are to contact the Office of Local Defense Community Cooperation and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. States, counties, municipalities, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if a substantial portion of the economic activity or population of the applicant's geographic area is dependent on defense expenditures. The Office of Local Defense Community Cooperation must determine, in consultation with the affected state or community, that a substantial portion of the economic activity or population of the geographic area subject to the planning under this program is dependent on Department of Defense (Defense) expenditures. For purposes of eligibility determination, an area is considered Defense-dependent if it can demonstrate: (1) Direct military and civilian employment totals at least one and a half times the national level of Defense employment as a percent of the total U.S. labor force or (2) Defense-related expenditures comprise at least one and a half times the Defense percent of gross domestic product. The National Defense Budget Estimates for FY2021 states that the 2019 Defense employment as a percent of total labor force is 1.3% and the 2019 Defense share of gross domestic product is 3.2%. One and half times the Defense employment as a percent of the total labor force is 1.95%, and one and half times the Defense percent of gross domestic product is 4.8%. Technical and financial assistance is structured through an assigned Project Manager working with a defense-dependent State and/or local government.

Beneficiary Eligibility:

State; Local; States and communities, including workers, businesses, and other community interests, that could be affected by Defense budget reductions and base closures/realignments.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought, and must document that a substantial portion of the economic activity or employment of a local area is dependent on Defense and/or related military installation expenditures. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant may be notified of funding availability in person, through letter, electronic mail, or a Federal Funding Opportunity Announcement (FFO), and on how to apply for the funding through this notification.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Local Defense Community Cooperation, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Local Defense Community Cooperation, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711

Award Procedure:

Applications are reviewed by Office of Local Defense Community Cooperation

ASSISTANCE LISTINGS DESCRIPTIONS

staff. The Office of Local Defense Community Cooperation may consult with other Federal agencies as necessary in consideration of an application. Any issues or concerns noted in the application may be negotiated with the applicant prior to the application being accepted as final by, the Office of Local Defense Community Cooperation. Awards by the Director, Office of Local Defense Community Cooperation will be made on the basis of an approved final application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. To the extent practicable, the Office of Local Defense Community Cooperation will inform an applicant of approval within seven business days of the receipt of a final, completed application for planning assistance, and thirty business days of the receipt of a final, completed application for other types of assistance to carry out community adjustments or economic diversification programs. The Office of Local Defense Community Cooperation will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. A minimum of ten percent of the project's total proposed funding is to be comprised of non-Federal sources. Matching may be waived by the Director, Office of Local Defense Community Cooperation, in extreme circumstances. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods should not exceed one year. See the following for information on how assistance is awarded/released: Funds are disbursed quarterly or as required. Method of awarding/releasing assistance: other

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring is also required, and the frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Standard Federal audit requirements apply, as appropriate to the type of recipient. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the Grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

97-0100-1-7-051.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

\$1,000,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Supported a task force to develop a statewide strategic plan that improved coordination and communication between installations, services, and industry in the state. As a result, a local economy was able to begin transitioning from being government-dominated to one that includes energy, food chain, medical and life sciences, and advanced materials. Of the implementation actions identified to support the economic diversification strategy, OLDCC funding provided assistance to analyze key county transportation corridors, provide a cluster analysis, recommend development sites, create an economic database, and leverage resources for economic development investments.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any subrecipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of title 2, Code of Federal Regulations (CFR), "Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Assistance Locations. Western Region Director, Office of Local Defense Community Cooperation, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Phone: (916) 557-7365.

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202-3711
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: (703) 697-2161
Fax:

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

11.307 Economic Adjustment Assistance; 12.600 Community Economic Adjustment ; 59.012 7(a) Loan Guarantees; 14.218 Community Development Block Grants/Entitlement Grants; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.615 Research and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

2021 OLDCC grant assistance supported a task force comprised of representatives from aerospace companies, defense manufacturers, military installations, and state and local government to develop a comprehensive, statewide defense strategic plan that was adopted and unveiled by a Governor. An outcome of this work was improved coordination and communication between installations, services and industry in the state. As a result of an OLDCC grant, a local economy was able to begin transitioning from being government-dominated to one that includes energy, food chain, medical and life sciences, and advanced materials. Of the implementation actions identified to support the economic diversification strategy, OLDCC funding provided assistance to analyze key county transportation corridors, provide a cluster analysis, recommend development sites, create an economic database, and leverage resources for economic development investments.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

ASSISTANCE LISTINGS DESCRIPTIONS

12.615 Research and Technical Assistance

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

To make awards to, or conclude cooperative agreements with States or local governments, or any nongovernmental or other private entity, to conduct research, and provide technical assistance related to community economic adjustment needs and assistance under 10 U.S.C. Section 2391(c), or Executive Order 12788, as amended. Awards provided under this notice support the Office of Local Defense Community Cooperation as well as the Defense Economic Adjustment Program (DEAP) by: (1) developing and analyzing information for program and policy considerations; and, (2) undertaking special research.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Topics and areas of specific interest to the Office of Local Defense Community Cooperation may be identified in a Federal Funding Opportunity (FFO) issued under this program. Proposals outside of a FFO, or beyond the identified topics and areas of interest in a FFO, may also be considered. Activities under this program must relate to assisting state and local governments to plan and/or carry out community adjustments and economic diversification required by: the proposed or actual establishment, realignment, or closure of a military installation; the cancellation or termination of a Department of Defense contract or the failure to proceed with an approved major weapon system program; a publicly announced planned major reduction in Department of Defense spending that would adversely affect a community; the encroachment on a military installation; or, the closure or the significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the facility. Funding may not be used for direct hard- or soft-construction activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Non-Government - General; Other private institutions/organizations; Eligible respondents include any governmental or private entity. A "private entity" is defined for purposes of this listing as any entity that is non-governmental.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; State; Local; States and communities, including workers, businesses, and other community interests that may be affected by Department of Defense activity.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant is notified of funding availability through letter, electronic mail communication, or a Federal Funding Opportunity Notice (FFO), and how to apply for the funding through this notification.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Local Defense Community Cooperation, Department of Defense. In instances where, for whatever reason, an electronic application cannot

be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Local Defense Community Cooperation, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711

Award Procedure:

Applications are reviewed by Office of Local Defense Community Cooperation staff. The Office of Local Defense Community Cooperation may consult with other Federal agencies as necessary in consideration of an application. Any issues or concerns noted in the application may be negotiated with the applicant prior to the application being accepted as final by the Office of Local Defense Community Cooperation. Awards by the Director, Office of Local Defense Community Cooperation, will be made on the basis of an approved final application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. To the extent practicable, the Office of Local Defense Community Cooperation will inform an applicant of approval within seven business days of the receipt of a final, completed application for planning assistance, and thirty business days of the receipt of a final, completed application to carry out community adjustments or economic diversification programs. The Office of Local Defense Community Cooperation will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods should not exceed one year. Method of awarding/releasing assistance: other

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of the award. Performance monitoring is also required, and the frequency of the monitoring will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Standard Federal audit requirements apply, as appropriate to the type of recipient. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the Grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

97-0100-0-7-051.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$500,000.00; FY 22 est \$500,000.00;

ASSISTANCE LISTINGS DESCRIPTIONS

Range and Average of Financial Assistance:

\$400,000-550,000; \$477,247

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Provided governors and state governments with guidance on their role in national defense

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any subrecipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of title 2, Code of Federal Regulations (CFR), "Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Assistance Locations. Regional Director, Western Regional Office of Local Defense Community Cooperation, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814, Phone: (916) 557-7365

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202-3711
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: 7036972161
Fax:

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

12.614 Community Economic Adjustment Diversification Planning; 12.600 Community Economic Adjustment ; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies;

EXAMPLES OF FUNDED PROJECTS:

2021 The Department of Defense Office of Economic Adjustment (renamed Office of Local Defense Community Cooperation) entered into a cooperative agreement with The National Governors Association Center for Best Practices (NGA Solutions) to provide governors and state governments with guidance on their role in national defense. NGA Solutions will take the information presented in OEAs Defense Spending by State report and help governors and states to create and implement strategic action plans in support of the national defense mission.

CRITERIA FOR SELECTING PROPOSALS:

The Office of Local Defense Community Cooperation considers each of the following equally-balanced factors as a basis for inviting formal award applications: Overall conformance with proposal requirements; Overall responsiveness of the proposal to support the Office of Local Defense Community Cooperation and Defense Economic Adjustment Program; Overall expertise, experience, qualifications and ability of investigators; Overall reasonableness of budgeted expenditures.

12.617 Economic Adjustment Assistance for State Governments

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391.,

OBJECTIVES:

To provide technical and financial assistance to a State, or an entity of State government, to enhance its capacities to assist communities, businesses, and workers affected by Defense program activity to plan and carry out community adjustment and economic diversification activities; support local adjustment and diversification efforts; and stimulate cooperation between statewide and local adjustment and diversification efforts.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Enhance State capacities to assist communities, businesses, and workers adversely affected by:1.the proposed or actual establishment, realignment, or closure of a military installation; 2.the cancellation or termination of a Department of Defense contract or the failure to proceed with an approved major weapon system program; 3.a publicly announced planned major reduction in Department of Defense;4.the encroachment of a civilian community on a military installation; or5.the closure or the significantly reduced operations of a defense facility as the result of a merger, acquisition, or consolidation of the defense contractor operating the defense facility. Planning activities to support, respond to, and prepare for local adjustment and diversification initiatives. Stimulate cooperation between statewide and local adjustment and diversification efforts. Provide planning assistance to understand Defense worker, business, and community linkages and dependencies across regions.

Applicant Eligibility:

Interstate; Intrastate; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; States, entities of States, Indian Tribal Governments, the District of Columbia, U.S. Territories and possessions. Applicants are to contact the Office of Local Defense Community Cooperation and a Project Manager will be assigned to work with the applicant to determine eligibility.

Beneficiary Eligibility:

U.S. Territories; Interstate; Intrastate; State; Local; Federally Recognized Indian Tribal Governments; States, Indian Tribal Governments, and communities, including workers, businesses, and other community interests affected by Defense budget reductions, base closures/realignments, and/or civilian encroachment that is likely to impair the continued operational utility of a military installation.

Credentials/Documentation:

Applicants will be required to provide documentation consistent with the authority under which the assistance is being sought. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant may be notified of funding availability in person, through letter, electronic mail, or a Federal Funding Opportunity Announcement (FFO), and on how to apply for the funding through this notification.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Local Defense Community Cooperation, Department of Defense. In instances where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Local Defense Community Cooperation, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Applications are reviewed by Office of Local Defense Community Cooperation staff. The Office of Local Defense Community Cooperation may consult with other Federal agencies as necessary in consideration of an application. Any issues or concerns noted in the application may be negotiated with the applicant prior to the application being accepted as final by the Office of Local Defense Community Cooperation. Awards by the Director, Office of Local Defense Community Cooperation, will be made of the basis of an approved final application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. To the extent practicable, the Office of Local Defense Community Cooperation will inform an applicant of approval within seven business days of the receipt of a final, completed application for planning assistance, and thirty business days of the receipt of a final, completed application for assistance to carry out community adjustments or economic diversification programs. The Office of Local Defense Community Cooperation will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. A minimum of ten percent of the project's total proposed funding is to be comprised of non-Federal sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods should not exceed one year. Method of awarding/releasing assistance: other

Reports:

Program reports are required. Cash Reports are required. Progress reports are required. Expenditure Reports are required. The frequency of these reports will be identified in the "Terms and Conditions" of an award. Performance monitoring is also required, and the frequency of monitoring will be identified in the "Terms and Conditions" of an award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Standard Federal audit requirements apply, as appropriate to the type of recipient. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the Grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or subrecipient under the award.

Account Identification:

97-0100-1-7-051.

Obligations:

Project Grants FY 20 \$19,860,439.00; FY 21 est \$9,500,000.00; FY 22 est \$9,500,000.00;

Range and Average of Financial Assistance:

\$1,000,000 \$7,200,000, \$1,900,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: \$2.6 million in Industry Resilience enabled the University of Michigan, Purdue University and the Ohio State University, on behalf of their respective states, to strengthen the cybersecurity of 173 small- to medium-size defense suppliers, many in rural areas of their respective states. In surveys, 40% of the companies served stated they would not have completed their cybersecurity compliance project, or would have significantly delayed implementation, thus weakening a critical portion of the ground systems supply chain. In addition, the universities have educated over 2,500 companies and/or individuals in the defense supply chain about cybersecurity requirements for defense companies. Fiscal Year 2021: \$2.1 million enabled the University of Massachusetts Lowell Research Institute (UMLRI) to establish the UMass-Lowell Advanced Manufacturing Consortium on behalf of Massachusetts to advise the Coldspray Testing and Training Technology Lab, which will allow for workforce development training involving emerging technologies such as additive manufacturing, robotics, electro-mechanical engineering, and Coldspray technology. The funds further contributed to the opening of the UMLRI Northstar Campus, a 18,842 square foot Department of Defense Innovation Hub near Hanscom Air Force Base in Lincoln, Massachusetts. These achievements will help advance innovative and disruptive technologies by providing a platform to incubate, accelerate and decrease time-to-market for technologies that support Department of Defense missions. Fiscal Year 2022: Not yet applicable

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any subrecipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of title 2, Code of Federal Regulations (CFR), "Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Nonprocurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Governmentwide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Assistance Locations. Western Region Director, Office of Local Defense Community Cooperation, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Phone: (916) 557-7365.

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202-3711
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: (703) 697-2161
Fax:

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

12.614 Community Economic Adjustment Diversification Planning; 12.600 Community Economic Adjustment ; 12.604 Community Economic Adjustment; 12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.615 Research and Technical Assistance; 12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies;

EXAMPLES OF FUNDED PROJECTS:

2020 Funded projects include a statewide effort in support of 10 U.S.C. 2391 to support local adjustment and diversification initiatives that will stimulate cooperation between statewide and local adjustment and diversification efforts. These projects will strengthen the ability of innovation-based companies to endure continuing uncertainty in defense spending and ultimately improve the resilience and responsiveness of this critical segment. The grant focuses on the acceleration

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of large-scale of dual use technologies critical to missions of DoD, and specifically the Army Futures Command and Defense Innovation Unit. The project will drive key DoD technologies to market more quickly, and build the regional innovation economy by creating company start-ups and jobs within the manufacturing sector. Another project led by a large regional university will work one on one with small and mid-sized companies across the state to familiarize them with the defense marketplace in order to increase the proportion of dual-use technologies and increase the diversification of the defense industrial base while also increasing the sustainability and resilience of the states manufacturing sector. This project will create new pathways for companies that do not have a strong or existing relationship with DoD . The overall goal is to provide DoD with access to novel technologies which are currently outside its purview. 2021 Current Fiscal Year 2021 Projection.Example FY21 #1: Funded project modernizes shipbuilding and improves supply chain cybersecurity. A state university, on behalf of a state, is continuing efforts to integrate maritime stakeholders across a 2,800-square-mile industrial ecosystem and develop regional partnerships with the goal of sustaining and modernizing shipbuilding. Under earlier phases, the state university completed gap analyses for the workforce and supply base and has increased outreach and training activities. The university will continue to build upon these efforts by establishing a regional talent pipeline integration program and by conducting a facilities gap assessment comparing infrastructure capability to the Navys 30-Year Shipbuilding Plan. These efforts will strengthen the regions maritime industrial base to ensure a ready Navy fleet. In addition, the state is educating defense supply chain companies on cyber compliance standards and aiding companies to achieve Cybersecurity Maturity Model Certification. Up to 85 companies will undergo a gap analysis and receive Phase I services toward the new certification. Example FY21 #2: A statewide project was funded to strengthen the defense manufacturing sector and make it more resilient to defense spending fluctuations and changing requirements. The university leading the effort will assess and improve the capabilities of at least 36 small Defense Industrial Base businesses to help them compete for new defense contract opportunities. Businesses will receive guidance in how to obtain certifications, meet federal standards, and build a competitive posture. The university will provide businesses with resources on how to develop new manufacturing capabilities and business strategies that can foresee and adapt to changing critical defense needs such as hypersonics and trusted microelectronics. Businesses will learn how to scale up production and become more agile. The overall project will expand the number of qualified and capable businesses available in the state to be matched to defense opportunities.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable. Awards are based on eligibility and availability of funds.

12.618 Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPT OF DEFENSE

AUTHORIZATION:

Title 10 of U.S. Code (USC) Section 2391(b)(1)-(2), , 10 USC Code 2391(b)(1)-(2)..

OBJECTIVES:

Assist States and local governments to: plan and carry out local adjustments in local public services and facilities, workforce training programs, and other community economic development activities in response to the proposed or actual expansion, establishment, or growth of a military installation by the Department of Defense (DoD).

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Plan and carry out local economic adjustment programs, including, but not limited to: economic impact analyses; business/financial plans; infrastructure assessments and feasibility studies; organizational staffing, operating, and administrative expenses; economic development capacity-building; architecture and engineering

activities; land use plans; public outreach; and other activities necessary for a community to capably respond to a wide range of impacts from the Defense growth on local schools, housing and central business districts, health care systems, public infrastructure, etc. Assistance may not be used to negate or contravene DoD activities in carrying out an expansion, establishment, realignment, closure, or disposal of a military installation.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Applicants for this assistance are to contact the Office of Local Defense Community Cooperation and a Project Manager will be assigned to work with the applicant to determine eligibility for assistance under this program. U.S. States and Territories, counties, municipalities, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if: 1) community impact assistance is not otherwise available; 2) the expansion, establishment, or growth involves the assignment to the installation of (i) more than 2,000 military, civilian, and contractor Department of Defense personnel, or (ii) more military, civilian, and contractor DoD personnel than the number equal to ten percent of the number of persons employed in counties or municipalities within fifteen miles of the installation, whichever is lesser; and, 3) The Secretary, through the Office of Local Defense Community Cooperation, determines the action is likely to have a direct and significant adverse consequence on the affected community. Where multiple jurisdictions may be affected, one program of assistance will be available and the affected jurisdictions will need to combine their efforts into one responsive program.

Beneficiary Eligibility:

U.S. Territories; State; Local; Federally Recognized Indian Tribal Governments; States, Territories and communities, including workers, businesses and other community interests that are affected by Department of Defense installation establishment or expansion actions.

Credentials/Documentation:

Applicants must document: (1) an expansion, establishment, or growth of a military installation has occurred or will occur; and (2) any known or anticipated local impacts from the action on the beneficiary. The assigned Office of Local Defense Community Cooperation Project Manager will work with the Applicant to identify other necessary information. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The applicant may be notified of funding availability in person, through letter, electronic mail, or a Federal Funding Opportunity Announcement (FFO). The applicant will be advised on how to apply for the funding through this notification. The Office of Local Defense Community Cooperation will work closely with the affected State and/or community to craft a responsive program of technical assistance and, where eligible and necessary, issue project grants. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF 424, budget, program or project narrative and assurances) must be submitted that outlines the proposed project and expenditure of funds. Applicants will be invited to submit their applications and supporting documentation electronically to the Director, Office of Local Defense Community Cooperation, Department of Defense. In instances

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where, for whatever reason, an electronic application cannot be submitted, provisions may be made to accept a paper application addressed to: Director, Office of Local Defense Community Cooperation, Department of Defense, 2231 Crystal Drive, Suite 520, Arlington, VA 22202-3711.

Award Procedure:

Applications are reviewed by Office of Local Defense Community Cooperation staff. The Office of Local Defense Community Cooperation may consult with other Federal agencies as necessary in consideration of an application. Any issues or concerns noted in the application may be negotiated with the applicant prior to the application being accepted as final by the Office of Local Defense Community Cooperation. Awards by the Director, Office of Local Defense Community Cooperation, will be made on the basis of an approved final application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. Contact the headquarters or regional office, as appropriate, for application deadlines. Range of Approval/Disapproval Time (095): To the extent practicable, the Office of Local Defense Community Cooperation will inform an applicant of approval within seven business days of the receipt of a final, completed application for planning assistance. The Office of Local Defense Community Cooperation will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. The match is typically 10% of the total project cost; however, for the Territory of Guam and the Commonwealth of the Northern Mariana Island, their match requirement is waived since they are Insular Areas. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance may extend across more than one period and will be determined on the basis of project need and requirements. Project periods should not exceed one year; however, the Office of Local Defense Community Cooperation will consider longer project periods if justified. Method of awarding/releasing assistance: other

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. The frequency of the reports will be identified in the "Terms and Conditions" of the award. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Performance monitoring may be required. The frequency of the monitoring reports will be identified in the "Terms and Conditions" of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Program, cash, progress, and expenditure reports are required. Performance monitoring may be required. The frequency of the reports and performance monitoring will be identified in the "Terms and Conditions" of the award.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

97-0100-0-7-051.

Obligations:

Project Grants FY 20 \$3,104,213.00; FY 21 est \$4,450,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

The range of assistance is projected to be from \$300,000 to \$1 million.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Example Project 1: Grant awards funded growth management planning by communities for investments to public services (schools, public health, workforce training, child care, etc.) and infrastructure (utilities, housing, transportation linkages, etc.) necessary to support the Air Forces strategic basing of two F-35A squadrons and the accompanying 2,765 military personnel and dependents. Example Project 2: Planning investments at one installation identified the need for 532 new housing units to support the planned mission growth. By mid-2021, the community had satisfied 316 new units and was continuing to address the balance. Investments that support the consolidation of cyber capabilities have commenced but are expected to support the growth of over 4,300 personnel by Fiscal Year 2023. This effort invests in cyber defense, resilience, and the continued integration of cyber capabilities into the full spectrum of military operations. Fiscal Year 2021: Example Project 3: Grant awards in the Pacific Northwest and Pacific Territories lay the planning foundation to identify future community investments needed to support projected installation growth and have resulted in a more unified support of the Indo-Pacific build-up with enhanced focus on the cargo through-put of the port facilities to sustain the enlarged mission footprint. Grant planning assistance will also include support to enhance public health infrastructure mitigating impacts from a Federal action, allowing an overall basing effort to progress.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recipient, and any sub-recipient or consultant/contractor, operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws including the following, where applicable: Part 1103 of title 2, Code of Federal Regulations (CFR), Interim Grants and Cooperative Agreements Implementation of Guidance in 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Part 28 of title 32, CFR, "New Restrictions on Lobbying;" Part 1125 of title 2, CFR, Department of Defense Non-procurement Debarment and Suspension, Subpart B, Requirements for Recipients Other Than Individuals, of Part 26 of title 32, CFR, Government-wide Requirements for Drug-Free Workplace (Financial Assistance); and Part 25 of title 2, CFR, Universal Identifier and Central Contractor Registration.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Western Region Director, Office of Local Defense Community Cooperation, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Phone: (916) 557-7365.

Headquarters Office:

Karen E. Bass-McFadden,
2231 Crystal Drive, Suite 520,
Arlington VA 22202-3711
Email: karen.e.bass-mcfadden.civ@mail.mil
Phone: (703) 697-2130
Fax:

Website Address:

<https://oldcc.gov/grant-management-administration>

RELATED PROGRAMS:

12.614 Community Economic Adjustment Diversification Planning; 12.600 Community Economic Adjustment ; 14.218 Community Development Block Grants/Entitlement Grants; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment;

EXAMPLES OF FUNDED PROJECTS:

2020 Grants were awarded to assist communities in preparing Growth Management Plans to support personnel growth associated with F-35A bed downs and

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consolidation of cyber capabilities into a centralized command at Department of Defense installations. These planning efforts evaluated effects of personnel growth on community infrastructure and public services such as schools, housing, public health, transportation, utilities, workforce training, child care, etc. 2021 Grant awards will fund growth management investments to assist communities in updating growth management plans, providing logistical support, public health planning, and shipping port master planning in response to personnel growth in the Pacific Northwest and Pacific Territories. These planning efforts will evaluate the mission growth impacts on public infrastructure and services, ensure that community equities are considered and that community infrastructure and services are in place to support the Department of Defense mission readiness.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must document: (1) an expansion, establishment, or growth of a military installation has occurred or will occur; and (2) any known or anticipated local impacts from the action on the beneficiary. Awards are based on eligibility and availability of funds.

12.620 Troops to Teachers Grant Program

Troops to Teachers Program,

FEDERAL AGENCY:

DEPT OF DEFENSE

AUTHORIZATION:

National Defense Authorization Act 2013, , 10 USC Code 1154.

OBJECTIVES:

The goal is to facilitate employment of eligible members of the armed forces in schools identified in 10 U.S.C. 1154.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The purpose of this opportunity is for one or more, or a consortia of such States, to receive grant funding to develop and implement a replicable model for attracting and assisting eligible members and former members of the armed forces to obtain employment as teachers. To support this effort, the following goals have been identified: 1. Attract and increase the number of eligible current and former members of the armed forces participating in the TTT Program; 2. Reduce barriers that prevent veterans from meeting teacher certifications requirements for transitioning into teaching careers; 3. Implement educational models to award academic credit for prior career experience and/or other relevant military training; 4. Provide individualized counseling to assist TTT participants with meeting the educational and certification requirements to transition into a teaching career; 5. Support state and local education agencies with hiring motivated, experienced and dedicated eligible members and former members of the armed forces; thereby, increasing the number of veterans employed as teachers; 6. Address geographic areas with critical teacher shortages, especially in high-need schools (as defined in 10 U.S.C. 1154) in particular the shortage of science, mathematics, special education, foreign language, or career or technical teachers; and in elementary schools or secondary schools, or as career or technical teachers.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; Grant opportunity is for one or more, or a consortia of such States, to receive grant funding to develop and implement a replicable model for attracting and assisting eligible members and former members of the armed forces to obtain employment as teachers.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); State; Beneficiaries of the program are eligible members of the armed forces, as specified in 10 U.S.C. 1154.

Credentials/Documentation:

Requirements for applications should be confirmed with Defense Human Resources Activity (DHRA), as appropriate. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The purpose of this opportunity is for one or more, or a consortia of such States, to receive grant funding to develop and implement a replicable model for attracting and assisting eligible members and former members of the armed forces to obtain employment as teachers. States interested in participating may apply individually or form a consortia with other states. The minimum annual amount for awards recommended under this announcement is \$100,000 and the maximum amount is \$400,000.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Awards may be made at any time throughout the year for which funding is authorized.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grants will reflect the period of performance which may be up to 5 years, subject to congress enacting appropriations. Method of awarding/releasing assistance: Grants are multi-year renewed each year based on performance. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428). Awards issued under any funding opportunity announcements are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. If applicable, additional reports may be required in the grant, based on the work proposed. In general, reporting requirements include quarterly interim performance reports, quarterly financial reports, final performance report, and final financial report. The Federal Financial Report (SF-425) is required for financial reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

17-1804-0-1-051.

Obligations:

Cooperative Agreements FY 20 \$27,832,606.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Grants range from \$100,000 to \$400,000 annually for multi-year projects. Average award is approximately \$200,000

TAFS Codes:

17-1804.

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PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 19 Education activities provided funding to assisting separated service members with education requirements to obtain teaching certification. Helped transitioning military veterans who were honorably discharged and have a bachelor's degree or alternative training become teachers.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 U.S.C. 1154; 2 CFR 200; DoD Grant and Agreement Regulations (DoDGARs)

Regional or Local Office:

See Regional Assistance Locations. Troops to Teachers National Office

Headquarters Office:

Troops to Teachers Program Director Chelsea Whitten,

OSD does not directly execute programs within this listing. For information about open competitions or future opportunities involving OSD funding, prospective proposers should consult the program announcements, BAAs, or other notices of funding opportunities issued by the agents that execute the program., Alexandria VA 22350

Email: osd.pentagon.osd-r-e.mbx.dod-grants-policy-office@mail.mil

Phone: 571-372-6413

Website Address:

<http://www.proudtoserveagain.com>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Helps transitioning military veterans who will be or have been honorably discharged and have a bachelor's degree or alternative training become teachers.

CRITERIA FOR SELECTING PROPOSALS:

The Department of Defense intends to award grants to one or more, or to a consortia of such States, grant funding to develop and implement a replicable model for attracting and assisting eligible members and former members of the armed forces to obtain employment as teachers.

12.630 Basic, Applied, and Advanced Research in Science and Engineering

FEDERAL AGENCY:

Office of the Secretary of Defense, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358; 10 U.S.C. 2362,

OBJECTIVES:

To: (1) Support basic, applied, or advanced research in mathematical, physical, engineering, environmental, and life sciences, and other fields with good, long-term potential for contributing to technology for Department of Defense missions; (2) facilitate transition of research results to practical application for defense needs; (3) improve linkages between defense research and the civilian technology and industrial bases, to promote commercial application of the results of defense research and commercial availability of technology for defense needs; (4) foster education of future scientists and engineers in disciplines critical to defense through research projects; and (5) strengthen the infrastructure for research and related science and engineering education in those disciplines.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Uses and restrictions are specified in individual program announcements, notices of funding opportunity, or Broad Agency Announcements (BAAs) and award documents and codified regulations applicable to awards.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); The eligibility for applicants will be in accordance with the individual program announcements,

BAAs, or other notices of funding opportunity. Generally, competitions are open to private and public educational institutions that carry out science and engineering research and/or related science and engineering education; however, competition may be limited to a class of such institutions, such as Historically Black Colleges and Universities or other minority institutions (HBCUs/MIs). Some competitions may allow eligibility to other types of organizations, such as for-profit applicants. However, awards are not made to individuals.

Beneficiary Eligibility:

Private nonprofit institution/organization; Student/Trainee; Graduate Student; Public nonprofit institution/organization; Beneficiaries may include individual graduate and undergraduate students (e.g., recipients of fellowships or research traineeships) in science and engineering disciplines important to defense, as well as organizations described under "Applicant Eligibility."

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/subrecipient principals not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the agency announcements 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Any such coordination or approvals will be specified in the individual program announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The administrative requirements, cost principles, and audit requirements are in accordance with the DoD Grant and Agreement Regulation (DoDGARs) part(s) applicable to the particular type of recipient and/or type of award. Each program announcement, BAA, or other notice of funding opportunity tells potential proposers who is eligible to apply, what activities will be supported, in which science and engineering areas they will be supported, and how to apply. Some announcements also may require white papers before submission of full proposals. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Award decisions are based on a competitive selection of proposals, using a technical merit review. Evaluation criteria are specified in the relevant announcement. If selected for award, the proposal is the basis for the award document and the applicant agrees to perform the research or research-related activities (e.g., science or engineering education or research infrastructure-building activities) described therein.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award decision time from receipt of the proposal varies.

Appeals:

Other. Appeal procedures are stated in applicable program announcements, BAAs, or other notices of funding opportunities.

Renewals:

Other. As specified in individual program announcements, BAAs, or other notices of funding opportunities, applications for competitive new awards are permitted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for the length stated in individual program announcement, BAA, or other notice of funding opportunities. Method of awarding/releasing assistance: other

Reports:

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Individual program announcement, BAA, or other notices of funding opportunities specify report requirements for program reports, cash reports, progress reports, expenditure reports, and performance reports, as consistent with the DoDGARS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Individual program announcements, BAAs, or other notices of funding opportunities cite terms and conditions that will apply to resultant awards. Audit requirements for the types of recipients (e.g., for-profit firms) not subject to 2 CFR part 200 are consistent with the DoDGARS.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

97-0400-0-1-051.

Obligations:

Project Grants (Discretionary) FY 20 \$238,214,999.00; FY 21 est \$650,000,000.00; FY 22 est \$655,000,000.00;

Range and Average of Financial Assistance:

See individual BAAs for expected range of award accounts (generally vary from \$50,000 to \$3,000,000 over 1 to 5 year periods of performance).

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Provided support for basic, applied, or advanced research in mathematical, physical, engineering, environmental, and life sciences, and other fields with good, long-term potential for contributing to technology for Department of Defense missions.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program announcements, BAAs, or other notices of funding opportunities issued by executive agents. The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Chelsea Whitten,

OSD does not directly execute programs within this listing. For information about open competitions or future opportunities involving OSD funding, prospective proposers should consult the program announcements, BAAs, or other notices of funding opportunities issued by the agents that execute the program., Arlington VA 22350

Email: osd.pentagon.ousd-r-e.mbx.dod-grants-policy-office@mail.mil

Phone: 571-372-6413

Website Address:

<https://www.grants.gov/web/grants/search-grants.html?keywords=12.630>

RELATED PROGRAMS:

12.300 Basic and Applied Scientific Research; 12.800 Air Force Defense Research Sciences Program; 12.431 Basic Scientific Research;

EXAMPLES OF FUNDED PROJECTS:

2021 ROLE OF LIPIDS REORGANIZED BY VIRAL PROTEINS AND RESTRICTION FACTORS IN VIRAL LIFE CYCLE; RESEARCH AND DEVELOPMENT OF MOLECULAR TOOLS FOR SPECIES CONSERVATION AND MANAGEMENT; NEW TECHNOLOGIES FOR RE-GENERATING LEAD ACID BATTERIES; SEDIMENT TRANSPORT AND WATER QUALITY IN WATERSHEDS AND COASTLINES OF THE UNITED STATES; MATERIALS UNDER EXTREME LUBRICATING CONDITIONS; ULTRA-HIGH SPEED IMAGING AND LASER DIAGNOSTICS FOR HYPERSONICS RESEARCH; REFUGEE FLOWS AND INSTABILITY.

CRITERIA FOR SELECTING PROPOSALS:

As specified in individual program announcements, BAAs, or other notices of funding opportunities. Generally, the principal evaluation and selection criteria are the technical merits of the proposed research (or research-related activity) and its potential relationship in the long term to missions of the Department of Defense. Other selection criteria vary with the nature of the specific research or research-related activity. Typical examples include: Qualifications of the principal investigator and other key personnel to perform the proposed activity; adequacy of current or planned facilities and equipment to do so; and realism and reasonableness of proposed costs.

12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART)

Science, Technology, Engineering and Mathematics (STEM),

FEDERAL AGENCY:

Office of the Secretary of Defense, DEPT OF DEFENSE

AUTHORIZATION:

SMART Defense Education Program, , Statue 119-3448, Public Law 109-163, 10 USC Code 2192a.

OBJECTIVES:

To increase the intellectual capacity and proficiency of future scientists and engineers in disciplines critical to defense; and to increase the number of science, technology, engineering and mathematics (STEM) qualified individuals in the DoD workforce.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Financial assistance through scholarships provided to undergraduate and graduate students majoring in a DoD-relevant STEM field. Applicants must be U.S. citizens and enrolled in an accredited U.S. institution of higher learning, e.g., university, college, etc.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Subject to language in any announcement for competitive procedures.

Beneficiary Eligibility:

Student/Trainee; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Education (13+); All applicants for scholarships must be at least 18 years of age and U.S. citizens. Applicants must be an undergraduate or graduate student majoring in a DoD-relevant STEM field. Applicants participate in internships at DoD laboratories. Participants will be required to obtain and/or maintain a security clearance. Upon completion of their degree, applicants are expected to work full-time for the DoD.

Credentials/Documentation:

See application (e.g. proof of citizenship, official transcripts, proof of enrollment in an accredited college or university). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application procedures for scholarships can be found at www.smartscholarship.org.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Award decisions will be based on a competitive selection process and availability of funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Eligible individuals may receive a SMART scholarship from one to five years.

Method of awarding/releasing assistance: other

Reports:

Quarterly and Annual Programmatic Reports Participant reports are required. Quarterly and Annual Financial Reports (SF-425). Participant performance reports are required (see program details).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Described in the terms and conditions of any ensuing award.

Account Identification:

97-0400-0-1-120.

Obligations:

Project Grants FY 20 \$3,479,496.00; FY 21 est \$253,000,000.00; FY 22 est \$253,000,000.00;

Range and Average of Financial Assistance:

See above.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Projects increased the proficiency of future scientists and engineers in disciplines critical to defense. Growing the defense workforce is necessary for national security.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please view the website at www.smartscholarship.org.

Regional or Local Office:

See Regional Assistance Locations. The Program Office is the STEM Development Office within the Office of the Assistant Secretary of Defense, Research and Engineering (OASD(R&E)). Information on scholarships can be found at www.smartscholarship.org.

Headquarters Office:

Chelsea Whitten,
OSD does not directly execute programs within this listing. For information about open competitions or future opportunities involving OSD funding, prospective proposers should consult the program announcements, BAAs, or other notices of funding opportunities issued by the agents that execute the program.,
Alexandria VA 22350

Email: osd.pentagon.ousd-r-e.mbx.dod-grants-policy-office@mail.mil

Phone: 571-372-6413

Website Address:

<https://www.grants.gov/web/grants/search-grants.html?keywords=12.631>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 SYSTEM FOR THE SYNTHESIS, CHARACTERIZATION, AND FABRICATION OF 2D MATERIALS AND DEVICES; PULSE-BURST LASER FOR HIGHLY-RESOLVED FLOW FIELD MEASUREMENTS IN BOTH SPACE AND TIME; MASS SPECTROMETRY INSTRUMENTATION CAPACITY BUILDING FOR RESEARCH AND EDUCATION; ACQUISITION OF COMPUTED TOMOGRAPHY (CT) SCANNER FOR STEM EDUCATION AND RESEARCH PROGRAMS IN NEW MEXICO.

CRITERIA FOR SELECTING PROPOSALS:

Selection for beneficiaries is based on merit including (1) academic record and potential to succeed in their proposed study; (2) additional supporting documentation; and (3) commitment to Federal service and availability of funds

12.632 Legacy Resource Management Program

DoD Legacy Program,

FEDERAL AGENCY:

Office of the Secretary of Defense, DEPT OF DEFENSE

AUTHORIZATION:

National Defense Authorization Act for Fiscal Year 1997, Section 349, Public Law 104-201, 10 USC Code 2694. National Defense Authorization Act for Fiscal Year 1991, Section 8120, Public Law 101-511

OBJECTIVES:

The purpose of the DoD Legacy Program is to provide funding to help manage and sustain DoD land in the United States. Legacy is tasked with funding high priority national and regional natural and cultural resources projects that support military readiness and enhance conservation objectives. Legacy funds projects protect and manage approximately 28 million acres of DoD land.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Legacy awards funding on a competitive basis for projects that directly benefit DoD's mission and stewardship objectives. Projects should support several Areas of Emphasis, including Readiness and Range Sustainment; Species at Risk, Species of Concern, Declining Species, and Habitat; Renewable Energy Impacts to Natural Resources; Archeological Collections Management; and Efficiencies in Historic Preservation. Legacy is not a grants program, but requires that recipients enter into a bilateral agreement with DoD's contracting office.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Cultural Resources/Historic Preservation

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Successful applicants must have the ability to accept funds from DOD via cooperative agreement, contract, or MIPR.

Credentials/Documentation:

We require a letter of support from any military installation with which the applicant plans to work/have access/otherwise engage. We will ask for CVs from applicants if level of expertise is unknown/unclear. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Any projects that would require military installation access/approval/coordination must include appropriate letters of support for the full

ASSISTANCE LISTINGS DESCRIPTIONS

proposal submittal.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Full application procedures are available on the Legacy Tracker, www.dodlegacy.org

Award Procedure:

Full award procedures are available on the Legacy Tracker, www.dodlegacy.org

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From time of pre-proposal submittal. We typically notify successful pre-proposal applicants to submit full proposals within 90-120 days of submittal.

Appeals:

Not Applicable. There is no appeals process. Decisions of the Legacy Program are final.

Renewals:

Other. All continuing project require submittal of an annual funding request during the regular proposals submittal period. Typically, we do not fund projects for more than 3-4 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is available on a fiscal year basis. All funds must be obligated within the FY for which they are awarded. Goal is 80% expenditure of funds within 18 months of receipt. Requirement is for all funds to be expended within 5 years of receipt. Method of awarding/releasing assistance: other

Reports:

Progress reports are required every six months, or as requested by the Program Office.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

97-0100-0-1-120.

Obligations:

Cooperative Agreements FY 20 \$8,057,352.00; FY 21 est \$43,789,602.00; and FY 22 est \$9,000,000.00;

Range and Average of Financial Assistance:

Most funded projects range between \$40,000 and \$150,000.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Example 1: A technical advisory committee was formed to identify a plant infestation. This contributes to military readiness by identifying risks, and recommended best procedures for mitigating risks. Example 2: Avian study that provided DoD with data visualization tools to assist with National Environmental Policy Act (NEPA) environmental reviews, and to assess impacts of DoD readiness activities on migratory birds for the Migratory Bird Treaty Act (MBTA) and Readiness Rule.

REGULATIONS, GUIDELINES, AND LITERATURE:

Proposal submittal and project management Guidelines are posted on www.dodlegacy.org. All products are also posted on www.denix.osd.mil

Regional or Local Office:

None. Contact the Legacy Program support contractor. Contact information is at www.dodlegacy.org

Headquarters Office:

Deputy Director, Natural Resources L, Peter Boice,
Office of the Deputy Under Secretary of Defense/Installations and
Environment/ESOH4800 Mark Center Drive, Suite 16G14,
Alexandria VA 22352
Email: l.p.boice.civ@mail.mil
Phone: 5713726905

Website Address:

<http://www.dodlegacy.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Example 1: Cooperative agreement with Klamath Bird Observatory (KBO) to provide increased capabilities with the Avian Knowledge Network (AKN). KBO will cooperate with DoD to identify best research and monitoring designs, and set up appropriate projects and protocols. KBO will also assist with coordination and cost sharing activities involving other Federal agency partners, and designing and using best practices in science delivery. Example 2: Cooperative agreement with North Carolina State University to form a technical advisory committee to identify an infestation of *Aldrovanda*, a genus of carnivorous plants. A report will be prepared documenting current status, invasion risks, and recommended follow-on work. This cooperative agreement will enhance military readiness by identifying risks posed by the *Aldrovanda* invasion and recommended best procedures for mitigating risks. Example 3: Cooperative agreement with Point Reyes Bird Observatory to provide increased capabilities with the Avian Knowledge Network (AKN). It will provide DoD with the capability to upload, archive, access, and use avian monitoring data and use data visualization tools, and assist with National Environmental Policy Act (NEPA) environmental reviews, and to assess impacts of DoD readiness activities on migratory birds for the Migratory Bird Treaty Act (MBTA) and Readiness Rule.

CRITERIA FOR SELECTING PROPOSALS:

Projects are screened based on whether they meet legislative criteria and current military and natural or cultural resources management needs. They are prioritized based on such criteria as specific relevance, transferability, broad applicability, return on investment, presence of matching funds, and past performance of PI.

12.740 Past Conflict Accounting

Defense POW/MIA Accounting Agency (DPAA) Past Conflict Accounting Grants and Cooperative Agreements Program (DPAA GCAP) NOTE This is a new program.,

FEDERAL AGENCY:

DEFENSE POW/MIA ACCOUNTING AGENCY (DPAA), DEPT OF DEFENSE

AUTHORIZATION:

National Defense Authorization Act for Fiscal Year 2015, Section 916 of Public Law 113-291, , Public Law 113-291, 10 USC Code 1501a.

OBJECTIVES:

The United States has made a sacred promise to its citizens that it will bring home with dignity all those who have served. DPAA is responsible for determining the fate of our missing and, where possible, recovering and identifying those who have made the ultimate sacrifice on behalf of a grateful nation. This program facilitates efforts to provide the fullest possible accounting for missing Department of Defense personnel from past conflicts and to provide answers to their families and the nation.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Pursuant to 10 USC 1501, the Secretary of Defense designated DPAA as the single organization within the Department of Defense to have responsibility for Department matters relating to missing persons from past conflicts, including accounting for missing persons and persons whose remains have not been recovered from the conflict in which they were lost. 10 USC 1501a provides the

ASSISTANCE LISTINGS DESCRIPTIONS

Secretary of Defense with authority to "enter into a cooperative agreement with, or make a grant to, a private entity for purposes related to the support of the activities of the designated Defense Agency." The Secretary of Defense delegated his 10 USC 1501a grant and cooperative agreement authority to DPAA to support DPAA's mission of locating and recovering the remains of missing persons from past conflicts, identifying those remains when possible, and providing answers to the families of the missing and the nation. Therefore, assistance must for purposes related to supporting efforts to account for missing Department of Defense personnel from past conflicts and provide answers to their families and the nation. Examples of programs of assistance include supporting universities, academic institutions, research institutions, non-profit organizations, and other non-federal entity in developing novel or new techniques approaches, procedures and techniques related to: Conducting terrestrial archaeological field work in a more efficient and cost effective manner to assist in recovering remains of the missing and other socially important objectives; Engaging in underwater remote sensing and surveys to improve the ability to detect, for example underwater aircraft crash locations; and Developing new historical research and analysis capabilities, data mining and document archives/search methodologies to increase the effectiveness of identifying critical historical information that can contribute to providing information to families of the missing as well as contributing to other social goals.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Applicants can be any private entity. A private entity is defined as any self-sustaining, non-Federal person or organization, established, operated, and controlled by any individual(s) acting outside the scope of any official capacity as officers, employees, or agents of the Federal Government

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Land/Property Owner; State; Veteran/Service person/Reservist (including dependents); Local; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries of an assistance award can be any private entity which is defined as any self-sustaining, non-Federal person or organization, established, operated, and controlled by any individual(s) acting outside the scope of any official capacity as officers, employees, or agents of the Federal Government.

Credentials/Documentation:

Credentials may be required in some instances, for example when a grant is issued that will entail field work requiring an archeologist or forensic anthropologist to ensure an excavation is conducted in accordance with applicable medico-legal standards. Applicants will submit evidence that all proposed entities and personnel are qualified and capable of performing such specified tasks or projects which may require certain scientific or other technical training/certification. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applicant should submit proposals as provided for in the relevant announcement. Merit based procedures will be used for award decisions and evaluators will use the evaluation criteria set forth in the announcement to determine if the application

meets the pertinent requirements and merits an award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. A representative range required to approve or disapprove a proposal is 90 to 120 days, however, awards relating to higher priority projects will generally take less time.

Appeals:

From 1 to 15 days. Any appeal should be submitted to the DPAA Headquarters designated Point of Contact for consideration by the appointed Senior Executive Service appellate authority.

Renewals:

Other. Provisions applicable to appeal procedures will be set out in the pertinent announcement.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time the assistance will be available will generally be one year but this will be specified in the pertinent announcement as well as in the terms and conditions of the award. Likewise, any restrictions placed on the time permitted to spend the money awarded will be specified in the announcement and the award. Method of awarding/releasing assistance: quarterly unless otherwise specified in the announcement/award. Method of awarding/releasing assistance: quarterly

Reports:

Required reporting will be specified in the announcement and the award. Required progress reporting will be specified in the announcement and the award. Required expenditure reporting will be specified in the announcement and the award, as will be provision regarding required monitoring. Required reporting will be specified in the announcement and the award. Required progress reporting will be specified in the announcement and the award. Required expenditure reporting will be specified in the announcement and the award, as will be provision regarding required monitoring. Required reporting will be specified in the announcement and the award. Required progress reporting will be specified in the announcement and the award. Required expenditure reporting will be specified in the announcement and the award, as will be provision regarding required monitoring. Required reporting will be specified in the announcement and the award. Required progress reporting will be specified in the announcement and the award. Required expenditure reporting will be specified in the announcement and the award, as will be provision regarding required monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, Non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements will be according to the terms and conditions of the award, which will include appropriate provisions related to recipient records required by the Code of Federal Regulations..

Account Identification:

97-0100-0-1-818.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$30,167,765.00; FY 21 est \$15,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Range: \$40,887 to \$17,706,812.76 Average: \$3,500,000

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Despite COVID-19 travel restrictions and health and safety related concerns, the program continued to result in new and innovative approaches and processes for the Agency to work with partners for field recoveries worldwide and to develop information of possible loss sites where remains of missing military personnel could be recovered. An outside study demonstrated that these efforts resulted in cost avoidance even as capacity was increased. Program utilized the expertise and resources of cultural resource management, archeology and forensic anthropology entities able to conduct terrestrial and underwater operations worldwide. Program directly contributed to multiple successful field recoveries and the recovery and return of missing military personnel lost in past conflicts (going back to and including WWII). Program also generated new field techniques and approaches that offer the potential to increase the overall efficiency of the Agency's field activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

See www.dpaa.mil for general information on the past conflict accounting mission and information about opportunities to help determine the fate of those who made the ultimate sacrifice.

Regional or Local Office:

Headquarters Office:

Deputy Director, Partnerships and Innovation George R Gillette,
2300 Defense Pentagon,
Washington DC 20301

Email: george.r.gillette.civ@mail.mil

Phone: 7036991191

Website Address:

<http://www.dpaa.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Developing information of possible loss sites where remains of missing military personnel could be recovered. Program utilizing the expertise and resources of cultural resource management, archeology and forensic anthropology entities able to conduct terrestrial and underwater operations worldwide. Program directly contributed to multiple successful field recoveries and the recovery and return of missing military personnel lost in past conflicts (going back to and including WWII). Program is generating new field techniques and approaches that offer the potential to increase the overall efficiency of the Agency's field activities.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria will be set forth in the announcement. Typical criteria will include: (1) overall technical and scientific merit; (2) potential contribution to stated goals and objectives identified in the announcement; (3) applicants capabilities, experience and demonstrated expertise; (4) plans and resource to accomplish the pertinent objectives stated in the announcement; and (5) cost realism.

12.750 Uniformed Services University Medical Research Projects

Uniformed Services University (USU),

FEDERAL AGENCY:

UNIFORMED SERVICES UNIVERSITY OF THE HEALTH SCIENCES
(USUHS), DEPT OF DEFENSE

AUTHORIZATION:

10 USC Code 2113(g). 10 USC Code 2358.

OBJECTIVES:

The mission of the Uniformed Services University of Health Sciences is to educate, train, and comprehensively prepare uniformed services health professionals,

scientists, and leaders to support the Military and Public Health Systems, the National Security and National Defense Strategies of the United States, and the readiness of our Uniformed Services. The Research Support Division is responsible for grant and cooperative agreement execution as codified in 10 USC 2358 and 10 USC 2113(g). The Division proactively leads the grants/cooperative agreements process - announcing, awarding, and administering grants/cooperative agreements - advancing the Agency's mission to serve the University's students, faculty, and staff.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

USU's mission is to conduct medical research and to educate and prepare outstanding scientists and health care practitioners for careers in service to our nation and partners with USU to provide world-class research and education.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Medical education, research, consultation

Beneficiary Eligibility:

Health Professional; Education Professional; Scientist/Researchers; Sponsored organization; Available to USU Scientists/Researchers

Credentials/Documentation:

Instructions for application are provided in funding announcements in accordance with 2 CFR 200 and the DODGARS. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Funding Opportunity Announcements are posted IAW 2 CFR 200, DODGARS, 10 USC 2113, and/or 10 USC 2358. Applications are evaluated for eligibility, technical merit and/or programmatic relevance. The results of the evaluations will be presented to a Selecting Official who will make the award determination (number of awards, funding amounts, etc. as applicable).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

From 60 to 90 days.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Period of time for funding availability is specified in each agreement. Method of awarding/releasing assistance: other

Reports:

Program reports Annual Progress Reports Expenditure reports In accordance with 2 CFR 200

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by 2 CFR 200 and the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0130-0-0-000.

Obligations:

Salaries and Expenses FY 20 \$244,121,879.00; FY 21 est \$271,000,000.00; FY 22 est \$271,000,000.00; Salaries and Expenses FY 20 Not Available; FY 21 Estimate Not Available; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Range: \$50K - \$80MAverage: \$1.486M

TAFS Codes:

97-0130.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: "Forum on Microbial Threats", "Warfighter Brain Health, Brain Tissue Repository"

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Rita Holliday,
4301 Jones Bridge Road,
Bethesda MD 20814
Email: rita.holliday@usuhs.edu
Phone: 240.271.9275
Fax: Brian Falasca,
4301 Jones Bridge Road,
Bethesda MD 20814
Email: brian.falasca@usuhs.edu
Phone: 301-335-0535
Fax:

Website Address:

<http://www.usuhs.edu>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Global Health Research Initiative (GHERI) Program 2021 "Forum on Microbial Threats", "Warfighter Brain Health, Brain Tissue Repository"

CRITERIA FOR SELECTING PROPOSALS:

Selection is based on technical merit with criteria outlined in individual funding opportunities.

12.777 Defense Production Act Title III (DPA Title III)

DPA Title III.

FEDERAL AGENCY:

DEPT OF THE AIR FORCE, DEPT OF DEFENSE

AUTHORIZATION:

Executive Order 13603EO, , 50 U.S.C. App. section 4501 et seq., , Public Law

111-67, 50 USC Code 4531 - 4534.

OBJECTIVES:

To create, maintain, protect, expand, or restore domestic industrial base capabilities essential for the national defense.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Loans, Project Grants

USES AND USE RESTRICTIONS:

Create - Establish a domestic industrial capability that doesn't currently exist
Maintain - Refresh/sustain a domestic industrial capability that currently exists
Protect - Assure use of a domestic industrial capability that currently exists
Expand - Increase the capacity of a domestic industrial capability that currently exists
Restore - Assist a domestic industrial capability that exists but has become dormant.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; The Title III Office makes the determination of applicant eligibility and assistance types based on the criticality of the national defense need and availability of funds.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; The Title III Office makes the determination for beneficiary eligibility based on the criticality of need and availability of funds.

Credentials/Documentation:

All responsible domestic sources will be considered by the Government. If applicable, necessary actions may be taken to protect the national security of the United States. DOMESTIC SOURCE. The term domestic source means a business concern (A) that performs in the United States or Canada substantially all of the research and development, engineering, manufacturing, and production activities required of such business concern under a contract with the United States relating to a critical component or a critical technology item; and (B) that procures from business concerns described in subparagraph (A) substantially all of any components and assemblies required under a contract with the United States relating to a critical component or critical technology item 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Uniform Administrative

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200 may apply, depending on the type of recipient and assistance instrument. General application submission procedures for this program are provided under a Broad Area Announcement (BAA) with more specific procedures provided under project-specific announcements.

Award Procedure:

General award procedures for this program (i.e., Proposal Acceptance and project initiation) are provided under project-specific announcements in FedBizOpps (a synopsis and link to FedBizOpps will be posted to grants.gov). Directions for submission, review and selection are outlined in the BAA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As described under BAA criteria, under BAA project-specific announcements, and under individual FOAs.

Appeals:

Other. As described under BAA criteria, under BAA project-specific announcements, and under individual FOAs.

Renewals:

Other. As described under BAA criteria, under BAA project-specific announcements, and under individual FOAs.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. 50% Recipient contribution to the maximum extent practicable depending on assistance instrument and BAA directions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typical projects undergo a five (5) year period of performance (3 years execution and 2 years performance monitoring). Projects may be fully funded or incrementally funded in distinct phases. Method of awarding/releasing assistance: other

Reports:

Reporting requirements will be specific to the individual agreements awarded but, at a minimum will include monthly cost/financial, schedule and technical performance reports; quarterly project management and equipment installation and tracking reports; and quarterly business reports as well as an annual forecast for the industrial capacity being improved. The requirement to use the SF 425, Federal Financial Report, will be specified in the individual agreement. Project reports, at a minimum, will include monthly cost/financial, schedule and technical performance reports; quarterly project management and equipment installation and tracking reports; and quarterly business reports as well as an annual forecast for the industrial capacity being improved. Financial Statement requirements will be specific to the requirements set forth under the individual agreements awarded. Examples may include the following: SF 425 Federal Financial Report. Progress report requirements will be specific to the requirements set forth under the individual agreements awarded. Expenditure report requirements will be specific to the requirements set forth under the individual agreements awarded. Performance monitoring report requirements will be specific to the requirements set forth under the individual agreements awarded.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 2 CFR 200, Subpart F Audits Requirements may apply

Records:

Federal agency report requirements will be specific to the requirements set forth

under the individual agreements awarded.

Account Identification:

97-0360-0-0-000. 57-3600-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$544,621,589.00; FY 21 est \$113,895,729.00; FY 22 est \$114,000,000.00;

Range and Average of Financial Assistance:

Awards range from \$350,000 to \$18,200,000 and the average award is \$4,380,605

TAFS Codes:

57-3600. 97-0360.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 1) DEFENSE PRODUCTION ACT (DPA) TITLE III RARE EARTH ELEMENTS SEPARATION AND PROCESSING This effort modified, expanded, equipped one or more domestic sources of Rare Earth Element (REE) separation and processing capability for Government and commercial needs in order to restore and protect the REE supply chain. 2. COVID-19-DPA3 Maintaining and Expanding the Berry Compliant Domestic Zipper Capability This effort addressed improving building capacity such that the recipient has the capability to produce at least 50% of DoD/DLAs annual needs for zippers and invested in product development such that the recipient will have the capability to produce all the various common zipper styles used by DoD/DLA. Under the current market/industry situation, the zipper industry could not sufficiently handle a surge in orders/demanded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awarding authorities and terms and conditions will be specific to the individual agreements awarded.

Regional or Local Office:

See Regional Assistance Locations. Air Force Office of Scientific Research, 875 North Randolph Street, Arlington VA 22203, 703-588-1930; Air Force Research Laboratory, Bldg 45, 2130 8th Street, Wright-Patterson AFB OH 45433-7541, 937-713-9798; Air Force Research Laboratory, 3550 Aberdeen Ave SE, Kirtland AFB NM 87117-5773, 505-846-4979; Air Force Research Laboratory, 26 Electronic Parkway, Rome NY 13441-4514, 315-330-7748; Air Force Research Laboratory, 203 West Eglin Blvd, Bldg 300, Eglin AFB FL 32542-6810, 850-882-4295.

Headquarters Office:

Venton Lamont,
1060 Air Force Pentagon, 4C149,
Washington DC 20330
Email: venton.j.lamont.civ@mail.mil
Phone: 5712562422
Fax:

Website Address:

<http://www.af.mil>

RELATED PROGRAMS:

93.043 Special Programs for the Aging Title III, Part D_Disease Prevention and Health Promotion Services;

EXAMPLES OF FUNDED PROJECTS:

2021 1. Resilient, Versatile Space Photovoltaic Factory for Contested Space Environment and An Evolving Market Place. This program is designed to increase the space solar cell and panel manufacturing competitiveness and value to the U.S. Government through the pursuit of manufacturing process improvements. 2. Protect and Expand Domestic Manufacturing of Clothing and Textiles Items for the Military. The objective of this program is sustain critical industrial base production of U.S. military uniform

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria will be specific to the requirements set forth under the BAA, BAA specific project announcements, and under individual FOAs.

12.800 Air Force Defense Research Sciences Program

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

DEPT OF THE AIR FORCE, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358,

OBJECTIVES:

To maintain technological superiority in the scientific areas relevant to Air Force needs; to prevent technological surprise to our nation and create it for our adversaries; to maintain a strong research infrastructure composed of Air Force laboratories, industry, and universities; and to complement the national research effort.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Basic research, applied research, advanced research, and development projects that are necessary to the responsibilities of the United States Air Force in the field of research and development; and, either relate to weapon systems and other military needs; or are of potential interest to the Air Force. Funds must be expended in a manner consistent with the statute and its aforementioned purpose.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational, or similar purposes in the public interest, and commercial concerns.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Private/public educational institutions; other private/public nonprofit organizations which are operated primarily for scientific, educational, or similar purposes in the public interest, and commercial concerns.

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/principals, not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) meeting other requirements specified in the program announcements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Research proposals shall be prepared in accordance with instructions in individual program announcements. See Grants.gov (www.grants.gov).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Research proposals shall be prepared in accordance with instructions in individual program announcements. See Grants.gov (www.grants.gov).

Award Procedure:

Awards decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact the appropriate regional contracting office.

Appeals:

From 1 to 15 days. Contact the appropriate regional contracting office.

Renewals:

Not Applicable. Contact the appropriate regional contracting office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to five years. Awards will be incrementally funded. Method of awarding/releasing assistance: lump sum.

Reports:

Financial/Cost/Performance/Technical Progress Reports. Reports of expenditures. Cost/Technical progress. Cost. Continuous monitoring throughout the effort. Yes in accordance with individual awards Cost/Programmatic (Technical) progress Cost Continuous monitoring throughout the effort.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the Department of Defense Grants and Agreements Regulations (DoDGARs).

Account Identification:

57-3600-0-1-051. 57-3600-0-1-051.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$654,429,300.00; FY 21 est \$363,650,000.00; FY 22 est \$881,265,000.00;

Range and Average of Financial Assistance:

The range for Federal Assistance is \$25,000 to \$24,100,000.

TAFS Codes:

57-3600.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 1. A TPU-Enhanced Deep Reinforcement Learning Approach for Automated Generations of Interpretable Models for Energetic Materials Across Length Scales. The objective of this DURIP project is to advance the fundamental understanding of the dynamic responses of energetic materials at the macroscopic scale via information obtained from sub-scale MD simulations and experiments. 2. Atomistic Characterization of Dislocation Interactions with Alpha-Beta Interfaces and Beta Lathes in Two Phase Ti Alloys. The objective of this project includes two-phase Ti alloys that have high specific modulus and specific strength as compared to Magnesium and Aluminum alloys, as well as excellent corrosion resistance. These two-phase titanium alloys are widely used in a variety of aerospace, energy and biomedical applications.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements. Also see individual program announcements.

Regional or Local Office:

See Regional Assistance Locations. Air Force Office of Scientific Research, 875 North Randolph Street, Arlington VA 22203, 703-588-1930; Air Force Research Laboratory, Bldg 45, 2130 8th Street, Wright-Patterson AFB OH 45433-7541, 937-713-9798; Air Force Research Laboratory, 3550 Aberdeen Ave SE, Kirtland AFB NM 87117-5773, 505-846-4979; Air Force Research Laboratory, 26 Electronic Parkway, Rome NY 13441-4514, 315-330-7748; Air Force Research Laboratory, 203 West Eglin Blvd, Bldg 300, Eglin AFB FL 32542-6810, 850-882-4295

Headquarters Office:

Venton Lamont,
1060 Air Force Pentagon, 4C149,
Washington DC 20330
Email: venton.j.lamont.civ@mail.mil
Phone: 5712562422

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<http://www.af.mil>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 USAFA and DeVisser Research, LLC. The goal of this project is to compare and contrast, with empirical evaluations, two distinct approaches to Human-machine Teams (HMT). The first is the AI-as-tool approach. This approach focuses on providing algorithms and automated/autonomous abilities to enhance the warfighters effectiveness. These technologies are merely extensions and primarily tools of the warfighter.

CRITERIA FOR SELECTING PROPOSALS:

Awards decisions will be based on a competitive selection of proposals resulting from a peer and/or scientific review.

12.801 Air Force Academy Athletic Programs

FEDERAL AGENCY:

DEPT OF THE AIR FORCE, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C 9362, as amended,

OBJECTIVES:

To operate and support the United States Air Force Academy athletic program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Per 10 U.S.C. 9362, the purpose of assistance is to support the athletic programs of the United States Air Force Academy. The support will be in the form of public education, military training programs; athletic program development, organization and support; character and leadership education and development; and pre-candidate, cadet and staff motivation for a lifetime of service through physical and mentally challenging physical education and fitness programs.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Per 10 U.S.C. 9362, the Secretary of the Air Force may, in accordance with the laws of the State of Incorporation, establish a corporation to support the athletic programs of the Academy. There is only 1 eligible entity, the Air Force Academy Athletic Corporation, a 501(c)(3) nonprofit, incorporated in the state of Colorado, and per 10 USC 9362 the Secretary of the Air Force may enter into cooperative agreements with the corporation for the purposes related to the athletic programs of the Academy.

Beneficiary Eligibility:

Private nonprofit institution/organization; No additional information

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not Applicable.

Award Procedure:

10 U.S.C. 9362

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact Contracting Office

Appeals:

Other. Contact Contracting Office

Renewals:

Other. Contact Contracting Office

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to five years Method of awarding/releasing assistance: lump sum.

Reports:

As indicated in announcement and subsequent award As indicated in announcement and subsequent award Required to verify direction and proper funds categorical allocation or sharing of agreement To ensure success of objectives or proper administration as necessary

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARS).

Account Identification:

57-3400-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$14,506,045.00; FY 21 est \$9,020,598.00; FY 22 est \$11,306,045.00;

Range and Average of Financial Assistance:

One existing cooperative agreement award covers the entire project scope to date; approximately \$9,020,598 annually.

TAFS Codes:

57-3400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The USAFA Athletic Program supports the Academys mission to build leaders of character for the Air Force and our nation. This program serves as a framework to foster realistic cadet leadership and teamwork skills in a mentally and physically challenging environment. The experiences obtained by participation in the Athletic Program prepare and motivate cadets for a lifetime of public service through physical education, fitness training and testing, intramural and intercollegiate (IC) athletic competition.

REGULATIONS, GUIDELINES, AND LITERATURE:

The DoDGARS (as updated through DoDs interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) applies to DoD grants and cooperative agreements.

Regional or Local Office:

See Regional Assistance Locations. 10 CONS/LGC, 8110 Industrial Drive, Suite 103, USAF Academy CO 80840-2315

Headquarters Office:

Venton Lamont,
1060 Air Force Pentagon, 4C149,
Washington DC 20330
Email: venton.lamont.2@us.af.mil
Phone: 5712562422

Website Address:

<http://www.airforce.com>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Support will be in the form of public education, military training programs; athletic program development, organization and support; character and leadership

ASSISTANCE LISTINGS DESCRIPTIONS

education and development; and pre-candidate, cadet and staff motivation for a lifetime of service through physical and mentally challenging physical education and fitness programs.

CRITERIA FOR SELECTING PROPOSALS:

Air Force Academy Athletic Corporation is the only entity authorized to receive awards under this program.

12.810 Air Force Medical Research and Development

FEDERAL AGENCY:

DEPT OF THE AIR FORCE, DEPT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2358,

OBJECTIVES:

To enhance the health, safety, readiness, and performance of Air Force personnel through applied medical research and advanced development executed largely through grants, cooperative agreements, and contracts with civilian educational and research institutions/organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Recipients are required to conform to their accepted research protocol and are usually required to submit some form of annual or project report. Project support is limited to research and development aimed at sustaining or enhancing the technology base or understanding a biological- medical process, thereby providing a technological edge in solving complex biological-medical problems and countering future military medical threats.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants must be an institution/organization. Applications will not be accepted from individuals.

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Health Professional; Graduate Student; Scientist/Researchers; Engineer/Architect; Industrialist/ Business person; Small Business Person; State; U.S. Citizen; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Applicants must be an institution/organization. Applications will not be accepted from individuals.

Credentials/Documentation:

Applicants must furnish evidence of legal authorization, financial and managerial capability as well as a certification of compliance with Federal, State, and local environmental laws, ordinances and regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See funding announcement

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Merit-based competitive procedures are utilized in accordance with notices of funding opportunities, such notices are typically called Program Announcements (PAs) or Broad Agency Announcements (BAAs). Proposals are evaluated by in-house committee and extramural review committees against the evaluation criteria listed in the Broad Agency Announcement (BAA) or Program Announcement (PA). The final stage of evaluation is the establishment of an order of merit in which all competing proposals are ranked on the basis of their respective military relevance and scientific merit evaluations. Award amount will be negotiated with those proposals recommended for funding. Awards depend upon the availability of funds and the priority which the Air Force determines to exist at the time of award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Once a grant or cooperative agreement is awarded, any follow-on research proposal is treated as a new request. Follow-on work should allow for the review time noted above.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance programs are normally available for work efforts that will be concluded within 3 years (36 months) from the date of award. Method of awarding/releasing assistance: other

Reports:

Federal Financial Report In-Progress Review Program Management Review Interim and Final Performance Report Reports of Government Furnished Property in possession of or acquired by the awardee Federal Financial Report Federal Financial Report In-Progress Review Program Management Review Interim Performance Report Federal Financial Report Periodic Program Performance review in the form of Program Management Reviews on an annual basis as well as In-Progress Reviews that are scheduled on an ad-hoc / as needed basis. Interim performance reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARS.

Account Identification:

97-0130-7-1-833.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$990,000.00; FY 21 est \$2,983,000.00; FY 22 est \$1,015,000.00;

Range and Average of Financial Assistance:

Awards range is \$1,150,000 to \$1,833,000. Average award is \$1,491,500.

TAFS Codes:

97-0130.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Field Intravenous Expeditionary System a system to generate Sterile Water for Injection (SWFI) to constitute multiple formulations of

ASSISTANCE LISTINGS DESCRIPTIONS

intravenous (IV) solutions consisting of ringers lactate, lyophilized human plasma, saline and other sterile fluids and/or biologics and medications Expeditionary Medical Support system (EMEDS) sites.

REGULATIONS, GUIDELINES, AND LITERATURE:

Command's Broad Agency Announcement (BAA) or Program Announcements (PAs) provide program information, applicable regulations, and guidance for submitting applications. The BAA and PAs can be viewed at the Grants.gov website.

Regional or Local Office:

None.

Headquarters Office:

VENTON LAMONT,
SAF/AQC1060 Air Force Pentagon 4C149,
Washington DC 20330

Email: venton.j.lamont.civ@mail.mil

Phone: 571-256-2422

Fax:

Website Address:

<http://www.af.mil>

RELATED PROGRAMS:

12.420 Military Medical Research and Development;

EXAMPLES OF FUNDED PROJECTS:

2021 Endovascular Variable Aortic Control - a clinically viable catheter with integrated solid-state sensors and a blood pressure monitor that will control blood flow in patients with non-compressible truncal hemorrhage in a way that reduces distal ischemia and organ damage below the balloon when compared to current technologies. This project will result in a completed device ready for verification and validation testing in support FDA 510(k) clearance.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected for funding based on the evaluation criteria listed in the Command's Broad Agency Announcement (BAA) or Program Announcements (PAs). The BAA and PAs can be viewed at the Grants.gov website.

12.840 Civil Air Patrol Program

FEDERAL AGENCY:

DEPT OF THE AIR FORCE, DEPT OF DEFENSE

AUTHORIZATION:

10 USC USC Code 9491-9498.

OBJECTIVES:

The purposes of the Civil Air Patrol are: to encourage and aid citizens of the United States in contributing their efforts, services, and resources in developing aviation and in maintaining air supremacy; to encourage and develop by example the voluntary contribution of private citizens to the public welfare; to provide aviation education and training especially to its senior and cadet members; to encourage and foster civil aviation in local communities; to provide an organization of private citizens with adequate facilities to assist in meeting local and national emergencies; and to assist the Department of the Air Force in fulfilling its noncombat programs and missions. See CAP site, <https://www.gocivilairpatrol.com/> for more information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The award recipient, Civil Air Patrol, is included in the statutory language. This is a mandatory, earmarked award. The activities performed by the recipient are specified in 10 U.S.C. 9493 and 9494.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any awards under this program will be made on a noncompetitive basis to the Civil Air Patrol, a nonprofit corporation that is federally chartered under 10 U.S.C. 9491-9498.

Beneficiary Eligibility:

Public nonprofit institution/organization; Beneficiary identified in statute

Credentials/Documentation:

Award is subject to: 1) receipt of applicable/acceptable certifications and representations; 2) having a current registration in the System for Award Management (SAM) (www.sam.gov); 3) the applicant, its principals, proposed subrecipients/principals, not appearing in SAM Exclusions as currently debarred, suspended, or otherwise ineligible; and 4) any other requirements in the application request. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application requirements will be coordinated with the applicant before award.

Award Procedure:

Any awards under this program will be made on a noncompetitive basis to the Civil Air Patrol, a nonprofit corporation that is federally chartered under 10 U.S.C. 9491-9498.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval process will be outlined in the individual announcements

Appeals:

Other. Contact Contracting Office

Renewals:

Other. Contact Contracting Office

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five Years Method of awarding/releasing assistance: quarterly

Reports:

Program status reports submitted quarterly and annually Cash on-hand and expenditure report due quarterly. Monthly progress reports due. Expenditure reports due quarterly

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARs.

Account Identification:

57-3400-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$58,300,000.00; FY 21 est \$52,000,000.00; FY 22 est \$55,000,000.00;

Range and Average of Financial Assistance:

Awards range: \$400 to \$27,233,500 Approximate average award: \$38,6017.32

TAFS Codes:

57-3400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 1.COVID-19 PANDEMIC RESPONSE

(\$150,000.00)Distributing or transporting COVID-19 viral test kits and critical

ASSISTANCE LISTINGS DESCRIPTIONS

personal protective equipment to health care workers and first responders at hospitals, points of distribution/dispensary (PODs) or other critical facilities. 2. Barksdale Mid-Air Collision Avoidance Visits (\$2,200.00) Conduct Mid-Air Collision Avoidance Visits at each airport within a 50 NM radius of Barksdale Air Force Base, LA. CAP is tasked to assist Barksdale Flight Safety personnel with evaluation on midair collision potential with civil operators of nearby airfields. Mission will require CAP to fly local routes, approaches, and departures at each airport.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARS) and Air Force Instruction 10-2701

Regional or Local Office:

See Regional Assistance Locations. CAP-USAF105 South Hansell Street, Maxwell, AFB, AL Email: capusaf.cc.maxwell@us.af.mil Phone: 334-953-6987

Headquarters Office:

Venton Lamont,
1060 Air Force Pentagon, 4C149,
Washington DC 20330
Email: venton.j.lamont.civ@mail.mil
Phone: 5712562422

Website Address:

<https://www.gocivilairpatrol.com>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 1) COVID-19 PANDEMIC RESPONSE--Transportation of emergency services personnel for COVID-19 related issues. No personnel having direct contact with a person testing positive for COVID-19 in the preceding 14 days will be allowed to fly in CAP aircraft or ride in CAP vehicles at any time, and crews will also not transport people that are showing symptoms of being sick with COVID-19 or other sickness. Cadets under the age of 18 may support these missions with parental approval using the CAPF 60-80, assuming that some personnel may be transported with other critical equipment. 2) COVID-19 PANDEMIC RESPONSE--Assisting agencies and organization in distributing food and other critical supplies to the public at points of distribution/dispensaries, blood drives or other appropriate locations at either a host facility or local CAP facility. As schools are closed for an extended period, there are critical needs for food to be made available to children in poor communities.

CRITERIA FOR SELECTING PROPOSALS:

Mandatory award based on statute

12.900 Language Grant Program

STARTALK,

FEDERAL AGENCY:

NATIONAL SECURITY AGENCY (NSA), DEPT OF DEFENSE

AUTHORIZATION:

Public Law 95-224

OBJECTIVES:

STARTALK is a federal grant program that provides funding and support to institutions to conduct K-16 language student education and teacher development programs of critical need languages that meet the national security and defense priorities. Through these programs, STARTALK seeks to achieve the following:- Stimulate significant increases in the number of students enrolled in the study of critical languages;- Increase the quality and supply of teachers of critical languages throughout the nation;- Improve the quality and effectiveness of critical language curriculum.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct a STARTALK program. Funds may not be used for any purpose other than those approved in the

grant award documents. Costs associated with the developing and delivery of the STARTALK program: Payroll, benefits, travel, meals and lodging, facilities, tuition and fees, supplies and equipment, and indirect costs.

Applicant Eligibility:

Federal; State; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Federally Recognized Indian Tribal Governments; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Must be a US college or university, public or private school system, or not-for-profit language education institution/organization in order to apply for a STARTALK grant. The program staff must be US Citizens or permanent residents of the United States. Program eligibility will be determined by NSA's STARTALK program office.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Private, public educational institutions and other private, public nonprofit organizations which are operated primarily for language and are not organized primarily for profit.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 220 (formerly A-21), 225 (formerly A-87), & 230 (formerly A-122) & OMB Circular No. A-88, and Subpart 31.2 of the Federal Acquisition Regulation applicable to commercial firms and those nonprofits exempted from 2 CFR 230 (formerly OMB Circular No. A-122). The administrative requirements of 2 CFR 215 (formerly A-110) & OMB Circular Nos. A-102, and A-133 apply. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A request or call for proposals is issued and posted on the STARTALK's program website. Guidelines and requirements for submitting a proposal are outlined in the requestor call for proposals on the website at the time of the issuance. Interested program participants must submit proposals for the support of conducting a STARTALK program as stated in the request or call for proposals.

Award Procedure:

Procedural steps for awarding assistance will be documented in the call for proposal. Applicants should refer to the document.

Deadlines:

Contact the headquarters or regional location, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding will reflect the period of performance. Assistance funds are available for a period of up to 24 months. Funds are released upon receipt of an acceptable invoice by the Financial and Accounting Office, in accordance with OMB Circular A-110 and the General Provisions for NSA Grants and Cooperative Agreements, which is provided with the awarded grant. No more than 50% of funds can be invoiced at the time of the award & no funds may be spent before the receipt of the grant award document. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Interim and final program reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include all the appropriate provisions related to recipient records that are required by the DoD Grants and Agreements Regulations (DoDGARs).

Account Identification:

97-0100-0-1-051.

Obligations:

Project Grants FY 19 Not Available; FY 20 Not Available; and FY 21 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

National Security Agency,
9800 Savage Road, Suite 6810,
Fort George G. Meade MD 20755
Email: STARTALKgrants@nsa.gov
Phone: 410-854-1337
Fax:

Website Address:

<https://startalk.umd.edu/public/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for proposal selection will be outlined in the Evaluation Criteria of the Grant Solicitation. The Government reserves the right to partially fund any successful proposal based upon the availability of funds.

12.901 Mathematical Sciences Grants

FEDERAL AGENCY:

NATIONAL SECURITY AGENCY (NSA), DEPT OF DEFENSE

AUTHORIZATION:

National Security Act of 1959, Sections 13 (a) and 10 (a), , Public Law 86-36, 50 USC Code 3611.

OBJECTIVES:

1. The NSA grants program seeks to stimulate new and important developments in the field areas of algebra, discrete mathematics, number theory, probability and statistics.2. The program also seeks to increase the quantity and quality of domestic mathematical talent for the benefit of NSA and the nation.3. The program seeks to improve mathematical opportunities to hitherto underrepresented groups in the nation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Summer salary, professional travel, publishing costs, undergraduate support, graduate student support, postdoctoral support, and conference support. No more than two summer months support based upon the type of grant that is awarded. No released time from teaching during the regular academic year; not tuition payments.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); All those receiving support from our grants must be U.S. citizens or permanent residents of the United States. Investigators must be an employee of a U.S. college or university. The principal investigator and all graduate students must be a U.S. citizen or a permanent resident of the U.S.. This grant is intended for mathematical researchers and educators seeking to advance mathematical expertise among student groups.

Beneficiary Eligibility:

Federal; U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Anyone/general public; Interstate; Native American Organizations; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Intrastate; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Local; School; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; This will benefit mathematical researchers and those who are in the process of acquiring the skills necessary to do research in the the mathematical sciences. Beneficiaries are those who meet the criteria above and are U.S. citizens or permanent residents as well as their students who are U. S. citizens or permanent residents of the U.S..

Credentials/Documentation:

The grant recipient shall certify that individuals meet the eligibility requirements as detailed in the "Who may submit" section of the Submission guidelines. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Principal investigators submit proposals for the support of undirected research, for the support of research conferences or meetings, and for programs of enrichment in specified mathematical areas. Principal investigators submit proposals in accordance with the proposal submission guidelines outlined at <https://www.nsa.gov>. Principal investigators submit proposals via email to mspgrants@nsa.gov from September 1 to October 15 annually. NSA has the authority for the final approval and passes the funds to a college, university, or institution. The college, university or institution then distributes the funds to the principal investigator and other personnel who are supported by the grant.

Award Procedure:

Proposals are evaluated for the intellectual merit and broad impact of the proposed activity by panels of NSA mathematicians. NSA determines which proposals will receive support together with the amount of that support and notifies our decisions in a timely fashion to all who submitted a proposal. Funds will be provided in a timely manner contingent upon them being appropriated and authorized by Congress, so no expenditures on these grants are allowed until the grant award document is in place. The Agency Grants Officer coordinates with the performing organization for the effective award and administration of the grant.

Deadlines:

ASSISTANCE LISTINGS DESCRIPTIONS

Each year, proposals are accepted from September 1st through October 15th for awards in the following fiscal year. No submissions received after the October 15th closing date will be accepted.

Range of Approval/Disapproval Time:

Other. Approval/Disapproval time frame ranges generally from 90 to 120 days.

Appeals:

Not Applicable. None

Renewals:

Other. Options for a second year of support may be proposed, and if accepted, grants with these options may be exercised at the sole discretion of the government. In all other situations, only no-cost extensions will be allowed when work is not completed. Funds will remain.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance funds are available for a period of up to 12 months, unless an option has been proposed, and accepted for 12 additional months. Conferences, may be funded for a shorter period of time. Method of awarding/releasing assistance: other

Reports:

Interim and final reports on the research or effort performed are required. Interim reports on the research or effort being performed are required. Final expenditure reports are required detailing how the funds were expended. Details will be found in the General Provisions for NSA Grants and Cooperative Agreements which will be provided with the Grant Award document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0400-0-1-051.

Obligations:

Project Grants FY 19 Not Available; FY 20 Not Available; and FY 21 Not Available;

Range and Average of Financial Assistance:

Research Grants: Range: \$5,000 - \$40,000 Average Award: \$26,000
Conferences: Range: \$5,000 - \$50,000 Average Award: \$15,000
Research Experiences for Undergraduates: Range: \$33,000 - \$125,000 Average Award: \$125,000

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

An applicant can find out about applying for a grant by consulting www.nsa.gov and search on Math and Sciences Program. A single page of information on the MSP is given out at various mathematical gatherings and is also available upon request from MSPgrants@nsa.gov.

Regional or Local Office:

See Regional Assistance Locations. National Security Agency Attn: Charles H. Toll, R1, Suite 65579800 Savage Road Fort George G. Meade, MD 20755

Headquarters Office:

Mathematical Sciences Program,
9800 Savage Road,
Fort George G. Meade MD 20755-6844
Email: MSPgrants@nsa.gov

Phone: 000-000-0000

Fax:

Website Address:

<https://www.nsa.gov/what-we-do/research/math-sciences-program/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is dependent upon the type of proposal received and will be outlined in the Evaluation Criteria of the Grant Solicitation. Individual research, conference/meeting, or undergraduate research experience. Primary criteria for individual research are the qualifications of the principal investigator and quality of the research proposed. Cost is considered when deciding upon funding e.g., up to two months of summer salary and /or student support, depending on the research grant type. The government reserves the right to partially fund any successful proposal based upon availability of funds.

12.902 Information Security Grants

DoD CySP, Cyber Scholarship Program,

FEDERAL AGENCY:

NATIONAL SECURITY AGENCY (NSA), DEPT OF DEFENSE

AUTHORIZATION:

Title National Security Act of 1959, Part 0, Section 13(a), Public Law 106-398, 10 USC Code 2200-2200f.

OBJECTIVES:

The DoD Cyber Scholarship Programs was established in accordance with provisions of Chapter 112, "Cyber Scholarship Program," of title 10, United States Code, added by Public Law 106-398, The National Defense Authorization Act for fiscal year 2001. The purpose of the program is to recruit and retain qualified cyber talent within the Department of Defense by offering scholarships to students in exchange for a period of obligated service upon graduation. National Centers of Academic Excellence in Cybersecurity (hereinafter referred to as NCAE-Cs) may nominate current undergraduate or graduate students who are pursuing a cyber-related degree for scholarship consideration. Current DoD Components/Agencies may nominate their permanent civilians or active-duty military members to pursue a cyber-related degree at one of the partnering NCAE-Cs. NCAE-Cs may also apply for modest support for building the institution's capacity for research and education in cybersecurity.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Tuition, books, fees, a stipend (Recruitment Students Only \$20,000 for community college, \$25,000 for undergraduate, and \$30,000, summer salary, professional travel, publishing costs, supplies, program office travel/training).

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); NCAE-Cs must be in good standing with the NCAE-C Program Office as well as the NCAE-C Grant Program Office. They must be current on all reporting requirements and show past performance in executing such grants. Recruitment students must be 18 years of age or older, enrolled in a cyber-related degree program at a NCAE-C, and must have a GPA of 3.0 in a community college program, 3.2 in an undergraduate program, or 3.5 in a

ASSISTANCE LISTINGS DESCRIPTIONS

graduate program. Retention students must be current DoD civilians or active duty military members in good standing with their parent organization and nominated by the parent organization for the scholarship program. All recipients of grant funding must be a U.S. citizen or a permanent resident of the United States. Additional eligible requirements will be provided in the solicitation/application.

Beneficiary Eligibility:

Federal; U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Interstate; Native American Organizations; Student/Trainee; Graduate Student; Scientist/Researchers; Intrastate; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Local; Youth (16-21); Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; This will benefit researchers in the information security field of computer science that are permanent residents of the United States (U.S.) as well as their students that are permanent residents of the U.S. or U.S. citizens. Scholarships will benefit those pursuing a Bachelor's, Master's or Doctoral Degree in one of the academic areas cited under the DoD Information Assurance Scholarship Program.

Credentials/Documentation:

Institutions of higher learning must be designated as a NCAE-C and will receive information on how to apply and the required forms/certifications needed. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NCAE-Cs will be notified via email in November / December of the current year with instructions on how to apply. Interested students may request a copy of the scholarship application from AskCySP@nsa.gov

Award Procedure:

The DoD CySP Executive Administrator in conjunction with the NSA Grants Office will award grants covering the required scholarship and/or capacity building funds.

Deadlines:

February 15, 2021. 15 February of the current year. The DoD CySP Program may change the date if the 15th falls on a weekend: 15 February 2022 / 15 February 2023 / 15 February 2024. The DoD CySP may adjust the timeline if the current funding year is under a furlough or continuing resolution.

Range of Approval/Disapproval Time:

From 60 to 90 days. Recruitment Student Applications: 60 to 90 days - notifications are made as close to 01 April as possible Retention Student Applications: 75 to 105 days - notifications are made as close to 01 June as possible Capacity Building Applications : 75 to 105 days - notifications are made as close to 01 June as possible Final grants are awarded as close to 01 Aug as possible

Appeals:

Not Applicable.

Renewals:

Other. NCAE-Cs and students must reapply each year. Information is provide in Nov/Dec of the current year via email.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance funds are available for a period of up to 12 months, unless an option has

been proposed and accepted for 12 additional months. Method of awarding/releasing assistance : Funds are released upon receipt of an acceptable invoice (SF-270) by the Finance and Accounting Office, in accordance with OMB Circular No. A-110 and General Provisions for NSA Grants and Cooperative Agreements attachment, which is provided with each awarded grant. Method of awarding/releasing assistance: other

Reports:

An interim and final technical report is required for all grant activities. The DoD CySP will provide grantees with the technical report template. A final SF-425 will be required. Interim reports on the research or effort being performed are required. Final expenditure reports are required detailing how the funds are expended.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 A copy of the current A-133 audit report will be required when awarding the grant, but no audits will be required during the life of the grant.

Records:

Records shall be maintained in accordance with OMB Circulars A-110 and A-133, as appropriate.

Account Identification:

97-0400-0-1-051.

Obligations:

Project Grants FY 19 Not Available; FY 20 Not Available; and FY 21 Not Available;

Range and Average of Financial Assistance:

DoD CySP Recruitment Student award: Average \$70k DoD CySP Retention Student award: Average \$30k DoD CySP Capacity Building award: Not to exceed \$250k

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since its inception in 2001 over 700 recruitment scholars and 275 retention scholars and enabled over 250 capacity building projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on how to apply for the DoD CySP, please contact the DoD CySP Program Office at AskCySP@nsa.gov or 410-854-6206 Information on how to apply to be designated as a National Center of Academic Excellence in Cybersecurity, please contact the NCAE-C Program Office at CAEPMO@nsa.gov or 410-854-6206

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Ms. Alice Smitley,
9800 Savage Road, Suite 6810,
Fort George G. Meade MD 20755
Email: AskCySP@nsa.gov
Phone: 410-854-6206

Fax:

Website Address:

<http://cio-nii.defense.gov/sites/iasp2/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 For FY20 - 120 new and 74 returning recruitment scholarships were awarded. 10 retention scholarships were awarded . 38 Capacity building projects were funded.

CRITERIA FOR SELECTING PROPOSALS:

The DoD CySP Executive Administrator provides each participating DoD Agency/Component a summary list of all eligible recruitment student applications.

ASSISTANCE LISTINGS DESCRIPTIONS

The listing includes each student's academic major, GPA, projected degree and final graduation date, comments on the students' knowledge, skills and abilities as well as the NCAE-C assessment rating. Retention students are selected by the DoD CySP Steering Committee which is housed within the DoD CIO. Capacity Building proposals are reviewed by subject matter experts from across the DoD. Each proposal receives three independent reviews, scores are tallied, averaged and then rank ordered. The DoD CIO has the final decision authority as to what gets funded.

12.903 GenCyber Grants Program

GenCyber.

FEDERAL AGENCY:

NATIONAL SECURITY AGENCY (NSA), DEPT OF DEFENSE

AUTHORIZATION:

10 USC Code 2192(b).

OBJECTIVES:

The GenCyber Program provides summer cybersecurity camp experiences for students and teachers at the K-12 level. The goals of the GenCyber program are to:- Ignite, sustain, and increase awareness of K-12 cybersecurity content and cybersecurity postsecondary and career opportunities for participants through year-round engagement;- Increase student diversity in cybersecurity college and career readiness pathways at the K-12 level; and- Facilitate teacher readiness within a teacher learning community to learn, develop, and deliver cybersecurity content for the K-12 classroom in collaboration with other nationwide initiatives. To ensure a level playing field, GenCyber camps are open to all student and teacher participants at no cost.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants funds must be used for allowable costs necessary to conduct a GenCyber program. Funds may not be used for any purposes other than those approved in the grant award documents. Costs associated with the developing and delivery of the GenCyber Program: Payroll, Benefits, Travel, Meals and Lodging, Facilities, Tuition and Fees, Classroom Supplies; Office/Administrative Supplies; Miscellaneous Supplies; Equipment; Third-party Services; Additional Project Expenses; Indirect Costs. GenCyber program attendees may not be charged to attend the program. Participation in the GenCyber program is FREE to all participants. Registration fees (even if refunded) may NOT be charged to the participants.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Must be a U.S. college or University, a public or private school or school system to apply. Not-for-profits can apply for a grant, but must partner with an academic institution in order to do so. The principal investigator and program staff must be U.S. citizens or permanent residents of the United States. Program eligibility will be determined under the GenCyber Program.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Interstate; Intrastate; State; Local; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Must be a U.S. college or University, a public or private school or school system, or a not-for-profit when partnered with an academic institution. The principal investigator and program staff must be U.S. citizens or permanent residents of the United States. Program eligibility will be determined under the GenCyber Program.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An annual request for proposals is issued and posted to the GenCyber webpage (<http://www.gen-cyber.com>). Guidelines and requirements for submitting a proposal are outlined on the webpage at the time of the request. Principal investigators submit proposals for the support of conducting a GenCyber program electronically per the guidelines posted via <http://www.gen-cyber.com>.

Award Procedure:

Procedural steps for awarding assistance will be documented in the call for proposals, applicants should refer to this document.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally within 3 months of the receipt of the proposals.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance funds are available for a period of up to 24 months. Funds are released upon receipt of an acceptable invoice by the Finance and Accounting Office, in accordance with 2 CFR 215 (formerly OMB Circular No. A-110) and the General Provisions for NSA Grants and Cooperative Agreements attached, which is provided with each awarded grant. No more than 50% of funds can be invoiced at the time of award and no money may be spent until the grant document is formally in place. Method of awarding/releasing assistance: other

Reports:

Final report to include any updated curriculum and/or lesson plans. Interim reports will be required 3 times within the 2 year period of performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include the appropriate provisions related to recipient records that are required by the DoD Grant and Agreement Regulations (DoDGARs).

Account Identification:

97-0100-0-1-051.

Obligations:

Project Grants FY 19 Not Available; FY 20 Not Available; and FY 21 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

97-0400. 97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on how to apply for grants is located at www.gen-cyber.com under the Proposal section or contact the GenCyber Program Office at GenCyber@nsa.gov.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

GenCyber Grants Program Manager,
National Security Agency Attn: GenCyber Program Office,
Fort George G. Meade MD 20755
Email: GenCyber@nsa.gov
Phone: 410-854-8994
Fax:

Website Address:

<https://www.gen-cyber.com/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for proposal selection will be outlined in the Evaluation Criteria of the Grant Solicitation. The Government reserves the right to partially fund any successful proposal based upon availability of funds.

12.905 CyberSecurity Core Curriculum

NCAE-C Grant Program,

FEDERAL AGENCY:

NATIONAL SECURITY AGENCY (NSA), DEPT OF DEFENSE

AUTHORIZATION:

10 USC Code 2192(b).

OBJECTIVES:

To meet future national security challenges, NSA (and other DoD and Federal Agencies/Components) partner with institutions of higher education to develop the cybersecurity talent and tools need by: Funding skill development programs like summer camps Promoting development of curricula for the growing cybersecurity career field Sponsoring skills and research paper competitions Awarding research grants and funding research labs and projects Funding institutions who support the larger cybersecurity community in faculty, lab, and curriculum development This is not a complete list, there may be other topic areas that further cybersecurity for the nation that the NCAE-C Program Office could release via a grant solicitation. These partnerships help cultivate the next generation of experts in cybersecurity who can protect our nation from cyberattacks. Grants will be awarded to institutions of higher learning who are designated as either a National Center of Academic Excellence in Cyber Defense Education, National Centers of Academic Excellence in Cyber Defense Research, and National Centers of Academic Excellence in Cyber Operations. All three programs are housed under the National Centers of Academic Excellence in Cybersecurity program and hereinafter will be referred to as NCAE-Cs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct the proposed project.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); NCAE-Cs must be in good standing with the NCAE-C Program Office as well as the NCAE-C Grant Program Office. They must be current on all reporting requirements and show past performance in

executing such grants. NCAE-Cs must also submit a proposal in response to any published solicitation by the due date with all required forms and documentation to be considered. All recipients of grant funding must be a U.S. citizen or a permanent resident of the United States. Additional eligible requirements will be provided in the solicitation/application .

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Must be a U.S. college or University, a public or private school or school system, or a not-for-profit educational institution. The principal investigator and program staff must be U.S. citizens or permanent residents of the United States. Program eligibility will be determined by NSAs College of Cyber.

Credentials/Documentation:

Institutions of higher learning must be designated as a NCAE-C and will receive information on how to apply and the required forms/certifications needed. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NCAE-Cs will be notified via the NCAE-C Application Tool no less than 60 days before the solicitation due date. In some cases more time may be provided to prepare a response.

Award Procedure:

The NCAE-C Grants Program Director in conjunction with the NSA Grants Office will award grants covering the required funds.

Deadlines:

The NCAE-C Program Office may release multiple solicitations in a given year that address different project opportunities . Each solicitation will have the deadline clearly identified.

Range of Approval/Disapproval Time:

Other. These dates will be determined the solicitation due date and will be clearly identified in the solicitation

Appeals:

Not Applicable.

Renewals:

Other. Unless an option is awarded in a based grant, NCAE-Cs will need to reapply to any future solicitation for additional funding. Typically, these grants are a 12 month stand-alone project.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance funds are available for a period of up to 12 months, unless an option has been proposed and accepted for 12 additional months. Method of awarding/releasing assistance : Funds are released upon receipt of an acceptable invoice (SF-270) by the Finance and Accounting Office, in accordance with OMB Circular No. A-110 and General Provisions for NSA Grants and Cooperative Agreements attachment, which is provided with each awarded grant. Method of awarding/releasing assistance: other

Reports:

An interim and final technical report is required for all grant activities. The NCAE-C Grant Office will provide grantees with the technical report template. A final SF-425 will be required. Further clarification will be identified in the solicitation. SF-425 will be due 60 days after completion of grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

ASSISTANCE LISTINGS DESCRIPTIONS

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A copy of the current A-133 audit report will be required when awarding the grant, but no audits will be required during the life of the grant.

Records:

Records shall be maintained in accordance with OMB Circulars A-110 and A-133, as appropriate.

Account Identification:

97-0100-0-1-051.

Obligations:

Project Grants FY 19 Not Available; FY 20 Not Available; and FY 21 Not Available;

Range and Average of Financial Assistance:

\$100,000.00 to \$1,000,000.00

TAFS Codes:

97-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on how to apply please contact the NCAE-C Grant Office at NCAECGrants@nsa.gov or 410-854-6206. Information on how to apply to be designated as a National Center of Academic Excellence in Cybersecurity, please contact the NCAE-C Program Office at CAEPMO@nsa.gov or 410-854-6206

Regional or Local Office:

See Regional Assistance Locations. DoD/NSA Attn: Ms. Alice Smitley 9800 Savage Road, Suite 6810 Fort George G. Meade, MD 20755-6833 (410) 854-6206

Headquarters Office:

Alice Smitley,
9800 Savage Road, Suite 6810,
Fort George G. Meade MD 20755
Email: NCAECGrants@nsa.gov
Phone: 410-854-6206
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 For FY19 - Support to 11 NCAE-Cs who provided outreach and support to the entire NCAE-C Community. These projects range in scope from faculty development, support for the NCAE-C Community Symposium, and mentoring of new and re-designating institutions.

CRITERIA FOR SELECTING PROPOSALS:

Subject matter experts from DoD and Federal agencies as well as potential peer NCAE-Cs will review the proposals against published criteria. Each proposal may receive three independent reviews, with the scores tallied/averaged and then rank ordered. The NCAE-C Program Office has final decision authority.

12.910 Research and Technology Development

FEDERAL AGENCY:

DEFENSE ADVANCED RESEARCH PROJECTS AGENCY (DARPA), DEPT OF DEFENSE

AUTHORIZATION:

10 USC 2358, and 10 USC 2371,

OBJECTIVES:

To support and stimulate basic research, applied research and advanced research at educational institutions, nonprofit organizations, and commercial firms, which may

have military or dual-use application. This support may take the form of grants, cooperative agreements, or technology investment agreements (TIAs).

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

No block or formula grants. Only project grants resulting from scientific proposals. Basic research, applied research and advanced research in areas of science and technology which may have military or dual-use application. Projects are expected to advance the state of the art or result in fundamental change in technology. Support for scientific symposia, conferences in relevant technology areas, and consortia which deals with relevant technologies. Programs to encourage careers in science, technology and engineering, and to increase the number of graduates from underrepresented minority groups. Programs assisting laboratory research instrumentation at universities. Programs intended to produce fundamentally different approaches to relevant technologies or establish fundamentally new relationships among the parties engaged in technology development. Potential recipients should note that these funds are awarded on project-by-project basis and are not block grants. The research focus areas are defined in the announcement of potential research needs (See references to Broad Agency Announcements and/or Research Announcements in Application and Award Process).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; For grants, eligibility is limited to public and private educational institutions and nonprofit organizations operated for purposes in the public interest. For cooperative agreements, eligibility is limited to educational institutions, nonprofit organizations, and commercial firms. Individuals are not eligible for these awards. Eligibility for TIAs is broader and may include all organizations and individuals, including commercial companies.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Public and private educational institutions. Nonprofit organizations operated for purposes in the public interest and commercial firms.

Credentials/Documentation:

Applicants must not appear on the debarred or suspended list. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible organizations may submit proposals or white papers in response to relevant Broad Agency Announcements or other types of announcements published at www.grants.gov.

Award Procedure:

Award decisions are based upon competitive selection of specific project proposals resulting from a scientific review. Evaluators use the evaluation criteria contained in the relevant Broad Agency Announcement or other type of announcement. Generally, the offeror's proposal is incorporated into the grant award and the offeror agrees to perform the project as described in the proposal, with specific reporting due from the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. A representative range of time required for the proposal to be accepted for award would be 60 to 90 days. Awards on high priority projects generally would take less time.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals generally require reapplication with a new proposal.

ASSISTANCE LISTINGS DESCRIPTIONS

Extensions can generally be handled under the terms of the original grant, cooperative agreement, or TIA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Since this is a research and technology program, the assistance is generally available for a 3 to 5-year period, as stated in the individual grant, agreement, or TIA. Funding is usually provided incrementally in accordance with a funding schedule contained in the award document. Method of awarding/releasing assistance: other

Reports:

DARPA assistance award actions typically require periodic status reports. DARPA assistance award actions typically require periodic status reports. DARPA assistance award actions typically require periodic status reports. DARPA assistance award actions typically require periodic status reports. DARPA assistance award actions may include performance monitoring by a Grants Officer's Representative (GOR) or Assistance Officer's Representative (AOR).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F, Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Record keeping requirements are in accordance with the terms and conditions of the award, which will include appropriate provisions related to recipient records that are required by the DoDGARs.

Account Identification:

97-0400-0-1-051.

Obligations:

Project Grants FY 20 \$355,906,087.00; FY 21 est \$340,000,000.00; FY 22 est \$350,000,000.00;

Range and Average of Financial Assistance:

Range may include \$50,000 to \$3,600,000.

TAFS Codes:

97-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Persistent threats in cyber-physical systems; Gamifying the search for scientific surprise; Space propulsion; Energetics formulation development; Random additive noise decoding; Atmospheric water extraction

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Defense Grant and Agreement Regulations (DoDGARs) (as updated through DoD's interim implementation of 2 CFR part 200 which can be found at 2 CFR part 1103) is applicable to DoD grants, cooperative agreements, and TIAs. The DARPA Brochure, can be obtained from the Headquarters office. This publication lists the points of contact within the various scientific disciplines. For additional information see DARPA's website: <http://www.darpa.mil>.

Regional or Local Office:

None.

Headquarters Office:

Diane Sidebottom,
675 North Randolph Street,
Arlington VA 22203
Email: diane.sidebottom@darpa.mil
Phone: 7035262287

Website Address:

<http://www.darpa.mil/cmo>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Persistent threats in cyber-physical systems; Gamifying the search for scientific surprise; Space propulsion; Energetics formulation development; Random additive noise decoding; Atmospheric water extraction

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria will be as specified in the solicitation announcement. Typical evaluation criteria, listed in descending order of relative importance, are as follows: (1) Overall scientific and technical merit; (2) potential contribution and relevance to DARPA mission; (3) offeror's capabilities and related experience; (4) plans and capability to accomplish technology transition; and (5) cost realism.

12.987 Upper San Pedro Partnership Support

Upper San Pedro Partnership,

FEDERAL AGENCY:

DEPT OF THE ARMY, DEPT OF DEFENSE

AUTHORIZATION:

Cooperative Agreements for Land Management on Department of Defense Installations, Title Sikes Act, Part 670c-1, Section 103a

OBJECTIVES:

To assist in the execution of the Upper San Pedro Partnerships (hereafter referred to as Partnership) long-range planning document, the mission of which is to meet the long-term water needs of the Sierra Vista (SV) Subwatershed by achieving sustainable yield of the regional aquifer: 1) preserve the San Pedro Riparian National Conservation Area (SPRNCA), and 2) ensure the long-term viability of Fort Huachuca.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

1. Water Conservation-Provide water conservation outreach to residents of the SV subwatershed by conducting residential and business on-site visits, workshops, tours, school programs, media outreach, newsletters and email alerts, and information tables. 2. Water Mitigation Project Implementation-Solicit and award projects/contracts for implementing water conservation, reuse, or recharge projects in support of Endangered Species Act compliance in the SV subwatershed of the Upper San Pedro Basin. Projects may include design/construction of rainwater harvesting systems, stormwater recharge systems, installation of water conservation devices, and other measures to reduce groundwater usage or increase aquifer recharge in the SV subwatershed. 3. Water Conservation Business Grant Program-Administer the Partnerships Water Conservation Business Grant Program to include issuing grant funds to those businesses and institutions that are selected by the Partnerships Retail Work Group to receive a water conservation business grant or to those businesses that provide labor and/or materials to implement grant projects.

Applicant Eligibility:

Other public institution/organization; Solicit and award cooperative agreement for implementing water conservation, reuse, or recharge projects in support of Endangered Species Act compliance in the SV subwatershed of the Upper San Pedro Basin. Projects may include design/construction of rainwater harvesting systems, stormwater recharge systems, installation of water conservation devices, and other measures to reduce groundwater usage or increase aquifer recharge in the SV subwatershed. Administer the Partnerships Water Conservation Business Grant Program to include issuing grant funds to those businesses and institutions that are selected by the Partnerships Retail Work Group to receive a water conservation business grant or to those businesses that provide labor and/or materials to implement grant projects.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; This effort supports long-range water conservation for the Sierra Vista subwatershed through the use of the

ASSISTANCE LISTINGS DESCRIPTIONS

Upper San Pedro Partnership Agreement.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This is a direct award to the City of Sierra Vista who is responsible for managing the Upper San Pedro Partnership.

Award Procedure:

Limited to the Upper San Pedro Partnership for water conservation efforts. The Upper San Pedro Partnership is managed by the City of Sierra Vista on behalf of Fort Huachuca, Arizona. <https://uppersanpedropartnership.org/>

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. <https://uppersanpedropartnership.org/>

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 month restriction. Method of awarding/releasing assistance: other

Reports:

Status of project reports are required quarterly. Quarterly expenditure reports are required. Government oversight will be provided by an Assistance Agreements Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Will adhere to 2 CFR 200 Subpart F as applicable.

Records:

All submitted reports need to be retained for at least 12 months after the closeout date.

Account Identification:

21-2020-0-0-131.

Obligations:

Cooperative Agreements FY 20 \$100,000.00; FY 21 est \$100,000.00; FY 22 est \$1,000.00;

Range and Average of Financial Assistance:

Average assistance is anticipated at \$100,000. However, due to funding shortfalls, only \$1,000 is anticipated for FY2022.

TAFS Codes:

21-2020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Water Mitigation, Water Projected Outreach, Microgravity Monitoring of Aquifer Storage, Microgravity Survey, Aquifer Water Level Monitoring, Flume Instrumentation & Monitoring, Water Sustainability Study.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Agreements Officer Nancy E. Johnson,
ACC-APGHuachuca DivisionFort Huachuca DOC Operations,
Fort Huachuca AZ 85670-2748

Email: Nancy.e.Johnson.civ@mail.mil

Phone: 5205331464

Website Address:

<https://uppersanpedropartnership.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Water Mitigation, Water Projected Outreach, Microgravity Monitoring of Aquifer Storage, Microgravity Survey, Aquifer Water Level Monitoring, Flume Instrumentation & Monitoring, Water Sustainability Study.

CRITERIA FOR SELECTING PROPOSALS:

This is a direct award to the City of Sierra Vista who is responsible for managing the Upper San Pedro Partnership.

HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

14.103 Interest Reduction Payments Rental and Cooperative Housing for Lower Income Families

Interest Reduction Payments for certain Multifamily FHA-insured Properties - Section 236.

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, as amended, Section 236, Public Law 90-448, 12 U.S.C. 1715., , Public Law 448-90-448, 12 USC Code 1715.

OBJECTIVES:

To provide good quality rental and cooperative housing for persons of low- and moderate-income by providing interest reduction payments in order to lower their housing costs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Use was limited to Section 236 insured and non-insured mortgages financed by State or Local Housing Finance Agencies. Program is now inactive. No new projects are being approved. Projects with this subsidy are still active in the MF portfolio.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible mortgagors included nonprofit, cooperative, builder-seller, investor-sponsor, and limited-distribution sponsors. Public bodies did not qualify as mortgagors under this program.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Senior Citizen (60+); Sponsored organization; Low Income; Public nonprofit institution/organization; Other public institution/organization; Occupants of projects receiving IRP payments and owners benefit.

Credentials/Documentation:

New funding for this program is not expected. Documentation regarding the characteristics of the property and qualifications of the mortgagor was submitted with the application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Program is now inactive. No new projects are being approved. Projects with this subsidy are still active in the MF portfolio.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No applications for new projects are being accepted.

Award Procedure:

The local HUD office or State or local Housing Finance Agency reviewed the application to determine site appraisal and market analysis. Market need, correct zoning, environmental assessment and value of site fully improved were considered. The local office granted feasible proposals a tentative reservation of contract authority, and the sponsor was invited to apply for mortgage commitment, at which stage(s) further technical processing was completed. No new applications for project mortgage insurance are being accepted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24 CFR, Chapter , Part 236, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

The mortgage term normally extends for 40 years. Interest reduction payments may extend for the full term of the mortgage. For individual families, assistance ceases when their income rises to the point where they can afford the full market rent without the benefit of assistance. Method of awarding/releasing assistance: other

Reports:

Excess income reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgage or mortgagor in order to determine their compliance and conformance with FHA regulations and standards.

Records:

Tenant certifications for assistance payments and annual recertification must be forwarded to the HUD Field or Area Office by the sponsor. Regular financial reports are also required. Mortgagees must service and maintain records in accordance with acceptable mortgage practices and HUD regulations.

Account Identification:

86-0335-0-1-999. 86-0334-0-1-604.

Obligations:

Guaranteed/Insured Loans FY 20 \$427,669.00; FY 21 est \$400,000.00; FY 22 est \$400,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-0334. 86-0335.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 236 et seq.

Regional or Local Office:

None. Contact the appropriate local HUD Office listed https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/aboutubspcs

Headquarters Office:

Special Advisor for Program Management Thomas R Davis,
451 7th Street, SW,
Washington DC 20410
Email: thomas.r.davis@hud.gov
Phone: (202) 708-0001

Website Address:

https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/aboutahp

RELATED PROGRAMS:

14.149 Rent Supplements_Rental Housing for Lower Income Families;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.108 Rehabilitation Mortgage Insurance

FHA Rehabilitation Mortgage- 203(k),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

National Housing Act, as amended, Section 203(k), Title National Housing, Part II, Section 203(k)

OBJECTIVES:

FHA's 203(k) Rehabilitation Mortgage program is HUD's primary program for the rehabilitation and repair of one to four family properties. It is an important tool for community and neighborhood revitalization and for expanding homeownership opportunities. The 203(k) program works with either a purchase or refinance transaction and allows the cost of making repairs and/or improvement to be included in the loan amount.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

These loans may be used to rehabilitate an existing 1 to 4 unit dwelling in one of four ways: (1) Purchase a structure and the land on which the structure is located and rehabilitate it; (2) purchase a structure on another site, move it onto a new foundation on the mortgaged property and rehabilitate it; or (3) refinance the existing lien secured against the subject property and rehabilitate such a structure. Maximum insurable mortgage loans for an occupant mortgagor are the same as prescribed for Section 203(b) - Program 14.117. HUD offers two 203K rehabilitation loan programs. The limited 203 (k) program does not require a minimum amount of repair costs but the program is capped at a total of \$35,000 for the cost of improvements/repairs (with exceptions to \$50,000 for properties located in Opportunity Zones). The other program is the Standard 203(k). A minimum of \$5,000 of repair/improvement costs are required but, there are no limitations on the amount of the repair/improvements. However, statutory loan limits apply. HUD insures lenders against loss on the 203(k) loan prior to the rehabilitation/improvements are completed.

Applicant Eligibility:

Individual/Family; Individual and families.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Individuals and families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications

ASSISTANCE LISTINGS DESCRIPTIONS

of the borrower are assembled by the lender and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application is submitted through a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. Approval decision is made by a HUD-approved lending institution.

Appeals:

Not Applicable. The lender will state the reason for refusing an application. The applicant may reapply subject to concurrence of the lender or may apply through a different HUD-approved lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The construction period is generally 6 months. The mortgage term may extend for 30 years. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

The average mortgage amount is approximately \$200,000. There is no minimum mortgage amount. The maximum mortgage amount varies based upon property location. Maximum amounts for each area are published annually.

TAFS Codes:

86-4587.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. For additional information, individuals are encouraged to contact the FHA Resource Center by phone at 1-800-CALLFHA (1-800-225-5342), by email at answers@hud.gov or visit the FHA Resource Center site at https://www.hud.gov/program_offices/housing/sfh/fhairesourcectr

Headquarters Office:

Kevin Stevens,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: (800) 225-5342

Website Address:

https://www.hud.gov/program_offices/housing/sfh/203k

RELATED PROGRAMS:

14.117 Mortgage Insurance_Homes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.110 Manufactured Home Loan Insurance Financing Purchase of Manufactured Homes as Principal Residences of Borrowers

Manufactured Home Chattel Loans (Title I),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.,

OBJECTIVES:

To help people purchase a manufactured home or refinance an existing manufactured home loan. mortgage.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on loans. Insured loans may be used to purchase manufactured home units by buyers intending to use them as their principal places of residence. The maximum amount of the loan is \$69,678, whether single or multiple modules. The lender must give assurance that the unit will be placed on a site which complies with local zoning and land development requirements.

Applicant Eligibility:

Individual/Family; All legal US residents are eligible to apply.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the manufactured home unit and the qualifications of the borrower are submitted to the lender. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application is submitted to a HUD-approved lending institution or through a lender's approved manufactured home dealer for review and approval.

Award Procedure:

The HUD approved lending institution reviews the application and make the determination of loan approval.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 15 to 30 days. Newly approved lenders must submit loans to HUD for a pre-closing test approval period, which will average 3 to 5 days for complete submissions. Upon successful completion of the pre-closing test phase, a lender may then be authorized to participate in the Title I Direct Endorsement Program. HUD has no other participation or regulation over the lender approval processing time.

Appeals:

Not Applicable. The applicant may reapply with the previous lender or may apply with a different HUD approved lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The loan term may not be less than 6 months and may not exceed 20 years, plus 32 days. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 Not Available; FY 21 est \$1,250,000.00; FY 22 est \$14,083,244.00;

Range and Average of Financial Assistance:

The maximum loan amount is \$69,678. The average loan amount is \$47,146.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 201.

Regional or Local Office:

None. For additional information, individuals are encouraged to contact the FHA Resource Center by phone at 1-800-CALLFHA (1-800-225-5342), by email at answers@hud.gov or visit the FHA Resource Center site at <http://portal.hud.gov/hudportal/HUD?src=/FHAFQAQ>.

Headquarters Office:

Kevin Stevens,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: 8002255342

Fax:

Website Address:

https://www.hud.gov/program_offices/housing/sfh/title

RELATED PROGRAMS:

14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures; 64.119 Veterans Housing_Manufactured Home Loans; 14.162 Mortgage Insurance Combination and Manufactured Home Lot Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.117 Mortgage Insurance Homes

FHA Home Mortgage Insurance- 203(b),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

National Housing Act, as amended, Section 203(b), Public Law 73-479, 12 U.S.C. 1709, 1715(b)., Title National Housing, Part II, Section 203, Public Law 73-479

OBJECTIVES:

Helps enable people to purchase a home or refinance an existing mortgage.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing one-to- four-family housing, as well as to refinance indebtedness on existing housing.

Applicant Eligibility:

Individual/Family; All legal residents with a valid Social Security Number are eligible to apply. State and local government agencies, HUD approved non-profit organizations and individuals employed by the Work Bank or a foreign embassy are not required to provide a Social Security number.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the borrower are assembled by the HUD-Approved lending institution and submitted with the application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application is submitted for review and approval or disapproval through a HUD-approved lending institution.

Award Procedure:

Application is submitted for review and approval or disapproval through a HUD approved lending institution.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Varies.

Appeals:

Not Applicable. The lender or HUD will state the reason for refusing an application. The applicant may reapply subject to concurrence of the lender or may reapply with a different HUD-approved lending institution.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: lump sum.

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Lenders are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 \$1,087,365,591.00; FY 21 est \$402,616,320.00; FY 22 est \$697,583,616.00;

Range and Average of Financial Assistance:

Average mortgage amount is approximately \$200,000. There is no minimum mortgage amount. The maximum insurable loans are as follows: In areas where 115 percent of the median house price is less than 65 percent of the National Conforming Loan Limit (\$510,400 for CY 2020), FHA floor limits are set at the 65 percent limit as follows: one-family \$331,760; two-family \$424,800; three-family \$513,450; and four-family \$638,100. Any area where the limits exceed the floor is known as a high cost area. In areas where 115 percent of the median house price exceeds 150 percent of the National Conforming Loan Limit for a one unit property, the mortgage limits are set at the 150 percent amount (ceiling) as follows: one-unit \$765,600; two-unit \$980,325; three-unit \$1,184,925; and four-unit \$1,472,550. The National Conforming loan Limit and corresponding FHA loan limits are adjusted annually based upon changes to median house prices.

TAFS Codes:

86-4587.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Section 203. HUD Handbook 4000.1

Regional or Local Office:

None. For additional information, individuals are encouraged to contact the FHA Resource Center by phone at 1-800-CALLFHA (1-800-225-5342), by email at answers@hud.gov or visit the FHA Resource Center site at https://www.hud.gov/program_offices/housing/sfh/fhresourcectr

Headquarters Office:

Kevin Stevens,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: (800) 225-5342

Website Address:

https://www.hud.gov/program_offices/housing/sfh/ins/sfh203b

RELATED PROGRAMS:

14.108 Rehabilitation Mortgage Insurance; 14.119 Mortgage Insurance Homes for Disaster Victims; 14.133 Mortgage Insurance Purchase of Units in Condominiums;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.119 Mortgage Insurance Homes for Disaster Victims

Mortgage Insurance for Disaster Victims- 203(h),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,

DEPARTMENT OF AUTHORIZATION:

National Housing Act, Title National Housing, Part II, Section 203(h)

OBJECTIVES:

To help victims of a Presidentially-declared disaster whose home was destroyed undertake homeownership on a sound basis.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase or reconstruction of a one-family home that will be the principal residence of a borrower that is a victim of a Presidentially-declared disaster. The maximum insurable loan for such an occupant mortgagor is the same as prescribed for Section 203(b) (14.117). Disaster victims are not required to meet the 3.5 percent minimum investment requirements. In order to qualify for assistance, the formerly occupied home must have been in an area designated by the President as a disaster area, and it must have been destroyed or damaged to such an extent that reconstruction or replacement is necessary.

Applicant Eligibility:

Individual/Family; Anyone whose home was destroyed or damaged to the extent that it needs to be rebuilt as a result of a Presidentially Declared Major Disaster is eligible to apply.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Families or individuals that are victims of a major disaster as designated by the President.

Credentials/Documentation:

Documentation regarding the characteristics of the property to be financed and the qualifications of the borrower are assembled by the HUD-approved lending institution and submitted with the application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An FHA-approved lender submits the application for review and approval or disapproval through a HUD-Approved lending institution.

Award Procedure:

Applications are submitted for review through a HUD approved lending institution.

Deadlines:

Applications must be made within one year of the Major Disaster Declaration.

Range of Approval/Disapproval Time:

Not Applicable. Varies.

Appeals:

Not Applicable. The lender will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender or may apply with a different HUD-approved lending institution.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This program goes in to effect when the President declares the disaster and remains in effect for one-year from the date of declaration. Method of awarding/releasing assistance: lump sum.

Reports:**Audits:**

ASSISTANCE LISTINGS DESCRIPTIONS

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Lenders are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Average mortgage amount is approximately \$200,000. There is no minimum mortgage amount. The maximum mortgage amount varies by location is the same as the standard FHA (203(b)) mortgage amount. See 14.117

TAFS Codes:

86-4587.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. For additional information, individuals are encouraged to contact the FHA Resource Center by phone at 1-800-CALLFHA (1-800-225-5342), by email at answers@hud.gov or visit the FHA Resource Center site at https://www.hud.gov/program_offices/housing/sfh/fhresourcectr

Headquarters Office:

Kevin Stevens,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: (800) 225-5342

Website Address:

https://www.hud.gov/program_offices/housing/sfh/ins/203h-dft

RELATED PROGRAMS:

14.117 Mortgage Insurance_Homes; 59.008 Disaster Assistance Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.122 Mortgage Insurance Homes in Urban Renewal Areas

(220 Homes),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing Act of 1954, Public Law 83-560, 12 U.S.C. 1715(b), 1715(k), Title 24, Part 220, , 12 USC Code 1715b.

OBJECTIVES:

To help eligible entities to purchase and/or rehabilitate homes in urban renewal areas.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance acquisition or rehabilitation of one- to five-family housing in approved

urban renewal or code enforcement areas. Maximum insurable loans for the occupant mortgagor are prescribed by statute. Properties must be constructed or rehabilitated pursuant to an approved urban renewal plan.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Anyone/general public; Eligible mortgagors include private profit motivated entities, public bodies and others who meet HUD requirements for mortgagors.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Families and Individuals

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD- approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years or not in excess of three-fourths of the remaining economic life of the project. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Housing and Urban Development reserves the right to audit the account of the mortgagee to determine its compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Guaranteed/Insured Loans FY 20 Not Available; FY 21 est \$270,000,000.00; FY 22 est \$250,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4587.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are in 24 CFR 220.1. The basic program instructions are in HUD Handbook 4555.1 - Rental Housing in Urban Renewal Areas for Project available on HUDclips.org.

Regional or Local Office:

None. Persons are encouraged to contact the nearest Multifamily Regional Center and Satellite Office. A listing can be found at: https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/abouthubspcs

Headquarters Office:

Program Manager Carmelita James,
451 Seventh Street SW,
Washington DC 20410
Email: carmelita_a._james@hud.gov
Phone: (202) 402-2579

Website Address:

<http://www.hud.gov>

RELATED PROGRAMS:

14.117 Mortgage Insurance_Homes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.123 Mortgage Insurance Housing in Older, Declining Areas

Home Mortgage Insurance for Older Homes (Section 223(e)),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF
AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To assist in the purchase or rehabilitation of housing in older, declining urban areas where the remaining economic life of the home is less than the term of the mortgage.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans under other sections of the National Housing Act, e.g., Section 221(d)(3) or (d)(4), pursuant to Section 223(e). Claims are paid from the Special Risk Insurance Fund, but this is not a separate program. The determination to use Section 223(e) is at the discretion of HUD. These loans may be used to finance the purchase, repair, rehabilitation, and construction of housing in older, declining urban areas where conditions are such that certain normal eligibility requirements for mortgage insurance under a particular program cannot be met. The property must be an acceptable risk giving consideration to the need for providing adequate housing for low-and moderate-income families.

Applicant Eligibility:

Individual/Family; HUD-approved lending institutions.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; For single family purposes, an individual or family is eligible to apply through HUD approved lending institution. Multifamily sponsorship is determined by applicable program requirements.

Credentials/Documentation:

For single family applications, documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. Documentation required for multifamily applications is determined by applicable program requirements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications may be submitted at any time for review and approval or disapproval to a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Varies.

Appeals:

Not Applicable. The lender will state the reasons for refusing an application. The applicant may reapply to the HUD-approved lender or apply to another HUD-approved lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: lump sum.

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Lenders are required to service and maintain records in accordance with acceptable practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

There is no minimum mortgage amount. The maximum mortgage amount is the same as for Home Mortgage Insurance under 14.117.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None. Persons should contact the FHA Resource Center at 1-800-CALLFHA (1-800-225-5342) for more information.

Headquarters Office:

Kevin Stevens,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: 8002255342
Fax:

Website Address:

https://www.hud.gov/program_offices/housing/sfh/ins/sfh203b

RELATED PROGRAMS:

14.108 Rehabilitation Mortgage Insurance; 14.117 Mortgage Insurance_Homes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.126 Mortgage Insurance Cooperative Projects

Cooperative Housing Mortgage Insurance - Section 213,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING
COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,
DEPARTMENT OF
AUTHORIZATION:

National Housing Act, as amended, Section 213; Housing Act of 1950, Public Law 81-475; Housing Act of 1956, Public Law 84-1020, 12 U.S.C. 1715(e); Public Law 91-152, 83 Stat. 379, 383; Public Law 84-345, 69 Stat. 635; Public Law 87-70, 75 Stat. 149, 179; Public Law 86-372, 73 Stat. 654, 656; Public Law 89-117, 79 Stat. 451, 469; Public Law 89-754, 80 Stat. 1255-66., Title 24, Part 213,

OBJECTIVES:

Enables nonprofit cooperative ownership housing corporations or trusts to develop or sponsor the development of housing projects to be operated as cooperatives. Section 213 allows investors to provide good quality multifamily housing to be sold to such nonprofit corporations or trusts upon completion of construction or rehabilitation.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Section 213 insures lenders against loss on mortgages. Insured mortgages may be used to finance construction, acquisition of existing, or rehabilitation of detached, semidetached, row, walk-up, or elevator type housing consisting of five or more units. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations. Contractors for new construction and substantial rehabilitation housing projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Eligible mortgagors are nonprofit cooperatives, ownership housing corporations or trusts which may either sponsor projects directly, sell individual units to cooperative members, or purchase projects from investor-sponsors (builders, developers, or others who meet HUD requirements).

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Sponsored organization; Public nonprofit institution/organization; Members of the cooperative are eligible to occupy a dwelling in the structure whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See the MAP Guide.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The sponsor submits the SAMA application or feasibility letter to the local Hub or Satellite Office. The borrower, also called a sponsor, submits a firm commitment application through a HUD-approved mortgagee to the local Multifamily Hub or Satellite Office for processing.

Award Procedure:

The local HUD field office decides whether to approve, or reject individual applications and whether to issue a commitment to the lender to insure the mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Processing times will vary though processing goals are 90 days less any time the lender spends responding to HUD requests for additional information, documentation, etc.

Appeals:

Not Applicable. If any application for mortgage insurance is denied, HUD will state the reasons for the denial. Appeals may be made in accordance with MAP Guide specifications.

Renewals:

Not Applicable. The term of a commitment to insure may be extended when more time is required.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less, except for sales type cooperatives where the maximum term is 35 years or not in excess of three-fourths of the remaining economic life, whichever is less. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with the regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 \$205,005,000.00; FY 21 est \$200,000,000.00;

ASSISTANCE LISTINGS DESCRIPTIONS

FY 22 est \$200,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4587.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the MAP Guide.

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to communicate with the Multifamily Hub or Satellite Office with jurisdiction for the proposed property. HUD Multifamily Hubs and Program Centers are listed on the web at https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/abouthubspcs

Headquarters Office:

Program Manager Thomas Bernaciak,
451 Seventh Street SW,
Washington DC 20410
Email: thomas.a.bernaick@hud.gov
Phone: (202) 402-3242

Website Address:

https://www.hud.gov/program_offices/housing/mfh

RELATED PROGRAMS:

14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.128 Mortgage Insurance Hospitals

(Section 242 - Mortgage Insurance for Hospitals).

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING
COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,
DEPARTMENT OF
AUTHORIZATION:

242, , Public Law 108-091, 1715z

OBJECTIVES:

To facilitate the affordable financing of hospitals for the care and treatment of persons who are acutely ill or who otherwise require medical care and related services of the kind customarily furnished only or most effectively by hospitals.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgages. The loans may be used to finance the construction, modernization, equipment, or refinancing of acute care hospitals.

Applicant Eligibility:

Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Qualified applicants can be either profit or not-for-profit hospitals licensed or regulated by the State, municipality, or other political subdivision. At least 50 percent of the care must be for general acute patients as of June 2012.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Persons needing the services of these hospitals benefit by using the modernized facilities supported by the insured mortgages.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. A Certificate of Need from the appropriate State agency is needed if that State has a Certificate of Need process. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The sponsor submits a formal application to the Office Healthcare Programs, Room 6264, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, DC 20410.

Award Procedure:

The Federal Housing Commissioner makes final decisions to approve, hold, or reject individual applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Processing time depends upon the complexity of the proposal and the degree of preparation by the sponsor. 60 days is the goal for non-complicated applications.

Appeals:

Not Applicable. If an application for mortgage insurance is refused, the reasons for the refusal will be stated. The applicant may reapply.

Renewals:

Not Applicable. The term of a commitment to insure advances is typically effective for 90 days from the date of issuance and may be extended for good cause.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The mortgage term is 25 years. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees and mortgagors are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and FHA regulations. Records must be kept in accordance with State and Federal Regulations during the construction phase.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 Not Available; FY 21 est \$972,000,000.00; FY 22 est \$972,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ivy M. Jackson,,
451 7th Street, SW Rm 6264,
Washington DC 20410
Email: Ivy.M.Jackson@hud.gov
Phone: (202) 402-2333.

Website Address:

<http://www.fha.gov/healthcare>

RELATED PROGRAMS:

14.129 Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities;

EXAMPLES OF FUNDED PROJECTS:

2020 Remodeling, Expansion, Modernization, Equipment, Refinancing and Acquisitions.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.129 Mortgage Insurance Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities

Section (232),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

National Housing Act, as amended, Section 232; Housing Act of 1959, as amended, Public Law 86-372; Housing and Urban Development Act of 1969, Public Law 91-152, 73 Stat. 654, 83 Stat. 379; Public Laws 95-128, 95-557, 96-153, and 98-181,

OBJECTIVES:

To provide mortgage insurance to HUD-approved lenders to facilitate the construction or rehabilitation of nursing homes, intermediate care facilities, board and care homes and assisted living facilities, to allow purchase or refinancing with or without repairs of projects not requiring substantial rehabilitation, and to provide loan insurance to install fire safety equipment.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Section 232 insures lenders against loss on mortgage defaults. Insured mortgages may be used to finance construction or renovation of facilities to accommodate 20 or more patients requiring skilled nursing care and related medical services, or those who while not in need of nursing home care, are in need of minimum but continuous care provided by licensed or trained personnel. Board and care homes and assisted living facilities are also eligible and must contain a minimum of five accommodations or units. Nursing homes, intermediate care facilities, board and care homes and assisted living facilities may be combined in the same facility covered by an insured mortgage or may be in separate facilities. Nursing homes, intermediate care facilities, board and care homes and assisted living facilities must be licensed or regulated by the appropriate state agency, municipality, or other political subdivision where located. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage requirements

under the Davis-Bacon Act.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Eligible mortgagors include investors, builders, developers, public entities, nursing homes and private nonprofit corporations or associations.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Residents requiring skilled nursing, custodial care, and assistance with activities of daily living are eligible to live in a structure whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. Certification of need and inspection and licensing by the State agency designated by the Public Health Service Act for the State in which the nursing home or intermediate care facility is to be located is required, or, if no State Agency exists, an alternative market study is required. A Certificate of Need may be required for a board and care home or assisted living facility. A statement from the appropriate State Agency is required stating that the State is in compliance with Section 1616 (e) of the Social Security Act. This facility must be licensed. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Depending upon the project, an Environmental Impact Study may be required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for mortgage insurance are assembled and underwritten by FHA-Approved Lenders before submittable to HUD for processing of the Firm Commitment (there is also a Pre-application process for projects with new units whereby HUD comments on the market). Please see the "General Overview" document posted at www.fha.gov/232lenderprocessing for a list of lenders who offer Section 232 loans.

Award Procedure:

HUD makes the final decision to approve, or reject individual projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Processing time depends upon the degree of preparation by the sponsor and the completeness of the package submitted by the FHA Approved Lender.

Appeals:

Other. If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

Other. The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years for new construction and substantial rehabilitation. The maximum term for existing projects without substantial rehabilitation is 35 years. Method of awarding/releasing assistance: lump sum.

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Processing and Closing Statistical Reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor, or lesser, in order to determine their compliance and conformance with HUD regulations and standards. Audited Annual Financial Statement are required of Mortgagor entities and Operators.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 \$168,903,028.00; FY 21 est \$35,000,000.00; FY 22 est \$187,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet: Nursing Homes (Mortgage Insurance); Minimum Property Standards for Nursing Homes; (FHA Regulations). Handbook for Nursing Homes and Intermediate Care Facilities; (4600.1); (FHA Regulations 24 CFR 232 and 200), available on HUDCLIPS at <http://www.hudclips.org>; Lean processing information available at www.fha.gov/232lenderprocessing

Regional or Local Office:

None. Applications for mortgage insurance are assembled and underwritten by FHA-Approved Lenders before submittable to HUD for processing of the Firm Commitment (there is also a Prue-application process for projects with new units whereby HUD comments on the market). Please see the "General Overview" document posted at www.fha.gov/232lenderprocessing for a list of lenders who work on Section 232 loans.

Headquarters Office:

Ivy M. Jackson,,
451 7th Street, SW Rm 6264,
Washington DC 20410
Email: Ivy.M.Jackson@hud.gov
Phone: (202) 402-2333.

Website Address:

<http://www.hud.gov/healthcare>

RELATED PROGRAMS:

14.128 Mortgage Insurance_Hospitals; 14.151 Supplemental Loan Insurance_Multifamily Rental Housing;

EXAMPLES OF FUNDED PROJECTS:

2020 Financing a purchase, refinance, new construction, or substantial rehabilitation of a project.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.133 Mortgage Insurance Purchase of Units in Condominiums

Mortgage Insurance- Condominium Units,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, as amended, Section 203(b) requirements,

OBJECTIVES:

To enable individuals and families to purchase or refinance eligible units in condominium projects.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

HUD insures mortgagees against loss on FHA-insured mortgages. These mortgages may be used to finance the acquisition of or refinance individual units in FHA-approved completed condominium projects containing two or more units. The maximum insurable loan for an occupant mortgagor is the same as Section 203(b) Program 14.117.

Applicant Eligibility:

Individual/Family; All legal residents are eligible to apply.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Individuals/families.

Credentials/Documentation:

Eligible unit must be in an FHA-approved condominium project or meet separate requirements for approval. Documentation regarding the function and characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the loan package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application is made to a HUD approved lending institution.

Award Procedure:

An FHA-approved lender underwrites and approves or rejects the loan application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. Varies.

Appeals:

Not Applicable. If the loan is denied, the lender issues the reasons(s) for rejection of the loan application. The borrower may reapply with the same lender or another HUD approved lending institution.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Mortgagees are required to service and maintain files in accordance with

ASSISTANCE LISTINGS DESCRIPTIONS

acceptable mortgage practices of prudent lending institutions and HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Average mortgage amount is approximately \$200,000. The maximum mortgage amount is the same as in listing 14.117.

TAFS Codes:

86-4587.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 203.43bSee HUD Handbook 4000.1 at

https://www.hud.gov/program_offices/housing/sfh/handbook_4000-1

Regional or Local Office:

None. For additional information, individuals are encouraged to contact the FHA Resource Center by phone at 1-800-CALLFHA (1-800-225-5342), by email at answers@hud.gov or visit the FHA Resource Center site at https://www.hud.gov/program_offices/housing/sfh/fhresourcectr

Headquarters Office:

Kevin Stevens,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: 800-225-5342

Website Address:

https://www.hud.gov/program_offices/housing/sfh/ins/sfh_ins_condominiums

RELATED PROGRAMS:

14.117 Mortgage Insurance Homes; 14.108 Rehabilitation Mortgage Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.134 Mortgage Insurance Rental Housing

Mortgage Insurance for Rental Housing -Section 207,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, as amended, Section 207, Public Law 75-424, 12 U.S.C. 1713.,

OBJECTIVES:

Section 207 insures mortgage loans to finance the construction or rehabilitation of a broad range of rental housing. Section 207 mortgage insurance, although still authorized, is no longer used for new construction and substantial rehabilitation. It is however, the primary insurance vehicle for the Section 223(f) refinancing program. Multifamily new construction and substantial rehabilitation projects are currently insured Section 221(d)(4) programs.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Section 207 insures lenders against the loss on mortgage defaults. Section 207 mortgage insurance, although still authorized, is no longer used for new construction and substantial rehabilitation. It is however, the primary vehicle for the Section 223(f) refinancing program. Multifamily new construction and

substantial rehabilitation projects are currently insured under Section 221(d)(3) and Section 221(d)(4) programs. Insured mortgages may be used to finance the construction or rehabilitation of rental detached, semidetached, row, walk-up, or elevator type structures with 5 or more units. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-value and debt service limitations.

Applicant Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Eligible mortgagors include investors, builders, developers, and others who meet HUD requirements for mortgagors.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; All families eligible to occupy dwellings in a structure whose mortgage is insured under the program, subject to normal tenant selection.

Credentials/Documentation:

A borrower, also called a sponsor, submits a firm commitment application through a HUD-approved lender for processing. Considerations include market need, zoning, architectural merits, capabilities of the borrower, availability of community resources, etc. If the proposed project meets program requirements, the local Multifamily Hub or Satellite Office issues a commitment to the lender for mortgage insurance. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The sponsor submits an application according to MAP Guide guidelines which details how a Firm Commitment application is submitted to the local HUD Multifamily Hub or Satellite Office for processing.

Award Procedure:

If the project meets program requirements, the local HUD Multifamily Hub or Satellite Office issues a commitment to the lender to insure the mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Processing timelines goals are for a 60-day processing of a Firm Application excluding time the lender is responding to HUD requests for clarification or information.

Appeals:

Not Applicable. If an application for mortgage insurance is denied, HUD will state the reasons for the denial. Appeals may be made in accordance with MAP Guide rules.

Renewals:

Not Applicable. The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

Statutory Formula: Title 24 cfr, Chapter , Part 207, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

New Construction and Substantial Rehabilitation mortgages are no longer insured under Section 207. For those existing subsection mortgage programs, e.g., 223(f), Refinancings (14.155), the mortgage term is generally 35 years subject to useful life restrictions. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

ASSISTANCE LISTINGS DESCRIPTIONS

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 (\$77,993,363.00); FY 21 est (\$946,970,229.00); FY 22 est (\$1,281,769,936.00);

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 207 et seq. HUD MAP Guide.

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to communicate with Multifamily Hub or Satellite Office with jurisdiction for the proposed property.

HUD Multifamily Hubs and Satellite Offices are listed at https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/abouthubspcs

Headquarters Office:

Program Manager Thomas Bernaciak,
451 Seventh Street SW,
Washington DC 20410

Email: thomas.a.bernaciak@hud.gov

Phone: (202) 402-3242

Website Address:

https://www.hud.gov/program_offices/housing/mfh

RELATED PROGRAMS:

14.139 Mortgage Insurance_Rental Housing in Urban Renewal Areas; 14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate; 14.138 Mortgage Insurance_Rental Housing for the Elderly; 14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.135 Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate

New Construction or Substantial Rehabilitation of Rental Housing -221(d)(4),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, as amended, Section 221, Public Law 86-372, 12 U.S.C. 17151 (d)(4),.

OBJECTIVES:

Section 221(d)(4) insures mortgage loans to facilitate the new construction or substantial rehabilitation of multifamily rental or cooperative housing for moderate-income families, elderly, and the handicapped. Single Room Occupancy (SRO) projects may also be insured under this section. (See program 14.184).

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Section 221(d)(4) insures lenders against loss on mortgage defaults for market rate rental projects. Insured mortgages may be used to finance construction or rehabilitation of detached, semidetached, row, walkup, or elevator-type rental or cooperative housing containing 5 or more units. The program has statutory mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public, profit-motivated sponsors, limited distribution, nonprofit cooperative, builder-seller, investor-sponsor, and general mortgagors.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; All families are eligible to occupy dwellings in a structure whose mortgage is insured under the program, subject to normal tenant selection. There are no income limits. Projects may be designed specifically for the elderly and handicapped.

Credentials/Documentation:

Eligible Borrowers who are also called eligible mortgagors, include public, profit-motivated sponsors, limited distribution, nonprofit cooperatives, builder-seller, investor-sponsor, and general mortgagors. Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. A short conference with a HUD representative and a preapplication are generally required. See the MAP Guide for additional information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For Multifamily Accelerated Processing (MAP), an FHA-approved lender submits the required exhibits, including a full underwriting package to a regional HUD office where the property is located. Application documents are reviewed by the Multifamily Hub or Satellite Office before a Firm Commitment is issued.

Award Procedure:

The local HUD Multifamily Hub or Satellite Office reviews the application to determine whether the proposal is feasible. Considerations include market need, zoning, architectural merits, capabilities of sponsors, availability of community resources, etc. If the project meets program requirements, the HUD Multifamily Hub or Satellite Office issues the lender a commitment to insure the project mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Processing time depends upon the degree of preparation by the sponsor and whether or not TAP or MAP is used. Processing time goals are to complete a review of a final "Firm Commitment Application" within 90 days of

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HUD processing, which 90 days would exclude time during which a borrower is responding to certain HUD requests, for example, for clarifying data or needed documents.

Appeals:

Not Applicable. If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. Decision appeals may be made in accordance with the MAP Guide.

Renewals:

Not Applicable. The term of a commitment to insure may be extended under certain circumstances when more time is required.

Formula and Matching Requirements:

Statutory Formula: Title 24 cfr, Chapter , Part 221, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 (\$77,993,363.00); FY 21 est (\$946,970,229.00); FY 22 est (\$1,281,769,936.00);

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 221 et seq. The Multifamily Accelerated Processing (MAP) Guide is on the web. Refer to HUD's MAP page at <http://www.hud.gov/offices/hsg/mfh/map/maphome.cfm>.

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to contact the Multifamily Hub or Satellite Office with jurisdiction for the proposed project. HUD Multifamily Hubs and Satellite Offices are listed at https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/abouthubspcs

Headquarters Office:

Program Manager Thomas Bernaciak,
451 Seventh Street SW,
Washington DC 20410
Email: thomas.a.bernaciak@hud.gov
Phone: (202) 402-3242

Website Address:

https://www.hud.gov/program_offices/housing/mfh

RELATED PROGRAMS:

14.134 Mortgage Insurance_Rental Housing;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.138 Mortgage Insurance Rental Housing for the Elderly

New Construction and Substantial Rehabilitation for Rental Housing for the Elderly - Section 231,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, as amended, Section 231, Public Law 86-372, 73 U.S.C. 654, 12 U.S.C. 1715(V), Title 24, Part 231,

OBJECTIVES:

To provide quality rental housing for the elderly.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Section 231 insures lenders against loss on mortgages. Section 231 was designed to increase the supply of rental housing specifically for the use and occupancy of elderly persons and/or persons with disabilities. Insured mortgages may be used to finance construction or rehabilitation of detached, semidetached, walk-up, or elevator type rental housing designed for occupancy by elderly or handicapped individuals and consisting of 8 or more rental units. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible mortgagors include private profit-motivated developers, and nonprofit sponsors.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Senior Citizen (60+); All elderly or handicapped persons are eligible to occupy apartments in a project whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor must be submitted with the application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Required documents can be found in the MAP Guide.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The borrower, also called a sponsor, has a preapplication conference with the local HUD Multifamily Hub or Satellite Office determine the feasibility of the project. The sponsor must then submit a firm commitment application through a HUD-approved lender for

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processing. Considerations include market need, zoning, architectural merits, capabilities of the borrower, and availability of community resources. If the project meets program requirements, the local Multifamily Hub or Satellite Office issues a commitment to the lender for mortgage insurance.

Award Procedure:

If the project meets program requirements, the local HUD Multifamily Hub or Satellite Office issues a commitment to the lender for mortgage insurance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. The processing time goal is 90 days, minus any time that the lender spends answering follow up questions or documentation requests from HUD.

Appeals:

Not Applicable. If an application for mortgage insurance is denied, HUD will state the reasons for the denial. Appeal request may be made in accordance with the MAP Guide.

Renewals:

Not Applicable. The term of a commitment to insure may be extended when more time is required.

Formula and Matching Requirements:

Statutory Formula: Title 24 CFR, Chapter , Part 231, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years or not in excess of three-fourths of the remaining economic life, whichever is less. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practice of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 (\$77,993,363.00); FY 21 est (\$946,970,229.00); FY 22 est (\$1,281,769,936.00);

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 231.1 et seq, Section 231, Housing for the Elderly for Project Mortgage Insurance, and the MAP Guide available on HUDclips.

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to communicate with the Multifamily Hub or Satellite Office with jurisdiction for the proposed property. HUD Multifamily Hubs and Satellite Offices are listed at https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/aboutubspcs

Headquarters Office:

Program Manager Thomas Bernaciak,
451 Seventh Street SW,
Washington DC 20410
Email: thomas.a.bernaciak@hud.gov
Phone: (202) 402-3242

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate; 14.134 Mortgage Insurance_Rental Housing;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.139 Mortgage Insurance Rental Housing in Urban Renewal Areas

Multifamily Rental Projects in Designated Areas - Section 220,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

National Housing Act, as amended, Section 220, Public Law 83-560, 12 U.S.C. 1745(k),.

OBJECTIVES:

To provide quality rental housing in urban renewal areas, code enforcement areas, and other areas designated for overall revitalization.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Section 220 insures lenders against loss on mortgage defaults. Insured mortgages may be used to finance proposed construction or rehabilitation of detached, semi-detached, row, walk-up, or elevator type rental housing or to finance the purchase of properties which have been rehabilitated by a local public agency. Property must consist of two or more units and must be located in an urban renewal area, urban redevelopment project, or code enforcement program area, urban area receiving rehabilitation assistance as a result of natural disaster, or area where concentrated housing, physical development and public service activities are being carried out in a coordinated manner. The program has statutory mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage standards under the Davis-Bacon Act.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible mortgagors include private profit motivated entities, public bodies, and others who meet HUD requirements for mortgagors.

Beneficiary Eligibility:

Individual/Family; All families eligible to occupy a dwelling in a structure whose mortgage is insured under the program, subject to normal tenant selection.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor must be submitted with the application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

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Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See the MAP Guide for document submission requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application is processed by the Multifamily Hub or Satellite Office. For Multifamily Accelerated Processing (MAP), the application for a Firm Commitment, including a full underwriting package, is submitted by the MAP leader for HUD Field Office review.

Award Procedure:

The local Multifamily Hub or Satellite Office reviews the application to determine whether the proposal is feasible. Considerations include market need, zoning, architectural merits, capabilities of sponsors, availability of community resources, etc. If the project meets program requirements, the Multifamily Hub or Satellite Office issues the lender a commitment to insure the project mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Processing time goals are set for the type of project financed. See the MAP Guide for processing time goals, which exclude time the lender spends responding to HUD document requests and clarifications.

Appeals:

Not Applicable. If an application for mortgage insurance is denied, HUD will state the reasons for the denial. Appeals may be made in accordance with the MAP Guide.

Renewals:

Not Applicable. The term of a commitment to insure may be extended under certain circumstances when more time is required to close the loan.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 (\$77,993,363.00); FY 21 est (\$946,970,229.00); FY 22 est (\$1,281,769,936.00);

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 220.1 et seq. and the MAP Guide.

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to communicate with the nearest local HUD Multifamily field office listed at https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/aboutubspcs

Headquarters Office:

Program Manager Thomas Bernaciak,
451 Seventh Street SW,
Washington DC 20410

Email: thomas.a.bernaciak@hud.gov

Phone: (202) 402-3242

Website Address:

https://www.hud.gov/program_offices/housing/mfh

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures

Property Improvement Loans (Title I-PI),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.,

OBJECTIVES:

To facilitate the financing of improvements to homes and other property types.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on loans. Insured loans may be used to finance alterations, repairs, and improvements for existing structures and the building of new nonresidential structures which substantially protect or improve the basic livability or utility of the properties. The maximum loan amount is \$25,000 for improving a single family home or for improving or building a nonresidential structure. For improving a multifamily structure, the maximum loan amount is \$12,000 per family unit, not to exceed a total of \$60,000 for the structure.

Applicant Eligibility:

Individual/Family; Profit organization; Eligible borrowers include the owner of the property to be improved, a lessee having a lease extending at least 6 months beyond maturity of the loan, or a purchaser of the property under a land installment contract.

Beneficiary Eligibility:

Land/Property Owner; Individuals/families.

Credentials/Documentation:

The responsibility for credit approval of borrowers is exercised by HUD-approved lenders. This program is excluded from coverage under 2 CFR 200, Subpart E -

ASSISTANCE LISTINGS DESCRIPTIONS

Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The borrower applies directly to HUD approved lender, or through a lender's approved dealer.

Award Procedure:

The HUD approved lenders makes the loan approval or denial decision.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. HUD does not participate in the approval or disapproval of individual loans.

Appeals:

Not Applicable. The applicant may reapply with the same lender or another HUD approved lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Loans mature in 20 years and 32 days or earlier. Loans may be refinanced, but may not extend beyond 30 years from the date of the original note. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$35,253,252.00; FY 21 est \$48,025,346.00; FY 22 est \$49,466,107.00;

Range and Average of Financial Assistance:

Average loans size is \$19,000. The maximum loan amount is \$25,000 for improving a single family home or for improving or building a nonresidential structure (\$25,090 for a Manufactured Home). For improving a multifamily structure, the maximum loan amount is \$12,000 per family unit, not to exceed a total of \$60,000 for the structure.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 201

Regional or Local Office:

None. For additional information, individuals are encouraged to contact the FHA Resource Center by phone at 1-800-CALL-FHA (1-800-225-5342), by email at answers@hud.gov or visit the FHA Resource Center site at

<http://portal.hud.gov/hudportal/HUD?src=/FHAFQA>.

Headquarters Office:

Kevin Stevens,

451 7th Street, SW,
Washington DC 20410

Email: answers@hud.gov

Phone: (800) 225-5342.

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/title/ti_ho
me

RELATED PROGRAMS:

14.108 Rehabilitation Mortgage Insurance; 10.417 Very Low-Income Housing Repair Loans and Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.151 Supplemental Loan Insurance Multifamily Rental Housing

FHA Insured Rental Housing Supplemental Loans - 241(a).

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,

DEPARTMENT OF

AUTHORIZATION:

National Housing Act, as amended, Section 241, Public Law 90-448, 12 U.S.C. 1715, Public Law 94-375, 12 U.S.C. 1715z-6.,

OBJECTIVES:

Section 241(a) insures lenders against loss on mortgage defaults on projects already insured by HUD or held by HUD. The program is intended to keep the project competitive, extend its economic life, and to finance the replacement of obsolete equipment. Insured mortgages finance repairs, additions, and improvements to multifamily projects, group practice facilities, hospitals, or nursing homes.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Section 241(a) insures lenders against loss on loans made either (1) to finance additions and improvements of multifamily housing projects, nursing homes, hospitals and group practice facilities already subject to HUD/FHA insured mortgages or mortgages held by HUD, or (2) to finance energy conservation improvements. The proceeds of a loan involving an insured nursing home, hospital or a group practice facility may also be used to purchase equipment to be used in the operation of such nursing home or facility. The maximum insurable loan for an insured project is an amount which, when added to the outstanding balance of the existing insured mortgage, does not exceed the amount insurable under the program pursuant to which the mortgage covering such project or facility is insured. Where the project is covered by a mortgage held by the Secretary the principal amount of the loan shall be in an amount acceptable to the Secretary. Contractors of substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act. Section 241(a) for apartments requires appropriated credit subsidy, which is limited.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Owners of a multifamily project or facility already subject to a mortgage insured by HUD or held by HUD.

Beneficiary Eligibility:

Land/Property Owner; Individuals, families, and owners of multifamily projects.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications

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of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The borrower's or sponsor's lender will have a pre-application conference with the local HUD Multifamily Hub or Satellite Office to determine the feasibility of the proposed improvements before submitting a firm commitment application. The sponsor must then submit a firm commitment application to the local Multifamily Hub or Satellite Office through a HUD-approved lender for processing. If the project meets program requirements, the local Multifamily Hub or Satellite Office issues a commitment to the lender for mortgage insurance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The sponsor submits a formal application for review and approval or disapproval through a HUD-approved mortgagee to the local HUD Multifamily Hub or Satellite Office.

Award Procedure:

If the proposed improvements meet program requirements, the local HUD Multifamily Hub and Satellite Office issues a commitment to the lender to insure the mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Processing time goal is 90 days less any time the lender spends responding to follow up questions or documentation requests from HUD.

Appeals:

Not Applicable. If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. The lender may appeal the decision in accordance with procedures set forth in the MAP Guide.

Renewals:

Not Applicable. The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

Statutory Formula: Title 24 cfr, Chapter , Part 241, Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

A loan for a project with a HUD-insured or HUD-held mortgage must have a maturity acceptable to HUD. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Housing and Urban Development reserves the right to audit the accounts of either the lender or borrower in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 (\$77,993,363.00); FY 21 est (\$946,970,229.00);

FY 22 est \$301,197,360.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR, 241 et seq.; the MAP Guide.

Regional or Local Office:

See Regional Assistance Locations. All projects are processed in Regional and Local Multifamily Hub or Satellite Office. Persons are encouraged to communicate with the nearest local HUD Multifamily Hub and Satellite Office listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Program Manager Thomas Bernaciak,
451 Seventh Street SW,
Washington DC 20410
Email: thomas.a.bernaciak@hud.gov
Phone: (202) 402-3242

Website Address:

https://www.hud.gov/program_offices/housing/mfh

RELATED PROGRAMS:

14.129 Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects

Multifamily Rental Property Purchase or Refi - Section 223(f),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING
COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,
DEPARTMENT OF

AUTHORIZATION:

National Housing Act, Section 223(f), as amended; Housing and Community
Development Act of 1974, as amended, Public Law 93-383. 12 U.S.C. 1715n.,

OBJECTIVES:

To provide mortgage insurance to HUD-approved lenders for the purchase or refinancing of existing multifamily housing projects, whether conventionally financed or subject to federally insured mortgages at the time of application for mortgage insurance. The program allows for the long term mortgages that can be financed with Ginnie Mae Mortgage-Backed Securities.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Section 223(f) insures lenders against loss on mortgage loans. These loans may be used to purchase or refinance existing multifamily housing projects. The property must contain at least 5 residential units with complete kitchens and baths and have been completed or substantially rehabilitated for at least 3 years prior to the date of the application for mortgage insurance. The program allows for non-critical repairs that must be completed within 12 months of loan closing. Projects requiring substantial rehabilitation are not acceptable under this section and may not involve the replacement of more than one major system. The remaining economic life of the project must be long enough to permit a ten-year mortgage. The mortgage term

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cannot exceed 35 years or 75 percent of the estimated life of the physical improvements, whichever is less. Davis Bacon prevailing wage requirements do not apply to this program. Mortgage sizing depends on certain characteristics of the project including affordability restrictions and will be based on loan-to-value and debt service coverage ratios that can be found in the multifamily web site's MAP Guide or HUDclips.

Applicant Eligibility:

Anyone/general public; Both for profit and non-profit borrowers are eligible to apply.

Beneficiary Eligibility:

Small Business Person; All persons are eligible to occupy such projects subject to normal occupancy restrictions.

Credentials/Documentation:

Section 223(f) is eligible for Multifamily Accelerated Processing (MAP). The borrower/sponsor works with the MAP-approved lender who submits required exhibits for Firm Commitment application, including a full underwriting package to the local Multifamily Hub or Satellite Office for review. The Multifamily Hub or Satellite Office reviews the application to determine whether the proposed loan is an acceptable risk. Considerations include market need and the capabilities of the borrower. FHA underwriting analysis must determine that there is enough project income to repay the loan, taking into account all necessary project expenses. If the proposed project meets program requirements, the local Multifamily Hub or Satellite Office issues a commitment to the lender for mortgage insurance. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-applications are not required for MAP processing.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The borrower, also called a sponsor, works with a MAP-approved lender who submits required exhibits with a Firm Commitment application, including a full underwriting package to the local Multifamily Hub or Satellite Office for review. The Multifamily Hub or Satellite Office reviews the application to determine whether the proposed loan is an acceptable risk.

Award Procedure:

If the project meets program requirements, the local HUD Multifamily Hub or Satellite Office issues the commitment to the lender to insure the project.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Processing time goals are to process 223(f) applications in 60 days excluding days that the lender is responding to questions posed by HUD.

Appeals:

Not Applicable. If an application for mortgage insurance is denied, HUD will state the reasons for denial and appeals may be made in accordance with guidelines published in the MAP Guide.

Renewals:

Not Applicable. The term of a commitment to insure may be extended under certain circumstances when more time is required to close the loan.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applications may be submitted any time through approved MAP lenders. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 (\$11,126,226.00); FY 21 est (\$923,003,364.00); FY 22 est \$1,065,560,316.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet, Section 223(f) Mortgage Insurance; 24 CFR 200. The Multifamily Accelerated Processing (MAP) guide may be found at https://www.hud.gov/program_offices/administration/hudclips/guidebooks/hsg-GB4430

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to communicate with the nearest local HUD Multifamily Hub or Satellite Office listed at https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/abouthubspcs

Headquarters Office:

Program Manager Thomas Bernaciak,
451 Seventh Street SW,
Washington DC 20410
Email: thomas.a.bernaciak@hud.gov
Phone: (202) 402-3242

Website Address:

https://www.hud.gov/program_offices/housing/mfh

RELATED PROGRAMS:

14.134 Mortgage Insurance_Rental Housing;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.157 Supportive Housing for the Elderly

Section 202 Capital Advance,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

The Section 202 Supportive Housing for the Elderly Program is authorized by section 202 of the Housing Act of 1959 (12 U.S.C. 1701q), as amended., Disaster Assistance, Title Title XII of Division B of the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Section Section 23003, Public Law Public Law 116-136

OBJECTIVES:

To expand the supply of multifamily housing with supportive services for very low

ASSISTANCE LISTINGS DESCRIPTIONS

income elderly persons.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Capital advances may be used to finance the development of housing through new construction or rehabilitation of a structure or portion of a structure, or for the acquisition of a structure to provide supportive housing for the elderly, which may include the cost of real property acquisition, site improvement, conversion, demolition, relocation and other expenses of supportive housing for the elderly. Project rental assistance is used to cover the difference between the HUD-approved operating cost per unit and the amount the tenant pays, 30% of their adjusted income.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible Sponsors include private nonprofit organizations and nonprofit consumer cooperatives. Eligible Owner entities include private nonprofit corporations, nonprofit consumer cooperatives, and if the proposed project involves mixed-financing, for-profit limited partnerships with a nonprofit entity as the sole general partner. Public bodies and their instrumentalities are not eligible Section 202 applicants.

Beneficiary Eligibility:

Senior Citizen (60+); Low Income; Beneficiaries of housing developed under this program must be elderly (62 years of age or older) and have very low-incomes.

Credentials/Documentation:

The Sponsor/Owner must receive certification of eligibility from HUD. The Owner must submit financial documents to support its ability to provide a minimum capital investment of 1/2 of 1 percent of the HUD-approved capital advance amount, up to a maximum of \$25,000 for national Sponsors or up to \$10,000 if not a national Sponsor. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants must forward a copy of their applications to the appropriate state or local agency for a review of the supportive services plan and a completed certification from the agency as to whether the provision of services is well designed to meet the needs of the anticipated occupancy must be included in the applicant's submission of its application to the HUD Field Office. An environmental assessment is required for applications containing evidence of site control.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Fund Availability will be posted on Grants.gov. Applicants must submit the required documents in response to the Notice of Fund Availability. The application for capital advance is used to determine the eligibility of the applicant and proposed project as well as the acceptability of the site and market, correctness of zoning and the effect on environment. All applications must be submitted via www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed and selected for funding within the funding allocation area. Those selected for funding must meet basic program requirements including, but not limited to: eligibility as a private nonprofit organization, commitment to provide the minimum capital investment and prior experience in housing or related supportive service activities. The request for Capital Advance Financing is

reviewed to update the determination of acceptability of project site and market, correctness of zoning, and effect on environment, as well as to determine the value of site, total development cost, and financial feasibility.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. At the fund reservation stage, the Sponsor usually is advised of the decision within 4 to 5 months from the end of the application period.

Appeals:

From 1 to 15 days. During the period of competition, Sponsors whose applications are rejected by the HUD Office due to noncompliance with program requirements, have the right to appeal the rejection within 14 calendar days from the date of HUD's written notice of rejection.

Renewals:

>180 Days. Project Rental Assistance Contract payments may not exceed one year. However, contracts are renewable for up to a one-year term based on availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The capital advance is not repayable so long as the project is available to very low income elderly for 40 years. Projects are expected to start construction within 18 months of the date of the fund reservation, with limited provision for extensions. Funds will be advanced on a monthly basis during construction for work in place. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: other

Reports:

All HUD funded programs, including this program, require recipients to submit, not less than annually, a report documenting achievement of outcomes under the purpose of the program and the work plan in the award agreement. All Owners will be required to submit a financial statement. HUD 60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons (OMB No. 2529-0043) For each grant over \$200,000 that involves housing rehabilitation, housing construction, or other public construction, the prime recipient must submit Form HUD 60002 (24 CFR sections 135.3(a) and 135.90).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 HUD reserves the right to audit the accounts of the Owner in order to determine compliance and conformance with HUD regulations and standards.

Records:

Regular financial reports are required. Owners must service and maintain records in accordance with acceptable mortgage practices and HUD regulations. Owners also must supply those records necessary to indicate compliance with the project rental assistance contract.

Account Identification:

86-0320-0-3-371.

Obligations:

Project Grants FY 20 \$54,832,000.00; FY 21 est \$87,000,000.00; FY 22 est \$100,000,000.00;

Range and Average of Financial Assistance:

\$1-5,000,000

TAFS Codes:

86-0320.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

24 CFR 891; HUD Handbooks 4571.3 REV-1 and 4571.5; and Notice H 96-102, as extended. Notice H 2009-10 and Notice H 2011-18.

Regional or Local Office:

See Regional Assistance Locations. The HUD Multifamily Hub structure consists of 18 Multifamily Hub Offices. Each Hub administers multifamily housing programs based on its geographical jurisdiction. For a listing of all Multifamily Hub Offices and their associated local Multifamily Program Center(s) (local HUD Office) please visit http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm

Headquarters Office:

Housing Program Manager Belinda Koros,
451 7th St SW Room 6158,
Washington DC 20410

Email: belinda.p.koros@hud.gov

Phone: 202-402-3615

Fax:

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/mfh/progdesc/eld202

RELATED PROGRAMS:

14.181 Supportive Housing for Persons with Disabilities; 14.195 Section 8 Housing Assistance Payments Program_Special Allocations;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.162 Mortgage Insurance Combination and Manufactured Home Lot Loans

Mortgage Insurance- Land and Manufactured Home or MH lot-(Title I),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703,

OBJECTIVES:

To make possible reasonable financing for the purchase of a manufactured home and/or a lot on which to place the home.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgages. Insured mortgages may be used to purchase manufactured homes and lots for buyers intending to use them as their principal places of residence. The maximum mortgage amount is \$92,904 for a manufactured home and a suitably developed lot and \$23,226 for a developed lot only. The lot must be appraised by the HUD-approved appraiser.

Applicant Eligibility:

Individual/Family; All legal residents are eligible to apply.

Beneficiary Eligibility:

Anyone/general public; Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the manufactured home unit, HUD-approved appraisal of the lot, and the qualifications of the borrower are submitted to a HUD approved lender. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application is submitted to a HUD-approved lender for review and approval.

Award Procedure:

Approval or denial of the application is made by the HUD approved lender.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. The applicant may reapply with the same lender or another HUD approved lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum loan term is 20 years plus 32 days for a manufactured home and lot; 25 years plus 32 days for a manufactured home and lot combination; and 15 years for a lot only. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

The maximum mortgage amount is \$92,904 for a manufactured home on a suitably developed lot and \$23,226 for a developed lot only.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Section 201

Regional or Local Office:

None. For additional information, individuals are encouraged to contact the FHA Resource Center by phone at 1-800-CALL-FHA (1-800-225-5342), by email at answers@hud.gov or visit the FHA Resource Center site at <http://portal.hud.gov/hudportal/HUD?src=/FHAFQAQ>.

Headquarters Office:

Kevin Stevens,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: (800) 225-5342.

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/title/manuf146

RELATED PROGRAMS:

14.110 Manufactured Home Loan Insurance_Financing Purchase of Manufactured

ASSISTANCE LISTINGS DESCRIPTIONS

Homes as Principal Residences of Borrowers; 14.117 Mortgage Insurance Homes;
EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.169 Housing Counseling Assistance Program

Comprehensive Housing Counseling NOFA,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING
COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,
DEPARTMENT OF

AUTHORIZATION:

Housing and Urban Development Act of 1968, as amended., Title Housing and
Urban Development Act of 1968 (12 U.S.C 1701x), Part 214, Section 106

OBJECTIVES:

Provide funds to HUD-approved housing counseling agencies that provide
counseling and advice to tenants and homeowners, with respect to property
maintenance, financial management and literacy, and other matters as may be
appropriate to assist program clients in improving their housing conditions,
meeting their financial needs, and fulfilling the responsibilities of tenancy or
homeownership.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To provide housing counseling grants to HUD-approved local housing counseling
agencies; to HUD-approved national and regional intermediaries; Multi-state
Organizations; and to State housing finance agencies. Grants provide a variety of
housing counseling services, including single family home buying,
homeownership, mortgage default, HECM, rental, and rental delinquency under
HUD and other programs.

Applicant Eligibility:

Federal; Intrastate; State (includes District of Columbia, public institutions of
higher education and hospitals); Local (includes State-designated Indian Tribes,
excludes institutions of higher education and hospitals; Public nonprofit
institution/organization (includes institutions of higher education and hospitals);
Other public institution/organization; Specialized group (e.g. health professionals,
students, veterans); Private nonprofit institution/organization (includes institutions
of higher education and hospitals); Other private institutions/organizations;
Qualified public or private nonprofit organizations. There are four categories of
eligible applicants: (1) HUD-approved local housing counseling agency; (2) HUD-
approved national or regional intermediary; (3) State housing finance agency; and
(4) multi-state organizations.

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Other
private institution/organization; State; Local; Public nonprofit
institution/organization; Other public institution/organization; Individuals, groups
of individuals, and families who are renters, tenants, homeowners, and home
buyers under HUD, conventional and other government programs.

Credentials/Documentation:

Eligible applicants must satisfy the following criteria: Applicants must be approved
to participate in the HUD Housing Counseling Program prior to the NOFO issue
date. In addition, SHFAs are eligible for this NOFO if they possess statutory
authority to provide housing counseling throughout their entire state and adhere to
all program requirements outlined in 24 CFR Part 214, this handbook, and other
governing documents. 2 CFR 200, Sub-part E - Cost Principles applies to this
program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not
required for this program. This program is excluded from coverage under E.O.
12372. The availability of funds is announced on HUD's website at www.hud.gov

or by subscribing to funding opportunities at www.grants.gov. For a copy of the
NOFA, applicants can visit the HUD website (www.hud.gov). You must download
both the Application Instruction and the Application Package from Grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. Applicants must submit
by the required date the items and forms requested in the NOFA, such as a
description of the housing counseling services to be provided, and indicators by
which benefits / impact will be measured.

Award Procedure:

All applications will be scored on a 100 point scale, not including preference
points. If available funds are insufficient to provide grants to all Applicant's
achieving the minimum fundable score, grants will be awarded in rank order until
all available funds have been distributed. HUD reserves the right to adjust the
minimum fundable score.

Deadlines:

Contact the headquarters or regional location, as appropriate for application
deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. For a period of at least 120 days, beginning 30 days after the
public announcement of awards under this NOFA, HUD will provide a debriefing
related to their application to requesting applicants. Information provided during a
debriefing may include the final score the applicant received for each rating factor,
final evaluator comments for each rating factor, and the final assessment indicating
the basis upon which funding was approved or denied.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements
are not applicable to this assistance listing. MOE requirements are not applicable to
this assistance listing.

Length and Time Phasing of Assistance:

Funding assistance is for a discrete period of time, generally 18 months. Grantees
invoice HUD on a periodic basis for housing counseling services delivered.
Payments of grant funds are subsequently made to grantees via direct
deposit/electronic funds transfer (DD/EFT). Method of awarding/releasing
assistance: quarterly

Reports:

HUD requires recipients that provide HUD funded program benefits to individuals
or families to report data on the race, color, religion, sex, national origin, age,
disability, and family characteristics of persons and households who are applicants
for, participants in, or beneficiaries or potential beneficiaries of HUD programs in
order to carry out the Departments responsibilities under the Fair Housing Act,
Executive Order 11063, Title VI of the Civil Rights Act of 1964, and Section 562 of
the Housing and Community Development Act of 1987. NOFAs may specify the
data collection and reporting requirements. Many programs use the Race and
Ethnic Data Reporting Form HUD-27061, U.S. Department of Housing OMB
Approval No. 2535-0113. HUD requires recipients to submit performance and
financial reports under OMB guidance and program instructions. All HUD-funded
programs, including this program, require recipients to submit, not less than
annually, a report documenting achievement of outcomes under the purpose of the
program and the work plan in the award agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

As required in grant documents and Housing Counseling Handbook, HUD 7610.1.

Account Identification:

86-0156-0-1-604.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Project Grants FY 20 \$45,500,000.00; FY 21 est \$50,250,000.00; FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

Range and average of financial assistance: For Fiscal Year 2020, the minimum award for a local agency was approximately \$10,000 and the maximum award for an intermediary was approximately \$3,000,000. The average local agency counseling grant was approximately \$31,000. The average intermediary award was approximately \$1,000,000.

TAFS Codes:

86-0156.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Questions regarding specific program requirements for this NOFA should be directed to the following email address: Housing.Counseling@hud.gov.

Headquarters Office:

Brian N. Siebenlist,
451 7th St. SW,
Washington DC 20410
Email: Brian.N.Siebenlist@hud.gov
Phone: 202-402-5415
Fax:

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/hcc/hc_h_ome

RELATED PROGRAMS:

14.316 Housing Counseling Training Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

HUD will review applications in accordance with the requirements of the NOFA and the Housing Counseling Program. HUD may rely on performance monitoring and audit reports, financial status information, and other information available to HUD to make score determinations.

14.171 Manufactured Home Dispute Resolution

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Executive Order Not applicable, Title 24, Part 3288, , Manufactured Housing Construction and Safety Standards Act as amended by the Manufactured Home Improvement Act of 2000, Title 24, Part 3288, , Statue 42-5422(g), Public Law 93-383, 42 USC Code 5422(g).

OBJECTIVES:

To provide for a dispute resolution program for the timely resolution of disputes between manufacturers, retailers, and installers of manufactured homes regarding responsibility, and for the issuance of appropriate orders, for the correction or repair of defects in manufactured homes (Sec 5422(c)(12)) in each state that does not establish a HUD-approved dispute resolution program (Sec 5422(g))

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

Manufacturers, retailers, or installers of manufactured homes may request dispute resolution services for the timely resolution of disputes as to the responsibility for

the correction or repair of defects in manufactured homes that are reported during the 1-year period beginning on the date of installation. (42USC5422(c)(12).

Manufactured home owners may initiate action under, and be observers to, the HUD Manufactured Home Dispute Resolution Program, as provided regulation (24CFR3288.15).

Applicant Eligibility:

Individual/Family; Profit organization; Refer to HUD's website at www.hud.gov for additional information. Select A-Z Index at the top right of the page and scroll the alphabetical list for Manufactured Housing. There is a link on the Manufactured Housing page for Dispute Resolution.Detailed information and procedures are provided by regulation at 24CFR3288.

Beneficiary Eligibility:

Individual/Family; Profit organization; Anyone/general public; Homeowner; The program may only address disputes between manufacturers, retailers, and installers of manufactured homes regarding responsibility for the correction or repair of defects in manufactured homes that are reported during the 1-year period beginning on the date of installation. Manufactured home owners may initiate action under, and be observers to, the HUD Manufactured Home Dispute Resolution Program, as provided regulation (24CFR3288.15).There must be an alleged defect in the manufactured home that was reported within one year of installation of the home. Refer to HUD's website at www.hud.gov for additional information. Select A-Z Index at the top right of the page and scroll the alphabetical list for Manufactured Housing. There is a link on the Manufactured Housing page for Dispute Resolution.Detailed information and procedures are provided by regulation at 24CFR3288.

Credentials/Documentation:

Program regulations provide detailed guidance on who may request services and other mandatory criteria for participation in the program. Refer to HUD's website at www.hud.gov for additional information. Select A-Z Index at the top right of the page and scroll the alphabetical list for Manufactured Housing.Detailed information and procedures are provided by regulation at 24CFR3288. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. A state may propose to operate a dispute resolution program called for under the authorizing Act by submitting a plan for review and disposition by HUD. If a state plan covering dispute resolution for manufactured homes is approved by HUD and implemented by a state, the program described herein is not applicable and HUD would not provide dispute resolution services in such state. A list of states with approved plans is available.Refer to HUD's website at www.hud.gov for additional information. Select A-Z Index at the top right of the page and scroll the alphabetical list for Manufactured Housing. There is a link on the Manufactured Housing page for Dispute Resolution.Detailed information and procedures are provided by regulation at 24CFR3288.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There are procedures and requirements for submitting information.Interested parties should thoroughly familiar themselves with this program and the detailed procedures that apply. Refer to HUD's website at www.hud.gov for additional information. Select A-Z Index at the top right of the page and scroll the alphabetical list for Manufactured Housing.Detailed information and procedures are provided by regulation at 24CFR3288.

Award Procedure:

An award would be in the form of approval by HUD to initiate dispute resolution.Interested parties should thoroughly familiar themselves with this program and the detailed procedures that apply. Refer to HUD's website at www.hud.gov for additional information. Select A-Z Index at the top right of the page and scroll the alphabetical list for Manufactured Housing.Detailed information and procedures are provided by regulation at 24CFR3288.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable. Interested parties should thoroughly familiar themselves with this program and the detailed procedures that apply. Refer to HUD's website at www.hud.gov for additional information. Select A-Z Index at the top right

Appeals:

Other. Interested parties should thoroughly familiar themselves with this program and the detailed procedures that apply. Refer to HUD's website at www.hud.gov for additional information. Select A-Z Index at the top right of the page and scroll the alphabetical list for Manufactured Housing. Detailed information and procedures are provided by regulation at 24CFR3288.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

A report alleging a defect in a manufactured home must be made within one year of installation of a new manufactured home. Thereafter, a request for dispute resolution concerning the reported defect may be submitted at any time. Interested parties should thoroughly familiar themselves with this program and the detailed procedures that apply. Refer to HUD's website at www.hud.gov for additional information. Select A-Z Index at the top right of the page and scroll the alphabetical list for Manufactured Housing. Detailed information and procedures are provided by regulation at 24CFR3288. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Reporting a defect is critical for a request for dispute resolution to be awarded. The regulations provide that:(d) Record of report(1) To evidence timeliness. To establish timely reporting, the report of an alleged defect that is made to the manufacturer, retailer, installer, or a State Administrative Agency of the manufactured home should be done in a manner that will create a dated record of the report that demonstrates that the report was made within 1 year after the date of installation; for example, by certified mail, fax, or email. Persons who report an alleged defect by telephone should make a contemporaneous note of the telephone call, including date, time, the name of the person who received the report, the name of the business contacted, and the telephone number called. If the matter goes to arbitration, the arbitrator and HUD will review whether there is sufficient evidence to believe the report was made on a timely basis.(2) Obligation to retain. Each report of a defect, including logs of telephonic complaints, received by a manufacturer, retailer, a State Administrative Agency or installer, must be maintained for 3 years from the date of receipt. Refer to 24CFR3288.20

Account Identification:

86-8119-0-7-376.

Obligations:

Provision of Specialized Services FY 20 \$13,000,000.00; FY 21 est \$13,000,000.00; FY 22 est \$14,000,000.00;

Range and Average of Financial Assistance:

This program does not provide direct financial assistance.

TAFS Codes:

86-8119.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24CFR PART 3288 - MANUFACTURED HOME DISPUTE RESOLUTION PROGRAM

Regional or Local Office:

None.

Headquarters Office:

Administrator Teresa.B.Payne,
451 Seventh St., SW,
Washington DC 20410
Email: teresa.l.payne@hud.gov
Phone: 202-402-5365
Fax: 202-708-4213

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/rmra/mhs/mhd

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.175 Adjustable Rate Mortgages

Home Mortgage Insurance- Adjustable Rate Mortgages,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, Title National Housing Act, Part Title II, Section 251

OBJECTIVES:

To provide mortgage insurance for an adjustable rate mortgage which may offer a lower interest rate initially than a fixed rate mortgage, and which remains constant for an initial period of 1, 3, 5, 7 or 10 years. The interest rate may then change annually for the remainder of the mortgage term. The loan structure provides built-in limits or safeguards for both consumers and lenders, designed to minimize the effect of any rapid rise in interest rates.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing one- to four-family housing as well as to refinance indebtedness on existing housing. The maximum insurable mortgage loan for an occupant mortgagor is the same as prescribed for Section 203(b) - program 14.117.

Applicant Eligibility:

Individual/Family; All legal residents intending to occupy the property as their principal residence are eligible to apply.

Beneficiary Eligibility:

Individual/Family; Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are submitted with the application to the lender. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application is submitted for review and approval or disapproval to a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Varies.

Appeals:

Not Applicable. The lender will state the reasons for refusing an application. The applicant may reapply with the existing lender or may apply to another HUD approved lending institution.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Lenders are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 19 Not Available; FY 20 Not Available; FY 21 Not Available;

Range and Average of Financial Assistance:

No minimum Mortgage amount. The maximum mortgage amount is the same as for Mortgage Insurance-Homes in 14.117.

TAFS Codes:

86-4587.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. For additional information, individuals are encouraged to contact the FHA Resource Center by phone at 1-800-CALL-FHA (1-800-225-5342), by email at answers@hud.gov or visit the FHA Resource Center site at https://www.hud.gov/program_offices/housing/sfh/fharesourcectr

Headquarters Office:

Kevin Stevens,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: (800) 225-5342

Website Address:

https://www.hud.gov/program_offices/housing/sfh/ins/203arnt

RELATED PROGRAMS:

14.117 Mortgage Insurance_Homes; 14.133 Mortgage Insurance_Purchase of Units in Condominiums; 14.108 Rehabilitation Mortgage Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.181 Supportive Housing for Persons with Disabilities

Section 811 Capital Advance,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

Cranston-Gonzalez National Affordable Housing Act, Public Law 101-625; Housing and Community Development Act of 1992, Public Law 102-550; the Rescissions Act, Public Law 104-19; American Homeownership and Economic Opportunity Act of 2000, Public Law 106-569; the Revised Continuing Appropriations Resolution, 2007, Public Law 110-5; the Frank Melville Supportive Housing Investment Act of 2010, Public Law 111.374., , Disaster Assistance, Title Title XII of Division B of the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Part , Section Section 23003, Public Law Public Law 116-136

OBJECTIVES:

To expand the supply of supportive housing for very low-income persons with disabilities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

Capital advances may be used to construct, rehabilitate or acquire structures to be used as supportive housing for low-income persons with disabilities. Project rental assistance is used to cover the difference between the HUD-approved operating costs of the project and the tenants' contributions toward rent (30 percent of adjusted income).

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible Sponsors are nonprofit organizations with a Section 501(c)(3) tax exemption from the Internal Revenue Service. Eligible Owner entities are nonprofit organizations with a 501(c)(3) tax exemption from the Internal Revenue Service and, if the proposed project involves mixed financing, for-profit limited partnerships with a nonprofit entity as the sole general partner.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Low Income; Beneficiaries of housing developed under this program must be very low-income (equal to or less than 50% AMI) adults (18 or older) with a physical, mental, or emotional impairment that is expected to be of long-continued and indefinite duration, that substantially impedes his or her ability to live independently, and is of a nature that such ability could be improved by more suitable housing conditions.

Credentials/Documentation:

The nonprofit sponsor and owner must receive certification of eligibility from HUD. The owner must submit financial documents to support its ability to provide a minimum capital investment of 1/2 of 1 percent of the capital advance amount, up to a maximum of \$10,000. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants must forward a copy of their applications to the appropriate state or local agency for a review of the supportive services plan and a completed certification from the agency as to whether the provision of services is well designed to meet the needs of the anticipated occupancy must be included in the applicant's submission of its application to the HUD Field Office. An environmental assessment is required for applications containing evidence of site control.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Funding Availability is published on Grants.gov each fiscal year announcing the availability of funds to specified allocation areas. Applicants must submit a Request for a Fund Reservation, using Form HUD-92016-CA, Section 811 application for capital advance, in response to the Notice of Fund Availability (or a Funding Notification issued by the local HUD Field Office). The application for capital advance is used to determine the eligibility of the applicant and proposed project as well as the acceptability of the site and market, correctness of zoning and the effect on environment. All applications must be submitted via www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed and selected for awards that meet the funding opportunity criteria. Those selected for funding must meet basic program requirements including, but not limited to: eligibility as a nonprofit entity, eligibility as a for-profit limited partnership with nonprofit entity as the sole general partner for mixed-finance projects, financial commitment and prior experience in housing or related service activities. The request for Capital Advance Financing is reviewed to update the determination of acceptability of project site and market, correctness of zoning, and the effect on environment as well as to determine the value of site, total development cost, and financial feasibility.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. At the fund reservation stage, the sponsor usually is advised of the decision within 4 to 5 months from the end of the application period.

Appeals:

From 1 to 15 days. During the period of competition, Sponsors whose applications are rejected due to noncompliance with program requirements, have the right to appeal the rejection within 14 calendar days from the date of HUD's written notice of rejection.

Renewals:

>180 Days. After the one year or five years of initial PRAC (for which the owner submits monthly vouchers to HUD for payment of rental assistance) the contract is renewed on a yearly basis based on availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The capital advance is not repayable if the project is available for very low-income persons with disabilities for at least 40 years. Projects are expected to start construction within 18 months of the date of the fund reservation, with limited provision for extensions. Funds will be advanced on a monthly basis during construction. Method of awarding/releasing assistance: other

Reports:

All Sponsors are required to submit the required programmatic reports. All owners will be required to submit an annual financial statement. HUD 60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons (OMB No. 2529-0043) For each grant over \$200,000 that involves housing rehabilitation, housing construction, or other public construction, the prime recipient must submit Form HUD 60002 (24 CFR sections 135.3(a) and 135.90).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 HUD reserves the right to audit the accounts of the owners in order to determine compliance and conformance with HUD regulations and standards.

Records:

Regular financial reports are required. Owners must service and maintain records in accordance with acceptable mortgage practices and HUD regulations. Owners also must supply those records necessary to indicate compliance with the project

rental assistance contract.

Account Identification:

86-0237-0-3-371.

Obligations:

Project Grants (for specified projects) FY 20 \$156,958,000.00; FY 21 est \$180,000,000.00; FY 22 est \$180,000,000.00;

Range and Average of Financial Assistance:

\$422,600 to \$2,500,000

TAFS Codes:

86-0237.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR part 5, subpart H, and part 891, subparts A, C, and D.; HUD Handbooks 4571.2 and 4571.4; and Notice H 96-102, as extended.

Regional or Local Office:

See Regional Assistance Locations. Contact the appropriate HUD office listed at https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/aboutsubpcps.

Headquarters Office:

Housing Program Manager Belinda Koros,

451 7th St SW Room 6158,

Washington DC 20410

Email: belinda.p.koros@hud.gov

Phone: 202-402-3615

Fax:

Website Address:

https://www.hud.gov/program_offices/housing/mfh/grants/section811ptl

RELATED PROGRAMS:

14.326 Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities; 14.157 Supportive Housing for the Elderly;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.183 Home Equity Conversion Mortgages

Reverse Mortgage Insurance,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Title National Housing, Part Title II, Section 255

OBJECTIVES:

To enable elderly homeowners to convert equity in their homes to monthly streams of income or lines of credit.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on reverse mortgage loans. These loans may be used to provide monthly streams of income or lines of credit for older homeowners, 62 years of age or older.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Individual/Family; Eligible borrowers are persons 62 years of age or older and eligible non-borrowing spouses who are identified at the time of closing. Eligible borrowers and eligible non-borrowing spouses must complete HECM counseling from a HUD-approved agency prior to obtaining the loan. Eligible properties include single family one-to-

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four unit owner-occupied dwelling units, units within condominium projects and Planned Unit Developments, and manufactured homes, if they meet FHA standards.

Beneficiary Eligibility:

Individual/Family; Individuals.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor and non-borrowing spouse are assembled by the mortgagee. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The borrower and non-borrowing spouse are required to receive HECM counseling from a HUD-approved counseling agency prior to processing an application. Approved Direct Endorsement Lenders process all aspects of the loan application and submit it to HUD for insurance endorsement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The borrower and non-borrowing spouse are required to receive HECM counseling from a HUD-approved counseling agency prior to processing an application. Approved Direct Endorsement Lenders process all aspects of the loan application and submit it to HUD for insurance endorsement.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Varies.

Appeals:

Not Applicable. Mortgagee will state the reasons for refusing an application. The applicant may reapply with the same lender or to another HUD approved lending institution.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The mortgage has no specified term. The mortgage is due and payable at the death of the borrower(s) or if the borrower sells, conveys, or moves from the property. The mortgage may also become due and payable if the borrower fails to perform an obligation of the mortgage, such as pay taxes and hazard insurance premiums or fails to maintain the property in good condition. Due and payable provisions may be deferred for eligible non-borrowing spouses that were identified at the time of closing. Method of awarding/releasing assistance: letter

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 \$2,106,573.00; FY 21 est \$1,250,000.00; FY 22 est (\$388,626,432.00);

Range and Average of Financial Assistance:

The maximum funding available varies based upon principal limit factors and the

maximum claim amount. The principal limit factors vary based upon borrower age and expected interest rate. The maximum claim amount is set annually.

TAFS Codes:

86-4587.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the FHA Resource Center for more information at 1-800-CallFHA or 1-800-225-5342.

Headquarters Office:

Kevin Stevens,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: (800) 225-5342
Fax:

Website Address:

https://www.hud.gov/program_offices/housing/sfh/hecm/hecmhome

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.188 Housing Finance Agencies (HFA) Risk Sharing

HFA Risk Sharing Program - 542(c),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

Housing and Community Development Act of 1992, Section 542(c), Public Law 102-550, 12 U.S.C. 1707. HUD's fiscal year 2001 Appropriations Bill amended Section 235 of the Housing and Community Development Act of 1992 to extend the 542 Risk Sharing Program to a permanent multifamily mortgage insurance program., Title 24, Part 266,

OBJECTIVES:

Under Section 542(c), HUD provides credit enhancement for mortgages for multifamily housing projects whose loans are underwritten, processed, serviced, and disposed of by Housing Finance Agencies (HFA). HUD and the qualified State and local HFAs share in the risk of the mortgage.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Participating qualified State and local Housing Finance Agencies (HFAs) may underwrite, originate, service, and dispose of properties financed under Section 542(c). Program provides full HUD mortgage insurance to enhance HFA bonds to investment grade. HFA reimburses HUD in the event of a claim pursuant to terms of Risk Sharing Agreement. HFAs may elect to share risk with HUD from 10 to 90 percent of the loss. The program provides new independent insurance authority not under the National Housing Act.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Other public institution/organization; Private

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nonprofit institution/organization (includes institutions of higher education and hospitals); State; Anyone/general public; Eligible mortgagors, who include investors, builders, developers, public entities, and private nonprofit corporations or associations, may apply to a qualified HFA.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Land/Property Owner; Individuals, families, and property owners may be eligible for affordable housing.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are submitted with the application to a qualified HFA. Proof of nonprofit status is required of nonprofit organizations. Projects must qualify as affordable housing as defined by Section 42(g) of the Internal Revenue Code of 1986. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. To obtain mortgage insurance, a potential borrower should consult a HUD-approved HFA as the single point of contact for additional information regarding the process. The lender on behalf of the borrower then submits an application directly to the HFA. The HFA obtains specific approvals from the local HUD Multifamily Hub or Program Center on previous participation and environmental assessments.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant submits a formal application directly to a HUD-approved HFA.

Award Procedure:

The HFAs are vested with the maximum amount of processing responsibilities and decision-making to approve or reject individual projects. However, HUD retains authority for environmental review. The HUD-approved HFA is responsible for making the award once the project qualifies for mortgage insurance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Processing time depends upon the degree of preparation by the sponsor.

Appeals:

Not Applicable. If an application for mortgage insurance is refused, HFA will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

Not Applicable. HUD will provide mortgage insurance on multifamily housing projects whose loans were underwritten, processed, serviced, and disposed of by HFAs.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter , Part 266, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Housing and Urban Development reserves the right to audit the accounts of the HFA and the mortgagor in order to determine their compliance and

conformance with HUD regulations and standards.

Records:

HFAs are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the implementing regulations. HFAs must also monitor construction/inspection process.

Account Identification:

86-4077-0-3-371.

Obligations:

Guaranteed/Insured Loans FY 20 \$1,003,857,207.00; FY 21 est \$1,000,000,000.00; FY 22 est \$1,000,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulatory authority for this program is regulation 24 CFR Part 266.

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to communicate with the nearest local Multifamily Regional Centers and Satellite Offices listed at:https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/aboutsubps

Headquarters Office:

Program Manager Carmelita James,
451 Seventh Street SW,
Washington DC 20410
Email: carmelita.a.james@hud.gov
Phone: (202) 402-2579

Website Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/progdesc.cfm>.

RELATED PROGRAMS:

14.189 Qualified Participating Entities (QPE) Risk Sharing;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.191 Multifamily Housing Service Coordinators

Service Coordinator in Multifamily Housing.

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Affordable Housing Act, Section 808, Public Law 101-625, as amended; Housing and Community Development Act of 1992, 42 U.S.C. 13632, Public Law 104-104, as amended; American Homeownership and Economic Opportunity Act of 2000, Section 851, Public Law 106-569, approved December 27, 2000., , Disaster Assistance, Title Title XII of Division B of the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Section Section 23003, Public Law Public Law 116-136

OBJECTIVES:

To link elderly, especially frail and disabled, or disabled non-elderly assisted housing and neighborhood residents to supportive services in the general community; to prevent premature and unnecessary institutionalization; and, to assess individual service needs, determine eligibility for public services and make resource allocation decisions which enable residents to stay in the community longer.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants

USES AND USE RESTRICTIONS:

The service coordinator is a social service staff person hired by the development owner/management company to arrange a broad spectrum of services for residents which include, but are not limited to, the following: the provision of formal case management (i.e., the evaluation of health, psychological or social needs); educate residents and development management staff on issues related to aging-in-place and service coordination; monitor the provision of services, and act as an advocate for the resident in dealing with community service providers. The service coordinator may not serve as the recreational or activities director for a development; provide supportive services directly, or assist with other administrative work associated with the development's operation during the time paid for by grant funds.

Applicant Eligibility:

Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are owners of Section 8 developments with project-based subsidy (including Rural Housing Service Section 515/8 developments); Section 202 developments as defined under 24 CFR Sections 277 and 885, and 221(d)(3) below-market interest rate and 236 developments which are insured or assisted (funded under Sections 24 CFR 221 Subpart C, 236, 277, 880, 881, 883, 885 and 886). To be eligible, developments must also be current in mortgage payments. Service coordinators for Congregate Housing Service Programs (CHSP), Section 202 developments with a Project Rental Assistance Contract (PRAC), and Section 811 developments are not eligible for funding. Owners of Section 202 PRAC developments may request an increase in their PRAC to hire a Service Coordinator by following procedures in the Office of Housing's Management Agent Handbook 4381.5, Revision-2, Change-2, Chapter 8.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Senior Citizen (60+); Eligible beneficiaries are residents of eligible housing or community residents who live in the vicinity of such housing. Service Coordination may be provided to elderly or disabled families. In particular, priority is given to residents who are frail (unable to perform at least three activities of daily living (ADLs)) or "at risk" elderly persons who are unable to perform 1- 2 ADLs, or non-elderly disabled or temporarily disabled residents. At least twenty-five percent of the residents of a development must be frail, at risk, or non-elderly people with disabilities for that development to be eligible for funding.

Credentials/Documentation:

As required in the NOFA published in the Federal Register. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted in accordance with the requirements in the NOFA published in the Federal Register.

Award Procedure:

As described in the NOFA. All eligible applications will be selected through a national lottery.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As specified in the NOFA.

Appeals:

Not Applicable.

Renewals:

Other. At the end of the grant period, subject to the availability of appropriations, continued need, and compliance with grant requirements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Funding term stated in the NOFA. Method of awarding/releasing assistance: quarterly

Reports:

Financial and performance reporting as specified in the NOFA and grant agreement. On-site management reviews done by HUD consistent with Development monitoring standards contained in HUD Handbook 4350.1, Chapter 6. Federal Financial Report (Standard Form 425). Multifamily Housing Service Coordinator will report through HUD's Standards for Success (SfS) is HUD's standardized reporting framework for its discretionary-funded programs. Line of Credit Control System (LOCCS) Payment Voucher (form HUD-500800-SCMF) and Federal Financial Report (Standard Form 425). HUD Field Office Staffs are responsible for monitoring grant-funded activities and the use of grant funds.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Grantees required to maintain records as required by grant agreement. These requirements conform to OMB Circular No. A-110.

Account Identification:

86-0320-0-3-371.

Obligations:

Project Grants FY 20 \$88,986,000.00; FY 21 est \$125,000,000.00; FY 22 est \$125,000,000.00;

Range and Average of Financial Assistance:

\$88,825 to \$402,196; \$171,064.

TAFS Codes:

86-0320.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations for this program, see the Office of Housing's Management Agent Handbook 4381.5, Revision-2, Change-2, Chapter 8. (available at [HTTP://www.hud.gov](http://www.hud.gov)); the How-to Guide for Service Coordinators and the evaluation studies of the Service Coordinator Program available.

Regional or Local Office:

See Regional Assistance Locations. Contact Multifamily Director in the nearest HUD Field Office.

https://www.hud.gov/program_offices/housing/mfh/hsgmfbus/aboutsubpspc.

Headquarters Office:

Housing Program Manager Belinda Koros,

451 7th St SW Room 6158,

Washington DC 20410

Email: belinda.p.koros@hud.gov

Phone: 202-402-3615

Fax:

Website Address:

https://www.hud.gov/program_offices/housing/mfh/scp/scphome

RELATED PROGRAMS:

14.157 Supportive Housing for the Elderly; 14.181 Supportive Housing for Persons with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are listed in the published Federal Register Program Notice of Funding Availability (NOFA).

14.195 Section 8 Housing Assistance Payments

ASSISTANCE LISTINGS DESCRIPTIONS

Program

(Project-based Section 8),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

United States Housing Act of 1937, Section 8(c)(9),.

OBJECTIVES:

To provide rental assistance to very low income individuals and families enabling them to live in affordable decent, safe and sanitary housing.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program is inactive. No new projects are being approved; however, tenants may apply for admission to projects with existing Section 8 assistance contracts. The assistance is paid by HUD to the owner of an assisted unit on behalf of an eligible family. The payment is the difference between the contract rent and the tenant rent. Assistance is currently available only on a renewal basis, i.e., projects currently assisted may receive a 1-year renewal, or multiple years, up to twenty years, upon expiration of the Section 8 contract.

Applicant Eligibility:

Profit organization; No funding is available to new applicants. Funding is currently available only for the owners of record of projects with an existing expiring project-based Section 8 contract. The project must meet minimum decent, safe, and sanitary standards.

Beneficiary Eligibility:

Individual/Family; Families currently receiving assistance as long as their income does not exceed 80 percent of area median income adjusted for smaller or larger families.

Credentials/Documentation:

Applications for new projects are no longer being accepted. Project must meet minimum decent, safe, and sanitary standards for HUD to renew the Section 8 contract. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Project owner must notify HUD within 120 days of contract expiration that it wishes to renew the Section 8 contract.

Award Procedure:

Contract is renewed upon expiration.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Approval given by date of contract expiration.

Appeals:

Not Applicable.

Renewals:

Other. Subject to appropriations and owner's interest in renewing contract.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

1 year. Method of awarding/releasing assistance: other

Reports:

The owner must submit to HUD within 60 days after the end of each fiscal year of

the project, financial statements for the project audited by an Independent Public Accountant and other statements as to project operation, financial conditions and occupancy. Annual management and occupancy review.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. The owner must permit HUD to review and audit the management and maintenance of the project at any time to assure the owner is meeting its obligation to maintain the units and related facilities in decent, safe, and sanitary condition.

Records:

The owner must reexamine the income and composition of all families at least once each year and prepare and furnish other information required under the Section 8 contract.

Account Identification:

86-0303-0-1-604.

Obligations:

Direct Payments for Specified Use FY 20 \$38,689,112.00; FY 21 est \$115,098,112.00; FY 22 est \$115,098,112.00;

Range and Average of Financial Assistance:

Eligible tenants pay no more than 30 percent of their monthly adjusted income for rent.

TAFS Codes:

86-0303.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 886 Section 8 Housing Assistance Payments Program - Special Allocations; 24 CFR 880 - Section 8 Housing Assistance Payments Program for New Construction; 24 CFR 881 - Section 8 Housing Assistance Payments Program for Substantial Rehabilitation; 24 CFR 883 - Section Housing Assistance State Housing Agencies; 24 CFR 884 - Section 8 Housing Assistance Payments Program for New Construction Set Aside for Section 515 Rural Rental Housing Projects; 24 CFR 891 Subpart E - Loans for Housing for the Elderly or Persons with Disabilities; HUD Section 8 Renewal Policy Guide (1/15/08).

Regional or Local Office:

See Regional Assistance Locations. Persons may contact local field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Director Belinda Koros,
451 7th Street SW,
Washington DC 20410
Email: Belinda.p.koros@hud.gov
Phone: 202-402-3615
Fax:

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/mfh/mfhsec8

RELATED PROGRAMS:

14.139 Mortgage Insurance_Rental Housing in Urban Renewal Areas; 14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate; 14.157 Supportive Housing for the Elderly; 14.181 Supportive Housing for Persons with Disabilities; 14.134 Mortgage Insurance_Rental Housing; 14.138 Mortgage Insurance_Rental Housing for the Elderly; 14.126 Mortgage Insurance_Cooperative Projects; 14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

14.198 Good Neighbor Next Door Sales Program

GNND,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING
COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,
DEPARTMENT OF

AUTHORIZATION:

Payment of Insurance USC Code 12 U.S.C. 1710(g).

OBJECTIVES:

The purpose of the Good Neighbor Next Door Sales Program is to improve the quality of life in distressed urban communities. This is to be accomplished by encouraging law enforcement officers, teachers, firefighters, and emergency medical technicians, to purchase and live in homes that are located in the same communities where they perform their daily responsibilities and duties.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Participants must be employed full-time as a law enforcement officer, pre-K through grade 12 teacher, firefighter or emergency medical technician and agree to live in the property purchased for 36 months from the date of occupancy following purchase of the home. Violation of the occupancy rule is under penalty of repayment of a prorata share of the discount of 50 percent of the list price. Properties offered through the program are single unit residences located in HUD-designated revitalization areas. The availability of properties is limited. Purchasers must provide financing for the purchases by their own means and are responsible for their portion of closing costs and broker fees. Eligible purchasers must live and work in the community. HUD Handbook 4000.1 clarifies the relevant regulations, policies, and procedures for implementation of the Good Neighbor Next Door Program. That Handbook can be found at:

https://www.hud.gov/program_offices/housing/sfh/handbook_4000-1

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Eligible law enforcement officer must be (a) Employed full-time by a law enforcement agency of the federal government, a state, a unit of general local government, or an Indian tribal government ; and (b) In carrying out such full-time employment, the person is sworn to uphold, and make arrests for violations of, Federal, state, county, or municipal law in the jurisdiction where the property is located in. A firefighter/EMT must be employed full-time as a firefighter or emergency medical technician by a fire department or emergency medical services responder unit of the federal government, a state, unit of general local government, or an Indian tribal government serving the revitalization area where the home is located. A teacher qualifies for the purposes of the GNND Sales Program if the person is: (a) Employed as a full-time teacher by a state-accredited public school or private school that provides direct services to students in grades pre-kindergarten through 12; and (b) The public or private school where the person is employed as a teacher serves students from the area where the home is located in the normal course of business. The school is located in the same revitalization area as the property. Fifty percent or more of the students that attend the school live in the revitalization area.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Law enforcement officers, firefighters, teachers, and EMTs purchasing a HUD-owned home in a designated revitalization area for use as their sole residence.

Credentials/Documentation:

Sales contract (HUD 9548); employer certification; second mortgage and note. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Interested persons apply by submitting an offer to purchase a property listed through HUD's property disposition program. Properties for sale are listed on the Internet at sites accessible

to the general public. Offers are submitted electronically. The offer amount submitted must be the list price.

Award Procedure:

A winning offer is selected randomly by lottery each week in the event several bids are received on a single property. The selected offeror bids the full list price but is offered the property at a 50 percent discount off the list price, subject to the purchaser fully complying with the 36-month occupancy requirement and other program rules. The selected offeror is provided with a document package. The offeror accepts by signing and returning the sales documents. The selected offeror receives title to the home at a sales closing. The selected offeror arranges for financing of the purchase price.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance is provided in exchange for the buyer's commitment to occupy the homes as a personal residence for 36 months. Each month of occupancy results in the forgiveness of one thirty sixth of the discount value. Vacating the premises prior to the expiration of the owner-occupancy term requires repayment of a prorata amount of the discount. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Applicable.

Account Identification:

86-4077-0-3-371. 86-4587-0-3-371.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$6,582,000.00; FY 21 est \$6,000,000.00; FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

In Fiscal Year 2019, eligible GNND participants received an average discount of \$62,381.

TAFS Codes:

86-4587. 86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Regulations at 24 CFR Part 291. Program information is available on HUD's website at https://www.hud.gov/program_offices/housing/sfh/reo/goodn/gnndabot

Regional or Local Office:

See Regional Assistance Locations. Contact the FHA Resource Center at <https://www.hud.gov/FHAFAQ> or by calling (800) CALL FHA or (800) 225-5342. Available properties can be found at www.HUDHomestore.com.

Headquarters Office:

FHA Resource Center,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: (800) 225-5342
Fax:

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

https://www.hud.gov/program_offices/housing/sfh/reo/goodn/gnndabot

RELATED PROGRAMS:

14.311 Single Family Property Disposition;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.218 Community Development Block Grants/Entitlement Grants

Community Development Block Grant Program for Entitlement Communities.,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, Part 24, Section 570, Statute 88-633, Public Law 93-383, 42 USC Code 5301-5321. Coronavirus Aid, Relief, and Economic Security Act or "CARES Act", Title , Part , Section Division B, Title XII, Public Law 116-136

OBJECTIVES:

To develop viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low and moderate income.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Special)

USES AND USE RESTRICTIONS:

Recipient communities may undertake a wide range of community-based activities directed toward neighborhood revitalization, economic development, and community services, facilities, and improvements. Entitlement communities develop their programs and set their funding priorities in conformance with the statutory standards, program regulations, and other federal requirements. Specific activities that can be carried out with Community Development Block Grant (CDBG) funds include acquisition of real property; relocation; clearance and demolition; rehabilitation of residential and nonresidential structures; provision of public facilities and improvements, such as water and sewer facilities (which require reviews by the State single point of contact or a Regional Planning Agency in accordance with Executive Order 12372), streets, and neighborhood centers. Within program limitations, CDBG funds can also pay for public services. Recipients may provide assistance to microenterprises or other for-profit entities when the recipient determines that such assistance is appropriate to carry out an economic development project. Community-based development organizations may carry out neighborhood revitalization, community economic development, or energy conservation activities. Each CDBG eligible activity must meet one of three national objectives: benefit low- and moderate-income persons, aid in the prevention or elimination of slums or blight, or meet other community development needs having a particular urgency that the grantee is unable to finance on its own.

Applicant Eligibility:

Government - General; Recipients are states; cities in Metropolitan Areas designated by OMB as a central city of the Metropolitan Area; principal cities of Metropolitan Areas with populations of less than 50,000; other cities over 50,000 in population in Metropolitan Areas; and qualified urban counties of at least 200,000 (excluding the population in entitlement cities located within the boundaries of such counties) are eligible to receive CDBG entitlement grants determined by a statutory formula.

Beneficiary Eligibility:

Individual/Family; Moderate Income; Low Income; The principal beneficiaries of CDBG funds are low- and moderate-income persons (generally defined as a

member of a family having an income equal to or less than the Section 8 low income limit established by HUD). The recipient must certify that at least 70 percent of the grant funds received during a 1, 2, or 3-year period, that it designates, are expended for activities that will principally benefit low- and moderate-income persons.

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Executive Order 12372 is applicable only where a recipient proposes to use funds for the planning or construction (reconstruction or installation) of water or sewer facilities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Recipients submit a 3 to 5-year Consolidated Plan, an Annual Action Plan, Form SF-424 and 424-D, and certifications to HUD. The Consolidated Plan and Annual Action Plan cover four major formula-distribution HUD Community Planning and Development programs, including CDBG. The Annual Action Plan must include the local community development objectives and show the proposed use of the funds. If the grantee makes a complete submission within the established deadlines, the Department will make a grant award unless a determination is made by HUD that the grantee's performance is unsatisfactory. HUD will approve the submission generally within 45 days of receipt of the Annual Action Plan and required certifications unless a determination has been made that the grantee has failed to carry out its CDBG program in a timely manner or has failed to conform to the requirements of the statute or other applicable laws. Under such circumstances, HUD may take appropriate actions, including a reduction in the amount of the original formula-allocated grant.

Award Procedure:

None.

Deadlines:

November 15, 2019 - August 16, 2021. See below. For formula grants in the 2019 and 2020 fiscal years, Annual Action Plans associated with the Consolidated Plan must be submitted based on the grantee's program year, but no earlier than November 15 or no later than August 16, 2021 in accordance with the provisions of the CARES Act. The Action Plan or substantial amendment of existing Action Plans for CDBG-CV grants must be submitted no later than August 16, 2021.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally within 45 days.

Appeals:

Other. Administrative appeals process followed if entitlement grant funds are withheld or reduced, for reasons other than timely performance, or repayment proposed for non-compliance or non-performance.

Renewals:

From 15 to 30 days. Every 3 to 5 years, localities submit a new Consolidated Plan. For each year of a Consolidated Plan, localities submit an Annual Action Plan and program certifications.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter , Part 570, Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program of assistance is for an annual activities, but activities generally may be continued beyond one year until they are deemed completed. Method of awarding/releasing assistance: letter

Reports:

The Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER reports the recipient's program accomplishments for each program year.

ASSISTANCE LISTINGS DESCRIPTIONS

SF-425, Federal Cash Transactions Report The recipient's efficient expenditure of grant funds is evaluated annually (through information provided in the IDIS (see below)) 60-days prior to the end of its program year. Disbursement reports are a standard feature of the Integrated Disbursement and Information System (IDIS). Program performance monitoring is conducted by field office staff. Monitoring is "risk-assessment based" examining several risk analysis factors with high-risk grantees receiving priority status for monitoring and review.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The auditee may elect to have a program-specific audit conducted under certain limited circumstances.

Records:

The recipient must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to dwelling units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in the program regulations. Records shall be retained for a period of four years after submission of the report in which the activity is reported as completed, except as otherwise prescribed in the regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

Formula Grants FY 20 (\$1,919,867,296.00); FY 21 est (\$1,884,867,296.00); FY 22 Not Available; Project Grants (Special) FY 20 (\$1,415,469,320.00); FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

From low of \$81,897 to a high of \$176,648,890 for New York City; average grant \$2,042,311; median grant \$931,226.

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations for Community Development Block Grants, 24 CFR Part 570.Consolidated Submissions for Community Planning and Development Programs, 24 CFR Part 91.Environmental Review Procedures for Entities Assuming HUD Environmental Responsibilities, 24 CFR Part 58.Program rules, statutory and regulatory waivers, and alternative requirements applicable to CDBG-CV funds and annual formula grants awarded in fiscal years 2019 and 2020 are found in Federal Register Notice Docket No. FR-6218-N-01.

Regional or Local Office:

None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Community Planning and Development Specialist James E. Hoemann,
451 7th Street SW, Room 7282,
Washington, DC 20410
Email: james.e.hoemann@hud.gov
Phone: 202-402-5716
Fax:

Website Address:

<http://www.hud.gov/offices/cpd/index.cfm>.

RELATED PROGRAMS:

14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas

Insular CDBG,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1974, as amended, , Public Law 93-383, 42 USC Code 5306(a)(2). Coronavirus Aid, Relief, and Economic Security Act or "CARES Act", Title Division B, Title XII, Part , , Public Law 116-136

OBJECTIVES:

To provide community development assistance to the Pacific Islands of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands in the Caribbean, by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low- and moderate-income. Each activity funded must meet one of the program's National Objectives by: Benefiting low- and moderate-income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Special)

USES AND USE RESTRICTIONS:

Activities must be eligible under 24 CFR 570 Subpart C. Additionally, recipients of CDBG-CV funds are urged to use this funding in a coordinated effort with local public health authorities, emergency management offices, and other public safety authorities before undertaking any activity to support local pandemic response. Recipients may undertake a wide range of activities directed toward assisting their community to prevent, prepare for, and respond to coronavirus. Examples include public services designed to increase the capacity of the local health system to address the pandemic; emergency income payment programs to assist low- and moderate-income individuals and families with items such as food, clothing, housing, or utilities for a period of up to three consecutive months; interim assistance activities to address the public health emergency, such as pop-up, temporary coronavirus testing sites; grants or loans to businesses to avoid or mitigate job losses caused by business loss due to social distancing guidelines; assistance to microenterprises or other for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out critical medical, food delivery, cleaning, and other services to support home health and quarantine; assistance for the acquisition, rehabilitation, or construction of facilities for coronavirus testing, diagnosis, or treatment; and coronavirus planning and capacity building activities. Insular areas develop their own programs and funding priorities as long as programs/activities conform to the statutory standards and program regulations. Specific statutory provisions of CDBG-CV funds include the suspension of the Housing and Community Development Act provision that caps expenditures for public services activities; the submission of the Consolidated Housing and Community Development Strategy and Plan (Con Plan) for use of CDBG-CV funds no later than August 16, 2021; the ability of a grantee to adopt and use expedited procedures to prepare, propose, modify, or amend its annual statement of activities (Annual Action Plan) to provide citizens with notice and a reasonable opportunity to comment of no less than five days; the suspension of in-person public hearings and the adoption of virtual public hearings to fulfill public hearing requirements for the use of all funds made available under the CARES Act as long as national or local health authorities recommend social distancing and limiting public gatherings for public health reasons; and the requirement that adequate procedures are in place to prevent duplication of benefits as required by the Stafford Act and in accordance with Disaster Recovery Reform Act of 2018. Recipients may contract with other local agencies or nonprofit organizations to carry out part or all of their programs. Community-based development organizations may carry out neighborhood revitalization, community economic development or energy conservation projects to further achieve the national

ASSISTANCE LISTINGS DESCRIPTIONS

objectives of the CDBG program. CDBG-CV funds may be used to cover or reimburse allowable costs consistent with preventing, preparing for, and responding to coronavirus incurred by a locality regardless of the date on which such costs were incurred. All eligible activities must either benefit low-and moderate-income persons, aid in the prevention or elimination of slums or blight, or meet other community development needs having a particular urgency that the grantee is unable to finance on its own.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); The Island Governments of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

U.S. Territories; Moderate Income; Low Income; The principal beneficiaries of CDBG funds are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income for the territory, as adjusted by family size.

Credentials/Documentation:

Grantees must certify that they will comply with program requirements as specified in 24 CFR Part 91. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200 applies to this program. In addition to the CARES Act deadline provisions and subject to the availability of annual and emergency appropriations, an applicant is encouraged to submit its final statement of activities no later than 45 days before the start of its program year.

Award Procedure:

The Honolulu Field Office and the HUD San Juan Field Office are responsible for reviewing each consolidated plan or abbreviated consolidated plan and approving them if the submissions are in accordance with 24 CFR 91 and the certifications are acceptable to HUD.

Deadlines:

February 16, 2019 - August 16, 2021. Pursuant to the provisions of the CARES Act, applicants must submit final statements of activities for fiscal years 2019 and 2020 no later than August 16, 2021. In addition to the CARES Act deadline provisions and subject to the availability of annual and emergency appropriations, an applicant is encouraged to submit its final statement of activities no later than 45 days before the start of its program year.

Range of Approval/Disapproval Time:

Other. 45 days.

Appeals:

Other. The procedure is described in regulations (24 CFR Part 570 Subpart O).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available until project completion. Method of awarding/releasing assistance: letter

Reports:

Financial management and annual performance reports as required by the Secretary. SF-425 Federal Cash Transactions Report. Annual Performance Report details the territory's performance in completing activities undertaken during the program year. Disbursement reports are a standard feature of the Integrated Disbursement and Information System used to access the grantee's line of credit. Program performance monitoring is conducted by field office staff based on risk analysis factors.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records applicable to the assistance project must be kept for three years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

Project Grants FY 20 \$7,000,000.00; FY 21 est \$7,000,000.00; FY 22 est \$7,000,000.00; Project Grants (Special) FY 20 \$2,466,095.00; FY 21 est \$6,566,762.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

CDBG Funds allocated in FY 2021: American Samoa \$886,964; Guam \$3,153,352; Northern Marianas \$970,416; Virgin Islands \$1,989,268

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570, 24 CFR Part 91, 24 CFR Part 58

Regional or Local Office:

See Regional Assistance Locations. Honolulu Field Office and the HUD San Juan Field Office as listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <https://www.hudexchange.info/programs/cdbg-insular-areas/>

Headquarters Office:

Deputy Director Robert C. Peterson,
451 7th Street SW, Room 7282,
Washington DC 20410
Email: Robert.C.Peterson@hud.gov
Phone: 202-402-4211

Website Address:

<https://www.hudexchange.info/cdbg-insular-areas/>

RELATED PROGRAMS:

14.228 Community Development Block Grants/Entitlement Grants; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii; 15.875 Economic, Social, and Political Development of the Territories;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

State CDBG,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, Section 101-122, Statute 88-633, Public Law 93-383, 42 USC Code 5301-5322. Coronavirus Aid,

ASSISTANCE LISTINGS DESCRIPTIONS

Relief, and Economic Security Act or "CARES Act", Section Division B, Title XII, Public Law 116-136, SUPPORT for Patients and Communities Act, Section 8071, Public Law 115-271

OBJECTIVES:

The primary objective of this program is the development of viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low- and moderate-income. Each activity funded must meet one of the program's National Objectives by: Benefiting low- and moderate-income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Special), Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

Thirty percent of funds available for distribution under each annual appropriation for the Community Development Block Grant (CDBG) program is allocated among the States and Puerto Rico (which is considered to be a state for the State CDBG program) for use in areas which are not a metropolitan city or part of an urban county (nonentitlement areas). All States except Hawaii have elected to administer these CDBG funds for areas that do not receive CDBG entitlement grants (14.218). HUD awards the formula grants to these States under the CDBG/State Program. In Hawaii, HUD awards the state's share of these funds to the three non-entitlement counties by formula. States must distribute CDBG funds to units of general local government (counties, towns, etc.) in nonentitlement areas. States develop their own programs and funding priorities. Units of general local government then carry out community development activities funded by the State. Eligible activities are directed toward neighborhood revitalization, economic development, or provision of improved community facilities and services. Specific activities that can be carried out with block grant funds include: Acquisition, rehabilitation or construction of certain public works facilities and improvements, such as streets, water and sewer facilities, neighborhood centers, recreation facilities, and other public works; demolition and clearance; rehabilitation of public and private buildings including housing; code enforcement; relocation payments and assistance; economic development; planning activities; certain public services with some restrictions; and administrative expenses. The projected use of funds must be developed to ensure that all activities will meet one of the three national objectives described under "OBJECTIVES". Communities receiving CDBG funds from a state may select subgrantees to carry out approved projects. Such subgrantees may include: Neighborhood-based nonprofit organizations; local development corporations; Small Business Investment Companies; or other nonprofit organizations serving the development needs of nonentitlement areas. Grant recipients may provide assistance to for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Communities are restricted from constructing or rehabilitating public facilities for the general conduct of government and from making housing allowances or other income maintenance-type payments. Each State may use a limited portion of its grant, subject to a matching requirement, to administer the program. Each State may also use a limited portion of its grant, with no matching requirement, to provide technical assistance to local governments and nonprofit program recipients. At least seventy percent of the funds received must benefit low and moderate income persons.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Forty-nine State governments and the Commonwealth of Puerto Rico receive funds from HUD under this program. The District of Columbia is funded under the CDBG Entitlement Program. The State of Hawaii does not participate and HUD allocates the state's share of funds to the three Hawaii non-entitled counties. Funds are allocated to each state based on a statutory formula. States must distribute the funds to units of general local government in nonentitlement areas. Recovery Housing Program funds are allocated according to a separate provision and funding formula from the Housing and Community Development Act funding formula that does not include every State.

Beneficiary Eligibility:

Local; Moderate Income; Low Income; The principal beneficiaries of CDBG funds are low- and moderate- income persons. For non-metropolitan areas, low- and moderate- income is generally defined as 80 percent of the median income for non-metropolitan areas of the State or of the county, whichever is higher, adjusted for family size. For eligible localities in metropolitan areas, low- and moderate-income is generally defined as 80 percent of the median income for the metropolitan area, adjusted for family size.

Credentials/Documentation:

Grantees must certify that they will comply with program requirements specified in 24 CFR Part 91. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200 applies as implemented to the non-entitled grants in Hawaii. The State program is excluded from coverage of some subparts of 2 CFR Part 200, however, the provisions set forth in the fiscal requirements contained in 24 CFR 570.489 does apply some of the elements of 2 CFR Part 200. Each state and non-entitled Hawaii county must submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including CDBG. The annual action plan must contain the method by which the State will distribute its CDBG funds.

Award Procedure:

HUD will provide funds after the State or non-entitled Hawaii county submits its Consolidated Plan, annual action plan and Certifications.

Deadlines:

November 15, 2019 - August 16, 2021. See below. For formula grants in the 2019 and 2020 fiscal years, Annual Action Plans associated with the Consolidated Plan must be submitted based on a grantee's program year, but no earlier than November 15 of the prior year or no later than August 16, 2021, in accordance with the provisions of the CARES Act. The Action Plan of substantial amendment of existing Action Plans for CDBG-CV grants must be submitted no later than August 16, 2021.

Range of Approval/Disapproval Time:

Other. HUD will review plan submissions for completeness and consistency with the purposes of the Act, within 45 days of receipt from a grantee.

Appeals:

Other. The procedure is described in regulations (24 CFR Part 570 Subpart I for states and 24 CFR Part 570 Subpart O for non-entitlement counties in Hawaii).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter V, Part 570, Subpart A, Public Law 93-383. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. For state administration of the program, after an initial allowance of \$100,000 with no match, states may take an additional allowance of up to 3% of the grant amount but must match such expenditures on a dollar for dollar basis. There is no matching requirement for the non-entitlement counties in Hawaii. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is provided to States and non-entitlement counties in Hawaii on an annual basis. Individual States may impose time limitations on the implementation of grants to recipients. Method of awarding/releasing assistance: other

Reports:

Annual performance report covering all programs included in the Consolidated Plan as prescribed at 24 CFR 91 For Hawaii counties, SF-425 Federal Cash Transactions Report is required. Annual Performance and Evaluation Report details the state's performance in completing activities undertaken with each allocation of funds. Disbursement reports are a standard feature of the Integrated Disbursement and Information System used to access the grantee's line of credit. Program performance monitoring is conducted by field office staff.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR Part 200, Subpart F

Records:

Records must demonstrate that the State or non-entitlement county in Hawaii has met the requirements of Title I of the Act.

Account Identification:

86-0162-0-1-451.

Obligations:

Formula Grants FY 20 \$1,017,900,000.00; FY 21 est \$1,017,900,000.00; FY 22 est \$1,017,900,000.00; Project Grants (Special) FY 20 \$971,801,516.00; FY 21 est (\$1,129,159,518.00); FY 22 est (\$2,023,795,296.00); Project Grants (with Formula Distribution) FY 20 \$25,000,000.00; FY 21 est \$25,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

State grant amounts are determined by formula. Of the 50 grants allocated to states under the State CDBG program in FY 2020, the average (mean) grant amount is \$20,165,327. Grant amounts range from \$2,621,759 to \$70,499,476. These totals do not include Hawaii's allocation awarded to its 3 non-entitled counties. Hawaii's share of the 2021 allocation is \$5,385,612.

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Community Development Block Grants, 24 CFR part 570.Consolidated Submissions for Community Planning and Development Programs, 24 CFR Part 91.Environmental Review Procedures for Entities Assuming HUD Environmental Responsibilities. 24 CFR Part 58.Program rules, statutory and regulatory waivers, and alternative requirements applicable to CDBG-CV funds and annual formula grants awarded in fiscal years 2019 and 2020 are found in Federal Register Notice Docket No. FR-6218-N-01

Regional or Local Office:

See Regional Assistance Locations. Contact the appropriate HUD Field Office listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <http://www.hud.gov/local/index.cfm>. Contact the Honolulu Field Office for the non-entitled Hawaii counties.

Headquarters Office:

Deputy Director Robert C. Peterson,
451 7th Street SW, Room 7282,
Washington DC 20410
Email: Robert.C.Peterson@hud.gov
Phone: 202-402-4211
Fax:

Website Address:

<https://www.hud.gov/offices/cpd/index.cfm>

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.231 Emergency Solutions Grant Program

Emergency Solutions Grants Program,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended, 42 U.S.C. 11371-78,

OBJECTIVES:

The ESG program provides funding to: (1) engage homeless individuals and families living on the street; (2) improve the number and quality of emergency shelters for homeless individuals and families; (3) help operate these shelters; (4) provide essential services to shelter residents, (5) rapidly re-house homeless individuals and families, and (6) prevent families and individuals from becoming homeless.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

ESG funds may be used for five program components: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS; as well as administrative activities (up to 7.5% of a recipient's allocation can be used for administrative activities).

Applicant Eligibility:

Government - General; Eligible recipients generally consist of metropolitan cities, urban counties, territories, and states, as defined in 24 CFR 576.2. Metropolitan cities, urban counties and territories may subgrant ESG funds to private nonprofit organizations. Local governments may also subgrant ESG funds to public housing agencies or local redevelopment authorities. States must subgrant all of their ESG funds (except for funds for administrative costs and, under certain conditions, HMIS costs) to units of general purpose local government and/or private nonprofit organizations. Each recipient must consult with the Continuum(s) of Care operating within the jurisdiction in determining how to allocate ESG funds.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; The minimum eligibility criteria for ESG beneficiaries are as follows: For essential services related to street outreach, beneficiaries must meet the criteria under paragraph (1)(i) of the homeless definition under 576.2. For emergency shelter, beneficiaries must meet the homeless definition in 24 CFR 576.2. For essential services related to emergency shelter, beneficiaries must be homeless and staying in an emergency shelter (which could include a day shelter). For homelessness prevention assistance, beneficiaries must meet the requirements described in 24 CFR 576.103. For rapid re-housing assistance, beneficiaries must meet requirements described in 24 CFR 576.104. Further eligibility criteria may be established at the local level in accordance with 24 CFR 576.400(e).

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. Government recipients and subrecipients must comply with 2 CFR Part 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See Notice of Funding Availability [NOFA]

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In addition to meeting the application submission requirements in 24 CFR part 5, subpart K, each State, urban county, or metropolitan city must submit a consolidated plan, an annual action plan, and certifications to HUD, in accordance with the requirements in 24 CFR part 91, and each territory must submit a consolidated plan, an annual action plan, and certifications to HUD, in accordance with the requirements that apply to local governments under 24 CFR part 91. The consolidated plan and annual action plan cover four major HUD formula programs, including ESG. The annual action plan must describe how the funds will be used. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR Part 200, apply.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

The HUD field office reviews the grantee's Consolidated Plan and, upon plan approval, notifies the applicant of the award and prepares the grant agreement.

Deadlines:

The Action Plan or substantial amendment of existing Action Plans for ESG-CV grants must be submitted no later than August 16, 2021.

For annual ESG funds, the Annual Action Plans associated with the Consolidated Plan must be submitted based on the recipient's program year, but no earlier than November 15 or no later than August 16 of the federal fiscal year for which the grant funds are appropriated.

Range of Approval/Disapproval Time:

Other. From 60 to 90 days. Generally within 45 days.

Appeals:

Other. Formula allocations may not be appealed. Disapproved plans may be resubmitted in accordance with 24 CFR 91.500.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Metropolitan city and urban county recipients must match grant funds with an equal amount of funds from cash or the following in-kind sources: services contributed by volunteers, the donation of materials and buildings, or the value of any lease on a building. States must match all but \$100,000 of their awards, but must pass on the benefit of that \$100,000 exception to their sub-recipients that are least capable of providing matching amounts. Territories are exempt from the match requirement.Maintenance of Effort requirements are applicable to essential services funded under the ESG emergency shelter and street outreach components. Specifically, if the recipient or subrecipient is a unit of general purpose local government, its ESG funds cannot be used to replace funds the local government provided for street outreach and emergency shelter services during the immediately preceding 12-month period, unless HUD determines that the unit of general purpose local government is in a severe financial deficit. Upon the recipient's request, HUD will determine whether the unit of general purpose local government is in a severe financial deficit, based on the recipient's demonstration of the conditions specified at 24 CFR 576.101(c)(2)(i)-(iv).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Metropolitan cities, urban counties and territories must obligate all funds, except for the amount for administrative costs, within 180 days after HUD signs the grant agreement. States must obligate all funds, except the amount for administrative costs, to subrecipients within 60 days after the date that HUD signs the grant agreement. Each subrecipient that is a unit of general purpose local government must obligate its grant funds within 120 days after the date the State obligates its funds. All grant funds must be expended within 24 months after HUD signs the grant agreement with the recipient. All ESG-CV funds must be expended by 9/30/2022. Method of awarding/releasing assistance: letter

Reports:

Each recipient must report its program accomplishments for each program year in the Consolidated Annual Performance and Evaluation Report (CAPER). Each recipient's efficient expenditure of grant funds is evaluated through information provided in the program management's information system, known as the Integrated Disbursement and Information System (IDIS). Each recipient must also comply with the reporting requirements under the Federal Funding Accountability and Transparency Act of 2006, (31 U.S.C. 6101 note), which are set forth in appendix A to 2 CFR part 170. Monitoring is "risk-assessment based" with high-risked grantees receiving priority status for monitoring and review. The performance report shall be submitted to HUD within 90 days after the close of the jurisdiction's program year. Recipients draw down ESG funds from the Integrated Disbursement and Information System (IDIS). This is HUD's primary method of capturing financial and expenditure data from the recipients. Through the CAPER and IDIS draw down information, recipients provide reports on expenditures. Program performance monitoring is conducted by field office staff. Monitoring is "risk-assessment based" examining several risk analysis factors with high-risk grantees receiving priority status for monitoring and review.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The auditee may elect to have a program-specific audit conducted under certain limited circumstances.

Records:

Sufficient records must be established and maintained to enable the recipient and HUD to determine whether ESG requirements are being met. All records pertaining to each fiscal year of ESG funds must be retained for the greater of 5 years or the period specified under 24 CFR 576.500(y).

Account Identification:

86-0192-0-1-604.

Obligations:

Formula Grants FY 20 \$290,000,000.00; FY 21 est \$290,000,000.00; FY 22 est \$290,000,000.00; Formula Grants FY 20 (\$294,967,296.00); FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

For FY 2021, the range of ESG grants is \$77,206 to \$14,799,421. The average grant amount is \$790,191.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program regulations can be found at 24 CFR Part 576. Guidance on the program can be found at www.hudexchange.info/esg.

Regional or Local Office:

See Regional Assistance Locations. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.test

Headquarters Office:

Division Director Karen M. DeBlasio,
U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7260.,
Washington DC 20410
Email: Karen.M.DeBlasio@hud.gov
Phone: (202) 402-4773

Website Address:

<http://www.hudexchange.info/esg>

RELATED PROGRAMS:

14.241 Housing Opportunities for Persons with AIDS; 14.267 Continuum of Care Program ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposed ESG projects are part of the prospective grantee's Consolidated Plan, which is reviewed according to criteria set forth in 24 CFR 91.

14.235 Supportive Housing Program

(Transitional Housing; Permanent Housing for Homeless Persons with Disabilities; Innovative Supportive Housing; Supportive Services for Homeless Persons not in Conjunction with Supportive Housing; Safe Havens; and HMIS),

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, Subtitle C, as amended.

OBJECTIVES:

The Supportive Housing Program is designed to promote the development of supportive housing and supportive services to assist homeless individuals and families in the transition from homelessness and to enable them to live as independently as possible. Program funds may be used to provide: (i) transitional housing within a 24-month period as well as up to six months of follow-up services to former residents to assist their adjustment to independent living; (ii) permanent housing provided in conjunction with appropriate supportive services designed to maximize the ability of persons with disabilities to live as independently as possible; (iii) supportive housing that is, or is part of, a particularly innovative project for, or alternative method of, meeting the immediate and long-term needs of homeless individuals and families; (iv) supportive services for homeless individuals not provided in conjunction with supportive housing; (v) safe havens for homeless individuals with serious mental illness currently residing on the streets who may not yet be ready for supportive services; and (vi) Homeless Management Information Systems (HMIS) for developing management information systems to maintain data on beds, units and homeless individuals.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Eight types of assistance may be provided for Supportive Housing: acquisition of structures for use as supportive housing or in providing supportive services; rehabilitation of structures for use as supportive housing or in providing supportive services; new construction of buildings for use as supportive housing under limited circumstances; leasing of structures for use as supportive housing or in providing supportive services; operating costs of supportive housing; costs of providing supportive services to homeless persons; costs of implementing and operating HMIS; and administrative costs not to exceed five percent of the SHP grant.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); States, local governments, other governmental entities, private nonprofit organizations, and community mental health associations that are public nonprofit organizations.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Homeless individuals and families with children.

Credentials/Documentation:

Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency; or (3) a certification from a licensed CPA that no part of the net earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance and that the organization has a functioning accounting system. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. No additional information

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. One application package prescribing the specific information that applicants must submit is available. The standard application forms as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable

HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and prepares a Grant Agreement for those applications selected.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Processing of applications will take place in HUD Field Offices and at HUD Headquarters, and selection of successful applicants will take place at HUD Headquarters. HUD will make its final selections and obligate funds as soon as the applications can be processed.

Appeals:

Other. Applicants may appeal funding decision if they believe a HUD error has occurred.

Renewals:

Other. Information on renewals is contained in the Notice of Funding Availability and the application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Recipients must match grants for acquisition, rehabilitation, and new construction, with an equal amount of funds from other sources. Recipients must also provide a cash match for support services and operations. The Supportive Housing Program will pay no more than 80 percent of total support service costs, 75 percent of total operating costs or 80 percent of total HMIS costs. Recipients have the responsibility to ensure that the funds that the recipient uses to satisfy HUD's match requirements are not prohibited to be used for this purpose under any statute that may govern the matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be made available for up to 3 years for leasing, operating, supportive services and HMIS costs. Method of awarding/releasing assistance: letter

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45 as applicable. Private nonprofit entity recipients are subject to the audit requirements of 24 CFR 44 as applicable. Both 24 CFR Part 44 and 45 stipulate that grant recipients and their subgrantees provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of supportive housing components as a means of assisting homeless persons.

Account Identification:

86-0192-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

HUD imposes the following limitations: (1) Acquisition and/or rehabilitation construction grants for the Supportive Housing Program may not exceed 200,000 (up to \$400,000 in high cost areas); new construction may not exceed \$400,000;

ASSISTANCE LISTINGS DESCRIPTIONS

(2) funding of up to 75 percent for operating costs; (3) funding of up to 80 percent for supportive services and HMIS costs; and (4) the program provides grants for leasing costs for up to 3 years.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 583 published in the Federal Register. Other program information is available at www.hudexchange.info/shp.

Regional or Local Office:

See Regional Assistance Locations. Designated contact person for the Supportive Housing Program in HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Tonya Proctor, Deputy Director,
U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs,
Washington DC 20410
Email: tonya.proctor@hud.gov
Phone: 202-402-5697

Fax:

Website Address:

<http://www.hudexchange.info/shp>

RELATED PROGRAMS:

14.238 Shelter Plus Care; 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy; 14.231 Emergency Shelter Grants Program; 14.267 Continuum of Care Program ; 14.241 Housing Opportunities for Persons with AIDS;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorize the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Supportive Housing Program. Projects will continue to operate under the NOFA they were awarded under until they are renewed under the Continuum of Care Program. The resource for this program is still relevant.

14.238 Shelter Plus Care

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Subtitle F of Title IV of the McKinney-Vento Homeless Assistance Act of 1987 as amended, 42 U.S.C.11403,

OBJECTIVES:

The Shelter Plus Care Program provides rental assistance, in connection with supportive services funded from sources other than this program, to homeless persons with disabilities (primarily persons who are seriously mentally ill; have chronic problems with alcohol, drugs, or both, or have acquired immunodeficiency syndrome and related diseases) and their families. The program provides assistance through four components: (1) Tenant-based Rental Assistance (TRA); (2) Sponsor-based Rental Assistance (SRA); (3) Project-based Rental Assistance (PRA); (4) and Single Room Occupancy for Homeless Individuals (SRO).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Homeless persons with disabilities and their families. Homeless persons with disabilities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; An eligible applicant is a State, unit of general local government, or public housing agency (PHA).

Beneficiary Eligibility:

Individual/Family; Mentally Disabled; Drug Addict; Alcoholic; Homeless persons with disabilities and their families. Except in single room occupancy dwellings that are only for homeless individuals with disabilities.

Credentials/Documentation:

Governmental entities and public housing agencies will certify to their eligibility. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Any site that would have a significant adverse impact on the human environment will not be eligible for funding. All components of this program are excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An electronic application package prescribing the specific information that applicants must submit through the local Continuum of Care (CoC) is available from HUD through the annual CoC Notice of Fund Availability, found at <https://www.onecpd.info/spc>. The standard electronic application form as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan. The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorize the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Supportive Housing Program. Projects will continue to operate under the NOFA they were awarded under until they are renewed under the Continuum of Care Program. The resource for this program is still relevant

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked, in accordance with the Notice of Fund Availability (NOFA).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Processing of applications and selection of successful applicants will take place at HUD field offices and Headquarters. HUD Headquarters will make selection and obligate funds as soon as the applications can be processed.

Appeals:

Other. Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes occurred.

Renewals:

Other. One year funding for projects eligible for renewal as contained in the Notice of Fund Availability and application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Recipients must match the rental assistance by supportive services that are equal in value to the aggregate amount of rental assistance and appropriate to the needs of the population to be served. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

HUD will provide rental assistance under the TRA and SRA components for a five year period. Rental assistance under the SRO component will be for a ten-year period. If units are rehabilitated, and meet the standards required, assistance under

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the PRA component will be for a ten-year period; otherwise it will be for a five-year period. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits as stipulated in 24 CFR 45. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must keep any records and make any reports that HUD may require.

Account Identification:

86-0192-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 582 Shelter Plus Care Program Final Rule.

Regional or Local Office:

See Regional Assistance Locations. Designated contact person or monitor for the Shelter Plus Care Program in HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Division Director Brian P. Fitzmaurice,
U.S. Department of Housing and Urban Development, Community Planning and Development, Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7262,
Washington DC 20410
Email: Brian.P.Fitzmaurice@hud.gov
Phone: 202-402-4080

Website Address:

<https://www.hudexchange.info/spc>

RELATED PROGRAMS:

14.235 Supportive Housing Program; 14.231 Emergency Shelter Grants Program; 14.267 Continuum of Care Program ; 14.241 Housing Opportunities for Persons with AIDS;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorize the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Shelter Plus Program. Projects will continue to operate under the NOFA they were awarded under until they are renewed under the Continuum of Care Program. The resource for this program is still relevant.

14.239 Home Investment Partnerships Program

(HOME Program),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Affordable Housing Act 1990, Title II, , Public Law 101-625, 42 USC Code 12703.

OBJECTIVES:

To expand the supply of affordable housing, particularly rental housing, for low and very low income Americans; to strengthen the abilities of State and local governments to design and implement strategies for achieving adequate supplies of decent, affordable housing; and to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and nonprofit organizations, in the production and operation of affordable housing.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

For use by participating jurisdictions or Insular Areas for housing rehabilitation, tenant-based rental assistance, assistance to homebuyers, acquisition of housing and new construction of housing. Funding may also be used for other necessary and reasonable activities related to the development of non-luxury housing, such as site acquisition, site improvements, demolition and relocation. Ten percent of a participating jurisdiction's allocation may be used for administrative costs. Funds may not be used for public housing modernization, matching funds for other Federal programs, reserve accounts or operating subsidies for rental housing, Annual Contributions Contracts, or activities under the Low Income Housing Preservation Act except for priority purchasers. the American Rescue Plan Act of 2021 provides an additional \$4.925 billion to be allocated by the HOME formula to meet the needs of those homeless or at risk of homelessness, the development of affordable housing, tenant based rental assistance, supportive services and acquisition and development of non-congregate shelter units. 15 percent of these funds may be used for administrative costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; States, cities, urban counties, and consortia (of contiguous units of general local governments with a binding agreement) are eligible to receive formula allocations; funds are also set aside for grants to Insular Areas.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Native American Organizations; For rental housing, at least 90 percent of HOME funds must benefit low and very low income families at 60 percent of the area median income; the remaining ten percent must benefit families below 80 percent of the area median. Assistance to homeowners and homebuyers must be to families below 80 percent of the area median.

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. The participating jurisdictions must follow 24CFR Part 91 in order to receive the HOME formula grants.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including HOME. The annual action plan must describe how the funds will be used.

Award Procedure:

The responsible HUD Field Office notifies grantees of the formula awards. HUD prepares the grant agreement for formula applicants.

Deadlines:

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November 15, 2020 - August 16, 2021. Action plans should be submitted to HUD at least 45 days before the start of the program year. In no event will HUD accept a submission earlier than November 15 or later than August 16 of the federal fiscal year for which funds are allocated.

Range of Approval/Disapproval Time:

Other. HUD will review for completeness and consistency with the purposes of the Act, within 45 days of receipt from a jurisdiction.

Appeals:

Not Applicable. Formula allocations may not be appealed.

Renewals:

Other. Every fifth year, localities submit a Consolidated Plan. Each year jurisdictions must submit an action plan and certifications.

Formula and Matching Requirements:

Statutory Formula: Title HOME Investment Partnership Program, Chapter 24, Part 92, Subpart A-M, Public Law . Matching Requirements: Percent: 25%.

Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant funds are drawn down from the U. S. Treasury based upon individual project development progress. The HOME program uses an electronic fund transfer disbursement and information system. Once drawn down, funds must be expended within fifteen days. All funds must be expended within 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Financial management and annual performance reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records which enable HUD to determine whether the participating jurisdiction has met the requirements of the program must be kept for the most recent five year period. Those records required to document the period of affordability must be maintained for five years beyond the required period.

Account Identification:

86-0205-0-1-604.

Obligations:

Formula Grants FY 20 \$1,132,705,035.00; FY 21 est \$1,494,662,055.00; FY 22 est \$1,723,634,066.00; Formula Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$630,032,704.00;

Range and Average of Financial Assistance:

\$210,407 to 74,450,389; 2,092,659 average

TAFS Codes:

86-0205.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Through 2021, over 1.3 million affordable units have been completed since the program began in 1992.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 92.

Regional or Local Office:

See Regional Assistance Locations. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director Peter Huber,
451 7th Street SW, room 7164,
Washington DC 20410
Email: Peter.H.Huber@hud.gov
Phone: 202-708-2684
Fax: 202-708-1744

Website Address:

<http://www.hud.gov/homeprogram/>

RELATED PROGRAMS:

14.275 Housing Trust Fund;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The grantee's Consolidated Plan and Annual Action Plan are reviewed according to the criteria set forth in 24 CFR 91.

14.241 Housing Opportunities for Persons with AIDS

HOPWA.

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

AIDS Housing Opportunity Act, 42 U.S.C. 12901 et seq., Title 24, Part 574,

OBJECTIVES:

To provide States and localities with the resources and incentives to devise long-term comprehensive strategies for meeting the supportive housing needs of low-income persons and their families living with HIV/AIDS in order to prevent homelessness and sustain housing stability for HOPWA program beneficiaries.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments), Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

HOPWA program activities focus on establishing stable housing, reducing risk of homelessness, and improving access to healthcare and supportive services. Housing assistance is provided in the form of permanent housing which includes tenant-based (scattered site) and facility-based (subsidized payment on a specific building, unit, or project) rental assistance, or through transitional or short-term housing assistance. Grantees and project sponsors may use HOPWA funds to provide for any of the following eligible activities, subject to certain standards and limitations provided in the regulations: (1) acquisition, rehabilitation, conversion, lease, and repair of facilities to provide housing and services; (2) new construction (for single room occupancy (SRO) dwellings and community residences only); (3) operating costs for housing facilities, including maintenance, security, operation, insurance, utilities, furnishings, equipment, supplies, and other incidental costs; (4) tenant-based rental assistance, including assistance for shared housing arrangements; (5) short-term rent, mortgage, and utility payments to prevent homelessness; (6) permanent housing placement to assist beneficiaries receiving rental assistance with the security deposits, first months rent and utility hook-up costs; (7) housing information services including, but not limited to, counseling, information, and referral services to assist eligible individuals and their families to locate, acquire, finance, and maintain housing; (8) resource identification to establish, coordinate and develop housing assistance resources; (9) supportive services and case management, including mental health, drug and alcohol abuse treatment and counseling, day care, nutritional services, etc.; (10) technical assistance in establishing and operating a community residence, including planning and other pre-development or pre-construction expenses; and (11) administrative costs for general management, oversight, coordination, evaluation and reporting on eligible activities (generally grantees can not use more than three percent of the grant amount for their administrative expenses and project sponsors can use not more than seven percent of their grant award). The supplemental HOPWA grant funds authorized under the CARES Act are to be used as additional funding to maintain operations, and for rental assistance, supportive services, and other necessary actions, in order to prevent, prepare for, and respond to COVID-19.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of

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higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; HOPWA offers two types of awards: (1) Formula entitlement grants: Eligible states and qualifying cities, as defined in 24 CFR 574.3, qualifying for formula allocation under HOPWA. (2) Competitive grants: (a) States, units of local governments and nonprofit organizations for special projects of national significance; (b) States and units of local government that do not qualify for HOPWA formula allocations under the category of long-term grants; and (c) Nonprofit organizations are eligible to apply for projects of national significance as a grantee, but may also serve as a project sponsor under any competitive or formula grantees. Additional applicant eligibility may apply depending on the competitive grant opportunity. As required by the Appropriations Acts, HUD gives funding priority to the renewal of expiring permanent supportive housing projects. When funding is available for new competitive grants, there may be additional requirements for applicant eligibility established under the Notice of Funding Opportunity (NOFO).

Beneficiary Eligibility:

Individual/Family; Anyone/general public; There are two basic elements of HOPWA eligibility: Family has a least one person living with HIV/AIDS. This includes families where the only eligible person is a minor. Medical verification of status is required; and Total household income is less than 80% of the Area Median Income (AMI), as defined by HUD. There are two basic elements of HOPWA eligibility: Family has a least one person living with HIV/AIDS. This includes families where the only eligible person is a minor. Medical verification of status is required; and Total household income is less than 80% of the Area Median Income (AMI), as defined by HUD. A person living with HIV/AIDS or a family member regardless of income is eligible to receive HOPWA housing information services. Any person living in proximity to a community residence is eligible to participate in that residence's community outreach and educational activities regarding HIV/AIDS.

Credentials/Documentation:

Grantees must certify they meet program requirements and applicable federal requirements. Grantees and project sponsors must comply with 2 CFR Part 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact the headquarters or regional location, as appropriate, for pre-application coordination details.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. (1) Formula entitlement grants: All HOPWA formula grantees must submit a Consolidated Plan and an Annual Action Plan that includes a comprehensive AIDS housing strategy that identifies and outlines how a community has identified local housing needs and how these federal resources will be targeted to address these local priorities. The Consolidated Plan and Annual Action Plan requirements are applicable for each of HUD's Community Planning and Development programs, including HOPWA. (2) Competitive grants: a. Requirements for renewing or replacing expiring permanent supportive housing grants are published through a HUD Notice that is issued annually (HUD's Appropriations Acts authorizes the Secretary to renew or replace all expiring contracts for permanent supportive housing that initially were funded under section 854(c)(3) of AIDS Housing Act from funds made available in FY2010 and prior fiscal years that meet all program requirements before awarding funds for new competitive grant applications). b. Funding announcements for new HOPWA competitive grants are published on grants.gov. Applications may be submitted electronically when new competitive HOPWA funding opportunities become available. The most recent competitive announcement was FR-6400-N-11 Fiscal Year (FY) 2020 Housing Opportunities for Persons With AIDS (HOPWA) Competitive Grant: Housing as an Intervention to Fight AIDS published on April

14, 2021. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For formula entitlement grants, the grantee's Consolidated Plan and Annual Action Plan are reviewed according to criteria set forth in 24 CFR 91. For competitive grants, applications are reviewed according to requirements set forth in the renewal/replacement Notice (for expiring permanent supportive housing grants) or NOFO rating factors (for new competitive grants).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. For formula entitlement grants, the grantee's Consolidated Plan and Annual Action Plan are reviewed according to criteria set forth in 24 CFR 91. For competitive grants, applications are reviewed according to requirements set forth in the renewal/replacement Notice (for expiring permanent supportive housing grants) or NOFO rating factors (for new competitive grants).

Appeals:

Not Applicable. Formula allocations may not be appealed. Disapproved plans may be resubmitted in accordance with 24 CFR 91.500. HOPWA competitive NOFOs do not have appeal processes. However, application debriefing is available.

Following the evaluation process, HUD will notify successful applicants of their selection for funding. HUD will also notify other applicants, whose applications were received by the deadline, but have not been chosen for award. For a period of at least 120 days, beginning 30 days after the public announcement of awards under the NOFO, HUD will provide a debriefing related to their application to requesting applicants. Information provided during a debriefing may include the final score the applicant received for each rating factor, final evaluator comments for each rating factor, and the final assessment indicating the basis upon which funding was approved or denied.

Renewals:

Other. HUD issues an annual Notice that solicits applications for expiring permanent supportive housing renewal or replacement grant applications. Application deadlines vary during the fiscal year. The Performance Grant Agreement establishes a three-year period of performance that starts when the grant agreement is signed and ends three years thereafter. If a HOPWA grant is nearing expiration and grant funds remain unexpended, grantees may request and receive HUD approval for a twelve-month grant extension from the end of the period of performance indicated in the executed Performance Grant Agreement.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter 5, Part 574, Subpart A-G, Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant funds should be expended in a timely manner or may be subject to deobligation. Grant funding should be expended within a three-year period from the date of the executed grant agreement. Method of awarding/releasing assistance: letter. Method of awarding/releasing assistance: lump sum.

Reports:

HOPWA formula and competitive grantees are required to submit annual performance reports on grant expenditures, activities undertaken, program accomplishments, and beneficiary outcomes related to housing stability; homelessness prevention, and access to care and support. HOPWA formula program grantees must submit a Consolidated Annual Performance and Evaluation Report (CAPER) and competitive grantees must submit an Annual Progress Report (APR). These reports are due to HUD 90 days after the end of each program or operating year. Grantees draw down HOPWA funds from the Integrated Disbursement and Information System (IDIS). This is HUD's primary method of capturing financial and expenditure data from grantees. The Annual Progress Report (APR) is required of competitive grantees and provides more details in which to assess grantee performance in promoting the housing stability of program beneficiaries. In FY2016, all grantees ceased to use IDIS for reporting program activities and only submitted a paper-based APR. IDIS will continue to be used for financial transactions. Through the APR/CAPER and IDIS draw down information, grantees provide reports on expenditures. HUD field offices conduct annual risk

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assessments of all program grantees to identify and target program compliance monitoring to be conducted during the federal fiscal year. In accordance with 2 CFR Part 200, grantees are required to monitor project sponsors for compliance with the HOPWA requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No other

Records:

HOPWA grantees must maintain and make available to HUD for inspection financial records sufficient to ensure proper accounting and disbursing of grant amounts received. Each HOPWA grantee must ensure that records are maintained for a 4-year period to document compliance with program regulations.

Account Identification:

86-0308-0-1-604.

Obligations:

Formula Grants FY 20 \$361,886,707.00; FY 21 est \$415,998,000.00; FY 22 est \$475,382,311.00; Project Grants (Discretionary) FY 20 \$41,000,000.00; FY 21 est \$43,000,000.00; and FY 22 est \$45,000,000.00; Formula Grants (Apportionments) FY 20 \$53,700,000.00; FY 21 Estimate Not Available; and FY 22 Estimate Not Available; Project Grants (Discretionary) FY 20 \$10,000,000.00; FY 21 Estimate Not Available; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

HOPWA formula grant awards: In FY2020, 140 HOPWA formula eligible metropolitan statistical areas received an estimated average award amount of \$2,635,714.29. In FY2021, a new formula grantee was added: Winston-Salem, NC. HOPWA competitive grant awards: In FY2020, the 31 eligible permanent supportive housing renewal grants received award amounts between \$421,113 and \$1,445,197.00 with an average award amount of \$1,155,340.48

TAFS Codes:

86-0308.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program regulations can be found at 24 CFR Part 574. Program guidance can be found at https://www.hud.gov/program_offices/comm_planning/hopwa.

Regional or Local Office:

See Regional Assistance Locations. Designated Community Planning and Development staff in HUD Headquarters and in local field offices as listed in Appendix IV of the Catalog.

Headquarters Office:

Director Rita Harcrow,
451 7th Street SW,
Washington DC 20410
Email: Rita.U.Harcrow@hud.gov
Phone: 202-402-5374

Website Address:

<https://www.hudexchange.info/programs/hopwa/>

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.231 Emergency Solutions Grant Program; 14.267 Continuum of Care Program ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Formula entitlement grants: Each jurisdiction should submit its consolidated plan to HUD at least 45 days before the start of its program year. In no event will HUD accept a submission earlier than November 15 or later than August 16 of the federal fiscal year for which the grant funds are appropriated. The plan will be deemed approved 45 days after HUD receives the plan, unless before that date HUD has notified the jurisdiction that the plan is disapproved. If the plan is

disapproved, the jurisdiction may revise or resubmit a plan within 45 days after the first notification of disapproval. HUD must respond to approve or disapprove the plan within 30 days of receiving the revisions or resubmission. (2) Competitive renewal/replacement grants: HUD publishes a renewal/replace Notice for eligible expiring permanent supportive housing grants annually. Eligible applicants are named in the Notice and must complete all submission requirement outlined in the Notice to receive funding. (3) Competitive grants awarded under a NOFO process: All application submission requirements are outlined in the NOFO. All submitted applications must include all required forms and narrative responses to the rating factors as described in the funding announcement.

14.247 Self-Help Homeownership Opportunity Program

Self-Help Homeownership Opportunity Program (SHOP),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing Opportunity Program Extension Act of 1996, Public Law 104-120 Section 11, as amended.,

OBJECTIVES:

To facilitate and encourage innovative homeownership opportunities through the provision of self-help homeownership in which the homebuyer contributes a significant amount of sweat equity toward the development of the SHOP units.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible expenses for program funds are land acquisition and infrastructure improvements, which taken together may not exceed an average of \$15,000 in assistance per SHOP units. Additionally, up to 20 percent of the grant amount may be used for related planning, administrative and management costs.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Funds are awarded competitively to national or regional nonprofit organizations or consortia that have capacity and experience in providing or facilitating self-help housing homeownership opportunities. Grantees must use a significant amount of SHOP grant funds in at least two states. Grantees may award grant funds to local nonprofit affiliate organizations.

Beneficiary Eligibility:

Individual/Family; Minority group; Consumer; Homeowner; Land/Property Owner; Moderate Income; Low Income; Eligible homebuyers are low-income families, (including individuals) who are otherwise unable to afford to purchase a dwelling, and who provide significant amounts of sweat equity towards the development of the dwellings.

Credentials/Documentation:

Proof of nonprofit status is required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Procedure: Applications may be submitted electronically in response to the annual publication of the SHOP NOFA in [grants.gov](https://www.hud.gov). The General Section of the NOFA and SHOP NOFA detail the submission requirements.

Award Procedure:

Based upon the requirements published in the NOFA, respondents are notified of acceptance or rejection.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

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deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Leveraging of other public and/or private resources and volunteer labor are required to complete housing construction. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is released on an as-needed basis over a 24-month period for affiliates that develop 5 or more dwellings units, up to a 36-month period. Method of awarding/releasing assistance: other

Reports:

Quarterly and annual progress reports on construction and expenditures; as well as, final close-out reports. Quarterly and annual reports on construction and expenditure. Quarterly and annual reports on construction and expenditure progress. Grantees must monitor each consortium member and affiliate at least once during the grant term. Quarterly and annual progress reports

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

All records applicable to the program must be kept for three years following close-out.

Account Identification:

86-0176-0-1-604.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$10,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Four grants were awarded with FY 2020 funds. They ranged from \$1,294,080 to \$5,341,907. The average grant was \$2,500,000.

TAFS Codes:

86-0176.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The SHOP statute and SHOP NOFA establish the SHOP program requirements.

Regional or Local Office:

None.

Headquarters Office:

SHOP Program Manager Thann Young,

451 7th Street SW,

Washington DC 20410

Email: Thann.young@hud.gov

Phone: 202-402-4464

Website Address:

<http://www.hud.gov/offices/cpd/affordablehousing/programs/shop/index.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the rating factor requirements set forth in the SHOP NOFA.

14.248 Community Development Block Grants Section 108 Loan Guarantees

(Section 108),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1974, as amended, Title 24, Part 570, Section Subpart M

OBJECTIVES:

To provide communities with a source of financing for economic development, housing rehabilitation, public facilities, and large scale physical development projects.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

For purposes of determining eligibility, the Community Development Block Grant (CDBG) rules and requirements apply. As with the CDBG program, all projects and activities must either principally benefit low- and moderate-income persons, aid in the elimination or prevention of slums and blight, or meet urgent needs of the community.

Applicant Eligibility:

Government - General; Other public institution/organization; U.S. Territories and possessions; State; Eligible Applicants include: metropolitan cities and urban counties, i.e., CDBG entitlement recipients; nonentitlement communities that are assisted in their submission of applications by States that administer the CDBG program; nonentitlement communities eligible to receive CDBG funds under the HUD-Administered Small Cities CDBG program; and Insular Areas (American Samoa, Guam, Northern Mariana Islands, and the Virgin Islands). The public entity may be the borrower or it may designate a public agency to be the borrower. Furthermore, HUD's FY 2018 and FY 2019 appropriations acts continue HUD's authority to provide loan guarantees to States borrowing on behalf of local governments in nonentitlement areas.

Beneficiary Eligibility:

Individual/Family; Moderate Income; Low Income; The principal beneficiaries are low and moderate income persons.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200, Subpart E. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication Coordination: Preapplication requirements are found in 24 CFR Section 570.704. Presubmission requirements are similar to those applicable for the Community Development Block Grants program. Proposed activities must be consistent with a unit of local government's Consolidated Plan submitted for HUD's Community Planning and Development Programs. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application requirements are found in 24 CFR Section 570.704.

Award Procedure:

Upon approval of an application, HUD will issue to the applicant an offer of commitment to guarantee notes or other obligations issued by the applicant or its public agency designee. The commitment will be subject to compliance with

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applicable requirements and such conditions as HUD may specify in the commitment document. Furthermore, borrowers are required to pledge current and future CDBG funds as security for the loan guarantee. HUD also requires that the loan guarantee be secured with other collateral. Beginning in FY 2016, borrowers are required to pay a fee based on a percentage of loan disbursements (2% in FY 2020). The fee is applied only at the time of loan disbursement and may be financed as part of the guaranteed loan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 days from submission of complete application to appropriate HUD Field Office.

Appeals:

Not Applicable.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum repayment period for a Section 108 loan is twenty years. Repayment schedules and terms are negotiable. Restriction on time permitted to spend guaranteed loan funds is specified in contract executed by HUD and the borrower. Method of awarding/releasing assistance: other

Reports:

Consolidated Annual Performance Evaluation Report (CAPER). The CAPER reports the recipient's program expenditures and accomplishments for each program year. Recipients are required to submit reports on cash balances, receipts, and disbursements of guaranteed loan funds and pledged amounts. Recipients must update amounts used and accomplishments in HUD's Integrated Information and Disbursement System (IDIS). Expenditures are reported in the CAPER and are updated in IDIS as funds are used for activities. Monitoring is conducted through on-site visits by HUD staff. Recipients are selected for monitoring through a risk-based analysis. Each recipient's annual CAPER is reviewed by HUD staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 HUD does not mandate audit procedures, other than those specified in 2 CFR 200, Subpart F.

Records:

The record keeping requirements of Subpart J of 24 CFR Part 570 apply to entitlement public entities receiving grants under Subpart D of 24 CFR Part 570. The record keeping requirements of Subpart J of 24 CFR Part 570 apply to nonentitlement public entities receiving grants under Subpart F of 24 CFR Part 570. The record keeping requirements of Subpart I of 24 CFR Part 570 apply to State-assisted public entities.

Account Identification:

86-4096-0-1-451.

Obligations:

Guaranteed/Insured Loans FY 20 \$38,654,000.00; FY 21 est \$100,000,000.00; FY 22 est \$300,000,000.00;

Range and Average of Financial Assistance:

In FY 2020, commitments were issued in amounts ranging from \$1,000,000 to \$7,500,000. The average commitment amount was \$4,644,250.

TAFS Codes:

86-4096.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart M.

Regional or Local Office:

See Regional Assistance Locations. Contact appropriate Community Planning and Development staff in the HUD Field Office listed in HUD's

Website: https://www.hud.gov/program_offices/field_policy_mgt/localoffices

Headquarters Office:

Director, Fin. Management Division Paul D. Webster,

451 7th Street, SW Room 7282,

Washington DC 20410

Email: paul.webster@hud.gov

Phone: (202) 402-4563

Fax: (202) 708-1798 Seema M. Thomas,

451 7th Street, SW Room 7282,

Washington DC 20410

Email: seema.m.thomas@hud.gov

Phone: (202) 402-6266

Fax: (202) 708-1798

Website Address:

<https://www.hudexchange.info/programs/section-108/>

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against criteria set forth at 24 CFR 570.704.

14.249 Section 8 Moderate Rehabilitation Single Room Occupancy

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended.,

OBJECTIVES:

The Section 8 Moderate Rehabilitation Single Room Occupancy Program provides rental assistance to homeless individuals. Under the program, HUD enters into Annual Contributions Contracts with public housing agencies (PHAs) in connection with the moderate rehabilitation of residential properties that, when rehabilitation is completed, will contain multiple single room dwelling units.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Homeless (single) individuals.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); An eligible applicant is a PHA or private nonprofit organization. Private nonprofits have to contract with a PHA to administer the rental assistance.

Beneficiary Eligibility:

Individual/Family; Minority group; Homeless individuals.

Credentials/Documentation:

Private nonprofit organizations and public housing agencies will certify to their eligibility. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Any site that would have a significant adverse impact on the human

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environment will not be eligible for funding. All components of this program are excluded from coverage under E.O.12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application package prescribing the specific information that applicants must submit is available from HUD through the annual Continuum of Care Notice of Fund Availability. The standard application form as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Processing of applications and selection of successful applicants will take place at HUD field offices and Headquarters, HUD Headquarters will make selection. Funds, however, will not be obligated until the technical submission is approved.

Appeals:

Other. Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes has occurred.

Renewals:

Other. Renewal of expiring SRO HAP contracts continue on an annual basis according to the provisions of 24 CFR Part 402.5(b) 1-2.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Under the SRO Program, an 11-year Annual Contribution Contract (ACC) is signed by the PHA with HUD. After the ACC is signed, the PHA and owner sign an AHAP and the owner has 12 months from the date of ACC execution to complete rehabilitation on the project. Once the rehabilitation is completed and the PHA inspects the units to ensure compliance with HUD's physical condition standards (PCS), a 10-year Housing Assistance Payments (HAP) Contract is signed between the PHA and project owner. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 44. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must keep any records and make any reports that HUD may require.

Account Identification:

86-0192-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 882, Subpart H Section 8 Moderate Rehabilitation Single Room Occupancy Program for Homeless Individuals, as well as 24 CFR, Part 5, Subparts A, E and F. Program information also available from Community Connections at HUD at (1-800) 998-9999.

Regional or Local Office:

See Regional Assistance Locations. Designated contact person or monitor for the Section 8 SRO Program in the HUD Field Offices with a Community Planning and Development Division (see Appendix IV of the Catalog for a listing of HUD Field Offices).

Headquarters Office:

Division Director Brian P. Fitzmaurice,
U.S. Department of Housing and Urban Development, Community Planning and Development, Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7266,
Washington DC 20410
Email: Brian.P.Fitzmaurice@hud.gov
Phone: 202-402-4080

Website Address:

<http://www.hudexchange.info/sro>

RELATED PROGRAMS:

14.238 Shelter Plus Care; 14.235 Supportive Housing Program; 14.231 Emergency Shelter Grants Program; 14.241 Housing Opportunities for Persons with AIDS;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act amended and reauthorize the McKinney-Vento Homeless Assistance Act into the Continuum of Care Program. Fiscal Year 2011 was the last funding year under the Section SRO Program. Projects will continue to operate under the NOFA they were awarded until they are renewed under the provisions of 24 CFR Part 402.5(b)1-2.

14.250 Rural Housing and Economic Development

Rural Housing and Economic Development,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 1998, Public Law 105-276., , Public Law 105-276

OBJECTIVES:

To build capacity at the State and local level for rural housing and economic development and to support innovative housing and economic development activities in rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants can be used to support innovative housing and economic development activities for this program. More specific information is provided in the Notice of Funding Availability (NOFA).

Applicant Eligibility:

Profit organization; Local rural nonprofit organizations, community development

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corporations, Federally recognized Indian Tribes, State Housing Financing Agencies and State Community and/or Economic Development Agencies.

Beneficiary Eligibility:

Private nonprofit institution/organization; Local; Rural; Federally Recognized Indian Tribal Governments; Local and rural communities.

Credentials/Documentation:

As required by the NOFA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Federal Register NOFA will detail each competition's submission requirements and the competitive funding.

Award Procedure:

Competition is required for grants. Awards to applicants securing the highest scores in relation to the selecting factors outlined in the NOFA.

Deadlines:

April 29, 2009 - May 29, 2009. The NOFA will contain deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. September 1, 2009.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For a period of 36 months. Method of awarding/releasing assistance: other

Reports:

Semi-Annual narrative and statistical reports are required. Semi-Annual narrative and statistical reports are required. Semi-Annual narrative and statistical reports are required. HUD's field office staff are authorized to monitor and oversee each active grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Each recipient must maintain records to facilitate audits of funds, disposition of proceeds, costs from all sources, in-kind/cash contributions and information on housing, and other facilities connected with the use of the funds.

Account Identification:

86-0324-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The maximum amount awarded to a successful applicant is \$300,000.

TAFS Codes:

86-0324.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The current FY2009 NOFA is published on HUD's website.

Regional or Local Office:

None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Community Planning and Development Specialist Thann Young,,
Department of Housing and Urban Development, Office of Rural Housing and Economic Development, Room 7137,
Washington, DC 20410

Email: Thann.Young@HUD.gov

Phone: (202) 708-2290 or 1-877-787-2526

Fax: (202) 401-0050.

Website Address:

<http://www.hud.gov/offices/cpd/economicdevelopment/programs/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Balancing the need for assistance with capacity of applicant to effectively use the assistance.

14.252 Section 4 Capacity Building for Community Development and Affordable Housing

Section 4 Capacity Building.

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Statute 107-1148, Public Law 103-120, 42 USC Code 9816 note.

OBJECTIVES:

The Section 4 program builds the capacity of Community Development Corporations (CDCs) and Community Housing Development Organizations (CHDOs) to serve their communities and low- and moderate-income families with community development and affordable housing activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible Activities and Priorities. Funds may be used to provide the following services: 1. Training, education, support, and advice to enhance the technical and administrative capabilities of CDCs and CHDOs. This includes building the capacity of CDCs and CHDOs to: o Participate in consolidated planning, fair housing planning and Continuum of Care homeless assistance efforts that help ensure community-wide participation in assessing area needs; o Consult broadly within the community; o Cooperatively plan for the use of available resources in a comprehensive and holistic manner; and o Assist in evaluating performance under these community efforts and in linking plans with neighboring communities in order to foster regional planning. 2. Loans, pass-through grants, development assistance, predevelopment assistance, or other financial assistance to CDCs and CHDOs to carry out community development and affordable housing activities that benefit low-income or low- and moderate-income families and persons, and community and economic development activities that create jobs for low-income persons. 3. Such other activities as may be determined by the grantees in consultation with the Secretary or his or her designee. Currently only Section 4 related Administration activities are eligible under this category.

Applicant Eligibility:

Other private institutions/organizations; By law, there are only three eligible applicants for the Section 4 program. The competition is limited to the organizations identified in Section 4 of the HUD Demonstration Act of 1993 (Pub.L. 103-120, 107 Stat. 1148, 42 U.S.C. 9816 note), as amended. These organizations are: 1. Enterprise Community Partners, Inc. (formerly The Enterprise

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Foundation); 2. the Local Initiatives Support Corporation (LISC), and 3. Habitat for Humanity International. Specifically, the only applicants eligible for this competition are the three organizations located at the following addresses: 1. Enterprise Community Partners, Inc., 11000 Broken Land Parkway, Suite 700, Columbia, MD 21044. 2. Local Initiatives Support Corporation, 28 Liberty, 33rd Floor, New York, NY 11201. 3. Habitat for Humanity International, 322 Lamar, Americus, GA 31709. Affiliates and local offices of these organizations and their community partners are not eligible to compete either directly or independently for capacity building grants under this notice, but rather may seek funding from the above organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; Community Development Corporations (CDCs) and Community Housing Development Organizations (CHDOs) are the only eligible beneficiaries.

Credentials/Documentation:

This program is subject to the provisions of 24 CFR Part 84 (Uniform Administrative Requirements), 2 CFR Part 230 (OMB Circular A-122) (Cost Principles for Nonprofit Organizations), and OMB Circular A-133 (Audits of States, Local Governments, and Non-Profit Organizations). After selection for funding but prior to award, applicants must submit financial and administrative information and certifications to comply with applicable requirements. Applicants also must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicant's financial management system meets prescribed standards for fund control and accountability. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is not applicable. Environmental impact information is not required at the time of application to this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The annual publication of HUD's Capacity Building for Community Development and Affordable Housing (Section 4) NOFA details the submission requirements. Applications may be submitted in response to the annual publication of the Notice of Funding Availability (NOFA) for the Capacity Building for Community Development and Affordable Housing (Section 4) Program on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Based upon the requirements published in the General Section and Program Section of the NOFA, respondents are notified of acceptance or rejection. Upon selection, the applicant and HUD shall negotiate and execute a grant agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Each dollar awarded must be matched by three dollars in cash or in-kind contributions obtained from private sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The performance period for the capacity building grants is four years (48 months). Funds must be expended within four years (48 months) of the grant start date.

Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit semi-annual performance and financial reports (Federal Financial Report SF-425) in accordance with 2 CFR part 200. A final closeout report is required at the end of the grant term. Cash reporting will be submitted on the SF425. The semi-annual and annual reports are considered progress reports. Expenditure reporting will be submitted on the SF425. HUD will conduct on-site monitoring.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees and sub grantees shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507).

Records:

All records applicable to the program must be kept for 3 years following closeout.

Account Identification:

86-0176-0-1-176.

Obligations:

Project Grants FY 20 \$36,000,000.00; FY 21 est \$36,000,000.00; FY 22 est \$41,000,000.00;

Range and Average of Financial Assistance:

There have been three grant awards made each fiscal year ranging from \$5 million to \$15 million over the past three years.

TAFS Codes:

86-0176. 86-0176. 86-0176. 86-0176.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Management and Program Analyst La Ticia Wilson,
451 7th Street SW,
Washington DC 20410
Email: Laticia.Wilson@hud.gov
Phone: 2024025890
Fax:

Website Address:

<https://www.hudexchange.info/programs/section-4-capacity-building/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the Threshold Requirements and Rating Factor requirements set forth in the Section 4 Capacity Building for Community Development and Affordable Housing Program NOFA. Applicants are assessed for the organizations capacity, understanding of the capacity building needs of eligible beneficiaries, the soundness of their approach in their proposed Capacity Building program, their commitment to leveraging other funding, and their performance evaluation and past performance on previous Section 4 grant awards.

14.259 Community Compass Technical Assistance and Capacity Building

Community Compass TA,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Negotiated Rulemaking Act USC Code 5 USC 565(c). Native American Housing

ASSISTANCE LISTINGS DESCRIPTIONS

Assistance and Self-Determination Act of 1996 USC Code 25 USC 4111. US Housing Act of 1937 USC Code 42 USC 1437d(j)(3). Public Law 105-65, Public Law 106-74, McKinney-Vento Act USC Code 42 USC 11361b. McKinney-Vento Act USC Code 42 USC 11408. Public Law 113-6, Public Law 113-76, Public Law 113-235, Public Law 114-113, Public Law 115-31, Public Law 115-123, Public Law 115-141, Public Law 116-6, Public Law 116-20, Public Law 116-94, Public Law 116-136, Public Law 115-271

OBJECTIVES:

Community Compass brings together technical assistance funding appropriations from across HUD program offices, including the offices of Community Planning and Development, Fair Housing and Equal Opportunity, Housing, Public and Indian Housing, and Policy Development and Research. This cross-funding approach recognizes that HUDs grantees, subgrantees, and other customers often interact with a variety of HUD programs as they deliver housing or community development services. Community Compass allows for holistic technical assistance to address the program and policy implementation deficiencies and skill gaps of grantees and subgrantees across multiple HUD programs, often within the same engagement.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All successful applicants must operate within the structure of the demand-response system. Under the demand-response system, HUD identifies technical assistance and capacity building needs and prioritizes them based on Departmental, programmatic and jurisdictional priorities. HUD is solely responsible for determining the entities to be assisted, the location, and the nature of the assistance to be provided. Successful applicants may be funded to conduct the following eligible activities: Needs Assessment; Direct TA and Capacity Building Engagements; Tools and Products; Self-Directed and Group Learning; Knowledge Management; Data Analysis, Reporting, and Performance Measurement; and NAHASDA Allocation Formula Administration and Negotiated Rulemaking and Consultation. These activities are further described in the Program Notice of Funding Availability.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Award recipients tasked to provide technical assistance to PHAs must have at least one staff, subcontractor, or consultant that has at least five years of demonstrated Public Housing programs experience, including agency operations, voucher programs, property management operations, and capital investment programs including capital improvements and various methods of housing development. In addition, award recipients tasked to provide technical assistance to PHAs must have at least one staff, subcontractor, or consultant that has at least two years of demonstrated finance and underwriting experience related to RAD conversions. Funds may only be used to assist organizations to improve their ability to manage HUD funds. Funds may not be used to assist individuals or families.

Beneficiary Eligibility:

U.S. Territories; State; Local; Sponsored organization; Federally Recognized Indian Tribal Governments; Selected award recipients will be deployed as HUD deems most necessary across the country to assist organizations receiving HUD funds to improve performance and management of HUD funds.

Credentials/Documentation:

Applicants that propose to provide HOME TA to HOME participating jurisdictions (PJs) or HOME community housing development organizations (CHDOs) must have a minimum of two technical assistance and capacity building staff on their team who have passed, with a score of 80 or higher, the Certified HOME Program Specialist Regulations training exam (HOME Program certified). This must include at least one in-house staff person for the applicant, or the lead applicant in the case of a joint application, who is HOME Program certified. All TA providers proposing to deliver TA to HOME PJs or CHDOs must be able to document the staff certifications claimed in their application. In addition to the in-house staff,

applicants may include HOME Program certified individuals as subcontractors, consultants or contract with other entities that have HOME certified staff, such as local TA providers that may already be operating under previous or existing CD-TA cooperative agreements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applicants are required to submit an application in accordance the Notice of Funding Opportunities (NOFO). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

In determining the amount awarded to a successful applicant, HUD will take into consideration the amount of funds available, the final score assigned to the application by HUD reviewers, and HUD's overall technical assistance needs, including establishing a range of subject matter expertise and geographic coverage, as well as an applicants capacity to serve HUD's grantees, subgrantees, and other customers across multiple programs or topics. For prior award recipient, HUD may consider information from a Contracting Officer, GTR, GTM, POTAC, and/or other HUD staff, as appropriate, on past performance, as well as award balances not committed to TA tasks or work plans. These considerations may reduce an applicant's award. There may be insufficient funds available to make an award to every application scoring within the fundable range. HUD will send written notifications to both successful and unsuccessful applicants. A notification sent to a successful applicant is not an authorization to begin performance. Upon notification that an applicant has been selected for award, HUD will request additional information to be submitted or may work with the applicant to amend information that was already submitted as part of the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be for a period of up to 36 months. Method of awarding/releasing assistance: other

Reports:

Award recipients will be required to report to the GTM and GTR no less often than quarterly unless otherwise specified in the cooperative agreement. Quarterly progress reports are required. Quarterly expenditure reports are required, and detailed budget and expense reports are required consistent with monthly payment requests. Quarterly performance reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be kept in accordance with 2 CFR 200.

Account Identification:

86-0108-0-1-451.

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Obligations:

Cooperative Agreements FY 20 \$88,971,618.00; FY 21 est \$78,000,000.00; FY 22 est \$80,000,000.00;

Range and Average of Financial Assistance:

The Range is between \$250,000 and \$20,000,000. The applicant awarded funding for Native Hawaiian Housing Block Grant Program TA may receive less than the minimum award amount. The maximum award amount is an estimate based on the amount of funding available and the expected number of awards.

TAFS Codes:

86-0108. 86-0308. 86-0192. 86-0144. 86-0304.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations are list in the authorizations section of this listing. Community Compass TA Program guidance documents are listed on the Program page of hud.gov.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Ms. Stephanie Stone,
451 Seventh Street SWRoom 7218,
Washington DC 20410
Email: Stephanie.V.Stone@hud.gov
Phone: (202) 402-7418
Fax: 202 619-5979

Website Address:

https://www.hud.gov/program_offices/comm_planning/cpdata

RELATED PROGRAMS:

14.261 Homeless Management Information Systems Technical Assistance ; 14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA); 14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program; 14.894 Office of Native American Programs Training and Technical Assistance for Native Hawaiian Housing Block Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Notice of Funding Opportunities (NOFO) for this listing will include criteria for selection and will be posted on Grants.gov

14.261 Homeless Management Information Systems Technical Assistance

HMIS TA,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act of 2013, Title 113, Part 76,

OBJECTIVES:

This NOFA makes available [\$5,000,000] for competitive grants to existing Continuum of Care(CoC) Program recipients to improve their CoCs Homeless Management Information Systems.A Homeless Management Information System (HMIS) is the information system designated by the Continuum of Care (CoC) to comply with the requirements of the McKinney-VentoHomeless Assistance Act (42 U.S.C. 11301 et seq.) (the McKinney-Vento Act), and 24 CFRpart 578. HMIS is used to record, analyze, and transmit client and activity data for purposes of providing shelter, housing, and services to individuals and families who are homeless or at riskof homelessness.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds are available to provide technical assistance for homeless programs in the Office of Special Needs Assistance Programs (SNAPS).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State; Non-Government - General; Other public institution/organization; This funding opportunity is now included in HUD Community Compass -- see CFDA 14.259

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Continuum of Care (CoC) Program recipients

Credentials/Documentation:

SNAPS-TA awardees will be required to report to the Government Technical Representative (GTR) on, at a minimum, a quarterly basis unless otherwise specified in the cooperative agreement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See NOFA Listing for details. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

HUD will send written notifications to both successful and unsuccessful applicants. A notification sent to a successful applicant is not an authorization to begin performance. Upon notification that an applicant has been selected for award, HUD will request additional information to be submitted or may work with the applicant to amend information that was already submitted as part of the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be for a period of 24 months. Method of awarding/releasing assistance: other

Reports:

HUD requires monthly status reports for invoices and quarterly reporting. CPD requires monthly reports that detail expenditures for each TA task. CPD monitors performance each month and includes the TA in its Monitoring Risk Analysis process.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

86-0192-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$10,000,000.00; FY 22 est \$7,000,000.00;

Range and Average of Financial Assistance:

Estimated Total Funding: \$5,000,000 Minimum Award Amount: \$20,000 Per

Project Period Maximum Award Amount: \$400,000 Per Project Period

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

<https://www.hud.gov/sites/dfiles/SPM/documents/fy2017hmis.pdf>

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Divison Director Karen M. Deblasio,

U.S. Department of Housing and Urban Development; Community Planning and

Development; Office of Special Needs Assistance Programs, 451 7th Street, SW,

Room 7260, Washington, DC 20410,

Washington DC 20410

Email: Karen.M.Deblasio@hud.gov

Phone: 2024024773

Fax:

Website Address:

<https://www.hud.gov/sites/dfiles/SPM/documents/fy2017hmis.pdf>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.267 Continuum of Care Program ;

14.241 Housing Opportunities for Persons with AIDS;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

HUD will review applications and approve make awards based on criteria established in the NOFA.

14.265 Rural Capacity Building for Community Development and Affordable Housing Grants

Rural Capacity Building Program,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Public Law 116-94

OBJECTIVES:

The Rural Capacity Building program enhances the capacity and ability of rural housing development organizations, Community Development Corporations (CDCs), Community Housing Development Organizations (CHDOs), local governments, and Indian tribes (eligible beneficiaries) to carry out affordable housing and community development activities in rural areas for the benefit of low- and moderate-income families and persons. The Rural Capacity Building program achieves this by funding National Organizations with expertise in rural housing and rural community development who work directly to build the capacity of eligible beneficiaries.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to provide the following services: 1. Training, education, support, and advice to enhance the technical and administrative capabilities to

serve rural areas of rural housing development organizations, CDCs, CHDOs, local governments, and Indian tribes, including building their capacity to participate in consolidated planning, as well as in fair housing planning and Continuum of Care homeless assistance efforts that help ensure community-wide participation in assessing area needs; consulting broadly within the community; cooperatively planning for the use of available resources in a comprehensive and holistic manner; and assisting in evaluating performance under these community efforts and in linking plans with neighboring communities to foster regional planning; 2. Loans, pass-through grants or other financial assistance to rural housing organizations, CDCs, CHDOs, local governments, and Indian tribes serving rural areas to carry out community development and affordable housing activities that benefit low-income or low- and moderate-income families and persons by building the capacity of those eligible beneficiaries to in rural areas. 3. Such other activities as may be determined by the grantees in consultation with the Secretary or his or her designee. Currently only RCB related Administration activities are eligible under this category.

Applicant Eligibility:

Other private institutions/organizations; Only National Organizations that are 501(c)(3) nonprofits, other than institutions of higher education, can apply for RCB funding. For the purpose of the RCB program, a National Organization must be a single organization that has experience conducting RCB eligible activities with RCB eligible beneficiaries within the last ten years in at least seven Federal HUD regions. Having relevant experience working in one state in a HUD region is sufficient for counting that region towards the seven-region minimum. Federal regions are described on HUD's website at:

<http://portal.hud.gov/hudportal/HUD?src=/localoffices/regions> .

Beneficiary Eligibility:

Private nonprofit institution/organization; Local; Federally Recognized Indian Tribal Governments; RCB program Eligible Beneficiaries are limited to a local organization serving rural areas that are one of the following types of organizations: - Rural housing development organization, - Community Development Corporation (CDC), - Community Housing Development Organization (CHDO), - Local government, and/or - Indian tribe. RCB program Eligible Beneficiaries must serve rural areas. Eligible Beneficiaries in the RCB program are not Eligible Applicants for the RCB program. By definition, RCB Eligible Beneficiaries are not eligible to apply to this RCB NOFA; National Organizations are the only organizations that can be deemed to be Eligible Applicants by successfully meeting the requirements of a National Organization established in Section I.A.4 and Section III.C of this FY 2018 RCB NOFA. Only Eligible Applicants can apply to HUD directly for RCB program funds through this NOFA. Successfully funded RCB program National Organizations are required to work with Eligible Beneficiaries with their RCB program grant award.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The annual publication of HUD's Rural Capacity Building for Community Development and Affordable Housing (RCB) NOFA details the submission requirements. Applications may be submitted in response to the annual publication of the Notice of Funding Availability (NOFA) for the RCB Program on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Based upon the requirements published in the Program Section of the RCB NOFA, respondents are notified of acceptance or rejection. Upon selection, the applicant and HUD shall negotiate and execute a grant agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The performance period for the Rural Capacity Building grant is four years (48 months). Funds must be expended within four years (48 months) of the grant start date. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit semi-annual performance and financial reports (Federal Financial Report SF-425) in accordance with 2 CFR part 200. A final closeout report is required at the end of the grant term. Cash reporting will be submitted on the SF425. The semi-annual performance reports are considered progress reports. Expenditure reporting will be submitted on the SF425. Performance reports are submitted twice a year. HUD will conduct monitoring of all grantees.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records concerning grant award and grant expenditures shall be maintained during the period of grant award and for 3 years after grant closeout is approved by HUD.

Account Identification:

86-0176-0-1-604.

Obligations:

Project Grants FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

In FY 2019 the minimum grant award possible was \$1,000,000 and the maximum grant award was \$5,000,000. The FY2019 and FY2020 awards were combined and resulted in a \$10,000,000 NOFO with \$5,000,000 million for each year. The maximum award that was eligible was 3,250,000 and the minimum was 1,250,000. 5 awards were made, and they ranged from 1,250,000 to \$2,700,000. The projected obligations are for FY2022 are \$5,000,000.

TAFS Codes:

86-0176.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The annual RCB NOFA will include guidance for applicants to implement and manage the Rural Capacity Building Program.

Regional or Local Office:

None. All interested parties must contact the Headquarters Office for information.

Headquarters Office:

Management and Program Analyst La Ticia Wilson,
451 7th Street SW,
Washington DC 20410

Email: Laticia.Wilson@hud.gov

Phone: 2024025890

Fax:

Website Address:

<https://www.hudexchange.info/programs/rural-capacity-building/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the Threshold Requirements to determine applicant eligibility as a National Organization and Rating Factor requirements set forth in the RCB Program NOFA. Applicants are assessed for the organization's capacity, understanding of the capacity building needs of eligible beneficiaries, the soundness of their approach in their proposed RCB program, their commitment to leveraging other funding, and their performance evaluation plans and past performance on previous RCB grant awards.

14.266 Border Community Capital Initiative

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999, , Public Law 105-276

OBJECTIVES:

The Initiatives goal is to increase access to capital for affordable housing, business lending and community facilities in the chronically underserved and undercapitalized U.S./Mexico border region. Specifically, it will provide direct investment and technical assistance to community development lending and investing institutions that focus on affordable housing, small business and community facilities to benefit the residents of colonias. Funds may be used to support eligible activities that serve the following objectives: Expanding services in eligible colonias target markets by established lenders and investors in affordable housing, small business, and community facilities, and/or Securing new public or private capital sources for lending or investing in affordable housing, small business and community facilities in existing or new eligible target markets.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible activities include innovative housing and economic development uses, including but not limited to loan or investment capital, loan loss reserves, program staff costs, information systems, market studies, portfolio analyses and business planning. Eligible activities must support the program objectives described above.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants that are eligible to participate in this initiative are community development lenders and investors, which may be local rural non-profit organizations or federally recognized tribes. Applicants do not need to be certified as Community Development Financial Institutions by the CDFI Fund at the time of application. Applicants may serve other markets than colonias residents and communities, using other sources of financing.

Beneficiary Eligibility:

Small business; Local; Rural; Eligible target markets for use of Border Initiative funds include geographic areas defined as colonias by The Cranston-Gonzalez National Afford Housing Act of 1990 (Pub. L. 101-625, Nov. 28, 1990) (the Act) and the Appropriations Act of 1997 (Pub L. 104-204, Sept. 26, 1996, 110 Stat. 2887).

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This initiative was funded by recaptured Rural Housing and Economic Development funds for a one time only competition.

Award Procedure:

HUD will review eligible submitted applications and determine application scores. Once rating scores are assigned, rated applications will be listed in ranked order. HUD will send written notifications to both successful and unsuccessful applicants, including the lead entity of the consortium. After award, grantees will submit their action plan and budget into HUD's web based system prior to approval to draw down. Applicants will be given the opportunity to amend their budget according to the grant award, in the event HUD awards less than the requested amount prior to entering into the grant agreement. HUD will approve the action plan(s) or notify the grantee of matters that need to be addressed prior to approval.

Deadlines:

June 27, 2013 - August 26, 2013.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable. n/a

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be available for a period of 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: letter

Reports:

Grantees will be required to report to HUD, as specified in the grant agreement. Performance reports shall include reports on both performance and financial progress under action plans and shall include reports on the commitment and expenditure of leveraging resources utilized through the end of the reporting period. Reports shall conform to the reporting requirements of 24 CFR part 84 and 24 CFR part 85. Please see the BCCI NOFA published on grants.gov for full reporting requirements. The performance reports must contain the information required under 24 CFR part 84 and 24 CFR part 85, including a comparison of actual accomplishments with the objectives and performance goals of the action plans. Please see the BCCI NOFA published in FY2013 for full reporting requirements. Please see the BCCI NOFA published in FY2013 for full reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Applicants must maintain records to satisfy the reporting requirements listed above and in the BCCI NOFA.

Account Identification:

86-0324-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Up to \$2,000,000

TAFS Codes:

86-0324.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:**Headquarters Office:**

Community Development Specialist Thann Young,
Office of Rural Housing and Economic Development U.S. Department of Housing and Urban Development 451 7th Street SW, Room 7137,

Washington DC DC 20410

Email: thann.young@hud.gov

Phone: 2024024464

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Two types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements described in Section III.B.4. Second, HUD will review and assign scores to applications meeting the threshold requirements using the Factors for Award noted under Criteria, Section V.A of the BCCI NOFA. Once rating scores are assigned, rated applications will be listed in ranked order. After HUD has rated and ranked the applications, applicants within the fundable range of 75 or more points will be funded in ranked order up to the amount requested, or in a lesser amount if sufficient funds are not available. HUD reserves the right to reduce the amount of the award requested. HUD has determined that geographic diversity of coverage within the U.S.-Mexico border region is an appropriate consideration in selecting grantees in this competition.

14.267 Continuum of Care Program

See Notice of Funding Availability [NOFA] for additional information.,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Mc Kinney-Vento Homeless Assistance Act (42 U.S.C. 11381-11389, Title IV, Subtitle C) as amended,

OBJECTIVES:

The program is designed to promote community-wide commitment to the goal of ending homelessness; provide funding for efforts by nonprofit providers, States, and local governments to quickly house homeless individuals and families while minimizing the trauma and dislocation caused to homeless individuals, families, and communities by homelessness; promote access to and effective utilization of mainstream programs by homeless individuals and families; and optimize self-sufficiency among individuals and families experiencing homelessness. Continuum of Care Program funds may be used to pay for the eligible costs used to establish and operate projects under five program components: (i) permanent housing, which includes permanent supportive housing for persons with disabilities, and rapid rehousing; (ii) transitional housing; (iii) supportive services only; (iv) Homeless Management Information Systems (HMIS), and (v) in some cases, homelessness prevention.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Thirteen types of assistance may be provided through the Continuum of Care (CoC) Program: (1) CoC planning activities/costs for designing and carrying out a collaborative process for the development of an application to HUD; (2) United Funding Agency (UFA) costs for fiscal control and accounting necessary to assure the proper disbursement of, and accounting for, Federal funds awarded to subrecipients under the Continuum of Care Program, (3) acquisition of real property (including structures) for use in the provision of housing or supportive services; (4) rehabilitation of structures to provide housing or supportive services; (5) new construction, including the building of a new structure or building an addition to an existing structure for use as supportive housing; (6) leasing of a structure or structures, or portions thereof, to provide housing or supportive services; (7) rental assistance, which may be short-term, medium-term, or long-term, as well as tenant-based, project-based, or sponsor-based, for transitional or permanent housing; (8) Supportive services to assist program participants obtain and maintain housing; (9) Operating costs of supportive housing; (10) costs of implementing and operating HMIS; (11) Project administrative costs; (12) relocation costs; and (13) indirect costs in accordance with 2 CFR Parts 200, as applicable. In addition to using grant funds for the eligible costs described above, recipients and subrecipients in Continuums of Care designated as High Performing Communities may also use grant funds to provide housing relocation and stabilization services and short- and/or medium-term rental assistance to individuals and families at risk of homelessness as set forth in 24 CFR 576.103 and 24 CFR 576.104, if necessary to prevent the individual or family from becoming homeless.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Specialized group (e.g. health professionals, students, veterans); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; States, local governments, other governmental entities and nonprofit organizations.

Beneficiary Eligibility:

Individual/Family; Homeless individuals and families with children; Recipients in Continuums of Care that have been designated by HUD as High Performing Communities (HPC) may serve persons determined to be at-risk of homelessness, based on criteria established through the present year Notice of Funding Availability (NOFA).

Credentials/Documentation:

Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency, or (3) a certification from a licensed CPA that no part of the net earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance and that the organization has a functioning accounting system. OMB Circular No. A-87 applies to this program. In addition, governmental entities and public housing agencies will certify to their eligibility. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. See Notice of Funding Availability [NOFA] for additional information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200 applies to this program. In accordance with criteria established in the Notice of Funding Availability (NOFA), applicants must complete their applications in a multi-step process through the electronic submission portal called e-snaps. The first step is registration, which confirms a CoC's intent to submit an application in the annual Continuum of Care competition. Next, the CoC's submits the CoC application and a priority listing with a list of projects that will be applied for in the CoC's jurisdiction. Simultaneously, the project applicant submits a project application. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements,

and makes conditional grant awards to those applications most highly ranked, in accordance with the NOFA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Deadlines for the CoC competition are detailed in the Registration Notice and Notice of Funding Availability for each fiscal year.

Appeals:

Other. Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be submitted to the Assistant Secretary for Community Planning and Development, must specify the error, and provide sufficient documentation.

Renewals:

Other. Information on renewals is contained in the Notice of Funding Availability and the application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This is a competitive program, however each community's Need amount is established by formula set forth in the CoC Regulations. The recipient or subrecipient must match all grant funds, except for leasing funds, with no less than 25 percent of cash funds or in-kind contributions from other sources. For Continuum of Care geographic areas in which there is more than one grant agreement, the 25 percent match must be provided on a grant-by-grant basis. Recipients that are UFAs or are the sole recipient for their Continuum may provide match on a Continuum-wide basis. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be made available for up to one, two, three, five or fifteen years, depending upon the type of assistance provided, and subject to the availability of annual appropriations. Method of awarding/releasing assistance: letter

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45 as applicable. Private nonprofit entity recipients and their subrecipients provide audits in accordance with 2 CFR 200. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of Continuum of Care activities as a means of assisting homeless persons and those at-risk of becoming homeless.

Account Identification:

86-0192-0-1-604.

Obligations:

Project Grants (Discretionary) FY 20 (\$2,066,031,399.00); FY 21 est (\$1,763,967,296.00); FY 22 est (\$1,710,967,296.00);

Range and Average of Financial Assistance:

HUD imposes the following limitations: Funding of up to 75 percent for acquisition, new construction, rehabilitation, supportive services, operating, and HMIS costs; funding for up to 10 percent for project administration costs; and indirect costs may be allocated to each eligible activity as long as that allocation is consistent with an indirect cost rate proposal developed in accordance with 2 CFR 200, as applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CRF Part 578, Continuum of Care Program, is published in the Federal Register.

Regional or Local Office:

See Regional Assistance Locations. See Local Agency Offices. Designated contact person in the HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Tonya Proctor, Deputy Director,
U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs,
Washington DC 20410

Email: Tonya.Proctor@hud.gov

Phone: 202-402-5697

Fax:

Website Address:

<https://www.hudexchange.info/coc>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.241 Housing Opportunities for Persons with AIDS;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register and at www.hudexchange.info/coc.

14.268 Rural Housing Stability Assistance Program

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Title IV Housing Assistance, Subtitle D Rural Housing Stability Assistance Program of the McKinney-Vento Homeless Assistance Act, as amended by the Homeless Emergency Assistance and Rapid Transition to Housing Act of 2009 (HEARTH Act).

OBJECTIVES:

The Rural Housing Stability Assistance Program (RHSP) is designed to provide assistance for rural counties to re-house or improve the housing situations of individuals and families who are homeless, at risk of homelessness, or in the worst housing situations, stabilize the housing of individuals and families who are at risk of becoming homeless, and improve the ability of the lowest-income residents to afford stable housing.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Grant assistance is available for rent, mortgage and utility assistance; relocation assistance; short-term emergency lodging; new construction of buildings to provide transitional or permanent housing; acquisition of real property to provide supportive services or transitional or permanent rental housing; rehabilitation of structures to provide supportive services or transitional or permanent rental housing; leasing; rental assistance; operating costs of transitional and permanent rental housing; rehabilitation and repairs to make premises habitable; supportive services; use of federal inventory property; capacity building activities that

strengthen the capability of recipients to deliver housing and supportive services to eligible participants and to administer grants under this program; data collection costs; indirect costs in accordance with OMB Circulars A-87 or A-122 as applicable and administrative costs not to exceed 7.5 percent of the RHSP grant.

Applicant Eligibility:

State; Counties, that meet the definition of a rural county (a county that has no part of it within an area designated as a standard metropolitan statistical area by OMB; a county that is within an area designated as a metropolitan statistical area or considered as part of a metropolitan statistical area and at least 75 percent of its population is located on U.S. Census blocks classified as non-urban; a county that is located in a State that has population density of less than 30 persons per square mile [as reported in the most recent decennial census], and of which at least 1.25 percent of the total acreage of such State is under Federal jurisdiction, provided that no metropolitan city in such State is the sole beneficiary of the grant amounts awarded under this part. A metropolitan city means a city that was classified as a metropolitan city under section 102(a) of the Housing and Community Development Act of 1974 (42 U.S.C. 5302(a)) for the fiscal year immediately preceding the fiscal year for which Emergency Solutions Grant program funds are made available. Recipient includes the District of Columbia.) local units of government authorized by the county and private non-profit organizations authorized by the county.

Beneficiary Eligibility:

Individual/Family; Individuals and families who are homeless, at risk of homelessness or are in a worst housing situation. Worst housing situation is defined as housing that has serious health and safety defects and at least one major system that has failed or is failing.

Credentials/Documentation:

The list of counties eligible to apply for RHSP will be published in the Notice of Funding Availability. Local units of government and private non-profit organizations applying on behalf of eligible counties, must provide a letter from the eligible county designating the entity is applying on their behalf. Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency; or (3) a certification from a licensed CPA that no part of the next earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance; that the organization has a functioning accounting system. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The requirements of 24 CFR Part 85 applies to this program. One application package prescribing the specific information that applicants must submit is available. The standard application forms as furnished by HUD must be used for this program. HUD will publish a list of counties eligible to apply for funds in a particular fiscal year. Every county government submitting an application must include either an abbreviated consolidated plan or consolidated plan that is consistent with the proposed program activities. Sections 491 (a) and 491 (m) of the Mc Kinney-Vento Act provide that funds awarded under this program are in lieu of grants awarded under subtitle C, which is the Continuum of Care (CoC) program, and that funds awarded to a county shall only be awarded under either the CoC program or the RHSP. This requirement is implemented by establishing that a county may apply for funds either under the CoC program or RHSP, but not both.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and prepares a Grant Agreement for those applications selected.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

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Other. Processing of applications will take place in HUD Field Offices and at HUD Headquarters, and selection of successful applicants will take place at HUD Headquarters. HUD will make its final selections and obligate funds as soon as the applications can be processed.

Appeals:

Other. The appeal process will be outlined in the Notice of Funding Availability.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. (Formula): Section 427(b)(2) of the McKinney-Vento Act establishes the PPRN formula which determines the needs of a geographic area. PPRN is the dollar expression of the relative need assigned to metropolitan cities, urban counties, and all other counties determined by HUD in accordance with HUD's regulations for the Continuum of Care program. The formula uses nationally available data, including the following factors as used in the CDBG formula and Emergency Solutions Grant (ESG) formula on poverty, housing overcrowding, population, age of housing, and growth lag. To determine a rural county's PPRN, HUD will calculate the sum of the PPRN amount for the rural county as well as any metropolitan cities therein. The cumulative PPRN amount for the rural county will be the basis for determining the maximum award amount for which the county may apply. Matching Requirements: There is a minimum of 25 percent cash or in-kind match on all eligible funding costs except leasing, data collection and administrative costs. For in-kind match, the government-wide grant requirements of HUD's regulations at 24 CFR 84.23 and CFR 85.24. The 25 percent match requirement is calculated on the total grant amount. Recipients have the responsibility to ensure that the funds that the recipient uses to satisfy HUD's match requirements are not prohibited to be used for this purpose under any statute that may govern the matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of grant term will be established in the Notice of Funding Availability. Method of awarding/releasing assistance will be established in the Notice of Funding Availability. Method of awarding/releasing assistance: letter

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required. Progress reports are applicable. Expenditure reports are applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 85. Private nonprofit entity recipients are subject to the audit requirements of 24 CFR 84. Both 24 CFR Part 84 and 85 stipulate that grant recipients and their subgrantees provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of supportive housing components as a means of assisting homeless persons.

Account Identification:

86-0192-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

TBD published in the Federal Register. Other program information is available on the HUD Homelessness Resource Exchange at www.hudexchange.info/rural.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division Director Karen M. DeBlasio,
U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7260, Washington, DC 20410,
Washington DC 20410

Email: Karen.M.DeBlasio@hud.gov

Phone: 202-402-4773

Website Address:

<http://www.hudexchange.info/rural>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register.

14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR)

Community Development Block Grant Disaster Recovery program for Hurricane Sandy and other qualifying disasters occurring in 2011, 2012 and 2013.

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013 Public Law 113.2, , Public Law 113-2

OBJECTIVES:

The overall CDBG program objective is to develop viable urban communities, by providing decent housing and a suitable living environment, and by expanding economic opportunities, principally for persons of low and moderate income. CDBG DR program funds appropriated by P.L. 113-2 are for necessary expenses related to disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization in the most impacted and distressed areas resulting from a major disaster declaration due to Hurricane Sandy and other eligible events in calendar years 2011, 2012, and 2013.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Recipients may undertake a wide range of activities directed toward addressing unmet needs of disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization. However, CDBG DR funds may not be used for activities reimbursable by other sources of funds, such as those made available by the Federal Emergency Management Agency, the Army Corps of Engineers, or private insurance. Grantees must conduct an assessment of community impacts and unmet needs to guide the development and prioritization of planned recovery activities and develop their own programs and funding priorities as long as programs/activities conform to the statutory standards and program regulations. Some of the specific activities that can be carried out with Community Development Block Grant Disaster recovery (CDBG DR) funds include buying

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damaged properties in a flood plain and relocating residents to safer areas; relocation payments for people and businesses displaced by the disaster; debris removal not covered by FEMA; rehabilitation of homes and buildings damaged by the disaster; buying, constructing, or rehabilitating public facilities such as streets, neighborhood centers, and water, sewer and drainage systems; code enforcement; homeownership activities such as down payment assistance, interest rate subsidies and loan guarantees for disaster victims; public services (generally limited to no more than 15 percent of the grant); helping businesses retain or create jobs in disaster impacted areas; and planning and administration costs (limited to no more than 20 percent of the grant). Eligible activities must meet at least one of three program national objectives: benefit persons of low and moderate income, aid in the prevention or elimination of slums or blight, or meet other urgent community development needs because existing conditions pose a serious and immediate threat to the health and welfare of the community where other financial resources are not available. CDBG Disaster Recovery grants primarily benefit low-income residents in and around communities that have experienced a natural disaster. At least 50 percent of each grantee's CDBG-DR grant award must be used for activities that benefit low- and moderate-income (LMI) persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the populous are of low- and moderate-income. Recipients may contract with other local agencies or nonprofit organizations to carry out components of their programs. Grantees must meet the 50 percent LMI expenditure requirement unless a waiver has been requested by the grantee and approved by HUD. Recipients may only carry out eligible activities as listed in 24 CFR 570.201-207 or for which a waiver or alternative requirement has been granted by the Secretary, based upon a determination that good cause exists and that the waiver or alternative requirement is not inconsistent with the overall purposes of Title I of the HCD Act.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; CDBG Disaster Recovery funds are made available to States and units of general local governments designated by the President of the United States as disaster areas. These communities must have significant unmet recovery needs and the capacity to carry out a disaster recovery program (usually these are governments that already receive HOME or Community Development Block Grant allocations). Grantees may use CDBG Disaster Recovery funds for recovery efforts involving housing, economic development, infrastructure and prevention of further damage to affected areas. However, CDBG DR funds may not be used for activities reimbursable by or for which funds are made available by the Federal Emergency Management Agency or the Army Corps of Engineers.

Beneficiary Eligibility:

Individual/Family; Small business; Private nonprofit institution/organization; Homeowner; Moderate Income; Low Income; Public nonprofit institution/organization; The principal beneficiaries of CDBG DR funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD) in communities that have experienced a disaster event. At least 50 percent of each grantee's CDBG-DR grant award must be used for activities that benefit low- and moderate-income (LMI) persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the populous are of low- and moderate-income. Grantees must meet the 50 percent LMI expenditure requirement unless a waiver has been requested by the grantee and approved by HUD.

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. 2 CFR 225, Cost Principles for State, Local, and Indian Tribal Governments (previously OMB Circular A-87) applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. As a condition of making any grant under this appropriation, the Secretary is required to certify in advance that grantees have in place proficient financial

controls and procurement processes and have established adequate procedures to prevent any duplication of benefits as defined by section 312 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5155), to ensure timely expenditure of funds, to maintain comprehensive websites regarding all disaster recovery activities assisted with these funds, and to detect and prevent waste, fraud, and abuse of funds. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Recipients submit an Action Plan for Disaster Recovery, SF Form 424, and certifications to HUD. In the Action Plan for Disaster Recovery, grantees must describe uses and activities that are authorized under Title I of the Housing and Community Development Act of 1974 (42 U.S.C. 5301 et seq.) (HCD Act) or allowed by a waiver or alternative requirement published in the federal register notice covering the grant funds and also respond to a disaster-related impact. HUD reviews grantee Action Plans within 45 days of receipt and approves the Plan according to criteria identified in the federal register notice covering the grant funds.

Award Procedure:

P.L. 113-2 provides that funds shall be awarded directly to a State or unit of general local government (UGLG) at the discretion of the Secretary. To comply with statutory direction that funds be used for disaster-related expenses in the most impacted and distressed areas, the Department computed direct allocations to eligible grantees totaling \$14,181,892,000 based on the best available data that cover all the eligible affected areas. States and local governments receiving a CDBG DR allocation may carry out programs and/or activities directly or through subrecipients, including local governments, governmental agencies, and non-profit entities. The remaining \$999,108,000 appropriated under P.L. 113-2 has been awarded to eligible grantees based on a competition and is allocated under a separate CFDA number (14.272).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. After a grantee receives an allocation under a published Federal Register notice, it must submit an initial Action Plan for Disaster Recovery within a time frame specified in the specific applicable Federal Register Notice.

Appeals:

Other. Administrative appeals process followed if grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter 570, Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

To ensure the timely expenditure of funds, section 904(c) under Title IX of P.L. 113-2 that all funds be expended within two years of the date HUD obligates funds to a grantee (funds are obligated to a grantee upon HUDs signing of the grantees CDBG DR grant agreement). Action Plans must demonstrate how funds will be fully expended within two years of obligation. For any funds that the grantee believes will not be expended by the deadline, the grantee may request an extension of the two-year expenditure deadline by submitting an Expenditure Extension Request consisting of a completed template and cover letter justifying why it is necessary to extend the deadline for a specific portion of funds. Extension requests submitted less than sixty days prior to the scheduled expenditure deadline must include a letter signed by the chief elected official of the grantee agency. Additionally, P.L. 113-2 requires that HUD obligate all funds provided under Chapter 9, Community Development Fund, not later than September 30, 2017. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: letter

Reports:

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HUD requires grantees to enter information in HUD's Disaster Recovery Grant Reporting (DRGR) system in sufficient detail to permit the Departments review of grantee performance. No cash reports are required. Each grantee must submit a Quarterly Performance Report (QPR) through the DRGR system not later than 30 days following the end of each calendar quarter. Quarterly reports include information on obligations, expenditures, drawdowns, and accomplishments for all grantee activities. HUD reviews these quarterly reports to assess grantee compliance and performance. The Quarterly Performance Report (QPR), submitted by grantees on a quarterly basis through HUD's Disaster Recovery Grant Reporting (DRGR) system includes information on expenditures for all their activities. The Department performs regular performance monitoring of grantees both remotely and through on-site reviews. The Department determines on-site monitoring priorities based on a risk-analysis of the grantees portfolio of activities or known high-risk areas. For all headquarter-held grants under this appropriation, HUD conducts at least two on-site monitoring reviews each year. The Office of Community Planning and Developments CPD Notice CPD-12-02, Implementing risk Analysis for Monitoring Community Planning and Development Grant Programs describes the Departments risk analysis process. Monitoring exhibits can be found in Chapter 6 of HUDs Community Planning and Development (CPD) Grantee Monitoring Handbook.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The auditee may elect to have a program-specific audit conducted under certain limited circumstances. CDBG DR grantees shall make reviews and audits, including onsite reviews of any subrecipients, designated public agencies, and UGLGs, as may be necessary or appropriate to meet the requirements of 42 U.S.C. 5304(e)(2), as amended, and as modified by the federal notice covering grant funds. Additionally, grantees must have an internal audit function in place for the detection of fraud, waste, and abuse where the responsible audit staff report independently to the chief officer or board of the organization designated to administer the CDBG DR award.

Records:

Grantees must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to dwelling units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in the program regulations. Records shall be retained for a period of three years from closeout of the grant, except as otherwise prescribed in the regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

From low of \$5,061,000 obligated to the State of Texas under the 2011 disaster recovery program area to a high of \$4,416,882,000 obligated to the State of New York under the Hurricane Sandy recovery program area.

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Grantees are expected to continue expending grant funds toward their disaster recovery during Fiscal Year 2020. At the beginning of the fiscal year, HUD expects there to be a remaining balance of approximately \$2.7 billion in grant funds. HUD anticipates grantees to expend approximately \$1.3 billion of those funds during the 2020 fiscal year, a 13% decrease from the prior year, but still on track to meet the September 30, 2022 expenditure deadline for the appropriation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations for Community Development Block Grants, 24 CFR 570 and the following Federal Register notices: 78 FR 14329, 78 FR 23578, 78 FR 46999, 78 FR 69104, 78 FR 17173, 78 FR 32262, 78 FR 76154, 78 FR 31964, 79 FR 40133, 79 FR 60490, 79 FR 62182, 80 FR 1039, 80 FR 17772, 80 FR 26942, 80 FR 51589, 80 FR 72102, 81 FR 7567, 81 FR 36557, 81 FR 54114, 82 FR 9753, 82 FR 36812, 82 FR 61320, 84 FR 4836.

Regional or Local Office:

See Regional Assistance Locations. None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Financial Analyst - DRSI Phyllis J. Foulds,
451 7th Street SW, Room 7272,
Washington DC 20410
Email: phyllis.j.foulds@hud.gov
Phone: 817-978-5948
Fax: 202-401-2044

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/comm_planning/comm_unitydevelopment/programs/drsi

RELATED PROGRAMS:

14.272 National Resilient Disaster Recovery Competition; 14.218 Community Development Block Grants/Entitlement Grants; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii; 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.270 Appalachia Economic Development Initiative

AEDI,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999, , Public Law 105-276

OBJECTIVES:

AEDIs goal is to increase access to capital for business lending and development in the chronically under-served and under-capitalized Appalachian region. Specifically, it will provide direct investment and technical assistance to community development lending and investing institutions in areas of the Appalachian Region that suffer from a lack of capacity to support business development. The AEDI will coordinate with ARCs economic development programs such as the ACC intermediary to identify areas within the Appalachian Region that are most in need of lending and investment capacity. The AEDI will provide funding and assistance to local rural non-profit organizations that will increase the capital and support available to businesses in under-served communities and enhance the effectiveness of economic development programs for these areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Innovative economic development uses, including but not limited to loan or investment capital, loan loss reserves, program staff costs, information systems, market studies, portfolio analyses, business planning, and other activities supporting the program goals.

Applicant Eligibility:

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Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants that are eligible to participate in this initiative are State community and/or economic development agencies that apply on behalf of local rural nonprofit organizations in the Appalachia Region. Applicants may serve markets other than Appalachia residents and communities, using other sources of financing.

Beneficiary Eligibility:

Small business; Local; Rural; Eligible target markets for use of AEDI funds include geographic areas and/or populations, as described below. Geographic areas include the 420 counties in 13 states that make up the Appalachia Region, as defined by the Appalachian Regional Commission. Appalachian Regional Commission (ARC) is a federal-state partnership working to stimulate economic development. The ARC is composed of the governors of the 13 Appalachian states and a federal co-chair, who is appointed by the president. Local participation is provided through multi-county local development districts. The thirteen states that are included in the region are: Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, West Virginia, and Virginia.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. not applicable

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The AEDI Competition has been completed according to application procedures and instructions included in the FY2014 AEDI NOFA and the FY2014 General Section.

Award Procedure:

HUD will review eligible submitted applications and determine application scores. Once rating scores are assigned, rated applications will be listed in ranked order. HUD will send written notifications to both successful and unsuccessful applicants. After award, grantees will submit their action plan and budget into HUD's web based system prior to approval to draw down. Applicants will be given the opportunity to amend their budget according to the grant award, in the event HUD awards less than the requested amount prior to entering into the grant agreement. HUD will approve the action plan(s) or notify the grantee of matters that need to be addressed prior approval.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. The AEDI Competition has been completed and awards were announced March 30, 2015.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Not applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be made available for a period of 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: letter

Reports:

Grantees are required to report to HUD, as specified in the grant agreement. Performance reports shall include reports on both performance and financial progress under action plans and shall include reports on the commitment and expenditure of leveraging resources utilized through the end of the reporting period. Through the end of the reporting period. Reports shall conform to the

reporting requirements of the 24CFR part 84 CFR part 85. Information on reporting requirements and procedures was made available in Notice of Funds Availability published in FY2014. Information on reporting requirements and procedures was made available in Notice of Funds Availability published in FY2014. Information on reporting requirements and procedures was made available in the Notice of Funds Availability published in FY2014. Information on reporting requirements and procedures was made available in the Notice of Funds Availability published in FY2014.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Applicants must maintain records to satisfy the reporting requirements listed above and in the FY2014 AEDI NOFA.

Account Identification:

86-0324-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Up to \$1,000,000

TAFS Codes:

86-0324.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on specific regulations and guidelines were made available in Notice of Funds Availability published the FY2014.

Regional or Local Office:

Headquarters Office:

Community Development Specialist Thann Young,
Office of Rural Housing and Economic Development U.S. Department of Housing and Urban Development 451 7th Street SW, Room 7137,
Washington DC DC 20410
Email: thann.young@hud.gov
Phone: 1-877-787-2526

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Information on application review and selection criteria was made available in the Notice of Funds Availability published in the FY2014.

14.271 Delta Community Capital Initiative

Delta Community Capital Initiative (DCCI),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999, , Public Law 105-276

OBJECTIVES:

DCCI's goal is to increase access to capital for business lending and development in

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the chronically under-served and under-capitalized Lower Mississippi Delta Region. Specifically, it will provide direct investment and technical assistance to community development lending and investing institutions so that funding opportunities will be more effective and economic development services will expand to the under-served residents of Lower Mississippi Delta Region. DCCI will focus on helping local financial institutions improve their capacity to raise capital, and to lend and invest in local communities. Strengthening local community development lenders and investors will also widen the channels through which larger private institutions and federal agencies can reach small business owners and entrepreneurs who need their support.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Innovative economic development uses, including but not limited to loan or investment capital, loan loss reserves, program staff costs, information systems, market studies, portfolio analyses, business planning, and other activities supporting the program goals.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants that are eligible to participate in this initiative are community development lenders and investors, which may be local rural non-profit organizations or federally recognized tribes. Applicants do not need to be certified as Community Development Financial Institutions by the CDFI Fund at the time of application. If applicants propose to become certified as a CDFI, they should lay out milestones and time frames toward the CDFI certification process in their application. Applicants may serve other markets than Delta residents and communities, using other sources of financing.

Beneficiary Eligibility:

Small business; Local; Rural; Eligible target markets for use of DCCI funds include businesses owned by or serving low income residents of the Lower Mississippi Delta Region. The Delta region includes the 252 counties and parishes in eight states that make up the Lower Mississippi Delta Region, as defined by the Delta Regional Authority. The Delta Regional Authority (DRA) is a federal-state partnership working to stimulate economic development and governed by the Delta Regional Authority Board, comprised of the Federal Co-Chairman, appointed by the President and confirmed by the U.S. Senate, and the governors of the eight states. The eight states that are included in the region are: Alabama, Arkansas, Illinois, Kentucky, Louisiana, Mississippi, Missouri, and Tennessee.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Appalachia Economic Development Initiative has been completed and awards were made March 30, 2015. Application procedures information was made available in the Notice of Funds Availability published in fiscal year 2014.

Award Procedure:

Award procedures information was made available in the Notice of Funds Availability published in fiscal year 2014.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be available for a period of 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: letter

Reports:

Information regarding reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Information regarding reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Information regarding reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Information regarding expenditure reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Information regarding reporting requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Information regarding record keeping requirements and procedures was made available in the Notice of Funds Availability published in fiscal year 2014. Applicants must maintain records to satisfy the reporting requirements listed above and in the BCCI NOFA.

Account Identification:

86-0324-0-1-604.

Obligations:

Project Grants FY 16 \$0.00; FY 17 est \$0.00; and FY 18 est \$0.00;

Range and Average of Financial Assistance:

Original projection was \$1,000,000. Actual amount of funds made available was \$1,478,040.

TAFS Codes:

86-0324.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information regarding specific regulations and guidelines was made available in the Notice of Funds Availability published in fiscal year 2014.

Regional or Local Office:

Headquarters Office:

Community Development Specialist Thann Young,
Office of Rural Housing and Economic Development U.S. Department of Housing and Urban Development 451 7th Street SW, Room 7137,
Washington DC DC 20410
Email: thann.young@hud.gov
Phone: 1-877-787-2526

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Information regarding the application review and selection criteria is available in the Notice of Funds Availability, which was published in fiscal year 2014.

14.272 National Disaster Resilience Competition

ASSISTANCE LISTINGS DESCRIPTIONS

Community Development Block Grant P. L. 113-2,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Disaster Relief Appropriations Act, 2013 Public Law 113-2., , Public Law 113-2

OBJECTIVES:

The overall CDBG program objective is to develop viable urban communities, by providing decent housing and a suitable living environment, and by expanding economic opportunities, principally for persons of low and moderate income. The National Resilient Disaster Competition program funds appropriated by P.L. 113-2 are available for resilient recovery projects and necessary expenses related to disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization in the most impacted and distressed areas resulting from a major disaster declared pursuant to the due to Hurricane Sandy and other eligible events in calendar years 2011, 2012, and 2013.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The competition supports innovative resilience projects at the local level while encouraging communities to adopt policy changes and activities that plan for the impacts of extreme weather and rebuild affected areas to be better prepared for the future. The competition underscores the ability communities have to not only recover from recent disasters but also rebuild better and stronger for the future. It will allow them to engage local stakeholders, nongovernmental organizations and the philanthropic sector to protect their own communities from the impacts of climate change by enhancing resilient infrastructure, building on sound science, and deploying innovative approaches to investments. These funds will enable eligible communities to access resources that help both recover from the previous disaster and make plans, decisions, and investments that make them more resilient to the next disaster. Recipients may undertake a wide range of activities directed toward disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization. However, Community Development Block Grant Disaster Recovery (CDBG DR) funds may not be used for activities reimbursable by or for which funds are made available by the Federal Emergency Management Agency (FEMA) or the Army Corps of Engineers. Grantees must conduct an assessment of community impacts and unmet needs to guide the development and prioritization of planned recovery activities and develop their own programs and funding priorities as long as programs/activities conform to the statutory standards and program regulations. Some of the specific activities that can be carried out with CDBG DR funds include buying damaged properties in a flood plain and relocating residents to safer areas; relocation payments for people and businesses displaced by the disaster; debris removal not covered by FEMA; rehabilitation of homes and buildings damaged by the disaster; buying, constructing, or rehabilitating public facilities such as streets, neighborhood centers, and water, sewer and drainage systems; code enforcement; homeownership activities such as down payment assistance, interest rate subsidies and loan guarantees for disaster victims; public services (generally limited to no more than 15 percent of the grant); helping businesses retain or create jobs in disaster impacted areas; and planning and administration costs (limited to no more than 20 percent of the grant). Eligible activities must meet at least one of three program national objectives: benefit persons of low and moderate income, aid in the prevention or elimination of slums or blight, or meet other urgent community development needs because existing conditions pose a serious and immediate threat to the health and welfare of the community where other financial resources are not available. CDBG DR grants primarily benefit low-income residents in and around communities that have experienced a natural disaster. Generally, grantees must use at least half of Disaster Recovery funds for activities that principally benefit low-and moderate-income persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the populous are of low- and moderate-income. HUD can only waive this requirement on a showing of compelling need." Recipients may contract with other local agencies or nonprofit organizations to carry out components of their programs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; CDBG DR competitive funds are made available to States and units of general local governments designated by the President of the United States as disaster areas. These communities must have significant unmet recovery needs and the capacity to carry out a disaster recovery program (usually these are governments that already receive HOME or Community Development Block Grant allocations). Grantees may use CDBG Disaster Recovery funds for recovery efforts involving housing, economic development, infrastructure and prevention of further damage to affected areas. However, CDBG DR funds may not be used for activities reimbursable by or for which funds are made available by the Federal Emergency Management Agency or the Army Corps of Engineers.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Anyone/general public; Interstate; Homeowner; State; Local; Senior Citizen (60+); Moderate Income; Low Income; Public nonprofit institution/organization; The principal beneficiaries of CDBG DR funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD) in communities that have experienced a disaster event. Generally, grantees must use at least half of Disaster Recovery funds for activities that principally benefit low-and moderate-income persons. These can be either activities in which all or the majority of people who benefit have low or moderate incomes or activities that benefit an area or service group in which at least 51 percent of the populous are of low- and moderate-income. HUD can only waive this requirement on a showing of compelling need."

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. As a condition of making any grant under this appropriation, the Secretary is required to certify in advance that grantees have in place proficient financial controls and procurement processes and have established adequate procedures to prevent any duplication of benefits as defined by section 312 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5155), to ensure timely expenditure of funds, to maintain comprehensive websites regarding all disaster recovery activities assisted with these funds, and to detect and prevent waste, fraud, and abuse of funds.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Recipients submit a comprehensive application to be considered for funding, SF Form 424, and certifications to HUD. The Application must meet the criteria of the NOFA and must identify the proposed use(s) of the grantees allocation, including criteria for eligibility, and how the uses address long-term recovery needs. Application for CDBG-RDR waiver and alternative requirement. The requirements for CDBG actions plans, located at 42 U.S.C. 12705(a)(2), 42 U.S.C. 5304(a)(1), 42 U.S.C. 5304(m), 42 U.S.C. 5306(d)(2)(C)(iii), 24 CFR 91.220, and 91.320 are waived for funds provided under the Appropriations Act. Instead, each grantee must submit to HUD an Application for CDBG-RDR. During the course of the grant, HUD will monitor the grantees actions and use of funds for consistency with the application, and meeting the performance and timeliness objectives therein and in this Attachment A. Per the Appropriations Act, and in addition to the requirements at 24 CFR 91.500, the Secretary may disapprove an amendment to an application if it is determined that the amended application does not satisfy all of the required elements identified in this NOFA.

Award Procedure:

See NOFA or contact the headquarters office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. See NOFA or contact the headquarters office.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable. Administrative appeals process followed if grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter V, Part 570, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

To ensure the timely expenditure of funds, section 904(c) under Title IX of P.L. 113-2 requires that all funds be expended within two years of the date HUD obligates funds to a grantee (funds are obligated to a grantee upon HUD's signing of the grantees CDBGDR grant agreement). Action Plans must demonstrate how funds will be fully expended within two years of obligation. For any funds that the grantee believes will not be expended by the deadline, it must submit a letter to HUD justifying why it is necessary to extend the deadline for a specific portion of funds. Additionally, P.L. 113-2 requires that HUD obligate all funds provided under Chapter 9, Community Development Fund, not later than September 30, 2017. However, NDR grantees have requested extensions of the expenditure deadline up until September 30, 2022. Those expenditure extensions will be published in a subsequent expenditure Federal Register notice. Method of awarding/releasing assistance: letter

Reports:

HUD requires grantees to enter information in HUD's Disaster Recovery Grant Reporting (DRGR) system in sufficient detail to permit the Department's review of grantee performance on a quarterly basis through a Quarterly Performance Report (QPR). HUD reviews these quarterly reports to assess grantee compliance and performance. Quarterly reports include information on obligation, expenditures, leveraging, drawdowns, and accomplishments for all grantee activities. Each grantee must submit a QPR through the DRGR system no later than 30 days following the end of each calendar quarter. The Quarterly Performance Report (QPR), submitted by grantees on a quarterly basis through HUD's Disaster Recovery Grant Reporting (DRGR) system include information on expenditures for all their activities. The Quarterly Performance Report (QPR), submitted by grantees on a quarterly basis through HUD's Disaster Recovery Grant Reporting (DRGR) system include information on expenditures for all their activities. The Department performs regular performance monitoring of grantees both remotely and through annual on-site reviews. The Department determines on-site monitoring priorities based on a risk-analysis of the grantees portfolio of activities or known high-risk areas. For all Headquarters-held grants under this appropriation, HUD staff will conduct at least one annual on-site monitoring review. The Office of Community Planning and Development's CPD Notice CPD -12-02, 'Implementing Risk Analyses for Monitoring Community Planning and Development Grant Programs' describes the Department's risk analysis process. Monitoring exhibits can be found in Chapter 6 of HUD's Community Planning and Development (CPD) Grantee Monitoring Handbook.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The auditee may elect to have a program-specific audit conducted under certain limited circumstances. CDBG DR grantees shall make reviews and audits, including onsite reviews of any subrecipients, designated public agencies, and UGLGs, as may be necessary or appropriate to meet the requirements of 42 U.S.C. 5304(e)(2), as amended, and as modified by the federal notice covering grant funds. Additionally, grantees must have an internal audit function in place for the detection of fraud, waste, and abuse where the responsible audit staff report independently to the chief officer or board of the organization designated to administer the CDBGDR award.

Records:

Grantees must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to dwelling units and households, equal

opportunity, environmental impact, labor standards and other requirements set forth in the program regulations. Records shall be retained for a period of four years after submission of the report in which the activity is reported as completed, except as otherwise prescribed in the regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Thirteen (13) grants were awarded. The smallest award amount was \$15 million (New Jersey) and the largest was \$176 million (New York City). The average award amount was \$76.8 million.

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: During FY20, NDR projects should be fully launched under all grants and expenditures should increase significantly. HUD anticipates a 33% increase in the expenditure of grant funds and estimates grantees will expend \$200 million during that year - bringing the total amount expended up to \$350 million (35% of the total awarded amount).

REGULATIONS, GUIDELINES, AND LITERATURE:

See NOFA or contact headquarters. Administrative Regulations for Community Development Block Grants, 24 CFR 570 and the following Federal Register notices: 78 FR 14329, 78 FR 32262, 78 FR 23578, and 81 FR 54114.

Regional or Local Office:

None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog

Headquarters Office:

Financial Analyst Phyllis Foulds,
801 Cherry Street, Suite 2800,
Fort Worth TX 76102

Email: phyllis.j.foulds@hud.gov

Phone: 817-978-5948

Fax:

Website Address:

<https://www.hudexchange.info/programs/cdbg-dr/resilient-recovery/>

RELATED PROGRAMS:

14.269 Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA

14.273 Pay for Success Permanent Supportive Housing Demonstration

Pay for Success Demonstration,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2014, , Public Law 113-76, Consolidated and Further Continuing Appropriations Act, 2015, , Public Law 113-235

OBJECTIVES:

The purpose of the Department of Justice's (DOJ's) and Department of Housing and Urban Development's (HUD's) PFS Demonstration is to strengthen communities ability to prevent and end homelessness and reduce avoidable incarceration by increasing the provision of Permanent Supportive Housing (PSH), a proven evidenced-based practice. The PFS Demonstration is an opportunity to

ASSISTANCE LISTINGS DESCRIPTIONS

equip communities with a new financing mechanism for funding PSH projects that will prevent returns to homelessness and reduce recidivism among the reentry population. The PFS Demonstration is also a valuable opportunity to test ways of achieving greater cost efficiency and effectiveness in providing homelessness assistance while expanding communities access to available funding for PSH.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funding may be used to carry out a Pay for Success (PFS) model for the provision of PSH for the target population. Please see the PFS Demonstrations Notice of Funding Availability for the description of eligible activities and restrictions on the use of funds. Use of funds must be consistent with the funded application and are subject to the Department of Housing and Urban Development's grants administration laws, regulations, and policies.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The grantee will use grant funds for assessing the feasibility of a PFS project and/or structuring PFS operations, including: partnership building, capital-raising activities, program design for the target population at the Demonstration Site, managing contracts with service providers, making Success Payments on behalf of the government entity, and managing third-party evaluators. Intermediaries may carry out all activities directly and/or subaward funds to subrecipients or procure the services of contractors to carry out PFS activities.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); State; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See program's Notice of Funding Availability

Credentials/Documentation:

Various records and forms are required for applicants. Applicants should review the Notice of Funding Availability for specific requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Applicants are required to obtain a Letter of Interest from the applicable local governmental entity.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See PFS Demonstration Notice of Funding Availability for more information about application submission procedures.

Award Procedure:

See PFS Demonstration Notice of Funding Availability for more information about application submission procedures.

Deadlines:

February 12, 2016.

Range of Approval/Disapproval Time:

Other. DOJ and HUD announced awardee information on June 24, 2016.

Appeals:

Other. See PFS Demonstration NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The duration of the period of performance will vary depending on the eligible activities for which the grantee is awarded. Generally, HUD expects that

Feasibility Analyses will require up to 12 months to complete, Transaction Structuring will require up to 18 months, and deal implementation will require at least several years before outcome verification may trigger Success Payments. As described in the PFS Demonstration NOFA, applicants must include a projected timeline in their application to account for the eligible activities for which the grantee is applying. HUD will continue to work with grantees to finalize an appropriate timeline that will be included in the cooperative agreement. The total grant amount will be awarded at the time of initial award. The release of funds will be staggered, contingent upon the submission and approval of deliverables as outlined in the cooperative agreement. Method of awarding/releasing assistance: letter

Reports:

Grantees will be required to develop performance measures to assess their progress in achieving the objectives for each eligible activity and meeting the terms of the grantees cooperative agreement with HUD. Grantees will be required to report to HUD annually on all performance measures and fiscal expenditures in a form prescribed by HUD. Grantees are also expected to participate in regular, at least quarterly, check-in meetings or conference calls with HUD staff. Grantees will be required to report to HUD annually on all performance measures and fiscal expenditures in a form prescribed by HUD. HUD staff will conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for Federal and nonfederal costs, timecards, copies of all contracts, personnel records, and job descriptions, program execution and performance records, and similar records to reflect all activities and spending performed under the award.

Account Identification:

86-0192-0-1-604.

Obligations:

Project Grants FY 20 \$291,210.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The range of active PFS Demonstration awards is \$1,405,753.51 to 1,408,129.51. The average grant amount among active grants is \$1,407,733.51.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the PFS Demonstration Notice of Funding Availability.

Regional or Local Office:

None. The PFS Demonstration will be administered by the SNAPS Office at HUD Headquarters.

Headquarters Office:

Divison Director Karen M. Deblasio,
U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7260, Washington, DC 20410,
Washington DC 20410
Email: Karen.M.Deblasio@hud.gov
Phone: 202-402-4773

Website Address:

<http://HUExchange.info>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the PFS Demonstration NOFA.

14.275 Housing Trust Fund

Housing Trust Fund,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

The Housing and Economic Recovery Act of 2008, Section 1131, Public Law 110-289

OBJECTIVES:

To expand and preserve the supply of affordable housing, particularly rental housing, for extremely low-income and very low income households.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

For use by States for the rehabilitation or new construction of rental and homebuyer housing.

Applicant Eligibility:

State; States as defined in 24 CFR 93.2 are eligible to receive formula allocations. Insular areas also may receive formula funds.

Beneficiary Eligibility:

State; At least 75 percent of funds must be used for extremely low-income families, or families with incomes at or below the poverty line (whichever is greater), unless the allocation is below \$1 billion, at which point 100 percent of the funds must be used for extremely low-income families.

Credentials/Documentation:

States must certify they will meet program requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Grantees are required to comply with the requirements of 24 CFR Part 91.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The responsible HUD Field Office notifies grantees of the formula awards. HUD prepares the grant agreement for signature.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. HTF allocation plans must be submitted by August 16, 2021.

Appeals:

Not Applicable.

Renewals:

Other. Each year grantees are required to submit an HTF allocation plan as part of their annual action plan and certifications.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part 93, Subpart B, Public Law 110-289. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Once drawn down, funds must be expended within fifteen days. All funds must be committed to projects within 24 months and expended within 5 years. Method of

awarding/releasing assistance: other

Reports:

HTF grantees are required to report financial information and programmatic accomplishments in HUD's integrated disbursement and Information data system. The HUD Field Office will conduct monitoring of projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records which enable HUD to determine whether the Housing Trust Fund has met the requirements of the program must be kept for the most recent five year period. Those records required to document the period of affordability must be maintained for five years beyond the required period of affordability.

Account Identification:

86-8560-4-2-604.

Obligations:

Formula Grants FY 20 \$284,856,209.00; FY 21 est \$590,878,636.00; FY 22 est \$480,128,385.00;

Range and Average of Financial Assistance:

\$187,243 to \$126,579,067; average is \$12,373,194.

TAFS Codes:

86-8560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 93.

Regional or Local Office:

See Regional Assistance Locations. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director Peter Huber,
451 7th Street SW, room 7164,
Washington DC 20410
Email: Peter.H.Huber@hud.gov
Phone: 202-708-2684
Fax: 202-708-1744

Website Address:

<http://www.hudexchange.info/program/htf/>

RELATED PROGRAMS:

14.239 Home Investment Partnerships Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Since the FY 2016 initial funding, 1,055 units of rental housing have been completed for extremely low income households.

CRITERIA FOR SELECTING PROPOSALS:

A grantee's Consolidate Plan and Annual Action Plan are reviewed according to criteria set forth in 24 CFR 91.

14.276 Youth Homelessness Demonstration Program

Youth Homelessness Demonstration Program,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act (42 U.S.C. 11381-11389, Title IV,

ASSISTANCE LISTINGS DESCRIPTIONS

Subtitle C, as amended.),

OBJECTIVES:

The goal of YHDP is to award approximately \$145,000,000 in YHDP under this NOFO, including funding appropriated for fiscal year (FY) 2019 and funding appropriated for FY 2020. The FY 2019 Appropriations Act appropriated up to \$80 million for HUD to select up to 25 communities, including at least eight communities with substantial rural populations. The FY 2020 Appropriations Act appropriated up to \$80 million for HUD to select up to 25 communities with a priority for communities with substantial rural populations in up to eight locations. This NOFO is for the selection of the communities under both the FY 2019 Appropriations Act and the FY 2020 Appropriations Act, for a total of up to 50 communities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Eleven types of assistance may be provided through the Youth Homelessness Demonstration Program: (1) acquisition of real property (including structures) for use in the provision of housing or supportive services; (2) rehabilitation of structures to provide housing or supportive services; (3) new construction, including the building of a new structure or building an addition to an existing structure for use as supportive housing; (4) leasing of a new structure or structures, or portions thereof, to provide housing or supportive services; (5) rental assistance, which may be short-term, medium-term, or long-term, as well as tenant-based, project-based, or sponsor-based, for transitional or permanent housing; (6) supportive services to assist program participants obtain and maintain housing; (7) operating costs of supportive housing; (8) costs of implementing and operating HMIS; (9) program administrative costs; (10) relocation costs; and (11) indirect costs in accordance with 2 CFR Part 200, as applicable.

Applicant Eligibility:

Individual/Family: Organization that serve homeless unaccompanied youth (age 24 and younger) and homeless youth (age 24 and younger) with children.

Beneficiary Eligibility:

Individual/Family: Youth (16-21); Homeless unaccompanied youth (age 24 and younger) and homeless youth (age 24 and younger) with children.

Credentials/Documentation:

Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency, or (3) a certification from a licensed CPA that no part of the net earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance and that the organization has a functioning accounting system. In addition, governmental entities and public housing agencies will certify to their eligibility. 2 CFR part 200 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. In accordance with criteria established in the NOFA, applicants must complete their application in a two-step process. The first step is the selection process; which chooses the 50 communities that are eligible to apply for projects under the program. The second step is application for project funding. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD will rank community applications in order of responses to the rating factors outlined in section V.A.1 of this NOFO; only applications that receive a score of 65 points or higher will be considered for funding. HUD will use the following

process to select communities and determine the total funding to be made available in each community under the FY 2019 Act or the FY 2020 Act.FY 2019

Appropriations: HUD will select the 8 highest scoring rural communities and the top 8 scorers from the remaining communities, apply the formula to those 16 communities, then select further communities and redo the formula for all selected communities. FY 2020 Appropriations: HUD will remove communities awarded funding under the FY 2019 funding from the ranked application list, and select the next 16 highest scoring applications, and apply the formula.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Processing of applications and selection of successful applicants will take place at HUD. HUD Headquarters will make selection and obligate funds as soon as applications can be processed.

Appeals:

Other. Applicants may appeal the results of HUDs review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development, must specify the error, and provide sufficient documentation.

Renewals:

Other. Project renewals are allowed under the CoC program competition. See the subsequent CoC Competition Notice of Funding Availabilities for more information.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Matching Requirements: 25 percent. The recipient and subrecipient must match all grant funds, except for leasing funds, with no less than 25 percent of cash funds or in-kind contributions from other sources. For Continuum of Care geographic areas in which there is more than one grant agreement, the 25 percent match must be provided on a grant-by-grant basis. Recipients that are UFAs or are the sole recipient for their Continuum may provide match on a Continuum-wide basis. This program does not have MOE requirements.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Initial grants are two-year grants. Recipients must spend money within two years of obligation. Funds must be obligated by September 30, 2022. Method of award/releasing assistance: letter. Method of awarding/releasing assistance: letter

Reports:

Each recipient must submit the Annual Performance Report (APR).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45 as applicable. Private nonprofit entity recipients and their subrecipients provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of Youth Homelessness Demonstration Program activities as a means of assisting homeless persons and those at-risk of becoming homeless.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

86-0192-0-1-604.

Obligations:

Project Grants (Discretionary) FY 20 \$59,354,848.00; FY 21 est \$100,000,000.00; and FY 22 est \$72,000,000.00;

Range and Average of Financial Assistance:

For each community selected under this competition, HUD will make a minimum of \$1 million available for total YHDP funding. HUD will use a formula calculated as described in I.I.C to determine each selected community's maximum total funding in order to scale awards to estimated community need.

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 578, Continuum of Care Program, is published in the Federal Register **Regional or Local Office:**

See Regional Assistance Locations. Designated contact person in the HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Soni Nili,
Special Needs Assistance Programs, 451 7th Street, SW. Room 7256,
Washington DC 20410
Email: nili.soni@hud.gov
Phone: 202-402-2973
Fax:

Website Address:

https://www.hud.gov/program_offices/comm_planning/yhdp

RELATED PROGRAMS:

14.267 Continuum of Care Program ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register and at https://www.hud.gov/program_offices/comm_planning/yhdp.

14.278 Veterans Housing Rehabilitation and Modification Program

Veterans Housing Rehabilitation and Modification Program,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Department of Housing and Urban Development, Consolidated Appropriations Act, 2016, Section 1079, Public Law 113-291, 38 USC Code 2101.

OBJECTIVES:

The purpose of the Veterans Housing Rehabilitation and Modification Program is to award grants to nonprofit veterans service organizations to rehabilitate and modify the primary residence of disabled and low-income veterans. Funds may be used to support eligible activities that serve the following objectives: 1) Modify and rehabilitate the primary residence of disabled and low-income veterans;2) Rehabilitate such residence that is in a state of interior or exterior disrepair; and3) Install energy efficient features or equipment.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Eligible activities must support the program objectives described above. Awards must be used to support the eligible activities as follows: 1) Install wheelchair

ramps, widen exterior and interior doors, reconfigure and re-equip bathrooms (which include the installations of new fixtures and grab bars), remove doorway thresholds, install special lighting, add additional electrical outlets and electrical service, and install appropriate floor coverings to: (1) accommodate the functional limitations that results from having a disability or (2) residence that do not have modifications necessary to reduce the chances that an elderly, but not disabled person, will fall in their home, reduce the risks of an elderly person from falling.2) Rehabilitate a residence that is in a state of interior or exterior disrepair.3) Install energy efficient feature or equipment if: (1) an eligible veterans monthly utility costs for such residence is more than 5 percent of such veterans monthly income, (2) the energy audit such residence indicates that the installation of energy efficient features or equipment will reduce the cost by 10 percent or more.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Nonprofits organizations that provide nationwide or statewide programs that primarily serve veterans or low-income individuals. Applicants that are nonprofits organizations as described in section 501(c) (3) or 501 (a) (19) of the Internal Revenue Code of 1986 and exempt from tax under section 501 (a) of such Code.

Beneficiary Eligibility:

Private nonprofit institution/organization; Eligible recipients for use of the Veterans Housing Rehabilitation and Modification Program include disabled and low-income veterans.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This pilot program includes coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

HUD will issue a Notice of Funding Opportunity (NOFO) to administer this program. As a result, HUD will review eligible submitted applications and determine application scores. Once rating scores are assigned, rated applications will be listed in ranked order. HUD will send written notifications to both successful and unsuccessful applicants. Applicants will be given the opportunity to amend their budget according to the grant award, in the event HUD awards less than the requested amount prior to entering into the grant agreement. HUD will approve the action plan(s) or notify the grantee of matters that need to be addressed prior to approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. A qualified organization receiving a grant under the pilot program shall contribute towards the housing modification and rehabilitation services provided to eligible veterans an amount equal to not less than 50 percent of the grant award received by such organization. In order to meet the requirement, such organization may arrange for in-kind contributions. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

Awards will be available for a period of up to 36 months from the date of execution of the grant agreement. Method of awarding/releasing assistance: letter

Reports:

Annual report.--The Secretary shall submit to Congress, on an annual basis, a report that provides, with respect to the year for which such report is written. (1) The number of eligible veterans provided assistance under the pilot program; (2) the socioeconomic characteristics of such veterans, including their gender, age, race, and ethnicity; (3) the total number, types, and locations of entities contracted under such program to administer the grant funding; (4) the amount of matching funds and in-kind contributions raised with each grant; (5) a description of the housing rehabilitation and modification services provided, costs saved, and actions taken under such program; (6) a description of the outreach initiatives implemented by the Secretary to educate the general public and eligible entities about such program; (7) a description of the outreach initiatives instituted by grant recipients to engage eligible veterans and veteran service organizations in projects utilizing grant funds under such program; (8) a description of the outreach initiatives instituted by grant recipients to identify eligible veterans and their families; and (9) any other information that the Secretary considers relevant in assessing such program. Financial progress is required with grantees quarterly and annual reports. Performance monitoring will be conducted as a requirement of the grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Applicants must maintain records to satisfy the reporting requirements listed above.

Account Identification:

86-0176-0-1-604.

Obligations:

Salaries and Expenses FY 20 \$4,825,000.00; FY 21 est \$4,000,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

Up to \$1,000,000 per grant.

TAFS Codes:

86-0176.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Headquarter - Office of Rural Housing and Economic Development

Headquarters Office:

Director Jackie L. Williams, Ph.D.,
U.S. Department of Housing and Urban Development
451 7th Street SW, Room 7240,
Washington DC 20410
Email: jackie.williams@hud.gov
Phone: 877-787-2526

Website Address:

<http://www.hud.gov/ruralgateway>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Two types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements. Second, HUD will review and assign scores to applications meeting the threshold requirements. Once

rating scores are assigned, rated applications will be listed in ranked order. After HUD has rated and ranked the applications, applicants within the fundable range of 75 or more points will be funded in ranked order up to the amount requested, or in a lesser amount if sufficient funds are not available. HUD reserves the right to reduce the amount of the award requested.

14.279 Specialized Housing and Services for Victims of Human Trafficking

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR COMMUNITY PLANNING AND DEVELOPMENT, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

To provide benefits and services to victims of severe forms of human trafficking., Title 22 U.S. Code 7105. Protection and assistance for victims of trafficking, Part Victims in the United States, Section (2) Grants

OBJECTIVES:

A demonstration program to address the housing and service needs of victims of human trafficking, including both sex and labor trafficking. This places priority on for funds to place victims of human trafficking in housing that includes trauma-informed, victim-centered services by organizations that have the ability to work with local resources, to address the housing and service needs of victims of human trafficking, as defined by TVPA.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Housing, services, technical assistance

Applicant Eligibility:

Non-Government - General; Human Trafficking Program Eligible Applicants:For the purposes of housing and trauma-informed, client centered services under this NOFA, of which \$13,500,000 is available, additional eligible applicants, and subrecipients, defined as other governments, e.g., town, borough, parish, village or other general purpose political subdivision of a state, territory, or federally recognized Indian tribe government. Additionally, institutions of higher education are eligible if they hold an IRS 501(c)(3) final determinate letter. If the applicant chooses to include subrecipients, the subrecipients must meet the same eligibility requirements as the applicant. If a non-profit organization will submit the application, a copy of the IRS 501(c)(3) must be attached to this application. Additionally, if the application includes subrecipients, non-profit documentation for the subrecipients must also be attached to this application. Human Trafficking Technical Assistance Eligible Applicants:For the purposes of the technical assistance under this NOFA, of which \$1,000,000 is available, the following lists eligible applicants in addition to the eligible applicants listed above under program eligible applicants:Non-profits without 501(c)(3) status with IRS, other than institutions of higher education;Private institutions of higher education;Private institutions other than small businesses; andSmall businesses.

Beneficiary Eligibility:

Program Housing and Services:other governments, e.g., town, borough, parish, village or other general purpose political subdivision of a state, territory, or federally recognized Indian tribe government
Technical Assistance:Non-profits without 501(c)(3) status with IRS, other than institutions of higher education;Private institutions of higher education;Private institutions other than small businesses; andSmall businesses.

Credentials/Documentation:

Nonprofit documentation for program operations, housing and services, as applicable This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for

ASSISTANCE LISTINGS DESCRIPTIONS

more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Following the evaluation process, HUD will notify successful applicants of their selection for funding. HUD will also notify other applicants, whose applications were received by the deadline, but have not been chosen for award. Notifications will be sent by email to the person listed as the AOR in item 21 of the SF424.

Negotiation. After HUD has made selections, some HUD programs may negotiate specific terms of the funding agreement and budget with selected applicants. If HUD and a selected applicant do not successfully conclude negotiations in a timely manner, or a selected applicant fails to provide requested information, an award will not be made to that applicant. In this case, HUD may select another eligible applicant. Consult the program NOFA for specific details. HUD may impose special conditions on an award as provided under 2 CFR 200.207: Based on HUD's review of the applicants risk under 2 CFR 200.205; When the applicant or recipient has a history of failure to comply with the general or specific terms and conditions of a Federal award; When the applicant or recipient fails to meet expected performance goals; or

Deadlines:

Range of Approval/Disapproval Time:

Other. 3 months

Appeals:

Not Applicable. Not Applicable

Renewals:

Other. Non-renewal program

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Requirement: Matching requirements are mandatory. Federal funds awarded under this NOFA may not cover more than 75 percent of the total costs of the project. Information on match or cost sharing requirements are provided at: <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.3b.htm>. The match may be either cash or in-kind services and must be used only for allowable costs under this NOFA.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

3 years (36 months) once the grant agreement has been executed. Method of awarding/releasing assistance: other

Reports:

Reports due annually for the duration of the program. Reports due annually for the duration of the program.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

For the duration of the program.

Account Identification:

86-0192-0-1-604.

Obligations:

Project Grants FY 20 \$1,127,125.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$500,000 to \$600,000 for program operations, housing and services\$1,000,000 for technical assistance

TAFS Codes:

86-0192.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Division Director Karen M. Deblasio,
U.S. Department of Housing and Urban Development; Community Planning and Development; Office of Special Needs Assistance Programs, 451 7th Street, SW, Room 7260, Washington, DC 20410,
Washington DC 20410

Email: Karen.M.Deblasio@hud.gov

Phone: 2024024773

Fax:

Website Address:

<https://www.grants.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Budget Fiscal Year: Projection \$13.5 million for program portion to provide housing and services\$1 million for technical assistance to provide assistance to organizations that receive program funds

CRITERIA FOR SELECTING PROPOSALS:

By signing the forms in the SF-424 either through electronic submission or in paper copy submission (for those granted a waiver), the applicant and the signing authorized representative affirm that they have reviewed the certifications and assurances associated with the application for federal assistance and (1) are aware the submission of the SF424 is an assertion that the relevant certifications and assurances are established and (2) acknowledge that the truthfulness of the certifications and assurances are material representations upon which HUD will rely when making an award to the applicant. If it is later determined the signing authorized representative to the application made a false certification or assurance, caused the submission of a false certification or assurance, or did not have the authority to make a legally binding commitment for the applicant, the applicant and the individual who signed the application may be subject to administrative, civil, or criminal action. Additionally, HUD may terminate the award to the applicant organization or pursue other available remedies. Each applicant is responsible for including the correct certifications and assurances with its application submission, including those applicable to all applicants, those applicable only to federally-recognized Indian tribes, and those applicable to applicants other than federally-recognized Indian tribes. All program-specific certifications and assurances are included in the program Instructions Download on Grants.gov.

14.311 Single Family Property Disposition

HUD Homes,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

National Housing Act, as amended 12 U.S.C. 1710, Sections 203(b), 203(k), 204, 24 CFR 291.,

OBJECTIVES:

To sell HUD single family Real Estate Owned (REO) properties in a manner that expands homeownership opportunities, strengthens neighborhoods and communities, and ensures a maximum return to the FHA mortgage insurance fund.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

The Single Family Property Disposition Program is the marketing and sale of one to four unit properties acquired by HUD after foreclosure of an FHA-insured or Secretary-Held mortgage. Within the general program there are special disposition programs through which a portion of the property inventory is sold. These programs include Good Neighbor Next Door Sales program for law enforcement

ASSISTANCE LISTINGS DESCRIPTIONS

officers, teachers, firefighters or emergency medical technicians, Asset Control Area program, sales to Nonprofits and Local Governments, and the Dollar Home Sales program to Local Governments. Information for each of these programs is covered separately. The majority of foreclosed one to four unit properties are sold through the general disposition program. HUD contracts with private companies to manage and market the properties. Properties are listed at HUD's website located at www.hudhomestore.com, where links are provided to the listings for each state. The listings provide the list price of the property, the property address, a brief description of the property and access to a Property Condition Report prepared by the contractor. Complete information explaining the sales process and earnest money requirements is also available. Bids must be submitted electronically by a HUD-approved real estate broker. An earnest money deposit is required with each bid submitted. Special opportunities are available for bidders who intend to occupy purchased properties as their principal residence. Properties not purchased during this Exclusive Listing Period are then available for the general public, including investors, during an Extended Listing Period. FHA-insured financing may be available to purchase and rehabilitate HUD homes. Interested persons should contact their FHA-approved lender. Refer to http://www.hud.gov/program_offices/housing/sfh/lenderlist for assistance in locating a lender.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Individual/Family; Anyone/general public; Local Governments, HUD-approved Nonprofit organizations, owner occupants, and investors. Additional information can be found toll free at (800) CALL FHA or (800) 225-5342 or www.HUDHomestore.com. Purchasers must have the available cash to close or be pre-approved for mortgage financing.

Beneficiary Eligibility:

Federal; State; Public nonprofit institution/organization; Individual, governmental and organizational homebuyers.

Credentials/Documentation:

Nonprofit organizations must be HUD approved. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HUD homes are managed and marketed by private contractors. A list of contractors and the areas each serves may be found at http://www.hud.gov/program_offices/housing/sfh/reo/mm/mminfo.cfm. The contractors list all HUD homes for sale on their individual Internet websites. Bids for a particular property can be submitted online through a HUD-approved real estate agent at www.HUDHomestore.com. Purchasers must be pre-approved for mortgage financing or have available funds to close. FHA financing may be available. A list of HUD approved mortgage lenders is located at our website, https://www.hud.gov/program_offices/housing/sfh/lender/lenderlist

Award Procedure:

Properties are generally sold competitively to the bidder with the highest net offer. Direct sales may be made where permitted by regulations.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Annual. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Nonprofit organizations purchasing homes at a discount must retain information about the acquisition, rehabilitation and resale of discounted properties. This includes copies of the closing disclosure statement for the resale and other documents (see https://www.hud.gov/program_offices/housing/sfh/np).

Account Identification:

86-4077-0-3-371. 86-4587-0-3-371.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$1,953,318,466.00; FY 21 est \$1,800,000,000.00; FY 22 est \$1,800,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4587. 86-4077.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact the FHA Resource Center at <https://www.hud.gov/FHAFAQ> or by calling toll free at (800) CALL FHA or (800) 225-5342. Available properties can be found at www.HUDHomestore.com

Headquarters Office:

FHA Resource Center,
451 7th St. SW,
Washington DC 20410
Email: answers@hud.gov
Phone: (800) 225-5342
Fax:

Website Address:

https://www.hud.gov/program_offices/housing/sfh/reo

RELATED PROGRAMS:

14.108 Rehabilitation Mortgage Insurance; 14.198 Good Neighbor Next Door Sales Program; 14.117 Mortgage Insurance_Homes; 14.313 Dollar Home Sales;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.313 Dollar Home Sales

Dollar Home Program, \$1 Home Program,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,

DEPARTMENT OF

AUTHORIZATION:

Housing Notice 00-07, dated April 28, 2000 (and subsequent extensions),

OBJECTIVES:

To expand HUD's partnership with local governments in helping to foster housing opportunities for low-to-moderate income families and address specific community

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needs.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Single family homes with a current as-is market value of \$25,000 or less that are acquired through foreclosure of Federal Housing Administration (FHA) insured loans will be available for purchase by local governments at a price of \$1 plus closing costs, after the properties have been actively marketed for at least 6 months and remain unsold. The property must be located with the jurisdiction of the purchasing local government with the intent of using the property for a "clear public purpose". FHA Single Family Housing Policy Handbook 4000.1, Section IV.B.2.f.viii outlines the details of the program. The Handbook can be found at <https://www.hud.gov/sites/documents/40001HSGH.PDF> Available properties are listed at HUD's website located at <http://www.hudhomestore.com/Home/Index.aspx> where eligible governmental entities can search listings by location and buyer type.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Units of local government.

Beneficiary Eligibility:

Local; Units of local government and their participants.

Credentials/Documentation:

Governmental entities may purchase properties that are not under contract and have been listed for sale for at least 180 days and are valued at \$25,000 or less. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit HUD-9548, Sales Agreement, stating a purchase price of one dollar (\$1.00) plus closing costs.

Award Procedure:

Evaluation of the documentation required by the application procedure evidenced by HUD's execution of the Sales Agreement and approval of the purchaser's plan for use of the property.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Properties assigned to this program are offered for 10 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

All annual reports submitted by local governments will be maintained by HOC Program Support Staff Director.

Account Identification:

86-4587-0-3-371, 86-4077-0-3-371.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$0.00; FY 21 est

\$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-4077, 86-4587.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Contact the FHA Resource Center at <https://www.hud.gov/FHAFAQ> or by calling (800) CALL FHA or (800) 225-5342. Available properties can be found at www.HUDHomestore.com

Headquarters Office:

FHA Resource Center,
451 7th Street, SW,
Washington DC 20410
Email: answers@hud.gov
Phone: (800) 225-5342
Fax:

Website Address:

<https://www.hudhomestore.com/Home/DollarHomes.aspx>

RELATED PROGRAMS:

14.311 Single Family Property Disposition;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.316 Housing Counseling Training Program

Housing Counseling Training Grant,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING
COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,
DEPARTMENT OF
AUTHORIZATION:

Housing and Urban Development Act of 1968, as amended., Title Housing and Urban Development Act of 1968 (12 U.S.C. 1701x), Part Part 214, Section Section 106

OBJECTIVES:

This program supports, under cooperative agreements with HUD, the delivery of a broad array of training activities for counselors from agencies participating in HUD's Housing Counseling program. The primary objectives of the program are to improve and standardize the quality of counseling provided by housing counselors working with HUD-approved housing counseling agencies and to make training accessible and affordable.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To annually provide one or more housing counseling training grants to qualified organizations. The grant will fund the training of counselors of agencies participating in HUD's Housing Counseling program.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be public or private nonprofit organizations with a least two years of experience providing housing counseling training services nationwide.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Specialized group (e.g. health professionals, students, veterans); Housing Counselors from HUD-approved housing counseling agencies.

Credentials/Documentation:

Each applicant is required to submit, for itself and for any organization with which it is partnering for the purpose of this NOFA, a legible copy of the document that supports the applicant's claim to be a nonprofit organization (for example, a 501(c) letter issued by the IRS). The documentation must contain the official name, address, and telephone number of the legal authority that granted the nonprofit status. Branches or affiliates of the applicant that are part of the proposed work plan must also be nonprofit entities. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The availability of funds (HUD NOFA) is announced on HUD's website, www.hud.gov. For a copy of the NOFA, applicants can visit the HUD website (www.hud.gov).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit by the required date the items and forms requested in the NOFA, such as a description of the housing counseling training services to be provided, and indicators by which benefits impact will be measured. Each applicant is required to submit, for itself and for any organization with which it is partnering for the purpose of this NOFA, a legible copy of the document that supports the applicant's claim to be a nonprofit organization (for example, a 501 (c) letter issued by the IRS). The documentation must contain the official name, address, and telephone number of the legal authority that granted the nonprofit status. Branches or affiliates of the applicant that are part of the proposed work plan must also be nonprofit entities., "is Applicable" Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applicants will be evaluated competitively and ranked against all other applicants that applied in the same funding category. A funding formula outlined in the NOFA is used to calculate awards. This program is subject to the uniform administrative requirements, Cost Principles, and Audit requirements for Federal Awards, at 2.C.F.R. 200.317 TO 200.326.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. For a period of at least 120 days, beginning 30 days after the public announcement of awards under this NOFA, HUD will provide a debriefing related to their application to requesting applicants. A request for debriefing must be made in writing or by email by the authorized official whose signature appears on the SF424 or by his or her successor in office and be submitted to the HUD point of contact. Information provided during a debriefing may include the final score the applicant received for each rating factor, final evaluator comments for each rating factor, and the final assessment indicating the basis upon which funding was approved or denied.

Renewals:

Not Applicable. Grantees must submit a new application and compete through the NOFA each year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding assistance is for a discrete period of time, generally 1 year. Grantees invoice HUD on a periodic basis for housing counseling training services delivered. Payments of grant funds are subsequently made to grantees via direct deposit/electronic funds transfer (DD/EFT). Method of awarding/releasing assistance: quarterly

Reports:

HUD requires recipients to submit performance and financial reports under OMB guidance and program instructions. All HUD-funded programs, including this program, require recipients to submit, not less than annually, a report documenting achievement of outcomes under the purpose of the program and the workplan in the award agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except a noted in 2 CFR 200.503.

Records:

As required in the grant cooperative agreement.

Account Identification:

86-0156-0-1-604.

Obligations:

Project Grants FY 20 \$500,000.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Average award size is \$407,909.

TAFS Codes:

86-0156.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Brian N. Siebenlist,
451 7th Street SW,
Washington DC 20410
Email: Brian.N.Siebenlist@hud.gov
Phone: 202-402-5415
Fax:

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/hcc/hcc_home

RELATED PROGRAMS:

14.169 Housing Counseling Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Project Grants

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.322 Tenant Education and Outreach Program

(TEO),

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING
COMMISSIONER, HOUSING AND URBAN DEVELOPMENT,
DEPARTMENT OF
AUTHORIZATION:

Section 514 of the Multifamily Assisted Housing Reform and Affordability Act of 1997 (MAHRA),

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

The purpose of the Tenant Education and Outreach (TEO) Program is to make grants to applicant organizations to assist, inform, educate and engage tenants of eligible project-based Section 8-assisted properties regarding their rights, responsibilities and options in response to a property owners filing a notice of Opt-Out or mortgage prepayment, in response to poor property conditions, or in anticipation of an expiring Section 8 contract or maturing mortgage on the property. The program aims to engage tenants in efforts to preserve eligible properties as affordable housing, work collaboratively with owners and with HUD to address problems, and to provide tenants with information on their own rights and responsibilities based on 24 CFR Part 245 and guidance in HUD handbook 4381.5.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants shall engage in required and optional outreach activities as described in the program Notice of Funding Availability (NOFA).

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are non profit organizations with current IRS 501(c)(3) tax-exempt status. Eligible applicants shall demonstrate experience with tenant education and outreach work, and may not have an identity of interest with any owner or management entity of any property where TEO activities are proposed.

Beneficiary Eligibility:

Individual/Family; Moderate Income; Low Income; Tenants in identified TEO eligible properties will ultimately benefit from the program.

Credentials/Documentation:

Outlined in program NOFA. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Specified in program NOFA.

Award Procedure:

Specified in program NOFA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. See program NOFA and HUD General Section.

Appeals:

Not Applicable. See program NOFA and HUD General Section.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Period of performance is not to exceed 36 months. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

See program NOFA.

Account Identification:

86-0303-0-1-604.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

200000 to 720000

TAFS Codes:

86-0303.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See Program NOFA.

Headquarters Office:

Elizabeth Cochran,
451 7th St SW Room 6182,
Washington DC 20410
Email: elizabeth.s.cochran@hud.gov
Phone: 202-402-6763

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.326 Project Rental Assistance Demonstration (PRA Demo) Program of Section 811 Supportive Housing for Persons with Disabilities

Section 811 PRA,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

Section 811 of the Cranston-Gonzalez National Affordable Housing Act, Public Law 101-625, as amended by the Frank Melville Supportive Housing Investment Act, Public Law 111-374., , 42 USC Code 8013.

OBJECTIVES:

The Project Rental Assistance (PRA) provides funding to state housing agencies for project-based rental operating assistance for extremely low-income persons with disabilities. The primary purpose is to identify, stimulate, and support innovative state-level strategies that will transform and increase housing for low-income persons with disabilities while also making available appropriate support and services.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Project Rental Assistance funds (PRA) are provided to cover the difference between the approved rents of the unit and the tenants contributions toward rent (30 percent of income). The funds are provided to support the development of supportive housing units for extremely low income persons with disabilities in multifamily properties.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Other public institution/organization; State; Any housing agency currently allocating Low Income Housing Tax Credits (LIHTC) under IRS Section 42 or any housing or Community Development Agency currently allocating and overseeing in good standing HOME Funds or the Section 8 Program or a similar program are eligible applicants, and only one housing agency per state is eligible. Only states that are developing partnerships or that have existing partnerships with State Health Care and Human Service Agency or agencies and the state Medicaid Agency for supportive services are eligible.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Low Income; Extremely low-income non-elderly (18-62 years of age) persons with disabilities that are eligible for community-based, long-term care as provided through Medicaid waivers, Medicaid state plan options or other appropriate services. Eligible applicants will provide these PRA project-based rental assistance funds to not more than 25% of the units in multifamily developments for extremely low-income persons with disabilities which are the beneficiaries.

Credentials/Documentation:

This PRA Program is designed to develop and support sustainable partnership with State Housing Agencies and State Health and Human Services and State Medicaid Agencies that will result in long-term strategies to provide permanent affordable rental housing for persons with disabilities receiving Medicaid services in the community. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. A Finding of No Significant Impact (FONSI) with respect to the environment has been made for this program in accordance with HUD regulations at 24 CFR Part 50, which implement section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332(2)(C)).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Funding Availability (NOFA) is posted on Grants.gov each fiscal year, subject to the availability of funds, announcing the availability of funds to potential applicants. Applicants must submit an application in response to the NOFA.

Award Procedure:

Applications are reviewed and selected for funding based upon the ranking and rating factors outlined in the NOFA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications must be submitted within the time period specified in the NOFA. At the end of the application submission period, applicants are notified within 150 days of their conditional approval.

Appeals:

Other. See program NOFA

Renewals:

Other. Based on appropriations. See Program NOFA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All PRA units must record a 30 year use agreements and all PRA Demo rental assistance contracts shall be for a minimum of 20 years. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly and annually. See program NOFA. Grantees will be required to provide special reporting to allow HUD to evaluate the results of the program. See program NOFA.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

See program NOFA.

Account Identification:

86-0237-0-3-371.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

1,000,000-12,000,000

TAFS Codes:

86-0237.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Housing Program Manager Belinda Koros,
451 7th St SW Room 6158,
Washington DC 20410
Email: belinda.p.koros@hud.gov
Phone: 202-402-3615

Fax:

Website Address:

https://www.hud.gov/program_offices/housing/mfh/grants/section811ptl

RELATED PROGRAMS:

14.181 Supportive Housing for Persons with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Program NOFA

14.327 Performance Based Contract Administrator Program

PBCA Program,

FEDERAL AGENCY:

ASST SECRETARY FOR HOUSING--FEDERAL HOUSING COMMISSIONER, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF
AUTHORIZATION:

United States Housing Act of 1937, Section 2a1.8b1.3b6A

OBJECTIVES:

It is the policy of the United States to promote the general welfare of the Nation by employing funds and credit of the Nation, as established in section 2 of the 1937 Act, of assisting States and their political subdivisions {e.g., Public Housing Authorities (PHAs)} to remedy the unsafe housing conditions and the acute shortage of decent and safe dwellings for low-income families as well as address the shortage of housing affordable to low-income families. The PBCA program furthers these policies by effectuating authority explicitly under section 8(b)(1) of the 1937 Act for HUD to enter into Annual Contributions Contracts (ACCs) with PHAs for the administration of Section 8 Housing Assistance Payments (HAP) contracts. For the purpose of aiding lower-income families in obtaining a decent place to live and of promoting economically mixed housing, assistance payments may be made with respect to existing housing in accordance with the provisions of this section. For the project-based subprograms authorized under Section 8, the 1937 Act authorizes HUD to enter into an ACC with a PHA, as defined in section 3(b)(6)(A) of the 1937 Act. The ACC is the contractual mechanism to support the PHAs public purpose in making the assistance payments to Section 8 project

ASSISTANCE LISTINGS DESCRIPTIONS

owners. This program provides assistance to PHAs for the administration of Project-Based Section 8 program within a State.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

HUD is authorized to enter into an ACC with a PHA for the administration of Section 8 HAP contracts through the PBCA program. Under the ACC, the PHA will provide ongoing contract administration services for units receiving project-based Section 8 housing assistance.

Applicant Eligibility:

Other public institution/organization; State; HUD published Notice of Funding Availability (NOFA) to solicit applications from PHAs to administer project-based Section 8 HAP contracts as PBCAs for the 42 States, which are listed in Appendix A of the program NOFA. Applications were submitted through Grants.gov, the federal portal for finding and applying for funding opportunities for competitive programs.

Beneficiary Eligibility:

Low Income; Families currently receiving assistance as long as their income does not exceed 80 percent of area median income adjusted for family size.

Credentials/Documentation:

The entity that has entered the ACC with HUD must certify that it is a PHA as required by Federal law, and that it has jurisdiction and authority to carry out Section 8 contract administration services in the agreed service area in accordance with the ACC. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HUD published a Notice of Funding Availability (NOFA) to solicit applications from PHAs to administer project-based Section 8 HAP contracts as PBCAs. Applications were submitted through Grants.gov, the federal portal for finding and applying for funding opportunities for competitive programs.

Award Procedure:

Awards were made to applicants that met the funding criteria in the Notice of Funding Availability.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. There is no formal appeal process. Applicants may request a debriefing on the pros and cons of their application as determined in the final rating and ranking of the applications.

Renewals:

Other. Contract term and renewal options for administration of project-based Section 8 HAP under the PBCA program are specified in the Notice of Funding Availability and incorporated into the grantee ACC.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is awarded based on availability. Method of awarding/releasing assistance: other

Reports:

Reports must be submitted in accordance with the ACC and other applicable HUD guidance. Annual management and occupancy review. Monthly, quarterly and an annual report to HUD. The owner must submit to HUD within 60 days after the end of each fiscal year of the project, financial statements for the project audited by an Independent Public Accountant and other statements as to project operation,

financial conditions and occupancy.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 If the PHA is required to submit audited financial statements under OMBs Circular A-133, the PHA must submit audited financial statements that fully comply with those current requirements. The owner must permit HUD to review and audit the management and maintenance of the project at any time to assure the owner is meeting its obligation to maintain the units and related facilities in decent, safe, and sanitary condition.

Records:

All program records must be kept by the PBCA for three years. During the three-year period, the PBCA can maintain the storage of the records as they determine to be appropriate, i.e. on site or in a storage area. The three-year period is consistent with the archiving requirements according to HUD Handbook 4350.5, Contract Administration, for non-performance based ACC. During the three-year period, HUD reserves the right to request documents that the PBCA may have available and which HUD determines to be critical to retain by HUD. Section 12 of the PB-ACC provides general guidelines for the maintenance of program records. The owner must reexamine the income and composition of all families at least once each year and prepare and furnish other information required under the Section 8 contract.

Account Identification:

86-0303-0-1-604. 86-0319-0-1-604.

Obligations:

Direct Payments for Specified Use FY 20 \$345,000,000.00; FY 21 est \$350,000,000.00; FY 22 est \$350,000,000.00;

Range and Average of Financial Assistance:

Estimated \$20 million per month for entire program.

TAFS Codes:

86-0319. 86-0303.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 886 Section 8 Housing Assistance Payments Program - Special Allocations; 24 CFR 880 - Section 8 Housing Assistance Payments Program for New Construction; 24 CFR 881 - Section 8 Housing Assistance Payments Program for Substantial Rehabilitation; 24 CFR 883 - Section 8 Housing Assistance State Housing Agencies; 24 CFR 884 - Section 8 Housing Assistance Payments Program for New Construction Set Aside for Section 515 Rural Rental Housing Projects; 24 CFR 891 Subpart E - Loans for Housing for the Elderly or Persons with Disabilities; HUD Section 8 Renewal Policy Guide (1/15/08).

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Housing Assistance and Contract Administration Toby Halliday,
451 7th Street SW,
Washington DC 20410
Email: Tobias.J.Halliday@hud.gov
Phone: 202-708-2495

Website Address:

https://www.hud.gov/program_offices/housing/mfh/rfp/ca_docs

RELATED PROGRAMS:

14.195 Section 8 Housing Assistance Payments Program_Special Allocations;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See program NOFA Notice of Funding Availability for details.

ASSISTANCE LISTINGS DESCRIPTIONS

14.400 Equal Opportunity in Housing

Fair Housing.

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR FAIR HOUSING AND EQUAL OPPORTUNITY, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Prohibits discrimination in housing-related transactions because of race, color, religion, sex, national origin, familial status, and disability status., Title Title VIII of the Civil Rights Act of 1968 (The Fair Housing Act), Part 24CFR Part 14 et al., Section Section 800 [42 U.S.C. 3600 et seq.]

OBJECTIVES:

To provide fair housing throughout the United States and an administrative enforcement system which is subject to judicial review.

TYPES OF ASSISTANCE:

Investigation of Complaints, Salaries and Expenses

USES AND USE RESTRICTIONS:

With limited exceptions, housing offered for sale or rental may not be advertised, sold, rented, financed or appraised in a manner which discriminates on the basis of race, color, religion, sex, national origin, familial status or disability status. In addition, housing providers and others must allow reasonable modifications to units and provide reasonable accommodations when these are necessary to allow a person with a disability equal opportunity to use and enjoy housing. Finally, multi-family housing built for first occupancy after March 13, 1991 must comply with the established accessibility guidelines. Complaints of discrimination are investigated and conciliated. When conciliation is unsuccessful and where investigation indicates reasonable cause exists to believe that discrimination occurred, or is about to occur, HUD will charge the case and either party may elect to pursue the matter before an administrative law judge or in federal district court. The Department of Justice will file the matter in Federal court or HUD pursues the charge in the administrative forum. Also, conferences and training sessions are held to explain rights and responsibilities under the law to attorneys, developers, the real estate industry and the general public. Only complaints deemed to be jurisdictional under the Fair Housing Act may be investigated.

Applicant Eligibility:

Anyone/general public; Any aggrieved person, or the Assistant Secretary, may file a complaint based on an alleged discriminatory housing practice because of race, color, religion, sex, national origin, familial status or disability status. The complaint may be filed with the Department of Housing and Urban Development or a State or local fair housing agency whose law has been determined to be substantially equivalent to the Federal Fair Housing Act.

Beneficiary Eligibility:

Anyone/general public; Anyone alleging to have been discriminated against in violation of the Fair Housing Act.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, Cost Principles, and Audit Requirements for Federal Awards. Complainants may file a complaint by contacting HUDs toll free discrimination hotline, by sending a complaint of discrimination by postal mail or by electronic email, or by submitting a complaint on HUDs website.

Award Procedure:

None.

Deadlines:

Complaints must be filed within one year from the date of the last discriminatory act.

Range of Approval/Disapproval Time:

Not Applicable. None

Appeals:

Other. Depends upon result of complaint processing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Complaints must be filed within one year of the date of the alleged discrimination.

Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

86-0340-0-1-751.

Obligations:

Salaries and Expenses FY 20 \$69,942,000.00; FY 21 est \$71,622,009.00; FY 22 est \$92,490,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

86-0479.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations implementing the Fair Housing Act, 24 CFR Part 100; Fair Housing Its Your Right (HUD-1686-FHEO); Fair Housing Complaint Processing, 24 CFR Part 103; Administrative Proceedings Under Section 812 of the Fair Housing Act, 24 CFR Part 180; Consolidated HUD Hearing Procedures for Civil Rights Matters Certification of Substantially Equivalent Agencies, 24 CFR Part 115; Preamble to the Final Rule Implementing the Fair Housing Amendments Act of 1988, 54 Federal Register 3232 (1989); CFR Ch I, Subch. A, App II.

Regional or Local Office:

None. HUD Fair Housing and Equal Opportunity Office listed in Appendix IV of the Catalog.

Headquarters Office:

Director Lynn M. Grosso, Director of Enforcement,
451 7th Street, S.W., Room 5208,
Washington DC 20410
Email: Lynn.M.Grosso@hud.gov
Phone: 202-402-6987

Website Address:

<http://www.hud.gov/complaints/housediscrim.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

14.401 Fair Housing Assistance Program State and Local

(FHAP),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR FAIR HOUSING AND EQUAL OPPORTUNITY, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

The Fair Housing Act 42 U.S.C. 3600 et seq.,

OBJECTIVES:

To provide assistance to State and local fair housing enforcement agencies for complaint processing, training, technical assistance, education and outreach, data and information systems and other activities that will further fair housing within the agency's jurisdiction. The intent of the Fair Housing Assistance Program (FHAP) is to build a coordinated intergovernmental enforcement of fair housing laws and provide incentives for States and localities to assume a greater share of responsibility for administering fair housing laws.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

The FHAP program provides funds on a formula basis to fully certified State and local agencies for complaint processing, administrative costs, and training associated with the enforcement of a substantially equivalent fair housing law. State and local fair housing enforcement agencies in their first three years of participation in the FHAP, which are interim certified, receive a fixed amount of funding to build the capacity of the agency to enforce substantially equivalent fair housing laws in lieu of the formula funding provided to fully certified agencies.

Applicant Eligibility:

Other public institution/organization; State; Eligible applicants include State and local government fair housing enforcement agencies administering fair housing laws that have been certified by HUD as providing substantially equivalent rights and remedies as those provided by the Fair Housing Act and which have executed formal written Agreements with HUD to process housing discrimination complaints. In determining eligibility, HUD may also take into consideration whether a jurisdiction is already served by a FHAP agency.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Any person or group of persons aggrieved by a discriminatory housing practice because of race, color, religion, sex, disability, familial status or national origin. Also includes organizations (e.g. fair housing groups) that have suffered an injury as a result of a discriminatory housing practice.

Credentials/Documentation:

Costs will be determined in accordance with the Uniform Administrative Requirements, Costs Principles, and Audit Requirements for Federal Grants. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. None.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Certification and Funding of State and Local Fair Housing

Enforcement Agencies, Chapter 24, Part 115, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements are executed for one year, they are non-competitive, and provide funding for complaint processing, administrative costs, and training for contribution agencies. Capacity building funds are also provided under a cooperative agreement for a period of up to three years. For detailed program information under each funding component, contact the Fair Housing and Equal Opportunity Region or Headquarters Office. Fixed price cooperative agreements are anticipated to be awarded with payments to be made in accordance with a schedule of dates set out in the cooperative agreements. Method of awarding/releasing assistance: lump sum.

Reports:

FHAP agencies are subject to a Performance Assessment at least every 24 months. The PAR examines performance with respect complaint processing and also with respect to the program's budget and finance requirements and recordkeeping requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program -specific audit conducted for that year. Non - Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State and local agencies are required to maintain housing discrimination complaint files for a period of one year after closure.

Account Identification:

86-0144-0-1-751.

Obligations:

Formula Grants FY 20 \$25,741,782.00; FY 21 est \$25,832,311.00; FY 22 est \$27,584,203.00;

Range and Average of Financial Assistance:

Funding is provided for capacity building, complaint processing, training, and administrative costs. Funding may also be available for partnership efforts and special enforcement efforts.

TAFS Codes:

86-0144.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 24 CFR Part 115 for regulations on the Certification and Funding of State and local Fair Housing Enforcement Agencies.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Director, Fair Housing Assistance Program (FHAP) Erik L.Steinecker,
451 7th Street, S.W.,
Washington DC 20410
Email: Erik.L.Steinecker@hud.gov
Phone: 202-402-5158

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHAP/index.cfm>.

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Investigation, conciliation, and litigation of housing discrimination

ASSISTANCE LISTINGS DESCRIPTIONS

complaints brought under state and local laws that are substantially equivalent to the federal Fair Housing Act and fair housing partnerships that assist states and localities carry out provisions of state and local laws that are substantially equivalent to the federal Fair Housing Act.

CRITERIA FOR SELECTING PROPOSALS:

Funding to a state or local agency is contingent on appropriation from Congress and the state or local agency's enforcement of a law that, on its face and in operation, is substantially equivalent to the Fair Housing Act.

14.408 Fair Housing Initiatives Program

(FHIP),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR FAIR HOUSING AND EQUAL OPPORTUNITY, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Title Housing and Community Development Act of 1987, Section 561 Public Law 100-242

OBJECTIVES:

To develop, implement, carry out, or coordinate programs and/or activities to educate the public about, as well as provide enforcement of, fair housing rights under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include provisions for strengthening existing or establish new fair housing enforcement organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Housing/Financial assistance may be used for enforcement-related or education and outreach projects conducted to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Opportunity. Financial assistance is provided for projects conducted by public or private entities to further fair housing. However, fair housing enforcement projects, including those to support the continued development of existing fair housing organizations and to establish new ones, may only be awarded to fair housing enforcement organizations under the Fair Housing Act or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Education and outreach projects may generally be awarded to a wider variety of organizations including public or private or not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices, as well as faith-based community-based organizations and State or local government agencies.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State and local government agencies, public or private nonprofit organizations or institutions- and other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices. Applicants for funding of testing activities must have at least one year of experience in complaint intake, complaint investigation, testing for fair housing violations, and enforcement of meritorious claims. Fair housing enforcement organizations and nonprofit groups organizing to build their capacity to provide fair housing enforcement are also eligible applicants. Additionally, eligibility requirements are outlined in the NOFO.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex, disability, familial status or

national origin. Also, any person or group of persons, including landlords and real estate agents, to prevent discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Applicants must submit applications in accordance with the Notice of Funding Opportunity (NOFO) criteria including specific HUD Forms/Assurances/Certifications, narrative Rating Factor responses, Statement of Work and Budget, and an eligibility certification. Applicants that apply for funding under FHIP must adhere to costs principles as determined accordance with 2 CFR Part 200.400 (Subpart E-Cost Principles). Applicants for enforcement related activities must provide written verification of 5401 (c) (3) status. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply electronically unless a waiver is granted. Applicants must have a valid SAMs Registration, and be registered with Grants.gov. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200.200 (Subpart C-Pre-Federal Award Requirements and Contents of Federal Awards) apply to this program. Applicants must be submitted in accordance with requirements and forms provided in the NOFO. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Funds are awarded in accordance with the NOFO. A Technical Evaluation Panel (TEP) rates and ranks applicants based on review of 5 rating factors, preference points, past performance and applicant risk.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Deadlines are listed under www.grants.gov and within 120-180 daysThe signing official or designee has the final authority for awards.

Appeals:

From 30 to 60 days. 30-60 days

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the NOFO with project periods generally ranging from 12 to 36 months. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports are required. Eligible applicants must submit reports applicable under 2 CFR 200. (Subpart D). Annual performance monitoring is required Grantees are assessed quarterly on their performance of timely submission of deliverables, the quality of their submissions and their efficiency in meeting specified timelines for drawing down funds and financial management. A final report of all cumulative activities is also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

ASSISTANCE LISTINGS DESCRIPTIONS

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

2 CFR 200

Account Identification:

86-0144-0-1-751.

Obligations:

Project Grants FY 20 \$73,409,120.00; FY 21 est \$54,620,881.00; FY 22 est \$55,050,000.00;

Range and Average of Financial Assistance:

\$125,000-\$1,000,000

TAFS Codes:

86-0144.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Kimberly R. Harley,
451 7th Street, S.W.Room 5222,
Washington DC 20410
Email: Kimberly.R.Harley@Hud.gov
Phone: 202-402-4753
Fax:

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>.

RELATED PROGRAMS:

14.401 Fair Housing Assistance Program_State and Local;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are selected and points are provided according to NOFO selection criteria. This criteria is included in the NOFO, as posted on www.Grants.gov.

14.416 Education and Outreach Initiatives

(FHIP EOI),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR FAIR HOUSING AND EQUAL OPPORTUNITY, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815. Section 905 which, revises and expands the Fair Housing Initiatives Program to include changes in fair housing and lending laws/regulations since the Housing and Community Development Act of 1987, as amended. (42 U.S.C. 3616 Sec. 3616a), Title Housing and Community Development Act of 1987, Part Public Law 100-242, as amended, Section Section 561., Revises and expands the Fair Housing Initiatives Program to include changes in fair housing and lending laws/regulations since the Housing and Community Development Act of 1987, as amended., Title Housing and Community Act of 1992, Part Public Law 102-550, 101 Stat. 1815, Section Section 905

OBJECTIVES:

To assist organizations that are regional, and local, community based and National based programs that develop, implement, carry out, or coordinate programs and/or activities to educate the public about their rights under, the Fair Housing Act (42 U.S.C. 3601-3619) or about State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance may be used for education and outreach projects conducted to educate the public to assist in preventing or eliminating discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and the NOFO. Financial assistance is provided to public and private entities that conduct projects that further fair housing through education and outreach projects. Funds may not be used for purposes of settling claims, satisfying judgments or fulfilling court orders in any litigation action involving either the Department or housing providers funded by the Department. Funds may not be used by the Department for administrative costs. Funds may not be used for payment of expenses in connection with litigation against the United States. Yes, discretionary funds are available.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Qualified Fair Housing Enforcement Organizations, other Fair Housing Enforcement Organizations, other non-profit organizations representing groups of persons protected under Title VIII of the Civil Rights Act of 1968, State and local agencies certified by the Secretary under Section 810(f) of the Fair Housing Act, or other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices.

Beneficiary Eligibility:

Individual/Family; Consumer; Homeowner; State; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Local; Public nonprofit institution/organization; Other public institution/organization;

Credentials/Documentation:

Applicants must submit applications in accordance with the Notice of Funding Opportunity (NOFO) criteria including specific Forms/Assurances/Certifications, narrative Rating Factor responses, Statement of Work and Budget, and an eligibility certification. Applicants must adhere to costs as determined by 2 CFR 200.400 (Subpart E- Cost principles), Subpart E-Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply electronically unless a waiver is granted. Applicants must have a valid SAMs Registration, be registered with Grants.gov, and meet Departmental Thresholds and Program Requirements for eligibility as outlined in the NOFO. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability.

Deadlines:

April 27, 2021-June 14, 2021.

Range of Approval/Disapproval Time:

From 120 to 180 days. The date range for approval/disapproval ranges from 120 to 180 days. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Appeals:

Other. Two to six months.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Not Applicable. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Opportunity with project periods ranging from 12 to 18 months. Method of awarding/releasing assistance: Assistance is awarded through funding documents and grant agreements obligating documents. Funds are released through HUD's financial system. The NOFO specifies the award/funding information, including the length of project period. Method of awarding/releasing assistance: other

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Eligible applicants must submit reports applicable under 2 CFR 200.32-337 Reports and Records, and reporting under Form HUD 96010. Annual monitoring is required. Recipients must submit performance and financial reports pursuant to OMB guidance and program instructions. Recipients must submit performance and financial reports pursuant to OMB guidance and program instructions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

2 CFR 200.334-338

Account Identification:

86-0144-0-1-751.

Obligations:

Cooperative Agreements FY 20 \$14,919,335.00; FY 21 est \$7,130,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

\$125,000 to 1,000,000

TAFS Codes:

86-0144.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Kimberly Harley,
451 7th Street, S.W. Room 5222,
Washington DC 20410
Email: Kimberly.R.Harley@Hud.gov
Phone: 202-402-4753

Fax:

Website Address:

<http://www.hud.gov/offices/theo/partners.FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are based on rating and ranking by a Technical Evaluation panel. Under this competitive process, applicants are ranked based on Rating Factors points, preference points, and past performance and applicant risk. The specific criteria and points assigned are listed in the Notice of Funding Availability.

14.417 Fair Housing Organization Initiatives

(FHIP FH01),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR FAIR HOUSING AND EQUAL OPPORTUNITY, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended. Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815, which revises and expands the Fair Housing Initiatives Program to include changes in fair housing and lending laws/regulations since the Housing and Community Development Act of 1987, as amended. (42 U.S.C 3616, Sec. 3616a)The law authorizes the Fair Housing Initiatives Program (FHIP) to make grants or enter into contracts or cooperative agreements with State or local governments or their agencies, public or private non-profit organizations or institutions, or other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices under the Fair Housing Act., Title Housing and Community Development Act of 1987, Part Public Law 100-242, as amended, Section Section 561, Title Housing and Community Act of 1992, Part Public Law 102-550, 101 Stat. 1815, Section Section 905

OBJECTIVES:

To assist in the organizing of fair housing enforcement organizations that will in order to develop, implement, carry out, or coordinate programs and/or activities that provide enforcement of fair housing rights under the Fair Housing Act; (42 U.S.C. 3601-3619) or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include provisions for strengthening existing fair housing enforcement organizations as well as establishing new organizations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in accordance with the annual Notice of Funding Opportunity. Fair housing enforcement projects, including those to support the continued development of existing fair housing organizations and to establish new ones, may only be awarded to fair housing enforcement organizations under the Fair Housing Act or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Qualified Fair Housing Enforcement Organizations or Fair Housing Enforcement Organizations and nonprofit groups organizing to build their capacity to provide fair housing enforcement.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Individual/Family; Consumer; Homeowner; State; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Local; Public nonprofit institution/organization; Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex disability familial status or national origin. Any person or group of persons, including landlords or real estate agents, to prevent discriminatory housing practices based on race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Applicants must submit applications in accordance with the Notice of Funding Opportunity (NOFO) criteria including specific HUD forms/Assurances/Certifications, narrative Rating Factor responses, Statement of Work and Budget, and an eligibility certification. Applicants must adhere to cost principles as determined in accordance with 2 CFR Part 200.400 (Subpart E-Cost Principles). Applicants for enforcement related activities must provide written verification of 501 (c) (3) status. Applicants proposing testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov. Applicants must apply electronically unless a waiver is granted. Applicants must have a valid SAMs Registration, be registered with Grants.gov, and meet Departmental Thresholds and Program Requirements for eligibility as outlined in the Notice of Funding Opportunity. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the selection criteria included in the published Notice of Funding Opportunity. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

FY 2021 NOFO open/due date period: April 27, 2021-June 14, 2021.

Range of Approval/Disapproval Time:

Other. The approval/dismissal process begins 30 days after funding awards to at least a period of 120 days and depends on the number of applications received and other factors. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Appeals:

Other. Begin 30 days after funding awards to at least a period of 120 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the NOFO with project periods generally ranging from 12 to 18 months. Method of awarding/releasing assistance: other

Reports:

Program reports are not required. Quarterly performance assessment reports are applicable. Cash reports are not applicable. Expenditure reports are not applicable. Quarterly progress reports will be required in accordance with the NOFO. Eligible applicants must submit reports applicable under 2 CFR Part 200. Annual

performance monitoring is required. Recipients must submit performance and financial reports pursuant to OMB guidance and program instructions. Grantees are assessed quarterly on their performance of timely submission of deliverables, the quality of their submissions and their efficiency in meeting specified timelines for drawing down funds and financial management. A final report of all cumulative activities is also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.501.

Records:

2 CFR 200.334.338

Account Identification:

86-0144-0-1-751.

Obligations:

Project Grants FY 20 \$750,000.00; FY 21 est \$2,250,000.00; FY 22 est \$3,700,000.00;

Range and Average of Financial Assistance:

\$125,000-\$1,000,000

TAFS Codes:

86-0144.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Assistance Locations. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog

Headquarters Office:

Kimberly Harley,
451 7th Street, S.W. Room 5222,
Washington DC 20410
Email: Kimberly.R.Harley@Hud.gov
Phone: 202-402-4753
Fax:

Website Address:

<http://www.hud.gov/office/fheo/partners.FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are based on rating and ranking by a Technical Evaluation panel. Under this competitive process, applicants are ranked based on Rating Factors points, preference points, and past performance and applicant risk. The specific criteria and points assigned are listed in the Notice of Funding Opportunity.

14.418 Private Enforcement Initiatives

(FHIP PEI),

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

ASSISTANT SECRETARY FOR FAIR HOUSING AND EQUAL OPPORTUNITY, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended. Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815, which revises and expands the Fair Housing Initiatives Program to include changes in fair housing and lending laws/regulations since the Housing and Community Development Act of 1987, as amended. (42 U.S.C 3616, Sec. 3616a) The law authorizes the Fair Housing Initiatives Program (FHIP) to make grants or enter into contracts or cooperative agreements with State or local governments or their agencies, public or private non-profit organizations or institutions, or other public or private entities that are formulating or carrying out programs to prevent or eliminate discriminatory housing practices under the Fair Housing Act., Title Housing and Community Development Act of 1987, Section 561

OBJECTIVES:

To assist private non-profit fair housing enforcement organizations in the investigation and enforcement of violations of the rights granted under title VIII of the Civil Rights Act of 1968 (42 U.S.C. 2801). To develop, implement, and carry out, related activities and enforcement under the or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include carrying out testing and other investigative activities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds can be used to assist tax exempt non-profit fair housing enforcement organizations in enforcement activities. Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and eligible activities provided under the NOFO. Funds may not be used for purposes of settling claims, satisfying judgments or fulfilling court orders in any litigation action involving either the Department or housing providers funded by the Department. No, loans are not a type of assistance under this listing.

Applicant Eligibility:

Individual/Family; Anyone/general public; State; Minority group; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Qualified Fair Housing Enforcement Organizations (QFHO) with at least two years of experience in complaint intake, complaint investigation, testing for fair housing violations, and enforcement related experience and meritorious claims in the three years prior to filing this application; or Fair Housing Enforcement Organizations (FHO) with at least one year of experience in the enforcement-related activities listed above in the two years prior to the filing of the application. If the applicant is neither a QFHO nor FHO with the requisite experience, the organization is ineligible.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Consumer; Homeowner; State; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Local; Public nonprofit institution/organization; Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex disability familial status or national origin. Persons or groups of persons, including landlords or real estate agents, to prevent discriminatory housing practices based on race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Applicants must submit applications in accordance with the Notice of Funding Opportunity (NOFO) criteria including specific HUD Forms/Assurances/Certifications, narrative Rating Factor responses, Statement of Work and Budget, and an eligibility certification. Applicants that apply for funding under FHIP must adhere to costs principles as determined in accordance with 2 CFR Part 200.400 (Subpart E-Cost Principles). Applicants for enforcement related activities must provide written verification of 501 (c) (3) status. Applicants proposing testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-

E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply electronically unless a waiver is granted. Applicants must have a valid SAMs Registration and be registered with Grants.gov. Applicants must meet Departmental Thresholds and Program Requirements for eligibility, including must be a Qualified Fair Housing Organization (QFHO) or a Fair Housing Organization (FHO). 2CFR 200.200 (Subpart C- Pre-Federal Award Requirements and contents of Federal Awards) apply to this program. Applications must be submitted in accordance with requirements and forms provided in the NOFO as posted on www.Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published NOFO. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

FY 2021 NOFO open/due date period: April 27, 2021-June 14, 2021.

Range of Approval/Disapproval Time:

Other. The approval/dismissal process begins 30 days after funding awards to at least a period of 120 days and depend on the number of applications received and other factors. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Appeals:

Other. Begin 30 days after funding awards to at least a period of 120 days.

Renewals:

Not Applicable. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the NOFA. Grants have a 36-month project period with 12-month budget periods. Method of awarding/releasing assistance. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports will be required in accordance with the NOFO. Eligible applicants must submit reports applicable under 2 CFR Part 200. Annual performance monitoring is required. Recipients must submit performance and financial reports pursuant to OMB guidance and program instructions. Grantees are assessed quarterly on their performance of timely submission of deliverables, the quality of their submissions and their efficiency in meeting specified timelines for drawing down funds and financial management. A final report of all cumulative activities is also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.501.

Records:

2 CFR 200.338 applies to this program.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

86-0144-0-1-751.

Obligations:

Project Grants FY 20 \$57,739,785.00; FY 21 est \$45,240,881.00; FY 22 est \$41,350,000.00;

Range and Average of Financial Assistance:

\$360,000 per project period. \$1,080,000 total funding for multi-year (3 years)

TAFS Codes:

86-0144.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Assistance Locations. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Kimberly Harley,
451 7th Street, S.W. Room 522,
Washington DC 20410
Email: Kimberly.R.Harley@HUD.gob
Phone: 202-402-4753
Fax:

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are based on rating and ranking by a Technical Evaluation panel. Under this competitive process, applicants are ranked based on Rating Factors points, preference points, and past performance and applicant risk. The specific criteria and points assigned are listed in the Notice of Funding Opportunity.

14.506 General Research and Technology Activity

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR POLICY DEVELOPMENT AND RESEARCH, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Title V of Housing and Urban Development Act of 1970, , 12 USC Code 1701z-1 et seq..

OBJECTIVES:

To carry out research, demonstration and program evaluation and monitoring projects of high priority and pre-selected by the Department to improve the operations of the Department's programs.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Provision of Specialized Services

USES AND USE RESTRICTIONS:

HUD's Office of Policy Development and Research's (PD&R) funds are awarded through competitive procurement solicitations or Interagency Agreements with other Federal Agencies.

Applicant Eligibility:

Federal; Small business (less than 500 employees); Profit organization; Quasi-public nonprofit institution/organization; Researchers, philanthropic organizations research organizations, State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have tribal authority and

capacity to carry out projects.

Beneficiary Eligibility:

Federal; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Scientist/Researchers; Researchers, philanthropic organizations, research organizations, State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have authority and capacity to carry out projects.

Credentials/Documentation:

Capacity and capability of applicant to perform research by contract under this program and to manage Federal funding, the Unsolicited Research Proposals coverage under 2CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication is required Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Unsolicited Proposals Grants/Cooperative Agreements Authority to accept USP Notice gives specific instructions

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Respond to Request for Proposals for Contracts.

Award Procedure:

Contact Proposals will be reviewed against criteria contained in the request for proposals issued by HUD's Office of the Chief Procurement Officer for procurement actions. Unsolicited Proposals will be reviewed against the criteria given in the Notice.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As identified in request for proposals for competitive procurement awards.

Appeals:

Other. Office of the Chief Procurement Officer for procurement actions and for all contractual matters.

Renewals:

Not Applicable. As provided in agreement arrangements, if any.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As specified in the contractual agreement. Awards usually will not exceed twelve months. Cooperative Agreements awards 12 - 36 months. Method of awarding/releasing assistance: other

Reports:

Unsolicited Proposals are due quarterly

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Unsolicited Proposals - Annually

Records:

Full and accurate records must be maintained with respect to all matters covered in the agreement. Contracts awards are maintained in accordance with Departmental requirements. Unsolicited Proposals - FAR 2 CFR 200

Account Identification:

86-0108-0-1-451.

Obligations:

Provision of Specialized Services FY 20 \$60,909,708.00; FY 21 est \$89,993,402.00; FY 22 est \$87,000,000.00; Cooperative Agreements (Discretionary Grants) FY 20 \$2,991,893.00; FY 21 est \$7,678,232.00; FY 22 est

ASSISTANCE LISTINGS DESCRIPTIONS

\$4,500,000.00;

Range and Average of Financial Assistance:

\$15,000 - \$20,000,000HBCU Research Partnerships in Technology:

\$2,375,000HBCU Research Partnerships in Technology: \$1,000,000

TAFS Codes:

86-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Sandra Susan Brunson,,

451 7th Street, Room 8230,

Washington DC 20410

Email: Susan.S.Brunson@hud.gov

Phone: (202) 402-3852.

Website Address:

<http://Susan.S.Brunson@Hud.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.536 Research and Evaluations, Demonstrations, and Data Analysis and Utilization

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR POLICY DEVELOPMENT AND RESEARCH, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

This program is authorized under Section 501 of the Housing and Urban Development Act of 1970 (12 U.S.C. 1701z-1) and the Department of Housing and Urban Development Appropriations Act, 2014, , Public Law 113-76

OBJECTIVES:

This is a Notice of Funding Availability (NOFA) from the Department of Housing and Urban Developments (HUD) for Research and Evaluation, Demonstrations, and Data Analysis and Utilization (HUDRD). Through this NOFA, HUD plans to solicit applications for cooperative agreements to engage in research, evaluations, demonstrations, and data analysis and utilization activities. Funding will be available under three different categories or functions: Research and Evaluation; Demonstrations; and Data analysis and utilization.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Costs incurred in the timely completion of the research product, includes but are not limited to, the researchers salary, computer software, purchase of data, travel expenses to collect data or to make presentations at meetings, transcription services, and compensation for study participants.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit

organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); None

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Interstate; Education Professional; Scientist/Researchers; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; None

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible organizations will submit an application under a Notice of Funding Availability (NOFA) that will be published online at Grants.gov. The NOFA will provide for the relevant application requirements. It will also be subject to criteria published annually by HUD in its General Section to the Department's NOFAs for Discretionary Programs for that Fiscal Year.

Award Procedure:

Two types of reviews will be conducted: First, HUD will review each application to determine whether it meets threshold eligibility requirements. Second, applications will be reviewed by panels consisting of at least two independent reviewers and a panel manager. The reviewers will review and assign scores to applications that meet threshold eligibility requirements using the Factors for Award noted in Section V.A.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. None

Appeals:

Not Applicable. None

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

HUD makes grants available for a performance period to be designated in the cooperative agreement. Awards will be for a period not to exceed 60 months.

Method of awarding/releasing assistance: letter

Reports:

All researchers that receive funds under this program NOFA are required to submit Quarterly Performance Reports in a manner specified by HUD, with descriptions of tasks accomplished, and a narrative explanation of any disparity between projected and actual results. Budget reporting, indicating the draw down toward each task and providing sufficient details. At HUDs request, the awardee will provide monthly budget reporting. GTR will monitor tasks, effort, and invoices.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The official applicant must maintain information on grant disbursement.

Account Identification:

86-0108-0-1-451.

Obligations:

Cooperative Agreements FY 20 \$2,750,000.00; FY 21 est \$2,994,660.00; FY 22 est \$3,500,000.00;

Range and Average of Financial Assistance:

FMRs in Rental Markets : \$500,000Evaluation of Grants' Impact on CDBG and

ASSISTANCE LISTINGS DESCRIPTIONS

HOME - (HUDRD): \$500,000Impact of RAD on children in HUD-assisted households: \$750,000Youth Homelessness Research: \$2,000,000

TAFS Codes:

86-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available on Grants.gov.

Regional or Local Office:

None. None

Headquarters Office:

Sandra Susan Brunson,
451 7th Street, Room 8230,
Washington DC 20410
Email: Susan.S.Brunson@hud.gov
Phone: 2024023852

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A competitive process is used in selecting grants. Criteria will be published in the annual NOFA.

14.537 Eviction Protection Grant Program

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR POLICY DEVELOPMENT AND RESEARCH,
HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Title The Consolidated Appropriations Act 2021, approved December 27, 2020 (Public Law 116-260),

OBJECTIVES:

The overall purpose of the Eviction Protection Grant Program is to support experienced legal service providers in providing legal assistance and related services and resources at no cost to low-income tenants at risk of or subject to eviction. HUD's Office of Policy Development and Research is making available grant funds to non-profit or governmental entities that will provide services in areas with high rates of evictions, including rural areas. This NOFO plays an integral role in helping individuals and families, including those with limited English proficiency or disabilities, avoid eviction or minimize the disruption and damage caused by an eviction claim.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To support experienced legal service providers in providing legal assistance and related services and resources at no cost to low income tenants at risk or subject to eviction.

Applicant Eligibility:

Government - General; State; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Other public institution/organization; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); A State or local Public Defenders OfficePublic Defenders associationA clinical law program at an accredited law

school A State bar association

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Two, types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements. Second, applications will be reviewed by panels consisting of at least three independent reviewers and a panel manager. The reviewer will review and assign scores to applications that meet threshold eligibility requirements using the Factors for Award noted in the NOFO.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 60 to 90 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

HUD makes grants available for a performance period to be designated in the Cooperative Agreement. Awards will be for a period not to exceed 60 months. Method of awarding/releasing assistance: letter Method of awarding/releasing assistance: other

Reports:

Progress Reports: All researchers that receive funds under this program NOFA are required to submit Quarterly Performance Reports in a manner specified by HUD, with descriptions of tasks accomplished, and a narrative explanation of any disparity between projected and actual results. Expenditure Reports: Budget reporting, indicating the draw down toward each task and providing sufficient details, at HUD request, the awardee will provide monthly budget reporting. Performance Reports: GTR will monitor tasks, effort, and invoices.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The official applicant must maintain information on grant disbursement.

Account Identification:

86-0156-0-1-604.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$20,000,000.00; and FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

The range will not exceed 20 awards. The funding will be \$1,000,000 to \$3,000,000 in range.

TAFS Codes:

86-0156.

ASSISTANCE LISTINGS DESCRIPTIONS

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants will respond to competitive requirements contained in a Notice of Funding Opportunity (NOFO) published in the Federal Register and available on Grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Paula M. Marshall,
451 7th Street, SW, Room 8230,
Washington DC 20410

Email: paula.m.marshall@hud.gov

Phone: (202) 402-5841

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A competitive process is used in selecting grants. Criteria will be published in the annual NOFO.

14.850 Public and Indian Housing

Operating Subsidy Grant,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

Consolidated Appropriations Act, FY 2016, , Public Law 114-113, Housing Act of 1937, as amended; Consolidated Appropriations Act of FY 2017, , Public Law 114-113, Further Consolidated Appropriations Act, 2020, , Public Law 116-94, CARES Act, 2020, Public Law 116-136, , Appropriations Act, 2021, Public Law 116-260,

OBJECTIVES:

To provide and operate cost-effective, decent, safe and affordable dwellings for lower income families through an authorized local Public Housing Agency (PHA).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Formula Grants

USES AND USE RESTRICTIONS:

Operating subsidy funds are provided to PHAs to achieve and maintain adequate operating and maintenance service and reserve funds. Funds are made to PHAs based on the extent to which their projected total allowable expenses (as determined by formula) exceed their projected income (also as determined by formula) from rents and other sources.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public Housing Agencies established in accordance with State law are eligible. The proposed program must be approved by the local governing body. Pursuant to the Native American Housing Assistance and Self Determination Act of 1996, Indian Housing Authorities (IHAs) are no longer eligible for funding under the U.S. Housing Act (of 1937) or the Indian Housing Act.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Senior Citizen (60+); Unemployed; Low Income; Families which include citizens or eligible immigrants. The term "Families" includes but is not limited to: (1) a family with or without children; (2)

an elderly family (head, spouse, or sole member 62 years or older), (3) near elderly family (head, spouse, or sole member 50 years old but less than 62 years old); (4) a disabled family; (5) a displaced family; (6) the remaining member of a tenant family; or (7) a single person who is neither elderly, near-elderly, displaced, or a person with disabilities.

Credentials/Documentation:

The applicant must support the application/proposal by furnishing data that the program is consistent with the Public Housing Agency (PHA) Plan (Quality Housing and Work Responsibility Act of 1998). The PHA Plan is designed for both long-term and short-term strategies for addressing the housing needs of the community. The proposed program should demonstrate that it is responsive to the PHA Plan by identifying conditions of the housing stock in the community and the housing assistance needs of lower-income families (including the elderly, persons with disabilities, large families and those displaced or who will be displaced) residing in or expected to reside in the community. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Not Applicable

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. PHAs may submit a full proposal (HUD-52483-A) which includes, but is not limited to a project description, development method, offer of sale of real property, site information and financial feasibility pursuant to 24 CFR Part 941 and Handbook 7417.1 REV-1 and, if applicable, additional statutory or policy requirements governing the funds provided for the particular fiscal year. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Usually, the HUD Field Office Manager makes final decision to approve individual applications. Also, agencies must have Annual Contribution ,Contract and Cooperative Agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the deadline for applications for processing applications/proposals.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory Formula: Title Operating Fund, Chapter , Part 24 CFR, Subpart 990, Public Law . Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements, see funding agency for further details. Additional Information:.

Length and Time Phasing of Assistance:

Contributions made annually for up to 30 years for development and 20 years for modernization program reservations approved through September 30, 1986 for projects with permanent financing. Annual appropriations of capital funds for development and modernization started October 1, 1986. Projects funded with capital funds after October 1, 1986 will have contract terms of 40 years. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Financial statements are required from PHAs annually. Submission of family report (HUD-50058) is required from PHAs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Periodic fiscal, management, maintenance and energy audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract.

Account Identification:

86-0163-0-1-604.

Obligations:

Formula Grants FY 20 (\$327,388,908.00); FY 21 est (\$390,718,784.00); FY 22 est (\$389,456,358.00);

Range and Average of Financial Assistance:

From \$2,395 to \$999,021,747; Average \$1,563,377

TAFS Codes:

86-0163.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HUD will make \$4.5 billion available for Operating Fund formula grants to support property management, maintenance, and associated resident services for units of low rent public housing on an annual basis. Fiscal Year 2021: HUD will make \$4.5 billion available for Operating Fund formula grants to support property management, maintenance, and associated resident services for units of low rent public housing on an annual basis. Fiscal Year 2022: HUD will make \$3.4 billion available for Operating Fund formula grants to support property management, maintenance, and associated resident services for units of low rent public housing on an annual basis.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 990, 24 CFR 941, Handbook 7417.1 (Rev.-1) (Public Housing-Development Handbook), Handbook 7465.1 Rev-2. (Public Housing Occupancy: Admission).

Regional or Local Office:

See Regional Assistance Locations. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Director, PIH/REAC/Operating Fund Kevin Gallagher,
451 7th Street, S.W.,
Washington DC 20410
Email: Kevin.J.Gallagher@hud.gov
Phone: 202.402.4192

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/am/>

RELATED PROGRAMS:

14.872 Public Housing Capital Fund; 14.867 Indian Housing Block Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.856 Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation

(Section 8 Housing Assistance Payments Program for Very Low Income Families-Moderate Rehabilitation),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING

AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing Act of 1937; Further Consolidated Appropriations Act 2020, , Public Law 116-94

OBJECTIVES:

The moderate rehabilitation (Mod Rehab) program was designed in 1978 to be an expansion of the rental certificate program. The rental certificate program was initially amended to permit moderate levels of rehabilitation to upgrade and preserve the nation's housing stock. Although the rental certificate program stimulated maintenance of the housing stock (with investment by owners averaging \$1500 in repairs to meet the program housing quality standards), it was estimated that at least 2.7 million rental units had deficiencies requiring a moderate level of upgrading; approximately 85% of these units were in buildings of fewer than 20 units. The Mod Rehab program was designed to upgrade that housing stock. The program was repealed in 1991 and no new projects are authorized for development. Assistance is limited to properties previously rehabilitated pursuant to a housing assistance payments (HAP) contract between an owner and a Public Housing Agency (PHA). To aid very low income families in obtaining decent, safe and sanitary rental housing, through the provision of housing assistance payments to participating owners on behalf of eligible tenants.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe and sanitary housing for very low income families at rents they can afford. Housing assistance payments are used to make up the difference between the approved rent due to the owner for the dwelling unit and the occupant family's required contribution towards rent. Assisted families must pay the highest of 30 percent of their monthly adjusted family income, 10 percent of gross family income, or the portion of welfare assistance designated for housing toward rent. This program is inactive, i.e., no new projects are being approved.

Applicant Eligibility:

Other public institution/organization; An authorized Public Housing Agency (any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof).

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Anyone/general public; Homeowner; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Senior Citizen (60+); Unemployed; Welfare Recipient; Moderate Income; Low Income; Public nonprofit institution/organization; Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area adjusted for small and large families). A very low income or, on an exception basis, lower income single person who is elderly, disabled or handicapped, displaced, or the remaining member of an eligible tenant family is also eligible.

Credentials/Documentation:

This program is no longer funded for new applications and awards. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No applications for new projects are being accepted.

Award Procedure:

No applications for new projects are being accepted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Eligible owners may request a one-year Housing Assistance Payments Contract renewal. Requests must be made at least 75 days prior to Contract expiration.

Formula and Matching Requirements:

Statutory Formula: Title 24 CFR, Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Under the Moderate Rehabilitation Program, payments may be made for 180 days. Assistance may be extended for 12 months at a time when an eligible owner requests a Housing Assistance Payments Contract renewal. Method of awarding/releasing assistance: Funds are disbursed monthly. Method of awarding/releasing assistance: other

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; and Requisition for Partial Payment of Annual Contributions, HUD Form 52663; Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; Report on Program Utilization, HUD Form 52683; Family Report, HUD Form 50058 Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B;

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Assistance Payments Contract.

Account Identification:

86-0303-0-1-604.

Obligations:

Direct Payments for Specified Use FY 20 \$81,857,572.00; FY 21 est \$125,000,000.00; FY 22 est \$125,000,000.00;

Range and Average of Financial Assistance:

Conventional Voucher Program (excludes MTW PHAs) \$6,288 to \$1,148,940,851; Average of \$8,196,437; Mainstream Program \$11,667 to \$7,616,200; Average of \$465,562; Mod Rehab Program \$1,8321 to \$1,644,847; Average of \$90,402

TAFS Codes:

86-0303.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The program plans to serve approximately 14,000 families. Fiscal Year 2022: The program plans to serve approximately 12,200 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 882; Section 8 Housing Assistance Payments Program, Existing Housing and Moderate Rehabilitation Processing Handbook, 7420.3; PHA Administrative Practices Handbook for the Section 8 Existing Housing Program, 7420.7; Accounting Handbook 7420.6; Section 8 Rental Certificate, Rental Voucher, and Moderate Rehabilitation Programs Forms, Legal Contracts and Publications, Handbook 7420.7; PIH Notice 2001-13.

Regional or Local Office:

See Regional Assistance Locations. The HUD Office listed in the Catalog Address Appendix IV has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Director - Housing Voucher Management and Operations Division
Steven.R.Durham,

451 7th Street, S.W., Room 4222,
Washington DC 20410
Email: Steven.R.Durham@hud.gov
Phone: (202) 402-4212

Website Address:

<http://www.hud.gov/progdesc/pihindx.html>.

RELATED PROGRAMS:

14.850 Public and Indian Housing;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.862 Indian Community Development Block Grant Program

ICDBG program,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, , 42 USC Code 5301 et seq.. Public Law Further Consolidated Appropriations Act of 2020-116-94, Consolidated Appropriations Act of 2021, Public Law 116-260,

OBJECTIVES:

The purpose of the Indian Community Development Block Grant (ICDBG) program is the development of viable Indian and Alaska Native communities, including the creation of decent housing, suitable living environments, and economic opportunities primarily for persons with low- and moderate- incomes.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Two types of grants are available under the ICDBG program: single purpose grants and imminent threat grants. Single purpose grants provide funds for one or more single purpose projects consisting of an activity or set of activities designed to meet a specific community development need. This type of grant is awarded through competition with other single purpose projects. Single purpose ICDBG funds may be used for the following basic eligible activities: acquisition, disposition, public facilities and improvements, clearance activities, public services, interim assistance for addressing signs of physical deterioration, payment of non-Federal share, relocation, payments for loss of rental income, housing services, projects for privately owned utilities, assistance to facilitate economic development, technical assistance, assistance to institutions of higher education, and homeownership assistance. For further information, see 24 CFR 1003 Subpart C-Eligible Activities. Imminent threat grants alleviate an imminent threat to public health or safety that requires immediate resolution. This type of grant is awarded only after an Area Office of Native American Program (ONAP) determines that such conditions exist and if funds are available for such grants. Funds may only be used to deal with imminent threats that are not recurring nature, represent a unique and unusual circumstance, and impacts an entire service area. Single purpose grants may be assisted in whole or in part with ICDBG funds only if the activity meets the eligibility requirements of section 105 of the Housing and Community Development Act of 1974 as further defined in 24 CFR 1003 Subpart C and if the criteria for compliance with the primary objective of the Act set forth under 24 CFR Section 1003.208 have been met. The amount of funds reserved for imminent threat funding during each funding cycle will be stated in the notice of Funding Availability (NOFA). If any of the reserved funds are not used to fund imminent threat grants during a fiscal year, they will be added to the allocation of ICDBG funds for the subsequent fiscal year and will be used as if they were a part of the new allocation.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations

ASSISTANCE LISTINGS DESCRIPTIONS

(includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are any Indian tribe, band, group, or nation, including Alaska Indians, Aleuts, and Eskimos, and any Alaska native village of the United States which is considered an eligible recipient under Title I of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450) or which had been an eligible recipient under the State and Local Fiscal Assistance Act of 1972 (31 U.S.C. 1221). Eligible recipients under the Indian Self-Determination and Education Assistance Act will be determined by the Bureau of Indian Affairs and eligible recipients under the State and Local Fiscal Assistance Act of 1972 are those that have been determined eligible by the Department of Treasury, Office of Revenue Sharing. Tribal organizations which are eligible under Title I of the Indian Self-Determination and Education Assistance Act may apply on behalf of any Indian tribe, band, group, nation, or Alaska native village eligible under that act for funds under this part when one or more of these entities have authorized the tribal organization to do so through concurring resolutions. Such resolutions must accompany the application for funding. Eligible tribal organizations under Title I of the Indian Self-Determination and Education Assistance Act will be determined by the Bureau of Indian Affairs or the Indian Health Service, as appropriate. An applicant must be eligible as an Indian tribe or as a tribal organization, as required by 24 CFR 1003.5, by the application deadline date of the NOFA.

Beneficiary Eligibility:

Native American Organizations; Moderate Income; Low Income; Federally Recognized Indian Tribal Governments; The primary beneficiaries are families that meet the criteria of NAHASDA and 24 CFR 1003.208.

Credentials/Documentation:

Tribal organizations: Tribal organizations applying on behalf of any Indian tribe, band, group, nation, or Alaska native village (see 24 CFR 1003.5) may only do so when one or more of these entities have authorized the tribal organization to do so through concurring resolutions. Such tribal resolutions must accompany the application for funding for both single-purpose and imminent threat grants. Single purpose grants: All applicants for ICDBG single purpose grants must certify by an official tribal resolution that it has met the citizen participation requirements of 24 CFR 1003.604. Imminent threat grants: Applications must include independent verification from a qualified third party not affiliated with the organization that the threat is urgent, exists at present, or will exist very soon and must be addressed immediately to save lives and reduce threats to health and safety. Such third parties could be representatives of the Indian Health Service (IHS), Bureau of Indian Affairs (BIA), Federal Emergency Management Agency (FEMA), State, county, or local officials, or engineers privately employed. 2 CFR 200, Subpart E - Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Single-purpose grants: Each project included in an application that meets the threshold requirements shall be competitively rated within each Area ONAP's jurisdiction under the five following rating factors: capacity, need/extent of the problem, soundness of approach, leveraging of resources, and comprehensiveness and coordination. Additional details regarding the rating factors will be provided in the periodic NOFAs. Area ONAPs will notify applicants of the approval or disapproval of their applications. Grant amounts offered may reflect adjustments made by the Area ONAPs in accordance with 24 CFR 1003.100(b)(2). As soon as the Area ONAP determines that the applicant has complied with any pre-award requirements and absent information which would alter the threshold determinations under 24 CFR 1003.302, the grant will be awarded. The regulations become part of the grant agreement. HUD may impose grant conditions where additional actions or approvals are required before the use of funds. Imminent threat grants: Applications which meet the requirement of 24 CFR 1003.401 may be approved by the Area ONAP without competition in accordance with the applicable requirements of 24 CFR 1003.304. Area ONAP will review applications

and then forward it to ONAP Headquarters for final approval. If both offices agree that the area is facing an IT as defined in the ICDBG regulation, the Area ONAP will send a Grant Approval Letter and form HUD-52734, (Funding Approval/Agreement) to the person designated in item 8F of form SF-424 with a copy to the person listed as the authorized representative in item 21 of form SF-424.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Additional approval information: All ICDBG grants: An Area ONAP may approve a grant amount less than the amount requested. In doing so, the Area ONAP may take into account the size of the applicant, the level of demand, the scale of the activity proposed relative to need and operational capacity, the number of persons to be served, the amount of funds required to achieve project objectives, the reasonableness of the project costs, and the administrative capacity of the applicant to complete the activities in a timely manner. Imminent threat grants: Letter to proceed--The Area ONAP may issue the applicant a letter to proceed to incur costs to alleviate imminent threats to health and safety only if the assisted activities do not alter environmental conditions and are for temporary or permanent improvements limited to protection, repair, or restoration actions necessary only to control or arrest the effects of imminent threats or physical deterioration. Reimbursement of such costs is dependent upon HUD approval of the application.

Appeals:

Other. Single purpose grants: HUD will not consider information from applicants after the application deadline. HUD may contact the applicant to clarify other items in its application. HUD will uniformly notify applicants of each curable (correctable) deficiency. A curable deficiency is an error or oversight that if corrected it would not alter, in a positive or negative fashion, the review and rating of the application. Examples of curable deficiencies include inconsistencies in the funding request and failure to submit required certifications. These examples are non-exhaustive. See curable deficiency in definitions section of the NOFA for further information. When HUD identifies a curable deficiency, HUD will notify the authorized representative. The email is the official notification of a curable deficiency. Each applicant must provide accurate email addresses for receipt of these notifications and must monitor their email accounts to determine whether a deficiency notification has been received. The applicant must carefully review the request for cure of deficiency and must provide the response in accordance with the instructions contained in the deficiency notification. The time allowed to correct deficiencies will not exceed 14 calendar days or be less than 48 hours from the date of the email notification. Applicants should review the NOFA for further information. For a period of at least 120 days, beginning 30 days after the public announcement of awards under the NOFA, HUD will provide a debriefing related to their application to requesting applicants. A request for debriefing must be made in writing or by email by the authorized official whose signature appears on the SF424 or by his or her successor in office, and be submitted to the point of contact listed in the NOFA. Information provided during a debriefing may include the final score the applicant received for each rating factor, final evaluator comments for each rating factor, and the final assessment indicating the basis upon which funding was approved or denied. Imminent threat grants: Contact the Area ONAP for further questions

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All ICDBG grants: The length of the project period is based on the Implementation Schedule (form HUD-4125) submitted by the applicant and approved by HUD. Costs and planned drawdowns must be incurred during the period of performance identified on the grant award. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Financial Reports: All ICDBG grantees shall submit the Federal Financial Report (SF-425) to the Area ONAP at the end of each quarter. The

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following reports are also required: 1. Annual Status and Evaluation report (ASER)-Grantees shall submit a status and evaluation report on previously funded open grants 45 days after the end of the Federal fiscal year and at the time of grant close-out. The report shall be in a narrative form addressing these areas. a) Progress: The progress made in completing approved activities should be described. This description should include a listing of work remaining together with a revised implementation schedule, if necessary. b) Expenditure of funds: A breakdown of funds spent on each major project activity or category should be provided. c) Program performance: Data on program outputs and outcomes, in a form prescribed by HUD. d) Grantee assessment: If the project has been completed, an evaluation of the effectiveness of the project in meeting the community development needs of the grantee should be provided. 2. Minority business enterprise reports: Grantees shall submit to HUD, by October 10, a report on contract and subcontract activity during the fiscal year. 3. Closeout reports: Within 90 days of the date it is determined that the criteria for closeout have been met, the grantee shall submit to the Area ONAP a copy of the final status and evaluation report described and a completed Federal Financial Report (SF-425). If acceptable reports are not submitted, an audit of the grantee's program activities may be conducted by HUD. In reviewing each grantee's performance, HUD will consider all available evidence which may include, but not be limited to, the following: (1) The approved application and any amendments thereto; (2) Reports prepared by the grantee; (3) Records maintained by the grantee; (4) Results of HUD's monitoring of the grantee's performance, including field evaluation of the quality of the work performed; (5) Audit reports; (6) Records of drawdowns on the line of credit; (7) Records of comments and complaints by citizens and organizations; and (8) Litigation. HUD will review each grantee's performance to determine whether the grantee: complied with the requirements of the statute, 24 CFR Part 1003, the grant agreement and other applicable laws and regulations; carried out its activities substantially as described in its application; made substantial progress in carrying out its approved program; continued capacity to carry out the approved activities in a timely manner; and has the capacity to undertake additional activities funded under this 24 CFR Part 1003.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. All ICDBG grants: Any costs paid with ICDBG funds which were not audited previously shall be subject to coverage in the grantee's next single audit performed in accordance with 2 CFR Part 200, Subpart F. The grantee may be required to repay HUD any disallowed costs based on the results of the audit, or on additional HUD reviews provided for in the closeout agreement.

Records:

Account Identification:

86-0162-0-1-451.

Obligations:

Project Grants (Discretionary) FY 20 \$59,445,000.00; FY 21 est \$350,000,000.00; FY 22 est \$70,000,000.00;

Range and Average of Financial Assistance:

In FY 2021, single-purpose grants ranged from \$25,000 to \$4,100,000; with an average of \$605,000.

TAFS Codes:

86-0162.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Under the FY 2020 Notice of Funding Availability (NOFA), funds will be used to support 107 projects on Tribal lands such as new a drive-thru pharmacy, a new building for the local Boys & Girls Club of America, repairs to a wastewater lagoon, and infrastructure necessary for a new housing development. Fiscal Year 2021: Single-purpose grants awarded in FY 2021 will be used to support 107 projects on Tribal lands. Some of the projects include a new a drive-thru pharmacy, a new building for the local Boys & Girls Club of America, repairs to a wastewater lagoon, and infrastructure necessary for a new housing development. Imminent threat grants awarded in FY 2021 will be used for emergencies that constitute imminent threats to health and safety and are designed to prevent, prepare for, and respond to COVID-19.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1003, "Community Development Block Grants for Indian Tribes and

Alaska Native Villages 2 CFR Part 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" Other ICDBG-related policies and guidance can be found at:

https://www.hud.gov/program_offices/public_indian_housing/ih/regs

Regional or Local Office:

See Regional Assistance Locations. Contact appropriate HUD Office of Native American Programs (ONAP) Area Office listed in:

https://www.hud.gov/program_offices/public_indian_housing/ih

Headquarters Office:

Lead Grants Management Specialist Hilary Atkin,

451 7th Street SW, Rm. 4108,

Washington DC 20410

Email: Hilary.C.Atkin@hud.gov

Phone: 202-402-3427

Website Address:

https://www.hud.gov/program_offices/public_indian_housing/ih/grants/icdbg

RELATED PROGRAMS:

14.867 Indian Housing Block Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Single purpose grants: The NOFA provides specific information regarding the rating and ranking criteria. Imminent threat grants: HUD uses the criteria defined in 24 CFR 1003.400 to evaluate requests.

14.865 Public and Indian Housing Indian Loan Guarantee Program

Section 184,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1992, Consolidated Appropriations Act of 2019 (Public Law 116-6), and Further Consolidated Appropriations Act, 2020, , Public Law 116-94, Consolidated Appropriations Act of 2021 (Public Law 116-260),

OBJECTIVES:

To provide homeownership opportunities to Native Americans, Tribes, Indian Housing Authorities including Tribally Designated Housing Entities (TDHEs), and Indian Housing Authorities on Indian land, through a guaranteed mortgage loan program available through private financial institutions.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Mortgage loans are for the acquisition or rehabilitation of existing homes, purchase and rehabilitation of a home or construction of a new home; and refinancing of existing debt. The financial institution which originates the mortgage loan must meet certain requirements noted in the law.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The loan applicant must be members of a federally recognized Indian tribe, band or community, which includes Native Americans, Alaska Natives, or an Indian Housing Authority including a Tribally Designated Housing Authority (TDHE) or a Tribe, which meets certain requirements. Applicant eligibility is validated by current enrollment in a federally recognized tribe.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; Local; Federally Recognized Indian Tribal Governments; The homeowner is the ultimate beneficiary of the program. When the Indian Housing Authority, TDHE or Tribe is the homebuyer, they may then rent the property. In these cases, the person renting the home would be an indirect beneficiary.

Credentials/Documentation:

Loan applicants must provide the lender with documentation on eligibility, assets, income, debts and liabilities to show ability to obtain and repay the mortgage loan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted to eligible financial institutions. With the loan application, the homebuyer must submit documentation such as pay stubs, W-2 forms (income tax returns if self-employed), evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain and repay the mortgage. The lender verifies the land status of the proposed property.

Award Procedure:

The financial institution obtains and reviews all documentation and forwards it to HUD's Office of Native American Programs. HUD sends the Section 184 Loan Guarantee Firm Commitment Form to the Lender if the mortgage meets the Section 184 Program underwriting criteria. The financial institution is responsible for assuring Tribal eligibility, land status, property acceptability and the creditworthiness of the loan applicant. HUD has responsibility for the approval or disapproval of the loan (the application for loan guarantee). Eligible lenders may originate loans under the Direct Guarantee Program. Direct Guarantee lenders underwrite, approve and close the loan prior to submission to HUD for the Loan Guarantee Certificate.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. There are no specific time requirements for the processing of these loans.

Appeals:

From 15 to 30 days. Applicants whose loans are disapproved may appeal the disapproval if the applicant can provide additional documentation to resolve the issues which lead to the disapproval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the loan cannot exceed 30 years. The processing time varies from time of application to the time the loan closes, but in general will average from 30 to 120 days unless there are significant land issues. Method of awarding/releasing assistance: other

Reports:

Participating financial institutions must provide HUD with copies of loan files and must report to HUD on the status of the loan, once it has closed. Progress reports are part of the reporting process described above. Performance monitoring is also part of the reporting process described above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Participating lenders and closed loan files are reviewed to assure compliance with the program's requirements.

Records:

Copies of loan files are maintained by HUD. The lender that originates the loan must maintain a copy of the loan file for 2 years after loan closing. The lender that services the loan must maintain the loan file for the life of the loan plus 3 years.

Account Identification:

86-0223-0-1-371.

Obligations:

Guaranteed/Insured Loans FY 20 \$1,040,000.00; FY 21 est \$2,000,000.00; FY 22 est \$3,500,000.00;

Range and Average of Financial Assistance:

In FY 2020, the average loan amount was approximately \$230,000.

TAFS Codes:

86-0223.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the Department of Housing and Urban Development guaranteed almost 3,000 loans. Fiscal Year 2021: HUD's goal is to make \$1.5 million available for credit subsidy and \$500 million available for administrative contract expenses.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1005; a Guidebook and literature is available from HUD's Office of Native American Programs.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Loan Guarantee/ ONAP Krisa Johnson,
451 7th Street, S.W., Room 5156,
Washington DC 20410
Email: krisa.m.johnson@hud.gov
Phone: (202) 402-4978

Website Address:

<http://www.hud.gov/offices/pih/ih/homeownership/184>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.867 Indian Housing Block Grants

IHBG; Native American Housing Block Grants (NAHBG) Program,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Title The Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4101 et seq.) (NAHASDA), Consolidated Appropriations Act, 2019, Public Law 116-6, Further Consolidated Appropriations Act, 2020, Public Law 116-94, Consolidated Appropriations Act, 2018, Public Law 115-141, Consolidated Appropriations Act, 2021, Public Law 116-260, American Rescue Plan, 2021, Public Law 117-2, CARES Act, 2020, Public Law 116-136,

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The Indian Housing Block Grants (IHBG) program is authorized under the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4101 et seq.) (NAHASDA). Regulations for the program, which are found at 24 CFR Part 1000, were written by HUD in negotiation with Tribes and tribally designated housing entities (TDHEs). Funds are awarded based on a formula to Tribes and TDHEs to provide a range of affordable housing activities that primarily benefit low-income Indian families living on Indian reservations or in other Indian areas and include activities that: 1) assist and promote affordable housing activities to develop, maintain, and operate affordable housing in safe and healthy environments on Indian reservations and in other Indian areas for occupancy by low-income Indian families; 2) coordinate activities to provide housing for Indian tribes and their members and to promote self-sufficiency and their members; 3) plan for and integrate infrastructure resources for Indian tribes with housing development for Indian tribes. In addition to providing funding for formula grants, Congress provided funding for competitive grants in the Consolidated Appropriations Act, 2018 (Public Law 115-141, approved March 23, 2018) and Consolidated Appropriations Act, 2019 (Public Law 116-6, approved February 15, 2019) and Further Consolidated Appropriations Act, 2020 (Public Law 116-94, approved December 20, 2019).

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

IHBG funds must be used in accordance with the affordable housing activities established in section 202 of NAHASDA. Some of the eligible activities include housing development, operating and modernization of existing housing, housing services to eligible families and individuals, housing management services, crime prevention and safety activities, and model activities that provide creative approaches to solving affordable housing problems in Indian Country.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Minority group; Indian tribes (as defined under section 4(13) of NAHASDA); Tribally designated housing entity (TDHE) (as defined under 4(22) of NAHASDA)

Beneficiary Eligibility:

Minority group; American Indian; Moderate Income; Low Income; Federally Recognized Indian Tribal Governments; The primary beneficiaries of funds awarded for both IHBG formula and competitive grants are families that meet the criteria of NAHASDA and 24 CFR 1000.104.

Credentials/Documentation:

Formula grants: In accordance with NAHASDA Sec. 201, NAHASDA-eligible Indian tribes interested must submit an Indian Housing Plan (IHP) no later than 75 days before the beginning of each tribal program year. The IHP must meet the requirements outlined in 24 CFR Subpart C. Competitive grants: Applicants must submit information outlined in the Notice of Funding Availability (NOFA). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. IHBG formula grants: The environmental effects of each activity carried out with assistance under this part must be evaluated in accordance with the provisions of the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321) and the related authorities listed in HUD's implementing regulations at 24 CFR parts 50 and 58. An environmental review does not have to be completed prior to HUD approval of an IHP. IHBG competitive grants: Applicants must comply with HUD environmental review requirements, in accordance with 24 CFR 1000.18-1000.24. An eligible grant recipient can assume environmental responsibilities under 24 CFR part 58 or decline to assume environmental responsibilities and request HUD perform the review under 24 CFR part 50, in accordance with 24 CFR 1000.20(a). An environmental review, all required notifications, and approval of the request for release of funds and certification when applicable under 24 CFR part 58 or HUD's approval of the project or activity under 24 CFR part 50, must be completed before a recipient may acquire, rehabilitate, convert, lease, demolish, repair or construct property, or commit HUD or local funds (non-HUD funds) for these activities in conjunction with the proposed project. Environmental review resources including training, guidance, forms, sample letters and worksheets are available on the HUD

Exchange at: <https://www.hudexchange.info/programs/environmental-review/>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. IHBG formula grants: eligible grant recipients must submit an Indian Housing Plan (IHP) to HUD, except as provided in section 101 (b)(2) of NAHASDA, no later than 75 days before the beginning of a tribal program year. IHBG competitive grants: eligible grant recipients must submit an application in accordance with the deadline established in the NOFA. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval of the IHP and/or IHBG competitive application, and as soon as a Congressional release has been obtained, HUD's Office of Native American Programs (ONAP) sends the applicant an award letter and a grant agreement to sign and return. If the grant recipient does not have one, it has to establish an account in HUD's Line of Credit Control System (LOCCS).

Deadlines:

IHBG formula grants: eligible grant recipients must submit an Indian Housing Plan (IHP) to HUD, except as provided in section 101(b)(2) of NAHASDA no later than 75 days before the beginning of a tribal program year. IHBG competitive grants: eligible grant recipients must submit an application in accordance with the deadline established in the NOFA.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

IHBG formula grants: funds not used in one fiscal year can be used in any subsequent fiscal year (NAHASDA sec. 203(g)). IHBG competitive grants: funds, which are limited to five years, must be incurred during the period of performance identified on the grant award. Method of awarding/releasing assistance: other

Reports:

The Indian Housing Block Grant program requires an Annual Performance Report IHBG grantees are required to submit a Federal Financial Report (SF-425) to HUD within 30 days after the end of each quarter. For IHBG competitive grantees, a final SF-425 must be submitted within 90 days after the end of the period of performance identified on the grant agreement. Progress reports are a required part of the Annual Performance Report. As required by NAHASDA Sec. 404 and 24 CFR 1000.514, all IHBG grantees must submit an Annual Performance Report (APR) within 90 days of the end of the recipient's program year. IHBG competitive grantees must also follow the reporting requirements established in the NOFA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in 2CFR 200.503. Audit requirements for IHBG recipients are also outlined in NAHASDA Sections 405-406 and 24 CFR 1000.544.

ASSISTANCE LISTINGS DESCRIPTIONS

Records:

In accordance with 24 CFR 1000.552, IHBG recipients must retain records for 3 years from the end of the tribal program year during which the funds were expended.

Account Identification:

86-0313-0-1-604.

Obligations:

Formula Grants FY 20 \$1,152,361,000.00; FY 21 est \$1,197,000,000.00; FY 22 est \$922,000,000.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

In FY 2021, formula grants ranged from \$74,000 to \$72 million.

TAFS Codes:

86-0313.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, more than 500 affordable housing units were built or acquired and almost 4,700 affordable housing units were rehabilitated. Pursuant to the Coronavirus Aid, Relief, and Economic Security Act (Public Law 116-136), IHBG grantees can use funds specifically to prevent, prepare for, and respond to the COVID-19, including to maintain normal operations and to fund eligible affordable housing activities under the Native American Housing Assistance and Self-Determination Act (NAHASDA) during the period that an IHBG grantees program is impacted by COVID-19. Fiscal Year 2021: HUD will make \$600 million for the Indian Housing Block Grant program; fulfilling its trust obligations to low-income American Indian and Alaska Natives by providing them with resources for safe, decent, and sanitary housing.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000--Native American Housing Activities

Regional or Local Office:

None. None. Inquiries should be addressed to the Administrator, HUD's Office of Native American Programs at the appropriate local Area Office. For more information, see https://www.hud.gov/program_offices/public_indian_housing/ih

Headquarters Office:

Lead Grants Management Specialist Hilary Atkin,
451 7th Street SW, Room 4108,
Washington DC 20410
Email: Hilary.C.Atkin@hud.gov
Phone: 2024023427

Fax:

Website Address:

https://www.hud.gov/program_offices/public_indian_housing/ih/grants/ihbg

RELATED PROGRAMS:

14.862 Indian Community Development Block Grant Program; 14.899 Tribal HUD-VA Supportive Housing Program; 14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities;

EXAMPLES OF FUNDED PROJECTS:

2020 Some of the eligible activities include housing development, operation and modernization of existing housing, housing services to eligible families and individuals, housing management services, crime prevention and safety activities, and model activities that provide creative approaches to solving affordable housing problems in Indian Country.

CRITERIA FOR SELECTING PROPOSALS:

IHBG competitive grants: HUD selects successful applications in accordance with the rating criteria established in the Notice of Funding Availability (NOFA).

14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities

Title VI.

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Native American Housing Assistance and Self-Determination Reauthorization Act (NAHASDA) of 2008, , Public Law -, Consolidated Appropriations Act, 2019, , Public Law 116-6, Further Consolidated Appropriations Act, 2020, , Public Law 116-94, Consolidated Appropriations Act, 2021, Public Law 116-260,

OBJECTIVES:

All records applicable to the assistance project must be kept for three to five years following the submission of the final expenditure report or until all audit findings have been resolved.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Limited to the eligible affordable housing activities listed in Section 202 of NAHASDA to include Indian Housing Assistance, Development, Housing Services, Housing Management Services, Crime Prevention and Safety Activities, and Model Activities approved by the Secretary.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; The applicant must be a Federally recognized Indian tribe or Tribally Designated Housing Entity (TDHE) that is either a beneficiary or recipient of IHBG funds.

Beneficiary Eligibility:

Native American Organizations; American Indian; Indian tribes and their members are the beneficiaries. A TDHE acts on behalf of an Indian tribe, as authorized by Tribal and TDHE resolutions.

Credentials/Documentation:

The applicant must provide the lender the documentation detailed in the "Title VI Application Process," including the certifications required under 24 CFR Section 1000.424(d) that the applicant possesses the legal authority to pledge, and if approved, the applicant will make the pledge of IHBG funds required by section 602(a)(2) of NAHASDA. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Not Applicable

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible lenders are detailed in the statute. The lender assembles the Title VI application package and submits it to HUD. HUD, then, reviews the application for compliance with Title VI of NAHASDA and the implementing regulations.

Award Procedure:

HUD issues a Letter of Firm Commitment to the lender when HUD approves the Title VI application and the mortgage meets the Title VI Program underwriting criteria. The lender then closes the loan and submits the closing package to HUD for review. Following a successful review, HUD issues a Certificate of Loan Guarantee to the lender.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. The Title VI regulation at 24 CFR 1000.430(a) states that HUD will make every effort to approve an application within 30 days of the receipt of a completed application, and if HUD is unable to do so, will notify the lender (the applicant via the lender) within the 30 day time frame of the need for additional time to process the application and/or if additional information is required.

Appeals:

From 15 to 30 days. HUD will notify the lender in writing that the application has been reduced or disapproved and the specific reasons for the reduction or the disapproval. The lender may submit additional documentation to reverse the HUD decision.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 5%. Requirement: Matching requirements are voluntary. Borrowers may be required to pledge security in addition to IHBG funds to secure the remaining five percent of the loan balance that is not secured by the 95 percent Federal loan guarantee. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the loan may exceed 20 years, as approved by HUD. The lender and applicant shall determine the terms of the repayment for each project, subject to HUD's approval. Method of awarding/releasing assistance: other

Reports:

The lender provides HUD a quarterly report on the guaranteed loan, including the status of the guaranteed loan, the current principal balance, and the amount collected during the quarter just ended.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Participating lenders and closed loan files are reviewed to assure compliance with the program's requirements.

Records:

Copies of loan files are maintained by HUD. The originating lender must maintain a copy of the loan file for 2 years after loan is guaranteed. The servicing lender must maintain a loan file for the line of the loan plus 3 years.

Account Identification:

86-0313-0-1-604.

Obligations:

Guaranteed/Insured Loans FY 20 \$461,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

Varies, although the maximum amount of the loan cannot exceed five times the amount of the annual IHBG.

TAFS Codes:

86-0313.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: During FY 2020, the Department of Housing and Urban Development guaranteed four loans worth \$12 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000, subpart E; "The Title VI Application Process" and promotional literature are available from HUD's National Program Office of Native American Programs, Office of Loan Guarantee; and the Title VI web page (see the address below).

Regional or Local Office:

None. (see Headquarters Office below)

Headquarters Office:

Director, Office of Loan Guarantee/ ONAP Krisa Johnson,
451 7th Street, S.W., Room 4108,
Washington DC 20410
Email: Krisa.M.Johnson@hud.gov

Phone: (202) 402-4978

Website Address:

<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>

RELATED PROGRAMS:

14.865 Public and Indian Housing Indian Loan Guarantee Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Title VI and Section 202 of NAHASDA; criteria is detailed in the "The Title VI Application Process" available from HUD's National Program Office, Office of Loan Guarantee, and on the Title VI web site (<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>).

14.870 Resident Opportunity and Supportive Services - Service Coordinators

(ROSS Service Coordinators).

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

The Consolidated Appropriations Act 2017, , Public Law 114-113, United States Housing Act of 1937 (42 U.S.C. 1437z-6), Title ROSS, Section 34, Public Law Further Consolidated Appropriations Act of 2020-116-94, Appropriations Act of 2021, Public Law 116-260,

OBJECTIVES:

To address the economic and housing self-sufficiency needs of public and Indian housing residents by providing grant-funded service coordinators who link residents to the supportive services they need in order to achieve economic and housing self-sufficiency; and for elderly/disabled residents to continue to age/reside in place and avoid costlier forms of care.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for the salary of Service Coordinator positions, with some administrative and training funds.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public Housing Authorities (PHAs), Tribes and tribally designated housing entities (TDHEs), resident councils, and 501(c)(3) nonprofit entities supported by residents, PHAs, or tribes. Applicants must demonstrate a 25% match or in-kind donations from applicant or partner organizations.

Beneficiary Eligibility:

Individual/Family; Minority group; Other private institution/organization; Native American Organizations; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Senior Citizen (60+); Unemployed; Welfare Recipient; Moderate Income; Low Income; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Sponsored organization; Individuals, families, children, youth, adults as well as elderly/persons with disabilities who are residents of conventional public or Indian housing are eligible to receive benefits from the ROSS program.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HUD provides the application and standard forms for this program in the application package on grants.gov. Applicants can search by CFDA number 14.870 for the application each year.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

HUD will review applications in accordance with selection criteria in the NOFO published on grants.gov.

Deadlines:

Application deadlines are established in the NOFA each year. The NOFA is published on grants.gov. Please check www.grants.gov for information. For additional questions, contact the headquarters or regional location.

Range of Approval/Disapproval Time:

From 120 to 180 days. Processing of applications and selection of successful applicants will be undertaken by HUD. HUD will make the final selection and obligate funds.

Appeals:

Other. Applicants may request a debriefing to understand why their applications were not funded. Applicants may submit an appeal to HUD. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFO.

Renewals:

Other. There is a competitive renewal application category. Renewals are not automatic.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 3-year time period. Method of awarding/releasing assistance: other

Reports:

Grantees must keep program records and submit annual reports as specified by HUD. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit annual reports as specified by HUD.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees must adhere to the policies, guidelines and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87 ; OMB Circulars A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 or 85.

Account Identification:

86-0304-0-1-604. 86-0350-0-1-604.

Obligations:

Project Grants FY 20 \$37,045,000.00; FY 21 est \$35,000,000.00; FY 22 est \$35,000,000.00;

Range and Average of Financial Assistance:

Awards range from \$245,850 to \$737,550 Average \$253,307

TAFS Codes:

86-0304. 86-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HUD will make \$37,669,255 in awards. Fiscal Year 2021: HUD will make between 110-130 awards in the amount of approximately \$35 million to grantees that assist residents age in place and avoid costlier forms of care, pursue their educational and professional goals and more. Fiscal Year 2022: HUD will make between 110-130 awards in the amount of approximately \$35 million to grantees that assist residents age in place and avoid costlier forms of care, pursue their educational and professional goals and more.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFO published in the Federal Register provide program requirements and guidelines.

Regional or Local Office:

None. Designated persons in the Offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Dina Lehmann-Kim,
451 7th St., SW,
Washington DC 20410
Email: dina.lehmann-kim@hud.gov
Phone: 2024022430
Fax: Tremayne Youmans,
451 7th Street, SW,
Washington DC 20410
Email: tremayne.E.Youmans@hud.gov
Phone: 202-402-6621
Fax:

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/ross/>

RELATED PROGRAMS:

14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFO.

14.871 Section 8 Housing Choice Vouchers

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

U. S. Housing Act of 1937; Further Consolidated Appropriations Act of 2020, , Public Law 116-94, Further Consolidated Appropriations Act, 2021, Public Law 116-260, , CARES Act, 2020, Public Law 116-136, , American Rescue Plan (ARP), 2021, Public Law 117-2,

OBJECTIVES:

The housing choice voucher program is the federal government's major program for assisting very low-income families, the elderly, and the disabled to afford decent, safe, and sanitary housing in the private market. Since housing assistance is provided on behalf of the family or individual, participants are able to find their own housing, including single-family homes, townhouses and apartments. The participant is free to choose any housing that meets the requirements of the program and is not limited to units located in subsidized housing projects. The program serves the most economically vulnerable families in the country, including families with disabilities, elderly families, formerly homeless veterans, and families with children, through federal assistance voucher payments in the provision of meeting their rental housing needs. HUD regulations merged the former Section 8 Rental Voucher program (14.855) with the former Section 8 Certificate program (14.857). Section 502 of the Public Housing Reform Act states that a purpose of the legislation is "consolidating the voucher and certificate programs for rental assistance under Section 8 of the United States Housing Act of 1937 (the "USHA") into a single market-driven program that will assist in making tenant-based rental assistance more successful at helping low-income families obtain affordable housing and will increase housing choice for low-income families". The HCV is administered by local public housing agencies (PHAs) authorized under State law to operate housing programs within an area or jurisdiction. The PHAs receive federal funds from the U.S. Department of Housing and Urban Development (HUD) to administer the voucher program. The PHA accepts a family's application for rental assistance, selects the applicant family for admission, and issues the selected family a voucher confirming the family's eligibility for assistance. The family must then find and lease a dwelling unit suitable to the family's needs and desires in the private rental market. The PHAs

ASSISTANCE LISTINGS DESCRIPTIONS

sign Housing Assistant Payment contracts with the landlords, and housing subsidy is paid to the landlord directly by the PHA on behalf of the participating family. The family then pays the difference between the actual rent charged by the landlord and the amount subsidized by the program. Under certain circumstances, if authorized by the PHA, a family may use its voucher to purchase a modest home.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing for very low income families at rents they can afford. The PHA calculates the maximum amount of housing assistance allowable. The maximum housing assistance is generally the lesser of the payment standard minus 30% of the family's monthly adjusted income or the gross rent for the unit minus 30% of monthly adjusted income.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants are limited to public housing agencies. A public housing agency (PHA) is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families, a Consortia or Regional PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area.

Beneficiary Eligibility:

Individual/Family; Minority group; Anyone/general public; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents); Women; Senior Citizen (60+); Unemployed; Welfare Recipient; Moderate Income; Low Income; Other Urban; Suburban; Rural; Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area, adjusted for smaller and larger families). At least 75 percent of families admitted to the voucher program during the PHA fiscal year must be extremely low income families (whose income does not exceed 30 percent of the median income for the area).

Credentials/Documentation:

In the Housing Choice Voucher Program, HUD enters into Annual Contributions Contracts (ACCs) with PHAs under which the Department of Housing and Urban Development (HUD) provides funds to the PHAs to administer the programs locally. The PHAs enter into HAP contracts with private owners who lease their units to assisted families (24 CFR section 982.151). The PHA verifies a family's eligibility (including income eligibility) and then issues the family a voucher. The family has a minimum of 60 days to locate a rental unit where the landlord agrees to participate in the program (the PHA establishes the maximum number of days). The PHA determines whether the unit meets housing quality standards (HQS). If the PHA approves a family unit and determines that the rent is reasonable, the PHA contracts with the owner to make HAPs on behalf of the family (24 CFR section 982.1(a)(2)). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Application for Housing Assistance Payments Program (Form HUD-52515).

Award Procedure:

The Management and Operations Division, with assistance from the Program

Support Division, generally makes the final decision based on the results of the rating and ranking process for new special purpose vouchers.

Deadlines:

Congress provides renewal funding for housing assistance payments (HAP) and administrative fees through annual appropriations; new vouchers are processed through competitive procedures such as Notice of Funding Availability (NOFA), and noncompetitively such as tenant protection vouchers (TPVs).

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Expiring increments of Section 8 housing choice voucher assistance are automatically renewed by HUD (subject to appropriations).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

New funding is provided for 12 months. Method of awarding/releasing assistance: other

Reports:

HUD-52681, Voucher for Payment of Annual Contributions and Operating Statement, (OMB No. 2577-0169)- Voucher Management System (VMS); and Family Report, Public Housing Information Center - IMS/PIC. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B (Supplemental). Financial Reports (OMB No. 2535-107) Financial Assessment Sub-system, FASS-PH. The Uniform Financial Reporting Standards (24 CFR section 5.801) require PHAs to submit timely GAAP-based unaudited and audited financial information electronically to HUD. The FASS-PH system is one of HUDs main monitoring and oversight systems for the HCVP. HUD also uses VMS data for other funding, monitoring, and SEMAP-related decisions. HUD relies on the audit of the key line items below to determine the reasonableness of the data submitted for the purposes of calculating funding under the program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Choice Voucher Contract.

Account Identification:

86-0302-0-1-604.

Obligations:

Direct Payments for Specified Use FY 20 (\$920,861,706.00); FY 21 est \$135,243,224.00; FY 22 est (\$1,707,540,695.00);

Range and Average of Financial Assistance:

\$5,618 to \$1,074,563,247 average of 7,142,148

TAFS Codes:

86-0302.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HUD is making \$21.5 billion available for tenant-based assistance, which means the assistance is not permanently associated to a unit or project, but rather to an individual family. Fiscal Year 2021: HUD is making \$25.8 billion available for tenant-based assistance, which means the assistance in greater percentage associated to participating families and a portion is permanently associated to a unit or project through Project-based assistance. This budget includes \$23.1 billion in Housing Choice Voucher contract renewals, \$2.2 billion in administrative fees, \$116 million in tenant protection vouchers, \$314 million for Mainstream Vouchers, and approximately \$112 million in special purpose

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vouchers, to include funding for homeless veterans, family unification programs, and housing stability vouchers for homeless families and victims of domestic violence. Fiscal Year 2022: The Budget requests \$30.4 billion for Tenant-Based Rental Assistance (TBRA), which is approximately \$5.4 billion more than the enacted level for 2021. The HCV program seeks to: provide greater access to housing choice and better housing opportunities for very low- and extremely low-income families; reduce the number of chronically homeless individuals, families, and veterans; and reverse the effects of residential segregation in the pursuit of racial equity. The 2022 Budget proposes a 200,000-voucher expansion of the HCV program, the largest one-year increase in vouchers since the program was authorized. This expansion will broaden the Federal housing safety net and provide greater access to areas of opportunity for very low-income families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Section 8 Rental Certificate, Rental Voucher and Moderate Rehabilitation Programs Forms, Legal Contracts, and Publications Handbook, 7420.8; Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

See Regional Assistance Locations. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Director, OPHVP, OHVP, Housing Vouchers Management Steven R Durham, Director,

Office of Housing and Vouchers Program 451 7th Street, SW, Washington DC 20410

Email: Steven.R.Durham@hud.gov

Phone: 202.402.5227

Website Address:

<http://www.hud.gov/offices/pih/programs/hcv/index.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFAs and regular Public and Indian Housing Notices for new vouchers awarded competitively and noncompetitively.

14.872 Public Housing Capital Fund

(CFP),

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing Act of 1937, as amended; Quality Housing and Work Responsibility Act of 1998, Further Consolidated Appropriations Act 2020, , Public Law 116-94, Consolidated Appropriations Act, 2021, Public Law 116-260,

OBJECTIVES:

The Capital Fund provides funds annually to Public Housing Agencies (PHAs) for capital and management activities, including modernization and development of public housing. The Capital fund also permits PHAs to use Capital Funds for financing activities, including payments of debt service and customary financing costs, in standard PHA developments and in mixed-finance developments which include Public Housing.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The funds may be used for the development, financing and modernization of public housing developments and for management improvements. The funds may not be used for luxury improvements, direct social services, costs funded by other programs, and ineligible activities as determined by HUD on a case-by-case basis.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); The PHA must demonstrate that it has the legal authority to develop, own, modernize and operate a public housing development in accordance with United States Housing Act of 1937.

Beneficiary Eligibility:

Low Income; Low-income public housing residents.

Credentials/Documentation:

PHAs must submit the following documents for the Capital Fund Program: Certification of Compliance w/Public Hearing: HUD form 50071, Certification of Payments to Influence Federal Transactions; Civil Rights Certification; Form HUD-50075, Form SF-LLL and SF-LLL, Disclosure of Lobbying Activities (where applicable). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There is no application for this program because it is based on a formula based largely on the characteristics of the public housing inventory. PHAs maintain accurate information about their public housing inventory in the Information Management System (IMS)/Public Housing Information Center (PIC) system.

Award Procedure:

HUD calculates the formula and allocates funding annually in accordance with the results of the formula.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. HUD is required by its annual appropriation to announce award amounts within 60 days of enactment of the appropriation.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter , Part 905.400, Subpart , Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The statute requires that CFP funds allocated to a PHA be ninety percent obligated within two years and expended in four years unless the Secretary extends the time period. Method of awarding/releasing assistance: lump sum.

Reports:

PHAs must provide reports annually for all open grants in the Energy and Performance Information Center (EPIC) System. Progress reports are also part of reports. PHAs are required to report on expenditures monthly in HUD's financial system. Performance monitoring is also part of the performance and evaluation EPIC reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The CFP is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

86-0304-0-1-604.

Obligations:

Formula Grants FY 20 (\$1,800,748,809.00); FY 21 est (\$1,590,718,784.00); FY 22 est (\$882,856,358.00);

Range and Average of Financial Assistance:

\$2,961 to \$603,853,890; Average: \$954,838

TAFS Codes:

86-0304. 86-0481.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Department has made \$2.7 billion available to approximately 2,900 grantees for modernization, improvement, and new development to Public Housing projects. Fiscal Year 2021: The Department has made \$2.7 billion available to approximately 2,850 grantees for modernization, improvement, and new development to Public Housing projects. Fiscal Year 2022: The Department will provide \$3.2 billion available to approximately 2,850 grantees for modernization, improvement, and new development to Public Housing projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905.

Regional or Local Office:

See Regional Assistance Locations. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Field Office addresses).

Headquarters Office:

Director, PIH - Capital Fund Program David Fleischman,
451 7th Street,
Washington DC 20410
Email: David.Fleischman@hud.gov
Phone: 202.402.2071

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/capfund/index.cfm>.

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.881 Moving to Work Demonstration Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.873 Native Hawaiian Housing Block Grants

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Hawaiian Homelands Homeownership Act of 2000; Consolidated Appropriations Act 2019, , Public Law 116-6, Further Consolidated Appropriations Act, 2020, , Public Law 116-94, Appropriations Act, 2021, Public Law 116-260,

OBJECTIVES:

To provide housing assistance to low-income Native Hawaiian families who are eligible to reside on Hawaiian home lands.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible affordable housing activities are development, housing services, crime prevention and safety activities, and model activities. NHHBG funds may only be used for eligible activities that are consistent with the Department of Hawaiian Home Lands housing plan.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); The State of

Hawaii's Department of Native Hawaiian Home Lands (DHHL) is the only eligible grant recipient.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans);

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Housing Plan.

Award Procedure:

Native Hawaiian Housing Block Grant funds are provided to the State of Hawaii's Department of Hawaiian Home Lands (DHHL), which is the sole eligible grant recipient.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Grant funds are made available on an annual basis, subject to annual congressional appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annual grants. Method of awarding/releasing assistance: other

Reports:

Annual performance reports to HUD

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Compliance with the Single Audit Act and OMB Circular A-133.

Records:

Grant files are maintained by HUD for one year after grant closeout and destroyed six years after grant closeout pursuant to the HUD Records Disposition Handbook.

Account Identification:

86-0235-0-1-604.

Obligations:

Project Grants FY 20 \$2,000,000.00; FY 21 est \$7,000,000.00; FY 22 est \$7,000,000.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

86-0235.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In 2020, \$2 million in grant funds were used to provide tenant-based housing assistance to 29 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Rule published June 13, 2002. Final regulations effective December 29, 2003.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None. Claudine Allen 1132 Bishop Street, 1400Honolulu, HI 96813Claudine.C.Allen@hud.gov(808) 457-4674

Headquarters Office:

Program Director Claudine Allen,
1132 Bishop Street, 1400,
Honolulu HI 96813
Email: Claudine.C.Allen@hud.gov
Phone: (808) 457-4674

Website Address:

<http://www.hud.gov/offices/pih/ih/codetalk/onap/nhhbgprogram.cfm>.

RELATED PROGRAMS:

14.867 Indian Housing Block Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.874 Loan Guarantees for Native Hawaiian Housing

Section 184A,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

American Homeownership and Economic Opportunity Act of 2000, Consolidated Appropriations Act of 2017, Section 514, Public Law 113-235, Public Law Further Consolidated Appropriations Act of 2020 (Public Law 116-94)-116-94, Consolidated Appropriations Act of 2021, Public Law 116-260,

OBJECTIVES:

To provide access to sources of private financing on Hawaiian home lands.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

A loan guaranteed under this part may only be used to construct, acquire, or rehabilitate eligible housing. The housing must be located on Hawaiian Home Lands.

Applicant Eligibility:

Quasi-public nonprofit institution/organization; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Interstate; A Native Hawaiian family; the Department of Hawaiian Home Lands; the Office of Hawaiian Affairs; a private nonprofit organization experienced in the planning and development of affordable housing for Native Hawaiians.

Beneficiary Eligibility:

Individual/Family; Native Hawaiian homeowners are the beneficiaries of the program.

Credentials/Documentation:

Individual Loan Applicants must meet the definitions of Native Hawaiian and provide the eligible lender with documentation of that eligibility, assets, income, debts and liabilities to show ability to obtain and repay the loan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal

Awards. Applications are submitted to eligible financial institutions. With the loan application, the homebuyer submits documentation such as pay stubs, W-2 forms, tax returns, evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain and repay the mortgage. The lender will verify the eligibility of the land status.

Award Procedure:

The financial institution obtains and reviews all documentation. The financial institution is responsible for ensuring the individual applicants Native Hawaiian eligibility, land status per Section 204 of the Hawaiian Homes Commission Act, housing acceptability, creditworthiness of the applicant, and approval or disapproval of the applicant. If loan guarantee funds are available, a firm commitment is issued by the lender. Firm commitments are valid for a period not to exceed 90 days from issuance. HUD is responsible for reviewing the lender's loan file after closing and issuance of the loan guarantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. HUD may approve a loan for guarantee under this part and issue a certificate under this section only if HUD determines that there is a reasonable prospect of repayment of the loan.

Appeals:

From 15 to 30 days. Lenders whose loans are disapproved for loan guarantee may appeal the disapproval. Additional documentation to resolve the issues which led to the disapproval may be required.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The loan term shall not exceed thirty years. Processing time of the individual loans will depend on the quality of the application documentation submitted and the lender's ability to ascertain proper land and lease status. Method of awarding/releasing assistance: other

Reports:

Lenders will be required to report loan delinquencies on a monthly basis.

Audits:

Participating lenders will be subject to review by HUD and closed loan files are subject to review to assure compliance with the requirements of Section 184A.

Records:

Copies of loan files will be maintained by HUD. The originating lender must maintain copies of the guaranteed loan file for two years after loan closing. The servicing lender must maintain the loan file for the life of the loan plus three years.

Account Identification:

86-0233-0-1-371.

Obligations:

Guaranteed/Insured Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The average loan amount in FY 2020 was \$273,000.

TAFS Codes:

86-0233.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program projects to guarantee 55 loans. Fiscal Year 2021: The program continues to guarantee loans on a negative subsidy basis.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1007.

Regional or Local Office:

See Regional Assistance Locations. Claudine Allen 1132 Bishop Street, Suite 1400Honolulu, HI 96813Email: Claudine.C.Allen@hud.govPhone: (808) 457-4674

Headquarters Office:

Director, Office of Loan Guarantee/ ONAP Krisa Johnson,

ASSISTANCE LISTINGS DESCRIPTIONS

451 7th Street, S.W., Room 4108,
Washington DC 20410

Email: krisa.m.johnson@hud.gov

Phone: 2024024978

Fax:

Website Address:

<http://www.hud.gov/offices/pih/ih/codetalk/onap/program184a.cfm>

RELATED PROGRAMS:

14.865 Public and Indian Housing_Indian Loan Guarantee Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.878 Affordable Housing Development in Main Street Rejuvenation Projects

(Main Street).

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

HOPE VI Program Reauthorization and Small Community Mainstreet Rejuvenation and Housing Act of 2003, , Public Law 108-186, Public Law Further Consolidated Appropriations Act of 2020 (Public Law 116-94)-116-94, Appropriations Act, 2021, Public Law 116-260.

OBJECTIVES:

To assist small communities with populations of 50,000 or less and 100 Public Housing units or less in the rejuvenation of historic or traditional central business districts or "Main Street Areas" by replacing unused commercial space in buildings with Affordable housing units. The objectives of the program are to: (1) Redevelop Main Street Areas; (2) Preserve historic or traditional architecture or design features in Main Street Areas; (3) Enhance economic development efforts in Main Street Areas; and (4) Provide affordable housing in Main Street Areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Main Street grant funds may be used to rehabilitate or develop new affordable housing that is included in a Main Street rejuvenation effort. Main Street housing units must be maintained as affordable for the initial residents only. Each resident (or family) must have an adjusted income of 80 percent or less of the area median income. Allowable activities that may be funded by a Main Street grant include: development of new housing units; rehabilitation of non-habitable existing housing units; acquisition activities; homeownership activities; necessary management improvement costs; relocation costs for affected residents; and community and supportive services. Main Street grant funded activities are limited to: affordable housing development that is part of an existing Main Street rejuvenation effort; development cost up to a maximum of HUD's Total Development Cost for public housing units; maximum fees and soft costs listed in HUD's public housing Safe Harbor Cost Controls; and a maximum of 15 percent of the grant amount to support community and supportive services activities.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions (includes institutions of higher education and hospitals); Eligible applicants include, and are limited to, Units of Local Government ("Local Government") that are subdivisions of State governments, and other governments listed in Section 102 of the Housing and Community Development Act of 1974. The jurisdiction of the Local Government must contain a population of no more than 50,000. The Local Government must either have no Public Housing Agency (PHA) or a PHA that administers no more than 100 public housing units.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Builder/Contractor/Developer; Land/Property Owner; Local; Low Income; Suburban; Rural; Other public institution/organization; The beneficiaries are low-income families that occupy the newly developed affordable housing, and the local community that is benefiting from the Main Street rejuvenation project.

Credentials/Documentation:

Eligibility requirements to apply for a Main Street grant are included in each year's Notice of Funding Availability (NOFA). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A NOFA is published in the Federal Register announcing the availability of funds and referring applicants to application materials available from Grants.gov at <http://www.grants.gov>. Applications must be submitted electronically to Grants.gov. Further information on registration into Grants.gov can be obtained on HUD's grants website at <http://www.hud.gov/grants>.

Award Procedure:

HUD Headquarters reviews each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest-rated applications are notified that they have been selected to receive a Main Street grant. Funds are obligated when HUD and the applicant execute a form HUD-1044, "Assistance Award/Amendment"

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 15 to 30 days. Applicants are notified by HUD if an application is not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 5%. Requirement: Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants have a term of 48 months. The applicant must include its program schedule in the application. Method of awarding/releasing assistance: After execution of the grant agreement, pre-development funds are made available. Upon HUD approval of the development proposal and the beginning of construction, funds are released as they are spent. Method of awarding/releasing assistance: other

Reports:

Quarterly reports on production and annual accomplishment reports are required. Program funds are tracked in HUD's financial system and leveraged funds are self reported by the grantees on a quarterly basis. The grant manager visits the sites after execution of the grant agreement and then annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Main Street grants are audited with regular audits of the unit of local government and after grant close-out.

Records:

Grantees should keep copies of budgets and accumulative cost records through the grant period, along with other records necessary to indicate compliance with the Grant Agreement.

Account Identification:

86-0218-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$500,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The grant amount was \$500,000

TAFS Codes:

86-0218.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HUD provided \$1 million for grants for small communities to assist in the renovation of an historic or traditional central business district or "Main Street" area by replacing unused, obsolete, commercial space in buildings with affordable housing units.

REGULATIONS, GUIDELINES, AND LITERATURE:

Authorizing legislation in Section 24 of the 1937 Housing Act is extremely detailed. No regulations have been published. Main Street housing development is processed using HUD's Office of Public and Indian Housing Mixed-finance regulations detailed in 24 CFR 941.606, or HOPE VI homeownership regulations under Section 24 of the 1937 Act. Each grant is subject to the terms of its NOFA and Grant Agreement, which is the contract signed by the Grantee and HUD. HUD posts guidance on its Main Street website at <http://www.hud.gov/offices/pih/programs/ph/hope6/grants/mainstreet/> with links to Main Street's most recent NOFA, program summaries, general guidance materials, mixed-finance guidance materials, and relevant statutes, regulations and notices. This web site provides Grantees with information on timelines, budgets, financial instructions, and other program guidance.

Regional or Local Office:

None. None. All Main Street grants are administered at Headquarters by the Office of Public Housing Investments (OPHI). The level of field office involvement in the administration of Main Street grants is limited to issuance of the required environmental review (24 CFR Part 50), and construction inspections. Grantees should contact OPHI at (202) 402-4500, until a HUD Grant Manager is assigned. Grantees should also contact the HUD Field Office Public Housing Division that has jurisdiction for their area. Local Public Housing Divisions are listed on the internet at https://www.hud.gov/program_offices/public_indian_housing/about/field_office.

Headquarters Office:

Program Manager Herbert L. James,
451 7th Street, S.W., Room 4130,
Washington DC 20410
Email: Herbert.L.James@hud.gov
Phone: (202) 402-4500

Website Address:

<http://www.hud.gov/mainstreet>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing;
14.872 Public Housing Capital Fund;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As published in each NOFA.

14.879 Mainstream Vouchers

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Cranston Gonzalez National Affordable Housing Act., , Public Law 101-943, Frank Melville Supportive Housing Investment Act of 2010, , Public Law 111-374, Further Consolidated Appropriations Act, 2020, , Public Law 116-94, Consolidated Appropriations Act, 2017, , Public Law 115-31, Consolidated Appropriations Act, 2021, Public Law 116-260,

OBJECTIVES:

The Mainstream Voucher Program, (previously referred to as the Mainstream 5-Year Program and/or the Section 811 Voucher Program) was originally authorized under the National Affordable Housing Act of 1990 (P.L. 101-625). The Mainstream Voucher Program provides tenant-based assistance to persons with disabilities. Until the passage of the Frank Melville Supportive Housing Investment Act of 2010 (P.L. 111-374) (Melville Act), the program operated as a separate program distinct from the regular tenant-based Housing Choice Voucher Program. The Melville Act converted the Mainstream 5-Year Program to the Housing Choice Voucher (HCV) Program under 8(o) of the U.S. Housing Act of 1937. Under the Melville Act, except for serving a specific population, Mainstream vouchers are to be treated the same as regular voucher assistance. In other words, the same regulations at 24 CFR Part 982 (Section 8 Tenant-Based Assistance: Housing Choice Voucher Program) apply to Mainstream vouchers. There is no authority to treat families that receive a Mainstream voucher differently from other applicants and participants of the HCV program. After enactment of the Melville Act (i.e., subsequent to conversion of this assistance to section 8(o) voucher assistance), funding for Mainstream voucher renewals and administrative fees was first provided in the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112-55) under its own paragraph under the Tenant-Based Rental Assistance (TBRA) heading. The Consolidated Appropriations Act, 2017 provided funding for incremental Mainstream vouchers for the first time since the enactment of the Melville Act (in addition to renewal and administrative fee funding). These incremental vouchers exclusively assisted non-elderly persons with disabilities. The Consolidated Appropriations Act, 2018 and the Consolidated Appropriations Act, 2019 ("2019 Act") also provided funding for incremental Mainstream vouchers for non-elderly persons with disabilities, in addition to renewal and administrative fee funding. In addition, the 2019 Act states that all new and existing Mainstream vouchers must be provided to non-elderly persons with disabilities upon turnover. Consistent with prior appropriations acts, all funding for 2017-2019 Mainstream vouchers was provided under its own paragraph (e.g., paragraph (4) under the TBRA heading in the 2019 Act). While the Melville Act requires Mainstream vouchers to be treated the same as regular voucher assistance, the separate HCV Mainstream appropriations results in an accounting of Mainstream activity separate from the HCV program.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income. Mainstream Vouchers can be provided to families that include a non-elderly person with disabilities.

Applicant Eligibility:

State; Public housing agencies (PHA) that is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; A family that includes a non-elderly person with disabilities that is income-eligible under the Housing Choice Voucher program regulations at 24 CFR 982.201(b)(1) as well as other wise eligible under the regulations at 24CFR 982.201, may be selected from

ASSISTANCE LISTINGS DESCRIPTIONS

the PHA's housing choice voucher waiting list and receive a voucher.

Credentials/Documentation:

In the Mainstream Voucher Program, the PHA must demonstrate in its application a need for these vouchers by providing information documenting that the demand for housing for non-elderly persons with disabilities would equal or exceed the requested number of vouchers. Currently, most Mainstream Voucher programs are relatively small and do not support a separate division by itself in a PHA's organizational records. Typically, staff that work on the HCV program (i.e., waitlist, recertification, inspection functions) perform the same required functions for the Mainstream Voucher Program. If the PHA does not have a separate accounting for Accounting Brief #25: FDS Reporting Guidance for the Mainstream Voucher Program, then the PHA will need to account for the Mainstream Voucher Program costs using a reasonable cost allocation method as required under 2 CFR 200. PHAs should not use a fee concept in determining the administrative costs of the Mainstream Voucher Program where the PHAs HCV Program would charge a set fee to the Mainstream Voucher Program regardless of the actual costs incurred by the Mainstream Voucher Program. The use of the fee concept incorrectly assumes that all administrative fees earned by the Mainstream Voucher Program is the actual cost of the program. As such, a fee methodology could result in an overstatement of the HCV program administrative expenses and the actual costs of the HCV and Mainstream Voucher Program would be co-mingled in the HCV Program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Not Applicable

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Application for Housing Assistance Payments Program (Form HUD-52515).

Award Procedure:

HUD generally makes the final decision based on the results of the rating and ranking process.

Deadlines:

Notices and Funding Availability will be announced on through NOFA or Notices.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. After the assistance awards are publicly announced, HUD will provide a debriefing, if requested, to unsuccessful applicants.

Renewals:

Other. Expiring increments of Mainstream voucher program assistance are automatically renewed by HUD if funding is appropriated by Congress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are renewed annually. Method of awarding/releasing assistance: other

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; Requisition for Partial Payment of Annual Contributions, HUD Form 52663; Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; and Family Report, HUD Form 50058 and Logic Model, HUD Form 96010. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B (Supplemental).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with the Annual Contributions Contract/Mainstream/Housing Choice Voucher Contract.

Account Identification:

86-0237-0-1-604. 86-0302-0-1-604.

Obligations:

Direct Payments for Specified Use FY 20 \$387,949,268.00; FY 21 est \$314,000,000.00; FY 22 est \$508,000,000.00;

Range and Average of Financial Assistance:

Average \$9,900 per family annually

TAFS Codes:

86-0237. 86-0302.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program anticipates serving approximately 67,222 families. Fiscal Year 2021: The 2017, 2018, and 2019 Appropriations Acts provided funded for new Mainstream vouchers. Since 2018, HUD has awarded over \$500 million in funding to public housing agencies (PHAs) to support 50,000 new Mainstream vouchers. The program anticipates serving approximately 43,800 families by the end of CY 2021. Fiscal Year 2022: The program anticipates serving approximately 54,000 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Housing Choice Voucher Program, Forms, Legal Contracts. Consolidated Appropriations Acts of 2017 (P.L. 115-31); 2018 (P.L. 115-141); and 2019 (P.L. 116-6) provided funding for incremental Mainstream vouchers for the first time since the enactment of the Frank Melville Supportive Housing Investment Act of 2010 (P.L. 111-374) (Melville Act). 2017 Notice of Funding Availability (and subsequent years) Mainstream Voucher Program provides information on the award of increments of Mainstream vouchers, including eligibility, application, award administration information, and reporting.

Regional or Local Office:

None.

Headquarters Office:

Director - Housing Voucher Management and Operations Division Steven Durham, 451 7th Street, S.W., Room 4210, Washington DC 20410
Email: Steven.R.Durham@hud.gov
Phone: (202) 708-5227

Website Address:

http://www.hud.gov/offices/pih/programs/hcv/about/fact_sheet.cfm.

RELATED PROGRAMS:

14.871 Section 8 Housing Choice Vouchers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.880 Family Unification Program (FUP)

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

Section 8 (x) of the United States Housing Act of 1937; Omnibus Appropriations Act 2009, , Public Law 111-8, Public Law Further Consolidated Appropriations Act of 2020-116-94, Appropriations Act, 2021, Public Law 116-260,

OBJECTIVES:

To aid: (1) Families for whom the lack of adequate housing is a primary factor in:
a. The imminent placement of the family's child or children in out-of-home care, or
b. The delay in the discharge of the child or children to the family from out-of-

ASSISTANCE LISTINGS DESCRIPTIONS

home care. (2) For a period not to exceed 36 months, otherwise eligible youths who have attained at least 18 years and not more than 24 years of age and who have left foster care, or will leave foster care within 90 days, in accordance with a transition plan described in section 475(5)(H) of the Social Security Act, and is homeless or is at risk of becoming homeless at age 16 or older. FUP- eligible families and youths may use these Housing Choice Vouchers (HVCs) to obtain decent, safe, and affordable housing units in the private rental market. Where appropriations provide for FUP assistance to be targeted to FUP-eligible youth only, HUD uses the name Foster Youth to Independence to create a distinction between regular FUP allocations and allocations targeted to FUP-eligible youth only.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing for very low income families at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants are limited to PHAs that have an existing Annual Contributions Contract (ACC) with HUD for HCVs.

Beneficiary Eligibility:

Individual/Family; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Unemployed; Welfare Recipient; Low Income; Families and youths that are income eligible under the HCV program regulations at 24 CFR 982.201 may receive a voucher awarded under the FUP. Families and youths must be referred to the PHA from the local Public Child Welfare Agency (PCWA). Where appropriations provide for FUP assistance to be targeted to FUP-eligible youth only, HUD uses the name Foster Youth to Independence to create a distinction between regular FUP allocations and allocations targeted to FUP-eligible youth only.

Credentials/Documentation:

For FUP, the PHA must provide a Memorandum of Understanding (MOU), executed by the official representative of the PHA, Public Child Welfare Agency (PCWA), and Continuum of Care (CoC). The MOU must identify the actions that the PHA, PCWA, and CoC will take to identify and assist FUP-eligible families and FUP-eligible youths, and the resources for supportive services that will be provided. Where appropriations provide for FUP assistance to be targeted to FUP-eligible youth only, HUD uses the name Foster Youth to Independence to create a distinction between regular FUP allocations and allocations targeted to FUP-eligible youth only. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Funding Application, Section 8 Tenant-Based Assistance (Form HUD-52515).

Award Procedure:

The Voucher Management and Operations Division generally makes the final decision based on the results of the rating and ranking process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. However, after the assistance awards are publicly announced, HUD will provide a debriefing, if requested, to unsuccessful applicants.

Renewals:

Other. Expiring increments of Section 8 housing choice voucher assistance are automatically renewed by HUD (subject to appropriations).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

New funding is provided for 12 months. Method of awarding/releasing assistance: other

Reports:

Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B; and Family Report, HUD Form 50058. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B (Supplemental).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Choice Voucher Contract.

Account Identification:

86-0302-0-1-604.

Obligations:

Direct Payments for Specified Use FY 20 \$24,417,000.00; FY 21 est \$25,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Serving 289 PHA's

TAFS Codes:

86-0302.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HUD made \$25 million available for Family Unification Program (FUP) to assist families at risk of being separated due to lack of housing. Fiscal Year 2021: HUD made \$25 million available for Family Unification Program (FUP) to assist families at risk of being separated due to lack of housing.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Section 8 Housing Choice Voucher Program Forms, Legal Contracts, and Publications Handbook, 7420.8.

Regional or Local Office:

See Regional Assistance Locations. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Ryan Jones,
451 7th Street, S.W., Room 4210,
Washington DC 20410
Email: Ryan.E.Jones@hud.gov
Phone: (202) 708-0477
Fax:

Website Address:

https://www.hud.gov/program_offices/public_indian_housing

RELATED PROGRAMS:

14.871 Section 8 Housing Choice Vouchers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

14.881 Moving to Work Demonstration Program

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act 2016, , Public Law 114-113, Omnibus Consolidated Rescissions and Appropriations Act of 1996, , Statute 110-1321, Public Law 104-134, Further Consolidated Appropriations Act, 2020, , Public Law 116-94, Appropriations Act, 2021, Public Law 116-260, , CARES 2020, Public Law 116-136, , American Rescue Plan (ARP), 2021, Public Law 117-2,

OBJECTIVES:

The purpose of this demonstration is to give public housing agencies and the Secretary of Housing and Urban Development the flexibility to design and test various approaches for providing and administering housing assistance that: reduce cost and achieve greater cost effectiveness in Federal expenditures; give incentives to families to obtain employment and become economically self-sufficient; and increase housing choices for low-income families to obtain employment and become economically self-sufficient, and increase housing choices for low-income families.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Under the demonstration, notwithstanding any provision of the United States Housing Act of 1937 except as provided in section 204(e) of Public Law 104-134, an agency may combine operating assistance provided under section 9 of the United States Housing Act of 1937, and tenant-based assistance provided under section 8 of such Act, to provide housing assistance for low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937, and services to facilitate the transition to work on such terms and conditions as the agency may propose and the Secretary may approve.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public housing agencies administering the Public Housing program and/or the Section 8 Housing Choice Voucher program may be selected by the Secretary to participate.

Beneficiary Eligibility:

Low Income; Low-income public housing and voucher residents.

Credentials/Documentation:

PHAs must enter into an agreement (i.e., MTW Agreement or ACC Amendment, as applicable) with the Department that details the terms and conditions of participation in the demonstration. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. PHAs annually submit an MTW Plan or MTW Supplement to the PHA Plan, as applicable, to HUD, which documents new and ongoing MTW activities. Plans and MTW Supplements must include criteria for: (A) families to be assisted, which shall require that at least 75 percent of the families assisted by participating demonstration public housing authorities shall be very low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937; (B) establishing a reasonable rent policy, which shall be designed to encourage employment and self-sufficiency by participating families, consistent with the purpose of this demonstration, such as by excluding some or all of a family's earned income for purposes of determining rent; (C) continuing to assist substantially the same total number of eligible low-income families as would have been served had the amounts not been combined; (D) maintaining a comparable mix of families (by family size) as would have been provided had the amounts not been used under the demonstration; (E) assuring that

housing assisted under the demonstration program meets housing quality standards established or approved by the Secretary; and (F) may request assistance for training and technical assistance to assist with design of the demonstration.

Award Procedure:

The program office reviews and approves the MTW Plan. Public and Indian Housing (PIH) field offices and the MTW Office review the MTW Supplement to the PHA Plan, and the field office approves the MTW Supplement to the PHA Plan.

Deadlines:

Contact the MTW Office in Headquarters for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The program office or PIH field office must notify the PHA within 75 days after the PHA submits the Plan or MTW Supplement to the PHA Plan of disapproval. If the PHA is not notified of disapproval within 75 days, the MTW Plan or MTW Supplement to the PHA Plan shall be considered approved.

Appeals:

Not Applicable. To be determined.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

MTW agencies must meet the requirements stated in their MTW Agreement or ACC Amendment/MTW Operations Notice, as applicable. Method of awarding/releasing assistance: other

Reports:

Annual MTW report is required 90 days after the end of the PHAs fiscal year for those PHAs designated as MTW prior to MTW Expansion Statute.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The MTW program is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0304-0-1-604. 86-0302-0-1-604. 86-0163-0-1-604.

Obligations:

Formula Grants FY 20 \$941,623,235.00; FY 21 est \$1,415,927,680.00; FY 22 est (\$1,460,819,548.00);

Range and Average of Financial Assistance:

N/A

TAFS Codes:

86-0302. 86-0163. 86-0304.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: As authorized by Section 204 of the Omnibus Consolidated Rescissions and Appropriations Act of 1996, 39 agencies were designated MTW. Fiscal Year 2021: Section 239 of the Fiscal Year 2016 Appropriations Act, P.L. 114-113 (2016 MTW Expansion Statute) authorized HUD to expand the MTW Demonstration by an additional 100 new agencies. FY 2021 administration budget proposal includes a request to create an MTW account for MTW agencies to receive Section 8 and Section 9 program funding through a combined, single account. Fiscal Year 2022: HUD plans to provide funding to approximately 40 expansion MTW agencies that will be designated as MTW in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Program office addresses).

Headquarters Office:

Director - MTW Program Marianne Nazzaro,
451 7th Street, SW,
Washington DC 20410
Email: Marianne.Nazzaro@hud.gov
Phone: 202.402.4306

Website Address:

<https://www.hud.gov/mtw>

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.872 Public Housing Capital Fund; 14.871 Section 8 Housing Choice Vouchers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.888 Lead-Based Paint Capital Fund Program

Lead-based Paint and Other Housing-Related Hazards,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Further Consolidated Appropriations Act, 2020, , Public Law 116-94, Appropriation Act, 2021, Public Law 116-260,

OBJECTIVES:

The Lead-Based Paint, Housing-related Hazards, and Capital Fund Receivership Programs are for Public Housing Authorities (PHAs) to evaluate and reduce lead-based paint and other housing-related hazards including carbon monoxide and mold in public housing, and for other costs associated with public housing asset improvement. The Lead-based Paint Capital Fund Program provides competitive grants to PHAs to evaluate and reduce lead-based paint hazards in public housing by carrying out the activities of risk assessments, abatement, and interim controls (as those terms are defined in section 1004 of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (42 U.S.C. 4851b)). The Housing-related Hazards Capital Fund Program provides funds for PHAs to prevent and correct significant housing-related health and safety hazards such as mold and carbon monoxide in public housing. The Capital Fund Receivership Program provides funds to support the costs of administrative and judicial receiverships and provides competitive grants to PHAs in receivership, designated troubled or substandard, or otherwise at risk, as determined by the Secretary, for costs associated with public housing asset improvement.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The funds may be used for lead-based paint evaluation, hazard control, and other public housing improvement costs in existing public housing units. The funds retain the same use restrictions as formula-based capital funds, specifically, the modernization of public housing developments. Lead-based paint capital funds may not be used for luxury improvements, direct social services, costs funded for other programs, and ineligible activities as determined by HUD on a case-by-case basis. Assistance must be used to modernize and/or develop public housing and must comply with the eligible activities of Section 9(d) of the U.S. Housing Act of 1937.

Applicant Eligibility:

Other public institution/organization; Only Public Housing Agencies (PHAs) with the legal authority to develop, own, modernize and operate a public housing developments in accordance with the 1937 Housing Act are eligible.

Beneficiary Eligibility:

Individual/Family; Low Income; Public housing residents

Credentials/Documentation:

Required forms will be in the Grants.gov application kit. They include the SFLLL for any PHA that uses non-federal funds for lobbying, and the HUD 2880 (HUD Reform Act requirement) and the new Certification Regarding Lobbying form. PHAs that have already submitted other required forms for formula-based Capital Funds in the same calendar year do not need to submit them again unless there are revisions for this program: HUD form HUD-50077-ST-HCV-HP; HUD-50075, PHA Certification of Compliance with the PHA Plan and Related Regulations; HUD form 50071, Certification of Payments to Influence Federal Transactions; and Civil Rights Certification. 2 CFR 200, Subpart E Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See posted Notices of Funding Opportunities (NOFO) on HUD's website at

https://www.hud.gov/program_offices/spm/gmohgmt/grantsinfo/fundingopps. HUD will also post Finding of No Significant Impact (FONSI) statements as completed.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the applicable NOFO. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Competitive funds will be awarded as described in the relevant Notice of Funding Opportunity.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Application deadline and projected award dates will be provided in the published NOFO.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The statute requires that CFP funds allocated to a PHA be ninety percent obligated within two years and expended in four years unless the Secretary extends the time period. Method of awarding/releasing assistance: lump sum.

Reports:

PHAs must provide performance and evaluation reports annually for all open capital fund grants, including this program's funds. Program reporting will be completed in the Energy and Performance Information Center System (EPIC). Progress reports are also part of the performance and evaluation reports. PHAs are required to report on expenditures monthly in HUD's financial system. Performance monitoring is included in the performance and evaluation reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Capital Fund Program grants are audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

86-0304-0-1-604, 86-0481-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$47,250-\$5,000,000; average award \$2,055,391.

TAFS Codes:

86-0304, 86-0481.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: HUD provided \$51 million for competitive grants to public housing agencies to evaluate and reduce lead-based paint hazards. Fiscal Year 2022: HUD provided \$60 million for competitive grants to public housing agencies to evaluate and reduce lead-based paint hazards.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905, 24 CFR Part 35 Subparts B, L, R

Regional or Local Office:

See Regional Assistance Locations. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA. (see the Catalog, Appendix IV for HUD Field Office addresses.)

Headquarters Office:

HUD's Office of Capital Improvements,
HUD Headquarters, 451 7th St. SW,
Washington DC 20410
Email: PIHOCI@hud.gov
Phone: 800-483-8929

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/capfund>

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.872 Public Housing Capital Fund; 14.881 Moving to Work Demonstration Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be competitively funded based on need.

14.889 Choice Neighborhoods Implementation Grants

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Further Consolidated Appropriations Act, 2020 (Public Law 116-94), as applied to section 24 of the US Housing Act of 1937, as amended (42 U.S.C. 1437v) Appropriation Act, 2021 (Public Law 116-260), , Public Law -

OBJECTIVES:

Choice Neighborhoods Implementation Grants employ a comprehensive approach to community development centered on redeveloping severely distressed public housing and/or HUD-assisted housing. The program aims to transform neighborhoods of poverty into viable mixed-income neighborhoods with access to economic activities by revitalizing a target housing project and catalyzing critical improvements in the neighborhood, including vacant property, housing, businesses, services and schools.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Choice Neighborhoods funds competitive grants to transform neighborhoods of extreme poverty into sustainable mixed-income neighborhoods with well-functioning services, schools, public assets, transportation and access to jobs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); For Choice Neighborhoods Implementation Grants, the local government of jurisdiction must be either the Lead Applicant or Co-Applicant. The Lead Applicant can be either a Public Housing Agency (PHA), or owner of the target HUD-assisted housing (e.g., nonprofit or for-profit developer).

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; Local; Moderate Income; Low Income; For Choice Neighborhoods Implementation Grants, the ultimate beneficiaries are residents of the severely distressed public and/or assisted housing projects and residents of the surrounding community these grants aim to transform.

Credentials/Documentation:

Eligibility requirements to apply for Choice Neighborhoods Implementation Grants are included in the Notice of Funding Opportunity (NOFO). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information of the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-application coordination is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. As established in the NOFO, the application is a series of narrative exhibits and attachments. Applications must be submitted through the grants.gov portal. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

HUD will issue a Notice of Funding Availability (NOFA), as provided by annual appropriations, via the Grants.gov website. HUD headquarters will coordinate reviews of each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest rated applications are notified that they have been selected to participate in the Choice Neighborhoods Implementation Grant program.

Deadlines:

NOFOs are posted to www.grants.gov, HUD's Funding Opportunities page (<https://www.hud.gov/grants/>), and the CN program website (www.hud.gov/cn).

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days following the grant application deadline established by each NOFA. Please refer to each Notice of Funding Availability (NOFA) for application deadlines.

Appeals:

Not Applicable. Applicants are notified by HUD if an application is not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 5%. Requirement: Matching requirements are voluntary. Choice Neighborhoods Implementation Grants will leverage funds from state, local or private sources as well as other HUD and Federal programs. HUD is required by section 24(c)(1)(A) of the 1937 Act (42 U.S.C. 1437v(c)(1)(A)) to include the requirement for matching funds for all HOPE VI-related grants, which includes Choice Neighborhoods. Applicants are required to have matching funds in the amount of five percent of the requested grant amount in cash or in-kind donations. This program does not have MOE requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Each NOFA and grant agreement established timetables and milestones. Implementation Grant term is usually 5-6 years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit quarterly reports to HUD that will track Grantee progress against approved budgets and schedules.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grants are audited in conjunction with regular HUD or independent public accountant audits and after grant close-out.

Records:

Grantees are required to maintain copies of budgets and other cost records through the grant period to indicate compliance with the Grant Agreement.

Account Identification:

86-0349-0-1-604.

Obligations:

Project Grants FY 20 \$150,000,000.00; FY 21 est \$195,000,000.00; FY 22 est \$195,000,000.00;

Range and Average of Financial Assistance:

Range is \$24,214,284 to \$30,000,000. Average is \$29,035,714

TAFS Codes:

86-0349.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HUD expects to make 4-5 grant awards with a maximum grant size of \$35,000,000 to redevelop severely distressed public housing sites, provide supportive services to residents, and catalyze neighborhood investments.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Luci Blackburn, Acting Choice Neighborhoods of Director,
451 7th Street S.W. Room 4130,
Washington DC 20410
Email: choiceneighborhoods@hud.gov
Phone: (202) 402-4190

Website Address:

<http://www.hud.gov/cn/>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing;

EXAMPLES OF FUNDED PROJECTS:

2020 Descriptions of funded projects available on the Choice Neighborhoods program website, www.hud.gov/cn.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.892 Choice Neighborhoods Planning Grants

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2010, Consolidated Appropriations Act, 2017, , Public Law 114-113, Public Law Further Consolidated Appropriations Act of 2020 (Public Law 116-94)-116-94, Appropriations Act of 2021 (Public Law 116-260),

OBJECTIVES:

Choice Neighborhoods Planning Grants will support the development of comprehensive neighborhood Transformation Plans centered on the revitalization of a severely distressed public housing or HUD-assisted housing project. The Transformation Plan should integrate effective strategies to implement public

and/or assisted housing revitalization, the coordination and design of supportive services, including educational opportunities for children, and neighborhood-level planning to improve a range of neighborhood assets. The Transformation Plan should be created as part of a collaborative planning process that involves neighborhood stakeholders and local governmental entities to build the necessary support to successfully implement the plan.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Choice Neighborhoods Planning Grants will fund the creation of Transformation plans that are intended to become the guiding document for the revitalization of the public and/or assisted housing units while simultaneously directing the transformation of the surrounding neighborhood and outlining the steps necessary to create positive outcomes for families within the targeted neighborhood.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; PHAs, local governments, tribal entities, and nonprofits are eligible to apply. Refer to the annual NOFO for details.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; Small Business Person; U.S. Citizen; Local; Public nonprofit institution/organization; Other public institution/organization; For Choice Neighborhoods Planning Grants, the ultimate beneficiaries are residents of the severely distressed public and/or assisted housing unit and residents of the surrounding community these grants aim to engage in the creation of the community drafted Transformation Plan.

Credentials/Documentation:

Eligibility requirements to apply for Choice Neighborhoods Planning Grants are included in the Notice of Funding Opportunity (NOFO). OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov. As established in the NOFO, the application is a series of narrative exhibits and attachments. Applications must be submitted through the grants.gov portal. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

HUD will issue a Notice of Funding Opportunities (NOFO), as provided by annual appropriations, via the Grants.gov website. HUD headquarters will coordinate reviews of each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest rated applications are notified that they have been selected to participate in the Choice Neighborhoods Planning Grant program.

Deadlines:

HUD publishes a NOFO each year based on appropriations. Information available at www.hud.gov/cn.

Range of Approval/Disapproval Time:

From 90 to 120 days. Refer to each Notice of Funding Opportunities (NOFO) for application deadlines.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 5%. Requirement: Matching requirements are voluntary. HUD is required by section 24(c)(1)(A) of the 1937 Act (42 U.S.C. 1437v(c)(1)(A)) to include the requirement for matching funds for all HOPE VI-related grants, which includes Choice Neighborhoods. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

24 months from grant execution Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit quarterly reports to HUD that will track Grantee progress against approved budgets and schedules. Grantees are required to submit quarterly reports to HUD that will track Grantee progress against approved budgets and schedules.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grants are audited in conjunction with regular HUD or independent public accountant audits and after grant close-out.

Records:

Grantees are required to maintain copies of budgets and other cost records through the grant period to indicate compliance with the Grant Agreement.

Account Identification:

86-0349-0-1-604.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

Maximum grant award is \$450,000.

TAFS Codes:

86-0349.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HUD expects to award up to 10 new Planning Grants. Fiscal Year 2021: HUD expects to award up to 11 Planning Grants. Fiscal Year 2022: HUD expects to award up to 11 Planning Grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been published. Each grant is subject to the terms of its NOFO and Grant Agreement, which is the contract signed by the Grantee and HUD. HUD posts guidance to the Choice Neighborhoods website, www.hud.gov/cn.

Regional or Local Office:

None.

Headquarters Office:

Luci Blackburn, Acting Choice Neighborhoods Director,
451 7th Street S.W. Room 4130,
Washington DC 20410
Email: choiceneighborhoods@hud.gov
Phone: (202) 402-4190

Website Address:

<http://www.hud.gov/cn>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing;
14.889 Choice Neighborhoods ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each year's NOFO establishes the selection criteria, including factors to assess capacity, need, soundness of approach, and leverage.

14.893 Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2019, , Public Law 116-6, Further Consolidated Appropriations Act, 2020, , Public Law 116-94, Consolidated Appropriations Act, 2021, Public Law 116-260, , American Rescue Plan (ARP), 2021, Public Law 117-2,

OBJECTIVES:

To provide technical assistance for Indian tribes, Alaska Native villages, and tribally designated housing entities (TDHEs) in the development of viable communities.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Funds are available to national and regional organizations representing Native American housing interests to provide training and technical assistance (T&TA) to Indian tribes and TDHEs (and subrecipients) eligible to receive an Indian Housing Block Grant (IHBG) pursuant to the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4111 et seq.). Funds are also available to national and regional organizations representing Native American housing interests as well as for-profit entities to provide T&TA to Indian tribes/TDHEs (and subrecipients) eligible to receive an IHBG. Indian tribes, TDHEs, and their subrecipients eligible to receive assistance provided by T&TA providers are collectively referred to as T&TA beneficiaries.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Depending on the component, any national or regional T&TA provider, or any organization with the capacity to provide services.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; N/A

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular A-87, Circular A-122, or 48 CFR 31.2.2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Office of Native American Programs (ONAP) is responsible for rating and approving applications and for notifying applicants of the results.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable. None as specified in the General Section.

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for two years. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit quarterly reports. Recipients are required to submit quarterly reports. Recipients are required to submit quarterly reports. Recipients are required to submit quarterly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records applicable to the assistance project must be kept for three to five years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0313-0-1-604.

Obligations:

Training FY 20 \$0.00; FY 21 est \$17,000,000.00; FY 22 est \$7,000,000.00;

Range and Average of Financial Assistance:

\$9,000-\$50,000; \$18,000 Average

TAFS Codes:

86-0313.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, over 1,500 individuals received IHBG-focused training and technical assistance through instructional resources. In addition, the Department of Housing and Urban Development partnered with expert technical assistance providers to support 117 direct technical assistance training sessions.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000

Regional or Local Office:

See Regional Assistance Locations. Headquarters

Headquarters Office:

Lee Sobel,
451 7th Street, SW, Room 4108,
Washington DC 20410
Email: lee.s.sobel@hud.gov
Phone: 202-402-4578

Website Address:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/administration/grants/fundsavail

RELATED PROGRAMS:

93.612 Native American Programs; 14.862 Indian Community Development Block Grant Program; 15.141 Indian Housing Assistance; 14.867 Indian Housing Block Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be submitted by non-profit and for profit organizations that possess the capacity to perform the associated tasks as required in the Notice issued by HUD. Applications are then rated against factors that measure experience, performance, management and quality control.

14.895 Jobs-Plus Pilot Initiative

Jobs-Plus,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

Consolidated Appropriations Act of 2017, , Public Law 114-113, Public Law Further Consolidated Appropriations Act of 2020 (Public Law 116-94)-116-94, Consolidated Appropriations Act, 2021 (Public Law 116-260),

OBJECTIVES:

The Jobs Plus program develops locally-based, job-driven approaches that increase earnings and advance employment outcomes through work readiness, employer linkages, job placement, educational advancement, technology skills, and financial literacy for residents of public housing. The place-based Jobs Plus program addresses poverty among public housing residents by incentivizing and enabling employment through earned income disregards for working residents and a set of services designed to support work including employer linkages, job placement and counseling, educational advancement, and financial counseling. Ideally, these incentives will saturate the target public housing development, building a culture of work and making working residents the norm. The Jobs Plus program model comprises these three core components: Employment-Related Services; Financial/Rent Incentive Jobs Plus Earned Income Disregard (JPEID); and Community Supports for Work.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used to pay the cost of implementing Jobs Plus start-up, planning, and programming activities (employment and incentives); and the salary and fringe benefits of program staff. Only residents of conventional public housing may benefit from this program.

Applicant Eligibility:

Government - General; State; Public Housing Authorities (PHA) are the only eligible applicants, unless otherwise stated in the current Fiscal Years Notice of Funding Availability (NOFA)

Beneficiary Eligibility:

State; U.S. Citizen; Local; Unemployed; Low Income; Individuals and families who are residents of public housing are the beneficiaries of the Job Plus program, unless otherwise stated in the current Fiscal Years NOFA.

Credentials/Documentation:

Information required This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HUD will post a Jobs Plus NOFA to grants.gov for each FY of appropriated funding. The NOFA includes program information and instructions on how to apply for a grant. Any necessary application forms are posted with the NOFA.

Award Procedure:

HUD will review those on-time applications in accordance with the NOFA posted to Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Following the deadline, HUD will review applications in accordance with the NOFA in order to select applications. HUD will announce the grants and obligate funds.

Appeals:

Not Applicable. There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 4.5-year time period. Further information is included in the NOFA on how grants are awarded/released. Grant agreements are executed and funds are made available through HUD's financial system. Method of awarding/releasing assistance: reimbursement. Funds are released in accordance with budgets/workplans approved by HUD. Method of awarding/releasing assistance: other

Reports:

Grantees must comply with the reporting requirements of the grant agreement, which includes quarterly and annual progress reporting as well as financial reporting. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit annual reports as specified by HUD. Further information on performance monitoring is included in the NOFA.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees must follow audit requirements of the grant agreement, which includes 2 CFR part 200. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records will be retained in accordance with 24 CFR Part 200 as noted in the grant agreement.

Account Identification:

86-0350-0-1-604, 86-0304-0-1-604.

Obligations:

Project Grants FY 20 \$31,345,000.00; FY 21 est \$15,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

The range for financial assistance is \$2,300,000 to \$3,700,000 per grantee award. The average grant size is approximately \$2.4 million.

TAFS Codes:

86-0350, 86-0304.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through the FY20-21 competition, HUD provided \$28.2 million in grant awards to 12 PHA grantees to implement Jobs Plus programs to assist participants with increasing earnings and advancing employment outcomes for residents in public housing. Fiscal Year 2021: Through the FY20-21 competition, HUD provided \$28.2 million in grant awards to 12 PHA grantees to implement Jobs Plus programs to assist participants with increasing earnings and advancing employment outcomes for residents in public housing. Fiscal Year 2022: HUD may provide an estimated \$20 million for Jobs Plus to assist participants with increasing earnings and advancing employment outcomes for residents in public housing.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes, the specific fiscal year NOFA posted to Grants.gov, and the grant agreement provide program requirements and guidelines.

Regional or Local Office:

None.

Headquarters Office:

Grant Administrator Leigh vain Rij, Isaac Dozier,
451 7th Street, S.W., Room 4130,
Washington DC 20410
Email: JobsPlus@Hud.Gov
Phone: 2024025788
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

14.870 Resident Opportunity and Supportive Services - Service Coordinators;
14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.896 Family Self-Sufficiency Program

(FSS).

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

Cranston-Gonzalez National Affordable Housing Act, 2017, , Public Law 101-625, 42 USC Code 1437u. Further Consolidated Appropriations Act, 2020, , Public Law 116-94, Appropriations Act, 2021 (Public Law 116-260),

OBJECTIVES:

To promote the development of local strategies to coordinate the use of assistance under the Housing Choice Voucher and Public Housing programs with public and private resources to enable participating families to increase earned income and financial literacy, reduce or eliminate the need for welfare assistance, and make progress toward economic independence and self-sufficiency. Public Housing FSS and HCV FSS were separate programs for many years. They were combined into one FSS program in FY14.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used to pay the salary and fringe benefits of a program coordinator.

Applicant Eligibility:

Government - General; State; Public Housing Authorities (PHAs) (not including NAHASDA funding)

Beneficiary Eligibility:

Individual/Family; Low Income; Individuals and families who are participants in the Housing Choice Voucher program or Public Housing program are eligible to receive benefits from the FSS program.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Funding Opportunity (NOFO) prescribing the specific information to be submitted will be posted on Grants.gov by HUD Headquarters. HUD provides the application and standard forms for this program.

Award Procedure:

HUD will review those applications in accordance with the NOFO published in the Federal Register.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. HUD will undertake processing of applications and selection of successful applicants. HUD will make final selection and obligate funds.

Appeals:

Other. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFO.

Renewals:

Other. Grantees must apply each year for continued funding.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter , Part 984, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 1-year time period. Method of awarding/releasing assistance: other

Reports:

Grantees must keep program records and submit reports as specified by HUD. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit reports as specified by HUD. Grantees must submit annual reports as specified by HUD.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grantees must adhere to the policies, guidelines, and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87; OMB Circulars A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 or 85.

Account Identification:

86-0350-0-1-604.

Obligations:

Project Grants FY 20 \$79,550,000.00; FY 21 est \$105,000,000.00; FY 22 est \$120,000,000.00;

Range and Average of Financial Assistance:

Total grants range from approximately \$15,000-\$1,500,000. Average approximately \$82,000 per coordinator. Number of coordinators per PHA varies.

TAFS Codes:

86-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HUD will provide funding for over 1,300 Family Self-Sufficiency Coordinators. Fiscal Year 2021: HUD will provide funding for over 1,300 Family Self-Sufficiency Coordinators. Fiscal Year 2022: HUD will provide funding for over 1,300 Family Self-Sufficiency Coordinators.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes, and the specific fiscal year NOFO posted in

Grants.gov provide program requirements and guidelines. See also 24 CFR 984.

Regional or Local Office:

None. Designated personnel in the offices of Public Housing listed in Appendix IV of the Catalog.

Headquarters Office:

Anice Chenault, Jogchum Poodt, Lewis Dorman,
451 7th Street SW, Room 5151,

Washington DC 20410

Email: FSS@hud.gov

Phone: 202-402-2953

Website Address:

https://www.hud.gov/program_offices/public_indian_housing/programs/hcv/fss

RELATED PROGRAMS:

14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFO.

14.899 Tribal HUD-VA Supportive Housing Program

Tribal HUD-VASH Program,

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR PUBLIC AND INDIAN HOUSING, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2015, , Public Law 113-235, Consolidated Appropriations Act, 2019, , Public Law 116-6, Further Consolidated Appropriations Act, 2020, , Public Law 116-94, Consolidated Appropriations Act, 2017, , Public Law 115-31, Consolidated Appropriations Act, 2021, Public Law 116-260,

OBJECTIVES:

The Tribal HUD-VASH program combines rental assistance from the Department of Housing and Urban Development (HUD) with supportive services provided by the Department of Veterans Affairs to Native American Veterans who are homeless or at risk of homelessness.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Tribal HUD-VASH program combines rental assistance from the Department of Housing and Urban Development (HUD) with supportive services provided by the Department of Veterans Affairs to Native American Veterans who are homeless or at risk of homelessness. Housing assistance under this program is made available by grants to Indian tribes and tribally designated housing entities (TDHEs) that are eligible to receive Indian Housing Block Grant funding under the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA). Indian tribes and TDHEs that participate in this program must partner with the Department of Veterans Affairs to provide healthcare assistance and case management services to eligible Native American Veterans. Grants are awarded based on the number rental units (Tenant-Based and Project-Based Rental Assistance) approved by HUD. Grants include an additional amount for administrative costs and is awarded based on 12 months of funding.

Applicant Eligibility:

Government - General; Grant funding under this program is made to Indian tribes and TDHEs that are eligible to receive Indian Housing Block Grant funding under the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA).

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals,

ASSISTANCE LISTINGS DESCRIPTIONS

students, veterans); Native American Organizations; American Indian; Veteran/Service person/Reservist (including dependents; Unemployed; Low Income; Federally Recognized Indian Tribal Governments; The primary beneficiaries are Native American Veterans who meet the criteria of NAHASDA and 24 CFR 1000.104.

Credentials/Documentation:

All Indian tribes must include a tribal resolution for the Tribal HUD-VASH program with the application package. If an application is submitted by a Tribally Designated Housing Entity (TDHE) on behalf of an Indian tribe(s), a tribal resolution(s) must be submitted authorizing the TDHE to submit the application under this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Under the demonstration program, eligible tribes/TDHEs were invited in each HUD (Office of Native American Programs) regional office to apply for Tribal HUD-VASH shortly after publication of 80 FR 63822. Future application procedures will be issued by HUD, subject to the availability of funds.

Award Procedure:

HUD will review each application and will respond to each application within the period outlined in future issued by HUD. Upon HUD's approval of the application, a Tribal HUD-VASH grant will be awarded to a tribe/TDHE. HUD will issue a grant agreement to be signed by the Indian tribe or TDHE, and will disburse funds through the HUD Line of Credit Control System (LOCCS) system.

Deadlines:

Based on the information established in the Federal Register and/or Public and Indian Housing Notices issued by HUD.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewal funding under the Tribal HUD-VASH program is subject to the availability of appropriations and the information established in the notices established by HUD.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant funding is awarded based on 12 months of funding with final project lengths negotiated with HUD's approval. Participating Indian tribes and TDHEs draw down funds from the HUD Line of Credit Control System (LOCCS) on a monthly basis to cover rental assistance payments. Method of awarding/releasing assistance: lump sum.

Reports:

The Indian tribe or TDHEs must include the use of funds in their Indian Housing Plan and Annual Performance Report. Tribal HUD-VASH grantees are required to submit a Federal Financial Report (SF-425) to HUD within 30 days after the end of each quarter. Tribal HUD-VASH grantees are required to collect and retain the relevant demographic and rental information in accordance with Program Guidance 2016-05 (Record Keeping at Initial Occupancy). Performance monitoring is required through the interim and annual reexaminations criteria below: HUD has found it necessary to require interim reexaminations if a Native American Veterans household income decreases so that the rental assistance payment may increase to cover the cost of rent. Further, if the program is given renewal authority, it will be necessary to conduct annual reexaminations to capture annual fluctuations in income and rent as well as track demographic data necessary for the reporting requirements of the program. Tribes/TDHEs must conduct an interim

reexamination if the Native American Veterans income decreases between annual certifications. If there have been any changes in income, rent, or household composition they must collect and maintained in the veterans file in accordance with Program Guidance 2016-05 (Record Keeping at Initial Occupancy). A paper copy of this information must be kept in the Veterans file and be transmitted to HUD upon request once the electronic reporting system is functioning. In the event of renewal funding for the program, the Tribes/TDHEs must conduct an annual reexamination of the Native American Veteran and the households income to determine rental assistance payments and tenant rent contribution payments. Annual reexaminations must also collect and update household demographic, personal and rental information in accordance with Program Guidance 2016-05 (Record Keeping at Initial Occupancy). A paper copy of this information must be kept in the veterans file and an electronic version of this information must be sent to HUD upon request once the electronic reporting system is functioning. Rental information reported during the annual recertification will be used to calculate renewal funding. If, upon annual reexamination, a Native American veteran or his/her household is determined to be over-income, the tribe can continue to serve the Native American veteran/household and not have it count towards its 10 percent over-income cap under 24 CFR 1000.110(c). If the Native American Veteran/households adjusted rent contribution payment, based on the income increase, is equal to the rent for the unit, then the Tribal HUD-VASH rental assistance is no longer needed and this assistance must be used on the next eligible Native American Veteran. In this instance, the over-income Native American Veteran can continue to receive Case Management services from the VA for as long as the VA deems the care necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Tribal HUD-VASH grantee is required to meet the requirements of 2 CFR 200, Subpart F, if applicable.

Records:

In addition to maintaining records of referral and eligibility determination, a Tribal HUD-VASH grantee must also collect, keep on file, and report, additional household demographic, personal (including social security numbers), and rental information using in accordance with Program Guidance 2016-05 (Record Keeping at Initial Occupancy).

Account Identification:

86-0302-0-1-604.

Obligations:

Project Grants (Discretionary) FY 20 \$4,096,000.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

\$19,000 - \$391,000

TAFS Codes:

86-0302.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HUD has made \$1 million available for Tribal HUD-VASH to provide rental assistance and supportive services to Native American veterans who are Homeless or At Risk of Homelessness living on or near a reservation or other Indian areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" Federal Register Notice, "Implementation of the Tribal HUDVA Supportive Housing Program": 80 FR 63822

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Hilary Atkin,
451 7th Street, SW, Room 4108,
Washington DC 20410
Email: Hilary.C.Atkin@hud.gov
Phone: 202-402-3427

ASSISTANCE LISTINGS DESCRIPTIONS

Fax:

Website Address:

https://www.hud.gov/program_offices/public_indian_housing/ih/headquarters/gm

RELATED PROGRAMS:

14.867 Indian Housing Block Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing

Lead-Based Paint Hazard Control.

FEDERAL AGENCY:

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Residential Lead-Based Paint Hazard Reduction Act of 1992, Title X, Section 1011, Public Law 102-550, Omnibus Appropriations Act, 2019, , Public Law 111-8

OBJECTIVES:

Lead-Based Paint Hazard Control grants assist State, Tribal, and local governments to identify and control lead-based paint hazards in privately-owned housing that is owned by or rented to low- or very-low income families. Specific objectives include: (1) Maximize the combination of children less than six years of age protected from lead poisoning and housing units where lead-hazards are controlled; (2) Prevent childhood lead poisoning; (3) Stimulate lower-cost and cost-effective methods and approaches to lead hazard control work that can be replicated; (4) Build local capacity to safely and effectively address lead hazards during lead hazard control, renovation, remodeling, and maintenance activities by integrating lead safe work practices into housing maintenance, repair, weatherization, rehabilitation and other programs that will continue beyond the grant period; (5) Affirmatively further fair housing and environmental justice (6) Develop a comprehensive community approach to address lead hazards in housing by mobilizing public and private resources, involving cooperation among all levels of government, the private sector, and grassroots community-based nonprofit organizations, including faith-based organizations, to develop cost-effective methods for identifying and controlling lead-based paint hazards (7) Establish a public registry of lead-safe housing; and (8) To the greatest extent feasible, promote job training, employment, and other economic opportunities for low-income and minority residents and businesses that are owned by and/or employ minorities and low-income persons as defined in 24 CFR 135.5 (see 59 FR 33881, June 30, 1994).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For Fiscal Year 2021, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; States, Tribes, cities or units of general local government that have a current, approved Consolidated Plan. Federal agencies and Federal employees are not eligible to submit applications. Applicants with outstanding civil rights violations are not eligible for funding.

Beneficiary Eligibility:

Federal; Individual/Family; Anyone/general public; State; Local; Hazard Control Grants shall be for lead-based paint hazard control in eligible target housing, as defined under Section 217 of Public Law 104-134 (the Omnibus Consolidated Rescissions and Appropriations Act of 1996, 110 Stat. 1321, approved April 26,

1996) as amended by Section 1011(a) of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X). Funds shall be available only for projects conducted using contractors and inspectors certified, through an EPA authorized program, or trained in lead-safe work practices using a HUD-approved curriculum.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The competitive Notification of Funding Availability (NOFA) for fiscal year 2021 The Lead-Based Paint Hazard Control Grant Program provides information about the application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. The Lead-Based Hazard Control Grant Program requires a minimum of 10 percent local matching requirement. Community Development Block Grant funds are eligible as a local contribution. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Lead-Based Hazard Control Grant award is for 42 months. HUD reserves the right to approve no cost time extensions. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions. A Final report is also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

As required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals and Other Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

Project Grants FY 20 \$186,954,676.00; FY 21 est \$215,838,934.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

With Fiscal Year 2021 funds an estimated 72 Lead Hazard Control grants of approximately \$3 million each will be awarded totaling \$216 million.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All relevant information if provided in the Notice of Funding Availability.

Regional or Local Office:

None.

Headquarters Office:

Government Technical Representative Yolanda Brown,,
451 Seventh Street, SW (Room 8236),
Washington DC 20410
Email: Yolanda.A.Brown@hud.gov
Phone: (202) 402-7596

Website Address:

<http://www.hud.gov/healthyhomes/>.

RELATED PROGRAMS:

14.905 Lead Hazard Reduction Demonstration Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2021: HUD will use the technical and financial selection criteria listed in the NOFA

14.901 Healthy Homes Demonstration Grants

Healthy Homes Demonstration,

FEDERAL AGENCY:

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Urban Development Act of 1970, Section 501-502, 12 USC Code 1701z1-2. Omnibus Appropriations Act, 2020, , Public Law -

OBJECTIVES:

HUD is interested in supporting demonstrations of programs that provide housing interventions in lower income households that are conducted jointly through the coordination of HUD's Office of Lead Hazard Control and Healthy Homes (OLHCHH)-funded Lead Hazard Control/Healthy Homes programs and Weatherization Assistance Programs funded by the U.S. Department of Energy (DOE) to determine whether this coordinated delivery of services achieves cost effectiveness and better outcomes in improving the safety and quality of homes. The coordinated assessments and interventions are expected to provide additional benefits to households through the combined mitigation of health and safety hazards and improvements in energy efficiency and comfort. These grants are intended to facilitate the leveraging of assets from both programs and support the exploration of different models of service delivery (e.g., recruitment strategies, partnerships). HUD-funded programs identify and mitigate lead-based paint and other key residential health and safety hazards such as mold and moisture, pest infestation, poor indoor air quality, radon, and injury hazards. The DOE-funded programs conduct energy audits and implement energy conservation measures, while also conducting interventions to improve health and safety (e.g., improved ventilation, installing carbon monoxide and smoke detectors).

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

For Fiscal Year 2021, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal

Governments; Eligible applicants include: not-for-profit institutions, and for-profit firms located in the U.S., local governments, Federally-recognized Native Indian Tribes and colleges and universities.

Beneficiary Eligibility:

Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Healthy Homes Demonstration grants are intended to serve a broad array of beneficiaries including homeowners, rental property owners, and renters.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The competitive Notification of Funding Availability (NOFA) for fiscal year 2021 Healthy Homes Demonstration Program grants provides information about the application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Applications must be received and validated by Grants.gov no later than the date specified in the 2021 NOFA. Announcements regarding availability of funding were published in the Federal Register.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Healthy Homes Demonstration Program award is for 36 months. If approved, grantees are eligible to receive a single period of performance extension of up to 12 months in accordance with 24 CFR 85.24(e)(2) or 85.30(d)(2), Method of awarding/releasing assistance: quarterly

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions. A Final report is also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

As required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals and Other Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

With Fiscal Year 2021 approximately 5 awards, of \$1,000,000 each.

TAFS Codes:

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All relevant information if provided in the The Notice of Funding Availability.

Regional or Local Office:

None.

Headquarters Office:

Dr. Brenda Reyes,
Department of Housing and Urban Development, Office of Healthy Homes and
Lead Hazard Control, 451 Seventh Street, SW, Room 8236,
Washington DC 20410-3000
Email: Brenda.M.Reyes@hud.gov
Phone: (202) 402-6745.

Website Address:

<http://www.hud.gov/offices/lead/>.

RELATED PROGRAMS:

14.906 Healthy Homes Technical Studies Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2021 HUD will use the technical selection criteria listed in the NOFA.

14.902 Lead Technical Studies Grants

Lead Technical Studies Grants,

FEDERAL AGENCY:

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Housing and Community Development Act of 1992, , Public Law 102-550

OBJECTIVES:

To fund technical studies to improve methods for detecting and controlling lead-based paint hazards in housing. The purpose of the Lead Technical Studies program is to improve our knowledge of lead-based paint related health hazards, and to improve or develop new hazard assessment and control methods.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For Fiscal Year 2021, potential applicants should consult the program NOFA and the General Section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates. The applications for this NOFA can be found at www.grants.gov and at <http://www.hud.gov/lead>. The application is completely electronic. You must have a DUNS Number.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Academic, not-for-profit and for-profit institutions located in the U.S. (for-profit firms are not allowed to profit from the project), state and local governments, and federally recognized Native American tribes are eligible under all existing authorizations. Applications for supplementation of existing projects are eligible to compete with applications for new awards. Federal agencies and federal employees are not eligible to submit applications. The General Section of the SuperNOFA provides additional eligibility requirements.

Beneficiary Eligibility:

Homeowner; Land/Property Owner; Homeowners, rental property owners, rental and public housing residents.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally Recognized Indian Tribal Governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The competitive Notification of Funding Availability (NOFA) for the fiscal year 2021 Lead and Healthy Homes Technical Studies grant program provides information about the application procedure. Copies of the NOFA and required forms can be obtained from www.grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, <http://www.hud.gov/lead>.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Lead Technical Studies grants are awarded for up to 36 months after the date of award. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Payment is on a cost-reimbursable basis. Method of awarding/releasing assistance: other

Reports:

Quarterly financial management and performance reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records: As required by OMB Circular A-102, "Grants and Cooperative Agreements With State and Local Governments" or OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

Cooperative Agreements FY 20 \$6,960,777.00; FY 21 est \$5,180,755.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

The total amount to be awarded is approximately \$1 million for Lead Technical Studies. The anticipated amounts and/or numbers of individual awards will be approximately 2 - 4 cooperative agreements, ranging from approximately \$250,000

ASSISTANCE LISTINGS DESCRIPTIONS

to a maximum of \$500,000.

TAFS Codes:

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application files are self-contained; all necessary information is provided at www.grants.gov; no other regulations pertain.

Regional or Local Office:

Headquarters Office:

Mr. Eugene Pinzer,
451 Seventh Street, SW, Room 8236,
Washington DC 20410-3000
Email: Eugene_A_Pinzer@hud.gov
Phone: (202) 402-7685.

Website Address:

<http://www.hud.gov/offices/lead/techstudies/index.cfm>.

RELATED PROGRAMS:

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing; 14.905 Lead Hazard Reduction Demonstration Grant Program; 14.906 Healthy Homes Technical Studies Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2021 HUD will use the technical selection criteria listed in the NOFA.

14.905 Lead Hazard Reduction Demonstration Grant Program

Lead Hazard Reduction Demonstration Grant Program,

FEDERAL AGENCY:

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF AUTHORIZATION:

Omnibus Appropriations Act, 2019, , Public Law 111-8, Residential Lead-Based Paint Hazard Reduction Act of 1992, Title X, Section 1011, Public Law 102-550

OBJECTIVES:

Lead Hazard Reduction Demonstration Grant assist State, Tribal, and local governments to identify and control lead-based paint hazards in privately-owned housing that is owned by or rented to low- or very-low income families. Specific objectives include: (1) Maximize the combination of children less than six years of age protected from lead poisoning and housing units where lead-hazards are controlled; (2) preventing childhood lead poisoning; (3) Stimulate lower-cost and cost-effective methods and approaches to lead hazard control work that can be replicated; (4) Build local capacity to safely and effectively address lead hazards during lead hazard control, renovation, remodeling, and maintenance activities by integrating lead safe work practices into housing maintenance, repair, weatherization, rehabilitation and other programs that will continue beyond the grant period; (5) Affirmatively further fair housing and environmental justice (6) Develop a comprehensive community approach to address lead hazards in housing by mobilizing public and private resources, involving cooperation among all levels of government, the private sector, and grassroots community-based nonprofit organizations, including faith-based organizations, to develop cost-effective methods for identifying and controlling lead-based paint hazards (7) establishing a public registry of lead-safe housing; and (8) To the greatest extent feasible, promote job training, employment, and other economic opportunities for low-income and minority residents and businesses that are owned by and/or employ minorities and low-income persons as defined in 24 CFR 135.5 (See 59 FR 33881, June 30, 1994). In addition to the requirements above, you must have at least 3,500 pre-1940 occupied rental housing units (either alone or through a consortium).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For Fiscal Year 2021, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submit your electronic application through <http://www.grants.gov>.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; To be eligible to apply for the Lead Hazard Reduction Demonstration Program, the applicant must be a city, county, or other unit of local government. States and Indian Tribes may apply on behalf of units of local government within their jurisdiction, if the local government designates the state or the Indian Tribe as their applicant. Multiple units of a local government (or multiple local governments) may apply as part of a consortium; however, you must identify a prime applicant that will be responsible for ensuring compliance with all requirements specified in this NOFA. State government and Native American tribal applicants must have an EPA approved State Program for certification of lead-based paint contractors, inspectors, and risk assessors in accordance with 40 CFR 745 in effect on the application deadline date to be eligible to apply for Lead Hazard Reduction Demonstration Grant funds.

Beneficiary Eligibility:

Federal; Individual/Family; Anyone/general public; State; Local; Occupants and owners of eligible target housing, Section 1011(a) of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X). Lead Hazard Reduction Demonstration Grants shall be for lead-based paint hazard control in this housing. Funds shall be available only for projects conducted using contractors and inspectors certified, and workers trained through an EPA authorized program. Selected beneficiaries must comply with all eligibility criteria and all applicable restrictions presented in the Appropriation language and with all requirements presented in the NOFA.

Credentials/Documentation:

For the Lead Hazard Control Grant Program, costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The competitive Notification of Funding Availability (NOFA) for fiscal year 2021 The Lead-Based Paint Hazard Control Grant Program provides information about the application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Formula and Matching Requirements: 25 percent local match, which may be cash, including private sector funding, in-kind contributions, or both. For the Lead Hazard Reduction Demonstration Grant Program, HUD may grant a waiver of the

ASSISTANCE LISTINGS DESCRIPTIONS

25 percent match requirement, if the applicant submits a request that meets HUDs criteria. Waiver eligible applicants should provide the 10% match. Except for Community Development Block Grant (CDBG) funds, Federal Revenue Sharing programs, or other programs which by statute allow their funds to be considered local funds and therefore eligible to be used as matching funds, Federal funds may not be used to satisfy the statutory 10 percent requirement, but may be used for contributions above that requirement. You must support each source of contributions by a letter of commitment from the contributing entity, describing the contributed resources and their designated purpose. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Lead Hazard Reduction Demonstration Grant award is for a The period of performance is 42 months. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions. A Final report is also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

As required by OMB Circular A-110 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

Project Grants FY 20 \$95,101,026.00; FY 21 est \$95,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

\$95 million in fiscal year 2021 funds is available. The minimum award amount shall be approximately \$1 million per grant up to \$4.5 million per grant. Approximately 25 grants will be awarded to applicants for the Lead Hazard Reduction Demonstration Program.

TAFS Codes:

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability is available at Grants.gov; all necessary information is provided; no other regulations pertain. For Lead Hazard Reduction Demonstration grants, there is some change in the content and direction of the NOFA that is issued each year, dependent on SuperNOFA format, Congressional direction, changes in emphasis among priorities, and improvements based on experience with prior NOFAs.

Regional or Local Office:

None.

Headquarters Office:

Government Technical Representative Yolanda Brown,,
451 Seventh Street, SW (Room 8236),
Washington DC 20410
Email: Yolanda.A.Brown@hud.gov
Phone: (202) 402-7596.

Website Address:

<http://www.hud.gov/healthyhomes>

RELATED PROGRAMS:

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.906 Healthy Homes Technical Studies Grants

Healthy Homes Technical Studies Grants,

FEDERAL AGENCY:

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Omnibus Appropriations Act, 2010, , Public Law 111-8, Housing and Urban Development Act of 1970, Section 501-502

OBJECTIVES:

To fund technical studies to improve methods for detecting and controlling housing-related health and safety hazards. The purpose of the Healthy Homes Technical Studies program is to improve our knowledge of housing-related health hazards, and to improve or develop new hazard assessment and control methods.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For Fiscal Year 2021, potential applicants should consult the program NOFA and the General Section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates. The applications for this NOFA can be found at www.grants.gov and at <http://www.hud.gov/lead>. The application is an electronic application. You must have a DUNS Number.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Academic, not-for-profit and for-profit institutions located in the U.S. (for-profit firms are not allowed to profit from the project), state and local governments, and federally recognized Native American tribes are eligible under all existing authorizations. Applications for supplementation of existing projects are eligible to compete with applications for new awards. Federal agencies and federal employees are not eligible to submit applications. The General Section of the SuperNOFA provides additional eligibility requirements.

Beneficiary Eligibility:

Federal; Profit organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Homeowners, rental property owners, and residents of rental housing and public housing.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally Recognized Indian Tribal Governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The competitive Notification of Funding Availability (NOFA) for the fiscal year 2021 Healthy Homes Technical Studies grant program provides information about the application procedure. Copies of the NOFA and required forms can be obtained from www.grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website,

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<http://www.hud.gov/lead>.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Healthy Homes Technical Studies grants are awarded for up to 36 months after the date of award. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Payment is on a cost-reimbursable basis. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly financial management and performance reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

As required by OMB Circular A-102, "Grants and Cooperative Agreements With State and Local Governments" or OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

Cooperative Agreements FY 20 \$3,000,000.00; FY 21 est \$5,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

The total amount to be awarded is approximately \$5 million for Healthy Homes Technical Studies. For Healthy Homes Technical Studies, the anticipated amounts and/or numbers of individual awards will be approximately 5 cooperative agreements, ranging from approximately \$300,000 to a maximum of \$1,000,000.

TAFS Codes:

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application files are self-contained; all necessary information is provided at www.grants.gov; no other regulations pertain.

Regional or Local Office:

Headquarters Office:

Mr. Eugene Pinzer,
451 Seventh Street, SW, Room 8236,
Washington DC 20410-3000
Email: Eugene_A_Pinzer@hud.gov
Phone: (202) 402-7685.

Website Address:

<http://www.hud.gov/offices/lead>.

RELATED PROGRAMS:

14.914 Asthma Intervention in Multi-Family Housing Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2021 HUD will use the technical selection criteria listed in the NOFA.

14.913 Healthy Homes Production Program

Healthy Homes Production Grant Program,

FEDERAL AGENCY:

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Omnibus Appropriations Act, 2019, , Public Law 111-8

OBJECTIVES:

The purpose of the Healthy Homes Production Program is to comprehensively address multiple residential health and safety hazards, including mold, carbon monoxide, home safety hazards, pesticides and allergens (from pets and pests).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For Fiscal Year 2021, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants include not-for-profit institutions and for-profit firms, state and local governments, , federally-recognized Indian Tribes, and colleges and universities located in the United States. For-profit firms are not allowed to make a profit from the project. Individuals are not eligible to apply.

Beneficiary Eligibility:

Homeowner; Low Income; Healthy Homes Production Grants provide funding to not-for-profit institutions and for-profit firms, state and local governments, , federally-recognized Indian Tribes, and colleges and universities located in the United States for projects that include the assessment and mitigation of residential environmental health and safety hazards, development of local capacity and the delivery of education/outreach services to the community.

Credentials/Documentation:

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The competitive Notification of Funding Availability (NOFA) for fiscal year 2011 Healthy Homes Production Program grants provides information about the www.grants.gov application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

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deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Healthy Homes Production Program award is for 36 months. If approved, grantees are eligible to receive a single period of performance extension of up to 12 months in accordance with 24 CFR 85.24(e)(2) or 85.30(d)(2). Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions. Quarterly financial management and performance reports are required of participating jurisdictions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

As required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals and Other Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

Project Grants FY 20 \$12,000,000.00; FY 21 est \$40,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

With Fiscal Year 2021, approximately 20 awards, of approximately \$2,000,000 each for the entire period of performance are anticipated.

TAFS Codes:

86-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All relevant information if provided in the The Notice of Funding Availability.

Regional or Local Office:

None.

Headquarters Office:

Yolanda Brown,
451 Seventh Street, SW (Room 8236),
Washington DC 20410
Email: Yolanda.A.Brown@hud.gov
Phone: (202) 402-7596

Website Address:

No Data Available.

RELATED PROGRAMS:

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing; 14.905 Lead Hazard Reduction Demonstration Grant Program; 14.906 Healthy Homes Technical Studies Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2021 HUD will use the technical and financial selection criteria listed in the NOFA.

14.920 Lead Hazard Control for High Risk Areas

Lead Hazard Control for Communities with High Impact Areas,

FEDERAL AGENCY:

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Title Residential Lead-Based Paint Hazard Reduction Act of 1992, Part X, Section 1011

OBJECTIVES:

This funding will be made available to urban areas of the country where the number of children with elevated blood lead levels are the highest in the country.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funding may be used for the identification and elimination of lead based paint hazards and for education of the public on the dangers of lead based paint poisoning.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State governments, County governments, City or township governments, Special district governments and Native American tribal governments (Federally recognized) are eligible to apply. Applicant must demonstrate that certain designated census tracts document the highest rates of lead poisoned children in the country.

Beneficiary Eligibility:

Low Income; Families owning homes who earn less than 80% AMI with children under 6 in residence, and families renting who earn less than 50% AMI

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

In evaluating applications for funding, HUD will take into account an applicant's past performance in managing funds. Items HUD may consider include, but are not limited to: a. The ability to account for funds appropriately; b. Timely use of funds received from HUD; c. Timely submission and quality of reports submitted to HUD; d. Meeting program requirements; e. Meeting performance targets as established in the grant agreement; f. The applicant's organizational capacity, including staffing structures and capabilities; g. Time-lines for completion of activities and receipt of promised matching or leveraged funds; and h. The number of persons to be served or targeted for assistance. HUD may reduce scores as specified under V.A. Review Criteria. Each NOFA will specify how past performance will be rated or otherwise used in the determination of award amounts. Whenever possible, HUD will obtain past performance information from staff with the greatest knowledge and understanding of each applicant's performance. If this evaluation results in an adverse finding related to integrity or performance, HUD reserves the right to take any of the remedies provided in Section III.D 1. Pre-selection Review of Performance, above. 2. Assessing Applicant Risk HUD may impose special conditions on an award as provided under 2 CFR 200.207:1. Based on HUD's review of the applicant's risk under 2 CFR

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200.205;2. When the applicant or recipient has a history of failure to comply with the general or specific terms and conditions of a Federal Award;3. When the applicant or recipient fails to meet expected performance goals; and4. When the applicant or recipient is not otherwise responsible. In addition to the performance review described in previous sections; each grant program must have a framework for evaluating the degree of risks posed by applicants before they receive Federal awards. As a result of the risk evaluation an applicant may be awarded with special conditions applied to the award that correspond to the degree of risk assessed or the applicant may be determined ineligible due to the operational risk it has historically posed to HUD, OLHCHH or other federal agencies as applicable. The applicants eligibility for award will include threshold requirements previously described, quality of the application received, and consideration of the following historical factors of the applying entity prior to Federal awards being made from this funding opportunity: Financial Stability Management Systems History of Performance Timely Reporting Other Compliance matters Audit history Ability to implement Possible risk indicators that could impact the risk evaluation determination could include the following elements of the described approach for use of funds: Size of potential subaward Size and diversity of federal award portfolio of entity applying Impact of subaward on operations Past federal award experience of applicant with OLHCHH with HUD or other Federal Agencies Past federal award experience of applicant of Key staff Extent of other oversight (audits, monitoring) Applications that meet all of the threshold requirements will be eligible for review and rating. a. Minimum Score. Applications scoring 75 points or more will be eligible to receive an award. Applications will be scored and ranked, based on the total number of points allocated for each of the rating factors described in Section V.A of this NOFA. b. Maximum Number of Points. The maximum number of points to be awarded is 102.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 1 to 15 days. Appeals are directed to the Director of the Office of Lead Hazard Control and Healthy Homes for consideration. Appeals are based on the application submitted, no further rework or submissions are allowed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Match is required for this program by statute 42 U.S.C. 4852(h). Generally, Federal sources are not allowed to be used as cost share or match unless otherwise permitted by a program's authorizing statute (for example, HUD's Community Development Block Grants program). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant is awarded for a 5 year term, funds must be spent in the 5 year term, there will be no extensions allowed. Method of awarding/releasing assistance: letter

Reports:

Each draw must be accompanied by required documentation, an SF 425 must be submitted quarterly. Grantees must complete and submit a quarterly report by filling in an online report form.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

08-6192-0-0-174.

Obligations:

Project Grants (Discretionary) FY 20 \$64,000,000.00; FY 21 est \$0.00; FY 22 est

\$0.00;

Range and Average of Financial Assistance:

\$1,000,000 - \$9,000,000.

TAFS Codes:

86-8619.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following requirements affect applicant eligibility. Outstanding Delinquent Federal Debts Debarments and/or Suspensions Pre-selection Review of Performance Sufficiency of Financial Management System False Statements Mandatory Disclosure Requirement Prohibition Against Lobbying Activities Equal Participation of Faith-Based Organizations in HUD Programs and Activities 1. Compliance with Non-discrimination and Other Requirements. Unless otherwise specified, these non-discrimination and equal opportunity authorities and other requirements apply to all NOFAs. Please read the following requirements carefully as the requirements are different among HUDs programs. Compliance with Fair Housing and Civil Rights Laws. Affirmatively Furthering Fair Housing. Economic Opportunities for Low- and Very Low-income Persons (Section 3). Improving Access to Services for Persons with Limited English Proficiency (LEP). Accessible Technology. 2. Equal Access to Housing Regardless of Sexual Orientation or Gender Identity. 3. Ensuring the Participation of Small Disadvantaged Business, and Women-Owned Business. 4. OMB Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. 5. Compliance with the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109-282) (Transparency Act), as amended. 6. Accessibility for persons with Disabilities. 7. Conducting Business in accordance with Ethical Standards/Code of Conduct. 8. Environmental Requirements. Compliance with 24 CFR Part 50 or 58 procedures is explained below: a. Environmental Requirements. Award of an FY 2018 Lead-Based Paint Hazard Reduction Grant Program does not constitute approval of specific sites where activities that are subject to environmental review may be carried out. Recipients of funding under this NOFA that are states, units of general local government or Native American tribes must carry out environmental review responsibilities as a responsible entity under 24 CFR part 58, Environmental Review Procedures for Entities Assuming HUD Environmental Responsibilities. For recipients that are not a state, unit of general local government, or Native 49 of 53 American tribe (or a consortium with such a government as principal applicant), HUD will perform environmental reviews of proposed activities under 24 CFR part 50. Reasonable expenses incurred for compliance with these environmental requirements are eligible expenses under this NOFA. Recipients must also meet environmental justice requirements noted earlier in the NOFA. b. For all grants awarded under this NOFA, recipients and other participants in the project are prohibited from undertaking or committing or expending HUD or non-HUD funds on a project or activities under this NOFA (other than activities listed at 24 CFR 58.34, 58.35(b) or 58.22(f), e.g., lead-based paint inspections, risk assessments, and housing related health hazard assessments using the Healthy Homes Supplement Funds until the recipient completes an environmental review including the submission, and HUD approval, of a Request for Release of Funds and the recipient's Environmental Certification (both on Form HUD 7015.15) or, in the case where the recipient is not a state, unit of general local government, or Native American tribe and HUD performs the environmental review under part 50, HUD has completed the review and notified the recipient of its approval. For applicants that are not States, units of general local government, or Native American tribes, in accordance with 24 CFR 50.3(h) the application constitutes an assurance that the applicant will comply with this prohibition until HUD approval of the property is received, and that the applicant will assist HUD in complying with part 50; will supply HUD with all available, relevant information necessary for HUD to perform for each property any required environmental review; and will carry out mitigating measures required by HUD or select alternate eligible property. The results of the environmental review may require that proposed activities be modified or proposed sites rejected. c. Recipients of a grant under this NOFA will be provided additional guidance in these environmental responsibilities. For technical assistance with environmental reviews during the period of performance of a grant under this NOFA, contact Karen Griego, the Office of Lead Hazard Control and Healthy Homes Program Environmental Clearance Officer at 505-346-6462 (this is not a toll free number). If you are

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hearing or speech impaired person, you may reach the telephone number above via TTY by calling the Federal Relay Service at 800-877-8339. For Part 58 procedures see: <https://www.onecpd.info/environmental-review/> d.Grantees who will conduct lead hazard control work on eligible units located outside of the recipients jurisdiction will be required to submit an executed contract, Memorandum of Understanding (MOU), Memorandum of Agreement (MOA) or comparable documentation of agreement with and between each jurisdiction of the target area. The grant recipient will be considered the lead agency; the other jurisdiction(s) will be required to provide resources, information, and documentation, including for environmental reviews under Part 58, pertaining to work in the area of the particular jurisdiction. The contract, MOU, MOA or comparable agreement is akin to a consortium agreement as described in the definition of consortium in the HOME regulations at 24 CFR part 92.101.9.Davis-Bacon Wage Rates. The Davis-Bacon wage rates are not applicable to these programs. However, if you use grant funds in conjunction with other federal programs, Davis-Bacon requirements may apply to the extent required under the other federal programs.0.Compliance with Section 872 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Pub. L. 110-417) (Section 872). Section 872 requires the establishment of a 50 of 53 government wide data system - the Federal Awardee Performance and Integrity Information System (FAPIIS) - to contain information related to the integrity and performance of entities awarded federal financial assistance and making use of the information by federal officials in making awards. Grantees must follow OMB guidance regarding federal agency implementation of Section 872 requirements for grants, at 2 CFR Subtitle A, Chapter I, and Part 25 (75 FR 55671-76, September 14, 2010).

Regional or Local Office:

None.

Headquarters Office:

Yolanda Brown,
451 7th Street SW,
Washington DC 20410
Email: yolanda.a.brown@hud.gov
Phone: 2024027596
Fax: Michelle Miller,
451 7th Street SW,
Washington DC 20410
Email: michelle.m.miller@hud.gov
Phone: 2024025769
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must achieve a score of 75 out of 102 to be eligible for selection.

14.921 Older Adults Home Modification Grant Program

FEDERAL AGENCY:

OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES, HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

AUTHORIZATION:

Title Department of Housing and Urban Development, Consolidated Appropriations Act, 2019, Public Law 116-6., Part , Section

OBJECTIVES:

The purpose of the Older Adults Home Modification Grant Program is to enhance local capacity to sustainably operate low-barrier, participant-led programs that address the home modification needs of low-income older persons and their

caregivers.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Eligible activities must support the program objectives described above. Awards must be used to cover direct and indirect costs related to the eligible activities.

Applicant Eligibility:

Government - General; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals);

Beneficiary Eligibility:

Individual/Family; Low Income; Rural; Low-income older persons age 55 and over. Program must target older individuals with the greatest need. No less than fifty percent (50%) of the available funds will be used to serve the needs of older persons in rural areas.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A NOFA prescribing the specific program and submission requirements and will be made available by HUD Headquarters and posted on Grants.gov.

Award Procedure:

A NOFA prescribing the award procedures will be made available by HUD Headquarters and posted on Grants.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be available for a period of up to 36 months from the date of execution of the cooperative agreement or as otherwise stated in the Notice of Funding Availability (NOFA). Method of awarding/releasing assistance: Cooperative agreements are executed, and funds are drawn by grantees through HUD's financial system. Method of awarding/releasing assistance: other

Reports:

Grantees must keep program records and submit reports as specified in the NOFA. Grantees must keep progress reports and submit annual reports as specified in the NOFA. Applicants will be required to support HUD's efforts to track the outcomes of seniors whose homes have been modified in order to better understand the effectiveness of this funding in reducing at-home falls, hospitalizations, and emergency response calls, as well as improving independence and tenure in home over time. Required reports must document program expenditures. Performance monitoring will be conducted as a requirement of the grant.

Audits:

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In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Refer to the NOFA

Records:

Grantees will be subject to Federal recordkeeping requirements in 2 CFR 200.333 and as otherwise stated in the Cooperative Agreement.

Account Identification:

86-0320-0-3-371.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$10,000,000.00; FY 21 est \$10,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

There is not a prescribed or predetermined maximum ceiling grant award. Each applicant is unique in the projected number of older persons to be served under this grant. Applicants are advised to be realistic about the number of clients that can be served, taking into consideration demographic characteristics of the program target area, the time it takes to start up new programs, and historical program data of existing programs. HUD reserves the right to reduce the requested grant award, based on the number and size of additional grant awards given under this grant, as well as other factors.

TAFS Codes:

86-0320.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Margaret Poethig,
451 7th Street, SW,
Washington DC 20410
Email: Margaret.J.Poethig@hud.gov
Phone: 202-402-3185

Fax: Housing Program Manager Alicia Anderson,
451 7th Street, SW Room 6148,
Washington DC 20410
Email: Alicia.Anderson@hud.gov
Phone: 202-402-5787
Fax:

Website Address:

<https://www.hud.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Two types of reviews will be conducted. First, HUD will review each application to determine whether it meets threshold eligibility requirements. Second, HUD will review and assign scores to applications meeting the threshold requirements. Once rating scores are assigned, rated applications will be listed in ranked order. After HUD has rated and ranked the applications, applicants within the fundable range of 75 or more points will be funded in ranked order up to the amount requested, or in a lesser amount if sufficient funds are not available or based on other factors established by HUD. HUD reserves the right to reduce the amount of the award requested.

15.011 Experienced Services Program

ESP,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Cooperative Agreements, Transfer of Service Appropriated Funds USC Code 54 U.S.C. 101702(a). Title The Further Consolidated Appropriations Act, 2021: Public Law 116-260, Division G - Department of the Interior, Environment, and Related Agencies, Title I. Section 111., Part , , Title Older Workers Act of 1965,
OBJECTIVES:

The Experienced Services Program (ESP) will provide support to the NPS on natural and cultural resource conservation projects that enable the agency to carry out its mission. Special emphasis will be placed on projects that improve the maintenance of our national park resources and enhance the visitor experience. ESP participants will provide technical assistance and support to the NPS in the development and execution of projects that benefit the general public and provide support to NPS employees. This program will provide opportunities to qualified individuals 55 years of age and older, who have specific technical skills and abilities not available or underrepresented at current NPS staffing levels. The ESP participants will not replace or displace current NPS employees.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

. This program will provide opportunities to qualified individuals 55 years of age and older who have specific technical skills and abilities not available or underrepresented at current NPS staffing levels. The EWP participants will not replace or displace current NPS employees.

Applicant Eligibility:

Other public institution/organization; This program is limited to qualified non-profit organizations subject to 26 USC 501 c(3) of the tax code, and must be designated by the Secretary of Labor under Title V of the Older Americans Act of 1965. Proof of establishment must be submitted with the application.

Beneficiary Eligibility:

Senior Citizen (60+); Private non-profit organizations that support career advancement and placement for individuals 55 years of age and older. Individuals 55 years of age and older.

Credentials/Documentation:

Documentation demonstrating the organizations proof of establishment under the Secretary of Labor Title V of the Older American Act of 1965, and proof of past performance in recruiting and provide employment opportunities for individuals 55 years of age and older. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Consultation and assistance is available from the program office and financial assistance officer in advance of the application submission. No pre-application coordination is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

INTERIOR, DEPARTMENT OF THE

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Varies depending on the type and complexity of the project.

Appeals:

Other. None. Final award decisions are not subject to appeal; however the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities are at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements with successful applicants will be entered into between 90-120 from notification of potential award. Method of awarding/releasing assistance: other

Reports:

Recipients, unless otherwise noted in the award document, shall submit the SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Recipients, unless otherwise noted in the award document, shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F-Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Account Identification:

14-1036-0-1-303.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,193,186.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

Estimate that the range for FY 2021 will be: \$10,000-\$150,000 per funded award.

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Senior citizen participants served as mentors to youth and others working in National Parks, and NPS programs. Seniors assisted with research, cataloging projects, facilities improvements and other activities. Fiscal Year 2021: A number of agreements have been initiated to place senior citizen participants in parks and park program offices to assist in achieving the NPS mission. More information will be available next fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

George McDonald,

National Park Service 1849 C Street NW Room 2357,
Washington DC 20240

Email: george_mcdonald@nps.gov

Phone: 202-513-7146

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.931 Conservation Activities by Youth Service Organizations;

EXAMPLES OF FUNDED PROJECTS:

2020 A number of parks and programs used funding to recruit eligible participants to move the mission of NPS forward. Participants assisted with administrative, interruptive, facilities and other areas with the National Park Service. 2021 A number of parks and programs used funding to recruit eligible participants to move the mission of NPS forward. Participants assisted with administrative, interruptive, facilities and other areas with the National Park Service.

CRITERIA FOR SELECTING PROPOSALS:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

15.012 Residential Environmental Learning Centers

RELCs,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Outdoor Recreation Programs provide authorization to cooperate with educational institutions and others in order to assist in establishing education programs and activities to encourage public use and benefits from outdoor recreation USC Code 54 U.S.C 200103(g).

OBJECTIVES:

The NPS Centennial Act mandates parks to use a broad program of the highest quality interpretation and education, and to collaborate with other Federal and non-Federal public and private agencies, organizations, or institutions for the purposes of developing, promoting, and making available educational opportunities related to resources of the System and programs. The collaboration between the NPS and RELCs are highly effective in promoting field-science and stewardship through delivering on-site day use and residential environmental education programs. RELCs work closely with universities, K-12 schools, professional societies, and diverse research and educational groups on standards and delivery methods.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To carry out authorized functions and responsibilities of the Secretary of the Interior with respect to any unit or program of the National Park System through RELCs activities. These activities will typically include travel assistance grants for schools, tuition scholarships for low-income students, program development, training, and evaluation.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicant may be State and local agencies, public or private nonprofit institutions/organizations, Federally recognized Indian tribal governments, State colleges and universities, public and private colleges and universities.

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Specialized group (e.g. health professionals, students, veterans); Educators will bring real-world, place-based science to students in accordance with state education standards.

Credentials/Documentation:

Applicant must submit proof of applicant's governmental, non-profit or institutional status; a letter from the owner giving consent to the grant applicant as the grantee of record to undertake work on the property or collection (if applicable). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards may be made to the highest rated proposals based on the amount of funding available each year. Recommendations will be approved by the Secretary of Interior through an apportionment process. Grants will be awarded by NPS directly to selected grantees.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award, upon request.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Recipients must be willing, and able to contribute at least 50% of the project cost, in the form of cash or assets from non-federal sources. In-kind services from the partner may only be received in addition to, not in place of, the 1:1 cash or asset contribution. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding will become available approximately 90-120 days from the time an entity is chosen through a funding opportunity. Once awarded, funding must be expended by the date noted in the financial assistance agreement Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit SF-425, Federal Financial Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1036-0-1-303.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

\$1 - \$1,000,000

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program funds 18 facilities on an annual basis that support the missions of the park where they are co-located. The facilities are owned by NPS. Fiscal Year 2021: This program funds 18 facilities on an annual basis that support the missions of the park where they are co-located. The facilities are owned by NPS. Fiscal Year 2022: This program funds 18 facilities on an annual basis that support the missions of the park where they are co-located. The facilities are owned by NPS.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Tom Medema, Acting Associate Director of Interpretation, Education and Volunteers,

Department of the Interior National Park Service 1849 C St NW, MS 3322, Washington DC 20240

Email: tom_medema@nps.gov

Phone: 202-513-7247

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The unique public-private partnership between the Schoodic Institute (501(c)3 non-profit organization) and the National Park Service allows the two organizations to accomplish more together than they could independently. Schoodic Institute helps achieve the original vision for Acadia National Park as a destination for science and an inspiration for further conservation. Through private philanthropy, Schoodic Institute leverages NPS investment to create greater impact for science, education, and conservation. Our efforts are complementary to and coordinated with Friends of Acadia. Research led and facilitated by Schoodic Institute is addressing questions that are important to stewardship of Acadia National Park and the broader region. The Institutes setting provides research and life-long learning opportunities within one of the worlds great natural laboratories. The Institute and NPS work together to bring researchers, educators, and students to the park to use the parks diverse ecosystems as classrooms and to conduct field research. NPS and Schoodic Institute have the following shared goals for research and education, which are more broadly adopted in a 2015 Strategic Framework for NPS Research Learning Centers: Promote national parks as premier places for scientific inquiry. Facilitate and promote the use of science to make resource

ASSISTANCE LISTINGS DESCRIPTIONS

management decisions. Improve science literacy by incorporating science into park visitor and staff experiences. Assess and evaluate activities and adapt as necessary to achieve the vision. Scientists, educators, and citizen scientists of all ages collaborate on the ambitious vision of a better understanding of the biodiversity and resources of all of Acadia National Park and its environs and of investigating national and global environmental issues. A major component of the Institutes educational efforts is providing opportunities for youth to learn about and be inspired by the out-of-doors. A host of academic and institutional partners cooperate closely with the Institute. Natural resource managers at Acadia National Park rely on the research to restore Acadias ecosystems and improve their resiliency in the face of challenging environmental changes. 2021 Headlands Center for the Arts hosts an internationally recognized artist-in-residence program, and interdisciplinary public programs. It is situated in a campus of artist-renovated military buildings in the Marin Headlands, in Marin County, California.

CRITERIA FOR SELECTING PROPOSALS:

Proposals received in response to announcements on [grants.gov](https://www.grants.gov) are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year. Recommendations will be approved by the Secretary of Interior through an apportionment process. Grants will be awarded by NPS directly to selected grantees.

15.013 Alaska Native Science and Engineering

Alaska Native Science and Engineering Program (ANSEP),

FEDERAL AGENCY:

BUREAU OF OCEAN ENERGY MANAGEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Title Public Lands Corps Act (PLCA), Section 16 U.S.C. 1723(c)(1)

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, states, and the Native Alaskan/Native American communities. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nation's Outer Continental Shelf (OCS). BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of the Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Through this cooperative agreement, training and learning opportunities will be provided to young people in order to develop the next generation of lifelong conservation stewards and protect, restore, and enhance Americas Great Outdoors. Specifically, this cooperative agreement will give students the opportunity to learn about the importance of the BOEM mission to manage the development of US Outer Continental Shelf energy and mineral resources in an environmentally, economically, and social conscious way while exploring future career options with BOEM. Assignments will be designed to provide orientation and experience in the practical application of the theories, concepts and principles of petroleum engineering, geology, geophysics, and/or environmental science. The Alaska Native Science and Engineering Program (ANSEP) is designated as tax exempt under the IRS for Institutions of Higher Education. ANSEP moves Native American and Alaskan Native students into science, technology, engineering and mathematics degree programs, and fosters a stronger Indigenous American representation within the science workforce of BOEM. Established in 1995, ANSEP has evolved into a longitudinal education model that provides a continuous string of components beginning with students in sixth grade and continuing through high school, into science and engineering undergraduate and graduate degree programs through PhD. ANSEP has received numerous recognitions for successfully preparing students for professional science and engineering careers including the U.S. Department of Energy's Minorities in Energy Initiative, the U.S. Department of the

Interior Partners in Conservation Award, and the Ash Center for Democratic Governance and Innovation, Harvard University-Top 25 Innovations in Government. ANSEP, through this cooperative agreement, provides an opportunity to further engage Alaskas Native professionals, and develop the future scientific and engineering employment pool required to support the BOEM mission. The overall objective is to expand the professional science employment preparedness of ANSEP students and to develop a more diversified pool of highly educated professionals with the BOEM workforce to reflect the rich diversity of the Nation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipient of the assistance is the Alaska Native Science and Engineering Program (ANSEP), and the assistance must be used to provide work and training opportunities to students working on appropriate projects associated with oil and gas, renewable energy and marine mineral development on the Alaskan Outer Continental Shelf within the BOEM area of responsibility. The majority of funds will be used to support improved understanding of energy exploration and production techniques and related environmental impacts to support development of U.S. Outer Continental Shelf energy and mineral resources in an environmentally and economically responsible way. The participants will receive classroom and on-the-job training in stewardship of U.S. OCS energy and mineral resources, as well as protecting the environment that the development of those resources may impact, through selected training seminars, meetings, or other organizational activities. The purpose of the training will be to provide experience in the practical application of basic principles, techniques, and concepts of petroleum engineering, geology, geophysics, and/or environmental science. Funds will not be used for capitalization.

Applicant Eligibility:

Government - General; Federal; State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Federal, Local (includes State-designated Indian Tribes, and hospitals), Federally Recognized Indian Tribal Governments, State, and Institutions of Higher Education

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Limited discussions regarding funding availability and program interest are permitted.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications as specified in the Notice of Funding Opportunity (NOFO). NOFOs will be posted on <https://www.grants.gov/> Grants.gov. and enroll in a new database GrantSolutions <https://home.grantsolutions.gov/home/>. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All youth participants must be between the ages of 16 and 25 and satisfy the criteria specified in section 12591(b) of title 42, as required by 16 U.S.C. 1723(b). Youth participant selection will be made on the basis of; participation in ANSEP, enrolled or accepted for enrollment in an engineering degree or certificate program at a qualifying educational institution, be in good academic standing (at least a 2.0 GPA), and enrolled in or have completed at least one engineering course. It is anticipated that application(s) can be selected in FY 2021 through FY 2025.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years unless otherwise stated in the Notice of Funding Opportunities (NOFO).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Federal, State, Local Research scientists, Federal, State, and local decision-makers, the youth participants, BOEM, and the general public will ultimately benefit from the program. Method of awarding/releasing assistance: other

Reports:

Program Reports: Annual reports including: a comparison of actual accomplishments to the goals established for the period; reasons why established goals were not met; and other pertinent information as applicable. Progress Reports: Progress meetings and reports may be required as applicable; additional requirements may be identified in a specific Notice of Funds Opportunities (NOFO). Expenditure Reports: Annual financial reports using the Federal Financial Report SF-425 are also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$100,000.00; and FY 22 est \$300,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Not Available Fiscal Year 2021: Not Available Fiscal Year 2022: Not Available

REGULATIONS, GUIDELINES, AND LITERATURE:

16 U.S.C. 1721 et seq; 43 U.S.C. 1331(a); 43 U.S.C. 1345(e); 43 U.S.C. 1346 Sec 20; 209 DM 7; 219 DM 1 The Offshore Regulatory Programs are described in 30 CFR 250, Oil and Gas and Sulphur Operations in the Outer Continental Shelf.

Final reports are available online through the BOEM website at:

<http://www.boem.gov>

Regional or Local Office:

See Regional Assistance Locations. Kelly Jemison Bureau of Ocean Energy Management 3801 Centerpoint Drive, Suite 500, AE 500 Anchorage, Alaska

99503907-334-5345kelly.jemison@boem.gov

Headquarters Office:

Jacob Levenson,
45600 Woodlawn Road, VAM-OEP,
Sterling VA 20166
Email: jacob.levenson@bsee.gov
Phone: 703-787-1710
Fax:

Website Address:

<http://www.boem.gov/>

RELATED PROGRAMS:

15.442 Alaska Native Science and Engineering;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated by a panel of BOEM Regional leadership and management to ensure compliance with minimum position requirements. Criteria for judging applicants include BOEM mission needs, applicants academic merit, and communication skills. Additional evaluation criteria may be posted in a specific Notice of Funds Opportunities (NOFO) on Grants.gov.

15.014 Supporting the Lower Mississippi Delta Initiative

LMDI,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:**OBJECTIVES:**

The program establishes financial assistance agreements between the National Park Service (NPS) and qualified non-profit organizations to co-administer the Lower Mississippi Delta Initiative, which was authorized under Public Law 103-433 and is funded annually by Congress through sub-grants.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds will be granted to an eligible organization and create a sub-award program the funds local cultural, historical and natural history events that support the mission of LMDI.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State, local, tribal and non-profit organizations.

Beneficiary Eligibility:

Other public institution/organization; Public nonprofit institution/organization; Private nonprofit institution/organization; Anyone/general public; Members of the public.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

Recipient are chosen based on competitive criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds will be awarded on an annual basis. Method of awarding/releasing assistance: other

Reports:

SF425 Federal Financial Reports are required. Recipients must submit reports noted performance progress against the statement of work in the agreement terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:**Account Identification:**

14-1036-0-1-303.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$300,000.00; and FY 22 est \$300,000.00;

Range and Average of Financial Assistance:

\$1 - \$300,000

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Barbara Judy,
Atlanta Federal Center, 1924 Building 100 Alabama Street SW,
Atlanta GA 30303

Email: barbara_judy@nps.gov

Phone: (229)591-5350

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 This is a new program. It is anticipated that sub-grants will be awarded through the chosen recipient that support the LMDI cultural initiatives.

CRITERIA FOR SELECTING PROPOSALS:

Please refer to the Notice of Funding Opportunity as posted in Grants.gov for selection criteria.

15.015 Good Neighbor Authority

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Good Neighbor Authority 16 USC Code 2113a.

OBJECTIVES:

The Good Neighbor Authority (GNA) is intended to expand limited federal capacity to implement and plan forest, rangeland, and watershed restoration projects by facilitating partnerships with authorized states, counties, and federally recognized Indian tribes. The authority allows BLM to enhance state-federal relationships and addressing cross-boundary land restoration needs to include treating insect-and disease-infested trees; reducing hazardous fuels; any other activities to restore or improve forest, rangeland, and watershed health, including fish and wildlife habitat. This type of work has reduced wildfire risk to habitat, communities, and state trust lands; creates greater forest and watershed resilience against drought, insects, disease, and wildfire and expands much-needed work across state and federal lands.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are primarily conducted on federal lands administered by the BLM located in the Western United States and Alaska. Projects may also be conducted on other lands to enhance state or Indian Tribe-federal relationships addressing cross-boundary land restoration needs to include activities confined to Authorized Restoration Service which are activities to treat insect and disease-infected trees, activities to reduce hazardous fuels, and any other activities to restore or improve forest, rangeland, and watershed health, including fish and wildlife habitat. The Bureau of Land Management must provide or approve silviculture prescriptions and marking guidelines to be applied to public lands in timber sale projects.

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; The term good neighbor agreement means a cooperative agreement (Single Source) entered into between the Secretary and a Governor or county, as applicable, to carry out authorized restoration services under this section. The term Governor means the Governor or any other appropriate executive official of an affected State or Indian tribe.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This will be based on individual awarded projects.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Intent. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the Notice of Intent. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each state Notice of Intent posted on www.grants.gov and may be obtained by contacting the point of contact listed in the Notice of Intent. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and fund availability. Method of awarding/releasing assistance: other

Reports:

SF-425, Federal Financial Report shall be submitted at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Sub-recipients will be required to closeout within 90 days. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Sub-recipients will be required to closeout within 90 days.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 Not Available; FY 21 est \$1,500,000.00; and FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

This is a new program. However, past partnerships related to this program were awarded between \$5,000 to \$1,000,000.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Bureau of Land Management has used Good Neighbor to accomplish a number of treatments to forest, rangeland, and stream restoration. Treatments include forest thinning to improve resilience to wildfire, herbicide application to treat noxious weeds, and conducting fuels reduction treatments. Fiscal Year 2021: The Bureau of Land Management is continuing to treat forest and rangelands in fiscal year 2021 with new projects to conduct forest thinning, fuels reduction, and noxious weed treatments. Fiscal Year 2022: Unknown

REGULATIONS, GUIDELINES, AND LITERATURE:

Bureau of Land Management's Good Neighbor Agreements is generally guided by the provisions in 16 USC 2113a. BLM Good Neighbor Instruction Memorandum 2018-008, National Environmental Policy Act (NEPA) BLM Handbook 1790-1,

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Senior Weeds Specialist, Division of Forest, Rangeland, and Plant Conservation, 760 Horizon Drive, Suite 324,

Grand Junction CO 81506

Email: mdraper@blm.gov

Phone: 2083733812

Fax:

Website Address:

<https://www.blm.gov/programs/natural-resources>

RELATED PROGRAMS:

15.230 Invasive and Noxious Plant Management; 15.233 Forest and Woodlands Resource Management; 15.237 Rangeland Resource Management; 15.228 BLM Fuels Management and Community Fire Assistance Program Activities; 15.241 Fisheries and Aquatic Resources Management; 15.247 Wildlife Resource Management;

EXAMPLES OF FUNDED PROJECTS:

2021 CA Weaverville Community Forest Stewardship; CA Georgetown Fuels Reduction; CA Lewiston Community Protection; CO Colorado Plant Conservation and Restoration; NV Elko District Vegetation Management, Habitat, Improvement and Restoration; NM San Juan Basin Invasive Weed Management Program; OR Improving Habitat Quality in Oregon Big Game Migration Corridor and UT Watershed Restoration Initiative

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Intent posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Intent noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations, the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.016 Experienced Services Program

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Experienced Services Program, Title CONSOLIDATED APPROPRIATIONS ACT, 2021, Part Division G, Title 1, Section 111, Public Law 116-260

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The Experienced Services Program (ESP) will provide services to the Bureau of Land Management (BLM) Offices on projects that support the BLMs mission. ESP participants provide technical assistance and support to BLM in the development and execution of activities that benefit the general public and provide support to BLM employees. The program will provide opportunities to qualified individuals 55 years of age and older, who have specific technical skills and abilities not available or underrepresented at current BLM staffing levels. The ESP participants will not replace or displace current BLM employees. This program continues to advance the Department of the Interior's priorities to address the climate crisis, restore balance on public lands and waters, advance environmental justice, and invest in a clean energy future.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

This program will provide opportunities to qualified individuals 55 years of age and older who have specific technical skills and abilities not available or underrepresented at current BLM staffing levels. The ESP participants will not replace or displace current BLM employees. Recipients can use the assistance to support employment opportunities for individuals 55 years and older on projects that support the BLM mission.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals);

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Documentation demonstrating the organizations proof of establishment under the Secretary of Labor Title V of the Older American Act of 1965, and proof of past performance in recruiting and provide employment opportunities for individuals 55 years of age and older. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This will be based on individual awarded projects.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window) (opens in new window). 2 CFR, Part 200, Subpart CPre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other Opportunity Notice and must be submitted through www.grants.gov. State plan is not required for this application requirements. specified in the Notice of Funding Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project, but should not be more than five years. Further information will be available for each program at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal. The Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements with successful applicants will be entered into between 90-120 from notification of potential award. Method of awarding/releasing assistance: After award, funds are distributed to the recipient through ASAP. Method of awarding/releasing assistance: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Not required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-000. 14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,599,963.00; FY 21 est \$3,599,963.00; and FY 22 est \$3,600,000.00;

Range and Average of Financial Assistance:

Awards range between \$5,000 to \$140,000. Average amounts are \$75,000 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Experienced Service Program recruits individuals 55 and older to provide technical support of the BLM priority multiple-use related programs. 48

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new project positions were selected in FY2020. A total of 43 enrollees on board. Fiscal Year 2021: Experienced Service Program recruits individuals 55 and older to provide technical support of the BLM priority multiple-use related programs. 20 new project positions have been selected in FY2021. Fiscal Year 2022: Unknown at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program information is included in the Bureau of Land Management Manuals, available at <http://www.blm.gov>. These documents may also be obtained by contacting the Bureau of Land Management Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Division of Acquisition and Financial Services 760 Horizon Drive, Suite 324 81506, Grand Junction, CO 81506 mgochis@blm.gov (801) 539-4178 Website: <http://www.blm.gov/>.

Headquarters Office:

Division of Administrative Services,
760 Horizon Drive, Suite 324 81506,,
Grand Junction CO 81506
Email: mgochis@blm.gov
Phone: 8015394178
Fax:

Website Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

15.239 Management Initiatives;

EXAMPLES OF FUNDED PROJECTS:

2020 Geologist in Worland, WY Assistance in setting up lab equipment and recalibrating to required specifications, Assistance with mineral testing, Training of new geologist to use and calibrate testing equipment, Training of new geologist to test rock samples. Civil Engineering Technician in Medford, OR Assistance in the collection of road assessments contributing to engineering timber sale package data, Experience with forestry management and knowledge of BLM Oregon and California Railroad Revested Lands (O & C Lands) and the BLM Timber Management Program. Archaeologist in Carsbad, NM, Support the Permian Basin Programmatic Agreement (PBPA), an alternate form of compliance with Section 106 of the National Historic Preservation Act of 1966, as amended, that is offered to the oil and gas industry, potash mining companies, and for other industrial projects located in southeastern New Mexico. The PA can be used for federal projects located on BLM land or BLM sponsored projects located on private property, Participate on selection and review panel for eligible PBPA proposals. 2021 Proposed projects in FY21 are work in AK, CA, CO, DC, MT, NM, NV OR, WY, UT for Cadastral Office Support, Land Law Examiner, Forester, Civil Engineering Technician, Geologist, Archaeologist, Cadastral Office Wilderness Support, Realty Specialist, Land Surveyor, Recreation Specialist, Cartographic Technician, and Sage Grouse Biologist. 2022 Unknown at this time.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations, the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.017 Eastern Nevada Conservation, Recreation and Development

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976 Section 307(b) (FLPMA) 43 USC Code 1737 (b).

OBJECTIVES:

This program advances the Department of the Interiors role as stewards of the public lands by cultivating community-based conservation and partnerships. This program provides support and guidance for carrying out the expenditure of appropriated funds generated from the sale of public lands in Lincoln and White Pine Counties in eastern Nevada. A primary goal of this program is to carry out the inventory, evaluation, protection, and management of unique archeological resources and also encompasses recreation improvements and wildlife habitat. The program will focus funded partnerships on key activities in support of the Department of the Interior's priorities to address the climate crisis, restore balance on public lands and waters, advance environmental justice, strengthening the government -to-government relationship with sovereign Tribal Nations, and invest in a clean energy future, where there is clear alignment of bureau priorities

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are used to benefit lands and resources in Nevada's Lincoln and White Pine Counties. Financial assistance can be used for assisting the BLM with the inventory, evaluation, protection and management of unique archeological resources; the management, protection and/or upgrade of recreational resources and related facilities, providing related public contact/education opportunities; and the conservation of threatened, endangered or at risk species.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State, Local, Public nonprofit institution/organization, Other public institution/organization, Federally Recognized Indian Tribal Governments, Private nonprofit institution/organization, Native American Organizations as described above.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is recommended. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management Nevada State Office. Required to coordinate with the BLM Field Office if National Environmental Policy Act is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program NOFO. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the NOFO. State and District Office level and funding recommendations are made through the State's annual work plan. Final

ASSISTANCE LISTINGS DESCRIPTIONS

budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available in the NOFO posted on www.grants.gov and may be obtained by contacting the point of contact listed in the NOFO. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five-year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. Method of awarding/releasing assistance: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award Method of awarding/releasing assistance: other

Reports:

Expenditure Reports: SF-425, Federal Financial Reports shall be submitted at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar days after the award end date. Sub-recipients will be required to closeout within 90 days. Performance Reports shall be submitted at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar days after the award end date. Sub-recipients will be required to closeout within 90 days.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of 3 years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333

Account Identification:

14-5469-0-1-302, 14-5397-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$45,000.00; FY 21 est \$99,000.00; and FY 22 est \$1,394,627.00;

Range and Average of Financial Assistance:

Past partnership projects ranged from \$20,000 to \$247,000. Average amount is \$130,000 or less.

TAFS Codes:

14-5469, 14-5397.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Late Pleistocene to Middle Holocene Landscape use in Coal Valley, Basin and Range National Monument, Lincoln County, Nevada The Desert Research Institute (DRI) constructed an archaeological context of landscape use and subsistence practices in Coal Valley during the late Pleistocene to middle Holocene.

REGULATIONS, GUIDELINES, AND LITERATURE:

All guidance for this program rests with the Lincoln County Land Act of 2000, Public Law 106-298; the Lincoln County Conservation, Recreation, and Development of 2004, Public Law 108-424, and the White Pine County Conservation, Recreation, and Development Act of 2006, Public Law 109-432. Information on these acts can be found on the website <https://www.blm.gov/programs/lands-and-reealty/regional-information/nevada>

Regional or Local Office:

See Regional Assistance Locations. Ronald Mobley Special Legislation Program Manager 1340 Financial Blvd. Email: rmobley@blm.gov Phone: 775-861-6401

Headquarters Office:

Special Legislation Program Manager,
1340 Financial Blvd.,
Reno NV 89520
Email: rmobley@blm.gov
Phone: 775-861-6401
Fax:

Website Address:

<https://www.blm.gov/programs/lands-and-reealty/regional-information/nevada>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Technology, Techniques, and/or Research with the Field of Archaeology; The Development and Implementation of Public Outreach and Educational Programs for Archaeological Resources within Lincoln County, Nevada; Development and Application of Archaeological Contexts and Archaeological Identification and Evaluation Research; and Multi-species Habitat Conservation for threatened and endangered species as identified in the Southeastern Lincoln County Habitat Conservation (USFWS/Lincoln County 2010). Completed Project: Late Pleistocene and Middle Holocene Landscape Use in Coal Valley, Lincoln County. 2021 1. Technology, Techniques, and/or Research with the Field of Archaeology 2. The Development and Implementation of Public Outreach and Educational Programs for Archaeological Resources within Lincoln County, Nevada 3. Development and Application of Archaeological Contexts 4. Archaeological Identification and Evaluation Research 5. Multi-Species Habitat Conservation for threatened and endangered species as identified in the Southeastern Lincoln County Habitat Conservation (USFWS/Lincoln County 2010). Projects in Progress: 1. Archaeological, Alluvial, and Wetland History of Meadow Valley Wash and its Tributaries, Lincoln County, Nevada. 2. Interpretive and Monitoring Opportunities for State Parks in Lincoln County. 2022 1. Lincoln County High 2. Archaeological and Geomorphological Context of Rockshelters. 3. Geomorphic Green Box 4. Unmanned Aerial Systems UAS Sensor Testing.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the NOFO posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each NOFO posted on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations, the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

ASSISTANCE LISTINGS DESCRIPTIONS

15.020 Aid To Tribal Governments

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.,

OBJECTIVES:

To provide funds to Indian tribal governments to support general tribal government operations, to maintain up-to-date tribal enrollment, to conduct tribal elections, and to develop appropriate tribal policies, legislation, and regulations.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used in a variety of ways to strengthen the capabilities of Indian tribes in self-government, community planning, and maintenance of membership records.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An informal conference with a Bureau of Indian Affairs agency representative is recommended.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$136,871,016.00; FY 21 est \$62,434,036.00; FY 22 est \$70,911,000.00;

Range and Average of Financial Assistance:

Range is \$10,000 to \$700,000; average \$80,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs (IA) successfully fulfilled program objective; a combined 278 Self-Determination and Self-Governance awards issued to Indian Tribes in FY 20.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Sovereignty in Indian Education Program Juanita Mendoza, 1849 C Street, NW, MIB-3610,

Washington DC 20240

Email: Juanita.Mendoza@bie.edu

Phone: 2022086123

Fax:

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

15.021 Consolidated Tribal Government Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget

ASSISTANCE LISTINGS DESCRIPTIONS

formulation process.

15.021 Consolidated Tribal Government

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Indian Self-Determination and Education Assistance Act; Public Law 93-638; as amended, 25 U.S.C. 450 et seq.,

OBJECTIVES:

To promote Indian self determination and improve the quality of life in Tribal communities by providing greater flexibility in planning programs and meeting the needs of communities. This program allows Tribes to combine various programs and/or grants into one agreement. The simplified contracting procedures enhance program accountability by reducing paperwork and reporting requirements, and reduce Tribal administrative costs to allow for increased services under these contracts.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds from various Bureau programs, such as, Scholarships, Johnson O'Malley, Adult Education, and Job Placement and Training can be combined into a single consolidated contract.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An informal conference with Bureau of Indian Affairs agency representative is recommended.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. SF-425, Federal Financial Reports are required.
Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$7,217,575.00; FY 21 est \$88,645,653.00; FY 22 est \$88,600,000.00;

Range and Average of Financial Assistance:

The range is \$1,300 to \$2,400,000; average \$500,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs (IA) successfully fulfilled program objective; 300 Self-Determination contract awards issued to Indian Tribes in FY 20. Fiscal Year 2021: Indian Affairs (IA) successfully fulfilled program objective; 70 Self-Determination contract awards issued to Indian Tribes in FY 21.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ASSISTANCE LISTINGS DESCRIPTIONS

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.022 Tribal Self-Governance

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title IV, Public Law 93-638, as amended, 25 U.S.C. 458 aa et seq.,

OBJECTIVES:

To further the goals of Indian Self-Determination by providing funds to Indian tribes to administer a wide range of programs with broad administrative and programmatic flexibility.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used by Federally Recognized Tribal Governments to support a wide range of programs such as law enforcement, tribal courts, scholarships, social services, road maintenance, forestry, agriculture, real estate services, wildlife and parks, and water resources. Funds may be used only for programs previously administered by the Bureau of Indian Affairs or for programs specifically authorized by Federal statute and may not be used for the operation of elementary and secondary schools or for community colleges. The Indian tribe must ensure adequate protection of trust resources.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and tribal consortia authorized by the Federally Recognized Indian Tribal Governments to be served.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and their Members.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe or in the case of a consortia, Indian tribes to be served. First-time applicants must have successfully completed a planning phase and must have demonstrated for the previous three years, financial stability and financial management capability. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An informal pre-application conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application and selection process is governed by regulations contained in 25 CFR Part 1000. Applicants first apply for inclusion in an applicant pool. Once an Indian tribe/consortium has established eligibility for admission to the applicant pool, it can be selected to become a Self-Governance Tribe. Application information is available from the office(s) listed below under "Information Contacts."

Award Procedure:

The annual dollar value of the funding agreement is subject to negotiation between the applicant, the local Bureau agency office, the servicing Regional office, and the Office of Self-Governance. Final funding agreements are signed by the authorized tribal representative and the Director, Office of Self-Governance.

Deadlines:

March 01, 2011. An application for inclusion in the applicant pool may be filed at

any time. However, an Indian tribe/consortium must submit its application by March 1 of the year in which the initial funding agreement and compact are to be negotiated. The application must be complete before the tribe can be placed in the applicant pool and selected to operate as a Self-Governance Tribe.

Range of Approval/Disapproval Time:

Other. Funding agreements are to be negotiated and submitted to Congress and neighboring Indian tribes at least 90 days before the proposed effective date of the agreement. Negotiation and approval of a new funding agreement may take up to four months.

Appeals:

Other. Applicable appeal procedures are contained in 25 CFR Part 1000.

Renewals:

Other. Funding agreements may be for a single or multi-year period and renewed indefinitely upon satisfactory performance by the Indian tribe/consortium. The amount of the annual funding agreement may be negotiated each year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are provided on an annual basis and remain available until expended by the tribe/consortium. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the Indian tribe/consortium. The SF-425, Federal Financial Report is required. Annual, external reviews of the management of trust assets will be conducted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$138,785,688.00; FY 21 est \$47,592,150.00; FY 22 est \$73,312,350.00;

Range and Average of Financial Assistance:

\$9,705 to \$27,537,488; AVERAGE \$434,655.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Indian Affairs (IA) successfully fulfilled program objective; 892 Self-Governance contract awards issued to Indian Tribes in FY 20 and FY 21

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 1000; OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments.

Regional or Local Office:

See Regional Assistance Locations. Office of Self Governance, Department of the Interior, Northwest Field Office, 500 West 12th Street, Suite 102, Vancouver, WA 98660. Telephone: (360) 699-1011. Use the same number for FTS or the nearest Bureau of Indian Affairs Regional Office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 2022083559

Fax:

Website Address:

<http://www.doi.gov/bureau-indian-affairs.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the eligibility criteria of 25 CFR Part 1000 will be selected, up to a maximum of 50 new participants annually. An applicant must be a Federally Recognized Tribe or tribal consortium, have an authorizing tribal resolution(s) from the tribal governing body (ies), have successfully completed a planning phase and have demonstrated for the previous three years, financial stability and financial management capability. Applicants are selected in the order in which complete applications are received. For more information contact the offices listed under Information Contacts.

15.024 Indian Self-Determination Contract Support

CONTRACT SUPPORT,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.,

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments and to tribal organizations to fund some or all of the indirect costs incurred in administering Federal programs for which direct appropriations are made to the Bureau of Indian Affairs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used in accordance with indirect cost rate proposals which have been approved by the cognizant Federal agency or for lump sum administrative cost rates negotiated with the Bureau of Indian Affairs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Federal approval of indirect cost rate. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

Awards for new or expanded contracts will be awarded based upon the start date of

the underlying program award, and the application date, on a first-come-first-served basis. Additional information concerning fiscal year 2002 awards will be published in 2002 as a Notice in the Federal Register.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of a changed indirect cost rate or as a result of pro-rata reductions due to insufficient appropriations to cover all eligible awards.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments for renewals will generally be made in two increments: 70 percent soon after the start date of the award, and the balance during the fourth quarter of the fiscal year. Method of awarding/releasing assistance: other

Reports:

The SF-425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$22,935,424.00; FY 21 est \$26,543,400.00; FY 22 est \$28,647,300.00;

Range and Average of Financial Assistance:

The range is \$10,000 to \$8,000,000; average \$190,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

15.046 Administrative Cost Grants for Indian Schools;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All renewal awards will be funded; the amount of the awards is subject to the availability of appropriations; first-time awards will be funded in the order of receipt of the application up to an annual limit of \$5,000,000.

15.025 Services to Indian Children, Elderly and Families

Social Services,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Snyder Act of 1921, 25 U.S.C. 13.,

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments to administer welfare assistance programs for both American Indian adults and children; to support caseworkers and counselors; and to support tribal programs to reduce the incidence of substance abuse and alcohol abuse in Indian country.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used for staff support and related costs of processing welfare applications, determining suitable placement of American Indian children in need of foster care, staffing and operational costs of emergency shelters, and similar services.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments, adult American Indians in need of financial assistance or social services counseling, American Indian children who require foster care services, and American Indian youth requiring temporary, emergency shelter.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An informal conference with Bureau of Indian Affairs agency representatives is recommended.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract

Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. The SF-425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$7,455,116.00; FY 21 est \$36,827,887.00; FY 22 est \$214,000,000.00;

Range and Average of Financial Assistance:

The range is \$10,000 to \$4,800,000; average \$100,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fulfilled mission objectives. IA made approximately 600 awards to Indian Tribes during FY 2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments;" and 25 CFR Part 20.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

15.144 Indian Child Welfare Act Title II Grants; 15.113 Indian Social Services Welfare Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.026 Indian Adult Education

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended; Public Law 103-413, Title II Tribal Self-Governance Act.,

OBJECTIVES:

To improve the educational opportunities for Indian adults who lack the level of literacy skills necessary for effective citizenship and productive employment and to encourage the establishment of adult education programs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All funds must be for direct services. Funds may not be used for administration.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency or area office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Federal Financial reports, SF 425, are required. **Audits:**

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Direct Payments for Specified Use FY 20 \$906,874.00; FY 21 est \$155,475.00; FY 22 est \$723,554.00;

Range and Average of Financial Assistance:

Range is \$100 to \$297,000; Average \$35,900.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs (IA) successfully fulfilled program objective; 16 Self-Determination awards issued to Indian Tribes in FY 20.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 46; 25 CFR, Part 900.

Regional or Local Office:

See Regional Assistance Locations. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

James Martin,
1849 C Street,

ASSISTANCE LISTINGS DESCRIPTIONS

Washington DC 20240
Email: james.martin@bie.edu
Phone: (202) 208-5810
Website Address:

<http://www.bie.gov>

RELATED PROGRAMS:

15.114 Indian Education_Higher Education Grant Program; 15.027 Assistance to Tribally Controlled Community Colleges and Universities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected.

15.027 Assistance to Tribally Controlled Community Colleges and Universities

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Tribally Controlled Community College Assistance Act, Public Law 95-471, 25 U.S.C. 640c - 1(c); 25 U.S.C. 1815.,

OBJECTIVES:

To provide grants for the operation and improvement of Tribal Colleges and Universities (TCUs) to insure continued and expanded educational opportunities for Indian students, and to allow for the improvement and expansion of the physical resources of such institutions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must not be used in connection with religious worship or sectarian instruction. Funds may be used for the general operating costs of the Tribally Controlled Community College to defray, at the determination of the College, expenditures for academic, educational and administrative purposes and for the operation and maintenance of the College.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Colleges chartered by Federally Recognized Indian Tribes or tribal organizations which are governed by a board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees, are nonprofit and nonsectarian, and are accredited by a nationally recognized agency or association.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Indian students who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the governing body of one or more Indian tribes of the students served by or to be served by the college. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An applicant should consult the Division of Post-Secondary Education.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The organization should

submit written evidence of approval of the application by the governing body of one or more Indian tribes of the students served by or to be served by the college to the Director, Bureau of Indian Education.

Award Procedure:

After receiving approval of the application by the governing body or governing bodies, the Director will designate a study team to determine whether there is justification to encourage maintaining a college.

Deadlines:

July 01, 2021. Colleges must submit the Tribe's approval of the application by March 1 of the fiscal year preceding the year in which they wish to be eligible for funding. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1 of the preceding year in which they wish to be eligible for funding.

Range of Approval/Disapproval Time:

Other. The study team will be designated within 30 days. Within 60 days the team must complete its eligibility study. The tribe will be notified of approval within 60 days of completion of the study.

Appeals:

Other. A negative determination may be appealed to the Assistant Secretary - Indian Affairs. Within 30 days of receiving a notice of appeal the Assistant Secretary must conduct a hearing at which the applicant may present evidence and offer arguments in support of the appeal. Within 30 days after the hearing the Assistant Secretary must issue a written ruling on the appeal. Specifics relative to the appeals process are found in 25 CFR 41.7.

Renewals:

Other. Colleges must file an annual application to receive continued funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available without fiscal year limitation until expended by the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

OMB 1076-0105, Annual Report. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants FY 20 \$1,045,778.00; FY 21 est \$700,000.00; FY 22 est \$157,612,400.00;

Range and Average of Financial Assistance:

FY 2015: Range is \$273,790 to \$13,598,820; Average \$2,467,250. The amount of the award is determined by the number of eligible Indian students enrolled in the college. Indian Tribes may choose to supplement the funding provided by the grant program by identifying additional amounts in the Tribal Priority Allocations portion of the Bureau of Indian Affairs budget.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Program mission fulfilled. BIA issued approximately 12 awards

ASSISTANCE LISTINGS DESCRIPTIONS

in FY 2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 41; 25 CFR, Part 276.

Regional or Local Office:

See Regional Assistance Locations. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: (202) 208-3559

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.114 Indian Education_Higher Education Grant Program; 15.026 Indian Adult Education;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants which the study team determines as eligible will be funded.

15.028 Tribally Controlled Community College Endowments

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Tribally Controlled College Assistance Act, Public Law 95-471, 25 U.S.C. 640c - 1(c); 25 U.S.C. 1815.,

OBJECTIVES:

To provide grants to establish endowments for the Tribally Controlled Community Colleges and Universities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are to be used to establish endowments. Interest earned on the invested funds may be used to defray expenditures associated with the operation of the College including maintenance, administration, academic and support costs, and community and student services programs. No part of the net earnings of the trust fund may be used to benefit any private person.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Colleges chartered by Federally Recognized Indian Tribes which are governed by an Indian board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees, are nonprofit and nonsectarian, and are accredited by a nationally recognized agency or association.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Indian students who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Approved status as a Tribal College or University. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Colleges must complete an Endowment Fund agreement in accordance with 25 U.S.C. 1832(b).

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is notified the Division of Post-Secondary Education.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Established by the Division of Post-Secondary Education.

Appeals:

Other. An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals. Full appeal procedures are found in 25 CFR Part 2.

Renewals:

Other. Colleges must file an annual application to receive continued funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The Colleges must match an endowment grant with a capital contribution equal to half of the amount of the Federal contribution. Personal or real property received as a donation or gift may be applied toward the matching requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. Method of awarding/releasing assistance: other

Reports:

OMB 1076-0105, Annual Report. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

Range is \$0.00 to \$5,700; Average \$5,700

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 41.

Regional or Local Office:

See Regional Assistance Locations. See BIA Regional Agency Offices. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: (202) 208-3559

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.027 Assistance to Tribally Controlled Community Colleges and Universities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.029 Tribal Courts

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.,

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments to operate a judicial branch of government.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of tribal court judges, prosecutors, defenders, clerks of court, probation officers, juvenile officers, and other court personnel.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments exercising law enforcement jurisdiction on their reservation.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An informal conference with Bureau of Indian Affairs agency representatives is recommended.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board

of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. The SF-425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$11,557,886.00; FY 21 est \$8,851,610.00; FY 22 est \$18,900,672.00;

Range and Average of Financial Assistance:

The range is \$15,000 to \$800,000; average \$50,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Program fulfilled mission by issuing approximately 30 awards in FY 2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.030 Indian Law Enforcement

Law Enforcement,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Law Enforcement Reform Act, Public Law 101-379, 25 U.S.C. 2801.,

OBJECTIVES:

To provide funds to Indian Tribal Governments to operate police departments and detention facilities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of criminal investigators, uniformed officers, detention officers, radio dispatchers, and administrative support.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments exercising Federal criminal law enforcement authority over crimes under the Major Crimes Act (18 U.S.C. 1153) and other Federal statutes on their reservations and operating a Law Enforcement Services program.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An informal conference with the Bureau of Indian Affairs field representative or district commander is recommended.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs field or district office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that had been previously prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' budget formulation process and upon identified program needs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. The SF-425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$175,310,844.00; FY 21 est \$74,842,827.00; FY 22 est \$145,390,900.00;

Range and Average of Financial Assistance:

The range is \$20,000 to \$20,000,000; average \$200,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled its mission by issuing approximately 300 awards in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments;" 25 CFR Parts 10, 11 and 12; Indian Affairs Manual, Chapter 40, "Law Enforcement and Detention;" and Detention and Law Enforcement Handbooks.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs' law enforcement field or district office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

<http://www.bia.gov>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting the requirements of 25 CFR Part 900 who exercise criminal law enforcement authority over crimes under the Major Crimes Act (18 U.S.C. 1153) and other Federal statutes and who currently operate a Law Enforcement Services program will be selected. Additional funding provided under the Initiative will be distributed on the basis of need.

15.031 Indian Community Fire Protection

(Community Fire Protection),

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.,

OBJECTIVES:

To provide funds to perform fire protection services for Indian Tribal Governments that do not receive fire protection support from State or local government.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used to support staff, train volunteer fire-fighters, repair existing fire-fighting equipment, and purchase additional equipment. Funds may also be used to purchase smoke detectors, fire extinguishers, fire escapes, and emergency lights for public building.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments performing fire protection services on their reservation.

Beneficiary Eligibility:

American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

An initial application must be accompanied by a copy of the authorizing resolution from the Federally Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of an initial contract proposal has already been provided, a reference to that resolution. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. The SF-425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-1-1-999.

Obligations:

Direct Payments for Specified Use FY 20 \$2,011,804.00; FY 21 est \$1,718,672.00; FY 22 est \$1,826,400.00;

Range and Average of Financial Assistance:

\$200 to \$138,000; \$10,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled its mission by issuing approximately 30 awards to Native Indian Tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Assistance Locations. Applications should be filed with the local Bureau of Indian Affairs' agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.032 Indian Economic Development

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Reorganization Act of 1934, Section 10, Public Law 73-383, 48 Stat. 986, 25 U.S.C. 470; Public Law 93-262, 88 Stat. 77 through 83, 25 U.S.C. 1451; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.,

OBJECTIVES:

To assist Federally Recognized Indian Tribal Governments by providing the resources necessary to develop a self-sustaining economic base. The program provides opportunities for business development, the coordination and integration of programs through out the Federal government, and the partnering of Federally Recognized Indian Tribal Governments with local government and the public and private business sector.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Funds are used to administer tribal revolving loan programs and guaranty loan programs in order to develop resources to improve access to capital in tribal economies. Final approval of loan guarantees is restricted to the Bureau of Indian Affairs. Funds can also be used by Federally Recognized Indian tribal Governments to develop essential tribal ordinances and commercial business codes which provide the necessary separation and exercise of sovereign immunity between business activities and tribal regulatory activities to promote a pro-business environment.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog. In some instances, the application will be forwarded to the Regional Director for approval.

Award Procedure:

In most cases, the application to administer the program can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. A Federally Recognized Tribal Government or Native American Organization whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Other. Renewals may be granted indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Some awards under this program may be one-time grants for a specific purpose and may not be subject to renewal.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Federally Recognized Indian Tribal Governments and Native American Organizations administering the program must submit the SF-425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Project Grants FY 20 \$21,439,799.00; FY 21 est \$876,633.00; FY 22 est \$7,789,600.00;

Range and Average of Financial Assistance:

\$5,000 to \$300,000; \$215,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs accomplished mission objectives by issuing approximately 60 awards during FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.124 Indian Loans_Economic Development; 11.300 Investments for Public Works and Economic Development Facilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals to administer an economic development program are evaluated on the capability of the prospective applicant to successfully conduct the program and on budget and its effective use. All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.033 Road Maintenance Indian Roads

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Highway Act of 1921, as amended, Public Law 70-520, 45 Stat. 750, 25 U.S.C. 318(a); Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; 23 U.S.C. Chapter 2, as amended; and 25 CFR 170, Subpart G, BIA Road Maintenance..

OBJECTIVES:

To provide limited routine and preventive maintenance on BIA transportation facilities as described below: (1) BIA road systems and related road appurtenances such as signs, traffic signals, pavement striping, trail markers, guardrails, etc.; (2) Highway bridges and drainage structures; (3) Airport runways and heliport pads, including runway lighting; (4) Boardwalks; (5) Adjacent parking areas; (6) Maintenance yards; (7) Bus stations; (8) System public pedestrian walkways, paths, bike and other trails; (9) Motorized vehicle trails; (10) Public access roads to heliports and airports; (11) BIA and tribal post-secondary school roads and parking lots built with IRR Program funds; and (12) Public ferry boats and boat ramps.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

These funds are used to repair and maintain BIA transportation facilities serving Indian reservations, with particular emphasis on school bus routes and arterial highways; for snow removal, ice control, washout repair, and landslide removal; for replacement, rehabilitation, or maintenance of the 900 bridges on Indian reservation roads; for operation and maintenance of a ferry across Lake Roosevelt serving the Colville Indian Reservation; and for the maintenance of public airstrips located on Indian reservations. Access to these funds is restricted to tribes with qualifying BIA road miles or other facilities required to be maintained by this program.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments with BIA transportation facilities qualifying for this program.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments; individual tribal members and the public that use the BIA transportation system on Indian Reservation and lands.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Tribes with BIA transportation facilities must submit a needs request to receive consideration for funding to maintain roads or facilities that are eligible under this program. An informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. All applicable laws and regulations associated with this program must be complied with.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the inventory of BIA roads, BIA bridges and other BIA transportation facilities and the amounts appropriated annually to the Bureau of Indian Affairs, U.S. Department of the Interior.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Project Grants FY 20 \$7,153,654.00; FY 21 est \$4,439,968.00; and FY 22 est

ASSISTANCE LISTINGS DESCRIPTIONS

\$14,158,743.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled its mission objectives by issuing approximately 100 awards in FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 170, Indian Reservation Roads Program; 25 CFR 900, Contracts Under the Indian Self-Determination and Education Assistance Act; and 25 CFR 1000, Annual Funding Agreements Under the Tribal Self-Government Act Amendments to the Indian Self-Determination and Education Act.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

<http://www.bia.gov/WhoWeAre/BIA/OIS/Transportation>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.034 Agriculture on Indian Lands

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; American Indian Agriculture Resource Management Act, Public Law 103-177, 107 Stat. 2011, 25 U.S.C. 3701,

OBJECTIVES:

To protect and restore the agricultural (cropland and rangeland) resources on trust lands and facilitate the development of renewable agricultural resources.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Direct Payments for Specified Use, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Funds are used to support inventory, analysis, management, and improvement of Indian agricultural lands; to administer authorized use of Indian agricultural lands; and to support efforts to control noxious weeds and other invasive species on Indian lands.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Native American individuals, tribal governments and native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Native American landowners, Indian tribes and their

members, native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources. Agricultural grant programs (Rangeland Inventory and Noxious Weed Control) are not currently available to individuals or to non-tribal land-user entities.

Credentials/Documentation:

Must have a landownership, tribal government, or authorized-user interest in the Indian agricultural land or resource that is the basis of assistance request. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For Public Law 93-638 requests, awards of noxious weed control funding are made by the Central Office of the BIA. Individual advisory services and counseling, and provision of specialized services are handled at the Agency, Region, or Central Office level as appropriate.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval. The dollar value of Agriculture awards depends upon the amount that has been prioritized by the individual tribe through tribal participation in the BIA's budget formulation process (TPA).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. For Public Law 93-638 requests applications will be processed within 90 days.

Appeals:

Other. For Public Law 93-638 requests an unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Other. For Public Law 93-638 requests agriculture awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Noxious Weed Eradication assistance is awarded for projects on a year-by-year basis and is not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. Noxious Weed Eradication requires a minimum 50 percent cost-share agreement for the control projects. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For Public Law 93-638 requests noxious weed control funding is awarded annually and must be obligated by the second year. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Federal Financial Reports, SF 425 are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the

ASSISTANCE LISTINGS DESCRIPTIONS

single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$3,291,834.00; FY 21 est \$3,114,480.00; FY 22 est \$7,963,600.00;

Range and Average of Financial Assistance:

Agriculture: \$200 to \$575,000; \$50,000. Noxious Weed Eradication: \$500 to \$300,000; \$6,200.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled mission objectives by issuing approximately 40 awards in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Parts 161, 162, 166, 167, 168, and 900.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.033 Road Maintenance_Indian Roads;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For contracts under the Indian Self-Determination and Education Assistance Act: All applicants for assistance meeting the criteria in 25 CFR Part 900 will be funded. Noxious Weed Eradication: Project proposals are ranked according to land use and indicators of cooperator support, pest management integration, degree of establishment of targeted weed, and support of noxious weed control.

15.035 Forestry on Indian Lands

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Forest Resources Management Act of 1990, 36 Stat. 857, 25 U.S.C. 406 and 407; Act of June 25, 1910, Public Law 61-313; 62 Stat. 787 and 788, 18 U.S.C. 1853, 1855, and 1856; Rumsey Indian Rancheria Act, Sections 304-320, 104 Stat. 4531.,

OBJECTIVES:

To maintain, protect, enhance, and develop Indian forest resources through the execution of forest management activities.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Direct Payments for Specified Use, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Funds are used to support activities of reforestation and commercial forest stand improvement; timber sales management; forest inventories and plans; forest program management and administration; and forest protection activities including Wild land Fire Management. Forest Inventory, Management Planning and Forest Development funds are awarded competitively.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

Initial application for financial assistance (Public Law 93-638) must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog. Requests for technical services can be made through the local BIA agency office.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval. The dollar value of Forestry awards depends upon the amount that has been prioritized by the individual tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Other. Forestry. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Forestry Management Inventories, Planning and Forest Development funds are awarded for projects on a year-by-year basis and are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the

ASSISTANCE LISTINGS DESCRIPTIONS

contractor/grantee. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$28,117,758.00; FY 21 est \$4,954,700.00; FY 22 est \$38,898,000.00;

Range and Average of Financial Assistance:

Range is \$10,000 to \$1,000,000; Average \$100,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled its program objectives by awarding a combined 150 Cooperative Agreement and Self Determination awards in FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; 25 CFR 163 -- General Forestry Regulations.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Bill Downes,,

Office of Trust Services, Division of Forestry and Wildland Fire Management, Bureau of Indian Affairs, 1849 C Street N.W., MS-4513 MIB, Washington DC 20240

Email: bill_downes@bia.gov

Phone: (202) 208-4620.

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Forestry: All applicants meeting the criteria in 25 CFR Part 900 will be funded. Forestry Management and Development: Criteria include need, benefit/cost analysis, capability of the applicant to successfully conduct the project, and budget and its effective use. Awards are based on the commercial timber base, the annual forest development inventory, and on periodic priority needs as determined by Regional Offices.

15.036 Indian Rights Protection

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101 et seq.; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law

103-399, 108 Stat. 4164; Indian Lands Open Dump Cleanup Act of 1994; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.,

OBJECTIVES:

To protect Indian rights guaranteed through treaty or statute by obtaining the services or information needed to litigate challenges to these rights.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Awards fund projects to collect information needed by the Federal government to litigate challenges to Indian rights which have been guaranteed through treaty or statute.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor or grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

ASSISTANCE LISTINGS DESCRIPTIONS

Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor or grantee. The Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$24,453,418.00; FY 21 est \$14,354,700.00; FY 22 est \$30,000,000.00;

Range and Average of Financial Assistance:

Range is \$1,000 to \$100,000; Average \$25,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled program objectives by awarding approximately 50 Self Determination awards in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.037 Water Resources on Indian Lands

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law 67-85, 42 Stat. 208.,

OBJECTIVES:

To support Indian tribes in the effective and efficient management, planning, and use of their water resources.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Direct Payments for Specified Use, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Funds are used by tribes to conduct water management and planning project and activities for the purpose of managing and conserving their water resources and to participate in the on-going water rights negotiation and litigation activities to protect and secure their lawful water rights. All awards are project/proposal specific and are the result of a nationwide competitive process.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Bureau's application and prioritization procedure for water programs funding has been published as a Notice in the Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005 ("Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests"). Completed applications should be submitted by the established deadline to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are made by the Deputy Bureau Director, Office of Trust Services, Central Office, based on the prioritization of all applications received through a thorough evaluation and scoring by a six-person review team. Awards are usually made shortly after the review team has completed its reviews of all proposals received in Central Office by the due date of September 15th. Any awards are subject to the availability of the congressional appropriations for the new fiscal year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. There are no set times for approval or disapproval.

Appeals:

Other. No appeal process. The Water Programs funds are annual, non-recurring congressional appropriations and any awards made on the basis of the published prioritization process and the availability of funds.

Renewals:

Other. Funds are appropriated for use in non-recurring projects/activities on a year-to-year basis and are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Applications are evaluated and scored based on weighted criteria that have a maximum scoring range of 100 points (congressional and court mandates automatically score 100). No matching requirement, however, applications with available matching funds may score higher. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor or grantee. Method of awarding/releasing assistance: other

Reports:

Water program funds awarded to tribes through Public Law 93-638, as amended, are subject to the requirements of the Act and 25 CFR Part 900. The Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$15,301,922.00; FY 21 est \$10,721,704.00; FY 22 est \$18,423,800.00;

Range and Average of Financial Assistance:

The range is \$10,000 to \$200,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled program objectives by issuing approximately 100 Self Determination and Cooperative Agreement awards in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests, Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Water Management, Planning, and Pre-development proposals are evaluated according to the type of proposal (as published in the Federal Register Notice, Vol. 70, No. 201, October 19, 2005): Water Management - Indian Water and Associated Resources; Water Management - Coordination/Cooperation/Consultation/Conservation; Water Management - Other; Water Planning and Pre-development - Indian Water; and Water Planning and Pre-development - Other: In addition, all proposals are evaluated on the basis of: Cost Effectiveness, Compliance with Requesting Guidelines; and Efficiency and Accomplishment. Projects are funded based on rankings of the projects Bureau

wide, and in accordance with the national program priorities established for the program. Some preference is given to proposals that offer matching funds. Proposals are evaluated on the capability of the prospective applicant to successfully conduct the project, and on the budget and its effective use.

15.038 Minerals and Mining on Indian Lands

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Minerals Development Act, Public Law 97-382, 98 Stat. 1938, 25 U.S.C. 2101 et seq.; Umatilla Basin Project Act, Public Law 101-557, 16 U.S.C. 1271 et seq.,

OBJECTIVES:

The objectives of the Energy and Mineral Development Program are to: (1) provide funds to Tribes to perform technical evaluations of the energy (both renewable and conventional) and mineral resource potential of Indian reservations; (2) provide Tribes with geological, geophysical and engineering reports, maps, and other data concerning their energy and mineral resources; (3) provide Tribes technical assistance on using and interpreting assessment information so that Tribes can understand and plan for the potential development of these resources; and (4) provide Tribes with an outreach vehicle to promote their lands and resources to potential partners if they so desire. Those projects that fell into economic development categories will be considered for funding through the Office of Indian Energy and Economic Development.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Dissemination of Technical Information, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Minerals and Mining: Funding may be used to facilitate the inventory, assessment, promotion and marketing of both renewable and nonrenewable energy and mineral resources on Indian lands. Energy and Mineral Assessments: Funds are awarded competitively to support assessment and inventory programs and/or develop baseline data, but cannot be used for development purposes.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribes and Individual American Indian mineral owners.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and their members, Native American Organizations, and/or individual American Indian mineral property owners.

Credentials/Documentation:

Minerals and Mining: Initial application for assistance must be accompanied by a resolution of the governing body of the Indian tribe. Mineral Assessments Proposals must include: (1) a current tribal resolution authorizing the proposed project; (2) a proposal describing the proposed activities and the planned deliverable products; (3) a detailed budget estimate; and (4) a review by the BIA Agency Superintendent verifying that the work to be performed occurs on trust or restricted fee lands (the Superintendent will notify DEMD in writing if that is not case). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for

ASSISTANCE LISTINGS DESCRIPTIONS

financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications for Minerals and Mining should be submitted to the Division of Energy and Mineral Development office in Lakewood, Colorado and to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Mineral and Mining/Mineral Assessments. Proposals are paneled by the Office of Indian Energy and Economic Development. Funds are awarded according to the order of ranking of the proposals, although some elements of the budget may not be fully funded due to the Division's own ability to perform some tasks at lower costs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Mineral Assessments within 30 days after the solicitation period.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Other. Minerals and Mining/Mining Assessment: A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee although OIEED established deadlines for completion of project, which may be extended with prior written approval. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. The SF-425, Federal Financial Report is required. Technical reports describing project outcomes are defined as deliverables in an Energy and Mineral Development award and the data generated must be presented in a compatible computer format as specified by the Division of Energy and Mineral Development

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved. For Mineral Assessment awards, one paper copy of all data (field data, processed data, analyses, assays, etc.) must be submitted at completion of contract.

Account Identification:

14-2100-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$467,750.00; FY 21 est \$38,000.00; FY 22 est \$3,160,000.00;

Range and Average of Financial Assistance:

Minerals and Mining: Currently not contracted by any of the tribal governments. Mineral Assessments range is \$10,000 to \$250,000; average \$75,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled program objectives by issuing five grants in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 900 and Subchapter I - Energy and Minerals.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

<http://www.doi.gov/WhoWeAre/AS-IA/IEED>

RELATED PROGRAMS:

15.222 Cooperative Inspection Agreements with States and Tribes; 15.252 Abandoned Mine Land Reclamation (AMLR) Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Energy and Mineral Assessments: Proposals are evaluated according to technical merits of the mandatory elements of the proposal. Additionally, selection criteria for ranking contain six criteria: (1) Resource Potential; (2) Commodity Marketability; (3) Economic Benefit, (4) Willingness to Develop and Commitment to the Project; (5) Budget Completeness, Cost Reasonableness, Cost Realism, and Detail, and (6) Adequacy of the Technical Proposal and Statement of Work.

15.040 Real Estate Programs Indian Lands

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; 60 Stat. 1097, 25 U.S.C. 176; Indian Land Consolidation Act; Act of March 3, 1901; Act of March 3, 1921; Reorganization Plan No. 3, 1946; Public Law 56-382, 31 Stat. 1084, 25 U.S.C. 311; Public Law 66-359, 41 Stat. 1232, 25 U.S.C. 393; Public Law 97-459, 96 Stat. 2515, 25 U.S.C. 2201 et seq.; Public Law 98-608, 98 Stat. 3171; Public Law 102-238.

OBJECTIVES:

To provide real property management, counseling, and land use planning services to individual Indian allottees and Indian tribal and Alaska Native entities who own an interest in almost 56 million acres of trust land; to provide real estate appraisal services required in processing land transactions, and to protect and enhance the Indian leasehold estate by providing individual Indian landowners and Indian tribes with lease compliance activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Real Estate Services: decision-making processes are developed through cooperative efforts with the Indian landowners for the proper utilization, development, and enhancement of Indian trust lands. Real Estate Appraisals are one element in trust land transactions and are restricted to American Indians who have an ownership interest in property held in trust by the Federal government. Lease Compliance: program resources are used to ensure that leases on trust and restricted Indian lands and their assignees comply with the terms of the leases.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations

ASSISTANCE LISTINGS DESCRIPTIONS

(includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments, Native American Organizations authorized by tribes, and individual American Indians.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. For real estate appraisals, documented ownership interest in property held in trust is required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for real estate services and lease compliance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

For Real Estate Services and Real Estate Appraisals, the dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process. For Lease Compliance, funding is distributed to the Regional offices on the basis of number of existing leases and the number of inspections planned for the year. For Lease Compliance awards, in some cases, the award can be approved at the agency level. In most instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Other. Awards for Real Estate Services and Lease Compliance may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Awards for Real Estate Appraisals are a single-action item completed for a specific property and are not subject to renewal.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$2,658,621.00; FY 21 est \$2,700,000.00; FY 22 est \$3,700,000.00;

Range and Average of Financial Assistance:

For Real Estate Services, tribal award amounts vary from approximately \$1,000 to \$500,000. For Real Estate Appraisals, the cost of most appraisals is less than \$500 but can range up to approximately \$2,500 or more for large or complex properties; the average is \$1000. Lease Compliance awards range from \$250 to \$30,000 with most awards less than \$5,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled program mission objectives by issuing approximately 20 Self Determination awards in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 162; 30 CFR; 43 CFR; Uniform Standard of Professional Appraisal Practices as promulgated by the Appraisal Standards Board of the Appraisal Foundation.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding levels for Real Estate Services and Real Estate Appraisals are determined by the individual Tribe through participation in the BIA's budget formulation process. All applicants meeting the criteria in 25 CFR Part 900 will be funded. Appraisals are conducted for all transactions involving trust property. The time required to complete an appraisal depends on the number of requests being processed by a specific office and the resources available to meet the requests. Lease Compliance funds are distributed to the Regional offices based on three factors: (1) total number of leases, (2) total number of acres, and (3) total amount of income derived from leases. Awards for lease compliance are determined by the number of qualifying leases reported to the Agency and Regional Offices. Minimum funding of \$50,000 is allocated to each Regional office. In allocating program resources, priority is given to activities involving agriculture, oil and gas, mining, industrial, business, recreational, and other income-producing leases.

15.041 Environmental Management Indian

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; 42 U.S.C. 4321, et seq.; National Environmental Policy Act; Solid Waste Disposal Act, 42 U.S.C. 6901-6992k; Resource Conservation and Recovery Act, Public Law 94-580; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, 42 U.S.C. 9601- 9675; 16 U.S.C. 470; National Historic Preservation Act.,

OBJECTIVES:

To determine environmental impacts of Federal projects on Indian lands; to conduct surveys of Bureau of Indian Affairs controlled Federal lands and facilities, and of Indian lands, in order to identify hazardous waste sites, evaluate the potential threat to health and the environment, and develop the necessary remedial actions; to train area, agency and tribal staff in waste management principles; and to respond to emergencies and alleviate adverse health or environmental impacts.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Use of these funds are two-fold. One, they facilitate the Bureau's fulfilling statutory responsibilities: they are used to prepare Environmental Assessments (EA's); advise agency superintendents on environmental quality, waste disposal and cultural resource management; assist area offices in gathering information for EA's and Environmental Impact Statements (EIS's); review proposed actions to determine compliance with the National Environmental Policy Act (NEPA); and assist area offices in obtaining information for compliance with the National Historic Preservation Act and the Archeological Resources Protection Act. Two, they are used for individual tribal projects that enhance or protect the local environment.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and Native American Organizations authorized by the Tribes.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Informal prep-application conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

The award is approved by the Director, Office of Facilities, Environmental and Cultural Resources, Indian Affairs.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the

deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. For continuing, funded projects, awards may be renewed upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor or grantee. Federal Financial Reports, SF 425, are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For awards made under this program, grantees/contractors are responsible for obtaining audits.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$4,716,875.00; FY 21 est \$4,600,000.00; FY 22 est \$3,500,000.00;

Range and Average of Financial Assistance:

Range is \$5,000 to \$250,000; Average \$25,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled program objectives by issuing approximately 30 Self Determination awards in FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR 800 and 40 CFR 1500-1508.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559

Fax:

Website Address:

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Proposals must provide the Bureau of Indian Affairs or the tribes with assistance on a current compliance issue; work must be consistent with conservation of trust resources; and applicant must have the experience and qualifications necessary to complete the technical aspects of the work.

15.042 Indian School Equalization

(ISEP).

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.,

OBJECTIVES:

To provide funding for primary and secondary education.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used for the education of Indian children in Bureau of Indian Education (BIE) funded schools. Funds may not be used for construction.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribes or tribal organizations currently served by a Bureau of Indian Education funded school.

Beneficiary Eligibility:

American Indian; Federally Recognized Indian Tribal Governments; Children between the ages of 5 and 21 who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An applicant should consult the BIE Education Line Officer who will provide technical assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et. seq.

Award Procedure:

The BIE Education Line Officer will ensure the application is complete and forward the application to the Director, Bureau of Indian Education, with a recommendation for approval or disapproval.

Deadlines:

July 01, 2021. Schools must submit their applications and a copy of the tribe's approval of the application by March 1. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1.

Range of Approval/Disapproval Time:

Other. Applications for contract schools will be processed within 90 days; applications for grant schools will be processed within 180 days after the date the

application is submitted to the Education line Officer.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Other. Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory Formula: Title 25, Chapter 39, Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds may be paid in advance, are awarded annually on an indefinite basis based on performance, and remain with the Self Determination contractor or grantee until spent. Funds are added to the recipient school's Public Law 100-297 Grant or Public Law 93-638 Contract on July 1 and December 1. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Narrative Report and a program evaluation by an impartial third party such as an accrediting agency. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Direct Payments for Specified Use FY 20 \$16,909,472.00; FY 21 est \$16,900,100.00; FY 22 est \$540,100,100.00;

Range and Average of Financial Assistance:

The FY 2014 range was \$161,000 to \$8,930,300. The average was \$2,007,600 per grant school.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled its program objective by issuing approximately five (5) grants in FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Assistance Locations. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin,

Headquarters Office: Office of Indian Education Programs, Bureau of Indian

Affairs, MS-4657 MIB, 1849 C Street, N.W.,

Washington DC 20240

Email: joe.herrin@bie.edu

Phone: (202) 208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

15.044 Indian Schools_Student Transportation; 15.043 Indian Child and Family Education;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility requirements are funded.

15.043 Indian Child and Family Education

(FACE),

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.,

OBJECTIVES:

The Family And Child Education (FACE) program is designed to serve families with children from prenatal to age 5 in home and center-based settings. Families may receive services in one or both settings. FACE provides early childhood for all children from birth - to age five and adult education for their parents through family literacy, parental involvement, increasing school readiness, high school graduation rates among Indian parents, and encouraging life-long learning.

TYPES OF ASSISTANCE:

Project Grants, Training

USES AND USE RESTRICTIONS:

Funds must be used for early childhood education, adult education and parenting skills. Funds may not be used for administration or construction.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau of Indian Education funded elementary schools may apply to administer the program.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Parents and their Indian children under 5 years of age who live on a reservation with a Bureau-funded school.

Credentials/Documentation:

The initial application must include the SF 424 and SF 424B, and may be accompanied by a resolution of the tribal governing body of the Tribe of the children served by or to be served by the organization. No documentation is required of the parents or children. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Tribe should contact the BIE Education Line Officer.

Award Procedure:

Once an application is received, the Coordinator of the FACE program appoints a panel of readers from such organizations as the National Center for Family Literacy, the Parents as Teachers, Research Training Associates and BIE staff who are familiar with early childhood development. The panel reads, rates and ranks the applications. The coordinator then recommends to the Director, Office of Indian Education, those applications for approval.

Deadlines:

June 01, 2021. Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Other. Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Other. Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Other. Awards to Tribal Governments to administer the program may be renewed every 3 years based upon satisfactory performance. A notice of intent to renew should be submitted yearly and a full application must be submitted every 3 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. Method of awarding/releasing assistance: other

Reports:

Monthly progress reports, annual participation data, screening, work sampling, and other program data requested is required. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants FY 20 \$182,168.00; FY 21 est \$180,100.00; FY 22 est \$150,000.00;

Range and Average of Financial Assistance:

Each site receives approximately \$289,910.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled program objectives by issuing a combined four Self Determination awards and one Cooperative Agreement in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the Education Line Officers.

Regional or Local Office:

See Regional Assistance Locations. See the BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Jeffrey Hamley,
Bureau of Indian Education, Division of Performance and Accountability, BIA
Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332,
Albuquerque NM 87104
Email: Jeffrey.Hamley@bie.edu
Phone: (505) 563-5260

Website Address:

<http://www.bie.edu>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the review of the team appointed by the Coordinator. Final determination made by the Director, BIE, based on the Coordinator's recommendation.

15.044 Indian Schools Student Transportation

(Student Transportation),

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.,

OBJECTIVES:

To provide funds to each Bureau of Indian Education (BIE) funded school for the round trip transportation of students between home and the school site.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are to only be used for the transportation of students who are eligible under the Indian School Equalization Program (ISEP) as provided for under 25 CFR 39 and are enrolled in a BIE- funded school or dormitory.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribes or tribal organizations currently served by a BIE-funded school.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An applicant should consult the Education Line Officer who will provide technical assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et seq.

Award Procedure:

The Education Line Officer will ensure the application is complete and forward the application to the Director, Bureau of Indian Education, with a recommendation for approval/disapproval.

Deadlines:

July 01, 2021. Schools must submit their applications and a copy of the tribe's approval of the application by March 1. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1.

Range of Approval/Disapproval Time:

Other. Applications for contract schools will be processed within 90 days; applications for grant schools will be processed within 180 days after the date the application is submitted to the BIE Education Line Officer.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Funds are allocated in accordance with 25 CFR 39 Subpart G. The Director, Bureau of Indian Education will review transportation allotment factors each year and make changes in factors based on changes in transportation costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Funds are added to the recipient school's Public Law 100-297 Grant or Public Law 93-638 Contract on July 1 and December 1. Method of awarding/releasing assistance: other

Reports:

A narrative report and a program evaluation by an impartial third party such as an accrediting agency. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$2,870 to \$1,630,820; Average \$328,061.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs did not require this CFDA to issue new awards in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Assistance Locations. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Joe Herrin,
1849 C Street, N.W. Bureau of Indian Education, MS 4657 MIB,
Washington DC 20240
Email: joe.herrin@bie.edu
Phone: (202)208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.043 Indian Child and Family Education; 15.042 Indian School Equalization Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.046 Administrative Cost Grants for Indian Schools

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.,

OBJECTIVES:

To provide grants to tribes and tribal organizations operating schools for the purpose of paying administrative and indirect costs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for program services related to administrative overhead, operations necessary to meet requirements of the law, prudent management practices, and other necessary support functions.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments or Tribal Organizations operating a Bureau of Indian Education funded school.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Indian Tribal Governments or tribal organizations operating a Bureau funded elementary or secondary school under a Public Law 100.297 grant or Public Law 93-638 Self-determination Contract with the Bureau of Indian Education.

Credentials/Documentation:

Approved status as a Public Law 100-297 Grant or a Public Law 93-638 Self Determination Contract School. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No separate application is required. The additional allocation will be calculated by the Bureau of Indian Education and added to the underlying award.

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is notified by the BIE Education Line Officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 25 U.S.C. 2008(a). Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made available on July 1 and December 1 each year and remain available without fiscal year limitation until expended by the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

Narrative Report and a program evaluation by an impartial third party such as an accrediting agency. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$54,600,100.00;

Range and Average of Financial Assistance:

Range is \$127,600 to \$1,166,200; Average \$405,967.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs did not require use of this CFDA code in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Assistance Locations. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin,
Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-3609 MIB, 1849 C Street, N.W.,
Washington DC 20240
Email: joe.herrin@bie.edu
Phone: (202)208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.042 Indian School Equalization Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.047 Indian Education Facilities, Operations, and Maintenance

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq., Public Law 103-413, Title II Tribal Self-Governance Act, Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001; Tribally Controlled Schools Act, Public Law 100-297, and 25 U.S.C. 2501.,

OBJECTIVES:

To provide funds to BIE funded elementary schools, secondary schools and peripheral dormitories for facilities operations and maintenance.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of maintenance personnel, utilities, maintenance of school grounds, supplies, equipment, materials, and for minor repairs to facilities.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments or tribal organizations currently served by a Bureau of Indian Education (BIE) funded elementary school, secondary school or peripheral dormitory.

Beneficiary Eligibility:

Native American Organizations; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and occupants and visitors of BIE funded elementary or secondary schools or peripheral dormitories.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. If the school serves students from multiple tribes, resolutions from tribal governing bodies representing at least 90 percent of the students are required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for status as a contract school must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Initial applications for status as a grant school should be developed in accordance with 25 U.S.C. 2501 et seq.

Award Procedure:

Applications should be submitted to the BIE Education Line Officer (ELO) who will ensure that the application is complete. The application is forwarded by the ELO to the Director, Office of Indian Education Programs, with a recommendation for approval or disapproval. The dollar value of the awards is based upon school age and condition, size, utilities costs, and other pertinent factors.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 to 180 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee and continued use of the facilities. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted based on appropriations and need.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the contractor/grantee. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Direct Payments for Specified Use FY 20 \$25,250,788.00; FY 21 est \$25,050,000.00; FY 22 est \$62,040,100.00;

Range and Average of Financial Assistance:

Range and Average of Financial Assistance (123): In FY 2014 the range was \$58,374 to \$2,385,121; Average \$533,888. Federally Recognized Indian Tribal Governments or tribal organizations currently served by a Bureau of Indian Education (BIE) funded elementary school, secondary school or peripheral dormitory.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs met its program objectives by issuing 10 grant awards for educational facilities, operations, and maintenance in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local BIE Education Line Office as listed in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin,
1849 C Street, NW, MS-4657 MIB,
Washington DC 20240
Email: joe.herrin@bie.gov
Phone: (202) 208-7658

Website Address:

<http://www.bie.edu>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

15.042 Indian School Equalization Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 or the requirements of the Tribally Controlled Schools Act will be selected if they are currently served by a BIE-funded school.

15.048 Bureau of Indian Affairs Facilities Operations and Maintenance

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450,

OBJECTIVES:

To provide funds for basic operating services to Bureau-owned or Bureau-operated non-education facilities and to maintain these facilities in a safe operating condition for the conduct of Bureau programs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used for essential services for non-education physical plant and utility systems throughout the Bureau. The Operation and Maintenance may be used for personnel services, supplies, materials, equipment and support for planning, reporting and managing the operations and resources of the organization as well as heating, cooling, electricity, water, sewage, refuse disposal, basic telecommunications equipment, unscheduled and preventive maintenance, GSA vehicle rental, lease agreements, and custodial and protection services.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments who have Bureau-owned or Bureau-operated facilities on their reservation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and occupants and visitors of Bureau-owned or Bureau-operated facilities.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An informal conference with the Bureau of Indian Affairs agency representative is recommended.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C "Contract Proposal Contents." Completed applications should be submitted to the local Bureau agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that would otherwise be spent for the program through direct services provided by the Bureau. Operation and Maintenance is based upon age and condition, size, utilities costs, and other pertinent factors of the facility.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee and continued use of the facilities. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted based on appropriations and need.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the contractor/grantee. The Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Direct Payments for Specified Use FY 20 \$2,232,463.00; FY 21 est \$1,293,100.00; FY 22 est \$30,200,000.00;

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled program objectives by issuing three (3) FY2020 Self Determination Awards to Indian Tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting the requirements of 25 CFR Part 900 who have Bureau-owned or Bureau-operated facilities on their reservation.

15.051 Endangered Species on Indian Lands

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Endangered Species Act, Public Law 93-205.,

OBJECTIVES:

To comply with the Endangered Species Act, the Northern Spotted Owl Recovery plan, and to implement the Endangered Species Recovery on Indian lands.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Direct Payments for Specified Use, Dissemination of Technical Information

USES AND USE RESTRICTIONS:

The program monies are species specific. Appropriated funds allow those tribes in areas of endangered species to conduct activities that are necessary to comply with the Endangered Species Act.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments whose reservations are in areas inhabited by these specific endangered species.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Informal application conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

Other. Financial assistance is in the form of nonrecurring awards appropriated for projects on a year-by-year basis. They are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. The Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$397,469.00; FY 21 est \$2,000,000.00; FY 22 est \$2,500,000.00;

Range and Average of Financial Assistance:

Range is \$20,000 to \$140,000; Average \$50,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled program objectives by issuing approximately ten (10) Self-Determination awards in FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900; 50 CFR Part 17 Endangered and Threatened Wildlife and Plants.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.034 Agriculture on Indian Lands; 15.035 Forestry on Indian Lands;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria such as the geographic extent of potential critical habitat and the amount of

ASSISTANCE LISTINGS DESCRIPTIONS

harvested acres (forest, range, agricultural, etc.) are considered, as well as the methodologies proposed and the capability of the applicant to successfully conduct the project.

15.052 Litigation Support for Indian Rights

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Law 103-399; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.,

OBJECTIVES:

To establish or defend Indian property or treaty rights through judicial, administrative, or settlement actions.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program supports requests from tribes for expert witnesses, research, data collection, technical support, and other evidence-gathering activities required for the United States to defend the government's position in litigation cases involving Indian rights issues such as hunting, fishing and gathering rights issues; trespass; title issues, such as property line disputes; rights- of-way disputes; allotment claims; mineral entry; pollution and other activities which have harmed or could harm the health and safety of the reservation population. Funds may be used for the payment of Equal Access to Justice Act (EAJA) settlements or other payments ordered by the Courts, the Department of Justice and the Department of the Interior Office of the Solicitor.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and Native American Organizations authorized by these Tribes.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribes and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are approved at the Headquarters level.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the

deciding official.

Renewals:

Other. Applications must be submitted each year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee for the purposes specified in the application. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. The Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$418,400.00; FY 21 est \$500,000.00; FY 22 est \$400,000.00;

Range and Average of Financial Assistance:

Funding range and average is not available. Approximately 30 funding requests are received on an annual basis; between 20 and 25 are funded each year.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs successfully fulfilled program objectives by issuing a Self Determination award to an Indian Tribe.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.053 Attorney Fees_Indian Rights;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection of proposals is dependent on the type of assistance being requested and the availability of funds. Cases for which assistance is requested must have progressed beyond Tribal courts to local, county, State, or Federal courts.

ASSISTANCE LISTINGS DESCRIPTIONS

15.053 Attorney Fees Indian Rights

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96- 487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.,

OBJECTIVES:

To assist Federally Recognized Tribes in protecting their treaty rights and other rights established through Executive Order or court action.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

These funds are used to provide assistance to tribes to obtain legal representation in situations where the United States cannot represent them as authorized in 25 U.S.C. 175. The funds are distributed in accordance with regulations governing the expenditure of appropriated funds for the fees of private attorneys representing tribes, and the eligibility requirements are found in 25 U.S.C. 89. Bureau policy determines the attorney fee hourly rates.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribes and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR 89. Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are approved at the Headquarters level.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

Other. A new application is required each year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended for the legal services specified in the contractor/grantee application. Method of

awarding/releasing assistance: other

Reports:

Reports are not required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained by Tribes for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved. Records maintained by the attorneys are subject to provisions of the contract with the Tribe.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Information not available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Accomplishments are not available because this CFDA was not used in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 89.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.052 Litigation Support for Indian Rights;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cases must first have been turned down by the Department of Justice and the Department of the Interior Office of the Solicitor, and then can be submitted for review to the Attorney Review Committee for a recommendation to the Assistant Secretary for approval.

15.057 Navajo-Hopi Indian Settlement

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 93-531, 25 U.S.C. 640 et seq.; Navajo-Hopi Settlement Act; Public Law 102-180, 105 Stat. 1230; Indian Self-Determination and Education Assistance

ASSISTANCE LISTINGS DESCRIPTIONS

Act, Public Law 93-638, as amended, 25 U.S.C. 450.,

OBJECTIVES:

To implement those provisions of the Navajo-Hopi Settlement Act of 1974, as amended, which are assigned to the Department of the Interior; and to institute conservation practices and methods to restore the grazing potential of rangelands lying within the former Navajo/Hopi Joint Use Area.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Use is restricted to approved projects within the Navajo/Hopi Joint Use Area. Funds will be used for restoration of rangeland within the former Navajo/Hopi Joint Use Area (JUA). This includes livestock monitoring on the JUA, issuance of grazing permits, implementation of range management plans and grazing control methods, establishment of range units and grazing capacity, and removal of trespass livestock on the Hopi Partitioned Lands; initiation of grazing control on the Navajo Partitioned Lands; and natural resources restoration on the affected lands.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments of the Navajo and Hopi Tribes and Native American Organizations authorized by either Tribe.

Beneficiary Eligibility:

American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments of the Navajo and Hopi Tribes and individual members of both Tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the Hopi Agency or the Navajo or Western Regional Office of the Bureau of Indian Affairs at the address listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Other. Annual application required.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee.

Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. The SF-425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$1,281,991.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled program objectives by issuing four (4) Self Determination awards in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 161, 166, and 168.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the Hopi Agency or the Navajo or Western Regional Office of the Bureau of Indian Affairs at the address listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected on the basis of the need and urgency of the proposed work.

15.058 Indian Post Secondary Schools

Haskell Indian Nations University (Haskell) and Southwestern Indian Polytechnic Institute (SIPI).

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Snyder Act of 1921 Public Law 67-85, 25 U.S.C. 13.,

OBJECTIVES:

To provide postsecondary educational opportunities for American Indian Students.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Students may attend one of two post-secondary schools operated by the Bureau of Indian Affairs with minimal charge for tuition, room and board. Married student housing is not available on campus.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); American Indians and Alaskan Natives.

Beneficiary Eligibility:

American Indian; American Indians and Alaskan Natives.

Credentials/Documentation:

Application must be accompanied by a verification of Tribal affiliation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Interested applicants may obtain a Standard Form 5-6621 from a local BIE Education Line Office or Tribal Government education office, or applicants may contact the schools directly.

Award Procedure:

Applications will be reviewed by the colleges' admissions committees.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. HINU: Fall Semester - June 30; Spring Semester - November 15; Summer School - (if funds are available) - April 15. SIPI: Fall Trimester - September 1; Spring Trimester - December 29; Summer Trimester - May 5. Students will be notified within 4 weeks of having submitted a completed application packet.

Appeals:

Not Applicable.

Renewals:

Other. HINU: Lodging full time: \$215 per semester for housing, student activities, and textbooks, \$110 for students living off campus; SIPI: Lodging full-time: \$280.00, Commuter full-time: \$225.00, Commuter part-time: \$150.00. All Fees are non-refundable. HINU and SIPI may periodically increase their fees.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the recipient. Financial assistance grants to individual students are based on each student's certified financial aid requirements as identified in the Department of Education's Student Financial Assistance programs. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Applicants are to submit official transcript from high school or other institution attended or certificate of GED.

Account Identification:

14-2100-0-1-501.

Obligations:

Training FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$13,000,000.00;

Range and Average of Financial Assistance:

Not identifiable.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: New obligations were not made in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 40; Haskell Indian Nations University Catalog; Southwestern Indian Polytechnic Institute Catalog.

Regional or Local Office:

See Regional Assistance Locations. Haskell Indian Nations University, 155 Indian Avenue, Lawrence, Kansas 66046 Phone: (785) 749-8454. Southwestern Indian Polytechnic Institute, 9169 Coors Blvd, NW., Albuquerque, NM, 87120 (505)-346-2348

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: (202)208-3559

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.114 Indian Education_Higher Education Grant Program; 15.060 Indian Vocational Training_United Tribes Technical College; 15.027 Assistance to Tribally Controlled Community Colleges and Universities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To the extent that space is available, all applicants meeting the eligibility criteria and demonstrating the ability to benefit from post-secondary education will be accepted.

15.059 Indian Graduate Student Scholarships

(Special Higher Education Scholarships),

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 25 U.S.C. 13.,

OBJECTIVES:

To provide financial aid to eligible Indian students to enable them to obtain advanced degrees.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used to defray the costs of obtaining a graduate degree.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Individual applicants must be Indian students who are members of Federally Recognized Indian Tribes, who have been admitted to a graduate program and have unmet financial need.

Beneficiary Eligibility:

American Indian; Members of Federally Recognized Indian Tribes who are a member of or are at least one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Individual applicants must have proof of membership or one-fourth degree of Indian blood or descendant of a member of a Federally Recognized Indian Tribe.

ASSISTANCE LISTINGS DESCRIPTIONS

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants should contact the American Indian Graduate Center which administers the program on behalf of the Bureau. The 2014 AIGC award includes \$1,443,000 for scholarships and \$707,000 for financial assistance loans with a service pay back option. (The American Indian Law Center also receives \$342,000 for pre-law courses.).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms may be obtained from the local BIA agency or BIE Education Line Office listed in Appendix IV of the Catalog.

Award Procedure:

The applicant will be notified of the amount of the award by the financial aid office of the admitting university.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 20 to 30 days from the receipt of the application by the American Indian Graduate Center.

Appeals:

Not Applicable.

Renewals:

Other. Individuals must reapply annually.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards made are not to exceed one academic year. Method of awarding/releasing assistance: lump sum.

Reports:

Successful applicants are required to show proof of satisfactory performance.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$250 to \$4,000; Average \$3,947.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No accomplishments to cite for FY2020 because the Indian Affairs did not issue any awards under this CFDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40.

Regional or Local Office:

See Regional Assistance Locations. Ms. Juanita Mendoza, Bureau of Indian Education, MS 4657-MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: juanita.mendoza@bie.edu Phone: (202) 208-3559.

Headquarters Office:

Juanita Mendoza,

1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

<http://www.bie.edu/contact.htm>

RELATED PROGRAMS:

15.026 Indian Adult Education;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.060 Indian Vocational Training United Tribes Technical College

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.,

OBJECTIVES:

To provide vocational training to individual American Indians through the United Tribes Technical College, located in Bismarck, North Dakota.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use, Training

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians to obtain a marketable skill through vocational training at the United Tribes Technical College, located in Bismarck, North Dakota. Restricted to American Indian people who are determined eligible for these services.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Application to administer the program is limited to the United Tribes Technical College. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

American Indian; Individual American Indians who are members of a Federally Recognized Indian Tribe and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally Recognized Indian Tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application by the United Tribes Technical College to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." The completed application should be submitted to the Bureau of Indian Affairs' Aberdeen Regional Office. Individual American Indian applicants should apply for program services using the application form designated by the College.

ASSISTANCE LISTINGS DESCRIPTIONS

Individual application forms may be obtained directly from the United Tribes Technical College or from Bureau of Indian Affairs Agency or Regional Offices listed in appendix IV of the Catalog.

Award Procedure:

Applications for individual subsistence benefits are approved by the designated United Tribes Technical College official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. 1 to 90 days.

Appeals:

Other. Disputes between the United Tribes Technical College and the Bureau of Indian Affairs may be appealed through an informal conference with the deciding official, may be appealed to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An appeal of a college official's decision must be made under the college's appeal procedures.

Renewals:

Other. Awards to the United Tribes Technical College to administer the program may be renewed indefinitely upon satisfactory performance by the college and the availability of appropriations. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Renewals of grants to individual beneficiaries are granted based on evidence of satisfactory performance and the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards to the United Tribes Technical College to administer the program are made on an annual basis and the funds remain available until expended by the college. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the college. Grants to individual beneficiaries for subsistence are released monthly or quarterly, based upon individual needs. Vocational and employment counseling are provided by the college. Funding is limited in duration to the length of the vocational program, which varies by area of study. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the College. The United Tribes Technical College must submit the Federal Financial Report, SF 425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The United Tribes Technical College must retain financial records for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Training FY 20 \$26,574,019.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is between \$500 to \$3,000; Average \$2,500.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The IA fulfilled its program objectives by awarding approximately 8 Self-Determination Awards in Fiscal Year 2020; Special interest-American Recovery Plan Funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26 and 25 CFR 27. For awards to United Tribes Technical College see also 25 CFR Part 900.

Regional or Local Office:

See Regional Assistance Locations. Applications may be obtained from the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog or with the United Tribes Technical College. For direct contact: United Tribes Technical College, 3315 University Dr., Bismarck, North Dakota 58504. Contact: Vivian Gillette, Admissions. Telephone: (701) 255-3285, ext. 334.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.114 Indian Education_Higher Education Grant Program; 15.850 Indian Arts and Crafts Development; 15.108 Indian Employment Assistance; 15.061 Indian Job Placement_United Sioux Tribes Development Corporation;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Final determination to fund an application is based on the individual's eligibility and financial need and total dollars available to the College.

15.061 Indian Job Placement United Sioux Tribes Development Corporation

(United Sioux Tribes),

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85; 42 Stat. 208; 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956; Public Law 84-959; 70 Stat. 986, as amended; Public Law 88-230; 77 Stat. 471; 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act; Public Law 93-638; as amended; 25 U.S.C. 450.,

OBJECTIVES:

To provide job development, counseling, social adjustment guidance, and referrals to job training programs and other assistance programs through the United Sioux Tribes Development Corporation, located in Pierre, South Dakota.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians in finding permanent employment. A weekly or monthly (depending on type of services received) stipend is paid until the participant is employed. Upon receiving employment, assistance may be provided until the first full paycheck is received.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Application to administer the program is limited to the United Sioux Tribes Development Corporation. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

American Indian; Federally Recognized Indian Tribal Governments; Must be an American Indian member of a Federally Recognized Indian Tribe and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member of a Federally Recognized Indian Tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application by the United Sioux Tribes Development Corporation to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." The completed application should be submitted to the Bureau of Indian Affairs Aberdeen Regional office. Individual American Indian applicant should apply for program services using the application form designated by the Corporation. Individual application forms may be obtained directly from the Corporation.

Award Procedure:

Applications for individual benefits are approved by the designated United Sioux Tribes Development Corporation official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. 1 to 90 days.

Appeals:

Other. Disputes between the United Sioux Tribes Development Corporation and the Bureau of Indian Affairs may be appealed through an informal conference with the deciding official, may be appealed to the Interior Board of Indian Appeals, or the corporation may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An appeal of the corporate official's decision must be made under the corporation's appeal procedures.

Renewals:

Other. Awards to the United Sioux Tribes Development Corporation to administer the program may be renewed indefinitely upon satisfactory performance by the corporation and the availability of appropriated funds. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Individual renewals are based on the availability of funds, but the job placement service may not be used more than three times or more frequently than every two years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards to the United Sioux Tribes Development Corporation to administer the program are made on an annual basis and the funds remain available until expended. Payments may be made in advance or by reimbursement. Payments to individual beneficiaries for subsistence, support services, uniforms, etc., are released as required by beneficiary, up to the amount of the award. Assistance for job placement is provided until the beneficiary receives their first full paycheck. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the Corporation. The United Sioux Tribes Development Corporation must submit financial status reports, SF 269A.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The United Sioux Tribes Development Corporation must retain financial records for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$0.00; FY 21 est \$986,303.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled program objectives. IA funded an ad-hoc project under this CFDA code for repairs for a Day School Program. One (single) Self-Determination Award issued under this CFDA in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900, 25 CFR 26, and 25 CFR 27.

Regional or Local Office:

See Regional Assistance Locations. United Sioux Tribes Development Corporation, 1830 Lombardy Drive, Rapid City, South Dakota, 57701. Contact: Ken Lock; Telephone: (605) 226-7426.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.108 Indian Employment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are received for direct employment participants. Final determination to fund an application is based on the individual's eligibility and financial need and total dollars available to the Corporation.

15.062 Replacement and Repair of Indian Schools

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, 25 U.S.C. 450 et seq., as amended, Title IV; Tribal Self-Governance Act of 1994, Public Law 103-413; Tribally Controlled Schools Act of 1988, as amended, Public Law 100-297, 25 U.S. C. 2501 et seq TCSA; American Recovery & Reinvestment Act of 2009, Public Law 111-5 (ARRA),.

OBJECTIVES:

Providing safe, functional, code-compliant, economical, and energy efficient education facilities for American Indian students attending Bureau of Indian

ASSISTANCE LISTINGS DESCRIPTIONS

Affairs owned or funded primary and secondary schools and/or residing in Bureau owned or funded dormitories. Additional objectives for American Recovery and Reinvestment Act (ARRA) funded projects include having a demonstrated or potential ability to deliver programmatic results, optimizing economic activity and the number of jobs created or saved, achieving long-term public benefits from improved school infrastructure, fostering energy independence or improving educational quality.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Replacement School Construction: Funds are provided for advance planning, design, and construction of major expansions or major replacements of Bureau of Indian Affairs owned or funded education facilities for the direct support of primary and secondary schools and/or dormitories. An education facility may include an entire school facility or building, or a component(s) of a school facility or building, such as, classrooms, multi-purpose rooms or gymnasiums, or cafeteria/kitchens; and may include other improvements to real property such as, water storage tanks, water and sewer distribution lines, parking lots, and other site improvements. School facilities are replaced only in those instances where rehabilitation and upgrading are not feasible because of eligible American Indian student capacity needs, functional changes or costs. **Facilities Improvement and Repair:** Funds are provided for advance planning, design, and construction for facilities improvement and repair of education facilities for the direct support of primary and secondary schools and/or dormitories and the Bureau's two post secondary schools. Funds are used to improve, repair and rehabilitate facilities and in some instances replace components of education facilities and may include other improvements to real property as noted under Replacement School Construction.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and Tribal Organizations, including School Boards, who have a prioritized Replacement School Construction or Facilities Improvement and Repair, for which funds have been specifically approved through the appropriation process, or for which ARRA funds have been allocated.

Beneficiary Eligibility:

Minority group; Student/Trainee; American Indian; Child (6-15); Federally Recognized Indian Tribal Governments; American Indian children attending Bureau owned or funded primary and secondary schools and/or American Indian children residing in Bureau owned or funded dormitories.

Credentials/Documentation:

When an eligible project has been identified, the Tribe or Tribal Organization is invited to submit an initial application accompanied by a copy of the authorizing resolution from the Federal Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of a project has already been provided, a reference to that resolution is acceptable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Taking into account organizational risk ratings, the agency consults with the Tribe as to how it wishes the project to be managed. An informal conference with the Bureau agency representatives is strongly recommended to determine the application and award procedures to be followed.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For a Self-Determination Contract (or Grant), applications are filed in accordance with 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act;" for a Financial Assistance Award applications are filed pursuant to 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Award Procedure:

Although eligible applicants do not compete for awards, an award to a particular entity is not mandatory because requirements related to the scope of the project

work, project cost, and other compliance measurements must be agreed to before funding is provided to the recipient. For non-ARRA funded projects, projects representing the greatest needs at schools and related facilities are prioritized as part of the process of obtaining Congressional appropriations of fund the projects. Funds must be appropriated before any project awards can be made, and a change in priorities may require Congressional consultation and, for some projects above a certain dollar amount, Congressional approval of the reprogramming of funding to a different project. For ARRA funded projects, a similar prioritization of projects representing greatest needs was implemented, resulting in the identification of projects eligible to receive ARRA funds, followed by communication with prospective recipients of the available ARRA funds for the identified projects. For both ARRA funded and non-ARRA funded projects, the award of a self-determination contract is made in accordance with the procedures contained in 25 CFR Part 900 "Contracts under Indian Self-Determination and Education Assistance Act," and the award of a financial assistance grant amendment to the existing education grant from the Bureau of Indian Education to the Tribe or Tribal Organization is made in accordance with the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq. The requirements in 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" apply to financial assistance awards. ARRA projects also are subject to additional requirements related to performance, funding, and compliance through implementation of ARRA in the areas of transparency and reporting, oversight, risk accountability, accelerated timeframes and other statutory objectives. Projects are prioritized before the funds are appropriated. Funds must be appropriated before the award can be made. The award is made in accordance with the procedures contained in 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days for Self-Determination Contracts/Grants as provided under applicable procedures for other financial assistance awards.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. For non-ARRA funded projects, depending upon the size and complexity of the overall construction, improvement or repair project it may take several increments of funding assistance to complete the entire projects; often an initial award is issued as a new contract/grant amendment with second and subsequent funding increments issued as renewals to existing contracts/grants. ARRA funds are for one-time specific projects that must be started and completed expeditiously.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds remain available until expended in accordance with terms of the award. No ARRA funding will be awarded after September 30, 2010. Method of awarding/releasing assistance: other

Reports:

Narrative program progress reports are required for TCSA grants. Program progress reporting requirements will be negotiated with the Self-Determination contracts/grantee. ARRA funded projects have a separate set of online reporting requirements and data is entered by the recipient at FederalReporting.gov. Federal Financial Report, SF 425 is required for Public Law 93-638 Self Determination Contracts and SF-271, Outlay Report and Request for Reimbursement for Construction Programs is required for Public Law 100-297 TCSA grants. Both the SF 425 and SF 271 require interim and final reports.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

For all projects (ARRA and non-ARRA) financial records must be retained for 3 years from the date of submission of the Single Audit Report applicable to the project, procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

The amount of financial assistance can range from approximately \$6 million to \$25 million depending on the size of the school, both grade level and student enrollment, the program requirements, and the location. For the higher amounts, funding may be incremental over 2, 3, or even 5 years. Because of the limited number of schools that have received funding in the last few years and the increasing costs for construction, there is no way to determine a true representative "average" of financial assistance. Facilities Improvement and Repair: The amount of financial assistance can range significantly from a few thousand dollars to approximately \$7 million. There are several categories of projects that are included under Facilities Improvement and Repair, such as, Emergency, Roofing, Replacement/Repair, and Portable Classrooms. The average amount of financial assistance can vary depending on the category. An average amount is not calculable.

TAFS Codes:

14-2301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The IA does not have any accomplishments to report for FY2020 because no dollars were obligated during this fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable; OMB Circulars No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments" relocated to 2 CFR Part 225, A-122, "Cost Principles for Non-Profit Organizations" relocated to 2 CFR Part 230, A-21, "Cost Principles for Educational Institutions" relocated to 2 CFR Part 220, as applicable; and Bureau of Indian Education Space Guidelines dated November 1, 2005, Bureau of Indian Affairs Design Handbook dated March 30, 2007, Bureau of Indian Affairs Design Guidelines dated May 22, 2009, Certificate of Occupancy requirements dated April 15, 2004, Bureau of Indian Affairs applicable codes, standards and policies (revision date September 11, 2009), and Federal Government and Departmental Environmental and Sustainable building requirements.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Replacement School Construction: Funds are appropriated based on the "Education Facilities Replacement Construction Priority List" as published in the Federal Register on March 24, 2004. Criteria emphasize providing safe, functional, code-compliant, economical and energy-efficient facilities that meet applicable accreditation standards and space guidelines. Facilities Improvement and Repair: Criteria to evaluate projects emphasize eliminating critical health and safety-related deficiencies and reducing the substantial backlog of needed improvement and repairs. Projects are prioritized on a Bureau-wide basis using a computerized formula that evaluates the seriousness of the deficiencies and the relative risks of those deficiencies to facility users. To be considered it is essential that deficiencies and projects for a Bureau funded school or dormitory to be identified in the automated inventory of Bureau facilities. In addition, there are limited funds provided under this program to address safety and health deficiencies on an immediate or interim basis as well as funds for emergency repairs under certain conditions, and for other purposes. For more information on these other programs or on the priority ranking process for Replacement School Construction or Facilities Improvement and Repair projects, contact the Headquarters Office listed above.

15.063 Improvement and Repair of Indian Detention Facilities

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, 25 U.S.C. 450 et seq., as amended, Title IV; Tribal Self-Governance Act of 1994, Public Law 103-413. Tribally Controlled Schools Act of 1988, as amended, Public Law 100-297, 25 U.S.C. 2501 et seq TCSA; American Recovery & Reinvestment Act of 2009, Public Law 111-5 (ARRA),.

OBJECTIVES:

To provide safe, functional, code and standards compliant, economical, and energy-efficient adult and/or juvenile detention facilities. Additional objectives for American Recovery and Reinvestment Act (ARRA) funded projects include having a demonstrated or potential ability to deliver programmatic results, optimizing economic activity and the number of jobs created or saved, achieving long-term public benefits from improved detention facilities, infrastructure, fostering energy independence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are provided for advanced planning, design, and construction for facilities improvement and repair to Bureau detention facilities (e.g. renovations, improvements, demolitions, or additions) when economically justified with emphasis on addressing critical health and safety needs identified in Bureau safety reports and meeting emergency needs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments or Tribal Organizations who have a prioritized Facilities Improvement and Repair and for which funds have been specifically approved through the appropriation process or for which ARRA funds have been allocated.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments in Bureau owned or funded Law Enforcement/Detention Facilities.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

When an eligible project has been identified, the Tribe or Tribal Organization is invited to submit an initial application accompanied by a copy of the authorizing resolution from the Federal Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of a project has already been provided, a reference to that resolution is acceptable. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For a Self-Determination Contract (or Grant), applications are filed in accordance with 25 CFR Part 900 Contracts under the Indian Self-Determination and Education Assistance Act.

Award Procedure:

Although eligible applicants do not compete for awards, an award to a particular entity is not mandatory because requirements related to the scope of the project work, project cost, and other compliance measurements must be agreed to before funding is provided to the recipient. For non-ARRA-funded projects, projects representing the greatest needs at detention and related facilities are prioritized as part of the process of obtaining Congressional appropriations to fund the projects. Funds must be appropriated before any project awards can be made, and a change in priorities may require Congressional consultation and, for some projects above a certain dollar amount, Congressional approval of the reprogramming of funding to a different project. For ARRA-funded projects, a similar prioritization of projects representing greatest needs was implemented, resulting in the identification of projects eligible to receive ARRA funds, followed by communication with prospective recipients of the availability of ARRA funds for the identified projects. For both ARRA-funded and non-ARRA funded projects, the award of a self-determination contract is made in accordance with the procedures contained in 25 CFR Part 900 Contracts under the Indian Self-Determination and Education Assistance Act. ARRA projects also are subject to additional requirements related to performance, funding, and compliance through implementation of ARRA in the areas of transparency and reporting, oversight, risk accountability, accelerated timeframes and other statutory objectives.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days for Self-Determination Contracts.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. For non-ARRA funded projects, depending upon the size and complexity of the overall construction, improvement or repair project it may take several increments of funding assistance to complete the entire projects; often an initial award is issued as a new contract with second and subsequent funding increments issued as renewals to existing contracts. ARRA funds are for one-time specific projects that must be started and completed expeditiously.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds remain available until expended in accordance with the terms of the award. No ARRA funding will be awarded after September 30, 2010. Method of awarding/releasing assistance: other

Reports:

Program progress reporting requirements will be negotiated with the Self-Determination contractor/grantee. ARRA has a separate set of online reporting requirements and data is entered by the recipient at FederalReporting.gov. The

SF-425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$20,175,000.00; FY 21 est \$970,000.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

Facilities Improvement and Repair: The amount of financial assistance can range significantly from a few thousand dollars to approximately \$3 million. There are several categories of projects that are included under Facilities Improvement and Repair, such as, Emergency, Roofing and Environmental. The average amount of financial assistance can vary depending on the category. ARRA-funded projects range from less than \$1.1 million to \$1.6 Million.

TAFS Codes:

14-2301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled, and is continuing to fulfill, program objectives by using this money to design-build an adult detention center. Said project is currently underway. These monies were obligated in the form of Self-Determination Awards. Approximately three (3) Self-Determination Awards were issued in FY20.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900 Contracts under the Indian Self-Determination and Education Assistance Act, 43 CFR Part 12, Subpart C Uniform Administrative Requirement for Grants and Cooperative Agreements to State and Local Governments, OMB Circulars No. A-87, Cost Principals for State, Local, and Indian Tribal Governments, A-122, Cost Principles for Non-Profit Organizations, 2009Certificate of Occupancy requirements dated April 15, 2004, and Bureau of Indian Affairs applicable codes, standards and policies (revision date September 11, 2009), and Federal Government and Departmental Environmental and Sustainable building requirements.

Regional or Local Office:

See Regional Assistance Locations. Bureau of Indian Affairs agency or regional office as listed in Appendix IV.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

16,596 Correctional Grant Program for Indian Tribes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria to evaluate projects emphasize eliminating critical health and safety related deficiencies and reducing the substantial backlog of needed improvement and repairs. Projects are prioritized on a Bureau-wide basis using a computerized formula that evaluates the seriousness of the deficiencies and the relative risks of

ASSISTANCE LISTINGS DESCRIPTIONS

those deficiencies to facility users. To be considered it is essential that the deficiencies and projects for a Bureau funded facility be identified in the automated inventory of Bureau facilities. In addition there are limited funds provided under this program to address safety and health deficiencies on an immediate or interim basis as well as funds for emergency repairs under certain conditions, and for other purposes. For more information on these other or Facilities Improvement and repair projects, contact the Headquarters Office listed above.

15.065 Safety of Dams on Indian Lands

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law 67-85, 42 Stat. 208; Indian Dams Safety Act of 1994, Public Law 103-302, 108 Stat. 1560; Secretarial Order No. 3048.,

OBJECTIVES:

To improve the structural integrity of dams on Indian lands, including operations and maintenance of these dams.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds allow for the inspection, operation and maintenance, early warning systems, and emergency action plans of high and significant hazard dams under the responsibility of the Bureau of Indian Affairs, and for safety of dam rehabilitation construction necessary to correct identified deficiencies on the most unsafe dams. Financial awards are specific to a given site and awards are restricted to Indian tribes or tribal organizations affected by the projects.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments to be benefited by the award.

Beneficiary Eligibility:

American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

The application must be accompanied by a resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." For the rehabilitation construction, applications must contain the information specified in 25 CFR Part 900, Subpart J, "Construction." An Indian tribe or tribal organization that wishes to secure a construction project should contact the appropriate local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award will be approved at the regional level with the approval of BIA Dam Safety Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications for construction projects will be processed within 90 days after receipt of the final proposal.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900, Subpart L.

Renewals:

Other. Projects are generally multi-year agreements with no renewal. Under extenuating circumstances, extensions may be granted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Contracts are for the duration of the project, often one to five years, and the funds remain available until expended by the contractor/grantee. The timing of payments will be negotiated with the grantee. Method of awarding/releasing assistance: other

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

Direct Payments for Specified Use FY 20 \$460,944.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is variable depending upon the scope of work. Awards are commonly awarded for various phases of the safety program. For example, conception design \$100,000 to \$300,000; final design \$300,000 to \$1,000,000; and construction repair \$1,000,000 to \$17,000,000. For the non-construction portion, awards can be from \$10,000 up to \$250,000.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled its program objectives by issuing approximately eight (8) Self-Determination Awards to support the safety of dams on Indian lands in FY20.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; and Department of the Interior Safety of Dams (SOD) Program.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

P.E. Jack Byers,
Office of Trust Services, Division of Water and Power, Branch of Safety of Dams,
Bureau of Indian Affairs, 13922 Denver West Pkwy,
Lakewood CO 80401
Email: jack.byers@bia.gov
Phone: (303) 231-5222.

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The relative probability of dam failure (risk-of-failure) is determined from the technical factors used in the SEED report to determine placement on the Department of the Interior's Technical Priority Rating List. This priority list is then used to prioritize budget requests for repair of the Bureau's most unsafe dams. Approximately \$22,000,000 of the funds for the Safety of Dams program are distributed in accordance with the Technical Priority Rating listing for Department of the Interior dams.

15.066 Tribal Great Lakes Restoration Initiative

Tribal GLRI,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 93-638, Indian Self-determination and Education Assistance Act, as amended; 25 US Code 450f, Self-determination contracts; 25 CFR Part 900, Contracts under the Indian Self-determination and Education Assistance Act,

OBJECTIVES:

The BIA Tribal GLRI Program provides financial assistance to Great Lakes tribes to protect, enhance, and restore the Great Lakes. Priority actions are to: identify, protect, conserve, manage, enhance, or restore species or habitat, as well as to build tribal capacity to manage natural resources within the Great Lakes Basin.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This funding opportunity under the Great Lakes Restoration Initiative will be used to address tribal priorities for the Great Lakes Basin ecosystem and fall into five major focus areas: Toxic substances and Areas of Concern, including pollution prevention and cleanup of polluted areas in the Great Lakes; Invasive species, including efforts to institute zero tolerance policy towards new invasions; Nonpoint source pollution impacts on nearshore health, including a targeted geographic focus on high priority watersheds and reducing polluted runoff from urban and agricultural sources; Habitat and fish and wildlife species protection, restoration, and enhancement, including removing barriers to fish passage and targeted protection and restoration of native and endangered species and their habitats in the Great Lakes; Foundations for future restoration actions, incorporating climate resiliency, education, and adaptive management to enhance accountability and provide science-based decision making to assess the overall health of the Great Lakes ecosystem and target significant remaining problems

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally-recognized Indian Tribes and Native American Organizations authorized by Indian tribal governments

Beneficiary Eligibility:

Native American Organizations; Federally Recognized Indian Tribal Governments; Federally-recognized Indian Tribes and Native American Organizations authorized by Indian tribal governments

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is

required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Pre-application coordination is program specific. Technical assistance is available from the program to aid applicants in fulfilling pre-application requirements. Coordinate with GLRI Biologist or the Midwest Regional Fish and Wildlife Biologist.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each year, a request for proposals will be announced to the Great Lakes tribes. At a minimum, the applicant will submit a project proposal with a statement of work and budget to the BIA GLRI program manager. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C. Completed applications should be submitted to the BIA GLRI biologist at the Great Lakes Agency Office.

Award Procedure:

Program requirements are specified in the announcement of the request for proposals. Applications are to be submitted to the BIA GLRI biologist at the Great Lakes Agency office. Contact the Great Lakes Agency office or the BIA Midwest Regional Office, as appropriate.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Financial assistance is in the form of non-recurring awards appropriated for projects on a year-by-year basis. They are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the grantee. The Great Lakes Restoration Initiative places restrictions on the time permitted to spend the funds awarded. Method of awarding/releasing assistance: other

Reports:

The Great Lakes Restoration Initiative requires a semi-annual and an annual progress report. The Federal Financial Report, SF-425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-999.

Obligations:

Project Grants (Discretionary) FY 20 \$7,286,033.00; FY 21 est \$1,700,000.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$500,000, or greater. Average over two years is \$162,000.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled its program objectives by awarding approximately 23 Self-Determination Awards to support the Great Lakes Restoration Initiative in FY20.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Great Lakes Agency contact: Jessica Koski, 916 W. Lakeshore Drive, Ashland, WI 54807, tel. no. (715) 682-4527 ext. 308, email jessica.koski@bia.gov Midwest Regional Office contact: Merben R. Cebrian, 5600 W. American Blvd, Suite 500, Bloomington, MN 55437, tel. no. (612) 725-4529, email merben.cebrian@bia.gov

Headquarters Office:

Merben R. Cebrian,
5600 W. American Blvd, Suite 500,
Bloomington MN 55437
Email: merben.cebrian@bia.gov
Phone: (612) 725-4529

Website Address:

No Data Available.

RELATED PROGRAMS:

15.662 Great Lakes Restoration - Restoration, protection, and enhancement of Great Lakes fish, wildlife, and their habitats.;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Program criteria are specified in the announcements for the individual program request for proposals.

15.067 Strengthening Tribal Nations

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Snyder Act, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, P.L. 93-638, as amended, 25 U.S.C. 450a-1; EO 13175, Consultation and Coordination with Indian Tribal Governments (Nov. 6, 2000).

OBJECTIVES:

To provide funding to advance nation-to-nation relationships, support Indian families and protect Indian country, support sustainable stewardship of trust resources, and advance Indian education.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Cooperative Agreements (Discretionary Grants), Dissemination of Technical Information, Training

USES AND USE RESTRICTIONS:

Funds may be used to coordinate Tribal-Federal advisory committee meetings concerning BIA budget formulation and implementation of PL 93-638; develop communication strategies and tools, including websites to share updated information and resources; provide trainings; and conduct historical budget research and trend analysis.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); .

Beneficiary Eligibility:

Native American Organizations; Federally Recognized Indian Tribal Governments; American Indians/Alaska Natives will be the ultimate beneficiaries of the funded projects either directly or indirectly depending upon the nature of the project.

Credentials/Documentation:

Yes. Please refer to the program point of contact. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The program posting a funding opportunity to www.grants.gov, as appropriate, adheres to the award review, selection and award procedures described in its authorizing legislation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Refer to the application guidelines attached to the funding opportunity posed, as appropriate, to www.grants.gov

Appeals:

Not Applicable.

Renewals:

Other. Agreements may be renewed for additional one-year terms upon written agreement by the parties.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is limited to 5 years or less. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support. Method of awarding/releasing assistance: quarterly

Reports:

Please refer to the program point of contact. Please refer to the program point of contact. Please refer to the program point of contact. Please refer to the program point of contact.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

DOI and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the DHHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 92.42 or 45 CFR Part 74, Subpart D, as applicable, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

14-2100-0-1-999.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$292,271.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled its program objectives by issuing one (1) Cooperative Agreement under this CFDA in FY20.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

Snyder Act, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, P.L. 93-638, as amended, 25 U.S.C. 450a-1; EO 13175, Consultation and Coordination with Indian Tribal Governments (Nov. 6, 2000).

Regional or Local Office:

See Regional Assistance Locations. For Budget and Performance Management, contact George Bearpaw by email at George.Bearpaw@bia.gov or by phone at 202-219-3857. For Self-Governance, contact Sharee Freeman by email at Sharee.Freeman@bia.gov or by phone at 202-219-0244.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection vary and are dependent upon authorizing legislation and/or program-specific guidelines posted with the funding opportunity posed, as appropriate, on www.grants.gov.

15.108 Indian Employment Assistance

Employment Assistance Program,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450, American Recovery and Reinvestment Act of 2009.,

OBJECTIVES:

To provide vocational training and employment opportunities to eligible American Indians and Alaska Natives to reduce Federal dependence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians to obtain a marketable skill through vocational training and to assist those who have a job skill to find permanent employment. Vocational and employment counseling are provided by the program. Eligible American Indians and Alaska Natives may receive vocational training or job placement on or near the reservation or in Urban and Rural settings.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian Tribal Governments may apply to administer the program. Individual American Indian and Alaska Native applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation or in Alaska under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

American Indian; Members of Federally Recognized Indian Tribes who are

unemployed, underemployed, or in need of training to obtain reasonable and gainful employment. Complete information on beneficiary eligibility is found in 25 CFR, Part 26.

Credentials/Documentation:

Applicants must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member or a descendent of an enrolled member or registered with a Federally Recognized Indian Tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications by Indian Tribal Governments to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV. Individual American Indian and Alaska Native applicants should apply for program services on the Bureau of Indian Affairs Form BIA-8205 if they are applying at the nearest Bureau Employment Assistance office to their residence. If an eligible applicant is applying for services from a tribal program contractor the tribe will make available a facsimile (application).

Award Procedure:

The dollar value of the awards to Indian Tribal Governments depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Applications for individual benefits are approved by the Bureau Agency Superintendent or authorized tribal representative.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications by Tribal Governments to administer the program will be processed within 90 days. Applications by individuals for benefits will be processed within 30 days.

Appeals:

Other. A Tribal Government whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Federal Civilian Board of Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An individual may appeal the BIA agency Superintendent's decision to the Regional Director. The complete appeal process for an individual is found in 25 CFR Part 2, "Appeals from Administrative Action." An appeal of a Tribal contractor's decision must be made under the Tribe's appeal procedures.

Renewals:

Other. Awards to Tribal Governments to administer the program may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Renewals of grants to individual beneficiaries are based on evidence of satisfactory performance and the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards to Indian Tribal Governments and Native American Organizations to administer the program are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the Tribal Government. Grants to individual beneficiaries for subsistence, tuition and related training costs, supportive services, etc., are released as required by beneficiary, up to the amount of the award. Assistance for job placement is provided until the beneficiary receives the first full paycheck from employment. Individual

ASSISTANCE LISTINGS DESCRIPTIONS

beneficiaries may not receive more than 24 months of full-time training, except for Registered Nursing students who may receive 36 months of training. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For awards administered by Indian Tribal Governments, the Tribe is responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Indian Tribal Governments administering the program must retain financial records for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$19,295,118.00; FY 21 est \$50,000,000.00; FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The IA fulfilled its mission objectives by issuing a combined 55 awards to Indian Tribes: Self-Determination and Employment Assistance Grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26 and 25 CFR 27. For awards to Indian Tribal Governments see also 25 CFR Part 900.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog or with the Tribal Government administering the program.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.114 Indian Education_Higher Education Grant Program; 15.850 Indian Arts and Crafts Development;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.113 Indian Social Services Welfare Assistance

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13,

OBJECTIVES:

To provide financial assistance for basic needs for eligible American Indians who reside on or near reservations, including those American Indians living under Bureau of Indian Affairs service area jurisdictions. IA assistance is provided when such assistance is not available from State or local public agencies.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Provides cash payments to eligible American Indian individuals and families to meet basic needs (i.e., food, clothing, shelter, etc.). Provides extra monthly benefits to tribal members on general assistance who also work on tribal projects under the Tribal Work Experience Program. Provides monthly assistance for non-medical institutional or custodial care of adults who are not eligible for care from Indian Health Services, Social Security, or any other county, state or Federal program. Provides foster home care and appropriate institutional (non-medical) care for dependent, neglected, and handicapped American Indian children in need of protection. This program also pays the burial expenses of indigent American Indians whose estates do not have sufficient resources to meet funeral expenses and provides emergency assistance to prevent hardship caused by fire, flood or acts of nature.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; An American Indian who is a member of a federally recognized Indian Tribe, who resides on or near a federally recognized Indian reservations, who is in need of financial assistance and who meets the eligibility criteria in 25 CFR Part 20.

Beneficiary Eligibility:

American Indian; Low Income; American Indians who are members of federally recognized Indian Tribes.

Credentials/Documentation:

Proof of American Indian status, residency, and income/resources available must be provided by applicant. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A verbal or written request for assistance to the Bureau of Indian Affairs agency or regional representative or designated tribal authority.

Award Procedure:

Assistance is provided by the Bureau of Indian Affairs agency or regional office or contracted tribal program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Generally within 10 days depending upon applicants needs and the extent of investigation required. Decisions will take no longer than 45 days.

Appeals:

Other. An unsuccessful applicant may appeal a Bureau of Indian Affairs official's decision under the procedures contained in 25 CFR Part 20, Subpart D "Hearings and Appeals" and 25 CFR Part 2 "Appeals from Administrative Actions."

Renewals:

Other. Contact the headquarters or regional office

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of assistance is indefinite, depending on continued need and available

ASSISTANCE LISTINGS DESCRIPTIONS

resources. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

14-2100-0-1-452.

Obligations:

Direct Payments for Specified Use FY 20 \$23,535,811.00; FY 21 est \$20,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Range determined upon need.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled its program objectives by issuing about 55 Self Determination and Employment Assistance Awards in FY20

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 20.

Regional or Local Office:

See Regional Assistance Locations. Information can be secured from the Agency Superintendents and from Regional Directors (see Catalog Appendix IV for addresses). Applications for general assistance are made at the local agency or tribal level.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Must be an American Indian residing on or near a reservation as defined in 25 CFR 20 and must be in need as defined in 25 CFR Part 20. All eligible applicants will be funded subject to availability of funds.

15.114 Indian Education Higher Education Grant

Higher Education,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended; Public Law 103-413, Title II Tribal Self-Governance Act.,

OBJECTIVES:

To provide financial aid to eligible Indian students to enable them to attend accredited institutions of higher education.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds are to supplement the total financial aid package prepared by the college financial aid officer. Funds are intended to assist students in pursuing their undergraduate baccalaureate degree.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments may apply to administer the program. Individuals who are members of Federally Recognized Indian Tribes may submit applications for benefits directly to the Bureau of Indian Affairs if the Bureau agency serving their reservation provides direct services for this program. Individuals must be enrolled in an accredited college and have financial need as determined by the institution's financial aid office.

Beneficiary Eligibility:

Student/Trainee; American Indian; School; Child (6-15); Youth (16-21); Members of a Federally Recognized Indian Tribe who are enrolled or accepted for enrollment in an accredited college and have financial need as determined by the institution's financial aid office.

Credentials/Documentation:

Initial application by Indian tribes and tribal organizations must be accompanied by an authorizing resolution of the governing body of the Indian tribe. Individual student applicants must submit a certificate of Indian blood; college financial aid package; statement of acceptance by college and Bureau of Indian Affairs grant application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The applicant should consult the BIE Education Line Officer.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications by Indian tribes and tribal organizations to administer the program must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Individual students must be accepted by a college or university and should complete application forms in accordance with instructions available upon request from the BIE Education Line Office, or tribal contractor administering the program. Completed forms are submitted to the student's higher education program office at the appropriate office.

Award Procedure:

In most cases, the application by an Indian tribe or tribal organization to administer the program can be approved by the BIE Education Line Officer and awarded by the Area/Agency Bureau Awarding Official. Individual students are notified by the Bureau of Indian Education ELO or tribal contractor, and funds are sent to the applicant in care of the college or university financial aid office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications by Indian tribes and tribal organizations to administer the program will be processed within 90 days. Applications by students will be processed within 6 to 10 weeks after all required documents are submitted.

Appeals:

Other. Indian tribes and tribal organizations whose application to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900. Individual students may appeal a Bureau of Indian Affairs official's decision to the BIE Education Line Officer under the procedures contained in 25 CFR Part 2, " Appeals from Administrative Actions."

Renewals:

Other. Awards to Indian tribes and tribal organizations may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual

ASSISTANCE LISTINGS DESCRIPTIONS

tribal priorities established in the budget formulation process. Grants to students may be continued through the undergraduate level if the student maintains acceptable progress and academic standing. Renewal applications must be submitted annually with updated grades or transcript.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards to Indian tribes and tribal organizations are made on an annual basis. Grants to students are generally made for the academic year on a quarter or semester basis. A grant is for a period not to exceed 5 years, or the period of time required to complete the course of study. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Students applying for continuation of grants must submit grade reports at the end of each academic year. Indian tribes and tribal organizations are required to submit the SF-425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be maintained by the Indian tribe or tribal organization for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants FY 20 \$10,605,975.00; FY 21 est \$10,700,900.00; FY 22 est \$10,700,900.00;

Range and Average of Financial Assistance:

There is no specific range as this CFDA. Awards have reached about \$40M in past recent years.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled its mission in FY20 by awarding approximately 55 Self-Determination Awards and Employment Assistance Federal Assistance Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40; 25 CFR Part 900.

Regional or Local Office:

See Regional Assistance Locations. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Joe Herrin,
Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-4657 MIB, 1849 C Street, N.W.,
Washington DC 20240
Email: joe.herrin@bie.edu
Phone: (202)208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.108 Indian Employment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All Indian tribes and tribal organizations meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process, or by the Office of Self Governance tribes. Final determination to fund student applications is based on the individual's eligibility and total dollars available to the Indian tribe or tribal organization or local Bureau office.

15.124 Indian Loans Economic Development

(Loan Guaranty, Insurance, and Interest Subsidy Program),

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Reorganization Act of 1934, Section 10, Public Law 73-383, 48 Stat. 986, 25 U.S.C. 470; Public Law 93-262, 88 Stat. 77 through 83, 25 U.S.C. 1451; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.,

OBJECTIVES:

To provide assistance to Federally Recognized Indian Tribal Governments, Native American Organizations, and individual American Indians in obtaining financing from private sources to promote business development initiatives to improve the economies of Federally Recognized Indian Reservations.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Loans may be used to finance commercial, industrial, agricultural, or business activities, which benefit Federal Indian Reservation economies. Loan guarantees to private lenders will only be provided if funds otherwise would be unavailable to the borrower.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments, Native American Organizations authorized by Indian tribal governments, and individual American Indians.

Beneficiary Eligibility:

Individual/Family; American Indian; Local; Federally Recognized Indian Tribal Governments, Native American Organizations, and individual American Indians or Alaska natives. Complete information on beneficiary eligibility is found in 25 CFR, Part 103.

Credentials/Documentation:

Individual applicants must submit a certificate signed by Bureau Agency Superintendent or authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally recognized Indian tribal government. Federally Recognized Indian Tribal Governments and Native American Organizations must submit a resolution of the governing body of the Indian Tribe. All applicants must demonstrate their inability to obtain financing through the same institutions serving other citizens. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for loan guarantees should be submitted by the lender at the local Indian Loan Guaranty Program Zone Office. Lenders should contact the local office for information on documentation needed to complete an application.

Award Procedure:

Action approving or disapproving loans is taken at various levels pursuant to

ASSISTANCE LISTINGS DESCRIPTIONS

delegated authority. The local Indian Loan Guaranty Program Zone Office notifies applicants of action taken on applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. 15 to 30 days depending upon completeness of loan package.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application by a Bureau of Indian Affairs official to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 2.

Renewals:

Other. Contact the headquarters or regional office

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The maximum percentage of guaranty is 90 percent of unpaid principal and interest. Borrower must have 20 percent equity in business being financed. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Loan guarantees are limited to no more than 30 year terms. Method of awarding/releasing assistance: other

Reports:

Lenders will submit quarterly reports on the status of loans to the Director of Indian Affairs Region in which the loan was made.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Federally Recognized Indian Tribal Governments, Native American Organizations, and tribal enterprises are responsible for obtaining audits.

Records:

Loan records must be maintained for the life of the loan.

Account Identification:

14-4415-0-3-452.

Obligations:

Guaranteed/Insured Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

For individuals and tribal enterprises, \$150,000 to 10,500,000. For Federally Recognized Tribal Governments and Native American Organizations, \$10,000,000 to \$38,000,000.

TAFS Codes:

14-4415.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program does not have any accomplishments to report for FY20 because the program did not obligate any money under this CFDA in FY20.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 103.

Regional or Local Office:

See Regional Assistance Locations. Lenders must submit applications to the local Indian Loan Guaranty Zone Office Appendix IV of the Catalog or with the Tribal Government administering the program.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

10,766 Community Facilities Loans and Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Economic enterprises must demonstrate a reasonable prospect for repayment, and be at least 51 percent Indian owned. Project must provide economic development to a federally recognized Indian reservation.

15.130 Indian Education Assistance to Schools

Johnson-O'Malley,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Johnson-O'Malley Act of April 16, 1934; as amended, 25 U.S.C. 452; Indian Self Determination and Education and Assistance Act, Public Law 93-638; 25 U.S.C. 455-457.,

OBJECTIVES:

To fund programs that meet the unique and specialized needs of eligible Indian students.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used for supplemental programs to meet the special educationally related needs of eligible Indian students. Funds under this program may not be used for capital expenditures.

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Tribal organizations, Indian Corporations, school districts or States which have eligible Indian children attending public school districts and have established Indian Education Committees to approve supplementary or operational support programs beneficial to Indian students. Current funding is calculated with the 1995 JOM student count.

Beneficiary Eligibility:

Student/Trainee; American Indian; Infant (0-5); Child (6-15); Youth (16-21); Children who are enrolled members of, or at least one-fourth or more degree of Indian blood descendant of a member of a federally recognized Indian tribal government eligible for service by the Bureau, and are between age 3 through grade 12 with priority given to those residing on or near Indian reservations.

Credentials/Documentation:

As required for contract under 25 CFR Part 900. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. BIA will provide technical assistance when requested by a tribal organization.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms may be obtained from the BIE Education Line Officer. Completed applications which include an education plan based on needs assessments and an estimated budget are sent to the appropriate BIA Area or Agency Office.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation and Office of Self Governance budget

ASSISTANCE LISTINGS DESCRIPTIONS

formulation process. Contracts with Indian corporations, States and school districts are negotiated with the BIE Education Line Officer based on their 1995 JOM student count.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Method of awarding/releasing assistance: other

Reports:

Annual reports required following the close of the year, as specified in 25 CFR 273. SF-425, Federal Financial Report required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Direct Payments for Specified Use FY 20 \$5,912,132.00; FY 21 est \$8,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Range depends on unique needs of Indian Tribes and cannot be defined. Dollar limits to not apply to this type of CFDA to support Indian Tribes.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled program objectives by issuing a combined 100 Self-Governance, Self-Determination, and BIE education awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 273; 25 CFR Part 900.

Regional or Local Office:

See Regional Assistance Locations. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Jennifer Davis,

Bureau of Indian EducationMS- 4657 MIB1849 C Street N.W.,
Washington DC 20240

Email: Jennifer.Davis@bie.edu

Phone: (202) 208-4397

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Johnson-O'Malley Parent Committee determination through needs assessments.

15.133 Native American Business Development Institute

(NABDI),

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.,

OBJECTIVES:

Under its Native American Business Development Institute (NABDI) conceived in FY 2006, IEED has developed partnerships with U.S. graduate schools to assist tribal business assess financial opportunities and prepare economic feasibility studies. Assistance by way of Public Law 93-638 agreements between tribes and participating business schools. During FY 2006- 2010, NABDI assisted tribes to analyze the potential of economic opportunities as diverse as a business park, a meat packing plant, a wind energy project, a security business, a medical supply business, upland bird hunting, new uses for a dormat tribal wellness/recreation center, and a greenhouse heated by way of woody biomass. Starting in FY 2011, NABDI funding will be dispersed on a competitive basis following notice in the Federal Register. Applicants will be free to choose private consultants in addition to graduate schools.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federally recognized tribes whose lands are held in trust or restricted fee by the Federal government.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal governments and their members.

Credentials/Documentation:

This is an annual program whose primary objective is to create jobs and foster economic activity within tribal communities. When funding is available, IEED will solicit proposals for feasibility studies and reservation-wide plans. To receive these funds, tribes may use the contracting mechanism established by Public Law 93-638, the Indian-Self Determination Act or may obtain adjustments to their funding from the Office of Self-Governance. See 25 U.S.C. 450 et. seq. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted to IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW Washington DC 20245.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

As funding is limited, applications are juried by IEED staff and selections are approved by the Director, IEED.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements are made on an annual basis and the funds remain available until expended by the contractor. Method of awarding/releasing assistance: other

Reports:

Federal Financial Report SF-425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of the final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-7-8-452.

Obligations:

Formula Grants FY 20 \$727,229.00; FY 21 est \$623,624.00; FY 22 est \$600,000.00;

Range and Average of Financial Assistance:

Determined on an annual basis, subject to appropriations.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled its mission objectives by issuing approximately 20 Self-Determination and Education Assistance Awards in FY 2020

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

None. Regional Office Contract Officer.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Submission of application in response to Federal Register Notice.

15.141 Indian Housing Assistance

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.,

OBJECTIVES:

To use the Housing Improvement Program (HIP) resources of the Bureau of Indian Affairs to eliminate substantially substandard Indian owned and inhabited housing for very low income eligible Indians living in approved tribal service areas. This effort is assisted by the Indian Health Service (Department of Health and Human Services) which provides water and sanitary systems for houses repaired or built with HIP funds.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Project Grants

USES AND USE RESTRICTIONS:

The program is primarily devoted to providing decent, safe, and sanitary housing through renovations, repairs, or additions to existing homes. The program will build an entire house in situations where no other program can meet the need in the immediate or near future. Technical assistance is provided to Indian tribes to establish housing plans and determine the extent and use of the Bureau's Housing Improvement Program. The program is restricted to use within reservations and approved tribal service areas.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Federally Recognized Indian Tribal Governments and tribal organizations to administer the program who have eligible applicants with identified housing needs. Individual members of Federally recognized Indian tribes living in approved tribal service areas in need of housing assistance who are unable to obtain assistance from any other source, and who meet the eligibility criteria of the HIP regulations (25 CFR Part 256 "Housing Improvement Program").

Beneficiary Eligibility:

American Indian; Individual members of Federally recognized Indian tribes.

Credentials/Documentation:

Initial applications by Indian tribes and tribal organizations must be accompanied by an authorizing resolution of the governing body of the Indian tribe(s) to be served. Individual Indians must furnish information required by 25 CFR Part 256, basically proving membership in a Federally-recognized Indian tribe and financial inability to obtain assistance for repairs or new housing. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Funds may be used in conjunction with other Federal programs, such as Indian Health Service for water and sanitary facilities, or privately financed programs that are appropriate to repair or build housing. An informal conference with Bureau of Indian Affairs agency representative is recommended.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications from Indian tribes and tribal organizations must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed application should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV. Individual Indians should submit written applications to the local tribal servicing housing office, or local Bureau of Indian Affairs agency or regional office listed in Appendix IV.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

The dollar value of the award to Indian tribes and tribal organizations is determined through the use of annual tribal work plans identifying eligible applicants and through prioritization under the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. Applicants whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR part 900. An individual whose request is denied may appeal a Bureau of Indian Affairs official's decision to the Regional Director under the procedures contained in 25 CFR Part 2, "Appeals From Administrative Actions."

Renewals:

Other. Awards to Indian tribes and tribal organizations to administer the program may be renewed indefinitely upon satisfactory performance by the contractor. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of changes in the identified eligible applicants and in individual tribal priorities established in the budget formulation process. For individual Indians, receipt of program services represent one-time direct assistance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For Indian tribes and tribal organizations awards are made on an annual basis and the funds remain available until expended by the contractor. Method of awarding/releasing assistance: other

Reports:

Program progress reporting requirements will be negotiated with the contractor. For Indian tribes and tribal organization administering the program SF-425, Federal Financial Reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452.

Obligations:

Project Grants FY 20 \$4,472,118.00; FY 21 est \$171,005,910.00; FY 22 est \$171,005,910.00;

Range and Average of Financial Assistance:

For HIP, maximum of \$35,000 for repairs and renovations; \$2,500 for interim improvements. The average cost of repair has been approximately \$17,500. New housing does not have a specified maximum amount but is intended to provide only a modest standard dwelling. Average new housing construction cost has been approximately \$100,000.

TAFS Codes:

14-2301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The IA fulfilled its mission objectives by issuing approximately 100 awards in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 Part 256, "Housing Improvement Program;" 25 CFR Part 900, "Contracts under the Indian Self- Determination and Educational Assistance Act;" and OMB Circular No. A-87, "Cost Principles for State, Local and Indian Tribal Governments.

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for Regional Office addresses.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

14.850 Public and Indian Housing; 10.410 Very Low to Moderate Income Housing Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Indian tribes and tribal organizations meeting the requirements of 25 CFR part 900 will be selected if a viable tribal work plan identifying eligible applicants, the category of assistance needed, the estimated project cost for each eligible applicant, and a report of prior year accomplishments is submitted and the tribe has high priority ranking eligible applicants for which sufficient funding is available for the needed project or the program has been prioritized by the Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Individual Indians must meet the eligibility requirements contained in 25 CFR Part 256. Requests are made by individuals to the local tribal servicing housing office or to local BIA agencies for repair or new housing assistance. Starting with the most needy, regardless of the category of services required, applicants are served until available funds are exhausted.

15.144 Indian Child Welfare Act Title II Grants

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Child Welfare Act; Public Law 95-608, 92 Stat. 3075, 25 U.S.C. 1901.,

OBJECTIVES:

To promote the stability and security of American Indian tribes and families by protecting American Indian children and preventing the separation of American Indian families and providing assistance to Indian tribes in the operation of child and family service programs designed to prevent the break up families.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Operation and maintenance of counseling facilities, family assistance (homemaker and home counselors), protective day care, and after school care, recreational activities, respite care, employment of professionals to assist tribal courts personnel, education and training, foster care subsidy programs, legal advice and representation, home improvement programs with the primary emphasis of upgrading unsafe home environments, preparation and implementation of child welfare codes, and providing matching shares for other Federal programs.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; American Indian children and families.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures are contained in 25 CFR Part 23, Subpart C "Grants to Indian Tribes for Title II Indian Child and Family Service Programs." The application must contain the information specified in Section 23.33 "Tribal Government Application Content." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV.

Award Procedure:

In most instances, awards can be approved at the agency or regional level. The dollar value of the grant depends upon the amount that has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs budget formulation process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Not Applicable

Appeals:

Other. An unsuccessful applicant may appeal a Bureau of Indian Affairs official's decision under the procedures contained in 25 CFR Part 23, Subpart F "Appeals," and 25 CFR Part 2 "Appeals from Administrative Actions."

Renewals:

Other. Grants may be renewed indefinitely upon satisfactory performance by the grantee. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are made on an annual basis and the funds remain available until expended by the tribe/grantee. Method of awarding/releasing assistance: other

Reports:

The Federal Financial Report, SF 425 is required. Program accomplishment reporting requirements are specified in 25 CFR Part 23, Subpart E, Section 23.47 "Reports and Availability of Information to Indians."

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Project Grants FY 20 \$6,000,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Determined by need.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: IA fulfilled its program objectives by issuing about 75 Self-Determination and other type financial assistance awards during the FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 23; 25 CFR 2.

Regional or Local Office:

See Regional Assistance Locations. Information can be secured from the Agency Superintendents and from Regional Directors. See Appendix IV of the Catalog for addresses.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Only applicants who received Indian Child Welfare Act Title II grants in 1994 and who meet the requirements contained in 25 CFR Part 23 will be funded if the program has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.146 Ironworker Training

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309.,

OBJECTIVES:

To provide ironworker vocational training, apprenticeships, and job placement to eligible American Indians through the National Ironworkers Training Program, located in Broadview, Illinois.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible American Indians who reside on or near an Indian reservation may receive vocational training in ironworking and assistance with job placement upon completion of the program.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Applicants must be an American Indian who is a member of a Federally Recognized Indian Tribe, at least 18 years old, possess a high school diploma or General Equivalency Development (GED) Certificate, be in good physical health, and reside on or near an Indian reservation

ASSISTANCE LISTINGS DESCRIPTIONS

under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

American Indian; American Indian who is a member of a Federally Recognized Indian Tribal Government, at least 18 years old, possess a high school diploma or General Equivalency Development (GED) Certificate, be in good physical health, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Part 26.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally Recognized Indian Tribe. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should apply for program services on Bureau of Indian Affairs Form BIA-8205 at the nearest Bureau Employment Assistance office or tribal government office.

Award Procedure:

Individual applications are processed at the Bureau agency or tribal contract offices to determine eligibility.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. 1 to 90 days.

Appeals:

Other. Appeals may be made to the Bureau of Indian Affairs' Agency Superintendent or to the Regional Director. The complete appeal process for an individual is found in 25 CFR, Part 2, "Appeals of Administrative Actions." Appeals of a Tribal contractor's decision must be made under the Tribe's appeal procedures.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance for Ironworker training is not to exceed 12 weeks. Payments for subsistence and related training costs are released as required by beneficiary. Job placement assistance is provided upon completion of training. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

14-2100-0-1-452.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Students receive \$185 per week for the duration of the program for room and board and miscellaneous expenses. Work clothes and tools are also provided.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The IA does not have any accomplishments to report for FY20

because the IA did not obligate any money under this CFDA in FY20.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26.

Regional or Local Office:

See Regional Assistance Locations. Applications may be filed at the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog. For direct contact: , Mr. Russell Geishwnd, Director, National Ironworkers Training Program for American Indians, 1819 Beach Street, Broadview, Illinois 60153. Telephone (708)345-2344.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.114 Indian Education_Higher Education Grant Program; 15.060 Indian Vocational Training_United Tribes Technical College; 15.850 Indian Arts and Crafts Development; 15.108 Indian Employment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are received for both vocational training and direct employment participants. Final determination to fund an application is based on the individual's eligibility.

15.147 Tribal Courts Trust Reform Initiative

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; American Indian Trust Fund Management Reform Act of 1994, Public Law 103-412, 25 U.S.C. 4001 et seq.,

OBJECTIVES:

To provide grant funds to Federally Recognized Indian Tribal Governments that operate a judicial branch of government which has assumed the increased responsibilities required by 25 CFR Part 115--Trust Funds for Individual Indians Money accounts.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under 25 CFR Part 115, tribal courts may assume responsibility for appointing guardians, determining competency, awarding child support from Indian Individual Money (IIM) accounts, determining paternity, sanctioning adoptions, marriages and divorces, making presumptions of death. Funds under this program may be used for salaries and related expenses of tribal court judges, prosecutors, defenders, clerks of court, and other court personnel. However, funds provided are specifically made available to tribal courts that assume the additional responsibility under 25 CFR Part 115 and are not intended to be used as general operating funds for a judiciary and may not be reprogrammed for other tribal uses.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments that operate a judicial branch of government which has assumed the increased responsibilities required by 25 CFR Part 115.

Beneficiary Eligibility:

American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments that operate a tribal judicial branch which

ASSISTANCE LISTINGS DESCRIPTIONS

has assumed the increased responsibilities required by 25 CFR Part 115 and its members.

Credentials/Documentation:

Application must be accompanied by a Certification of the governing body of the Federally Recognized Indian Tribal Government attesting to the fact that: (1) The tribal court has adopted and made accessible the court rules setting forth the procedures to adjudicate these cases; (2) Tribal court personnel have been trained to process these cases and the court is staffed to fulfill the tribal legislative mandate, and (3) The tribal justice system is one that serves as the judicial component of a tribal government which is federally recognized by the United States Government. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A notice of fund availability will be published in the Federal Register to announce the availability of grant funds, the amount of funding available and the requirements for eligibility including a deadline for filing applications. An application must be submitted on the form entitled "Application for Federal Assistance," (Standard Form 424, Rev 7-97). The Federally Recognized Tribal Government will be required to provide a certification as a response to Item #11 "Descriptive Title of Applicant's Project", in the SF-424, that the threshold requirements for the grant funds are met. Applications will be submitted to the Headquarters Office.

Award Procedure:

Funds will be distributed to tribal courts based on the cost per case as determined by the data submitted on SF 424 by all qualified applicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may appeal the decision under the regulations contained in 25 CFR Part 2.

Renewals:

Other. Grants will be awarded on an annual basis. An application will need to be submitted each year to qualify to receive grant funds, until it is determined that the fund will be awarded as a part of the permanent tribal base.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Awards are made on an annual basis and the funds remain available until expended by the grantee. Method of awarding/releasing assistance: other

Reports:

Financial status reports, SF 269A, are required. Program accomplishment reporting requirements will be negotiated with the grantee

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$290,282.00; FY 22 est \$290,282.00;

Range and Average of Financial Assistance:

\$3,000 to \$216,000; \$50,000

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program does not have any accomplishments to report for FY20 because the program did not obligate any money under this CFDA in FY20.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 276; 25 CFR Part 2; 25 CFR Part 115.

Regional or Local Office:

See Regional Assistance Locations. Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: 2022083559
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15,029 Tribal Courts;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All qualified applicants will be funded. A qualified applicant is a tribal government submitting an application for funding by the deadline specified for a tribal court meeting the following threshold requirements: (1) The tribal government has enacted the codes necessary for the tribal justice system to carry out its responsibility under 25 CFR Part 115; (2) The tribal court has adopted and made accessible the court rules setting forth the procedures to adjudicate these cases; (3) Tribal court personnel have been trained to process these cases and the court is staffed to fulfill the tribal legislative mandate; and (4) The tribal justice system is one that serves as the judicial component of a tribal government which is federally recognized by the United States Government.

15.148 Tribal Energy Development Capacity Grants

(TEDC),

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Title XXVI (Indian Energy) of the Energy Policy Act of 1992, as amended (25 CFR, Section 3502(a)(2)(A)).

OBJECTIVES:

To provide development grants to Indian tribes for use in developing and sustaining the managerial and technical capacity needed to develop their energy resources, and to properly account for resulting energy production and revenues. Proposals from tribes should strive to achieve the following stated goals: Evaluated the type and range of energy development activities that a tribe way want to assume under a TERA; Determine the current level of scientific, technical, administrative, for financial management capacity of the tribe to assume responsibility for the identified development activities; and determine which scientific, technical, administrative, or financial management capacities need enhancement and what process and/or procedures the grantee may use to eliminate these capacity gaps.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Federally recognized tribes whose lands are held in trust or restricted fee by the Federal government.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally Recognized Tribal Governments and their members.

Credentials/Documentation:

Application for financial assistance must contain a formal signed resolution of the governing body of the tribe; a proposal describing the planned activities and deliverable products; and a detailed budget estimate. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must meet all requirements published in the Federal Register notice and be submitted to IEED, Division of Indian Energy Policy Development, Room 20 - South Interior Building, 1951 Constitution Avenue, NW, Washington, DC 20245.

Award Procedure:

Recommendations from an IEED technical evaluation committee must be approved by the Director, IEED.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 30 to 45 days.

Appeals:

Other. An applicant with a rejected TEDC proposal may appeal the denial of the application to the Department of the Interior's Board of Indian Appeals or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Other. The TEDC program cannot award multi-year funding for a project. Funding available for building energy development capacity is subject to annual appropriations by Congress and therefore IEED can only consider single-year projects.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the recipient. The timing of payments will be negotiated by the Regional Office, Contract Officer, and the recipient. Method of awarding/releasing assistance: other

Reports:

During the life of the TEDC project, SF-425 Federal Financial Reports and quarterly progress reports are to be submitted to the IEED project coordinator assigned to the project. The beginning and ending quarter periods are based on the actual start date of the TEDC project. This date can be determined between the IEED project coordinator and the tribe. The quarterly report can be a one to two page summary of events, accomplishments, problems and/or results that took place during the quarter. Quarterly reports are due two weeks after the end of the a project's fiscal quarter. For Final Report, the tribe must deliver all products and data generated by the proposed assessment project to IEED through the TEDC project coordinator within two weeks after completion of the project. The SF 425,

Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of the final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-7-8-302.

Obligations:

Direct Payments for Specified Use FY 20 \$907,000.00; FY 21 est \$9,000,000.00; FY 22 est \$9,000,000.00;

Range and Average of Financial Assistance:

Determined on an annual basis, subject to appropriations.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The IA fulfilled its program objectives by issuing approximately 10 grants to Indian Tribes in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

Published in Federal Register Notice annually.

Regional or Local Office:

See Regional Assistance Locations. Regional Office Contract Officer.

Headquarters Office:

Juanita Mendoza,
1849 C Street, N.W. Bureau of Indian Education, MS 4657-MIB,
Washington DC 20240

Email: juanita.mendoza@bie.edu

Phone: 2022083559

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The proposal ranking criteria factors are as follows: (1) Resource potential; (2) Energy development history and current status; (3) Existing energy development capabilities; (4) Intent to develop and retain energy development capacity within tribal government or business entities; (5) Demonstrated willingness to develop independent energy development business entity; and (6) Tribal commitment to staff, training, or monetary resources.

15.149 FOCUS on Student Achievement

FOCUS,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C.

ASSISTANCE LISTINGS DESCRIPTIONS

2501 et seq.; The No Child Left Behind Act of 2001, Public Law 107-110, 20 U.S.C. 7401 et seq.,

OBJECTIVES:

The FOCUS program targets schools where student achievement is close to meeting annual measurable objectives as set by their states achievement test and where additional resources could facilitate achievement of Adequate Yearly Progress (AYP) as required by Public Law 107-110, the No Child Left Behind Act of 2001.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

FOCUS funds may be used for staff development and to implement proven instructional strategies designed to achieve annual growth for all students and catch-up growth for students performing below grade level expectations in reading and math.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau-funded schools may apply to administer the program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Tribe should contact the Education Line Officer.

Award Procedure:

The BIE conducts a thorough review of all BIE schools AYP status and data. The BIE then funds schools that are at the cusp of nearly meeting AYP status. Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Deadlines:

June 01, 2021. June 01, 2021 applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Other. Applications by Tribal governments to administer the program will be processed within 90 days; and or after AYP determination is made by BIE.

Appeals:

Other. Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Other. Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Funds are added to the recipient schools Public Law 100-297 Grant or Public Law 93-638 Contract on July 1. Awards to Tribal Governments to administer the program may be renewed every year based upon satisfactory performance. A notice of intent to participate should be submitted yearly.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. Method of awarding/releasing assistance: other

Reports:

Program reports, annual participation data, and other program data requested are required. Federal Financial Report, SF 425 is required. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$100,000 to \$225,000; Average \$153,333.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program does not have any accomplishments to report for FY20 because the program did not obligate any money under this CFDA in FY20.

REGULATIONS, GUIDELINES, AND LITERATURE:

Use of funds must meet the purpose and intent of funding.

Regional or Local Office:

See Regional Assistance Locations. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joel Longie,

Bureau of Indian Education, Division of Performance and Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332,

Albuquerque NM 87104

Email: joel.longie@bie.edu

Phone: (505) 563-5250

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.044 Indian Schools_Student Transportation; 15.043 Indian Child and Family Education; 15.042 Indian School Equalization Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on school performance as measured by their states achievement test and the review of the team appointed by the Coordinator.

15.150 Juvenile Detention Education

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Public Law 103-413, Title II Tribal Self-

ASSISTANCE LISTINGS DESCRIPTIONS

Governance Act; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.,

OBJECTIVES:

The Juvenile Detention Education Program was designed to provide education services to detained and incarcerated youth in the 24 Bureau of Indian Affairs (BIA) funded juvenile detention centers (JDCs)

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funds must be used to provide educational services to detained and incarcerated Indian youth in BIA funded JDCs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with BIA-funded JDCs.

Beneficiary Eligibility:

Juvenile Delinquent; Federally Recognized Indian Tribal Governments; Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Tribe should contact the Education Line Officer.

Award Procedure:

Education funds for JDCs are included in the recipient Tribes Public Law 93-638 contract or Public Law 103-413, Title II Tribal Self-Governance Act Grant.

Deadlines:

October 01, 2021. October 01, 2021: Eligible detention centers should notify BIE of their need for juvenile detention funds.

Range of Approval/Disapproval Time:

Other. Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Other. Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Other. Awards to Tribal Governments to administer the program may be renewed every year based upon satisfactory performance and the availability of funds. A notice of intent to participate should be submitted yearly.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. Method of awarding/releasing assistance: other

Reports:

Detention centers receiving juvenile detention funds are to submit an annual report by October 31 each year of their education related juvenile detention activities and expenditures. Federal Financial Report, SF 425 is required. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$233,588.00; FY 21 est \$233,588.00; FY 22 est \$233,588.00;

Range and Average of Financial Assistance:

Depends on need. Self-Determination type awards.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled its program objectives by awarding approximately three (3) Self-Determination Awards in FY 2020

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the BIE Education Line Officers.

Regional or Local Office:

See Regional Assistance Locations. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Jeffrey Hamley,
Bureau of Indian Education, Division of Performance and Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332,
Albuquerque NM 87104
Email: jeffrey.hamley@bie.edu
Phone: (505) 563-5250

Website Address:

No Data Available.

RELATED PROGRAMS:

15.044 Indian Schools_Student Transportation; 15.043 Indian Child and Family Education; 15.042 Indian School Equalization Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Bureau funded juvenile detention centers with detained and incarcerated American Indian and Alaska Native juveniles are eligible for funds.

15.151 Education Enhancements

BIE Reads!and Math Counts,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.,

OBJECTIVES:

Education Program Enhancements provide resources for special studies, projects, new activities, and other costs associated with enhancing the basic educational

ASSISTANCE LISTINGS DESCRIPTIONS

programs provided to students. These funds allow BIE to provide specialized assistance to schools struggling to make Adequate Yearly Progress (AYP) that is targeted to address the schools unique needs and specific gaps in achievement. Typically, assistance involves implementation of specialized programs in reading and math, and staff development for principals, teachers and support staff.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds must be used for staff development, and approved math and reading enhancement programs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau-funded schools may apply to administer the program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Tribe should contact the Education Line Officer.

Award Procedure:

Schools submit an application and sign assurances that they will implement the components of instructional model that includes use of scientifically based research programs in reading and/or math, use of a reading and/or math instructional coach(es), a scheduled block of instructional time in reading and/or math, a multi-tiered instructional delivery, use of specified formative assessments to determine effectiveness of instruction, and participation in professional development for principals, instructional coaches and teachers.

Deadlines:

June 01, 2021. June 01, 2021. Applications should be submitted no later than June 1 of the year in which the applicant wishes to begin the program.

Range of Approval/Disapproval Time:

Other. Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Not Applicable. Tribal Governments wishing to appeal should write to the Director, Bureau of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Other. Awards to Tribal Governments to administer the program may be renewed every year based upon satisfactory performance. A notice of intent to participate should be submitted yearly.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Monthly program reports, annual participation data, screening, work sampling, and other program data requested is required. Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

In Fiscal Year 2012 the average Reads grant was \$23,052, and the maximum Reads grant was \$176,038; the average Math Counts grant was \$17,353 and the maximum Math Counts grant was 232,507..

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program does not have any accomplishments to report for FY20 because the program did not obligate any money under this CFDA in FY20.

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the BIE Education Line Officers.

Regional or Local Office:

See Regional Assistance Locations. See BIE Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joel Longie,
Bureau of Indian Education, Division of Performance and Accountability, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332,
Albuquerque NM 87104
Email: joel.longie@bie.edu
Phone: (505) 563-5250

Website Address:

No Data Available.

RELATED PROGRAMS:

15.044 Indian Schools_Student Transportation; 15.043 Indian Child and Family Education; 15.042 Indian School Equalization Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the review of the team appointed by the Coordinator.

15.152 Land Buy-Back For Tribal Nations

Buy-Back Program.

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Section 2212(b)(3)(C) of the Indian Land Consolidation Act (ILCA) and the Settlement Agreement in Cobell v. Salazar, as confirmed by the Claims Resolution Act of 2010, Public Law 111-291. Under ILCA, 25 U.S.C. 2212(b), The Provisions of the Indian Self-Determination and Assistance Act, P.L. 93-638, do not apply to the agreements..

OBJECTIVES:

To work in partnership with tribes to reduce the number of fractional interests in trust or restricted lands by purchasing fractional interests from willing sellers and transferring them to the tribe of jurisdiction for purposes benefitting the community.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to enter into cooperative agreements with eligible tribes.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Eligibility is restricted to federally recognized Indian tribal governments with jurisdiction over fractionated lands as identified in the Land Buy-Back Program for Tribal Nations November 2016 Status Report.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally recognized Indian tribal governments as identified in the Land Buy-Back Program for Tribal Nations November 2016 Status Report.

Credentials/Documentation:

No credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. It is recommended that eligible tribal governments interested in entering into a cooperative agreement first contact the Land Buy-Back Program for Tribal Nations (Buy-Back Program). Technical assistance is available to tribes.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Tribal governments should contact the Buy-Back Program for application procedures.

Award Procedure:

A cooperative agreement will be made to an eligible entity in the specific amounts and for the specific purposes set forth in the signed agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. None. Will vary by application.

Appeals:

Other. Contact the Buy-Back Program for appeals information.

Renewals:

Other. Contact the Buy-Back Program for renewal and extension information. They will be considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for the cooperative agreements will be dependent on the activities to be funded and is specified in the agreement terms and conditions.

Method of awarding/releasing assistance: other

Reports:

The SF-425 Federal Financial Report and performance report are required as dictated by the agreement terms and conditions. Final reports are due within 90 days of grant completion or termination. The Performance Report is required as dictated by the agreement terms and conditions. Final reports are due within 90 days of grant completion or termination.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Cooperative Agreements are also subject to inspection and audit by Interior's Office of the Inspector General, Buy-Back Program officials, or any other authorized representative of the Federal government.

Records:

Tribes must preserve, protect, and manage all fiduciary trust records created and/or maintained during its participation in the Buy-Back Program. Additionally, the tribe must store and permanently retain all fiduciary trust records, active and inactive, at the tribes expense and with full unlimited access thereto by the Department, or allow such records to be removed and stored at the American Indian Records Repository in Lenexa, Kansas.

Account Identification:

14-5670-0-2-452.

Obligations:

Cooperative Agreements FY 20 \$300,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

There is no minimum or maximum award amount. Awards have averaged less than \$360,000.

TAFS Codes:

14-5670.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, the Land Buy-Back Program awarded one cooperative agreement for outreach activities. Since inception in FY13, the Land Buy-Back Program used cooperative agreements to obtain Tribal participation in Program implementation. Cooperative agreements primarily focused on landowner outreach and education. At times, other funded activities included land research and appraisal work. The Program has awarded \$18 million through 51 cooperative agreements with 41 Tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 C.F.R. 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards For additional literature, please visit the website; <http://www.doi.gov/buybackprogram>.

Regional or Local Office:

None.

Headquarters Office:

Cooperative Agreement Specialist Helen Elisha Rubin,
Land Buy-Back Program for Tribal Nations, Department of the Interior, 1849 C St.
NW, Room 3543,
Washington DC 20240

Email: helen_rubin@ios.doi.gov

Phone: 2028977177

Website Address:

<http://www.doi.gov/buybackprogram>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Tribal collaboration plays a significant role in the success of the Buy-Back Programs land consolidation activities. Tribes have actively participated in the process by partnering with the Program to conduct activities such as landowner outreach. Outreach activities have included holding informational events to provide timely details about the Buy-Back Program, running advertisements in national and regional media outlets, and reaching out to as many landowners as possible to inform them of their opportunity to voluntarily participate.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected for funding based upon criteria developed by the Land Buy-Back Program for Tribal Nations. These criteria include but are not limited to the completeness of the tribes proposal, the tribes capacity to perform land consolidation activities, and the tribes proposed budget.

ASSISTANCE LISTINGS DESCRIPTIONS

15.154 21st Century Conservation Service Corps

None.

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

DOI & Related Agencies Appropriations Act for FY2008, Title 1, P.L. 110-161:
125; 16 U.S.C. 1723 Public Land Corps.

OBJECTIVES:

Through this program, work and training opportunities are provided to young people and veterans in order to develop the next generation of lifelong conservation stewards and protect, restore and enhance Americas Great Outdoors.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients of the financial assistance will provide work and training opportunities to young people and veterans in furtherance of the principles of the 21st Century Conservation Service Corps. Activities can include outreach coordination, management of volunteer programs, improving recreation access, preserving/repairing/rebuilding public lands and structures, protecting habitat, protecting communities from wildfire, conducting research and needs assessment, eradication of invasive and noxious weeds, and supporting hydrology programs. Grants and cooperative agreements are to be used consistent with approved projects. Funds are set aside for discretionary activities.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; None.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Public nonprofit institution/organization; Other public institution/organization; None.

Credentials/Documentation:

DUNS number and CCR registration is required. Proof of non-profit status is required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Criteria and additional information will be posted on Grants.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Information not available. Method of awarding/releasing assistance: other

Reports:

Quarterly cash reports. Quarterly progress reports Quarterly expenditure Quarterly performance monitoring reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records determined by the type of project recipient, in accordance with 2 CFR 200.

Account Identification:

01-4141-5-0-102.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$47,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Smallest: \$25,000 Largest: \$1,800,000.

TAFS Codes:

01-4141.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: None for FY2020 because no obligations were made

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Acquisition and Property Management Megan Olsen,
Department of the Interior 1849 C. Street NW, Room 4257,

Washington DC 20240

Email: megan_olsen@ios.doi.gov

Phone: 202-513-0692

Website Address:

<http://www.doi.gov/youth/index.cfm>

RELATED PROGRAMS:

15.254 Summer Watershed Intern; 15.550 Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs through Fishing and Boating Events for Physically Challenged and Disadvantaged Children.; 15.676 Youth Engagement, Education, and Employment Programs; 15.931 Conservation Activities by Youth Service Organizations; 15.546 Youth Conservation ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Additional guidance will be provided at time of announcement.

15.155 Office of the Special Trustee for American Indians, Field Operations

(OST- Field Ops).

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

The American Indian Trust Fund Management Reform Act of 1994, 25 USC 4025(a), , The American Indian Trust Fund Management Reform Act of 1994, 25 USC 4045(b);, , The American Indian Probate Reform Act of 2004, 25 USC 2206(f).

OBJECTIVES:

The objectives of these Office of the Special Trustee (OST) grants will be to support and initiate a wide range of projects that facilitate Trust Improvement and

ASSISTANCE LISTINGS DESCRIPTIONS

Reform, including but not limited to the areas of Probate/Estates, Individual and Tribal Financial Empowerment, Trust Asset Management, Investments, and Trust administration processes generally.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Funds may be used by to support a wide range of Trust Reform initiatives, including will clinics, Estate Planning, development and administration of informational seminars, and development and administration of conventional and alternative asset management processes and other trust reform activities. All funds must be expended on behalf of American Indians or Alaska Natives, as defined in any Federal Statute. Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends; and other expenses related to the project. Indirect costs are allowed for administrative costs incurred as a result of the project, generally limited to 15 percent of direct costs. It is expected funds expended for salaries will be used in a modest and reasonable manner, using the Federal pay scale as a guide.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are: Indian tribes; Alaska Native Corporations; Indian or Alaska Native Foundations; Indian or Alaska Native non-profits; qualifying corporations; qualifying contractors; qualifying individual consultants, Law Schools accredited by a recognized body or bodies or state agency, Legal Aid organizations and ULCs. Schools must be located in the 50 states or the District of Columbia.

Beneficiary Eligibility:

Private nonprofit institution/organization; Native American Organizations; American Indian; Local; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries will include organizations that will provide direct and defined service to Tribal and Individual beneficiaries. Eligible beneficiaries are: Alaska Native Corporations; Indian or Alaska Native Foundations; Indian or Alaska Native non-profits; qualifying corporations; qualifying contractors; Law Schools accredited by a recognized body or bodies or state agency, Legal Aid organizations and ULCs. Schools must be located in the 50 states or the District of Columbia. Project participants must be in an accredited program, a citizen of the United States, a non-citizen national of the United States or a foreign national who possesses a visa permitting permanent residence in the United States. Tribal Government Beneficiaries: Federally Recognized Tribal Governments Legal Aid and Assistance programs that are qualified to perform the work specified in the Grant Notice.

Credentials/Documentation:

Service Provider Documentation will include: Proof of accreditation, certification, of 501c3 status, articles of incorporation, and professional licenses if required. Documentation, required at the point of service, may include Tribal Enrollment Number, Land Asset Number or IIM Account Number of the client served. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An informal conference with an OST project management representative is recommended. OMB Circulars A-102 and A-110 apply to this program. Applications shall include detailed information as required in the Funding Opportunity Notice (Grants Notice). Completed applications should be submitted using the grants.gov website at : <http://www.grants.gov/web/grants/applicants/apply-for-grants.html> .

Award Procedure:

The dollar value of the award depends upon the amount that is available for the

project and will be set in accordance with project requirements (specified in the Grants Notice). The Interior Business Center will inform the Awardee as to the details of the grant as awarded.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals.

Renewals:

Other. Funding agreements may be for a single or multi-year period and renewed indefinitely upon satisfactory performance by the Grantee. However, any renewal is subject to availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the service provider/grantee, or until the end of the Calendar year. Awards can be made at the beginning of each quarter, depending on availability of funds and project requirements. P Method of awarding/releasing assistance: other

Reports:

Reporting expectations will be specified in the Grant offer, but generally include: tracking and accounting for expenditures; and monthly reports on project performance and progress. Reporting requirements will be detailed and comprehensive.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-0000-0-0-000.

Obligations:

Salaries and Expenses FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range depends on needs.

TAFS Codes:

14-2840.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: None to report in FY2020 because no obligations were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Deputy Regional Trust Administrator- Field operations 4400 Masthead Ave, NE Albuquerque NM 87109. Phone number is 505-816-1258

Headquarters Office:

Director, Office of Acquisition and Property Management Megan Olsen, Department of the Interior 1849 C. Street NW, Room 4257, Washington DC 20240

ASSISTANCE LISTINGS DESCRIPTIONS

Email: megan_olsen@ios.doi.gov

Phone: 202-513-0692

Website Address:

<http://www.doi.gov/ost>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All complete applications will be considered and the following criteria will be applied prior to an award being issued: Tribal Government Endorsement, Experience of the Applicant in serving the communities identified, Cost/benefit analysis, and Risk/benefit analysis.

15.156 Tribal Climate Resilience

Climate Change,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Snyder Act of 1921, as amended, 25 U.S.C. 13. For FY14 (2 year funding) P.L. 113-76, and FY15 (two year funding) P.L. 113-164,

OBJECTIVES:

The Cooperative Landscape Conservation (CLC) program provides funds and technical support to enable tribal governments and trust land managers to better understand potential impacts and vulnerabilities of communities, ecosystems and built systems to climate-related change, to enable them to develop information and tools to support planning and decision making, to implement strategies that improve the preparedness and resilience of communities in the face of a changing climate and address the potential for increased peak weather events. The program also enables tribal participation in ocean and coastal planning.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used in a variety of ways to strengthen the capabilities of Indian tribes and Alaska Native Villages, consortia, and organizations to support climate resilience and preparedness in community and program level planning, training, technical support, development of tools, monitoring, response and associated needs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Direct Support Awards: Federally Recognized Indian Tribal Governments, Native American Organizations authorized by Indian tribal governments and Native American non-profit organizations, federally/tribally chartered tribal colleges and universities. Providers of Training and Technical Support Awards: Native American Organizations authorized by Indian tribal governments, federally/tribally chartered tribal colleges and universities, Native American tribal and non-profit organizations, and public universities. (Contact BIA Central Office for details)

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and consortia, tribal and public colleges and universities, and Native American organizations.

Credentials/Documentation:

For direct award grants, the initial proposal must be accompanied by a copy of the authorizing resolution from the Federally Recognized Indian Tribal Government to be supported. Additional requirement for other than federally recognized tribes, establishment credentials (charter, incorporation or other applicable). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

required for this program. This program is excluded from coverage under E.O. 12372. Informal pre-application conference is recommended. Technical assistance in preparing the application is available upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial applications for financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the BIA Headquarters office. Requests for technical services can be made through the BIA Regional Offices listed in Appendix IV of the Catalog.

Award Procedure:

Awards are made by the Deputy Bureau Director, Office of Trust Services, Central Office, based on the prioritization of all applications received through a thorough evaluation and scoring by a review team. Awards are usually made shortly after the review (see announcement for specific details). Any awards are subject to the availability of the congressional appropriations.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See the announcement for details or contact the Central Office or Regional Offices for application deadlines for particular program support categories.

Appeals:

Not Applicable. No appeal process. The funds are annual, non-recurring congressional appropriations and any awards are made on the basis of the announcement prioritization process and the availability of funds. Information for non-award applicant scoring is available upon request as technical support to improve subsequent proposals.

Renewals:

Other. Funds are appropriated for use in non-recurring projects/activities on a year-to-year basis and are not intended to provide perennial support for tribal programs. For training programs, non-competitive renewal for additional sessions is at the option of the Bureau.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. Method of awarding/releasing assistance: other

Reports:

Program accomplishment reporting requirements will be negotiated with the Indian Tribe, for all others, quarterly and annual reporting is required with additional reporting as negotiated prior to award. The SF-425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-999.

Obligations:

Direct Payments for Specified Use FY 20 \$14,561,394.00; FY 21 est \$14,561,394.00; FY 22 est \$14,500,400.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Available

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Indian Affairs fulfilled its program objectives by awarding approximately 160 Federal Assistance Awards and Grants in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program guidelines for grants are available in the Request for Proposals announcement. OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments.", OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", OMB Circular No. A-102 Grants and Cooperative Agreements with State and Local Governments, and when appropriate, OMB Circular No. A-21 Cost Principles for Educational Institutions.

Regional or Local Office:

See Regional Assistance Locations. See Regional Offices. Applications should be filed with Bureau of Indian Affairs' Headquarters but proposals will be accepted at any BIA Regional Office as listed in Appendix IV of the Catalog.

Headquarters Office:

Climate Change Coordinator Sean J. Hart,
Bureau of Indian Affairs, 1849 C Street, NW, MS 4635 MIB,
Washington DC 20240
Email: sean.hart@bia.gov
Phone: (202)513-0337

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

There are 4 broad categories for award, each with selection criteria. Category 1: Design and delivery of technical training, forums or workshops to improve tribal staff and trust managers capacity to address climate adaptation management, preparedness or resilience (category 1 criteria: adult/youth education experience (required), training design, budget, delivery capacity). Category 2: Climate adaptation planning, vulnerability assessments, monitoring for climate change indicators, and ocean and coastal planning (category 2 criteria: Project design, implementation and feasibility, budget, builds tribal capacity, value, objectives and outcomes). Category 3: Travel support for participation in technical sessions (category 3 criteria: alignment of technical session with tribal adaptation management, value, and for ocean and coastal tribes, location), and support for participation in regional and cooperative ocean and coastal planning. Category 4: technical support, providing technical support to tribes for climate adaptation management (criteria, organization capacity, experience, existing constituency). See announcements for details.

15.158 DOI National Fire Plan

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Law 114-223, 130 Stat. 909, (7) The Department of the Interior, Environment and Related Agencies Appropriations Act of 2016 (Division G of Public Law 114-113). Public Law Number 114-254; Further Continuing and Security Assistance Appropriations Act, 2017.,

OBJECTIVES:

The purpose of this program is to issue Cooperative Agreements to assist the Office of the Secretary, Office of Wildland Fire (OWF) in facilitating communication, cooperation and coordination between Federal, State, and local partners in support of the National Fire Plan. Funds shall be used by the recipient to assist the Office of Wildland Fire in preparation of training curriculum related to a

variety of issues, such as safety and leadership.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grant recipient will have submitted a proposal and competed for Cooperative Agreement to be issued that meets the needs of the wildland fire community such as safety materials, Leadership curricula, fuels management, planning and prevention education. Grants will be executed consistent with the project selected through the competition. Funding levels will vary across fiscal years.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public or private nonprofit institution/organizations.

Beneficiary Eligibility:

Anyone/general public; Anyone within the Fire Use/Protection community.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants shall submit an application package in accordance with the requirements set forth in 43 CFR, Subtitle A, Part 12.

Award Procedure:

Criteria and additional information will be made available at time of announcement and posted online (Grants.gov)

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The deadline will be published in the grants.gov announcement. Award time varies, most awards anticipated with 30-60 days or less after announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Lump Sum Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise stated in award document, recipient is required to submit annual Program Performance Report within 30 days of end reporting period (Sept 30).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records determined by the type of project recipient, in accordance with 43 CFR

Account Identification:

14-1125-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$35,000.00; FY 21 est \$10,000.00; FY 22 est \$10,000.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

No Data Available.

TAFS Codes:

14-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Prepared/provided virtual training materials and live-streamed on-line presentations (Smoke Management) to support the Wildland Fire program and vast community of firefighting personnel. Includes research, safety and other practices.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR, Subtitle A, Part 12.2 Information about the National Fire Plan can be found at <http://www.forestsandrangelands.gov/resources/overview>

Regional or Local Office:

None.

Headquarters Office:

Amy Kishpaugh,
Office of the Secretary Office of Wildland Fire 300 E. Mallard Drive, Suite 170,
Boise ID 83706
Email: amy_kishpaugh@ios.doi.gov
Phone: 208-334-6195

Website Address:

No Data Available.

RELATED PROGRAMS:

15.232 Wildland Fire Research and Studies Program; 15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance; 15.631 Partners for Fish and Wildlife;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a panel of technical experts within the Federal Government, including the Department of the Interior and other Federal agencies. Projects will be judged on combination of factors, including the relevance to the programs objectives, the cost of the project, qualifications of the institution, and other factors. Additional guidance will be provided at time of announcement.

15.159 Cultural Resources Management

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Archaeological Resources Protection Act of 1979, as amended (ARPA), 16 U.S.C. 470aamm; The Lacey Act of 1900, as amended, 16 U.S.C. 3371-78, and 18 U.S.C. 42; Historic, archeologic, or prehistoric items and antiquities, 18 U.S.C. 1866(b); Native American Graves Protection and Repatriation Act of 1990 (NAGPRA), 25 U.S.C. 3001-3013; National Historic Preservation Act of 1966, as amended (NHPA), 54 U.S.C. 300101 et seq.; Preservation of Historical and Archeological Data (Archeological and Historic Preservation Act of 1974, as amended), 54 U.S.C. 312501-312508; Monuments, Ruins, Sites, and Objects of Antiquity (Act for the Preservation of American Antiquities of 1906 (Antiquities Act)) 54 U.S.C. 320301-320303.,

OBJECTIVES:

To ensure the proper management, protection, and preservation of cultural resources over which the Bureau of Indian Affairs (BIA) maintains responsibility; furnish secure, short-term housing and care for cultural resources recovered during investigations; provide for the curation, stewardship, and public access to the BIA museum collections and other cultural resources, including the increase of public awareness, appreciation, and knowledge of these resources.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds used for all aspects of BIA cultural resource management activities, including but not limited to museum collections management, curation, monitoring,

research, rehabilitation, restoration, preservation, documentation, data recovery, interpretation, and education. Contact the BIA program office for more information.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State and local agencies, sponsored organizations, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, specialized groups, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, other private institutions/organizations, and Native American Organizations, educational or scientific organization, or any institution, corporation, association, or individual that possesses the requisite professional expertise. Applicant eligibility will be specified in the Funding Opportunity Announcement, if applicable.

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Native American Organizations; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State and local governments, Federally recognized Indian Tribal governments, nonprofit organizations, educational or scientific institutions, universities, associations, and entities that have an education mission or mission-component, and museums and/or repositories that meet the standards of the Department of the Interior, Department Manual, Part 411.

Credentials/Documentation:

Generally, applicant entity must have expertise in the contemplated resource management and/or research project This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A detailed proposal which includes a statement of work, timeline, and budget, must be submitted to the program office.

Award Procedure:

Projects are identified and awarded based on priorities of the program and available funding. All applications will be reviewed by program staff for eligibility and compliance with the requirements stated in the program funding announcement. Final selection will be determined by the program manager, as applicable. Any awards are subject to the availability of congressional appropriations.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the number of proposals received and the complexity of the project. Generally, awards are anticipated within 90-120 days of proposal submission.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

ASSISTANCE LISTINGS DESCRIPTIONS

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients must submit, on a quarterly basis, program performance reports. Upon completion of the agreement, recipients must submit a final performance report and other specific reports that may be applicable to the agreement such as inventories, cataloging records, data, research findings, etc. SF 425

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-2100-0-1-999.

Obligations:

Direct Payments for Specified Use FY 20 \$314,395.00; FY 21 est \$1,800,000.00; FY 22 est \$1,800,000.00; Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Indian Affairs fulfilled its mission by issuing about five (5) awards to Indian Tribes in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 262; 36 CFR Part 79; 43 CFR Part 3; 43 CFR Part 7; 43 CFR Part 10; Departmental Manual 411 DM 1 and its Directives; Indian Affairs Manual, 59 IAM 9 and 59 IAM 10; program information

Regional or Local Office:

Headquarters Office:

Anna Pardo,
12220 Sunrise Valley Drive, Room 6084,
Reston VA 20191

Email: anna.pardo@bia.gov

Phone: 7033906343

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria will be provided in the funding opportunity announcement and will be based on the objectives of individual projects. Relevance to the program

objectives, a detailed technical and budget proposal, and qualifications of staff and institution important to the acceptance of proposals.

15.160 BIA Wildland Urban Interface Community Fire Assistance

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016, Public Law 114-53, Division E, Title I, Department-wide Programs, Wildland Fire Management; This program was first implemented in the Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291. Federal Land Policy and Management Act of 1976, Public Law 94-579, 43 USC 1737 (b); Watershed Restoration and Enhancement Agreements (aka The Wyden Amendment), 16 USC 1011, PL 104-208, Section 124, as amended by PL 105-277, Section 136, as amended by PL 108-7, Section 135;., Public Law 94-579.,

OBJECTIVES:

To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Provide community programs that develop local capability including; assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education and training; assist with the prevention and detection of wildfires to reduce the risk and impact to communities and their values.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Dissemination of Technical Information, Training, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Hazard reduction activities are restricted to Federal lands, or adjacent nonfederal land for activities that benefit resources on Federal land. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping BIA support community based efforts to address defensible space and fuels management issues to support outreach and education efforts associated with fuels management and risk reduction activities and to increase the effectiveness of rural fire protection, detection and prevention. Funding is highly variable each fiscal year. For more specific information please contact the headquarters office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Wildland Urban Interface community projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or Field Office Manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type of complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes.

Further information will be available for each project at the time of the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly, SF-425, Federal Financial Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to non-federal entity will be maintained in accordance 2 CFR, Part 200, Subpart D Post Federal Award Requirements.

Account Identification:

14-2100-0-1-452.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$46,052.00; FY 21 est \$506,788.00; FY 22 est \$506,788.00;

Range and Average of Financial Assistance:

Depends on needs.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs fulfilled its program mission by issuing approximately five (5) Self-Determination Awards in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

BIA's wildland urban interface community assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate State Office or the National Interagency Fire Center's web site at: <http://www.nifc.go>

Regional or Local Office:

Headquarters Office:

Anna Pardo,
12220 Sunrise Valley Drive, Room 6084,
Reston VA 20191

Email: anna.pardo@bia.gov

Phone: 7033906343

Website Address:

No Data Available.

RELATED PROGRAMS:

15.242 National Fire Plan - Rural Fire Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BIA will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.161 Native Language Immersion Grant

Native Language Immersion Grant,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Consolidated Appropriations Act, 2017, Public Law 115-31.,

OBJECTIVES:

Provide capacity building grants for Bureau-funded schools to expand existing language immersion programs, or create new programs that will lead to oral Native language proficiency.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Cannot replace the regular academic programs and must be designed to expand upon or improve services provided to students as part of the school's Native

ASSISTANCE LISTINGS DESCRIPTIONS

Language and regular academic programs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Specialized group: Bureau of Indian Education Funded Schools

Beneficiary Eligibility:

Native American Organizations; Education Professional; American Indian Student
Credentials/Documentation:

None. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A request for proposals will be announced through Grants.Gov. At a minimum, the applicant will submit a project proposal, (1-2 pages), a budget narrative (1-2 pages), a work plan outline (3-5 pages), and identification of a project director to manage the execution of the grant.

Award Procedure:

Program requirements are specified in the announcement of the request for proposals. Applications are to be submitted to the Sovereignty in Indian Education Program, 1849 C Street, NW, MS-3609, Washington DC 20240.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Juanita Mendoza, Sovereignty in Indian Education Program, 1849 C Street, NW, MS-3609, Washington DC 20240.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For Bureau of Indian Education (BIE) tribally controlled schools, funds are available until expended. BIE operated schools have restrictions on the time permitted to spend the funds. The SIE contact person can further explain the restrictions placed on the time period in which to fully expend the funds awarded under the project. Method of awarding/releasing assistance: lump sum.

Reports:

An annual report. A semi-annual progress report. The Federal Financial Report, SF-425 is required for BIE tribally controlled schools.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Tribally controlled schools must submit an annual A-133 audit and quarterly 425 report

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved. Student records must be retained for five years.

Account Identification:

14-2100-0-0-999.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$1,000.00 - \$95,000, or greater. Average:\$48,500 over one year.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: None to report in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Sovereignty in Indian Education Program Juanita Mendoza,
1849 C Street, NW, MIB-3610,
Washington DC 20240

Email: Juanita.Mendoza@bie.edu

Phone: (202) 208-6123

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Program criteria are specified in the announcement for the individual program request proposals.

15.162 Tiwahe Housing

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Department of Interior Appropriations Act, 2017,

OBJECTIVES:

According to the FY 17 Appropriations Bill, this program is designed to strengthen tribal communities in Indian country by leveraging programs and resources; to increase the number of single families and veterans assisted; and to address the dilapidated and overcrowded housing conditions in Tribes service.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Needs to be met: To (1) leverage and expand assistance to single families and veterans, (2) address the dilapidated and overcrowded housing conditions in the Tribes service areas, (3) collaborate with other Federal housing programs to increase home ownership, repair and rehabilitation opportunities and develop strategies for increased energy efficiency and sustainability of new housing on Indian lands; and (4) increase the number of families assisted overall.

Activities/projects to be conducted: Tiwahe Housing funding should be used in a matter more consistent with the Initiative and portions of their Tiwahe Housing funds may be used in combination with other resources identified in each Tribes Tiwahe plan in the following areas: Foster care: a system in which a minor has been placed into a ward, group home, or private home of a certified caregiver, referred to as a "foster parent". The placement of the child is normally arranged through the government or a social service agency. Transitional Housing: Housing with a time limit on how long you can stay in the housing or receive the housing assistance. Housing and Urban Development (HUD) defines transitional housing as stays of up to twenty-four months (but stays can be shorter). Short-Term or Temporary Housing: Situations in which the housing situation is intended to be very short-term or temporary (thirty, sixty, or ninety days or less). Emergency

ASSISTANCE LISTINGS DESCRIPTIONS

Shelter: to provide a place to stay or bed to sleep in overnight if you become homeless or otherwise experience a housing crisis and have no place to go.*Restrictions: No new construction. Tiwahe housing funds support renovations.*No discretionary funds.*No loan assistance applicable.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Tribe must hold status as an active Tiwahe Initiative Tribe with an approved Tiwahe Initiative Plan that includes a plan and budget for Tiwahe housing funds

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Government

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Update to each Tribes Tiwahe Plan to include a plan and budget for use of Tiwahe Housing funds

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To receive Tiwahe housing funds, a tribe must be an active, compliant pilot site of the Tiwahe Initiative and must have an approved plan and budget for use of Tiwahe Housing funds incorporated in to their Tiwahe plan.

Award Procedure:

To receive Tiwahe housing funds, a tribe must(1)be an active, compliant pilot site of the Tiwahe Initiative (2)must have plan and budget for use of Tiwahe Housing funds incorporated in to their Tiwahe plan(3)such plan must be approved by the BIA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. This application is based upon an urgent need. Two weeks (10 business days).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Lump sum appropriated by Congressional Bill. Method of awarding/releasing assistance: lump sum.

Reports:

Budget proposals and audits

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain tribal records related to the Initiative (e.g. budget proposals, implementation materials, resolutions/legal documents, etc.) for the duration of the Tiwahe Initiative.

Account Identification:

14-2100-0-1-999.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

At the present time, \$1,688,304 has been allotted. However, additional funds may become available.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs does not have any accomplishments to report in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

2017 Senate Report 114-281 2017 Indian Affairs Greenbook at page IA-HS-32017 Indian Affairs Greenbook at page IA-HS-12

Regional or Local Office:

See Regional Assistance Locations. Mercedes Garcia, National Tiwahe Coordinator; Mercedes.garcia@bia.gov (email)Hankie Ortiz, Deputy Bureau Director, Office of Indian Services; hankie.ortiz@bia.gov (email)1849 C Street NW Washington, DC 20240 (Central/Administrative Office)202-513-7640 (main)202-513-7712 (Mercedes)

Headquarters Office:

National Tiwahe Coordinator Mercedes Garcia, 1849 C Street NW (Central/Administrative Office), Washington DC 20240
Email: Mercedes.garcia@bia.gov
Phone: 202-513-7712

Website Address:

<https://www.bia.gov/bia/ois>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Must be active, compliant Tiwahe Initiative pilot tribe with approved Tiwahe plan.

15.163 Tribal Education Departments

TED,

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

OBJECTIVES:

Make grants and provide technical assistance to tribes for the development and operation of tribal departments or divisions of education for the purpose of planning and coordinating all education programs of the tribe.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Tribes that receive grants shall use the funds made available through the grants to facilitate tribal control in all matters relating to the education of Indian children on reservations; to provide for the development of coordinated education programs funded by tribal, Federal or other sources on reservations by encouraging tribal administrative support of all Bureau-funded education programs; to provide for the development and enforcement of tribal education codes, including tribal education policies and tribal education standards applicable to curriculum, personnel, students, facilities, and supporting programs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Specialized group: Indian tribes which are federally recognized as eligible by the U.S. Government through the Secretary of the Interior for the special programs and services provided by the Secretary because of their status as Indians.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Specialized group: Indian tribes

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which are federally recognized as eligible by the U.S. Government through the Secretary of the Interior for the special programs and services provided by the Secretary because of their status as Indians.

Credentials/Documentation:

Each application must include a project narrative, a budget narrative, a work plan outline, and a project director to manage the execution of the grant. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each application must include a project narrative, a budget narrative, a work plan outline, and a project director to manage the execution of the grant.

Award Procedure:

Proposals from tribes are evaluated by Bureau of Indian Education staff with priority given to applications that meet the following : Serves three or more separate BIE-funded schools; provides coordinating services and technical assistance to all relevant BIE-funded schools; plans to monitor and audit these funds by or through the TED; and /or provides as plan and schedule that provides for the assumption, by the TED, of all assets and functions of the BIE agency office associated with the tribe, to the extent the assets and functions relate to education.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Thirty days.

Appeals:

Other. 25 CFR Part 2

Renewals:

Other. Yes. The Secretary of the Interior may renew grants for an additional two years based on satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports Cash Reports Progress Reports Expenditure Reports - SF 424 Performance Reports

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. .

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-2100-0-1-999.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$50,000.00 - \$300,000.00

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs does not have any accomplishments to report in FY2020

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 107-110 No Child Left Behind Act of 2001, Sec 1140, 25 USC 2020; Bureau of Indian Education web site.

Regional or Local Office:

None. Not applicable

Headquarters Office:

Maureen Lesky,
Sovereignty in Indian Education, 1849 C Street, NW, MIB-3610,
Washington DC 20240
Email: Maureen.Lesky@bie.edu
Phone: (505) 563-5397
Fax:

Website Address:

<https://www.bie.edu>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.214 Non-Sale Disposals of Mineral Material

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Minerals Act of 1947 USC Code 30 601-604, 611.

OBJECTIVES:

To permit free use of certain mineral material from federally owned lands under the jurisdiction of the Bureau of Land Management by governmental units and nonprofit organizations.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Free use permits are granted to governmental units without regard to number of permits or value of mineral material provided the mineral material is for use on a public project. Permits are granted to nonprofit organizations for mineral material not to exceed 5000 cubic yards during any period of twelve consecutive months. All free-use mineral material must be extracted in accordance with approved conservation practices, terms, and conditions that provide for protection of environment and rehabilitation of any damage caused by mineral material extraction. Permittees must not barter or sell mineral materials, or remove mineral materials before BLM issues a permit or after the permit expires. BLM may incorporate other conditions and restrictions into the free use permit.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Any Federal or State agency, unit, or subdivision, including municipalities, where material will be used for public project; or any nonprofit association or corporation. A free use permit will not be issued upon the determination that the applicant owns or control an adequate supply of suitable mineral materials that are readily available and can be mined in a manner which is economically and environmentally acceptable. Mineral material

ASSISTANCE LISTINGS DESCRIPTIONS

obtained under a free use permit may not be bartered or sold.

Beneficiary Eligibility:

State; Public nonprofit institution/organization; Other public institution/organization; Federal or State agencies, units, or subdivisions, including municipalities, where material will be used for public project; or any nonprofit association or corporation.

Credentials/Documentation:

Evidence of eligibility, i.e., nonprofit status, delegation of authority for signing official to take such action, surety bond, etc.2 CFR, Part 200, Subpart E Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. This program may require submission of a performance bond. An environmental analysis is required for this permit.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit application on Form 3604-1a to identify eligibility and needs for materials to the proper Bureau of Land Management office. If eligible, BLM may require additional supporting information about the proposed operations. If the application is approved, BLM will issue Form 3604-1b Mineral Material Free Use Permit.

Award Procedure:

If mineral material is available, applicant is qualified and application does not conflict with other management practices or uses, application is approved and one copy of the executed form is returned to the applicant. Environmental analysis is accomplished and environmental impact statement is prepared if necessary.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. The term of the permit varies but will not exceed 10 years to any Federal or State agency, unit, or subdivision, including municipalities. Permits to nonprofit organizations are not to exceed 1 year.

Appeals:

Other. Appeals may be made to the Board of Land Appeals, Office of Hearings and Appeals as specified in 43 CFR Part 4 et seq.

Renewals:

Other. Any free use permits may be extended for a single additional period not to exceed 1 year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

An annual production report is required as specified in the free use permit. The permittee is required to perform reclamation of areas distributed by operations. Performance monitoring is conducted by the BLM.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Production documentation must be available if requested to confirm production.

Account Identification:

14-1109-0-1-302. 14-1109-0-1-000.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FY20 Free Use Permit (FUP) quantity sold: 6,135,042 cubic yards; FY20 FUP value permitted: \$7,973,779; FY20 FUP quantity produced: 925,881 cubic yards; and FY20 FUP value produced: \$1,077,972 Fiscal Year 2021: FY21 Free Use Permit (FUP) quantity sold (Projected): 5,196,717 cubic yards; FY21 FUP value permitted (Projected): \$5,997,995; FY21 FUP quantity produced (Projected): 1,428,414 cubic yards; FY21 FUP value produced (Projected): \$1,844,933; and FY21 FUP value produced (Projected): \$2,403,284 Fiscal Year 2022: FY22 Free Use Permit (FUP) quantity sold (Projected): 5,034,049 cubic yards; FY22 FUP value permitted (Projected): \$6,781,580; FY22 FUP quantity produced (Projected): 1,601,347 cubic yards; and FY22 FUP value produced (Projected): \$2,403,284

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 3620 and 43 CFR Part 4.

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for addresses.

Headquarters Office:

Division of Solid Minerals,
3050 NE Third Street,
Prineville OR 97754

Email: tbarnes@blm.gov

Phone: (541) 416-6858

Fax:

Website Address:

<https://www.blm.gov/programs/energy-and-minerals>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.222 Cooperative Inspection Agreements with States and Tribes

Section 202 Agreements,

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Oil and Gas Royalty Act of 1982, Public Law 97-451, 30 U.S.C., Subchapter II, 1732 (a).

OBJECTIVES:

To provide for the Bureau of Land Management (BLM) to enter into cooperative agreements with Tribes so that authorized Tribal inspectors can carry out inspection activities on Indian oil and gas leases within Tribal jurisdiction.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cooperative agreements with Tribes so that authorized Tribal inspectors can carry out inspection activities on Indian oil and gas leases within Tribal jurisdiction. For more specific information contact the local or headquarters office.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Indian tribes with producing tribal oil and gas leases for which with Federal government has trust responsibility. State may enter into a cooperative agreement for inspection of tribal oil and gas leases with the permission of the Tribe.

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Beneficiary Eligibility:

State; Federally Recognized Indian Tribal Governments; Indian tribes with producing tribal oil and gas leases for which the Federal government has trust responsibility. States that have tribal permission to enter into cooperative agreements to conduct inspections on tribal oil and gas leases.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No state plan is required with this application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal must include a description of the type and extent of inspection activities proposed under the agreement, the number of proposed inspectors, the proposed period of time the agreement will be in effect; and the name, address and telephone number of the State or Tribal contact, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the funding opportunity notice and must be submitted through www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact Bureau of Land Management local State, or District or Field offices, as appropriate, for application deadlines

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award. Method of awarding/releasing assistance: other

Reports:

Progress Reports may be required and will be stated in the notice of award. At the minimum on an annual basis within 90 days after the anniversary date of award. Other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report

shall be submitted at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the award document. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the award document. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-000. 14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$476,861.00; FY 21 est \$500,000.00; FY 22 est \$500,000.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$200,000 to \$494,708. Average amount is \$273,064 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Inspection and enforcement activities for oil and gas leases to include production inspections, drilling operations, blowout preventer tests, mechanical integrity tests, primary and remedial cementing, bradenhead tests, plugging and abandonment operations, as well as environmental compliance inspections related to equipment leaks, spills and other surface use concerns of oil and gas operations on the Nations lands. Fiscal Year 2021: Inspection and enforcement activities for oil and gas leases to include production inspections, drilling operations, blowout preventer tests, mechanical integrity tests, primary and remedial cementing, bradenhead tests, plugging and abandonment operations, as well as environmental compliance inspections related to equipment leaks, spills and other surface use concerns of oil and gas operations on the Nations lands. Fiscal Year 2022: The program expect to continue with the FY 21 project priorities.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 3190 and 43 CFR 3192.

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for list of Bureau of Land Management State offices.

Headquarters Office:

Deputy State Director for Minerals,
760 Horizon Drive, Suite 324,
Grand Junction CO 81505
Email: smallory@blm.gov
Phone: 505-954-2134
Fax:

Website Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

2020 Provide training and coordination for the Navajo Nation and Jicarillo Apache Tribal Inspection and Enforcement staff to carry out inspection and enforcement activities on Indian oil and gas leases within their Tribal jurisdiction. 2021 BLM continues to provide training and coordination for the Navajo Nation and Jicarillo Apache Tribal Inspection and Enforcement staff to carry out inspection and enforcement activities on Indian oil and gas leases within their Tribal jurisdiction. 2022 The program expects to continue providing training and coordination for the Navajo Nation and Jicarillo Apache Tribal Inspection and Enforcement staff to carry out inspection and enforcement activities on Indian oil and gas leases within their Tribal jurisdiction.

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on the Tribes direct relationship to federal and Tribal lands and a balanced review including relevance to program objectives, merit, and cost effectiveness.

15.224 Cultural and Paleontological Resources Management

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976 USC Code 43 1737(b).

OBJECTIVES:

The purpose of the program is to manage cultural and paleontological resources to benefit the public. The program also provides support and guidance on consultation with Native American Tribes, specifically on cultural resource issues, and also provides technical support and guidance. BLM manages archaeological and historic sites, artifact collections, places of traditional cultural importance to American Indians and other communities, and paleontological resources that occur on million acres of federal lands. Collectively, these heritage resources represent over years of human occupation, and millions of years of the earth's natural history. BLM Cultural Heritage and Paleontology Programs coordinate management, preservation, education and outreach efforts, economic opportunities, and public uses of a fragile, nonrenewable scientific record that represents an important component of America's heritage. The BLM Cultural Heritage and Paleontology Resource Management Programs seek to establish partnerships that collaboratively encourage the public to learn about and engage with heritage resources on public lands, restoring trust and being a good neighbor, and sustainably developing energy and natural resources. The Cultural Heritage and Paleontology Resource Management Programs achieve these goals by: Protecting and preserving cultural heritage and paleontological resources for the benefit of future generations; Improving professional and/or public understanding of the nation's cultural and natural history; Providing educational, recreational, and economic opportunities for local communities and the public; Increasing American Indian access to locations and natural resources important to traditional cultural practices and beliefs; and Managing heritage resource collections and associated records to appropriate standards, and providing access to the public and American Indians. The BLM is responsible for the largest, most diverse and scientifically important aggregation of cultural, historical, and paleontological resources on the public lands, as well as the associated museum collections and data. This program continues to advance the Department of Interior's priorities to create a conservation stewardship legacy while generating revenue and utilizing our natural resources. BLM's continued commitment to reduce and streamline its processes and procedures to serve its customers and the public better and faster across all its program areas. These reforms allow the Bureau to realign time and resources to completing important on-the-groundwork.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All projects are restricted to lands and resources administered by BLM. Most of these lands are located in the Western United States and Alaska. Assistance can be used for cooperation in managing cultural or paleontological resources, e.g., survey

for sites and localities, stabilization of historic structures, and public contact/education/interpretation or for improving information about cultural resources, consistent with needs identified in BLM planning documents.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This will be based on individual projects. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State, or District or Field offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart C Pre-Federal Award Requirements and Contents of Federal Awards, A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions. However, most projects are funded on a year to year basis and no more than five years. Funds are expended during a particular fiscal year. Most awards are anticipated within 90 days or less after the announcement closes. Method of awarding/releasing assistance: other

Reports:

At the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the notice of award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the award document. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the award document. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Sub-recipients will be required to closeout within 90 days.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,215,221.00; FY 21 est \$2,100,000.00; FY 22 est \$2,100,001.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$3,000 to \$100,000. Average amount is \$45,000 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: BLM entered into multiple agreements awarded to meet BLM's responsibilities for cultural and paleontological resource management. These agreements were consistent with BLM's priority workload accomplishments, which include providing public outreach, improving tribal relations, improving museum collections, conducting proactive surveys, stabilizing historic properties, conducting applicable studies and site monitoring. More specifically, BLM worked with numerous university archaeology and paleontology field schools to provide experiences to students, worked with partners to study hundreds of cultural and paleontological sites, monitored thousands of sites, stabilized hundreds of archaeological sites, presented gave more than 1,000 public programs, and curated over 20 collections. Projects are restricted to lands administered by BLM in the Western United States and Alaska. Fiscal Year 2021: Conduct studies, including inventory, excavation, records research, and collections-based research to improve the understanding of Americas natural and cultural history; Monitor at-risk heritage resources to track trends in condition and project effectiveness; Stabilize at-risk heritage resources; Train future cultural resource management practitioners and

paleontologists through research projects, field schools and internships that capitalize on BLM resources; Tssist with cultural heritage data and records management activities such as organizing, maintaining, and scanning site and survey records; creating, digitizing and maintaining geospatial data; and performing data entry; Preserve existing collections at recognized curation facilities through such activities as archival housing, stabilization or conservation; Promote engagement with Native American communities and foster partnerships with tribal governments and programs; Promote public engagement, learning opportunities, and conservation/preservation ethics through heritage resources education and outreach programs, events, and products; Conduct studies directed at determining impacts to heritage resources caused by activities such as prescribed fire, juniper control and other vegetation removal projects, or any other land disturbing activity; Develop and maintain historic sites with interpretive and educational potential. Fiscal Year 2022: The program projects to accomplish the same tasks identified 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's cultural and paleontological resources management program is guided by the National Historic Preservation Act, the Archaeological Resources Protection Act, the Native American Graves Protection and Repatriation Act and the Paleontological Resources Preservation Act, and implementing regulations: 43 CFR Part 7, 43 CFR Part 10, 36 CFR Part 60, 36 CFR Part 79 and 36 CFR Part 800. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals and Handbooks providing basic program operational guidance for cultural resources (8100) are available online at www.blm.gov and by contacting the Washington Office.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division of Education, Cultural, and Paleontological Resources,
301 Dinosaur Trail,
Santa Fe NM 87507
Email: dreale@blm.gov
Phone: (505) 218-1031

Fax:

Website Address:

<http://www.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 BLM entered into a cooperative agreement with an organizations that specializes in historic structure repair and volunteer coordination to stabilize a historic barn on public lands. A multi-year cooperative agreement between the BLM and a state museum was signed to continue the work of bringing existing legacy collections up to modern curatorial standards. An agreement was developed between the BLM, a partner group and a local university to conduct an archaeological field school on public lands. Students at this field school were taught excavation skills, Ground Penetrating Radar and 3D modeling. Students were also taught artifact collection, processing and storage. This field school hosted 22 participants and engaged with over 130 members of the public through the lectures and archaeology day activities. An agreement between the BLM and a federally recognized Indian tribe was created for the restoration of culturally significant plants throughout the Tribes ancestral homeland. BLM partnered with an archaeological research organization to study the archaeology in a particular canyon to gather and evaluate baseline data to provide information that will assist in understanding a managing the cultural resources within that canyon. The project included the testing of archaeological features and intensive pedestrian survey. A single volume report documenting the results of this project is forthcoming. 2021 These are types of project we are currently funding: OR/WA Research At Rimrock Draw Rock Shelter; OR/WA Paleoindian Plant Use in The Northern Great Basin; CO: Preserving Cultural Heritage on Colorado's Public Lands; ID Perjue Canyon Recreation Site Archaeology Project; Utah GSENM Kanab Field Office Cultural Resources Assistance; OR/WA CESU Archaeological Emergency Recovery and Nagpra Compliance; Identify Sites And Areas of Cultural Importance to The Mesca; OR/WA CESU SW Oregon Chinese Archaeology Program; OR/WA CESU SW Oregon Chinese Archaeology Program; Utah Tread Lightly! Respect and Protect 2020; Utah San Rafael Swell Interpretive Interventions; Utah Cultural

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Site Stewardship Program; Utah State Paleontological Resources Database Cooperative; Paleontological Survey of 10,000 Acres of the Tesuque; Paleontological Survey of 25,000 Acres of The Nacimiento; Paleontological Survey of 11,800 Acres; Transfer the Jim Jenks Fossil Collection from a Private Collection; Utah Edge of The Cedars State Park Museum Programming; Utah Canyon Country Cultural Landscapes: A Virtual Reality; Utah Monticello Field Office Ethnographic Information; Utah Digitally Documenting the Archaeological Resources; CO UTE Learning Ethnobotany Garden; Utah Archaeological Survey of Cottonwood Wash, San Rafael; AK CESU Upgrading BLM Legacy Archaeological Collections; AK State of Alaska Review of Native Allotment Title Recovery; Utah Preservation of Paleontological Heritage Resources; CO: Digitizing, Georeferencing, and Mapping Legacy Local; ID Archaeological Survey and Site Evaluation in the Sand Crestant; CO Partnership for Historic Preservation; NV Cultural And Paleontological Resource Management; NV Preserving Nevada's Past Through Curation and MT/Dak) Billings Field Office Cultural and Paleontological 2022 BLM will continue to fund projects identified in FY2021 and project to fund additional projects to establish partnerships that collaboratively encourage the public to learn about and engage with heritage resources within the BLM, with the goals of building a meaningful conservation stewardship legacy through expanding recreation opportunities on public lands, working to ensure meaningful consultation and self-determination for Tribes, enhancing visitor experience on public lands by better meeting our infrastructure and maintenance needs, and eliminating unnecessary steps and duplicative reviews while maintaining rigorous environmental standards

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.225 Recreation and Visitor Services

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy Management Act of 1976 Section 307(b) (FLPMA) 43 USC Code 1737 (b).

OBJECTIVES:

Public lands managed by the Bureau of Land Management (BLM) provide some of the most diverse outdoor recreation opportunities on Federal lands in the western United States. The BLMs Recreation and Visitor Services Program oversees a broad and complex set of recreation related programs, which provide a multitude of recreation opportunities and experiences. These recreation resources and visitor services support strong local economies and public land conservation. As BLM works to enhance recreational opportunities for the American family, we strive to remain a good neighbor and partner. The BLM manages recreation resources and visitor services to offer the greatest benefits possible to individuals and communities and to better enable communities to achieve their own desired social, economic, and environmental outcomes. This program continues to advance the Department of the Interiors priorities to increase environmental protections, pursue environmental justice, and honor our nation-to-nation relationship with Tribes.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are used to benefit public lands administered by the BLM. Most of these lands are located in the Western United States and Alaska. Financial assistance can be used for assisting the BLM in the management, protection and/or upgrade recreational resources and related facilities, and in providing related public contact/education opportunities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. State plan is not required for this application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. For more information and local requirements, cooperative project proposals should be coordinated with Bureau of Land Management local State or District/Field Offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart C Pre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project, but should not be more than five years. Further information will be available for each program at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their

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proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions. However, most projects are funded on a year to year basis and no more than five years. Funds are expended during a particular fiscal year. Most awards are anticipated within 90 days or less after the announcement closes. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Not required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,562,461.00; FY 21 est \$1,500,000.00; FY 22 est \$1,499,999.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$2000 to \$552,400. Average amounts approximately \$60,000 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the BLM continued to issue financial assistance to institutions of higher education and non-profit organizations to support DOI/BLM priorities and make the benefits of outdoor recreation more accessible to individuals and communities. Projects ranged from social science research at BLM managed recreation sites and areas; expertise in community trail planning, trail design, layout and construction; studies to identify the recreation activities, experiences and benefits preferred by visitors; and support to improve recreational access into land locked BLM parcels. Fiscal Year 2021: In FY 2021, the BLM entered into multiple agreements to encourage, facilitate and build healthy communities and economies, advance environmental justice, and provide safe and equitable access to outdoor recreation opportunities for all Americans. Projects included messaging and resource assistance to help promote responsible outdoor recreation; assistance towards the completion of Motorized Recreation Action Plans; and help to identify, plan, facilitate and complete a Cooperative Tribal/BLM

Travel and Tourism Project. Fiscal Year 2022: This program will continue accomplishments towards facilitating and building healthy communities and economies, advancing environmental justice, and providing safe and equitable access to outdoor recreation opportunities for all Americans.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's recreation program is generally guided by provisions in 43 CFR Part 8000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 8300 and 8400) may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Chief, Recreation Visitor Services Division Division Chief,
440 West 200 South, Suite,
Salt Lake City UT 84101
Email: koliver@blm.gov
Phone: (801) 450-3134

Fax:

Website Address:

<https://www.blm.gov/programs/recreation>

RELATED PROGRAMS:

15.244 Fisheries and Aquatic Resources Management; 15.247 Wildlife Resource Management;

EXAMPLES OF FUNDED PROJECTS:

2020 ORWA Agate and Iceberg Lopez Island Visitor Services; ORWA Oregon Zoo S Urban Nature Overnights (UNO) Program; Orwa San Juan Islands Environmental Education; ORWA San Juan Islands Environmental Education; ORWA San Juan Islands Environmental Education; Expand And Improve the Guadalupe Ridge Trail in Southern New Mexico; Trail Maintenance And Trail Development on Public Land; CO Trail Maintenance And Trail Development on Public Land; National Tread Lightly! National Outdoor Recreation Through Ethics; National Trails System Development; National Canoeing: Connecting Underserved Youth to Public Lands; CO: Education And Volunteer Stewardship; CO: Stewardship, Education, and Interpretive Activities; Youth Education and Community Science Program; Enhancing Visitor Experience on The Gold Belt Scenic; Cotoni-Coast Dairies Trail Design and Implementation; Tread Lightly California; National Leave No Trace Traveling Trainer Program; MT/DAK Western District Recreation Projects 2021 Increase and enhance access to public lands and waters; increase and enhance recreational opportunities; enhance public satisfaction at BLM recreation sites and areas; ensure the public receives fair market value for resources; and recover costs where appropriate; improve inventory, assessment and planning on public lands and waters; improve management, administration and monitoring of public lands and waters; provide enriched visitor services, information, interpretation and education; protect visitor health and safety; improve accessibility for persons with disabilities; Improve motorized and non-motorized trails including trail construction and maintenance, comprehensive travel and transportation inventory and assessment, planning management and monitoring; enhance community-supported partnerships in tourism and marketing; strengthen relationships with sovereign Tribal nations by elevating indigenous voices on our public lands through the Native American Tourism and Improving Visitor Experience (NATIVE) Act; engage with diverse stakeholders and traditionally underserved populations to achieve a better understanding of how recreation contributes to their lives. 2022 Projects to be funded in FY22 will continue to increase and enhance access to public lands and waters.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in

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each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations, the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory, or other requirements

15.226 Payments in Lieu of Taxes

(PILT),

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

The original law is Public Law 94-565, dated October 20, 1976. This law was rewritten and amended by Public Law 97-258 on September 13, 1982 and codified as Chapter 69, Title 31 of the United States Code.,

OBJECTIVES:

Payments in Lieu of Taxes (PILT) are Federal payments to local governments that help offset losses in property taxes due to non-taxable Federal lands within their boundaries.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

PILT payments help local governments carry out such vital services as firefighting and police protection, construction of public schools and roads, and search-and-rescue operations. Payments made under Section 6902 of the Law may be used for any governmental purpose.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Local units of government containing eligible acres of PILT entitlement land as defined in Section 6901 will receive automatic payment distribution as computed in accordance with Section 6903 of the Law.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Local units of government in which Federal lands are located or have been acquired for purposes defined under Objectives.

Credentials/Documentation:

NONE. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Automatic distribution is made as authorized by 31 U.S.C. 6901-6907. No application is necessary.

Award Procedure:

Not applicable.

Deadlines:

Automatic distribution is made as authorized by 31 U.S.C. 6901-6907. No application is necessary.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

None.

Account Identification:

14-1114-0-1-806.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$517,020,828.00; FY 21 est \$529,285,854.00; FY 22 est \$524,600,000.00;

Range and Average of Financial Assistance:

Range\$100.00-\$3,500,000.00AverageFY 2016: \$1,749,214.50

TAFS Codes:

14-1114.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 44.12. For additional program information please visit www.doi.gov/pilt

Regional or Local Office:

None. Dionna Kiernan, PILT Program Manager, Office of Budget, Department of the Interior, 1849 C St N.W., (MS 4106, MIB), Washington, DC 20240.

Telephone: 202-513-7783, E-mail: doi_pilt@ios.doi.gov

Headquarters Office:

PILT Program Manager Dionna Kiernan,

Office of Budget, Department of the Interior, 1849 C St N.W., (MS 4106, MIB), Washington DC 20240

Email: doi_pilt@ios.doi.gov

Phone: (202) 513-7783

Website Address:

<http://www.doi.gov/pilt>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.228 BLM Fuels Management and Community Fire Assistance Program Activities

BLM Fuels Management and Community Fire Assistance Program Activities,

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976 (FLPMA) 43 USC Code 1737 (b). Good Neighbor Authority 16 USC Code 2113a. Watershed Restoration and Enhancement Agreements 16 USC Code 1011 (b). Healthy Forests Restoration Act of 2005 16 USC Code 6518(2). Title Consolidated Appropriations Act 2021, Public Law 116-260

OBJECTIVES:

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Fuels Management Program activities represent strategic investments in managing vegetation through active management to reduce the intensity, severity or negative effects of wildfire. It provides cost-effective benefits to the American people, helps restore and maintain healthy ecosystems, and supports communities in their efforts to become fire-adapted. Program activities include: programs that develop local capability including assessment and planning, mitigation activities, and community and homeowner education and implementation; fire prevention, plan and implement fuels management activities, including the training, monitoring or maintenance associated with such fuels management activities, on federal land, or on adjacent nonfederal land for activities that benefit resources on federal land; enhance the knowledge of rural fire districts by providing assistance in education and training; assist with the prevention of wildfires to reduce the risk and impact to communities and their values. This program continues to advance the Department of the Interiors priorities to create a conservation stewardship legacy while generating revenue and utilizing our natural resources. BLMs continued commitment to reduce and streamline its processes and procedures to serve its customers and the public better and faster across all its program areas. These reforms allow the Bureau to realign time and resources to completing important on-the-groundwork.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Dissemination of Technical Information, Training, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Fuels management activities are restricted to Federal lands, or adjacent non federal land for activities that benefit resources on Federal land. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping BLM support fuels management program activities, including community programs that develop local capability including assessment and planning, mitigation activities, and community and homeowner education and implementation ; fire prevention, plan and implement fuels management activities, including the training, monitoring or maintenance associated with such fuels management activities, on federal land, or on adjacent non federal land for activities that benefit resources on federal land; enhance the knowledge of rural fire districts by providing assistance in education and training; assist with the prevention of wildfires to reduce the risk and impact to communities and their values. Funding is highly variable each fiscal year. For more specific information please contact the headquarters office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other private institutions/organizations; Federally Recognized Indian Tribal Governments; State; States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget

narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Wildland Urban Interface community projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or Field Office Manager.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type of complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes.

Further information will be available for each project at the time of the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions. However, most projects are funded on a year to year basis and no more than five years. Funds are expended during a particular fiscal year. Most awards are anticipated within 90 days or less after the announcement closes. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Not required, unless stated in the Notice of Award SF-425, Federal Financial Report, unless otherwise stated in the award document, recipients are required to submit at the minimum an annual basis within 90 days after the anniversary award date. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Performance Reports, unless otherwise stated in the award document, recipients are required to submit at the minimum an annual basis within 90 days after the anniversary award date. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to non-federal entity will be maintained in accordance 2 CFR, Part 200, Subpart D Post Federal Award Requirements.

Account Identification:

14-1125-0-1-011. 14-1125-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,602,605.00; FY 21 est \$3,602,605.00; FY 22 est \$3,000,000.00;

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Range and Average of Financial Assistance:

Past partnership projects have ranged from \$5,000 to \$686,000. Average amount is \$47,400 or less.

TAFS Codes:

14-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: JFSP funded 32 GRIN projects that support graduate students to enhance interaction with fire and fuels managers. Funded four projects to assess fuel breaks and fuel break systems, and funded three to reduce damages and losses to valued resources. The JFSP funds and provides oversight to a national collaborative of 15 regional wildland fire science exchanges: the Fire Science Exchange Network (FSEN). The FSEN provides the most relevant, current wildland fire science information to federal, state, local, tribal, and private stakeholders within ecologically similar regions. In Fiscal Year 2020 JFSP funded three FSEN projects via cooperative agreement. Fiscal Year 2021: This program anticipates to accomplish fuels management activities on federal and non-federal land; Develop and implement fire education, training, and/or community action plans/programs; Conduct Community Wildfire Protection Assessment and planning activities; Expand community capability to enhance local employment opportunities; and Develop and implement short- and long-term Monitoring and Maintenance Plans for hazardous fuels reduction, community fire education and training, and community action programs. Fiscal Year 2022: Anticipates to accomplish similar project as stated for FY21 to complete additional fuel management activities on federal and non-federal land.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's Fuels Management Program activities are described in the program budget justifications for the wildland fire management programs (<https://www.doi.gov/wildlandfire>). A variety of public information on this plan is available by contacting the appropriate State Office or the National Interagency Fire Center's website at: <http://www.nifc.gov>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division of Fire Planning and Fuels Management Division Chief,
National Interagency Fire Center,
Boise ID 83705
Email: jskinner@blm.gov
Phone: (208)387-5321
Fax:

Website Address:

<http://www.nifc.gov>

RELATED PROGRAMS:

15.230 Invasive and Noxious Plant Management; 15.233 Forests and Woodlands Resource Management; 15.237 Rangeland Resource Management; 15.015 Good Neighbor Authority;

EXAMPLES OF FUNDED PROJECTS:

2020 ORWA Southern Oregon Coast Gorse Fuels Reduction; ORWA Southern Oregon Coast Gorse Fuels Reduction; ORWA Eugene Wildland Urban Interface and Community Fire Assistance; ORWA Lane County Parks Wild Land Urban Interface And Community; Idaho Wildland Urban Interface Community Fire Assistance; NV CESU Expansion And Maintenance of the Alert Wildfire Nevada; NV CESU Expansion And Maintenance of the Alert Wildfire Nevada; WY Rawlins Field Office Hazardous Fuels Invasive And Noxious; CO: Building a Resilient And Fire Adapted Community; AK Copper River Community Wildfire Prevention Plan (CWPP); CO Fuels Management In Moffat County; Georgetown Fuels Reduction Project; OR/WA Coos County Cost Share Partnership; ORWA Refinement of Potential Wildfire Operational Delineation; AK Delta West Fuels Management Project 2021 Accomplish Fuels Management Activities on federal and non-federal land; Develop and implement fire education, training, and/or community action plans/programs; Conduct Community Wildfire Protection Assessment and planning activities; Expand community capability to enhance local employment opportunities; Develop and Implement short and long term Monitoring and Maintenance Plans for hazardous fuels reduction, community fire education and training, and community action programs. 2022 Conduct Community Wildfire

Protection Assessment and planning activities; Expand community capability to enhance local employment opportunities; Develop and Implement short and long term Monitoring and Maintenance Plans for hazardous fuels reduction, community fire education and training, and community action programs. Accomplish Fuels Management Activities on federal and non-federal land; Develop and implement fire education, training, and/or community action plans/programs.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.229 Wild Horse and Burro Resource Management

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Wild Horses and Burros: Protection, Management, and Control 16 USC Code 1336 Cooperative Agreements. Federal Land Policy Management Act of 1976 Section 307(b) 43 USC Code 1737 (b).

OBJECTIVES:

The Bureau of Land Management (BLM) manages and protects wild horses and burros on 26.9 million acres of public lands across ten western states as part of its mission to administer public lands for multiple uses. The Wild Horse and Burro Program's goal is to manage healthy wild horses and burros on healthy public rangelands. The BLM created the Wild Horse and Burro Program to implement the Wild-Free Roaming Horses and Burros Act, passed by Congress in 1971. Broadly, the law declares wild horses and burros to be living symbols of the historic and pioneer spirit of the West and stipulates that the BLM and the U.S. Forest Service have the responsibility to manage and protect herds in their respective jurisdictions within areas where wild horses and burros were found roaming in 1971. The Wild Horse and Burro Program consists of two main branches: (1) On-Range management of wild horses and burros, and (2) Off-Range management and care of wild horses and burros. The goal of the On-Range program is to manage for healthy wild horse and burro populations and to maintain a thriving natural ecological balance with other resources and land uses. The Off-Range management goal is to ensure the health and welfare of wild horses and burros that were removed from public lands under BLM care, to place animals into private care through adoptions, sales, and transfers, to conduct compliance inspections of adopted animals and to build partnerships and relationships with external organizations. Communications, outreach, and education are priorities of both branches. This program continues to advance the Department of Interior's priorities to address the climate crisis and restore balance on public lands and waters.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are designated to manage and protect wild free-roaming horses and burros to achieve and maintain a thriving natural ecological balance on the public lands. Project assistance can be used in researching for improved censusing techniques and fertility control methods; and adopting or long term care of excess animals

ASSISTANCE LISTINGS DESCRIPTIONS

removed from the range.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); .

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State, or District or Field offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project, but should not be more than five years. Further information will be available for each program at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Not Applicable. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions. However, most projects are funded on a year to year basis and no more than five years. Funds are expended during a particular fiscal year. Most awards are anticipated within 90 days or less after the announcement closes.

Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award. Not required, unless stated in the Notice of Award. SF-425, Federal Financial Report shall be submitted at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$6,692,100.00; FY 21 est \$2,419,387.00; FY 22 est \$7,200,000.00;

Range and Average of Financial Assistance:

Past partnerships projects have run between \$85,000 to \$3,180,000. Average amounts are \$532,300 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The BLM currently manages approximately 86,000 wild horses and burros in 177 HMAs in 10 western states. Nationally, the appropriate management level (AML) is about 27,000 animals. Currently, BLM does not have adequate tools to make significant progress towards achieving AML and continues removing excess animals from the range to address drought and wildfire emergencies, public safety and health issues, court orders, private land encroachment and to reach AML in priority habitat areas and to avoid range deterioration. The BLM continues efforts to reduce population growth by increasing fertility control vaccine applications through partnerships, investing in new research, and implementing non-surgical, permanent sterilization methods. The BLM looks forward to continuing and developing new partnerships for the caring and training of wild horses and burros as well as expanding programs that increase private care placement through adoptions and sales. Fiscal Year 2021: Issued new awards for fertility control vaccine applications, public education, training and placement of wild horse and burros. Fiscal Year 2022: The program expects to continue with developing new partnerships for the caring and training of wild horses and burros, increase private care placement through adoptions and sales and fertility control vaccine applications.

REGULATIONS, GUIDELINES, AND LITERATURE:

The wild horse and burro program is generally guided by provisions in 43 CFR Part 4700. BLM Manuals and Handbooks 4700 - 4770 provide basic program operational guidance for the wild horses and burro program and may be obtained by accessing the BLM library through the internet.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Division of Wild Horses and Burros,
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: hwaddels@blm.gov
Phone: (405) 579-1860
Fax:

Website Address:

<http://www.wildhorseandburro.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Mustang Heritage Foundation to assist in adoptions, public outreach, and placement of wild horse and burros. California Rio Cosumnes Correctional Center Wild Horse Training Program 2021 High Desert Strategies, Sand Wash Advocate Team (SWAT) and Wild Love Preserve to assist in fertility control and public education of wild horse and burros. Beatty Butte Wild Horse Training Facility for fertility control, public education, training and adopting of wild horse and burros. 2022 The program expects to continue with the funding of projects for adoptions, placement, public outreach, training and fertility control.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations, the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.230 Invasive and Noxious Plant Management

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Plant Protection Act of 2000, 7 USC Chapter 61 Noxious Weeds 2814; Federal Land Policy and Management Act of 1976 (FLPMA), 43 USC 1737(b); John Dingell Jr. Conservation, Management and Recreation Act, Public Law 116-9, Section 7001,

OBJECTIVES:

This program continues to advance the Department of the Interiors priorities to address the climate crisis, restore balance on public lands and waters, advance environmental justice, and invest in a clean energy future. One of the BLM's highest priorities is to promote ecosystem health and one of the greatest obstacles to achieving this goal is the rapid expansion of weeds across public lands. These invasive plants can dominate and often cause permanent damage to natural plant communities. If not eradicated or controlled, noxious weeds will continue to jeopardize the health of public lands and to constrain the myriad activities that occur on them. These reforms allow the Bureau to realign time and resources to completing important on-the-groundwork. To encourage interested State and local governments and Federal agencies to work together to inventory, manage, restore, educate, reduce the spread of, and prevent the further invasion and establishment of noxious, invasive weeds, and other invasive species. These entities will develop and implement Integrated Pest Management Plans (IMPs) to develop and implement projects that foster consultation and cooperation among stakeholders, interested parties, and the public and to organize, finalize, and develop projects to

implement IPM plans for noxious weeds or invasive species within a specific geographic area.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Training

USES AND USE RESTRICTIONS:

Funds may be used on public, State county, and private lands for approved projects that prioritize and target undesirable plant species or group of species to be controlled or contained within a specific geographic area. Describe the integrated pest management system to be used to control or contain the targeted undesirable invasive species or groups of species; and detail the means of implementing the integrated pest management system, define the duties of the Federal agency and the State agency in prosecuting that method, and establish a timeframe for the initiation and completion of the tasks specified in the integrated management system.

Applicant Eligibility:

Anyone/general public;

Beneficiary Eligibility:

Anyone/general public; Awards under the Plant Protection Act of 2000, 7 USC, Chapter 61 Noxious Weeds 2814 and Good Neighbor Authority. 16 USC 2113a (b)(1)(A) are limited to State and local governments.

Credentials/Documentation:

None. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Contact the headquarters (or regional office, as appropriate) for application deadlines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions. However, most projects are funded on a year to year basis and no more than five years. Funds are expended during a particular fiscal year.

Most awards are anticipated within 90 days or less after the announcement closes.

Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Not required, unless stated in the Notice of Award SF-425, Federal Financial Report, unless otherwise stated in the award document, recipients are required to submit at the minimum an annual basis within 90 days after the anniversary award date. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,423,803.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Past partnership projects have run between \$1,000 to \$567,000. Average amounts run about \$31,600 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Acres Treated = 384,869 during FY2020 for the following activities: Inventorying and documenting locations of noxious weeds and other invasive species; Using an Integrated Pest Management Plan (IPM) approach to determine the most effective method of weed control (herbicides, grazing, mechanical removal etc.); Selecting the most effective and targeted herbicide for treatment; Stabilization and rehabilitation of disturbed areas; Implementation and monitoring of weed control and site rehabilitation measures; Conducting post treatment monitoring to determine effectiveness; Prioritization and treatment of target undesirable plant species or groups of species to be controlled or contained within a specific geographic area; Monitoring and evaluation of treatments, site rehabilitation, outreach activities, and integrated weed management strategies to determine rate of success and to inform future efforts; Development and dissemination of public education and outreach activities and materials; Promoting public engagement and learning opportunities, through resources education and outreach programs, events, and products; and Adaptive management for controlling new weed species and use of new and approved treatments. Fiscal Year 2021: BLM projects the following accomplishment: Inventorying and documenting

locations of noxious weeds and other invasive species; Using an Integrated Pest Management Plan (IPM) approach to determine the most effective method of weed control (herbicides, grazing, mechanical removal etc.); Selecting the most effective and targeted herbicide for treatment; Stabilization and rehabilitation of disturbed areas; Implementation and monitoring of weed control and site rehabilitation measures; Conducting post treatment monitoring to determine effectiveness; Prioritization and treatment of target undesirable plant species or groups of species to be controlled or contained within a specific geographic area; Monitoring and evaluation of treatments, site rehabilitation, outreach activities, and integrated weed management strategies to determine rate of success and to inform future efforts; Development and dissemination of public education and outreach activities and materials; Promoting public engagement and learning opportunities, through resources education and outreach programs, events, and products; and Adaptive management for controlling new weed species and use of new and approved treatments. Fiscal Year 2022: BLM projects to continue the following accomplishment in FY2022: Inventorying and documenting locations of noxious weeds and other invasive species; Using an Integrated Pest Management Plan (IPM) approach to determine the most effective method of weed control (herbicides, grazing, mechanical removal etc.); Selecting the most effective and targeted herbicide for treatment; Stabilization and rehabilitation of disturbed areas; Implementation and monitoring of weed control and site rehabilitation measures; Conducting post treatment monitoring to determine effectiveness; Prioritization and treatment of target undesirable plant species or groups of species to be controlled or contained within a specific geographic area; Monitoring and evaluation of treatments, site rehabilitation, outreach activities, and integrated weed management strategies to determine rate of success and to inform future efforts; Development and dissemination of public education and outreach activities and materials; Promoting public engagement and learning opportunities, through resources education and outreach programs, events, and products; and Adaptive management for controlling new weed species and use of new and approved treatments.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Bureau of Land Management invasive and noxious plant management program can be found at <https://www.blm.gov/weeds>

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Senior Weeds Specialist, Division of Forest, Rangeland, Riparian, and Plant Conservation,
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: sflanigan@blm.gov
Phone: 208-373-4094
Fax:

Website Address:

<https://www.blm.gov/programs/natural-resources/weeds-and-invasives>

RELATED PROGRAMS:

15.244 Fisheries and Aquatic Resources Management; 15.245 Plant Conservation and Restoration Management; 15.246 Threatened and Endangered Species; 15.247 Wildlife Resource Management;

EXAMPLES OF FUNDED PROJECTS:

2020 Projects funded encouraged the State and the Bureau of Land Management to work together to prevent, control, manage and eradicate undesirable invasive species on Federal, State, and private lands. By working together entire areas are treated to control and prevent the spread of invasive and noxious or undesirable plants and other invasive species within the close proximity of public lands. BLM completed projects in the Washakie County, Niobrara County, WY Big Horn County, OR Lake County, Minidoka County, other areas of ID, MT, CA, NV, NM, 2021 Inventorying and documenting locations of noxious weeds and other invasive species; Using an Integrated Pest Management Plan (IPM) approach to determine the most effective method of weed control (herbicides, grazing, mechanical removal etc.); Selecting the most effective and targeted herbicide for treatment; Stabilization and rehabilitation of disturbed areas. Implementation and monitoring of weed control and site rehabilitation measures; Conducting post treatment monitoring to determine effectiveness; Prioritization and treatment of target

ASSISTANCE LISTINGS DESCRIPTIONS

undesirable plant species or groups of species to be controlled or contained within a specific geographic area; Monitoring and evaluation of treatments, site rehabilitation, outreach activities, and integrated weed management strategies to determine rate of success and to inform future efforts; Development and dissemination of public education and outreach activities and materials; Promoting public engagement and learning opportunities, through resources education and outreach programs, events, and products and Adaptive management for controlling new weed species and use of new and approved treatments. 2022 This program is projected to fund projects for weed control, monitoring, Lost Creek Fuels, Range improvement, Middle and Lower Deschutes WSR, Farmington range restoration, etc.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. **Second Level Evaluation -- Merit Review Evaluation** is stated in each Notice of Funding Opportunity noticed post on www.grants.gov **Third Level Review Pre-Award Clearance and Approvals.** BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.231 Fish, Wildlife and Plant Conservation Resource Management

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 USC 1737 (b); Sikes Act, 16 USC 670h(c); Good Neighbor Authority. 16 USC 2113a (b)(1)(A); and Watershed Restoration and Enhancement Agreements, 16 U.S.C. Section 1011(b), as amended by Public Law 105-277 Section 136.,

OBJECTIVES:

As an integral part of the Bureau of Land Managements (BLM) mission, BLM provides national leadership to promote conservation of fish, wildlife and plant conservation, which will help restore and protect lands containing noteworthy resource values for regionally significant species of management concern or wetland and riparian areas; restore and protect crucial habitat through vegetation treatments, installation of wildlife friendly fences, and creating fish passages or barriers to protect aquatic species. Restoration of wildlife habitat to restore and protect sage grouse, desert tortoise, and other upland habitats for priority species as well as instream and riparian habitat for migratory birds, endangered or special status aquatic species and other native and priority species. Increase on-the-ground project work to conserve, restore, and reduce the threats to sage-grouse and other sensitive species in high priority habitats. Continue consistent habitat monitoring across the range of the Greater Sage-Grouse and other landscape species using the Habitat Assessment Framework (HAF) and the land use plan habitat objectives for sage grouse and other priority species. Continue seed collections within priority species habitat and continue to develop genetically appropriate native and non-native plant material for use in habitat restoration. Continue to work with partners to prioritize and implement priority species conservation actions. The BLM manages these resources in cooperation with states, tribes, other federal agencies, and non-governmental organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Training

USES AND USE RESTRICTIONS:

Projects are primarily conducted on lands administered by the BLM but may also be conducted on other public or private lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to help protect, restore, and enhance fish, wildlife, and plant conservation resources and to provide related public contact/education opportunities. No regular discretionary fund is available. Funding is highly variable each fiscal year.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Good Neighbor Authority. 16 USC 2113a (b)(1)(A) awards will only be made to State and local government Counties and Indian Tribes.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Not applicable. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart C Pre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. State plan is not required for this application.. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project, but should not be more than five years. Further information will be available for each program at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-by-year basis and funds are expended during a particular fiscal year. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award (Not required unless otherwise stated in the Notice of Award) SF-425, Federal Financial Report, unless otherwise stated in the award document, recipients are required to submit at the minimum an annual basis within 90 days after the anniversary award date. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Performance Reports, unless otherwise stated in the award document, recipients are required to submit at the minimum an annual basis within 90 days after the anniversary award date. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$10,000 to \$1,000,000. Average amounts approximately \$68,300 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program was not funded, but rather split among 15.444, 15.245, and 15.247. Fiscal Year 2021: This program was not funded, but rather split among 15.444, 15.245, and 15.247. Fiscal Year 2022: This program will not be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's fish, wildlife and botany program is generally guided by provisions in 43 CFR Part 6000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 6500) and may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division of Wildlife, Aquatics, and Environmental Protection,

760 Horizon Drive, Suite 324,

Grand Junction CO 81506

Email: mpreston@blm.gov

Phone: 8015035411

Fax:

Website Address:

<http://www.blm.gov/nhp/index.htm>

RELATED PROGRAMS:

15.244 Fisheries and Aquatic Resources Management; 15.245 Plant Conservation and Restoration Management; 15.246 Threatened and Endangered Species; 15.247 Wildlife Resource Management;

EXAMPLES OF FUNDED PROJECTS:

2020 This program was not funded in FY2020. Program split among 15.244, 15.245, and 15.247. 2021 This program will not be funded in future years. Program split among 15.244, 15.245, and 15.247 2022 This program will not be funded in future years. Program split among 15.244, 15.245, and 15.247

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations, the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.232 Joint Fire Science Program

Joint Fire Science Program,

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976, Public Law 94-579, 43 USC Code 1737 (b).

OBJECTIVES:

This program continues to advance the Administrations priorities to to prioritize efforts to reduce climate pollution; support climate resilience; support land conservation and biodiversity efforts, including the 30 by 30 initiative; maximize clean energy development and deployment. The Joint Fire Science Program encourages interested parties to perform research and studies pertaining to wildland fire and resource management, to enhance decision-making ability of fire, fuels, natural resource, and land managers and others to meet management objectives. This program solicits research and science delivery proposals that respond to its announcements for proposals that seek information on critical wildland fire and fuels issues, as well as related issues of post-fire recovery, smoke management, and the human dimensions of fire.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for approved projects that address wildland fire management needs of federal and local government land managers.

Applicant Eligibility:

Anyone/general public; Anyone/General Public.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Anyone/General Public.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State Plan is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Projects are reviewed at the National and State level and funding recommendations are made through National and State's annual work plan. Final budget approvals rest with the National Office and State Director. For the Joint Fire Science Program all applications are peer-reviewed. The twelve person Joint Fire Science Program Governing Board will analyze peer review comments and make all funding decisions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects are generally funded for no more than three years. Most projects funded are awarded in one lump sum at the start of the project; however some projects are funded year-by-year. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1125-0-1-302. 14-1125-0-1-011.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,287,160.00; FY 21 est \$3,200,000.00; FY 22 est \$4,200,000.00;

Range and Average of Financial Assistance:

For the Joint Fire Science Program awards range from \$25,000 to \$500,000.

Average amounts are \$350,000 or less for research projects and \$25,000 or less for Graduate Research Innovation (GRIN) projects.

TAFS Codes:

14-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: JFSP funded 32 GRIN projects that support graduate students to enhance interaction with fire and fuels managers. Funded four projects to assess fuel breaks and fuel break systems, and funded three to reduce damages and losses to valued resources. The JFSP funds and provides oversight to a national collaborative of 15 regional wildland fire science exchanges: the Fire Science Exchange Network (FSEN). The FSEN provides the most relevant, current wildland fire science information to federal, state, local, tribal, and private stakeholders within ecologically similar regions. In Fiscal Year 2020 JFSP funded three FSEN projects via cooperative agreement. Fiscal Year 2021: JFSP projects are focused on continued support for the Fire Science Exchange Network (FSEN) and we anticipate funding four FSEN projects. The program will continue to fund graduate students through the Graduate Research Innovation (GRIN) program and anticipate funding 12 GRIN projects. JFSP research is focused on two main research areas. Sources and distribution of human-caused ignitions and their impacts on wildfire and reducing damages and losses to valued resources from wildfire. We anticipate funding five proposals in these two topic areas. Fiscal Year 2022: JFSP will be focused on continued support for the Fire Science Exchange Network (FSEN) and the Graduate Research Innovation (GRIN) program. Research focus areas will be social and ecological recovery of communities impacted by wildfire and collaborative development of ecosystem mapping products for fire and fuels management.

REGULATIONS, GUIDELINES, AND LITERATURE:

Joint Fire Science information, including announcements for proposals, project information, and literature can be found at website www.firescience.gov/.

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for addresses of BLM State Offices.

Headquarters Office:

Joint Fire Science Program,
3833 S. Development Avenue,
Boise ID 83706
Email: ebrunson@blm.gov
Phone: 208-387-5975
Fax:

Website Address:

<https://www.firescience.gov/index.cfm>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

15.228 BLM Wildland Urban Interface Community Fire Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 JFSP research focus was on assessing fuel breaks and fuel break systems and reducing damages and losses to valued resources. Examples of projects funded are: Assessment of fuel break performance: fire risk, ecology, and economy and Evaluating fuel treatment efficacy in reducing risk of high-severity fire and downstream impacts. 2021 JFSP will continue to fund research related to managing wildland fuels, fires and fire impacted ecosystems. 2022 JFSP is projected to continue to fund research related to managing wildland fuels, fires and fire impacted ecosystems.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are peer-reviewed. The twelve person Joint Fire Science Program Governing Board will analyze peer review comments and make all funding decisions. General criteria used to select assistance proposals are based on their direct relationship to federal wildland fire management, qualifications of principal investigator and institution, and a balanced review including relevance to program objectives, merit and cost effectiveness. Joint Fire Science Program proposals will be reviewed for relevancy in relation to the posted announcement for proposals task statement, scientific methods and study design, science deliverables, collaboration/leverage, and administrative adequacy. Successful proposals will provide a strong science delivery and application plan that clearly demonstrates the use of both passive and active technology transfer methods.

15.233 Forest and Woodlands Resource Management

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976 (FLPMA) 43 USC Code 1737.(b). Watershed Restoration and Enhancement Agreements 16 USC Code 1101 (b). Stewardship End Results Contracting Projects, 16 USC Code 6591c.(c).

OBJECTIVES:

The Bureau of Land Management (BLM) Forest and Woodland Resource Management Program manages and conserves 58 million acres of forest and woodland in 12 western States and Alaska. These forests provide a range of ecosystem services including wildlife habitat, hydrologic function, and sustainable harvest of forest products valued by society. A primary activity of the program is to maintain and improve the resilience of forest and woodland ecosystems to wildfire, insects, disease, and drought through density management using timber sales, and Stewardship agreements. The goals of the program is to implement science-based forest restoration projects to improve forest health and resilience to wildfires, insects, disease, and drought. Sustainable harvest of forests and woodlands to produce a continuous supply of wood products and biomass for renewable energy. Salvage dead and dying timber to reduce fuels, in balance with the need for wildlife habitat, watershed function, and soil stability, while supporting local economies. Provide the public with commercial and personal use opportunities to harvest products such as firewood, Christmas trees, boughs, greenery, medicinal plants, fence posts, and pinyon pine nuts from forests and woodlands. Removal of beetle-killed timber to reduce the risk of catastrophic fire and minimize risks to the recreating public.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are limited to forestry projects on public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska. Stewardship projects must meet criteria established in the respective statutes.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public

institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Anyone/general public; Anyone/General public.

Credentials/Documentation:

Not applicable. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative forestry project proposals should be coordinated with Bureau of Land Management local State or District Office. State plan is not required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the funding opportunity notice and must be submitted through www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions for most projects. However, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Not required, unless stated in the Notice of Award. Not required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submitted at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar days after the award end date. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar days after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302. 14-1108-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,167,818.00; FY 21 est \$1,167,818.00; FY 22 est \$500,000.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$1,000 to \$450,900. Average amounts approximately \$50,000 or less.

TAFS Codes:

14-1108. 14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Continued to use agreements including Good Neighbor to implement restoration and fuels reduction treatments across forest and woodlands. Projects included forest thinning to improve resilience to wildfire, insect, and disease, hazardous fuels reduction treatments, chemical treatment of invasive weeds, and vegetation treatment to improve wildlife habitat. Fiscal Year 2021: Projects to protect and restore whitebark pine. Treatment included thinning competing tree species around whitebark pine (daylighting) to promote fire resilience and tree vigor. Whitebark pine stands were also protected using verbenone to repel insect attack. Project partner training workshops on aspen restoration, protection, and management. Fiscal Year 2022: Expect to treat forest and woodlands to improve resilience and to increase efforts toward reforestation of lands impacted by high severity wildfire and insect epidemics. Part of this effort will entail identifying BLM lands where there has been a loss of forest and woodland cover.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Forest, Rangeland, & Plant Conservation (HQ 200),
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: mdraper@blm.gov
Phone: (208) 373-3812
Fax:

Website Address:

http://www.blm.gov/wo/st/en/prog/more/forests_and_woodland.html.

RELATED PROGRAMS:

15.237 Rangeland Resource Management;

EXAMPLES OF FUNDED PROJECTS:

2020 The BLM supported a number of projects in FY2020 including projects to protect and restore whitebark pine. Treatment included thinning competing tree species around whitebark pine (daylighting) to promote fire resilience and tree vigor. Whitebark pine stands were also protected using verbenone to repel insect attack. Another example project included technical assistance with training on aspen restoration, protection, and management. 2021 BLM continued to seek projects to treat forest and woodlands to improve resilience and expects to increase efforts toward reforestation of lands impacted by high severity wildfire and insect epidemics. Part of this effort will entail identifying BLM lands where there has been a loss of forest and woodland cover. In addition, the BLM is seeking proposals from Indian tribes that are eligible under the Tribal Forest Protection Act and Tribal Biomass Demonstration Projects. 2022 Projects in FY2022 will continue to build on FY2021 and those priorities.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.234 Secure Rural Schools and Community Self-Determination

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, Title II, as reauthorized by: the Emergency Economic Stabilization Act of 2008, Public Law 110-343; the Moving Ahead for Progress in the 21st Century Act, Public Law 112-141; the Helium Stewardship Act, Public Law 113-40; and then reauthorized in 2015 under Public Law 114-10. Note that PL 113-40 expired on September 30 2015.,

OBJECTIVES:

This program continues to advance the Administrations priorities to create a conservation stewardship legacy while generating revenue and utilizing our natural resources. BLMs continued commitment to reduce and streamline its processes and procedures to serve its customers and the public better and faster across all its program areas. These reforms allow the Bureau to realign time and resources to completing important on-the-groundwork. To restore stability and predictability to the annual payments made to States and counties containing National Forest System lands and Oregon and California and Coos Bay Wagon Road lands managed by the Bureau of Land Management for use by the counties for the benefit of public schools, roads, and other purposes.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Training

USES AND USE RESTRICTIONS:

Project funds shall be expended solely on projects that meet the requirements of Secure Rural Schools and Community Self-Determination Act of 2000, Public Law

ASSISTANCE LISTINGS DESCRIPTIONS

106-393, as reauthorized by the Emergency Economic Stabilization Act of 2008, Public Law 110-343, the Moving Ahead for Progress in the 21st Century Act, Public Law 112-141 the Helium Stewardship Act, Public Law 113-40, and Public Law 114-10. Project funds may be used for the purpose of entering into and implementing cooperative agreements with willing Federal agencies, State and local governments, private and nonprofit entities, and landowners for protection of restoration, and enhancement of fish and wildlife habitat, and other resource objectives consistent with the purposes of the law on Federal land and on non-federal land where projects would benefit these resources on Federal land. For more specific information contact the headquarters office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State and local governments, public nonprofit institutions/organizations, other public institution/organization, private nonprofit institution/organization, other private institution/organization and landowners.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local program requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with this application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written technical and cost proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. Title II Project Application Information is available from the BLM Western Oregon Districts or their websites. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Bureau of Land Management District staff screen applications to ensure they meet project criteria. The projects are then forwarded to the Resource Advisory Committees whose members consider each project for funding and make recommendations to the Designated Federal Officials by September 30 for each fiscal year. Final budget approval authority rests with the Bureau of Land Management Designated Federal Official.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Projects are approved by the Designated Federal Official at their sole discretion. Within 30 days after making a rejection decision, the Designated Federal Official

shall notify the Resource Advisory Committee in writing with the reasons for the rejection.

Appeals:

Other. None. Final award decisions are not subject to appeal, however, the Bureau of Land Management will provide all applicants with information regarding why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions. However, most projects are funded on a year to year basis and no more than five years. Funds are expended during a particular fiscal year.

Most awards are anticipated within 90 days or less after the announcement closes.

Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award. Not required, unless stated in the Notice of Award. SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5485-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 Not Available; FY 21 est \$4,500,000.00; FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

Past partnership projects have run between \$1,100 to \$596,700. Average amounts run about \$83,600 or less.

TAFS Codes:

14-5485.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Congress didn't allocate any fund for the Secure Rural School (SRS) program in FY 20 Fiscal Year 2021: BLMs continued commitment to reduce and streamline its processes and procedures to serve its customers and the public better and faster across all its program areas. These reforms allow the Bureau to realign time and resources to completing important on-the-groundwork. Fiscal Year 2022: The program's goal is to continue funding projects for road and trail maintenance, Forest health improvement; watershed restoration & maintenance; soil productivity improvement and control of noxious weeds, fish habitat restoration; wildlife habitat restoration; reestablish native species and conservation

ASSISTANCE LISTINGS DESCRIPTIONS

education and fuels management/prevention

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 106-393 as amended by Public Law 110-343, and the Resource Advisory Committee Charters basically guide BLM's Secure Rural Schools Program. For links to the Law and additional information see website <http://www.blm.gov/or/rac/ctypayhistory.php>.

Regional or Local Office:

See Regional Assistance Locations. Secure Rural Schools Act Coordinator (OR931), Oregon State Office, Bureau of Land Management, 1220 S.W. 3rd Ave., P.O. Box 2965, Portland, OR 97204. Telephone: (503) 808-6234. E-mail: rschultz@blm.gov.

Headquarters Office:

Division Chief,
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: jbowmer@blm.gov
Phone: 202-912-7220
Fax:

Website Address:

<http://www.blm.gov/or/rac/ctypayhistory.php>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Congress didn't allocate any funds for the Secure Rural School (SRS) program in FY 20. 2021 OR/WA - Yellow Creek Instream Design and Technical Assistance, Partnership for the Umpqua Rivers Inc, Spencer Creek Instream Restoration, Smith River Watershed Council, Coastal Native Seed Collection, Tillamook Estuaries Partnership, Utilizing Local Seed Growers, Understory Initiative, Finn Rock Restoration, McKenzie River Trust Inc., Yellowtuft Alyssum, Cultural & Ecological Enhancement Network, Annie Creek Fish Passage and Screening, Trout Unlimited 2022 Projects in FY2022 will continue to build on the FY2021 projects.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to approve project proposals are: The project complies with all applicable Federal laws and regulations; the project is consistent with the applicable resource management plan and with any watershed or subsequent plan developed pursuant to the resource management plan and approved by the Secretary of the Interior; the project has been recommended by the Resource Advisory Committee in accordance with section 205 of the Act; a project description has been submitted by the Resource Advisory Committee to the Secretary of the Interior; and the project will improve the maintenance of existing infrastructure, implement stewardship objectives that enhance forest ecosystems and restore and improve land health and water quality.

15.235 Southern Nevada Public Land Management

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901 Public Law 105-263, as amended; Ivanpah Valley Airport Public Lands Transfer Act of 2000, Public Law 106-362; Clark County Conservation of Public Land and National Resources Act of 2002, 16 U.S.C. 460qqq, Public Law 107-282; Department of the Interior and Related Agencies Appropriation Act of 2004, Public Law 108-108, Section 147, Title I, Section 146; Lincoln County Conservation, Recreation and Development Act of 2004, 16 U.S.C. 1241, Public Law 108-424, Tax Relief and Health Care Act of 2006, Public Law 109-432, Title III, Subtitle H, Sec. 382, Amendments to the Southern Nevada Public Land Management Act of 1998, Omnibus Public Land Management Act of 2009, Public Law 111-11, Title II, Subtitle G, Sec. 2601(g) Availability of Funds, Section 4(e) of the Southern Nevada Public Land Management Act of 1998; Carl Levin and Howard P. Buck

McKeon National Defense Authorization Act for Fiscal Year 2015, Public Law P.L.113291; and Federal Noxious Weed Act of 1974, 7 USC 2814, Public Law 93-629, as amended by Public Law 101-624 Section 2814., Federal Land Policy Management Act (FLPMA) of 1976 Section 307(b) 43 USC Code 1737(b).

OBJECTIVES:

The Southern Nevada Public Land Management Act (SNPLMA) advances the Department of the Interior's role as stewards of the public lands by cultivating community-based conservation and partnerships. This program provides support and guidance for carrying out the expenditure of appropriated funds generated from the sale of public lands in the valley of Las Vegas, Nevada. In part, the SNPLMA funds are used for the development of Parks, Trails, and Natural Areas in Clark, Lincoln, and White Pine counties, and Carson City, all of Nevada; development and implementation of a multi-species habitat conservation plan in Clark County; and development and implementation of comprehensive, multijurisdictional, cost-effective hazardous fuels reduction and wildfire prevention plans in the Lake Tahoe Basin, the Carson Range in Douglas and Washoe counties and Carson City, and the Spring Mountains of Nevada. The program will focus funded partnerships on key activities in support of the Department of the Interior's priorities to address the climate crisis, restore balance on public lands and waters, advance environmental justice, strengthening the government-to-government relationship with sovereign Tribal Nations, and invest in a clean energy future, where there is clear alignment of bureau priorities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cooperative projects are restricted to the development of parks, trails, and natural areas on lands owned by local governments and units of as designated in Public Law 105-263, as amended, in Clark County, Lincoln County, White Pine County, and Carson City, Nevada, to the development and implementation of the Multi-Species Habitat Conservation Plan in Clark County, and, to the development and implementation of comprehensive, cost-effective, multijurisdictional hazardous fuels reduction and wildfire prevention plans, including sustainable biomass and biofuel energy development and production activities, for the Lake Tahoe Basin (Nevada and California), for the Carson Range in Douglas and Washoe Counties, and Carson City in the State of Nevada, and that are: subject to approval by the Secretary of the Interior; and not more than 10 years in duration; and as further in the Southern Nevada public Land Management Act Implementation Agreement. For more specific information contact the regional or headquarters office.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Local governments and regional government entities within the State of Nevada as specifically identified in Public Law 105-263, as amended.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management Nevada State Office.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart CPre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget

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spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For any grants awarded as a component of any project, approved by the Secretary of the Interior, and funded under Public Law 105-263 and subsequent amendments, for any eligible beneficiary entity, all applicable Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after announcement closes. Projects are approved in accordance with Public Law 105-263, as amended, and as further delineated in the Southern Nevada Public Land Management Act Implementation Agreement. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Not required, unless stated in the Notice of Award. SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5232-0-1-000. 14-5232-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$27,988,192.00; FY 21 est \$250,000.00; FY 22 est \$163,603,662.00;

Range and Average of Financial Assistance:

Past partnership projects have been between \$30,000 to \$30,500,000. Average project amount is \$2,500,000 or less.

TAFS Codes:

14-5232.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, recipients of SNPLMA funds closed 50 projects approved in prior years. These completed projects led to the creation of local parks, trails, and natural areas; the implementation of conservation and restoration of habitat; and hazardous fuels treatments. Fiscal Year 2021: In FY 2021, recipients of SNPLMA funds plan to closed four projects approved in prior years. Completed projects will lead to the construction or improvement of 23 miles of recreational roads, trails or routes; hazardous fuels treatment of 67 acres; installation of several new interpretive signs; and the treatment or enhancement of 5 acres of habitat. Fiscal Year 2022: In FY 2022, recipients of SNPLMA funds plan to closed between 5 and 20 projects approved in prior years. Completed projects will lead to the creation and/or updates to local parks, trails, and natural areas; reduction of hazardous fuels; and treatment or enhancement of habitat.

REGULATIONS, GUIDELINES, AND LITERATURE:

All guidance for this program rests with the Southern Nevada Public Land Management Act of 1998, as amended, Public Law 105-263, and can be found on website <http://www.nv.blm.gov/snplma/>.

Regional or Local Office:

See Regional Assistance Locations. SNPLMA Business Manager, Nevada State Office, Bureau of Land Management, 1340 Financial Blvd., P.O. Box 12000, Reno, NV 89520-0006. Telephone: (775) 861-6613. E-mail: @blm.gov.

Headquarters Office:

Special Legislation Program Manager,
1340 Financial Blvd,
Reno NV 895200006
Email: rmobley@blm.gov
Phone: (702)-515-5116
Division of SNPLMA,
701 N Torrey Pine Dr,
Las Vegas NV 89130
Email: rwandel@blm.gov
Phone: 702-515-5116
Fax:

Website Address:

<http://www.nv.blm.gov/snplma/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Adaptive-Use Park- Home Park; Bunkerville Park Improvements; Covered Species Surveys & Refinement; Desert Tortoise Monitoring on Translocate; Evaluating Desert Tortoise Habitat Restoration; Hollywood Regional Park Walking Trail; Jack Caylor Park Phase II; Kiel Ranch Historic Park Phase IV; Milestone Park Phase 1; Pueblo Trail Enhancements; Ruth Memorial Mining Park; Southwest Regional Sports Park; Lincoln County Fairgrounds Park Phase 3; White Pine County Courthouse Park Improvement and Harris Marion Park and Trailhead 2021 Tahoe Douglas Fire Adapted Communities This project will support hazardous fuels reduction and wildfire prevention through community-wide defensible inspections, rebate programs, consultations, chipping services, pile burning, composting, and community workdays in Douglas County, Nevada. 2022 Funding may include 1) various amenities for parks, 2) planning and implementation of hazardous fuels reduction projects, and 3) implementation of projects for the improvement in wildlife habitat.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility

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requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening includes, but is not limited to: Program and/or legislative authority requirements are defined in the Southern Nevada Public Land Management Act of 1998, Public Law 105-263, as amended.; Submission is timely; and complete and properly executed SF-424 application package documents. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations, the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory, or other requirements

15.236 Environmental Quality and Protection

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act (FLPMA) of 1976, 43 USC Code 1737(b). Watershed Restoration and Enhancement Agreements, 16 USC Code 1011, Public Law 104-208, Section 124, as amended Public Law 105-277, Section 135; and Consolidated Appropriations Act of 2008, Public Law 110-161, Division F, Section 125.

OBJECTIVES:

The Bureau of Land Management (BLM) and its cooperative government and nongovernmental conservation partners, mitigate hazards to protect public health and safety, and restore watersheds for resources, recreation, fish, wildlife and domestic animals, and return lands to productive uses including, but not limited to, recreation, fish and wildlife habitat, and preservation of historical/cultural resources. Extractive industry activities of the past, including both underground and surface mining and oil and gas drilling, have left degraded watersheds on public lands throughout (State). Unreclaimed sites pose an environmental threat to groundwater, surface water and soil as well as create a risk to the health and safety of public land users. Mitigation measures are implemented through core programs such as: 1). Abandoned Mine Land (AML) program, which addresses physical safety hazards and water quality through restoration of abandoned hardrock mines. 2). Orphan Well Program, which remediates oil and gas wells improperly abandoned, and seeks to plug them in accordance with state regulations and rules; 3). Educational outreach about the potential dangers posed to the public and recreationists from AML and orphaned well sites. This program continues to advance the Department of the Interiors priorities to address the climate crisis, restore balance on public lands and waters, advance environmental justice, and invest in a clean energy future. The BLMs AML Program and Orphan Well Project support these priorities by focusing efforts in the following areas: 1) environmental compliance, and the identification, inventory, cleanup and remediation of legacy contaminated sites and features; 2) immediate response to releases of hazardous substances, wastes and materials onto public lands; 3) mitigation of priority AML and Orphan Well contaminated and physical safety sites and features; 4) improving all BLM environmental programs via an environmental management system including environmental audits; and, 5) providing program training and awareness. Through these focused efforts, BLM will also strengthen Environmental Justice (EJ) actions to ensure fair treatment and meaningful involvement of EJ and disadvantaged communities/stakeholders during the CERCLA and RCRA planning and cleanup processes. The AML Program and Orphan Well Project will contribute to the conversation and restoration of approximately 30% of our lands and waters by the year 2030 by reducing the contamination levels. Additionally, this will help ensure that public land users are not at risk from the dangers of legacy mining and oil and gas activities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Partnership projects are limited to public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska unless other specific legislative authority exists. Hazmat and Abandoned Mine Land projects will support: inventory and site assessments; mitigation, remediation, and restoration activities; and maintenance and monitoring of actions taken. Funded projects will protect public health, safety and environmental resources by eliminating contamination and hazards and restoring natural resources. The Soil, Water and Air Program Support: soil survey and interpretation, ecological site determination, soil erosion control, and sustaining soil productivity; acquisition of water rights and inventory of water resources to assure availability of water to meet management objectives; monitoring and improvement of quality, and implementation of appropriate best management practices; Colorado River Basin salinity control activities; and air resource management including air quality, visibility, noise, climate, and climate change issues.

Applicant Eligibility:

Anyone/general public; Anyone/General public.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information on local requirements, cooperative rangeland project proposals should be coordinated with Bureau of Land Management local State or District Office.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov. State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

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are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Not required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-000, 14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,403,010.00; FY 21 est \$2,403,000.00; FY 22 est \$2,403,000.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$1,000 to 1,762,000. Average amount is \$62,700 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY2020, the BLM 1644 Abandoned Mine Lands (AML) program successfully utilized grants and agreements with state agencies, NGOs, other Federal Agencies, etc., to complete the following types of AML program-specific work: AML physical safety site inventory; AML physical safety closure; AML environmental cleanup; Threatened & Endangered species surveys; Cultural surveys and Wildlife and Bat surveys and counts Studies. Because of the remoteness of most BLM AML sites, work is often combined. For instance, the survey work for plants and wildlife can be done at the same time.

Accomplishments for FY2020 for AML program-specific work were as follows: Wildlife, Bat, and Threatened & Endangered Species Surveys / 145; AML site surveys / 365; AML site studies / 12 AML physical safety closures / 135; AML environmental cleanups / 5. Fiscal Year 2021: BLM will partner with organizations to accomplish the following types of activities: Identify and prioritize abandoned mines and orphaned wells that most affect at-risk resources and functioning ecosystems; Prioritize orphaned well site physical safety closure projects in a manner that is centrally focused on achieving cost-savings; Develop methods to reclaim and restore sites impacted by past mining and/or drilling; Reclaim abandoned mine lands to productive uses including, but not limited to, recreation,

fish and wildlife habitat, and preservation of historical/cultural resources; Reduce inventory of unreclaimed abandoned mines and orphaned wells; Reduce liabilities by eliminating or reducing risks posed by abandoned mines and orphaned wells; Reduce environmental degradation caused by abandoned mines and orphaned wells; Establish partnerships, where possible, with States, local governments, Tribes, and voluntary environmental and citizen groups to pool resources and expertise to address abandoned mines; Integrate abandoned mine land support into land use planning efforts; Apply the "polluter pays" principle applied to achieve cost avoidance/cost recovery for funding AML projects wherever possible; Assure that remediation actions are effective and that lessons learned through post-project monitoring and study benefit risk- and pollution-reducing efforts; Educate the public about the potential dangers posed by abandoned mines and the actions the BLM takes to address those dangers; Provide public outreach and education to interested stakeholders about the potential dangers posed by abandoned mines and orphan wells. Fiscal Year 2022: BLM project to accomplish the same types of activities as in FY21 and support DOI priorities by Identify and prioritize abandoned mines and orphaned wells that most affect at-risk resources and functioning ecosystems; Prioritize orphaned well site physical safety closure projects in a manner that is centrally focused on achieving cost-savings; Develop methods to reclaim and restore sites impacted by past mining and/or drilling; Reclaim abandoned mine lands to productive uses including, but not limited to, recreation, fish and wildlife habitat, and preservation of historical/cultural resources; Reduce inventory of unreclaimed abandoned mines and orphaned wells; Reduce liabilities by eliminating or reducing risks posed by abandoned mines and orphaned wells; Reduce environmental degradation caused by abandoned mines and orphaned wells; Establish partnerships, where possible, with States, local governments, Tribes, and voluntary environmental and citizen groups to pool resources and expertise to address abandoned mines; Integrate abandoned mine land support into land use planning efforts; Apply the "polluter pays" principle applied to achieve cost avoidance/cost recovery for funding AML projects wherever possible; Assure that remediation actions are effective and that lessons learned through post-project monitoring and study benefit risk- and pollution-reducing efforts; Educate the public about the potential dangers posed by abandoned mines and the actions the BLM takes to address those dangers; Provide public outreach and education to interested stakeholders about the potential dangers posed by abandoned mines and orphan wells.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Bureau of Land Management environmental quality and protection programs can be found at www.blm.gov, including: the Cooperative Conservation Based Strategic Plan for the Abandoned Mine Lands Programs, Abandoned Mine Land Program Policy Bureau of Land Manual 3720 and Handbook H-3720-1, Hazard Management and Resource Restoration Manual 1703, and CERCLA Response Actions Handbook H-1703-1. Manuals providing basic program policy guidance for the Soil, Water and Air Program are found in Bureau of Land Management Manual 7000 series and may be obtained at www.blm.gov.

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Division of Wildlife, Aquatic & Environmental Protection,
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: selee@blm.gov
Phone: (202) 912-7274
Fax:

Website Address:

<http://www.blm.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 CO Abandoned Mine Lands Reclamation Project; WY State of Wyoming Abandoned Mine Land Coordination; CO Abandoned Mine Lands Reclamation Project - Gunnison Field Office; CO Abandoned Mine Lands Reclamation Project; NM Orphaned Wells Plugging and Mitigation Program; and CA Abandoned Mine Lands Inventory and physical Safety Hazard Closure 2021 BLM will continue to support projects as identified in prior year e.g., CO Abandoned Mine Lands

ASSISTANCE LISTINGS DESCRIPTIONS

Reclamation Project; WY State of Wyoming Abandoned Mine Land Coordination; CO Abandoned Mine Lands Reclamation Project - Gunnison Field Office; CO Abandoned Mine Lands Reclamation Project; NM Orphaned Wells Plugging and Mitigation Program; and CA Abandoned Mine Lands Inventory and physical Safety Hazard Closure 2022 Project to be funded will support 1 of the 3 activities 1. Abandoned Mine Land (AML) program, which addresses physical safety hazards and water quality through restoration of abandoned hardrock mines. 2. Orphan Well Program, which remediates oil and gas wells improperly abandoned, and seeks to plug them in accordance with state regulations and rules; or 3. Educational outreach about the potential dangers posed to the public and recreationists from AML and orphaned well sites.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.237 Rangeland Resource Management

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976 (FLPMA), 43 USC Code 1737(b). Public Law 104-208, Section 124, as amended by PL 105-277, Section 136, as amended by PL 108-7, Section 135., Watershed Restoration and Enhancement Agreements (aka The Wyden Amendment), 16 USC Code 1011.

OBJECTIVES:

The Bureau of Land Management (BLM) Rangeland Management Program administers grazing for more than 155 million acres of public land. This includes, but is not limited to, such things as inventorying, controlling and managing noxious weeds and invasive species; improving rangelands through grazing management, vegetation restoration treatments, and grazing management structures; and soil resource management. Coordination with land managers and other stakeholders is conducted to complete priority soil surveys, ecological site descriptions, and on-the-ground projects to improve soil stability and reduce erosion. Appropriate management of rangeland and soil resources also support actions and authorizations that include, but is not limited to, such things as endangered and special status species recovery, grazing of domestic livestock, recreation, forest management, hazardous fuels reduction, and post fire rehabilitation. To better support land management decisions regarding grazing and other range management treatments, soils management, and invasive species, the BLM will place a priority on collecting data through the use of consistent, comparable, and common indicators, consistent methods, and an unbiased sampling framework which will allow for analyses that are repeatable and comparable across a region, and decisions based on science and data that are legally defensible. Land health assessments completed at a larger scale will be more efficient and less costly over time and provide timely support for decision-making. The Rangeland Management program conducts inventories, assessments and evaluations of soil and vegetation conditions and land health. Monitoring data is collected and analyzed to ensure progress toward meeting land health standards. Funded projects under this program will focus on high priority work such as activities that support maintaining or achieving land health and productivity, increasing carbon sequestration, and

creating resilient landscapes to benefit current and future generations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are limited to rangeland projects on public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska.

Applicant Eligibility:

Anyone/general public; Not applicable.

Beneficiary Eligibility:

Anyone/general public; Not applicable.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with this application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via a hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a

ASSISTANCE LISTINGS DESCRIPTIONS

one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and fund availability. Method of awarding/releasing assistance: other

Reports:

Not required unless otherwise stated in the Notice of Award Not required unless otherwise stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,546,736.00; FY 21 est \$2,546,736.00; FY 22 est \$2,546,736.00;

Range and Average of Financial Assistance:

Past partnership projects have been awarded between \$7,000 to \$501,000. Average amounts run about \$57,891 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: CO Strengthening Partnerships for Wildlife Resource Management on Colorado's Public Lands, Colorado State University-Pueblo, NM Monitoring Soil Properties and Re-vegetation Success of Creosote Thinning in a Northern Chihuahuan Desert Grassland, New Mexico Institute of Mining and Technology, MT Rare Carnivore Monitoring in the Southwest Crown, Montana Swan Valley Connections. Fiscal Year 2021: Vegetation monitoring, soils mapping and development of ecological site descriptions, range improvement project development and layout, cultural surveys and assessments, data collection and monitoring. Fiscal Year 2022: The program will continue to focus on vegetation monitoring, Vegetation restoration treatments, Soils mapping and development of ecological site descriptions, project development and layout, cultural survey and assessment, data collection and monitoring.

REGULATIONS, GUIDELINES, AND LITERATURE:

Bureau of Land Management's Rangeland and Management program is generally guided by the provisions in 43 CFR Part 4000. Public Rangelands Improvement Act of 1978, 43 U.S.C. 1906, Public Law 95-514; and Taylor Grazing Act of 1934, 43 U.S.C. 315a, as amended, Endangered Species Act, 16 USC 1535, National Environmental Policy Act (NEPA) BLM Handbook 1790-1, National Historic Preservation Act of 1966, 16 USC 470, as amended through December 19, 2014, as codified in Title 54 USC, Subtitle III, Division A, Subdivision 2 Chapter 3023 302304. Contracts and cooperative agreements, (b) 4. National Historic

Preservation Act of 1966, 16 USC 470, as amended through December 19, 2014, as codified in Title 54 USC, subtitle III, Division A, Subdivision 2 Chapter 3027Historic Preservation Programs and Authorities for Indian Tribes and Native Hawaiian Organizations.A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals and handbooks providing basic program operational guidance for Rangeland Management are found in BLM Manuals 4000 series and may be obtained by contacting the Headquarters Office.

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Senior Weeds Specialist, Division of Forest, Rangeland, and Plant Conservation, 760 Horizon Drive, Suite 324, Grand Junction CO 81506
Email: mdraper@blm.gov
Phone: (208) 373-3812

Fax:

Website Address:

<https://www.blm.gov/programs/natural-resources>

RELATED PROGRAMS:

15.224 Cultural and Paleontological Resources Management; 15.228 BLM Wildland Urban Interface Community Fire Assistance; 15.230 Invasive and Noxious Plant Management; 15.232 Wildland Fire Research and Studies ; 15.233 Forests and Woodlands Resource Management; 15.245 Plant Conservation and Restoration Management; 15.247 Wildlife Resource Management; 15.246 Threatened and Endangered Species;

EXAMPLES OF FUNDED PROJECTS:

2020 NM Update soil surveys and develop ecological site descriptions, vegetation treatment as part of Restore NM, Watershed Assessments in SD, AZ Support for implementing vegetation and restoration projects. 2021 ID Care-Share Outreach & Idaho Rangeland Outdoors Activities Mobile Education Projects, Rangeland and Resources Commission, NM Restore New Mexico, New Mexico Association of Soil and Water Conservation District, AZ Rangeland Resource, Arizona Association of Conservation Districts. 2022 Projects to be funded in FY22 will continue to focus on achieving land health and productivity, increasing carbon sequestration, and creating resilient landscapes to benefit current and future generations.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review.Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.govThird Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions.

15.238 Challenge Cost Share

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Department of the Interior and Related Agencies Appropriation Act for Fiscal Year 1991, Public Law 101-512, Title I,

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The Challenge Cost Share Program works through cooperative partners to help accomplish high priority work to support habitat improvement, comprehensive travel management, recreation and cultural projects. The types of projects that can be funded include monitoring and inventory of resources; implementing habitat improvement or protection projects; developing threatened and endangered species recovery plans; protecting, stabilizing or documenting cultural resources; travel management, including managing off-highway vehicle use; and providing enhanced recreational experiences, including visitor services, information, and facilities for public health and safety.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All projects are restricted to public lands administered by the Bureau of Land Management or that directly benefit public lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to leverage funds with partners and other external funding sources to enhance the Bureau of Land Management's ability/capacity to survey, monitor and inventory resources; to restore and maintain land in a healthy condition; to support threatened and endangered species management; to manage heritage resources; to enhance recreational experiences; provide visitor services or facilities; to conduct public outreach and education projects; and to support emerging partnership opportunities.

Applicant Eligibility:

Anyone/general public; Not applicable.

Beneficiary Eligibility:

Anyone/general public; Not applicable.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information on local requirements, cooperative projects proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with the application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov. State plan is not required for this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their

proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This program has statutory requirement for non-federal cost share funds or in-kind service requirements. Funds are required to be cost-shared one non-federal dollar for each federal dollar per State office. The matching funds must be non-federal in origin. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most projects are awarded for one to five year period and funded on a year-by-year basis. Funds are expended during the year awarded although carry-over monies can be distributed to additional years or to projects that have been pre-approved. No commitment is made to fund projects beyond one year and there is no set, historical allocation to each State. New and continuing projects will be re-evaluated every other year based on performance, merit, and funding availability. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the notice of award, recipients shall submit at the minimum the following reports on an annual basis within 90 days after the anniversary date: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. The notice of award will detail all financial, performance, and other specific report requirements, including frequency and due dates. Upon completion of the agreement, recipients shall submit a final report no later than 90 calendar day after the award end date: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302. 14-1109-0-1-000.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Past partnership projects have been between \$5,000 to \$182,000 depending on the policies of that particular state and the money available. Average amounts are \$25,100 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, manuals and information about the Bureau of Land Management fish, wildlife, botany, recreation and cultural programs can be found at website <http://www.blm.gov/>.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Division Chief,
Division of Lands and Realty, Bureau of Land Management (WO 350), 20 M Street, SE, Room 2134,
Washington DC 20003
Email: kim_berns@blm.gov
Phone: 2029127350

Fax:

Website Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 This program will not be funded in FY20 2021 This program will not be funded in FY21 2022 This program will not be funded in FY22

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening --Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.239 Management Initiatives

BLM Experienced Services Program,

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Title H.R.- 133 CONSOLIDATED APPROPRIATIONS ACT, 2021, Part Division G, Title 1, Section 111, Public Law 116-260

OBJECTIVES:

To provide a variety of Bureau of Land Management financial assistance awards not covered by any other program entry. This program will support mission program efforts for the management, protection, and development of public lands managed by the Bureau of Land Management and continues to advance the Department of the Interior's priorities to address the climate crisis, restore balance on public lands and waters, advance environmental justice, and invest in a clean energy future. Awards are typically supported by funding one-time specific legislation and internal projects and programs. During FY 21 this program covered the Experienced Services Program (ESP), which provides services to the Bureau of Land Management (BLM) Offices on projects that support the BLMs mission. ESP participants provide technical assistance and support to BLM in the development and execution of activities that benefit the general public and provide support to BLM employees. The program will provide opportunities to qualified individuals 55 years of age and older, who have specific technical skills and abilities not available or underrepresented at current BLM staffing levels. The ESP participants will not replace or displace current BLM employees.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Recipients will use funding to cover overhead or administrative costs to fulfill its obligations under the cooperative agreement, as well as salary and benefits for enrollees. Overhead or administrative costs may include payroll and benefits management, required reporting, and providing interactive portal for enrollee supervisors, among others. Authorizing legislation (P.L. 116-260, Sec. 111) specifies that Program activities may not: (1) result in the displacement of individuals currently employed by the Department of the Interior, including partial displacement through reduction of non-overtime hours, wages, or employment benefits; (2) result in the use of an individual under the Department of the Interior Experienced Services Program for a job or function in a case in which a Federal employee is in a layoff status from the same or substantially equivalent job within the Department; or (3) affect existing contracts for services.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individuals (Americans, age 55 years and older) who qualify for temporary positions, as described in approved position descriptions provided by the BLM. These individuals may include Federal pension recipients

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart C Pre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project, but should not be more than five years. Further information will be available for each program at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal. The Bureau of Land

ASSISTANCE LISTINGS DESCRIPTIONS

Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements with successful applicants will be entered into between 90-120 from notification of potential award. Method of awarding/releasing assistance: After award, funds are distributed to the recipient through ASAP. Method of awarding/releasing assistance: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Not required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-000. 14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,599,963.00; FY 21 est \$3,599,963.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Awards range between \$5,000 to \$140,000. Average amounts are \$75,000 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Experienced Service Program recruits individuals 55 and older to provide technical support of the BLM priority multiple use related programs. 8 new project positions were selected in FY2020. A total of 43 enrollees on board. Fiscal Year 2021: Experienced Service Program recruits individuals 55 and older to provide technical support of the BLM priority multiple-use related programs. 20 new project positions have been selected in FY2021. Currently a total of 52 enrollees on board. Fiscal Year 2022: These projected accomplishments will be projects for foresters, land surveyors , petroleum engineers, geologists, etc, but reported under BLM's new Assistance Listing number 15.016 - Experienced Services Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program information is included in the Bureau of Land Management Manuals,

available at <http://www.blm.gov>. These documents may also be obtained by contacting the Bureau of Land Management Office listed below.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division of Acquisition and Financial Services,
760 Horizon Drive, Suite 32481506,
Grand Junction CO 81506
Email: mgochis@blm.gov
Phone: (801) 539-4178
Fax:

Website Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

15.011 Experienced Services Program; 15.876 Experienced Services; 15.016 Experienced Services Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Geologist in Worland, WY Assistance in setting up lab equipment and recalibrating to required specifications, Assistance with mineral testing, Training of new geologist to use and calibrate testing equipment, Training of new geologist to test rock samples. Civil Engineering Technician in Medford, OR Assistance in the collection of road assessments contributing to engineering timber sale package data, Experience with forestry management and knowledge of BLM Oregon and California Railroad Revested Lands (O & C Lands) and the BLM Timber Management Program. Archaeologist in Carlsbad, NM, Support the Permian Basin Programmatic Agreement (PBPA), an alternate form of compliance with Section 106 of the National Historic Preservation Act of 1966, as amended, that is offered to the oil and gas industry, potash mining companies, and for other industrial projects located in southeastern New Mexico. 2021 Proposed projects in FY21 are work in AK, CA, CO, DC, MT, NM, NV OR, WY, UT for Cadastral Office Support, Land Law Examiner, Forester, Civil Engineering Technician, Geologist, Archaeologist, Cadastral Office Wilderness Support, Realty Specialist, Land Surveyor, Recreation Specialist, Cartographic Technician, and Sage Grouse Biologist. 2022 At this time it's unknown if BLM will have a special legislation authorities in the future to project out FY22.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations, the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements

638 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements, FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 93-638, Indian Self-Determination and Education Assistant Act 25 USC Code 450.

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

To provide maximum Indian participation in the government and education of the Indian people; to provide the full participation of the Indian tribes in programs and services conducted by the Bureau of Land Management for Indians and to encourage the development of human resources of the Indian people; and to establish program assistance to upgrade Indian education that will support the right of Indian citizens and for other purposes.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Training

USES AND USE RESTRICTIONS:

Contracts, grants and cooperative agreements are authorized for non-construction projects in the seventeen Western states and Alaska for programs and services conducted by the Bureau of Land Management for the benefit of Indian tribes. Programs available to tribes because they deliver services to Indians in their protected status are: cadastral survey and minerals management. Other programs, services, functions and activities administered by the Bureau of Land Management which are of specific geographic, historical, or cultural significance to the Indian tribes are: cultural heritage, forestry management, range management, riparian management, recreation management, and wildlife and fisheries management. Certain restrictions will be applicable based on the specific statutory authority that authorizes the construction activities which are primarily for the benefit of Indians because of their status as Indians.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally recognized Indian tribal governments and any Alaska Native Village, or regional or village corporation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally recognized Indian tribal governments and American Indians.

Credentials/Documentation:

The application for a contract, grant or cooperative agreement must contain an authorizing resolution of the governing body of the Indian tribe. Each contract, grant or cooperative agreement requires project specific authority. Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation. Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contribution of goods and services. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State, or District or Field offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial proposals must contain the information specified in 25 CFR Part 900.8. Proposals shall be submitted to the applicable Bureau of Land Management State Office with responsibility for the specific project for which an Indian tribe or tribal organization proposes to participate. Bureau of Land Management personnel will provide technical assistance to tribes in the development of their proposals as requested, pending availability of appropriations.

Award Procedure:

The Bureau of Land Management and the tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions

based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. The Bureau of Land Management and the tribe also negotiate an annual funding agreement, which identifies activities to be performed, funds to be provided, and the method of payment for the initial year of the PL 93-638 contract. A final agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial annual funding agreement, property list, list of any applicable provisions, terms and conditions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. As specified within 25 CFR Part 900.

Renewals:

Other. Will vary with project and/or award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most projects are awarded for one to five year period and funded on a year-by-year basis. Funds are expended during the year awarded although carry-over monies can be distributed to additional. No commitment is made to fund projects beyond one year and there is no set, historical allocation to each State. New and continuing projects will be re-evaluated every year based on performance, merit, and funding availability. Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award. Method of awarding/releasing assistance: other

Reports:

The reporting of financial status reports and progress reports requirements will be negotiated within the annual funding agreement or overarching terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-000. 14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$761,371.00; FY 21 est \$1,585,232.00; FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

Past partnership projects range between \$12,600 to \$1,302,100. Average amount is \$244,300 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2020: 628 inspections were completed which included 41 Environmental and Production Inspections; 236 Environmental, Idle Well, and Production Inspections; and 51 Environmental, Production, and Workover Inspections. Cadastral Surveys completed covered 69 miles with over 700 monuments recovered or set. Fiscal Year 2021: Cadastral Surveys in FY21 will cover an estimated 200 miles of surveyed line and set and recover between 300 and 400 monuments. Fiscal Year 2022: The program expects to continue with completing cadastral surveys.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act, 25 USC 450, as amended, Public Law 93-638, and 25 CFR Part 900.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division Chief,
Native American Coordinator, 1849 C Street, Room 2134LM,
Washington DC 20240
Email: peuler@blm.gov
Phone: 2029127245
Fax:

Website Address:

<http://www.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 41 Environmental and Production Inspections; 236 Environmental, Idle Well, and Production Inspections; and 51 Environmental, Production, and Workover Inspections. Cadastral Surveys covered 69 miles with over 700 monuments recovered or set. 2021 Cadastral Surveys will cover an estimated 200 miles of surveyed line and set and recover between 300 and 400 monuments. 2022 The program expects to continue with cadastral surveys and setting and recovering monuments.

CRITERIA FOR SELECTING PROPOSALS:

Review and approval of contract proposals is in accordance with 25 CFR Part 900, Subpart D - Review and Approval of Contract Proposals.

15.243 Youth Conservation Opportunities on Public Lands

Youth Conservation Opportunities on Public Lands,

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Lands Corps Act of 1993, 16 USC, Chapter 37, Subchapter II-Public Lands Corps, Section 1721-1729, excluding Section 1725a Direct Hire Authority.,

OBJECTIVES:

BLMs continued commitment to reduce and streamline its processes and procedures to serve its customers and the public better and faster across all its program areas. These reforms allow the Bureau to realign time and resources to completing important on-the-groundwork. This program continues to advance the Administrations priorities to create a conservation stewardship legacy while generating revenue and utilizing our natural resources. BLMs continued commitment to reduce and streamline its processes and procedures to serve its customers and the public better and faster across all its program areas. These reforms allow the Bureau to realign time and resources to completing important on-the-ground work to utilize qualified youth or conservation corps to carry out appropriate conservation projects on public lands, cooperatively with the BLM on cultural and natural resource related conservation projects such as trail development and maintenance, historic, cultural, forest and timber management, minor construction work, archaeological conservation, and native plant habitat restoration and rehabilitation. Promote and stimulate public purposes such as education, job training, development of responsible citizenship, productive

community involvement, and further the understanding and appreciation of natural and cultural resources through the involvement of youth and young adults in the care and enhancement of public resources. Continue the longstanding efforts of the BLM to provide opportunities for public service, youth employment, minority youth development and training, and participation of young adults in accomplishing conservation-related work.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All projects are restricted to lands administered by the BLM. Most of these lands are located in the Western United States and Alaska.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State; Any qualified youth or conservation corps that supports youth career training and development in the areas of appropriate natural and cultural resource conservation projects. A qualified service and conservation corps means any program established by a State, or local government, by the governing body of any Indian tribe, or by a nonprofit organization.

Beneficiary Eligibility:

Youth and local communities that benefit from conservation improvements and involvement in youth programs activities on Bureau of Land Management public lands and facilities.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with Bureau of Land Management local State or District/Field Offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart CPre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, and Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov, including: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement posted on www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. The Public Land Corps Act requires a recipient match of 25%. The Public Lands Corps Act stipulates that the BLM must share the costs of work performed by youth or conservation corps with non-federal sources. Pursuant to 16 U.S.C. 1729, the Secretary of the Interior may not pay more than 75% of the costs of any appropriate conservation project carried out on public lands by a qualified youth or conservation corps. The remaining 25% of costs may be provided from non-federal sources in the form of funds, donations, in-kind services, facilities, materials, equipment, or any combination thereof. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most projects are awarded for one to five year periods and funded on a year-by-year basis. Funds are expended during the year awarded although carry-over monies can be distributed to additional. No commitment is made to fund projects beyond one year and there is no set, historical allocation to each State. New and continuing projects will be re-evaluated every year based on performance, merit, and funding availability. Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Not required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302, 14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,258,556.00; FY 21 est \$3,000,000.00; FY 22 est \$16,000,000.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$2,500 to \$250,000. Average amounts approximately \$150,000 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The BLM's partnerships with youth and conservation corps continue to support the mission-critical ground duties such as repairing and rebuilding recreation infrastructures, conducting research and needs assessments, and supporting many natural and cultural resources programs within BLM. Number of Hires 850 Number of Corps member that participated 1404 Number of participating Youth Corps 32 Number of Projects - 123 Number of hours Worked - 236,581. Number of Work Crews 52 Number of Interns - 60 Fiscal Year 2021: BLM accomplishments will target similar to FY21 with same type of projects. Number of Hires 925 Number of Corps member that participated 1500. Number of participating Youth Corps 30 Number of Projects - 126 Number of hours Worked - 240,152. Number of Work Crews 55 Number of Interns 58 Fiscal Year 2022: Projections for FY22 will be as follows: Number of Hires 1002 Number of Corps member that participated 1600. Number of participating Youth Corps 40 Number of Projects - 155 Number of hours Worked - 280,000. Number of Work Crews 60 Number of Interns - 70

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division of Education, Cultural, and Paleontological Resources,
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: m55brown@blm.gov
Phone: (602) 906-5605

Website Address:

<https://www.blm.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Projects include trail construction and maintenance; habitat improvements such as invasive species removal, fence removal, fence installation, riparian planting; inventory and monitoring of wilderness areas; and support of education and interpretive programming. 2021 Enhancement of recreation opportunities through trail building, maintenance and restoration, and other improvements to visitor and recreation facilities (e.g. kiosks, campgrounds, signage etc.); monitoring riparian area vegetation and hydrological functions, collecting soil and stream data, timber stand improvement projects for wildlife habitat and overall forest health; habitat restoration and wildlife protection, including reduction of invasive species, tree planting, fence removal/installation, riparian area restoration, etc.; development and implementation of natural and cultural resource stewardship plans or educational and informational materials for visitors; performance of studies such as resource inventories, historic or archival research, archaeological digs, oral histories, historic preservation, habitat surveys. 2022 BLM projects to support the Civilian Climate Corps Initiative in which participants would work (A) to conserve and restore public land and water; (B) to increase reforestation; (C) to increase carbon sequestration in the agricultural sector; (D) to protect biodiversity; (E) to improve access to recreation; (F) to bolster community resilience; and (G) to address climate change.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively

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implement statutory, regulatory or other requirements.

15.244 Fisheries and Aquatic Resources Management

Fisheries, Riparian, and Water Resources Management (Aquatic Habitat Management),

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Title John D. Dingell Jr. Conservation, Management and Recreation Act, Part 1 (B) (10), Section 7001, Public Law 116-9, Watershed Restoration and Enhancement Agreements (aka The Wyden Amendment) 16 USC Code 1101. Federal Land Policy and Management Act of 1976 (FLPMA) 43 USC Code 1737 (b).

OBJECTIVES:

The Aquatic Habitat Management (AHM) Program supports the conservation, restoration, and management of riparian, aquatic, and water resources to provide resource values and ecosystem services necessary to achieve BLMs multiple use and sustained yield mandate. The BLMs AHM resources and issues are diverse and include watersheds; riparian-wetland systems; springs, streams, and rivers; ponds and lakes; fisheries and other aquatic dependent species; water quality; water rights and uses; and aquatic invasive species. These systems also support myriad ecosystem services such as drinking water, flood attenuation, drought resilience, pollination, and nutrient cycling. Staff provide professional expertise and policy guidance to BLM managers, Federal, State, Tribal, and local governments, and non-governmental partners to conserve and restore AHM resources and to support the thoughtful implementation of other aspects of BLMs mission.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are primarily conducted on lands administered by the BLM but may also be conducted on other public or private lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to help protect, restore, and enhance aquatic habitats and aquatic ecosystem resources and to provide related public contact/education opportunities. Funding is highly variable each fiscal year.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

N/A 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State, or District or Field offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart CPre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov. State plan is not required for this application.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project, but should not be more than five years. Further information will be available for each program at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions for most projects. However, most projects are funded on a year-by-year basis and funds are expended during a particular fiscal year. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award. Not required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon

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non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,897,432.00; FY 21 est \$3,897,432.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$10,000 to \$1,000,000. Average amounts approximately \$68,300 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Springsnail database development; rare species inventories; working group facilitation; design and implementation of dozens of stream, riparian, and restoration projects, chemical, physical and biological data collection to inform management decisions related to AHM resources. Fiscal Year 2021: Design and implementation of dozens of stream, riparian, and wetland restoration projects; design and printing of over 1 M imprints for aquatic invasive species education and outreach; construction and use of AIS decontamination trailers, signage at access points, and AIS research. Fiscal Year 2022: Design and implementation of dozens of streams, riparian, and wetland restoration projects; design and printing of over 1 million imprints for aquatic invasive species education and outreach

REGULATIONS, GUIDELINES, AND LITERATURE:

The BLM's Fisheries and Aquatic Resources program is generally guided by provisions in 43 CFR Part 6000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for Wildlife and Fisheries Management (BLM Manual 6500) and Aquatic Resource Management (BLM Manual 6720) may be obtained by contacting the Washington Office. Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601, et seq.) Anadromous Fish Conservation Act of 1965 (16 U.S.C. 757, et seq.); Plant Protection Act of 2000 (PL 106-224), Federal Noxious Weed Act of 1974 (Sections 1 and 15), 7 USC 2814; P.L. 93-629, as amended by PL 101-624,

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division of Wildlife, Aquatics & Environmental Protection,
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: selee@blm.gov
Phone: (202) 912-7274

Website Address:

<http://www.blm.gov/nhp/index.htm>

RELATED PROGRAMS:

15.246 Threatened and Endangered Species;

EXAMPLES OF FUNDED PROJECTS:

2020 Trout Unlimited for restoration and conservation planning and implementation; Wildlife Forever for aquatic invasive species education and outreach; American Fisheries Society for employee professional development and intern sponsorship; New Mexico BASS Nation for aquatic invasive species education and control; springsnail surveys, research, and database development; groundwater level and water quality monitoring. 2021 Utah State University for training, design, and implementation support on low-technical, process-based restoration; Trout Unlimited for restoration and conservation planning and implementation; Wildlife Forever for aquatic invasive species education and outreach; Creeks and Communities work; Climate Earth Engine and drought and riparian interactions; water quality monitoring. 2022 Restoring and connecting degraded aquatic resources; Increasing ecosystem resistance and resilience to drought, fires, and floods; Determining acceptable levels of hydrologic and ecological change given BLM management objectives; Advancing inventory, assessment, and monitoring activities and tools; Preventing the establishment and spread of invasive species; and Increasing public knowledge of aquatic habitats on BLM managed lands, including with a targeted focus on communities of color,

low-income families, and rural and indigenous communities.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.245 Plant Conservation and Restoration Management

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976 (FLPMA) 43 USC Code 1737 (b). Watershed Restoration and Enhancement Agreements (aka The Wyden Amendment) 16 USC Code 1011.

OBJECTIVES:

This program continues to advance the Administrations priorities to tackle the climate crisis and the 30 x 30 goals. The Plant Conservation and Restoration Management Program was created in response to large-scale wildfires in the Western U.S. Because of a lack of native seed, in 2001 Congress directed the Bureau of Land Management (BLM) to establish a native plant material program and recommended that federal and non-federal partners coordinate efforts through the Plant Conservation Alliance established in 1994 (House Report 106-914). The Plant Conservation Program provides leadership in identifying, maintaining, and restoring Western native plant communities on public lands. Public lands contain a diversity of wildlife that need habitat of native plant communities comprised of over 50 ecoregions across BLM administered lands. Each ecoregion contains native plants that have adapted to those environments. The Program will continue to work with agencies and partners to increase the efficiency and effectiveness of the Plant Conservation & Restoration Program. Into the future, the BLM will continue to work with partners to focus on more diverse forbs and grasses for restoration of wildlife habitats and rehabilitation after wildfires. BLM is focusing work in areas to facilitate meeting the priorities of the Administration, Secretary, Congressional appropriations, and the Bureau. Accessibility to native plant materials is crucial for the restoration of public lands.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Training

USES AND USE RESTRICTIONS:

Projects are primarily conducted on lands administered by the BLM but may also be conducted on other public or private lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to help protect, restore, and enhance native plant communities and rare plants, and to provide related public contact/education opportunities. No regular discretionary fund is available. Funding is highly variable each fiscal year.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private

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nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Anyone/general public; All Public Land users.

Credentials/Documentation:

Not applicable 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State, or District or Field offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart CPre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions. However, most projects are funded on a year to year basis and no more than five years. Funds are expended during a particular fiscal year. Most awards are anticipated within 90 days or less after the announcement closes Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award Not required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date,

unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$361,125,949.00; FY 21 est \$361,125,949.00; FY 22 est \$361,125,949.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$10,000 to \$1,000,000.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Institute for Applied Ecology, Sagebrush in Prisons - This project workswith19 prisons in 8 western statesto growseedlings for restoration of wildlife and game species habitatsafter wildfire on BLM lands. This is year 2 of a multi-year agreement. NatureServe, Rare Plant Data - This project monitors and updates the status of BLM Special Status Plants. This information will help the Bureau with NEPA compliance and conservation and recovery. Fiscal Year 2021: Institute for Applied Ecology, Sagebrush in Prisons - This project workswith19 prisons in 8 western statesto growseedlings for restoration of wildlife and game species habitatsafter wildfire on BLM lands. Year 3 of a multi-year agreement. NatureServe, Rare Plant Data - Monitor and update the status of BLM special status plants to assist the BLM with NEPA compliance and conservation and recovery. Year 2 of a multi-year agreement. Natural Areas Association, Outreach - Improve technical capacity of BLM staff in managing seed resources and rare and special status plants. This is year 5 of a multi-year agreement. Institute for Applied Ecology, National Native Seed Conference - Improves the technical capacity of BLM staff and increases public awareness for the National Seed Strategy and plant conservation. Austin Peay State University, Pollinator Project - Raise the awareness of both seed collection programs and the relationship between native plants and pollinators. Society for Ecological Restoration, Seed Science and Technology - Improve the technical capacity of BLM staff in all aspects of native plant materials development and restoration. Fiscal Year 2022: The program will continue to work to increase the efficiency and effectiveness of the plant program.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's Plant Conservation and Restoration Program is generally guided by provisions in 43 CFR Part 4000 and Part 6000; as well as the H-1740-2 - Integrated Vegetation Management Handbook, which can be found on the BLM website. A variety of public interest publications on BLM's Plant Conservation and Restoration Program, as well as the National Seed Strategy, are available free of charge by contacting the appropriate State Office; Endangered Species Act of 1973; and National Environmental Policy Act of 1969.

Regional or Local Office:

See Regional Assistance Locations.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Division Chief Forestry, Rangeland, and Plant Conservation,
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: mdraper@blm.gov
Phone: (208) 373-3812

Website Address:

<https://www.blm.gov/programs/natural-resources/native-plant-communities>

RELATED PROGRAMS:

15.230 Invasive and Noxious Plant Management; 15.237 Rangeland Resource Management; 15.244 Fisheries and Aquatic Resources Management; 15.246 Threatened and Endangered Species; 15.247 Wildlife Resource Management;

EXAMPLES OF FUNDED PROJECTS:

2020 CO Plant Conservation and Restoration, NV Eastern Nevada Plant and Seed Collection, Cleaning and Curation, OR/WA Twin Prairie Restoration, UT Canyon County Public Lands Restoration 2021 Restoring or improving wildlife habitat or reducing threats to habitat or species; Developing genetically appropriate native plant material for use in habitat restoration; Inventory and prioritization of plant populations; Implementing and assessing restoration efforts through monitoring; Collaborating with farmers and conservationists to increase BLM Stock and Foundation seed amounts to use on larger Seed Increase IDIQ contracts, Initiating or refining Source Identified Seed Certification programs via partnerships with state seed certifying agencies; Inventorying, monitoring and restoring rare plant species and their associated communities, Increasing and improving pollinator habitat; Expanding public education programs and outreach; Database creation, and management and analyses, including geospatial, collaborative web service or support and training. 2022 Projects to be funded in FY22 will continue in identifying, maintaining, and restoring Western native plant communities on public lands and work with agencies and partners to increase the efficiency and effectiveness of the Plant Conservation & Restoration Program.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.246 Threatened and Endangered Species

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 USC 1737 (b); Watershed Restoration and Enhancement Agreements (aka The Wyden Amendment), 16 USC 1011, PL 104-208, Section 124, as amended by PL 105-277, Section 136, as amended by PL 108-7, Section 135; Plant Protection Act of 2000 (PL 106-224), Federal Noxious Weed Act of 1974 (Sections 1 and 15), 7 USC 2814; P.L. 93-629, as amended by PL 101-624.,

OBJECTIVES:

The T&E Species Program has an important role in furthering the Administrations priorities including restoring landscape connectivity and function, conserving and restoring lands to combat climate change, restoring legacy disturbances, supporting

the Civilian Climate Corps, conserving biodiversity, reducing species extinctions, increasing recovery success, and using the best science and data available to make decisions, provide decision support, and adaptively manage. The BLM, as a federal agency, is required under the Endangered Species Act of 1973 to carry out programs to protect and recover threatened and endangered (T&E) species and the ecosystems upon which they depend and implements tasks identified in T&E recovery plans developed by the U.S. Fish and Wildlife Service (USFWS) and National Marine Fisheries Service. The BLM also implements conservation actions for sensitive and candidate species to preclude the need for federal listing. The Threatened and Endangered Species Program works to conserve and recover federally-listed animal and plant species and their habitat on public lands and shares cooperative responsibility with other BLM programs and partners for conservation of candidate and sensitive species. The scope of the T&E Species Program spans all taxa that merit designation under the Endangered Species Act, providing a cross section of the most imperiled species of mammals, reptiles, birds, amphibians, invertebrates, fish, and plants. As of 2021, 430 federally threatened and endangered species and over 20 federal candidate species occur within BLM jurisdiction. Public lands often provide the key habitat for species recovery and conservation. Managing more land than any other federal agency, the BLM plays a pivotal and substantive role in species recovery. Due to the commingling of federal and nonfederal lands, the BLMs proactive commitment to conserve threatened and endangered species is essential to federal, state, and non-governmental organizations in meeting our mutual interest of species recovery. Collaboration on conservation endeavors with the ultimate goal to increase threatened and endangered species populations and manage and restore habitat of these federal trust wildlife, fish and plants within the public domain, serves a public purpose as required in cooperative agreements.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are primarily conducted on lands administered by the BLM but may also be conducted on other public or private lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to help protect, restore, assess and document federally-listed and rare species and the habitat upon which they depend; and to provide related public contact/education opportunities. Funding is highly variable each fiscal year.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

Not applicable. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State, or District or Field offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart C Pre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B,

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Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project, but should not be more than five years. Further information will be available for each program at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions. However, most projects are funded on a year to year basis and no more than five years. Funds are expended during a particular fiscal year. Most awards are anticipated within 90 days or less after the announcement closes. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award. Not required, unless stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,782,090.00; FY 21 est \$3,782,089.00; FY 22 est \$3,782,090.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$5,000 to \$2,000,000. Average amounts approximately \$68,300 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Removal of invasive plant species and restoration with native species along riverways of the Pecos watershed (enhance habitat for T&E species); conduct genetic studies, surveys and modeling of listed plant and bird species; monitor desert tortoises and raven predation. Fiscal Year 2021: Removal of invasive plant species and restoration with native species along riverways of the Pecos watershed (enhance habitat for T&E species); conduct genetic studies of listed plant and bird species; monitor desert tortoises and raven predation. Project to improve the consistency and efficiency of how Element Occurrence (EO) data (special status species observation records) are collected, and managed to improve the information BLM uses to make decisions for NEPA compliance, conservation, and recovery. Identifying communal data workflows that improve the quality and timeliness of the data BLM needs to conserve and manage species. Fiscal Year 2022: Projects may include Pecos watershed T&E habitat restoration, desert tortoise habitat restoration, Gila River T&E habitat restoration, Colorado and Rocky Mountains T&E survey, Xerces project to monitor and manage pollinators, including monarch butterflies.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's wildlife botany program is generally guided by provisions in 43 CFR Part 6000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 6500) and may be obtained by contacting the Washington Office. In addition, 43 CFR 24 guides the BLM to collaboratively conserve resident wildlife with the state agencies. Migratory birds, a federal responsibility, as described in 43 CFR 24, are also collaboratively managed with state and other federal agencies.

Regional or Local Office:

None.

Headquarters Office:

Division of Wildlife, Aquatics, and Environmental Protection,
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: mpreston@blm.gov
Phone: (801) 503-5411

Website Address:

No Data Available.

RELATED PROGRAMS:

15.244 Fisheries and Aquatic Resources Management; 15.245 Plant Conservation and Restoration Management; 15.247 Wildlife Resource Management; 15.237 Rangeland Resource Management;

EXAMPLES OF FUNDED PROJECTS:

2020 Restoring or improving habitat or reducing threats to endangered and threatened species; Inventory and prioritization of T&E plant populations by NatureServe; database additions of T&E, and management and analyses, including geospatial, collaborative web service or support and training. 2021 Prioritization, inventory, and database management of T&E wildlife and plant populations by NatureServe. Conducting conservation projects for T&E and sensitive species and habitats through the National Fish and Wildlife Foundation-BLM T&E Species Recovery Initiative Agreements - Pecos River Watershed habitat restoration, T&E plant species surveys in Colorado, habitat modeling for least bell's vireo, desert tortoise monitoring. 2022 Collating available information regarding pollinator distribution on BLM lands, with emphasis on bees and Monarch butterflies. Prioritizing, inventory, and database management of T&E wildlife and plant populations, taxonomy, and element occurrences. Conducting conservation projects for T&E and sensitive species and habitats. Focus for FY22 may include Pecos River Watershed, Gila River watershed, desert tortoise, black-footed ferret,

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pollinators).

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. The BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements

15.247 Wildlife Resource Management

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976, (FLPMA) 43 USC Code 1737 (b). Watershed Restoration and Enhancement Agreements, 16 USC Code 1101 (b).

OBJECTIVES:

The Wildlife Program fulfills the Department of the Interior visions of improving the management of species and their habitats, enhancing conservation stewardship, and upholding trust and related responsibilities. The Wildlife Program helps ensure self-sustaining populations and a natural abundance and diversity of wildlife on public lands for the enjoyment and use of present and future generations. Healthy BLM-managed lands are vital to thousands of species of mammals, birds, reptiles, amphibians, and invertebrates. In order to provide for the long-term conservation of these wildlife resources and maintain natural biodiversity, the Wildlife Program supports coordinated science-based approaches to address the habitat needs of species and to ensure habitat connectivity and climate resiliency. This multi-scale approach involves coordination with BLM offices and other programs; Federal, state, and tribal governments; and non-governmental partners to accomplish projects and coordinated management at appropriate scales. This includes species monitoring and coordinated habitat conservation and restoration across wildlife populations. The BLMs primary partners in wildlife habitat conservation include the respective state fish and wildlife agencies, tribal governments, and the U.S. Fish and Wildlife Service. The Wildlife Program also collaborates with other agencies and numerous conservation partners to leverage resources and maximize the benefits for wildlife habitat. The Program is responsible for maintaining, restoring, and conserving species and their habitats in cooperation with the Federal and State wildlife agencies, and other partner organizations. The BLM uses the latest geospatial data technologies to share wildlife and wildlife data within BLM and with partners to work more efficiently.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects are primarily conducted on lands administered by the BLM but may also be conducted on other public or private lands. Projects not on public lands should demonstrate effects on wildlife resources that share use of public and non-public lands. Projects may also include development of decision support tools and information to enhance wildlife conservation. Most of these lands are located in the Western United States and Alaska. Assistance can be used to help protect, restore, and assess wildlife resources and to provide related public contact/education opportunities. Funding is highly variable each fiscal year.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Anyone/general public with the exception of other federal agencies. Applicants must competitively apply to postings on Grants.gov to opportunities posted by the office that has funding and the desire to accomplish conservation cooperatively with an applicant that can deliver to a level of public purpose that addresses the wildlife conservation need outlined in the opportunity listing.

Beneficiary Eligibility:

Anyone/general public; All Public Land users.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State, or District or Field offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart C Pre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and must be submitted through www.grants.gov. State plan is not required for this application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project, but should not be more than five years. Further information will be available for each program at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable. Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

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this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions. However, most projects are funded on a year to year basis and no more than five years. Funds are expended during a particular fiscal year.

Most awards are anticipated within 90 days or less after the announcement closes. Method of awarding/releasing assistance: other

Reports:

Not required unless otherwise stated in the Notice of Award Not required unless otherwise stated in the Notice of Award SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$12,003,616.00; FY 21 est \$12,003,616.00; FY 22 est \$12,003,616.00;

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$10,000 to \$1,000,000. Average amounts approximately \$68,300 or less.

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Worked with the National Fish and Wildlife Foundation (NFWF) to restore Big Game habitat in priority corridors on BLM Public Lands as identified by AZ, CA, CO, ID, OR, MT, NV, NM, UT, WA, and WY game & fish agencies. Fiscal Year 2021: Worked with Backcountry Hunters and Anglers to coordinate volunteer workforce to assist state and field offices with on-the-ground restoration projects. Developed an agreement with Mule Deer Foundation to help foster cross-boundary big game habitat restoration projects throughout the West. Continued to work with NFWF on soliciting habitat restoration projects that benefit big game and other wildlife in priority corridors. Worked closely with the Wildlife Management Institute, Association of Fish and Wildlife Agencies, and the Wildlife Society to support interagency coordination and information exchange, provide training and research updates to key BLM staff. Fiscal Year 2022: Program expects to continue with consolidation and analysis of available AIM Pollinator Supplemental Indicator data regarding pollinator habitat assessments, developing and implementing a BLM-wide standardized native bee inventory and monitoring protocol.

REGULATIONS, GUIDELINES, AND LITERATURE:

Manuals provide basic program operational guidance for Wildlife Conservation (BLM Manual 6500) may be obtained by contacting the Headquarters Office. In addition, 43 CFR 24 guides the BLM to collaboratively conserve resident wildlife

with the state agencies. Migratory birds, a federal responsibility, also outlined in 43 CFR 24, are also collaboratively managed with state and other federal agencies. Sikes Act, 16 USC 670h(c)

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division of Wildlife, Aquatics & Environmental Protection,
760 Horizon Drive, Suite 324,
Washington DC 81506
Email: mpreston@blm.gov
Phone: (801) 503-5411

Website Address:

No Data Available.

RELATED PROGRAMS:

15.246 Threatened and Endangered Species;

EXAMPLES OF FUNDED PROJECTS:

2020 AZ Coordinated Bird Monitoring, CO Strengthening Partnerships for Wildlife Resource Management, AK Population Modeling for the Haines/Skagway Mountain Goat, ID Land Stewardship through Wildlife Habitat Inventory, MT Sage-Grouse Grazing Study, OR/WA Rock Creek Elk Habitat, UT Wildlife Migration Initiative. 2021 Worked with the National Fish and Wildlife Foundation to restore Big Game habitat in priority corridors on BLM Public Lands as identified by AZ, CA, CO, ID, OR, MT, NV, NM, UT, WA, and WY game & fish agencies. 2022 Consolidation and analysis of available AIM Pollinator Supplemental Indicator data regarding pollinator habitat assessments through Pollinator Partnership, developing and implementing a BLM-wide standardized native bee inventory and monitoring protocol through Conservation Science Global, Inc.

CRITERIA FOR SELECTING PROPOSALS:

First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review. Second Level Evaluation -- Merit Review Evaluation is stated in each Notice of Funding Opportunity noticed post on www.grants.gov Third Level Review Pre-Award Clearance and Approvals. BLM will also complete a business evaluation and determination of responsibility. During these evaluations, the Grants Management Officer will evaluate variables such as: Federal Awardee Performance and Integrity Information System, financial stability, quality of management systems, past performance meeting prior award terms and conditions, reports and findings of audits performed, and applicants ability to effectively implement statutory, regulatory or other requirements.

15.248 National Landscape Conservation System

National Conservation Lands,

FEDERAL AGENCY:

BUREAU OF LAND MANAGEMENT, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Land Policy and Management Act of 1976 (FLPMA) USC Code 43 Part 1737(b). Plant Protection Act of 2000 USC Code 7 Chapter 61 Noxious Weeds 2814. Watershed Restoration and Enhancement Act USC Code 16 1101 (b). Wild and Scenic Rivers Act USC Code 16 Chapter 28 1283 (e). National Trails System Act USC Code 16 1246 (c).

OBJECTIVES:

This program aims to increase the effectiveness of resource management by supporting research that informs effective decision-making for BLMs National Conservation Lands and the purposes for which they were designated, while furthering the administrations priorities. National Conservation Lands protect more

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than 34 million acres, recognized for outstanding conservation values and designated for special management by Acts of Congress or Presidential Proclamations. The BLM manages these special areas to maintain and enhance their conservation values with the goal to conserve, protect, and restore these important landscapes and their outstanding cultural, ecological, and scientific values. The National Conservation Lands protect a myriad of rare species, diverse habitats and ecosystems, historic properties, and cultural resources. These designated lands help ensure that the Nations extraordinary biodiversity and cultural heritage will be sustained for present and future generations to enjoy. More than one-fourth of all recreation on BLM lands occur on National Conservation Lands. They provide opportunities for recreationists of all kinds, such as white-water rafters, rock climbers, hunters, fishermen, hikers, skiers, and off-highway vehicle riders. The BLM manages units that include over 2,700 recreation sites and 22 visitor centers, and serve approximately 14 million visitors annually. The National Conservation Lands include the following unit designations: National Monuments and National Conservation Areas; Wilderness/Wilderness Study Areas; National Wild and Scenic Rivers; National Scenic and Historic Trails; and California Desert National Conservation Lands

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The National Conservation Lands program financially supports studies aimed at increasing our understanding of the resources present on BLM lands, the effectiveness of resource management decisions, and the restoration and conservation needs. Projects are primarily conducted on BLM-managed National Conservation Lands, but may also be conducted on other public land adjacent to National Conservation Lands. Projects address themes including Management-Driven research (on-the-ground research that provides information that can directly inform a pertinent and pressing management question) and citizen-supported studies (citizen scientists as assistants in data collection, compilation, or data analysis).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Sponsored organization;

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. For more information and local program requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with this application. Environmental impact information is not required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR, Part 200, Subpart CPre-Federal Award Requirements and Contents of Federal Awards. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal, budget spreadsheet, a budget narrative/breakdown, and any other requirements specified in the Notice of Funding Opportunity Notice and submitted through www.grants.gov. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director. State plan is not required for this application.

Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Further information will be available for each project at the time the Notice of Funding Opportunity is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Not Applicable. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions for most projects. However, most projects are awarded no more than a five year period and funded on a year-by-year basis. Funds are expended during a particular fiscal year. Method of awarding/releasing assistance: other

Reports:

Not required, unless stated in the Notice of Award. Not required, unless stated in the Notice of Award. SF-425, Federal Financial Report shall be submit at the minimum on an annual basis within 90 days after the anniversary award date, unless otherwise stated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date. Shall submit at the minimum an annual Performance Report in accordance with the 2 CFR, Part 200.328 within 90 days after the anniversary date or as indicated in the Notice of Award. Upon completion of the agreement, recipients shall submit a final report no later than 120 calendar day after the award end date:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-1109-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$334,527.00; FY 21 est \$350,000.00; FY 22 est \$350,000.00;

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Range and Average of Financial Assistance:

\$10,000 to \$125,000

TAFS Codes:

14-1109.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: BLM entered into 15 agreements in FY2020, awarded to meet responsibilities for science-based resource stewardship. These agreements were consistent with BLM's program themes of Management-Driven Research and Citizen-Supported Studies. BLM worked with numerous eligible applicants to improve our ability to conserve, protect, and restore nationally significant landscapes that have outstanding cultural, ecological, and scientific values for the benefit of current and future generations. Fiscal Year 2021: BLM received 23 applications and anticipates entering into 14 agreements. Awards are for projects which address themes of 'Management-Driven Research' needs and 'Citizen-Supported Studies'. Fiscal Year 2022: BLM estimates receiving approximately 30 applications and making 15 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

The National Landscape Conservation System (also known as the National Conservation Lands) was legislatively established by the Omnibus Public Land Management Act of 2009 [Public Law 111-111] in order to conserve, protect, and restore nationally significant landscapes that have outstanding cultural, ecological, and scientific values for the benefit of current and future generations. The National Conservation Lands include BLMs National Monuments, National Conservation Areas, Forest Reserves, legislated Outstanding Natural Areas, Cooperative Management and Protection Areas, Wilderness and Wilderness Study Areas, Wild and Scenic Rivers, and National Scenic and Historic Trails. BLM policy states that the BLM will use the best available science in managing NLCS units and that science and the scientific process will inform and guide management decisions concerning NLCS units [BLM Manual 6100(1.6)(A)(9) and (1.6)(F)(1)]. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for the National Landscape Conservation System (BLM Manual 6100), National Monuments, National Conservation Areas, and Similar Designations (BLM Manual 6220), Wild and Scenic Rivers (BLM Manual 6400), National Scenic and Historic Trails (BLM Manual 6280), Wilderness (BLM Manual 6340), and Wilderness Study Areas (BLM Manual 6330) may be obtained by contacting the Headquarters Office.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Chief, Recreation Visitor Services Division Division of National Landscape Conservation System,
760 Horizon Drive, Suite 324,
Grand Junction CO 81506
Email: tjfisher@blm.gov
Phone: (202) 912-7172
Fax: Chief, Recreation Visitor Services Division Division of National Landscape Conservation System,
1340 Financial Blvd,
Reno NV 89502
Email: fklasner@blm.gov
Phone: 7752767615
Fax:

Website Address:

<https://www.blm.gov/programs/national-conservation-lands>

RELATED PROGRAMS:

15.224 Cultural and Paleontological Resources Management; 15.225 Recreation and Visitor Services; 15.230 Invasive and Noxious Plant Management; 15.245 Plant Conservation and Restoration Management; 15.246 Threatened and Endangered Species; 15.247 Wildlife Resource Management; 15.233 Forests and Woodlands Resource Management; 15.237 Rangeland Resource Management;

EXAMPLES OF FUNDED PROJECTS:

2020 Projects funded in FY2020 spanned a diverse array of management and citizen science topics, such as: Assessing pronghorn connectivity among BLM conservation lands across multiple States; Conducting an inventory (survey) of the

bee pollinators in a National Conservation Area; Supporting citizen science efforts to inventory and monitor wilderness study area characteristics; Identifying the impacts of volcanic ash episodes on traditional land use activities in a National Conservation Area; and Assessing the paleontological potential of designated areas. 2021 A diverse array of projects were supported, across multiple States and units of the National Conservation Lands. These supported projects include archaeological studies, wildlife projects, recreational impact research, vegetation science, and water quality / public health investigations. 2022 This program is projected to fund projects for studies of desert tortoise diets, sagebrush restoration impacts, inventory and monitoring of wilderness studies, etc.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. First Level Screening -Basic Eligibility. Applications will be screened by the Grants Management Officer to ensure that applications meet basic eligibility requirements. Must meet the requirements of the Notice of Funding Opportunity posted on www.grants.gov, screening may include, but is not limited to: Program and/or legislative authority requirements are met; Submission is timely; and complete and properly executed SF-424 application package documents. B. Applications must satisfy basic eligibility screening requirements to be considered for further review.Second Level Evaluation -- Merit Review Evaluation. Each State will be responsible for designating a State Evaluation Committee (Committee) with appropriate expertise and professional qualifications for the Merit Review Evaluation. The BLM State Offices will evaluate proposals only for their specific State. The Committee will review, rate, and recommend eligible applications for award in an objective and unbiased manner using the below evaluation criteria. An overall consensus rating will be determined for each application and submitted to BLM Washington Office, National Conservation Lands Division. Projects will be evaluated by the State Evaluation Committee in a two-step process.Step 1: Assess proposal relevance to themes and coordination with BLMa.The Project clearly addresses one or both of the themes listed above (Yes or No).b.There is clear demonstration of coordination with the appropriate State Lead or unit manager in project design, implementation, and development of management application (Yes or No).Projects that do not meet both of these criteria will not be considered for funding.Step 2: Rate each proposal that addresses one or more theme and demonstrates BLM coordination using the following criteriaa.The project products will have direct management application, i.e. address an important conservation and management decision. There is clear demonstration of the management issue being addressed and how well the information from the project feeds back to that management issue. The form or manner in which the project products will be made available for use by decision-makers or other intended users is clearly defined (e.g., technical reports, decision support tool, new or improved management technique(s)).b.Technical Merit Objectives are clearly stated, procedures are well designed, results are attainable, quantifiable estimates will be statistically reliable and comparable to other studies. There is a clear statement of project objectives and explanation as to how the proposed approach and methods are a technically sound and feasible way to meet those objectives.c.The project addresses a BLM priority Maximum score is given if the proposal clearly states how it will address a BLM priority (i.e., environmentally-responsible development of energy and minerals; effective management of borderlands; supporting working landscapes; managing multiple-uses on public lands; supporting traditional land uses such as grazing; or providing access to hunting, fishing, and other recreational opportunities on the National Conservation Lands).d.The project clearly demonstrates a public benefit Projects which clearly demonstrate dissemination of information to the public or other public benefits will receive higher scores.3.Third Level Review BLM Washington Office, National Conservation Lands Division. The National Office will have a national committee with membership based on expertise and professional qualification to review applications. Eligible applications will be evaluated in an objective and unbiased manner with the following merit review criteria. An overall consensus rating will be determined for each application. The ratings and proposals will be submitted to the Assistant Director of the National Conservation Lands and Community Partnerships for funding determinations. a.State Evaluation Committee Rankingb.Projects scope has broad regional or national conservation relevance in line with BLMs priorities Higher scores given to projects with broader regional or national application compared to projects that have significant but local application. 4.Fourth Level Review - Pre-award Clearance and ApprovalsFollowing the described review

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process, BLM will also complete a business evaluation and determination of responsibility. During these evaluations the Grants Management Officer will evaluate variables such as:

- Risk Management. The BLM uses a risk-based approach to evaluate the risk posed by the supporting applicants projects before it awards Federal funds.
- BLM is required to review information available through OMB-designated eligibility and/or financial integrity databases, such as the Federal Awardee Performance and Integrity Information System (FAPIIS). The BLM considers factors such as:
 - Financial stability;
 - Quality of management systems;
 - History of performance managing Federal awards, timeliness of compliance with reporting requirements, conformance to the terms and conditions of previous Federal awards, etc.;
 - Reports and findings from audits performed; and
 - The applicants ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities.
- Budget review is based on the following:
 - Budget line items must be allowable, allocable, reasonable in price, and appropriate for the level of effort needed to accomplish the project
 - Budget details and justification must provide adequate explanation of, and justification for, each estimated cost
 - Requested equipment must be justified and necessary for completion of the project
 - Cost Sharing/Matching funds must not come from Federal fundsIf the results of all pre-award reviews and clearances are satisfactory, an award of funding will be made once the agreement is finalized. If the BLM determines that a Federal award will be made, special conditions that correspond to the degree of risk assessed may be applied to the Federal award
- If the results of pre-award reviews and clearances are unsatisfactory, consideration of funding for the project may be withdrawn.

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining

Regulatory Grant Program,

FEDERAL AGENCY:

OFFICE OF SURFACE MINING, RECLAMATION AND ENFORCEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532., Title Surface Mining Control and Reclamation Act of 1977, Part Public Law 95-87, Section 91 Stat. 445-532

OBJECTIVES:

To assist the States and Tribes with active coal mining in administering approved regulatory programs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Grants for permanent program development are available to fund staff and related costs in connection with preparing legislative proposals; preparing regulations; preparing permanent program applications to the Office of Surface Mining Reclamation and Enforcement (OSMRE); and for other related purposes.

Administration and Enforcement grants are awarded to assist qualified recipients that have received approval to operate permanent regulatory programs. Funded cooperative agreements provide for the regulation of coal mining activities on Federal lands. Technical assistance is available on any aspect of regulating surface impacts of coal mining.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; The State must have an approved program to regulate surface coal mining and a designated State agency to receive administration and enforcement regulatory grants.

Beneficiary Eligibility:

State; State agencies responsible for regulation, reclamation and enforcement of provisions protecting the environment from negative effects of coal mining operations.

Credentials/Documentation:

The Governor of a State designates an agency to act as recipient of the grant or funded cooperative agreement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. No preapplication is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application SF 424 Application for Federal Assistance forms required by 2 CFR Part 215.12 and 43 CFR Part 12, Subpart F, or its revisions, must be used. State regulatory grant applications are required to submit applications to their appropriate Regional Office. Applications must be submitted to the appropriate OSM Regional/Field Office. Please contact the appropriate Office of Surface Mining Reclamation and Enforcement Regional Office for assistance.

Award Procedure:

The application will be reviewed by appropriate OSMRE Regional staff within 60 days of receipt of application and approval by the OSMRE Regional Office is required. If the application is not approved, the Regional Office must set forth in writing the reasons for disapproval and may propose modifications if appropriate. The applicant may submit the revised application or appropriate revised portions of the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The approval/disapproval process is conducted within 30 days.

Appeals:

Other. An applicant may appeal OSM's decision not to approve a grant or to reduce or terminate a grant to the Director, OSM, no later than 30 days after the Regional or Field Office official's decision.

Renewals:

Other. Annual renewal of applications is required for grants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Please refer to 30 CFR 735.15 for state matching requirements (Not applicable to Tribes). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All administration and enforcement grants are for a one year period and are annually renewable. Method of awarding/releasing assistance: other

Reports:

Annual reports as specified by the agency are required. SF 425 Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub recipient. See 2 CFR Part 200.333 Retention requirements for records for exceptions.

Account Identification:

14-1801-0-1-302.

Obligations:

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Project Grants FY 20 \$68,590,000.00; FY 21 est \$68,590,000.00; FY 22 est \$68,589,999.00;

Range and Average of Financial Assistance:

Range: \$38,343 to \$3,034,757; Average: \$615,988.

TAFS Codes:

14-1801.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The program funded twenty-four coal producing states and three tribes. The twenty-four coal producing states have approved regulatory programs.

Fiscal Year 2022: The program anticipates to fund twenty-four coal producing states and three tribes. The twenty-four coal producing states have approved regulatory programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 42, No. 62706, Tuesday, December 13, 1977 and Volume 47, No. 169, Tuesday, August 31, 1982, Volume 48, No. 12, Tuesday, January 18, 1983; and informal agency guidelines titled "Federal Assistance Manual"

Regional or Local Office:

See Regional Assistance Locations. Yolande J.C. Norman-Moore OSMRE Chief, Division of Reclamation Support ynorman@osmre.gov (202) 208-2868 Also refer to Appendix IV for list of addresses

Headquarters Office:

Chief, Division of Reclamation Support Yolande J.C. Norman,
Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1849 C Street Ave., N.W. Room 4545,
Washington DC 20240
Email: ynorman@osmre.gov
Phone: (202) 208-2868
Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.253 Not-for-Profit AMD Reclamation; 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation; 15.252 Abandoned Mine Land Reclamation (AMLR) Program; 15.254 Summer Watershed Intern;

EXAMPLES OF FUNDED PROJECTS:

2021 Governor designated agencies in coal producing states receive annual grants to assist in administering and enforcing the states' approved regulatory programs as provided by the Surface Mining Control and Reclamation Act of 1977, as amended. 2022 Program anticipates funding projects in coal producing states receive annual grants to assist in administering and enforcing the states' approved regulatory programs as provided by the Surface Mining Control and Reclamation Act of 1977, as amended.

CRITERIA FOR SELECTING PROPOSALS:

Designated agencies that choose to comply with the Surface Mining Reclamation and Enforcement Provisions, Parts 725, 735, and 795 (Federal Register, Vol. 423, No. 239, Tuesday, December 13, 1977, Vol. 47, No. 169, August 31, 1982 and Vol. 48, No. 12, January 18, 1983), are eligible for awards. Criteria for amounts awarded are the State or Tribes need relative to other eligible States/Tribes.

15.252 Abandoned Mine Land Reclamation (AMLR)

Abandoned Mine Lands (AML) Program,

FEDERAL AGENCY:

OFFICE OF SURFACE MINING, RECLAMATION AND ENFORCEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, as amended, 91 Stat. 445-532., Consolidated Appropriations Act, 2021 (Public Law

116-260),

OBJECTIVES:

In accordance with Section 403(a) of the Surface Mining Control and Reclamation Act, as amended, the objectives are: (1)(A) the protection; of public health, safety, and property from extreme danger of adverse effects of coal mining practices;(B) the restoration of land and water resources and the environment that --(i) have been degraded by the adverse effects of coal mining practices; and(ii) are adjacent to a site that has been or will be remediated under subparagraph (A);(2)(A) the protection of public health and safety from adverse effects of coal mining practices;(B) the restoration of land and water resources and the environment that - (i) have been degraded by the adverse effects of coal mining practices; and(ii) are adjacent to a site that has been or will be remediated under subparagraph (A); and(3) the restoration of land and water resources and the environment previously degraded by adverse effects of coal mining practices including measures for the conservation and development of soil, water (excluding channelization), woodland, fish and wildlife, recreation resources, and agricultural productivity.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded to 25 coal-producing states and three Indian tribes to support the operation of an approved state or tribal Abandoned Mine Land (AML) reclamation program. Approved programs use grant funds provides for the restoration of eligible lands and waters mined and abandoned or left inadequately restored. Most of the lands and water eligible for reclamation under this authority are those which were mined or affected by mining and abandoned or left in an inadequate reclamation status prior to August 3, 1977 and for which there is no continuing reclamation responsibility under State or other Federal laws. A limited number of legacy sites mined after August 3, 1977 and abandoned before November 5, 1990 for which the surety bonds responsible for the reclamation of the site became insolvent. Uses of the grants support project design and AML reclamation activities, which may include abating emergency mining-related dangers to public health and safety; restoring water supply facilities impacted by coal mining; setting aside funds in a trust account to fund future acid mine drainage treatment and inventorying mining problems. Approved programs that have certified completion for reclaiming all their inventoried coal problems on lands within their jurisdiction may use funds for non-coal coal reclamation activities as authorized under SMCRA. Additionally, The Abandoned Mine Land Reclamation Economic Revitalization Program (AMLER) shall be used for economic and community development in conjunction with the priorities found in section 403(a) of the Surface Mining control and Reclamation Act of 1977 (SMCRA) and is limited to eligible Tribes and Appalachian States as authorized under the Appropriation law (Public Law 116-260).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; The AML program is restricted to states with (1) an approved coal mining regulatory program, (2) lands eligible for reclamation, and (3) active coal mining operations within their borders that are paying coal reclamation fees into the Abandoned Mine Reclamation Fund; and to federally-recognized Indian tribes with (4) eligible lands, and (5) active mining operations paying fees into the Fund. An eligible state or Indian tribe may submit a reclamation plan to the Office of Surface Mining Reclamation and Enforcement (OSMRE) for approval. The AML Pilot Program is restricted to the three Tribes with AML Programs and six Appalachian states with the highest amount of inventoried priority coal problems as described in paragraphs (1) and (2) of section 403(a) of SMCRA. As a result, grants under this pilot program are available only to the Crow Tribe, Hopi Tribe, Navajo Nation, Commonwealths of Kentucky, Pennsylvania, and Virginia, and the States of Alabama, Ohio and West Virginia.

Beneficiary Eligibility:

Minority group; State; Local; Citizens and the general public are protected from physical hazards and benefit from the reclamation of abandoned mine lands and polluted waters by reducing exposure to safety and health risks. The AML Pilot Program aims to enhance the economic diversification of coal country communities and bring legacy coal sites to productive reuse.

Credentials/Documentation:

The Secretary of Interior must approve a state or tribal Indian reclamation plan. AML grants must be approved by an authorized official of the appropriate OSMRE

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regional or field office. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental clearance actions for each individual reclamation project are completed as part of the recipient's request for OSM's approval of the Authorization to proceed, which is the point of federal action for that reclamation project.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must use the SF-424 series in accordance with 2 CFR Part 215.12. Applications must be submitted to the appropriate regional office. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The regional office must act upon a grant application within 60 days of submittal of a complete application (An exception is for certified States that submit AML grant applications in which OSMRE must complete its review within 30 days). All applications will be initially screened for eligibility and compliance with the requirements stated notice of funding opportunity. All timely submitted and qualified proposals are reviewed by an OSMRE selection panel. Applications will be rated based on established criteria used to evaluate merit as outlined in Notice of Funding Opportunity. Applicants may undergo a site visit. The OSMRE Field Directors or his/her designee approves and awards cooperative agreements for this program. If the application is not approved, the regional office must provide the reasons for disapproval in writing.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The application deadline will be 60 days from the initial posting date in www.Grants.gov. Office of Surface Mining, Division of Reclamation Support, Department of the Interior, 1849 C Street, NW., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2868.

Appeals:

Other. An applicant may appeal the OSMRE decision not to approve a grant or to reduce or terminate a grant to the Director, OSMRE, no later than 30 days after the disapproval action.

Renewals:

Other. Renewals are not applicable; each recipient grantee may apply for non-funded extensions of time as necessary to complete the project up to one year.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant periods are variable; however, funds for reclamation construction activities should normally be expended within three years after award. Method of awarding/releasing assistance: other

Reports:

Contact Office of Surface Mining, Division of Reclamation Support, Department of the Interior, 1849 C Street, NW., Washington, DC 20240. Contact: Chief, Division of Reclamation Support. Telephone: (202) 208-2868. The Program Narrative Statement should include the following information: Objectives and Need for Assistance. Precisely describe any relevant physical, economic, social, financial, institutional or other problems requiring a solution. Demonstrate the need for the assistance and state the principal and subordinate objectives of the project. Supporting documentation or other testimonies from concerned interests other than the applicant may be used. Any relevant data based on planning studies

should be included or footnoted. Results and Benefits Expected. Identify results and benefits to be derived. For example, show how the facility will be used. For land acquisition or developmental projects, explain how the project will benefit the public. Approach. Outline a plan of action pertaining to the scope of the project and detail how the proposed work will be accomplished for each assistance program. Cite factors which might accelerate or decelerate the work and your reasons for taking this approach as opposed to others. Describe any unusual features of the project, such as design or technological innovations, reductions in cost or time, or extraordinary social and community involvements. Provide for each assistance program quantitative projections of the accomplishments to be achieved, if possible. When accomplishments cannot be quantified, list the activities in chronological order to show the schedule of accomplishments and their target dates. Identify the kinds of data to be collected and maintained, and discuss the criteria to be used to evaluate the results and success of the project. Explain the methodology that will be used to determine if the needs identified and discussed are being met and if the results and benefits identified are being achieved. List each organization, cooperator, consultant or other key individuals who will work on the project along with a short description of the nature of their effort or contribution. Geographic Location. Give the precise location of the project and area to be served by the proposed project. Maps or other graphic aids may be attached. The Federal Financial Report, form SF 425 is required. The Program Narrative Statement should include the following information: Objectives and Need for Assistance. Precisely describe any relevant physical, economic, social, financial, institutional or other problems requiring a solution. Demonstrate the need for the assistance and state the principal and subordinate objectives of the project. Supporting documentation or other testimonies from concerned interests other than the applicant may be used. Any relevant data based on planning studies should be included or footnoted. Results and Benefits Expected. Identify results and benefits to be derived. For example, show how the facility will be used. For land acquisition or developmental projects, explain how the project will benefit the public. Approach. Outline a plan of action pertaining to the scope of the project and detail how the proposed work will be accomplished for each assistance program. Cite factors which might accelerate or decelerate the work and your reasons for taking this approach as opposed to others. Describe any unusual features of the project, such as design or technological innovations, reductions in cost or time, or extraordinary social and community involvements. Provide for each assistance program quantitative projections of the accomplishments to be achieved, if possible. When accomplishments cannot be quantified, list the activities in chronological order to show the schedule of accomplishments and their target dates. Identify the kinds of data to be collected and maintained, and discuss the criteria to be used to evaluate the results and success of the project. Explain the methodology that will be used to determine if the needs identified and discussed are being met and if the results and benefits identified are being achieved. List each organization, cooperator, consultant or other key individuals who will work on the project along with a short description of the nature of their effort or contribution. Geographic Location. Give the precise location of the project and area to be served by the proposed project. Maps or other graphic aids may be attached.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report; If any litigation, claim, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken, in accordance with 2 CFR Part 200 Subpart D 200.333.

Account Identification:

14-5015-0-1-999. 14-5015-0-2-999. 14-1804-0-1-551. 14-1803-0-1-999.

Obligations:

Project Grants FY 20 \$186,000,000.00; FY 21 est \$185,999,997.00; FY 22 est \$185,999,996.00;

ASSISTANCE LISTINGS DESCRIPTIONS

Range and Average of Financial Assistance:

The range of financial assistance is \$54,775 to \$35,801,324; The average award amount is \$6,102,129.

TAFS Codes:

14-5015, 14-1804, 14-1803.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Managed the \$171 million in mandatory AML grants provided to the 25 coal-producing States and three Tribes with an approved AML program. Provided \$115 million in AML Economic Revitalization Program sponsored grants to six States and three Tribes. Improved land and water health by reclaiming, or mitigating, the equivalent of 17,760 acres of land from the effects of natural resource degradation from past mining. Improved underground discharges of mine water, eliminating uncontrolled discharges, by employing horizontal boring techniques. Used combinations of civil penalty funds and forfeited bond to continue work on two projects in Pennsylvania and one in Maryland. The Federal Reclamation Program expended \$1,803,319 to address three AML emergencies in Washington State, and \$255,691 to address a High Priority subsidence feature in California.

REGULATIONS, GUIDELINES, AND LITERATURE:

General regulations are found in Title 30, Code of Federal Regulations, and Parts 870-887. Final Guidelines for Reclamation Programs and Projects, 66 Federal Register, 31250, June 11, 2001.

Regional or Local Office:

See Regional Assistance Locations. Yolande J.C. Norman-Moore, Chief, Division of Reclamation Support Program Support Directorate Department of Interior Office of Surface Mining Reclamation & Enforcement 1849 C Street NW Main Interior Building, Room 4545 Email: ynorman@osmre.gov Phone: 2022082868

Headquarters Office:

Chief, Division of Reclamation Support Yolande J.C. Norman-Moore, Chief, Division of Reclamation Support Program Support Directorate Department of Interior Office of Surface Mining Reclamation & Enforcement 1849 C Street NW Main Interior Building, Room 4545, Washington DC 20240
Email: ynorman@osmre.gov
Phone: 2022082868
Fax:

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining; 15.253 Not-for-Profit AMD Reclamation; 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation; 15.254 Summer Watershed Intern;

EXAMPLES OF FUNDED PROJECTS:

2020 In FY 2020, the major accomplishments in the Environmental Protection program activity included the implementation of the Federal and Indian Lands Programs, conducting 206 complete and 533 partial inspections, and completing 63 permit revisions, oversight of States as they performed 23,067 complete mine inspections and 37,836 partial inspections to ensure coal mines are operated safely and in accordance with environmental laws, continued promotion of the utilization of the Forestry Reclamation Approach (FRA) on active mining operations, with 96 percent of all trees planted on FRA prepared areas. A total number of 1,650,275 trees were planted during FY 2020 with 1,588,501 utilizing FRA on 2,648 acres. 2021 Some examples of planned funding uses for the AMLR program are listed below: The Federal and Indian Lands Programs - to conduct complete and partial inspections, and complete permit revisions, States to perform complete mine inspections and partial inspections to ensure coal mines are operated safely and in accordance with environmental laws, promote utilization of the Forestry Reclamation Approach (FRA) on active mining operations, with trees planted on FRA prepared areas. 2022 Some examples of planned funding uses for the AMLR program are listed below: The Federal and Indian Lands Programs - to conduct complete and partial inspections, and complete permit revisions, States to perform complete mine inspections and partial inspections to ensure coal mines are operated safely and in accordance with environmental laws, promote utilization of the Forestry Reclamation Approach (FRA) on active mining operations, with trees

planted on FRA prepared areas.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are outlined in Section 403 and 411 of Public Law 95-87, cited Abandoned Mine Land Reclamation Program regulations, and approved state/tribal reclamation plans. States and Indian tribes must have an approved SMCRA regulatory (Title V) program and an approved reclamation (Title IV) program to be eligible to receive mandatory AML funding. AMLER projects are selected based on meeting eligibility required outlined in OSMRE's Guidance for Project Eligibility under the Abandoned Mine Land Reclamation Economic Development Pilot Program for Fiscal Year 2021. Eligibility is based on having proximity to an AML site and having a measurable impact to economic/community development.

15.253 Not-for-Profit AMD Reclamation

Watershed Cooperative Agreement Program (WCAP),

FEDERAL AGENCY:

OFFICE OF SURFACE MINING, RECLAMATION AND ENFORCEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, as amended; Federal Grant and Cooperative Agreement Act of 1977 91 Stat. 445-532,

OBJECTIVES:

The Not-for-Profit, Acid Mine Drainage (AMD) Reclamation - Watershed Cooperative Agreement Program (WCAP) seeks applications from eligible applicants to restore streams affected by AMD to a level that will support a diverse biological community and provide recreational opportunities for the community.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding is available to assist local organizations, especially watershed groups, to improve the water quality of streams impacted by AMD. Lands and water eligible for reclamation or drainage abatement expenditures under Section 404 of Public Law 95-87 are those which were mined for coal or which were affected by such mining, waste banks, coal processing, or other coal mining processes, and for which there is no continuing reclamation responsibility under state or other Federal laws.

Applicant Eligibility:

Quasi-public nonprofit institution/organization; Recipients must be not-for-profit IRS 501(c) (3) status organizations. Federal, state, local governments, colleges, and universities are not eligible to receive direct funding.

Beneficiary Eligibility:

Private nonprofit institution/organization; Communities impacted by streams polluted by AMD will benefit from this program.

Credentials/Documentation:

Proof of not-for-profit IRS 501(c) (3) organization status and state certification of project eligibility are required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Successful applications must provide evidence of compliance with the National Environmental Policy Act (NEPA) (42 U.S.C.4321- 4370h) Endangered Species Act (ESA), the National Historic Preservation Act (NHPA), and other applicable Federal and state law and regulations.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications must be submitted through grant.gov in response to a NOFO. Application will be reviewed as received on a rolling basis. Applications will be reviewed to determine whether they are eligible, complete, and responsive and

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aligned with the respective program objectives and research grant areas as described in the Program Description. Applications determined to be ineligible, incomplete, and/or non-responsive based on the initial screening will be eliminated from further review. Applications that are determined to be eligible, complete, and responsive will proceed for full merit reviews in accordance with the review and selection processes set forth below for each of the respective programs. At least three objective reviewers, knowledgeable in the subject matter of this NOFO and its objectives, will evaluate each application based on the evaluation criteria. Each reviewer will assign individual scores to each criterion for each application. The scores provided by each reviewer for each application will then be combined to form a composite score for each application, which serves as the basis for the relative ordering or ranking of applications. OSMRE may select some, all, or none of the applications, or part(s) of any particular application. The OSMRE Field Directors or his/her designee approves and awards cooperative agreements for this program.

Deadlines:

See the Notice of Funding Opportunity posted on Grants.gov, for the application submission deadline.

Range of Approval/Disapproval Time:

Other. Please refer to the Notice of Funding Opportunity posted on Grants.gov, for the application submission deadline. Applications will be considered on a continuing/rolling bases until the closing date identified in the Notice of Funding Opportunity posted on grants.gov. Applications will be accepted up to 60 day from the time a Notice of Funding Opportunity is posted on grants.gov. Applications will be considered on a continuing/rolling bases until the announcement closing date.

Appeals:

Other.

Renewals:

Other. Renewals are not applicable; Each recipient grantee may apply for non-funded extensions of time as necessary to complete the project up to one year. If additional funding is need an additional application must be submitted in response to a Notice of Funding Opportunity.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The cooperative agreements have a performance period of two years. Method of awarding/releasing assistance: lump sum.

Reports:

Programmatic reports are required on a semi-annual basis. Final performance reports are due 90 days after the end of the project period. Interim Programmatic reports may also be required per the terms and conditions of the Cooperative agreement. An SF-425 Federal financial Reports are required on a semi-annual basis for the period ending March 31 and Sept 30 of each year and final SF-425 is due 30 after the end of project period. Cooperative Agreements require substantial involvement in the scope of work and are subject to performance monitoring. Substantial involvement will be limited to one or more of the following areas: (a) Approval of the recipients implementation plans; (b) Review of the recipient's monitoring and evaluation plans; (c) Approval of specified key personnel; (d) Concurrence in the substantive provisions of subawards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure ; If any litigation, claim, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken, in accordance with 2 CFR Part 200 Subpart D

200.333.

Account Identification:

14-5015-0-2-999.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$999,998.00;

Range and Average of Financial Assistance:

The approximate average amount of each financial assistance award is \$100,000

TAFS Codes:

14-5015.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: OSM received 12 applications and plans to make 7 awards in fiscal year 2020. Fiscal Year 2021: It is anticipated that 24 applications will be received and 14 awards will be granted in fiscal year 2021. Fiscal Year 2022: It is anticipated that 24 applications will be received and 14 awards will be granted in fiscal year 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977 (Public Law 95-87) as amended.

Regional or Local Office:

See Regional Assistance Locations. Unified Interior Regions 1 & 2 (formerly Appalachian Region) Sami Jae Grimes Division Chief Program Assistance Division 3 Parkway Center Drive South Pittsburgh, PA 15220 Email: sgrimes@osmre.gov Phone: (412) 937-2177 Megan Bawks Grants Management Specialist 3 Parkway Center Drive South Pittsburgh, PA 15220 Email: mbawks@osmre.gov Phone: (412) 937-2882 Jenna Hincks Charleston Field Office AML Program Specialist 1027 Virginia Street East Charleston, West Virginia 25301 Email: Jhinks@osmre.gov Phone: (304) 347-7162, Ext: 3046 Unified Interior Regions 3, 4 & 6 (formerly Mid-Content Region) Paul Fritsch Branch Chief, Program & Technology Support 501 Belle Street, Suite 216 Alton, IL 62002 Email: pfritsch@osmre.gov Phone: (618) 463-6463, Ext: 5113

Headquarters Office:

Yolande Norman-Moore, Chief, Division of Reclamation Support, Department of the Interior, Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1849 C Street NW, Washington DC 20240 Email: ynorman@osmre.gov Phone: (202) 208-2868 Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.252 Abandoned Mine Land Reclamation (AMLR) Program; 15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining; 15.254 OSM/VISTA AmeriCorps ; 15.255 Science and Technology Projects Related to Coal Mining and Reclamation;

EXAMPLES OF FUNDED PROJECTS:

2020 AMD Passive Treatment System Project. The project will involve the development of a passive AMD treatment system in the form of an auto-flushing vertical flow pond and settling pond to remove a significant source of acid mine drainage (AMD) from the Watershed. The AMD source has never been treated, and is the last significant source of untreated AMD in the watershed. 2021 Program has not yet selected projects for funding. OSMRE designed the Watershed Cooperative Agreement Program (WCAP) to assist citizens affected by the negative environmental impacts from coal mining. This program, initially implemented in 1999, makes funds available directly to not-for-profit organizations, especially small local watershed organizations. These community-based organizations undertake local projects to clean streams affected by Acid Mine Drainage (AMD). The watershed organizations that apply for the funding typically receive up to \$100,000 for each reclamation project. Funds are primarily used for project construction. Agencies are encouraged to have other partners contribute either funding or in-kind services, which increases the reach and impact of Federal resources. OSMRE's WCAP funding results in improvements of land and water resources and promotes other beneficial uses such as fish and wildlife habitat and

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recreational areas. Communities are strengthened and capacity increased due to the awareness, knowledge, and outreach that this program fosters. 2022 Program has not yet selected projects for funding. OSMRE designed the Watershed Cooperative Agreement Program (WCAP) to assist citizens affected by the negative environmental impacts from coal mining. This program, initially implemented in 1999, makes funds available directly to not-for-profit organizations, especially small local watershed organizations. These community-based organizations undertake local projects to clean streams affected by Acid Mine Drainage (AMD). The watershed organizations that apply for the funding typically receive up to \$100,000 for each reclamation project. Funds are primarily used for project construction. Agencies are encouraged to have other partners contribute either funding or in-kind services, which increases the reach and impact of Federal resources. OSMRE's WCAP funding results in improvements of land and water resources and promotes other beneficial uses such as fish and wildlife habitat and recreational areas. Communities are strengthened and capacity increased due to the awareness, knowledge, and outreach that this program fosters.

CRITERIA FOR SELECTING PROPOSALS:

Please refer to the funding opportunity for criteria for selecting proposals.

15.254 OSM/VISTA AmeriCorps

OSMRE/AmeriCorps Program; OSMRE/VISTA Program; OSMRE Semester Internship Program.

FEDERAL AGENCY:

OFFICE OF SURFACE MINING, RECLAMATION AND ENFORCEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, as amended; Public Law 95-87; 91 Stat. 445-532; Public Lands Corps Healthy Forests Restoration Act of 2005, Public Law 109-154 as amended in the National Park Service Centennial Act, Public Law 114-289; Direct Hire Authority for Resource Assistant Internship Programs outlined by the Consolidated Appropriations Act of 2012, Public Law 112-74, H.R. 2055 Division E Title I Section 121, and 16 U.S.C. 1725(a); Economic Opportunity Act of 1964 or the Domestic Volunteer Service Act of 1973. Authority: 5 CFR 315.605.,

OBJECTIVES:

The purpose of the OSMRE Youth Program is to carry out appropriate environmental projects cooperatively with non-profit IRS 501(c) (3) eligible applicants that have access to AmeriCorps Volunteers to Service to America positions through partnership with the Corporation for National and Community or other intermediary organization on issues impacting coal communities and historic coal mining areas. The goal is to promote and stimulate public purposes such as education, job training, development of responsible citizenship and productive community involvement. The program is designed to further the understanding and appreciation of natural and cultural resources through the involvement of youth and young adults. It is also designed to continue the longstanding efforts of OSMRE to provide opportunities for public service, youth employment, minority youth development, training, and participation of young adults in accomplishing environmental-related work.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funding for the OSMRE Youth Initiative is used to support an innovative partnership working directly with OSMRE and community and watershed improvement groups addressing poverty, environmental reclamation and safety, community environmental stewardship, economic improvement, environmental education, environmental research, geographic information systems, environmental mapping, and more. There are three structured programs under the OSMRE Youth Initiative. Each program is funded through a separate Cooperative Agreement. Please refer to the Notice of Funding Opportunity posted every other year on grants.gov with specific requirements for each program. OSMRE AmeriCorps Program Utilizing AmeriCorps State and National positions, OSMRE enters into a

Cooperative Agreement with a non-profit sponsor to administer the OSMRE/AmeriCorps Program. This program places recent graduates from academic and vocational institutions in OSMRE Regional, Field Offices and Headquarters, for one year to gain experience in the work of OSMRE and the Federal government. Participants are mentored by OSMRE staff and exposed to various professional development and training opportunities. The OSMRE/AmeriCorps Program may fund up to 25 participants annually. OSMRE Volunteers in Service to America (VISTA) Program Utilizing AmeriCorps VISTA, OSMRE enters into a Cooperative Agreement with a non-profit sponsor to administer the OSMRE/VISTA Program. This Program places recent graduates from academic and vocational institutions in non-profit organizations in communities impacted by mining practices. The goal of the OSMRE/VISTA Program is to build capacity and economic opportunities in impoverished communities, with an emphasis on helping underserved populations. The OSMRE/VISTA Program may fund up to 60 participants annually. OSMRE Semester Internship Program OSMRE enters into a Cooperative Agreement with a non-profit sponsor to administer the OSMRE Semester Internship Program. This program provides students with a meaningful work experience in OSMRE offices nationwide and an opportunity to develop significant workplace skills during their service. Semester Interns are tasked with projects that significantly contribute to their professional development. Assignments may include policy research, data analysis, environmental impact studies, and public outreach activities related to underground and surface coal mining activities. The OSMRE Semester Intern Program may fund up to 15 participants annually.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); The not-for-profit sponsor organization will be responsible for the daily operation of the OSMRE/VISTA Program, working closely with OSMRE to ensure the program aligns with the mission of the Bureau. Eligible sponsor organizations will have, at minimum, the following to be considered eligible: Have a 501(c)(3) status Have access to AmeriCorps positions through partnership with the Corporation for National and Community Service, or other intermediary organization Have the organizational capacity to oversee participants in the field and across the country

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Youth and local communities that benefit from reclamation of abandoned mine lands; Youth interested in gaining experience in a Federal agency.

Credentials/Documentation:

Proof of not-for-profit, IRS 501(c)(3), status is required of participating organizations 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application should be submitted in response to a Notice of Funding Opportunity (NOFO) posted on Grants.gov. Applications must be submitted in accordance with the program objectives utilizing the SF424 series or other forms prescribed in the NOFO by the application deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications submitted through Grants.gov and received by 11:59 PM Eastern Standard Time on the due date identified in the NOFO, will be reviewed to determine whether they are eligible, complete, and responsive and aligned with the respective program objectives as described in the Program Description. Applications determined to be ineligible, incomplete, and/or non-responsive based on the initial screening will be eliminated from further review. Applications that are determined to be eligible, complete, and responsive will proceed for full merit reviews in accordance with the review and selection processes set forth below for each of the respective programs. At least three objective reviewers, knowledgeable in the subject matter of this NOFO and its objectives, will evaluate each application based on the evaluation criteria. Each reviewer will assign individual scores to each criterion for each application. The

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scores provided by each reviewer for each application will then be combined to form a composite score for each application, which serves as the basis for the relative ordering or ranking of applications. OSMRE may select some, all, or none of the applications, or part(s) of any particular application. The OSMRE Approving Official or his/her designee approves and awards cooperative agreements for this program

Deadlines:

See the Notice of Funding Opportunity posted on Grants.gov, for the application submission deadline.

Range of Approval/Disapproval Time:

Other. From 60 to 120 days. Office of Surface Mining Reclamation & Enforcement 1849 C Street NW Main Interior Building, Room 4545 Washington DC, 20240 Tele: (202) 208-2868

Appeals:

Not Applicable.

Renewals:

Not Applicable. Renewals are not applicable; Each recipient grantee may apply for non-funded extensions of time as necessary to complete the project up to one year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This program has no statutory formula. Applicants are encouraged to make monetary contributions or provide in-kind services. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The intern program is usually a full-time position for 10-15 weeks. Each OSMRE/VISTA position is awarded to the host organization for a three-year full-time period. Each OSMRE/VISTA Volunteer must make, at least, a one year commitment. See the following for information on how assistance is awarded: www.osmrevista.org or www.gobridgenet.org. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly and a final programmatic report using the applicable SF- Performance Progress Report (PPR) are required. Quarterly and a final financial report using the Federal Financial Report SF 425 are required. Quarterly performance is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report; If any litigation, claim, or audits started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken, in accordance with 2 CFR Part 200 Subpart D 200.333

Account Identification:

14-5015-0-2-999.

Obligations:

Direct Payments for Specified Use (Cooperative Agreements) FY 20 \$724,510.00; FY 21 est \$675,000.00; FY 22 est \$674,999.00;

Range and Average of Financial Assistance:

Range and Average of Financial Assistance for OSMREs Youth Program is \$80,000-\$625K OSMRE/VISTA Program: Est. Average of \$200,000 OSMRE Semester Internship Program: Est. Average of \$90,350.76 OSMRE/AmeriCorps Program: Est. Average of \$491,250

TAFS Codes:

14-5015.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: OSMRE had 35 VISTAs and 5 Semester Interns participate in the Youth Program in FY 2020. The VISTAs engaged volunteers to provide over 3,000 hours of community service and generated more than \$510,000 in funding and in-kind resources for their communities Fiscal Year 2021: In FY 2021, a total of 16 Semester Interns and year-long AmeriCorps Members are expected to start, started, or completed terms in OSMRE Headquarters and Field Offices. Additionally, 20 OSMRE VISTAs generated more than \$380,000 in funding and in-kind resources for their communities in the first half of FY 2021. Fiscal Year 2022: In FY 2022, OSMRE expects to engage at least 30 youths in the AmeriCorps, VISTA, and Semester Internship programs in opportunities that further serve and improve communities affected by legacy mining and develop the careers of the participants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977 (Public Law 95-87) as amended; 91 Stat. 445-532; Public Lands Corps Healthy Forests Restoration Act of 2005, Public Law 109-154 as amended in the National Park Service Centennial Act, Public Law 114-289; Direct Hire Authority for Resource Assistant Internship Programs outlined by the Consolidated Appropriations Act of 2012, Public Law 112-74, H.R. 2055 Division E Title I Section 121, and 16 U.S.C. 1725(a).

Regional or Local Office:

None.

Headquarters Office:

Chief, Division of Reclamation Support Yolande J.C. Norman-Moore, Department of Interior Office of Surface Mining Reclamation & Enforcement 1849 C Street NW Main Interior Building, Room 4545, Washington DC 20240
Email: ynorman@osmre.gov
Phone: (202) 208-2868

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining; 15.253 Not-for-Profit AMD Reclamation; 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation; 15.252 Abandoned Mine Land Reclamation (AMLR) Program;

EXAMPLES OF FUNDED PROJECTS:

2020 In FY 2020, OSMRE provided educational and employment opportunities to youth in the areas of communications, budget, information technology, auditing, and general administration. 2021 In FY 2021, OSMRE provided and continues to provide educational and employment opportunities to youth in the areas of communications, budget, information technology, auditing, and general administration. 2022 In FY 2022, OSMRE expects to provide educational and employment opportunities to youth in the areas of communications, budget, information technology, auditing, and general administration.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to evaluate and select a proposal will be based on objective merit review criteria, program policy factors, provisions of appropriation laws, reports, and as otherwise specified in the Notice of Funding Opportunity. The breakdown of the merit review criteria include: ORGANIZATIONAL CAPACITY (25 Possible Points) PROGRAM DESIGN (30 Possible Points) ACCESS TO AMERICORPS POSITIONS (15 Possible Points) COST EFFECTIVENESS/BUDGET ADEQUACY (20 Possible Points) A sponsoring organization should be able to work closely with OSMRE to ensure compliance with OSMRE requirements and needs for the program. The sponsor organization must be able to organize their responsibilities and work well with community groups and have experience and/or understanding of mining impacts on communities as well the environment is an added benefit.

15.255 Science and Technology Projects Related to Coal Mining and Reclamation

Technology Development and Transfer Program,

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

OFFICE OF SURFACE MINING, RECLAMATION AND ENFORCEMENT,
INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977 (Public Law 95-87) as amended, Federal Grant and Cooperative Agreement Act of 1977 (Public Law 95-224),

OBJECTIVES:

The goal of the OSMRE Applied Science Program is to develop and demonstrate improved science based technologies to address environmental, and restoration topics related to the mining of coal. The first objective to help us meet this goal is to develop technical tools to improve the efficiency and accuracy of regulatory authorities in permitting coal mines and administering their federally approved regulatory program. A second objective is to examine the potential for new technologies that can aid in the protection of the public and the environment during mining and reclamation. A third objective is to provide opportunities for college and university students to participate in research projects related to coal mining and reclamation issues in order to build a pool of qualified potential applicants for future implementation of SMCRA. Mine Drainage Technology Initiative (MDTI) (formally known as the Acid Mine Drainage Initiative) provides a forum for collaboration and information exchange with the following goals: Develop an understanding of acidic and toxic mine drainage (MD) so as to better predict, avoid, monitor, and remediate mine drainage; Develop innovative solutions to acidic and toxic MD water-quality problems; Identify, evaluate, and develop best science practices to predict acidic and toxic MD prior to mining; and Identify successful remediation practices for existing sources of acidic and toxic MD and describe the best technology for its prevention. OSMRE is looking for MDTI studies that address the goals listed above that have the potential for improving the effectiveness of both the coal industry and the regulatory authority to conduct and regulate surface coal mining and reclamation activities that protect the environment and the public.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects must focus on issues related to coal mining in the United States. Project funding will be limited to the amount of the initial OSMRE award, no additional funding will be provided by OSMRE throughout the project. Projects that are long term in nature or larger in scope than what can be accomplished with funds available the prescribed fiscal year, must be described in such a manner to allow OSMRE to identify a severable portion of the project with specific measurable accomplishments.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applied Science Projects: Recipients may be any of the following: public, private, or non-profit entities; Federal, state, local or tribal governments; and colleges and universities located in the United States.

Underground Mine Map Projects: Recipients may be any state or Indian tribe where coal mining or reclamation activities authorized under SMCRA are occurring. Mine Drainage Technology Initiative Projects: Recipients may be any of the following: public, private, or non-profit entities; State, local or Tribal governments; and colleges and universities located in the United States.

Beneficiary Eligibility:

Public nonprofit institution/organization; State; Private nonprofit institution/organization; Communities impacted by coal mining and reclamation of the land after mining.

Credentials/Documentation:

NONE 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application should be submitted in response to a Notice of Funding Opportunity (NOFO) posted on Grant.gov. Applications must be submitted in accordance with the program objectives utilizing the SF424 series or other forms prescribed in the NOFO by the application deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications must be submitted through grant.gov in response to a NOFO. Application receive by the deadline will be reviewed to determine whether they are eligible, complete, and responsive and aligned with the respective program objectives and research grant areas as described in the Program Description. Applications determined to be ineligible, incomplete, and/or non-responsive based on the initial screening will be eliminated from further review. Applications that are determined to be eligible, complete, and responsive will proceed for full merit reviews in accordance with the review and selection processes set forth below for each of the respective programs. At least three objective reviewers, knowledgeable in the subject matter of this NOFO and its objectives, will evaluate each application based on the evaluation criteria. Each reviewer will assign individual scores to each criterion for each application. The scores provided by each reviewer for each application will then be combined to form a composite score for each application, which serves as the basis for the relative ordering or ranking of applications. OSMRE may select some, all, or none of the applications, or part(s) of any particular application. The OSMRE Field Directors or his/her designee approves financial assistance award funded at Regional or Local Office. The MDTI cooperative agreement is funded at the Headquarter level and is approved by the Chief of Regulatory Support.

Deadlines:

See the Notice of Funding Opportunity posted on Grants.gov, for the application submission deadline.

The application deadline will be 60 day from the initial posting date on Grants.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are not applicable; Each recipient grantee may apply for non-funded extensions of time as necessary to complete the project up to one year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grants and cooperative agreements may have a performance period of up to two years. Method of awarding/releasing assistance: lump sum.

Reports:

Programmatic reports are required on a quarterly basis. Report should be received 30 day after the end of the end of the quarter. Financial reports are required on a quarterly basis. Report should be received 30 day after the end of the end of the quarter. Financial (SF-425 and SF 425A) reports are required. Cooperative Agreement requires substantial involvement in the scope of work and are subject to performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to

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the Federal awarding agency or passthrough entity in the case of a subrecipient, except as noted in 2 CFR Part 200.333.

Account Identification:

14-5015-0-2-999.

Obligations:

Cooperative Agreements FY 20 \$1,020,000.00; FY 21 est \$1,079,999.00; FY 22 est \$1,079,990.00;

Range and Average of Financial Assistance:

Award amounts ranges from \$116K to \$200K.

TAFS Codes:

14-5015.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Applied Science: OSMRE received 51 applications and issuing 7 awards. Mine Drainage Technology Initiative: In FY 20, OSMRE received 6 applications and issued 1 award. Fiscal Year 2021: Applied Science: OSMRE anticipates receiving 20 applications and issuing 6 awards, if funds are available. Underground Mine Mapping: OSMRE does not anticipate any awards to be issued, due to lack of appropriations. Mine Drainage Technology Initiative: OSMRE anticipates receiving approximately 10 applications and issuing 1 award. Fiscal Year 2022: Applied Science: OSMRE anticipates receiving 20 applications and issuing 6 awards, if funds are available. Underground Mine Mapping: OSMRE does not anticipate any awards to be issued. Mine Drainage Technology Initiative: OSMRE anticipates receiving approximately 10 applications and issuing 1 award.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87; as amended; and informal agency guidelines titled "Federal Assistance Manual."

Regional or Local Office:

See Regional Assistance Locations. Applied Science: Mike Richmond, Supervisory Physical Scientist Office of Surface Mining Reclamation and Enforcement Phone: (412) 937-2850 Email: mrichmond@osmre.gov Mine Drainage Technology Initiative: Cecil Slaughter, Hydrologist Phone: (202) 208-2866 Email: cslaughter@osmre.gov

Headquarters Office:

Chief, Division of Reclamation Support Yolande J.C. Norman-Moore, Chief, Department of Interior Office of Surface Mining Reclamation & Enforcement 1849 C Street NW Main Interior Building, Room 4545, Washington DC 20240

Email: ynorman@osmre.gov

Phone: 2022082868

Fax: Stephanie Hamlett,

Office of Surface Mining Reclamation and Enforcement 1849 C Street NW Mail Stop 4550,

Washington DC 20240

Email: shamlett@osmre.gov

Phone: (202) 208-2802

Fax:

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining;

EXAMPLES OF FUNDED PROJECTS:

2020 In FY 2020, the Technical Studies program funded eight studies that focused on geomorphic impoundment reclamation, improving surface and water quality in mined areas, re-vegetation and use of reclaimed mine sites by threatened or endangered species, reclamation of AML coal mine waste sites, and potential uses of residues produced by treatment of mining impacted water. The Mine Drainage Technology Initiative funded a study regarding selective recovery of critical minerals from acid mine drainage and its byproducts. 2021 In FY 2021, the Technical Studies program solicited proposals to fund studies that focused on use of Acid Mine Drainage (AMD) Waste as a Soil Amendment, Pollutional Discharge Prevention Permitting Strategy for Underground Mines, Water Quality Impacts from Mining, Evaluation of the Potential for Economical and Environmentally Friendly Extraction of Rare Earth Elements from Coal Mine Waste, Characteristics

of Successful Stream Restoration Projects under SMCRA and Section 404 of the Clean Water Act, Evaluation of Backfill Aquifers as Water Supply Sources, etc. 2022 Potential projects for Applied Science funding in FY 2022 have not yet been determined. If applicable, projects will have the potential for improving the effectiveness of both the coal industry and the regulatory authority to conduct and regulate surface coal mining and reclamation activities that protect the environment and the public.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to evaluate and select proposal will be based on an objective merit review, program policy factors, provisions of appropriation laws, reports, and as otherwise specified in the Notice of Funding Opportunity.

15.406 National Park Service Centennial Challenge

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Title 54 USC 101701(b) Challenge Cost-share Agreement Authority; Public Law 110-161, Title I, Department of the Interior, Centennial Challenge; Public Law 114-289, Title I, Centennial Challenge Fund.,

OBJECTIVES:

The National Park Centennial Initiative is an effort to prepare national parks for another century of conservation, preservation, and public enjoyment. Project efforts will improve parks and serve all Americans. Since 2015, the National Park Service has leveraged more than \$88 million in funding from Congress through the Centennial Challenge program to attract more than \$119 million from partner organizations to support hundreds of projects across the country. These projects reduce the maintenance backlog, improve visitor services, support outreach to new audiences, and strengthen partnerships to reinvigorate national parks and forge connections to new communities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

For the purpose of sharing costs or services in carrying out authorized functions and responsibilities of the Secretary of the Interior with respect to any unit or program of the National Park System through Centennial Challenge projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Non-federal partners may include state agencies, Tribes, local governments, non-governmental organizations, private companies, and private individuals. Non-federal partners are expected to match NPS Centennial Challenge Cost Share funds at or above 1:1 for their respective projects.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State and local governments, private, public, profit, nonprofit organizations and institutions who are positioned to contribute at least 50 percent of the value of projects included in the list of approved Centennial Challenge projects.

Credentials/Documentation:

Proposals that support the goals of the NPS Centennial Challenge including commitment letters from partners demonstrating ability to contribute the required match and readiness to complete the project. Proposals include description of the

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project, proposed budget, commitments from recipients. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Proposals that support the goals of the NPS Centennial Challenge including commitment letters from partners demonstrating ability to contribute the required match and readiness to complete the project. Proposals include description of the project, proposed budget, commitments from recipients.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications will be considered via an internal NPS system, PMIS. Interested parties should work with an NPS office to submit a project proposal.

Award Procedure:

Proposals received are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with evaluation criteria. A panel of NPS staff recommends projects to the NPS Director, who makes the final decision on project selection.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Projects eligible for funding are submitted up to 12 months in advance of funding determinations.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Yes. Have partner(s) who are ready, willing, and able to contribute at least 50% of the project cost to the NPS in the form of cash or assets from non-federal sources. In-kind services from the partner may only be received in addition to, not in place of, the 1:1 cash or asset contribution. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project approval, execution and completion period is typically for a time period not to exceed 24 months. Specific requirements for project execution and completion are outlined in detailed project plans and budgets. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For projects under \$100,000 the NPS will require a budget status update and project progress report on an annual basis. Projects over \$100,000 must submit quarterly reports to the Centralized Centennial Acquisitions and Financial Assistance Team. All projects and activities are monitored for progress and compliance with the use of Quarterly and Final Narrative Reports and the Financial Status Report SF-425. Recipients are reimbursed by using ASAP. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report;

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Per 2 CFR Part 200.501-521, including applicable Appendices

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-2645-0-1-303.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$28,821.00; FY 21 est \$29,999.00; FY 22 est \$29,998.00;

Range and Average of Financial Assistance:

\$5,000 - \$50,000

TAFS Codes:

14-2645.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: One project for the Anchorage School District received funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

None.

Headquarters Office:

Centennial Challenge Fund Source Manager, Lori Bianchet, Centennial Challenge Fund Source Manager,

Department of the Interior, National Park Service, 1849 C Street, N.W.,

Washington DC 20240

Email: lori_bianchet@nps.gov

Phone: (202) 513-7218

Website Address:

<https://www.nps.gov/subjects/centennial/nps-centennial-challenge-projects.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 San Antonio Missions National Historical Park Superintendent Mardi Arce announced a \$300,000 project in partnership with Mission Heritage Partners to restore and preserve historic masonry in the park. The funding will be utilized to hire a temporary mason crew to preserve portions of the over 270,000 sq. ft. of historic masonry the National Park Service preserves. Working with the Thomas A. Edison Papers Project at Rutgers, The State University of New Jersey, the park will preserve and improve accessibility to the Edison Archives in West Orange. The funding for this project will be matched by Rutgers. The historic line shafts in the heavy machine shop in Edisons West Orange Laboratory will also be repaired for safe operation, with funds matched by The Friends of Thomas Edison NHP, Inc.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects and programs must: Provide for authorized activities that benefit one or more National Park System areas; require little or no additional recurring NPS operating or maintenance funds to be sustainable; and have partner(s) who will contribute at least 50% of the project cost to the NPS in the form of cash or assets from non-federal sources.

15.407 Keweenaw National Historical Park (NHP) Preservation Grants

Keweenaw Heritage Grants,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Law 102-543, Keweenaw NHPs Enabling Legislation: 16 U.S.C. 410yy-6 Cooperative Agreements, and 16 U.S.C. 410yy-8(a)(7) Technical Assistance, as

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amended March 30, 2009 with changes to SEC. 7101. FUNDING FOR KEWEENAW NATIONAL HISTORICAL PARK.,

OBJECTIVES:

The Keweenaw NHP Preservation Grant program is intended to provide annual ONPS base funding to the Keweenaw NHP Advisory Commission to assist in the fulfillment of their legislated duties: to carry out historical, educational, or cultural programs which enhance understanding and preservation of the historic and cultural resources in the park and surrounding area on Michigans Keweenaw Peninsula. The program also makes possible annual funding to the Village of Calumet to cover a portion of costs associated with administration of the Civic and Commercial Historic District Ordinance. Additionally, the Keweenaw NHP Preservation Grant program is intended for occasional program funding of park partner enhancement projects that address preservation of historic or cultural resources related to the story of copper on the Keweenaw Peninsula.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Annual funding is to be used by the Keweenaw NHP Advisory Commission in the fulfillment of legislated duties. Annual funding is used by the Village of Calumet to cover expenses related to the administration of its historic district ordinance. Additional funding for preservation or interpretation projects may be available through special program opportunities to owners or operators of non-Federal properties containing nationally significant historic or cultural resources that are related to the story of copper on the Keweenaw Peninsula.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Eligibility for these funds include the Keweenaw NHP Advisory Commission and owners or operators of nationally significant, or potentially significant, properties on the Keweenaw Peninsula located: within the boundaries of Keweenaw NHP; affiliated with an existing Keweenaw Heritage Site; at the Cliff Mine in Keweenaw County, along Torch Lake in Houghton County, or within the community of Painesdale in Houghton County.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries may include: Public Nonprofit Institution / Organization: Keweenaw NHP Advisory Commission; Keweenaw NHP Heritage Sites; local or regional units of government; academic institutions, or federally recognized Indian tribes.

Credentials/Documentation:

All recipients are required to cite laws or by-laws that authorize the organization to accept funds. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Award procedures adhere to DOI, NPS Financial Assistance requirements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Partners in the form of property owners, operators, or organizations must be able to provide a 1-to-1 match, with up to 100% of the match being in-kind (non-cash expenses that are directly related to the proposed project). See above for applicable statute.NOTE: This requirement does NOT apply to the Keweenaw NHP Advisory Commission for which a match is not required.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is provided on a project-specific basis or within a specified time period. Most projects are completed within months, but may vary depending on complexity and other factors Method of awarding/releasing assistance: lump sum.

Reports:

SF-425, Federal Financial Report Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient must adhere to 2 CFR 200 concerning the type of records and record maintenance requirements.

Account Identification:

14-1036-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$117,000.00; FY 21 est \$100,000.00; FY 22 est \$100,000.00;

Range and Average of Financial Assistance:

RANGE: \$1,000 - \$150,000

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Sub-awards were made to two non-profit entities that support the park's mission.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Elizabeth Rossini, Assistant Superintendent 800 E. Lakeshore Dr. Houghton, MI 49931 Phone: (906)487-7142 Email: betsy_rossini@nps.gov

Headquarters Office:

Keweenaw NHP Superintendent Wendy Davis, Keweenaw NHP Headquarters, 25970 Red Jacket Road, Calumet MI 49913

Email: wendy_davis@nps.gov

Phone: .

Website Address:

<https://www.nps.gov/kewe/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Two sub-awards were made by the Advisory Commission. 2021 Sub-awards

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will be made to eligible entities with missions and projects that support the park.

CRITERIA FOR SELECTING PROPOSALS:

15.407 CDFA is not a grant program for which proposals are solicited.

15.408 Bureau of Ocean Energy Management Renewable Energy

Renewable Energy Program,

FEDERAL AGENCY:

BUREAU OF OCEAN ENERGY MANAGEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

43 U.S.C. 1337; 43 U.S.C. 1345 (e); 43 U.S.C. 1346.,

OBJECTIVES:

The Renewable Energy Program oversees the leasing of areas on the outer continental shelf for development of renewable energy facilities. The program not only supports decisions made within the Department of the Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore renewable energy projects are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1345 authorizes the use of cooperative agreements with affected states to meet the requirements of OCSLA, including sharing information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and state laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to acquire information to satisfy the information needs associated with leasing and plan review for renewable energy development on the outer continental shelf. Applications must be for projects which are within BOEM's area of responsibility.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); State; State agencies and public universities may apply. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Federal; Native American Organizations; Scientist/Researchers; State; U.S. Citizen; Local; Research scientists, Federal, state and local decision makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. This includes submission of proposals that describe the proposed activities, identify methods for accomplishing the activities, and/or describe the process for ensuring that qualified personnel are used to conduct the activities. The regulations at 2 CFR 220 Cost Principles for Educational Institutions (OMB Circular A-21) and 2 CFR 225 Cost Principles for State, Local and Indian Tribal Governments (OMB Circular No. A-87) apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Limited discussions regarding funding availability and program interest are permitted.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications as specified in the Notice of Funding Opportunity (NOFO). NOFOs will be posted on <https://www.grants.gov/Grants.gov>, and enroll in a new database GrantSolutions <https://home.grantsolutions.gov/home/>. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used.

Award Procedure:

Awards are made based on a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals may include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that application(s) will be received and cooperative agreement award(s) will be made in FY 2021.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals can be made through submission and approval of a project agreement amendment.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Cost sharing of 1:1 is encouraged. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added incrementally as availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly progress reports; draft and final report; draft and final technical summary; data must be made publicly available. Cash reports are not applicable. Progress reporting requirements are met via the quarterly progress report. Annual financial reports and a final report using the Federal Financial Report SF-425 are also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$400,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$100,000 to \$1,000,000; Average \$500,000.

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TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fish telemetry and Neuston surveys. Fiscal Year 2021: Not Available and this Program is not funded this fiscal year. Fiscal Year 2022: Not Available and this Program is not funded this fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about plan requirements can be found in 30 CFR Part 585.

Regional or Local Office:**Headquarters Office:**

Mary Boatman,
381 Elden Street, HM 3115,
Herndon VA 20170
Email: mary.boatman@boem.gov
Phone: (703) 787-1662
Fax: (703) 787-1708

Website Address:

<http://www.boem.gov/>

RELATED PROGRAMS:

15.424 Marine Minerals Activities; 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP);

EXAMPLES OF FUNDED PROJECTS:

2020 Fish telemetry and Neuston surveys

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals may include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.421 Alaska Coastal Marine Institute

(ALASKA CMI),

FEDERAL AGENCY:

BUREAU OF OCEAN ENERGY MANAGEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.,

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, States and the Native American community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of the Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Alaska Coastal Marine Institute (CMI) program is to use highly qualified scientific expertise at local levels to collect and disseminate

environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the BOEM-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates up to \$1,000,000 per year for cooperative research through the AK CMI program, subject to the availability of funds. The University of Alaska (AK) invites proposals that are reviewed by a Technical Steering Committee including the University, State of Alaska, and BOEM to fund individual awards. Applications must be for scientific research projects, which are within the BOEM's area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals, and renewable energy projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); University of Alaska may make application for support by a named principal investigator. Non-UA scientists may participate in collaboration with a UA principal investigator.

Beneficiary Eligibility:

Federal; State; Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects must be provided. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Limited discussions regarding funding availability and program interest are permitted. Environmental Impact Statements are not required for these projects.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Letters of intent responding to particular information needs/areas will be requested. From these, selected full proposals will be invited for mission-relevant research likely to provide needed information for OCS oil and gas resource management decisions. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on Grants.gov. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Awards are made based on a competitive peer review of proposals by a panel consisting of government scientists and research managers, and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits, and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that four applications will be received, and three awards will be granted in FY 2021.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 180 to 270 days.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Recipients share costs on a one-dollar-for-one-dollar contribution across the program. In any fiscal year, the collective CMI match commitment must equal or exceed BOEM contributions. On rare occasions exceptions may be considered. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded incrementally as availability of funds, with approximately 15 percent to be provided in the final year of performance. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a publicly held meeting as directed by BOEM are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for each award. Dissemination of the research results conducted under the CMI at professional society meetings and symposia is encouraged. Cash reports are not applicable. Annual and final Federal Financial Reports using OMB Standard Form SF-425 are required. Performance monitoring is applicable. Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a publicly held meeting as directed by BOEM are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for each award. Dissemination of the research results conducted under the CMI at professional society meetings and symposia is encouraged. Cash reports are not applicable. Annual and final Federal Financial Reports using OMB Standard Form SF-425 are required. Performance monitoring is applicable. Annual and final Federal Financial Reports using OMB Standard Form SF-425 are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$1,000,000.00; FY 21 est \$500,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$45,000 to \$399,000; Average \$186,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: CMI Program Accomplishments FY2020 FY 2021 The Alaska CMI has 120 completed /ongoing funded studies since its inception in 1993, totaling approximately \$22 million. Fiscal Year 2021: CMI Program Accomplishments FY2020 FY 2021 The Alaska CMI has 120 completed /ongoing funded studies since its inception in 1993, totaling approximately \$22 million. All CMI funded projects require a one-to-one cost share with non-federal partners. Cost sharing ensures stakeholders are participating in the CMI research program. The following list of cost share partners demonstrates the breadth of support for CMI-funded programs: Alaska Department of Fish and Game; Alaska SeaLife Center; College of Fisheries and Ocean Sciences, UAF; International Arctic Research Center, ConocoPhillips; Bigelow Laboratories; University of Hawaii;

University of New Orleans; North Slope Borough; Exxon Valdez Oil Spill Trustees Council; Cook Inlet Regional Citizens Advisory Council; Pacific Gyre; Monterey Bay Aquarium; Oil Spill Response Institute; and the Wildlife Conservation Society. In addition to the completion of Annual Reports, peer review journal articles and reports have been completed. During the last two years, there have been student awards issued independently. Both the students and professors who receive CMI funding present their findings on an annual basis. Over the last several years, this has occurred in coordination with the Alaska Marine Science Symposium. Fiscal Year 2022: This Program is estimated to not have funding this fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M Studies. Final Reports are available online in the BOEM Environmental Studies Program Information System (ESPIS) at: <http://www.boem.gov/Environmental-Studies-EnvData/>.

Regional or Local Office:

See Regional Assistance Locations. Heather Crowley, Telephone: 907-334-5281; Fax 907-334-5242; Email address: heather.crowley@boem.gov

Headquarters Office:

Chief Rodney Cluck,
Division of Environmental Sciences, Bureau of Ocean Energy Management, 45600 Woodland Road, VAE-AMDSterling, VA 20166,
Herndon VA 20170

Email: rodney.cluck@boem.gov

Phone: (703) 787-1087

Fax: (703) 787-1053

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI); 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP);

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available. 2021 Expansion of Pink Salmon populations in the Arctic produced from regional watersheds; Hydrocarbon Oxidation Products in Cook Inlet: Formation and Bioaccumulation in Mussels. 2022 This Program is estimated to not have funding this fiscal year.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM and non-BOEM scientists (government, public, and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits, and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI)

(LSU CMI),

FEDERAL AGENCY:

BUREAU OF OCEAN ENERGY MANAGEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Lands Corps Act (PLCA), 16 U.S.C. 1723(c)(1),

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, States and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports

ASSISTANCE LISTINGS DESCRIPTIONS

decisions made within the Department of the Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Louisiana State University (LSU) Coastal Marine Institute (CMI) program is to use highly qualified scientific expertise at local levels to collect and disseminate environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the BOEM-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates \$1,000,000 annually for cooperative research via LSU. Applications must be for scientific research projects which are within the BOEM area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); To apply for a research award, the recipient is asked to provide the name of the Principal Investigator. If an applicant other than LSU wants to apply, they must do so in collaboration with an LSU research scientist.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Native American Organizations; State; Local; Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects must be provided. This program coverage under 2 CFR 200, Subpart E - Cost Principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Limited discussions regarding funding availability and program interest are permitted.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications as specified in the Notice of Funding Opportunity (NOFO). NOFOs will be posted on <https://www.grants.gov/> Grants.gov. and enroll in a new database GrantSolutions <https://home.grantsolutions.gov/home/>. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government scientists and research managers, and external reviewers,

as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits, and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that up to twenty-four applications will be received and up to six awards will be granted in FY 2021.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 180 to 270 days.

Appeals:

Not Applicable.

Renewals:

Other. Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years unless otherwise stated in the Notice of Funding Opportunities (NOFO).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching Requirements: Cost sharing of 1:1 is encouraged; services in kind are encouraged. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. Method of awarding/releasing assistance: other

Reports:

Annual reports including: a comparison of actual accomplishments to the goals established for the period; reasons why established goals were not met; and other pertinent information as applicable. Progress meetings and reports may be required as applicable; additional requirements may be identified in a specific Notice of Funds Opportunities (NOFO). Annual financial reports using the Federal Financial Report SF-425 are also required. Applicable. Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a publicly held meeting as directed by BOEM are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals; and presentation slides are required for each award. Dissemination of the research results conducted under the LSU CMI at professional society meetings and symposia is encouraged. Cash reports are not applicable. Annual and financial reports using the Federal Financial Report SF-425 are required. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$100,000 to \$650,000; Average \$300,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Not available, this Program is not funded this fiscal year
Fiscal Year 2021: Not available, this Program is not funded this fiscal year
Fiscal Year 2022: This Program is estimated to have no funding this fiscal year

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M Studies. Final reports are available online in the BOEM Environmental Studies Program Information System (ESPIIS) at: <http://www.boem.gov/Environmental-Studies-EnvData/>.

Regional or Local Office:

See Regional Assistance Locations. Jack Lorrigan
The Bureau of Safety and Environmental Enforcement (BSEE) 3801 Centerpoint Drive, Suite 400, AE 500 Anchorage, Alaska 99503
jack.lorrigan@bsee.gov 907-334-5357

Headquarters Office:

Chief Eric Turner,
The Bureau of Safety and Environmental Enforcement (BSEE) 45600 Woodlawn Road, HE 2126,
Sterling VA 20166
Email: eric.turner@bsee.gov
Phone: 703-787-1630
Fax:

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP);

EXAMPLES OF FUNDED PROJECTS:

2020 Not Applicable. 2021 Not Applicable 2022 Not Applicable

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM and non-BOEM scientists (government, public, and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits, and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.423 Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES)

(ESP).

FEDERAL AGENCY:

BUREAU OF OCEAN ENERGY MANAGEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.,

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both

onshore and offshore. The purpose of the Environmental Studies Program is to obtain the information needed for the assessment and the management of environmental impacts; to predict impacts on marine biota; and to monitor the human, marine, and coastal environments to provide time series and data trend information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct environmental research to satisfy the information needs associated with oil and gas, alternate energy and marine mineral development on the outer continental shelf. Applications must be for scientific research projects which are within the BOEM' area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); State agencies, public universities, and non-profits in affected states may apply. More than one institution may collaborate in the preparation of an application for assistance. Scientists from other institutions may participate in collaboration with a principal investigator from a State agency or public university.

Beneficiary Eligibility:

Federal; Anyone/general public; Native American Organizations; State; Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects must be provided. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Limited discussions regarding funding availability and program interest may be permitted. Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications as specified in the Notice of Funding Opportunity (NOFO). NOFOs will be posted on <https://www.grants.gov/Grants.gov>. and enroll in a new database GrantSolutions <https://home.grantsolutions.gov/home/>. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that 30 applications will be received, and 11 new cooperative agreement awards will be granted in FY 2021.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. No statutory requirements. Cost sharing of 1:1 is encouraged. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded incrementally as funds become available, with approximately 15% to be provided in the final year of performance. Method of awarding/releasing assistance: other

Reports:

Annual reports including: a comparison of actual accomplishments to the goals established for the period; reasons why established goals were not met; and other pertinent information as applicable. Quarterly progress reports; a presentation at periodic BOEM Information Transfer Meetings; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required. Dissemination of the research results at professional society meetings and symposia is encouraged. Annual and final financial reports using the Federal Financial Report SF-425 are also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$8,080,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$150,000 to \$5,500,000; Average \$320,000

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: BOEM is able to improve the scientific base for managing the OCS through access to a collaborative network of federal and academic researchers and technical experts. Many projects include opportunities to train students and contribute to the next generation of environmental science leaders. BOEM's Pacific Region has several ongoing studies. Fiscal Year 2022: This program is estimated to not be funded this fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M-Studies. Final reports are available online in the BOEM Environmental Studies Information System at: <http://www.boem.gov/Environmental-Studies-EnvData/>

Regional or Local Office:

None.

Headquarters Office:

Chief Rodney Cluck,

Bureau of Ocean Energy Management, 45600 Woodland Road,
Sterling VA 20166

Email: rodney.cluck@boem.gov

Phone: (703) 787-1087

Fax: (703) 787-1053

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.408 Bureau of Ocean Energy Management Renewable Energy ; 15.421 Alaska Coastal Marine Institute; 15.422 Louisiana State University (LSU) Coastal Marine Institute (CMDI); 15.424 Marine Minerals Activities; 15.443 Not For Profit; 15.444 Take Pride;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available. 2021 A continuing study on Supplemental Data Regarding the Behavioral Response of Rock Crabs to Subsea Cables with Electromagnetic Fields and Potential Impact to Fisheries, a joint effort among BOEM, is to determine whether alternating current powercables associated with renewable energy (viz. wind turbine) deter commercial crab species (i.e., rock crabs) from crossing over the cables to reach baited monitoring (PAM) and animal tagging allowing BOEM to improve density maps of deep diving whale Alaska Region has several ongoing studies including work on the Boulder Patch and Other Kelp Communities in the Beaufort Sea, and Wave and Hydrodynamic Observations and Modeling in the Nearshore Beaufort Sea. 2022 This Program is estimated to not be funded this fiscal year

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.424 Marine Minerals Activities

(Marine Minerals Activities),

FEDERAL AGENCY:

BUREAU OF OCEAN ENERGY MANAGEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331.,

OBJECTIVES:

BOEM provides financial assistance to qualified partners to identify and evaluate OCS sediment for potential use in coastal restoration and beach nourishment projects or programs and to foster capacity building and working relationships with coastal States to address coastal erosion, coastal resilience, and climate adaptation. BOEM funds activities such as conducting state-based needs assessments; geophysical and geological data acquisition in specific areas on the OCS; analysis and interpretation of existing or new geophysical and geological data to delineate sediment resources or benthic habitat; development of regional stratigraphic interpretations or models; modeling to describe or understand geologic processes that affect sediment resources; feasibility and related technological or operations studies; and cultural resources or shallow hazards identification. BOEM also provides financial assistance to qualified partners to assist in the identification and assessment of other potential OCS mineral resources such as critical minerals (as defined by Executive Order 13817 A Federal Strategy to Ensure Secure and Reliable Supplies of Critical Minerals). BOEM funds activities such as geophysical and geological data acquisition in specific areas on the OCS, as well as analysis and interpretation of existing or new geophysical and geological data to delineate marine minerals.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

The funds must be spent for OCS-related sand activities. These cooperative agreements are awarded to assist States in order to identify needs and sand supplies for beach nourishment projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Proposals are received from coastal States in need of coastal restoration.

Beneficiary Eligibility:

Anyone/general public; State; State agencies and organizations participating in the project, as well as the general public.

Credentials/Documentation:

States submit proposals to BOEM, which furnish evidence of the applicant's qualifications for performance of the proposed research. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Qualified applicants are invited to participate in a coordination conference held by BOEM to define future needs related to OCS sediment resources and resource identification for coastal restoration projects or programs. Coordination meetings between BOEM and qualified applicants are held to develop a long-term strategy and determine short term information needs to advance BOEM and coastal States goal to develop and maintain a National Offshore Sand Inventory and develop a National Offshore Critical Mineral Inventory. A Notice of Funding Availability is posted on Grants.gov notifying applicants that BOEM is accepting proposals for funding opportunities. BOEM reviews proposals and selects reward recipients. Applicants should consult the office of official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on Grants.gov www.grants.gov. database and enroll in a new database GrantSolutions <https://home.grantsolutions.gov/home/> as specified in the Notice of Funding Opportunity. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used.

Award Procedure:

BOEM and potentially representatives from other Federal agencies will review and discuss the States' proposals and make the final decision on the funding for a project. A notification of a cooperative agreement award is issued so the project can begin.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years unless otherwise stated in the Notice of Funding Availability.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching

Requirements: Percent: 0%. Requirement: Matching requirements are voluntary.

The Leasing Division-Marine Minerals Program encourages a 1-to-1 match of each Federal dollar with a nonfederal dollar. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Periods of performance for cooperative agreements may be for 1 5 years with potential for no-cost time extensions if needed. With funding subject to be awarded incrementally, subject to availability of Funds. See the following for information on how assistance is awarded/released: Information not available. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports are required. Progress meetings and reports may be required as applicable; additional requirements maybe identified in a specific Notice of Funds Opportunities (NOFO). Annual and final financial reports using the OMB SF-425 form are required. Applicable. Annual and final technical reports are required. Cash reports are not applicable. Annual and final financial reports using the OMB SF-425 form are required. Performance Monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-0-306.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$2,925,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$50,000 to \$1,000,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal Year 2018 Awarded funds to three cooperative agreements with; Virginia Department of Mines, Minerals and Energy, the University of Texas Institute of Geophysics and the University of Southern Mississippi. Fiscal Year 2018: Cooperative agreements were awarded to the Virginia Department of Mines, Minerals and Energy to develop a feasibility study that will examine alternative methodologies for the recovery of economically valuable minerals from marine sand deposits. The University of Texas Institute of Geophysics providing research on resources inventory providing development and application of Geophysical Processing Workflows for Sand Resource Evaluation, research will be conducted on the OCS off the coast of Texas. The University of Southern Mississippi study combining new and existing geological and geophysical OCS data for a multi-state region, to develop assessments of sand and gravel resources to be made available for protection of communities and critical infrastructure from future coastal storms. Fiscal Year 2019 Awarded funds to four cooperative agreements with U.S. Coastal Research Program (USCRP) the University of South Florida, Alabama Geological Survey, Florida Department of Environmental Protection and the Louisiana Coastal Protection and Restoration Authority. Fiscal Year 2019: Cooperative agreements were awarded to USCRP the University of South Florida to study whether additional bathymetric surveys should be performed following storms, and whether resources are truly part of the sediment budget of the adjacent shoreline. The Alabama Geological Survey to recover legacy geological and geophysical data that will ultimately provide for development of an offshore sediment resources inventory. The Florida Department of Environmental Protection offshore sediment resources inventory. The Louisiana Coastal Protection and Restoration Authority to expand knowledge of offshore sand resources in a way that can positively impact coastal restoration and protection efforts, as well as coastal resiliency and sediment resource inventory. Fiscal Year 2020: Awarded funds to nine cooperative agreements with the Louisiana Coastal Protection and Restoration Authority, Florida Department of

ASSISTANCE LISTINGS DESCRIPTIONS

Environmental Protection, Alabama Geological Survey, Louisiana State University Coastal Marine Institute, University of Louisiana at Lafayette, University of Texas Institute of Geophysics, Texas General Land Office and the University of Washington. Fiscal Year 2020: Cooperative agreements were awarded to the Louisiana Coast Protection and Restoration Authority to increase the available datasets for restoration-quality sediment to be made available for protection of communities and critical infrastructure from coastal storms in Louisiana and for the continued effort to incorporate geological and geophysical legacy data from the State of Louisiana into the BOEM Marine Minerals Information System. The Florida Department of Environmental Protection offshore sediment resources inventory. The Alabama Geological Survey to recover legacy geological and geophysical data that will provide for development of an offshore sediment resources inventory. The Louisiana State University Coastal Marine Institute investigating of Non-linear Sedimentation on Dredge Area Benthic Ecosystem on the Louisiana Shelf. The University of Louisiana at Lafayette to examine the impacts of dredging on habitat and species in Ship Shoal. The University of Texas Institute of Geophysics to process data in support of OCS sediment inventory. The Texas General Land office to collect new geological and geophysical OCS data to develop assessments of sand and gravel resources to be made available for protection of communities and critical infrastructure from future coastal storms in Texas. The University of Washington to co-investigate the In-situ Vent Analysis Divebot for Exobiology Research (InVADER) project. Fiscal Year 2021: The Delaware Geological Survey to describe and map resource demand by project, project proponent, and geography relative to proven, potential, and unproven sand resources economically and technically accessible in the adjacent OCS. The Texas General Land Office to research the Upper Texas Coast conducting reconnaissance level geophysical and geological surveys. The University of Southern Mississippi to conduct research relating to offshore sediment resources, coastal restoration and protection, and coastal resiliency. The State of Louisiana to research South West Louisiana Coast reconnaissance level geophysical and geological surveys. Fiscal Year 2022: This Program is estimated to not have funding this fiscal year

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Endangered Species Act, Magnuson-Stevens Fisheries Conservation Act, the National Environmental Policy Act (NEPA) of 1969, and the Coastal Zone Management Act.

Regional or Local Office:

See Regional Assistance Locations. BOEM New Orleans Office: GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394; BOEM Pacific Office: 770 Paseo Camarillo, 2nd Floor, Camarillo, CA 93010.

Headquarters Office:

Keith Good,
381 Elden Street, MS-E3313,
Herndon VA 20170
Email: keith.good@bsee.gov
Phone: 7037871851
Fax: 7037871555

Website Address:

<http://www.boem.gov/MarineMineralsProgram>

RELATED PROGRAMS:

15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP);

EXAMPLES OF FUNDED PROJECTS:

2020 No Available Information, the Program was not funded this fiscal year. 2021 The Delaware Geological Survey to describe and map resource demand by project, project proponent, and geography relative to proven, potential, and unproven sand resources economically and technically accessible in the adjacent OCS. The Texas General Land Office to research the Upper Texas Coast conducting reconnaissance level geophysical and geological surveys. The University of Southern Mississippi to conduct research relating to offshore sediment resources, coastal restoration and protection, and coastal resiliency. The State of Louisiana to research South West Louisiana Coast reconnaissance level geophysical and geological surveys. 2022 Not Applicable, the Program is estimated to not fund this fiscal year

CRITERIA FOR SELECTING PROPOSALS:

The most important criteria are the relevance to State and Federal needs, technical ability of the State agency, and cost of the project.

15.427 Federal Oil and Gas Royalty Management State and Tribal Coordination

(FOGRMA),

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Federal Oil and Gas Royalty Management Act of 1982, Public Law 97-451, as amended.,

OBJECTIVES:

To ensure that all oil, gas, and solid minerals originated on the public lands and on the Outer Continental Shelf are properly accounted for under the direction of the Secretary of the Interior, and for other purposes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All projects are restricted to State and Federally recognized Tribal Governments with cooperative audit agreements executed in compliance with the Authorization above. No discretionary funds are available under this program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State and Tribal Governments as specified in Title II of the Federal Oil and Gas Royalty Management Act of 1982, as amended.

Beneficiary Eligibility:

State; Federally Recognized Indian Tribal Governments; States and Federally recognized Tribal Governments that receive funds collected as a result of the compliance activities on Federal and Indian mineral leases.

Credentials/Documentation:

Must follow 30 CFR Parts 1200 to 1699 to end Mineral Resources, Government Accountability Offices (GAO) Generally Accepted Government Audit Standards (Yellowbook), and Office of Natural Resources Revenue Audit and Compliance Procedures. . 43 CFR 12 and the Cost Principles, , as of December 26, 2014 the 2 CFR 200 will supersede the 43 CFR 12 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point-of-contact in his or her State for more information on the process the State requires in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Projects are reviewed at the Office of Natural Resources Revenue (ONRR) State and Tribal Support (STS). Program level and funding recommendations are made through each State and Tribe's annual work plan and budget. Final budget approvals rest with the ONRR Director's Washington Office.

Deadlines:

April 01, 2021. For recipients on the Federal fiscal year cycle, applications are due on April 1st of each year. For recipients operating on an alternative fiscal year cycle, such as the State fiscal year cycle, applications are due on April 1, as well.

Range of Approval/Disapproval Time:

Other. New projects are approved through the project review and budget cycle that normally requires 180 days to receive funding.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Per 30 CFR 1227.110, agreements are effective for three years with an option to renew for an additional three years; however, projects are funded on a year to year basis, and funds are expended during a particular fiscal year. Reimbursements are drawn down either monthly or quarterly throughout the fiscal year. Method of awarding/releasing assistance: other

Reports:

Progress reports are required quarterly. Federal Financial Reports (SF 425) are required at the end of the yearly performance period. Performance reports are required quarterly.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records relating to work performed and costs are kept by ONRR. There is no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.". As of December 26, 2014, omni circular 2 CFR Part 200 will supersede 43 CFR Part 12.

Account Identification:

15-1917-0-1-302.

Obligations:

Project Grants FY 20 \$14,103,803.00; FY 21 est \$14,354,555.00; FY 22 est \$14,274,456.00;

Range and Average of Financial Assistance:

Awards range from \$190,000 to \$3.0 million. Average is \$950,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program funded 15 cooperative agreements, 9 States and 6 tribal.

REGULATIONS, GUIDELINES, AND LITERATURE:

30 CFR 1228 Cooperative Agreement activities with States and Tribes.

Regional or Local Office:

See Regional Assistance Locations. Mr. Bruce Rumburg, P.O. Box 25165, Mail Stop 64110C, Denver, CO 80225-0165. Telephone: (303) 231-3457, Fax: (303) 445-9897, Email: bruce.rumburg@onrr.gov.

Headquarters Office:

Director,

Mr. Bruce Rumburg, P.O. Box 25165, Mail Stop 64110C,, Denver CO 802250165

Email: bruce.rumburg@onrr.gov

Phone: 3032313457

Fax: 3034459897

Website Address:

<http://www.onrr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

15.428 Marine Gas Hydrate Research Activities

FEDERAL AGENCY:

BUREAU OF OCEAN ENERGY MANAGEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331, Gas Hydrates Research Act and the Marine Minerals Research Act.,

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas, and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of the Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The BOEM Resource Evaluation Division maintains an interest in understanding the distribution and characterization of gas hydrate on the OCS, particularly as it relates to an assessment of gas hydrate accumulations as a potential energy resource.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds must be used for gas hydrate research activities in the OCS, specifically for characterization of the geological and geophysical components of gas hydrate as they relate to an assessment of in-place gas hydrate resource.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State agencies, public universities, and non-profits in affected states may apply. More than one institution may collaborate in the preparation of an application for assistance. Scientists from other institutions may participate in collaboration with a principal investigator from a State agency or public university. Proposals are received from the Universities (and qualified subcontractors) for research and scientific investigation. Applicants must have expertise in marine gas hydrates.

Beneficiary Eligibility:

Anyone/general public; Research scientists, Federal, State and local decision-makers, Native American organizations, and the general public will ultimately benefit from the program; also including organizations participating in the project, as well as the general public.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including submission of proposals that describe the proposed activities, identify methods for accomplishing the activities, and/or describe the process for ensuring that qualified personnel are used to conduct the activities; past performance and professional publications, resumes, and lists of past projects must be provided. Technical Proposals are submitted to the BOEM which furnish evidence of the applicant's qualifications for performance of the proposed research. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Research requirements are developed through technical discussions for the upcoming year. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications as specified in the Notice of Funding Opportunity (NOFO). NOFOs will be posted on <https://www.grants.gov/Grants.gov>. and enroll in a new database GrantSolutions <https://home.grantsolutions.gov/home/>. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

An internal BOEM review of the proposals is conducted including convening a panel consisting of government research managers and external reviewers, as appropriate, to review and make final decision on the funding for the project. A notification of a cooperative agreement award is issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching Requirements: The BOEM Gas Hydrates Research Program encourages matched contributions from other interested Federal agencies. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12 month budget periods, with funding subject to be awarded incrementally, subject to availability of Funds, with approximately 15 percent to be provided in the final year of performance.

Method of awarding/releasing assistance: other

Reports:

Annual reports including: a comparison of actual accomplishments to the goals established for the period; reasons why established goals were not met; and other pertinent information as applicable. Progress meetings and reports may be required as applicable; additional requirements may be identified in a specific Notice of Funds Opportunities (NOFO). Annual and final financial reports using the OMB SF-425 form are required. Applicable. Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a publicly held meeting as directed by BOEM are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals; and presentation slides are required for each award. Dissemination of the research results conducted under the LSU CMI at professional society meetings and symposia is encouraged. Cash reports are not applicable. Annual and financial reports using the Federal Financial Report SF-425 are required. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$0 to \$100,000

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Information available. Fiscal Year 2021: Not Available
Fiscal Year 2022: Not Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Gas Hydrates Research Act, and the Marine Minerals Research Act (1996).

Regional or Local Office:

See Regional Assistance Locations. BOEM New Orleans Office, GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394.

Headquarters Office:

Matthew Frye,
BOEM Resource Evaluation Division, 45600,
Sterling VA 20165
Email: matthew.frye@boem.gov
Phone: (703) 787-1514

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available, the Program is not funded this fiscal year 2021
No Current Data Available, the Program is not funded this fiscal year 2022
No Current Data Available, the Program is estimated to not fund this fiscal year

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM researchers (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals may include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.429 State Select

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Lands, 43 U.S.C 852 (a)(4); Minerals, Lands and Mining, 30 U.S.C 191a.,

OBJECTIVES:

ONRR shares 90 percent of oil and gas royalties with the State to be paid monthly subject to late disbursement interest.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

State; Revenue from public land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

State; ONRR distributes these funds to state governments for leased lands within the State and the State government has sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:**Audits:**

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5003-0-0-000.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$103,592.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165

Headquarters Office:

Director,

Office of Natural Resources Revenue 1849 C Street N.W., Mail Stop 4211,

Washington DC 20240

Email: onrr@onrr.gov

Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.430 8(g) State Coastal Zone

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Minerals, Lands and Mining, Title 43, Part 256.47(g), 30 U.S.C 191a; Public Lands, 43 U.S.C 1337.,

OBJECTIVES:

ONRR shares 27 percent of mineral leasing revenue derived from any lease issued after September 18, 1978, of any Federal tract which lies wholly or partially within 3 nautical miles of the Seaward boundary of any coastal state. Shared revenue is paid monthly.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions.

Applicant Eligibility:

State; Revenue from qualified leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

State; Leased Outer Continental Shelf Lands must be located within the 8(g) zone of a coastal state.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-6707-0-0-000.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$5,882,519.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-6707.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165

Headquarters Office:

Director,

U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211,

Washington DC 20240

Email: onrr@onrr.gov

Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.431 Alaska Settlement Agreement

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Lands; January 12, 1990 Settlement, 43 U.S.C 1635(l).

OBJECTIVES:

ONRR shares 100 percent with the State of Alaska to be paid monthly subject to

late disbursement interest for contracts, leases, permits, rights-of-way, or easements under Section 6(h) of the Alaska Statehood Act.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

State; Revenue from effected leases will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

State; Limited to Leases A-028056, A-028063, A-028135, A-028143, A-028103, A-028140, A-19230, A028055, and A-028047.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5003-0-0-000.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management Division, Denver Federal Center, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165

Headquarters Office:

Director,
Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211,
Washington DC 20240
Email: onrr@onrr.gov
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.432 California Refuge Account

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Lands; Settlement agreement dated March 25, 1997, , 43 USC Code 1337(g)(2), (3).

OBJECTIVES:

ONRR shares 40.87 percent of Oil and Gas Rents, Royalties, and Bonus Bids with the State of California.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

State; Revenue from effected land leasing will trigger automatic payment distribution computed in accordance with the Authorization.

Beneficiary Eligibility:

State; The State shares 38 percent of well production attributable to the portion of the well within 500 feet of the State/Federal boundary, 62 percent outside the 500 feet. Consequently, the total State share of the revenue equals 40.87 percent.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Distributions are made accordance with law.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-6707-0-0-000.

Obligations:

Direct Payments for Specified Use FY 20 \$48.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-6707.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director,
Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211,
Washington DC 20240
Email: onrr@onrr.gov
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.433 Flood Control Act Lands

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Minerals, Lands and Mining, 30 U.S.C. 191(a), 355(b), , 33 USC Code 701c-3, 191(a).

OBJECTIVES:

ONRR shares 75 percent of mineral leasing revenue with the State, paid monthly and is subject to late disbursement interest.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

To be used as the State legislature may prescribe for the benefit of public schools and public roads of the county or counties in which such property is situated, or for defraying any of the expenses of county government in such county or counties, including public obligations of levee and drainage districts for flood control and drainage improvements.

Applicant Eligibility:

State; Revenue from acquired Flood Control land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

State; ONRR distributes these funds to state governments for leased lands within a state, and the State governments has sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5248-0-0-000.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$34,644,320.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5248.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management Division, Denver Federal Center, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director,

Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington DC 20240

Email: onrr@onrr.gov

Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.434 Geothermal Resources

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Minerals, Lands, and Mining, , 30 USC Code 191a, 1019, 23.

OBJECTIVES:

ONRR shares 50 percent of mineral leasing revenue with the State, and 25 percent with the county.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used as the legislature directs giving priority to those subdivisions of the State that are socially or economically impacted by the development of minerals leased, for (1) planning, (2) construction and maintenance of public facilities, (3) provision of public service.

Applicant Eligibility:

State; Revenue from government owned land leasing will trigger automatic payment distribution computed in accordance with the law.

Beneficiary Eligibility:

State; ONRR distributes these funds to state and county governments for leased lands within the state or county and the state or county government has sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

ASSISTANCE LISTINGS DESCRIPTIONS

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5003-0-0-000. 14-5574-0-0-000.

Obligations:

Direct Payments for Specified Use FY 20 \$4,206,636.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5574. 14-5003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management Division, Denver Federal Center, 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director,
Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211,
Washington DC 20240
Email: onrr@onrr.gov
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.435 GoMESA

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Law 109-432, 120 STAT 3000. Gulf of Mexico Energy Security Act of 2006, 30 CFR 1219.414,

OBJECTIVES:

ONRR shares 37.5 percent of selected revenue with Gulf producing states and political subdivisions; payable annually during the year after receipt in accordance with 30 CFR Section 519.418.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used in accordance with all applicable Federal and State laws, only for 1 or more of the following purposes: A) Projects and activities for the purposes of coastal protection, including conservation, coastal restoration, hurricane protection, and infrastructure directly affected by coastal wetland losses; B) mitigation of damage to fish, wildlife or natural resources; C) implementation of a federally-approved marine, coastal or comprehensive conservation management plan; D) Mitigation of the impact of Outer Continental Shelf activities through the funding of onshore infrastructure projects; E) Planning assistance and the administrative costs of complying with this section. (2) Limitation: Not more than 3 percent of amount received may be spent on planning assistance and compliance administrative costs.

Applicant Eligibility:

State; Revenue from selected leases will automatically trigger distribution to states and political subdivisions.

Beneficiary Eligibility:

State; Eligible states and political subdivisions with Louisiana, Texas, Alabama, and Mississippi.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

ASSISTANCE LISTINGS DESCRIPTIONS

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5535-0-0-000.

Obligations:

Direct Payments for Specified Use FY 20 \$352,963,000.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5535.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of Interior, Office of Natural Resources Revenue, Financial Management Division, Denver Federal Center, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director,
Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211,
Washington DC 20240
Email: onrr@onrr.gov
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.436 Late Disbursement Interest

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Minerals, Lands and Mining, Public Law 108-447, 30 U.S.C 1721 (b). 30 USC 355,

OBJECTIVES:

ONRR pays late disbursement interest on subject payments made to States after the due date.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No specific restrictions on use.

Applicant Eligibility:

State; Late disbursements will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

State; ONRR pays late disbursement interest on subject payments made to States after the due date.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

The distributions are automatic and are computed based on receipts.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5003-0-0-000.

Obligations:

Direct Payments for Specified Use FY 20 \$117,607.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165.

Headquarters Office:

Director,
Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211,
Washington DC 20240
Email: onrr@onrr.gov
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.437 Minerals Leasing Act

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Minerals, Lands and Mining, 30 U.S.C 191, 191a, 355, Comp Gen B-220699, PL 111-88,

OBJECTIVES:

As directed by the Minerals Leasing Act, the Office of Natural Resources Revenue (ONRR) shares 50 percent (90 percent for Alaska) of mineral leasing revenue with States. Since 2008 payments were subjected to a two percent reduction, as required by Public Law 111-322.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used by States as the legislature directs giving priority to those subdivisions of the State that are socially or economically impacted by the development of minerals leased, for (1) planning, (2) construction and maintenance of public facilities, and (3) provision of public service.

Applicant Eligibility:

State; Revenue from public land leasing will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

State; State governments in which Federal leased lands and minerals are located.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by Law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5003-0-0-000.

Obligations:

Direct Payments for Specified Use FY 20 \$1,390,931,748.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5003.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington, DC 20240

Headquarters Office:

Director,
U.S. Department of Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211,
Washington DC 20240
Email: onrr@onrr.gov
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.438 National Forest Acquired Lands

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Minerals, Lands and Mining, 30 U.S.C 191(a), 355(b); Conservation, 16 U.S.C 499-500.,

OBJECTIVES:

ONRR shares 25 percent of minerals leasing revenue with the State in which such National Forest is situated. Revenue is paid monthly and is subject to late disbursement interest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used as the state legislature prescribed for the benefit of the public schools and public roads of the country or counties in which such National Forest is situated.

Applicant Eligibility:

State; Revenue from acquired National Forest land leasing will trigger automatic payment distribution computed in accordance with the Law.

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

State; ONRR distributes these funds to State governments for leased lands within the state and the State government had sole discretion in their use in accordance with the enabling legislation.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution are computed based on receipts and made as authorized by Law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5243-0-0-000.

Obligations:

Direct Payments for Specified Use FY 20 \$3,785,401.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5243.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165

Headquarters Office:

Director,

Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington DC 20240

Email: onrr@onrr.gov

Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.439 National Petroleum Reserve - Alaska

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Lands, 43 Stat. 3231.5(f); Public Health and Welfare, 42 U.S.C 6508.,

OBJECTIVES:

ONRR shares 50 percent of NPR-A oil and gas mineral leasing revenue with the State of Alaska. Royalties are to be paid monthly, and subject to late disbursement interest. Bonuses, rentals, and other non-royalty revenues are paid semi-annually.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used for (1) planning; (2) construction, maintenance, and operation of essential public facilities; and (3) other necessary provisions of public service, giving priority to use by subdivisions of the State most directly or severely impacted by development of oil and gas leasing.

Applicant Eligibility:

State; Revenue from public land leasing will trigger automatic payment distribution computed in accordance with the Law.

Beneficiary Eligibility:

State; Lease lands must be located in the National Petroleum Reserve-Alaska.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by Law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5045-0-0-000.

Obligations:

Direct Payments for Specified Use FY 20 \$13,487,172.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5045.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center, Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165

Headquarters Office:

Director,
U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211,
Washington DC 20240
Email: onrr@onrr.gov
Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.440 South Half of the Red River

FEDERAL AGENCY:

NATURAL RESOURCES REVENUE (00024), INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Minerals, Lands and Mining, 65 Stat. 252, 30 U.S.C 191(a), 355(b),.

OBJECTIVES:

ONRR shares 37.5 percent of mineral leasing revenue with the State of Oklahoma paid monthly and is subject to late disbursement interest.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To be used by the State as the legislature directs giving priority to those

subdivisions of the State that are socially or economically impacted by the development of minerals leased, for (1) planning, (2) construction and maintenance of public facilities, and (3) provision of public service.

Applicant Eligibility:

State; Revenue from public land leasing will trigger automatic payment distribution computed in the accordance with the Law.

Beneficiary Eligibility:

State; ONRR distributes these funds to the State of Oklahoma for leased lands located within the south half of the Red River.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No application is necessary.

Award Procedure:

Automatic distribution is made as authorized by law.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

14-5134-0-0-000.

Obligations:

Direct Payments for Specified Use FY 20 \$5,168.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

14-5134.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of the Interior, Office of Natural Resources Revenue, Financial Management, Denver Federal Center,

ASSISTANCE LISTINGS DESCRIPTIONS

Building 85, MS 63210B, P.O. Box 25165, Denver, CO 80225-0165

Headquarters Office:

Director,

U.S. Department of the Interior, Office of Natural Resources Revenue, 1849 C Street, NW, Mail Stop 4211, Washington DC 20240

Email: onrr@onrr.gov

Phone: (202) 513-0600

Website Address:

<http://www.ONRR.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.441 Safety and Environmental Research and Data Collection for Offshore Energy and Mineral Activities

Office of Offshore Regulatory Programs (OORP),

FEDERAL AGENCY:

BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.,

OBJECTIVES:

The Bureau of Safety and Environmental Enforcement (BSEE) program provides major economic and energy benefits on a national and local level to the taxpayers and states. The Agency oversees the exploration and development of oil, natural gas and other materials and renewable energy alternatives on the Nation's outer continental shelf. BSEE continues to look for better ways to serve the American people and to ensure that the nation receives the best oversight and regulation of National resource development now and into the future. The program not only supports decisions made within the Department of the Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Sections 1347 and 1348 respectively mandate the development and enforcement of regulations that protect safety, health and the environment. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purposes of the Office of Offshore Regulatory Programs (OORP) is to obtain the information needed to improve the knowledge, practices, and technologies used to promote operational safety and pollution prevention for offshore oil and gas and renewable energy activities. In preparation for the first renewable energy project to begin operations on the Federal OCS, BSEE is actively gathering information, conducting research, and participating in standards development to identify core competencies and inform our regulatory processes as we develop BSEE's renewable energy program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct technical research to satisfy the information needs associated with oil and gas, renewable energy and marine mineral development on the outer continental shelf. Applications must be for technical research projects, which are within the BSEE area of responsibility. The majority

of funds are used to support research that will enhance understanding of exploration and production techniques to enhance safe operations and oil-spill prevention and cleanup from oil and gas, and marine minerals. In the future BSEE will regulate construction, installation, operations, and decommissioning for all sources of renewable energy on the Federal OCS (including wind, marine hydrokinetic and solar). However, our research activities are currently focused on offshore wind, growing expertise and gathering information and data to develop a robust renewable energy program that protects worker safety, protects both marine and avian species, and works collaboratively with State and Federal partners. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); State agencies and public universities may apply. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Federal; Anyone/general public; Scientist/Researchers; State; Local; Research scientists, Federal, State and local decision-makers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including past performance and professional publications, resumes, and lists of past projects must be provided. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Limited discussions regarding funding availability and program interest are permitted.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on Grants.gov www.grants.gov database and enroll in a new database GrantSolutions <https://home.grantsolutions.gov/home/> as specified in the Notice of Funding Opportunity. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that one to ten applications will be received and one to six cooperative agreement awards will be granted in FY 2013 and FY2014.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 45 - 270 days.

Appeals:

Not Applicable.

Renewals:

Other. Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years unless otherwise stated in the Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Cost sharing of 1:1 is encouraged; services in kind are encouraged. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or subject to availability of funds. Method of awarding/releasing assistance: other

Reports:

Quarterly reports; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required; also possibly a presentation at a BSEE public meeting. Dissemination of the research results at a professional society meeting and symposia is encouraged. Progress meetings and reports maybe required as applicable; additional requirements maybe identified in a specific Notice of Funds Opportunity (NOFO). Annual financial reports using the Federal Financial Report SF-425 are also required. Maybe determined unnecessary on a case by case basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1700-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$4,900,274.00; FY 21 est \$6,932,321.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$100,000 to \$5,000,000; Average \$350,000.

TAFS Codes:

14-1700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Operation and Maintenance of the Ocean Energy Safety Institute (OESI) The mission of the OESI is provide a forum for dialogue, shared learning and cooperative research among academia, government, industry and other non-government organizations in offshore-related technologies and activities that help ensure environmentally safe and responsible offshore operations. OESI represents a cooperative agreement partnership between BSEE, Texas A&M University, University of Texas and University of Houston. OESI stems from a recommendation from the Ocean Energy Safety Advisory Committee, a federal advisory group comprised of representatives from industry, federal government agencies, non-governmental organizations and the academic community. OESI is an important source of unbiased, independent information and will not have any regulatory authority over the offshore industry. OESI is a collaborative venture that will also include involvement on science and technology issues from the Bureau of Ocean Energy Management. OESI will promote collaboration among Federal agencies, industry, standards organizations, and academia in order to provide technical assistance to BSEE, BOEM, and the industry in areas related to emerging technologies, the best available and safest technologies (BAST), and the identification of gaps in safety research. In addition, it will assist in the development of databases to measure and assess reliability of safety systems and train Federal employees to enable them to remain current on state-of-the-art technology. Information on issues related to offshore safety and best practices has been shared with industry, government, and the public through OESI held forums. Fiscal Year 2021: Operation and Maintenance of the Ocean Energy Safety Institute (OESI 2.0): No Available Information Fiscal Year 2022: This program is estimated to not be funded this fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Offshore Regulatory Programs are described in 30 CFR 250, Oil and Gas and Sulphur Operations in the Outer Continental Shelf. Final reports are available online in the BSEE website at: <http://www.bsee.gov/>.

Regional or Local Office:

None.

Headquarters Office:

Meridith Potts,
45600 Woodland Road,
Sterling VA 20166
Email: meridith.potts@bsee.gov
Phone: 703 787-1548
Fax: Stacey Noem,
Chief, Office of Offshore Regulatory Programs (OORP),
Sterling VA 20166
Email: stacey.noem@bsee.gov
Phone: 703 787-1222
Fax:

Website Address:

<http://www.bsee.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Operation and Maintenance of the Ocean Energy Safety Institute (OESI)
2021 Operation and Maintenance of the Ocean Energy Safety Institute (OESI 2.0)
2022 This program is estimated to have no funding this fiscal year.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BSEE, and non-BSEE scientists/engineers (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Additional evaluation criteria maybe posted in a specific Notice of Funds Availability (NOFA) on Grants.gov.

15.442 Alaska Native Science and Engineering

ANSEP,

FEDERAL AGENCY:

BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT,
INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Lands Corps Act (PLCA), 16 U.S.C. 1723(c)(1),

OBJECTIVES:

The Bureau of Safety and Environmental Enforcement (BSEE) program provides major economic and energy benefits on a national and local level to the taxpayers and states. The Agency oversees the exploration and development of oil, natural gas and other materials and renewable energy alternatives on the Nation's outer continental shelf. BSEE continues to look for better ways to serve the American people and to ensure that the Nation receives the best oversight and regulation of National resource development now and into the future. The program not only supports decisions made within the Department of the Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Through this cooperative agreement, training and learning opportunities will be provided to young people in order to develop the next generation of lifelong conservation stewards and protect, restore, and enhance Americas Great Outdoors. Specifically, this cooperative agreement will give young people the opportunity to learn about the importance of the BSEE mission to promote safety, protect the environment and conserve resources offshore through vigorous regulatory oversight and enforcement while exploring future career options with BSEE. The Alaska Native Science and Engineering Program (ANSEP) are designated as tax exempt under the IRS for Institutions of Higher Education. ANSEP moves Native American and Alaskan Native students into science, technology, engineering and mathematics degree

ASSISTANCE LISTINGS DESCRIPTIONS

programs, and fosters a stronger Indigenous American representation within the science workforce of BSEE. Established in 1995, ANSEP has evolved into a longitudinal education model that provides a continuous string of components beginning with students in sixth grade and continuing on through high school, into science and engineering undergraduate and graduate degree programs through PhD. ANSEP has received numerous recognitions for successfully preparing students for professional science and engineering careers including the U.S. Department of Energys Minorities in Energy Initiative, the U.S. Department of the Interior Partners in Conservation Award, and the Ash Center for Democratic Governance and Innovation, Harvard University-Top 25 Innovations in Government. ANSEP, through this cooperative agreement, provides an opportunity to further engage Alaskan Native professionals, and develop the future scientific and engineering employment pool required to support the BSEE mission. The overall objective is to expand the professional science employment preparedness of ANSEP students and to develop a more diversified pool of highly educated professionals with the BSEE workforce to reflect the rich diversity of the Nation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipient of the assistance is the Alaska Native Science and Engineering Program (ANSEP), and the assistance must be used to provide work and training opportunities to young people between the ages of 16 and 25 working on appropriate conservation projects associated with oil and gas, renewable energy and marine mineral development on the Alaskan outer continental shelf within the BSEE area of responsibility. The majority of funds will be used to support conservation projects that will enhance the understanding of exploration and production techniques to enhance safe operations and oil-spill prevention and cleanup from oil and gas, and marine minerals. The young participants will receive classroom and on-the-job training in oil and gas operational safety and environmental conservation requirements through selected training seminars, meetings, or other organizational activities. The purpose of the training will be to provide experience in the practical application of basic petroleum engineering principles, techniques, and concepts. Funds will not be used for capitalization.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; State; None.

Beneficiary Eligibility:

Federal; State; Local; Research scientists, Federal, State and local decision-makers, the youth participants, BSEE, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Documentation that ANSEP is a qualified youth or conservation corp pursuant to 16 U.S.C. 1722(10). Documentation that ANSEP is able to provide eligible youth participants pursuant to 16 U.S.C. 1723(b). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Limited discussions regarding funding availability and program interest are permitted. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on Grants.gov www.grants.gov. database and enroll in a new database GrantSolutions https://home.grantsolutions.gov/home/ as specified in the Notice of Funding Opportunity. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All youth participants must be between the ages of 16 and 25 and satisfy the criteria specified in section 12591(b) of title 42, as required by 16 U.S.C. 1723(b). Youth participant selection will be made on the basis of; participation in ANSEP, enrolled or accepted for enrollment in an engineering degree or certificate program at a qualifying educational institution, be in good academic standing (at least a 2.0 GPA), and enrolled in or have completed at least one engineering course. It is anticipated that two applications will be received and up to two applicants will be selected in FY 2016 through FY 2020.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years unless otherwise stated in the Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Cost sharing of 1:1 is encouraged; services in kind are encouraged. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. Method of awarding/releasing assistance: other

Reports:

Annual reports including: a comparison of actual accomplishments to the goals established for the period; reasons why established goals were not met; and other pertinent information as applicable. Progress meetings and reports may be required as applicable; additional requirements maybe identified in a specific Notice of Funds Availability (NOFA). Annual financial reports using the Federal Financial Report SF-425 are also required. May be determined unnecessary on a case by case basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1700-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$50,000 to \$250,000; Average \$300,000

TAFS Codes:

14-1700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No information available. This Program is not funded this fiscal year Fiscal Year 2021: No information available. This Program is not funded this fiscal year Fiscal Year 2022: No information estimated this Program is not funded this fiscal year

REGULATIONS, GUIDELINES, AND LITERATURE:

16 U.S.C. 1721 et seq; 43 U.S.C. 1331(a); 43 U.S.C. 1345(e); 43 U.S.C. 1346 Sec 20; 209 DM 7; 219 DM 1 The Offshore Regulatory Programs are described in 30 CFR 250, Oil and Gas and Sulphur Operations in the Outer Continental Shelf.

Final reports are available online in the BSEE website at: <http://www.bsee.gov>

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

See Regional Assistance Locations. Jack Lorrigan, 3801 Centerpoint Drive, Suite 400, AE 500, Anchorage, Alaska 99503, jack.lorrigan@bsee.gov , 907-334-5357

Headquarters Office:

Eric Turner,
45600 Woodlawn Road, HE 2126,
Sterling VA 20166
Email: eric.turner@bsee.gov
Phone: 703-787-1630

Website Address:

<http://www.bsee.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated by a panel of BSEE Regional leadership and management to ensure compliance with minimum position requirements. Criteria for judging applicants include BSEE mission needs, applicants academic merit, and communication skills. Additional evaluation criteria maybe posted in a specific Notice of Funds Availability (NOFA) on Grants.gov.

15.443 Not For Profit

Environmental Studies Program (ESP),

FEDERAL AGENCY:

BUREAU OF OCEAN ENERGY MANAGEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The Delegations 218 DM 1 authorized, except as provided in 200 Departmental Manual (DM) 1, to exercise the program authority of the Assistant Secretary Land and Minerals Management with respect to leasing and authorizations for exploration, development, and production of conventional and renewable energy resources and marine mineral resources on the Continental Shelf, and all associated functions that relate thereto. The purpose of the Environmental Studies Program is to obtain the information needed for the assessment and the management of environmental impacts; to predict impacts on marine biota; and to monitor the human, marine, and coastal environments to provide time series and data trend information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct environmental research to satisfy the information needs associated with oil and gas, renewable energy and marine mineral development on the outer continental shelf. Applications must be for scientific research projects which are within the BOEM's area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and renewable energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization. The assistance can be used to conduct public awareness related to environmental and cultural research within BOEM's Environmental Research portfolio.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State or political subdivision (including any agency thereof), or any not-for-profit organization. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Federal; Anyone/general public; Native American Organizations; State; Local; Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including submission of proposals that describe the proposed activities, identify methods for accomplishing the activities, and/or describe the process for ensuring that qualified personnel are used to conduct the activities; past professional publications, resumes, and lists of past projects must be provided. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Limited discussions regarding funding availability and program interest are permitted. Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on Grants.gov www.grants.gov database and enroll in a new database GrantSolutions <https://home.grantsolutions.gov/home/> as specified in the Notice of Funding Opportunity. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that several applications will be received, and more than one new cooperative agreement awards may be granted in FY 2021.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. No statutory requirements. Cost sharing of 1:1 is encouraged. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded incrementally as subject to availability of funds, with approximately 15% to be provided in the final year of performance. Method of awarding/releasing assistance: information not available. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports; a presentation at a publicly held meeting as directed by BOEM; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required. Dissemination of the research results at professional society meetings and symposia is encouraged. Annual and final financial reports using the Federal Financial Report SF-425 are also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$25,000 to \$100,000; Average \$250,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Not Applicable, this Program is not funded this fiscal year Fiscal Year 2021: Not Applicable, this Program is not funded this fiscal year Fiscal Year 2022: Not Applicable, estimated this Program is not funded this fiscal year

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M-Studies. Final reports are available online in the BOEM Environmental Studies Information System at:

<http://www.boem.gov/Environmental-Studies-EnvData/>

Regional or Local Office:

None.

Headquarters Office:

Rodney Cluck,
Bureau of Ocean Energy Management, 45600 Woodland Road,,
Sterling VA 20166
Email: rodney.cluck@boem.gov
Phone: (703) 787-1087

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.444 Take Pride

Environmental Studies Program (ESP),

FEDERAL AGENCY:

BUREAU OF OCEAN ENERGY MANAGEMENT, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Under the Take Pride in America Act (16 U.S.C. 4601-4608), to establish and maintain a public awareness campaign, in cooperation with public and private organizations and individuals, to instill in the public the importance of the appropriate use of, and appreciation for, Federal, state, and local natural and cultural resources.,

OBJECTIVES:

The Bureau of Ocean Energy Management (BOEM) provides major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The BOEM oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the Nations outer continental shelf. BOEM continues to look for better ways to serve the American people and to ensure that the Nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal States and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Environmental Studies Program is to obtain the information needed for the assessment and the management of environmental impacts; to predict impacts on marine biota; and to monitor the human, marine, and coastal environments to provide time series and data trend information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct public awareness related to environmental and cultural research within BOEMs Environmental Research portfolio.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public and private organizations.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Federal; Anyone/general public; Native American Organizations; Scientist/Researchers; State; Local; Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed public awareness including past performance and professional publications, resumes, and lists of past projects must be provided. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Limited discussions regarding funding availability and program interest are permitted. Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Proposals must include a full statement of work, estimated budget, and resumes. Applicants shall post their applications on Grants.gov www.grants.gov. database and enroll in a new database GrantSolutions <https://home.grantsolutions.gov/home/> as specified in the Notice of Funding Opportunity. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that five applications will be received and two new cooperative agreement awards will be granted in FY 2017.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded incrementally; subject to availability of funds, with approximately 15% to be provided in the final year of performance. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports; a presentation at a publicly held meeting as directed by BOEM; a draft final, proof copy, and final report; draft and final technical

summary; articles based on the research published in journals, and presentation slides are required. Dissemination of the research results at professional society meetings and symposia is encouraged. Annual and final financial reports using the Federal Financial Report SF-425 are also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$25,000 to \$100,000; Average \$250,000.

TAFS Codes:

14-1917.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Not Applicable, this Program is not funded this fiscal year
Fiscal Year 2021: Not Applicable, this Program is not funded this fiscal year
Fiscal Year 2022: Not Applicable, estimated this Program is not funded this fiscal year

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M-Studies. Final reports are available online in the BOEM Environmental Studies Information System at:

<http://www.boem.gov/Environmental-Studies-EnvData/>

Regional or Local Office:

Headquarters Office:

Rodney Cluck,
Bureau of Ocean Energy Management, 45600 Woodland Road,,
Sterling VA 20166

Email: rodney.cluck@boem.gov

Phone: (703) 787-1087

Website Address:

<http://www.boem.gov>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Panels of BOEM, and non-BOEM scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination,

15.504 Title XVI Water Reclamation and Reuse

Title XVI Program,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

P.L. 102-575, Title XVI, Reclamation Wastewater and Groundwater Study and Facilities Act of 1992, October 30, 1992, as amended.,

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

Title XVI of Public Law 102-575, as amended (Title XVI), directs the Secretary of Interior, acting through the Commissioner, to undertake a program to identify and investigate opportunities to reclaim and reuse wastewaters and naturally impaired ground and surface water in the 17 Western States and Hawaii. Title XVI also provides authority for the Secretary to provide up to the lesser of 25 percent of, or the Federal appropriations ceiling (typically \$20 million), for the cost of planning, design, and construction of water recycling projects, as well as up to 50 percent of the cost of Title XVI feasibility studies and 25 percent of the cost of Title XVI water reclamation and reuse research.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Reclamations WaterSMART Title XVI Program provides cost-shared funding on a competitive basis for the planning, design, and construction of water reclamation and reuse projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments; Eligible recipients of Title XVI funding are identified under the 1902 Act and include State, regional, or local authorities; Indian tribes or tribal organizations; or other entities such as a water conservation or conservancy district, wastewater district, rural water district, located in the western United States or United States Territories as identified in the Reclamation Act of June 17, 1902, as amended or Hawaii. To be eligible to receive funding for construction activities, a water reclamation and reuse project must be specifically authorized under Title XVI or eligible under the amendments in section 4009(c) of the Water Infrastructure Improvements for the Nation (WIIN) Act.

Beneficiary Eligibility:

State; Local; Other public institution/organization; Federally Recognized Indian Tribal Governments; Native American Organizations; Water users, including municipal, industrial, and agricultural, that benefit from the additional drought resistant water source created.

Credentials/Documentation:

To be eligible to receive funding for construction activities, a water reclamation and reuse project must be specifically authorized under Title XVI or eligible under the amendments in section 4009(c) of the Water Infrastructure Improvements for the Nation (WIIN) Act. Funds for construction activities associated with an individual project will not be disbursed until all Title XVI pre-construction requirements have been met for that project. These include: (1) a finding that the feasibility study meets the requirements of Title XVI; (2) complete compliance with the National Environmental Policy Act and other environmental clearances; (3) an approved determination of financial capability; and (4) an executed grant or cooperative agreement for financial assistance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. To be eligible to receive funding for construction activities, a water reclamation and reuse project must be specifically authorized under Title XVI or eligible under the amendments in section 4009(c) of the Water Infrastructure Improvements for the Nation (WIIN) Act. Funds for construction activities associated with an individual project will not be disbursed until all Title XVI pre-construction requirements have been met for that project. These include: (1) a finding that the feasibility study meets the requirements of Title XVI; (2) complete compliance with the National Environmental Policy Act and other environmental clearances; (3) an approved determination of financial capability; and (4) an executed grant or cooperative agreement for financial assistance between Reclamation and the project sponsor.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Discretionary funding

opportunities will be posted on Grants.gov and will be subject to a competitive funding process. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Reclamation will work with the funded recipient to negotiate a grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the Notice of Funding Opportunity posted on grants.gov for detailed approval/disapproval information.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Continuation of funding from one fiscal year to the next to complete a project is contingent on the final Federal appropriations.

Formula and Matching Requirements:

Statutory Formula: Title XVI, Chapter , Part , Subpart ,Public Law 102-575. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Reclamation can contribute up to 50% for feasibility study costs and 25% for research studies. Federal funding for Title XVI construction projects is limited to 25% of the total project or a specified maximum amount, whichever is less. The maximum amount varies by project. Generally, projects authorized after 1996 have a ceiling of \$20 million or less; the specific details are available in the authorizing legislation. If a project conducted under the Title XVI Program includes planning, design, and construction, the Reclamation Wastewater and Groundwater Study and Facilities Act of 1992 (the Act) requires the sponsor to provide at least 75 percent of all the costs incurred, including Reclamations administrative costs. In addition, the sponsor must pay all operation, maintenance and replacement (OM&R) costs of the project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Feasibility studies which receive Reclamation funding must be completed in 18 to 36 months. See funding opportunity for details. Research studies which receive Reclamation funding must be completed in 18 to 36 months. See funding opportunity for details. For authorized Title XVI projects and WIIN projects, Reclamation will provide funding for planning, design, or construction that can be completed in a two year period. See funding opportunity for details. Method of awarding/releasing assistance: other

Reports:

Other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to

ASSISTANCE LISTINGS DESCRIPTIONS

a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$63,617,000.00; FY 21 est \$63,617,000.00; FY 22 est \$4,500,000.00;

Range and Average of Financial Assistance:

Range: \$75,000 to \$9,000,000 Average: \$2,000,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Reclamation selected nine congressionally authorized Title XVI water reuse projects to receive \$16.68 million in FY 2020 Federal funding. FY 2020 appropriations included \$20 million identified for WIIN Act Title XVI projects, which was allocated to seven projects using the results of the FY 2019 selection process. Fiscal Year 2021: Reclamation selected two congressionally authorized Title XVI Water Reclamation and Reuse projects to receive \$9.5 million in FY2021 Federal funding. Fiscal Year 2022: FY 2022 accomplishments cannot be estimated at this time as selections are not final.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. For addresses, see Appendix IV of the Catalog. <http://www.usbr.gov/main/regions.html>

Headquarters Office:

Amanda Erath,
Technical/Program Information: Bureau of Reclamation, Water Resources and Planning Office, Attn: Amanda Erath, Mail Code: 86-69200, Denver Federal Center, P.O. Box 25007,
Denver CO 80225
Email: aerath@usbr.gov
Phone: (303) 445-2766
Fax: (303)445-6464

Website Address:

<http://www.usbr.gov/WaterSMART/title/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Padre Dam Municipal Water District received \$4,000,000 in FY 2020 Federal funding to implement the Phase I Water Recycling Project, which includes the expansion of the Ray Stoyer Water Reclamation Facility, construction of a new advanced water purification facility, potable reuse conveyance pipelines, a product water pump station, and a biosolids digestion facility to offset energy demands of the project. Funding requested will be used for planning, design, and some construction costs. The project will create 3,900 acrefeet per year of potable water by capturing wastewater flows that would otherwise be discharged to the ocean, allowing the District to increase local water supplies. Monterey One Water received a total of \$15,498,985 in FY 2019 and FY 2020 Federal funding for the Pure Water Monterey project, which will produce up to 8,200 acrefeet of reliable, drought resistant water supply for communities in Monterey County, California. The project includes collection and conveyance facilities and construction of an advanced water treatment plant. The project will treat secondary effluent from a local wastewater treatment plant, municipal urban runoff, stormwater, and agricultural wash water which will be used to recharge the Seaside Groundwater Basin and for agricultural irrigation. 2021 Elsinore Valley Municipal Water

District, Regional Wastewater Reclamation Facility Expansion Project; \$8,928,442. The expansion project will increase capacity of the regional wastewater reclamation facility by 4,480 acre-feet annually. This increase will help meet expected demand and provide a more reliable water supply for the districts customers. //County of Hawaii, Kealakehe Wastewater Treatment Plan R-1 Upgrade Project; \$556,700. The upgrade project involves necessary improvements to the distribution system, new pipelines and expanded treatment. The project is projected to result in 2,016 acre-feet of recycled water deliveries annually, which will contribute to the resiliency of the regions long-term water supply. 2022 Selections are not complete for FY22. Projects for this WaterSMART Program fund the planning, design, and construction of water recycling and reuse projects in partnership with local government entities.

CRITERIA FOR SELECTING PROPOSALS:

Reclamation will place priority on funding projects that reduce demands on existing water supplies or address specific water supply issues in a cost-effective manner, address environmental and water quality concerns, are economically justified, and meet other program goals.

15.506 Water Desalination Research and Development

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Water Desalination Act of 1996, Public Law 104-298, as amended by the Water Infrastructure Improvements for the Nation Act of 2016 (WIIN Act) (P.L. 114-322; Section 3801), Reclamation Act of 1902, Sec 1 and Sec 2, and Public Law 111-11, Section 9509.,

OBJECTIVES:

There are three goals for the program: (1) augment the supply of usable water in the United States; (2) understand the environmental impacts of desalination and develop approaches to minimize these impacts relative to other water supply alternatives; (3) develop approaches to lower the financial costs of desalination so that it is an attractive option relative to other alternatives in locations where traditional sources of water are inadequate. The three principal parts of the program are: (1) perform research on desalination technologies and related issues to advance the state of the art forward (research and studies), (2) conduct development and demonstration activities to test technological advancements, confirm economics, and gain public acceptance (development projects), and (3) support operation and maintenance at the Brackish Groundwater National Desalination Research Facility (BGNDRF)

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To be used to support research and studies, demonstration and development projects and related activities, and operation and maintenance at BGNDRF as described in the Water Desalination Act and amendments..

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Any responsible source, to include individuals, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations may submit a proposal which will be considered by Reclamation. Foreign entities are not eligible for funding. Federal agencies are not eligible to apply.

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Individuals, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations and other private institutions/organizations.

Credentials/Documentation:

(1) A detailed written technical proposal including the current status of the technology supporting the proposed research, technical approach proposed to accomplish the work, a scope of work which separates the work into major tasks, applicability to local and/or national water problems, type of personnel participating in the research, type and location of facilities and equipment that will be utilized by the project applicant, experience in this type of research, the environmental impact of the research, an estimate of the costs and/or cost savings (\$/1000 gal) and economic and environmental benefit of the technology, and other information that may be useful for proposal evaluation. (2) A detailed project cost proposal including a budget covering salaries and wages, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, funding sources and commitments, cost-sharing, and a breakdown of costs by task. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A full proposal solicitation package is issued annually by Reclamation. The package may solicit laboratory, pilot-scale, and full-scale research projects. Submission of full technical and cost proposals in accordance with the instructions stated in the announcement document is mandatory. Additionally, applicants must submit all applicable SF-424 forms and a DI-2010 form which will be included in the announcement document. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the annual solicitation are reviewed on the basis of a competitive, merit-review process, and are rated in accordance with evaluation criteria stated in the solicitation document. Reclamation will make awards for the highest rated proposals based on the amount of funding available each year. Reclamation reserves the right to make awards to maintain balance among the program objectives listed in the solicitation document.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Other. None. Final award decisions are not subject appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Continuation of funding for the Program from one fiscal year to the next is at the discretion of the Congress. Yearly solicitation is made for projects of 12 months duration or less (follow-on funding may be available for additional research studies, pilot-plant projects, or demonstration projects). No-cost extensions to awarded agreements are provided for unique projects that can justify additional time beyond the 12-month duration.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the cost of a project or activity carried out shall not exceed 50 percent of the total cost of the project or activity. A Federal contribution in excess of 25 percent for a project carried out under this Act may not be made unless the Secretary of the Interior determines that the project is not feasible without such increased Federal contribution. Costs of operation, maintenance, repair, and rehabilitation of facilities funded shall be nonfederal responsibilities with the exception of the BGNDRF.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Solicitation is made for projects of 12 months duration or less (follow-on funding may be available for additional research studies, pilot-plant projects, or demonstration projects). No-cost extensions to awarded agreements are provided for unique projects that can justify additional time beyond the 12-month duration. Method of awarding/releasing assistance: other

Reports:

The Recipient shall furnish quarterly reports and a final report as a permanent record of the work accomplished under the agreement. A midterm project review shall be held at the Recipient's site approximately 3 to 6 months after project award. Approximately at the time of delivery of the draft final report, the Project Manager shall make a presentation in Denver on the overall progress of the project. Unless otherwise stated in the agreement, a Federal Financial Report, SF 425 is required at least annually. At the completion of the project a final Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 19 \$2,744,960.00; FY 20 est \$1,250,000.00; FY 21 est \$1,250,000.00;

Range and Average of Financial Assistance:

Range is \$100,000 - \$500,000 Average \$150,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Awarded \$5.8 million to 22 laboratory and pilot-scale desalination research projects to enable broader deployment of desalination and recycled water technologies. Fiscal Year 2021: Awarded \$3.6 million to 10 projects for advanced water treatment research and development. Fiscal Year 2022: No information currently available.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Technical/Program Information Yuliana Porras Mendoza,
Bureau of Reclamation, Research and Development Office, Mail Code: 86-69100,
P.O. Box 25007, Denver Federal Center,,
Denver CO 25007
Email: yporrasmendoza@usbr.gov
Phone: (303)445-2265
Fax: (720)544-5682

Website Address:

<http://www.usbr.gov/pmts/water/desalination/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The City of Westminster in Maryland received \$347,500 to develop a pilot project for treatment techniques as it considers potable water reuse by treating the effluent from its existing wastewater treatment process and augmenting the Cranberry Reservoir. This will be the first potable reuse project in the State of Maryland. Lehigh University in Pennsylvania received \$225,719 toward energy efficient municipal and brackish wastewater recovery. They have validated for the first time a hybrid ion exchange desalination process that does not require any membrane and is energy efficient. They will test wastewater from the Allentown Wastewater Treatment Plant to validate the energy superiority of the process when compared to reverse osmosis. The University of California in Irvine received \$250,000 to develop a real time sensor for pathogen detection in water reuse. Developing real-time sensors to verify pathogen removal could permit utilities to avoid expensive redundancies in the treatment process required to protect humans from exposure to bacteria and virus removal during the water treatment process. 2021 FY 2021 selections include laboratory-scale studies involving small flow rates, and pilot-scale projects to test novel processes. Selections seek to improve technologies for water supply development from nontraditional waters, including seawater, brackish groundwater, and municipal wastewater. More detailed project information will be available after selected projects are finalized and awarded. 2022 FY 2022 selections have not been made. Projects funded by this program seek to accomplish program objectives.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors may include, but are not limited to the following: Technical Factors: (1) Demonstrated familiarity with the current technology in the field of work and understanding of the potential difficulties in carrying out the work. (2) Impact of the proposed work on the current technology and on its related economics if a completely successful outcome were achieved. (3) Novelty of approach to the work. (4) Relationship to research priorities. (5) Availability of equipment, instruments, and test facilities required for the work. Managerial Factors: (1) The qualifications, capabilities, and experience of the proposed project manager and other key personnel who are critical to achievement of the proposed objectives. (2) Adequacy, completeness and realism of the research schedule, task phasing, and milestones. (3) The applicants capabilities, related experience, facilities, techniques, or unique combinations of these which are integral factors for achieving the proposed objectives. Pricing Factor: Cost realism and reasonableness. This requires that costs be directly relatable to items in the research work plan or Project Management Plan, be reasonable, and be appropriate to the project in terms of dollar amount and quantity.

15.507 WaterSMART (Sustain and Manage Americas Resources for Tomorrow)

Water SMART Grants,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Section 9504(a), Omnibus Public Lands Management Act of 2009, (Public Law 111-11), as amended.,

OBJECTIVES:

To make funding available for eligible applicants to leverage their money and resources by cost sharing with Reclamation on three different types of projects: 1) projects that save water; increase the use of renewable energy; and accomplish other sustainability benefits; 2) development of water marketing strategies that will help prevent water conflicts and will contribute to water supply sustainability; and 3) small-scale water efficiency projects that have been identified through previous planning efforts to conserve and use water more efficiently; mitigate conflict risk in areas at a high risk of future water conflict; and accomplish other benefits that contribute to water supply reliability in the western United States.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

WaterSMART Grants provide cost-shared financial assistance on a competitive basis to carry out water management improvements, small-scale water efficiency improvements, development of water marketing strategies, and other water management improvements. Reclamation may also provide financial assistance for these types of water conservation projects under this CFDA using this statutory authority, either competitively or non-competitively, for other non-WaterSMART projects when another Reclamation CFDA is not available. In addition, Section 9504 of Public Law 111-11 requires the following: (1) The Recipient shall not use any associated water savings to increase the total irrigated acreage of the Recipient or otherwise increase the consumptive use of water in the operation of the Recipient, as determined pursuant to the law of the State in which the operation of Recipient is located. (2) If the activities funded under this Agreement result in an infrastructure improvement that is integral to the existing operations of a federally owned facility, the Federal Government shall continue to hold title to the facility and improvements to the facility. (3) The non-Federal share of the cost of operating and maintaining any infrastructure improvement funded through this Agreement shall be 100 percent. (4) Except as provided under chapter 171 of title 28, United States Code (commonly known as the Federal Tort Claims Act), the United States shall not be liable for monetary damages of any kind for any injury arising out of an act, omission, or occurrence that arises in relation to any facility created or improved under this Agreement, the title of which is not held by the United States.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Other private institutions/organizations; In accordance with P.L. 111-11, Section 9502, eligible applicants include any: state, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority. Nonprofit conservation organizations are also eligible to apply if they are acting in partnership with and with the agreement of an entity described above. Applicants must also be located in the western U.S. or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented; specifically, Alaska, Arizona, California, Colorado, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands, and Puerto Rico.

Beneficiary Eligibility:

Anyone/general public; State; The general public, agricultural, municipal and industrial water users; irrigation or water districts; and state governmental entities with water or power delivery authority, located in the states identified in the Act of June 17, 1902.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, such as location, total project diversions, and relevant water use efficiency measurements, a detailed scope of work separating the work into major tasks, including any engineering or design work already completed, and describing data responsive to the evaluation criteria listed in the funding opportunity. (2) When applicable, a funding plan showing how the applicant will pay for its 50% cost share requirement, and an official resolution adopted by the irrigation or water

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districts governing board of directors, resolving that, if selected, it will negotiate and execute a cooperative agreement with Reclamation. (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation & maintenance costs, and the value of in-kind contributions of goods and services. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (funding opportunities) are posted annually on www.grants.gov. Submission of a complete technical proposal and project budget is mandatory by the due date identified in the funding opportunity. In addition, applicants must submit all applicable SF 424 forms referenced in the funding opportunity. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response to the funding opportunity are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the funding opportunity. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. For non-WaterSMART awards made under this CFDA using this statutory authority, the awards may be made competitively or non-competitively.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The review, scoring and announcement of the recipients typically take three to five months.

Appeals:

Not Applicable. Not applicable. Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

Other. Most awarded projects are fully funded at the time of award. Some Water and Energy Efficiency Grant projects (i.e. phased project) may be funded each year for up to three years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the cost of a project or activity carried out under the Program shall not exceed 50 percent of the total cost of the project or activity. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority for this Program shall be nonfederal responsibilities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In general, projects should be completed within 2 years of award. Applicants for Water and Energy Efficiency Grants must select one of two funding groups and must therefore propose either a project that can be completed within 2 years of award or a phased project that can be completed within 3 years of award with Federal funding to be provided separately for each phase. Applications for projects requiring more time will be considered for funding only under limited circumstances. Method of awarding/releasing assistance: other

Reports:

Other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Recipients shall submit the Federal Financial Report, SF-425 during the term of the agreement and a final report upon completion of the agreement. Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports, on a semi-annual

basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$55,000,000.00; FY 21 est \$55,000,000.00; FY 22 est \$15,000,000.00;

Range and Average of Financial Assistance:

Range:\$50,000-\$2,000,000Average: \$500,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: \$55 million in Federal funding was used to fund 105 WaterSMART Grants projects. These projects total over \$154.9 million in improvements (i.e. Federal and non-Federal cost-share.) Fiscal Year 2021: \$64.6 million in Federal funding was used to fund 125 WaterSMART Grants projects across the western states. Fiscal Year 2022: FY 2022 accomplishment details are not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. <http://www.usbr.gov/main/regions.html>

Headquarters Office:

Josh German,
Bureau of Reclamation, Water Resources and Planning Office, Attn: Josh German,
Mail Code: 86-96200, Denver Federal Center, P.O. Box 25007,
Denver CO 80225
Email: jgerman@usbr.gov
Phone: (303) 445-2839
Fax: (702) 544-4207

Website Address:

<http://www.usbr.gov/WaterSMART/grants.html>

RELATED PROGRAMS:

15.506 Water Desalination Research and Development Program;

EXAMPLES OF FUNDED PROJECTS:

2020 The North Kern Water Storage District, located in Bakersfield, California, received \$1.5 million in fiscal year (FY) 2020 Federal funding to line 3,841 feet of an unlined portion of the Calloway Canal with 4-inch thick unreinforced concrete. The canal lining is expected to result in annual water savings of 1,349 acre-feet, which is currently seeping into the groundwater basin that has poor water quality.

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Additionally, the District will install flow meters, water level sensors, and telemetry at seven of the Districts production wells. These additional improvements will provide real-time data and allow the District to better control well operations, resulting in an expected annual water savings of 289 acre-feet from reduced pumping. The groundwater basin in the San Joaquin Valley portion of Kern County is critically stressed, especially when pumping increases during dry years. Overall, the project is expected to result in 1,638 acre-feet of water savings, which will offset groundwater pumping. The City of Ammon, located in southeastern Idaho, received \$300,000 in FY 2020 Federal funding to install advanced metering infrastructure water meters in 916 residences that are currently unmetered. The City's population has more than doubled between 2000 and 2010 and the growth is expected to continue. The project is expected to result in annual water savings of 258 acre-feet by allowing the City to better monitor water usage and identify leaks, fluctuations, and other inconsistencies in the system. The water conserved will remain in the Eastern Snake River Plain Aquifer, which will strengthen the reliability of the City's existing groundwater rights to adequately serve its growing population. 2021 The San Luis Rey Indian Water Authority (IWA), located in Northern San Diego County, California, received \$200,000 in fiscal year (FY) 2021 Federal funding to partner with five local Tribal Bands to develop a water marketing strategy to address both surface and groundwater resources. The goal of the strategy is to reduce dependence on imported water by developing water rights and infrastructure use agreements to move water to parts of the reservation that are not able to meet their demands, especially during times of drought. Along with the five Tribal Bands, the IWA is planning stakeholder outreach activities that include eight additional municipal water districts, two community service districts, six mutual water companies, and farm bureau and agricultural interests. In addition, the IWA will conduct a surface and groundwater inventory, and establish a format for ongoing coordination between the IWA, the Bands, and non-Indian entities to support water supply reliability. 2022 FY 2022 project selections have not been made. Selections see to make funding for projects that save water; increase the use of renewable energy; accomplish other sustainability benefits; develop water marketing strategies that will help prevent water conflicts and will contribute to water supply sustainability; and small-scale water efficiency projects that conserve and use water more efficiently; mitigate conflict risk in areas at a high risk of future water conflict; and accomplish other benefits that contribute to water supply reliability in the western United States.

CRITERIA FOR SELECTING PROPOSALS:

Criteria varies by funding category. See

<https://www.usbr.gov/watersmart/grants.html> for details.

15.508 Providing Water to At-Risk Natural Desert Terminal Lakes

Desert Terminal Lakes Program,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 107-171, Farm Security and Rural Investment Act of 2002, Sec. 2507; Public Law 108-7, Energy and Water Development Appropriations Act, 2003, Sec. 207 (to implement the Truckee River Settlement Act, P.L. 101-618); Public Law 108-137, Energy and Water Development Appropriations Act, 2004, Sec. 217; Public Law 109-103, Energy and Water Development Appropriations Act, 2006, Sec. 208; Public Law 110-161, Consolidated Appropriations Act, 2008, Sec. 207 and 208; Public Law 110-246, Food, Conservation, and Energy Act of 2008, Sec. 2807; Public Law 111-8, Omnibus Appropriations Act, 2009, Section 207 and 208; Public Law 111-85, Energy and Water Development and Related Agencies Appropriations Act, 2010, Sec. 206-208; Public Law 111-88, Department of the Interior, Environment, and Related Agencies Appropriations Act, 2010, Sec. 440.; Public Law 112-74, Consolidated Appropriations Act, 2012 [H.R. 2055] Enacted 12/23/11, Title II, Division B, Sec. 208 a and b, and Public Law 113-79, Agricultural Act of 2014, Title II, Section 2507, Enacted 2/7/14. PL 115-4334, enacted 12/20/18.,

OBJECTIVES:

All funding has been obligated, however should additional funds become available

they will be expended for various activities for the benefit of at-risk terminal lakes to provide water and assistance to a terminal lake to carry out research, support, and conservation activities for associated fish, wildlife, plant, and habitat resources in Nevada and California.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funding is directed to be used for terminal lakes and associated watersheds in the State of Nevada and California.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); State; All funding has been obligated, if additional funding is realized, the following would apply: State and local public agencies, Indian tribes, nonprofit organizations, educational institutions, and individuals may submit a proposal which will be considered by Reclamation. Foreign entities and Federal agencies are not eligible to apply.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; All funding has been obligated, if additional funding is realized, the following would apply: State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, profit organizations, private organizations, quasi-public nonprofit organizations, other private institutions/organization, general public, Native American organizations, higher education institutions, irrigation districts, municipal water authorities, farmers/ranchers/agriculture producers, and land/property owners.

Credentials/Documentation:

All funding has been obligated, if additional funding is realized, the following would apply: (1) A detailed written technical proposal including background data regarding the applicant, technical approach proposed to accomplish the work, a scope of work that separates the work into major tasks, type of personnel implementing the proposal, experience in performing this type of work, benefits to be received by implementation of the project(s), environmental impact(s), timeline(s), the level of cooperation with other parties involved in the proposal, and other information that may be useful for proposal evaluation. (2) A detailed cost proposal by project including a budget covering labor categories, salaries and wages with estimated hours, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, other funding sources and commitments, cost-sharing, value of in-kind contributions of goods and services, and a narrative discussion. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. All funding has been obligated, if additional funding is realized, the following would apply: Funding is allowed for work that will be for the benefit of at-risk terminal lakes and to carry out research, support, and conservation activities for associated fish, wildlife, plant, and habitat resources in Nevada and California. An environmental NEPA document may be required depending on the nature of the proposal and its impact on the environment. Compliance with National Historic Preservation Act and Endangered Species Act may also be required. Pre-application Coordination: Although it is not mandatory, Reclamation strongly encourages offerors to submit a pre-proposal to eliminate the possibility of submitting a full proposal that would not be considered for award. The pre-proposal should describe 1) how the proposal will provide benefits to a terminal lake or associated watershed, 2) the quantity of water anticipated to be provided if part of the proposal or benefit to the associated riparian and watershed resources, 3) the associated estimated cost, 4) potential environmental impacts, 5) state, local and other permits or approvals needed to implement the proposal.

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Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All funding has been obligated, if additional funding is realized, the following would apply: Funding is allowed for work for the benefit of at-risk terminal lakes and to carry out research, support, and conservation activities for associated fish, wildlife, plant, and habitat resources in Nevada and California. For Summit Lake, Reclamation will only accept proposals from the Summit Lake Paiute Tribe, since the lake is entirely within the reservation boundaries and any proposal affecting the lake would need the consent of the Tribe; the exception is if DTL funding is reprogrammed in another DTL grant for Summit Lake. For all other terminal lakes, Reclamation may accept applications after the applicant provides enough pre-application information to Reclamation to assure that the proposed work will be for the benefit of at-risk natural desert terminal lakes and associated riparian and watershed resources in Nevada and California or fund designated activities, the associated cost is reasonable, and it is likely Reclamation can satisfy its federal Indian trust, endangered species, environmental, and other responsibilities. If funding becomes available, and sole source qualifications do not apply, discretionary funding opportunities will be posted. Submission of a complete technical proposal and a project budget proposal in accordance with the instructions in the FOA is mandatory. In addition, applicants must submit all applicable SF424 forms, which are referenced in the FOA.

Award Procedure:

Unless sole source qualifications apply, proposals will be evaluated using a merit-based review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Not Applicable. Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

Other. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Desert Terminal Lakes authority terminates October 1, 2023. All funding has been obligated, if additional funding is realized, the following would apply: Funding is allowed for work that will be for the benefit of at-risk terminal lakes and to carry out research, support, and conservation activities for associated fish, wildlife, plant, and habitat resources in Nevada and California. An environmental NEPA document may be required depending on the nature of the proposal and its impact on the environment. Compliance with National Historic Preservation Act and Endangered Species Act may also be required. Pre-application Coordination: Although it is not mandatory, Reclamation strongly encourages offerors to submit a pre-proposal to eliminate the possibility of submitting a full proposal that would not be considered for award. The pre-proposal should describe 1) how the proposal will provide benefits to a terminal lake or associated watershed, 2) the quantity of water anticipated to be provided if part of the proposal or benefit to the associated riparian and watershed resources, 3) the associated estimated cost, 4) potential environmental impacts, 5) state, local and other permits or approvals needed to implement the proposal. Method of awarding/releasing assistance: Payment terms vary by agreement awarded under this program. Method of awarding/releasing assistance: other

Reports:

Other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly or semi-annual basis

the SF-425, Federal Financial Report. Upon completion of the agreement recipients shall submit a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly or semi-annual basis Program Performance Reports. Upon completion of the agreement recipients shall submit a final Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$5,540,000.00; FY 21 est \$320,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$18,000 to \$300,000 Average: \$200,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Approximately 57 grants, agreements, contracts and transfers have been completed and are closed or closing. Eleven are active and two grants pending. Fiscal Year 2021: Approximately 60 grants, agreements, contracts and transfers have been completed and are closed or closing. Eight are active and two grants are pending. Fiscal Year 2022: Information is not yet available for FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations. Technical/Program Information contact Bureau of Reclamation, Interior Region 10: California-Great Basin, Lahontan Basin Area Office, Laurie Nicholas, 705 North Plaza Street, Room 320, Carson City, Nevada 89701-4015. Telephone (775) 884-8367. Fax (775) 884-8376. Email lnicholas@usbr.gov. Acquisition Office Bureau of Reclamation, Interior Region 10: California-Great Basin Office, Acquisition Services, 2800 Cottage Way, Room E-1815, Sacramento, California 95825-1898. Telephone (916) 978-5130. Fax (916) 978-5175/5182.

Headquarters Office:

Laurie Nicholas,
Bureau of Reclamation, Lahontan Basin Area, 705 North Plaza Street, Room 320, Carson City NV 89701-4015
Email: lnicholas@usbr.gov
Phone: (775) 882-3436
Fax: (775) 882-7592

Website Address:

<http://www.usbr.gov/mp/lbao/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 All funding has been obligated, however unspent funds deobligated during

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the agreement close-out process have become available for a 93-638 grant for fish, wildlife, plant and habitat improvements on the Summit Lake Indian Reservation and a grant for a study to improve irrigation methods to reduce water waste. 2021 All funding has been obligated; however, unspent funds deobligated during the agreement close-out process have become available for a grant to improve accuracy of water righted lands to reduce water waste. 2022 All funding has been obligated, no new projects expected before the Desert Terminal Lakes authority terminates October 1, 2023.

CRITERIA FOR SELECTING PROPOSALS:

All funding has been obligated, if additional funding is realized, evaluation factors may include, but are not limited to the following: 1) Mandatory: be for the benefit of at-risk terminal lakes and to carry out research, support, and conservation activities for associated fish, wildlife, plant, and habitat resources in Nevada and California or fund designated activities from legislative allocations.

15.509 Title II, Colorado River Basin Salinity Control

Basinwide Program,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 93-320, 59 Stat. 1219, 43 U.S.C. 1571-1573 and U.S.C. 1592(c), as amended; Sec. 2, Pub. L. 98-569, 98 Stat. 43 U.S.C. 1592(c); Sec. 1, Pub. L. 104-20, 43 U.S.C. 1592; Title III, Subtitle D, Chapter 4, Pub. L. 104-127, 110 Stat. 1006; Pub. L. 106-459, 114 Stat. 1987.,

OBJECTIVES:

To provide financial and technical assistance to: (1) identify salt source areas; (2) develop project plans to carry out conservation practices to reduce salt loads; (3) install conversation practices to reduce salinity levels; (4) carry out research, education, and demonstration activities; (5) carry out monitoring and devaluation activities; and (6) to decrease salt concentration and salt loading which causes increased salinity levels within the Colorado River and to enhance the supply and quality of water available for use in the United States and the Republic of Mexico. Such programs shall consist of cost-effective measures and associated works to reduce salinity from saline springs, leaking wells, irrigation sources, industrial sources, erosion of public and private land, or other sources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Eligible owners or operators in the Colorado River Basin above Imperial Dam may receive assistance to treat salinity problems.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any legal entity that is the owner or operator of the features to be replaced and/or to be constructed and capable of contracting with Reclamation.

Beneficiary Eligibility:

Anyone/general public; Any person who uses or reuses Colorado River water for irrigation, domestic, municipal or industrial water supply, or for fish and wildlife habitat will benefit.

Credentials/Documentation:

Projects will require compliance with the National Environmental Policy Act and Endangered Species Act. Information regarding whether these requirements must be met will be included in the funding opportunity announcement posted on www.grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Reclamation will solicit proposals and award funding through a Notice of Funding Opportunity process. The NOFO will request proposals from public and private sectors that would control salinity based on cost per ton. The proposals will be ranked based on their cost effectiveness for preventing salt from entering the Colorado River system. The ranking would consider risk factors that might affect the project's performance. Upon receipt of applications/proposals by the date identified in the announcement(s), the applications will be reviewed to determine if application/proposal(s) are consistent with requirements identified in the announcements. The application/proposal(s) are reviewed against identified criteria by a Federal technical team of experts, that may include representatives of states as advisors. Based on the review, a ranking will be defined. Negotiations will be conducted and/or awards will be made based on recommendations from the technical experts. Award will be based on those applications best meeting the needs of the program, including cost and risk factors.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable. NONE.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Cost share in the amount of \$.43 per \$1.00 of appropriated funds is provided from the Upper Colorado River Basin Fund and the Lower Colorado River Basin Development Fund (Basin Funds). Moneys in the Basin Funds come from a surcharge on power produced at Reclamation power generation facilities on the Colorado River. \$1.00 Appropriated+\$0.43 Basin Fund = \$1.43 for the Basinwide Salinity Control Program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements and grants are written for a 5-year period. Method of awarding/releasing assistance: other

Reports:

Other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects recipient quarterly reporting is required in www.FederalReporting.gov. Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis the Federal Financial Report SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report SF 425. Unless otherwise stated in the agreement document, recipients shall submit the

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following reports on a quarterly basis program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub-recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-3-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$13,890,877.00; FY 21 est \$8,443,643.00; FY 22 est \$8,500,000.00;

Range and Average of Financial Assistance:

Range \$8,443,643 to \$13,890,877 Average \$10,278,173

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Awarded 11 new grants with a total contract amount of \$38,959,991 that will control 24,662 tons of salt with an average cost per ton of \$59.95. The 11 new grants will be completed over the next 5 years. Fiscal Year 2021: FY 2021 project selections not yet available. Fiscal Year 2022: FY 2022 project selections not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Salinity Program: Ms. Marcie Bainson, Bureau of Reclamation, 125 S. State Street, Room 8100, Salt Lake City, UT 84138, Phone: 801-524-3747, email: mbainson@usbr.gov; or Mr. Chad Vellinga, Bureau of Reclamation, 500 Date Street, Boulder City, NV 89006, Phone: 702-293-8133, email: cvellinga@usbr.gov. Western Colorado: Mr. Andrew Limbach, 445 W. Gunnison Ave, Grand Junction, CO 81501, Phone: 970-248-0644, email: alimbach@usbr.gov. Eastern Utah and South Western Wyoming: Mr. Jay Kalafatis, Bureau of Reclamation, 302 E. Lakeview Parkway, Provo, UT 84601, Phone: 801-379-1135, email: jkalafatis@usbr.gov.

Headquarters Office:

Marcelle (Marcie) Bainson,
Bureau of Reclamation, 125 South State Street,
Salt Lake City UT 84138
Email: mbainson@usbr.gov
Phone: 801-524-3747
Fax:

Website Address:

<http://www.usbr.gov/uc/progact/salinity/index.html>

RELATED PROGRAMS:

15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs; 15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program.; 15.538 Lower Colorado River Multi-Species Conservation Program.;

EXAMPLES OF FUNDED PROJECTS:

2020 San Juan River Dineh Water Users shiprock lateral conversion project. Short Ditch Co excess salinity removal project 2021 FY 2021 project selections are not yet available. Projects selected for this program seek to find cost-effective measures and associated works to reduce salinity from saline springs, leaking wells, irrigation sources, industrial sources, erosion of public and private land, or other sources. 2022 FY 2022 project selections are not yet available. Projects selected for this program seek to find cost-effective measures and associated works to reduce salinity from saline springs, leaking wells, irrigation sources, industrial sources, erosion of public and private land, or other sources.

CRITERIA FOR SELECTING PROPOSALS:

In 1984, the Salinity Control Act was amended to direct the Secretary of the Interior to give preference to units which reduce salinity at the least cost per unit of salinity reduction (or cost-effectiveness). Cost-effectiveness is defined as the Salinity Programs annual cost per ton of salt prevented from entering the Colorado River system. Conceptually, cost-effectiveness is analogous to determining the cost per mile to own and operate a car. That computation combines the annual expenses (loan payments, gas, maintenance, etc.) and divides by the miles traveled each year. The key to understanding this approach is to appreciate that the government evaluates all projects as if the money is borrowed from a loan institution and repaid in annual installments over the life of the project. This economic evaluation principle is required by the Economic and Environmental Principles Guidelines for Water and Related Land Resources Implementation Studies, March 1983. This method lends consistency in the comparison of non-federally financed alternatives (which must borrow funds) to Federally financed projects. Each proposal will be evaluated by an application review committee in accordance with the following criteria and corresponding percentage weights: (a) Technical merit those passing will be evaluated further; (b) Cost effectiveness 35%, (the annual cost for each ton of salt load reduction, expressed in dollars per ton); (c) The ability to enable future on-farm projects with the USDA 30%; (d) Performance risk factors 25%. Detailed information will be included in each FOA or may be obtained from the Salinity Control Program contacts listed above.

15.510 Colorado Ute Indian Water Rights Settlement Act

Colorado Ute Settlement Act/Animas La Plata,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Colorado River Basin Project Act, Public Law 90-537, 82 Stat.885; Colorado Ute Indian Water Rights Settlement Act of 1988, as amended, Public Law 100-585, 102 Stat. 1973; Colorado Ute Settlement Act Amendments of 2000, Public Law 106-554, 114 Stat 2763A-266.,

OBJECTIVES:

To supply municipal and industrial water supply to the Ute Mountain Ute, Southern Ute Indian Tribe, the Navajo Nation and non-Tribal participants from the Animas-La Plata Project in settlement of water rights claims for the Tribes, and also fulfill other project activities that may be required as a result of the construction, such as relocation of roads and moving powerlines. To complete construction of a reconfigured Animas-La Plata Project, consisting of facilities to divert and store water from the Animas River to provide for an average annual depletion of 57,100 acre-feet of water, to be used for municipal and industrial water supply only, for the Ute Mountain Ute and Southern Ute Indian Tribes, and other tribal and non-tribal entities in the Four Corners Region of the United States. Also, to supply 4,680 acre-feet per year to the Navajo Nation through a pipeline from Farmington to Shiprock, NM.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

The Bureau of Reclamation is specifically authorized to: (1) Construct a reservoir, a pumping plant, a reservoir inlet conduit, and appurtenant facilities with sufficient capacity to divert and store water from the Animas River to provide for an average annual depletion of 57,100 acre-feet of water to be used for a municipal and

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industrial water supply. (2) Meet specific annual depletion rates for water allocation delivery. (3) To supply 4,680 acre-feet per year to the Navajo Nation through a pipeline from Farmington to Shiprock, NM. The design and construction functions of the Bureau of Reclamation with respect to the Dolores and Animas-La Plata Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions were performed by the Bureau of Indian Affairs.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Federally Recognized Indian Tribal Government - Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions were performed by the Bureau of Indian Affairs; and for projects not awarded subject to Public Law 93-638: State, local, Federally recognized Indian Tribal governments, small businesses, individuals, and profit organizations.

Beneficiary Eligibility:

Federal; Individual/Family; Small business; Profit organization; Other private institution/organization; Anyone/general public; Local; Federally Recognized Indian Tribal Governments; Federally recognized Indian Tribal Government members and the general public in southwestern Colorado and northwestern New Mexico.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Bureau of Reclamation is required by Public Law 100-585 to use Public Law 93-638 procedures to contract for construction of the Animas-La Plata Project with the Ute Mountain Ute Tribe and the Southern Ute Indian Tribe. Construction contracts for estimated quantities and with firm fixed unit pricing are issued to accomplish the work. For projects under this program that are not required to be awarded subject to Public Law 93-638, funding opportunity announcements, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on <http://www.grants.gov>.

Award Procedure:

An initial statement of work is issued to the Tribe for review and pricing by the Contract Specialist. The Tribe reviews the initial statement of work, and submits a proposal with an estimate for completion of the work. The proposal with cost estimate is evaluated by the Government Technical Team, the Government Cost & Price Analyst, Contract Specialist, Contract Administrator, and Contracting Officer. Negotiations are held on the various elements until an agreement is reached and an award is then made. For projects not awarded subject to Public Law 93-638, all applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Other. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Public Law 93-638 contracts and agreements are written for a specific period of time to complete a project, but normally not over 5 years. Funds are disbursed by progress payments, based on percentage of completion of estimated quantities of work. Section 207, Section 17(b) of the Act as amended extended the project period through Fiscal Year 2012. Method of awarding/releasing assistance: other

Reports:

Other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. The Federal Financial Report, SF 425 is required and a final SF 425 is required upon the completion of the agreement. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-3-301.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$109,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$0 - \$109,000 Average: \$50,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Completion of the Navajo Nation municipal pipeline Bluff Road bypass value planning study. Fiscal Year 2021: Completion of the full HVAC test of the Durango Pumping Plant. Fiscal Year 2022: FY 2022 information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

See Regional Assistance Locations. Walter Shaw, wshaw@usbr.gov, Four Corners Construction Office (FCCO-230), 1235 La Plata Hwy, Farmington, NM 87401
Telephone: Office: 505-324-5032, Fax: 505-326-7834

Headquarters Office:

Construction Engineer Walter Shaw,
FCCO 230, Four Corners Construction Office, 1235 La Plata Hwy,
Farmington NM 87401
Email: wshaw@usbr.gov
Phone: (505) 324-5032
Fax: (505) 326-7834

Website Address:

http://www.usbr.gov/library/annual_reports/FY2008/MDAPart1.pdf

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Ute Mountain Ute Durango pumping plant intake structure project. 2021 Navajo Nation Animas-La Plata municipal pipeline. 2022 FY 2022 project information not yet available.

CRITERIA FOR SELECTING PROPOSALS:

There are no criteria for selecting proposals for projects awarded under Public Law 93-638 procedures. When needed work is identified, a request for proposal is issued with a statement of work. The Tribe submits a proposal based on the statement of work. Negotiations are held to arrive at a mutually agreed upon Public Law 93-638 contract for award of the project and the costs associated with performance of the work. For any projects that may be identified that are not subject to Public Law 93-638 procedures, specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.511 Cultural Resources Management

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

National Historic Preservation Act of 1966, Pub. L. 89 665, as amended (54 U.S.C. 300101 et seq.); Archaeological and Historic Preservation Act of 1974, P.L. 93-291 (54 U.S.C. 312505 et seq.); Native American Graves Protection and Repatriation Act, P.L. 101-601 (25 U.S.C. 3001 et seq.),

OBJECTIVES:

To manage and protect cultural resources on Reclamation land; provide for the curation of and public access to collectible heritage assets, including the increase of public awareness, appreciation, and knowledge of these resources; and provide for the protection and preservation of the tribal cultural resources impacted by operations of some Reclamation projects.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All activities are related to Reclamation cultural resource management programs and activities. Cooperative agreements are used for providing long-term care of Reclamation-owned heritage assets and meeting curation requirements per 36 CFR Part 79 and the Departmental Manual, Part 411. Grants or cooperative agreements are issued to State or Tribal Historic Preservation Offices to share databases, including geographic information systems. Awards made under the National Historic Preservation Act include grants or contracts for cultural resource work. The amount of funding available for projects is variable from year to year. Projects are approved through the budget cycle and may require up to three years for funding approval.

Applicant Eligibility:

Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals);

Federally Recognized Indian Tribal Governments; Small business (less than 500 employees); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State and local agencies, sponsored organizations, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, minority groups, specialized groups, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, other private institutions/organizations, and Native American Organizations, educational or scientific organization, or any institution, corporation, association, or individual that possesses the requisite professional requirements.

Beneficiary Eligibility:

Small business; Interstate; Native American Organizations; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State and local governments, Tribes, universities, anyone/general public, entities that have an education mission or mission-component, and repositories that meet the standards of the Department of the Interior, Department Manual, Part 411: Identifying and Managing Museum Property, for facilities managing Federal museum property. Further information regarding the general purpose and scope of the Department Manual (DM) is included in Part 001, Chapter 1, of the DM. The DM may be accessed at http://elips.doi.gov/app_DM/index.cfm?fuseaction=home.

Credentials/Documentation:

Repositories must meet the standards for managing museum property at 411 DM; and repositories holding archeological collections must also meet the standards for Curation of Federally-owned and Administered Archeological Collections included in the Code of Federal Regulations at Title 36, Part 79, available at <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>. Activities under the Archaeological and Historic Preservation Act must be conducted by educational or scientific organizations, or any institution, corporation, association, or qualified individual. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the number of proposals received and the complexity of the project. Generally, offices review and award assistance agreements within 60 days of proposal submission.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

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Other. Some projects may be eligible for extension. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements are typically awarded for periods of 1 to 5 years. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis: Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis: Financial Report, SF-125. Upon completion of the agreement, recipients shall submit a final Financial Report. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis: Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333. For museum property, records must be in accordance with the specifications of 36 CFR Part 79 and 411 DM.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Information not available; not funded currently.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation office listed below.

Regional or Local Office:

See Regional Assistance Locations. Joe Giliberti, Federal Preservation Officer/Environmental Compliance Division (84-53000)PO Box 25007Denver, CO 80225-0007Phone: 303-445-3206Nebraska-Kansas Area Office:Catherine Griffin,

ArcheologistBureau of Reclamation1706 W 3rd StMcCook, NE 69001Phone: 308-345-8324cgriffin@usbr.gov

Headquarters Office:

Federal Preservation Officer Joe Giliberti,
Bureau of Reclamation, P.O. Box 25007 (Mail Code: 84-53000),
Denver CO 80225-0007

Email: jgiliberti@usbr.gov

Phone: (303) 445-3206

Website Address:

<http://www.usbr.gov/cultural/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting a recipient are based on a balanced and informed review of program needs and sources of information. For example, states have mandatory responsibilities to cooperate with Federal agencies to maintain statewide inventories of cultural resources, so states are sole sourced funds to maintain databases under the various National Historic Preservation Act sections requiring data sharing and cooperation. Indian tribes are often sole sourced because only they can identify properties of religious and cultural concern to tribal members. For other cultural resources activities, a technical proposal, budget proposal, and relevancy to program objectives are required. The specific evaluation criteria for each project will be included in the funding announcement posted on www.grants.gov.

15.512 Central Valley Improvement Act, Title XXXIV

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV, Central Valley Project Improvement Act, Public Law 102-575.,

OBJECTIVES:

The purposes of the Central Valley Project Improvement Act (CVPIA) are (1) to protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California, (2) to address impacts of the Central Valley Project on fish, wildlife, and associated habitats, (3) to improve the operational flexibility of the Central Valley Project, (4) to increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation, (5) to contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary, and (6) to achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Agreements will be awarded for projects that will result in attaining the objectives noted above and the mandates set out in the CVPIA. Areas of emphasis include fish and wildlife restoration activities and investigations, evaluation of ecologic and hydrologic effects of existing and alternative operations of water facilities and systems, the effects of the Central Valley Project (CVP) on anadromous fish populations, and delivery of refuge water supplies. The Secretary of the Interior is authorized and directed to promulgate such regulations and enter into such agreements as may be necessary to implement the intent, purposes and provisions of Title XXXIV. This title does not and shall not be interpreted to authorize construction of water storage facilities.

Applicant Eligibility:

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State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); State of California or an agency or subdivision thereof, Indian tribes, or nonprofit entities concerned with restoration, protection, or enhancement of fish, wildlife, habitat, or environmental values that are able to assist in implementing any action authorized by the title in an efficient, timely, and cost effective manner, [Section 9404(e) of PL 102-575, Title XXXIV]

Beneficiary Eligibility:

Anyone/general public; Anyone/General Public

Credentials/Documentation:

None. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. For current information on any upcoming funding opportunity announcements dates and deadlines, contact the Mid-Pacific Region, MP-400 Division of Resources Management, Sacramento, California, as listed in the Contacts section below.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Continuation of funding for the Program from one fiscal year to the next is at the discretion of Congress. Yearly solicitation is made for projects of 24 months in duration or less, although multi-year projects may be considered if annual accomplishments can be demonstrated.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the costs of a project or activity carried out under this Program varies in accordance with the provisions of the CVPIA. Cost-share requirements will be included in the funding opportunity announcement posted on www.Grants.gov. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Proposals are requested for projects of 24 months in duration or less; however, funding for multi-year projects may be considered if annual accomplishments can be demonstrated. Method of awarding/releasing assistance: other

Reports:

Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Federal Financial Report, SF 425 is required on a quarterly basis. Upon completion of the agreement recipients shall submit a final SF 425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5173-0-2-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$27,000,000.00; FY 21 est \$12,000,000.00; FY 22 est \$12,000,000.00;

Range and Average of Financial Assistance:

Range is \$10,000,000 to \$12,000,000 Average \$ 10,750,000

TAFS Codes:

14-5173.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Detailed project priorities and accomplishments can be found on the programs website at: <https://www.usbr.gov/mp/cvpia/index.html> Fiscal Year 2021: Detailed project priorities and accomplishments can be found on the programs website at: <https://www.usbr.gov/mp/cvpia/index.html> Fiscal Year 2022: Accomplishments for FY2022 not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below. OMB Circulars, Title 34 of Public Law 102-575, and Annual Work Plans. These documents may also be obtained by contacting the Reclamation Office listed below or visiting the CVPIA website at www.usbr.gov/mp/cvpia.

Regional or Local Office:

See Regional Assistance Locations. Richard Woodley, Resources Chief, MP-400, (916) 978-5201 Heather Casillas, CVPIA Program Manager, MP- 400, (916) 978-5360

Headquarters Office:

Heather Casillas,
2800 Cottage Way,
Sacramento CA 95825
Email: hcasillas@usbr.gov
Phone: (916) 978-5360

Website Address:

<http://www.usbr.gov/mp/cvpia>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.514 Reclamation States Emergency Drought Relief

Emergency Drought Relief and Drought Contingency Planning,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Reclamation States Emergency Drought Relief Act of 1991, Public Law 102-250, 43 U.S.C. 2201-2214, as amended; and Section 9504(a), Omnibus Public Lands Management Act of 2009, (Public Law 111-11), as amended.,

OBJECTIVES:

Funding under the Drought Response Program will be provided to: (1) develop and update comprehensive drought contingency plans and (2) implement projects that will build long-term resiliency to drought.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funding under the Drought Response program will be provided to: (1) develop and update comprehensive drought contingency plans and (2) implement projects that will build long-term resiliency to drought.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Other private institutions/organizations; 1) Drought contingency planning: Applicants eligible to apply for funding to develop or update drought contingency plans include: States, Indian tribes, irrigation districts, water districts, or other organizations with water or power delivery authority located within the following states: Arizona, California, Colorado, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Wyoming, Utah, and Washington. Applicants must also participate in a technical consultation with Reclamation prior to submitting an application. 2) Drought resiliency projects: Eligible applicants include States, Indian tribes, irrigation districts, water districts, or other organizations with water or power delivery authority. Nonprofit conservation organizations are also eligible to apply if they are acting in partnership with and with the agreement of an entity described above. Applicants must also be located in the western U.S. or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented; specifically, Alaska, Arizona, California, Colorado, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands, and Puerto Rico.

Beneficiary Eligibility:

Federal; State; Local; Federally Recognized Indian Tribal Governments; Anyone/general public; General public; Federal, State and local governments; and Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

None. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental assessment or environmental impact statement prior to the commencement of

project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding Opportunity Announcements (Announcement) are posted on www.grants.gov. Submission of a complete technical proposal and projects budget is mandatory by the due date identified in the Announcement. In addition, applicants must submit all applicable SF 424 forms referenced in the Announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response to the Announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project.

Appeals:

Not Applicable. Not applicable. Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

Other. None. Drought contingency plans and drought resiliency projects will be fully funded at the time of award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. The Federal share of the cost of drought contingency plans and drought resiliency projects carried out under this Program shall not exceed 50% of the total cost of the plan or project. However, economically disadvantaged communities may request a financial hardship waiver of all or part of the cost to develop a new drought contingency plan or to update an existing plan. The non-Federal share of the cost of operating and maintaining any infrastructure improvement funded through this program as a drought resiliency project grant shall be 100 percent. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In general, drought contingency plans and drought resiliency projects should be completed within 2 to 3 years of award. Applications for plans/projects requiring more time will be considered for funding only under limited circumstances. Method of awarding/releasing assistance: other

Reports:

Recipients shall submit specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on a semi-annual basis. Upon completion of the agreement, recipients shall submit a final SF 425. Unless otherwise stated in the agreement document, recipients shall submit a program performance report on a semi-annual basis. Upon completion of the agreement, recipients shall submit a final program performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200.425 Audit Services, non-federal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting

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documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$8,000,000.00; FY 21 est \$14,500,000.00; FY 22 est \$16,500,000.00;

Range and Average of Financial Assistance:

Range: \$ 35,000 - \$1,500,000 Average: \$516,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Available funding was used to select 4 Drought Contingency Plans and 12 Drought Resiliency Projects. Fiscal Year 2021: Reclamation announced the 5 entities were selected to receive grant funding for Drought Contingency Plans and 18 entities were selected to receive grant funding for Drought Resiliency Projects. Fiscal Year 2022: FY 2022 information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None.

Headquarters Office:

Reclamation Drought Coordinator Sheri Looper,
Bureau of Reclamation, Attn: Sheri Looper, Mail Code 86-69200, PO Box 25007,
Denver CO 80225-0007
Email: slooper@usbr.gov
Phone: (303)445-2232

Website Address:

<https://www.usbr.gov/drought/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 This program funds collaborative Drought Contingency Plans that will help provide water managers prepare in advance of a drought. This program also funds Drought Resiliency Projects that help provide water managers with flexibility during periods of drought. For example, the Dolores Water Conservancy District in Colorado will develop a Drought Contingency plan in partnership with the Ute Mountain Ute Tribe Farm and Ranch Enterprise, and the Montezuma Valley Irrigation Company. The plan will include the areas served by the Bureau of Reclamation's Dolores Project, located in southwest Colorado, which provides irrigation water for approximately 36,600 acres of irrigated land, provides municipal and industrial water to the Ute Mountain Ute Tribe, the tribal community of Towaoc, several other towns and cities, and serves downstream fish and wildlife purposes. The Dolores Project suffered severe shortages (25%) from drought in 2013. The plan will include a comprehensive evaluation of mitigation and response actions to reduce water shortages and improve drought resiliency for water users through collaboration with local agricultural districts, tribal farmers, municipal and industrial users, non-governmental organizations, and Federal and state agencies 2021 This program funds collaborative Drought Contingency Plans that will help provide water managers prepare in advance of a drought. This program also funds Drought Resiliency Projects that help provide water managers with flexibility during periods of drought. For example, in Arizona, the City of Phoenix, will purchase components for a well as part of an Aquifer Storage and Recovery Well Restoration project at their Deer Valley Water Treatment plant. The

City will construct a dual-purpose well that will directly inject under-utilized surface water supplies into the aquifer for later withdrawal during times of drought. The City, which services more than 1.5 million residents, is currently experiencing drought conditions. This project enables the City to maintain a supply of surplus water while offering operational flexibility during times of drought. The City estimates the groundwater recharge to be 2,400 gallons per minute, or approximately 3,874 acre-feet per year. 2022 FY 2022 selections are not complete. Selected projects will fund collaborative Drought Contingency Plans that will help provide water managers prepare in advance of a drought. This program also funds Drought Resiliency Projects that help provide water managers with flexibility during periods of drought.

CRITERIA FOR SELECTING PROPOSALS:

Drought contingency plans must include input and participation by multiple stakeholders. Plans will also consider uncertainties related to changing hydrologic conditions and identify potential drought mitigation and response actions to build resilience to drought. Drought resiliency projects supported by an existing drought contingency plan (e.g., an existing state, county, municipal, or other plan is acceptable) receive prioritization. Projects that are eligible for funding should address at least one of the following goals: 1) increase the reliability of water supply; 2) improve water management; and 3) provide benefits for fish and wildlife and the environment.

15.516 Fort Peck Reservation Rural Water System

Fort Peck Water Supply Project,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fort Peck Reservation Rural Water System Act of 2000, Pub. L. 106-382.,

OBJECTIVES:

To ensure a safe and adequate municipal, rural and industrial water supply for the residents of the Fort Peck Indian Reservation in the State of Montana; and to assist the citizens in those portions of Roosevelt, Sheridan, Daniels, and Valley Counties that are outside the Fort Peck Indian Reservation, in developing safe and adequate municipal, rural, and industrial water supplies.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements), Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

The project is for the construction of municipal, rural, and industrial water supply systems that will serve the Fort Peck Indian Reservation (Assiniboine and Sioux Rural Water System), and all or portions of Valley, Daniels, Sheridan and Roosevelt counties in northeastern Montana (Dry Prairie Rural Water System).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The Fort Peck Tribal Executive Board, Dry Prairie Rural Water Association Incorporated (or any successor non-Federal entity).

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); State; Federally Recognized Indian Tribal Governments; The Fort Peck Indian Reservation, the Dry Prairie Rural Water Association, and the residents of all or portions of Valley, Daniels, Sheridan and Roosevelt counties in the State of Montana.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. These projects have met the requirements of the National Environmental Policy Act (NEPA) of

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1969, and final engineering reports and water conservation plans have been approved. Any proposed changes in the scope of these projects will be reviewed for continued compliance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Annual work plans and budgets are negotiated annually. The applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with technical proposals and budget narratives.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, Reclamation's Great Plains Region finalizes the amendments.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing and in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the Fort Peck project is 100 percent (Sec. 4(j)); the Federal share of the Dry Prairie Rural Water System project is 76 percent (Sec. 5(a)(2)). Construction costs for both projects will total \$193 million (1998 dollars) with Dry Prairie's cost being \$68 million and the Reservation's portion being \$125 million. Funds are to be available until expended. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Beginning in fiscal year 2001, funding for these projects was authorized to be appropriated until year 2022. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Annual and final Federal Financial Reports, SF 425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$31,431,000.00; FY 21 est \$28,300,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Range: \$2,000,000 - \$16,000,000 Average: \$2,500,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The third and final mainline connection was made between the Assiniboine & Sioux Rural Water Supply System (ASRWSS) and Dry Prairie Rural Water System on October 22, 2019. The connection was made at the Reservation border, southeast of Scobey MT. This milestone allows water from the project Water Treatment Plant (WTP) located near Wolf Point MT, to deliver water as far north as the Canadian Border once pipelines and facilities are completed in the Scobey/Flaxville Mainline Project in 2020. Other milestones for include: construction set to begin on the Fort Peck West Mainline and completion of the Dry Prairie delivery system in Sheridan and Valley Counties.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Montana Area Office: Mr. Ryan Newman, Area Manager, Bureau of Reclamation, PO Box 30137, Billings, MT, 59107-0137, Telephone 406.247.7298, E-mail: rnewman@usbr.gov

Headquarters Office:

Native American Affairs Officer Doug Davis, Bureau of Reclamation, Missouri Basin Regional Office, Attn: Mr. Doug Davis, Native American Affairs Officer, P.O. Box 36900, Billings MT 59107
Email: DouglasDavis@usbr.gov
Phone: (406) 247-7710
Fax: (406) 247-7695

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Design and construction of the Dry Prairie Rural Water Supply System

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.517 Fish and Wildlife Coordination Act

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Coordination Act of 1934, Public Law 85-624, 16 U.S.C. 661 et seq., as amended, and Section 7(a) of the Fish and Wildlife Coordination Act (FWCA) (70 Stat 1122; 16 U.S.C. 742f(a)); as limited and delegated by the Secretary of the Interior delegation of authority to the Bureau of Reclamation at 255 DM 1.1B,

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements, to public or private organizations for the improvement of fish and wildlife habitat associated with water systems or water supplies affected by Bureau of Reclamation projects.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

In accordance with the delegation of authority to the Bureau of Reclamation in the Department of the Interior Department Manual, Part 255, Chapter 1, Section 1.1.B, projects are limited to the improvement of fish and wildlife habitat associated with water systems or water supplies affected by Bureau of Reclamation projects, and in isolated cases, off-site locations not affected by Bureau of Reclamation projects.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Anyone/general public, governmental entities, Tribal governments, Native American organizations, and/or public or private organizations in the specific project area.

Credentials/Documentation:

None. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environment impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards: Proposals received in response to the Announcement were reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement.

Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. For single-source awards, a Notice of Intent to Award is announced on www.grants.gov providing justification for the noncompetitive research award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 5 years. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit program performance reports. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the Federal Financial Report, SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report, SF 425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.33.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$11,100,000.00; FY 21 est \$20,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Range \$10,000 to \$11,000,000 Average \$430,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Completed fish habitat improvement projects, fish passage studies, endangered species monitoring, and aquatic invasive species prevention
Fiscal Year 2021: Completed aquatic invasive species data sharing development projects, genetic studies, and hatchery production projects. Fiscal Year 2022: FY 2022 accomplishment information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below .

Regional or Local Office:

See Regional Assistance Locations. Specific contact information will be provided in each funding announcement.

Headquarters Office:

Irene Hoiby,
Bureau of Reclamation, Financial Assistance Policy Branch, Mail Code 84-27130,
P.O. Box 25007, Denver Federal Center,
Denver CO 80225
Email: ihoiby@usbr.gov
Phone: 3034452025
Fax: Samantha Haskett,
Bureau of Reclamation, Financial Assistance Policy Branch, Mail Code 84-27130,
P.O. Box 25007, Denver Federal Center,
Denver CO 80225
Email: shaskett@usbr.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 3034452029

Fax:

Website Address:

<http://www.usbr.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.518 Garrison Diversion Unit

Garrison Diversion Unit Project,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Garrison Diversion Unit, Missouri River Basin Project, Public Law 89-108, as amended; Energy and Water Development Appropriation Act of 1985, Public Law 98-360; Garrison Diversion Unit Reformulation Act of 1986, Public Law 99-294; the Dakota Water Resources Act of 2000, Public Law 106-554, and the Indian Self-Determination and Education Act P.L. 93-638, as amended (ISDEA is not applicable for nontribal recipients),

OBJECTIVES:

To meet the water needs within the State of North Dakota by providing funds on a non-reimbursable basis for the planning and construction of a multi-purpose water resource development project within the State of North Dakota for irrigation; municipal, rural, and industrial water; fish, wildlife, and other natural resource conservation and development; recreation; flood control; augmented stream flows; ground water recharge; and other project purposes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Different projects and programs under the authorization have different cost ceilings. The Bureau of Reclamation's Missouri Basin Region shall enter into cooperative agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area to undertake the authorized activities, programs and projects as the Secretary of the Interior determines necessary.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; The citizens of the State of North Dakota and the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area.

Credentials/Documentation:

See Public Law 99-294, Section 2, for considerations to be given to fish and wildlife conservation, including habitat impacts, mitigation procedures, and enhancement. See Public Law 99-294, Section 3, regarding when it may be necessary for applicants to complete a comprehensive report covering feasibility, capability, impacts, cost and efficiency measures, National Environmental Policy Act requirements, and other matters. Please contact the Bureau of Reclamation personnel listed in the Contacts section, below, for further information regarding

these requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication is not required. Depending on the project, an environmental impact assessment or statement may be required. If requested, the Bureau of Reclamation's Missouri Basin Region will assist the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area in preparing their respective proposals.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications shall include (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services. Applicants must submit all applicable SF-42 Application for Federal Assistance forms, along with their technical and budget proposals. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Once the parties agree with their respective scopes of work, the Bureau of Reclamations Missouri Basin Region will finalize the agreements. The Bureau of Reclamations Missouri Basin Region will negotiate and enter into agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, the Turtle Mountain Band of Chippewa and the Trenton Indian Service Area. The tribes may choose to enter into an agreement under P.L. 93-638 the Indian Self-Determination and Education Assistance Act. For those agreements that are in place, the Bureau of Reclamation negotiates annually the work plan and budget for that fiscal year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Varies by project. Contact the regional office.

Appeals:

Not Applicable. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. The North Dakota State MR&I program requires a 25% cost share or match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between the Bureau of Reclamation and the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement Method of awarding/releasing assistance: other

Reports:

Recipients shall submit other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Semi-annual SF-425, Federal Financial Report and upon completion of the

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agreement, recipients shall submit a final SF-425. Semi-annual Program Performance Report and upon completion of the agreement, recipients shall submit a final Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$48,057,000.00; FY 21 est \$49,755,000.00; FY 22 est \$13,031,000.00;

Range and Average of Financial Assistance:

Range: \$2,000 to \$40,000,000 Average: \$567,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The tribal water systems have completed a number of construction contracts under the Garrison Project MR & I program including: Turtle Mountain Band of Chippewa (Contract 1-3 Hwy 42/Lake Upsilon Phase 2, Contract 1-8 BIA 8 Corridor, Contract 1-4 Hwy 5 Corridor SE Loop, Gordon Lake Phase 2, Reservoir B) and the Trenton Indian Service Area (Hilltop Water Replacement). The operation, maintenance and replacement of the tribal rural water systems continued during fiscal year 2020. Fiscal Year 2021: North Dakota State MR & I Program funded a number of rural water contracts including the Southwest Pipeline Project, Northwest Area Water Supply Pipeline Contract 2-4A and Northeast Rural Water System expansion projects. Operation and maintenance activities were also accomplished on the tribal rural water systems and GDU principal supply works and wildlife areas. The operation, maintenance and replacement of the tribal rural water systems continued during fiscal year 2021. Fiscal Year 2022: FY 2022 accomplishments not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Missouri Basin Regional Office: Mike Addy, Self Determination Awarding Official, Bureau of Reclamation, 2021 4th Avenue North, Billings, MT 59101; PO Box 36900, Billings, MT 59107-6900, Phone: 406-247-7737, Fax: 406-247-7800, Email: maddy@usbr.gov Lindsey Nafts, Grants Management Specialist, Bureau of Reclamation, PO Box 36900, 316 North 26th Street, Billings, MT 59101-6900, Phone: 406.247.7684, Email: LNafts@usbr.gov Dakotas Area Office: Mr. Arden Freitag, Area Manager, Bureau of Reclamation, Address: PO Box 1017, Bismarck ND 58501, Telephone: 701.221-1201, E-mail: afreytag@usbr.gov

Headquarters Office:
Senior Grants & Agreements Specialist Mike Addy,

Bureau of Reclamation, 2021 4th Avenue North,
Billings MT 59101

Email: maddy@usbr.gov

Phone: (406) 247-7737

Fax: (406)247-7800

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funds were used to construct the new Twin Buttes water treatment plant and expansion of the pipeline distribution systems for the Three Affiliated Tribes water systems, for the Selfridge Tank and McLaughlin Pump Station for the Standing Rock Sioux Tribe, and for Turtle Mountain Contract 1-4 Southeast Loop Pipeline and Belcourt Water Phase 1 construction. North Dakota State MR & I Program Construction included Cass Rural Water Leonard Expansion, and Southwest Pipeline projects. Continued operation and maintenance of tribal rural water systems and GDU principal supply works.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation and the appropriation ceiling, and any stated evaluation criteria.

15.519 Indian Tribal Water Resources Development, Management, and Protection

Indian Tribal Water Resources.

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Consolidated Appropriations Resolution, 2003, Division D - Energy and Water Development Appropriations, Title II, Sec. 201, P. L. 108-7 (43 USC Sec. 373d),

OBJECTIVES:

To increase opportunities for Indian tribes to develop, manage, and protect their water resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Increase opportunities for Indian tribes to develop, manage, and protect their water resources. Tribes may contract under the authority of the Indian Self-Determination Act (25 U.S.C. 450 et. seq.) or enter into a financial assistance agreement as provided under the authority of 31 U.S.C. 63 et. seq.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally recognized Indian tribes, institutions of higher education, national Indian organizations, and tribal organizations located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming.

Beneficiary Eligibility:

Native American Organizations; Local; Federally Recognized Indian Tribal Governments; Federally recognized Indian tribes in the 17 western states.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental

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compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Bureau of Reclamation Native American Affairs Specialists in each Region will notify tribes when funds become available. They will also provide application packages and instructions, points of contact, and procedures for submitting applications. A Funding Opportunity Announcement will also be posted on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the notification to tribes and the funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of Bureau of Reclamation Native American Affairs Program managers who are knowledgeable in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Reclamation will notify successful applicants as soon as possible after proposals have been reviewed and approved for award. Reclamation anticipates that contracts will be awarded under the authority of PL 93-638 as amended in accordance with federal self-determination and self-governance policies. Tribes may also request to enter into financial assistance grants or cooperative agreements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects generally last one to two years. Method of awarding/releasing assistance: other

Reports:

Other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Indian Tribal governments and organizations shall maintain project records in

accordance with 43 CFR 12.82. Institutions of higher education shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$6,100,000.00; FY 21 est \$4,200,000.00; FY 22 est \$4,500,000.00;

Range and Average of Financial Assistance:

Range\$5,000 to \$3,000,000 Average\$185,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Rio Puerco bank stabilization, reconstruction of Santa Ana Indian Ditch, and San Andres-Glorieta aquifer test well. Fiscal Year 2021: Completion of strategic asset management plan for the Hopi, and water resource assessment of the Rio San Jose Basin. Fiscal Year 2022: It is anticipated that the following projects will be completed: Santa Ana river maintenance, water improvements for the Colusa Indian Community Council water system, and upgrades to the La Jolla Band of Luiseno Indians smart meters and irrigation.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and 2 CFR 200, Subparts E and F. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Pacific Northwest Region: 208-378-5008<http://www.usbr.gov/native/regions/pn/index.html>;Mid-Pacific Region: 916-978-5194<http://www.usbr.gov/native/regions/mp/index.html>;Lower Colorado Region: 702-293-8426<http://www.usbr.gov/native/regions/lc/index.html>Upper Colorado Region: 801-524-3674<http://www.usbr.gov/native/regions/uc/index.html>Great Plains Region: 406-247-7710<http://www.usbr.gov/native/regions/gp/index.html>.

Headquarters Office:

Kelly Titensor,
Native American and International Affairs Office, 1849 C Street, NW,
Washington DC 20240

Email: ktitensor@usbr.gov

Phone: (202) 513-0558

Website Address:

<http://www.usbr.gov/native/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Channel modifications, smart meter installations, and toxicity reduction and education. 2021 Drinking water system improvements, irrigation ditch and structure rehabilitation, water quality assessment, groundwater surveys, source water protection plans, water system SCADA systems, drought response planning, and stock-watering system rehabilitation, watershed management planning, erosion control, and geomorphic analysis. 2022 Drinking water system improvements, and water quality assessments.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects must be related to the development, management and protection of Indian tribal water resources.

15.520 Lewis and Clark Rural Water System

Lewis and Clark Project,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fiscal Year 2000, Department of Defense Supplemental Appropriations Act, Division B, Chapter 3, Title IV, Lewis and Clark Rural Water System, Public Law 106-246,

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OBJECTIVES:

To provide safe and adequate municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This project is for the planning and construction of a bulk water supply system to provide municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa. Mitigation of wetlands areas have been incorporated into the project. Congress has specifically authorized the Secretary of the Interior to administer this project in southwestern Minnesota and northwestern Iowa. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

Other private institutions/organizations; The Lewis and Clark Rural Water Supply System, Inc., and its member entities (rural water systems and municipalities that meet the requirements for membership in the Lewis and Clark Rural Water Supply System, Inc.).

Beneficiary Eligibility:

Anyone/general public; Fifteen communities and 5 rural water systems in Lake, McCook, Minnehaha, Turner, Lincoln, Clay, and Union Counties, in southeastern South Dakota; Rock and Nobles Counties, in Southwestern Minnesota; and Lyon, Sioux, Osceola, O'Brien Dickinson, and Clay Counties, in northwestern Iowa.

Credentials/Documentation:

The project requires submission of a final engineering report, National Environmental Policy Act compliance, a plan for water conservation and mitigation plans for fish and wildlife losses in accordance with Section 4104 of the Act. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication was not required. An environmental impact statement was required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Amendments to incorporate annual work plans and budgets are negotiated annually. The recipient must submit all applicable SF-424 Application for Federal Assistance forms, along with (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Missouri Basin Region finalizes the amendments.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Grantees are required to update their work plan and submit a current year

budget annually.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the project is 80 percent; except that Federal funds for the City of Sioux Falls, South Dakota, shall be 50 percent of the incremental cost to the city of participation in the project. (Public Law 106-246, Section 4108).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding will be available until all appropriated funds have been expended for this program, or at such time the Grants Officer declares construction of the project complete. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. As of September 30, 2019, the federal appropriation ceiling as prepared by the Bureau of Reclamation was \$443 million and the projected cost ceiling from all funding sources was \$549 million. The amount and timing of disbursement of assistance is based on progress and need. Payments will be made using the Automated Standard Application for Payment (ASAP). Method of awarding/releasing assistance: other

Reports:

Other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Quarterly and final Federal Financial Report, SF 425. Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports at least annually during the term of agreement. Upon completion of the agreement, recipients shall submit a final Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$17,800,000.00; FY 21 est \$17,420,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$100,000 to \$ 18,000,000Average: \$15,000,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Completion of the following contracts IA-2 and IA-3 treated water pipeline project, and Union County Reservoir. Fiscal Year 2021: Work progresses on the planning and construction of the Lewis and Clark rural water system. Fiscal Year 2022: FY 2022 accomplishment data not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Dakotas Area Office: Mr. Joe Hall, Area

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Manager, Bureau of Reclamation, Address: PO Box 1017, Bismarck ND 58501, Telephone: 701.221-1201, E-mail: jhall@usbr.gov

Headquarters Office:

Grants Management Specialist Lindsey Nafts,,
Bureau of Reclamation, Missouri Basin Regional Office, P.O. Box 36900, 316 North 26th Street,
Billings MT 59101-6900
Email: LNafts@usbr.gov
Phone: (406) 247-7684.

Website Address:

<http://www.lcrws.org/>

RELATED PROGRAMS:

15.518 Garrison Diversion Unit;

EXAMPLES OF FUNDED PROJECTS:

2020 Construction of pipelines from 6 to 54-inches in diameter, 38 MGD water treatment plants, wells and well houses, ground and elevated storage reservoirs, meter houses, pump stations and appurtenant SCADA systems.

CRITERIA FOR SELECTING PROPOSALS:

The scope of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling. Reclamation will allocate funds based on the recently implemented funding criteria.

15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement

Lower Rio Grande Valley Irrigation Projects,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2000, Public Law 106-576, as amended; Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2002, Public Law 107-351.,

OBJECTIVES:

Awards will be made to investigate and identify opportunities to improve the supply of water in the program area identified as the counties in the State of Texas in the Rio Grande Regional Water Planning Area known as Region M as designated by the Texas Water Development Board; and the counties of Hudspeth and El Paso, Texas. The program includes the review of studies and planning reports, conduct of or participation in funding engineering work, infrastructure construction, and improvements for the purpose of conserving and transporting raw water.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cost ceilings of \$8,000,000 for conservation and improvements (section 3(g)) and \$47,000,000 for construction (section 4(c)). Reclamation's Missouri Basin Region will enter into cooperative agreements with the State of Texas, water users in the program area, specified irrigation districts, and other non-Federal entities to carry out the project if the Secretary of the Interior determines such agreements would be cost-effective and efficient. A substantial portion of this program is directed toward funding 19 construction projects specifically identified in the Lower Rio Grande Valley Water Resources Act, as amended.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); The State of Texas, water users in the program area, specified irrigation districts, and other non-Federal entities.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); State; The general public located in the state of Texas.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants shall provide a report in accordance to Sec. 3(c) of the Act that includes, but is not limited to, (a) estimated project costs, conservation measures, and operational efficiency improvements, (b) a project plan and map, and (c) a cost-sharing commitment for applicant funding of its proportional share of project construction costs on an annual basis. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. Environmental compliance for this program may be required depending on the scope of the specific project funded.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Scopes of Work are provided to the Bureau of Reclamation for annual award and funding.

Award Procedure:

Specific recipients are identified in the authorizing legislation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project.

Appeals:

Not Applicable.

Renewals:

Other. Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The non-Federal share of total project costs shall be 50 percent. Not more than 40 percent of the project costs may be paid by the State. The remainder of the non-Federal share may include in-kind contributions of goods and services, and the actual funds previously spent on feasibility and engineering studies. Awarded agreements must contain a commitment by the non-Federal project sponsors to fund their proportionate share of the project's construction costs on an annual basis. (Public Law 106- 576, Section 4(b), as amended).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established with the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. Method of awarding/releasing assistance: other

Reports:

As stated in the agreement document, other specific reports may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis a Program Performance Report. Upon completion of the agreement, recipients shall submit a final Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$450,360.00; FY 21 est \$1,000,082.00; FY 22 est \$36,000.00;

Range and Average of Financial Assistance:

Range: \$0 to \$ 1,000,000 Average: \$350,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Reclamation has executed agreements with Cameron County Irrigation District #2 and United Irrigation District both entities have construction projects that are ongoing. Fiscal Year 2021: Reclamation has executed agreements with Cameron County Irrigation District #2 and United Irrigation District both entities have construction projects that are ongoing. Fiscal Year 2022: FY 2022 accomplishment information is not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Oklahoma Texas Area Office: Mark Trevino, Area Manager, Bureau of Reclamation, 5316 Highway 290 West, Suite 110, Austin, TX 78735-8931, Telephone: 512.899.4150, E-mail: mtrevino@usbr.gov.

Headquarters Office:

Tribal and Financial Assistance Officer Ms. Lindsey Nafts, Bureau of Reclamation, Missouri Basin Regional Office, 2021 4th Ave North, Billings MT 59101

Email: lnafts@usbr.gov

Phone: (406) 247-7684

Fax:

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Harlingen Irrigation District for canal lining/piping existing canals and automation and telemetry; Cameron County ID #2 for pump station replacement/canal lining/piping existing canals; Hidalgo County ID #6 for lining of existing canals and telemetry; and United ID for piping existing canals and pump station rehabilitation.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must be compatible with the authorizing legislation and the appropriation ceiling.

15.522 Mni Wiconi Rural Water Supply Project

Mni Wiconi Project,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Mni Wiconi Rural Project Act of 1988, Public Law 100-516, as amended; Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title VIII, Mni Wiconi Act Amendments of 1994, Public Law 103-434,

OBJECTIVES:

To ensure a safe and adequate municipal, rural, and industrial water supply for the residents of the Pine Ridge Indian, Rosebud Indian, and Lower Brule Indian Reservations in South Dakota; to assist the citizens of Haakon, Jackson, Jones, Lyman, Mellette, Pennington, and Stanley Counties, South Dakota, to develop safe and adequate municipal, rural, and industrial water supplies; to promote the implementation of water conservation programs at these locations; to provide certain benefits to fish, wildlife, and the natural environment of South Dakota; and in consultation with the Oglala, Rosebud, and Lower Brule Sioux Tribes, to conduct feasibility studies on the need to develop water disposal facilities and systems and rehabilitate existing waste water disposal facilities and systems on the Pine Ridge, Rosebud, and Lower Brule Indian Reservations.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Agreements are limited to planning, designing, constructing, operating, maintaining, and replacing the Oglala Sioux, the Rosebud, and the Lower Brule Sioux Rural Water Supply Systems; and for planning and construction of the West River/Lyman-Jones Rural Water System. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); West River/Lyman-Jones Water Systems; the Oglala, Rosebud, and Lower Brule Sioux Tribes; and non-Federal entity or entities. Section 3(b) of Public Law 100-516 states the Secretary, with the concurrence of the Oglala Sioux Tribal Council, shall enter into agreements with the appropriate non-Federal entity or entities for planning, designing, constructing, operating, maintaining and replacing the Oglala Sioux Rural Water Supply System.

Beneficiary Eligibility:

Native American Organizations; Federally Recognized Indian Tribal Governments; The citizens of the southwest quarter of the State of South Dakota, including the Oglala, Rosebud, and Lower Brule Indian Reservations.

Credentials/Documentation:

Agreements for construction of projects entered into for the West River/Lyman-Jones Rural Water Systems must be in accordance with the final engineering reports submitted by each project applicant. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication is not required. Depending on the project, an environmental impact assessment or statement may be required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. At the present time, program funding is limited to amendments of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated annually.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Missouri Basin Region finalizes the modifications. Responsibilities for needs assessments, feasibility and environmental studies, design, conservation measures and administration are to be included in agreements negotiated under this authority.

Deadlines:

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Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of tribal projects is 100 percent. The Federal share of the West River/Lyman Jones projects is 80 percent. (Public Law 103-434, Section 805).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The current sunset date for the Mni Wiconi Project is September 30, 2020. Method of awarding/releasing assistance: other

Reports:

If stated in the agreement document, other specific reports may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report and upon completion of the agreement a final report. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$13,155,000.00; FY 21 est \$12,800,000.00; FY 22 est \$12,800,000.00;

Range and Average of Financial Assistance:

Range\$ 50,000 to \$ 20,000,000 Average\$ 12,000,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Construction of pipeline distribution systems on the Pine Ridge Reservation and Rosebud Reservation were substantially completed in 2016. The operation, maintenance and replacement of the three tribal rural water systems continued through fiscal year 2018. Small maintenance and replacement projects include realignments, leak repairs, and water tower construction. Fiscal Year 2021: No information to report; all current agreements under this program completed FY 2020. Fiscal Year 2022: No information to report; all current agreements under this program completed FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Dakotas Area Office: Mr. Joe Hall, Area Manager, Bureau of Reclamation, Address: PO Box 1017, Bismarck, ND 58501, Telephone: 701.250.1200, E-mail: jhall@usbr.gov

Headquarters Office:

Senior Grants & Agreements Specialist Anita Lopez, Bureau of Reclamation, 2021 4th Avenue North, PO Box 36900,, Billings MT 59107-6900

Email: amlopez@usbr.gov

Phone: 406.447.7619

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The Mni Wiconi Project constructed primarily rural water pipeline conveyance and distribution systems.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

15.524 Recreation Resources Management

Title XXVIII,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Water Projects Recreation Act of 1965, Pub. L. 89-72 as amended; Reclamation Recreation Management Act of 1992, Pub. L. 102-575, Title XXVIII.

OBJECTIVES:

To provide cost-share opportunities with non-Federal recreation partners (e.g., state, county, local governments, etc.), to assist in planning, development, operation, maintenance, and replacement of recreation and fish and wildlife resource facilities at partner managed Reclamation project recreation areas.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cost share agreements are used to provide assistance to non-Federal managing partners, who currently manage or will be managing one or more Reclamation project recreation areas, for the long-term management and care of publicly-owned recreation resources, and for the planning, construction and other facets of improving recreation access and assets. Concession contracts are not authorized by the above authorities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Non-Federal managing partners (e.g., state, county, local government entities, etc.), that currently manage or will be managing one or more Reclamation project recreation areas.

Beneficiary Eligibility:

Anyone/general public; State; Local; Non-Federal recreation management partners.

Credentials/Documentation:

Pub. L. 89-72, as amended, requires that prospective non-Federal managing partners that will be entering into long-term agreements for management of Reclamation project recreation areas indicate their intent in writing to administer Reclamation project recreation areas and enter into such long-term agreements for

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management of recreation and fish and wildlife facilities. Management agreements must be current for existing partners. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for categorical exclusion, environmental assessment, or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State and local governments that have managing partner agreements with Reclamation submit proposals with detailed scopes of work and budgets for recreation as well as fish and wildlife improvement projects.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications are reviewed by the Bureau of Reclamation office having administrative jurisdiction of the land and water areas being considered for public recreation purposes.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be communicated to the Recipient. The financial assistance agreements are renewable if both the Bureau of Reclamation and the state or local partners are in mutual agreement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. In the case of recreation development, a minimum cost share of 50 percent is required from a non-Federal partner. For fish and wildlife enhancement projects, the Federal share is exactly 75 percent of the project cost. (16 U.S.C. 4601-13(a)). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements are typically for 1 to 5 years depending on the type of project. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis the Federal Financial Report SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report SF 425. Unless otherwise stated in the agreement, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement,

recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$6,606,527.00; FY 21 est \$8,500,000.00; FY 22 est \$5,600,000.00;

Range and Average of Financial Assistance:

Range \$50,000 to \$2,500,000 Average \$500,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Columbia Pacific Northwest. Howard Prairie Resort was a turnback from a Concession and Jackson County Parks took over this facility but inherited many dilapidated facilities that would not meet public health and safety requirements. One of the public health and safety violations were exposed electrical to the public and code electrical violations, Howard Prairie Resort Campground completed a utility upgrade project due to Title XXVIII cost share grant. This project was completed and is now in full compliance with safety codes. Henry Hagg day use facilities had multiple ABA (often referred to as ADA) barriers for people with disabilities at several restrooms around the park. Several new restrooms were installed that met ABA compliance and included accessible parking and accessible routes to those restrooms. All other CPN TXXVIII grants have completion dates between FY21 FY 25 and two were extended due to COVID. // Upper Colorado Basin. Brantley Project Brantley Lake State Park Limestone Comfort Station Renovation. Renovation of the Limestone comfort station is in nearing completion, which includes replacing all of the fixtures, partitions, electrical, HVAC and plumbing, as well as the roof, windows and doors. Installation of two new 8,000-gallon septic tanks, along with a new leach field is in progress and scheduled to be completed in early 2021. The total cost of the project is estimated at \$1,155,000.00. The total expenditures on this project as of September 30, 2020, were \$711,696.79 (\$327,223.72 in Federal funds and \$384,473.07 in State funds) Fiscal Year 2021: FY 2021 accomplishment information not yet available. Fiscal Year 2022: FY 2022 accomplishment information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below. Program information is included in the Reclamation Manual, Directive and Standards, Implementing Cost-Sharing Authorities for Recreation and Fish and Wildlife Enhancement Facilities, LND 01-01, available at <http://www.usbr.gov/recman/Ind/Ind01-01.pdf>.

Regional or Local Office:

See Regional Assistance Locations. Pacific Northwest Region: (208) 378-5228; Mid-Pacific Region: (916) 978-5558; Lower Colorado Region: (702) 293-8375;

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Upper Colorado Region: (801) 524-3664; Great Plains Region: (406) 247-7714.

Headquarters Office:

Bureau of Reclamation Ronnie Baca,
P.O. Box 25007 (86-67200),
Denver CO 80225-0007
Email: rbaca@usbr.gov
Phone: 303-445-3257

Website Address:

<http://www.usbr.gov/recreation>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Columbia Pacific Northwest Interior Region 9: Howard Prairie Marina Replacement project will replace dilapidated docks and mooring facilities and move the project to a new viable watering location due to extensive drought. Henry Hagg project will revitalize a closed campground and install a Visitor Center due to increased demand that already has over 1 million visitors at the day use areas. Reclamation entered into a first time T28 agreement with the City of Cascade to replace a 50-year-old irrigation system at the golf course. CPN has entered into multiple O&M cost share agreement to assist in maintaining facilities to current public health and safety standards. In addition, CPN received a turn back facility from a Concessionaire so we were able to meet PL 89-72 and entered into a long term management agreement with Oregon State Parks and entered into a cost share agreement to assist with repairs and replacement of very old dilapidated facilities.

CRITERIA FOR SELECTING PROPOSALS:

In no priority order and at the discretion of each Reclamation office, cost-share funding will be given to proposals that will:(a)Provide for the public health and safety;(b)Comply with Federal, State, and local laws and regulations(c)Protect critical resources such as wetlands, critical wildlife habitat, endangered and threatened species, and cultural resources; and/or(d)Modernize existing facilities to meet current standards.

15.525 Rocky Boy's/North Central Montana Regional Water System

North Central Montana Rural Water Supply Project,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Indian Financing Amendments Act of 2002, Title IX Rocky Boys/North Central Montana Regional Water System Act of 2002, Pub. L. 107-331.,

OBJECTIVES:

To ensure a safe and adequate rural, municipal, and industrial water supply for the residents of the Rocky Boy's Reservation in the State of Montana, and to assist the citizens residing in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana, but outside the Reservation, in developing safe and adequate rural, municipal, and industrial water supplies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Construction of a rural water supply system that will serve the Chippewa-Cree of the Rocky Boy's Indian reservation and the surrounding, off-reservation communities in north central Montana.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The Chippewa-Cree of the Rocky Boy's Indian Reservation and the North Central Montana Regional Water Authority.

Beneficiary Eligibility:

Native American Organizations; Federally Recognized Indian Tribal Governments; The Chippewa-Cree of the Rocky Boy's Indian Reservation and inhabitants of the

areas served by the North Central Montana Regional Water Authority in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The Bureau of Reclamations Missouri Basin Region will assist the Chippewa-Cree of the Rocky Boys Indian Reservation and members of the North Central Montana Regional Water Authority in preparing their respective annual funding agreements or financial assistance agreements. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. At the present time, program funding is provided only for the continuation of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated annually with the current recipients. Current recipients submit applicable SF-424 forms, technical proposals, and budget narratives for Bureau of Reclamation review.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. At the present time, program funding is provided only for the continuation of existing agreements.

Appeals:

Not Applicable.

Renewals:

Other. Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the Rocky Boy's Rural Water System project is 100 percent. The Federal share of the North Central Montana Regional Water Authority project is 80 percent. (Section 904(b) and (c), Section 905(b)). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are to be available until expended. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Report. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

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All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$24,484,000.00; FY 21 est \$23,984,000.00; FY 22 est \$30,000,000.00;

Range and Average of Financial Assistance:

Range: \$465,000 - \$21,000,000 Average: \$1,500,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: On September 13, 2019 the Chippewa Cree Construction Company issued a Notice to Proceed to Sletten Construction of Great Falls MT for the award of the Water Treatment Plant (WTP) Phase 1, Contract #1 for \$35.8 million. Mobilization and earthwork activities for construction of the WTP began in January 2020 and will continue throughout the next two years. Completion of Phase 1 is scheduled for June 1, 2022. Construction continued on North Central Montana Regional Water System segment W5-A, supply water from Shelby, MT to Nine Mile and Oilmont County Water Districts.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Bureau of Reclamation, Montana Area Office, Attn: Mr. Ryan Newman, Area Manager, PO Box 30137 Billings, MT, 59107-0137 Telephone: 406.247.7298 E-mail: rnewman@usbr.gov

Headquarters Office:

Native American Affairs Officer Gregory Kruzich,
Bureau of Reclamation, Missouri Basin Regional Office, PO Box 36900,,
Billings MT 59101
Email: gkruzich@usbr.gov
Phone: (406) 247-7489
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Planning, design, and construction of the North Central Montana Regional Water System

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.526 San Gabriel Basin Restoration

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

San Gabriel Basin Restoration Project, Appendix D, Division B, Title I, 110,

Public Law 106-554, as amended; Energy and Water Development Appropriations Act, 2002, Title II, 202, P.L. 107-66; and Section 2 of Reorganization Plan Number 3 of 1950, 64 Stat. 1262, as amended.,

OBJECTIVES:

To design, construct, operate, and maintain water quality projects within the San Gabriel Basin, Los Angeles County, California.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project grants can only be issued to reimburse recipients for the Federal share of costs associated with the design, construction, operation, and maintenance of water quality improvement projects within the San Gabriel Basin, located in Los Angeles County, California.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); San Gabriel Basin Water Quality Authority (or its successor agency) and/or the Central Basin Municipal Water District.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); General public, all users and indirect users of groundwater supplies in the San Gabriel Basin.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Applicants are encouraged to consult with the Bureau of Reclamation, Southern California Area Office (SCAO), for assistance in preparing project proposals and preliminary review of project descriptions and budgets, including assurance of compliance with the National Environmental Policy Act and related laws and orders.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit SF 424 Application for Federal Assistance documents; detailed project technical descriptions and budgets; and all information required under the terms of the applicable appropriations law.

Award Procedure:

Bureau of Reclamation personnel will review (1) the application package to ensure that all required documents are complete, accurate, and satisfy all provisions of applicable law, (2) the project budgets and description to ensure the appropriation language requirements are met, and (3) the project descriptions for technical issues and consistency with applicable policies, and budgets for appropriateness of cost estimates. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed by both parties.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Typical range of 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Other. Extensions may be applicable and are subject to appropriated funds. Revised SF-424 forms are required when applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 35%. Requirement: Matching requirements are mandatory. A minimum of 35 percent non-Federal cost share is required by Public Law 106-554, Section 110(a)(3)(B), as amended. The cost share can be provided from any non-Federal source; and, in accordance with Section 110(a)(3)(B)(iii), credit toward the non-Federal cost share can be given for the value of certain prior project

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expenditures.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements for planning and construction projects are generally awarded for up to 5 years. Funds for operation and maintenance can be provided for a period not to exceed 10 years following the initial date of operation of the project, or until the project funding ceiling has been reached, whichever occurs first. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5483-0-2-301.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range \$0 to \$0Average \$0.

TAFS Codes:

14-5483.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Work continues for two agreements awarded prior to 2010. Work includes construction of extraction wells. Fiscal Year 2021: Work continues for two agreements awarded prior to 2010. Work includes construction of a raw water pipeline to convey groundwater from extraction wells to centralized treatment facility. Fiscal Year 2022: Work continues for two agreements awarded prior to 2010. Work includes extraction and treatment of groundwater from the intermediate zone of the Puente Valley Operable Unit.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below. Program guidelines: Department of the Interior, Delegation of Authority for the San Gabriel Basin Restoration Project, Order No. 3241, Amendment No. 4; San Gabriel Basin Water Quality Authority, Federal Funding Program Administration guidelines.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Meghan Thiemann,
P.O. Box 61470 (LC-6233),

Boulder City NV 89006

Email: mthiemann@usbr.gov

Phone: 702-293-8438

Website Address:

<http://www.usbr.gov/lc/social/>

RELATED PROGRAMS:

15.504 Water Reclamation and Reuse Program;

EXAMPLES OF FUNDED PROJECTS:

2020 This authorization allows for funding for San Gabriel Valley groundwater remediation only 2021 This authorization allows for funding for San Gabriel Valley groundwater remediation only 2022 This authorization allows for funding for San Gabriel Valley groundwater remediation only

CRITERIA FOR SELECTING PROPOSALS:

Applicants are limited to the San Gabriel Basin Water Quality Authority or the Central Basin Municipal Water District. Projects must improve the quality of the groundwater in the San Gabriel Basin, located in Los Angeles County, California. The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

15.527 San Luis Unit, Central Valley

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

San Luis Unit, Central Valley Project Act of June 3, 1960, Public Law 86-488, 74 Stat.156, Sec. 5.

OBJECTIVES:

Construction and operation of facilities to provide drainage service to lands within the San Luis Unit of the Central Valley Project of central California.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

To be used for projects that will result in construction and operation of drainage facilities in the San Luis Unit and adjacent areas.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Any responsible source, to include irrigation and/or water districts, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Irrigation and/or water districts, State and local entities, farmers and ranchers, agricultural producers, and owners of drainage impacted land within the San Luis Unit of the Central Valley Project.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area; however, non-Federal experts may be utilized for specific project reviews. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. There is no statutory requirement for cost sharing; however, applicants are encouraged to provide a cost share whenever possible. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing information, as applicable, will be included in funding announcements for this program on www.grants.gov. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and

patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range\$0Average\$0

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Not applicable, not currently funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Technical/Program Information: Bureau of Reclamation, South-Central California Area Office, Attention: Jeffrey Papendick, 1243 N Street, Fresno, CA, 93721. Telephone (559) 487-5340. Fax (559) 487-5130. Email: jpapendick@usbr.gov Acquisition Office: Bureau of Reclamation, Mid-Pacific Regional Office, Acquisition Services, 2800 Cottage Way, Room E-1815, Sacramento, California 95825-1898. Telephone (916) 978-5130. Fax (916) 978-5175/5182.

Headquarters Office:

Jeffrey Papendick,
1243 N Street,
Fresno CA 93721
Email: jpapendick@usbr.gov
Phone: NA

Website Address:

<http://www.usbr.gov/mp>

RELATED PROGRAMS:

15.506 Water Desalination Research and Development Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov.

15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery

ASSISTANCE LISTINGS DESCRIPTIONS

Upper Colorado and San Juan River Recovery Implementation Program,
FEDERAL AGENCY:

**BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:**

Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs,
Pub. L. 106-392, 114 Stat. 1602, as amended; Upper Colorado and San Juan River
Basins Endangered Fish Recovery Programs Extension, Pub. L. 107-375, 116 Stat.
3113,

OBJECTIVES:

To authorize the Bureau of Reclamation to provide cost sharing for the endangered
fish recovery implementation programs for the Upper Colorado and San Juan River
Basins.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Recovery Implementation Programs are designed to help recover the Colorado
Pikeminnow, Razorback Sucker, Bonytail, and the Humpback Chub while allowing
water development to continue in the Upper Colorado and San Juan River Basins.
The term Recovery Implementation Programs mean the intergovernmental
programs established pursuant to the 1988 and 1992 Cooperative Agreement
described in Sec. 2 of the Act. The major portion of the work (between 80% to
90%) will be accomplished through mandatory grant and cooperative agreement
awards established by these intergovernmental Cooperative Agreements. The
remaining work is done under contracts to purchase equipment for O&M or
monitoring work. The types of projects authorized by these Cooperative
Agreements include Administration of Water Right; Oversight of the Development
of Water Resources; Protection and Management of Fish and Wildlife Resources;
Projects related to Tribal Sovereignty; Long Range Plan and Program Goal
Development; Protection, Management and Augmentation of Habitat; Interactions
Between Native and Non-Native Fish Species; and Monitoring and Data
Management. Periodically, the Bureau of Reclamation will have discretionary
funding available to make awards for certain types of projects. When this project
funding becomes available, funding opportunity announcements will be posted to
www.Grants.gov or Fedbizopps.gov

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public
institutions of higher education and hospitals); Local (includes State-designated
Indian Tribes, excludes institutions of higher education and hospitals); Public
nonprofit institution/organization (includes institutions of higher education and
hospitals); Federally Recognized Indian Tribal Governments; Federal, Interstate,
Intrastate, State and Local governments; Public Institution/Organizations, and
Federally Recognized Indian Tribal Governments and private contractors.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Anyone/general
public; Federally Recognized Indian Tribal Governments; Indian Tribes in the
location of the San Juan River Basin and in the Duchesne River Basin, and the
general public in the Colorado River Basin.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is
required for this listing. An environmental impact assessment is required for this
listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental
Review of Federal Programs." An applicant should consult the office or official
designated as the single point of contact in his or her State for more information on
the process the State requires to be followed in applying for assistance, if the State
has selected the program for review. Depending on the project to be accomplished,
an environmental impact statement may be required for this program, but not until
after receipt of applications.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. All discretionary
funding opportunities will be posted on www.grants.gov or fedbizopps.gov. The
funding opportunity will include the name of the Federal agency, the Funding
Opportunity Title of work associated with the project, the application due date,

eligible applicants, the federal funding amounts per agreement, the estimated
number of agreements to be awarded, and the overall total amount of funding
available for awards. All applicants, whether submitting projects under the
mandatory or discretionary portions of this authority, will be required to submit a
Standard Form 424, Application for Federal Assistance, and Standard Forms 424B
or 424D for Assurances must also be submitted. A detailed budget worksheet guide
form will be included to assist in preparation of the budgeted amount requested.
The Funding Opportunity posting will identify the program description,
background and purpose, program objectives, objective or request for proposals,
eligible projects, types of projects, and program authority. General provisions and
special provisions, along with reporting requirements will be identified to provide
applicants with information that must be followed if an award is made. The funding
opportunity will identify a point of contact for assistance or consultation for
preparing the form. Notice of Funding Opportunities (NOFO) for this listing will
be posted on Grants.gov.

Award Procedure:

Upon receipt of applications by the date identified in the announcement(s), the
applications will be reviewed to determine if applications are consistent with
requirements identified in the announcements. The application/proposal(s) are
reviewed by a Federal technical team of experts (along with state and private
advisors) against identified criteria. Negotiations, revised applications, or awards
will be made based on recommendations from the technical experts. Proposals
submitted under the mandatory authority portion of this program will undergo a
similar review and screening procedure.

Deadlines:

Contact the headquarters or regional location, as appropriate for application
deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. The Bureau of Reclamation will, however, provide all applicants
with information on why their proposals were not selected.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching
Requirements: Percent: 0%. Requirement: Matching requirements are mandatory.
Non-Federal contributions to capital projects shall be made in accordance with the
provisions of Sec. 3(c) of the PL 106392. Contact the Regional Office listed in the
Contacts section, below, for further information regarding cost sharing
requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements and grants are normally written for a 1 to 5 year period.
Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an
annual basis the Program Performance Reports. Upon completion of the agreement,
recipients shall submit final Program Performance Report, and other specific
reports that may be applicable to the agreement such as property inventories, and
patent and invention disclosures. Unless otherwise stated in the agreement
document, recipients shall submit on an annual basis the SF-425, Federal Financial
Report. Upon completion of the agreement, recipients shall submit final SF-425.
Unless otherwise stated in the agreement document, recipients shall submit on an
annual basis the Program Performance Reports. Upon completion of the agreement,
recipients shall submit final Program Performance Report, and other specific
reports that may be applicable to the agreement such as property inventories, and
patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2

ASSISTANCE LISTINGS DESCRIPTIONS

CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-4081-0-3-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$5,652,624.00; FY 21 est \$5,760,000.00; FY 22 est \$5,760,000.00; Project Grants (Cooperative Agreements) FY 20 \$3,025,466.00; FY 21 est \$2,880,000.00; FY 22 est \$2,880,000.00;

Range and Average of Financial Assistance:

Range \$5,000 to \$1,800,000 per award Average \$1,208,532

TAFS Codes:

14-4081.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Upper Colorado River and San Juan River Endangered Fish Recovery Programs (Recovery Programs) are partnerships composed of State, Tribal and Federal agencies, water and power interests, and environmental groups working to recover endangered fish in the Upper Colorado and San Juan River basins while water development proceeds in accordance with federal and state laws and interstate compacts. In Fiscal Year 2019 and 2020, the Recovery Programs continued to provide ESA coverage to over 2,500 of state, federal and private water development projects while advancing endangered fish recovery. Proposals to downlist two of the four endangered fish species and associated rulemaking notices are currently working their way through US Fish and Wildlife Service regulatory channels. The programs implemented management actions and projects to recover endangered fish in the areas of in-stream flow protection, habitat restoration, nonnative fish management, propagation and genetics, research and monitoring, information and education, and program management. Specific examples include operation and maintenance of river flow gages and infrastructure (fish passages, and screens), installation of and maintenance of electronic fish monitoring systems, maintenance of online databases, coordination of reservoir operations for recovery of endangered fish, completion of a small student-run fish hatchery at Palisade High School, Palisade, CO, management of floodplain wetland rearing habitats including stocking of endangered fish in managed wetlands, removal of and translocation of nonnative fish, collection of juvenile endangered fish for broodstock development, propagation and stocking of endangered fish, planning for pond construction, research and monitoring of early life history endangered fish survival including larval fish monitoring, database management, population estimates, maintenance of program website and publication and distribution of outreach materials. Fiscal Year 2021: FY 2021 accomplishment information not yet available. Fiscal Year 2022: FY 2022 accomplishment information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Bureau of Reclamation, 125 South State Street, Room 8100, Salt Lake City, UT 84138-1102; Mark McKinstry: 801-524-3835, mmckinstry@usbr.gov; Dave Spears: 970-248-0604, dspeas@usbr.gov; Tara Ashby: 801-524-3776, tashby@usbr.gov

Headquarters Office:

Mark McKinstry,
125 South State Street, Room 8100,
Salt Lake City UT 84138
Email: mmckinstry@usbr.gov
Phone: NA

Website Address:

<http://www.usbr.gov/uc/wcao/rm/sjrip/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Recovery Program stream gage operation and maintenance; maintenance of a Recovery Program endangered fish online database; operation and maintenance of stream sediment gages in the Green and Yampa rivers; Grand Valley diversion dam fish screen and passage operation and maintenance; Middle Yampa, Green, White and Colorado river smallmouth bass, walleye and pike management; San Juan river nonnative fish removal; Wahweap (Utah) and Mumma (Colorado) state fish hatcheries operation and maintenance; Southwest Native Aquatics Resources and Recovery Center (SNARRC), Ouray, 24-Road national fish hatcheries operation and maintenance; rearing and stocking of endangered razorback sucker in the Upper Colorado and San Juan rivers; rearing and stocking of over 30,000 endangered bonytail in the Upper Colorado river basin; Yampa and middle Green Colorado pikeminnow and razorback sucker larval, young-of-year fish surveys and adult fish surveys; Recovery Program information and education; propagation, rearing and stocking of Colorado pikeminnow, bonytail and razorback sucker; operation and maintenance of PIT (passive integrated transponder fish tags) antennas in various locations in the San Juan and Upper Colorado River basins; operation of the recently completed Green River Canal fish screen; salvage of endangered fish trapped in irrigation canals; operation of PNM Fish Passage Facility by Navajo Nation; sub-adult and adult large-bodied fish monitoring in the San Juan River; larval fish monitoring in the San Juan River; specimen identification and curation of fishes from the San Juan, Green and Colorado Rivers; management of Green River floodplain wetlands for rearing of wild endangered fish from the larval stage; habitat mapping and evaluation in the San Juan River; evaluation of razorback sucker use of the San Juan River inflow area of Lake Powell; facilitated fish passage over the San Juan River waterfall; temperature and habitat monitoring in the San Juan river; purchase of PIT tags and readers for endangered fish in the Colorado River Basin; Hogback Fish Weir operation and maintenance; monitoring of adult humpback chub populations in the Green and Colorado river basins; collection of juvenile Colorado pikeminnow for broodstock development.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov for fedbizopps.gov.

15.530 Water Conservation Field Services (WCFS)

Water Conservation Field Services Program (WCFSP),

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Section 9504(a) of the Omnibus Lands Management Act of 2009, P.L. 111-11,

OBJECTIVES:

The goal of the Water Conservation Field Services Program (WCFSP) is to proactively encourage water conservation in the operations of recipients of water from Federal water projects, to assist agricultural and urban water districts in preparing and implementing water conservation plans in accordance with the Reclamation Reform Act of 1982 (RRA), and to complement and support State and other conservation programs.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding is made available for the development of water conservation and management plans and design of water management improvements, identifying water management improvements through System Optimization Reviews, and improving the understanding of water conservation technologies through Demonstration activities. Financial assistance is limited to \$100,000 or less, per year.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include any State, Indian tribe, irrigation district, water district, or other organization with water or power delivery authority. Applicants must also be located in the western U.S. or Territories as identified in the Reclamation Act of June 17, 1902, as amended and supplemented; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands. Each funding announcement may include additional eligibility requirements.

Beneficiary Eligibility:

Small business; Private nonprofit institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; The general public and irrigation or water districts located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by regional officials, as applicable to the project. The number of awards and maximum funding amount per award are determined on an annual basis and are dependent upon the amount of available funding in each Region. Contact your regional coordinator for additional program information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement. <http://www.usbr.gov/waterconservation/wtrctrs.html>

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Generally, applicants should propose projects that can be completed within 24 months from the project start date.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the cost of a project or activity carried out under the WCFSF shall not exceed 50 percent of the total cost of the project or activity. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority of this program shall be nonfederal responsibilities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects typically are awarded for up to 24 months. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit annually Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit annually the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Unless otherwise stated in the agreement document, recipients shall submit annually Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,179,000.00; FY 21 est \$2,140,000.00; FY 22 est \$2,318,000.00;

Range and Average of Financial Assistance:

Range\$6,938 - \$100,000 Average\$50,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Regional assistance to districts towards development of water conservation plans, assistance with identifying efficiency improvement measures, demonstrations of new technology, and leveraging of resources through collaboration between water districts and other entities by encouraging and developing partnerships. Additional information on accomplishments is available from area and regional offices. Contact your regional coordinator for information. Fiscal Year 2021: Regional assistance to districts towards development of water conservation plans, assistance with identifying efficiency improvement measures, demonstrations of new technology, and leveraging of resources through collaboration between water districts and other entities by encouraging and developing partnerships. Additional information on accomplishments is available from area and regional offices. Contact your regional coordinator for information.

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2022: Regional assistance to districts towards development of water conservation plans, assistance with identifying efficiency improvement measures, demonstrations of new technology, and leveraging of resources through collaboration between water districts and other entities by encouraging and developing partnerships. Additional information on accomplishments is available from area and regional offices. Contact your regional coordinator for information.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Regional contact information may be found at <https://www.usbr.gov/waterconservation>.

Headquarters Office:

Dean Marrone,
Bureau of Reclamation, Water Resources and Planning Office, Mail Code: 86-69200, P.O. Box 25007, Denver Federal Center,,
Denver CO 80225
Email: dmarrone@usbr.gov
Phone: 3034453577
Fax: 3034456464

Website Address:

<https://www.usbr.gov/waterconservation/>

RELATED PROGRAMS:

15.507 Water 2025;

EXAMPLES OF FUNDED PROJECTS:

2020 Colorado River Indian Tribes received \$76,121 to design a re-regulation reservoir on the Colorado River Irrigation Project. 2021 FY 2021 selection information is not yet available. The program seeks to fund projects that proactively encourage water conservation in the operations of recipients of water from Federal water projects. 2022 FY 2022 selection information is not yet available. The program seeks to fund projects that proactively encourage water conservation in the operations of recipients of water from Federal water projects.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria for the Water Management and Conservation Planning, System Optimization Review, Designing Water Management Improvements, and Demonstrating Conservation Project Technologies announcements will be included in the funding announcements posted on www.grants.gov.

15.531 Yakima River Basin Water Enhancement (YRBWE)

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title XII, Yakima River Basin Water Enhancement Project, Public Law 103-434.

OBJECTIVES:

Within the Yakima River Basin: (1) to protect, mitigate, and enhance fish and wildlife through improved water management; improved instream flows; improved water quality; protection, creation and enhancement of wetlands; and by other appropriate means of habitat improvement; (2) to improve the reliability of water supply for irrigation; (3) to authorize a Yakima River basin water conservation program that will improve the efficiency of water delivery and use; enhance basin water supplies; improve water quality; protect, create and enhance wetlands, and determine the amount of basin water needs that can be met by water conservation measures; (4) to realize sufficient water savings from the Yakima River Basin Water Conservation Program so that not less than 40,000 acre-feet of water savings per year are achieved by the end of the fourth year of the Basin Conservation Program; (5) to encourage voluntary transactions among public and private entities which result in the implementation of water conservation measures, practices and

facilities; and (6) to provide for the implementation by the Yakama Indian Nation at its sole discretion of (A) Wapato Irrigation Project improvements, (B) an irrigation demonstration project on the Yakama Indian Reservation using water savings from system improvements to the Wapato Irrigation Project, and (C) a Toppenish Creek corridor enhancement project integrating agricultural, fish, wildlife, and cultural resources.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To participate in the Conservation Basin Program an entity must submit a proposed water conservation plan to the Secretary of the Interior. Assistance is limited to those entities (districts, irrigation districts, individuals, or other entities) that equip all surface water delivery systems within their boundaries with volumetric water meters or equally effective water measuring methods within five years of the date of enactment of this Act. The Yakima Nation is not included in the provisions of this, Section 1203, except as to any funds specifically applied for from the Basin Conservation Program. The Secretary of the Interior may use appropriated funds to purchase, or lease, land, water, or water rights from an entity or individual willing to limit or forego water use on a temporary or permanent basis. Funds used for purchase or lease under this paragraph are not subject to the cost sharing provisions of Section 1203(d).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; State of Washington, and Federally Recognized Indian Tribal Governments, water and irrigation districts, and water rights owners located in the project area.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Intrastate; Local; Federally Recognized Indian Tribal Governments; Anyone/General Public, Intrastate, Local, Individual/Family, and Federally Recognized Indian Tribal Governments within the project area.

Credentials/Documentation:

Eligible entities must submit a proposal to develop a Water Conservation Plan. Once the Water Conservation Plan has been developed it is to be submitted to the Bureau of Reclamation, Pacific Northwest Region, Columbia-Cascades Area Office, in accordance with the information included in the funding opportunity announcement that will be posted at www.grants.gov. Only entities with approved Water Conservation Plans are eligible for consideration for feasibility studies. Only entities with an approved Water Conservation Plan and approved feasibility study are eligible for potential implementation of conservation measures. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Single Source/Notice of Intent to Award announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of personnel from the Bureau of Reclamation, Pacific Northwest Regional Office, who are qualified experts in the program area. Applications will be reviewed against YRBWEP Authority criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined

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by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Entities meeting the basic criteria for participation in the YRBWEP must enter into a cost sharing agreement prior to beginning project work.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Unless otherwise stated in the Act: (1) Development of water conservation plans 50% non-Federal share, but not more than \$200,000 per recipient; (2) Investigation of specific water conservation measures 50% State share and 20% local share, but sum of (1) and (2) shall not be greater than \$200,000 per recipient; (3) and (4) Implementation and post implementation monitoring and evaluation 17.5% State share, and 17.5% local share. (Sec. 1203(d))MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements are normally written for a 1 to 5 year period. Implementation agreements may be written to cover the period estimated in the approved Water Conservation Plan and Feasibility Study. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 19 \$3,500,000.00; FY 20 est \$2,000,000.00; FY 21 est \$5,000,000.00;

Range and Average of Financial Assistance:

Range\$ 5,000 to \$ 44,700,000Average\$ 3,676,667

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In construction seasons 20/21 Sunnyside Division Board of Control (SDBOC) Phase IID completed 7.3 miles of open lateral to enclosed pipe for an estimated annual conserved water savings of 433 ac-ft. Fiscal Year 2022: FY 2022 accomplishment information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Gwendolyn (Wendy) Christensen, YRBWEP Manager, 1917 Marsh Rd., Yakima, WA 98901, Phone: 509-575-5848 ext. 203, Fax: 509-454-5611, email: gchristensen@usbr.gov

Headquarters Office:

Gwendolyn (Wendy) Christensen, YRBWEP Manager, 1917 Marsh Rd, Yakima WA 89901
Email: gchristensen@usbr.gov
Phone: 509-573-8050
Fax: 509-454-5611

Website Address:

<http://www.usbr.gov/pn/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funding projects for Fiscal Years 2020 and 2021 include continued funding for the Sunnyside Division Board of Control Phase IID to continue enclosing laterals to pipelines in their 20-year piping project. Sunnyside Division Board of Control Phase IIE as first year of 5-year agreement for additional earthen canal being converted to an installed pressurized pipe system expected to conserve 1,623 ac-ft annually.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Initial selection based on projects that would meet/fulfill the objectives of YRBWEP. After Water Conservation Plans are approved, feasibility studies are approved for those projects/measures that appear to result in the greatest benefit to YRBWEP. Implementation awards are based on those that are the most cost effective and result in the greatest water savings.

15.532 Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management

Trinity River Restoration Program,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Central Valley Project Improvement Act (CVPIA), 1992, Public Law 102-575, Title 34, Section 3406(b)(1).

OBJECTIVES:

To address impacts of the Central Valley Project (CVP) on fish, wildlife, and associated habitats in the Trinity River basin of California by protecting, restoring, and enhancing such habitats and to address other identified adverse environmental impacts of the Central Valley Project.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All funding is discretionary and intended for projects that support the goals and objectives of the Program and meet the requirements specified in the CVPIA and Record of Decision.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi- public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Other public institution/organization; Federally Recognized Indian Tribal Governments; General public, public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Funding Opportunity (NOFO) will be posted at Grants.gov as funding is made available by the Bureau of Reclamation. Applications shall include: 1) A detailed written technical proposal that should include information such as a scope of work which separates the work into major tasks, the approach proposed to accomplish the work, type of personnel who will participate in accomplishing the work, background and location of applicant, any equipment that will be utilized by the project applicant, experience in this type of work, an estimate of the costs and/or cost savings, any economic benefit, and any other information that may be useful for proposal evaluation. 2) A detailed cost proposal including a budget covering salaries and wages, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, funding sources and commitments, cost-sharing, and a breakdown of costs by task. In addition, applicants must submit all applicable SF 424 forms, which are referenced in the NOFO. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the FOA are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the FOA. The review team will consist of Federal personnel and qualified non-Federal personnel when specific expertise is required by the review team. The Bureau of Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. The Bureau of Reclamation reserves the right to prioritize projects based on availability of funds and to ensure balance among the

program objectives listed in the FOA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be provided for each specific project at the time the funding opportunity announcement is posted on www.grants.gov.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Proposals will be requested for projects of varying durations. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-300.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$9,614,645.00; FY 21 est

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\$6,786,794.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

Range \$48,652-\$3,862,051 Average \$1,115,849

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: South Fork Trinity River Road upgrade. Fiscal Year 2021: West Weaver Creek vegetation. Fiscal Year 2022: FY 2022 accomplishment information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Bureau of Reclamation, Trinity River Restoration Program, Attn: Mike Dixon, P.O. Box 1300, Weaverville, CA 96093. Telephone: 530-623-1811.

Headquarters Office:

Mike Dixon,
Bureau of Reclamation, Trinity River Restoration Program, Attn: Mike Dixon,
P.O. Box 1300,
Weaverville CA 96093
Email: mdixon@usbr.gov
Phone: 530-623-1811
Fax: 530-623-5944

Website Address:

<http://www.usbr.gov/mp/ncao/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria will vary according to project and will be included in the funding announcements posted on www.grants.gov.

15.533 California Water Security and Environmental Enhancement

California Bay-Delta Authorization Act (CALFED),

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Water Supply, Reliability, and Environmental Improvement Act, Title I California Water Security and Environmental Enhancement, Public Law 108-361.,

OBJECTIVES:

The purpose of the CALFED Bay-Delta Authorization Act authorizes the Secretary of the Interior and the heads of the other Federal implementing agencies to carry out specific activities identified in the Act in furtherance of the CALFED Bay-Delta Program as set forth in the CALFED Bay-Delta Program Record of Decision dated August 28, 2000. The primary objectives of the program are to expand water supplies to ensure efficient use through an array of projects and approaches, improve water quality from source to tap, improve the health of the Bay-Delta system through restoring and protecting habitats and native species, and improve the Bay-Delta levees to provide flood protection, ecosystem benefits, and protect water supplies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is for grants and cooperative agreements with agencies of the State of

California for Program-wide management, oversight and coordination activities as defined in section 103(f)(4) of Public Law 108-361.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Agencies of the state of California.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Anyone/general public; State; State agencies within the CALFED solution area as defined in the CALFED Bay-Delta Program Record of Decision.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance maybe required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must submit all applicable SF-424 forms; and a statement of work separating the work into major tasks, level of cooperation with parties involved, and how the subject proposal will provide the outcome sought. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal or non-Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Maximum funding amount is dependent upon the annual appropriation from Congress.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project or activity.

Appeals:

Not Applicable.

Renewals:

Other. Continuation of funding from one fiscal year to the next is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the costs of a project or activity carried out under this Program may vary dependent upon activity and authority. Costs of operation, maintenance, repair and rehabilitation of facilities shall be a non-federal responsibility. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally for 36 months or less; multi-year projects may be considered if justified and annual accomplishments can be demonstrated. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis

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quarterly basis the Federal Financial Report SF-425 and upon completion of the agreement, recipients shall submit a final SF 425. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0687-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$0 Average: \$0

TAFS Codes:

14-0687.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. U.S. Bureau of Reclamation, California Great Basin Region, 801 I St., Suite 140 Sacramento, California 95825; phone: 916-414-2400; fax: 916-414-2439

Headquarters Office:

Sandra O'Roak,

NA,

NA DC 00000

Email: soroak@usbr.gov

Phone: 916-414-2423

Website Address:

<https://www.usbr.gov/mp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in application packages and any funding announcements posted on www.grants.gov.

15.535 Upper Colorado River Basin Fish and Wildlife Mitigation

Section 314c Projects,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Reclamation Projects Authorization and adjustments Act of 1992; Title III - Fish, Wildlife, and Recreation Mitigation and Conservation, Sec 314, P.L. 102-575, as amended,

OBJECTIVES:

To protect, restore and enhance wetland and upland ecosystems for the conservation of fish and wildlife resources in the Upper Colorado River Basin.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance to be used to accomplish project objectives.

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State and local government agencies, federally recognized Indian Tribes, private or public nonprofit organizations, for-profit organizations, interstate or intrastate entities, and individuals are eligible.

Beneficiary Eligibility:

Individual/Family; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; - For Section 314(c) projects, state and local government agencies, Federally recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; for-profit organizations, interstate and intrastate entities, and individuals. While entities within the state of Utah are eligible to receive funds under this program, funds must be expended for project activities outside the State of Utah. -The State of Utah manages the June sucker Interim Hatchery. Therefore, funding is granted to the State.-The JSRIP is provided funding under the June Sucker Recovery Implementation Program Agreement -The Fish and Wildlife Service is provided funding under the Endangered Species Status Review Agreement.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental impact statements or assessments may be required based on potential impacts to the human environment.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. -For Section 314(c) projects, applicants submit written technical and budget proposals in accordance with guidance stated in the annual program announcement. The standard application forms required by 43 CFR 12, Subparts C and F, must be used. Preparation of Environmental Impact Statement/Environmental Assessment and compliance with the Federal Endangered Species Act and other Federal environmental laws may be prerequisites, based on impacts of the proposed action, prior to receiving federal assistance/funding. State Plans are not required. -The June Sucker Hatchery is funded annually under a Cooperative Agreement-The JSRIP is funded annually under a Cooperative Agreement-The Endangered Species Status Review is funded annually under a Cooperative Agreement Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

-Under Section the 314(c), projects ranking highest against the program criteria for selecting proposals as stated in the annual funding announcement (see Criteria for

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Selecting Proposals, below), and consistent with available funding, are requested to submit a draft grant or cooperative agreement along with supporting forms and documents. Applicants will work with the CUPCA program office to complete required documents and execute the award. Awards are granted to other Federal, State and local government agencies, non-governmental entities, institutions of higher learning, and private individuals.-The June Sucker Hatchery is funded annually under a Cooperative Agreement. These funds are expended by the hatchery on operational maintenance costs.-The JSRIP is funded annually under a Cooperative Agreement. The JSRIP expends these funds on program management, as well as services and products provided by the State of Utah, universities, and private entities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 90 days

Appeals:

Not Applicable.

Renewals:

Other. Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. Renewals are contingent upon Congressional appropriations to the program, a continued high relevancy ranking based on program criteria, and recipients satisfactory progress on the approved scope of work.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Not Applicable. This Program has no statutory formula or matching requirement. However, enhanced consideration will be given to applicants offering cost-sharing participation in the form of contributed funds, in-kind labor, material, or equipment.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally written for a 1 to 5 year period with funding provided only for the current year. See Renewals, above. Method of awarding/releasing assistance: other

Reports:

Written annual progress reports, final reports, and financial reports (SF-425) required. Written annual progress reports, final reports, and financial reports (SF-425) required. Written annual progress reports, final reports, and financial reports (SF-425) required. Written annual progress reports, final reports, and financial reports (SF-425) required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.33 - retention requirements for records.

Account Identification:

14-0787-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$59,700.00; FY 21 est \$24,000.00; FY 22 est \$30,000.00;

Range and Average of Financial Assistance:

Range: \$5,000 to \$59,000Average: \$47,580

TAFS Codes:

14-0787.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Program has aided in the restoration of riparian and wetland ecosystems, stream bed restoration, weed control, and grazing management.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars.

Regional or Local Office:

See Regional Assistance Locations. Central Utah Project Completion Act Office302 East Lakeview ParkwayProvo, Utah 84606 Bureau of ReclamationUpper Colorado Regional Office125 South State Street, Room 8100Salt Lake City, Utah 84138-1147Telephone: 801/379-1237 E-mail: rrmurray@usbr.gov

Headquarters Office:

Assistant Secretary of the Interior for Water and Science, 1849 C Street, N.W. Room 6651,

Washington DC 20240

Email: rrmurray@usbr.gov

Phone: (801) 379-1237

Fax: (801) 369-2842

Website Address:

<http://doi.gov/cupcao>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Killdeer Wetlands Restoration near Green River, Utah; Yampa River Riparian Survey, Colorado; Pine River Habitat Restoration Ignacio, Colorado; and San Miguel River tamarisk removal Montrose County, Colorado.

CRITERIA FOR SELECTING PROPOSALS:

- Section 314(c) Projects - Criteria for funding projects have been established by Congress in the authorizing legislation (P.L. 102-575) at Section 314(c). Generally, these criteria require that projects restore damaged natural ecosystems on public lands and waterways affected by Federal Reclamation projects in the upper Colorado River basin. In addition, priority is given to projects that assist recovery of endangered or sensitive species or ecosystems, allow reintroduction of native flora and fauna, control or eliminate exotic pest species, or provide enhanced conservation value to state and local government lands. A complete list of program criteria is available at the address listed in Information Contacts (:151). Projects that leverage grant funds with applicant funds, or in-kind services, will receive bonus consideration, but not automatic preference. Specific evaluation criteria will be included in the annual funding opportunity announcement.-The June Sucker Hatchery and JSRIP Projects are selected under recovery criteria established within the June Sucker Recovery Plan.- The Endangered Species Status Review funds the Fish and Wildlife Service to carry out de-listing and down-listing activities.

15.537 Middle Rio Grande Endangered Species Collaborative

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

P.L. 110-161, Consolidated Appropriations Act of 2008: (c) In compliance with applicable Federal and State laws, the Secretary (acting through the Commissioner of Reclamation), in collaboration with the Executive Committee, may enter into any grants, contracts, cooperative agreements, interagency agreements, or other agreements that the Secretary determines to be necessary to comply with the 2003 Biological Opinion described in section 205(b) of the Energy and Water Development Appropriations Act, 2005 (Public Law 108-447; 118 Stat. 2949) as amended by section 121(b) of the Energy and Water Development Appropriations Act, 2006 (Public Law 109-103; 119 Stat. 2256) or in furtherance of the objectives set forth in the collaborative program long-term plan. P.L. 111-8, Omnibus Appropriations Act, 2009: (b) The Secretary of the Interior (referred to in this section as the Secretary) shall establish and maintain an Executive Committee of the Middle Rio Grande Endangered Species Collaborative Program (referred to in this section as the Executive Committee) consistent with the bylaws of the Middle

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Rio Grande Endangered Species Collaborative Program adopted on October 2, 2006.(c) Hereafter, in compliance with applicable Federal and State laws, the Secretary (acting through the Commissioner of Reclamation), in collaboration with the Executive Committee, may enter into any grants, contracts, cooperative agreements, interagency agreements, or other agreements that the Secretary determines to be necessary to comply with the 2003 Biological Opinion described in section 205(b) of the Energy and Water Development Appropriations Act, 2005 (Public Law 108447; 118 Stat. 2949) as amended by section 121(b) of the Energy and Water Development Appropriations Act, 2006 (Public Law 109103; 119 Stat. 2256) or any related subsequent biological opinion or in furtherance of the objectives set forth in the collaborative program long-term plan..

OBJECTIVES:

The Middle Rio Grande Endangered Species Collaborative Program (Program) is a collaborative effort consisting of 18 stakeholders including federal, state, and local governmental entities; Indian tribes and pueblos; and non-governmental organizations representing diverse interests working to protect and improve the status of endangered listed species along the Middle Rio Grande (MRG) by implementing certain recovery activities to benefit those species and their associated habitats and, simultaneously, to protect existing and future water uses while complying with applicable state and federal laws, including Rio Grande compact delivery obligations. Listed species means federally listed species under the ESA, with special emphasis on the Rio Grande Silvery Minnow (silvery minnow) and the southwestern willow flycatcher (flycatcher).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Financial assistance may be used in furtherance of the goals and objectives of the Executive Committee and the Collaborative Program Long-Term Plan which focuses on beneficial activities for improving the status of the silvery minnow and the flycatcher, and the conservation and contribution to the recovery of both listed species while protecting existing and future water uses within the geographic boundaries of the Program area. The Program Area is defined as the headwaters of the Rio Chama watershed and the Rio Grande, including tributaries, from the New Mexico-Colorado state line downstream to the elevation of the spillway crest of the Elephant Butte Reservoir at 4,450 ft above mean sea level, excluding the land area reserved for the full pool of the Elephant Butte Reservoir. Indian Pueblo and Tribal lands and resources within the Program area will not be included in the Program without their express written consent of the affected Indian Pueblo or Tribe.

Applicant Eligibility:

Federal; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Federal, Interstate, Intrastate, State, Local, Public nonprofit institution/organization, Other public institution/organization, Federally Recognized Tribal Government, Specialized Group, Private Non-profit Institution/Organization.

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Federal, Interstate, Intrastate, State, Local, Public nonprofit institution/organization, Other public institution/organization, Federally Recognized Tribal Government, Specialized Group, Private Non-profit Institution/Organization.

Credentials/Documentation:

Whenever applicable, all necessary federal, state, local, and/or private access, collection, and other environmental permits must be provided. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts (federal and non-federal stakeholders) in the program area. Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the designated Grants Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Not Applicable. None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Federal assistance is typically available during the current fiscal year of appropriations. The Program expects all awarded funds to be expended during the fiscal year in which grant awards were made or no more than 2 years from the time of grant award. For more complex projects that may involve environmental compliance, design, feasibility studies, construction and implementation, effectiveness monitoring, and other related activities, the project will be divided into phases. The project will then be funded incrementally at phase level costs. Funding of subsequent phases will be based on project viability, effectiveness, and fund availability. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

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All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$179,300.00; FY 21 est \$0.00; FY 22 est \$50,000.00;

Range and Average of Financial Assistance:

Range: \$179,300 Average: \$179,300

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Silvery minnow genetics monitoring will continued in FY20 and 21. Use of the High-Throughput Markers that have been developed by UNM is started in FY20 through FY21. Fiscal Year 2022: FY 2022 accomplishment information is not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Leann Woodruff, Facilities Management Division 505-462-3579, phone 505-462-3783, fax woodruff@usbr.gov

Headquarters Office:

Brian Hobbs,

Bureau of Reclamation, Albuquerque Area Office 555 Broadway Blvd. NE Albuquerque, New Mexico 87102 505-462-3600, phone 505-462-3783, fax, Albuquerque NM 87102

Email: bhobbs@usbr.gov

Phone: (505)462-3540

Fax: (505)462-3794

Website Address:

<http://www.usbr.gov/uc/albuquerque/index.html>

RELATED PROGRAMS:

15.517 Fish and Wildlife Coordination Act;

EXAMPLES OF FUNDED PROJECTS:

2020 The City of Albuquerque Aquatic Conservation Facility (ACF) and the State of New Mexico's Los Lunas Silvery Minnow Refugium work to promote the recovery of the silvery minnow in the wild through captive propagation, augmentation, and research. The University of New Mexico (UNM) has monitored the genetics of the silvery minnow population since 1999. This work includes monitoring silvery minnow bred or reared in captivity and released to the MRG since 2002 when the augmentation program began.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria for projects benefiting the silvery minnow and the Southwestern willow flycatcher within the MRG will be included in the funding announcements posted on www.grants.gov.

15.538 Lower Colorado River Multi-Species Conservation

MSCP,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Omnibus Public Land Management Act of 200, Public Law 111-11, Title IX, Section 9401-9404.,

OBJECTIVES:

The MSCPs purposes are: (1) Protect the lower Colorado River environment while ensuring the certainty of existing river water and power operations, (2) Address the needs of threatened and endangered wildlife under the Endangered Species Act, and (3) Reduce the likelihood of listing additional species along the lower Colorado River.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The planning area for the LCR MSCP is defined as areas up to and including the full-pool elevations of Lakes Mead, Mohave, and Havasu and the historical floodplain of the Colorado River from Lake Mead downstream to the Southerly International Boundary with Mexico. The planning area provides habitat for Covered Species listed as endangered or threatened under the ESA; additionally there are region specific species that are inclusive of this program. Visit <http://www.lcrmscp.gov> for specific information.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Other private institutions/organizations; Anyone/general public; State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; State; U.S. Citizen; Local; Other public institution/organization; Federally Recognized Indian Tribal Governments; Anyone/general public, governmental entities, Tribal governments, Native American organizations, and/or public or private organizations in the specific project area.

Credentials/Documentation:

None. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation

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criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 3 years. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$1,400,000.00;

Range and Average of Financial Assistance:

Range: \$0Average: \$ 0

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. John Swett, MSCP Program Manager, Lower Colorado Basin Regional Office, PO Box 61470, Boulder City, NV 89006, 702-293-8555

Headquarters Office:

John Swett,
PO Box 61470,
Boulder City NV 89006
Email: jswett@usbr.gov
Phone: (702) 293-8555

Website Address:

<http://www.lcrmscp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Need to meet LCR MSCP requirements for implementation of conservation measures outlined in the Habitat Conservation Plan. This can be found at <http://www.lcrmscp.gov>

15.539 Equus Beds Division Aquifer Storage Recharge

Equus Beds Aquifer Storage Recharge Project,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Wichita Project Equus Beds Division Authorization Act of 2005 (Public Law 109-299, Oct 5, 2006), as amended by Public Law 116-9 (March 12, 2019),

OBJECTIVES:

To design and construct the City of Wichitas Aquifer Storage and Recovery project to divert flood flows from the Little Arkansas River into the Equus Beds Aquifer in order to recover depleted storage and protect the Aquifer.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The program exists to provide funding (as appropriated by Congress) to the city of Wichita for reimbursement of the design and construction of the Citys ASR project to divert flood flows from the Little Arkansas River into the Equus Beds Aquifer in order to recover depleted storage and protect the Aquifer. Authority terminates 10 years from enactment of P.L 109-299. The City of Wichita will hold title to all facilities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; The City of Wichita, Kansas

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); M & I and agricultural waters users of South-central Kansas.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this

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listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Project construction could not be initiated until Reclamation completed the NEPA compliance process (EIS) which was completed January 19, 2010.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Annual work plans and a budget are submitted annually. Applicant must submit quarterly progress and expenditure reports along with a SF-425.

Award Procedure:

The Missouri Basin Regional Office approves award and distribution of award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Additional funding for the program is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. There is authorization to be appropriated as the Federal share of the total cost of the Equus Beds Division, an amount not to exceed 25 percent of the total cost or \$30,000,000 (January, 2003 prices), whichever is less, plus or minus such amounts, if any, as may be justified by reason of ordinary fluctuations in construction costs as indicated by engineering cost indexes applicable to the type of construction involved herein, whichever is less. Such sums shall be non-reimbursable. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The original authorization (P.L. 109-299) was for ten years and expired in 2016. The authorization was amended to change the authorization to 20 years, ending in 2026, by P.L. 116-9. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Report on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$975,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$0 - \$2,042,760 Average: \$360,272

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Worked cooperatively with the City of Wichita, Kansas, to use, to the extent possible, plans, designs, and engineering and environmental analyses that have already been prepared by the City for the Equus Beds Division to implement the Equus Beds Aquifer Recharge and Recovery Component which is a part of the Integrated Local Water Supply Plan, Wichita, Kansas.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Thomas Michalewicz Oklahoma-Texas Area Office 5316 Highway 290 West, Ste 110 Austin TX 78735-8931 Phone: (512) 899-4166 Fax: (512) 899-4179 E-mail: tmichalewicz@usbr.gov

Headquarters Office:

Grants Management Specialist Lindsey Nafts,
Bureau of Reclamation, Missouri Basin Regional Office, P.O. Box 36900, 316 North 26th Street,
Billings MT 59101
Email: LNafts@usbr.gov
Phone: 406-247-7684
Fax:

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Equus Beds Aquifer Recharge and Recovery

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling. The City of Wichita is specified in the legislation for funding of any work to be completed by September 2016.

15.540 Lake Mead/Las Vegas Wash

Las Vegas Wash (LVW Program),

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 106-541, Water Resources Development Act of 2000, December 11, 2000; as amended, and Energy and Water Appropriations Acts.,

OBJECTIVES:

The objective of this program is to develop and implement management strategies for the Las Vegas Wash to improve water quality, habitat integrity, and reduce the salinity and sediment transport while providing environmental enhancement and recreational opportunities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Secretary of the Interior shall continue to participate in implementation of the Project at the Las Vegas Wash and Lake Mead in accordance with the Comprehensive Adaptive Management Plan (Plan): Provided, that issuance of any

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such grants shall not modify the cost sharing requirements provided in section 529(b) of Public Law 106-541.

Applicant Eligibility:

State; The Southern Nevada Water Authority (SNWA), a Special District Government.

Beneficiary Eligibility:

Anyone/general public; Local; The Southern Nevada Water Authority (SNWA) and the General Public.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Projects, Standard Form 424B, Assurances for Non-Construction Projects, Standard Form 424C, Budget for Information for Construction Projects, Standard Form 424D, Assurance for Construction Projects, Standard Form LLL, Disclosure of Lobbying Activities, and written proposals should be submitted via hard-copy, directly to the Program Manager and include: a title, background, objectives, performance schedule, points of contact, most current audit and indirect cost documentation, corrective action plan if needed, any other documentation that is directly associated with the Projects. Funding is authorized, mandatory, so posting on grants.gov is not necessary. This is a cost share program.

Award Procedure:

For grant agreements awarded as a component of the eligible beneficiary (SNWA) and project, approved by the Secretary of the Interior, and funded under Public Law 106-541 and subsequent amendments, all Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after receipt of the application forms and proposal submissions, and rely on the passing of a fiscal year appropriations bill for the availability of authorized funds.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to the availability of appropriated funds. The SNWA must submit written requests for renewals or extensions director to the Program Manager for approval, at least 45 days prior to the expiration of the specific project agreement. Once the term of the project is expired, no renewal or extension will be approved, due to non-compliance with the terms and conditions of the specific projects agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. In accordance with Section 529(b) of Public Law 106-541, the cost share for the SNWA is 35 percent, Reclamations is 65 percent, and the authorized appropriated amount is limited to a ceiling of \$30,000,000. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no specific restrictions for these projects; however, most of the projects are awarded for a 1 to 3 year period and funded on a year-by-year basis. No projects exceed a 5 year period. Method of awarding/releasing assistance: other
Reports:

Unless otherwise stated in the agreement document, recipients shall submit the on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Reports; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit the on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Reports; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$230,000.00; FY 21 est \$150,000.00; FY 22 est \$250,000.00;

Range and Average of Financial Assistance:

Range \$25,000 - \$250,000 Average \$100,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Biological and cultural resource management continued in FY-20. Native vegetation was planted along the Wash while non-native vegetation was removed. Bird surveys continued throughout the Wash and provided new information on two federally protected bird species. Water quality samples were collected and analyzed, and the data were uploaded into the Lower Colorado River Water Quality Database. A comprehensive resurvey of the Las Vegas Wash Archaeological District was completed, allowing for more effective cultural resource protection into the future. The Las Vegas Wash Long-Term Operating Plan was completed and approved.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Bureau of Reclamation, Resources Management Office, P.O. Box 61470, Boulder City, Nevada 89006. Telephone: (702) 293-8171.

Headquarters Office:

Mike Boyles,
Bureau of Reclamation, Resources Management Office, P.O. Box 61470,

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Boulder City NV 89006
Email: mboyles@usbr.gov
Phone: (702) 293-8055

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Lake Mead / Las Vegas Wash program, Las Vegas Wash archaeological investigations, and revegetation implementation.

CRITERIA FOR SELECTING PROPOSALS:

The Las Vegas Wash Coordination Committee has decided that the SNWA will be the lead agency for receiving funding for this program due to the high costs and types of environmental improvement and enhancement, and ability to maintain restoration projects. The Las Vegas Wash Program plays an important role in environmental and water resource issues in Southern Nevada. Historically, the Las Vegas was an ephemeral stream carrying storm flows from the Las Vegas Valley to Lake Mead and the Colorado River. Urban development over the past 60 years has resulted in the formation of the wetlands that helped remove nutrients from these wastewater flows. As the rate of discharges increased, erosion also increased, gradually destroying the existing natural treatment systems and wildlife habitat and creating a large delta in the Las Vegas Bay of Lake Mead. Accelerating erosion, declining water quality, and loss habitat are some of the more pressing issues. Proposals submitted will be reviewed and awarded based on priority fulfillment of the 44 action items identified in the Plan and the availability of funding appropriations.

15.541 Colorado River Basin Act of 1968

Colorado River Basin Projects Act,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Colorado River Basin Act of September 30, 1968 amended by the Colorado River Basin Project Act, December 20, 1982., , Public Law 90-537

OBJECTIVES:

The Colorado River Basin Project Act of 1968, authorized the Secretary of the Interior to carry out various actions relating to the Colorado River, including construction of the Central Arizona Project. Some of the actions identified are contractable by entities pursuant to the Indian Self-Determination and Education Assistance Act, as amended.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Title II, Investigations and Planning - - With respect to coordination of studies, investigations and assessments, the Secretary of Interior, through the Bureau of Reclamation, shall conduct full and complete reconnaissance investigation for the purpose of developing a general plan to meet the future water needs of the Western United States. Such investigations shall include the long-range water supply available and the long-range water requirements in each water resource region of the Western United States. Title III, Authorized Units: Protection of Existing Uses -- The Central Arizona Project (CAP) is a multipurpose water resource. Development and management project which provides irrigation, municipal and industrial water, power generation, flood control, outdoor recreation, environmental enhancement, and improved water quality. In addition, the project will provide delivery of tribal homeland water, partial settlement of Indian water rights claims, and economic benefits accruing from leasing of Indian agricultural water rights to municipal entities.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State; Must have a water allocation and water delivery from a Reclamation water resource project or State

responsibility for various project purposes.

Beneficiary Eligibility:

Anyone/general public; State; Local; The general public in the state of Arizona and southwestern New Mexico, irrigation and water districts and local entities.

Credentials/Documentation:

For Public Law 93-638 Contracts, Grants or Agreements Only (1)Each 638 contract, grant, or cooperative agreement requires project specific authority;(2)Detailed written technical proposals including background data regarding the tribal client, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, type of personnel to implement the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation;(3)Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services; and(4)The awarded 638 contract, grant or cooperative agreement must contain an authorizing resolution from a federally recognized Tribe or Tribal Organization. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. For Public Law 93-638 Contracts, Grants or Agreements Only Initial proposals must contain the information specified in 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with the responsibility for the specific project for which a federally recognized Tribe or Tribal Organization with responsibility for the specific project is requesting to contract.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the Area Office Manager or their designated representative. For Public Law 93-638 Contracts, Grants or Agreements Only Reclamation and the federally recognized Tribe or Tribal Organization develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the Tribe/Tribal Organization also negotiate an annual funding agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment of the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property listing, project schedule, and list of any other applicable provision, terms and conditions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

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Other. None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds. For Public Law 93-638 Contracts, Grants or Agreements Only Will vary by project component.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will be included in each funding announcement for this program on www.grants.gov/ when applicable. For Public Law 93-638 Contracts, Grants, and Agreements Only Length and time phasing will vary. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Public Law 93-638 Contracts, Grants, and Agreements Only Reporting (financial status report and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Public Law 93-638 Contracts, Grants, and Agreements Only Reporting (financial status report and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333. For Public Law 93-638 Contracts, Grants, and Agreements Only Indian Tribal governments and organizations shall maintain project records in accordance with Public Law 93-638, and 25 CFR Part 900.

Account Identification:

14-4079-0-3-301.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range \$0 Average \$0

TAFS Codes:

14-4079.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No information available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below. For Public Law 93-638 Contracts, Grants, and Agreements Only 25 USC 450 (Public Law 93-638, 25 CFR Part 900)

Regional or Local Office:

See Regional Assistance Locations. Phoenix Area Office: Ms. Leslie Meyers, Area Manager, Bureau of Reclamation, Address: 6150 W. Thunderbird Rd., Glendale, AZ 85306-4001, Telephone: (623) 773-6218.

Headquarters Office:

Area Manager Leslie Meyers,
Bureau of Reclamation, 6150 W. Thunderbird Road,
Glendale AZ 85306

Email: lmeyers@usbr.gov

Phone: (623) 773-6218

Website Address:

<http://www.usbr.gov/lc/phoenix/>

RELATED PROGRAMS:

15.542 Arizona Water Settlement Act of 2004;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in each funding announcement posted on www.grants.gov. For Public Law 93-638 Contracts, Grants, and Agreements Only Federally recognized Tribes and Tribal organizations must meet the requirements of Public Law 93-638; 25 CFR Part 900 and any additional criteria specific to the authorizing legislation.

15.542 Arizona Water Settlement Act of 2004

Arizona Water Settlement Act,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Arizona Water Settlement Act of December 10, 2004, Public Law 108-451.,

OBJECTIVES:

Pursuant to the Arizona Water Settlements Act of 2004, the Secretary of the Interior was directed to construct or fund construction of various facilities that are contractable pursuant to the Indian Self-Determination and Education Assistance Act, as amended.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Title I, Central Arizona Project Settlement With respect to: (1) the water provided by the Central Arizona Project to Maricopa, Pinal, and Pima Counties in the State of Arizona, is vital to citizens of the State; and (2) an agreement on the allocation of Central Arizona Project water among interested persons, including Federal and State interests, would provide important benefits to the Federal Government, the State of Arizona, Arizona Indian Tribes, and the citizens of the State. In accordance with the CAP repayment contract, the Central Arizona Project may be used to transport non-project water for: (1) domestic, municipal, fish and wildlife, and industrial purposes; and (2) any purpose authorized under the Colorado River Basin Project Act (43 U.S.C. 1501 et seq.). Title I, Section 104, Authorization of

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Appropriation: Authorized to be appropriated such sums as are necessary to comply with(1) the 1994 biological opinion, including any funding transfersrequired by the opinion;(2) the 1996 biological opinion, including any funding transfersrequired by the opinion; and(3) any final biological opinion resulting from the 1999 biological opinion, including any funding transfers required by the opinion.Title II, Gila River Indian Community Water Rights Settlement - - The purposes of this title is:(1) to resolve permanently certain damage claims and allwater rights claims among the United States on behalf ofthe Community, its members, allottees, and the Communityand its neighbors;(2) to authorize, ratify, and confirm the Gila River agreement;(3) to authorize and direct the Secretary to execute andperform all obligations of the Secretary under the Gila Riveragreement;(4) to authorize the actions and appropriations necessaryfor the United States to meet obligations of the United Statesunder the Gila River agreement and this title; and(5) to authorize and direct the Secretary to execute theNew Mexico Consumptive Use and Forbearance Agreement toallow the Secretary to exercise the rights authorized by subsections(d) and (f) of section 304 of the Colorado River BasinProject Act (43 U.S.C. 1524).Title III, Southern Arizona Water Rights Settlement -- The purposes of this title are:(1) to authorize, ratify, and confirm the agreementsreferred to in section 309(h);(2) to authorize and direct the Secretary to execute andperform all obligations of the Secretary under those agreements;and(3) to authorize the actions and appropriations necessaryfor the United States to meet obligations of the United States, under those agreements and this title.Title IV, San Carlos Apache Tribes Water Rights Settlement - - With respect to the : None of the provisions of title I, II, or III or the agreements,attachments, exhibits, or stipulations referenced in those titles shall be construed to(1) amend, alter, or limit the authority of(A) the United States to assert any claim against anyparty, including any claim for water rights, injury to waterrights, or injury to water quality in its capacity as trusteeof the San Carlos Apache Tribe, its members and allottees,or in any other capacity on behalf of the San Carlos ApacheTribe, its members, and allottees, in any judicial, administrative,or legislative proceeding; or(B) the San Carlos Apache Tribe to assert any claimagainst any party, including any claim for water rights,injury to water rights, or injury to water quality in itsown behalf or on behalf of its members and allottees inany judicial, administrative, or legislative proceeding consistentwith title XXXVII of Public Law 102575 (106 Stat. 4600, 4740); or(2) amend or alter the CAP Contract for the San CarlosApache Tribe dated December 11, 1980, as amended April29, 1999.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Profit organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligibility is limited to financial actions identified in Public Law 108-451, the Arizona Water Settlements Act of 2004

Beneficiary Eligibility:

Anyone/general public; Authorized beneficiaries are identified in the statute and include the state of Arizona, state of New Mexico, various irrigation and water districts, local entities and municipalities and Tribal Governments.

Credentials/Documentation:

None.For Public Law 93-638 Contracts, Grants or Agreements Only (1)Each 638 contract, grant, or cooperative agreement requires project specific authority;(2)Detailed written technical proposals including background data regarding the tribal client, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, type of personnel to implement the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation;(3)Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services; and(4)The awarded 638 contract, grant or cooperative agreement must contain an authorization resolution from a federally recognized Tribe or Tribal Organization. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this

listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For Public Law 93-638 Contracts, Grants, and Agreements Only Initial proposals must contain the information specified in 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with the responsibility for the specific project for which a federally recognized Tribe or Tribal Organization with responsibility.

Award Procedure:

Awards are identified by the statute and supporting documents and are distributed either by direct payment, contracts approved as exhibits to the statute or pursuant to P.L. 93-638 contracts for Tribes.For Public Law 93-638 Contracts, Grants, and Agreements Only Reclamation and the federally recognized Tribe or Tribal Organization develop a negotiation schedule and then negotiate the overarching contract award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the Tribe/Tribal Organization also negotiate an annual funding agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment of the initial year of the contract. A final contract agreement is prepared based upon the outcome of negotiations; attachments include the work plan, budget, tribal resolution, initial ADA, property listing, project schedule, and list of any other applicable provisions, terms and conditions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will be included in award issued under this program. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.For Public Law 93-638 Contracts, Grants, and Agreements Only Reporting (financial status reports and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425,

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Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Public Law 93-638 Contracts, Grants, and Agreements Only Reporting (financial status reports and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333. For Public Law 93-638 Contracts, Grants, and Agreements Only Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.83; Public Law 93-638, and 25 CFR Part 900.

Account Identification:

14-4079-0-3-301.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$95,500,000.00; FY 21 est \$66,000,000.00; FY 22 est \$83,000,000.00;

Range and Average of Financial Assistance:

Range: \$25,000,000 to \$100,000,000 Average: \$69,300,000

TAFS Codes:

14-4079.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Work continued on the construction of the Pima-Maricopa Irrigation Project on the Gila River Indian Reservation, and the final annual funding agreement related to Rehabilitation of the San Carlos Irrigation Project occurred. Pre-construction activities continue for the San Xavier Farm Extension and continued work on water management plans and groundwater monitoring plans for the San Xavier and Schuk Toak Districts of the Tohono Oodham Nation. Annual Funding provided to State of New Mexico pursuant to AWSA, Fixed OM&R for delivery of CAP water to Arizona Tribes pursuant to the AWSA, and acquisition of water and groundwater credits to meet the U.S. firming obligation pursuant to the AWSA.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below. For Public Law 93-638 Contracts, Grants, and Agreements Only 25 USC 40 (Public Law 93-638, 25 CFR Part 900.

Regional or Local Office:

See Regional Assistance Locations. Phoenix Area Office: Leslie Meyers, Area Manager, Bureau of Reclamation, Address: 6150 W. Thunderbird Rd., Glendale, AZ 85306-4001, Telephone: (623) 773-6218.

Headquarters Office:

Area Manager Leslie Meyers,
Bureau of Reclamation, Phoenix Area Office, 6150 W. Thunderbird Road,
Glendale AZ 85306
Email: lmeyers@usbr.gov
Phone: (623) 773-6218

Website Address:

<http://www.usbr.gov/lc/phoenix/>

RELATED PROGRAMS:

15.541 Colorado River Basin Projects Act of 1968;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are identified by the statute and supporting documents and are distributed either by direct payment, contracts approved as exhibits to the statute or pursuant to P.L. 93-638 contracts for Tribes. For Public Law 93-638 Contracts, Grants, and Agreements Only Federally recognized Tribes and Tribal organizations must meet the requirements of Public Law 93-638; 25 CFR Part 900 and any additional criteria specific to the authorizing legislation.

15.543 Lake Tahoe Regional Wetlands Development

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Title II, Division D, Public Law 108-7, Consolidated Appropriations Resolution, Water and Related Resources: Provided further, That the Bureau of Reclamation is authorized hereafter to negotiate and enter into financial assistance agreements with public and private agencies, organizations, and institutions for activities under the Lake Tahoe Regional Wetlands Development Program: Provided further, That the costs associated with such activities will be nonreimbursable.,

OBJECTIVES:

To assist in addressing the past degradation of Lake Tahoe and its watershed by undertaking projects to meet the environmental thresholds as defined in the Tahoe Regional Planning Agency's Environmental Improvement Program (EIP). The environmental thresholds of interest include water quality, soil conservation, wildlife, fisheries and vegetation. To achieve environmental restoration in the Lake Tahoe basin, funded activities may include project planning and implementation, program coordination and management, surveys, monitoring, and public outreach.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All activities are environmental improvement projects to enhance or restore water quality and conditions for species and habitats in the Lake Tahoe Basin. The amount of funding available for projects is highly variable from year to year.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals), Public nonprofit institution/organization (includes institutions of higher education and hospitals), Other public institution/organization, Federally Recognized Indian Tribal Governments, Small business (less than 500 employees), Profit organization, Private nonprofit institution/organization (includes institutions of higher education and hospitals), Quasi-public nonprofit institution/organization, Other private institutions/organizations, State, Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations) State and local agencies, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, profit organizations, private nonprofit institutions/organizations, other private

ASSISTANCE LISTINGS DESCRIPTIONS

institutions/organizations, and educational or scientific organizations may apply.

Beneficiary Eligibility:

Anyone/general public: Awards made under this program are intended to benefit the public by maintaining and improving the environmental quality in general, and water quality in particular, of the Lake Tahoe Basin.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending upon the specific project funded. This may result in the need for a National Environmental Policy Act (NEPA) compliance document as well as compliance with other applicable laws and permits prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with regional priorities, project continuation need, and relevancy to program objectives. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against 2 CFR 200, and any additional review factors. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the number of proposals received and the complexity of the project. Generally, offices review and select proposals for award within 12 months of proposal submission.

Appeals:

Not Applicable. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Previously funded projects may be eligible for extension. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreements are typically awarded for periods of 1 to 5 years. See the following for information on how assistance is awarded/released. Payment terms vary by agreement awarded under this program. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a semi-annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an

annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Unless otherwise stated in the agreement document, recipients shall submit the following reports on a semi-annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 19 \$849,991.00; FY 20 est \$150,000.00; FY 21 est \$150,000.00;

Range and Average of Financial Assistance:

Range: \$0 to \$0 Average:\$0

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Continuation of the Rosewood Creek restoration, and planning begins for restoration of two middle reaches of the Upper Truckee River
Fiscal Year 2021: Continuation of the Rosewood Creek restoration, and planning continues for restoration of two middle reaches of the Upper Truckee River

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS. This document may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Rosemary Stefani, Bureau of Reclamation, 2800 Cottage Way, Sacramento, CA 95825. (916) 978-5045

Headquarters Office:

Rosemary Stefani,
Bureau of Reclamation, 2800 Cottage Way,
Sacramento CA 95825
Email: rstefani@usbr.gov
Phone: (916) 978-5045

Website Address:

<http://www.usbr.gov/mp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting a recipient are based on regional priorities, project continuation need, balanced and informed review of a technical proposal, budget proposal, and relevancy to program objectives.

ASSISTANCE LISTINGS DESCRIPTIONS

15.544 Platte River Recovery Implementation

(PRRIP),

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Section 515 of the Consolidated Natural Resources Act of 2008 (Public Law 110-229),

OBJECTIVES:

Implementation of the Platte River Recovery Program Cooperative Agreement which implements certain aspects of the U.S. Fish and Wildlife Services recovery plans for four target species (interior least tern, whooping crane, piping plover and pallid sturgeon) listed as threatened or endangered pursuant to the Endangered Species Act.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

To be used for projects to implement the PRRIP.

Applicant Eligibility:

State; Legislation authorizes the agreement. The term Agreement means the Platte River Recovery Implementation Program Cooperative Agreement entered into by the Governors of the States of Wyoming, Nebraska, and Colorado and the Secretary.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Farmer/Rancher/Agriculture Producer; Consumer; Land/Property Owner; State; Local; General public, irrigation and/or water districts, State and local entities, farmers and ranchers, agricultural producers, property owners, municipal water users, and power users.

Credentials/Documentation:

None. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. None.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The recipient submits annual work plans and budgets.

Award Procedure:

The cooperative agreement is modified to implement annual work plan and reserve additional funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Per authorizing legislation, the States of Colorado, Nebraska, and Wyoming shall contribute not less than 50% of the total contributions necessary to carry out the PRRIP. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance is set forth in the current cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,335,767.00; FY 21 est \$4,000,000.00; FY 22 est \$3,665,000.00;

Range and Average of Financial Assistance:

Range \$1,000,000 to \$22,000,000 Average \$16,605,536.00

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Continued administration of the Platte River Recovery Implementation plan as directed by PL 110-229 through funding of activities related to Governance Committee. Fiscal Year 2021: No accomplishment information yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Bureau of Reclamation, Torrington Field Office, PO Box 950, Torrington, WY 82240

Headquarters Office:

Area Manager Brock Merrill,
Bureau of Reclamation, Missouri Basin Region, P.O. Box 36900,
Billings MT 59107
Email: bmerrill@usbr.gov
Phone: (307) 532-1093
Fax:

Website Address:

<http://www.platteriverprogram.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

No new proposals are accepted at this time. Currently all projects are funded through a long-term cooperative agreement with the Nebraska Community Foundation.

15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder

Riverside Corona Feeder,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Section 9112, P.L. 111-11,

OBJECTIVES:

Section 9112 of Public Law 111-11 directs the Secretary of the Interior, acting through the Commissioner, to participate in the planning, design, and construction of the Riverside-Corona Feeder Project. Section 9112 authorizes the Secretary to provide up to 25 percent of the total cost of the project, not to exceed \$26 million.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This authority is restricted to the planning, design, and construction of the Riverside-Corona Feeder Project.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; The Secretary is authorized to cooperate with the Western Municipal Water District, Riverside County, California, in the planning, design, and construction of the Riverside-Corona Feeder Project.

Beneficiary Eligibility:

Anyone/general public; Consumer; Local; Water users serviced by the Western Municipal Water District.

Credentials/Documentation:

Funds for planning, design, and construction of the Riverside-Corona Feeder will not be disbursed until a grant or cooperative agreement is executed. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program is complete. The environmental impact statement has been finalized and the Record of Decision was signed on January 15, 2016.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding provided for the Riverside-Corona Feeder is mandatory funding.

Award Procedure:

Reclamation will work with the Western Municipal Water District to negotiate grants or cooperative agreements for one or more phases of the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Continuation of funding from one fiscal year to the next to complete one or more phases of the project is dependent on federal appropriations for the project.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no time restrictions placed on the use the funds and the funds have generally been made available periodically throughout year on a reimbursable basis. A schedule will be planned between Reclamation and the Western Municipal Water District when the cost sharing agreement is negotiated. However, this schedule can be impacted by the availability of funds during the year. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports based on the frequency determined in the financial assistance agreement to submit the SF-425, Federal Financial Reports and a final SF-425. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis a Program Performance Report. Upon completion of the agreement, a final Program Performance Report will be submitted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In addition to this requirement and as a result of the U.S. Department of the Interior Office of Inspector General, Survey Report No 97-I-874 (dated January 13, 1997), Reclamation has instituted a close-out expenditure review and site-visit requirement. This expenditure review and site-visit requirement. This expenditure review is a site visit conducted by Reclamation staff, consisting generally of the Grants Officer Technical Representative (GOTR) and other representative(s) of the Grants Officer with an audit background (usually personnel from the region's finance section). This review is required prior to final closeout for all construction projects.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$ 0 to \$ 0 Average:\$ 0

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Progression of the Riverside-Corona Feeder Project

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Meghan Thiemann,

ASSISTANCE LISTINGS DESCRIPTIONS

P.O. Box 61470 (LC-6233),
Boulder City NV 89006
Email: mthiemann@usbr.gov
Phone: 702-293-8438
Fax:

Website Address:

<http://www.usbr.gov/lc/social/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 RIVERSIDE CORONA FEEDER PROJECT

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.546 Youth Conservation

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Lands Corps Act of 1993 (16 U.S.C. 1721-1730) and Youth Conservation
Corps Act of 1970 (16 U.S.C. 1701-07),

OBJECTIVES:

Promote and stimulate public purposes such as education, job training,
development of responsible citizenship, productive community involvement, and
furthering the understanding and appreciation of natural and cultural resources
through the involvement of local youth and young adults in the care and
enhancement of public resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Not applicable.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and
hospitals); Local (includes State-designated Indian Tribes, excludes institutions of
higher education and hospitals); Public nonprofit institution/organization (includes
institutions of higher education and hospitals); Federally Recognized Indian Tribal
Governments; Specialized group (e.g. health professionals, students, veterans);
Private nonprofit institution/organization (includes institutions of higher education
and hospitals); State; Native American Organizations (includes Indian groups,
cooperatives, corporations, partnerships, associations); Eligibility for conservation
activities is limited to qualified youth or conservation corps that are able to involve
youth ages 15-25 in the 17 states west of the Mississippi river. Eligibility for the
Intern Program is limited to non-profit organizations other than institutions of
higher education that are capable of recruiting qualified youth interns for positions
located at Reclamation offices and facilities. A qualified service and conservation
corps means any program established by a State, or local government, by the
governing body of any Indian tribe, or by a nonprofit organization that 1) is
capable of offering meaningful, full-time, productive work for individuals between
the ages of 16 and 30, inclusive, in a natural or cultural resource setting; 2) gives
participants a mix of work experience, basic and life skills, education, training and
support services; and 3) provides participants with the opportunity to develop
citizenship values and skills through service to their community and the United
States.

Beneficiary Eligibility:

Local; Youth and local communities that benefit from conservation improvements
and involvement in youth programs activities on Reclamation-owned lands and
facilities.

Credentials/Documentation:

Not applicable. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

required for this program. This program is excluded from coverage under E.O.
12372. Environmental compliance for this program by the Recipient is not
required. Reclamation will address all environmental compliance requirements
prior to the start of activities funded under this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. Funding opportunity
announcements for this program, along with registration procedures, application
packages and instructions, SF-424 forms and any other forms to be used to submit
application information, points of contact, and procedures for submitting
applications will be available on www.grants.gov. Notice of Funding Opportunities
(NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

All applications will be initially screened for eligible and compliance with the
requirements stated in the program funding opportunity announcement.
Applications passing this screening process will be forwarded for review by the
proposal evaluation criteria, and any additional review factors, as stated in the
funding opportunity announcement. Final selection will be determined by the
Commissioner, Bureau of Reclamation, or regional officials, as applicable to the
project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application
deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 Days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau
of Reclamation will provide all applicants with information why their proposals
were not selected for award.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching
Requirements: Percent: 25%. Requirement: Matching requirements are mandatory.
This program requires a non-Federal cost-share, through cash or in-kind services,
of at least 25% of the total project costs. MOE requirements are not applicable to
this assistance listing.

Length and Time Phasing of Assistance:

Master Cooperative Agreements are generally awarded for one to five years, with a
reassessment of program accomplishment on an annual basis. Method of
awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit other
specific reports that may be applicable to the agreement such as property
inventories, and patent and invention disclosures. Unless otherwise stated in the
agreement document, recipients shall submit on an annual basis the SF 425,
Federal Financial Report. Upon completion of the agreement, recipients shall
submit a final SF 425, Federal Financial Report. Unless otherwise stated in the
agreement document, recipients shall submit on an annual basis Program
Performance Reports. Upon completion of the agreement, recipients shall submit a
final Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2
CFR 200.333 Retention requirements for records. Financial records, supporting
documents, statistical records, and all other non-Federal entity records pertinent to
a Federal award must be retained for a period of three years from the date of
submission of the final expenditure report or, for Federal awards that are renewed
quarterly or annually, from the date of the submission of the quarterly or annual

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financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,073,433.00; FY 21 est \$750,000.00; FY 22 est \$750,000.00;

Range and Average of Financial Assistance:

Range: \$500 to \$500,000 Average: \$50,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Promoted and stimulated public purposes such as education, job training, development of responsible citizenship, productive community involvement, and furthering the understanding and appreciation of natural and cultural resources through the involvement of local youth and young adults in the care and enhancement of public resources. Included work on projects on Reclamation lands, and internships within Reclamation offices. Fiscal Year 2021: Accomplishment information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Erica Settlemyer,
Bureau of Reclamation, Denver Office P.O. Box 25007,
Denver CO 80255
Email: esettlemyer@usbr.gov
Phone: 303-445-2632

Website Address:

<http://www.usbr.gov/youth>

RELATED PROGRAMS:

15.931 Conservation Activities by Youth Service Organizations;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Corps shall consist of individuals between the ages of 16 and 30. The Secretary is authorized to enter into contracts and cooperative agreements with any qualified youth or conservation corps to perform appropriate conservation projects. The Secretary is also authorized to enter into cooperative agreements with nonprofit organizations (other than institutions of higher education) for the recruitment and placement of youth resource assistants (interns).

15.548 Reclamation Rural Water Supply

Rural Water Program,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Reclamation Rural Water Supply Act of December 22, 2006 (Pub. L. 109-451, Title I, 120 Stat. 3346, 43 U.S.C. 2401, et seq.).

OBJECTIVES:

To provide the basic requirements and framework for conducting water and related resource feasibility studies in order to formulate, evaluate, and select project plans for implementation. The benefits will include improved consistency in the content and quality of feasibility studies and reports prepared by or for Reclamation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Construction assistance not available unless authorized and funds appropriated by Congress.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; State; Eligible applicants include states and political subdivisions of states, such as departments, agencies, municipalities, counties, and other regional or local authorities; Indian tribes and tribal organizations; and entities created under state law that have water management or water delivery authority, such as irrigation or water districts, canal companies, water users associations, rural water associations or districts, joint powers authorities, and other qualifying entities; and any combination of the entities listed above. Applicants must be located in the 17 Western States as identified in the Reclamation Act of June 17, 1902, as amended.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Eligible beneficiaries include small communities or group of small communities, including Indian tribes and tribal organizations. For the purpose of the Rural Water Supply Program, a small community is defined as having a population of no more than 50,000 people. More than one small community may be served by a rural water project if each small community has a population of 50,000 or less.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Grants, cooperative agreements, and technical assistance awards funded under the Rural Water Program will follow all applicable Federal rules, regulations, and agency specific guidelines.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Appraisal investigations: 180 business days; Feasibility studies: 270 business days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Appraisal investigations may generally be renewed on an annual basis, not to exceed a total of 2 years. Feasibility studies may generally be renewed on an annual basis, not to exceed a total of 5 years. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. In accordance with Sec. 105 & 106 of Public Law 109-451, Federal funding for

ASSISTANCE LISTINGS DESCRIPTIONS

appraisal investigations is 100 percent of the total cost of the investigation, up to \$200,000. If the cost of conducting an appraisal investigation is more than \$200,000, the non-Federal share of the costs in excess of \$200,000 shall be 50 percent. With some exceptions, the Federal share of the cost to conduct a feasibility study shall not exceed 50%. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Appraisal investigations must be completed in 2 years. Feasibility studies are not limited but generally take 2-3 years to complete. There is no specific period of time that the assistance is available. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report; on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients shall maintain records in accordance with 2 CFR 200.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range\$0Average\$0

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No available information. Fiscal Year 2021: No available information.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Bureau of Reclamation, Water Resources Planning Office Mail Stop 86-69200 PO Box 25007 Denver, CO 80225-0007

Headquarters Office:

Irene Hoiby,
Bureau of Reclamation, Mail Code 84-27130, Denver Federal Center, P.O. Box 25007,
Denver CO 80225
Email: ihoby@usbr.gov
Phone: 3034452025
Fax:

Website Address:

<http://www.usbr.gov/ruralwater/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov and in supplemental instructions posted on the program

website at www.usbr.gov/ruralwater/.

15.550 Fishing Events for Disadvantaged Children

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Take Pride in America (TPIA) Public Law 101-628 (16 U.S.C. 4601 et seq.) and the TPIA Delegation Agreement between the Department of the Interior and the Bureau of Reclamation dated September 22, 2008.

OBJECTIVES:

To provide opportunities for disabled and disadvantaged children to use and enjoy public waters and related lands; to assist Reclamation in meeting its goal to provide accessible programs, facilities and activities to create a positive outdoor experience for all citizens; to increase awareness of all the participants (parents/caregivers, sportsmen, partners, volunteers) to the capabilities of disabled and disadvantaged children; and to provide educational opportunities for disabled and disadvantaged children to learn more about fish and water as natural resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

TPIA has delegated authority to Reclamation to carry out a Program to instill in the public the importance of the appropriate use of and appreciation for Reclamation facilities. Eligible activities under the Delegation are limited to activities authorized under the TPIA. Such activities may include events designed to increase public awareness of recreational opportunities at Reclamation reservoirs, for physically challenged and disadvantaged children as well as other members of the public, and may also include financial assistance agreements to eligible entities for the purpose of carrying out the Program.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants are State governments, County governments, City or townships governments, Special districts governments, Independent school districts, Public and State controlled institutions of higher education, Native American tribal governments (federally recognized), Public Housing Authorities/Indian Housing Authorities, Native American tribal organization (other than Federally recognized tribal governments) Non-Profits having a 501(c)(3) status with the Internal Revenue Service (IRS), other than institutions of higher education, Private institutions of higher education, Individuals, Small businesses.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Disabled (e.g. Deaf, Blind, Physically Disabled); Disabled and disadvantaged children

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

For cooperative agreements awarded and funded through Public Law 101-628 (16 U.S.C. 4601 et seq.) and the TPIA Delegation Agreement between the Department of the Interior and the Bureau of Reclamation dated September 22, 2008, and subsequent amendments, all Federal rules, regulations, and agency specific guidelines for awarding procedures will be followed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

1-5 years Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-300.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$50,000.00;

Range and Average of Financial Assistance:

Range: \$25,000 - \$50,000 Average: \$25,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No accomplishments to report. No new awards for FY20. All prior awards completed. Fiscal Year 2021: No accomplishments to report. No new awards for FY21. All prior awards completed.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Irene Hoiby,
Bureau of Reclamation, Mail Code 84-27130, Denver Federal Center, P.O. Box 25007,
Denver CO 80225
Email: ihoiby@usbr.gov
Phone: 3034452025
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.552 Navajo-Gallup Water Supply

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act, Title X, Part III, Section 10602 (a).

OBJECTIVES:

To provide financial assistance to design and construct portions of the Navajo-Gallup Water Supply Project (Project).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Project is the cornerstone of San Juan River Basin in New Mexico Navajo Nation Water Rights Settlement Agreement. In general, financial assistance may be provided to profit or non-profit entities to design and construct portions of the Project. Specifically, financial assistance may be provided to the Navajo Nation (Nation) and City of Gallup, New Mexico (City) to design and construct portions of the Project to provide water deliveries to Navajo and City water distribution systems. Financial assistance may be specifically directed to the Nation and City because they are uniquely qualified to perform the design and construction work; will own and operate Project facilities; and, legislative authority exists to provide this financial assistance to the Nation and City.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Native American Organization (Navajo

ASSISTANCE LISTINGS DESCRIPTIONS

Nation)Local governments (City of Gallup New Mexico)Profit organization(s)Private nonprofit institution(s)/organization(s)

Beneficiary Eligibility:

Local; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Government (Navajo Nation)Local Governments (City of Gallup New Mexico)

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. A Planning Report/Final Environmental Impact Statement/Record of Decision has been completed.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Reclamation has awarded, on a non-competitive basis, four (4) agreements: one (1) with the City of Gallup and three (3) with the Navajo Nation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. None.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

2010 to 2024 Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In

accordance with the provisions of 2 CFR 200.425 Audit Services nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$2,790,308.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$0 - \$50,946,000 Average: \$15,347,730 from FY11-FY20

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Under the agreement with the City of Gallup, the following were milestones and accomplishments: On the Gallup Regional System, construction on Reach 27.9 was substantially completed the end of January 2020. Construction on Reach 27.10 started in July 2020. All other Reach work continued to be in design, engineering, right-of-way stages with environmental and cultural work progressing as required. Under the three (3) agreements with the Navajo Nation, the following were milestones and accomplishments: On the Cutter Lateral, construction on Reaches 26.1, 26.2 and 26.3 progressed towards completion, with substantial completion dates projected in Spring 2021. On the Crownpoint Lateral, work continued related to design, engineering, right-of-way, environmental and cultural resources. The third Agreement with the Navajo Nation (which provided for engineering work on selected connection plans associated with the NGWSP) was completed and closed-out. Fiscal Year 2021: Information to be available after completed year.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Upper Colorado Region, Four Corner Construction Office; Dianne Paxton, dpaxton@usbr.gov, 970-385-6547.

Headquarters Office:

Construction Engineer Pat Page,
Four Corners Construction Office, 1235 La Plata Highway,
Farmington NM 87401
Email: ppage@usbr.gov
Phone: 505-324-5027
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to selection criteria in funding opportunity announcement.

ASSISTANCE LISTINGS DESCRIPTIONS

15.553 Eastern New Mexico Rural Water System

ENMRWS,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title IX,
Section 9103, Title , Part , Section

OBJECTIVES:

Provide potable surface water to communities in Eastern New Mexico.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Project will be constructed, owned, operated, and maintained by the Eastern
New Mexico Water Utility Authority, the Projects local sponsor.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher
education and hospitals; Federally Recognized Indian Tribal Governments; State;
Eastern New Mexico Water Utility Authority was formed for the sole purpose of
administration of this new water supply project for the surrounding communities.

Beneficiary Eligibility:

Federal; State; Local; Federally Recognized Indian Tribal Governments; The
Project would pipe 16,450 acre-feet of surface water per year from Ute Reservoir
to the eastern New Mexico municipalities of Clovis, Elida, Portales, and Texico
currently relying on the declining quantity and quality of the Ogallala Aquifer.
Roosevelt County, and Cannon Air Force Base (CAFB) are also beneficiaries of
the Project. The present population of the Project service area is about 60,000
people.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost
Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is
required for this listing. This program is excluded from coverage under E.O.
12372. In December 2010, the U.S. Fish and Wildlife Service issued a concurrence
memorandum which completes the Endangered Species Act Section 7 consultation.
In January 2011, a Finding of No Significant Impact was signed, thus completing
National Environmental Policy Act compliance. Also in January 2011, a
Memorandum of Agreement was executed between the Authority and Reclamation
describing roles and responsibilities during construction of the Project.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. Once the parties agree
with the scope of work and/or budget allocations, the Bureau of Reclamations
Upper Colorado Region finalizes the agreement and/or modifications.
Responsibilities for needs assessments, feasibility and environmental studies,
design, conservation measures and administration are to be included in agreements
negotiated under this authority. Notice of Funding Opportunities (NOFO) for this
listing will be posted on Grants.gov.

Award Procedure:

Once the parties agree with the scope of work and/or budget allocations, the
Bureau of Reclamations Upper Colorado Region finalizes the agreement and/or
modifications. Responsibilities for needs assessments, feasibility and
environmental studies, design, conservation measures and administration are to be
included in agreements negotiated under this authority.

Deadlines:

Contact the headquarters or regional location, as appropriate for application
deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching
Requirements: Percent: 75%. Requirement: Matching requirements are mandatory.
The Federal share of the total cost of any activity or construction carried out using
amounts made available shall be not more than 75 percent of the total cost of the
Eastern New Mexico Rural Water System. An amount not greater than
\$327,000,000 (January 1, 2007 price levels) was authorized to be appropriated for
non-reimbursable financial assistance to plan, design, and construct the Project.
Public Law 111-11 also authorized indexing of the federal cost ceiling and limited
the federal cost share to 75% of the total Project cost. In October 2020, the revised
indexed total Project cost was estimated at \$547 million. MOE requirements are not
applicable to this assistance listing.

Length and Time Phasing of Assistance:

March 31, 2024 for current Financial Agreement. Most awards are for 5 years.

Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the
following reports on semi-annual basis: (1) SF-425, Federal Financial Report; and
(2) Program Performance Reports. Upon completion of the agreement, recipients
shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program
Performance Report; and (3) other specific reports that may be applicable to the
agreement such as property inventories, and patent and invention disclosures.
Unless otherwise stated in the agreement document, recipients shall submit the
following reports on semi-annual basis: (1) SF-425, Federal Financial Report; and
(2) Program Performance Reports. Upon completion of the agreement, recipients
shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program
Performance Report; and (3) other specific reports that may be applicable to the
agreement such as property inventories, and patent and invention disclosures.
Unless otherwise stated in the agreement document, recipients shall submit the
following reports on semi-annual basis: (1) SF-425, Federal Financial Report; and
(2) Program Performance Reports. Upon completion of the agreement, recipients
shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program
Performance Report; and (3) other specific reports that may be applicable to the
agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2
CFR 200.333 Retention Requirements for Records. Financial records, supporting
documents, statistical records, and all other non-Federal entity records pertinent to
a Federal award must be retained for a period of three years from the date of
submission of the final expenditure report or, for Federal awards that are renewed
quarterly or annually, from the date of the submission of the quarterly or annual
financial report, respectively, as reported to the Federal awarding agency or pass-
through entity in the case of a subrecipient. Federal awarding agencies and pass-
through entities must not impose any other record retention requirements upon
non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$15,000,000.00; FY 21 est
\$14,800,000.00; FY 22 est \$14,800,000.00;

Range and Average of Financial Assistance:

Range: \$ 1,875,000 Average: N/A

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2015, the intake structure at Ute Reservoir is constructed.

ASSISTANCE LISTINGS DESCRIPTIONS

This initial project reached substantial completion in December 2014. FY 2015-2020: At the end of FY15, created a Financial Agreement for the construction of Finished Water 2 (FW2) pipeline consisting of approximately 9.25 miles to align the southern end of FW1 pipeline to the city of Clovis finished water tanks; and the Cannon Lateral towards the Cannon Air Force Base finished water tanks. Construction begun in the first quarter of FY19 and finalized in the fourth quarter of FY20. FY 2020- 2021: During the fourth quarter of FY20, a Financial Agreement for Finished Water 3 (FW3) was created for the construction of approximately 16.8 miles of finished water pipeline, to align the FW2 pipeline in Clovis with the finished water tanks in the city of Portales. Construction of FW3 is scheduled to begin in the fourth quarter of FY21.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Albuquerque Area Office: Mr. John Irizarry, Project Manager, Address: 555 Broadway Blvd NE, Albuquerque, NM 87102, Telephone: 505.462.3655, Email: jirizarrynazario@usbr.gov

Headquarters Office:

Project Manager Mr. John Irizarry,
Albuquerque Area Office: 555 Broadway Blvd NE,
Albuquerque NM 87102
Email: jirizarrynazario@usbr.gov
Phone: 505.462.3655

Website Address:

<http://www.enmwua.com/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Eastern New Mexico rural water supply system

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.554 Cooperative Watershed Management

CWMP,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title VI, Subtitle A, Sec. 6001-6003 (16 USC 1015 et seq.).

OBJECTIVES:

The purpose of the Cooperative Watershed Management Program is to enhance water conservation, uses; improve water quality; improve ecological resiliency of a river or stream; and to reduce conflicts over water at the watershed level by supporting the formation of watershed groups to develop local solutions to address water management issues.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Watershed Group Development and Restoration Planning (Phase I): In 2012, Reclamation began providing funding for the establishment or further development of watershed groups. A watershed group is a self-sustaining, non-regulatory, consensus-based group that is composed of a diverse array of stakeholders, which may include, but is not limited to, private property owners, non-profit organizations, Federal, State, or local agencies, and Tribes. As part of Phase I activities, applicants may use funding to develop bylaws, a mission statement, watershed management project concepts, and complete stakeholder outreach and a watershed restoration plan. For Phase I projects, Reclamation will award a successful applicant up to \$50,000 per year for a period of up to two years with no

non-Federal cost-share required. Implementation of Watershed Management Projects (Phase II): Starting in 2017, Reclamation began providing cost-shared financial assistance to watershed groups to implement watershed management projects (Phase II). These on-the-ground projects, collaboratively developed by members of a watershed group, address critical water supply needs, water quality, and help water users meet competing demands and avoid conflicts over water. Reclamation will award a successful applicant up to \$300,000 per project over a two-year period. For Phase II projects, applicants must contribute at least 50% of the total project costs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State; Watershed Group Development and Restoration Planning (Phase I): Applicants eligible to receive financial assistance through Phase I of the Cooperative Watershed Management Program include: States; Indian tribes; Local and special districts; (e.g., irrigation and water districts, county soil conservation districts, etc.); Local governmental entities; and non-profit organizations, including watershed groups. To be eligible, applicants must also significantly affect or be affected by the quality or quantity of water in a watershed; be capable of promoting the sustainable use of water resources within the given watershed area; and be located in the Western United States or United States Territories including Alaska, Arizona, California, Colorado, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands. Implementation of Watershed Management Projects (Phase II): An eligible applicant is an established watershed group as defined in the Section 6001(5) of the Cooperative Watershed Management Act (Act) that has met the requirements outlined in Section 6002(c)(2)(A)(iv) of the Act (see Section A.3. Statutory Authority for full citation). In summary, the applicant must be a grassroots, non-regulatory entity that addresses water availability and quality issues within the relevant watershed, represents a diverse group of stakeholders, and is capable of promoting the sustainable use of water resources in the watershed. The applicant must also have approved articles of incorporation, bylaws, and a mission statement; hold regular meetings; and have developed a restoration plan and project concepts for the watershed. A fiscal agent can also apply on behalf of a watershed group. Applicants must also be located in the Western United States or United States Territories including Alaska, Arizona, California, Colorado, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, the Virgin Islands, and Puerto Rico.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; The Cooperative Watershed Management Program benefits a diverse array of stakeholders, which may include but is not limited to, private property owners, Federal, State, or local agencies, and Indian tribes that are located in the Western United States or United States Territories including Alaska, Arizona, California, Colorado, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, the Virgin Islands, and Puerto Rico.

Credentials/Documentation:

(1) A detailed written technical proposal is required, including background data regarding the applicant, such as: location; diversity, and the geographic scope of the proposed or existing watershed group; a description of the watershed, including the source of water supply, the water rights involved, current water uses (i.e., agricultural, municipal, domestic, instream uses or industrial), and the types of water issues faced in the affected watershed (e.g., shortfalls in water supply, endangered species concerns, environmental issues, or other issues in the watershed) an overview of the proposed project; an estimated project schedule that shows the stages and duration of the proposed work, including major tasks, milestones, and dates for the project upon entering into a financial assistance agreement; and describing data responsive to the evaluation criteria listed in the Funding Opportunity. (2) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation & maintenance costs, and the value of in-kind contributions of goods and

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services. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. This program is subject to E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program are posted on www.grants.gov. Submission of a complete technical proposal and budget is mandatory by the due date identified in the announcement. In addition, applicants must submit all applicable SF 424 forms referenced in the announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response to the funding opportunity announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The review, scoring and announcement of the recipients typically take five to six months.

Appeals:

Not Applicable. Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

Other. Projects which requested funding for one year only are fully funded at time of award. For projects requesting two consecutive years of funding, second-year may be awarded to applicants that demonstrate sufficient progress throughout the year, contingent on the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Development of Watershed Groups (Phase I): A non-Federal cost-share is not required for Phase I activities. Implementation of Watershed Management Projects (Phase II): A 50% non-Federal cost-share is required for watershed management projects. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Proposed activities should be completed within 2 years from the project start date. Applicants seeking funding for activities requiring more than 2 years will be considered if they can demonstrate that there will be measurable accomplishments each year. Method of awarding/releasing assistance: other

Reports:

Grant recipients shall submit Program Performance Reports on a semi-annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report that demonstrates the applicants successful completion of the activities described in the financial assistance agreement. Grant recipients shall submit the SF-425, Federal Financial Report on a semi-annual basis. Upon completion of the agreement, a final SF-425 shall be submitted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$2,250,000.00; FY 21 est \$4,250,000.00; FY 22 est \$2,250,000.00;

Range and Average of Financial Assistance:

Range: \$20,000 - \$300,000 Average: \$85,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Reclamation selected 29 projects to receive \$2.8 million to complete watershed group development, watershed restoration planning and watershed management project design. The funding is shared with groups across 12 states, including groups in Alaska and Hawaii, who are receiving funding for the first time through this program. Fiscal Year 2021: Reclamation announced that 11 entities were selected to receive a total of \$2.1 million in CWMP Phase II funding to implement on-the-ground watershed management projects. Fiscal Year 2022: FY 2022 accomplishment information is not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. <http://www.usbr.gov/main/regions.html>

Headquarters Office:

Avra Morgan,
Bureau of Reclamation, Water Resources and Planning Office, Mail Code: 86-96200, P.O. Box 25007,
Denver CO 80225
Email: aomorgan@usbr.gov
Phone: (303)445-2906

Website Address:

<http://www.usbr.gov/WaterSMART/cwmp/index.html>

RELATED PROGRAMS:

15.507 Water 2025;

EXAMPLES OF FUNDED PROJECTS:

2020 For descriptions of previously funded projects, please visit our website at: <http://www.usbr.gov/WaterSMART/cwmp/index.html>. 2021 For descriptions of previously funded projects, please visit our website at: <http://www.usbr.gov/WaterSMART/cwmp/index.html>. 2022 For descriptions of previously funded projects, please visit our website at: <http://www.usbr.gov/WaterSMART/cwmp/index.html>.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding opportunity posted on www.grants.gov. All applications will be evaluated using criteria that give priority

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based on the diversity and geographic scope of the proposed watershed group; the critical nature of the issues to be addressed by the group; whether the proposed group (or its proposed activities) is aligned with a state water plan; whether the amount of funding requested is reasonable for the work proposed; and whether the proposed schedule and milestones are reasonable and appropriate.

15.555 San Joaquin River Restoration

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Title X, Subtitle A, Part I, San Joaquin River Restoration Settlement Act (123 Stat. 1349), and Part III, Friant Division Improvements,

OBJECTIVES:

The objective of the San Joaquin River Restoration Program (SJRRP) is to implement the Stipulation of Settlement in NRDC, et al., v. Rodgers, et al., consistent with and as supplement by, the San Joaquin River Restoration Settlement Act. The Settlement identifies the following two goals: (1) Restoration Goal - Restore and maintain fish populations in good condition in the main stem of the San Joaquin River below Friant Dam to the confluence of the Merced River, including naturally-reproducing and self-sustaining populations of salmon and other fish; and, (2) Water Management Goal - Reduce or avoid adverse water supply impacts to all of the Friant Division long-term contractors that may result from the Interim Flows and Restoration Flows provided for in this Settlement.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance can be used only to implement the Settlement consistent with and as supplement by, the San Joaquin River Restoration Settlement Act. It is anticipated that all activities funded will be Project Grants or Financial Assistance that will relate to implementing specific activities in the Settlement and the San Joaquin River Restoration Settlement Act or specific monitoring, mitigation or other commitments that are necessary to implement the Settlement or the San Joaquin River Restoration Settlement Act (such as project implementation or mitigation commitments in environmental compliance documents prepared for SJRRP actions, including the SJRRP's Program Environmental Impact Statement and Record of Decision signed in September 2012).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Anyone/general public; State, tribal, and local governmental agencies, and with private parties, including agreements related to construction, improvement, and operation and maintenance of facilities, subject to any terms and conditions that the Secretary deems necessary to achieve the purposes of the Settlement.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; State; Local; Federally Recognized Indian Tribal Governments; State, tribal, and local governmental agencies, private parties, and the general public

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. Federal, state, or local permits and approvals for the program may be required depending on the scope of the specific project funded. This may result in the need for the issuance of the required permits and approvals prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Projects undertaken under Section 10004 have no matching requirements. Projects undertaken under Section 10202 require a 50 percent share of the costs associated with planning, design, and environmental compliance activities associated with such a project and a 50 percent share of the costs associated with construction of any such project. For these projects, all operation, maintenance, and replacement and rehabilitation costs of such projects shall be the responsibility of the local agency. The Secretary shall not provide funding for any operation, maintenance, and replacement and rehabilitation costs of projects funded. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on an annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report on an annual basis. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-5537-0-2-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$7,395,412.00; FY 21 est \$2,300,000.00; FY 22 est \$2,300,000.00;

Range and Average of Financial Assistance:

Range: \$2,000,000 - \$5,000,000 Average: \$ 2,294,246

TAFS Codes:

14-5537.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Completed engineering and design for a fish screen and fish passage project. Fiscal Year 2021: FY21 accomplishment information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Ann Lubas-Williams, Acting Program Manager San Joaquin River Restoration Program Bureau of Reclamation 2800 Cottage Way, MP-170 Sacramento, CA 95825 916-978-5464 alubaswilliams@usbr.gov

Headquarters Office:

Acting Program Manager Ann Lubas-Williams,
San Joaquin River Restoration Program Bureau of Reclamation 2800 Cottage Way,
MP-170,
Sacramento CA 95825
Email: alubaswilliams@usbr.gov
Phone: 916-978-5464

Website Address:

<http://www.restoresjr.net>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.556 Crow Tribe Water Rights Settlement

Crow Tribe Water Rights Settlement Act of 2010,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Law 111-291, Claims Resolution Act of 2010, Title IV, Crow Tribe Water

Rights Settlement (31 USC 1101).

OBJECTIVES:

To rehabilitate and improve the water diversion and delivery features of the Crow Irrigation Project (CIP). To plan, design and construct a new municipal, rural & industrial (MR&I) water system for the benefit of the Crow Tribe and its members. Both objectives are part of an Indian Water Rights Settlement among the U.S. government, the state of Montana, and the Crow Tribe.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The project is for the rehabilitation and improvement of the existing CIP and for the construction of a new MR&I Water system on the Crow Reservation. These projects are authorized under Title IV of the Claims Resolution Act of 2010.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; The Crow Tribe of Montana

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Irrigable lands within the Crow Reservation. Drinking water for people on the reservation.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. These projects will meet the requirements of the National Environmental Policy Act (NEPA) of 1969, and final engineering reports are being developed. Any proposed changes in the scope of these projects will be reviewed for continued compliance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Annual work plans and budgets are negotiated annually.

Award Procedure:

Annually and as needed for specific construction-related functions, the Crow Tribe and Bureau of Reclamation negotiate their respective scopes of work and budgets. Then the Crow Tribe and Reclamations Missouri Basin Region execute the negotiated agreements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Funding is available until all currently appropriated funds both mandatory and discretionary have been expended for this program. Additional discretionary funding for the program is at the discretion of Congress. Any request for a time extension must be in writing, in accordance with the provisions stated in the contracts. The Settlement needs to be fully funded by June 2030.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the CIP is 100 percent (Sec. 405(d)) and of the MR&I Water is 100 percent (Sec. 406(d)). There is a provision (Sec. 406(m)) to negotiate non-Federal cost share if non-Federal parties use the MR&I Water system. Construction costs for the CIP and MR&I Water system, respectively, will total \$131.843 million and \$246.381 million (indexed to May 2008). Funds are to be available until expended. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Beginning in Fiscal Year 2011, funding for these projects was authorized to be appropriated. Method of awarding/releasing assistance: other

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

Progress reports: Recipient submits the following reports: draft and final technical reports; progress narratives (quarterly); annual report; reports on significant developments (as necessary). Expenditure reports: SF-425 (quarterly).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The Crow Tribal government shall maintain project records in accordance with 25 USC 5305 and 25 CFR Part 900. All recipients of Federal awards shall maintain project records in accordance with 25 CFR 900.41. Financial records, supporting documents, statistical records and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three (3) years from the date of submission of the single audit report. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 25 CFR 900.41.

Account Identification:

14-0680-0-1-301.

Obligations:

Direct Payments for Specified Use FY 20 \$12,351,127.00; FY 21 est \$12,000,000.00; FY 22 est \$12,000,000.00;

Range and Average of Financial Assistance:

Range: \$12,351,000 Average: \$12,351,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Rehabilitation and improvement of the Crow irrigation system

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 900, Contracts under the Indian Self-Determination and Education Assistance Act. 2 CFR 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subparts E and F. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Missouri Basin Regional Office Skylar Cozzens Project Manager, Bureau of Reclamation P.O. Box 36900 2021 4th Avenue North Billings, MT 59107-6900 email: scozzens@usbr.gov Telephone: 406-247-7818

Headquarters Office:

Special Assistant Shane Schieck,
Missouri Basin Regional Office Bureau of Reclamation 2021 4th Ave. N.,
Billings MT 59101
Email: sschieck@usbr.gov
Phone: (406)247-7832

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.557 Applied Science Grants

Applied Science Grants,
FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 111-11, Omnibus Public Land Management Act of 2009, Subtitle F, Secure Water, Section 9509.,

OBJECTIVES:

The objective of the Applied Science Grants is to improve water management in the West through the development of applied science tools and information that will improve: access to water availability information and the latest forecasting tools; management of water supplies during drought; and watershed management, in the West.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Through Applied Science Grants, Reclamation provides cost-shared financial assistance on a competitive basis for projects to develop tools and information for: (1) assessing water availability and quality, (2) assessing risks to water supplies from drought and other water management challenges (e.g., invasive species, sedimentation, managing to meet competing water demands, meeting endangered species requirements, etc.), and (3) assessing and evaluating watershed management practices. Eligible projects must be located in the 17 Western States or Territories (see below for eligibility).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Category A applicants: States, Indian tribes, irrigation districts, water districts, or other organizations with water or power delivery authority located in the Western United States or United States Territories including Alaska, Arizona, California, Colorado, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands. Category B applicants: Universities, nonprofit research institutions, federally-funded research and development centers, and non-profit entities including 501(c)(3). Category B applicants must be located in the United States or American Samoa, Guam, the Northern Mariana Islands, or the Virgin Islands. These applicants must also include, as a partner, at least one entity from Category A that meets the eligibility requirements as documented by a letter included with the application stating that the partner commits to participate in the project and supports the need for the project. A letter of participation must demonstrate that the partner intends to participate in the project in some way, for example, by providing input, feedback or other support for the project.

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; State; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; The general public; agricultural, municipal and industrial water users; irrigation or water districts; state governmental entities with water or power delivery authority; tribes; non-profit research institutions; and non-profit organizations located in the states identified in the Act of June 17, 1902.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, such as location, a detailed scope of work separating the work into major tasks, detail the stages of the proposed project, include an estimated project schedule showing the stages and the duration of the proposed work, including major milestones and dates, and substantiate the method(s) selected, the principles or techniques which are proposed to solve the problem, and the degree of success expected, and describing data responsive to the evaluation criteria listed in the Announcement. (2) A funding plan showing how the applicant will pay for its 50% cost share requirement, and an official resolution adopted by the applicants board of directors or governing body, or for state government entities and universities, an official authorized to commit the applicant to the financial and legal obligations associated with receipt, resolving that, if selected, it will negotiate and execute a cooperative agreement with Reclamation. (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation & maintenance costs, and the value of in-kind contributions of goods and services. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Multiple Funding Opportunity Announcements (Announcement) are annually posted on www.grants.gov. Submission of a complete technical proposal and projects budget is mandatory by the due date identified in the Announcement. In addition, applicants must submit all applicable SF 424 forms referenced in the Announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response to the Announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The review, scoring and announcement of the recipients typically take three to five months.

Appeals:

Not Applicable. Upon request, Reclamation will provide all applicants with information on why their proposals were not selected.

Renewals:

Other. The awarded projects are fully funded at the time of award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. The Federal share of the cost of a project or activity carried out under this Program shall not exceed 50% of the total cost of the project or activity. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Proposed projects should be completed within 24 months from the project start date. Applications for projects requiring more than 2 years will be considered if it is demonstrable that there will be on-the-ground accomplishments each year.

Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the agreement, recipients shall submit Program Performance reports on a semi-annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report. Other specific reports may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise specified in the agreement, recipients shall submit a SF-425, Federal Financial Report on a semi-annual basis. Upon completion of the agreement, recipients shall submit a final SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting

documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$3,500,000.00; FY 21 est \$3,500,000.00; FY 22 est \$3,500,000.00;

Range and Average of Financial Assistance:

Range: \$50,000 - \$400,000 Average: \$200,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: \$3.5 million in Federal funding was used to fund 19 Applied Science Grant projects. These projects totaled over \$8 million in applied science projects. Fiscal Year 2021: FY2021 accomplishment data not yet available. Fiscal Year 2022: FY 2022 accomplishment data not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below. <http://www.usbr.gov/WaterSMART/lcc/index.html>

Regional or Local Office:

See Regional Assistance Locations. <http://www.usbr.gov/main/regions.html>

Headquarters Office:

Avra Morgan,
Bureau of Reclamation, Water Resources and Planning Office, Mail Code: 86-69200, P.O. Box 25007,
Denver CO 80225
Email: aomorgan@usbr.gov
Phone: (303)445-2906
Fax: (720)544-4207

Website Address:

<http://www.usbr.gov/WaterSMART/lcc/index.html>

RELATED PROGRAMS:

15.507 Water 2025;

EXAMPLES OF FUNDED PROJECTS:

2020 The Henry's Fork Foundation, Inc., Predictive Hydrologic Modeling and Real-Time Data Access to Support Water Resources Management Planning Reclamation: \$273,211 Total Project Cost: \$561,282. The Henry's Fork Foundation will partner with the Fremont-Madison Irrigation District to develop hydrologic models and a web-based platform to improve access to hydrologic information within the Henry's Fork of the Snake River watershed, located in eastern Idaho and western Wyoming. In addition to competing water demands for irrigation and fisheries, new concerns about declining aquifer levels in the Eastern Snake Plains Aquifer have added to water management challenges in this watershed. This project builds on a WaterSMART basin study completed in 2015, identifying unmet water needs in the basin that total 350,000 acre-feet per year in dry years, based on the most recent calculations. This project will provide modeling tools and hydrologic data to enhance water management efforts to address the projected shortfalls, including demand-reduction, water marketing, and increasing reservoir carryover. //Texas Water Trade, Modeling Aquifer Properties in the Contributing Zone of Comanche Springs Reclamation Funding: \$150,000 Total Project Cost: \$300,000 The Texas Water Trade, in partnership with the Middle Pecos Groundwater Conservation District, located in Fort Stockton, Texas will develop a groundwater flow model to increase the Districts understanding of the impacts of seasonal groundwater pumping in the Edwards-Trinity Aquifer to spring flows at Comanche Springs in the City of Fort Stockton. This area has experienced conflicts

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and litigation between surface and groundwater users since the 1950s. Currently, rising demand for water for oil and gas extraction activities and demands from nearby municipalities are adding to these tensions. The District is evaluating the potential for restoration of perennial spring flows at Comanche Springs, exploring pumping in deeper zones in the Edwards aquifer, and developing a water marketing strategy to meet competing demands. This project will provide the District with critical information to further develop these strategies and to make water management decisions that optimize available water resources.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. All applications will be evaluated using criteria that give priority to a projects technical merit, the relevance to water managers in the West, dissemination of results to Reclamation and stakeholders, and connections to Reclamation activities.

15.558 White Mountain Apache Tribe Rural Water System

Miner Flat Project,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

The White Mountain Apache Tribe Rural Water System Loan Authorization Act. Public Law 110-390, as amended by the White Mountain Apache Tribe Water Rights Quantification Act of 2010, Public Law 111-291.,

OBJECTIVES:

Pursuant to the White Mountain Apache Tribe Water Rights Quantification Act of 2010, the Bureau of Reclamation, through an Indian Self-Determination and Education Assistance Act contract, is to plan, design, and construct the Rural Water System as defined in said act.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Public Law 93-638 contracts, grants, and cooperative agreements are authorized for construction and non-construction projects.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; As defined in the White Mountain Apache Tribe Water Rights Quantification Act of 2010, the White Mountain Apache Tribe is eligible to assume the planning, design, and construction of the White Mountain Apache Tribe Rural Water System.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; White Mountain Apache Tribe

Credentials/Documentation:

(1) Each 638 contract, grant, or cooperative agreement requires project specific authority; (2) Detailed written technical proposals including background data regarding the tribal client, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, type of personnel to implement the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; (3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of good and services; and (4) the awarded 638 contract, grant or cooperative agreement must contain an authorization resolution from the White Mountain Apache Tribe. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program is required depending on the scope of the specific project component funded. An environmental impact statement and Record of

Decision approving that construction of the White Mountain Apache Tribe rural water system will be in a configuration substantially similar to that described in section 307 of Public Law 111-291 will be required prior to the commencement of Miner Flat Project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial proposals must contain the information specified in 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with the responsibility for the specific project for which the White Mountain Apache Tribe with responsibility for the specific project which the White Mountain Apache Tribe or Tribe

Award Procedure:

Reclamation and the Tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-63 , 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the tribe also negotiate an annual funding agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment of the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property listing, project schedule, and list of any other applicable provision, terms and conditions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Not Applicable.

Renewals:

Other. Will vary by project component.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing will vary. Method of awarding/releasing assistance: other

Reports:

Reporting (Federal financial report and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions. Reporting (Federal financial report and progress reports) requirements will be negotiated and contained within the AFA or the overarching terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Direct Payments for Specified Use (Cooperative Agreements) FY 20 \$0.00; FY 21

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est \$500,000.00; FY 22 est \$250,000.00;

Range and Average of Financial Assistance:

Range: \$ 0 - \$350,000 Average: \$350,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Work with the White Mountain Apache Tribe is ongoing to enter into a new Indian Self-Determination Act contract to support the White Mountain Apache Tribes work to plan, design, and construct the Rural Water System

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Nathan Lehman Bureau of Reclamation, Phoenix Area Office 6150 W. Thunderbird Road, Glendale, AZ 85306 nlehman@usbr.gov (623) 773-6278

Headquarters Office:

Area Manager Nathan Lehman, Bureau of Reclamation, 6150 W. Thunderbird Rd., Glendale AZ 85306
Email: nlehman@usbr.gov
Phone: (623) 773-6278

Website Address:

<http://www.usbr.gov/lc/phoenix/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The White Mountain Apache Tribe must meet the requirements of Public Law 93-638; 25 CFR Part 900 and any additional criteria specific to the authorization legislation.

15.559 New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure

New Mexico Pueblos Irrigation Project,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 111-11, Omnibus Public Lands Management Act of 2009, Section 9106. 123 Stat. 1304,

OBJECTIVES:

Rehabilitate and repair irrigation infrastructure of the Rio Grande Pueblos to conserve water and help address potential conflicts over water in the Rio Grande Basin.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments for Specified Use (Cooperative Agreements), Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds are for two purposes: (1) to prepare a study for Congress on existing conditions and potential irrigation rehabilitation projects; and (2) to construct irrigation rehabilitation projects after the study is approved by Congress.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Government 18 New Mexico Rio Grande Pueblos only: Taos, Picuris, Ohkay Owingeh, Santa Clara, San Ildefonso, Tesuque, Pojoaque, Nambe, Cochiti, Santo Domingo, San Felipe, Santa Ana, Sandia, Isleta, Acoma, Laguna, Jemez, Zia.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Government 18 New Mexico Rio Grande Pueblos only: Taos, Picuris, Ohkay Owingeh, Santa Clara, San Ildefonso, Tesuque, Pojoaque, Nambe, Cochiti, Santo Domingo, San Felipe, Santa Ana, Sandia, Isleta, Acoma, Laguna, Jemez, Zia.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program will be required depending on the scope of the specific project funded. Most environmental compliance is expected to be categorical exclusions. A few may result in the need for an environmental assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each pueblo has submitted a form consenting to participate in the project, as required by the authorizing legislation.

Award Procedure:

Proposed irrigation rehabilitation projects qualify and are prioritized based on eligibility requirements set forth in the authorizing legislation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval of the study by Congress will depend on how quickly Congress acts. Approval of individual rehabilitation projects varies depending on the amount and timing of funding appropriated by Congress.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. None for the study report. For construction, the authorizing legislation requires a 25% non-federal cost share. The cost share requirements can be waived by the Secretary of the Interior due to financial hardship on the part of pueblos. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally written for a 1 to 5 year period with funding provided only for the current year. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Direct Payments for Specified Use (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$50,000.00; FY 22 est \$50,000.00;

Range and Average of Financial Assistance:

Range:\$39,326 - \$50,000Average: \$50,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Continue implementation of ongoing projects funded in previous years, including domestic water supply and wastewater projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Tracey Heller 555 Broadway NE Suite 100, Albuquerque, NM 87102, 505-462-3657, theller@usbr.govBrian Parry, 125 South State Street Room 6107, Salt Lake City, UT 84138-1147, 801-524-3674, bparry@usbr.gov

Headquarters Office:

Kelly Titensor,
1849 C Street, NW,
Washington DC 20240
Email: ktitensor@usbr.gov
Phone: (202)513-0558

Website Address:

<http://www.usbr.gov/uc/albuq/progact/NMPueblos/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are listed in the authorizing legislation.

15.560 SECURE Water Act Research Agreements

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Section 9504(b) and Section 9509, Omnibus Public Lands Management Act of 2009, (Public Law 111-11),

OBJECTIVES:

To fund research activities that are designed to conserve water resources, increase

the efficiency of the use of water resources, and/or enhance the management of water resources, including increasing the use of renewable energy in the management and delivery of water.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Specific use restrictions are identified within specific program announcements available on www.grants.gov.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; In accordance with P.L. 111-11, Section 9504(b), eligible applicants include any: university, nonprofit research institution, or other organization with water or power delivery authority. In accordance with P.L. 111-11, Section 9509, there are no restrictions on the type of organization eligibility.

Beneficiary Eligibility:

Anyone/general public; State; The general public; agricultural, municipal and industrial water users; irrigation or water districts; and state governmental entities with water or power delivery authority.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards: Proposals received in response to the Announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. For single-source awards, a Notice of Intent to Award is announced on www.grants.gov providing the justification for the noncompetitive research award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

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are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 5 years. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis an SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final (1) SF-425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$6,136,619.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Range: \$36,000 - \$420,000 Average: \$105,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Completed research projects on watershed planning, hydroclimate and hydrometeorological applications development, and invasive mussels eradication.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. <http://www.usbr.gov/main/regions.html>

Headquarters Office:

Irene Hoiby,
Bureau of Reclamation, Mail Code 84-27130, Denver Federal Center, P.O. Box 25007,
Denver CO 80225
Email: ihoyby@usbr.gov
Phone: (303)445-2025
Fax: Samantha Haskett,
Bureau of Reclamation, Mail Code 84-27130, Denver Federal Center, P.O. Box 25007,
Denver CO 80225
Email: shaskett@usbr.gov
Phone: (303)445-2029

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

15.557 Desert and Southern Rockies Landscape Conservation Cooperatives;
15.507 Water 2025;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.563 Suisun Marsh Preservation Agreement

SMPA.

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Coordinated Operations Agreement, Suisun Marsh Preservation Agreement; and Small Reclamation Project Act Amendments (Act of October 27, 1986, Public Law 99-546, Title II, Sections 201-204),

OBJECTIVES:

Execute and implement the agreement between the Department of the Interior, the State of California, and the Suisun Resource Conservation District to ensure a dependable water supply of adequate quantity and quality for the protection and preservation of Suisun Marsh fish and wildlife habitat in accordance with PL 99-546, State and Water Resources Control Board Decision 1641, and the Revised Suisun Marsh Preservation Agreement.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Reclamation's mandated share of the associated cost of SMPA activities, including operation and maintenance of State facilities (including Suisun Marsh Salinity Control Gates, Roaring River Distribution System, Morrow Island Distribution System, and Goodyear Outfall) to meet channel water salinity standards; implementation of water manager, portable pumps, individual ownership adaptive habitat management plans, and drought response programs; water and biological monitoring programs to meet established objectives and fulfill regulatory requirements; and provision of wetlands mitigation in accordance with applicable regulatory permits and biological opinions required for activities in the Marsh, as further detailed in the SMPA.

Applicant Eligibility:

State; Per P.L. 99-546 and the SMPA limits the applicant to the State of California.

Beneficiary Eligibility:

State; State of California and individual landowners within the Suisun Marsh as represented by Suisun Resource Conservation District.

Credentials/Documentation:

Not applicable. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit SF-424 Application for Federal Assistance documents; detailed project technical descriptions and budgets; and all information required under the terms of the applicable appropriations law.

Award Procedure:

Bureau of Reclamation personnel will review (1) the application package to ensure that all required documents are complete, accurate, and satisfy all provisions of

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applicable law, (2) the project budgets and description to ensure the appropriation language project requirements are met, and (3) the project descriptions for technical issues and consistency with applicable policies, and budgets for appropriateness of cost estimates. After the application package is acceptable to both parties, a cooperative agreement is drafted, reviewed and signed by both parties.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The costs implementing the SMPA are specified in Section 202, Title II, of P.L. 99-546. The costs will be shared by the Bureau of Reclamation and the California Department of Water Resources in strict accordance with SMPA: Provided, That - (a) payments made by the Secretary of the Interior shall not exceed 40 percent of the construction costs incurred under articles 6, 7, and 8 of the agreement, or \$50,000,000, whichever is less, plus or minus such amounts are justified by reason of ordinary fluctuations in construction costs as indicated by engineering cost indices applicable to the types of construction involved therein; and, (b) the Federal share of continuing annual operation and maintenance costs, including monitoring, shall not exceed 40 percent of the actual operation and maintenance costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The time periods will be determined during the application and negotiation process. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual and final basis Program Performance Reports. Also, other reports that maybe applicable to the agreement such as property inventories, and patent and invention disclosures. Recipients submit an annual and final SF-425, Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$1,200,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$0 to \$1,200,000 Average is \$1,200,000.

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Project continues on single award to fulfill commitments in the 2015 Suisun Marsh Preservation Agreement.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by

contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Armin A. Halston Natural Resource Specialist Bay Delta Office, Bureau of Reclamation, California Great Basin Region 801 I Street, Suite 140, Sacramento, CA 95814 Office: (916) 414-2404 ahalston@usbr.gov

Headquarters Office:

Armin Halston,
Bureau of Reclamation, California Great Basin Region, 801 I Street, Suite 140, Sacramento CA 95814
Email: ahalston@usbr.gov
Phone: 916-414-2404

Website Address:

<http://www.usbr.gov/mp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must be compatible with the authorizing legislation and SMPA.

15.564 Central Valley Project Conservation

(CVPCP).

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Coordination Act, as amended, 16 U.S.C. Section 661 et seq.

OBJECTIVES:

Provide financial support for activities to benefit federally listed endangered and threatened terrestrial species to compensate for impacts to species resulting from the operation and maintenance of the Central Valley Project (CVP) of California, including helping to meet the requirements of State Water Resources Control Board Decision 1641 for the CVP Consolidated Place of Use.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for land acquisition, habitat restoration, research, and captive propagation of listed species. Funds must be applied to projects that benefit federally listed species impacted by the CVP. Projects must directly benefit at least one federally listed species.

Applicant Eligibility:

Anyone/general public; Any non-federal entity

Beneficiary Eligibility:

Anyone/general public; Any non-federal entity

Credentials/Documentation:

Application for Federal Assistance SF-424; Disclosure of Lobbying Activities SF-LLL. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication Coordination Preapplication coordination is recommended but not required. The Regional Assistance Location should be contacted regarding environmental compliance requirements, applicability of coverage under E.O. 12372, and other program requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements

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for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Financial Assistance Grants Officer determines awards based on recommendations from the program manager.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information is available for each specific project by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may be renewed as needed, not to exceed a total of 5 years. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time of assistance varies by project, depending on project objectives. Assistance is released on a reimbursement basis. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the financial assistance agreement document, recipients shall submit the following reports on a semi-annual or annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as copies of land acquisition closing documents and research publications. An annual and final SF-425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants FY 20 \$1,296,802.00; FY 21 est \$1,272,000.00; FY 22 est \$1,347,000.00;

Range and Average of Financial Assistance:

Range: \$ 25,000 to \$1,000,000 Average: \$ 450,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: McKinney Ranch conservation easement acquisition; Eplin Property acquisition; alkali scrub restoration at Kern National Wildlife Refuge; vernal pool crustacean genomic research. Fiscal Year 2021: FY 2021 accomplishment information not yet available. Fiscal Year 2022: FY 2022 accomplishment information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Division of Environmental Affairs

Headquarters Office:

Assistant Secretary of the Interior for Water and Science,
1849 C Street, N.W., Mail Stop MIB6640,
Washington DC 20240

Email: rswanson@uc.usbr.gov

Phone: (202) 208-3100

Fax: (202) 208-3100 Daniel Strait,

Region 10 - California-Great Basin Bureau of Reclamation,
Sacramento CA 95825

Email: dstrait@usbr.gov

Phone: 916-978-5052

Fax:

Website Address:

<http://www.usbr.gov/mp/cvpcp/>

RELATED PROGRAMS:

15.512 Central Valley Project Improvement Act, Title XXXIV;

EXAMPLES OF FUNDED PROJECTS:

2020 Funds may be used for land acquisition, habitat restoration, research, and captive propagation of listed species.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.565 Implementation of the Taos Pueblo Indian water rights settlement

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Taos Pueblo Indian Water Rights Settlement Act, Title V of Pub. L. 111-291 (Settlement Act).

OBJECTIVES:

The Claims Resolution Act of 2010 was signed into law on December 8, 2010, authorizing the settlement of two long-running New Mexico Indian water rights cases. Title V, the Taos Pueblo Indian Water Rights Settlement Act, authorizes implementation of the Abeyta (Taos Pueblo) settlement. The Settlement Act authorizes and directs the Bureau of Reclamation to provide financial assistance in the form of grants on a non-reimbursable basis to plan, permit, design, engineer, and construct Mutual-Benefit Projects that will minimize adverse effects on the Pueblos water resources by moving future non-Indian ground water pumping away from the Pueblos Buffalo Pasture, a culturally sensitive wetland. The Federal Team is working with the Mutual-Benefit Project parties as they get ready for the on-the-ground phase of settlement implementation. Reclamations Albuquerque Area Office is in the process of completing environmental compliance and awarding grants to the entities that are moving forward with their projects. The overall cost of the settlement is \$144 million, of which \$124 million would be paid by the Federal

ASSISTANCE LISTINGS DESCRIPTIONS

government and \$20 million by the State of New Mexico. The total federal contribution of \$124 million includes \$88.0 million directed to the Bureau of Indian Affairs for the Taos Pueblo Water Development Fund, and a \$36 million settlement fund (\$16 million mandatory and \$20 million discretionary funds) directed to Reclamation for the Mutual-Benefit Projects.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Section 507 of the Settlement Act provides that the financial assistance will be used to plan, permit, design, engineer, and construct the Mutual-Benefit Projects in accordance with the Settlement Agreement. Project grants (cooperative agreements) will be provided to the eligible non-Pueblo entities for the Mutual-Benefit Projects, as specified in the section 507(a) of the Settlement Act. The up to amount of financial assistance for each of the Mutual-Benefit Projects will be in accordance with the limitations specified in the Settlement Agreement.

Applicant Eligibility:

Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Only the eligible non-Pueblo entities identified in section 503(1) of the Settlement Act are eligible to receive financial assistance.

Beneficiary Eligibility:

Native American Organizations; Only the eligible non-Pueblo entities identified in section 503(1) are eligible to receive financial assistance.

Credentials/Documentation:

All of the eligible non-Pueblo entities are political subdivisions of the State of New Mexico, as provided in the Settlement Agreement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Section 509(e)(2) of the Settlement Act requires Reclamation to comply with each law of the federal government relating to the protection of the environment. The Mutual-Benefit Projects will require an environmental impact assessment, which will be completed before an eligible non-Pueblo may begin implementation of an assisted activity that requires environmental or other regulatory compliance approval. An environmental impact statement is required for this program. An environmental impact assessment is required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The award of the financial assistance is mandatory. See section 507(a) of the Settlement Act. Reclamation will conduct a workshop to inform and educate the eligible non-Pueblo entities about the procedures for entering into financial assistance agreements and the responsibilities of the parties. Section 509(d) authorizes the Secretary, acting through Reclamation, to enter into such agreements and to take such measures as the Secretary may deem necessary or appropriate to fulfill the intent of the Settlement Agreement and Settlement Act.

Award Procedure:

Reclamation will follow normal award procedures to provide mandatory financial assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each of the eligible non-Pueblo entities, as specified in the assistance agreement.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all eligible non-Pueblo entities with information about why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information

will be included in the financial assistance agreement. When renewals or extensions are applicable, continuation of funding for these activities is subject to the availability of the appropriations authorized in section 509(c)(2) of the Settlement Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding availability is dependent on Congressional appropriations. Method of awarding/releasing assistance: other

Reports:

Reports on an annual basis and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Reports on an annual basis Reports on an annual basis Unless otherwise stated in the agreement, a SF-425, Federal Financial Report, is required at least annually.

Upon completion of the agreement a final Federal Financial Report is due. Reports on an annual basis

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The eligible non-Pueblo entities will maintain project records in accordance with 2 CFR 200.333.

Account Identification:

14-2638-0-1-301. 14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$16,977,252.00; FY 21 est \$12,500,000.00; FY 22 est \$12,500,000.00;

Range and Average of Financial Assistance:

Range: \$8,000 to \$17,000,000 Average: \$16,977,000

TAFS Codes:

14-0680. 14-2638.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Work continues on 3 agreements for various construction projects in accordance with the Taos Indian Water Rights Settlement agreement.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Terina Perez,
555 Broadway NE, Suite 100,
Albuquerque NM 87102
Email: tperez@usbr.gov
Phone: 505-462-3614

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The award of the financial assistance is mandatory. See section 507(a) of the Settlement Act. Reclamation will conduct a workshop to inform and educate the eligible non-Pueblo entities about the procedures for entering into financial assistance agreements and the responsibilities of the parties.

ASSISTANCE LISTINGS DESCRIPTIONS

15.566 Upper Klamath Basin Hydrolic Analyses

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 106-498 Klamath Basin Water Supply Enhancement Act of 2000, as amended by PL 115-270 and PL 116-191,

OBJECTIVES:

To plan, implement, and administer programs to align water supplies and demand for irrigation water users associated with the Klamath Project, with a primary emphasis on programs developed or endorsed by local entities comprised of representatives of those water users. Activities are subject to appropriations and required environmental reviews. Expenditures may not exceed \$10 million on an average annual basis, and programs may not:(A) foster groundwater use that results in groundwater level declines that, based on existing data from the United States Geological Survey, are more than appropriate in a critically dry year, taking into consideration the long-term sustainability of aquifers;(B) adversely affect compliance with applicable laws protecting fishery resources in Upper Klamath Lake and the Klamath River.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use, Dissemination of Technical Information, Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Activities must be conducted pursuant to the reclamation laws and subject to appropriations and required environmental reviews. Programs may not--(A) foster groundwater use that results in groundwater level declines that, based on existing data from the United States Geological Survey, are more than appropriate in a critically dry year, taking into consideration the long-term sustainability of aquifers;(B) adversely affect compliance with applicable laws protecting fishery resources in Upper Klamath Lake and the Klamath River.

Applicant Eligibility:

Federal; Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federal - Department and establishment of the Federal government which are responsible for enforcement and the fulfillment of public policy. These departments and establishments directly administer and exercise jurisdiction over matters assigned to them, and are the administering agencies of Federal domestic assistance programs. Interstate - An organizational unit established by two or more States to coordinate certain regional programs relating usually to boundaries for the control and improvement of rivers for irrigation or water power, conservation of natural resources, public utility regulation, development of ports, regional educational development, and regional planning. State - Any agency or instrumentality of the fifty States and the District of Columbia excluding the political subdivisions of State, but including public institutions of higher education and hospitals. (This term does not include U.S. possessions or territories.) Local - Political subdivisions of a State created under general law or State charter that regulate and administer matters chiefly of local concern. These subdivisions include cities, parishes, counties, municipalities, towns, townships, villages, school districts, special districts, or agencies or instrumentalities of local government, exclusive of institutions of higher education and hospitals. Included are Indian tribes on State reservations, Indian school boards, and State-designated Indian tribes. Sponsored organizations - A public purpose group other than a unit of government that is a beneficiary under a plan or program administered by a State, or political subdivision of a State or local government, and which is subject to approval by a Federal agency. Usually organized to work for a specific purpose. Examples: Community development agencies, model cities, community action agencies. Public nonprofit institution/organizations - A publicly owned agency or organization established to

perform specialized functions or services for the benefit of all or part of the general public either without charge or at cost, making no profits and having no shareholders to receive dividends. Includes institutions of higher education and hospitals. Federally Recognized Indian Tribal Government - The governing body or a governmental agency of an Indian tribe, Nation, pueblo, or other organized group or community (including any Native village as defined in the Alaska Native Claims Settlement Act) certified by the special programs and services provided through the Bureau of Indian Affairs. Minority group - A group regarded as a subgroup of the majority to include African Americans, Americans of Spanish descent, Asians, and other nonwhite persons. It may include disadvantaged or under-represented groups, such as women, Vietnam-era veterans, and the physically challenged/disabled. Small business - A business of less than 500 employees, independently owned and not dominant in its field. (Detailed criteria are established by the Small Business Administration.) Profit organization - A public or private organization designed to produce product or deliver services to the public through a business enterprise which is structured and managed for profit. Private nonprofit institution/organization A privately owned organization or institution that represents community special interests through community service networks, public information, technical assistance, and public education. Operated exclusively for charitable, scientific, literary or educational purposes such that no part of its earnings is for the benefit of any private shareholder or individual. Includes private institutions of higher education and hospitals. Examples: Girl Scouts, American Civil Liberties Union. Other private institution/organization - A privately owned agency that operates for profit and disburses dividends to shareholders. Native American Organization - Groups of Indians to include urban Indian groups, cooperatives, corporations, partnerships, and associations. Also, include Indians as a minority group.

Beneficiary Eligibility:

Federal; Minority group; State; Local; Sponsored organization; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; .

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of funding opportunity announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area (indicate whether reviewers are Federal and/or non-Federal personnel). Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

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Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

5 year duration period (2016-2021). Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200.425 Audit Services nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953. All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$15,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Range: none to date Average: none to date

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No recent information available. New award to occur in FY21.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, 2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Michael Neuman,
6600 Washburn Way,
Klamath Falls OR 97603
Email: mneuman@usbr.gov
Phone: 541-880-2547

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 In FY21, Reclamation will award a non-competitive \$15 million cooperative agreement to the Klamath Project Drought Response Agency for drought response activities such as compensated land idling.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.567 Colorado River System Conservation Pilot

PSCP.

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Section 206 of the Consolidated and further Continuing Appropriations Act, 2015 (Public Law 113-235) and Agreement No. 14-XX-30-W0574 Agreement Among Reclamation, the Central Arizona Water Conservation District, the Metropolitan Water District of Southern California, Denver Water, and the Southern Nevada Water Authority For a Pilot Program for Funding the Creation of Colorado River System Water Through Voluntary Water Conservation and Reductions in Use,

OBJECTIVES:

The PSCP tests conservation projects that create system water through voluntary compensated reductions in water use. All water conserved as a result of the PSCP becomes system water with the sole purpose of increasing storage levels in Lakes Powell and Mead and does not accrue to the benefit of any individual user.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Pursuant to Sec. 206 of PL113-235 Pilot projects are authorized to be funded through grants to public entities that use water from the Colorado River Basin for municipal purposes for projects that are implemented by 1 or more non-Federal entities or grants or other appropriate financial agreements to provide additional funds for renewing or implementing water conservation agreements that are in existence on the date of enactment of this Act.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Eligibility of the Upper Colorado River Commission

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established through PL 113-235.

Beneficiary Eligibility:

Local; Pursuant to PL 113-235, participation in the Pilot Program is limited to Entitlement Holders in the Lower Colorado Division States and Colorado River Water Users in the Upper Colorado River Basin.

Credentials/Documentation:

Application for Federal Assistance SF-424; Disclosure of Lobbying Activities SF-LLL 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Single source award per requirements under PL 113-235

Award Procedure:

Financial Assistance Grants Officer determines awards based on recommendations from the program manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project.

Appeals:

Not Applicable. None.

Renewals:

Other. Program may generally be renewed on an annual basis, not to exceed September 30, 2018.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Authority under PL 113-235 expires September 30, 2018. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the financial assistance agreement document, recipients shall submit the following reports on a semi-annual or annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and other specific reports that may be applicable to the agreement such as copies of land acquisition closing documents and research publications.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub-recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$0Average: \$0

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Malcolm Wilson,
Upper Colorado Region, U.S. Bureau of Reclamation, 125 South State Street,
Salt Lake City UT 84138
Email: mmwilson@usbr.gov
Phone: .

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligibility established through PL 113-235.

15.569 Educational Outreach

Water Education Foundation Outreach,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 111-88

OBJECTIVES:

The Water Education Foundation has developed several programs and publications that educate various audiences on the importance of water resources in California, including the Sacramento-San Joaquin Delta.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Programs and publications that educate various audiences on the importance of water resources in California, including the Sacramento-San Joaquin Delta

Applicant Eligibility:

State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Per P.L. 111-88, Section 112: State or political subdivision or any not-for-profit organization

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

No requirements in addition to what is covered in 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Single source award per requirements under PL 111-88, Section 112

Award Procedure:

The applicant must submit SF 424 Application for Federal Assistance documents; detailed project technical descriptions and budgets; and all information required under the terms of the applicable appropriations law.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Contact Reclamation for the range of time when proposals are approved or disapproved.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Per P.L. 111-88, Section 112, all parties will contribute resources to the accomplishment to the programs objectives. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies depending on the type and complexity of the project. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise stated in the financial assistance agreement document, recipients shall submit the following reports on a semi-annual or annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement. Unless otherwise stated in the financial assistance agreement document, recipients shall submit the following reports on a semi-annual or annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a sub-recipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$250,000.00; FY 21 est \$500,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$250,000 - \$500,000 Average: \$250,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Selected accomplishments include virtual water tours, the creation of water education resources for the public, and training of teachers to provide water education lessons to students.

REGULATIONS, GUIDELINES, AND LITERATURE:

None

Regional or Local Office:

See Regional Assistance Locations. U.S. Bureau of Reclamation, California Great Basin Region, 801 I St. Suite 140 Sacramento, California 95814; phone: 916-414-2400; fax: 916-414-2439

Headquarters Office:

Larry Glover-Meade,
801 St. Suite 140,
Sacramento CA 95814
Email: lglovermeade@usbr.gov
Phone: 916-414-2400

Fax:

Website Address:

<http://www.usbr.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Water-related educational forums, water education, and water education publications.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.570 Bay-Delta Restoration Program

CALFED Water Use Efficiency Grants,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Title Omnibus Lands Management Act of 2009, P.L. 111-11, Part 9504, Section a OBJECTIVES:

The CALFED Water Use Efficiency Grant program promotes projects that emphasize water use efficiency and conservation activities that result in benefits for the California-Bay Delta.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding is made available for feasible urban and agricultural projects that improve ecosystem health (including protection of endangered and threatened species), water supply reliability, or water quality of the California Bay-Delta through water use efficiency and conservation measures that result in quantifiable and reliable water savings or improved water management.

Applicant Eligibility:

Non-Government - General; Indian tribes, irrigation districts, water districts, or other organizations with water or power delivery authority. Applicants must be located in the CALFED solution area. For the geographic extent of the CALFED Solution Area please refer to the map available at <https://www.usbr.gov/mp/watershare/docs/calfed-solution-area.pdf>.

Beneficiary Eligibility:

Anyone/general public; California Bay-Delta CALFED Solution Area

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, such as location, total project diversions, and relevant water use

ASSISTANCE LISTINGS DESCRIPTIONS

efficiency measurements, a detailed scope of work separating the work into major tasks, including any engineering or design work already completed, and describing data responsive to the evaluation criteria listed in the announcement. (2) When applicable, a funding plan showing how the applicant will pay for its 50 percent cost share requirement, and an official resolution adopted by the irrigation or water district's governing board of directors, resolving that, if selected, it will negotiate and execute a grant or cooperative agreement with Reclamation. (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation and maintenance costs, and the value of in-kind contributions of goods and services. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response to the announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. The Federal share of the cost of a project or activity carried out under the Program shall not exceed 50 percent of the total cost of the project or activity. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority for this Program shall be non-Federal responsibilities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In general, projects should be completed within 2 years of award. Applications for projects requiring more time will be considered for funding only under limited circumstances. Method of awarding/releasing assistance: Payment terms vary by agreement awarded under this program. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other

specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional requirements outside of 2 CFR 200.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention Requirements for Records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333. State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82.

Account Identification:

14-0687-0-1-301.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,300,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

Range: \$250,000 - \$1,000,000 Average: \$500,000

TAFS Codes:

14-0687.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Three California projects received \$1.3 million total in CALFED Water Use Efficiency grants for fiscal year 2020. Combined with local cost-share contributions, these projects are expected to implement about \$2.8 million in water management improvements during the next 24 months. The projects will conserve an estimated 2,548 acre-feet per year of water and better manage 10,000 acre-feet, contributing to the CALFED Bay-Delta Program objectives of improving ecosystem health, water supply reliability and water quality. California and federal agencies are partners in the 30-year Program (2000-2030). Fiscal Year 2021: Two California projects received \$1 million total in CALFED Water Use Efficiency grants for fiscal year 2021. Combined with local cost-share contributions, these projects are expected to implement about \$4.7 million in water management improvements during the next two years. The projects will conserve an estimated 1,200 acre-feet per year of water and better manage 8,160 acre-feet, contributing to the CALFED Bay-Delta Program objectives of improving ecosystem health, water supply reliability, and water quality. California and federal agencies are partners in the 30-year program (2000-2030).

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Anna Sutton CGB-410, Water Conservation 2800 Cottage Way Sacramento, CA 95825 asutton@usbr.gov (916) 978-5214

Headquarters Office:

U.S. Bureau of Reclamation, Attn: Anna Sutton,
2800 Cottage Way,
Sacramento CA 95825
Email: asutton@usbr.gov
Phone: 916-978-5214
Fax:

Website Address:

No Data Available.

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

15.507 Water SMART (Sustaining and Manage America's Resources for Tomorrow);

EXAMPLES OF FUNDED PROJECTS:

2020 Delta Diablo, \$364,387 Delta Diablo will upgrade their Recycled Water Facility near the City of Pittsburg, California by upgrading a sand backwash filter to a controlled backwash filtration system. By changing from a continuous to intermittent filtration process, the project will make available an additional 1,120 acre-feet annually over the 20-year life of the project. Annual water savings to the California Bay-Delta is estimated at 1,075 acre-feet annually. The total project cost is \$728,776 with a federal cost share of \$364,387. Shafter Wasco Irrigation District, \$500,000 Located near the City of Shafter, the Pipeline Improvement for Bell Recharge project will improve an existing pump station and install 1-mile of 21 PVC pipeline from the Central Valley Project Friant Kern Canal to the Bell Recharge Facilities. This new intertie will increase water conveyance for irrigation demand and recharge capacity by an average of 1,428 acre-feet annually over the 50-year life of the project. Annual water savings to the California Bay-Delta is estimated at 428 acre-feet annually. The total project cost is \$1,115,229 with a federal cost share of \$500,000. Sutter Extension Water District, \$422,700 The Looney Weir Modernization Project is located in Butte County, near the City of Biggs, along the Feather River. The project will better manage over 10,000 acre-feet of water annually over the 30-year project life by installing a new Langemann gate to increase conveyance capacity and reduce spillage. This new gate will allow for constant upstream water level control and will allow for more flexible regulation of release of deliveries. All water better managed by this project will benefit the California Bay-Delta. The total project cost is \$960,800 with a federal cost share of \$422,700. 2021 Semitropic Water Storage District, \$500,000 The B230 System Extension Project is in Kern County near the cities of Wasco and Shafter. The project will connect the B369 System Extension pipeline to Shafter-Wasco Irrigation Districts Leonard Avenue Intertie pipeline. It will also allow for conveyance of surplus Central Valley Project water to Semitropic Water Storage Districts landowners currently dependent on groundwater. By connecting these pipelines, this project will better manage 4,560 acre-feet of water annually over the 50-year life of the project and the benefits to the California Bay-Delta are estimated at 1,194 acre-feet annually. An acre foot is the amount of water that would cover an acre, about a football field, one foot deep. The total project cost is \$3,484,666 with a federal cost share of \$500,000. Shafter Wasco Irrigation District, \$500,000 Located near the City of Shafter, construction of the Merced Avenue Recharge Intertie Project will install new pipeline to convey surface water supplies to three farms for groundwater recharge. This new, innovative practice at the three farms will use perforated pipe installed under the root zone, enabling the fields to remain in production while subsurface groundwater recharge occurs. This new intertie will save an average of 1,200 acre-feet of water annually and better manage 3,600 acre-feet annually over the 50-year life of the project. Annual water savings to the California Bay-Delta is estimated at 756 acre-feet annually, while water management benefits to the California Bay-Delta are estimated at 2,270 acre-feet annually. The total project cost is \$1,199,231 with a federal cost share of \$500,000.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.571 Salton Sea Program

Salton Sea Program,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Reclamation Act of 1902, June 17, 1902; P.L. 102-575, Title XI, Reclamation Projects Authorization and Adjustment Act, October 30, 1992; P.L. 105 372, Salton Sea Reclamation Act of 1998, November 12, 1998, as amended by P.L. 108-7, Energy and Water Development Appropriations Act, 2003, Section 213, February 20, 2003; P.L. 108-361, Water Supply, Reliability and Environmental Improvement Act, October 25, 2004; Fish and Wildlife Coordination Act (FWCA) of 1934, P.L. 85-624 and Section 7(a) of FWCA of 1956; and Omnibus Public

Lands Management Act of 2009, March 30, 2009, P.L. 111-11, Section 9509,

OBJECTIVES:

Objectives of this program are to develop and implement restoration and management strategies at the Salton Sea that (1) enhance fish and wildlife health, (2) protect air quality, (3) provide opportunities for recreation use and economic development, and (4) maintain a secure Colorado River water supply.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Salton Sea Reclamation Act of 1998 (Public Law 105-372) directed the Secretary of the Interior, through Reclamation, to study options for managing the salinity and elevation of the Salton Sea to preserve fish and wildlife health and to enhance opportunities for recreation use and economic development while continuing the Salton Sea's use as a reservoir for irrigation drainage.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Other private institutions/organizations; Anyone/general public; All entities listed above are eligible for funding to develop and implement restoration and management strategies at the Salton Sea

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; State; Local; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Citizen; Anyone/general public

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements for this program may be completed for competitive awards, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov. For noncompetitive awards: written proposal may also be submitted directly to the program manager, including the activities, background, objectives, performance schedule, points of contacts, estimate of costs, etc. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For noncompetitive awards: A Notice of Intent to Award is announced on www.grants.gov providing the justification for the noncompetitive award. For competitive awards: Proposals received in response to the Funding Opportunity Announcement are reviewed based on a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the Funding Opportunity Announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

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deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are no specific restrictions for these projects; however, most of the projects are awarded for a 1 to 3-year period and funded on a year-by-year basis. No projects exceed a 5-year period. Method of awarding/releasing assistance: other

Reports:

Other specific reports may be applicable to the agreement such as property inventories, and patent and invention disclosures. Semi-annual SF 425, Federal Financial Report, and upon completion a final SF 425 as specified in the agreement terms and conditions. Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports on a semi-annual basis. Upon completion of the agreement, recipients shall submit a final Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Cooperative Agreements FY 20 \$796,131.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

Range: \$5,000 - \$1,200,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Restoration of North Shore Marina Access at Salton Sea in progress, and San Felipe Emerging Wetlands Pilot Project started.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Interior Region 8: Lower Colorado Basin Attn: Genevieve Johnson/Salton Sea Program Manager/PO Box 61470, Boulder City, NV 89006

Headquarters Office:

Genevieve Johnson,
Bureau of Reclamation, Interior Region 8: Lower Colorado Basin, PO Box 61470,
Boulder City NV 89007
Email: gjohnson@usbr.gov
Phone: 702-293-8054

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For competitive awards: specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. For noncompetitive awards: A Notice of Intent to Award is announced on www.grants.gov providing the justification for the noncompetitive award.

15.572 Agricultural Water Use Efficiency Program

AgWUE,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Law 111-11

OBJECTIVES:

The objective of the Agricultural Water Conservation Efficiency Program is to invite eligible applicants to leverage their money and resources by cost sharing with Reclamation on projects that save water, improve water management, increase water supply reliability, improve energy efficiency, and benefit endangered species. Projects that achieve this by directly enabling on-farm water conservation or water use efficiency projects that can be undertaken by farmers and ranchers remain a high priority for this program. Reclamation and the USDA's Natural Resource Conservation Service (NRCS) are encouraging water suppliers to work with farmers to identify the roles at play to facilitate and/or assist with on-farm improvements that contribute to water supply reliability in the State of California.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Payment terms vary by agreement awarded under this program.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Indian tribes, irrigation districts, water districts, and other organizations with water and/or power delivery authority located within the State of California. Those not eligible include but are not limited to the following entities: Federal Governments, institutions of higher education, individuals, 501(c)4 and 501(c) 6 organizations.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; Other private institution/organization; Benefits are for tribes, irrigation districts, water districts, and other organizations with water and/or power delivery authority to facilitate and/or assist with on-farm improvements that contribute to water supply reliability in the State of California.

Credentials/Documentation:

(1) A detailed written technical proposal including background data regarding the applicant, such as location, total project diversions, and relevant water use

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efficiency measurements, a detailed scope of work separating the work into major tasks, including any engineering or design work already completed, and describing data responsive to the evaluation criteria listed in the announcement. (2) When applicable, a funding plan showing how the applicant will pay for its 50 percent cost share requirement, and an official resolution adopted by the irrigation or water district's governing board of directors, resolving that, if selected, it will negotiate and execute a grant or cooperative agreement with Reclamation. (3) A detailed project cost proposal, including a budget with the annual estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, an estimate of annual operation and maintenance costs, and the value of in-kind contributions of goods and services. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response to the announcement are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Reclamation will make awards to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Not Applicable.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the Notice of Funding Opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the cost of a project or activity carried out under the Program shall not exceed 50 percent of the total cost of the project or activity. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority for this Program shall be nonfederal responsibilities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In general, projects should be completed within 2 years of award. Applications for projects requiring more time will be considered for funding only under limited circumstances. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a semi-annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable

to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on a semi-annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$1,658,870.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Range: \$50,000 - \$1,000,000 Average: \$798,000

TAES Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Selection of two recipients for a total of \$1,658,870 in FY 2020 Federal funding. Fiscal Year 2021: FY 2021 accomplishment information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None.

Headquarters Office:

Thomas Hawes,
Interior Region 10, California-Great Basin 2800 Cottage Way (CGB-400),
Sacramento CA 95825
Email: thawes@usbr.gov
Phone: 916-978-5271
Fax:

Website Address:

<http://www.usbr.gov/mp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.573 Temperature Control Devices at Folsom Dam

EID TCD,

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 105-295

OBJECTIVES:

This project will assist the Recipient in accomplishing the public purpose of preserving the cold water habitat in Folsom Reservoir, and downstream in the Lower American River, in order to support critical life stage development of Endangered Species Act (ESA)-listed fish species including Central Valley Steelhead and fall-run Chinook Salmon.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This assistance will be used by El Dorado Irrigation District to construct a temperature control device at Folsom Dam.

Applicant Eligibility:

Other private institutions/organizations; Specialized group (e.g. health professionals, students, veterans); El Dorado Irrigation District is eligible for this assistance.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamations California Great Basin Region finalizes that which is to be included in agreements negotiated under this authority.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. A 50 percent non-Federal cost share is required by Public Law 105-295, Section 1, as amended by Public Law 108-137, Section 219(a).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Payment terms vary by agreement awarded under this program. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a semi-annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on a semi-annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$2,190,000.00; FY 21 est \$2,010,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$1,000,000 - \$2,000,000 Average: \$1,200,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: EID completed regulatory permit requirements. Contractor began project construction and anticipated completion in December 2021. Fiscal Year 2021: FY 2021 accomplishment information not yet available. Fiscal Year 2022: FY 2022 accomplishment information not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Sarah Perrin,

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Interior Region 10 California-Great BasinCentral California Area Office7794
Folsom Dam Road,
Folsom CA 95629
Email: sperrin@usbr.gov
Phone: 916-537-7063
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 El Dorado Irrigation District (EID) temperature control device construction.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.574 Water Storage Enhancement

FEDERAL AGENCY:

BUREAU OF RECLAMATION, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Law 114-322

OBJECTIVES:

Section 4007 of Public Law 114-322, also known as The Water Infrastructure Improvements for the Nation (WIIN) Act allows the Secretary of the Interior to negotiate and enter into an agreement on behalf of the United States for the design, study and construction or expansion of federally owned or State-led water storage project. The Secretary may participate in a federally owned storage project in an amount equal to not more than 50 percent of the total cost of the federally owned storage project, (4007(b)(2)) and may participate in a State-led storage project in an amount equal to not more than 25 percent of the total cost of the State-led storage project (4007(c)(1)). In return for this cost-share, at least a proportional share of the project benefits are the Federal benefits, including water supplies dedicated to specific purposes such as environmental enhancement and wildlife refuges (4007(c)(2)(C)). To be eligible for funding, the Secretary of the Interior must have determined the project to be feasible before January 1, 2021.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The WIIN Act Section 4007(b) allows the Secretary to participate in federally owned storage projects in a Reclamation State to which the United States holds title and that was authorized to be constructed, operated and maintained pursuant to reclamation laws. The WIIN Act Section 4007(c) allows the Secretary to participate in State-led water storage projects that are constructed, operated, and maintained by a State agency or agency organized pursuant to State law and provide a benefit in meeting any obligation under Federal law, including regulations.

Applicant Eligibility:

State; Any State, department, agency, or subdivision of a State, or any public agency organized pursuant to State law.

Beneficiary Eligibility:

Anyone/general public; Water users, including municipal, industrial, agricultural, and environmental that benefit from the additional water storage.

Credentials/Documentation:

To be eligible to receive funding for construction activities, a water storage project must be specifically authorized under the amendments in section 4007 of the Water Infrastructure Improvements for the Nation (WIIN) Act and the Secretary of the Interior must have determined the project to be feasible before January 1, 2021. Reclamation will proceed with expenditure of construction funding once a project has been found feasible, a cost sharing agreement has been signed with a non-Federal partner for a construction project, and the project has been named in appropriations legislation. These include: (1) a finding that the feasibility study

meets the requirements of WIIN; (2) complete compliance with the National Environmental Policy Act and other environmental clearances; (3) an approved determination of financial capability; and (4) an executed cooperative agreement for financial assistance. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Contact the headquarters or regional location, as appropriate.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must submit all applicable SF-424 forms; and a statement of work separating the work into major tasks, level of cooperation with parties involved, and how the subject proposal will provide the outcome sought.

Award Procedure:

Maximum funding amount is dependent upon the approved allocation of appropriations from Congress.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project or activity.

Appeals:

Not Applicable.

Renewals:

Other. Continuation of funding from one fiscal year to the next is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The Secretary may participate in a federally owned storage project in an amount equal to not more than 50 percent of the total cost of the federally owned storage project, (4007(b)(2)) and may participate in a State-led storage project in an amount equal to not more than 25 percent of the total cost of the State-led storage project (4007(c)(1)). The Federal share of the costs of a project or activity carried out under this Program may vary dependent upon activity and authority. Costs of operation, maintenance, repair and rehabilitation of facilities shall be a non-federal responsibility. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Reclamation will proceed with expenditure of construction funding once a project has been found feasible and a cost sharing agreement has been signed with a non-Federal partner for a construction project. Multi-year projects may be considered if justified and annual accomplishments can be demonstrated. Method of awarding/releasing assistance: Payment terms vary by agreement awarded under this program. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-

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425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Nonfederal entities that expend \$750,000 or more a year in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All recipients of Federal awards shall maintain project records in accordance with 2 CFR 200.333 - Retention requirements for records. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities, except as noted in 2 CFR 200.333.

Account Identification:

14-0680-0-1-301.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$17,755,000.00; FY 21 est \$254,595,000.00; FY 22 est \$100,000,000.00;

Range and Average of Financial Assistance:

Range: \$750,000 to \$200,000,000 Average: \$13,000,000

TAFS Codes:

14-0680.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funds were allocated within Reclamation for FY 2018, FY 2020, and FY 2021 for water storage projects. Construction funds have been allocated for five projects, pre-construction funds have been allocated for five projects, and ten studies have been named in appropriations legislation.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS and the applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Assistance Locations. Carrie Diroll Technical/Program Information: Bureau of Reclamation, Reclamation Law Administration Division Policy and Programs Attn: Carrie Diroll, Mail Code: 84-55000, Denver Federal Center, P.O. Box 25007, Denver, CO 80225 cdiroll@usbr.gov (303) 445-2835

Headquarters Office:

Carrie Diroll,
Bureau of Reclamation Attn: Carrie Diroll (MC 86-55000) P.O. Box 25007,
Denver CO 80225
Email: cdiroll@usbr.gov
Phone: 303-445-2835

Fax:

Website Address:

<https://www.usbr.gov/mp/wiin-act/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Work on environmental impact statements and environmental impact reports for projects. Work on obtaining various permits and regulatory authorizations before beginning project construction.

CRITERIA FOR SELECTING PROPOSALS:

Reclamation is only able to fund projects that are named in appropriations legislation. To be eligible for funding, the Secretary of the Interior must have determined the project to be feasible before January 1, 2021.

15.605 Sport Fish Restoration

Dingell-Johnson Sport Fish Restoration Program,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Dingell-Johnson Sport Fish Restoration Act Sport Fish Restoration (16 U.S.C. 777 et seq., except 777e-1 and g-1).

OBJECTIVES:

Sport Fish Restoration funds support activities designed to restore, conserve, manage, or enhance sport fish populations; the public use and benefits from these resources; and activities that provide boat access to public waters. Sport fisheries research and management activities; boating access development and maintenance; aquatic resource education activities; lake construction and maintenance; land acquisition; technical assistance; planning; habitat enhancement; administration; coordination; and hatchery construction are eligible under the Act.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Approvable activities include land acquisition; development (including boating access sites); research; operations and maintenance; sport fish population management; and program coordination. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of sport fish that includes a prohibition against the diversion of license fees paid by anglers for any purpose other than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

State; General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these fishery conservation measures.)

Credentials/Documentation:

Each year within 60 days of the apportionment notice, States, the District of Columbia, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, the District of Columbia, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid angler license holders. Allowable costs are determined in accordance with 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State

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has selected the program for review. The Wildlife and Sport Fish Restoration Program staff can be contacted by Region or at our Headquarters office. Contact information is located at our website, <http://wsfrprograms.fws.gov/Subpages/ContactUs/ContactUs.htm>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 30 days.

Appeals:

Other. Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Other. Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. State apportionments must be matched with 25% of the total costs of a grant project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Sport Fish Restoration Program funds are available each Fiscal Year and must be obligated within two years. Method of awarding/releasing assistance: other

Reports:

A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Federal Financial Report.

Account Identification:

14-8151-0-7-303.

Obligations:

Formula Grants FY 20 \$369,725,164.00; FY 21 est \$414,260,452.00; FY 22 est \$600,000,000.00;

Range and Average of Financial Assistance:

Range is \$900,000 to \$14,700,000; Average \$5,200,000.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Program received 597 applications and issued 597 awards.

Fiscal Year 2021: Program has not yet completed selecting projects for funding.

The program anticipates funding projects that include: research, operation and maintenance of fish hatcheries, sport fish habitat restoration, land acquisition for sport fish habitat or public access for sport fishing, technical guidance, coordination, recreational boating access, aquatic education, and outreach. Fiscal Year 2022: Program has not yet completed selecting projects for funding. The program anticipates funding projects that include: research, operation and maintenance of fish hatcheries, sport fish habitat restoration, land acquisition for sport fish habitat or public access for sport fishing, technical guidance, coordination, recreational boating access, aquatic education, and outreach.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80 contains the program regulations for this program. Matching and cost-sharing requirements are discussed in 50 CFR 80.85 and 2 CFR 200.306.

Applicants can visit these regulations and guidelines at

<http://fawiki.fws.gov/display/WTK/Toolkit+Homepage>

Regional or Local Office:

None. Region 1 - Pacific Region (Idaho, Oregon, Washington, Hawaii, Pacific Islands) Paul Hayduk, 503-736-4780 Region 2 - Southwest Region (Arizona, New Mexico, Oklahoma, Texas) Kelly Oliver-Amy, 505-248-7457 Region 3 - Midwest Region (Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin) Ann Schneider, 612-713-5146 Region 4 - Southeast Region (Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, U.S. Virgin Islands) Marilyn Lawal, 404-679-7277 Region 5 - Northeast Region (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia) Ron Essig, 413-253-8504 Region 6 - Mountain-Prairie Region (Colorado, Idaho, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming) Michael Cotter, 303-236-8179 Region 7 - Alaska Region (Alaska) Mary Price, 907-786-3982 Region 8 - Pacific Southeast Region (California, Nevada) Larry Riley, 916-978-6182.

Headquarters Office:

Director, Policy and Programs,
U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church VA 22041

Email: r9wsfr_programs@fws.gov

Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>

RELATED PROGRAMS:

15.611 Wildlife Restoration; 11.407 Interjurisdictional Fisheries Act of 1986;

15.608 Fish and Wildlife Management Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 The Program provides funding to restore, conserve, manage, or enhance sport fish populations and the public use and benefits from these resources; to educate the public about aquatic resources; and to provide boating access to public waters.

2021 Program has not yet selected projects for funding. Program anticipates funding projects that increase sport fishing and boating opportunities through wise investment of excise tax dollars in sport fishery development, research, and management projects. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that increase sport fishing and boating opportunities through wise investment of excise tax dollars in sport fishery development, research, and management projects.

CRITERIA FOR SELECTING PROPOSALS:

The States, the District of Columbia, Commonwealths, or territorial agencies having lead responsibility for the management of their fish resources must submit the projects. If approved, projects must meet the basic criteria outlined in the regulations and the U.S. Fish and Wildlife Service Manual.

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15.608 Fish and Wildlife Management Assistance

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Nonindigenous Aquatic Nuisance Prevention and Control Act-State aquatic nuisance species management plans (16 U.S.C. 4724) and Regional coordination (16 U.S.C. 4723); Fish and Wildlife Coordination Act-Cooperation of agencies (16 U.S.C. 661); Conservation Enhancement Act-National Fish Habitat Conservation Through Partnerships (Pub. L. 116188, title II, 201, 134 Stat. 924); Great Lakes Fish and Wildlife Restoration Act (16 U.S.C. 941 et seq.); Great Lakes Fishery Act (16 U.S.C. 953 et seq.).

OBJECTIVES:

The objectives of the Fish and Aquatic Conservation activities conducted under this program are as follows: State and Interstate Aquatic Nuisance Species Management Plans (ANS Plans): To provide funding to States to implement ANS Plans approved by the ANS Task Force, as authorized in the Nonindigenous Aquatic Nuisance Prevention and Control Act (NANPCA). The plans identify activities needed to eliminate or reduce the risks associated with ANS, focusing on feasible, cost-effective management efforts to prevent and control ANS infestations in an environmentally sound manner. Only States with approved ANS Plans are eligible for this annual funding. Aquatic Nuisance Species (ANS) Task Force Regional Panels: To provide funding to the ANS Task Force established by the NANPCA. The Task Force implements a program for waters of the United States to prevent introduction and dispersal of ANS, monitor, control, and study such species, and disseminate related information. Task Force activities include six regional panels that engage a broad spectrum of stakeholders to provide balanced advice to the Task Force on issues of regional significance. The program helps the panels fulfill their responsibilities under the NANPCA including identifying regional priorities, coordinating regional activities, providing advice pertinent to regional issues, and making recommendations and reporting to the Task Force. National Fish Passage (NFP): To restore native fish and other aquatic species to self-sustaining levels by reconnecting habitat that barriers have fragmented, where such reconnection would not result in a net negative ecological effect such as providing increased habitat to aquatic nuisance species. Fish passage projects restore unimpeded flows and fish movement by removing barriers or providing ways for aquatic species to bypass them. The program works on a voluntary basis and provides support to Federal, State, local, and tribal agencies, as well as private partners and stakeholders. National Fish Habitat Partnership (NFHP): To comprehensively address the causes of fish habitat decline by working together with partners to maximize the impact of limited funding for fish habitat conservation. Under NFHP, Federal, State, Tribal, and privately-raised funds are leveraged through regional Fish Habitat Partnerships to address the fish habitat challenges. More information about the Fish Habitat Partnerships and their partners can be found online at www.fishhabitat.org. Great Lakes Fish and Wildlife Restoration Act (GLFWRA): To provide assistance to States, Indian Tribes, and other interested entities to encourage cooperative conservation, restoration, research, and management of the fish and wildlife resources and their habitats in the Great Lakes Basin. Sea Lamprey Control (SLC): To work with partners to assess sea lamprey abundance in the Great Lakes by monitoring the spring spawning migration in tributaries. Population assessments allow the Service to estimate the index of adult sea lampreys and evaluate the success of the Sea Lamprey Control Program. Invasive Carp: To work with partners to assess priority needs across the Mississippi River Sub-basins (Upper Mississippi River, Missouri River, Arkansas-Red-White River, Lower Mississippi River, Tennessee Cumberland River, and Ohio River including in Kentucky Lake, Lake Barkley) and the Great Lakes, to determine the most effective allocation of resources to support goals identified in the Management and Control Plan for Bighead, Black, Grass, and Silver Carps in the United States (National Plan).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to conduct fish and wildlife management activities that align with the conservation, restoration, and management goals and priorities of the Fish

and Wildlife Conservation Offices. This includes goals and priorities identified by the National Fish Passage Program (NFPP), the individual partnerships under the National Fish Habitat Partnership (NFHP), and work conducted under the Strategic Plan. Restoration work can consist of habitat construction activities such as culvert replacements, dam removals, fish way construction, installation of fish habitat structures and vegetation plantings. Examples of funded activities include habitat restoration (stream improvements or deconstruction of barriers to increase quality of aquatic habitats), monitoring and assessment, removal of barriers to passage, fish propagation, and aquatic plant establishment. This also includes efforts to minimize the establishment, spread, and impact of aquatic invasive species, including those efforts conducted under the auspices of the State/Interstate Aquatic Nuisance Species (ANS) Management Plan Grant Program. Technical assistance in the form of advice on biological, chemical, and/or physical aspects of a project is also available to awardees. Awardees are expected to include a public outreach component in their project. Applicants applying for State/Interstate ANS Management Plan funds must be a State or Interstate organization with an ANS Task Force approved plan.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Interstate; Intrastate; ANS Plans: Limited to any state or interstate organization of states and Tribes with an ANS Management Plan approved by the ANS Task Force. ANS Panels: Limited to the six organizations responsible for administering and managing ANS Task Force Regional Panels. NFP: Entities must be willing and able to implement program projects collaboratively with the Service. NFHP: Limited to entities associated with a Fish Habitat Partnership recognized by the National Fish Habitat Partnership Board.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; American Indian; State; Local; Public nonprofit institution/organization; ANS Plans: Limited to any state or interstate organization of states and Tribes with an ANS Management Plan approved by the ANS Task Force. ANS Panels: Limited to the six organizations responsible for administering and managing ANS Task Force Regional Panels. NFP: Entities must be willing and able to implement program projects collaboratively with the Service. NFHP: Limited to entities associated with a Fish Habitat Partnership recognized by the National Fish Habitat Partnership Board.

Credentials/Documentation:

For GLFWRA, Regional Project proposals must include the signature or other written documentation of all State Directors and Tribal Chairs who support the project (or joint signatures or letter of support from the supervisors of the agencies fish and wildlife programs on behalf of the State Director or Tribal Chair). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. ANS Plans: Information on this programs pre-proposal requirements and deadlines are provided in Notices of Funding Opportunity on Grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An approved State ANS Management Plan is needed for the State ANS Management Plan Grant program. See www.Grants.gov for additional information and application procedures. To apply for NFHP funds, applicants must contact the appropriate Fish Habitat Partnership or visit www.Grants.gov for application procedures and requirements. To apply for NFPP funds, applicants should visit www.Grants.gov for application procedures and requirements. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

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Award Procedure:

Successful applicants will receive a Notice of Award electronically via the Departments grants management system (currently GrantSolutions). For ANS Plans and Panels, applicants can anticipate awards between late spring and summer. For GLFWRA, successful applicants can anticipate receiving awards by August or September.

Deadlines:

Deadlines vary by subprogram. ANS Plans and Panels: Contact the headquarters or regional aquatic invasive species coordinator, as appropriate, for application deadlines. NFP, NFHP and SLC: Contact the headquarters or regional location, as appropriate, for application deadlines. GLFWRA: See the program's Notices of Funding Opportunity on Grants.gov for program contact and annual application deadlines.

Range of Approval/Disapproval Time:

Other. NFP projects are selected for funding on an ongoing basis throughout the year. Awards are approved annually. Unfunded projects that are ranked highly by the Regional Directors are maintained in a FWS database until the project is funded or no longer viable. NFHP project applications are submitted through Fish Habitat Partnerships. Contact Fish Habitat Partnerships for information on approval/disapproval time. GLFWRA successful and unsuccessful applicants receive an email notification within 2 weeks after a pre-proposal, full proposal, or Regional Project has been selected for the respective phase.

Appeals:

Not Applicable. None

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Mandatory matching or cost-sharing requirements vary by subprogram. ANS Plans: Section 4724 (b)(3)(a) of the Nonindigenous Aquatic Nuisance Prevention and Control Act requires recipients to provide 25% cost share. GLFWRA: Per the requirements and exceptions in 16 U.S.C. 941 et seq., 25% of project costs shall be paid in cash or in-kind contributions by non-Federal sources. For all subprograms except those with mandatory match requirements, applicants are encouraged but not required to provide voluntary committed cost share. Overall value and leverage potential for the Services funding is a factor in project selection. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies by subprogram and complexity of approved projects. Awarded funds must be spent prior to the appropriations cancellation date. Method of awarding/releasing assistance: other

Reports:

See award notice for reporting requirements and procedures. Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$22,066,316.00; FY 21 est \$25,861,710.00; FY 22 est \$27,514,300.00;

Range and Average of Financial Assistance:

Varies by subprogram. ANS Plans funding is divided evenly among eligible approved plans. ANS Panel awards are around \$50,000 each annually. NFP range is \$20,000-\$200,000. NFHP range is \$5,000-\$150,000. GLFWRA range for regional projects is \$180-\$565,000 and for restoration and research projects is \$2,300-\$2,000,000, with the average at \$130,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: ANS Plans: Funded 39 state and 3 interstate plans approved by the Task Force. ANS Panels: Funded 6 regional ANS Task Force Panels. NFP: Together with partners identified over 700 projects and provided support for 100 of those. NFHP: Provided support for over 80 projects. GLFWRA: Provided support for 6 research and restoration projects and three regional projects. SLC: Provided support for five projects. Fiscal Year 2021: Projects not yet selected for funding. Fiscal Year 2022: Funding not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Fish Passage Policy 710 FW 1 (<http://www.fws.gov/policy/710FW1.html>) and the National Fish Habitat Action Plan Policy (<http://www.fws.gov/policy/717fw1.html>). Annual reports, special reports, and Fish and Wildlife Management Plans are also available at www.fws.gov/fisheries.

Regional or Local Office:

See Regional Assistance Locations. NFP and NFHP: Michael Bailey, USFWS, Fish and Aquatic Conservation, 5275 Leesburg Pike, Falls Church, VA 22041-3803. 703-785-7126, Michael_Bailey@fws.gov ANS Plans: Donald R. MacLean, USFWS, Fish and Aquatic Conservation, 5275 Leesburg Pike, Falls Church, VA 22041-3803. Phone: 703-358-2108; Email: don_maclean@fws.gov ANS Panels: Susan Pasko, Executive Secretary of the ANS Task Force, USFWS, Fish and Aquatic Conservation, 5275 Leesburg Pike, Falls Church, VA 22041-3803. Phone: 703-358-2466; Email: susan_pasko@fws.gov GLFWRA: Rick Westerhof, Great Lakes Region 3 Fisheries, 6623 Turner Road, Elmira, MI 49730. Phone: 231-282-2736. Email: rick_westerhof@fws.gov <https://www.fws.gov/fisheries/fish-passage.html> <http://www.fishhabitat.org/> <http://www.fws.gov/midwest/Fisheries/glfwra-grants.html> <https://www.fws.gov/midwest/SeaLamprey/> <https://www.fws.gov/midwest/fisheries/asian-carp.html>

Headquarters Office:

Julie Jackson,
Fish and Aquatic Conservation, U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: FAC,,
Falls Church VA 22041-3803
Email: Julie_jackson@fws.gov
Phone: (703) 358-2373

Website Address:

<https://www.fws.gov/fisheries/fish-passage.html>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.631 Partners for Fish and Wildlife;

EXAMPLES OF FUNDED PROJECTS:

2020 ANS Plans: Funding provided support for state coordinators, outreach, watercraft inspection and decontamination, species risk assessments, monitoring, small-scale research and control projects, coordination, and purchase of field equipment. ANS Panels: Activities included identification of regional priorities,

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coordinating regional activities, providing advice pertinent to regional issues, and making recommendations and reporting to the Task Force. NFP: Supported activities included culvert replacement, dam removal, and fish way construction. NFHP: Supported activities included oyster reef restoration, installation of structures in reservoirs, culvert replacement, dam removal, water quality monitoring, and vegetation plantings. GLFWRA: Provided funding for the following research and restoration projects: Great Lakes mallard movements, habitat selection, survival and productivity; resolution of nutritional deficiencies in captive bloater (*Coregonus hoyi*) brood stock gametes to advance Coregonine restoration; development of a basis for allocation of fish production between management needs and double-crested cormorant predation within their nesting range; spatial variation in lake whitefish recruitment in Lake Michigan investigating the potential roles of zooplankton prey and the relative fitness of age-0 fish; Lake Butte des Morts Wetland and common tern habitat restoration; spawning site selection by lake whitefish at two spawning grounds in northeast Lake Michigan; a collaborative partnership to demonstrate restoration of early successional wet meadow in northeast Ohio; and development and application of eDNA biodiversity survey tools to identify critical habitat for wetland herpetological communities in the Great Lakes. SL: Provided support to and worked cooperatively with Indian tribes and other partner entities to carry out adult and juvenile sea lamprey assessment and lampricide treatment. 2021 Projects not yet selected for funding. 2022 Funding not yet available.

CRITERIA FOR SELECTING PROPOSALS:

The criteria varies by subprogram. For all competitive subprograms, the specific criteria used to select proposals for funding are described in all Notices of Funding Opportunity posted on Grants.gov. Additionally, for NFP, see the Services Fish Passage Program Policy at <https://www.fws.gov/policy/710fw1.html>. Conservation activities and projects under NFPP are selected on a regional basis based upon regional focus areas. Projects that meet region-specific priorities will receive higher priority, though staff are not prohibited from implementing high-value habitat improvement projects outside of these priorities areas. For NFHP, see the Services National Fish Habitat Action Plan Policy at <https://www.fws.gov/policy/717fw1.html>. To be considered for funding, proposed projects must be submitted as part of an FHPs Work Plan and Accomplishments Report (Report). Contact the individual FHP for more information on their respective project selection criteria (<http://www.fishhabitat.org/the-partnerships/>). After review and selection, FHPs will submit the proposed project to the Service for funding consideration as part of their Work Plan and Accomplishments Report. For GLFWRA, the Proposal Review Committee (PRC) is comprised of two representatives (one fishery expert and one wildlife expert) of each of the State Directors (New York, Ohio, Indiana, Illinois, Michigan, Wisconsin, Minnesota and Pennsylvania) and Indian Tribes (Chippewa Ottawa Resource Authority, Great Lakes Indian Fish and Wildlife Commission, Keweenaw Bay Indian Community, Seneca Nation, Oneida Nation, and Fond du Lac Band of Lake Superior Chippewa) in the Great Lakes Basin. They are appointed by the State Director or Indian Tribe to serve on the PRC. The PRC is responsible for review of research, restoration and Regional Project proposals and makes funding recommendations to the Services Midwest Regional Director. The PRC operates under the guidance of the Service. For restoration and research projects, applicants must submit pre-proposals and based on PRC ranking some are invited to submit full proposals, which are peer reviewed and summary of those are sent to the PRC. The PRC then makes final funding recommendations to the Services Midwest Regional Director. For Regional Projects, proposals are scored and ranked by the PRC. Applicants are invited to give a presentation on their proposal to the PRC. The PRC makes recommendations to the Midwest Region Director on how much of the annual appropriations should be allocated to Regional Projects and which projects should be developed into final Service work plans for funding.

15.611 Wildlife Restoration and Basic Hunter Education

(Pittman-Robertson Wildlife Restoration Program),

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Pittman-Robertson Wildlife Restoration Act/Wildlife Restoration and Basic Hunter Education (16 U.S.C. 669 et seq., except as Pub. L. 106-553 is applied) as amended Target Practice and Marksmanship Training Support Act (Public Law 116-17, May 10, 2019), as amended Modernizing the Pittman-Robertson Fund for Tomorrows Needs Act (Public Law 116-94, December 20, 2019).

OBJECTIVES:

The Act provides grants to State, Commonwealth, and territorial fish and wildlife agencies for projects to restore, conserve, manage, and enhance wild birds and mammals and their habitat. Projects also include providing public use and access to wildlife resources; hunter education and safety; the development and management of shooting ranges, and the promotion of hunting and recreational shooting.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Approved activities include selection, restoration, rehabilitation, and improvement of wildlife habitat; wildlife management research; wildlife population surveys and inventories; land acquisition; coordination; development of facilities; facilities and services for conducting hunter safety. Law enforcement is not eligible under the Act.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for conservation of wildlife that includes a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

State; General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these wildlife conservation measures.)

Credentials/Documentation:

Each year within 60 days of the apportionment notice, States, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid hunter license holders. Allocable costs are determined in accordance with 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Average 30 days.

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Appeals:

Other. Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the Fish and Wildlife Service.

Renewals:

Other. Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 16 U.S.C. 669b.Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Grant funds may be disbursed to States for up to 75 percent of the total cost of a project. Grant funds may be disbursed from 75 to 100 percent of the total project costs to the Commonwealths of Puerto Rico, Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa. Each Regional Director decides on the specific Federal share between 75 and 100 percent based on what he or she decides is fair, just, and equitable.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Wildlife Restoration and Basic Hunter Education Program funds are available each Fiscal Year and must be obligated within two years. A State may allocate up to 10 percent of its apportioned WR funds to supplement Enhanced Hunter Education (EHE) funds for acquiring land for, expanding, or constructing public target ranges. The allocated WR funds, in addition to Basic and EHE funds allocated for the same activities, will be available for 5 years with up to 90 percent Federal cost share for those specific activities. Method of awarding/releasing assistance: other

Reports:

Recipients must submit a final performance report no later than 90 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit annual interim reports. Program will detail all performance reporting requirements, including frequency and due dates, in the notice of award letter. Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each grant. Records, accounts and supporting documents must be retained for three years after submission of the Federal Financial Report (SF 425).

Account Identification:

14-5029-0-2-303.

Obligations:

Formula Grants FY 20 \$601,827,014.00; FY 21 est \$678,894,449.00; FY 22 est \$700,000,000.00;

Range and Average of Financial Assistance:

Range is \$268,000 to \$7,187,000; Average \$2,750,000.

TAFS Codes:

14-5029.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program receives and funds approximately 635 grant proposals annually. Fiscal Year 2021: Program has not yet completed selecting projects for funding. Fiscal Year 2022: Program has not yet completed selecting projects for funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80 contains the program regulation for this program. Matching and cost-sharing requirements are discussed in 50CFR 80.85 and 2 CFR 200.306. Applicants can visit these regulations and guidance at <http://fawiki.fws.gov/display/WTK/Toolkit+Homepage>.

Regional or Local Office:

None. See Regional Agency Office Information: Wildlife RestorationRegion 1, Pacific Region (Idaho, Oregon, Washington, Hawaii, Pacific Islands) Heather Hollis, 503-231-6233 .Region 2, Southwest Region (Arizona, New Mexico, Oklahoma, Texas) Nicole Jimenez, 505-248-7466.Region 3, Midwest Region (Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin) Jessica Piispanen, 612-713-5145. Region 4, Southeast Region (Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, U.S. Virgin Islands) Diana Swan, 404-679-7058.Region 5, Northeast Region (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia) Tom Decker, 413-253-8502.Region 6, Mountain-Prairie Region (Colorado, Idaho, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming) Otto Jose, 303-236-8156.Region 7, Alaska Region (Alaska) Doug McBride, 907-786-3631.Region 8, Pacific Southeast Region (California, Nevada) Justin Cutler, 916-414-6457.Basic Hunter EducationRegion 1, Pacific Region (Idaho, Oregon, Washington, Hawaii, Pacific Islands) Barb Behan, 503-231-2066.Region 2, Southwest Region (Arizona, New Mexico, Oklahoma, Texas) Andrew Ortiz 505-248-7459. Region 3, Midwest Region (Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin) Fabian Romero, 612-713-5145.Region 4, Southeast Region (Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, U.S. Virgin Islands) Diana Swan, 404-679-7058.Region 5, Northeast Region (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia) Richard Zane, 413-253-8506.Region 6, Mountain-Prairie Region (Colorado, Idaho, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming) Otto Jose, 303-236-8156.Region 7, Alaska Region (Alaska) Doug McBride, 907-786-3631.Region 8, Pacific Southeast Region (California, Nevada) Justin Cutler, 916-414-6457.

Headquarters Office:

Policy and Programs,
U.S. Fish & Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church VA 22041
Email: r9wsfr_programs@fws.gov
Phone: (703) 358-2156

Website Address:

<http://wsfrprograms.fws.gov/>

RELATED PROGRAMS:

15.626 Hunter Education and Safety Program; 15.605 Sport Fish Restoration Program; 15.615 Cooperative Endangered Species Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

2020 The Program funds the selection, restoration, rehabilitation, and improvement of wildlife habitat; wildlife management research; wildlife population surveys and inventories; land acquisition; coordinating, developing, operating, and maintaining facilities, acquiring land for, expanding, or constructing public target ranges (up to 10 percent); provisions for public use of wildlife resources, and public relations activities as well as provides training in the safe handling and use of firearms and archery equipment, hunter responsibilities, ethics, and survival; construction, operation, and maintenance of public target ranges; recruiting, retaining, and reactivating hunters and recreational shooters; and basic wildlife management and identification. 2021 The Program has not selected projects for funding. The Program anticipates funding projects to restore, conserve, manage and enhance wild birds and mammals and their habitats; to provide hunter development and safety programs; and promote hunting and recreational shooting. 2022 The Program has not selected projects for funding. The Program anticipates funding

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projects to restore, conserve, manage and enhance wild birds and mammals and their habitats; to provide hunter development and safety programs; and promote hunting and recreational shooting.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territorial agency having lead responsibility for the management of their wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.614 Coastal Wetlands Planning, Protection and Restoration

National Coastal Wetlands Grants,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Coastal Wetlands Planning, Protection and Restoration Act National coastal wetlands conservation grants (16 U.S.C. 3954.,

OBJECTIVES:

To provide competitive matching grants to coastal States for coastal wetlands conservation projects. The Coastal Wetlands Planning, Protection, and Restoration Act (Section 305, Title III, Public Law 101-646 U.S.C. 3954) established the National Coastal Wetlands Conservation Grant Program to acquire, restore, and enhance wetlands in coastal States.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are available to coastal States for acquisition of real property interests in coastal lands or waters, and for restoration, enhancement or management of coastal wetlands ecosystems.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Eligible applicants include any agency or agencies designated by the Governor of a coastal State. It is usually a State natural resource or fish and wildlife agency. Eligible coastal States are States bordering the Great Lakes (Illinois, Michigan, Minnesota, New York, Ohio, Pennsylvania, and Wisconsin); States bordering the Atlantic, Gulf (except Louisiana), and Pacific coasts (Alabama, Alaska, California, Connecticut, Delaware, Florida, Georgia, Hawaii, Maine, Maryland, Massachusetts, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Oregon, Rhode Island, South Carolina, Texas, Virginia, and Washington); and American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

U.S. Territories; State; States, Commonwealths, or territories as designated in the applicant eligibility section.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Director of the Fish and Wildlife Service will select projects to be funded based on established criteria.

Deadlines:

June 25, 2021.

Range of Approval/Disapproval Time:

Other. Approximately 150 days.

Appeals:

Other. Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Other. Applications for successive project phases are competed as new project applications

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. States with a dedicated fund for land conservation are required to provide a minimum cost share equal to 25 percent of the total project costs, including requested program funds and eligible match. All other States are required to provide a 50 percent cost share. We waive the first \$200,000 of match for the following insular areas: American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Once money is awarded, the money is available to the State for the time designated in the grant agreement (50 CFR 84.44). Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, they are subject to Program specific audits contracted by the Fish and Wildlife Service.

Records:

Cost records must be maintained separately for each project. Grantees must retain records, accounts, and supporting documents for three years after submission of final request for reimbursement.

Account Identification:

14-8151-0-7-303.

Obligations:

Project Grants (Discretionary) FY 20 \$17,900,000.00; FY 21 est \$27,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Range: \$125,000 - \$1,000,000; Average: \$575,000

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2020: 22 applications received, 22 projects awarded grants. Fiscal Year 2021: 40 applications received, 33 projects awarded grants. Fiscal Year 2022: 33 applications received, 26 projects awarded grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 84

Regional or Local Office:

None. Territories/Commonwealths: American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Hawaii: Chris SwensonUSFWS-WSFR911 NE 11th AvenuePortland, OR 972324181503-231-6758Chris_Swenson@fws.gov; States: Oregon, and Washington:Heidi NelsonUSFWS-WSFR911 NE 11th AvenuePortland, OR 972324181503-231-2096Heidi_Nelson@fws.gov; State: Texas: Kelly Oliver-AmyUSFWS-WSFRP.O. Box 1306500 Gold Avenue, SW, Room #8514Albuquerque, NM 87103505-248-7457Kelly_Oliver-Amy@fws.gov;States: Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin: Casey NelsonUSFWS-WSFR5600 American Blvd. West, Suite 990Bloomington, MN 55437-14586127135143Casey_Nelson@fws.gov; States: Alabama, Florida, Georgia, Mississippi, North Carolina, South CarolinaCommonwealths/Territories: the Commonwealth of Puerto Rico and the U.S. Virgin Islands: James DuffyUSFWS-WSFR1875 Century Boulevard, Suite 240Atlanta, GA 303453319404-679-4169James_Duffy@fws.gov;States: Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, and Virginia:Bill PerryUSFWS-WSFR300 Westgate Center DriveHadley, MA 010359589413-253-8302Bill_Perry@fws.gov;State: Alaska: Kyle JamesUSFWS-WSFR1011 East Tudor Road, MS 261Anchorage, AK 99503907-786-3696Kyle_James@fws.gov;State: California: Becky MillerUSFWS-WSFR2800 Cottage Way Room W1729Sacramento, CA 95825916-978-6185Becky_Miller@fws.gov

Headquarters Office:

Chris Darnell,
U.S. Fish and Wildlife Service Headquarters, The National Refuge System -
Division of Natural Resources and Conservation Planning5275 Leesburg Pike, MS:
NWRS,,
Falls Church VA 22041-3803
Email: chris_darnell@fws.gov
Phone: (703) 358-1849

Website Address:

<https://wsfrprograms.fws.gov/subpages/grantprograms/CW/CW.htm>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

2020 Protection and restoration of coastal wetland ecosystems and associated uplands. 2021 Protection and restoration of coastal wetland ecosystems and associated uplands. 2022 Protection and restoration of coastal wetland ecosystems and associated uplands.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored and then ranked based on criteria published in 50 CFR 84.32. See the Notice of Funding Opportunity (NOFO), and Attachment A to this NOFO for more information on ranking criteria. The NOFO is available at www.Grants.gov.

15.615 Cooperative Endangered Species Conservation Fund

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Endangered Species ActCooperation with States (16 U.S.C. 1535),

OBJECTIVES:

Cooperative Endangered Species Conservation Fund grants include multiple subprograms (described below) that provide Federal financial assistance to States and Territories that have entered into a cooperative agreement pursuant to section

6(c) of the Endangered Species Act (ESA) for species and habitat conservation actions on non-Federal lands, including habitat acquisition, conservation planning, habitat restoration, status surveys, captive propagation and reintroduction, research, and education.Traditional Conservation Grants: The principle objective of the Traditional Conservation Grant Program is to support the development and implementation of States programs to conserve and recover threatened and endangered species under the Service's jurisdiction and to monitor candidate, at-risk, and recently delisted species.Habitat Conservation Plan (HCP) Planning Assistance Grants: Established in fiscal year 2001, the HCP Planning Assistance Grant program provides funding to States and Territories to support the development of new HCPs as well as the renewal or amendment of existing HCPs. Funding may be used to support HCP development and planning activities such as document preparation, public outreach, baseline species surveys, habitat assessments, and inventories.Recovery Land Acquisition Grants: The principle objective of the RLA Grant program is to promote State and Federal cooperation in listed species conservation by leveraging funds to acquire specific parcels of land, through fee simple or conservation easement, in support of Service-approved recovery plans and outlines. The ESA conveys the importance of recovery plans as a central organizing tool for guiding the recovery process by requiring their development for every listed species.HCP Land Acquisition Grants: Established by Congress in fiscal year (FY) 1997, the HCP Land Acquisition Grant program was designed to reduce conflicts between the conservation of listed species and competing land uses on specific parcels of land associated with approved and permitted HCPs. Under this program, the Service provides matching grants to States in support of land acquisition projects that will conserve species habitat in perpetuity through fee simple acquisition or the acquisition of permanent conservation easements. All land acquired through these grants complements, but does not replace, the mitigation, minimization, and/or monitoring commitments of the HCP. Thus, this work is additive to the conservation commitments entered into when HCPs are permitted and serves as a meaningful way to leverage non-Federal investment in species recovery and connect conservation lands across the landscape.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Cooperative Endangered Species Conservation Fund grants provide Federal financial assistance to States and Territories that have entered into a cooperative agreement pursuant to section 6(c) of the Endangered Species Act for species and habitat conservation actions on non-Federal lands, including habitat acquisition, conservation planning, habitat restoration, status surveys, captive propagation and reintroduction, research, and education. This program funds real property acquisition through Recovery Land Acquisition and HCP Land Acquisition Grants. Real property acquisition is not an eligible use of funds awarded through Traditional Conservation and HCP Planning Assistance Grants.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Participation limited to State agencies that have a cooperative agreement with the Secretary of the Interior. The annual Notice of Funding Opportunity (NOFO), announced through www.grants.gov and posted to the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/endangered/grants/index.html>, describes the criteria that must be satisfied for an application to be eligible for funding.

Beneficiary Eligibility:

U.S. Territories; State; All States that have entered into a cooperative agreement with the Secretary of the Interior.

Credentials/Documentation:

Applicants must be entered into a Cooperative Agreement pursuant to Section 6(c) of the ESA to be eligible for funding and have provided the information necessary for annual renewal (reconfirmation) of their cooperative agreement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for

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more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant must complete the Standard Form SF-424, Application for Federal Assistance and the appropriate Budget and Assurances forms (SF-424A and SF-424B - Non Construction; or SF-424C and SF-424D - Construction). The project description section of the application should address the evaluation factors identified in the annual request for proposals that will be posted at www.grants.gov and to the U.S. Fish and Wildlife Service website at <http://www.fws.gov/engangered/grants/index.html>. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.Grants.gov).

Award Procedure:

Final selection is made by the Secretary of the Interior, based on recommendations by the Director or Regional Directors of the U.S. Fish and Wildlife Service. Funds are obligated by an authorized signature on an award agreement.

Deadlines:

May 12, 2021. Traditional Conservation Grants; March 09, 2021. Habitat Conservation Plan (HCP) Planning Assistance Grants; April 09, 2021. Habitat Conservation Plan (HCP) Land Acquisition Grants; April 09, 2021. Recovery Land Acquisition Grants

Range of Approval/Disapproval Time:

Other. Information regarding approval/disapproval time frames is available in the annual Notice of Funding Opportunity (NOFO) posted at www.grants.gov and at the U.S. Fish and Wildlife Service website at <http://www.fws.gov/engangered/grants/index.html>.

Appeals:

Other. Decisions can be appealed to the U.S. Fish and Wildlife Service, Chief, Ecological Services Division of Recovery and Restoration. Final determination rests with the Secretary of the Interior.

Renewals:

Other. Renewals can be made through submission of a project proposal and approval of a grant award document.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. States may receive up to 75 percent of the program costs. When two or more States have a common interest in one or more endangered or threatened species and enter into a joint agreement, the Federal share of the total project cost may be increased to 90 percent. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum period of performance (PoP) for CESCFC grants is three years. If an approved project cannot be completed within the PoP, the State Director/Commissioner may request an extension of up to 12 months from the Director of the Service provided appropriate justification. Any awarded project that will not be completed within the PoP, and from which funds are deobligated, may be eligible for funding in future competitions. Method of awarding/releasing assistance: other

Reports:

Annual interim reports are due 90 calendar days after the end of each four full quarters based on fiscal quarters (March 31, June 30, September 30, December 31). The final report is due 90 calendar days after the grant ending date. Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting

requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Land acquisition records and land documents must be maintained in perpetuity.

Account Identification:

14-5143-0-2-302.

Obligations:

Project Grants (Discretionary) FY 20 \$13,000,000.00; FY 21 est \$13,000,000.00; FY 22 est \$13,000,000.00; Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$16,000,000.00; FY 22 est \$8,000,000.00; Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$22,324,000.00; FY 22 est \$11,162,000.00; Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$39,276,000.00; FY 22 est \$19,638,000.00;

Range and Average of Financial Assistance:

Varies by program element.

TAFS Codes:

14-5143. 14-5479.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, the program received 150 applications and issued 150 awards. Fiscal Year 2021: In FY21, the program anticipates receiving 225 applications and issuing an estimated 200 awards. Fiscal Year 2022: In FY22, the program anticipates receiving 225 applications and issuing an estimated 200 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., as amended; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601, as amended; 50 CFR 81, The U.S. Fish and Wildlife Service Manual Chapter 521 FW 4 (<http://www.fws.gov/policy/521fw4.html>); and the annual request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service web site at <http://www.fws.gov/engangered/grants/section6/index.html>.

Regional or Local Office:

None. Please visit <http://www.fws.gov/engangered/regions/index.html> to access a list of regional program contacts.

Headquarters Office:

Ecological Services,
U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike,
(MS: ES),
Falls Church VA 22041
Email: FWS_ES_GRANTS@fws.gov
Phone: (703) 358-2171

Website Address:

<http://www.fws.gov/engangered/grants/index.html>

RELATED PROGRAMS:

15.611 Wildlife Restoration; 15.634 State Wildlife Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 In FY20, the Service awarded an estimated 150 grants in support of projects with direct conservation benefits for federally listed, candidate, and at-risk species. Grants are awarded to assist States in the implementation of their respective programs for the conservation of resident threatened and endangered species of fish, wildlife, and plants. Funded activities include species and habitat management, monitoring and research, as well as public outreach. For example, the State of Idaho was awarded \$15,000 for grizzly bear monitoring in the Idaho portion of the Greater Yellowstone Ecosystem. The State of California was awarded nearly \$300,000 to promote the recovery of Humboldt marten with a rapid assessment of population size of the north coastal California extant population. 2021 Program has not yet selected projects for funding. Program anticipates funding an estimated 200 projects to assist States in the implementation of their respective programs for the conservation of resident threatened and endangered species of fish, wildlife, and plants. Program expects to fund species and habitat

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conservation actions on non-Federal lands, including habitat acquisition, conservation planning, habitat restoration, status surveys, captive propagation and reintroduction, research, and public education and outreach. 2022 Program has not yet selected projects for funding. Program anticipates funding an estimated 200 projects to assist States in the implementation of their respective programs for the conservation of resident threatened and endangered species of fish, wildlife, and plants. Program expects to fund species and habitat conservation actions on non-Federal lands, including habitat acquisition, conservation planning, habitat restoration, status surveys, captive propagation and reintroduction, research, and public education and outreach.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for grant funding must be submitted by the State fish and wildlife agency. The State must have an approved cooperative agreement with the Secretary of the Interior which provides for sharing responsibilities for endangered species, prior to receiving Cooperative Endangered Species Conservation Fund (section 6 of the Endangered Species Act) funds. Individual project proposals must compete with other State submissions for funding. For additional information please visit <http://www.fws.gov/endangered/grants/index.html>.

15.616 Clean Vessel Act

CVA,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Section 5604(c) of the Clean Vessel Act Grant program (33 U.S.C. 1322 note); and Dingell-Johnson Sport Fish Restoration Act, 16 U.S.C. 777 et seq.,

OBJECTIVES:

This program provides funding to States, the District of Columbia, Commonwealths, and territories for the construction, renovation, operation, and maintenance of sewage pumpout stations, waste reception facilities, and pumpout boats for recreational boaters and for educational programs that inform boaters of the importance of proper disposal of their sewage.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for installing, operating and maintaining sewage pumpouts and dump stations for recreational boats in the coastal zones (where applicable) and inland zones of States, the District of Columbia and insular areas; and for construction of sewage pumpouts and dump stations for recreational boats; and for implementation of education programs.

Applicant Eligibility:

U.S. Territories and possessions; State; Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

U.S. Territories; State; General public, recreational boaters, municipalities, and private marinas within eligible States, the District of Columbia, Commonwealths, and territories.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth or territory for more information

on this process when applying for assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Regional Offices make awards to approved applicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. Regional Directors will consider differences of opinion over the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The annual program funding comes from the Sport Fish Restoration and Boating Trust Fund. It and the Sportfishing and Boating Safety Act Program (15.622) receive a combined total of four percent of the funds in the Trust Fund. Trust funds are derived from excise taxes on fishing equipment, motorboat and small engine fuels, import duties, and interest on the fund. The Federal share of the project costs cannot exceed 75 percent for the 50 States, the District of Columbia, and the Commonwealth of Puerto Rico. The first \$200,000 of match must be waived for the Commonwealth of the Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds must be obligated into a grant within the first 3 fiscal years that it is available. Grant period of performance is recommended to be 3 years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-8151-0-7-303.

Obligations:

Project Grants (Discretionary) FY 20 \$19,270,426.00; FY 21 est \$18,000,000.00;

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FY 22 est \$18,000,000.00;

Range and Average of Financial Assistance:

Maximum Federal award is \$1,500,000. The average award is approximately \$350,000.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program received 34 applications and issued 34 awards. Fiscal Year 2021: In FY21 the program received 22 applications and anticipates issuing 22 awards. Fiscal Year 2022: In FY22 the program anticipates receiving 30 applications and anticipates issuing 30 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 85; Final Technical Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; final Grant Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; and final Pumpout Symbol Guidelines published in the Federal Register, Vol. 62, No. 166, August 27, 1997.

Regional or Local Office:

None. American Samoa and Hawaii: Chris Swenson, Chris_Swenson@fws.gov, 503 231-6758Guam and the Commonwealth of the Northern Mariana Islands: Ruth Utzurum, Ruth_Utzurum@fws.gov, 503-231-2083Idaho, Oregon, and Washington: Heidi Nelson, Heidi_Nelson@fws.gov 503-231-2096Arizona, New Mexico, Oklahoma, and Texas: Brian Hobbs, Brian_Hobbs@fws.gov, 505-248-7476Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, and Wisconsin: Jennie McNicoll, Jennifer_McNicoll@fws.gov, 612-713-5388Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, the Commonwealth of Puerto Rico, South Carolina, Tennessee, and the United States Virgin Islands: Scott White, Scott_White@fws.gov, 404-679-7113Connecticut, Delaware, the District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia and West Virginia: Bill Perry, Bill_Perry@fws.gov, 413-253-8302Colorado, Kansas, Nebraska, Montana, North Dakota, South Dakota, Utah, and Wyoming: Michael Cotter, Michael_Cotter@fws.gov, 303-236-8179Alaska: Scott Ayers, Scott_Ayers@fws.gov, 907-786-3982California and Nevada: Julie Hana, Julie_Hana@fws.gov, 916-414-6507

Headquarters Office:

Fish and Wildlife Service,
U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church VA 22041-3803

Email: r9wsfr_programs@fws.gov

Phone: (703) 358-2156.

Website Address:

<https://wsfrprograms.fws.gov/subpages/grantprograms/CVA/CVA.htm>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program;

EXAMPLES OF FUNDED PROJECTS:

2020 The Connecticut Department of Energy and Environmental Protection plans to install two new stationary pumpouts, replace three stationary pumpouts, provide operations and maintenance assistance to 24 pumpout boats and for a minimum of 36 existing stationary pumpout stations. They will continue to work cooperatively with the states of Rhode Island and New York. They will also continue their information and education program with a focus on helping boaters to understand their boats sewage system and to encourage them to use pumpout services. The Maine Department of Environmental Protection, Division of Water Resource Regulation, plans to use CVA funds to install or replace ten pumpout stations/facilities. They will provide operation and maintenance stipends to approximately 14 mobile and stationary pumpout facilities. They also propose to review and update their Education and Outreach Plan, work with other state CVA coordinators on the Pumpout Nav app effort and update and distribute educational materials to boaters and boating facilities. The Oregon State Marine Board plans to replace four existing pumpout and dump stations, retrofit one floating restroom, provide funds to assist with operation and maintenance of 16 pumpout stations, 16 dump stations and five floating restrooms in coastal waters. They also plan to replace four existing pumpout and dump stations, provide funds to assist with operation and maintenance of 17 pumpout stations, 21 dump stations and 15

floating restrooms in inland waters. They will also use this funding to administer their CVA grant program and to conduct outreach and education efforts statewide. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that construct, renovate, operate, and maintain sewage pumpout stations, waste reception facilities, floating restrooms and pumpout boats for recreational boaters and for educational programs that inform boaters of the importance of proper disposal of their sewage. 2022 Program has not yet selected projects for funding. Program anticipates funding projects with similar objectives as those in FY21.

CRITERIA FOR SELECTING PROPOSALS:

The Director of the U.S. Fish and Wildlife Service will select projects based on established criteria included in the NOFO and available funding.

15.619 Rhinoceros and Tiger Conservation Fund

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Rhinoceros and Tiger Conservation Act, 16 U.S.C. 5301 et seq.,

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of rhinoceros and tigers. This program supports projects that focus on one or more of the following areas: Enhanced protection of at-risk rhinoceros and tiger populations; protected area/reserve management in important rhinoceros and tiger range; Veterinary care for wild populations; habitat conservation and management; reintroduction to former range; restoration of habitat; wildlife inspection, law enforcement, and forensics skills; conservation education and community outreach; efforts to decrease human-rhinoceros and human-tiger conflicts; strengthening local capacity to implement conservation programs; transfrontier rhinoceros and tiger conservation; applied research on rhinoceros and tiger populations and their habitats, including surveys and monitoring; development and execution of rhinoceros and tiger conservation management plans; and compliance with applicable treaties and laws that prohibit or regulate the taking or trade of rhinoceros and tigers or regulate the use and management of their habitat.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Proposed project work should occur within the rhinoceros or tiger range, or, if work is to be conducted outside of the range, the proposal should show clear relevance to rhinoceros or tiger conservation. If the project includes research, the applicant must provide a convincing argument that the results will address management needs or threats from disease and climate change and will result in management actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that, to arrest suspects, prompt them to carry out illegal activities (entrapment); any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country of proposed activity; material support or resources to individuals, entities, or organizations of countries that the U.S. Department of State has identified as state sponsors of terrorism. These countries are: Cuba, Iran, Sudan and Syria (exceptions may be allowed with clearance from U.S. Department of State).

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applications may be submitted by any government agency responsible for the conservation and protection of rhinoceroses and/or tigers and any other organization, multi-national secretariat or individual with demonstrated experience in rhinoceros and/or tiger conservation may submit

ASSISTANCE LISTINGS DESCRIPTIONS

proposals to this Fund.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Any government agency responsible for conservation and protection of rhinoceros and/or tigers and any other organization or individual with demonstrated experience in rhinoceros or tiger conservation.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html> If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance." Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Projects are competitively selected for funding using criteria from the Rhinoceros and Tiger Conservation Act (16 USC 5301 et seq.). Review criteria can be found in the application information at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is executed by an FWS approving official. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

The annual deadlines for both the Rhino Tiger Asia and African Rhino programs are usually in December. Please contact the headquarters office for specific application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit interim progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the

SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$5,500,000.00; FY 21 est \$5,500,000.00; FY 22 est \$5,500,000.00;

Range and Average of Financial Assistance:

Variable amounts. Largely \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1652.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No projects have been selected for 2020. Fiscal Year 2021: No projects have been selected for 2021. Fiscal Year 2022: No projects have been selected for 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a grant or cooperative agreement from the U.S. Fish and Wildlife Service carries with it the responsibility to be aware of and comply with the terms and conditions of award. FWS Award Terms and Conditions are available at <https://www.fws.gov/grants/atc.html>. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service. Awards from the Service are subject to the terms and conditions incorporated into the award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Service financial assistance award terms and conditions flow down to subrecipients and contractors, unless a particular award term or condition specifically indicates otherwise.

Regional or Local Office:

None.

Headquarters Office:

Chief,
Division of International Conservation U.S. Fish and Wildlife Service Department of the Interior, 5275 Leesburg Pike, MS: IA, Falls Church VA 22041-3803
Email: MSCF_RhinoTiger@fws.gov
Phone: (703) 358 1754
Fax: (703) 358 2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/rhino-and-tiger-conservation-fund.html>

RELATED PROGRAMS:

15.629 Great Apes Conservation Fund; 15.620 African Elephant Conservation Fund; 15.645 Marine Turtle Conservation Fund; 15.621 Asian Elephant Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

2020 Program did not select any projects for funding. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of rhinos and tigers. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of rhinos and tigers.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the requirements of the Rhinoceros and Tiger Conservation Act (16 U.S.C. 5301 et. seq.). Additional details on the selection criteria can be found in the Rhinoceros and Tiger Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.620 African Elephant Conservation Fund

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

African Elephant Conservation Act, 16 U.S.C. 4201 et seq.,

OBJECTIVES:

To provide financial assistance to support projects that will enhance sustainable conservation programs to ensure effective, long-term conservation of African elephants. The African Elephant Conservation Fund supports projects that promote conservation through: Applied research on elephant populations and their habitat, including surveys and monitoring; Development and execution of elephant conservation management plans; Compliance with applicable treaties and laws that prohibit or regulate the taking or trade of elephants or regulate the use and management of elephant habitat; Conservation education and community outreach; Enhanced protection of at-risk elephant populations; Efforts to decrease human-elephant conflict; Habitat conservation and management; Protected area/reserve management in important elephant range; Strengthening local capacity to implement conservation programs; Transfrontier elephant conservation; and Wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur within the range of the African elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to African elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; Applications may be submitted by any African government agency responsible for African elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; Public nonprofit institution/organization; Any African government agency responsible for African

elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia and Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance." Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the African Elephant Conservation Fund (16 USC et seq.) Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Every other year approximately in December.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. To the extent possible, grant funds are matched by nonfederal funds. Cost sharing is not required but priority will be given to projects for which there exists some measure of matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available from the start date of the period of performance to the end date of the period of performance. Funding must be spent within 90 days of the end date of the period of performance. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit interim progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting

ASSISTANCE LISTINGS DESCRIPTIONS

requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$3,340,000.00; FY 21 est \$4,000,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested

TAFS Codes:

14-1652. 14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No projects were selected for 2020. Fiscal Year 2021: No projects have been selected for 2021. Fiscal Year 2022: No projects have been selected for 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a grant or cooperative agreement from the U.S. Fish and Wildlife Service carries with it the responsibility to be aware of and comply with the terms and conditions of award. FWS Award Terms and Conditions are available at <https://www.fws.gov/grants/atc.html>. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service. Awards from the Service are subject to the terms and conditions incorporated into the award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Service financial assistance award terms and conditions flow down to subrecipients and contractors, unless a particular award term or condition specifically indicates otherwise.

Regional or Local Office:

Headquarters Office:

Chief,

Division of International Conservation U.S. Fish and Wildlife Service Department of the Interior, 5275 Leesburg Pike, MS: IA, Falls Church VA 22041-3803

Email: MSCF_AfricanElephant@fws.gov

Phone: (703) 358-1754

Fax: (703) 358-2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/african-elephant-conservation-fund.html>

RELATED PROGRAMS:

15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund; 15.619 Rhinoceros and Tiger Conservation Fund; 15.621 Asian Elephant Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

2020 Program did not select any projects for funding. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that are effective for the long-term conservation of Elephants. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that are

effective for the long-term conservation of Elephants.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the African Elephant Conservation Act (16 U.S.C. 4201 et. seq.). Additional details on the selection criteria can be found in the African Elephant Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.621 Asian Elephant Conservation Fund

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Asian Elephant Conservation Act, 16 U.S.C. 4261 et seq.,

OBJECTIVES:

To provide financial assistance to support the effective long-term conservation of Asian elephants. This program provides support for projects that focus on one or more of the following areas: Applied research, including surveys and monitoring; enhanced compliance with treaties and laws that prohibit the take or trade of Asian elephant or regulate the use and management of their habitat; conservation education and community outreach; development and execution of conservation management plans; enhanced protection of at-risk populations; habitat conservation and management, including protected area and reserve management; local capacity building; reduction of human-elephant conflicts; transfrontier conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur within the range of the Asian elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to Asian elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunition; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan, and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreement Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applications may be submitted by any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Other private institution/organization; Asian; State; Local; Public nonprofit institution/organization; Other public institution/organization; Any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website www.grants.gov. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/pdf/notice-of-funding-availability-asian-elephant.pdf>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance." Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the Asian Elephant Conservation Fund (16 USC 4261-4266.) Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. To the extent possible, grant funds are matched by nonfederal funds. Cost sharing is not required but priority will be given to projects for which there exists some measure of matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available from the start date of the period of performance to the end date of the period of performance. Funding must be spent within 90 days of the end date of the period of performance. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit interim progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the

awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$1,891,401.00; FY 21 est \$1,800,000.00; FY 22 est \$1,800,000.00;

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1652.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program anticipated receiving approximately 50 applications and issuing 15 awards. Fiscal Year 2021: In FY21 the program anticipates receiving approximately 50 applications and issuing 15 awards. Fiscal Year 2022: In FY22 the program anticipates receiving approximately 50 applications and issuing 15 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a grant or cooperative agreement from the U.S. Fish and Wildlife Service carries with it the responsibility to be aware of and comply with the terms and conditions of award. Service Award Terms and Conditions are available at <https://www.fws.gov/grants/atc.html>. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service. Awards from the Service are subject to the terms and conditions incorporated into the award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Service financial assistance award terms and conditions flow down to subrecipients and contractors, unless a particular award term or condition specifically indicates otherwise.

Regional or Local Office:

Headquarters Office:

Chief,,
Division of International Conservation U.S. Fish and Wildlife Service Department of the Interior 5275 Leesburg Pike, MS: 1A, Falls Church VA 22041-3803
Email: mescf_asianelephant@fws.gov
Phone: (703) 358-1754
Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/asian-elephant-conservation-fund.html>

RELATED PROGRAMS:

15.629 Great Apes Conservation Fund; 15.620 African Elephant Conservation Fund; 15.645 Marine Turtle Conservation Fund; 15.619 Rhinoceros and Tiger Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

2020 The program anticipates funding projects that promote the conservation of

ASSISTANCE LISTINGS DESCRIPTIONS

Asian elephants and their habitats. 2021 The program anticipates funding projects that promote the conservation of Asian elephants and their habitats. 2022 The program anticipates funding projects that promote the conservation of Asian elephants and their habitats.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the Asian Elephant Conservation Act (16 U.S.C. 4261-4266). Additional details on the selection criteria can be found in the Asian Elephant Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.622 Sportfishing and Boating Safety Act

Boating Infrastructure Grants (BIG),

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Sportfishing and Boating Safety Act Boating infrastructure (16 U.S.C. 777g-1); and Dingell-Johnson Sport Fish Restoration Act (16 U.S.C. 777 et seq.),

OBJECTIVES:

This program provides funding to States, the District of Columbia, Commonwealths, and territories for the construction, renovation, and maintenance of docking, mooring, and other facilities for transient, recreational boats 26 feet or greater in length.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for constructing, renovating, or maintaining docking, mooring, and other facilities for transient, recreational boats 26 feet or more in length and to produce and distribute information and educational materials about the program.

Applicant Eligibility:

State; U.S. Territories and possessions; Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

State; U.S. Territories; General Public, specifically owners and/or users of transient, recreational boats 26 feet or greater in length, and municipalities and private marinas within those eligible States, the District of Columbia, Commonwealths, and territories.

Credentials/Documentation:

Applicants must be a governor-designated State agency eligible to apply to the program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact statement not required to apply. However, sometimes one is required before an award can be made. For further information, please contact the regional office. An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Regional Offices make awards to approved applicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The annual program funding comes from the Sport Fish Restoration and Boating Trust Fund. It and the Clean Vessel Act Program (15.616) receives a combined total of four percent of the funds in the Trust Fund. Trust funds are derived from excise taxes on fishing equipment, motorboat and small engine fuels, import duties, and interest accrued by the fund. The Federal share of the project costs cannot exceed 75 percent for the 50 States, the Commonwealth of Puerto Rico, and the District of Columbia. The first \$200,000 of match must be waived for the Commonwealth of Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds must be obligated into a grant within the first 3 fiscal years that it is available. Grant period of performance is also 3 years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200 and 2 CFR Part 170. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after final submission of the final Federal Financial Report.

Account Identification:

14-8151-0-7-303.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants (Discretionary) FY 20 \$18,827,801.00; FY 21 est \$16,907,621.00; FY 22 est \$18,000,000.00;

Range and Average of Financial Assistance:

Tier 1 grants are \$200,000 or less and average \$182,234; Tier 2 range from \$100,000 to \$1,500,000; Average \$1,048,261.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program received 44 applications and funded 36 of them. Fiscal Year 2021: The program received 42 applications and anticipates funding 37 of them. Fiscal Year 2022: The program anticipates receiving 50 applications and funding 42 of them.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 86.

Regional or Local Office:

None. Oregon, Washington and Idaho - Heidi Nelson, Heidi_Nelson@fws.gov 503-231-2096Hawaii and American Samoa - Chris Swenson, Chris_Swenson@fws.gov, 503 231-6758Guam and the Commonwealth of the Northern Mariana Islands - Ruth Utzurrum, Ruth_Utzurrum@fws.gov, 503-231-2083Arizona, New Mexico, Oklahoma and Texas - Brian Hobbs, Brian_Hobbs@fws.gov, 505 248-7476Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio and Wisconsin - Jennie McNicoll, Jennifer_Mcnicoll@fws.gov, 612-713-5388Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee and the United States Virgin Islands - Scott Meister, Howard_Meister@fws.gov, 404-679-7180Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia and West Virginia - Bill Perry, Bill_Perry@fws.gov, 413-253-8302Colorado, Kansas, Nebraska, Montana, North Dakota, South Dakota, Utah and Wyoming - Michael Cotter, Michael_Cotter@fws.gov, 303-236-8179Alaska - Scott Ayers, Scott_Ayers@fws.gov, 907-786-3982California and Nevada Rose Stefani, Rosemary_Stefani@fws.gov, 916-978-6152

Headquarters Office:

Fish and Wildlife Service,
U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church VA 22041
Email: r9wsfr_programs@fws.gov
Phone: (703) 358-2156
Fax:

Website Address:

<https://wsfrprograms.fws.gov/subpages/grantprograms/BIG/BIG.htm>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program;

EXAMPLES OF FUNDED PROJECTS:

2020 The Maine Department of Transportation will partner with Great Island Boat Yard to expand and replace existing slips with 24 wellappointed boat slips dedicated to eligible transient vessels. The new slips will be longer and wider than the existing slips, in line with modern design guidelines, allowing the marina to cater to a wider range of vessel sizes and types and provide reliable, stateoftheart shore power, potable water, and better lighting for visiting transient boaters. The new layout will also provide approximately 292 foot of dinghy dockage dedicated solely for use by transient visitors. The Louisiana Department of Wildlife and Fisheries will partner with Marina del Ray to replace three existing docks and dedicate them to transient boaters and add an additional six covered wet slips. They will also refit to meet electrical code, repair and upgrade the bathroom and laundry facilities, make two gangways compliant with ADA requirements, and add new marine pedestals providing utilities, fast internet, and Wi-Fi.The Washington State Recreation and Conservation Office will partner with the Port of Poulsbo to construct 3,420 linear feet of dedicated transient moorage creating dockage for 86 transient vessels. Replace the existing fixed breakwater, which offers no transient moorage and limits room for expansion of the marina, with a new floating breakwater specifically designed to provide transient moorage for larger vessels. 2021 Program has not finalized projects for funding. Program anticipates funding

projects that include construction of tie-up facilities for nontrailerable, recreational vessels of 26 feet or greater in length; development of amenities for eligible boaters, such as restrooms or other facilities; development of utilities or other services for eligible vessels. 2022 Program has not yet selected projects for funding. Program anticipates funding projects with similar objectives as those in FY20.

CRITERIA FOR SELECTING PROPOSALS:

The Director of the U.S. Fish and Wildlife Service will select projects for funding based on established criteria included in the NOFO and available funding.

15.623 North American Wetlands Conservation Fund

NAWCF,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

North American Wetlands Conservation Act, 16 U.S.C. 4401 et seq.,

OBJECTIVES:

To provide grant funds for wetlands conservation projects in the United States, Canada, and Mexico.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to acquire real property interest in lands or waters, including water rights. Funds may also be used to restore, manage, and/or enhance wetland ecosystems and other habitat for migratory birds and other fish and wildlife. Projects must provide long-term conservation for wetlands-associated migratory birds and other wetlands-associated wildlife. Coastal Wetlands Planning, Protection and Restoration act-derived funds eligible for NAWCA projects may be used only in U.S. coastal wetlands ecosystems. More specific restrictions are outlined on the NAWCA grants information and instructions website. For further information, please contact the headquarters office. 100% of funding is discretionary.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Available to private or public organizations or to individuals who have developed partnerships to carry out wetlands conservation projects in the U.S., Canada, and Mexico.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Complete program information and application instruction can be found on the Division of Bird Habitat Conservation (DBHC) website, at <https://www.fws.gov/birds/grants/north-american-wetland-conservation-act.php>, or can be accessed through [grants.gov](https://www.fws.gov/grants.gov). Grant applications, including Standard Form 424 Application for Federal

ASSISTANCE LISTINGS DESCRIPTIONS

Assistance, and the appropriate Assurance forms (SF 424C or SF 424D) are submitted through www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are screened by Fish and Wildlife Service staff, and further reviewed and ranked by the North American Wetlands Conservation Council (Council) Staff and North American Waterfowl Management Plan Joint Venture Coordinators. Site visits are conducted as needed. Grant proposals are recommended for approval by the Council to the Migratory Bird Conservation Commission (Commission). The Council selects proposals in June and December that are recommended to the Commission for final funding approval in September and March. The grant is administered by FWS Division of Bird Habitat Conservation. The U.S. Fish and Wildlife Service conducts a National Environmental Policy Act and National Historic Preservation Act review of the projects that the Council selects to recommend to the Commission.

Deadlines:

U.S. Standard grant proposals are due February 26, 2021 and July 9, 2021. Mexico grant proposals are due by May 19, 2021. Canada grant proposals are due by August 27, 2021. U.S. Small grant proposals are due by October 14, 2021.

Range of Approval/Disapproval Time:

Other. The range of time from proposal submission to the approval/disapproval notification is 7 to 10 months

Appeals:

Not Applicable.

Renewals:

Other. Proposals are funded on a project-specific basis. Requests for future funding must compete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The required matching share varies on a grant-by-grant basis and is set forth in the grant award, but must be at least 50 percent of the project costs, except that the project activities located on Federal lands and waters can be funded with 100 percent Federal funding. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

North American Wetland Conservation Act (NAWCA) grants are awarded after the Migratory Bird Conservation Commission (MBCC) approves projects selected by the North American Wetlands Conservation Council (NAWCC), and after authorized funds have been made available to the FWS Migratory Birds Program. Projects are awarded for 2-3 year terms, upon which time all funds must be spent or returned to FWS. Method of awarding/releasing assistance: other

Reports:

Final reports must be filed with the Division of Bird Habitat Conservation within 90 days of the end of the project expiration or termination date. Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and a detailed accounting of receipts and expenditures of non-Federal matching dollars or value of services. Records, accounts, and supporting documents must be retained for 3 years after submission of final report.

Account Identification:

14-5241-0-2-302.

Obligations:

Project Grants (Discretionary) FY 20 \$81,000,000.00; FY 21 est \$117,000,000.00; FY 22 est \$70,000,000.00;

Range and Average of Financial Assistance:

Range is \$0 to \$100,000 for Small Grants; over \$100,000 to \$2,000,000 for U.S. Standard Grants. Average award is approximately \$93,000 and \$923,000 for Small Grants and U.S. Standard Grants, respectively.

TAFS Codes:

14-8151. 14-8216. 14-5241. 14-5029. 14-5475.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Program received 111 applications and anticipates issuing 100 awards. Fiscal Year 2021: Program anticipates receiving 125 applications and anticipates issuing 100 awards. Fiscal Year 2022: Program anticipates receiving 125 applications and anticipates issuing 100 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Standard Grants Application Instructions; Small Grant Instructions; Mexico Standard Grants Application Instructions provided in English and Spanish; Canada Standard Grants Application Instructions; U.S. Grant Administration Standards; 2 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

Chief,
U.S. Fish and Wildlife Service, Migratory Birds Program, Division of Bird Habitat Conservation, 5275 Leesburg Pike MS:MB,
Falls Church VA 22041-3803
Email: DBHC@fws.gov
Phone: (703) 358-1784

Website Address:

<https://www.fws.gov/birds/grants/north-american-wetland-conservation-act.php>

RELATED PROGRAMS:

15.614 Coastal Wetlands Planning, Protection and Restoration Act; 15.635 Neotropical Migratory Bird Conservation ; 15.611 Wildlife Restoration; 15.605 Sport Fish Restoration Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Example of Mexico grant project, Integrated Management in Santiaguillo Lagoon Watershed- This effort is within the Santiaguillo Lagoon, a wetland in the Chihuahuan Desert, that is critical for the migration of aquatic birds in the Central Flyway. An innovative social governance scheme has been established for this lagoon, featuring an active citizen committee. The grant project follows guidance from this committee, and is consistent with the North American Waterfowl Management Plan, the North American Shorebird Conservation Plan, the Waterbird Conservation Plan of the Americas, and the Partners in Flight Bird Conservation Plans. This project will establish conservation reserves on 19,027 acres. It will enhance 2,508.12 acres of aquatic habitat; restore 15,936.75 acres of degraded uplands in the upper and middle watershed; and manage 11,119 acres of aquatic habitat. It will also develop a protocol for the remediation of contaminants and establish two oxidation lagoons. 2021 Example of US grant project, Pearl River Valley Wetlands Conservation, Mississippi The goal of this project is to protect in perpetuity 1,693 acres of wetlands and associated uplands along both the upper and lower Pearl River, as well as its tributaries. Furthermore, this project will restore 100 of these acres to bottomland hardwood forest. The Pearl River Basin is located in the East Gulf Coastal Plain in Mississippi, and encompasses the Pearl

ASSISTANCE LISTINGS DESCRIPTIONS

River and its tributaries beginning at its headwaters, at the confluence of the Nanih Waiya and Tallahaga Creeks in Neshoba County. This project will protect habitats along the Pearl River, including palustrine wetland types, riverine, bottomland ponds, interior streams adjacent to upland pine, and mixed hardwoods. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that protect and improve habitat for migratory birds and other wetland-associated species. More examples of NAWCA projects can be found at <http://www.fws.gov/birds/grants/north-american-wetland-conservation-act.php>.

CRITERIA FOR SELECTING PROPOSALS:

The Council selects proposals based on factors given in Section 5 of the North American Wetlands Conservation Act, results of site visits, available funds and other factors deemed important to meeting Act objectives.

15.626 Enhanced Hunter Education and Safety

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Pittman-Robertson Wildlife Restoration Act Firearm and bow hunter education and safety program grants (16 U.S.C. 669h-1) as amended Target Practice and Marksmanship Training Support Act (Public Law 116-17, May 10, 2019), as amended Modernizing the Pittman-Robertson Fund for Tomorrows Needs Act (Public Law 116-94, December 20, 2019).

OBJECTIVES:

This program provides funds for the enhancement of hunter and archery education programs and the enhancement or construction of firearm shooting ranges and archery ranges as well as acquiring land for, expanding, or constructing public target ranges, including ranges on Federal land.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

This program provides funds for the enhancement of: 1. hunter education programs, hunter and sporting firearms programs, and hunter development programs; 2. interstate coordination and development of hunter education programs; 3. bow hunter and archery education, safety, and development programs; 4. construction of firearm or archery ranges; 5. Acquiring land for the construction of firearm and archery ranges, and 6. updating safety features of firearm and archery ranges.

Applicant Eligibility:

State; U.S. Territories and possessions; Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that include a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

State; U.S. Territories; General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

Each year within 60 days of the apportionment notice, States, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid hunter license holders. Allocable costs are determined in accordance with 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the

office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that include a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency. An applicant should consult the office or official designated as the single point of contact in his or her State, Commonwealth, or territory for more information on this process when applying for assistance if the State has selected the program for review

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 30 days.

Appeals:

Other. Regional Directors will consider the differences of opinion about eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Other. Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Grant funds may be disbursed to States for up to 75 percent of the total cost of a project. Grant funds may be disbursed from 75 to 100 percent of the total project costs to the Commonwealths of Puerto Rico, Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa. Each Regional Director decides on the specific Federal share between 75 and 100 percent based on what he or she decides is fair, just, and equitable.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Enhanced Hunter Education and Safety funds are available each Fiscal Year and are one-year funds. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

ASSISTANCE LISTINGS DESCRIPTIONS

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost Records must be maintained separately for each project for three years after submission of the final Federal Financial Report (SF 425) as described in 2 CFR 200.

Account Identification:

14-5029-0-2-303.

Obligations:

Formula Grants FY 20 \$8,000,000.00; FY 21 est \$8,000,000.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

Range is \$13,300 to \$240,000; Average \$145,000.

TAFS Codes:

14-5029.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program expects to receive approximately 40 applications and to issue about 40 awards. Fiscal Year 2021: The program expects to receive approximately 40 applications and to issue about 40 awards. Fiscal Year 2022: The program expects to receive approximately 40 applications and to issue about 40 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80 contains the program regulation for this program. Matching and cost-sharing requirements are discussed in 50CFR 80.85 and 2 CFR 200.306.

Applicants can visit these regulations and guidance at <http://fawiki.fws.gov/display/WTk/Toolkit+Homepage>.

Regional or Local Office:

None. Regional Contacts: Region 1, Pacific Region (Idaho, Oregon, Washington, Hawaii, Pacific Islands) Barb Behan, 503-231-2066. Region 2, Southwest Region (Arizona, New Mexico, Oklahoma, Texas) Andrew Ortiz, 505-248-7459. Region 3, Midwest Region (Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin) Fabian Romero, 612-713-5145. Region 4, Southeast Region (Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, U.S. Virgin Islands) Diana Swan, 404-679-7058. Region 5, Northeast Region (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia) Richard Zane, 413-253-8506. Region 6, Mountain-Prairie Region (Colorado, Idaho, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming) Otto Jose, 303-236-8156. Region 7, Alaska Region (Alaska) Doug McBride, 907-786-3631. Region 8, Pacific Southeast Region (California, Nevada) Justin Cutler, 916-414-6457.

Headquarters Office:

Fish and Wildlife Service,

U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church VA 22041

Email: r9wsfr_programs@fws.gov

Phone: (703) 358-2156

Fax:

Website Address:

<http://wsfrprograms.fws.gov/>

RELATED PROGRAMS:

15.611 Wildlife Restoration;

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of Enhanced Hunter Education and Safety clinics include: wilderness survival, game care and cooking, land navigation, hunter marksmanship, deer hunting, black powder hunting, upland game hunting, bow hunting, wild pig hunting, and waterfowl hunting. Projects also include construction, development, and enhancement of firearm and archery ranges, as well as updating safety features at firearms and archery ranges. 2021 The Program has not yet completed selecting projects for funding. The Program anticipates funding Section 10 Enhanced Hunter Education and Safety projects and activities that are eligible each year. 2022 The Program has not yet completed selecting projects for funding. The Program anticipates funding Section 10 Enhanced Hunter Education

and Safety projects and activities that are eligible each year.

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territory agency having responsibility for the management of their resources must submit the projects. The State, Commonwealth's, or territory's wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.628 Multistate Conservation Grant

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Wildlife and Sport Fish Restoration Programs Improvement Act, Pub. L. 106-408, 114 STAT. 1766, 112 et seq.; Dingell-Johnson Sport Fish Restoration Act, 16 U.S.C. 777 et seq.; and Pittman-Robertson Wildlife Restoration Act, 16 U.S.C. 669 et seq.,

OBJECTIVES:

This program provides funding for sport fish and wildlife restoration projects identified by the Association of Fish and Wildlife Agencies. Recipients awarded under the Traditional Multistate Conservation Funds (T-MSCGP) may use the funds for sport fisheries and wildlife management including research projects, boating access development, hunter safety and education, aquatic education, fish and wildlife habitat improvements, and other purposes consistent with the enabling legislation. Recipients awarded under the R3 Multistate Conservation Grant Program (R3-MSCGP) can only use the funds for making hunter recruitment and recreational shooter recruitment projects that promote a national hunting and shooting sport recruitment program, including related communication and outreach activities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project types that are generally selected for funding are those considered of national importance according to state fish and wildlife agencies. Funding activities focus on: education, training, data analysis, values and use of sport fish and wildlife restoration programs; new technologies, hunting and fishing participant recruitment/retention; and evaluation in restoration, recreation, enhancement, or protection of sport fish and wildlife and their habitats. Funds under this program are not used for land acquisition or major construction projects. For further information, please contact the Washington D.C. Office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Federal; Non-Government - General; Eligibility is limited to: 1) Agencies with lead management responsibility for fish and wildlife resources in each of the 50 States, the District of Columbia, Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of American Samoa, Guam, and the U.S. Virgin Islands, or a group of these agencies; 2) The Service, only for the purpose of carrying out the National Survey; or 3) Non-governmental organizations (NGOs). NGOs including educational institutions that are invited to submit full proposals must submit a certification that their organization will not use grant funds to fund, in whole or in part, any activity that promotes or encourages opposition to the regulated hunting or trapping of wildlife or the regulated taking of fish in their application. Only projects that propose benefits to sport fish, wild birds and/or mammals are eligible. Proposed projects must also benefit: 1) At least 26 States or; 2) A majority of the States in a Service Region; or 3) A regional association of State fish and wildlife agencies.

Beneficiary Eligibility:

State; Projects must benefit at least 26 States, a majority of States in a Region of the U.S. Fish and Wildlife Service, or a Regional association of State fish and game departments.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

None. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is co-managed with the Association of Fish and Wildlife Agencies (AFWA). AFWA receives all applications in its two step application process. To apply, the first step is to submit a Letter of Intent (LOI) to AFWA. AFWA may invite applicants that submit a LOI to submit a full grant proposal for review. If selected by AFWA as a priority project, your project will be recommended for funding on the priority list. The Director of the U.S. Fish and Wildlife Service selects the awards from the priority list.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects for funding from a priority list developed by the Association of Fish and Wildlife Agencies (AFWA) based on established criteria.

Deadlines:

The Association expects to post the availability of FY2022 Multistate Conservation Grant Award Funds and specific deadlines on their website, <http://www.fishwildlife.org> during the third week of March 2021. The Wildlife and Sportfish Restoration Program (WSFR) will also post the availability of FY2022 Award Funds, Notice of Funding Opportunity by March 19 in GrantSolutions.gov and in Grants.gov. Initial Proposals will be due to the Association by May 17, 2021. The Association reviews and scores the Initial Proposals and invites applicants with the highest scoring proposals to submit Full Grant Proposals by June 21, 2021. Full Grant Proposals must be submitted through GrantSolutions.gov or Grants.gov and to the Association by July 20, 2021. The Association scores and ranks the Full Grant Proposals and produces a priority list of projects of the highest ranking proposals. The Association submits the priority list of projects for award consideration to the U.S. Fish and Wildlife Service, Assistant Director, Wildlife and Sport Fish Restoration Program by October 1 of each year. WSFR can only award projects that appear on the priority list. The WSFR approved and awarded projects are published in the Federal Register in the spring/summer of each calendar year.

Range of Approval/Disapproval Time:

Other.

Appeals:

Other. Any differences of opinion over the eligibility of proposed activities or differences arising over the conduct of work may be appealed to the Director of the U.S. Fish and Wildlife Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects may be approved for up to three years with funding amounts available dependent on annual competition. The Program obligates all grant funds at the start of the first year and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. The Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: lump sum.

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are

required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-8151-0-7-303. 14-5029-0-2-303.

Obligations:

Project Grants (Discretionary) FY 20 \$6,000,000.00; FY 21 est \$6,000,000.00; FY 22 est \$6,000,000.00; Project Grants (Discretionary) FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

The range based on FY 2017 awards was \$50,000 to \$560,000; Average \$150,000.

TAFS Codes:

14-8151. 14-5029.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Association received 48 applications (from recipients invited by the Association to submit applications from 63 submitted Letters of Intent). The Association recommended 40 to be awarded by the WSFR Program. WSFR reviewed, approved and awarded all 40 applications. Program accomplishments vary and are based upon National Conservation Needs (NCNs), selected annually by the Associations National Grants Committee. All proposals must address at least one of the annually selected NCNs. Fiscal Year 2021: Beginning for the first time this year, the Association replaced National Conservation Needs with Strategic Priorities. All projects were required to address one or more of the selected Strategic Priorities, which were reviewed and approved by the Associations Executive Committee at the North American Wildlife and Natural Resources Conference, March 8-13, 2020. In addition, the first stage submission requirement for use of Letters of Intent was replaced by the submission of Initial Proposals (for requirements see <http://www.fishwildlife.org>)The Association received 85 Initial Proposals and invited 52 applicants to submit Full Grant Proposal packages. The Association recommended 38 for award within their priority list to the WSFR Program. WSFR is currently in the process of reviewing, approving and awarding all 38 applications. Program accomplishments vary and are based upon Strategic Priorities, selected annually by the Associations National Grants Committee. Fiscal Year 2022: The Program has not invited prospective grantees to submit Initial Proposals or Full Grant Proposal packages at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200; 50 CFR 80 and Sections 521, 522, 523 of the Fish and Wildlife Service Manual.

Regional or Local Office:

None. This program is administered from the U.S. Fish and Wildlife Service Headquarters Office, so please contact them for more information.

Headquarters Office:

Director, Policy and Programs,
U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church VA 22041-3803

ASSISTANCE LISTINGS DESCRIPTIONS

Email: r9wsfr_programs@fws.gov

Phone: (703) 358-2156

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

15.611 Wildlife Restoration; 15.605 Sport Fish Restoration Program;

EXAMPLES OF FUNDED PROJECTS:

2020 (1) Chronic Wasting Disease (CWD) was first observed in mule deer in a research facility in Colorado in 1967 and in wild cervids in the 1980s in Colorado and Wyoming. Currently, CWD has been confirmed in wild deer, elk, and/or moose in 24 states and two provinces. Among captive cervids, CWD has been confirmed in 112 herds in 17 states and 109 herds in three provinces. The disease continues to be detected in new areas, and negative population impacts have been documented in heavily infected, wild, elk, mule deer, and whitetail herds. The Wildlife Management Institute continues working to provide critically needed technical assistance and authoritative guidance to state wildlife agencies developing, revising, or implementing CWD prevention, surveillance, and management programs for free-ranging deer, elk, and moose.(2) The future of fishing participation and the associated license sales and excise tax revenues depend on recruiting younger anglers into the sport. The American Sportfishing Association continues working on a project to determine if a fishing in the schools program is sustainable, scalable and if it increases localized angler participation. The pilot year was to be focused on establishing a fishing program in 50 schools in five states, providing teacher trainings, and conducting surveys. Program reports and survey results will be compiled, analyzed and presented to state and federal agencies, the industry and partners. The information provided can be used to identify areas where: State agency involvement can improve upon and/or enhance the program and its effectiveness; tackle retail stores can focus marketing efforts; conservation organizations can build partnerships with public and private schools, assisting with and improving the fishing event portion of the program.(3) Each year, state agencies and their industry partners, as well as non-profit groups such as the Recreational Boating and Fishing Foundation (RBFF) and the American Sportfishing Association (ASA), must make difficult decisions regarding which R3 (recruitment, retention, and reactivation) fishing programs and projects to fund given their fixed budgets. The Recreational Boating and Fishing Foundation continues work on a study that will entail a comprehensive assessment of what both the research to-date and the angler R3 community indicate are the most effective and worthwhile programs. The overall goal is to provide state agencies and partners (especially organizations that offer grant opportunities) with strategic direction for which R3 programs and projects should receive the highest priority for funding. The study will explore existing programs to assess which ones have the greatest impact on increasing fishing participation, such as programs for adults, youth, or minorities. 2021 (1) The American Fisheries Society will begin a project titled Communicating the Effects of Climate change on Fish and Fisheries. The goal of this proposal is to substantially enhance the communication skills of state agency fisheries professionals by building on and expanding AFS programs to serve as a source of communication training, impactful content, and innovative approaches to effectively integrate climate change scientific information into accessible messaging.(2) The Sportmens Alliance Foundation will begin a project titled State and Congressional District-Level Economic Impacts for Hunting and Target Shooting. The main goals is to provide all 50 states with scientific, easy-to-understand information about hunting and target shooting's expenditures, jobs, tax revenue, conservation contributions (Pittman Robertson plus license revenues), income and other economic data needed to increase public awareness of, and support for, proper scientific management. The results will be complimentary and additive to the sportfishing economic insights now under production by the ASA to allow states to sum all numbers to enhance their communications efforts.(3) The Wildlife Management Institute in partnership with the Association of Conservation Information (ACI) will begin a project titled Effective R3 Marketing Strategies. Marketing is an effective way to accomplish Recruitment, Retention and Reactivation (R3) of hunters and recreational sport shooting. This project will deliver a practical and applicable online compendium of successes and lessons learned from agency implemented R3 marketing efforts that can be used by any agency to create an effective R3 marketing campaign. ACI and its partners are focused on helping states boost their marketing successes. 2022 The Program has not yet selected projects for funding. The Program anticipates funding regular Multistate Conservation Grant projects that address species or habitat research

activities, hunting and fishing recruitment and retention information collection, aquatic or hunter education activities, conservation planning, outreach and coordination.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be reviewed and evaluated for scope, significance, feasibility, and cost effectiveness. In addition, projects must address one or more focus areas as described in the National Conservation Needs established annually by the Association of Fish and Wildlife Agencies (AFWA).

15.629 Great Apes Conservation Fund

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Great Ape Conservation Act, 16 U.S.C. 6301 et seq.,

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of great apes and their habitats. This program supports projects that focus on one or more of the following areas: applied research on ape populations and their habitats, including surveys and monitoring; development and execution of ape conservation management plans; compliance with applicable treaties and laws that prohibit or regulate the taking or trade of ape or regulate the use and management of ape habitat; conservation education and community outreach; enhanced protection of at-risk ape populations; efforts to decrease human-ape conflicts; habitat conservation and management; protected area/reserve management in important ape range; strengthening local capacity to implement conservation programs; transfrontier ape conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur within the ape range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to ape conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training Federal Government personnel.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applications may be submitted by any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

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Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/pdf/notice-of-funding-availability-great-ape.pdf>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance." Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the Great Ape Conservation Act (16 USC 6301 et seq.). Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. To the extent possible, grant funds are matched by nonfederal funds. Cost sharing is not required but priority will be given to projects for which there exists some measure of matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available from the start date of the period of performance to the end date of the period of performance. Funding must be spent within 90 days of the end date of the period of performance. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit interim progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance

reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1611. 14-1652.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 85 Applications estimated to be received and issuing 40 awards.

Fiscal Year 2021: 85 Applications estimated to be received and issuing 40 awards.

Fiscal Year 2022: 85 Applications estimated to be received and issuing 40 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a grant or cooperative agreement from the U.S. Fish and Wildlife Service carries with it the responsibility to be aware of and comply with the terms and conditions of award. Service Award Terms and Conditions are available at <https://www.fws.gov/grants/atc.html>. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service. Awards from the Service are subject to the terms and conditions incorporated into the award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Service financial assistance award terms and conditions flow down to subrecipients and contractors, unless a particular award term or condition specifically indicates otherwise.

Regional or Local Office:

Headquarters Office:

Chief,
Division of International Conservation U.S. Fish and Wildlife Service
Department of the Interior
5275 Leesburg Pike, MS:IA,
Falls Church VA 22041-3803
Email: MSCF_GreatApe@fws.gov
Phone: (703) 358-1754
Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/great-ape-conservation-fund.html>

RELATED PROGRAMS:

15.620 African Elephant Conservation Fund; 15.645 Marine Turtle Conservation Fund; 15.619 Rhinoceros and Tiger Conservation Fund; 15.621 Asian Elephant Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

2020 The Great Ape Conservation Fund will consider projects that promote conservation through: Development and execution of ape conservation management plans. Reducing trade in, and consumer demand for, illegally harvested apes and ape products. Applied research on ape populations and their habitats, including surveys and monitoring, and disease. Conservation and

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management of protected areas and other ape habitat, including corridors connecting habitats. Strengthening local capacity to implement ape conservation programs that lead to conservation stewardship. Compliance with applicable treaties (such as the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) and laws that prohibit or regulate the taking or trade of apes or regulate the use and management of ape habitat. Wildlife inspection, law enforcement and forensics skills. Enhanced protection of at-risk ape populations. Reduction of human-ape conflicts. Ape-relevant conservation education and community outreach. Transboundary ape conservation. 2021 The Great Ape Conservation Fund will consider projects that promote conservation through: Development and execution of ape conservation management plans. Reducing trade in, and consumer demand for, illegally harvested apes and ape products. Applied research on ape populations and their habitats, including surveys and monitoring, and disease. Conservation and management of protected areas and other ape habitat, including corridors connecting habitats. Strengthening local capacity to implement ape conservation programs that lead to conservation stewardship. Compliance with applicable treaties (such as the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) and laws that prohibit or regulate the taking or trade of apes or regulate the use and management of ape habitat. Wildlife inspection, law enforcement and forensics skills. Enhanced protection of at-risk ape populations. Reduction of human-ape conflicts. Ape-relevant conservation education and community outreach. Transboundary ape conservation. 2022 The Great Ape Conservation Fund will consider projects that promote conservation through: Development and execution of ape conservation management plans. Reducing trade in, and consumer demand for, illegally harvested apes and ape products. Applied research on ape populations and their habitats, including surveys and monitoring, and disease. Conservation and management of protected areas and other ape habitat, including corridors connecting habitats. Strengthening local capacity to implement ape conservation programs that lead to conservation stewardship. Compliance with applicable treaties (such as the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) and laws that prohibit or regulate the taking or trade of apes or regulate the use and management of ape habitat. Wildlife inspection, law enforcement and forensics skills. Enhanced protection of at-risk ape populations. Reduction of human-ape conflicts. Ape-relevant conservation education and community outreach. Transboundary ape conservation.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the Great Ape Conservation Fund (16 U.S.C. 6301 et seq.). Additional details on the selection criteria can be found in the Great Ape Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.630 Coastal

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Coordination Act/Cooperation of agencies (16 U.S.C. 661), Fish and Wildlife Act/Community partnership enhancement (16 U.S.C. 742f(d)),

OBJECTIVES:

To provide technical and financial assistance via partnerships to assess, protect, and restore or improve fish and wildlife habitats in priority coastal areas for Federal trust species.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds are used for habitat assessments, planning, and coastal habitat protection and restoration on public or private lands. The Coastal Program is geographically limited to 24 high priority areas. These are Gulf of Maine, Southern New England/New York Bight, Delaware Bay, Chesapeake Bay, Albemarle/Pamlico Sound, South Carolina Coast, Northeast Florida, South Florida/Everglades, Tampa

Bay, Florida Panhandle, the Caribbean, Gulf of Mexico, Texas Coast (Northern), Texas Coast (Southern), Great Lakes (Northern), Great Lakes (Southern), San Diego Bay, San Francisco Bay, Humboldt Bay, Central California Coast, Oregon Coast, Puget Sound, Alaska (South East), Alaska (South Central), and the Pacific Islands.

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Other private institutions/organizations; Federal, State, interstate and intrastate agencies; local and tribal governments; public nonprofit institutes and organizations (such as conservation organizations, watershed councils, land trusts, schools and institutions of higher learning); U.S. territories and possessions; private landowners including individuals and businesses.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Interstate; Intrastate; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Federal, state, interstate and intrastate agencies, tribes, local governments; public nongovernmental organizations (such as conservation organizations, watershed councils, land trusts, schools and universities); U.S. territories and possessions; private landowners, including individuals and businesses.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After a project has been designed and the cost calculated, the local Coastal Program coordinator will be able to inform the respective cooperator(s) whether or not the Service will provide technical or financial assistance. When the cooperator has signed a cooperative agreement work can begin on the project. The Service reimburses the landowner after the project is completed. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After a project has been designed and the cost calculated, the local Coastal Program coordinator will be able to inform the respective cooperator(s) whether or not the Service will provide financial assistance. When the cooperator has signed a cooperative agreement work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

Assistance available Oct. 1 2020 – Sept. 30 2021.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. None. However, project partners may request additional projects on their lands.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

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this assistance listing.

Length and Time Phasing of Assistance:

Assistance available Oct. 1 2019 Sept. 30 2020; funds must be expended within 2 years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be maintained separately for each project in accordance with 2 CFR 200.

Account Identification:

14-1611-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$13,000,000.00; FY 21 est \$13,000,000.00; FY 22 est \$13,000,000.00;

Range and Average of Financial Assistance:

Range is \$5,000 to \$50,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 186 applications received and 186 awards issued. Fiscal Year 2021: 160 applications and 160 awards (estimate). Fiscal Year 2022: 160 applications and 160 awards (estimate).

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See <http://www.fws.gov/coastal> for contact information and addresses.

Headquarters Office:

Chris Darnell,
Branch of Habitat Restoration U.S. Fish and Wildlife Service 5275 Leesburg Pike
MS NWRS,
Falls Church VA 22041
Email: chris_darnell@fws.gov
Phone: 703-557-8163
Fax:

Website Address:

<http://www.fws.gov/coastal>

RELATED PROGRAMS:

15.631 Partners for Fish and Wildlife;

EXAMPLES OF FUNDED PROJECTS:

2020 Biological inventories and assessments, conservation planning and design, coastal wetland restoration, hydrologic reconnection, exotic species control, native revegetation, prescribed burns, living shoreline design and construction, dune

restoration and stabilization, schoolyard habitats, and coral reef conservation. 2021 Biological inventories and assessments, conservation planning and design, coastal wetland restoration, hydrologic reconnection, exotic species control, native revegetation, prescribed burns, living shoreline design and construction, dune restoration and stabilization, schoolyard habitats, and coral reef conservation. 2022 Biological inventories and assessments, conservation planning and design, coastal wetland restoration, hydrologic reconnection, exotic species control, native revegetation, prescribed burns, living shoreline design and construction, dune restoration and stabilization, schoolyard habitats, and coral reef conservation.

CRITERIA FOR SELECTING PROPOSALS:

All projects must benefit Federal trust species and be cost effective. Project selection occurs at the field level. See the annual Notice of Funding Opportunity (NOFO) in <http://grants.gov> for more details.

15.631 Partners for Fish and Wildlife

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Partners for Fish and Wildlife Act, 16 U.S.C. 3771-3774; Fish and Wildlife Act; 16 U.S.C. 742 et seq.; and Fish and Wildlife Coordination Act, 16 U.S.C. 661-666.,

OBJECTIVES:

Deliver Department of the Interior priorities and US Fish and Wildlife Service mission by providing financial and technical assistance to private landowners and Native American Tribes interested in voluntarily working with USFWS to conserve, protect, and enhance fish, wildlife, plants, and their habitats for the continuing benefit of the American people. Provide technical assistance to State and Federal conservation agencies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The PFW program uses congressionally appropriated funding in accordance with the Partner for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Individual/Family; Anyone/general public; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private landowners, tribal governments, local and state governments, educational, for-profit, and non-profit institutions and organizations are eligible for financial and technical assistance from Partners for Fish and Wildlife Program. Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land that is not owned by the Federal Government or a State. Private land includes tribal land and Hawaiian homeland.

Beneficiary Eligibility:

Anyone/general public; Federally Recognized Indian Tribal Governments; Private landowners, tribal governments, local and state governments, educational, for-profit, and non-profit institutions and organizations are eligible for financial and technical assistance from Partners for Fish and Wildlife Program. Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land that is not owned by the Federal Government or a State. Private land includes tribal land and Hawaiian homeland.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The local Partners for Fish and Wildlife Program Coordinator works with the applicant and makes a site visit to design a project that will benefit Federal trust resources and is acceptable for the landowner's needs. Contact the appropriate Partners for Fish and Wildlife Program Office for application procedures. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction) Information is also available at <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After a project has been designed and the cost calculated, the local Partners Program coordinator will be able to inform the private landowner whether or not the Service will provide cost-share assistance. When the landowner has signed a cooperative agreement (a minimum of 10 years) work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. None. Private land owners may request additional projects on their lands.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available on a continuous basis based on funding, funds typically must be spent 1 year from time of obligation. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be maintained in accordance with 2 CFR 200.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$52,000,000.00; FY 21 est \$57,000,000.00; FY 22 est \$57,000,000.00;

Range and Average of Financial Assistance:

Cost-share range per project is from \$200 to \$25,000. The average cost per project is \$5,400.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Program completed over 1,900 project accomplishments that enhanced and restored over 260,000 acres of habitat, 280 river miles, and 63 fish passage structures. Fiscal Year 2021: The Program expects to complete a minimum of 950 project accomplishments. Fiscal Year 2022: The Program expects to complete a minimum of 950 project accomplishments.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information about Program policy and guidelines see the Partners for Fish and Wildlife Program Management Policy in the Fish and Wildlife Service Manual, 640 FW1 (at <http://www.fws.gov/policy/640fw1.html>).

Regional or Local Office:

None. Visit <http://www.fws.gov/partners> for contact information.

Headquarters Office:

Matt Filsinger,

Branch of Habitat Restoration, U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: NWRS, Falls Church VA 22041-3803

Email: matthew_filsinger@fws.gov

Phone: (703) 358-2011

Website Address:

<http://www.fws.gov/partners>

RELATED PROGRAMS:

15.630 Coastal Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Maryland:Landscape Conservation: Wetland/Upland Restoration in Choptank River WatershedChesapeake Bay Field Offices Partners for Fish and Wildlife biologists, in coordination with a Caroline County, Maryland landowner and the Natural Resources Conservation Service (NRCS), are restoring an 89-acre mosaic of wetlands and uplands on mostly hydric soil cropland. Enrolled in the NRCS Wetland Reserve Easement program (WRE), the project is located in the headwaters of the Choptank River watershed, a major tributary of the Chesapeake Bay with significant runs of river herring (*Alosa* spp.) and striped bass (*Morone saxatilis*) among many other species of fish. The restoration includes construction to create emergent wetlands, planting trees, and planting meadow areas. The restored forests and grasslands will provide a buffer for emergent wetlands, slow surface runoff, and improve infiltration for stream base flow. The permanent protection of the forest will provide habitat for forest interior nesting birds. Grasslands will provide habitat for grassland nesting birds, as well as pollinators. The wetland component will provide habitat for many types of birds, including ducks, geese, wading birds, shorebirds, and neotropical migratory songbirds. This project is part of an ongoing initiative to restore vital habitats on the Delmarva Peninsula where over 50 percent of historic wetlands and forests have been drained or cleared.Montana:Hat Creek Ranch Sage steppe and Wildlife Corridor ProjectMontana Partners for Fish and Wildlife Program completed multiple projects on the Hat Creek Ranch (HCR) in the Big Hole watershed of southwest Montana to enhance sage steppe habitat and wildlife migratory corridors. HCR is home to a diverse array of wildlife species including greater sage grouse, sage steppe obligate song birds, pronghorn, elk, moose, mule deer, numerous carnivores, raptors and Arctic grayling. The project included improved wildlife passage through 10 miles of fence modification, enhanced range and riparian conditions on 4,981 acres, restoration of 5 acres of mesic habitat, and removal of invasive conifers on 100 acres. Cumulatively these projects will enhance healthy habitat and corridors for wildlife and maintain a sustainable and economically viable ranch. The HCR Sage Steppe and Wildlife Corridor Project exemplifies the importance of landowner stewardship and partnerships necessary to enhance and protect wildlife habitat, maintain open spaces and support a working landscape. Partners for this project include Hat Creek Ranch, National Fish and Wildlife Foundation, Montana Fish, Wildlife & Parks, Southwest Montana Sagebrush Partnership, The Nature Conservancy and the Montana Conservation Corps.Oklahoma:Livestock Grazing Control and Improved Water QualityThe cooperators installed a permanent barbed wire fence along 4.25 miles of the Cimarron River to prevent livestock access to

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the riparian area. This practice helped control livestock grazing, reduce soil bank erosion, and improve water quality. Once restored, the area will provide improved habitat for many endemic and migratory riparian dependent species as well as aquatic species such as the Arkansas River shiner. The project area will also be burned as needed to enhance native plant growth, increase pollinator plants, and protect the area from encroachment of non-native vegetation and woody tree species. The project has also prevented livestock escapes into the River and slowed soil erosion along the river. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that voluntarily restore habitat for wildlife and recreational benefits to the American people. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that voluntarily restore habitat for wildlife and recreational benefits to the American people.

CRITERIA FOR SELECTING PROPOSALS:

All projects must benefit Federal trust species, involve partnerships, be-cost effective, and where applicable involve on-the-ground habitat restoration. Specific criteria for reviewing and ranking projects were established in the national Partners policy, at <https://www.fws.gov/policy/640fw1.html>. The program gives high priority to proposed projects that benefit the National Wildlife Refuge System, species at risk, conserve globally or nationally imperiled habitats; and reduce habitat fragmentation. Please review the annual Notice of Availability of Federal Assistance for additional selection criteria referenced in <http://www.grants.gov>.

15.633 Landowner Incentive

(LIP).

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Revised Continuing Appropriations Resolution, 2007 Landowner Incentive Program (Pub. L. 110-5), Department of the Interior and Related Agencies Appropriations Act of 2006 (Pub. L. 109-148), Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601-4 through 11, Pub. L. 109-148, 16 US Code 4601-4 through 11),

OBJECTIVES:

This program establishes or supplements existing landowner incentive programs that provide technical or financial assistance. This includes habitat protection and restoration; to assist private landowners in protecting and managing habitats to benefit federally listed, proposed, or candidate species, or other at-risk species; and to encourage States, the District of Columbia, Commonwealths, or territories to enhance private landowner conservation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Tier 1 projects provide the States, the District of Columbia, Commonwealths, or territories to support development of a new program, or to enhance an existing landowner incentive program, through administration, development of plans, outreach, and other associated activities that assist in the accomplishment of projects on private lands. Tier 2 projects should place a priority on the implementation of State, the District of Columbia, Commonwealth, or territorial programs that provide technical and financial assistance to private landowners for the protection and restoration of habitats that benefit federally listed, proposed, or candidate species, or other species-at-risk.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

Anyone/general public; Private landowners and the public (While direct participation is limited to State, the District of Columbia, Commonwealth, or territorial fish and wildlife agencies, private landowners will directly benefit from

financial and technical assistance and the public will ultimately benefit from these wildlife conservation measures implemented on private lands).

Credentials/Documentation:

Only that agency that has lead management responsibility for fish and wildlife resources within the State, the District of Columbia, Commonwealth, or territory may apply for these grants. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applicants will receive notification of approval/disapproval within approximately 60 working days after proposal due date.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Grant funds are disbursed to States, the District of Columbia and Puerto Rico for approved grants up to 75% of the project costs and to American Samoa, Guam, the Commonwealth of the Northern Mariana Islands and the U.S. Virgin Islands for up to 100% of the project costs. Matching requirements may vary by State. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annual awards. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.328. A final performance report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

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Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5496-0-2-302.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-5496.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior and Related Agencies Appropriations Act, 2006, Public Law 109-148, Title I, language on Landowner Incentive Program.

Regional or Local Office:

None. Region 1 U.S. Fish and Wildlife Service Native American Liaison Eastside Federal Complex 911 NE 11th Avenue Portland, OR 97232-4181 Region 2 U.S. Fish and Wildlife Service Native American Liaison 500 Gold Avenue, SW P.O. Box 1306 Albuquerque, NM 87103-1306 Region 3 U.S. Fish and Wildlife Service Native American Liaison 1 Federal Drive Fort Snelling, MN 55111-4080 Region 4 U.S. Fish and Wildlife Service Native American Liaison 1875 Century Boulevard Atlanta, GA 30345 Region 5 U.S. Fish and Wildlife Service Native American Liaison 300 Westgate Center Drive, Hadley, MA 01035-9589 Region 6 U.S. Fish and Wildlife Service Native American Liaison P.O. Box 25486 Denver, CO 80225 Region 7 U.S. Fish and Wildlife Service Native American Liaison 1011 East Tudor Road Anchorage, AK 99503-6199 Region 8 U.S. Fish and Wildlife Service Tribal Partnerships Specialist Habitat Restoration Division 2800 Cottage Way, Rm W-2606 Sacramento, CA 95825

Headquarters Office:

Chief, Policy and Programs Division,
Chief, Policy and Programs Division, Wildlife and Sport Fish Restoration Programs, U.S. Fish and Wildlife Service, Department of the Interior, MS: WSFR, 5275 Leesburg Pike,,
Falls Church VA 22041-3803
Email: fwsgrants@fws.gov
Phone: (703)358-2231

Website Address:

<http://wsfrprograms.fws.gov>

RELATED PROGRAMS:

15.611 Wildlife Restoration; 15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.634 State Wildlife Grants; 15.615 Cooperative Endangered Species Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The State, the District of Columbia, Commonwealth, or territorial agency having lead responsibility for the management of their fish and wildlife resources must submit the projects. The State, the District of Columbia, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.634 State Wildlife Grants

SWG,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Consolidated Appropriations Act, 2021 State and Tribal Wildlife Grants (Pub. L.

116-260 [H.R. 133301]) and prior appropriations acts, Fish and Wildlife Act (16 U.S.C. 742 et seq.), and Fish and Wildlife Coordination Act (16 U.S.C. 661-666),

OBJECTIVES:

The State Wildlife Grant (SWG) Program provides funding to States through both the Formula and Competitive subprograms. While the intent of both subprograms is the same insofar as they support conservation of State-identified Species of Greatest Conservation Need, there are minor differences between them in delivery of funds to State recipients. The Formula SWG subprogram delivers apportioned funding to each State on an annual basis that is calculated based on the geographic size and population of each State, as described in the annual appropriations language authorizing this funding. Projects funded with Formula SWG Program awards often involve partners but typically do not involve other State fish and wildlife agencies working across State lines. The Competitive SWG subprogram delivers funds to States through a voluntary, nationally-competitive process in which interested States submit applications for grant funds that are reviewed and ranked by a national panel and awarded based on the merit of the proposed work and consistency with criteria published in the annual notice of funding opportunity. The Competitive SWG subprogram offers incentives for States to work with other States and partners to address conservation of identified species at range-wide or landscape scales.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Approved activities include those which develop and implement programs or projects for the benefit of wildlife and their habitat, including species that are not hunted or fished. In order to receive program funds, a State fish and wildlife agency must have an approved State Wildlife Action Plan. Approved activities may focus on Action Plan update and revision and/or implementation. Funds can be used for land acquisition and/or construction projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the Territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals for formula or competitive project grants to the U.S. Fish and Wildlife Service if they maintain a current, Service-approved State Wildlife Action Plan. The four regional Associations of Fish and Wildlife Agencies (NEAFWA, SEAFWA, MAFWA, and WAFWA) are eligible for competitive project grants at the discretion of recipient State fish and wildlife agencies.

Beneficiary Eligibility:

Anyone/general public; State; General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these wildlife conservation measures.)

Credentials/Documentation:

The State, District of Columbia, Commonwealth, or Territory must have a Service-approved State Wildlife Action Plan on file to receive grant funds. The Action Plan must consider the broad range of the State, the District of Columbia, Commonwealth, or Territory agencies' wildlife and associated habitats, with appropriate priority placed on those species of greatest conservation need. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For application requirements, see the Funding Opportunity Announcement in Grant Solutions (Formula SWG subprogram only) or the Notice of Funding Opportunity (Competitive SWG subprogram only) in Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

SWG-Formula: The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee. SWG-Competitive: The Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed projects, based on an objective scoring and ranking procedure as outlined in the Application Instructions, available at Grants.gov.

Deadlines:

Formula Grants: Deadline varies by Service Region from mid-July to mid-August, 2022.

Competitive Grants: Deadline is May 21, 2021.

Range of Approval/Disapproval Time:

Other. SWG-Formula: Approximately 30-60 days. SWG-Competitive: Approximately 120 days.

Appeals:

Other. Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Other. SWG-Formula: Grantees may renew projects on an annual basis if justifiable and if funds are available. SWG-Competitive: Not applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Formula Grants: Formula planning grants require 25% matching; Federal share not to exceed 75% of total costs. Formula implementation grants require 35% matching; Federal share not to exceed 65% of total costs.Competitive Project Grants require 25% matching; Federal share not to exceed 75% of total costs.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Formula SWG subprogram funds are available for obligation for two years ending September 30 of the Federal fiscal year after they were apportioned. Competitive SWG subprogram funds are not subject to this limitation. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which include submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Report.

Account Identification:

14-5474-0-1-302.

Obligations:

Formula Grants FY 20 \$52,572,338.00; FY 21 est \$55,508,169.00; FY 22 est \$58,000,000.00; Project Grants (Discretionary) FY 20 \$7,398,835.00; FY 21 est

\$7,400,000.00; FY 22 est \$7,400,000.00;

Range and Average of Financial Assistance:

Range is \$30,000 to \$3,000,000; Average \$500,000.

TAFS Codes:

14-5474.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the Formula SWG subprogram received an estimated 2500 applications and issued 2500 awards. In FY20 the Competitive SWG subprogram received 37 applications and issued 17 awards. Fiscal Year 2021: In FY21 the Formula SWG subprogram anticipates receiving 2600 applications and issuing 2600 awards. In FY21 the Competitive SWG subprogram anticipates receiving 38 applications and issuing 18 awards. Fiscal Year 2022: In FY22 the Formula SWG subprogram anticipates receiving 2700 applications and issuing 2700 awards. In FY22 the Competitive SWG subprogram anticipates receiving 39 applications and issuing 19 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further Consolidated Appropriations Act, 2020 (Public Law No. 116-94; H.R. 1865), 2 CFR 200

Regional or Local Office:

None. For project- and Region-specific information, contact your Regional WSFR Office: Pacific / Northwest States: American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Hawaii, Idaho, Oregon, and Washington: lfa_grants@fws.gov 503-231-6128 Southwest States: Arizona, New Mexico, Oklahoma, and Texas: fw2fa@fws.gov 505-248-7450 Midwest States: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, and Wisconsin: R3fedaid@fws.gov 612-713-5130 Southeast States: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, the Commonwealth of Puerto Rico, South Carolina, Tennessee, and the U.S. Virgin Islands: program_r4wsfr@fws.gov 404-679-4159 Northeast States: Connecticut, Delaware, the District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia: fw5fareports@fws.gov 413-253-8508 Mountain-Prairie States: Colorado, Kansas, Nebraska, Montana, North Dakota, South Dakota, Utah, and Wyoming: fw6_fagrants@fws.gov 303-236-5420 Alaska: AK_FA@fws.gov 907-786-3631 Pacific Southwest States: California and Nevada: R8fa_grants@fws.gov 916-786-3631

Headquarters Office:

Paul Van Ryzin,
U.S. Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program
MS: WSFR5275 Leesburg Pike,
Falls Church VA 22041
Email: paul_vanryzin@fws.gov
Phone: 703-358-1849
Fax:

Website Address:

<https://wsfrprograms.fws.gov/subpages/grantprograms/swg/swg.htm>

RELATED PROGRAMS:

15.611 Wildlife Restoration; 15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.615 Cooperative Endangered Species Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

2020 In Fiscal Year 2020, the Program (including the Formula and Competitive subprograms) funded projects benefiting State-identified animal Species of Greatest Conservation Need, targeting declining and at-risk species in danger of extinction or of potential future listing under the Endangered Species Act. In Fiscal Year 2020, projects included species monitoring, habitat analysis and improvement, acquisition of habitats, species captive rearing and release, research, and development of new conservation technologies and approaches. For example, significant funding was dedicated to addressing conservation needs of U.S. Fish and Wildlife Service candidate species such as gopher tortoise, monarch butterfly, and a variety of candidate mussel species whose continued decline will require listing as threatened or endangered without immediate conservation intervention. 2021 The Program has not yet selected projects for funding. The Program anticipates funding projects that support State, Commonwealth and Territory fish and wildlife agencies to develop programs to benefit wildlife and

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their habitats, including species that are not hunted or fished. Anticipated projects include species monitoring, habitat analysis and improvement, acquisition of habitats, species captive rearing and release, research, modeling, and development of new conservation technologies and approaches. 2022 The Program has not yet selected projects for funding. The Program anticipates funding projects that support State, Commonwealth and Territory fish and wildlife agencies to develop programs to benefit wildlife and their habitats, including species that are not hunted or fished. Anticipated projects include species monitoring, habitat analysis and improvement, acquisition of habitats, species captive rearing and release, research, modeling, and development of new conservation technologies and approaches.

CRITERIA FOR SELECTING PROPOSALS:

Formula Grants: Proposals submitted to the Service must address species and conservation actions identified in the State Wildlife Action Plans. Project Grants (competitive): Applications are scored and ranked based on criteria, subfactors, and priorities published annually in the Notice of Funding Opportunity (NOFO). The NOFO is available at [Grants.gov](https://www.grants.gov).

15.635 Neotropical Migratory Bird Conservation

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Neotropical Migratory Bird Conservation Act, 16 U.S.C. 6101 et seq.,

OBJECTIVES:

To assist in the conservation of neotropical migratory birds by providing financial resources for the projects of partnerships in countries within the ranges of neotropical migratory birds.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for approved neotropical migratory bird conservation projects. Projects must: enhance the conservation of neotropical migratory bird species in the U.S., Canada, Latin America, or the Caribbean; ensure adequate local public participation in project development and implementation; be implemented in consultation with relevant wildlife management authorities and other appropriate government officials with jurisdiction over the resources addressed by the project; be sensitive to local historic and cultural resources and comply with applicable laws; promote sustainable effective, long-term programs to conserve neotropical migratory birds; and other requirements the Secretary considers to be necessary. The Act requires that not less than 75 percent of the amounts made available each fiscal year shall be expended for projects outside of the U.S. Projects are approved for up to a 2-year period of time. For further information, please contact the headquarters office.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Other public institution/organization; Other private institutions/organizations; Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; An individual, corporation, partnership, trust, association, or other private entity; an officer, employee, agent, department, or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or of any foreign government; a State municipality, or political subdivision of a State; or any other entity subject to the jurisdiction of the United States or of any foreign country; or international organization with an interest in neotropical migratory bird conservation.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

Proposals are reviewed and competitively selected for funding using criteria developed on the requirements of the Neotropical Migratory Bird Conservation Act. Review criteria can be found in the application information on the program website. Proposals are reviewed by a panel of experts from the Fish and Wildlife Service and other government agencies, who make funding recommendations to the Director. After projects are selected for funding, a grant agreement between the Fish and Wildlife Service and the grantee is drafted by the Division of Bird Habitat Conservation (DBHC). The agreement is then processed by DBHC and sent to the recipient. The agreement is considered to be executed on the date of signature by the Chief of DBHC.

Deadlines:

November 04, 2021.

Range of Approval/Disapproval Time:

Other. Decisions on funding of project proposals are usually made no later than 180 days after the receipt of the project proposal.

Appeals:

Other. None. Proposals not funded may be resubmitted.

Renewals:

Other. Proposals are funded on a project-specific basis. Requests for future funding must compete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. In determining whether to accept projects for funding approval, applicants must guarantee a 3:1 match amount. That is, the applicant must match each Act dollar with 3 non-Federal dollars. Federal dollars refers to U.S. Federal dollars. Federal dollars of non-U.S. governments are eligible. In the U.S. and Canada, match must be in cash; outside of the U.S. and Canada, match may either be in cash or in-kind. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects are awarded for 2-3 year terms, upon which time all funds must be spent or returned to the U.S. Fish and Wildlife Service. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and a detailed accounting of receipts and expenditures of non-Federal matching dollars or value of services. Records, accounts, and supporting documents must be retained for 3 years after submission of final report.

Account Identification:

14-1696-0-0-302.

Obligations:

Project Grants (Discretionary) FY 20 \$4,849,936.00; FY 21 est \$4,762,700.00; FY 22 est \$3,860,490.00;

Range and Average of Financial Assistance:

Ranged from \$2,000 to \$200,000, with an average of \$100,000.

TAFS Codes:

14-1696.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY2020, the program received 55 applications; 34 projects were selected for funding. Fiscal Year 2021: In FY2021, the program received 56 applications; projects have not been selected. Fiscal Year 2022: In FY2021, the program anticipated receiving approximately 50 applications.

REGULATIONS, GUIDELINES, AND LITERATURE:

NMBCA Grant Application Instructions; U.S. Grant Administration Standards (for grants within the U.S.); NMBCA Grant Administration Guidelines for Grant Activities outside the USA; 2 CFR 200 and 49 CFR Part 24.

Regional or Local Office:

None.

Headquarters Office:

Chief,
U.S. Fish and Wildlife Service, Division of Bird Habitat Conservation, MS: MB,
5275 Leesburg Pike,
Falls Church VA 22041-3803
Email: neotropical@fws.gov
Phone: (703) 358-1784
Fax: (703) 358-2282

Website Address:

<http://www.fws.gov/birds/grants/neotropical-migratory-bird-conservation-act.php>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

2020 Saving Mar Chiquita Wetlands (Argentina) - \$200,000 to protect 13,000 acres in the Mar Chiquita area of central Argentina through acquisition, development of management practices and community engagement. American golden plover, lesser yellowlegs and pectoral sandpiper are among the birds that will benefit as a result of this project. 2021 Projects not yet selected. Program anticipates funding projects that support public-private partnerships carrying out projects in the United States, Canada, Latin America, and the Caribbean that promote the long-term conservation of Neotropical migratory birds and their habitats through: 1) Protection and management of neotropical migratory bird populations; 2) Maintenance, management, protection, and restoration of neotropical migratory bird habitat; 3) Research and monitoring; 4) Law enforcement; and 5) Community outreach and education. 2022 Projects not yet selected. Program anticipates funding projects that support public-private partnerships carrying out projects in the United States, Canada, Latin America, and the Caribbean that promote the long-term conservation of Neotropical migratory birds and their habitats through: 1) Protection and management of neotropical migratory bird populations; 2) Maintenance, management, protection, and restoration of neotropical migratory bird habitat; 3) Research and monitoring; 4) Law enforcement; and 5) Community outreach and education. For more information on funding opportunity: <https://www.fws.gov/birds/grants/neotropical-migratory-bird-conservation-act.php>.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be screened and selected for funding primarily on the basis of criteria contained in 16 U.S.C. 6101. However, proposals will also be evaluated on: scope and significance of effort; importance of resource values; relationship of project to other efforts locally/regionally; and feasibility and cost-effectiveness. Selection criteria are available on the program website.

15.636 Alaska Subsistence Management

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Alaska National Interest Lands Conservation Act, 16 U.S.C. 3119 and 3122; and Fish and Wildlife Coordination Act, 16 U.S.C. 661 to 666.

OBJECTIVES:

To identify and provide information needed to sustain subsistence fisheries and wildlife management on Federal public lands, for rural Alaskans, through a multidisciplinary, collaborative program. Two programs are administered under ANILCA to fulfill this objective. The Fisheries Resource Monitoring Program (Monitoring Program) funds approximately 40 studies annually to provide information on stock status and trends, harvests, and traditional ecological knowledge to manage and regulate Federal subsistence fisheries. The Partners for Fisheries Monitoring Program (Partners Program) strengthens Alaska Native and rural involvement in subsistence fisheries management and research. The program provides funds directly to Alaska Native and rural organizations to hire fishery biologists, social scientists, and educators with the intent of increasing the organizations ability to participate in Federal Subsistence Management.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for approved fisheries subsistence management, fisheries monitoring, and traditional ecological knowledge projects.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An individual/family, profit organization, other private institution/organization, public nonprofit institution/organization, an officer, employee, agent, department or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska; Federally recognized Indian Tribal Government (including any Native village as defined in the Alaska Native Claims Settlement Act).

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; State; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; An individual/Family, profit organization, other private institution/organization, public nonprofit institution/organization, an officer, employee, agent, department, or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska, or of any foreign government.

Credentials/Documentation:

Federal law mandates that all organizations applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the System for Award Management (SAM). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit the Standard Form (SF)-424, the appropriate Budget and Assurances forms (SF-424A and SF-424B - Non-construction), Project Narrative/Investigation Plan, Budget Justification, Negotiated Indirect Cost Rate Agreement, Conflict of Interest Disclosures, Certification Regarding Lobbying, and SF-LLL Disclosure of Lobbying Activities. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For the Monitoring Program, an inter-agency technical review committee evaluates proposal. The committee develops a draft monitoring program from the highest priority projects, based on their technical review and available funding. The draft monitoring plan is reviewed by ten regional advisory councils and the public. An inter-agency staff committee reviews all comments, and provides recommendations. The Federal Subsistence Board selects projects for the Monitoring Plan taking into account all comments and recommendations. Funding of the Monitoring Plan is administered by the Office of Subsistence Management (OSM). For the Partners Program, an external review committee is formed to evaluate proposals and make recommendations for funding. The evaluations are based on the criteria outlined in the request for federal assistance. The proposals are ranked and, based on available funding, the highest ranking proposals are funded by OSM approximately 3 months after the NOFO closing date. Funding of the Partners Program is administered by OSM. Once a Monitoring Program project or Partners Program position is selected for funding, a funding instrument, i.e., notice of award, between Fish and Wildlife Service and the recipient is drafted by OSM and processed through the contracting office. The award is considered executed when signed copies of the agreement are sent to the recipient and the contracting office obligates the funds.

Deadlines:

FY 2022 proposals for the Fisheries Resource Monitoring Program are due March 15, 2021; and FY 2024 proposals for the Partners for Fisheries Monitoring Program are due January 31, 2023.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Monitoring projects and positions may be approved for one to four years duration. Applicants may re-apply during subsequent Request for Proposals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. There is no requirement for cost sharing, matching, or cost participation. However, applicants are encouraged to document matching contributions. Proposals with substantial cost sharing will be ranked higher than similar proposals with no identified cost sharing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For the Monitoring and Partners Programs, upon approval, the project may be extended or the agreement modified for a period not to exceed five years from initial award. Awarded funds must be spent during the approved Period of Performance of the award, and in accordance with financial and reporting procedures specified in the award. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly.

Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,897,560.00; FY 21 est \$4,236,499.00; FY 22 est \$4,900,000.00;

Range and Average of Financial Assistance:

Variable amounts. Monitoring Program awards range from \$60,000 to \$860,000 for up to a 4-year project period. Partners Program awards range from \$120,000 to \$680,000 over a 4-year period.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the Program received 42 applications and issued twenty-one new awards. In addition, 36 continuing FRMP projects were funded. Fiscal Year 2021: In FY21 the Program received 43 applications for the FY22 funding cycle. Zero new awards and 50 continuing awards will be funded. Fiscal Year 2022: In FY22 the Program anticipates issuing 25 new awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements/project grants will be administered in conformance with the Fish and Wildlife Service Administrative Service Manual and 2 CFR 200; 2 CFR 215.

Regional or Local Office:

None.

Headquarters Office:

Michelle St Peters,
Office of Subsistence Management 1011 E. Tudor Road, MS 121,
Anchorage AK 99503
Email: michelle_stpeters@fws.gov
Phone: 907-78-3691
Fax:

Website Address:

<http://www.doi.gov/subsistence>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Eight Partners for Fisheries Monitoring Program (Partners) awards and 40 Fisheries Resource Monitoring Program (FRMP) projects were funded for FY20. Qawalanglin Tribe of Unalaska PFMP project: build capacity to create, design and solve long term subsistence resource management functions for the Unalaska/Dutch Harbor, Alaska region. The funded FRMP projects provide information needed to sustain subsistence fisheries on Federal public lands, for rural Alaskans, through a multidisciplinary, collaborative program. Yukon River Subsistence Salmon Survey Program FRMP project: locally hired surveyors collect

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weekly in-season Yukon River Subsistence Chinook Salmon harvest information from local fishers, as well as other observations and reports about concerns, and share this information among village representatives, and State and Federal managers for making fisheries management decisions and to build capacity for participation by rural communities and organizations. 2021 Seven ongoing Partners awards and 40 ongoing FRMP projects are being funded in FY21. The funded FRMP projects provide information on stock status and trends, harvests, and traditional ecological knowledge to sustain subsistence fisheries on Federal public lands, for rural Alaskans, through a multidisciplinary, collaborative program. Traditional Ecological Knowledge of Dolly Varden and whitefish species in Northwest Alaska FRMP project: monitor the subsistence harvest of Dolly Varden and Whitefish in NW Alaska and document the effects of climate change on areas of traditional harvest. The Office of Subsistence Management recently posted a Notice of Funding Opportunity and has not yet selected projects for funding. 2022 The Program anticipates funding 25 new projects that provide information needed to sustain subsistence fisheries on Federal public lands, for rural Alaskans, through a multidisciplinary, collaborative program.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are outlined in the Notice of Funding Opportunity (NOFO).

15.637 Migratory Bird Joint Ventures

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Act, 16 U.S.C. 742 et seq.; Fish and Wildlife Coordination Act, 16 U.S.C. 661-666; Fish and Wildlife Conservation Act, 16 U.S.C 2901 et seq.; Migratory Bird Treaty Act 16 U.S.C. 703 et seq.; and Endangered Species Act, 16 U.S.C. 1531 et seq.,

OBJECTIVES:

To protect, restore, and enhance wetland and upland ecosystems for the conservation of migratory birds.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Joint Ventures are public/private partnerships, guided by a Management Board of public/private representatives and use a U.S. Fish and Wildlife Service (USFWS) approved Implementation Plan that establishes conservation goals, priorities, and strategies. The Implementation Plan typically includes stepped down goals and objectives from a variety of national and international bird planning efforts. A Joint Venture Coordinator facilitates the coordination and implementation of the Joint Venture program. The USFWS provides basic administrative funding to the Joint Venture to cover costs associated with coordination; planning; monitoring, evaluation and applied research; communications and outreach; and project development and implementation. Joint Ventures may provide Federal assistance to partners within these categories to achieve the goals of the Joint Venture. The USFWS does not fund the entire scope of Joint Venture operations. Joint Venture partners are expected to contribute funding towards one or more of these categories. Non-federal match is not required, but leveraging USFWS dollars provided for Joint Ventures administration is a critical component of the Joint Ventures program.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Interstate; Intrastate; Individual/Family; Federal, State and local government agencies, Federally recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; profit organizations, interstate and intrastate entities, and individuals or families who are also private landowners.

Beneficiary Eligibility:

Anyone/general public; General Public.

Credentials/Documentation:

Applicant must participate or intend to participate in strategic conservation planning, implementation and evaluation activities designed to meet the Joint Venture objectives as identified in an approved Implementation Plan and all related plans. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Once the project is designed and either fits within an approved Implementation Plan or is reviewed and approved by a Joint Ventures Management Board, a cooperative agreement or grant agreement is written, executed, and work can begin.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Partners/potential partners may request additional projects to meet Joint Venture goals and objectives.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance is available from the date of the enactment of the federal appropriation, and all funds must be spent before the expiration date for those funds. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

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Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Federal Financial Status Report (SF 425).

Account Identification:

14-1611-2-3-302.

Obligations:

Project Grants FY 20 \$6,665,603.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

Range is \$2,400 to \$900,000; Average \$225,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The \$14.6 million appropriated for the North American Waterfowl Management Plan and Migratory Bird Joint Ventures was used to leverage approximately \$560 million in partner contributions to support landscape conservation planning, and habitat projects, for the benefit of migratory birds.

Fiscal Year 2021: It is estimated similar results to those achieved in FY20 will be achieved in FY21. Fiscal Year 2022: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fish and Wildlife Service Policy 721 FW6, Joint Venture Implementation Plans, Joint Venture Accomplishment Reports.

Regional or Local Office:

None.

Headquarters Office:

Joint Venture Liaison Officer,,
Division of Bird Habitat Conservation, Department of the Interior, Fish and Wildlife Service, MS: MBSP, 5275 Leesburg Pike,,
Falls Church VA 22041-3803

Email: DBHC@fws.gov

Phone: (703) 358-1784

Fax: (703) 358-2282.

Website Address:

<https://www.fws.gov/birds/management/bird-conservation-partnership-and-initiatives/migratory-bird-joint-ventures.php>

RELATED PROGRAMS:

15.635 Neotropical Migratory Bird Conservation ; 15.623 North American Wetlands Conservation Fund; 15.647 Migratory Bird Conservation; 15.631 Partners for Fish and Wildlife;

EXAMPLES OF FUNDED PROJECTS:

2020 Landscape-scale approaches to grassland bird conservation in the central U.S.; Partnerships to conserve sagebrush rangelands in the Intermountain West Joint Venture; Central Valley Joint Venture Implementation Plan support; American black duck non-breeding habitat conservation decision support tool; Linking agricultural and conservation interests to help support the Rainwater Basin Joint Venture Implementation Plan; Implementation of Prairie Pothole Joint Venture activities in North Dakota; Arctic Goose Joint Venture Mid-continent White-Fronted Goose Study; Integrating fixed-wing and helicopter survey platforms to improve detection and species identification of North American breeding scoters. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that protect, restore, and enhance wetland and upland ecosystems for the conservation of Migratory Birds. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that protect, restore, and enhance wetland and upland ecosystems for the conservation of Migratory Birds.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must be an existing or potential partner of a Joint Venture, and must agree to support Joint Venture goals and objectives. Project must fall within scope of a Management Board approved Joint Venture Implementation Plan and related conservation plans. Projects must contribute to overall Joint Venture goals and objectives. Project must be cost-effective. Projects that leverage grant funds with

partner funds or in-kind services will receive preference.

15.639 Tribal Wildlife Grants

TWG,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Consolidated Appropriations Act, 2021 State and Tribal Wildlife Grants (Pub. L. 116-260 [H.R. 133301]).

OBJECTIVES:

To support the development and implementation of programs for the benefit of wildlife and their habitats and species of Tribal cultural or traditional importance, including species that are not hunted or fished.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Approved activities include those which develop and implement programs for the benefit of wildlife and their habitat, including species of Native American cultural or traditional importance and species that are not hunted or fished. Activities may include, but are not limited to: Planning for conservation of fish and wildlife, and their habitats; Conservation management actions for fish and wildlife, and their habitats; Field and laboratory research related to fish and wildlife resources; Natural history studies; Habitat mapping or evaluation; Field surveys and population monitoring; Restoration of habitat; Management of invasive species; and Public education relevant to the proposed project.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Participation is limited to Federally recognized Indian tribal governments.

Beneficiary Eligibility:

Anyone/general public; Federally Recognized Indian Tribal Governments; Anyone/General Public (While direct participation is limited to Federally recognized Indian tribal governments, the general public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

Only Federally recognized Tribes in all parts of the United States are eligible to receive grants under this program, including Federally recognized Tribes, Pueblos, Rancherias, and Alaska Native Villages or traditional councils as defined by the Alaska Native Claims Settlement Act. Federally recognized Tribes are listed on page 60810 Federal Register / Vol. 75, No. 190 / Friday, October 1, 2010 / Notices. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Application for Federal Assistance SF 424, and the appropriate Budget and Assurances forms, SF 424A and SF424B - Non Construction; or SF 424C and SF 424 D - Construction. Application procedures are spelled out in the "Tribal Wildlife Grant Application Kit" available electronically at: <http://grants.fws.gov/tribal.html>. To receive a paper copy, contact the regional Office of the Native American Liaison. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Director of the Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee and will coordinate the development of the grant agreement.

Deadlines:

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June 18, 2021.

Range of Approval/Disapproval Time:

Other. Proposals will be scored, ranked and selected approximately 90 working days after the deadline for submitting proposals.

Appeals:

Other. Any differences of opinion over the eligibility of proposed activities or differences arising over the conduct of work may be appealed to the Director, Fish and Wildlife Service. Final determination rests with the Secretary of the Interior.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant funds are available once the proposal review process is complete and FWS Director award concurrence is signed and all of the necessary NEPA compliance documentation is completed. Proposals should be written for the shortest duration needed to accomplish project objectives and funding is available until the closing date of the project grant. Grants may be extended if necessary. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly.

Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Federal Financial Report (SF-425).

Account Identification:

14-5474-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$3,384,103.00; FY 21 est \$6,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$10,000-\$200,000; Average: \$170,000.

TAFS Codes:

14-5474.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Received 81 proposals and issued 32 awards. Fiscal Year 2021: We estimate we will receive 80-100 proposals and issue 20-35 awards. Fiscal Year 2022: We estimate we will receive 80-100 proposals and issue 20-35 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Kit is located at <http://www.fws.gov/nativeamerican/>

Regional or Local Office:

None. See Regional Agency Offices: Region 1 U.S. Fish and Wildlife Service Native American Liaison Eastside Federal Complex 911 NE 11th Avenue Portland, OR 97232-4181 Region 2 Fish and Wildlife Service Native American Liaison 500 Gold Avenue, SW P.O. Box 1306 Albuquerque, NM 87103-1306 Region 3 U.S. Fish and Wildlife Service Native American Liaison 5600 American Blvd. West, Suite 990 Bloomington, MN 55437 Region 4 U.S. Fish and Wildlife Service Native American Liaison 1875 Century Boulevard Atlanta, GA 30345 Region 5 U.S. Fish and Wildlife Service Native American Liaison 300 Westgate Center Drive, Hadley, MA 01035-9589 Region 6 U.S. Fish and Wildlife Service Native American Liaison P.O. Box 25486 Denver, CO 80225 Region 7 U.S. Fish and Wildlife Service Native American Liaison 1011 East Tudor Road Anchorage, AK 99503-6199 Region 8 U.S. Fish and Wildlife Service Tribal Partnerships Specialist Habitat Restoration Division 2800 Cottage Way, Rm W-2606 Sacramento, CA 95825.

Headquarters Office:

Scott Aikin,
National Native American Programs Coordinator, U. S. Fish and Wildlife Service,
Department of the Interior, 1211 SE Cardinal Court, Suite 100.,
Vancouver WA 98683
Email: scott_aikin@fws.gov
Phone: (360)604-2531
Fax: (202)285-3411

Website Address:

<http://www.fws.gov/nativeamerican/>

RELATED PROGRAMS:

15.630 Coastal Program; 15.623 North American Wetlands Conservation Fund; 15.631 Partners for Fish and Wildlife;

EXAMPLES OF FUNDED PROJECTS:

2020 Program funded projects that develop or implement programs that benefit wildlife and their habitat, including species of Native American cultural or traditional importance and species that are not hunted or fished. Activities include: Planning for conservation of fish and wildlife, and their habitats; Conservation management actions for fish and wildlife, and their habitats; Field and laboratory research related to fish and wildlife resources; Natural history studies; Habitat mapping or evaluation; Field surveys and population monitoring; Conservation easements; Restoration of habitat; Management of invasive species; and Public education relevant to the proposed project. 2021 Program has not yet selected projects for funding. 2022 Program has not yet selected projects for funding.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria is used to select proposals: (1) Resource Benefit: What are the expected benefits to fish and wildlife resources, including species that are not hunted or fished, and their habitat if this program is successfully completed? The Service requires that the Tribe articulate how the benefits of its proposal support the goals and objectives of the TWG and Service and Tribal Performance Goals in their proposal narratives. (2) Performance Measures: To what extent does the proposal provide obtainable and quantifiable performance measures and a means to monitor, evaluate, and report on these measures compared to an initial baseline? The measures should be specific and clear, and should provide demonstrable benefits to the target species of the action. These actions must support the goals and objectives of the TWG, the Service and the Tribe. (3) Work plan: Are the program activities and objectives well-designed and achievable? (4) Budget: Are all major budget items justified in relation to the program objectives and clearly explained in the narrative description? (5) Capacity Building: To what extent does the program increase the grantee's capacity to provide for the benefit of wildlife and their habitat? (6) Contributions and Partnerships: To what extent does the applicant display commitment to the project proposal through in-kind contribution or matching funds and to what extent does it incorporate contributions from other nonfederal partners in the form of either cash or in-kind services?.

15.640 Latin America and Caribbean Regional

FEDERAL AGENCY:

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U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Endangered Species Act/International Cooperation, 16 U.S.C. 1537,

OBJECTIVES:

To support the creation, implementation, and/or replication of innovative training programs in Latin America and the Caribbean (LAC) that will train future generations of resource managers through a holistic, cooperative, ecosystem-oriented approach adapted to the complexities of modern society and the interconnectedness of the landscapes upon which society depends.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects should take place in Latin America or the Caribbean, ideally in a recognized high priority ecosystem or ecoregion of biome, or a key location associated with flagship, endangered or migratory species of regional concern. If work is to be conducted outside that region, the proposal must explain why it is preferable that the project is implemented elsewhere.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federal, State and local government agencies; non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Credentials/Documentation:

See program Notices of Funding Opportunity (NOFOs) on Grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at <https://www.fws.gov/international/wildlife-without-borders/south-america/index.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Latin America & the Caribbean, Division of International Conservation, Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed specifically for this program. Review criteria can be found in the application information at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is executed by an FWS approving official. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Decisions on funding of project proposals are normally made no later than

180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

Not Applicable. However, at the discretion of the Division of International Conservation awards can be modified (e.g. extended for time or budget changes made).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available from the start date of the period of performance to the end date of the period of performance. Funding must be spent within 90 days of the end date of the period of performance. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit interim progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$1,800,000.00; FY 21 est \$1,200,000.00; FY 22 est \$1,200,000.00;

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAES Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No projects selected for 2020. Fiscal Year 2021: FY21 the program anticipates receiving 35 applications and issuing 10 awards. Fiscal Year 2022: FY22 the program anticipates receiving 35 applications and issuing 10 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a grant or cooperative agreement from the U.S. Fish and Wildlife Service carries with it the responsibility to be aware of and comply with the terms and conditions of award. Service Award Terms and Conditions are available at <https://www.fws.gov/grants/atc.html>. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service. Awards from

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the Service are subject to the terms and conditions incorporated into the award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Service financial assistance award terms and conditions flow down to subrecipients and contractors, unless a particular award term or condition specifically indicates otherwise.

Regional or Local Office:

None.

Headquarters Office:

International Affairs,
Department of Interior, U.S. Fish and Wildlife Service, Division of International Conservation, 5275 Leesburg Pike, MS: IA,
Falls Church VA 22041
Email: latam@fws.gov
Phone: 703-358-1754
Fax: International Affairs,
Department of Interior, U.S. Fish and Wildlife Service, Division of International Conservation, 5275 Leesburg Pike, MS: IA,
Falls Church VA 22041
Email: wwb_carib@fws.gov
Phone: 703-358-1754
Fax:

Website Address:

<https://www.fws.gov/international/wildlife-without-borders/western-hemisphere/>

RELATED PROGRAMS:

15.651 Wildlife Without Borders-Africa Program;

EXAMPLES OF FUNDED PROJECTS:

2020 No projects selected for 2020. 2021 The program anticipates funding projects that promote the conservation of Latin America and Caribbean region. 2022 The program anticipates funding projects that promote the conservation of Latin America region.

CRITERIA FOR SELECTING PROPOSALS:

A complete list of the criteria used to select projects under this program can be found in the Latin America & the Caribbean Notice of Funding Availability and Application Instructions located online at <http://www.fws.gov/international/DIC/howtoapply.html>. Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.641 Wildlife Without Borders-Mexico

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Endangered Species Act International Cooperation, 16 U.S.C. 1537.

OBJECTIVES:

To build human and institutional capacity for biodiversity conservation and management in Mexico through training. Of interest are projects that provide direct and significant training to Mexican personnel in terms of number of individuals trained, strategic or innovative nature of the training, and impact of the training on the conservation of biodiversity.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To be considered, projects must fall into one or more of the following three strategic categories: (1) Managing for Excellence: Training in natural resource conservation and management for Mexican Government personnel, including policy-makers, federal, state, and municipal-level resource managers, and reserve guards. This includes short-term (2-3 weeks) on-the-job courses certified by an educational institution (Diplomados), workshops, exchanges of personnel, etc. (2) Stewards of the Land: Training in natural resource conservation/management for resource owners and/or direct users, including local communities, rural peasant farmer organizations, and indigenous peoples. This includes training provided

through on-the-ground practices, workshops, exchanges of personnel, and other delivery mechanisms appropriate to the training needs of these target groups. (3) Voices for Nature: Training in environmental education and/or public outreach for targeted society stakeholder groups, including teachers, school children, journalists, tourists, legislators, nongovernmental organizations, and private sector organizations or businesses. This includes workshops, educational programs, production of educational and training material, etc. Applicants should be proposing work to be conducted in Mexico. If work is to be conducted in the United States, the proposal must show a clear connection to capacity building for biodiversity conservation in Mexico to be eligible for funding. Funds provided under this program will not be used for the following activities: the purchase of firearms or ammunition; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, treaties, laws or regulations of either the U.S. or Mexico. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel. For further information, please contact the regional office.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federal, State and local government; public nonprofit institution/organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is no longer accepting new proposals.

Award Procedure:

Projects are simultaneously reviewed by a panel convened by the U.S. Fish and Wildlife Service and a panel convened by the Mexican Ministry of the Environment and Natural Resources (SEMARNAT). Final funding decisions are made jointly by representatives of the Service and SEMARNAT based on the recommendations of the two review panels. Review criteria can be found in the application information at <http://www.fws.gov/international/DIC/howtoapply.html>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is executed by an FWS approving official. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Decisions on funding of project proposals are normally made no later than

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180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

Not Applicable. At the discretion of the Division of International Conservation awards can be modified, e.g., extended for time or budget changes made.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Upon approval, the project may be extended or the agreement modified. Awarded funds must be spent during the period of performance that is approved for each Assistance Award, and in accordance with the program financial and performance reporting procedures specified in the Assistance Award document. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit interim progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.328. A final performance report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Variable amounts. The average grant amount is \$35,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a grant or cooperative agreement from the U.S. Fish and Wildlife Service carries with it the responsibility to be aware of and comply with the terms and conditions of award. Service Award Terms and Conditions are available at <https://www.fws.gov/grants/atc.html>. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service. Awards from the Service are subject to the terms and conditions incorporated into the award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The

Service financial assistance award terms and conditions flow down to subrecipients and contractors, unless a particular award term or condition specifically indicates otherwise.

Regional or Local Office:

None.

Headquarters Office:

Chief,.

Division of International Conservation U.S. Fish and Wildlife Service Department of the Interior, 5275 Leesburg Pike, MS-1A Falls Church, VA 22041-3803, Falls Church VA 22041-3803

Email: WWB_Mexico@fws.gov

Phone: (703) 358-1754

Fax: (703) 358-2115.

Website Address:

<https://www.fws.gov/international/wildlife-without-borders/mexico/index.html>

RELATED PROGRAMS:

15.651 Wildlife Without Borders-Africa Program; 15.640 Wildlife Without Borders- Latin America and the Caribbean ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A complete list of the criteria used to select projects under this program can be found in the Mexico Program Notice of Funding Availability and Application Instructions located on line at <http://www.fws.gov/international/DIC/howtoapply.html>. Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.643 Alaska Migratory Bird Co-Management Council

AMBCC,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Coordination Act, 16 U.S.C. 661-666; Migratory Bird Treaty Act, 16 U.S.C. 703 et seq.; and Migratory Bird Subsistence Harvest in Alaska 50 C.F.R. 92.10-12.

OBJECTIVES:

To facilitate and administer regional programs to involve subsistence hunters of migratory birds in the management and regulation of migratory birds.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds will be used to help offset travel, administrative and associated expenses required to establish a regional migratory bird subsistence program in keeping with the recently amended Migratory Bird Treaty. This regional program will become a part of the statewide (Alaska) and national migratory bird management program, for which the - Fish and Wildlife Service (Service) has responsibility.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Native American Organizations, Public nonprofit institutions/organizations, other public institutions/organizations, Federally Recognized Indian Tribal Governments, and Local governments.

Beneficiary Eligibility:

Native American Organizations; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Native American Organizations, Public nonprofit

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institutions/organizations, Federally recognized Indian Tribal Governments, local governments, and Alaska Native American Indians.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Executive Officer for the Alaska Migratory Bird Co-Management Council reviews the applications and makes recommendations. The process ends with concurrence by the Service.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. The program is switching to 5-year grants, with the first year funded as reported and the subsequent years treated as option years. The grant to a CESU will be a 4-yr award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

October 1st 2018 to December 31st 2022. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$378,611.00; FY 21 est \$83,397.00; FY 22 est \$430,064.00;

Range and Average of Financial Assistance:

Range is \$14,800 to \$129,400.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: All financial assistance awards will continue, if funded, as a part of 5-year plans ending 2022. Fiscal Year 2021: All financial assistance awards will continue, if funded, as a part of 5-year plans ending 2022. Fiscal Year 2022: All financial assistance awards will continue, if funded, as a part of 5-year plans ending 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Eric Taylor,
Migratory Bird Management, USFWS, 1011 E. Tudor Road,
Anchorage AK 99503

Email: eric_taylor@fws.gov

Phone: 907-786-3446

Fax:

Website Address:

<https://www.fws.gov/alaska/pages/alaska-migratory-bird-co-management-council-ambcc>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 COVID mitigation measures prohibited gatherings. Efforts to establish a regional migratory bird subsistence program in keeping with the Migratory Bird Treaty Act will re-commence in FY21. 2021 The program will parallel FY19 with new, upcoming subsistence issues tackled as they come up. Testing of the Harvest Survey Program Model will be a priority for the Alaska Department of Fish & Game and FWS, while Native cooperators will be focused on improving access for subsistence hunting on DOI lands by adjusting the harvest dates. 2022 The program will parallel FY21 with new, upcoming subsistence issues tackled as they come up.

CRITERIA FOR SELECTING PROPOSALS:

You must be a regional partner organization of the council to be selected. Criteria included, but is not limited to, past performance, ability to provide administrative support, and ability to send an elected representative from the regional management body to meetings.

15.645 Marine Turtle Conservation Fund

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Marine Turtle Conservation Act, 16 U.S.C. 6601 et seq.,

OBJECTIVES:

To assist in the conservation of marine turtles and the nesting habitats of marine turtles in foreign countries by supporting and providing financial resources in the following areas: academic and technical training in the conservation and management of marine turtles; applied research on marine turtle populations and their habitats, including surveys and monitoring; conservation education and community outreach for the protection of nesting and near shore foraging populations; development and execution of marine turtle conservation management plans; habitat conservation and management; information exchange to promote international collaboration; projects to protect nesting females and eggs on

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important nesting beaches; promotion of networks, partnerships, and coalitions that assist in the implementation of conventions, treaties, protocols and other international activities for the conservation and management of marine turtles; protected area/reserve management of important nesting beaches; strengthening local capacity to implement conservation programs on nesting beaches; and strengthening law enforcement capacity and forensics skills through training and equipment.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project work should occur in foreign countries (outside of the United States) that have within their boundaries marine turtle nesting habitats and be conducted by any person or group with the demonstrated expertise required for the conservation of marine turtles. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Federal; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Other private institutions/organizations; Other public institution/organization; Non-Government - General; Applications may be submitted by any government agency responsible for conservation and protection of marine turtles and any other organization or individual with demonstrated experience in marine turtle conservation.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <https://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041. A proposal will not be considered complete if required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance." Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the Marine Turtle Conservation Act of 2004 (P.L. 108-266). Review criteria can be found in the application information at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the U.S. Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the

mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

April 12, 2021.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. None. However; at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available from the start date of the period of performance to the end date of the period of performance. Funding must be spent within 90 days of the end date of the period of performance. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit interim progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly.

Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1652-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$2,666,250.00; FY 21 est \$2,700,000.00; FY 22 est \$2,700,000.00; Cooperative Agreements (Discretionary Grants) FY 20 \$53,372.00; FY 21 est \$50,000.00; and FY 22 est \$50,000.00;

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1611. 14-1652.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program anticipates receiving approximately 90 applications and issuing 25 awards. Fiscal Year 2021: In FY21 the program anticipates receiving approximately 90 applications and issuing 25 awards. Fiscal Year 2022: In FY22 the program anticipates receiving approximately 90

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applications and issuing 25 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a grant or cooperative agreement from the U.S. Fish and Wildlife Service carries with it the responsibility to be aware of and comply with the terms and conditions of award. Service Award Terms and Conditions are available at <https://www.fws.gov/grants/atc.html>. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service. Awards from the Service are subject to the terms and conditions incorporated into the award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Service financial assistance award terms and conditions flow down to subrecipients and contractors, unless a particular award term or condition specifically indicates otherwise.

Regional or Local Office:

Headquarters Office:

Chief,

Division of International Conservation U.S. Fish and Wildlife Service Department of the Interior 5275 Leesburg Pike, MS: IA,

Falls Church VA 22041-3803

Email: mescf_marineturtle@fws.gov

Phone: (703) 358-1754

Fax: (703) 358-2115.

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/marine-turtle-conservation-fund.html>

RELATED PROGRAMS:

15.629 Great Apes Conservation Fund; 15.620 African Elephant Conservation Fund; 15.619 Rhinoceros and Tiger Conservation Fund; 15.621 Asian Elephant Conservation Fund;

EXAMPLES OF FUNDED PROJECTS:

2020 The program anticipates funding projects that promote the conservation of marine turtles and their habitats. 2021 The program anticipates funding projects that promote the conservation of marine turtles and their habitats. 2022 The program anticipates funding projects that promote the conservation of marine turtles and their habitats.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed based on criteria developed from the requirements of the Marine Turtle Conservation Fund (Public Law 108-266). Additional details on the selection criteria can be found in the Marine Turtle Notice of Funding Availability and Application Instructions <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.647 Migratory Bird Conservation

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Migratory Bird Treaty Act, 16 U.S.C. 703 et seq.; Fish and Wildlife Act, 16 U.S.C. 742 et seq.; Fish and Wildlife Coordination Act, 16 U.S.C. 661-666; and Fish and Wildlife Conservation Act, 16 U.S.C. 2901 et seq.,

OBJECTIVES:

To maintain and enhance populations and habitats of migratory bird species found in the Upper Midwest (IL, IN, IA, MI, MN, MO, OH, WI).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants may be used for the conservation of any bird species protected by the Migratory Bird Treaty Act and occurring in IL, IN, IA, MI, MN, MO, OH, and WI). Special emphasis will be placed on Midwest Birds of Concern (see <https://www.fws.gov/midwest/midwestbird/concern.html>) as these species are

experiencing known or suspected population declines, are recreationally important, or are party to biological or social conflicts. Implementing national, regional, and flyway bird conservation plans is the USFWS's highest priority including the Partners in Flight North American Landbird Conservation Plan (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<https://www.shorebirdplan.org/>), North American Waterbird Conservation Plan (<https://www.fws.gov/birds/management/bird-management-plans/waterbird-conservation-for-the-americas.php>), North American Waterfowl Management Plan (<https://www.fws.gov/birds/management/bird-management-plans/north-american-waterfowl-management-plan.php>), Mississippi Flyway bird management plans (<http://mississippi.flyways.us/>), and the habitat conservation strategies and research priorities of the Upper Mississippi River and Great Lakes Region Joint Venture (<http://www.uppermissgreatlakesjv.org/Plans.htm>). Proposals related to the priorities identified in these plans and Bird Conservation Region and state-level bird conservation planning efforts will receive high funding consideration. The following activities are also of high interest to the USFWS: (a) Population surveys, monitoring, and assessments to determine the status, trends, distribution, demography, and responses to management of bird species and groups of concern. Emphasis will be placed on landscape-level applications of standardized inventory and monitoring protocols as identified through the Midwest Coordinated Bird Monitoring Partnership (<http://midwestbirdmonitoring.ning.com>). Local-scale bird monitoring (e.g., local land management units) will generally not be a focus of this grant program, nor will support for long-term operational bird monitoring efforts. Rather, the program seeks to address coordination of monitoring efforts and development and evaluation of new survey and monitoring techniques (both field and analytical); (b) Conservation of focal species for which conservation plans and/or working groups have been developed and research, monitoring, and other priorities have been identified. Examples of focal species with such plans include: Henslows Sparrow, Grasshopper Sparrow, Bobolink, and other Midwestern grassland birds; Golden-winged Warbler, Cerulean Warbler, and Canada Warbler; Wood Thrush; American Woodcock, Wilsons Phalarope, Upland Sandpiper, and Marbled Godwit; and Common Tern, Black Tern, King Rail, and Lesser Scaup. (For further information on focal species, see <http://www.fws.gov/midwest/midwestbird/concern.html>); and (c) Strategic Conservation, wherein the USFWS and partners integrate biological planning, landscape design, conservation delivery, and monitoring and evaluation in a manner that generates the adaptive feedback that enables sound decisions and continue to improve our efficiency and effectiveness in saving birds (<http://www.fws.gov/midwest/science/SHC/FAQ.htm>). Strategic conservation involves applied research, including studies of bird habitat requirements, threats, limiting factors, full life cycle migratory connectivity, and population responses to habitat conservation activities; testing of biological and conservation delivery assumptions; social science research that informs and facilitates conservation delivery; and use of Geographic Information Systems, modeling, and similar tools to identify bird habitat focus areas and further bird conservation planning efforts.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; and public nonprofit institutions/organizations.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/organizations; and general public.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the

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office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Regional Migratory Bird Program Chief convenes a committee of FWS biologists to review, rank, and select proposals for funding. Once a proposal is accepted, a grant or cooperative agreement is written and work can begin.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Decisions on funding of a proposal are usually made no later than 180 days after receipt of the proposal.

Appeals:

Not Applicable.

Renewals:

Other. A grant or cooperative agreement may be modified at the discretion of the Regional Office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Unless a project is extended, awarded funds must be spent during the approved Period of Performance of the grant or cooperative agreement and in accordance with financial and reporting procedures specified in the agreement. Grants are normally written for a period of 1 to 5 years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be maintained in accordance with 2 CFR 200.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$139,433.00; FY 21 est \$150,000.00; FY 22 est \$100,000.00;

Range and Average of Financial Assistance:

Range \$15,000 to \$79,606; average \$43,218 in FY16.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, received 3 applications and issued 3 awards. Fiscal Year 2021: In FY21, estimate that the program will receive approximately 15 applications and issue 3-5 awards. Fiscal Year 2022: In FY22, estimate that the program will receive approximately 15 applications and issue 2-3 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Regional Office: Andrew Forbes, Migratory Bird Program, 612-713-5364, andrew_forbes@fws.gov; 5600 American Blvd. West, Suite 990, Bloomington MN 55437

Headquarters Office:

Andrew Forbes,
Migratory Bird Program, U.S. Fish and Wildlife Service, 5600 American Blvd. West, Suite 990,
Bloomington MN 55437
Email: andrew_forbes@fws.gov
Phone: 612-713-5364

Website Address:

<http://www.fws.gov/midwest/midwestbird>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Minnesota Trumpeter Swan Breeding Survey, Sustaining Non-breeding Season Monitoring of Midwest Neotropical Migrants, Monitoring Strategies for Neotropical Migratory Birds on the Osa Peninsula. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that further understanding of nonbreeding ecology of migratory birds in the Midwest, build capacity in Midwest cities and communities for bird conservation, assess trends and status for Great Lakes Colonial Waterbirds, and/or build capacity for sustained monitoring of the status and survival of Neotropical migrants during the non-breeding season. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that further understanding of nonbreeding ecology of migratory birds in the Midwest, build capacity in Midwest cities and communities for bird conservation, assess trends and status for Great Lakes Colonial Waterbirds, and/or build capacity for sustained monitoring of the status and survival of Neotropical migrants during the non-breeding season.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed to determine how well they address the migratory bird program priorities outlined above and in a Notice of Funding Opportunity published annually on the Grants.gov website for CFDA 15.647. All applications received will be reviewed by a team of 4-5 USFWS wildlife biologists (GS-12 grade level or higher). (Any conflict of interest identified will require that a reviewer remove themselves from the evaluation process.) Each of the reviewers will independently score each proposal on a scale of 1-10 for each of the following criteria [criteria weights in brackets]: (1) relevance to the priorities and award requirements described or referenced in the NOFO [0.2]; (2) alignment with the DOI Priorities for Financial Assistance [0.1]; (3) novel contributions to, or significant advancement of, the knowledge, methodology, or partner engagement furthering bird conservation in the Midwest [0.2]; (4) clarity and justification of objectives relevant to overall project goals, and appropriateness of methodology designed to meet those objectives [0.2]; (5) demonstrated history of successful completion of similar projects by applicants, including nature of collaboration with relevant partners [0.1]; (6) budget within range of available program funds, and budget and timeline appropriate to attain successful completion of project [0.1]; and (7) partner match demonstrating applicant's commitment to project success [0.1]. Scores will be summed across all criteria for all reviewers; project proposals will then be ranked and grants awarded in priority order, subject to available funding, unless all reviewers agree that a particular proposal scored so low that the risk of failure or likelihood of inappropriate product is too great to justify an award.

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15.648 Central Valley Project Improvement Act (CVPIA)

CVPIA,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Central Valley Project Improvement Act/Fish and Wildlife Restoration Activities (Pub. L. 102-575, 3406(b)(16), 106 Stat. 4714 and 4719),.

OBJECTIVES:

(a) To protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California; (b) To address impacts of the Central Valley Project on fish, wildlife and associated habitats; (c) To improve the operational flexibility of the Central Valley Project; (d) To increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation; (e) To contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary; (f) To achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for research to improve management and increase Anadromous fish resources; spawning area improvement; installation of fish passages; construction of fish protection devices, and data collections. Agreements will be awarded for projects that will result in attaining the objectives noted above and the mandates set out in the CVPIA. Areas of emphasis include fish and wildlife restoration activities and investigations, evaluation of ecologic and hydrologic effects of existing and alternative operations of water facilities and systems, the effects of the CVP on anadromous fish populations, and delivery of refuge water supplies. The Secretary of the Interior is authorized and directed to promulgate such regulations and enter into such agreements as may be necessary to implement the intent, purposes and provisions of Title XXXIV. This title does not and shall not be interpreted to authorize construction of water storage facilities. For further information, please contact the regional office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Profit organization; Applicants may be State, local governments, Native American Organizations, other public nonprofit institutions/organizations, private nonprofit/organizations, or for profit organizations. No other Federal agency may apply.

Beneficiary Eligibility:

Anyone/general public; General public.

Credentials/Documentation:

None other than completion of the Standard Form 424 set. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Basic application requires the request for proposal narrative, Scope of Work with deliverables, SF 424A Budget, SF 424 Application for Federal Assistance form, and SF424B Assurances. Information submitted to the Lodi Fish and Wildlife Office for review by a locally convened panel. The panel will select the awardee and forward the information to the station Project Leader for final approval. No state plan required. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The successful applicant will be notified after selection and confirmation of available funding. An applicant should not initiate a project in expectation of USFWS funding, nor should they purchase materials or begin work until such time as they receive the final award document signed by an authorized Service official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Contact the headquarters or regional office.

Renewals:

Other. Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project awards are generally from one to five years, depending on the complexity of the project and availability of funds. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-5173-0-2-301.

Obligations:

Project Grants (Discretionary) FY 20 \$6,711,255.00; FY 21 est \$5,200,000.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

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Fiscal Year 2020: In FY20 the Program issued 1 new award and 7 award amendments. Fiscal Year 2021: Program estimates 5 new awards for FY21. Fiscal Year 2022: Program has not processed awards for FY22.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Lodi Fish and Wildlife Service Office 850 S. Guild Ave Suite 105, Lodi, California 95240. Telephone: (209) 334-2968, Fax (209) 334-2171.

Headquarters Office:

Regional Director Paul Souza,
2800 Cottage Way, Suite W2606,
Sacramento CA 95825
Email: paul_souza@fws.gov
Phone: (916) 414-6464
Fax:

Website Address:

http://www.fws.gov/lofi/anadromous_fish_restoration/afnp_index.htm

RELATED PROGRAMS:

11.405 Anadromous Fish Conservation Act Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Evaluate contaminants on the growth and age of White Sturgeon. Implementation of restoring instream, riparian and flooded habitats providing fish passage structures. Life cycle modeling of salmon production that restore rearing and outmigration habitat. Estimate abundance of juvenile Winter-run Chinook salmon. Genetic analysis of Chinook Salmon in the Lower Yuba River and its relationship to flow and temperature in the Yuba River. Restoration project on the Stanislaus River, increase water benefits provided by the Central Valley project. Continue monitoring on the Yuba River Canyon habitat restoration project. Restore 50 acres of floodplain for rearing habitat in a section of the Lower Yuba River to benefit Spring and Fall run Chinook Salmon. 2021 Some projects, Yuba River at Long Bar that is going to increase the amount and quality of juvenile salmonid rearing habitat. Stanislaus River Migratory corridor Rehabilitation and rotary screw trap project monitoring. Sutter Bypass Weir 1 Restoration, Cosumnes River Adult Escapement Monitoring, Feather River Salmonid Spawning Habitat improvement project. Stanley Wakefield Wilderness Area Restoration Project (Kerr Park) plans to rehabilitate salmonid spawning and rearing habitat on the Stanislaus River, project will benefit steelhead and Chinook Salmon. Sacramento River Tributaries rearing evaluation and Restoration. Stanislaus River Restoration side-channel and floodplain habitat enhancement project. Feather River removal of pumps and improve Sutter-Butte Main Canal would provide fish passage for salmonids and sturgeon. 2022 CVPIA has not yet selected Projects for funding. CVPIA anticipates funding projects that (a) a protect, restore and enhance fish, wildlife and associated habitat in the Central Valley and Trinity River basins of CA; (b) address impacts of the Central Valley Project on fish, wildlife and associated habitats; (c) improved the operational flexibility of the Central Valley Project; (d) increase water-related benefits provided by the Central Valley Project to the State of CA through expanded use of voluntary water transfers and improved water conservation; (e) contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary; (f) achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractor.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a team of Federal staff based on the following criteria: Addresses high priority need as described in the Request for Proposal (RFP) "Purposes and Funding Priorities"; Clearly stated goals, objectives, hypotheses, and relevance of idea; Approach is valid and technically sound; Primary Investigators and/or subcontractors record of performance and expertise; Budget is reasonable and provides good value for funds requested; and Cost sharing or in-kind contributions.

15.649 Service Training and Technical Assistance

(Generic Training)

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Act, 16 U.S.C. 742 et seq.; Fish and Wildlife Coordination Act, 16 U.S.C. 661-666; Fish and Wildlife Improvement Act, 16 U.S.C. 753; and Endangered Species Act, 16 U.S.C. 1531 et seq.,

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by supporting and providing financial resources for training, meetings, workshops and conferences to promote the public's conservation awareness.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Training and technical assistance are used to enhance public awareness and understanding of natural resource conservation and preservation. Projects may include natural resources related education, meetings, training, workshops, and conferences.

Applicant Eligibility:

Federal; Profit organization; U.S. Territories and possessions; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations; for profit organizations.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Any applicable pre-application coordination or environmental impact information requirements will be detailed in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Standard Form (SF)424 and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, justification (including relevance to the Fish and Wildlife Service's priorities as outlined above), objectives, timeframe, and a budget breakdown. Note that the USFWS may circulate proposals to others for review. All Service financial assistance discretionary funding opportunities will be posted on www.Grants.gov.

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. This will vary based on the funding opportunity and will range between 3 and 6 months.

Appeals:

Other. Applicant may revise and resubmit proposal for consideration.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Statutory formulas and/or matching requirements may be applicable to activities funded under this Assistance Listing. Any formulas or matching requirements will be specified in the funding opportunities posted under this program on Grants.gov. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.328. A final performance report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$84,262.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range and average varies by program activity.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial Assistance award from the Department of the Interior (DOI) carries with it the responsibility to be aware of and comply with the terms and conditions of award per

https://www.doi.gov/pam/programs/financial_assistance/TermsandConditions

Regional or Local Office:

None. Contact Information related to each funding opportunity under this program will be stated in the funding opportunities posted on Grants.gov (<http://www.grants.gov>).

Headquarters Office:

Chief Policy Branch, Financial Assistance Support and Oversight Division, Wildlife and Sport Fish Restoration Program, 5275 Leesburg Pike, MS-WSFR, Falls Church VA 22041-3803
Email: fwsgrants@fws.gov

Phone: (703) 358-2701

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Programs within the Fish and Wildlife Service will review and evaluate all applications consistent with authorized Fish and Wildlife Service program purposes.

15.650 Research Grants (Generic)

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Act, 16 U.S.C. 742 et seq.; Fish and Wildlife Coordination Act, 16 U.S.C. 661-666; Fish and Wildlife Improvement Act, 16 U.S.C. 753; and Endangered Species Act, 16 U.S.C. 1531 et seq.,

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by providing financial assistance for land management, research, and data collection/analysis to further the preservation of natural resources.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Research grants will be used for improving scientific support for natural resource management, to enhance public awareness and understanding of natural resource conservation and preservation. Projects may include land management practices; literature research, data/information analysis and dissemination improving scientific support for natural resource management. Details on funding opportunities can be found on www.Grants.gov.

Applicant Eligibility:

Federal; Profit organization; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/organizations; for profit organizations.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Any applicable pre-application coordination or environmental impact information requirements will be detailed in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Notification of an award is by the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. This will vary based on the funding opportunity and will range between 3 and 6 months.

Appeals:

Other. Applicants may revise and resubmit proposals for consideration.

Renewals:

Other. Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Statutory formulas and/or matching requirements may be applicable to activities funded under this Assistance Listing. Any formulas or matching requirements will be specified in the funding opportunities posted under this program on Grants.gov. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.328. A final performance report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range and average varies by program activity.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial Assistance award from the Department of the Interior (DOI) carries with it the responsibility to be aware of and comply with the terms and conditions of award per

https://www.doi.gov/pam/programs/financial_assistance/TermsandConditions

Regional or Local Office:

None. Contact Information related to each funding opportunity under this program will be stated in the funding opportunities posted on Grants.gov.

Headquarters Office:

Chief Policy Branch, Financial Assistance Support and Oversight Division, Wildlife Sport Fish Restoration Program, 5275 Leesburg Pike, MS-WSFR, Falls Church VA 22041-3803

Email: fwsgrants@fws.gov

Phone: (703) 358-2701

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.651 Central Africa Regional

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Endangered Species Act International Cooperation, 16 U.S.C. 1537, . 16 USC Code 1531-43.

OBJECTIVES:

The Central Africa Regional Program supports projects in Central Africa that strengthen capacity, target key threats to wildlife and their habitats, and build a better evidence base for conservation. The U.S. Fish and Wildlife Service (USFWS) prioritizes strengthening capacity for wildlife protection, including the professionalization of park staff, protected species management, and wildlife law enforcement. USFWS provides tools and technologies for measuring, evaluating, and improving the effectiveness of site-based protection and wildlife conservation. The success of USFWS efforts depends on building the capacity of Central African governments and field partners to professionally manage and protect their national resources. USFWS works closely with governments, other U.S. agencies, international donors, non-governmental organizations (NGOs), wildlife colleges, communities, and a range of other partners to ensure a strategic, results-based approach to wildlife stewardship in Central Africa. Through a competitive process, USFWS provides support where it is needed most and can be applied effectively. Long-term goals of support include: (1) promoting landscape connectivity in Central Africa's most important wildlife strongholds, (2) protecting parks by supporting the front lines of protected area management and capacity development, (3) addressing regional threats to wildlife, and (4) conserving critical wildlife populations.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Congo Basin is a globally important region for forest and biodiversity conservation. The U.S. Fish and Wildlife Service (USFWS) works closely with national governments, U.S. agencies, and a range of other partners to ensure a strategic, results-based approach to wildlife conservation in the basin. In collaboration with U.S. Agency of International Developments (USAID) Central Africa Regional Program for the Environment (CARPE), the USFWS is providing this funding opportunity to improve protected area management, reduce threats to key wildlife populations, and develop the requisite individual and institutional conservation capacity to undertake long-term conservation programs. It is anticipated that grant funding through the USFWS Multinational Species Conservation Funds will continue to provide support on a competitive basis to Congo Basin countries and corresponding landscapes not covered in the priorities outlined below, in future funding cycles.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Non-Government - General; Federal, State and local government agencies; non-profit, non-governmental organizations; and public and private institutions of higher education, or any of the stated associate entities on behalf of an eligible individual.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Please see the Notices of Funding Opportunity (NOFOs) posted on Grants.gov. All recipients, both individual and institutional, must have a bank account and have the ability to receive funds directly. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See program Funding Opportunity on Grants.gov for pre-application requirements and procedures.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. Complete application information can also be found at <http://www.fws.gov/international/pdf/notice-of-funding-availability-africa.pdf>, or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Falls Church, VA 22041, Telephone: (703) 358-1754. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 Application for Federal Assistance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in the application information at <http://www.fws.gov/international/pdf/notice-of-funding-availability-africa.pdf>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the Fish and Wildlife Service and the Recipient. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

Not Applicable. At the discretion of the Division of International Conservation awards can be modified, e.g.; extended for time or budget changes.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the approved project period of performance, in accordance with program financial and performance reporting procedures.

Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit interim progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$4,000,000.00; FY 22 est \$4,000,000.00; Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$10,000,000.00; and FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Variable amounts. Generally \$50,000 or less. Higher amounts may be requested.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No projects were selected for 2020. Fiscal Year 2021: In FY21 the program predicts there will be 7 projects. Fiscal Year 2022: In FY22 the program predicts there will be 7 projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a grant or cooperative agreement from the U.S. Fish and Wildlife Service carries with it the responsibility to be aware of and comply with the terms and conditions of award. Service Award Terms and Conditions are available at <https://www.fws.gov/grants/atc.html>. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service. Awards from the Service are subject to the terms and conditions incorporated into the award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Service financial assistance award terms and conditions flow down to subrecipients and contractors, unless a particular award term or condition specifically indicates otherwise.

Regional or Local Office:

None. Region 1, (Washington, Oregon, California, Nevada, Idaho, Hawaii, Trust Territories of the Pacific) Regional Director: Renne Lohoeferner, 911 NE 11th Avenue, Portland, OR 97232-4181. Telephone: (503) 872-2716; Fax: (503)231-6118. California Nevada Operations Office Regional Director: Steve Thompson, 2800 Cottage Way, Suite W2606 Sacramento, CA 95825. Telephone: (916) 414-6486; Fax: (916) 414-6464 Region 2 (New Mexico, Arizona, Oklahoma, Texas) Regional Director: Benjamin Tuggle, P.O. Box 1306, Albuquerque, NM 87103. Telephone: (505) 248-6910; Fax: (505) 248-6282. Region 3, (Minnesota, Indiana, Missouri, Michigan, Wisconsin, Ohio, Illinois, Iowa). Regional Director, Robyn Thorson Federal Building, 1 Federal Drive, Fort Snelling, MN 55111. Telephone:

ASSISTANCE LISTINGS DESCRIPTIONS

(612) 713-5284; Fax: (612) 713-5301. Region 4, (Kentucky, Arkansas, Tennessee, North Carolina, South Carolina, Georgia, Alabama, Mississippi, Louisiana, Florida, Virgin Islands, Puerto Rico). Regional Director: Sam Hamilton, 1875 Century Boulevard, Atlanta, GA 30345. Telephone: (404)679-4006 Fax: (404) 679-4000. Region 5, (Virginia, West Virginia, Maryland, Pennsylvania, New York, Delaware, New Jersey, Connecticut, Maine, Massachusetts, Vermont, New Hampshire, Rhode Island) Regional Director: Marvin Moriarty, 300 Westgate Center Drive, Hadley, MA 01035. Telephone: (413) 253-8308. Fax: (413) 253-8300. Region 6, (Colorado, Montana, Nebraska, Utah, Wyoming, Kansas, North Dakota, South Dakota) Regional Director, Mitch King, P.O. Box 25486, DFC Denver, CO 80225. Telephone: (303) 236-7920 Fax: (303) 236-8295 Region 7 (Alaska) Regional Director: Tom Melius, 1011 East Tudor Road, Anchorage, AK 99503. Telephone: (907) 786-3306 Fax: (907) 786-3542.

Headquarters Office:

Devon Larson, Grants Specialist,
Division of International Conservation U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike, MS: IA,
Falls Church VA 22041-3803
Email: WWB_Africa@fws.gov
Phone: (703) 358-1754
Fax: (703) 358-2115

Website Address:

<http://www.fws.gov/international/wildlife-without-borders/africa/index.html>

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ;

EXAMPLES OF FUNDED PROJECTS:

2020 The program anticipates funding projects to programs that improve site-based wildlife conservation in priority population strongholds in Central Africa; increase individual and institutional capacity for more effective wildlife protection at the local, national and regional level including the professionalization of protected area staff; and directly address regional and emerging pressures on wildlife including the unsustainable regional trade in wildlife products. 2021 The program anticipates funding projects to programs that improve site-based wildlife conservation in priority population strongholds in Central Africa; increase individual and institutional capacity for more effective wildlife protection at the local, national and regional level including the professionalization of protected area staff; and directly address regional and emerging pressures on wildlife including the unsustainable regional trade in wildlife products. 2022 The program anticipates funding projects to programs that improve site-based wildlife conservation in priority population strongholds in Central Africa; increase individual and institutional capacity for more effective wildlife protection at the local, national and regional level including the professionalization of protected area staff; and directly address regional and emerging pressures on wildlife including the unsustainable regional trade in wildlife products.

CRITERIA FOR SELECTING PROPOSALS:

A complete list of the criteria used to select projects under this program will be included as part of the Notice of Funding Availability and Application Instructions at <http://www.fws.gov/international/pdf/notice-of-funding-availability-africa.pdf>. Hard copies can be obtained by contacting the Division of International Conservation at (703) 358-1754 or WWB_Africa@fws.gov.

15.652 Invasive Species

Invasive Species Program,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Coordination Act, 16 U.S.C.661-666,

OBJECTIVES:

To encourage coordination and integration of efforts between the U.S. Fish and Wildlife Service (USFWS) and interested parties to accomplish successful prevention or management of invasive species. Projects must conserve, protect, and enhance fish, wildlife and plants for the continuing benefit of the American people.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for approved projects on or off Service lands within the landscape of FWS property or projects that facilitate the prevention, identification, management, or eradication of invasive species. 100% of funds are discretionary.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants may be State and local governments, educational institutions, private and public nonprofit organizations, and institutions and private individuals which support the mission of the Service.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; State and local governments; private and public nonprofit organizations; other private and public organizations; and private individuals who support the mission of the Service.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No submission deadline for 2021.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or designee approves or disapproves submitted proposals. Criteria for selection are outlined in the funding announcement and application package posted to Grants.gov. Successful applicant(s) will be notified after selection and confirmation of available funding. An applicant(s) should not initiate a project in expectation of USFWS funding, nor should they purchase materials or begin work until such time as they receive the final award document signed by an authorized Service official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Awards are anticipated within 90 days or less.

Appeals:

Other. Contact the headquarters or regional office.

Renewals:

Other. Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are typically issued for 1-3 years. Funding must be spent within 1-3 years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. The final Financial Report is due within 120

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calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. The final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$5,800,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$9,000 - \$200,000. Average: \$45,000

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program issued 16 funded awards. Fiscal Year 2021: In FY21 the program anticipates receiving 0 applications and issuing 0 awards. Fiscal Year 2022: In FY22 the program anticipates receiving 0 applications and issuing 0 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Assistant Director U.S. Fish and Wildlife Service,
National Wildlife Refuge System, Department of the Interior, 5275 Leesburg Pike,
MS: NWRS,
Falls Church VA 22041
Email: fwhqnwrfa@fws.gov
Phone: (703) 358-1744
Fax:

Website Address:

<https://www.fws.gov/invasives/>

RELATED PROGRAMS:

15.676 Youth Engagement, Education, and Employment ;

EXAMPLES OF FUNDED PROJECTS:

2020 Program issued awards to address issues surrounding the management of invasive species. 2021 No new funding for this program is expected. Assistance Listing remains active to allow the Service to close all currently open awards and report any associated amendments to government-wide systems that require the identification of an active Assistance Listing number as a key reporting element. 2022 No new funding for this program is expected. Assistance Listing remains active to allow the Service to close all currently open awards and report any associated amendments to government-wide systems that require the identification of an active Assistance Listing number as a key reporting element.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the basis of lasting effect on conservation, preservation, wildlife and their habitats.

15.653 National Outreach and Communication

NOC,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Transportation Equity Act for the 21st Century (23 U.S.C. 101 7402), and Sportfishing and Boating Safety Act National Outreach and Communications Program (16 U.S.C. 777g(d)).

OBJECTIVES:

To improve communications with anglers, boaters, and the general public regarding angling and boating opportunities, to reduce barriers to participation in these activities, to advance adoption of sound fishing and boating practices, to promote conservation and the responsible use of the nation's aquatic resources, and to further safety in fishing and boating.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The recipients work is focused on strategies and activities identified in the Strategic Plan for the National Outreach and Communication Program as required by the Sportfishing and Boating Safety Act of 1998. These activities are intended to increase participation in recreational boating and fishing. For example, program funds have been used to develop a national database of fishing and boating locations that is available on the internet. Current focuses of the program are angler and boater R3 efforts (recruit, retain and reactivate) and development of pilot R3 projects with state fish and wildlife agencies. If work is to be conducted outside of those identified in the original plan, the activity must show a clear relevance to the Strategic Plan.

Applicant Eligibility:

Anyone/general public;

Beneficiary Eligibility:

Anyone/general public;

Credentials/Documentation:

To apply for grant funds, the applicant must provide documentation of marketing expertise in sport fishing and boating. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Funding recommendations, based upon a process outlined in the funding announcements, will be forwarded to the Awarding Official. Applicants will be notified by Awarding Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Within 120 days.

Appeals:

Other. Contact the U.S. Fish and Wildlife Service national headquarters office.

Renewals:

Other. Contact the U.S. Fish and Wildlife Service national headquarters office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects may be approved for up to five years with annual incremental funding available. Method of awarding/releasing assistance: lump sum.

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. The final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. The final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the financial report.

Account Identification:

14-8151-0-7-303.

Obligations:

Project Grants (Discretionary) FY 20 \$12,592,882.00; FY 21 est \$14,169,446.00; FY 22 est \$14,200,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funds were awarded to the recipient selected in FY18 to continue to implement the program nationally. The recipient provided subgrant award opportunities to state fish and wildlife agencies and other organizations for programs to increase fishing and boating participation. Fiscal Year 2021: Funds are anticipated to be awarded to the recipient selected in FY 18 to continue to implement the program nationally. The recipient is anticipated to provide subgrant award opportunities to state fish and wildlife agencies and other organizations for programs to increase fishing and boating participation. Fiscal Year 2022: Funds are anticipated to be awarded to the recipient selected in FY 18 to continue to implement the program nationally. The recipient is anticipated to provide subgrant award opportunities to state fish and wildlife agencies and other organizations for programs to increase fishing and boating participation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and literature on the U.S. Fish and Wildlife Service programs is available at: <http://www.fws.gov>. Federal financial assistance regulations can be accessed at: https://edit.doi.gov/pam/programs/financial_assistance/award.

Regional or Local Office:

None.

Headquarters Office:

Holly Richards,
U.S. Fish and Wildlife Service, 5275 Leesburg Pike, Mailstop FAC.,
Falls Church VA 22041
Email: holly_richards@fws.gov
Phone: 703-358-2523
Fax:

Website Address:

<https://www.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.628 Multistate Conservation Grant Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Program funding was used to execute a new strategic plan with one major goal increase the number of Americans participating in recreational fishing from the current 49.1 million to 60 million by the end of 2021, aka 60 in 60. Program funding supported the plans four major objectives: (1) Consumer Engagement to inspire consumers to participate in fishing and boating, and teach them how to get started; (2) State Engagement to support states in their efforts to recruit, retain and reactivate (R3) fishing and boating participants; (3) Industry Engagement to provide fishing and boating industry stakeholders with the tools they need to increase category growth and; (4) Federal Engagement to work collaboratively with the USFWS and other Federal partners to promote fishing participation on public lands. 2021 Program funding was used to execute the second year of a three-year strategic plan with one major goal increase the number of Americans participating in recreational fishing from the current 49.4 million to 60 million by the end of 2021, aka 60 in 60. Program funding will support the plans four major objectives: (1) Consumer Engagement to inspire consumers to participate in fishing and boating, and teach them how to get started; (2) State Engagement to support states in their efforts to recruit, retain and reactivate (R3) fishing and boating participants; (3) Industry Engagement to provide fishing and boating industry stakeholders with the tools they need to increase category growth and; (4) Federal Engagement to work collaboratively with the USFWS and other Federal partners to promote fishing participation on public lands. 2022 Program funding will be used to execute the third year of a three-year strategic plan with one major goal increase the number of Americans participating in recreational fishing from the current 50.1 million to 60 million by the end of 2022, aka 60 in 60. Program funding will support the plans four major objectives: (1) Consumer Engagement to inspire consumers to participate in fishing and boating, and teach them how to get started; (2) State Engagement to support states in their efforts to recruit, retain and reactivate (R3) fishing and boating participants; (3) Industry Engagement to provide fishing and boating industry stakeholders with the tools they need to increase category growth and; (4) Federal Engagement to work collaboratively with the USFWS and other Federal partners to promote fishing participation on public lands.

CRITERIA FOR SELECTING PROPOSALS:

Each grant application will be reviewed for documentation required in the Program Guidelines and Application Kit.

15.654 National Wildlife Refuge System Enhancements

Refuges and Wildlife,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife ActCommunity partnership enhancement, Fish and Wildlife Coordination ActCooperation of agencies, Fish and Wildlife ActRefuge education program enhancement, National Wildlife Refuge System Administration, ActAdministration,

OBJECTIVES:

To provide technical and financial assistance to identify, conserve, manage, and enhance the natural, historical, and cultural resources and physical infrastructure of the National Wildlife Refuge System (NWRS); deliver public access and high-quality outdoor recreational opportunities; build a volunteer cadre, and inspire the next generation of hunters, anglers and wildlife enthusiasts through work on and off NWRS managed lands and waters. This program also encourages coordination and integration of efforts between U.S. Fish and Wildlife Service (USFWS) programs and interested parties to accomplish successful prevention or management of invasive species. Projects must conserve, protect, and enhance fish, wildlife and plants on and off NWRS managed lands for the continuing benefit of the American people.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Funds may be used for approved activities that support the objectives of the Department of Interior (DOI) and the National Wildlife Refuge System (NWRS). Awards issued under this program will support NWRS efforts to more effectively engage with the visiting public, enhance visitor services, improve facilities, manage invasive species on and off NWRS managed lands, and support priority public uses such as hiking, hunting, fishing, boating, wildlife observation, and photography. Program awards should endeavor to leverage funds with local industries and community organizations to conduct on-the-ground projects that support shared conservation goals and reduce barriers to outdoor recreation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants may be State and local governments, private, public, nonprofit organizations, institutions and private individuals, which support the mission of the Service.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted through an applicable GrantSolutions Directed Announcement or competitive Notice of Funding Opportunity (NOFO) posted to Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notice of Grant Award (NGA) will be sent electronically to the recipient by GrantSolutions.

Deadlines:

August 15, 2021.

Range of Approval/Disapproval Time:

From 60 to 90 days. Awards should be issued within 90 days of application receipt in GrantSolutions.

Appeals:

Other. Contact the headquarters or regional office.

Renewals:

Not Applicable. Projects may be renewed as needed, if justified and depending on the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. No matching is required; however, matching funds are encouraged. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The release of assistance funds may vary depending upon the recipient. Regional Office staff can explain procedures for requiring either lump sum or quarterly payment methods. Typically funding will be spent within 1-3 years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim

performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. The final Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. The final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$36,496,000.00; FY 21 est \$40,000,000.00; FY 22 est \$40,000,000.00;

Range and Average of Financial Assistance:

\$2,500 \$26,000,000

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program issued 58 awards. Fiscal Year 2021: In FY21 the program anticipates issuing 60-75 awards. Fiscal Year 2022: In FY22 the program anticipates issuing 60-75 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements/project grants are administered in conformance with the U.S. Fish and Wildlife Service Administrative Service Manual and 2 CFR 200.

Regional or Local Office:

None. Please visit www.fws.gov/refuges to locate the Regional and Local Office contacts.

Headquarters Office:

National Wildlife Refuge System,
U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike,
MS: NWRS,
Falls Church VA 22041
Email: fwbnwrsfa@fws.gov
Phone: (703) 358-1744
Fax: (703) 358-2248

Website Address:

<http://www.fws.gov/refuges>

RELATED PROGRAMS:

15.664 Fish and Wildlife Coordination and Assistance ; 15.676 Youth Engagement, Education, and Employment ;

EXAMPLES OF FUNDED PROJECTS:

2020 Fiscal Year 2020: Program anticipates funding projects that support National Wildlife Refuge System (NWRS) efforts to more effectively engage with the

ASSISTANCE LISTINGS DESCRIPTIONS

visiting public, improve facilities, manage invasive species, increase access and supports priority uses on and off NWRS managed land. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that that support National Wildlife Refuge System (NWRS) efforts to more effectively engage with the visiting public, improve facilities, manage invasive species, increase access and supports priority uses on and off NWRS managed land. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that that support National Wildlife Refuge System (NWRS) efforts to more effectively engage with the visiting public, improve facilities, manage invasive species, increase access and supports priority uses on and off NWRS managed land.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be scored and selected based on the following criteria: 1) Cost: demonstrated through cost presentation in Project Narrative and Budget; 2) Organizational Capacity: demonstrated ability/capacity to work cooperatively with the U.S. Fish and Wildlife Service to identify, conserve, manage and enhance the physical and ecological infrastructure of the NWRS; 3) Unique qualifications: Demonstration of unique qualifications to engage in this partnership with the U.S. Fish and Wildlife Service. Additional application criteria will be available in the applicable Directed Announcement in GrantSolutions.

15.655 Migratory Bird Monitoring, Assessment and Conservation

(Migratory Bird),

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Title Fish and Wildlife Coordination Act/Cooperation of agencies (16 U.S.C. 661), OBJECTIVES:

Working with others to conserve, enhance, and better understand the ecology and habitats of migratory bird species.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and payments may be used for the conservation of any bird species protected by the Migratory Bird Treaty Act. Special emphasis will be placed on bird species listed in USFWS conservation and management priorities documents (e.g. USFWS Birds of Conservation Concern 2008, Focal Species list). Implementing national, regional, flyway Bird Conservation Region, and state-level bird conservation plans (e.g., Partners in Flight North American Landbird Conservation (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<https://www.fws.gov/birds/management/bird-management-plans/the-us-shorebird-conservation-plan.php>) North American Waterbird Conservation Plan (<https://www.fws.gov/birds/management/bird-management-plans/waterbird-conservation-for-the-americas.php>) are among the Service's highest priorities. Projects related to the priorities identified in these plans will receive the greatest funding consideration. Projects should address one or more of the following activities: a) Population surveys and monitoring to determine the health, status, trends, and distribution of bird species and groups of concern. Emphasis will be placed on landscape-level applications of standardized inventory and monitoring protocols (e.g., Breeding Bird Atlases, national marshbird call playback surveys). Local-scale monitoring (e.g., local land management units) activities will generally not be a focus of this program; b) Applied research, including but not limited to, studies of habitat requirements, limiting factors, and population responses to habitat conservation activities; development of new monitoring techniques and programs for poorly-surveyed species; use of Geographic Information Systems, bird habitat modeling, and similar tools to identify bird habitat focus areas and further bird conservation planning efforts; and investigation of avian mortality events and monitoring of health and disease problems; c) Compilation of technical information, such as status reviews of bird species of concern, development of habitat management and restoration guidelines, disseminating updates and providing training about current and emerging diseases which impact migratory bird populations, and other outreach and education programs including programs

structured towards youth and connecting people with nature. Habitat management projects are generally not covered under this program. Additionally, construction or real property acquisition projects may not be funded under this program. For further information, please contact the regional office. Approximately 15% of program funding may be discretionary depending on annual appropriations and program priorities.

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federal; Interstate; Intrastate; State; Local; including Tribal Government; Public Nonprofit Institution/Organization; Other Public Institution/Organization; Federally Recognized Tribal Government; U.S. Territory or Possession; Institutions of Higher Education including Public Private, State College, University, Junior, and Community College; Individual/Family; Specialized Group; Small Business; Profit Organization; Private Nonprofit Institution/Organization; Quasi-Public Nonprofit Institution/Organization; Other Private Institution/Organization; or Native American Organization.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Federal; Interstate; Intrastate; State; Local; including Tribal Government; Public Nonprofit Institution/Organization; Other Public Institution/Organization; Federally Recognized Tribal Government; U.S. Territory or Possession; Institutions of Higher Education including Public Private, State College, University, Junior, and Community College; Individual/Family; Specialized Group; Small Business; Profit Organization; Private Nonprofit Institution/Organization; Quasi-Public Nonprofit Institution/Organization; Other Private Institution/Organization.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information may be required and will be specified in program funding opportunity postings on Grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Projects and informal or formal proposals may be requested by the Regional or National Migratory Bird Program office directly from appropriate eligible applicants. These funding opportunities will be posted at www.grants.gov and will clearly outline the required forms or supplements (e.g. SF-424, full budget, etc.). Unsolicited project proposals from eligible applicants may also be submitted for consideration to Regional or National offices. Projects may also be mutually developed by the Regional or National office and appropriate eligible applicants. All projects contain mutually agreed upon deliverables and funding. Applicant must complete the Standard Form (SF)-424, and the appropriate Budget Assurances forms (SF-424A and SF 424B - Non-construction; or SF-424C and SF-424D - Construction). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

The Migratory Bird Program project officer reviews and approves conservation projects based on proposals meeting the objectives and in consultation with appropriate leadership. Once a proposal is accepted and mutually agreed upon deliverables and funding are approved, a formal agreement is written. Upon signing both parties, work can begin.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Decisions on funding a proposal are usually made no later than 180 days after receipt of the proposal. However, funding opportunities may present themselves at a later opportunity at which time projects may be reconsidered.

Appeals:

Not Applicable.

Renewals:

Other. An award may be modified at the discretion of the issuing Regional or National office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance funding is from the Services annual resource management appropriation and must be fully obligated by September 30 of its second year of availability. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$1,600,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, the program issued 25 awards through its continued work with partners in the development and implementation of conservation plans that contribute to improving the health of migratory bird species and their habitats, and increasing opportunities for and access to outdoor recreation. The program also supported monitoring activities that provide critical data used in the establishment of annual migratory bird hunting seasons that, in turn, provide hunting opportunities for the American public. Fiscal Year 2021: The program will continue to conduct conservation and monitoring activities like prior years, including using vehicles like the Urban Bird Treaty to expand outreach efforts and activities to better reach communities of color and low-income communities. Fiscal Year 2022: No current data is available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Chief, Division of Migratory Bird Management, Migratory Bird Program,
US Fish and Wildlife Service Headquarters 5275 Leesburg Pike, MS:MBFalls
Church, VA 22041,
Falls Church VA 22041-3803
Email: MB_mail@fws.gov
Phone: (703) 358-1757

Website Address:

<http://www.fws.gov/birds/>

RELATED PROGRAMS:

15.635 Neotropical Migratory Bird Conservation ; 15.637 Migratory Bird Joint Ventures; 15.647 Migratory Bird Conservation; 15.812 Cooperative Research Units Program ; 47.074 Biological Sciences;

EXAMPLES OF FUNDED PROJECTS:

2020 "Quantifying decision-making by mid-continent white-fronted geese": This project will use state-of-the-art Global Positioning System-acceleration (GPS-ACC) tracking devices on mid-continent greater white-fronted geese (*Anser albifrons frontalis*) to evaluate the effects of habitats, seasonal environmental conditions, and harvest on productivity and survival. Data collected from this project will improve understanding of greater white-fronted goose biology, demography, and migratory behavior and advance research and development of new wildlife tracking technologies. Results from this project will be used by the U.S. Fish and Wildlife Service (USFWS), Canadian Wildlife Service (CWS), and state/provincial wildlife agencies to improve monitoring, harvest regulations, habitat planning, and other management and conservation efforts for greater white-fronted geese. 2021 The primary purpose of the Webless Migratory Gamebird Program (WMGBP) is to support activities that will improve management of the 16 species of migratory shore and upland game birds (MSUGBs) in North America. The USFWS administers financial assistance awards (grants and cooperative agreements), purchase orders, and contracts on a competitive basis for projects and studies that advance the general scientific community's understanding of MSUGB ecology and management and is seeking proposals from interested parties. Award announcements are expected sometime in Spring 2021. 2022 Projects have not yet been selected. Funding is again expected for projects that contribute to improving the health of migratory bird species and their habitats, and increasing opportunities for and access to outdoor recreation.

CRITERIA FOR SELECTING PROPOSALS:

See the funding opportunity posted on [Grants.gov](https://www.grants.gov).

15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement.

(ARRA).

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Pub. L. 1115 [H.R.1], 123

ASSISTANCE LISTINGS DESCRIPTIONS

Stat. 167), Fish and Wildlife Coordination Act (16 U.S.C. 661-666), Sikes Act (16 U.S.C. 670a-670o), Alaska National Interest Lands Conservation Act (16 U.S.C. 3101), Fish and Wildlife Act (16 U.S.C 742 et seq.), Marine Mammal Protection Act (16 U.S.C. 1361-1421), Nonindigenous Aquatic Nuisance Prevention and Control Act (16 U.S.C. 4701-4741), Great Lakes Fish and Wildlife Restoration Act (16 U.S.C. 941), Endangered Species Act (16 U.S.C. 1531 et seq.), Partners for Fish and Wildlife Act (16 U.S.C. 3771-3774),.

OBJECTIVES:

To provide technical and financial assistance to identify, protect, conserve, manage, enhance or restore habitat or species on both public and private lands.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance is provided to fund projects that improve habitat and/or any related activity necessary to accomplish this goal. Funds may be used for habitat assessments, protection and restoration of public and private land and may include alternative/renewable energy efficient projects. Funds may also be used to encourage public usage by providing access and education. Providing fish passage and stocking of fish from National and/or State Fish Hatcheries and advising on wildlife management techniques may be management tools considered. Working with interns on habitat restoration projects is acceptable.

Applicant Eligibility:

Government - General; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Other private institutions/organizations; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application procedures are specified in www.grants.gov, which require, at a minimum, the submission of a project proposal along with the completed SF 424 Application for Federal Assistance.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or the designee will approve or disapprove proposed projects. Regional Offices are responsible for notification of grant approval to the grantee by return of a completed agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Projects may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. No matching is required, however, matching funds are encouraged to allow for additional restoration. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Recovery Act places restrictions on the time permitted to spend the money awarded. The Regional Office Contact Person can further explain the restrictions placed upon the time period to fully spend the money awarded under the project. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly.

Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.328. A final performance report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each awarded cooperative agreement. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports. If questions, such as those raised as the results of audits, remain following the 3-year period, recipients must retain records until all matters are resolved.

Account Identification:

14-1613-1-1-302. 14-1610-1-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-1613. 14-1610.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Please visit www.fws.gov to locate the Regional and Local Office contacts.

Headquarters Office:

Assistant Director,
Fisheries and Habitat Conservation, Fish and Wildlife Service, Department of the Interior, 1849 C Street, N.W.,
Washington DC 20240

Email: fwsgrants@fws.gov

Phone: (202) 208-6394

Fax: (202) 208-4674

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

66.708 Pollution Prevention Grants Program; 15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Program specific criteria is specified in the announcements of the individual financial assistance programs.

15.657 Endangered Species Recovery Implementation

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Consolidated Appropriations Act, 2021-Administrative Provisions (Pub. L. 116-260 [H.R. 133-301]) and prior years appropriations Acts, Endangered Species Act (16 U.S.C. 1531 et. seq.).

OBJECTIVES:

Recovery Implementation: To provide federal financial assistance to secure endangered or threatened species information, undertake restoration actions that will lead to delisting of a species; help prevent extinction of a species, or aid in the recovery of species. Recovery Challenge: To provide federal financial assistance to eligible, non-Federal longstanding and new partners working on high priority recovery actions as identified by the Service in recovery plans, recovery strategies, or recovery outlines for Endangered Species Act endangered and threatened species. State of the Birds: To provide federal financial assistance to address the crises and challenges confronting birds in every part of the country by funding projects supporting the recovery of bird species listed under the Endangered Species Act.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Recovery Implementation: This opportunity is limited to projects carrying out actions described in a species' approved recovery plan, in the implementation schedule of a species' approved recovery plan, or in a species recovery outline. The percentage of funds set aside for discretionary activities varies each year. Recovery Challenge: This opportunity is limited to projects carrying out actions described in a species' approved recovery plan, in the implementation schedule of a species' approved recovery plan, or in a species recovery outline. The amount of funds available is dependent on Congressional appropriations. State of the Birds: This opportunity is limited to projects carrying out actions implementing the recovery of bird species listed under the Endangered Species Act. The amount of funds available is dependent on Congressional appropriations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Profit organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State and local government agencies, institutions of higher education, including public, private state colleges and universities, nonprofits, Native American tribal organizations (other than recognized tribal governments), city, county or township governments, individuals, Native American tribal governments (federally-recognized), for-profit organizations, and small businesses.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Small business; Private nonprofit institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State and local government agencies, institutions of higher education, including public, private, state colleges and universities, nonprofits, Native American tribal organizations (other than recognized tribal governments), city, county or township governments, individuals, Native American tribal

governments (federally-recognized), for-profit organizations, and small businesses.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. FWS Regional and Field Office contacts can provide specific information on the amount of funding available, as well as Regional recovery priorities. We encourage you to contact the appropriate FWS Regional and Field Office contacts to discuss potential projects. If funding is available and the potential project addresses a high priority Regional recovery need, then the Regional contact will refer the potential applicant of the appropriate FWS Ecological Services Field Office for further coordination.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Funding Opportunity (NOFO) will be posted for Recovery Implementation and Recovery Challenge. A NOFO will not be posted for the State of the Birds. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards will be announced via letters sent directly to the applicants. No work may begin on a project until the appropriate legal contracting or procurement documents, and the required environmental compliance documents, have been signed by the authorized Service official. Unsuccessful applicants will be notified either by letter, fax, email or by telephone.

Deadlines:

April 19, 2021. State of the Birds For Recovery Implementation and Recovery Challenge, contact the headquarters or regional location, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Consideration of applications will ultimately be based on the FWS budget cycle. We encourage partners (especially existing partners who already have a working relationship with local FWS Field Offices) to talk to the local FWS Field Offices about possible project ideas and submittal timeframes.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Project applicants are not prohibited from submitting applications for consideration for subsequent funding for multi-year projects though there is no governmental guarantee that multiple years of the project will be funded.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depending on funding availability, assistance is available throughout the year; funding must be spent with 3 years of award. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension.

ASSISTANCE LISTINGS DESCRIPTIONS

The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$2,575,987.00; FY 21 est \$2,500,000.00; FY 22 est \$2,500,000.00; Project Grants (Discretionary) FY 20 \$6,000,000.00; FY 21 est \$7,000,000.00; and FY 22 est \$7,000,000.00; Project Grants (Discretionary) FY 20 \$1,000,000.00; FY 21 est \$1,300,000.00; and FY 22 est \$1,300,000.00;

Range and Average of Financial Assistance:

Varies by Region.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Recovery Implementation: In FY20, the total program awarded 117 awards, 40 for implementation. Recovery Challenge: In FY20, this subprogram received 54 applications and issued 32 awards. State of the Birds: In FY20, this subprogram received 23 applications and issued 9 awards. Fiscal Year 2021: Recovery Implementation: Varies by Region. Recovery Challenge: In FY21, this subprogram is anticipated to receive 25 applications and issue 10 awards. State of the Birds: In FY21, this subprogram is anticipated to receive 15 applications and issue 10 awards. Fiscal Year 2022: Recovery Implementation: Varies by Region. Recovery Challenge: In FY22, this subprogram is anticipated to receive 25 applications and issue 10 awards. State of the Birds: In FY22, this subprogram is anticipated to receive 15 applications and issue 10 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ecological Services Program,

U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike (MS: ES),

Falls Church VA 22041

Email: FWS_ES_GRANTS@fws.gov

Phone: (703) 358-2171

Website Address:

<https://www.fws.gov/endangered>

RELATED PROGRAMS:

15.611 Wildlife Restoration; 15.605 Sport Fish Restoration Program; 15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners for Fish and Wildlife;

EXAMPLES OF FUNDED PROJECTS:

2020 Recovery Implementation: Oregon Silverspot Butterfly Cascade Head Habitat Enhancement: The Nature Conservancy will enhance Oregon silverspot butterfly habitat by removing small trees and shrubs in meadow area most frequently used by the Oregon silverspot butterfly and do a prescribed burn on the east side and south facing slopes of the nature preserve to reduce forest encroachment and thatch build-up into meadow areas. Native seed will be planted into treated areas.

Vegetation monitoring will be implemented to gauge project success. Spectacled eider research and monitoring: Spectacled Eiders (*Somateria fischeri*) historically winter in the Bering Sea, south of St. Lawrence Island. Counts made during late winter aerial surveys of this area indicate that essentially the global population of

the species, which includes individuals from breeding areas on the Arctic coast of Russia, and the Yukon-Kuskokwim Delta and Arctic Coastal Plain in Alaska, winters in this area. Winter population abundance of Spectacled Eiders was last surveyed in March 2010 and an updated count was identified as important for informing an upcoming Species Status Assessment to be completed in 2021. The U.S. Fish and Wildlife Service Endangered Species Recovery and Migratory Bird Management programs, in collaboration with the University of Alaska Fairbanks, conducted an aerial survey of the wintering area of Spectacled Eiders in March of 2020. The objective of this survey was to determine the abundance and spatial distribution of Spectacled Eiders wintering in the Bering Sea. Recovery Challenge: California Condor Bi-national Recovery and Reintroduction: This project focuses on three aspects specifically related to recovery actions for the California condor: 1) increasing condor production for reintroductions, 2) developing a species-wide and flexible population model that can be used as a foundation for adaptive management actions for California condor recovery, and 3) continuing ongoing research supporting population growth of wild condors. Recovering *Vicia Menziesii*, the first endangered Hawaiian plant, and 4 other plants on Hawaii Island: A landscape level approach to address high-priority recovery tasks: This project will implement recovery actions to prevent the extinction of these species and investigate limiting factors in the next stage of their recovery using these three objectives addressing high-priority Recovery Tasks: 1) Establish and enhance representation ex situ to ensure that each wild founder is secured in germplasm storage and, for *V. menziesii*, in an inter situ managed breeding population to provide and amplify plant material for genetically balanced restoration populations; 2) Create new and expand ongoing reintroduction efforts with historically associated T&E species in protected landscapes across the species entire historic range; and 3) Conduct directed monitoring to identify limiting factors to next-generation recruitment and survival. Returning the Amargosa vole in its original home: This project is aimed at conservation of the endangered Amargosa vole in its only remaining habitat in Tecopa, Inyo County, California, and in newly restored historical habitat in nearby Shoshone. The proponent will translocate Tecopa voles to Shoshone to recreate the Shoshone vole subpopulation, and perform range-wide surveys of vole, habitat, and water in Tecopa. These activities are Priority 1 actions from the amended Recovery Plan, including directly contributing toward achieving Downlisting Criteria A.2 and Priority 1 Recovery Action #4 of the 2019 Recovery Plan Amendment, and provides critically needed updated data to fulfill Downlisting Criteria E.1 (winter population estimates) and inform A.1 (identify megamash restoration areas) and C.1 (monitor disease impacts). State of the Birds: Wetland and watershed restoration for reliable whooping crane habitat: This project implements multiple activities outlined in the recovery plan, and would build on the success of previous State of the Birds awards to improve stopover habitat at the second most important location within the Rainwater Basin Wetland Complex. The project demonstrates a shared vision by the Service and the State of Nebraska, because of its potential to fully restore and maximize the probability of the Cottonwood WPA becoming a reliable stopover site, and provide critical mid-latitude habitat within the species migration corridor. Disentangling the effects of land management, landscape context, genetic diversity, and gene flow on population growth to improve conservation practice for Florida scrub-jays: This project implements 4 out of 5 priority actions identified in the recovery plan recently revised as part of an effort to achieve an Agency Priority Goal. The proposed project would leverage existing survey data and outcomes from past management treatments resulting from significant Service and partner investments, in order to answer questions that would substantially improve the effectiveness of recovery efforts for several years into the future. 2021 Should funding become available, the Service intends to continue to fund conservation actions including securing scientific information about endangered or threatened species, implementing restoration actions that will lead to delisting of a species, help prevent extinction of a species, or aid in the recovery of a species. 2022 Should funding become available, the Service intends to continue to fund conservation actions including securing scientific information about endangered or threatened species, implementing restoration actions that will lead to delisting of a species, help prevent extinction of a species, or aid in the recovery of a species.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are outlined in the Notice of Funding Opportunity (NOFO).

ASSISTANCE LISTINGS DESCRIPTIONS

15.658 Natural Resource Damage Assessment and Restoration

NRDAR,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Water Pollution Control Act, 33 U.S.C 1251-1387; Oil Pollution Act, 33 U.S.C. 2701 et seq.; Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C 9601, et seq.; and Fish and Wildlife Act, 16 U.S.C 742 et seq.,

OBJECTIVES:

The purpose of the Natural Resource Damage Assessment and Restoration (NRDAR) Program is to restore natural resources and their services that have been injured by an oil spill or hazardous substance release for the benefit of the American people. The U.S. Fish and Wildlife Service is a trustee for threatened and endangered species, migratory birds, at-risk species, and interjurisdictional fishes. We identify natural resources and their services that have been injured, determine the extent of the injuries, recover damages from responsible parties, and plan and carry out natural resource restoration activities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to fund NRDAR-related activities that fall under two main sub-activities: 1) assessment, and 2) restoration. Assessment activities are funded from either appropriated funds or recovered past assessment costs. While assessment funding is discretionary, the U.S. Fish and Wildlife Service and other DOI Bureaus involved in NRDAR cases work as collaboratively as possible with our co-Trustees (e.g., states, federally-recognized tribes, and other federal agencies with natural resource management responsibilities that were impacted by the specific NRDAR case) when deciding what assessment activities to conduct and when to offer financial assistance for specific assessment activities. Assessment activities support the specific NRDAR case and legal claim, and can include identifying the natural resources injured and any loss of services they provide (such as recreation), determining the extent of the injuries, scaling restoration costs and projects to the injuries, and determining damages (i.e., cost) necessary to restore the injured natural resources and services. Restoration activities are funded from NRDAR case-specific settlement funds that were paid by the responsible party (or parties) to all Natural Resource Trustees that were part of the NRDAR claim. These funds are non-discretionary as their use is dictated by the court consent decree (CD) or the court-lodged settlement for the case. Furthermore, all Natural Resource Trustees must unanimously approve the expenditure of case settlement funds on restoration activities, through the administrative body of a Trustee Council (TC) and a TC Resolution. Additionally, the TC produces a restoration plan(s), which is publicly reviewed, that further directs how settlement funds are to be spent and the type of restoration projects and activities that can be conducted. Again, this restoration plan(s) involves the unanimous approval of all TC members before it can be finalized and implemented. Restoration activities are intended to restore, replace, or acquire the equivalent of the injured natural resources and their services. They can include the planning, implementation, and monitoring of restoration projects; the acquisition of land (for replacement of habitat, the benefit of injured wildlife species, and the benefit of the public); the creation of habitat, such as aquatic habitat in a stream or lake; and the creation, improvement, or enhancement of natural resource services, such as boating, hiking, and fishing opportunities, to replace lost services. All assistance provided for NRDAR activities, both assessment and restoration, must meet the intent of the NRDAR Program as well as the specific needs of a given NRDAR case.

Applicant Eligibility:

Anyone/general public; Anyone/general public. Use of assistance is primarily for natural resources but also can be for public education and recreation.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Not applicable. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction) Application for Federal Assistance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his or her designee approves or disapproves of proposed projects. Regional Offices are responsible for notifying the grantee of grant approval by the return of a completed agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 working days after receipt, dependent upon the complexity of the agreement.

Appeals:

Not Applicable.

Renewals:

Other. Project may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. There are no matching fund requirements, however, matching funds are encouraged to allow for additional restoration. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available year-round but is on a case-by-case basis when specifically needed. Project duration can vary, but is generally between 1 and 5 years. The period of time when funding must be spent can vary depending on the length of the project and will be project or case-specific. Specific details will be provided in the case or project-specific notice of funding opportunity and award announcement and/or notice of award letter. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each awarded grant. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports.

Account Identification:

14-5198-1-4-303. 14-1611-0-1-302. 14-1618-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$21,600,000.00; FY 21 est \$21,000,000.00; FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$1,000,000 or greater.

TAFS Codes:

14-1611. 14-1618. 14-5198.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, the program issued 32 awards to program partners for projects relating to wildlife monitoring and assessment, aquatic and terrestrial habitat restoration and improvement, data analysis, community outreach and education, and recreation enhancement. Fiscal Year 2021: At the time of publication, the program has issued 9 awards in FY21 for habitat restoration, wildlife monitoring and assessment, and recreation enhancement. Fiscal Year 2022: No current data is available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Please see www.fws.gov to locate Regional and Local Field Office Contacts. For Regional Offices, ask to speak to the Regional NRDAR Coordinator.

Headquarters Office:

Chief, Branch of Environmental Response and Restoration,
U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike,
(MS: ES),
Falls Church VA 22041
Email: FWS_ES_GRANTS@fws.gov
Phone: (703) 358-2171

Website Address:

<https://www.fws.gov/ecological-services/habitat-conservation/NRDAR.html>

RELATED PROGRAMS:

66.708 Pollution Prevention Grants Program; 66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites; 66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice; 15.236 Environmental Quality and Protection Resource Management; 66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements;

EXAMPLES OF FUNDED PROJECTS:

2020 Fox River Natural Resource Damage Assessment (WI) - The Fox River/Green Bay Natural Resource Trustees requested restoration projects that restore, rehabilitate, replace, and/or acquire the equivalent of the identified natural resources and resource services injured by polychlorinated biphenyls (PCBs) into the Lower Fox River and Green Bay, WI. Trustees sought projects to improve the breeding success of the Common Murre by reducing disturbance events at their breeding colonies. 2021 Common Loon Restoration (MA & RI) - Trustees are seeking/sought projects that restore common loon through: 1) Translocation and Captive Rearing and 2) Artificial Nest Sites, Signage and Wardening, Protection of Breeding Habitat, and Reducing Common Loon Mortality through Rescue and Rehabilitation of Stranded Individuals and Decreasing Exposure to Lead Fishing Tackle. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that are decreed in settlement documents for specific cases, and other NRDAR program related activities.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for individual proposals are set by the local and Regional office that is advertising the project opportunity. Criteria are based on the Natural Resource Damage Assessment and Restoration case-specific needs.

15.660 Candidate Species Conservation

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Consolidated Appropriations Act, 2021 Administrative Provisions (Pub. L. 116-260 [H.R. 133-301]); Endangered Species Act (16 U.S.C. 1531 et. seq.).

OBJECTIVES:

The objective is to secure candidate and other at-risk species information or undertake restoration actions that will help avert federal listing of species. The purpose is to provide a means by which the ecosystems upon which candidate and at-risk species depend may be conserved, to provide a program for the conservation of these species.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The assistance can be used for Candidate Conservation. Funding may be used for actions related to: (1) the development of scientific information that is useful in supporting candidate assessments, conservation actions and candidate conservation agreements; (2) reduce threats to a candidate and other high priority species, such as propagation of imperiled freshwater mussels; or (3) conservation of candidate species, such as biological field work to conduct species surveys; or (4) in a spotlight species action plan, or projects documenting species' response to climate change. These are discretionary funds that are used for project purposes to help ensure the use of the best available scientific information in making management decisions. Funding is not used for real property acquisition or construction.

Applicant Eligibility:

Anyone/general public; Anyone except Federal Agencies.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. FWS Regional Field Office contacts can provide specific information on the amount of funding available, as well as Regional recovery priorities. We encourage you to contact the appropriate FWS Regional and Field Office contacts to discuss potential projects. If funding is available and the potential project addresses a high priority Regional candidate conservation need, then the Regional contact will refer the potential applicant of the appropriate FWS Ecological Services Field Office for further coordination.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The following Federal regulations apply to this program: (1) Federal Acquisition Regulation Subpart 31.2; 2 CFR Parts 215, 220, 225, 230; 43 CFR Part 18; and 48 CFR 31. The following OMB Circulars apply to this program: OMB Circular No. A-102 and A-133. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances Forms (SF 424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction). Applicants must also submit a project summary page, and a project description. The project description section of the application should address the evaluation factors identified in the Full Announcement posted on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards will be announced via letters sent directly to the applicants. No work may begin on a project until the appropriate legal contracting or procurement documents, and the required environmental compliance documents, have been

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signed by the authorized FWS official. Unsuccessful applicants will be notified either by letter, fax, email or by telephone.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Project applicants are not prohibited from submitting applications for consideration for subsequent funding for multi-year projects though there is no governmental guarantee that multiple years of the project will be funded.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding must be spent within 3 years of awarding the grant. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$877,264.00; FY 21 est \$600,000.00; FY 22 est \$600,000.00;

Range and Average of Financial Assistance:

Varies by Region.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 15 awards were issued in FY20. Fiscal Year 2021: Varies by year. Fiscal Year 2022: Varies by year.

REGULATIONS, GUIDELINES, AND LITERATURE:

A table lists administrative requirements that may apply.

Regional or Local Office:

None.

Headquarters Office:

Ecological Services Program,
U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike
(MS: ES),
Falls Church VA 22041
Email: FWS_ES_GRANTS@fws.gov
Phone: (703) 358-2171

Website Address:

<https://www.fws.gov/grants>

RELATED PROGRAMS:

15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners for Fish and Wildlife;

EXAMPLES OF FUNDED PROJECTS:

2020 Land Snail Extinction Prevention Program: The State of Hawaii Snail Extinction Prevention Program (SEPP) works to prevent the imminent extinction and local extirpation of rare and endangered land snail species across the islands. The program functions by integrating ex situ captive rearing with in situ predator control, predator exclusion fencing, and habitat management, as well as by syncing rare snail conservation objectives and management techniques across entities. SEPP has a fully functioning captive rearing facility and employs one full-time program coordinator, three field technicians, and one laboratory technician. Currently, SEPP maintains captive populations of 40 species of rare and endangered snails from five islands and has approximately 5000 individual snails. The majority of these species are unlisted but imperiled. All species at the facility are likely extinct in the wild or extremely close to being so. Funding provided will support ongoing captive propagation and reintroduction efforts including: care and maintenance of existing lab populations, management of existing predator-proof fence sites, and release of captive reared snails into existing predator-proof fences. All actions may help to prevent the listing of candidate or at-risk species. Hawaiian Lymnaeids Research: The Pacific Islands Fish and Wildlife Office is using Candidate Conservation funds to support researchers affiliated with the Bishop Museum. The project will improve the understanding of the identity, distribution and conservation status of native freshwater snails in Hawaii so that they may be better managed along with other aquatic resources in the state. To accomplish this, we will 1) undertake surveys for freshwater snails, predominantly native species belonging to the Lymnaeidae, on five of the main Hawaiian Islands where these snails have been historically recorded and in novel sites where they may persist; 2) complete targeted surveys of historical sites for *Erinna newcombi* to provide conservation updates needed for reassessment of this listed species; and 3) carry out a pilot study to examine the feasibility of captive rearing one species, *Lymnaea reticulata*, which was historically abundant on Oahu. The results of this project regarding the taxonomy, distributions, diversity and life history of Hawaiian lymnaeids will allow resource managers and USFWS to more effectively make decisions about listing species. Reporting will evaluate taxonomy, genetic diversity, effective population sizes, distributions and numbers of populations for each species encountered. In addition, a wide variety of data associated with these taxa will be recorded, including habitat type, elevation, and more, from which guides for their identification and assessments of their current conservation status will be generated. The results of captive rearing studies will be critical for population viability assessments and aid efforts to stabilize and protect remaining populations. 2021 Should funding be available, the Service anticipates funding projects similar to FY20 that secure candidate and other at-risk species information and that undertake restoration actions which help avert Federal listing of species. 2022 Should funding be available, the Service anticipates funding projects similar to FY21 that secure candidate and other at-risk species information and that undertake restoration actions which help avert Federal listing of species.

CRITERIA FOR SELECTING PROPOSALS:

This opportunity is limited to projects carrying out actions for candidate species describe in annual assessment; or for at-risk species in a conservation plan or strategy; or in a spotlight species action plan; or projects documenting species' response to climate change.

15.661 Lower Snake River Compensation Plan

LSRCP,

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Anadromous Fish Conservation Act (16 U.S.C. 757a), Fish and Wildlife Coordination Act/Cooperation of agencies (16 U.S.C. 661), Water Resources Development Act-Lower Snake River Fish and Wildlife Compensation Plan (Pub. L. 94-587, 90 STAT. 2921, 102),.

OBJECTIVES:

To mitigate for the losses of fish and wildlife caused by the construction of four hydroelectric dams on the lower Snake River by the U.S. Army Corps of Engineers.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To operate and maintain LSRCP authorized fish hatcheries owned by the U.S. Fish and Wildlife Service, to evaluate survival and harvest of fish released from LSRCP hatcheries, to assess impacts of LSRCP hatcheries and fish released on Endangered Species Act (ESA) listed species and to coordinate LSRCP programs with other fish hatchery and research programs in the Columbia Basin.

Applicant Eligibility:

Federal; Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments;

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants must have legal authority to operate fish hatcheries and release fish in the states of Oregon, Washington and Idaho and demonstrated competence to operate fish hatcheries and conduct scientific research. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application package must include an SF 424 Application for Federal Assistance, a statement of work or production summary, and an estimated budget. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are evaluated by the LSRCP Program Administrator based on authorizing legislation and program goals. The Assistant Regional Director for Fisheries in the Specific Region approves all cooperative agreements.

Deadlines:

September 30, 2021.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Must be submitted by the program deadline.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available from October 1 through September of the current year. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly.

Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All files will be maintained for 3 years after the completion of the project and submission of the final financial and performance reports.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$21,279,626.00; FY 21 est \$21,279,626.00; FY 22 est \$21,279,626.00;

Range and Average of Financial Assistance:

Range \$270,752 to \$8,875,647

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program received 11 applications and issued 11 awards. Fiscal Year 2021: Anticipate receiving 8 applications and issuing 8 awards. Fiscal Year 2022: Anticipate receiving 8 applications and issuing 8 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is operated under the authority of the Water Resources Development Act of 1976. Reports and publications are published on the web at <http://www.fws.gov/lsnakecomplan>.

Regional or Local Office:

None. All inquiries should be directed to the local program office. Local Office: U.S. Fish and Wildlife Service, Lower Snake River Compensation Plan Office, 1387 S. Vinnell Way, Suite 343, Boise, ID 83709, (208)378-5321.

Headquarters Office:

Lower Snake River Compensation Plan Office,
U.S. Fish and Wildlife Service, 1387 S. Vinnell Way, Suite 343,
Boise ID 83709
Email: brian_devlin@fws.gov
Phone: (208) 378-5321

Website Address:

<http://www.fws.gov/lsnakecomplan>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 Projects will support operation and maintenance of fish hatcheries, juvenile salmon and steelhead release programs, and monitoring and evaluation of survival and harvest rates at Dworshak National Fish Hatchery (NFH), Hagerman NFH, Magic Valley Fish Hatchery (FH), Sawtooth FH, Clearwater FH, McCall FH, Irrigon FH, Wallowa FH, Lookingglass FH, Lyons Ferry FH and the Tucannon FH. Additionally, supported are the Nez Perce Tribe, Consolidated Tribes of the

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Umatillas and the Shoshonne-Bannock Tribes fish hatcheries. 2021 Projects will support operation and maintenance of fish hatcheries, juvenile salmon and steelhead release programs, and monitoring and evaluation of survival and harvest rates at Dworshak National Fish Hatchery (NFH), Hagerman NFH, Magic Valley Fish Hatchery (FH), Sawtooth FH, Clearwater FH, McCall FH, Irrigon FH, Wallowa FH, Lookingglass FH, Lyons Ferry FH and the Tucannon FH. Additionally, supported are the Nez Perce Tribe, Consolidated Tribes of the Umatillas and the Shoshonne-Bannock Tribes fish hatcheries. 2022 Projects will support operation and maintenance of fish hatcheries, juvenile salmon and steelhead release programs, and monitoring and evaluation of survival and harvest rates at Dworshak National Fish Hatchery (NFH), Hagerman NFH, Magic Valley Fish Hatchery (FH), Sawtooth FH, Clearwater FH, McCall FH, Irrigon FH, Wallowa FH, Lookingglass FH, Lyons Ferry FH and the Tucannon FH. Additionally, supported are the Nez Perce Tribe, Consolidated Tribes of the Umatillas and the Shoshonne-Bannock Tribes fish hatcheries.

CRITERIA FOR SELECTING PROPOSALS:

Past program performance which demonstrated competency to fulfill program objectives.

15.662 Great Lakes Restoration

Great Lakes Restoration Initiative; Great Lakes Restoration Program,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Federal Water Pollution Control Act/Great Lakes (33 U.S.C. 1268),

OBJECTIVES:

To provide technical and financial assistance to implement the highest priority actions in order to protect and restore the Great Lakes. Priority actions are to: identify, protect, conserve, manage, enhance or restore habitat or species on both public and private lands within the Great Lakes Basin.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are provided for projects that address aquatic and terrestrial invasive species, environmental contaminants, nonpoint source pollution impacts, and protection and restoration of fish, wildlife, and their habitats in the Great Lakes. Funding for land acquisition and construction projects associated with ecological restoration, e.g. dam removal, river connectivity restoration, coastal and upland habitat restoration etc., is eligible under this program.

Applicant Eligibility:

Federal; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Individual/Family; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Program-specific.

Beneficiary Eligibility:

Federal; Native American Organizations; State; Program-specific.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is program-specific. Some programs may require an environmental impact statement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Program requirements are specified in the announcements of the individual financial assistance programs. More information on Great Lakes Restoration Initiative programs is available at <http://www.fws.gov/gftri/>

Deadlines:

Contact the USFWS Great Lakes Regional Office for program application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Contact the USFWS Great Lakes Regional Office for program application deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Program requirements are specified in the announcements of the individual financial assistance programs. More information on Great Lakes Restoration Initiative programs is available at <http://www.fws.gov/gftri.MOE> requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Great Lakes Restoration Initiative places restrictions on the time permitted to spend the funds awarded. The Regional Office Contact Person can further explain the restrictions placed on the time period in which to fully expend the funds awarded under the project. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each awarded cooperative agreement. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports. If questions, such as those raised as the results of audits, remain following the 3-year period, recipients must retain records until all matters are resolved.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$25,000,000.00; FY 21 est \$25,000,000.00; FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$1,000,000, or greater.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

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Fiscal Year 2020: Up to 20 grants and cooperative agreements awarded to non-federal partners. Fiscal Year 2021: Projects not yet selected for funding. Anticipate continuing to fund projects to address aquatic invasive species, environmental contaminants and protection and restoration of fish, wildlife, and their habitats in the Great Lakes. Fiscal Year 2022: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Please visit <http://www.fws.gov/glri> for appropriate Regional Office Great Lakes program contacts, or visit www.fws.gov/midwest.

Headquarters Office:

U.S. Fish and Wildlife Service Midwest Region,
5600 American Blvd., West, Suite 990,
Bloomington MN 55437
Email: midwestnews@fws.gov
Phone: 612-713-1458
Fax:

Website Address:

<http://www.fws.gov/glri>

RELATED PROGRAMS:

15.608 Fish and Wildlife Management Assistance; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife;

EXAMPLES OF FUNDED PROJECTS:

2020 Restoration, protection and enhancement of coastal, terrestrial and wetland habitat for migratory birds and nearshore aquatic species; Restoration of riverine habitats to improve aquatic habitat connectivity for fish and other aquatic organisms; Prevention, early detection and control of invasive species such as Asian carps; Restoration of native aquatic species, such as Lake Trout and Lake Sturgeon, to self-sustaining levels. 2021 Program has not yet selected projects for FY21 funding. Program anticipates funding projects that contribute to the protection and restoration of fish and wildlife habitat and species in the Great Lakes Basin. Funded activities will directly address the goals and objectives identified in the Great Lakes Restoration Initiative Action Plan III, www.glri.us. 2022 See FY21.

CRITERIA FOR SELECTING PROPOSALS:

Program criteria are specified in the announcements of the individual financial assistance programs.

15.663 NFWF-USFWS Conservation Partnership

NFWF,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Fish and Wildlife Coordination Act, 16 U.S.C. 661-666. John D. Dingell, Jr. Conservation, Management, and Recreation Act Prize Competitions (Pub. L. 116-9, 133 STAT. 788),

OBJECTIVES:

Partnering with individuals, government agencies, nonprofit organizations and corporations, the National Fish and Wildlife Foundation (NFWF) works to protect and restore imperiled species, promote healthy oceans and estuaries, improve working landscapes for wildlife, advance sustainable fisheries and conserve water for wildlife and people. NFWF is an independent 501(c)(3) nonprofit organization, governed by a 30-member Board of Directors approved by the Secretary of the Interior. Conservation programs cover many ecosystems and address challenges in oceans and coasts, forests and grasslands and freshwater environments. This program uses direct funding only from the annual appropriations law for on-the-ground conservation matched at least at a 1:1 basis. Fish and Wildlife Coordination Act, 16 U.S.C. 661-666. John D. Dingell, Jr. Conservation, Management, and Recreation Act Prize Competitions (Pub. L. 116-9, 133 STAT. 788)

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To aid cost-share conservation projects to further the conservation and management of the fish, wildlife, and plant resources of the United States and its territories and possessions for present and future generations of Americans. Funds must be matched on a one-to-one basis by NFWF sub-recipients. Some sub-grants do support construction and the purchase of conservation easements.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); National Fish and Wildlife Foundation is the only eligible applicant for this annual award.

Beneficiary Eligibility:

Federal; U.S. Territories; Private nonprofit institution/organization; Anyone/general public; State; Public nonprofit institution/organization; Beneficiaries include fish, wildlife and their habitats, the American people and, where applicable, international partners, wildlife and natural resources.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Not Applicable.

Deadlines:

To be determined on an annual basis as Federal appropriations are finalized.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. None

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. There is no match on the Federal dollars award to the National Fish and Wildlife Foundation, however the National Fish and Wildlife Foundation Establishment Act (Public Law 98-244) requires a one-to-one (1:1) match for subrecipients. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annual funding available; award is for five years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. The U.S. Fish and Wildlife Service program manager conducts monitoring activities to track accomplishments. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

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Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

NFWF maintains records in accordance with regulation (i.e. three years after submission of the final financial and performance reports).

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants FY 20 \$6,811,340.00; FY 21 est \$6,811,340.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

14-8151.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program directly funded two awards to the National Fish and Wildlife Foundation. Fiscal Year 2021: In FY21 the program anticipates direct funding of two awards to the National Fish and Wildlife Foundation. Fiscal Year 2022: In FY22 the program anticipates direct funding of two awards to the National Fish and Wildlife Foundation.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fish and Wildlife Foundation Establishment Act, 16 U.S.C. 3701 et seq.

Regional or Local Office:

None.

Headquarters Office:

Policy and Programs Stephanie Rickabaugh,
U.S. Fish and Wildlife Service Headquarters, Wildlife and Sport Fish Restoration Program, Policy and Programs Division, 5275 Leesburg Pike, MS: WSFR, Falls Church VA 22041

Email: stephanie_rickabaugh@fws.gov

Phone: (703) 358-2214

Website Address:

<http://www.nfwf.org/>

RELATED PROGRAMS:

10.683 National Fish and Wildlife Foundation;

EXAMPLES OF FUNDED PROJECTS:

2020 Two separate grant agreements are were provided to the National Fish and Wildlife Foundation. 1) To run a competitive challenge grant program with statutory non-Federal matching requirement of 1:1 for all sub awards. Examples of projects that are likely to be funded include habitat restoration, monitoring, invasive species eradication, and community engagement.2) To assist DOI in the implementation of the Theodore Roosevelt Genius Prize Program as outlined in the legislation. The Theodore Roosevelt Genius Prize Program encourages technological innovation to further the mission of the Service. 2021 Expected to be the same at FY20. 2022 Expected to be the same at FY20.

CRITERIA FOR SELECTING PROPOSALS:

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15.664 Fish and Wildlife Coordination and Assistance

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Coordination ActCooperation of agencies (16 U.S.C. 661); Endangered Species ActInternational cooperation (16 U.S.C. 1537),

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by providing financial assistance for: 1) land management, research, and data

collection/analysis; 2) training, meetings, workshops, conferences and competitions; and 3) to implement legislation mandating specific conservation and/or environmental project activities, including, but not limited to, special appropriations projects to a designated recipient(s), and/or unfunded Congressional mandates, i.e., funding limited to costs associated with actual program/project implementation, not administrative costs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To fulfill the intent of Congress as specified in legislation authorizing the Agency to obligate and manage financial assistance funds; special appropriations projects; and awards for purposes consistent with the mission, expertise, and goals of the Agency.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Anyone/general public; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Profit organization; Other private institutions/organizations; Eligibility varies by opportunity and is limited to the entity(ies) designated by the authorizing legislation.

Beneficiary Eligibility:

Anyone/general public; The general public benefits from the conservation and environmental efforts as identified by the authorizing legislation.

Credentials/Documentation:

Applicant(s) must have financial and internal controls systems in place to manage/segregate Federal funds and report expenditures under the terms and conditions of the award(s). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Any applicable pre-application coordination or environmental impact information requirements will be detailed in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Deadlines vary by program activity.

Range of Approval/Disapproval Time:

Other. Deadlines will vary, and will be specified in the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Statutory formulas and/or matching requirements may be applicable to activities

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funded under this Assistance Listing. Any formulas or matching requirements will be specified in the funding opportunities posted under this program on Grants.gov. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies by activity being funded, but typically funds are awarded for projects with a one year period of performance. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$6,482,000.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Range and average varies by program activity.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Awards supported FWS partners in carrying out projects to conserve the Nation's natural resources. Fiscal Year 2021: Awards will support FWS partners in carrying out projects to conserve the Nation's natural resources. Fiscal Year 2022: Awards will support FWS partners in carrying out projects to conserve the Nation's natural resources.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial Assistance award from the Department of the Interior (DOI) carries with it the responsibility to be aware of and comply with the terms and conditions of award per

https://www.doi.gov/pam/programs/financial_assistance/TermsandConditions

Regional or Local Office:

None. Contact information related to each funding opportunity under this program will be stated in the funding opportunities posted on Grants.gov.

Headquarters Office:

Policy Branch, Financial Assistance Support and Oversight Division, Wildlife Sport Fish Restoration Program, 5275 Leesburg Pike, MS-WSFR,, Falls Church VA 22041-3803

Email: fwsgrants@fws.gov

Phone: (703)358-2701

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The Service funded 53 awards under this program. Activities supported include national conference sponsorships, land management, research, and data collection activities, obligation of funds Congressionally designated to a specific recipient, first-year funding for new Service programs or initiatives, and other activities not associated with any other assistance program. 2021 Projects not yet selected for funding. 2022 Projects not yet selected for funding.

CRITERIA FOR SELECTING PROPOSALS:

As specified by the authorizing legislation or Congressional action. Refer to the funding opportunities posted under this program on Grants.gov (<http://www.grants.gov>).

15.665 National Wetlands Inventory

NWI,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Coordination Act/Cooperation of agencies (16 U.S.C. 661); Emergency Wetlands Resources Act - National Wetlands Inventory (16 U.S.C. 3901 and 3931-3932),.

OBJECTIVES:

Emergency Wetlands Resources Act - National Wetlands Inventory, 16 U.S.C. 3901 and 3931-3932. The Emergency Wetlands Resource Act requires the National Wetlands Inventory Program (NWI) to map wetlands of the nation, digitize the maps, archive and distribute the data, and produce a 10-year national wetlands status and trends report to Congress.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Recipients are required to map wetlands using available aerial and satellite imagery according to the Federal Geospatial Data Committee wetland mapping and classification standards. Draft data must undergo quality control before being submitted to the program for final review.

Applicant Eligibility:

Federal; U.S. Territories and possessions; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Organizations receiving financial assistance must be experienced in image-interpretation for wetlands and for arid western riparian for targeted ecosystems using National standards (Cowardin et al. 1979 and Wetlands Mapping Standard 2009); in wetlands mapping or delivery research or technologies, or in supporting activities (e.g. mapping standards training or analysis) for wetlands mapping; or have the project-specific imagery or ancillary data needed for wetlands mapping. Based on Agency need, financial assistance, in general, is available to qualified Americans, profit or nonprofit corporations or institutions, domestic organizations, and Federal, State, Tribal, Territorial, and local agencies.

Beneficiary Eligibility:

Federal; U.S. Territories; Profit organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; The American public, and Federal, State, Tribal, Territorial, and local agencies, profit and nonprofit corporations or institutions benefit from having wetlands geospatial data to help inform decision making for clean water, fish and wildlife conservation, wetlands conservation, landscape-level planning, green infrastructure, and urban, rural, infrastructure, and energy development.

Credentials/Documentation:

If we provide financial assistance to States or Tribes, OMB Circular A-87 may apply. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Service funds projects based on changing national program priorities. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are approved by the program based on the applicants past submission of standard compliant wetland data according to the Federal Geospatial Data Committee and, when applicable, past performance.

Deadlines:

Contact the NWI Branch Manager. See:
<http://www.fws.gov/wetlands/NWI/RWC.html>

Range of Approval/Disapproval Time:

From 15 to 30 days. 30 days.

Appeals:

Not Applicable.

Renewals:

From 1 to 15 days. 10 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available once the FWS budget becomes law. The performance period is two years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Entities must maintain records in accordance with 2 CFR 200.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$236,216.00; FY 21 est \$150,000.00; FY 22 est \$150,000.00;

Range and Average of Financial Assistance:

\$30,000-\$150,000

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The NWI Program issued three (3) grants for \$236,216. Fiscal Year 2021: The program estimates awarding \$150,000 for projects in FY20. Fiscal Year 2022: The program estimates awarding \$150,000 for projects in FY21.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Regional Wetlands Coordinators:
<http://www.fws.gov/wetlands/NWI/RWC.html>

Headquarters Office:

Chief, Geospatial Mapping and Technical Services,
U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike,
(MS: ES),
Falls Church VA 22041-3803
Email: FWS_ES_GRANTS@fws.gov
Phone: (703) 358-2171

Website Address:

<http://www.fws.gov/wetlands>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Mapping wetlands in Alaska and Mississippi to the FGDC wetland standards. 2021 Program has not yet selected projects for funding. 2022 Program has not yet selected projects for funding.

CRITERIA FOR SELECTING PROPOSALS:

Before, an award is granted, the applicant must demonstrate the ability to map wetlands according to the Federal Geospatial Data Committees wetland mapping and classification standards. New applicants seeking guidance on how to demonstrate the ability to map standard compliant wetland data should contact the Program Manager.

15.666 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention

Wolf Livestock Demonstration Project Grant Program,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Omnibus Public Land Management Act of 2009Wolf Compensation and Prevention Program (Pub. L. 111-11, 123 STAT. 1171, 6202(a)), Omnibus Public Land Management Act of 2009Wolf Livestock Loss Demonstration Project (7 U.S.C. 8351 note),.

OBJECTIVES:

To provide federal financial assistance to livestock producers undertaking proactive, non-lethal activities to reduce the risk of livestock loss due to predation by wolves and to compensate livestock producers for livestock losses due to such predation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Financial assistance awarded through this Program can be used by eligible States and Indian tribes in supporting projects that assist livestock producers in undertaking proactive, non-lethal activities to reduce the risk of livestock loss due to predation by wolves or to compensate livestock producers for livestock losses caused by wolves. Appropriated funds will be divided equally in support of these two objectives. Qualifying projects must include at a minimum a 50 percent non-Federal cost share. These restrictions can be found in Pub. L. 111-11, 123 STAT.

ASSISTANCE LISTINGS DESCRIPTIONS

1171, 6202(a).

Applicant Eligibility:

Government - General; Federal; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments; State governments/agencies/Indian tribes as defined in Public Law 111-11

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Anyone/general public; Farmer/Rancher/Agriculture Producer; Small Business Person; Land/Property Owner; Individual/ Family/Small Business/Profit Organization/Private Organization/Anyone/ General Public/Farmer/Rancher/ Agricultural Producer/Small Business Person/Land/ Property Owner

Credentials/Documentation:

Funds are available only to States and Indian tribes with prior history of wolf depredation. To be eligible to receive a grant, a State or Indian tribe must (1) designate an appropriate agency of the State or Indian tribe to administer the one or more programs funded by the grant; (2) establish one or more accounts to receive grant funds; (3) maintain files of all claims received under programs funded by the grant, including supporting documentation; (4) submit annual reports to the Secretary of the Interior that include a summary of claims and expenditures under the program during the year, a description of any action taken on the claims, and such other reports as the Secretary may require to assist the Secretary in determining the effectiveness of activities provided assistance through this program; (5) promulgate rules for reimbursing livestock producers under the program. Applicants are also required to fully assess any claim of livestock depredation prior to issuing payment. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Eligible State and Tribal applicants are encouraged to contact the appropriate Regional Coordinators to ensure all eligibility, credentials, and documentation requirements are met prior to application. An incomplete application will not be considered for funding. An eligible State or Indian tribe may apply for either or both sources of funding, but a separate application must be provided for each source of funding.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible State and Tribal applicants must submit a project narrative identifying that they will adhere to P.L. 111-11. Applicants must also provide an Application for Federal Assistance (SF 424) and supporting information. This form must be signed by the official with the authority and responsibility to commit the State or Tribal body to participate in the program. An eligible State or Indian tribe may apply for either or both sources of funding. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards to eligible State and Indian tribes will be made as grants based on 1) the level of livestock predation in the State or on the land owned by, or held in trust for the benefit of, the Indian tribe; 2) whether the State or Indian tribe is located in a geographic area that is at high risk for livestock predation; 3) any other factors that the Secretary of the Interior determines appropriate.

Deadlines:

March 05, 2021.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. Decisions can be appealed to the Fish and Wildlife Service, Chief, Endangered Species Division of Consultation, Habitat Conservation Planning, Recovery, and State Grants. Final determination rests with the Secretary of the Interior.

Renewals:

Not Applicable. Project applicants are not prohibited from submitting applications for consideration for funding in a subsequent year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Qualifying projects must include at a minimum a 50 percent non-Federal cost share. The cost share may be cash or a third party in-kind contribution, such as volunteer efforts and donations of goods or services. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for these awards is one year. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The recipient is required to maintain files of all claims received under programs funded by the grant, including supporting documentation. Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim, or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$1,381,797.00; FY 21 est \$1,318,203.00; FY 22 est \$900,000.00;

Range and Average of Financial Assistance:

\$9,000 - \$100,000. Average grant award amount is \$50,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, the program received 18 applications and issued 18 awards. Fiscal Year 2021: In FY21, the program received 14 applications and expects to issue 14 awards. Fiscal Year 2022: In FY22, the program expects to receive 14 applications and to issue 14 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Omnibus Public Land Management Act of 2009 (P.L. 111-11)

Regional or Local Office:

None. If your project will occur in Idaho, Oregon, or Washington, contact: Regional Director, U.S. Fish and Wildlife Service Eastside Federal, Complex, 911 N.E. 11th Avenue, Portland, OR 97232-4181. (208/ 685-6963). If your project will occur in Arizona or New Mexico, contact: Regional Director, U.S. Fish and Wildlife Service, 500 Gold Avenue SW., Room 4012 Albuquerque, NM 87102. (505/248-7465). If your project will occur in Michigan, Minnesota, or Wisconsin, contact: Regional Director, U.S. Fish and Wildlife Service, Bishop Henry Whipple, Federal Building, One Federal Drive, Fort Snelling, MN 55111-4056. (612/713-5343). If your project will occur in Montana or Wyoming, contact: Regional

ASSISTANCE LISTINGS DESCRIPTIONS

Director, U.S. Fish and Wildlife Service, 134 Union Blvd., Suite 645, Lakewood, CO 80228. (303/ 236-4211).

Headquarters Office:

Chief Chief, Branch of Budget and Support,
U.S. Fish and Wildlife Service, Department of the Interior, 1849 C Street, NW,
(MS: ES),

Washington DC 20240

Email: FWS_ES_GRANTS@fws.gov

Phone: (703) 358-2171

Website Address:

<http://www.fws.gov/endangered/grants/index.html>

RELATED PROGRAMS:

15.611 Wildlife Restoration; 15.605 Sport Fish Restoration Program; 15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners for Fish and Wildlife;

EXAMPLES OF FUNDED PROJECTS:

2020 Program awarded \$585,955 in Depredation Compensation Grants to seven States to reimburse livestock producers for losses due to confirmed predation by wolves. Program awarded \$795,842 in Depredation Prevention Grants to ten States and one Tribe to assist livestock producers in undertaking proactive, nonlethal activities to reduce the risk of livestock loss due to predation by wolves. For example, a grant to the State of Montana will allow the State to award prevention funding to individual livestock producers to implement effective depredation prevention measures, including livestock carcass removal, employing additional range riders, and electric and fladry fencing systems. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that assist livestock producers in undertaking proactive, nonlethal activities to reduce the risk of livestock loss due to predation by wolves and reimburse livestock producers for losses due to such predation. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that assist livestock producers in undertaking proactive, nonlethal activities to reduce the risk of livestock loss due to predation by wolves and reimburse livestock producers for losses due to such predation.

CRITERIA FOR SELECTING PROPOSALS:

Awards to eligible State and Indian tribes will be made as grants based on (1) the level of livestock predation in the State or on the land owned by, or held in trust for the benefit of, the Indian tribe; (2) whether the State or Indian tribe is located in a geographic area that is at high risk for livestock predation; (3) any other factors that the Secretary of the Interior determines appropriate.

15.667 Highlands Conservation

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Highlands Conservation Act (Pub. L. 108-421 [H.R. 1964], 118 Stat. 2375), and Emergency Wetlands Resources Act of 1986 (16 U.S.C. 3930),.

OBJECTIVES:

To conserve priority lands and natural resources and recognize the importance of the water, forest, agricultural, wildlife, recreational, and cultural resources, and the national significance of the Highlands region of the United States. Preserve and protect high-priority conservation land in the Highland regions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

A state or state agency with authority to own and manage land located within the Highlands region may use a land conservation partnership project to acquire conservation-valued land, either through fee acquisition or conservation easement, from a willing seller to permanently protect, conserve, or preserve land. Project lands of conservation value are identified by the U.S. Forest Service, Department of Agriculture, with input from pertinent units of local government and the public. The list of lands of conservation value is provided to the governors of the states

within the Highlands region. The list of projects to be funded is submitted to the Secretary of the Interior, who, along with the Secretary of Agriculture, submits the eligible project list to Congress. The Federal grant share of the land conservation partnership project will not exceed 50 percent of the total cost of partnership to acquire conservation-valued land.

Applicant Eligibility:

State; Any state or state agency with authority to own and manage land located within the Highlands region for conservation purposes may apply by identifying the source of non-Federal funds, describing the management objectives for the project land, identifying the purpose of the use of the land, and providing that the land will not be converted, used or disposed of for a purpose inconsistent with land conservation.

Beneficiary Eligibility:

State; Beneficiaries are states or state agencies with authority to own and manage land within the Highlands region for conservation purposes, including the Palisades Interstate Park Commission.

Credentials/Documentation:

Must be a state or state agency with the authority to own and manage land within the Highlands region for conservation purposes. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The U.S. Forest Service, Department of Agriculture, is responsible for conducting the resource assessment and preparing the Highlands project lands of conservation value update for the states involved. The U.S. Forest Service will identify in the update the lands that have high conservation value.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. It is the responsibility of the Highland states to submit projects to the Department of the Interior for funding. The Highland states may do this individually or they may jointly submit land conservation partnership projects. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The U.S. Forest Service is responsible for doing the resource assessment and preparing the priority land update for the states involved. The U.S. Forest Service will identify in the update the lands that have high conservation value. Only lands available from willing sellers will be considered in the program. The Department of the Interior (DOI) has the sole responsibility for project grants. The states will apply directly to DOI for project funding. The DOI will award grants to the States or their entities for the acquisition of land, or interests in land, for conservation purposes in the Highlands.

Deadlines:

Contact the U.S. Fish and Wildlife Service Northeast Regional Office for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal share of the project cost shall not exceed 50 percent of the total project cost. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds will be made available in the fiscal year they are appropriated. Awarded projects must be completed within 4 years, with the possibility of an additional

ASSISTANCE LISTINGS DESCRIPTIONS

one-year extension. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each grant award. Records, accounts, and supporting documents must be retained for three years after submission of the final reports.

Account Identification:

14-5020-0-1-806.

Obligations:

Project Grants (Discretionary) FY 20 \$9,680,000.00; FY 21 est \$9,680,000.00; FY 22 est \$9,680,000.00;

Range and Average of Financial Assistance:

Range: \$646,600 to \$1,940,000; Average \$970,000.

TAFS Codes:

14-5020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, we received 3 applications for 6 projects and issued 3 awards for 6 projects. Fiscal Year 2021: In FY21, we anticipate receiving 9 applications. The number of awards issued will depend on the amount of funding requested in the highest ranked proposals but are expected to be between 5 and 7. Fiscal Year 2022: In FY21, we anticipate receiving up to 15 applications. The number of awards issued will depend on the amount of funding requested in highest ranked proposals but are expected to be between 7 and 10.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <https://www.fws.gov/grants/atc.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Shelley Dibona,
US Fish and Wildlife Service, Wildlife and Sport Fish Restoration, 300 Westgate Center Drive,
Hadley MA 01035
Email: shelley_dibona@fws.gov
Phone: (413)253-8509
Fax:
Website Address:

<https://www.fws.gov/northeast/highlands-conservation-act/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 In FY20, the Program funded land acquisition projects that are expected to result in the permanent protection of approximately 3,000 acres of land of high conservation value in the Highlands regions of Connecticut, New York, New Jersey, and Pennsylvania. 2021 In FY21, the Program anticipates funding State land acquisition projects that protect land of high conservation value in the Highlands regions of Connecticut, New York, New Jersey, and Pennsylvania. 2022 In FY22, if funding is available, the Program anticipates funding State land acquisition projects that protect land of high conservation value in the Highlands regions of Connecticut, New York, New Jersey, and Pennsylvania.

CRITERIA FOR SELECTING PROPOSALS:

The U.S. Forest Service is responsible for completing the resource assessment and preparing the priority lands update for the states involved. The U.S. Forest Service will identify in the update the lands that have high conservation value. The Highland states meet annually and apply directly to DOI for funding from the project list of lands provided by U.S. Forest Service. Only lands with high conservation value that can be obtained by fee acquisition or conservation easement from willing sellers will be considered.

15.668 Coastal Impact Assistance

(CIAP).

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Outer Continental Shelf Lands Act/Coastal impact assistance program (43 U.S.C. 1356a), Outer Continental Shelf Lands Act, as amended (31 U.S.C. 6301-6305).

OBJECTIVES:

The intent of the program is to disburse funding to eligible producing states and coastal political subdivisions for the purpose of conservation, protection, or restoration of coastal areas including wetlands; mitigation of damage to fish, wildlife, or natural resources; planning assistance and the administrative costs of complying with these objectives; implementation of a federally-approved marine, coastal, or comprehensive conservation management plan; and mitigation of the impact of outer Continental Shelf activities through funding of onshore infrastructure projects and public service needs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The intent of the program is to disburse funding to eligible producing states and coastal political subdivisions for the purpose of conservation, protection, or restoration of coastal areas including wetlands; mitigation of damage to fish, wildlife, or natural resources; planning assistance and the administrative costs of complying with these objectives; implementation of a federally-approved marine, coastal, or comprehensive conservation management plan; and mitigation of the impact of outer Continental Shelf activities through funding of onshore infrastructure projects and public service needs.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); States eligible to receive funding are Alabama, Alaska, California, Louisiana, Mississippi, and Texas and 67 coastal political subdivisions among the six States.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); The producing coastal states, their eligible coastal political subdivisions, and the public will ultimately benefit from the program.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

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required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Prior to submitting any grant applications, an eligible State must submit a State Plan that provides detail on how a State and its Coastal Political Subdivisions (CPS) will use the CIAP funds and implement the State plan. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on this process when applying for assistance and on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The SF 424 application forms must be used for this program. Applications are submitted electronically through Grants.gov.

Award Procedure:

The U.S. Fish and Wildlife Service (FWS) provides a notice of award to the recipient.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. FWS will have 30-60 days to notify the State in writing that the Plan or amendment is approved, disapproved, or remains under review (in which case the Plan or amendment is neither approved nor disapproved). FWS will have 30-60 days to review projects submitted by the State or the coastal political divisions and notify applicant of approval/disapproval.

Appeals:

Not Applicable.

Renewals:

Other. Proposals are submitted for a project period. Projects that were approved and funded during the previous project period may be submitted again in subsequent project periods.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. In accordance with the language of the Act, no State will receive less than 1 percent of the \$250 million to be disbursed per year. The CIAP Program does not require recipients to cost share or match CIAP funds. Of the percentage received by a State, 65 percent remains with the State, and 35 percent is awarded to the coastal political subdivisions. Maintenance of effort requirements is not applicable to this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will normally be awarded for 12-month periods. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.328. A final performance report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5579-0-2-306.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$20,000 - \$20,000,000; average \$1,000,000

TAFS Codes:

14-5579.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

U.S. Fish and Wildlife Service Wildlife and Sport Fish Restoration,
U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS: WSFR,
Falls Church VA 22041-3803
Email: fwsgrants@fws.gov
Phone: (703) 358-2156

Website Address:

<http://wsfrprograms.fws.gov/>

RELATED PROGRAMS:

11.426 Financial Assistance for National Centers for Coastal Ocean Science;
11.420 Coastal Zone Management Estuarine Research Reserves; 11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program; 11.419 Coastal Zone Management Administration Awards; 11.473 Coastal Services Center; 11.474 Atlantic Coastal Fisheries Cooperative Management Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for approving proposals are identified in the Coastal Impact Assistance Program Guidelines authorizing legislation and the annual program announcement found on Grants.gov.

15.669 Cooperative Landscape Conservation

Cooperative Landscape Conservation Landscape,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Endangered Species Act, 16 U.S.C. 1531 et seq.; National Wildlife Refuge System Improvement Act of 1997, Pub.L. 105-57, 111 STAT. 1256(b)(5); Fish and Wildlife Act, 16 U.S.C. 742 et seq. (not including 742 d-1); Fish and Wildlife Conservation Act, 16 U.S.C. 2901 et seq.; and Fish and Wildlife Coordination Act, 16 U.S.C.661-666,

OBJECTIVES:

The Collaborative Landscape Conservation program promotes an inclusive approach to conservation by convening and facilitating diverse partnerships to address shared conservation challenges and create a network of healthy lands and waters where fish, wildlife, plants, and people thrive. The program advances adaptive leadership in landscape conservation regionally and nationally by

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identifying shared priorities with states, tribes, private landowners, academic institutions, and non-governmental organizations (NGOs) coordinating actions, and informing decision-making. We support research to improve strategic conservation at the landscape scale, including development of data-driven decision support capability that make collaborative conservation achievable and support resilient landscape design which considers wildlife and ecosystems as well as cultural, social, and economic priorities. We support building collaborative conservation and the co-production of science by increasing capacity and support to effectively engage partners, synthesize information, and produce strategic approaches for landscape conservation.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support will be provided for cooperative landscape conservation projects to include: decision-support tools to inform management plans/decisions and ESA Recovery Plans, conservation delivery strategies and actions, and landscape-scale conservation strategies that can direct management expenditures where they have the greatest effect and lowest relative cost. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel, purchase of real property, or construction. 100% of funds are discretionary.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State;

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; Anyone/general public; Interstate; Land/Property Owner; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments;

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window)(opens in new window). Funding opportunity announcements and complete application instructions are posted online at Grants.gov at <http://www.grants.gov>. All applicants must submit a complete, signed Standard Form 424, Application for Federal Assistance.

Award Procedure:

The USFWS Directorate identifies priority needs. Applications for funding will be reviewed and approved by USFWS staff based on the project-specific review criteria to be detailed in the funding opportunity posting. USFWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the applicant that the application will not be funded.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Typically within 180 days of proposal receipt.

Appeals:

Not Applicable.

Renewals:

Other. None. However; at the request of the recipient and as approved by USFWS, active awards may be amended (e.g., time extensions or budget changes).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period of performance may be up to five years. Method of awarding/releasing assistance: Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Performance reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a performance report will be required annually, within 30 calendar days after the annual anniversary date. Final performance reports are required within 90 calendar days from the end date of the award. Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award. Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final reports.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$3,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

Range is \$1,000 - \$1,000,000. Average award amount varies by project type and duration.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

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Fiscal Year 2020: Program received 0 applications and funded 0 awards. Fiscal Year 2021: Program anticipates receiving 25 applications and funding 20 awards. Fiscal Year 2022: Program anticipates receiving 35 applications and funding 30 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/parn/termsandconditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

None. The list of contacts for each LCC can be accessed on the Internet at: <http://www.fws.gov/science/shc/lccinfocontacts.html>.

Headquarters Office:

Science Applications Anna-Marie York,
U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike
MS: SA,
Falls Church VA 22041
Email: anna-marie_york@fws.gov
Phone: (703)358-1881

Website Address:

<https://www.fws.gov/science/>

RELATED PROGRAMS:

15.670 Adaptive Science;

EXAMPLES OF FUNDED PROJECTS:

2020 Program was on hiatus; no awards issued. 2021 Program has not yet selected projects for funding. Program anticipates funding projects thrive. The program anticipates funding projects to advance adaptive leadership in landscape conservation regionally and nationally by identifying shared priorities with states, tribes, and private landowners, coordinating actions, informing decision-making, and collaborating on landscape design and planning. 2022 Program has not yet selected projects for funding. Program anticipates funding projects thrive. The program anticipates funding projects to advance adaptive leadership in landscape conservation regionally and nationally by identifying shared priorities with states, tribes, and private landowners, coordinating actions, informing decision-making, and collaborating on landscape design and planning.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects will be selected on the basis of how well they meet the program and/or project-specific criteria detailed in individual funding opportunity postings. Criteria will vary depending on the scope of the program or project for which applications are being accepted.

15.670 Adaptive Science

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

The Fish and Wildlife Act, 16 U.S.C. 742a-742j, not including 742 d-1; National Wildlife Refuge System Administration Act, 16 U.S.C. 668dd-ee; Endangered Species Act, 16 U.S.C. 1531 et seq.; Fish and Wildlife Conservation Act, 16 U.S.C. 2901 et seq.; Fish and Wildlife Coordination Act, 16 U.S.C. 661-666; and Migratory Bird Treaty Act, 16 U.S.C. 703 et seq.,

OBJECTIVES:

The U.S. Fish and Wildlife Service (USFWS) uses a science-based, adaptive framework for setting and achieving broad-scale conservation objectives that strategically address the problems fish and wildlife will face in the future. This framework, called Strategic Habitat Conservation, is based on the principles of adaptive management and uses population and habitat data, ecological models, and

focused monitoring and assessment efforts to develop and implement strategies that result in measurable fish and wildlife population outcomes. This process uses the best available scientific information to predict how fish and wildlife populations will respond to changes in the environment, thus enabling the USFWS to focus habitat conservation and other management activities where they will be most effective. In addition, the USFWS needs focused, applied science directed at high impact questions surrounding threats to fish and wildlife resources for which management and/or mitigation is required to maintain species at healthy, sustainable, desired levels. USFWS must base its decisions on the best science available, in order to defend its regulatory decisions, biological opinions and species conservation recommendations to land managers.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support will be provided for science projects: to include species risk and vulnerability assessments, inventory and monitoring, population and habitat assessments, biological planning and conservation design, ecosystem services, indigenous knowledge management evaluation and research, decision support, data management, and conservation genetics; to identify and implement landscape-scale conservation solutions to such issues as adaptation, land use change, invasive species spread, and fire frequency changes; and to address high impact questions surrounding threats to fish wildlife, and plants, providing answers needed to manage species to healthy, sustainable, desired levels. Support will be provided for science projects and collaborative partnerships that identify and address shared conservation priorities and science needs; inform landscape-scale planning and tools that support decision-making for at-risk and listed fish and wildlife species and their habitats; and link science to coordinated, efficient resource management actions. Support will be provided to communicate science information, tools, assessments, and other products. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel or purchase of real property. 100% of funding is discretionary.

Applicant Eligibility:

Federal; Interstate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State;

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; Anyone/general public; Interstate; Land/Property Owner; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments;

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements and complete application instructions are posted online at [Grants.gov](http://www.grants.gov) at <http://www.grants.gov>. All applicants must submit a complete, signed Standard Form 424, Application for Federal Assistance.

Award Procedure:

The USFWS Directorate identifies priority needs. Applications for funding will be reviewed and approved by USFWS staff based on the project-specific review criteria to be detailed in the funding opportunity posting. USFWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the

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applicant that the application will not be funded.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Typically within 180 days of proposal receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable. At the request of the recipient and as approved by USFWS, active awards may be amended (e.g., time extensions or budget changes).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available after program funds are appropriated and allocated to program. Funding must be spent during the award period of performance, which is specific to each project. Method of awarding/releasing assistance: other

Reports:

Performance reports are to be submitted in accordance with the specific reporting requirements as detailed in the Award document. At a minimum, a performance report will be required annually, within 30 calendar days after the annual anniversary date. Final performance reports are required within 90 calendar days from the end date of the award. Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award. Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final reports.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$5,303,670.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

Range is \$1,000 - \$1,000,000. Average award amount varies by project type and duration.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program received 37 applications and issued 29 awards. Fiscal Year 2021: In FY21 the program anticipates receiving 40 applications and issuing 30 awards. Fiscal Year 2022: In FY22 the program anticipates receiving 40 applications and issuing 30 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

None. Contacts for regional science programs can be found on the Internet at: Region 1- <http://www.fws.gov/pacific/Region 2- http://www.fws.gov/southwest/Region 3- http://www.fws.gov/midwest/Region 4- http://www.fws.gov/southeast/Region 5- http://www.fws.gov/northeast/index.cfmRegion 6- http://www.fws.gov/mountain-prairie/Region 7- http://alaska.fws.gov/Region 8- http://www.fws.gov/cno/>

Headquarters Office:

Science Applications Anna-Marie York,
U.S. Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike
MS: SA,
Falls Church VA 22041-3803
Email: anna-marie_york@fws.gov
Phone: (703)358-1881

Website Address:

<http://www.fws.gov/science>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funds to the National Alliance of Forest Owners (NAFO) and the NCASI Foundation, an association organized to serve the forest products industry as a center of excellence providing unbiased, scientific research and technical information necessary to achieve the industry's environmental and sustainability goals, to create demonstration projects to evaluate the relationships between species persistence and forest stand and landscape-level forest characteristics under sustainable forest management on private forest lands owned by NAFO members and managed under forest certification standards of the Sustainable Forestry Initiative. 2021 Funds to Colorado State University Citizen Science Lab to design and implement citizen science-based monitoring programs at two restoration sites and have the project outcomes serve as a model for other Department of the Interior restoration teams, including their partners, where comparable monitoring approaches can be used for similar project types. Citizen science is intended to add to and complement professionally collected data by agency personnel and their partners. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that support the best available scientific information to predict how fish and wildlife populations will respond to changes in the environment.

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects will be selected on the basis of how well they meet the program and/or project-specific criteria detailed in individual Adaptive Science funding opportunity postings. Criteria will vary depending on the scope of the program or project for which applications are being accepted.

15.671 Yukon River Salmon Research and Management Assistance

R&M Fund,

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FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Yukon River Salmon Act (16 U.S.C. 5701 et seq.).

OBJECTIVES:

The U.S. Fish and Wildlife Service (Service) receives funds for implementation of the Yukon River Salmon Agreement with Canada which was reached in March 2001. The Yukon River Salmon Act of 2000 authorizes funds for implementation of the Agreement including funds for cooperative research and management projects on the Alaska portion of the Yukon River. The Service administers these funds through financial assistance on a competitive basis for projects/studies that advance the scientific community's understanding and management of Chinook and chum salmon in the Yukon River of Alaska.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This funding is intended to support projects that are focused on improving the understanding of the biology and management of Yukon River Chinook salmon and summer and fall chum salmon. Projects should address one or more of the following categories: (1) assess and achieve fishery management objectives; (2) Building and maintain public support of, and meaningful participation in, salmon resource management; (3) improve understanding of salmon biology and ecology; (4) assess, conserve, and restore salmon habitats. This funding is not intended to fund real property acquisition and/or construction.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; The intended result of this funding is to improve the understanding of the biology and management of Yukon River salmon species.

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Projects that are awarded money from this source will ultimately benefit the rural and urban American and Canadian public that subsist off of the salmon resources of the Yukon River.

Credentials/Documentation:

Federal law mandates that all organizations applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the System for Award Management (SAM). Individuals submitting an application on their own behalf and not on behalf of a company, state, local or tribal government, academia or other type of organization are exempt from the DUNS number and SAM requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants submit a detailed proposal including a completed and signed SF424 Application for Federal Assistance, a completed SF424A Budget Information (Non-construction) form, and a signed SF424B Assurances form. Detailed instructions for submitting a proposal will be posted on Grants.gov. The Project Officer for the grant announces the call for proposals via newspapers, email, and Grants.gov. Links to the call for proposals are posted on the Fairbanks Fish and Wildlife Field Office website and are distributed to state, federal, NGOs, and universities. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Detailed project proposals will be evaluated by an interagency review committee which will provide recommendations to the U.S. Section of the Yukon River Panel

(Panel) for final approval. The Panel will review the prioritized list and select proposals to be funded in the spring of each fiscal year. Service staff will notify applicants of review results by either sending them an approval letter stating their selection and a project agreement to sign and return to the Service or by sending written notification to the applicant that the application was not selected. Potential recipients must sign the project agreement and return it before funds can be obligated. Once the potential recipients sign the project agreement, the Service will obligate funds and issue a fully executed Award either electronically or through the mail.

Deadlines:

March 05, 2021. FY21 detailed proposals.

Range of Approval/Disapproval Time:

Other. Applicants will receive notice of approval/disapproval within 60 calendar days of submitting an initial proposal and within 60 calendar days of submitting a detailed proposal.

Appeals:

Not Applicable.

Renewals:

Other. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as a new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for projects funded under this program is for approximately one year, starting May 1 and ending no later than June 30, with final reports due and funding spent within 90 days after completion of the project.

Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. Final performance reports and final SF-425, Federal Financial Reports are due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$271,086.00; FY 21 est \$260,000.00; FY 22

ASSISTANCE LISTINGS DESCRIPTIONS

est \$260,000.00;

Range and Average of Financial Assistance:

Range: \$3,000-220,456; Average: \$40,756

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program received 8 proposals and issued 5 awards. Fiscal Year 2021: In FY21 the program expects to receive between 5 to 10 proposals and issue 4 to 5 awards. Fiscal Year 2022: In FY22 the program expects to receive between 5 to 10 proposals and issue 4 to 5 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per <http://www.doi.gov/pam/TermsandConditions.html>, acceptance of a Federal Financial award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of the award. Acceptance is defined as starting work, drawing down or otherwise requesting funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by the Department of the Interior and are subject to the terms and conditions incorporated in to the Award either directly or by reference to the following: Program legislation/regulation, assurances, special conditions, the Code of Federal Regulations, and other regulatory requirements, as applicable.

Regional or Local Office:

None. Research and Management Fund Coordinator, Fairbanks Fish and Wildlife Field Office, 101 12th Avenue, Room 110, Fairbanks, Alaska, 99701, 907-456-0418, matthew_keyse@fws.gov.

Headquarters Office:

Alaska Region,
Fish and Wildlife Office, 1011 East Tudor Road,
Anchorage AK 99503
Email: FAIR_USRM@fws.gov
Phone: 907-786-3523

Website Address:

<https://www.fws.gov/alaska/pages/fisheries-aquatic-conservation>

RELATED PROGRAMS:

15.664 Fish and Wildlife Coordination and Assistance Programs; 15.608 Fish and Wildlife Management Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 1) Help build and maintain public support for management of the Yukon River salmon through outreach events, weekly teleconferences, and public meetings; 2) Determine the abundance and run timing assessment of salmon; 3) Identify in-season genetic stocks of salmon; 4) Juvenile Chinook Salmon outmigration, diet, and condition. 2021 Program has not yet selected projects for funding. Program anticipates directing funding towards projects that increase/improve the understanding of research and management of Yukon River salmon. 2022 Program has not yet selected projects for funding. Program anticipates directing funding towards projects that increase/improve the understanding of research and management of Yukon River salmon.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria are based on the application's requirements as posted in the call for proposals. Selections for funding are principally based on the merit of the project and how well the project addresses one or more of the priorities and factors identified in the call for proposals. Applicants success in previous projects and their ability to successfully and efficiently execute the new project is also taken into consideration. Finally, timeliness in the submission process is also used as a criterion for approval.

15.674 National Fire Plan-Wildland Urban Interface Community Fire Assistance

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Further Consolidated Appropriations Act, 2020 (P.L. 116-94; H.R. 1865, 116th Cong., Div. D, Title 1, Wildland Fire Mangement); Bipartisan Budget Act of 2018, (Pub. L. 115-123, Department of the Interior-Wildlife Fire Management); Consolidated Appropriations Act FY 2017 (P.L. 114-113, Department of the Interior-Wildland Fire Management).

OBJECTIVES:

To implement the National Cohesive Wildland Fire Management Strategy and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Implementation of community programs that develop and enhance local capability in the areas of risk assessment and planning, training, mitigation activities, and community and homeowner education and action; Planning and implementation of fuels management reduction activities aimed at mitigating the threat of catastrophic wildfire to communities and natural resources in high risk areas, including associated monitoring or maintenance, on federal land and/or on adjacent nonfederal land; Enhancement of local and small business employment opportunities for rural communities; and providing education and training focused on increasing knowledge and fire protection capability of rural fire districts. Projects that include a cost-share or service in-kind contribution will have priority for selection.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Cooperative Agreements (Discretionary Grants), Dissemination of Technical Information, Provision of Specialized Services, Training, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

GIS analysis, outreach and education efforts, and other general support associated with fuels management and risk reduction activities; and increasing the effectiveness of wildland urban interface fire protection. In addition, FWS staff may be involved in project activities. FWS involvement may include the provision of technical assistance, advising in the development of Community Wildfire Protection Plans or equivalent. All projects selected must meet all applicable National Environmental Protection Act (NEPA) laws. Funding levels vary across fiscal years and regions. For more information, please contact the announcing regional office fire program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State and local governments and communities at risk and communities of interest, as published in the Federal Register/Vol. 66, No. 160 / Friday, August 17, 2001 or updated Governor-signed list, Indian Tribes, private land owners, public and private education institutions, and nonprofit organizations that manage lands. All selectees must be identified as significant to FWS, deemed by a cooperative agreement, memorandum of understanding, and/or part of an interagency agreement that serves as a clearinghouse for HFR assistance monies. All selectees must be identified as significant to FWS. For mitigation activities (projects that are removing hazardous fuels) all work funded must be identified from a signed CWPP or CWPP/E or will be signed by expected funding date. Federal law mandates that all organizations applying for Federal financial assistance must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the Central Contractor Registry (CCR). Individuals submitting an application on their own behalf and not on behalf of a company, state, local or tribal government, academia or other type of organization are exempt from the DUNS number and CCR requirements.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

The applicant must provide evidence that they are: party to an agreement with the State Forester (or equivalent) or Tribe; party to a cooperative fire agreement/memorandum of understanding with a DOI USFWS; or is a cooperator, or in progress of being a cooperator, with the Department of the Interior (DOI) through a cooperative agreement with the DOI or their respective State, or

ASSISTANCE LISTINGS DESCRIPTIONS

equivalents such as Fire Safe Councils, Prescribed Fire Councils, etc. Applicants must reference the applicable CWPP or CWPP/E by name and community.

Applicants must be in close proximity to DOI lands and communities within the wildland urban interface. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. All projects must meet Federal, State, and Local laws for environmental considerations. This will vary between states and is site specific. This is the responsibility of the applicant. For mitigation activities (projects that are removing hazardous fuels), all parties must have a signed CWPP or CWPP/E or will be signed by expected funding date.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must provide a detailed proposal including a completed and signed SF424 Application for Federal Assistance, a completed SF424A Budget Information (Non-construction) form, and a signed SF424B Assurances form. Detailed instructions for submitting a proposal will be posted on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program Notice of Funding Opportunity Announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Wildland Urban Interface community projects are reviewed at the regional level and funding recommendations are made through each region's annual work plan. Final budget approvals rest with the Regional Fire Management Coordinator.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. The approval time for financial assistance applications varies depending on the Region, type and complexity of the project, funding levels, and expertise availability.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Recipients may request funding for a subsequent year/cycle of a currently funded or new project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching contributions can be in-kind or cash. The applicant must demonstrate the capability to meet cost share requirements which may include in-kind services. Cooperator contribution may exceed, but not amount to less than 10 percent of the amount awarded. Examples of in-kind services may include, but are not limited to, facility use incurred by an applicant for hosting training courses; Funding or in-kind resources may not be derived from other federal funding programs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is typically available during the 1st half of the federal fiscal year. The period of performance/ fund expenditure is 3 years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and

disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly.

Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1125-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$300,000.00; FY 22 est \$300,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Zero Applications and awards due to lack of funding. Fiscal Year 2021: The program anticipates 1-2 awards depending on availability of funds. Fiscal Year 2022: The program anticipates 1-2 awards depending on availability of funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Tate Fischer,
National Fuels Management Specialist, U.S. Fish and Wildlife Service, National Interagency Fire Center, 3833 South Development Avenue,
Boise ID 83705

Email: tate_fischer@fws.gov

Phone: 208-387-5941

Website Address:

<http://www.fws.gov/fire>

RELATED PROGRAMS:

15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance;
15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 There were no awards issued in 2020. 2021 The program anticipates funding WUI projects in communities at risk from wildfire. 2022 The program anticipates funding WUI projects in communities at risk from wildfire. Projects funded accomplished mitigation activities (projects that remove hazardous fuels) identified as a priority from a CWPP or CWPP/E and reduced the risk from wildland fire to community values and resources in the vicinity of FWS lands.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their State and local governments and communities at risk and/or communities of interest, as published in the Federal Register or updated Governor- signed list, Indian Tribes, private landowners, public and private education institutions, and nonprofit organizations that manage lands. All selectees must be identified as significant to FWS. For mitigation activities (projects that are removing hazardous fuels) all work funded must be identified from a have a signed CWPP or CWPP/E or will be signed by expected funding date on, and have the ability to reduce the risk from wildland fire to community values and resources, and to increase the capabilities of rural fire organizations, in the vicinity of Fish and Wildlife Service lands.

15.676 Youth Engagement, Education, and Employment

YEEP.

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Lands Corps Act Public Lands Corps program (16 U.S.C. 1723), Fish and Wildlife Act Community partnership enhancement (16 U.S.C. 742f(d)).

OBJECTIVES:

To provide experiential, education, and employment program opportunities for youth of all ages (pre-K through early adult life, including students, associates, fellows, interns, members, and volunteers) to participate in conservation activities conducted by the U.S. Fish and Wildlife Service and/or in collaboration with other Department of the Interior bureaus, e.g., Bureau of Lands Management, National Park Service, U.S. Geological Survey; other federal agencies, i.e., Agriculture, Commerce, Corporation for National and Community Service, Environmental Protection Agency, and Labor; and non-Federal entities. The intent of these education, career and leadership development programs is to engage, educate, and employ youth participants to fields of natural resources conservation. A goal of these programs is to promote intra-agency and external partnership that support or enhance youth conservation initiatives and potential career and employment opportunities. This program supports the objectives of the Civilian Climate Corps and includes the following subprograms: Fish and Wildlife Youth Engagement Corps, Directorate Fellows Program (DFP), and Indian Youth Service Corps.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Refer to Program Guidelines attached to the Application Package of the funding opportunity posted, as appropriate, to www.grants.gov.

Applicant Eligibility:

Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; State; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants may be state agencies, local governments, Tribal organizations, interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests that support the mission of the Service.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for

assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for collaboratively developed projects must be submitted by the recipient organization through GrantSolutions: Home - GrantSolutions innovative Federal grants management services Applicant must submit the application through one of the following Directed Announcements: FY2021 15.676 (Youth Engagement, Education, and Employment) excludes classroom and outreach projects: F-FWS-NWRS-21-007-084218 / F-FWS-NWRS-21-007FY2021 15.676 (Youth Engagement, Education, and Employment) Classroom and Outreach projects only: F-FWS-NWRS-21-008-084219 / F-FWS-NWRS-21-008 Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notice of Grant Award (NGA) will be sent electronically to the recipient by GrantSolutions.

Deadlines:

September 15, 2021.

Range of Approval/Disapproval Time:

From 60 to 90 days. Awards should be issued within 90 days of application receipt in GrantSolutions.

Appeals:

Not Applicable.

Renewals:

Other. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Under 16 USC CHAPTER 37, SUBCHAPTER II: PUBLIC LANDS CORPS, the Secretary is authorized to pay not more than 75 percent of the costs of any appropriate conservation project carried out pursuant to this subchapter on public lands by a qualified youth or conservation corps. The remaining 25 percent of the costs of such a project may be provided from nonfederal sources in the form of funds, services, facilities, materials, equipment, or any combination of the foregoing. No cost sharing shall be required in the case of any appropriate conservation project carried out on Indian lands or Hawaiian home lands under this subchapter. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The typical project period of performance is four weeks to 12 months. Funding must be spent within the project period of performance. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. The final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. The final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements.

Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$15,300,000.00; FY 21 est \$18,000,000.00; FY 22 est \$18,000,000.00;

Range and Average of Financial Assistance:

\$2,500 \$600,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program issued 260 awards. Fiscal Year 2021: In FY21 the program anticipates issuing 250-280 awards. Fiscal Year 2022: In FY22 the program anticipates issuing 250-280 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

Visitor Services Youth and Veteran Program Lead Deborah Moore,

5275 Leesburg Pike,

Falls Church VA 22041-3803

Email: deborah_moore@fws.gov

Phone: (703)358-2386

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

15.654 National Wildlife Refuge System Enhancements; 15.664 Fish and Wildlife Coordination and Assistance Programs;

EXAMPLES OF FUNDED PROJECTS:

2020 Funded projects included individually placed interns, Corps crew projects, and resource assistants under the Directorate Fellows Program (DFP). Projects funded under this program were designed to promote the conservation, restoration, construction or rehabilitation of natural, cultural, historic, archaeological, recreational, or scenic resources. 2021 Funded projects include individually placed interns, Corps crew projects, and resource assistants under the Directorate Fellows Program (DFP). Projects under this program are designed to conserve and restore public lands and waters, bolster community resilience, increase reforestation, increase carbon sequestration in the agricultural sector, protect biodiversity, improve access to recreation, address the changing climate, and promote the conservation, restoration, construction or rehabilitation of natural, cultural, historic, archaeological, recreational, or scenic resources. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that include individually placed interns, Corps crew projects, and resource assistants under the Directorate Fellows Program (DFP). Projects under this program are designed to conserve and restore public lands and waters, bolster community resilience, increase reforestation, increase carbon sequestration in the agricultural sector, protect biodiversity, improve access to recreation, address the changing climate, and promote the conservation, restoration, construction or rehabilitation of natural, cultural, historic, archaeological, recreational, or scenic resources.

CRITERIA FOR SELECTING PROPOSALS:

Merit Review Criteria is available at: Youth | U.S. Fish and Wildlife Service (fws.gov).

15.677 Hurricane Sandy Disaster Relief Activities-FWS

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Disaster Relief Appropriations Act, 2013 (Pub. L. 113-2, 127 Stat. 10, 30, and 39),

OBJECTIVES:

To provide technical and financial assistance to identify, protect, conserve, manage, enhance or restore habitat and structures on both public and private lands that have been negatively impacted by Hurricane Sandy.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to fund projects that relate to relief and rebuilding activities that improve or restore habitat and structures affected by the disaster. These projects may include, but are not limited to assessments, protection, restoration and monitoring activities.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Awards may be made to State/Local Governments, Indian Tribal Governments, Non-Profits, Institutes of Higher Education, Hospitals and For-Profit companies.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; These projects will directly benefit the public as a whole.

Credentials/Documentation:

Yes (use OMB Circular A-87 and supplement 2 CFR 225), if award is made to a State, Local or Indian Tribal Government. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard SF-424 application forms must be used as part of an application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Announcement of funding opportunity will be posted on grants.gov, seeking applications from various entities. Selection criteria will be posted at that time.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 45 working days after either the receipt of an application package or the closing date of the grants.gov announcement, dependent upon the complexity of the proposal.

Appeals:

Not Applicable.

Renewals:

Other. Projects may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Hurricane Sandy monitoring projects can continue until December 2023. Program obligates funds and sends a notice of award to successful applicants. Method of awarding/releasing assistance: lump sum.

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly.

Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1612-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$30,107.00; FY 21 est \$200,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

14-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Program awarded one agreement. Fiscal Year 2021: Program awarded one agreement. Fiscal Year 2022: Program anticipates awarding three project grant agreements.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Refer to Program Guidelines attached to funding opportunity as appropriate, on www.grants.gov for national, regional, or field office contact information.

Headquarters Office:

Tracey Vriens,
U.S. Fish and Wildlife Service Headquarters 5275 Leesburg Pike MS: WSFR,
Falls Church VA 22041
Email: tracey_vriens@fws.gov
Phone: 571-329-3067

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 One agreement for continuing tidal marsh restoration monitoring activities at Prime Hook NWR, including collecting and providing to the Service data on marsh vegetation, marsh elevation, and biomass. 2021 One agreement for restoring salt marsh habitat in New Haven, Ct. 2022 Program has not yet selected projects for funding. Program anticipates funding projects for three salt marsh restorations across the Hurricane Sandy impact area.

CRITERIA FOR SELECTING PROPOSALS:

Program specific criteria is specified in the announcements of individual financial assistance opportunities.

15.678 Cooperative Ecosystem Studies Units

CESU,

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Coordination Act, 16 U.S.C. 661-666; Endangered Species Act, 16 U.S.C. 1531 et seq.; Fish and Wildlife Conservation Act, 16 U.S.C. 2901 et seq.; Fish and Wildlife Act, 16 U.S.C. 742 et seq.; Migratory Bird Treaty Act, 16 U.S.C. 703 et seq.; Coastal Barrier Resources Act, 16 U.S.C. 3501 et seq.; Public Lands Corps Act - Public Lands Corps, 16 U.S.C. 1721-1726; and Water Infrastructure Improvements for the Nation Act, P.L. No:114-322, Sec. 5005.,

OBJECTIVES:

The Cooperative Ecosystem Studies Units (CESU) Network is a national, collaborative consortium of federal agencies, tribes, universities, state and local governments, and other partners that provide scientific research, technical assistance, and education on natural and cultural resource issues to federal land management, environmental, and research agencies. There are currently 17 CESUs throughout the country. Each is comprised of a host university, multiple federal agencies, and additional academic and nonfederal partners. The mission of the CESU Network is to: Provide resource managers with high-quality scientific research, technical assistance, and education; provide resource managers with solid natural resource information; deliver research and technical assistance that is timely, relevant to resource managers, and needed to develop and implement sound adaptive management approaches; ensure the independence and objectivity of research; create and maintain effective partnerships among federal agencies and universities to share resources and expertise; take full advantage of university resources while benefiting faculty and students; provide research, technical assistance, and educational functions within the context of cultural, social, biological, and physical sciences; enhance the ability of Federal natural and cultural resource managers to generate, acquire, synthesize, act upon, and articulate to the public sound research and scientific information; encourage professional development of federal scientists and enhance their ability to manage federal science resources efficiently.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

CESU awards can be used for research, studies, assessments, monitoring, technical/scientific assistance, and educational services having to do with natural science or cultural resources. CESU projects can be funded only as cooperative agreements. Partners must be approved partners of the CESU Network. No construction projects or purchase of real property is allowed. 100% of funding is discretionary.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Anyone/general public; University faculty; federal employees by accessing

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increased opportunities for interdisciplinary, multi-agency research projects related to federal resource management issues; any entity which may, will, or can benefit from the contemplated activity; students, researchers, experts, and instructors as members of the general public.

Credentials/Documentation:

Applicant must be associated with a CESU Network institution. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding opportunity announcements and complete application instructions are posted online at Grants.gov at <http://www.grants.gov>. All applicants must submit a complete, signed Standard Form 424, Application for Federal Assistance.

Award Procedure:

Applications for funding will be reviewed and approved by USFWS staff based on the project-specific review criteria to be detailed in the funding opportunity posting. USFWS staff will notify applicants of review results by either issuing a fully executed Award either electronically or through the mail, or by sending written notification to the applicant that the application will not be funded.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available after program funds are appropriated and allocated to program. Funding must be spent during the award period of performance, which is specific to each project. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting

requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$6,956,542.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$20,000 - \$150,000 (\$85,000)

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program received 48 applications and issued 48 awards. Fiscal Year 2021: In FY21 the program anticipates receiving 0 applications and issuing 0 awards. Fiscal Year 2022: In FY22 the program anticipates receiving 0 applications and issuing 0 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Please visit <https://www.fws.gov/science/cesu-contact.html> for regional contacts.

Headquarters Office:

Anna-Marie York,
U.S. Fish and Wildlife Service 5275 Leesburg Pike MS: SA,
Falls Church VA 22041-3803
Email: anna-marie_york@fws.gov
Phone: 703-358-1881

Website Address:

<http://www.fws.gov/science/cesu.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Juvenile Gulf Sturgeon Gulfwide Population Dynamics and Habitat Use: Kinship Analysis to Establish Population Status and Trends. 2021 No new funding for this program is expected. Assistance Listing remains active to allow the Service to close all currently open awards and report any associated amendments to government-wide systems that require the identification of an active Assistance Listing number as a key reporting element. 2022 No new funding for this program is expected. Assistance Listing remains active to allow the Service to close all currently open awards and report any associated amendments to government-wide systems that require the identification of an active Assistance Listing number as a key reporting element.

CRITERIA FOR SELECTING PROPOSALS:

Merit review will be based on the relevance to program's objectives and uses.

15.679 Combating Wildlife Trafficking

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Endangered Species Act/International Cooperation, 16 U.S.C. 1537; E.N.D. Wildlife

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Trafficking Act of 2016, P.L. 114-231; and Executive Order 13773, signed February 09, 2017.,

OBJECTIVES:

The goal of this grant program is to provide financial assistance to innovative projects that advance counter-wildlife trafficking activities (wildlife defined to include terrestrial and aquatic species and timber). The U.S. Fish & Wildlife Service (USFWS) is identified as a lead or a participating agency in all of the Strategic Priorities stemming from the Presidents Implementation Plan of the National Strategy for Combating Wildlife Trafficking.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of Intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur.

Applicant Eligibility:

Non-Government - General; Government - General; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Quasi-public nonprofit institution/organization; Non-domestic (outside of the United States) government agencies responsible for combating wildlife trafficking and any other U.S.- based or non-domestic public or private non-governmental organization or institution or individual with demonstrated experience in the activities proposed.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Federal law (2 CFR Part 25, Universal Identifier and System for Award Management) mandates that all entities applying for Federal financial assistance, except individuals applying on their own behalf and not on behalf of any entity, must have a valid Dun & Bradstreet Data Universal Number System (DUNS) number and have a current registration in the System for Award Management (SAM). Applicant entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits will not be considered for Federal funding, as applicable to the funding being requested under this Federal program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See the program's Funding Opportunity on Grants.gov for pre-application requirements and procedures.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The pre-application announcement and program announcement, and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. Complete application information can also be found at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html> or, if unable to access the website, by requesting a paper copy from the Division of International Conservation, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS:IA, Falls Church, Virginia, 22041, Telephone: (703) 358-1754. An application will not be considered complete if required elements are not submitted as instructed.

Award Procedure:

Pre-applications and subsequent full applications are reviewed and competitively selected for funding based on established criteria. Review criteria can be found in

the Notice of Funding Opportunity posted on the Internet at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>. Once a proposal has been selected for funding, an FWS approving official executes an Assistance Award between the Fish and Wildlife Service and the Recipient. Fully executed awards are sent to the Recipient electronically.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Decisions on Pre-applications are made within 90 days from the submission deadline. Decisions on full applications are typically made within 180 days after the receipt of the application, but not later than September 30 each year.

Appeals:

Not Applicable.

Renewals:

Other. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program will include any special award terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit interim progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00; Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$400,000.00; and FY 22 est \$400,000.00;

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Range and Average of Financial Assistance:

Average is \$100,000 or less each to be used for projects lasting one year.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, no projects were identified for funding. Fiscal Year 2021: In FY21, the program anticipates issuing 19 amendments for additional funds and 1-10 new awards. Fiscal Year 2022: In FY22, the program anticipates issuing 1-10 new awards or amendments.

REGULATIONS, GUIDELINES, AND LITERATURE:

Acceptance of a grant or cooperative agreement from the U.S. Fish and Wildlife Service carries with it the responsibility to be aware of and comply with the terms and conditions of award. Service Award Terms and Conditions are available at <https://www.fws.gov/grants/atc.html>. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to and approved by the Service. Awards from the Service are subject to the terms and conditions incorporated into the award either by direct citation or by reference to the following: Federal regulations; program legislation or regulation; and special award terms and conditions. The Service financial assistance award terms and conditions flow down to subrecipients and contractors, unless a particular award term or condition specifically indicates otherwise.

Regional or Local Office:

None.

Headquarters Office:

Grants Specialist Devon Larson,
Headquarters Office Division of International Conservation U.S. Fish & Wildlife Service
5275 Leesburg Pike, MS: IA,
Falls Church VA 22041

Email: cwt@fws.gov

Phone: (703) 358-2134

Website Address:

<https://www.fws.gov/international/wildlife-trafficking/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No projects were identified for funding. 2021 The program has not yet selected projects for funding. The program anticipates funding the second year of existing projects supported in FY19. These projects seek to address the illegal trade and harvest of species globally and strengthen the capacity of other countries to implement the CITES Convention. 2022 The program has not yet selected projects for funding. The program anticipates funding projects that address the illegal trade and harvest of species globally and strengthen the capacity of other countries to implement the CITES Convention.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria can be found in the Notice of Funding Opportunity posted at <http://www.fws.gov/international/grants-and-reporting/how-to-apply.html>

15.680 Mexican Wolf Recovery

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Coordination Act Cooperation of agencies (16 U.S.C. 661), Endangered Species Act International cooperation (16 U.S.C. 1537),

OBJECTIVES:

To provide federal financial assistance to secure Mexican Wolf information, undertake actions that will lead to delisting of the Mexican Wolf, and help prevent extinction or aid in the recovery of the Mexican Wolf.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This opportunity is limited to projects that further the recovery of the Mexican Wolf, which may be described in a species' approved recovery plan, in the implementation schedule of a species' approved recovery plan, or actions recommended in complete 5-year status review of the species or species management plan. These are discretionary funds that are used for project purposes to help ensure the use of the best available scientific information in making management decisions. Funding can be used for construction activities. Funding is not used for real property acquisition under this program.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Individual/Family; Federally Recognized Indian Tribal Governments; Public nonprofit institution/organization (includes institutions of higher education and hospitals); State; State and local government agencies; Institutions of higher education, including public, private state colleges and universities; nonprofits; Native American tribal organizations; city, county or township governments; individuals; Native American tribal governments (federally-recognized); for-profit organizations; and small businesses.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. There is no formal pre-application coordination required under this program, however potential applicants are encouraged to contact the Mexican Wolf Coordinator to discuss their projects prior to application. The Service must conduct environmental compliance reviews for projects conducted in the United States.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official. Each proposal is reviewed by the Mexican Wolf Recovery Program staff who make recommendations for funding. The evaluations are based on the criteria outlined in the request for federal assistance. The proposals are ranked and the Mexican Wolf Recovery Coordinator selects proposals that best support the program within available funding. Once a recovery activity is selected for funding, a funding instrument, i.e., notice of award, between Fish and Wildlife Service and the recipient is drafted. The award is processed through the Southwest Regional Ecological Services office and sent to the recipient for signature. The award is considered executed when signed copies of the agreement are received from the recipient and the Ecological Services office has obligated funds to the agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Up to 180 days, however consideration of applications will ultimately be based on FWS budget availability which varies from year to year.

Appeals:

Not Applicable.

Renewals:

Other. Recipients may request funding for a subsequent year/cycle of a currently

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funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program will include any special award terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$580,000.00; FY 21 est \$541,500.00; FY 22 est \$555,000.00;

Range and Average of Financial Assistance:

\$18,000-\$225,000; average around \$80,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 the program received 8 applications and issued 8 awards. Fiscal Year 2021: In FY21 the program expects 8 applications and to issue 8 awards. Fiscal Year 2022: In FY22 the program expects 8 applications and to issue 8 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200. U.S. Fish Award Terms and Conditions (<https://www.fws.gov/grants/atc.html>).

Regional or Local Office:

None. Mexican Wolf Recovery Coordinator U.S. Fish and Wildlife Service 2105 Osuna Road NE, Albuquerque, NM 87113505-761-4748 FWS_ES_GRANTS@fws.gov

Headquarters Office:

Mexican Wolf Recovery Coordinator,

U.S. Fish and Wildlife Service 2105 Osuna Road NE,
Albuquerque NM 87113

Email: FWS_ES_GRANTS@fws.gov

Phone: 505-761-4748

Website Address:

<https://www.fws.gov/southwest/es/mexicanwolf/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 White Mountain Apache Tribe: Mexican Wolf Management on the Fort Apache Indian Reservation; University of Idaho: Mexican Wolf Genetic Repository and Pedigree Analysis; Arizona Game and Fish Department: Mexican Wolf Annual Work Plan; Peoria Zoo: Mexican Wolf Species Survival Plan captive breeding and management of Mexican wolves; and University of New Mexico Museum of Southwestern Biology: Curatorial Services, Database Management, and Scientific Studies. 2021 Same as FY20. 2022 Same as FY20.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are listed in the Notice of Funding Opportunity (NOFO) posted on Grants.gov.

15.681 Cooperative Agriculture

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Fish and Wildlife Act Community partnership enhancement (16 U.S.C. 742f(d)),

OBJECTIVES:

The objectives for the use of cooperative agriculture in the National Wildlife Refuge System (NWRS) are: Production or modification of specific cover types and/or growing methods that meet the life history requirements of species for which we have established objectives (e.g., waterfowl production); and Production of foods for wildlife species for which we have established objectives or maintenance, rehabilitation, or reestablishment of natural habitat.

TYPES OF ASSISTANCE:

Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Varies by agreement.

Applicant Eligibility:

Individual/Family; Small business (less than 500 employees); Other private institutions/organizations; Applicants must be private individuals or small business entities.

Beneficiary Eligibility:

Individual/Family; Small business; Other private institution/organization; Selected cooperators who conduct cooperative agriculture on NWRS lands under this program, excluding coordination areas (which are areas managed by the Service in coordination with the States).

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The program will post Notices of Participation Opportunity at <https://www.fws.gov/refuges/get-involved/landowners/cooperative-agriculture.html>. Individual cooperative agricultural opportunities will be posted as they become available. Individual cooperative agriculture opportunities will also be advertised through other national, regional, and local outreach channels as determined by the Service to be

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appropriate. The notice for each new opportunity will include a general description of the opportunity, the objective criteria the Service will use to evaluate applicants, the application due date, and application instructions. Individual cooperative agriculture agreements are typically approved for a 3-5 year period. Once that period ends, the Service will determine if those same cooperative agriculture activities should continue. If the Service will continue those same cooperative agriculture activities, a new individual cooperative agriculture opportunity will be posted on the NWRS website and made available for eligible entities to apply. In general and at a minimum, applicants must provide completed FWS Form 3-1383-C to apply for a cooperative agricultural opportunity. The Service will accept applications at the local refuge or complex office listed in the Notice in compliance with the terms and conditions in the Notice. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Service will score each application based on the objective criteria outlined in the NOFO, specific opportunity requirements, and factors that make a potential recipient uniquely qualified. The Service will select the applicant best suited to conduct cooperative agriculture on NWRS lands. The Service will notify all applicants individually within 90 days after the closing of the opportunity notice. Unsuccessful applicants will be notified in writing describing why they were unsuccessful and of their right to appeal any adverse decision in accordance with 50 CFR 25.45.

Deadlines:

Application deadlines will be posted at: <https://www.fws.gov/refuges/get-involved/landowners/cooperative-agriculture.html>

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. After the term of the CAA has ended, the Service will review the cooperative agricultural opportunity. If we plan to continue it, we will publish a notice and award the CAA again following a competitive review and selection process. Previous cooperators are eligible to participate but must apply to be considered.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No funding is available under these agreements. Method of awarding/releasing assistance: other

Reports:

The Service monitors cooperator performance and compliance with agreement terms and conditions as part of our substantial involvement responsibilities under this program.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

00-0000-0-0-000.

Obligations:

Use of Property, Facilities, and Equipment FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$0. This is a nonfinancial assistance program.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program does not award financial assistance. The Service periodically awards new cooperative agricultural agreements on National Wildlife

Refuges across the country through a competitive process. Fiscal Year 2021: This program does not award financial assistance. See the programs website for opportunities available in the current growing season. Fiscal Year 2022: Cooperative agriculture opportunities for the 2022 growing season are not yet available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information about Program policy and guidelines see Service policy 620 FW 2, Cooperative Agriculture Use Policy in the Fish and Wildlife Service.

Regional or Local Office:

None. Refuge-specific contact information is available for each open opportunity posted at <https://www.fws.gov/refuges/get-involved/landowners/cooperative-agriculture.html>.

Headquarters Office:

Chief, Branch of Conservation Planning and Policy Cooperative Agriculture Program,
U.S. Fish and Wildlife Service, National Wildlife Refuge System Headquarters,
MS:NWRS, 5275 Leesburg Pike,
Falls Church VA 22041
Email: fwqnwrsfa@fws.gov
Phone: 1-800-344-9453

Website Address:

<https://www.fws.gov/refuges/get-involved/landowners/cooperative-agriculture.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 This program does not award financial assistance. The Service periodically awards new cooperative agricultural agreements on National Wildlife Refuges across the country through a competitive process. 2021 This program does not award financial assistance. See the programs website for opportunities available in the current growing season. 2022 Cooperative agriculture opportunities for the 2022 growing season are not yet available.

CRITERIA FOR SELECTING PROPOSALS:

Objective criteria may vary depending on the needs and objectives of a refuge (or other NWRS lands). Objective criteria may include: (1) Experience in the type of agricultural opportunity posted, especially personal experience on NWRS lands or comparable land; (2) Experience and ability to successfully cultivate crops or produce specific cover types and/or growing methods that meet the life history requirements of species for which we have established objectives under Service or similar restrictions; (3) Having the proper equipment, materials, labor, and/or other resources to participate in the cost-sharing nature of a CAA; and (4) Other objective criteria necessary to ensure the cooperative agriculture meets the resource management objectives of the NWRS lands.

15.682 Experienced Services

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Consolidated Appropriations Act, P.L. 116-260, Division G, Section 111.,

OBJECTIVES:

To implement legislation that authorizes cooperative agreements with eligible nonprofit organizations that will recruit and provide the services of professionals, age 55 and older, who have the experience and expertise to support the USFWS mission across a range of capacities on a temporary, full or part time, project-specific basis.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will use funding to cover overhead or administrative costs to fulfill its obligations under the cooperative agreement, as well as salary and benefits for enrollees. Overhead or administrative costs may include payroll and benefits

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management, required reporting, and providing interactive portal for enrollee supervisors, among others.

Applicant Eligibility:

Non-Government - General; Applicants must be nonprofits and eligible to receive funds from the Department of Labor under Title V of the Older Americans Act (Senior Community Service Employment Program).

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Individuals (Americans, age 55 and older) who qualify for temporary positions, as described in approved position descriptions provided by the contracting, Federal agency. These individuals may include Federal pension recipients.

Credentials/Documentation:

Applicants must have proof of nonprofit status from the IRS and proof of Department of Labor Senior Community Service Employment Program award eligibility. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Notice of Funding Opportunity is published before a new, 5-year cooperative agreement term and applications are received. In addition to standard Federal financial assistance forms, applicants must provide proof of nonprofit status and eligibility status for receiving funds from the Department of Labor under Title V of the Older Americans Act. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

An award is made after there is a cooperative agreement with an eligible nonprofit organization and the agency has a project to propose under the terms of that agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Experienced Services Program assistance is available after being awarded every five years. The program is not funded; each project is funded through existing program budgets as it arises. Funding may be provided to individual projects until those projects expire, including over multiple years, unless statutory authority is not provided in a given year. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly.

Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the

awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$150,000.00; FY 22 est \$250,000.00;

Range and Average of Financial Assistance:

This is a new program. The first year will be a pilot and will have a maximum of \$300,000 total awarded for the year.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For FY20, 2 applications were submitted. Fiscal Year 2021: For FY21, 2 awards were issued. Fiscal Year 2022: For FY22, 0 applications or awards have been submitted or issued.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Edith Thompson, National Conservation Training Center, 698 Conservation Way, Shepherdstown, WV 25443, 304-876-7499, edith_thompson@fws.gov

Headquarters Office:

Edith Thompson,
USFWS HQ, 5275 Leesburg Pike,
Falls Church VA 22041
Email: edith_thompson@fws.gov
Phone: 703-358-2267
Fax:

Website Address:

<https://training.fws.gov/>

RELATED PROGRAMS:

15.931 Conservation Activities by Youth Service Organizations; 15.011 Experienced Workers Support Services Activities; 15.239 Management Initiatives;

EXAMPLES OF FUNDED PROJECTS:

2020 New program, no awards issued in 2020. 2021 The implementation of the FWS Experienced Services Program was awarded to two nonprofit organizations in FY 2021. These organizations will recruit and administer payroll and other related support for skilled, experienced workers (called enrollees) who will support Fish and Wildlife Service in a variety of temporary roles across the agency. 2022 The implementation of the FWS Experienced Services Program was awarded to two nonprofit organizations in FY 2021. These organizations will recruit and administer payroll and other related support for skilled, experienced workers (called enrollees) who will support Fish and Wildlife Service in a variety of temporary roles across the agency.

CRITERIA FOR SELECTING PROPOSALS:

All required forms must be filled out properly; the project proposal must include all of the items noted in the NoFO Project Proposal section, including proof of

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eligibility; the mission and objectives of the applicants must relate to the DOI priorities addressed in the announcement objectives; the applicant must be qualified to carry out the work described in the NoFO; the applicant must demonstrate it has the techniques, processes, etc. to carry out the work; the applicant must have qualified personnel; the applicant must have knowledge and experience in planning, collaboration and evaluation; and the applicant must apply for adequate resources and be able to leverage resources effectively. The budget review and audit review must be correct and complete and not raise any concerns. It must show its record of past performance of similar work.

15.683 Prescott Marine Mammal Rescue Assistance

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Marine Mammal Rescue Assistance Act/John H. Prescott Marine Mammal Rescue Assistance Grant Program (16 U.S.C. 1421f-1); Marine Mammal Protection Act (16 U.S.C. 1361 et seq.); Consolidated Appropriations Act of 2019 (Public Law 116-6); Further Consolidated Appropriations Act of 2020, (Senate Rep. No. 116-123),.

OBJECTIVES:

To provide financial assistance to eligible entities for: response, recovery, rescue, treatment, and, as appropriate, release of West Indian manatees, sea otters, Pacific walrus, and polar bears; the collection of data for scientific research associated with the health of these species; and facility operation costs for these species, as appropriate.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds will be awarded to and must be used by recipients for: response, recovery, rescue, treatment, and, as appropriate, release of marine mammals under the management jurisdiction of the Service (i.e., West Indian manatees, sea otters, Pacific walrus, and polar bears); the collection of data for scientific research associated with the health of these marine mammal species; and facility operation costs, as appropriate.

Applicant Eligibility:

Federal; U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); To be eligible, an applicant must be a current participant or researcher associated with marine mammal stranding efforts and must demonstrate they have authorization under the Marine Mammal Protection Act (MMPA; 16 U.S.C. 1361, et seq.) and the Endangered Species Act (ESA; 16 U.S.C. 1531, et seq.), if the marine mammal species is listed under the ESA. Authorization may include a permit issued under section 104(c) or an agreement under section 112(c) to take marine mammals as described in section 109(h)(1) in response to stranded marine mammals. Eligibility also includes Federal (except DOI and DOC), State, or local government employees operating in the course of their official duties as indicated under 109(h).

Beneficiary Eligibility:

The recipients are the primary beneficiaries. The projects may have secondary benefits to States that have the identified marine mammal species in waters under State jurisdiction and supports Federal requirements for conservation of marine mammals, and other public resources.

Credentials/Documentation:

Applicants must provide a copy of their issued permit, letter of authorization, section 112 agreement, or evidence of authorization under section 109(h) along with their application request for funding. We will also accept evidence that an applicant has applied for one of these authorization, e.g., a copy of the request for

authorization; however, if proof (i.e., a copy) of the final authorization is not provided by the time the agency is ready to make an award, the applicant would be determined not qualified to receive an award. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals are submitted through Grants.gov. Applicants need to address the program and species-specific priorities identified in the Notices of Funding Opportunity (NOFO). The NOFO also identifies the documents that must accompany the application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications submitted within the identified deadline will be screened for completeness and eligibility, which includes: completed forms 424, 424A, and 424B; evidence of authorization under MMPA and ESA, if listed; submission by deadline date; signature and date by authorized representative; at least a 25% cost share; an eligible activity; at least one of the species-specific funding priorities; a budget and statement of work with milestones, and identification of the Principal Investigator. Those that pass the initial screening will be provided to the appropriate Service staff for conducting the review. The review will consider: the importance and applicability of the proposal; soundness and ability to accomplish the project; qualifications of applicants; costs; and outreach and education, as appropriate. Those applications that receive a top score will undergo further review, which will focus on the program needs and species priorities before providing recommendations for equitable funding to the Selecting Official.

Deadlines:

April 26, 2021.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. Recipients may request funding for a subsequent year/cycle of a currently funded project. However, these requests are subject to the same submission, review, and approval requirements as all new applications. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Future or continued funding will be at the discretion of the Service, based on the availability of each fiscal year funding and satisfactory performance. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Per section 408(d) and (e) of the Marine Mammal Protection Act, the program provides for a maximum Federal award for each project of up to \$100,000 and a minimum non-Federal cost share of 25 percent of total project costs. The agency may apply in-kind contributions (i.e., the fair market value of property and services) to the non-Federal share. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are expected to be provided for a one-year time period; however, this may vary from 12 months to 3 years depending on the type of project. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are

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required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. A final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. The recipient is required to maintain files of all records associated with funds provided under the grant, including supporting documentation, in accordance with the terms and conditions of the notice of award letter. The grantee must maintain records for three years from the date when the final expenditure report is submitted.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$2,040,000.00; FY 21 est \$1,120,000.00; FY 22 est \$1,120,000.00;

Range and Average of Financial Assistance:

Range: \$10,000 to \$95,000. Average: \$60,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 20 the program received 27 applications and issued 25 awards. Fiscal Year 2021: Anticipate between 20-30 applications. Fiscal Year 2022: Anticipate between 20-30 applications.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Please see the Notice of Funding Opportunity (NOFO) on Grants.gov for appropriate points of contact.

Headquarters Office:

Ecological Services Program,
Fish and Wildlife Service, Department of the Interior, 5275 Leesburg Pike
(MS:ES),
Falls Church VA 22041
Email: FWS_ES_GRANTS@fws.gov
Phone: (703) 358-2171
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

11.439 Marine Mammal Data Program;

EXAMPLES OF FUNDED PROJECTS:

2020 (1)Rehabilitate stranded sea otters and Pacific walruses. Projects will also collect data about the health and disease parameters associated with these species.
(2)To help conserve Southern sea otters by expanding the species population through surrogacy and providing critical support for stranding response projects.
(3) To obtain rescue vehicles and other equipment for the Florida Manatee Stranding Network. 2021 Program has not yet selected projects for funding.
Program anticipates funding projects that will provide for the response, recovery, rescue, treatment, and release of West Indian manatees, sea otters, Pacific walruses,

and polar bears, the collection of data for scientific research related to stranding efforts, and facility operation costs, as appropriate. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that will provide for the response, recovery, rescue, treatment, and release of West Indian manatees, sea otters, Pacific walruses, and polar bears, the collection of data for scientific research related to stranding efforts, and facility operation costs, as appropriate.

CRITERIA FOR SELECTING PROPOSALS:

All eligible applicants must be current participants or researchers associated with marine mammal stranding efforts. Projects will be considered based on: (1) importance and applicability to the program; (2) soundness and ability to accomplish the proposed project; (3) overall qualifications of applicants; (4) project costs; and (5) outreach and education, as appropriate. Determination of priorities may consider program and species needs, any episodic stranding, anomalous mortality event, or unusual mortality event that occurred in the preceding year, data regarding average annual strandings and mortality events per year, and size and vulnerability of the marine mammal populations. The selection process may consider costs, geographical distribution, financial need, duplication with other federally funded projects, and equitable distribution of funds among the designated stranding regions and species/stocks.

15.684 White-nose Syndrome National Response Implementation

FEDERAL AGENCY:

U.S. FISH AND WILDLIFE SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Endangered Species Act (16 U.S.C. 1531 et. seq.), Fish and Wildlife Coordination Act/Cooperation of agencies (16 U.S.C. 661), Fish and Wildlife Act (16 U.S.C. 742 et seq.).

OBJECTIVES:

To conserve and recover bats affected by the fungal disease white-nose syndrome through support to States and Tribes for their management planning and actions, coordination of research activities, and collaboration with partners. Provide for research projects that address information needs for managing white-nose syndrome and species affected by it. Develop and implement management strategies to lessen the impacts of white-nose syndrome and recover affected species. Conduct monitoring efforts to monitor status and trends of North American bat populations.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects must carry out actions in support of the white-nose syndrome national response plan, including priorities and efforts identified within that context. Such actions include management activities of state wildlife and natural resource agencies working to conserve and recover bats and combat white-nose syndrome. The program funds projects addressing priorities identified through the working groups of the white-nose syndrome national plan. Congress appropriated these funds to the Service to apply them in support of the National Response to White-nose Syndrome and the North American Bat Monitoring Program (Consolidated Appropriations Act of 2020, H.R. 1865, 116th Cong. Div. D, Title I).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Profit organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligibility varies by funding opportunity.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see the Notice of Funding Opportunity for a complete list of the application requirements. The required components of proposals are specific to the different funding opportunities in this program. Specific requirements are outlined in the Notice of Funding Opportunity. In general, these will include a scope of work, budget and budget justification, the SF424 family of standard forms, letters of support and any other information identified in the Notice. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The U.S. Fish and Wildlife Services National Coordinator for White-nose Syndrome or his or her designee recommends proposals for funding, and the Secretary of the Department of the Interior or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee. Awards will be announced via letters sent directly to the applicants. No work may begin on a project until the award, and the required environmental compliance documents, have been signed by the authorized Service official, unless conditioned by the program on a case by case basis. Unsuccessful applicants will be notified either by letter, fax, email or telephone, generally within 90 days from the deadline for submission of proposals.

Deadlines:

Funding opportunities are announced through GrantSolutions and Grants.gov with deadlines specific to the funding opportunity. Contact the headquarters or regional location, as appropriate for the application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Recipients may request period of performance and/or reporting due date extensions and should submit such requests in advance of the period of performance end date or report due date. Current recipients may seek funding in subsequent years to continue similar actions or lines of research by submitting a new application in response to an open competitive Notice of Funding Opportunity. The program will evaluate such applications according to the priorities, requirements, and criteria identified in the funding opportunity.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are voluntary. Voluntary committed cost sharing is encouraged, but not required. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program funding is appropriated each year and is obligated within 2 years. Specific funding opportunities may require shorter or longer timeframes for obligation. Assistance is available throughout the year depending on issuance of Notices of Funding Opportunities, which will accept applications for at least 60 calendar days from the date they are posted. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly.

Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. The final SF-425, Federal Financial Report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The Service details all reporting requirements including frequency and due dates in Notices of Award. Performance reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.329. The final performance report is due within 120 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The Service details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-1611-0-1-302.

Obligations:

Project Grants (Discretionary) FY 20 \$3,500,000.00; FY 21 est \$3,500,000.00; FY 22 est \$3,500,000.00;

Range and Average of Financial Assistance:

Varies by funding opportunity. Project grant awards range from \$2,500 to \$250,000, with average awards about \$140,000. Cooperative agreements of up to \$1,000,000 may be awarded, with average amounts about \$200,000.

TAFS Codes:

14-1611.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, the Service awarded ~\$2 million through the WNS Grants to States and Tribes, ~\$1 million for research and management grants, and ~\$500,000 for a cooperative agreement to support implementation and coordination of the North American Bat Monitoring Program. Fiscal Year 2021: In FY21, the Service anticipates awarding up to \$1.5 million through the WNS Grants to States and Tribes opportunity, up to \$1.5 million for research and management grants, and up to \$500,000 for cooperative agreements to support implementation and coordination of the North American Bat Monitoring Program, national priorities for the white-nose syndrome response, and implementation of management strategies to mitigate the impacts of white-nose syndrome. Fiscal Year 2022: In FY22, the Service anticipates awarding up to \$1.5 million through the WNS Grants to States and Tribes opportunity, up to \$1.5 million for research and management grants, and up to \$500,000 for cooperative agreements to support implementation and coordination of the North American Bat Monitoring Program, national priorities for the white-nose syndrome response, and implementation of management strategies to mitigate the impacts of white-nose syndrome.

REGULATIONS, GUIDELINES, AND LITERATURE:

Consolidated Appropriations Act of 2020 (H.R. 1865, 116th Cong. Div. D, Title I).

Regional or Local Office:

See Regional Assistance Locations. Jeremy Coleman, National Coordinator for White-Nose Syndrome US Fish and Wildlife Service, Ecological Services, 300 Westgate Center, Hadley, MA 01035. Jeremy_Coleman@fws.gov 413-253-8223. Jonathan Reichard, National Assistant Coordinator for White-Nose Syndrome US Fish and Wildlife Service, Ecological Services, 300 Westgate Center, Hadley, MA 01035. Jonathan_Reichard@fws.gov 413-253-8258. Contact information for U.S. Fish and Wildlife Service regional coordinators for white-nose syndrome are available at: <https://www.whitenosesyndrome.org/contact/region>

Headquarters Office:

Jeremy Coleman, National Coordinator for White-Nose Syndrome, US Fish and Wildlife Service, Ecological Services, 300 Westgate Center, Hadley MA 01035

ASSISTANCE LISTINGS DESCRIPTIONS

Email: Jeremy_Coleman@fws.gov

Phone: 413-253-8223

Fax:

Website Address:

<http://www.whitenosesyndrome.org>

RELATED PROGRAMS:

15.657 Endangered Species Conservation – Recovery Implementation Funds;

EXAMPLES OF FUNDED PROJECTS:

2020 The Service administered White-nose Syndrome Grants to States and Tribes, and the White-Nose Syndrome Research Grants. Funds were awarded to support surveillance for WNS, management of bats and their habitats, and population monitoring. Additional funding was provided to support critical needs for protecting important bat roosts and conduct research needs identified through the White-nose Syndrome National Response and the White-nose Syndrome Challenge. 2021 The Service intends to administer White-nose Syndrome Grants to States and Tribes, and the White-Nose Syndrome Research and Management Grants. Additional funding opportunities will be developed to support critical needs identified through the White-nose Syndrome National Response and the White-nose Syndrome Challenge, including adaptive management projects, population monitoring, and management tools. 2022 The Service intends to administer White-nose Syndrome Grants to States and Tribes, and the White-Nose Syndrome Research and Management Grants. Additional funding opportunities will be developed to support critical needs identified through the White-nose Syndrome National Response and the White-nose Syndrome Challenge, including adaptive management projects, population monitoring, and management tools.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are specific to the different funding opportunities posted to GrantSolutions and Grants.gov. Specific criteria are outlined in the Notice of Funding Opportunity. In general, these criteria include project relevance and need, cost efficiency, and other criteria identified in the Notice.

15.805 Assistance to State Water Resources Research Institutes

(Water Research Institute Program).

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Water Resources Research Act of 1984, Section 104, Public Law 98-242 as amended, 42 U.S.C. 10301 et seq.,

OBJECTIVES:

To provide financial support to Water Resources Research Institutes located at designated State universities in each of the 50 States, Puerto Rico, the District of Columbia, Guam, the Virgin Islands, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Federated States of Micronesia for research, information transfer and student training on one or more aspects of priority water problems.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Formula Grants, Formula Grants (Cooperative Agreements), Project Grants, Project Grants (Discretionary), Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

This program has two parts: a mandatory formula grant program and a competitive project grant program. The mandatory grant program provides the State Institutes with funds to support a State and regional program of water resources research and information transfer. This may include basic or applied research, setting up conferences to discuss research needs or results, conduct of research, information dissemination, and training of students as a byproduct of research. In general the funds are used to study regional, State, or local water problems, but the results may often have national significance. The competitive project grant program supports research focused on water problems of a regional or interstate nature and which

relate to program priorities identified jointly by the State Institutes and the Geological Survey. Federal funds cannot be used for (1) formal instructional activities and general education, and (2) the cost of permanent buildings, either directly or indirectly.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); One University Water Research Institute is authorized in each State and other jurisdictions specified in Section 104. Other colleges and universities within a State are encouraged to participate in the program in cooperation with the designated Institute.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Public nonprofit institution/organization; Researchers at qualified universities and colleges in the State through the designated Institute for the State.

Credentials/Documentation:

Proposed Research and Information Transfer Program application responsive to instructions issued by the U.S. Geological Survey. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Formula Grant Program: Requires each Institute to collaborate with State interests in defining research priorities and to coordinate with other Institutes in the region to avoid duplication of effort. Competitive Grant Program: Requires that the Institutes collectively collaborate with the Geological Survey in setting research priorities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Formula Grant Program: Geological Survey approves or rejects, in part or as a whole, the program submitted by each State Institute. The State Institutes are notified of any amendments necessary to obtain Geological Survey approval. Competitive Grant Program: Geological Survey conducts a formal review and selection procedure and approves or rejects applications as a whole. Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer.

Deadlines:

January 18, 2021. Annual Base Grants (104b) March 21, 2021. National Competitive Grants (104g) June 01, 2021. Aquatic Invasive Species Research (104g) and PFAS Research (104g)

Range of Approval/Disapproval Time:

Other. 90 days.

Appeals:

Other. Formula Grant Program: Deficiencies noted by the Geological Survey can normally be corrected by the State Institutes. Competitive Grant Program: None.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory Formula: Title 42 U.S.C. 10303(c) and (g), Chapter , Part , Subpart , Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Method of awarding/releasing assistance: other

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Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Directors of the State Institutes are responsible for conduct of programs and maintaining books and records reflecting financial and technical transactions of the program. Records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,668,638.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00; Project Grants (Discretionary) FY 20 \$1,500,000.00; FY 21 est \$1,500,000.00; FY 22 est \$1,500,000.00; Formula Grants (Cooperative Agreements) FY 20 \$7,125,000.00; FY 21 est \$7,125,000.00; FY 22 est \$7,125,000.00; Project Grants (for specified projects) FY 20 \$1,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020): 11,293,639 Current Fiscal Year (2021): 12,625,000 Budget Fiscal Year (2022): 12,625,000

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For the Annual Base Grants the program received 54 applications and issued 54 awards. For the National Competitive Grants the program received 70 proposals and awarded 4 projects. For the Aquatic Invasive Species Competitive Grants the program received 15 proposals and awarded 5 projects. Projects selected increased our understanding of changes in the quantity and quality of water resources, development of methods for better estimation of water supply, both surface and groundwater, including estimation of the physical and/or economic supply of water. Fiscal Year 2021: For the Annual Base Grants the program expects to receive 54 applications and issue 54 awards. For the National Competitive Grants the program expects to receive 70 proposals and award 4 projects. For the Aquatic Invasive Species Competitive Grants the program expects to receive 25 proposals and award 5 projects. For the PFAS Competitive Grants the program expects to receive 25 proposals and award 5 projects. Projects selected will increase our understanding of changes in the quantity and quality of water resources, development of methods for better estimation of water supply, both surface and groundwater, including estimation of the physical and/or economic supply of water. Fiscal Year 2022: Budget Fiscal Year (2022) For the Annual Base Grants the program expects to receive 54 applications and issue 54 awards. For the National Competitive Grants the program expects to receive 70 proposals and award 4 projects. For the Aquatic Invasive Species Competitive Grants the program expects to receive 25 proposals and award 5 projects. For the PFAS Competitive Grants the program expects to receive 25 proposals and award 5 projects. Projects selected will increase our understanding of changes in the quantity and quality of water resources, development of methods for better estimation of water supply, both surface and groundwater, including estimation of the physical and/or economic supply of water.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rule, 30 CFR Part 401, 50 FR 27203, June 7, 1993; Geological Survey Request for

Applications under the Water Resources Research Institute Grant Program (Section 104), Announcement G17AS00017, December 2015 at <https://niwr.net>.

Applications under the Water Resources Research National Competitive Grant Program, Announcement No. G16AS200017, December, 2015 at <https://niwr.net> and <http://water.usgs.gov/wri/index.php>

Regional or Local Office:

None.

Headquarters Office:

Earl Greene,
Department of the Interior, Geological Survey, Office of External Research, 5522 Research Park Drive,,
Baltimore MD 21228

Email: eagreene@usgs.gov

Phone: (443) 498-5505

Website Address:

<http://water.usgs.gov/wri/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 264 funded water resources research projects to advance our understanding of changes in the quantity and quality of water resources, development of methods for better estimation of water supply, both surface and groundwater, including estimation of the physical and/or economic supply of water. 2021 Current Fiscal Year: Projections (2021) Program has not yet selected projects for funding. Program anticipates funding projects that advance our understanding of changes in the quantity and quality of water resources, development of methods for better estimation of water supply, both surface and groundwater, including estimation of the physical and/or economic supply of water. 2022 Program anticipates funding projects that advance our understanding of changes in the quantity and quality of water resources, development of methods for better estimation of water supply, both surface and groundwater, including estimation of the physical and/or economic supply of water.

CRITERIA FOR SELECTING PROPOSALS:

Competitive Grant Program: Relevance to announced priorities, technical merit, prospects of attaining objectives in period specified and potential for student training.

15.807 Earthquake Hazards Program Assistance

Earthquake Hazards Program Grants,

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

42 U.S.C. 7701 et seq.,

OBJECTIVES:

To support earthquake hazards research and monitoring in order to develop information, knowledge, and methods relevant to the major Earthquake Hazards Program elements: Assessment and Characterization of Earthquake Hazards; Monitoring and Reporting Earthquake Activity and Crustal Deformation; Conducting Research into Earthquake Causes and Effects; and Earthquake and Safety Information for Loss Reduction. Specific objectives and research tasks identified as measures of progress towards the goals of these program elements are described in greater detail in Program Announcements posted to Grants.gov.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Specific research and monitoring objectives are identified in Program Announcements posted to Grants.gov.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes

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institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public and private colleges and universities; Non-profit, non-academic organizations; For-profit organizations; State and Local Governments; and unaffiliated scientists.

Beneficiary Eligibility:

Scientist/Researchers; Engineer/Architect; Suburban; Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the principal investigator's qualifications for performance of the proposed research. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants will use the SF-424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through FedConnect (<https://www.fedconnect.net/FedConnect/>).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Due dates for assistance applications are stated in Grants.gov postings. The range of approval is 5 to 9 months.

Appeals:

Not Applicable.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Recipients are encouraged to share in the cost of each research project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 .

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Project Grants FY 20 \$28,500,000.00; FY 21 est \$28,500,000.00; and FY 22 est \$28,500,000.00;

Range and Average of Financial Assistance:

\$6,000 to \$1,340,000; average \$74,000.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 213 competitive applications received; awarded 57 Earthquake Hazards grants. Fiscal Year 2021: 246 competitive applications received; awarded 72 Earthquake Hazards grants. Fiscal Year 2022: In FY2022 the program anticipates receiving 200 applications and issuing 60 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Jill M. Franks,
Department of the Interior, Geological Survey, MS-905 National Center, 12201 Sunrise Valley Drive,
Reston VA 20192
Email: jfranks@usgs.gov
Phone: (703)648-6716
Fax: (703)648-6717

Website Address:

<http://earthquake.usgs.gov/research/external/>.

RELATED PROGRAMS:

47.041 Engineering Grants; 47.050 Geosciences;

EXAMPLES OF FUNDED PROJECTS:

2020 Source zone characteristics; Earthquake monitoring and early warning development and prototype testing; Induced seismicity studies; and fault zone studies; and Seismic zonation and engineering studies. 2021 Current Fiscal Year: Projections (2021)Source zone characteristics; Earthquake monitoring and early warning development and prototype testing; Induced seismicity studies; and fault zone studies; and Seismic zonation and engineering studies. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that are similar to FY2021.

CRITERIA FOR SELECTING PROPOSALS:

Panels composed of expert scientists and engineers drawn from government, universities, and private industry evaluate all grant applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.808 U.S. Geological Survey Research and Data Collection

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Title Organic Act of 1879, , 43 USC Code 31. 43 USC Code 36c. 43 USC Code

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36d. 43 USC Code 1457b.

OBJECTIVES:

To support research complementary to USGS program efforts in classification of the public lands and examination of the geological structure, water, mineral, and biological resources, and products of the national domain. This entry covers a variety of USGS assistance awards not covered by any other CFDA program entries. Awards are typically supported by funding from internal projects and programs, and funds are not separately budgeted or reserved for external projects or proposals under this entry.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Applications must be for scientific research projects which are within the Survey's area of responsibility. The majority of funds are used to support research that will enhance existing, long-term collaborative projects. Funds can be used for attendance at national conferences for the dissemination of project results. Further, conferences and symposia will be supported only if it is clear that equivalent results cannot be obtained at regular meetings of professional societies. Office furniture, office equipment, and foreign travel are not normally considered for support.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments may make application for support by a named principal investigator. Due to limited availability of funds to support new external projects, consultation with USGS is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Anyone/general public; Scientist/Researchers; Engineer/Architect; Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from knowledge gained under the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 3 to 6 months.

Appeals:

Other. None. Applications may be revised and resubmitted.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Generally, no statutory requirement. Recipients are encouraged to share in the cost of each research project. Appropriations language requires cooperating State and local governments to contribute at least one-half the cost of certain cooperative water resources investigation and cooperative mapping projects. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$44,923,514.00; FY 21 est \$45,000,000.00; FY 22 est \$45,000,000.00;

Range and Average of Financial Assistance:

\$3,000 to \$2,888,015; average \$161,098

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: USGS issued 275 new financial assistance awards funding a variety of projects related to USGS mission areas. Fiscal Year 2021: Program anticipates receiving 250 applications. Fiscal Year 2022: Program anticipates receiving 250 applications.

REGULATIONS, GUIDELINES, AND LITERATURE:

USGS Guide for Submission of Unsolicited Proposals is available at: <https://www.usgs.gov/about/organization/science-support/administration/office-acquisition-and-grants/guide-submitting>

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Laura Mahoney,

This is not a single formal program; awards are not centrally managed or coordinated.,

Reston VA 20192

Email: lmahoney@usgs.gov

Phone: (703) 648-7344

Website Address:

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<https://www.usgs.gov/about/organization/science-support/administration/office-acquisition-and-grants/guide-submitting>

RELATED PROGRAMS:

47.050 Geosciences;

EXAMPLES OF FUNDED PROJECTS:

2020 USGS issued 275 new financial assistance awards funding a variety of projects related to USGS mission areas. 2021 No Current Data Available. 2022 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Applications will be funded only to the extent permitted by available funds.

15.810 National Cooperative Geologic Mapping

(StateMap and EdMap).

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 116-9

OBJECTIVES:

To produce geologic maps of areas in which knowledge of geology is important to the economic, social, or scientific welfare of individual States. StateMap supports: (1) projects which produce new geologic maps with attendant explanatory information including correlation of map units, description of map units and symbols, stratigraphic columns, geologic sections, and other pertinent information; and (2) projects which compile existing geologic data in a digital form for inclusion in the National Digital Geologic Map Database. EdMap: Provide funding for undergraduate and graduate students in academic research programs, through cooperative agreements, that involve geologic mapping and scientific data analysis as major components; expand research and educational capacity of graduate programs that teach earth science students the techniques of geologic mapping and field data analysis; and facilitate the publication and distribution of geologic maps generated in field-based graduate academic research programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

STATEMAP: Only one application will be accepted from each State geological survey. State proposals cannot include in-kind services by the U.S. Geological Survey. Travel to meetings of professional societies is not an acceptable expense for the program, nor are funds intended for the purchase of computer hardware, software, or other nonexpendable property. Student tuition or reimbursement is not an allowable expense. EdMap: Proposals cannot include a request for in-kind services by the U.S. Geological Survey. Funds are not intended for the purchase of capital equipment. Student tuition or reimbursement is not an allowable expense. For further information, please contact the National Cooperative Geologic Mapping Program office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); StateMap program is restricted by statute to State geological surveys. Where State surveys are organized under a State university system, an application may be submitted by a State college or university on behalf of the State geological survey. EdMap program is restricted to universities with geoscience or related departments or programs.

Beneficiary Eligibility:

Anyone/general public; State; State geological surveys participating in this program and the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals for StateMap must include a letter of support from the State Geologic Mapping Advisory Committee to qualify for funding. Proposals for EdMap must

include a letter of support from a State Geologist or USGS Project Chief. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a cooperative agreement from a U.S. Geological Survey contracting officer through GrantSolutions (<https://home.grantsolutions.gov/home/>)

Deadlines:

November 15, 2021 (Date may be adjusted slightly based on release of Program Announcement)

Range of Approval/Disapproval Time:

Other. StateMap: Approval/disapproval will be announced by mid-February (or shortly after budget allocation). EdMap: Approval/disapproval will be announced by late February (or shortly after budget allocation).

Appeals:

Not Applicable.

Renewals:

Other. StateMap: Proposals must be submitted for a 1-year project period only. However, projects that were approved and funded during the previous fiscal year may be submitted as renewals (competing continuations). A completely new proposal subject to full review, must be submitted each year. EdMap: Proposals may be submitted which request a performance period of 1 year at a time. Student mapping projects may last up to 2 years, however, each year a separate proposal must be submitted. Students are only allowed two years of EdMap funding. Funding for year two will be determined through the annual competitive announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The StateMap program is required by Public Law 111-11 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be contributions of services or cash provided to contractors to perform geologic mapping or other services directly applicable to proposed work on the project. The specific source(s) of the State contribution, such as State legislative appropriation, must be provided in the proposal. The EdMap program is required by Public Law 111-11 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be a contribution of funds or services. Such services can include those related to the student research project or cash provided to contractors, i.e., field assistants to perform geologic mapping or other services directly applicable to proposed work on the project. Student tuition is not an allowable expense for direct cost or match for either StateMap or EdMap. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures

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using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$10,853,139.00; FY 21 est \$13,977,359.00; FY 22 est \$13,977,359.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020): Range: \$9,219 - \$458,577 , Average: \$124,280 Current Fiscal Year (2021): Range: \$10,066 \$608,219 , Average: \$176,928 Budget Fiscal Year (2022): Program Announcements scheduled for release in Fall 2021; assuming consistent funding levels, it is expected that award range and average for FY2022 will be similar to FY2021 awards.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: EDMAP funded 45 students at 37 Universities on 40 Projects ; STATEMAP provided funding for 44 states. Fiscal Year 2021: EDMAP is in the process of funding 40 students at 34 Universities on 34 Projects; STATEMAP in the process of providing funding for 45 states. Fiscal Year 2022: Fiscal Year 2022: In FY22, the program anticipates funding, at the very least, 35 students at 30 Universities on 30-35 projects via EDMAP; the program anticipates funding 44-45 states via STATEMAP.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Contact Darcy K. McPhee, Associate Program Coordinator, National Cooperative Geologic Mapping Program, E-mail: dmphee@usgs.gov.

Headquarters Office:

Darcy K. McPhee,
Department of the Interior, U.S. Geological Survey, MS 908 National Center,
12201 Sunrise Valley Drive.,
Reston VA 20192
Email: dmphee@usgs.gov
Phone: (703)648-6973

Website Address:

<http://www.ncgmp.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Bedrock geologic mapping of the Coal Valley, Silvis, and Wedron Quadrangles, 1:24,000 scale, Illinois New Bedrock Mapping in Illinois Project (Illinois State Geological Survey) 2021 Geologic mapping of the Racetrack Basin area, Central North Slope, Alaska (Alaska Division of Geological and Geophysical Surveys) 2022 Program has not yet selected projects for funding. Program anticipates funding projects that produce detailed geologic maps and three-dimensional framework models that help to sustain and improve the quality of life and economic vitality of the Nation and to mitigate natural hazards.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals for both StateMap and EdMap are included in the Geological Survey program announcements.

15.812 Cooperative Research Units

CRU,

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Cooperative Research Units Act, Public Law 86-686, Sec. 1, Sept. 2, 1960, 74 Stat. 733, as amended by the Fish and Wildlife Improvement Act of 1978, Public Law 95-616, Sec. 2, Nov. 8, 1978, 92 Stat. 3110.,

OBJECTIVES:

To work in partnership with States and universities to address the information needs of local, State, and Federal fish, wildlife, and natural resource agencies through research, technical assistance, and education.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Authority is limited to research with an educational component conducted through one of 40 Cooperative Fish and Wildlife Research Units and their host universities. Awards are limited to information needs related to fish, wildlife, ecology, and natural resources of interest to program cooperators and partners.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Universities hosting Cooperative Fish and Wildlife Research Units established under authority of the Cooperative Research Units Act of 1960.

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Scientist/Researchers; Industrialist/ Business person; State; Local; Federal, State and local governments, industry and public decision makers, research scientists, State institutions of higher education, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposed research application responsive to the instructions issued by the USGS. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Requires each research project to be conducted in collaboration and cooperation with USGS personnel stationed at Cooperative Fish and Wildlife Research Units, and cooperating agency representatives. Discussions to determine interest and appropriateness of research projects for a Cooperative Fish and Wildlife Research Unit are required before proposals are submitted.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is by receipt of a Research Work Order document from a U.S. Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Up to 3 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable. All projects have a limited duration not to exceed 5 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$13,377,122.00; FY 21 est \$14,000,000.00; FY 22 est \$14,000,000.00;

Range and Average of Financial Assistance:

\$5,000 to \$721,603; average \$52,081

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 160 awards Fiscal Year 2021: Program anticipates 250 awards
Fiscal Year 2022: Program anticipates 250 awards

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided to each of the 40 universities participating in the Cooperative Research Units Program.

Regional or Local Office:

Headquarters Office:

John Thompson Deputy Chief,
Department of the Interior, Geological Survey, Cooperative Research Units, 12201
Sunrise Valley Drive, Mail Stop 303,
Reston VA 20192
Email: jthompson@usgs.gov
Phone: (703) 648-4262
Fax: (703) 648-4269

Website Address:

<https://www.coopunits.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 How will changing reefs affect the prevalence of ciguatera on Hawaiian reefs; Assessing Asian Carp Controls in the Tennessee-Cumberland River Sub-basin of the Ohio River; Faunal Survey and Assessment to Support Integrated Natural Resource Planning; Influence of Energy Development and Climatic Variability on Sagebrush Songbirds 2021 Assessing the viability and needs of species of greatest conservation in the Pacific Northwest; Decision support for USFWS wildlife disease and refuge habitat management; Spatial and temporal patterns in marsh bird abundance along the lower Colorado River; Laguna Division; Modeling brook trout population responses to climate variation in the Southeast USA 2022 Mapping big game migration corridors in Wyoming and other western states: USGS implementation of Secretarial Order 3362; Development of quantitative tools to support Species Status Assessments of freshwater mussels; Public acceptance and preferences for climate change adaptation in the Midwest

CRITERIA FOR SELECTING PROPOSALS:

Proposals must address natural resource and environmental issues of concern to federal agencies and be acceptable to local program cooperators and universities hosting Cooperative Fish and Wildlife Research Units. The Unit Leader of each Unit must determine this before the Unit and its host university submits a proposal to USGS. Projects must be for research and have educational components for graduate training, including post-doctoral fellows.

15.814 National Geological and Geophysical Data Preservation

NGGDPP.

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

The Federal Energy Policy Act of 2005, Section 351, Public Law 109-58.,

OBJECTIVES:

To preserve and provide access to geological, geophysical, and engineering samples (and any related data) extracted from the Earth to improve the breadth of information available and to inform science and decision making now and in the future.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications from States for proposals not covered by one of the objectives are not solicited. For more information, please contact the Program Office. For further information, please contact the regional office. Specific objectives are identified in the annual program announcement. Applications from States for proposals not covered by one of the objectives are not solicited. For more information, please contact the Headquarters Office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State geological surveys are eligible to apply. For State geological surveys organized under a State university system, such universities may submit a proposal on behalf of or through the State geological survey.

Beneficiary Eligibility:

State; Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposed research application responsive to the instructions issued by the USGS. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under

ASSISTANCE LISTINGS DESCRIPTIONS

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer.

Deadlines:

January 08, 2021. Proposal deadline was January 8, 2021. The Program Announcement was posted on grants.gov on November 6, 2020.

Range of Approval/Disapproval Time:

Other. Three to six months after submittal of proposal or bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. In order to gain the greatest leverage of limited Federal and State funds, each proposed State originated program must show a 1:1 Federal/State match of direct and indirect-cost dollars. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Project Grants (Discretionary) FY 20 \$1,890,365.00; FY 21 est \$1,761,413.00; FY 22 est \$1,761,413.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020): The range is \$1,384 to \$154,982.60, the average is \$52,510.13 Current Fiscal Year (2021): The range is \$3,000 to \$126,838.16, the average is \$53,376.15 Budget Fiscal Year (2022): Estimate not available.

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Thirty-six states submitted applications, and 36 states were awarded at least partial funds totaling \$1,890,365. Awards will support 31,597 student hours. Funded data preservation efforts included: digitization and standardization to Geologic Map Schema (GeMS) format of geologic maps and databases to enable integration with other maps and spatially-relevant data; compiling, digitizing and making available maps, geochemical data, geophysical logs, field notebooks and mining records relevant to critical minerals; developing databases for geochemical, oil and gas, and critical minerals data; compiling depth to basement maps, preserving, photographing, and publicly exposing on the Web valuable rock cores for study and analysis; and preserving oil/gas well records and related cuttings collections to advance geologic studies and resource development. Tools to improve efficiency are also shared for use by others, i.e., GeMS conversion tools. A total of 324 geologic maps are planned for conversion to GeMS format. The geoscience data, collections, and artifacts preserved by state geological surveys are described in the National Digital Catalog <https://www.sciencebase.gov/catalog/item/4f4e4760e4b07f02db47dfb4> and information about the NGGDPP is available at <https://datapreservation.usgs.gov>. Fiscal Year 2021: Thirty-six states submitted applications, and 33 states were awarded at least partial funds totaling \$1,761,413. Awards will support 33,380 student hours. Funded data preservation efforts included: digitization and standardization to GeMS format of paper geologic maps and databases to enable integration with other maps and spatially-relevant data; compiling, digitizing and making available maps, geochemical data, geophysical logs, field notebooks and mining records relevant to critical minerals; developing databases for geochemical, oil and gas, and critical minerals data; compiling depth borehole information, preserving, photographing, and publicly exposing on the Web valuable rock cores for study and analysis; and preserving oil/gas well records and related cuttings collections to advance geologic studies and resource development. A total of 172 geologic maps are planned for conversion to GeMS format. The geoscience data, collections, and artifacts preserved by state geological surveys are described in the National Digital Catalog <https://www.sciencebase.gov/catalog/item/4f4e4760e4b07f02db47dfb4> and information about the NGGDPP is available at <https://datapreservation.usgs.gov>. Fiscal Year 2022: In FY22, the NGGDPP anticipates receiving approximately 35 project proposals, and awarding 28 to 30 grants. The Program Announcement for NGGDPP grants is expected to be issued in November/December of 2021. No further information is available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lindsay Powers, NGGDPP Program Coordinator,
Department of the Interior U.S. Geological Survey Core Science Systems Box
25046, MS 975 Denver Federal Center, Bldg 810,
Denver CO 80225
Email: lpowers@usgs.gov
Phone: (720) 292-8595

Michaela Johnson, NGGDPP Associate Program Coordinator,
Department of the Interior U.S. Geological Survey Core Science Systems Box
25046, MS 975 Denver Federal Center, Bldg 810,
Denver CO 80225

Email: mrjohns@usgs.gov

Phone: (720) 250-8763

Fax:

Website Address:

<https://datapreservation.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

2020 NNGDPP funded an effort to preserve historical records of mine and boring location maps and downhole / core logs, as well as supplementary information such as reserve calculations, exploration details and prospect reports, and cross-sections indicating ore deposits of the Ozark-Mahoning Mining Company. The data are essential for understanding the occurrence and distribution of fluorite resources but also critical minerals including rare earth elements (REE). Another project will inventory and preserve collections of field notebooks and detailed maps from retiring or recently retired geologists. The collection is essential to future geologists and describes features no longer accessible, degraded, or no longer in existence. In addition, published and unpublished data on the occurrence of chromium, tungsten, uranium and platinum-group elements will be compiled and summarized to identify areas prospective for undiscovered critical minerals. A third project will inventory and photograph approximately 2,200 oil exploration and gas storage well cores for inclusion in the web application, GeoCore. Most of the core collection will be publicly accessible to allow researchers to view the core collection and request core samples remotely. This project is essential to improve public access and provide cost savings to researchers without the need in-person inspection. NNGDPP is collaborating with the USGS Energy and Minerals Mission Area to fund data preservation activities to support critical minerals resource identification. These projects are still active and Final Technical Reports documenting accomplishments have not been submitted yet. 2021 NNGDPP funded a major effort to inventory, barcode, and photograph all cores housed at this geological survey's sample repository beginning with geothermal core. A web application will be developed to disseminate the photographs and metadata, increasing the searchability and discoverability of these data promoting sustainable energy development. A complementary effort will involve conversion of geothermal well logs into tabular data for integration into the relational database management system increasing usability and interoperability. This project will also include the digitization of mining district documents, maps, and historic data. Information related to critical mineral resources will be compiled and made public in a new interactive web application. Another project photographs core from 103 holes drilled by the states Department of Transportation and will provide online access to the photographs. A second component of this project delivers data from the Lafayette County portion of the Mineral Development Atlas online, to include geolocated boreholes, mine workings, and ore bodies in the lead-zinc district covering parts of Wisconsin, Illinois, and Iowa. A third task will rescue and inventory cores from the Lynne Deposit in Oneida County and then transport to the core repository for photography and physical archiving. These tasks preserve valuable resources for exploration, development, and management. A third project will assess, preserve, and inventory geological cores that were initially drilled for dam and infrastructure studies. This first task improves the existing database and provides public access. These cores are in despair and were not protected since the 1960s-70s. They cannot be reacquired as structures now overlie the sites. A second component of this project will digitize documents describing the drilled Elk Creek Anomaly/Carbonatite rock cores inventoried within the repository. The Elk Creek Anomaly/Carbonatite has many known rare earth minerals but is most notable for having the largest known deposits of niobium in the United States. The developed database accessed via the web will serve the scanned documents to disseminate the information for further geoscientific research. This project is essential to improve public access and preserve assets that are irreplaceable and vital for improving infrastructure planning and understanding of critical mineral resources. NNGDPP is collaborating with Energy and Minerals Program to fund data preservation activities to support critical minerals resource identification. 2022 Program has not yet submitted the Program announcement nor selected projects for funding. Program anticipates funding projects that preserve and update geological and geophysical data to make this data available to the public.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to announced priorities, technical evaluation factors, and prospect of attaining program objectives.

15.815 National Land Remote Sensing Education Outreach and Research

National Cooperative Geographic Information System,

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 110-161, Organic Act 1879, 43 U.S.C. 31,

OBJECTIVES:

To promote the uses of space-based land remote sensing data and technologies through education and outreach at the State and local level and through university based and collaborative research projects. Technologies of interest include multispectral and hyper-spectral electro-optical, thermal, and radar.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement.

Applications for research projects not covered by one of the objectives are not solicited.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Nonprofit organizations, Public and Private colleges and universities, and State and local governments may make an application for support by a named principal investigator.

Beneficiary Eligibility:

Anyone/general public; Scientist/Researchers; Engineer/Architect; Farmer/Rancher/Agriculture Producer; State; Education (0-8); Education (9-12); Education (13+); States, research scientists, engineers, education (0-13+) and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed education, outreach and research activities. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through GrantSolutions (<https://home.grantsolutions.gov/home/>)

Deadlines:

As part of a five-year competitive grant, the National Land Remote Sensing Education, Outreach and Research Activity, the upcoming deadline for optional Year 3 proposal of this current activity is August 15, 2021, with a tentative award date of September 17, 2021.

Range of Approval/Disapproval Time:

Other. From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

All records must be retained and made available for audit for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Project Grants (Discretionary) FY 20 \$1,215,000.00; FY 21 est \$1,270,000.00; FY 22 est \$1,250,000.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020): \$1,215,000 Current Fiscal Year (2021): \$1,270,000 (estimated; funding may be added subject to availability of funds and approval of Program Management) Budget Fiscal Year (2022): \$1,250,000 (estimated; funding may be added subject to availability of funds and approval of Program Management)

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Past Fiscal Year (2020) Year 3 of the current grant activity continued initial projects at the State level of a multi-state remote sensing education, outreach and research grant activity along with overarching consortium activities such as annual meetings and reporting requirements. Focus included regional and topical working groups to address various needs and issues at the regional, state and local levels. Projects focused on data access and training, educational efforts integrating remote sensing into more K-18 curricula and determining local communities' remote sensing requirements to address timely issues such as water quantity and quality, hazard mitigation, and resource management. This work was able to continue even through the pandemic. For each Fiscal Year, award is granted to the nationwide consortium, including sub-tier awards to 39 states with oversight by designated state-level PIs or Coordinators. Projects at the State level are planned to continue in follow-on, optional years of this grant, subject to Program management approval and availability of funds. Fiscal Year 2021: Proposed projects at the State level of this multi-state consortium are planned to progress in follow-on, optional years of this grant, subject to management approval and availability of funds. Fiscal Year 2022: Proposed projects at the State level of this multi-state consortium are planned to progress in follow-on, optional years of this grant, subject to management approval and

availability of funds. A final FY2022 budget is yet to be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Thomas Cecere,,
Land Remote Sensing Program Office, Climate and Land Use Mission Area,
Geological Survey, 516 National Center,
Reston VA 20192
Email: tcecere@usgs.gov
Phone: (703) 648-5551
Fax: (703) 648-5939.

Website Address:

<http://www.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 FY2020 covers Year 3 of the current grant (the National Land Remote Sensing Education, Outreach and Research Activity (NLRSEORA)) to the AmericaView consortium, funded by the USGS National Land Imaging Program. Year 3 of this potential 5-year competitively-awarded funding opportunity continues support of high-impact project activities at the State and local levels to expand remote sensing science through education, outreach and research for environmental monitoring. Projects support capacity building on the use of remote sensing data to assess the effects of land use and land cover change on water quality, quantity and utility; societal adaptation and phenology; natural resource management, disaster risk reduction and public health-related issues such as identifying potential indicators relating to harmful algal blooms. Approved projects addressed the grant's objectives of defining data requirements at the state and local level, providing remote sensing education and outreach at the K-18 levels, and promoting remote sensing science research at the undergraduate and graduate levels to train future workforce candidates in this constantly-evolving, highly technical field. 2021 Current Fiscal Year: Projections (2021) The Program has not yet selected projects for FY2021 funding for year 4 (option year 3) of the current grant. Program anticipates continued funding of projects, subject to availability of funds, that further support the goals and objectives of the National Land Remote Sensing Education, Outreach and Research grant activity. The Program expects a continued focus on remote sensing science and engineering education and outreach, and the conduct of critical applied research. 2022 The Program has not yet selected projects for FY2022 funding in Year 5 (option year 4) of the current grant. Program anticipates continued funding of projects, subject to availability of funds, that further support the goals and objectives of the National Land Remote Sensing Education, Outreach and Research grant activity. The Program expects a continued focus on remote sensing science and engineering education and outreach, and the conduct of critical applied research. A final FY2022 budget is yet to be determined.

CRITERIA FOR SELECTING PROPOSALS:

Panel composed of expert scientists and administrators drawn from government evaluate all assistance applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.817 National Geospatial Program: Building The National Map

The National Map,

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Organic Act of 1879; 43 U.S.C. 31; 43 U.S.C. 36c; 43 U.S.C. 36d; Department of the Interior and Related Agencies Appropriations Act of 2003, Public Law 108-7,

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

To promote the uses of space-based land remote sensing data and technologies through education and outreach at the State and local level and through university based and collaborative research projects. Technologies of interest include multispectral and hyper-spectral electro-optical, thermal, and radar.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Applications must be for data collection and research projects which are consistent with the objectives of the National Geospatial Program. The majority of funds are used to support new data collections that will enhance The National Map and to research new methods to use those data. For further information, please contact the headquarters office. For further information, please contact the regional office.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Proposals from State, Local, Interstate, Federally or State recognized Indian Tribal Governments, US Territories and Possessions, Institutions of Higher Education, Private Foundations, and Nonprofit Organizations are invited. Due to limited availability of funding to support new external projects, consultation with the U.S. Geological Survey (USGS) is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Student/Trainee; Graduate Student; Scientist/Researchers; Intrastate; State; U.S. Citizen; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Interstate, State, intrastate, and local government agencies, educational institutions, private firms, private foundations, nonprofit organizations, Federally-acknowledged or state-recognized Native American tribes or groups, U.S. territories and possessions, public decision makers, research scientists, engineers, graduate students, students/trainees, and the general public will ultimately benefit from knowledge gained under the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement packages and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer.

Deadlines:

See program announcement for specifics.

Range of Approval/Disapproval Time:

Other. One to six months.

Appeals:

Not Applicable. Not applicable except that application may be revised and resubmitted.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,267,605.00; FY 21 est \$1,347,452.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020):): \$46,616 to \$752,867, average: \$297,055 Current Fiscal Year (2021): \$197,452 - \$1,150,000; average: \$673,726 Budget Fiscal Year (2022): TBD

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Coop Applicants: 11, awarded: 11. Fiscal Year 2021: Coop Applicants: 4, awarded: 2. Fiscal Year 2022: Applicants expected: 15-25, Expected Awards: 10-20.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. For individually-awarded projects, contact the appropriate office, depending on the location of the study.

Headquarters Office:

National Geospatial Program,
Geological Survey, 12201 Sunrise Valley Drive, MS 511,
Reston VA 20192
Email: lpalmer@usgs.gov
Phone: (703) 648-5519.

ASSISTANCE LISTINGS DESCRIPTIONS

Sue Buto,
National Geospatial Program U.S. Geological Survey 12201 Sunrise Valley Drive,
MS 511.,
Reston VA 20192
Email: sbuto@usgs.gov
Phone: (775) 546-3059
Fax:
Website Address:

<http://www.nationalmap.gov>

RELATED PROGRAMS:

15.808 U.S. Geological Survey_ Research and Data Collection; 10.903 Soil Survey;

EXAMPLES OF FUNDED PROJECTS:

2020 The lidar collection for the 2020 Alabama Multi-Region Lidar Project will result in updated and enhanced elevation coverage for 17 counties within the State of Alabama. Furthermore, the Alabama Geographic Information office (AGIO) and the State of Georgia Geographic Information Office have been working together to maximize the effectiveness of their programs by designing their project areas to help achieve contiguous coverage along both States' borders. This ultimately satisfies the priorities of all levels of government from local, state, federal as well as the general user community. In addition, the majority of the counties selected in this effort would not be able to acquire lidar due to the cost. This project is permitting the state an opportunity to share in the cost for areas that would not acquire it otherwise. 2021 High Quality Lidar for the California San Joaquin Valley Floor: This project will acquire high quality lidar data over more than 11,500 sq mi of the CA San Joaquin Valley floor. The acquired data will support initiatives across state, Federal, local, and non-governmental stakeholders. The data will be valuable for developing detailed analyses of surface topography and for hydrologic mapping, including of fine scale drainage patterns such as the agricultural landscapes across the valley. Information derived from the data will improve understanding of the landscapes characteristics including surficial geology and soils mapping with direct applicability to groundwater recharge and geologic and flooding hazards. Additional uses cases include providing baseline data to support analyses of land subsidence and uplift, transportation and water conveyance infrastructure, agricultural production, and human structures. 2022 Program has not selected projects for funding.

CRITERIA FOR SELECTING PROPOSALS:

Panels of expert geographers, cartographers, physical scientists and geodesists within the U.S. Geological Survey (USGS) will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the mission of the National Geospatial Program of the USGS. Other factors include geographic location and areal extent, value to potential partners, technical qualifications of the recipients, and amount of matching funds.

15.818 Volcano Hazards Program Research and Monitoring

Volcano Hazards Program,

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Law The John D. Dingell, Jr. Conservation, Management, and Recreation Act-P.L. 116-9, title V, 5001

OBJECTIVES:

To advance the scientific understanding of volcanic processes and to lessen the harmful impacts of volcanic activity. The Volcano Hazards Program (VHP) monitors active and potentially active volcanoes, assesses their hazards, responds to volcanic crises, and conducts research on how volcanoes work to fulfill a Congressional mandate (Public Law 93-288) that the USGS issue "timely warnings" of potential volcanic hazards to responsible emergency-management authorities and to the populace affected. VHP provides domestic assistance in order to expand the expertise and capabilities applied to fulfilling its mission.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cooperative Agreements are entered into for the purpose of conducting monitoring and research as specified in Public Law 93-288.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; None.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; Scientist/Researchers; Engineer/Architect; State; Local; Public nonprofit institution/organization; None.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research and operations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Notwithstanding the provision at OMB Circular A-110, Subpart C, __.25 (e)(1), discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer through GrantSolutions (<https://home.grantsolutions.gov/home/>)

Deadlines:

Deadlines for application submission for the 6 ongoing cooperative agreements are approximately 2 weeks before the start dates of February 1, April 1, May 1, June 1, July 1, and September 1.

Range of Approval/Disapproval Time:

Other. Established annually. The range of approval/disapproval time is from 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Recipients are encouraged to share in the cost of each research project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final

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technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records must be maintained and made available for audit for three years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements FY 20 \$4,617,604.00; FY 21 est \$4,423,125.00; FY 22 est \$4,800,000.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020): range = \$327,671 - \$1,946,646; average = \$756,518
Current Fiscal Year (2021): range = \$359,143 - \$1,958,184, average = \$730,218
Budget Fiscal Year (2022): range = \$380,000 - \$2,000,000, average = \$750,000

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY2020 the Program received 7 applications and issued 7 cooperative agreements. Fiscal Year 2021: In FY2021 the Program anticipates receiving 6 applications and issuing 6 cooperative agreements. Fiscal Year 2022: In FY2022 the Program anticipates receiving 6 applications and issuing 6 cooperative agreements.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Charles Mandeville,
Volcano Hazards Program Office, Geologic Discipline, Geological Survey, 3A204
National Center,
Reston VA 20192

Email: cmandeville@usgs.gov

Phone: (703) 648-4773

Fax: (703) 648-5483

Website Address:

<http://volcanoes.usgs.gov/>

RELATED PROGRAMS:

47.050 Geosciences;

EXAMPLES OF FUNDED PROJECTS:

2020 For Washington, Oregon, Yellowstone, Hawaiian and Alaskan volcanoes: volcano monitoring; network maintenance and development; volcano mapping; volcano database and website development; lahar and debris flow signal analysis; volcano hazard assessments. 2021 2020 examples plus additional volcano seismicity studies. 2022 All of the above plus potential new agreements and objectives with new and existing partners pending funding.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of

important problems within the mission of the Geological Survey Applications will be funded only to the extent permitted by available funds.

15.819 Energy Cooperatives to Support the National Energy Resources Data System

NCRDS,

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Health and Welfare, , 42 USC Code 15801. Minerals, Lands and Mines, , 30 USC Code 208-1. Public Lands, , 43 USC Code 31 et. seq..

OBJECTIVES:

To collect, interpret, correlate, and evaluate energy-related stratigraphic, chemical, and GIS data that support USGS Energy Resources Program research initiatives; to build and maintain national energy databases of; to conduct energy related research and apply knowledge gained to conduct resource assessments within the United States in order to further the understanding of energy resources within a global, geologic, economic and environmental context.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used to conduct research to further the understanding of U.S. energy resource occurrence (coal, gas shale, coalbed methane, geothermal, conventional and unconventional oil and gas, etc.) and their geologic characteristics.

Applications for proposals not covered by at least one of the objectives in the Program Announcement are not solicited. For further information, please contact the regional office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants can be affiliated (but are not required to be affiliated) with State agencies, universities, Tribal governments or organizations. Applications must have the ability to conduct research consistent with the Energy Resources Program goals. Applicants must not be employed by a U.S. Federal agency.

Beneficiary Eligibility:

Anyone/general public; Scientist/Researchers; U.S. Citizen; Research scientists, their agencies, and ultimately the general public will benefit from the program.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer.

Deadlines:

There is no deadline due to a lack of funding.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Four to six months after submittal of proposal or bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. No statutory requirement. In order to gain the greatest leverage of limited Federal and State funds, each proposed State-originated program should indicate some State matching of direct- and indirect-cost dollars. Exceptions may be granted for ratios far below 1:1. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Recipient records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$15,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020):\$15,000 - \$15,000; Average \$15,000 Current Fiscal Year (2021):\$0.00 Budget Fiscal Year (2022):\$0.00

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: One cooperator requested a No Cost Extension due to sampling issues from CoVid19. Fiscal Year 2021: Estimate to receive one set of deliverables from one cooperator if sampling issues are not hindered by CoVid19 restrictions.

Fiscal Year 2022: None

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Joseph A. East,
Department of the Interior, Geological Survey, Energy Resources Program, MS
956 National Center,
Reston VA 20192

Email: jeast@usgs.gov

Phone: (703) 648-6450

Fax: (703) 648-6419

Website Address:

http://energy.er.usgs.gov/coal_quality/state_coops

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Studies of Petroleum Source Potential of Alaska Coal 2021 None 2022 None

CRITERIA FOR SELECTING PROPOSALS:

A panel evaluates application for relevance to announced priorities, technical merit, applicant's professional qualifications and prospect of attaining program objectives. The review process is detailed in a Technical Evaluation document.

15.820 National and Regional Climate Adaptation Science Centers

NRCASC,

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Consolidated Appropriations Act of 2008, Public Law 110-161, , Public Law 110-161, 43 USC Code 36(d).

OBJECTIVES:

To enable the National and Regional Climate Adaptation Science Centers (NRCASC) to be responsive to the research and management needs of Federal and State agencies by working with partners to provide science and technical support regarding the impacts of climate change in fish, wildlife, plants and ecological processes and the mechanisms for adaptation to, mitigation of, or prevention of those impacts. Center activities will focus on providing national and regional habitat and population modeling and forecasting tools, integrating physical climate models with ecological models, assessing vulnerabilities and forecasting changes, and developing standardized approaches.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Department of the Interior (DOI) Regional Climate Adaptation Science Centers were developed according to a consistent national strategy and are managed at the national level by the National Climate Adaptation Science Center (NCASC). National coordination of research, modeling, and other scientific and data management matters at the DOI CASCs will ensure uniformity of downscaling and forecasting models and standardized information to support management of fish and wildlife resources and regional partnership collaborations. NCASC will develop cooperative agreements and/or grants with the DOI CSCs at the primary hosting Universities to conduct specific research, data gathering, data management and similar scientific activities. For further information, please contact the NCASC or Regional DOI CASC office.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; There are eight (8) DOI Regional Climate Adaptation Science Centers (RCASC); each CASC has a single "Host Institution" that is eligible to apply on behalf of themselves and members of the CASC university consortium: Alaska CASC: University of Alaska, Fairbanks; Southeast CASC: North Carolina State University, Raleigh; Northwest CASC: University of Washington, Seattle; Southwest CASC: University of Arizona, Tucson; North Central CASC: University of Colorado, Boulder; South Central CASC: Oklahoma University, Norman; Northeast CASC: University of Massachusetts, Amherst; Pacific Islands CASC: University of Hawaii, Manoa.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Education Professional; Scientist/Researchers; Research scientists, policy makers, natural resource managers, educators, and the general public will benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed education, outreach, science coordination and research activities. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer.

Deadlines:

Contact the headquarters (or regional office, as appropriate) for application deadlines.

Range of Approval/Disapproval Time:

Other. Four to six months after submittal of proposal or Bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Other. Awards for specific scientific activities and research may be from one to several years depending upon the subject matter. Renewals will be subject to adequate reporting and performance and are also subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Recipients are encouraged to share in the cost of each project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$17,687,565.00; FY 21 est \$19,500,000.00; FY 22 est \$21,000,000.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020): Up to \$1,000,000 Current Fiscal Year (2021): Up to \$1,000,000 Budget Fiscal Year (2022): Up to \$1,000,000

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Past Fiscal Year (2020) FY2020 Overview: 92 Research Projects; 40 datasets and tools and 180 Science Articles. In Fiscal Year 2020 (October 2019-September 2020), the National and Regional Climate Adaptation Science Centers (CASC) worked closely with partners and stakeholders to accomplish a multitude of science-based achievements. Some highlights include creating a framework for measuring the ability of plants and animals to adapt to climate change, integrating climate science with local knowledge in Hawaii, providing climate-related training for students and professionals, incorporating scenario planning into national monument adaptation planning and more. Through these activities, the CASC program continues to find new ways to create and share climate adaptation science with resource managers and users. Some of the projects included: Climate Vulnerability of Aquatic Species to Changing Stream Temperatures and Wildfire Across the Yukon and Kuskokwim River Basins, Alaska The Influence of Stream Flow Patterns on Juvenile Salmon Growth in Southeast Alaska Science to Inform the Management of Mangrove Ecosystems Undergoing Sea Level Rise at Ding Darling National Wildlife Refuge, Sanibel Island, Florida Understanding Impacts of Sea-Level Rise and Land Management on Critical Coastal Marsh Habitat Fiscal Year 2021: In Fiscal Year 2021, the National and Regional Climate Adaptation Science Centers (CASC) Program expects to initiate approximately 70 science research projects. Research is on-going during Fiscal Year 2021. Obligations for the CASC science projects will be made under the program activity via grants or cooperative agreement awards through the USGS Office of Acquisitions and Grants (OAG). The annual report for Fiscal Year 2021 will be available following the end of the fiscal year and provide the current year accomplishments. Fiscal Year 2022: The National and Regional Climate Adaptation Science Centers (CASCs) will continue to bring together scientific researchers with natural and cultural resource managers and local communities to help fish, wildlife, waters, and lands across the country adapt to changing conditions through research of the expansion of invasive species to wildfire, from drought to sea-level rise, and new and evolving challenges for ecosystems across the nation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See Headquarters Office, below.

Headquarters Office:

NCCWSC Program Analyst Nadine Hartke,,
Department of the Interior, U.S. Geological Survey, National Climate Change and Wildlife Science Center (NCCWSC), 12201 Sunrise Valley Drive, MS-516,
Reston VA 20192
Email: nhartke@usgs.gov
Phone: (703) 648-4607

Website Address:

<http://nccwsc.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Research projects and studies in Fiscal Year 2020 addressed natural resource

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management challenges: Identify potential for invasive species to threaten native species, such as by spreading disease. Impacts on land-use and land-cover. Developments in Glacier Outburst Flood Modeling project in Juneau identify multiple factors that could influence the magnitude of future floods. Climate change projections and assessments. Ecosystem vulnerability and species response to climate variability and change. Impacts on Atlantic and Great Lakes coastal and nearshore environments. Impacts of climate variability and change on cultural resources. Decision frameworks for evaluating risk and managing natural resources under climate change. Impacts on freshwater resources and ecosystems. 2021 Current research focuses on an "actionable science" model, where scientists work closely with partners such as resource managers, native communities, universities, and NGOs to produce results, data, and tools that can be directly applied to helping fish, wildlife, ecosystems, and communities adapt to climate change. In Fiscal Year 2021 the CASC program expects to initiate approximately 70 research projects. 2022 Budget Fiscal Year: Projection (2022) In Fiscal Year 2022, the CASC program will continue its research in the areas of wildlife and plants, drought, fire and extreme weather, wildlife and plants, water, coasts and ice, landscapes, native communities, and science tools for managers.

CRITERIA FOR SELECTING PROPOSALS:

Application proposals will be reviewed by the appropriate geographical DOI CASC Center Director and/or the headquarters NCASC Chief to ensure projects fall within the authorized program purpose. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Department of the Interior. Applications will be funded only to the extent permitted by available funds.

15.875 Economic, Social, and Political Development of the Territories

FEDERAL AGENCY:

INSULAR AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

The Guam Organic Act, 64 Stat. 384, 48 U.S.C. 1421 et. seq., Act of February 20, 1929, 48 U.S.C. 1661; Executive Order 10264; Public Law 83-517, 48 U.S.C. et. seq.; Public Law 94-241, Public Law 104-134, Public Law 108-188, and Public Law 99-658.,

OBJECTIVES:

The Office of Insular Affairs will pursue the Departments mission of Fulfilling Our Trust and Insular Responsibilities by executing activities which bolster healthcare capacity, strengthen island economies, and fulfill U.S. Compact obligations. OIA carries out the Secretarys responsibilities for U.S.-affiliated insular areas. These include the territories of American Samoa, the Commonwealth of the Northern Mariana Islands (CNMI), Guam, and the U.S. Virgin Islands (USVI). Additionally, OIA administers and oversees Federal assistance to three freely associated states (FAS): the Federated States of Micronesia (FSM), the Republic of the Marshall Islands (RMI) and the Republic of Palau (Palau).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use, Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is limited to the U.S. territories, the Freely Associated States and to non-profit organizations whose missions directly benefit these seven insular areas. A notable exception is the state of Hawaii which receives Compact Impact aid mandated by Public Law 108-188 and Brown Treesnake Control Program funding. Financial assistance is used for a variety of activities including capital improvements, government operations, and technical assistance.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals) Eligible applicants are the U.S. territories of American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, and the U.S. Virgin Islands; the state of Hawaii; the Freely Associated States of the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of

Palau; and any non-profit institutions/organizations whose missions directly benefit the seven insular areas.

Beneficiary Eligibility:

U.S. Territories; State; Local; Public nonprofit institution/organization; Beneficiaries are the U.S. territories of American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands; the state of Hawaii; and the Freely Associated States of the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Credentials/Documentation:

Not applicable. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement or an environmental impact assessment may be required depending on the project grant. All proposed projects shall be reviewed for applicability.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures vary. Please contact the Headquarters Office for specific information. The standard SF-424 forms are required for project grants with the exception of projects funded by the Compacts of Free Association. Please review Grants.gov and GrantSolutions.gov for more information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A grant award is made to an eligible entity in the specific amounts and for the specific purposes set forth in the annual Department of the Interior and Related Agencies Appropriation Act signed by the President. Grants are awarded and distributed through GrantSolutions.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law 108-188. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching Requirements: The majority of OIA's financial assistance programs do not have a matching requirement. The two exceptions are the American Samoa Capital Improvement Project Operations and Maintenance Set-Aside Program, which has a 5% local match requirement, and the Maintenance Assistance Program (MAP). However, match requirements are left to the discretion of OIA and may be waived as appropriate. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for OIA grants is dependent on the activity to be funded and is specified in the grant terms and conditions. Method of awarding/releasing assistance: other

Reports:

Narrative progress reports are required as dictated by the grant terms and conditions. A final report is due within 90 days of grant completion or termination. The SF-425 Federal Financial Report is required as directed by the grant terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits of the three Freely Associated States, when receiving funding under the Compacts of Free Association, are governed by those compacts (Public Law 108-188 and Public Law 99-658) and their related agreements. Financial assistance awards are also subject to inspection and audit by Interior's Office of the Inspector General, OIA staff, or any other authorized representative of the Federal government.

Records:

Record retention requirements are, at a minimum, the same as those contained in 2 CFR 200 and those dictated by the Compacts of Free Association (Public Law 108-188 and Public Law 99-658) and their related agreements (as applicable).

Account Identification:

14-0418-0-1-806. 14-0415-0-1-806. 14-0412-0-1-806. 14-0414-0-1-806.

Obligations:

Project Grants FY 20 \$391,252,000.00; FY 21 est \$342,701,000.00; FY 22 est \$353,105,000.00; Direct Payments for Specified Use FY 20 \$342,527,000.00; FY 21 est \$302,000,000.00; FY 22 est \$331,000,000.00;

Range and Average of Financial Assistance:

There is no minimum or maximum award. OIA financial assistance awards range from a few thousand dollars to tens of millions annually. The average award amount varies annually.

TAFS Codes:

14-0412. 14-0415. 14-0418. 14-0414.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: OIA financial assistance has enabled the seven insular areas to develop economically, socially, and politically helping to empower these communities. Recent accomplishments include the construction of classrooms, the installation of software to enhance government accountability, and the purchase of public safety equipment such as fire trucks, ambulances, school buses, and waste collection trucks. In FY21 approximately 120 awards will be made. Fiscal Year 2022: Information not available. In FY22, the program anticipates issuing approximately 120 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200

Regional or Local Office:

None.

Headquarters Office:

Aimee Munzi,

Department of the Interior, Office of Insular Affairs, 1849 C Street, NW, Mail Stop 2429,

Washington DC 20240

Email: Aimee_Munzi@ios.doi.gov

Phone: (202)208-3913

Website Address:

<http://www.doi.gov/oi>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of funded projects include: Energizing Insular Communities (EIC) Program : American Samoa; \$1.2M the Island of Aunu'u 100% Renewable Energy: To install solar photovoltaic (PV) and battery storage systems to replace the existing diesel generation system to power the island of Aunu'u by 100% renewable energy. Capital Infrastructure Project (CIP): Guam; \$3.5M for Guam Memorial Hospital Authority (GMHA) HVAC A&E and Replacement: Design and construction services for the removal and replacement of GMHA's hospital-wide HVAC System and associated components. Technical Assistance Program (TAP): Commonwealth of the Northern Mariana Islands (CNMI); \$334,360 to the Commonwealth Healthcare Corporation for Advancing Mammography Equipment; Procurement of a new full-field digital mammography system with tomosynthesis for CHC's Department of Radiology. Maintenance Assistance Program (MAP): U.S. Virgin Islands (USVI); \$535,000 to the VI Waste Management Authority for

Specialty Equipment and Training. Procurement of specialty vehicles and personnel training for wastewater infrastructure. Compact Grant Program: Republic of the Marshall Islands; \$500,000 RMI Ministry of Finance, Funding for RMI 2020 Single Audit Assistance. 2021 Operational support to a local government and grants for the construction of basic infrastructure including marina renovations, solid waste facility construction, power generation improvements, invasive species control tool development, coral reef protection, public safety equipment, staff training, and construction of basic infrastructure. 2022 No information available, but similar projects to past years are anticipated.

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded: (1) Directed appropriations, (2) Relevance to program priorities, and (3) Availability of funds. Competitive criteria are detailed in the funding opportunity announcements on Grants.gov under CFDA 15.875.

15.876 Experienced Services

ESP, Older Workers Program,

FEDERAL AGENCY:

IBC HUMAN RESOURCES (00067), INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 116th-116-94

OBJECTIVES:

The Experienced Services Program (ESP) will provide services to the Department of the Interiors Bureaus and Offices on programs and projects that support the Departments mission. ESP participants will provide technical assistance and support to DOI Bureaus and Offices in the development and execution of activities that benefit the general public and provide support to DOI employees. The ESP will provide opportunities to qualified individuals 55 years of age and older, who have specific technical skills and abilities not available or underrepresented at current DOI staffing levels. The ESP participants will not replace or displace current DOI employees.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will use funding to cover overhead or administrative costs to fulfill its obligations under the cooperative agreement, as well as salary and benefits for enrollees. Overhead or administrative costs may include payroll and benefits management, required reporting, and providing interactive portal for enrollee supervisors, among others.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private, nonprofit organizations designated by the Secretary of Labor under title V of the Older Americans Act of 1965, which may then recruit and provide enrollees age 55 years or older who qualify for positions based on approved position descriptions provided by the contracting, Federal agency.

Beneficiary Eligibility:

Anyone/general public; Senior Citizen (60+); Individuals (Americans, age 55 years and older) who qualify for temporary positions, as described in approved position descriptions provided by the contracting, Federal agency. These individuals may include Federal pension recipients.

Credentials/Documentation:

The authorizing statute requires the applicant private, non-profit organization be certified by the Department of Labor under Title V of the Older Americans Act, 42 U.S.C. 3056-3057. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

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Requirements for Federal Awards applies to this program. Applications must include all required Standard Forms (SF), including a Project Proposal, a Budget Detail, and a copy the applicant's approved federal agency Negotiated Indirect Cost Rate Agreement (NICRA), if applicable. Other required documents: SF-424, Application for Federal Assistance; SF-424A, Budget Information-Non-Construction Programs; SF-424B Assurances-Non-Construction Programs; Proof of establishment under the Secretary of Labor, Title V of the Older Americans Act of 1965; Financial Assistance Evaluation Questionnaire (if applicable). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Federal Agency with final approval authority for the application is the U.S. Department of the Interior (DOI). The agency conserves and manages the Nations natural resources and cultural heritage for the benefit and enjoyment of the American people, provides scientific and other information about natural resources and natural hazards to address societal challenges and create opportunities for the American people, and honors the Nations trust responsibilities or special commitments to American Indians, Alaska Natives, and affiliated island communities to help them prosper. P.L. 116-94 Further Consolidated Appropriations Act, 2020, Section 111 authorizes Department of the Interior bureaus to establish employment programs through which its programs and regions can seek and select qualified candidates (enrollees) for a diverse range of temporary assignments. Funding from the individual project, program or region will be applied to the costs of retaining the enrollee. The recipient(s) of the financial assistance award(s) made under this program will be private, nonprofit organizations that qualify under Title V of the Older Americans Act. Selected recipients will recruit candidates for DOI Bureaus/Offices, based on approved position descriptions, manage payroll and benefits for enrollees, and provide reporting, administrative, and other functions to support the program per the cooperative agreement between it and DOI.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Not Applicable. This program will fund any approved renewal application as new award, not as an amendment to an existing award. Recipients may request approval for budget and project plan revisions of funded projects, including period of performance and reporting due date extensions, in accordance with 2 CFR 200.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This is a new program. Method of awarding/releasing assistance: Program will obligate funds and send a notice of award to successful applicants. Recipients request awarded funds as needed and in accordance with 2 CFR 200, unless otherwise dictated by program-specific legislation/regulation or special award terms. Program will include any special award terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Cash reports are required. Recipients must report Federal award cash receipts and disbursements on the SF-425, Federal Financial Report. Progress reports are required. Recipients of awards with performance periods longer than 12 months are required to submit progress reports, which includes submission of interim performance reports and interim SF-425, Federal Financial Reports. Interim progress reporting may be required annually, semiannually, or quarterly. Expenditure reports are required. Recipients must report award expenditures on the SF-425, Federal Financial Report. A final SF-425, Federal Financial Report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award. Performance

reports are required. Recipients must monitor and report on project performance in accordance with the requirements in 2 CFR 200.328. A final performance report is due within 90 calendar days of the award period of performance end date, unless the awarding program approves a due date extension. The FWS details all reporting requirements including frequency and due dates in Notices of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with 2 CFR 200. Program-specific legislation/regulation may dictate additional records retention requirements. Program will detail all non-standard records retention requirements in the notice of award.

Account Identification:

14-0102-0-1-000.

Obligations:

Cooperative Agreements FY 19 \$0.00; FY 20 est \$5,000,000.00; and FY 21 est \$5,000,000.00;

Range and Average of Financial Assistance:

\$0-\$5 million

TAFS Codes:

14-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Bureau of Land Management selected eight new project positions in FY2020 for a total of 43 enrollees on board. At the U.S. Fish & Wildlife Service, two applications were submitted. A number of National Park Service parks and programs used funding to recruit eligible participants to move the mission of NPS forward. Participants assisted with administrative, interruptive, facilities and other areas within NPS. Fiscal Year 2021: The Bureau of Land Management selected 20 new project positions in FY2021 for a total of 52 enrollees on board. At the U.S. Fish & Wildlife Service, two awards were issued. A number of National Park Service parks and programs used funding to recruit eligible participants to move the mission of NPS forward. Participants assisted with administrative, interruptive, facilities and other areas within NPS.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Kelly Kim,
1849 C Street NW,
Washington DC 20240
Email: kelly_kim@ios.doi.gov

Phone: 202-208-4781

Fax:

Website Address:

<https://www.doi.gov/hr>

RELATED PROGRAMS:

15.011 Experienced Workers Support Services Activities; 15.682 Experienced Services; 15.239 Management Initiatives;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. First Level Screening Basic Eligibility: Ensures that applicants meet basic eligibility requirements, such as meeting program and/or legislative authority requirements, timing of submission, and complete and properly executed application package. 2. Second Level Evaluation Merit Review Evaluation: Uses merit review criteria with numerical scoring based on a 100-point maximum score, as follows: Mission and Objective (20/100 points); Knowledge, experience and resources for recruiting qualified enrollees (25/100 points); Applicants technical

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approach (25/100 points), including how the proposed objectives will be achieved within the proposed period of performance; significant goals or milestones; tasks and relationships of partners, if applicable; Qualifications/past performance (25/100); Planning, collaboration and evaluation (10/100 points); Leveraging of resources (5/100 points). 3. Third Level Review Pre-Award Clearance and Approvals: a business evaluation and determination of responsibility including: risk management, financial stability, history of performance managing Federal awards, and budget review, etc. 4. Application Selection Process: Applications eligible for merit review will be evaluated by an ad hoc evaluation team assembled to review, rate, rank, and recommend applications for award using the above evaluation criteria. 5. Criteria are not based on cost of the project, but on responsible, effective use of funds. 6. Criteria are based on qualifications of the principal investigator and institution, relevance to programs objectives, merit, and other qualities.

15.904 Historic Preservation Fund Grants-In-Aid

(HPF),

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

National Historic Preservation Act, PL 54 USC 301 et seq., 16 USC Code 470.

OBJECTIVES:

To provide FORMULA grants to States, Tribes, Territories, the Freely Associated States, the District of Columbia, Certified Local Governments, and other applicants as defined by Congress, to assist in the identification, evaluation, and protection of historic properties by such means as education, survey, planning, technical assistance, preservation, documentation, and financial incentives like grants and tax credits available for historic properties. To provide PROJECT grants to eligible grantees to provide for the identification, evaluation, and protection of historic properties as defined by Congress.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

FORMULA Grants can directly finance staff salaries, equipment, and materials, and travel necessary to accomplish required program area purposes. Awarded funds may be transferred (and for States 10% must be) to third parties to carry out historic preservation activities such as surveys, preservation plans, National Register nominations, architectural plans and specifications, historic structures reports, and engineering studies necessary to restore or repair properties listed on the National Register of Historic Places. In accordance with 54 USC 302902., as amended, grantees must agree to assume, after completion of a rehabilitation project, the cost of the continued maintenance, repair, and administration of the property in a manner satisfactory to the Secretary. PROJECT grants assist in the identification, nomination, evaluation, and protection of historic properties as defined by Congress and the National Historic Preservation Act. ALL funded projects must follow the Secretary of the Interior's Standards for Archeology and Historic Preservation (36 CFR 78). Major reconstruction is not eligible, and rarely acquisition.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); FORMULA grants: States, Territories and Tribes as defined in the 54 USC 301 et seq (commonly known as the National Historic Preservation Act), operate programs administered by a State Historic Preservation Officer or Tribal Historic Preservation Officer appointed by the Governor, Tribal government or according to State or Tribal law, and which are otherwise in compliance with the requirements of the Act. PROJECT grants: Eligible tribal applicants include Federally recognized Indian Tribes, Alaska Native Corporations, and Native Hawaiian organizations. Other project grants follow eligibility as defined by Congress and stated in statute or committee language.

Beneficiary Eligibility:

Individual/Family; Land/Property Owner; State; FORMULA grants: State, Tribal

and local governments, public and private nonprofit organizations, and individuals. According to their own priorities and plans, States and Tribes select their own projects and may sub-grant to public and private parties, including local governments, nonprofit and for-profit organizations, and/or individuals to accomplish program objectives. At least ten percent of each States annual appropriation must be sub-granted to local governments certified as eligible to carry out preservation functions according to 36 CFR 61. PROJECT grants: State, Tribal, Local governments, public and private nonprofits, and federal agencies as defined by Congress.

Credentials/Documentation:

FORMULA grants: Each State must have a State Historic Preservation Officer, qualified Review Board, employ professionally qualified staff, and maintain an approved statewide historic preservation plan in accordance with 36 CFR 61. Each Tribe must have a Tribal Historic Preservation Officer and qualified staff. Each State or Tribe must administer the agreed upon program areas as required by NPS. PROJECT grants: as defined by Congress. All grants: 2 CFR 200, in its entirety, applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FORMULA grants: Application is made by the States and Tribes, and other eligible entities in the form of planned activities scope of works, and budgets through Grants.gov. States submit additional information through an online data collection system under OMB control number 1024-0038, Expiration Date: January 21, 2021. FORMULA AND PROJECT grants: Standard 424 series is required and other approved OMB grant forms as necessary. Funding announcements for all grants under this CFDA, along with application procedures, packages and instructions, points of contact and procedures for submitting applications are made available on www.grants.gov.

Award Procedure:

An annual appropriation is allocated by Congress to the National Park Service under the Historic Preservation Fund. FORMULA grants: Annual funding for States and Tribes is awarded through an approved apportionment formula. PROJECT grants: Competitive grants are awarded competitively based on review of applications received, requested amounts, available appropriations, direction of Congress and the National Historic Preservation Act.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type, complexity, and number of applications received. A representative range would be 60 days dependent on any additional review by the Department.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable. FORMULA Grants to States and Tribes are for 2 years, subject to Use or Lose procedures established by the National Park Service. In rare instances extensions are granted past the two years. PROJECT Grants are renewed or extended on a case by case basis should more time for the project be warranted.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory.

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Matching Requirements: Grants are awarded at a ratio of 60 percent Federal, 40 percent State (public and/or private funds and/or allowable in-kind donations). American Samoa, Guam, Commonwealth of Northern Mariana Islands, Federated States of Micronesia, Republic of Palau, Republic of Marshall Islands and Virgin Islands are exempt from matching share per Public Law 96-205. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grantees receive their funding electronically by accessing draw downs from the ASAP system operated by the US Treasury. Method of awarding/releasing assistance: other

Reports:

As part of the SF425 Financial Report is mandatory for each required reporting period. FORMULA grants: States and Tribes an annual performance report is required comparing planning accomplishments with actual results. PROJECT grants; a minimum of semi-annual performance report is required comparing planning accomplishments with actual results. A SF425 Financial Report is mandatory for each required reporting period. As part of the progress reporting described above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

14-5140-0-2-303.

Obligations:

Formula Grants FY 20 \$98,269,149.00; FY 21 est \$100,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Range \$50,000.00 - \$1,400,000.00 Average \$290,000.000

TAFS Codes:

14-5140.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Grants were administered to State and Tribal governments, along with US territories. These governments make sub-grants based on approved plans.

Fiscal Year 2021: Grants were administered to State and Tribal governments, along with US territories. These governments make sub-grants based on approved plans.

REGULATIONS, GUIDELINES, AND LITERATURE:

2CFR200; Historic Preservation Fund Grant Manual; National Historic Preservation Act PL 301 et seq.; NPS Preservation Briefs series; NPS Preservation Tech Note Series; and NPS National Register Bulletin series. Program regulations are specified in Volume 36 of the Code of Federal Regulations. Program standards are found in "The Secretary of the Interior's Standards and Guidelines for Archeology and Historic Preservation."

Regional or Local Office:

None.

Headquarters Office:

State, Tribal, Local, Plans & Grants Division Megan Brown,
National Park Service Department of Interior 1849 C Street NW Mail Stop 7360,
Washington DC 20005
Email: stlpg@nps.gov
Phone: (202) 354-2020

Website Address:

<http://www.nps.gov/stlpg>

RELATED PROGRAMS:

15.929 Save America's Treasures;

EXAMPLES OF FUNDED PROJECTS:

2020 State and Local Preservation Grants The HPF grants fund preservation programs at state offices and ensure support of local preservation with a required 10% pass through to Certified Local Governments via competitive subgrants.

Examples of the diversity of work accomplished with this annual funding include: In Schoharie, NY, a local dentist, Dr. Hazem Elbially, used a combination of state and federal historic preservation tax credits to rehabilitate the historic Marshall D. Bice House, which was originally built in 1868 alongside the Shoharie Creek and sustained flood damage in 2011. Coupling tax credits with assistance from FEMA, repairs and rehabilitation involved listing in the state and national registers of historic places, state and federal historic rehabilitation tax credits, and a careful design approach that balanced the historic property with the needs of an active dental practice, including ADA. The Tennessee Historical Commission used HPF funding to assist the City of Clarksville, a Certified Local Government since 1985, with a survey of their downtown historic resources. One of the sites documented and surveyed was the Customs House, built in 1898, and now serving as the city's cultural center and museum. Tribal Preservation Grants The HPF grants fund tribal preservation programs, assists tribes in the preservation of their cultural heritage, and promotes the protection of historically significant sites. Examples of the diversity of work accomplished with this annual funding include: The Reno-Sparks Indian Colony THPO used the traditional art of Steve Nighthawk to produce a COVID-19 Public Art Health Safety Message (Mural) on tribal lands. The THPO contracted with a photographer to show the painting creation from beginning to end, along with a short video, to preserve this traditional art and promote cultural awareness for the Tribe. The Inchelium Complex Fires, started on the Colville Reservation and burned over 18,000 acres, threatening sites like Julia, a historic mining site from the 1900s. The Confederated Tribes of the Colville Reservations THPO staff worked as part of the Structure Protection Crew to help with the wrapping process of historic sites and ensuring they were not disturbed more than necessary. 2021 Based on a designated formula each states, tribes and territories receive funding which is then distributed in sub-grants based. Projects funded are approved by the State, Tribal, Territorial Historic Preservation Office.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria are included in the funding announcements posted on www.grants.gov. Each State selects sub-grant proposals for funding in accordance with its own priorities within federal requirements.

15.912 National Historic Landmark

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 74-292, 16 U.S.C. 461 et seq. The Historic Sites Act of 1935; 16 U.S.C. 470 National Historic Preservation Act; 54 U.S.C. 320102 American Antiquities Act,

OBJECTIVES:

The Secretary of the Interior, through the National Park Service, for the purpose of effectuating the policy established by the Historic Sites Act of 1935, has the following powers and performs the following duties and functions: a) preserve for public use historic sites, buildings, and objects of national significance for the inspiration and benefit of the people of the United States; b) make a survey of historic and archaeological sites, buildings, and objects for the purpose of determining which possess exceptional value as commemorating or illustrating the history of the United States; c) make necessary investigations and researches in the United States relating to particular sites, buildings, or objects to obtain true and accurate historical and archaeological facts and information concerning the same; and d) erect and maintain tablets to mark or commemorate historic or prehistoric places and events of national historical or archaeological significance.

TYPES OF ASSISTANCE:

Advisory Services and Counseling

USES AND USE RESTRICTIONS:

Properties recognized as National Historic Landmarks will, upon application by the owner or administrator, be awarded a bronze plaque attesting to the property's national significance. At the same time, the applicant is requested to observe certain simple preservation precepts with regard to the property, but is not required by law to do so. Should subsequent occurrences alter the historic qualities of the property, National Historic Landmark status may be revoked by the Secretary of

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the Interior and the plaque removed. Automatic listing of National Historic Landmark properties in the National Register of Historic Places affords a degree of Federal protection under Section 106 of the Historic Preservation Act of 1966, as amended, and fulfills one of the prerequisites for Federal assistance under the National Register grants-in-aid program (see 15.904). Section 110(f) of the act directs that National Historic Landmarks be afforded additional consideration when a project using federal monies, licensing, or permitting may adversely affect a resource. Certain National Historic landmarks are also made eligible for Federal tax benefits and protections and technical preservation assessment and assistance.

Applicant Eligibility:

Anyone/general public; Property owners and general public.

Beneficiary Eligibility:

Federal; Individual/Family; Private nonprofit institution/organization; Anyone/general public; Land/Property Owner; Public nonprofit institution/organization; Anyone may suggest that a property be considered for inclusion in an appropriate National Historic Landmark theme study, provided the property has a high degree of historic integrity and potential national significance with relation to some broad facet of American history. The owner of the property may be an individual, government, or corporate body. Properties of only State or local significance do not qualify.

Credentials/Documentation:

Request should be supported by full discussion of national significance of property and documented integrity. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The process for nominating and designating a National Historic Landmark is outlined in 36 CFR 65.5. National Historic Landmarks staff works with proponents to identify properties with potential national significance that appear to meet the criteria for National Historic Landmark designation (36 CFR 65.4). These properties are nominated for designation using the National Historic Landmarks nomination form (NPS Form 10-934), according to National Park Service guidance on preparing a nomination. Finished nominations are presented to the National Park System Advisory Board and its subcommittee, the National Historic Landmarks Committee. The National Park System Advisory Board reviews nominations before making a recommendation to the Secretary of the Interior. The Secretary considers the Advisory Boards recommendations and decides whether to designate a nominated property as a National Historic Landmark.

Award Procedure:

When a nominated property is investigated, findings, in the form of a completed National Historic Landmark nomination (NPS Form 10-934), are presented to the National Park System Advisory Board, which evaluates the property according to the criteria for National Historic Landmark designation (36 CFR 65.4) and recommends a final action to the Secretary of the Interior. Owners of nominated National Historic Landmarks are contacted prior to a property's nomination, given an opportunity to comment, and notified after a property's designation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval/disapproval times are determined by the scheduling of bi-annual National Park System Advisory Board meetings, and its subcommittee the National Historic Landmarks Committee.

Appeals:

Other. The National Park Service seeks owner comments and approval to designate a nominated property prior to meetings of the National Park System Advisory Board. Advisory Board meetings are open to the public. The National Historic Landmark appeals process is outlined in the program regulations (36 CFR 65.10).

Renewals:

Other. NONE

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is provided when requested. Method of awarding/releasing assistance: other

Reports:

National Park Service personnel make periodic inquiries and inspections to determine continuing eligibility of National Historic Landmark properties and to identify those which exhibit known or anticipated damage or threats to the integrity of their resources.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

NA.

Account Identification:

14-1042-0-1-303.

Obligations:

Advisory Services and Counseling FY 20 \$0.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

This is a non-monetary program. Funding supports staff time and materials.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

List of National Historic Landmarks available upon request. Regulations (36 CFR 65, et seq.) were published in the "Federal Register" on February 2, 1983. Copies of regulations also available upon request. Upon request, a bulletin on "How to Prepare National Historic Landmark Nominations" is available.

Regional or Local Office:

None.

Headquarters Office:

National Historic Landmarks Program Manager Sherry Frear,
National Park Service, 1849 C Street NW (mail stop 7228),
Washington DC 20240
Email: sherry_frear@nps.gov
Phone: (202) 354-2003

Website Address:

<https://www.nps.gov/nhl/>

RELATED PROGRAMS:

15.914 National Register of Historic Places; 15.915 Technical Preservation Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Program criteria are not based on: cost of the project, qualification of principal investigator or institution, merit or a combination of these considerations. Criteria (cited in greater detail in 36 CFR 65.4) require that a historic property be a nationally significant district, site, building, structure or object that possess exceptional value or quality in illustrating or interpreting the heritage of the United States in history, architecture, archeology, engineering and culture, and that possesses a high degree of historic integrity of location, design, setting, materials, workmanship, feeling, and association.

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15.915 Technical Preservation Services

TPS,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

National Historic Preservation Act of 1966, as amended, Public Law 89-665, 80 Stat. 915, 16 U.S.C. 470, et seq.; Executive Order 11593, May 13, 1971,

OBJECTIVES:

The Technical Preservation Services office (TPS) of the National Park Service provides standards, guidance, technical preservation information, and assistance on preserving and rehabilitating historic buildings, including the "Secretary of the Interior's Standards for the Treatment of Historic Properties," which are widely used at the federal, state, and local levels by government agencies, property owners, nonprofit organizations, real estate and design professionals, construction and building trades, and the general public.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Dissemination of Technical Information

USES AND USE RESTRICTIONS:

Standards, guidance, and technical preservation information on preserving and rehabilitating historic buildings is used by federal, state, and local agencies, property owners, non-profit organizations, developers, real estate and design professionals, construction and building trades, and the general public for the care and treatment of historic buildings. The Secretary of the Interior's Standards for the Treatment of Historic Properties, and the Standards for Rehabilitation of Historic Buildings in particular, have been widely used and adopted at the federal, state, and local levels of government.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public can use this program.

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; Land/Property Owner; State; Local; Public nonprofit institution/organization; Anyone/general public can use this program.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NA

Award Procedure:

NA

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Standards, guidance, and technical preservation information is available on-line, for purchase from the Government Printing Office, or otherwise provided upon request. Method of awarding/releasing assistance: other

Reports:

Completed rehabilitation work must be documented in photographs submitted with the Request for Certification Work (NPS Form 10-168c). Completed rehabilitation work must be documented in photographs submitted with the Request for Certification Work (NPS Form 10-168c). Completed rehabilitation work must be documented in photographs submitted with the Request for Certification Work (NPS Form 10-168c).

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

NA

Account Identification:

14-1042-0-1-303.

Obligations:

Advisory Services and Counseling FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

This is a non-monetary program that provides technical assistance to the public.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Guidelines on Flood Adaptation for Rehabilitating Historic Buildings developed. Fiscal Year 2021: Over 150 TPS publications available on various technical preservation and conservation topics, as well as other guidance, information, and educational materials on the maintenance, preservation, and rehabilitation of historic buildings.

REGULATIONS, GUIDELINES, AND LITERATURE:

Standards, guidelines, and technical preservation information on preserving and rehabilitating historic buildings is available at <http://www.nps.gov/tps/>.

Regional or Local Office:

See Regional Assistance Locations. The National Park Service makes the program information available in partnership with the State Historic Preservation Offices (SHPOs) and the Certified Local Governments (CLGs) program. Contact information for the SHPOs is available at <http://ncshpo.org/directory/>. The SHPOs can also provide contact information on CLGs in their respective states.

Headquarters Office:

Technical Preservation Services Brian Goeken,
National Park Service, 1849 C Street, NW, Mail Stop 7243,
Washington DC 20240
Email: brian_goeken@nps.gov
Phone: (202) 230-2960

Website Address:

<http://www.nps.gov/tps/>

RELATED PROGRAMS:

15.914 National Register of Historic Places; 15.961 Federal Historic Preservation Tax Incentive;

EXAMPLES OF FUNDED PROJECTS:

2021 An on-line index to this information is available at <https://www.nps.gov/tps/how-to-preserve/by-topic.htm>.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.916 Outdoor Recreation Acquisition,

ASSISTANCE LISTINGS DESCRIPTIONS

Development and Planning

LWCF,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

54 U.S.C. 200305 Land and Water Conservation Fund, Assistance to States.,

OBJECTIVES:

To provide financial assistance to the States and their political subdivisions project that are included in Statewide Comprehensive Outdoor Recreation Plans (SCORPs) and acquisition and development of outdoor recreation areas and facilities for the general public, to meet current and future needs.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Land acquisition and development grants may be used for a wide range of outdoor recreation projects, such as picnic areas, inner city parks, campgrounds, tennis courts, boat launching ramps, bike trails, swimming pools, playing fields, and support facilities such as roads, water supply, etc. Facilities must be open to the general public and not limited to special groups. Every park or outdoor recreation area acquired or developed with assistance under this program must remain available and accessible for public outdoor recreation use forever. Development of basic rather than elaborate facilities is favored. Operation and maintenance of facilities are not eligible expenses for funding. States only are also eligible to apply for grants to revise and update existing SCORPs; prepare new SCORPs; conduct statewide surveys, technical studies, data collection and analysis; and for other planning purposes that are clearly related to SCORP refinement and improvement.

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Anyone/general public; For planning grants, only the State agency formally designated by the Governor or State law as responsible for the preparation and maintenance of the SCORP is eligible to apply. (Treated as States for this purpose are the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, the Northern Mariana Islands, and Guam.)For acquisition and development grants, the above designated agency may apply for assistance for itself, or on behalf of other State agencies or political subdivisions, such as cities, counties, and park districts. Additionally, Indian tribes that are organized to govern themselves and perform the functions of a general purpose unit of government qualify for assistance. Individuals, nonprofit organizations, and private organizations are not eligible. The general public. For planning grants, same as Applicant Eligibility. The State Liaison Officer (SLO), who is appointed by the Governor or designated in State legislation to administer the program in the State or Territory, must provide assurance that the project is consistent with the SCORP; i.e., that it meets high priority recreation needs shown in the action program portion of the Plan. The State must have a sufficient balance of LWCF funding and the required match available for the project. The sponsoring agency must permanently dedicate the project to public outdoor recreation and assume responsibility for its operation and maintenance. SCORPs must cite the State's legal authority to participate in the Land and Water Conservation Fund program. 2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Beneficiary Eligibility:

Anyone/general public; The general public. For planning grants, same as Applicant Eligibility.

Credentials/Documentation:

The State Liaison Officer (SLO), who is appointed by the Governor or designated in State legislation to administer the program in the State or Territory, must provide assurance that the project is consistent with the SCORP; i.e., that it meets high priority recreation needs shown in the action program portion of the Plan. The State must have a sufficient balance of LWCF funding and the required match available for the project. The sponsoring agency must permanently dedicate the project to public outdoor recreation and assume responsibility for its operation and maintenance. SCORPs must cite the State's legal authority to participate in the

Land and Water Conservation Fund program. 2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The SLO has the prerogative for initial determination of project eligibility, priority need, and order of fund assistance within the State. All project proposals are submitted to the National Park Service by the SLO. Environmental impact information is required for this program; a screening form is used to determine whether an EA or EIS is necessary.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Proposals selected by the State for sub-award and forwarded to NPS must be accompanied by the standard federal application forms required by 2 CFR Part 200.

Award Procedure:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year. All grants are made to the State lead agency and if applicable passed through to the project sponsor, whether State or local government.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 60 days from the time the State submits the final application to the NPS. However, the state itself may have processes that add to that time.

Appeals:

Other. State may appeal to the Secretary of the Interior.

Renewals:

Other. Project agreements may be amended to change the scope, funding amount, or duration. Must be approved by the National Park Service.

Formula and Matching Requirements:

Statutory Formula: Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching Requirements: The Land and Water Conservation Fund Act requires that the share of federal funds not exceed 50 percent of the total project cost. Generally, match must be derived from State, local, or private sources, but under certain conditions all or part of the project sponsor's matching share may be from other Federal assistance programs, such as Community Development Block Grants, when such use is allowable under the other programs sponsoring legislation. Otherwise, no other Federal funds may be applied to the project cost, even if all matching requirements are met. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for obligation during the fiscal year in which they are appropriated and for the two following fiscal years. The assistance period for individual projects varies and may be extended but on average is three years. Complex projects may be broken down into stages, with one being initially approved and the remainder qualified for activation at a later date. Except for project preparation costs, all costs must be incurred within the project period to be eligible for reimbursement. Planning projects may not be phased. Method of awarding/releasing assistance: other

Reports:

All projects are monitored for progress through consolidated performance reports, which should be at least annually. State inspection reports are submitted every 5 years on completed projects stating whether the properties acquired and/or

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developed with fund assistance are still being used in accordance with the agreement. For planning projects, end products are specified in the application for assistance. Consolidated performance reports are required which should be at least annually. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 .

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-5035-0-2-303.

Obligations:

Project Grants FY 20 \$54,556,098.00; FY 21 est \$55,000,000.00; FY 22 est \$55,000,000.00;

Range and Average of Financial Assistance:

Range: \$5,000.00 - \$2,000,000.00 Average: \$200,000.00

TAFS Codes:

14-5035.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Project in all 50 states were funded that supported projects proposed in the state SCORP.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Part 59, FR 51 No. 186, September 25, 1986 (amended June 15, 1987). The Federal Financial Assistance Manual for the Land and Water Conservation Fund State Assistance Program (vol. 69, effective 10/1/2008)

Regional or Local Office:

See Regional Assistance Locations. Joel Lynch, joel_lynch@nps.gov, 202-354-6905 State and Local Assistance Program 1849 C Street, NW MS 1353 Washington DC 20240 http://www.nps.gov/nrcf/programs/lwcf/contact_list.html.

Headquarters Office:

Joel Lynch,
State and Local Assistance Program 1849 C Street, NW MS 1353,
Washington DC 20240

Email: joel_lynch@nps.gov

Phone: (202) 354-6905

Fax: (202) 371-5179

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Projects across all US states and territories include the purchase of lands for public recreational use. Seventy-five percent of the total funds obligated have gone to locally sponsored projects to provide close-to-home recreation opportunities that are readily accessible to America's youth, adults, senior citizens and the physically or mentally challenged. In addition to the thousands of smaller recreation areas, grants have helped to acquire and develop new parks of statewide or national significance such as the Allagash Wilderness Waterway (Maine), Liberty State Park (New Jersey), the Willamette River Greenway (Oregon), Platte River Park (Denver), Herman Brown Park (Houston), and Illinois Beach State Park (Chicago). The State side of LWCF is administered by the State and Local Assistance Programs Division.

CRITERIA FOR SELECTING PROPOSALS:

At the Federal level each project must be in accord with a State Comprehensive

Outdoor Recreation Plan. Beyond this, the selection of projects is made by the State Liaison Officer of each State who is responsible for the administration of the 15.916 program in his State. Each State has its own selection process and project rating system, which is approved by NPS.

15.921 Rivers, Trails and Conservation Assistance

Rivers and Trails; RTCA,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

16 Conservation USC Code 1241-51 The National Trails System Act.

OBJECTIVES:

The Rivers, Trails and Conservation Assistance Program implements the natural resource conservation and outdoor recreation mission of the National Park Service in communities across America. RTCA will help create local, regional and state networks of parks, rivers, trails, greenways and open spaces by collaborating with community partners and National Park areas in every state.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Cooperative Agreements, Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

NPS is helping communities improve parks, establish trails, access rivers, and protect special places.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit organizations and Federal, State and local government agencies.

Beneficiary Eligibility:

Private nonprofit institution/organization; Intrastate; State; Local; Private nonprofit organizations and Federal, State and local government agencies.

Credentials/Documentation:

Consulting an NPS RTCA program manager prior to application is a requirement to help identify how our expertise can complement your project and answer questions regarding applications. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Program advice and information is available from the appropriate NPS Regional Office or the National Office. Consultation with an RTCA staff before an application for assistance is made helps clarify RTCA roles and contributions and also helps identify how the selection criteria might apply to your conservation and outdoor recreation goals. Information is available electronically at <http://www.nps.gov/rzca> or you may contact the appropriate regional RTCA program leader.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline: June 30 Email completed applications to the Program Manager who serves your state or contact them for guidance on mailing a printed version (see list at the end of the application) Please include the following in your Application Package: 1. Completed application form 2. Site location map 3. A minimum of 3 commitment letters that describe each partner's role, responsibility, and contribution to the project 4. Optional supplemental information that can help us learn more about your project (for example, background documents, examples of media coverage, additional maps, list of links to resources, project photos, etc.) - Applicants will be notified of decisions before November 1. - Project start times will be coordinated with applicants who have been selected for assistance

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Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. July 1 to September 30 annually

Appeals:

Other. None.

Renewals:

Other. Renewals will be considered.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally 1 to 2 years. Method of awarding/releasing assistance: other

Reports:

SF-425, Federal Financial Report Program Performance Report

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

14-1042-0-1-303.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$220,717.00; FY 21 est \$225,000.00; FY 22 est \$225,000.00; Advisory Services and Counseling FY 20 Not Available; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

\$10,000 - \$60,000

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Six communities received funding for projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Visit www.nps.gov/orgs/rzca for more information, and instructions on applying for assistance.

Regional or Local Office:

See Regional Assistance Locations. Visit [www.nps.gov/orgs/rzca.contactus.htm](http://www.nps.gov/orgs/rzca/contactus.htm) for a listing of state contacts.

Headquarters Office:

Stephan Nofield,
1849 C Street, NW., (ORG CODE 2240),
Washington DC 20240

Email: Stephan_nofield@nps.gov

Phone: (202) 354-6922

Website Address:

<http://www.nps.gov/rzca>.

RELATED PROGRAMS:

15.916 Outdoor Recreation_Acquisition, Development and Planning; 15.962 National Wild and Scenic Rivers System ;

EXAMPLES OF FUNDED PROJECTS:

2020 Projects funded throughout the country included the Frederick Douglass Rail-Trail, Maryland Line Trail, Dueling Creek Heritage Trail, Monument Corridor Shared-use Path, Eagle River Restoration and Recreation Plan. 2021 NPS will provide communities with financial and technical assistance.

CRITERIA FOR SELECTING PROPOSALS:

In addition to selecting projects based on NPS capacity, funding, and staff skills, projects are evaluated by: Applications for support from the Rivers, Trails, and Conservation Assistance program are competitively evaluated based on the following criteria:- The project has specific goals and results for conservation and outdoor recreation expected in the near future.- Roles and contributions of project partners are significant and well-defined.- There is evidence of broad community support for the project.- The project fits with our focus areas (although proposals falling outside these areas are considered).

15.922 Native American Graves Protection and Repatriation Act

NAGPRA,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Native American Graves Protection and Repatriation Act, Pub.L. 101-601 10, 104 Stat. 3057, 25 U.S.C. 3008.,

OBJECTIVES:

To provide grants to museums to assist in the consultation on and documentation of Native American human remains and cultural items; to provide grants to Indian tribes and Native Hawaiian organizations, as defined in the Act, to assist in identifying human remains and cultural items; and to provide grants to museums, Indian tribes and Native Hawaiian organizations to assist in the repatriation of human remains and cultural items.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds must be used for CONSULTATION, DOCUMENTATION, or REPATRIATION under NAGPRA. Consultation and documentation projects should lead to determining control, treatment, and disposition of NAGPRA cultural items. Consultation projects support efforts related to compiling or revising a NAGPRA inventory and making or responding to requests for items in a NAGPRA summary. Documentation projects support determining the geographical origin, cultural affiliation, and other basic facts surrounding the acquisition of Native American cultural items. Repatriation means the transfer of control of Native American human remains and/or cultural items to lineal descendants, Indian tribes, and Native Hawaiian organizations. Repatriation includes disposition of culturally unidentifiable Native American human remains (CUI) according to 43 C.F.R. 10.11. Repatriation projects defray costs associated with the packaging, transportation, contamination removal, reburial, and/or storage of NAGPRA-related human remains and/or cultural items. The Notice of Funding Opportunity posted with the funding opportunity announcement has further information regarding eligible activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An eligible applicant is: A museum that has control of Native American human remains, funerary objects, sacred objects, or objects of cultural patrimony and has received Federal funds. The term Museum includes state or local government agencies, private institutions, and institutions of higher learning that have received Federal funds. An Indian tribe, Alaska Native village, or Native Hawaiian organization. An "Indian tribe" means any tribe, band, nation, or other organized group or community of Indians, including any Alaska Native village (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. The Department of the Interior has interpreted this definition as applying to over 560 Indian tribes and Alaska Native villages that are recognized by the United States Government. This list does not include Alaska regional or village

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corporations, although Alaska Native villages may subcontract work under grants to such corporations. A Native Hawaiian organization includes any organization that: a) serves and represents the interests of Native Hawaiians; b) has as a primary and stated purpose the provision of services to Native Hawaiians; and c) has expertise in Native Hawaiian Affairs. NAGPRA states that such Native Hawaiian organizations shall include the Office of Hawaiian Affairs.

Beneficiary Eligibility:

Native American Organizations; State; Local; Federally Recognized Indian Tribal Governments; Other public institution/organization; State, local, public nonprofit institution/organization, other public institution/organization, Federally Recognized Indian Tribal Government, Native American organization, American Indian.

Credentials/Documentation:

Eligible museums must have completed a written summary of their Native American collections and an inventory of Native American human remains and associated funerary objects in their collections to the National Park Service and the appropriate Indian tribes and Native Hawaiian organizations by the deadlines in 43 CFR Part 10. For repatriation grants, publication under 43 CFR Part 10 of a Notice of Inventory Completion or Notice of Intent to Repatriate in the Federal Register is required. Funding is not available for activities that occur prior to the submissions of the application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response to announcements on www.grants.gov are reviewed through a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov. Generally, applications are reviewed and approved or disapproved by the end of the Federal fiscal year.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project duration is generally one to two years. Payment will be made by advance or reimbursement through the Department of Treasury's Automated Standard Application for Payments (ASAP) system. Method of awarding/releasing assistance: other

Reports:

Program reports Cash reports Unless otherwise stated in the agreement document,

recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; (2) Program Performance Report; (3) Final Budget; and (4) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Per 2 CFR Part 200, Subpart F

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1042-0-1-303.

Obligations:

Project Grants (Discretionary) FY 20 \$1,842,777.00; FY 21 est \$1,900,000.00; FY 22 est \$1,900,000.00;

Range and Average of Financial Assistance:

Range: \$1,000.00 - \$90,000.00

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 30+ project were funded Fiscal Year 2021: Selections in process

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR Part 10, grants.gov and standard forms.

Regional or Local Office:

None.

Headquarters Office:

Notice and Grant Coordinator Sarah Glass,
National NAGPRA Program 1849 C Street NW Mail Stop 7360,
Washington DC 20240

Email: nagpra_grants@nps.gov

Phone: (202)354-2201

Website Address:

<http://www.nps.gov/nagpra/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Grants to Fund Repatriation Seven grants will fund the transportation and return of 50 cultural items, more than 24,000 funerary objects, and human remains representing 3,483 ancestors. The Tolowa Dee-ni Nation of Smith River, California, will carry out the Naa-ghee-xvlih (They Have Returned Home) Project. Tribal representatives will travel to the San Diego Museum of Man to take physical possession of nine funerary objects, as well as 50 cultural items considered to be sacred or tribal cultural patrimony. At the request of tribal elders and culture bearers, a conservator will conduct non-destructive testing to determine if the items were treated with any hazardous materials. The tribal delegation and museum staff will then prepare the items and construct appropriate containers for transport. Upon their return to Smith River, a ceremony will be held to welcome the cultural items home, and the funerary objects will be respectfully reinterred. Grants to Fund Consultation and Documentation Twenty-four consultation and documentation grants will fund museum and tribal staff travel, consultation meetings, and research, all in support of the repatriation process. In Kodiak, Alaska, the Alutiiq Museum and Archaeological Repository will undertake the Angilluki (Return Them) Project in collaboration with the Kodiak Alutiiq/Sugpiaq Repatriation Commission. Museum staff will research the collections of 32 institutions to gain more comprehensive information on NAGPRA-eligible Alutiiq remains and cultural objects. The information gathered will be added to the Museums NAGPRA database and summarized in a written report for the Commission, which will convene for an in-person meeting. As a result of these documentation and

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relationship-building efforts, the Commission will establish regional repatriation priorities and develop resources to support future repatriation planning and implementation. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that assist in the documentation and repatriation of Native American human remains, funerary objects, sacred objects, and objects of cultural patrimony.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov, and will include the following: Criterion A Objectives and Activities; Criterion B Partnerships; Criterion C Implementation/Administration; Criterion D - Impact/Evaluation

15.923 National Center for Preservation

Technology and Training

NCPTT,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

54 U.S.C. 305305 Department of Interior National Center for Preservation and Technology and Training Contract and Cooperative Agreement Authority.,

OBJECTIVES:

The purposes of the Center shall be to(1) develop and distribute preservation and conservation skills and technologies for the identification, evaluation, conservation, and interpretation of historic property;(2) develop and facilitate training for Federal, State, and local resource preservation professionals, cultural resource managers, maintenance personnel, and others working in the preservation field;(3) take steps to apply preservation technology benefits from ongoing research by other agencies and institutions;(4) facilitate the transfer of preservation technology among Federal agencies, State and local governments, universities, international organizations, and the private sector; and(5) cooperate with related international organizations including the International Council on Monuments and Sites, the International Center for the Study of Preservation and Restoration of Cultural Property, and the International Council on Museums.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The NCPTT supports preservation research, technology, and training in archeology, historic architecture, historic landscapes, objects preservation and materials conservation through cooperative agreements and grants. Projects that develop new technologies or adapt existing technologies to preserve cultural resources are considered for funding. Projects may include, but are not limited to: laboratory or field research that explores or assesses novel or adaptive methods; training activities, including workshops, webinars, instructional videos, and course or curriculum development that promote the use of new technology in preservation; documentation using new methods; manuscript, website or social media development that disseminates innovative preservation technologies; and meetings that convene experts to discuss the use of technologies to address preservation problems.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. universities and two and four year colleges; U.S. private nonprofit institution/organizations and quasi-public nonprofit institution/organizations that are directly associated with educational or research activity; Federal, State, local government agencies, Federally recognized Indian Tribal governments and their

Tribal Historic Preservation Offices; For-profit organizations and private individuals may submit proposals only in partnership with an eligible U.S. organization.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Public nonprofit institution/organization; Other public institution/organization; Anyone/General Public.

Credentials/Documentation:

Proof of nonprofit status is required of nonprofit organizations and institutions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Information is available upon request via mail, e-mail (ncptt@ncptt.nps.gov), or our website <http://www.ncptt.nps.gov>.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year. Reviews determine the feasibility of the proposed project, and consistency with National Park Service financial and policy requirements concerning the project's scope and purpose. Final award is by the Director of the National Park Service.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award. Applicants may reapply if a revised application is submitted in the subsequent competition.

Renewals:

Other. Renewal may be requested through a new, competing application. Extensions of time may be requested subject to acceptable work progress and reasons for the delay.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. 50% Recipient cost share is required under this program. Eligible match may be in-kind, federal or nonfederal cash. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects cannot exceed five years. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures SF-425, Federal

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Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1042-0-1-303.

Obligations:

Project Grants (Discretionary) FY 20 \$690,767.00; FY 21 est \$700,000.00; FY 22 est \$700,000.00;

Range and Average of Financial Assistance:

\$15,000 to \$500,000; The average funding for a grant or cooperative agreement is \$40,000.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Ten+ projects were funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Assistance Locations. Mary F. Striegel, Ph.D. Chief, Materials Conservation National Center for Preservation Technology and Training 645 University Parkway Natchitoches, LA 71457 318-356-7444 ex 256 officeMary_striegel@nps.gov

Headquarters Office:

Chief, Materials Conservation Mary Striegel, Ph. D., National Center for Preservation Technology and Training 645 University Parkway, Natchitoches LA 71457
Email: Mary_striegel@nps.gov
Phone: 318-356-7444 ex 256
Fax: (318) 356-9119.

Website Address:

<http://www.ncptt.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 2020 Preservation Technology and Training Grants (PTT Grants) are intended to create better tools, better materials, and better approaches to conserving buildings, landscapes, sites, and collections. The PTT Grants are administered by the National Center for Preservation Technology and Training (NCPTT), the National Park Services innovation center for the preservation community. The competitive grants program will provide funding to federal agencies, states, tribes, local governments, and non-profit organizations. PTT Grants will support the following activities: Innovative research that develops new technologies or adapts existing technologies to preserve cultural resources (typically \$20,000) Specialized workshops or symposia that identify and address national preservation needs (typically \$15,000 to \$20,000) How-to videos, mobile applications, podcasts, best practices publications, or webinars that disseminate practical preservation methods or provide better tools for preservation practice (typically \$5,000 to \$15,000) The maximum grant award is \$20,000. The actual grant award amount is dependent on the scope of the proposed activity. NCPTT does not fund bricks and mortar grants.

CRITERIA FOR SELECTING PROPOSALS:

The grants are awarded based on merit review. The criteria includes: Does the project relate to one of the Secretary of Interior's priorities? Does the project display preservation technology Innovation? Does the project meet a national need? How will it impact preservation practice? Is the methodology technically sound? Can the work plan be accomplished in a year? Does the project have a dissemination plan? Is the team qualified to undertake the work? The Selection Official may consider the

merit review recommendation, program policy factors, and the amount of funds available to select applications for funding. Program Policy factors 1. Geographic distribution 2. Leveraging of federal resources and partnerships (including cost sharing) 3. Equitable distribution of funding to a range of program objective

15.925 National Maritime Heritage Grants

Maritime Heritage Grants,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

National Maritime Heritage Act of 1994, P. L. 103-541, Sec. (4)(c).

OBJECTIVES:

To provide matching grants for preservation or education projects that foster a greater awareness and appreciation of the role of maritime endeavors in Americas history and culture. The grants will help State, Tribal, and local governments and private nonprofit organizations preserve and interpret their maritime heritage. It is designed to reach a broad audience and enhance public awareness and appreciation for the maritime heritage of the United States.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for education or preservation projects that promote the understanding of significant aspect of American maritime heritage. Projects that involve historic resources as defined in the National Historic Preservation Act, as amended, must comply with treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78), Standards for Historic Vessel Preservation Projects, and Standards for Archeology and Historic Preservation (48 FR 44716). The Grantee must grant a preservation easement or preservation agreement for each grant-assisted property. In general, the Grants Program does not fund projects which will train the individual maritime heritage professional to do his or her job better or assist in career recognition or promotion. This includes activities such as developing training or continuing education courses for the maritime heritage education or preservation professional, personal research, or research resulting in highly specialized reports or publications with narrow audience appeal and little potential for public use or applications. Grants Program funds cannot be paid for any work or activity that does not conform to the terms of the NPS Grant Agreement which incorporates all applicable grant requirements, conditions, and Federal laws and regulations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State; State, local, tribal governments and private nonprofit institutions/organizations are eligible to apply. Individuals are not eligible applicants.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Any State or local government or private non-profit institution/organization will benefit directly from grant funds. It is intended that the general public will ultimately benefit from the information conveyed by the funded projects.

Credentials/Documentation:

Proposals must furnish evidence of the applicants qualifications to carry out the proposed project. 2 CFR 200, Subpart E - Cost Principles applies to this program. Proposals from non profit organizations must also provide proof of non-profit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination with State Historic Preservation Offices is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements

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for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Applicants may visit the National Maritime Heritage Program website at <http://www.nps.gov/maritime/grants/intro.htm> for more information. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.Grants.gov).

Award Procedure:

Proposals received in response to announcements on [grants.gov](http://www.grants.gov) are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year. Award amounts are based on recommendations made by the National Maritime Heritage Grant Review Panel to the Secretary of the Interior, who makes final award decisions. The Review Panel is composed of representatives from the NPS, Maritime Administration, National Oceanographic and Atmospheric Administration, U.S. Coast Guard, Naval History and Heritage Command Advisory Council on Historic Preservation, Smithsonian Institution, and the National Archives and Records Administration. Each application is scored individually based on standardized criteria. Awards are made as direct grants to State or tribal Historic Preservation Offices (SHPO or THPO), who issue sub-grants to applicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service may provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Grants are not renewable, but the grant period may be extended upon approval of a request to the program, showing that unforeseen circumstances prevented the project from being completed on time.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Matching Requirements: According to NMHA section 4(d)(2), grants must be fully matched on a dollar-to-dollar. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project funding is generally for two years. All awarded funds must be expended within 90 days of the grant end date specified in the grant agreement, unless an extension has been applied for and granted. Assistance is awarded/released to applicants as subgrants issued by SHPOs, who are awarded direct grants by the NPS. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR, Part 200, Subpart F

Records:

Recipients must maintain accounting and progress reports throughout the life of the project, and up to 90 days beyond the end of the Period of Performance, until the grant is closed.

Account Identification:

14-1039-0-1-303.

Obligations:

Project Grants FY 20 \$66,999.00; FY 21 est \$50,000.00; FY 22 est \$50,000.00;

Range and Average of Financial Assistance:

Range: \$15,000 to \$200,000 Average: \$66,000.00

TAFS Codes:

14-1039.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Limited funding was available therefore a previously chosen project was awarded additional funding to complete their project.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Assistance Locations. Kelly Spradley-Kurowski, PhD, Manager, National Maritime Heritage Program, 1849 C St NW, Mail Stop 7508, Washington, DC, 20240; 202-354-2266; kelly_spradley-kurowski@nps.gov

Headquarters Office:

Manager, National Maritime Heritage Program Kelly Spradley-Kurowski, PhD, 1849 C St NW, Mail Stop 7508, Washington DC 20240

Email: kelly_spradley-kurowski@nps.gov

Phone: 202-354-2266

Fax:

Website Address:

<http://www.nps.gov/maritime/grants/intro.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No new projects were funded this fiscal year. Past projects include: Alaska Applicant: Alaska Association for Historic Preservation Project Title: The NN Cannery History Project Project Location: Anchorage, AK Amount Awarded: \$49,993 Project Description: This project will collect, share, and preserve the stories of the cannery people company personnel, local residents, Native organizations, commercial fishermen, and chiefly, the diverse cannery workers whose activities are reflected by and embedded in the industrial landscape contained within the 127-year-old historic property at South Naknek, Alaska. California Applicant: Maritime Museum Association of San Diego Project Title: A Preservation Project to Ensure the Long-term Integrity of the Sailing Ship Star of India Project Location: San Diego, CA Amount Awarded: \$200,000 Project Description: The Maritime Museum of San Diego will undertake a critical preservation project for ongoing stewardship of the Museum's most valuable maritime object: the iron sailing ship Star of India, built in 1863. Applicant: San Francisco Maritime National Historical Park Association Project Title: USS Pampanito Preservation Project Phase II Project Location: San Francisco, CA Amount Awarded: \$174,945 Project Description: This will be the second phase of this preservation project and includes steel repairs to the superstructure above the waterline and the steel structure supporting the visitor's walkway, replacement of the wood deck, relocating the gangway, and re-installing the 5-inch gun mount to its original 1945 location on the deck. Applicant: Port of Los Angeles High School Project Title: Career Technical Education Program: Boat Operations Project Location: San Pedro, CA Amount Awarded: \$50,000 Project Description: Boat Operations students will graduate high school prepared to enter the maritime industry workforce, or pursue post-secondary education in a variety of maritime fields. Student employment in boatbuilding, sailing, and related maritime pathways will preserve traditional maritime skills, techniques, and methodologies passed down from generation to generation. Applicant: Yurok Tribe Project Title: Redwood Dugout Canoe Adventure Phase I Project Location: Kalmath, CA Amount Awarded: \$50,000 Project Description: The program concept is to have several Yurok redwood canoes constructed for use in the program, to develop a site where

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canoe craftsmanship is learned, and the creation of the Yurok canoe story and canoe protocol will be passed down from Yurok elders to the next generation. Apprenticeship will maintain cultural craftsmanship, Yurok Historical knowledge of ocean navigation and Yurok river language. Applicant: National Steinbeck Center Project Title: Restoration of the F/V Western Flyer Project Location: Salinas, CA Amount Awarded: \$191,130 Project Description: The Western Flyer Foundation, in collaboration with the National Steinbeck Center, Salinas CA, is restoring this historic vessel to meet USCG safety standards while maintaining historic structural integrity in order to utilize the vessel as a platform for ocean education of students and teachers. Connecticut Applicant: Mystic Seaport Museum Project Title: Fishing Schooner L.A. Dunton Documentation and Survey Project Project Location: Mystic, CT Amount Awarded: \$103,703 Project Description: This grant will allow preparation for a major restoration of the 1921 National Historic Landmark fishing schooner L.A. Dunton, one of the last surviving examples of the most common fishing vessel sailing out of the principal New England fishing ports in the beginning of the twentieth century. 2021 Selection of new projects will depend on available funding.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.926 American Battlefield Protection

ABPP (Planning Grants),

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

54 U.S.C. 308102 American Battlefield Protection Program 54 U.S.C. 308105 Battlefield Restoration Grant Program USC Code . 54 U.S.C. 308104 Battlefield Interpretation Modernization Grants

OBJECTIVES:

To provide Federal financial assistance to State, local and tribal governments, other public entities, educational institutions, and private nonprofit organizations to identify, evaluate, interpret, and protect historic battlefields and sites associated with armed conflicts on American soil through non-acquisition projects and activities. The ABPP consists of three grant programs, including the Battlefield Interpretation Modernization Grants; Battlefield Restoration Grants; Battlefield Preservation Planning Grants

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for projects resulting in the protection and preservation of battlefield lands and their associated sites on American soil. No funding is given to projects dealing with acquisition of properties or land in fee or interest, payment of rent on properties or land, fund raising, lobbying, battle reenactments, new construction or reconstruction of historic resources, permanent staff positions, academic scholarships, capital construction or improvement projects (site excavation, trail or road construction, parking lots, visitor centers, scene restoration, etc.).

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Interstate, Intrastate, State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes: excludes institutions of higher education and hospitals), Public nonprofit institution/organizations (includes institutions of higher education and hospitals), Federally Recognized Indian Tribal Governments,

U.S. Territories and possessions (includes institutions of higher education and hospitals), Private nonprofit institution/organization (includes institutions of higher education and hospitals), Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations) State and local governments and agencies; public and State controlled institutions of higher education, private institutions of higher education; nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education; Federally recognized Native American tribal governments; Native American tribal organizations (other than Federally recognized tribal governments); and Native Hawaiian organizations including any organization that serves and represents the interests of Native Hawaiians, has as a primary and stated purpose the provision of services to Native Hawaiians, and has expertise in Native Hawaiian affairs. Multi-organizational applications are encouraged.

Beneficiary Eligibility:

Federal; U.S. Territories; Private nonprofit institution/organization; Interstate; Native American Organizations; Intrastate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Federal, U.S. Territories, Private nonprofit institution/organization, Interstate, Native American Organizations, Intrastate, State, Local, Public nonprofit institution/organization, Federally Recognized Indian Tribal Governments State and local governments and agencies; public and State controlled institutions of higher education, private institutions of higher education; nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education; Federally recognized Native American tribal governments; Native American tribal organizations (other than Federally recognized tribal governments); and Native Hawaiian organizations including any organization that serves and represents the interests of Native Hawaiians, has as a primary and stated purpose the provision of services to Native Hawaiians, and has expertise in Native Hawaiian affairs. Multi-organizational applications are encouraged.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Informal pre-application coordination with ABPP staff is highly recommended.

Coordination with appropriate State Historic Preservation Offices is recommended. Projects with access to private property require land owners written permission. The applicants should review the NPS ABPP Programmatic Agreement for Section 106 Review ABPPs Nationwide Programmatic Agreement for Section 106 Review, available on the ABPPs programs website https://www.nps.gov/subjects/battlefields/upload/ABPP-Nationwide-PA_FINAL-Executed.pdf

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Annual applications are due in mid-to late-January.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications are reviewed and approved or disapproved by the National Park Service by the end of the Federal fiscal year.

Appeals:

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Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Agreement terms for funded projects are estimated to last two years, depending on the negotiated project statement of work. Agreements are not effective until fully executed with signature from the NPS Financial Assistance (FA) Awarding Officer. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR, Part 200, Subpart F

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1042-0-1-303.

Obligations:

Project Grants (Discretionary) FY 20 \$1,113,072.00; FY 21 est \$1,200,000.00; FY 22 est \$1,200,000.00;

Range and Average of Financial Assistance:

Range: \$10,000 - \$100,000 Average: \$60,000.00

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In addition to battlefields and sites of collective violence, projects funded focused on preservation efforts on associated sites under one of the following themes: Military: sites directly associated with military forces on land or sea Government, Law, Politics, and Diplomacy: sites associated with decision-making, policy creation, political process, and diplomatic relations during periods of armed conflict Intellectual History: sites associated with the publication or propagation of ideas and values that influenced the social, political, economic, and military actions and policies during periods of armed conflict Economics of War: sites associated with economic activities that contributed to battle, fighting, or war efforts Society: sites associated with home front, civilian conduct during periods of armed conflict Transportation: Sites associated with moving people, goods, and information during periods of armed conflict Preservation activities, including but not limited to:

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

None.

Headquarters Office:

Emily Button Kambic, Ph.D.,

American Battlefield Protection Program 1849 C Street, NW Room 7221,

Washington DC 20240

Email: abpp@nps.gov

Phone: (202) 354-2035

Website Address:

<https://www.nps.gov/abpp>

RELATED PROGRAMS:

15.928 Battlefield Land Acquisition Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 Grants were awarded to: Georgia Southern University, GA, \$116,247: "The Devil Came to Georgia: LiDAR Survey and Remote Sensing Investigation of two 1864 American Civil War Skirmishes in Jenkins/Burke Counties, Georgia." This project will use remote sensing and archeological survey to identify and evaluate the Battle of Buck Head Creek (GA026) and the Buckhead Creek to Lawton (or Lumpkins) Station Civil War skirmish sites. Friends of Cedar Mountain Battlefield, VA, \$86,740: "Rapidan Front Landscape Study: Raccoon Ford, Morton's Ford, and Somerville Ford Battlefields; 1863-64 Union Winter Encampment." This project will produce archival research and cultural landscape survey of the Raccoon Ford, Morton's Ford, Somerville Ford Battlefields, and the 1863-64 Union Winter Encampment. Clarke County Historic Preservation Commission, VA, \$39,427: "Battle of Berryville." This project will conduct historical research and KOCOA military terrain analysis of the Civil War Battle of Berryville in order to determine the boundaries of the battlefield in preparation for nomination to the National Register of Historic Places. Fairfield Historical Society, CT, \$96,679: "The Battle of Pequot (Munnacommock) Swamp Interpretation and Preservation." This project will use information from two previous phases of archeological and historical research to develop exhibitions, waysides, and digital interpretive products that will enhance public awareness and understanding of how the 1637 Battle of Pequot (Munnacommock) Swamp shaped colonial New England's landscape and society. Ridgefield Historical Society, CT, \$50,150: "Battle of Ridgefield: Research and Long-term Stewardship." This grant will provide seed funding to begin research on the Revolutionary War Battle of Ridgefield and encourage community input on future stewardship priorities. Preservation Maryland, MD, \$78,000: "Building a Brand for the South Mountain Battlefield." Following the recommendations of a completed consensus building project, this project will bring together design professionals and stakeholders to develop a unified brand for signage for the Civil War Battle of South Mountain. Preservation Maryland, MD, \$67,100: "Documenting and Exploring the Interpretation Potential of St. George's Island: Maryland's Largest Revolutionary War Battlefield." This project will kickstart historical research on the Revolutionary War battle of St. George Island and engage residents of the Island to estimate interest in future preservation and interpretation of the site. University of Florida, FL, \$60,672: "The 1614 Spanish Campaign against the Calusas." This project will conduct historical and archeological investigation and documentation of 4 battlefields of the Spanish Campaign against the indigenous Calusas people. Palisades Park Conservancy, NY, \$104,410: "Fort Lee Historic Park: A Battle to Save History and Celebrate the Future." This project will produce a Cultural Landscape Report for Fort Lee, New Jersey. Revolutionary War forts physical development, land use, existing conditions, and current integrity will be the starting point for a master planning process and revitalized interpretation. Lake Champlain Maritime Museum, VT, \$66,873: "Arnold's Bay Project." This project will survey the land and underwater components of the Arnold's Bay Revolutionary War battlefield, bringing together remote sensing, underwater and terrestrial archeological survey, and collaborative training opportunities with Advanced Metal Detecting for the Archaeologist (AMDA). Florida State University, FL, \$206,890: "Archaeological Excavations & Analyses of the Apalachee Revolt of 1647." This project will conduct an archaeological field school and laboratory analysis of finds from a site believed to be Mission Bacuqua the location of the initial battle in the Apalachee Revolt against the Spanish colonial mission system. Southern Illinois University, IL, \$93,220: "Archival and Remote Sensing Investigations at Fort Henry." This project will fund geophysical investigation of the eastern (land) side rifle pits and camp sites associated with the Civil War site of Fort Henry, TN, which was attacked by the Union Army in February of 1862. Ball State University, IN, \$99,286: "Battle of Peckuwe Expanded Understanding and Interpretation: Site Identification and Documentation." This project will continue archaeological survey to define the boundaries of the 1781 Battle of Peckuwe in Ohio, the largest American

ASSISTANCE LISTINGS DESCRIPTIONS

Revolutionary War engagement west of the Allegheny Mountains, and collaborate with the Clark County Park District and descendant Tribal Nations for outreach and awareness. Alliance for the Shenandoah Valley, VA, \$32,274: "Community Visioning to Preserve Cross Keys and Port Republic Battlefields." This project will gather local stakeholders in a community visioning process for the Civil War battlefields of Cross Keys and Port Republic in Rockingham County, VA, to identify threats, preservation priorities, and creative collaboration opportunities.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Reviewers evaluate the applications using the following criteria: demonstrated threat to the battlefield; reasonable and justified budget; preservation need and appropriateness of the project; well-planned objectives, tasks, and schedule; qualifications of applicant and partner organizations. Applications received are scored on the following criteria: Preservation Opportunities, Objectives and Activities, Administration and Implementation, Partnerships and Engagement, and the Secretary of the Interiors Priorities.

15.928 Battlefield Land Acquisition Grants

BLAGs,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

54 U.S.C. 308103 Battlefield Acquisition Program,

OBJECTIVES:

To assist States and local communities acquire and preserve threatened battlefield land from the Revolutionary War, War of 1812, and Civil War. This grant program enables the permanent protection of historic battlefield lands through fee simple acquisition or through the purchase of an interest in the land through a preservation covenant. State or local government entities are eligible to apply, and nonprofit organizations often support the applicant agency in the battlefield preservation effort. The grants require a dollar-for-dollar non-Federal match. The ABPP administers Battlefield Land Acquisition Grants using funds appropriated from the Land and Water Conservation Fund. To be eligible for acquisition, land must be at least 50% within the boundary of one of the 384 battlefields identified in the 1993 Report on the Nation's Civil War Battlefields, or one of the 677 battlefields identified in the 2007 Revolutionary War and War of 1812 Historic Preservation Study.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are available for the fee simple acquisition of land, or for the acquisition of permanent, protective interests in land (easements), at Civil War battlefields listed in the Civil War Sites Advisory Commission's (CWSAC) 1993 "Report on the Nation's Civil War Battlefields" and at Revolutionary War and War of 1812 Battlefields listed in the American Battlefield Protection Program (ABPP) 2007 "Report to Congress on the Historic Preservation of Revolutionary War and War of 1812 Sites in the United States." Funds may not be used to acquire land or interests in land within the legislative boundary of a unit of the National Park System. Grantees or subgrantees must provide for the appropriate public access (entry or viewable from public right-of-way) to and enjoyment of any lands or interests in lands acquired with assistance from this program, subject to necessary and reasonable measures on the part of the beneficiary to protect the historic features of the battlefield from damage or loss. Land acquired with these funds must be preserved in perpetuity. In any case where a local government or a private nonprofit organization acquires land or an interest in land with assistance from this program, it must convey an acceptable perpetual protective easement on the land to the State Historic Preservation Office (SHPO) or other organization acceptable to the National Park Service and SHPO.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; State and local governments.

Private nonprofit organizations seeking to acquire battlefield land or easements must apply in partnership with the State or local government agency that has jurisdiction over the proposed parcel. The government agency may then sub-grant the Federal funds to the nonprofit organization.

Beneficiary Eligibility:

State; Local; State and local governments (local communities, nonprofits, and battlefield landowners) benefit.

Credentials/Documentation:

Prior to the expenditure of grant funds for the purchase of real property, a current appraisal must be obtained. Appraisal preparation, documentation and reporting must be made in conformance with the standards and practices of the Uniform Appraisal Standards for Federal Land Acquisitions (UASFLA), as codified in 49 CFR 24.103, and the Uniform Standards of Professional Appraisal Practices (USPAP Standards 1 and 2) published by the Interagency Land Acquisition Conference. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Informal pre-application coordination with ABPP staff is highly recommended. Coordination with appropriate State Historic Preservation Office(s) is required for National Historic Preservation Act Section 106 Review. The ABPPs Nationwide Programmatic Agreement for Section 106 Review, available on the ABPPs website https://www.nps.gov/subjects/battlefields/upload/ABPP-Nationwide-PA_FINAL-Executed.pdf for this grant program must be consulted prior to applying.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov and the ABPPs website www.nps.gov/abpp. Applicants are responsible for initiating the Section 106 Review with the appropriate SHPO and consulting parties prior to submitting a complete application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available throughout the year. The Director of the National Park Service makes awards to approved applicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory.

ASSISTANCE LISTINGS DESCRIPTIONS

50% Recipient/non-federal cost share is required under this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Battlefield land, or interests in land, are purchased immediately after receipt of funds, as soon as possible after award, usually no later than one year after receipt of funds. Periods of Performance for awarded grants is typically 3 years to allow for purchase and recording of required easement or preservation agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3)) SF-429 A and C and Cover Sheet Real Property Reports and (4) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. In certain cases, grantees or sub-grantees must encumber the title to the acquired battlefield property with easement, in favor of enforceable in court by the State Historic Preservation Officer, or by another agency acceptable to the NPS and SHPO, in perpetuity. In other cases where a State government agency will acquire and manage the property, the State must enter into a preservation agreement with the NPS. The agreement must assert that the State will hold the property forever, allow for public access, maintain and protect the historic features and landscape, restrict development to only that needed for interpretation and visitor access, and pursue site development only after appropriate environmental and cultural studies are completed to inform best possibilities for low impact design and construction. Both the easements and preservation agreement must acknowledge Land and Water Conservation Fund Act Section 6(f)(3) restrictions and must be sent to the NPS for review and approval prior to their execution and recordation. The SF 425 Federal Financial Report is required. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-5035-0-1-303.

Obligations:

Project Grants (Discretionary) FY 20 \$9,742,326.00; FY 21 est \$10,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Range: \$28,000.00 to \$2,000,000.00 Average: \$200,000.00

TAFS Codes:

14-5035.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Thirty awards comprising more than \$9,000,000 were made for the preservation of more than 1500 acres of battlefield lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

A more complete description of grant requirements can be found in the ABPP 2018 application guidelines. The 2018 guidelines and application form are available online at grants.gov. The American Battlefield Protection Program Act of 2009 (16 USC 469k-1), authorizes this grant program. This legislation allows Land and Water Conservation Fund monies to be used to provide the Federal share of the cost of acquiring interests in eligible Revolutionary War, War of 1812, and Civil War battlefield land. It requires that any interest in land acquired under this program shall be subject to section 6(f)(3) of the Land and Water Conservation Fund Act of 1965 (16 U.S.C. 4601-8(f)(3)). Section 6(f)(3) requires that any land acquired with these funds be preserved and not converted to other uses without the express written consent of the Secretary of the Interior.

Regional or Local Office:

None.

Headquarters Office:

Historian and Grants Specialist Christine Arato,
National Park Service American Battlefield Protection Program 1849 C Street,
NWR Room 7228,
Washington DC 20240
Email: christine_arato@nps.gov
Phone: (202) 354-2071
Fax:

Website Address:

<https://www.nps.gov/subjects/battlefields/american-battlefield-protection-program-grants.htm>

RELATED PROGRAMS:

15.926 American Battlefield Protection;

EXAMPLES OF FUNDED PROJECTS:

2020 Kentucky Battlefield: Perryville (Steedman Tract) Recipient: Boyle County Fiscal Court Nonprofit Partner: American Battlefield Trust Priority: IA Creage: \$1.4 Federal Amount Awarded: \$278,746.50 Non-Federal Amount Leveraged: \$278,746.50 Type of Purchase: Fee Simple Preservation Letter Agreement: Commonwealth of Kentucky Battlefield: Perryville (White Tract) Recipient: Boyle County Fiscal Court Nonprofit Partner: American Battlefield Trust Priority: IA Creage: 128.5 Federal Amount Awarded: \$520,261.50 Non-Federal Amount Leveraged: \$520,261.50 Type of Purchase: Fee Simple Preservation Letter Agreement: Commonwealth of Kentucky Mississippi Battlefield: Vicksburg (Varner Tract) Recipient: Mississippi Department of Archives and History Nonprofit Partner: Friends of Vicksburg National Military Park and Campaign Priority: IA Creage: 1.87 Federal Amount Awarded: \$144,812.50 Non-Federal Amount Leveraged: \$144,812.50 Type of Purchase: Fee Simple Easement Holder: Mississippi Department of Archives and History North Carolina Battlefield: Bentonville (Denning Tract) Recipient: North Carolina Department of Natural and Cultural Resources Nonprofit Partner: American Battlefield Trust Priority: IA Creage: 143 Federal Amount Awarded: \$355,675.00 Non-Federal Amount Leveraged: \$380,675.00 Type of Purchase: Fee Simple Preservation Letter of Agreement: North Carolina Department of Natural and Cultural Resources Battlefield: Bentonville (Finley/Westbrook Tract) Recipient: North Carolina Department of Natural and Cultural Resources Nonprofit Partner: American Battlefield Trust Priority: IA Creage: 3.327 Federal Amount Awarded: \$21,875.00 Non-Federal Amount Leveraged: \$21,875.00 Type of Purchase: Fee Simple Preservation Letter of Agreement: North Carolina Department of Natural and Cultural Resources

CRITERIA FOR SELECTING PROPOSALS:

Reviewers evaluate applications according to the following considerations: - Priority of the battlefield land as defined in the Reports. Foremost consideration is given to application packages for acquisition proposals at battlefields defined as Priority I or II sites in the CWSAC 1993 Report or the ABPP 2007 Rev War/War of 1812 Report. - Whether the proposed acquisition parcel lies within ABPP-defined Battlefield Boundary Areas and outside the legislative boundaries of any associated National Parks - Availability of required matching funds - Acquisition schedule - Threat to the battlefield land - More specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.929 Save America's Treasures

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

54 U.S.C. 308902 Save America's Treasures Program,

OBJECTIVES:

To provide matching grants for preservation or conservation work on nationally significant intellectual and cultural artifacts and nationally significant historic structures and sites. Intellectual and cultural artifacts include artifacts, collections, documents, sculpture and works of art.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for projects resulting in the protection and preservation of nationally significant historic structures and sites, as well as nationally significant collections of cultural artifacts, documents, sculpture and works of art. Projects must comply with the Secretary of the Interiors Standards and Guidelines for Archeology and Historic Preservation. No funding is given to projects dealing with acquisition or reconstruction of properties or collections, payment of rent on properties or land, fund-raising, or lobbying. Grantee must execute a preservation easement or preservation agreement for each grant-assisted historic site to the State Historic Preservation Officer (SHPO) for at least 50 years from the end date of the grant agreement.

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State and Local agencies, Public or Private nonprofit institutions/organizations, State Colleges and Universities, Public and Private Colleges and Universities, and Federally Recognized Indian Tribes. Individuals are not eligible applicants. Properties receiving Save America's Treasures (SAT) grant assistance must be listed in the National Register of Historic Places for National significance

Beneficiary Eligibility:

Federal; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Any Federal, Intrastate, Interstate, State and local agencies, Public or Private nonprofit institution/organization, State Colleges or University, Public and Private College or University, or Federally recognized Indian tribes.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application includes SF424 series, LLL Lobbying form, Indirect Cost Rate agreement (if applicable).

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed in a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Funding recommendations are made for the highest rated proposals based on the amount of funding available each year. Final awards are decided through SOI and Congressional review as per the authorizing legislations. Grants are awarded directly to initial applicant, this program does not subgrant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. On average applications are reviewed and funding recommendations made in 60-90 days. This varies based on the number of applications. There is no mandated time. Approval of funding recommendations once submitted average 30-90 days until announcement of funding.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Extensions to projects are available for a year at a time up to five years when the funding expires. Adequate progress must be shown to warrant an extension.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Matching requirement: 50% non federal recipient cost share is required under this program. This program has MOE requirements, see funding agency for further details. Additional Information: Yes, see funding agency for further details. Contact headquarters office for information..

Length and Time Phasing of Assistance:

Project duration is generally for two to three years. All awarded funds must be expended within 90 days of the grant end date specified in the Grant agreement. Method of awarding/releasing assistance: other

Reports:

As part of the SF-425 Federal Financial Report, reporting on expenditures is mandatory for each reporting period. A minimum of semi-annual performance reporting is required comparing planned accomplishments to actual results. SF-425, Federal Financial Report Yes, as part of the progress reporting described above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-5140-0-1-303.

Obligations:

Project Grants FY 20 \$8,957,874.00; FY 21 est \$9,000,000.00; FY 22 est \$9,000,000.00;

Range and Average of Financial Assistance:

FY 2019 - \$150,000 - \$500,000

TAFS Codes:

14-5140.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Save Americas Treasures grants funded 42 preservation and conservation projects in 26 states. The National Park Service (NPS), in partnership with the Institute of Museum and Library Services (IMLS), the National Endowment for the Arts (NEA), and the National Endowment for the Humanities (NEH), awards these matching grants to support the preservation of nationally significant historic properties and collections.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

None.

Headquarters Office:

National Park Service,
State, Tribal, Local, Plans & Grants Division Mail Stop 73601849 C Street, NW,
Washington DC 20240

Email: preservation_grants_info@nps.gov

Phone: 202-354-2020

Fax:

Website Address:

<http://www.nps.gov/stlpg>

RELATED PROGRAMS:

15.904 Historic Preservation Fund Grants-In-Aid;

EXAMPLES OF FUNDED PROJECTS:

2020 Projects funded included: Alabama Huntsville Conservation and Restoration of Space Shuttle Pathfinder Orbiter Simulator Alabama Space Science Exhibit Commission \$500,000 California Riverside Rehabilitation of Harada House National Historic Landmark City of Riverside \$500,000 Colorado Towaoc Casa Colorado Site Stabilization and Repair Ute Mountain Ute Tribe \$125,000 Connecticut Mystic Preserving and Accessing Mystic Seaport

ASSISTANCE LISTINGS DESCRIPTIONS

Museum Curatorial Files
Mystic Seaport Museum, Inc.
\$102,000
District of Columbia
Washington Union Station East Hall
Scagliola Finish Restoration
Union Station Redevelopment Corporation
\$342,500

CRITERIA FOR SELECTING PROPOSALS:

Applications received are scored on the following criteria: critical preservation need; well-planned objectives, tasks, and schedules; qualifications and coordination of partner organization(s); and realistic budget and matching resources. Criteria will address: Significance, Threat, mitigation of Threat, and Feasibility.

15.930 Chesapeake Bay Gateways Network

Chesapeake Bay Gateways and Watertrails Network,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Title Chesapeake Bay Initiative Act of 1998, Part as amended by PL 114-141, Section 502(A) and (B), Conservation, Restoration and Restoration Sites, USC Code 54 101702(a) Agreements for the Transfer of Appropriated Funds to Carry Out NPS Programs. Title 16 USC, National Trails Act, Part 1246(h)(l),

OBJECTIVES:

The National Park Service Chesapeake Bay Office connects people to the natural and cultural heritage of the Chesapeake Bay watershed through the Chesapeake Bay Gateways and Watertrails Network and supports efforts to implement the Chesapeake Bay Watershed Agreement. Assistance supports implementation of: The Chesapeake Bay Initiative Act, which authorizes the NPS to: identify, conserve, restore, and interpret natural, recreational, historical, and cultural resources within the Chesapeake Bay Watershed; identify and utilize the collective resources sites for enhancing public education of and access to the Chesapeake Bay; link sites with trails, tour roads, scenic byways, and other connections; develop and establish watertrails comprising water routes and connections to sites and other land resources within the Chesapeake Bay Watershed; and create a network of sites and watertrails. The Chesapeake Bay Program, a collaborative watershed restoration partnership among the states of Maryland, Virginia, Pennsylvania, Delaware, New York, and West Virginia, the District of Columbia, the Chesapeake Bay Commission and the federal government. The six Governors and the other partners signed the 2014 Chesapeake Bay Watershed Agreement establishing specific goals, outcomes, management strategies and work plans for conservation and restoration. The NPS is responsible for fostering interjurisdictional collaboration to add 300 new public boating, fishing and water access sites to the Bay and tributaries and conserve 2 million acres of hunting, fishing, wildlife and other conservation lands by 2025. NPS also participates in and supports other initiatives advancing the agreement. The partnership-based Captain John Smith Chesapeake National Historic Trail (NHT). This 3,000 mile trail extends from Norfolk Virginia to Cooperstown New York and along all the Bays major tributaries. The Chesapeake NHT was created through the National Trails System Act (Public Law 90-543, as amended) which provides for technical and financial assistance to States or their political subdivisions, landowners, private organizations, or individuals to operate, develop, and maintain any portion of such a trail either within or outside a federally administered area. To advance these, the NPS collaborates with partners to increase access to the Chesapeake and rivers, to conserve important landscapes and resources, to promote tourism and local economies, to engage youth in meaningful work and place-based education, to improve recreational opportunities, and to interpret the natural and cultural resources of the Chesapeake region.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Recipients of financial assistance must comply with all applicable federal, state, and local environmental laws and regulations. Local planning offices can provide advice on Federal, state or local permits that will be necessary; and NPS - Chesapeake staff can advise on compliance and permitting processes. As advised by NPS Chesapeake, Recipients may be required to (1) document local support for the project; (2) document consultation with their State Historic Preservation Office,

state agencies in charge of endangered species, local planning and zoning officials, and other affected agencies in developing their projects and gaining approval for project implementation; and (3) complete an Environmental Screening Form for their proposed project. All facilities and programming developed with NPS Chesapeake Bay funding must be accessible to persons with disabilities. It is the responsibility of the award recipient to comply with the Architectural Barriers Act of 1968, Section 504 and 508 of the Rehabilitation Act of 1973, as amended, and the Americans with Disabilities Act of 1990 as amended. All construction projects must be designed and engineered in accordance with applicable law. The services of a professional, registered engineer or architect are advised to assure that necessary provisions are being met with respect to public safety, codes, and standards. All projects involving physical development must incorporate specific objectives to green the project, such as developing environmentally sensitive designs, incorporating low impact development approaches (e.g., incorporating storm water management, rain gardens, pervious surfaces, green roofs, etc.), incorporating eco-friendly materials into structural elements of your project, utilizing native, non-invasive plant species, and using green procurement practices. All projects proposed to develop new or enhanced public water access facilities must develop one or more of the following types of public water access facilities: boat-related access, swimming access, fishing access and/or viewing access for water, wildlife, and shoreline areas. For proposed development projects located on the Captain John Smith Chesapeake National Historic Trail, NPS Chesapeake Office may supply standardized CAJO trail-marking and orientation, and possibly interpretation, signage appropriate to the site.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; Recipients must either be a non-profit organization qualified as such under section 501(c) of the Internal Revenue Code or a state or local government. NPS Chesapeake technical and financial assistance strategically supports projects and programs that meet DOI's key responsibilities in the Chesapeake Bay watershed. The Chesapeake Bay Initiative Act authorizes the NPS to: identify, conserve, restore, and interpret natural, recreational, historical, and cultural resources within the Chesapeake Bay Watershed; identify and utilize the collective resources sites for enhancing public education of and access to the Chesapeake Bay; link sites with trails, tour roads, scenic byways, and other connections; develop and establish watertrails comprising water routes and connections to sites and other land resources within the Chesapeake Bay Watershed; and create a network of sites and watertrails. Other specific plans and strategies which guide NPS Chesapeake implementation include: Chesapeake Bay Watershed Agreement and implementing management strategies and work plans, including the Chesapeake Bay Watershed Public Access Plan Captain John Smith Chesapeake NHT planning documents, including: Comprehensive Management Plan, Interpretive Plan, Conservation Strategy and segment plans for the James, Potomac and Lower Susquehanna rivers.

Beneficiary Eligibility:

Anyone/general public; Local; Public nonprofit institution/organization; General Public.

Credentials/Documentation:

None 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The following submissions should be supplied as directed by NPS - Chesapeake as part of the award process. SF-424 Application for Federal Assistance; and SF424A, 424B, 424C or 424D as appropriate. Scope of Work Task List and Schedule Detailed Project Budget DUNS Number, SAM Registration and ASAP

ASSISTANCE LISTINGS DESCRIPTIONS

Registration Additional Supporting Information as appropriate. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are made to the highest priority projects based on the amount of funding available each year. Recipients selected for funding collaborate with NPS Chesapeake staff to complete award requirements

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. None. Final award decisions are not subject to appeal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. 50% Recipient cost share is required for most awards under this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available from the start date to the end date of the period of performance. The project period is set by the requirements of the project but is typically for a time period not to exceed 24 months. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures SF-425, Federal Financial Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR, Part 200, Subpart F

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1042-0-1-303.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$2,123,583.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Range: \$60,000 - 650,000 Average: \$170,000

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Twelve agencies received funding supporting the watershed initiatives.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 225 and check www.baygateways.net

Regional or Local Office:

See Regional Assistance Locations. Jamie Cupples, Administrative Officer, 410 Severn Avenue, Suite 314, Annapolis, Maryland 21403, Email: Jamie_Cupples@nps.gov Phone: (410) 260-2473

Headquarters Office:

NER Chief Financial Assistance Program,

Department of the Interior, National Park Service, US Custom House, 200 Chestnut Street - 3rd Floor, Philadelphia PA 19106
Email: Jamie_cupples@nps.gov
Phone: (215) 597-9153
Fax:

Website Address:

<https://www.nps.gov/chba/learn/management/financial-assistance-for-partners.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funded organizations that support cultural education, natural conservation and preservation within the watershed included; Corazon Latino Inc. Annapolis Maritime Museum, Inc. Quebec-Labrador Foundation, Inc., the Susquehanna Heritage Corporation Conservation Fund, a nonprofit corporation, the Sultana Projects Inc James River Association Conservation & Natural Resources, Pennsylvania Department of Potomac Riverkeeper Inc Conservation and Recreation, Virginia Department of Anacostia Watershed Society Inc Susquehanna Heritage Corporation Quebec-Labrador Foundation, Inc., the Lancaster County Conservancy Conservation and Recreation, Virginia Department of Natural Resources, Maryland Department of Potomac Conservancy.

CRITERIA FOR SELECTING PROPOSALS:

Recipients include State and local governments and non-profit organizations, whose projects have been identified and prioritized in the plans and strategies noted above in Section 081. Specific priorities include: Supporting implementation of new water access sites along the Chesapeake Bay and its tributaries, with a priority on sites on the lower James and York Rivers, lower Potomac River and lower Susquehanna River segments. Providing interpretation, media, visitor services, and educational programming (especially on-water programming) focused on Chesapeake Bay natural and cultural resources at visitor contact stations and associated sites on the lower James and York Rivers, lower Potomac River and lower Susquehanna River segments as well as through the mobile Roving Ranger program. Fostering public engagement in conservation, recreation, and resource stewardship through the mobile Roving Ranger offered at partner parks and sites. Supporting increased visibility of the Chesapeakes special places through expansion of Find Your Chesapeake and related web media. Providing front-line staff and interpretive guide training and resources on Chesapeake themes. Expanding youth engagement through the Chesapeake Youth Corps program through teams in Maryland, Pennsylvania and Virginia and Kids in Kayaks program. Advancing local, state, private, and federal collaborative conservation in the watershed through activities and initiatives that support Chesapeake Bay Watershed Agreement outcomes, including supporting the Chesapeake Conservation Partnership and high-priority land conservation targets. Identifying, documenting and planning for landscapes and sites important to American Indian tribes within the Chesapeake Bay watershed.

15.931 Youth and Veteran Organizations

Conservation Activities

21st Century Conservation Service Corps Program,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

16 USC Code 1723 (c)(1) Public Land Corps Act.

OBJECTIVES:

This program seeks to utilize qualified non-profit youth and veteran serving organizations to carry out appropriate natural and cultural resource conservation, education, volunteer service and education projects on Department of the Interior lands through authorized NPS programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Funding can be used by organizations to support natural and/or cultural resource conservation projects; youth and young adult vocational/career training; natural/cultural resource conservation focused educational programming; natural resources conservation focused recreation activities (back country camping, fishing etc.). Projects must be connected to DOI/NPS lands or in support of NPS mission objectives through authorized NPS programs. The availability of discretionary funding is determined by various NPS units and/or program offices each fiscal year.

Applicant Eligibility:

Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private non-profit institutions and organizations; public and private non-profit academic institutions; quasi-public non-profit institutions and organizations that support youth and young adult career training and development, and education in the areas of natural and/or cultural resource conservation and management.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Quasi-public nonprofit organization; Anyone/general public; Graduate Student; State; Local; Private non-profit institutions and organizations; public and private non-profit academic institutions; state and local government agencies; quasi-public non-profit institutions and organizations that support youth and young adult career training and development, and education in the areas of natural and/or cultural resource conservation and management. Individuals/families; students; and the general public will receive a benefit from the development and execution of these programs and projects.

Credentials/Documentation:

Documentation demonstrating that an organizations purpose is strongly related or solely for the purpose of youth/student education in a conservation oriented environment. Proof of past performance in the areas of resource management, conservation and cultural resources and assistance to youth. If applying as a nonprofit organization, proof of nonprofit status will be required. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Consultation and assistance is available from the program office and financial assistance officer in advance of the application submission. No pre-application coordination is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total

of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Projects completed under the Public Land Corp (PLC) Act require a 25% non-federal match (cash and/or in-kind services) as described in PLC. For those entities that do not qualify for assistance under PLC, 54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds and 54 U.S.C. 101701 (b) Challenge Cost-Share Agreement Authority shall apply, and a 25% non-federal match will be required. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis. Most projects are two to three months in duration depending on complexity and other factors. Some projects are as long as a year. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337.

Account Identification:

14-1035-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$36,632,870.00; FY 21 est \$39,000,000.00; FY 22 est \$39,000,000.00;

Range and Average of Financial Assistance:

Range: \$5,000 - \$900,000

TAFS Codes:

14-1035.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Thousands of youth and veterans engaged in conservation efforts throughout the National Park System. Participants contributed to the mission of the National Park Service while developing life skills. The projects promoted and stimulate public purposes such as education, job training, development of responsible citizenship, productive community involvement, and furthering the understanding and appreciation of our nations natural and cultural resources through the involvement of youth and young adults.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Management Analyst- Acting WASO Youth Programs Coordinator George McDonald,
National Park Service 1849 C Street NW,
Washington DC 20240
Email: george_mcdonald@nps.gov
Phone: (202)513-7146
Fax: (202) 371-2263

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

<https://www.nps.gov/subjects/youthprograms/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Youth and Veterans learned about conservation and ecology through various projects across the National Park Service. Funded organizations worked cooperatively with the NPS in developing and executing projects such as trail development and maintenance; historic and scientific research; interpretation; forest and timber management; archaeological conservation; native plant habitat restoration and rehabilitation. Projects also secondarily include educational and recreational activities that have natural and cultural resource conservation components.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov, but will include: Qualifications of the organization or institution; The Secretary may give preference to qualified youth or conservation corps located in a specific area that have a substantial portion of members who are economically, physically, or educationally disadvantaged to carry out projects within the area. (3) Past performance in the area of youth conservation corps activities; (4) Organizations ability to reach undeserved and disadvantaged population groups; (5) Offering meaningful, full-time, productive work for individuals between the ages of 15 and 25 in a natural or cultural resource setting; (6) Giving participants a mix of work experience, basic and life skills, education, training, and support service; and (7) Providing participants with the opportunity to develop citizenship values and skills through service to their community and the United States.

15.932 Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs).

HBCU Grants,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Executive Order Executive Order 12232, White House Initiative on HBCU's; 54 USC 301 et seq National Historic Preservation Act; Consolidated Appropriations Act of 2019, enacted as Public Law 116-6,

OBJECTIVES:

In 1988, the National Park Service established the Historically Black Colleges and Universities (HBCU) Preservation grant program to document, preserve, and stabilize structures on HBCU campuses. The HBCU grant program exists to repair historic structures on campuses of Historically Black Colleges and Universities that are listed in the National Register of Historic Places either individually or as contributing to a National Register historic district. Projects must meet major program selection criteria and all work must meet the Secretary of the Interiors Standards and Guidelines for Archeology and Historic Preservation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible costs under this award are as described in this Notice, 2 CFR 200, and the Historic Preservation Fund Grants Manual (HPF Manual). For this program they also include: Projects under the eligible program areas as defined by the National Historic Preservation Act (NHPA); Administrative costs necessary to complete and administer the grant requirements; Rehabilitation of properties; o Eligible properties include historic districts, buildings, sites, structures and objects listed in the National Register of Historic Places, o All work must meet the Secretary of the Interiors Standards and Guidelines for Archeology and Historic Preservation, and o All projects receiving repair assistance must enter into a preservation agreement/covenant/easement. Projects must substantially mitigate the threat and include steps to mitigate future damage; Cost for establishing/administering an

easement/covenant for the property; Cost for any required audits or financial requests; Cost for the production of a project sign; Costs for public notice of grant opportunity; Costs associated with required training or reporting; and Any other costs as determined eligible by the NPS in accordance with the OMB circulars, NPS policies, and the Historic Preservation Fund Grants Manual.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; All accredited HBCU institutions.

<https://nces.ed.gov/COLLEGENAVIGATOR/?s=all&sp=4&pg=1>

Beneficiary Eligibility:

Minority group; Specialized group (e.g. health professionals, students, veterans); Other private institution/organization; School; Other public institution/organization; Historically Black Colleges and Universities

Credentials/Documentation:

Buildings or structures already listed in the National Register of Historic Places as of the published deadline for submitting grant applications are eligible for a grant award. They may be individually listed or as contributing to at National Register Historic District. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application should include (1) a completed SF-424 Application for Federal Assistance; (2) a concise history of the building and its significance; (3) high quality photographs that clearly depict the present condition of the building (interior and exterior), including damaged areas; photographs should include details of architectural character defining elements, including doors, windows, roof, porches, lobby, corridors, decorative elements, fireplaces, and any additional features addressed in the proposed preservation project; (4) a copy of the information in the National Register of Historic Places; (5) any available architectural analysis and/or assessment of the building. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications and accompanying documentation are reviewed by the National Park Service (NPS) staff in the Washington Office (WASO) for program eligibility. Their recommendations are approved by the Secretary of the Interior through an apportionment process. Grants are awarded by NPS WASO directly to HBCUs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Extensions may be requested by grant recipients within interim progress reports.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of time is 2 to 3 years. Assistance is released on a reimbursable basis as costs are incurred. See the following for information on how assistance is awarded/released: No information provided. Method of awarding/releasing assistance: other

Reports:

Projects under the American Recovery and Reinvestment Act of 2009 will have

ASSISTANCE LISTINGS DESCRIPTIONS

additional reporting requirements as described in the award terms and conditions and in announcement packages at www.grants.gov. Semi-annual interim progress reports and final project report. Financial reports (SF 425). Performance will be monitored to ensure that reports satisfy requirements; moreover, interventions with the historic sites will be periodically inspected to ensure compliance with The Secretary of the Interiors Standards for the Treatment of Historic Properties.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and 43 CFR Part 12, Subpart F, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations.

Account Identification:

14-5541-0-2-303.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$10,000.00; FY 22 est \$10,000.00;

Range and Average of Financial Assistance:

The award size will depend on the number of meritorious applications and the availability of appropriated funds.

TAFS Codes:

14-5541.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program listing was reactivated in FY2020, so no funding was awarded. If funding is awarded in future fiscal years the number of awards will be contingent on funding and number of acceptable projects. Projects will include preservation of historic structures at HBCUs.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR Part 12; HPF Grants Manual

http://www.nps.gov/history/hps/HPG/HPF/HPF_Manual.htm; The Secretary of the Interiors Standards for the Treatment of Historic Properties

<http://www.nps.gov/history/hps/tps/standguide>, and National, State, and Local Codes.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

State, Tribal, Local Plans & Grants Division,
1849 C St. NWMailstop 7360,
Washington DC 20240

Email: stlpg@nps.gov

Phone: (202) 354-2020.

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 This assistance listing was re-activated in FY 2020 therefore no projects were funded under this program for this fiscal year. 2021 If funding is received projects at historic Black colleges and universities that focus on preservation of historic structures will be funded.

CRITERIA FOR SELECTING PROPOSALS:

NPS will evaluate and consider only those applications that separately address each of the merit review criteria. Each applicant is required to provide a detailed project description, in accordance with section D.2., of the following criteria elements. Criterion 1 - Significance Describe the historic resource(s) in the proposed project and the role played in the Historic Black Colleges and Universities (HBCU) experience. Discuss National Register significance and the integrity of the resource. Describe how the project will result in the recognition, understanding,

and preservation the HBCU story. Criterion 2 Severity of Threat to Property Describe the current condition of the historic property and explain how it is threatened or endangered. The source(s), nature, extent, and severity of the threat, danger or damage to the property must be clearly and convincingly argued. Criterion 3 Mitigation of Threat to Property Projects must substantially mitigate or eliminate the threat, danger, or damage described and must have a clear public benefit (for example, historic places open for visitation or public use). Please clearly state what preservation activities will be undertaken as part of this project and address the following points: 1. Describe the key project activities and products to be supported by this grant and the non-Federal match if provided. 2. Describe how the work will significantly diminish or eliminate the threat, danger, or damage to the historic property. 3. Explain any pre-project planning or research, such as Historic Structures Reports, on which project decisions are based. 4. List the key type of personnel undertaking the work and briefly describe the qualifications you will require. Consultants must be selected competitively and their qualifications reviewed and approved by the Federal agency overseeing the grant. 5. Describe how the project will have a clear public benefit. 6. Explain how your organization will ensure continued maintenance of the historic property. This includes current and future maintenance plans. 7. If this application is for one component of a larger project, has that project begun? If so, what work has been completed? Has the ongoing work been reviewed by the State Historic Preservation Office? 8. If the building will have a new use after the grant funded work is complete, what is that use? 9. Is any new construction planned in the vicinity of the historic property? 10. Will this project also involve the use of Federal Historic Preservation Tax Credits? Criterion 4 - Feasibility The project must be feasible (i.e. able to be completed within the proposed activities, schedule, and budget described in the application). The application must: 1. Describe how the project will result in the recognition, understanding, and preservation of associated resources. Describe any public-private partnerships involved in the project and how these partnerships will sustain and continue to support the resource(s) after the grant project is complete. Describe how the public will be actively involved in the project. Provide a timeline for project completion. Should your application be successful, the period of support will be negotiated by you and the funding agency but is typically 2-3 years. No work undertaken before a grant is awarded will be supported by grant funds. Timeline should not include specific dates, e.g. Month 1: planning Month 2: Research. Criterion 5 Department of the Interior Priorities Please discuss how the program will support at least one of the following Department of the Interior (DOI) priorities: Modernizing our infrastructure: Remove impediments to infrastructure development and facilitate private sector efforts to construct infrastructure projects serving American needs; Restoring trust with local communities: Expand the lines of communication with Governors, state natural resource offices, Fish and Wildlife offices, water authorities, county commissioners, Tribes, and local communities. Award Procedure: Applications and accompanying documentation are reviewed by the National Park Service (NPS) staff in the Washington Office (WASO) for program eligibility. Their recommendations are approved by the Secretary of the Interior through an apportionment process. Grants are awarded by NPS WASO directly to HBCUs.

15.933 Preservation of Japanese American Confinement Sites

JACS,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Preservation of Japanese American Confinement Sites Act, Public Law 109-441, 120 Stat. 3288,

OBJECTIVES:

To provide for the preservation and interpretation of historic confinement sites where Japanese Americans were detained during World War II. The program was established to encourage projects that identify, research, evaluate, interpret, protect, restore, repair, and acquire historic confinement sites in order that present and future generations may learn and gain inspiration from these sites and that these sites will demonstrate the Nation's commitment to equal justice under the law.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Japanese American Confinement Sites grant funds may be used for identifying, researching, evaluating, interpreting, protecting, restoring, repairing, and acquiring historic confinement sites where Japanese Americans were detained during World War II as authorized by the Preservation of Japanese American Confinement Sites Act of 2006. These historic confinement sites are defined as the ten War Relocation Authority camps (Gila River, Granada (Amache), Heart Mountain, Jerome, Manzanar, Minidoka, Poston, Rohwer, Topaz, and Tule Lake), as well as other historically significant locations, as determined by the Secretary of the Interior, where Japanese Americans were detained during World War II. These sites are specifically identified in Confinement and Ethnicity: An Overview of World War II Japanese American Relocation Sites, published by the Department of the Interior, National Park Service, Western Archeological and Conservation Center, in 1999. For further information, Please contact the Regional Office.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicant may be State and local agencies, public or private nonprofit institutions/organizations, Federally recognized Indian tribal governments, State colleges and universities, public and private colleges and universities.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; Education Professional; State; U.S. Citizen; Local; Providing present and future generations of Americans learning opportunities about the nations commitment to equal justice under the law.

Credentials/Documentation:

Applicant must submit proof of applicant's governmental, non-profit or institutional status; a letter from the owner giving consent to the grant applicant as the grantee of record to undertake work on the property or collection (if applicable). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year. Recommendations will be approved by the Secretary of Interior through an apportionment process. Grants will be awarded by NPS directly to selected grantees.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 60-120

days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award, upon request.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Each grant requires a 2:1 Federal to non-Federal match; that is, to receive two dollars of Federal funds at least one dollar non-Federal match is required. The match may be composed of cash or in-kind contributions. The non-Federal match may be raised and spent during the grant period; it does not have to be "in the bank" at the time of application. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

1-2 years will be the typical duration of funded awards. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1042-0-2-303.

Obligations:

Project Grants (Discretionary) FY 20 \$3,154,933.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Range: \$16,000.00 - \$250,000.00 Average: \$150,000.00

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Seventeen entities received grant funding. Fiscal Year 2021: Twenty-one entities received grant funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Assistance Locations. Kara Miyagishima, Program Manager Japanese American Confinement Sites Grant Program National Park Service 12795 W. Alameda Parkway Lakewood, CO 80228 P.O. Box 25287 Denver, CO 80225-0287 Phone: 303-969-2885 Fax: 303-987-6675

Headquarters Office:

Program Manager Kara Miyagishima, National Park Service - Intermountain Regional Office, 12795 W. Alameda Parkway, Lakewood CO 80228

ASSISTANCE LISTINGS DESCRIPTIONS

Email: Kara_Miyagishima@nps.gov
Phone: (303) 969-2885.

Website Address:

<https://www.nps.gov/jacs/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Project throughout the pacific west and mid-west focusing on protection and interpretation of the history of Japanese internment in the US were funded. A list of funded projects can be found at

https://www.nps.gov/jacs/downloads/2020_GrantAwardSummaries.pdf 2021 A list of funded projects can be found at

<https://www.nps.gov/jacs/downloads/2021projects.pdf>

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Eligible project types include: capital projects, documentation, oral history interviews, interpretation and education related to historic confinement sites, preservation of confinement sites and related historic resources, planning projects, and non-Federal real property acquisition (allowed only with the owners written permission at only Heart Mountain, Honouliuli, Jerome, Rohwer, and Topaz, per stipulations of Public Laws 109-441 and 11188), all benefiting one or more historic Japanese American confinement sites (as established by Public Law 109-441).

15.935 National Trails System Projects

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

16 U.S.C. 1246(h)(1) National Trails Act.

OBJECTIVES:

To preserve, protect, and develop the components of the National Trails System, with a strong emphasis on volunteer involvement, the Secretary of the Interior may enter into written cooperative agreements to operate, develop, and maintain any portion of such a trail either within or outside a federally administered area. The Secretary may provide financial assistance to encourage participation in the acquisition, protection, operation, development, or maintenance of such trails.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funding is to be used by States or their political subdivisions, landowners, private organizations, or individual to operate, develop, and maintain any portion of such a trail either within or outside a federally administered area. Such agreements may include provisions for limited financial assistance to encourage participation in the acquisition, protection, operation, development or maintenance of such trails. After consulting with States and their political subdivisions, the Secretary may provide assistance to such entities under appropriate cooperative agreements. The availability of discretionary funding is determined by individual trail offices each fiscal year.

Applicant Eligibility:

Intrastate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); States or their political subdivisions, landowners, private organizations, or individuals.

Beneficiary Eligibility:

Individual/Family; Profit organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Intrastate; State; Local; Federally Recognized Indian Tribal Governments; General public, and States or their political subdivisions,

landowners, private organizations, or individuals.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. .

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 60 to 90 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. When Challenge Cost Share authorities are used to enter into a cooperative agreement there is a required 50% recipient cost share. When National Trail Act authorities and funding is used to enter into a cooperative agreement no cost share requirements exist. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on the complexity of the project. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1035-1-1-303.

Obligations:

Project Grants FY 20 \$6,089,013.00; FY 21 est \$6,000,000.00; FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

Range: \$7,000.00 - \$821,000.00 Average: \$100,000.00

TAFS Codes:

14-1035.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Thirty-five awards were made to non-profit entities for trail development and enhancements.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Assistance Locations. Ala Kahakai National Historic Trail (NHT) Arik Arakaki, Supt., arik_arakaki@nps.gov. Appalachian National Scenic Trail (NST) Pam Underhill, Park Manager, Pamela_underhill@nps.gov. California, El Camino Real de los Tejas, El Camino Real de Tierra Adentro, Mormon Pioneer, Old Spanish, Oregon, Pony Express, Santa Fe, and Trail of Tears NHTs Aaron Mahr, Supt., aaron_mahr@nps.gov. Captain John Smith Chesapeake and Star-Spangled Banner NHTs John Maounis, Supt., john_maounis@nps.gov. Ice Age and North Country NSTs Pamela Schuler, Acting Supt., pam_schuler@nps.gov. Juan Bautista de Anza NHT Naomi Torres, Supt., naomi_torres@nps.gov. Lewis and Clark NHT Mark Weekley, Supt., mark_weekley@nps.gov. Natchez Trace NST Cam Sholly, Supt., cam_sholly@nps.gov. New England NST Charlie Tracy, Supt., charles_tracy@nps.gov. Overmountain Victory NHT Paul Carson, Supt., paul_carson@nps.gov. Potomac Heritage NST Don Briggs, Supt., don_briggs@nps.gov. Selma to Montgomery NHT Sandy Taylor, Supt., sandy_taylor@nps.gov. Washington-Rochambeau Revolutionary Route NHT Joe DiBello, Supt., joe_dibello@nps.gov.

Headquarters Office:

Stephan Nofield,
1849 C Street, NW,,
Washington DC 20240
Email: Stephan_nofield@nps.gov
Phone: (202) 354-6900

Website Address:

<http://www.nps.gov/nts/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The following conservancy groups and trail associations received funding to enhance and develop recreational experiences at the local level: Appalachian Trail Conservancy Trail of Tears Assoc, The Environmental Education Exchange Missouri River Basin Lewis & Clark Interpretative Trail & Visitor Cent Appalachian Trail Conservancy Old Spanish Trail Association Appalachian Trail Conservancy Pride of Baltimore Inc Sheffield, City of Appalachian Trail Conservancy Overmountain Victory Trail Association Museum of the American Revolution Museum of the American Revolution Santa Fe Trail Association Museum of the American Revolution National Pony Express Pride of Baltimore Inc Sheffield, City of Appalachian Trail Conservancy Overmountain Victory Trail Association Friends of the Tubac Presidio and Museum Inc Appalachian Trail Conservancy El Camino Real De Los Tejas National Historical Tr Overmountain Victory Trail Association Youth Outdoor Experience Discovery Expedition of St Charles, Missouri Inc Oregon-California Trails Association Appalachian Trail Conservancy Nakoa Foundation Appalachian Trail Conservancy Appalachian Trail Conservancy Los Angeles, City of Northern Virginia Regional Commission Northern Virginia Regional Commission Friends of the Los Angeles River

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. These criteria may vary somewhat from region to region.

15.938 Boston African-American National Historic Site

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 96-430, Title I, Boston African American National Historic Site

OBJECTIVES:

As set forth in authorizing legislation, this programs objective is to enter into cooperative agreements to mark, interpret, restore, provide technical assistance, or any combination thereof for such activities that may be necessary for the preservation of any properties within Boston African American National Historic Site.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is authorized between the Department of the Interior, National Park Service, Boston African-American National Historic Site and the Museum of African-American History

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Museum of African-American History.

Beneficiary Eligibility:

Federal; Minority group; Private nonprofit institution/organization; Anyone/general public; Museum of African-American History and the General public.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Consultation or assistance between the Superintendent, Boston African-American National Historic Site and the Museum of African-American History will occur. Projects will be awarded as determined by the Secretary, through the Superintendent, Boston African American National Historic Site, within the requirements of applicable existing bureau and agency regulation and policy. If funding is approved, a Notice of Intent to Award Funds will be posted at grants.gov.

Award Procedure:

After project needs and requirements are developed, the National Park Service will issue the Museum of African American History a written cooperative agreement for execution upon which the project can proceed. Department of the Interior policy allows projects that meet the criteria for single source opportunities to be issued by an agency, which are specifically directed to a known recipient.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project.

Appeals:

Other. None.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information

ASSISTANCE LISTINGS DESCRIPTIONS

will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on project complexity. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1035-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$10,000.00; FY 22 est \$10,000.00;

Range and Average of Financial Assistance:

Range: \$1,000 - 10,000

TAFS Codes:

14-1035.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No projects were funded this year. Fiscal Year 2021: If funding is available cooperative agreements will be utilized to provide for restoration of historic property and visitor services at Boston African American National Historic Site.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Assistance Locations. Michael Creasey, General Superintendent National Parks of Boston 15 State Street 9th Floor Boston, Massachusetts 02109 michael_creasey@nps.gov (617)242-5644

Headquarters Office:

Michael Creasey, Superintendent,
National Parks of Boston,
Boston MA 02109
Email: Michael_Creasey@nps.gov
Phone: (617)242-5644

Website Address:

<http://www.nps.gov.boaf>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No projects were funded this fiscal year. 2021 Potential projects to be funded, if funding is available, will include signage, trail work, educational and preservation.

CRITERIA FOR SELECTING PROPOSALS:

Appropriations for the purpose of cooperative projects must be available and an

agreement is in the best interests of the United States.

15.939 Heritage Partnership

National Heritage Areas,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Pub. L. 109338, 1(a), Oct. 12, 2006, 120 Stat. 1783 National Heritage Areas Act of 2006.54 U.S.C. 101702(a) Transfer of Service Appropriated Funds,

OBJECTIVES:

The purpose of the Heritage Partnership Program funding is to carry out programs and projects that engage NHA recipients, partners, communities, and/or visitors in shared environmental stewardship. It promotes greater public and private participation in preservation, conservation, education, and outdoor recreation programs and activities, and builds resource stewardship ethics in its participants. Goals for each National Heritage Area are set forth in each of their designation legislation, but in general, the goal of designation as a National Heritage Area is to preserve and interpret for the educational and inspirational benefit of present and future generations the unique and significant contributions to our national heritage. This heritage is shown through certain historic and cultural lands, waterways, and structures. By preserving and interpreting this heritage, NHAs are able to encourage a broad range of economic opportunities enhancing the quality of life within the designated area, and provide a management framework to assist state/local government entities, non-profits, and others in developing policies and programs that will preserve, enhance, and interpret the cultural, historical, natural, recreation, and scenic resources of the heritage area.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding and/or assistance is managed by an agency, nonprofit or other organized party identified in the public law that created the National Heritage Area. Funding is to accomplish intended purpose specified within each individual National Heritage Area's public law. Funding applies to interpretation and education, recreation, preservation and conservation and economic development of the region applied specifically to the nationally important story for which they were established.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organization.

Beneficiary Eligibility:

Public nonprofit institution/organization; General public, states, their political subdivisions, non-profits, private entities, the heritage area management/coordinating entity.

Credentials/Documentation:

2 CFR Part 200, in its entirety, applies to this program 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30 90 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. 50% recipient cost share is required under this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on complexity of project. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1042-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$20,512,794.00; FY 21 est \$20,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Range: \$150,000 - \$710,000 Average: \$411,000

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, National Heritage Areas: Leveraged \$89.5 million in cash and in-kind matching support to carry out heritage projects and programs, greatly increasing the impact of the \$20.9 million in federal Heritage Partnership Program funding awarded. Engaged 2,829 formal partners and 5,210 informal partners in heritage area activities. Benefited from 23,152 volunteers, contributing over 394,463 hours, equivalent to a \$10.1 million dollar value.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Assistance Locations. Regional Coordinators and individual heritage area contacts are listed at <http://www.nps.gov/history/heritageareas/CNTC/>

Headquarters Office:

Elizabeth Vehmeyer,
National Park Service Main Interior Building,
Washington DC 20005
Email: Elizabeth_Vehmeyer@nps.gov

Phone: 2023542215

Fax:

Website Address:

<https://www.nps.gov/subjects/heritageareas/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 National Heritage Areas as designated by Congress received funding for recreational enhancements and educational outreach opportunities. Freedom's Way Heritage Assoc., Inc. Journey Through Hallowed Ground Partnership, The Freedom's Way Heritage Assoc., Inc. Shenandoah Valley Battlefields Foundation Susquehanna Heritage Corporation Appalachian Forest Heritage Area, Inc. Upper Housatonic Valley National Last Green Valley, Inc., The Sangre De Cristo National Heritage Area Northern Rio Grande National Heritage Area Northern Rio Grande National Heritage Area Yuma Crossing National Heritage Area Corp Oil Region Alliance of Business, Industry & Tourism Park, County of Upper Housatonic Valley National Journey Through Hallowed Ground Partnership, all received funding to undertake activities as outlined in their congressionally approved work-plans.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.940 New Bedford Whaling National Historic Park Cooperative Management

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

U. S. C.; 410ddd(e)(2)(a) New Bedford Whaling National Historic Park,

OBJECTIVES:

Legislation passed by Congress allows for the historic park to work collaboratively with interested entities and individuals to provide for visitor understanding, appreciation and enjoyment of the park and surrounding historic areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is limited to cooperative agreements with interested entities and individuals to provide for the preservation, development, interpretation, and use of the park.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; State, local and tribal governments, other public entities, education institutions, and private nonprofit organizations.

Beneficiary Eligibility:

Anyone/general public; General Public

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Interested parties contact the park superintendent to discuss possible projects. Some projects are subject to compliance with the National Environmental Policy Act (NEPA).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on project need and the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project and eligible funding. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Per the park's enabling legislation every one dollar of Federal funds shall be matched by four dollars of funds contributed by non-federal sources to provide for the preservation, development, interpretation, and use of the park. Every dollar of Federal funds shall be matched by one dollar of funds contributed by nonfederal sources for construction, restoration and rehabilitation of visitors and interpretive facilities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on the complexity of the project. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1036-0-1-130.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$15,000.00; FY 22 est \$15,000.00;

Range and Average of Financial Assistance:

Range: \$2,500.00 - \$15,000.00

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Park Superintendent, New Bedford Whaling National Historic Park, 33 William Street,

New Bedford MA 02740

Email: jennifer_smith@nps.gov

Phone: xxx.xxx.xxxx

Website Address:

<http://www.nps.gov/nebe>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No projects were funded. If projects are funded in the future they would include visitor services, public programming and outreach.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov, but will include: Appropriateness to the themes and mission of the park Contribution to visitor understanding, appreciation and enjoyment Clarity of project objectives including final product or result Ability to clearly demonstrate matching requirement Ability to clearly demonstrate collaborative nature and park's substantial involvement

15.941 Mississippi National River and Recreation Area State and Local Assistance

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

OBJECTIVES:

The Mississippi National River and Recreation Area is rich with historical and cultural stories. This program provides assistance and/or funds to enhance partner organization planning for and interpretation of non-Federal publicly owned lands within the recreational area.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funding and/or assistance is to be used by the State of Minnesota or its political subdivisions for the acquisition and development within the Area of lands and waters or interest therein in a manner within the legislation. Funding and/or assistance may be used to assist in the planning for and interpretation of non-Federal publicly owned lands within the Area and to develop and implement programs compatible with the comprehensive management plan. The availability of funding is determined by the Mississippi NRRRA each fiscal year.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher

ASSISTANCE LISTINGS DESCRIPTIONS

education and hospitals; State; The State of Minnesota or its political subdivisions, counties, cities, non-profits

Beneficiary Eligibility:

State; Local; The State of Minnesota or its political subdivisions, counties, cities, non-profits

Credentials/Documentation:

2 CFR part 200, in its entirety, applies to this program 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Consultation or assistance from the agency with this application may be available from the NPS. Projects may be subject to compliance with the National Environmental Policy Act. There are no pre-application requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30 to 90 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The recipient must be able to provide 50 percent cash match for grants in accordance with 16 U.S.C. 460zz-5(a). If funds are being provided from the Challenge Cost Share program, there must be a 50 percent match for a cooperative agreement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on complexity of project. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance

Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-8037-0-1-303. 14-1036-0-1-303.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$183,482.00; FY 21 est \$200,000.00; FY 22 est \$200,000.00;

Range and Average of Financial Assistance:

Range: \$18,000.00 - \$170,000.00 Average: \$25,000.00

TAFS Codes:

14-8037. 14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: One project was funded this fiscal year. Funding was provided to the State of Minnesota to undertake recreational projects along the Mississippi corridor.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

None.

Headquarters Office:

Administrative Officer/Agreements Technical Representative Denise St. Marie,, 111 E Kellogg Blvd., Suite 105, St. Paul MN 55101
Email: denise_st_marie@nps.gov
Phone: (651) 290-3030 ext. 226.

Website Address:

<http://www.nps.gov/miss>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The State of Minnesota will use funds to provide educational and interpretive programming throughout the recreation area in their state including the birthplace of Minneapolis at St. Anthony Falls and the Stone Arch Bridge along with parks detailing the historic nature of this section of the river. Below the Falls the Mississippi carves a deep gorge with foot and bike trails paralleling the river on the bluff tops. Other trails take the hiker through parks, such as Minnehaha Regional Park, home to a 53-foot tall falls made famous in Longfellow's poem, "The Song of Hiawatha."

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.942 Environmental Outreach and Conservation - North Cascades

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

54 U.S.C. 200103(g)(1) Outdoor Recreation of Programs, Research and Education; 54 U.S.C. 101702(b) Cooperative Research and Training Programs; 54 U.S.C.

ASSISTANCE LISTINGS DESCRIPTIONS

101702(a) Transfer of Service Appropriated Funds,

OBJECTIVES:

Provide field-based environmental outreach (focusing on natural and cultural history), providing programs of public outreach, youth engagement, involvement in conservation, natural science, history and related fields of study, and conducting interpretive activities about the Skagit River and the North Cascades bioregion.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Discretionary appropriated funds shall be made available for Agreements on a case specific basis.

Applicant Eligibility:

Federal; Sponsored organization; State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organization.

Beneficiary Eligibility:

Anyone/general public; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Sponsored organization; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; General public

Credentials/Documentation:

2 CFR part 200, in its entirety, applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. All funded projects will be developed collaboratively between the three partners and executed in accordance with availability of appropriations, application law and regulations.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. In accordance with applicable law or regulation. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on complexity of project. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the

following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1039-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$15,000.00; FY 22 est \$15,000.00;

Range and Average of Financial Assistance:

Range: \$0Average: \$15000

TAFS Codes:

14-1039.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No projects were funded this fiscal year. Future funding is based on projected appropriations.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

None.

Headquarters Office:

Chief of Visitor Services Denise Shultz,
North Cascades National Park Service Complex, Denise Shultz, , 810 State Route 20,,

Sedro Woolley WA 98284

Email: denise_m_shultz@nps.gov

Phone: (360) 854-7302.

Website Address:

<https://Nps.gov/noca>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No projects were funded. 2021 If project funding is available funds will be used to provide programs of public outreach involving conservation and natural science.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Projects will be accomplished in cooperation with the three partners and be in accordance with established priorities. Funding source will determine criteria for prioritization. Notice of Intent to Award Funds will be posted on grants.gov prior to initiation of agreements in the case of collaborative projects.

15.943 Challenge Cost Share

National Park Service Challenge Cost Share,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

54 USC 101701(b) Challenge Cost-share Agreement Authority.,

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

The Challenge Cost Share Program is intended to support specific National Park Service mission-related projects that align with goals of local project partners. National Park Service staff will work with local project partners to achieve these mutually beneficial outcomes. This partnership challenge seeks to reward proposals that have the best prospects to build enduring benefits and develop new partnerships.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program supports small-scale partnership projects with units of the National Park System, NPS centers and program areas, and National Trails. All projects and activities receiving these funds must meet a minimum 50:50 match of cash, goods, or services from a non-Federal source. Applications must be approved by appropriate NPS park, program, center, or trails staff.

Applicant Eligibility:

Sponsored organization; State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organization.

Beneficiary Eligibility:

Anyone/general public; General public, trail system users, and organizations with conservation missions.

Credentials/Documentation:

NPS staff and interested project partners should discuss and develop their idea in keeping with NPS goals, partners' interests, and the goals and criteria of this Challenge Cost Share opportunity. Every application must meet these Qualifying Criteria before further consideration: Supports National Park Service Responsibilities The project must benefit one or more national parks, National Trails, and/or Wild and Scenic Rivers. Collaboration and Cost-Sharing The project must be financially supported, equally, by NPS and partner(s). The partner(s) must commit to providing a total match equivalent to the level of CCS funding received. This match can consist of in-kind goods and services as well as cash from non-federal sources. Maximum Financial Support The maximum CCS funding provided per project is \$25,000. Timing The proposed project should be substantially complete within nine months from the date that CCS funds are disbursed. No NPS Salaries CCS support may not be used for any ONPS staff salaries. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact Regional/Trail offices for more specific application information. Some projects may be subject to the National Environmental Policy Act (NEPA).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Memorandum of Understanding (MOU) or the Challenge Cost Share project (CCSP) application can be used as the initial Partnership Agreement to establish the relationship and the intent of the partnership. If CCSP funds are transferred directly to a partner, a cooperative agreement or other instrument will be utilized.

Award Procedure:

Projects that directly benefit one or more NPS-administered Parks, National Trails, and Wild and Scenic Rivers (including Partnership Wild and Scenic Rivers). Applications are submitted only by National Park Service staff; project partners are expected to collaborate fully in the application process, but are not themselves eligible to apply. Partners may be educational institutions, private for-profit entities, or non-profit organizations. The project must have the endorsement of the NPS, as well as assurance from the local partner(s) that the projects budget is viable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The non-Federal contribution(s) is at least 50% of the CCSP share and all costs detailed in the budget. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects are expected to be completed in one year, but can be extended based on the complexity of the project. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. The SF-425, Federal Financial Report Program Performance Report

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

14-8037-0-1-303.

Obligations:

Direct Payments for Specified Use FY 19 Not Available; FY 20 Not Available; FY 21 Not Available;

Range and Average of Financial Assistance:

Funding is not provided through grants or cooperative agreements.

TAFS Codes:

14-8037.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: NPS parks partnered with non-profit and other community organizations to bring mission related programming to the wider visitorship at the individual parks.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Assistance Locations. Stephan Nofield
stephan_nofield@nps.gov 202-354-6922 1849 C Street NW Room
1344 Washington, DC 20240

Headquarters Office:

Stephan Nofield,
1849 C Street, NW.,,
Washington DC 20240
Email: Stephan_nofield@nps.gov
Phone: 2023546900
Fax:

Website Address:

<http://www.nps.gov/ccsp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Projects promote improved access and opportunities for outdoor recreation, environmental stewardship, and education in our National Parks, National Trails and / or Wild and Scenic Rivers.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted

ASSISTANCE LISTINGS DESCRIPTIONS

on www.grants.gov.

15.944 Natural Resource Stewardship

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

54 U.S.C. 101702(d) Cooperative Agreements for System Unit Natural Resource
Protection,;

OBJECTIVES:

The Natural Resource Stewardship program is the principle means through which the NPS evaluates and improves the health of watersheds, landscapes, and marine and coastal resources, sustains biological communities on the lands and waters in parks, and actively endeavors to improve the resiliency of these natural resources and adapt them to the effects of climate change. The National Park Service (NPS) conducts natural resource stewardship largely at the park level, utilizing park personnel and contractor or cooperative support. To carry out and further this stewardship responsibility, the Service implements programs that encompass a broad range of research, operational, and educational activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds used for all aspects of natural resource (air, water, geological, biological) stewardship activities, including but not limited to resource inventories and monitoring, restoration projects, wildlife and plant management, and climate change mitigation and adaptation. Funds may not be used for acquisition of real property.

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; State, local, or tribal governments, other Federal agencies, other public entities, educational institutions, private nonprofit organizations.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; General public

Credentials/Documentation:

Applicant entities must have expertise in the contemplated resource management and/or research project. If applying as a nonprofit organization, proof of nonprofit status will be required. Examples of prior work in technical areas related to management and research in natural areas is required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination will be specified in the Funding Opportunity Announcement, if applicable. Environmental impact assessment or statement may be required for projects within this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30 to 60 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Recipients share some project or program costs. The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on complexity of project. Method of awarding/releasing assistance: other
Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1036-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$12,909,869.00; FY 21 est \$12,000,000.00; FY 22 est \$12,000,000.00;

Range and Average of Financial Assistance:

Range: \$11,000- \$1,400,000 Average: \$155,000.00

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 60+ projects supporting the health of watersheds, marine and coastal biological communication were funded. Funding was provided to state and non profit organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:**Headquarters Office:**

Karel Morales,
1201 Eye Street NW,
Washington DC 20024
Email: Karel_Morales@nps.gov
Phone: (202)513-7204

Website Address:

<http://www.nature.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Recipients including the Northern Research Technical Assistance Center, Pacific Northwest Trail Association, Public Health Foundation Enterprises, Inc., Kiowa County Economic Development Foundation, California Department of Conservation, New Mexico Institute of Mining and Technology, Point Reyes National Seashore Association, Arizona Dept of Game and Fish, Golden Gate National Parks Conservancy, Calaveras Healthy Impact Product Solutions, received funding to undertake projects such as trail enhancement, archeological studies, wildlife protection programs, and natural resource preservation programs.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.945 Cooperative Research and Training Programs Resources of the National Park System

Cooperative Ecosystem Studies Units (CESU) Network,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

54 U.S.C. 100703 Cooperative Study Units 54 U.S.C. 101702(a) Transfer of Service Appropriated Funds;

OBJECTIVES:

To support coordinated cooperative research, technical assistance, education and training, and usable knowledge development to inform science-based management of the National Park System. To establish and maintain cooperative study units, in partnership with federal, state, and local governments, tribes, nonprofit organizations, and institutions of higher education, to facilitate multi-disciplinary science and integrated information products related to the resources of the National Park System. To maintain a comprehensive network cooperative study units as will provide full geographic and topical coverage for research, technical assistance, and educational activities related to the resources contained in units of the National Park System and their larger regions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Secretary of the Department of the Interior must determine that appropriations for the purpose of cooperative management of projects.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; State and local governments, federally recognized Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State and local governments, federally recognized Indian tribal governments,

public/private nonprofit organizations, nonprofit institutions of higher education, and individuals (including members of the general public).

Credentials/Documentation:

Specific review criteria will be detailed in the Notice Of Funding Opportunity announcement on Grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination will be specified in the Funding Opportunity Announcement, if applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, and points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response to announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Some projects may be conducted on a predetermined cost share basis. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis and available appropriations. A project may last from 3 months to 5 years. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

14-1036-0-1-303, 14-1042-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$39,783,735.00; FY 21 est \$40,000,000.00; FY 22 est \$40,000,000.00;

Range and Average of Financial Assistance:

Range: \$5,000.00 - \$970,000.00 Average: \$48,500.00

TAFS Codes:

14-1036, 14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funding provided through over 300 agreements assisted researchers, scientists and resource managers across the country through projects occurring on National Park lands.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200; NPS FAP&P 1443-2017-02

Regional or Local Office:

See Regional Assistance Locations. Cooperative Ecosystem Studies Units Network National Program Office 1849 C Street NW, Room #2649 Washington, DC 20240 Dr. Thomas E. Fish, National Coordinator Email: Tom_Fish@nps.gov Phone: (202) 354-1825

Headquarters Office:

National Coordinator Dr. Thomas E. Fish, Cooperative Ecosystem Studies Units Network National Program Office 1849 C Street NW, Room #2649, Washington DC 20240
Email: Tom_Fish@nps.gov
Phone: (202) 354-1825

Website Address:

<http://www.cesu.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Research studies provided parks and other non-federal resources managers with techniques, methods and further education about climate, natural resources, cultural resources, resource protection.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.946 Cultural Resources Management

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

54 U.S.C. 320102(f) American Antiquities Act; 54 U.S.C. 101702(a) Transfer of Service Appropriated Funds,

OBJECTIVES:

The National Park Service (NPS) conducts cultural resource stewardship largely at the park level. To carry out and further this stewardship responsibility, the Service implements programs that encompass a broad range of research, operational, and educational activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds used for all aspects of cultural resource stewardship activities, including but not limited to inventory, monitoring, research, rehabilitation, reconstruction, restoration, preservation, documentation, data recovery, education, and climate change mitigation and adaptation. The Secretary of Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Funds may not be

used for acquisition of real property.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State; State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organization.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State and local governments, Federally recognized Indian Tribal governments, nonprofit organizations, educational or scientific institutions, associations, individuals.

Credentials/Documentation:

Generally, applicant entity must have expertise in the contemplated resource management and/or research project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30 120 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on project complexity. Will be specified in the Funding Opportunity Announcement, if applicable. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such

ASSISTANCE LISTINGS DESCRIPTIONS

as property inventories, and patent and invention disclosures. Other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1036-0-1-303. 14-1042-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$19,111,765.00; FY 21 est \$19,000,000.00; FY 22 est \$19,000,000.00;

Range and Average of Financial Assistance:

Range: \$1,000.00 - \$5,500,000.00 Average: \$192,000.00

TAFS Codes:

14-1042. 14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: More than 100 projects were funded that support the National Park Service mission of protecting important resources that are enjoyed by the public.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Joy Beasley,

U.S. Department of the Interior, 1849 C Street NW, Room #2737, Washington DC 20240

Email: joy_beasley@nps.gov

Phone: (202)208-7625

Website Address:

<http://www.nps.gov/history/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Projects were funded across the National Park Service. Over \$18,000,000 funded Research to identify, evaluate, document, register, and establish basic information about cultural resources and traditionally associated peoples; Planning to ensure that management processes for making decisions and setting priorities integrate information about cultural resources, and provide for consultation and collaboration with outside entities; and Stewardship to ensure that cultural resources are preserved and protected, receive appropriate treatments (including maintenance), and are made available for public understanding and enjoyment.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.947 Boston Harbor Islands Partnership

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Boston Harbor Islands National Recreational Area 16 U.S.C. 460kkk(d),

OBJECTIVES:

This program is to enter into cooperative agreements with partners of Boston Harbor Islands National Recreation Area. Through cooperative agreements, the Recreation Area works collaboratively with interested entities to provide for safe visitor access, public information, youth programs, resource management, citizen science, and scholarly research.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Cooperative agreements with the Commonwealth of Massachusetts or its political subdivisions to acquire from and provide to the Commonwealth or its political subdivisions goods and services to be used in the cooperative management of lands within the recreation area, if the Secretary determines that appropriations for that purpose are available and the agreement is in the best interest of the United States.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The Commonwealth of Massachusetts, members of the Boston Harbor Islands Partnership, and other public entities, education institutions, and private nonprofit organizations.

Beneficiary Eligibility:

Anyone/general public; General Public

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Dependent on fund source and type of project. See the following for information on

ASSISTANCE LISTINGS DESCRIPTIONS

how assistance is awarded/released: For further information, please contact the regional office. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1036-0-1-303. 14-1039-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$25,000.00; FY 22 est \$25,000.00;

Range and Average of Financial Assistance:

\$1,000 - \$25,000

TAFS Codes:

14-1036. 14-1039.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is anticipated that at least one project will be funded which will expand public awareness, access and engagement through education, youth and volunteer programming.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Assistance Locations. Michael Creasey, General Superintendent National Parks of Boston 15 State Street 9th Floor Boston, Massachusetts 02109 michael_creasey@nps.gov (617)242-5644

Headquarters Office:

Michael Creasey, Superintendent,
Boston Harbor Islands National Recreation Area,
Boston MA 02109
Email: michael_creasey@nps.gov
Phone: (617)242-5644

Website Address:

<https://www.nps.gov/boha>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No projects were funded this fiscal year. 2021 If funding is available the Park anticipates funding projects that promote public awareness, access and engagement; and repairs deferred maintenance issues.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.948 National Fire Plan-Wildland Urban Interface Community Fire Assistance

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Cooperative Agreements, Transfer of Service Appropriated Funds USC Code 54; 101702(a). Authority of Secretary to use appropriated funds for fire protection agencies USC Code 54; 102711. Rental of equipment for fire control purposes USC Code 54; 100901(h)(3).

OBJECTIVES:

To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: community programs that develop local capability including; assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education, and mitigation methods on a cost share basis.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Dissemination of Technical Information, Provision of Specialized Services, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Hazard reduction activities are restricted to Federal lands, or adjacent nonfederal land for activities that benefit resources on Federal land. Assistance can be used to help the NPS support community based efforts addressing defensible space and fuels management issues; support outreach and education efforts associated with fuels management and risk reduction activities; and increase the effectiveness of rural fire protection. Funding is highly variable each fiscal year. For further information, please contact the regional office.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; States and local governments at risk as published in the Federal Register or as determined by the state, Indian Tribes, public and private education institutions, nonprofit organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; States and local governments at risk as published in the Federal Register or as determined by the state, Indian Tribes, public and private education institutions, nonprofit organizations.

Credentials/Documentation:

2 CFR Part 200, in its entirety, applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Wildland Urban Interface community projects are reviewed at the Regional level and funding recommendations are made through each Regions annual work plan. Final budget approvals rest with the Regional Director or Park Unit Manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Award time varies depending on the type and complexity of the project.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No specific restrictions apply, however, most projects are funded on a year-to-year basis and funds are normally expended during the current fiscal year. Method of awarding/releasing assistance: other

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual or annual performance reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, grantees must submit a final performance report 90 days after the end date of grant performance. Progress reports Recipients are required to submit quarterly Federal Financial Report (SF-425) 30 days following the end of the reporting period unless otherwise stated in the award document. A final SF-425 is required 90 days after the end date of grant performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1125-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$610,935.00; FY 21 est \$500,000.00; FY 22 est \$50,000.00;

Range and Average of Financial Assistance:

Range: \$200,000 - \$600,000 Average: \$450,000.00

TAFS Codes:

14-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No new agreements were entered into. The program continues to fund training, prevention and other fire fighting requirements in Marin County.

REGULATIONS, GUIDELINES, AND LITERATURE:

Additional information can be found on the Forest and Rangelands website: www.forestsandrangelands.gov

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for addresses.

Headquarters Office:

Mark Koontz,
National Park Service National Interagency Fire Center,
Boise ID 83705
Email: mark_koontz@nps.gov
Phone: (208) 387-5090

Website Address:

<https://www.forestsandrangelands.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funding was granted to Marin County, CA in continued fire mitigation planning and prevention.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their ability to reduce the

risk of wildland fire to community values and to increase the capabilities of rural fire organizations, in the vicinity of Federal lands.

15.954 National Park Service Conservation, Protection, Outreach, and Education

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

54 U.S.C. 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds; 54 U.S.C. 200103(g)(1) Outdoor Recreation of Programs, Research and Education.

OBJECTIVES:

This program supports projects complementary to National Park Service program efforts in natural and cultural resource conservation, protection, and environmental sustainability. This program funds projects that support the mission of the National Park Service, but are not covered under other specific programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications must be for projects within the National Park Service area of responsibility. The Secretary of the Interior must determine that appropriations for the purpose of cooperative agreement projects are available and an agreement is in the best interests of the United States. Funds may not be used for acquisition of real property.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; State, local and tribal governments, educational institutions, and nonprofit organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Other public institution/organization; Profit organization; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Scientist/Researchers; Engineer/Architect; Anyone/general public; Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State, local and tribal governments, industry and public decision makers, research scientists, engineers, and the general public.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Due to limited availability of funds to support new external projects, consultation with NPS is strongly recommended prior to submission of applications.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

ASSISTANCE LISTINGS DESCRIPTIONS

deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30 120 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Recipients may share some project or program costs. The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on project complexity. Method of awarding/releasing assistance: other
Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. SF-425, Federal Financial Report. Program Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR 200, Subpart F - Audit Requirements

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1036-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$18,415,897.00; FY 21 est \$20,000,000.00; FY 22 est \$200,000,000.00;

Range and Average of Financial Assistance:

Range: \$2,000.00 - \$925,000.00 Average: \$67,000.00

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Over 100 projects were funded across all 50 states and US territories supporting the mission of the NPS while benefiting the public in areas from cultural interpretation to natural resources enhancements.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms and program information.

Regional or Local Office:

See Regional Assistance Locations. Heidi Sage National Park Service, 12795 W. Alameda Parkway, Lakewood, Colorado 80228 Email: Heidi_Sage@nps.gov Phone: 303-969-2065

Headquarters Office:

Heidi Sage,

National Park Service, 12795 W. Alameda Parkway,

Lakewood CO 80228

Email: Heidi_Sage@nps.gov

Phone: 303-969-2065

Website Address:

<http://www.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Projects funded range from cultural events in communities and at parks, to transportation safety efforts, environmental enhancement projects, research not covered through other programs, and resource conservation and protection.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.955 Martin Luther King Junior National Historic Site and Preservation District

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Law 96428, Sec.3 (b) - Martin Luther King, Junior, National Historic Site and Preservation District,

OBJECTIVES:

The Martin Luther King, Junior, National Historic Site and Preservation District was established October 10, 1980 in order to protect and interpret for the benefit, inspiration and education of present and future generations the places where Martin Luther King, Junior, was born, where he lived, worked, and worshipped, and where he is buried.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Secretary may enter into cooperative agreements with the owners of properties of historical or cultural significance as determined by the Secretary, pursuant to which the Secretary may mark, interpret, improve, restore, and provide technical assistance with respect to the preservation and interpretation of such properties.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Anyone/general public; Owners of properties of historical or cultural significance within the District, State, local, or tribal government or other public entity, an educational institution, or a private nonprofit organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State, local and tribal governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, general public, institutions of higher education will ultimately benefit from knowledge gained under this program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. 2 CFR Part 200, in its entirety, applies to this program. Federal Acquisition Regulation Subpart 31.2 for for-profit entities. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact. Generally 30 120 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Recipients may share some project or program costs. The cost-sharing arrangements are developed between NPS and the recipients in advance of the program unless otherwise stated by Congress. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on project complexity. Method of awarding/releasing assistance: other

Reports:

Specific projects or activities for which funds are advanced shall be tracked and reported by quarterly or annual submittal of Standard Form 425, the Financial Report. Specific projects or activities for which funds are advanced shall be tracked and reported by quarterly or annual submittal of Standard Form 425, the Financial Report. Unless otherwise specified in the award, all organizations will be required to submit yearly narrative summary accomplishment reports to the National Park Service at the end of each project year. All projects and activities are monitored for progress and compliance within the agreed upon scope of work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1036-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$970,488.00; FY 21 est \$900,000.00; FY 22 est \$900,000.00;

Range and Average of Financial Assistance:

Range: \$100,000.00 to \$800,000.00 Average: \$600,000.00

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Martin Luther King, Jr. Center for Non-violent Social Change used funding to preserve and manage the historic site.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Assistance Locations. Judy Forte, Superintendent Tina Smiley, Administrative Officer 450 Auburn Avenue, NE Atlanta, GA 30312-1525

Headquarters Office:

Judy Forte,

National Park Service, Dept MLK-NHS450 Auburn Avenue NE, Atlanta GA 30303

Email: judy_forte@nps.gov

Phone: (404)331-2022

Website Address:

<http://www.nps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funds were provided to the Martin Luther King, Jr. Center for Non-violent Social Change to preserve and manage the historic site. This includes providing maintenance, educational outreach, administrative management

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.956 Cooperative Management of Ebey's Landing National Historical Reserve.

Cooperative Management of Ebey's Landing,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 95-625, Section 508(a) Ebey's Landing National Historical Reserve

OBJECTIVES:

Management of the Reserve as provided in the Comprehensive Plan for the Reserve and in accordance with the Cooperative Agreement between the NPS and the Trust Board Administration and protection of sites, facilities and interests in land acquired and retained by the NPS, and by mutual written agreement between the Trust Board and a land-owner, other lands within the Reserve. Administration of programs within the scope of the Reserve purposes. Monitoring and evaluation of compliance with and effectiveness of various conservation measures being used within the Reserve. Participation in the land use review process of both Island County and the Town of Coupeville, to assure protection of valuable resources of the Reserve. Cooperation with Town and County departments and staff to assure awareness and protection of valuable resources of the Reserve during routine government activities. Entering into contracts to provide necessary material and services to develop and maintain facilities and enhance and protect the resources of the Reserve. Entering into contracts with individuals, private organizations and local community and governmental bodies to protect, research enhance document and interpret the resources of the Reserve. The Trust Board shall have the authority to accept and expend funds from the parties to this agreement and from other public and private sources for activities and purposes related to the operation of the Reserve, subject to the limitations established by the granting authority, organization or individual.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use (Cooperative Agreements), Provision of Specialized Services

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

The Ebey's Landing National Historical Reserve was established in 1978 to preserve and protect a rural community which provides an unbroken historical record from nineteenth century exploration and settlement in Puget Sound to the present time. (National Parks and Recreation Act 1978, PL 95-625). The Trust Board of Ebey's Landing National Historical Reserve was established to manage and administer the Reserve. The Trust Board is comprised of nine members, from Island County (3), Town of Coupeville (3), State Parks (1), and the National Park Service (1).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; The authorizing legislation for Ebey's Landing National Historical Reserve states that the Secretary of Interior is authorized to transfer management and administration of the Reserve to an appropriate unit of local government. A joint administrative board called the Ebey's Landing National Historical Reserve Trust Board (Trust Board), was created in accordance with the provision of RCW 39.34.030. The Trust Board consists of nine members: Three representatives of Island County, residing in the Reserve, three representatives from the Town of Coupeville, one [Washington] State Park representative, and one National Park Service representative. The Trust Board complies with the Open Public Meeting Act Chapter 42.30 RCW and members of the Trust Board are enrolled as Volunteers in Parks (VIP).

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Other public institution/organization; Federally Recognized Indian Tribal Governments; Other Public Institution/organization

Credentials/Documentation:

2 CFR Part 200, in its entirety, applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. All funded projects will be developed collaboratively between the National Park Service and the Trust Board and executed in accordance with availability of appropriations, application law and regulations including DOI Regulations regarding Financial Assistance awards at 43 CFR Part 12

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Projects will be documented in agreements to be issued under the terms and conditions of a master cooperative agreement. Each project will include the appropriate documentation for a financial assistance award in accordance with National Park Service and DOI policy and regulation.

Award Procedure:

Projects will be selected based on criteria developed with the partners and as approved by the Deputy Regional Director, Pacific West Regional Office. All awards will be made in accordance with Department of Interior Policy, Departmental Manual 505DM2 based upon Unique Qualifications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. In accordance with applicable law and regulation.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The US Department of the interior through the National Park Service shall request an appropriation through customary budgetary procedures to defray a portion not to exceed 50%--of annual operational costs of the Reserve. The remaining costs, which may consist of direct financial contributions or in-kind services, will be

provided for from other sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Progress reports SF-425

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1036-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$247,840.00; FY 21 est \$250,000.00; FY 22 est \$250,000.00;

Range and Average of Financial Assistance:

Range: \$150,000 - \$200,000. Awardee makes subgrants to those authorized under legislation.

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funding provided not only administrative support to the Trustee board, but 10 local non-profit organizations received sub-grants to undertake projects at the historic site.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information

Regional or Local Office:

See Regional Assistance Locations. Roy Zipp Ebey's Landing National Historical Reserve 593 Fort Casey Road Coupeville, Washington 98239 roy_zipp@nps.gov (360)678-5787

Headquarters Office:

Pacific West Regional Office,
Pacific West Regional Office 909 First Ave #500,
Seattle WA 98104

Email: roy_zipp@nps.gov

Phone: 206-220-4000

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funds are administered to the Trust Board which further distributes in sub-grants for interpretive and administrative activities.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be accomplished in cooperation with partners and be in accordance with established priorities. Funding source will determine criteria for prioritization.

15.957 Emergency Supplemental Historic Preservation Fund

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

National Historic Preservation Act, 54 U.S.C 3001 et.seq.; Disaster Relief Appropriations Act, 2013 (P.L. 113-2); Bipartisan Budget Act of 2018 (P.L. 115-123,

OBJECTIVES:

Emergency supplemental HPF funding is intended to assist the historic resources within a major disaster declaration area that are listed in or determined eligible for the National Register. Funding should substantially mitigate threat to the site and include steps to mitigate future damage.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants, Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

Hurricane Sandy HPF relief grants must be used to preserve properties listed in or eligible for listing in the National Register of Historic Places.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; Eligible applicants are State and Tribal Historic Preservation Offices located within areas receiving major disaster declarations from FEMA.

Beneficiary Eligibility:

State; Federally Recognized Indian Tribal Governments; States and Tribes

Credentials/Documentation:

National Register listing, or certification of eligibility, of properties for which grant assistance is being applied. 2 CFR Part 200, in its entirety, applies to this program 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A completed Grant Application Form. The standard application forms required by 43 CFR 12, Subparts C and F, must be used. In addition, applicants must also submit an Action Plan Narrative providing an overview of needs in the State.

Award Procedure:

Using the FEMA major disaster declarations as a starting point for determining the method of apportioning the \$47.5 million, the NPS will divide the appropriation into two groups. Group 1 includes \$38 million and will be apportioned to the four States containing counties that FEMA designated as eligible to receive both Individual and Public Assistance Connecticut, New Jersey, New York, and Rhode Island. The remaining funds will comprise Group 2, and will be awarded to the remaining eight States, the District of Columbia, and any Federally-recognized Indian Tribes located in those States, through an application process and based on need as described in proposals submitted by the States and Tribes to the NPS. In apportioning the \$38 million from Group 1 that will be assigned to CT, NJ, NY, and RI, because State Historic Preservation Offices (SHPO) in those States have not yet completed damage assessments to historic properties, there is no single best method of determining need. Funds will be distributed using a formula that takes into account three evaluation factors, each having equal weight: 1) population of counties eligible for both IA and PA, 2) land area of counties eligible for both IA and PA, and 3) the number of properties listed in the National Register of Historic Places in counties eligible for both IA and PA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. 30 - 90 days.

Appeals:

Other. NONE.

Renewals:

Other. Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. Extensions may be requested by grant recipients through interim progress reports.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

2-3 years Method of awarding/releasing assistance: other

Reports:

Recipients of HPF Hurricane Sandy relief grant agreements will be required to report the financial status on a quarterly basis within ten (10) calendar days of the end of the calendar quarter. Recipients of HPF Hurricane Sandy relief grant agreements will be required to report the performance progress on a quarterly basis within ten (10) calendar days of the end of the calendar quarter. Recipients of HPF Hurricane Sandy relief grant agreements will be required to report the performance progress on a quarterly basis within ten (10) calendar days of the end of the calendar quarter.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-5140-0-2-303.

Obligations:

Project Grants (for specified projects) FY 20 \$47,442,673.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$500,000.000 to \$10,000,000.00Average: \$5,000,000.00.

TAFS Codes:

14-5140.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Seven US states located in the southeast received funding to assist in rehabilitation efforts after hurricanes.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information. Historic Preservation Fund Grants Manual https://www.nps.gov/preservation-grants/HPF_Manual.pdf Secretary of the Interiors Standards for the Treatment of Historic Properties

Regional or Local Office:

See Regional Assistance Locations. Hampton Tucker, Chief State, Tribal, and Local Plans & Grants National Park Service 202-354-2067 Hampton_Tucker @NPS.gov

Headquarters Office:

Megan J. Brown,
State, Tribal, Local, Plans & Grants Division National Park Service 1849 C Street,
NW Mail Stop 7360,
Washington DC 20240
Email: megan_brown@nps.gov
Phone: 202-354-2062

Website Address:

<http://www.nps.gov/stlpg>

RELATED PROGRAMS:

15.914 National Register of Historic Places; 15.904 Historic Preservation Fund Grants-In-Aid;

EXAMPLES OF FUNDED PROJECTS:

2020 Funding was provided to states to sub-grant to communities which experienced damage due to catastrophic storms. Rehabilitation focused on historic properties in the states of Virginia, North Carolina, South Carolina, Alabama, Georgia and Florida.

CRITERIA FOR SELECTING PROPOSALS:

The NPS will provide the funding based on a formula with three equal factors: 1) affected population of FEMA-declared counties, 2) amount of approved FEMA Individual Assistance Applications, and 3) amount of approved FEMA Public

ASSISTANCE LISTINGS DESCRIPTIONS

Assistance awards to states. NPS may choose to award some funding competitively and reappropriation funds as needed based on: a) Historic significance b) Degree of disaster related damage c) Ability of the project to correct the disaster related damage e) Feasibility to complete the project

15.958 Route 66 Corridor Preservation

Route 66 Corridor Preservation Program,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

P. L. 106-45, Sec. 2 Cultural Resources of the Route 66 Corridor; P.L. 111-11, Sec. 7304 Route 66 Corridor Preservation Program,

OBJECTIVES:

To preserve the cultural resources of the Route 66 corridor and to authorize the Secretary of the Interior to provide assistance. The program provides cost-share grants to help preserve the most significant and representative historic sites related to the routes period of significance (1926-1985). It also assists preservation planning, research, and educational initiatives, and serves as a clearinghouse for preservation information and technical assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The National Park Service, Route 66 Corridor Preservation Program is dedicated to preserving the special places and stories of historic U.S. Highway 66. The program provides technical and financial assistance including competitive cost-share grants and cooperative agreements for the preservation of the most significant and representative buildings, structures, road segments, and cultural landscapes along the length of the Route 66 corridor, covering Illinois, Missouri, Kansas, Oklahoma, Texas, New Mexico, Arizona, and California. Cost-share grants are also available for planning, research, and educational initiatives related to the preservation of Route 66. The program legislation authorizing these activities is scheduled to terminate September 30, 2019.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; State; Anyone/general public; Interstate, intrastate, state, local, sponsored organizations, public nonprofit institution/organizations, other public institution/organizations, Federally recognized tribal governments, individual/family, minority groups, specialized groups, small businesses, profit organizations, private nonprofit institution/organizations, quasi-public nonprofit institution/organization, other private institution/organizations, anyone/general public, native American organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Interstate, Intrastate, State, Local, Sponsored Organization, Public Nonprofit Institution/Organization, Other Public Institution/organization, Federally Recognized Indian Tribal Government, Individual/Family, Minority Group, Specialized Group, Small Business, Profit Organization, Private Organization, Quasi-Public Nonprofit Organization, Other Private Institution/organization, Anyone/General Public, Native American Organization, Health Professional, Education Professional, Student/Trainee, Graduate Student, Scientists/Researcher, Artist/Humanist, Engineer/Architect, Builder/Contractor/Developer, Farmer/Rancher/Agriculture Producer, Industrialist/Business Person, Small Business Person, Consumer, Land/Property Owner, Black American, American Indian, Spanish Origin, Oriental, Other Nonwhite, U.S. Citizen, Women, Handicapped (Deaf, Blind, Crippled), Preschool, School, Child (6-15), Youth (16-21), Senior Citizen (60+), Moderate Income, Low Income, Major Metropolis (over 250,000), Other urban, Suburban, Rural, Education (0-8), Education (9-12), Education (13+)

Credentials/Documentation:

The preservation, restoration, and rehabilitation of transportation related historic properties on Route 66 are eligible project activities. Properties must have been in service and have had a strong and direct association with Route 66 during its 1926-1985 period of national significance; be on, or within view of a Route 66 road alignment; be in their original location; and retain historical and architectural integrity. Properties that are listed on the National Register of Historic Places or a state register, or that have been determined eligible for a register, will receive priority consideration. Planning, research, interpretive or educational outreach projects are also eligible project activities. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. .

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications are made available on www.grants.gov. Applicants are required to review and fill out the National Park Service Route 66 Corridor Preservation Program Cost-Share Grant Guidelines and Application. This includes an application cover sheet, project proposal, letters of recommendation, graphics and maps, state/national Register of Historic Places nomination forms (if applicable), a signed Property Maintenance and Protection Agreement (if applicable), a signed Laws and Standards Agreement (if applicable), and mandatory form series SF-424. Submission of these documents by an annual deadline (usually last day of March) is required.

Award Procedure:

Proposals received in response announcements on grants.gov are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the announcement. Awards may be made to the highest rated proposals based on the amount of funding available each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 60 days after annual deadline for cost-share grants. Cooperative agreements vary depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Program Manager listed as the point of contact.

Appeals:

Not Applicable. None. Final award decisions are not subject to appeal; however, the National Park Service will provide applicants with information on why their proposals were not selected for award.

Renewals:

Other. Projects may generally be renewed on an annual basis, not to exceed a total of 5 years. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds. Agreements may be extended by a written instrument executed by the parties. Extensions will be in writing and approved by the NPS AO and the authorized representative of Recipient.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. A minimum 1:1 cost-share match is required in direct, non-federal funds and/or in-kind contributions (e.g., donated services, materials, and equipment) MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Payment will be made by advance and/or reimbursement through the Department of Treasury's Automated Standard Application for Payments (ASAP) system. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures SF-425, Federal Financial Report Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 CFR Part 200.333 200.337

Account Identification:

14-1515-0-1-303.

Obligations:

Project Grants (Discretionary) FY 20 \$74,349.00; FY 21 est \$75,000.00; FY 22 est \$75,000.00;

Range and Average of Financial Assistance:

Range: \$5,000-\$75,000

TAFS Codes:

14-1515.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funds provided non-profit organizations, municipalities, and private land owners funding for interpretation projects, historic preservation projects and educational research including restoration of historic neon signs, architectural improvements to historic structures development of "stories" to be shared through interpretive methods.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Assistance Locations. Kaisa Barthuli 1100 Old Santa Fe Trail P. O. Box 728 Santa Fe, NM 87505 Phone: 505-988-6701 Email: Kaisa_barthuli@nps.gov

Headquarters Office:

Kaisa Barthuli,
1100 Old Santa Fe Trail P. O. Box 728,
Santa Fe NM 87505
Email: Kaisa_barthuli@nps.gov
Phone: 505-988-6701
Fax:

Website Address:

<https://www.nps.gov/rt66/grnts>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The "Route66: The Road Ahead Initiative" received funding for actions supported under legislation. 2021 Announcement of FY2021 projects will take place after competitive merit review process.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Grant awards are made in accordance with the criteria set forth in the Route 66 Corridor Preservation Program guidelines and application.

15.959 Education Program Management

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

25 U.S.C. 13 (The Snyder Act of November 2, 1921), 42 Stat. 208, P.L. 67-85; 90 Stat. 2233, P.L. 94-482; 20 U.S.C. 7401 et seq. (The No Child Left Behind Act of 2001), P.L. 107-110; 25 U.S.C. 2001-2019 (The Education Amendments Acts of 1978) 92 Stat. 2143, P.L. 95-561, as amended; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended; Public Law 103-413, Title II Tribal Self-Governance,

OBJECTIVES:

To improve the administration and management of education programs funded by the Bureau of Indian Education.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds may be used to plan for the tribal assumption of BIE funded schools and the administration of BIE funded education programs. Inherently Federal functions and responsibilities are noncontract able.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments or tribal organizations currently served by a Bureau of Indian Education funded school.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. If the school serves students from multiple tribes, resolutions from tribal governing bodies representing at least 90 percent of the students are required. [Funds are only available for new tribes or tribal organizations requesting to operate a BIE funded school. Funds are for planning purposes only.] 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact IA for details.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The BIE Education Line Officer will ensure the application is complete and forward the application to the Director, Bureau of Indian Education, with a recommendation for approval or disapproval.

Deadlines:

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications will be processed within 90 days.

Appeals:

Other. An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 2 CFR 200.341.

Renewals:

Other. Awards may be renewed annually upon satisfactory performance by the contractor/grantee. A notice of intent to request renewal should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities and the annual Education Program Management appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. Method of awarding/releasing assistance: other

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

Program accomplishment reporting requirements will be negotiated with the contractor/grantee. Federal Financial reports, SF 425, are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range is \$50,000 to \$200,000;

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs did not make any obligations in FY2020, thus no accomplishments to report.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 46; 25 CFR, Part 900.

Regional or Local Office:

See Regional Assistance Locations. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin,
Bureau of Indian Education, 1849 C Street, NW,,
Washington DC 20240
Email: Joe.Herrin@bie.edu
Phone: 202) 208-7658

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.114 Indian Education_Higher Education Grant Program; 15.027 Assistance to Tribally Controlled Community Colleges and Universities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting the requirements of 25 CFR Part 900 may be selected.

15.960 Tribal Technical Colleges

FEDERAL AGENCY:

BUREAU OF INDIAN AFFAIRS, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Tribally Controlled Postsecondary Career and Technical Institutions Program, Public Law 113-36, 25 U.S.C. 1862.,

OBJECTIVES:

To provide grants for to pay the costs (including institutional support costs) of operating postsecondary career and technical education programs for Indian students at tribally controlled postsecondary career and technical institutions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must not be used in connection with religious worship or sectarian instruction. Funds may be used for the general operating costs of the Tribal Technical College to defray, at the determination of the College, expenditures for academic, educational and administrative purposes and for the operation and maintenance of the College.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Funding is limited to the United Tribes Technical College (UTTC) located in Bismarck, North Dakota; and the Navajo Technical College (NTC) located in Crownpoint, New Mexico. UTTC is a regionally accredited institution, having achieved accreditation through the Higher Learning Commission of the North Central Association of Colleges and Schools. In addition, UTTC is the first tribal college in the nation authorized to offer full on-line degree programs. NTC is accredited by the Higher Learning Commission to offer associate of applied science degrees and certificates.

Beneficiary Eligibility:

Native American Organizations; Federally Recognized Indian Tribal Governments; United Tribes Technical College is a 501(c)(3) non-profit corporation chartered by the state of North Dakota and operated by these five tribes: Three Affiliated Tribes of Fort Berthold, the Spirit Lake Tribe, the Sisseton-Wahpeton Oyate, the Standing Rock Sioux Tribe, and the Turtle Mountain Band of Chippewa Indians. The college serves students from federally recognized Indian Tribes, but welcomes and serves students of all backgrounds. Navajo Technical College primarily services Navajo students but has an open door admissions policy.

Credentials/Documentation:

Written evidence of approval of the application by the governing body of one or more Indian tribes of the students served by or to be served by the college. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent the students served by such school. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An applicant should consult the Division of Post-Secondary Education.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Recipients are limited to the United Tribes Technical College and the Navajo Technical College. Contact the Ms. Juanita Mendoza, Bureau of Indian Education for additional information.

Award Procedure:

After receiving approval of the application by the governing body or governing bodies, the Director will designate a study team to determine whether there is justification to encourage maintaining a college.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Disputes between the United Tribes Technical College, Navajo Technical Collge and the Bureau of Indian Affairs may be appealed through an informal conference with the deciding official, may be appealed to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 2 CFR 200.341. An appeal of a college official's decision must be made under the college's appeal procedures.

Renewals:

Other. Awards to the United Tribes Technical College and to Navajo Technical College to administer the program may be renewed indefinitely upon satisfactory performance by the college and the availability of appropriations.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

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this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. Method of awarding/releasing assistance: other

Reports:

Federal Financial Report, SF 425 is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 2015 UTTC was awarded \$4,565,000, NTC was awarded \$2,249,000. The amount of the award is determined by the annual appropriations. Indian Tribes may choose to supplement the funding provided by the grant program by identifying additional amounts in the Tribal Priority Allocations portion of the Bureau of Indian Affairs budget.

TAFS Codes:

14-2100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Indian Affairs did not make any obligations in FY2020 thus no accomplishments to report.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 276.

Regional or Local Office:

See Regional Assistance Locations. See BIE Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Juanita Mendoza,
Bureau of Indian Education, MS 4657-MIB, 1849 C Street, N.W.,
Washington DC 20240
Email: juanita.mendoza@bie.edu
Phone: (202) 208-3559

Website Address:

<http://www.bie.edu>

RELATED PROGRAMS:

15.114 Indian Education_Higher Education Grant Program; 15.026 Indian Adult Education;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.961 Federal Historic Preservation Tax Incentive

Historic Tax Credit (HTC).

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Internal Revenue Code of 1986, 26 U.S. Code 47 and 170(h), as amended; Public Law No: 115-97, 131 Stat. 2054, Sec. 13402 (December 22, 2017); 54 U.S.C. 101702(a) Transfer of Service Appropriated Funds,

OBJECTIVES:

Commonly known as the Rehabilitation Tax Credit or the Historic Tax Credit, the program provides a 20-percent federal tax credit to property owners who undertake a substantial rehabilitation of a historic building in a business or income-producing use while maintaining its historic character. The credit promotes historic preservation and community revitalization through the private investment in the rehabilitation of historic buildings.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Advisory Services on Compliance

USES AND USE RESTRICTIONS:

The program provides a 20-percent federal tax credit for the substantial rehabilitation of historic buildings. The National Park Service (NPS) administers the certification program for the Department of the Interior. The NPS does not award tax credits, but certifies to the Internal Revenue Service (IRS) whether a building qualifies as a certified historic structure and whether an undertaken rehabilitation project qualifies as a certified rehabilitation, and is therefore eligible to claim the credit. The credit is claimed at the completion of the project, when the rehabilitated building is placed in service, as part of the property owners tax filing with the IRS (Form 3468). Costs eligible for the credit are limited to Qualified Rehabilitation Expenditures or QREs, generally only those costs that are directly related to the repair or improvement of structural and architectural features of the historic building as well as some eligible soft costs. The scope of review for the rehabilitation project includes not only the work that qualifies as an eligible expense, but also all other work to the building and its site and environment, including work to other buildings, new construction, additions, and any site improvements on the historic property.

Applicant Eligibility:

Anyone/general public; For the rehabilitation tax credit: private owners of commercial and other income-producing historic buildings held for investment purposes. For the conservation easement deduction; private owners of historic buildings proposed as the subject of charitable easement donations.

Beneficiary Eligibility:

Anyone/general public; For the rehabilitation tax credit: private owners of historic buildings held for investment purposes. For the income tax deduction for the donation of conservation easements: private owners of buildings.

Credentials/Documentation:

The National Park Service must certify to the Internal Revenue Service that the building qualifies as a certified historic structure and that the undertaken rehabilitation project qualifies as a certified rehabilitation for the project to be eligible to claim the tax credit. The NPS also certifies that a historic property contributes to the significance of a historic district for the purposes of a property owner donating a conservation easement. These certifications are made as part of the programs application process. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. None, but preliminary consultation and assistance is available and encouraged. The program is administered by the National Park Service in partnership with the State Historic Preservation Offices (SHPOs). The SHPOs are the first point of contact for property owners wishing to use the tax credits. SHPOs can help determine whether a historic building is eligible to claim the credits, provide guidance before beginning a rehabilitation project, and advise on the application requirements and whether proposed rehabilitation work meets the Secretary of the Interiors Standards for Rehabilitation.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Historic Preservation Certification Application (Form 10-168) is in three parts: Part 1 Evaluation of Significance for a determination that the building is a certified historic structure; Part 2 Description of Rehabilitation for preliminary certification

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that the proposed rehabilitation work meets the Secretary of the Interiors Standards for Rehabilitation; and Part 3 Request for Certification of Completed Work for determination that the completed project is a certified rehabilitation. Applications are submitted to the State Historic Preservation Office, which reviews the application and forwards it with a recommendation to the National Park Service (NPS). The NPS makes all certification decisions. Applicants are strongly encouraged to submit applications and obtain Part 1 and Part 2 approvals prior to beginning any work. Owners who begin work without prior NPS review and approval do so at their own risk.

Award Procedure:

The program is jointly administered by the Department of the Interior (DOI) and the Department of the Treasury. The National Park Service (NPS) administers the program on behalf of DOI. The NPS does not award the tax credits, but certifies to the Internal Revenue Service (IRS) whether a building qualifies as a certified historic structure and whether an undertaken rehabilitation project qualifies as a certified rehabilitation, and is therefore eligible to claim the credit. The credit is claimed at the completion of the project, when the rehabilitated building is placed in service, as part of the property owners tax filing with the IRS (Form 3468). The NPS certification process is administered in partnership with the State Historic Preservation Offices (SHPOs) in a three-part application process. The SHPOs are the first point of contact for property owners wishing to use the tax credits and to find out more about the program requirements. Applications are submitted to the SHPO, which reviews the application and forwards it with a recommendation to the NPS. The NPS makes all certification decisions.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. The review time for a complete application is generally 30 days at the State Historic Preservation Office and 30 days at the National Park Service. Applications may be put on hold if incomplete or missing information, if additional information is needed to complete review of the proposed project, or if the proposed work does not meet the Secretary of the Interiors Standards for Rehabilitation.

Appeals:

Other. Applications may be amended to provide additional information for reconsideration or to make changes to a proposed project in order to meet the program requirements. Certification decisions and denials may be appealed by registering the appeal in writing to the Chief Appeals Officer, Cultural Resources, within 30 days of receipt of the decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Internal Revenue Service (IRS) administers the tax code aspects of the rehabilitation tax credit, and other Internal Revenue Code requirements apply governing the timing of required National Park Service certification decisions and the applicant claiming the credit and filing Form 3468 with the IRS. Method of awarding/releasing assistance: other

Reports:

Completed rehabilitation work must be documented in photographs submitted with the Request for Certification Work (NPS Form 10-168c).

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable to the National Park Service program requirements, but other Internal Revenue Code requirements apply.

Account Identification:

14-1042-0-1-303.

Obligations:

Advisory Services on Compliance FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

NA - this is a non-monetary program that provide technical support.

TAFS Codes:

14-1042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Examples of certified projects can be found in annual reports and case studies available at <https://www.nps.gov/tps/taxincentives.htm>

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations: 36 CFR Part 67 Historic Preservation Certifications under the Internal Revenue Code. Historic Preservation Certification Application (Form 10-168) including application instructions and additional program information and guidance, as well as technical preservation guidance and publications on preserving and rehabilitating historic buildings is available from the Technical Preservation Services office of the National Park Service at <https://www.nps.gov/tps/tax-incentives.htm>.

Regional or Local Office:

None. The program is administered by the National Park Service in partnership with the State Historic Preservation Offices (SHPOs). The SHPOs are the first point of contact for property owners wishing to use the tax credits and can provide detailed information concerning the program and its requirements. Contact information for the SHPOs is available at <http://ncshpo.org/directory/>.

Headquarters Office:

Technical Preservation Services Brian Goeken,
Technical Preservation Services National Park Service,
Washington DC 20240
Email: NPS_TPS@nps.gov
Phone: (202)354-2033

Website Address:

<https://www.nps.gov/tps/tax-incentives.htm>

RELATED PROGRAMS:

15.904 Historic Preservation Fund Grants-In-Aid; 15.914 National Register of Historic Places; 15.915 Technical Preservation Services; 15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments;

EXAMPLES OF FUNDED PROJECTS:

2020 This is a non-monetary program. NPS staff assist the public with review of IRS Historic Tax Credit applications.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.962 National Wild and Scenic Rivers System

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

16 U.S.C 1281(e) and Section 1282(b)(1) - The National Wild and Scenic Rivers Act.,

OBJECTIVES:

The Secretary of the Interior shall assist, advise, and cooperate with States or their political subdivisions, landowners, private organizations, other federal agencies or authorized wild and scenic river management councils or committees to plan, protect, and manage river resources associated with those rivers designated into the National Wild and Scenic River system for which the Department of the Interior is authorized to act as the federal administrator. Such assistance, advice and cooperation may be through written agreements or otherwise.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

At present, funding provides for the long term protection, preservation, and enhancement of designated Partnership Wild and Scenic Rivers and to implement each rivers comprehensive river management plan and enabling legislation.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

Federal; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; States or their political subdivisions, landowners, private non-profit organizations, other federal agencies or duly authorized by a rivers enabling legislation wild and scenic river management councils or committees.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; State; States or their political subdivisions, landowners, private non-profit organizations, other federal agencies or duly authorized by a rivers enabling legislation wild and scenic river management councils or committees

Credentials/Documentation:

Authorized Wild and Scenic River management councils or committees must submit an annual work plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicant coordinates with their river management council and NPS prior to submittal.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant coordinates with NPS and their river management council to prepare the cooperative agreement work plan. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

No special requirements beyond standard financial assistance award process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally 30 to 90 days.

Appeals:

Other. None. Final award decisions are not subject to appeal; however, the National Park Service will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Other. Yes. In accordance with the applicable requirements for extensions and renewal under the appropriate regulations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Not Applicable. When base funds are available through these cooperative agreements, this program has no matching requirements, although matching may provide incentives as mutually agreeable. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative Agreements generally have a period of performance of up to five years. Funding availability and source will be a factor in determining the length of assistance. Reimbursement is accomplished as outlined in the proposal and subsequent task agreements based on the particular project requirements. Method of awarding/releasing assistance: other

Reports:

Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis: (1) SF-425, Federal Financial Report; and (2) Program Performance Reports. Upon completion of the agreement, recipients shall submit a final: (1) SF-425, Federal Financial Report; and (2) Program Performance Report; and (3) other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. All organizations will be required to submit a minimum of a yearly narrative summary accomplishments reports to the National Park Service in accordance with the terms of each agreement. All projects and activities are monitored for progress and compliance with agreed upon scope of work. SF-425, Federal Financial Report. Program Performance Reports and other

specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per 2 C.F.R. 200.333 200.337

Account Identification:

14-1103-6-1-303.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$2,469,256.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Range: \$20,000.00 - \$350,000.00 Average: \$85,000.00

TAFS Codes:

14-1103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: NPS Wild and Scenic River support includes partnering to provide new training opportunities for WSRs; small grants for water quality citizen science on WSRs; and work with river management councils or committees to plan, protect, and manage river resources. Partnership Wild and Scenic Rivers cooperate with many nonprofit and local leaders to manage rivers, including 16 river management councils or committees. Each council or committee is working across watersheds of designated rivers to improve recreation, conservation and outreach, water quality, and river related resources through a collaborative approach

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR, Part 200, 43 CFR, OMB Circulars, standard forms, and program information.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Corita Waters,
National Wild and Scenic River National Park Service,
Washington DC 20240

Email: corita_waters@nps.gov

Phone: (202) 354-6908

Website Address:

<https://www.nps.gov/wsr>

RELATED PROGRAMS:

15.921 Rivers, Trails and Conservation Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 Non-profit organizations were funded supporting local river projects that enhance use of river resources to the public. The following non-profits received funding to protect and manage river resources associated with rivers within the NWSR system: Wood Pawcatuck Watershed Assn Missisquoi River Basin Association Hunterdon Land Trust, A New Jersey Nonprofit Corporation, The Nashua River Watershed Association, Inc. Upper Delaware Council Inc.

CRITERIA FOR SELECTING PROPOSALS:

Criteria may vary somewhat from region to region however all projects for designated rivers must assist directly with implementing comprehensive wild and scenic river management plans.

15.963 Southwest Border Resource Protection Program

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

54 USC Code 101702(a) Cooperative Agreements, Transfer of Service Appropriated Funds. 54 USC Code 100703 Cooperative Study Units. 54 USC Code 101702(b) Cooperative Agreements, Cooperative Research and Training Programs.

OBJECTIVES:

Provide financial assistance to educational institutions, nonprofit organizations, tribes, and local and state agencies to improve resource stewardship, achieve international cooperation, provide meaningful interpretation and conduct scientific research, which will lead to increased appreciation and understanding of our shared natural and cultural heritage along our international border with Mexico.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Several National Parks located along the U.S. border with Mexico have recently experienced serious resource damage due to illegal cross border activities including drug traffickers and undocumented persons traversing the parks. Other national park units within the desert southwest have also experienced impacts to their natural and cultural resources. Thousands of miles of unauthorized roads and trails have been created, major ecological processes and the migration patterns of wildlife have been disrupted, important historic sites have been vandalized, and archaeological sites have been looted. Program funding is available for conducting scientific research and monitoring of species, as well as conservation, interpretation and preservation projects designed to help protect and preserve natural and cultural resources located near or along our international border.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); States, municipal, tribal governments, along with non-profit entities and institutes of higher education may apply.

Beneficiary Eligibility:

Federal; Interstate; Intrastate; Anyone/general public;

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Recipients will be chosen as set forth in the Notice of Funding Opportunity. Funds are provided through cooperative agreements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

Progress reports are required in a manner set forth in the agreement. SF425s are required as set forth in the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records retention is set forth in 2 CFR, Part 200.

Account Identification:

14-1036-0-1-303. 14-1042-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$275,000.00; FY 22 est \$275,000.00;

Range and Average of Financial Assistance:

\$10,000 - \$50,000

TAFS Codes:

14-1042. 14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is expected that between 5 and 10 projects will receive funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Krista Muddle,
129 W. Alameda Parkway,
Lakewood CO 80225
Email: krista_muddle@nps.gov
Phone: (303)969-2356
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 This program was introduced in 2020 and current projects to be funded in FY 2021 will be chosen through a competitive award process. Many NPS units on and near the border have experienced natural and cultural resource damage. The SWBRPP office works with park staff and their Mexican counterparts, as well as educational institutions, nonprofit organizations, and local, state, tribal and federal agencies to improve resource stewardship and to achieve international cooperation.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are outlined in the annual Notice of Funding Opportunity posted to grants.gov.

15.964 9/11 Memorial Act Grant Program

9/11 Memorial Act,

FEDERAL AGENCY:

NATIONAL PARK SERVICE, INTERIOR, DEPARTMENT OF THE
AUTHORIZATION:

Public Law 115-413, 132 STAT. 5427-29 - 9/11 Memorial Act

OBJECTIVES:

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The 9/11 Memorial Act Grant Program provides competitive grants for the continued operation, security, and maintenance of the on-site memorials of the events and victims of the September 11, 2001.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The 9/11 Memorial Act Grant Program provides competitive grants for the continued operation, security, and maintenance of the on-site memorials of the events and victims of the September 11, 2001. For the purposes of this grant, these on-site memorials are referred to as covered memorials. The authorizing legislation identified that the term Acovered memorial is defined as means a memorial located in the United States established to commemorate the events of, and honor the victims of, the terrorist attacks on the World Trade Center and the Pentagon on September 11, 2001, at the sites of the attacks. In addition, covered memorials must offer free admission to active and retired members of the military, registered first responders to the 9/11 attacks, and family members of victims of the attacks, in addition to offering free admission hours to the general public at least once per week.

Applicant Eligibility:

Quasi-public nonprofit institution/organization; Other private institutions/organizations; Eligible entities are limited to official 501(c)3 organizations in existence on the date of enactment of this Act and organized as follows:(A) the focus of which is the operations and preservation of a covered memorial; and(B) which is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from taxation under 501(a) of that Code. Eligible entities must own and/or operate a covered memorial , as defined by the Act.

Beneficiary Eligibility:

Anyone/general public;

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards will be made in accordance with processes set forth in the Notice of Funding Opportunity posted on grants.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Recipient is required to provide a 1:1 cost share for every Federal dollar received. This is mandated in the Act.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projected anticipated start dates for projects are no sooner than the summer of 2020. Method of awarding/releasing assistance: other

Reports:

Progress reports are required as set forth in the agreement. SF425 reports are required as set forth in the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record retention standards are set forth in 2 CFR, Part 200.

Account Identification:

14-1036-0-1-303.

Obligations:

Cooperative Agreements FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

\$1 - \$2,000,000

TAFS Codes:

14-1036.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: One organization received funding to manage the 9/11 Twin Tower memorial site in New York City

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Duey Kol, Acting Program ManagerEmail: duey_kol@nps.govPhone: (202)641-8424

Headquarters Office:

Karyn Ferro,
Office of Partnerships and Philanthropic StewardshipNational Park Service1849 C Street, NW,
Washington DC 20239
Email: karyn_ferro@nps.gov
Phone: (202)354-2172
Fax:

Website Address:

<https://www.nps.gov/subjects/partnerships/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 One organization received funding. Those funds will be used to provide the public with enhanced interpretive experiences at the 9/11 Memorial site in NYC.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria is set forth in the Notice of Funding Opportunity posted on grants.gov

15.978 Upper Mississippi River Restoration Long Term Resource Monitoring

LTRM,

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Water Resources Development Act of 1986, Public Law 99-662, Section 1103, 33 U.S.C. 652.,

OBJECTIVES:

To provide decision makers with information needed to maintain the Upper Mississippi River System (UMRS) as a sustainable large river ecosystem given its multiple-use character. Congress has declared the UMRS to be both a nationally significant ecosystem and a nationally significant commercial navigation system. The long-term goals of the LTRM are to: understand the UMRS ecosystem, monitor trends and effects with respect to selected resources, develop resource

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management alternatives, manage information, and develop useful products.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Cooperative Agreements are entered into for the purpose of conducting resource monitoring and research as specified in the Strategic Plan for the Upper Mississippi River Restoration Program and for other activities to carry out the purposes of the Water Resources Development Act of 1986. For further information, please contact the regional office.

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State agencies stipulated in the Water Resources Development Act of 1986 (Public Law 99-662) are eligible to apply.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; State; Local; UMRS State, Federal, and private natural resource managers, users, and the general public.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed activity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. The UMRR LTRM is a permanent program. Agreements may be renewed on an annual basis dependent upon funding availability.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Annual and final

technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipients are subject to the audit requirements of 2 CFR Part 200 Subpart F.

Records:

Records shall be retained and made available for audit for three years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,169,999.00; FY 21 est \$3,840,000.00; FY 22 est \$3,840,000.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020): \$388,000 to \$1,022,000; Average \$634,000 Current Fiscal Year (2021): \$484,000 to \$1,457,000; Average \$768,000 Budget Fiscal Year (2022): \$484,000 to \$1,457,000; Average \$768,000

TAFS Codes:

14-0804.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Conducting resource monitoring and research as specified in the Strategic Plan for the Upper Mississippi River Restoration Program and other activities to carry out the purposes of the Water Resources Development Act of 1986. In FY20, the program anticipates received five applications and issued five awards. Fiscal Year 2021: Conducting resource monitoring and research as specified in the Strategic Plan for the Upper Mississippi River Restoration Program and other activities to carry out the purposes of the Water Resources Development Act of 1986. In FY21, the program anticipates received five applications and issued five awards. Fiscal Year 2022: Conducting resource monitoring and research as specified in the Strategic Plan for the Upper Mississippi River Restoration Program and other activities to carry out the purposes of the Water Resources Development Act of 1986. In FY22, the program anticipates receiving five applications and issuing five awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 99-662, Section 1103, as amended. Information regarding LTRM publications may be found at the web site address.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. See Regional Agency Offices. Inquiries regarding the LTRM may be addressed to the Upper Midwest Environmental Sciences Center, 2630 Fanta Reed Road, La Crosse, Wisconsin 54603, POC: Mark Gaikowski, Center Director, (608) 781-6221, Fax: (608) 783-6066 or Jennifer Sauer, LTRM Coordinator (608) 781-6376, email jsauer@usgs.gov; or Marissa Borre, Budget Analyst (608) 781-6303, email mborre@usgs.gov or web site address, <http://www.umesc.usgs.gov>

Headquarters Office:

Jennifer Sauer,

..

. WI 54603

Email: jsauer@usgs.gov

Phone: (608) 781-6376

Fax:

Website Address:

<http://www.usgs.gov/ltrmp.html>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 LTRM Base Monitoring; LTRM Science in Support of Restoration and Management 2021 LTRM Base Monitoring; LTRM Science in Support of Restoration and Management 2022 LTRM Base Monitoring; LTRM Science in Support of Restoration and Management

CRITERIA FOR SELECTING PROPOSALS:

The States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, and their institutions and agencies; States, universities, and others having expertise and experience conducting monitoring and research of the Upper Mississippi River System; States, universities, and others having expertise and experience conducting monitoring and research of large, impounded river systems.

15.980 National Ground-Water Monitoring Network

NGWMN,

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law 111-11, Subtitle F Secure Water: Section 9507 Water Data Enhancement by the United States Geological Survey,

OBJECTIVES:

To support data providers for the National Ground-Water Monitoring Network. The National Ground-Water Monitoring Network (NGWMN) is a cooperative, integrated system of data collection, management, and reporting that will provide data needed to address groundwater management questions. Assistance is provided to new and existing data providers of the National Ground-Water Monitoring Network. The program will provide support to state or local Water-Resource agencies which collect groundwater data to serve as data providers for the National Ground-Water Monitoring Network. Support will allow the data providers to perform tasks to get their data into the Network such as: selecting and categorizing their wells within NGWMN specifications, adding sites to the network, establishing and maintaining connections between their databases and the NGWMN Portal. Support will also allow agencies to maintain their connections to the NGWMN Portal and to enhance their networks to provide better data to the NGWMN.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding will be used to 1) help new data providers participate in the network, and 2) support existing data providers. Funding for new providers primarily is intended to support data providers to select and categorize their data in accordance with the Framework document guidelines, and to make data for selected sites available to the NGWMN. Funding for existing data providers will support work to keep their connections to the NGWMN data portal up to date and to enhance their networks to improve data available to the NGWMN. This can include updating data on their wells, performing maintenance or repairs on wells to maintain the ability to collect reliable data, drilling new or replacement wells for the NGWMN.

Applicant Eligibility:

Interstate; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Designations Interstate, Public nonprofit institution/organization, U.S. Territories and possessions, Private nonprofit institution/organization State Proposals will be accepted from interstate, State, U.S. territory or possession, local, public nonprofit water-resource agencies/organizations which collect and maintain groundwater-level or groundwater-quality data. Various elements of the data which are required for the National Ground-Water Monitoring Network are often available from different

agencies within a state. Each agency within a state which wishes to participate in the Network can submit a proposal for their part of the work. States may also submit a single proposal that covers the work of multiple state agencies. Coordination of agencies within a state is strongly encouraged.

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Federal, State, Federally recognized Indian Tribal, and local governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, profit organizations, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from data made available through the program.

Credentials/Documentation:

Proposals must include documentation of the data that are collected by the applicant that would become part of the National Ground-Water Monitoring Network. Proposals that intend to provide data that are collected by other agencies or entities generally will not be considered. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer.

Deadlines:

Applications will be accepted from mid-September 2021 through mid-January 2022.

Range of Approval/Disapproval Time:

Other. From 60 to 120 days

Appeals:

Not Applicable.

Renewals:

Other. All projects have a limited duration not to exceed two years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching Requirements: Percent: Other Statutory formula is not applicable to this assistance listing. Match is not required on work proposed under Objectives 1 or 2. Match is not required but is recommended for the remaining four Objectives. Match can be in the form of in-kind services cost share or direct match. The amount of the cost share is not specified, but will be considered as part of proposal evaluation. Objectives that provide less than 25% match will be scored lower during proposal evaluation. In-kind services can include the costs to collect the data that will be provided to the NGWMN. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Annual and final technical reports are required and must be submitted as described in the award terms and conditions. Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,800,000.00; FY 21 est \$1,800,000.00; FY 22 est \$1,700,000.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020): Funded projects in Fiscal Year 2020 ranged from \$10,000 to \$271,000 with an average funding level of \$61,000. Current Fiscal Year (2021): Funded project in Fiscal Year 2021 ranged from \$15,000 to \$150,000 with an average funding level of \$57,000. Budget Fiscal Year (2022): Awards for Fiscal Year 2021 are expected to range from \$10,000 to \$250,000 with an average funding level of 70,000

TAFS Codes:

14-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Received 30 proposals and are issued awards to 28 agencies. One project was for a new data provider and the rest are to enhance NGWMN sites. Fiscal Year 2021: Received 19 proposals and are issuing awards to 15 agencies. One project is to a new data provider and the rest are to enhance NGWMN sites. Issued a second round of funding in April. The second-round closes May 24th. Fiscal Year 2022: Planning is underway for the FY22 funding opportunity. Between 20-30 proposals are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Daryll A. Pope,
3450 Princeton Pike Suite 110,
Lawrenceville NJ 08648
Email: dpope@usgs.gov
Phone: (804) 261-2630
Fax:

Website Address:

https://www.usgs.gov/water-resources/groundwater-and-streamflow-information/groundwater-monitoring?qt-science_support_page_related_con=0#qt-science_support_page_related_con

RELATED PROGRAMS:

15.808 U.S. Geological Survey_ Research and Data Collection;

EXAMPLES OF FUNDED PROJECTS:

2020 One project was funded to become a new data provider to the NGWMN. The agency was funded to select sites and set up web services to enable them to share data with the NGWMN. Other projects supported maintaining the connection between agency databases and the NGWMN. The remaining projects support

agencies to enhance their contribution to the NGWMN through filling data gaps at sites, performing well maintenance or repairs, or drilling new wells to fill gaps in the NGWMN. 2021 One new data provider project was funded. The agency was funded to select sites and set up web services to enable them to share data with the NGWMN. Other projects supported maintaining the connection between agency databases and the NGWMN. The remaining projects support agencies to enhance their contribution to the NGWMN through filling data gaps at sites, performing well maintenance or repairs, drilling new wells to fill gaps in the NGWMN, or enhancing continuous water-level data collection. 2022 Similar projects to those funding in the past two years are expected to be supported in Fiscal Year 2022.

CRITERIA FOR SELECTING PROPOSALS:

Each objective applied for within a proposal will be reviewed according to four Criteria: Proposal Quality, Relevance, Technical Quality, and Budget. A score of 1 to 10 is given for each criterion. Proposals will be reviewed and scored by the NGWMN Program Board. The Program Board will make funding recommendations on each proposal to the USGS.

15.981 Water Use and Data Research

FEDERAL AGENCY:

US GEOLOGICAL SURVEY, INTERIOR, DEPARTMENT OF THE

AUTHORIZATION:

Public Law 111-11, Subtitle F Secure Water: Section 9508 Water Availability and Use Assessment Program.,

OBJECTIVES:

To support State water resource agencies in developing water use and availability datasets that are integrated with each appropriate dataset developed or maintained by the USGS or that integrate any water use or water availability dataset of the State water resource agency into each appropriate dataset developed or maintained by the USGS. The Water Use Data and Research program will allow State Water Resource agencies to improve the collection and reporting frequency of water use categories, including the inclusion of categories that have been discontinued in the past due to limited resources. Data collection, and improvement efforts, as well as research conducted will complement priorities and investigations carried by Federal, State, and Local Governments, and by private industry in water availability assessments for human and ecological uses.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding will be used to support State Water Resource agencies in improving data collection techniques and methods, data delivery and management, and data integration with each appropriate dataset developed or maintained by the USGS. Funding for each State cannot exceed \$250,000 cumulatively over the length of the program.

Applicant Eligibility:

State: Proposals will be accepted from state agencies that collect and maintain water use data and databases. Various elements of the data that are required for the Water Use Data and Research (WUDR) program are often available from different agencies within a state; however only one agency is eligible to submit a proposal for the program. We ask that State agencies collaborate in this instance, and States may submit a single proposal that covers the work of multiple state agencies. Coordination of agencies within a state is strongly encouraged to avoid proposals that overlap.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Federally Recognized Indian Tribal Governments; State, Federally recognized Indian Tribal, and local governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, profit organizations, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from data made available through the program.

Credentials/Documentation:

Proposals must include documentation of the data that are collected by the

ASSISTANCE LISTINGS DESCRIPTIONS

applicant that would become part of USGS Water Use Databases. Proposals that intend to improve data delivery and estimation accuracy will be considered, as will multi-State proposals. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 2 CFR Part 200 and Department of the Interior regulations 2 CFR Part 1402 and 43 CFR Part 12. Application form Standard Form (SF) 424 must be used. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement from a U.S. Geological Survey contracting officer.

Deadlines:

The FY2021 application submission deadline is May 13, 2021.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. All projects have a limited duration not to exceed two years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Program obligates funds and sends a notice of award to successful applicants. Recipients request funds in accordance with 2 CFR 200, Subpart E-Cost Principles, unless otherwise dictated by program-specific legislation or special award terms. Program will include any special payment terms and conditions in the notice of award. Method of awarding/releasing assistance: other

Reports:

Annual and final technical reports are required and must be submitted as described in the award terms and conditions. Progress and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Recipients must report expenditures using the SF 425, Federal Financial Report form. Recipients must submit a final report no later than 120 calendar days after the award end date. Recipients of awards with performance periods longer than 12 months will be required to submit interim annual reports. Program will detail all financial reporting requirements, including frequency and due dates, in the notice of award letter. Recipients are responsible for monitoring performance for each award and sub-award under this program in accordance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 19 \$1,500,000.00; FY 20 est \$1,500,000.00; FY 21 est \$1,500,000.00;

Range and Average of Financial Assistance:

Past Fiscal Year (2020): Financial assistance ranged from \$24,812.09 to \$125,000.00, with an average of \$95,392.39 Current Fiscal Year (2021): In FY21, financial assistance could range from \$24,000 to \$125,000 with about an average of \$90,000. Budget Fiscal Year (2022): In FY22, financial assistance could range from \$24,000 to \$125,000 with about an average of \$90,000.

TAFS Codes:

14-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, for the competitive program announcement, the program received 4 applications in Round 1 and 11 applications in Round 2. The program issued 0 awards in Round 1 and 10 awards in Round 2. Fiscal Year 2021: In FY21, for the competitive program announcement, the program anticipates receiving 15-20 applications and issuing 10-15 awards. Fiscal Year 2022: In FY22, for the competitive program announcement, the program anticipates receiving 15-20 applications and issuing 10-15 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Melinda Dalton,
Program Coordinator for the Water Availability and Use Science Program,
Norcross GA 30093
Email: msdalton@usgs.gov
Phone: (770) 283-9728
Kimberly Shaffer,
Hydrologist,
Columbus OH 43229
Email: kshaffer@usgs.gov
Phone: (614) 430-7781
Fax:

Website Address:

<https://www.usgs.gov/about/organization/science-support/administration/office-acquisition-and-grants/financial-assistance>

RELATED PROGRAMS:

15.808 U.S. Geological Survey_ Research and Data Collection;

EXAMPLES OF FUNDED PROJECTS:

2020 Past Fiscal Year: Actual(2020)1) The American Samoa Power Authority project will create a data system to extract public supply production and use data from existing systems to transfer data to the USGS, will develop a database of village water systems and install pressure and flow meters at selected sites to improve information on non-revenue water aimed at reducing system losses.2) The Maine project will improve the data collection for the industrial water-use category and snow making facilities by surveying industrial water users and ski areas that use water to make snow.3) The Oregon project will develop datasets and improve water-use estimates of irrigated lands using satellite imagery and open source software. The proposed work will develop datasets for field-level evapotranspiration (ET) and consumptive use (using ET minus effective precipitation), and GIS polygons of agricultural fields and field attributes (irrigation status, irrigation source type, crop type, and irrigation method). 4) For Montana, the project will sync two statewide databases that contain groundwater information to facilitate the exchange of water-use data and determine the source aquifer for groundwater sites. 2021 Program has not yet selected projects for funding. Program anticipates funding projects that improve the availability, quality, compatibility, and delivery of water-use data that are collected and/or estimated by States. 2022 Program has not yet selected projects for funding. Program anticipates funding projects that improve the availability, quality, compatibility, and delivery of water-use data that are collected and/or estimated by States.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are included in the program announcement

ASSISTANCE LISTINGS DESCRIPTIONS

15.982 Radium Remediation at Land-Grant Universities

none.

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, INTERIOR, DEPARTMENT OF THE AUTHORIZATION:

Public Law FY2020 Further Consolidated Appropriations Act-P.L. 116-93

OBJECTIVES:

The Central Hazardous Materials Fund (CHF) is the U.S. Department of the Interiors principal source of funds for the cleanup of highly contaminated sites located within national parks, national wildlife refuges, and other Department-managed lands. Congress has authorized the CHF to provide a one-time, competitive grant for land-grant universities to address radium contamination that was caused by actions of the former US Bureau of Mines.

TYPES OF ASSISTANCE:

Project Grants (Special)

USES AND USE RESTRICTIONS:

Financial assistance is limited to land-grant universities to remediate radium contamination in their facilities from past activities of the former US Bureau of Mines.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); The grant is to fund radium decontamination and remediation at any land-grant university that has been subjected to such contamination as a result of actions of the former U.S. Bureau of Mines.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Land-grant University with radium contamination at their facilities that results from past actions of the US Bureau of Mines that exceed Federal and/or State allowable levels. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

OEPC approves the award, awarded through PRISM, distributed via email, payments through ASAP payments system.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The funds awarded in FY2020 must be expended by FY2025. Method of awarding/releasing assistance: other

Reports:

Narrative progress reports are required as dictated by the grant terms and conditions. A final report is due within 90 days of grant completion or termination. The SF-425 Federal Financial Report is required as directed by the grant terms and conditions. An Annual Performance Report is required as directed by the grant terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Financial assistance awards are also subject to inspection and audit by Interior's Office of the Inspector General, OEPC staff, or any other authorized representative of the Federal government.

Records:

Record retention requirements are the same as those contained in 2 CFR 200.

Account Identification:

14-1121-0-1-304.

Obligations:

Project Grants (Special) FY 20 \$12,000,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

2020 - \$12M; no additional funding anticipated for 2021

TAFS Codes:

14-1121.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: One grant awarded; preliminary design work and radiation surveys; NEPA documentation, workplan Fiscal Year 2021: No new awards to be issued; continuation of remediation and restoration activities by the awardee Fiscal Year 2022: No new awards to be issued; continuation of remediation and restoration activities by the awardee

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200

Regional or Local Office:

None.

Headquarters Office:

William Lodder,
US Department of Interior Office of Environmental Policy and Compliance Attn:
William Lodder 1849 C Street, NW (MS-2629),
Washington DC 20240
Email: william_lodder@ios.doi.gov
Phone: 202-208-6128
Fax: 202-208-6970

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Award(s) to land-grant university with radium remediation at facilities on campus based on past activities of the former US Bureau of Mines. The University of Nevada/Reno Campus was awarded the grant. They are conducting design and engineering work. They are also conducting the historical survey of the building. 2021 No additional grants planned; no additional funding anticipated beyond 2020.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected based on

ASSISTANCE LISTINGS DESCRIPTIONS

JUSTICE, DEPARTMENT OF

16.001 Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis

FEDERAL AGENCY:

DRUG ENFORCEMENT ADMINISTRATION, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Comprehensive Drug and Abuse Prevention and Control Act of 1970,

OBJECTIVES:

To provide technical assistance to duly constituted state, county, and municipal law enforcement agencies regarding specialized forensic examinations involving drug evidence.

TYPES OF ASSISTANCE:

Provision of Specialized Services, Salaries and Expenses

USES AND USE RESTRICTIONS:

DEA laboratories will conduct specialized forensic chemical analysis and examinations involving drug evidence for duly constituted state, county, and municipal law enforcement agencies on an as needed basis, in accordance with DEA policy and procedures. This service supplements but does not replace services provided by state, county, or municipal laboratories. The agencies/laboratories requesting the service must not have the capability of conducting the examination(s) requested. Examinations are made with the understanding that the evidence is connected with an official investigation of a criminal matter related to the mission of the DEA, and that the resulting laboratory report will be used only for official purposes related to the investigation or subsequent prosecution.

Laboratory services and follow-up court testimony, if required, will be provided free of cost to agencies. DEA will assist state, county, and municipal agency laboratories with the training of their forensic chemists, as necessary and where appropriate. NOTE: THIS IS NOT A GRANT PROGRAM. NO FUNDING IS AVAILABLE.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Beneficiary Eligibility:

State; Local; Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Credentials/Documentation:

A formal written request is required from an appropriate official of the requesting law enforcement agency. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Made in the form of a letter on official letterhead to the Director of the DEA Laboratory which services the regional area where the agency is located; or to DEA Headquarters, Washington, DC 20537; Attn: Deputy Assistant Administrator, Office of Forensic Sciences.

Award Procedure:

Note: This is not a grant program. No funding is available.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

15-1100-0-1-751.

Obligations:

Salaries and Expenses FY 16 Not Available; FY 17 Not Available; and FY 18 Not Available; Salaries and Expenses FY 16 \$254,788.00; FY 17 est \$250,000.00; and FY 18 est \$250,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-1100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Please contact the field laboratories of the DEA; see Appendix IV of the catalog for a list of addresses.

Headquarters Office:

Deputy Assistant Administrator Office of Forensics Sciences,
8701 Morrisette Drive,
Springfield VA 22152
Phone: (202) 307-8866

Website Address:

<http://www.dea.gov>

RELATED PROGRAMS:

16.301 Law Enforcement Assistance_FBI Crime Laboratory Support;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.003 Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications

(Microgram),

FEDERAL AGENCY:

DRUG ENFORCEMENT ADMINISTRATION, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Comprehensive Drug Abuse Prevention and Control Act of 1970,

OBJECTIVES:

To disseminate scientific information on the detection and analysis of narcotics and dangerous drugs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Salaries and Expenses

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USES AND USE RESTRICTIONS:

To provide current information on detection and analysis of narcotics and dangerous drugs.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Other private institutions/organizations; Forensic laboratories or scientists doing work for law enforcement agencies.

Beneficiary Eligibility:

Scientist/Researchers; Forensic laboratories or scientists doing work for law enforcement agencies.

Credentials/Documentation:

The applicant must apply on official letterhead of a law enforcement agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Made in the form of a letter on official letterhead to DEA Headquarters, DC 20537; ATTN: Deputy Assistant Administrator, Office of Forensic Sciences.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

15-1100-0-1-751.

Obligations:

Salaries and Expenses FY 16 est \$0.00; FY 17 est \$0.00; and FY 18 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-1100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Deputy Assistant Administrator Office of Forensic Sciences,
8701 Morrisette Drive,
Springfield VA 22152

Phone: (202) 307-8866

Website Address:

<http://www.dea.gov>

RELATED PROGRAMS:

93,242 Mental Health Research Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.004 Law Enforcement Assistance Narcotics and Dangerous Drugs Training

FEDERAL AGENCY:

DRUG ENFORCEMENT ADMINISTRATION, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Comprehensive Drug Abuse Prevention and Control Act of 1970,

OBJECTIVES:

To acquaint appropriate professional and enforcement personnel with (1) techniques in the conduct of drug investigations; (2) aspects of physical security in legitimate drug distribution; (3) techniques in analysis of drugs for evidential purpose; (4) pharmacology, socio-psychological aspects of drug abuse, drug education, and; (5) management and supervisory training for drug unit Commanders.

TYPES OF ASSISTANCE:

Salaries and Expenses, Training

USES AND USE RESTRICTIONS:

For use by accredited members of agencies or organizations involved in the control of drug abuse or regulation of the drug industry.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); State, local, military, and other Federal law enforcement and regulatory officials; crime laboratory technicians and forensic chemists.

Beneficiary Eligibility:

Health Professional; Scientist/Researchers; State; Local; State, local, military, and other Federal law enforcement and regulatory officials; crime laboratory technicians and forensic chemists.

Credentials/Documentation:

The applicant must furnish suitable credentials. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Made in the form of a letter or a pre-printed application to the Divisional Office in the area or to the DEA Office of Training.

Award Procedure:

By written communication to applicant (teletype, letter, etc).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. None.

Appeals:

Other. None.

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Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

15-1100-0-1-751.

Obligations:

Training FY 16 Not Available; FY 17 Not Available; and FY 18 Not Available; Salaries and Expenses FY 16 \$5,726,898.00; FY 17 est \$6,000,000.00; and FY 18 est \$6,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-1100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See the list of regional offices of the DEA in the Catalog address Appendix IV.

Headquarters Office:

Drug Enforcement Administration Office of Training,
P.O. Box 1475,
Quantico VA 22134-1475
Phone: (703) 632-5141
Fax: (703) 632-5167

Website Address:

<http://www.usdoj.gov>

RELATED PROGRAMS:

16.300 Law Enforcement Assistance_FBI Advanced Police Training; 16.302 Law Enforcement Assistance_FBI Field Police Training; 16.012 Alcohol, Tobacco, and Firearms_Training Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.015 Missing Alzheimer's Disease Patient Assistance Program

Kevin and Avonte's Law,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Violent Crime Control in Law Enforcement Act of 1994, , 34 USC Code 12621. Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-

1182, 1258, Public Law 116-260, Title TITLE I--MISSING ALZHEIMER'S DISEASE PATIENT ALERT PROGRAM REAUTHORIZATION,

OBJECTIVES:

Assists law enforcement agencies search for missing persons with Alzheimers disease.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Applications are solicited for projects, pursuant to Congressional appropriations, that may include one or more of the following: (1) Develop or enhance local and national outreach programs to increase awareness among law enforcement agencies and the public in addressing the needs of missing persons with Alzheimers disease; (2) Develop and/or implement a process for aiding in the location of lost persons with Alzheimers disease; and/or (3) Provide training and substantive informational material to law enforcement agencies through a national or regional initiative.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Category 1 eligible entities are as follows: Health care agencies; State or local law enforcement, tribal law enforcement, or other public safety agencies; Nonprofit organizations (including tribal nonprofit) with preference given to nonprofits that work with such entities in planning, designing, establishing, or operating locally based proactive programs to locate and prevent the wandering of missing individuals with forms of dementia such as Alzheimers disease or developmental disabilities such as autism who, due to their condition, wander from safe environments. Category 2 eligible entities are as follows: Health care agencies; State or local law enforcement, tribal law enforcement, or other public safety agencies; Federally recognized Indian tribal governments that perform public safety activities

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; All funds must be focused solely on initiatives to benefit those with Alzheimers disease or other forms of dementia.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The application of E.O. 12372 is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: <https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application. See 28 CFR Part 18.

Renewals:

Other. Grants can be extended by submitting a written justification for an extension

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to the Bureau of Justice Assistance office for review. Extensions are subject to approval by the Bureau of Justice Assistance and renewals of congressional funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project duration period will be up to 36 months. The project start date should be on or after October 1st of the current fiscal year. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$3,618,882.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Category 1: Up to 6 awards amount of \$150,000; Category 2: Up to 7 awards amount of \$150,000

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Program Manager David P. Lewis,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531

Email: david.p.lewis@usdoj.gov

Phone: 2026166500

Fax:

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>

16.016 Culturally and Linguistically Specific Services Program

Culturally Specific Services Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005; Title I, Section 121, Public Law 109-162, 42 U.S.C. 14045a, as amended, , Statute 119-2991, Public Law 109-162, 42 USC Code 14045a.

OBJECTIVES:

To enhance culturally specific services for victims of domestic violence, dating violence, sexual assault, and stalking.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds shall be used for the following statutory program purposes: (A) working with State and local governments and social service agencies to develop and enhance effective strategies to provide culturally specific services to victims of domestic violence, dating violence, sexual assault, and stalking; (B) increasing communities' capacity to provide culturally specific resources and support for victims of domestic violence, dating violence, sexual assault, and stalking crimes and their families; (C) strengthening criminal justice interventions, by providing training for law enforcement, prosecution, courts, probation, and correctional facilities on culturally specific responses to domestic violence, dating violence, sexual assault, and stalking; (D) enhancing traditional services to victims of domestic violence, dating violence, sexual assault, and stalking through the leadership of culturally specific programs offering services to victims of domestic violence, dating violence, sexual assault, and stalking; (E) working in cooperation with the community to develop education and prevention strategies highlighting culturally specific issues and resources regarding victims of domestic violence, dating violence, sexual assault, and stalking; (F) providing culturally specific programs for children exposed to domestic violence, dating violence, sexual assault, and stalking; (G) providing culturally specific resources and services that address the safety, economic, housing, and workplace needs of victims of domestic violence, dating violence, sexual assault, or stalking, including emergency assistance; or (H) examining the dynamics of culture and its impact on victimization and healing.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Community-based programs whose primary purpose is providing culturally specific services to victims of domestic violence, dating violence, sexual assault, and stalking; and community-based programs whose primary purpose is providing culturally specific services who can partner with a program having demonstrated expertise in serving victims of domestic violence, dating violence, sexual assault,

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and stalking.

Beneficiary Eligibility:

Individual/Family; Minority group; Local; Community and faith-based programs.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be two years. Funds are released on an as-needed basis. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$5,910,000.00; FY 17 est \$6,168,114.00; and FY 18 est \$6,000,000.00;

Range and Average of Financial Assistance:

Range: \$200,000-\$300,000

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.889 Grants for Outreach and Services to Underserved Populations; 16.588 Violence Against Women Formula Grants; 16.023 Sexual Assault Services Culturally Specific Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.017 Sexual Assault Services Formula Program

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Title II, Section 202, Public Law 109-162, 42 U.S.C. 14043g(b).

OBJECTIVES:

To increase intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault; family and household members of such victims; and those collaterally affected by the victimization, except for the perpetrator of such victimization.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are for programs and activities that provide direct intervention and related assistance to victims of sexual assault, including: (i) 24-hour hotline services providing crisis intervention services and referral; (ii) accompaniment and advocacy through medical, criminal justice, and social support systems, including medical facilities, police, and court proceedings; (iii) crisis intervention, short-term individual and group support services, and comprehensive service coordination and supervision to assist sexual assault victims and family or household members; (iv) information and referral to assist the sexual assault victim and family or household members; (v) community-based, linguistically and culturally specific services and support mechanisms, including outreach activities for underserved communities; and (vi) the development and distribution of materials on issues related to the

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services described in clauses (i) through (v).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Eligible applicants are States and territories.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Beneficiaries are rape crisis centers and other nonprofit, nongovernmental organizations or tribal programs and activities.

Credentials/Documentation:

Each application submitted under this program shall-- (i) set forth procedures designed to ensure meaningful involvement of the State or territorial sexual assault coalition and representatives from underserved communities in the development of the application and the implementation of the plans; (ii) set forth procedures designed to ensure an equitable distribution of grants and grant funds within the State or territory and between urban and rural areas within such State or territory; (iii) identify the State or territorial agency that is responsible for the administration of programs and activities; and (iv) meet other such requirements as the Attorney General reasonably determines are necessary to carry out the purposes and provisions of this section. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. OVW will provide an annual solicitation for the program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

OVW will make awards for at least one year. Method of awarding/releasing assistance: other

Reports:

Quarterly financial reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Formula Grants FY 16 \$22,750,000.00; FY 17 est \$22,750,000.00; and FY 18 est \$22,750,000.00;

Range and Average of Financial Assistance:

Range: \$12,678 - \$437,011

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.588 Violence Against Women Formula Grants; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.019 Tribal Registry

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF
AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Title IX, Section 905(b), Statue 28-534, Public Law 109-162

OBJECTIVES:

To develop and maintain a national tribal sex offender registry and a tribal protection order registry containing civil and criminal orders of protection issued by Indian tribes and participating jurisdictions.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to develop and maintain a national tribal sex offender registry and a tribal protection order registry containing civil and criminal orders of protection issued by Indian tribes and participating jurisdictions.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are tribes, tribal organizations, or tribal nonprofit organizations.

Beneficiary Eligibility:

American Indian; Federally Recognized Indian Tribal Governments; Beneficiary Eligibility: Beneficiaries include tribes and tribal law enforcement.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency must be used for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$0.00; FY 17 est \$0.00; and FY 18 est \$0.00;

Range and Average of Financial Assistance:

\$0

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.588 Violence Against Women Formula Grants; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in a solicitation.

16.021 Justice Systems Response to Families

Justice for Families Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Violence Against Women Reauthorization Act of 2013, , Statue 127-74, Public Law 113-4, 42 USC Code 10420.

OBJECTIVES:

The Grants to Support Families in the Justice System program (referred to as Justice Systems Response to Families Program) was authorized in the Violence Against Women Reauthorization Act (VAWA) of 2013 to improve the response of all aspects of the civil and criminal justice system to families with a history of domestic violence, dating violence, sexual assault, and stalking, or in cases

ASSISTANCE LISTINGS DESCRIPTIONS

involving allegations of child sexual abuse. The program includes purpose areas previously authorized under the Safe Havens: Supervised Visitation and Safe Exchange Grant Program (Supervised Visitation) and the Court Training and Improvements Program (Courts), along with new purpose areas and applicant requirements.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grants can be used for the following statutory program purpose areas: 1) Provide supervised visitation and safe visitation exchange of children and youth by and between parents in situations involving domestic violence, dating violence, child sexual abuse, sexual assault, or stalking; 2) Develop and promote state, local, and tribal legislation, policies, and best practices for improving civil and criminal court functions, responses, practices, and procedures in cases involving a history of domestic violence or sexual assault, or in cases involving allegations of child sexual abuse, including cases in which the victim proceeds pro se; 3) Educate court-based and court-related personnel and court-appointed personnel (including custody evaluators and guardians ad litem) and child protective services workers on the dynamics of domestic violence, dating violence, sexual assault, and stalking, including information on perpetrator behavior, evidence-based risk factors for domestic and dating violence homicide, and on issues relating to the needs of victims, including safety, security, privacy, and confidentiality, including cases in which the victim proceeds pro se; 4) Provide appropriate resources in juvenile court matters to respond to dating violence, domestic violence, sexual assault (including child sexual abuse), and stalking and ensure necessary services dealing with the health and mental health of victims are available; 5) Enable courts or court-based or court-related programs to develop or enhance (a) court infrastructure (such as specialized courts, consolidated courts, dockets, intake centers, or interpreter services); (b) community-based initiatives within the court system (such as court watch programs, victim assistants, pro se victim assistance programs, or community-based supplementary services); (c) offender management, monitoring, and accountability programs; (d) safe and confidential information-storage and information-sharing databases within and between court systems; (e) education and outreach programs to improve community access, including enhanced access for underserved populations; and (f) other projects likely to improve court responses to domestic violence, dating violence, sexual assault, and stalking; 6) Provide civil legal assistance and advocacy services, including legal information and resources in cases in which the victim proceeds pro se, (a) to victims of domestic violence; and (b) nonoffending parents in matters that involve allegations of child sexual abuse, that relate to family matters including civil protection orders, custody, and divorce, and in which the other party is represented by counsel; 7) Collect data and provide training and technical assistance, including developing state, local, and tribal model codes and policies, to improve the capacity of grantees and communities to address the civil justice needs of victims of domestic violence, dating violence, sexual assault, and stalking who have legal representation, who are proceeding pro se, or who are proceeding with the assistance of a legal advocate; and 8) Improve training and education to assist judges, judicial personnel, attorneys, child welfare personnel, and legal advocates in the civil justice system.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants are states, local governments, courts (including juvenile courts), Indian tribal governments, nonprofit organizations, legal services providers, and victim service providers.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Courts, supervised visitation providers, and other nonprofit organizations

Credentials/Documentation:

Under 42 USC 10420(d), all applicants for the Justice Systems Response to Families Program must: Certify that the organizational policies of the applicant do

not require mediation or counseling involving offenders and victims being physically present in the same place, in cases where domestic violence, dating violence, sexual assault, or stalking is alleged. For a court-based program, applicants must also: Certify that victims of domestic violence, dating violence, sexual assault, or stalking are not charged fees or any other costs related to the filing, petitioning, modifying, issuance, registration, enforcement, withdrawal, or dismissal of matters relating to the domestic violence, dating violence, sexual assault, or stalking. Applicants proposing to operate supervised visitation programs and services or safe visitation exchange must also: Demonstrate that adequate security measures, including adequate facilities, procedures, and personnel capable of preventing violence, and adequate standards are, or will be, in place (including the development of protocols or policies to ensure that confidential information is not shared with courts, law enforcement agencies, or child welfare agencies unless necessary to ensure the safety of any child or adult using the services of a program funded by OVW). Any grantee or subgrantee providing legal assistance with funds awarded under this program shall certify in writing that: (1) Any person providing legal assistance with funds through this program (A) has demonstrated expertise in providing legal assistance to victims of domestic violence, dating violence, sexual assault, stalking, or child sexual abuse in the targeted population; or (B) is partnered with an entity or person that has demonstrated expertise described in subparagraph (A); (2) Any person providing legal assistance through this program has completed, or will complete, training in connection with domestic violence, dating violence, sexual assault, stalking, or child sexual abuse, and related legal issues, including training on evidence-based risk factors for domestic and dating violence homicide; (3) Any training program conducted in satisfaction of the requirement of paragraph (1) has been or will be developed with input from and in collaboration with a tribal, state, territorial, or local domestic violence, dating violence, sexual assault, stalking, or child sexual abuse victim service provider or coalition, as well as appropriate tribal, state, territorial, and local law enforcement officials; (4) Any person or organization providing legal assistance with funds through this program has informed and will continue to inform state, local, or tribal domestic violence, dating violence, sexual assault or stalking programs and coalitions, as well as appropriate state and local law enforcement officials of their work; and (5) The grantees organizational policies do not require mediation or counseling involving offenders and victims physically together, in cases where sexual assault, domestic violence, dating violence, or child sexual abuse is an issue. Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation for the program. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 16 \$10,488,436.00; FY 17 est \$10,710,302.00; and FY 18 est \$10,500,000.00;

Range and Average of Financial Assistance:

\$325,000-700,000

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.527 Supervised Visitation, Safe Havens for Children; 93.586 State Court Improvement Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the annual program solicitation.

16.023 Sexual Assault Services Culturally Specific Program

SAS Culturally Specific Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, , Statue 120-754, Public Law 109-271, 42 USC Code 14043g(c).

OBJECTIVES:

To increase culturally specific intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault; family and household members of such victims; and those collaterally affected by the victimization, except for the perpetrator of such victimization.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are for culturally specific intervention and related assistance to victims of sexual assault, including: (i) 24-hour hotline services providing crisis intervention services and referral; (ii) accompaniment and advocacy through medical, criminal justice, and social support systems, including medical facilities, police, and court proceedings; (iii) crisis intervention, short-term individual and group support services, and comprehensive service coordination and supervision to assist sexual assault victims and family or household members; (iv) information and referral to assist the sexual assault victim and family or household members; (v) community-based, linguistically and culturally specific services and support mechanisms, including outreach activities for underserved communities; and (vi) the development and distribution of materials on issues related to the services described in clauses (i) through (v).

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible entities for this program are private nonprofit organizations for which the primary purpose of the organization as a whole is to provide culturally specific services to one or more of the following racial and ethnic communities: American Indians (including Alaska Natives, Eskimos, and Aleuts); Asian Americans; Native Hawaiians and other Pacific Islanders; Blacks; and Hispanics.

Beneficiary Eligibility:

Individual/Family; Minority group; Beneficiaries are adult, youth, and child victims of sexual assault, family and household members of such victims, and those collaterally affected by the victimization, except the perpetrator.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be three years. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$3,463,319.00; FY 17 est \$3,600,000.00; and FY 18 est \$3,500,000.00;

Range and Average of Financial Assistance:

up to \$300,000

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530

Email: tia.farmer@usdoj.gov

Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.017 Sexual Assault Services Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office of Violence Against Women and included in an annual solicitation.

16.024 Tribal Sexual Assault Services Program

(TSASP),

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,

DEPARTMENT OF

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005; Title II, Section 202, Public Law 109-162, 42 U.S.C. 14043g(e).

OBJECTIVES:

To support programs or projects in Indian tribal lands and Alaska native villages that increase intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault; family and household members of such victims; and those collaterally affected by the victimization, except for the perpetrator of such victimization.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are for programs and projects in Indian country and Alaska native villages that provide intervention and related assistance to victims of sexual assault, including: (i) 24-hour hotline services providing crisis intervention services and referral; (ii) accompaniment and advocacy through medical, criminal justice, and social support systems, including medical facilities, police, and court proceedings; (iii) crisis intervention, short-term individual and group support services, and comprehensive service coordination and supervision to assist sexual assault victims and family or household members; (iv) information and referral to assist the sexual assault victim and family or household members; (v) community-based, linguistically and culturally specific services and support mechanisms, including outreach activities for underserved communities; and (vi) the development and distribution of materials on issues related to the services described in clauses (i) through (v).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are Indian tribes, tribal organizations, and nonprofit tribal organizations

Beneficiary Eligibility:

Native American Organizations; Women; Federally Recognized Indian Tribal Governments; Beneficiaries are tribal organizations and nonprofit tribal organizations, as well as Indian or Alaska native victims of sexual assault

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

ASSISTANCE LISTINGS DESCRIPTIONS

required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made for 36 months. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$3,465,000.00; FY 17 est \$3,468,000.00; and FY 18 est \$3,500,000.00;

Range and Average of Financial Assistance:

\$345,000-\$526,500

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at

<http://www.ovw.usdoj.gov/ovwgrantprograms.htm>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <http://www.ovw.usdoj.gov/grantees.html>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.557 Tribal Domestic Violence and Sexual Assault Coalitions Program; 16.556 Grants to State Sexual Assault and Domestic Violence Coalitions; 16.017 Sexual Assault Services Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are specified in the annual program solicitation.

16.025 Special Domestic Violence Criminal Jurisdiction Implementation

SDVCJ Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF
AUTHORIZATION:

Violence Against Women Reauthorization Act of 2013, , Public Law 113-4, 25 USC Code 1304(f).

OBJECTIVES:

To assist tribal governments in implementing the Special Domestic Violence Criminal Jurisdiction which was created by section 904 of the Violence Against Women Reauthorization Act of 2013.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants can be used for the following purposes:(1) to strengthen tribal criminal justice systems to assist Indian tribes in exercising special domestic violence criminal jurisdiction, including (A) law enforcement (including the capacity of law enforcement or court personnel to enter information into and obtain information from national crime information databases); (B) prosecution; (C) trial and appellate courts; (D) probation systems; (E) detention and correctional facilities; (F) alternative rehabilitation centers; (G) culturally appropriate services and assistance for victims and their families; and (H) criminal codes and rules of criminal procedure, appellate procedure, and evidence; (2) to provide indigent criminal defendants with the effective assistance of licensed defense counsel, at no cost to the defendant, in criminal proceedings in which a participating tribe prosecutes a crime of domestic violence or dating violence or a criminal violation of a protection order; (3) to ensure that, in criminal proceedings in which a participating tribe exercises special domestic violence criminal jurisdiction, jurors are summoned, selected, and instructed in a manner consistent with all applicable requirements; and (4) to accord victims of domestic violence, dating violence, and violations of protection orders rights that are similar to the rights of a crime victim described in section 3771 (a) of title 18, consistent with tribal law and custom.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Eligible applicants are tribal governments.

Beneficiary Eligibility:

Native American Organizations; American Indian; Women; Federally Recognized

ASSISTANCE LISTINGS DESCRIPTIONS

Indian Tribal Governments; Beneficiaries include the tribal criminal justice system and victim service providers.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may apply online in the Grants.gov portal. Receipt, review, and analysis of applications will follow OVW policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

At least one year. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants (Discretionary) FY 16 \$2,219,939.00; FY 17 est \$3,465,000.00;

and FY 18 est \$2,200,000.00;

Range and Average of Financial Assistance:

\$495,000

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/tribal/grants.html>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.024 Tribal Sexual Assault Services Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women in program guidelines published annually.

16.026 OVW Research and Evaluation Program

(R&E).

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF
AUTHORIZATION:

Violence Against Women Act, as amended, , Public Law 109-162, 42 USC Code 13925(b)(7).

OBJECTIVES:

The purpose of the Research and Evaluation (R&E) Program is to further develop and make maximum use of the evidence base for approaches to combatting domestic violence, sexual assault, dating violence, and stalking. By generating more knowledge about strategies for serving victims and holding offenders accountable, communities that benefit from VAWA funding will be better equipped to align their work with practices that are known to be effective, and they will be more capable of generating empirical knowledge on the efficacy of new and promising ways of doing things. Because OVW has very limited funds to support research and evaluation, this program prioritizes topics for which a stronger evidence base would help OVW grantees use federal funds most effectively.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

OVW is interested in funding research and evaluation to generate knowledge in the six areas of study listed below:1. Victims needs. How victimization and its aftermath affect peoples lives, especially people who are marginalized; and what they need to cope, heal, and achieve safety and justice2.Cultures, disparities, and access. Ways that cultural differences and social inequalities matter in terms of where and to whom people go for help, and whether people are able to access justice and get services that are useful to them3.Justice. Ways the justice system can effectively pursue and achieve justice in cases involving domestic/dating violence, sexual assault, and stalking; with justice broadly understood to include

ASSISTANCE LISTINGS DESCRIPTIONS

autonomy for victims, accountability for offenders, procedural fairness for all, and restorative justice⁴. Impact. Short- and long-term impact of the justice systems response, victim services, and other VAWA-funded interventions on victim safety and offender accountability⁵. Indicators of success. What success looks like and how to measure it for people who work with victims and offenders, for victims pursuing safety and justice, and for offenders being accountable for their violence⁶. Prevention. How to prevent violence from happening

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are limited to: States (including territories), units of local government, federally recognized Indian tribal governments as determined by the Secretary of the Interior, nonprofit organizations (including tribal nonprofit organizations), institutions of higher education (including tribal institutions of higher education)

Beneficiary Eligibility:

U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; Health Professional; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; This program prioritizes research on topics for which a stronger evidence base would help OVV grantees use federal funds most effectively.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVV. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVV.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation

for the program. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the effective edition of the DOJ Financial Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$3,356,608.00; FY 17 Not Available; and FY 18 Not Available;

Range and Average of Financial Assistance:

\$270,000-\$450,000

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N Street NE,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 2023051177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.123 Community-Based Violence Prevention Program; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.013 Violence Against Women Act Court Training and Improvement Grants; 16.556 Grants to State Sexual Assault and Domestic Violence Coalitions;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the annual program solicitation.

16.027 National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2017, , Public Law 115-113

OBJECTIVES:

To provide training and technical assistance on issues relating to sexual assault of American Indian and Alaska Native women

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To provide training and technical assistance on issues relating to sexual assault of American Indian and Alaska Native women

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The recipient will provide training and technical assistance on sexual assault of American Indian and Alaska Native women.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Training and technical assistance under the program is available to tribes, tribal organizations, and others.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application forms furnished by the Federal agency must be used for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

24-36 months. Funds are released on an as needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Cooperative Agreements FY 16 \$500,000.00; FY 17 est \$500,000.00; and FY 18 est \$500,000.00;

Range and Average of Financial Assistance:

The program is awarded as a single cooperative agreement for up to the full available amount.

TAFS Codes:

15-0408.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N Street NE,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 2023051177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.557 Tribal Domestic Violence and Sexual Assault Coalitions Program; 16.025 Special Domestic Violence Criminal Jurisdiction Implementation;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the annual program solicitation.

16.028 Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking

ASSISTANCE LISTINGS DESCRIPTIONS

Workplace Resource Center,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Violence Against Women Act of 1994, Section 41501, Public Law 103-322, 42
USC Code 14043f.

OBJECTIVES:

To provide for establishment and operation of a national resource center on
workplace responses to assist victims of domestic and sexual violence.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The resource center shall provide information and assistance to employers and
labor organizations to aid in their efforts to develop and implement responses to
such violence.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education
and hospitals); Native American Organizations (includes Indian groups,
cooperatives, corporations, partnerships, associations); To be eligible to receive a
grant under this section, an entity or organization shall submit an application to the
Attorney General at such time, in such manner, and containing such information as
the Attorney General may require, including:(1) information that demonstrates that
the entity or organization has nationally recognized expertise in the area of
domestic or sexual violence;(2) a plan to maximize, to the extent practicable,
outreach to employers (including private companies and public entities such as
public institutions of higher education and State and local governments) and labor
organizations described in subsection (a) of this section concerning developing and
implementing workplace responses to assist victims of domestic or sexual violence;
and(3) a plan for developing materials and training for materials for employers that
address the needs of employees in cases of domestic violence, dating violence,
sexual assault, and stalking impacting the workplace, including the needs of
underserved communities.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization;
Quasi-public nonprofit organization; Other private institution/organization;
Anyone/general public; An entity or organization that receives a grant under this
section may use the funds made available through the grant for staff salaries, travel
expenses, equipment, printing, and other reasonable expenses necessary to develop,
maintain, and disseminate to employers and labor organizations described in
subsection (a) of this section, information and assistance concerning workplace
responses to assist victims of domestic or sexual violence.(2) Responses referred to
in paragraph (1) may include:(A) providing training to promote a better
understanding of workplace assistance to victims of domestic or sexual
violence;(B) providing conferences and other educational opportunities; and(C)
developing protocols and model workplace policies.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at
www.justice.gov/OVW. 2 CFR 200, Sub-part E - Cost Principles applies to this
program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not
required for this program. This program is eligible for coverage under E.O. 12372,
"Intergovernmental Review of Federal Programs." An applicant should consult the
office or official designated as the single point of contact in his or her State for
more information on the process the State requires to be followed in applying for
assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. The receipt, review, and
analysis of applications will follow Office on Violence Against Women policies
and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is

sent to the applicant agency with copies of the Grant Award. One copy of the Grant
Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application
deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements
are not applicable to this assistance listing. MOE requirements are not applicable to
this assistance listing.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation
for the program. Funds are released on an as-needed basis to the grantee. Method
of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the effective edition of the DOJ Financial
Guide. Semi-annual progress reports shall explain the activities carried out and
include an assessment of the effectiveness of those activities in achieving the
purposes of the program, including number of persons served and numbers of
persons seeking services who could not be served. Quarterly Federal Financial
Reports are required. Grantees must monitor grant and subgrant supported
activities to assure compliance with applicable Federal requirements and that
performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records
pertinent to an award must be retained for a period of three years from the date of
submission of the final expenditure report. If any litigation, claim or audit is started
before the expiration of the three year period, the records must be retained until all
litigation, claims or audit findings involving the records have been resolved and
final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Cooperative Agreements FY 16 \$500,000.00; FY 17 est \$500,000.00; and FY 18
est \$500,000.00;

Range and Average of Financial Assistance:

Project is issued as a single award for up to the full available amount.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitations and financial guides are available on the OVW website:
www.justice.gov/OVW

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N Street NE,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 2023051177

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.588 Violence Against Women Formula Grants; 16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life; 16.556 Grants to State Sexual Assault and Domestic Violence Coalitions;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the annual program solicitation.

16.029 Office on Violence Against Women Special Projects

OVW Special Projects,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE, DEPARTMENT OF AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, Section 3(a), 42 U.S.C. 13925(b)(11),.

OBJECTIVES:

To promote promising or innovative practices to respond to violence against women, including domestic violence, dating violence, sexual assault, and stalking.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds will be used to promote promising or innovative practices to respond to violence against women, including domestic violence, dating violence, sexual assault, and stalking.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Further information will be available in occasional solicitations under the program.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries will be specified in any solicitations issued under this program.

Credentials/Documentation:

Specific criteria for the program are provided in solicitations available at www.justice.gov/OVW. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation for the program. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the effective edition of the DOJ Financial Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants (Discretionary) FY 16 Not Available; FY 17 Not Available; and FY 18 Not Available;

Range and Average of Financial Assistance:

Range and average depend on the specific project.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:**Headquarters Office:**

Tia Farmer,
145 N Street NE,
Washington DC 20530
Email: tia.farmer@usdoj.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 2023051177

Website Address:

<http://www.justice.gov/ovv>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies ; 16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life; 16.025 Special Domestic Violence Criminal Jurisdiction Implementation;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria is specified in the occasionally issued program solicitation.

16.030 National Center on Restorative Justice

Center for Restorative Justice,

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Department of Justice Appropriations Act, 2019, , Public Law 116-6, Title I of the Omnibus Crime Control and Safe Streets Act of 1968 USC Code 28 U.S.C. 530 C. Consolidated Appropriations Act, 2020, Pub. L. No. 116-93, 133 Stat 2317, 2409., Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93

OBJECTIVES:

The objective of this program is to improve criminal justice policy and practice in the United States through: 1) the development of educational curriculum designed to broaden the understanding of justice systems and restorative approaches through a degree program, a summer-term institute, or brief courses, while encouraging access to educational opportunities for incarcerated individuals, and 2) support for research focusing on how best to provide direct services to address social inequities, such as simultaneous access to substance abuse treatment and higher education.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funds from this program can be used for: 1) the development of educational curriculum designed to broaden the understanding of justice systems and restorative approaches through a degree program, a summer-term institute, or brief courses, while encouraging access to educational opportunities for incarcerated individuals, and 2) support for research focusing on how best to provide direct services to address social inequities, such as simultaneous access to substance abuse treatment and higher education.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are limited to: accredited universities of higher education and accredited law schools. An applicant university must be fully accredited by one of the regional institutional accreditation agencies recognized by the U.S. Secretary of Education (see 34 U.S.C. 10251(a)(17)). Foreign governments, foreign organizations, and foreign colleges and universities are not eligible to apply.

Beneficiary Eligibility:

Other public institution/organization; Federally Recognized Indian Tribal Governments; State; Local; Anyone/general public; Public nonprofit institution/organization; Other private institution/organization, Anyone/general public, Scientist/Researchers, State, Local, Public nonprofit institution/organization, Federally Recognized Indian Tribal Governments

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at (<http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants. For further information, please see <http://ojp.gov/financialguide/DOJ/PreawardRequirements/chapter2.2a.htm>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$2,797,748.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

\$3,000,000.00 is available for FY 2020.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Hosted an Advisory Council meeting related to law enforcement RJ strategies and planning more focused meetings to gather information on promising practices and inform curricula development. Also, began planning for first summer institute in Summer 2021 and developing content on the curricula.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Rebecca Rose,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Rebecca.Rose@usdoj.gov
Phone: 202-598-9314
Fax:

Website Address:

<https://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 BJA funded the Vermont Law School as a partner to coordinate the activities of the National Center on Restorative Justice, both in FY2019 and FY2020. Planned activities include: Develop a restorative justice (RJ) and justice systems educational curricula for semester-long and 2-week summer courses delivered residentially and online, including two RJ Institutes every year at VLS. Educate undergraduate, graduate, and law students and criminal and juvenile justice professionals in the history, philosophy, and practices of juvenile, criminal, and restorative justice. Train criminal and juvenile justice professionals to infuse RJ into their work. Increase educational opportunities for incarcerated people. Provide education and training for people participating in a judicially supervised drug or other treatment court. Research, evaluate, and report on the Centers impact on attitudes, recidivism, and the costs associated with expanded RJ education for students, professionals, and incarcerated individuals.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.031 Emmett Till Cold Case Investigations Program

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Emmett Till Unsolved Civil Rights Crimes Re-authorization Act of 2016, , Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

The Emmett Till Program provides support to state, local and tribal law enforcement and prosecutors in their investigation and prosecution of cold case murders associated with civil rights violations. Funds are limited to address violations of civil rights statutes resulting in death that occurred no later than December 31, 1979. The Objectives of the program are to: - Enhance collaboration between federal state and local law-enforcement and prosecution agencies in their investigation and prosecution of unsolved civil rights cold case murders. - Increase the number of State, Local and Tribal investigations and prosecutions of civil rights cold case murders. - Bring justice and support to families and stakeholders impacted by these murders.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

This funding will support the efforts of state, local and tribal agencies in the investigation and prosecution of these cases, as well as working with victim families and local stakeholders to support those impacted by the investigation of these cases. This includes review of evidence and use of modern DNA and forensic tools to aid investigations. State, local and tribal agencies have been and are encouraged to working with their federal partners to pursue these cases and coordinate work, consistent with other activities under the Emmitt Till Act.

Applicant Eligibility:

Government - General; Federally Recognized Indian Tribal Governments; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals);

Beneficiary Eligibility:

Minority group; Black; American Indian; Spanish Origin; Asian; Other Non-White; Anyone/general public; Victims of cold case homicide investigations where there is also a civil rights violations, their families and other stakeholders.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at (<http://www.ojp.gov/funding/solicitations.htm>) and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants. For further information, please see <http://ojp.gov/financialguide/DOJ/PreawardRequirements/chapter2.2a.htm>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 90 to 120 days.

Appeals:

Not Applicable. There are no appeal rights for rejection of a discretionary application, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Contracts) FY 20 \$299,988.00; FY 21 est \$2,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Maximum dollar amount for each award: \$300,000 Average Award amount: \$200,000

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program was first funded in FY2020, in which BJA made one award. BJA issued a solicitation in FY2021 which seeks to fund additional awards and also so support national training and technical assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide

(<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Griffith,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531

Email: Elizabeth.Griffith@usdoj.gov

Phone: (202) 616-2008

Fax:

Website Address:

<https://www.ojp.gov/bja>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 BJA funded the Maryland Office of the Attorney General to support the investigation and resolution of a number of lynchings that occurred in Maryland, working with the Maryland Lynching Truth and Reconciliation Commission and local historians. Maryland is working with family members and stakeholders impacted by these crimes.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.032 Academic-based Drug Field Testing and Training Initiative

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2407, Public Law 116-93. Any awards under this solicitation would be made under statutory authority provided by a full-year appropriations act for FY 2020., Title ,

OBJECTIVES:

With this initiative, the Bureau of Justice Assistance (BJA) seeks to address delays in forensic lab testing with the ultimate goal of reducing court backlogs for drug-related offenses. Program objectives: Create a pilot program to establish a training model led by an accredited institution of higher learning that can be used nationwide regarding rapid identification technology and methods which can be used when drugs are discovered in the field. Provide training to local law enforcement officers both on the methods of conducting rapid field testing to identify drugs encountered in the field as well as proper evidence collection techniques and storage measures.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under this initiative, BJA will select an applicant to establish a pilot project to develop a national training model on current rapid identification technology and scientifically sound field collection and testing methods that can be used when drugs are discovered in the field. To be led by an accredited institution of higher learning, the training will incorporate evidence collection and testing technologies and methods that are reliable within state and local court systems.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of

ASSISTANCE LISTINGS DESCRIPTIONS

higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Other public institution/organization; Eligible applicants are public or private accredited academic organizations, nonprofit accredited academic organizations (including tribal nonprofit organizations), and accredited public universities and colleges (including tribal institutions of higher learning).

Beneficiary Eligibility:

Intrastate; State; Local; Anyone/general public; Law enforcement, courts, and other stakeholders.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at (<http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010,

Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$1,800,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

One award with a maximum dollar amount of \$2,000,000

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Tammy Brown,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Tammy.M.Brown@usdoj.gov
Phone: 202-532-0112
Fax:

Website Address:

<https://www.ojp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.033 Collaborative Mental Health and Anti-Recidivism Initiative

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF

ASSISTANCE LISTINGS DESCRIPTIONS

AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Public Law 116-93

OBJECTIVES:

Prison populations across the country have been severely impacted by the increased incarceration of individuals with mental illness. Individuals with mental illness are often associated with higher recidivism rates due to their limited access to mental health and other support services upon release. In many states, a shortage of mental health resources directly correlates to the overcrowding of prison systems. Under this initiative, applicants can use funding to establish a statewide pilot program that partners with the appropriate agencies within the state, such as the Department of Mental Health/Behavioral Health and or Department of Corrections, to establish a collaborative prison anti-recidivism effort to provide comprehensive care before, during, and after incarceration for persons with serious mental illness, with a goal of reducing recidivism.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Applicants can pursue the following objectives: Ensure that individuals with serious mental illness will receive comprehensive care before, during, and after incarceration with a focus on recidivism reduction to include addressing their mental health and wellness needs, and, if needed, including addressing long-term assistance such as mental and health care in the community with their mental health needs. Reduce crime committed by, and improve outcomes for, individuals with mental illness. Identify a target population for the pilot program using a collaborative, data-driven process that responds to local circumstances. Assemble a team that has the authority to implement the required elements of the program, including the decision-making authority to assess and effectively intervene with the target population. Evaluate the efficacy of the pilot program to reduce recidivism and improve outcomes for individuals with mental illness in the criminal justice system.

Applicant Eligibility:

U.S. Territories and possessions; Government - General; State;

Beneficiary Eligibility:

State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; Health Professional; Education Professional; Scientist/Researchers; Artist/Humanist; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Individuals with serious mental illness.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at (<http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants. For further information, please see

<http://ojp.gov/financialguide/DOJ/PreawardRequirements/chapter2.2a.htm>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$896,859.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

For specifics, please see the current fiscal years solicitation available at the Office of Justice Programs web site

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Rebecca Rose,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Rebecca.Rose@usdoj.gov
Phone: 202-598-9314
Fax:

Website Address:

<https://www.ojp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.034 Coronavirus Emergency Supplemental Funding Program

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Emergency Appropriations for Coronavirus Health Response and Agency Operations, Title Coronavirus Supplemental Appropriations Act, HR 748, Div. B., , Public Law 116-136, 28 USC Code 530C.

OBJECTIVES:

The Coronavirus Emergency Supplemental Funding Program (CESF) was established by Congress to provide assist state, local, and tribes in responding to coronavirus. These funds will be awarded to state and local governments to support needs related to coronavirus, including overtime for state, local, and tribal officers; personal protective equipment and supplies; and medical needs and other supplies for inmates in state, local, and tribal prisons, jails, and detention centers.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

In general, funds awarded under the CESF Program should be utilized to prevent, prepare for, and respond to the coronavirus. Allowable projects and purchases include, but are not limited to, overtime, equipment (including law enforcement and medical personal protective equipment), hiring, supplies (such as gloves, masks, sanitizer, etc.), training, travel expenses (particularly related to the distribution of resources to the most impacted areas), etc.

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; States, U.S. Territories, the District of Columbia, units of local government, and federally recognized tribal governments that were identified as eligible for funding

under the Fiscal Year (FY) 2019 State and Local Edward Byrne Memorial Justice Assistance Grant (JAG) Program will be eligible to apply under the Coronavirus Emergency Supplemental Funding (CESF) Program solicitation.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at (<http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants. For further information, please see <http://ojp.gov/financialguide/DOJ/PreawardRequirements/chapter2.2a.htm>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Recipients have the option to request a one-time, up to 12-month extension. The extension must be requested via GMS no fewer than 30 days prior to the end of the performance period.

Formula and Matching Requirements:

Statutory Formula: Title 1, Chapter V, Part A, Subpart 42 USC 3756, Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Period of performance start date January 20, 2020 Period of performance duration 2 years Recipients have the option to request a one-time, up to 12-month extension. The extension must be requested via Justice Grants (JustGrants) System no fewer than 30 days prior to the end of the performance period. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees

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must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Formula Grants FY 20 \$847,657,553.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Coronavirus Emergency Supplemental Funding (CESF)

ProgramNumber of Awards in All Locations (to date):1825Total Amount Awarded in All Locations (to date):\$847,715,565.To view allocation by state, please visit: <https://data.ojp.usdoj.gov/stories/s/jitc-swxt>

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Brenda M. Worthington,
U.S. Department of JusticeOffice of Justice ProgramsBureau of Justice Assistance810 7th Street, N.W.,
Washington DC 20531
Email: Brenda.Worthington@usdoj.gov
Phone: W: 202-305-7844 C: 202-598-0564
Fax:

Website Address:

<https://www.ojp.gov/bja>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.035 Preventing Trafficking of Girls

Preventing Trafficking of Girls,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Title Department of Justice Appropriations Act, 2020, Pub. L. No. 11693, 133 Stat. 2317, 2410.,

OBJECTIVES:

The purpose of this program is to support the prevention and early intervention services, including mentoring and other direct support services, for girls who are at risk of or are victims of sex trafficking. The goal of this program is to prevent/reduce victimization of girls who are vulnerable to sex trafficking. Objectives include:To replicate and scale-up prevention and early intervention programs (including mentoring programs) for girls who are at risk of or are victims

of sex trafficking that have undergone rigorous evaluation and/or have a track record of success. Program models should include a plan to develop effective services and interventions for high-risk girls, including those with a history of trauma caused by physical and sexual abuse, commercial sexual exploitation, and domestic minor sex trafficking.To Implement or enhance efforts to identify and provide services to girls who are at risk of or are victims of sexual exploitation or sex trafficking. This may include street outreach efforts, partnerships with organizations that serve the youth community, and/or collaboration with key stakeholders in the community.Programs should be based upon best practices, and may include a variety of services (i.e., mentoring, educational, counseling, etc.). OJJDP expects successful applicants to work closely with the selected training and technical assistance provider.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Project Grants (B) Subtypes: Cooperative Agreements (Discretionary Grants) (for Training and Technical Assistance only)

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Private nonprofit institution/organization (includes institutions of higher education and hospitals); There are two categories of funding:Category 1: Project Sites: eligible applicants are nonprofit organizations (including tribal nonprofit organizations), and institutions of higher education (including tribal institutions of higher education).Category 2: Training and Technical Assistance: eligible applicants are nonprofit organizations (including tribal nonprofit organizations), for-profit organizations (including tribal for-profit organizations), and institutions of higher education (including tribal institutions of higher education).

Beneficiary Eligibility:

Child (6-15); Youth (16-21); Women; *Note the beneficiaries must be under age 18The ultimate beneficiaries of this program are girls who are vulnerable to sex trafficking. Risk factors that make girls vulnerable to sex trafficking include a history of childhood sexual and physical abuse, witnessing domestic violence, involvement in the child welfare and juvenile justice systems, poverty, running away or being homeless, a lack of strong support networks (family, peers, community ties, etc.), school failure, and substance dependency. Eligible applicants that propose to provide direct services to youth must not include youth who are age 18 or older in the population they will serve.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at (<http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information.

Award Procedure:

Upon approval by the Assistant Attorney General (for science offices, would be the Director of the applicable Office), successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs within 45 days of award date. For further information, please see <http://ojp.gov/financialguide/DOJ/PreawardRequirements/chapter2.2a.htm>.

Deadlines:

Deadlines are included with the application instructions available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

Range of Approval/Disapproval Time:

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Other. Awards will be made before the end of the relevant fiscal year.

Appeals:

Other. For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds' at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 Not Available; FY 21 Not Available; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Cynthia Pappas,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,
Washington DC 20531

Email: cynthia.pappas@usdoj.gov

Phone: 2025989391

Fax:

Website Address:

<https://www.ojp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.036 Prosecuting Cold Cases Using DNA

Cold Cases,

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Statute 133-2317, 2407, Public Law 116-93, Public Law 115-257, Title Consolidated Appropriations Act, 2021, , Statute 134-1182, 1258, Public Law 116-260

OBJECTIVES:

The goals of this program are to: 1) support the prosecution of violent crime cold cases, where DNA from a suspect has been identified [suspect(s) may be known or unknown]; and 2) decrease the number of violent crime cold cases awaiting prosecution. This program furthers the Departments mission by increasing the capacity of state and local prosecution offices to address violent crime cold cases, and providing resources toward the resolution of violent crime cold cases. Which assists the criminal justice community to enforce the law, improve public safety, prevent and reduce crime, and ensure the fair and impartial administration of justice.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The primary objectives of all proposals submitted under this solicitation are: Prosecution of cold cases involving violent crime where suspects have been identified through DNA evidence; Decreasing the number of cold case violent crimes where suspect DNA has been identified. The following activities are permissible as secondary objectives. All secondary objective activities must directly relate to cases where suspect DNA has been identified and the proposal should demonstrate clearly that these activities can be successfully performed: Increasing the capacity for state and local prosecution offices to address cold cases involving violent crime; Identify, locate, collect, process, and analyze evidence, including non-DNA evidence, to assist prosecutors with the litigation of violent crime cold cases where suspect DNA has been identified; Funds may be used for investigative activities related to the prosecution of a case, such as crime analysis, interviewing victims, witnesses, and suspects.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Eligible applicants are state and local prosecuting agencies, states (including territories), units of local government, and federally recognized Indian tribal governments that perform law enforcement functions (as determined by the Secretary of the Interior). Prosecuting agencies, law enforcement, state agencies, or local crime laboratories may partner with the agency that serves as the lead

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applicant for the award to work towards achieving the program goal of seeking resolution in violent crime cold cases. However, a prosecuting entity must be fully engaged in the project. All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

The following items are critical application elements required to pass BMR. An application that OJP determines does not include the application elements designated to be critical, will neither proceed to peer review, nor receive further consideration. Program Narrative; Budget Narrative, and; Budget Detail Worksheet. No specific Credentials are required under this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Each applicant is to submit a BJA Programmatic Coversheet and Checklist with its application to assist BJA in assessing the potential environmental impacts of the activities proposed for funding by the applicant. Specifically, the following is detailed in the solicitation, the applicant is expected to: Carefully read the Programmatic Environmental Assessment; Complete the Cover Sheet and the attached the Programmatic Checklist; and Attach the completed documents to the grant application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at (<http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Deadlines are included with the application instructions available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

Range of Approval/Disapproval Time:

From 60 to 90 days. An applicant that experiences unforeseen Grants.gov technical issues beyond its control that prevent it from submitting its application by the deadline must email the BJA contact identified below within 24 hours after the application deadline to request approval to submit its application after the deadline. Additional information on reporting technical issues appears under Experiencing Unforeseen Grants.gov Technical Issues in the How To Apply (Grants.gov) section in the OJP Grant Application Resource Guide.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for this program are up to 36 months. For specifics pertaining to

this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0401-0-1-754.

Obligations:

Project Grants FY 20 \$4,700,000.00; FY 21 est \$4,700,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

BJA plans to award 10 grants, at an estimated maximum dollar amount for each award of \$470,000.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Carey A. Hendricks,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Carey.A.Hendricks@usdoj.gov
Phone: 202-598-3188

Fax:

Website Address:

<https://ojp.gov/bja>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 For examples of funded projects, please visit
<https://bja.ojp.gov/funding/opportunities/bja-2020-18432>

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at
<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.037 Strengthening the Medical Examiner - Coroner System

ME/C,

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Department of Justice Appropriations Act, 2020, , Statue 133-2317, 2407, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

The program aims to help address the shortage of board-certified forensic pathologists and accredited Medical Examiner (ME) and Coroner offices in the United States. Specific goals are to: (1) Increase the number and quality of board-certified forensic pathologists who choose the forensic pathology sub-specialty following the completion of an accredited pathology medical residency program; and (2) Assists ME and Coroner offices achieve accreditation through a recognized accreditation body [Examples: National Association of Medical Examiners (NAME) and the International Association of Coroners and Medical Examiners (IACME).]

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The goals of the Strengthening the Medical Examiner-Coroner System Program are to: 1) Increase the supply of qualified forensic pathology practitioners; and 2) Strengthen the quality and consistency of ME/C services. For Purpose Area 1, Forensic Pathology Fellowships, institutions with current ACGME- accredited forensic pathology fellowship programs may recruit quality candidates who have completed all necessary medical education and pathology residency requirements. This program will strengthen the ME/C system by increasing the number and quality of board-certified forensic pathologists. For Purpose Area 2, Medical Examiner-Coroner Office Accreditation, ME/C agencies may seek funding for accreditation through an appropriate ME/C accrediting agency such as, but not limited to, the National Association of Medical Examiners (NAME) and the International Association of Coroners and Medical Examiners (IACME).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Eligible applicants are as listed below: Agencies directly involved in medicolegal death investigations as part of their normal business, such as medical examiner offices and coroner offices, States (including territories), Units of local government, Federally recognized Indian tribal governments (as determined by the Secretary of the Interior) that perform law enforcement functions, Non-profit and for-profit organizations (including tribal non-profit and for-profit organizations), Institutions of higher education (including tribal institutions of higher education), and Certain qualified individuals.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

The following items are critical application elements required to pass Basic Minimum Requirements (BMR). An application that OJP determines does not

include the application elements designated to be critical, will neither proceed to peer review, nor receive further consideration. Program Narrative; Budget Narrative, Budget Detail Worksheet and; Resumes/curriculum vitae of key personnel. No specific Credentials are required under this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Each applicant is to submit a BJA Programmatic Coversheet and Checklist with its application to assist BJA in assessing the potential environmental impacts of the activities proposed for funding by the applicant. Specifically, the applicant is expected to: Carefully read the Programmatic Environmental Assessment; Complete the Cover Sheet and the attached the Programmatic Checklist; and Attach the completed documents to the grant application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Deadlines are included with the application instructions available at the Office of Justice Programs web site
(<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

Range of Approval/Disapproval Time:

From 60 to 90 days. An applicant that experiences unforeseen Grants.gov technical issues beyond its control that prevent it from submitting its application by the deadline must email the BJA contact identified below within 24 hours after the application deadline to request approval to submit its application after the deadline. Additional information on reporting technical issues appears under Experiencing Unforeseen Grants.gov Technical Issues in the How To Apply (Grants.gov) section in the OJP Grant Application Resource Guide.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at
<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at
<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act

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of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0401-0-1-754.

Obligations:

Project Grants FY 20 \$1,707,098.00; FY 21 est \$2,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

BJA expects to make: Up to 7 awards of up to \$150,000 each under Purpose Area 1.

A total of \$1,000,000 is available under Purpose Area 1. Up to 10 awards under Purpose Area 2. A total of \$1,000,000 is available under Purpose Area 2.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fellowship/Purpose Area 1 39 fellowships funded by this program. 15 participants have completed fellowship training using program funds. 2,306 deaths were investigated by the program funded fellows. 2,217 autopsies were performed by the program funded fellows. Accreditation/Purpose Area 2 73 individuals seeking certification using program funds. 12 individuals achieved certification using program funds. 3 organizations have achieved accreditation using program funds.*Please note that due to COVID 19, many grantees program goals were delayed, especially with respect to accreditation.**Success Stories: The autopsy performance by the fellow reduced the overall caseload average for the full time forensic pathologists, helping to keep them below 325 annual autopsies, preserving critical criteria that must be met for NAME accreditation. The fellowship was an affordable and cost effective approach to solving concerns regarding record autopsy numbers, as well as bolstering forensic pathology of the future, by providing two well trained forensic pathologists to an undermanned field. Montgomery County Coroners Office, Ohio With the hiring of this fellow, MCMEO was able to increase the number of autopsies performed by 6% when compared with the twelve months prior and allowed the office to offer support to surrounding jurisdictions in need of forensic autopsy services. During this timeframe, MCMEO was able to provide autopsy support to 14 other jurisdictions, which is an increase of more than 100% from years prior ~Milwaukee County Medical Examiners Office

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Angela Williamson,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Programs
810 7th Street, NW,
Washington DC 20531
Email: Angela.Williamson@usdoj.gov

Phone: 202-598-6443

Fax:

Website Address:

<https://ojp.gov/bja>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at

<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.038 National Decertification Index

National Decertification Index (NDI),

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Executive Order A database to coordinate the sharing of information between and among Federal, State, local, tribal, and territorial law enforcement agencies concerning instances of excessive use of force related to law enforcement matters, accounting for applicable privacy and due process rights., Title Executive Order on Safe Policing for Safe Communities, Section Sec. 3. Information Sharing., 34 USC Code 10142. Executive Order <https://www.whitehouse.gov/presidential-actions/executive-order-safe-policing-safe-communities/>,

OBJECTIVES:

The purpose of this funding is to assist with the implementation of the Executive Order on Safe Policing for Safe Communities, signed by President Trump on June 16, 2020. The goal is to maintain and expand the National Decertification Index (NDI) database. The objective of the NDI Database is to coordinate the sharing of information between and among Federal, State, local, tribal, and territorial law enforcement agencies concerning instances of excessive use of force related to law enforcement matters, accounting for applicable privacy and due process rights. The NDI is a national registry of certificate or license revocation actions relating to officer misconduct. The Executive Order states that the database described in Section 3(a) and 3(b) of the Order shall include a mechanism to track, as permissible, terminations or de-certifications of law enforcement officers, criminal convictions of law enforcement officers for on-duty conduct, and civil judgments against law enforcement officers for improper use of force. The database described in Section 3(a) shall also account for instances where a law enforcement officer resigns or retires while under active investigation related to the use of force. The database should consist only of instances in which law enforcement officers were afforded fair process.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Create a database to coordinate the sharing of information between and among Federal, State, local, tribal, and territorial law enforcement agencies concerning instances of excessive use of force related to law enforcement matters, accounting for applicable privacy and due process rights.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Eligible applicants are limited to national-level for-profit (commercial) and nonprofit organizations (including tribal nonprofit and for-profit organizations), and consortiums. For-profit organizations must agree to forgo any profit or management fee.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Profit organization; The general public, State and local criminal justice agencies, Federal, State and local, tribal and territorial law enforcement agencies and personnel benefit from this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: https://www.whitehouse.gov/wp-content/uploads/2020/01/spoc_1_16_2020.pdf

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Award Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants. For further information, please see <http://ojp.gov/financialguide/DOJ/PreawardRequirements/chapter2.2a.htm>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Period of performance and availability of funds is up to 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of

the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-2004-0-1-754.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$1,000,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

1 award up to \$1,000,000.

TAFS Codes:

15-2004.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For an overview of the singular award, please visit <https://bja.ojp.gov/funding/awards/2020-tz-c2-k001>

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

David Lewis,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: David.P.Lewis@ojp.usdoj.gov
Phone: 202-616-7829

Fax:

Website Address:

<https://bja.ojp.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.039 Rural Violent Crime Initiative

RVCI,

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goal: BJA is committed to helping law enforcement agencies and the communities they serve reduce violent crime. While high violent crimes rates are often associated with urban settings, violence is also endemic in many rural settings. The manifestation and challenges of addressing rural violent crime are not identical to those in urban settings and deserve focused attention The goal of this program is to assist and support local rural law enforcement agencies and/or prosecutors offices as they plan, implement, and assess identified violent crime problems in their jurisdiction. Training and technical assistance (TTA) will be made available to site-base awardees to assist them in achieving these goals. The TTA provider will work with BJA to coordinate assistance and will compile a final report which includes an assessment of individual site efforts, overarching findings, and lessons learned.Objectives: - Assist rural jurisdictions in reducing their violent crime problems. - Identify strategies that will be designed and targeted to the unique characteristics of violent crime occurring in rural jurisdictions - Contribute to the professional literature and research on violent rural crime. - Develop an evidence-based resources to support intervention strategies to address rural violence. This initiative seeks to is award grants on a competitive basis to small law enforcement

ASSISTANCE LISTINGS DESCRIPTIONS

agencies and prosecutors offices in rural locations. These grants will support improved training and technology, expanded community-based crime prevention programs, and partnerships with victim services providers. This initiative is intended to improve communication and collaboration between state, local, and tribal law enforcement agencies and the communities they serve in order to address the unique criminal justice challenges in rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For site-based awards, funding is intended to: Support the development of strategic and problem-solving approaches to addressing one or more rural violent crime problem Promote data-driven strategies to support and measure crime reduction efforts Promote collaborative approaches in partnership with stakeholder representing the community, local service providers, and criminal justice partners Document and share implementation guidance and program assessment with assistance of the training and technical assistance provider (TTA). For the TTA award, funding is intended to: Assist site-based grantees in achieving their deliverables and objectives Foster a collaborative community of practice among site-based awardees Compile a description of all the crime reduction strategies implemented by the site-based awardees and TTA awardees Establish regular briefings and meetings with BJA policy staff to discuss TTA plans, achievements, work products, and adjustments Complete a final report that summarized and integrates program and findings across sites, as well as provide lessons learned and guidance of other agencies to implement practices, strategies, and approaches that were successful.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Agencies eligible for site-based awards include state and local governments (including Federally Recognized Indian Tribal Governments and State-designated Indian Tribes) The expectation is the site-based funding will be used to support programs involving law enforcement agencies and/or prosecutors offices. Entities eligible to apply for the training and technical assistance award include for profit organizations other than small businesses, Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education, Nonprofits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education, Private institutions of higher education, and Public and State controlled institutions of higher education.

Beneficiary Eligibility:

State; Local; The main beneficiaries of this solicitation will be rural jurisdiction that are experiencing persistently high or recently precipitous incidences of violent crime. The particular the types, causes, and solution of rural violent crime are often unique and different than those for urban violent crime. Accordingly, rural violent crime deserves focused attention and targeted solutions.

Credentials/Documentation:

There will be two criteria to be documented: 1. Applicants will have to confirm that the area(s) in which the violent crime problem occurs meet BJAs definition of rural. 2. Applicants will have to document that they jurisdiction is experiencing a persistent or precipitous violent crime problem consistent with the definition and thresholds defined by BJA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at (<http://www.ojp.gov/funding/solicitations.htm> for specific information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations

authorized official in JustGrants. For further information, please see <http://ojp.gov/financialguide/DOJ/PreawardRequirements/chapter2.2a.htm>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$7,000,000.00; and FY 22 est \$7,000,000.00;

Range and Average of Financial Assistance:

Maximum dollar amount for each award: Category 1 \$200,000 Average Award amount: Category 1 \$100,000 Maximum dollar amount for each award: Category 2 \$600,000 Average Award amount: Category 2 \$600,000

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

John Markovic,
U.S. Department of Justice Office of Justice Programs Bureau of Justice
Assistance 810 7th Street, NW,
Washington DC 20531
Email: john.markovic@ojp.usdoj.gov
Phone: 202-598-0311
Fax:

Website Address:

<https://www.ojp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Both site-based and TTA-provider applicants will be assessed on their understanding of and ability to describe the underlying problem; their project design and implementation; their organizations capabilities and capacities; their plan for collecting data and providing performance measures; and submission of a budget that is cost-effective, complete, and restricted to allowable expenditure. Site-based awardees are expected to describe on or more violent crime problems affecting their jurisdiction and describe its impact with quantitative metrics (e.g., crime victimization rates or costs for intervention, treatment, or victim services expenses). TTA awardees are expected to demonstrate competency in managing multi-site TTA awards and to provide documentation of their organizational capacity in addressing violent crime reduction strategies, program assessments, and understanding the unique challenges of policing in rural areas.

16.040 Matthew Shepard and James Byrd, Jr. Hate Crimes Education, Investigation and Prosecution Program

Shepard and Byrd Hate Crimes Program,

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title The Matthew Shepard and James Byrd, Jr., Hate Crimes Prevention Act of 2009, 18 USC Code 249.

OBJECTIVES:

The objectives of Shepard and Byrd Hate Crimes Program are: Offer relevant and cost-effective approaches to enhance capacity through educational outreach, training, and tools for the field to investigate, and prosecute hate crime cases; Investigation and reporting of all hate crimes; Enhance collaboration between federal, state, and local law enforcement agencies in their investigation and prosecution of hate crimes; and, Bring justice and support to victims and stakeholders impacted by these hate crimes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to support: Outreach to persons or groups at risk for hate crimes Education of the public, interest groups and criminal justice staff on identification, reporting, investigating and/or prosecuting hate crimes Enhancing capacity to identify and report hate crimes Investigation of hate crimes Prosecution of hate crimes

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Federally Recognized Indian Tribal Governments; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Site based applications: State, local, and tribal law enforcement agencies. Tribal law enforcement must be from federally recognized Indian tribal governments that perform law enforcement functions (as determined by the Secretary of the Interior). Applicants can partner with other agencies and nonprofit organizations with expertise to support the investigation of these hate crimes and expertise to leverage historical documents to inform investigations. Training and Technical Assistance Provider: For-profit (commercial) organizations, nonprofit organizations (including tribal organizations), faith-based and community organizations, and institutions of higher education (including tribal institutions of higher education) with demonstrated expertise in assisting communities to develop and implement cold case homicide investigations, as well as investigations of hate crimes and civil rights violations. The Bureau of Justice Assistance (BJA) is seeking applicants to provide training and technical assistance that have: Expert knowledge of associated work. Extensive experience in brokering and developing technical assistance. Experience in identification of best practices. Program documentation, resource compilation, and information dissemination experience. The willingness to work with BJA and other partners as a member of a training and technical assistance collaborative.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicant must document in the application that they are a state, local or tribal law enforcement or prosecution agency. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at (<http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General (for science offices, would be the Director of the applicable Office), successful applicants are notified via the JustGrants System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs within 45 days of award date. For further information, please see <http://ojp.gov/financialguide/DOJ/PreawardRequirements/chapter2.2a.htm>.

Deadlines:

Deadlines are included with the application instructions available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award period is 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit a final program report. Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports and quarterly performance measure data. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$5,000,000.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Awards are up to \$650,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Griffith,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Elizabeth.Griffith@usdoj.gov
Phone: 2026162008
Fax:

Website Address:

<https://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The current fiscal years program solicitation will provide this criteria, available at the Office of Justice Programs web site at <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.041 National Ashanti Alert Network Training and Technical Assistance Program

FEDERAL AGENCY:

JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Statute 134-1182, 1257, Public Law 116-260

OBJECTIVES:

This program is specifically directed toward the development, implementation, and maintenance of the National Ashanti Alert Network as set forth in the Ashanti Alert Act, December 2018. The Ashanti Alert Act specifically requires the Department of Justice to institute a national alert system for missing persons and report the progress to Congress on an annual basis. This reporting includes how the system has been implemented, statistics from all the participating states, and the effectiveness of the ability to share this information.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The states can request assistance in developing their state plans and make request for some equipment if necessary and as needed. These programs can also request technical assistance with drafting plans, awareness information to be shared with their communities, and request possible training for law enforcement and the public.

Applicant Eligibility:

Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Other private institutions/organizations; Other public institution/organization; Native American tribal organizations (other than Federally recognized tribal governments) Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education Nonprofits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education Private institutions of higher education Public and State controlled institutions of higher education For profit organizations other than small businesses Other Other: Applicants must possess experience in providing training and technical assistance on a national level to state, local, and tribal law enforcement officials, intelligence analysts, prosecutors, judges, staff who work in fusion centers, and other criminal justice entities who work with missing person programs. In addition, applicants are required to have the capacity to deliver training and technical assistance nationally to include remote locations and underserved communities throughout the United States and its territories, as needed

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at

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(<http://www.ojp.gov/funding/solicitations.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$1,000,000.00; and FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

One grant not to exceed \$1,000,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site

(<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional

guidance, please reference the Department of Justice Grants Financial Guide

(<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions

(<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable

administrative requirements and Department of Justice regulations applicable to

specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

David Lewis,

U.S. Department of Justice Office of Justice Programs Bureau of Justice

Assistance 810 7th Street, NW,

Washington DC 20531

Email: David.P.Lewis@ojp.usdoj.gov

Phone: 202-616-7829

Fax:

Website Address:

<https://www.ojp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at

<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.123 Community-Based Violence Prevention Program

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title an act appropriating funds for the Department of Justice and Consolidated Appropriations Act, 2020, Pub. L. No. 116-93, 133 Stat 2317, 2409.,

OBJECTIVES:

Goals: To eliminate youth gun and gang violence Objectives: The Community-Based Violence Prevention (CBVP) Program helps states and localities support a coordinated and multidisciplinary approach to community youth gun and gang violence through prevention, intervention, suppression, and reentry in targeted communities. The CBVP Program is designed to support and enhance programs and approaches that replicate models that have been proven to have a positive impact on the reduction of violence in target communities. Toward achievement of the ultimate goal of eliminating community violence, the CBVP Program has three main objectives: to change community norms regarding violence; to provide alternatives to violence when gangs and individuals in the community are making risky behavior decisions; and, to increase awareness of the perceived risks and costs of involvement in violence among high-risk young people. The CBVP Program supports effective use of focused deterrence (enforcement) strategies and public health and education approaches to stop violence. This will be accomplished by supporting communities to establish and strengthen partnerships between law

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enforcement and outreach workers, clergy, community leaders and family members in efforts to deter young people from committing violence, particularly shootings and killings. The CBVP Program also calls for the strengthening of communities so they have the capacity to exercise social controls and to respond to issues that affect community violence.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

OJJDP is currently encouraging applicants to partner with communities to conduct research and evaluation as well as provide technical assistance in approaches to prevent and reduce youth violence as well as exposure to violence at the community level. The ultimate aim of this work is to promote the well-being of children and youth, their families, and the communities in which they reside and enhance public safety through the prevention and reduction of violence.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants are limited to states (including territories), units of local government, federally recognized tribal governments as determined by the Secretary of the Interior, nonprofit organizations, and for-profit organizations (including tribal nonprofit and for-profit organizations), as well as institutions of higher education (including tribal institutions of higher education). For-profit organizations (as well as other recipients) must agree to forgo any profit or management fee

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Not applicable.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies by project.

Appeals:

Other. There are not appeal rights for denial of discretionary awards. See generally, 28 CFR Part 18.

Renewals:

Other. See applicable program announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Awardees are required by the OJP Financial Guide to report financial data on a quarterly basis. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of 3 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

Project Grants FY 20 \$7,273,116.00; FY 21 est \$11,000,000.00; FY 22 est \$11,000,000.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Community-Based Violence Prevention Program solicitation, the National Evaluation of the Community-Based Violence Prevention Program, the Office of Justice Programs Financial Guide, applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 CFR).

Regional or Local Office:

None.

Headquarters Office:

Cynthia Pappas,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,
Washington DC 20531

Email: cynthia.pappas@usdoj.gov

Phone: 202-598-9391

Scott Pestridge,

U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW ,,
Washington DC 20531

Email: Scott.pestridge@ojp.usdoj.gov

Phone: 202-514-5655

Fax:

Website Address:

<http://ojjdp.gov>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.203 Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994; Adam Walsh Child Protection and Safety Act of 2006 (AWA), Section 40152, 34 USC Code 12311 and 20901, et seq. Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93

OBJECTIVES:

Goals: To support the Adam Walsh Act (AWA) by administering grant programs related to sex offender registration and notification as authorized under AWA or directed by the Attorney General and provide technical assistance to states, the District of Columbia, principal U.S. territories, units of local government, tribal governments and other public and private entities involved in activities related to sex offender registration or notification or to other measures for the protection of children or other members of the public from sexual abuse or exploitation. Objectives: To assist states, the District of Columbia, the principal U.S. territories, local and tribal jurisdictions in improving their adult and/or juvenile sex offender management policies and practices by supporting training, technical assistance, demonstration projects, and fellowships in the Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering and Tracking (SMART). These efforts will enhance sex offender management practices, and thus protect the public from sex offenders in the community.

TYPES OF ASSISTANCE:

Project Grants, Training

USES AND USE RESTRICTIONS:

The Promoting Evidence Integration in Sex Offender Management grant funds may be used to: 1) provide training and technical assistance to jurisdictions with reference to sex offender management and accountability; 2) support local demonstration projects in the areas of case management, supervision and relapse prevention; and, 3) fund sex offender management fellowship opportunities in the SMART Office.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; Individual/Family; Profit organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Non-profit organizations (including tribal organizations), institutions of higher education (including tribal institutions of higher education), for-profit organizations, states, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, America Samoa, Guam, the Commonwealth of the Northern Mariana Islands, local, and tribal communities who are interested in addressing the management of juvenile, adult or a mixed population of sex offenders are eligible to apply for this grant program. For sex offender management fellowships, eligible applicants include individuals.

Beneficiary Eligibility:

Individual/Family; Interstate; Intrastate; State; Local; State, local, and tribal agencies (e.g., parole, probation, pretrial services) responsible for sex offender management, accountability, registration, and supervision. Non-profit or for-profit

organizations, institutions of higher education, and tribal entities. For sex offender management fellowships, individuals with knowledge and experience in the field of sex offender management.

Credentials/Documentation:

Applications must meet the requirements of the program solicitation, which sets forth application deadlines and documentation required. The application must include an Application for Federal Assistance (Standard Form 424), Program Narrative, Budget Detail Worksheet and other critical elements identified. This information may be found in the solicitation section, What an Application Should Include. In addition to the standard requirements, an application may be asked to include timelines, resumes, letters of support, etc. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via Grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs Funding Resource Center. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the solicitation at <https://smart.gov/funding.htm> or contact the SMART Office for application deadlines.

Appeals:

Other. See 28 CFR Part 18.

Renewals:

Other. Awards are for 24 to 36 months unless otherwise noted

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 24-36 months unless otherwise noted. See the following for information on how assistance is awarded/released: Department of Justice Grants Financial Guide and Postward Instructions. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the awards special conditions, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the awards special conditions, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Payments and transactions are subject to audits by the Government Accountability Office, the Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records and all other records pertinent to the award for at least three years following the close of the most recent audit. For additional guidance, visit the DOJ Grants Financial Guide, Retention and Access Requirements for Records at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; and FY 21 est \$1,000,000.00;

Range and Average of Financial Assistance:

Up to \$500,000 for sex offender management enhancement programs, up to \$1,000,000 for training and technical assistance, and up to \$250,000 per fellowship opportunity. See current fiscal year solicitations on [Grants.gov](https://www.grants.gov) and on the SMART Offices Funding Opportunities page at <https://smart.ojp.gov/funding.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>); Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), and Department of Justice regulations applicable to specific types of grantees, which can be found in 2 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

Portia Graham,
U.S. Department of Justice Office of Justice Programs SMART Office,
Washington DC 20531
Email: AskSMART@usdoj.gov
Phone: 202-307-2964
Fax:

Website Address:

<http://www.smart.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.300 Law Enforcement Assistance FBI Advanced Police Training

(FBI Academy, Advanced Specialized Courses),

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

none.

OBJECTIVES:

To provide advanced training to experienced personnel of local, county, State, and selected Federal law enforcement agencies, with special emphasis on developing each graduate as a capable manager or administrator for his own department. To afford specialized advanced training to the above personnel.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

The curriculum of the FBI National Academy program includes criminal law and investigations, behavioral science, forensic science, education, management, and health/fitness. The courses are continually adapted to meet the changing needs of law enforcement administration. Training is provided at the FBI Academy at Quantico, VA. Advanced specialized courses of instruction are provided on specific topics such as Firearms Administration, White-Collar and Computer-Related Crimes, Latent Fingerprint Examinations, Police Legal Issues, Hostage Negotiations, Executive Development, Advanced Training Technology, and Laboratory Matters, with conferences and seminars on topics such as Budgeting, Scientific Technical Analysis, Death Investigation, Crime Prevention, Bombing and Arson Investigations, Violent Crimes Against the Elderly, and Sexual Exploitation of Children.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Individual/Family; Regular, full-time personnel of a criminal justice agency serving a municipality, county, local, or State, as well as some selected, qualified representative of Federal agencies having criminal justice responsibilities. Candidates must meet certain age, experience, education, physical, and character requirements.

Beneficiary Eligibility:

Student/Trainee; Regular, full-time personnel of a criminal justice agency serving a municipality, county, local or State, as well as some selected, qualified representative of Federal agencies having criminal justice responsibilities. Candidates must meet certain age, experience, education, physical, and character requirements.

Credentials/Documentation:

The applications of candidates for enrollment in the Academy must be approved and submitted by the head of their agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formal application to nominate representative to attend the FBI Academy National program (FBI Form FD- 164) submitted by nominee's agency head, followed by an interview of qualified applicants at FBI field office level. Applications of those nominated by agency heads to attend the specialized course are submitted to local office of the FBI for processing.

Award Procedure:

By letter to applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

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this assistance listing.

Length and Time Phasing of Assistance:

National Academy training period of 11 weeks. Specialized training varies with subject, from one to four weeks. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

Training FY 16 est \$9,318,121.00; and FY 17 est \$8,804,322.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to communicate with the nearest FBI field office; see Catalog Appendix IV for a list of the addresses of FBI field offices.

Headquarters Office:

Supervisory Budget Analyst Michael Tyler,
935 Pennsylvania Avenue, Finance Division, Room 6712,
Washington DC 20535

Email: michael.tyler@ic.fbi.gov

Phone: (202) 324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.301 Law Enforcement Assistance FBI Crime Laboratory Support

(FBI Laboratory),

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, JUSTICE, DEPARTMENT OF AUTHORIZATION:

none,

OBJECTIVES:

To provide forensic services to the FBI and any other duly constituted law enforcement agency; and provide technical and forensic assistance through research, training, technology transfer and access to information and forensic databases.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Provision of Specialized Services, Training

USES AND USE RESTRICTIONS:

Submitted evidence is examined and the FBI Laboratory also furnishes the experts necessary to testify in connection with the results of these examinations. These examinations are made with the understanding that the evidence is connected with an official investigation of a criminal or terrorism matter and that the laboratory report will be used only for official purposes related to the investigation or a subsequent prosecution. The FBI Laboratory will not accept cases from other crime laboratories which have the capability of conducting the requested examinations. Additionally, specialized forensic training in many forensic disciplines is conducted. This training is provided to eligible applicants commensurate with available resources. Finally, through available resources, the FBI Laboratory provides new enhanced equipment and tools to State and forensic and law enforcement personnel.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Beneficiary Eligibility:

Federal; State; Local; Any duly constituted State and local law enforcement agency in the United States or any of its possessions.

Credentials/Documentation:

Formal request, normally written, from appropriate official of the requesting law enforcement agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Inquiries may be sent to the Director, Federal Bureau of Investigation, Washington, DC 20535. Attention: FBI Laboratory.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

Advisory Services and Counseling FY 16 \$23,671,109.00; FY 17 est \$25,866,151.00; and FY 18 est \$25,598,728.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. The FBI Laboratory is located at 2501 Investigation Parkway, Quantico, VA 20135. See Catalog Appendix IV for addresses and telephone numbers of FBI Field Offices. Persons are encouraged to communicate with the headquarters office noted below.

Headquarters Office:

Supervisory Budget Analyst Michael Tyler,
935 Pennsylvania Avenue Finance Division Room 6712,
Washington DC 20535
Email: michael.tyler@ic.fbi.gov
Phone: 2023240495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

16.001 Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis; 16.303 Law Enforcement Assistance_FBI Fingerprint Identification;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.302 Law Enforcement Assistance FBI Field Police Training

(FBI Field Police Training).

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, JUSTICE, DEPARTMENT OF AUTHORIZATION:

none,

OBJECTIVES:

To develop the professional skills of criminal justice personnel.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Courses available from FBI instructors range from basic recruit training to specialized instruction in such areas as fingerprinting, legal topics, police-community relations, hostage negotiation, white collar crime, organized crime, computer fraud, management techniques, investigative support, criminal investigative analysis, etc. FBI training assistance is available in complete programs of instruction or as supplemental courses to already existing local police training sessions.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); All authorized municipal, county, local, and State criminal justice personnel.

Beneficiary Eligibility:

State; Local; All authorized municipal, county, local, and State criminal justice personnel.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact FBI Headquarters in Washington, DC or, preferably, the nearest FBI Field Office.

Award Procedure:

By letter to applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

Training FY 16 est \$22,538,036.00; and FY 17 est \$21,290,667.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to communicate with their nearest FBI field office; see Catalog Appendix IV for a list of the addresses of FBI field offices.

Headquarters Office:

Supervisory Budget Analyst, Michael Tyler.,
935 Pennsylvania Avenue, Finance Division, Room 6712,
Washington DC 20535
Email: michael.tyler@ic.fbi.gov
Phone: (202) 324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

16.004 Law Enforcement Assistance_Narcotics and Dangerous Drugs Training; 16.300 Law Enforcement Assistance_FBI Advanced Police Training; 16.012 Alcohol, Tobacco, and Firearms Training Assistance; 16.305 Law Enforcement Assistance_Uniform Crime Reports;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

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Not Applicable.

16.303 Law Enforcement Assistance FBI Fingerprint Identification

(FBI Criminal Justice Information Services Division),

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

none,

OBJECTIVES:

To provide fingerprint and arrest-record services to U.S. Government and criminal justice agencies; and to provide arrest- record services to State and local governmental authorities, as well as certain authorized nongovernmental entities for employment and licensing purposes.

TYPES OF ASSISTANCE:

Information, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Criminal identification by means of fingerprints; determination of a person's past criminal history, if any; fingerprint identification of missing persons, unknown deceased persons, unknown living persons and victims of major disasters; and processing of physical evidence for latent finger and palm prints. Information must be used for official purposes only and is not furnished for public dissemination.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); All criminal justice agencies, Federal Government, and other authorized governmental and nongovernmental agencies and entities.

Beneficiary Eligibility:

Federal; State; Local; All criminal justice agencies, Federal Government, and other authorized governmental and nongovernmental agencies and entities.

Credentials/Documentation:

Initial request for services must be from a statutorily authorized agency; request must be written on letterhead of agency and list address of agency and title of official signing request; it must indicate that information is to be used only for criminal investigative or other official purpose authorized by statute; and reply will be sent only to head of requesting organization. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Inquiries must be sent to the Assistant Director, Criminal Justice Information Services Division, Federal Bureau of Investigation, Department of Justice, 1000 Custer Hollow Road, Clarksburg, WV 26306.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

15-0200-0-1-751.

Obligations:

Information FY 16 est \$76,570,578.00; and FY 17 est \$117,029,459.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for addresses and telephone number of FBI field offices. Persons are encouraged to communicate with the headquarters office noted below.

Headquarters Office:

Supervisory Budget Analyst Michael Tyler,,
935 Pennsylvania Avenue, Finance Division, Room 6712,
Washington DC 20535

Email: michael.tyler@ic.fbi.gov

Phone: (202) 324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

16.001 Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis; 16.301 Law Enforcement Assistance_FBI Crime Laboratory Support;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.304 Law Enforcement Assistance National Crime Information Center

(NCIC),

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

none,

OBJECTIVES:

The FBI National Crime Information Center (NCIC) is designed to complement the development of similar metropolitan and statewide criminal justice information systems and provide a computerized central index to documented files of local and State criminal justice agencies.

TYPES OF ASSISTANCE:

Information, Provision of Specialized Services

USES AND USE RESTRICTIONS:

The FBI National Crime Information Center (NCIC) is a computerized index of

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crime and criminals of nationwide interest. Included are records concerning wanted persons, missing persons, unidentified persons, persons on supervised release, persons subject of a protection order, registered sexual offenders, persons violating immigration laws, persons associated with a violent gang or terrorist organization, and stolen property. Also provided is access to an index of criminal history records. The NCIC, located at the FBI's Criminal Justice Services Division in Clarksburg, WV, serves as a nucleus of a vast, high-speed criminal justice communications network which includes authorized local, State, and Federal criminal justice agencies throughout the United States, Canada, Puerto Rico, the U.S. Virgin Islands, Guam, and American Samoa. Through use of computer storage and retrieval, the NCIC is able to locate and transmit in seconds essential data for the efficient performance of criminal justice personnel. FBI personnel are available to assist States in operating their systems which are connected to the NCIC computer. Technical and other consultant services as well as training are provided at no expense to State agencies.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local, State, and Federal criminal justice agencies may participate through their individual control terminal agency.

Beneficiary Eligibility:

Federal; State; Sponsored organization; Local, State, and Federal criminal justice agencies may participate through their individual control terminal agency.

Credentials/Documentation:

Agencies accessing NCIC must be an authorized criminal justice agency or authorized entity. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Federal, State and local criminal justice agencies applying for access should contact their control terminal agency, or the Director, Federal Bureau of Investigation, 10th and Pennsylvania Ave., NW., Washington, DC 20535.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

Information FY 16 est \$17,914,864.00; and FY 17 est \$18,105,221.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Supervisory Budget Analyst Michael Tyler,
935 Pennsylvania Avenue Finance Division Room 6712,
Washington DC 20535
Email: michael.tyler@ic.fbi.gov
Phone: 2023240495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

16.550 State Justice Statistics Program for Statistical Analysis Centers; 16.305 Law Enforcement Assistance_Uniform Crime Reports;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.305 Law Enforcement Assistance Uniform Crime Reports

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, JUSTICE, DEPARTMENT OF AUTHORIZATION:

none,

OBJECTIVES:

To furnish data which will assist heads of law enforcement agencies in administration and operation of their departments. Further, to make information/data available to judges, penologists, sociologists, legislators, media, students, and others interested in crime and its social aspects.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Dissemination of Technical Information

USES AND USE RESTRICTIONS:

The FBI collects, analyzes, and publishes certain crime statistics which it receives on a regular and voluntary basis from law enforcement agencies nationwide. These data are published annually in the publication, "Crime In The United States-Uniform Crime Reports," and are supplemented with preliminary annual and semiannual releases. The annual publication provides information on (1) crime trends; (2) offenses known to police; (3) age, sex, and race of persons arrested; (4) police disposition of juveniles arrested; (5) police employee; and (6) other information. Additionally, the FBI annually publishes statistics on Hate Crimes and Law Enforcement Officers Killed and Assaulted.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Individual/Family; All participating law enforcement agencies including State and local governments are furnished copies of the annual publication and preliminary annual and semiannual reports. Limited annual copies of statistics on Hate Crime and Law Enforcement Officers Killed and Assaulted, along with preliminary annual and semiannual reports are available to any interested individual who sends a request to the: Communications Unit, Criminal Justice Information Services Division, Federal Bureau of Investigation, Module D-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0154. The annual publication is available to the general public for purchase from

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the Superintendent of Documents, U.S. Government Printing Office, 710 North Capitol Street NW., Washington, DC 20401.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; All participating State and local law enforcement agencies are furnished copies of the annual publication and preliminary annual and semiannual reports. Limited copies of preliminary annual and semiannual reports are available to any interested individual who sends a request to the: Communications Unit, Criminal Justice Information Services Division, Federal Bureau of Investigation, Module D-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0154. The annual publication is available for sale from the Superintendent of Documents, U.S. Government Printing Office, 710 North Capitol Street, NW., Washington DC 20401.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Inquiries may be sent to the Communications Unit, Criminal Justice Information Services Division, Federal Bureau of Investigation, Module D-3, 1000 Custer Hollow Road, Clarksburg, WV 26306-0154.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

Advisory Services and Counseling FY 16 est \$55,592,457.00; and FY 17 est \$56,327,717.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Supervisory Budget Analyst Michael Tyler,
935 Pennsylvania Avenue Finance Division Room 6712,
Washington DC 20535

Email: michael.tyler@ic.fbi.gov

Phone: 2023240495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

16.304 Law Enforcement Assistance_National Crime Information Center; 16.550 State Justice Statistics Program for Statistical Analysis Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.307 Combined DNA Index System

(CODIS).

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, JUSTICE, DEPARTMENT OF AUTHORIZATION:

none.

OBJECTIVES:

To develop or improve forensic DNA analysis capabilities in State and local crime laboratories. The Combined DNA Index Systems (CODIS) allows State and local crime laboratories to store and match DNA records.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CODIS program enables State and local laboratories to establish databases of convicted offenders, unsolved crime scenes, and missing persons. CODIS allows the forensic laboratories to exchange and compare DNA profiles electronically thereby linking serial violent crimes to each other and to identify suspects by matching DNA from crime scenes to convicted offenders.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); To receive CODIS software and technical assistance, participants must be publicly funded forensic laboratories performing DNA analysis, or private forensic laboratories performing DNA analysis under contract with crime laboratories.

Beneficiary Eligibility:

State; Local; To be eligible for DNA grants, participants must be publicly funded State or local forensic laboratories performing DNA analysis.

Credentials/Documentation:

Formal written application from appropriate official of the requesting forensic laboratory to NIJ under the Forensic DNA Laboratory Improvement Program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formal written application from appropriate official of the requesting forensic laboratory to DoJ under the Forensic DNA Laboratory Improvement Program.

Award Procedure:

Not applicable.

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Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

Project Grants FY 16 \$12,305,252.00; FY 17 est \$11,741,382.00; and FY 18 est \$11,635,962.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:**Headquarters Office:**

Supervisory Budget Analyst Michael Tyler,,
935 Pennsylvania Avenue, Finance Division, Room 6712,
Washington DC 20535
Email: michael.tyler@ic.fbi.gov
Phone: (202) 324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.308 Indian Country Investigations

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, JUSTICE, DEPARTMENT OF AUTHORIZATION:

none,

OBJECTIVES:

To provide training to the Bureau of Indian Affairs (BIA) and Tribal Law

Enforcement Officers in conjunction with the Bureau of Indian Affairs Office of Justice Services to better conduct investigations in Indian Country. Coordination of the development of training curriculum with the BIA; and conduct training seminars in law enforcement techniques throughout the country. To coordinate investigative activities within Indian Country.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Funding may be utilized for expenses associated with investigative and managerial training for Indian Country law enforcement.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; BIA Investigators, Tribal Law Enforcement Officers and other law enforcement officers assigned to work in Indian Country.

Beneficiary Eligibility:

Federal; Local; BIA Investigators, Tribal Law Enforcement Officers and other law enforcement officers assigned to work in Indian Country.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FBI and Indian Police Academy select students for training.

Award Procedure:

Not applicable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. If the classes are full, the application will be denied.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

not applicable Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

15-0200-0-1-751.

Obligations:

Training FY 16 est \$2,003,238.00; and FY 17 est \$2,200,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Regional or Local Office:

Headquarters Office:

Michael Tyler,
935 Pennsylvania Avenue Finance Division Room 6712,
Washington DC 20535
Email: michael.tyler@ic.fbi.gov
Phone: 202-324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.309 Law Enforcement Assistance National Instant Criminal Background Check System

(NICS).

FEDERAL AGENCY:

FEDERAL BUREAU OF INVESTIGATION, JUSTICE, DEPARTMENT OF AUTHORIZATION:

none,

OBJECTIVES:

To provide a system so that any Federal Firearm Licensee (FFL) could receive an immediate determination by telephone or by other electronic means, on whether receipt of a firearm and/or explosive by a prospective buyer would violate Federal or State laws.

TYPES OF ASSISTANCE:

Information, Provision of Specialized Services

USES AND USE RESTRICTIONS:

The NICS Operations Center conducts NICS background checks by analyzing and evaluating criminal history records to determine whether the transfer of a firearm to an individual may violate State or Federal law. The staff at the Operations Center provides technical and operational support to users, provides customer service to FFLs and State Point of Contacts (POCs), processes appeals of denied transactions, and prepares management and statistical reports on the operation of the NICS.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Anyone/general public; All persons purchasing firearms.

Beneficiary Eligibility:

Federal; Anyone/general public; The Federal Firearm Licensees and American public.

Credentials/Documentation:

Initial request for services must be from a licensed and enrolled FFL. For checks performed by the FBI, FFLs may contact the NICS Section by telephone via a toll-free number or, electronically by the NICS E-Check via the Internet. The FFLs will provide the required descriptive information requested on the Bureau of Alcohol, Tobacco, Firearms and Explosives Form 4473 and each background check is assigned a unique NICS Transaction Number. If a NICS background check results in no matching records, the transfer will be a proceed. If one or more matching records are found, a NICS Legal Instruments Examiner (Examiner) will review the record(s) to determine if the subject of the record may be identical with the prospective purchaser and to determine if the record contains state and/or federal firearm prohibitive criteria which may prohibit the transfer of the firearm. If it is determined that prohibitive criteria exists, the NICS Examiner will advise the FFL to deny the firearm transaction. If potentially prohibitive criteria exists and more

information is required in order to make the determination, the NICS Examiner will advise the FFL to delay the firearm transaction. When a transaction is delayed, the NICS Examiner begins extensive research on the potential prohibitor. The NICS Examiner will contact local, state, and federal law enforcement agencies and courts to resolve questions about an individual who appears to be prohibited from purchasing or possessing a firearm, as well as to request clarification regarding information in a prior record or disposition. When the research is complete, the NICS Examiner calls the FFL and gives a decision on the firearm transaction. Individuals who are denied the purchase of a firearm will be advised by the FFL of the process for appealing the denial decision if he/she believes it is incorrect. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial request for services must be from a licensed and enrolled FFL. For checks performed by the FBI, FFLs may contact the NICS Operation Center by telephone via a toll-free number or, in the future, electronically by NICS E-check via the Internet.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Three business days.

Appeals:

Other. Persons denied the right to purchase a firearm may appeal the denial by writing to the NICS Program Office, Post Office Box 4278, Clarksburg, WV 26302-4278. Another option that is available is e-mailing the appeal to: nicsappeals@leo.gov.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

15-0200-0-1-751.

Obligations:

Information FY 16 est \$49,276,624.00; and FY 17 est \$52,122,496.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 28, CFR, Part 25 National Instant Criminal Background Check System Regulation, Public Laws 103-159 Federal Regulations, NICS Brochure, Appeals Brochure, POC Manual and FFL Manual.

Regional or Local Office:

See Regional Assistance Locations. NICS Program Office, Post Office Box 4278, Clarksburg, WV 26302-4278.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Supervisory Budget Analyst Michael Tyler,
935 Pennsylvania Avenue, Finance Division, Room 6712,
Washington DC 20535
Email: michael.tyler@ic.fbi.gov
Phone: (202) 324-0495

Website Address:

<http://www.fbi.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.320 Services for Trafficking Victims

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

22 USC 7105(b2)(A),.

OBJECTIVES:

Specific goals vary by solicitation, but the overarching goal of the Services for Victims of Trafficking program is to develop, expand, or strengthen victim service programs for victims of trafficking. Specific objectives vary by solicitation, but include the following: 1. Providing comprehensive and specialized services to victims of human trafficking; 2. Developing multidisciplinary task forces with federal, state, and local law enforcement, service providers, and community- and faith-based organizations to ensure that trafficking victims are identified and referred for appropriate services, and that these cases are investigated and prosecuted; 3. Conducting training, technical assistance and public awareness activities for professionals and community members in order to improve their knowledge of human trafficking and their ability to identify and respond to victims; and 4. Conducting data collection and evaluation activities to determine if the program is meeting stated goals and objectives.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Funding under this program is intended to assist victims of severe forms of human trafficking as defined by the Trafficking Victims Protection Act (TVPA). This includes male and female victims of sex and labor trafficking. The Office for Victims of Crime (OVC) awarded its first cooperative agreements to victim service organizations in FY03 to provide a comprehensive array of direct services to trafficking victims. OVC funds victim service agencies to provide high-quality services to victims of all forms of human trafficking as defined by the Trafficking Victims Protection Act of 2000 and to enhance interagency collaborative and coordination in the provision of services to such victims. OVC makes annual grant funding available for direct comprehensive and specialized services tailored to the needs of human trafficking victims. These services include intensive case management, food, clothing, shelter, medical and dental care, mental health treatment, translation and interpretation services, legal assistance, and more. This OVC funding also supports victim service providers in increasing the capacity of their communities to respond to victims through the development of interagency partnerships and public outreach and awareness efforts. This funding is also made available to state and local law enforcement agencies and victim services organizations in support of multidisciplinary, victim-centered task forces dedicated to investigating trafficking crimes and providing comprehensive services to victims. Administered jointly by OJPs Bureau of Justice Assistance (BJA) and OVC, the Enhanced Collaborative Model to Combat Human Trafficking Program jointly funds law enforcement and service providers to work together to collaboratively respond to human trafficking as a task force. These task forces also include federal law enforcement agencies, such as the Federal Bureau of

Investigation (FBI) and Immigration and Customs Enforcement (ICE), as well as U.S. Attorneys Offices, state and local prosecutors, and other stakeholders. Training and technical assistance awards and other human trafficking victim assistance awards are also made through this program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); The Attorney General may make grants to States, Indian tribes, units of local government, and nonprofit, non-governmental victim service organizations.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Eligible victim assistance agencies. Eligibility depends on the nature of they may vary depending on specific grant types but generally includes victims and potential victims of human trafficking, as defined in TVPA, but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Applications for this program must be submitted electronically via Grants.gov; at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In accordance with the Common Rule, Standard Form 424 must be submitted by nonfederal agencies in applying for funding under this program electronically via grants.gov. Forms for funds other than grants or for use by Federal Agencies will be supplied by OVC.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification. Notice of

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Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via OJP's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 3-5 months.

Appeals:

Other.

Renewals:

Other. See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Eligible applicants must match the Trafficking Victims Protection Act grant program funds with a 25 percent cash contribution or the value equivalent of an in-kind contribution(s). In-kind match refers to the value of something received or provided that does not have a cost associated with it. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Trafficking funds may be carried for obligation by the grantee for the duration of their grant award. Funds are released via electronic funds transfer. Grantees must become enrolled in the Automated Clearinghouse (ACH) Vendor Express Program to request any Federal funds. Method of awarding/releasing assistance: other

Reports:

Semi-annual progress reports are required. Quarterly financial reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 19 \$94,355,934.00; FY 20 est \$850,000,000.00; FY 21 est \$120,000,000.00;

Range and Average of Financial Assistance:

OJP anticipates awarding grants of up to \$925,000 (depending on the program) for 3 years to support enhanced services to trafficking victims, training and technical assistance, and research and evaluation.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through funding, services were provided to 9,854 clients.

REGULATIONS, GUIDELINES, AND LITERATURE:

OVC discretionary grant solicitations, application guidelines, and the current edition of the OJP Financial Guide are available on-line at the OVC webpage: <http://www.ojp.usdoj.gov/ovc>. BJA grant solicitations and application guidelines are available on the BJA webpage: <https://www.bja.gov/funding.aspx> The Financial Guide : ojp.gov/financialguide/DOJ/index.htm

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs, Office of Victims of Crime,
Department of Justice Office of Justice Programs Office of Victims of Crime 810
Seventh Street, NW,
Washington DC 20531
Email: Zoe.French@usdoj.gov
Phone: 202-305-2601

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

93.598 Services to Victims of a Severe Form of Trafficking; 93.566 Refugee and Entrant Assistance_State Administered Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.321 Antiterrorism Emergency Reserve

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

42 U.S.C. 10601(d)(5), 42 U.S.C. 10603b(b), 42 U.S.C. 10603c.,

OBJECTIVES:

To support eligible victims of criminal mass violence and terrorism with appropriate services and/or reimburse eligible victims for expenses defined under AEAP and ITVERP related to their victimization. Encompasses two programs for victims of terrorism and/or mass violence: (1) Antiterrorism and Emergency Assistance Program (AEAP) and (2) The International Terrorism Victim Expense Reimbursement Program (ITVERP). The AEAP provides assistance and compensation services for victims of domestic terrorism and intentional mass criminal violence and assistance for victims of international terrorism. ITVERP provides reimbursement for victims of acts of international terrorism that occur outside the United States for expenses associated with that victimization.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

AEAP funds are available specifically for services to victims of terrorism and/or intentional mass criminal violence. Funded activities may include compensation, emergency relief, including crisis response efforts, assistance, and ongoing assistance, including during any investigation or prosecution to victims of terrorist acts or mass violence occurring within and outside the United States. Nonmonetary assistance is available for training and technical assistance. ITVERP provides direct reimbursement for out-of-pocket expenses to victims of international terrorism. Claimants may seek reimbursement for expenses incurred for medical, mental health, funeral and burial, property loss, and other miscellaneous costs (including emergency travel, temporary lodging, and telephone costs).

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public

ASSISTANCE LISTINGS DESCRIPTIONS

institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; AEAP: Criteria will vary depending on the grant. For terrorism or mass violence occurring within or outside the U.S., eligible applicants for funding under VOCA, Title II, 42 U.S.C. 10603b, 1404B, include states, victim service organizations, and public agencies (including federal, State and local governments) and non-governmental organizations that provide assistance to victims of crime. With the exception of ITVERP, OVC does not provide funding directly to individual crime victims. ITVERP: For an applicant to be eligible to receive reimbursement under ITVERP as authorized under VOCA, Title II, 42 U.S.C. 10603c, 1404C, an individual must be a national of the United States or an officer or employee of the U.S. Government, as of the date on which the act of terrorism occurred. In addition, the individual must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after October 23, 1983, with respect to which an investigation or prosecution was ongoing after April 24, 1996.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Anyone/general public; Public nonprofit institution/organization; AEAP: Public and private nonprofit victim assistance agencies; victims of domestic and international terrorism. Eligibility depends on the nature of the grant. ITVERP: The law requires that the individual victim must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after October 23, 1983, with respect to which an investigation or prosecution was ongoing or was commenced after April 24, 1996. In the case of a victim who is a minor, incompetent, incapacitated, or is killed, a family member or legally designated representative of the victim may receive expense reimbursement on behalf of the victim. In addition to the victim, claimants may include the following: Spouse of the victim, Parents of the victim, Children of the victim, Siblings of the victim, Legally designated victim representative.

Credentials/Documentation:

All potential applicants shall submit a written proposal along with a budget and budget narrative to the OVC Director for consideration. Proposals submitted by State crime victim compensation program administrators should include the following: (1) a description of the qualifying crime; (2) the projected number of claims to be paid and the projected number of claimants to receive payments; (3) the state's maximum award amount by category, such as medical, mental health, lost wages, funeral, etc.; and (4) a description of the range of expenses covered by the program and the amount of state funding available to cover victim claims. Proposals submitted by State crime victim assistance administrators, and all other applicants seeking crime victim assistance, should include the following: (1) type of crime and description of the criminal event; (2) identification of the lead law enforcement agency conducting the investigation; (3) estimated number of victims affected by the crime; (4) description of the applicant's role in responding to the victim population since the date of the incident; (5) description of services that this funding will support and how these efforts will complement services already in place or will respond to an unmet need; (6) the amount of funding requested and the time frame for support; and (7) description of outreach and coordination with other public and private entities during the process of preparing the request for assistance. Once approved for applying, an AEAP solicitation, with detailed instructions on applying as well as reporting requirements, will be posted on the OJP electronic Grants Management System (GMS). Applicants, except Federal agencies, must submit through GMS (1) SF-424, Application for Federal Funding and applicable assurances and forms; and (2) Budget and budget narrative including a description of all other federal and nonfederal contributions (cash or in-kind). Applications for the AEAP must be submitted to the OVC Director as soon as practical following a terrorist or mass violence event by the appropriate State or federal official or private victim service and non-governmental organizations. ITVERP: All potential applicants shall submit a written application along with all supporting documentation to OVC for consideration. Claimants may apply for itemized, interim emergency, or supplemental reimbursement. An Itemized application should be used by those eligible claimants who are making a first-time request for reimbursement of out-of-pocket expenses that were paid following an international terrorism event. An Interim Emergency application should be used by eligible claimants seeking funds for an immediate need such as medical treatment, short-term lodging, or emergency transportation. A Supplemental application should be used by eligible claimants whose expenses have changed since they

submitted an initial application. The supplemental application is for bills that may have been received late, or for new services that were not originally thought necessary or included in the original application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. AEAP: Whereas the previously submitted proposal letter, budget, and budget narrative were key factors in determining eligibility for funding, they were submitted prior to the posting of a solicitation. Application materials submitted electronically through GMS in response to either the assistance or compensation solicitation become permanent parts of the award file. Thus, it will be necessary to resubmit the proposal letter, budget, and budget narrative documents as part of the application package. Applications will be accepted following an incident of terrorism or mass violence in accordance with the timetable set forth in the AEAP Guidelines. There are two factors that determine the application submission requirements for the AEAP: (1) the applicant status, i.e., government agency (Federal, State, or local), non-governmental organization, or victim service organization; and (2) the type of support requested, i.e., crisis response, consequence management, criminal justice, victim compensation, technical assistance (nonmonetary assistance). Application requirements are listed below. Application requirements for State crime victim compensation programs - Funding will be made available to State crime victim compensation programs in response to an incident of terrorism or intentional mass criminal violence within the United States in the form of a grant. Requests for funding from State crime victim compensation programs may be made at any time in the aftermath of an incident and should include: (1) Responses to the questions or issues raised in the AEAP Compensation Solicitation ; (2) Proposal letter ; (3) Budget; (4) Budget narrative; and (5) SF-424, Application for Federal Assistance and applicable assurances and forms.. Application requirements for all other recipients of funds -All other applicants seeking Antiterrorism Emergency Reserve support are required to submit: (1) Responses to the questions or issues raised in the AEAP Assistance Solicitation; (2) Proposal letter; (3) Budget; (4) Budget narrative; and (5) SF-424, Application for Federal Assistance and applicable assurances and forms. While no direct funding is available, OVC may provide its own consultants to meet the training and technical assistance needs of applicants. Training and technical assistance may be requested by submitting a letter describing the nature of the problem; the type of expertise or assistance needed; the duration of assistance; and the projected outcomes of the technical assistance or training. See OVC guidelines published in the Federal Register (Vol. 67, No. 21, Thursday, January 31, 2002) for additional information on application requirements for the AEAP. ITVERP: Step 1: Identify the type of application you are applying for by marking the appropriate type at the top of the application form. In addition, a supplemental sheet must be filled out by applicants. Sections B, F and H should be answered by all applicants; section G should be answered by Itemized and Supplemental applicants only. Detailed instructions are included. Step 2: If you believe that you are an eligible victim of a "designated" international terrorism event, please use the following forms and information to prepare your application for reimbursement. Required Documentation: A victim is required to submit documentation that provides proof of identity, citizenship, employment, and damage/injury sustained during the international terrorism event. A victim's family member or representative is required to submit documentation of his/her relationship to the victim plus medical information or a death certificate as appropriate, in addition to the items named above. In all cases, receipts for expenses incurred in the recovery process will be required. If any of the required documents have been destroyed and are unavailable from any other source, you must provide and certify a list of destroyed documents and how each would apply to your ITVERP application.

Award Procedure:

AEAP: Upon receipt and internal review of a complete application, it is the intention of OVC to notify applicants of funding decision within 5 business days or as soon as practicable. The applicant may be notified by telephone, Internet or

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facsimile. ITVERP: After review of each application, the Director shall determine the eligibility of the victim or representative and the amount, if any, eligible for reimbursement, specifying the reasons for such determination and the findings of fact and conclusions of law supporting it. A copy of the determination shall be mailed to the claimant at his last known address. .

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. AEAP: It is OVC's intention to make a funding decision within 5 business days of receipt of a complete application or as soon as practicable. ITVERP: It is OVCs intention to review and process payments as soon as practicable. For itemized and supplemental claims, range of approval/disapproval is dependant upon receipt of an official determination of a reasonable indication of an act of international terrorism from the Assistant Attorney General of National Security for a particular event. Processing time is also dependant upon timely response by claimants of any outstanding documents or information required to process claim applications. Claimants may apply for an interim emergency payment, prior to a determination under Section 94.21(a). If the Director determines that such payment is necessary to avoid or mitigate substantial hardship that may result from delaying reimbursement until complete and final consideration of an application, such payment may be made to cover immediate expenses such as those of medical care, funeral and burial, short-term lodging, and emergency transportation.

Appeals:

Other. For both programs, hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Other. AEAP: See OVC guidelines for Antiterrorism and Emergency Assistance Program for Terrorism and Mass Violence Crimes published in the Federal Register, Vol. 67, No. 21, Thursday, January 31, 2002. ITVERP: Not applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

AEAP: For grants, dollars remaining unspent by the State or program within 3 fiscal years after the end of the fiscal year in which the award was made, will revert to the Crime Victims Fund/Antiterrorism Emergency Reserve. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the recipient. ITVERP: Reimbursements are released via the Electronic Transfer System directly into the claimants bank account. Method of awarding/releasing assistance: other

Reports:

AEAP: semi-annual progress reports are required. ITVERP: There are no reporting requirements for claimants under ITVERP. AEAP: Quarterly financial reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. ITVERP: There are no reporting requirements for claimants under ITVERP. Performance measure data, as stipulated in the solicitation, are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 AEAP: All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Not applicable to ITVERP.

Records:

AEAP: Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years. ITVERP: All claim files and automated data files are retained on hard copy and on a computer database. All claim files and automated data pertaining to a claim are destroyed 10

years after the date the claim has been fully processed and/or payment made, as approved by the National Archives and Records Administration (NARA). Automated data is retained in its most current form only, however, and as information is updated, outdated information is deleted.

Account Identification:

15-5041-0-2-754.

Obligations:

Project Grants (Contracts) FY 20 \$25,184,840.00; FY 21 est \$50,000,000.00; FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

Varies depending on terrorism/mass violence event and specific need(s).

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further guidance on the AEAP application procedure and current edition of the OJP Financial Guide are available on the OVC web site at www.ovc.gov or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (202) 307-5983. ITVERP application materials and program information are available on the OVC web site at www.ovc.gov/itverp or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (1-800) 363-0441. Financial Guide ojp.gov/financialguide/DOJ/index.htm

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
Office of Justice Programs, Department of Justice, 810 Seventh Street, NW.,
Washington DC 20531
Email: Allison.Turkel@ojp.usdoj.gov
Phone: (202) 3052117

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

16.576 Crime Victim Compensation; 16.575 Crime Victim Assistance; 16.582 Crime Victim Assistance/Discretionary Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the guidelines, regulations, and application kits for these programs. Additional criteria may be developed by the Office for Victims of Crime and will be published in the application packages.

16.524 Legal Assistance for Victims

LAV Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Violence Against Women Act of 2000, Public Law 106-386, 42 U.S.C. 3796gg-6..

OBJECTIVES:

These grants are for increasing the availability of comprehensive civil and criminal legal services to victims of domestic violence, dating violence, sexual assault, and stalking in matters relating to or arising from the abuse or violence. The goal is to develop innovative, collaborative programs within the legal system that promote victim safety and increase victim economic autonomy.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants

USES AND USE RESTRICTIONS:

The Legal Assistance for Victims Grant Program provides an opportunity for communities to enhance legal assistance for victims. Funds may be used: (1) to implement, expand, and establish cooperative efforts and projects between domestic violence, dating violence, and sexual assault victim services organizations and legal assistance providers to provide legal assistance for victims of domestic violence, dating violence, stalking, and sexual assault; (2) to implement, expand, and establish efforts and projects to provide legal assistance for victims of domestic violence, dating violence, stalking, and sexual assault by organizations with a demonstrated history of providing direct legal or advocacy services on behalf of these victims; and (3) to implement, expand, and establish efforts and projects to provide competent, supervised pro bono legal assistance for victims of domestic violence, dating violence, sexual assault, or stalking, except that not more than 10 percent of the funds awarded under this section may be used for this purpose.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible grantees for this program are private, nonprofit entities, Indian tribal governments and tribal organizations, territorial organizations, and publicly funded organizations not acting in their governmental capacity, such as law schools.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries include public or private nonprofit entities providing legal assistance primarily to victims of domestic violence, sexual assault, and or stalking and victims of these crimes who receive legal assistance.

Credentials/Documentation:

To be eligible for an award under the LAV Grant Program, applicants must certify in writing that they are in compliance with the following statutory requirements: (1) Any person providing legal assistance through a program funded under the LAV Grant Program (A) has demonstrated expertise in providing legal assistance to victims of domestic violence, dating violence, sexual assault, or stalking in the targeted population; or (B)(i) is partnered with an entity or person that has demonstrated expertise described in subparagraph (A); and (ii) has completed or will complete training in connection with sexual assault, domestic violence, dating violence, or stalking and related legal issues, including training on evidence-based risk factors for domestic and dating violence homicide. (2) Any training program conducted in satisfaction of the requirement of paragraph (1) has been or will be developed with input from and in collaboration with a State, Territorial, Tribal, or local sexual assault, domestic violence, dating violence, or stalking victim service provider or coalition, as well as appropriate, State, Territorial, Tribal, and local law enforcement officials. (3) Any person or organization providing legal assistance through a program funded under the LAV Grant Program has informed and will continue to inform State, local, or Tribal sexual assault, domestic violence, dating violence, or stalking programs and coalitions, as well as appropriate State and local law enforcement officials of their work. (4) The grantee's organizational policies do not require mediation or counseling involving offenders and victims physically together, in cases where sexual assault, domestic violence, dating violence, and stalking, or child sexual abuse is an issue. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply

online in accordance with instructions contained in the program solicitation. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Continuation grant awards are competitively selected and determined on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 36 months. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$35,733,196.00; FY 17 est \$34,615,593.00; and FY 18 est \$35,000,000.00;

Range and Average of Financial Assistance:

Range: \$350,000-\$800,000

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.588 Violence Against Women Formula Grants; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and are included in an annual solicitation.

16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus

Campus Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

The Violence Against Women and Department of Justice Reauthorization Act of 2005, Title III, Section 304, Public Law 109-162, 42 U.S.C. 14045b, as amended; the Violence Against Women Reauthorization Act of 2013, Public Law 113-4.,

OBJECTIVES:

To develop and strengthen effective security and investigation strategies to combat sexual assault, domestic violence, dating violence, and stalking on campuses, and to develop and strengthen victim services in cases involving such crimes against women on campuses, which may include partnerships with local criminal justice authorities and community-based victim services agencies, and to develop and strengthen prevention education and awareness programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for one or more of the following statutory program purpose areas: (1) To provide personnel, training, technical assistance, data collection, and other equipment with respect to the increased apprehension, investigation, and adjudication of persons committing domestic violence, dating violence, sexual assault, and stalking on campus.(2) To develop, strengthen, and implement campus policies, protocols, and services that more effectively identify and respond to the crimes of domestic violence, dating violence, sexual assault and stalking, including the use of technology to commit these crimes, and to train campus administrators, campus security personnel, and personnel serving on campus disciplinary or judicial boards on such policies, protocols, and services. Within 90 days after January 5, 2006, the Attorney General shall issue and make available minimum standards of training relating to domestic violence, dating violence, sexual assault, and stalking on campus, for all campus security personnel and personnel serving on campus disciplinary or judicial boards.(3) To implement and operate education programs for the prevention of domestic violence, dating violence, sexual assault, and stalking.(4) To develop, enlarge, or strengthen victim services programs and population specific services on the campuses of the institutions involved, including programs providing legal, medical, or psychological counseling, for victims of domestic violence, dating violence, sexual assault, and stalking, and to improve

delivery of victim assistance on campus. To the extent practicable, such an institution shall collaborate with any victim service providers in the community in which the institution is located. If appropriate victim services programs are not available in the community or are not accessible to students, the institution shall, to the extent practicable, provide a victim services program on campus or create a victim services program in collaboration with a community-based organization. The institution shall use not less than 20 percent of the funds made available through the grant for a victim services program provided in accordance with this paragraph, regardless of whether the services are provided by the institution or in coordination with community victim service providers.(5) To create, disseminate, or otherwise provide assistance and information about victims options on and off campus to bring disciplinary or other legal action, including assistance to victims in immigration matters.(6) To develop, install, or expand data collection and communication systems, including computerized systems, linking campus security to the local law enforcement for the purpose of identifying and tracking arrests, protection orders, violations of protection orders, prosecutions, and convictions with respect to the crimes of domestic violence, dating violence, sexual assault, and stalking on campus.(7) To provide capital improvements (including improved lighting and communications facilities but not including the construction of buildings) on campuses to address the crimes of domestic violence, dating violence, sexual assault, and stalking.(8) To support improved coordination among campus administrators, campus security personnel, and local law enforcement to reduce domestic violence, dating violence, sexual assault, and stalking on campus.(9) To develop or adapt and provide developmental, culturally appropriate, and linguistically accessible print or electronic materials to address both prevention and intervention in domestic violence, dating violence, sexual violence, and stalking. And,(10) To develop or adapt population specific strategies and projects for victims of domestic violence, dating violence, sexual assault, and stalking from underserved populations on campus.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education as defined under the Higher Education Amendments of 1998 that are in compliance with the campus crime reporting requirements set forth in 20 U.S.C. 1092 (f) as amended by Public Law 105-244, 112 Stat. 1581, Sec. 486 (e) (1998). A consortia of institutions of higher education may also apply for these grants provided that each individual consortium member is also eligible to apply.

Beneficiary Eligibility:

Minority group; Private nonprofit institution/organization; Native American Organizations; Federally Recognized Indian Tribal Governments; Eligible applicants are institutions of higher education.

Credentials/Documentation:

Applicants must certify that they are in compliance with the campus crime reporting requirements set forth in 20 U.S.C. 1092 (f) as amended by Public Law 105-244, 112 Stat. 1581, Section 486 (e) (1998) and also certify that they are in compliance with 20 U.S.C. 1232g(b)(6). In addition, applicants must meet the following minimum requirements: (A) The grantee shall create a coordinated community response including both organizations external to the institution and relevant divisions of the institution.(B) The grantee shall establish a mandatory prevention and education program on domestic violence, dating violence, sexual assault, and stalking for all incoming students.(C) The grantee shall train all campus law enforcement to respond effectively to domestic violence, dating violence, sexual assault, and stalking.(D) The grantee shall train all members of campus disciplinary boards to respond effectively to situations involving domestic violence, dating violence, sexual assault, or stalking. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

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Requirements for Federal Awards applies to this program. Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, an electronic notification will be sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis and competitively selected.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 3 years. Funds are released on an as needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$15,229,902.00; FY 17 est \$16,594,162.00; and FY 18 est \$16,500,000.00;

Range and Average of Financial Assistance:

Range: \$250,000-\$550,000

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.524 Legal Assistance for Victims; 16.588 Violence Against Women Formula Grants; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by statute and published program guidelines.

16.526 OVW Technical Assistance Initiative

TA Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF
AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, Section 3(a), 42 U.S.C. 13925(b)(11).

OBJECTIVES:

To provide communities with critical resources that help them forge partnerships across agencies and disciplines to respond effectively to violent crimes against women. OVW's Technical Assistance Program was created to provide grantees with the expertise and support they need to develop and implement successful local projects, increase victim safety, and bolster offender accountability. Grantees participate in educational initiatives, conferences, peer-to-peer consultations, and targeted assistance that allow them to learn from experts and one another about how to overcome obstacles and incorporate promising practices in their efforts to address violence against women. In addition, the Technical Assistance Program focuses on building the capacity of national criminal justice and victim advocacy organizations to effectively address violent crimes against women and examine issues of special interest to OVW and its constituents.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

OVW funds a constellation of technical assistance projects to support the activities of its grantees and to forge partnerships among organizations that have not traditionally worked together to address domestic violence, dating violence, sexual assault, or stalking. Grantees learn from national experts and each other how best to develop and implement locally responsive programs. OVW encourages applicants to the Technical Assistance Program to design educational initiatives that are interactive and experiential and that seek to change values and attitudes that have traditionally prevented victim advocates and criminal justice professionals from responding effectively to sexual assault, domestic violence, dating violence, and stalking. Applicants proposing education programs must detail the adult education methods they will use to promote new insight, knowledge, skills, and abilities in the individuals with whom they propose to work.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Eligible applicants are nonprofit national, tribal and statewide organizations and institutions of higher education. In rare

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circumstances, state/local government agencies or local nonprofits may be eligible.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization;
Public nonprofit institution/organization; Other public institution/organization;
Beneficiaries are organizations or individuals partnered with organizations.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable. No statutory rights of appeal.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

24-36 months. Funds are released on an as needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and

final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$36,638,163.00; FY 17 Not Available; and FY 18 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

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Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.524 Legal Assistance for Victims; 16.588 Violence Against Women Formula Grants; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program; 16.525 Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and are included in an annual solicitation.

16.528 Enhanced Training and Services to End Violence and Abuse of Women Later in Life

Abuse in Later Life,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Public Law 109-162, section 205(a), Victims of Trafficking and Violence Protections Act of 2000, Public Law 106-386; 42 U.S.C. 14041a,

OBJECTIVES:

To provide training, services, and collaboration to address the needs of victims of elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, and stalking, who are 50 years of age or older.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

(A) Mandatory activities An eligible entity receiving a grant under this section shall use the funds received under the grant to (i) provide training programs to assist law enforcement agencies, prosecutors, agencies of States or units of local government, population specific organizations, victim service providers, victim advocates, and

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relevant officers in Federal, tribal, State, territorial, and local courts in recognizing and addressing instances of elder abuse;(ii) provide or enhance services for victims of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect;(iii) establish or support multidisciplinary collaborative community responses to victims of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect; and(iv) conduct cross-training for law enforcement agencies, prosecutors, agencies of States or units of local government, attorneys, health care providers, population specific organizations, faith-based advocates, victim service providers, and courts to better serve victims of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect.(B) Permissible activitiesAn eligible entity receiving a grant under this section may use the funds received under the grant to(i) provide training programs to assist attorneys, health care providers, faith-based leaders, or other community-based organizations in recognizing and addressing instances of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect; or(ii) conduct outreach activities and awareness campaigns to ensure that victims of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect receive appropriate assistance.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include States, tribal governments and tribal organizations, units of local government, population specific organizations, victim service providers, and state, tribal, or territorial domestic violence or sexual assault coalitions.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Applicants must be part of a multidisciplinary partnership that includes law enforcement, prosecutors, a victim service provider, and a nonprofit program or government agency with demonstrated experience in assisting individuals in later life.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants are notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 36 months for new recipients. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$3,438,295.00; FY 17 est \$1,499,561.00; and FY 18 est \$4,000,000.00;

Range and Average of Financial Assistance:

Range: \$300,000 - \$400,000

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities ; 16.588 Violence Against Women Formula Grants; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection

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Orders; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.529 Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities

(Disability Grant Program),

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,

DEPARTMENT OF

AUTHORIZATION:

Victims of Trafficking and Violence Protection Act of 2000, Section 1402, Public Law 106-386, 42 U.S.C. 3796gg-7, as amended; , 42 USC Code 3796gg-7.

Violence Against Women and Department of Justice Reauthorization Act of 2005, Title II, Section 204, Public Law 109-162.,

OBJECTIVES:

To provide training, consultation, and information on domestic violence, dating violence, stalking, and sexual assault against individuals with disabilities (as defined in section 3 of the Americans with Disabilities Act of 1990 (42 U.S.C. 12102)); and to enhance direct services to such individuals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants awarded under this program shall be used for the following statutory program purposes: (1) to provide personnel, training, technical assistance, advocacy, intervention, risk reduction (including using evidence-based indicators to assess the risk of domestic and dating violence homicide) and prevention of domestic violence, dating violence, stalking, and sexual assault against disabled individuals;(2) to conduct outreach activities to ensure that disabled individuals who are victims of domestic violence, dating violence, stalking, or sexual assault receive appropriate assistance;(3) to conduct cross-training for victim service organizations, governmental agencies, courts, law enforcement, and nonprofit, nongovernmental organizations serving individuals with disabilities about risk reduction, intervention, prevention and the nature of domestic violence, dating violence, stalking, and sexual assault for disabled individuals;(4) to provide technical assistance to assist with modifications to existing policies, protocols, and procedures to ensure equal access to the services, programs, and activities of victim service providers for disabled individuals;(5) to provide training and technical assistance on the requirements of shelters and victim service providers under Federal antidiscrimination laws, including(A) the Americans with Disabilities Act of 1990 [42 U.S.C. 12101 et seq.]; and(B) section 794 of title 29;(6) to modify facilities, purchase equipment, and provide personnel so that shelters and victim service organizations can accommodate the needs of disabled individuals;(7) to provide advocacy and intervention services for disabled individuals who are victims of domestic violence, dating violence, stalking, or sexual assault; or(8) to develop model programs providing advocacy and intervention services within organizations serving disabled individuals who are victims of domestic violence, dating violence, sexual assault, or stalking.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); Quasi-public nonprofit institution/organization; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); States, units of local government, Indian tribal governments or tribal

organizations, and victim service providers, such as state or tribal domestic violence or sexual assault coalitions or nonprofit, nongovernmental organizations serving disabled individuals. For profit organizations and individuals are not eligible.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries include victim service agencies who respond to crime victims who are individuals with disabilities.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line Grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 3 years. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

ASSISTANCE LISTINGS DESCRIPTIONS

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$3,775,000.00; FY 17 est \$4,275,000.00; and FY 18 est \$4,100,000.00;

Range and Average of Financial Assistance:

Range: \$450,000 - \$580,000

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St.NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.588 Violence Against Women Formula Grants; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.540 Juvenile Justice and Delinquency

Prevention

Title II, Part B Formula Grants,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Title II, Part B of the Juvenile Justice and Delinquency Prevention (JJDP) Act of 2002 (Public Law 107273, 34 U.S.C. 11101 et seq.) and an act appropriating funds for the Department of Justice in the current fiscal year,

OBJECTIVES:

The Title II Formula Grants Program provides funding to support state and local efforts to plan, establish, operate, coordinate, and evaluate policies and projects, directly or through grants and contracts with public and private agencies, for the development of more effective education, training, research, prevention, diversion, treatment, and rehabilitation, compliance with the core requirements and overall juvenile justice system improvement efforts. Objectives: The objectives are the following: (1) grant funding to states to assist them in planning, establishing,

operating, coordinating, and evaluating projects for the development of more effective juvenile delinquency programming (i.e., education, training, research, prevention, diversion, treatment, and rehabilitation); (2) facilitate state compliance with the core requirements under the JJDP Act (42 USC 5633(a)(11), (12), (13), and (22)); (3) provide and support training and technical assistance to benefit the formula grant program; and (4) support research, evaluation, and statistics activities designed to benefit the formula grant program.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Capacity Building and Complaint Processing, Training), Training

USES AND USE RESTRICTIONS:

Formula grants (42 USC 5631(a)): Funds may be used to support juvenile justice and delinquency prevention efforts at the state and local level.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; For formula grants, the eligible applicants can be found at <https://www.ojdp.gov/statecontacts/resourcelist.asp>. For the training and technical assistance and research projects, please refer to the program narrative.

Beneficiary Eligibility:

Other private institution/organization; State; Local; Public nonprofit institution/organization; Units of a State and its local government, public and private organizations, Indian tribes performing law enforcement functions, and agencies involved in juvenile delinquency prevention, treatment, and rehabilitation.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.xecutive Order 12372, "Intergovernmental Review of Federal Programs." The application of E.O. 12372 to formula grants is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this review at: https://www.whitehouse.gov/omb/grants_spoc. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. E.O. 12372 does not apply to grants for technical assistance, training, research that is national in scope, or research that is not designed to benefit a particular state.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are

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notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Formula grant applications (state plans) will generally be approved September 30th of each year. Technical assistance, training, and research grant applications will be subject to a peer review process and internal agency review. The total time will depend on the particular program narrative, but all awards will be made by September 30th of the fiscal year in which the program narrative is posted.

Appeals:

Other. For statutory formula awards, see 28 CFR Part 18. There are no appeal rights for the denial of a discretionary application.

Renewals:

Other. Formula grants: A comprehensive state plan must be submitted every three years, with annual updates required each of the other two years. Technical assistance, training, and research grants: Continuation or supplemental funding will depend on the terms of the original program narrative for the program/project.

Formula and Matching Requirements:

Statutory Formula: Title 34 U.S.C. 11132(a), Chapter , Part 31, Subpart Subpart A - Formula Grants (31.1 - 31.404),Public Law .Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. State grantees may use no more than 10 percent of their formula grant allocation for planning and administration and they must match planning and administration funds dollar for dollar.NO match requirement for technical assistance, training, or research grants.This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Formula grant funds awarded in one fiscal year may be carried forward for obligation for two years subsequent to the fiscal year of award. Technical assistance, training, research grants: Duration and continuation of funding will depend on the particular program narrative. Method of awarding/releasing assistance: letter

Reports:

Formula Grant recipients are required to submit annual progress reports. Recipients of technical assistance, training, and research grants are required to submit semi-annual progress and quarterly financial reports. All recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of 3 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

Formula Grants FY 20 \$12,189,422.00; FY 21 est \$49,000,000.00; FY 22 est \$51,000,000.00;

Range and Average of Financial Assistance:

Allocation of formula grants to States and territories are based on relative populations under the age of 18. Amounts awarded for discretionary technical assistance, training, and research grants vary depending on the particular program or project being funded.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program narrative guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 CFR).

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
U.S. Department of JusticeOffice of Justice ProgramsOffice of Juvenile Justice and Delinquency Prevention810 7th Street, N.W.,
Washington DC 20531
Email: TeNeane.Bradford@usdoj.gov
Phone: (202) 5320020

Website Address:

<http://www.ojdp.gov>

RELATED PROGRAMS:

16.548 Title V_Delinquency Prevention Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Similar programs and other delinquency prevention and compliance with core requirements and those related activities.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for formula grants are established by the Juvenile Justice and Delinquency Prevention Act, 42 USC 5631-5633, and the regulations governing the Formula Grant Program at 28 CFR Part 31, Subpart A. Criteria for technical assistance grants pursuant to the JJDP Act can be found at 42 USC 5631(b) and in the specific program narrative for the program. Criteria for training, technical assistance, or research program/projects authorized pursuant to the DOJ appropriations acts can be found in the program narrative for the particular program.

16.543 Missing Children's Assistance

Missing and Exploited Children (MEC) Program,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Department of Justice Appropriations Act, 2021, Pub. L. No. 116-260, 134 Stat. 1182, 1261.,

OBJECTIVES:

To coordinate Federal missing and exploited children activities and to support research, training, technical assistance, and demonstration programs to enhance the overall response to missing and exploited children and as well as their families. Establish and maintain a national resource center and clearinghouse dedicated to missing and exploited children issues that: (1) provides a toll-free hotline where citizens can report investigative leads and parents and other interested individuals

ASSISTANCE LISTINGS DESCRIPTIONS

can receive information concerning missing children; (2) provides technical assistance to parents, law enforcement, and other professionals working on missing and exploited children cases; (3) promotes information sharing and provides technical assistance by networking with regional nonprofit organizations, State missing children clearinghouses, and law enforcement agencies; (4) develops publications that contain practical, timely information; and (5) provides information regarding programs offering free or low-cost transportation services that assist in reuniting children with their families. On a periodic basis, as funding is designated by Congress for this purpose, conduct national incidence studies to determine the type and extent of missing children in America. Support law enforcement demonstration programs (e.g., the Internet Crimes Against Children Task Force Program) to enhance the investigative response to missing and exploited children cases. Support research to broaden understanding of a wide range of missing and exploited children issues (e.g., abduction homicide investigation solvability factors), to inform training and technical assistance efforts and to identify promising practices and programs for replication. Develop training programs for law enforcement, child protective services, medical personnel, and prosecutors to enhance coordination and effectiveness of missing and exploited children investigations and to enhance the overall system response. Identify service gaps and develop programs to meet specialized needs of parents or guardians of children who are reported missing.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

On the basis of a yearly appropriation and sufficient funds, the program pays for research, demonstration projects, or service programs designed (1) to educate parents, children, and community agencies and organizations in ways to prevent the abductions and sexual exploitation of children; (2) to provide information to assist in the locating and return of missing children; (3) to aid communities in the collection of materials which would be useful to parents in assisting others in the identification of missing children; (4) to increase knowledge of and develop effective treatment pertaining to the psychological consequences, on both parents and children, of (a) the abduction of a child, both during the period of disappearance and after the child is recovered; and (b) the sexual exploitation of a missing child; (5) to collect detailed data from selected States or localities on the actual investigative practices utilized by law enforcement agencies in missing children's cases; (6) to address the particular needs of missing children by minimizing the negative impact of judicial and law enforcement procedures on children who are victims of abuse or sexual exploitation and by promoting the active participation of children and their families in cases involving abuse or sexual exploitation of children; (7) to address the needs of missing children and their families following the recovery of such children; and (8) reduce the likelihood that individuals under 18 years of age will be removed from the control of such individuals legal custodians without such custodians' consent; (9) and to establish or operate statewide clearinghouses to assist in recovering or locating missing children. Funds that support missing childrens program activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Eligible applicants are limited to states (including territories), units of local government, federally recognized tribal governments as determined by the Secretary of the Interior, nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations), and institutions of higher education (including tribal institutions of higher education). For-profit organizations (as well as other recipients) must forgo any profit or management fee. The National Center for Missing & Exploited Children (NCMEC) program is by invitation only and is not published as a competitive program. In addition, the Internet Crimes Against Children (ICAC) Task Force Program is also by invitation only. The exception to this is only if one of the existing 61 task forces voluntarily agrees to no longer serve as the lead agency for the task force in their region. In those rare cases, a competitive solicitation is posted for the affected area.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; State; Local;

Public nonprofit institution/organization; State and local units of government, private nonprofit agencies, organizations, institutions or individuals.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. For specific application instructions see applicable program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. For specific application instructions see applicable program announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 1 to 6 months.

Appeals:

Other. see 28 C.F.R. Part 18

Renewals:

Other. See applicable program announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See applicable program announcement. Method of awarding/releasing assistance: letter

Reports:

Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Audit requirements in 2 C.F.R. Part 200 as adopted by D.O.J. Part 2800

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of 3 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800

Account Identification:

15-0405-0-1-754.

Obligations:

Project Grants FY 20 \$81,596,777.00; FY 21 est \$94,000,000.00; FY 22 est \$26,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: NCMC operates a 24-hour, toll-free Missing Children's Hotline at 800-THE-LOST; the CyberTipline, a centralized reporting mechanism for public and electronic service provides to report instances of apparent child sexual exploitations; and the Child Victim Identification Program (CVIP), which serves as the central US repository for information related to child victims depicted in sexually exploitive images and videos.

REGULATIONS, GUIDELINES, AND LITERATURE:

program authorization and Uniform Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

U.S. Department of Justice. Office of Justice Programs,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,
Washington DC 20531
Email: James.Antal@usdoj.gov
Phone: 202-514-5533
Tenzing Lahdon,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,
Washington DC 20531
Email: Tenzing.lahdon@ojp.usdoj.gov
Phone: 202-514-8045
Fax:

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.544 Youth Gang Prevention

Gangs and youth violence prevention.

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act, Part E, 11171-11172, and an act appropriating funds for the Department of Justice in the current fiscal year. Consolidated Appropriations Act, 2020, Pub. L. No. 116-93, 133 Stat 2317, 2409.,

OBJECTIVES:

The goal of this program is to provide funding to states, local units of government,

and federal recognized tribes to implement programs and strategies to prevent and intervene youth gang related violence. To prevent, intervene, and reduce the participation of juveniles in the activities of gangs that commit crimes. Such programs and activities may include: 1) individual, peer, family, and group counseling, including provision of life skills training and preparation for living independently, which shall include cooperation with social services, welfare, and health care programs; 2) education and social services designed to address the social and developmental needs of juveniles; 3) crisis intervention and counseling to juveniles, who are particularly at risk of gang involvement, and their families; 4) the organization of the neighborhood and community groups to work closely with parents, schools, law enforcement, and other public and private agencies in the community; 5) supporting the coordination of existing comprehensive community-based anti-gang initiatives; and 6) training and assistance to adults who have significant relationships with juveniles who are or may become members of gangs, to assist such adults in providing constructive alternatives to participating in the activities of gangs. To develop within the juvenile adjudicatory and correctional systems new and innovative means to address the problems of juveniles convicted of serious drug-related and gang-related offenses. To provide treatment to juveniles who are members of such gangs, including members who are accused of committing a serious crime and members who have been adjudicated as being delinquent. To promote the involvement of juveniles in lawful activities in geographical areas in which gangs commit crimes. To promote and support, with the cooperation of community-based organizations experienced in providing services to juveniles engaged in gang-related activities and cooperation of local law enforcement agencies, the development of policies and activities in public elementary and secondary schools which will assist such schools in maintaining a safe environment conducive to learning. To assist juveniles who are or may become members of gangs to obtain appropriate educational instruction, in or outside a regular school program, including the provision of counseling and other services to promote and support the continued participation of such juveniles in such instructional programs. To expand the availability of prevention and treatment services relating to the illegal use of controlled substances and controlled substances analogues (as defined in paragraphs (6) and (32) of section 102 of the Controlled Substances Act (21 U.S.C. 802) by juveniles, provided through State and local health and social services agencies. To provide services to prevent juveniles from coming into contact with the juvenile justice system again as a result of gang-related activity. To provide services at a special location in a school or housing project. To facilitate coordination and cooperation among: 1) local education, juvenile justice, employment, law enforcement, and social service agencies; and 2) community-based programs with a proven record of effectively providing intervention services to juvenile gang members for the purpose of reducing the participation of juveniles in illegal gang activities.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

To be eligible for an award or contract, an applicant must: (1) respond to legislative requirements contained in Section 281A and 282A of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for adequate program administration, evaluation and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Part D funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public or private nonprofit agencies, organizations or individuals. Please contact program office for additional information

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Public nonprofit institution/organization; Other public institution/organization; Contact program office for additional information

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The application of E.O. 12372 is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this at: https://www.whitehouse.gov/omb/grants_spoc

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Appeals:

Other. Determined by the Assistant Attorney General, OJP. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. Contact program office for renewal information.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Initial awards usually are made for a period of 12 to 36 months with further funding based upon the project period and grantee performance and availability of funds. Drawdowns are possible under a Letter of Credit. Method of awarding/releasing assistance: letter

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0405-0-1-754.

Obligations:

Formula Grants FY 19 \$2,229,957.00; FY 20 Not Available; FY 21 Not Available;

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 ojp.gov/financial/guide/DOJ/index.htm and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Kellie Dressler,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention
810 7th Street, NW,
Washington DC 20351
Email: Kellie.dressler@usdoj.gov
Phone: (202) 514-4817

Website Address:

<http://www.ojjdp.gov/>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States; 16.541 Part E - Developing, Testing and Demonstrating Promising New Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are assessed according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of each program announcement. Applications may undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy 28 CFR Part 34.

16.548 Title V Delinquency Prevention Program

Delinquency Prevention Program,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

The Incentive Grants for Local Delinquency Prevention Programs Act of 2002, and an act appropriating funds for the Department of Justice in the current fiscal year,

OBJECTIVES:

Title V focuses on reducing risks and enhancing protective factors to prevent youth at risk of becoming delinquent from entering the juvenile justice system and to

ASSISTANCE LISTINGS DESCRIPTIONS

intervene with first-time and nonserious offenders to keep them out of the juvenile justice system. The programs goal is to increase the availability and types of prevention programs to improve juvenile justice systems (Section 504(a) of the JDP Act). The objective of the program is to support both state and local prevention efforts. Each year, state grantees will provide information that OJJDP will include in its annual Title V report to Congress (Section 503(4) of the JDP Act).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

This program authorizes the Administrator to make grants to a State, to be transmitted through the State Advisory Group (SAG), to units of local government for delinquency prevention programming.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Contact program office for additional information

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Contact program office for additional information

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 30 to 60 days. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. Applications are invited annually. Fund availability will be determined by Congressional appropriation.

Formula and Matching Requirements:

Statutory Formula: Matching Requirements: Percent: 50%. Requirement: Matching

requirements are voluntary. Formula based on population of youth under the maximum age of original juvenile court delinquency jurisdiction. State and/or units of local government must match Title V fund with a 50 percent cash or the value of in-kind contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants to States will be awarded for a 36-month project period. Method of awarding/releasing assistance: letter

Reports:

Recipients are required to submit semi-annual Progress Reports, and additional reporting requirements listed in OJJDP guidelines for Title V. Recipients are required to submit quarterly Financial Reports, and additional reporting requirements listed in OJJDP guidelines for Title V. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work; and, additional reporting requirements listed in OJJDP guidelines for Title V.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0405-0-1-754.

Obligations:

Formula Grants FY 20 \$12,189,422.00; FY 21 est \$49,000,000.00; FY 22 est \$51,000,000.00;

Range and Average of Financial Assistance:

Available in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for Formula Grants and the OJP Financial Guide applicable editions, and Title V guidelines issued by OJJDP. www.ojp.gov/financial/guide/DOJ/index.htm

Regional or Local Office:

None.

Headquarters Office:

OJJDP Program Manager,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,
Washington DC 20531

Email: ASKOJJDP@usdoj.gov

Phone: 2023075911

Fax:

Website Address:

<http://www.ojjdp.ncjrs.org/titleV>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States; 16.541

ASSISTANCE LISTINGS DESCRIPTIONS

Part E - Developing, Testing and Demonstrating Promising New Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Justice and Delinquency Prevention Act, as amended, and the Guideline governing the Title V Grant Program provisions of the JJDP Act. OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.550 State Justice Statistics Program for Statistical Analysis Centers

(SACs),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended, , 34 USC Code 10131-10132.

OBJECTIVES:

GOAL(S): Provide financial and technical assistance to state governments for the establishment and operation of Statistical Analysis Centers (SACs) to collect, analyze, and disseminate justice statistics. OBJECTIVE(S): The SJS program enhances the SACs capabilities to collect, analyze, and publish statistical data that support the states strategic criminal justice planning needs and BJS and national priorities. SACs are strongly encouraged to collaborate with their State Administering Agency (SAA) on developing SJS projects for the fiscal year.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To improve the administration of justice by encouraging the development of State-level capabilities for collecting, analyzing, utilizing, and disseminating statistical information pertaining to crime, criminal justice, sex offenses, incident based reporting, and for providing statistical information to the Federal Government for national compilations. To analyze particular criminal justice issues of current concern and significance to criminal justice practitioners, as identified by BJS in conjunction with other Office of Justice Programs components, the Justice Research and Statistics Association, and selected SAC Directors.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Eligible applicants are state agencies whose responsibilities include statistical activities consistent with the goals of the specific programs and are designated as the state Statistical Analysis Center through an Executive Order or legislation.

Beneficiary Eligibility:

State; Eligible beneficiaries are state agencies whose responsibilities include statistical activities consistent with the goals of the specific programs.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, all of the requirements of the program solicitation, including details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit

completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are notified via OJP's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

Other. Hearings by the Director.

Renewals:

Other. Most awards are for periods of 12 months. Under some circumstances, subsequent awards may be made to continue a project.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will be awarded for a 12 to 36 month project period . See the following for information on how assistance is awarded/release: Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$4,839,494.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program continues to support efforts to improve the capacity and capability of state Statistical Analysis Centers to analyze and disseminate data on criminal justice topics. Please visit <https://www.bjs.gov/> for details.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs funding opportunities
<http://www.ojp.gov/funding/solicitations.htm> Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars and regulations, and Department of Justice regulations applicable to specific types of grantees.

Regional or Local Office:

None.

Headquarters Office:

Stephanie L. Burroughs,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Statistics
810 7th Street, NW,
Washington DC 20531
Email: AskBJS@usdoj.gov
Phone: (202) 307-0765

Website Address:

<http://www.bjs.gov/index.cfm?ty=tp&tipd=48>

RELATED PROGRAMS:

16.734 Special Data Collections and Statistical Studies;

EXAMPLES OF FUNDED PROJECTS:

2021 FY 2021 State Justice Statistics Program, see solicitation for types of projects: https://www.bjs.gov/content/pub/pdf/narip_sol.pdf

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the program announcement available at https://www.bjs.gov/content/pub/pdf/narip21_sol.pdf

16.554 National Criminal History Improvement Program (NCHIP)

(NCHIP).

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Public Law 105-251, the Crime Identification Technology Act of 1998 (codified at 42 U.S.C. 14601 et seq.); 42 U.S.C. 3732., Title Consolidated Appropriations Act, 2020, Statute 133-2317, 2409, Public Law 116-93

OBJECTIVES:

GOAL(S): Enhance the quality and completeness of the nation's criminal history record systems. OBJECTIVE(S): Provide financial and technical assistance to states and tribes for the establishment or improvement of computerized criminal history record systems and in their efforts to collect data on stalking and domestic violence; improve data accessibility and support data transmissions to national systems that will permit the immediate identification of persons who are prohibited from purchasing firearms, are subject to domestic violence protective orders, or are ineligible to hold positions of responsibility involving children, the elderly, or the disabled; develop and improve the processes for identifying, classifying, collecting, and entering data regarding stalking and domestic violence into local, state, and national crime information databases; ensure that criminal justice systems are designed, implemented, or upgraded to be compliant where applicable, with the FBI operated National Instant Criminal Background Check System and Interstate Automated Fingerprint Identification System, meet other applicable statewide or regional criminal justice information sharing standards and plans; and, build upon ongoing efforts so as to support the wide range of technology-based criminal

justice information, identification, and communications needs.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the NCHIP Program Announcement which are posted on the Office of Justice Programs web site (<https://ojp.gov/funding/index.htm>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Applicants are limited to the agency designated by the governor in each state to administer the NCHIP program, and federally recognized tribal entities. States and tribes may choose to submit applications as part of a multi-state consortium, multi-tribe consortium, or other entity. In such cases, please contact the BJS program manager for further information.

Beneficiary Eligibility:

State; Federally Recognized Indian Tribal Governments; Funds awarded to the state or tribe may be allocated for use in state or local/tribal agencies or the courts, but should have an impact on national record systems. Private organizations may receive funds under contract arrangements with a state/tribal agency or its subgrantees to which NCHIP funds are allocated by the state/tribe.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, all of the requirements listed in the solicitation, including a detailed budget, a budget narrative, and a program narrative including the accomplishments, goals, impact, methods, evaluation plan, and resources of the project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the OJP's Justice Grants System (JustGrants) or through [grants.gov](https://www.justgrants.gov) following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.justgrants.gov).

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are notified via OJP's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Hearings by the Director.

Renewals:

Other. Awards may be for up to 24 months.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. Provision of a 10 percent cash or in-kind match by the recipient is required. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project duration period will be for up to 24 months. There are three methods for requesting payment of grant funds: the Letter of Credit Electronic Certification System (LOCES), the Phone Activated Paperless Request System (PAPRS) and the Automated Standard Application for Payment (ASAP). Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R. 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754. 15-0404-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$48,506,409.00; FY 21 est \$60,000,000.00; and FY 22 est \$64,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program continues to support efforts to improve the reporting of criminal history and related records to state and national files to be available at the time of a background check. For a summary of funded awards by State, please visit <https://bjs.ojp.gov/programs/national-criminal-history-improvement-program/state-profiles#wyc88v>

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

NCHIP Branch Chief Devon B. Adams,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Statistics
810 7th Street, NW,
Washington DC 20531
Email: Devon.Adams@usdoj.gov

Phone: (202) 307-0765.

Website Address:

<http://www.bjs.gov/index.cfm?ty=tp&tipd=48>

RELATED PROGRAMS:

16.813 NICS Act Record Improvement Program;

EXAMPLES OF FUNDED PROJECTS:

2020 FY 2020 National Criminal History Improvement Program, see solicitation for types of projects: https://www.bjs.gov/content/pub/pdf/nchip20_sol.pdf. 2021 FY 2021 National Criminal History Improvement Program, see solicitation for types of projects: https://www.bjs.gov/content/pub/pdf/nchip21_sol.pdf.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the program announcement available at https://www.bjs.gov/content/pub/pdf/nchip21_sol.pdf

16.556 State Domestic Violence and Sexual Assault Coalitions

State Coalitions Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,

DEPARTMENT OF

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Sections 2001(c), 2007(b)(2)-(3), 42 U.S.C. 37966g(c); Violence Against Women and Department of Justice Reauthorization Act of 2005, Title I, Section 101, Public Law 109-162, 42 U.S.C. 14043g(d), as amended; Violence Against Women and Department of Justice Reauthorization Act of 2013, Public Law 113-4.,

OBJECTIVES:

To coordinate State victim services activities and collaborate and coordinate with Federal, State, and local entities engaged in violence against women activities.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

To coordinate State victim services activities and collaborate and coordinate with Federal, State, and local entities engaged in violence against women activities.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Each State domestic violence coalition as determined by the Secretary of Health and Human Services under the Family Violence Prevention and Services Act and each State sexual assault coalition as determined by the Center for Injury Prevention and Control at the Centers for Disease Control and Prevention under the Public Health Service Act.

Beneficiary Eligibility:

Private nonprofit institution/organization; State domestic violence and sexual assault coalitions.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the Office of Justice Programs' Grants Management System (GMS). The

ASSISTANCE LISTINGS DESCRIPTIONS

receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Applications are submitted on an annual basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 12 months. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Formula Grants FY 16 \$13,413,792.00; FY 17 est \$13,391,656.00; and FY 18 est \$13,390,000.00;

Range and Average of Financial Assistance:

State DV Coalitions: Range: \$91,443-\$91,443 Average: \$91,443 State SA Coalitions: Range: \$147,693-\$147,693 Average: \$147,693

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office of Violence Against Women and included in an annual solicitation.

16.557 Tribal Domestic Violence and Sexual Assault Coalitions Grant Program

Tribal Coalitions,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Sections 2001(c), 2007(b)(2)-(3), 42 U.S.C. 3796gg(d); Violence Against Women and Department of Justice Reauthorization Act of 2005, Title I, Section 101, Public Law 109-162, 42 U.S.C. 14043g(d), as amended; Violence Against Women and Department of Justice Reauthorization Act of 2013, Public Law 113-4.,

OBJECTIVES:

To increase awareness of domestic violence and sexual assault against American Indian and Alaska Native women; enhancing the response to violence against American Indian and Alaska Native women at the tribal, Federal, and State levels; identifying and providing technical assistance to coalition membership and tribal communities to enhance access to essential services to American Indian women victimized by domestic and sexual violence; and assisting tribes in developing and promoting state, local, and tribal legislation and policies that enhance best practices for responding to violent crimes against Indian women.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

Funds may be used for the following statutory program purpose areas: (A) increasing awareness of domestic violence and sexual assault against American Indian and Alaska Native women; (B) enhancing the response to violence against American Indian and Alaska Native women at the tribal, Federal, and State levels; (C) identifying and providing technical assistance to coalition membership and tribal communities to enhance access to essential services to American Indian women victimized by domestic and sexual violence; and (D) assisting tribes in developing and promoting state, local, and tribal legislation and policies that enhance best practices for responding to violent crimes against Indian women.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Tribal coalitions that meet the criteria of a tribal coalition under 24 U.S.C. 13925(a), provide services to Indian tribes, and are recognized by the Office on Violence Against Women.

Beneficiary Eligibility:

Native American Organizations; Tribal sexual assault and domestic violence coalitions.

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the Office of Justice Programs Grants Management System (GMS) portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified before the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Applications are submitted on an annual basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 12 months. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$5,641,616.00; FY 17 est \$5,724,144.00; and FY 18 est \$5,724,000.00;

Range and Average of Financial Assistance:

\$298,564-\$318,008

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St.NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation. Each recognized coalition that qualifies for funding will receive an equal share.

16.560 National Institute of Justice Research, Evaluation, and Development Project Grants

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title I of the Omnibus Crime Control and Safe Streets Act of 1968, Part A, Section 201-202, Public Law 90-351, 42 USC Code 3722. Title II, Subtitle D of the Homeland Security Act of 2002, Part A, Section 201-203, Public Law 90-351, 34 USC Code 10121-10123.

OBJECTIVES:

GOAL: Foster the development of new knowledge and tools that can be applied to reduce crime and advance justice, particularly at the state and local level.OBJECTIVE: Develop, demonstrate and evaluate programs and practices relevant to criminal justice, juvenile justice and crime victims services outcomes. Identify and analyze the causes and correlates of crimeImprove the functioning of the criminal and juvenile justice systemsDevelop new methods for the prevention and reduction of crimeDevelop new methods for to detect, investigate and prosecute crimeEnhance the justice research infrastructure

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Project Grants

USES AND USE RESTRICTIONS:

The funds may be used to conduct research and development pertaining to the above objectives, including the development of new or improved approaches, techniques, systems, and technologies and to carry out programs of research on the causes of crime and means of preventing crime, and to evaluate criminal justice programs and procedures, and responses to crime, violence, and delinquency.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of

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higher education and hospitals; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); The National Institute of Justice (NIJ) is authorized to make grants to, or enter into contracts or cooperative agreements with States, units of local government, for-profit organizations, nonprofit organizations, institutions of higher education, and qualified individuals. Applicants from the Territories of the United States, and federally recognized Indian tribal governments that perform law enforcement functions, are also eligible to participate in this program. Certain qualified individuals may be eligible to apply in response to some solicitations under this program, as described in the solicitation document. Federal agencies may be eligible to apply for funding in response to a solicitation under this program, if the solicitation specifies that Federal agencies are eligible. If an award is made to a Federal agency, it will be through an Interagency Agreement (IAA) with the National Institute of Justice, Office of Justice Programs, Department of Justice.

Beneficiary Eligibility:

Individual/Family; Other private institution/organization; Anyone/general public; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State and local governments; private nonprofit organizations, public nonprofit organizations, profit organizations, nonprofit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for a grant, cooperative agreement or contract, resumes of principal investigator and key personnel, details of the budget composition, goals, impact, methods, evaluation, schedule and resources of the project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General information is provided in individual program solicitations, which are obtained by accessing the web site at www.ojp.usdoj.gov/nij, sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; or calling toll free to request a copy. Telephone: (800) 851-3420. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at (1-888) 549-9901. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications are reviewed and evaluated by program managers for compatibility with the needs of the Institute's research plan, on-going or prior studies, and the particular promise of the proposal itself. The Institute uses external peer review processes, in addition to NIJ program manager review, in order to weigh each application in terms of proposed methodology, economy, and other criteria bearing on the technical merit of the proposal. The Institute Director makes final award decisions for NIJ research, development, and evaluation awards, incorporating the recommendations of the relevant NIJ staff and management-level personnel and the assessments of technical merit provided by external peer reviewers.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 60 days for concept papers and 180 days for full proposals.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but

for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. Please contact the program office for more information.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project durations are normally up to 24 months but longer awards are possible.

Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements. For-profit applicants and applicants applying as individuals (in his/her personal capacity) should review carefully the DOJ Grants Financial Guide and the award terms and conditions for the specific audit requirements that will be applied to awards made to these applicant types.

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

Project Grants FY 20 \$61,702,043.00; FY 21 est \$37,000,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and funding information are available free from the National Criminal Justice Reference Service, Box 6000, Rockville, MD 20849-6000. Telephone: (800) 851-3420. It is also available electronically through the NCJRS web site at www.ncjrs.org or through the NIJ website under "Funding Opportunities" at www.ojp.usdoj.gov/nij.

Regional or Local Office:

None.

Headquarters Office:

Chris Tillery,
U.S. Department of Justice Office of Justice Programs National Institute of Justice
810 7th Street, N.W.,
Washington DC 20531

Email: George.Tillery@ojp.usdoj.gov

Phone: (202) 307-2942

Website Address:

<https://nij.gov>

RELATED PROGRAMS:

16.566 National Institute of Justice W.E.B. DuBois Fellowship Program; 16.562 Criminal Justice Research and Development_Graduate Research Fellowships;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated according to the criteria specified in the program solicitation. With few exceptions, all proposals are reviewed competitively by a peer review panel consisting of outside experts in the field for technical quality and the general merits of the problems addressed. The Institute uses the peer review process to ensure fair and knowledgeable evaluation of preapplications and proposals. Program solicitations are announced in the Federal Register and advertised on the Institute's website at www.ojp.usdoj.gov/nij.

16.562 Criminal Justice Research and Development Graduate Research Fellowships

Graduate Research Fellowship Program,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title I of the Omnibus Crime Control and Safe Streets Act of 1968, Part A, Sections 201-202, Pub. L. No. 90-351, 34 USC 10121 - 34 USC 10122; and an act appropriating funds for the Department of Justice in the current fiscal year. Title II, Subtitle D of the Homeland Security Act of 2002, Sections 231-233 and 235, Pub. L. 107-296, 6 USC 161 - 6 USC 163 and 6 USC 165; and an act appropriating funds for the Department of Justice in the current fiscal year.,

OBJECTIVES:

Goals: The goal of the program is to increase graduate research fellowship in social and behavioral sciences and in science, technology, engineering and mathematics that are involved in research relevant to problems that affect criminal justice policy and practice in the United States particularly addressing issues deemed critical by the U.S. Department of Justice. Through the GRF program, NIJ supports graduate education by investing in academic institutions that support outstanding and promising doctoral students. Objectives: NIJ's Graduate Research Fellowship (GRF) program provides awards for research on crime, violence, and other criminal justice-related topics to accredited academic universities that support graduate study leading to research-based doctoral degrees in disciplines relevant to the Institutes mission. This program furthers the Departments mission by sponsoring research to provide objective, independent, evidence-based knowledge and tools to meet the challenges of crime and justice, particularly at the State and local levels.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The program supports academic institutions sponsoring students engaged in doctoral research. Award funds may be used to cover a students stipend and fringe benefits, tuition and fees, and other allowable research expenses, as specified in the specific GRF Program solicitation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Degree-granting academic institutions in the United States. The institution must be fully accredited by one of the regional institutional accreditation agencies recognized by the U.S. Secretary of Education.

Beneficiary Eligibility:

Graduate Student; U.S. Citizen; The ultimate beneficiaries of this program are graduate students engaged in research relevant to criminal justice, forensic science, and public safety.

Credentials/Documentation:

Interested applicants are encouraged to review the current solicitation for the specific GRF Program track for information about required documents. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 180 days. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. GRF-STEM Fellowships may be supplemented for up to 36 months of total support, pending demonstration of adequate progress. See specific solicitation for details of renewal eligibility.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Initial grants typically provide 12 months of support. GRF-STEM fellowships may be supplemented for up to 36 months of total support, pending demonstration of adequate progress. The total period of an award will not exceed five years.

Applicants should refer to the specific solicitation for details about initial and total grant periods. Method of awarding/releasing assistance: other

Reports:

Progress reports are required. Additionally, an electronic copy of the graduate fellows approved, defended dissertation is required upon completion. Quarterly financial reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. 200.334 for the government-wide requirements for retention

ASSISTANCE LISTINGS DESCRIPTIONS

requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

Project Grants FY 17 Not Available; and FY 18 Not Available;

Range and Average of Financial Assistance:

Maximum award amounts: \$32,000 for GRF-SBS; \$50,000/yr. for GRF-STEM. See current solicitation for current award maximum.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Electronic versions of both GRF solicitations can be found on NIJs website at www.nij.gov/funding/fellowships.

Regional or Local Office:

None. Email: GRF@usdoj.gov (Social and Behavioral Sciences) or STEM@usdoj.gov (Science, Technology, Engineering and Mathematics). Phone: (202) 307-2942

Headquarters Office:

Eric Martin,
National Institute of Justice 810 7th Street, NW,
Washington DC 20531
Email: Eric.D.Martin@ojp.usdoj.gov
Phone: (202) 307-2942

Website Address:

<http://www.nij.gov>

RELATED PROGRAMS:

16.560 National Institute of Justice Research, Evaluation, and Development Project Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The scientific merit of the proposed research and its relevance to criminal justice policy and practice in the United States are assessed by external peer reviewers, according to these selection criteria: 1) Statement of the Problem; 2) Project Design and Implementation; 3) Potential Impact; and 4) Capabilities and Competencies. All final award decisions will be made by the Director of the National Institute of Justice. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, planned scholarly products, proposed budgets, past performance (including scholarly products) under prior NIJ and OJP awards, research independence and integrity, strategic priorities, and available funding when making awards.

16.566 National Institute of Justice W.E.B. DuBois Fellowship Program

W.E.B. Du Bois Program of Research on Race and Crime,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title I of the Omnibus Crime Control and Safe Streets Act of 1968, Part A, Sections 201-202, Pub. L. No. 90-351, 34 USC 10121 - 34 USC 10122 and an act appropriating funds for the Department of Justice in the current fiscal year., , Public Law 90-351, USC Code 34 USC 10121 - 34 USC 10122.

OBJECTIVES:

GOAL(S): To advance the field of knowledge regarding the confluence of crime, justice, and culture in various societal contexts AND to provide early career

researchers an opportunity to elevate independently generated research and ideas to the level of national discussion.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

NIJ funds investigator-initiated proposals to conduct research on topics linked to race and crime in violence and victimization, crime and prevention, and justice systems (law enforcement, courts, or corrections). Funding categories include: 1) W.E.B. Du Bois Scholars who are advanced in their careers; and 2) W.E.B. Du Bois Fellows who are early in their careers. Applicants may propose to serve a period of the grant in residence at NIJ; however, residency at NIJ is not required. Beginning in FY 2018, the National Institute of Justice W.E.B. Du Bois Fellowship Program is funded under CFDA 16.560 National Institute of Justice Research, Evaluation, and Development Project Grants program.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Fellowship grants are awarded to individuals or to their parent agencies or organizations. IPA appointments also may be negotiated with Fellows' parent agencies. Generally, researchers and academicians working in the criminal justice field, including university or college-based academic researchers and upper-level managers in criminal justice agencies are eligible.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Generally, researchers and academicians with research experience in criminal-justice or criminal-justice relevant fields are eligible for grants; those working for law enforcement related branches of State or local government units are eligible for grants or IPA appointments. Each prospective candidate must possess a terminal degree in their respective field

Credentials/Documentation:

Basic minimum requirements for applications are: program narrative, budget detail worksheet and narrative, and resumes/curriculum vitae of key personnel. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Up to 180 days for full proposals

Appeals:

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Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. Please contact program office for more information.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The total period of an award, including one that receives a funding supplement or a no-cost extension, ordinarily will not exceed 36 months for category 1) W.E.B. Du Bois Scholars, or 24 months for category 2) W.E.B. Du Bois Fellows. Method of awarding/releasing assistance: other

Reports:

Reports consisting of bi-annual progress reports; and a final report including a summary are required. Other reports may be requested. Fiscal report consisting of quarterly expenditures and budget expenditure reports are required. Performance measure data, as stipulated in the solicitation, are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants may obtain program information by accessing the Institute's web site at www.ojp.usdoj.gov/nij under "Funding Opportunities" or calling NCJRS toll free. Telephone: (800) 851-3420.

Regional or Local Office:

None.

Headquarters Office:

Dr. Angela Moore,
U.S. Department of Justice Office of Justice Programs National Institute of Justice
810 7th Street, N.W.,
Washington DC 20531
Email: Angela.Moore.Parmley@ojp.usdoj.gov
Phone: (202) 307-0145

Website Address:

<http://nij.gov/funding/fellowships/dubois-fellowship/Pages/welcome.aspx>

RELATED PROGRAMS:

16.562 Criminal Justice Research and Development_Graduate Research Fellowships;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application review criteria include: understanding the problem and its importance; quality and technical merit; potential impact; research staff and organization capabilities and competencies; and dissemination plans.

16.571 Public Safety Officers' Benefits Program

(PSOB),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Public Safety Officers' Benefits Act of 1976, codified at 34 U.S.C. 10281 10288 (as reclassified effective September 1, 2017), formerly classified to sections 3796-3796c-3 of Title 42, The Public Health and Welfare., Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, , Public Law 116-260, Statute 134-1182, 1258, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

To provide death benefits to the eligible survivors of federal, state, or local public safety officers whose deaths are the direct and proximate result of a personal (traumatic) injury sustained in the line of duty. Effective November 29, 1990, the Act also provides the same benefit to a public safety officer who has been permanently and totally disabled as the direct result of a catastrophic personal injury sustained in the line of duty. The injury must permanently prevent the officer from performing any gainful work.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

To provide a one-time financial death benefit to the eligible survivors of federal, state, local, and tribal public safety officers, and disability benefit to the federal, state, local, or tribal public safety officer whose permanent and total disability is the direct and proximate result of a catastrophic personal injury sustained in the line of duty. There are no restrictions to how the monies are spent once they reach the recipient with the exception that monies paid on behalf of minor children must be used for their care and benefit.

Applicant Eligibility:

Individual/Family; Public safety officer means--(A) an individual serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, as a firefighter, or as a chaplain;(B) an employee of the Federal Emergency Management Agency who is performing official duties of the Agency in an area, if those official duties(i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and(ii) are determined by the Administrator of the Federal Emergency Management Agency to be hazardous duties;(C) an employee of a State, local, or tribal emergency management or civil defense agency who is performing official duties in cooperation with the Federal Emergency Management Agency in an area, if those official duties(i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and(ii) are determined by the head of the agency to be hazardous duties; (D) a member of a rescue squad or ambulance crew who, as authorized or licensed by law and by the applicable agency or entity, is engaging in rescue activity or in the provision of emergency medical services.(E) an individual appointed to the National Disaster Medical System under section 300hh-11 of Title 42 who is performing official duties of the Department of Health and Human Services, if those official duties are (i) related to responding to a public health emergency or potential public health emergency, or other activities for which the Secretary of Health and Human Services has activated such National Disaster Medical System; and (ii) determined by the Secretary of Health and Human Services to be hazardous, and (F) an employee or contractor of the Department of Energy who(i) is--(I) a nuclear materials courier (as defined in section 8331(27) of Title 5); or (II) designated by the Secretary of Energy as a member of an emergency response team; and (ii) is performing official duties of the Department,

ASSISTANCE LISTINGS DESCRIPTIONS

pursuant to a deployment order issued by the Secretary, to protect the public, property, or the interests of the United States by--(I) assessing, locating, identifying, securing, rendering safe, or disposing of weapons of mass destruction (as defined in section 2302 of Title 50); or (II) managing the immediate consequences of a radiological release or exposure. Disabled public safety officers and eligible survivors of deceased public safety officers in the District of Columbia, Puerto Rico, Guam, Virgin Islands, American Samoa, the Pacific Trust Territories and the Northern Mariana Islands are also eligible for benefits under the Act. Death benefit coverage for (1) state and local law enforcement officers and firefighters applies to fatal injuries occurring on or after September 29, 1976; (2) federal law enforcement officers and firefighters applies to fatal injuries occurring on or after October 12, 1984; (3) federal, state, and local rescue squad and ambulance crew members applies to fatal injuries occurring on or after October 15, 1986; (4) members of certain nonprofit rescue squads and ambulance crews applies to fatal injuries occurring on or after June 1, 2009; (5) Federal Emergency Management Agency (FEMA) personnel and state, local, and tribal emergency management and civil defense agency employees applies to fatal injuries occurring on or after October 30, 2000; (6) National Disaster Medical System appointees applies to fatal injuries occurring on or after June 24, 2019, and before October 1, 2021; and (7) Department of Energy employee or contractors applies to fatal injuries occurring on or after January 21, 2021. Disability benefit coverage for federal, state, and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000. Disability benefit coverage for federal, state, and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000 and Chaplains as of September 11, 2001. As of January 2, 2013, disability benefit coverage for members of public agency and certain nonprofit rescue squads and ambulance crews applies to injuries sustained on or after June 1, 2009; for injuries sustained between June 29, 1990, and June 1, 2009, disability benefit coverage extends only to members of public agency rescue squads and ambulance crews. Disability benefit coverage for National Disaster Medical System appointees applies to injuries occurring on or after June 24, 2019, and before October 1, 2021; coverage for Department of Energy employee or contractors applies to injuries occurring on or after January 21, 2021.

Beneficiary Eligibility:

Individual/Family; The Public Safety Officers' Benefits (PSOB) Act, enacted in 1976, was designed to offer peace of mind to men and women seeking careers in public safety and to make a strong statement about the value American society places on the contributions of those who serve their communities in potentially dangerous circumstances. The PSOB Program provides a one-time financial benefit to the eligible survivors of public safety officers whose deaths are the direct and proximate result of a traumatic injury sustained in the line of duty. The benefit is determined by the date of the fatal or totally and permanently disabling injury and is adjusted annually for inflation. A table with the annually adjusted amounts is contained here: https://www.psob.gov/benefits_by_year.html

Credentials/Documentation:

The PSOB Death Benefits Application consists of two parts, Part A and Part B. Part A is completed by the Applicant or Authorized Representative, and Part B is completed by the Employing Agency. The PSOB Disability Benefits Application also consists of two parts, Part A and Part B. Part A is completed by the Officer or Authorized Representative, and Part B is completed by the Employing Agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Employing agencies and/or claimants should initiate an application via the online system at www.psob.gov, or by emailing, telephoning, or writing to the Public Safety Officers' Benefits Program, Bureau of Justice Assistance, 810 7th Street NW, Washington, DC 20531. Telephone: (1-888) 744-6513. Upon receiving such notification, program staff will guide the Employing Agency and Applicant through the online filing process, including basic documentation requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit Part A and Part B and supporting documentation via the online portal at www.psob.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, eligible claimants receive a lump sum benefit. For Death Benefit: If the officer is survived by spouse, but there are no eligible children, the spouse will receive 100% of the benefit. If the officer is survived by spouse and eligible children, the spouse will receive 50% of the benefit and the children will receive equal shares of the remaining 50%. If the officer is survived by eligible children but no spouse, the children will receive equal shares of the benefit. If the officer is survived by neither a spouse nor eligible children and did not have a PSOB designee or life insurance policy on file with his or her employing agency, then the benefit will be made payable to the surviving parents in equal shares. If there are no surviving parents, then children who would be eligible but for age will receive the benefit in equal shares.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. This varies from case-to-case, dependent on circumstances of death, sufficiency of claim documentation, and/or the need for expert medical reviews.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Applicable.

Account Identification:

15-0403-0-1-754.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$89,140,850.00; FY 21 est \$119,000,000.00; and FY 22 est \$192,000,000.00;

Range and Average of Financial Assistance:

The amount of the PSOB benefit is \$370,376.00 for eligible deaths and disabilities occurring on or after October 1, 2020.

TAFS Codes:

15-0403.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For specific information pertaining to the regulations, guidelines and literature, please visit the OJP BJA PSOB website at <https://www.psob.gov/index.html>

Regional or Local Office:

None.

Headquarters Office:

Director Hope Janke,
Office of Justice Programs Bureau of Justice Assistance Public Safety Officers' Benefits Office 810 7th Street, NW,

ASSISTANCE LISTINGS DESCRIPTIONS

Washington DC 20531
Email: AskPSOB@usdoj.gov
Phone: 1-888-744-6513

Website Address:

<http://www.psob.gov>

RELATED PROGRAMS:

16.576 Crime Victim Compensation; 16.615 Public Safety Officers' Educational Assistance; 16.575 Crime Victim Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.575 Crime Victim Assistance

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

34 U.S.C. 20103 (a) and (b),

OBJECTIVES:

The Office for Victims of Crime provides an annual grant from the Crime Victims Fund to each State and eligible territory for the financial support of services to crime victims by eligible crime victim assistance programs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Office for Victims of Crime provides an annual grant from the Crime Victims Fund to each State and eligible territory for the financial support of services to crime victims by eligible crime victim assistance programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); All states, the District of Columbia, the Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands are eligible to receive an annual VOCA victim assistance formula grant.

Eligible agencies that receive VOCA victim assistance formula grant funds must meet the eligibility requirements specified in the Victims of Crime Act (VOCA), 34 U.S.C. 20103 (a) and (b)

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Eligible crime victim assistance programs.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be

submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

Award Procedure:

The Director, Office for Victims of Crime, Office of Justice Programs has final approval authority. Once an award is granted to a State, the State will subgrant the monies to private nonprofit and/or public agencies for the purpose specified in the application and in conformance with all general and special conditions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 3 to 4 weeks.

Appeals:

Other. For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see 28 CFR Part 18.

Renewals:

Other. Program office to complete

Formula and Matching Requirements:

Statutory Formula: Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

States have the year of award plus 3 years to expend the funds. Method of awarding/releasing assistance: other

Reports:

No program reports are required. No cash reports are required. The State will provide relevant data by submitting quarterly performance metrics through OVCs online Performance Measurement Tool (PMT), located at <https://ovcpmt.ojp.gov/>. Quarterly, and Final Financial Reports are required. States must adhere to the reporting requirements as stipulated in the Victim Assistance program rule and the OJP Financial Guide. Quarterly, Final Financial Reports and Annual Program Performance reports will be required as stipulated in the Program Guidelines/Rules and the OJP Financial Guide Annual Program Performance reports will be required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-5041-0-2-754.

Obligations:

Formula Grants FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Base of \$500,000 to each State, Commonwealth, and the District of Columbia. Territories of Northern Mariana Islands, Guam, and American Samoa receive a base of \$200,000. Remaining dollars will be divided based on population.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY2020 nearly 500 awards were made to help improve victim services through out the Nation.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

Program Guidelines/Rules are published in the Federal Register. The OJP Financial Guide is available at ojp.gov/financial/guide/DOJ/index.htm, or call the Office of the Chief Financial Officer, Customer Service Center at 1-800-458-0786 to request a copy.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
State Compensation and Assistance Division, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW.,

Washington DC 20531

Email: Kathrina.Peterson@usdoj.gov

Phone: (202) 395-1508

Website Address:

<http://www.ojp.usdoj.gov/ovc/>

RELATED PROGRAMS:

16.576 Crime Victim Compensation;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are specifically spelled out in the Victims of Crime Act of 1984, as amended. Additional criteria will be developed by the Office for Victims of Crime. These criteria are listed on the OVC web page www.ojp.usdoj.gov.

16.576 Crime Victim Compensation

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

an act appropriating funds for the Department of Justice in the current fiscal year., , Victims of Crime Act (VOCA) of 1984 USC Code 34 U.S.C. 20102.

OBJECTIVES:

The primary purpose of these grants is to supplement state efforts to provide financial compensation to crime victims throughout the Nation for costs resulting from crime, and to encourage victim cooperation and participation in the criminal justice system. The Office for Victims of Crime (OVC), Department of Justice, administers the Crime Victim Compensation formula grant program. OVC annually awards a grant to each state, the District of Columbia, the U.S. Virgin Islands, and the Commonwealth of Puerto Rico to support state crime victim compensation programs. These programs help pay for some of the expenses resulting from crimes involving violence or abuse.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds under this program shall be used by the States for awards of compensation benefits to crime victims, with the following exception: States may retain up to 5 percent of their total grant for administrative and training purposes. Formula grant funds to an eligible State crime victim compensation program is based on 60 percent of the amounts States expended during the fiscal year preceding the year of collections for the Crime Victims Fund, other than amounts awarded for property damage. If the sums available in the Fund for grants under this program are insufficient to provide grants of 60 percent as provided above, grants from the sums available will be made to each eligible crime victim compensation program so that all such programs receive the same percentage of the amounts awarded by such programs during the preceding fiscal year, other than amounts awarded for property damage. A crime victim compensation program is an eligible crime victim compensation program if (1) such program is operated by a State and offers compensation to victims and survivors of victims of criminal violence, including drunk driving and domestic violence for: (A) medical expenses attributable to a physical injury resulting from a compensable crime, including expenses for mental health counseling and care; (B) loss of wages attributable to a physical injury

resulting from a compensable crime; and (C) funeral expenses attributable to a death resulting from a compensable crime; (2) such program promotes victim cooperation with the reasonable requests of law enforcement authorities; (3) such State, possession or territory certifies that grants received under this program will not be used to supplant funds otherwise available to provide crime victim compensation; (4) such program, as to compensable crimes occurring within the State, possession or territory, makes compensation awards to victims who are nonresidents of the State, possession or territory on the basis of the same criteria used to make awards to victims who are residents of the State, possession or territory; (5) such program provides compensation to victims of Federal crimes occurring within the State on the same basis that such program provides compensation to victims of State crimes; (6) such program does not, except pursuant to rules issued by the program to prevent unjust enrichment of the offender, deny compensation to any victim because of that victim's familial relationship to the offender, or because of the sharing of a residence by the victim and the offender; (7) such program does not provide compensation to any person who has been convicted of an offense under Federal law with respect to any time period during which the person is delinquent in paying a fine, other monetary penalty, or restitution imposed for the offense; and (8) such program provides such other information and assurances related to the purposes of this section as the Director may reasonably require. Any amount of crime victim compensation that the applicant receives through a crime victim compensation program under this section shall not be included in the income of the applicant until the total amount of assistance that the applicant receives from all such programs is sufficient to fully compensate the applicant for losses suffered as a result of the crime. As used in this section; (1) the term "property damage" does not include damage to prosthetic devices, eyeglasses or other corrective lenses, or dental devices; (2) the term "medical expenses" includes, to the extent provided under the eligible crime victim compensation program, expenses for eyeglasses and other corrective lenses, for dental services and devices and prosthetic devices, and for services rendered in accordance with a method of healing recognized by the law of the State; (3) the term "compensable crime" means a crime the victims of which are eligible for compensation under the eligible crime victim compensation program, and includes crimes involving driving while intoxicated and domestic violence; and (4) the term "State" includes the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, and any other possession or territory of the United States.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, and any other possession or territory of the United States who have an established eligible crime victim compensation program, and who meet the eligibility requirements discussed above.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Victims of crime that results in death or physical or personal injury and are determined eligible under the State victim compensation statute. State compensation statutes either declare that coverage extends generally to any crime resulting in physical or personal injury, or they list all specific crimes that can be covered.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 30 to 180 days.

Appeals:

Other. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for formula awards, see 28 C.F.R. Part 18.

Renewals:

Other. Awards are for the year of award plus 3 years. Applications will be annually.

Formula and Matching Requirements:

Statutory Formula: Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Compensation funds may be carried forward for obligation by the grantee for 3 years subsequent to the fiscal year of award. Funds are released via electronic funds transfer. States must be enrolled in the Automated Clearinghouse (ACH) Vendor Express Program to request any Federal funds. Method of awarding/releasing assistance: other

Reports:

Program reports are not applicable. Cash reports are not applicable. The State will provide relevant data by submitting quarterly performance metrics through OVCs online Performance Measurement Tool (PMT), located at <https://ovcpmt.ojp.gov/>. Recipients are required to submit quarterly and final Financial Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements.

Records:

See 2 C.F.R. 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-5041-0-2-754.

Obligations:

Formula Grants FY 20 \$94,807,595.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Each State receives 60 percent of its prior year payout of State compensation funds

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The state of Colorado was about to pay for tangible financial losses incurred by 6,211 victims of crime through funding received through the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 ojp.gov/financialguide/DOJ/index.htm and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
U.S. Department of Justice Office of Justice Programs Office for Victims of Crime
State Compensation and Assistance Division
810 Seventh Street, NW,
Washington DC 20531
Email: Kathrina.Peterson@usdoj.gov
Phone: (202) 307-5983

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

16.575 Crime Victim Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Victims of Crime Act of 1984, as amended, and Program Guidelines/Rules published in the Federal Register.

16.578 Public Benefit Conveyance Program

Federal Surplus Property Program,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

40 USC Code 553 (b)(1)-(2).

OBJECTIVES:

Goal(s): The effective use of real property owned by the federal government and the disposal of this property when federal agencies no longer need it. Objective(s): To facilitate the possible no cost conveyance, by the Federal Government, to State and local governments, of surplus real and related personal property determined by the Director of the Bureau of Justice Assistance by delegation from the Assistant Attorney General for the Office of Justice Programs and the Attorney General, to be required for correctional facility use, under programs or projects for the care or rehabilitation of criminal offenders, and for law enforcement purposes.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Deed of Conveyance. The Deed of Conveyance of any surplus real and related personal property disposed of under this program - (1) shall provide that all of the property be used and maintained for the purpose for which it was conveyed in perpetuity, and that if the property ceases to be used or maintained for that purpose, all or any portion of the property shall, in its then existing condition, at the option of the Federal Government, revert to the Federal Government; and (2) may contain

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additional terms, reservations, restrictions, and conditions that the Administrator determines are necessary to safeguard the interests of the Federal Government.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Eligible applicants include states, or political subdivisions or instrumentalities of states, proposing to use the subject property for law enforcement purposes or correctional facility purposes. The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Marshall Islands, Palau, and the Northern Mariana Islands.

Beneficiary Eligibility:

U.S. Territories; State; Local; State, local and territorial governments, that are engaged in activities to control or reduce crime and juvenile delinquency or the enforcement of criminal law including investigative activities as well as training or for the care or rehabilitation of criminal offenders.

Credentials/Documentation:

DOJ/OJP/BJA application for a correctional facility use or law enforcement purpose determination. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Notify BJA and GSA, or DOD by letter, of the State's interest in an identified property. Applicants interested in applying for property under the Base Realignment and Closure Act (BRAC) must consult with their designated Local Redevelopment Authority for the community's plan for economic recovery. A list of the recognized LRAs can be viewed at: <http://www.oea.gov/OEAWeb.nsf/Home?OpenForm>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current application available on the Office of Justice Programs website at <https://bja.ojp.gov/program/public-benefit-conveyance-program/overview>.

Award Procedure:

(1) Applicant must be a State or local governmental entity; (2) applicant must propose a correctional facility use or law enforcement purpose consistent with the requirements set forth in 40 U.S.C. Section 553(b)(1) and (2).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: other

Reports:

To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0401-0-1-754.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 19 \$0.00; FY 20 est \$0.00; and FY 21 est \$0.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Through the Public Benefit Conveyance Program, surplus federal land and buildings may be conveyed to public entities at no cost pursuant to 40 U.S.C. 541 et seq., and applicable regulations (specifically 40 U.S.C. 553 and 41 C.F.R. Parts 102-75.750 through 102-75.815).

Regional or Local Office:

See Regional Assistance Locations. General Services Administration: www.propertydisposal.gsa.gov. Office of Economic Adjustment: <http://www.defenselink.mil/brac/>.

Headquarters Office:

Laura Mizhir,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20351
Email: Laura.Mizhir@usdoj.gov
Phone: 2026166500
Fax:

Website Address:

<https://bja.ojp.gov/program/public-benefit-conveyance-program/overview>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.582 Crime Victim Assistance/Discretionary Grants

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

42 U.S.C. 10603(c)(1)(A); 42 U.S.C. 10603(c)(1)(B); 42 U.S.C. 10603d(a); and 42 U.S.C. 10603e(a),

OBJECTIVES:

The Office for Victims of Crime (OVC) administers a discretionary grant program and other assistance programs for crime victims with amounts set-aside from deposits into the Crime Victims Fund for (a) demonstration projects and training and technical assistance services to eligible crime victims assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. The purpose of the demonstration and training and technical assistance grants is to improve the overall quality of services delivered to crime victims through the provision of training and technical assistance to providers. Of the amount available for training and technical assistance and services to victims of Federal crimes, no less than 50 percent shall be used for demonstration programs and training and technical assistance, and not

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more than 50 percent for services to victims of Federal crimes.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Funds are available specifically for (a) demonstration projects and training and technical assistance service to eligible crime victim assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. For the purpose of the grants authorized in 42 U.S.C. 10603, an eligible crime victim assistance program is defined as: (a) operated by a public agency or a nonprofit organization, or a combination of such agencies or organizations or both such agencies and organizations, and providing service to victims of crime; (b) demonstrating (i) a record of providing effective services to victims of crime and financial support from sources other than the Fund; or (ii) substantial financial support from sources other than the Fund; (c) utilizing volunteers in providing such services, unless to the extent the chief executive determines that compelling reasons exist to waive this requirement; (d) promoting within the community served coordinated public and private efforts to the crime victims; and (e) assisting potential recipients in seeking crime victim compensation benefits. For the purpose of grants authorized for assistance to victims of federal crime, services includes (a) training of law enforcement personnel in the delivery of services to victims of Federal crime; (b) preparation, publication, and distribution of informational materials, setting forth services offered to victims of crime; and concerning services for victims of Federal crime for use by Federal law enforcement and other responsible Federal officials; and (c) salaries of personnel who provide services to victims of crime, to the extent that these personnel provide such services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Criteria will vary depending on the grant or grant program. Generally, eligible applicants may include American Indian/Alaska Native Tribes and tribal organizations, States, United States Attorneys' offices, universities and colleges, eligible public agencies that provide victim services and private nonprofit agencies. Applicants for Tribal Victim Assistance grants must be an Indian Tribe, Tribal organization, partnership or nonprofit organization that provides direct services to victims of crime in Indian Country.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Anyone/general public; Native American Organizations; American Indian; Public nonprofit institution/organization; Eligible victim assistance agencies. Eligibility depends on the nature of the grant but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Competitive applications under this program must be submitted electronically via Grants.gov or the Office of Justice Programs Grants Management System, as specified. OVC develops solicitations and application guidelines that includes funding strategy, eligibility requirements, required certifications that must be submitted at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the

applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133.

Applicants from other types of agencies will use forms to be provided by OVC. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In accordance with the Common Rule, Standard Form 424 must be submitted electronically at Grants.gov by nonfederal agencies in applying for funding under this program. Forms for funds other than grants or for use by Federal agencies will be supplied by OVC.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 4 to 6 months.

Appeals:

Other. Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Other. Awards average 12 months and range from 12 to 36 months, unless otherwise noted. Supplements are considered on a case by case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. There are no formula or matching requirements for discretionary funding, unless stated in the funding solicitations. The State Victim Assistance Academy grants require a 25 percent "in-kind" match. Federal agencies are not expected to provide a financial contribution, but in general are asked to demonstrate a commitment to continuing the funded effort after OVC funding is terminated. MOE requirements are not applicable to this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Any amount awarded that remains unspent at the end of a fiscal year in which the award is made may be expended for the purpose for which the award is made at any time during the three succeeding fiscal years, at the end of which period, any remaining unobligated sums shall be available for deposit into the emergency reserve fund referred to in subsection (d)(5) of the VOCA at the discretion of the Director. Any remaining unobligated sums shall be returned to the Fund. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the Recipient. Method of awarding/releasing assistance:

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other

Reports:

Semi-annual progress reports will be required as stipulated by the program office. A final financial and program report also will be required. Quarterly financial reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Recipients of federal funds are expected to retain documentation (financial records, supporting documents, statistical records, and all other records pertinent to a grant) supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-5041-0-2-754.

Obligations:

Project Grants FY 20 \$94,807,595.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

OVC anticipates awarding grants ranging from \$35,000 to \$2.4 million, with an average award amount of \$250,000.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Current solicitations and application guidelines and the current edition of the OJP Financial Guide [ojp.gov/financialguide/DOJ/index.htm](http://www.ojp.gov/financialguide/DOJ/index.htm), are available on line at the OVC webpage at <http://www.ovc.gov>.

Regional or Local Office:

None.

Headquarters Office:

Office for Victims of Crime,
810 Seventh Street, N.W.,
Washington DC 20531
Email: zoe.french@usdoj.gov
Phone: (202) 307-5983

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

16.575 Crime Victim Assistance; 16.320 Services for Trafficking Victims; 16.321 Antiterrorism Emergency Reserve;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the Victims of Crime Act,

42 U.S.C. Section 10601-10604 (Supp. 1981). Additional criteria may be developed by the Office for Victims of Crime and will be published in OVC solicitations and application guidelines available at <http://www.ojp.gov/funding/solicitations.htm>.

16.583 Children's Justice Act Partnerships for Indian Communities

(CJA),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Title Childrens Justice and Assistance Act of 1986, , Crime Victims Fund USC Code 34 U.S.C. 20101 (g).

OBJECTIVES:

The goal of the Childrens Justice Act Partnerships for Indian Communities (CJA) program is to provide funding, technical assistance, and training to help American Indian and Alaska Native communities develop, establish, and operate programs designed to improve the investigation, prosecution, and handling of cases of child abuse and neglect, and particularly child sexual abuse cases, in a manner which lessens trauma for child victims. To improve investigative, prosecutorial, and judicial practices so that trauma is reduced for victims of child abuse and neglect. Adopt a trauma-informed, multidisciplinary approach to the investigation, prosecution, and management of cases of child abuse and neglect by providing training to tribal, state, and federal professionals responsible for responding to and intervening in cases of child abuse and neglect in the applicant tribal community. Project activities should focus on improving coordination of efforts through information sharing and developing and implementing written response protocols for child abuse and neglect cases that are designed to minimize trauma for child victims and their family members. Provide emergency services for children who are at imminent risk of harm and crisis intervention services to aid child victims and their family members in recovering from incidents of abuse and neglect. Develop specialized services for victims of child abuse and neglect and their family members.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Funds are available specifically for the purpose of assisting American Indian/Alaska Native communities improve the investigation, prosecution and case management of child abuse, sexual abuse, and physical abuse cases, particularly cases of child sexual abuse, in a manner which lessens trauma to the child victims.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federally recognized Indian tribal governments and nonprofit Indian organizations that provide services to American Indians and Alaska Natives. Specific criteria will vary depending on the grant.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Native American Organizations; American Indian; Child (6-15); Youth (16-21); American Indian and Alaskan Native youth who are victims of child abuse and/or child sexual abuse.

Credentials/Documentation:

Regarding the question below relating to the applicability of 2 CFR 200, Subpart E Cost Principles: The cost principles are generally applicable, except with respect to for-profit entities or to organizations listed at Appendix VIII to 2 CFR Part 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In accordance

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with the C.F.R. Part 200, Standard Form 424 must be submitted by nonfederal agencies applying for funding under this program. Competitive solicitations are announced on Grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See the current fiscal year's solicitation available at www.ovc.gov

Appeals:

Other. For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see 28 CFR Part 18.

Renewals:

Other. See 2 C.F.R. 200.334 for the government-wide requirements for retention requirements for records.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

These awards are generally awarded for a 3 year project period. Method of awarding/releasing assistance: other

Reports:

Quarterly Federal Financial Reports (SF 425) will be required as stipulated in the effective Financial Guide edition of the OJP. A final financial and program report also will be required. Quarterly Federal Financial Reports (SF 425) will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. Performance measure data are required as specified in the solicitation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements.

Records:

See 2 CFR Part 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-5041-0-2-754.

Obligations:

Project Grants FY 20 \$3,000,000.00; FY 21 est \$46,000,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through funding over 50 CJA program awards to tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitations and current edition of the Financial Guide (ojp.gov/financialguide/DOJ/index.htm) are available on the Office of Justice Programs website, or by writing to the Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531

Regional or Local Office:

None.

Headquarters Office:

Office of Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington DC 20531

Email: Yolanda.C.Gibson@ojp.usdoj.gov

Phone: 202-307-5983

Website Address:

<http://www.justice.gov>

RELATED PROGRAMS:

16.582 Crime Victim Assistance/Discretionary Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal year's solicitation available at www.ovc.gov

16.585 Drug Court Discretionary Grant Program

Drug Court Program (DCP),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

USC Code 34 10611, et. seq. Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

The Bureau of Justice Assistance Drug Court Program is to provide drug court and criminal justice professionals with the resources needed to implement, enhance, and sustain evidence-based drug court programs and systems for nonviolent adult offenders and veterans with addictions. The objective is to ensure drug court practitioners have tools to effectively provide judicial and community supervision, mandatory and random drug testing, substance abuse and mental health treatment, and appropriate incentives and sanctions. Utilize evidence-based practices and principles, which are incorporated into the NADCP drug court standards. Build and maximize the capacity of jurisdictions to ensure that all potential participants are identified and assessed for risk and need. Enhance the provision of recovery support services, including transitional clean and sober housing assistance to support ongoing recovery. Enhance collaboration between states and local and/or tribal jurisdictions to support the operations and enhancements of adult drug court and veterans treatment court activities. The Office of Juvenile Justice and Delinquency Prevention (OJJDP) Juvenile Drug Treatment Court Program supports treatment and services for youth with substance abuse problems. The courts work to strengthen family engagement, address the root problems that may cause substance use and addiction, and empower young people to lead drug-free and crime-free lives. The Family Drug Court Program serves parents and guardians who require treatment for a substance abuse disorder and who are involved with the child welfare system as a result of child abuse or neglect. Program goals are to

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strengthen parenting skills, to reduce incidents of child abuse and neglect resulting from addiction, and to provide services to the children affected. The Tribal Juvenile Healing to Wellness Courts enhances the capacity of tribal courts to respond to the substance use challenges of court-involved youth. The courts employ culturally informed approaches to promote accountability, healing, and tribal identity in youth younger than age 21.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the Drug Court Program Guideline available from the Department of Justice Response Center. Assistance can be used by assisting states, local court, and tribal nonviolent adult and juvenile offenders by involving early and continuous judicial supervision over these offenders. The administration of appropriate incentives and sanctions will help to reduce recidivism and substance misuse among high-risk participants with substance use disorders and increase their likelihood of successful rehabilitation. Drug Court Programs under Title V will be for nonviolent adult and juvenile offenders and involve early and continuous judicial supervision over the nonviolent substance use disorder offenders and the integrated administration of sanctions and services including: (1) mandatory and random drug testing for the use of controlled substances or other addictive substances during any period of supervised release or probation; (2) evidence-based substance use disorder treatment for each participant according to need; (3) diversion, probation, or other supervised release involving the possibility of prosecution, confinement, or incarceration based on noncompliance with program requirements; and (4) programmatic offender management and aftercare services. For OJJDP Drug Court program(s) allowable and unallowable uses, please see the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Grants can be awarded to states, state courts, local courts, units of local government and Indian tribal governments, acting directly or through agreements with other public or private entities. Applicants may choose to submit joint applications with other eligible jurisdictions for statewide, regional, and multijurisdictional drug court programs. With joint applications, one organization must be designated as the applicant and any co-applicants designated accordingly. The applicant organization must be eligible and the other agencies/organizations must provide supporting documentation. All applicants must demonstrate that they have the management and financial capabilities to effectively plan and implement projects of the size and scope described in the application kit. Nonprofit and for-profit agencies are not eligible applicants. For an application from a subunit of government (e.g., county probation department, district attorney's office, pretrial services agency) to be considered, it must be designated by letter as representing an eligible applicant (described above). For example, the county court or county executive may designate the county probation or county district attorney's office as its representative for the purpose of application. In this instance, the applicant continues to be the designating state, court system, or unit of local government. The county probation, district attorney's office, or other designated subunit, is the organization authorized to submit an application on behalf of the eligible applicant.

Beneficiary Eligibility:

State; Local; States, local governments, Indian tribal governments, public or private entities.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); applicant Information page; a one-page program abstract summarizing the goals and objectives of the grant request; program narrative; letters of support; detailed budget and budget narrative; administrative requirements; and assurances and certifications. See program announcement for more information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Prospective applicants may view the preapplication requirements by

visiting the web site at <https://www.bja.gov>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All competitive grant applications must be submitted electronically through DOJ's Justice Grants System (JustGrants). Applications or supplemental materials received by facsimile or postal mail will not be accepted. For additional information, see the current fiscal years solicitation available at

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 60 days.

Appeals:

Other. For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see 28 CFR Part 18.

Renewals:

Other. Grants can be extended by submitting a written justification for an extension to the Bureau of Justice Assistance office for review. Extensions are subject to approval by the Bureau of Justice Assistance and renewals subject to congressional funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Federal funds awarded under this program may not cover more than 75 percent of the total costs of the program being funded. The applicant must identify the source of the 25 percent non-federal portion of the total program costs and how it will use match funds. If a successful applicants proposed match exceeds the required match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit. (Match funds may be used only for purposes that would be allowable for the federal funds.) Recipients may satisfy this match requirement with either cash or in-kind services. For additional information, please see the current fiscal years solicitation available at www.bja.gov. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project duration period will be up to 3 years for Implementation, Enhancement, and Statewide Grants. Please see the current fiscal years solicitation available at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> for BJA and OJJDP drug court programs. Method of awarding/releasing assistance: other **Reports:**

Drug Court Grantee Data Collection Survey. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Payments and transactions are subject to audits by the Government Accountability Office, the Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and

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systems of internal control.

Records:

All grantees are required to establish and maintain accounting systems and financial records in order to account for funds awarded to them. In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$89,158,394.00; FY 21 est \$83,000,000.00; FY 22 est \$95,000,000.00;

Range and Average of Financial Assistance:

Varies based upon appropriation. Consult the solicitation available at <http://www.ojp.gov/funding/solicitations.htm> and/or www.bja.gov.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: n FY 20, OJJDP awarded \$33.5 million to drug treatment court programs nationwide. This funding allows the courts to provide services for youth and families with substance abuse challenges, specifically those related to opioid abuse or co-occurring mental health disorders. Please see for details:

<https://ojdp.ojp.gov/sites/g/files/xyckuh176/files/media/document/in-focus-drug-courts.pdf>

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide

(<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Senior Policy Advisor Gregory D. Torain,

Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington DC 20531

Email: AskBJA@usdoj.gov

Phone: 202-616-6500 or (1-866) 859-2687

Leannetta Jessie,

Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention, Washington DC 20735

Email: Leannetta.Jessie@usdoj.gov

Phone: (202) 532-0152

Fax:

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

16.523 Juvenile Accountability Block Grants; 93.959 Block Grants for Prevention and Treatment of Substance Abuse;

EXAMPLES OF FUNDED PROJECTS:

2020 Despite the impact of COVID-19, the BJA National Drug Court Training and Technical Assistance (BJA TTA) Program continued to provide support and resources to state, local, and tribal courts to enhance drug court programs for nonviolent offenders with addictions. From March 2020 to August 2020, the BJA TTA Program conducted two virtual conferences and one meeting: The Tribal Veterans Healing to Wellness Symposium with 554 live viewers; the National Association of Drug Court Professionals conference with 6,000 attendees; and the Quarterly State Drug Court Meeting. During the same period, the BJA TTA Program conducted 12 webinars and over 50 virtual TTA sessions with drug courts

throughout the United States. Two additional virtual events, the Tribal Healing to Wellness Enhancement Training and the Quarterly State Drug Court Coordinators Meeting, occurred September and October 2020.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE, DEPARTMENT OF

AUTHORIZATION:

The Violence Against Women and Department of Justice Reauthorization Act of 2005, Title IX, Section 906, Public Law 109-162, 42 U.S.C. 3796gg-10,

OBJECTIVES:

To increase tribal capacity to respond to violent crimes against Indian women, and to develop and strengthen victim services in cases involving violent crimes against Indian women.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may be awarded for the following statutory purposes: (1) develop and enhance effective governmental strategies to curtail violent crimes against and increase the safety of Indian women consistent with tribal law and custom;(2) increase tribal capacity to respond to domestic violence, dating violence, sexual assault, sex trafficking, and stalking crimes against Indian women;(3) strengthen tribal justice interventions including tribal law enforcement, prosecution, courts, probation, correctional facilities;(4) enhance services to Indian women victimized by domestic violence, dating violence, sexual assault, sex trafficking, and stalking;(5) work in cooperation with the community to develop education and prevention strategies directed toward issues of domestic violence, dating violence, sexual assault, sex trafficking, and stalking;(6) provide programs for supervised visitation and safe visitation exchange of children in situations involving domestic violence, sexual assault, or stalking committed by one parent against the other with appropriate security measures, policies, and procedures to protect the safety of victims and their children;(7) provide transitional housing for victims of domestic violence, dating violence, sexual assault, sex trafficking, or stalking, including rental or utilities payments assistance and assistance with related expenses such as security deposits and other costs incidental to relocation to transitional housing, and support services to enable a victim of domestic violence, dating violence, sexual assault, sex trafficking, or stalking to locate and secure permanent housing and integrate into a community;(8) provide legal assistance necessary to provide effective aid to victims of domestic violence, dating violence, stalking, sex trafficking, or sexual assault who are seeking relief in legal matters arising as a consequence of that abuse or violence, at minimal or no cost to the victims;(9) provide services to address the needs of youth who are victims of domestic violence, dating violence, sexual assault, sex trafficking, or stalking and the needs of youth and children exposed to domestic violence, dating violence, sexual assault, or stalking, including support for the nonabusing parent or the caretaker of the youth or child; and(10) develop and promote legislation and policies that enhance best practices for responding to violent crimes against Indian women, including the crimes of domestic violence, dating violence, sexual assault, sex trafficking, and stalking.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Indian tribal governments and authorized designees of tribal governments. The term "tribal government" means-- (A) the governing body of an Indian tribe; or (B) a tribe, band, pueblo, nation, or other organized group or community of Indians, including any Alaska Native village or regional or village corporation (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act (43 U.S.C.

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1601 et seq.), that is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

Beneficiary Eligibility:

Minority group; Private nonprofit institution/organization; Native American Organizations; Federally Recognized Indian Tribal Governments; Tribal governments and authorized designees of tribal governments.

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. Additional information is available at <https://www.justice.gov/tribal/grants>. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is part of the Department of Justice's Consolidated Tribal Assistance Solicitation (CTAS). Applicants for multiple tribal-specific grant programs use the single tribal solicitation, which is issued on an annual basis.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on the Office of Justice Programs (OJP) Grants Management System (GMS) following the procedures outlined in the CTAS.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified before the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

At least one year. Funds are released on an as needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records

pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$33,647,321.00; FY 17 est \$34,137,475.00; and FY 18 est \$33,500,000.00;

Range and Average of Financial Assistance:

Range - \$250,000 - \$350,000

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/tribal/grants.html>

RELATED PROGRAMS:

16.588 Violence Against Women Formula Grants; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women in program guidelines published annually.

16.588 Violence Against Women Formula Grants

STOP Violence Against Women Formula Grants,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,

DEPARTMENT OF

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351, as added by the Violence Against Women Act of 1994, Public Law 103-322, 42 U.S.C. 3796gg et seq.,

OBJECTIVES:

To assist States, Indian tribal governments, tribal courts, State and local courts, and units of local government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and develop and strengthen victim services in cases involving crimes against women. The Program encourages the development and implementation of effective, victim-centered law enforcement, prosecution, and court strategies to address violent crimes against women and the development and enhancement of victim services in cases involving violent crimes against women.

TYPES OF ASSISTANCE:

Formula Grants

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

Funds may be used for the following statutory program purpose areas: (1) training law enforcement officers, judges, other court personnel, and prosecutors to more effectively identify and respond to violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking, including the appropriate use of nonimmigrant status under subparagraphs (T) and (U) of section 1101(a)(15) of title 8;(2) developing, training, or expanding units of law enforcement officers, judges, other court personnel, and prosecutors specifically targeting violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking;(3) developing and implementing more effective police, court, and prosecution policies, protocols, orders, and services specifically devoted to preventing, identifying, and responding to violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking, as well as the appropriate treatment of victims;(4) developing, installing, or expanding data collection and communication systems, including computerized systems, linking police, prosecutors, and courts or for the purpose of identifying, classifying, and tracking arrests, protection orders, violations of protection orders, prosecutions, and convictions for violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking;(5) developing, enlarging, or strengthening victim services and legal assistance programs, including sexual assault, domestic violence, dating violence, and stalking programs, developing or improving delivery of victim services to underserved populations, providing specialized domestic violence court advocates in courts where a significant number of protection orders are granted, and increasing reporting and reducing attrition rates for cases involving violent crimes against women, including crimes of domestic violence, dating violence, sexual assault, and stalking;(6) developing, enlarging, or strengthening programs addressing the needs and circumstances of Indian tribes in dealing with violent crimes against women, including the crimes of domestic violence, dating violence, sexual assault, and stalking;(7) supporting formal and informal statewide, multidisciplinary efforts, to the extent not supported by State funds, to coordinate the response of State law enforcement agencies, prosecutors, courts, victim services agencies, and other State agencies and departments, to violent crimes against women, including the crimes of sexual assault, domestic violence, dating violence, and stalking;(8) training of sexual assault forensic medical personnel examiners in the collection and preservation of evidence, analysis, prevention, and providing expert testimony and treatment of trauma related to sexual assault;(9) developing, enlarging, or strengthening programs to assist law enforcement, prosecutors, courts, and others to address the needs and circumstances of older and disabled women who are victims of domestic violence, dating violence, sexual assault, or stalking, including recognizing, investigating, and prosecuting instances of such violence or assault and targeting outreach and support, counseling, and other victim services to such older and disabled individuals;(10) providing assistance to victims of domestic violence and sexual assault in immigration matters;(11) maintaining core victim services and criminal justice initiatives, while supporting complementary new initiatives and emergency services for victims and their families;(12) supporting the placement of special victim assistants (to be known as Jessica Gonzales Victim Assistants) in local law enforcement agencies to serve as liaisons between victims of domestic violence, dating violence, sexual assault, and stalking and personnel in local law enforcement agencies in order to improve the enforcement of protection orders. Jessica Gonzales Victim Assistants shall have expertise in domestic violence, dating violence, sexual assault, or stalking and may undertake the following activities-(A) developing, in collaboration with prosecutors, courts, and victim service providers, standardized response policies for local law enforcement agencies, including the use of evidence-based indicators to assess the risk of domestic and dating violence homicide and prioritize dangerous or potentially lethal cases;(B) notifying persons seeking enforcement of protection orders as to what responses will be provided by the relevant law enforcement agency;(C) referring persons seeking enforcement of protection orders to supplementary services (such as emergency shelter programs, hotlines, or legal assistance services); and(D) taking other appropriate action to assist or secure the safety of the person seeking enforcement of a protection order;(13) providing funding to law enforcement agencies, victim services providers, and State, tribal, territorial, and local governments (which funding stream shall be known as the Crystal Judson Domestic Violence Protocol Program) to promote-(A) the development and implementation of training for local victim domestic violence service providers, and to fund victim services personnel, to be

known as Crystal Judson Victim Advocates, to provide supportive services and advocacy for victims of domestic violence committed by law enforcement personnel;(B) the implementation of protocols within law enforcement agencies to ensure consistent and effective responses to the commission of domestic violence by personnel within such agencies (such as the model policy promulgated by the International Association of Chiefs of Police (Domestic Violence by Police Officers: A Policy of the IACP, Police Response to Violence Against Women Project July 2003));(C) the development of such protocols in collaboration with State, tribal, territorial and local victim service providers and domestic violence coalitions.Any law enforcement, State, tribal, territorial, or local government agency receiving funding under the Crystal Judson Domestic Violence Protocol Program under paragraph (13) shall on an annual basis, receive additional training on the topic of incidents of domestic violence committed by law enforcement personnel from domestic violence and sexual assault nonprofit organizations and, after a period of 2 years, provide a report of the adopted protocol to the Department of Justice, including a summary of progress in implementing such protocol;(14) developing and promoting State, local, or tribal legislation and policies that enhance best practices for responding to domestic violence, dating violence, sexual assault, and stalking;(15) developing, implementing, or enhancing Sexual Assault Response Teams, or other similar coordinated community responses to sexual assault;(16) developing and strengthening policies, protocols, best practices, and training for law enforcement agencies and prosecutors relating to the investigation and prosecution of sexual assault cases and the appropriate treatment of victims;(17) developing, enlarging, or strengthening programs addressing sexual assault against men, women, and youth in correctional and detention settings;(18) identifying and conducting inventories of backlogs of sexual assault evidence collection kits and developing protocols and policies for responding to and addressing such backlogs, including protocols and policies for notifying and involving victims;(19) developing, enlarging, or strengthening programs and projects to provide services and responses targeting male and female victims of domestic violence, dating violence, sexual assault, or stalking, whose ability to access traditional services and responses is affected by their sexual orientation or gender identity, as defined in section 249(c) of title 18; and(20) developing, enhancing, or strengthening prevention and educational programming to address domestic violence, dating violence, sexual assault, or stalking, with not more than 5 percent of the amount allocated to a State to be used for this purpose.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); All States, Puerto Rico, Guam, American Samoa, the Virgin Islands, the Northern Mariana Islands, and the District of Columbia, are eligible.

Beneficiary Eligibility:

Private nonprofit institution/organization; Native American Organizations; State; Local; State and local units of government, nonprofit nongovernmental victim service programs, state, local, and tribal courts, and Indian tribal governments.

Credentials/Documentation:

Grantees under this program are subject to 4 certification requirements: (1) A State, Indian tribal government, or unit of local government shall not be entitled to funds under this program unless the State, Indian tribal government, or another governmental entity incurs the full out-of-pocket cost of forensic medical examinations for victims of sexual assault and coordinates with health care providers in the region to notify victim of sexual assault of the availability of rape exams at no cost to the victims. A State or Indian tribal government may use Federal grant funds under this part to pay for forensic medical exams performed by trained examiners for victims of sexual assault, except that such funds may not be used to pay for forensic medical exams by any State, Indian tribal government, or territorial government that requires victims of sexual assault to seek reimbursement for such exams from their insurance carriers. The state, tribal government, or local government must comply with this provision without regard to whether the victim participates in the criminal justice system, cooperates with law enforcement, or both. (2) A State shall not be entitled to funds under this program unless it certifies that its laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence, dating violence, sexual assault, or stalking offense, or in connection with the filing, issuance, registration, modification, enforcement, dismissal, withdrawal, or service of a protection order, or a petition for a protection order, to protect a victim of domestic

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violence, dating violence, stalking, or sexual assault, that the victim bear the costs associated with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, modification, enforcement, dismissal, withdrawal, or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the State, tribal or local jurisdiction (3) States must certify that that their judicial administrative policies and practices include notification to domestic violence offenders of the requirements delineated in section 922(g)(8) and (g)(9) of title 18, United States Code, and any applicable related Federal, State, or local laws; or give the Attorney General assurances that their judicial administrative policies and practices will be in compliance with this requirement within the later of the period ending on the date on which the next session of the State legislature ends or January 5, 2008. (4) In order to be eligible for grants under this part, a State, Indian tribal government, territorial government, or unit of local government shall certify that, not later than January 5, 2009, their laws, policies, or practices will ensure that no law enforcement officer, prosecuting officer or other government official shall ask or require an adult, youth, or child victim of an alleged sex offense as defined under Federal, tribal, State, territorial, or local law to submit to a polygraph examination or other truth telling device as a condition for proceeding with the investigation of such an offense. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. OVW will provide an annual solicitation. Applicants will apply according to the instructions in the solicitation.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the Office of Justice Programs' online Grants Management System (GMS). The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. 120 -180 days after receipt of an application.

Appeals:

Other. Contact the Office on Violence Against Women for further information.

Renewals:

Other. Applications are submitted on an annual basis.

Formula and Matching Requirements:

Statutory Formula: Title 28, Part 90, Subpart B, Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Each eligible entity receives a base amount of \$600,000. Remaining funds are divided in an amount that bears the same ratio to the amount of remaining funds as the population of the State bears to the population of all of the States that results from a distribution among the States on the basis of each State's population in relation to the population of all States (not including populations of Indian tribes). The Federal share of these grants may not exceed 75 percent of the total costs of the projects described in the applications. Projects to victim service providers for victim services or for tribes do not count towards the total costs of the projects for purposes of calculating match. States may satisfy this 25 percent match through in-kind services. All funds designated as match are restricted to the same uses as the Office on Violence Against Women funds and must be expended within the grant period. States and subgrantees may apply for a waiver of the match requirement

based on an adequate demonstration of financial need. Match may not be required in subgrants to victim service providers or tribes. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

OVW will make awards for at least 2 years. Method of awarding/releasing assistance: other

Reports:

Quarterly and final financial reports plus annual and end-of-grant program performance reports will be required, as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. In the progress reports, States must provide a statistical summary of persons served, detailing the nature of victimization and providing data on age, sex, relationship of victim to offender, geographic distribution, race, ethnicity, disability, and the number of persons served in any underserved population. The reports must also explain the activities carried out and provide an assessment of the effectiveness of those activities, including the number of persons served and the numbers of persons seeking services who could not be served. States are responsible for submitting State reports and ensuring that subgrantees submit annual progress reports as well. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Formula Grants FY 16 \$151,085,699.00; FY 17 est \$150,050,789.00; and FY 18 est \$150,050,000.00;

Range and Average of Financial Assistance:

Range: \$616,994 - \$12,654,241

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications submitted by the Chief Executive Officer of a State, or his or her designee, will be accepted by the grantor Federal Agency for consideration.

16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program

Rural Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF
AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2013, Title II, Section 202, Public Law 113-4, 42 U.S.C. 13971.,

OBJECTIVES:

(1) to identify, assess, and appropriately respond to child, youth, and adult victims of domestic violence, sexual assault, dating violence, and stalking in rural communities, by encouraging collaboration among(A) domestic violence, dating violence, sexual assault, and stalking victim service providers;(B) law enforcement agencies;(C) prosecutors;(D) courts;(E) other criminal justice service providers;(F) human and community service providers;(G) educational institutions; and(H) health care providers, including sexual assault forensic examiners;(2) to establish and expand nonprofit, nongovernmental, State, tribal, territorial, and local government victim services in rural communities to child, youth, and adult victims; and(3) to increase the safety and well-being of women and children in rural communities, by(A) dealing directly and immediately with domestic violence, sexual assault, dating violence, and stalking occurring in rural communities; and(B) creating and implementing strategies to increase awareness and prevent domestic violence, sexual assault, dating violence, and stalking.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding may be used to carry out programs serving rural areas or rural communities that address sexual assault, domestic violence, dating violence, and stalking by: (1) implementing, expanding, and establishing cooperative efforts and projects among law enforcement officers, prosecutors, victim service providers, and other related parties to investigate and prosecute incidents of domestic violence, dating violence, sexual assault, and stalking, including developing multidisciplinary teams focusing on high risk cases with the goal of preventing domestic and dating violence homicides;(2) providing treatment, counseling, advocacy, legal assistance, and other long-term and short-term victim and population specific services to adult and minor victims of domestic violence, dating violence, sexual assault, and stalking in rural communities, including assistance in immigration matters;(3) working in cooperation with the community to develop education and prevention strategies directed toward such issues;(4) developing, enlarging, or strengthening programs addressing sexual assault, including sexual assault forensic examiner programs, Sexual Assault Response Teams, law enforcement training, and programs addressing rape kit backlogs; and(5) developing programs and strategies that focus on the specific needs of victims of domestic violence, dating violence, sexual assault, and stalking who reside in remote rural and geographically isolated areas, including addressing the challenges posed by the lack of access to shelters and victims services, and limited law enforcement resources and training, and providing training and resources to Community Health Aides involved in the delivery of Indian Health Service programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public

institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); States, Indian tribes, Territories, local governments, and nonprofit, public or private entities, including tribal nonprofit organizations, are eligible to carry out programs serving rural areas or rural communities that address sexual assault, domestic violence, dating violence, and stalking.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries include eligible applicants who propose to serve victims of sexual assault, domestic violence, dating violence, and stalking in a rural area or rural community, as defined by 42 U.S.C., 13925(a)(21) to mean (a) any area or community, respectively, no part of which is within an area designated as a standard metropolitan statistical area by the Office of Management and Budget; or (b) any area or community, respectively, that is (i) within an area designated as a metropolitan statistical area or considered part of a metropolitan statistical area; and (ii) located in a rural census tract.

Credentials/Documentation:

Every application must include documentation that the proposed area to be served, in fact, meets the rural eligibility requirements. The requirements include printed documentation of the service area. Instructions to complete the documentation process can be found within the application materials. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. OVW will provide an annual solicitation.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the online Grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. Applicants must provide documentation as specified in the solicitation that the project will be limited to rural areas.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified before the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance is provided in an annual solicitation. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective

ASSISTANCE LISTINGS DESCRIPTIONS

edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$24,231,823.00; FY 17 est \$26,130,481.00; and FY 18 est \$24,000,000.00;

Range and Average of Financial Assistance:

Range: \$200,000-750,000

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program

Arrest Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2013, Title II, Section 202, Public Law 113-4, 42 U.S.C. 3976hh.,

OBJECTIVES:

To encourage States, Indian tribal governments, State and local courts (including juvenile courts), tribal courts, and units of local government to treat domestic violence, dating violence, sexual assault, and stalking as serious violations of criminal law.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may be used for the following statutory program purposes: (1) To implement proarrest programs and policies in police departments, including policies for protection order violations and enforcement of protection orders across State and tribal lines.(2) To develop policies, educational programs, protection order registries, data collection systems, and training in police departments to improve tracking of cases and classification of complaints involving domestic violence, dating violence, sexual assault, and stalking. Policies, educational programs, protection order registries, and training described in this paragraph shall incorporate confidentiality, and privacy protections for victims of domestic violence, dating violence, sexual assault, and stalking.(3) To centralize and coordinate police enforcement, prosecution, or judicial responsibility for domestic violence, dating violence, sexual assault, and stalking cases in teams or units of police officers, prosecutors, parole and probation officers, or judges.(4) To coordinate computer tracking systems and provide the appropriate training and education about domestic violence, dating violence, sexual assault, and stalking to ensure communication between police, prosecutors, parole and probation officers, and both criminal and family courts.(5) To strengthen legal advocacy service programs and other victim services for victims of domestic violence, dating violence, sexual assault, and stalking, including strengthening assistance to such victims in immigration matters.(6) To educate Federal, State, tribal, territorial, and local judges, courts, and court-based and court-related personnel in criminal and civil courts (including juvenile courts) about domestic violence, dating violence, sexual assault, and stalking and to improve judicial handling of such cases.(7) To provide technical assistance and computer and other equipment to police departments, prosecutors, courts, and tribal jurisdictions to facilitate the widespread enforcement of protection orders, including interstate enforcement, enforcement between States and tribal jurisdictions, and enforcement between tribal jurisdictions.(8) To develop or strengthen policies and training for police, prosecutors, and the judiciary in recognizing, investigating, and prosecuting instances of domestic violence [1] dating violence, sexual assault, and stalking against older individuals (as defined in section 3002 of this title) and individuals with disabilities (as defined in section 12102 (2) of this title).(9) To develop State, tribal, territorial, or local policies, procedures, and protocols for preventing dual arrests and prosecutions in cases of domestic violence, dating violence, sexual assault, and stalking, and to develop effective methods for identifying the pattern and history of abuse that indicates which party is the actual perpetrator of abuse.(10) To plan, develop and establish comprehensive victim service and support centers, such as family justice centers, designed to bring together victim advocates from victim service providers, staff from population specific organizations, law enforcement officers, prosecutors, probation officers, governmental victim assistants, forensic medical professionals, civil legal attorneys, chaplains, legal advocates, representatives from community-based organizations and other relevant public or private agencies or organizations into one centralized location, in order to improve safety, access to services, and confidentiality for victims and families. Although funds may be used to support the colocation of project partners under this paragraph, funds may not support construction or major renovation expenses or activities that fall outside of the scope of the other statutory purpose areas.(11) To develop and implement policies and training for police, prosecutors, probation and parole officers, and the judiciary in recognizing, investigating, and prosecuting instances of sexual assault, with an emphasis on recognizing the threat to the community for repeat crime perpetration by such individuals.(12) To develop, enhance, and maintain protection order registries.(13) To develop human immunodeficiency virus (HIV) testing programs for sexual assault perpetrators and notification and counseling protocols.(14) To develop and implement training programs for prosecutors and other prosecution-related personnel regarding best practices to ensure offender accountability, victim safety, and victim consultation in cases involving domestic violence, dating

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violence, sexual assault, and stalking.(15) To develop or strengthen policies, protocols, and training for law enforcement, prosecutors, and the judiciary in recognizing, investigating, and prosecuting instances of domestic violence, dating violence, sexual assault, and stalking against immigrant victims, including the appropriate use of applications for nonimmigrant status under subparagraphs (T) and (U) of section 1101 (a)(15) of title 8.(16) To develop and promote State, local, or tribal legislation and policies that enhance best practices for responding to the crimes of domestic violence, dating violence, sexual assault, and stalking, including the appropriate treatment of victims.(17) To develop, implement, or enhance sexual assault nurse examiner programs or sexual assault forensic examiner programs, including the hiring and training of such examiners.(18) To develop, implement, or enhance Sexual Assault Response Teams or similar coordinated community responses to sexual assault.(19) To develop and strengthen policies, protocols, and training for law enforcement officers and prosecutors regarding the investigation and prosecution of sexual assault cases and the appropriate treatment of victims.(20) To provide human immunodeficiency virus testing programs, counseling, and prophylaxis for victims of sexual assault.(21) To identify and inventory backlogs of sexual assault evidence collection kits and to develop protocols for responding to and addressing such backlogs, including policies and protocols for notifying and involving victims.(22) To develop multidisciplinary high-risk teams focusing on reducing domestic violence and dating violence homicides by(A) using evidence-based indicators to assess the risk of homicide and link high-risk victims to immediate crisis intervention services;(B) identifying and managing high-risk offenders; and(C) providing ongoing victim advocacy and referrals to comprehensive services including legal, housing, health care, and economic assistance.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Grants are available to States, Indian tribal governments, units of local government, and State, tribal, territorial, and local courts. State, tribal, and territorial domestic violence and sexual assault coalitions and victim service providers are also eligible if they partner with a state, tribal government, or unit of local government.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries include criminal and tribal justice practitioners, domestic violence, dating violence, sexual assault and stalking victim advocates, and other service providers who respond to victims of domestic violence, dating violence, sexual assault, and stalking.

Credentials/Documentation:

According to 42 U.S.C. 3796hh(c), eligible grantees are:Eligible grantees are(1) States, Indian tribal governments [1] State and local courts (including juvenile courts), or units of local government that(A) except for a court, certify that their laws or official policies(i) encourage or mandate arrests of domestic violence offenders based on probable cause that an offense has been committed; and(ii) encourage or mandate arrest of domestic violence offenders who violate the terms of a valid and outstanding protection order;(B) except for a court, demonstrate that their laws, policies, or practices and their training programs discourage dual arrests of offender and victim;(C) certify that their laws, policies, or practices prohibit issuance of mutual restraining orders of protection except in cases where both parties file a claim and the court makes detailed findings of fact indicating that both parties acted primarily as aggressors and that neither party acted primarily in self-defense;(D) certify that their laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence, dating violence, sexual assault, or stalking offense, or in connection with the filing, issuance, registration, modification, enforcement, dismissal, or service of a protection order, or a petition for a protection order, to protect a victim of domestic violence, dating violence, stalking, or sexual assault, that the victim bear the costs associated with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, modification, enforcement, dismissal, or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the State, tribal, or local

jurisdiction;(E) certify that, [2] their laws, policies, or practices will ensure that(i) no law enforcement officer, prosecuting officer or other government official shall ask or require an adult, youth, or child victim of a sex offense as defined under Federal, tribal, State, territorial, or local law to submit to a polygraph examination or other truth telling device as a condition for proceeding with the investigation of, trial of, or sentencing for such an offense; and(ii) the refusal of a victim to submit to an examination described in clause (i) shall not prevent the investigation of, trial of, or sentencing for the offense; and(2) a State, tribal, or territorial domestic violence or sexual assault coalition or a victim service provider that partners with a State, Indian tribal government, or unit of local government that certifies that the State, Indian tribal government, or unit of local government meets the requirements under paragraph (1). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance is provided in an annual solicitation. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of

ASSISTANCE LISTINGS DESCRIPTIONS

submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$29,622,711.00; FY 17 est \$30,027,793.00; and FY 18 est \$29,500,000.00;

Range and Average of Financial Assistance:

Range: \$200,00-\$900,000

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.588 Violence Against Women Formula Grants; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.593 Residential Substance Abuse Treatment for State Prisoners

(RSAT).

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

34 USC Code 10421. Statute 133-2317, 2409

OBJECTIVES:

Goals: The goal of the RSAT Program is to assist states and units of local and tribal governments to break the cycle of incarceration for drug addiction and violence by reducing the demand for, use, and trafficking of illegal drugs. Objectives: RSAT enhances jurisdictions capabilities to prepare individuals for their reintegration into the communities from which they came by incorporating reentry planning activities into treatment programs; and assists individuals and their communities through the reentry process through the delivery of community-based treatment and other broad-based aftercare services.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Residential Substance Abuse Treatment formula grant funds may be used to

implement residential substance abuse programs that provide individual and group treatment activities for offenders in residential facilities operated by State and local correctional agencies. These programs must 1) last between 6 and 12 months; 2) be provided in residential treatment facilities set apart from the general correctional population; 3) focus on the substance abuse problems of the inmate; and 4) develop the inmate's cognitive, behavioral, social, vocational, and other skills to solve the substance abuse and related problems.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, and the Northern Mariana Islands are eligible to apply. By statute 42 U.S.C. 3796ff-1(e), the Bureau of Justice Assistance must award RSAT grants to the State office (www.ojp.usdoj.gov/saa/index.htm) designated to administer the Byrne Justice Assistance Grant Program. The State office may award subgrants to state agencies and units of local government (including federally recognized Indian tribal governments that perform law enforcement functions, as determined by the Secretary of the Interior). Applicant States must agree to implement or continue to require urinalysis and/or other proven reliable forms of drug and alcohol testing of individuals assigned to residential substance abuse treatment programs in correctional facilities.

Beneficiary Eligibility:

U.S. Territories; State; Mentally Disabled; Drug Addict; Alcoholic; Local; State and local correctional agencies will implement programs to provide treatment to incarcerated offenders.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the State will coordinate substance abuse treatment activities at the State and local levels, and the State's law or policy requiring substance abuse testing of individuals in correctional residential substance abuse treatment programs. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at www.bja.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See the current fiscal years solicitation available at www.bja.gov

Appeals:

Other. For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see 28 CFR Part 18.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Other. Formula grants will be renewed each year, contingent upon Congressional appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Matching Requirements: Percent: 25%. Grant funds are allocated to the States using the following formula: a) each participating State is allocated a base amount of 0.4 percent of the total funds available for the program; and b) the remaining funds are allocated to each participating State in the ratio its prison population bears to the total prison population of all participating States. The most recent National Prisoner Statistics collected by the Bureau of Justice Statistics are used to make these allocations. The Federal share of a grant-funded project may not exceed 75 percent of the total costs of the project. The 25 percent matching funds must be in the form of a cash match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus three additional years, for a total of four years. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 .

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Formula Grants FY 20 \$28,267,617.00; FY 21 est \$34,000,000.00; FY 22 est \$35,000,000.00;

Range and Average of Financial Assistance:

Individual state and territory awards ranged from \$35,000 to \$908,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: BJA has continued to champion MAT in a variety of training and technical assistance programs. Federally funded RSAT prison and jail RSAT programs continue to take the lead in expanding correctional medication-assisted treatment programs across the United States. Not only are the number of prisons and jails offering MAT continuing to increase every month, but more and more are expanding MAT access to multiple opioid medications, including methadone and buprenorphine as well as naltrexone. BJA has concentrated in the past year on both promoting expansion of MAT to include both agonist as well as antagonist medications and to expand jail MAT programs to persons detained pretrial in jails, more than 10 million each year, as well as those committed after sentence. For example, the RSAT program in the jail serving Berkshire County in western Massachusetts which had pioneered MAT featuring injectable naltrexone for sentenced persons, is now expanding its MAT to include the many more persons detained for shorter pretrial periods and is providing access to agonist medications as well as antagonist. It also now provides, consistent with the developing research,

multiple naltrexone injections prior to release to maximize craving reductions before persons reenter the community. There are now more than 135 jails and prisons systems in 32 states and the District of Columbia that offer at least two opioid medications, up from just 35 two years ago. Many directly benefited from BJAs extensive training and technical assistance in implementing these programs. BJA continued its highly effective prison and jail MAT mentor host site trainings, allowing 26 corrections teams from 18 states to visit model MAT programs in the Middlesex House of Correction and the Philadelphia Department of Prisons. Both of these host sites not only provide participants with access to all opioid medications but also offer robust reentry and aftercare programming after release.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Senior Policy Advisor Timothy Jeffries,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Timothy.Jeffries@usdoj.gov
Phone: (202) 616-7385

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The following are examples of FY 20 funded RSAT Program Accomplishments 1) The Topeka, Kansas Correctional Facility prioritizes its 48-bed program for the substance abuse population, uniquely staffed by licensed mental health professionals and community-based providers who deliver evidence-based treatment in group and individual sessions. 2) The Taycheedah Correctional Institute in Wisconsin is a 14-bed program that provides a therapeutic community for women where issues such as grief, loss, domestic abuse, and coping skills are addressed through evidence-based individual and group work. 3) The Nebraska Correctional Center for Womens program assists participants in navigating the process of regaining custody of their children along with parenting and parental reunification classes. 4) The MonDay Community Correctional Institute in Ohio offers parenting classes as well as a monthly family meeting event for residents. 5) The Turbeville Correctional Facility program is for young adult men (18-24) in South Carolina that provides education for participants and family members on the biology of substance use disorders, their effect on family relationships and how families can be support the treatment process. 6) The Nevada Department of Corrections is the first in the nation to introduce injectable buprenorphine in two RSAT facilities. 7) The new Maine Department of Corrections RSAT program has begun collaboration with community-based providers to provide MAT and Recovery Coach coordinators

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at www.bja.gov

16.596 Justice System Infrastructure Program for Indian Tribes

Justice System Infrastructure Program for Indian Tribes,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260, Title Violent Crime Control and Law Enforcement Act of 1994, as amended, Part Subtitle A of Title II, Section 20109, 42 USC Code 13701 et seq.

OBJECTIVES:

Goal: The overall goal of BJAs Tribal Justice System Infrastructure Program (TJSIP) is to strengthen tribal justice system capacity by addressing physical infrastructure needs. Objective: Renovate or expand existing single jurisdiction or regional tribal justice-related facilities or build single jurisdiction or regional prefabricated or permanent modular facilities where there are no existing permanent structures available or sufficient to address staff/resident/detainee/inmate safety and security issues; add capacity for recidivism reduction programming; enhance facility service/function capacity; provide capacity, equipment, or infrastructure to support staff training, fitness, and wellness; and/or complement efforts to implement the enhanced authorities and provisions under the Tribal Law and Order Act and the Violence Against Women Reauthorization Act of 2013.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Grant Program for Indian Tribes may be used to assist Indian tribes in renovating, replacing, and/or expanding tribal justice facilities to serve both adult and juvenile populations.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Only Federally recognized Indian tribes or consortia consisting of two or more federally recognized Indian tribes are eligible to apply.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally recognized Indian tribes may apply.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description of the program, the implementation process, and a timetable for implementation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Targeted tribes are invited to submit applications for construction of juvenile correctional facilities. Applications are submitted through DOJ's Justice Grants System (JustGrants). BJA will use external peer reviewers to evaluate, score, and rate the applications submitted under this solicitation that meet eligibility and basic minimum requirements. An external peer reviewer is an expert in the field of the subject matter of a given grant program who is not a current federal employee. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. Past performance with DOJ grant funding will be considered in this review process. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of up to 60 months. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.gov/financialguide/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$9,558,615.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Approximately \$9,000,000 is available under this program each FY. Suggested award amounts range between \$1,000,000 (single jurisdiction projects) and \$4,000,000 (multiple jurisdictional projects).

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Please review the Tribal Justice System Infrastructure Program fact sheet at <https://www.justice.gov/tribal/page/file/1114981/download>

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide

(<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Julius Dupree,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 Seventh Street, N.W.,
Washington DC 20531
Email: AskBJA@usdoj.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: (202) 616-6500 or 1-866-859-2687

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

16.731 Tribal Youth Program;

EXAMPLES OF FUNDED PROJECTS:

2021 The Tribal Justice Systems Infrastructure Program (TJSIP), which is Purpose Area 4 under the Coordinated Tribal Assistance Solicitation (CTAS), assists tribes in developing effective strategies to cost effectively renovate, expand, or replace existing facilities associated with the incarceration and rehabilitation of juvenile and adult offenders subject to tribal jurisdiction. To learn more about TJSIP visit <https://www.justice.gov/tribal/page/file/1114981/download> and to learn more about CTAS, visit: www.justice.gov/tribal/grants.

CRITERIA FOR SELECTING PROPOSALS:

Past projects were selected on the basis of need for a justice system facility, demonstrated ability to operate the facilities, soundness of implementation plan, thoroughness of budget submission, and proposed strategy to measure impact/outcomes. Additionally, BJA considers BIAs priority list for facilities that are in need of repair or renovation. Peer reviewers will be reviewing the applications submitted under this solicitation that meet eligibility and basic minimum requirements. BJA will use external peer reviewers to review the applications under this solicitation. An external peer reviewer is an expert in the field of the subject matter of a given grant program who is not a current federal employee. Applications that meet eligibility and basic minimum requirements will be evaluated, scored, and rated by peer reviewers. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. Past performance with DOJ grant funding will be considered in this review process.

16.601 Corrections Training and Staff Development

FEDERAL AGENCY:

FEDERAL PRISON SYSTEM / BUREAU OF PRISONS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, as amended; Public Law 93-415, 18 U.S.C 4351-4353,

OBJECTIVES:

To devise and conduct in various geographical locations, seminars, workshops, and training programs for law enforcement officers, judges and judicial personnel, probation and parole personnel, correctional personnel, welfare workers and other personnel, including lay ex-offenders and paraprofessionals, connected with the treatment and rehabilitation of criminal and juvenile offenders. To develop technical training teams to aid in the development of seminars, workshops, and training programs within the several States and with the State and local agencies which work with prisoners, parolees, probationers, and other offenders.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Project Grants, Provision of Specialized Services, Training

USES AND USE RESTRICTIONS:

Provides assistance for upgrading the operation of correctional programs at State and local levels. Services are available to the entire range of correctional agencies, including probation, parole, institutions, jails, and community programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); States, general units of local government, as well as public and private agencies,

educational institutions, organizations, and individuals involved in the development, implementation or operation of correctional programs and services.

Beneficiary Eligibility:

Individual/Family; Other private institution/organization; Anyone/general public; State; Public nonprofit institution/organization; States, general units of local government involved in the development, implementation or operation of correctional programs and services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments; OMB Circular No. A-21 cost principles for educational institutions; and OMB Circular No. A-122 cost principles for nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For cooperative agreements, applicant submits proposal on Standard Form 424 - Federal Assistance. This program is subject to the provisions of OMB Circular Nos. A-102, A-110, A-133, and A-128. Environmental assessment is required for federally funded projects that are a major Federal action significantly affecting the human environment as defined in the National Environmental Policy Act of 1969.

Award Procedure:

A formal application (Standard Form 424-Federal Assistance) is submitted to NIC. When it is determined that the project will be funded, a letter and award package are sent to the award recipient.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days.

Appeals:

Other. Appeals may be made to the Director of NIC.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds advanced as required. Funds must be spent 90 days after ending date of the agreement. Method of awarding/releasing assistance: other

Reports:

Must be submitted 30 days after each fiscal year's quarter. Must be submitted 30 days after each fiscal year's quarter. Must be submitted 30 days after each fiscal year's quarter. Every fiscal year's quarter and used to determine if awardee's request for advance/reimbursement is approved based on their performance.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Full fiscal and program audits at close of cooperative agreement and on-site inspections as needed throughout the project.

Records:

Cooperative agreement recipients must keep complete records on receipt and disposition of federal funds for at least 3 years after the submission of the final financial report.

Account Identification:

15-1060-0-1-754.

Obligations:

Training FY 15 \$495,852.00; FY 16 est \$750,000.00; and FY 17 est \$750,000.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

No Data Available.

TAFS Codes:

15-10.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. For training information, contact Chief, NIC Academy Division, 11900 E. Cornell Avenue, Unit C, Aurora, CO 80014. Telephone: (303) 338-6600. Toll-Free: (800) 995-6429. Fax: (303) 338-6601. NOTE: All applications are to be sent to the NIC Washington office.

Headquarters Office:

Harry Fenstermaker,
500 1st Street NW,
Washington DC 20534
Email: hfenstermaker@bop.gov
Phone: 202-307-6687

Website Address:

<http://www.usdoj.gov>

RELATED PROGRAMS:

16.602 Corrections_Research and Evaluation and Policy Formulation; 16.603 Corrections_Technical Assistance/Clearinghouse;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.602 Corrections Research and Evaluation and Policy Formulation

FEDERAL AGENCY:

FEDERAL PRISON SYSTEM / BUREAU OF PRISONS, JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, as amended; Public Law 93-415, 18 U.S.C. 4351-4353,

OBJECTIVES:

To conduct, encourage, and coordinate research relating to corrections including the causes, prevention, diagnosis, and treatment of criminal offenders. To conduct evaluation programs which study the effectiveness of new approaches, techniques, systems, programs, and devices employed to improve the corrections system.

TYPES OF ASSISTANCE:

Cooperative Agreements, Dissemination of Technical Information, Project Grants, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Provides assistance for upgrading correctional programs, services, and techniques at State and local levels. Services are available to the entire range of correctional agencies, including probation, parole, institutions, jails, and community programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); States, general units of local government, public and private agencies, educational institutions, organizations and individuals involved in the development, implementation or operation of correctional programs and services.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; States, general units of local government involved in the development, implementation or operation of correctional programs and services.

Credentials/Documentation:

OMB Circular No. A-87 cost principles applicable to grants with State and local governments; OMB Circular No. A-21 cost principles for educational institutions; and OMB Circular No. A-122 cost principles for nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For project grants, applicant submits proposal on Standard Form 424 - Federal Assistance. This program is subject to the provisions of OMB Circular Nos. A-102, and A-110, A-128, and A-133. Environmental assessment is required for federally funded projects that are a major Federal action significantly affecting the human environment as defined in the National Environmental Policy Act of 1969. This program is excluded from coverage under E.O. 12372.

Award Procedure:

A formal application (Standard Form 424 - Federal Assistance) is submitted to NIC. When it is determined that the project will be funded, a letter and award package are sent to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days.

Appeals:

Other. Appeals may be made to the Director of NIC.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds advanced as required. Method of awarding/releasing assistance: other

Reports:

Are due 30 after each fiscal year's quarter. Are due 30 after each fiscal year's quarter. Are due 30 after each fiscal year's quarter. Are due 30 after each fiscal year's quarter. Also before funds are approved for payment by program staff

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Full fiscal and program audits at close of grant and on-site inspections as needed throughout the project period.

Records:

Grantee must keep complete records on receipt and disposition of federal funds for at least 3 years after the submission of the final financial report.

Account Identification:

15-1060-0-1-754.

Obligations:

Cooperative Agreements FY 15 \$147,500.00; FY 16 est \$200,000.00; and FY 17 est \$450,000.00;

Range and Average of Financial Assistance:

No Data Available.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

15-10.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact Deputy Director NIC, 320 First Street NW Room 5002, Washington, DC 20534. Telephone: (202) 514-4254. Toll-free: 800-995-6423. FAX: 303-307-3361. NOTE: All applications are to be sent to the NIC Washington office.

Headquarters Office:

Harry Fenstermaker,
500 1st Street NW,
Washington DC 20534
Email: hfenstermaker@bop.gov
Phone: 202-307-6687

Website Address:

<http://www.usdoj.gov>

RELATED PROGRAMS:

16.601 Corrections_Training and Staff Development; 16.603 Corrections_Technical Assistance/Clearinghouse;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.603 Corrections Technical Assistance/Clearinghouse

FEDERAL AGENCY:

FEDERAL PRISON SYSTEM / BUREAU OF PRISONS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Public Law 93-415, 18 U.S.C. 4351-4353, as amended.,

OBJECTIVES:

To encourage and assist Federal, State, and local government programs and services, and programs and services of other public and private agencies, institutions, and organizations in their efforts to develop and implement improved corrections programs. To assist and serve in a consulting capacity to Federal, State, and local courts, departments, and agencies in the development, maintenance, and coordination of programs, facilities, services, training, treatment, and rehabilitation with respect to criminal and juvenile offenders.

TYPES OF ASSISTANCE:

Cooperative Agreements, Dissemination of Technical Information, Project Grants, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Provides assistance for upgrading and operation of correctional facilities, programs, and services at State and local levels. Services are available to the entire range of correctional agencies, including probation, parole, institutions, jails, and community programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; States, general units of local government,

public and private agencies, educational institutions, organizations, and individuals involved in the development, implementation or operation of correctional programs and services.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; States, general units of local government involved in the development, implementation or operation of correctional programs and services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments; OMB Circular No. A-21 cost principles for educational institutions; and OMB Circular No. A-122 cost principles for nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For project grants, applicant submits proposal on Standard Form 424 - Federal Assistance. Applications under this program are subject to State Level Review under E.O. 12372 "Intergovernmental Review of Federal programs" which provides for a 30 or 60 day review of proposed Federal action and transmittal of comments through a Single State Point of Contact. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the provisions of OMB Circular Nos. A-102, A-110, A-133. Environmental assessment is required for federally funded projects that are a major Federal action significantly affecting the human environment as defined in the National Environmental Policy Act of 1969.

Award Procedure:

A formal application (Standards Form 424 - Federal Assistance) is submitted to NIC. When it is determined that the project will be funded, a letter and award package are sent to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days.

Appeals:

Other. Appeals may be made to the Director of NIC.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds advanced as required. Method of awarding/releasing assistance: other

Reports:

30 days after each fiscal year's quarter. 30 days after each fiscal year's quarter. 30 days after each fiscal year's quarter. 30 days after each fiscal year's quarter. Approval by project manager before payments are processed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Full fiscal and program audits at close of grant and on-site inspections as needed throughout the grant.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Grantee must keep complete records on receipt and disposition of federal funds for at least 3 years after the submission of the final financial report.

Account Identification:

15-1060-0-1-754.

Obligations:

Cooperative Agreements FY 15 \$625,000.00; FY 16 est \$800,000.00; and FY 17 est \$800,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-10.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. For information, contact NIC at, 320 First Street NW Room 5002, Washington DC 20534. Telephone: (202)307-3106 Toll-Free (800) 995-6423 FAX: (202)-307-3361. For technical assistance related to staff training, contact Chief NIC Academy Division, 11900 E. Cornell Avenue, Unit C, Aurora, CO 80014. Telephone: (303) 338-6600. Toll-free: (800)995-6429. FAX: (303) 338-6601. NOTE: All applications are to be sent to the NIC Washington office.

Headquarters Office:

Harry Fenstermaker,
500 1st Street NW,
Washington DC 20534
Email: hfenstermaker@bop.gov
Phone: 202-307-6687

Website Address:

<http://www.usdoj.gov>

RELATED PROGRAMS:

16.602 Corrections_Research and Evaluation and Policy Formulation; 16.601 Corrections_Training and Staff Development;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.606 State Criminal Alien Assistance Program

(SCAAP).

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Public Law 116-6, 8 USC Code 1231 (i). 28 USC Code 530C(a). Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93

OBJECTIVES:

The State Criminal Alien Assistance Program (SCAAP) is a payment program designed to provide federal assistance to states and localities that incur costs for incarcerating undocumented criminal aliens who are being held as a result of state and/or local convictions. The Bureau of Justice Assistance (BJA) administers this program in conjunction with the Department of Homeland Security's (DHS) Immigration and Customs Enforcement (ICE), and U.S. Citizenship and Immigration Services branches.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Department of Justice Reauthorization Act of 2005 (Public Law 109-162, Title XI) included the following requirement regarding the use of SCAAP funds:

"Amounts appropriated pursuant to the authorization of appropriations in paragraph (5) that are distributed to a State or political subdivision of a State, including a municipality, may be used only for correctional purposes." All funds must be used for correctional purposes only. To be eligible for reporting, inmates must have been convicted of a felony or second misdemeanor for violations of state or local law, and housed in the applicant's state or local correctional facility for 4 or more consecutive days during the reporting period. Once a person meets these criteria, all pre-trial and post-conviction time served may be included in the application. Salary information reported in the SCAAP application must reflect the total salaries and wages paid to full and part-time correctional officers and others who meet the SCAAP definition. See the SCAAP Guidelines at <http://www.ojp.usdoj.gov/BJA/>

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Eligible applicants include States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and localities or local jurisdictions exercising authority with respect to the incarceration of an undocumented criminal alien. This covers state prison facilities and local jails, whether operated by counties or cities. Applicants are generally States and units of local government. Note: Only one application is accepted from each separate political division (i.e., State, county, city).

Beneficiary Eligibility:

U.S. Territories; State; Local; States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and localities or local jurisdictions.

Credentials/Documentation:

Applicants who are seeking funding must complete an electronic application form via the Department of Justice, Office of Justice Programs Justice Grants (JustGrants) system. Inmate data, including the proper inmate identifiers, will either be manually key-stroked into the on-line application system, or submitted on-line by electronically attaching the applicable portions of the applicants inmate databases. The authorized official certifies compliance with all SCAAP requirements, including that the applicant has been authorized by the Chief Executive Officer of the applicable unit of government; the inmate identifiers required have been submitted to BJA in the proper format(s) for Immigration and Customs Enforcement (ICE) verification within the prescribed deadlines; all inmates counted by the applicant have been convicted of either a felony or two misdemeanor offenses under the applicant's law; and, only salary costs for corrections officers were included in the Total Salary Costs. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SCAAP applications are administered via the Office of Justice Programs Internet-based Grants Management System. Inmate data, including the proper inmate identifiers, may either be manually key-stroked into the on-line application system, or submitted on-line by electronically attaching the applicable portions of their inmate databases. Awards are announced after the ICE inmate verification process is done. Technical assistance is available to applicants for preparation of the on-line application

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process by calling the OJP Grants Management System Hotline at 1-888-549-9901. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The final payment process requires that all applications and all data be fully processed and verified before any payments are made. Inmate data will be verified by the ICE, who will attempt to match the inmate data records provided to records in its databases to obtain the maximum number of confirmed matches. These matches are expected to include three categories: 1) those positively identified (matched) as qualifying undocumented criminal aliens; 2) those unknowns and 3) those positively identified as non-criminal aliens. Applicants will be given credit for a percentage of inmates whose eligibility cannot be determined through positive identification or "match," but have valid foreign countries of birth. Payments will be based on the days of incarceration for the verified number of aliens incarcerated by each applicant. Payments are granted by the Director of the Bureau of Justice Assistance, Office of Justice Programs, Department of Justice. The payment must be accepted on-line and the acceptance will effect a simultaneous assurance of compliance with conditions. Acceptance of the payment on-line initiates the automatic electronic transfer of funds from OJP to the recipient.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact BJA at SCAAP@usdoj.gov

Appeals:

Not Applicable.

Renewals:

Other. Applications may be submitted annually, subject to availability of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

SCAAP awards are usually obligated and announced by the end of September and released for drawdown by mid-October. There are no restrictions placed on the time permitted to spend the money awarded because SCAAP funds are considered no year funds. SCAAP funds must be used for correctional purposes only.

Jurisdictions receiving SCAAP awards will be asked to report the projected use of these funds at the time the award is accepted. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, a copy of the inmate records submission, and all other records pertinent to a grant shall be retained for a period of at least three (3) years following the closeout of the grant.

Account Identification:

15-0404-0-1-754.

Obligations:

Direct Payments for Specified Use FY 20 \$199,415,235.00; FY 21 est \$244,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Contact Bureau of Justice Assistance for funding information or see the SCAAP Guidelines at https://www.bja.gov/ProgramDetails.aspx?Program_ID=86.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Program Manager Joseph Husted,
U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: SCAAP@usdoj.gov
Phone: 202-353-4411

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.607 Bulletproof Vest Partnership Program

(BVP),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Bulletproof Vest Partnership Grant Act of 1998, as amended, , Statue 112-512, Public Law 105-181, 34 USC Code 10531-10533. Title Bulletproof Vest Partnership Grant Program Reauthorization Act of 2015, , Public Law 114-155, Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

The goal of the BVP program is to provide up to 50% of the cost of armored vests for state, local and tribal jurisdictions.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The program pays up to 50 percent of the total cost of each vest order. Total cost includes the cost of the vests, vest carriers, attachments, inserts, and covers considered integral or essential for its proper care, use, and wearability, shipping, handling, fitting charges, and applicable taxes. The total invoiced price, after all vendor and prompt payment discounts have been deducted, is what the Bureau of Justice Assistance (BJA) uses to determine the Federal match. This program only allows the purchase of body armor that has been tested and found to comply with applicable ballistic and stab standards promulgated by the National Institute of Justice's (NIJ) Standard 0101.06 Ballistic Resistance of Police Body Armor. In FY 2001, stab-resistant body armor was also included in the program as an allowable purchase.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Only chief executives of jurisdictions (or their designees) may apply

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for funds. Jurisdictions are defined as general purpose units of local government (e.g., cities, towns, townships, boroughs, counties, etc.), Federally-recognized Indian tribes, the 50 State governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The chief executive of the jurisdiction registers on-line, submits the jurisdiction application, and requests payment for completed vest orders.

Beneficiary Eligibility:

State; Only law enforcement officers may receive vests through this program. According to the Act, "law enforcement officer" means any officer, agent, or employee of a State, unit of local government, or an Indian tribe authorized by law or by a government agency to engage in or supervise the prevention, detection, or investigation of any violation of criminal law, or authorized by law to supervise sentenced criminal offenders. Eligible officers may be full-time, part-time, paid or volunteer. In order to receive BVP funds, jurisdictions must certify, during the application process, that all law enforcement agencies benefitting from the BVP Program have a written "mandatory wear" policy in effect. FAQ: <https://ojp.gov/bvpbasi/docs/FAQsBVP MandatoryWearPolicy.pdf> The BVP Reauthorization Act of 2015 included a provision that states that BJA may give preferential consideration to BVP recipients that provide armor vests to law enforcement officers that are uniquely fitted for such officers, including vests uniquely fitted to individual female law enforcement officers. A certification section has been added to the 2017 application (in the BVP system) stating the jurisdictions and law enforcement agency are aware of and will comply with this requirement.

Credentials/Documentation:

Eligible jurisdictions must be general purpose units of local government, Federally-recognized Indian tribes, the 50 state governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The validity of the on-line registration process is verified through independent reviews which may include certification by the banking institutions serving the jurisdiction, the U.S. Census Bureau, and agencies within the U.S. Department of Justice. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. This is an Internet-based program. The jurisdiction is required to complete and submit an on-line registration form, providing information about the jurisdiction's chief executive officer, program contact person, and electronic banking information. The registration process is handled through the program's web site <http://ojp.gov/bvpbasi/home.html>. Only those jurisdictions with approved registrations are permitted to make application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The jurisdiction submits the application to BJA via the Internet web site <http://vests.ojp.gov>. On-line instructions guide the jurisdiction through the application process. Law enforcement agencies or agencies with law enforcement functions which meet the Act's definition, will assist in completing the jurisdiction's on-line application by indicating the types, numbers, and costs of vests they intend to order. Only those jurisdictions that complete and submit applications will be eligible for matching funds from BJA. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements. Each application is then checked against the availability of funds to cover up to 50 percent of the application's total cost, in keeping with established criteria for program fund allocation. When all checks are complete and the application approved, the jurisdiction is notified through e-mail that the necessary funds have been obligated.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. A minimum of 15 working days may be required for complete registration

validation, although jurisdictions and law enforcement agencies will have immediate access to certain program components during this validation process. A minimum of 60 working days may be required after the program closes for approval of the jurisdiction's application for funding. A minimum of 25 working days may be required for the electronic transfer of funds from the U.S. Treasury to the jurisdiction's bank account, once the request for funds has been received, reviewed, and approved by the Office of Justice Programs.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 34, Subtitle I, Chapter 101, Part , Subpart .Public Law 90351, title I, 2501, as amended. Matching Requirements: Percent: 50%.

Requirement: Matching requirements are voluntary. The program requires that each applicant jurisdiction be responsible for providing at least 50 percent of the cost of each vest purchased. Tribal governments may use Federal funds to provide this match; all other jurisdictions must use nonfederal match funds. Nonfederal fund sources include State and/or local jurisdiction revenues, private or personal funds, and contributions from insurance or workman's compensation consortiums. Asset forfeiture funds may also be used to meet the jurisdiction's matching requirement. Beginning in FY 2002, changes (Bulletproof Vest Partnership Act of 2000; Public Law 106-517) gives preference to small jurisdictions (those populations below 100,000) guaranteeing them the full 50 percent match payment before payments are calculated and approved for larger jurisdictions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Jurisdictions with approved applications must request the federal match no later than August 31st of the second federal fiscal year following the end of the federal fiscal year in which their application was approved. In other words, the jurisdiction has two years beyond the year of their application to request payments for vests contained in that application. Payments of the federal match will be made whenever the jurisdiction indicates that at least part of the approved vest order was received and invoiced. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the General Accounting Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local procurement policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Direct Payments for Specified Use FY 20 \$23,725,327.00; FY 21 est \$30,000,000.00; FY 22 est \$30,000,000.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since 1999, the BVP program has awarded more than 13,000

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jurisdictions a total of \$467 million in federal funds for the purchase of over one million vests (1,395,976) as of February 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the main BVP web site for more details:

<http://ojp.gov/bvpbasi/home.html>.

Regional or Local Office:

Headquarters Office:

Program Manager Joseph Husted,

U.S. Department of Justice Office of Justice Programs Bureau of Justice

Assistance 810 7th Street, N.W.,

Washington DC 20531

Email: vests@usdoj.gov

Phone: 1-877-758-3787

Website Address:

<http://ojp.gov/bvpbasi/home.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.608 Tribal Justice Systems and Alcohol and Substance Abuse

(TCAP),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: To provide key funding to tribal justice systems to develop, support, and enhance adult and juvenile tribal justice systems and the prevention of violent crime and crime related to opioid, alcohol, and other substance abuse. Objectives: To support the critical and priority needs of tribal justice systems, to prevent crime and to ensure tribal safety through the development, implementation, and enhancement of strategies, including the following: To engage in targeted strategic planning to improve tribal justice and community safety as it relates to violent crime, enhancing capacity of tribal prosecutors and tribal courts and opioid, alcohol, and other substance abuse, including review of key data, partnerships with researchers, and building the capacity for crime analysis. To prevent and respond to violent crime in tribal communities, including investigations, forensics, prosecutions, information sharing, and supervision and re-entry in coordination with other key federal and state partners. To enhance the tools and resources for tribal prosecutors, courts, and corrections agencies, including tribal probation and tribal jails, and to effectively respond to crime and related tribal safety. To implement enhanced authorities and provisions under the Tribal Law and Order Act (TLOA) and the Violence Against Women Reauthorization Act of 2013 and to support the development of joint jurisdiction courts with state and local courts. To prevent future crime by addressing the risks and needs of young adults (ages 18-25) who are involved with or at risk of involvement with the justice system. To ensure safety through coordinated support for the re-entry of returning tribal members from federal or state prison sentences. To develop, enhance, and continue programs to improve the safety and effectiveness of tribal law enforcement officers such as planning for and developing their own law enforcement agency, law enforcement support as part of a larger strategy, or court security issues such as adding an officer at the courthouse to improve court security. To develop, implement, and enhance substance abuse and crime prevention, interventions, and alternatives to incarceration to address crime related to the opioid epidemic. This can include opioid, alcohol, and other substance abuse and

related crime prevention; healing to wellness courts; intervention; or treatment, including those that prevent and address the needs of drug endangered children.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Planning, implementing or enhancing tribal justice systems, or programs addressing alcohol and/or substance abuse.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally recognized Indian Tribal governments are eligible to apply for and receive funds under this program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Indian Tribal governments.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is administered through the Coordinated Tribal Assistance Solicitation (CTAS) Purpose area 3. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Normally, 90 days after receipt of applications.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. Contingent upon congressional funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 36 months. Method of awarding/releasing assistance: other

Reports:

In some cases, evaluation reports may be required. Recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.gov/financialguide/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111-352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

ASSISTANCE LISTINGS DESCRIPTIONS

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$2,226,195.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

See solicitation guidelines posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm> and/or www.bja.gov.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Please visit <http://www.tribaljustice.org/> for accomplishments.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA Policy Advisor Trish Thackston,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 Seventh Street, N.W.,
Washington DC 20531
Email: AskBJA@usdoj.gov
Phone: 202-307-0581

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Healing to Wellness Courts; Veterans Treatment Courts; developing or enhancing tribal courts; reentry programs; court security; prisoner reentry; Tribal prosecutors; prevention programs; reentry programs. Visit <https://bja.ojp.gov/funding/awards/2020-ac-bx-0024> for an example.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.609 Project Safe Neighborhoods

(PSN),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Omnibus Crime Control and Safe Streets Act of 1968, as amended., Title Consolidated Appropriations Act, 2020, Statute 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, Statute 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: Project Safe Neighborhoods (PSN) is designed to create and foster safer communities through a sustained reduction in violent crime. The program's effectiveness depends upon the ongoing coordination, cooperation, and

partnerships of local, state, tribal, and federal law enforcement agencies and community organizations working together with the communities they serve engaged in a unified approach coordinated by the U.S. Attorney (USA) in all 94 districts. Objectives: Create and maintain ongoing coordination among federal, state, local, and tribal law enforcement officials for reducing violent crime while cooperatively engaging the communities they serve. Develop violence reduction strategies that are responsive to each district's individual circumstances, resources, and crime drivers while considering how to effectively prevent future violent crime victimization and perpetration. Effectively use intelligence and data to identify high crime areas and prolific violent offenders.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Project Safe Neighborhoods program focuses on problem solving, strategic planning, and working in partnership with the community to reduce gun crime and gang violence. Project Safe Neighborhoods is a national strategy designed to reduce offenses committed with guns in streets and local neighborhoods. In addition to hiring new state and local prosecutors, the funds will be available to support investigations, provide training, develop and promote community outreach efforts, and improve public safety by supporting gun and gang crime reduction strategies. PSN should be a part of an overall comprehensive violence reduction strategy. Applicants are encouraged to leverage other federal grant dollars and existing grant resources already in the community, and to partner with a research partner to conduct an impact evaluation to determine the results of the PSN program which may help in securing longer-term funding and sustaining the program locally.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); PSN team fiscal agents for the federal judicial districts All fiscal agents must be certified by the relevant district United States Attorneys Office (USAO). Eligible USAO-certified fiscal agents include states, units of local government, educational institutions, faith-based and other community organizations, private nonprofit organizations (including tribal nonprofit), and federally recognized Indian tribal governments (as determined by the Secretary of the Interior).

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; State and local governments, public and private organizations, Indian Tribal government, prosecutor offices.

Credentials/Documentation:

Eligible applicants must be certified by the U.S. Attorney's Office. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: <https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The application procedure and time lines will be contained in the program solicitation. See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Office of Justice Programs Assistant

ASSISTANCE LISTINGS DESCRIPTIONS

Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. For Formula awards, please see 28 CFR Part 18.

Renewals:

Other. Contingent on available funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies. Up to 36 months. Method of awarding/releasing assistance: other

Reports:

In some cases, evaluation reports may be required. Progress reports are due semi-annually. Unless otherwise specified in the awards special conditions, financial reports are due quarterly. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$17,894,628.00; FY 21 est \$20,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Prior awards have ranged from approximately \$200,000 to \$500,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For detailed accomplishments, visit <https://bja.ojp.gov/program/project-safe-neighborhoods-psn/publications-performance-reports>

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Program Manager Kate McNamee,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20735
Email: AskBJA@usdoj.gov
Phone: 202-598-5248

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 BJA expects to make 93 awards under the FY20 solicitation in the form of grants to United States Attorneys Offices (USAO). Through the PSN team (referred to as the PSN team), each USAO district will implement the five design features of PSN leadership, partnership, targeted and prioritized enforcement, prevention, and accountability to address violent crime in their respective districts.

CRITERIA FOR SELECTING PROPOSALS:

Formula Award Program: Each federal judicial district is eligible to apply for a funding allocation, based on its violent crime rate and population. See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

16.610 Regional Information Sharing Systems

(RISS),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title I, Part M, Section Omnibus Crime Control and Safe Streets Act of 1968, Statue 133-13, 117, Public Law 116-6, 34 USC Code 10321. Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: The goal is to assist agencies in reducing violent crime and support law enforcement through officer safety, enhance the ability to identify, target, and remove criminal conspiracies and activities spanning multi-jurisdictional, multi-state, and sometime international boundaries. While the RISS Centers operate independently and are tailored to support the unique needs of the region served, they also operate as a collective body to address national criminal justice issues. RISS is governed by a National Policy Group comprised of the six RISS Directors and the Chair of each regional centers board of directors. The Bureau of Justice Assistance (BJA), U.S. Department of Justice (DOJ), administers the RISS Program. Objectives: The primary objective for RISS is to promote and strengthen relationships and strategies for the administration of justice with law enforcement agencies, organizations, prosecutors, and defenders through innovative leadership and programs. This objective also includes providing training, technical assistance, and resources in support of the programs goals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Up to 100 percent of total project grant costs are funded through Federal assistance. Cost-sharing is governed by Appropriation Act requirements. However, projects are encouraged to obtain and utilize additional funds through voluntary contributions, membership fees or dues, fees for service, or other sources from member agencies or governmental units benefiting from project services. Such funds will be considered program income and must be used to augment project operations or to reduce the Federal share of project costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of

ASSISTANCE LISTINGS DESCRIPTIONS

higher education and hospitals; Six RISS Centers are authorized as eligible to receive funding to provide services to law enforcement agencies throughout the nation. The Centers are: The Middle Atlantic Great-Lakes Organized Crime Law Enforcement Center (MAGLOCLLEN), the Mid-States Organized Crime Information Center (MOCLIC), the New England State Police Information Network (NESPIN), the Regional Organized Crime Information Center (ROCLIC), the Rocky Mountain Information Network (RMIN), and the Western States Information Network (WSIN). Additionally, a competitively selected entity will serve as the administrator of network and support system capabilities serving the aforementioned six RISS Centers. Applications are limited to these six RISS Centers and the competitive process to select an awardee for the purpose of administration and on behalf of the RISS Technology Service Center (RTSC).

Beneficiary Eligibility:

State; Local; State and local criminal justice agencies; Federal, State, local, tribal, and territorial law enforcement agencies and personnel benefit from this program.

Credentials/Documentation:

The six RISS Centers are required to submit a Federal application for assistance, as well as a detailed program and budget narrative. A competitive process is conducted for the administration of the RISS Technology Service Center (RTSC). Summary information about each RISS Center is available on the central RISS Website. See <http://www.riss.net>. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: <https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> and <https://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

BJA receives and reviews all applications for completeness, accuracy, and compliance with all of BJA's program requirements, to include adherence to the fiscal guidelines and grant reporting.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days prior to the expiration of the project's current active grant.

Appeals:

Not Applicable.

Renewals:

Other. A project must submit a written request justifying the need for the extension and how the extension would be consistent with the objectives of the grant 60 days prior to the expiration of the current grant.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made for a period of 12 months. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the General Accounting Office, the Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0401-0-1-754.

Obligations:

Project Grants FY 20 \$34,650,627.00; FY 21 est \$40,000,000.00; FY 22 est \$40,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: RISS is composed of six regional centers and the RISS Technology Support Center (RTSC). RISS works regionally and on a nationwide basis to respond to the unique crime problems of each region while strengthening the country's information sharing environment. More than 9,400 local, state, federal, and tribal law enforcement and public safety agencies are members of RISS. RISS is used and trusted by hundreds of thousands of law enforcement officers and criminal justice professionals in all 50 states, the District of Columbia, U.S. territories, England, New Zealand, and parts of Canada. This program offers secure information sharing and communications capabilities, critical analytical and investigative support services, and event deconfliction to enhance officer safety and supports efforts against organized and violent crime, gang activity, drug activity, terrorism and violent extremism, human trafficking, identity theft, cybercrime, and other regional priorities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None. Summary information about each RISS Center is available on the central RISS Website. See <http://www.riss.net>.

Headquarters Office:

Program Manager David P. Lewis,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,

ASSISTANCE LISTINGS DESCRIPTIONS

Washington DC 20531
Email: david.p.lewis@usdoj.gov
Phone: 202-616-6500
Website Address:
<http://www.riss.net>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Visit www.riss.net, RISSs public website provides information regarding RISSs resources, programs, and technology initiatives.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.614 State and Local Anti-Terrorism Training

SLATT,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

34 USC Code 10157(a)(2). Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93

OBJECTIVES:

The goal of the State and Local Anti-Terrorism Training (SLATT) initiative is to support/address a priority of the DOJ to prevent, disrupt, and defeat terrorist operations before they occur by integrating intelligence and law enforcement efforts to achieve a coordinated response to terrorist threats. SLATT assists state, local, and tribal law enforcement in identifying, investigating, and preventing criminal acts of terror by providing training, technical assistance, and resources at the national level that build law enforcements knowledge and capacity to identify and respond to possible domestic terrorism. The goal of SLATT is accomplished through achieving the following programmatic objectives: Increase the knowledge and capacity of local, state, and tribal criminal justice practitioners to detect, investigate, and interdict violent domestic terrorist acts through training. Increase the ability and capacity of criminal justice practitioners to solve problems and improve policies/practices related to terrorism through short-term and comprehensive technical assistance. Increase the volume of information provided to BJA and the criminal justice community.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Dissemination of Technical Information, Project Grants, Training

USES AND USE RESTRICTIONS:

Grant program funds are intended to: (1) provide state, local, tribal, and territorial law enforcement (including prosecution authorities) a general awareness and working knowledge of domestic terrorist and extremist movements; (2) disseminate information relating to vital elements of law enforcement anti-terrorism preparedness and readiness; and (3) provide information on essential elements requisite to conducting criminal investigations and prosecutions of terrorist threats and incidents.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; For-profit (commercial) organizations; nonprofit organizations; faith-based and community organizations; institutions of higher education; and consortiums with significant and demonstrated experience in terror prevention strategies and in delivering training and technical assistance to law enforcement and tribal communities are eligible to apply. For-profit organizations must agree to waive any profit or fees for services. Joint applications from a consortium must identify the primary applicant and co-applicant(s), and letters of support that outline the partners' responsibilities must be provided.

Beneficiary Eligibility:

State; Local; State, local, and tribal criminal justice agencies are the primary beneficiaries of this program.

Credentials/Documentation:

All applicants are required to submit an application for assistance, as well as a detailed program and budget narrative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: https://www.whitehouse.gov/wp-content/uploads/2017/11/Intergovernmental_Review_-_SPOC_01_2018_OFFM.pdf.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via DOJ's Justice Grants System (JustGrants) or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will generally be approved or denied within 60 days of receipt of a completed application.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application. Please see 28 CFR Part 18.

Renewals:

Other. Renewal is contingent upon: (1) Congressional funding; and (2) Justification. An awardee must submit a written request justifying the need for the extension and how an extension would be consistent with the objectives of the grant within 60 days of expiration.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 - 24 months Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Payments and transactions are subject to audits by the General Accounting Office,

ASSISTANCE LISTINGS DESCRIPTIONS

Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0401-0-1-754.

Obligations:

Project Grants FY 20 \$1,500,000.00; FY 21 Estimate Not Available; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: There has been extensive information gathering on the current state of domestic terrorism as well as the influence that international terrorism is having on domestic security. This entailed a comprehensive literature review as well as a series of information-gathering and collaborative meetings with others who work extensively in the field of counterterrorism. There has been close coordination with federal partners who are also working in the counterterrorism space and, based on all the gathered information and close coordination, SLATT has recently undergone extensive updating and redesign. A new, innovative, and behavior-changing curriculum has been developed that focuses on what each training participant needs to know for the role that they play within anti-terrorism prevention, identification, interdiction, and investigation.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Senior Policy Advisor Deborah Meader,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, N.W.,
Washington DC 20531
Email: AskBJA@usdoj.gov
Phone: 202-616-6500 or (1-866) 859-2647

Website Address:

<http://www.ojp.usdoj.gov/training/training.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.615 Public Safety Officers' Educational Assistance

(PSOEA),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Educational Assistance to Dependents of Civilian Federal Law Enforcement Officers Killed or Disabled in the Line of Duty, codified at 34 U.S.C. 10301 10308 (as reclassified effective September 1, 2017), formerly classified to sections 3796d 3796d-7 of Title 42, The Public Health and Welfare., Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

The PSOEA Program, an expansion of the Federal Law Enforcement Dependents Assistance (FLEDA) Program, provides financial assistance for higher education to the spouses and children of public safety officers killed in line of duty. The PSOEA Program also makes assistance available to spouses and children of public safety officers permanently and totally disabled by catastrophic injuries sustained in the line of duty. Under the PSOEA Program, the families of state and local police, fire, and emergency public safety officers are covered for line-of-duty deaths that occurred on or after January 1, 1978. The families of federal law enforcement officers and firefighters are covered for line of duty deaths occurring on or after January 1, 1978. The effective date for families of permanently and totally disabled Federal law enforcement officers is October 3, 1996. Families of state, local, and tribal police, fire, and emergency public safety officers are covered for line-of-duty permanent and totally disabling injuries that occurred on or after November 13, 1998. Families of Federal Emergency Management Agency (FEMA) personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000. Families of certain National Disaster Medical System appointees (described in more detail below) are covered for injuries sustained on or after June 24, 2019, and before October 1, 2021; coverage for the families of Department of Energy employees or contractors serving as a nuclear materials courier or as a member of an emergency response team (described in more detail below) applies to injuries occurring on or after January 21, 2021.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Program funds are intended solely to defray eligible applicants' educational expenses, which may include tuition, fees, room and board, books, supplies, and other expenditures consistent with the educational, professional, or vocational objectives of the applicant. Funds are restricted to defray above costs only.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Spouses and children who attend a program of education at an eligible institution and are the spouse and/or surviving children under the age of 27 of federal, state, and local public safety officers whose deaths or permanent and totally disabling injuries are covered by the Public Safety Officers' Benefits (PSOB) Program (34 U.S.C. 10281-10288) are eligible for this program. The maximum age of eligibility for surviving children will be extended by the amount of time a claim for death, disability, or education benefits has been pending before the Bureau of Justice Assistance in excess of one year. A public safety officer is (A) an individual serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, as a firefighter, or as a chaplain; (B) an employee of the Federal Emergency Management Agency who is performing official duties of the Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the Administrator of the Federal Emergency Management Agency to be hazardous duties; (C) an employee of a State, local, or tribal emergency management or civil defense agency who is performing official duties in cooperation with the Federal Emergency Management Agency in an area, if those official duties (i) are related to a major disaster or emergency that has been, or is later, declared to exist with respect to the area under the Robert T. Stafford Disaster Relief and Emergency Assistance Act; and (ii) are determined by the head of the agency to be hazardous duties; or (D) a member of a rescue squad or ambulance crew who, as authorized or licensed by law and by the applicable agency or entity, is engaging in rescue

ASSISTANCE LISTINGS DESCRIPTIONS

activity or in the provision of emergency medical services.(E) an individual appointed to the National Disaster Medical System under section 300hh-11 of Title 42 who is performing official duties of the Department of Health and Human Services, if those official duties are (i) related to responding to a public health emergency or potential public health emergency, or other activities for which the Secretary of Health and Human Services has activated such National Disaster Medical System; and (ii) determined by the Secretary of Health and Human Services to be hazardous; and (F) an employee or contractor of the Department of Energy who(i) is--(I) a nuclear materials courier (as defined in section 8331(27) of Title 5); or (II) designated by the Secretary of Energy as a member of an emergency response team; and (ii) is performing official duties of the Department, pursuant to a deployment order issued by the Secretary, to protect the public, property, or the interests of the United States by--(I) assessing, locating, identifying, securing, rendering safe, or disposing of weapons of mass destruction (as defined in section 2302 of Title 50); or (II) managing the immediate consequences of a radiological release or exposure.

Beneficiary Eligibility:

Individual/Family: The spouse and surviving children of federal, state, and local public safety officers receive the ultimate benefits from this program. The children may receive benefits for classes taken before their 27th birthday. (The maximum age of eligibility for surviving children will be extended by the amount of time a claim for death, disability, or education benefits has been pending before the Bureau of Justice Assistance in excess of one year.) There is no age restriction for spouses.

Credentials/Documentation:

Documentation needed to apply online for assistance includes: the PSOEA Prescreen Application and Payment Application; educational transcripts; tuition/fees statements; acceptance letters to educational/professional institutions; schedule of classes; verification of full- or part-time status; and certification of other educational assistance. Contact the PSOB office at 1-888-744-6513 or by e-mail at AskPSOB@usdoj.gov for full credential/documentation requirements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants may initiate an application by filing online at www.psob.gov, telephoning, or writing the PSOEA Program, PSOB Office, Bureau of Justice Assistance, 810 7th Street, N.W., Washington, DC 20531, (1-888) 744-6513 or AskPSOB@usdoj.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit a fully executed application to the PSOEA Program, PSOB Office, Bureau of Justice Assistance, 810 7th Street, N.W., Washington, DC 20531. For further information please visit the OJP BJA PSOB website at <https://www.psob.gov/index.html>.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, claimants are typically awarded funds for the current or upcoming term, and they may apply for the following term once the earlier term has been completed. Funds can also be received for multiple terms at one time if they have already been completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Dependent upon validity of claim and submission of required documentation.

Appeals:

Other. Applicants may appeal within 33 days of denial notification. The appeal process consists of a review by an independent hearing officer.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for the equivalent of 45 months of full-time assistance, or for a proportional period of time for a part-time program. Assistance is typically awarded in 4-month installments to coincide with the length of a school term.

Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

15-0403-0-1-754.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$4,339,369.00; FY 21 est \$24,800,000.00; FY 22 est \$34,800,000.00;

Range and Average of Financial Assistance:

For classes taken since October 1, 2020, the rates of assistance are \$1,265 per month for full-time students, \$1,000 for three-quarter-time students, \$734 for half-time students, and \$316.25 for less-than-half-time students. The amount of assistance is subject to change consistent with the current computation of educational assistance allowance set forth in Title IV of the Higher Education Act, Section 3532 of Title 38, U.S.C.

TAFS Codes:

15-0403.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Bureau of Justice Assistance's Public Safety Officers' Educational Assistance Program Fact Sheet (April 2011) at

https://www.bja.gov/Publications/PSOB_FS.pdf.

Regional or Local Office:

Headquarters Office:

Benefits Specialist Hope Janke,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: AskPSOB@usdoj.gov
Phone: 1-888 744-6513 or (202) 616-6500

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=78

RELATED PROGRAMS:

16.576 Crime Victim Compensation; 16.575 Crime Victim Assistance; 16.571 Public Safety Officers' Benefits Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.710 Public Safety Partnership and Community Policing Grants

COPS Office,

FEDERAL AGENCY:

COMMUNITY ORIENTED POLICING SERVICE, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. 3796dd, as amended; Violent Crime Control and Law Enforcement Act of 1994, Title I, Part Q, Public Law 103-322.,

OBJECTIVES:

The COPS Office was established in 1994 to assist state, local and tribal law enforcement agencies in enhancing effectiveness and building the capacity to advance public safety through the implementation of community policing strategies. Community policing entails developing partnerships between law enforcement agencies and the communities they serve so they can work collaboratively to resolve problems and build community trust. It is a philosophy that promotes organizational strategies that support the systematic use of partnerships and problem solving techniques, in order to proactively address the immediate conditions that give rise to public safety issues such as crime, social disorder, fear of crime, and satisfaction with police services. Community policing is comprised of three key components: Community Partnerships Collaborative partnerships between the law enforcement agency and the individuals and organizations they serve to develop solutions to problems and increase trust in police; Other government agencies Community members/groups Nonprofits/service providers Private businesses Media Organizational Transformation The alignment of organizational management, structure, personnel, and information systems to support community partnerships and proactive problem solving; Agency management Climate and culture Leadership Labor relations Decision-making Strategic planning Policies Organizational evaluations Transparency Organizational structure Geographic assignment of officers Despecialization Resources and finances Personnel Recruitment, hiring, and selection Personnel supervision/evaluations Training Information systems (Technology) Communication/access to data Quality and accuracy of data Problem Solving The process of engaging in the proactive and systematic examination of identified problems to develop and rigorously evaluate effective responses: Scan: Identify and prioritize problems Analysis: Research what is known about the problem Response: Develop solutions to bring about lasting reductions in the number and extent of problems Assessment: Evaluate the success of the responses Use the crime triangle to focus on immediate conditions (victim/offender/location)

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Since 1994, the COPS Office has invested approximately \$14.9 billion to add officers to the nation's streets; enhance crime fighting technology; support crime prevention initiatives; promote police reform; and provide training and technical assistance to help advance community policing. The programs and initiatives developed have provided funding to more than 13,000 of the nation's 16,000 law enforcement agencies. Through these efforts, the COPS Office has helped create a community policing infrastructure across the nation. In FY 2017, COPS Office will fulfill its mission of advancing public safety through community policing by continuing to: Provide grants under the COPS Hiring Program (CHP) to support the hiring of sworn and non-sworn law enforcement personnel nationwide; Promote the hiring of veterans into non-sworn law enforcement positions; Continuing oversight and coordination of the National Blue Alert System, created under the Rafael Ramos and Wenjian Liu National Blue Alert Act of 2015. The system is designed to rapidly disseminate information to law enforcement, the media and the public to aid in the apprehension of violent criminals who pose a risk to law enforcement; Advancing the work of the national Officer Safety and Wellness (OSW) Group, which brings together representatives from law enforcement, federal agencies, and the research community to focus attention on this critical aspect of public safety. Semi-annual meetings of the OSW Group help amplify critical officer safety issues and result in reports which highlight best practices around different safety and wellness topics; Provide grants under the Coordinated Tribal Assistance Solicitation to support the implementation of community policing and meets the needs of law enforcement in Tribal Nations; Provide grants under the Preparing for Active Shooter Situations to support training providers who can offer integrated, scenario-based response courses as described in the 2016 Police Act; Provide grants under the COPS Anti-Meth Task Force and COPS Anti-Heroin Task Force to support law enforcement agencies with multijurisdictional reach interdisciplinary team structures; Provide grants under the COPS Community Policing Development program to advance community policing through training and technical assistance, the development of innovative community policing strategies, applied research, guidebooks and best practices. Applicants may select

from the following topics: Cooperative Partnerships with federal law enforcement to combat illegal immigration, officer safety and wellness resources, enhancing officer safety through increased respect for police, critical response technical assistance, and online training development; Develop, deliver, and continue to evaluate state-of-the-art training and technical assistance to enhance law enforcement officers problem-solving and community interaction skills; Expanding the number of sites reached by the Collaborative Reform Initiative for Technical Assistance; Align training and technical assistance to problems identified in the officer hiring program; Promote improved public safety outcomes by infusing community policing core principles in all grant programs, and acting on evidence that it advances public safety; Support innovative programs that respond directly to the emerging needs of state, local, and tribal law enforcement in order to shift law enforcements focus to preventing, rather than reacting to, crime and disorder within their communities; Provide responsive, cost effective customer service delivery to grantees to ensure success in implementing community policing strategies within their communities; and 100% of COPS funds are discretionary funds.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Beneficiary Eligibility:

U.S. Territories; Profit organization; Private nonprofit institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Credentials/Documentation:

Documentation varies by program, but may include proof of primary law enforcement authority, any specified Memorandums of Understanding, and Certifications, Assurances and Disclosure of Lobbying Activities 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant submits application and budget forms as well as other required documentation (including required assurances, certifications, retention information, lobbying, etc.) prescribed by the grantor agency and approved by OMB, as outlined in the Application Guide. Application Guides are available from the grantor agency. At the time of submission, original or certified electronic signatures of duly authorized representatives (usually the Law Enforcement and Government Executive). The COPS Office offers the option of applying for COPS Grants online through the COPS website and using the collaborative, multiple-agency E-government

ASSISTANCE LISTINGS DESCRIPTIONS

initiative Grants.gov. If applicants apply online, the agency is required to complete the mandatory online forms and submit the application through their Authorized Organizational Representative.

Award Procedure:

Upon approval by the Director, Office of Community Oriented Policing Services, award notification letters will be sent to grantees. The grant award must be signed by a duly authorized representative and returned to the designated office within 90 days of the award mail date.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Typically between 4-6 months after receipt of application.

Appeals:

Other. See 28 CFR Part 18.

Renewals:

Other. COPS grants are made for between 1 and 3 years depending on the program. Renewals and/or extensions may be available under some grant programs and will be considered on a case-by-case basis. If available, extensions should be requested not sooner than 90 days prior to the expiration of the original project period.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. There is no local match requirement for CHP (FY 2010 and FY 2011 only) Anti-Heroine Task Force, CAGI, CAMP, CSPP, Tech, Meth, SSI, CPD, CRI-TA, TRGP-Hiring, TRGP-E/T and Tribal Meth. UHP and Tech recipients (FY 2007 Tech recipients only) must contribute at least 25 percent of allowable project costs, unless a local match waiver is approved by the COPS Office (42 USC 379dd(g)).SOS recipients must contribute at least 50 percent of allowable project costs (42 USC 3797a(d)).FY 2012 to FY 2015, CHP recipients must contribute a minimum of 25 percent of the allowable project costs, unless a local match waiver is approved by the COPS Office.This program has MOE requirements, see funding agency for further details. Additional Information:

Length and Time Phasing of Assistance:

COPS grants are made for between 1 and 3 years depending on the program. Assistance is released on a reimbursement or limited advance basis. Method of awarding/releasing assistance: other

Reports:

Annual programmatic reports and quarterly financial status reports will be required as stipulated in the effective edition of the relevant grant owner's manual distributed by the grantor agency. Other reporting requirements, such as a final progress report and a closeout report may also be required depending on the grant program. Grantees are required to submit quarterly SF-425 financial expenditure reports for each individual COPS grant awarded. COPS uses a risk-assessment model to determine on-site monitoring and desk reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, and all other records pertinent to a grant shall be retained for a period of 3 years following the conclusion of Federal assistance.

Account Identification:

15-0406-0-1-754.

Obligations:

Project Grants FY 16 \$167,422,943.00; FY 17 Not Available; FY 18 Not Available;

Range and Average of Financial Assistance:

Range: \$10,390.00 - \$3,513,921.00Average award: \$167,547.00

TAFS Codes:

15-0412.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Guides and current edition of M7100.1, which are available from Headquarters.

Regional or Local Office:

Headquarters Office:

Management and Program Analyst David Neely,
145 N St., NE.,
Washington DC 20530
Email: david.neely2@usdoj.gov
Phone: 2025148553

Website Address:

<http://www.cops.usdoj.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are set forth in the Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322. Additional criteria may be developed by the Office of Community Oriented Policing Services and will be published in COPS application materials.

16.726 Juvenile Mentoring Program

Mentoring.

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

34 USC 11171-11172 and an act appropriating funds for the Department of Justice in the current fiscal year.

OBJECTIVES:

To improve outcomes for at-risk and high-risk youth, and reduce negative outcomes (including juvenile delinquency, substance use, and gang participation) through the provision of mentoring services.1.To increase the capacity of state, local, community, tribal and national organizations to develop, implement, and expand youth mentoring efforts that incorporate evidence-based findings of best practices and principles. funding to state, local, community, and national organizations to propose the enhancement or expansion of initiatives that will assist in the development and maturity of community programs to provide mentoring services to high-risk populations 2.To increase opportunities for at-risk and high-risk youth to have mentors.3.To align the mentoring practices with research and evidence on effective mentoring approaches. that are underserved due to location, shortage of mentors, special physical or mental challenges of the targeted population, or other analogous situations identified by the community in need of mentoring services.4.To develop and maintain a national resource on mentoring research, practice and effectiveness.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To be eligible for an Award under the Juvenile Mentoring Program, an applicant must: (1) respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for proper program administration, evaluation, and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are limited to states (including territories), units of local government, federally recognized tribal governments as determined by the Secretary of the Interior, nonprofit organizations, and for-profit organizations (including tribal nonprofit and for-profit organizations), as well as institutions of higher education (including tribal institutions of higher education). For-profit organizations (as well as other recipients) must agree to forgo any profit or management fee.

Beneficiary Eligibility:

Federal; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; State; Public nonprofit institution/organization; Not applicable

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See program announcement regarding preapplication coordination (if any), environmental impact information, and coverage under E.O. 12372. Generally, E.O. 12372 does not apply to grants for technical assistance, research that is national in scope, or research that is not designated to benefit a particular state. Application Procedures (092): Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies by project

Appeals:

Not Applicable. There are no appeal rights for the denial of a discretionary application

Renewals:

Not Applicable. See applicable program announcement.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. Method of awarding/releasing assistance: letter

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

Generally, all financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

Project Grants FY 20 \$85,845,841.00; FY 21 est \$11,650,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Award amounts vary according to solicitation.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Office awarded more than \$81 million to 29 organizations across 18 states and the District of Columbia.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2015 (https://ojp.gov/financialguide/DOJ/pdfs/2015_DOJ_FinancialGuide.pdf) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention,
Washington DC 20531
Email: Jennifer.Yeh@usdoj.gov
Phone: (202) 6169135

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

<http://www.ojjdp.ncjrs.gov>

RELATED PROGRAMS:

16.609 Community Prosecution and Project Safe Neighborhoods;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.731 Tribal Youth Program

(TYP),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

The Juvenile Justice Delinquency Prevention Act, 34 USC 11171-11172; an act appropriating funds for the Department of Justice..

OBJECTIVES:

Through this program, the Department seeks to assist tribes in responding to myriad issues facing tribal nations. This includes creating, expanding, or strengthening tribally-driven approaches along the juvenile justice continuum that can range from prevention to intervention and treatment. In addition to programmatic funding, this program funds a robust training and technical assistance program

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Population of youth served under this grant must not include youth who are age 18 or older.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Only federally recognized Indian tribes, as determined by the Secretary of Interior, may apply. This includes Alaska Native villages and tribal consortia consisting of two or more federally recognize Indian tribes. Applicants will be asked to submit documentation reflective of their legal authority to apply for funds on behalf of their tribes. Recognizing that tribes have different forms of tribal governance and tribal laws vary, no prescribed form of documentation will be required.

Beneficiary Eligibility:

Native American Organizations; Federally Recognized Indian Tribal Governments; Not applicable

Credentials/Documentation:

Each applicant must submit a completed application, including signed assurances that it will comply with statutory and administrative requirements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination may be required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. varies by project

Appeals:

Other. See 28 C.F.R. Part 18

Renewals:

Not Applicable. See applicable program announcement

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made for 36 months. Method of awarding/releasing assistance: letter

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of 3 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements, 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$4,607,763.00; FY 21 est \$10,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: An award of \$1.3 million to the Tribal Youth Resource Center supported training and technical assistance for tribal grantees and federally recognized tribes. The center offers regional, web-based, and onsite training as well as telephone consultations on topics ranging from court development to grant compliance. The award also helped tribes increase youth engagement and leadership encouraging youth to support delinquency prevention and public safety efforts in Indian country

REGULATIONS, GUIDELINES, AND LITERATURE:

See program announcement and Uniform Administrative Requirements, 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and
Delinquency Prevention 810 7th Street, NW,
Washington DC 20531

Email: kara.McDonagh@usdoj.gov

Phone: (202) 305-1456

Website Address:

<http://www.ojjdp.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities and applicable laws.

16.734 Special Data Collections and Statistical Studies

Statistics.

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Under section 302 of the Omnibus Crime Control and Safe Streets Act, BJS is authorized to provide for the development of justice information systems programs and assistance to the States and units of local government relating to the collection, analysis, or dissemination of justice statistics., Title Omnibus Crime Control and Safe Streets Act of 1968, Section 302 (34 U.S.C. 10131, 10132 (c))

OBJECTIVES:

GOAL: To produce and disseminate relevant, accurate, timely, reliable, and objective criminal justice information. These data are critical to federal, state, and local policymakers in combating crime and ensuring that justice is both efficient and evenhanded.OBJECTIVE:Collect, analyze, publish, and disseminate information on crime, criminal offenders, victims of crime, and the operation of justice systems at all levels of governmentAdhere to rigorous principles and practices to support the quality of BJS's statistical activitiesProtect the privacy and confidentiality of respondents and the trust of data providersComply with applicable Federal laws, regulations, policies, and other authorities that govern BJS data

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for a variety of activities in support of BJS's statistical programs including: to conduct data collection and processing activities; carry out statistical and methodological research; provide technical assistance to State, local, and tribal governments to develop their capabilities to produce justice statistics; provide dissemination and clearinghouse functions, and other information services to data users.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit

organizations, institutions of higher education, and qualified individuals.

Applicants from the Territories of the United States and federally recognized Indian Tribal Governments are also eligible to participate in this program.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, all of the requirements listed in the solicitation, including a detailed budget, a budget narrative, and a program narrative including the accomplishments, goals, impact, methods, evaluation plan, and resources of the project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via Office of Justice Programs Justice Grants System (JustGrants) or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are notified via OJP's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Hearings by the Director.

Renewals:

Other. Most awards are for periods of 12-36 months. Under some circumstances, subsequent award(s) may be made to continue the project.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project duration period is generally 12 months. Assistance is released by "direct disbursement" at the request of the grantee. Method of awarding/releasing assistance: other

Reports:

Progress Reports presenting information relevant to the performance of the project must be submitted online through GMS for the reporting periods June 30 and December 31. Deliverables, including any program reports, are normally specified in the solicitation Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award must be submitted quarterly online through GMS. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$40,589,808.00; FY 21 est \$45,000,000.00; FY 22 est \$45,000,000.00;

Range and Average of Financial Assistance:

\$50,000 to \$2,000,000

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: BJS anticipates that it will make about 4 awards in FY 2021 and that, on average, between 1 to 6 applications will be submitted for each funding assistance listing. To date, all states, the District of Columbia, and U.S. territories with SACs, established by state legislation or executive order, have received funds under the SJS-SAC program. Detailed information about the history of the SJS-SAC program is available at www.bjs.gov/index.cfm?ty=tp&tid=48. To view special data collections and statistical studies, please visit <https://bjs.ojp.gov/>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Regional or Local Office:

None.

Headquarters Office:

Allina Lee,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Statistics
810 7th Street, NW,
Washington DC 20531
Email: AskBJS@usdoj.gov
Phone: 202-307-0765

Website Address:

<http://www.bjs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 BJS will continue its mission to collect, analyze, publish, and disseminate information on crime, criminal offenders, victims of crime, and the operation of justice systems at all levels of government. In FY 2021, BJS plans to support various data collection efforts to provide accurate and timely data. For example, BJS plans to collect data on law enforcement training academies to provide national statistics about recruits, curricula, staff, and resources. BJS also plans to support efforts to develop a statistical infrastructure around victim services and address major gaps in knowledge about the availability and use of services to support victims of crime. BJS anticipates supporting efforts to conduct a pilot test of a new jail-data collection of individual-level inmate administrative records, and to continue its efforts to compile statistical information on defendants processed across stages of the federal criminal justice system.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be outlined in the program announcements or funding opportunity announcements (FOAs). Program announcements or FOAs can be found on BJS website at <http://bjs.ojp.usdoj.gov/index.cfm?ty=fun>.

16.735 PREA Program: Strategic Support for PREA Implementation

Prison Rape Elimination Act (PREA),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Prison Rape Elimination Act, , Public Law 108-79, 34 USC Code 30301, et seq.. Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93, Consolidated Appropriations Act, 2021, Public Law 116-260, Statute 134-1182, 1258, Title Consolidated Appropriations Act, 2021, , Statute 134-1182,1258, Public Law 116-260

OBJECTIVES:

The goal of this program is to support efforts in the confinement facilities that are covered by the PREA Standards to achieve compliance with the standards. The programs objectives are to: 1.Reduce sexual abuse in confinement facilities. 2.Increase staff capacity for preventing sexual abuse in confinement facilities. 3.Promote integration of the PREA standards into the day-to-day operations and cultures of confinement facilities. 4.Identify and document innovations and promising practices in order to inform similar efforts across the nation. 5.Create cultures of zero tolerance for sexual abuse in confinement facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

The PREA Program supports projects with program design elements that are intended to reduce sexual victimization in correctional facilities, promote a zero tolerance environment, and assist confinement facilities in meeting the requirements of the PREA standards. Grants may be used to support the following types of design elements as part of a comprehensive approach:Strategies to promote and institutionalize agency and facility staff buy-in for new policies, procedures, and practices that address sexual abuse. Designation of staff positions responsible for PREA implementation.Facility-specific staffing plans to reduce the likelihood of sexual abuse that result from examining current staffing levels and patterns, the sizes and compositions of inmate/resident/detainee populations, and the physical layouts of facilities. Methods to report sexual abuse when it occurs in confinement facilities.Intake, screening, and classification processes designed to identify potential sexual abuse victims and perpetrators, and to make housing, placement, and programming decisions in response.Inmate/Detainee/Resident education programs on topics such as available sexual abuse reporting mechanisms and services available to victims.Sexual abuse response protocols which include protections for victims and ensure prompt, thorough, and objective investigations of all allegations.Electronic inmate/detainee/resident management systems designed to track abusers and victims effectively. Formal partnerships between confinement agencies/facilities and community-based organizations such as rape crisis centers and sexual abuse coalitions, intended to provide services and support to victims.Victim-centered approaches at the agency and/or facility levels designed to address the needs of sexual abuse victims effectively.Efforts to prevent and address secondary trauma among agency and/or facility staff members.Agency/facility staff training on preventing, detecting, and responding to sexual abuse, and building skills necessary to achieve PREA implementation.Strategies to increase collaboration between confinement agencies/facilities, and prosecutors and the courts to increase the likelihood that sexual abuse that occurs in confinement is successfully prosecuted. Agency-wide plans to collect, analyze, and report data about sexual abuse in confinement facilities covered by the PREA standards.Processes that leverage technology to improve efforts to prevent, detect, and respond to sexual abuse (e.g., incident mapping technologies to identify locations within confinement facilities where inmates/residents/detainees and staff members are vulnerable, and taking steps to mitigate these vulnerabilities).Plans to purchase limited equipment and/or supplies (using less than 40 percent of the total federal award amount) that are necessary to prevent, detect, or respond to sexual abuse Applicants proposing to use federal funds on equipment and/or supplies must thoroughly describe how these expenditures are part of a larger, comprehensive PREA implementation strategy.Analyses of confinement facility blueprints to identify vulnerable areas and implement strategies, which implement technology such as concealed video, alterations to facility layouts, and data analysis, in order to better protect

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inmates/residents/detainees, and staff. Actions related to conducting PREA audits, such as preparing for audits, carrying out mock or practice audits, selecting and entering into contracts/agreements with DOJ-certified PREA auditors, and covering the costs associated with audits and corrective action plans.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are states, units of local government, and federally-recognized Indian tribal governments (as determined by the Secretary of the Interior).

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments;

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes: (1) program goals; (2) implementation process; (3) timetable for implementation; and (4) documentation that includes organizational experience, name of grantee agency or fiscal agent, identification of management structure, letters of support/commitment and/or memoranda of understanding, and description of previous collaboration. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The applicant is also required to submit documentation that includes organizational experience, name of grantee agency or fiscal agent, identification of management structure, letters of support/commitment and/or memoranda of understanding, and description of previous collaboration. See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit a completed Standard Form 424 and other information outlined in the Application Kit to the Office of Justice Programs, Bureau of Justice Assistance. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Applications must be submitted on-line through the DOJ's Justice Grants System (JustGrants). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory.

Federal funds awarded under this program may not cover more than 50 percent of the total costs of the project. Applicants must identify the source of the 50 percent non-federal portion of the total project costs and how they will use match funds. If a successful applicants proposed match exceeds the required match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit. (Match is restricted to the same uses of funds as allowed for the federal funds.) Applicants may satisfy this match requirement with cash, in-kind, or a combination of both. See Prison Rape Elimination Act, 34 USC 30301, et seq. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit Individual Project Reports as sub-awards are made, and an annual Evaluation Report. Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 .

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$16,696,201.00; FY 21 est \$15,500,000.00; FY 22 est \$15,500,000.00;

Range and Average of Financial Assistance:

For specifics, please see the current fiscal years solicitation available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since FY 2011, BJA has made more than 100 competitive grant awards to state, local, and tribal jurisdictions across the nation under the PREA Demonstration Grant Program. Totaling more than \$35 million, these grants are intended to promote the implementation of zero tolerance cultures in confinement facilities related to sexual abuse and sexual harassment, and to support efforts to achieve compliance with the PREA Standards. BJA anticipates making awards under this program in FY 2020 totaling up to \$4,500,000. Visit <https://bjajp.gov/sites/g/files/xyckuh186/files/media/document/fy2020-prea-grant-impact.pdf> for a list of awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Guide Financial Guide (<http://ojp.gov/financialguide/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

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Headquarters Office:

Policy Advisor Tom Talbot,
U.S. Department of Justice Office of Justice Programs Bureau of Justice
Assistance 810 7th Street, NW,
Washington DC 20531
Email: AskBJA@usdoj.gov
Phone: (202) 616-6500

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 For a list of awards, please visit <https://bja.ojp.gov/program/prison-rape-elimination-act-prea/overview>

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

16.736 Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault

(Transitional Housing).

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Prosecutorial Remedies and Other Tools to End the Exploitation of Children Today Act of 2003 (PROTECT Act), Public Law 108-21, 42 U.S.C. 13975; Violence Against Women and Department of Justice Reauthorization Act of 2005, Title II, Section 602, Public Law 109-62, as amended; Violence Against Women and Department of Justice Reauthorization Act of 2013, Title II, Section 202, Public Law 113-4.,

OBJECTIVES:

To provide transitional housing assistance and related support services to minors, adults, and their dependents who are homeless, or in need of transitional housing or other housing assistance, as a result of a situation of domestic violence, dating violence, sexual assault, or stalking; and for whom emergency shelter services or other crisis intervention services are unavailable or insufficient.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are available to provide transitional housing, including funding for the operating expenses of newly developed or existing transitional housing; short term housing assistance, including rental or utilities payment assistance and assistance with related expenses, such as payment of security deposits and other costs incidental to relocation to transitional housing; and support services designed to enable a minor, an adult, or a dependent of such minor or adult, who is fleeing a situation of domestic violence, dating violence, sexual assault, or stalking to locate and secure permanent housing and integrate into a community by providing that minor, adult, or dependent, with services, such as transportation, counseling, child care, case management, employment counseling, occupational training, job retention counseling, counseling concerning re-entry into the workforce, and other assistance. Participation in the support services must be voluntary. Receipt of the benefits of the housing assistance shall not be conditioned upon the participation of the youth, adults, or dependents in any or all of the support services offered them. Housing assistance is limited to 24 months with a possible extension for an additional 6 months if the client has made a good faith effort to acquire permanent housing and has been unable to acquire permanent housing.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are States, Indian tribal governments, units of local government, and other organizations, including domestic violence and sexual assault victim service providers, domestic violence and sexual assault coalitions, other nonprofit, nongovernmental organizations, or community-based and culturally specific organizations, that have a documented history of effective work concerning domestic violence, dating violence, sexual assault, or stalking.

Beneficiary Eligibility:

Anyone/general public; Women; Child (6-15); Youth (16-21); Beneficiaries include minors, adults, and their dependants who are homeless or in need of transitional housing or other housing assistance, as a result of a situation of domestic violence; and for whom emergency shelter services or other crisis intervention services are unavailable or insufficient.

Credentials/Documentation:

Applicants must provide assurances that supportive services offered to participants are voluntary and that refusal to receive such services shall not be grounds for termination from the program or eviction from the housing. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply through the on-line [grants.gov](https://www.grants.gov) portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 36 months. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable

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Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$25,135,181.00; FY 17 est \$25,379,034.00; and FY 18 est \$25,379,000.00;

Range and Average of Financial Assistance:

Range: \$200,000 to \$350,000

TAFS Codes:

15-409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.588 Violence Against Women Formula Grants; 16.590 Grants to Encourage Arrest Policies and Enforcement of Protection Orders; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the annual solicitation.

16.738 Edward Byrne Memorial Justice Assistance Grant Program

Byrne JAG Program,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Generally codified at 34 U.S.C. 10151-10726, including subpart 1 of part E (codified at 34 U.S.C. 10151 - 10158); see also 28 U.S.C. 530C(a), Title Title I of the Omnibus Crime Control and Safe Streets Act of 1968, , Public Law 90-35, 34 USC Code 10151-10726. Title Consolidated Appropriations Act, 2020, , Statue

133-2317, 2409, Public Law 116-93, 34 USC Code 10153(b). Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

The JAG Program provides states, tribes, and local governments with critical funding necessary to support a range of program areas including law enforcement, prosecution and court, prevention and education, corrections and community corrections, drug treatment and enforcement, planning, evaluation, and technology improvement, crime victim and witness initiatives and mental health programs and related law enforcement and corrections programs, including behavioral programs and crisis intervention teams. JAG funded projects may address crime through the provision of services directly to individuals and/or communities and through training and technical assistance to improve the effectiveness and efficiency of criminal justice systems, processes, and procedures.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

No JAG funds may be expended outside of JAG program areas (see the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). Even within these program areas, however, JAG funds cannot be used directly or indirectly for security enhancements or equipment for nongovernmental entities not engaged in criminal justice or public safety. JAG TTA: Provide field practitioners with training and technical assistance that support data-driven approaches to reduce crime, improve community safety, reduce recidivism, and prevent unnecessary confinement.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); JAG: All States, the District of Columbia, Guam, America Samoa, the Commonwealths of Puerto Rico, the Virgin Islands, and the Northern Mariana Islands. Units of local government are eligible consistent with established guidelines. JAG TTA: For-profit (commercial) organizations, nonprofit organizations (including tribal organizations), faith-based and community organizations, and institutions of higher education (including tribal institutions of higher education)

Beneficiary Eligibility:

U.S. Territories; State; Local; JAG grants are awarded to States, including the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, Guam, and American Samoa, as well as eligible units of local government (including tribes).

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements specified in the program guidance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: <https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted in a NEW two-step process: Step 1: Applicants must begin the application process by submitting an SF-424 and an SF-LLL in Grants.gov. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. NOTE: The application must be submitted by an applicant with a DUNS number associated with an entity that is eligible to apply in order to receive an award. See the Eligibility section for more information as well as the JAG FAQs

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for specific examples. Step 2: After submitting the SF-424 and an SF-LLL in Grants.gov, the application information and SF-424 and the SF-LLL forms will be transferred to JustGrants where the full application, including attachments, must be submitted. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The Bureau of Justice Assistance will not disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity for correction and reconsideration.

Appeals:

Other. For Formula awards, please see 28 CFR Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. Subject to annual appropriations.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter Subchapter V, Part A, Subpart 42 USC 3756, Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards of at least \$25,000 or more begin October 1 of the FY and are four years in length. Awards that are less than \$25,000 begin October 1 of the FY and are two years in length. Requests for up to two additional years to complete performance of the award will be granted automatically. Extensions beyond a four-year period for all JAG awards may be approved on a case-by-case basis at the discretion of BJA. JAG TTA: Varies by solicitation, see <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> for current JAG TTA solicitation opportunities. Method of awarding/releasing assistance: other

Reports:

Progress reports are required in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). Quarterly financial reports are required in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Formula Grants FY 20 \$367,510,474.00; FY 21 est \$484,000,000.00; FY 22 est \$513,500,000.00;

Range and Average of Financial Assistance:

For FY 2020 JAG Local Allocations by state please visit <https://bja.ojp.gov/program/jag/fy-2020-allocations-and-disparate-information>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Please visit <https://bja.ojp.gov/feature-stories> for feature stories highlighting how BJA grant funds are being used across the country and the impact the funding has had on the recipient agencies and organizations, as well as the communities they serve.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

See Regional Assistance Locations. Contact the State Administering Agency, listed at <http://www.ojp.usdoj.gov/saa/>

Headquarters Office:

Brenda M. Worthington,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, N.W.,
Washington DC 20531
Email: Brenda.Worthington@usdoj.gov
Phone: W: 202-305-7844 C: 202-598-0564
Fax:

Website Address:

http://www.bja.gov/ProgramDetails.aspx?Program_ID=59

RELATED PROGRAMS:

16.579 Edward Byrne Memorial Formula Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.739 National Prison Rape Statistics Program

PREA,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Prison Rape Elimination Act, , Public Law 108-79, 34 USC Code 10132; 30301 et seq.. Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93

OBJECTIVES:

GOAL(S): Deliver comprehensive statistical reviews and analyses of the incidence and effects of prison rape. OBJECTIVE(S): To collect and analyze data on the incidence of sexual assault among individuals held in Federal and State prisons, local jails, and juvenile facilities as well as former inmates.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Funds are to be used for a variety of activities in support of the Implementation of the National Prison Rape Statistics program including: to conduct data collection and processing activities, and carry out statistical and methodological research.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, all of the requirements listed in the solicitation, including details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Justice Grants System (JustGrants) or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Procedures for awarding funds are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. Most awards are for periods of 12 - 18 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project duration period is generally 12-36 months. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010,

Public Law 111352, recipients must provide data that measures the results of their work. Performance monitoring is included on the site visit check list.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0404-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$1,799,795.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

\$1,000,000 to \$10,000,000

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In November 2020, BJS published Victim, Perpetrator, and Incident Characteristics of Sexual Victimization of Youth in Juvenile Facilities, 2018 - Statistical Tables. In June 2021, BJS will publish o PREA Data Collection Activities, 2021oSurvey of Sexual Victimization in Adult Correctional Facilities, 2012-2018 - Statistical TablesoSexual Victimization Reported by Juvenile Justice Authorities, 2013-2018oSexual Victimization Reported by Adult Correctional Authorities, 2016-2018Also in June 2021, BJS will post an invited application solicitation for a supplement to the NIS-4 Jails collection.Later in 2021, BJS will publish Facility Characteristics of Sexual Victimization of Youth in Juvenile Facilities, 2018The NIS-4 Prisons and NIS-4 Jails data collections have been delayed because COVID has rendered in-person interviews of prisoners and jail inmates infeasible.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and OJP Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Amy D. Lauger,
Office of Justice ProgramsBureau of Justice Statistics810 7th Street, NW,
Washington DC 20531
Email: askBJS@usdoj.gov
Phone: 202-307-0765

Website Address:

<https://www.bjs.gov>

RELATED PROGRAMS:

16.735 Protecting Inmates and Safeguarding Communities Discretionary Grant Program ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

ASSISTANCE LISTINGS DESCRIPTIONS

16.741 DNA Backlog Reduction Program

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

An act appropriating funds for the Department of Justice in the current fiscal year and, beginning with fiscal year 2018 and in certain, specified subsequent years, Section 3(a) of P.L. 114-324, the Justice for All Reauthorization Act of 2016 (see program solicitation and award documentation for applicability), , Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statute 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: The overarching goal is to assist eligible States and units of local government to increase laboratory capacity and reduce the number of samples awaiting analysis in both the casework and database sections. Objectives: To assist eligible States and units of local government to process, record, screen, and analyze forensic DNA and/or DNA database samples to increase the capacity of public forensic DNA and DNA database laboratories to process more DNA samples, thereby helping to reduce the number of forensic DNA and DNA database samples awaiting analysis, and to use DNA analysis and other forensic technologies to assist with cold/unsolved cases and missing person/identified human remains cases. To assist States and units of local government to implement an evidence management program to inventory, track, and report untested and unsubmitted sexual assault kits (SAKs).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds for this program may be used for the following purposes: Salary and benefits of laboratory employees; Overtime for laboratory staff; Training; Travel (limited); Equipment; Laboratory supplies; Contracts; Direct administrative expenses; Costs associated with and including Accreditation; Software; and Laboratory Information Systems DNA modules or accessories. See the current fiscal years solicitation available at www.bja.gov.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; State; See the current fiscal years solicitation available at www.bja.gov

Beneficiary Eligibility:

State; Local; See the current fiscal years solicitation available at www.bja.gov

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. Current laboratory accreditation certificates are required from applicants; see current fiscal years solicitation available at www.bja.gov for requirements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Note: An environmental impact assessment is not required at the time of application (or pre application), but is necessary for removing a withholding of funds special condition after an award is made; see current fiscal years solicitation available at www.bja.gov for requirements.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. See the current fiscal years solicitation available at www.bja.gov Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

BJA may use internal peer reviewers (DOJ employees), external peer reviewers, or

a combination, to assess applications meeting basic minimum requirements on technical merit using the solicitations selection criteria. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General (Office of Justice Programs), who may consider factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance under prior OJP awards, and available funding when making awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See the current fiscal years solicitation available at www.bja.gov.

Appeals:

Other. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. Please contact the Bureau of Justice Assistance for information.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See the following for information on how assistance is awarded/released: See the current fiscal years solicitation available at www.bja.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the awards special conditions, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the awards special conditions, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

See 2 CFR 200 for audit requirements.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$91,254,772.00; FY 21 est \$141,000,000.00; FY 22 est \$147,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: See the BJA website at www.bja.gov.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 2 CFR Part 200, 2 CFR Part 2800, the current fiscal years solicitation available at www.bja.gov, and the Department of Justice Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Andrea Borchardt,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance,
Washington DC 20531
Email: Andrea.Borchardt@usdoj.gov
Phone: 2025981721
Fax: Carey A. Hendricks,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance,
Washington DC 20531
Email: Carey.Hendricks@usdoj.gov
Phone: 202-598-3188
Fax:

Website Address:

<https://www.usdoj.gov/bja>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 See the BJA website at www.bja.gov. Information on past awarded projects can be accessed at www.bja.gov/funding/awards/Pages/welcome.aspx.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at www.bja.gov

16.742 Paul Coverdell Forensic Sciences Improvement Grant Program

Coverdell Program,

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title I of the Omnibus Crime Control and Safe Streets Act of 1968, Part BB, Sections 2801 - 2806, Pub. L. No. 90-351, 34 USC 10561 - 34 USC 10566, Title Consolidated Appropriations Act, 2020, Statute 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, Statute 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: To improve the quality and timeliness of forensic science and medical examiner services. Among other things, funds may be used to eliminate a backlog in the analysis of forensic evidence and to train and employ forensic laboratory personnel and medicolegal death investigators, as needed, to eliminate such a backlog. Objectives: A State or unit of local government that receives a Coverdell grant must use the grant for one or more of these six purposes: 1. To carry out all or a substantial part of a program intended to improve the quality and timeliness of forensic science or medical examiner/coroners office services in the State, including those services provided by laboratories operated by the State and those operated by units of local government within the State. 2. To eliminate a backlog in the analysis of forensic science evidence, including, among other things, a backlog with respect to firearms examination, latent prints, impression evidence, toxicology, digital evidence, fire evidence, controlled substances, forensic pathology, questioned documents, and trace evidence. 3. To train, assist, and employ forensic laboratory personnel and medicolegal death investigators, as needed, to eliminate such a backlog. 4. To address emerging forensic science issues (such as statistics, contextual bias, and uncertainty of measurement) and emerging forensic science technology (such as high throughput automation, statistical software, and new types of instrumentation). 5. To educate and train forensic pathologists. 6. To fund medicolegal death investigation systems to facilitate accreditation of medical examiner and coroner offices and certification of medicolegal death investigators.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Under the Coverdell program, SAAs may apply for both base (formula) and competitive funds. Units of local government may apply for competitive funds. Coverdell SAAs and units of local government may apply directly to BJA for funding. Approximately 85 percent of the funds available for Coverdell grants will be allocated among eligible States based on population (State base funds). The Coverdell statute requires that the remaining 15 percent of program funds be awarded competitively. A State or unit of local government that receives a Coverdell grant must use the grant for one or more of these six purposes: 1. To carry out all or a substantial part of a program intended to improve the quality and timeliness of forensic science or medical examiner/coroners office services in the State, including those services provided by laboratories operated by the State and those operated by units of local government within the State. 2. To eliminate a backlog in the analysis of forensic science evidence, including, among other things, a backlog with respect to firearms examination, latent prints, impression evidence, toxicology, digital evidence, fire evidence, controlled substances, forensic pathology, questioned documents, and trace evidence. 3. To train, assist, and employ forensic laboratory personnel and medicolegal death investigators, as needed to eliminate such a backlog. 4. To address emerging forensic science issues (such as statistics, contextual bias, and uncertainty of measurement) and emerging forensic science technology (such as high throughput automation, statistical software, and new types of instrumentation). 5. To educate and train forensic pathologists. 6. To fund medicolegal death investigation systems to facilitate accreditation of medical examiner and coroner offices and certification of medicolegal death investigators. Funds may be used for personnel, computerization, laboratory equipment, supplies, accreditation, education, training, certification, facilities (the Coverdell law limits the amount of funds that may be used for the costs of a new facility or facilities), and administrative expenses (not to exceed 10 percent of the total amount of a Coverdell grant).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Under the Coverdell program, SAAs may apply for both base (formula) and competitive funds. Units of local government may apply for competitive funds. Coverdell SAAs and units of local government may apply directly to BJA for funding. The Coverdell law (at 34 USC 10562) requires that, to request a grant, an applicant for Coverdell funds must submit: 1. A certification and description regarding a plan for forensic science laboratories. Each applicant must submit a certification that the State or unit of local government has developed a plan for forensic science laboratories under a program intended to improve the quality and timeliness of forensic science or medical examiner services in the State, including such services provided by the laboratories operated by the State and those operated by units of local government within the State. Applicants must also specifically describe the manner in which the grant will be used to carry out that plan. 2. A certification regarding use of generally accepted laboratory practices. Each applicant must submit a certification that any forensic laboratory system, medical examiners office, or coroners office in the State, including any laboratory operated by a unit of local government within the State, that will receive any portion of the grant amount (whether directly or through a subgrant) uses generally accepted laboratory practices and procedures established by accrediting organizations or appropriate certifying bodies. 3. A certification regarding forensic science laboratory accreditation. Each applicant must submit a certification that any forensic science laboratory system in the State (except with regard to any medical examiners office, or coroners office in the State), including any laboratory operated by a unit of local government within the State, that will receive any portion of the grant amount (whether directly or through a subgrant) either is accredited, or, is not so accredited, but will (or will be required in a legally binding and enforceable writing to) use a portion of the grant amount to prepare and apply for such accreditation not more than two (2) years after the date on which a grant is awarded under a fiscal years grant solicitation for the Paul Coverdell Forensic Science Improvement Grants Program. 4. A certification and description regarding costs of new facilities. Each applicant must submit a certification that the amount of the grant used for the costs of any new facility constructed as part of a program to improve the quality and timeliness of forensic science or medical examiner services will not exceed certain limitations set forth in the Coverdell law at 34 USC 10562. (See information on permissible expenses in the solicitation.) Applicants must also specifically describe any new facility to be constructed as well as the

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estimated costs of the facility.5. A certification regarding external investigations into allegations of serious negligence or misconduct. Each applicant must submit a certification that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct substantially affecting the integrity of the forensic results committed by employees or contractors of any forensic laboratory system, medical examiner office, coroners office, law enforcement storage facility, or medical facility in the State that will receive a portion of the grant amount.

Beneficiary Eligibility:

State; Local; Eligible applicants must be State or local (i.e., county and municipal) governments. The purpose of this solicitation is to request applications for grants from State and units of local government to improve the quality and timeliness of forensic science and medical examiner services and/or to eliminate backlogs in the analysis of forensic evidence, including firearms examination, latent prints, impression evidence, toxicology, digital evidence, fire evidence, controlled substances, forensic pathology, questioned documents, and trace evidence for criminal justice purposes in State and local forensic laboratories. For the purposes of this solicitation A State/local government entity performing forensic science services is considered a forensic science laboratory if it: Employs one or more full-time scientists (with a minimum of a bachelors degree in a natural science [e.g., chemistry, physics, biology, or a closely-related natural-science field]), and Whose principal function is to examine physical evidence in criminal matters and provide reports and testimony to courts of law regarding such evidence. Police identification units (units operating outside of the crime laboratory function), or entities that engage exclusively in evidence collection and documentation, are not forensic science laboratories for these purposes. Medical examiner and coroner offices are treated as forensic science laboratories.

Credentials/Documentation:

The applicant must furnish the following along with the application for a grant: a certification that states there exists a plan for forensic science laboratories that is designed to improve the quality and timeliness of forensic science or medical examiner services; a certification that states each forensic laboratory, forensic laboratory system, medical examiner's office, or coroner's office that will receive any portion of a Coverdell grant uses generally accepted laboratory practices and procedures as established by accrediting organizations or appropriate certifying bodies; a certification regarding forensic science laboratory accreditation except with regard to any medical examiner's office, or coroner's office in the State; a certification that states that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct by employees or contractors substantially affecting the integrity of forensic results, and resumes of key personnel. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Potential applicants are encouraged to request the program announcement for additional information about eligibility requirements and application and selection procedures. Applicants must contact their SAA to determine if the program has been selected for review by the State. The date that the application was sent to the SAA, or the reason such submission is not required, must be included in the application. A. Base Funds for States: Eighty-five percent of the funds available for Coverdell grants will be allocated among eligible States based on population (State base funds). To be eligible, a State must satisfy the specific application requirements outlined in this announcement and all other general requirements for OJP grants. Applications for State base funding must be submitted by the Coverdell State Administering Agency listed on the Office of Justice Programs web site at: <https://ojp.gov/saa/>. B. Competitive Funds for States and Units of Local Government: Fifteen percent of the available funds will be allocated among States and units of local government through a competitive process. Units of local government that provide forensic science or medical examiner services (whether through a forensic science laboratory, medical examiner's office, or coroner's office) may apply directly to BJA for competitive funds. Coverdell SAAs may apply for competitive funds for forensic sciences improvements above and beyond those it can accomplish with its

base funds. State applications for competitive funding will only be accepted from Coverdell SAAs. Other State agencies or departments who wish to apply for competitive funds must coordinate with their respective SAA.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Paul Coverdell Forensic Science Improvement Grants Program Competitive solicitation will be posted on Grants.gov. See the current fiscal years solicitation available at www.bja.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

BJA reviews program funding applications to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation. BJA will also review applications to ensure statutory requirements have been met. Peer reviewers will review requests for competitive funds submitted under the solicitation that meet basic minimum requirements. BJA may use internal peer reviewers (DOJ employees), external peer reviewers, or a combination, to assess competitive requests under the program meeting basic minimum requirements on technical merit using the solicitations selection criteria. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. Absent explicit statutory authorization or written delegation of authority to the contrary, the Assistant Attorney General (AAG) will make all final award decisions. With respect to requests for competitive funds, the AAG may also give consideration to factors including, but not limited to, peer review ratings, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See the current fiscal years solicitation available at www.bja.gov.

Appeals:

Other. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In general, BJA will limit grants under the Coverdell program to a maximum of 24 months. See the current fiscal years solicitation available at www.bja.gov, and the DOJ Grants Financial Guide at <http://ojp.gov/financialguide/DOJ/index.htm>.

Method of awarding/releasing assistance: other

Reports:

See the current fiscal years solicitation available at www.bja.gov, and the Department of Justice Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>. See the current fiscal years solicitation available at www.bja.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the current fiscal years solicitation available at www.bja.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the current fiscal years solicitation available at www.bja.gov, and the Department of Justice Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>. To assist the Department with fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111-352, applicants that receive funding under this program must provide data that measure the results of their work done under this solicitation. Performance measures and data expected to be provided are as specified in the current fiscal years solicitation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements.

Records:

See 2 CFR 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0404-0-1-754.

Obligations:

Formula Grants FY 20 \$26,469,758.00; FY 21 est \$33,000,000.00; FY 22 est \$35,000,000.00;

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and BJA's plans, priorities and levels of financing.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: BJAs Paul Coverdell Forensic Science Improvement Grants Program awarded a total of \$26.5 million through the program's formula (\$22.5 million) and competitive (\$4 million) solicitations. The awards help states and local governments improve the quality and timeliness of forensic services provided by crime laboratories and medical examiner and coroners' offices. Funding will go toward eliminating backlogs and employing and training laboratory personnel and death investigators.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 2 CFR Part 200, 2 CFR Part 2800, the current fiscal years solicitation available at www.bja.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov.

Regional or Local Office:

None.

Headquarters Office:

Andrea Borchardt,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, N.W.,
Washington DC 20531
Email: Andrea.Borchardt@usdoj.gov
Phone: 2025981721
Fax:

Website Address:

<https://bj.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitations available at <https://bj.gov/funding/current>.

16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program

JMHCP,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Mentally Ill Offender Treatment and Crime Reduction Act of 2004 (MIOTCRA), Public Law 108-414, Title Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008; and, an act making DOJ appropriations for the current fiscal year, Public Law 110-416, Title

21st Century Cures Act, as amended, Public Law 114-255, Title Consolidated Appropriations Act, 2020, Statute 133-2317, 2409, Public Law 116-93, Statute 134-1182, 1259, Public Law 116-260, 34 USC Code 10651. Title Consolidated Appropriations Act, 2021, Statute 134-1182, 1258, Public Law 116-260

OBJECTIVES:

The Justice and Mental Health Collaboration Program (JMHCP) supports cross-system collaboration to improve public safety responses and outcomes for individuals with mental illnesses (MI) or co-occurring mental illness and substance abuse (CMISA) who come into contact with the justice system. This program supports public safety efforts through partnerships with social services and other organizations that will enhance responses to people with Mental Illness (MI) and Co-occurring Mental Illness and Substance Abuse (CMISA). Objective: The Justice and Mental Health Collaboration Program offers grants to help entities prepare comprehensive plans to implement collaboration programs that target qualified offenders and promote public safety and public health. Specifically, per the authorizing statute, grants awarded under this program shall be used to create or expand: 1. Support cooperative efforts by public safety officials and service providers (at any point in the system) to connect individuals with MI or CMISA with treatment and social services 2. Develop mental health courts or other court-based programs 3. Offer specialized training for public safety officials and mental health providers in order to respond appropriately to individuals with MI or CMISA 4. Support intergovernmental cooperation between state and local governments to address enhanced support to individuals with MI or CMISA Additionally, the Collaborative Crisis Response Training Program provides for transdisciplinary crisis response training to educate and prepare law enforcement and correctional officers so that they are equipped to appropriately interact with people who have mental illness, intellectual disabilities, or developmental disabilities in the course of completing their job responsibilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

BJA is seeking applicants under three competitive discretionary grant programs: (2) Under the Justice and Mental Health Collaboration Program and (1) Under Collaborative Crisis Response Training Program. Consistent with the goals of the authorizing legislation of the Justice and Mental Health Collaboration Program (JMHCP), there are two separate programs available for application: 1) The Justice and Mental Health Collaboration Program (BJA-2021-95004) and, 2) The Connect and Protect: Law Enforcement Behavioral Health Response Program (BJA-2021-21001). In FY 2021, there are two programs that focus on justice system and behavioral health responses to individuals with mental illness (MI) or co-occurring mental illness and substance abuse (CMISA) issues. Each program will focus on cross system collaboration between the justice system and behavioral health, and, an increased and enhanced response however, Connect and Protect, will focus on the earliest points in the system where law enforcement agencies and their behavioral health partners will design and implement a program to prevent people with MI and CMISA from further criminal justice system involvement. Under Connect, funds may be used for: 1) increasing cooperative efforts by justice system officials and service providers to connect individuals with MI or CMISA with treatment and social services 2) Improve officer and public safety through specialized training for public safety officers and mental health agencies in order to respond appropriately to individuals with MI or CMISA 3) Increase intergovernmental cooperation between state and local governments to address enhanced support to individuals with MI or CMISA. The JMHCP will support programming for those individuals who have justice system involvement beyond first contact, such as individuals booked into jail and working towards reentry or connected to community supervision. Under JMHCP, funds may be used for: 1) Increase cooperative efforts by justice system officials and service providers to connect individuals with MI or CMISA with treatment and social services. (This solicitation supports programs targeted at people inside the criminal justice system and returning to the community. For law enforcement-specific programs, see Connect and Protect: Law Enforcement Behavioral Health Response Program funded by JMHCP.) 2) Create or expand mental health courts or other court-based approaches to preliminarily qualified offenders. 3) Improve public safety through specialized cross-system training for public safety officials and mental health providers in order to respond appropriately to individuals with MI or CMISA. 3) Increase intergovernmental cooperation between state and local governments to address enhanced support to individuals with MI or CMISA. The third opportunity

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is also law enforcement focused. In FY 2021, BJA has added the Collaborative Crisis Response Training Program (BJA-2021-21003): Funds may be used for the development of programs that incorporate the Crisis Response and Training Program curriculum and additional best practices that are designed to increase understanding of mental and behavioral health, develop empathy, navigate community resources, and increase de-escalation skills within the applicant organization and among staff, and include the community. See Collaborative Crisis Response Training Program solicitation at <https://bja.ojp.gov/sites/g/files/xyckuh186/files/media/document/O-BJA-2021-121003.pdf>.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants are limited to States, units of local government, Indian tribes, and tribal organizations. BJA will only accept joint applications; each application must include a mental health agency as well as a unit of government with responsibility for criminal justice activities.

Beneficiary Eligibility:

Anyone/general public; General Public.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Narrative; Budget and Budget Narrative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the DOJ's Justice Grants System (JustGrants) or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or www.bja.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://grants.gov).

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 120 days from application deadline. See the current fiscal years solicitation available at www.bja.gov for additional information.

Appeals:

Not Applicable. There are no appeal rights for rejection of a discretionary application. Please see 28 CFR Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Federal funds awarded under this solicitation may not cover more than 80 percent of the total costs of the project for project years 1 and 2, and 60 percent of the total costs of the project for project year 3. An applicant must identify the source of the 20 percent non-federal portion of the total project costs for projects years 1 and 2, and how it will use match funds. In addition, applicants proposing a 3rd project year must identify the source of the 40 percent

non-federal portion of year 3 project costs, and how it will use match funds. If a successful applicants proposed match exceeds the required match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit. (Match funds may be used only for purposes that would be allowable for the federal funds.) Recipients may satisfy this match requirement with either cash or in-kind services. NOTE: There is no match requirement for the Collaborative Crisis Response Training Program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-36 month project period. For additional information, please see the current fiscal years solicitation available at www.bja.gov. Method of awarding/releasing assistance: other

Reports:

Program reports consisting of quarterly performance metrics reports, bi-annual progress reports; and a final report including a summary of program accomplishments. Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$23,441,334.00; FY 21 est \$35,000,000.00; FY 22 est \$40,000,000.00; Project Grants FY 20 \$0.00; FY 21 est \$7,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the BJA's plans, priorities and levels of financing. For additional information, please see the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).and/or www.bja.gov.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through OJPs Bureau of Justice Assistance (BJA), the Justice and Mental Health Collaboration Program provided \$18.6 million to support innovative cross-system collaboration for individuals with mental illnesses or co-occurring mental health and substance abuse disorders who come into contact with the justice system. The program funds collaborative projects between criminal justice and mental health partners to plan, implement or expand a justice and mental health program. Through BJAs Collaborative Mental Health and Anti-Recidivism Initiative, nearly \$900,000 was provided to the Wisconsin Department of Corrections to establish a statewide pilot program that partners with the appropriate agencies within the state. The initiative will establish a collaborative prison anti-recidivism effort to provide comprehensive care before, during, and after incarceration for persons with serious mental illness, with a goal of reducing recidivism. Through BJAs Improving Justice and Mental Health Collaboration - Training and Technical Assistance to Grantees and the Field Program, \$4.6 million was awarded to the Council of State Governments in Lexington, Kentucky, to provide training and technical assistance to law enforcement and other criminal justice agencies and their partner mental health and substance abuse authorities to reduce crime and recidivism associated with people with mental illnesses. OJPs Office of Juvenile Justice and Delinquency Prevention provided nearly \$5 million through the Juvenile Justice and Mental Health Collaboration Program to improve outcomes for youth with mental illness or co-occurring mental health and

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substance abuse disorders who come into contact with the juvenile justice system. The funding may be used for mental health courts, specialized training and collaborative efforts between juvenile justice and mental health agencies to promote public safety by offering mental health treatment services and substance abuse treatment services. Fiscal Year 2021: Since 2006, JMHP funded 568 awardees across 49 states, Washington, DC, and two U.S. territories (including American Samoa and Guam) \$164.3 million awarded, with individual awards ranging from \$100,000 to \$750,000. 140 law enforcement agencies have used JMHP funding to establish models (co-responder teams, mobile crisis teams, crisis intervention teams, etc.) that reduce encounters with people who have mental illnesses and connect them to services. Since the creation of the law enforcement strategic planning grants in 2017, 34 sites have received funding to train law enforcement and related staff members. The program supports 9 Law Enforcement-Mental Health Peer to Peer Learning Sites who serve as peer resources to grantees and communities across the country. In 2021, news sites will be added through a competitive selection process. The current learning sites are: Arlington (MA) Police Department, Houston (TX) Police Department, Los Angeles (CA) Police Department, Madison County (TN) Sheriff's Office, Madison (WI) Police Department, Portland (ME) Police Department, Salt Lake City (UT) Police Department, Tucson (AZ) Police Department, University of Florida Police Department.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Justice and Mental Health Collaboration Program solicitation may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at <https://bja.ojp.gov/program/justice-and-mental-health-collaboration-program-jmhcp/overview>

Regional or Local Office:

None.

Headquarters Office:

Maria Fryer,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Maria.Fryer@usdoj.gov
Phone: 202-616-6500 or 1-866- 859-2647.

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=66

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Please visit https://csgjusticecenter.org/wp-content/uploads/2021/04/JMHP-Program-APRIL2021_508compliant.pdf for examples of JMHP projects

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at: <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.746 Capital Case Litigation Initiative

(CCLI),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Statute 133-13, 112, Public Law 116-6, 34 USC Code 60301-60306. Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statute 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: Capital Case Litigation Initiative (CCLI) Provide training and technical assistance to prosecutors and defense attorneys litigation capital cases. Wrongful Conviction Review Program Review individual post-conviction claims of innocence, prevent wrongful convictions and where possible identify the actual perpetrator(s) of a crime. Objectives: Capital Case Litigation Initiative (CCLI) - In

an effort to improve the quality of legal representation and to ensure reliable jury verdicts in state capital cases, the CCLI seeks to improve the quality and effectiveness of representation in death penalty cases through training for both the prosecutors who represent the public and the defense attorneys who represent indigent defendants in state capital cases. Wrongful Conviction Review Program - The Upholding the Rule of Law and Preventing Wrongful Convictions Program (URLPWC) is a site based and Training and Technical Assistance (TTA) program committed to protecting the unwavering integrity of the criminal justice system and the consistent application of due process for all. The URLPWC Program supports efforts by both conviction integrity or review units and entities that represent individuals with post-conviction claims of innocence to review individual cases of post-conviction and appeals claims of innocence. Where possible, the program seeks to identify and apprehend actual perpetrators of crimes who eluded prosecution and bring justice to victim(s), thereby enhancing public safety and security.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Capital Case Litigation Initiative (CCLI): Funds are given to States that currently enforce their death penalty statutes or to a national organization capable of providing training and technical assistance. CCLI funds may not be used to fund, either directly or indirectly, representation in, or prosecution of, specific capital cases. Wrongful Conviction Review Program: Funds are awarded to institutions of higher education, non-profit organizations with missions dedicated (in whole or in part) to exonerating the innocent, to state or local public defender offices, to prosecutors' offices, to public and nonprofit organizations that have experience and expertise in providing representation to wrongfully convicted defendants in post-conviction claims of innocence cases, as well as providing training and technical assistance on the subject.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Capital Case Litigation Initiative: Applicants are limited to state agencies in states that authorize capital punishment and that conduct, or will conduct prosecutions in which capital punishment is sought. For the state agency to be eligible, its state must have an effective system for providing competent legal representation for indigent defendants in capital cases. An effective system is defined in 42 U.S.C. 14163(e) as a system that invests the responsibility for appointing qualified attorneys to represent indigent defendants in capital cases either: (A) In a public defender program that relies on staff attorneys, members of the private bar, or both, to provide representation in capital cases; (B) In an entity established by statute or by the highest state court with jurisdiction in criminal cases, which is composed of individuals with demonstrated knowledge and expertise in capital cases, except for individuals employed as prosecutors; or (C) Pursuant to a statutory procedure enacted before the date of the enactment of the CCLI Act [October 30, 2002] under which the trial judge is required to appoint qualified attorneys from a roster maintained by a state or regional selection committee or similar entity. Wrongful Conviction Review Program - Eligible applicants are limited to institutions of higher education, non-profit organizations with missions dedicated (in whole or in part) to exonerating the innocent, to state or local public defender offices, as well as to public and nonprofit organizations that have experience and expertise in providing representation to wrongfully convicted defendants in post-conviction claims of innocence cases.

Beneficiary Eligibility:

U.S. Territories; Native American Organizations; State; Capital Case Litigation Initiative: Defense counsel, prosecutors and judges in the States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands that currently enforce their death penalty statutes. Wrongful Conviction Review Program: State or local government public defenders and non-profit organizations dedicated to exonerating the innocent.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing

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official is also assuring that all Federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No formal preapplication coordination is required. While informal preapplication coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens. The application of E.O. 12372 is contingent upon whether the state has designated an entity to coordinate and review proposed federal financial assistance. See list of states that require this at: https://www.whitehouse.gov/wp-content/uploads/2017/11/Intergovernmental_Review_-_SPOC_01_2018_OFFM.pdf.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via DOJ's Justice Grants System (JustGrants) or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). Faxed or mailed applications or supplemental materials will not be accepted. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://grants.gov).

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make a final determination. Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable. There are no appeal rights for rejection of a discretionary application, see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms for these grant program budget periods range from 12 to 24 months. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$4,523,500.00; FY 21 est \$7,000,000.00; FY 22 est \$12,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation available at www.bja.gov.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Katharine Browning,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Katharine.Browning@ojp.usdoj.gov
Phone: 202-616-6500

Website Address:

<https://bjp.ojp.gov/program/ccli/overview>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.750 Support for Adam Walsh Act Implementation Grant Program

Adam Walsh Act,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Adam Walsh Child Protection and Safety Act of 2006 (AWA), Title I, Sex Offender Registration and Notification Act, , Public Law 116-6, 133 USC Code 13, 113. 34 USC Code 20901. Title Commerce, Justice, Science, and Related Agencies Appropriations Act, 2021.

OBJECTIVES:

Goal(s): To assist states, the District of Columbia, the principal U.S. territories,

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tribes and local jurisdictions with developing and/or enhancing programs designed to implement requirements of the Sex Offender Registration and Notification Act (SORNA), Title I of the Adam Walsh Child Protection and Safety Act of 2006; to support other grant programs authorized by the AWA; and to provide for the maintenance and operation of the Dru Sjodin National Sex Offender Public Website (NSOPW). Objective(s): SORNA requires: 1) all states, the District of Columbia, the principal U.S. territories, and federally recognized American Indian tribes that are eligible under SORNA to carry out the functions of SORNA and to maintain a sex offender registry; and 2) sex offenders to register and maintain a current registration in each jurisdiction where the offender lives, works and goes to school. SORNA also sets forth requirements for sex offender registries to include specified required information, duration of registration and in-person verification of sex offender identity as well as participation in the NSOPW. NSOPW links to state, territory and tribal public sex offender registries, allowing nationwide searches for registered sex offenders.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The AWA implementation grant funds may be used to 1) assist jurisdictions in implementing or maintaining requirements of SORNA or to support the adoption of effective sex offender management practices. Funds must be used to develop or enhance sex offender registration, tracking and notification programs in jurisdictions for the purpose of substantial implementation of SORNA; and/or to support training and technical assistance regarding SORNA implementation and other effective sex offender management practices; and 2) fund sex offender management fellowship opportunities in the SMART Office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, America Samoa, Guam, the Commonwealth of the Northern Mariana Islands, federally recognized Indian tribes who have elected to carry out the requirements of SORNA and local jurisdictions in states that have substantially implemented SORNA. Only applicant jurisdictions that are enhancing, maintaining or working toward substantial implementation of SORNA are eligible to apply for AWA Implementation Grants. Nonprofit organizations, for-profit organizations and institutions of higher education may apply for training and technical assistance and other AWA-authorized grant programs. For training and technical assistance funds: nonprofit and for-profit organizations with experience in SORNA implementation and sex offender management practices. For NSOPW: nonprofit and/or for-profit organizations with experience in providing website development, hosting and management, web services, service-oriented architecture and distributed information sharing systems. For sex offender management fellowships: eligible applicants include individuals.

Beneficiary Eligibility:

Profit organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Eligible beneficiaries include states (including the District of Columbia), local governments (through funds granted to states), U.S. territory and tribal government agencies that have sex offender registry and tracking responsibilities. For training and technical assistance funds, eligible beneficiaries are nonprofit and for-profit organizations with experience in SORNA implementation and sex offender management practices. For NSOPW funds, eligible beneficiaries are nonprofit and/or for-profit organizations with experience in providing website development, hosting and management, web services, service-oriented architecture and distributed information sharing systems. For sex offender management fellowships, eligible beneficiaries are individuals who meet the applicant eligibility and the requirements identified in the notice of funding opportunity.

Credentials/Documentation:

Applications must meet the requirements of the program solicitation, which sets forth application deadlines and documentation required. The application must

include Application for Federal Assistance (Standard Form 424), Program Narrative, Budget Detail Worksheet and other associated documentation. This information may be found in the solicitation section, What an Application Should Include. In addition to the standard requirements, an application may include timelines, rsums, letters of support, etc. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 C.F.R. 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications through Grants.gov following established criteria. The receipt, review and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs Funding Resource Center. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via OJP's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Contact the SMART Office or refer to the solicitation for application deadlines. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs Funding Resource Center page at <https://ojp.gov/funding/>

Appeals:

Other. See 28 CFR Part 18.

Renewals:

Other. Awards are for 36 months unless otherwise noted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 36 months unless otherwise noted. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the awards special conditions, recipients are required to submit semiannual Progress Reports. Unless otherwise specified in the awards special conditions, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Payments and transactions are subject to audits by the Government Accountability Office, the Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including

ASSISTANCE LISTINGS DESCRIPTIONS

maintenance of reliable and accurate accounting systems, record keeping and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records and all other records pertinent to the award for at least three years following the close of the most recent audit. For additional guidance, visit the DOJ Grants Financial Guide, Retention and Access Requirements for Records page at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$24,028,619.00; FY 21 est \$20,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Up to \$400,000 each for Adam Walsh Act (AWA) Implementation grants, up to \$1,000,000 for the National Sex Offender Public Website (NSOPW), up to \$1,000,000 for tribal training and technical assistance and \$150,000 per fellowship opportunity.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: SMART received 45 applications in fiscal year 2021. At the time of publishing, award decisions had not been determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>); Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), and Department of Justice regulations applicable to specific types of grantees, which can be found in 2 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

Portia Graham,
U.S. Department of Justice Office of Justice Programs SMART Office 810 Seventh Street, NW,
Washington DC 20531
Email: AskSMART@usdoj.gov
Phone: 202-307-2964

Website Address:

<https://www.smart.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the solicitation, available at the SMART Offices Funding Opportunities page at <https://smart.ojp.gov/funding>.

16.751 Edward Byrne Memorial Competitive Grant Program

Byrne Competitive Program,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Public Law 90-351, 34 USC Code 10101 - 10726. 34 USC Code 10151-10158.

OBJECTIVES:

To improve the functioning of the criminal justice system, such as activities pertaining to crime prevention, control, or reduction, or the enforcement of the criminal law, including, but not limited to, police efforts to prevent, control, or reduce crime or to apprehend criminals, including juveniles, activities of courts having criminal jurisdiction, and related agencies (including but not limited to prosecutorial and defender services, juvenile delinquency agencies and pretrial service or release agencies), activities of corrections, probation, or parole authorities and related agencies assisting in the rehabilitation, supervision, and care of criminal offenders, and programs relating to the prevention, control, or reduction of narcotic addiction and juvenile delinquency.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Byrne Competitive Program helps improve the capacity of local criminal justice systems and provides for national support efforts such as training and technical assistance projects to strategically address needs. Funds can be used for national scope replication, expansion, enhancement, training, and/or technical assistance programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Eligible applicants include national, regional, State, or local public and private entities, including for-profit (commercial) and nonprofit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government.

Beneficiary Eligibility:

Federal; Anyone/general public; State; State and local governments, public and private organizations, individuals and tribal governments.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via Grants.gov (www.grants.gov) or the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Continuation grants are renewable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

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Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months.
Method of awarding/releasing assistance: other

Reports:

In some cases, evaluation reports may be required. Unless otherwise specified in the award's special conditions, progress reports are due semi-annually. Unless otherwise specified in the award's special conditions, financial reports are due quarterly. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

BJA,,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: AskBJA@usdoj.gov
Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm>.

16.752 Economic, High-Tech, and Cyber Crime Prevention

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93

OBJECTIVES:

Goals: The Economic, High-Technology, White Collar, and Internet Crime Prevention National TTA Program will enhance the capacities of state, local, territorial, and tribal criminal justice systems to prevent, investigate, and respond to economic, high-tech, white collar, and internet crimes by delivering TTA to diverse audiences. The program is intended to serve as a key resource to identify new and emerging issues in the areas of economic, high-technology, white collar, and internet crime. Objectives: The objectives for this program will be: (1) Provide high quality, cost-effective training to the field; (2) Increase the knowledge of criminal justice practitioners through in-person training; (3) Increase the knowledge of criminal justice practitioners through web-based learning; (4) Update and/or enhance existing materials and develop new written resources and educational deliverables; (5) Increase the ability of criminal justice agencies to solve problems and/or modify policies or practices; and, (6) With guidance from BJA, provide training and technical assistance to Intellectual Property Theft Enforcement Program (IPEP)-funded state and local task forces.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The Economic, High-Tech, and Cyber Crime Prevention program helps improve the capacity of local criminal justice agencies to address economic, electronic, high-tech, and cyber crimes and provides for national support efforts such as training and technical assistance projects to strategically address needs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Eligible applicants include national, regional, State, or local public and private entities, including for-profit (commercial) and nonprofit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government.

Beneficiary Eligibility:

Federal; State; Local; Federally Recognized Indian Tribal Governments; State, local, tribal, and local territorial law enforcement agencies, prosecutor, and other criminal justice agencies.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: <https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>.

Application Procedures:

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2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via Grants.gov (www.grants.gov) or DOJ's Justice Grants System (JustGrants). Applications or supplemental materials received by facsimile or postal mail will not be accepted. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies for competitive programs.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. Continuation grants are renewable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$12,363,461.00; FY 21 est \$12,000,000.00; FY 22 est \$13,000,000.00;

Range and Average of Financial Assistance:

Varies. See the current fiscal years solicitation guidelines posted on the Office of

Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: National White Collar Crime Center (<https://bjatta.bja.ojp.gov/ocp/national-white-collar-crime-center-nw3c>) Law Enforcement Cyber Center (<https://www.iacpcybercenter.org/>)

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

Headquarters Office:

Program Manager David P. Lewis,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: david.p.lewis@usdoj.gov
Phone: 202-616-6500

Website Address:

<http://www.NW3C.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>.

16.754 Harold Rogers Prescription Drug Monitoring Program

(PDMP),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93

OBJECTIVES:

Goals: To enhance the capacity of regulatory and law enforcement agencies to collect and analyze controlled substance prescription data through a centralized database administered by an authorized state agency and federally recognized Indian tribal governments. Objectives: Implement and enhance prescription drug monitoring programs. Develop and enhance public safety, behavioral health, and public health information sharing partnerships that leverage key public health and public safety data sets (e.g., identified PDMP data, naloxone administrations, fatal and non-fatal overdose data, drug arrests) and develop interventions based on this information.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Harold Rogers Prescription Drug Monitoring Program (PDMP) allows for states discretion as they plan, implement, or enhance a PDMP to accommodate

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local decision-making based on state laws and preferences, while encouraging the replication of promising practices. The Harold Rogers PDMP strengthens states and territories ability to plan, implement, or enhance PDMPs by accommodating local decision-making based on state laws and preferences, while encouraging the replication of promising practices. In addition to supporting the implementation and enhancement of PDMPs, the program can support the Administrations priority of tracking prescribing across providers and states through the integration of PDMPs and Electronic Health Records (EHRs) and Health Information Exchanges (HIEs) which are essential to promoting safe and responsible prescribing, while also assuring appropriate pain care. Grant funds may be used to support a variety of PDMP implementation or enhancement activities that encourage the use of PDMPs to improve clinical decision-making and prevent the abuse and diversion of controlled substances.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); The following entities are eligible to apply: State governments and U.S. territories that have an enabling statute or regulation (pending or enacted) requiring the submission of controlled substance prescription data to an authorized state agency. Applicants within a state that does not have such an enabling statute are eligible to apply as a city, county, or region if (a) the city, county, or region has enacted an enabling statute, ordinance, or regulation requiring the submission of controlled substance prescription data to an authorized city, county, or region; (b) the city, county, or region agrees to transfer the PDMP system to an authorized state agency if the state adopts an enabling state statute requiring the submission of controlled substance prescription data to an authorized state agency; and (c) the city, county, or region ensures that all vendor contracts are written to permit the transfer of ownership of the system to the authorized state agency.

Beneficiary Eligibility:

U.S. Territories; State; Local; Federally Recognized Indian Tribal Governments;

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No formal pre application coordination is required. While informal pre application coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable. There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Not Applicable. Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms for these grant program budget periods are typically 18 months in duration. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Successful applicants will be required to work with designated national organizations to implement the training at the state level and keep the training current with changing laws. Recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$28,267,571.00; FY 21 est \$32,000,000.00; FY 22 est \$33,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: PDMPs are operational in every state, Guam, Puerto Rico, and the District of Columbia, although Missouri is not operational statewide. Forty-seven states are currently able to exchange prescription data with each other. In some instances, data sharing may be limited to a couple of neighboring states. In other instances, data sharing may span states within a specific region. As of October 2018, 19 states have mandatory use requirements for prescribers and dispensers in certain circumstances; 24 states and one territory have mandatory use

ASSISTANCE LISTINGS DESCRIPTIONS

requirements for prescribers only in certain circumstances; and seven states, the District of Columbia and no territories have no mandatory use requirement.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>).

Regional or Local Office:

None.

Headquarters Office:

Rebecca Rose,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Rebecca.Rose@usdoj.gov
Phone: (202) 598-9314
Fax:

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=72

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The PDMP will create a statewide data-sharing infrastructure, with a single data repository/database; an interactive web portal accessible by law enforcement, criminal justice, and healthcare stakeholders; and syndromic drug overdose surveillance via dashboards and heat maps. The objectives of the project are to: promote cross-system planning and coordination of opioid use disorder prevention and treatment interventions through information-sharing partnerships with key stakeholders; increase the timeliness, comprehensiveness and reporting of fatal and nonfatal opioid overdose data; disseminate surveillance findings to key stakeholders and policy makers to inform prevention and response efforts; and monitor use of the data-sharing system and implement ongoing quality controls.

CRITERIA FOR SELECTING PROPOSALS:

For selection criteria and required elements see the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.756 Court Appointed Special Advocates

CASA,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Victims of Child Abuse Act, 34 U.S.C. 20323 and Consolidated Appropriations Act, 2020, Pub. L. No. 116-93, 133 Stat 2317, 2409.,

OBJECTIVES:

The goals of the CASA program are to serve and improve outcomes for children in the dependency care foster care system, provide effective advocacy for abused and neglected children, including those in both the child welfare and juvenile justice systems, and those who are dual system-involved, and to provide effective advocacy for abused and neglected children build on the training and technical assistance program and standards developed in collaboration with the National CASA Association. The CASA Program provides (1) membership and accreditation subgrants to regional, state, and local CASA organizations that support volunteer advocates who represent abused and neglected children in dependency hearings and will partner with other public and private child welfare system stakeholders at the local, state, regional, and national levels and (2) information, technical assistance, and training to volunteer advocates who represent abused and neglected children in dependency hearings and to other child welfare system stakeholders at the local, state, regional, and national levels. This program expands the national membership and accreditation program for state and local CASAs and makes

available training and technical assistance to support new and existing state and local CASA programs across the nation. The program focuses on providing CASA services in communities where representation rates are low, the numbers of abused and neglected children are high, and service systems do not meet the needs of families and children. In addition, the program provides training and technical assistance in volunteer advocate recruitment and retention, volunteer advocate training, diversity, data collection and reporting, sustainability, and program standards.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of the Court Appointed Special Advocates (CASA) Program as described in the Victims of Child Abuse Act, 42 U.S.C. Section 13013

Applicant Eligibility:

Other public institution/organization; Other private institutions/organizations; As set forth in the authorizing language in 42 U.S.C. Section 13013, the successful applicant shall be: (1) a national organization that has broad membership among court-appointed special advocate programs (OJJD defines as having a network of volunteers representing the interests of abused and neglected children operating in a minimum of 40 of the nations 56 states and territories) and in providing training and technical assistance to court-appointed special advocate programs; or (2) a local public or not-for-profit agency that has demonstrated the willingness to initiate, sustain, and expand a court-appointed special advocate program

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; None.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. varies by project

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable. There are no appeal rights for the denial of a discretionary application.

Renewals:

Other. See applicable program announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by projectsee applicable program announcement. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$10,914,508.00; FY 21 est \$12,500,000.00; FY 22 est \$15,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: There are over 950 CASA programs nationwide, including 45 state offices.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 ojp.gov/financial/guide/DOJ/index.htm. and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention,
U.S. Department of JusticeOffice of Justice ProgramsOffice of Juvenile Justice and Delinquency Prevention810 7th Street, NW,
Washington DC 20735
Email: darian.hanrahan@usdoj.gov
Phone: (202) 616-3750

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Victims of Child Abuse Act, 34 U.S.C. Section 20332 and Consolidated Appropriations Act, 2020, Pub. L. No. 116-93, 133 Stat 2317, 2409.,

OBJECTIVES:

To improve the judicial systems handling of child abuse, neglect, and related cases, including cases of children who are affected by opioids and other substance abuse. The program provides judicial, legal, and social service professionals with training and technical assistance to enhance their understanding of child abuse issues and reduce the length of time children spend in the system. This includes: disseminating best practices, innovation, and lessons learned from this project at the national level; and designing a targeted, multi-tiered approach to training and technical assistance that coordinates national, state, and local initiatives to facilitate systems reform and improve outcomes for victimized children.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Pursuant to 42 U.S.C. Sec.13022, funds are available to provide either technical assistance and training to judicial personnel and attorneys, particularly personnel and practitioners in juvenile and family courts as well as administrative reform in juvenile and family courts.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Certain national organizations as specified in the individual program announcement.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; None.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and

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procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other, varies by project

Appeals:

Not Applicable. There are no appeal rights for the denial of a discretionary application

Renewals:

Other. Please contact the program office for more information.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project- see applicable program announcement Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$3,184,450.00; FY 21 est \$3,500,000.00; FY 22 est \$250,000.00;

Range and Average of Financial Assistance:

Range and average of financial assistance vary by project and are posted at <http://www.ojjdp.gov/funding/FundingList.asp> as solicitations are released.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through a \$2.7 million award to the National Council of Juvenile and Family Court Judges, OJJDP is providing judicial, legal, and social service professionals with training and technical assistance to enhance their understanding of child abuse cases, including cases of children who are victims of commercial sexual exploitation and sex trafficking, and families impacted by

opioids. The training is also helping professionals coordinate information and services across the juvenile justice and child welfare systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Justice Financial Guide (https://ojp.gov/financialguide/DOJ/pdfs/2015_DOJ_FinancialGuide.pdf) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

William Sarrano,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,
Washington DC 20531

Email: William.Sarrano@usdoj.gov

Phone: 202-307-7066

Fax:

Website Address:

<http://ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities. Specific criteria are published in the Federal Register as part of the individual program announcement.

16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers

VOA.

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Victims of Child Abuse Act, 34 U.S.C. Sections 20303, 20304, and 20305, Title Consolidated Appropriations Act of 2021,

OBJECTIVES:

Improve the investigation and prosecution of child abuse cases and support the development of CACs and/or multi-disciplinary child abuse teams in local communities. (1) To train criminal justice system professionals on innovative techniques for investigating, and prosecuting child abuse cases, including victims of child pornography; (2) to promote a multidisciplinary approach to coordinating the investigations and prosecution of child abuse cases and, thereby limiting the number of necessary pre-trial interviews for child victims, as well as to better assure the accuracy of each interview; (3) to increase the number of communities utilizing a Children's Advocacy Center approach to the investigation, prosecution and treatment of child abuse cases; (4) to assist communities in developing child-focused programs designed to improve the resources available to children and families; (5) to provide support to non-offending family members; (6) to enhance coordination among community agencies, professionals, and provide medical support to health care and mental health care professionals involved in the intervention, prevention, prosecution, and investigation systems that respond to child abuse cases; and (7) to improve the quality of child abuse prosecution by providing specialized training and technical assistance to prosecutors. Child Advocacy Training: A competitive grant program to support child advocacy

ASSISTANCE LISTINGS DESCRIPTIONS

training in undergraduate programming and continuing education on multiple evidence-based methods for identifying and screening children for exposure to violence. The grants should support the training of future mandated reporters and child protection professionals and support efforts across the country to train child protection professionals in the field, including law enforcement officers, social workers, mental and medical health professionals, and prosecutors.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are also available to provide specialized training as well as technical assistance to improve child abuse prosecution, to develop and implement multidisciplinary child abuse investigation and prosecution programs, or to develop as well as implement specialized programs to identify and provide services to victims of child pornography.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Please see program announcement for specific eligibility. May be limited to national organizations with broad membership among attorneys who prosecute criminal cases in state courts and have demonstrated experience in providing training and technical assistance to prosecutors. Or may be limited to nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education). For-profit organizations must agree to forgo any profit or management fee.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; contact program office for additional information

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies by project.

Appeals:

Not Applicable. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. See applicable program announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$24,565,604.00; FY 21 est \$30,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Range and average of financial assistance varies by project and are posted at <http://www.ojjdp.gov/funding/FundingList.asp> as solicitations are released.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Between June and September 2020, the National District Attorneys Association held a series of OJJDP-sponsored webinars for juvenile prosecutors. The Role of the Juvenile Prosecutor provided a historical context to juvenile court, highlighted the ethical obligations juvenile prosecutors have toward victims and offenders, and explained the rehabilitative nature of juvenile programming. Additional webinars included Principles of Child and Adolescent Development, Building Relationships Between Communities and Police: What Prosecutors Need To Know, and Substance Use Issues in Juvenile Court. More than 1,500 participants were trained through the webinar series.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2011 (www.ojp.gov/financialguide/DOJ/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,

ASSISTANCE LISTINGS DESCRIPTIONS

Washington DC 20531
Email: Kristen.Kracke@ojp.usdoj.gov
Phone: 202 616-3649
Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act (OJJDP) and applicable laws.

16.812 Second Chance Act Reentry Initiative

Second Chance Act (SCA),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Second Chance Act Demonstration Programs 34 USC 10631; Pub. L. No. 115-141, 132 Stat 348, 421; and an act appropriating funds for the Department of Justice in the current fiscal year. Second Chance Act Mentoring 34 USC 60531; Pub. L. No. 115-141, 132 Stat 348, 421; and an act appropriating funds for the Department of Justice in the current fiscal year. Second Chance Act Family-Based Treatment 34 USC 10591; Pub. L. No. 115-141, 132 Stat 348; and an act appropriating funds for the Department of Justice in the current fiscal year. Second Chance Act Tech Careers 34 USC 60511; Pub. L. No. 115-141, 132 Stat 348; and an act appropriating funds for the Department of Justice in the current fiscal year. Second Chance Act Treatment & Justice Collaboration 34 USC 60521; Pub. L. No. 115-141, 132 Stat 348, 421; and an act appropriating funds for the Department of Justice in the current fiscal year. Second Chance Act Research 34 USC 60511 et seq.; Pub. L. No. 115-141, 132 Stat 348; and an act appropriating funds for the Department of Justice in the current fiscal year. Second Chance Act Prosecution Alternatives Program 34 USC 10581; and an act appropriating funds for the Department of Justice in the current fiscal year. Second Chance Act Pay for Success Pub. L. No. 110-199; and an act appropriating funds for the Department of Justice in the current fiscal year., Title Second Chance Act, , Statue 132-348, 421, Public Law 115-141, 34 USC Code 10631. Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, . Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: The SCA supports state, local, and tribal governments and non-profit organizations in their work to reduce recidivism and improve outcomes for people returning from state and federal prisons, local jails, and juvenile facilities. Objectives: There are currently over 2.2 million individuals serving time in federal and state prisons, and millions of people cycle through local jails every year. Of those in state and federal prison, approximately 95 percent will be released and return to communities across the nation. A majority of these individuals have needs that, if unaddressed in prison, during the reentry process, and after release, will negatively impact their ability to live productive, pro-social, crime-free lives in the community. These needs include housing and employment challenges, relationship and family issues, and substance abuse and mental health problems. The Second Chance Act of 2007 (Pub. L. 110-199) helps to address these significant challenges by providing comprehensive responses to the significant number of incarcerated adults who are returning to communities from prison, jail, and juvenile residential facilities. Programs funded under the Second Chance Act help to promote public safety by ensuring that the transition individuals make from prison and jail to the community is successful. Please see the BJA website at <https://www.bja.gov/> for more information on Second Chance Act programs.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Adult and Juvenile Technology Careers Training Demonstration Grants authorizes grants to develop training programs for individuals that are no more than 6 - 18 months prior to release from a prison, jail, or juvenile facility to find jobs in higher paying, technology-related jobs. Adult Offender Reentry Substance Abuse and Criminal Justice Collaboration (Co-Occurring Disorders) authorizes grants to develop treatment programs to better address the needs of returning individuals with co-occurring substance abuse and mental health issues. Adult Demonstration authorizes grants to states, local governments, and federally recognized Indian tribes that may be used for demonstration projects to promote the safe and successful reintegration into the community of individuals who have been incarcerated or detained. Adult Mentoring Grants to Nonprofit Organizations authorizes grants to nonprofit organizations that may be used for mentoring of adults or providing transitional services for reintegration into the community. Statewide Adult Recidivism Reduction authorizes grants to state correctional agencies (state departments of corrections or community corrections) or State Administering Agencies (SAAs).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; See current solicitation at the Office of Justice Programs website <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; None.

Credentials/Documentation:

See current solicitation for requirements at the Office of Justice Programs website <http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at <http://www.ojp.gov/funding/solicitations.htm> or www.bja.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See the current fiscal years solicitation available at <http://www.ojp.gov/funding/solicitations.htm> or www.bja.gov.

Appeals:

Not Applicable. There are no appeal rights for rejection of a discretionary application. Please see 28 CFR Part 18.

Renewals:

Not Applicable. Continuation grants are renewable, contingent upon Congressional appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Contingent upon program goals and objectives, generally 36-48 months. Method of awarding/releasing assistance: other

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

Unless otherwise specified in the awards special conditions, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the awards special conditions, recipients are required to submit quarterly Financial Status Reports in accordance with the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0401-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$69,471,077.00; FY 21 est \$100,000,000.00; FY 22 est \$125,000,000.00;

Range and Average of Financial Assistance:

Varies, see solicitation guidelines posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm> or www.bja.gov.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since 2009, more than 840 Second Chance Act grant awards have been made to government agencies and nonprofit organizations from 49 states, the District of Columbia, and U.S. territories for reentry programs serving adults and juveniles. As of December 2017, an estimated 164,000 people returning to their community after incarceration have participated in these programs. Grantees provide vital services including employment training and assistance, substance use treatment, education, housing, family programming, mentoring, victims support, and other services to make a persons transition from prison or jail safer and more successful. The grants also support the improvement of corrections and supervision practices that aim to reduce recidivism. T

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (www.ojp.usdoj.gov/financialguide/DOJ/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf). Applicable OMB Circulars and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Andre Bethea,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 Seventh Street, NW,
Washington DC 20531
Email: ASKBJA@usdoj.gov
Phone: 202-616-6500 or 1-866-859-2647

Website Address:

<https://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 To review examples of funded projects, please visit

<https://bj.a.ojp.gov/search/results?keys=sca>

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the current fiscal year's solicitation available at <http://www.ojp.gov/funding/solicitations.htm> or www.bja.gov

16.813 NICS Act Record Improvement Program

NARIP,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

NICS Improvement Amendments Act, , Public Law 115-141, 42 USC Code 40912. Title NICS Improvement Amendments Act of 2007, , 34 USC Code 40913.

OBJECTIVES:

GOAL(S): To improve the FBI's National Instant Criminal Background Check System (NICS) by providing assistance to states to improve the completeness, automation and transmittal to state and federal systems the records utilized by the NICS. OBJECTIVE(S): Address the gap in information available to NICS about such prohibiting mental health adjudications and commitments and other prohibiting backgrounds. Keep guns out of the hands of persons prohibited by federal or state law from receiving or possessing firearms.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the NARIP Program Announcement which are posted on the Office of Justice Programs web site (<https://ojp.gov/funding/index.htm>). The Act outlines the allowable uses of grant funds: Grants to States: Section 103 of the NICS Improvement Act, regarding implementation assistance to the states, provides that the grants "shall be used by the States and Indian tribal governments, in conjunction with units of local government and State and local courts, to establish or upgrade information and identification technologies for firearms eligibility determinations." In accordance with the Act, a grant to a state, territory or Indian tribes may only be used to: Create electronic systems, which provide accurate and up-to-date information which is directly related to checks under the NICS, including court disposition and corrections records; Assist states in establishing or enhancing their own capacities to perform NICS background checks; Supply accurate and timely information to the Attorney General concerning final dispositions of criminal records to databases accessed by NICS; Supply accurate and timely information to the Attorney General concerning the identity of persons who have a federally prohibiting mental health adjudication or commitment; Supply accurate and timely court orders and records of misdemeanor crimes of domestic violence for inclusion in federal and state law enforcement databases used to conduct NICS background checks; Collect and analyze data needed to demonstrate levels of state compliance with the Act; and Maintain the required relief from disabilities program in accordance with the Act however, not less than 3 percent and no more than 10 percent of each grant shall be used for this purpose. State Court Grants Section 301 of the Act provides that grants shall be made to each state and territory, consistent with the states plans for the integration, automation, and accessibility of criminal history records, for use by the court systems to improve automation and transmittal to federal and state repositories of: (1) criminal history dispositions; (2) records relevant to determining whether a person has been convicted of a misdemeanor crime of domestic violence or a prohibiting domestic violence protection order; and (3) prohibiting mental adjudications and commitments. Further, the law provides that the amounts granted shall be used by the state court system only to: Carry out, as necessary, assessments of the capabilities of state courts to automate and transmit arrest and conviction records, court orders, and mental health adjudications or commitments to federal and state record repositories; and Implement policies, systems, procedures to automate and transmit arrest and conviction records, court orders, and mental health adjudications or commitments to federal and state record repositories.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Applications must be submitted by (a) the agency designated by the Governor to administer the National Criminal History Improvement Program (NCHIP); (b) the state or territory central administrative office or similar entity designated by statute or regulation to administer federal grant funds on behalf of the jurisdiction's court system; or (c) a federally recognized Indian tribal government.

Beneficiary Eligibility:

U.S. Territories; State; Local; Public nonprofit institution/organization; Other public institution/organization; In accordance with the NICS Improvement Amendments Act (see 18 U.S.C. 922 note), there are two specific conditions that each state must satisfy before being eligible to receive grants: 1. First, each State shall provide the Attorney General with a reasonable estimate, as calculated by a method determined by the Attorney General of the number of the records subject to the NIAA completeness requirements. (Id.) 2. Second, to be eligible for a grant under this [program], a State shall certify, to the satisfaction of the Attorney General, that the State has implemented a relief from disabilities program. (Id.) For the purpose of this solicitation, a relief from disabilities program is a program that permits persons who have been adjudicated a mental defective or committed to a mental institution to obtain relief from the firearms disabilities imposed by law as a result of such adjudication or commitment. This relief must be based on a finding, in accordance with principles of due process, by a state court, board, commission, or other lawful authority, that the circumstances of the disability and the persons record and reputation are such that the person will not be likely to act in a manner dangerous to the public safety and that the granting of relief would not be contrary to the public interest. The certification form is available on the ATF website at www.atf.gov/forms/download/atf-f-3210-12.pdf. For further information, please visit NICS Improvement Act Questions and Answers on the BJS website. Further, applications submitted on behalf of state court systems must specifically assure that: (1) the court system has the capability to contribute and will transmit pertinent information to the NICS established under section 103(b) of the Brady Handgun Violence Prevention Act (18 U.S.C. 922 note), and (2) that it will coordinate the programs proposed for NARIP funding with other federally funded information technology programs, including directly funded local programs.

Credentials/Documentation:

The applicant must furnish all documentation required by the program solicitation, along with the application for an award, including: a detailed budget, a budget narrative, and a program narrative describing how funds would be utilized. Applicants must also furnish a NICS Record Improvement Plan as part of the application which reflects (a) the formation of a NICS Record Improvement Task Force, an assessment of the quality and availability of qualifying NICS records in the State, obstacles preventing full and complete transmission to the NICS of the available records, and strategies planned to address such challenges and achieve completeness goals. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. A potential grantee should contact the Headquarters Office, Devon B. Adams, NARIP Program Administrator, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531; Telephone: (202) 307-0765, or E-mail: askbjs@usdoj.gov, to obtain a copy of the current program announcement. The Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Justice Grants System (JustGrants) or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>). Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://grants.gov).

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. Successful applicants are notified via OJP's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 days. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

Other. For statutory formula awards, see 28 C.F.R. Part 18. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. Awards may be for up to 24 months.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project duration period will be between 12 to 24 months. See the following information on how assistance is awarded/release: Office of Justice Programs' Financial Guide (www.ojp.usdoj.gov/financialguide/index/htm) and Post Award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf).

Please contact the program office for further information. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 for audit requirements

Records:

See 2 C.F.R. . 200.334 for the government-wide requirements for retention requirements for records.

Account Identification:

15-0401-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$20,725,381.00; FY 21 est \$25,000,000.00; FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: This program continues to support efforts to improve the reporting of criminal history and related records to state and national files to support the NICS. To view NICS Act Record Improvement Program activities, please review State profiles at <https://bjs.ojp.gov/programs/nics-improvement-amendments-act/state-profiles#gqumc>

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and OJP Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars and regulations, and Department of Justice regulations applicable to specific types of grantees.

Regional or Local Office:

None.

Headquarters Office:

NARIP Program Administrator Devon B. Adams,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Statistics
810 7th Street, NW,
Washington DC 20531
Email: AskBJS@usdoj.gov
Phone: (202) 307-0765

Website Address:

<http://www.bjs.gov/index.cfm?ty=tp&tipd=48>

RELATED PROGRAMS:

16.554 National Criminal History Improvement Program (NCHIP);

EXAMPLES OF FUNDED PROJECTS:

2021 FY 2021 NICS Act Record Improvement Program (NARIP):
https://www.bjs.gov/content/pub/pdf/narip21_sol.pdf.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the program announcement available at https://www.bjs.gov/content/pub/pdf/narip21_sol.pdf.

16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance

Tribal Civil and Criminal Legal Assistance (TCCLA),

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Indian Tribal Justice Technical and Legal Assistance Act of 2000, , 25 USC Code 3661, et seq.. Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statute 134-1182, 1259, Public Law 116-260

OBJECTIVES:

Goal: The goal of this program is to build and enhance capacity and improve delivery of legal services to individuals and tribes as well as to develop policies that improve access to tribal justice systems. Objectives: The objective varies with each new solicitation issued every three years, see the solicitation. For example, the Fiscal Year 2018 TCCLA solicitation focused on development, implementation, and enhancement of Holistic Defense initiative, a new approach to traditional defense representation. This enhanced approach brings together an interdisciplinary team of criminal defense, legal aid, social services, public health and public housing and others to provide culturally appropriate services to the defenses client. This initiative supports Indian tribes including those who are implementing either the Special Domestic Violence Criminal Jurisdiction of the Violence Against Womens Act or Enhanced Sentencing Authority of the Tribal Law and Order Act or seeking new defense strategies that can incorporate healing principles.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For the TCCLA solicitation, Category 1 and 2 deliverables include direct legal assistance services or project-based activities. Awardees are expected to provide direct civil and criminal legal assistance services to individuals pursuant to federal poverty guidelines, Indian tribes and tribal justice systems. Civil legal services may include guardian ad-litem appointments, court appointed special advocates, and development and enhancement of tribal court policies, procedures, and codes. Criminal legal services may include adult criminal actions, juvenile delinquency

actions, and guardian ad-litem appointments arising out of criminal delinquency acts or enhancement of tribal court policies and procedures and code. For project-based activities, grantees will partner with Indian tribe(s) to provide legal or technical assistance such as development of intergovernmental agreements, development of criminal code, establishing a public defense office, or law enforcement training. Grantees are expected to coordinate and collaborate at the local level to effectively utilize resources and engage tribal justice system community, educational institutions, community-based organizations, and neighboring jurisdiction(s) when appropriate.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants for Tribal Legal Assistance Services Grants are limited to non-profit organizations, as defined by (Internal Revenue Code 501(c)(3)), including tribal enterprises and educational institutions (public, private, and tribal colleges and universities), with experience providing legal assistance services to eligible individuals pursuant to federal poverty guidelines, federally-recognized Indian tribes, or tribal justice systems.

Beneficiary Eligibility:

Individual/Family; Minority group; American Indian; U.S. Citizen; Women; Juvenile Delinquent; Low Income; Other Urban; Rural; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; N/A

Credentials/Documentation:

Please check the annual solicitation requirements available at the OJP website (<https://ojp.gov/funding/Explore/SolicitationRequirements/index.htm>) and Frequently Asked Questions at the BJAs TCCLA webpage (<https://bja.ojp.gov/program/tribal-civil-and-criminal-legal-assistance-tccla-program/overview>) for documentation such as a tribal agreement or verification by non-profits that they have 501(c)(3) status. Applicants are requested to attach an Internal Revenue Service determination letter recognizing the applicant as a 501(c)(3) tax exempt status identified as a public charity classification. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See Current Fiscal years solicitation available at: <https://ojp.gov/funding> or www.bja.gov. Notice of Funding Opportunities for this listing will be posted on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. After the OJP Assistant Attorney General approval, funds obligated and award package approved, a recipient will receive a federal award notification by email via the Justice Grants System or JustGrants. To accept an award, the recipient will sign in to JustGrants and complete the entire process of reviewing and accepting an award. Due to the small number of applicants, BJA may elect to make awards for applications submitted under this solicitation in future fiscal years, dependent on the merit of the applications and the availability of appropriations.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See current fiscal years solicitation available at <https://ojp.gov/funding> or www.bja.gov.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application. Please see section 28 CFR Part 18.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. See solicitation for continuation funding intent. Solicitation is located at <https://www.ojp.gov/funding/explore/overview> or www.bja.gov.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award project periods are up to 24 months. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$600,000.00; FY 21 est \$1,200,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The awards vary by fiscal year and annual appropriations. Please see the current fiscal year funding amounts at <https://www.ojp.gov/funding/explore/overview> or www.bja.gov. Range: for each Category 1 and 2, one or more awards totaling \$600,000 over 24 months.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Tribal Civil and Criminal Legal Assistance (TCCLA) Program webpage (<https://bja.ojp.gov/program/tribal-civil-and-criminal-legal-assistance-tccla-program/overview>). Success stories are posted to the Tribal Civil and Criminal Legal Assistance (TCCLA) Program webpage (<https://bja.ojp.gov/program/tribal-civil-and-criminal-legal-assistance-tccla-program/overview>). The Emerging Practices in Tribal Civil and Criminal Legal Assistance publication is located at: <http://www.naicja.org/wp-content/uploads/2018/04/Final-Revised-Emerging-Practices-11.8.2016-copy.pdf>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Norena Henry,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: AskBJA@usdoj.gov
Phone: 1-202-616-6500

Website Address:

<http://www.bja.gov/>

RELATED PROGRAMS:

16.608 Tribal Court Assistance Program; 16.587 Violence Against Women

Discretionary Grants for Indian Tribal Governments; 16.616 Indian Country Alcohol and Drug Prevention; 16.812 Second Chance Act Prisoner Reentry Initiative; 16.731 Tribal Youth Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Examples include direct legal aid services for low income individuals, indigent defense services, pro se services, veterans legal clinic, criminal history expungement legal clinic, setting up a public defenders office, tribal law practice group, criminal law practice, Guardian Ad Litem appointments, and court-appointed special advocates. Justice collaborations include but not limited to tribal-state memorandum of Agreement / Memorandum of Understanding, diversion programs, tribal chiefs of polices working group working to strengthen tribal-state relations and activities to improve public safety in their regions, tribal codes (law) and policies and procedures development, joint jurisdictional courts, and medical-legal partnerships. Success stories are posted to the Tribal Civil and Criminal Legal Assistance (TCCLA) Program webpage (<https://bja.ojp.gov/program/tribal-civil-and-criminal-legal-assistance-tccla-program/overview>). The Emerging Practices in Tribal Civil and Criminal Legal Assistance publication is located at: <http://www.naicja.org/wp-content/uploads/2018/04/Final-Revised-Emerging-Practices-11.8.2016-copy.pdf>.

CRITERIA FOR SELECTING PROPOSALS:

See current fiscal year solicitation for criteria for selecting proposals. Solicitation is located at <https://www.ojp.gov/funding/explore/overview> or www.bja.gov.

16.816 John R. Justice Prosecutors and Defenders Incentive Act

JRJ Grant Program,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title John R. Justice Prosecutors and Defenders Incentive Act, , 34 USC Code 10671. Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93

OBJECTIVES:

Goals: For all States or Territories to be able to recruit and retain talented (and eligible) local, state, and federal public defenders and local and state prosecutors by setting up and maintaining a student loan repayment assistance program for their most student loan financially burdened attorneys that agree commit to continued extended employment in the roles of eligible public interest law. Objectives: To encourage and retain qualified attorneys to choose careers as local and state prosecutors and local, state and federal public defenders, and to continue in that capacity for a minimum of thirty thirty-six (36) months.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are made directly by the Office of Justice Programs (OJP) to the designated applicant State Administering Agency, based on results of a formula run by the Bureau of Justice Statistics (BJS). Grant funds will be used by States and Territories to make repayments, on behalf of eligible beneficiaries, of loan obligations to institutions holding eligible beneficiary loans.

Applicant Eligibility:

State; Applicants are limited to state and U.S. territory government agencies, designated by their Governor, and the District of Columbia, designated by the Mayor, to manage this program. The States, Territories, and the District of Columbia will make loan payments directly to the institutions holding eligible beneficiary loans on behalf of eligible beneficiaries. See below for eligibility information.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Graduate Student; State; Education (13+); For purposes of this program the following persons shall be considered eligible: Prosecutor - full-time employee of a State or unit of local government (including tribal government) who

ASSISTANCE LISTINGS DESCRIPTIONS

is continually licensed to practice law and prosecutes criminal or juvenile delinquency cases at the state or unit of local government level (including supervision, education, or training of other persons prosecuting such cases). 34 U.S.C.10671. Prosecutors who are employees of the federal government are not eligible. Public Defender an attorney who is continually licensed to practice law and is a full time employee of a State or unit of local government (including tribal government) who provides legal representation to indigent persons in criminal or juvenile delinquency cases including supervision, education, or training of other persons providing such representation; is a full time employee of a nonprofit organization operating under a contract with a State or unit of local government who devotes substantially all of the employees full-time employment to providing legal representation to indigent persons in criminal or juvenile delinquency cases including supervision, education, or training of other persons providing such representation; or employed as a full-time Federal defender attorney in a defender organization pursuant to subsection (g) of section 3006A of Title 18, United States Code, that provides legal representation to indigent persons in criminal or juvenile delinquency cases.

Credentials/Documentation:

The designated agency must submit a completed Application for Federal Assistance (Standard Form 424), including a signed certification form stating that they will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of their program, the implementation process, and a timetable for implementation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A letter with copies of the grant award are sent to the designated agency upon approval by the Office of Justice Programs. A copy of the grant award must be signed by the authorizing official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Other. Contingent upon Congressional appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally awards will be for a one-year project and budget period. Method of

awarding/releasing assistance: other

Reports:

Recipients are required to submit individual progress reports and verification forms. Recipients are required to submit quarterly financial reports and "Exited/Repayment" verification forms. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$1,827,094.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

See solicitation guidelines on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For the most recent funding and awards, please visit <https://bja.ojp.gov/program/john-r-justice-jrj-program/overview>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Grant Program Specialist Latanza Wilson,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531

Email: Latanza.Wilson@usdoj.gov

Phone: (202) 616-6500

Website Address:

https://www.bja.gov/ProgramDetails.aspx?Program_ID=65

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The John R. Justice (JRJ) Grant Program (also referred to as the John R. Justice Student Loan Repayment Program or JRJSLRP) provided student loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who committed to extended service in those roles.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

ASSISTANCE LISTINGS DESCRIPTIONS

16.817 Byrne Criminal Justice Innovation Program

BCJI,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: The goal of the BCJI is to reduce crime and improve community safety as part of a comprehensive strategy to rebuild neighborhoods and spur revitalization. Through a broad cross-sector partnership team, including neighborhood residents, BCJI grantees target neighborhoods with persistent hot spots of violent and serious crime and employ data-driven, cross-sector strategies to reduce crime and violence and increase trust. Objectives: To achieve BCJI program goals and objectives, the fiscal agent must commit to accomplishing the following: Identify a neighborhood with a concentration of crime hot spots which have for a period of time composed a significant proportion of crime or types of crime; Ensure meaningful engagement of residents and other partners, including coordination in the development or implementation of a comprehensive and coordinated strategic plan; Develop and/or implement a plan that outlines a set of strategies that offers a continuum of approaches to address crime drivers including enforcement, prevention, intervention, and revitalization strategies with support from the BCJI training and technical assistance provider; Demonstrate commitment and a clear history of the partners, including the local law enforcement agency and a research partner or team, to support the data collection and analysis throughout the life of the grant; Demonstrate ability to hire and support a skilled lead site coordinator that will oversee and facilitate coordination and collaboration among criminal justice and service providers (e.g., by the formation of a diverse advisory board or cross-sector partnership team to address an identified problem); Facilitate, as appropriate, collaboration with relevant local, state, or federal initiatives located in, adjacent, or overlapping the jurisdiction that addresses issues that relate to the crime issues identified; and, Support the planning, implementation and sustainment of the program through proactive program management tied to rigorous research and data analysis, program assessment, and leverage other funding and resources.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Allowable uses of funds include the planning and implementation of data-driven, evidence-based strategies that target crime in locations where most crime is occurring and can have the biggest impact while also building the capacity of the community to deter future crime through neighborhood revitalization.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); See the current solicitation available at the Office of Justice Programs website

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Eligible entities to serve as fiscal agent include states, unit of local governments, non-profit organizations, and federally recognized Indian tribal governments as determined by the Secretary of the Interior.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form

424); Program Abstract; Program Narrative; Budget and Budget Narrative; Project Timeline and Position Descriptions; Letters of Support. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The BCJI application requires a consortium of partners (hereinafter cross-sector partnership) to work together to design a strategy addressing a targeted crime problem and responding to the scope of this solicitation. The application must also show commitment from the local law enforcement agency, community leaders, and a research partner as part of this partnership through detailed letters of support outlining their participation and partnership in the project. This cross-sector partnership must designate one agency or organization as the Fiscal Agent.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All competitive grant applications must be submitted via DOJ's Justice Grants System (JustGrants).

Applications or supplemental materials received by facsimile or postal mail will not be accepted. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. Subject to annual appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 36 months. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit semi-annual Progress Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees

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must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$15,501,596.00; FY 21 est \$22,000,000.00; FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

Planning and Implementation Award: up to \$1 million

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Please visit <https://www.lisc.org/our-initiatives/safety-justice/cbcr/where-it-happening/>

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Senior Policy Advisor Katharine Browning,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: AskBJA@usdoj.gov
Phone: (202) 616-6500 or 1-866-859-2647

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Evidenced-based crime reduction strategies; Technology based crime reduction initiatives; Opioid Overdose Reduction; Anti-Drug Initiatives; and, Victims and offender initiatives for the homeless 2021 To view BCJI projects, please visit <https://www.lisc.org/our-initiatives/safety-justice/cbcr/where-it-happening/>.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>) and/or <https://www.bja.gov/funding.aspx>.

16.818 Children Exposed to Violence

Strategies to Support Children Exposed to Violence,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

An act appropriating funds for the Department of Justice and Consolidated Appropriations Act, 2020, Pub. L. No. 116-93, 133 Stat 2317, 2409.,

OBJECTIVES:

The overall goal of the program is to build the capacity of families and communities to help children exposed to violence. The objectives include the following: 1) Improve family and community responses to children exposed to

violence; 2) Increase protective factors to prevent juvenile violence, delinquency, and victimization; and 3) Support communities to develop, design, and deliver prevention and intervention strategies to support children exposed to violence.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

On the basis of a yearly appropriation and sufficient funds, the use of the funds may be in support of prevention, intervention, treatment, and community organizing strategies to implement a comprehensive continuum of care for children and teens, from birth through age 17, who have been exposed to violence. 100% of the funds are used for discretionary activities in support of program implementation. As this is a discretionary grant programs, 100% of the funds are used for discretionary activities in support of program implementation.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Not applicable

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; State; Local; Other public institution/organization; Not applicable

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies by project

Appeals:

Not Applicable. Generally, not applicable but See 28 CFR Part 18

Renewals:

Other. See applicable program announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law

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103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be retained for a period of three (30 years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$7,275,535.00; FY 21 est \$8,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Awards may range up to \$1 million.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2022: In FY 2020, OJJDP awarded more than \$7 million to seven program sites to prevent and respond to childrens exposure to trauma and violence. Funds awarded under the Strategies To Support Children Exposed to Violence program are helping grantees develop services for affected children and implement strategies to reduce crimes committed by violent juvenile offenders

REGULATIONS, GUIDELINES, AND LITERATURE:

Children Exposed to Violence Program solicitations, Office of Justice Programs Financial Guide 2011 (www.ojp.gov/financial_guide/DOJ/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Leonora Fleming,
Office of Justice Programs 810 Seventh Street, NW,
Washington DC 20531
Email: Leonora.fleming@ojp.usdoj.gov
Phone: 202-307-1596

Fax:

Website Address:

<http://ojjdp.ncjrs.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.820 Postconviction Testing of DNA Evidence

Postconviction Program,

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

GOAL: To fund projects that assist States and units of local government with postconviction DNA testing in cases of violent felony offenses where actual innocence might be demonstrated.OBJECTIVES: Program objectives with respect to target number of cases to be reviewed and other concrete goals must be outlined in the proposal. Funded projects are expected to implement some aspect of each of the following activities, except for case identification, which is not mandatory: 1. Identify potential postconviction DNA testing cases. A maximum of 15 percent of the proposed budget may be dedicated to case identification activities. 2. Review appropriate postconviction cases to identify those in which DNA testing could prove the actual innocence of a person convicted of a violent felony offense as defined by state law. 3. Locate biological evidence associated with such postconviction cases. 4. Perform DNA analysis of appropriate biological evidence.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Federal funds must be used to supplement existing state and local funds for program activities and must not supplant those funds that have been appropriated for the same purpose. 1. Salary and Benefits of Additional EmployeesFunds may be used for salaries and benefits of additional full- or part-time employees to the extent that such employees are directly engaged in case identification, case review, location of evidence, or DNA analysis of biological evidence. Applicants should demonstrate that any additional full- or part-time employees will be directly engaged in these activities. Supplanting is not permitted. 2. OvertimeFunds may be used for employee overtime for the purpose of case identification, case review, location of evidence, or DNA analysis of biological evidence. Any payments for overtime must be in accordance with the applicable provisions of the DOJ Grants Financial Guide. 3. Travel (limited)Funds may be used for reasonable travel expenses directly associated with case identification, case review, location of evidence, or DNA analysis of biological evidence. Travel funds may also support attendance at allowable training activities as described under Training (limited) below. These costs must be in accordance with federal policy or an organizationally approved travel policy. The Office of the Chief Financial Officer reserves the authority to determine the reasonableness of the recipient's own established travel rates. Current federal travel policy and per diem rate information are available at www.gsa.gov/perdiem. 4. Computer EquipmentFunds may be used to upgrade, replace, lease, or purchase computer hardware or software that will be used exclusively for case identification, case review, location of evidence, or DNA analysis of biological evidence. 5. Laboratory SuppliesFunds may be used to acquire laboratory supplies for DNA analysis of biological evidence. The proposal must clearly demonstrate that the types and number of supplies requested are appropriate for the proposed caseload. Award recipients whose proposals involve the purchase or use of chemicals may encounter delays in the release of award funds pending satisfactory completion of the National Environmental Protection Act (NEPA) review process. 6. Engage Additional (Temporary) PersonnelFunds may be used to obtain the services of individuals (other than employees of the recipient) to perform case identification and case reviews, locate evidence, or perform DNA analyses of biological evidence in the crime laboratory. 7. Procurement from private laboratories of DNA analysesFunds may be used for contracts with accredited fee-for-service laboratories to conduct DNA analysis of biological evidence. 8. Training (limited)In limited circumstances, funds may be used for postconviction training directly related to case identification, case review, location of biological evidence, and DNA analysis of biological evidence. Funds for this purpose must be used only for grant-funded personnel directly engaged in case identification, case review, location of biological evidence, or DNA analysis of biological evidence. The proposal must clearly demonstrate that the proposed training directly supports the programs purpose areas, and is related directly to the

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job position and duties of the individual(s) receiving the training.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); The following entities are eligible to apply: States Units of local government Public institutions of higher education (including tribal institutions of higher education) For the purposes of this solicitation, the term state includes the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. Foreign governments, foreign organizations, and foreign institutions of higher education are not eligible to apply. All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee. BJA will consider applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be proposed as subrecipients (subgrantees). The applicant must be the entity that would have primary responsibility for carrying out the award, including administering the funding and managing the entire project. An entity may, however, be proposed as a subrecipient (subgrantee) in more than one application.

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; Graduate Student; Scientist/Researchers; State; U.S. Citizen; Local; Other public institution/organization; Ensures the availability of fair and impartial administration of justice to Americans who may have been unjustly convicted.

Credentials/Documentation:

If an award is made, prior to receiving award funds an applicant must submit an express certification from the chief legal officer of the State (typically the Attorney General) that the State:i. Provides postconviction DNA testing of specified biological evidence under a State statute, or under State rules, regulations, or practices, to persons convicted after trial and under a sentence of imprisonment or death for a State offense of murder or forcible rape, in a manner intended to ensure a reasonable process for resolving claims of actual innocence.ii. Preserves biological evidence secured in relation to the investigation or prosecution of a State offense of murder or forcible rape, under a State statute, local ordinances, or State or local rules, regulations, or practices, in a manner intended to ensure that reasonable measures are taken by all jurisdictions within the State to preserve such evidence.Any certification that is submitted must be personally executed by the chief legal officer of the State after a determination that the certification may properly be made. While it may be submitted with the application package, submission of this certification is not required at the time of application. If an award is made, access to award funds will be withheld until this certification is received and approved by BJA.Applicants proposing postconviction DNA testing projects involving cases outside of the applicants State are to provide (prior to receiving award funds) the express certification described above executed by the chief legal officer of the appropriate State for each State for which postconviction cases are sought to be funded in response to the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Note: An environmental impact assessment is not required at the time of application (or pre application), but is necessary for removing a withholding of funds special condition after an award is made.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at www.bja.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

BJA may use external peer reviewers, internal peer reviewers (DOJ employees), or a combination, to assess applications meeting basic minimum requirements on technical merit using the solicitations selection criteria. Peer reviewers ratings and any resulting recommendations are advisory only, although their views are considered carefully. Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant

Attorney General (Office of Justice Programs), who may consider factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance under prior OJP awards, and available funding when making awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 180 days.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 CFR Part 18.

Renewals:

Other. A request to change the project end date for an award may be submitted by the recipient by at least 30 days prior to the end date for the period of performance under the award, or by the awarding agency grant manager. Requests for extensions are not automatically granted. A one-time extension for no more than 12 months past the original end date (with sufficient accompanying justification) is typically granted. A request to extend the project period for more than 12 months requires additional justification of the circumstances.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards under this program typically are for project periods of up to 36 months. Method of awarding/releasing assistance: other

Reports:

See the current fiscal years solicitation available at www.bja.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the current fiscal years solicitation available at www.bja.gov, and the DOJ Grants Financial Guide, available at www.ojp.gov. See the current fiscal years solicitation available at www.bja.gov, and the DOJ Grants Financial Guide, available at <http://ojp.gov/financialguide/DOJ/index.htm>. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR Part 200 for the audit requirements.

Records:

See 2 CFR Part 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$6,186,277.00; FY 21 est \$8,000,000.00; FY 22 est \$12,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through BJAs Postconviction Testing of DNA Evidence Program, more than \$6 million was provided to help defray costs associated with identifying and reviewing postconviction DNA cases to help overturn wrongful

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convictions.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 2 CFR Part 200, 2 CFR Part 2800, the current fiscal years solicitation at www.bja.gov, and the DOJ Grants Financial Guide at <http://ojp.gov/financialguide/DOJ/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Andrea Borchardt,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, N.W.,
Washington DC 20531
Email: Andrea.Borchardt@usdoj.gov
Phone: 2025981721
Fax:

Website Address:

<https://www.bja.gov>

RELATED PROGRAMS:

16.746 Capital Case Litigation;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that meet basic minimum requirements will be evaluated by peer reviewers using the following review criteria. 1. Description of the issue (20 percent) 2. Project Design and Implementation (40 percent) 3. Capabilities and Competencies (30 percent) 4. Budget (5 percent) 5. Plan for Collecting the Data Required for this Solicitations Performance Measures (5 percent). See current solicitation at bj.gov for more information.

16.823 Emergency Planning for Juvenile Justice Facilities

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

The Juvenile Justice and Delinquency Prevention Act, 34 U.S.C. 11131(a), and an act appropriating funds for the Department of Justice,

OBJECTIVES:

The purpose of this project is to provide funding to States to develop comprehensive plans that address the specific needs of children, youth, and families involved in the justice system during an emergency. Specifically, the initiative supports implementation of the principles outlined in the OJJDP report, Emergency Planning for Juvenile Justice Residential Facilities (www.ncjrs.gov/pdffiles1/ojjdp/234936.pdf). This report is targeted to state, county, and local juvenile justice authorities charged with the custodial care and supervision of youth in the juvenile justice system, with particular focus on those authorities who oversee residential treatment and correctional and detention facilities that house juveniles via court-ordered placements. The principles outlined in this document may also apply to emergency planning for youth in out-of-home placement.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The primary purpose of the use of these funds is to support the development of comprehensive emergency plans for juvenile facilities within the State. To accomplish this task, assistance may be used for a number of key activities to include hiring consultants to facilitate the planning process, emergency preparedness training for staff; conducting needs assessments; development of protocols for communication with families, other agencies, and the public; and arranging for effective emergency medical care and mental health services. The goal of developing the emergency plan is to help ensure the efficient continuation

of operations during an emergency, the reduction of risk to the physical plant, and, most importantly, the safety and well-being of youth and staff in our nations juvenile justice residential facilities

Applicant Eligibility:

U.S. Territories and possessions; State; 1)24-US Territories; 13-state; The only eligible applicant for these funds is the designated state agency that receives Title II Formula Grant funds from OJJDP2)50-law, justice, and legal services; 72-youth development; 58-planning3)Contact the program office for additional information.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; State; Local; Juvenile Delinquent; Federally Recognized Indian Tribal Governments; 1)15-local; 14-state; 79-child; 80-youth2)Contact program office for additional information.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies by project

Appeals:

Not Applicable. Not applicable. There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

Other. See applicable program announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. Method of awarding/releasing assistance: other

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to report quarterly financial reports to OJP. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$454,084.00; FY 21 est \$500,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$70,000-\$91,000

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Emergency Planning for Juvenile Justice Facilities solicitation, Office of Justice Programs Financial Guide 2011 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,
Washington DC 20531
Email: Kellie.Dressler@usdoj.gov
Phone: 202-514-4817

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following five selection criteria will be used to evaluate each application, with the different weight given to each based on the percentage value listed after each

individual criteria 1.Statement of the Problem (15 percent) 2.Goals, Objectives and Performance Measures (5 percent) 3.Project Design and Implementation (45 percent) 4.Capabilities and Competencies (30 percent) 5.Budget: complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities) (5 percent)

16.824 Emergency Law Enforcement Assistance Grant

(EFLEA).

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

34 USC Code 50101. Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93

OBJECTIVES:

Mitigate the effects of emergency situations in the affected state and local jurisdictions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance may be used for personnel costs, to include overtime or straight time for officers affected by the emergency situation.

Applicant Eligibility:

State; U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); All States and the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, Trust Territory of the Pacific Islands and the Northern Mariana Islands.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Award Procedure:

The applicant will submit the required paperwork through Department of Justice, Office of Justice Programs Justice Grants (JustGrants) system, and the program office will review all relevant materials. Before the award can be processed, a financial clearance must be approved. BJA will make the award through the JustGrants system and provide notification to the grantee. The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants before the award is complete. BJA will review all applications in consultation with appropriate members of the federal law enforcement community, including the United States Attorney(s) in the affected District(s).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. BJA is responsible for approving or disapproving the application not later than 10 days after receiving the application.

Appeals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is normally 12 months, with availability of project period extensions. For additional information, see the Department of Justice Grants Financial Guide section on Period of Availability of Funds" at

<https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>

Method of awarding/releasing assistance: lump sum.

Reports:

Recipients are required to submit semi-annual progress reports to OJP. Recipients are required to report quarterly financial reports to OJP. To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111-352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$4,546,047.00; FY 21 est \$0.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Awards may be made up to the amount available under this program. For specifics, please see the current fiscal years solicitation available at the Office of Justice Programs web site

<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of Section 609M of the Justice Assistance Act of 1984 (42 USC 10513, Public Law 98-473). Solicitation guidelines are posted on the Office of Justice Programs web site at

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> . For additional

guidance reference the Department of Justice Grants Financial Guide

(<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions

(<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>).

Applicable administrative requirements and Department of Justice regulations

applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Brenda Worthington,

U.S. Department of Justice Office of Justice Programs Bureau of Justice

Assistance 810 7th Street, N.W.,

Washington DC 20531

Email: Brenda.Worthington@usdoj.gov

Phone: W) 202-305-7844; C) 202-598-0564

Fax:

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1) Problem: The nature and extent of such emergency throughout a State or in any part of a State; 2) Cause: The situation or extraordinary circumstances which produced such emergency; 3) Resources: The availability of State and local criminal justice resources to resolve the problem; 4) Assistance required: The cost associated with the increased Federal presence; 5) Other assistance: The need to avoid unnecessary Federal involvement and intervention in matters primarily of State and local concern; and 6) Other requirements: Any assistance which the State or other appropriate unit of government has received, or could receive, under any provision of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (JAG funding).

16.825 Smart Prosecution Initiative

IPL

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2406, Public Law

116-93, Title Consolidated Appropriations Act, 2021, , Statute 134-1182, 1258,

Public Law 116-260

OBJECTIVES:

Goal(s): The purpose of the Innovative Prosecution Solutions (IPS) for Combating Violent Crime Program is to provide state, local, and tribal prosecutors with resources to reduce crime and increase public safety. The program encourages prosecutors and agencies to use data in the development of their strategies and programs and to develop effective, economical, and innovative responses to crime within their jurisdictions. Objective(s): IPS programs test data-driven approaches that address one or more of the objectives listed below. Successful applicants will work closely with BJA staff to assist prosecutorial agencies in incorporating innovative or evidence-based prosecution strategies as a fundamental component of their effort to ensure public safety. Required Objectives: Test, establish, and/or expand programming that enhances prosecutors ability to effectively and sustainably prevent and respond to crime, especially violent crime, which could include the hiring of new prosecutors. Foster effective, formalized, and consistent collaborations with external agencies, and the communities they serve, to increase public safety. Use technology, intelligence, and data in innovative ways that enable prosecutors offices to focus resources on the people and places associated with high concentrations of crime and to allow state and local prosecutors offices to improve their operations in an effort to more efficiently and effectively aid communities in achieving a reduction in crime. Enable prosecutors offices to reduce caseloads to manageable levels through office modernization, hiring analytical or support staff, and updating information technology.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funding is for site-based awards and training and technical assistance (TTA).

Funds may be used to test data-driven strategies innovative, best practice, or

evidence-based as they are implemented by prosecutors. Smart Prosecution

applicants will identify a problem to be addressed and enlist a local research

partner to help assess the effectiveness of their Smart Prosecution effort.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

hospitals); Local (includes State-designated Indian Tribes, excludes institutions of

higher education and hospitals); Public nonprofit institution/organization (includes

ASSISTANCE LISTINGS DESCRIPTIONS

institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); The following entities are eligible to apply: State and local prosecutorial agencies; Federally recognized Indian tribal governments that perform prosecution functions; and Tribal consortia consisting of two or more federally recognized Indian tribes (including tribal consortia operated as nonprofit organizations) acting as a fiscal agent for one or more prosecutor agencies.

Beneficiary Eligibility:

Federal; Individual/Family; Minority group; Anyone/general public; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; N/A

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The application of E.O. 12372 is contingent upon whether the State has designated an entity to coordinate and review proposed federal financial assistance. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: <https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the DOJ's Justice Grants System (JustGrants) or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://grants.gov).

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 90 to 120 days. There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. A grant may be supplemented.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is drawn down according to a timeline submitted by grantee. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$7,194,869.00; FY 21 est \$8,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through previous BJA funding, some creative solutions developed by prosecutors around the country centered on: -Developing ways to identify the most violent repeat offenders. -Using data to develop zone/geographic prosecution. -Using crime analysis tools through strategies like GunStat to track gun violence. -Developing policies to prosecute witness intimidation cases. -Working jointly with parole and probation departments on violations of probation. -Enhancing the prosecutors role in investigations of homicide cases and cybercrime strategies to improve public safety.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Policy Advisor Tammy M. Brown,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: AskBJA@usdoj.gov
Phone: 202-353-5285 or 1-866-859-2687
Fax:

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Development of a Comprehensive Homicide Initiative to investigate homicides through prioritization of the most violent offenders; working jointly with parole and probation departments on violations of probations; and, enhancing the

ASSISTANCE LISTINGS DESCRIPTIONS

prosecutors role in investigations of homicide cases and cyber-crime strategies to improve public safety. Funding for a prosecutor to be assigned to the Crime Gun Intelligence Center team. Streamlining of data and definitions among law enforcement agencies to better share and target regional crime based on the chronic offender model. 2021 One IPS funded District Attorneys Office is serving as a data hub to enhance the countys ability to analyze national and regional crime trends and coordinate information-sharing between agencies, with a focus on career criminals, criminal organizations, and crime drivers. All intelligence is coordinated by an IPS Crime Analyst and centralized through the creation of a robust, integrated data-sharing system that will draw from data regionwide and analyze criminal networks and patterns. This data will inform the development of a cutting-edge map-based data program that can help inform focus areas for crime prevention.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm> and include: Statement of Problem/Project Design and Implementation/Capabilities and Competencies/Plan for Collecting the Data Required for this Solicitations Performance Measures/Budget/Plan for Sustainability

16.827 Justice Reinvestment Initiative

JRI,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2408-09, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goal:Under the enacted appropriations language, the Justice Reinvestment Initiative (JRI), helps states identify and respond to crime and other public safety problems, explore evidence-based or innovative and cost-saving strategies, and to invest in strategies that can decrease crime and reduce recidivism.Objective:The Justice Reinvestment Initiative currently funds two streams of work: state-based technical assistance and site-based grant awards. State-based JRI technical assistance supports states justice system stakeholders and policy leaders as they devise and implement data-driven approaches to reduce crime and recidivism, including operationalizing data results to reduce violent crime and supporting state and local agencies in their efforts to do the same. Under the JRI model, a governmental working group with bipartisan and inter-branch representation analyzes the crime, recidivism, and corrections data, and its associated costs, then develops cost- effective policy and practice options, and implements and measures those changes. The Justice Reinvestment: Reducing Crime by Improving Justice System Performance grant program allows eligible applicants to apply for funding to develop and implement innovative and research-based responses that address a range of criminal justice system problems. Successful applicants are expected to follow the five-step JRI process to meet their objectives: engage stakeholders; analyze data and the current landscape; develop a plan, procedures, and strategies; implement responses; and measure outcomes.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Engagement of stakeholders, including all agencies and individuals necessary to understand and address the problem.Analyze data and the current landscape (current risk assessment tools used, data systems, policies) to determine the cause(s), symptoms, and scope of the problem, as well as the impediments to addressing the problem. Develop a comprehensive set of strategies and then documents planned activities, including the parties responsible and timelines, and secures buy-in from stakeholders. Implements the policies, plans, and strategies, testing for results and incorporating feedback into the implementation process. Identifies existing and/or potential data sources that are required to conduct outcome evaluations of the proposed project strategies. Assess the effectiveness of

the implemented strategies and activities to address crime and recidivism reduction.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Eligible applicants to provide Justice Reinvestment state-based training and technical assistance and site-based training and technical assistance include: national scope private and nonprofit organizations (including tribal nonprofit or for-profit organizations) and colleges and universities, both public and private (including tribal institutions of higher education). Eligible applicants for the Justice Reinvestment: Reducing Crime by Improving Justice System Performance grant program include states.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative; Required certifications; indirect Cost Rate Agreement (if applicable); and Financial Management and System of Internal Controls Questionnaire.Justice Reinvestment: Reducing Crime by Improving Justice System Performance must also include documents related to compliance with section 1737. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: <https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Department of Justice, Office of Justice Programs, Justice Grants (JustGrants) system or through [grants.gov](https://www.justgrants.gov) following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.justgrants.gov).

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See the current fiscal years solicitation available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

From 90 to 120 days. There are no appeal rights for rejection of a discretionary application. Please see 28 CFR Part 18.

Renewals:

From 90 to 120 days. Yes, a grant can be supplemented or granted a no-cost extension, subject to BJA policy and contingent upon available appropriation.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. For direct grants to states, if a state has measured and reinvested savings (either projected or actual costs saved or avoided) into evidence-based strategies to reduce recidivism and make communities safer (see Selection Criteria outlined in the Solicitation for specifics), this solicitation does not require a match. If such a state proposes a voluntary match amount, however, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit. If a state has not measured and reinvested savings (see Selection Criteria outlined in the Solicitation for specifics), a match is required, and the following requirements apply: The amount of the match must equal the amount of federal funds being sought. Match funds are subject to the same regulations and restrictions as the federal funds for this program. Applicants must identify the source of the non-federal funds and how they will use the funds. If a successful applicant proposed match exceeds the required match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit. Applicants may satisfy this match requirement with cash, in-kind services, or a combination of the two. Match funds must be used for one or more of the states reinvestment strategies identified in the justice reinvestment process. For example, match funding can be used to expand or improve community-based treatment only if the JRI legislation or task force identified community-based treatment as a reinvestment priority. As another example, match funding could be used for skills-based trainings for probation officers if the states reinvestment strategies included more effective community supervision. Applicants must identify the target(s) of the reinvestment match with specificity, including, if applicable, names of agencies, service providers, or staff positions to be funded; a description of the kind of service to be provided or functions to be performed; what period of time will be covered; and amounts of funding. Applicants must document these commitments by including relevant attachments to the application. Example: For a federal award amount of \$1,750,000, the required reinvestment match is \$1,750,000. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>) and/or <https://www.bja.gov/funding.aspx>. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

See 2 CFR 200 for the government-wide requirements for maintenance of records by grant recipients.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$23,790,630.00; FY 21 est \$32,999,999.00; FY 22 est \$35,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation available at the Office of Justice Programs web site <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The 30+ states that have participated in JRI have saved or averted more than \$1 billion and invested half of that in strategies to reduce recidivism and improve system outcomes (see Reforming Sentencing Corrections Policy: The Experience of Justice Reinvestment Initiative States <https://www.urban.org/research/publication/reforming-sentencing-and-corrections-policy> and Justice Reinvestment Initiative Data Snapshot: Unpacking Reinvestment https://www.urban.org/sites/default/files/publication/98361/justice_reinvestment_initiative_data_snapshot_0.pdf).

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Senior Policy Advisor Heather Tubman-Carbone,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: heather.tubman-carbone@usdoj.gov
Phone: 2025141158
Fax:
Website Address:

<https://bj.a.ojp.gov/program/justice-reinvestment-initiative/overview>

RELATED PROGRAMS:

16.751 Edward Byrne Memorial Competitive Grant Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Through JRI, states have invested in a wide range of public safety strategies: community-based treatment and services community supervision in-prison programming problem-solving courts local corrections victims services law enforcement pretrial reform other investments. For more detail, please visit https://www.urban.org/sites/default/files/publication/98361/justice_reinvestment_initiative_data_snapshot_0.pdf.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <http://www.ojp.gov/funding/solicitations.htm> and/or <https://www.bja.gov/funding.aspx>.

16.828 Swift, Certain, and Fair Supervision Program: Applying the Principles Behind Project HOPE

SCF,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1259, Public Law 116-260

OBJECTIVES:

The purpose of the Swift, Certain, and Fair (SCF) Supervision Program is to provide state, local, and tribal community supervision agencies with information, resources, and training and technical assistance (TTA) to engage in collaborative problem-solving with stakeholders using data and research-informed strategies to assess and improve responses to client behavior in accordance with the principles of swiftness, certainty, and fairness; improve supervision outcomes; prevent recidivism; and reduce crime in their jurisdictions. The SCF principles are: Swiftnessresponding to behavior promptly so that people under supervision connect the response to their behavior. Certaintyensuring that sanctions and rewards are applied with consistency and predictability. Fairnessmaking sanctions proportionate to negative behavior and rewards appropriate to positive behavior.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Allowable uses for award funds can include the following activities to help state, local, and Tribal agencies develop or improve their SCF programs: Support the Project Coordinator position. Support a Research Partner Overtime for: 1) Court staff to complete paperwork; 2) Community corrections staff to expedite bench warrant service; and, 3) Community corrections officers and/or law enforcement officers to apprehend absconders. The development, implementation, and maintenance of a color code hotline. The development of a robust, onsite, rapid drug-screening process. Costs for onsite urine analysis testing. Costs for offsite urine analysis or hair testing. Additional BJA-approved contracted jail space, if needed. Travel costs for SCF team members to attend BJA-approved SCF trainings, project meetings, and peer-to-peer meetings (provide an estimate based on a 3-person team). Travel costs to attend the BJA Innovations Suite researcher-Practitioner Fellows Academy Other BJA-approved SCF project costs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Eligible applicants for project grants under the SCF Program include states (including the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands. (See 34 U.S.C. 10251.), units of local government, and federally recognized Indian tribal governments (as determined by the Secretary of the Interior).

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Anyone/general public; State; Drug Addict; Alcoholic; Local; Other public institution/organization; Federally Recognized Indian Tribal Governments; See goals and objectives for additional information. Also, view the current fiscal years solicitation available at the Office of Justice Programs web site at (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Proposal Abstract; Proposal Narrative; Budget Detail Worksheet and Budget Narrative; Letters of support from members of the applicants SCF team; Indirect Cost Rate Agreement (if applicable); Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high risk status); Disclosure of Lobbying Activities (SF-LLL); and additional application components. Additional application components include: Tribal Authorizing Resolution (if applicable); Research and Evaluation Independence and Integrity; Documentation of Advancing DOJ Priorities (if applicable); Documentation of High-Poverty Areas or Persistent-Poverty Counties (if applicable); Letter from Research Partner (if applicable); Letter of Support from Community Supervision Agency (if applicable); Letters of Support from All Other Key Partners; Position Descriptions; Documentation of Proposed Subrecipients (if applicable); and Request and Justification for Employee Compensation; Waiver (if applicable). Additional disclosures and assurances include: Applicant Disclosure of Duplication in Cost Items; DOJ Certified Standard Assurance; DOJ Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-

Free Workplace Requirements; and Applicant Disclosure and Justification DOJ High Risk Grantees (if applicable). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through [grants.gov](https://www.grants.gov) following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs web site <http://www.ojp.gov/funding/solicitations.htm>. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. Supplemental funding may be available in future years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The award period is for 48 months. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual Progress Reports Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants FY 20 \$3,561,351.00; FY 21 est \$4,500,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

Award amounts may be up to \$700,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: BJAs Improving Community Supervision Outcomes Through Swift, Certain, and Fair Responses awarded more than \$3.5 million to five state and local supervision agencies to develop and test new or enhanced applications of the swift, certain, and fair (SCF) principles of intervention to reduce recidivism and improve outcomes for people under community supervision.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Senior Policy Advisor Emily Chonde,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: emily.n.chonde@usdoj.gov
Phone: 202-305-9317

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

16.812 Second Chance Act Prisoner Reentry Initiative;

EXAMPLES OF FUNDED PROJECTS:

2020 Please visit <https://sfccenter.org/demonstration-field-experiment/>

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.830 Girls in the Juvenile Justice System

Delinquent Girls program, Girls in the Juvenile Justice system,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

34 U.S.C. 11171-11172 and an act appropriating funds for the Department of Justice and Consolidated Appropriations Act, 2020, Pub. L. No. 116-93, 133 Stat 2317, 2409... , USC Code 42 U.S.C. 5665-66.

OBJECTIVES:

To enhance knowledge and build capacity to address and improve services and treatment for girls at risk of entering or already involved in the juvenile justice system. More specifically the objectives are to: Increase protective factors to prevent further delinquent behavior by girls involved in funded programs. Improve juvenile justice system responses for girls in contact with the system. Implement prevention and early intervention programs (including mentoring) based on best practices for girls who are at risk or are currently in the juvenile justice system.. Develop or enhance reentry strategies to help vulnerable girls on a path toward success, stability, and long-term contribution to society while offering them opportunities to heal. There are several different types of reentry programs, such as therapeutic communities, mentoring, case management, cognitive behavioral programs, and reentry courts. Program models should be evidence-based and

consider girls unique life experiences and address the effects of past trauma, fear of stigma, and need for trusting relationships with adults.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Uses of these funds may be for one or more of the following activities: program services, staffing, training, technical assistance, professional development, and implementation of strategies and programs designed to address the unique program needs and service delivery requirements of girls at risk of entering or already involved in the juvenile justice system.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Eligible applicants are limited to states (including territories), units of local government, federally recognized tribal governments as determined by the Secretary of the Interior, nonprofit organizations, and for-profit organizations (including tribal nonprofit and for-profit organizations), as well as institutions of higher education (including tribal institutions of higher education). For-profit organizations (as well as other recipients) must agree to forgo any profit or management fee.

Beneficiary Eligibility:

Individual/Family; Education Professional; Juvenile Delinquent; Child (6-15); Youth (16-21); See applicable program announcement.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 180 days

Appeals:

Not Applicable. There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

Other. See applicable program announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

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are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project--see applicable program announcement. Method of awarding/releasing assistance: quarterly

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit semi-annual Progress Reports and quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-4-121.

Obligations:

Project Grants (Discretionary) FY 20 \$1,818,085.00; FY 21 est \$3,000,000.00; FY 22 est \$12,000,000.00;

Range and Average of Financial Assistance:

\$400,000-\$2,000,000

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2015 (www.ojp.usdoj.gov/financialguide/index.htm) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
Office of Juvenile Justice and Delinquency Prevention 810 Seventh Street, NW 5136,

Washington DC 20531

Email: Kerri.Shurg@usdoj.gov

Phone: 202-353-9093

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 In FY 2020, OJJDP award seven sites: Change Happens; Colorado Seminary, dba, The University of Denver; Louisiana Center for Children's Rights; Meharry Medical College; PACE Center for Girls; Virginia Commonwealth University; and Wisconsin Department of Justice.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.831 Children of Incarcerated Parents

Children of Incarcerated Parents Demonstration,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title An act appropriating funds for the Department of Justice and Consolidated Appropriations Act, 2020, Pub. L. No. 116-93, 133 Stat 2317, 2409...

OBJECTIVES:

The goal of this program is to assist states and localities in developing or expanding services that meet the needs of incarcerated parents and their children. Programs proposed should aim to prevent violent crime, reduce recidivism, and protect the safety of law enforcement (correctional officers) within state and locally managed facilities or private facilities under contract with a state or locality. In addition to engaging incarcerated parents and their children, this program supports the delivery of transitional reentry services, including services to minor children, upon release to reduce recidivism and prevent violent crime. Objective for this program include: (1) develop a coordinated system for the provision of programs and services that support the needs of children of incarcerated parents, such as mental health issues, substance use issues, and trauma-related issues, to ensure minor children remain connected with their parents in a structured and supportive environment; (2) develop and implement programs and resources that equip parents with tools to identify and address problematic behaviors in their children, thus improving the parents ability to be involved; (3) develop strategies and approaches to strengthen the relationships between incarcerated parents and their children; (4) seek to reduce the incarcerated parents behavioral infractions during incarceration and recidivism post-release; (5) provide services that foster positive youth development for children of incarcerated parents; these services may include, but are not limited to, mentoring for these children; and (6) develop innovative approaches that will enhance child/parent communication, such as the use of tele-visiting, emailing, letter writing, audio recordings, and transportation assistance for in-person visits.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The target population for this initiative is medium-to-high-risk youth. Targeted young fathers or mothers must be confined (held in secure confinement facilities, such as a juvenile detention center, juvenile correctional facility, staff-secure facility, jail, or prison of a local or state juvenile or adult correctional agency) and admitted to the program prior to their 25th birthday. However, award recipients may continue to implement a reentry plan for these individuals beyond their 25th birthday. OJJDP does not have a set timeline for terminating these services; they can continue as long as is deemed therapeutically necessary on a case-by-case basis with approval from OJJDP.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of

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higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; The target population for this program is incarcerated parents who have minor children younger than 18.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Health Professional; Student/Trainee; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Drug Addict; Alcoholic; Juvenile Delinquent; Infant (0-5); Child (6-15); Youth (16-21); Unemployed; Welfare Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolitan (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Not applicable

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF- 424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. varies by project

Appeals:

Not Applicable. Not applicable. There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

Other. See program announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See program announcement Method of awarding/releasing assistance: lump sum.

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work Recipients are required to submit quarterly Financial reports To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993

(GPRA), Public law 103-62, and the GPRA Modernization Act of 2010, Public law 111-352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-1-121.

Obligations:

Project Grants FY 20 \$4,556,102.00; FY 21 est \$5,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

award amounts vary according to solicitation

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Office also awarded \$9.7 million to support programs within correctional facilities that encourage family engagement between incarcerated parents and their minor children. The grants, awarded to 14 jurisdictions under the Second Chance Act: Addressing the Needs of Incarcerated Parents and Their Minor Children program, also fund reentry services for parents and programs that support the positive development of children with incarcerated parents.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Justice Financial Guide (https://ojp.gov/financialguide/DOJ/pdfs/2015_DOJ_FinancialGuide.pdf) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See also program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,
Washington DC 20531

Email: Kathy.Mitchell@usdoj.gov

Phone: 202-616-5176

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies as well as program priorities established by OJJDP and applicable laws.

16.832 Children of Incarcerated Parents Web Portal

ASSISTANCE LISTINGS DESCRIPTIONS

COIP Web Portal,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

34 U.S.C. 11171-11172 and An act appropriating funds for the Department of Justice and Consolidated Appropriations Act, 2020, Pub. L. No. 116-93, 133 Stat 2317, 2409.,

OBJECTIVES:

The goal of this program is to serve as a central repository of resources related to children of incarcerated parents and addressing promising strategies and practices that have been proven effective through evaluation and online publication of essential information to support effective programs for youth in the form of toolkits, guides, federal publications, links to technical assistance centers, videos and podcasts, articles, webinars, and program profiles. The purpose of this project is to provide support for the development and enhancement of a publically accessible internet website that will consolidate information regarding federal resources, grant opportunities, best and promising practices, and ongoing government initiatives that address and support children of incarcerated parents and their caregivers.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds can be used for developing a new or enhancing and existing web site that would accomplish the goals of the program .

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Funds under this program are not competitive and provided to the U.S. Department of Health and Human Services via an Interagency Agreement.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Health Professional; Student/Trainee; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Drug Addict; Alcoholic; Juvenile Delinquent; Infant (0-5); Child (6-15); Youth (16-21); Unemployed; Welfare Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolitan (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; The beneficiaries of this program are youth, families, and specialized service providers who can provide services to youth, incarcerated parents, and the communities

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement or award documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This is not a competitive grant opportunity.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are

notified via the Grants Management System.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18.

Renewals:

From 60 to 90 days. Contact the program office for renewal information.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards generally range from 12 to 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients are required to submit semi-annual Progress Reports as well as quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Recipients are required to submit quarterly financial reports To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and GPRA Modernization Act of 2010, Public Law 111-352, recipients must provide data that measures the results of their work

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0404-0-1-121.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$454,770.00; FY 21 est \$500,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Award amounts vary according to the appropriation amount

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Justice Financial Guide (https://ojp.gov/financialguide/DOJ/pdfs/2015_DOJ_FinancialGuide.pdf) and Post award Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See also Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention,
Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW.,
Washington DC 20531

Email: James.Antal@usdoj.gov

Phone: 202-307-5911

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the individual program announcement.

Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.833 National Sexual Assault Kit Initiative

SAKI,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: The goal of the SAKI is the creation of a coordinated community response that ensures just resolution to these cases whenever possible through a victim-centered approach, as well as to build jurisdictions capacity to prevent the development of conditions that lead to high numbers of un-submitted SAKs in the future. Objectives: The Sexual Assault Kit Initiative, administered by BJA, provides funding through a competitive grant program to support multi-disciplinary community response teams engaged in the comprehensive reform of jurisdictions approaches to sexual assault cases resulting from evidence found in previously un-submitted sexual assault kits (SAKs). Un-submitted kits (those in in police custody that have never been submitted to a crime laboratory for testing with CODIS-eligible DNA methodologies) and untested kits (those that have been submitted to crime labs but are delayed for testing (e.g. as a result of a backlog of work in the laboratory) are separate and distinct issues. The focus of this effort is on those un-submitted kits that have never been submitted to a crime laboratory.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds will be provided to address the issue of un-submitted SAKs and address the underlying issues that lead to the problem occurring. See the current solicitation available at the Office of Justice Programs website

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants for Purpose Areas 1, 3 and 4 are law enforcement agencies of states, units of local government, and federally recognized Indian tribal governments (as determined by the Secretary of the Interior), prosecutors offices, or a governmental non-law enforcement agency acting as fiscal agent for one of the previously listed types of eligible applicants. Eligible applicants for Purpose Area 2 are limited to Small Law Enforcement Agencies with less than 250 sworn officers OR consortia of small law

enforcement agencies. See the current solicitation available at the Office of Justice Programs website

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

Beneficiary Eligibility:

U.S. Citizen; U.S. Citizen.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. For specifics, please see the current fiscal years solicitation available at the Office of Justice Programs web site at

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants (JustGrants) system. The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Determined by the Assistant Attorney General, OJP.

Appeals:

Other. Determined by the Assistant Attorney General, OJP.

Renewals:

Other. BJA may, in certain cases, provide supplemental funding in future years to awards made under this program. Important considerations in decisions regarding supplemental funding include, among other factors, the availability of funding, strategic priorities, assessment of the quality of the management of the award (for example, timeliness and quality of progress reports), and assessment of the progress of the work funded under the award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance is available for up to 36 months. There are no restrictions placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability

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Office, Department of Justice Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$43,761,213.00; FY 21 est \$48,000,000.00; FY 22 est \$100,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through the National Sexual Assault Kit Initiative (SAKI), the Bureau of Justice Assistance (BJA) has worked with 54 jurisdictions across 35 states to date to identify over 200,000 unsubmitted sexual assault kits and to test more than 47,000 of these.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

Headquarters Office:

Senior Policy Advisor Dr. Angela Williamson,
U.S. Department of Justice Office of Justice Programs 810 7th Street, NW,
Washington DC 20531
Email: Angela.Williamson@usdoj.gov
Phone: 202-307-5831

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement available at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.834 Domestic Trafficking Victim Program

Domestic Trafficking Victim Program,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

The Trafficking Victims Protection Act (TVPA) of 2000, as amended; 22 USC 7105(b)(2) (state and local); 18 USC 3014(e)(B); 22 USC 7105(b)(2) (DTVF),

OBJECTIVES:

Under the Domestic Trafficking Victim Program, OVC has established the Improving Outcomes for Child and Youth Victims of Human Trafficking Program. The overarching goal of this program is to improve outcomes for children and youth who are victims of human trafficking by integrating human trafficking policy and programming at the state or tribal level and by enhancing a coordinated, multidisciplinary, and jurisdiction-wide approach to human trafficking. Under this Program, OJJDP has established the Childrens Advocacy Center Subgrant Program for Victims of Child Pornography under the Victims of Child Abuse Support for Childrens Advocacy Centers (CAC) Program. The goal of this program is to support local CACs, state chapters, and multidisciplinary teams (mdts) that provide a coordinated investigation and comprehensive response to child abuse, specifically to provide services and improve the response to children who are victims of child pornography, including those victims of child pornography who are also victims of sex trafficking. Successful applicants funded through the Improving Outcomes for Child and Youth Victims of Human Trafficking solicitation will engage in the following general activities in order to meet program goals and objectives: Develop and implement a jurisdiction-wide strategy to combat the identified greatest challenge areas in child and youth trafficking within the state or tribe; this strategy must be finalized and approved or enacted within the first year of the program start date. This strategy will also include developing a systematic method to screen for victimization across entities; developing protocols and procedures to ensure child and youth victims receive appropriate services; delivering staff training to implement and sustain the strategy jurisdiction-wide; and strengthening data collection across multiple systems of care. Develop, enhance, and coordinate programs and activities geared toward improving outcomes for child and youth victims of sex and labor trafficking. States or tribes should establish a program coordinator role to oversee program implementation and ensure coordination of anti-trafficking efforts in the state or tribal jurisdiction. Successful applicants funded through the Childrens Advocacy Center Subgrant Program for Victims of Child Pornography will: Release RFP(s) to award subgrants based on funding strategy that includes identified national needs. Provide training and technical assistance specific to the RFP as well as limited subject matter training for CACs and mdts. Subaward funds to local CACs, state chapters and mdts that demonstrate need for and capacity to improve CAC service provision and response to victims of child pornography (including victims of those victims of child pornography that are victims of sex trafficking). Provide oversight and monitoring of subaward activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Federal funds awarded under this program may not cover more than 75 percent of the total costs of the project. Applicants must identify the source of the 25-percent non-federal portion of the total project costs and how they will use match funds. If a successful applicants proposed match exceeds the required match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

Applicant Eligibility:

Government - General; U.S. Territories and possessions; please contact program office for additional information

Beneficiary Eligibility:

Federal; State; Local; please contact program office for additional information

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in [Grants.gov](https://www.grants.gov) at <https://www.grants.gov/web/grants/register.html>. To register in [Grants.gov](https://www.grants.gov), applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants

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will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via OJP's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Deadlines are included with the application instructions, which are posted on the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Appeals:

>180 Days. There are no appeal rights for rejection of a discretionary application.

Renewals:

Other. The terms for these grant program budget periods range from 24 to 36 months

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will be awarded for a 24 to 36 month project period. See the following for information on how assistance is awarded/release: Office of Justice Programs Financial Guide (<http://www.ojp.gov/financialguide/DOJ/index.htm>) and Post Award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>). Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Office of Justice Programs Financial Guide www.ojp.gov/financialguide/DOJ/index.htm To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 OMB Circular A-133, Audits of States, Local Governments and Non-Profit Organizations (includes revisions published in the Federal Register 06/27/2003 and 06/26/2007) http://www.whitehouse.gov/omb/circulars_default/Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of

the most recent audit.

Account Identification:

15-5606-0-1-754.

Obligations:

Project Grants FY 20 \$5,874,173.00; FY 21 est \$6,500,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

See the current fiscal years solicitation guidelines posted on the Office of Justice Programs web site at

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>

TAFS Codes:

15-5606.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: From July 2019 through June 2020, OVC human trafficking grantees provided services to 9,854 clients

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <http://www.ojp.gov/funding/solicitations.htm>. For additional guidance reference the Office of Justice Programs Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Senior Program Specialist Office of Justice Programs,
810 Seventh Street, NW,
Washington DC 20531
Email: sara.gilmer@usdoj.gov
Phone: 2023537223

Website Address:

<http://www.ovc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

16.835 Body Worn Camera Policy and Implementation

BWCCIP,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

Title The Consolidated Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

Goals: BJA is committed to helping law enforcement agencies identify the safest and most effective methods for deploying this technology and addressing factors such as privacy, archiving and legal regulations surrounding its use. BJA stands by to guide agencies through what can be a complex process toward more successful adoption of the technology.Objectives: Promote officer and citizen safety through comprehensive and policy-driven BWC deployments that have been demonstrated to help reduce incidents of use of force, reduce complaints against officers, and

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mitigate the lodging of false or unfounded complaints by citizens. Promote and strengthen relationships and strategies for the administration of justice within law enforcement agencies, and in coordination with courts, prosecutors, and defenders through innovative leadership and programs. Improve justice outcomes by providing sound and reliable digital media evidence for use by prosecutors and courts, as well as for internal law enforcement administrative proceedings.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Cooperative Agreements, Dissemination of Technical Information, Formula Grants, Investigation of Complaints, Project Grants, Provision of Specialized Services, Training, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Provide funding for criminal justice agencies seeking to establish new or expand existing BWC programs. Assist agencies develop appropriate policies, procedures and practices to further successful adoption of BWCs. Enhance police and community relations and increase transparency through BWCs. Enhance the evidentiary use of BWCs and promote prosecutorial, defense, and judicial policy and practices. Provide remote and onsite training and technical assistance (TTA) to criminal justice agencies and the communities they serve in regards to the implementation of sound BWC programs. Provide for an annual national conference to promote training and sharing of BWC program development skills. Respond to TTA requests from the field and provide appropriate on-site or virtual assistance. Maintain and enhance the Body-Worn Camera Toolkit developed by BJA, to include the development of content, tools, templates, and best practices. Increase the technical availability of tools that facilitate redaction, release, dissemination, and security to enhance the productive use of BWCs while ensuring privacy, civil, and victims rights are protected. Increase the availability of tools and analytics that promote officer safety, citizen safety, homeland security, and the efficiency of agency resources such as officer force multiplier effects.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants to be funded to deploy BWC programs are limited to public agencies of state government, units of local government, and federally recognized Indian tribal governments that perform law enforcement functions (as determined by the Secretary of the Interior); or any department, agency, or instrumentality of the foregoing that performs criminal justice functions (including combinations of the preceding, one of which is designated as the primary applicant). Additionally, training and technical assistance services require applicants be national or regional public and private entities, including for-profit (commercial) and nonprofit organizations (including tribal nonprofit or for-profit organizations), faith-based and community organizations, and institutions of higher education (including tribal institutions of higher education) that support initiatives to improve the functioning of the criminal justice system. For-profit organizations must agree to forgo any profit or management fee.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries are the criminal justice agencies involved in a BWC program deployment and the communities involved.

Credentials/Documentation:

Agencies requesting federal grants or cooperative agreements must demonstrate a collaborative relationship between law enforcement and prosecution. This documentation can be formal, such as memoranda of understanding or informal, such as letters of intent. Additionally, agencies requesting federal grants or

cooperative agreements must demonstrate a commitment to periodically reviewing BWC digital footage in an effort to assess and enhance practices that reduce officer risk and enhance officer safety. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. To learn if the state has designated an entity to coordinate and review proposed federal financial assistance see list of states at: <https://www.whitehouse.gov/wp-content/uploads/2020/04/SPOC-4-13-20.pdf>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 90 to 120 days. There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. Yes, a grant can be supplemented or granted a no-cost extension, subject to BJA policy.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Agencies deploying BWC programs have a 50% match requirement. TTA services do not have a match requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

New grant. Assistance is drawn down according to a timeline submitted by grantee. The grant period is 36 months. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$19,861,978.00; FY 21 est \$35,000,000.00; FY 22 est \$35,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>).

Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

John Markovic,

U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance 810 7th Street, NW,
Washington DC 20531

Email: john.markovic2@usdoj.gov

Phone: 202-616-3785

Website Address:

<http://www.bja.gov>

RELATED PROGRAMS:

16.738 Edward Byrne Memorial Justice Assistance Grant Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Future BWC Policy and Implementation Program funds will be directed to support procurement and implementation of BWCs in the context of evolving technological, legal, and policy developments. A portion of funds will be allocated to support projects that demonstrate effective management and use of digital media evidence to promote improved justice outcomes and to help prosecutors address challenges associated with managing increasing volumes of digital media data resulting from BWCs and other digital technology.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>) and/or <https://www.bja.gov/funding.aspx>. In summary: 1) Provide data in support of the need for body-worn cameras. 2) Describe the demographics of your jurisdiction. 3) Demonstrate a full understanding of how officer complaints and use-of-force practices can be addressed by BWCs. 4) Demonstrate a need to leverage DME (Digital Multimedia Evidence) to help inform adjudication of cases. 5) Demonstrate a partnership with associated agencies and advocacy groups necessary to effectively utilize DME and promote the program objectives. 6) Identify methods to develop policies and tracking mechanisms that include legal liabilities of FOIA, storage, retention, redaction, and expungement as well as privacy policies addressing BWC issues involving civil rights, domestic violence, juvenile, and other victims groups.

16.836 Indigent Defense

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

An act appropriating funds for the Department of Justice, , Public Law 114-113

OBJECTIVES:

This program supports states and localities in developing and implementing strategies to ensure that youth involved with the juvenile justice system have fair and equal access to quality legal representation; ensure that offenders involved with the juvenile justice system have resources that address the collateral consequences of justice system involvement; and/or provide training for the juvenile indigent defense bar, including public defenders and court-appointed counsel working on behalf of juvenile indigent defendants. The objective of this program is to enhance the capacity to deliver high-quality, fair, and comprehensive legal services to youth who have come into contact with the juvenile justice system- by . (1) developing and implementing specialized training for staff and stakeholders involved with assisting juvenile offenders within the juvenile justice system; (2) improving juvenile courts data collection and reporting capacity, specifically relating to youth and their legal representation, and access to services pre- and post-adjudication; (3) analyzing the juvenile defense delivery system(s) with respect to juvenile offenders access to services by identifying critical program, practice, and policy needs and gaps; areas for improvement; and aspects that are working and may be replicable and/or scalable across jurisdictions; and (4) addressing an identified need or gap within the applicants juvenile justice system related to providing juvenile offenders with plenary access to high-quality juvenile defense services.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Permitted uses of funds for civil legal assistance include the following: reentry services, including referral to and payment of legal services related to the purpose of the grant, such as securing a drivers license, expunging criminal records, litigating inappropriate denials of housing or employment and violations of the Fair Credit Reporting Act, creating and/or modifying child support orders, and other family law services that help stabilize individuals and families. This excludes the payment of fines or penalties associated with a drivers license suspension or the payment of child support.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Applicants are limited to states (including territories and the District of Columbia), federally recognized tribal governments (as determined by the Secretary of the Interior), nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education).

Beneficiary Eligibility:

Juvenile Delinquent; Youth (16-21); The beneficiary of these funds would be juvenile delinquents and youth.

Credentials/Documentation:

Generally applicant documentation includes the Standard Form 424 (SF-424 - Application for Federal Assistance), a program narrative, budget detail worksheet, and budget narrative. There also are a number of certifications that may be required, and other elements, as specified in the program announcement 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program may be eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. National training and technical assistance awards may be

ASSISTANCE LISTINGS DESCRIPTIONS

excluded from the E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants for DOJ funding can submit applications online through either the federal grants portal Grants.Gov (www.grants.gov) or the DOJ's Grants Management System (GMS) (<https://grants.ojp.usdoj.gov>). Each program announcement will specify which system should be used for that program and will contain detailed technical instructions on how to register with the system as well as apply for funding. Applicants are generally required to register and create a profile in GMS. Specific application instructions for program announcements are available at the Office of Justice Programs web site (<http://www.ojp.gov/funding/solicitations.htm>).

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via the [Office of Justice Programs Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other: varies by project.

Appeals:

Not Applicable. There are no appeal rights for the denial of a discretionary application. For discretionary awards, see generally, 28 C.F.R. Part 18

Renewals:

Other: See applicable program announcement

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Please review the published solicitation for specific information regarding the match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project—see applicable program announcement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See Uniform Administrative Requirements, 2 C.F.R. Part 200 at Subpart F - Audit Requirements (Sec. 200.500 - 200.507) as adopted by D.O.J. in 2 C.F.R. Part 2800.

Records:

All financial records, supporting documents, statistical records, and all other records pertinent to the award must be generally retained for a period of three (3) years from the date of submission of the final expenditure report (Federal Financial Report/SF-425). For more information and exceptions, see Uniform Administrative Requirements 2 C.F.R. 200.333 as adopted by D.O.J. in 2 C.F.R. Part 2800.

Account Identification:

15-0405-0-1-754.

Obligations:

Project Grants (Discretionary) FY 20 \$1,818,149.00; FY 21 est \$2,500,000.00; FY 22 est \$37,500,000.00;

Range and Average of Financial Assistance:

varies by project

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The National Juvenile Defender Center provides technical assistance to juvenile defenders and juvenile defense agencies across the country; conducts juvenile-specific trainings for public defenders and court appointed special counsel; supports the creation of specialized juvenile defender units; and develops tools, training and resources specific to identified regional, state, and local needs.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program solicitations, Department of Justice Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/funding/Implement/Resources/PostAwardInstructions.pdf>), applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.). See also program announcement and Uniform Administrative Requirements in 2 C.F.R. Part 200 as adopted and supplemented by D.O.J. in 2 C.F.R. Part 2800.

Regional or Local Office:

None.

Headquarters Office:

Office of Justice Programs,
U.S. Department of Justice
Office of Justice Programs
Office of Juvenile Justice and
Delinquency Prevention
810 7th Street, NW,
Washington DC 20531
Email: Julia.Alanen@usdoj.gov
Phone: 202-598-6892

Website Address:

<http://www.ojjdp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by OJJDP and applicable laws.

16.838 Comprehensive Opioid, Stimulant, and Substance Abuse Program

COAP.

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Comprehensive Addiction Recovery Act of 2016 (CARA), , Public Law 114-198, Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93

OBJECTIVES:

Goal: The new Comprehensive Opioid, Stimulant, and Substance Abuse Program (COSSAP), formerly COAP, was developed as part of the Comprehensive Addiction and Recovery Act (CARA) legislation. COSSAPs goal is to provide financial and technical assistance to states, units of local government, and Indian tribal governments to develop, implement, or expand comprehensive efforts to identify, respond to, treat, and support those impacted by illicit opioids, stimulants and other drugs of abuse. Objective: To address this goal, the COSSAP program, administered by BJA, provides funding through a competitive grant program to encourage and support comprehensive cross-system planning and collaboration among officials who work in law enforcement, pretrial services, the courts, probation and parole, child welfare, reentry, emergency medical services and

ASSISTANCE LISTINGS DESCRIPTIONS

healthcare providers, public health partners, and agencies who provide substance misuse treatment and recovery support services.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funding is for site-based awards and for training and technical assistance (TTA).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; For TTA - eligible applicants are limited to for-profit (commercial) organizations, nonprofit organizations (including tribal nonprofit and for-profit organizations), and institutions of higher education (including tribal institutions of higher education) that have experience delivering training and technical assistance nationwide. For-profit organizations must agree to forgo any profit or management fee. Applicants are encouraged to submit an application that demonstrates a thorough understanding of the diverse training and technical assistance needs of communities and states attempting to plan and implement comprehensive strategies in response to the growing opioid epidemic.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Anyone/general public; State; Drug Addict; Alcoholic; Local; Other public institution/organization; Federally Recognized Indian Tribal Governments; Program aims to plan and implement comprehensive strategies in response to the growing national opioid epidemic.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> and <https://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application. See section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. BJA may, in certain cases, provide supplemental funding in future years to awards made under this program. Important considerations in decisions regarding supplemental funding include, among other factors, the availability of funding, strategic priorities, assessment of the quality of the management of the award (for example, timeliness and quality of progress reports), and assessment of the progress of the work funded under the award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice Programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>. Method of awarding/releasing assistance: lump sum.

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit

<https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$148,694,549.00; FY 21 est \$185,000,000.00; FY 22 est \$190,000,000.00;

Range and Average of Financial Assistance:

For specifics, please see the current fiscal years solicitation available at the Office of Justice Programs web site

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

TAES Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: BJA is currently supporting an extensive portfolio of law enforcement and public health/behavioral health diversion projects (49 project from FY 2017 and 2018).48 grant awards established or expanded court-based or prosecutor-based programs for low-level, non-violent offenders.29 grant awards implemented or expanded evidence-based treatment in jails and prisons and support services upon reentry.48 grant awards implemented public education/awareness campaigns.12 grant awards strengthened PDMPs by integrating PDMPs with EHRs/HIEs.7 grant awards improved the quality and timeliness of data submitted to the PDMP from pharmacies. Fiscal Year 2021: BJA and various partners will launch demonstration projects that strategically blend funding from multiple Federal agencies and promote public-philanthropic partnerships. These demonstration projects will strengthen our understanding of effective community responses to illicit substance use and misuse, expand adoption of effective practices and support innovation, and build capacity in those communities most impacted by substance use disorder.National Initiative to Expand Opioid Use Disorder Treatment in Jails: Building Bridges Between Jails and Community-Based TreatmentOverdose Detection and Mapping Application

ASSISTANCE LISTINGS DESCRIPTIONS

Program (ODMAP) Statewide Expansion and Response Partnerships to Support Data-driven Responses to Emerging Drug Threats Rural Responses to the Opioid Epidemic. To view demonstration projects visit:
<https://www.cossapresources.org/Program/DemoProjects>

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

David Adams,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 Seventh Street, N.W.,
Washington DC 20531
Email: AskBJA@usdoj.gov
Phone: 2026166500
Fax:

Website Address:

<http://www.OJP.gov>

RELATED PROGRAMS:

16.738 Edward Byrne Memorial Justice Assistance Grant Program; 16.825 Smart Prosecution Initiative; 16.812 Second Chance Act Prisoner Reentry Initiative; 16.585 Drug Court Discretionary Grant Program; 16.754 Harold Rogers Prescription Drug Monitoring Program;

EXAMPLES OF FUNDED PROJECTS:

2020 COSSAP funding is designed to be flexible, allowing each community to address its unique needs and respond to emerging threats that may be local or regional in nature. Please visit <https://www.cossapresources.org/Program/SiteGrants> for specific projects by state and focus area.c

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

16.839 STOP School Violence

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title V of Division S of Public Law 115-141, Title The STOP School Violence Act of 2018, , Public Law 115-141, 34 USC Code 10551. Title Department of Justice Appropriations Act, 2020, , Statue 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statue 134-1182, 1258, Public Law 116-260

OBJECTIVES:

The goal of the STOP School Violence Program is to improve school security by providing students and teachers with the tools they need to recognize, respond quickly to, and help prevent acts of violence. Objective for Training: Train school personnel and educate students on preventing student violence against others and themselves. This can also include any program shown to improve school climate, including anti-bullying training, as well as specialized training for school officials to respond to mental health crises. Objective for school threat assessment and crisis intervention teams: To increase school safety by developing and implementing threat assessment and/or intervention teams to identify school violence risks and implement strategies to mitigate those risks. Objective for technology solutions: To

implement a technology solution, such as an anonymous reporting technology that can be implemented as a smartphone APP, a hotline, or a website in the applicants geographic area designed to provide a way for students, teachers, faculty, and community members to anonymously identify school violence threats, or other technology solutions that will improve school safety.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements or Contracts), Project Grants (Discretionary), Project Grants (for specified projects), Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Under this program, BJA will award grants to States, units of local government, federally recognized Indian tribes, public agencies (e.g., school districts, towns, cities and municipalities, individual schools, police departments, sheriffs departments, governmental mental health service providers, and health departments), nonprofit entities to include private schools for the training of teachers and the education of students with the intent to prevent acts of violence to others or self. This can also include any program shown to improve school climate, including anti-bullying training, as well as specialized training for school officials to respond to mental health crises. In addition, these awards can be used for school threat assessments, crisis intervention teams, and technology solutions such as anonymous reporting technology like APPS, hotlines, or websites and other school safety technology solutions.

Applicant Eligibility:

Government - General; Federally Recognized Indian Tribal Governments; State; States, units of local government, Federally recognized Indian tribes, Public agencies (e.g., school districts, towns, cities and municipalities, individual schools, police departments, sheriffs departments, governmental mental health service providers, and health departments), Nonprofit entities to include private schools. The STOP School Violence Act of 2018 describes those who are eligible to apply are States, units of local government, federally recognized Indian tribes, public agencies (e.g., school districts, towns, cities and municipalities, individual schools, police departments, sheriffs departments, governmental mental health service providers, and health departments), nonprofit entities to include private schools.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Health Professional; Education Professional; Student/Trainee; State; Local; School; Child (6-15); Youth (16-21); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); The STOP School Violence Act of 2018 states that training for teachers and education of students to prevent violence against others and self. This will include specialized training for school officials responding to mental health crisis.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> and <http://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.Grants.gov).

Award Procedure:

Upon approval by the Office of Justice Programs Assistant Attorney General, successful applicants are notified via DOJ's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Deadlines are included with the application instructions available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$165,431,731.00; FY 21 est \$79,000,000.00; FY 22 est \$82,000,000.00;

Range and Average of Financial Assistance:

Award amounts will range from \$1,000,000 up to \$2,000,000. See solicitation for specifics at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Please visit

<https://www.ojp.gov/sites/g/files/xyckuh241/files/media/document/stop-school-violence-awards-fs.pdf>

Fiscal Year 2021: It is anticipated that 300 applications will be received in FY21 and that 150 awards will be granted in FY21

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site

(<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>).

Regional or Local Office:

None.

Headquarters Office:

David Adams,

U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance 810 Seventh Street, N.W.,

Washington DC 20531

Email: AskBJA@usdoj.gov

Phone: 202-616-6500

Fax:

Website Address:

<http://bj.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Creation and delivery of education sessions for students, with the intent to prevent violence against others. Sessions should include best practices for recognizing and responding to potential signs of violence. Creation and delivery of evidence-based, comprehensive, multi-disciplinary training for school personnel enabling them to respond to mental health crises that may precipitate violent attacks on school grounds.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at

<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>

16.840 Keep Young Athletes Safe

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Title Protecting Young Victims from Sexual Abuse and Safe Sport Authorization Act of 2017, , Statue 132-318, Public Law 115-126, Title Keep Young Athletes Safe Act of 2018, , Statue 132-348, 1127, Public Law 115-141, Title Commerce, Justice, Science, and Related Agencies Appropriations Act, 2021,

OBJECTIVES:

Goals: To support oversight of the United States Olympic Committee, each national governing body and each Paralympic sports organization to safeguard amateur athletes against emotional, physical and sexual abuse in sports. Objectives: To safeguard young athletes participating in organized sports from sexual, physical and emotional abuse. The program is designed to establish effective oversight of sports organizations by providing comprehensive training programs, investigatory tools, policies and standards to prevent abuse; ensuring mechanisms are in place to report, investigate and prosecute all forms of abuse; and establishing best practices to address and support victims of abuse.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds are available to keep young athletes safe and to otherwise further the objectives identified above. This program furthers the Departments mission of reducing sexual violence by supporting an entity to provide oversight of the United States Olympic Committee, each national governing body and each Paralympic sports organization with regard to safeguarding amateur athletes against sexual, physical and emotional abuse in sports.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education

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and hospitals); Other public institution/organization; Non-Government - General; Eligible applicants are nonprofit, nongovernmental entities with nationally recognized expertise in preventing and investigating sexual, physical and emotional abuse in the athletic programs of the United States Olympic Committee, each national governing body, and each Paralympic sports organization. Applicants must have a recognized background investigating allegations of abuse and reporting to law enforcement in order to inform training and prevention activities. Applicants must also have the capacity to develop and implement trainings on the national, statewide and local levels, as well as oversee regular and random audits to ensure the policies and procedures used to identify and prevent the abuse of amateur athletes are followed correctly. Please see program announcement for specific eligibility.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Anyone/general public; Public nonprofit institution/organization; Other public institution/organization; The program will safeguard amateur athletes through the prevention of sexual, physical and emotional abuse in the athletic programs of the United States Olympic Committee, each national governing body, and each Paralympic sports organization.

Credentials/Documentation:

Applications must meet the requirements of the program solicitation, which sets forth application deadlines and documentation required. The application must include an Application for Federal Assistance (Standard Form 424), Program Narrative, Budget Detail Worksheet and other critical elements identified. This information may be found in the solicitation section, What an Application Should Include. In addition to the standard requirements, an application may be asked to include timelines, rsums, letters of support, etc. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit completed applications via OJP's Justice Grants System (JustGrants) following established criteria. The receipt, review and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Specific application instructions for solicitations are available at the Office of Justice Programs Funding Resource Center at <https://ojp.gov/funding/>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via OJP's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Award determinations are made within 180 days but no later than September 30.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, see 28 C.F.R. Part 18.

Renewals:

Other. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Please see applicable program announcement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Office of Justice Programs Financial Guide (www.ojp.gov/financialguide/index.htm). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Payments and transactions are subject to audits by the Government Accountability Office, the Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records and all other records pertinent to the award for at least three years following the close of the most recent audit. For additional guidance, visit the DOJ Grants Financial Guide, Retention and Access Requirements for Records at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants FY 20 \$2,279,647.00; FY 21 est \$2,500,000.00; FY 22 est \$2,500,000.00;

Range and Average of Financial Assistance:

Up to \$2,500,000 for the Keep Young Athletes Safe grant program.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The U.S. Center for SafeSport was awarded a Keep Young Athletes Safe grant to continue developing a comprehensive training and prevention program to prevent abuse in the athletic programs of the U.S. Olympic & Paralympic Committee, national governing bodies and Paralympic sports organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available on the Office of Justice Programs Funding Resource Center page at <https://ojp.gov/funding/>. For additional guidance reference the Department of Justice Grants Financial Guide at <https://ojp.gov/financialguide/DOJ/index.htm> and Post Award Instructions at <https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Portia Graham,
U.S. Department of Justice Office of Justice Programs SMART Office 810 7th Street, NW,
Washington DC 20531
Email: AskSMART@usdoj.gov
Phone: 2023072964

Fax:

Website Address:

<http://www.SMART.gov>

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RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Applications are judged according to their consistency with the policies and program priorities established by the Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking and applicable laws.

16.841 VOCA Tribal Victim Services Set-Aside Program

VOCA Tribal Set-Aside Program,

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

This project is authorized by the Commerce, Justice, Science, and Related Agencies Appropriations Act, 2019, Public Law 116-6, sec. 510., , Public Law

OBJECTIVES:

OVC administers the Tribal Victim Services Set-Aside to provide support to AI/AN communities to develop victim service projects and provide training and technical assistance services to eligible crime victims assistance programs. The Commerce, Justice, Science, and Related Agencies Appropriations Act, 2019, provides for five percent of funds made available for obligation from the Crime Victims Fund to be used to support a broad set-aside program for Indian tribes to improve services for victims of crime. The objective of the Tribal Victim Services Set-Aside is to improve the capacity of services for victims of crime within AI/AN communities

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funding can be used for activities that help establish, improve, and expand victims services and build the capacity of tribes to respond to crime victims needs. Funding can be used for any activities that are consistent with the Victims of Crime Act.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Indian tribes and tribal consortia (NOTE: eligible applicants may also include tribal organizations and organizations that directly serve Indian tribes).

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; The following will receive the ultimate benefits of the funding: Federally-Recognized Indian Tribal Governments Native American Organizations American Indians/Alaska Natives

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); Program Abstract; Program Narrative; Budget and Budget Narrative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. OVC will use information from prior listening sessions and consultations, as well as expedited processes to consult with tribal governments, leaders, and representatives; experts in the field; and other governmental agencies, to expand current programming and work to create a fair, comprehensive, and responsive program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In FY 2021, applications

will be submitted to DOJ in a NEW two-step process. Step 1: Applicants will submit an SF-424 and an SF-LLL in Grants.gov at <https://www.grants.gov/web/grants/register.html>. To register in Grants.gov, applicants will need to obtain a Data Universal Numbering System (DUNS) and System for Award Management (SAM) registration or renewal. Step 2: Applicants will submit the full application, including attachments, in JustGrants at <https://justicegrants.usdoj.gov/>. To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov and to correct in a timely fashion any problems that may have caused a rejection notification. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General, successful applicants are notified via OJP's Justice Grants System (JustGrants). The grant award must be accepted electronically by the receiving organizations authorized official in JustGrants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Deadlines are included with the application instructions available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months, but the VOCA 3% Tribal Victim Services Program award period may be expanded. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>

Account Identification:

15-5041-0-1-754.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$132,040,756.00; FY 21 est \$101,750,000.00; FY 22 est \$30,750,000.00;

Range and Average of Financial Assistance:

In FY19: Tribal Set-Aside: Up to \$720,000 per award.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Working in consultation with tribal stakeholders, the Tribal Victim Services Set-Aside grant program supported a wide range of activities including community planning, outreach, victim service program development and implementation.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Allison Turkel,
U.S. Department of Justice Office of Justice Programs Office for Victims of Crime
810 7th Street, NW,
Washington DC 20531

Email: AskOVC@usdoj.gov

Phone: 2023075983

Website Address:

<https://www.ovc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at

<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.842 Opioid Affected Youth Initiative

FEDERAL AGENCY:

OFFICE OF JUSTICE PROGRAMS, JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Title Consolidated Appropriations Act, 2018, , Statue 132-348, 423, Public Law 115-141, 34 USC Code 11171 - 11172.

OBJECTIVES:

Objectives include implementing programs and services that address public safety

concerns, intervention, prevention, diversion, and treatment services for children, youth, and families directly impacted by opioid abuse. Sites should work in partnership with representatives from law enforcement, education, probation and community supervision, juvenile court, mental health service providers, medical physicians/examiners, prosecutors, community-based organizations that address substance abuse, child welfare agencies, child protective services, first responders, and other community health agencies.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements or Contracts), Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

A training and technical assistance provider will: 1) assist sites with developing data sharing agreements; 2) interpreting data; 3) developing tools that can assist the sites with identifying trends, gaps in services and coordination, targeted demographics and public safety needs; 4) assist sites with developing performance and outcome measurements to improve service delivery and coordination, results and sustainability strategies; and 5) compare performance and outcome measurements across the sites to highlight changed outcomes, program impacts and lessons learned throughout the initiative to the Office of Juvenile Justice and Delinquency Prevention (OJJDP).

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; State; The Opioid Affected Youth Initiative supports states, local units of government, and Tribal Governments in 1) the development multi-disciplinary working groups; 2) the collection and interpretation of data to assist the working group in developing strategies and programming that will be used to better coordinate efforts; and 3) the implementation of services that will address public safety concerns, intervention, prevention and diversion services for children, youth and their families that are directly impacted by opioid addiction.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); State; Drug Addict; Local; Juvenile Delinquent; Infant (0-5); Child (6-15); Youth (16-21); Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Federally Recognized Indian Tribal Governments; A training and technical assistance provider will: 1) assist sites with developing data sharing agreements; 2) interpreting data; 3) developing tools that can assist the sites with identifying trends, gaps in services and coordination, targeted demographics and public safety needs; 4) assist sites with developing performance and outcome measurements to improve service delivery and coordination, results and sustainability strategies; and 5) compare performance and outcome measurements across the sites to highlight changed outcomes, program impacts and lessons learned throughout the initiative to the Office of Juvenile Justice and Delinquency Prevention (OJJDP).

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Upon approval by the Assistant Attorney General (for science offices, would be the Director of the applicable Office), successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs within 45 days of award date. For further information, please see <http://ojp.gov/financialguide/DOJ/PreawardRequirements/chapter2.2a.htm>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

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From 60 to 90 days. Deadlines are included with the application instructions available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>).

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award periods for Office of Justice programs generally range from 12 to 36 months. For specifics pertaining to this program, please see the current fiscal years program solicitation available at the Office of Justice Programs web site (<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at

<http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0405-0-1-754.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$9,098,031.00; FY 21 est \$10,000,000.00; FY 22 est \$16,000,000.00;

Range and Average of Financial Assistance:

The Opioid Affected Youth Initiative is new this Fiscal Year (2018) and has appropriated \$8,000,000 to the Office of Juvenile Justice and Delinquency Prevention.

TAFS Codes:

15-0405.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: OJJDP awarded nearly \$7 million to seven sites under the Opioid Affected Youth Initiative to develop data-driven responses to opioid abuse.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the current fiscal years program solicitation available at the Office of Justice

Programs web site

(<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>). For additional guidance, please reference the Department of Justice Grants Financial Guide (<http://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<http://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

OJJDP Program Manager,
U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention 810 7th Street, NW,
Washington DC 20531
Email: ASKOJJDP@usdoj.gov
Phone: 2023075911

Website Address:

<http://www.ojp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the current fiscal years program solicitation available at the Office of Justice Programs web site at

<http://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>.

16.843 Gulf States Regional Law Enforcement Technology Training and Technical Assistance Initiative

Regional Law Enforcement Technology Initiative,

FEDERAL AGENCY:

JUSTICE, DEPARTMENT OF

AUTHORIZATION:

Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93

OBJECTIVES:

Goal: Improve information and intelligence sharing with adjacent jurisdictions and those throughout the Gulf Shore states. Ensure technology is responsibly deployed and maintained properly. Objective: Improve/enhance suspicious activity reporting, analytical products, interactions with real-time crime center (RTCC) or intelligence centers, fusion centers, and other law enforcement sharing systems including the Regional Information Sharing Systems (RISS) and FBI's Law Enforcement Enterprise Portal (LEEP). Ensure policies and procedures regarding information sharing, technology and analysis are developed with regard to local, federal and state laws to protect and secure data and the privacy of individuals.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Resources, law enforcement technology, and technical assistance to law enforcement jurisdictions within the five Gulf States Alabama, Florida, Louisiana, Mississippi, and Texas to enhance information and intelligence sharing among them in order to reduce crime and drug trafficking.

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Applicants are limited to those in the Gulf States of Alabama, Florida, Louisiana, Mississippi, and Texas. The following entities are eligible to apply: local government entities, including local law enforcement entities tribal law

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enforcement agencies, or governmental non-law enforcement agencies acting as their fiscal agents federally recognized Indian tribal governments that perform law enforcement functions tribal consortia consisting of two or more federally recognized Indian tribes (including tribal consortia operated as nonprofit organizations) acting as fiscal agent for one or more tribal law enforcement agencies

Beneficiary Eligibility:

State, State, regional, county, local, and tribal law enforcement agencies and other criminal justice entities. The TTA will be delivered to remote locations in the Gulf States of Florida, Alabama, Louisiana, Mississippi, and Texas.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are made through a competitive process where applications are peer reviewed and scored.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. There are no appeal rights for rejection of a discretionary application, but for discretionary awards, please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For specifics, please see the current fiscal years solicitation available at the Office of Justice Programs web site

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional information, see the Department of Justice Grants Financial Guide section on "Period of Availability of Funds" at

<https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2a.htm>.

Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Reports are required to be submitted in the Grants Management System or through the National Training and Technical Assistance Center Reporting Portal. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work. Reports are required to be submitted in the Grants Management System or through the National Training and Technical Assistance Center Reporting Portal.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit

<https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$5,425,748.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm>. For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Program Manager David P. Lewis,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: david.p.lewis@usdoj.gov
Phone: 202-616-7829

Fax:

Website Address:

<https://www.bja.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.844 Combatting Contraband Cell Phone Use in Prisons

Combat Cell Phone Contraband,

FEDERAL AGENCY:

OJP BUREAU OF JUSTICE ASSISTANCE, JUSTICE, DEPARTMENT OF AUTHORIZATION:

Public Law 116-6, Title Consolidated Appropriations Act, 2020, , Statute 133-2317, 2409, Public Law 116-93, Title Consolidated Appropriations Act, 2021, , Statute 134-1182, 1258, Public Law 116-260

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

Goals: Effectively assist correctional agencies to develop a tactical approach for combatting cellphone contraband including policy reviews, operational orders, security overhaul, staff training, and meeting the mandates of authority established by other federal agencies with oversight provision. Objectives: Provide best practices including: technological solution implementation on comprehensive contraband interdiction systems for state and local governments; Resources and tools to enhance staff training for institutional corrections staff; Make available competitive sub-awards to correctional facilities to implement technologies that prevent and limit cell phone contraband and provide technical assistance on reviewing policies, procedures and technology implementation; Conduct effective case studies and maintain documentation on the interactions, training, procurements, developments and other processes with the grantees selected to receive sub-awards; Develop model policies, operational orders, and training curricula for state and local correctional agencies, to include cataloguing warrant language and state-level statutes, based on the respective case studies conducted for grantees selected to receive sub-awards; Make information publicly available including via an online toolkit or other agreed upon means.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Provide training and technical assistance to correctional agencies as they seek strategies, train staff, develop operational orders, draft warrants, and establish policy to seize and end the use of contraband cellphones, or take greater advantage of lawfully intercepted information from contraband cellphones.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are limited to nonprofit organizations, for-profit organizations (including tribal nonprofit or for-profit organizations), institutions of higher education (including tribal institutions of higher education), faith-based organizations, and consortiums with demonstrated experience in providing training and technical assistance on a national level in the identified subject areas. All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee. BJA welcomes applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be proposed as subrecipients (subgrantees). The applicant must be the entity that would have primary responsibility for carrying out the award, including administering the funding and managing the entire program. Only one application per lead applicant will be considered; however, an applicant may be proposed as a subrecipient (subgrantee) in multiple proposals.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the current fiscal years solicitation available at the Office of Justice Programs web site at <https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> and <https://ojp.gov/financialguide/DOJ/PreawardRequirements/index.htm> for additional information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After an external peer review, the submitted applications are tiered based on scores. Then an internal review will be conducted by BJA Policy team and they will make a recommendation to the BJA Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 180 days. Awards determination are made within 180 days but no later than September 30th. Contact the Bureau of Justice Assistance at <https://www.bja.gov/About/contact.html> for specifics.

Appeals:

Not Applicable. There are no appeal rights for rejection of a discretionary application. Please see section 28 Code of Federal Regulations (CFR) Part 18.

Renewals:

Other. In some cases, award periods may be extended if specific criteria are met. For details, please review the discussion of no-cost extensions in the Criteria for Award Extension section of the Department of Justice Grants Financial Guide available at <http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.2d.htm>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

3 years from the start of the federal fiscal year. October 1, 2021 September 30, 2024. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award's special condition, recipients are required to submit semi-annual Progress Reports. Unless otherwise specified in the award's special condition, recipients are required to submit quarterly Financial Reports in accordance with the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>). To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111352, recipients must provide data that measures the results of their work.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 2 CFR 200, Subpart F, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit. For additional guidance, please visit <https://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.16a.htm>.

Account Identification:

15-0404-0-1-754.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$1,823,717.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

BJA expects to make a maximum of 4 awards, with an estimated maximum dollar amount for each award up to \$400,000.

TAFS Codes:

15-0404.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitation guidelines are posted on the Office of Justice Programs web site at

ASSISTANCE LISTINGS DESCRIPTIONS

<https://ojp.gov/funding/Explore/CurrentFundingOpportunities.htm> . For additional guidance reference the Department of Justice Grants Financial Guide (<https://ojp.gov/financialguide/DOJ/index.htm>) and Post award Instructions (<https://ojp.gov/financialguide/DOJ/PostawardRequirements/index.htm>). Applicable administrative requirements and Department of Justice regulations applicable to specific types of grantees can be found in title 2 of the Code of Federal Regulations (2 C.F.R.).

Regional or Local Office:

None.

Headquarters Office:

Andre Bethea,
U.S. Department of Justice Office of Justice Programs Bureau of Justice Assistance
810 7th Street, NW,
Washington DC 20531
Email: Andre.Bethea@usdoj.gov
Phone: (202) 353-0583
Fax:

Website Address:

<https://www.bja.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

After meeting the eligibility listed in the solicitation, the applicant must be a national provider organization that has experience with the subject matter and working with correctional leaders and vendors nation-wide.

16.888 Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2017, Public Law 115-31.,

OBJECTIVES:

The Consolidated and Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies, hereafter referred to as the Consolidated Youth Program, supports activities that were previously funded under the following four OVW grant programs: Children Exposed to Violence Program (CEV); Services to Advocate for and Respond to Youth Program (Youth Services); Services, Training, Education and Policies to Reduce Sexual Assault, Domestic Violence, Dating Violence, and Stalking in Secondary Schools Grant Program (STEP); and Engaging Men in Preventing Sexual Assault, Domestic Violence, Dating Violence, and Stalking Program (Engaging Men). The Consolidated Youth Program creates a unique opportunity for communities to increase collaboration among non-profit victim services, violence prevention and children and youth-serving organizations, organizations and programs that support men's role in combating violence against women and girls, schools, and tribal and local government agencies. This program has two primary purposes and supports projects that implement one or both of these areas. First, the program supports comprehensive child- and youth-centered intervention and prevention programs that maximize community based efforts and evidence-informed practices to more fully address domestic violence, dating violence, sexual assault and stalking. Comprehensive programs include victim services to youth and children exposed to violence; training to professionals to improve interventions and responses; coordinated school based strategies; supportive services to non-offending parents; and coordinated community

responses to violence targeting children and youth. Second, the program supports multi-faceted prevention strategies that involve community organizing, outreach, public education and mobilization that utilize men as influencers of other men and boys and encourages them to work as allies with women and girls to prevent domestic violence, dating violence, sexual assault and stalking.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Consolidated Youth Program combines and replaces four discretionary grant programs. The program is designed to provide services to advocate for and respond to youth victims of domestic violence, dating violence, sexual assault, and stalking; assistance to children and youth exposed to such violence; programs to encourage men and youth in preventing such violence; and assistance to middle and high school students through educating and other services related to such violence.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants for grant funding are limited to: Nonprofit, nongovernmental entities with the demonstrated primary goal of providing services to children or youth exposed to or victims of domestic violence, dating violence, sexual assault, or stalking; Non-profit, nongovernmental entities with demonstrated histories of providing comprehensive services to children or youth exposed to or victims of domestic violence, dating violence, sexual assault, or stalking; Nonprofit, nongovernmental entities with demonstrated histories of creating effective public education and/or community organizing campaigns to encourage men and boys to work as allies with women and girls to prevent sexual assault, domestic violence, dating violence, and stalking; Indian Tribes or tribal nonprofit organizations that provides services to tribal children and youth exposed to or are of domestic violence, dating violence, sexual assault, or stalking; or Territorial, Tribal or unit of local government entities.

Beneficiary Eligibility:

State; Local; Other nonprofit, nongovernmental organizations including community and faith-based organizations

Credentials/Documentation:

Specific criteria for the program are provided in an annual solicitation available at www.justice.gov/OVW. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

ASSISTANCE LISTINGS DESCRIPTIONS

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants under this program will be for a period of three years. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$8,379,218.00; FY 17 est \$8,525,945.00; and FY 18 est \$8,500,000.00;

Range and Average of Financial Assistance:

\$340,000-\$750,000

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,
Washington DC 20530
Email: tia.farmer@usdoj.gov
Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.889 Grants for Outreach and Services to

Underserved Populations

Underserved Program,

FEDERAL AGENCY:

OFFICE ON VIOLENCE AGAINST WOMEN (OVW), JUSTICE,
DEPARTMENT OF
AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005,
, Statue 119-2990, Public Law 109-162, 42 USC Code 14045.

OBJECTIVES:

To develop and implement outreach strategies targeted at adult or youth victims of domestic violence, dating violence, sexual assault, or stalking in underserved populations and to provide victim services to meet the needs of adult or youth victims of domestic violence, dating violence, sexual assault, or stalking in underserved populations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants can be used for the following program purpose areas:(1) working with Federal, State, tribal, territorial and local governments, agencies, and organizations to develop or enhance population specific services;(2) strengthening the capacity of underserved populations to provide population specific services;(3) strengthening the capacity of traditional victim service providers to provide population specific services;(4) strengthening the effectiveness of criminal and civil justice interventions by providing training for law enforcement, prosecutors, judges and other court personnel on domestic violence, dating violence, sexual assault, or stalking in underserved populations; or(5) working in cooperation with an underserved population to develop and implement outreach, education, prevention, and intervention strategies that highlight available resources and the specific issues faced by victims of domestic violence, dating violence, sexual assault, or stalking from underserved populations.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are:(1) population specific organizations that have demonstrated experience and expertise in providing population specific services in the relevant underserved communities, or population specific organizations working in partnership with a victim service provider or domestic violence or sexual assault coalition;(2) victim service providers offering population specific services for a specific underserved population; or(3) victim service providers working in partnership with a national, State, tribal, or local organization that has demonstrated experience and expertise in providing population specific services in the relevant underserved population.

Beneficiary Eligibility:

Individual/Family; Adult and youth victims of domestic violence, dating violence, sexual assault, and stalking in underserved populations.

Credentials/Documentation:

Non-profit organizations must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to OVW.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Information regarding length of awards will be included in an annual solicitation for the program. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: other

Reports:

Reports are required as stipulated in the program regulations and the effective edition of the DOJ Financial Grants Management Guide. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. Quarterly Federal Financial Reports are required. Grantees must monitor grant and subgrant supported activities to assure compliance with applicable Federal requirements and that performance goals are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report. If any litigation, claim or audit is started before the expiration of the three year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

15-0409-0-1-754.

Obligations:

Project Grants FY 16 \$4,099,859.00; FY 17 est \$4,550,000.00; and FY 18 est \$3,400,000.00;

Range and Average of Financial Assistance:

Funding will be available for up to \$325,000.

TAFS Codes:

15-0409.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program solicitations can be found at <https://www.justice.gov/ovw/grant-programs>. Recipients must comply with the current version of the DOJ Financial Grants Management Guide found at <https://www.justice.gov/ovw/grantees>.

Regional or Local Office:

Headquarters Office:

Tia Farmer,
145 N. St. NE, Suite 10W121,

Washington DC 20530

Email: tia.farmer@usdoj.gov

Phone: 202-305-1177

Website Address:

<http://www.justice.gov/ovw>

RELATED PROGRAMS:

16.016 Culturally and Linguistically Specific Services Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation

16.922 Equitable Sharing Program

FEDERAL AGENCY:

CRIMINAL DIVISION, JUSTICE, DEPARTMENT OF
AUTHORIZATION:

The Controlled Substances Act, , 21 USC Code 881(e). Civil Asset Forfeiture Reform Act (CAFRA), , 18 USC Code 981(e)(2).

OBJECTIVES:

The Department of Justice Asset Forfeiture Program is a nationwide law enforcement initiative that removes the tools of crime from criminal organizations, deters crime and deprives wrongdoers of their criminal proceeds, and recovers property that may be used to compensate victims. Equitable sharing further enhances the law enforcement mission by fostering cooperation among federal, state, and local law enforcement agencies. Federal law authorizes the Attorney General to share federally forfeited property with participating state and local law enforcement agencies. The exercise of this authority is discretionary and limited by statute. The Attorney General is not required to share property in any case.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Comprehensive Crime Control Act of 1984 authorized federal officials to implement a national asset forfeiture program. One ancillary benefit of asset forfeiture is the authorization to share federal forfeiture proceeds with cooperating state and local law enforcement agencies, which provides agencies with valuable additional resources without burdening tax payer dollars. The Guide to Equitable Sharing for State and Local Law Enforcement Agencies (Guide) outlines the policies and procedures governing the receipt, use, maintenance and reporting of forfeited funds received through the Department of Justice Equitable Sharing Program (Program). The Guide is supplemented with the National Code of Professional Conduct for Asset Forfeiture and all Program participants must ensure compliance with the Code.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; A state or local law enforcement agency meeting eligibility requirements set forth in the Guide and that directly participates in an investigation or prosecution resulting in a federal forfeiture may request an equitable share of the net proceeds of the forfeiture. For purposes of equitable sharing, the Department of Justice defines a law enforcement agency as a state or local government organization authorized to engage in, as its primary function, the investigation and apprehension, or the prosecution of individuals suspected or convicted of offenses against the criminal laws of the United States or of any state, county, municipality, or territory of the United States. A state National Guard generally does not meet the law enforcement agency criteria for participation in the Program because its primary mission is a military or other non-law enforcement purpose. However, a National Guard Counterdrug unit may qualify for sharing if: (1) It is a distinct unit of a state National Guard that has counterdrug activities as its primary mission and receives funding solely for this purpose; or (2) It is a military police or similar state National Guard unit that provides support to federal law

ASSISTANCE LISTINGS DESCRIPTIONS

enforcement agencies in counterdrug activities.

Beneficiary Eligibility:

U.S. Territories; State; Local; Federally Recognized Indian Tribal Governments; A state or local law enforcement agency meeting eligibility requirements set forth in the Guide and that directly participates in an investigation or prosecution that results in a federal forfeiture may request an equitable share of the net proceeds of the forfeiture.

Credentials/Documentation:

The federal deciding authority must approve all sharing requests and recommendations, including sharing percentages negotiated in a task force or other agreement, before sharing occurs. Sharing will only be awarded to agencies that are compliant Program participants in good standing with the policies set forth in the Guide. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After the seizure or forfeiture of an identified asset, a participating state or local agency meeting all requirements set forth in the Guide may request a share of the property by submitting a Form DAG-71, Equitable Sharing Request Form, to the federal seizing agency. A separate Form DAG-71 must be completed for each asset to be shared.

Award Procedure:

Federal law authorizes the Attorney General to share federally forfeited property with participating state and local law enforcement agencies. The exercise of this authority is discretionary and limited by statute. The Attorney General is not required to share property in any case. No sharing request or recommendation is final until approved by the federal deciding authority. The equitable sharing deciding authority levels are specified in the Guide.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. The DAG-71 form must be submitted within 60 days of seizure of the asset. Late submissions will be accepted and reviewed on a case by case basis. Sharing determinations are generally made 120 to 180 days after forfeiture. However, longer periods may be required in complex cases.

Appeals:

Not Applicable. The exercise of this authority is discretionary and limited by statute. It is not subject to appeal or court review.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable Method of awarding/releasing assistance: other

Reports:

To ensure effective management, promote public confidence in the integrity of the Program, and protect the Program against potential waste, fraud, and abuse, participating agencies must follow reporting requirements in order to receive any distribution of money or property through the Program. To maintain compliance, a state or local law enforcement agency must annually submit to the Department of Justice Asset Forfeiture and Money Laundering Section (AFMLS) a signed Equitable Sharing Agreement and Certification form within 60 days after the end of the agency's fiscal year, regardless of whether funds were received or maintained during the fiscal year. The form must be signed by the heads of both the law enforcement agency and the governing body. By signing the forms Affidavit, the signatories agree to be bound by the policies that govern the Program, including but not limited to supplantation, the use, maintenance, and reporting of funds. Please see description under "Program Report" Please see description under

"Program Report" Please see description under "Program Report"

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance in excess of the applicable threshold in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than the applicable threshold in a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, and all other records pertinent to equitable sharing shall be retained for a period of 5 years following the conclusion of Federal assistance.

Account Identification:

15-5042-0-2-752.

Obligations:

Direct Payments for Specified Use FY 15 \$361,589,000.00; FY 16 Not Available; and FY 17 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

15-5042.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guide to Equitable Sharing for State and Local Law Enforcement Agencies (April 2009).

Regional or Local Office:

Headquarters Office:

Asset Forfeiture and Money Laundering Section,
1400 New York Avenue, NW,
Washington DC 20005
Email: afmls.aca@usdoj.gov
Phone: 202-514-1263

Website Address:

<http://www.justice.gov/criminal/afmls/equitable-sharing/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

LABOR, DEPARTMENT OF

17.002 Labor Force Statistics

FEDERAL AGENCY:

BUREAU OF LABOR STATISTICS, LABOR, DEPARTMENT OF
AUTHORIZATION:

Public Laws 58-57, 62-426, 71-537, 82-203, 93-203, 93-567, 94-206, 94-311, and 94-369; 29 U.S.C. 1, 2, 2b.5, 8, 882, 1601,

OBJECTIVES:

To provide, analyze, and publish statistical data on payroll employment and the civilian labor force, employment and unemployment, persons not in the labor force, labor demand and turnover, wages, hours, earnings, occupational employment,

ASSISTANCE LISTINGS DESCRIPTIONS

time use, and employment projections. Data are for the nation, states, metropolitan areas, and counties. Data can be monthly, quarterly, or annual.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Funds in the form of cooperative agreements are available to state agencies designated by the Governor, usually State Workforce Agencies (SWA), for staffing, travel, equipment, expenses, and indirect costs of statistical business process operations such as sample refinement, data collection, non-response follow-up, data review and editing, estimation, data analysis, and data dissemination.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Anyone/general public; SWAs designated under Section 4 of the Wagner-Peyser Act (as amended by the Workforce Investment Act and subsequently the Workforce Innovation and Opportunity Act) are eligible to apply for cooperative agreement funding to operate the Current Employment Statistics (CES), Local Area Unemployment Statistics (LAUS), Quarterly Census of Employment and Wages (QCEW), and Occupational Employment and Wage Statistics (OEWS) programs in the states. BLS may select an alternative applicant if a SWA declines to apply for cooperative agreement funding or otherwise substantially fails to meet BLS application and performance requirements. Requests for technical information may be made by the general public.

Beneficiary Eligibility:

Anyone/general public; General public may request information from the SWAs and the Bureau of Labor Statistics.

Credentials/Documentation:

The purpose of this revision is to update the language for BLS program 17.002 in the CFDA to reflect the applicability of OMB Circular A-87. Although no credentials or documentation are required, we discovered that the language in the CFDA for program 17.002 incorrectly states that OMB Circular A-87 does not apply to this program. The BLS LMI grantees are subject to the requirements of OMB Circular A-87. BLS Cooperative Agreements and other Administrative Memorandum reference OMB Circular A-87. Specifically, BLS agreements include an assurance that the grantees will comply with the required financial and compliance audits in accordance with the Single Audit Act, 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Draft and final applications for cooperative agreement funding are submitted to the appropriate regional office of the Bureau of Labor Statistics according to a schedule of key dates. Requests for information may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Award Procedure:

Upon submission of an acceptable application and after negotiation of specific program deliverables and costs, the Bureau of Labor Statistics awards cooperative agreement funding to the SWA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The typical process would include guidelines and application materials being mailed to SWA in early summer, with initial drafts required by mid-summer, and final applications due by late summer. Cooperative agreements are executed and become effective October 1.

Appeals:

Not Applicable.

Renewals:

Other. Each fiscal year the Bureau of Labor Statistics enters into new cooperative agreements with appropriate SWAs.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreement funding generally is for a single fiscal year. Method of awarding/releasing assistance: quarterly

Reports:

LMI Cooperative Agreement reporting requirements are based on the SWAs Cost Accounting System (CAS) reports or their Financial Accounting and Reporting System (FARS) equivalents. CAS report 65, or FARS Report 11, is submitted monthly. CAS reports 61, and 94B, or FARS Report 12A and 17, are submitted quarterly. SWAs choosing not to use the CAS- or FARS- generated reports must submit the LMI Cooperative Statistics Financial Report in lieu of CAS reports 61, 65 and 94B or FARS reports 11, 12A and 17. This report is submitted monthly for data which would appear on CAS report 65 or FARS report 11, and quarterly for CAS reports 61 and 94B, or FARS reports or 12A and 17. Federal Financial Reports are required and submitted on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit requirements are in accordance with 2 CFR 200.

Records:

Recordkeeping is in accordance with 2 CFR 200.

Account Identification:

16-0200-0-1-505.

Obligations:

Salaries and Expenses FY 20 \$288,095,291.00; FY 21 est \$290,370,000.00; FY 22 est \$299,041,000.00;

Range and Average of Financial Assistance:

The size of each cooperative agreement reflects the staff and non-personal resources required to operate the programs in a state. The range of awards in fiscal year 2020 was from \$62,000 (Guam) to \$7,434,000 (California). The average was \$1,281,000.

TAFS Codes:

12-1223.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Employment and Earnings;" "Monthly Labor Review;" "Occupational Employment and Wages;" BLS Handbook of Methods;" "Publications of the Bureau of Labor Statistics;" "Handbook of Labor Statistics;" "Geographic Profile of Employment and Unemployment;" Employment Projections and OOH; and "Employment and Wages." Monthly Press Releases: "The Employment Situation;" "Metropolitan Area Employment and Unemployment;" "State Employment and Unemployment;" "Job Openings and Labor Turnover;" and Real Earnings." Quarterly Press Releases: "County Employment and Wages;" "Usual Weekly Earnings;" and "Business Employment Dynamics." Cooperative agreement application instructions, including all applicable regulations, are available from Bureau of Labor Statistics regional offices free of charge.

Regional or Local Office:

See Regional Assistance Locations. Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Associate Commissioner Julie Hatch Maxfield,
2 Massachusetts Avenue, N.E.,
Washington DC 20212
Email: hatch.julie@bls.gov
Phone: (202) 691-5473

Website Address:

<https://www.bls.gov>

RELATED PROGRAMS:

17.005 Compensation and Working Conditions; 17.004 Productivity and Technology Data;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.003 Prices and Cost of Living Data

FEDERAL AGENCY:

BUREAU OF LABOR STATISTICS, LABOR, DEPARTMENT OF AUTHORIZATION:

Public Laws 62-426, 71-537, and 94-206, 29 U.S.C. 1-2.,

OBJECTIVES:

To provide, analyze, and publish statistical data for assisting in the evaluation of consumer, producer, export and import prices and price changes, and consumer expenditures.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Salaries and Expenses

USES AND USE RESTRICTIONS:

Provides for Consumer Price Indexes (CPI) which measure the average change in prices of goods and services consumed by all urban households as well as urban wage earners and clerical workers. As the basis for most estimates of changes in the purchasing power of the consumer's dollar, the CPI is a Principal Federal Economic Indicator and has widespread use in escalation adjustments in both the private and public sectors. Provides for Producer Price Indexes (PPI) which measure the average change in selling prices that domestic producers of goods, services, and construction receive for their output and which are widely cited within price escalation clauses of long term sales contracts to protect both parties against inflation. Provides for Export and Import Price Indexes (MXPI) which measure the average change in import and export prices, which are used to adjust international trade data and to measure the effect these price changes have on the competitiveness of the U.S. economy. Provides for Consumer Expenditure Surveys (CE), which supply periodic updates to the market basket and the weights used to calculate the CPI. In addition, the CE supplies information on consumers' spending and income by characteristics such as income, age, race, family size, and region.

Applicant Eligibility:

Anyone/general public; Request for information may be made by the general public.

Beneficiary Eligibility:

Anyone/general public; General public.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for information and assistance may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

16-0200-0-1-505.

Obligations:

Salaries and Expenses FY 20 \$209,904,333.00; FY 21 est \$220,324,000.00; FY 22 est \$233,033,000.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1223.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Consumer Price Index"; "Producer Price Index"; "Export Price Index"; "Import Price Index"; "Consumer Expenditure Survey," "Monthly Labor Review"; "BLS Handbook of Methods," BLS Bulletin 2490; "Major Programs of the Bureau of Labor Statistics"; "Publications of the Bureau of Labor Statistics."

Regional or Local Office:

See Regional Assistance Locations. Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Commissioner Jeffrey Hill,
2 Massachusetts Avenue, N.E.,
Washington DC 20212
Email: hill.jeff@bls.gov
Phone: (202) 691-6960

Website Address:

<https://www.bls.gov>

RELATED PROGRAMS:

17.005 Compensation and Working Conditions;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.004 Productivity and Technology Data

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

BUREAU OF LABOR STATISTICS, LABOR, DEPARTMENT OF

AUTHORIZATION:

Public Laws 54-249, 62-426, 71-537, 76-77, and 94- 206, 29 U.S.C. 1, 29 U.S.C. 2b.,

OBJECTIVES:

To provide, analyze, and publish data on productivity trends in the U.S. economy, as well as in major sectors, individual industries and states, and examine the factors underlying productivity change.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Salaries and Expenses

USES AND USE RESTRICTIONS:

Provides for: (a) Labor Productivity (output per hour worked), unit labor costs and related measures for the business, nonfarm business and nonfinancial corporate sectors, for manufacturing sectors, and for industries; (b) Multifactor productivity indexes (output per combined inputs of capital and labor) for the private business and private nonfarm business sectors; and (c) Multifactor productivity measures (output per combined inputs of capital, labor, energy, materials, and purchased business services) for manufacturing and nonmanufacturing industries.

Applicant Eligibility:

Anyone/general public; Not applicable.

Beneficiary Eligibility:

Anyone/general public; Not applicable.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

16-0200-0-1-505.

Obligations:

Salaries and Expenses FY 20 \$11,144,064.00; FY 21 est \$11,464,000.00; FY 22 est \$12,375,000.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

12-1223.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"BLS Handbook of Methods"; "Major Programs Bureau of Labor Statistics"; "BLS Publications on Productivity and Technology"; "Estimating Capital Inputs for Productivity Measurement: An Overview of Concepts and Methods"; "The Impact of Research and Development on Productivity Growth"; "Trends in Multifactor Productivity, 1948-81"; "Labor Composition and U.S. Productivity Growth, 1948-90"; "Monthly Labor Review."

Regional or Local Office:

See Regional Assistance Locations. Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Commissioner Lucy P. Eldridge,

2 Massachusetts Avenue, N.E.,

Washington DC 20212

Email: Eldridge.Lucy@bls.gov

Phone: 202-691-5600

Website Address:

<https://www.bls.gov>

RELATED PROGRAMS:

11.025 Measures and Analyses of the U.S. Economy;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.005 Compensation and Working Conditions

FEDERAL AGENCY:

BUREAU OF LABOR STATISTICS, LABOR, DEPARTMENT OF

AUTHORIZATION:

Public Laws 62-426, 71-537, 91-596 and 94-206, 29 U.S.C. 1-2; 29 U.S.C. 2b; 29 U.S.C. 4; 5 U.S.C. 5305; 29 U.S.C. 651; 29 U.S.C. 673.,

OBJECTIVES:

To provide, analyze, and publish a diverse set of measures of employee compensation, including cost, wages, and benefits and work-related injuries, illnesses, and fatalities, compile work stoppages statistics, and conduct research to improve the measurement process.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

This program provides the following information: (A) level and trend data on employee wages and compensation; (B) studies of employee benefits plans; (C) national and state data on occupational injuries, illness and fatalities; and (D) information on work stoppages. It also makes available funds in the form of cooperative agreements to state agencies or designated local governments for collection of data on occupational injuries, illnesses, and fatalities. The Occupational Safety and Health Statistics program authorizes funds on a matching basis to states or local governments to assist them in developing and administering programs.

Applicant Eligibility:

Anyone/general public; State agencies or designated local governments are eligible to apply for cooperative agreement funding to share costs in operating statistical programs dealing with occupational safety and health statistics. Request for copies of published studies and reports may be made by the general public.

Beneficiary Eligibility:

Anyone/general public; General public.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

None for the general public requesting data: OMB Circular No. A-87 requirements apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for information may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the catalog. Applications for cooperative agreement funding are submitted to the appropriate regional office of the Bureau of Labor Statistics according to a schedule of key dates.

Award Procedure:

Upon submission of an acceptable application and after negotiation of specific program deliverables and costs, the Bureau of Labor Statistics awards cooperative agreement funding to the state or local government.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The typical process would include guidelines and application materials being mailed to state or local government in early summer, with initial drafts required by mid-summer, and final applications due by late summer.

Cooperative agreements are executed and become effective October 1.

Appeals:

Not Applicable.

Renewals:

Other. Each fiscal year the Bureau of Labor Statistics enters into new cooperative agreements with appropriate state or local governments.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 91-596, Section 24.Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. The Federal share for each state's or local government's statistical program may be up to 50 percent of the state's or local government's total cost for the Occupational Safety and Health Statistical program.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreement funding generally is for a single fiscal year. Method of awarding/releasing assistance: quarterly

Reports:

Cooperative agreements with state or local government specify quarterly financial reports and periodic program reports. Federal Financial Reports are required and submitted on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit requirements are in accordance with 2 CFR 200.

Records:

Recordkeeping is in accordance with 2 CFR 200).

Account Identification:

16-0200-0-1-505.

Obligations:

Salaries and Expenses FY 20 \$83,423,575.00; FY 21 est \$84,337,000.00; FY 22 est \$89,875,000.00;

Range and Average of Financial Assistance:

The size of each cooperative agreement reflects the staff and nonpersonal resources required to operate the program. The range of awards in fiscal year 2020 was from \$10,000 (Idaho) to \$653,000 (California). The average was \$137,000.

TAFS Codes:

12-1223.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NCS national news releases on Employee Benefits in the U.S., the Employment Costs Index, and Employer Costs for Employee Compensation; Employment Costs Index data; NCS publications on benefits at the national level; monthly releases on Major Work Stoppages and an annual summary; OSHA news releases on occupational injuries, illnesses and fatalities; OSHA publications on injuries, illnesses, and fatalities at the national and state level; other information about these programs can be found in "Major Programs of the Bureau of Labor Statistics," "Bureau of Labor Statistics Customer Service Guide," and "BLS Handbook of Methods."

Regional or Local Office:

See Regional Assistance Locations. Contact the appropriate regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Commissioner Hilery Simpson,
2 Massachusetts Avenue, N.E.,
Washington DC 20212
Email: simpson.hilery@bls.gov
Phone: 202-691-5184

Website Address:

<https://www.bls.gov>

RELATED PROGRAMS:

17.003 Prices and Cost of Living Data; 17.002 Labor Force Statistics;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Acceptable application and specific program deliverables and cost.

17.007 International Labor Programs

International Labor Programs,

FEDERAL AGENCY:

BUREAU OF INTERNATIONAL LABOR AFFAIRS, LABOR, DEPARTMENT OF

AUTHORIZATION:

Public Law 115-245

OBJECTIVES:

The Bureau of International Labor Affairs (ILAB) promotes a fair global playing field for workers in the United States and around the world by enforcing trade commitments, strengthening labor standards, and combating international child labor, forced labor, and human trafficking. ILAB combines trade and labor monitoring and enforcement, policy engagement, research, and technical cooperation to carry out the international responsibilities of the Department of Labor.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

That funds available to the Bureau of International Labor Affairs may be used to administer or operate international labor activities, bilateral and multilateral technical assistance, and microfinance programs, by or through contracts, grants, subgrants and other arrangements, including programs to combat exploitative child labor internationally and model programs that address worker rights issues through technical assistance in countries with which the United States has free trade agreements or trade preference programs. 100% of funds are for discretionary

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activities.

Applicant Eligibility:

Anyone/general public; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations;

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of federal staff and peer reviewers evaluates eligible submitted applications. The panel prepares a report for the Grant Officer identifying the strengths and weaknesses of each application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors as cited in the funding opportunity announcement. Once selections are made by the Grant Officer, an Award Notification is sent to awardees. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. See www.grants.gov.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects generally run from 3 to 4 years. Method of awarding/releasing assistance: Funds are made available through the Payment Management System operated by the Department of Health and Human Services. Funds may be drawn down as expenses are incurred or for immediate needs. Method of awarding/releasing assistance: other

Reports:

See www.grants.gov. See www.grants.gov. See www.grants.gov. See www.grants.gov. See www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Account Identification:

16-0165-0-1-505.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 17 Not Available; FY 18 Not Available; and FY 19 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-0165.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Bruce Yoon,
200 Constitution Ave. NWWashington, DC 20210,
Washington DC 20210
Email: yoobruce@dol.gov
Phone: 202-693-4770
Fax:

Website Address:

<https://www.dol.gov/agencies/ilab>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.150 Employee Benefits Security Administration

(EBSA),

FEDERAL AGENCY:

EMPLOYEE BENEFITS SECURITY ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

Employee Retirement Income Security Act of 1974, , Public Law 93-406

OBJECTIVES:

The Employee Benefits Security Administration (EBSA) is responsible for the administration and enforcement of the civil and criminal provisions of Title I of the Employee Retirement Income Security Act of 1974 (ERISA) as well as related provisions of other Federal laws (e.g., Title 18 USC federal criminal statutes). EBSA is also responsible for assuring compliance with the fiduciary responsibility provisions of the Thrift Savings Plan under the Federal Employees' Retirement System Act of 1986 (FERSA). The Employee Benefits Security Administration (EBSA) is committed to educating and assisting more than 154 million workers, retirees and their families covered by approximately 722,000 private retirement plans, 2.5 million health plans, and 885,000 other welfare benefit plans holding approximately \$12.2 trillion in assets; as well as plan sponsors and members of the employee benefits community. EBSA balances proactive enforcement with compliance assistance and works diligently to provide quality assistance to plan participants and beneficiaries. It is the policy of EBSA to provide the highest quality of service to its customers. EBSA's mission is to assure the security of the

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retirement, health and other workplace related benefits of America's workers and their families. We will accomplish this mission by developing effective regulations; assisting and educating workers, plan sponsors, fiduciaries and service providers; and vigorously enforcing the law.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Salaries and Expenses

USES AND USE RESTRICTIONS:

EBSA's compliance assistance program provides information to assist plan participants including, beneficiaries, sponsors, service providers and other interested parties in understanding and complying with the requirements of ERISA as it applies to the administration of employee retirement, health and other welfare benefit plans. This enables participants to better understand and exercise their rights under the law and, when possible, to recover any benefits to which they may be entitled. This also allows plan administrators and practitioners the opportunity to better understand and comply with the law.

Applicant Eligibility:

Individual/Family; Plan administrators, trustees, participants, beneficiaries of employee benefit plans, or others involved in plan administration may obtain assistance in complying with the Act. Interested persons may obtain certain plan documents which are required to be filed by plan administrators.

Beneficiary Eligibility:

Individual/Family; Plan officials, trustees, participants, beneficiaries of employee benefit plans, or others involved in plan administration.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Contact the nearest field office of the Employee Benefits Security Administration listed in Appendix IV of the Catalog, EBSA's web site at www.dol.gov/ebsa or EBSA's hotline at (1 866) 444-EBSA (3272). (Participants and beneficiaries should first contact their plan administrator for plan information.)

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

16-1700-0-1-601.

Obligations:

Salaries and Expenses FY 20 \$181,000,000.00; FY 21 est \$181,000,000.00; FY 22 est \$218,475,000.00;

Range and Average of Financial Assistance:

Data not available

TAFS Codes:

16-1700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, EBSA utilized an aggressive outreach, education, and participant assistance program to inform the public of their rights and benefits as well as to educate the regulated community regarding their responsibilities and obligations under the law. EBSA programs utilized a number of different approaches to ensuring employee benefits were protected, including national/regional enforcement priorities and projects, voluntary compliance programs, civil/criminal litigation, and research-based analysis to achieve program results. In addition, the economic research program continued to study economic issues affecting all employee benefits programs. EBSA's approach to promoting benefits security in FY 2020 was developed and implemented in response to public concerns, the Agency's experience with civil and criminal investigations, participant inquiries, research, and trends and vulnerabilities identified in the private sector.

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of the final regulations, proposed regulations, and other directives are available from the national office or on EBSA's website at www.dol.gov/ebsa. Certain audio-visual material also are available for hearing-impaired audiences. EBSA publishes a variety of free publications directed to employers and participants which can be obtained from its website and its publication hotline at (1 866) 444-EBSA (3272).

Regional or Local Office:

See Regional Assistance Locations. Persons desiring assistance should contact the nearest Department of Labor, Employee Benefits Security Administration field office listed in Appendix IV of the Catalog.

Headquarters Office:

Benefits Advisors,
Employee Benefits Security Administration, Department of Labor, 200
Constitution Avenue, NW., Room N5623,
Washington DC 20210
Email: webmaster@dol.gov
Phone: 1-866-444-3272

Website Address:

<https://www.dol.gov/agencies/ebsa>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.201 Registered Apprenticeship

Fitzgerald Act,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

National Apprenticeship Act of 1937 (Fitzgerald Act); Title 29, Part 29, Section 1-14, Public Law 75-308, 29 USC Code 50.1-4. Public Law 114-113; Consolidated Appropriations Act, 2016, Public Law 115-31; Consolidated Appropriations Act, 2017, Public Law 115-141; Consolidated Appropriations Act, 2018, Public Law 115-245; Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019,

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Public Law 116-94; Consolidated Appropriations Act, 2020

OBJECTIVES:

To promote, engage, and assist industry in the development, expansion, and improvement of Registered Apprenticeship. This program is designed to: provide skilled workers required by U.S. employers, ensure equal employment opportunities, and ensure the quality of all new and existing Registered Apprenticeship programs.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Project Grants (for specified projects), Salaries and Expenses, Training

USES AND USE RESTRICTIONS:

The Registered Apprenticeship system has been utilized to meet the needs of America's skilled workforce for more than 75 years. It is a unique, flexible training system that combines job-related-technical instruction with structured on-the-job learning experiences. Registered Apprenticeship is a leader in preparing American workers to compete in a global 21st Century economy because the system keeps pace with advancing technologies and innovations in training and human resource development. Under the Office of Apprenticeship (OA), apprenticeship training programs and apprentices are registered in 25 States. OA also provides technical assistance and works closely with State Apprenticeship Agencies (SAAs) in the remaining 25 States, the District of Columbia, Guam, and two territories that register programs and apprentices in accordance with Federal standards. OA provides information on existing and recommended standards of training in Registered Apprenticeship. The wage rates of apprentices in registered programs (Federal and State) are exempt from the prevailing wage requirements of the Davis-Bacon Act and the Service Contract Act. Registered programs are driven directly by the demands of the labor market. The training is industry-recognized, voluntarily sponsored by an individual business or an employer association, and may be partnered with a labor organization through a collective bargaining agreement. OA brings these entities together, as appropriate, to formulate Registered Apprenticeship training programs which meet the federal standards. There are no grant funds available to employers for construction of training facilities, curricula development, and apprentices' subsidies. Apprentices start working from day one with incremental wage increases as they become more proficient on the job. Apprenticeships range from one to six years. The "Earn and Learn" training model of Registered Apprenticeship provides an assigned mentor for the on-the-job learning. The related instruction, technical training, or other certified training is provided by training centers, technical schools, community colleges and/or institutions employing distance and computer-based learning approaches. The goal is to provide workers with advanced skill sets that meet the specific needs of employers. Upon completion of a Registered Apprenticeship program, participants receive an industry issued, nationally-recognized credential that certifies occupational proficiency, is portable, and can provide a pathway to the middle class. In many cases, these programs provide apprentices with the opportunity to simultaneously obtain secondary and post-secondary degrees. Registered Apprenticeship is highly active in traditional industries such as construction and manufacturing but it is also instrumental in training and development in high-growth industries such as advanced manufacturing, energy, health care, homeland security, information technology, telecommunications, and more. The Registered Apprenticeship system provides the opportunity for workers seeking high-skilled, high-paying jobs and for employers seeking to build a quality workforce. In this regard, the Registered Apprenticeship system meets the needs of both workers and employers.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups,

cooperatives, corporations, partnerships, associations); Employers, unions, and other workforce intermediaries can be eligible to start and maintain Registered Apprenticeship programs. The vast majority of programs are not funded by Federal grant funds. The OA and SAAs provide technical assistance.

Beneficiary Eligibility:

Student/Trainee; Women; Youth (16-21); Welfare Recipient; Anyone/general public; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); School; Mentally Disabled; Unemployed; Moderate Income; Low Income; Education (9-12); Education (13+); Registered Apprenticeship program sponsors identify the minimum qualifications to apply into their apprenticeship programs. Individuals applying for acceptance into an apprenticeship program must be at least 16 years old. To be an apprentice in a hazardous occupation, the individual must usually be 18 years. Program sponsors may also identify additional minimum qualifications and credentials to apply, e.g., education, ability to physically perform the essential functions of the occupation, ability to complete the related technical instruction, proof of age. Along with the completed application form, each prospective apprentice may be required by the program sponsor to submit a transcript of school subjects and grades, proof of age, an honorable military discharge (if applicable), and a high-school diploma or equivalence certificate (if applicable). References from all previous employers may be required.

Credentials/Documentation:

Along with the completed application form, each prospective apprentice may be required by the program sponsor to submit a transcript of school subjects and grades, proof of age, an honorable military discharge (if applicable), and a high-school diploma or equivalence certificate (if applicable). References from all previous employers may be required. This program is excluded from coverage under 2 CFR 200, Subpart E-Cost Principles 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For Program Registration: Prospective program sponsors must meet with an OA or SAA field representative, as appropriate, for technical assistance to develop a set of apprenticeship training program standards. These include the age and educational background of apprentices, and a schedule of the work processes and related technical instruction subjects to be covered during the training program. The program will be registered if it meets Federal requirements covering (a) the apprenticeability of the occupation(s) and (b) the suitability of the training standards for providing apprentices with sufficient knowledge to become skilled workers.

Award Procedure:

Not applicable for advisory services and counseling type of assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. OA refusal to register programs and OA de-registration of existing programs may be appealed to the OA Administrator as provided in Title 29 CFR Part 29. For grants, procedures for each project are specified in the applicable FOA.

Renewals:

Other. Reinstatement of program registration is set out in Title 29 CFR Part 29.9.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

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n/a Method of awarding/releasing assistance: other

Reports:

Progress Reports: The program sponsor reports new apprentice registrations, suspensions, cancellations, completions, and program status to the OA or SAA field representative.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

For program sponsors: Apprenticeship selection records showing compliance with the nondiscrimination requirements set out in Title 29 CFR Part 30 are required to be kept for 5 years. The program sponsor reports new apprentice registrations, suspensions, cancellations, completions, and program status to the OA or SAA field representative. Program sponsors must record and maintain all records concerning apprenticeship as may be required by OA or recognized SAA and other applicable law as provided in Title 29 CFR Part 29.

Account Identification:

16-0174-0-1-504. 16-0172-0-1-504.

Obligations:

Salaries and Expenses FY 20 \$36,000,000.00; FY 21 est \$36,000,000.00; FY 22 est \$47,000,000.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

16-0172. 16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning the Registered Apprenticeship Program may be found in the following regulations: Title 29 CFR 29: "Apprenticeship Programs, Labor Standards for the Registration, Amendment of Regulations," at <http://www.doleta.gov/oa/pdf/FinalRule29CFRPart29.pdf> Title 29 CFR Part 30: "Equal Employment Opportunity in Apprenticeship and Training" at <http://www.gpo.gov/fdsys/pkg/CFR-2010-title29-vol1/pdf/CFR-2010-title29-vol1-part30.pdf>

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Regional or Local individuals are encouraged to communicate with the Regional Directors of the Office of Apprenticeship (OA), as appropriate. Region I (Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Puerto Rico, Rhode Island, Vermont, and Virgin Islands), Mr. Bernard Treml, Boston Regional Director, USDOL/ETA/OA, JFK Federal Building, 15 New Sudbury Street, Room E 370, Boston, MA 02203, Telephone (617) 788-0177, E-mail: Treml.Bernard.E@dol.gov; Region II (Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, and West Virginia), Mr. James Foti, Philadelphia Regional Director, USDOL/ETA/OA, 170 S. Independence Mall West, Suite 820-East, Philadelphia, PA 19106, Telephone 215-861-4830, E-mail: foti.james@dol.gov Region III (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee), Mr. Garfield G. Garner, Jr., Atlanta Regional Director, USDOL/ETA/OA, 61 Forsyth Street, SW, Room 6T71, Atlanta, GA 30303, Telephone (404) 302-5478, E-mail: garner.garfield@dol.gov; Region IV (Arkansas, Colorado, Louisiana, Montana, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah, and Wyoming), Mr. Dudley Light, Dallas Regional Director, USDOL/ETA/OA, Federal Building, 525 S. Griffin Street, Room 317-L, Dallas, TX 75202, Telephone 972-850-4682, E-Mail: Light.Dudley@dol.gov Region V (Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, Ohio, and Wisconsin), Mr. Dean Guido, Chicago Regional Director, USDOL/ETA/OA, Federal Building, 230 South Dearborn Street, Room 656, Chicago, IL 60604, Telephone (312) 596-5500, E-Mail: guido.dean@dol.gov; Region VI (Alaska, Arizona, California, Guam, Hawaii, Idaho, Nevada, Oregon, and Washington), Patricia Garcia, San Francisco Regional

Director, USDOL/ETA/OA, 90 Seventh St., Suite 17-100, San Francisco, CA 94103, Telephone (415) 625-2232, E-Mail: Garcia.patricia@dol.gov.

Headquarters Office:

Mr. Alexander Jordan,
200 Constitution Ave., NW, C-5311, Washington, District of Columbia 20210,
Washington DC 20210

Email: jordan.alexander@dol.gov

Phone: 202-693-3748

Fax: 202-693-3799

Website Address:

<https://www.dol.gov/agencies/eta/apprenticeship/www.apprenticeship.gov>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.258 WIOA Adult Program; 17.259 WIOA Youth Activities; 17.285 Apprenticeship USA Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedure for award is specified in the application request. Generally, the procedure is as follows: A technical review panel will carefully evaluate applications against the selection criteria. These criteria are based on the policy goals, priorities, and emphases set forth in the FOA. The ranked scores (which may include the mathematical normalization of review panels) will serve as the primary basis for selection of applications for funding, in conjunction with other factors such as geographic balance, the availability of funds, and which proposals are most advantageous to the government. Award Notices are posted on the Employment and Training Administration (ETA) Grants Awarded Page at http://www.doleta.gov/grants/grants_awarded.cfm. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

17.207 Employment Service/Wagner-Peyser Funded Activities

Wagner- Peyser Act of 1933.,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014., , Public Law 113-128

OBJECTIVES:

The Employment Service (ES) program brings together individuals looking for employment and employers looking for job seekers. It does this by providing a variety of services, which are available to all individuals. The program provides job seekers with career services, including labor exchange services, job search assistance, workforce information, referrals to employment, and other assistance. Employers can use the ES to post job orders and obtain qualified applicants.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

FORMULA GRANTS:Wagner-Peyser Employment Services Grants: The Wagner-Peyser Act of 1933 established a nationwide system of public employment offices collectively known as the Employment Service (ES). The Workforce Innovation and Opportunity Act (WIOA), amended Wagner-Peyser, making the Employment Service part of the American Job Center (AJC) Network, also known as the One-Stop Career Center delivery system. AJCs provide an integrated array of high-quality services which allow workers, job seekers, and businesses to access services under one roof, with many services also offered through self-service electronic access, both at the Centers and remotely on the Internet. These services provide universal access to all including persons with barriers to employment.For Wagner-Peyser Employment Services Grants to States, funds must be used for

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career services, including labor exchange activities and the provision of labor market information. Training is not an allowable activity. Services available include assessments of career interests, career guidance when appropriate, job search workshops, and referral to jobs or training as appropriate. Services offered to employers in addition to referral of job seekers to job openings, include matching job requirements with applicants' experience, skills and other attributes; helping with special recruitment needs; assisting employers with hard-to-fill job orders; assisting with job restructuring; and helping employers assist laid-off workers. Under WIOA, the ES has increased its reemployment services to Unemployment Insurance (UI) claimants. Under Wagner-Peyser Act Section 7(b), 10 percent of the total sums allotted to each state workforce agency (SWA) are reserved for use by the Governor to provide performance incentives, services for individuals with special needs, and for the extra costs of exemplary models for enhancing state workforce staff with professional development and career advancement opportunities. Labor Market Information Grants to States: These fund the provision of state and local workforce information to job seekers, employers, and educators, job trainers, economic developers and others. Such information includes job opportunities, employment projections, workforce trends, career guidance, wage rates, and other data and information. The amended Wagner-Peyser Act under WIOA has enhanced collaboration among employment and training programs by States mandating co-location of ES offices with the one-stop offices. WIOA aligns the service delivery, planning and performance data within the one-stop delivery system.

Applicant Eligibility:

U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; For Wagner-Peyser Employment Service grants and Labor Market Information grants, eligible applicants include all 50 States, the District of Columbia, the Virgin Islands, Puerto Rico, and Guam.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Moderate Income; Low Income; The system affords universal access to all job seekers. In addition, services are available to employers seeking to hire workers. Priority of service is given to veterans and other covered persons. These individuals receive priority referral to jobs, as well as specialized employment services and assistance.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 as codified in 41 CFR 1-15.7 for state and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FORMULA GRANTS: Under WIOA, Wagner-Peyser is a "core" program, in which the Governor submits a single Unified State Plan or Combined State Plan in accordance with the respective Planning Guidance, issued through the Federal Register. The Planning Guidance provides detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services, including WIOA Title I programs and Wagner-Peyser Activities, and WIOA Titles II and IV programs overseen by the Department of Education. The Combined Plan includes these programs as well as other non-DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval (currently Heather Fleck), Division of WIOA Adult Services and Workforce System, Office of Workforce Investment, Employment

and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation or, as in the case of LMI grants, based on an administrative formula. The State Plans include services to persons with barriers to employment, including persons with disabilities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. As provided in regulations.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title The Wagner-Peyser Act, Chapter Section 6 (b)(4), Part , Subpart ,Public Law 29 U.S.C. 493. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Formula funds are provided at the beginning of a program year and are available for expenditure during that program year and the succeeding two program years. Method of awarding/releasing assistance: letter

Reports:

For formula grants, quarterly performance reporting is required in addition to quarterly financial, activities and outcomes reports. For formula grants, quarterly financial reports are required. Monitoring is conducted by Regional Federal Project Officers based on quarterly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Standard records for audits are required.

Account Identification:

16-0179-0-1-999.

Obligations:

Formula Grants FY 20 \$700,000,000.00; FY 21 est \$700,000,000.00; FY 22 est \$751,000,000.00; Project Grants FY 20 \$25,000,000.00; FY 21 est \$25,000,000.00; FY 22 est \$30,000,000.00;

Range and Average of Financial Assistance:

Wagner-Peyser Formula Grants vary by year. Funding is published annually in the Federal Register.

TAFS Codes:

16-0179.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The purpose of the Wagner-Peyser (W-P)/Employment Service (ES) program is to provide universal access of an integrated array of labor exchange services so workers, job seekers and businesses can find the services they need conveniently located under one roof in easy-to-find locations. It is generally the program that provides "job search" requirements for Unemployment Insurance claimants. For the first three quarters of Program Year (PY) 2020, the W-P/ES program provided services through its American Job Centers (AJCs) to over 17,000,000 reportable individuals. Services included Basic Career Services as well as Individualized Career Services. Of those, over 2,000,000 were unemployed individuals. Over 415,000 were low-income individuals and over 515,000 were

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older. The Employment Rate for the 2nd Quarter After Exit was 62.7 percent, and the Median Earnings were \$6,189, (months 4-6).

REGULATIONS, GUIDELINES, AND LITERATURE:

WIOA Final Rule, 20 CFR Part 652 - Establishment and Functioning of State Employment Service, Subpart B - Services for Veterans; Part 680 - Adult and Dislocated Worker Activities under Title I of the Workforce Innovation and Opportunity Act; Subpart A - Delivery of Adult and Dislocated Worker Services through the One Stop Service Delivery System.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of WIOA Adult Services and Workforce Systems Robert Kight, 200 Constitution Ave., NW, Room S-4203, Washington DC 20210

Email: kight.robert@dol.gov

Phone: 202-693-3937

Website Address:

<http://www.dol.gov/agencies/eta>

RELATED PROGRAMS:

17.258 WIA Adult Program; 17.225 Unemployment Insurance; 17.801 Disabled Veterans' Outreach Program (DVOP); 17.804 Local Veterans' Employment Representative Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.225 Unemployment Insurance

UI,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Unemployment Compensation for Ex-Servicemembers, , 5 USC Code 8521 et seq.. Federal Unemployment Tax Act, , 26 USC Code 3301 et seq.. The Workforce Innovation and Opportunity Act (WIOA) (Pub. L. 113-128) was passed on July 22, 2014. It supersedes titles I and II of the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. The law went into effect on July 1, 2015. Also, unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., , Public Law 113-128, Social Security Act (Titles III, IX, XI, XII) 42 USC 501-504; 1101-1110; 1320b-7; 1321-1324, , 42 USC Code 501-504. Federal-State Extended Unemployment Compensation Act, Title II, Section 201 et seq., Public Law 91-373, 26 USC Code 3304(a)(11)note. Unemployment Compensation for Federal Civilian Employees, , 5 USC Code 8501 et seq.. Robert T. Stafford Disaster Relief and Emergency Assistance Act, , 42 USC Code 5177. Public Law - Families First Coronavirus Response Act (Pub. L. 116-127), Emergency Unemployment Insurance Stabilization Access Act of 2020 (EUISAA), authorizes administrative grants to states in Section 4102; Full Federal funding for Extended Benefits (EB) in Section 4105., Public Law Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020 (Pub. L. 116-136) authorizes Pandemic Unemployment Assistance (PUA) in Section 2102; Emergency Relief for Governmental Entities and Non-Profit Organizations in Section 2103; Federal Pandemic Unemployment Compensation (FPUC) in Section 2104; Temporary Full Federal Funding of the First Week of Compensable Regular Unemployment for States with no waiting week in Section 2105; and Pandemic Emergency Unemployment Compensation (PEUC) in Section 2107; and Short-Time Compensation in Section 2108 through Section 2111., Public Law Continued

Assistance for Unemployed Workers Act of 2020 (Continued Assistance Act) (Pub.L.116-260) under the Consolidated Appropriations Act, 2021, including Division N, Title II, Subtitle A, Public Law American Rescue Plan Act of 2021(ARPA) (Pub.L. 117-2) provides authorization to amend sections of EUISAA and the CARES Act to provide for extensions of UI related provisions, including Mixed Earners Unemployment Compensation (MEUC) in Section 261.

OBJECTIVES:

To oversee unemployment insurance programs for eligible workers through federal and state cooperation; including unemployment compensation for federal employees or ex-service members, Disaster Unemployment Assistance, and to assist in the oversight of Trade Adjustment Assistance and Alternative Trade Adjustment Assistance, Reemployment Trade Adjustment Assistance programs, and temporary UI programs enacted in times of economic shocks or downturns.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use, Formula Grants

USES AND USE RESTRICTIONS:

The states have the direct responsibility for establishing and operating its own unemployment insurance programs, while the federal government finances the cost of administration. State unemployment insurance tax collections are used solely for the payment of benefits. Federal unemployment insurance tax collections are used to finance expenses deemed necessary for proper and efficient administration of the state unemployment insurance laws; to reimburse state funds for one-half the costs of extended benefits paid under the provisions of state laws which conform to the provisions of the Social Security Act and the Federal Unemployment Tax Act; and to make repayable advances to states when needed to pay benefit costs. Funds used for benefit payments may not be used for any program administration costs nor for training, job search, and job relocation payments. Disaster Unemployment Assistance (DUA) is paid out of funds provided by the Federal Emergency Management Agency (FEMA); and Pandemic Unemployment Assistance (PUA), Federal Pandemic Unemployment Compensation (FPUC), Pandemic Emergency Unemployment Compensation (PEUC) , and Mixed Earners Unemployment Compensation (MEUC) are paid from funds provided by the Federal government. Benefits for former Federal civilian employees, including postal workers, and former members of the Armed Forces are paid out of the Federal Employees Compensation Account (FECA) in the Unemployment Trust Fund, subject to reimbursement by the former employing agency. Trade Adjustment Assistance payments are made by states for workers adversely affected by trade imports or shifts in production.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; U.S. Territories and possessions, state workforce agencies, including those in the District of Columbia, Puerto Rico and Virgin Islands. The Workforce Innovation and Opportunity Act (WIOA) (Pub. L. 113-128) was passed on July 22, 2014. It supersedes titles I and II of the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. The law went into effect on July 1, 2015. Also, unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013, and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014, in the Federal Register.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Veteran/Service person/Reservist (including dependents); Unemployed; All workers whose wages are subject to state unemployment insurance laws, federal civilian employees, ex-service members, and workers whose unemployment is caused by a presidentially declared disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act or pandemic under the Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020, as amended, are eligible if they meet the qualification and eligibility requirements of the relevant unemployment compensation program. For regular unemployment compensation (UC), UCFE, UCX, EB, and PEUC claims this generally means that the individual is involuntarily unemployed, able to work, available for work, meet the eligibility and qualifying requirements of the state law, and are free from disqualifications. For PUA claims, the individual must self-certify that they are otherwise able to work and available for work within the meaning of applicable state law except that they are unemployed, partially unemployed, or unable or unavailable to work for COVID-19 related reason(s)

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identified in Section 2102 of the CARES Act and Department guidance. Some states provide Short Time Compensation or Self Employment Assistance for eligible individuals. Workers who became unemployed or underemployed because of the adverse effect of increased imports or because of shifts in production outside the U.S. may be eligible for Trade Readjustment Allowance, Alternative Trade Adjustment Assistance or Reemployment Adjustment Assistance and other types of Trade Adjustment Assistance (see program 57.001). Individual state information on eligibility requirements is available from local American Job Centers or at <https://www.careeronestop.org/LocalHelp/service-locator.aspx>. Temporary UI programs may target other categories of workers.

Credentials/Documentation:

Awards of grants to states are conditioned on the Secretary of Labor's determination that the states' unemployment compensation laws are in conformity with the Social Security Act and the Federal Unemployment Tax Act or other Federal UI laws, and that state practices substantially comply with these laws. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Employment and Training Administration (ETA) requires states to submit a State Quality Service Plan (SQSP) to the appropriate ETA regional office in order to apply for grants. Grants are based on target performance levels, pursuant to nationally-developed workload estimates. The SQSP should be constructed in terms of selected workloads to be undertaken, and the resources and numbers of employees needed to accomplish them.

Award Procedure:

The Regional Administrator for ETA issues a preliminary approval for a state within the dollar target provided by the ETA national office, based on the SQSP submitted.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. At the national office level 30 to 60 days. Approximately 45 days at the regional office level.

Appeals:

Other. Contact federal agency. A hearing is required if state law is not certified to be in conformity. Funding is based on workload formula.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annual grants for base operation of programs are based on workload projections pursuant to economic assumptions and nationally developed workload estimates. Additional quarterly funding is based on actual workloads. Financing of administrative costs is accomplished through letter of credit draw downs needed to meet immediate cash requirements. Method of awarding/releasing assistance: letter

Reports:

Weekly, monthly, quarterly and yearly statistical reports of unemployment insurance workloads are required. Cash reports include the following transactions: Federal cash receipts/disbursements; Federal expenditures and un-obligated balance; recipient share of obligations and expenditures; program income. Additional expenditure data required includes other federal funds expended and real property proceeds expended. The comprehensive performance management system requires that a State Quality Service Plan (SQSP) be submitted as part of

the application. Where performance is below the established minimum criteria, a corrective action plan (CAP) is required as part of the SQSP. The CAP identifies state activities and planning targets for performance improvement activities. Separate quarterly financial status reports are required for grants used for administration of each type of program. Data and progress towards performance targets are reviewed by the regional and national office staff. The SQSP and CAPs are reviewed quarterly by regional office staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. State workforce agencies are subject to audits by the Department of Labor or other authorized government agencies.

Records:

State laws and requirements are to be in conformity with Federal statutes. States are expected to report any legislative and regulatory changes to the Federal agency. National office staff provides guidance on the time frame for data submission and corrections to data reports. The state determines the time period to maintain tax records; however, the IRS recommends 4 years after the date tax is due or is paid, whichever is later. The recommendation for performance records is 3 years.

Account Identification:

16-8042-0-7-999. 16-0179-0-1-999. 16-0326-0-1-999. 16-0168-0-1-603. 16-1800-0-1-603.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$1,710,695,552.00; FY 21 est \$1,888,989,888.00; FY 22 est (\$1,642,705,664.00); Formula Grants FY 20 (\$126,967,296.00); FY 21 est (\$910,967,296.00); FY 22 est (\$779,967,296.00);

Range and Average of Financial Assistance:

FY 2020, the minimum grant award was \$2.0 million and the maximum grant award was \$690 million. The average grant award is \$87 million. FY 2021, the estimated minimum grant award is \$2.0 million and the estimated maximum award is \$455 million. The estimated average award is \$58 million. FY 2022, the estimated minimum grant award is \$1.9 million and the maximum award is \$423 million. The estimated average award is \$54 million.

TAFS Codes:

16-0179. 16-0168. 16-8042. 16-0326. 16-1800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: States collected \$36.9 billion in state unemployment taxes and paid \$195.1 billion in federal and state UI benefits to 43.1 million beneficiaries. This is a substantial increase from 2019, where states collected \$34.6 billion in state unemployment taxes and paid \$27.4 billion in federal and state UI benefits to 5.1 million beneficiaries.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 20 CFR Part 601, 602, 603, 604, 606, 609, 614, 615, 616, 617, 625, 640, 650. Literature: "Unemployment Insurance For Ex-Service Members", "Unemployment Insurance For Federal Workers", "Significant Provisions of State Unemployment Insurance Laws", "Comparison of State Unemployment Insurance Laws", fact sheets, and other program information are available on the Internet at <http://www.workforcesecurity.doleta.gov/unemploy/laws.asp#overview>. COVID related program information can be found at: <https://oui.doleta.gov/unemploy/coronavirus>.

Regional or Local Office:

See Regional Assistance Locations. Employment and Training Administration regional offices listed in Appendix IV of the Catalog.

Headquarters Office:

Administrator/OUI Jim Garner, Administrator,
Frances Perkins Building - 200 Constitution Avenue NW, Room S4524,
Washington DC 20210

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Email: Garner.Jimmie@dol.gov
Phone: 202-693-3029
Fax: 202-693-2957
Website Address:

<http://ows.doleta.gov/unemploy/>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 97.034 Disaster Unemployment Assistance; 57.001 Social Insurance for Railroad Workers; 17.245 Trade Adjustment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.235 Senior Community Service Employment Program

Senior Community Service Employment Program (SCSEP),

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Older Americans Act of 1965, , Older Americans Act of 2016 Unless otherwise stipulated, entities carrying out the project are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register; Older Americans Act Reauthorization Act of 2016 published April 22, 2016; Interim Final Rule published December 1, 2017; Final Rule published December 26, 2010; and Training and Employment Guidance Letters., Title V, , Statute 79-218, Public Law 89-73, 42 USC Code 3056.

OBJECTIVES:

The Senior Community Service Employment Program (SCSEP) is a community service and work-based job training program for older Americans. Authorized by the Older Americans Act, the program provides training for low-income, unemployed seniors. Participants also have access to employment assistance through American Job Centers. SCSEP participants gain work experience in a variety of community service activities at non-profit and public facilities, including schools, hospitals, day-care centers, and senior centers. The program provides over 40 million community service hours to public and non-profit agencies, allowing them to enhance and provide needed services. Participants work an average of 20 hours a week and are paid the highest of federal, state or local minimum wage. This training serves as a bridge to unsubsidized employment opportunities for participants. Participants must be at least 55, unemployed, and have a family income of no more than 125% of the federal poverty level. Enrollment priority is given to veterans and qualified spouses, then to individuals who are over 65, have a disability, have low literacy skills or limited English proficiency, reside in a rural area, are homeless or at risk of homelessness, have low employment prospects, formerly incarcerated within the last five years, or have failed to find employment after using services through the American Job Center system.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Entities carrying out the project use the funds to create and pay for part-time job training and work experience in community service assignments for unemployed persons age 55 and above whose income is not more than 125 percent of the poverty level. The individuals who are enrolled in the program receive work experience and skills training at host agencies, which are public agencies and/or private nonprofit organizations exempt from taxation under 501(c)(3) of the Internal Revenue Code of 1986. A portion of the funds may be used to provide participants with additional trainings, such as occupational skills training, on-the-job experience training, etc. (outside of the normal job-training assignments at a

host agency) and supportive services. Participants may not train in projects involving political or sectarian activities, nor may participants displace any employed worker, nor perform work which impairs existing contracts for service. Entities carrying out the project must consult with appropriate area agencies on aging, with the State workforce development board and local workforce development board, and with other appropriate agencies and entities to promote coordination of activities. Additionally, each local project is required to coordinate its activities with training and other service providers under title I of the Workforce Innovation and Opportunity Act, including utilizing the one-stop delivery system of the local workforce development areas involved to recruit eligible individuals to ensure that the maximum number of eligible individuals will have an opportunity to participate in the project. The amount of Federal funds expended for enrollee wages and fringe benefits shall be no less than 75 percent of the grant (20 CFR Section 641.873) except in those instances in which a grantee has requested, and DOL has approved such request, to use not less than 65 percent of the grant funds to pay for participant wage and fringe benefits so as to use up to an additional 10 percent of grant funds for participant training and supportive services (42 USC 3056(c)(6)(C)(i)). The amount of Federal funds expended for the costs of administration during the program year shall be no more than 13.5 percent of the grant (20 CFR Section 641.867(a)). A waiver of this requirement to increase administrative expenditures to 15 percent may be granted by the DOL (20 CFR Section 641.867(b)). Grantees are required to negotiate share in infrastructure cost with required local partners in accordance with the Workforce Innovation and Opportunity Act (Final Rule 20 CFR 679.370(k) The Secretary of Labor may reserve up to 1.5 percent of annual appropriation for pilots, demonstration, and evaluation activities and related technical assistance.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Minority group; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The following types of organizations are eligible to receive grants: (1) States; (2) U.S. territories; (3) Public and nonprofit private agency and organizations; and (4) Public or nonprofit national Indian aging organizations and public or nonprofit Pacific Island and Asian American aging organizations. Unless otherwise stipulated, entities carrying out the project are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register; Older Americans Act Reauthorization Act of 2016 published April 22, 2016; Performance Accountability Final Rule published July 10, 2018; Final Rule published December 26, 2010; and Training and Employment Guidance Letters available via https://www.doleta.gov/Seniors/html_docs/TechAssist.cfm

Beneficiary Eligibility:

SCSEP applicants must be unemployed adults 55 years or older with a family income that is not more than 125 percent of the Department of Health and Human Services (DHHS) poverty level. Prospective participants must provide documentation relevant to age and income, which is required to determine whether the individual is program eligible. With certain exceptions, the Census Bureau's Current Population Survey definition of income governs the determination of SCSEP applicants income eligibility. Section 518 (a)(3)(A) of the OAA-2016 specifies that any income that is unemployment compensation, a benefit received under title XVI of the Social Security Act; a payment made to or on behalf of veterans or former members of the Armed Forces under the laws administered by the Secretary of Veterans Affairs, or 25 percent of a benefit received under title II of the Social Security Act, is excluded from SCSEP income eligibility determinations.

Credentials/Documentation:

Applicants must meet the eligibility test under Section 514(c) and the responsibility test under Section 514(d) of the Older Americans Act. 20 CFR 641.879 requires entities carrying out the project to submit 1) quarterly financial reports (ETA 9130 (OMB No. 1205-0461)) for each grant award they receive. Reports are required to

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be prepared using the specific format and instructions for the applicable program(s). Reports are due 45 days after the end of the reporting quarter. Financial data is required to be reported cumulatively from grant inception through the end of each reporting period. Additional information can be accessed at <http://www.doleta.gov/grants/> (scroll down to the section on Financial Reporting). See TEGL 02-16 for specific and clarifying instructions about the ETA 9130 (http://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=5156); 2) data on participants, demographics, and performance, to generate the Quarterly Progress Reports (QPRs). The QPRs are accessible via the SCSEP Performance and Results QPR (SPARQ) online system and https://www.doleta.gov/Seniors/html_docs/GranteePerf.cfm; 3) Annual Equitable Distribution Report SCSEP positions by all recipients in the State; 4) quarterly narrative for each grant award received. The reports are required to be prepared in a specific format, in response to specified questions relating to programmatic activities performed during the reporting quarter. Information provided in the reports must be consistent with the Program Narrative submitted and with the Optional Special Requests approved at the beginning of the grant year. Reports are due 45 days after the end of the reporting quarter; and 5) the four-year Strategic State Plan (which is to be reviewed and updated no less frequently than every two years). SCSEP state grantees in collaboration with national grantees are required to submit their State Plans. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The distribution of funds under this project is a division between national grants (public and nonprofit private agency and organization grantees) and grants to states. Funds are allotted to States at 22 percent and to National organizations and agencies at 78 percent.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. National nonprofit organizations are required under Section 502(d) of the Older Americans Act to submit an application to the state agency, in addition to the Department of Labor. The Office of Workforce Investment in the Employment and Training Administration will provide information concerning proper application, format, and content and furnish standard application forms. Governors may comment on SCSEP grant proposals prior to award and may make recommendations to the Secretary of Labor to improve the distribution of SCSEP services.

Award Procedure:

Awards are made directly to eligible applicants by the Employment and Training Administration of the Department of Labor.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The approval range for formula grants is approximately 30 to 60 days.

Appeals:

From 1 to 15 days. Appeals may be filed according to the regulations at 20 CFR 641.900.

Renewals:

Other. Grantees must meet the eligibility and responsibility test each year in order to be funded. In addition, grantees must meet negotiated performance measures described in Section 513(b) of OAA-2016, in administrative guidance, and in the Final Rule on SCSEP Performance Accountability published July 10, 2018. Each year in January, the U.S. Department of Health and Human Services (HHS) publishes the poverty and low-income guidelines based on the last calendar years increase in prices as measured by the Consumer Price Index. These numbers, multiplied by 125 percent, are the basis of low income for eligibility determinations as defined at Section 518(a)(3)(A) of the OAA Amendments of

2006, 42 USC 3056p(a)(3)(A).

Formula and Matching Requirements:

Statutory Formula: Title PROVISIONS GOVERNING THE SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM, Chapter 20, Part 641, Subpart d, Public Law P.L. 114-144. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The Department will pay no more than 90 percent of the total cost of activities carried out under a SCSEP grant. (OAA sec. 502(c)(1)). All SCSEP recipients, including Federal agencies if there is no statutory exemption, must provide or ensure that at least 10 percent of the total cost of activities carried out under a SCSEP grant (non-Federal share of costs) consists of allowable costs paid for with non-Federal funds. Recipients must determine the non-Federal share of costs in accordance with 29 CFR 97.24 for governmental units, or 29 CFR 95.23 for nonprofit and commercial organizations. The non-Federal share of costs may be provided in cash, or in-kind, or a combination of the two. (OAA 502 (0) (2)). A recipient may not require a subrecipient or host agency to provide non-Federal resources for the use of the SCSEP project as a condition of entering into a sub-recipient or host agency relationship. This does not preclude a sub-recipient or host agency from voluntarily contributing non-Federal resources for the use of the SCSEP project. The Department may pay all of the costs of activities in an emergency or disaster project or a project in an economically distressed area. (OAA 502(c) (1) (B)). This program has MOE requirements, see funding agency for further details. Additional Information: A community service assignment for a participant under title V of the OAA is permissible only when specific maintenance of effort requirements are met. Each project funded under title V: (1) Must not reduce the number of employment opportunities or vacancies that would otherwise be available to individuals not participating in the program; (2) Must not displace currently employed workers (including partial displacement, such as a reduction in the hours of non-overtime work, wages, or employment benefits); (3) Must not impair existing contracts or result in the substitution of Federal funds for other funds in connection with work that would otherwise be performed; and (4) Must not employ or continue to employ any eligible individual to perform the same work or substantially the same work as that performed by any other individual who is on layoff. (OAA 502(b) (1) (G)). See funding agency for further details..

Length and Time Phasing of Assistance:

SCSEP funds are allocated to and administered through grants to all state governors, Puerto Rico, the District of Columbia, and four territories, as well as competitively awarded to national non-profit agencies for services across the country. The national non-profit organizations compete for the awards every four years (subject to annual appropriations), with an optional one-year extension. ETA renews SCSEP state and territorial grant awards on an annual basis and SCSEP national grants on an annual basis during non-competitive years, which includes PY 2021 (as PY 2020 was a national competition award year). Each year, ETA requires grantees to submit a grant application that includes an SF-424, an SF-424A, the geographic areas to be served, a detailed budget narrative, a program narrative, signed programmatic assurances, and any optional special requests.

Method of awarding/releasing assistance: letter

Reports:

The Annual Equitable Distribution Report and the Four Year Strategic State Plan (for state grantees only), to be reviewed and updated no less frequently than every two years. Quarterly Financial Status Report (ETA 9130) The Quarterly Progress Report (QPR). QPRs are generated by the SCSEP Performance and Results QPR (SPARQ) system located within the Department of Labor based on participant data entered by grantees into the SPARQ on-line system. Quarterly Financial Status Report (ETA 9130). Unless otherwise stipulated, permitted applicant organizational costs will be determined in accordance with the Uniform Guidance and DOL Exceptions. All SCSEP grantees are required to enter data in the SCSEP Performance and Results QPR (SPARQ) online system to generate the Quarterly Progress Reports (QPRs). Federal Project Officers conduct quarterly desk reviews and on-site reviews as needed. Performance is evaluated annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

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Records:

In accordance with the May 2013 Executive Order Making Open and Machine Readable the New Default for Government Information, the grant recipient, whenever practicable, must collect, transmit, and store Federal award-related information in open and machine-readable formats rather than in closed formats or on paper. Financial records, supporting documents, statistical records, and all other records pertinent to a Federal award must be retained for a period of three years from the date the final expenditure report was submitted (regardless if the documents are from the grant recipient or the subrecipient). There are situations that may extend the standard three-year record retention period and some specific types of records have their own record retention period-triggering activities. Some exceptions to the regular retention period include: written notification to extend, litigation, claim, audit, monitoring, real property and equipment, records transfer, indirect cost records, and WIOA title I complaints. Please review 2 CFR 200.333 in the Uniform Guidance and 29 CFR Part 38 in detail for record retention requirements.

Account Identification:

16-0175-0-1-504.

Obligations:

Formula Grants FY 20 \$89,000,000.00; FY 21 est \$88,000,000.00; FY 22 est \$88,000,000.00; Formula Grants FY 20 \$260,000,000.00; FY 21 est \$367,000,000.00; FY 22 est \$321,000,000.00;

Range and Average of Financial Assistance:

For Program Year 2013, grants ranged from \$318,604 to \$82.8M. For Program Year 2014, grants ranged from \$324,965 to \$84.2M. SCSEP funds are allocated by a formula provided in Section 506 of the Older Americans Act of 1965 (OAA), as amended, 42 USC 3056d, and operate on a PY basis, from July 1 through the following June 30. The Consolidated Appropriations Act of 2021, Pub. L. 116-260, (from this point forward, referred to as the Act) Division H, Title I, secs. 106(b) and 107, allows the Secretary of Labor (Secretary) to set aside up to 0.5 percent of each discretionary appropriation for activities related to program integrity and 0.75 percent of most operating funds for evaluations. In PY 2021, after reducing the appropriation by \$1,123,000 for set-asides authorized by the Act, \$403,877,000 is available for SCSEP program activities. Any funds not used for these reserve activities will be distributed by formula to state, territorial, and national grantees.

TAFS Codes:

16-0175.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The SCSEP program provides work-based job training, support, and paid community service opportunities for low-income seniors with particularly intensive needs. Through the program, older workers gain critical soft skills and industry-specific skills, actively search for employment, and learn technology and internet skills, ultimately preparing them for unsubsidized employment opportunities after exit from the program. In PY 2019, SCSEP served nearly 48,000 older workers. In the early stages of the pandemic, ETA provided guidance and technical assistance to ensure that SCSEP grantees swiftly implemented measures to protect the health and safety of their participants, many of whom are particularly vulnerable given their age, socioeconomic conditions, and reliance on SCSEP wages. Measures included maximizing the use of available flexibilities to ensure SCSEP participants continued to receive critical support while they were unable to participate in community service assignments.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 641; Training and Employment Guidance Letters, Training and Employment Notices, previously issued Older Worker Bulletins that are still in effect and other SCSEP directives.

Regional or Local Office:

See Regional Assistance Locations. Region 1, Suzanne Pouliot, Telephone: (617) 788-0180; Region 2, Christopher Ransome, Telephone: (215) 861-5222; Region 3, Sonja Baisden-Shell, Telephone: (404) 302-5359; Region 4, Khristian Fleming, Telephone: (972) 850-4604; Region 5, Gary Lewis, Telephone: (312) 596-5522; Region 6, John Jacobs, Telephone: (415) 625-7940.

Headquarters Office:

Division Chief, Division of National Programs, Tools, and Techni Steven Rietzke, 200 Constitution Ave. NW, Room C-4510, Washington DC 20210

Email: Rietzke.Steven@dol.gov

Phone: 202-693-3912

Fax: 202-693-2705 KRISTINE NIMMO,
200 Constitution Ave. NW Room C-4510,
Washington DC 20210

Email: nimmo.kristine.j@dol.gov

Phone: 202-693-6162

Fax:

Website Address:

<http://www.doleta.gov/seniors/>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Department annually renews grant agreements. The Department will have an open competition every four years to select national grantees. National grants were competed last in 2020 and the next competition opportunity, as specified in the authorizing legislation, will be no sooner than 2024. When such a competition occurs, a notice will be published in the Federal Register.

17.245 Trade Adjustment Assistance

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

The Trade Adjustment Assistance Reauthorization Act of 2015 (TAARA 2015), title IV of the Trade Preferences Extension Act of 2015 (Public Law 114-27), was signed into law by President Barack Obama on June 29, 2015. Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., , Public Law 114-27

OBJECTIVES:

The Trade Adjustment Assistance (TAA) for Workers Program is a federal entitlement program that assists workers impacted by foreign trade. Through the provision of a number of employment-related benefits and services, the TAA Program provides trade-affected workers with opportunities to obtain the support, resources, skills, and credentials they need to return to the workforce in a good job in an in-demand industry. The program services include training, employment and case management services, job search allowances, relocation allowances, wage supplements for workers aged 50 and older, and Trade Readjustment Allowances (TRA).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

State Workforce Agencies (SWAs) serve as agents of the United States and administer the worker adjustment assistance benefit provisions of the Trade Act. SWAs, through their American Job Centers (AJC), formerly known as One-Stop Career Centers or by a different name, and other local offices, provide assessments, employment counseling, and job placement services; job search and relocation assistance; and training. The weekly income support payments and wage supplements of TRA and A/RTAA, respectively, are administered under the Unemployment Insurance program (see CFDA 17.225). State unemployment compensation and extended benefits must be exhausted before TRA is paid to eligible claimants. Trade impacted workers are eligible to receive job search and relocation allowances. In addition to the costs of training, they may be paid subsistence and transportation allowances to attend approved training outside the normal commuting distance of a worker's regular place of residence.

Applicant Eligibility:

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Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; For a worker to be eligible to apply for TAA, the worker must be part of a group of workers that are the subject of a petition filed with the Department. Three workers of a company, a company official, a union or other duly authorized representative, or an AJC operator or partner may file that petition with the Department. In response to the filing, the Department initiates an investigation to determine whether foreign trade was an important cause of the workers job loss or threat of job loss. If the Department determines that the workers meet the statutory criteria for group certification of eligibility for the workers in the group to apply for TAA, the Department grants the petition and issues a certification.

Beneficiary Eligibility:

Individual/Family; Unemployed; Once a member of a worker group is covered by a certification for eligibility to apply for TAA, the workers individually apply for benefits and services through the American Job Centers. TAA benefits and services have specific individual eligibility criteria that must be met, such as previous work history, unemployment insurance eligibility, and individual skill levels. The TAA program currently offers the following benefits and services to eligible individuals: training, weekly income support in the form of TRA, out-of-area job search and relocation allowances, case management and employment services, and wage supplement for qualified older workers through RTAA.

Credentials/Documentation:

Petition for Trade Adjustment Assistance (TAA) (OMB No. 1205-0342) 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for TAA under the Trade Act of 1974, as amended, a "Petition for Trade Adjustment Assistance" must be filed directly with the Office of Trade Adjustment Assistance and with the State official or organization designated by the Governor of the State in which the workers' firm or subdivision is located. OTAA and State contact information, as well as petition forms in English and Spanish, are available through the State Workforce Agencies or from the Office of Trade Adjustment Assistance. The petition forms may also be downloaded from the TAA web-site, or filed online, at: <https://www.dol.gov/agencies/eta/tradeact/>.

Award Procedure:

A Certification of Eligibility to apply for TAA may be issued by the Secretary of Labor to groups of workers who have been found by the Department of Labor to have met the group eligibility requirements of TAARA 2015 (2015 Program). Certifications will be posted in the Federal Register and on the TAA website. Individual workers covered by the certification will be notified by the state and can apply to their local American Job Center for individual determinations of eligibility to receive benefits and services.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. For the TAA program, investigations to determine group eligibility begin with the receipt of a valid petition. Once a determination is issued, states are notified. States notify eligible individuals.

Appeals:

From 30 to 60 days. There are two courses of appeal, one administrative and the other judicial. Petitioners aggrieved by a final determination by the Secretary may, within 30 days after notice of such determination is published in the Federal Register, file an application for reconsideration with the Director of the Office of Trade Adjustment Assistance. If the determination following reconsideration is negative, they may, within 60 days after notice of the negative determination upon

reconsideration, file a petition for review of such determination with the United States Court of International Trade in New York City. Aggrieved petitioners may request judicial appeal without first seeking administrative reconsideration, within 60 days after notice of such determination is published in the Federal Register.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Base formula funding grants for Training and Other Activities are provided to States annually and are active for three years; reserve funds may be requested by States based on additional needs above the base funding provided. Funding for TRA and wage supplements (in the form of ATAA under the 2002 Program, and RTAA under the 2009, 2011, and 2015 Programs) are neither determined by the formula nor distributed in accordance with the other provisions of TAA regulations codified at 20 CFR 618.900 to 618.940. These funds, apportioned from the annual FUBA account appropriation, are managed by the Departments Office of Unemployment Insurance (OUI), and states request them from OUI on an as needed basis.*2002 Program*For TAA certifications of petitions filed before May 18, 2009, and after February 12, 2011 through October 21, 2011, up to 104 weeks of TRA available to workers enrolled in full-time training or up to 130 weeks of TRA available to workers enrolled in remedial training. *2009 Program*For TAA certifications of petitions filed between May 18, 2009 and February 15, 2011, up to 130 weeks of TRA is available to workers enrolled in full-time training or up to 156 weeks of TRA available to workers enrolled in remedial or prerequisite training. *2011 Program*For TAA certifications of petitions filed between October 21, 2011 and through December 31, 2013, up to 130 weeks of TRA available to workers enrolled in full-time training, the last 13 of which are only available if needed for completion of a training program and training benchmarks are met.*2015 Program*For TAA certifications of petitions filed after January 1, 2014, up to 130 weeks of TRA available to workers enrolled in full-time training, the last 13 of which are only available if needed for completion of a training program and training benchmarks are met. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Performance Reports are required. Quarterly financial reports on grants for administration of TAA/TRA are required. Program performance is monitored both by state staff and by Federal Regional Office staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Nonfederal entities are required to maintain adequate records in accordance with 2 CFR Part 200.333, 29 CFR 95 and 97, as applicable.

Account Identification:

16-0326-0-1-999.

Obligations:

Formula Grants FY 20 \$410,000,000.00; FY 21 est \$370,000,000.00; FY 22 est \$266,000,000.00;

Range and Average of Financial Assistance:

This amount is published yearly in the Report to the Committee on Finance of the Senate and Committee on Ways and Means of the House of Representatives, which can be found on the program website at <https://www.dol.gov/agencies/eta/tradeact/>.

TAFS Codes:

16-0326.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Trade Adjustment Assistance (TAA) program works. Of the 6,301 TAA exiters who received training in FY 2020, approximately 76 percent (4,762) completed training and about 91 percent (4,324) of training completers received a credential. The fourth-quarter employment rate of those participants

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who completed training and received a credential were higher than those participants who did not, continuing a multi-year trend. These performance results demonstrate that even during a year of an economic crisis such as FY 2020, the TAA Program is vastly relevant in providing important benefits and services to help trade-affected workers obtain reemployment.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: Note: new regulations are scheduled to be issued in late FY 2020 which will combine and replace the citations below.-29 CFR 90, Certification of Eligibility to Apply for Worker Adjustment Assistance-20 CFR 617, Trade Adjustment Assistance for Workers-20 CFR 618.900- 618.930, Regulations Regarding TAA FundingThe list of regulations can be found at <https://www.dol.gov/agencies/eta/tradeact/law/regulations>. Directives and Guidances:A comprehensive list of Directives and Guidances can be found at <https://www.dol.gov/agencies/eta/tradeact/law/directives>.

Regional or Local Office:

See Regional Assistance Locations. Regional offices of the Employment and Training Administration, Department of Labor

Headquarters Office:

Supervisory Program Analyst Frankie Russell,
Frances Perkins Building, 200 Constitution Avenue, N.W.,
Washington DC 20210

Email: russell.frankie@dol.gov

Phone: 2026932738

Fax: 2026933584

Website Address:

<https://www.dol.gov/agencies/eta/tradeact>

RELATED PROGRAMS:

17.225 Unemployment Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.258 WIOA Adult Program

Workforce Innovation and Opportunity Act (WIOA), Adult Programs,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014.The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014, and went into effect on July 1, 2015. It amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. The regulations were published in the Federal Register on August 19, 2016. The regulations for the Title I WIOA Adult, Dislocated Worker and Youth programs are at 20 CFR Parts 680 through 683, as well as the joint Department of Labor and Department of Education regulations found at 20 CFR parts 676 through 678., Public Law 113-128, , Public Law 113-128

OBJECTIVES:

The Adult Program is one of the six core programs authorized by Title I of the Workforce Innovation and Opportunity Act (WIOA). The program serves individuals and helps employers meet their workforce needs. It enables workers to obtain good jobs by providing them with job search assistance and training opportunities. WIOA establishes a priority requirement with respect to funds allocated to a local area for adult employment and training activities. American Job Center staff, when using WIOA Adult funds to provide individualized career services and training services, must give priority to recipients of public assistance, other low-income individuals, and individuals who are basic skills deficient. Performance program measures include: 1. Employed 2nd Quarter After Exit Quarter; 2. Employed 4th Quarter After Exit Quarter; 3. Median Earnings Second Quarter After Exit; 4. Credential Attainment Rate; 5. Measurable Skills Gains; and Effectiveness in Serving Employers. The employment goals are measured by using

the Unemployment Insurance Wage Records Information System whenever possible.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

WIOA specifies that most services for adults will be provided through the American Job Center Network, also known as one-stop career centers or workforce centers. It authorizes that funds be used to provide career services, which are classified into two categories: basic and individualized services. Basic services are available to all job seekers and include labor exchange services, labor market information, job listings, and information on partner programs. Individualized services include training and supportive services, such as child care and needs-related payments. These are made available to individuals after an interview, assessment or evaluation determines that the individual requires training to obtain employment. These training services will be linked to job opportunities in their communities, including occupational training, work-based training and basic skills training. To promote customer choice and involvement in career decisions, some participants use an "Individual Training Account (ITA)" to select an appropriate training program from an eligible training provider.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; Under WIOA, the entities eligible to receive funding from the Department are the 50 States, Puerto Rico, the District of Columbia and the outlying areas. Funds are allotted based on a statutory formula. The states in turn allocate funds to local workforce development boards which are responsible for operating American Job Centers (approximately 2,400 nationwide).

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Low Income; All adults 18 years of age and older are eligible to receive career services. Several populations receive priority, with States and local areas being responsible for establishing procedures for applying the priority requirements. Priority of service is given to veterans and other covered persons. Priority for career and training services must be given to public assistance recipients, other low income populations, and low-skilled individuals.

Credentials/Documentation:

Formula-funded programs must submit a Governor/Secretary Agreement and a State Plan. States also sign a grant document agreeing to comply with the Act and regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Governor must submit a single WIOA Unified or Combined State Plan in accordance with planning guidance issued through the Federal Register. The planning guidance provides detailed instruction on what must be included in the State Plan, and provides a framework for collaboration across programs and integration of services, including the WIOA Title I programs, Title III Wagner-Peyser Activities, and WIOA Titles II and IV programs housed with the Department of Education. The Combined State Plan includes the six "core" programs of the Unified Plan as

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well as other workforce development programs administered by the Department of Labor, the Department of Education, Health and Human Services, the Department of Agriculture, and the Department of Housing and Urban Development. States submit the Unified or Combined State Plan to the Federal Coordinator for Plan Review and Approval (currently Heather Fleck), Division of WIOA Adult Services and Workforce System, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the State Plan is inconsistent with the provisions of Title I of WIOA or the WIOA regulations, including 29 CFR Part 37; or (2) the portion of the State Plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in Section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Innovation and Opportunity Act, Chapter , Part , Subpart ,Public Law 113-128.Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Formula funds are allotted every year to a state in two portions during a program year and are available for expenditure by the state for that program year and the two succeeding program years. Method of awarding/releasing assistance: letter

Reports:

Performance reporting requires:1. WIOA Annual Report2. WIOA Annual Report narrative3. Files documenting program performance, which include data of both program participants and exiters on a quarterly basis, in addition to the annual reporting4. Quarterly Financial ReportsNote: Monitoring is conducted by Regional Federal Project Officers based on a risk assessment. Quarterly Financial Reports Monitoring is conducted by Regional Federal Project Officers based on a risk assessment.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Nonfederal entities are required to maintain adequate records in accordance with 2 CFR Part 200.333, 29 CFR 95 and 97, as applicable.

Account Identification:

16-0174-0-1-504.

Obligations:

Formula Grants FY 20 \$855,000,000.00; FY 21 est \$862,000,000.00; FY 22 est \$898,000,000.00;

Range and Average of Financial Assistance:

WIOA formula grant amounts vary annually and are published in the Federal Register.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Adult program operates on a program year (PY) basis (July 1 through June 30). DOL allocates funding to states based on a statutory formula pursuant to WIOA section 132(b). After reserving up to 15 percent of those funds for statewide activities, the states allocate the remainder to local workforce areas based on a formula distribution as described in WIOA section 133(b). For the first three quarters of Program Year (PY) 2020, the WIOA Adult program served more than 370,000 participants; of those, more than 188,000 received training services, and more than 230,000 were low income individuals. More than 268,000 were employed in the 2nd quarter after exit, and had a median earnings of more than \$7,400 in the 2nd quarter after exit (months 4-6).

REGULATIONS, GUIDELINES, AND LITERATURE:

WIOA Final Rule (DOL only), 20 CFR Part 603, 651, 652 et.al., and WIOA Joint Final Rule (DOL and Education), 20 CFR Part 676, 677, 678 are available at:https://www.doleta.gov/wioa/Final_Rules_Resources.cfm

Regional or Local Office:

See Regional Assistance Locations. Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Kight,
200 Constitution Ave., NW, Room S-4203,
Washington DC 20210

Email: kight.robert@dol.gov

Phone: 202-693-3937

Website Address:

<http://www.dol.gov/agencies/eta>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.277 Workforce Investment Act (WIA) National Emergency Grants; 17.259 WIA Youth Activities; 17.278 WIA Dislocated Worker Formula Grants; 17.245 Trade Adjustment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.259 WIOA Youth Activities

WIOA Formula Youth,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014The Workforce Innovation and Opportunity Act (WIOA), Public Law 113-128, was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and was published in the Federal Register on August 19, 2016., , Public Law 113-128

OBJECTIVES:

To help low income youth, between the ages of 14 and 24, acquire the educational and occupational skills, training, and support needed to achieve academic and employment success and successfully transition into careers and productive adulthood.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Title I of the Act authorizes the Workforce Innovation and Opportunity Act System and establishes state workforce development boards with oversight responsibility by the governors for local workforce development boards; and establishes the

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process by which eligible providers of training and youth activities are identified. The Act authorizes the use of funds for youth employment and training activities that will provide eligible youth assistance in achieving careers and academic and employment success; ensures ongoing mentoring opportunities; provides opportunities for training; provides continued supportive services; and provides opportunities for leadership, youth development, financial literacy, entrepreneurship, and community service. Funds must be used in accordance with the rules and regulations. Section 129(C)(4)(A) increases the minimum Out-of-School Youth expenditure rate for youth formula funded programs to 75 percent. Additionally, Section 129(c)(4) prioritizes work experiences with the requirement that local areas must spend a minimum of 20 percent of non-administrative local area funds on work experience.

Applicant Eligibility:

State; Under WIOA, 50 states, Puerto Rico, the District of Columbia, and the outlying areas are identified as the recipients of youth training activities funds. For a state to be eligible to receive youth funds, the governor of the state will submit a Unified or Combined State Plan to the Secretary of DOL that outlines a 4-year strategy for the States workforce development system.

Beneficiary Eligibility:

U.S. Territories; State; To be eligible to participate in the WIOA youth program, an individual must be an Out-of-School Youth or an In-School Youth. Under WIOA, an out-of-school youth is an individual who is: (a) Not attending any school (as defined under State law); (b) Not younger than age 16 or older than age 24 at time of enrollment. Because age eligibility is based on age at enrollment, participants may continue to receive services beyond the age of 24 once they are enrolled in the program; and (c) One or more of the following: (1) A school dropout; (2) A youth who is within the age of compulsory school attendance, but has not attended school for at least the most recent complete school year calendar quarter. School year calendar quarter is based on how a local school district defines its school year quarters. In cases where schools do not use quarters, local programs must use calendar year quarters; (3) A recipient of a secondary school diploma or its recognized equivalent who is a low-income individual and is either basic skills deficient or an English language learner; (4) An offender; (5) A homeless individual aged 16 to 24 who meets the criteria defined in sec. 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e2(6)), a homeless child or youth aged 16 to 24 who meets the criteria defined in sec. 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)) or a runaway; (6) An individual in foster care or who has aged out of the foster care system or who has attained 16 years of age and left foster care for kinship guardianship or adoption, a child eligible for assistance under sec. 477 of the Social Security Act (42 U.S.C. 677), or in an out-of-home placement; (7) An individual who is pregnant or parenting; (8) An individual with a disability; or (9) A low-income individual who requires additional assistance to enter or complete an educational program or to secure or hold employment. Under WIOA, An in-school youth (ISY) is an individual who is: (a) Attending school (as defined by State law), including secondary and postsecondary school; (b) Not younger than age 14 or (unless an individual with a disability who is attending school under State law) older than age 21 at time of enrollment. Because age eligibility is based on age at enrollment, participants may continue to receive services beyond the age of 21 once they are enrolled in the program; (c) A low-income individual; and (d) One or more of the following: (1) Basic skills deficient; (2) An English language learner; (3) An offender; (4) A homeless individual aged 14 to 21 who meets the criteria defined in sec. 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e2(6)), a homeless child or youth aged 14 to 21 who meets the criteria defined in sec. 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)), or a runaway; (5) An individual in foster care or who has aged out of the foster care system or who has attained 16 years of age and left foster care for kinship guardianship or adoption, a child eligible for assistance under sec. 477 of the Social Security Act (42 U.S.C. 677), or in an out-of-home placement; (6) An individual who is pregnant or parenting; (7) An individual with a disability; or (8) An individual who requires additional assistance to complete an educational program or to secure or hold employment.

Credentials/Documentation:

WIOA requires the Governor of each State to submit a Unified or Combined State Plan to the Secretary of DOL that outlines a 4-year strategy for the States workforce development system. States must have approved State Plans (Section 102(a) of WIOA) in place to receive funding for a core program under WIOA the

youth program (Title I of WIOA). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. In Section 102(c)(2)(B) of WIOA, a unified State plan shall be subject to the approval of both the Secretary of Labor and the Secretary of Education after approval of the Commissioner of the Rehabilitation Services Administration for the portion of the plan described in subsection (b)(2)(D)(ii). The plan shall be considered to be approved at the end of the 90-day period beginning on the day the plan is submitted, unless the Secretary of Labor or the Secretary of Education makes a written determination, during the 90-day period, that the plan is inconsistent with the provisions of this section or the provisions authorizing the core program as appropriate.

Appeals:

Other. Contact Headquarters Office to obtain information on appeal procedures.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter 4, Part , Subpart B, Public Law 113-128. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Formula funds allotted to a state each year are available for expenditure by the State for that program year plus two succeeding program years. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements as specified by the Secretary in accordance with 20 CFR 677, Subpart F Performance and Reporting Administrative Requirements. Quarterly reports are required. Quarterly financial reports are required. Regional Federal Project Offices conduct risk analysis, desk reviews, and on-site monitoring. They also review quarterly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

States are required to maintain adequate records in accordance with 2 CFR 200.333.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

16-0174-0-1-504.

Obligations:

Formula Grants FY 20 \$899,000,000.00; FY 21 est \$905,000,000.00; FY 22 est \$947,000,000.00;

Range and Average of Financial Assistance:

Formula grant award amounts vary annually and are published in the Federal Register.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Fiscal Year 2021: No Current Data Available. Once finalized, performance outcomes budgets are posted annually on the Internet and are available at: <https://www.doleta.gov/performance/>.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR PART 681 et al, WIOA at <https://www.doleta.gov/>.

Regional or Local Office:

See Regional Assistance Locations. Contact the appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Division Chief Jennifer Kemp,
200 Constitution Avenue, NW,
Washington DC 20210
Email: Kemp.Jennifer.N@dol.gov
Phone: (202) 693-3377
Fax: (202) 693-3113

Website Address:

<https://www.dol.gov/agencies/eta/youth>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.261 WIOA Pilots, Demonstrations, and Research Projects

OPDR (including WDQI),

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

WIOA supersedes the Workforce Investment Act of 1998 and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. The WIOA Joint Rule for Unified and Combined State Plans, Performance Accountability, and the One-Stop System Joint Provisions; Final Rule was published in the Federal Register at 81 FR 55792., Title Workforce Innovation and Opportunity Act (WIOA) (July 22, 2014), , Public Law 113-128

OBJECTIVES:

Address national employment and training issues through demonstrations, pilot projects, and applied research that have interstate validity and will aid policymakers and stakeholders in addressing these issues and for continuous improvement of the public workforce system.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Projects shall include demonstration of innovative direct services or improvements to existing service strategies for individuals to enhance employment opportunities, and include a rigorous research or evaluation component and support the

Department of Labors stated strategic goal of Good Jobs for Everyone, by: (1) Preparing workers for good jobs; (2) For those not working, providing income security; (3) Focusing on key segments of the workforce; (4) Building a comprehensive workforce system through innovative approaches; and (5) Evaluating program effectiveness. The Workforce Data Quality Initiative (WDQI), also included under pilots, demonstration, and research, provides funding to states for the development of, or enhancement to, state workforce longitudinal administrative databases.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; State and local governments, Federal agencies, private non-profit and for-profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation. Only states and U.S. territories are eligible to apply for WDQI grants. These funds are used to develop, or enhance, state IT systems established as longitudinal administrative databases for the long-term analysis of individual data from pre-K through the workforce to determine the effectiveness of education and training programs.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Student/Trainee; Black; American Indian; Spanish Origin; Asian; State; Migrant; U.S. Citizen; Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Low Income; Generally limited to the economically disadvantaged and those who are underemployed, unemployed, need to upgrade their skills in order to retain jobs, at-risk youth, and/or to those who have barriers to employ ability. With WDQI funding, states are required to develop a scorecard, using their longitudinal administrative database, that will display information in a consumer-friendly manner. These scorecards will help inform consumer-choice when selecting workforce training providers.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Procedures for each project are specified in the applicable funding opportunity announcement (FOA). Generally, the procedure is as follows: 1) A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications; 2) The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating; 3) Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification; and 4) After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 45 to 90 days.

Appeals:

Other. Procedures for each project are specified in the applicable FOA.

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Renewals:

Other. Project extensions available upon approval by the Grant Officer (renewals are not automatic). Requests for extensions or renewals must be submitted in writing along with supporting justification for the renewal.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most projects last one to two years. WDQI grants have a period of performance of three years. Method of awarding/releasing assistance: other

Reports:

Program reports are required for accountability purposes. Cash reports are required for accounting purposes. Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations. Monthly progress reports are required for contracts/task orders. Quarterly expenditure reports on all grants awarded are a mandatory requirement of all solicitations. Monthly expenditure reports are required for contracts/task orders. Performance monitoring is done by the Contracting Officer Representative or Federal Project Officer in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$12,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Grant amounts vary and are influenced by the complexity of the initiative being studied. A general range of grant amounts would be \$200,000 - \$1 million and an average \$500,000. WDQI grant award amounts are influenced by the total amount available funding as determined by the appropriation.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 5 WDQI grants were awarded under the WDQI program in FY 21, ranging between \$1,000,000 and \$3,000,000, each.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of FOAs are published periodically in the Federal Register, on grants.gov, and on the ETA Web site at <https://www.dol.gov/agencies/eta/>

Regional or Local Office:

See Regional Assistance Locations. Contact the nearest ETA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Toquir Ahmed,
200 Constitution Ave. NW,
Washington DC 20210
Email: ahmed.toquir@dol.gov
Phone: 202-693-3901
Fax:

Website Address:

<https://www.dol.gov/agencies/eta/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable FOA.

17.264 National Farmworker Jobs Program

NFJP.

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Title I, Part D, Section 167, Public Law 113-128, 29 USC Code 3222.

OBJECTIVES:

To help individuals, and their dependents, who are primarily employed in agricultural and fish farming labor that is characterized by chronic unemployment and underemployment, obtain and retain unsubsidized employment, or stabilize their unsubsidized employment, including upgraded employment in agriculture. Grant organizations provide career services, job training, housing assistance, and other related assistance.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

In the National Farmworker Jobs Program (NFJP), eligible migrant and seasonal farmworkers and their dependents may receive career services, such as an eligibility determination, initial assessment, job placement assistance, comprehensive skills assessment, an individual employment plan, case management, career planning, and workplace readiness. Training services, such as occupational skills training or on-the-job training, and related assistance, such as emergency assistance, allow a farmworker to obtain, retain, or stabilize their unsubsidized employment, including upgraded employment in agriculture. In addition, permanent and temporary housing assistance is also provided to migrant and seasonal farmworkers (MSFWs).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The provisions of WIOA, Section 167(b), describe entities eligible to receive a grant as those that have: 1) an understanding of the problems of eligible migrant and seasonal farmworkers (including their dependents); 2) a familiarity with the area to be served; and 3) the ability to demonstrate a capacity to administer and deliver effectively a diversified program of workforce investment activities (including youth workforce investment activities) and related assistance for eligible migrant and seasonal farmworkers. Entities such as state government agencies, state workforce investment boards, local government agencies, local workforce investment boards, faith-based and community-based organizations, institutions of higher learning, and other entities are examples of organizations that could be eligible to apply for NFJP grants.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Migrant; Low Income; Beneficiaries are low-income individuals and their dependents who, for 12 consecutive months out of the 24 months prior to

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application for the program, have been primarily employed in agricultural or fish farming labor that is characterized by chronic unemployment or underemployment, and who face multiple barriers to economic self-sufficiency. Male NFJP Participants must not have violated section 3 of the Military Selective Service Act (50 U.S.C. App. 453).

Credentials/Documentation:

Applicants must be able to demonstrate an understanding of the problems of seasonal farmworkers and the capacity to respond to their needs, a familiarity with the local agricultural industry, and the administrative capacity to administer workforce investment programs, including their capacity to be an effective One Stop system partner. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants for operating NFJP programs are awarded every four years through a competitive process. Applications are solicited through a Funding Opportunity Announcement (FOA) published in the Federal Register and on ETA's Web site, www.doleta.gov. Applicants are required under the solicitation to provide their proposed plan to operate NFJP services in the areas where they propose to operate. A single NFJP employment and training grantee is funded to serve the geographic area of each state, except as follows: more than one applicant may be selected to serve areas within California, and some service areas comprise the geography of two adjoining states. Housing grantees serve the geographic areas designated in their program plans, and may include single states, multiple states, or parts of states.

Award Procedure:

Competing applications will be rated according to criteria included in the FOA. Awards are made directly to eligible applicants by the Employment and Training Administration.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Other. Petition for reconsideration of non-selection as a potential grantee may be made to an Administrative Law Judge within 21 days of notification of the Department's decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 20 CFR, Chapter , Part 685, Subpart , Public Law P.L. 113-128. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant awards are for four years. Method of awarding/releasing assistance: letter

Reports:

Quarterly reports are required on grant expenditures, program activities, and participant services and outcomes. Quarterly reports are required on program activities and participant services and outcomes. Financial reports are required quarterly. Regional Federal Project Officers conduct monitoring, including desk audits, risk assessments, site visits and file audits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

Grantees are required to maintain complete accounting, personnel, and individual

participant records in accordance with the Uniform Guidance at 2 CFR 200 and DOL Exceptions at 2 CFR 2900. Participant records must support eligibility and the need for services provided.

Account Identification:

16-0174-0-1-504.

Obligations:

Formula Grants FY 20 \$89,000,000.00; FY 21 est \$94,000,000.00; FY 22 est \$97,000,000.00;

Range and Average of Financial Assistance:

The range and average varies by year according to appropriation levels. State allocations are published annually in the Federal Register.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Accomplishment statement: Career Services and Training grant recipients help farmworkers and their dependents acquire necessary skills to either stabilize or advance in their agricultural jobs or obtain employment in new industries. To support better economic outcomes for farmworkers, Housing grant recipients work to meet a critical need for safe and sanitary permanent and temporary housing. For program year 2021, Employment and Training Administration awarded \$86,946,000 for Career Services and Training grants and \$6,256,000 for Housing grants. Performance data for PY 2019 is available at <https://www.dol.gov/agencies/eta/agriculture/performance>.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 685

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Regional contact information is available on the NFJP website at http://www.doleta.gov/Farmworker/html/Contact_Us.cfm.

Headquarters Office:

Division Chief, Division of National Programs, Tools, and Techni Steven Rietzke, 200 Constitution Ave. NW, Room C-4510, Washington DC 20210-0001
Email: Rietzke.Steven@dol.gov
Phone: 2026933912

Website Address:

<http://www.doleta.gov/Farmworker/html/NFJP.cfm>

RELATED PROGRAMS:

17.258 WIA Adult Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the FOA published in the Federal Register whenever there is a competition for grant awards. (Also, please see paragraph 92, above.)

17.265 Native American Employment and Training

WIA / WIOA, Section 166, Native American Employment and Training Program, FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR, DEPARTMENT OF AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014 The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. The regulations implementing WIOA were published in the Federal Register on August 19, 2016., Title I, Part SubtitleD, Section 166, Public Law 113-128, The Workforce Innovation and Opportunity Act,

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, Public Law 113-128

OBJECTIVES:

To support employment and training services for Native Americans, Alaska Natives, and Native Hawaiian individuals in order to develop more fully the academic, occupational, and literacy skills of such individuals; to make such individuals more competitive in the workforce and to equip them with the entrepreneurial skills necessary for successful self-employment; and to promote the economic and social development of Native Americans, Alaska Natives, and Native Hawaiian communities in accordance with the goals and values of such communities. All programs assisted under this section shall be administered in a manner consistent with the principles of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450 et seq.) and the government-to-government relationship between the Federal Government and Indian tribal governments. Supplemental youth funding is also awarded to help low-income Native American youth and Native Hawaiian youth, between the ages of 14 and 24, acquire the educational and occupational skills needed to achieve academic and employment success and transition to careers and productive adulthood.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be utilized for employment and training programs and services, including classroom training, on-the-job training, training assistance, work experience, youth employment programs, day care, health care, job search, relocation, rent assistance and transportation allowances designed to help eligible participants to obtain employment. There are specified restrictions on the amount of grant funds which can be used for administrative costs (15% but can be up to 20% with prior agency approval). Supplemental youth funds may be utilized to provide employment and training activities that assist youth in achieving academic and employment success. Such activities may include; mentoring, career exploration, work experience / summer employment, community service, education programs including cultural education, leadership development, and supportive services. Funds are restricted to Native Americans, Alaska Natives, and Native American youth and Native Hawaiian youth, between the ages of 14 and 24, living on or near reservations and the States of Oklahoma, Hawaii, and Alaska. Administrative costs are limited to 15% but are negotiable up to 20% upon prior agency approval. Participants of the program must be American Indian, as defined by the organization, which at a minimum must include enrolled members of any Federally Recognized Tribe. For the Adult program, participants must also be unemployed, underemployed or low-income individuals. For the youth program, participants must be between the ages of 14 - 24 and must be low-income unless the grant recipient's service area is located in a "high poverty area" as defined in the WIOA regulations at 684.130. Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Native Hawaiian organizations meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) are selected by the Secretary on a competitive basis to run programs in designated service areas. However, funds are allocated to entities (selected from the competitive process) using a funding formula.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Native Hawaiian organizations meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) are selected by the Secretary on a competitive basis. Tribes, bands, and groups may also form consortia in order to qualify for designation as a grantee. Detailed requirements for consortium grantee applicants are set forth in the WIOA Final Rule at 684.200(e). Supplemental funding is automatically awarded to Federal Recognized Tribes and Tribal consortiums selected through the competitive process. However, there are a few exceptions in which non-profit entities receive youth funds. Youth funds are also based on a funding formula and is restricted to Native American, Alaska Native and Native Hawaiian youth living on or near reservations, OTSA areas in Oklahoma, Alaskan villages and the state of Hawaii.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian

Tribal Governments; Eligibility requirements for the adult program are provided in the WIOA Final Rule at 684.300. To be eligible for services under the adult program, Individuals must meet the definition of an Indian, as determined by a policy of the Native American grantee. American Indians are generally considered members (or descendants) of federally- recognized Indian tribes, bands, and groups or members of well-established state recognized tribes such as, but not limited to, the Homa Indians in Louisiana and the Lumbee Indians in North Carolina. Applicants must also be low-income according to HHS poverty income guidelines or unemployed, or underemployed or the recipient of a bona fide lay-off notice or an individual who is employed, but is determined by the grantee to be in need of employment and training services to obtain or retain employment that allows for self-sufficiency. Eligibility requirements for the youth program are provided in the WIOA Final Rule at 684.430. To be eligible for services under the youth program, individuals must meet the definition of an Indian, as determined by a policy of the Native American grantee and must be between the ages of 14 and 24 and live on or near a reservation or in OTSA areas of Oklahoma or Alaska Native Villages or ANRC areas in Alaska, or the State of Hawaii and are low income. 684.130 of the Final Rule for WIOA provides the definition of a "high-poverty" area. If applicable Section 129(a)(2)) of WIOA allows youth living in a "high poverty" area to be considered, male applicants also must register or be registered for the Selective Service in order to be eligible for the adult or the youth program. WIOA provides for an exception that allows up to five percent of the youth participants during a program year to be placed on the youth program and not have to meet the low-income requirement.

Credentials/Documentation:

An entity requesting to apply for a grant must submit an application through a competitive process known as a Funding Opportunity Announcement (FOA). Eligibility for a WIOA section 166 grant is defined in the WIOA Final Rule at 684.200. WIOA also requires a 4-year strategic plan as part of the competitive application process. The required documents and information that must be included in the plans are provided in the FOA. The plan may include, among other things: (1) a program narrative description; (2) a planning summary; and (3) a brief budget summary. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. The Employment and Training Administration publishes a Funding Opportunity Announcement (FOA) in the Federal Register every 4 years. The FOA provides the application and award process for interested entities to apply for funding to serve specified geographic areas. Grantees receiving a grant award must also submit a 4-year strategic plan to the Department of Labor, Employment and Training Administration, Office of Workforce Investment, Division of Indian and Native American Programs (DINAP). In addition, grantees must describe the administrative, planning, and operational elements needed to implement a WIOA Section 166 program. Instructions for completing these documents are issued by the Employment and Training Administration through a Training and Employment Guidance Letter (TEGL).

Award Procedure:

Grants will be made directly to eligible grantees for their service areas.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact the Department of Labor, Employment and Training Administration, Office of Workforce Investment, DINAP office for application deadlines (202) 693-3737.

Appeals:

From 60 to 90 days. Applicants will be notified of approval or disapproval of the 4-year strategic plan and if disapproved, given a reasonable time to make adjustments and resubmit the Plan. Final disapproval of an application or plan submitted by a designated grantee will not be made without affording the grantee an opportunity

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for reconsideration.

Renewals:

From 30 to 60 days. Grant awards may be renewed annually however competition for these grants must be held every 4-years pursuant to Section 166 (c) of WIOA.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter , Part Subtitle D - National Programs., Subpart Section 166 - Indian and Native American Programs,Public Law Workforce Innovation and Opportunity Act.Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are made available through annual congressional appropriations. The program year runs from July 1 to June 30, except that the Supplemental Youth Services Program runs from April 1 to March 31. Funds obligated for any program year may be expended by each recipient during the program year and the two succeeding program years. See the following for information on how assistance is awarded/released: Funds are placed in the HHS, Payment Management System and can be drawn down by the grantee as needed to pay for expenditures. Method of awarding/releasing assistance: other

Reports:

OMB 9173 form for comprehensive services adult program is submitted quarterly and OMB 9085 for supplemental youth services program is submitted semi-annually. Cash reports are not applicable. No progress reports are required. ETA 9130 Form financial report is submitted quarterly. National office staff monitors performance using the Grants Enterprise Management System (GEMS) to conduct risk assessments and desk reviews. On-site reviews are also conducted. ETA 9130 Form financial report is submitted quarterly National office staff monitors performance using the Grants Management System (GEMS) to conduct risk assessments and desk reviews. On-site reviews are also conducted every three years.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, statistical records, and all other pertinent records shall normally be retained for a period of three years after the grant is closed out. Participant records shall be retained for five years. Records must be retained longer in certain cases, such as when audit findings have not been resolved.

Account Identification:

16-0174-0-1-504.

Obligations:

Formula Grants FY 20 \$68,000,000.00; FY 21 est \$69,000,000.00; FY 22 est \$72,000,000.00;

Range and Average of Financial Assistance:

The range and average of financial assistance varies by year. Amounts are published annually in a Training Employment Guidance Letter (TEGL) Grant awards range from \$1,000 to \$5,000,000. Funding is based on a formula which is based on the percentage of low-income and unemployed Native Americans living in a geographic service area requested by the applicant in the competitive proposal. Federally Recognized tribes are typically awarded funds based on their reservation area (land base) but may also apply for "off-reservation" areas.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: INAP has awarded 103 Indian and Native American, Alaska

Native, and Native Hawaiian grantees with Section 166 funding, ranging from \$1,000 to \$5,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 81, No. 161, Friday, August 19, 2016, 20 CFR Parts 683 and 684 Employment and Training Administration, United States Department of Labor. and Federal Register, Volume 81, No. 161, Friday, August 19, 2016, Book 2, 20 CFR Part 678, Joint Rule, Employment and Training Administration, United States Department of Labor and United States Department of Education.

Regional or Local Office:

Headquarters Office:

Federal Project Officer Duane Hall,
200 Constitution Avenue, NW, Room S-4209,
Washington DC 20210

Email: hall.duane@dol.gov

Phone: (972) 850-4637

Fax: (972) 850-4605

Website Address:

<http://www.doleta.gov/dinap>

RELATED PROGRAMS:

17.258 WIA Adult Program; 93.612 Native American Programs; 15.108 Indian Employment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the regulations, Funding Opportunity Announcements (FOA) and the Department's Training and Employment Guidance Letters (TEGL)s In general, awards are based on the regulatory requirements found at 20 CFR 684.200, 684.210, 684.220 and 684.230 in WIOA. In selecting entities for a grantee award, some key criteria include the entity's legal status, ability to administer Federal funds, and prior experience and success in providing employment and training services to the client population.

17.268 H-1B Job Training Grants

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Funds are authorized by Section 414(c) of the American Competitiveness and Workforce Improvement Act of 1998 (ACWIA), as amended (29 USC 3224a).Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., Section 414(c), Public Law 105-227

OBJECTIVES:

The H-1B Skills Training Grants provide training and related activities to workers to assist them in gaining the skills and competencies needed to obtain or upgrade employment in high-growth industries or economic sectors. Over time, these education and training programs will help businesses reduce their use of skilled foreign professionals permitted to work in the U.S. on a temporary basis under the H-1B visa program.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are to be used to provide job training services and related activities to assist workers in gaining the skills and competencies needed to obtain or upgrade employment positions in high-growth industries and economic sectors, or occupations, which currently use H-1B visas to employ foreign workers. Grant opportunities are published on grants.gov. Please refer to the Funding Opportunity Announcement (FOA) for specific eligibility requirements.

Applicant Eligibility:

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State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Profit organization; Grants may be awarded to a partnership of private and public sector entities as defined in the American Competitiveness and Workforce Improvement Act (ACWIA). Applicants may generally be public and non-profit organizations, and eligible applicants vary for individual grant programs. See the Funding Opportunity Announcement (FOA) for specific requirements.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Anyone/general public; Native American Organizations; Student/Trainee; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Unemployed; Welfare Recipient; Moderate Income; Low Income; Education (13+); The scope of potential trainees under these programs can be very broad. Please review the Funding Opportunity Announcement (FOA) for specific requirements. Training may be targeted to a wide variety of populations, including unemployed or underemployed individuals, and incumbent workers.

Credentials/Documentation:

Generally grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, including a project management structure. Additionally, grantees must provide evidence of the use of data systems to track outcomes in a timely and accurate manner. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants for projects are awarded on a competitive basis, announced in Funding Opportunity Announcements (FOAs) on grants.gov. There is not a set schedule for the release of FOAs. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOAs provide all of the necessary information for applying for federal assistance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors cited in the FOA. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.dol.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. DOL announces grant recipients once the paneling process is complete, generally 90 to 120 days after the application deadline.

Appeals:

Other. Procedures for each project are specified in the applicable Funding Opportunity Announcement (FOA).

Renewals:

Other. Renewals with funds are generally not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most projects last four years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: letter

Reports:

Grantees are required to submit quarterly progress reports within 45 days of the end of the calendar quarter regarding the status of their project. Quarterly financial reports are required within 45 days of the end of the quarter. Quarterly performance reports are required within 45 days of the end of the quarter, using the reporting system specified in the Funding Opportunity Announcement (FOA). Regional Federal Project Officers conduct performance monitoring using risk assessments, desk reviews, and on-site monitoring. Final project reports are due to ETA 45 days after the grant ends.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants FY 20 \$99,000,000.00; FY 21 est \$185,000,000.00; FY 22 est \$150,000,000.00;

Range and Average of Financial Assistance:

Recent awards have ranged from \$500,000 to \$6,000,000, but amounts may vary. Please review specific Funding Opportunity Announcements (FOAs), published on grants.gov, for details.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 36 grants totaling \$185 million were awarded in FY2021, ranging from \$1.2 to \$10 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in applicable Funding Opportunity Announcement.

Regional or Local Office:

See Regional Assistance Locations. Contact the nearest Employment and Training Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Maya Kelley,
200 Constitution Ave., NW, Room C-4518,
Washington DC 20010
Email: kelly.maya@dol.gov
Phone: 202-693-2805
Fax: Cheryl Martin,
200 Constitution Ave., NW, Room C-4518,
Washington DC 20210
Email: martin.cheryl1@dol.gov
Phone: 202-693-3644

ASSISTANCE LISTINGS DESCRIPTIONS

Fax:

Website Address:

<https://www.dol.gov/agencies/eta/skills-grants/h1-b-skills-training>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are specified in the applicable Funding Opportunity Announcement (FOA).

17.270 Reentry Employment Opportunities

Prisoner Re-entry,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Executive Order The Workforce Innovation and Opportunity Act (WIOA), Section 169, Public Law 113-128, codified at 29 U.S.C. 3224 authorize this program. , The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. Only the version published in the Federal Register is the official regulation., Title Workforce Innovation and Opportunity Act (WIOA) of 2014, Section 169 (a)(2)(A)-(G), Public Law 113-128

OBJECTIVES:

This program includes both reentry grants focused on serving returning adults and youth focused grants aimed at youth involved or at risk of involvement in crime and violence. The objectives of the adult reentry grants include increasing the employment, employment retention, and earnings rate of released individuals while also decreasing their rate of recidivism. The objectives of the youthful reentry grants include preventing in-school youth from dropping out of school, increasing the employment rate of out-of-school youth, increasing the reading and math skills of youth, reducing the involvement of youth in crime and violence, and reducing the recidivism rate of youth.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Services under the adult reentry grants include assistance in finding employment, mentoring, job training, remedial education, and other comprehensive transitional services. Services under the youth focused grants include a wide array of educational, employment, mentoring, case management, violence reduction, diversion, and record expungement services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants for Reentry Employment Opportunities (REO) grants are community-based organizations (CBOs) that are located in, or have a staff presence in the community being served. Eligible applicants for youth focused grants vary depending on the solicitation. Examples of possible eligible applicants include community-based organizations, school districts, state and local government juvenile justice agencies, tribal entities, and entities carrying out activities under WIOA, such as local Workforce Investment Boards or American Job Centers. Eligible applicants for adult focused grants vary depending on the

solicitation.

Beneficiary Eligibility:

Juvenile Delinquent; Youth (16-21); Reentry Employment Opportunities (REO) Adult grants serve individuals, 18 years old and older, who have been convicted as an adult and have been imprisoned for violating a state or federal law, and who have never been convicted of a sex-related offense. Depending on the solicitation, enrollment may be limited based on whether the presenting offense was violent or whether the individual has previously committed a violent crime. Eligible applicants for youth focused grants vary depending on the solicitation.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Grants for projects are awarded on a competitive basis, announced in Funding Opportunity Announcements (FOAs), in Grants.gov and also on ETA's Web site at <http://www.doleta.gov>. Notices of the availability of FOAs may be published in the Federal Register. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOA provides all of the necessary information for applying for Federal assistance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. The general procedure is as follows. A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluate submitted and eligible applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once recommendations are made by the Grant Officer and approved by the Secretary of Labor an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days. Procedures for each project are specified in the applicable request for proposals.

Renewals:

>180 Days. ETA may provide no-cost extensions at its own discretion based on the success of the project and other relevant factors.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance varies by grant announcement. The possibility of additional years of funding varies by grant announcement. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: letter

Reports:

Quarterly progress reports are required. Depending on the solicitation, grantees also may be required to participate in DOL's Management Information System data collection system. Quarterly financial reports are required. Regional Federal

ASSISTANCE LISTINGS DESCRIPTIONS

Project Officers are responsible for monitoring performance.

Audits:

Varies

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the Grant Officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants FY 20 \$91,000,000.00; FY 21 est \$91,000,000.00; FY 22 est \$91,000,000.00;

Range and Average of Financial Assistance:

The range and average of financial assistance varies by grant announcement. Adult focused grants have recently varied from \$1.2 million to \$4 million for two years of operation and 12 months of follow-up. Youth offender grants have recently varied from \$3.5 million to \$4.5 million for two years of operation and 12 months of follow-up.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Reentry Employment Opportunities Program awarded funds to 26 grants this year to service adult and young adult participants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specified in Funding Opportunity Announcements (FOA).

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Management Analyst Andrea L. Bizzell,
200 Constitution Ave, NW, Room N-4511,
Washington DC 20210
Email: bizzell.andrea.l@dol.gov
Phone: (202) 693-3931
Fax: (202) 693-3113

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable request for proposals.

17.271 Work Opportunity Tax Credit Program (WOTC)

WOTC,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

WOTC is authorized under Section 143 of Division Q of P.L.116-94 -- Further Consolidated Appropriation Act, 2020., Title 26, Part IV, Section 51, Public Law 104-188, 26 USC Code 51-52. Tax Increase Prevention Act of 2014 (The Act), , Public Law 113-295

OBJECTIVES:

This federal tax credit was designed to help individuals from certain target groups who consistently face significant barriers to employment move from economic

dependency to self-sufficiency by encouraging businesses to hire target group members and be eligible to claim tax credits against the wages paid to the new hires during the first year of employment.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

States are to use these formula grants for: accepting WOTC applications from employers; determining eligibility of individuals as members of the target groups; issuing employer certifications or denials; developing working agreements with partner agencies in American Job Centers (also called One-Stop Career Centers) or other State agencies to verify or document eligibility of new hires, including issuing Conditional Certifications; and coordinating efforts to promote WOTC with employers, job seekers and other Workforce innovation and Opportunity Act (WIOA) partners. The Federal government provides general direction, funding, and oversight to the states.

Applicant Eligibility:

State; States (not individuals), the District of Columbia, the Virgin Islands, and Puerto Rico.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Industrialist/ Business person; Small Business Person; Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Youth (16-21); Unemployed; Welfare Recipient; Beneficiaries are all employers seeking WOTC target group workers and members of those target groups seeking employment. The members of the different target groups have statutory definitions (per Public Law 104-188, as amended) with specific eligibility requirements that must be verified by the State Workforce Agencies before a new hire certification can be issued to an employer or his/her representative.

Participating employers and their representatives must file their certification requests with State Workforce Agencies using IRS Form 8850 and ETA Form 9061 or 9062 within 28 days after the employment start day of the new hires.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 as codified in 41 CFR 1-15.7 for state and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

WOTC grant funds are provided to State Workforce Agencies (SWAs) on an annual basis. Grant amounts are determined by formula.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. As provided in funding allotment and policy guidance issued by ETA and IRS.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is provided by annual formula allotments. Method of awarding/releasing assistance: letter

Reports:

Program performance reporting by quarterly data submission is required.

Quarterly financial reports are required.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See below.

Records:

Standard records for periodic internal audits are required. The Internal Revenue Service (IRS) also requires that the State Workforce Agencies (SWAs) keep and maintain certification records for four years, and denial records for one year in the event of an employer audit by IRS or an employer appeal to the SWAs.

Account Identification:

16-0179-0-1-999.

Obligations:

Formula Grants FY 20 \$21,000,000.00; FY 21 est \$21,000,000.00; FY 22 est \$21,000,000.00;

Range and Average of Financial Assistance:

FY 2016 grants to states ranged from \$66,000 to \$2,518,373

TAFS Codes:

16-0179.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In Fiscal Year (FY) 2021, the Employment and Training Administration (ETA) distributed grant funds totaling \$18,485,000 to 53 state workforce agencies for the administration of the Work Opportunity Tax Credit (WOTC). Additionally, the Consolidated Appropriations Act, 2021 authorized an additional \$2,500,000 in funding to WOTC, which ETA distributed to 12 states with the most critical needs to alleviate processing backlogs and assist states in adopting or modernizing information technology for processing of certification requests. In FY 2020, a total of 1,620,806 WOTC certifications were issued to eligible employers.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 51 of the Internal Revenue Code, Title 26 U.S.C. ETA Handbook No. 408, Third Edition, November 2002, as amended. The Handbook and applicable U.S. Department of Labor, Employment and Training Administration policy directives are available on the WOTC program website at www.doleta.gov/wotc.

Regional or Local Office:

See Regional Assistance Locations. Contact information for regional and state WOTC coordinators is available on the WOTC program website at www.doleta.gov/wotc.

Headquarters Office:

Division Chief, Division of National Programs, Tools, and Techni Steven Rietzke, 200 Constitution Ave. NW, Room C-4510, Washington DC 20210-0001

Email: Rietzke.Steven@dol.gov

Phone: 2026933912

Website Address:

<http://www.doleta.gov/wotc>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 10.551 Supplemental Nutrition Assistance Program; 96.006 Supplemental Security Income;

EXAMPLES OF FUNDED PROJECTS:

2020 States are to use these formula grants for: accepting WOTC applications from employers; determining eligibility of individuals as members of the target groups; issuing employer certifications or denials; developing working agreements with partner agencies in American Job Centers (also called One-Stop Career Centers) or other State agencies to verify or document eligibility of new hires, including issuing Conditional Certifications; and coordinating efforts to promote WOTC with employers, job seekers and other Workforce innovation and Opportunity Act (WIOA) partners. The Federal government provides general direction, funding, and oversight to the states.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.272 Permanent Labor Certification for Foreign Workers

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR, DEPARTMENT OF

AUTHORIZATION:

Immigration and Nationality Act of 1952, as amended, Sections 212 (a) (5) (A) and 214 (c). Unless otherwise stipulated, recipients are subject to Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., , Statute 66-163, Public Law 82-414, 8 USC Code 1101 et seq.

OBJECTIVES:

To ensure that the admission of foreign workers into the United States does not adversely affect the wages, working conditions, and employment opportunities of U.S. workers. To assist U.S. employers seeking to hire foreign workers when no able, willing, and qualified U.S. workers are available.

TYPES OF ASSISTANCE:

Provision of Specialized Services, Salaries and Expenses

USES AND USE RESTRICTIONS:

U.S. employers may, upon meeting certain employment conditions and immigration requirements, use this assistance to file an immigrant petition with the Department of Homeland Security's U.S. Citizenship and Immigration Services seeking to hire a foreign worker on a permanent basis.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Under Section 212 (a)(5)(A) of the Immigration and Nationality Act, a foreign national who seeks to enter the United States for the purpose of performing skilled or unskilled labor is inadmissible, unless the Secretary of Labor has determined and certified to the Secretary of State and the Secretary of Homeland Security that- (I) there are not sufficient workers who are able, willing, qualified and available at the time of application for a visa and admission to the United States and at the place where the foreign worker is to perform such skilled or unskilled labor, and (II) the employment of the foreign worker will not adversely affect the wages and working conditions of workers in the United States similarly employed. The U.S. employer must attest to permanently hiring the foreign worker as a full-time employee; there must be a bona fide job opening available to U.S. workers; and job requirements must adhere to what is customarily required for the occupation in the U.S. and may not be tailored to the foreign worker's qualifications. In addition, the U.S. employer shall document that the job opportunity has been and is being described without unduly restrictive job requirements, unless adequately documented as arising from business necessity. The employer must pay a wage that equals or exceeds the prevailing wage for the occupation in the area of intended employment.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Any U.S. employer that is unable to find qualified U.S. workers to meet its needs and seeks to hire a foreign worker to fill a given job opportunity on a permanent basis is eligible to file an application for permanent labor certification with the Department of Labor. An employer who seeks to employ a foreign worker under the Department of Labor's Schedule A list of pre-certified occupations contained in Part 656, Title 20, Code of Federal Regulations is eligible to file a petition for a foreign worker directly with the Department of Homeland Security's U.S. Citizenship and Immigration Services.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

Employers seeking permanent labor certifications, to subsequently file immigrant petitions on behalf of foreign workers for permanent employment in the United States, must document and establish that each worker's education, experience, and job qualifications meet the requirements stipulated under U.S. Department of Labor and the Department of Homeland Security regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Prior to filing an application for permanent labor certification, the U.S. employer must request a prevailing wage determination from the National Prevailing Wage Center (NPWC). When filing the application for permanent labor certification, the U.S. employer must attest, among other conditions of employment, to having conducted recruitment for U.S. workers prior to filing the application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. U.S. employers must file permanent labor certification applications directly with the Department of Labor (except for Schedule A occupations). See www.dol.gov/agencies/eta/foreign-labor/programs/permanent for additional details.

Award Procedure:

If the Office of Foreign Labor Certification approves the application for permanent labor certification, a certification is issued by a Certifying Officer and returned to the U.S. employer (or its agent/representative) that submitted the application. The permanent labor certification is then submitted by the sponsoring employer in support of its immigrant petition for a foreign worker with United States Citizenship and Immigration Services.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. The time frame for processing of permanent labor certification applications varies based on the circumstances of each case and the volume of applications received.

Appeals:

Not Applicable. The permanent labor certification program provides for reconsideration by a Certifying Officer and/or administrative review by the Department of Labor's Board of Alien Labor Certification Appeals (BALCA) upon the U.S. employer's request.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applications for permanent labor certification may be filed at any time. Method of awarding/releasing assistance: other

Reports:

. Quarterly financial and workload reports from State Workforce Agencies. Quarterly financial from State Workforce Agencies.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Selected audits are conducted by the Department of Labor.

Records:

The U.S. employer is required to retain all supporting documentation for five years from the date of filing the Application for Permanent Employment Certification.

Account Identification:

16-0179-0-1-999.

Obligations:

Salaries and Expenses FY 20 \$14,000,000.00; FY 21 est \$14,000,000.00; FY 22 est \$17,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-0179.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the Department of Labor adjudicated 94,019 applications for permanent labor certification and issued 146,438 prevailing wage determinations for prospective permanent labor certification requests.

REGULATIONS, GUIDELINES, AND LITERATURE:

References in the Code of Federal Regulations: For permanent employment-Title 20, CFR Part 656. Applicable statutes, regulations, and policies are described on the Department of Labor website at www.dol.gov/agencies/eta/foreign-labor/programs/permanent.

Regional or Local Office:

None. Applications for permanent labor certification may be filed online at: https://www.plc.doleta.gov/eta_start.cfm?actiontype=home

Headquarters Office:

John Ake,
200 Constitution Ave., N.W.,
Washington DC 20210
Email: ake.john@dol.gov
Phone: (202) 329-1314

Website Address:

<http://www.dol.gov/agencies/eta/foreign-labor/programs/permanent>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.273 Temporary Labor Certification for Foreign Workers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.273 Temporary Labor Certification for Foreign Workers

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

Immigration and Nationality Act of 1952, as amended, Sections 101(a)(15)(H), 212(n), 214(c) and 214(i), Section 101, 212, 214, Statue 66-163, Public Law 82-414, 8 USC Code 1101 et seq. Immigration Act of 1990, , Statue 104-4978, Public Law 101-649, 8 USC Code 1182(n), 1184. Omnibus Insular Areas Act of 1991, , Statue 105-1733, Public Law 102-232, 8 USC Code 1182. Immigration Reform and Control Act of 1986, , Statue 100-3359, Public Law 99-603, 8 USC Code 1186.

OBJECTIVES:

To ensure that the admission of foreign workers does not adversely affect the wages, working conditions, and employment opportunities of U.S. workers. To ensure that adequate wages and working conditions are provided for foreign and U.S. workers. To assist U.S. employers seeking to hire temporary foreign workers when no able, willing, and qualified U.S. workers are available.

TYPES OF ASSISTANCE:

Federal Employment, Formula Grants, Provision of Specialized Services

USES AND USE RESTRICTIONS:

U.S. employers may, upon meeting certain conditions of employment and regulatory immigration requirements, use this assistance to seek the admission of foreign workers for temporary positions in the United States, provided that the

ASSISTANCE LISTINGS DESCRIPTIONS

employment of such foreign workers does not adversely affect the wages and working conditions of similarly-employed U.S. workers. This assistance may also be used by States and U.S. Territories to conduct required activities under the Immigration and Nationality Act of 1952, as amended, in support of foreign labor certification programs. These activities include, but are not limited to, reviewing and placing job orders to recruit U.S. workers; assisting employers in the effective recruitment of U.S. workers; conducting safety inspections of employer-provided housing for workers in the H-2A Temporary Agricultural Program; and performing the prevailing practice and wage surveys used to set the prevailing wages and standards for occupations within their state.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; U.S. employers may apply for temporary labor certification.

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Public nonprofit institution/organization; Other public institution/organization; .

Credentials/Documentation:

In the major temporary employment certification programs, U.S. employers seeking to hire foreign workers for a temporary period of need are required to obtain a temporary labor certification from the Department of Labor. Such certification, along with other necessary documentation, must be submitted in support of the respective I-129 nonimmigrant worker petition filed with the Department of Homeland Security's United States Citizenship and Immigration Services (USCIS). In the H-1B1 and E-3 programs, however, a nonimmigrant worker petition is not needed for the initial visa issuance and the foreign national seeking to enter the United States may use proof of a labor condition application certification in support of the visa application filed with the Department of State. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. U.S. employers should submit applications for temporary labor certification through the Foreign Labor Application Gateway (www.flag.dol.gov). Additional information on program requirements and application procedures are available at www.dol.gov/agencies/eta/foreign-labor/programs. States and U.S. territories receive grant funds to support federal foreign labor certification activities. The grantees submit work plans each fiscal year to obtain continued eligibility for the grant. U.S. employers who participate in temporary labor certification programs do not receive funding from these grants, but do benefit from the services offered by these state agencies to recruit U.S. workers for positions before they apply to hire a foreign worker.

Award Procedure:

If the Office of Foreign Labor Certification approves the application for temporary labor certification, the Certifying Officer issues a certification to the employer (and/or representative/agent) that submitted the application. The temporary labor certification is then filed by the U.S. employer, along with other necessary documentation, in support of a nonimmigrant worker petition filed with United States Citizenship and Immigration Services. State Workforce Agencies receive grant funds upon acceptance of their annual work plans.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. H-1B: the application for temporary labor certification is processed within

seven days. H-2A: The statute requires an initial acceptance by the Department of Labor within seven days of filing and a final determination 30 days prior to the employer's date of need.

Appeals:

Other. There is no procedure for appealing Department of Labor determinations to accept or reject a Labor Condition Application submitted for the H-1B, H1-B1, and E3 Programs. Complaints regarding misrepresentation in connection with the attestation or failure of the U.S. employer to carry out the terms of the attestation may be filed with the Department of Labor's Wage and Hour Division.

Renewals:

Other. Renewals and extensions are treated as the filing of a new application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applications for temporary labor certification may be filed at any time. The Employment and Training Administration also issues grants to State Workforce Agencies for activities supporting the federal labor certification programs. Method of awarding/releasing assistance: other

Reports:

Quarterly financial and workload reports from State Workforce Agencies.

Quarterly financial and workload reports from State Workforce Agencies.

Quarterly financial and workload reports from State Workforce Agencies.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Selected audits of employer applications are conducted by the Department of Labor. States are regularly monitored for compliance with grant requirements.

Records:

The U.S. employer is required to retain all supporting documentation for three years from the date of filing an application for temporary employment certification.

Account Identification:

16-0179-0-1-999.

Obligations:

Provision of Specialized Services FY 20 \$41,000,000.00; FY 21 est \$43,000,000.00; FY 22 est \$51,000,000.00; Formula Grants FY 20 \$14,000,000.00; FY 21 est \$20,000,000.00; FY 22 est \$26,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-0179.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the Department of Labor adjudicated 14,063 applications for H-2A temporary agricultural labor certification, 9,401 applications for H-2B temporary non-agricultural labor certification, 6,957 applications for CW-1 temporary labor certification, 577,334 H-1B labor condition applications, and 20,968 prevailing wage determination requests relating to these programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning temporary labor certification programs may be found at 20 CFR 655. Additional information on applicable statutes, regulations, and Departmental guidance is available on the Department of Labor website at www.dol.gov/agencies/eta/foreign-labor/programs.

Regional or Local Office:

None. Applications may be submitted via the Foreign Labor Application Gateway at www.flag.dol.gov. Mailed correspondence regarding the Temporary Labor Certification Program should be sent to: U.S. Department of Labor Office of Foreign Labor Certification Chicago National Processing Center 11 West Quincy Court Chicago, IL 60604-2105 Phone: (312) 886-8000 Fax: (312) 353-3352 Please note, all mailed correspondence envelopes should be clearly marked according to

ASSISTANCE LISTINGS DESCRIPTIONS

the appropriate program type (i.e. H-1B, H-1B1, E-3, H-2A, H-2B, or D-1).

Headquarters Office:

John Ake,
200 Constitution Ave., N.W.Box 12-200,
Washington DC 20210
Email: ake.john@dol.gov
Phone: (202) 513-7350

Website Address:

<https://www.dol.gov/agencies/eta/foreign-labor/programs>

RELATED PROGRAMS:

17.272 Permanent Labor Certification for Foreign Workers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.274 YouthBuild

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been published in the Federal Register., Title Workforce Innovation and Opportunity Act, Part Title I, Subtitle D, Section 171, Public Law 113-128

OBJECTIVES:

Grant funds will be used to support disadvantaged youth with the education and employment skills necessary to achieve economic self-sufficiency in in-demand occupations and post-secondary education and training opportunities, including apprenticeship; opportunities for meaningful work and service to their communities; and opportunities to develop employment and leadership skills and a commitment to community development among youth in low-income communities. As part of their programming, YouthBuild grantees will tap the energies and talents of disadvantaged youth to increase the supply of permanent affordable housing for homeless individuals and low-income families and to assist youth to develop the leadership, learning, and in-demand occupational skills needed to succeed in today's global economy.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The funds must be used for: (A) Education and workforce investment activities including (i) work experience and skills training (coordinated, to the maximum extent feasible, with pre-apprenticeship and registered apprenticeship programs) in the activities described in subparagraphs (B) and (C) related to rehabilitation or construction, and, if approved by the Secretary, in additional in-demand industry sectors or occupations in the region in which the program operates; (ii) occupational skills training; (iii) other paid and unpaid work experiences, including internships and job shadowing; (iv) services and activities designed to meet the educational needs of participants, including (I) basic skills instruction and remedial education; (II) language instruction educational programs for participants who are English language learners; (III) secondary education services and activities, including tutoring, study skills training, and school dropout prevention and recovery activities, designed to lead to the attainment of a secondary school diploma or its recognized equivalent (including recognized certificates of attendance or similar documents for individuals with disabilities); (IV) counseling and assistance in obtaining postsecondary education and required financial aid; and (V) alternative secondary school services; (v) counseling services and related activities, such as comprehensive guidance and counseling on drug and alcohol abuse and referral; (vi) activities designed to develop employment and leadership skills, which may include community service and peer-centered activities

encouraging responsibility and other positive social behaviors, and activities related to youth policy committees that participate in decision-making related to the program; (vii) supportive services and provision of need-based stipends necessary to enable individuals to participate in the program and to assist individuals, for a period not to exceed 12 months after the completion of training, in obtaining or retaining employment, or applying for and transitioning to postsecondary education or training; and (viii) job search and assistance. (B) Supervision and training for participants in the rehabilitation or construction of housing, including residential housing for homeless individuals or low-income families, or transitional housing for homeless individuals, and, if approved by the Secretary, in additional in-demand industry sectors or occupations in the region in which the program operates. (C) Supervision and training for participants (i) in the rehabilitation or construction of community and other public facilities, except that not more than 15 percent of funds appropriated to carry out this section may be used for such supervision and training; and (ii) if approved by the Secretary, in additional in-demand industry sectors or occupations in the region in which the program operates. (D) Payment of administrative costs of the applicant, including recruitment and selection of participants, except that not more than 10 percent of the amount of assistance provided under this subsection to the grant recipient may be used for such costs. (E) Adult mentoring. (F) Provision of wages, stipends, or benefits to participants in the program. (G) Ongoing training and technical assistance that are related to developing and carrying out the program. (H) Follow-up services.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants for these grants are public or private nonprofit agency or organization (including a consortium of such agencies or organizations), including: a community-based organization; a faith-based organization; an entity carrying out activities under this title, such as a local board; a community action agency; a State or local housing development agency; an Indian tribe or other agency primarily serving Indians; a community development corporation; a State or local youth service or conservation corps; and any other entity eligible to provide education or employment training under a Federal program other than YouthBuild.

Beneficiary Eligibility:

Individual/Family; Minority group; Student/Trainee; Black; American Indian; Spanish Origin; Asian; Other Non-White; Women; Juvenile Delinquent; Youth (16-21); Low Income; Under WIOA, an eligible youth is an individual who is (i) not less than age 16 and not more than age 24 on the date of enrollment; (ii) a member of a low-income family, a youth in foster care (including youth aging out of foster care), a youth offender, a youth who is an individual with a disability, a child of incarcerated parents, or a migrant youth; and (iii) a school dropout or an individual who was a school dropout and has subsequently reenrolled. Up to (but not more than) 25 percent of the participants in the program may be youth who do not meet the education and disadvantaged criteria above but who are: (1) basic skills deficient, despite attainment of a secondary school diploma or its recognized equivalent (including recognized certificates of attendance or similar documents for individuals with disabilities); or (2) have been referred by a local secondary school for participation in a YouthBuild program leading to the attainment of a secondary school diploma.

Credentials/Documentation:

Grantees must demonstrate adequate organizational capacity to implement a YouthBuild program which includes a description of the type of educational or occupational skills credential(s) that will be gained through participating in the program. Additionally, grantees must provide evidence of the capacity to track and report required performance outcomes and must demonstrate successful past performance with a YouthBuild grant or other similar youth-serving program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

ASSISTANCE LISTINGS DESCRIPTIONS

is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants for projects are awarded on a competitive basis, announced in Funding Opportunity Announcements (FOAs) on ETA's Internal Web site at <http://www.doleta.gov/grants> and via www.grants.gov. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOA provides all of the necessary information for applying for Federal assistance. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

Procedures for each project are specified in the funding opportunity announcement. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov/grants. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. Procedures for each project are specified in the applicable Funding Opportunity Announcement.

Renewals:

Other. Renewals with funds are not available. However, with significant justification, ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Funded programs are required to provide matching funds of 25% toward the grant award amount. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

YouthBuild funds are awarded for a period of 3 years and 4 months, which includes four months of planning, two years of program operations, and one year of follow-up services to youth. Method of awarding/releasing assistance: letter

Reports:

Regional Offices conduct monitoring using risk assessments, enhanced desk monitoring reviews, and on-site visits. The quarterly narrative progress report must be submitted within 45 days following the end of each Program Year quarter. A quarterly financial report must be submitted within 45 days following the end of each Program Year quarter. The quarterly performance reports must be submitted within 45 days following the end of each Program Year quarter.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment, unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants FY 20 \$85,000,000.00; FY 21 est \$90,000,000.00; FY 22 est \$138,000,000.00;

Range and Average of Financial Assistance:

Grants range from \$700,000 to \$1.5 million.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: YouthBuild awards approximately 70 grants per year. YouthBuild programs are in over 40 states serving over 6,000 youth nationally per year. The YouthBuild program supports the goal of increasing affordable housing within communities by teaching construction skills learned by building or significantly renovating homes for sale or rent to low-income families or transitional housing for homeless families or individuals. YouthBuild is recognized as a WIOA pre-apprenticeship model. YouthBuild programs implement innovative alternative education programs and individualized and project-based instruction. YouthBuild also integrates training and work experience with other in-demand industries, and focuses on local high-growth fields with strong career pathways and industry-recognized credentials including health care, advanced manufacturing, information technology (IT), and other occupations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Funding Opportunity Announcement.

Regional or Local Office:

See Regional Assistance Locations. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Division Chief Jennifer Kemp,
200 Constitution Avenue, NW,
Washington DC 20210
Email: Kemp.Jennifer.N@dol.gov
Phone: 2026933377
Fax: 2026933113 Toni Wilson,
200 Constitution Ave., NW,
Washington DC 20210
Email: wilson.toni@dol.gov
Phone: 2026932922
Fax: 2026933113

Website Address:

<https://www.dol.gov/agencies/eta/youth/youthbuild>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Funding Opportunity Announcement.

17.276 Health Care Tax Credit (HCTC) National Emergency Grants (NEGs)

HCTC Infrastructure National Dislocated Worker Grants (NDWG) (formerly called HCTC NEGs),

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,

DEPARTMENT OF

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Trade Act of 1974 (Public Law 93-618), as amended; Trade Adjustment Assistance (TAA)

ASSISTANCE LISTINGS DESCRIPTIONS

Reform Act of 2002 (Public Law 107-210), as reauthorized by the TAA Extension Act of 2011; as reauthorized by the TAA Reauthorization Act of 2015 (Public Law 114-27), , Public Law 111-5

OBJECTIVES:

To provide health insurance coverage assistance and support related services to eligible Trade Adjustment Assistance (TAA) recipients and eligible ATAA/RTAA recipients, as authorized under the Trade Adjustment Assistance Reauthorization Act of 2015 (TAARA 2015), title IV of the Trade Preferences Extension Act of 2015 (Public Law 114-27), which amends Subparagraph (B) of section 35(b)(1) of the Internal Revenue code of 1986.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The most recent type of HCTC DWG grant was for infrastructure. Infrastructure DWG funds were used to help states establish the systems and procedures needed to make healthcare benefits available for eligible individuals. States requested HCTC Infrastructure DWG funds to cover administrative costs for activities related to the transmittal of records of eligible TAA and ATAA/RTAA recipients to the IRS via the Unemployment Insurance (UI) Interstate Connection Network (ICON). Upon receipt of the state workforce agency (SWAs) information, the IRS determines whether the eligible TAA and ATAA/RTAA recipients are also eligible for HCTC.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Not Applicable.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Not Applicable.

Credentials/Documentation:

TAA certification 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications submitted requesting funding for administrative costs contained four separate and distinct parts: (1) SF-424 Application for Federal Assistance; (2) SF-424A Budget Information Form; (3) Budget Narrative; and (4) Project Narrative. It was each states responsibility to ensure that the funding amount requested was consistent across all parts and sub-parts of the application. A. SF-424, Application for Federal Assistance A state must have completed the SF-424, Application for Federal Assistance OMB Control No. 4040-0004 An SF-424 electronically submitted through grants.gov constituted the official signed document. Upon confirmation of an award, the individual signing the SF-424 on behalf of the applicant was considered the Authorized Representative of the applicant. B. Project Budget A state must have completed the SF-424A Budget Information Form OMB Control No. 4040-0006. C. Budget Narrative The budget narrative must have provided a description of costs associated with each line item on the SF-424A, demonstrating how grant funds will be used. It must also have included a description of leveraged resources provided (as applicable) to support grant activities. Note that the SF-424, SF-424A, and budget narrative must have included the entire Federal grant amount requested (not just for one year). Leveraged resources must not be shown on the SF-424 and SF-424A. A state should have described leveraged resources in the budget narrative. The requested Federal grant amount listed on the SF-424, SF-424A, and budget narrative must have been the same. The funding amount included on the SF-424 will have been considered the official funding amount requested if any inconsistencies were found. D. Project Narrative The Project Narrative must have demonstrated the states capability to implement the grant project in accordance with the provisions of the announcement. It must have provided a comprehensive framework and description of all aspects of the proposed project. It must have been succinct, self-explanatory, and well-organized so that reviewers could understand the proposed project. Examples of activities for which funding may have been requested include (but are not limited to): 1) outreach to notify eligible individuals regarding the availability of the advance

credit option of HCTC; 2) process improvements to ensure eligible TAA and ATAA/RTAA recipients are correctly identified; 3) development/implementation of information technology systems related to the requirements this advisory; and 4) HCTC staff training. E. Reporting Each grant recipient was required to submit a ETA 9130, Quarterly Financial Status Report (OMB Control Number 1205-0461) until such time as all funds has been expended or the grant period has expired. Quarterly reports were due 45 days after the end of each calendar year quarter. On the final Financial Status Report, grant recipient must have included any sub-award amounts so that applicable final indirect costs were calculated. The ETA 9130 report must have been submitted using the Departments Online Electronic Reporting System. Specific instructions on how to use that system were provided after award. Applications for funding under this project must have been submitted through www.grants.gov. Applications were accepted on rolling basis as long as funds remained available or until September 30, 2019. To submit the required documents, States must have followed the Apply for Grants link on grants.gov, and downloaded the links for the grant application package. States should not follow the Find Grants link, as this was not a competitive funding opportunity.

Award Procedure:

The Grant Officer issued a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds and the terms and conditions of the grant, based on the information provided in the application.

Deadlines:

October 01, 2016 - September 30, 2018. Applications are no longer accepted.

Range of Approval/Disapproval Time:

From 15 to 30 days. A decision on an initial application and monetary grant modifications such as requests for incremental funding, were made within 30 working days from receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

HCTC grants were awarded on a rolling basis. The grant period of performance was from (no earlier) than October 1, 2016, through December 29, 2019 or through expenditure of funds, whichever was earlier. Method of awarding/releasing assistance: lump sum.

Reports:

Narrative progress reports were submitted to the Federal Project Officer on a quarterly basis. Each grant recipient was required to submit a ETA 9130, Quarterly Financial Status Report (OMB Control Number 1205-0461) until such time as all funds has been expended or the grant period had expired. Quarterly reports were due 45 days after the end of each calendar year quarter. On the final Financial Status Report, the grant recipient must have included any sub-award amounts so that applicable final indirect costs were calculated. The ETA 9130 report was submitted using the Departments Online Electronic Reporting System. Specific instructions on how to use that system were provided after award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State requirements were to be in conformity with Federal statutes.

Account Identification:

16-0184-0-1-504.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

Grant amounts awarded in FY 2017 ranged from \$45,422 - \$500,000. The average

ASSISTANCE LISTINGS DESCRIPTIONS

was \$217,083

TAFS Codes:

16-0184.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Training and Employment Guidance Letter No. 5-15, Change 1, Operating Instructions for Implementing the Amendments to the Trade Act of 1974 Enacted by the Trade Adjustment Assistance Reauthorization Act of 2015 (TAARA 2015) Training and Employment Notice No. 25-15 Reinstatement of the Health Coverage Tax Credit (HCTC) for Eligible Trade Adjustment Assistance (TAA) Recipients and Alternative TAA (ATAA) and Reemployment TAA (RTAA) Recipients UNEMPLOYMENT INSURANCE PROGRAM LETTER No. 01-17 Health Coverage Tax Credit (HCTC) for Eligible Trade Adjustment Assistance (TAA) Recipients and Alternative TAA (ATAA) and Reemployment TAA (RTAA) Recipients

Regional or Local Office:

See Regional Assistance Locations. Employment and Training Administration regional offices

Headquarters Office:

Supervisory Program Analyst Julie S Baker,
Frances Perkins Building, 200 Constitution Avenue, N.W.,
Washington DC 20210
Email: baker.julie.s@dol.gov
Phone: 2026933707
Fax: 2026933584

Website Address:

<https://www.dol.gov/agencies/eta/tradeact/>

RELATED PROGRAMS:

17.245 Trade Adjustment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.277 WIOA National Dislocated Worker Grants / WIA National Emergency Grants

National Dislocated Worker Grants,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014 The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Title 1, Part D, Section 170, Public Law 113-128

OBJECTIVES:

DWGs are supplemental, time-limited funding assistance provided in response to major economic dislocations or other events that cause significant impact on states and local areas that exceed the capacity of existing formula funds and other relevant resources. DWGs enable states and communities to respond to and recover from large, unexpected dislocation events.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible circumstances for funding include: Disasters - Both Federal Emergency Management Agency (FEMA)-declared disasters and other emergency events of national significance as designated by another Federal Agency; plant closures and mass layoffs affecting 50 or more workers; and assistance to areas experiencing higher than average demand for services for dislocated members of the armed forces and their spouses.

Applicant Eligibility:

Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Entities that are eligible to receive a National Dislocated Worker Grant include: designated state or territorial Workforce Innovation and Opportunity Act (WIOA) program agencies; one or more Local Workforce Investment Areas; a designated organization receiving WIOA funding through the Native American Program provisions of WIOA or the tribal entities as described in the Stafford Act; entities determined to be appropriate to apply by the Governor of a state; and other entities that demonstrate their capability to carry out such projects to the Secretary of Labor. Funds can be used to provide employment and training services (including some supportive services) to eligible participants.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Unemployed; Individuals who are eligible for assistance vary by type of National Dislocated Worker Grant project; however, they must meet the criteria provided in Section 170 of the Workforce Innovation and Opportunity Act.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for DWGs are submitted via grants.gov. Information on how to apply for DWG funding can be found at www.doleta.gov/dwgs/how-to-apply.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds, and the terms and conditions of the grant based on the information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. A decision on an initial application and monetary grant modifications such as requests for incremental funding, are made within 45 working days from receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Innovation and Opportunity Act of 2014, Chapter , Part , Subpart ,Public Law 113-128. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, DWGs are funded incrementally, with a portion of the full award made initially and additional funds released as needed. Awarded DWG funds may be expended during the months remaining in the Program Year in which the grant award is made plus the subsequent two program years. Method of awarding/releasing assistance: letter

Reports:

Project Narrative Reports are submitted quarterly. Financial reports are submitted quarterly. Performance reports are submitted quarterly.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants FY 20 \$469,000,000.00; FY 21 est \$219,000,000.00; FY 22 est \$310,000,000.00;

Range and Average of Financial Assistance:

Grants amount awarded PY2019/FY2020 ranged from \$307,207 to \$29,175,000 Grant amounts awarded PY2018/FY2019 ranged from \$307,207 - \$14,666,667.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: When FEMA declared COVID-19 to be a national disaster situation, it triggered eligibility for all states and territories to apply for Disaster Recovery as well as Employment Recovery National Dislocated Worker Grants to help address the economic and workforce-related impacts of the pandemic. These grants were able to provide resources to create disaster-relief employment opportunities for unemployed individuals to help address the health and safety impacts of COVID-19, as well as the significant humanitarian needs caused by the pandemic. To address the impacts of the COVID-19 pandemic, ETA awarded 64 National Dislocated Worker Grants (44 Disaster Recovery and 20 Employment Recovery) totaling nearly \$398 million. Grants ranged from \$102,000 to approximately \$40.4 million. In addition to COVID, the DWG program continues to provide resources to address natural disaster such as wildfires, hurricanes, and the Texas Ice Storm; these grants help communities affected recover from the impacts of the disaster and put affected individuals to work to provide income for their families as well as helping to clean up from the effects of the disaster. In 2020 11 new or continuing grants were funded to address a range of natural disasters, totaling approximately \$47.6 million. The funding range for these grants was \$470,000 to approximately \$14.7 million. And DWG resources continue to be awarded to help support individuals and communities affected by the opioid crisis through the National Health Emergency Disaster Recovery DWGs. These grants have been ongoing for several years, and in 2020 ETA awarded 3 new grants totaling \$5.9 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Workforce Innovation and Opportunity Act (WIOA) 29 U.S.C. 3102 Section 170; 20 CFR Part 687; Training and Employment Guidance Letter 12-19.

Regional or Local Office:

See Regional Assistance Locations. Contact appropriate Regional Employment and Training Office listed in the appendix of the Catalog.

Headquarters Office:

Robert Kight,
200 Constitution Ave., NW, Room C-4526,
Washington DC 20210
Email: kight.robert@dol.gov

Phone: 202-693-3937

Website Address:

<http://www.doleta.gov/DWGs>

RELATED PROGRAMS:

17.258 WIA Adult Program; 17.207 Employment Service/Wagner-Peyser Funded Activities; 17.259 WIA Youth Activities; 17.245 Trade Adjustment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.278 WIOA Dislocated Worker Formula Grants

Workforce Innovation and Opportunity Act (WIOA) Dislocated Worker Program, **FEDERAL AGENCY:**

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014. The Workforce Innovation and Opportunity Act (WIOA) was signed into law on July 22, 2014, and went into effect on July 1, 2015. It amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. The regulations were published in the Federal Register on August 19, 2016. The regulations for the Title I WIOA Adult, Dislocated Worker and Youth programs are at 20 CFR Parts 680 through 683, as well as the joint Department of Labor and Department of Education regulations found at 20 CFR parts 676 through 678., , Public Law 113-128

OBJECTIVES:

The Dislocated Worker (DW) program is one of the six core programs authorized by Title I of the Workforce Innovation and Opportunity Act (WIOA). The purpose of the WIOA Dislocated Worker (DW) program is to help dislocated workers get back to work as quickly as possible and overcome barriers to employment. When individuals become dislocated workers as a result of job loss, mass layoffs, global trade dynamics or transitions in economic sectors, the DW program provides services to assist them in re-entering the workforce. States can reserve up to 25 percent of their DW funds for Rapid Response activities. The program's success is measured by the following core indicators of performance: 1. Employment Rate - 2nd Quarter After Exit; 2. Employment Rate - 4th Quarter After Exit; 3. Median Earnings - 2nd Quarter After Exit; 4. Credential Attainment Rate; 5. Measurable Skill Gains.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

WIOA specifies that most services for adult and dislocated workers will be provided through the American Job Center (AJC) Network, also known as the one-stop career center system. It authorizes that funds be used to provide career services, which are classified into two categories: basic and individualized services. While some job seekers may only need self-service or other basic career services such as labor exchange services, others may need more comprehensive services, such as individualized services, which include career planning, and developing an individual employment plan outlining needs and goals of the job seeker. Participants will also receive training services linked to job opportunities in their communities. To promote customer choice and involvement in career decisions, participants use an "Individual Training Account" (ITA) to select an appropriate training program from an eligible training provider list (ETPL). WIOA also authorizes the provision of supportive services (e.g. transportation and child care assistance) to enable an individual to participate in the program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Under WIOA, the entities eligible to receive formula-based funding from the Department are the 50 states, Puerto Rico, the District of Columbia and the outlying areas. Funds are allotted based on a statutory formula and states, in turn, allocate funds to local workforce development

ASSISTANCE LISTINGS DESCRIPTIONS

boards (approximately 600), which are responsible for operating comprehensive American Job Centers (approximately 2,400 nationwide).

Beneficiary Eligibility:

U.S. Territories; State; Unemployed; Individuals eligible for assistance through the Act are workers who have lost their jobs, including those dislocated as a result of plant closings or mass layoffs, and are unlikely to return to their previous industry or occupation; formerly self-employed individuals; and displaced homemakers who depend on income of another family member, but are no longer supported by that income. Priority of Service is given to veterans and other covered persons.

Credentials/Documentation:

Formula-funded programs are subject to an agreement between the Governor and Secretary and an approved State Plan. States sign a grant document agreeing to comply with the Act and regulations for the formula-allotted program. Cost principles apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Contact Regional Office for more information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Governor must submit a single WIOA Unified or Combined State Plan in accordance with planning guidance issued through the Federal Register. The planning guidance provides detailed instructions on what must be included in the State Plan, and provides a framework for collaboration across programs and integration of services, including the WIOA Title I programs and Wagner-Peyser Activities, as well as WIOA Titles II and IV programs housed with the Department of Education. The Combined State Plan includes the six "core" programs of the Unified Plan as well as other workforce development programs administered by the Department of Labor, the Department of Education, Health and Human Services, the Department of Agriculture, and the Department of Housing and Urban Development. States submit the Unified or Combined State Plan to the Federal Coordinator for Plan Review and Approval (currently Heather Fleck), Division of WIOA Adult Services and Workforce System, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4209, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the state plan is inconsistent with the provisions of Title I of WIOA or the WIOA regulations, including 29 CFR Part 37; or (2) the portion of the state plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Innovation and Opportunity Act of 2014., Chapter , Part , Subpart ,Public Law 113-128.Matching requirements are not

applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Formula funds are annually allotted to states and local workforce development areas under statutory formulas based on the distribution of unemployed individuals. States and local areas have three years to obligate the funds. Funds are distributed in two portions during a program year. Method of awarding/releasing assistance: letter

Reports:

Performance reporting requires:1. WIOA Annual Report;2. WIOA Annual Report narrative;3. Files documenting program performance which include data of both program participants and exiters on quarterly basis, in addition to the annual reporting; 4. Quarterly Financial Reports.Dislocated Worker performance reports can be accessed through the Workforce Integrated Performance System (WIPS). Data is collected on ETA form 9173.Note: Monitoring is conducted by Regional Federal Project Officers based on a risk assessment. Quarterly financial reports are required in accordance with 20 CFR 667.300. Regional Federal Project Officers conduct monitoring using risk assessments, desk reviews, and on-site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Nonfederal entities are required to maintain adequate records in accordance with 2 CFR Part 200.333, 29 CFR 95 and 97, as applicable.

Account Identification:

16-0174-0-1-504.

Obligations:

Formula Grants FY 20 \$1,054,000,000.00; FY 21 est \$1,064,000,000.00; FY 22 est \$1,156,000,000.00;

Range and Average of Financial Assistance:

WIOA formula grants vary annually and are published in the Federal Register.

TAES Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: States allocate Dislocated Worker funds to their local workforce development areas to provide career and training services to individuals who have lost their jobs through no fault of their own, including separating service members, military spouses, and displaced homemakers. Additionally, the program allows for states to reserve up to 25 percent of their Dislocated Worker funds for Rapid Response activities. Rapid Response is a pro-active, business-focused, and flexible strategy to help growing companies access an available pool of skilled workers and can respond to layoffs by quickly coordinating services and aid to companies and their workers. For the first three quarters of Program Year 2020, the WIOA Dislocated Worker program served more than 702,000 participants; of those, more than 70,000 received training, and more than 62,000 were low income individuals. Of the more than 70,000 in training, 52.3 percent had a Measurable Skill Gain and over 70 percent of exiters from training attained an industry-recognized credential. After exiting the program, 61.9 percent of exiters were employed 2 quarters after exit. Exiters who were employed had a median earnings of \$8,535 in the 2nd quarter after exit (months 4-6).

REGULATIONS, GUIDELINES, AND LITERATURE:

WIOA Final Rule (DOL only), 20 CFR Parts 603, 651, 652 et al., is available at: <https://www.doleta.gov/wioa/Docs/wioa-regs-labor-final-rule.pdf> WIOA Joint Final Rule (DOL and Education), 20 CFR Part 676, 677, 678, is available at: <https://www.doleta.gov/wioa/Docs/wioa-regs-joint-final-rule.pdf>

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

See Regional Assistance Locations. Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Kight,
200 Constitution Ave., NW, Room S-4203,
Washington DC 20210

Email: kight.robert@dol.gov

Phone: 202-693-3937

Website Address:

<http://www.dol.gov/agencies/eta>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258 WIA Adult Program; 17.277 Workforce Investment Act (WIA) National Emergency Grants; 17.280 WIOA Dislocated Worker National Reserve Demonstration Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.280 WIOA Dislocated Worker National Reserve Demonstration Grants

Workforce Innovation and Opportunity Act (WIOA) Dislocated Worker National Reserve Demonstration Grants,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Workforce Innovation and Opportunity Act (WIOA) of 2014 Workforce Innovation and Opportunity Act (WIOA) of 2014 WIOA supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. Public Law 113-228. This assistance number may also include additional authorizations by Congress for competitive grants., Title 1, Part D, Section 169(c), Public Law 113-228

OBJECTIVES:

To carry out demonstration and pilot projects for the purpose of developing and implementing techniques and approaches, and demonstrating the effectiveness of specialized methods, in addressing employment and training needs of Dislocated Workers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To carry out demonstration and pilot projects for the purpose of developing and implementing techniques and approaches, and demonstrating the effectiveness of specialized methods, in addressing employment and training needs of Dislocated Workers.

Applicant Eligibility:

Government - General; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants include: State and local governments, Federal agencies, private non-profit and for profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Minority group; Specialized group (e.g. health professionals, students, veterans); Student/Trainee; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Youth (16-21); Unemployed; Low Income; Project participants are dislocated workers and incumbent workers.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants for projects are awarded on a competitive basis, announced in Funding Opportunity Announcements (FOA) in the Federal Register and also on ETA's Internet website <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The FOA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable FOA. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an award notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Procedures for each project are specified in the applicable FOA.

Renewals:

Other. Project extensions available upon approval by the Grant Officer (renewals are not automatic). Requests for extensions or renewals must be submitted in writing.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most projects last 1 to 2 years. Method of awarding/releasing assistance: other

Reports:

Cash reports are required for accountability purposes Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations. Monthly progress reports are required for contracts/task orders. Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant.

ASSISTANCE LISTINGS DESCRIPTIONS

Records are to be retained for three years from the date of final payment unless the grant officer authorized earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$20,000,000.00; FY 22 est \$28,000,000.00;

Range and Average of Financial Assistance:

Grant awards average \$3.6 million

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 1) In June 2021, DOL awarded nearly \$20 million in grants to four national out-of-school time organizations to expand local work readiness programs and support workforce development activities among these organizations local affiliates. Workforce Pathways for Youth program expands job training and workforce activities for youth. 2) In January 2021, DOL awarded \$40 million to 11 grantees for Strengthening Community Colleges Training Grants to build the capacity of community colleges to collaborate with employers and the public workforce development system to meet local and regional labor market demand for a skilled workforce. The grants also build the capacity of community colleges to address challenges associated with the COVID-19 health crisis, such as expanding online and technology-enabled learning and migrating services to a virtual environment.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of FOAs are published periodically in the Federal Register on the ETA website at www.doleta.gov

Regional or Local Office:

See Regional Assistance Locations. Contact the nearest Employment and Training Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Kight,
200 Constitution Avenue, N.W.,
Washington DC 20210
Email: kight.robert@dol.gov
Phone: 202-693-3937

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 In 2018, the Department awarded \$22 million across six Phase One National Health Emergency (NHE) Dislocated Worker Demonstration Grants, which completed in 2021. These innovative grants respond to the opioid crisis and were awarded to six states: Alaska, Maryland, New Hampshire, Pennsylvania, Rhode Island and Washington. As part of the NHE Demonstration grant activities, the Department of Labor is conducting an implementation evaluation, which will be completed in fall 2021.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable FOA.

17.281 WIOA Dislocated Worker National Reserve Technical Assistance and Training

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

Workforce Investment Act, , Public Law 105-220, Workforce Innovation and Opportunity Act (WIOA) of 2014The Workforce Innovation and Opportunity Act

(WIOA) was signed into law on July 22, 2014. It supersedes the Workforce Investment Act of 1998, and amends the Wagner-Peyser Act and the Rehabilitation Act of 1973. This regulation has been submitted to the Office of the Federal Register (OFR) for publication, and is currently pending publication in the Federal Register. Only the version published in the Federal Register is the official regulation., Title 1, Part D, Section 168(b), Public Law 113-128

OBJECTIVES:

To support the coordination, development, and provision of appropriate training, technical assistance, staff development, and other activities, including assistance in replicating programs of demonstrated effectiveness to States, local areas, and other entities involved in providing assistance to dislocated workers, as well as promoting the continuous improvement of assistance provided to dislocated workers under the Workforce Innovation and Opportunity Act of 2014.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants awarded to entities other than States or local units of government that are for amounts in excess of \$100,000 shall only be awarded on a competitive basis. Of the amounts available pursuant to WIA Section 132(a)(2), the National Reserve Account, the Secretary shall reserve not more than 5 percent of such amounts to provide technical assistance to States, local areas, and other entities involved in providing reemployment services to dislocated workers to promote the continuous improvement of assistance provided to dislocated workers under this title.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Eligible applicants include: State and local governments, Federal agencies, private non-profit and for profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

State; Local; Unemployed; Public nonprofit institution/organization; Funds are used to promote the continuous improvement of assistance provided to dislocated workers.

Credentials/Documentation:

Non-Governmental entities ordinarily must furnish documentary evidence of adequate financial controls. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants submit application to US Department of Labor, Employment and Training Administration.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds and the terms and conditions of the grant, based on information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required for accountability purposes. Cash reports are required for accountability purposes. Quarterly progress reports on all grants are a mandatory requirement of all solicitations. Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorized earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$14,000,000.00; FY 22 est \$14,000,000.00;

Range and Average of Financial Assistance:

The total grant awards/cooperative agreements for PY2019/FY2020 ranges from \$425,250 to \$3.5 millionThe total grant awards/cooperative agreements for PY2018/FY2019 ranged from \$425,250 to \$3.5 million

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: DWTAT funds are used to provide technical assistance and transition to full WIOA implementation. For instance, this fund source has been used to support the Workforce Integrated Performance System (WIPS), State Wage Interchange System (SWIS), and Common Reporting Information System (CRIS), as well as technical assistance contracts and the Workforce IT Support Center. DWTAT funds are generally awarded through contracts and range from very small, targeted activities of just over \$6,000 to much larger projects of approximately \$3.5 million for the year; ETA awards approximately \$11 million per year in DWTAT projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Robert Kight,
200 Constitution Ave., NW, Room C-4526,
Washington DC 20210
Email: kight.robert@dol.gov
Phone: 202-693-3937

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.283 Workforce Innovation Fund

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Full Year Continuing Appropriations Act, 2011; the Consolidated and Further Continuing Appropriations Act, 2013 (P.L. 113-6) and the Consolidated Funding Act, 2014 (P.L. 113-76). Entities awarded grant funds from the Employment and Training Administration (ETA) must adhere to the Office of Management and Budgets (OMB) consolidation and publication of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule on December 26, 2013 and found at 2 CFR Part 200 along with the OMB approved exceptions for DOL at 2 CFR Part 2900 published on December 19, 2014 in the Federal Register., Title VIII, Section 1801, Public Law 112-10

OBJECTIVES:

The Workforce Innovation Fund funds projects that demonstrate innovative strategies or replicate effective evidence-based strategies that align and strengthen the workforce investment system in order to improve program delivery and education and employment outcomes for program beneficiaries.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds can be used for a broad range of activities. Please see the grant solicitations for specific allowable activities.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; State; Eligible institutions are: (i) State Workforce Agencies; (ii) Local Workforce Investment Boards; (iii) entities eligible to apply for WIA Section 166 grants; (iv) consortia of State Workforce Agencies; (v) consortia of Local Workforce Investment Boards; and (vi) consortia of entities eligible to apply for WIA Section 166 grants (Tribal entities).

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Local; Juvenile Delinquent; Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Moderate Income; Low Income; Major Metropolitan (over 250,000); Other Urban; Suburban; Rural; Public nonprofit institution/organization; Other public institution/organization; The scope of potential beneficiaries under these programs can be very broad. Please review the Solicitations for Grant Application for specific requirements.

Credentials/Documentation:

Generally grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, and strong proposed plans for implementing their project. Applicants should review the Solicitations for Grant Applications for specific requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No new applications are being accepted.

Award Procedure:

No new applications are being accepted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable. No new applications are being accepted.

Appeals:

Not Applicable. No new applications are being accepted.

Renewals:

Not Applicable. No new applications are being accepted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most projects last approximately 3 years. Method of awarding/releasing assistance: letter

Reports:

Quarterly progress reports are required within 45 days following the end of the quarter. Quarterly financial reports are required within 45 days following the end of the quarter. Annual performance reports are required within 45 days following the end of each project year. Final progress reports are required no later than 90 days after all grant funds have been expended, or the period of grant funds availability has expired. Regional Federal Project Officers conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

See SGAs.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. No new applications are being accepted.

Headquarters Office:

Workforce Analyst Charlotte Harris,

200 Constitution Ave, NW,

Washington DC 20210

Email: harris.charlotte@dol.gov

Phone: 2026932863

Website Address:

http://www.doleta.gov/workforce_innovation/

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See SGAs.

17.285 Apprenticeship USA Grants

Office of Apprenticeship,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,

DEPARTMENT OF

AUTHORIZATION:

Public Law 116-260 Consolidated Appropriations Act, 2021, Public Law 116-94;

Consolidated Appropriations Act, 2020, 29 USC Code Parts 29 and 30.

OBJECTIVES:

This grant funding will help integrate Registered Apprenticeship into the mainstream as a viable career pathway option to help the country build the strongest, most adaptable and most credentialed workforce in the world. Grants to states and public-private partnerships work to expand the number of apprentices and registered apprenticeship programs nationwide, reaching traditional and non-traditional industries, such as I.T., healthcare and cybersecurity, and ensuring registered apprenticeship opportunities reach underrepresented populations in apprenticeship including youth, women, minorities, U.S. Veterans, including transitioning service members, and persons with disabilities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance may be used to expand Registered Apprenticeship in new industries, as well as established industries. Specific restrictions vary by funding vehicle, please refer to specific funding vehicle.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; U.S. Territories and possessions; State; Entities eligible for funding associated with 17.285 are outlined within each discretionary announcement, and vary between vehicles. Eligible entities may include any of the following: 501 (c) organizations, educational organizations, state entities, tribal entities, municipal entities, for-profit entities. All applicants must meet the eligibility requirements outlined in the funding announcement to which they apply, and include current SAM.gov registration, DUNS registration among others. Please refer to each individual announcement to determine eligibility.

Beneficiary Eligibility:

U.S. Territories; State; Interstate; Intrastate; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; Student/Trainee; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Youth (16-21); Unemployed; Welfare Recipient; Pension Recipient; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (9-12); Education (13+); Federal; Senior Citizen (60+); Eligible beneficiaries are defined by the individual grant program by funding opportunity.

Credentials/Documentation:

Credentials and/or documentation (for example: accreditation documentation for an IHE) may be required by any funding vehicle, please refer to the specific funding vehicle for further information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. There may be pre-application coordination requirements, see each individual program funding announcement for any applicable details/requirements.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200 applies to this program. All applications must be submitted through grants.gov, and must contain all required documents as indicated in each funding vehicle to continue consideration. Any indicated requested documents are strongly suggested to be submitted at the time of application. Steps beyond those stated, or covered in 2 CFR 200 vary by funding vehicle, and are outlined in each funding vehicle. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Funding announcements are published at Grants.gov and the Employment and Training Administrations website (can be found below), or through a Training and Employment Guidance Letter. These announcements will provide all of the necessary additional award information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally, announcements are open for 45-60 days, but can be shorter periods. The review and award process takes approximately 30-60 days.

Appeals:

Other. While appeals are not applicable, unsuccessful applicants may request feedback from the contacts listed in the funding announcement to which they applied

Renewals:

Other. If circumstances warrant, a grantee may request an extension for up to 12 months. Modification requests are submitted when the grant is in its final year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance availability and duration outlined in each funding opportunity, varies according to each funding opportunity. Method of awarding/releasing assistance: other

Reports:

Some grants require an annual report in addition to the quarterly reports. See specific funding vehicle or program guidance for details ETA-form 9130 Quarterly fiscal reports are required, At least quarterly - specific requirements are outlined in each funding vehicle. ETA will monitor prime grantees. Prime grantees, in turn, are required to monitor any subawards (grants or contract, and other partnership activities associated with the grant). Grantees are required to submit quarterly updates on expenditures on ETA Form 9130. At least quarterly Specific performance and reporting requirements are outlined in each funding vehicle. .

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Info covered under 2 CFR 200

Records:

Grant record retention policy outlined in 2 CFR 200.333-337, for a period of no less than three years after submission of final expenditure report, or longer in cases of special circumstances. For program sponsors, apprenticeship selection records are required to show compliance with nondiscrimination requirements set out in Title 29 CFR Part 30 and are to be kept for five years.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants (Discretionary) FY 20 \$123,000,000.00; FY 21 est \$130,000,000.00; FY 22 est \$120,000,000.00;

Range and Average of Financial Assistance:

Ranges vary according to the type of funding opportunity. See individual funding opportunity announcements or TEGLs for range of awards.

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Awarded grants to 42 states and U.S. territories. These grants are intended to support activities that improve states ability to serve, improve and expand the registered apprenticeship model by strengthening the national apprenticeship system, promoting system alignment and partnerships, and improving data sharing and data integrity. The majority of states received awards of \$450,000. Additionally, 11 of those states received a second level of funding, ranging from \$3,000,000 to \$9,000,000. Fiscal Year 2021: Awarded State Apprenticeship Expansion, Equity and Innovation grants, ranging from \$2,000,000-\$10,000,000 to bolster states efforts to expand programming and inclusive recruitment strategies to attract a diverse workforce. Awarded cooperative agreements to establish 4 registered apprenticeship technical assistance centers of excellence. Each center is its own topic: Diversity, Equity and Inclusion; Data Performance and Best Practices; Apprenticeship Occupations and Standards; and Strategic Partnerships and Alignment. Awards ranged from \$4,000,000 to \$13,000,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 29 and 30

Regional or Local Office:

None.

Headquarters Office:

Marcia Hampton,
200 Constitution Avenue, NW,
Washington DC 20210
Email: apprenticeship.grants@dol.gov
Phone: 202-693-2626
Fax: Supervisory Workforce Analyst Megan Baird,
200 Constitution Ave NW, Room C-5311,
Washington DC 20210
Email: baird.megan@dol.gov
Phone: 2026932822

Fax:

Website Address:

<https://www.dol.gov/agencies/eta/apprenticeship/>

RELATED PROGRAMS:

17.261 WIOA Pilots, Demonstrations, and Research Projects; 17.268 H-1B Job Training Grants; 17.274 YouthBuild; 17.280 WIOA Dislocated Worker National Reserve Demonstration Grants; 17.287 Job Corps Experimental Projects and Technical Assistance; 17.701 Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program; 17.201 Registered Apprenticeship;

EXAMPLES OF FUNDED PROJECTS:

2020 Grants to states for capacity building and system-wide expansion of the registered apprenticeship model; industry intermediary contracts to expand into new and emerging industries; four cooperative agreements for developing technical assistance centers in the following areas: diversity and inclusion; data and performance and best practices; apprenticeship occupations and standards; and strategic partnerships and system alignment.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are outlined in the individual funding opportunity announcements.

17.286 Hurricanes and Wildfires of 2017 Supplemental National Dislocated Worker Grants

Hurricanes and Wildfires of 2017 and 2019 Supplemental NDWG,

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

ASSISTANCE LISTINGS DESCRIPTIONS

AUTHORIZATION:

Public Law 115-Public Law No: 115-123, Title Disaster Relief Act, 2019 (P.L. 116-20), , Public Law

OBJECTIVES:

The purpose of the National Dislocated Worker Grant program is to temporarily expand service capacity at the state and local levels by providing time-limited funding assistance in response to significant dislocation events. Significant events are those that create a sudden need for assistance that cannot reasonably be expected to be accommodated within the on-going operations of the formula-funded Dislocated Worker program, including the discretionary resources reserved at the state level. Disaster Recovery DWGs provide funding to create temporary employment opportunities to assist with clean-up and recovery efforts, when an area impacted by disaster is declared eligible for public assistance by the Federal Emergency Management Agency (FEMA), or declared or otherwise recognized as an emergency or disaster of national significance by a Federal agency with authority or jurisdiction over Federal response to the emergency or disaster. Disaster Recovery DWGs provide disaster relief and humanitarian assistance employment to minimize the employment impact of these disasters, in addition to employment and training services.

TYPES OF ASSISTANCE:

Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

Eligible circumstances for funding include: Disasters - Both Federal Emergency Management Agency (FEMA)-declared disasters and other emergency events of national significance as designated by another Federal Agency. Supplemental Appropriation funds are only available for award to those states and territories impacted by Hurricanes Harvey, Irma, and Maria, as well as the California wildfires of 2017, and FY2019 supplemental appropriations are for expenses related to the consequences of recent wildfires, hurricanes, volcanos, earthquakes, typhoons, and other natural disasters.

Applicant Eligibility:

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Entities that are eligible to receive a Disaster Recovery National Dislocated Worker Grant include: designated state Workforce Innovation and Opportunity Act (WIOA) program grantee agencies; and a designated organization receiving WIOA funding through the Native American Program provisions of WIOA. Funds can be used to provide disaster relief employment as well as employment and training services (including some supportive services) to eligible participants. Individuals who are eligible for assistance vary by type of National Dislocated Worker Grant project; however, they must meet the criteria provided in the Training and Employment Guidance Letter No. 2-15.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for DWGs are submitted via the DWG Electronic Application System. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds, and the terms and conditions of the grant based on the information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. A decision on an initial application and monetary grant modifications are made within 45 working days from receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applications for DWG funds can be funded in whole or in part. Generally, DWGs are funded incrementally. Awarded NDWG funds may be expended during the months remaining in the Program Year in which the grant award is made plus the subsequent two program years. Generally, planned project durations should not exceed 24 months. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: lump sum.

Reports:

Performance Reports are submitted quarterly. Financial reports are submitted quarterly. Performance monitoring occurs on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Nonfederal entities are required to maintain adequate records in accordance with 2 CFR Part 200.333.

Account Identification:

16-0174-0-1-504.

Obligations:

Project Grants (for specified projects) FY 20 \$38,000,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Operational Guidance for National Dislocated Worker Grants, pursuant to the Workforce Innovation and Opportunity Act (WIOA or Opportunity Act)

TAFS Codes:

16-0174.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: With funding received through PL 116-20, in 2020 ETA made 13 new and incremental grant awards totaling \$49.5 million (\$500k was transferred to the OIG) to address California wildfires, severe storms in 4 states, and Hurricanes Florence and Michael.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in the appendix of the Catalog.

Headquarters Office:

Robert Kight,
200 Constitution Avenue, N.W.,
Washington DC 20210
Email: kight.robert@dol.gov
Phone: 2026933937
Fax:

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.287 Job Corps Experimental Projects and Technical Assistance

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Public Law 113-PUBLIC LAW 113128 (JULY 22, 2014)

OBJECTIVES:

The goal is to determine whether two-year, public community colleges; accredited, public two- and four-year historically black colleges and universities (HBCUs); and accredited tribally controlled colleges and universities (TCUs) can provide quality job training and placement to Job Corps eligible students that is less costly and has better employment outcomes than the traditional Job Corps model.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grant funds cannot be used to train staff, pay bonuses, pay salaries of staff other than the authorized career and employment counselors for Job Corps scholars, or purchase new training program equipment.

Applicant Eligibility:

U.S. Territories and possessions; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible grant applicants are accredited, two-year, public community colleges; accredited, public, two- and four-year historically black colleges and universities or HBCUs, and accredited, two- and four-year tribally controlled colleges and universities or TCUs with at least one certification training program that can be completed in 12 months or less. Applicants must offer a job training certificate program that does not exceed 12 months in duration.

Beneficiary Eligibility:

The beneficiaries of the Job Corps Scholars Program are: Young adults between the ages of 16 and 24, Low income, A U.S. citizen, a legal U.S. resident, or are a resident of a U.S. territory and/or are authorized to work in the United States, and Has at least one of the characteristics that are barriers to education and employment: higher than average deficiencies in basic skills as defined in WIOA section 3, oschool dropout rates, ohomelessness, ofoster care rates, oparenthood, or oneed for additional education, career and technical education or training, or workforce preparation skills to be able to obtain and retain employment that leads to self-sufficiency.

Credentials/Documentation:

Each applicant state whether it is a public community college, historically black college or university, or a tribally controlled college or university. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Refer to FOA

Award Procedure:

Refer to FOA

Deadlines:

April 08, 2019 - June 15, 2019.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to the FOA. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees must submit a quarterly progress report within 45 days after the end of each calendar year quarter. The report must include quarterly information on grant activities, performance goals, and milestones. The last quarterly progress report will serve as the grants Final Performance Report. This report must provide both quarterly and cumulative information on the grant activities. It must summarize project activities, employment outcomes and other deliverables, and related results of the project, and must thoroughly document the training or labor market information approaches that you used. Submission requirements will be provided to grantees upon award. Grantees will be provided guidance about the data and other information that is required to be collected and reported on either a regular basis or special request basis. Grantees are to report of the required six WIOA measures, as well as specific measures established by Job Corps related to performance. Grantees must submit a quarterly progress report within 45 days after the end of each calendar year quarter. The report must include quarterly information on grant activities, performance goals, and milestones. The last quarterly progress report will serve as the grants Final Performance Report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:**Account Identification:**

16-0181-0-1-504.

Obligations:

Project Grants (Discretionary) FY 20 \$31,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

Awarded \$30.7 million to 26 public colleges and universities.

TAFS Codes:

16-0181.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Grantees include colleges and universities, including HBCUs that will provide services to 2,080 Job Corps eligible youth. To date, the program has served over 400 participants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michelle Mills,
200 Constitution Ave, NW,
Washington DC 20210
Email: mills.michelle.v@dol.gov
Phone: 202-693-3998

Fax:

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.302 Longshore and Harbor Workers' Compensation

Longshore,

FEDERAL AGENCY:

OFFICE OF WORKERS COMPENSATION PROGRAM, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Longshore and Harbor Workers' Compensation Act as extended, 43 U.S.C. 1331; Longshore and Harbor Workers' Compensation Act as extended, Public Law 92-576, 5 U.S.C. 8171., , Public Law 92-576, 5 USC Code 8171. Longshore and Harbor Workers' Compensation Act as extended, , 43 USC Code 1331.

OBJECTIVES:

To provide compensation for disability or death resulting from injury, including occupational disease, to eligible private employees.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

This program provides income replacement and supplement; schedule awards for the loss of sight or hearing, dismemberment, disfigurement etc., medical expenses (including hospital care); and funeral expenses up to \$3,000. Benefits are typically paid by private insurance carriers or self-insured employers.

Applicant Eligibility:

Individual/Family; Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of Nonappropriated Fund Instrumentalities, employees of private employers engaged in work outside the United States under contracts with the United States Government, and others as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Beneficiary Eligibility:

Individual/Family; Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of nonappropriated fund instrumentalities, employees of private employers engaged in work outside of the United States under contracts with the United States Government, and other as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Credentials/Documentation:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of Nonappropriated Fund Instrumentalities, employees of private employers engaged in work outside the United States under contracts with the United States Government, and others as specified, including survivors of the

above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Necessary documentation is developed when claim is filed by employee/employer or insurance carrier. The claimant may be required to submit to physical examination. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Employee or his or her survivor files written claim for compensation (Form LS-203 or LS-262) to the Office of Workers' Compensation Programs (OWCP). Employer may pay benefits voluntarily.

Award Procedure:

Authority to informally oversee the adjudication of claims under this program rests within the three compensation districts. Claims unresolved on voluntary basis are referred to the Office of Administrative Law Judges for formal hearing under the Administrative Procedure Act. Claim must be filed within one year after (a) the injury or death (two years if injury is an occupational disease which does not immediately result in death or disability), or (b) the last compensation payment. Time begins to run when prudent person should have been aware of relationship between injury or death and employment. For hearing loss claims, time does not begin to run until an audiogram together with a report showing a hearing loss, is given claimant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Insurance carrier or self-insured employer must pay compensation after 14 days of having knowledge of an injury causing disability or death, or controverted claim.

Appeals:

Other. Application for modification of awards must be filed within one year after (a) the last compensation payment, or (b) rejection of a claim by an Administrative Law Judge or District Director Office of Workers' Compensation Programs. Appeal from Administrative Law Judge's decision must be filed within 30 days; Appeals from Benefits Review Board decision must be filed within 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Injury: The period of total or partial disability, with no monetary limit. Death: Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined in the Act. Employers or their insurance carriers are required to begin compensation within 14 days of (a) knowledge of the injury or death or (b) injured employee's report of loss of wages. Payments are generally disbursed on a bi-weekly basis. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

16-1521-0-1-601.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Disability - 66-2/3 percent of average weekly wage; death benefits 50 percent average wages of deceased to such widow or widower, plus 16-2/3 percent for one or more surviving children with 66-2/3 percent limit. Average benefit unknown. Weekly compensation payments limited to between 50-200 percent of national average weekly wage.

TAFS Codes:

15-8130.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the Longshore program issued 84% of First Payments of Compensation for non-Defense Base Act cases within 28 days.

REGULATIONS, GUIDELINES, AND LITERATURE:

Longshore and Harbor Workers' Compensation Act, as extended. Copies may be obtained from the Office of Workers' Compensation Programs, Division of Longshore and Harbor Workers' Compensation, 200 Constitution Ave. NW, Suite S-3229, Washington, DC 20210.

Regional or Local Office:

None. None. Persons are encouraged to communicate with the Office of Workers' Compensation Programs (OWCP), Division of Federal Employees' Longshore and Harbor Worker's Compensation (DFELHWC). Contact information is available at the following website: <https://www.dol.gov/agencies/owcp/dlhwc/lscntac>

Headquarters Office:

Director Antonio Rios,
Office of Workers' Compensation Programs, Division of Federal Employees'
Longshore and Harbor Workers' Compensation, 200 Constitution Avenue NW,
Washington DC 20210
Email: DLHWC-PUBLIC@dol.gov
Phone: 202-513-6809

Website Address:

<https://www.dol.gov/agencies/owcp/dlhwc>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.307 Coal Mine Workers' Compensation

Black Lung,

FEDERAL AGENCY:

OFFICE OF WORKERS COMPENSATION PROGRAM, LABOR,
DEPARTMENT OF
AUTHORIZATION:

Black Lung Benefits Act, as amended, , 30 USC Code 901 et seq..

OBJECTIVES:

To provide benefits to coal miners who have become totally disabled due to coal workers' pneumoconiosis (CWP), and to widows and other surviving dependents of miners who have died of this disease.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to coal miners disabled from coal workers' pneumoconiosis and to widows and other survivors of miners who have died of this disease. There are no restrictions on the use of these benefits by a beneficiary. Medical payments for treatment related to coal workers' pneumoconiosis are

available to miners only after January 1, 1974.

Applicant Eligibility:

Individual/Family; The miner (including some workers involved in coal transportation in and around mines and coal mine construction workers) must have worked in the Nation's coal mines or a coal preparation facility and become "totally disabled" (as defined in the Act) from pneumoconiosis. The applicant may be able to work in areas other than coal mines and still be eligible for benefits. Benefits to miner shall be reduced on account of excess earnings as determined under sections 203(b) through (1) of the Social Security Act for claims filed on or after January 1, 1982. Widows and other surviving dependents of coal miners whose death resulted from coal workers' pneumoconiosis are also eligible for benefits with earnings offsets applicable to certain classes of dependents. Applicants can reside anywhere in the world at the time they apply.

Beneficiary Eligibility:

Individual/Family; Disabled coal miners, widows, and other surviving dependents of the deceased.

Credentials/Documentation:

The miner (including some workers involved in coal transportation in and around mines and coal mine construction workers) must have worked in the Nation's coal mines or a coal preparation facility and become "totally disabled" (as defined in the Act) from pneumoconiosis. The applicant may be able to work in areas other than coal mines and still be eligible for benefits. Benefits to the miner shall be reduced on account of excess earnings as determined under sections 203(b) through (1) of the Social Security Act for claims filed on or after January 1, 1982. Widows and other surviving dependents of coal miners whose death resulted from coal workers' pneumoconiosis or where the miner had been found to be qualified for Black Lung Benefits are also eligible for benefits with earnings offsets applicable to certain classes of dependents. Applicants can reside anywhere in the world at the time they apply. Medical tests, at Department of Labor expense, which include an x-ray, pulmonary function study, physical examination, and blood gas tests. Proof of death, relationship and dependency required for widows and other survivors applying. Identification of a responsible mine operator is made as specified in regulations published in the Code of Federal Regulations (20 CFR 725). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants are required to state on the claim form whether a claim for disability benefits due to the same condition has been filed under any State or other Federal workers' compensation law. Benefits received as a result of such a claim could be offset against Federal benefits.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Telephone, write-in, or visit the local Division of Coal Mine Workers' Compensation district or local office listed in Appendix IV of the Catalog.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. An average of 11 months for issuance of proposed decisions and orders depending on availability of supporting evidence. A miner must file a claim for benefits within three years after a medical determination of total disability due to pneumoconiosis or within three years after the date of enactment of Public Law 95-239, whichever comes later, (Black Lung Benefits Reform Act of 1977). There are no time limitations for dependent survivors to file claims.

Appeals:

Other. Notify Office of Workers' Compensation Programs (OWCP). The appeal process includes de novo formal hearings before the Office of Administrative Law Judges, review by the Benefits Review Board, Federal Courts of Appeal, and U.S. Supreme Court.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of total disability with no monetary limit. Death: Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined by the Act unless under a disability as defined in Section 223(d) of the Social Security Act, 42 U.S.C. 423(d). Employers or their insurance carriers are required to begin compensation within 30 days of the initial determination of eligibility. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Any change in an eligibility requirement or in a factor affecting the amount of benefits, such as, State workers' compensation awards, or change in status of a dependent, must be reported to the Office of Workers' Compensation Programs when it occurs.

Account Identification:

20-8144-0-7-601.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$34,677,000.00; FY 21 est \$40,687,000.00; FY 22 est \$32,970,000.00;

Range and Average of Financial Assistance:

The 2021 Black Lung benefit rates are as follows: PART B MONTHLY BENEFITS RATES (claims approved by the Social Security Administration - payments received around the 3rd of each month): Primary beneficiary: \$693.00, Primary beneficiary and one dependent: \$1,040.00, Primary beneficiary and two dependents: \$1,213.00, Primary beneficiary and three or more dependents: \$1,387.00. PART C BLACK LUNG MONTHLY BENEFIT RATES (claims approved by the Department of Labor - payments received around the 15th of each month): Primary beneficiary: \$693.60, Primary beneficiary and one dependent: \$1,040.40, Primary beneficiary and two dependents: \$1,213.80, Primary beneficiary and three or more dependents: \$1,387.20. Benefits rates are set accordance with Section 412(a)(1) of the Federal Coal Mine Health and Safety Act, which specifies that the rate for an individual Black Lung beneficiary is 37.5% of the base salary of a Federal employee at level GS-2, Step 1.

TAFS Codes:

15-8144.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Black Lung program successfully reduced the percentage of claims pending for more than 365 days from 20% to 12% over the prior two years.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 410.401 et seq., 718, 722, 725, and 726. "Black Lung Benefits," Fact Sheet No. ESA 91-14.

Regional or Local Office:

None. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Coal Mine Workers' Compensation (DCMWC). Contact information is available at the following website: <https://www.dol.gov/agencies/owcp/dcmwc/districtoffices>

Headquarters Office:

Director Michael Chance,
Office of Workers' Compensation Programs, Division of Coal Mine Workers' Compensation, 200 Constitution Avenue NW,
Washington DC 20210
Email: DCMWC-PUBLIC@dol.gov
Phone: (202) 693-0046.

Website Address:

<https://www.dol.gov/agencies/owcp/dcmwc>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.309 Labor Organization Reports

Labor-Management Reporting and Disclosure Act (LMRDA),

FEDERAL AGENCY:

OFFICE OF LABOR-MANAGEMENT STANDARDS, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Labor-Management Reporting and Disclosure Act of 1959, , Public Law 86-257

OBJECTIVES:

To provide for the reporting and disclosure of financial transactions and administrative practices of labor organizations, employers, labor consultants and others required to report under the Labor-Management Reporting and Disclosure Act (LMRDA); to provide standards for the election of union officers, trusteeships, fiduciary responsibilities of union officers, and rights of union members; and to provide investigative authority to the Secretary of Labor.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Dissemination of Technical Information, Investigation of Complaints, Salaries and Expenses

USES AND USE RESTRICTIONS:

Provides union members the authority to bring civil action in the district courts of the United States to enforce the rights and protections granted them under the Bill of Rights of Members of Labor Organizations; enables union members and employees whose rights are affected by a collective bargaining contract, to obtain or examine a copy of the agreement; enables union members to secure information on the organization, administrative practices, and financial transactions of their unions and to prevent abuses in these areas and in the administration of trusteeships imposed upon labor organizations; assures union members free and democratic officer elections through enforcement of standards and procedures with respect to such elections, and safeguards assets of labor organizations by imposing upon union officials fiduciary responsibility, enforceable in the courts, and by requiring the bonding of persons who handle union funds.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Officers of unions may obtain assistance in preparing reports or otherwise complying with the Act. Union members or union organizations may request assistance in investigating alleged violations. All reports required to be filed are available for inspection and disclosure to the general public.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Union officers, union members, union organizations. All reports required to be filed are available for inspection and disclosure to the general public.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Write or call the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Union members may file an election complaint with the Department of Labor within one calendar month after having exhausted internal union remedies or within one calendar month after having invoked internal union remedies without obtaining a final decision within three calendar months after invoking them.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

16-0150-0-1-505.

Obligations:

Salaries and Expenses FY 20 \$49,134,000.00; FY 21 est \$44,437,000.00; FY 22 est \$51,554,000.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

16-1635.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, OLMS projects conducting 187 union audits, 201 criminal investigations, and 107 union officers elections. Also in FY 2018, OLMS projects supervising 24 union officers election reruns and conducting 12,000 participant hours of union compliance assistance to administer and enforce the LMRDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR 401-453, 29 CFR 457-459. The Labor-Management Reporting and Disclosure Act of 1959, as amended, Rights and Responsibilities under the LMRDA; Reports Required under the LMRDA (Spanish edition also available); Bonding Requirements under LMRDA; Trusteeship Requirements under the LMRDA, Electing Union Officers (Spanish edition also available); LMRDA Regulations and Interpretative Bulletins: Election of Officers of Labor Organizations, 29 CFR 452; Standards of Conduct Regulations, 29 CFR 457-459. The above literature is available free of charge in limited quantities from the Headquarters Office listed below or field offices in Appendix IV of the Catalog. Conducting Local Union Officer Elections - a guide for election officials is available for sale by the U.S. Government Printing Office.

Regional or Local Office:

None. Persons desiring assistance should contact the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Headquarters Office:

Director of Field Operations, Brian Pifer,
Department of Labor Director of Field Operations, OLMSRoom N-5119200
Constitution Avenue NW,

Washington DC 20011

Email: pifer.brian@dol.gov

Phone: 2026931204

Fax: 2026931343

Website Address:

<http://www.dol.gov/olms>

RELATED PROGRAMS:

17.150 Employee Benefits Security Administration;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.310 Energy Employees Occupational Illness Compensation

Energy Workers,

FEDERAL AGENCY:

OFFICE OF WORKERS COMPENSATION PROGRAM, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Energy Employees Occupational Illness Compensation Program Act of 2000, as amended, , 42 USC Code 7384 et seq.

OBJECTIVES:

Part B provides lump-sum monetary payments and medical benefits to covered employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies and certain of its vendors, contractors and subcontractors. Part B also provides lump-sum payments and medical benefits to individuals found eligible by the Department of Justice (DOJ) under section 5 of the Radiation Exposure Compensation Act (RECA) and, where applicable, to their survivors. Part E of the Act provides variable lump-sum payments (based on a worker's permanent impairment and/or calendar years of qualifying wage-loss) and medical benefits for covered DOE contractor employees and, where applicable, provides variable lump-sum payments to survivors of such employees (based on a worker's death due to a covered illness and percentage of wages lost in a given year. Part E also provides these same payments and benefits to uranium miners, millers and ore transporters covered by section 5 of RECA and, where applicable, to survivors of such employees.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

This program provides lump-sum monetary payments to employees and former employees (or their eligible survivors) as compensation for occupational illnesses under Part B, and covered illnesses under Part E; medical expenses (including hospital care). Benefits are paid from a mandatory appropriation of Federal funds.

Applicant Eligibility:

Individual/Family; Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Beneficiary Eligibility:

Individual/Family; Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by Section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Credentials/Documentation:

Necessary documentation is developed when claim is filed with the program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Employee or his or her survivor(s) files written claim for compensation (Form EE-1 or EE-2) with the local district office of the Office of Workers' Compensation Programs (OWCP), or with a Resource Center.

Award Procedure:

Authority to issue recommended decisions under this program rests with OWCP district offices. Authority to issue final decisions rests with the Final Adjudication Branch.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

From 120 to 180 days. Request for review of or hearing on a recommended decision must be made within 60 days. Request for reconsideration of a final agency decision must be filed within 30 days of issuance of the final decision. Request to reopen claim after issuance of a final decision may be made at any time, if supported by material evidence.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Benefits are not length-based. Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

16-1523-0-1-053.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$1,708,205,000.00; FY 21 est \$1,842,780,000.00; FY 22 est \$1,905,678,000.00;

Range and Average of Financial Assistance:

Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses.

TAFS Codes:

15-1523.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The average number of days between filing date and final decision for cases not sent to National Institute for Occupational Safety and Health when a hearing was not held was reduced from 167 days in FY 2016 to 161 days in FY 2020, exceeding the FY 2020 target of 170 days.

REGULATIONS, GUIDELINES, AND LITERATURE:

Claims for Compensation Under the Energy Employees Occupational Illness Compensation Program Act, 20 CFR Part 30, are available on the Internet at <http://www.dol.gov>, or from OWCP.

Regional or Local Office:

None. None. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Energy Employees Occupational Illness Compensation (DEEOIC). Contact information is available at the following website:

https://www.dol.gov/agencies/owcp/energy/regs/compliance/contact_deeoic

Headquarters Office:

Director Rachel Pond,
Office of Workers' Compensation Programs, Division of Energy Employees Occupational Illness Compensation, 200 Constitution Avenue NW,
Washington DC 20210
Email: DEEOIC-PUBLIC@dol.gov
Phone: (202) 693-0081.

Website Address:

<https://www.dol.gov/agencies/owcp/energy>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.401 International Labor Programs

FEDERAL AGENCY:

Bureau of International Labor Affairs, LABOR, DEPARTMENT OF

AUTHORIZATION:

Consolidated and Further Continuing Appropriations Act, 2015, , Public Law 113-235, Consolidated Appropriations Act, 2014, , Public Law 113-76, Consolidated Appropriations Act, 2016, , Public Law 114-113, Consolidated Appropriations Act, 2017, , Public Law 115-31

OBJECTIVES:

The Bureau of International Labor Affairs (ILAB) promotes a fair global playing field for workers and businesses in the United States by enforcing trade commitments; strengthening labor standards; and combating international child labor, forced labor, and human trafficking. ILAB combines trade and labor monitoring and enforcement, policy engagement, research, and technical cooperation to carry out the international responsibilities of the Department of Labor.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

That funds available to the Bureau of International Labor Affairs may be used to administer or operate international labor activities, bilateral and multilateral technical assistance, and microfinance programs, by or through contracts, grants, subgrants and other arrangements, including programs to combat exploitative child labor internationally and model programs that address worker rights issues through technical assistance in countries with which the United States has free trade agreements or trade preference programs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; See www.grants.gov.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers;

ASSISTANCE LISTINGS DESCRIPTIONS

Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; Refugee/Alien; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; See www.grants.gov.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of federal staff and peer reviewers evaluates eligible submitted applications. The panel prepares a report for the Grant Officer identifying the strengths and weaknesses of each application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors as cited in the funding opportunity announcement. Once selections are made by the Grant Officer, an Award Notification is sent to awardees. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. See www.grants.gov.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects generally run from 3 to 4 years. Method of awarding/releasing assistance: other

Reports:

See www.grants.gov. See www.grants.gov. See www.grants.gov. See www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not Data Available.

Account Identification:

16-0165-0-1-505.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 16 Not Available; FY 17 Not Available; and FY 18 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

12-0165.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Bruce Yoon,
200 Constitution Ave. NW,
Washington DC 20210
Email: yoobruce@dol.gov
Phone: 202-693-4876

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov.

17.502 Occupational Safety and Health Susan Harwood Training Grants

Susan Harwood Training Grants,

FEDERAL AGENCY:

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

Occupational Safety and Health Act, , Public Law 91-596, 29 USC Code 670(c).

OBJECTIVES:

To provide occupational safety and health training and education to employees and employers, particularly in the recognition, avoidance and abatement of workplace hazards.

TYPES OF ASSISTANCE:

Project Grants, Training

USES AND USE RESTRICTIONS:

Grants to nonprofit organizations to provide training or other educational services to employees and/or employers in priority areas designated by OSHA.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Non-profit organizations including qualifying labor unions, community-based and faith-based organizations, employer associations that are not an agency of a state or local government, state or local government-supported institutions of higher education, Indian tribes, tribal organizations, Alaska Native entities, Indian-controlled organizations serving Indians, and Native Hawaiian organizations may apply.

Beneficiary Eligibility:

Individuals employed in workplaces that receive training and/or educational services under grants

Credentials/Documentation:

Criteria are contained in the Federal Register Notice and funding opportunity announcement applications. They include program, administration and budget categories. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application instructions are distributed by Occupational Safety and Health Administration, Directorate of Training and Education. Completed applications are returned to the Directorate of Training and Education. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Final award decisions are made by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Grants are awarded for a minimum of 12 months.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a minimum of 12 months. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required quarterly. Federal Financial Reports are required to be completed quarterly. Performance monitoring is conducted by the Regional Offices on a quarterly and annual basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

Training FY 20 \$11,537,000.00; FY 21 est \$21,787,000.00; FY 22 est \$13,787,000.00;

Range and Average of Financial Assistance:

Fiscal Year 2020 grants ranged from \$50,000 to \$180,000.

TAFS Codes:

16-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 80 training and education grants were awarded. Fiscal Year 2021: Estimated 160 training and education grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB 2 CFR 200 and DOL exceptions 2 CFR 2900.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

DOL OSHA CFDA Rep Donna Robertson,
200 Constitution Ave NW,
Washington DC 20210
Email: Robertson.Donna@dol.gov
Phone: 847-759-7769
Fax: 202-693-1696

Website Address:

<https://www.osha.gov/harwoodgrants>

RELATED PROGRAMS:

17.503 Occupational Safety and Health_State Program; 17.504 Consultation Agreements; 17.602 Mine Health and Safety Education and Training;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice and funding opportunity announcement applications. They include program, administration and budget categories.

17.503 Occupational Safety and Health State Program

State Plan Grant Awards,

FEDERAL AGENCY:

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION, LABOR, DEPARTMENT OF

AUTHORIZATION:

Occupational Safety and Health Act, Section 23(g), , Public Law 91-596, 29 USC Code 60(c).

OBJECTIVES:

To fund federally approved comprehensive State occupational safety and health programs that are "at least as effective" as the Federal program.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Grants to States to administer and enforce State programs for occupational safety and health, limited to States having federally approved plans.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Designated State agencies which have federally approved occupational safety and health plans.

Beneficiary Eligibility:

State; Any employer, worker or their representative from a business engaged in interstate commerce except those under jurisdiction of other Federal agencies.

Credentials/Documentation:

State agency responsible for occupational safety and health must show that its program is "at least as effective" as the Federal occupational safety and health program. This is accomplished by providing standards covering occupational safety and health issues, an enforcement program for those standards, and adequate legal authority and resources to operate the program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application instructions

ASSISTANCE LISTINGS DESCRIPTIONS

are distributed by the Occupational Safety and Health Administration to the approved State Plan States through OSHA's Directives System. Completed applications are returned to the National Office.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Grants to States for administering their own safety and health programs that can be renewed indefinitely, provided they continue to meet the "at least as effective" requirements. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. The Federal share may not exceed 50 percent of the total cost to the State. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

State program grants are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required quarterly and annually. Federal Financial Reports are required quarterly. Performance monitoring is conducted by the Regional Offices on a quarterly and annual basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

Formula Grants FY 20 \$108,575,000.00; FY 21 est \$126,075,000.00; FY 22 est \$117,574,999.00;

Range and Average of Financial Assistance:

Fiscal year 2021 grants ranged from \$275,000 to \$35,769,000. These awards represent approximately 50 percent of the total program cost.

TAFS Codes:

16-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: State inspections are 32,062. Fiscal Year 2021: State inspections are estimated at 32,498 Fiscal Year 2022: State inspections are estimated at 20,139

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 1902, 1903, 1904, 1905, 1908, 1952, 1953, 1954, 1955, 1956, 2200; and 2 CFR 200 and 2 CFR 2900.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

DOL OSHA CFDA Rep Aleksandr Krivitskiy,
200 Constitution Avenue, NWN-3419,

Washington DC 20210

Email: krivitskiy.aleks@dol.gov

Phone: 202-693-2423

Fax: 202-693-1696

Website Address:

<https://www.osha.gov/stateplans>

RELATED PROGRAMS:

17.601 Mine Health and Safety Counseling and Technical Assistance; 17.600 Mine Health and Safety Grants; 17.504 Consultation Agreements; 93.262 Occupational Safety and Health Program; 17.602 Mine Health and Safety Education and Training; 17.502 Occupational Safety and Health_Susan Harwood Training Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants may be awarded only to a State agency, designated by the Governor of a State, which has had its State Plan approved by the Assistant Secretary and which has submitted an application for a grant.

17.504 Consultation Agreements

Consultation Grant Program,

FEDERAL AGENCY:

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION, LABOR,
DEPARTMENT OF
AUTHORIZATION:

Occupational Safety and Health Act, Section 21(d), Public Law 105-197, 29 USC Code 651.

OBJECTIVES:

To fund consultative workplace safety and health services, targeting smaller employers with more hazardous operations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cooperative Agreements to States to provide occupational safety and health consultative services to employers.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Designated State agencies which have been authorized by the Governor to enter into a Cooperative Agreement with full power to perform the obligations funded therein and to expend Federal funds as well as State funds as required.

Beneficiary Eligibility:

State; Any private employer operating within a State, with priority given to smaller employers with the more hazardous operations.

Credentials/Documentation:

State agency responsible for providing consultative services to employers must meet the minimum requirements of the consultation program set forth in 29 CFR 1908. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application instructions are distributed to the States by the Occupational Safety and Health Administration (OSHA) to the designated State agencies through OSHA's Directives System. Completed applications are returned to OSHA's National Office.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Funding to States for administering their consultation program can be renewed indefinitely, provided that State consultation projects continue to meet the requirements of the program. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. The Federal share may not exceed 90 percent of the total cost to the recipient. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Consultation Cooperative Agreements are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports are required annually. Federal Financial Reports are required and submitted on a quarterly basis. Performance Monitoring is conducted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$61,500,000.00; FY 21 est \$61,500,000.00; FY 22 est \$63,500,000.00;

Range and Average of Financial Assistance:

Fiscal year 2020 grants ranged from \$246,000 to \$5,660,000. These awards represent approximately 90 percent of total program costs.

TAFS Codes:

16-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: State Consultation visits are 17,663. Fiscal Year 2021: State Consultation visits are estimated at 17,443 Fiscal Year 2022: State Consultation visits are estimated at 20,139

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Parts 200, and 2900; and 29 CFR Part 1908.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for regional offices of the Occupational Safety and Health Administration.

Headquarters Office:

DOL OSHA CFDA Rep Aleksandr Krivitskiy,
200 Constitution Avenue, NW, N-3419,
Washington DC 20210
Email: krivitskiy.aleks@dol.gov
Phone: 202-693-2423
Fax: 202-693-1696

Website Address:

<https://www.osha.gov/consultation>

RELATED PROGRAMS:

17.601 Mine Health and Safety Counseling and Technical Assistance; 17.505 OSHA Data Initiative; 17.600 Mine Health and Safety Grants; 93.262 Occupational Safety and Health Program; 17.602 Mine Health and Safety Education and Training; 17.503 Occupational Safety and Health_State Program; 17.502 Occupational Safety and Health_Susan Harwood Training Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Agreements may be awarded only to a State agency, designated by the Governor of a State, which has submitted an application for funding.

17.600 Mine Health and Safety Grants

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, LABOR, DEPARTMENT OF

AUTHORIZATION:

Section 503 of the Federal Mine Safety and Health Amendments Act of 1977, 30 U.S.C. 953, , Public Law 095-164

OBJECTIVES:

To assist States in providing safety and health training and develop programs to improve mine health and safety conditions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Provides grants (1) To assist States in developing and enforcing effective coal or other mine health and safety laws and regulations consistent with the provisions of Section 503 of the Federal Mine Safety and Health Act of 1977; (2) to improve State workers' compensation and occupational disease laws and programs related to coal or other mine employment; and (3) to provide Federal- State coordination and cooperation in improving the health and safety conditions in coal or other mines.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Any mining State of the United States.

Beneficiary Eligibility:

State; States.

Credentials/Documentation:

In addition to items as stated in Solicitation for Grant Application (SGA) from Mine Safety and Health Administration (MSHA), State mine inspection or safety agency has or will employ an adequate and competent staff of qualified trainers to provide health and safety training for miners and trained inspectors qualified under the laws of the State to make mine inspections within the State. 2 CFR Part 225 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application will be submitted through the www.grants.gov website

Award Procedure:

Notification will be made by the Office responsible for monitoring performance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 3 months.

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

From 15 to 30 days. Within 30 days from the final decision date, an appeal may be filed with the U.S. Court of Appeals for the District of Columbia.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 30 U.S.C. Section 953 (h)..Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. A maximum of 80 percent of the amount expended by any mining State for a fiscal year is paid from Federal funds and at least 20 percent are paid by the Applicant State.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of assistance is on a fiscal year basis or a portion thereof. Time phasing of assistance is on a cost reimbursable basis, normally quarterly. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reports, as required by MSHA, shall be furnished to the Assistant Secretary of Labor for Mine Safety and Health stating work accomplished, benefits derived, project status. Expenditure reports must show quarterly obligations listing description of expenditures and amounts.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Unknown

Records:

Records relating to each grant shall be retained and made available until the expiration of 3 years after the filing of the grantee's final expenditure report for the period.

Account Identification:

16-1200-0-1-554.

Obligations:

Project Grants FY 18 \$10,537,000.00; FY 19 est \$10,537,000.00; FY 20 est \$10,537,000.00;

Range and Average of Financial Assistance:

From \$31,493 to \$689,756. Average: \$233,912.

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Part 97.

Regional or Local Office:

None.

Headquarters Office:

Kevin Burns,
201 12th Street South,
Arlington VA 22202
Email: burns.kevin@dol.gov
Phone: (202) 693-9594

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

17.601 Mine Health and Safety Counseling and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Responses related to the following proposal criteria enable the applicant to show

that the proposed grant is consistent with the purposes of the Act: (a) Programs, policies, and methods to be followed in administering the grant, (b) designation of the State mining agency as the sole agency with authority and responsibility for administering the grant throughout the State, (c) assurances that such agency has an adequate and competent staff of trained and qualified inspectors, (d) use of the grant will extend and improve mine health and safety in the State while providing for no advance notice of an inspection, (e) assurances that grant will supplement not supplant existing State mine health and safety programs, (f) period over which program will be pursued, (g) financial plan, (h) fiscal control and accounting procedures, (i) name and title of person who will direct the program, (j) approximate number of qualified personnel who will work on the program, (k) location or locations where program will be pursued, (l) assurance that matching funds from nonfederal sources will be forthcoming, (m) indication of whether the programs or any part have been or will be submitted to other organizations for the purpose of obtaining a grant, and (n) agreement that the official State mine agency shall make required reports.

17.601 Mine Health and Safety Counseling and Technical Assistance

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, LABOR, DEPARTMENT OF

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, , Public Law 095-164

OBJECTIVES:

To improve conditions of health and safety in and around coal, metal and nonmetallic mines and mineral processing facilities through technical advice, special studies, investigations, and development of mine health and safety programs.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Dissemination of Technical Information, Salaries and Expenses

USES AND USE RESTRICTIONS:

Assistance can be provided to establish or improve mine health and safety programs.

Applicant Eligibility:

Anyone/general public; Applicants should be authorized by their individual organization to request assistance, and should work cooperatively with mine management to facilitate communications with MSHA representatives.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; State; Public nonprofit institution/organization; Other public institution/organization; Representatives of state and local government agencies, professional and labor organizations, and mine operators.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for assistance or information may be submitted in the form of a letter to the Mine Safety and Health Administration.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

Salaries and Expenses FY 18 \$248,573,000.00; FY 19 est \$29,818,000.00; FY 20 est \$29,818,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 30, Mineral Resources (Code of Federal Regulations); Federal Mine Safety and Health Act of 1977; 2006 Mine Improvement and New Emergency Response (MINER) Act; Bureau of Mines/NIOSH Information Circulars; Bureau of Mines/NIOSH Reports of Investigations; MSHA Informational Reports.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a list of the addresses of Mine Safety and Health Administration Field Offices. Information on health and safety programs, inspections, and enforcement should be sought from Coal Mine Safety and Health or Metal and Nonmetal Mine Safety and Health District Offices listed in Appendix IV of the Catalog, or the Headquarters Office. Technical information can be sought from the same sources or from the Technical Support Centers.

Headquarters Office:

William Francart Office of the Director, Technical Support,
201 12th Street South 4W210,
Arlington VA 22202

Email: francart.william@dol.gov

Phone: (202) 693-9470

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

17.603 Brookwood-Sago Grant; 17.600 Mine Health and Safety Grants; 17.602 Mine Health and Safety Education and Training;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.602 Mine Health and Safety Education and

Training

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, LABOR, DEPARTMENT OF

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, , Public Law 095-164

OBJECTIVES:

To provide technical training for Federal Mine Inspectors and representatives of the mining industry.

TYPES OF ASSISTANCE:

Salaries and Expenses, Training

USES AND USE RESTRICTIONS:

Provide initial and advanced mine safety and health training for Federal Mine Inspectors. This technical training is available to mine operators, miners, states, and labor organizations. Assistance is also provided in the form of written and audio visual training materials. These materials deal with mining safety and health technical materials.

Applicant Eligibility:

Anyone/general public; Any mine operator, miner or their agent can request training or training materials.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; Mine operators, miners or their agent, organizations and individuals.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may make inquiries by telephone or written request.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Salaries and Expenses FY 17 \$16,869,000.00; FY 18 est \$16,011,000.00; FY 19 est \$16,011,000.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for listing of Mine Safety and Health Administration Offices.

Headquarters Office:

Kevin Burns,
201 12th Street South,
Arlington VA 22202
Email: burns.kevin@dol.gov
Phone: (202) 693-9594

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

17.603 Brookwood-Sago Grant; 17.600 Mine Health and Safety Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.603 Brookwood-Sago Grant

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, LABOR, DEPARTMENT OF

AUTHORIZATION:

Brookwood-Sago Mine Safety Grants, , 30 USC Code 965. Brookwood-Sago Mine Safety Grants, 30 U.S.C 965; Mine Improvement and New Emergency Response Act of 2006 (MINER Act), Public Law 109-236. Section 14, , Public Law 109-236

OBJECTIVES:

To provide mine safety and health training and education programs for workers and mine operators, to better identify, avoid, and prevent unsafe working conditions in and around mines. To establish and implement education and training programs, or to develop training materials for employers and miners, concerning safety and health topics in mines, as determined appropriate by Mine Safety and Health Administration (MSHA).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Provides grants to states, public, and private nonprofit organizations to provide training and other educational programs or develop training materials for employers and miners concerning safety and health topics in priority areas designated by MSHA.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Any mining State of the United States, nonprofit public and private organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; State; Public nonprofit institution/organization; Mine operators and any organization employing miners or creating training materials.

Credentials/Documentation:

Any credential or documentation requirements are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. None

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures are detailed in each solicitation for grant applications. See the Federal Register for each solicitation for grant applications.

Award Procedure:

Competitive grant applications, submitted in response to a solicitation for grant application, will be reviewed by a panel for consistency with the solicitation for grant application requirements, and are subject to availability of funds and performance achievement. Funding decisions are at the discretion of the Assistant Secretary.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Timeframes are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications.

Appeals:

Not Applicable. None.

Renewals:

Other. Renewal and option year availability is detailed in each solicitation for grant applications. All awards are based on single-year budget authority. Renewal and option year funding, when provided, is subject to availability of funds and performance achievement. See the Federal Register for solicitation for grant applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for grant applications. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Program Reports. Quarterly Program Reports. No cash reports are required. Grantees are required by Departmental regulations to submit financial and project reports on a quarterly basis (a) Financial Reports. All financial reports are due no later than 30 days after the end of the quarter and shall be submitted to MSHA electronically. Grantees will be contacted with instructions on how to submit reports. (b) Technical Project Reports. After signing the agreement, the grantee shall submit technical project reports to MSHA no later than 30 days after the end of each quarter. Technical project reports provide both quantitative and qualitative information and a narrative assessment of performance for the preceding three-month period. See 29 CFR 95.51 and 29 CFR 97.40. This should include the current grant progress against the overall grant goals as provided in Part IV.B.3. Between reporting dates, the grantee shall immediately inform MSHA of significant developments or problems affecting the organizations ability to accomplish the work. See 29 CFR 95.51(f) and 29 CFR 97.40(d). (c) Final Reports. At the end of each 12-month performance period, each grantee must provide a final financial report, a summary of its technical project reports, and an evaluation report. These final reports are due no later than 90 days after the end of the 12-month performance period. No performance monitoring is required.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

None

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-1200-0-1-554.

Obligations:

Project Grants FY 18 \$250,000.00; FY 19 est \$400,000.00; FY 20 est \$400,000.00;

Range and Average of Financial Assistance:

At a minimum, \$50,000, depending on the project needs as detailed in the solicitation for grant application and availability of funds.

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Mine Safety and Health Act of 1977, Public Law 91-173 as amended by Public Law 95-164.

Regional or Local Office:

None.

Headquarters Office:

Janice Oates,
201 12th Street South,
Arlington VA 22202
Email: oates.janice@dol.gov
Phone: (202) 693-9570

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications.

17.604 Safety and Health Grants

FEDERAL AGENCY:

MINE SAFETY AND HEALTH ADMINISTRATION, LABOR, DEPARTMENT OF

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977 (Mine Act), , Public Law 095-164

OBJECTIVES:

To provide mine safety and health training and education to miners, mine operators, and other individuals who may work at a mine; and to develop training and other programs (to include research and equipment development) to improve health and safety conditions at mines.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants are restricted to the use, services, mine type, or any other priority that

MSHA has designated or is mandated by its legislation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any mining State of the United States, non-profit public and private organizations, commercial entity, or the legislatively mandated entity.

Beneficiary Eligibility:

Individual/Family; State; Individuals employed in workplaces covered by the Mine Act, as amended by Miner Act that receive training and/or educational services and owners and employers covered by the Mine Act receiving the benefits of the health and safety project under these grants.

Credentials/Documentation:

All applicants must produce the required documents for the grant, including any expertise requested. All applicants that are non-profits must show non-profit status. If MSHA issues a Funding Opportunity Announcement (FOA), applicants must produce all items as requested in the FOA. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. None

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application instructions are distributed by MSHA. The standard application forms furnished by the Federal agency and required by the applicable OMB Circular must be used for this program. Completed applications are returned to MSHA as noted in the application.

Award Procedure:

Final award decisions are made by the Assistant Secretary. Notification will be made by the Office responsible for monitoring performance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 5 months.

Appeals:

Other. None.

Renewals:

Other. Primarily, grants are awarded for 12 months and may be renewed for an additional 12 months. In certain circumstances, MSHA may award grants with variable up to a 5-year period of performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for 12 months. Grantees receive funds through the Department of Labor, Mine Safety and Health Administration, Educational Policy and Development. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required quarterly. Fiscal reports are required quarterly.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

None

Records:

Records must be retained for 3 years following grant closeout or final audit,

ASSISTANCE LISTINGS DESCRIPTIONS

whichever is later.

Account Identification:

16-1200-0-1-554.

Obligations:

Cooperative Agreements FY 18 Not Available; FY 19 Not Available; FY 20 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

16-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 31, 32, 33, 35, 36, 93, 94, 95, 96, 97, 98, and 99; OMB Circulars A-21, A-110 and A-122.

Regional or Local Office:

None.

Headquarters Office:

Kevin Burns,
201 12th Street South,
Arlington VA 22202
Email: burns.kevin@dol.gov
Phone: (202) 693-9594

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications, if applicable.

17.700 Women's Bureau

Women's Bureau,

FEDERAL AGENCY:

DEPT OF LABOR WOMENS BUREAU (WB), LABOR, DEPARTMENT OF AUTHORIZATION:

Public Law 66-259; 29 U.S.C. 11-16,

OBJECTIVES:

The Womens Bureau was created by law in 1920 to formulate standards and policies to promote the welfare of wage-earning women, improve their working conditions, increase their efficiency, and advance their opportunities for profitable employment. The Womens Bureau establishes and maintains linkage with national, community and faith-based organizations, business and industry, trade unions, research foundations, academic, and Federal, State, and local government agencies in an effort to develop cooperative projects that address the employment and supportive service needs of women.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Salaries and Expenses

USES AND USE RESTRICTIONS:

Technical information and referrals are provided to interested constituents. This information includes program models and advisory services that increase opportunities for women to plan for their economic and retirement security, identify training and educational programs designed to increase employment and earning opportunities, and support the establishment of programs that encourage a balance between home and work-life through flexible policies and programs. The Women's Bureau does not provide financial assistance to individuals.

Applicant Eligibility:

U.S. Territories and possessions; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Any individual or group located in the United States or its territories may benefit from Women's Bureau projects and/or programs. The Women's Bureau does not provide financial assistance to individuals.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Anyone/general public; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Small Business Person; Consumer; Black; American Indian; Spanish Origin; Asian; Other Non-White; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Moderate Income; Low Income; Any individual or group (especially women or women's organizations) located in the United States or its territories may benefit from Women's Bureau projects and/or programs. The Women's Bureau does not provide financial assistance to individuals.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for information or technical assistance may be made to the appropriate Department of Labor, Women's Bureau regional office listed in Appendix IV of the Catalog, or to the Headquarters Office listed under the Information Contacts section of this program. The Women's Bureau does not provide financial assistance to individuals.

Award Procedure:

Not applicable. The Women's Bureau does not provide financial assistance to individuals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. The Women's Bureau does not provide financial assistance to individuals. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

16-0165-0-1-505.

Obligations:

Salaries and Expenses FY 20 \$17,750,000.00; FY 21 est \$15,050,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Not applicable. The Women's Bureau does not provide financial assistance to individuals.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

12-0165.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Contact the nearest Department of Labor, Women's Bureau regional office in Appendix IV of the Catalog listed under the Office of the Secretary.

Headquarters Office:

Office of Information and Support Services Women's Bureau,
200 Constitution Avenue, NW Room S3002,
Washington DC 20210
Email: Womens.Bureau@dol.gov
Phone: (202) 693-6710

Website Address:

<http://www.dol.gov/wb/>

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.701 Women in Apprenticeship and Nontraditional Occupations (WANTO) Technical Assistance Grant Program

WANTO Grants,

FEDERAL AGENCY:

LABOR, DEPARTMENT OF

AUTHORIZATION:

Public Law 102-530

OBJECTIVES:

This program is intended to award grants to community based organizations to provide technical assistance ("TA") to employers and labor unions to encourage employment of women in apprenticeable and nontraditional occupations ("A/NTO).

TYPES OF ASSISTANCE:

Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

The WANTO technical assistance grant program is intended to award grants to community based organizations to provide technical assistance (TA) to employers and labor unions to encourage employment of women in apprenticeable occupations and nontraditional occupations (A/NTO), specifically by: Developing (establishing, expanding, and/or enhancing) pre-apprenticeship, apprenticeship, or other nontraditional skills training programs designed to prepare women for careers in A/NTO; Providing ongoing orientations or other resources for employers, unions, and workers on creating a successful environment for women in A/NTO; and/or Setting up support groups and facilitating networks for women in A/NTO to improve their retention.

Applicant Eligibility:

Other private institutions/organizations; Community Based Organizations (CBOs)

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

For grants, procedures for each project are specified in the FOA. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Generally, the procedure is as follows: A technical review panel will carefully evaluate applications against the selection criteria. These criteria are based on the policy goals, priorities, and emphases set forth in the Funding Opportunity Announcement (FOA) for grant awards.

Deadlines:

Set forth in the Funding Opportunity Announcement (FOA) for grant awards

Range of Approval/Disapproval Time:

Other. For grants, from 45 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For grants, procedures for each project are specified in the FOA. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly performance reports are required. See FOA for additional information. Quarterly financial status reports are required. See FOA for additional information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Set forth in the Funding Opportunity Announcement (FOA) for grant awards

Account Identification:

16-0165-0-1-504.

Obligations:

Project Grants (for specified projects) FY 18 \$1,403,190.00; FY 19 est \$1,500,000.00; and FY 20 est \$1,500,000.00;

Range and Average of Financial Assistance:

Between (2) and six (6) grants of \$250,000 to \$500,000

TAFS Codes:

16-0165.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Reeba Daniel,
200 Constitution Ave NW,
Washington DC 20210
Email: daniel.reeba@dol.gov
Phone: 202-693-6710
Fax:

Website Address:

<https://www.dol.gov/wb/media/wantogrants.htm>

RELATED PROGRAMS:

17.201 Registered Apprenticeship;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Generally, the procedure is as follows: A technical review panel will carefully evaluate applications against the selection criteria. These criteria are based on the policy goals, priorities, and emphases set forth in the FOA.

17.720 Disability Employment Policy Development

Office of Disability Employment Policy (ODEP),

FEDERAL AGENCY:

OFFICE OF DISABILITY EMPLOYMENT POLICY, LABOR, DEPARTMENT OF

AUTHORIZATION:

WIOA, Workforce Development Activities, Evaluations and Research, Title I, Subtitle D, Section 169, subsection (b)(5), Public Law 113-128, Social Security Act, Cooperative research or demonstration projects, Title XI, Section 1110, Public Law 115-141, Public Law 116-93

OBJECTIVES:

The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor and other Federal agencies. ODEP develops and fosters the adoption and implementation of comprehensive and integrated disability employment policies and strategies throughout the workforce development system, in public and private workplaces, and in employment-related supports programs and services. Implementation of these effective policies and strategies increase employment opportunities, the recruitment of, and reduce barriers to the hiring, retention and promotion of people with disabilities. ODEP's response to the low labor force participation of people with disabilities is comprehensive and aggressive, and includes securing the active involvement of and cooperation among a number of stakeholders, including Federal, State and local government agencies, private and public employers, educational and training institutions, and the disability community.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Uses and use restrictions are detailed in each solicitation for cooperative agreement or grant applications.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Nonprofit and for-profit organizations, State and local government agencies, academic institutions, and other entities are typically eligible. Eligibility

requirements are detailed in each solicitation for cooperative agreements or grant applications .

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Interstate; Native American Organizations; Intrastate; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Beneficiary eligibility is detailed in each solicitation for cooperative agreements or grant applications.

Credentials/Documentation:

Grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, including a project management structure. Applicants should review the Solicitations for Grant Applications for specific requirements 2 CFR 200, Subpart E- Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circulars No A-87, A-122 and A-133 apply to this program. Grants for projects are awarded on competitive basis, announced on the Office of Disability Employment Policy website (www.dol.gov/odep). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are made based on a panel review to ensure adherence to solicitation requirements and Federal principles. Awards are made on approval of the Assistant Secretary of the Office of Disability Employment Policy and the subject to availability of funds and performance achievement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Timeframes are detailed in each solicitation for cooperative agreement or grant applications.

Appeals:

Other. Appeal information and contact procedures for each solicitation are contained and detailed within the solicitation for cooperative agreement or grant application .

Renewals:

Other. Renewal and additional year funding are detailed in each solicitation for cooperative agreement or grant applications. All awards are based on single-year budget authority. Renewal and additional year funding, when provided, is subject to availability of funds and grantee performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for cooperative agreements or grant applications. Method of awarding/releasing assistance: other

Reports:

All cooperative agreements and grants require quarterly programmatic reports and financial reports (SF 425 and SF 272) as well as a final report. Additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications. SF-425 and SF-272

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

ASSISTANCE LISTINGS DESCRIPTIONS

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Quarterly programmatic and financial reporting as well as close-out reporting and documentation must be maintained under the standard Federal record retention requirements. Depending on funding level, an A133 Audit may be required.

Records:

All cooperative agreements and grants are subject to financial compliance site reviews and independent evaluations. Quarterly programmatic and financial reporting as well as close-out reporting and documentation must be maintained under the standard Federal record retention requirements.

Account Identification:

16-0166-0-1-505.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$15,233,895.00; FY 21 est \$72,863,530.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Recent awards for cooperative agreements and grants have ranged from approximately \$1,000,000 to \$20,000,000 depending on the project needs as detailed in the solicitation for cooperative agreement or grant applications. Awards have been to non-profit and for-profit organizations, State and local government agencies, academic institutions, and other entities.

TAFS Codes:

16-0166.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Office of Disability Employment Policy is located at www.dol.gov/odep. Information and resources on disability employment and other disability-related topics can be found at www.Disability.gov, for which ODEP is the managing partner of more than 20 Federal agencies.

Regional or Local Office:

Headquarters Office:

ODEP Office of Disability Employment Policy,
200 Constitution Ave., NW, Room S-1303,
Washington DC 20210
Email: infoodep@dol.gov
Phone: (202) 693-7880 / (202) 693-7881
Fax: (202) 693-7888.

Website Address:

<http://www.dol.gov/odep>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 ODEP funded early stay-at-work and return-to-work demonstration projects which explore strategies and programs that succeed by returning injured workers to productivity as soon as medically possible during their recovery process.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are detailed in each solicitation for cooperative agreement or grant application. Criteria always include the availability of ODEP funds, alignment with ODEP's mission to develop national policy to reduce barriers to employment for people with disabilities, performance achievement, and provision of data to support performance reporting.

17.801 Jobs for Veterans State Grants

Jobs for Veterans State Grants (JVSG),

FEDERAL AGENCY:

VETERANS EMPLOYMENT AND TRAINING SERVICES, LABOR,
DEPARTMENT OF

AUTHORIZATION:

38 USC Code Chapter 41.

OBJECTIVES:

The Jobs for Veterans State Grants (JVSG) program provides federal funding, through a formula grant, to 54 State Workforce Agencies (SWAs) to hire dedicated staff to provide individualized career and training-related services to veterans and eligible persons with significant barriers to employment and to assist employers fill their workforce needs with job-seeking veterans.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds must be used by States only for salaries, expenses and reasonable support of Disabled Veterans' Outreach Program (DVOP) specialists who are assigned only those duties directly related to meeting the employment needs of eligible veterans according to the provisions of 38 U.S.C. 4103A; Local Veterans Employment Representatives (LVER) who are assigned only those duties related to conducting outreach to employers on behalf of veterans and facilitating the employment, training, and placement services furnished to veterans according to the provisions of 38 U.S.C. 4104; and consolidated DVOP/LVER positions who are assigned a mix of DVOP and LVER duties according to the provisions of 38 U.S.C. 4102A(h).

Applicant Eligibility:

U.S. Territories and possessions; State; The state administrative entity designated by each Governor.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Veterans and eligible persons with emphasis on service-connected special disabled veterans, other disabled veterans, economically or educationally disadvantaged veterans, homeless veterans and veterans with other significant barriers to employment.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Funds are released through a formula and state grantees are required to follow current policy to submit an annual work plan and a four-year state plan that demonstrates the use of the funds.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard Form 424M, Application for Federal Assistance, submitted to the Director for Veterans' Employment and Training (DVET) in each state, as listed at

<https://www.dol.gov/agencies/vets/about/regionaloffices>, along with required program and financial narratives and forms in accordance with guidance for multiyear plans, and annual or mid-year (interim) funding modification requests.

Award Procedure:

Fiscal Year based formula level funds requested are approved annually by a Department of Labor, Employment and Training Administration's Grant Officer based upon reviews and recommendations by VETS Regional Administrators and Directors for Veterans' Employment and Training (RAVETs and DVETs).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Ninety days following the submission of an application pending the availability of a fiscal year appropriation.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory Formula: Title 20, Chapter IX, Part 1001, Subpart F, Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Usually awarded for a fiscal year (October through September). States may obligate fiscal year funds to provide assistance for up to an additional two fiscal years. Method of awarding/releasing assistance: other

Reports:

Quarterly reporting of: (1) accrued expenditures against budget categories in fiscal operating plans; and (2) workload activity of veterans who were provided assistance using a case management approach to service delivery, referred to training and employment, received individualized staff-assisted services, entered employment and employment retention; and (3) additional reports as described in the most recent Veterans' Program Letter on Recurring Reporting Requirements. OMB approved Technical Performance Narrative (VETS 403), Federal Financial Report (SF 425) and OMB approved Expenditure Detail Reports (VETS 402A/B). ETA 9173 Series quarterly performance reports are submitted through Workforce Integrated Performance System (WIPS) and outcomes are compared to negotiated performance goals by VETS field staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost accounting, time keeping, and performance records to be maintained in accordance with each grant agreement and provisions, regulations and Veterans' Program Letters.

Account Identification:

16-0164-0-1-702.

Obligations:

Formula Grants FY 20 \$173,873,574.00; FY 21 est \$172,402,883.00; FY 22 est \$175,701,000.00;

Range and Average of Financial Assistance:

FY 2021, range from \$115,000 - \$18,752,882 and average assistance: \$3,253,722. FY 2022, range from \$115,000 - \$18,684,350 and average assistance: \$3,253,722.

TAFS Codes:

17-0164.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38, United States Code, Chapter 41; 20 CFR 1001; Veterans' Program Letters.

Regional or Local Office:

See Regional Assistance Locations. Contact the Regional Administrator or State Director for Veterans' Employment and Training at the nearest office listed here, <https://www.dol.gov/agencies/vets/about/regionaloffices>

Headquarters Office:

Director-Grants and Training Rebekah Haydin,
200 Constitution Avenue, N.W. Room S-1325,
Washington DC 20210

Email: haydin.rebekah@dol.gov

Phone: 240-867-2302

Fax: 202-693-4755

Website Address:

<https://www.dol.gov/agencies/vets>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.805 Homeless Veterans Reintegration Project; 17.804 Local Veterans' Employment Representative Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in Annual Funding Modification Request guidance.

17.805 Homeless Veterans Reintegration Program

Homeless Veterans' Reintegration Program (HVRP),

FEDERAL AGENCY:

VETERANS EMPLOYMENT AND TRAINING SERVICES, LABOR,
DEPARTMENT OF

AUTHORIZATION:

38 U.S.C.: 38 U.S.C. Chapter 20, as amended by Section 701 of the Veterans Benefits and Transition Act of 2018 (Public Law 115-407), 38 U.S.C. 2021, Homeless Veterans Reintegration Program; 38 U.S.C. 2021A, Homeless women veterans and homeless veterans with children reintegration grant program; 38 U.S.C. 2022, Coordination of outreach services for veterans at risk of homelessness; 38 U.S.C. 2023, Referral and counseling services: veterans at risk of homelessness who are transitioning from certain institutions, 42 U.S.C. 11302, Section 103 of the McKinney-Vento Homeless Assistance Act as amended, by the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009, 25 U.S.C. 4101 et seq.; Native American Housing Assistance and Self Determination Act of 1996; Public Law 116-94: Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020; Public Law No: 115-407, Veterans Benefits and Transition Act of 2018, , Public Law 113, 115, 116-115-407, 116-94, 38 USC Code 2021-23.

OBJECTIVES:

To provide services to assist in reintegrating homeless veterans into meaningful employment within the labor force; and to stimulate the development of effective service delivery systems that will address the complex problems facing homeless veterans.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects supported with these funds shall provide for employment and training services and support services directly or through linkages with other service providers to assist homeless veterans to reenter the workforce. Outreach is necessary and is to be performed by formerly homeless veterans.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State and Local Workforce Investment Boards, State and State Agencies, local public agencies, Native American tribal governments (federally recognized), Native American tribal organizations, non-profit (including faith-based and community organizations) and for-profit commercial entities. Potential jurisdictions are metropolitan areas of the largest U.S. cities or Non-urban areas in need, as announced in latest Funding Opportunity Announcement (FOA).

Beneficiary Eligibility:

State; Local; Sponsored organization; Veterans served by this program include: Homeless veterans (including veterans who were homeless at some point within the 60-day period preceding the date on which the veteran begins to participate in the program); Veterans who, at the time of enrollment in the program, are at risk of homelessness within the next 60 days; 7 Veterans participating in the Department of Veterans Affairs Supportive Housing Program for which rental assistance is provided pursuant to section 8(o)(19) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)(19)) or the Tribal HUDVA Supportive Housing (Tribal HUDVASH) program; Indians who are veterans and receiving assistance under the Native American Housing Assistance and Self Determination Act of 1996 (25 U.S.C. 4101 et seq.); Veterans described in section 2023(e) of Title 38 of the U.S. Code, and any veterans recently released from being incarcerated who are at risk of homelessness; and Veterans participating in the Department of Veterans Affairs Rapid Rehousing and Prevention program. A Homeless Veteran is defined as: A person who lacks a fixed, regular, and adequate nighttime residence; 7 A person

ASSISTANCE LISTINGS DESCRIPTIONS

living in a supervised public or privately-operated shelter designed to provide temporary living arrangements; A person who resided in a shelter or place not meant for human habitation and who is exiting an institution where they temporarily resided; A person with a primary nighttime residence that is a public or private place not designed for, or ordinarily used as, a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, or camping ground; A person who will imminently lose his or her primary nighttime residence, provided that: (i) the residence will be lost within 60 days of the date of application for homeless assistance; (ii) no subsequent residence has been identified; and (iii) the individual or family lacks the resources or support networks needed to obtain other permanent housing; An unaccompanied youth defined as homeless under other federal statutes, who has experienced a long period without permanent housing; who has experienced persistent instability as measured by frequent moves over such period; and who can be expected to continue in such status for an extended period of time because of chronic disabilities, chronic physical health or mental health conditions, substance addiction, histories of domestic violence or childhood abuse, the presence of a child or youth with a disability, or multiple barriers to employment; or A person who is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions in the individual or family's current housing situation, including conditions in which the health and safety of children are jeopardized, and who has no other residence and lacks the resources or support network to obtain other permanent housing. An Incarcerated Veteran is defined as: A veteran who is a resident of a penal institution, or an institution that provides long-term care for mental illness, and is at risk for homelessness absent referral and counseling services provided under the demonstration program.

Credentials/Documentation:

According to the program's Solicitation for Grant Application (SGA) or Standard 424, Application for Federal Assistance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. A funding opportunity announcement is released annually outlining the application criteria.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard Form 424, Application for Federal Assistance, and Standard Form 424A, Budget Information shall be submitted, along with the technical proposal and budget narrative as required by the application SGA.

Award Procedure:

The Office of the Assistant Secretary for Veterans' Employment and Training in conjunction with a Department of Labor Grant Officer are responsible for all funding decisions regarding this program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days.

Appeals:

Other. Debriefings of unsuccessful applicants are provided upon request.

Renewals:

Other. As noted in the FOA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Usually awarded for a full program year (July through June) period. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reporting of program activity such as number enrolled, entered

employment and retention in employment. Through the HHS and Department's E-Grants system. Progress reports are submitted by grantees on a quarterly basis which include comparisons between planned and actual results under a wide variety of performance measures and budgetary goals. Program narrative presents highlighted case studies and success stories as well as identified areas in need of improvement. Federal Financial Report (SF 425) through the Department's E-Grants system. Performance monitoring is conducted through quarterly desk audits and at least one on-site visit conducted by the Grant Officer Technical Representative (GOTR) who notes and checks specific thresholds of performance against yearly planned goals and who may recommend that poorly performing grantees submit a Corrective Action Plan (CAP) that describes the specific actions that will ensure that the grantee meets or exceeds the performance expectations stated in their application.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial and performance records to be maintained in accordance with the grant agreement.

Account Identification:

16-0164-0-1-702.

Obligations:

Project Grants FY 19 \$49,990,548.00; FY 20 est \$55,000,000.00; FY 21 est \$55,000,000.00;

Range and Average of Financial Assistance:

PY 2020, 157 grants were awarded, ranging from \$104,000 to \$500,000, with the average grant award of \$337,682. PY 2019, 148 grants were awarded, ranging from \$100,000 to \$500,000, with the average grant award of \$325,641.

TAFS Codes:

17-0164.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Contact the Regional Administrator or State Director for Veterans' Employment and Training at the nearest office listed here, <https://www.dol.gov/vets/aboutvets/regionaloffices/map.htm>.

Headquarters Office:

Director-Grants and Training Maria E. Temiquel,
200 Constitution Avenue, N.W. Room S-1325,
Washington DC 20210

Email: temiquel.maria@dol.gov

Phone: 202-693-4706

Fax: 202-693-4755

Website Address:

<http://www.dol.gov/dol/vets>.

RELATED PROGRAMS:

17.801 Disabled Veterans' Outreach Program (DVOP);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in the Solicitation for Grant Application.

17.807 Transition Assistance Program

TAP - Department of Labor Employment Workshops (DOL EW),

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

VETERANS EMPLOYMENT AND TRAINING SERVICES, LABOR,
DEPARTMENT OF

AUTHORIZATION:

Defense Reauthorization Act of 1991, Public Law 101-510, 10 U.S.C. 1144, ,
Public Law 113-235, 10 USC Code 1144.

OBJECTIVES:

To provide employment instruction, information and assistance to separating and retiring military personnel and their spouses through domestic and overseas installations and or facilities by offering job search and other related services.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

These services are provided through comprehensive workshops at military installations worldwide. TAP Employment Workshops provide service members and spouses with labor market information as they return to the world of civilian employment; resume assistance; and information on available service benefits. TAP Employment Workshops are provided through a competitively awarded training and assistance contract.

Applicant Eligibility:

Non-Government - General; Contractor, as determined by the Department of Labor's Contracting Officer.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Service members within two years of retirement or one year of separation and their spouses.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As determined by the Department of Labor's Contracting Officer.

Award Procedure:

Contract awards are approved based on a determination by the Department of Labor's Contracting Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contract deadlines are irregular and depend upon current contract requirements and end dates.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is awarded for each contract year, based upon agreement and performance. Method of awarding/releasing assistance: other

Reports:

As required by contract. As required by contract. As required by contract.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost accounting and performance records to be maintained in accordance with the current contract.

Account Identification:

16-0164-0-1-702.

Obligations:

Provision of Specialized Services FY 20 \$29,379,000.00; FY 21 est \$31,379,000.00; FY 22 est \$31,379,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

17-0164.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

TAP Lead Timothy Winter,
200 Constitution Ave, NW, Room S-1312,
Washington DC 20210

Email: winter.timothy@dol.gov

Phone: 2026934705

Fax:

Website Address:

<http://www.dol.gov/vets>

RELATED PROGRAMS:

17.804 Local Veterans' Employment Representative Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in request for proposals (Contract).

STATE, DEPARTMENT OF

19.009 Academic Exchange Programs - Undergraduate Programs

Undergraduate programs include the Global Undergraduate Exchange Program (UGRAD); Study of the U.S. Institutes for Student Leaders; Community College Initiative Program (CCIP); and the Critical Language Scholarship (CLS) Program and the Increase and Diversify Education Abroad for U.S. Students (IDEAS) Program (formerly the Capacity-Building Program for U.S. Undergraduate Study Abroad).

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF
AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended, , Public Law 87-256, 22 USC Code 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and

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other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of Undergraduate Programs is to provide targeted support for American students to pursue intensive language study abroad and for foreign students to gain a better understanding of the United States, while developing new generations of world leaders.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to non-profit organizations, colleges, and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding supports publicity and recruitment, screening of applications, communication with participants, payment of participant costs, and the provision of enrichment activities. The Global Undergraduate Exchange Program (Global UGRAD) provides one semester scholarships to outstanding undergraduate students from underrepresented sectors in Central Asia, East Asia and the Pacific, Europe, Eurasia, the Near East, South Asia, Sub-Saharan Africa, and the Western Hemisphere. The program includes English language study, coursework, service learning opportunities, professional development workshops, and cultural enrichment activities. In FY 2020, Global UGRAD placed 229 students at over 70 institutions around the United States for the Fall 2021 semester. The Global Undergraduate Exchange Program with Pakistan (Global UGRAD Pakistan) provides one semester scholarships to outstanding, undergraduate, emerging student leaders from across Pakistan who traditionally may not have access to the American educational system. The program is designed to foster youth leadership and community engagement, promote academic achievement and cross-cultural exchange to strengthen mutual understanding between Pakistan and the United States. Participants engage in their host and home communities through service learning and other activities to increase understanding between Americans and Pakistanis. Leadership development is incorporated into every component of the program (including cultural and professional enrichment workshops) to provide participants the knowledge, skills, and abilities to initiate positive change in their home communities. The Study of the U.S. Institutes for Student Leaders are five-to six-week academic programs focusing on topics in U.S. studies for groups of foreign undergraduates. Hosted by universities, colleges, and NGOs throughout the United States, the Institutes include an intensive academic residency, an educational study tour, leadership development, community service activities, and opportunities for participants to engage with American peers. The Institutes aim to increase the undergraduates understanding of U.S. history, government, culture, society, and institutions with the overall goal of increasing mutual understanding between the people of the United States and the people of other countries. This model includes the Young Southeast Asian Leaders (YSEALI) Academic Fellowship, which aims to build the leadership capacity of youth in Asia, to strengthen ties between the United States and Asia, and to nurture an ASEAN identity. YSEALI Academic Fellows take part in five-week programs in the themes of Civic Engagement, Environmental Issues, and Social Entrepreneurship and Economic Development. The Mandela Washington Fellowship for Young African Leaders (YALF) also follows the SUSI model but targets young professionals from Sub-Saharan Africa. The Fellowship aims to empower young people through academic coursework, leadership training, and networking. Fellows take part in a six-week intensive academic and leadership program focused in one of three tracks: leadership in business, civic engagement, or public management followed by a three-day Summit in Washington, DC. Seventy of the Fellows also take part in four-week professional development experiences at a U.S. organization. The Community College Initiative Program (CCIP) provides emerging leaders from underserved and underrepresented groups with a one-year non-degree academic program at a U.S. community college in the fields of agriculture; applied engineering; business management and administration; early childhood education; information technology; media; public safety; and tourism and hospitality management. In 2020, approximately 93 participants from 10 countries completed programming at six community college campuses across the United States. Participants increase their employment-related skills and knowledge of English, increase their ability to contribute to the economic development of their countries, and promote mutual understanding. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further information. The

Critical Language Scholarship (CLS) Program provides fully-funded, group-based intensive language instruction and structured cultural enrichment experiences to U.S. undergraduate and graduate students through overseas summer institutes. The CLS program is designed to increase the number of Americans studying and mastering foreign languages that are critical to U.S. national security and economic competitiveness. CLS Program languages include Arabic, Azerbaijani, Bangla, Chinese, Hindi, Indonesian, Japanese, Korean, Persian, Portuguese, Punjabi, Russian, Swahili, Turkish, and Urdu. The CLS Program includes twenty hours of formal language instruction per week for eight to ten weeks (equivalent to one full year of language study). The CLS program plays a critical role in preparing U.S. students for the 21st century's globalized workforce and increasing American competitiveness. In 2020, the CLS Program successfully held virtual institutes for 152 undergraduate and graduate students in Arabic, Chinese, Korean, Hindi, Russian, and Persian. The Increase and Diversify Education Abroad for U.S. Students (IDEAS) Program (formerly the Capacity-Building Program for U.S. Undergraduate Study Abroad) provides awards to U.S. colleges and universities to expand and diversify their study abroad programs and broaden study abroad opportunities for students who have been traditionally underrepresented in study abroad and/or develop programs of study for students to destinations, and/or in disciplines, that have been traditionally underrepresented in study abroad. The goal is to increase the number of students who have the knowledge and skills they need to compete in the global economy and to help address global challenges as well as to develop sustainable study abroad programs that can benefit future cohorts of students. In 2020, 26 U.S. higher education institutions received IDEAS awards to enhance their study abroad programming.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost

ASSISTANCE LISTINGS DESCRIPTIONS

Principles, and Audit Requirements for Federal Awards, applies to this program. Announcements are posted on the Grants.gov and the U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureaus Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See Grants.gov or the U.S. Department of State's SAMS Domestic for announcements.

Appeals:

Not Applicable.

Renewals:

Other. As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements. See the following for information on how assistance is awarded/released: Assistance is awarded/released through the Department's central financial management database. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. As stated in Grants.gov announcements. As stated in Grants.gov announcements. As stated in Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Cooperative Agreements FY 20 \$46,908,761.00; FY 21 est \$46,908,761.00; FY 22 est \$46,908,761.00;

Range and Average of Financial Assistance:

\$399,999 to \$9,000,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Global UGRAD successfully pivoted to virtual English Language Training (ELT) in Fall 2019 due to the travel restrictions caused by the COVID-19 pandemic with 37 students participating in the ELT. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Vincent Pickett (Global Undergraduate Exchange Program),
Multi-Regional Programs Branch Chief, U.S. Department of State, Bureau of Educational and Cultural Affairs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4B11, Washington, DC 20037,
Washington DC 20037
Email: PickettVS@state.gov
Phone: (202) 632-3243
Fax: Heidi Manley (Critical Language Scholarship Program and Increase and Diversify Education Abroad for U.S. Students (IDEAS) Program (formerly the Capacity Building for U.S. Study Abroad Program),
U.S. Study Abroad Branch Chief, U.S. Department of State, Bureau of Educational and Cultural Affairs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4CC13,
Washington, DC 20037,
Washington DC 20037
Email: global@state.gov
Phone: (202) 632-9265
Fax:

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

19.408 Educational Exchange_Teachers from Secondary and Postsecondary Levels and School Administrators; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 19.400 Educational Exchange_Graduate Students;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program

Hubert H. Humphrey Fellowship Program,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended, , Public Law 87-256, 22 USC Code 2451.

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The Hubert H. Humphrey Fellowship Program, a Fulbright exchange, enhances leadership among international professionals who collaborate to address local and global challenges and foster change for the collective good.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to a non-profit organization to support program administration as well as coordinating program logistics. Funding supports activities such as publicity and recruitment, screening of participant applications, recruitment and selection of U.S. host institutions, communication with host institutions and recipients, payment of stipends, and the provision of enrichment and alumni activities. The Hubert H. Humphrey Fellowship Program, a Fulbright exchange, enhances leadership among international professionals who collaborate to address local and global challenges and foster change for the collective good. Through academic study and professional development with U.S. counterparts, this growing global network shares best practices and builds expertise in fields of critical importance to advance societal and institutional capacity, promote human rights and freedoms, ensure sustainable environments, and develop thriving communities. The Humphrey Fellowship Program provides current and future international leaders with professional collaboration, a personalized academic experience, and leadership development, while building lasting, productive ties in critical fields between Americans and their professional counterparts overseas. Approximately thirteen major universities in the United States serve as host campuses for Humphrey Fellows. These host universities are chosen for their excellence in relevant Humphrey fields of study and for the resources and support they offer Humphrey Fellows. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); None.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; None.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirement for Federal Awards applies to this program. Announcements are posted on the Grants.gov and the U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements a nonfederal entity that expends \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical

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records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S.

Department's of State Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Cooperative Agreements FY 20 \$11,895,050.00; FY 21 est \$11,895,050.00; FY 22 est \$11,895,050.00;

Range and Average of Financial Assistance:

\$11,895,050.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Programmed 124 Fellows in the United States through hybrid virtual and in-person program activities at 12 U.S. host campuses. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Branch Chief Kelsi Ward, Branch Chief,
U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Global Educational Programs, Humphrey and Institutional Linkages Branch, 2200 C Street, NWSA-5, Rm. 4CC17,
Washington DC 20037
Email: wardka@state.gov
Phone: (202) 320-2796

Website Address:

<http://www.exchanges.state.gov/non-us/program/hubert-h-humphrey-fellowship-program>

RELATED PROGRAMS:

19.408 Educational Exchange_Teachers from Secondary and Postsecondary Levels and School Administrators; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 19.400 Educational Exchange_Graduate Students;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.011 Academic Exchange Programs - Special Academic Exchange Programs

Special Academic Exchange programs include: Council of American Overseas Research Centers, Benjamin A Gilman International Scholarship Program; National Clearinghouse on Disability and Exchange, United States-South Pacific Scholarship Program; Tibetan Scholarship Program, United States-Timor-Leste Scholarship Program, among others.

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended, , Public Law 87-256, 22 USC Code

2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of leaders and future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of U.S. citizens having international experiences and of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans and citizens of other countries. The purpose of Special Academic Exchange Programs is to provide targeted support for U.S. and foreign students and others who may not otherwise have the resources to pursue international exchange opportunities or who are in fields directly relevant to identified needs in their countries.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Special Academic Exchange Programs support participants in undergraduate, graduate, and/or non-degree professional development programs. Special Academic Exchange Programs target underserved populations from the U.S., developing world or strategically important areas. These programs provide selected participants with the opportunity to participate in exchanges and develop international competencies and skills, which allow them to serve as leaders in their professions and communities. Funding is provided to non-profit organizations, colleges, and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding supports publicity and recruitment, screening of applications, communication with participants, monitoring of participants, payment of participant costs, and the provision of enrichment activities for both participants and alumni. American Overseas Research Centers (ORCs) are located throughout the world -- -- in Europe; North Africa; the Middle East; Central, East, South, & Southeast Asia; the Caucasus, Latin America; and West Africa- and provide services to scholars, including assistance with research and publication. The Council of American Overseas Research Centers (CAORC) is the umbrella organization that administers and manages the ORCs. The Benjamin A. Gilman International Scholarship Program provides scholarships for credit-bearing study or internships abroad to U.S. undergraduate students with significant financial need (Pell Grant recipients), broadening the U.S. student population that studies abroad by diversifying the pool of participants, as well as the destinations where they study. Developed under the framework of the State Departments Benjamin A. Gilman International Scholarship Program, the Gilman-McCain International Scholarship for the Children of Military Families provides awards up to \$5,000 to eligible students enrolled at accredited U.S. colleges and universities who receive any type of Title IV federal financial aid. The National Clearinghouse on Disability and Exchange (NCDE) 1) provides information to the disabilities community about exchange opportunities and encourages persons with disabilities to participate and 2) provides exchange organizations, colleges and universities, NGOs and other program providers with information, technical assistance and materials to help in increasing the number of people with disabilities participating in their programs and ensuring that they have successful experiences. The United States-South Pacific Scholarship Program (USSP) provides scholarships for academically talented individuals from sovereign island nations of the South Pacific to pursue bachelor's and master's degrees at U.S. institutions in fields important to the regions development. The Tibetan Scholarship Program (TSP) provides the opportunity for Tibetan students who are living in India and Nepal to study in the United States with the goal of educating future Tibetan leaders and building the capacity of participants to contribute to their communities when they return home. The TSP, a Congressionally-mandated program, began in 1988 with the goal of fostering mutual understanding and strengthening the participants capacity to engage and impact their communities.

ASSISTANCE LISTINGS DESCRIPTIONS

The TSP continues to attract outstanding students who are committed to pursuing masters degrees in fields that address the Tibetan communities most pressing needs. In addition, the program provides leadership and professional development opportunities along with cultural enrichment to promote mutual understanding, and a mentoring component to support students during and after their exchanges. Student enrichment activities include U.S. based arrival orientations, mid-year, re-entry and professional development workshops. The United States-Timor-Leste Scholarship Program (USTL) provides educational opportunities for academically talented future leaders from Timor-Leste to pursue undergraduate degrees at U.S. institutions in priority fields that are directly relevant to development needs in Timor-Leste. Special Academic Programs include several other academic exchange initiatives in which the U.S. Congress has particular interest. Please refer to Grants.gov or the U.S. Department of State's SAMS Domestic for further information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office.

The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200 Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Cooperative Agreements FY 20 \$22,501,984.00; FY 21 est \$22,501,984.00; FY 22 est \$22,501,984.00;

Range and Average of Financial Assistance:

\$375,000 to \$16,218,984.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the National Clearinghouse on Disability and Exchange conducted the Joining Hands Virtual Symposium which was attended by 1,000 participants from more than 70 countries. In FY 2020, the Benjamin A. Gilman Scholarship Program supported more than 2,500 awards to students who will carry out accredited internships and study abroad. In FY 2020, the Tibetan Scholarship Program supported 15 student awards. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Branch Chief Adam Meier (U.S.-South Pacific Scholarship Program and U.S.-Timor Leste Scholarship Program),
EAP Branch Chief, U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchanges, 2200 C Street, NW, Washington, DC, SA-5, Fourth Floor, Room 4B12, Washington, DC DC 20037
Email: meieraw2@state.gov
Phone: (202) 632-6067
Fax: Heidi Manley (Benjamin A. Gilman International Scholarship Program), U.S. Study Abroad Branch Chief, U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Global Educational Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4CC13, Washington, DC 20037, Washington DC 20037
Email: ManleyHL@state.gov
Phone: (202) 632-9265
Fax:
Website Address:
<http://exchanges.state.gov>

RELATED PROGRAMS:

19.009 Academic Exchange Programs - Undergraduate Programs; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 19.400 Educational Exchange_Graduate Students;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs

Special Professional and Cultural Programs include the Ngawang Choephel Fellows Program.,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, , Public Law 87-256

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the

United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge among Americans. The purpose of the Special Professional and Cultural Programs is to carry out Congressionally-directed initiatives that support professional exchanges between the United States and select countries through grants to American non-profit, non-governmental institutions and organizations, including community organizations, professional associations, and universities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding is provided to eligible non-profit organizations, colleges and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding to those organizations supports activities such as publicity and recruitment, screening of participant applications, communication with participants, domestic and international travel, payment of stipends and program benefits, and the provision of enrichment activities. Special Professional and Cultural Programs constitute exchange initiatives in which the U.S. Congress has particular interest. The programs are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and those countries. Programs are designed to promote good governance, civil society and civic responsibility, educational reform, conflict prevention and management, trade, leadership, cultural diversity and heritage, and educational reform, as well as other topics through people-to-people exchanges. Please refer to Grants.gov or the U.S. Department of State's SAMS Domestic for further information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to Grants.gov or the U.S. Department of State's SAMS

ASSISTANCE LISTINGS DESCRIPTIONS

Domestic for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program. Announcements are posted on the Grants.gov and Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureaus Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entities fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional

guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Project Grants FY 20 \$599,995.00; FY 21 est \$700,000.00; FY 22 est \$750,000.00;

Range and Average of Financial Assistance:

\$299,995.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Planned in-person programming postponed due to ongoing pandemic-related travel restrictions. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB circulars cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Deputy Director Matt McMahon,
U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Citizen Exchanges, 2200 C Street, NWSA-05, Rm. 3B13, Washington, District of Columbia 20037,
Washington DC 20037
Email: mcmahonmp@state.gov
Phone: 202-632-6766

Website Address:

<http://eca.state.gov/about-bureau-0/organizational-structure/office-citizen-exchanges>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.013 Thomas R. Pickering Foreign Affairs Fellowship Program

Pickering Fellowship Program,

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Section 150 - 1990-1991 Department of State Authorization Bill, , Statue 42-47, Public Law 101-246, State Dept Basic Authorities Act of 1956, Title 22, Part 2719, Section 47

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

As authorized by authorizations stated above. Pickering Program offers only graduate fellowships. The purpose of the program is to attract outstanding students who represent all ethnic and social backgrounds and who have an interest in pursuing a Foreign Service career in the U.S. Department of State. This program encourages the application of members of minority groups historically underrepresented in the Foreign Service, women, and those with financial need. These programs develop a source of trained men and women, from academic fields representing the skill needs of the Department who are dedicated to representing America's interests abroad.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants (Fellowships), Salaries and Expenses

USES AND USE RESTRICTIONS:

Funding is used to support program administration as well as coordinating program logistics. Funding supports activities and financial obligations such as tuition costs for graduate study; room and board; student travel; books, fees and costs associated with student summer internship programs including stipends, travel, passport fees, inoculation and visa fees. Additionally, a designated amount of funds may be used for administrative costs associated with the program administration

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization;

Beneficiary Eligibility:

Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Education Professional; U.S. Citizen; Public nonprofit institution/organization; Other public institution/organization;

Credentials/Documentation:

Applications may be submitted by public and private non-profit organizations. Please refer to Grants.gov for further eligibility criteria. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formal applications should be prepared in response to announcements of mandatory program requirements and closing dates in the Federal Register.

Award Procedure:

The Recipient must submit all requests, in writing to the Grants, before the project period end date indicated on form DS-1909. Final approval is subject to review and acceptance by the GO. Unless otherwise specified in the award, provisions of the applicable Federal cost principles and other Federal administrative requirements for prior approval apply only to the activities and expenditures specified in the award. Written prior approval, by way of amendment, from the Department of States Grants Officer is required for:

- o Change in the scope or the objective of the project or program (even if there is no associated budget revision requiring prior written approval).
- o Change in a key personnel specified in the application or award document.
- o The absence for more than three months, or a 25 percent reduction in time devoted to the project, by the approved project director or principal investigator.
- o The need for additional Federal funding.
- o The transfer of amounts budgeted for indirect costs to absorb increases in direct costs.
- o Extension of the period of performance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See Grants.gov

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Please see Grants.gov for information on how assistance is awarded/released. The recipient may charge to the award only allowable costs resulting from obligations incurred during the funding period. Expenditures only for staff costs that are obligated during the award project period, may be charged to the award up to 90 days following the expiration date. These funds shall be available for closeout activities limited to the preparation of final reports. No other staff costs should be obligated and expended. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507. Non-Federal entities that expend \$500,000 or more in a year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the revised circular. Non-Federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirement for that year, except as noted in OMB Requirements, but records must be available for review or audit by appropriate DOS officials, pass through entity, and Government Accountability Office. The cost of auditing a non-Federal entity which has Federal awards expended of less than \$500,000 for fiscal years ending after December 31, 2003) per year and is thereby exempted under OMB Audit requirements.

Records:

Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to the award period. The recipient is required to submit a quarterly program and financial reports 30 days after the calendar year quarter (March 31st, June 30th, September 30th, and December 31st). A final certified financial report and program report must be submitted to the Grants or Principal Officer within 90 days after the award period end date.

Account Identification:

01-0258-4-1-010.

Obligations:

Salaries and Expenses FY 20 \$3,610,000.00; FY 21 est \$6,065,980.00; and FY 22 est \$6,065,980.00;

Range and Average of Financial Assistance:

Please see obligations...

TAFS Codes:

19-2058.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov announcement. (Please see the following websites for further info and literature :Thomas R. Pickering Fellowship Program www.pickeringfellows.org

Regional or Local Office:

None.

Headquarters Office:

Program Manager Christa George,
Dept of State SA-1, Student Programs, Rm H-518, 2401 E Street NW,
Washington DC 20522
Email: GeorgeCM@state.gov
Phone: 202-261-8892
Fax: (202) 261-8842

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Quality of program idea; Program planning ability; Ability to achieve objectives; Multiplier effect/impact i.e. can the program support an increased load; Support diversity; International capacity; institution's record/ability; Cost-effectiveness/savings. Please refer to Grants.gov for further information.

19.015 Cultural, Technical and Educational Centers

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961 (Public Law 87-256), as amended, , 22 USC Code 2054 2078 2451. Department of State, Foreign Operations, and Related Programs Appropriations Act,

OBJECTIVES:

To assist various organizations identified by Congress to achieve objectives specified by Congress. As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is provided to implement specific projects identified by Congress. Funding is provided to the Center for Cultural and Technical Interchange Between East and West (East-West Center) to promote better relations and understanding between the United States and the nations of Asia and the Pacific through cooperative study, training, and research. Scholars, students, teachers, government officials, NGO leaders, journalists and other professionals in various fields from the U.S. and the Asia Pacific region may study and carry out research, exchange ideas and views, lead and participate in seminars and training activities, take part in cultural programs, and conduct other activities primarily in support of the objectives of the Fulbright-Hays Act, as amended, and other Acts promoting the international, educational, cultural, and related activities of the United States. Funding is appropriated each fiscal year from interest and earnings credited to the Center for Middle Eastern-Western Dialogue Trust Fund for operations of the International Center for Middle Eastern-Western Dialogue (commonly referred to as the Hollings Center) which promotes dialogue, programs, and scholarships for various stakeholders to promote understanding and expand channels of communication between the United States and the Muslim world.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Organizations specifically identified by Congress in agency appropriations legislation.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries are those served by the organizations receiving awards.

Credentials/Documentation:

Eligible organizations are those specifically identified by Congress in agency

appropriations legislation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirement for Federal Awards applies to this program. As stated in the award solicitation documents.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

As stated in the award solicitation documents. As stated in the award solicitation documents. As stated in the award solicitation documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. For awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report as authorized by the DOS. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards(<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-8813-0-1-154. 19-0202-0-1-154.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Cooperative Agreements FY 20 \$17,475,000.00; FY 21 est \$17,475,000.00; FY 22 est \$17,475,000.00;

Range and Average of Financial Assistance:

\$775,000 to \$16,700,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB circulars cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website. Refer to solicitation documents for additional requirements.

Regional or Local Office:

None.

Headquarters Office:

Adam Meier (East-West Center),
EAP Branch Chief, U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Room 4B12, Washington, DC 20037,
Washington DC 20037

Email: MeierAW2@state.gov

Phone: (202) 632-6067

Fax: Vincent Pickett (Hollings Center),

Multi-Regional Programs Branch Chief, U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Room 4B11, Washington, DC 20037,
Washington DC 20037

Email: PickettVS@state.gov

Phone: (202) 632-3243

Fax:

Website Address:

<http://exchanges.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the award solicitation documents.

19.017 Environmental and Scientific Partnerships and Programs

FEDERAL AGENCY:

BUREAU OF OCEANS & INTL ENVIRONMENTAL & SCIENTIFIC AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act of 1961, as Amended, , State Department Basic Authorities Act of 1956, as Amended,

OBJECTIVES:

To support sustainable growth across the globe, the Bureau of Oceans, Environment, and Science (OES) builds international partnerships to address environmental, scientific, and health concerns. Key areas of funding include but are not limited to, programs that: address climate change and its impact, and reduce global greenhouse gas emissions; conserve natural resources and reduce

international threats to biodiversity; improve access to safe drinking water; promote a level playing field with free trade partners; protect fisheries and oceans; reduce the threat from mercury and other pollutants; and foster international scientific collaboration.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funding is provided for eligible profits and non-profit organizations to support international environmental and scientific initiatives, as defined by the individual program announcement in grants.gov.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; The use of assistance is to support a wide range of partnerships responding to diverse international environmental initiatives.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Scientist/Researchers; Public nonprofit institution/organization; Other public institution/organization; n/a

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants respond to a specific Notice of Funding Opportunity (NOFO) posted on www.grants.gov. SF-424 and full proposal required. To be eligible for federal grants, applicants must have an active Unique Entity Identifier (UEI) also known as DUNS number. DUNS number are registered in SAMS.gov. Applicants must also have an active CAGE code (for U.S. organizations) and NCAE code (for non-U.S. organizations) and updated in www.SAMS.gov

Award Procedure:

A technical review panel reviews proposals and scored them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant or cooperative agreement by a Department of State Grants Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies. Method of awarding/releasing assistance: other

Reports:

Varies; generally Semi-Annual or Quarterly Programmatic Reports, including relation of progress to expenditures, and description of progress on established milestones and indicators. Final Program and Financial report due after conclusion of program. SF-425 and any other reporting as specified in the grant agreement. Varies; generally Semi-Annual or Quarterly Programmatic Reports, including relation of progress to expenditures, and description of progress on established milestones and indicators. Final Program and Financial report due after conclusion of program. SF-425 and any other reporting as specified in the grant agreement. All

ASSISTANCE LISTINGS DESCRIPTIONS

programs will be monitored by Department of State Grants Officers and Grants Officers Representatives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For all Department of State (DOS) awards, regardless of business type, the recipients are subject to the audit requirements found in OMB audit requirements. In addition, the recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C 7501-7507. For additional information please refer to the Standard Terms and Conditions (available online at <http://fa.statebuy.state.gov>).

Records:

Refer to the Department of State Standard Terms and Conditions (<http://fa.statebuy.state.gov>) for guidance.

Account Identification:

19-0000-0-0-001.

Obligations:

Project Grants FY 16 \$560,206,000.00; FY 17 est \$7,100,000.00; and FY 18 Not Available;

Range and Average of Financial Assistance:

Grants vary depending on program objectives and availability of funds.

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application guidelines are distributed in conjunction with the request for applications or program announcements.

Regional or Local Office:

Headquarters Office:

Program Manager Maria Urbina,
Central Programming Unit, Executive Office, Bureau of Oceans, International Environmental and Scientific Affairs, Room 10076 SA-09, Department of State, 2025 E Street NW, Washington DC 22520
Email: urbinaMA1@state.gov
Phone: (202) 472-8171

Website Address:

<http://www.state.gov/g/oes/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals should be responsive to the objectives and requirements set forth in each Program Announcement or Request for application posted on www.grants.gov

19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE, DEPARTMENT OF

AUTHORIZATION:

The Immigration and Nationality Act, as amended by the Refugee Act of 1980 (INA) and The Migration and Refugee Assistance Act of 1962 as amended (MRAA), , 22 USC Code 2601 et seq.

OBJECTIVES:

The purpose of the Resettlement Support Centers (RSCs) is to assist the Bureau in preparing the necessary casework for persons eligible for interview by United States Citizenship and Immigration Services (USCIS) of the Department of Homeland Security (DHS) under the U.S. Refugee Admissions Program and, for those approved, to provide assistance in completing the additional requirements for refugee admission under Section 207 of the Immigration and Nationality Act. In addition, the RSC forwards the necessary data on all DHS-approved cases to the Refugee Processing Center (RPC) for distribution to Reception and Placement agencies according to procedures established by the Bureau.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant, or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

Non-Government - General; International and non-governmental organizations.

Beneficiary Eligibility:

Refugee/Alien; Refugees approved under the U.S. Refugee Admissions Program will benefit.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via [Grants.gov](http://www.grants.gov) in response to funding opportunity announcements posted by the Bureau on [Grants.gov](http://www.grants.gov) to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. International organizations submit appeals to the Bureau. (Funding announcements are also posted at <http://www.state.gov>.) Organizations interested in submitting applications in response to Bureau funding opportunity announcements are encouraged to communicate directly with the Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive funding. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Admissions and other Bureau offices. A warranted grants officer in the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. May be renewable for up to two additional fiscal years based upon budget submissions on an annual basis, as long as there remains a need for the RSC and the organization operating the RSC provides satisfactory service, and subject to the availability of funds. At the end of three years, if the need to operate the RSC continues, the Bureau will re-compete the project, and the recipient of this award may participate in that process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

ASSISTANCE LISTINGS DESCRIPTIONS

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Funds may be renewable for up to two additional fiscal years based upon budget submissions on an annual basis, as long as there remains a need for the RSC and the organization operating the RSC provides satisfactory service, and subject to the availability of funds. At the end of three years, if the need to operate the RSC continues, the Bureau will re-compete the project, and the recipient of this award may participate in that process. Method of awarding/releasing assistance: other

Reports:

The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

Cooperative Agreements FY 20 \$27,357,741.00; FY 21 est \$30,000,000.00; FY 22 est \$32,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the Bureau contact(s) listed below

Regional or Local Office:

None.

Headquarters Office:

Jennifer Smith,
2025 E St. NW, 8th Floor, SA-9,
Washington DC 20520
Email: SmithJL1@state.gov
Phone: 202-453-9253

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security->

democracy-and-human-rights/bureau-of-population-refugees-and-migration/
RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The purpose of the Resettlement Support Center (RSC) is to assist the Bureau in preparing the necessary casework for persons eligible for interview by United States Citizenship and Immigration Services (USCIS) of the Department of Homeland Security (DHS) under the U.S. Refugee Admissions Program and, for those approved, to provide assistance in completing the additional requirements for refugee admission under Section 207 of the Immigration and Nationality Act. In addition, the RSC forwards the necessary data on all DHS-approved cases to the Refugee Processing Center (RPC) for distribution to Reception and Placement agencies according to procedures established by the Bureau. Organizations seeking funding to provide these services must demonstrate that they can: a) Provide refugee processing services for all U.S. Refugee Admissions Program applicants in the location outlined in the relevant funding announcement and by the date listed in the announcement; and b) Relate equitably to all domestic resettlement agencies. This will include sharing relevant biographic and other background information with domestic resettlement agencies working in the Reception and Placement program (to facilitate their provision of required sponsorship assurances). Specific objectives are listed in detail in the relevant funding announcement.

19.019 International Programs to Combat Human Trafficking

International Programs to Combat Human Trafficking.

FEDERAL AGENCY:

OFFICE TO MONITOR AND COMBAT TRAFFICKING IN PERSONS,
STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act of 1961 as amended.

OBJECTIVES:

The mission of the TIP Office is to combat human trafficking (a/k/a modern slavery) by employing a 3 Ps strategy: preventing trafficking in persons, protecting and assisting trafficking victims, and prosecuting traffickers and others who profit from trafficking in persons. Additionally, the TIP Office focuses on a 4th-P, partnerships to effectuate this strategy.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The programs will assist countries in all regions of the world to support transformational diplomacy in the areas of international crime and rule of law by strengthening the legal foundation to prosecute the crime of human trafficking and provide victim assistance. The U.S. Governments anti-trafficking paradigm prosecution, protection, and prevention, together with rescue, rehabilitation, and reintegration is a comprehensive approach that engages governments and civil society to address human trafficking internationally.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Foreign NGOs are eligible for awards under this program.

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Public nonprofit institution/organization; Other public institution/organization; Foreign NGOs are eligible for awards under this program.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

See solicitation on Grants.gov 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See solicitation on Grants.gov

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

See solicitation on Grants.gov

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

>180 Days. See solicitation on Grants.gov

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 3 years. Program generally awards funds through the Payment Management System operated by the Department of Health and Human Services. Method of awarding/releasing assistance: other

Reports:

Program progress reports are required under the grant agreement. For further information see Grants.gov. Financial reports are required under the grant agreement. For further information see Grants.gov. Progress reports are required under the grant agreement. For further information see Grants.gov. Expenditure reports are required under the grant agreement. For further information see Grants.gov. See Grants.gov

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

See Grants.gov

Account Identification:

19-1191-1-1-022.

Obligations:

Project Grants FY 20 \$35,999,999.00; FY 21 est \$65,999,999.00; FY 22 est \$65,999,999.00;

Range and Average of Financial Assistance:

\$50,000 to \$750,000

TAFS Codes:

19-1191.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Mark Forstrom,,
1800 G. St., N.W., Ste 2201 (SA-22),
Washington DC 20006
Email: forstromma@state.gov

Phone: (202) 312-9893

Fax: (202) 312-9638.

Website Address:

<http://www.state.gov/g/tip/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. In Eastern Europe G/TIP is funding a project which supports and strengthens the host governments fight against sex and labor trafficking of children.2. G/TIP is supporting an NGO which is working Africa through regional initiative to provide direct victim services to trafficking survivors. Services will include the provision of counseling, psychosocial support, and vocational training.3. G/TIP is supporting an NGO to strengthen the legal response to trafficking in persons in Central Asia by improving the ability of law enforcement and the justice sector to investigate and prosecute TIP cases.

19.020 Charles B. Rangel International Affairs Program

Rangel Program,

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

1990-1991 Department of State Authorization Bill, Public Law 101-246, 42 Stat. 47, , Statue 47, Public Law 101-246, State Department Basic Authorities Act of 1956, Title 22, Part 2719, Section 47

OBJECTIVES:

To increase the number of minorities interested in and prepared for international service, particularly a Foreign Service career in the Department of State as well as provide students with a deeper appreciation of current issues and trends in international affairs, greater understanding of career opportunities in international affairs and the enhanced knowledge and skills to pursue such careers. The program develops a source of trained men and women, from academic fields representing the skill needs of the Department who are dedicated to representing America's interests abroad. This program encourages the application of members of minority groups historically underrepresented in the Foreign Service, women, and those with financial need. The program develops a source of trained men and women, from academic fields representing the skill needs of the Department who are dedicated to representing America's interests abroad.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants (Fellowships), Salaries and Expenses

USES AND USE RESTRICTIONS:

Funding is used to support program administration as well as coordinating program logistics. Funding supports activities and financial obligations such as tuition costs; room and board; student travel; books, fees and costs associated with student summer internship programs including stipends, travel, passport fees, inoculation and visa fees. Additionally, a designated amount of funds may be used for administrative costs associated with the program administration. Funding is to be used to support program administration as well as coordinating program logistics.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Assistance supports activities and financial obligations such as tuition costs, student travel, program administration costs and other costs as they relate to the administration of the Charles B. Rangel international Affairs Program.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Education Professional; U.S. Citizen; Public nonprofit institution/organization; Other public institution/organization; Applications may be submitted by public and privated non-profit organizations. Please refer to Grant.gov for further eligibility criteria. OMB Circular No. A-87 applies to this program.

Credentials/Documentation:

Applications may be submitted by public and privated non-profit organizations. Please refer to Grant.gov for further eligibility criteria. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The recipient must submit all requests, in writing, to the Grants.gov site before the project period end date indicated on form DS1909. Final approval is subject to review and acceptance by the GO. Unless otherwise specified in the award, provisions of the applicable Federal cost principles and other Federal administrative requirements for prior approval apply only to the activities and expenditures specified in the award. Written prior approval, by way of amendment, from the Department of State's Grants Officer is required for:--change in the scope or the objective of the project or program (even if there is no associated budget revision requiring prior written approval.-- change in a key personnel specified in the application or award document;-- The absence for more than 3 months, or a 25 percent reduction in time devoted to the project, by the approved project director or principal investigator-- The need for additional Federal funding-- the transer of amounts budgeted for indirect costs to absorb increases in direct costs-- extension of the period of performance

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See Grants.gov

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Please see Grants.gov for information on how assistance is awarded/released.The recipient may charge to the award only allowable costs resulting from obligations incurred during the funding period. Expenditures only for staff costs that are obligated during the award project period, may be charged to the award up to 90 days following the expiration date. These funds shall be available for closeout activities limited to the preparation of final reports. No other staff costs should be obligated and expended. See the following for information on how assistance is awarded/released: Payments are made through the DHHS payment system PMS. The Payment Management System instructions will be available upon award of the grant. The instructions will be under the PMS website and can be accessed at a web address provided by grants management. Recipients should request funds based on immediate disbursement requirements and disburse funds as soon as possible to minimize the Federal case on hand in accordance with the policies established by the Treasury Department and mandated by OMB Circulars. Method of awarding/releasing assistance: other

Reports:

Quarterly budget and program updates reports are required in addition to other spot reporting as needed. Quarterly progress reports are required. Quarterly expenditure reports are required. Performance of the grant recipient is monitored closely by the Program Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507.

Records:

Recipient must maintain financial records, supporting documents, statistical records and all other records pertinent to the award period. The recipient is required to submit a quarterly program and financial reprints 30 days after the calendar year quarter (March 31, June 30, September 30 and December 31). A final certified financial report and program report must be submitted to the Grants or Principal Officer within 90 days after the award period end date.

Account Identification:

10-2594-1-0-034.

Obligations:

Salaries and Expenses FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Salaries and Expenses FY 20 \$3,675,000.00; FY 21 est \$6,265,020.00; and FY 22 est \$6,265,020.00;

Range and Average of Financial Assistance:

Please see obligations

TAFS Codes:

19-2594.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov announcement. Please see the following websites for further info and literature:1. Dept of State - <http://careers.state.gov>2. Charles B. Rangel International Affairs Fellowship Program - www.howard.edu/rjb

Regional or Local Office:

None.

Headquarters Office:

Program Manager Christa George,
Department of State, HR/REE, Room H-518, SA-1, 2401 E Street NW,
Washington DC 20522
Email: GeorgeCM@state.gov
Phone: (202)-261-8892
Fax: (202) 261-8842.

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Quality of program ideas, program planning ability, ability to achieve objective, multiplier effect/impact; i.e. can the program support an increased load;support diversity, institutional record/ability, cost-effectiveness/savings. Please refer to Grants.gov for further information.

19.021 Investing in People in The Middle East and North Africa

Investing in people/ cultural/educational/alumni/information and media efforts in the Middle East and North Africa..

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

BUREAU OF NEAR EASTERN AFFAIRS, STATE, DEPARTMENT OF
AUTHORIZATION:

Fulbright Hays Act - The Mutual Educational and Cultural Exchange Act of 1961, Public Law 87-256; Foreign Assistance Act of 1961; as amended by Foreign Assistance Act, Public Law 87-195, U.S.C. 22; Smith Mundt Act-The United States Information and Educational Exchange Act of 1948, Public Law 80-402.,

OBJECTIVES:

To support programs, projects and activities to include (but not limited to) cultural, educational, alumni, information and media efforts in the Middle East and North Africa. NEA Public Diplomacy objectives are focused on active promotion of projects which promote mutual understanding and invest in people.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and Cooperative agreements to support programs, projects and activities to include (but not limited to) cultural, educational, alumni, information and media efforts in the Middle East and North Africa. Awards are designed to benefit local organizations and citizens of countries in the Middle East and North Africa.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Not-For-Profit organizations subject to 501(c)(3) of the U.S. tax code or registered as a non-profit organization in the entity's home country. Organizations that have and have not previously received international program funding from the U.S. Government. Applicants with demonstrated experience in work with vendors, suppliers, contractors, etc. and appropriately staffed offices in country will be preferred.

Beneficiary Eligibility:

Private nonprofit institution/organization; Education Professional; Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Local organizations, citizens of countries in the Middle East and North Africa, and the U.S.

Credentials/Documentation:

Please refer to grants.gov and/or Federal Register announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant and/or cooperative agreement. Further details, see program announcement grants.gov

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. see program announcement grants.gov

Appeals:

Not Applicable.

Renewals:

Other. Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies. See announcement in grants.gov Method of awarding/releasing assistance: other

Reports:

Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. See announcement in grants.gov regarding applicability of cash reports. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per OMB regulations. All records must be kept for 3 years beginning from the expiration date of the award.

Account Identification:

19-0101-1-3-000.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$20,547,083.00; FY 21 est \$17,804,163.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: 10,000 to over 5 Million Avg: 500,000

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Program Analyst Lavenia Holland,,
2201 C Street NW, HST Building,
Washington DC 20520
Email: hollandly@state.gov
Phone: (202) 6476397
Fax: (202) 776 - 8869.

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF
AUTHORIZATION:

The Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act),

ASSISTANCE LISTINGS DESCRIPTIONS

as amended, , Public Law 87-256, 22 USC Code 2451.

OBJECTIVES:

To provide assistance awards by U.S. diplomatic missions abroad made directly to eligible organizations in the United States using funds appropriated to and authorized by the Bureau of Educational and Cultural Affairs (ECA) for the purpose of supporting international exchanges that address issues of mutual interest to the United States and other countries, consistent with the program criteria established in the Departments annual appropriation. As authorized by the Fulbright-Hays Act, ECA seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange; to strengthen the ties which unite us with other nations by demonstrating the educational and cultural interests, developments, and achievements of the people of the United States and other nations, and the contributions being made toward a peaceful and more fruitful life for people throughout the world; to promote international cooperation for educational and cultural advancement; and thus to assist in the development of friendly, sympathetic, and peaceful relations between the United States and the other countries of the world."

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Awards made by Overseas Public Diplomacy Offices that support activities authorized by the Fulbright-Hays Act for which funding has been appropriated by Congress and administered by the Department of State's (DOS) Bureau of Educational and Cultural Affairs. The activities are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Awards are made to eligible non-profit corporations, institutions or individuals. Awards under this program are specific to those made to domestic (U.S.) institutions or individuals and supported by funds appropriated to and approved by ECA.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Projects must support the development of mutual understanding between the United States and other countries in any area of importance to U.S. interests in those countries.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include individuals selected for participation in the project, educational institutions, and Americans and people of other countries.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Program announcements and application procedures may vary widely depending on the overseas post and program, including through grants.gov as applicable. Note that overseas posts may also administer programs limited to overseas applicants, which are not covered by this Assistance Listing entry.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. See announcement at Grants.gov.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the original solicitation document at Grants.gov

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time for which assistance is available varies depending on the specific program and will be stated in the terms and conditions of the award. Method of awarding/releasing assistance: other

Reports:

Program reports are to be submitted in accordance with the terms and conditions of the award. Cash reports may be required in accordance with the terms and conditions of the award. Progress reports may be required in accordance with the terms and conditions of the award. Expenditure reports are to be submitted in accordance with the terms and conditions of the award. Performance monitoring may be required in accordance with the terms and conditions of the award.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. For awards that are renewed quarterly or annually, records pertinent to the award must be maintained for three years from the date of the submission of the quarterly or annual financial report as authorized by the DOS. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Project Grants FY 20 \$12,821,539.00; FY 21 est \$12,821,539.00; FY 22 est \$12,821,539.00;

Range and Average of Financial Assistance:

Award amounts may vary widely depending on the nature of the project, from \$100 up to approximately \$216,300. A typical institutional award may total \$50,000 or less.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for programs will vary with individual activities and posts.

Regional or Local Office:

None.

Headquarters Office:

Yolanda Robinson,
Acting Director, Budget and Finance, U.S. Department of State, Bureau of Educational and Cultural Affairs, Executive Office, Budget and Financial Management Division, 2200 C Street, SA-05, Room 04-P04, Washington, DC 20522-0504,
Washington DC 205220054
Email: RobinsonYJ@state.gov
Phone: (202) 632-3358
Fax:

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals vary by awards supporting individual activities and are outlined in the solicitations for individual activities.

19.023 Overseas Schools Program

FEDERAL AGENCY:

OFFICE OF OVERSEAS SCHOOLS, STATE, DEPARTMENT OF AUTHORIZATION:

Department of State Basic Authorities Act of 1956, as amended by the Foreign Service Act of 1980., Public Law 96-465, 22 USC Code 2701. The Foreign Assistance Act of 1961 as amended., Section 636c and d

OBJECTIVES:

The Office of Overseas Schools promotes quality educational opportunities at the elementary and secondary school levels for dependents of American citizens carrying out programs and interests of the U.S. Government abroad. The Office also works to increase mutual understanding between the people of the United States and the people of other countries through educational institutions which demonstrate American educational practices, principles and methods employed in the United States.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

A/OPR/OS gives grant assistance to approximately 200 American-sponsored overseas schools. These schools meet criteria outlined in the Foreign Affairs Manual (2 FAM 600), which requires that they be non-profit, non-church related and serve American interests. Schools accepting the grants agree to admit all eligible USG dependents.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Grants are generally restricted to selected overseas schools.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; School; Dependents of American citizens carrying out programs and interests of the U.S. Government abroad are the primary beneficiaries.

Credentials/Documentation:

Schools must meet all requirements as prescribed in the Foreign Affairs Manual, Volume 2, Section 600. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Post and Legal approve school's eligibility to receive a grant. School applies to A/OPR/OS, which decides the amount and purpose(s) of the grant, prepares the documents and sends them to school/post to execute. School signs and returns copies to post and A/OPR/OS.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are generally 12 - 18 months in length. Method of awarding/releasing assistance: other

Reports:

A/OPR/OS has an OMB approved form (DS-2028) that grantees submit to report expenditures. The form is provided at the time the grant is issued. Regional Education Officers from A/OPR/OS visit posts/schools to review performance. There is also regular email dialog between schools, posts and A/OPR/OS on performance matters.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Currently, all A/OPR/OS grants are well below the audit threshold.

Records:

Grantee must maintain grant files for three years from closeout of the grant.

Account Identification:

19-4519-0-0-001.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$12,993,411.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Grants range from \$5000 to \$400,000. Majority of grants are less than \$40,000.

TAFS Codes:

19-4519.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Administrative Officer Joyce L. Picado,
A/OPR/OS2401 C St. NWRoom H328, SA-1,
Washington DC 20522-0103
Email: Picadojl@state.gov
Phone: 202-261-8203
Fax: 202-261-8224

Website Address:

<http://www.state.gov/m/a/os>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.024 Soft Target Program for Overseas Schools

Overseas Schools Security Grant Program (OSSGP),

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Section 29 of the State Department Basic Authorization Act,

OBJECTIVES:

Improve physical security of overseas schools to deter or lessen the impact of terrorism and/or violent crime.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To fund the implementation of physical security upgrades at eligible overseas schools.

Applicant Eligibility:

Other public institution/organization; Non-Government - General; Quasi-public nonprofit institution/organization; Other private institutions/organizations; None

Beneficiary Eligibility:

School; None.

Credentials/Documentation:

Every request for an Overseas Schools Security Grant Program grant must be submitted via an official cable from the applicable overseas post under the authority of the Ambassador. Funding is provided via a grant issued by one of two grant offices within the State Department. The grant office is determined by whether the school is a Department-assisted school or not. 2 CFR 200, Subpart E - Cost Principles applies to this program 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program

Award Procedure:

Regional Security Officers meet with school officials to discuss threats, vulnerabilities, and possible remedial action. RSOs prepare and send an official cable outlining recommendations, rationale, budgetary cost estimates, and school specific data. The Bureau of Diplomatic Security, along with the Bureau of Overseas Buildings Operations jointly review the funding request to verify that it meets the program criteria, that the recommendations are prudent, and that the cost estimates are reasonable.

Deadlines:

October 01, 2021 - September 30, 2022. Requests for Overseas Schools Security Grant Program funding are accepted on a continual basis throughout the year.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Normal grant performance period is 12 - 18 months. Extensions can be granted if determined to be necessary by the Program Office in the Bureau of Overseas Buildings Operations. Method of awarding/releasing assistance: other

Reports:

Reimbursements are requested using SF 270. Final reports are submitted using DS 2028. The Regional Security Officer (RSO) oversees the work performed by the school. Upon completion he/she verifies that all work was properly completed and functions as intended. Only then, may requests for reimbursement be processed at post.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Recipients are required to maintain financial records for three years.

Account Identification:

19-0535-0-0-000.

Obligations:

Project Grants FY 20 \$6,196,220.00; FY 21 est \$7,810,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$1K - \$2.4M Avg: \$800K

TAFS Codes:

19-0535.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Program Coordinator Michelle R. Adams,
1701 Ft. Myer Dr.,
Arlington VA 22209

Email: adamsmr@state.gov

Phone: 7035161615

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.025 U.S. Ambassadors Fund for Cultural Preservation

AFCP, USAFCP,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS, STATE,
DEPARTMENT OF

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), ,
Public Law 87-256, Foreign Assistance Act of 1961, , Public Law 87-195

OBJECTIVES:

1) Support the preservation of cultural heritage overseas2) Show respect for the cultural heritage of other countries3) Support U.S. diplomatic objectives and foreign policy goals

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The U.S. Ambassadors Fund for Cultural Preservation supports activities that contribute to the preservation of cultural sites, cultural objects and collections, and forms of traditional cultural expression (intangible heritage). These activities may involve: in the case of CULTURAL SITES, restoration of an historic building, an archaeological survey as a component of a preservation plan, preservation management planning for a site, or documentation of sites in a region for preservation purposes; in the case of CULTURAL OBJECTS AND COLLECTIONS, conservation treatment for an object or collection of objects;

ASSISTANCE LISTINGS DESCRIPTIONS

needs assessment of a collection with respect to its condition and strategies for improving its state of conservation; inventory of a collection for conservation purposes; the creation of safe environments for storage or display of collections; or specialized training in the preservation and protection of collections; in the case of FORMS OF TRADITIONAL CULTURAL EXPRESSION, documentation and audiovisual recording of traditional music and dance forms for preservation and eventual dissemination, or support for training in the preservation of traditional arts or crafts that are threatened by extinction. An appropriate preservation activity is one that protects the values of the site, object or collection, or form of traditional cultural expression as they are understood by stakeholders. Stakeholders may include national, regional, or local cultural authorities, the local community, and others with vested interests in the site and the outcome of a project.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; The U.S. Ambassadors Fund for Cultural Preservation supports the preservation of cultural heritage in more than 130 eligible countries around the world. Eligible entities may apply through U.S. embassies for fund support for the preservation of cultural sites, cultural objects and collections, and forms of traditional cultural expression (intangible heritage).

Beneficiary Eligibility:

Anyone/general public; Eligible countries: Afghanistan, Albania, Algeria, Angola, Antigua & Barbuda, Armenia, Azerbaijan, Bahamas, Bangladesh, Barbados, Belarus, Belize, Benin, Bhutan, Bolivia, Bosnia & Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Burma, Burundi, Cabo Verde, Cambodia, Cameroon, Central African Republic, Chad, Colombia, Comoros, Congo (Democratic Republic of the), Congo (Republic of), Costa Rica, Cote d'Ivoire, Cuba, Djibouti, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eritrea, Eswatini, Ethiopia, Fiji, Gabon, Gambia, Georgia, Ghana, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana, Haiti, Honduras, India, Indonesia, Iraq, Jamaica, Jordan, Kazakhstan, Kenya, Kiribati, Kosovo, Kuwait, Kyrgyz Republic, Laos, Lebanon, Lesotho, Liberia, Libya, Macedonia, Madagascar, Malawi, Malaysia, Maldives, Mali, Marshall Islands, Mauritania, Mauritius, Mexico, Micronesia, Moldova, Mongolia, Montenegro, Morocco, Mozambique, Namibia, Nauru, Nepal, Nicaragua, Niger, Nigeria, Pakistan, Palau, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Romania, Russia, Rwanda, Saint Kitts & Nevis, Saint Lucia, Saint Vincent & the Grenadines, Samoa, Sao Tome & Principe, Senegal, Serbia, Seychelles, Sierra Leone, Solomon Islands, Somalia, South Africa, South Sudan, Sri Lanka, Sudan, Suriname, Syria, Tajikistan, Tanzania, Thailand, Timor-Leste, Togo, Tonga, Trinidad & Tobago, Tunisia, Turkey, Turkmenistan, Tuvalu, Uganda, Ukraine, Uruguay, Uzbekistan, Vanuatu, Venezuela, Vietnam, Yemen, Zambia, and Zimbabwe.

Credentials/Documentation:

Proposal must include a letter or other document granting official permission to undertake the project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact the Public Affairs Section at the U.S. Embassy in the country where the project is to take place for detailed application instructions.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Organizations interested in applying for support from the U.S. Ambassadors Fund for Cultural Preservation must apply through the Public Affairs Section of the U.S. Embassy in the country where the proposed project is to take place.

Award Procedure:

Following submission through U.S. embassies, applications for support from the U.S. Ambassadors Fund for Cultural Preservation undergo a comprehensive screening, rating, ranking, and selection process. U.S. embassies announce award recipients.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum of 5 years. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit quarterly financial reports and, at minimum, biannual progress reports covering the periods January-June and July-December for the duration of the project. Recipients are required to submit final reports upon completion of projects. Recipients are required to submit, at minimum, biannual progress reports, including SF-PPR cover page, covering the periods January-June and July-December for the duration of the project. Recipients are required to submit final reports upon completion of projects. Recipients are required to submit quarterly financial reports covering the periods January-March, April-June, July-September, and October-December for the duration of the project. Recipients are required to submit final financial reports upon completion of projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are expected to retain records for a period of three years after the submission of the final expenditure report.

Account Identification:

19-0000-0-0-000.

Obligations:

Project Grants FY 18 \$4,296,991.00; FY 19 est \$211,082.00; FY 20 Not Available;

Range and Average of Financial Assistance:

Awards in Fiscal Year 2021 ranged from \$16,000 to \$500,000 with an average award amount of \$179,000.

TAFS Codes:

19-0113.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. For more information, contact the Public Affairs Section of the U.S. embassy in the country where the project is to take place.

Headquarters Office:

Program Officer Martin J. Perschler,,
ECA/P/C, SA-5, C2, Department of State,
Washington DC 20522-0582
Email: perschlermj@state.gov
Phone: (202) 632-6308
Fax: (202) 632-6300

Website Address:

<http://go.usa.gov/jeUC>

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the criteria specified in the annual Notice of Funding Opportunity (NOFO) and based on proposal quality and project merit. Proposals may be deemed ineligible if they do not fully adhere to U.S. Ambassadors Fund for Cultural Preservation program priorities, eligibility and proposal requirements, application procedures, and restrictions.

19.026 Global Peace Operations Initiative

GPOI,

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act, 1961, Section 632, Public Law 112-10

OBJECTIVES:

The GPOI program enhances international capacity to effectively conduct UN and regional peace operations. International peace operations are strategically important to U.S. national security and foreign policy objectives. They represent a cost-effective tool for managing conflict, supporting stabilization in some of the world's most volatile regions, and facilitating peacebuilding efforts, enabling the international community to share the burden of maintaining peace and security. The GPOI program is the primary mechanism through which the United States contributes to strengthening global capacity to conduct peace operations. The program's partnerships emphasize institutional capacity-building relationships and seek to strengthen partner countries' capabilities to self-sufficiently train, deploy, employ, and sustain peacekeeping forces. GPOI activities train and mentor partners to develop critical enabling and rapid deployment capabilities that are greatly needed and consistently requested to fill critical gaps in peace operations; increase the operational capacity of the UN and regional organizations to field more effective peace operations; and seek to develop and reinforce peacekeepers' capabilities and commitment to protect civilians and promote the role of women in peace operations.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Capacity Building and Complaint Processing, Training), Project Grants (Cooperative Agreements or Contracts), Project Grants (Discretionary), Project Grants (Special), Project Grants (for collaborative design of curriculum, production of, Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

No Functional Application/Unlimited Application Funds may be used as approved by State PM/PPA/GPOI in accordance with the Foreign Assistance Act of 1961, as amended (FAA). Activities that may be funded include, but are by no means limited to, peacekeeping capacity building as it relates to training, courses, course materials, staffing, program management, deployment support, construction and refurbishment of peacekeeping centers, equipment requirements, studies, workshops, conferences, and regional exercises. Funds must be used in accordance with the Foreign Assistance Act of 1961, as amended (FAA).

Applicant Eligibility:

Government - General; Federal; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; No additional information to include.

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Interstate; Education Professional; Student/Trainee; Builder/Contractor/Developer; Intrastate; State; Sponsored organization; Public nonprofit institution/organization; Other public

institution/organization; No additional information to include.

Credentials/Documentation:

All applications for assistance must be cleared by PM/PPA/GPOI. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. PM/GPI/POCB must grant approval of the proposal prior to application.

Award Procedure:

PM/PPA/GPOI must grant approval of the proposal prior to application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Applicants are pre-selected based on established criteria.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. All grants are granted at the discretion of PM/GPI/POCB after receiving proposals. Method of awarding/releasing assistance: lump sum Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

A-133 Audits may apply to some organization.

Account Identification:

19-1111-2-5-210.

Obligations:

Project Grants (including individual awards) FY 20 \$1,036,970.00; FY 21 est \$8,121,114.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-2581.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robert C. Mullin,
2025 E Street NW, Suite NE-2060,
Washington DC 20006
Email: mullinrc@state.gov
Phone: 202-453-9316

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.027 Energy Governance and Reform Programs

FEDERAL AGENCY:

BUREAU OF ENERGY RESOURCES, STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act of 1961, Section 634A, Public Law 113-6

OBJECTIVES:

The U.S. Department of States Bureau of Energy Resources (ENR) programs support improved energy sector governance and transparency, technical engagement to build awareness of the challenges involved in developing unconventional resources, and power sector reform and development to support the expansion of access to electricity. Together, these programs counter poverty and lack of development resulting from a lack of access to energy, poor resource development, or both. The programs support both ENRs global diplomatic engagement to strengthen U.S. energy security and specific Administration energy initiatives.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

The Bureau of Energy Resources works with recipients to implement international activities that support improved energy sector governance and transparency. See full announcement at www.grants.gov

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Anyone/general public; See full announcement at www.grants.gov

Beneficiary Eligibility:

Other public institution/organization; See full announcement at www.grants.gov

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See full announcement at www.grants.gov

Award Procedure:

See full announcement at www.grants.gov

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Attempt to complete approval process within 90 days but may vary by grant. See full announcement at www.grants.gov

Appeals:

Not Applicable.

Renewals:

Other. Generally grants are for one year but may vary by program. See full announcement at www.grants.gov

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally grants are for one year but may vary by program. See full announcement at www.grants.gov Method of awarding/releasing assistance: quarterly

Reports:

Quarterly reports typically required. See full announcement at www.grants.gov SF-425 is required. See full announcement at www.grants.gov Progress reports typically required. See full announcement at www.grants.gov Expenditure reports are required. Performance monitoring typically required. See full announcement at www.grants.gov

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Large grant recipients are encouraged to have annual audits.

Records:

Records are to be retained for three years after the recipient sends in the final report.

Account Identification:

19-7234-1-0-370.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$2,583,453.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

\$500,000-\$600,000 to date; will vary.

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

More information on the Bureau of Energy Resources is available at: <http://www.state.gov/e/enr/>

Regional or Local Office:

None.

Headquarters Office:

Levi White,
2201 C St NW,
Washington DC 20037
Email: WhiteLA2@state.gov
Phone: 2026479158

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See full announcement at www.grants.gov

19.029 The U.S. President's Emergency Plan for AIDS Relief Programs

(PEPFAR).

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

OFFICE OF U.S. GLOBAL AIDS COORDINATOR, STATE, DEPARTMENT OF

AUTHORIZATION:

US Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003, , Public Law 108-25

OBJECTIVES:

The Office of the U.S. Global AIDS Coordinator is responsible for coordinating and overseeing the U.S. global response to HIV/AIDS through the U.S. President's Plan for Emergency AIDS Relief (PEPFAR). The objective of this program is to provide support in achieving the HIV/AIDS care, treatment, and prevention goals of PEPFAR as detailed in the PEPFAR Blueprint.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The primary purpose of this program is to support PEPFAR. For individual program announcements, please visit grants.gov.

Applicant Eligibility:

Non-Government - General; Please visit grants.gov for specific program announcements.

Beneficiary Eligibility:

Anyone/general public; Please visit grants.gov for specific program announcements.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Please visit grants.gov for specific announcements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are available in 1-5 year timeframes. See grants.gov for individual announcements. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports may be required on a quarterly, semiannual, or annual basis. See grants.gov for more information on specific grants. Financial reports may be required on a quarterly, semiannual, or annual basis. See grants.gov for more information on specific grants. Progress reports may be required on a quarterly, semiannual, or annual basis. See grants.gov for more information on specific grants. Expenditure reports may be required on a quarterly, semiannual, or annual basis. See grants.gov for more information on specific grants. Performance monitoring may be required on a quarterly, semiannual, or annual basis. See grants.gov for more information on specific grants.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records should be retained for a period of three years.

Account Identification:

19-1031-0-1-000.

Obligations:

Project Grants (Discretionary) FY 20 Not Available; FY 21 est \$1,481,249.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1031.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see grants.gov for specific program information.

Regional or Local Office:

None.

Headquarters Office:

Program Support Officer Elizabeth Baldwin,
SA-29, S/GAC2100 Pennsylvania Ave NW Room 200,
Washington DC 20037

Email: BaldwinEM@state.gov

Phone: (202) 663 2556

Website Address:

<http://www.pepfar.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see grants.gov for specific program information.

19.033 Global Threat Reduction

(GTR),

FEDERAL AGENCY:

BUREAU OF INTERNATIONAL SECURITY AND NONPROLIFERATION,
STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act of 1961, as amended, , Public Law 87-195, 22 USC Code 2349bb-1.

OBJECTIVES:

The U.S. Department of State's Office of Cooperative Threat Reduction (ISN/CTR), part of the Bureau of International Security and Nonproliferation, supports programs aimed at reducing the threat posed by terrorist organizations or states of concern seeking to acquire weapons of mass destruction (WMD) expertise, material, and equipment. ISN/CTR programs, also known as Global Threat Reduction (GTR) programs, accomplish this task by funding a variety of projects that aim to enhance biological, chemical, and nuclear security practices and productively engage scientists with WMD-applicable expertise in civilian pursuits. In addition to continued efforts in the former Soviet Union to engage WMD experts, GTR programs are working to reduce the rapidly growing worldwide WMD threat posed by terrorists, non-state actors, and proliferant states. GTR programs are threat-driven and focus on frontline states and in regions where the terrorist threat is on the rise.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

ISN/CTR periodically awards assistance instruments to facilitate the implementation of specific projects in various partner countries. Past GTR programs include: formal training workshops for foreign government officials on biological, chemical, and nuclear security; assistance developing curricula and training materials that institutionalize scientific ethics; and assessing partner countries' adherence to international best practices on WMD expertise and material security. ISN/CTR will release requests for application (RFAs) on an annual basis and as specific grant/cooperative agreement opportunities are identified.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Please see specific announcement on www.grants.gov.

Beneficiary Eligibility:

Anyone/general public; Please see specific announcement on www.grants.gov.

Credentials/Documentation:

As specified in specific requests for application (RFAs), applicants may be required to submit copies of their most recent negotiated indirect cost rate agreement (NICRA) to support proposed indirect costs. Additionally, applicants may be required to submit resumes, curricula vitae, or other supporting documentation showing that proposed key personnel are qualified to perform their intended roles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed application instructions will be specified in individual requests for application (RFAs). Please see specific announcement on www.grants.gov.

Award Procedure:

ISN/CTR will evaluate applications for GTR assistance agreements consistent with the terms specified in individual requests for application (RFAs).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Please see specific announcement on www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ISN/CTR typically limits performance of individual assistance agreements to twelve months, though performance may be permitted beyond twelve months on a case-by-case basis depending on the complexity of the given project. Method of awarding/releasing assistance: lump sum.

Reports:

ISN/CTR requires program reports at least quarterly, though more frequent and specialized reporting may be required. Specific reporting requirements are detailed in individual requests for application (RFAs). Please see specific announcement on www.grants.gov. ISN/CTR requires progress reports at least quarterly, though more frequent and specialized reporting may be required. Specific reporting requirements are detailed in individual requests for application (RFAs). Please see specific announcement on www.grants.gov. ISN/CTR requires expenditure reports at least quarterly, though more frequent and specialized reporting may be required in certain circumstances. Specific reporting requirements are detailed in individual requests for application (RFAs). Please see specific announcement on www.grants.gov. See individual announcements in www.grants.gov for specific performance monitoring requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Please see specific announcement on www.grants.gov.

Records:

Financial records are required to be maintained for three years.

Account Identification:

19-1112-1-0-075.

Obligations:

Project Grants FY 20 \$248,322,271.00; FY 21 est \$23,384,720.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Typical award amount is approximately \$500,000.

TAFS Codes:

19-1075.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Foreign Affairs Officer Jonathon D. Walz,
HST 3327 - ISN/CTR,2201 C Street NW,
Washington DC 20520
Email: walzjd@state.gov
Phone: 202-736-4961
Fax: 202-736-7698

Website Address:

<http://www.state.gov/t/isn/58381.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for proposal selection is specified in individual requests for application (RFAs). See specific announcement at www.grants.gov.

19.035 Cyber Capacity Building

Cyber Capacity Building,

FEDERAL AGENCY:

OFFICE OF THE COORDINATOR FOR CYBER ISSUES, STATE,
DEPARTMENT OF
AUTHORIZATION:

section 634A of the Foreign Assistance Act of 1961, as amended (FAA), and sections 7015(c) and (f), and section 7009(c) of the Department of State, Foreign Operations and Related Programs Appropriations Act, 2016 (Div. K, P.L. 114-113).

OBJECTIVES:

To enhance global cybersecurity

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Capacity Building and Complaint Processing, Training)

USES AND USE RESTRICTIONS:

To develop and implement cyber capacity building programs. See www.grants.gov for specific application

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; See www.grants.gov for specific application

Beneficiary Eligibility:

Anyone/general public; Scientist/Researchers; See www.grants.gov for specific application

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See www.grants.gov for specific application

Award Procedure:

See www.grants.gov for specific application

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. See www.grants.gov for specific application

Appeals:

Not Applicable.

Renewals:

Other. See www.grants.gov for specific application

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See www.grants.gov for specific application Method of awarding/releasing assistance: other

Reports:

See www.grants.gov for specific application See www.grants.gov for specific application See www.grants.gov for specific application See www.grants.gov for specific application

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See www.grants.gov for specific application

Records:

Records should be kept for three years after the final report See www.grants.gov for specific application

Account Identification:

19-0000-0-1-000.

Obligations:

Project Grants FY 18 Not Available; FY 19 est \$7,580.00; FY 20 Not Available;

Range and Average of Financial Assistance:

See www.grants.gov for specific application

TAFS Codes:

19-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Karese Holmes,
2201 C street, NW,
Washington DC 20520
Email: HolmesKS@state.gov
Phone: (202) 647-5849

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov for specific application

19.036 Cultural Antiquities Task Force

CATF,

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Public Law Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act)-87-256, Public Law Foreign Assistance Act of 1961-87-195, Public Law Consolidated Appropriations Act, 2004-108-199, Public Law Consolidated Appropriations Act, 2017-115-244, Division J, Title VII, Section 7034(d)(3))

OBJECTIVES:

The Cultural Antiquities Task Force (CATF) comprises U.S. federal agencies that share a common mission to combat trafficking in antiquities in the United States and abroad. The CATF supports projects and initiatives that enhance the capabilities of law enforcement personnel, heritage site managers, civil society groups, and museum staff to protect and preserve cultural heritage from theft, looting, and trafficking. Projects are funded through Cultural Property Agreement Implementation Grants (CPAIG) or directly on a case-by-case basis and at the discretion of the CATF.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Successful projects must adhere to international standards for the protection of cultural heritage around specific themes, including fostering cooperation, building best practices, and engaging communities, through the following types of activities:

A. TRAINING: Build capacity of foreign law enforcement and cultural property managers to protect sites and objects. Examples include country-specific or regional workshops on investigation and interdiction techniques, effective record keeping, the role of the judiciary, increased communication between ministries of culture and law enforcement authorities, and training for cultural heritage stewards. B. INVENTORIES: Support the creation and/or maintenance of centralized, digital, and secure inventories of cultural objects and/or sites to better support resource allocation, aid in recovery in cases of theft, and promote public appreciation for cultural heritage preservation and protection. C. SITE SECURITY AND PROTECTION: Support practical and sustainable measures to more effectively secure archaeological sites (on land or underwater), museums, libraries, archives, and other collecting institutions against looting, thefts, and vandalism. Examples include development of site security plans, installation of security systems, and training for guards and/or site stewards. D. PUBLIC EDUCATION AND OUTREACH FOR CRIME PREVENTION: Support strategies to prevent looting and trafficking through heightened public awareness and outreach. Examples include educational materials, community engagement and media programs, storytelling, distance learning courses, 3D models, virtual experiences like games and AR/VR. E. SUPPORTING SUSTAINABLE LIVELIHOODS: Support the protection of cultural heritage through economic development and sustainable alternative sources of livelihood to looting and trafficking. Projects would need to couple support from this grant with other relevant funding streams.

ASSISTANCE LISTINGS DESCRIPTIONS

Examples include the establishment of site stewardship programs with compensated participants and skill building for tour guides and docents, such as English language and storytelling training. Special consideration will be given to proposals with a multi-country/regional focus, those taking advantage of international training centers such as the International Law Enforcement Academies (ILEAs), and those encouraging linkages between government agencies (inter-ministerial initiatives) and between governments and the private sector.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Embassies must vet applicants for eligibility and ensure that the applicants are able to receive U.S. federal assistance. Cultural Property Agreement Implementation Grants (CPAIG) are open to U.S. embassies in countries with which the United States has a signed bilateral cultural property agreement or where emergency import restrictions on archaeological and ethnological material are in effect under the Convention on Cultural Property Implementation Act (19 U.S.C. 2601 et seq). The CATF may also fund projects at its discretion outside of the CPAIG framework in countries where cultural heritage is at risk from theft, looting, and trafficking.

Beneficiary Eligibility:

Anyone/general public;

Credentials/Documentation:

Applications for the Cultural Property Agreement Implementation Grants must include a letter or other document granting official permission to undertake the project. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact the Public Affairs Section at the U.S. Embassy in the country where the project is to take place for detailed application instructions.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Organizations interested in applying for support from the Cultural Antiquities Task Force through a Cultural Property Agreement Implementation Grant must apply through the Public Affairs Section of the U.S. Embassy in the country where the proposed project is to take place. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Following submission through U.S. embassies, applications for support from the Cultural Property Agreement Implementation Grants undergo a comprehensive screening, rating, ranking, and selection process. U.S. embassies announce award recipients.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum of 5 years. Method of awarding/releasing assistance: Assistance customarily released in a minimum of three installments tied to project benchmarks. Method of awarding/releasing assistance: quarterly

Reports:

Recipients will be required to submit program reports. The award document will specify how often these reports must be submitted. Recipients will be required to submit expenditure reports and program reports. The award document will specify how often these reports must be submitted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are expected to retain records for a period of three years after the submission of the final expenditure report.

Account Identification:

19-0000-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$493,006.00; FY 21 est \$500,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

Fiscal Year 2020 financial assistance ranged from approximately \$25,000 to \$130,000 per project, with an average amount of approximately \$64,000 per project.

TAFS Codes:

19-1011.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. For more information, contact the Public Affairs Section of the U.S. embassy in the country where the project is to take place.

Headquarters Office:

Catherine P. Foster,
ECA/P/C, SA-5, 05-M01 U.S. Department of State,
Washington DC 20522
Email: FosterCP@state.gov
Phone: (202) 632-6310
Fax: (202) 632-6300

Website Address:

<https://eca.state.gov/cultural-heritage-center/cultural-antiquities-task-force>

RELATED PROGRAMS:

19.025 U.S. Ambassadors Fund for Cultural Preservation;

EXAMPLES OF FUNDED PROJECTS:

2020 Regional and individual country capacity building trainings for law enforcement and heritage managers; site security upgrades; public education and outreach campaigns; digitization, inventories, and databases. 2021 Regional and individual country capacity building trainings for law enforcement and heritage managers; site security upgrades; public education and outreach campaigns; digitization, inventories, and databases.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for the Cultural Property Agreement Implementation Grants are selected based on the criteria specified in the annual Notice of Internal Funding Opportunity (NOFO) and based on the applicants technical eligibility, responsiveness to the Cultural Antiquities Task Force program objectives, advancement of U.S. public diplomacy, and the quality of the application contents.

19.040 Public Diplomacy Programs

Public Diplomacy Programs,

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

OFFICE OF THE UNDER SECRETARY FOR PUBLIC DIPLOMACY AND PUBLIC AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Fulbright Hayes Act, the Mutual and Cultural Exchange Act of 1961 and PL 256, Foreign Assistance Act of 1961 as amended. USC 22, Smith-Mundt Act - US Information and Education Exchange Act of 1948, PL 80-402,

OBJECTIVES:

To support the achievement of U.S. foreign policy goals and objectives, advance national interests, and enhance national security by informing and influencing foreign publics and by expanding and strengthening the relationship between the people and government of the United States and citizens of the rest of the world.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To support the achievement of U.S. foreign policy goals and objectives, advance national interests, and enhance national security by informing and influencing foreign publics and by expanding and strengthening the relationship between the people and government of the United States and citizens of the rest of the world. See specific announcement in www.grants.gov

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; See specific announcement in www.grants.gov.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; Education Professional; Student/Trainee; Graduate Student; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; Women; Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Local organizations, See specific announcement in www.grants.gov. Citizens throughout the world as determined by post.

Credentials/Documentation:

See specific announcement in www.grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See individual announcement at www.grants.gov.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant. For further details see individual program announcement @ www.grants.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As determined by post and Embassies. For further details see individual program announcement @ www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Other. Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For further details see individual program announcement @ www.grants.gov.

Method of awarding/releasing assistance: other

Reports:

Usually quarterly, further details see individual program announcement @ www.grants.gov. Usually quarterly, further details see individual program announcement @ www.grants.gov. Reporting requirement will be outlined in the grant or cooperative agreement. Reporting requirement will be outlined in the grant or cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per OMB regulations all records should be kept for three years, beginning from the expiration date of the award.

Account Identification:

19-1201-1-3-000.

Obligations:

Project Grants FY 20 \$129,979,440.00; FY 21 est \$128,999,999.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range between \$10,000 and \$5,000,000. Average \$50,000.

TAFS Codes:

19-1201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See Embassy website for additional information.

Headquarters Office:

Carol F. Keith,
2200 C Street, N.W. R/PPR/R, 5th Floor,
Washington DC 20522.
Email: KeithCF@state.gov
Phone: 202-632-3341.

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.087 International Fisheries Commissions

International Fisheries Commissions,

FEDERAL AGENCY:

BUREAU OF OCEANS & INTL ENVIRONMENTAL & SCIENTIFIC AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Executive Order Foreign Operations and Related Program, Section Section 102, Foreign Relations Authorization Act, Title I: Authorization of Appropriations, Section Section 102, Public Law

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

The commissions and organizations funded by this appropriation enable the United State to promote critical U.S. economic and conservation interests. Each facilitates international cooperation by conducting or coordinating scientific studies of fish stocks and other living marine resources and establishing common management measures to be implemented by member governments based on their results. Many also oversee the allocation of member nations' fishing rights.

TYPES OF ASSISTANCE:

Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Assistance is provided for fish and related commissions established by treaty and are primarily assessed.

Applicant Eligibility:

Government - General; The use of fund is to support a wide range of international partnerships responding to diverse climate environmental initiatives.

Beneficiary Eligibility:

Other private institution/organization; Sponsored organization; Other public institution/organization; International Organizations

Credentials/Documentation:

Prior to payment - annual budget, financial & income statements, invoice, assessment, breakout of the parties, and current independent audit statement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

Assistance is provided for fish and related commissions established by international treaty and are primarily assessed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Not Applicable

Appeals:

Not Applicable.

Renewals:

Not Applicable. Not Applicable

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding generally for one year. Method of awarding/releasing assistance: other

Reports:

Annual reports are issued by each commission or organization detailing ongoing programs, accomplishments, and progress towards resource management or scientific goals. Specific project or program reports are also required for sub-elements funds through voluntary contribution on at least an annual basis, with final reports required at the conclusion for time-limited or discrete activities. Annual budget, financial & income statement, invoice, assessment breakout of the parties, and current independent audit statement require. Annual budget, financial & income statement, invoice, assessment breakout of the parties, and current independent audit statement All programs will be monitored by Department of State Program Officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Current independent audit statement.

Records:

Organization to keep records to receive satisfactory independent audit. Records to be kept for 3 years.

Account Identification:

19-0000-0-0-001.

Obligations:

Formula Grants (Apportionments) FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Varies

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Al Hardy,

Bureau of Oceans Environment and Scientific Affairs, Room 2880 HST,
Department of State, 2201 C Street, NW,
Washington DC 20520

Email: HardyA@state.gov

Phone: (202) 647-2198.

Website Address:

<http://www.state.gov/g/oes/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.121 Conflict and Stabilization Operations

CSO,

FEDERAL AGENCY:

BUREAU OF CONFLICT AND STABILIZATION OPERATIONS, STATE,
DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act, , State Department Basic Authorities Act,

OBJECTIVES:

The Bureau of Conflict and Stabilization Operations (CSO) is part of the Under Secretariat for Civilian Security, Democracy, and Human Rights (J) within the Department of State (DOS). CSO's mission is to strengthen U.S. national security by breaking cycles of violent conflict and mitigating crisis in priority countries. Guided by local dynamics, CSO acts quickly to devise sustainable solutions to address the full spectrum of conflict, from prevention to crisis response to stabilization. Building more effective partnerships with capable implementing organizations with experience in conflict prevention and stabilization activities is integral to the CSO mission and as such, CSO seeks to identify qualified partners, facilitators and implementers from among private and nonprofit entities. Funding may be made available for flexible, rapid, targeted, and high-impact awards to organizations to prevent, mitigate and/or respond to conflict situations and stabilize countries around the world.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Some examples of past CSO activities include: Burma: CSO is supporting efforts to increase trust between the Burmese government and ethnic minorities by developing locally-owned programs, including mine-risk education, survivor assistance, and non-technical surveys. Kenya: CSO is working with the U.S. Embassy in Nairobi and other partners to prevent the sort of violence that occurred after the 2007 elections. The goal is to promote free, fair, and peaceful elections that will help ensure Kenya's stability and prosperity. To help achieve this goal, our team is focusing on hotspots and is working alongside Kenyan groups such as Champions of Peace. Sierra Leone: CSO piloted a project from June 2012-January 2013 to strengthen the role of women in conflict mitigation and prevention during the 2012 election cycle in Sierra Leone. The project focused on boosting the involvement of women in conflict prevention and non-violence advocacy at the national and sub-national levels and developing relationships between women and local election authorities to build and strengthen early warning and response networks in the lead up to and during the elections. Honduras: CSO is working to improve security in Honduras by reforming the security sector and visibly reducing violence. CSO works with local partners to increase public confidence in government institutions as well as to decrease impunity by supporting civil society and government institutions to reform the security sector. CSO also attempts to decrease homicide rates and other manifestations of intimidation in urban centers by mobilizing civil society and local leaders. The above examples are illustrative only. CSO recommends applicants view the open application on www.grants.gov for more information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; CSO recommends applicants view the open application on www.grants.gov for more information.

Beneficiary Eligibility:

Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Health Professional; Education Professional; Student/Trainee; Scientist/Researchers; Small Business Person; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Child (6-15); Youth (16-21); Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; CSO recommends applicants view the open application on www.grants.gov for more information.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. CSO recommends applicants view the open application on www.grants.gov for more information.

Award Procedure:

CSO recommends applicants view the open application on www.grants.gov for more information.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Due to the rapid response nature of CSOs engagements, organizations should be prepared to respond to subsequent invitations for proposals within a short time, such as within 10 working days. CSO recommends applicants view the open application on www.grants.gov for more information.

Appeals:

Not Applicable.

Renewals:

Not Applicable. CSO recommends applicants view the open application on www.grants.gov for more information.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

CSO recommends applicants view the open application on www.grants.gov for more information. Method of awarding/releasing assistance: other

Reports:

Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. Quarterly or as stipulated by the award, CSO recommends applicants view the open application on www.grants.gov for more information. CSO recommends applicants view the open application on www.grants.gov for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 OMB Circular A-133 Audit

Records:

Records are maintained for three years after the close-out of the award

Account Identification:

19-0121-0-0-000. 19-7223-1-0-370. 19-0113-0-0-000.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$9,776,250.00; FY 22 est \$2,468,750.00;

Range and Average of Financial Assistance:

CSO recommends applicants view the open application on www.grants.gov for more information.

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Christopher Davis,
2121 Virginia Avenue, Suite 7100,
Washington DC 20037
Email: DavisCM3@state.gov
Phone: 202-472-8504

Website Address:

<http://www.state.gov/j/cso/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CSO recommends applicants view the open application on www.grants.gov for more information.

19.124 East Asia and Pacific Grants Program

U.S. Department of State, Bureau of East Asian and Pacific Affairs, Grant Awards,

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

BUREAU OF EAST ASIAN AND PACIFIC AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Executive Order and Department of State, Foreign Operations and Related Programs Appropriations Act, 2010 (Div. J, Public Law 110-161), sections 7015(c) and section 7071(b), Section 634 of the Foreign Assistance Act of 1961, as amended, Public Law 110-161

OBJECTIVES:

Support the foreign assistance goals and objectives of the Department of State, Bureau of East Asian Affairs, as delineated in the FY Bureau Strategic and Resource Plan. This program is for all grant awards for the entire fiscal year funded through State/EAP.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Discretionary), Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds for grants will comply with the restrictions on Economic Support Funds, as specified in Foreign Assistance Act of 1961, as amended.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Department of State, EAP Bureau does not issue domestic grants. Any and all grants will go to entities that operate overseas in support of our international foreign assistance goals and objectives. See www.grants.gov for specific announcement.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; U.S. Department of State, EAP Bureau does not issue domestic grants. Any and all grants will go to entities that operate overseas in support of our international foreign assistance goals and objectives.

Credentials/Documentation:

Any award made using State/EAP funds require the full compliment of standard forms and budget documents, as dictated by the Department of State, Bureau of Administration and the relevant OMB circulars. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Bureau of East Asian and Pacific Affairs grant awards. This coordination will take place between program officers in the field and the recipient agencies, or between program officers in Washington, DC and the recipient organizations. See www.grants.gov for specific announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See www.grants.gov for specific announcement.

Award Procedure:

Defined by the U.S. Department of State, Administration Bureau in accordance with relevant OMB Circulars. See www.grants.gov for specific announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. See www.grants.gov for specific announcement.

Appeals:

Not Applicable.

Renewals:

Other. Renewals must be coordinated with the State/EAP program officer, the Representative of State/Administration Bureau grants officer, and the recipient. See www.grants.gov for specific announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most grant awards are for a full year time frame, sometimes two years. Method of awarding/releasing assistance: other

Reports:

Depending on the size of the award and the length of the project period, as dictated in the official U.S. Department of State Notice of Award, program/project reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. Depending on the size of the award and the length of the project period, as dictated in the official U.S. Department of State Notice of Award, program/project reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All project/program and financial records must be retained by award recipients for 3 years.

Account Identification:

19-7201-1-0-371.

Obligations:

Project Grants (Discretionary) FY 20 \$26,652,859.00; FY 21 est \$20,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Depends on specific grant award. See www.grants.gov for specific announcement.

TAFS Codes:

19-7201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Meghan Grzelak,
2201 C Street, NW, Room 5313,
Washington DC 20520

Email: GrzelakMB@state.gov

Phone: (202) 647-8359

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project specific, depends on the program. All awards are screened for terror finance and/or support per the relevant U.S. Department of State and federal guidelines and regulations. See www.grants.gov for specific announcement.

19.204 Fishermen's Guaranty Fund

(Section 7),

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

BUREAU OF OCEANS & INTL ENVIRONMENTAL & SCIENTIFIC AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Title Foreign Assistance Act of 1961, 87-195, 75, US Code 22 USC 2151,

OBJECTIVES:

To provide for reimbursement of losses incurred as a result of the seizure of a U.S. commercial fishing vessel by a foreign country on the basis of rights or claims in territorial waters or on the high seas, which are not recognized by the United States. Effective November 28, 1990, the United States acknowledges the authority of coastal States to manage highly migratory species, thus reducing the basis for valid claims under the Fishermen's Protective Act.

TYPES OF ASSISTANCE:

Insurance, Project Grants

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Must be a U.S. citizen and the owner or charterer of a fishing vessel documented as such by the United States. Reimbursement of losses and costs (other than fines, license fees, registration fees and other direct costs which are reimbursable through the Secretary of State) incurred as a result of seizure. The vessel must be documented or certified under the laws of the United States.

Beneficiary Eligibility:

Industrialist/ Business person; U.S. Citizen; Must be a U.S. citizen and the owner or charterer of a fishing vessel documented as such by the United States, and must have paid a premium fee, if one is required, for the year in which the seizure occurs.

Credentials/Documentation:

Proof of documentation of vessel and proof of claim. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. For specific information, please see announcement on www.Grants.gov

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Any owner desiring to enter into an agreement with the Secretary of State under the authority of Section 7 of the Act shall make application to the Office Director, Office of Marine Conservation, Bureau of Oceans and International Environmental and Scientific Affairs, Room 5806, Department of State, Washington, DC 20520-7818. The application shall be accompanied by a fee if required, in the amount established by a fee schedule in effect at the time of application. After agreement is executed, no fee paid or portion thereof is returnable. Application for reimbursement of losses will be accepted only from insured owners.

Award Procedure:

Review and approval will be made by the Assistant Secretary for Oceans and International Environmental and Scientific Affairs, Department of State.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. From 1 to 4 months.

Appeals:

Not Applicable. All determinations under this section are final and are not subject to arbitration or appeal.

Renewals:

Not Applicable. Agreement is renewable upon payment of a premium fee, if required, and completion of current Application for Guaranty Agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From effective date of agreement for balance of fiscal year ending on September 30. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

19-5121-0-2-376.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

22 U.S.C 1971-77; 22 CFR 33, as amended.

Regional or Local Office:

None.

Headquarters Office:

Program Manager,
2201 C Street, N.W.,
Washington DC 20520
Email: OES-PA-DG@state.gov

Phone: (202) 647-4000

Fax:

Website Address:

<https://www.state.gov/about-us-bureau-of-oceans-and-international-environmental-and-scientific-affairs/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.220 Ambassadors' Special Self Help Fund

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Title Department of State, Foreign Operations, and Related Programs Appropriations Act Foreign Assistance Act.,

OBJECTIVES:

The U.S. Ambassadors' Special Self-Help program is a grass-roots assistance program that allows U.S. embassies to respond quickly to local requests for small community-based development projects. This Self-Help fund provides small, short-term grants to community groups that are working to improve the basic economic and social conditions of their communities. Special Self-Help projects help to improve basic economic or social conditions at the local community, or village level, and have long-lived value.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

See www.grants.gov and U.S. Embassy websites for individual notice of funding opportunities.

Applicant Eligibility:

Other private institutions/organizations; Non-profit, community based organizations with the ability to operate legally in country, focused on improving basic norms of a society. Local educational and civic institutions for the improvement of schools, orphanages, and other small-scale associations to benefit those in need.

Beneficiary Eligibility:

Other public institution/organization; Other private institution/organization; See the Notice of Funding Opportunity issued by the U.S. Embassy for the specific country on www.grants.gov.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See www.grants.gov and U.S. Embassy websites for pre-application coordination.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See www.grants.gov and U.S. Embassy websites for application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

See www.grants.gov and U.S. Embassy websites for award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See www.grants.gov and U.S. Embassy websites for approval information.

Appeals:

Not Applicable.

Renewals:

Other. Contact the U.S. Embassy in country for renewals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See www.grants.gov and U.S. Embassy websites for individual notice of funding opportunities. Method of awarding/releasing assistance: other

Reports:

Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. Quarterly reporting is required at a minimum for all awards. Reports must include beneficiary information specifying the number of people affected by Self-Help projects, disaggregated by gender. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov at U.S. Embassy websites for specific notice of funding opportunities. Quarterly reporting is required at a minimum for all awards. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov and U.S. Embassy websites for specific notice of funding opportunities. Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

19-7210-3-7-002.

Obligations:

Project Grants (Discretionary) FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

See www.grants.gov for individual notice of funding opportunities or www.USASpending.gov for previous award information.

TAFS Codes:

19-0721.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for grants and cooperative agreements are distributed in conjunction with the notice of funding opportunity and/or Notice of Award. The program requires significant community contributions in cash, labor, or materials.

Regional or Local Office:

None.

Headquarters Office:

Tawanna Davidson,
2201 C Street NW,
Washington DC 20519
Email: davidson1@state.gov
Phone: 202-647-5774
Fax:

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-political-affairs/bureau-of-african-affairs/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov and U.S. Embassy websites for individual notice of funding opportunities, including selection criteria.

19.221 Regional Democracy Program

Iran Assistance,

FEDERAL AGENCY:

BUREAU OF NEAR EASTERN AFFAIRS, STATE, DEPARTMENT OF AUTHORIZATION:

Section 634 of the Foreign Assistance Act of 1961, as amended, Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, 2010, Public Law 110-161, U.S.C 7015.,

OBJECTIVES:

To support democracy and human rights in the Near East region.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

All funds for grants will comply with the restrictions on foreign assistance, as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcement. This depends on the individual award.

Applicant Eligibility:

Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Non-profit, for-profit organizations or state and local governments interested in partnering with the NEA/AC to promote democratic change in the Near East.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Public nonprofit institution/organization; Other public institution/organization; Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars. See www.grants.gov for specific announcement. OMB Circular No. A-87 applies to this program.

Credentials/Documentation:

Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars. See www.grants.gov for specific announcement. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Bureau of Near Eastern Affairs, Office of Assistance Coordination (NEA/AC). This coordination will take place between program officers in the field and the recipient agencies, or between program officers in Washington, DC and the recipient organizations. See www.grants.gov for specific announcement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NEA/AC solicits proposals via program announcements posted on www.grants.gov, www.grantsolutions.gov, and on the websites of NEA/AC, and posts in the region. Iran programming implementers are selected through open competitions, directed, or limited solicitations. Occasionally, NEA/AC will issue sole source awards. NEA/AC also enters into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies. See www.grants.gov for specific announcement.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant. Award procedures are defined by the U.S. Department of State, Administration Bureau in accordance with relevant OMB Circulars.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals must be coordinated with the State/NEA program officer, the Representative of State/Administration Bureau grants officer, and the recipient.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Although the average length is 24 months, the specific length of time for Iran programming grant may vary from one award to another. Details for each can be found on the respective announcements. All assistance for Centralized Payments, Cash Management, Financial Reporting, Debt Management, and Audit Assistance is with the Department of Health and Human Services, Division of Payment Management one of the two non-DoD grants payment systems for use by the entire Federal Government. Method of awarding/releasing assistance: other

Reports:

Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, progress reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, progress reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Quarterly Financial Review Reports. Quarterly Progress Review Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133 if applicable.

Records:

All project/program and financial records must be retained by awards recipients for three years.

Account Identification:

19-4401-0-1-150.

Obligations:

Project Grants FY 20 \$32,317,612.00; FY 21 est \$7,802,697.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The range is \$500,000 to \$2,500,000 with an average of \$1,500,000.

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Grants Officer Jenny Cho,
2430 E Street NW,
Washington DC 20037
Email: ChoJ@state.gov
Phone: (202) 776-8691
Fax: (202) 776-8500.

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project specific, depends on the program. All awards are screened for terror finance and/or support per the relevant U.S. Department of State and federal guidelines and regulations. See www.grants.gov for specific announcement.

19.222 Trans-Sahara Counterterrorism Partnership (TSCTP)

Trans-Sahara Counterterrorism Partnership (TSCTP),

FEDERAL AGENCY:

BUREAU OF NEAR EASTERN AFFAIRS, STATE, DEPARTMENT OF AUTHORIZATION:

Department of State, Foreign Operations, and Related Programs Appropriations Act, 2015, , Public Law 113-235

OBJECTIVES:

The Trans-Sahara Counterterrorism Partnership (TSCTP) policy objective is to create an environment that will disrupt the ability of terrorists, violent extremist organizations (VEOs), and traffickers to operate by promoting regional government and non-government capacity building partnerships in the Sahel and Maghreb

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

TSCTP programs are designed to be proactive and preventive in nature, to combat terrorism and violent extremism through both civilian and military efforts. These programs are structured in a way that empowers local law enforcement authorities to effectively address the threat of terrorist organizations, while also respecting national sovereignty and cultural sensitivities of countries in the region.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals), Small business (less than 500 employees), Profit organization, Private nonprofit institution/organization (includes institutions of higher education and hospitals)

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Public nonprofit institution/organization; Other public institution/organization; Small business, Profit organization, Private nonprofit institution/organization, Public nonprofit institution/organization, Other public institution/organization

Credentials/Documentation:

No Credentials or documentation are required. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.. See www.Grants.gov for individual program announcements.

Award Procedure:

See www.grants.gov for individual notice of funding opportunities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 180 days. See www.grants.gov for individual notice of funding opportunities.

Appeals:

Not Applicable.

Renewals:

Other. Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See www.grants.gov for individual notice of funding opportunities and time requirements/restrictions. Method of awarding/releasing assistance: other

Reports:

Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Please see grants.gov listing for audit requirements.

Records:

Recipients must maintain all grant files for three years after the final report date. Please refer to 2 CFR 200 for specific records retention requirements.

Account Identification:

19-1015-1-0-371. 19-4401-1-0-371.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Agreements (Discretionary Grants) FY 18 \$582,625.00; FY 19 Not Available; FY 20 Not Available;

Range and Average of Financial Assistance:

See www.grants.gov for individual notice of funding opportunities or www.USASpending.gov for previous award information.

TAFS Codes:

19-0019. 19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for grants and cooperative agreements are distributed in conjunction with the notice of funding opportunity and/or Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Specialist Christopher Tringale,

2430 E Street, NW,

Washington DC 20520

Email: TringaleCS@state.gov

Phone: (202) 472 8744

Website Address:

No Data Available.

RELATED PROGRAMS:

19.979 Regional Peace and Security;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals should be responsive to the substantive provisions set forth in each notice of funding opportunity listed on www.grants.gov.

19.223 South Sudan and Sudan Assistance Program

South Sudan and Sudan Assistance Program,

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Title Foreign Assistance Act of 1961,

OBJECTIVES:

The goal of the Sudan and South Sudan Assistance Program is to advance U.S. interests tied to support a Sudan and South Sudan which are at peace with themselves and their neighbors; a peaceful, political resolution to the civil war in South Sudan; a peaceful, political resolution to the conflict in the Darfur region of Sudan; accountability for gross human rights violations and abuses committed during Sudans and South Sudans civil wars; and the promotion of open and transparent media in South Sudan.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

See www.grants.gov for individual notice of funding opportunities for individual project information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations;

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization;

Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization;

Credentials/Documentation:

See www.grants.gov for individual notice of funding opportunities for eligibility requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

See www.grants.gov for individual notice of funding opportunities for award information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See www.grants.gov for individual notice of funding opportunities for individual project information. Method of awarding/releasing assistance: other

Reports:

Quarterly financial reports using form SF-425 Quarterly financial reports using form SF-425 Quarterly performance reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 600

Records:

Account Identification:

19-7210-3-7-002. 19-7210-2-1-000.

Obligations:

Project Grants FY 19 \$375,700.00; FY 20 est \$2,158,000.00; and FY 21 est \$1,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-0721. 19-0721.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Theodore Senasu,
2201 C St NW,
Washington DC 20520
Email: senasutt@state.gov
Phone: 202-647-4752

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.224 Nonproliferation and Disarmament Fund

(NDF),

FEDERAL AGENCY:

BUREAU OF INTERNATIONAL SECURITY AND NONPROLIFERATION,
STATE, DEPARTMENT OF

AUTHORIZATION:

Section 504 of the FREEDOM Support Act (P.L. -102-511) and is funded each year by the "Nonproliferation, Anti-terrorism, Demining and Related Programs" (NADR) appropriation in the annual Foreign Operation, Export financing, and Related Programs appropriations Acts., , Public Law 102-511

OBJECTIVES:

The Nonproliferation and Disarmament Fund (NDF) provides a means for the U.S. Government to respond rapidly to nonproliferation and disarmament opportunities, circumstances or conditions that are unanticipated or unusually difficult, but of high priority. Activities include assistance worldwide for various nonproliferation and disarmament projects that involve (a) efforts to halt the spread of weapons of mass destruction, their delivery systems, and conventional weapons systems, and (b) the destruction of existing weapons, delivery systems, and production facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

These funds will be used to allow the U.S. Government to respond rapidly to unanticipated, high-priority nonproliferation and disarmament requirements/opportunities that promote bilateral and multilateral nonproliferation and disarmament activities, as it relates to weapons of mass destruction (WMD) and conventional weapons.

Applicant Eligibility:

Government - General: All program grants must be related to and support nonproliferation and disarmament efforts to be eligible.

Beneficiary Eligibility:

Federal; See grants.gov or Grantsolutions.gov

Credentials/Documentation:

See grants.gov or Grantsolutions.gov; Any recipient with experience and credentials in security, eliminating, or disabling WMD, conventional weapons or other dangerous weapons and their means of delivery. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Please see grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

See grants.gov or Grantsolutions.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Dependent upon mission objectives, funds, program results, grantee capability with final determination by the Department of State Grants Officer and Program Manager.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Dependent upon grant. See grants.gov for specific requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are no-year and are available until expended. Method of awarding/releasing assistance: other

Reports:

Quarterly Program and Financial Reports As applicable. -- Quarterly narrative and financial reports are required as well as a final project report with clear and measurable deliverables. --

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 All international grantees that are not bound by OMB Circular No. A-133 must agree in their terms and conditions to similar audit requirements. Grants will not be awarded to organizations that refuse to comply with audit requirements.

Records:

All records must be retained for a minimum of three (3) years from the date the program office verifies that all deliverables are successfully completed, funds accounted for and validated for closeout. If an investigation is started before this period ends, all records must be kept for 3 years after the close of the investigation.

Account Identification:

19-1110-7-5-000.

Obligations:

Project Grants (for specified projects) FY 20 Not Available; FY 21 est \$5,500,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

\$100,000 - \$5,000,000

TAFS Codes:

19-1075.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Financial Management Specialist Melanie McIntyre-Pote,
Main State HST Room 3208,
Washington DC 20520
Email: McIntyrePoteMS@state.gov
Phone: (202) 957 4392
Fax: 2026472951

Website Address:

<http://www.state.gov/t/isn/offices/c55414.htm>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Grants.gov.

19.225 Africa Regional Democracy Fund

Africa Regional Democracy Fund (ARDF),

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Title Foreign Assistance Act of 1961,

OBJECTIVES:

This program supports democratic processes and promotes strong democratic norms including the advancement of civil and political rights, freedom of expression, association, peaceful assembly, and access to information, and support the fair and impartial establishment and implementation of policies and laws. The program promotes politically engaged and informed citizenries, active civil society organizations, organized labor, independent and open media, and representative political parties, and supports the implementation of participatory political processes by state institutions, including at the sub-national level.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

See www.grants.gov for individual notice of funding opportunities.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; See www.grants.gov for individual notice of funding opportunities and eligibility requirements.

Beneficiary Eligibility:

Local; Public nonprofit institution/organization; Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization;

Credentials/Documentation:

See www.grants.gov for individual notice of funding opportunities and eligibility requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

See www.grants.gov for individual notice of funding opportunities for information about procedures for individual opportunities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to five years Method of awarding/releasing assistance: other

Reports:

Quarterly financial reports using form SF-425 Quarterly financial reports using form SF-425 Quarterly progress reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 600

Records:

Account Identification:

19-7210-3-7-002.

Obligations:

Project Grants FY 19 \$4,270,000.00; FY 20 est \$8,290,000.00; and FY 21 est \$8,290,000.00;

Range and Average of Financial Assistance:

See www.grants.gov for individual notice of funding opportunities or www.USASpending.gov for previous award information.

TAFS Codes:

19-0721.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ben Flowers,
2201 C St NW,
Washington DC 20520
Email: flowersb@state.gov
Phone: (202) 647 5803
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 ARDF funded capacity building interventions and civic and voters education for women and people with disabilities, with a view to ensuring their effective participation in Central African Republics electoral process of 2020-2022 The project also aims at supporting civil society organizations in advocating for the inclusion of people with disabilities in the electoral process.

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov for individual notice of funding opportunities for selection criteria

19.300 Program for Study of Eastern Europe and

ASSISTANCE LISTINGS DESCRIPTIONS

the Independent States of the Former Soviet Union

(Title VIII).

FEDERAL AGENCY:

BUREAU OF INTELLIGENCE AND RESEARCH, STATE, DEPARTMENT OF AUTHORIZATION:

Research and Training for Eastern Europe and the Independent States of the Former Soviet Union Act of 1983, Public Law 90-164, Title VIII, 97 Stat. 1948, as amended by Public Law 103-199.,

OBJECTIVES:

Develop, support, and deepen American expertise in the countries of Eastern Europe and the independent states of the former Soviet Union; Develop American proficiency in the critical languages of Eastern Europe and the independent states of the former Soviet Union; Bring regional and foreign language expertise to the service of the U.S. government and contribute to U.S. knowledge and national capability, and advance U.S. foreign policy goals and foster international collaboration and understanding.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For grants to nonprofit organizations and institutions of higher education with interest and expertise in conducting research and training programs on the independent states of the former Soviet Union and Eastern Europe. These organizations act as intermediaries for the Federal funds by conducting their own national, open competitions to make awards at the graduate level and above to individual students, scholars or other institutions.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be nonprofit organizations or institutions of higher education with an established track record in conducting research and training programs on the independent states of the former Soviet Union and countries of Eastern Europe. These organizations must run national programs of advanced research; graduate training; language training; public dissemination of research data, methods, and findings; contact and collaboration among Government and private specialists; and/or firsthand experience of the area by U.S. specialists.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Institutions of higher education or U.S.-based non-profit organizations that meet the provisions outlined in Internal Revenue Code section 26 USC (501) (c) (3) may apply.

Credentials/Documentation:

Applications must include vitae of professional staff. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Please see the grants.gov list for pre-application requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The call for applications is published on Grants.gov. Applications must be prepared and submitted on the system. The basic application includes a project narrative which should not exceed 15 double-spaced pages (in Times New Roman 12 pt font). It must be accompanied by a one-page executive summary, a budget, budget notes, statement of work (SoW) and vitae of professional staff. The Notice of Funding Opportunity will contain a complete list of required documents and application deadlines. Applicants may submit proposals electronically via SAMS Domestic (<https://mygrants.servicenow.com>) or via Grants.gov (www.grants.gov) by 11.59 p.m. EST, Sunday, May 23, 2021. The grantor will not accept proposals submitted via email, fax, the postal system,

delivery companies, or couriers. Applicants using SAMS Domestic for the first time should complete a user organization registration. To register with SAMS Domestic, go to <https://mygrants.service-now.com> and follow the create an account link.

Award Procedure:

A Federal Advisory Committee mandated by the legislation reviews each application and rates it according to its responsiveness to the goals and review criteria published in the Notice of Funding Opportunity (NOFO). To be technically eligible, an application must demonstrate: a program that is national in scope; the organization's established track record in administering a research and training program; mechanisms for sharing the cost of the program; how it intends to advertise its program to the broad constituency of scholars; how successfully an organization targets individuals most likely to make a career commitment to the study of the region; etc. The Committee makes funding recommendations to the Assistant Secretary of State for Intelligence and Research for approval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 days following the deadline, the Advisory Committee will meet in public to evaluate applications and make funding recommendations. Assuming the program's funding is in place, the Advisory Committee will forward its recommendations to the Assistant Secretary of State for Intelligence and Research who usually acts on them within two weeks. All applicants then are notified regarding their funding status.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Each competition is open and national; applicants may submit a single proposal in response to each RFGP.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant recipients have approximately three (3) years to spend their awards depending upon the appropriation legislation. Grant recipients draw down on the grant as needed by requesting funds through the Payment Management System (PMS). Method of awarding/releasing assistance: See individual grants application package in www.grants.gov Method of awarding/releasing assistance: other

Reports:

Grant recipients are required to provide quarterly Programmatic (narrative) reports and quarterly Federal Financial Reports (SF 425) and Financial Status Reports. Grant recipients are required to provide quarterly Federal Financial Reports (SF 425) and Financial Status Reports. Grant recipients are required to provide quarterly Programmatic (narrative) reports and quarterly Federal Financial Reports (SF 425) and Financial Status Reports. Grant recipients are required to provide quarterly Programmatic (narrative) reports and quarterly Federal Financial Reports (SF 425) and Financial Status Reports. Grant recipients are required to provide quarterly Programmatic (narrative) reports and quarterly Federal Financial Reports (SF 425) and Financial Status Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Grant recipients are required to keep standard accounting records for 3 years beyond the life of the grant.

Account Identification:

72-1093-0-1-150.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Project Grants FY 20 \$1,991,496.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

\$150,000 - \$600,000

TAFS Codes:

19-1900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Foreign Affairs Officer Sidni Dechaine,

2201 C Street, NW,

Washington DC 20520

Email: dechaines@state.gov

Phone: 202 7364562

Website Address:

<http://www.state.gov/s/intr/grants>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the Notice of Funding Opportunity for the application review process and criteria.

19.301 The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs

Global Partnership Initiative,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY OF STATE, STATE, DEPARTMENT OF AUTHORIZATION:

Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, Section 634, The Foreign Assistance Act of 1961, as Amended, Section 634, Public Law 111-161, USC Code 7015.

OBJECTIVES:

Support the foreign assistance goals and objectives of the Department of State, Secretary's Office of the Global Partnership Initiative (S/GPI), as delineated in the FY Bureau Strategic and Resource Plan and other strategic planning documents. This program is for all grant awards for the entire fiscal year funded through State/S/GPI. See individual announcements in www.grants.gov

TYPES OF ASSISTANCE:

Project Grants, Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds for grants will comply with the restrictions on Economic Support Funds (ESF), as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcements and individual application. This depends on the individual award. In some cases, program activities are precluded in particular countries due to legislative restrictions.

Applicant Eligibility:

Non-Government - General; Uses of assistance vary according to the individual award. Please see www.grants.gov for specific announcement.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Other public institution/organization; U.S. Department of State, Secretary's Office of the Global Partnership Initiative, issues grants to domestic and international

entities that implement programs abroad. Primary beneficiaries are residents of any community abroad where program activities are taking place. See www.grants.gov for specific application.

Credentials/Documentation:

Any award made using State/S/GPI funds requires the full complement of standard forms and budget documents (including Congressional Notifications), as delineated by the U.S. Department of State, the Bureau of Administration, and relevant OMB circulars. See www.grants.gov for specific announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Office of the Global Partnership Initiative. This coordination will take place between program officers in Washington, D.C. and the recipient organizations, or between program officers in the field and recipient organizations. See individual announcements in www.grants.gov

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See individual announcements in www.grants.gov

Award Procedure:

Defined by the U.S. Department of State, Administration Bureau in accordance with the relevant OMB Circulars. See www.grants.gov for specific announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals must be coordinated with the State/S/GPI program officer, the Representative of the State/Administration Bureau grants officer, and the recipient. See individual announcements in www.grants.gov

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most grant awards are from one to three years in length. See www.grants.gov for specific announcement. Method of awarding/releasing assistance: other

Reports:

Project/program reports are required to be submitted on a quarterly basis. Cash reports are required to be submitted as part of the quarterly financial reports. Progress reports are required to be submitted on a quarterly basis. Standard expenditure reports (SF-FFR) are required to be submitted on a quarterly basis. Quarterly progress reports should reflect the grantee's continued focus on measuring the project's impact on the overarching goals or problems the project set out to address. An assessment of the overall project impact, as appropriate, should be included in each quarterly report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Please see grants.gov application for audit requirements.

Records:

All project/program documents and financial records must be retained by awards recipients for three years.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

19-1210-3-7-001.

Obligations:

Project Grants FY 18 \$997,548.00; FY 19 est \$21,912.00; FY 20 Not Available;

Range and Average of Financial Assistance:

Grants can range from small amounts (thousands of dollars) up to \$1 million.

TAFS Codes:

19-1912.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants must follow the scope of work and terms and conditions as stated in the grant agreement concluded between grantees and S/GPI. See individual announcements in www.grants.gov

Regional or Local Office:

Headquarters Office:

Thomas Debass,
2201 C Street NW, Ste 6817,
Washington DC 20520
Email: debass@state.gov
Phone: 2026479097

Website Address:

<http://www.state.gov/partnerships>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov for specific announcement and criteria for selection.

19.303 Decrypting PRC Industrial and Technology Policy

Decrypting PRC Industrial Policy,

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Title Foreign Assistance Act of 1961, Part 7015(c), 7015(f), 7015(h)(2), and 7043(c)(2), Section 634A

OBJECTIVES:

Funds will support a comprehensive research plan to compile, categorize, analyze, and publicize methodologically sound information on Peoples Republic of China (PRC) international economic influence. The information will allow developing countries, including in South America, Africa, and the Indo-Pacific, to assess more accurately the risks associated with PRC technology acquisition and deployment plans, and help them build alliances to combat unfair economic practices. This project will fill persistent knowledge gaps and produce a high-resolution map of all major PRC Government industrial and advanced technology initiatives, using proven research methods to identify detailed information on priorities, implementing institutions, and policy tools. It will provide a detailed understanding of how high-level, high-profile PRC policy initiatives translate into discrete actions and support for PRC industry throughout all levels of government, particularly as they interface with PRC private and state-owned firms. The project will significantly expand global understanding of PRC digital investments, Military-Civil Fusion, and other technology innovation efforts. The implementing partner will provide this information through outreach to targeted countries. Activities may include conferences for ESF-eligible countries globally that convene the research teams to share, evaluate and cross validate results. The programs research outputs will be publicly available and include information detailing PRC cyber, ICT, and emerging technology plans and intentions, easily accessible by governments, academics, journalists, and NGOs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

The assistance may be used by highly trained specialists and subject matter experts to conduct advanced research.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Experts in PRC industrial and technology policy. Must have demonstrated record of high-caliber production on these topics, particularly with a focus on civilian sectors.

Beneficiary Eligibility:

Public nonprofit institution/organization; Experts in PRC industrial and technology policy. Must have demonstrated record of high-caliber production on these topics, particularly with a focus on civilian sectors.

Credentials/Documentation:

Experts in PRC industrial and technology policy. Must have demonstrated record of high-caliber production on these topics, particularly with a focus on civilian sectors. The implementer will sub-award for financial modeling through a qualified consultant and/or vendor, subject to grantor approval. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

A Department of State Financial Management Officer must allocate and commit funds through all applicable authorities before successful proposals receive award funds. A Department of State Grants Officer will ensure grantees meet all applicable requirements prior to authorizing the commitment of funds. Successful applicants will receive a Form DS_1909 Federal Assistance Award (FAA) coversheet from the Grants Officer. The FAA will include the award specifics that accompany Department of State federal assistance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project activities may extend from one to five (1-5) years. Method of awarding/releasing assistance: The Department of State will allocate and commit funds through all applicable authorities before successful proposals receive award funds. Successful applicants will receive a Form DS_1909 Federal Assistance Award (FAA) coversheet from the Grants Officer. The FAA will include the award specifics that accompany Department of State federal assistance. Method of awarding/releasing assistance: other

Reports:

The Department of State requires that grantees submit quarterly financial and performance reports, as well as final financial and performance reports within 90 days after the grant period ends. The Department of State requires that grantees submit quarterly financial and performance reports, as well as final financial and performance reports within 90 days after the grant period ends. The Department of State requires that grantees submit quarterly financial and performance reports, as

ASSISTANCE LISTINGS DESCRIPTIONS

well as final financial and performance reports within 90 days after the grant period ends. The Department of State requires that grantees submit quarterly financial and performance reports, as well as final financial and performance reports within 90 days after the grant period ends. The Department of State requires that grantees submit quarterly financial and performance reports, as well as final financial and performance reports within 90 days after the grant period ends.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records pertinent to a sponsored project must be retained for a period of three (3) years from the date of submission of the final expense report.

Account Identification:

19-0720-0-1-037.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$2,500,000.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

\$250,000 - \$2,500,000 for award/subawards. The implementer will sub-award for financial modeling through a qualified consultant and/or vendor, subject to grantor approval.

TAFS Codes:

19-1037.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jason Weinberg,
2201 C. St., NW,
Washington DC 20520
Email: INR-CCIF@state.gov
Phone: 202 647-4007
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.322 Economic Statecraft

FEDERAL AGENCY:

BUREAU OF ECONOMIC AND BUSINESS AFFAIRS, STATE,
DEPARTMENT OF

AUTHORIZATION:

State Department Basic Authorities Act of 1980, , Statue 75-890, Fulbright Hayes Act, the Mutual and Cultural Exchange Act of 1961, , Public Law 256, Smith-Mundt Act, U.S. Information and Education Exchange Act of 1948, , Public Law 80-402, Foreign Assistance Act of 1961, , Statue 75, Public Law 87-195, USC Code 22 USC 2151.

OBJECTIVES:

To place economics and market forces at the center of U.S. foreign policy by both harnessing global economic forces to advance Americas foreign policy and employing the tools of foreign policy to shore up our economic strength.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects that place economics and market forces at the center of U.S. foreign policy by both harnessing global economic forces to advance Americas foreign policy and employing the tools of foreign policy to shore up our economic strength. Projects can range in topic and method and may include central themes such as addressing consumer concerns; U.S. business practices and values; renewable energy; good governance and anti-corruption; travel and tourism; regional economic integration; elevating women as economic multipliers; and entrepreneurship.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; For specific information, please see announcement on www.Grants.gov

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; For specific information, please see announcement on www.Grants.gov

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For specific information, please see announcement on www.Grants.gov

Award Procedure:

For specific information, please see announcement on www.Grants.gov

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. As determined by offices and overseas posts. For specific information, please see announcement on www.Grants.gov

Appeals:

Not Applicable.

Renewals:

Other. Based on program needs and availability of funding. For specific information, please see announcement on www.Grants.gov

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For specific information, please see announcement on www.Grants.gov Method of awarding/releasing assistance: other

Reports:

In general, at a minimum program and financial reports are required quarterly. For specific information, please see announcement on www.Grants.gov

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per OMB Regulations, all records should be kept for three years, beginning from

ASSISTANCE LISTINGS DESCRIPTIONS

expiration date of the award.

Account Identification:

19-3401-1-3-003.

Obligations:

Project Grants FY 20 \$1,206,367.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

For specific information, please see announcements on www.Grants.gov.

TAFS Codes:

19-3401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Alexander Gupman,
2201 C Street, RM 3741,
Washington DC 20520

Email: GupmanAM@state.gov

Phone: 2026474032

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-economic-growth-energy-and-the-environment/bureau-of-economic-and-business-affairs/>

RELATED PROGRAMS:

19.662 Fiscal Transparency Innovation Fund;

EXAMPLES OF FUNDED PROJECTS:

2020 Provide specialized, technical ICT training to designated officials primarily from developing countries who enable, regulate, and oversee their national communications infrastructure and are responsible for managing and regulating the digital economy. Support countries in improving their fiscal transparency and in establishing meaningful and practical participation mechanisms in fiscal policies

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.345 International Programs to Support Democracy, Human Rights and Labor

FEDERAL AGENCY:

BUREAU OF DEMOCRACY, HUMAN RIGHTS AND LABOR, STATE,
DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act of 1961, as amended, Department of State, Foreign Operations, and Related Programs Appropriations Act 2009,

OBJECTIVES:

DRL funds targeted democracy and human rights programs to address human rights abuses globally, where fundamental rights are threatened; open political space in struggling or nascent democracies and countries ruled by authoritarian regimes; support civil society activists worldwide; and protect at-risk populations, including women, religious minorities, disabled, indigenous, and lesbian, gay, bisexual and transgendered (LGBT) people. In addition, DRL funds efforts across the globe to facilitate successful and sustained transitions to democracies, where civil and political rights are respected, and there is a process for transitional justice, accountability and reconciliation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Bureau of Democracy, Human Rights and Labors Human Rights and Democracy Fund (HRDF) supports innovative, venture capital programs that uphold democratic principles, support and strengthen democratic institutions,

promote human rights, and build civil society. DRL focuses its work in countries with egregious human rights violations, where democracy and human rights advocates are under pressure and where governments are undemocratic or in transition. The Bureau's programmatic strategy is to primarily work with local civil society, including independent media, to support those working to strengthen democratic institutions and promote accountability.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Please see solicitation on www.grants.gov for additional eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Public nonprofit institution/organization; Please see solicitation on www.grants.gov for additional eligibility criteria.

Credentials/Documentation:

Please see solicitation on www.grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Please see solicitation on www.grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see solicitation on www.grants.gov.

Award Procedure:

Please see solicitation on www.grants.gov.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for up to 3 years. HRDF funds are accessible through the Payment Management System operated by the Department of Health and Human Services. Method of awarding/releasing assistance: other

Reports:

Program progress reports are required on a quarterly basis under the grant agreement. Financial reports are required on a quarterly basis under the grant agreement. Progress reports are required on a quarterly basis under the grant agreement. Expenditure reports are required on a quarterly basis under the grant agreement. Please see solicitation on www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

19-7290-0-3-060. 19-7290-1-0-101. 19-1991-1-1-210. 19-7290-1-0-210. 19-7290-1-0-371.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants FY 20 \$395,428,597.00; FY 21 est \$250,275,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Please see solicitation on www.grants.gov.

TAFS Codes:

19-7290.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see solicitation on www.grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Program Management Chloe O'Kelly,

2201 C Street, NW,

Washington DC 20520

Email: OKellyCA@state.gov

Phone: 202-663-3672

Website Address:

<http://www.state.gov/g/drl/p/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see solicitation on www.grants.gov.

19.400 Academic Exchange Programs - Graduate Students

The Fulbright Student Program includes program components for U.S. students, foreign students, Foreign Language Teaching Assistant Program, Fulbright English Teaching Assistant Program, Junior Faculty Development and Regional Specialty Programs in the Western Hemisphere, Fulbright-Fogarty Fellows, and the Fulbright-National Geographic Storytelling Fellows program.,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, , Public Law 87-256, 22 USC Code 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of the Fulbright Student Program is to promote mutual understanding by providing opportunities for American and foreign students to study, do research, and/or serve as teaching assistants of their native languages abroad.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The flagship international exchange program sponsored by the United States Government, the Fulbright Program is designed to increase mutual understanding between the people of the United States and the people of other countries. Funding is provided to non-profit organizations to support their work in designing and administering programs as well as coordinating program logistics. Funding to those organizations supports activities such as publicity and recruitment, screening of applications, communication with participants, payment of participant costs, and the provision of enrichment activities. The Fulbright English Teaching Assistant (ETA) Program, an element of the Fulbright U.S. Student Program, places recent college graduates and young professionals as English teaching assistants in K-12 schools, universities, and language-learning institutions overseas. The Fulbright Foreign Language Teaching Assistant (FLTA) Program brings early career educators from all over the world to the U.S. to refine their teaching skills, increase their English language proficiency and extend their knowledge of the cultures and customs of the United States while engaging in non-degree studies at accredited post-secondary U.S. educational institutions. FLTAs teach or assist in the instruction of language courses, supervise language labs, and lead language table discussions and may also act as resource persons and cultural representatives, as they represent their language and culture in the United States. The Junior Faculty Development and Regional Specialty Programs in the Western Hemisphere provide opportunities for faculty from regional provinces across the region to pursue graduate degrees at U.S. universities. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Announcements are posted on the Grants.gov and the U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov announcements. Method of awarding/releasing assistance: other

Reports:

Assistance is awarded/released through the Department's central financial management database. Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200 Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S.

Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Project Grants (Cooperative Agreements) FY 19 \$98,794,786.00; FY 20 est \$98,794,786.00; FY 21 est \$98,794,786.00;

Range and Average of Financial Assistance:

\$5,493,700 to \$72,645,572.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2020-21, there were 2,110 U.S. Fulbright Students (including 1,259 English Teaching Assistants) and , 3,996 Fulbright Foreign Students, (including 257 Foreign Language Teaching Assistants) selected to participate in the student program. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Leigh Sours, Senior Policy and Planning Officer,
U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4N06, Washington, DC 20037,
Washington DC 20037
Email: SoursLA@state.gov
Phone: (202) 632-3235
Fax: Thomas Ingalls (Faculty Development and Regional Specialty Programs in the Western Hemisphere),
Program Officer, U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4G01, Washington, DC 20037,
Washington DC 20037
Email: IngallsTD@state.gov
Phone: (202) 632-6044
Fax:

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.401 Academic Exchange Programs - Scholars

The Fulbright Scholar Program includes program components for U.S. scholars, visiting foreign scholars, the Scholar-in-Residence Program, Fulbright Public Policy Fellowships, Global Scholar Awards, Arctic Initiative, the International Education Administrators' Seminars, U.S. Specialists Program, and Study of the U.S. Institutes for Scholars.,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., , Public Law 87-256, 22 USC Code 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural

ASSISTANCE LISTINGS DESCRIPTIONS

Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of leaders and future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of individuals who have first-hand international experience with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among people globally. The Fulbright Scholar Program offers opportunities for American and foreign scholars to conduct research, lecture, and/or consult with other scholars and institutions in the United States and abroad. The Study of the U.S. Institutes for Scholars strengthens curricula and improves the quality of teaching about the United States in academic institutions overseas.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The flagship international exchange program sponsored by the United States Government, the Fulbright Program is designed to increase mutual understanding between the people of the United States and the people of other countries. Funding is provided to non-profit organizations, colleges, and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding supports publicity and recruitment, screening of applications, communication with participants, payment of individual grantee costs, and the provision of enrichment activities. Study of the U.S. Institutes (SUSIs) for Scholars and Secondary Educators are five to six week academic programs designed to strengthen curricula and improve the quality of teaching about the United States in academic institutions overseas. Hosted by U.S. universities and colleges, foreign university faculty, secondary educators, and other scholars spend approximately four weeks in an academic residency followed by a complimenting one to two-week study tour to another region of the United States. During the Institutes, scholars engage in rigorous academic coursework, seminar, and panel discussions; interact and establish networks with American scholars; meet with experts in the respective disciplines, visit civic institutions, and pursue related research interests. The Fulbright Specialist Program (FSP) provides an on-demand, rapid response exchange resource which sends highly qualified U.S. academics and professionals to host institutions abroad for short term visits of 2-6 weeks with a goal of sharing expertise and establishing partnerships which benefit institutions and communities both in the United States and overseas. Specialists carry out projects at host institutions that align with Department of State goals and the priorities of foreign host institutions. The Fulbright Scholar-in-Residence Program enables U.S. colleges and universities to host academicians from abroad to lecture on a wide range of subject fields for a semester or academic year as part of an internationalization effort. Preference is given to institutions less involved in international exchange and/or institutions serving minority audiences, including Historically Black Colleges and Universities, Hispanic Serving Institutions, Tribal Colleges, Asian American and Pacific Islander serving Institutions, American Indian and Alaska Native Serving Institutions, Predominantly Black Institutions, small liberal arts colleges, community colleges and rural colleges and universities. Fulbright Public Policy Fellows serve in professional placements as special assistants in foreign government ministries in areas of joint interest to the U.S. government and host country government to gain hands-on public sector experience and contribute to public policy initiatives in participating foreign countries while simultaneously carrying out an academic research project. The Fulbright Global Scholar Award allows U.S. academics and professionals to engage in multi-country, trans-regional projects. Fulbright Global Scholars conduct research and teaching activities in two to three countries with flexible scheduling options. Participants represent a broad range of fields and levels of professional experience. The Fulbright Arctic Initiative supports and facilitates cross-disciplinary research and cooperation on shared challenges in the Arctic among scholars from the eight member states of the Arctic Council. Regional cohort programs -- the International Education Administrators (IEA) Seminars -- place

visiting scholars from selected countries at U.S. colleges and universities and U.S. scholars at host institutions in select countries abroad to enhance discipline knowledge, increase professional networks between U.S. and international counterparts, and help participants master new pedagogical approaches.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau's Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and mailed to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirement, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Cooperative Agreements FY 20 \$37,035,569.00; FY 21 est \$37,035,569.00; FY 22 est \$37,035,569.00;

Range and Average of Financial Assistance:

\$875,000 to \$28,795,103.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2020-21, there were 866 U.S. Fulbright Scholars (including 26 Global Scholars and 11 Public Policy Fellows), and 485 U.S. Fulbright Specialists, and 570 Fulbright Visiting Scholars (including 32 Scholars-in-Residence selected to participate in the scholar program. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Stephen Money,

Deputy Director, U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchange Programs, 2200 C Street, NW, SA-5, Fourth Floor, 4B07, Washington, DC 20037, Washington DC 20037
Email: MoneySD@state.gov
Phone: (202) 632-3258
Fax:

Website Address:

<http://exchanges.state.gov>

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program

International Visitor Leadership Program,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 USC Code 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The International Visitor Leadership Program (IVLP) facilitates short-term visits to the United States for current and emerging leaders from around the world to exchange best practices with American counterparts in a broad range of professional fields directly tied to U.S. foreign policy priorities. Interagency staff at U.S. embassies worldwide nominate and select participants in government, politics, business, education, the media, the arts and other fields for the program; there is no application process. Over 500 alumni of the IVLP went on to become Chiefs of State or Heads of Government in their countries. IVLP projects provide opportunities for participants to explore issues in the U.S. context, meet with their American professional counterparts, experience U.S. society, and understand American values. Participants meet with representatives of the private sector and civil society, as well as with government officials at all levels. The IVLP partners with a network of non-government, non-profit organizations, including about 90 community-based organizations and 40,000 volunteers across the country. These citizen diplomats host the International Visitors and share the American way of life by bringing them into their places of work, schools, communities, and homes. Americans, in turn, benefit from the opportunity for first-hand, in-person exchange with current and emerging leaders from around the world. The IVLP broadens international understanding and supports the local economy in both rural and urban communities

ASSISTANCE LISTINGS DESCRIPTIONS

throughout the United States. Virtually the entire IVLP budget is spent within the United States in support of program partners and local businesses.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funding is provided for nonprofit organizations to support their work in planning, organizing, and directing programs of travel, observation, consultation, study, and practical experience for foreign visitors from countries throughout the world selected and assigned by the Department. Programs are intended to bring U.S. embassy selected International Visitors into contact with influential Americans and representative organizations and institutions across the United States, with the intention of increasing communication and mutual understanding between the people of the United States and the people of other countries. Recipients are responsible for insuring that programs are balanced, non-political, non-partisan, and representative of the diversity of American political, social, and cultural life. The Bureau of Educational and Cultural Affairs seeks balance and diversity in terms of itinerary, geography and community-size; the range of opinions to which visitors are exposed; the professional backgrounds of those commenting on the issues; the ethnic, socio-economic and age diversity of the American people; and other factors which may be of particular relevance to the particular subject or particular visitor(s) for whom programming is being arranged. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act), the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and the U.S. Department of State's

website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Cooperative Agreements FY 20 \$50,705,331.00; FY 21 est \$50,705,331.00; FY 22 est \$50,705,331.00;

Range and Average of Financial Assistance:

\$448,860 to \$13,825,613.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Regional or Local Office:

None.

Headquarters Office:

Branch Chief Tom Rathburn,
U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of International Visitors, Community Resources Division, 2200 C Street, NWSA-05, Room 03BB06, Washington, District of Columbia 20037,
Washington DC 20037

Email: rathburntg@state.gov

Phone: (202) 632-9384

Website Address:

<http://eca.state.gov/ivlp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.408 Academic Exchange Programs - Teachers

Teacher Exchange programs include: the Fulbright Distinguished Awards in Teaching Program, Fulbright Teaching Excellence and Achievement Program, Fulbright Teachers for Global Classrooms Program, Teachers of Critical Languages Program, and other targeted professional development programs for teachers and administrators..

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Public Law 87-256, 22 USC Code 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of the Teacher Exchange programs is to provide K-12 educators from the United States and around the world with the opportunity to enhance their teaching, leadership and technology skills through professional development and exchange. Teachers participate in seminars, teach, observe best practices, pursue individualized

research and develop courses with the goal of globalizing school and classroom curricula and preparing students for an interconnected world. Through these exchanges, K-12 educators become leaders in global education, which is integral to building students skills for the 21st century.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to nonprofit organizations and educational institutions to implement exchange and professional development programs for teachers and other educators from the U.S. and approximately 75 countries around the world. Organizations are responsible for the following broad categories: program planning and management; placement; orientation; enrichment activities; participant supervision and support services; fiscal management and budgeting; and program reporting and evaluation. The goal of the programs is to create mutual understanding between the people of the United States and the people of other countries. Please refer to the Grants.gov or U.S. Department of State's SAMS Domestic announcement for further information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. The suite of Fulbright Teacher Programs includes a number of components targeted to U.S. and international teachers to enhance mutual understanding, build education leaders, and create globally-engaged students worldwide. These programs include Fulbright Teaching Excellence and Achievement Program, Fulbright Distinguished Awards in Teaching Program for International Teachers, Fulbright Distinguished Awards in Teaching Short-Term Program, Fulbright Distinguished Awards in Teaching Research Program for U.S. Teachers (DA), Fulbright Leaders for Global Schools, and the Fulbright Teacher for Global Classrooms. The Teachers of Critical Languages Program brings teachers to the United States to teach Chinese and Arabic for an academic year, strengthening language learning in K-12 schools in the United States.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Gants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

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Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Announcements are posted on the Grants.gov and U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Assistance is awarded/released through the Department's central financial management database. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Cooperative Agreements FY 20 \$11,955,347.00; FY 21 est \$11,955,347.00; FY 22 est \$11,955,347.00;

Range and Average of Financial Assistance:

\$109,995 to \$8,385,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, Fulbright Teacher Exchange Programs awarded approximately 270 global educators across three exchange program components. In FY 2020, Teachers for Critical Languages provided approximately 17 awards to teachers of Arabic and Chinese. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Gibson,
Teacher Exchanges Branch Chief, U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Global Educational Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4CC14, Washington, DC 20037,
Washington DC 20037
Email: GibsonJM@state.gov
Phone: (202) 632-6343
Fax:

Website Address:

<http://exchanges.state.gov/>

RELATED PROGRAMS:

19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 19.400 Educational Exchange_Graduate Students;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.415 Professional and Cultural Exchange Programs - Citizen Exchanges

Citizen Exchange activities include the Professional Fellows Program, Community Solutions, TechWomen, Community Engagement Exchange, Fortune - Mentoring Program, the Young Leaders of the Americas Initiative, the Young Southeast Asian Leaders Initiative, Young Transatlantic Leaders Initiative, Congress-Bundestag Youth Exchange, Future Leaders Exchange Program, Kennedy-Lugar Youth Exchange and Study Program, Youth Leadership Programs, National Security Language Initiative for Youth, the International Writers Program, TechGirls, American Film Showcase, American Music Abroad, OneBeat, Center Stage, the National Youth Science Camp, and other Professional and Cultural Exchange programs Global Sports Mentoring Program, International Sports Programming Initiative, Sports Visitor and Sports Envoy Program.,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., , Public Law 87-256

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers,

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professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of Citizen Exchanges is to support professional, youth, sports and cultural exchange programs between the United States and other countries through grants and cooperative agreements to American non-profit, non-governmental institutions and organizations, including community organizations, professional associations, and universities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funding is provided to eligible non-profit organizations, colleges and universities to support their work in designing and administering programs as well as coordinating program logistics. Funding to those organizations supports activities such as publicity and recruitment, screening of participant applications, communication with participants, domestic and international travel, payment of stipends and program benefits, and the provision of enrichment activities. Citizen Exchanges constitute a range of professional, youth, sports and cultural exchange programs designed to promote priority U.S. interests around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Programs are designed to promote good governance, civil society and civic responsibility, public health, conflict prevention and management, womens empowerment, trade, environmental awareness and responsibility, leadership, arts management, cultural diversity and heritage, sports education, and educational reform, as well as other topics through people-to-people exchanges. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local

Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and the U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in Grants.gov announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements.. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

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The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Project Grants FY 20 \$132,427,754.00; FY 21 est \$132,427,754.00; FY 22 est \$132,427,754.00;

Range and Average of Financial Assistance:

\$100,000 to \$17,249,998.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In 2020, most Citizen Exchange (CE) programs pivoted to a virtual platform. CEs innovation and flexibility powered a rapid transition to new strategies for virtual engagement and people-to-people exchange which advanced foreign policy objectives and achieved lasting benefits for U.S. citizens. In FY 2020, approximately 6,700 individuals participated in Citizen Exchanges programs from approximately 180 countries, including the United States. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Deputy Director Matt McMahon,

U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Citizen Exchanges, 2200 C Street, NWSA-05, Rm. 3B16, Washington DC 20037

Email: mcmahonmp@state.gov

Phone: (202) 632-6766

Website Address:

<http://eca.state.gov/about-bureau-0/organizational-structure/office-citizen-exchanges>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.421 Academic Exchange Programs - English Language Programs

English Language Programs include the English Access Microscholarship Program, the English Language Fellow and Specialist Program, and the Online Professional English Network (OPEN) Program (formerly known as the American English E-Teacher Program).

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., , Public Law 87-256, 22 USC

Code 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of English Language Programs is to achieve U.S. foreign policy goals and strengthen relationships with foreign publics by supporting English language education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided to non-profit organizations to support their work in designing and administering programs as well as coordinating program logistics for U.S. English language educators, underserved foreign students and teachers of English as a foreign language as participants in study and professional development and international exchanges. Funding to those organizations supports activities such as publicity and recruitment, screening of applications, communication with recipients, payment of stipends, and other program costs and the provision of enrichment activities. The English Language Fellow Program fosters mutual understanding between the people of the United States and those of other countries by sending talented, highly qualified U.S. educators in the field of Teaching English to Speakers of Other Languages (TESOL) on ten-month fellowships to overseas academic institutions in all regions of the world. The Program promotes English language learning and enhances English teaching capacity abroad. The English Language Specialist Program recruits U.S. academics and professionals in the field of Teaching English to Speakers of Other Languages (TESOL) to conduct programs overseas for a minimum of two weeks. The English Access Microscholarship Program (Access) provides a foundation of English language skills to bright, economically disadvantaged students, primarily aged 13 to 20, through after-school classes and intensive learning activities. Access students also gain an appreciation for U.S. culture and democratic values through cultural enhancement, community service and professional development activities. The Online Professional English Network (OPEN) Program (formerly the American English E-Teacher Program) is designed to improve the quality of overseas English language teaching through the use innovative distance learning technology. Participants are English teaching professionals who receive instruction from U.S. experts in the most recent English language teaching methods and techniques.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the

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American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and the U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau's Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Cooperative Agreements FY 20 \$42,780,000.00; FY 21 est \$42,780,000.00; FY 22 est \$42,780,000.00;

Range and Average of Financial Assistance:

\$6,000,000 to \$20,800,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Deputy Director Caryn Danz,
U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of English Language Programs, 2200 C Street, NWSA-05, Rm.4B15,
Washington DC 20037
Email: DanzCB@state.gov
Phone: (202) 632-9412

Website Address:

<http://americanenglish.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.432 Academic Exchange Programs - Educational Advising and Student Services

The U.S. Department of States Bureau of Educational and Cultural Affairs (ECA)

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oversees the EducationUSA network, which consists of over 425 international student advising centers in more than 175 countries worldwide. The EducationUSA network supports ECAs mission by increasing international student mobility, providing students from across the globe with access to educational opportunities, and building a broader understanding of the U.S. higher education sector among overseas student, government and academic personnel. EducationUSA advising centers are housed within in U.S. embassies and consulates and/or in partner institutions that include Fulbright Commissions, bi-national cultural centers, U.S. non-governmental organizations (NGOs), international NGOs, and/or universities and libraries abroad. EducationUSA advisers provide guidance and support to international students and their families as they explore opportunities to study at U.S. college and universities. EducationUSA advisers provide guidance on the U.S. higher education admissions process and offer accurate, comprehensive, and current information about the full range of accredited U.S. institutions of higher education. Advising activities are conducted via center activities, in-person and virtual outreach in local communities, including webinars, virtual recruitment fairs, and website content. Through programs like the EducationUSA Academy and Leadership Institutes, EducationUSA seeks to develop a pipeline of college-ready international students at the secondary level, and expand the capacity of colleges and universities in the United States to partner with overseas institutions around student and scholar exchange, collaborative research, and workforce development initiatives. ECAs EducationUSA branch also funds the annual Open Doors Report on International Educational Exchange which tracks the flow of students to and from of the United States and provides essential data used in calculating the economic impact of inbound international student mobility an estimated \$44 billion in 2019.,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., , Public Law 87-256, 22 USC Code 2451.

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity while building international knowledge and capacity among Americans. The EducationUSA network promotes U.S. higher education and facilitates student mobility by providing guidance and support to both prospective student audiences abroad and U.S. higher education institutions that seek to recruit and enroll these students. EducationUSA also supports both international and domestic student success by research by engaging U.S. higher education institutions to ensure that students' experiences on U.S. campuses are academically engaging, culturally enriching, include diverse, global perspectives in the classroom, and create lasting ties between individuals today that will support stronger relations between nations tomorrow.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is provided for nonprofit organizations to support research on international exchange programs, coordination of overseas EducationUSA advising programs, and training for educational advisers to ensure that international students receive accurate, current, and comprehensive information on U.S. higher education. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and the U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. As stated in the Grants.gov announcements. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Cooperative Agreements FY 20 \$12,377,417.00; FY 21 est \$12,377,417.00; FY 22 est \$12,377,417.00;

Range and Average of Financial Assistance:

\$500,000 to \$8,184,300.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020 EducationUSA successfully pivoted to virtual programming, resulting in a forty percent increase in virtual engagements across various websites and social media platforms. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Anna Martz,
Education Information and Resources Branch Chief, U.S. Department of State,
Bureau of Educational and Cultural Affairs, Office of Global Educational
Programs, 2200 C Street, NW, SA-5, Fourth Floor, Room 4W112, Washington,

DC 20037,

Washington DC 20037

Email: MartzAA@state.gov

Phone: (202) 632-6353

Fax:

Website Address:

<http://educationusa.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.440 ECA U.S. Speaker Program

ECA - U.S. Speaker Program,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF
AUTHORIZATION:

For programs funded in FY 2020 and going forward, the Fulbright-Hays Act of 1961, as amended, Public Law 87-256, 22 US Code 2451. For programs funded in FY 2019 and previously, the US Information and Educational Exchange Act of 1948, also called the Smith-Mundt Act, Public Law 80-402., Title Fulbright-Hays Act of 1961,

OBJECTIVES:

As authorized by the Fulbright-Hays Act for programs exercised in FY 2020 and going forward and as authorized by the Smith-Mundt Act for programs exercised in FY 2019 and prior to that, the Office of the U.S. Speaker Program of the Department of States Bureau of Educational and Cultural Affairs awards a cooperative agreement to an organization with experience in international exchanges for the administration of projects that enable U.S. experts to engage international audiences on topics of strategic importance to the United States. The participating U.S. experts represent a broad range of knowledge and responsible and informed opinion. Experts participate in traveling and/or virtual programs in support of U.S. foreign policy objectives. The grantee organization is to be responsible for handling all administrative aspects of the program, including arrangements for participant travel, visas, funding disbursement, mailing of materials, and speaker evaluation reporting.

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Cooperative Agreements are only available to non-profit U.S. organizations with significant experience with implementing international exchange programs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals), Private nonprofit institution/organization (includes institutions of higher education and hospitals)

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Health Professional; Education Professional; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Industrialist/Business person; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Senior Citizen (60+); Pension Recipient; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (13+);

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

See Grants.gov and ECA's website and the U.S. Department of State's SAMS Domestic for deadline dates.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: Assistance is awarded/released through the Department's central financial management database. Method of awarding/releasing assistance: lump sum.

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. As stated in the Grants.gov announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements found in the Single Audit Act of 1984, 31 U.S.C.

7501-7507. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0113-0-1-154.

Obligations:

Project Grants (including individual awards) FY 20 \$3,936,183.00; FY 21 est \$4,035,000.00; FY 22 est \$3,936,183.00;

Range and Average of Financial Assistance:

\$3,936,183.

TAFS Codes:

19-0113.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In 2020, approximately 436 U.S. Speaker program grants were processed by the implementing partner for the Office of the U.S. Speaker Program. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website. U.S. Speaker Program guidelines and policies are outlined in the U.S. Department of State Foreign Affairs Manual, Section 10 FAM 350.

Regional or Local Office:

None.

Headquarters Office:

DIRECTOR Deputy Director Imani Esparza Pitman,
U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of U.S. Speaker Program 2200 C Street, NW, SA-05, Rm. 3-CC16,
WASHINGTON DC 20037

Email: FitmanIE@STATE.GOV

Phone: 202-632-9245

Website Address:

<https://eca.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A proposal is selected based on the responsiveness of the proposals to the review criteria outlined in the solicitation.

19.441 ECA American Spaces

ECA - American Spaces,

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

For programs funded in FY 2020 and going forward, the Fulbright-Hays Act of 1961, as amended, Public Law 87-256, 22 US Code 2451. For programs funded in FY 2019 and previously, the US Information and Educational Exchange Act of 1948, also called the Smith-Mundt Act, Public Law 80-402., Title Fulbright-Hays Act of 1961,

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

As authorized by the Fulbright-Hays Act for programs exercised in FY 2020 and going forward and as authorized by the Smith-Mundt Act for programs exercised in FY 2019 and prior to that, American Spaces are open-access public platforms supported by the U.S. government and located in more than 140 countries, connecting the world with the United States. American Spaces give visitors and program participants a first taste of America and serve as an important platform for advancing post priorities, whether by utilizing Washington-based resources or through locally-based programming. American Spaces visitors champion continued ties with the United States, and can go on to become trusted Post contacts or participate in ECAs exchange programs such as Fulbright and the International Visitors Leadership Program (IVLP). The five core programming areas that take place in American Spaces draw on the breadth of what ECA offers: EducationUSA advising, English language learning and teaching, Alumni engagement, strategic cultural programs that utilize ECAs Envoys (arts, music, sports, science, and more), and information about the United States, such as through connecting with expert American speakers. The State Departments Public Diplomacy Strategic Framework calls for the revitalization of existing American Spaces and the establishment of new ones. The Director of ECA/A/M leads the American Spaces strategy, under the direction of the Deputy Assistant Secretary for ECA Academic programs. ECA coordinates with other Department bureaus (R/PPR, regional PD offices, GPA, OBO, DS, and IRM, among others) as appropriate to ensure that their interests are met.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Discretionary grants with individuals and NGOs for programmatic and construction activities.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Foreign audiences. See www.grants.gov for individual award announcements.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See www.grants.gov for individual award announcements. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

See www.grants.gov for individual award announcements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See www.grants.gov for individual award announcements.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See www.grants.gov for individual award announcements. Method of awarding/releasing assistance: other

Reports:

Quarterly program reports. Quarterly progress reports. Quarterly expenditure reports. Quarterly performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Federal assistance records must be retained for three years after the final report is submitted.

Account Identification:

19-0000-1-5-000.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$3,703,333.00; FY 21 est \$3,703,333.00; FY 22 est \$3,703,333.00;

Range and Average of Financial Assistance:

See www.grants.gov for individual award announcements.

TAFS Codes:

19-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director Fred Boll,
U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of American Spaces 2200 C Street, NW, SA-05, Rm. BB09, Washington, DC 20037,
Washington, DC DC 20037
Email: BollAM@state.gov
Phone: 202-769-6291
Fax:

Website Address:

<https://eca.state.gov/programs-and-initiatives/initiatives/office-american-spaces>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.450 ECA Individual Grants

Individual Grants, U.S. academics and professionals, Bureau of Educational and Cultural Affairs (ECA),

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

Fulbright-Hays Act, Public Law, as amended., , Public Law 87-256, 22 USC Code 2451.

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

To provide individual assistance awards by the Bureau of Educational and Cultural Affairs (ECA) to experts and other influential or distinguished persons in the United States, including U.S. academics and professionals, athletes and coaches and creative and performing artists, with funds appropriated to and authorized by the Bureau of Educational and Cultural Affairs. These individual grants support interchanges between the United States and other countries that address issues of mutual interest consistent with the Fulbright-Hays Act. As authorized by the Fulbright-Hays Act, ECA seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange; to strengthen the ties which unite us with other nations by demonstrating the educational and cultural interests, developments, and achievements of the people of the United States and other nations, and the contributions being made toward a peaceful and more fruitful life for people throughout the world; to promote international cooperation for educational and cultural advancement; and thus to assist in the development of friendly, sympathetic, and peaceful relations between the United States and the other countries of the world.

TYPES OF ASSISTANCE:

Project Grants (including individual awards)

USES AND USE RESTRICTIONS:

Individual awards made by the Bureau of Educational and Cultural Affairs may support any activities authorized by the Fulbright-Hays Act for which funding has been appropriated by Congress through the annual Educational and Cultural Exchange Programs appropriation administered by the Department of State, Bureau of Educational and Cultural Affairs. The activities are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Awards are made to individuals and supported by funds appropriated to and approved by the Bureau of Educational and Cultural Affairs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Individual/Family; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; Individuals in fields of specialized knowledge or skill, and other influential or distinguished persons.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Education Professional; Black; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Senior Citizen (60+); Major Metropolitan (over 250,000); Other Urban; Suburban; Rural; Education (13+); Beneficiaries include selected participants and the people of participating countries.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Program announcements and application procedures may vary widely depending on the program.

Award Procedure:

Specific processes vary from program to program activities. However, the Bureau of Educational and Cultural Affairs uses the Department's Form DS-1909 (Federal Assistance Award) to award individual grants to successful applicants. All awards are signed by a warranted Grants Officer and administered through the U.S. Department of State's SAMS Domestic. The Bureau of Educational and Cultural Affairs DOES NOT accept unsolicited grant proposals for individual grant awards. Grant awards are made based on specific needs of the program office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. n/a

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Reports are to be submitted in accordance with the terms and conditions of the award. See individual award terms and conditions.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Copies of obligating documents are maintained in the Budget Office of the Bureau of Educational and Cultural Affairs in the Executive Office Suite (EOS) database. Grant records, including closeout data, are maintained in the Grants Office of the Bureau of Educational and Cultural Affairs in SAMS Domestic.

Account Identification:

19-0209-0-1-154.

Obligations:

Project Grants (including individual awards) FY 20 \$210,347.00; FY 21 est \$210,347.00; FY 22 est \$210,347.00;

Range and Average of Financial Assistance:

\$6,908 to \$78,418.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for programs will vary with individual activities.

Regional or Local Office:

None.

Headquarters Office:

Grants Division Chief Deborah Thompson,
U.S. Department of State, Bureau of Educational and Cultural Affairs, Executive Office, Grants Division, SA-5, Room 04-BB08, Washington, District of Columbia 20037,

Washington DC 20037

Email: ThompsonDL1@state.gov

Phone: (202) 632-6365

Website Address:

<http://eca.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.451 Special International Exchange Grant Programs

Special International Exchange Grant Programs, including Fulbright University

ASSISTANCE LISTINGS DESCRIPTIONS

Vietnam,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, , Public Law 87-256, 22 USC Code 2451.

OBJECTIVES:

To provide special grants for international exchanges and other activities that support and address current and emerging issues of mutual interest to the United States and other countries, consistent with the program criteria established in the Departments annual appropriation. As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators.

Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The Special International Exchange Grant Programs may include Academic and Professional, Cultural, and Youth exchange activities, as well as international alumni programs. The activities are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. This program provides selected individuals with opportunities to participate in exchanges and other activities that develop international competencies and skills, which allow them to serve as leaders in their professions and communities. Funding is provided to eligible non-profit organizations, colleges and universities to support their work in designing and administering exchange programs as well as coordinating program logistics. Program models, themes and world regions may vary from year to year. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcements for further information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200 Subpart E Cost Principles applies to this program.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200 Subpart E Cost Principles applies to this program.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to

educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by organizations public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200 Subpart E Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Announcements are posted on the Grants.gov and the U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau's Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: other

Reports:

As stated in the Grants.gov announcements. Cash reports are not applicable. Progress reports are not applicable. As stated in the Grants.gov announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Parts 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for Domestic Financial

ASSISTANCE LISTINGS DESCRIPTIONS

Assistance Awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S.

Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

Cooperative Agreements FY 20 \$1,900,000.00; FY 21 est \$1,900,000.00; FY 22 est \$1,900,000.00;

Range and Average of Financial Assistance:

\$1,900,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. For a copy of the OMB Guidance cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Senior Program Officer Tim Marshall,

U.S. Department of State, Bureau of Educational and Cultural Affairs, Office of Academic Exchanges, East Asia and Pacific Branch, 2200 C Street, NW, SA-05, 4-L11, Washington, District of Columbia 20037, Washington, District of Columbia 20037,

Washington DC 20037

Email: MarshallT@state.gov

Phone: 2026323216

Fax:

Website Address:

<http://http.exchanges.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.452 International Exchange Alumni Programs

International Exchange Alumni Programs include Alumni Thematic International Exchange Seminars (TIES) and the U.S. Exchange Alumni Network and Capacity Building Program.,

FEDERAL AGENCY:

BUREAU OF EDUCATIONAL AND CULTURAL, STATE, DEPARTMENT OF AUTHORIZATION:

The Fulbright-Hays Act of 1961, as amended., Title 87, Part 256,

OBJECTIVES:

As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural

Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange programs, including the exchange of scholars, researchers, professionals, students, and educators. ECA programs foster engagement and encourage dialogue with citizens around the world. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today. ECA programs inform, engage, and influence participants across strategic sectors of society including young people, women, teachers, scholars, journalists, and other professionals increasing the number of foreign individuals who have first-hand experience with Americans and with the values of freedom, representative government, rule of law, economic choice, and individual dignity, while building international knowledge and capacity among Americans. The purpose of International Exchange Alumni Programs is to advance U.S. foreign policy by building and maintaining relationships with current and future leaders, including Americans, who have participated in international exchanges. Alumni engagement is an integral component of the U.S. Department of State's public diplomacy that generates maximum return on the U.S. government's investment in exchange programs. Alumni programs aim to unite alumni communities around the globe and foster post-exchange opportunities through follow-on activities, virtual engagement, funding opportunities and resources, and regional programs.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

With more than 1,000,000 alumni of U.S. Government-funded exchange programs worldwide, nearly one-third of whom are Americans, and approximately 300,000 alumni annually of the U.S. Government-facilitated Exchange Visitor Program. ECA is committed to providing resources to sustain engagement with and harness the energy, enthusiasm, and expertise of these current and emerging leaders. Working closely with Department of State regional bureaus and U.S. embassies worldwide, ECA supports alumni programs that are strategic, responsive to U.S. embassy priorities, and designed to reinforce U.S. foreign policy objectives. To best address those objectives, ECA focuses alumni programs on policy priority topics including increasing America's global competitiveness, countering disinformation by strengthening media literacy, empowering women and girls, advancing economic prosperity, promoting inclusion and access to education and encouraging strong civil society institutions and good governance. ECA does this through professional development for U.S. citizen alumni and by providing expertise, training, and support for on-the-ground foreign alumni activities led by U.S. embassies and consulates around the world. ECA also maintains a robust, targeted virtual engagement system including the International Exchange Alumni website (alumni.state.gov), an exclusive, password-protected on-line global community with more than 500,000 members, which serves as the U.S.

Department of State's official website for U.S. government-sponsored exchange alumni. The site offers alumni a place to network and connect with other alumni, share news, and access resources such as grants and research databases. ECA also virtually connects U.S. leaders with the global alumni community through online mentoring sessions hosted on social media. The funding provided to non-profit organizations supports U.S. citizen alumni and foreign alumni. These cooperative agreements support professional development programs for U.S. citizen alumni and regional enrichment seminars and small grants on thematic topics that support U.S. foreign policy priorities and foster a sense of shared identity, networking and dialogue among participating alumni.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria.

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include recipient organizations, educational institutions, other non-government organizations (NGOs) that meet the provisions described in Internal Revenue Code section 26 USC 501(c)(3), as well as sponsored participants, and the American people and the people of participating countries who interact with the international participants.

Credentials/Documentation:

Pursuant to the Mutual Educational and Cultural Exchange Act of 1961, as amended (Fulbright-Hays Act) the Bureau of Educational and Cultural Affairs of the U.S. Department of State awards project grants and cooperative agreements to educational and cultural public or private nonprofit foundations or institutions. Applications may be submitted by public and private non-profit organizations meeting the provisions described in Internal Revenue Code section 26 USC 501(c)(3). Organizations must have nonprofit status with the IRS at the time of application. Please refer to the Grants.gov or the U.S. Department of State's SAMS Domestic announcement for further eligibility criteria. OMB Guidance 2 CFR Part 200, Subpart E Cost Principles under Special Considerations for States, Local Governments, and Indian Tribes applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Guidance 2 CFR Parts 200 and 600, entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. . Announcements are posted on the Grants.gov and the U.S. Department of State's website: <http://eca.state.gov/organizational-funding> or the U.S. Department of State's SAMS Domestic website for organizations meeting eligibility requirements. Announcements are made as necessary during the fiscal year. The application procedures are described in the Grants.gov or the U.S. Department of State's SAMS Domestic announcement.

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Bureau procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Bureau Grants Office. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed/validated by an authorized Grants Officer, and sent via the U.S. Department of State's SAMS Domestic to the recipients responsible officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements. Method of awarding/releasing assistance: other

Reports:

Financial and Program Reporting requirements are described in the Grants.gov announcement. Cash reports are not applicable. Financial and Program Reporting

requirements are described in the Grants.gov announcement. As stated in Grants.gov announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Guidance 2 CFR Part 200, Subpart F Audit Requirements, a nonfederal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific-audit conducted for that year in accordance with the provisions of this part. The Recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. Please refer to the U.S. Department of State's Standard Terms and Conditions for awards (<https://www.state.gov/m/a/ope/index.htm>) for additional guidance.

Account Identification:

19-0201-0-1-154.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$2,650,000.00; FY 21 est \$2,650,000.00; FY 22 est \$2,650,000.00;

Range and Average of Financial Assistance:

\$400,000 to \$2,250,000.

TAFS Codes:

19-0209.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the Office of Alumni Affairs deployed the Alumni Rapid Response Fund (ARRF) to provide quick turnaround funding that supported 37 U.S. alumni projects addressing pressing local COVID-19 needs. Additionally, 39 U.S. alumni participated at the annual Alumni Thematic International Exchange Seminar (TIES). Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov or the U.S. Department of State's SAMS Domestic announcements. In addition, organizations should be familiar with OMB Guidance 2 CFR Parts 200 and 600 entitled the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. . For a copy of the OMB circulars cited, please contact the U.S. Government Publishing Office or download from www.ecfr.gov website.

Regional or Local Office:

None.

Headquarters Office:

Nini Forino,
Director, U.S. Department of State, Bureau of Educational and Cultural Affairs,
Office of Alumni Affairs, 2200 C Street, NW, SA-05, First Floor, Room 01-Z03,
Washington, DC 20037,
Washington DC 20037
Email: ForinoNJ@state.gov
Phone: (202) 632-6183
Fax:

Website Address:

<https://alumni.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

As stated in Grants.gov or the U.S. Department of State's SAMS Domestic announcements.

19.500 Middle East Partnership Initiative

MEPI.

FEDERAL AGENCY:

BUREAU OF NEAR EASTERN AFFAIRS, STATE, DEPARTMENT OF AUTHORIZATION:

Foreign Assistance Act of 1961, Statute 75, Public Law 87-195, 22 USC Code 2151.

OBJECTIVES:

The U.S.-Middle East Partnership Initiative supports organizations and individuals in their efforts to promote political, economic, and social engagement in the Middle East and North Africa.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The U.S.-Middle East Partnership Initiative (MEPI) addresses U.S. national security and economic objectives by advancing stability and prosperity in the Middle East and North Africa region. MEPI leverages strategic partnerships and cost sharing agreements with the interagency, international organizations, governments, the private sector, and non-governmental organizations, to maximize efficacy and efficiency of assistance funding. MEPI projects partner with governments, civil society, international organizations and the private sector to improve governance and strengthen institutions, asserting U.S. leadership and influence and fostering U.S. economic opportunities. Among other activities, these projects include supporting legal, economic, and regulatory reforms to improve the business-enabling environment; advancing transparent and efficient governance; and strengthening partnerships between citizens, civil society, the private sector, and government institutions in the areas of governance, civil leadership development and civic education.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Local; Public nonprofit institution/organization; Other public institution/organization; MEPI supports projects in Algeria, Bahrain, Israel, Iraq, Jordan, Kuwait, Lebanon, Libya, Morocco, Qatar, Saudi Arabia, Syria, Tunisia, West Bank and Gaza, and Yemen. Regional and multi-country projects may include Iraqi participants, but we currently do not fund Iraq-specific projects. Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars. See www.grants.gov for specific announcement. 2 CFR 200 applies to this program.

Credentials/Documentation:

Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars. See www.grants.gov for specific announcement. OMB Circular No. A-87

applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See www.grants.gov for specific announcement instructions. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NEA/AC solicits proposals via program announcements posted on www.grants.gov, www.grantsolutions.gov, and on the websites of NEA/AC, and posts in the region. Occasionally, NEA/AC will issue sole source awards. NEA/AC also enters into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies. See www.grants.gov for specific announcement.

Award Procedure:

Solicitations are reviewed by panels comprised of area and subject matter experts drawn from both inside and outside the State Department, including from NGOs and the private sector. Award procedures are defined by the U.S. Department of State, Administration Bureau in accordance with 2 CFR 200.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. N/A

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Although the average length is 24 months, the specific length of time for a MEPI grant may vary from one award to another. Details for each can be found on the respective announcements. All assistance for Centralized Payments, Cash Management, Financial Reporting, Debt Management, and Audit Assistance is with the Department of Health and Human Services, Division of Payment Management one of the two non-DoD grants payment systems for use by the entire Federal Government. Method of awarding/releasing assistance: other

Reports:

Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice of Award, program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Quarterly Financial Reports Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the

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project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients must maintain all grant files for three years after the final report date. Please refer to 2 CFR 200 for specific records retention requirements.

Account Identification:

19-1014-0-1-150.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$640,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

From \$10,000 to \$9,600,000; average approximately \$3,100,000.

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for grants and cooperative agreements are distributed in conjunction with the request for applications or program announcements. Extensive background and program information is available on www.mepi.state.gov.

Regional or Local Office:

See Regional Assistance Locations. MEPI has Regional Offices in Rabat, Morocco, and Kuwait City, Kuwait.

Headquarters Office:

Grants Officer Cho, Jenny,
2430 E Street NW,
Washington DC 20037
Email: ChoJ@state.gov
Phone: (202) 776-8691
Fax: (202) 776-8500

Website Address:

<http://mepi.state.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project specific, depends on the program. All awards are screened for terror finance and/or support per the relevant U.S. Department of State and federal guidelines and regulations. See www.grants.gov for specific announcement.

19.501 Public Diplomacy Programs for Afghanistan and Pakistan

Public Diplomacy Programs for Afghanistan and Pakistan,

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Fulbright Hays Act - The Mutual Educational and Cultural Exchange Act of 1961, , Public Law 87-256, Foreign Assistance Act of 1961, , Public Law 87-195, Smith Mundt Act - The United States Information and Education Exchange Act of 1948, , Public Law 80-402

OBJECTIVES:

The U.S. Government (USG) is providing resources to promote diplomatic solutions, through English language instruction and computer training, critical skills development and other public diplomacy programs. These resources support the people, platforms, and programs necessary to meet the international challenges to American security and welfare. Educational and cultural engagement is premised on the knowledge that mutual understanding, the development of future leaders, and the benefits of education programs influence societies and affect official decision-making almost everywhere in the world today.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The cooperative agreement or grant provides funds to meet the objectives outlined in the organizations proposal, as approved by the Bureau. Funding documents authorize funds based on the organizations budget submission after Grants Officer approval.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Not-for-profit organizations subject to 501(c)(3) of the U.S. tax code or registered as a non-profit organization in the entity's home country. Organizations that have and have not previously received international program funding from the U.S. Government. Applicants with demonstrated experience in working with vendors, suppliers, contractors, etc. and appropriately staffed offices in country will be preferred. For more specific information on eligible applicants and use of assistance, please reference the specific Notice of Funding Opportunity (NOFO) posted on grants.gov.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Citizens of Afghanistan, Pakistan, and the United States. For more specific information on eligible beneficiaries, please reference the specific NOFO posted on grants.gov.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants must submit proposals for the Bureaus consideration. Applicants may submit proposals before the application deadline, with Bureau consideration granted shortly thereafter. Where there is a specific or immediate priority or need, the Bureau will issue policy and program guidelines and will invite applicants to submit proposals for Bureau review. Applicants that have never received Department of State Funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding. PAS staff at Embassy Kabul and Embassy Islamabad can also initiate the process to arrange for specific individual grants, which relate to a program run by post.

Award Procedure:

The Public Affairs sections (PAS) at post establish panels to review grant applications. Once recommendations are made, the Public Affairs Officer (PAO)

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considers recommendations and decides which applications post recommends for an award, subject to the Grant Officers approval. In some cases, the PAO may consult the Ambassador. The decision for the final award determination rests with the Grants Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See www.grants.gov. for specific details or Embassy website.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions are considered on a case by case basis. Renewals are contingent upon future Congressional funding, Department priorities, and other factors.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are awarded for a 1-3 year period. See www.grants.gov. for specific details or Embassy website. Method of awarding/releasing assistance: other

Reports:

Reporting requirements will be outlined in the Grant/Cooperative Agreement documents. The Embassy and Bureau Program office may require additional reporting. EFT is the preferred payment method. However, in exceptional circumstances, cash payments are allowed. Reporting requirements will be outlined in the Grant/Cooperative Agreement documents. The Embassy and Bureau Program office may require additional reporting. For most grants/cooperative agreements, recipients submit quarterly program and financial reports 30 days after the calendar year quarter and a final certified financial report and program report within 120 days after the award period end date. Reporting requirements are outlined in the Grant/Cooperative Agreement documents. The Embassy and Bureau Program office may require additional reporting. For most grants/cooperative agreements, recipients submit quarterly program and financial reports 30 days after the calendar year quarter and a final certified financial report and program report within 120 days after the award period end date. Reporting requirements are outlined in the Grant/Cooperative Agreement documents. The Embassy and Bureau Program office may require additional reporting. Reporting requirements are outlined in the Grant/Cooperative Agreement documents. The Embassy and Bureau Program office may require additional reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Bureaus funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. In most instances, the audit report is due 30 days after issuance or nine months after the end of the audit period. Applicants should refer to the 2 CFR 200 and the NOFO for further information on specific audit requirements.

Records:

The recipient shall maintain files for a three-year period after submission of the final financial report.

Account Identification:

19-7011-3-0-000. 19-7801-1-3-000.

Obligations:

Project Grants FY 20 \$33,627,217.00; FY 21 est \$2,075,537.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

grants are estimated to range between 300,000 - 3,000,000.

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Grants Analyst Jacqueline Viselli,
2201 C Street NW,
Washington DC 20520
Email: ViselliJM@state.gov
Phone: 2026479544

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Submitted proposals should identify the importance and relevance of their application to the broader U.S. Public Diplomacy policy objectives as well as the relevance to the program objectives of the Department of State, as described in the solicitation. A compelling statement on the approach to the project will be given great consideration by the review panel. The proposed activities must directly relate to meeting the goals and objectives and how the effectiveness of project activities will be measured. The review panel will be viewing the implementation plan in terms of how well it addresses the problem statement, relevance of the goals and objectives, feasibility of the proposed activities, and their timeline for completion. Other important factors are the quality of the applicants plan for and ability to assess progress towards project objectives as well as the impact of the project beyond the conclusion of the funding period. Applicants must demonstrate how their resources, capabilities, and experience will enable them to achieve the stated goals and objectives. In addition, applicants should describe how and with whom they will collaborate to meet project goals.

19.510 U.S. Refugee Admissions Program

U.S. Refugee Admissions Program,

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE,
DEPARTMENT OF
AUTHORIZATION:

The Immigration and Nationality Act (INA), as amended and The Migration and Refugee Assistance Act of 1962, as amended (MRAA), Title 22, Section 2601 et seq

OBJECTIVES:

Initial reception and placement program for refugees approved for admission in the United States. Program objectives include: (1) arranging for refugees' placement by ensuring that approved refugees are sponsored and offered appropriate assistance upon arrival in the United States; (2) providing refugees with basic necessities and core services during their initial resettlement period in the United States; and (3) promoting refugee self-sufficiency through employment as soon as possible after arrival in the United States in coordination with other refugee service and assistance programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement provides financial support to partially cover the identified services based on a fixed per capita sum per refugee resettled in the United States. Allocation of funds is also based on the organization's reported expenses for its headquarters each fiscal year.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Non-Government - General; private non-profit organizations

Beneficiary Eligibility:

Refugee/Alien; Refugees approved under the U.S. Refugee Admissions Program will benefit.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible organizations must submit proposals for the Bureau's consideration via Grants.gov in response to funding opportunity announcements posted by the Bureau on Grants.gov, with Bureau review occurring following the announcement deadline. Organization representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. Organizations that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on the Director of the Office of Admissions' recommendations for all reception and placement awards and warranted grants officers within the Bureau sign the cooperative agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are awarded on a fiscal year basis, October 1 through September 30. Method of awarding/releasing assistance: other

Reports:

The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award. Financial reports will be required by the terms and conditions of the federal award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Recipient will have the U.S. Government Federal funds awarded to it included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. In particular, the audit must be able to confirm that reported charges were actually incurred in the amounts reported and that such reported charges were not based on average

costs of similar or grouped expenses and that they were not allocated based on shared resources. Specific audit requirements will be written into the grant or cooperative agreement.

Records:

The grantee shall maintain a case file for each refugee case unit admitted into the United States as well as comply with all other retention and access requirements for records as set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

Cooperative Agreements FY 20 \$60,603,037.00; FY 21 est \$63,000,000.00; FY 22 est \$65,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Holly Herrera,
Department of State, Bureau of Population, Refugees, and Migration, 2025 E St.
NW, Washington, DC 20522-0908,
Washington DC 20522-0908
Email: HerreraHA@state.gov
Phone: (202) 453-9267

Fax:

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-population-refugees-and-migration/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements are made on the basis of a panel review using evaluation criteria specified in the request for proposal.

19.511 Overseas Refugee Assistance Programs for East Asia

Overseas Refugee Assistance Programs for East Asia,

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE,
DEPARTMENT OF
AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq., 22 USC Code 2601.

OBJECTIVES:

The Bureau of Population, Refugees, and Migration (PRM) supports NGO humanitarian assistance and protection programs for vulnerable populations in Australia, Bangladesh, Burma, Cambodia, Indonesia, Laos, Malaysia, Mongolia, the Philippines, Thailand, and Vietnam. In addition, the Bureau also monitors issues pertaining to the protection and assistance needs of refugees, asylum seekers, internally displaced persons (IDPs), stateless persons, vulnerable migrants, and other victims of conflict originating from Southeast Asia and displaced throughout East Asia and the Pacific and South Asia regions.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

Non-Government - General; Non-governmental organizations. The Migration and Refugee Assistance Account (MRA) designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugee/Alien; Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via Grants.gov (international organizations submit appeals to the Bureau). NGOs may submit proposals in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. (Funding announcements are also posted at <http://www.state.gov>.) IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Assistance for Asia and the Near East and other Bureau offices. A warranted grants officer in the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. Method of awarding/releasing assistance: other

Reports:

The Bureau requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th,

April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

Cooperative Agreements FY 20 \$59,162,540.00; FY 21 est \$61,000,000.00; FY 22 est \$63,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Asia Team Lead Hoa Tran,
Department of State, Bureau of Population, Refugees and Migration, Office of Assistance for Asia and the Near East, 2025 E Street NW,
Washington DC 20522-0908
Email: TranHT3@state.gov
Phone: (202) 453-9289

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-population-refugees-and-migration/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is

ASSISTANCE LISTINGS DESCRIPTIONS

a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.515 Contributions to International Organizations for Overseas Assistance

Contributions to International Organizations for Overseas Assistance.

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE,
DEPARTMENT OF
AUTHORIZATION:

Migration and Refugee Assistance Act of 1962, as amended, , Public Law 87-510,
22 USC Code 2601.

OBJECTIVES:

Provision of voluntary and assessed contributions to Public International Organizations to carry out humanitarian assistance activities overseas benefitting refugees, victims of conflict, and other persons of concern.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Voluntary and assessed contributions provide funds to key international organizations to address pressing humanitarian needs overseas and to resettle refugees in the United States. These funds support programs that sustain life; provide protection and assistance to the most vulnerable, particularly women and children and the elderly; assist refugees with voluntary repatriation, local integration, or permanent resettlement in a third country; and foster the humane and effective management of international migration policies. These contributions meet the international organization's objectives as approved by the Bureau. Funding documents approved by the Bureau authorize funds based on the organization's budget submission, funding request or appeal document.

Applicant Eligibility:

Other public institution/organization; Quasi-public nonprofit institution/organization; Voluntary and assessed contributions to Public International Organizations to provide assistance to refugees and victims of conflict overseas.

Beneficiary Eligibility:

Quasi-public nonprofit organization; Refugee/Alien; Refugees, victims of conflict, and other persons of concern requiring protection and assistance.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

As authorized, the Bureau provides funds multilaterally as voluntary and assessed contributions to international organizations (IOs). To ensure that the international system is effectively and efficiently addressing the humanitarian needs of refugees, internally displaced persons (IDPs), victims of conflict, stateless persons, and vulnerable migrants, the Bureau ensures that the U.S. Government (USG) works closely with other donor governments to achieve a common understanding of humanitarian requirements and what constitutes satisfactory performance in responding to them by the international humanitarian system. Through its active participation in international organizations' governing boards, the USG promotes efforts to strengthen the UN system and increase the effectiveness of multilateral humanitarian action. The Bureau makes USG contributions to major IO partners including the Office of the United Nations High Commissioner for Refugees, the International Committee of the Red Cross, the International Organization for

Migration, the United Nations Relief and Works Agency for Palestine Refugees in the Near East (UNRWA), and other international organizations. To demonstrate continued U.S. leadership and commitment to these institutions, the U.S. contributions aim to constitute a fair share of the IOs funding requests and appeals with the expectation that other donors -- in the spirit of responsibility sharing -- will support the remaining funding request. Each contribution funding decision is based on Bureau budget and policy prioritization considerations. Each contribution action contains award conditions that are signed and countersigned by authorized representatives of the Bureau and the recipient organization.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Each voluntary and assessed contribution contains award conditions that are accepted by the recipient organization. Most contribution award agreements stipulate that funds may be used to continue authorized designated activities beyond the initial time period of the appeal, if needed. Method of awarding/releasing assistance: other

Reports:

Copies of all program and financial reports concerning the activities specified by the contribution are required to be provided to the Bureau within thirty days of issuance. Contribution awards require that financial records regarding the contribution be maintained in accordance with the Recipients established accounting policies and procedures. Monitoring programs funded through contributions to international organizations remains a Bureau priority to ensure that funds meet core objectives of providing protection and assistance to populations of concern. The Bureau pursues its monitoring of its primary IO partners, as applicable, by negotiating frameworks for cooperation between the USG and the IO incorporating rigorous, mutually-agreed strategic goals, indicators, and performance targets for the year. With these organizations, the Bureau plays an important role in shaping and supporting strategic planning and performance management. The Bureau monitors its programs closely using a range of performance measures to gauge humanitarian impact, assess progress toward strategic priorities, and ensure accountability to beneficiaries and American taxpayers. IO programs are monitored by routine visits of agency staff to program sites and to IO headquarters and regional/country offices.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Annual and/or bi-annual audits are required depending on the PIO. External audits may also be required. The contribution agreement states that in addition to the published financial and program reports, the Recipient agrees to provide copies of its external audit report prepared for donors to the Bureau.

Records:

Program and financial reports are maintained for 10 years.

Account Identification:

19-1143-0-1-151.

Obligations:

Direct Payments for Specified Use FY 20 (\$1,216,642,193.00); FY 21 est (\$1,213,967,296.00); FY 22 est (\$1,211,967,296.00);

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Comptroller Margaret Pollack,
2025 E Street NW,
Washington DC 20522-0908
Email: PollackMJ@state.gov
Phone: 2024539296

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-population-refugees-and-migration/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.517 Overseas Refugee Assistance Programs for Africa

Overseas Refugee Assistance Programs for Africa.

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE,
DEPARTMENT OF

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq., 22 USC Code 2601.

OBJECTIVES:

Assistance will contribute to the basic needs of refugees and conflict victims spread across the African continent (both Sub-Saharan and North Africa). NGOs are key partners of international organizations in Africa, often in specialized areas such as health care, water, sanitation, food distribution, and education. Funds will be provided directly to NGOs to complement the programs of UNHCR and to bring basic assistance up to international life-sustaining standards of care.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

Non-Government - General; International and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugee/Alien; Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via

Grants.gov (international organizations submit appeals to the Bureau). NGOs may submit proposals in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. (Funding announcements are also posted at <http://www.state.gov>.) IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Assistance for Africa and other Bureau offices. A warranted grants officer in the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. Method of awarding/releasing assistance: other

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

ASSISTANCE LISTINGS DESCRIPTIONS

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

Cooperative Agreements FY 20 \$117,867,390.00; FY 21 est \$120,000,000.00; FY 22 est \$123,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Comptroller Wendy Henning,
2025 E Street NW,
Washington DC 20522-0908
Email: HenningWL@state.gov
Phone: 2024539369

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-population-refugees-and-migration/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.518 Overseas Refugee Assistance Programs for Western Hemisphere

Overseas Refugee Assistance Programs for Western Hemisphere,

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE,
DEPARTMENT OF

AUTHORIZATION:

Title The Migration and Refugee Assistance Act (MRAA) of 1962 as amended, ,
22 USC Code 2601 et seq..

OBJECTIVES:

In response to the the outflows of refugees and migrants from Venezuela, PRM, since 2017, has provided direct emergency humanitarian assistance such as food, non-food items, shelter, health, psychosocial support, and livelihoods support to assist with the integration of Venezuelans into host communities, via multilateral and non-governmental implementing partners in Latin America and the Caribbean. PRM also provides humanitarian assistance for Internally Displaced Persons (IDPs) in Colombia and for Colombian refugees throughout the region. This

assistance helps ensure adequate protection for vulnerable people, fill gaps in the provision of emergency humanitarian assistance, and builds local government and community capacity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement or grant provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval. See www.grants.gov for individual announcement.

Applicant Eligibility:

Non-Government - General; United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs. See www.grants.gov for individual announcement.

Beneficiary Eligibility:

Refugee/Alien; Refugees and victims of conflict requiring assistance. See www.grants.gov for individual announcement.

Credentials/Documentation:

Applicants must comply with the PRM NGO Guidelines. See www.grants.gov for individual announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via [Grants.gov](http://www.grants.gov) in response to funding opportunity announcements posted by the Bureau on [Grants.gov](http://www.grants.gov) to fill critical needs, with Bureau review following the deadline of the funding announcement. International Organizations (IOs) submit appeals to the Bureau. (Funding opportunity announcements are also posted at <http://www.state.gov/>) IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding. See www.grants.gov for individual announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Assistance for Europe, Central Asia and Americas and other Bureau Offices. A warranted grants officer in the bureau signs the cooperative agreement. See www.grants.gov for individual announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions require additional approval. See www.grants.gov for individual announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also

ASSISTANCE LISTINGS DESCRIPTIONS

be authorized. See www.grants.gov for individual announcement. Method of awarding/releasing assistance: other

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period. See www.grants.gov for individual announcement.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

Cooperative Agreements FY 20 \$59,926,896.00; FY 21 est \$62,000,000.00; FY 22 est \$64,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below. See www.grants.gov for individual announcement.

Regional or Local Office:

None.

Headquarters Office:

Lyric Doolan Team Lead, Western Hemisphere Assistance,
2025 E Street NW 8th Floor, SA-9 Washington, DC 20520,
Washington DC 20520

Email: DoolanLW@state.gov

Phone: 202-453-9279

Fax: 202-453-9392

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-population-refugees-and-migration/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding. See www.grants.gov for individual announcement.

19.519 Overseas Refugee Assistance Program for Near East

Overseas Refugee Assistance Program for Near East,

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE,
DEPARTMENT OF

AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq., 22 USC Code 2601 et seq..

OBJECTIVES:

Providing protection and assistance to Syrian and Iraqi refugees, conflict victims, internally displaced persons (IDPs) and returnees remains a high priority. Programs will assist refugee populations in neighboring countries. Programs inside Iraq will also help strengthen local structures so that Iraqi government policies and programs will be responsive to refugee, IDP and returnee needs. See www.grants.gov for individual announcement

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval. See www.grants.gov for individual announcement

Applicant Eligibility:

Non-Government - General; United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugee/Alien; Refugees and victims of conflict requiring assistance. See www.grants.gov for individual announcement

Credentials/Documentation:

Applicants must meet the requirements of PRM NGO Guidelines. See www.grants.gov for individual announcement 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via Grants.gov (international organizations submit appeals to the Bureau). NGOs may submit proposals in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following

ASSISTANCE LISTINGS DESCRIPTIONS

the deadline of the funding announcement. (Funding announcements are also posted at <http://www.state.gov/>.) NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding. See www.grants.gov for individual announcement

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Policy and Resource Planning and warranted grants officers within the Bureau sign the cooperative agreement. See www.grants.gov for individual announcement

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. Method of awarding/releasing assistance: other

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. See www.grants.gov for individual announcement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award. See www.grants.gov for individual announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period. See www.grants.gov for individual announcement.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

Cooperative Agreements FY 20 \$105,759,433.00; FY 21 est \$108,000,000.00; FY 22 est \$110,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below. See www.grants.gov for individual announcement.

Regional or Local Office:

None.

Headquarters Office:

Program Officer Virginia Terhar,

Department of State, Bureau of Population, Refugees and Migration (PRM), Office of Assistance for Asia and Near East, 2025 E St. NW, 8th Floor SA-9, Washington DC 20520

Email: TerharVS@state.gov

Phone: (202)453-9292

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-population-refugees-and-migration/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.520 Overseas Refugee Assistance Programs for Europe

Overseas Refugee Assistance Programs for Europe,

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE, DEPARTMENT OF AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended (MRA), 22 U.S.C. 2601 et seq., 22 USC Code 2601.

OBJECTIVES:

Funding of organizations that assist refugees and internally displaced persons (IDPs) in Europe and Central Asia. In the South Caucasus, the Bureau, in conjunction with International Organizations (IOs), non-governmental organizations (NGOs), and the international community, will provide life-sustaining assistance to the most vulnerable IDPs and refugees produced by the conflict in Georgia, Armenia, and Azerbaijan. In Ukraine, PRM provides critical

ASSISTANCE LISTINGS DESCRIPTIONS

humanitarian assistance to those affected by the conflict. In the Balkans, programs that support durable solutions are the focus of our funding, and, through international organizations, we will provide protection to refugees, asylum seekers, and individuals at risk for statelessness.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Upon Bureau approval, funding documents authorize funds based on the organization's budget submission.

Applicant Eligibility:

Non-Government - General; United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugee/Alien; Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Non-governmental organizations (NGOs) must submit proposals via Grants.gov in response to funding announcements posted on Grants.gov by the Bureau to fill critical needs, with Bureau review occurring after the funding announcement deadline. International organizations (IOs) submit appeals to the Bureau. IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Assistance for Europe, Central Asia and Americas and other Bureau offices. A warranted grants officer in the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. Method of awarding/releasing assistance: other

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each

calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

Cooperative Agreements FY 20 \$800,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,200,000.00;

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Deputy Director Daniel Knight,
2025 E St. NW 8th Floor, SA-9,
Washington DC 20520
Email: KightDE@state.gov
Phone: 202-453-9297
Fax: 202-453-9392

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-population-refugees-and-migration/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life-sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards as the basis for design, implementation, and evaluation, including proposed

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objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities

Overseas Refugee Assistance Programs for Strategic Global Priorities,
FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE,
DEPARTMENT OF
AUTHORIZATION:

The Migration and Refugee Assistance Act of 1962, as amended; (MRA) and 22 U.S.C. 2601 et seq., 22 USC Code 2601.

OBJECTIVES:

Bureau support under this program includes mostly contributions to the headquarters and global program costs of the United Nations High Commissioner for Refugees (UNHCR), the headquarters budget of the International Committee of the Red Cross (ICRC) and to a smaller extent, the multiregional activities of other international and non-governmental organizations assisting refugees and other conflict victims. A particular emphasis of this support is to promote initiatives in areas that are cross-cutting, Bureau priorities, such as protecting refugee women and refugee children, preventing and responding to gender-based violence (including sexual exploitation), addressing statelessness, enhancing refugee protection, improving refugee health, including HIV/AIDS prevention and response, and advancing the use of standards and evidence in humanitarian assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement, grant or contribution provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); United Nations, international and non-governmental organizations. MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to, and coordinated with, UN programs.

Beneficiary Eligibility:

Refugee/Alien; Refugees and victims of conflict requiring assistance.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Procedure: Non-governmental organizations (NGOs) must submit proposals via Grants.gov. International organizations submit appeals to the Bureau. NGOS may submit proposals in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. (Funding announcements are also posted at <http://www.state.gov/>) IO and NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding timelines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Multilateral Coordination and External Relations, Office of Policy and Resource Planning and other Bureau offices. A warranted grants officer in the Bureau signs the cooperative agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions require additional approval.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. Method of awarding/releasing assistance: other

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

Cooperative Agreements FY 20 \$6,973,995.00; FY 21 est \$7,200,000.00; FY 22 est \$7,500,000.00;

Range and Average of Financial Assistance:

Not available.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the information contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Comptroller Nicole Shepardson,
2025 E Street NW,
Washington DC 20522-0908
Email: ShepardsonNW@state.gov
Phone: 2024539221

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-population-refugees-and-migration/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Through humanitarian assistance, the Bureau aims to help ensure that refugees and victims of conflict have access to basic life-sustaining services that meet internationally-accepted standards of care in shelter, food supply, nutrition, water, sanitation, and health. NGO proposals should clearly use Sphere standards as the basis for design, implementation, and evaluation, including proposed objectives and indicators. At the core of PRM's humanitarian assistance is a commitment to protection, including support to women, stateless persons, and other vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding.

19.523 Overseas Refugee Assistance Program for South Asia

FEDERAL AGENCY:

BUREAU OF POPULATION, REFUGEES AND MIGRATION, STATE,
DEPARTMENT OF

AUTHORIZATION:

Title The Migration and Refugee Assistance Act (MRAA) of 1962, as amended, ,
22 USC Code 2601 et seq..

OBJECTIVES:

The Bureau will continue support for the return and reintegration programs for Afghan refugees and Internally Displaced Persons (IDPs). The Bureau will also continue supporting protection and assistance activities for refugees who remain in Pakistan and who may not repatriate. The Bureau provides reception, health care, education, and livelihood services for Tibetans living outside Tibet, most in Central Tibetan Administration (CTA) sponsored refugee settlements in India, Nepal, and Bhutan.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement or grant provides funds to meet the organization's objectives as approved by the Bureau. Funding documents authorize funds based on the organization's budget submission after Bureau approval. See www.grants.gov for individual announcement.

Applicant Eligibility:

Non-Government - General; United Nations (UN), International Organization (IO),

and Non-Governmental Organizations (NGO). MRA designates primary UN or IO recipient organizations. NGO activities must be complementary to and coordinate with, UN programs. See www.grants.gov for individual announcement

Beneficiary Eligibility:

Refugee/Alien; Refugees and victims of conflict requiring assistance. See www.grants.gov for individual announcement

Credentials/Documentation:

Applicants must comply with the PRM NGO Guidelines. See www.grants.gov for individual announcement 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Non-governmental organizations (NGOs) must submit proposals for the Bureau's consideration via Grants.gov (International Organizations (IOs) submit appeals to the Bureau). NGOs may submit proposals in response to funding opportunity announcements posted by the Bureau on Grants.gov to fill critical needs, with Bureau review occurring following the deadline of the funding announcement. (Funding announcements are also posted at <http://www.state.gov/>.) NGO representatives are also encouraged to communicate directly with Bureau program officers regarding priorities and funding time lines. NGOs that have never received PRM funding must be prepared to demonstrate that their organizations meet the financial and accounting requirements of the U.S. Government before they can be eligible to receive Bureau funding. See www.grants.gov for individual announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Assistant Secretary of the Bureau makes funding decisions based on recommendations from the Bureau's Office of Policy and Resource Planning and warranted grants officers within the Bureau sign the cooperative agreement. See www.grants.gov for individual announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals or extensions require additional approval. See www.grants.gov for individual announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, funds are awarded for a 12-month period. Shorter time periods may also be authorized. See www.grants.gov for individual announcement. Method of awarding/releasing assistance: other

Reports:

PRM requires program reports describing and analyzing the results of activities undertaken during the validity period of the agreement. A program report is required within thirty (30) days following the end of each three month period of performance during the validity period of the agreement. The final program report is due ninety (90) days following the end of the agreement. The submission dates for program reports will be written into the grant or cooperative agreement. See www.grants.gov for individual announcement. Financial reports are required within thirty (30) days following the end of each calendaryear quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For

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agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). See www.grants.gov for individual announcement. No progress reports are required. Financial reports are required within thirty (30) days following the end of each calendar year quarter during the validity period of the agreement (January 30th, April 30th, July 30th, October 30th). The final financial report covering the entire period of the agreement is required within ninety (90) days after the expiration date of the agreement. For agreements containing indirect costs, final financial reports are due within 60 days of the finalization of the applicable negotiated indirect cost rate agreement (NICRA). The general performance of the Recipient's operations will be evaluated and monitored to ensure that the established objectives are being successfully met and to determine whether the performance is in compliance with the terms of the award. See www.grants.gov for individual announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Bureau funds must be included in an appropriate audit or audits performed by independent public accountants in accordance with U.S. Government Auditing Standards established by the Comptroller General of the United States covering financial audits. The audit report is due 30 days after issuance or nine months after the end of the audit period. See www.grants.gov for individual announcement.

Records:

Retention and access requirements for records are set forth in 22 CFR 145.53.

Account Identification:

19-1143-0-1-151.

Obligations:

Cooperative Agreements FY 20 \$23,795,722.00; FY 21 est \$26,000,000.00; FY 22 est \$28,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-1143.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquires should be directed to the information contacts listed below. See www.grants.gov for individual announcement.

Regional or Local Office:

None.

Headquarters Office:

Program Officer Emily A. Mestetsky,
Department of State, Bureau of Population, Refugees, and Migration (PRM),
Office of Assistance for Europe, Central Asia, and Americas, 2025 E St. NW, 8th
Floor SA-9,
Washington DC 20520
Email: MestetskyEA@state.gov
Phone: (202) 453-9282

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-population-refugees-and-migration/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Bureau's main objective is to help ensure that refugees and victims of conflict have access to basic life sustaining resources in ways that meet internationally accepted standards of care in shelter, food supply, nutrition, water supply, sanitation, and public health. NGO proposals should clearly use SPHERE standards

as the basis for design, implementation, and evaluation, including proposed objectives and indicators. Underlying PRM's support for humanitarian assistance is a commitment to protection, targeted support to women, vulnerable individuals, coordination with relevant UN agencies and other NGOs, sustainability of programming, security, and capacity building. NGOs in search of Bureau funding would do well to address these specific areas in any proposals sent for funding. See www.grants.gov for individual announcement.

19.600 Bureau of Near Eastern Affairs

Bureau of Near Eastern Affairs,

FEDERAL AGENCY:

BUREAU OF NEAR EASTERN AFFAIRS, STATE, DEPARTMENT OF AUTHORIZATION:

Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, 2010, , Section 634 of the Foreign Assistance Act of 1961, as amended, Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, 2010, Public Law 110-161, U.S.C 7015, , Public Law 110-161, USC Code 7015.

OBJECTIVES:

Support the foreign assistance goals and objectives of the Department of State, Bureau of Near Eastern Affairs (NEA), as delineated in the FY Bureau Strategic and Resource Plan. This program is for all grant awards for the entire fiscal year funded through State/NEA/AC.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Discretionary), Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds for grants will comply with the restrictions on foreign assistance, as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcement. This depends on the individual award.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding. Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars. See www.grants.gov for specific announcement. OMB Circular No. A-87 applies to this program. See www.grants.gov for specific announcement.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars. See www.grants.gov for specific announcement. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Bureau of Near Eastern Affairs, Office of Assistance Coordination (NEA/AC). This coordination will take place between program officers in the field and the recipient agencies, or between program officers in Washington, DC and the recipient organizations. See www.grants.gov for specific announcement. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NEA/AC solicits proposals via program announcements posted on www.grants.gov, www.grantsolutions.gov, and on the websites of NEA/AC, and posts in the region. NEA Bureau programming implementers are selected through open competitions, directed, or limited solicitations. Occasionally, NEA/AC will issue sole source awards. NEA/AC also enters into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies. See www.grants.gov for specific announcement.

Award Procedure:

Solicitations are reviewed by panels comprised of area and subject matter experts drawn from both inside and outside the State Department, including from NGOs and the private sector. Award procedures are defined by the U.S. Department of State, Administration Bureau in accordance with relevant OMB Circulars.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See www.grants.gov for specific announcement.

Appeals:

Not Applicable.

Renewals:

Other. Renewals must be coordinated with the State/NEA program officer, the Representative of State/Administration Bureau grants officer, and the recipient.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Although the average length is 12-24 months, the specific length of time for an NEA Bureau programming grant may vary from one award to another. Details for each can be found on the respective announcements. All assistance for Centralized Payments, Cash Management, Financial Reporting, Debt Management, and Audit Assistance is with the Department of Health and Human Services, Division of Payment Management one of the two non-DoD grants payment systems for use by the entire Federal Government. Method of awarding/releasing assistance: other

Reports:

Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed

Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, project/program reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133 if applicable.

Records:

All project/program and financial records must be retained by awards recipients for three years.

Account Identification:

19-7245-0-1-130.

Obligations:

Project Grants (Discretionary) FY 20 \$28,478,840.00; FY 21 est \$26,380,625.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Depends on specific grant award. See www.grants.gov for specific announcement.

TAFS Codes:

19-7245.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Grants Officer Jenny Cho,
2430 E Street NW,
Washington DC 20037
Email: ChoJ@state.gov
Phone: (202) 776-8691
Fax: (202) 776-8500

Website Address:

<http://www.state.gov/p/nea>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project specific, depends on the program. All awards are screened for terror finance and/or support per the relevant U.S. Department of State and federal guidelines and

ASSISTANCE LISTINGS DESCRIPTIONS

regulations. See www.grants.gov for specific announcement.

19.601 Syria Assistance Program

NEA Syria,

FEDERAL AGENCY:

BUREAU OF NEAR EASTERN AFFAIRS, STATE, DEPARTMENT OF AUTHORIZATION:

Executive Order Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, 2010, , Section 634 of the Foreign Assistance Act of 1961,

OBJECTIVES:

Support the foreign assistance goals and objectives of the Department of State, Bureau of Near Eastern Affairs (NEA), as delineated in the Fiscal Year Bureau Strategic and Resource Plan. This program is for all grant awards for the entire fiscal year funded through State/NEA for Syria programming.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds for grants will comply with the restrictions on foreign assistance, as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcement.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Quasi-public nonprofit institution/organization; Other private institutions/organizations; U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding. Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents as required in 2 CFR 200 and 2 CFR 600. See www.grants.gov for specific announcement. 2 CFR 200 applies to this program.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; U.S. or foreign non-profit organizations; for-profit organizations; private institutions of higher education, public or state institutions of higher education; public international organizations; and small businesses with functional and regional experience. Each solicitation outlines who is eligible and what types of experience are needed to apply for funding. See www.grants.gov for specific announcement.

Credentials/Documentation:

Any award made using State/NEA funds requires the full complement of standard federal forms and budget documents, CVs of main program staff, as dictated by the U.S. Department of State, Bureau of Administration, and the relevant OMB circulars. See www.grants.gov for specific announcement. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See www.grants.gov for specific announcement instructions.

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

NEA/AC solicits proposals via program announcements posted on www.grants.gov, and www.grantsolutions.gov, and on the websites of NEA/AC, and posts in the region. NEA Syria programming implementers are selected through open competitions, directed, or limited solicitations. Occasionally, NEA/AC will issue sole source awards. NEA/AC also enters into a limited number of Interagency Acquisition Agreements with other U.S.G. Federal agencies. See www.grants.gov for specific announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals must be coordinated with the State/NEA program officer, the Representative of State/Administration Bureau grants officer, and the recipient.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Although the average length is 12-18 months, the specific length of time for an NEA Syria programming grant may vary from one award to another. Details for each can be found on the respective announcements. All assistance for Centralized Payments, Cash Management, Financial Reporting, Debt Management, and Audit Assistance is with the Department of Health and Human Services, Division of Payment Management one of the two non-DoD grants payment systems for use by the entire Federal Government. Method of awarding/releasing assistance: quarterly **Reports:**

Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, program reports are required quarterly. See www.grants.gov for specific announcement. Required quarterly for all awards. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, progress reports are required quarterly. See www.grants.gov for specific announcement. Required quarterly for all awards. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, financial reports may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer. Depending on the size of the awards and length of the project period, as dictated in the official U.S. Department of State Notice Award, performance monitoring may be required either quarterly, semi-annually, or annually. See www.grants.gov for specific announcement. Required quarterly for all awards. At the discretion of the listed Grants Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133 if applicable.

Records:

Recipients must maintain all grant files for three years after the final report date. Please refer to 2 CFR 200 for specific records retention requirements.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

19-7245-0-1-113.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 18 Not Available; FY 19 Not Available; FY 20 Not Available;

Range and Average of Financial Assistance:

Depends on specific grant award. See www.grants.gov for specific announcement.

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Grants Officer Jenny Cho,
2430 E Street NW,
Washington DC 20037
Email: ChoJ@state.gov
Phone: (202) 776-8691
Fax: (202) 776-8500

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project specific, depends on the program. All awards are screened for terror finance and/or support per the relevant U.S. Department of State and federal guidelines and regulations. See www.grants.gov for specific announcement.

19.662 Fiscal Transparency Innovation Fund

FTIF.

FEDERAL AGENCY:

BUREAU OF ECONOMIC AND BUSINESS AFFAIRS, STATE,
DEPARTMENT OF

AUTHORIZATION:

Title Foreign Assistance Act of 1961, 87-195, 75, US Code 22 USC 2151.

OBJECTIVES:

To help governments to improve budget transparency and to support civil society organizations promoting fiscal transparency.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

For specific information, please see announcement on www.Grants.gov

Applicant Eligibility:

Anyone/general public; For specific information, please see announcement on www.Grants.gov

Beneficiary Eligibility:

Anyone/general public; For specific information, please see announcement on www.Grants.gov

Credentials/Documentation:

For specific information, please see announcement on www.Grants.gov 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For specific information, please see announcement on www.Grants.gov Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For specific information, please see announcement on www.Grants.gov

Deadlines:

For specific information, please see announcement on www.Grants.gov

Range of Approval/Disapproval Time:

Other. From 90 to 120 days. As determined by offices and overseas posts. For specific information, please see announcement on www.Grants.gov

Appeals:

Not Applicable.

Renewals:

Other. Based on program needs and availability of funding. For specific information, please see announcement on www.Grants.gov

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For specific information, please see announcement on www.Grants.gov Method of awarding/releasing assistance: other

Reports:

In general, at a minimum program and financial reports are required quarterly. For specific information, please see announcement on www.Grants.gov

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Per OMB Regulations, all records should be kept for three years, beginning from expiration date of the award.

Account Identification:

19-3401-1-3-003.

Obligations:

Formula Grants FY 20 \$4,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$7,000,000.00;

Range and Average of Financial Assistance:

For specific information, please see announcements on www.Grants.gov.

TAFS Codes:

19-3401.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: To date, Fiscal Transparency Innovation Fund (FTIF) projects have benefitted over 65 countries to enhance budget transparency, advance public financial management, and improve the transparency of licensing and contracting in natural resource extraction.

REGULATIONS, GUIDELINES, AND LITERATURE:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Mark Simeone,
Department of State Bureau of Economic and Business Affairs, Office of Monetary Affairs (EB/OMA),
Washington DC 20520
Email: fiscaltransparency@state.gov
Phone: 202.736.4008
Fax:

Website Address:

<https://www.state.gov/fiscal-transparency-innovation-fund/>

RELATED PROGRAMS:

19.322 Economic Statecraft;

EXAMPLES OF FUNDED PROJECTS:

2021 1) Build public financial management capacity and strengthen financial management policies; 2) Facilitate pro-transparency legislative reforms at the local and national level; 3) Construct innovative tools for the public dissemination of budget documents; 4) Strengthen collaboration between government officials and civil society leaders and promote public participation in the budget process; 5) Empower civil society and media to monitor public funds and hold governments accountable for expenditures and public debt; 6) Fund other innovative approaches to advance fiscal transparency

CRITERIA FOR SELECTING PROPOSALS:

For specific information, please see announcement on www.Grants.gov

19.666 EUR/ACE National Endowment for Democracy Small Grants

National Endowment for Democracy (NED) Small Grants Program in Europe, Eurasia and Central Asia,

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act of 1961, Section 634A, Statue 75-424, Public Law 87-194, 22 USC Code 2151. Department of State, Foreign Operations, and Related Programs Appropriations Act of 2010, , Public Law 111-117

OBJECTIVES:

To advance democracy in Europe, Eurasia and Central Asia by providing support to indigenous civil society organizations.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance can be used to issue sub-grants to indigenous civil society organizations in Europe, Eurasia and Central Asia.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); This program is awarded on a non-competitive basis.

Beneficiary Eligibility:

Public nonprofit institution/organization; N/A

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Coordinator of U.S. Assistance to Europe and Eurasia will solicit proposals from NED. The Grants Officer in A/LM/AQM and Grants Officer Representative

in EUR/ACE will review the proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding must be obligated within two years of appropriation and expended within five years of obligation. Method of awarding/releasing assistance: other

Reports:

Grantee is required to submit annual program reports. Grantee is required to submit quarterly financial reports. Grantee is required to submit quarterly financial reports. Grantee is required to submit quarterly financial reports. Grantee is required to submit annual program reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

As required by FAR and OMB circular A-133, 22 CFR-145, and 2 CFR 230 (A-122) regulations.

Account Identification:

19-7201-1-0-306.

Obligations:

Project Grants (Discretionary) FY 20 \$14,629,355.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-7201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Democracy and Governance Specialist Nicole Hollinshead,
2201 C Street, NW,
Washington DC 20520
Email: hollinsheadnr@state.gov
Phone: (202) 647-7703.

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

19.700 General Department of State Assistance

General Assistance Programs-No longer in use.

FEDERAL AGENCY:

STATE, DEPARTMENT OF
AUTHORIZATION:

Smith-Mundt Act The United States Information and Educational Exchange Act of 1948, P.L. 80-402, , The Immigration and Nationality Act, as amended by the Refugee Act of 1980, , Foreign Assistance Act of 1961 as amended., Part 2, , 22 The Fulbright-Hays Act -1961, , Public Law 87-256

OBJECTIVES:

his number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Capacity Building and Complaint Processing, Training), Project Grants (Cooperative Agreements or Contracts), Project Grants (Discretionary), Project Grants (Fellowships), Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

Award Procedure:

This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

Appeals:

Not Applicable.

Renewals:

Not Applicable. This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use. Method of awarding/releasing assistance: other

Reports:

OMB Circular A-133 is applicable for domestic recipients where total Federal Assistance is greater than \$500,000. This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use. This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use. This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200 Sub Part F

Records:

Finacial records are required to be maintained for three years.

Account Identification:

19-7290-1-0-101. 19-7290-0-3-060. 19-7290-1-0-371. 19-7290-1-0-210. 19-1991-1-1-210.

Obligations:

Project Grants FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Project Grants (Cooperative Agreements or Contracts) FY 20 Not Available; FY 21 Not Available; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

TAFS Codes:

19-7290. 19-1991.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200See www.grants.gov for program specifics

Regional or Local Office:

See Regional Assistance Locations. This number not to be used for any actions. Please write your Desk Officer if you are not sure which CFDA/FAL number to use.

Headquarters Office:

Federal Assistance Federal Assistance Policy,
2201 C Street,
Washington DC 20522
Email: aoefagrantspolicy@state.gov
Phone: 703-516-1684

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

19.701 Global Counterterrorism Programs

Countering Terrorism and Violent Extremism,

FEDERAL AGENCY:

BUREAU OF COUNTERTERRORISM, STATE, DEPARTMENT OF
AUTHORIZATION:

Executive Order (FAA) 22 U.S.C . , Foreign Assistance Act of 1961 as amended,
OBJECTIVES:

The Bureau of Counterterrorism (CT) builds foreign partner law enforcement capacity to prevent, disrupt, investigate, prosecute, and adjudicate terrorism, while bolstering the efforts of civilian government and civil society partners around the world to counter violent extremism.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The primary goal of these funds is to build civilian capacity to counter terrorism and violent extremism. See Grants.Gov for individual program announcements

Applicant Eligibility:

Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Non-Government - General, Private nonprofit institution/organization (includes institutions of higher education and hospitals), Quasi-public nonprofit institution/organization, Public International Organizations, Other private institutions/organizations See Grants.Gov for individual program announcements

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Women; Public nonprofit institution/organization; Other public institution/organization; See Grants.Gov for individual program announcements

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Portion of grants awarded to Public International Organizations (PIO); remainder see Grants.Gov for individual announcements

Award Procedure:

Portion of grants awarded to Public International Organizations (PIO); remainder see Grants.Gov for individual announcements

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. see Grants.Gov for individual announcements

Appeals:

Not Applicable. see Grants.Gov for individual announcements

Renewals:

Not Applicable. see Grants.Gov for individual announcements

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most grants are for one to two years. See Grants.Gov for individual announcements
Method of awarding/releasing assistance: quarterly
Method of awarding/releasing assistance: quarterly

Reports:

Quarterly financial and program status reports are required See terms and conditions for individual grants
Quarterly financial and program status reports are required
Quarterly financial and program status reports are required
Quarterly financial and program status reports are required

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 see Grants.Gov for individual program announcements
OMB Circular A-133 applies to grant recipients in excess of \$500,000

Records:

Records are retained for three years

Account Identification:

19-1112-1-0-750.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Depending on the project, the range is \$100,000 to \$2,000,000. see www.grants.gov for individual announcements

TAFS Codes:

19-1075.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. see www.grants.gov for individual announcements

Headquarters Office:

Program Analyst Laurie Freeman,
2401 E Street NW,
Washington DC 20520
Email: freemanla@state.gov
Phone: 2026344573

Website Address:

<https://www.state.gov/bureaus-offices/under-secretary-for-civilian-security-democracy-and-human-rights/bureau-of-counterterrorism/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

see www.grants.gov for individual announcements

19.703 Criminal Justice Systems

Rule of Law, Justice Systems,

FEDERAL AGENCY:

BUREAU OF INTERNATIONAL NARCOTICS AND LAW ENFORCEMENT
AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act of 1961,

OBJECTIVES:

Institutionalize rule of law by developing and expanding criminal justice systems to strengthen partner country law enforcement and judicial effectiveness, foster cooperation in legal affairs, and advance respect for human rights.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

Projects that expand legal training programs to enhance the ability of associations to improve the effectiveness of attorneys, prosecutors, and judicial investigators; expanding and enhancing justice institutions, anti-corruption initiatives, access to justice, increased support for women legal professionals, and a safe, secure, and humane corrections system; support programs to fight corruption, prosecute environmental crime, and enhance the rule of law through technical assistance. Please see announcements in www.grants.gov.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Please see announcements in www.grants.gov.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Please see announcements in www.grants.gov

Credentials/Documentation:

Please see announcements in www.grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See application in www.grants.gov.

Award Procedure:

Please see Awards Policy Page of the Office of the Procurement Executive: [http://www.statebuy.state.gov/assistance awards/gtpolicy.htm](http://www.statebuy.state.gov/assistance%20awards/gtpolicy.htm).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Please see announcements in www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Solicitation for proposals are posted in www.grants.gov all year-round. Most performance period of INL grant is for one year. Please see announcements in www.grants.gov. Method of awarding/releasing assistance: other

Reports:

Submission of a Program Report is required at the end of the grant. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Please see announcements in www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Please see applications on www.grants.gov for audit requirements.

Records:

Recipients are required to maintain financial records for three years.

Account Identification:

19-1123-1-0-220.

Obligations:

Project Grants FY 20 \$158,065,323.00; FY 21 est \$100,460,777.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range varies widely. Please see announcements in www.grants.gov.

TAFS Codes:

11-1022.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: INL manages programs that drive global action through international engagement that promotes interoperable criminal justice systems and common standards to combat shared criminal threats

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the following website: [http://www.statebuy.state.gov/assistance awards/gtpolicy.htm](http://www.statebuy.state.gov/assistance%20awards/gtpolicy.htm).

Regional or Local Office:

None. Please see announcements in www.grants.gov.

Headquarters Office:

Grants Officer/Program Analyst Cheryl Price,
2430 E St. NW,
Washington DC 20037
Email: pricech@state.gov
Phone: 202-256-2289
Fax:

Website Address:

<http://www.state.gov/p/inl/>

RELATED PROGRAMS:

19.704 Counter Narcotics; 19.705 Trans-National Crime;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see announcements in www.grants.gov.

19.704 Counter Narcotics

Counter Narcotics, Interdiction, Demand Reduction,

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

BUREAU OF INTERNATIONAL NARCOTICS AND LAW ENFORCEMENT AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act of 1961.

OBJECTIVES:

Disrupt the overseas production and trafficking of illicit drugs through targeted counter-narcotics and institution-building assistance and coordination with foreign nations and international organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Counter-narcotics programs include expanding interdiction, drug demand reduction and public outreach activities, involving women and youth and increasing their engagement and understanding of drug-related issues. Please see announcements in www.grants.gov.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Please see announcements in www.grants.gov.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Please see announcements in www.grants.gov.

Credentials/Documentation:

Please see announcements in www.grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see announcements in www.grants.gov.

Award Procedure:

Please see Awards Policy Page of the Office of the Procurement

Executive:<http://www.statebuy.state.gov/assistance/gtpolicy.htm>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Please see announcements in www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Solicitation for proposals are posted all year round. Most performance period of INL grant is for one year. Please see announcements in www.grants.gov. Method of awarding/releasing assistance: other

Reports:

Submission of a Program Report is required at the end of the grant. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Please see announcements in www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See www.grants.gov application for audit requirements.

Records:

Recipients are required to maintain financial records for three years.

Account Identification:

19-1123-1-0-220.

Obligations:

Project Grants FY 20 \$80,530,865.00; FY 21 est \$15,138,153.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range varies widely. Please see announcements in www.grants.gov.

TAFS Codes:

11-1022.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: INL manages programs that disrupt or deter significant illicit drug trafficking and associated violence that threatens U.S. national security, public safety, or drives irregular migration to the United States.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see Awards Policy page of the Office of Procurement Executive: <http://www.statebuy.state.gov/assistance/gtpolicy.htm>.

Regional or Local Office:

None. Please see announcements in www.grants.gov.

Headquarters Office:

Grants Officer/Program Analyst Cheryl Price,
2430 E St. NW,
Washington DC 20037
Email: pricech@state.gov
Phone: 202-256-2289
Fax:

Website Address:

<http://www.state.gov/p/inl>

RELATED PROGRAMS:

19.703 Criminal Justice Systems; 19.705 Trans-National Crime;

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see announcements is www.grants.gov.

19.705 Trans-National Crime

FEDERAL AGENCY:

BUREAU OF INTERNATIONAL NARCOTICS AND LAW ENFORCEMENT AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign assistance Act of 1961,

OBJECTIVES:

Minimize the impact of transnational crime and criminal networks on the U.S. and its allies through enhanced international cooperation and foreign assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Programs that aims to improve law enforcement capacity to investigate and prosecute complex organized crimes, including narcotics smuggling, environmental crimes, and terrorism; effective law enforcement development programs - including enhancing maritime police capacity and assisting in the development of Emergency Management System to respond to natural disasters, terrorist attacks, or other emergencies; enhance law enforcement capacity to investigate and prosecute transnational crimes, including narco-trafficking, terrorism, and piracy; and programs focusing on problem areas along our shared borders.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Please see announcements in www.grants.gov.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Please see announcements in www.grants.gov.

Credentials/Documentation:

Please see announcements in www.grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see announcements in www.grants.gov.

Award Procedure:

Please see announcements in www.grants.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Please see announcements in www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Solicitation for proposals are posted in grants.gov all year-round. Most performance period of INL grants is for one year. Please see announcements in www.grants.gov. Method of awarding/releasing assistance: other

Reports:

Submission of a Program Report is required at the end of the grant. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Submissions of quarterly reports are required. Please see announcements in www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See application on grants.gov for audit requirements.

Records:

Recipients are required to maintain financial records for three years.

Account Identification:

19-1123-1-0-220.

Obligations:

Project Grants FY 20 \$59,422,119.00; FY 21 est \$21,740,938.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range varies widely. Please see announcements in www.grants.gov.

TAFS Codes:

11-1022.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: INL manages programs that disrupt or deter significant transnational criminal activity that threatens U.S. national security, public safety, or drives irregular migration to the United States.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the following website: <http://www.statebuy.state.gov/assistance/awards/gtpolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Grants officer/Program analyst Cheryl Price,
2430 E St. NW,
Washington DC 20037
Email: pricech@state.gov
Phone: 202-256-2289
Fax:

Website Address:

<http://www.state.gov/p/inl>

RELATED PROGRAMS:

19.703 Criminal Justice Systems; 19.704 Counter Narcotics;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see individual announcements in grants.gov

19.706 Partnership for Regional East Africa Counterterrorism

Partnership for Regional East Africa Counterterrorism (PREACT),

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Title Foreign Assistance Act of 1961,

OBJECTIVES:

The objective of this program is to build the capacity and resilience of military, law enforcement, and civilian actors across East Africa to degrade al-Shabaab, an al-Qaeda affiliate, defeat the Islamic State of Iraq and Syria (ISIS), and counter the threat of other violent extremist organizations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

See www.grants.gov for individual notice of funding opportunities for individual project information.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; See www.grants.gov for individual notice of funding opportunities and eligibility requirements

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Profit organization; Small business; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; See www.grants.gov for individual notice of funding opportunities for eligibility information.

Credentials/Documentation:

See www.grants.gov for individual notice of funding opportunities and eligibility requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

See www.grants.gov for individual notice of funding opportunities for award information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See www.grants.gov for individual notice of funding opportunities for individual project information. Method of awarding/releasing assistance: other

Reports:

Quarterly financial reports using form SF-425. Quarterly financial reports using form SF-425. Quarterly performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 600

Records:

Account Identification:

19-7210-3-7-002. 19-1110-3-2-004.

Obligations:

Project Grants FY 19 \$3,000,000.00; FY 20 est \$3,000,000.00; and FY 21 est \$2,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-0721. 19-0111.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sean Setter,
2201 C St NW,
Washington DC 20520
Email: setters@state.gov
Phone: (202) 453 8598
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

19.979 Regional Peace and Security; 19.701 Global Counterterrorism Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

19.750 Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas)

Bureau of Western Hemisphere Affairs WHA,

FEDERAL AGENCY:

BUREAU OF WESTERN HEMISPHERE AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Executive Order Department of State, Foreign Operations and Related Programs Appropriations Act, Section 634 of the Foreign Assistance Act of 1961, as amended, Public Law 110-161, 7015

OBJECTIVES:

Support the foreign assistance goals and objectives of the Department of State, Bureau of Western Hemisphere Affairs (WHA), as delineated in the FY Bureau Strategic and Resource Plan and other strategic planning documents. This program is for all grant awards for the entire fiscal year funded through State/WHA.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds for grants will comply with the restrictions on Economic Support Funds (ESF), as specified in the Foreign Assistance Act of 1961, as amended. See www.grants.gov for specific announcements. See www.grants.gov for individual application.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Uses of assistance vary according to the individual award. Please see www.grants.gov for specific announcement.

Beneficiary Eligibility:

Private nonprofit institution/organization; Student/Trainee; Scientist/Researchers; Migrant; Women; Sponsored organization; Moderate Income; Low Income; Major Metropolitan (over 250,000); Other Urban; Suburban; Rural; Public nonprofit institution/organization; U.S. Department of State, WHA Bureau, issues grants to domestic entities that implement programs in WHA countries. Primary beneficiaries are residents of any community abroad where program activities are taking place. See www.grants.gov for specific announcement. See www.grants.gov for individual application.

Credentials/Documentation:

Any award made using State/WHA funds requires the full complement of standard forms and budget documents (including Congressional Notifications), as dictated by the U.S. Department of State, the Bureau of Administration, and the relevant OMB circulars. See www.grants.gov for specific announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-award coordination is required for all grantees applying for foreign assistance grants through the U.S. Department of State, Bureau of Western Hemisphere Affairs grant awards. This coordination will take place between program officers in Washington, D.C. and the recipient organizations, or between program officers in the field and recipient organizations. See www.grants.gov for specific announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See www.grants.gov for

specific announcement.

Award Procedure:

Defined by the U.S. Department of State, Administration Bureau in accordance with relevant OMB Circulars. See www.grants.gov for specific announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. See www.grants.gov for specific announcement.

Appeals:

Not Applicable.

Renewals:

Other. Renewals must be coordinated with the State/WHA program officer, the Representative of State/Administration Bureau grants officer, and the recipient.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Most grant awards are from one to three years in length. See www.grants.gov for specific announcement. Method of awarding/releasing assistance: other

Reports:

Project/program reports are required to be submitted on a quarterly basis. Cash reports are required to be submitted as part of the quarterly financial reports. Progress reports are required to be submitted on a quarterly basis. Standard expenditure reports (SF-FFR) are required to be submitted on a quarterly basis. Quarterly progress reports should reflect the grantees continued focus on measuring the projects impact on the overarching goals or problems the project set out to address. An assessment of the overall project impact, as appropriate, should be included in each quarterly project report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See application on www.grants.gov for audit requirements.

Records:

All project/program documents and financial records must be retained by awards recipients for three years.

Account Identification:

19-7201-1-0-370.

Obligations:

Project Grants FY 20 \$3,555,552.00; FY 21 est \$641,975.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Grants can range from small amounts (thousands of dollars) up to \$2-3 million. The average federal share of expenditures for WHA grants is around \$1M. See www.grants.gov for specific announcement.

TAFS Codes:

19-1037.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants must follow the scope of work and terms and conditions as stated in the grant agreement concluded between grantees and State/WHA.

Regional or Local Office:

Headquarters Office:

Program Officer Anthony Grimaldo,
2201 C St. NW,
Washington DC 20520
Email: grimaldoal@state.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 202 647 5506

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.grants.gov for specific announcement and criteria for selection.

19.800 Weapons Removal and Abatement

(PM/WRA),

FEDERAL AGENCY:

POLITICAL MILITARY AFFAIRS/ WEAPONS REMOVAL AND ABATEMENT, STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act (FAA) of 1961, Department of State, Foreign Operations, and Related Programs Appropriations Act, 2016 (Div. K, P.L. 114-113), , Public Law 87-195

OBJECTIVES:

To reduce the threats posed to civilian security by at-risk, illicitly proliferated, and indiscriminately used conventional weapons.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

All assistance must support the U.S. Conventional Weapons Destruction (CWD) program. Assistance may be used for small arms/light weapons (SA/LW) destruction programs, SA/LW security, physical security and stockpile management, man-portable air-defense systems (MANPADS) threat reduction, and explosive remnants of war remediation, including landmine and unexploded ordnance destruction.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; All projects must have a clear focus on CWD program goals and objectives.

Beneficiary Eligibility:

Anyone/general public; N/A

Credentials/Documentation:

In addition to submitting applications for assistance, all applicants must be accredited by the host nation government to perform CWD-related activities in country. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

PM/WRA issues awards through a competitive or non-competitive process. All applications must be submitted through GrantSolutions.gov, at which point they are considered for funding by PM/WRA's program and resource management teams.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Dependent upon CWD program priorities, funds availability, and grantee past performance, grants and cooperative may be renewed at the discretion of PM/WRA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award funding is available to the recipient only during the award's period of performance. If a grantee does not fully expend award funding during the period of performance, then any remaining funds must be returned to the Department of State. Method of awarding/releasing assistance: other

Reports:

Quarterly narrative and financial reports are required as well as a final project report with clear and measurable deliverables. Quarterly narrative and financial reports are required as well as a final project report with clear and measurable deliverables. Quarterly narrative and financial reports are required as well as a final project report with clear and measurable deliverables. Quarterly financial reports are required as well as a final project report with clear and measurable deliverables. PM/WRA monitors grantee performance by reviewing program and financial reports, conducting site visits, employing third-party monitors (in specific countries), and working with relevant U.S. embassies to oversee grantees.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 All recipients must have program audits performed in accordance with 2 CFR 200, Subpart F.

Records:

All records must be maintained in accordance with 2 CFR 200.

Account Identification:

19-1111-0-7-500.

Obligations:

Project Grants (Discretionary) FY 20 \$227,000,000.00; FY 21 est \$241,400,000.00; FY 22 est \$249,999,999.00;

Range and Average of Financial Assistance:

Grants range from \$25,000 to \$30,000,000.

TAFS Codes:

19-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Primary regulations are the Foreign Assistance Act of 1961, 2 CFR 200, 2 CFR 600, and the Department of State's Federal Assistance Directive.

Regional or Local Office:

None.

Headquarters Office:

Grants Officer Selecia L. Banner,
DOS Annex 3, Suite 6100, 2121 Virginia Ave. NW,
Washington DC 20037

Email: BannerSL@state.gov

Phone: (202) 453-8321

Website Address:

<http://www.state.gov>

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All criteria for selecting proposals are included in Notice of Funding Opportunities (NOFO) posted to Grants.gov.

19.801 Office of Global Women's Issues

Global Women's Empowerment Programs,

FEDERAL AGENCY:

SECRETARY'S OFFICE OF GLOBAL WOMEN'S ISSUES, STATE,
DEPARTMENT OF

AUTHORIZATION:

Department of State, Foreign Assistance Act of 1961 as Amended,

OBJECTIVES:

The Secretary's Office of Global Women's Issues (S/GWI) promotes the empowerment of women and girls in U.S. foreign policy. The office works to advance women's economic empowerment, Women, Peace, and Security, and gender-based violence prevention and response.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Capacity Building and Complaint Processing, Training), Project Grants (Contracts), Project Grants (Special

USES AND USE RESTRICTIONS:

See individual announcements in grants.gov for further information

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Public nonprofit institution/organization (includes institutions of higher education and hospitals), other public institutions, Non-Government - General, Private nonprofit institution/organization (includes institutions of higher education and hospitals), Quasi-public nonprofit institution/organization, Other private institutions/organizations See individual announcements in grants.gov for further information

Beneficiary Eligibility:

Minority group; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); School; Youth (16-21); Senior Citizen (60+); Unemployed; Moderate Income; Low Income; Other Urban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; See individual announcements in grants.gov for further information

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See individual announcements in grants.gov for further information

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See individual announcements in grants.gov for further information

Award Procedure:

Final awards cannot be made until funds have been appropriated by Congress, allocated and committed through internal Office procedures. Successful applicants will receive a Federal Assistance Award (FAA) from the Grants Officer. The FAA and the original proposal with subsequent modifications (if applicable) shall be the only binding authorizing document between the recipient and the U.S. Government. The FAA will be signed by an authorized Grants Officer, and submitted to the recipients officer in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See individual announcements in grants.gov for further information

Appeals:

Not Applicable.

Renewals:

Not Applicable. As stated in the grants.gov and Federal Register announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See individual announcements in grants.gov for further information Method of awarding/releasing assistance: other

Reports:

Quarterly or as specified via grant agreement Quarterly or as specified via grant agreement Quarterly or as specified via grant agreement Quarterly or as specified via grant agreement Quarterly or as specified via grant agreement

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 As per 2 CFR 200, Subpart F as applicable

Records:

Financial records are required to be kept for three years after the final reports are due.

Account Identification:

19-1201-1-3-000.

Obligations:

Cooperative Agreements FY 20 \$10,000,000.00; FY 21 est \$9,999,999.00; FY 22 est \$9,999,999.00;

Range and Average of Financial Assistance:

See individual announcements in grants.gov for further information

TAFS Codes:

19-1001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See individual announcements in grants.gov for further information

Regional or Local Office:

None.

Headquarters Office:

SGWI Programs,
2201 C St NW Room 7532,
Washington DC 20520

Email: SGWIPProjectProposals@State.gov

Phone: 2026475896

Website Address:

<https://www.state.gov/bureaus-offices/secretary-of-state/office-of-global-womens-issues>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ASSISTANCE LISTINGS DESCRIPTIONS

See individual announcements in [grants.gov](https://www.grants.gov) for further information

19.878 EUR-Other

EUR-Other,

FEDERAL AGENCY:

BUREAU OF EUROPEAN AND EURASIAN AFFAIRS, STATE,
DEPARTMENT OF

AUTHORIZATION:

Executive Order Foreign Assistance Act of 1961, Title 111, Part 117, Section 634A, Department of State, Foreign Operations, and Related Programs Appropriations Act of 2010, Title 111, Part 117, Section 634A, Statute 75-424, Public Law 87-194, 22 USC Code 2151.

OBJECTIVES:

The primary goal is to promote democratic and free market transitions in the former communist countries of Central and Eastern Europe and Eurasia, enabling them to overcome their past and become reliable, productive members of the Euro-Atlantic community of Western democracies.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project grants vary. Awards provide support for promoting democratic and free market transitions. Programs promote long-term stability in the region

Applicant Eligibility:

Sponsored organization; This program is awarded on a non-competitive basis.

Beneficiary Eligibility:

Sponsored organization; Not Applicable

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See Notice of funding opportunity for information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Coordinator of U.S. Assistance to Europe and Eurasia will solicit proposals. The Grants Officer in A/LM/AQM and Grants Officer Representative in EUR/ACE will review the proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding must be obligated within two years of appropriation and expended within five years of obligation. Method of awarding/releasing assistance: other

Reports:

Varies Varies Varies Varies Varies

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

As required by FAR and OMB circular A-133, 22 CFR-145, and 2 CFR 230 (A-122) regulations.

Account Identification:

19-7201-0-3-060.

Obligations:

Project Grants FY 18 \$2,469,391.00; FY 19 Not Available; and FY 20 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-7201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nicole Hollinshead,
2201 C St. NW,
Washington DC 20052
Email: hollinsheadnr@state.gov
Phone: (202) 647-8002.

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.900 AEECA/ESF PD Programs

Assistance for Europe, Eurasia, and Central Asia (AEECA) & Economic Support Fund (ESF) Public Diplomacy Programs (EUR/PD and SCA/PPD),

FEDERAL AGENCY:

OFFICE OF THE COORDINATOR OF U.S. ASSISTANCE TO EUROPE AND EURASIA, STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act of 1961, FREEDOM Support Act, and SEED Act,

OBJECTIVES:

To provide civil society and democracy building public diplomacy programs within Europe, Eurasia and Central Asia. The applicable countries are: Albania, Armenia, Azerbaijan, Belarus, Bosnia & Herzegovina, Georgia, Kazakhstan, Kosovo, Kyrgyzstan, Macedonia, Moldova, Montenegro, Russia, Serbia, Tajikistan, Turkmenistan, Ukraine, and Uzbekistan.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are granted primarily to indigenous NGOs and institutions of higher learning to carry out civil society and democracy building programs within their respective countries/regions.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education

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and hospitals); Other public institution/organization; Non-Government - General; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); See individual federal assistance announcements on U.S. Embassy web sites in: Albania, Armenia, Azerbaijan, Belarus, Bosnia & Herzegovina, Georgia, Kazakhstan, Kosovo, Kyrgyzstan, Macedonia, Moldova, Montenegro, Russia, Serbia, Tajikistan, Turkmenistan, Ukraine, or Uzbekistan for details.

Beneficiary Eligibility:

Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Public nonprofit institution/organization; Other public institution/organization; Only applicants NGO's from Albania, Armenia, Azerbaijan, Belarus, Bosnia & Herzegovina, Georgia, Kazakhstan, Kosovo, Kyrgyzstan, Macedonia, Moldova, Montenegro, Russia, Serbia, Tajikistan, Turkmenistan, Ukraine, or Uzbekistan are eligible for this program.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applicable embassies vary award procedures. Typical steps are: requests for proposals, reviews, and then notification based on the individual Public Affairs Section timelines.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typical grants are less than one year. Grants are not to exceed five years. Method of awarding/releasing assistance: other

Reports:

Requirements for reporting are determined on a case-by-case basis by the program office, in compliance with USG regulations. Progress reports are required in compliance with USG regulations. Performance monitoring by program office is conducted on, at minimum, a yearly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records should be maintained for three years after the grant has ended.

Account Identification:

19-7201-0-3-060.

Obligations:

Project Grants FY 20 \$52,216,249.00; FY 21 est \$51,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

19-7201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Alexandra Kirk,
2201 C Street, NW, Room 3249,
Washington DC 20520
Email: kirkad@state.gov
Phone: 202 647 9067

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.901 Export Control and Related Border Security

Export Control and Related Border Security (EXBS) Program,

FEDERAL AGENCY:

BUREAU OF INTERNATIONAL SECURITY AND NONPROLIFERATION,
STATE, DEPARTMENT OF
AUTHORIZATION:

Foreign Assistance Act of 1961, as amended, , Public Law 87-195, 22 USC Code 2349bb-1.

OBJECTIVES:

The U.S. Department of States Bureau of International Security and Nonproliferation, Office of Export Control Cooperation (ISN/ECC) administers the Export Control and Related Border Security (EXBS) Program. The EXBS Program Mission is to enhance U.S. national security by preventing proliferation of WMD and illicit trade in conventional weapons, and strengthen partner countries capabilities to comply with international strategic trade control norms and enforcement best practices. EXBS trains border security personnel and law enforcement officials to detect, interdict, investigate, and prosecute illicit transfers of conventional weapons and to ensure that international ports of entry have adequate equipment to screen suspicious cargo. EXBS also assists partner countries in the establishment of independent strategic trade control authorities to regulate transfers of technologies and material that could be used for WMD, related delivery systems, or advanced conventional weapons. To strengthen compliance with international strategic trade control norms and U.N. sanctions resolutions, EXBS also conducts outreach to the private sector to help industry better understand its nonproliferation obligations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

ISN/ECC periodically awards assistance instruments to facilitate implementation of specific EXBS projects in various partner countries. Examples of typical EXBS projects include formal training workshops for foreign government officials, working closely with foreign government officials to assist with drafting strategic trade control legislation and implementing regulations, and assessing the status of

ASSISTANCE LISTINGS DESCRIPTIONS

EXBS partner countries' strategic trade control systems. ISN/ECC will release requests for application (RFAs) as specific EXBS grant/cooperative agreement opportunities are identified.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; N/A Please see specific announcement in www.grants.gov.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Quasi-public nonprofit organization; Education Professional; Scientist/Researchers; State; Public nonprofit institution/organization; Other public institution/organization; N/A Please see specific announcement in www.grants.gov

Credentials/Documentation:

As specified in specific requests for application (RFAs), applicants may be required to submit copies of their most recent negotiated indirect cost rate agreement (NICRA) to support proposed indirect costs. Additionally, applicants may be required to submit resumes, curricula vitae, or other supporting documentation showing that proposed key personnel are qualified to perform their intended roles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed application instructions will be specified in individual requests for application (RFAs). Please see specific announcement in www.grants.gov.

Award Procedure:

ISN/ECC will evaluate applications for EXBS assistance agreements consistent with the terms specified in individual requests for application (RFAs). Please see specific announcement in www.grants.gov.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Please see specific announcement in www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ISN/ECC typically limits performance of individual EXBS assistance agreements to twelve months, though performance may be permitted beyond twelve months on a case-by-case basis depending on the complexity of the given project. Method of awarding/releasing assistance: lump sum.

Reports:

ISN/ECC requires program reports at least quarterly, though more frequent and specialized reporting may be required. Specific reporting requirements are detailed in individual requests for application (RFAs). Please see specific announcement in www.grants.gov. Please see specific announcement in www.grants.gov. ISN/ECC requires expenditure reports at least quarterly, though more frequent and specialized reporting may be required. Specific reporting requirements are detailed in individual requests for application (RFAs). Please see specific announcement in www.grants.gov. Please see specific announcement in www.grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Please see specific announcement in www.grants.gov.

Records:

Financial records required to be maintained for three years.

Account Identification:

19-1112-1-0-075.

Obligations:

Project Grants FY 20 \$20,406,610.00; FY 21 est \$28,999,999.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Historically, ISN/ECC has awarded approximately \$2 million in EXBS assistance awards per fiscal year. Typical award approx \$85,000. See specific announcement at www.grants.gov

TAFS Codes:

19-0019.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Carolyn E. Stanley,
2100 C Street NW,
Washington DC 20520
Email: stanleyCE@state.gov
Phone: 202-793-1755

Website Address:

<https://www.state.gov/export-control-and-related-border-security-program/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for proposal selection is specified in individual requests for application (RFAs). See specific announcement at www.grants.gov

19.948 Organization of American States Programs

Organization of American States Programs,

FEDERAL AGENCY:

U.S. Permanent Mission to the Organization of American States, STATE,
DEPARTMENT OF
AUTHORIZATION:

Foreign Assistance Act (FAA) of 1961, Department of State, Foreign Operations, and Related Programs Appropriations Act, 2017, Part I, Section 302, Public Law 87-195, 22 USC Code 2222.

OBJECTIVES:

Funds shall be for Organization of American States development assistance programs; building of democracy and restoration of peace; and supporting the work of the Inter-American Commission on Human Rights and the Special Rapporteur for Freedom of Expression

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Special), Salaries and Expenses

USES AND USE RESTRICTIONS:

Economic Development, Law, Justice, and Legal Services, Civil Defense/Disaster

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Prevention and Relief/Emergency Preparedness. Funding to support the three organizational pillars of the Organization of American States. All funds are Congressionally earmarked: For FY2020, within the total provided under this heading, \$5,000,000 is for programs to promote and protect human rights, of which not less than \$500,000 is for the Office of the Special Rapporteur for Freedom of Expression. For FY 2021, \$5,000,000 is for programs to promote and protect human rights, of which not less than \$500,000 is for the Office of the Special Rapporteur for Freedom of Expression.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); All funds may only be provided to the Organization of American States, a public international organization.

Beneficiary Eligibility:

Public nonprofit institution/organization; Public International Organization

Credentials/Documentation:

Only the Organization of American States may apply. All grant application approvals and submissions must be done in coordination with the OAS Secretariat of Administration and Finance (SAF). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Please see grants.gov for pre-application requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Public International Organization Terms and Conditions Apply Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Submission through Department of State SAMS Domestic grant application system

Deadlines:

See grant.gov for information about submissions and deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contact the headquarters or regional office, as appropriate, for application deadlines

Appeals:

Other. Contact the U.S. Mission to the OAS

Renewals:

Other. Contact the U.S. Mission to the OAS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is generally available for a period of performance of two years. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly narrative reports are required. Quarterly financial reports are required. Quarterly narrative reports are required including progress details. Quarterly financial reports are required. OAS Monitoring and Evaluation is required as per agreements reached with the Secretariat and to be performed by the Department of Planning and Evaluation

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits required as per agreements reached with the Secretariat and to be contracted by the Department of Planning and Evaluation.

Records:

Retention of Records required for a period of 3 years after submission of final report.

Account Identification:

11-1111-1-1-111.

Obligations:

Salaries and Expenses FY 18 \$4,500,000.00; FY 19 est \$9,000,000.00; FY 20 Not Available;

Range and Average of Financial Assistance:

\$500,000 to \$5,000,000

TAFS Codes:

19-1111.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Programs must abide by OAS General Standards and all other OAS requirements. Also see grant.gov for other requirements.

Regional or Local Office:

None. none

Headquarters Office:

Alternate Representative Ben Barron,

2201 C St NW,

Washington DC 20520

Email: barronba@state.gov

Phone: 202647-9376

Website Address:

<http://usoas.usmission.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see grants.gov for pre-application requirements.

19.979 Regional Peace and Security

African Regional Security Affairs,

FEDERAL AGENCY:

BUREAU OF AFRICAN AFFAIRS, STATE, DEPARTMENT OF

AUTHORIZATION:

Foreign Assistance Act, Section 551, Statue 22, USC Code 2348. Foreign Assistance Act, Section 531, Statue 22, USC Code 2346.

OBJECTIVES:

The Office of Security Affairs in the Department of States Bureau of African Affairs (AF/SA) supports U.S. foreign policy goals in sub-Saharan Africa through a variety of programs and policies designed to bolster peace and security.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

AF/SA manages security assistance grants totaling approximately \$26 million per year. However, these funding levels can vary considerably from year-to-year depending on shifting needs and U.S. Government priorities. The office awarded approximately \$26.5 million in Fiscal Year 2014 and plans to award approximately \$28.4 million in Fiscal Year 2015. Program activities support a range of requirements including capacity building in support of post-conflict or transitional security or defense reform, maritime security sector reform, and counter terrorism efforts. Pending Congressional appropriation, AF/SA may implement upwards of \$31 million in security assistance programs in Fiscal Year 2016.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public

ASSISTANCE LISTINGS DESCRIPTIONS

institution/organization; Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; If you are interested in implementing AF/SA security-related assistance programs that promote peace and stability on the continent, please see www.Grants.gov for individual solicitation opportunities.

Beneficiary Eligibility:

Federal; State; Local; AF/SA security-related assistance grants bolster our African partners' capacity to provide peace and security.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See www.grants.gov for individual award announcements.

Award Procedure:

See www.grants.gov for individual award announcements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. See www.grants.gov for individual award announcements.

Appeals:

Not Applicable.

Renewals:

Not Applicable. See www.grants.gov for individual award announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See www.grants.gov for individual award announcements. Method of awarding/releasing assistance: other

Reports:

See www.grants.gov for individual award announcements. See www.grants.gov for individual award announcements. See www.grants.gov for individual award announcements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See www.grants.gov for individual award announcements.

Records:

Recipients must maintain all grant files for three years after the final report date.

Account Identification:

19-7214-1-5-000. 19-1032-0-0-000.

Obligations:

Project Grants FY 20 \$894,765.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

See www.grants.gov for individual award announcements.

TAFS Codes:

19-7214. 19-1032.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Christopher John Pommerer,
2201 C Street, NW,
Washington DC 20520
Email: pommerercj@state.gov
Phone: 2026477158

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.980 Partnership for Regional East Africa Counterterrorism (PRACT):

Partnership for Regional East Africa Counterterrorism (PRACT),

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Public Law 113-235, Title Programs Appropriations Act, 2015,

OBJECTIVES:

The objective of this program is to build the capacity and resilience of military, law enforcement, and civilian actors across East Africa to degrade al-Shabaab, an al-Qaeda affiliate, defeat the Islamic State of Iraq and Syria (ISIS), and counter the threat of other violent extremist organizations.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

see listing announcement

Applicant Eligibility:

Anyone/general public; see listing announcement

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

see listing announcement 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. see listing announcement for specific guidance Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

see listing announcement for specific guidance

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 30-180 days

Appeals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Other. see listing announcement for specific guidance

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

see listing announcement Method of awarding/releasing assistance: other

Reports:

Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. : Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities. : Quarterly reporting is required at a minimum for all awards. Depending on the size of the awards and length of the project period, program reports may be required either quarterly, semi-annually, or annually. At the discretion of the listed Grants Officer. No cash reports are required. Reporting requirements will be dictated in the official U.S. Department of State Notice Award. See www.grants.gov for specific notice of funding opportunities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Please see grants.gov listing for audit requirements.

Records:

Guidelines for grants and cooperative agreements are distributed in conjunction with the notice of funding opportunity and/or Notice of Award.

Account Identification:

19-7220-2-1-103.

Obligations:

Project Grants (Discretionary) FY 19 Not Available; FY 20 est \$3,000,000.00; and FY 21 est \$2,000,000.00;

Range and Average of Financial Assistance:

see listing announcement

TAFS Codes:

19-1972. 19-1911.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Tanzania, PRACT funded a project to raise awareness about the threat of violent extremism in hotspot areas by conducting a series of learning forums, town hall meetings and training sessions for youth influencers in countering violent extremism. To date, the program has reached more than 665 participants, including 130 trained youth influencers. PRACT funded a workshop that brought together 25 influential religious leaders from Somalia, Djibouti, Kenya, Uganda, Ethiopia, Tanzania, and Mozambique to discuss the role of religious tolerance in countering violent extremism in East Africa.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for grants and cooperative agreements are distributed in conjunction with the notice of funding opportunity and/or Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Sean Setter,
Sean.Setter@state.gov(202) 453 8598,
Washington DC 22202
Email: SetterS@state.gov
Phone: (202) 453 8598
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

19.979 Regional Peace and Security;

EXAMPLES OF FUNDED PROJECTS:

2020 Past Fiscal Year: Actual PRACT programs prevent terrorist recruitment and reduce sympathy and support for violent extremism in East Africa by offering positive alternatives to communities at risk of radicalization and mobilization to violence; countering violent extremist narratives and messaging; and increasing partner-nation and civil society political will and capacity to implement CVE programs and strategies. Programming addresses threats associated with al Shabaab as well as other violent extremist groups in East Africa. Programs focus on vulnerable or marginalized groups that are particularly susceptible to recruitment by violent extremist groups, especially youth, and build their sense of civic engagement and social responsibility. Additionally, programs build the capacity of civil society organizations, faith leaders, educators, and other credible voices from across the region to start conversations that foster mutual understanding and cooperation between individuals from diverse religious, cultural, and ethnic backgrounds and share best practices. Programs also support engagement with law enforcement, including to build trust and develop response systems to threats from violent extremist organizations.

CRITERIA FOR SELECTING PROPOSALS:

see listing announcement for specific guidance

19.990 International Justice and Accountability Programming

FEDERAL AGENCY:

STATE, DEPARTMENT OF

AUTHORIZATION:

Public Law Section 7065(a)(2) of the Department of State, Foreign Affairs, and Related Programs Appropriations Act, 2020-(Div. G, P.L. 116-94) (FY 2020 SFOAA)

OBJECTIVES:

Increased justice and accountability worldwide.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To promote justice and accountability globally.

Applicant Eligibility:

Non-Government - General; Other public institution/organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations;

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization;

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

Per the notice of funding opportunity language. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

We will openly compete these grants and cooperative agreements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects should take 1-3 years. Method of awarding/releasing assistance: other

Reports:

Progress reports will be submitted as required. Expenditure reports will be submitted quarterly. Performance reports should be submitted quarterly.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audits are required for grants above \$750,000

Records:

Account Identification:

19-7220-2-1-103.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Awards range by solicitation from \$800,000 to \$2,500,000.

TAFS Codes:

19-7220.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Successfully implemented funding for FY18 and FY19.

REGULATIONS, GUIDELINES, AND LITERATURE:

See NOFO

Regional or Local Office:

None.

Headquarters Office:

State Department Office,
Harry S. Truman State Department Building 2201 C St NW,
Washington DC 20522
Email: JGCJ_Programs@state.gov
Phone: 202-555-5555
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see the notice of funding opportunity when posted.

TRANSPORTATION, DEPARTMENT OF

20.106 Airport Improvement Program and COVID-19 Airports Programs

AIP, CARES, ACRGP under CRRSA, and ARPA,

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

Airport Improvement Act, Subtitle VII of Title 49 United States Code, Aviation Programs, , Public Law 115-254, Public Law 115-141, Public Law 116-136, Public Law 116-260, Public Law 117-2

OBJECTIVES:

To assist sponsors, owners, or operators of public-use airports in the development of a nationwide system of airports adequate to meet the needs of civil aeronautics. The purpose of the law includes the investment in transportation, environmental protection, and airport infrastructure that will support long-term economic benefits.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Project Grants

USES AND USE RESTRICTIONS:

Grants can be made for integrated airport system planning in a specific area and airport master planning, construction, or rehabilitation at a public-use airport or portion thereof. Authorizing legislation refers to an airport as any area of land or water used or intended to be used for the landing or taking off of aircraft and includes, within the five categories of airports listed below, special types of facilities such as seaplane bases and heliports. The statute further defines airports by categories which include: commercial service, primary, cargo service, reliever, and general aviation airports. They are defined in Chapter 4, Section 4.5 of the AIP Handbook (FAA Order 5100.38D.) The remaining airports, are referred to as general aviation, or GA, airports and are defined as public airports that are not commercial service airports. General aviation airports comprise the largest single group of airports in the U.S. airport system. Eligible work at airports consists of: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain related offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) firefighting burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Clean Water Act. Under limited criteria, construction of aircraft hangars and automobile parking lots are eligible. Grants for hangars and parking facilities are available on a limited basis based on funding. Grants may not be made for buildings not related to the safety of persons on the airport, decorative landscaping or artwork, or routine maintenance and repair. Technical advisory services are also provided for on a project need basis. AIP funds may only be used in a manner consistent with the requirements found in the AIP Handbook FAA Order 5100.38D. CARES: grants fund costs related to paying off debt, reimbursing payroll costs, paying utilities, and routine operations and maintenance costs. In some situations, these grants can be used for construction projects on airport property. CRRSA: grants fund costs related to operations, personnel, cleaning, sanitization, janitorial servicing, combating the spread of pathogens, and debt service payments. CRRSA provides

ASSISTANCE LISTINGS DESCRIPTIONS

rent and minimum annual guarantee (MAG) relief for Concessions (at primary airports) such as: on-airport car rental, on-airport parking, and in-terminal concessions. CRRSA funds development purposes limited to combating spread of pathogens, such as: HVAC systems, terminal reconfiguration for social distancing, facilities to accommodate health screening. Costs relate to operation of FAA Contract Towers. ARPA grants fund costs related to operations, personnel, cleaning, sanitization, janitorial servicing, combating the spread of pathogens, and debt service payments. ARPA provides rent and minimum annual guarantee (MAG) relief for Concessions (at primary airports) such as in-terminal concessions. ARPA funds development purposes limited to combating spread of pathogens, such as: HVAC systems, terminal reconfiguration for social distancing, facilities to accommodate health screening.

Applicant Eligibility:

Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Quasi-public nonprofit institution/organization; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; States, counties, municipalities, U.S. Territories and possessions, and other public agencies including an Indian tribe or pueblo, the Republics of the Marshall Islands and Palau, and the Federated States of Micronesia are eligible for airport development grants if the airport on which the development is required is listed in the National Plan of Integrated Airport Systems (NPIAS). Certain local government organizations may be eligible for grants to implement noise planning and compatibility projects. Private owners of public-use reliever airports or airports having at least 2,500 passengers boarding annually and receiving scheduled passenger aircraft service may also be eligible. CARES, CRRSA, and ARPA state that only sponsors of airports in categories defined in 49 U.S.C. 47102 are eligible. Eligible airports are included in the NPIAS. Airports in U.S. territories (American Samoa, Northern Mariana Islands, Puerto Rico, the U.S. Virgin Islands, and Guam) are included in the NPIAS and are eligible. Airports in the Republic of the Marshall Islands, Federated States of Micronesia, Republic of Palau, and Wake Island are not included in the NPIAS. While these airport sponsors may be eligible for some AIP discretionary funding (per 49 USC Section 47115 (i)) , they are not eligible under CRRSA and ARPA. CRRSA and ARPA prohibits funding for any airport that was allocated more than four times its annual operating expenses under the CARES Act FAA will award any FY 2021 AIP grant awarded to airport sponsors in the Republic of the Marshall Islands, Federated States of Micronesia, Republic of Palau, and Wake Island with a 100% Federal share.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Anyone/general public; Rural; Suburban; Other Urban; Major Metropolitan (over 250,000); Builder/Contractor/Developer; The grant program benefits all the eligible applicants listed in this CFDA under applicant eligibility - as well as the additional entities listed under beneficiary eligibility.

Credentials/Documentation:

Sponsors must submit information establishing financial capability and legal authority to accomplish the project and to operate the airport. Sponsors must be included in the National Plan of Integrated Airport Systems (NPIAS), which is updated every two years. Sponsors must have an active SAM registration per 2 CFR Part 25 Universal Identified and System for Award Management. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. Alternative environmental reporting and documentation may be acceptable based upon the project type.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Pre-application for

Federal Assistance, SF 424, Part I (facesheet) filed with the appropriate FAA field office, reviewed by the respective Regional Office and/or Washington program office for approval. For master plans (may be combined as part of development project), noise compatibility plans, and system plans, SF-424 and Parts II through V of FAA Form 5100-101, the Application for Federal Assistance and Application for Planning Projects respectively, must be submitted to the FAA field offices. Level of approval is dependent on the type of airport and amount of FAA financial assistance requested. No State plan is required.

Award Procedure:

Upon program approval for development projects, applicant submits project application, SF 424, Part I (facesheet) and remaining parts of FAA Form 5100-100 to the appropriate FAA field office. Master, noise compatibility, and system planning grant applications are submitted to the FAA field offices and, upon approval, grant offers are made. Either the District or Regional Office prepares the agency's Grant Offer, FAA Form 5100-37, for planning and development projects for execution by the grant applicant.

Deadlines:

October 01, 2020 - September 30, 2021. FAA Regional and District Offices may have supplemental local deadlines to facilitate orderly program implementation. This obligation deadline does not apply to the CARES and ARPA programs.

Application deadlines for COVID-19 Airports Programs:

For CARES: while there was no specific application deadline, FAA intent was to award grants and obligate these funds on an expedited basis.

For CRSSA the application deadline was June 30, 2021.

For ARPA the application deadline is November 30, 2021.

For the annual AIP grant program, primary airport sponsors generally must notify the FAA by the Spring of each fiscal year or another date specified in the applicable Notice of Funding Opportunity or Federal Register notice, of their intent to apply for funds to which they are entitled under Section 47102 of Title 49, United States Code. The "intent to apply" deadline is followed by an application deadline usually dated 1-2 months later, also as specified in the applicable Notice of Funding Opportunity or Federal Register Notice. Other sponsors are encouraged to submit early in the fiscal year and to contact the appropriate FAA Regional or District Office for any supplemental local deadlines. Sponsors must formally accept grant offers no later than September 30 for grant funds appropriated in that fiscal year.

Range of Approval/Disapproval Time:

Other. From 90 to 120 days. If the project is challenged on environmental grounds, approval may take longer.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Matching Requirements: For AIP the local match when not combined with a COVID-19 relief program, is normally between 5% and 25% and is determined by a variety of factors provided for by legislation. Select pilot studies under the program may have a local match of up to 50%. Due to COVID-19 relief programs such as CARES, required match for AIP in some years was 0%. CRRSA and ARPA match is 0%. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects are expected to be completed as expeditiously as possible, and periods of performance are a maximum of 4 years starting from the date of grant award execution. Most airports complete projects within one to two years of grant award. Method of awarding/releasing assistance: other

Reports:

During the project, the sponsor monitors performance to ensure that timely schedules are maintained. Periodic reports, as required, are forwarded to FAA. SF-270 or 271, as appropriate based on project type. The recipient periodically submits form 5370-1, the Construction Progress and Inspection Report. For non-construction projects, the recipient submits a quarterly project performance report. These reports are different than the financial reports, which are required separately

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for every grant. SF-425 Project performance monitoring is conducted through site visits and reports. Reports must be filed quarterly with the FAA District or Regional Office and as frequently as determined by the FAA based on project type.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Recipient sponsor's records are required to be made available for inspection by FAA, DOT, Office of the Inspector General, and/or the Government Accountability Office (GAO).

Records:

An airport layout plan must be kept up to date and available as long as the grant agreement lasts, ordinarily a period of 20 years. Accounting records reflecting all project costs, books, documents, and records pertinent to grants are to be retained for 3 years after date of submission of final expenditure report. Grant recipients shall submit periodic reports containing the following information: 1. The number of projects that have been put out to bid under the grant and the amount of Federal funds associated with each project. 2. The number of projects for which contracts have been awarded and the amount of Federal funds associated with each project. 3. The number of projects for which work has begun under such contracts and the amount of Federal funds associated with each contract. 4. The number of projects for which work has been completed under such contracts and the amount of Federal funds associated with each contract.

Account Identification:

69-8106-0-7-402. 69-2815-0-1-102.

Obligations:

Project Grants FY 20 \$790,819,134.00; FY 21 est \$173,575,304.00; FY 22 est \$123,137,727.00;

Range and Average of Financial Assistance:

FY 2020 AIP and CARES grant awards ranged from \$555 to \$450,445,999 with an average award of \$2,642,651.

TAFS Codes:

69-8106. 69-2815.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, The AIP Program funded 1366 grants totaling \$4,317,970,954. In FY 2020, the CARES Program funded 3809 grants totaling \$9,357,750,068.

REGULATIONS, GUIDELINES, AND LITERATURE:

1. Title 49, U.S.C., subtitle VII, as amended. 2. Davis-Bacon Act 40 U.S.C. 3141 et seq. 3. Federal Fair Labor Standards Act title 29 U.S.C., 201, et seq. 4. Hatch Act 5 U.S.C. 1501, et seq. 5. Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, title 42 U.S.C. 4601, et seq. 6. National Historic Preservation Act of 1966 - Section 106 title 16 U.S.C., 470(f). 7. Archeological and Historic Preservation Act of 1974 title 16 U.S.C., 469 through 469c. 8. Native Americans Grave Repatriation Act title 25 U.S.C., section 3001, et seq. 9. Clean Air Act, title 42 U.S.C., Chapter 85 P.L. 90-148, as amended. 10. Coastal Zone Management Act, title 16 U.S.C., 1451, et seq., P.L. 93-205, as amended. 11. Flood Disaster Protection Act of 1973 - Section 102(a) title 42 U.S.C., 4012a. 12. Title 49, U.S.C., section 303, (formerly known as section 4(f)). 13. Rehabilitation Act of 1973 - 29 U.S.C. 794. 14. Title VI of the Civil Rights Act of 1964 title 42 U.S.C. 2000d, et seq. (prohibits discrimination on the basis of race, color, national origin). 15. Americans with Disabilities Act of 1990, as amended title 42 U.S.C., 12101, et seq. (prohibits discrimination on the basis of disability). 16. Age Discrimination Act of 1975 title 42 U.S.C., 1601, et seq. 17. American Indian Religious Freedom Act title 42 U.S.C., 1996 P.L. 95-341, as amended. 18. Architectural Barriers Act of 1968 title 42 U.S.C., 4151, et seq. 19. Power plant and Industrial Fuel Use Act of 1978 - Section 403 title 42 U.S.C., 8301, et seq. and 42 USC 8373 et seq. 20. Contract Work Hours and Safety Standards Act title 40 U.S.C., 327, et seq. 21. Copeland Anti-kickback Act title 18 U.S.C., 874. 22. National Environmental Policy Act of 1969 - title 42 U.S.C., 4321, et seq. 23. Wild and Scenic Rivers Act, title 16 U.S.C., 1271 et seq., P.L. 90-542, as amended. 24. Single Audit Act of 1984 title 31 U.S.C., 7501, et seq. 25. Drug-Free Workplace Act of 1988 title 41 U.S.C., 702 through 706. 26. The Federal Funding

Accountability and Transparency Act of 2006, as amended (P.L. 109-282, as amended by section 6202 of P.L. 110-252). 27. Exec. Order No. 11246, 30 Fed. R. 12319 (Sept. 24, 1965) as amended. 28. Exec. Order No. 11990, 42 Fed. R. 26961 (May 24, 1977) as amended. 29. Exec. Order No. 11988, 42 Fed. R. 26951 (May 24, 1977) as amended by Exec. Order No. 12148, 44 Fed. R. 43239 (July 20, 1979). Exec. Order No. 12372, 47 Fed. R. 30959 (July 14, 1982). Exec. Order No. 12699, 55 Fed. R. 835 (January 5, 1990) as amended. 130. Exec. Order No. 12898, 59 Fed. R. 7629 (Feb. 11, 1994) as amended. 31. Title 2 CFR, part 180 - OMB Guidelines to Agencies on Government-wide Debarment and Suspension (Nonprocurement). 32. Title 2 CFR, part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. 33. Title 2 CFR, part 1200 Nonprocurement Suspension and Debarment. 34. Title 14 CFR, part 13 - Investigative and Enforcement Procedures. 35. Title 14 CFR, part 150 - Airport noise compatibility planning. 36. Title 28 CFR, part 35 - Nondiscrimination on the Basis of Disability in State and Local Government Services. 37. Title 28 CFR, 50.3 - U.S. Department of Justice Guidelines for Enforcement of Title VI of the Civil Rights Act of 1964. 38. Title 29 CFR, part 1 - Procedures for predetermination of wage rates. 39. Title 29 CFR, part 3 - Contractors and subcontractors on public building or public work financed in whole or part by loans or grants from the United States. 40. Title 29 CFR, part 5 - Labor standards provisions applicable to contracts covering Federally financed and assisted construction (also labor standards provisions applicable to non-construction contracts subject to the Contract Work Hours and Safety Standards Act). 41. Title 41 CFR, part 60 - Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor (Federal and Federally assisted contracting requirements). 42. Title 2 CFR, part 1201 (Chapter XII Department of Transportation) - Uniform administrative requirements, cost principles and audit requirements for federal awards. 43. Title 49 CFR, part 20 - New restrictions on lobbying. 44. Title 49 CFR, part 21 Nondiscrimination in Federally-assisted programs of the Department of Transportation - effectuation of Title VI of the Civil Rights Act of 1964. 45. Title 49 CFR, part 23 - Participation by Disadvantaged Business Enterprise in Airport Concessions. 46. Title 49 CFR, part 24 Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally Assisted Programs. 47. Title 49 CFR, part 26 Participation by Disadvantaged Business Enterprises in Department of Transportation Programs. 48. Title 49 CFR, part 27 Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance. 49. Title 49 CFR, part 28 Enforcement of Nondiscrimination on the Basis of Handicap in Programs or Activities conducted by the Department of Transportation. 50. Title 49 CFR, part 30 - Denial of public works contracts to suppliers of goods and services of countries that deny procurement market access to U.S. contractors. 51. Title 49 CFR, part 32 Government-wide Requirements for Drug-Free Workplace (Financial Assistance). 52. Title 49 CFR, part 37 Transportation Services for Individuals with Disabilities (ADA). 53. Title 49 CFR, part 41 - Seismic safety of Federal and Federally assisted or regulated new building construction. 54. Title 14 CFR Part 16 - Rules of Practice for Federal Assisted Airport Enforcement Proceedings. 55. Title 2 CFR, Part 25, Universal Identified and System for Award Management. 56. Buy American Preferences, Executive Order 14005, January 25, 2021, with 49 U.S.C. 5010157. Prohibition on certain telecommunications and video surveillance services and equipment, PL-115-232, Section 889. 58. FAA Order 5100.38D-Change 1, the AIP Handbook, and Advisory Circulars in the 150/5100 series.

Regional or Local Office:

See Regional Assistance Locations. Persons are encouraged to contact the Federal Aviation Administration Regional Offices listed on the FAA website for AIP at: https://www.faa.gov/about/office_org/headquarters_offices/arp/regional_offices/ Headquarters Office: Federal Aviation Administration, Office of Airport Planning and Programming, Airports Financial Assistance Division, APP-500, 800 Independence Avenue, SW., Washington, DC 20591. Telephone: (202) 267-3831. Web Site Address: <http://www.faa.gov/airports/>

Headquarters Office:

Kay Ryder, AIP Branch manager,
FAA 800 Independence Av SW,
Washington DC 20591
Email: kay.ryder@faa.gov
Phone: 202-267-8170
Fax: 202-267-5302 Petra Schultze, Management and Financial Analyst,
FAA 800 Independence Ave., SW,

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Washington, DC DC 20591
Email: petra.k.schultze@faa.gov
Phone: 202-267-3347
Fax:

Website Address:

<http://www.faa.gov/airports>

RELATED PROGRAMS:

39.002 Disposal of Federal Surplus Real Property; 39.003 Donation of Federal Surplus Personal Property;

EXAMPLES OF FUNDED PROJECTS:

2020 AIP examples: Rehabilitated a runway, widened a runway, built a taxiway, expanded an apron, rehabilitated a terminal building. CARES examples: Provided economic relief to airport sponsors for operating expenses, payroll, maintenance, AIP local match at 100% Federal share, and debt service.

CRITERIA FOR SELECTING PROPOSALS:

Only those AIP eligible and justified projects considered by the FAA and DOT to be necessary to provide for a safe and efficient airport system and to meet the current and projected growth of civil aeronautics will be considered for selection. The airports at which AIP projects are proposed must be included in the National Plan of Integrated Airports Systems (NPIAS).

20.108 Aviation Research Grants

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development
Authorization Act of 1990, , Public Law 101-508, 49 USC 44511: Aviation
research grants

OBJECTIVES:

To encourage and support innovative, advanced, and applied research and development in areas of potential benefit to the long-term growth of civil aviation.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary), Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be used to support research and development projects at colleges, universities and nonprofit institutions in the following areas: (1) Capacity and Air Traffic Control Technology(2)Communications, Navigation, and Surveillance(3)Aviation Weather(4)Airports(5)Aircraft Safety Technology(6)Human Factors and Aviation Medicine(7)Systems Science/Operations Research(8)Unmanned Aircraft System (UAS) Unmanned Traffic Management (UTM), Class E Upper Airspace Traffic Management (ETM), and Urban Air Mobility (UAM)Discretionary funds are not currently available. Potential grantees are encouraged to contact FAA Research Technical Program Offices for sponsorship.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); (1)Accredited Institutions of Higher Education as defined by 20.U.S.C. 1001(a) including colleges, universities, and other institutions of higher education.(2)Non-profit institutions under Section 501(c)(3) of Title 26 of United States Code that are:(a)Operated primarily for scientific, educational, service, charitable, or similar services in the public interest.(b)Not organized primarily for profit.(c)Utilizes net proceeds to maintain, improve, and/or expand its operations.

Beneficiary Eligibility:

U.S. Territories; Profit organization; Private nonprofit institution/organization; State; Colleges, Universities, and Non-profit research institutions are eligible to benefit from assistance.

Credentials/Documentation:

A complete description of documentation required for applying, along with submission requirements, is outlined in the Aviation Research Grant Program Solicitation Number FAA-12-01, posted on www.grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-application form not required. Pre-application conference is not required. However, in order to streamline the application process, it is recommended (but not required) that all prospective grantees submit a 3 page white paper (letter of intent) for agency technical review. The white paper must indicate the specific FAA Technical Area(s) of Research that aligns with those specified in Program Solicitation No. FAA-12-01.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals must be submitted electronically through the Grants.gov website at www.grants.gov under Opportunity Number 12-01.Proposals must be submitted in accordance with the requirements of Grants.gov, and in accordance with the specific submission requirements and instructions outlined in Program Solicitation No. FAA-12-01. The solicitation can be accessed through the Grants.gov website at www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Award authority has been delegated to the FAA Grants Officer for the Aviation Research Grants Program, ANG-A19.Awards will be made throughout the year. Program Solicitation No. FAA-12-01 is currently open. Any change to this will appear on the Grants.gov website at www.grants.gov.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. 120 Days.

Appeals:

Other. Formal protests are not an option.Debriefings may be requested.

Renewals:

Other. Multi-year grants and cooperative agreements are available; extensions are possible. No-Cost Extensions available upon request.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a minimum of 1 Year and a maximum of 5 Years. A standard grant is a grant in which the FAA agrees to support a level of effort for a specified period of time, usually for a minimum of 1 year.A continuing grant is an agreement to support a level of effort for a specified period of time, usually for a minimum of 1 year, with a statement of intent to provide additional support of the project for additional period(s) provided funds are available and the results achieved warrant further support. Assistance is disbursed on a monthly basis. Advances are possible based on prior written approval from the FAA Grants Officer. Method of awarding/releasing assistance: other

Reports:

Technical and Financial reporting requirements are specified in 2 CFR 200 Subpart D Post Federal-Award Requirements. Final reports are required for closeout of each grant. Reporting requirements are spelled out in the terms and conditions of each award document. SF-270 Progress reports are required in accordance with the terms and conditions of each award document. Progress reports are required throughout the period of performance of the grant. This includes but is not limited to quarterly, semiannual or annual progress reporting. SF-425 A Technical Monitor

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(TM) is a Federal employee who is assigned by the sponsoring organization to oversee each task, to monitor performance, receive technical reports and approve invoices.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA reserves the right to conduct individual audits as needed within the constraints of applicable laws and regulations.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following completion of a grant or cooperative agreement.

Account Identification:

69-8108-0-7-402.

Obligations:

Project Grants (Discretionary) FY 20 \$2,337,934.00; FY 21 est \$7,346,000.00; FY 22 est \$3,778,000.00;

Range and Average of Financial Assistance:

The average range for grant assistance is between \$50,000 and \$5,000,000. The amount, duration, and size of awards will depend on the number of applications selected for award, and the actual amount of funds available in each Fiscal Year.

TAFS Codes:

69-8108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2020 the FAA Aviation Research Grants Program continues to utilize research grant awards to support advanced research in areas of potential benefit to the long-term growth of civil aviation, and in areas related to the prevention of catastrophic failure of an aircraft, and to contribute to the FAA mission of improving aviation safety, capacity, efficiency, and security. Examples of funded projects include: Probabilistic Integrity and Risk Assessment of Turbine Engines; Visualization and Analysis of Rotorcraft Safety within a CAVE Virtual Reality Environment; Cabin Interior and Engine Related Impact and Failure Analysis Guidelines for Non-Linear Finite Element Modeling. Fiscal Year 2021: In Fiscal Year 2021 the FAA Aviation Research Grants Program continued to utilize research grant awards to support advanced research in areas of potential benefit to the long-term growth of civil aviation, and in areas related to the prevention of catastrophic failure of an aircraft, and to contribute to the FAA mission of improving aviation safety, capacity, efficiency, and security. Examples of funded projects include: Effective Integration of Human Factors Engineering into System Development Acquisition Programs; UAM eVTOL Ice Accretion Characterization; A Parametric Study of the Effect of SLD Ice Accretion on the Aerodynamic Performance of Swept Wings and The contributions of human operators to safety and risk mitigation: Implications for crew complements and automation/autonomy levels in commercial transport operations. Fiscal Year 2022: In Fiscal Year 2022 the FAA Aviation Research Grants Program plans to continue to utilize research grant awards to support advanced research in areas of potential benefit to the long-term growth of civil aviation, and in areas related to the prevention of catastrophic failure of an aircraft, and to contribute to the FAA mission of improving aviation safety, capacity, efficiency, and security.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 formerly OMB Circulars 2 CFR 215, A-122, A-133, A-110 and A-21, Regulations and Executive Orders are referenced in Appendix 1 of the FAA Research Grants Order 9550-7B. A solicitation package containing the appropriate forms and directions is available through grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Aviation Research Grants Program, Darryl Groves,
600 Independence Ave SW,
Washington DC 20597

Email: darryl.d.groves@faa.gov

Phone: 202-267-8315

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

20.109 Air Transportation Centers of Excellence;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The FAA has established the following four (4) criteria which must be met for a proposal to be eligible for funding: (1) Intrinsic Value; (2) Relevance to the FAA Mission; (3) Technical Soundness of the Proposal; (4) Research Performance Competence.

20.109 Air Transportation Centers of Excellence

FAA Centers of Excellence,

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development
Authorization Act of 1990, , Public Law 101-508, 49 USC Code C.

OBJECTIVES:

Conduct long term research in critical and specific areas of aviation related technology. Responsibilities of Centers may include, but are not limited to: the conduct of research concerning catastrophic failure of aircraft; airspace and airport planning and design; airport capacity enhancement techniques; human performance in the air transportation environment; aviation safety and security; the supply of trained air transportation personnel including pilots and mechanics; and other aviation issues pertinent to developing and maintaining a safe and efficient air transportation system, and the interpretation, publication, and dissemination of the results of such research.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Provision of Specialized Services, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Centers of Excellence are established for long term mission-critical research in specific technology areas. Funding may be used for the establishment and operation of the Centers of Excellence and the related training, education and research projects. The FAA determines a need for a Center of Excellence in a specific area of technology and publishes in Grants.gov a Notice of Intent to Establish a COE and a Notice of Availability of a Draft Solicitation. In response to the Final Solicitation, each applicant submits a proposal with a description of their institutional capabilities, available faculty, facilities and equipment, and additional information as required in the Solicitation. Researchers at each COE are expected to maintain a close working relationship with the FAA COE Program Office and relevant FAA program offices and others having responsibility in areas related to their research projects. Centers conduct semi-annual reviews, and a major symposium at the conclusion of each cooperative agreement and research phase. This activity satisfies Congressional requirements to disseminate research results to a broad audience. CONGRESSIONAL RESTRICTIONS: (1) Matching Funds - The Federal share of the grant awarded shall be 50 percent of the cost to establish and operate and conduct related research within the Center of Excellence and related research activities. (2) Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 5, 1990. (3) Locations of centers and distribution of funds shall be geographically equitable. (4) Contract tasks may be awarded following the competitive process and may include negotiable cost share. (5) Funds are awarded to universities. Industry and other

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affiliates must align with academic institutions who may then sub-contract to others as appropriate.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); FAA COE applicants are limited to colleges and universities with the financial resources to meet statutory requirements for matching Federal funds and maintenance of effort. Academic institutions may partner with industry affiliates, other public and private entities, government laboratories and other interested parties. Applicants must satisfy the Congressionally mandated selection criteria as stated in an open Final Solicitation.

Beneficiary Eligibility:

Private nonprofit institution/organization; Education (13+); Recipients are limited to colleges and universities with the financial resources to meet statutory requirements for matching Federal grants and maintenance of effort. In conducting research, a Center of Excellence may contract with nonprofit research organizations and other appropriate persons.

Credentials/Documentation:

Required documentation is outlined in each solicitation package. After the Draft Solicitation is published, copies of the Solicitation are available prior to a Public Meeting and are provided by the FAA Centers of Excellence Program Office, FAA Technical Center, Atlantic City International Airport, NJ 08405. To establish a Center of Excellence, the FAA COE Program Office conducts a competitive process to include a: public announcement, publication of a Draft and a Final Solicitation, period of public comment, management/fiscal review, and a technical evaluation. Public Law 101-508 established the following equally weighted selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of the needs of the region for improved air transportation services and facilities. (2) The demonstrated research and extension resources available to the applicant for carrying out this program. (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long- range and immediate air transportation problems. (4) The extent to which the applicant has an established air transportation program. (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program. (6) The projects which the applicant proposes to carry out under the grant. Each proposal must include the following: (a) description of current research projects being conducted in the specific research area, (b) a schedule of anticipated costs to establish and operate the Center of Excellence, (c) a plan for meeting the 50 percent nonfederal contribution toward the matching requirements, (d) a statement showing aggregate expenditures from all other sources for aviation research in its 2 fiscal years preceding November 5, 1990, (e) all data sufficient for the evaluation team to determine the extent to which the applicant is able to satisfy the six selection criteria outlined. Each proposal must contain a: 1. completed Application for Federal Assistance (SF-424 Research and Related); 2. table of contents; 3. technical statement addressing the problems inherent in the specific technical area(s) and probable solutions; 4. summary of results from FAA funding in the past five years; 5. biographical sketch for all senior personnel and a list of their principal accomplishments and publications during the past five years; 6. proposed budget for the initial three years including the anticipated costs of managing a new Center of Excellence, and a management plan; 7. listing of all current and pending support on all research awards from all sources; 8. listing of all available facilities and major items of equipment to be available to conduct research, and that which is necessary to acquire. 9. a cover letter and letters of support from various industry representatives, state and local officials, etc. Other required forms are to be included such as Disclosure of Lobbying Activities Form SF-LLL and certification of Compliance with the Civil Rights Act. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. FAA holds a public information meeting prior to submission of proposals. During the period of public

comment and thereafter, questions may be submitted to the FAA Centers of Excellence Program Office FAA William J. Hughes Technical Center, Bldg 300, Atlantic City International Airport, NJ 08405.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Colleges and universities may apply after the FAA has published a Notice of Availability of Solicitation for a Center of Excellence in a specific research area on the Grants.gov website. Solicitation and application packages are available from the FAA Centers of Excellence Program Office FAA William J. Hughes Technical Center, Bldg 300, Atlantic City International Airport, NJ 08405.

Award Procedure:

The FAA Administrator is the selecting official for each Air Transportation Center of Excellence. The FAA Centers of Excellence Program Director issues Office issues a Notice of Funding Opportunity, receives all proposals, convenes evaluation teams to evaluate eligible institutions for Centers of Excellence awards. Applicants should be prepared to host an onsite inspection of existing research facilities when being considered for a Center of Excellence designation. Grant award authority has been delegated by the FAA Senior Procurement Executive to the FAA COE Grants Officer and Program Director, located at the William J. Hughes Technical Center, Atlantic City International Airport, NJ 08405. Awards are made to COE members throughout the term of the Center. No further announcements are issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From the closing date for applications, the FAA requires at least 6 months for evaluation and selection procedures.

Appeals:

Not Applicable. Formal protests are not an option; however, those submitting a proposal may request a debriefing.

Renewals:

Not Applicable. Grants: Multi-year grants and cooperative agreements are available; extensions are possible. No-cost extensions are available upon request. Centers of Excellence designation: Centers are evaluated during the last year of each research Phase. Cooperative agreements are renewed upon successful completion of each previous Phase throughout the 10-year term.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. This program has no statutory formula. Matching Funds Requirements - The Federal share shall be 50 percent of the costs of establishing and operating the Center of Excellence and related research activities. Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 1990. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The FAA intends to support a Center of Excellence in two (2) five-year increments over a period of 10 years. Each Center undergoes a reassessment within the final year of each cooperative agreement Phase. Research is reviewed semi-annually. Budgets and research projects are defined, evaluated and negotiated yearly. As a consequence of changing needs, or diminished requirements, the agency reserves the right not to renew support for a Center of Excellence at any time. Funds from program offices and additional appropriations may increase at any time providing the institution can demonstrate matching contributions are available. Method of awarding/releasing assistance: other

Reports:

Technical reports are provided to the sponsoring organization. COE Program status and fiscal reports are required on a quarterly basis. Technical and Financial reporting requirements are specified in 2 CFR 200, Subpart D Post- Federal Award Requirements. Semi-annual matching reports are required as well as an annual review of the research completed and in progress at each Center of Excellence. The annual technical review focuses on the relevance, merit and direction of research

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efforts in the designated area, and negotiation of projects and budget for the next year. A major technical conference is required by the end of each cooperative agreement period as part of close-out activities and serves to highlight research results and discuss plans for the next five-year phase. A major technical symposium is designed to satisfy legislative requirements to highlight COE outcomes and disseminate information and is conducted prior to the final 10-year period of performance. Technical progress reports are required on a quarterly basis throughout the period of performance. These include the following: * Description of project.* Investigation Team: the team that will perform the research, including the Principal Investigator and all academic, government, and industry partners* Objective of the research* General description of approach to research* Expected benefits* Funding 1. Project funding, identifying proposed FAA contributions, proposed contributions from other agencies or organizations, and COE matching funds 2. Project in-kind contributions, identifying contributions of cash versus in-kind contributions 3. Project spending profile, outlining expenditures anticipated over time. Universities may use their most current approved indirect cost rate at the time of submission.* Schedule: including milestones* The Universities will utilize the standardized tracking and reporting system, the FAA COE e-grants system, to the greatest extent possible to satisfy these requirements. A progress report is also provided during the annual technical review which focuses on the relevance, merit and direction of research efforts in the designated area, and discussion regarding future projects and budgets for the next year. The required final symposium is part of the COE close-out activities and serves to highlight research results over the entire period of performance. The symposium is also designed to satisfy legislative requirements to disseminate information. The standard form is submitted and the process is followed as described in the Financial Reporting Requirements section, 2 CFR 200, Subpart D Post- Federal Award Requirements. A technical monitor is assigned by the sponsoring organization to oversee each task, to monitor performance, receive technical reports, and approve invoices. A major meeting to present research results for a broad audience of peers is conducted during the fifth year; additionally, a major symposium is required by the end of the 10-year period as part of close-out activities and serves to highlight research results. The symposium is designed to satisfy legislative requirements to disseminate information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA calls for an audit of each Center every five years as a part of close-out activities, and reserves the right to conduct individual audits annually, and as needed, within the constraints of applicable laws and regulations. A final formal audit is conducted during the 10th year of operation prior to close out of the COE.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following termination of the Center of Excellence.

Account Identification:

69-8107-0-7-402. 69-8108-0-7-402. 69-8106-0-7-402. 69-1301-0-1-402.

Obligations:

Cooperative Agreements FY 20 \$66,953,249.00; FY 21 est \$56,000,000.00; FY 22 est \$45,000,000.00;

Range and Average of Financial Assistance:

Program started in FY 1992. Grant assistance is expected to be a minimum of \$500,000 per year for each Center, and may be augmented by tasks funded through IDIQ contract awards.

TAFS Codes:

69-8107. 69-8108. 69-8106. 69-1301. 69-8107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: During FY 2020, FAA supported six active COE public-private partnerships throughout the United States with academic institutions and their industry and other affiliates. Upon approval from the Secretary of Transportation, the FAA COE PMO expects to award 192 grants for \$67M. The anticipated awards

will be to 48 core universities in support of 123 projects and will generate more than \$67 million in matching contributions from industry and other nonfederal sources. Fiscal Year 2021: During Fiscal Year 2021, FAA supported five active COE public-private partnerships throughout the United States with academic institutions and their industry and other affiliates. Upon approval from the Secretary of Transportation, the FAA COE PMO expects to award 142 grants for \$56M. The anticipated awards will be to 48 core universities and will generate matching contributions from industry and other nonfederal sources.

REGULATIONS, GUIDELINES, AND LITERATURE:

The FAA announces the Intent to Establish a Center of Excellence with a formal notice followed by the publication of a Draft and Final Solicitation. An application package is made available and contains the appropriate forms and directions are made available. The Notice of Intent to Establish an Air Transportation Center of Excellence and a Notice of Solicitation Availability are announced on Grants.gov.

Regional or Local Office:

None.

Headquarters Office:

FAA Centers for Excellence Program Director Darryl Groves,
Centers of Excellence Program Office 600 Independence Ave SW,
Washington DC 20597

Email: darryl.d.groves@faa.gov

Phone: 202-267-8315

Fax:

Website Address:

<http://www.faa.gov/go/coe>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 2020 - In Fiscal Year (FY) 2020, the FAA Center of Excellence (COE) Program Management Office (PMO) continued to support research and development in the following topic areas: Technical Training and Human Performance (TTHP); Unmanned Aircraft Systems (UAS); Alternative Jet Fuels and Environment (AJFE); General Aviation (GA) Safety, Commercial Space Transportation (CST), and Advanced Materials (AM). Examples of funded projects include: Aircraft Technology Modeling and Assessment; Adhesive Bond Qualification Guidance for Aircraft Design and Certification; Heated Airport Pavements; Effective Training and Checking Methods for the Emerging Pilot Workforce; and UAS Ground Collision Severity Studies. 2021 In Fiscal Year 2021, the FAA Centers of Excellence (COE) Program Management Office continued to support research and development in the following topic areas: Technical Training and Human Performance (TTHP); Unmanned Aircraft Systems (UAS); Alternative Jet Fuels and Environment (AJFE); General Aviation Safety (GA), and Advanced Materials (JAMS). Examples of funded projects include: Alternative Jet Fuel Supply Chain Analysis, Aircraft Technology Modeling, Rotorcraft Wire Strikes, Evaluation of Aged Bonded Rotor Blades, Flight Safety, UAS Cargo Operation, and Shielded UAS Operations.

CRITERIA FOR SELECTING PROPOSALS:

Public Law 101-508 has established the following equally weighted six selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of needs of the region for improved air transportation services and facilities; (2) The demonstrated research and extension resources available to the applicant for carrying out this program; (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long-range and immediate air transportation problems; (4) The extent to which the applicant has an established air transportation program; (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program; and (6) The projects which the applicant proposes to carry out under the grant. Public Law 101-508 mandates geographic equity in location and funding of Centers of Excellence. Depending on the outcome of evaluation under the six mandated criteria, geographic location may become an additional selection factor.

ASSISTANCE LISTINGS DESCRIPTIONS

20.111 Aircraft Pilots Workforce Development Grant Program

Aircraft Pilots Workforce Development Grant Program.

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Public Law 115-254

OBJECTIVES:

The goal of the Aircraft Pilots Workforce Development Program is to support the creation and delivery of curriculum designed to provide high school students with aircraft pilot education. This program has the potential to be a solution to the pilot shortage by reaching a diverse new audience and encouraging our nation's youth to become the next generation of commercial, general aviation, drone or military pilots.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

In general, assistance under this program may be used for projects that: (1) Create and deliver curriculum designed to provide high school students with meaningful aviation education that is designed to prepare the students to become aircraft pilots, aerospace engineers, or unmanned aircraft systems operators. (2) Support the professional development of teachers using the above curriculum.

Applicant Eligibility:

State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); (1) Accredited Higher Education Institution (20 U.S.C. 1001), Secondary school or high school (20 U.S.C. 7801) (2) State or local government entity(3) Air Carriers (sec. 40102, title 49) or labor unions representing aircraft pilots(4) Flight School that provides flight training (part 61, title 14) or holds a pilot school certificate (part, 141, title 14)or (5) An organization representing aircraft users, aircraft owners, or aircraft pilots.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Proof of eligibility is required: (1) Accredited Higher Education Institution (20 U.S.C. 1001), Secondary school or high school (20 U.S.C. 7801) (2) State or local government entity(3) Air Carriers (sec. 40102, title 49) or labor unions representing aircraft pilots(4) Flight School that provides flight training (part 61, title 14) or holds a pilot school certificate (part, 141, title 14)or (5) An organization representing aircraft users, aircraft owners, or aircraft pilots. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-application form not required. Consultation and assistance is available by calling (609) 485-7483. A pre-application conference is not required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The FAA will post a Notice of Funding Opportunity (NOFO) on www.grants.gov to solicit project applications. The program is still in the planning phase and the date for this publication is still to be determined. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The FAA will forward a list of potential grant recipients to the Office of Secretary of the Department of Transportation for final selection.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 15 to 30 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In general, grants are awarded for a minimum of 1 year and a maximum of 5 years. The FAA Reauthorization Act of 2018 (P.L. 115-254) allows for the annual allocation up to \$5,000,000 each in grants funding under the Aircraft Pilots Workforce Development Grant Program in Fiscal Years (FY) 2020-2023. Method of awarding/releasing assistance: other

Reports:

During the project, the sponsor monitors performance to ensure that timely schedules are maintained. Periodic reports, as required, are forwarded to FAA. SF-270 or 271, as appropriate based on project type. The recipient periodically submits form 5370-1, the Construction Progress and Inspection Report. For non-construction projects, the recipient submits a quarterly project performance report. These reports are different than the financial reports, which are required separately for every grant. SF-425 Project performance monitoring is conducted through site visits and reports. Reports must be filed quarterly with the FAA District or Regional Office and as frequently as determined by the FAA based on project type.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following completion of a grant or cooperative agreement.

Account Identification:

69-8108-0-7-402.

Obligations:

Project Grants (Discretionary) FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

In general, not more than \$5,000,000 for each of fiscal years 2020 through 2023 is authorized to be expended to provide grants under this program. Not more than \$500,000 shall be available for any 1 grant in any 1 fiscal year under this program.

TAES Codes:

69-8108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PART 200 - UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS

Regional or Local Office:

None.

Headquarters Office:

Cynthia Nelson,
Federal Aviation Administration,

ASSISTANCE LISTINGS DESCRIPTIONS

Washington DC 20591
Email: cynthia.d.nelson@faa.gov
Phone: (202) 267-1520
Fax:
Website Address:

No Data Available.

RELATED PROGRAMS:

20.112 Aviation Maintenance Technical Workforce Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In reviewing and selecting applications for assistance under this program, prior to selecting among competing applications based upon Merit Criteria that includes but limited to number of students the project potentially reaches. The Program is committed to ensuring the applications selected for projects established will allow participation from a diverse collection of public and private schools in rural, suburban, and urban areas.

20.112 Aviation Maintenance Technical Workforce Grant Program

Aviation Maintenance Technical Workforce Grant Program,

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

Public Law 115-254

OBJECTIVES:

The goal of the Aviation Maintenance Technical Workforce Grant Program is to support education, recruitment, and development of the aviation maintenance workforce.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

In general, assistance under this program may be used for projects that: (1) Grants awarded under this program will. (2) Establish new educational programs that teach technical skills used in aviation maintenance, including purchasing equipment or to improve existing such programs. (3) Enhance aviation maintenance technical education or aviation maintenance industry workforce. (4) To establish scholarships or apprenticeships for individuals pursuing employment in the aviation maintenance industry. (5) Support outreach about careers in the aviation maintenance industry to: primary, secondary and post-secondary school students, communities under-represented in the industry. (6) Support transition to careers in aviation maintenance; including members of the Armed Forces. (7) Support educational opportunities related to aviation maintenance in economically disadvantaged geographic areas

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); 1)Accredited Higher Education Institution (20 U.S.C. 1001), Secondary school or high school (20 U.S.C. 7801) 2)State or local governmental entity3)A holder of a certificate issued under part 21, 121, 135, or 145 of title 14, Code of Federal Regulations or a labor organization representing aviation maintenance workers

Beneficiary Eligibility:

Public nonprofit institution/organization; Private nonprofit institution/organization; Education (9-12);

Credentials/Documentation:

Proof of eligibility is required: 1)Accredited Higher Education Institution (20 U.S.C. 1001), Secondary school or high school (20 U.S.C. 7801) 2)State or local

governmental entity and 3)A holder of a certificate issued under part 21, 121, 135, or 145 of title 14, Code of Federal Regulations or a labor organization representing aviation maintenance workers 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The FAA will post a Notice of Funding Opportunity (NOFO) on www.grants.gov to solicit project applications. The program is still in the planning phase and the date for this publication is still to be determined. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The FAA will forward a list of potential grant recipients to the Office of Secretary of the Department of Transportation for final selection.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 15 to 30 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In general, grants are awarded for a minimum of 1 year and a maximum of 5 years. The FAA Reauthorization Act of 2018 (P.L. 115-254) allows for the annual allocation up to \$5,000,000 each in grants funding under the Aircraft Pilots Workforce Development Grant Program in Fiscal Years (FY) 2019-2023. Method of awarding/releasing assistance: other

Reports:

During the project, the sponsor monitors performance to ensure that timely schedules are maintained. Periodic reports, as required, are forwarded to FAA. SF-270 or 271, as appropriate based on project type. The recipient periodically submits form 5370-1, the Construction Progress and Inspection Report. For non-construction projects, the recipient submits a quarterly project performance report. These reports are different than the financial reports, which are required separately for every grant. SF-425 Project performance monitoring is conducted through site visits and reports. Reports must be filed quarterly with the FAA District or Regional Office and as frequently as determined by the FAA based on project type.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following completion of a grant or cooperative agreement.

Account Identification:

69-8108-0-7-402.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Project Grants (Discretionary) FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

In general, not more than \$5,000,000 for each of fiscal years 2019 through 2023 is authorized to be expended to provide grants under this program. Not more than \$500,000 shall be available for any 1 grant in any 1 fiscal year under this program.

TAFS Codes:

69-8108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PART 200 - UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS

Regional or Local Office:

None.

Headquarters Office:

Cynthia Nelson,
Federal Aviation Administration,
Atlantic City NJ 08405
Email: cynthia.d.nelson@faa.gov
Phone: 2022671520
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

20.111 Aircraft Pilots Workforce Development Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In reviewing and selecting applications for assistance under this program, prior to selecting among competing applications based upon Merit Criteria that includes but limited to number of students the project potentially reaches. The Program is committed to ensuring the applications selected for projects established will allow participation from a diverse collection of public and private schools in rural, suburban, and urban areas.

20.113 Veterans Pilot Training Program

Veterans Pilot Training Program,

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Public Law 116-116-94

OBJECTIVES:

Veterans Pilot Training grants program will allow up to \$5,000,000 for competitive grants to facilitate the future supply of adequate pilots and award grants to flight schools that are accredited by the Department of Education or hold a restricted airline transport pilot letter of authorization.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The funds are intended to help Accredited flight schools train US Armed Forces Veterans to become aircraft pilots.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pilot schools that hold a training

certificate under part 141 of title 14, Code of Federal Regulations and have established employment pathways with at least 1 commercial air carrier operating under part 121 or 135, Code of Federal Regulations. Priority shall be given to schools accredited by the Department of Education or hold a restricted airline transport pilot (R-ATP) letter of authorization, as specified under part 61 of title 14, Code of Federal Regulations.

Beneficiary Eligibility:

Public nonprofit institution/organization; Private nonprofit institution/organization; Small business; United States Armed Service Veterans

Credentials/Documentation:

Pilot schools that hold a training certificate under part 141 of title 14, Code of Federal Regulations and have established employment pathways with at least 1 commercial air carrier operating under part 121 or 135, Code of Federal Regulations. Priority shall be given to schools accredited by the Department of Education or hold a restricted airline transport pilot (R-ATP) letter of authorization, as specified under part 61 of title 14, Code of Federal Regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. On a date not yet determined the FAA will release a Notice Of Funding Opportunity (NOFO) for eligible Flight Schools on www.grants.gov Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The FAA will forward a list of potential grant recipients to the Office of the Secretary of the Department of Transportation for final selection.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 15 to 30 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In general, grants are awarded for a minimum of 12 to 18 months. Method of awarding/releasing assistance: other

Reports:

During the project, the sponsor monitors performance to ensure that timely schedules are maintained. Periodic reports, as required, are forwarded to FAA. SF-270 or 271, as appropriate based on project type. The recipient periodically submits form 5370-1, the Construction Progress and Inspection Report. For non-construction projects, the recipient submits a quarterly project performance report. These reports are different than the financial reports, which are required separately for every grant. SF-425 Project performance monitoring is conducted through site visits and reports. Reports must be filed quarterly with the FAA District or Regional Office and as frequently as determined by the FAA based on project type.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following completion of a grant or cooperative agreement.

Account Identification:

69-1301-0-1-402.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$5,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

This new Veterans Pilot Training grant program has an estimated range of \$20,000 to \$100,000 in award amounts to flight schools accredited by the Department of Education or hold a restricted airline transport pilot letter of authorization.

TAFS Codes:

69-1301.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PART 200 -Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards

Regional or Local Office:

None.

Headquarters Office:

Marie Sharpe,
Federal Aviation Administration,
Washington DC 20591
Email: marie.sharpe@faa.gov
Phone: 202-568-9917
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

20.111 Aircraft Pilots Workforce Development Grant Program; 20.112 Aviation Maintenance Technical Workforce Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.114 Aviation Manufacturing Jobs Protection (AMJP) Program

AMJP [re-opened application process].

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Public Law 117-2

OBJECTIVES:

The objectives of the AMJP program are to help businesses that are primarily engaged in aircraft manufacturing activities and services, or aircraft maintenance, repair, and overhaul activities and services, to retain or rehire employees. Financial assistance payments must be used to retain current employees or to recall employees who were previously laid off or furloughed. As part of the American Rescue Plan Act enacted on March 11, 2021, the AMJP program provides up to \$3 billion for the aviation manufacturing and repair industry.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds must be used strictly to facilitate the retention, rehire, or recall of employees of the employer.

Applicant Eligibility:

Profit organization; Business entities that (i) Actively manufactures an aircraft, aircraft engine, propeller, or a component, part, or systems of an aircraft or aircraft engine under a Federal Aviation Administration production approval; or (ii) Holds a certificate issued under part 145 of title 14, Code of Federal Regulations, for maintenance, repair, and overhaul of aircraft, aircraft engines, components, or propellers; or (iii) operates a process certified to Society of Automotive Engineers (SAE) AS9100 related to the design, development, or provision of an aviation product or service, including a part, component, or assembly.

Beneficiary Eligibility:

Profit organization; Eligible businesses that meet all of the requirements are the direct beneficiaries of the program. Their employees are indirect beneficiaries. Other businesses that rely upon the aviation manufacturing and repair industry will also benefit indirectly. This includes air carriers, air cargo carriers, corporate flight departments, and individual aircraft owners and operators.

Credentials/Documentation:

Applicants will be required to submit documentation that they meet the eligibility requirements, including evidence of their significant operations in the types of aviation manufacturing or repair work specified in the Federal Registry announcement that will be published; evidence of their involuntary layoffs or furloughs from 2019 to 2020 or their decline in operating revenues; and evidence substantiating the total compensation costs for their "Eligible Employee Group." This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants must have a valid Data Universal Numbering System (DUNS) number, and must have an ACTIVE registration with the System for Award Management (SAM) at <https://sam.gov/SAM/>. For purposes of the AMJP program, it is not necessary to be registered to pursue Federal contracts (which takes longer to process). Instead, when the SAM website asks Why are you registering this entity to do business with the U.S. government? applicants for the AMJP only need to select the option that reads, I only want to apply for federal assistance opportunities like grants, loans, and other financial assistance programs.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Link to the application portal will be posted at <https://www.transportation.gov/amjp/apply> Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

DOT will review all completed applications received prior to the submittal deadline, and validate data and documentation provided by each applicant. DOT will require each eligible recipient to enter into a legally binding funding agreement.

Deadlines:

August 04, 2021 - September 01, 2021. Submit completed application using the designated online system. Submission deadline is precisely 5:00 p.m. prevailing Eastern time. NO LATE APPLICATIONS WILL BE CONSIDERED.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Appeal process will be outlined in notification to applicants.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Recipients will be required to fund at least 50 percent of the total compensation costs for the "Eligible Employee Group." If the recipient receives other Federal funds (e.g., under CARES Act Section 3610) for those costs during the timeframe of the agreement, then the amount payable from the AMJP will be reduced accordingly. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The statute makes the funds available until September 30, 2023. However, the

ASSISTANCE LISTINGS DESCRIPTIONS

statute also sets a time limit of six months, from the effective date of the first agreement to the award date of the last agreement. Moreover, as required by the statute, if eligible requests exceed the available funds, then DOT must reduce the funds provided, on a pro rata basis. Therefore, DOT is conducting a single, expedited application and review process, with the intent of identifying all eligible recipients. This will enable DOT to determine whether funds need to be pro-rated, before entering into any agreements. Method of awarding/releasing assistance: other

Reports:

Recipients will be required to submit redacted payroll reports to demonstrate how they have used the funds provided. Recipients will be required to submit redacted payroll reports to demonstrate how they have used the funds provided. Recipients will be required to submit redacted payroll reports to demonstrate how they have used the funds provided.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All recipients will be subject to review, audit, and verification requirements by DOT or other oversight and audit agencies.

Records:

All recipients will be required to maintain comprehensive payroll and compensation records to substantiate both their application (including data as of April 1, 2020 and March 31, 2021) as well as records from the date of application through the duration of the funding agreement, and for at least three (3) years past the point at which DOT accepts the final closeout documentation.

Account Identification:

69-0110-0-1-402.

Obligations:

Direct Payments for Specified Use FY 20 Not Available; FY 21 est (\$1,324,967,296.00); and FY 22 Not Available;

Range and Average of Financial Assistance:

Insufficient data to estimate at this time.

TAFS Codes:

69-0110.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOT published a Federal Register notice on April 14, 2021, regarding DOTs request for emergency approval of the Information Collection Request. (Vol. 86, No. 70). The OMB Control Number is 2106-0048. DOT will publish a second Federal Register notice when the application process begins.

Regional or Local Office:

None. None

Headquarters Office:

Denise E. Dunn AMJP program,
Office of the Under Secretary for Policy; Department of Transportation; 1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: AMJP@dot.gov
Phone: (202) 366-5112
Fax: TBD

Website Address:

<https://www.transportation.gov/AMJP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must meet all of the eligibility requirements and restrictions set forth in

the Federal Register notice announcing the program, and in the online application instructions. This includes providing all required supporting documentation prior to the submittal deadline.

20.200 Highway Research and Development Program

Highway Research and Development Program Surface Transportation Research, Development, and Technology.

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act), , Public Law 114-94, 23 USC Code 104.

OBJECTIVES:

The objective is to carry out the highway research and development program as authorized by the FAST Act and conduct research needed to maintain and improve our vital transportation infrastructure. The FAST Act addresses the many challenges facing our transportation system today by providing funding for improving highway safety, improving infrastructure integrity, strengthening transportation planning and environmental decision making, reducing congestion, improving highway operations, enhancing freight movement productivity, and exploratory advanced research.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Varies by project

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Non-Government - General; Other private institutions/organizations; Federally Recognized Indian Tribal Governments; Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.)

Beneficiary Eligibility:

Other private institution/organization; State; Local; Sponsored organization; U.S. Territories; Federally Recognized Indian Tribal Governments; State Departments of Transportation, Local Governments, General Public

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with the FAST Act. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants submit applications online via www.Grants.gov by the due date and time specified in the NOFO.

Award Procedure:

Varies by project. FHWA evaluates applications and selects awardee(s) in accordance with the NOFO stated criteria(s).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies by project. See individual project NOFOs for details. Generally 1 to 3 months (60 to 120 days) after application submittal.

Appeals:

Not Applicable.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Varies by project.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Matching requirements vary by project. See individual project NOFOs for details. (NOFOs issued at www.Grants.gov).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies by project. See individual project NOFOs for details. (NOFOs issued at www.Grants.gov). Funds generally become available at the beginning of the fiscal year for which they are authorized, and are available until expended or as otherwise designated in the FAST Act. Method of awarding/releasing assistance: other

Reports:

Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.) Generally quarterly progress reports and annual budget reports are required. SF-425

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Project records and documents must be retained by the Recipient as specified in 2 CFR 200.333 as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

Cooperative Agreements FY 20 \$39,873,851.00; FY 21 est \$29,851,417.00; and FY 22 est \$39,800,000.00;

Range and Average of Financial Assistance:

Varies by project. See individual project NOFO for details. (NOFOs issued at www.Grants.gov.)

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: During the first 3 quarters of FY2021, FHWA obligated over 30 million dollars to fund the Highway Research Development Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways", 49 CFR, "Transportation", and 2 CFR 200, "Grants and Agreements".

Regional or Local Office:

See Regional Assistance Locations. State-level division offices of the FHWA (as listed in Appendix IV of the Catalog)

Headquarters Office:

Director, Office of Acquisition and Grants Management Aimee Drewry,
1200 New Jersey Ave S.E.,
Washington DC 20590

Email: Aimee.Drewry@dot.gov

Phone: 202-366-4211

Website Address:

<http://www.fhwa.dot.gov>

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.215 Highway Training and Education; 20.219 Recreational Trails Program; 20.224 Federal Lands Access Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Virginia- Statewide; Advanced Transportation & Congestion Management Technologies Deployment (ATCMTD) Grant Decision Support System (DSS) and Artificial Intell

CRITERIA FOR SELECTING PROPOSALS:

Varies by project

20.205 Highway Planning and Construction

(Federal-Aid Highway Program, Federal Lands Highway Program).

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act), , Public Law 114-94, 23 USC Code 104.

OBJECTIVES:

This Assistance Listing encompasses several transportation programs: 1) Federal-aid Highway Program: The purpose of the Federal-aid Highway Program is to assist the States in providing for construction, preservation, and improvement of highways and bridges on eligible Federal-Aid routes, (including the National Highway System (NHS) - an integrated, interconnected transportation system important to interstate commerce and travel), and for other special purpose programs and projects. This program also provides for the construction and improvement of highways in the District of Columbia, Puerto Rico, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands and the U.S. Virgin Islands. 2) The Federal Lands Highway Program: The Federal Lands Transportation Program (FLTP) provides assistance to the Federal Land Management Agencies (FLMAs) for Federally-owned roads and trails. It provides transportation engineering services and funding for planning, design, construction, and rehabilitation of the highways and bridges that are on or provide access to federally owned lands. The Federal Lands Highway organization also provides training, technology deployment, and engineering services to other customers. 3) The FAST Act established two new freight programs: the formula-based National Highway Freight Program (NHFP) which provides funds to the States to improve efficient movement of freight on the National Highway Freight Network (NHFN); and the discretionary Nationally Significant Freight and Highway Projects (NSFHP) program which provides targeted investment to freight projects on the NHFN as well as highway and bridge projects on the NHS and railway-highway grade crossing or grade separation projects. The FAST Act also continues to focus the program on safety and performance-based investment and on accelerating project delivery through expedited environmental review and elimination of duplicate processes.4) The Highway Infrastructure Programs in the Department of Transportation Appropriations Act, 2018, included two new discretionary programs: Competitive Bridge Program and Nationally Significant Federal Lands and Tribal Projects Program. The Competitive Bridge Program was appropriated \$225 million to be used for highway bridge rehabilitation or replacement projects for States that have a population density of less than 100 individuals per square mile and that demonstrate cost savings by bundling multiple highway bridge projects. The Nationally Significant Federal Lands and Tribal Projects Program was appropriated \$300 million for projects eligible under section 1123 of the Fixing Americas Surface Transportation (FAST) Act.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

1) Federal-aid highway funds may be used for environmental studies, engineering and design services, right-of-way acquisition and relocation assistance, and construction for capital improvement projects classified as new construction, reconstruction, restoration, rehabilitation, resurfacing and preservation, or for functional, geometric, or safety reasons. Funds may also be used for planning; research, development, and technology transfer; intelligent transportation systems projects; roadside beautification; wetland and natural habitat mitigation; traffic management and control improvements; improvements necessary to accommodate other transportation modes; development and implementation of performance and asset management systems; billboard removal; construction of bicycle facilities and pedestrian walkways; fringe and corridor parking; car pool and van pool projects; transportation alternatives and enhancements such as scenic and historic highway improvements; and recreational trails. Funds generally cannot be used for routine highway operational activities, such as police patrols, mowing, snow plowing, litter control, or maintenance, unless it is preventative maintenance. Eligibility criteria for the various programs differ, so program guidance should be consulted. Federal-

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aid highway projects in metropolitan areas must be based on a transportation planning process carried out by a Metropolitan Planning Organization (MPO) in cooperation with the State, local officials, and transit operators. The projects must be included in the metropolitan transportation plan (MTP) and the transportation improvement program (TIP). Projects in non-metropolitan areas of a State must be consistent with a statewide transportation plan. Projects in both metropolitan and non-metropolitan areas must also be included in a fiscally constrained Statewide Transportation Improvement Program (STIP) developed as part of the required statewide transportation planning process. The FHWA and the Federal Transit Administration (FTA) must approve the STIP jointly. Projects under the FLTP are also subject to metropolitan and statewide planning requirements. 2) Funding from the National Highway Performance Program (NHPP) is generally limited to projects on the NHS, which includes the Eisenhower Interstate System. 3) Surface Transportation Block Grant Program (STBG) funds may be used on any Federal-aid highway or for bridges and tunnels on any public road. 4) Highway Safety Improvement Program (HSIP) funds are limited to projects that reduce traffic fatalities and serious injuries on all public roads, including non-State-owned roads and roads on tribal land. The HSIP requires a data-driven, strategic approach to improving highway safety on all public roads with a focus on performance. 5) Congestion Mitigation and Air Quality Improvement (CMAQ) Program funds are limited to projects and programs that reduce transportation related emissions air quality non-attainment and maintenance areas for ozone, carbon monoxide, and particulate matter, though provision is made for States without air quality issues. 6) Funding from the National Highway Freight Program (NHFP) is generally limited to projects that contribute to the efficient movement of freight on the National Highway Freight Network (NHFN), and are consistent with metropolitan and statewide transportation planning requirements. Beginning December 4, 2017, there are additional planning requirements related to the NHFP in that a State may not obligate NHFP funds apportioned to the State unless the State has developed a State Freight Plan (SFP). The NHFP strategically directs resources and policies to present solutions and strategies to address the infrastructure, institutional, and financial bottlenecks that hinder the safe and efficient movement of goods. 7) The FAST Act established the Nationally Significant Freight and Highway Projects (NSFHP) program to provide financial assistance competitive grants, known as FASTLANE grants, or credit assistance to nationally and regionally significant freight and highway projects that align with the program goals.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); By law, the Federal-aid highway program is a federally assisted State administered program that requires each State to have a suitably equipped and organized transportation department. Therefore, most projects are administered by or through State transportation departments (State DOTs). Projects to be funded under the Federal-aid highway program are generally selected by State DOTs or MPOs, in cooperation with appropriate local officials, as specified in 23 U.S.C. and implementing regulations. Territorial highway projects are funded in the similar manner as other Federal aid highway projects, with the territorial transportation agency functioning in a manner similar to a State transportation department. Most FLTP projects are administered by the FHWA Office of Federal Lands Highway and its Divisions or by the various FLMAs.

Beneficiary Eligibility:

U.S. Territories; State; State transportation departments, and in some instances, Federal agencies, other State agencies, local agencies, and private, community-based organizations.

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with Title 23 and the OMB cost principles applicable to the recipient/sub-recipient. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental

investigation, reviews, and consultations are required for most projects under this program and must comply with the National Environmental Policy Act of 1969, although the level of review varies with the project. Concerning Executive Order 12372 -- Projects under the FLHP have similar requirements; however, an interested applicant would need to contact the designated FLMA or the local FLH Division for more information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The FHWA has a Stewardship and Oversight Agreement with each State that details the extent to which the State assumes the responsibilities of the FHWA for projects in that State. States may assume the responsibilities of the FHWA for oversight of design and construction for projects that are on the NHS, including the Interstate System, unless the State or the FHWA determines that such assumption is not appropriate. For projects that are not on the NHS, the State shall assume the responsibilities of the FHWA for oversight of design and construction, unless the State determines that such assumption is not appropriate. The State DOT may be required to submit statements of work and plans, specifications, and estimates for certain projects to their respective FHWA division office for approval.

Award Procedure:

The State DOTs generally decide which projects will be developed within funding categories and levels. The FHWA division office, located in each State, approves and executes a project agreement for each project before work can commence. There are several categories where funding is allocated at the discretion of the Secretary of Transportation and administered by the FHWA. When such funding is available, FHWA will solicit applications for candidate projects from the States. Projects administered by the FHWA Office of Federal Lands Highways or the FLMAs are subject to procurement processes identified in the Federal Acquisition Regulations. Project awards are subject to the availability of funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 1 day to 5 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter , Part 104, Subpart .Public Law .Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. Typically, 80% of costs are federally funded with a 20% matching requirement. See 23 USC 120. Exceptions apply; contact FHWA for specific information. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Federal-aid highway funds generally become available at the beginning of the fiscal year for which they are authorized and must be obligated within 3 years after the close of that fiscal year. However, some categories of funds are available until expended. Method of awarding/releasing assistance: other

Reports:

SF-425 The FHWA division office in each State is responsible for the stewardship of Federalaid highway funds in that State. They accomplish this using a variety of techniques ranging from program, process, and compliance reviews to involvement in individual Federal-aid projects. State DOTs have assumed oversight responsibilities for most Federal-aid highway projects. The FHWA division office will retain project approval actions that are either required by Law or based on a risk approach. The FHWA division office also may retain additional project approval action or get involved in some projects that have been designated Projects of Division Interest based on a risk approach. Certain non-construction activities, such as transportation planning, require periodic progress reports. Similar procedures apply to the FLMAs and FLTP projects with the FHWA Office of Federal Lands Highways providing the mandatory stewardship and oversight. Value engineering (VE) is required for Federal-aid projects on the National Highway System (NHS) with a cost of \$50 million or more; Federal-aid bridge

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projects on the NHS with a cost of \$40 million or more; and Federal-aid major projects on or off the NHS. Although VE is not required on design-build projects, FHWA does encourage that agencies perform a VE analysis. The FHWA Division Office may require VE reviews on projects below the minimum threshold if the project may benefit from the review. For more information visit:

<http://www.fhwa.dot.gov/ve/>. Project sponsors must submit annual financial plans and project management plans to FHWA for all major projects. A major project is defined as any project receiving Federal financial assistance for construction, with an estimated total cost of \$500,000,000 or more. In addition, project sponsors must prepare annual financial plans for all projects with an estimated total cost of \$100,000,000 or more that are not designated as major projects. These annual financial plans must be submitted upon request by FHWA Division Offices. The FAST Act requires FHWA to establish national performance measures and standards for safety, infrastructure condition, congestion reduction, system reliability, and freight movement. State DOTs and MPOs will set individual performance targets and must make significant progress toward achieving targets established for the National Highway Performance Program and Highway Safety Improvement Program. State DOTs will be required to periodically report to FHWA on their progress toward the achievement of their targets. FHWA will use these progress reports and performance data to determine if the State DOT has made significant progress toward the achievement of their targets as well as meeting national standards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Project records and documents must be retained by the State or other governmental recipients/ sub-recipients as specified in 2 CFR 200.333. For the Federal Lands Highway Program, the Federal Lands Highway Divisions maintain the project records.

Account Identification:

69-8083-0-7-401.

Obligations:

Salaries and Expenses FY 20 (\$1,932,392,260.00); FY 21 est \$1,088,475,675.00; and FY 22 est \$2,050,327,040.00;

Range and Average of Financial Assistance:

Federal-aid highway funds are provided to States on an annual basis, by a combination of statutory formula and discretionary allocation. The most recent authorization act is the Fixing Americas Surface Transportation Act (FAST Act).

TAFS Codes:

69-8083. 69-0548.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: During the first 3 quarter of FY2020, FHWA obligated over \$19 Billion dollars on more than 26 thousand projects to fund the Highway Planning and Construction program.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways" and 49 CFR, "Transportation", and 2 CFR 200, Grants and Agreements. <http://www.fhwa.gov>.

Regional or Local Office:

See Regional Assistance Locations. See Local Agency Offices Additional Information: Multiple, see www.fhwa.gov for specific State offices. State-level division offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or State transportation agencies. 152 Headquarters Office:

Headquarters Office:

Director, Office of Stewardship, Oversight, and Management, FHWA Peter Stephanos,

1200 New Jersey Avenue SE,

Washington DC 20590

Email: HISMOfficialMailbox.fhwa@dot.gov

Phone: (202) 366-0027

Website Address:

<http://www.fhwa.dot.gov>

RELATED PROGRAMS:

20.200 Highway Research and Development Program; 20.215 Highway Training and Education; 20.219 Recreational Trails Program; 20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program; 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort; 23.003 Appalachian Development Highway System; 20.224 Federal Lands Access Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Kentucky - PIKEVILLE TO VA. STATE LINE: IMPROVE US 460/KY 80 FROM DUNLEARY HOLLOW TO KY 80 AT BEAVER CREEK. PROJECT TO INCLUDE RUSSELL FORK BRIDGE ONLY.

CRITERIA FOR SELECTING PROPOSALS:

To be eligible, most projects must be located on public roads that are not functionally classified as minor rural collectors and local roads. The major exceptions are bridges not located on Federal-Aid highways; highway safety activities; bicycle and pedestrian projects, transportation alternatives and enhancement activities, the recreational trails program, and planning, research, development, and technology transfer. Proposed projects meeting these and other planning, design, environmental, safety, etc., requirements can be approved on the basis of State and local priorities within the limit of the funds apportioned or allocated to each State.

20.215 Highway Training and Education

National Highway Institute (NHI) Training Program/Local Technical Assistance Program/Tribal Technical Assistance Program (LTAP), (TTAP)/Dwight David Eisenhower Transportation Fellowship Program (DDETFFP)/Transportation Education Development Program (TEDP)/Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP),

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act), , Public Law 114-94, 23 USC Code 504.

OBJECTIVES:

This Assistance Listing encompasses several transportation training and education programs: 1) National Highway Institute (NHI) Training Program: To develop and administer, in cooperation with those in the highway community, educational, training, and technical assistance for the Federal Highway Administration, State and local highway agency employees, the private sector and members of international organizations. 2) Local Technical Assistance Program: To provide training, technology transfer, and professional development services to local agencies and tribal governments with road management and safety responsibilities. 3) Dwight David Eisenhower Transportation Fellowship Program (DDETFFP): To attract qualified students to the field of transportation education and research, and advance transportation workforce development. Transportation Education Development Program: To develop and implement innovative transportation education and workforce development programs at all levels of transportation. 4) Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP): To improve the preparation of K-12 students, particularly women and minorities, in science, technology, engineering, and mathematics through curriculum development and other transportation-related activities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements), Training

USES AND USE RESTRICTIONS:

National Highway Institute: Funds provided to support program/course development and delivery. Local Technical Assistance Program (LTAP): Funds provided to support an LTAP Center in each state, Puerto Rico, and seven regional Tribal Technical Assistance Centers. Eisenhower Fellowship Program: Funds provided to primarily university graduate students to support studies in transportation related areas. Transportation Education Development Program: Funds are provided on a competitive basis to institutions of higher education

ASSISTANCE LISTINGS DESCRIPTIONS

defined as an institution that provides at least 2 years of curriculum and offers, as a minimum, a certificate upon completion of the course work. GAMTTEP: Funds are provided on a competitive basis to support a GAMTTEP Clearinghouse as a resource for state and local education agency Science, Technology, Engineering, Math (STEM) transportation curriculum and education programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Depends on the program: 1) NHI Training Program: Employees of State and local transportation agencies, private sector transportation company employees are eligible to participate in NHI courses. 2) DDETFP: Undergraduate (juniors and seniors at Minority Institutes of Higher Education (MIHE)) and graduate students matriculating full-time at a U.S. university or college in a transportation-related discipline participate. 3) TEDP: Supports Region Workforce Centers that identify and facilitate implementation of successful transportation training and education programs for students and transportation professionals at all levels of education; K-12, community colleges, technical schools, universities and incumbent transportation professionals. 4) GAMTTEP: Provides information about transportation curriculum and education programs with a focus on the STEM disciplines and outreach to women and minorities.

Beneficiary Eligibility:

Student/Trainee; State; Local;

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. LTAP: LTAP Centers established through 5 year agreements with state transportation departments. DDETFP, TEDP, GAMTTEP provided through a Notice of Funding Opportunity process and advertised on Grants.gov. Cooperative Agreements for TTAP Centers are directly with FHWA on a five-year cycle. TEDP and GAMTTEP application procedures are managed through the FHWA Office of Acquisitions established processes. The Dwight David Eisenhower Transportation Fellowship Program (DDETFP): Students may apply to compete for eight award categories annually; Eisenhower Graduate, Grants for Research Fellowships; Eisenhower Intern Fellowships; Community Colleges; People with Disabilities; Historically Black Colleges and Universities (HBCUs); Hispanic Serving Institutions (HSIs); and Tribal Colleges (TCs). The application process is managed by the are forwarded to the Program Manager, Universities and Grants Programs, Center for Transportation Workforce Development, Office of Innovative Program Delivery.

Award Procedure:

LTAP funds are allocated to state transportation agencies for selection through the state acquisition procedures. Tribal Transportation Assistance Program Center (TTAP) awards are made directly to the TTAP managing institutions through the FHWA acquisitions process. Dwight David Eisenhower Transportation Fellowship Program awards are made annually for the academic year by the Program Manager, Universities and Grants Programs, Center for Transportation Workforce Development, Office of Innovative Program Delivery based on recommendations made by application review panels. Awards for the Transportation Education Development Program are managed through Cooperative Agreement by the FHWA Office of Acquisitions based on recommendations made by application review panels. Awards for the Garrett A. Morgan Technology and Transportation Education Program are managed through Cooperative Agreement and made by the FHWA Office of Acquisitions based on recommendations made by application review panels. based on competitive review by the Office of Acquisitions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. DDETFP: Seven months. NHI course development and delivery selected through competitive process; LTAP through competitive process for those LTAP Centers that are managed by universities (12 Centers are managed by State DOTs); TTAP, TEDP, GAMTTEP through competitive processes.

Appeals:

Other. NHI, TEDP, GAMTTEP, TTAP through FHWA acquisitions process for; LTAP through State DOT processes.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. LTAP -100%; TEDP 20% in 3rd year and 25% in 4th year of the 4-year Cooperative Agreement.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

DDETFP on-site assignments, 6 to 12 months with extension upon approval from the Program Manager, Universities and Grants Programs; NHI, LTAP, TEDP based on contract/cooperative agreement requirements. Method of awarding/releasing assistance: other

Reports:

LTAP; State transportation agency reports generally required annually. DDETFP, TEDP, GAMTTEP final projects reports required, based on Cooperative Agreements requirements. TEDP, Garrett Morgan, and TTAP: Quarterly reports required per Cooperative Agreements. LTAP: Reports may be required as part of contract agreement between the state transportation agency and the LTAP Center. OTS TPP requires LTAP/TTAP Centers to report annually on a number of Center program activities. NHI, LTAP, DDETFP, TEDP, GAMTTEP required according to contract/agreements with awardee or service provider. NHI, LTAP, TEDP, GAMTTEP: Yes, by FHWA Office of Acquisitions and OTS Program Managers. DDETFP by OTS Program Managers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Yes, discretionary through FHWA Office of Acquisitions.

Records:

Awardee organizations and FHWA Office of Acquisitions keeps records of expenditures/accomplishments as applicable to Federal requirements.

Account Identification:

69-8083-0-7-401.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$5,998,378.00; FY 21 est \$3,017,365.00; and FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: During the first 3 quarters of FY2021, FHWA obligated more than \$3 million on 24 projects to fund highway training and education programs around the country.

REGULATIONS, GUIDELINES, AND LITERATURE:

NHI Training Program: 23 CFR, "Highways." DDETFP: The first guidelines for the DDETFP were issued in August 1992. National guidelines are reissued annually in the program announcement by the University and Grants Programs, Technology Partnership Programs, Office of Technical Services. TEDP, LTAP/TTAP Garrett Morgan Program requirements provided in statute and as part of grant application. NHI, LTAP, DDETFP, TEDP, Garrett Morgan programs described in FHWA literature and websites.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

See Regional Assistance Locations. LTAP Program supported through oversight of state DOT LTAP Agreements by Federal Highway Administration Division Offices. DDETFP, TEDP, GAMTTEP program oversight through the FHWA Center for Transportation Workforce Development and Office of Acquisitions. For DDETFP, TEDP, GAMTTEP

Headquarters Office:

Karen A. Bobo,
Office of Innovative Program Delivery Federal Highway Administration 1200 New Jersey Ave, SE,
Washington DC 20590
Email: Karen.Bobo@dot.gov
Phone: (202) 366-1333
Fax:

Website Address:

https://www.fhwa.dot.gov/innovativeprograms/centers/workforce_dev/professionals.aspx

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.200 Highway Research and Development Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Wyoming- 2021 Management of a regional/ Technology Transfer Center. The T2/LTAP Center assists local Wyoming agencies and individuals in gaining technical transportation knowledge. This is accomplished by communicating new and developing technology, responding to direct requests, providing reference materials, and conducting T2/LTAP workshops throughout Wyoming.

CRITERIA FOR SELECTING PROPOSALS:

National Highway Institute: Proposals are selected based on quality of technical proposal, past performance and budget plan. LTAP: Ability to staff, develop and deliver an effective training and professional development program for local agencies; TTAP: Ability to staff, develop and deliver an effective training and professional development program for tribal governments. DDETFP: fellowships are awarded on competition on the basis of demonstrated merit, including pursuit of transportation related careers, academic credentials, academic recommendations, and study proposals. TEDP: Selections through competition based on quality of proposals and ability to develop and/or delivery innovative workforce development programs at all levels of transportation. GAMTTEP: Selections through competitions based on quality of proposals relative to innovative transportation education programs for underrepresented groups at K-12 grade levels and to meet programs objectives.

20.218 Motor Carrier Safety Assistance

(MCSAP),

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act, 49 U.S.C. 31102(a)-(k), 31104 (2016), as amended. Pub. L. No. 114-94, 5101(a) and 5101(c) (2015) and 49 CFR 31102(a)-(k) & 31104, Public Law 114-94, 49 US Code 31102 (a) (k) as amended by, See also 49 CFR part 350, as amended. States agree to adopt and enforce 49 CFR parts 390-397 and 107 (subparts F and G only), 171173, 177, 178 & 180., , Public Law 114-94, 49 USC Code 31102 (a) (k). See also 49 CFR part 350, as amended. States agree to adopt and enforce 49 CFR parts 390-397 and 107 (subparts F and G only), 171173, 177, 178 & 180.,

OBJECTIVES:

The Motor Carrier Safety Assistance Program (MCSAP) is a Federal formula grant program that provides financial assistance to States to reduce the number and severity of crashes and hazardous materials incidents involving commercial motor vehicles (CMV). The goal of the MCSAP is to reduce CMV-involved crashes, fatalities, and injuries through consistent, uniform, and effective CMV safety programs. Investing grant monies in appropriate safety programs will increase the likelihood that safety defects, driver deficiencies, and unsafe motor carrier

practices will be detected and corrected before they become contributing factors to crashes.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The primary MCSAP activities eligible for reimbursement include the National Program Elements currently outlined in 49 CFR 350.109:1. Driver and Vehicle Inspections; 2. Traffic Enforcement;3. Compliance Reviews, Carrier Interventions, Investigations, and New Entrant Safety Audits; 4. Public Education and Awareness; 5. Data Collection Part 350 is currently being revised to include changes required by the FAST Act. Additional elements will include:1. New entrant safety audits,2. Border enforcement activities;3. Data Quality;4. PRISM;5. ITD (operations and maintenance only) Other activities eligible for reimbursement to enforce other laws include: Sanitary food transportation inspections performed under 49 U.S.C. 5701; and the following activities, when carried out in conjunction with an appropriate North American Standard (NAS) inspection of a CMV and inspection report: Enforcement of CMV size and weight limitations at locations, excluding fixed-weight facilities, such as near steep grades or mountainous terrain, where the weight of a CMV can significantly affect the safe operation of the vehicle, or at ports where intermodal shipping containers enter and leave the United States. Detection of and enforcement actions taken as a result of criminal activity; including trafficking of human beings, in a CMV or by any occupant, including the operator, of the CMV. For documented enforcement of State traffic laws and regulations designed to promote the safe operation of CMVs. This includes documented enforcement of such laws and regulations relating to non-CMV's when necessary to promote the safe operation of CMVs, if (1) the number of motor carrier safety activities (including roadside safety inspections) conducted in the State is maintained at a level at least equal to the average level of such activities conducted in the State in fiscal years 2004 and 2005; and 2) A State may not use more than 10% of the amount of MCSAP Basic funds the State receives for enforcement activities relating to non-CMV's necessary to promote the safe operation of CMVs unless the FMCSA Administrator determines that a higher percentage will result in significant increases in CMV safety.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); All States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands, are eligible for MCSAP. The MCSAP grants are provided annually to the States MCSAP lead agency. A MCSAP lead agency is designated by the Governor as the State motor vehicle safety agency responsible for administering the Commercial Vehicle Safety Plan (CVSP) within the State. The CVSP is also known in statute and regulation as the Plan and serves as the MCSAP grant program application, project plan, and budget.

Beneficiary Eligibility:

U.S. Territories; State; Under the Basic and Incentive grant programs, a State lead MCSAP agency, as designated by its Governor, is eligible to apply for Basic and Incentive grant funding by submitting a commercial vehicle safety plan (CVSP), in accordance with the provisions of Title 49 of the Code of Federal Regulations (CFR) Part 350.201 and 205.

Credentials/Documentation:

A State lead MCSAP agency, as designated by its Governor, must self-certify that it will meet the conditions in 49 CFR Part 350.201. These conditions can be found on the FMCSA website at: www.fmcsa.dot.gov/regulations/title49/section/350.201 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov

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website. As stated above, States must also complete and submit a CVSP to FMCSA for review and assessment.

Award Procedure:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Deadlines:

August 01, 2019 - September 30, 2019. The deadline for MCSAP applications is August 1 of each year. The deadline may, for good cause, be extended by the Division Administrator/State Director for no more than 30 calendar days.

Range of Approval/Disapproval Time:

From 30 to 60 days. The FMCSA will notify the State, in writing, within 30 days of receipt of the Commercial Vehicle Safety Plan (CVSP) whether: (1) the plan is approved; (2) Approval of the plan is withheld because the CVSP does not meet the requirements, or is not adequate to ensure effective enforcement of the FMCSRs and HMRs or compatible State laws and regulations, (a) If approval is withheld, the State will have 30 days from the date of the notice to modify and resubmit the plan, or (b) disapproval of a resubmitted plan is final.

Appeals:

From 30 to 60 days. In accordance with the provisions set forth in 49 CFR Part 350.207, any State aggrieved by an adverse decision may seek judicial review under 5 U.S.C. Chapter 7.

Renewals:

Not Applicable. Renewals to standard assistance agreements, if any, will be in the form of a new grant with a new grant number.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 49 U.S.C. 31102(a)-(k), 31104 (2016), as amended. See 49 CFR part 350, as amended. States agree to adopt and enforce 49 CFR parts 390-397 and 107 (subparts F and G only), 171173, 177, 178 & 180..Matching Requirements: Percent: 15%. Requirement: Matching requirements are voluntary. 85 Federal, 15 State. The FMCSA has waived the requirement for matching funds to be provided by the U.S. Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Marianas.This program has MOE requirements, see funding agency for further details. Additional Information: The State must maintain the average level of expenditure of the State lead agency (not including amounts of the Government or State matching funds) for commercial motor vehicle safety programs, for enforcement of commercial motor vehicle size and weight limitations, drug interdiction, and State traffic safety laws and regulations for the fiscal years 2004 and 2005. This maintenance of effort requirement (MOE) is substantiated by the State on an annual basis..

Length and Time Phasing of Assistance:

The funds obligated to a State will remain available for the rest of the fiscal year in which they were obligated and the next full fiscal year. The State must account for any prior year's unexpended funds in the annual Commercial Vehicle Safety Plan (CVSP). Funds must be expended in the order in which they are obligated. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly progress reports showing both financial status and project accomplishments are required. No cash reports are required. Quarterly reports, showing expenditures, requests for reimbursement, and project progress, are required. An SF-425 must accompany each quarterly report or request for reimbursement. Routine monitoring includes regular communication with borrowers regarding the status of projects and reviewing periodic reports that borrowers are required to submit. Scheduled monitoring is an annual scheduled activity that includes a desk review and for active projects, a site visit. A quarterly Activity Report is to be filed with the FMCSA Division Office. SF-425 -- quarterly **Audits:**

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. See www.grants.gov for individual award announcements.

Records:

During the course of the grant project and for three years after the final voucher (invoice) is submitted, grant recipients must retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to the project as FMCSA may require in addition to the reporting and record-keeping requirements set forth in 2 C.F.R. 200.333.

Account Identification:

69-8159-0-7-401.

Obligations:

Formula Grants FY 20 \$304,069,500.00; FY 21 est \$304,069,500.00; FY 22 est \$304,069,500.00;

Range and Average of Financial Assistance:

No Data Available. Formula Grant calculation based on statutory and regulatory funding factors.

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal Year 2020: According to the FMCSAs most recently published Large Truck and Bus Statistics, approximately 3.4 million CMV inspections have been conducted under the auspices of this program, leading to safer highways with over 670,000 out of service (OOS) violations issued. This data also feeds FMCSAs various safety data systems, allowing the Agency and its State partners to direct enforcement resources as necessary. The FMCSA finalized the launch of its multi-year Commercial Vehicle Safety Plan (CVSP) project, allowing States to submit Plans (required for MCSAP eligibility) covering a three-year time span. In addition, the FMCSA was able to complete critical updates to its MCSAP calculation formula (for use in FY 2021) and regulatory framework as required under the Fixing Americas Surface Transportation (FAST) Act. Fiscal Year 2021: According to the FMCSAs most recently published Large Truck and Bus Statistics, approximately 3.4 million CMV inspections have been conducted under the auspices of this program, leading to safer highways with over 670,000 out of service (OOS) violations issued. This data also feeds FMCSAs various safety data systems, allowing the Agency and its State partners to direct enforcement resources as necessary. FMCSA successfully implemented the new MCSAP allocation formula and regulatory framework as required under the Fixing Americas Surface Transportation (FAST) Act. Fiscal Year 2022: As the current surface transportation authorization (FAST Act) expires on September 30, 2021, the FMCSA anticipates awarding funds as appropriated by Congress and allowed under new authorization legislation. Dollar amounts by State will be determined by the allocation formula. Information about the changes in the program can be found within the FMCSA Motor Carrier Safety Assistance Program Comprehensive Policy located on the FMCSA website <https://www.fmcsa.dot.gov/mission/grants/grantee-resources>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 formerly OMB Circulars 2 CFR 215, A-122, A-133, A-110 and A-21, Regulations, Executive Orders, and related policy are on the public website at: www.fmcsa.dot.gov. A solicitation package (notice of funding availability) containing the appropriate forms and directions are made available through www.grants.gov.

Regional or Local Office:

See Regional Assistance Locations. Contact your regional/local office at: <https://www.fmcsa.dot.gov/mission/field-offices>

Headquarters Office:

FMCSA Grants Management Office,
1200 New Jersey Ave., SE,
Washington DC 20590
Email: FMCSA_GrantMgmtHelpDesk@dot.gov
Phone: (202) 366-0621

Website Address:

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<https://www.fmcsa.dot.gov/mission/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Based upon the FMCSAs most recently published Large Truck and Bus Statistics, the following national program elements have been supported through the MCSAP grant: 3,385,977 CMV safety inspections conducted; 435,304 CMV traffic violations identified; 36,254 safety audits of new motor carriers conducted; 6,038 compliance investigations of motor carriers initiated, and 671,133 out of service safety violations identified. The data obtained from these safety interventions are uploaded and maintained within FMCSAs safety data information technology systems, which are accessed by Federal, State and local law enforcement organizations responsible for highway safety. This allows both Federal and State entities to direct enforcement resources as necessary. Funded projects will also include safety data improvement, maintenance of deployed innovative technology projects, and participation in the Performance and Registration Information Systems (PRISM) program. 2021 Fiscal Year 2021: As the current surface transportation authorization (FAST Act) expires on September 30, 2020, the FMCSA anticipates awarding funds as appropriated by Congress and allowed under new authorization legislation. Dollar amounts by State will be determined by the new allocation formula effective July 24, 2020. Information about the changes in the program can be found within the FMCSA Motor Carrier Safety Assistance Program Comprehensive Policy located on the FMCSA website <https://www.fmcsa.dot.gov/mission/grants/grantee-resources>. While the FMCSA expects State pandemic related responses to have an impact on CMV safety activities (inspections, investigations, etc.), public safety is not expected to be impacted as MCSAP agencies will focus on efforts which minimize exposure 2022 Based upon the FMCSAs most recently published Large Truck and Bus Statistics, the following national program elements have been supported through the MCSAP grant: 3,346,894 CMV safety inspections conducted; 432,129 CMV traffic violations identified; 40,221 safety audits of new motor carriers conducted; 5,360 compliance investigations of motor carriers initiated, and 671,373 out of service safety violations identified. The data obtained from these safety interventions are uploaded and maintained within FMCSAs safety data information technology systems, which are accessed by Federal, State and local law enforcement organizations responsible for highway safety. This allows both Federal and State entities to direct enforcement resources as necessary. Funded projects will also include safety data improvement, maintenance of deployed innovative technology projects, and participation in the Performance and Registration Information Systems (PRISM) program.

CRITERIA FOR SELECTING PROPOSALS:

In accordance with 49 U.S.C. 31102(i) and grant/financial management requirements in 2 CFR part 200, each CVSP receives a fair, equitable and objective review prior to award approval. This review ensures that applicable statutory and regulatory requirements will be met and allowable CVSP projects and activities will succeed. The CVSP review process generally consists of a review in the following areas: 1) Application Review. The FMCSA reviews the CVSP and all supplemental attachments (e.g., forms and certifications) for completeness and to ensure that the MCSAP lead agency meets the basic eligibility requirements defined in the solicitation (notice of funding availability); 2) Programmatic Review. The FMCSA reviews the CVSP to make sure that the information presented is reasonable and understandable and the activities proposed in the application are measurable, achievable, and consistent with program or legislative requirements. The FMCSA evaluates the CVSP against the performance-based information required in accordance with 49 CFR 350.213.; 3) Financial Review. The FMCSA evaluates the fiscal integrity and financial capability of a MCSAP lead agency, and reviews the CVSP details, including the budget and budget narrative, and any other documentation to examine costs for proposed project/program activities to determine if they appear reasonable, necessary, eligible and allowable for award. Note that approval of the CVSP is not a final approval of costs as defined in accordance with 2 CFR part 200 Subpart E (Cost Principles); and 4) Suitability Review in accordance with 2 CFR 200.205 is discussed in more detail in Chapter 7.3.

20.219 Recreational Trails Program

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act., Public Law 114-94, 23 USC Code 104, 133, 206.

OBJECTIVES:

The purpose of this program is to provide funds to the States to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses. The funds represent a portion of the motor fuel excise tax collected from nonhighway recreational fuel use.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Funds from this program may be used for: (1) Maintenance and restoration of existing trails; (2) development and rehabilitation of trailside and trailhead facilities and trail linkages; (3) purchase and lease of trail construction and maintenance equipment; (4) construction of new trails (with restrictions for new trails on Federal lands); (5) acquisition of easements or property for trails or trail corridors; (6) assessment of trail conditions for accessibility and maintenance; (7) development and dissemination of publications and operation of educational programs to promote safety and environmental protection (as those objectives relate to one or more of the use of recreational trails, supporting non-law enforcement trail safety and trail use monitoring patrol programs, and providing trail-related training), (limited to 5 percent of a State's funds); and (8) State administrative costs related to this program (limited to 7 percent of a State's funds). Funds may not be used for: property condemnation; constructing new trails for motorized use on National Forest or Bureau of Land Management lands unless the project is consistent with resource management plans; or facilitating motorized access on otherwise nonmotorized trails. The USDOT encourages States to enter into contracts and cooperative agreements with qualified youth conservation or service corps to perform construction and maintenance of recreational trails under this program. Note: States must return 1 percent of the funds to the FHWA for administrative, research, technical assistance, and training expenses for the program. The FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations to perform these tasks.

Applicant Eligibility:

State; The FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations using its administrative funds. For funds available to the States: the Governor of each State must designate the State agency or agencies responsible for administering this program. The State must have a State recreational trail advisory committee that represents both motorized and nonmotorized recreational trail users, which shall meet not less than once per fiscal year. If the State Recreational Trail Advisory Committee does not meet in a fiscal year, or does not have required representation, the State becomes ineligible for an apportionment. The State agency may accept project proposals from private organizations, or from municipal, county, State, or Federal government entities, and other government entities. The projects must satisfy one or more of the permissible uses. States may provide subgrants to Federal, State, and local government entities and to private entities, at the discretion of the State.

Beneficiary Eligibility:

State; The FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations using its administrative funds. The State agency designated by the Governor. A State may opt out of the Recreational Trails Program if the Governor of the State notifies the Secretary not later than 30 days prior to apportionments being made for any fiscal year.

Credentials/Documentation:

Allowable costs will be determined in accordance with applicable cost principles listed in 2 CFR Part 200 for the kind of organization receiving the grant or subgrant. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

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Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Most Recreational Trails Program (RTP) projects qualify as Categorical Exclusions under the National Environmental Policy Act (NEPA). States may use programmatic agreements to establish streamlined processes for handling routine environmental requirements for commonly encountered project types. Some projects may require Environmental Assessments. Projects that may have a significant environmental impact may require Environmental Impact Statements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For funds available to the States: The States must submit project proposals to the FHWA division office located in each State for approval. The State may submit individual projects or consolidate similar projects for the purposes of program approval. FHWA approval constitutes a commitment to pay the Federal share of the project's cost. The State's projects also must be included in State transportation improvement programs and applicable metropolitan transportation improvement programs. For FHWA's administrative, research, technical assistance, and training expenses, FHWA may enter into contracts with for-profit organizations or contracts, partnerships, or cooperative agreements with other government agencies, institutions of higher learning, or nonprofit organizations to perform these tasks. Contracts are announced through www.fbo.gov. Cooperative agreements are announced through www.grants.gov.

Award Procedure:

For funds available to the States: The State agency or agencies designated by the Governors decide which projects will be developed within funding levels, but the FHWA division office located in each State makes the final decision on the eligibility of specific projects for funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 5 days to 5 months. In some States, requirements for projects to be listed in statewide or metropolitan transportation improvement programs may add up to a year of delay.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23 U.S.C., Chapter , Part 133(h), 206, and former 213(f) and (g), Subpart , Public Law 114-94. Matching Requirements: Percent: 80%. Requirement: Matching requirements are voluntary. The Federal share is subject to a sliding scale under 23 U.S.C. 120(b). Funds from any other Federal program may be used for the non-Federal match if the project also is eligible under the other program. Recreational Trails Program funds may be used to match other Federal funds if the project also is eligible under the other program. States also may allow a programmatic match: if some project sponsors in a State provide more match funds than required, other sponsors in the State may provide less. Some in-kind materials and services may be credited toward the project match. States may allow some pre-approval planning and environmental assessment costs toward the project match. See

http://www.fhwa.dot.gov/environment/recreational_trails/guidance/matchingfunds.cfm. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds generally become available at the beginning of the fiscal year for which they are authorized, and must be obligated within 3 years after the close of that fiscal year. The educational funds and State administrative funds are only available for the fiscal year in which they are apportioned. Method of awarding/releasing

assistance: other

Reports:

Yes, as part of the Transportation Alternatives annual report requirement under 23 U.S.C. 133(h)(7). See:

https://www.fhwa.dot.gov/environment/transportation_alternatives/annual_reports/.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Project records and documents must be retained by the State for 3 years following the final submission for Federal payment in accordance with 2 CFR 200.

Account Identification:

69-8083-0-7-401.

Obligations:

Formula Grants (Apportionments) FY 20 \$59,974,528.00; FY 21 est \$12,687,520.00; and FY 22 est \$60,000,000.00;

Range and Average of Financial Assistance:

Apportionments to the States are based on statutory formula. All 50 States and the District of Columbia are eligible to receive apportionments. For FY 2016: \$83,318,400 was potentially available (after the 1% return); \$82,365,802 was apportioned (Connecticut opted out for FY 2016). Awards ranged from \$816,847 to \$5,698,627; the average was \$1,647,316.

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: West Virginia- In St. Albans, West Virginia the city recently rededicated its City Park Nature Trail after improvements made using RTP funding. The trail received numerous upgrades which included refacing the entire trail with stone, and in some cases completely rebuilding old sections by recutting them and making them easier to traverse. Additionally two bridges were replaced on the trail.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, Highways and 49 CFR, Transportation. There are no regulations specifically for the Recreational Trails Program. Program guidance was completed on April 1, 1999; and is posted at

http://www.fhwa.dot.gov/environment/recreational_trails/guidance.htm along with program updates. Program guidance under the FAST Act is posted at http://www.fhwa.dot.gov/environment/transportation_alternatives/.

Regional or Local Office:

See Regional Assistance Locations. State-level offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or the State agency designated by the Governor to administer this program.

Headquarters Office:

Christopher B. Douwes,
1200 New Jersey Ave SE,
Washington DC 20590
Email: christopher.douwes@dot.gov
Phone: (202) 366-5013
Fax: (202) 366-3409

Website Address:

http://www.fhwa.dot.gov/environment/recreational_trails/

RELATED PROGRAMS:

20.205 Highway Planning and Construction;

EXAMPLES OF FUNDED PROJECTS:

2021 Texas- The Violet Crown Trail (VCT) will be the first regional trail system in Central Texas. The VCT is now 13 miles long running from Zilker Park to Lady Bird Johnson Wildflower Center in Austin, Travis County, Texas. The VCT will connect scenic natural areas to neighborhoods, shopping centers, a library, and public parks, becoming the longest trail of its kind in Central Texas. Once fully complete, the trail will extend 30 miles and provide a unique recreational

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experience.

CRITERIA FOR SELECTING PROPOSALS:

Each State (and the District of Columbia) solicits and selects projects for funding. To be eligible, projects must be selected on the basis of State priorities within the limit of the funds apportioned to each State.

20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program

TIFIA Credit Program,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Transportation Infrastructure Finance and Innovation Act of 1998, , Public Law 105-178, USC Code . Title TEA 21 Restoration Act, , Title Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), , Title Moving Ahead for Progress in the 21st Century Act (MAP-21), , Title Fixing America's Surface Transportation (FAST) Act,

OBJECTIVES:

To finance projects of national or regional significance by filling market gaps and leveraging substantial non-Federal and private co-investment. Transportation Infrastructure Finance and Innovation Act (TIFIA) credit assistance is intended to facilitate the financing of projects that would otherwise have been significantly delayed because of funding limitations or difficulties accessing the capital markets. Through TIFIA, the DOT provides Federal credit assistance to eligible highway, transit, rail, and intermodal freight projects, including access to seaports. The Fixing Americas Surface Transportation Act (FAST), enacted in December 2015, authorized the establishment of a Regional Infrastructure Accelerator Demonstration Program (the Program) to assist entities in developing improved infrastructure priorities and financing strategies for the accelerated development of a project that is eligible for funding under the TIFIA Program. The Build America Bureau issued a NOFO on December 8, 2020, to implement the Program.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Eligible projects include highway, transit, passenger rail, intercity bus vehicles and facilities, certain port facilities, surface transportation facilities at airports, rural infrastructure projects, transit oriented development projects (TOD), and any other type of project that is eligible for grant assistance under title 23 or Chapter 53 of Title 49 of the United States Code. Additionally, under the FAST Act, TIFIA credit assistance may be used to capitalize State Infrastructure Banks (SIBs) to fund rural infrastructure projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project may apply for TIFIA assistance. Examples of such entities include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Beneficiary Eligibility:

Profit organization; State; Local; Other public institution/organization; Other private institution/organization; Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project. Examples include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Credentials/Documentation:

All applicants must demonstrate relevant experience, strong qualifications, a sound project approach, all necessary funding commitments, and a project that can demonstrate financial feasibility. Applicants also must meet various Federal standards for participation in a Federal credit program. For example, applicant may not be delinquent or in default on any Federal debts. Such requirements will be specified in the contractual documents between the DOT and each applicant. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. For more information, please visit the TIFIA website at <https://buildamerica.dot.gov/financing/tifia>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. DOT reviews Letters of Interest for TIFIA credit assistance on a first come, first served basis. Projects are reviewed to ensure they meet the eligibility requirements under all applicable regulations and statutes, as well as for financial feasibility. Upon receiving notification that the project meets the basic eligibility criteria, the USDOT will invite a project sponsor to an application. The application form is available on the TIFIA web site at: <https://buildamerica.dot.gov/financing/tifia/applying>.

Award Procedure:

USDOT will evaluate application and advise applicant of approval or disapproval. Final approval is reserved by the Secretary of Transportation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. After a complete application has been filed with all supporting documents, processing time is approximately 60 to 90 days. The average time to execute a TIFIA credit agreement from the date of Secretarial approval is 1 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Disapproved applicants may reapply at any time.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As a part of the application package, the borrower provides a projected timetable for the completion of the project. The funding will be made available to reimburse the Borrower for prior payment of allowable costs incurred in connection with the project. Method of awarding/releasing assistance: other

Reports:

Financial statements are required to be submitted for the life of the financial assistance. Recurring construction and operating progress reports are required as a condition of the TIFIA loan agreement. Expenditure reports are required as a condition of the TIFIA loan agreement. Performance reports are required as a condition of the TIFIA loan agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Documentary evidence that may be requested for each project includes: audited financial statements, updated budget and cash flow projections, audit reports, sources and uses of funds, coverage ratios, project schedules, operating statistics, and management updates (within no more than 180 days following the recipient's fiscal year-end). In addition, the credit agreement obligates the borrower to provide

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the DOT an annual update to the project's financial plan in accordance with specified requirements. Each borrower will be required to give notice to the DOT of material events, including litigation, which could affect project development or the credit quality of the project. All such records must be kept until five (5) years after the TIFIA loan shall have been paid in full.

Account Identification:

69-4123-0-3-401, 69-4173-0-3-401, 69-4145-0-3-401.

Obligations:

Direct Loans FY 20 (\$2,032,047,288.00); FY 21 est (\$1,294,967,296.00); FY 22 est (\$1,884,901,888.00);

Range and Average of Financial Assistance:

The minimum TIFIA credit assistance is approximately \$3.3 million, though the smallest TIFIA loan to date has been \$8.449 million. The largest single TIFIA loan was in the amount of \$1.6 billion, though the maximum amount of any TIFIA loan is constrained by availability of budgetary resources.

TAFS Codes:

69-4123, 69-4145, 69-4173.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: During the FY2021 through July 2021, the USDOT approved four TIFIA loans totaling over \$1.2 billion, including \$8.449 million for the Monterey-Salinas Transit District, over \$537 million for the Mid-Coast Corridor Transit system in San Diego, \$448 million for Highway construction in the Austin, Texas area, and \$225 million for Highway construction in San Bernardino County, California.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the Build America Bureau website at <https://buildamerica.dot.gov/buildamerica/financing/program-guide>.

Regional or Local Office:

None.

Headquarters Office:

Roger Bohnert,
Room W12-4011200 New Jersey Avenue, SE,
Washington DC 20590
Email: buildamerica@dot.gov
Phone: 2023662300
Fax:
Website Address:

<https://buildamerica.dot.gov/buildamerica/financing/tifia>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 During FY2020, USDOT approved a TIFIA loan of up to \$13,080,000 to the San Luis Obispo Regional Transit Authority (RTA) in California. This was the first loan approved TIFIA Rural Project Initiative. The RTA decided to construct a facility large enough to accommodate its current vehicle fleet and allow room for future expansion. Once complete, the project will provide the RTA with a 28,650 square-foot facility on 6.44-acres of land a facility three times larger than the current space. 2021 In FY2021, USDOT approved a TIFIA loan of up to \$225 million to the San Bernardino County Transportation Authority (SBCTA) for the I-10 Corridor Express Lanes Project. The loan will help finance construction costs associated with the 10-mile widening of the I-10 highway between the Los Angeles county line and the I-15 in San Bernardino County.

CRITERIA FOR SELECTING PROPOSALS:

DOT reviews Letters of Interest for TIFIA credit assistance on a first come, first served basis. Projects are reviewed to ensure they meet the eligibility requirements under all applicable regulations and statutes, as well as for financial feasibility.

20.224 Federal Lands Access Program

Access Program or FLAP,

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST), , Public Law 114-94, 23 USC Code 204. Section 1119 of the Moving Ahead for Progress in the 21st Century Act (MAP-21), , Public Law 112-141, 23 USC Code 204.

OBJECTIVES:

The goal of the Federal Lands Access Program is to improve transportation facilities that provide access to, are adjacent to, or are located within Federal lands. The Access Program supplements State and local resources for public roads, transit systems, and other transportation facilities, with an emphasis on high-use recreation sites and economic generators. The program is designed to provide flexibility for a wide range of transportation projects in the 50 States, the District of Columbia, and Puerto Rico. A Programming Decisions Committee (PDC) within each State will make programming decisions and should develop a multi-year program of projects.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Funds made available under the Access Program shall be used to pay the cost of: A.transportation planning, research, engineering, preventive maintenance, rehabilitation, restoration, construction, and reconstruction of Federal Lands Access Transportation Facilities located on or adjacent to, or that provide access to, Federal land, and - i.adjacent vehicular parking areas; ii.acquisition of necessary scenic easements and scenic or historic sites; iii.provisions for pedestrians and bicycles; iv.environmental mitigation in or adjacent to Federal land to improve public safety and reduce vehicle-caused wildlife mortality while maintaining habitat connectivity; v.construction and reconstruction of roadside rest areas, including sanitary and water facilities; and vi.other appropriate public road facilities, as determined by the Secretary; B.operation and maintenance of transit facilities; and C.any transportation project eligible for assistance under title 23 that is within or adjacent to, or that provides access to, Federal land.In regard to (B) above, "operation and maintenance of transit facilities" includes the operation of all components of a transit system, including vehicles.The eligibility under (C) above includes transit capital projects eligible under Chapter 53 of title 49, United States Code, that are also eligible under title 23 and that are within or adjacent to, or that provides access to, Federal lands open to the public.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Only the owner of the affected transportation asset or assets may submit an application.

Beneficiary Eligibility:

Federal; U.S. Territories; Local; Federally Recognized Indian Tribal Governments; State transportation departments, other State agencies, local agencies, and Federal agencies.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As required by law, a programming decisions committee (PDC) is responsible for the Access Program programming decisions in each State and must be comprised of a representative of: The Federal Highway Administration (FHWA); The State Department of Transportation; and An appropriate political subdivision of the State.The representative for local governments should be from an organization or entity that is suited to represent the local entities responsible for building, operating, or

ASSISTANCE LISTINGS DESCRIPTIONS

maintaining transportation facilities that are located on, are adjacent to, or provide access to Federal lands. The local representative should work cooperatively with representatives of local public transportation providers that provide access to or within Federal recreation areas within the State. Depending on State law, such entities could include the Association of County Engineers or Officials in many States. In States with only one or two Federal facilities, the local interests may best be represented by the Metropolitan or Regional Transportation Planning Organization, whose jurisdiction includes the Federal area. In other States where the predominant Federal facility is adjacent to a gateway community, the municipality or other local entity may be the appropriate representative. Regardless, the local representative should represent local interests for access to Federal lands statewide. The local representative may not be affiliated with or work for the Executive Branch of the Federal or State government. The FHWA will work with the State DOT to identify an appropriate local representative. The PDC in each State should develop Standard Operating Procedures (SOP) that may be part of a Statewide Program Agreement.

Award Procedure:

PDCs decide which projects will be developed within available funding. Award procedures will follow the procedures developed by the delivery agency, as long as they are consistent with federal law. Projects administered by the FHWA Office of Federal Lands Highways or the FLMAs are subject to procurement processes identified in the Federal Acquisition Regulations. Project awards are subject to the availability of funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23 USC, Chapter , Part 204(b), Subpart ,Public Law . Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. Percent: 20%. Typically, 80% of costs are federally funded with a 20% matching requirement. See 23 USC 120. Exceptions apply; contact FHWA for specific information. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

The funds made available under this program will be available for the current year plus three additional years. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Project records and documents must be retained by the State or other governmental recipients/ sub-recipients as specified in 2 CFR 200.333. For the Federal Lands Highway Program, the Federal Lands Highway Divisions maintain the project records.

Account Identification:

69-8083-0-7-401.

Obligations:

Formula Grants FY 20 \$153,711,421.00; FY 21 est \$253,579,871.00; FY 22 est \$251,774,409.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: WI 30 The Apostle Islands National Lakeshore is a U.S. national lakeshore consisting of 21 islands (Apostle Islands) and shoreline encompassing 69,372 acres on the northern tip of Wisconsin on the shore of Lake Superior. It is known for its collection of historic lighthouses, sandstone sea caves, a few old-growth remnant forests, and natural animal habitats. The Federal Lands Access Programs Old County Highway Rehabilitation, Safety Enhancement and Access Improvement Project improves access and safety to Apostle Islands. This segment of roadway bodes the highest volume summer entry point to Apostle Islands. This project is Phase II of the Towns four phase Rehabilitation, Safety Enhancement, and Access Improvement Program and includes the rehabilitation of 1.67 miles of Old County Road East and 1.81 mile segment of East Old Country Highway. The work includes resurfacing the roadway, constructing 3-foot wide paved shoulders on both sides of the roadway to increase safety for cyclists, repairing and extending culverts, installing guardrail along the section of the roadway crossing the 32-foot deep Raspberry River, pavement striping, and other miscellaneous work.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways" and 49 CFR, "Transportation", and 2 CFR 200, Grants and Agreements. <http://www.fhwa.gov>.

Regional or Local Office:

See Regional Assistance Locations. Regional Agency Offices. For specific State information visit <https://flh.fhwa.dot.gov/programs/flap/>

Headquarters Office:

Program Manager Frances Ramirez,
1200 New Jersey Ave SE,
Washington DC 20590
Email: Frances.Ramirez@dot.gov
Phone: (202) 493-0271

Website Address:

<https://flh.fhwa.dot.gov/programs/flap/reports/>

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.200 Highway Research and Development Program; 20.215 Highway Training and Education; 20.219 Recreational Trails Program;

EXAMPLES OF FUNDED PROJECTS:

2021 FHWA-CFLHD will obligate approximately \$130 million for Federal Lands Access Program projects during FY2021 across 14 states. This program improves access to Federally owned high-use recreation sites and economic generators. One such area this program benefits is the Tonto National Forest located in Arizona. The purpose of the State Route 88 Apache Trail project is to provide a hardened driving surface and address sight distance issues along 11.2 miles of the historic route. The project will benefit the community by enhancing recreation access and safety. Furthermore, the Arizona Department of Transportation (ADOT) expends approximately half of the Districts maintenance budget on this route. These improvements will dramatically reduce the maintenance needs, freeing up these limited resources to serve critical maintenance needs in other areas. During project design the site experienced a flood event that damaged several culverts that were not a part of the original project. The FHWA-CFLHD project team quickly identified an opportunity to reduce administrative costs and improve economies of scale, and with assistance from ADOT and the FHWA-AZ Division Office, secured emergency relief funds to combine repair work with the Access Program project for an overall benefit to the taxpayer. The project involves the Emergency Relief funded drainage repairs of pipe culverts and reinforced concrete box culverts; and the Access Program funded slope scaling, excavation, chip seal, asphalt paving, erosion control, and revegetation.

CRITERIA FOR SELECTING PROPOSALS:

Each FLAP project is selected from a pool of applications submitted by the facility owner in response to a state-wide call for projects. The call for projects frequency is determined by the PDC based on the funds available. The applications are screened, rated, and ranked using the PDC approved rating criteria. The PDC agrees which projects met the established State program goals and conformed to the project ranking criteria. Based upon these evaluations, the proposed projects are recommended for programming within the available funding for each State.

ASSISTANCE LISTINGS DESCRIPTIONS

20.231 Performance and Registration Information Systems Management

(PRISM),

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, Section 32602, 32603(c), . Public Law 112-141, 49 USC Code 405, 807 (2012).

OBJECTIVES:

This program links Federal Motor Carrier Safety information systems with State commercial vehicle registration systems and enables a State to (1) determine the safety fitness of a motor carrier or registrant when applying for registration or while the registration is in effect; and (2) deny, suspend, or revoke the registration of a motor carrier or registrant that has been issued an Out-of-Service (OOS) order by the FMCSA. The PRISM grant program has been consolidated into the Motor Carrier Safety Assistance Program (MCSAP) and High Priority grant programs due to changes in FMCSAs authorization under the Fixing Americas Surface Transportation Act (Pub. L. No. 114-94, 5101(a) and 5101(c) (2015), 49 U.S.C. 31102(a)-(k), 31104 (2016), as amended). Awards are no longer being made under this CFDA; however, pre-existing grants will remain active until project completion and/or period of performance on the individual grant agreements expire. For more information on these changes, visit FMCSA CFDA number 20.218.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial assistance to States for the implementation of the PRISM program to (A) comply with the program requirements and technical and operational standards; (B) possess or seek the authority to impose sanctions relating to commercial motor vehicle registration on the basis of a Federal safety fitness determination; and (C) establish and implement a process to cancel the motor vehicle registration and seize the registration plates of a vehicle when an employer is found liable under section 31310 (i)(2)(C) for knowingly allowing or requiring an employee to operate such a commercial motor vehicle in violation of an out-of-service order.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); The FMCSA may award these grants to the agencies of States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands. According to 49 U.S.C. 31106(d), FMCSA may use PRISM to fund other Federal departments, agencies, and instrumentalities, or by making grants to, and entering into contracts and cooperative agreements with, States, local governments, associations, institutions, corporations, and other persons."

Beneficiary Eligibility:

U.S. Territories; Eligible applicants include State agencies located in one of the fifty States, the District of Columbia, Puerto Rico, Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands. Applicants must work on highway traffic safety activities and must demonstrate a capacity to work with highway traffic safety stakeholders.

Credentials/Documentation:

Letter of Intent indicating State wishes to participate in PRISM together with an application for assistance standard form (SF-424). Submission of a State grant request and PRISM Implementation Plan addressing the program requirements as outlined in the PRISM Implementation Plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for

assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. As stated in Section 13 of the FMCSA Financial Assistance General Provisions and Assurances, upon execution of the grant agreement recipients agree to comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

The amount of the PRISM grant funding allocated to a qualifying State will be determined by the Federal Motor Carrier Safety Administration (FMCSA) based on the assessed needs of the State, the eligibility of the State, and the availability of funds. Once the State's PRISM Implementation Plan has been approved, the grant will be awarded and the State will be required to sign a grant agreement with FMCSA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Appeals:

Not Applicable.

Renewals:

Other. The funds are available for expenditure as stipulated in the grant agreement and the terms and conditions.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The initial grant period of performance is typically 36 months; grant program extensions are allowable with FMCSAs approval. Method of awarding/releasing assistance: other

Reports:

Reporting requirements for PRISM recipients are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and financial reports no later than 30 days following the end of the quarter being reported. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with SF-PPR-B_PRISM attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request of reimbursement form (SF-270). Progress reports are required quarterly. SF 425 Performance monitoring reports are submitted in the form of status reports.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Recipient agrees to obtain any other audits required by FMCSA. Project closeout will not alter the Recipient's audit responsibilities. Audit costs for Project administration and management are allowable under this Project to the extent authorized by 2 CFR 200. The Recipient agrees to permit FMCSA, the Secretary of Transportation and the Comptroller General of the United States, or their authorized representatives, to inspect all Project work, materials, payrolls, and other data, and to audit the books, records, and accounts of the Recipient and its contractors pertaining to the Project. The Recipient agrees to require each third-party contractor whose contract award is not based on competitive bidding procedures as defined by the Secretary to permit the Secretary of Transportation and the Comptroller General of the United States, or their duly authorized representatives, to inspect all work, materials, payrolls, and other data and records involving that contract, and to audit the books, records, and accounts involving that contract as it affects the Project.

Records:

The recipient shall retain records related to the approved project for the annotated time periods as specified in the grant agreement and 2 CFR 200, as applicable. The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software and data.

Account Identification:

69-8158-0-7-401.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Awards can range from \$100,000.00 to \$750,000.00

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The PRISM Implementation Guide, Procedures Manual, System Specifications, State Training Materials, and other useful information may be obtained from the PRISM website specified below. Performance and Registration Information Systems Management (PRISM) grants funding authorization was part of the contract authority for information systems codified at 49 U.S.C. 31107.

Regional or Local Office:

See Regional Assistance Locations. State Division Offices of the FMCSA are listed at www.fmcsa.dot.gov

Headquarters Office:

Grants Management Officer Lisa Ensley,
1200 New Jersey Ave., SEW66-443,
Washington DC 20590
Email: lisa.ensley@dot.gov
Phone: 202-366-1736

Website Address:

<http://www.fmcsa.dot.gov/safety-security/prism/prism.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must submit a State PRISM Implementation Plan specifying how the State will meet the program requirements, timeframes for completion, and

associated costs of the program.

20.232 Commercial Driver's License Program Implementation Grant

CDLPI,

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

The Fixing Americas Surface Transportation (FAST) Act, Pub. L. No. 114-94, 5101 and 5104 (2015)., , Public Law 114-94

OBJECTIVES:

Assist States in complying with CDL requirements and dedicate funding to priority activities for research, development and testing, demonstration projects, public education, and other special activities and projects relating to commercial drivers licensing.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assist States and ensure State is making a good faith effort toward substantial CDL compliance; improve the States implementation of its computer hardware and software; pay for publications, testing, personnel, training, quality control, CDL program coordinators; and implement or maintain a system to notify an employer of an operator of a CMV of the suspension or revocation of the operators CDL. For Priority Activities: Promote activities that benefit all U.S. jurisdictions; address National safety concerns and circumstances; address emerging issues relating to CDL improvements; support innovative ideas and solutions to CDL issues; or other CDL issues as determined by FMCSA.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; States may receive grant funds to comply with the requirements of section 31311 of SAFETEA-LU or if making good faith efforts toward substantial compliance with the requirements of section 31311 and 31313 receive grant funds to improve implementation of the commercial driver's license program. States, local governments, and other persons for projects involving research, development, demonstration projects, public education, and other special activities and projects relating to commercial driver licensing and motor vehicle safety that are of benefit to all jurisdictions of the United States or are designed to address national safety concerns and circumstances. States, local governments, and other persons may use grant funds to address emerging issues relating to commercial driver's license improvements.

Beneficiary Eligibility:

Other private institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; 14 - State (includes District of Columbia, public institutions of higher education and hospitals) 15 Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals) 20 Public nonprofit institution/organization 38 other private institutions/organizations 39 anyone/general public

Credentials/Documentation:

Evidence of eligibility and of negotiated indirect rates and audit by cognizant agency; documentation of requested amounts and how those figures were calculated. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed application instructions and forms are available on Grants.gov. Applications must be submitted using Grants.gov

Award Procedure:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable. Applicants must register with the System of Awards Management and with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 120 days

Appeals:

Not Applicable. Formal protests are not an option; unsuccessful applicants are notified in writing and may request a debriefing.

Renewals:

Not Applicable. Grant period of performance may be extended without additional funding according to the requirements of the FMCSA Financial Assistance Agreement General Provisions and Assurance and other applicable OMB Circulars and Federal law. Continuation awards or grants are not available.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum period of performance for a grant is year of award plus an additional four fiscal years. All new application proposals will be evaluated to ensure that proposed projects can be achieved within the requested timeframe. There is no mandatory minimum grant duration. Amendment requests to extend the period of performance beyond the period of availability described above will not be approved. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports showing project accomplishments are required. No cash reports are required. Quarterly reports showing expenditures and pending requests for reimbursement are required. The SF-425 Federal Financial Report is used for financial quarterly reporting. The SF-PPR Performance Progress Report is used for project performance reporting. FMCSA may require reporting more frequently than once per quarter at its discretion. A separate SF-425 and Performance Progress Report is required for each grant. Grantees must be current on required reporting to receive reimbursement. Routine monitoring includes regular communication with Grantees regarding the status of projects, reviewing quarterly reports, and site visits or conference calls as appropriate. Project activity reports (SF-PPR) must be submitted quarterly to the FMCSA Division office. SF-425 as described above must be submitted quarterly to the FMCSA Division office Through reports and regular contact with the Grantee by phone, site visits, and annual performance and skills test reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See www.grants.gov for individual award announcements.

Records:

Records of all program activities covered in the grant proposal. Such records must be kept for 3 years following the end of the grant project period.

Account Identification:

69-8158-0-7-401.

Obligations:

Project Grants FY 20 \$32,702,000.00; FY 21 est \$29,000,000.00; FY 22 est \$29,000,000.00;

Range and Average of Financial Assistance:

\$20,000 - \$1,500,000

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the Federal Motor Carrier Safety Administration (FMCSA) awarded \$32.7 million in CDLPI grant funding. The funding supported 49 projects and administered by 30 State agencies, to include: State drivers licensing agencies (SDLAs), judicial agencies, and a State university; four non-profit entities; and one for-profit entity. The funding was used for a wide range of projects that support or improve an SDLAs compliance with 49 CFR parts 383 and 384. Examples of projects that were awarded include: providing funding for personnel to enter medical examiners certificate information; correcting driver history records to accurately reflect disqualification actions and restatements; improving conviction timeliness and data quality between the courts and the SDLAs; developing data reports to target improvements; and publishing best practices on CDL compliance issues.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR Part 383 and 384

Regional or Local Office:

See Regional Assistance Locations. Contact your regional/local office at: <https://www.fmcsa.dot.gov/mission/field-offices>

Headquarters Office:

FMCSA Grants Management Office,
1200 New Jersey Ave., SE,
Washington DC 20590
Email: FMCSA_GrantMgmtHelpDesk@dot.gov
Phone: (202) 366-0621

Website Address:

<https://www.fmcsa.dot.gov/mission/grants>

RELATED PROGRAMS:

20.238 Commercial Drivers License Information System ;

EXAMPLES OF FUNDED PROJECTS:

2020 In FY 2020, FMCSA will be awarding approximately \$32.7 million in available CDLPI grant funding to support increased compliance with 49 CFR Part 383 and 384 that supports the Departments strategic goals and FMCSA mission by ensuring that only qualified drivers are eligible to receive and retain a CDL and focuses on maintain the concept that every driver has only one driving record and only one licensing document, commonly referred to as One Driver One License One Record. Presently, the FMCSA is awaiting Departmental approval. All awards must be issued by September 30, 2020. While awaiting Congress action on the Departments reauthorization and appropriations bills for FY2021 and forward, FMCSA will be developing the Notice of Funding Opportunity (NOFO) based upon prior legislation, but will also incorporate any changes impacting the CDLPI Grant program as a result reauthorization and/or appropriation language. The Secretary of Transportation must approve the NOFO prior to public posting. 2021 In FY 2021, FMCSA will be awarding approximately \$29 million in available CDLPI grant funding to support increased compliance with 49 CFR parts 383 and 384. The funding will be used to support the Departments strategic goals and FMCSAs mission by ensuring that only qualified drivers are eligible to receive and retain a CDL and will focus on the concept that every driver has only one driving record and only one licensing document, commonly referred to as One Driver One License One Record. Presently, the FMCSA is awaiting Departmental approval. All awards must be issued by September 30, 2021. While awaiting Congress action on the Departments reauthorization and appropriations bills for FY 2022 and forward, FMCSA will be developing the Notice of Funding Opportunity (NOFO) based on prior legislation, but will also incorporate any changes impacting the CDLPI Grant Program as a result of reauthorization and/or appropriation language. The Secretary of Transportation must approve the NOFO prior to public posting.

CRITERIA FOR SELECTING PROPOSALS:

All discretionary (competitive) grant program applications undergo a series of reviews prior to award selection as required in 2 CFR 200.204 and 205. These reviews include: 1) technical review that provides an independent assessment of the technical/programmatic merit of an application. At least three qualified

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individuals are selected to review each application to ensure diversity of perspective and knowledge. Individuals are selected based on their technical education and experience and the extent to which the individual has engaged in relevant work, the capacities in which the individual has done so, and the quality of such work; 2) suitability review that provides a risk assessment on each applicants organization to ensure the applicant is suitable to receive and manage Federal funds. The risk assessment is conducted in several parts: 1) a debarment and suspension review that included a review of the applicants administrative capability self-certification and a check against the applicants records in the System for Award Management (www.sam.gov); 2) a review of the applicants history with other Federal agencies in the Single Audit Clearinghouse Database; and 3) an evaluation of the applicants Single Audit in accordance with the Single Audit Act; 3) past performance review that provides information that is considered as a possible indicator for predicting future performance. Many applicants for FMCSA programs have received FMCSA funding in the past and will be evaluated against their ability to complete prior year awards on-time, compliance with grant terms and conditions, and results from FMCSA grant monitoring activities. Applicants with no prior FMCSA grant awards will not be eliminated from funding consideration; and 4) budget/cost analysis that provides an assessment of allowable costs in accordance with Federal grant requirements, the cost realism of the budget estimate, appropriateness and reasonableness of resources, and reasonableness and feasibility of the schedule relative to the application timeline. Importantly, the budget evaluation provides initial insight to project-related risk, beyond those dealing with technical uncertainty, which is considered prior to recommendation. Application budgets are evaluated based on the same standards to which recipients will be held after award, which are outlined in the cost principles at 2 CFR part 200.101(c) Subpart E for all non-Federal entities, including commercial organizations.

20.233 Border Enforcement Grants

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, , Statue 126-405,807, Public Law 112-141 32603(c), 49 USC Code 31107.

OBJECTIVES:

The Federal Motor Carrier Safety Administrations objective is to ensure motor carriers operating commercial vehicles entering the United States from a foreign country are in compliance with commercial vehicle safety standards and regulations, financial responsibility regulations and registration requirements of the United States, and to ensure drivers of those vehicles are qualified and properly licensed to operate the commercial vehicle. The Border Enforcement Grant program purpose has been incorporated into the FMCSA Motor Carrier Safety Assistance formula grant program and the FMCSA High Priority grant program due to changes in FMCSAs authorization under the Fixing Americas Surface Transportation Act (Pub. L. No. 114-94, 5101(a) and 5101(c) (2015), 49 U.S.C. 31102(a)-(k), 31104 (2016), as amended). Awards are no longer being made under this CFDA; however, pre-existing grants will remain active until project completion and/or period of performance on the individual grant agreements expires. For more information on this change, visit FMCSA CFDA number 20.218.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to entities and States that share a land border with another country for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Entities and States that share a land border with a foreign country.

Beneficiary Eligibility:

Anyone/general public; States that share a land border with a foreign country (Entities and Accredited public institutions of higher education). The States of

Alaska, Arizona, California, Idaho, Maine, Michigan, Minnesota, Montana, New Hampshire, New Mexico, New York, North Dakota, Texas, Vermont and Washington, and entities and local governments within these enumerated States are eligible to receive funding.

Credentials/Documentation:

Maintenance of expenditure certification by the entity or State that it meets the conditions for grant approval and the State agrees that the total expenditure of the amounts of the State and political subdivisions of the State, exclusive of amounts from the United States, for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects will be maintained at a level at least equal to the average level of that expenditure by the State and political subdivisions of the State for the last 2 State or Federal fiscal years ending before October 1, 2005, whichever the State designates. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. File the complete application SF-424, SF-424A and SF424B form, a certification that the State meets all eligibility requirements and a State Border Enforcement Plan, electronically through grants.gov. Information on how to register with grants.gov and file an application using grants.gov is available at <http://www.grants.gov/Apply>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. File the SF-424 and SF424A forms, a certification that the State meets all eligibility requirements, and a State Border Enforcement Plan with grants.gov.

Award Procedure:

The amount of the award to a qualifying applicant will be based on a panel review of all applications received, recommendations of the panel, and funding availability. The panel will prioritize funding request based on the State or entities performance on previous BEG awards; their ability to expend the awarded funds within the BEG performance year; and, national criteria established by the FMCSA as follows: Increasing the number of inspections of motorcoaches engaged in international commerce (travel). FMCSA previously established a goal for this effort to be a minimum of five percent of the total BEG inspections to be conducted at or within the commercial zones of international crossings. For FY 2014, FMCSA encourages States examine their data on international motorcoach activity and to use that data to establish reasonable goals that will result in an increase of motorcoach-focused activities. In the application, States must substantiate the goals set and provide the data (or data source references). Increasing the number of Level 1 and 2 inspections supported by BEG funds. Level 3 inspections are strongly discouraged as part of BEG-supported strategies. Level V inspections are not permitted with BEG funds. Targeting CMV inspections within corridors where the data indicate that there are a high number of crashes involving vehicles engaged in international commerce. Improving the capability to conduct CMV safety inspections at remote and other sites near the Canadian and Mexican borders. If included, the application should establish a data-driven reason why non-fixed location inspections will increase the likelihood of reducing crashes, injuries, and fatalities involving vehicles engaged in international commerce. Other activities or initiatives designed to improve the compliance of CMVs, drivers, and carriers entering the U.S. from Canada or Mexico.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. 120 days.

Appeals:

Not Applicable. Applicants will be notified in writing or via e-mail by the FMCSA Grant Program Manager if the State or entities application was not approved. There is no appeal process as funding is discretionary.

Renewals:

Other. Eligible applicants must submit a new grant application to receive additional

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funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The amounts made available under the Border Enforcement Grants Program shall remain available for expenditure for the fiscal year in which they are allocated and for the next fiscal year. Amounts not expended by a State during those two fiscal years are available to the Secretary for reallocation. Method of awarding/releasing assistance: other

Reports:

A quarterly activity report is to be filed with the FMCSA Division Office. A program evaluation report is to be filed with the FMCSA Division Office within 90 days upon completion of the grant program. All grantees must submit quarterly performance report that reflect the performance of the approved activities that reflect the work performed in that quarter. All grantees must submit quarterly performance report that reflect the financial expenditures and commitments associated with the approved activities performed that quarter. All grantors and grantees with sub-grantees must complete performance monitoring and financial monitoring and document the monitoring observations. Monitoring activities should include discussing meeting performance measures and goals and discussions of financial expenditure usage and expense rate to ensure awarded funds are expended in accordance with governing regulations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional audit information is required.

Records:

Records of all programs activities covered in the State's Border Enforcement Plan must be kept for three years after the grant is closed.

Account Identification:

69-8158-0-7-401.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

For Border Enforcement awards ranged from \$22,000.00 to \$18,000,000.00.

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR 1.48; 49 CFR 350; 49 CFR 350-399; 49 CFR 100-180; 49 CFR 18. Publications available through the Government Printing Office \$14-\$27 per volume.

Regional or Local Office:

See Regional Assistance Locations. Division Office of FMCSA as listed in Appendix IV of the Catalog.

Headquarters Office:

Grants Management Officer Lisa Ensley,
1200 New Jersey Ave., SEW66-443,
Washington DC 20590
Email: lisa.ensley@dot.gov
Phone: 202-366-1736

Website Address:

<http://www.fmcsa.dot.gov>

RELATED PROGRAMS:

20.218 National Motor Carrier Safety;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Addresses the programs national priorities and demonstrates knowledge of the program and potential barriers to successfully meeting those priorities.2. Defines specific, measurable, and feasible program goals and objectives.3. Outlines activities and accompanying timelines to achieve the projects goals.4. Defines a plan to monitor the effective implementation of the strategies and plans to document the project outcomes.5. Documents infrastructure and other organizational information to demonstrate an ability to perform the activities proposed in the application.6. Includes proposed costs that are allocable, allowable, reasonable, and necessary.7. Addresses program-specific requirements established in the Notice of Funding Availability

20.234 Safety Data Improvement Program

(SaDIP).

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century, , Statue 126-405, 808, Public Law 112-141

OBJECTIVES:

050 Objectives: The Federal Motor Carrier Safety Administrations (FMCSA) objective is to fund State programs designed to improve the overall quality of commercial motor vehicle (CMV) data in accordance with the FMCSA State Safety Data Quality (SSDQ) measures, specifically to increase the timeliness, efficiency, accuracy and completeness of processes and systems related to the collection and analysis of large truck and bus crash and inspection data. The Safety Data Improvement Program grant purpose has been incorporated into the FMCSA Motor Carrier Safety Assistance formula grant program and the FMCSA High Priority grant program due to changes in FMCSAs authorization under the Fixing Americas Surface Transportation Act (Pub. L. No. 114-94, 5101(a) and 5101(c) (2015), 49 U.S.C. 31102(a)-(k), 31104 (2016), as amended). Awards are no longer being made under this CFDA; however, pre-existing grants will remain active until project completion and/or period of performance on the individual grant agreements expires. For more information on this change, visit FMCSA CFDA number 20.218.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The FMCSA may award grants to improve the overall quality of commercial motor vehicle (CMV) data, and specifically, to improve the timeliness, efficiency, accuracy, and completeness of State processes and systems used to collect, analyze, and report large truck and bus crash and inspection data. The FMCSA gives priority to proposals received from States rated yellow (fair) and red (poor) on the State Safety Data Quality Map, viewable here:

<https://ai.fmcsa.dot.gov/DataQuality/National.aspx>. Examples of uses for funding may include, but are not limited to the following: Hiring staff to manage data quality improvement programs. Hiring staff to code and enter CMV safety performance data. Revising outdated crash report forms. Developing software to transfer data from the State data repository to SAFETYNET. Purchasing software for field data collection and data transfer. Implementing data quality initiatives designed to support the Compliance, Safety, Accountability (CSA) intervention strategies. Improving the overall quality of FMCSA Motor Carrier Management Information System (MCMIS) census data.

Applicant Eligibility:

State; A State shall be eligible for a grant under this section in a fiscal year if the Secretary determines that the State has (1) conducted a comprehensive audit of its commercial motor vehicle safety data system within the preceding 2 years; (2) developed a plan that identifies and prioritizes its commercial motor vehicle safety data needs and goals; and (3) identified performance-based measures to determine progress toward those goals. Eligible applicants include State Departments of Public Safety, Departments of Transportation, or State Law Enforcement Agencies

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in any of the several States of the United States, the District of Columbia, and the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. The term does not include any public and Indian housing agency under United States Housing Act of 1937.

Beneficiary Eligibility:

State; Any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. The term does not include any public and Indian housing agency under United States Housing Act of 1937.

Credentials/Documentation:

FMCSA provides maintenance of expenditure certification by the entity or State that it meets the conditions for grant approval. The State agrees that the total expenditure of the amounts of the State and political subdivisions of the State, exclusive of amounts from the United States, for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects will be maintained at a level at least equal to the average level of that expenditure by the State and political subdivisions of the State for the last two State or Federal fiscal years ending before October 1, 2005, whichever the State designates. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

An evaluation panel will select award recipients based on an overall application score outlined in the application package, the potential for improvement of national Safety data collection and reporting rates, and the total funding available.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. If the statutory eligibility criteria are met and funds are available, discussion with potential grantees continues until the project plan is mutually acceptable.

Appeals:

Not Applicable. Appeals will be considered upon demonstration that the proposal meets SaDIP grant eligibility criteria and the State Safety Data Quality measures have been addressed.

Renewals:

Other. Renewals or request for extensions to the grant period of performance can be made through the FMCSA State Division Offices.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. The Federal share of a grant under this section shall be 80 percent of the cost of approved eligible activities for which the grant is made; the State share of a grant under this section shall be 20 percent of the cost of the activities for which the grant is made. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The initial grant period of performance is typically 24 months; grant program extensions are allowable by approval from FMCSA. Method of awarding/releasing assistance: other

Reports:

A quarterly activity report is to be filed with the FMCSA Division Office. A program evaluation report is to be filed with the FMCSA Division Office within 90 days upon completion of the grant program. Recipients are required to file a quarterly Federal Financial Report, SF-425 no later than 30 days following the end

of the quarter being reported.. Review of reports and/or site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR

200.503. The Recipient agrees to obtain any other audits required by FMCSA. Project closeout will not alter the Recipient's audit responsibilities. Audit costs for Project administration and management are allowable under this Project to the extent authorized by OMB Circular 2 CFR 200. The Recipient agrees to permit FMCSA, the Secretary of Transportation and the Comptroller General of the United States, or their authorized representatives, to inspect all Project work, materials, payrolls, and other data, and to audit the books, records, and accounts of the Recipient and its contractors pertaining to the Project. The Recipient agrees to require each third party contractor whose contract award is not based on competitive bidding procedures as defined by the Secretary to permit the Secretary of Transportation and the Comptroller General of the United States, or their duly authorized representatives, to inspect all work, materials, payrolls, and other data and records involving that contract, and to audit the books, records, and accounts involving that contract as it affects the Project.

Records:

The recipient shall retain records related to the approved project for the specified time periods as specified in the grant agreement and 2 CFR 200, as applicable. The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software and data.

Account Identification:

69-8055-0-7-401.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range \$5,000 - \$500,000; Average award \$250,000

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 4128 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) established the SaDIP grant opportunity. The legislation provides discretionary funding for States to improve the quality of crash and inspection data for large trucks and buses reported by States to FMCSA, as described in 49 U.S.C. Section 31102. The funding is codified in 49 U.S.C. Section 31104. Interested applicants are encouraged to also review the Data Quality Module at Analysis & Information Online: <http://ai.fmcsa.dot.gov/DataQuality/dataquality.asp>

Regional or Local Office:

See Regional Assistance Locations. Refer to the FMCSA Field Office Roster at the FMCSA website for your FMCSA State Division Office (<http://www.fmcsa.dot.gov/about/contact/offices/displayfieldroster.asp>)

Headquarters Office:

Grants Management Officer Lisa Ensley,
1200 New Jersey Ave. SEW66-443,
Washington DC 20590
Email: lisa.ensley@dot.gov
Phone: 202-366-1736

Website Address:

<http://www.fmcsa.dot.gov/safety-security/grants/grants.aspx>

RELATED PROGRAMS:

20218 National Motor Carrier Safety;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The FMCSA considers the extent to which each application:1. Addresses the programs national priorities and demonstrates knowledge of the program and potential barriers to successfully meeting those priorities.2. Defines specific, measurable, and feasible program goals and objectives.3. Outlines activities and accompanying timelines to achieve the projects goals.4. Defines a plan to monitor the effective implementation of the strategies and plans to document the project outcomes.5. Documents infrastructure and other organizational information to demonstrate an ability to perform the activities proposed in the application.6. Includes proposed costs that are allocable, allowable, reasonable, and necessary.7. Addresses program-specific requirements established in the Notice of Funding Availability

20.235 Commercial Motor Vehicle Operator Safety Training Grants

CMVOST,

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

The Fixing Americas Surface Transportation (FAST) Act, Pub. L. No. 114-94, 5101(b) and (c) (2015),, Public Law 114-94, 49 USC Code 31103, 31104.

OBJECTIVES:

Expand the number of commercial drivers license (CDL) holders possessing enhanced operator safety training to help reduce the severity and number of crashes on U.S. roads involving commercial motor vehicles, as defined in 49 U.S.C. 31301, and to assist current or former members of the U.S. Armed Forces, including National Guard and Reservists to obtain a CDL.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Provide tuition assistance to eligible accredited applicants. Priority is given to programs that train current or former members of the U.S. Armed Forces, including National Guard and Reservists (defined in 10 U.S.C. Section 101) in safe CMV operation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants must be accredited by a government organization such as the Department of Education.

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Eligible applicants must be accredited by a government organization such as the Department of Education.

Credentials/Documentation:

Evidence of eligibility and of negotiated indirect rates and audit by cognizant agency; demonstration of accreditation; documentation of requested amounts and how those figures were calculated. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable.

Deadlines:

The deadline for application submission is included in each year's Notice of Funding Opportunity posted in Grants.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. Formal protests are not an option; unsuccessful applicants are notified in writing and may request a debriefing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 15%. Requirement: Matching requirements are voluntary. The Federal share of the grant is 85 percent of the approved expenditures in the project plan. A 15 percent matching share by the recipient is required.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum period of performance for a CMVOST grant is two fiscal years, including the fiscal year in which the grant agreement is executed. All new application proposals will be evaluated to ensure that proposed projects can be achieved within the requested timeframe. There is no mandatory minimum grant duration. Additionally, FMCSA may award a grant agreement with a period of performance for less than maximum period of performance timeframe, depending upon project complexity, reasonableness, and necessity. Amendment requests to extend the period of performance beyond the period of availability described above will not be approved. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports showing project accomplishments are required. No cash reports are required. Quarterly reports showing expenditures and pending requests for reimbursement are required. The SF-425 Federal Financial Report is used for financial quarterly reporting. The SF-PPR Performance Progress Report is used for project performance reporting. FMCSA may require reporting more frequently than once per quarter at its discretion. A separate SF-425 and Performance Progress Report is required for each grant. Grantees must be current on required reporting to receive reimbursement. Routine monitoring includes regular communication with Grantees regarding the status of projects, reviewing quarterly reports, and site visits or conference calls as appropriate. Project activity reports (SF-PPR) as described above must be submitted quarterly to the FMCSA Division office. SF-425 as described above must be submitted quarterly to the FMCSA Division office. Through reports and regular contact with the Grantee by phone and site visits by Federal staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR

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200.503. See www.grants.gov for individual award announcements.

Records:

During the course of the grant project and for three years after the final voucher (invoice) is submitted, grant recipients must retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to the project as FMCSA may require in addition to the reporting and record-keeping requirements set forth in 2 C.F.R. 200.333.

Account Identification:

69-8159-0-7-401.

Obligations:

Project Grants (Discretionary) FY 20 \$3,335,561.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Fiscal Year 2017: The average award was \$110,000. Fiscal Year 2018: The average award was \$100,000. Fiscal Year 2019: The average award was \$100,000. Fiscal Year 2020: The average award was \$100,000. Fiscal Year 2021: The average award was \$100,000.

TAFS Codes:

69-8159.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, CMVOST awarded \$2.2 million in grant funds to 22 entities, which included 16 accredited community college programs and 6 non-accredited institutions that are approved by the U.S. Department of Labor as an eligible training provider and who accepts Workforce Innovation and Opportunity Act grants and approved by State Approving Agencies and the Veterans Administration (VA) to accept VA benefits.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 formerly OMB Circulars 2 CFR 215, A-122, A-133, A-110 and A-21, Regulations, Executive Orders, and related policy are on the public website at: www.fmcsa.dot.gov. A solicitation package (notice of funding availability) containing the appropriate forms and directions are made available through www.grants.gov.

Regional or Local Office:

Headquarters Office:

FMCSA Grants Management Office,
1200 New Jersey Ave., SE,
Washington DC 20590

Email: FMCSA_GrantMgmtHelpDesk@dot.gov

Phone: (202) 366-0621

Website Address:

<https://www.fmcsa.dot.gov/mission/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 In FY 2020 FMCSA has approximately \$3.3 million available CMVOST grant funding to award. Under the first Notice of Funding Opportunity (NOFO), FMCSA did not receive \$3.3 million in requests. A supplemental NOFO was issued to solicit additional applications for funding. While awaiting Departmental approval approximately \$2 million in funding recommendations, applications received under the supplemental NOFO announcement will be undergoing program ranking and funding recommendations. These recommendations must be made and approved by the Department, prior to awarding the approximate \$1.3 million grant funding that remained available being issued. These steps must be completed before September 30, 2020, or the grant funding is returned to the U.S.

Treasury. While awaiting Congress action on the Departments reauthorization and appropriations bills for FY2021 and forward, FMCSA will be developing the Notice of Funding Opportunity (NOFO) based upon prior legislation, but will also incorporate any changes impacting the CMVOST Grant program as a result reauthorization and/or appropriation language. The Secretary of Transportation must approve the NOFO prior to public posting. 2021 In FY 2021, FMCSA will be awarding approximately \$2 million in available CMVOST grant funding. The purpose of the CMVOST Grant Program is to: i) help reduce the severity and number of crashes involving commercial motor vehicles on U.S. roads by expanding the number of CDL holders possessing enhanced operator training, and

ii) assist current or former members of the U.S. Armed Forces, including the National Guard and Reservists, as well as certain family members, to obtain a CDL. While awaiting Congress action on the Departments reauthorization and appropriations bills for FY 2022 and forward, FMCSA will be developing the Notice of Funding Opportunity (NOFO) based on prior legislation, but will also incorporate any changes impacting the CMVOST Grant program as a result of reauthorization and/or appropriation language. The Secretary of Transportation must approve the NOFO prior to public posting.

CRITERIA FOR SELECTING PROPOSALS:

All discretionary (competitive) grant program applications undergo a series of reviews prior to award selection as required in 2 CFR 200.204 and 205. These reviews include: 1) technical review that provides an independent assessment of the technical/programmatic merit of an application. At least three qualified individuals are selected to review each application to ensure diversity of perspective and knowledge. Individuals are selected based on their technical education and experience and the extent to which the individual has engaged in relevant work, the capacities in which the individual has done so, and the quality of such work; 2) suitability review that provides a risk assessment on each applicants organization to ensure the applicant is suitable to receive and manage Federal funds. The risk assessment is conducted in several parts: 1) a debarment and suspension review that included a review of the applicants administrative capability self-certification and a check against the applicants records in the System for Award Management (www.sam.gov); 2) a review of the applicants history with other Federal agencies in the Single Audit Clearinghouse Database; and 3) an evaluation of the applicants Single Audit in accordance with the Single Audit Act; 3) past performance review that provides information that is considered as a possible indicator for predicting future performance. Many applicants for FMCSA programs have received FMCSA funding in the past and will be evaluated against their ability to complete prior year awards on-time, compliance with grant terms and conditions, and results from FMCSA grant monitoring activities. Applicants with no prior FMCSA grant awards will not be eliminated from funding consideration; and 4) budget/cost analysis that provides an assessment of allowable costs in accordance with Federal grant requirements, the cost realism of the budget estimate, appropriateness and reasonableness of resources, and reasonableness and feasibility of the schedule relative to the application timeline. Importantly, the budget evaluation provides initial insight to project-related risk, beyond those dealing with technical uncertainty, which is considered prior to recommendation. Application budgets are evaluated based on the same standards to which recipients will be held after award, which are outlined in the cost principles at 2 CFR part 200.101(c) Subpart E for all non-Federal entities, including commercial organizations.

20.237 Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements

High Priority (HP) Grant,

FEDERAL AGENCY:

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act), Pub. L. No. 114-94, 5101(a) and 5101(c) (2015), 49 U.S.C. 3112(l)(2) and (3), 31104 (2016), as amended.,

OBJECTIVES:

Support, enrich, and augment Commercial Motor Vehicle (CMV) safety programs through partnerships with States, local governments, Federally recognized Indian tribes, other political jurisdictions, and other persons to carry out high priority activities and projects. The High Priority grant program includes: 1) High Priority (HP) grants to implement, promote, and maintain national programs to improve CMV safety; increase compliance with CMV safety regulations; increase public awareness about CMV safety; provide education on CMV safety and related issues; and demonstrate new safety related technologies; and 2) Information Technology

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Deployment (ITD) grants to advance technological capability and promote deployment of intelligent transportation system applications (CMV, carrier, and driver) as well as support/maintain CMV information systems and networks.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

HP grant funds may be used to: increase public awareness and education on CMV safety and related issues; target unsafe driving of CMVs and non-CMVs in areas identified as high risk crash corridors; improve the safe and secure movement of hazardous materials; improve safe transportation of goods and persons in foreign commerce; demonstrate new technologies to improve CMV safety; support participation in Performance and Registration Information Systems Management (PRISM); and conduct safety data improvement projects that enhance data collection and data quality. ITD grants may be used to: improve safety and productivity of motor carriers, commercial vehicles, and their drivers; streamline enforcement operations; improve efficiency and effectiveness of commercial vehicle safety programs through targeted enforcement; improve security of data and the sharing of commercial vehicle data within States, and between States and FMCSA; reduce Federal/State and industry regulatory and administrative costs; and achieve nationwide deployment of the ITD grant program, with all jurisdictions participating at least at the Core deployment level.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); State; HP grants are eligible to any State agency, local government (including county, city, township, special district, and Federally-recognized Native American tribal governments), institutions of higher education (public, private, and State-controlled), non-profit organizations with or without having a 501(c)(3) status with the Internal Revenue Service, for-profit entities (including small businesses), and other persons. Other persons is defined as an entity not included above and may not be an individual, foreign entity, hospital, public/Indian housing authority, or Federal institution. ITD grants are eligible to States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands to deploy, operate, and maintain elements of their ITD programs. The FMCSA may award ITD funds to agencies of States, the District of Columbia, or U.S. territories that have an approved plan as outlined in the FAST Act. Individuals and businesses are not eligible to apply.

Beneficiary Eligibility:

U.S. Territories; State; FMCSA may award these grants to the agencies of States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, and the U.S. Virgin Islands.

Credentials/Documentation:

Evidence of eligibility and of negotiated indirect rates and audit by cognizant agency. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

90-120 days of the submission deadline (subject to availability of funds) or as soon thereafter as administratively practicable. Applicants must register with Grants.gov to apply for funding. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contingent upon Agency funding levels.

Appeals:

Other.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 15%. Requirement: Matching requirements are voluntary. A 15% match is required unless otherwise noted in the funding announcement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum period of performance for an HP grant is three fiscal years, including the fiscal year in which the grant agreement is executed. The maximum period of performance for an ITD grant is four fiscal years including the fiscal year in which the grant agreement is executed. All new application project timelines will be evaluated to ensure they can be achieved within this timeframe. Additionally, FMCSA may award a grant agreement with a period of performance for less than maximum period of performance timeframe, depending upon project complexity, reasonableness, and necessity. Amendment requests to extend the period of performance beyond the period of availability described above will not be approved. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are outlined in the grant agreement terms and conditions and consist of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR). Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-425). SF-425 Reporting requirements are outlined in the grant agreement terms and conditions and consist of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR). Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-425). SF-425 Routine monitoring includes regular communication with borrowers regarding the status of projects and reviewing periodic reports that borrowers are required to submit. Scheduled monitoring is an annual scheduled activity that includes a desk review and for active projects, a site visit.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. There are no additional audit requirements.

Records:

During the course of the grant project and for three years after the final voucher (invoice) is submitted, grant recipients must retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to the project as FMCSA may require in addition to the reporting and record-keeping requirements set forth in 2 C.F.R. 200.333.

Account Identification:

69-8158-0-7-401.

Obligations:

Project Grants FY 20 \$45,190,762.00; FY 21 est \$44,616,699.00; FY 22 est \$4,461,669.00;

Range and Average of Financial Assistance:

Awards range from \$50,000 to \$1,000,000.

TAFS Codes:

69-8158.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Accomplishments for FY 2020 have not been announced as awards have not yet been announced. Fiscal Year 2021: As the current surface transportation authorization bill (FAST Act) expires on September 30, 2020, the FMCSA anticipates awarding funds as appropriated by Congress and allowed under new authorization legislation. While the FMCSA expects State pandemic related responses to have an impact on CMV safety activities (inspections, investigations, etc.), public safety is not expected to be impacted as agencies will focus on efforts which minimize exposure. Fiscal Year 2021: Accomplishments for FY 2021 have not been announced as awards have not yet been made at the time of

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publication. In FY 2020, the FMCSA awarded approximately \$43 million in High Priority grant funds to support commercial motor vehicle inspections, traffic enforcement, deployments of safety technologies, education and outreach events, national large truck safety campaigns and traffic safety research initiatives at institutes of higher learning.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 formerly OMB Circulars 2 CFR 215, A-122, A-133, A-110 and A-21, Regulations, Executive Orders, and related policy are on the public website at: www.fmcsa.dot.gov. A solicitation package (notice of funding availability) containing the appropriate forms and directions are made available through www.grants.gov.

Regional or Local Office:

See Regional Assistance Locations. See regional agency offices: States may contact their States FMCSAs Division Administrator

Headquarters Office:

FMCSA Grants Management Office,
1200 New Jersey Ave., SE,
Washington DC 20590
Email: FMCSA_GrantMgmtHelpDesk@dot.gov
Phone: (202) 366-0621

Website Address:

<https://www.fmcsa.dot.gov/mission/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The HP-ITD program provides financial assistance to advance technological capability and promote the deployment of intelligent transportation system applications. Projects funded under ITD support FMCSAs safety initiatives for CMV operations, commercial drivers, and carrier-specific information systems and networks; support and maintain CMV information systems and networks; link FMCSA information systems with State CMV information systems; improve the safety and productivity of CMVs and drivers; and reduce the costs associated with CMV operations, and Federal and State CMV regulatory requirements. HP-CMV projects include the augmentation of projects funded by the Motor Carrier Safety Assistance Program (MCSAP), as well as projects related to Performance and Registration Information Systems Management (PRISM) and State Safety Data Quality (SSDQ). If an applicant chooses to propose multiple HP-CMV projects within a single application, each application must contain separate and distinct project narratives, budget narratives, line item budgets, and project subtitles for each subproject proposed in that application. The HP-CMV grant program prioritizes projects that augment motor carrier safety initiatives, and enhance efforts proposed in the MCSAP agency's Commercial Vehicle Safety Plan (CVSP). The goal is to enable recipients to carry out projects that improve safety and compliance with FMCSAs regulations. Funding is also available for projects that are national in scope, increase public awareness and education, demonstrate new technologies and reduce the number of CMV crashes. 2021 The HP-ITD program provides financial assistance to advance technological capability and promote the deployment of intelligent transportation system applications. Projects funded under ITD support FMCSAs safety initiatives for CMV operations, commercial drivers, and carrier-specific information systems and networks; support and maintain CMV information systems and networks; link FMCSA information systems with State CMV information systems; improve the safety and productivity of CMVs and drivers; and reduce the costs associated with CMV operations, and Federal and State CMV regulatory requirements. HP-CMV projects include the augmentation of projects funded by the Motor Carrier Safety Assistance Program (MCSAP), as well as projects related to Performance and Registration Information Systems Management (PRISM) and State Safety Data Quality (SSDQ). If an applicant chooses to propose multiple HP-CMV projects within a single application, each application must contain separate and distinct project narratives, budget narratives, line item budgets, and project subtitles for each subproject proposed in that application. The HP-CMV grant program prioritizes projects that augment motor carrier safety initiatives, and enhance efforts proposed in the MCSAP agency's Commercial Vehicle Safety Plan (CVSP). The goal is to enable recipients to carry out projects that improve safety and compliance with FMCSAs regulations. Funding is also available for projects that are national in scope, increase public awareness and education, demonstrate new technologies and reduce the number of

CMV crashes.

CRITERIA FOR SELECTING PROPOSALS:

All discretionary (competitive) grant program applications undergo a series of reviews prior to award selection as required in 2 CFR 200.204 and 205. These reviews include: 1) technical review that provides an independent assessment of the technical/programmatic merit of an application. At least three qualified individuals are selected to review each application to ensure diversity of perspective and knowledge. Individuals are selected based on their technical education and experience and the extent to which the individual has engaged in relevant work, the capacities in which the individual has done so, and the quality of such work; 2) suitability review that provides a risk assessment on each applicants organization to ensure the applicant is suitable to receive and manage Federal funds. The risk assessment is conducted in several parts: 1) a debarment and suspension review that included a review of the applicants administrative capability self-certification and a check against the applicants records in the System for Award Management (www.sam.gov); 2) a review of the applicants history with other Federal agencies in the Single Audit Clearinghouse Database; and 3) an evaluation of the applicants Single Audit in accordance with the Single Audit Act; 3) past performance review that provides information that is considered as a possible indicator for predicting future performance. Many applicants for FMCSA programs have received FMCSA funding in the past and will be evaluated against their ability to complete prior year awards on-time, compliance with grant terms and conditions, and results from FMCSA grant monitoring activities. Applicants with no prior FMCSA grant awards will not be eliminated from funding consideration; and 4) budget/cost analysis that provides an assessment of allowable costs in accordance with Federal grant requirements, the cost realism of the budget estimate, appropriateness and reasonableness of resources, and reasonableness and feasibility of the schedule relative to the application timeline. Importantly, the budget evaluation provides initial insight to project-related risk, beyond those dealing with technical uncertainty, which is considered prior to recommendation. Application budgets are evaluated based on the same standards to which recipients will be held after award, which are outlined in the cost principles at 2 CFR part 200.101(c) Subpart E for all non-Federal entities, including commercial organizations.

20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort

Fuel Tax Evasion,

FEDERAL AGENCY:

FEDERAL HIGHWAY ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST Act), , Public Law 114-94, 23 USC Code 143.

OBJECTIVES:

The purpose of this program is to increase intergovernmental activities and enforcement efforts among public agencies to reduce Federal and State fuel tax evasion. Funds made available shall be used only to expand or enhance intergovernmental efforts to increase motor fuel tax enforcement and payments; to supplement motor fuel tax examinations and criminal investigations; and to increase research and training in the area of fuel tax evasion. This program is part of the Highway Use Tax Evasion program which also may provide funds to the Internal Revenue Service (IRS) for their efforts as outlined in 23 U.S.C. 143.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

State agencies can use the funds to expand efforts to enhance motor fuel tax enforcement; to supplement motor fuel tax examinations and criminal investigations; to develop automated data processing tools to monitor motor fuel production and sales; to evaluate and implement registration and reporting requirements for motor fuel taxpayers; to reimburse State expenses that supplement existing fuel tax compliance efforts; to analyze and implement programs to reduce

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tax evasion associated with other highway use taxes; to support efforts between States and Indian tribes to address issues relating to State motor fuel taxes; and to analyze and implement programs to reduce tax evasion associated with foreign imported motor fuel.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); States, and the District of Columbia,

Beneficiary Eligibility:

State; States, and the District of Columbia.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are scheduled to be made for Fiscal Year 2018. FHWA and IRS will jointly recommend and approve projects for Federal funding for fuel tax evasion intergovernmental enforcement efforts. States selected to receive funding will enter into negotiations with FHWA and be required to enter into a project agreement with FHWA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. The approval/disapproval time will take approximately 1 month.

Appeals:

Not Applicable. None

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is no statutory expiration of the funds once awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Annual reports will required for each project and will be outlined in the project agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Participating agencies must keep records of expenditures/accomplishments as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

Project Grants FY 20 \$2,604,075.00; FY 21 est \$600,000.00; FY 22 est \$600,000.00;

Range and Average of Financial Assistance:

Minimum: \$10,000; Maximum \$250,000

TAFS Codes:

69-8083.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Minnesota - STATEWIDE: SFY 20 & 21 - RED-DYED FUEL TAX EVASION ENFORCEMENT, INTERAGENCY AGREEMENT FROM 07/01/2019 TO 06/30/2021

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Office of Highway Policy Information (HPPI) Michael Dougherty.,
1200 New Jersey Ave. S.E.,
Washington DC 20590

Email: michael.dougherty@dot.gov

Phone: (202) 366-9234.

Website Address:

<http://www.fhwa.dot.gov/policy/otps/fueltax.htm>.

RELATED PROGRAMS:

20.200 Highway Research and Development Program; 20.205 Highway Planning and Construction; 20.215 Highway Training and Education; 20.219 Recreational Trails Program; 20.224 Federal Lands Access Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Kentucky - Statewide- Heavy Vehicle Use Tax Evasion Analysis & Interagency Efforts

CRITERIA FOR SELECTING PROPOSALS:

Applicants are encouraged to submit innovative proposals to reduce fuel tax evasion and increase collaboration and coordination among several public agencies. Priority will be given to proposals that involve more than one public agency. FHWA and the IRS will review the proposals using a scoring process that includes consideration of completeness of the application, enforcement potential, innovation, intergovernmental inclusion, and risk of success.

20.301 Railroad Safety

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Title Rail Safety Improvement Act, Section 206, Public Law 115-31, 49 USC Code 103(i); 20105.

OBJECTIVES:

To improve railroad safety and reduce railroad-related casualties and accidents.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Railroad Safety initiatives, including: 1) Law Enforcement Strategies for Reducing Trespassing Grant Program to evaluate the effectiveness of funding local law enforcement activities intended to reduce trespassing on rail rights-of-way. 2) Operation Lifesaver (OLI) to support administration of public education and awareness programs related to highway-rail grade crossing safety and railroad trespass prevention in the United States, and consists of four tasks: States Assistance Programs, Training and Education Programs, Communications Programs and OLI Program Administration. 3) Security to establish, develop, and implement plans, procedures, and networks to send and receive security-sensitive or emergency-related information from the Government to rail stakeholders and from rail stakeholders to the Government. 4) American Chemistry Council (Chemtrec and TRANSCAER) to assist communities to prepare for and respond to a possible hazardous material transportation incident through training to local communities. 5) Railroad Safety State Participation Grant Program to assist States with Federal Railroad Safety Program State Participation Agreements with funding for eligible training, travel, laptop computers, and grant management administration. 5) Suicide Prevention Outreach Strategy to develop a strategy for

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reducing suicide involvement with railroad rolling stock.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Local, Private nonprofit institution/organization (includes institutions of higher education), Other public institution/organization, Profit organization

Beneficiary Eligibility:

General public.

Credentials/Documentation:

See Application Procedure. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit the following information: SF424 Application for Federal Assistance, SF 424C Budget Information for Construction, or SF 424A Budget Information for Non-Construction, SF 424D Assurances for Construction, or SF 424B Assurances for Non-Construction, FRAs Additional Assurances and Certifications, SF LLL Disclosure of Lobbying Activities; and other information such as project narratives, statements of work, and budgets. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

FRA will contact applicants with successful applications with information and instructions about the award process. This notification is not an authorization to begin proposed project activities. A formal grant agreement or cooperative agreement signed by both the grantee and the FRA, including an approved scope, schedule, and budget, is required before the award is considered complete.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Funding is obligated through cooperative agreements or grants, and outlays occur as reimbursement. Funding will only be obligated and disbursed as milestones are achieved. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. SF-270 Request for Advance or Reimbursement. Quarterly progress reports Quarterly financial status reports using SF 425, Federal Financial Report Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0700-0-1-401. 69-0702-0-1-401. 69-0745-0-1-401.

Obligations:

Project Grants FY 20 \$3,212,867.00; FY 21 est \$3,860,324.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

The range was between \$1,715 to \$1,000,000 and the average was \$86,834.

TAFS Codes:

69-0702. 69-0700. 69-0745.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, FRA obligated funds for 37 grants supporting state inspector and hazardous materials training, as well as trespassing prevention. Fiscal Year 2021: In FY 2021, FRA anticipates obligating funds for grants supporting state inspector, hazardous materials training, and trespassing and suicide prevention. Fiscal Year 2022: In FY 2022, FRA intends to continue funding grants supporting state inspector and hazardous materials training, as well as trespassing and suicide prevention.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

See Regional Assistance Locations. Regional Administrator, 55 Broadway, Room 1077, Cambridge, MA 02142 Telephone: (617) 494-2302. Regional Administrator, 1510 Chester Pike, Crum Lynne, PA 19023 Telephone: (610) 521-8200 Regional Administrator, 61 Forsyth Street, SW., Suite 16T20, Atlanta Federal Center, Atlanta, GA 30303- 3104. Telephone: (404) 562-3800. Regional Administrator, 111 West Adam Street, Suite 310, Chicago, IL 60606 Telephone: (312) 353- 6203. Regional Administrator, 4100 International Plaza, Suite 450, Fort Worth, TX 76109 Telephone: (817) 862-2200. Regional Administrator, 901 Locust Street, Suite 464, Kansas City, MO 64106 Telephone: (816) 329-3840. Regional Administrator, 801 I Street, Suite 466, Sacramento, CA 95814. Telephone: (916) 498-6540. Regional Administrator, 500 E. Broadway, Suite 240, Vancouver, WA, 98660 Telephone: (360) 696-7536.

Headquarters Office:

Chief, Grants Management Division Matthew Lorah,
Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment
1200 New Jersey Ave SE,
Washington DC 20590

Email: matthew.lorah@dot.gov

Phone: (202) 493-6186

Fax:

Website Address:

<https://railroads.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of projects funded in FY 2020 included travel, laptops, and training for state inspectors in 22 states; hazardous materials training; and law enforcement and outreach activities for trespassing prevention. 2021 Examples of projects funded in FY 2021 will include travel, laptops, and training for state inspectors in up to 31 states; hazardous materials training; and law enforcement and outreach activities for trespassing and suicide prevention. 2022 Examples of projects

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anticipated for funding in FY 2022 include travel, laptops, and training for state inspectors in up to 31 states; hazardous materials training; and law enforcement and outreach activities for trespassing and suicide prevention.

CRITERIA FOR SELECTING PROPOSALS:

Applications proceed through a three-part application review process, as follows: Screening applications for completeness and eligibility; Evaluating the technical merit and benefits of eligible applications; and Selecting projects for funding. Evaluation criteria included: PTC Deployment Benefits; Technical Merit; and Project Development approach. The following selection criteria ensured that the projects selected for funding advance FRA's current mission and key priorities: 1) Alignment with the DOT Strategic Goals and Priorities 2) Project Delivery Performance 3) Region/Location 4) Innovation/Resource Development.

20.313 Railroad Research and Development

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Rail Safety Improvement Act of 2008, , Public Law 91-458, 49 USC Code 10208; 103(i). 49 U.S.C. 103(g)

OBJECTIVES:

To foster long-range enhancement of the Federal Railroad Administration's program of research in support of rail safety by developing cooperative research relationships between the Administration and university and industry organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Projects must show a relationship towards advancing railroad safety and technology. Applicants must typically demonstrate 5 years of railroad research activity.

Applicant Eligibility:

Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Applicants can be major academic and industry research institutions with backgrounds in the rail transportation arena. A minimum of 5 years of railroad or railroad related research experience is typically required.

Beneficiary Eligibility:

Federal; Profit organization; Anyone/general public; State; Public nonprofit institution/organization; Other public institution/organization; No restrictions.

Credentials/Documentation:

See Application Procedures section (092). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit the following information: SF424 Application for Federal Assistance, SF 424C Budget Information for Construction, or SF 424A Budget Information for Non-Construction, SF 424D Assurances for Construction, or SF 424B Assurances for Non-Construction, FRA's Additional Assurances and Certifications, SF LLL Disclosure of Lobbying Activities; and other information such as project narratives, statements of work, and budgets. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

FRA will contact applicants with successful applications with information and instructions about the award process. This notification is not an authorization to begin proposed project activities. A formal grant agreement or cooperative agreement signed by both the grantee and the FRA, including an approved scope, schedule, and budget, is required before the award is considered complete.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Grants contemplated in this program are predominantly project-oriented rather than on-going efforts and are therefore non-renewable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0745-0-1-401.

Obligations:

Cooperative Agreements FY 20 \$3,173,000.00; FY 21 est \$3,910,485.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Range was \$60,000 to \$2,400,000, with an average of \$752,500.

TAFS Codes:

69-0745.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FRA obligated funds for 4 grants supporting research activities for shortlines and rail safety innovations deserving exploratory analysis. Fiscal Year 2021: By year end, FRA will obligate funds for 3 grants supporting research

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activities to improve safety and performance of railroads. Fiscal Year 2022: FRA anticipates obligating funds for 3 or more grants supporting research activities to improve safety and performance of railroads.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of funds must comply with all applicable requirements of Federal law, including, without limitation, the Constitution of the United States; the conditions of performance, nondiscrimination requirements, and other assurances made applicable to the award of funds in accordance with regulations of the Department of Transportation; and applicable Federal financial assistance and contracting principles promulgated by the Office of Management and Budget. In complying with these requirements, recipients, in particular, must ensure that no concession agreements are denied or other contracting decisions made on the basis of speech or other activities protected by the First Amendment. If the Department determines that a recipient has failed to comply with applicable Federal requirements, the Department may terminate the award of funds and disallow previously incurred costs, requiring the recipient to reimburse any expended award funds. Examples of administrative and national policy requirements include: 2 CFR Part 200; procurement standards; compliance with Federal civil rights laws and regulations; requirements for disadvantaged business enterprises, debarment and suspension requirements, and drug-free workplace requirements; FRAs and OMBs Assurances and Certifications; Americans with Disabilities Act; safety requirements; NEPA; environmental justice requirements; performance measures under 49 U.S.C. 22907(f); grant conditions under 49 U.S.C. 22905, including the Buy America requirements, the provision deeming operators rail carriers for certain purposes and grantee agreements with railroad right-of-way owners for projects using railroad right-of-way. See an example of standard terms and conditions for FRA grant awards at <https://www.fra.dot.gov/eLib/Details/L19057>.

Regional or Local Office:

None.

Headquarters Office:

Chief, Grants Management Division Matthew Lorah,
Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: Matthew.Lorah@dot.gov
Phone: (202) 493-6186

Website Address:

<https://railroads.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 An example of a project funded in FY 2020 is the Short Line Safety Institutes (SLSI) project to assess and improve the safety culture in the short line and regional railroad industry. The project will support the mission, vision, and strategic goals of the Institute in its Implementation Phase of the Safety Institute's Four Pillars of activities: safety culture assessments, education and training, communications, and research. 2021 An example of a project funded in FY 2021 is a project that will attract and fund research proposals that are intended to improve safety and performance in railroad systems, including the following areas: safety, environmental impact, human factors, rolling stock and components, track and structures, track/train interaction, grade crossings, hazardous materials transportation, train occupant protection, trespass prevention, signaling and train control systems, and employee safety. 2022 Examples of projects anticipated for funding in FY 2022 include research consisting of innovative concepts and methods to improve safety and performance of railroads.

CRITERIA FOR SELECTING PROPOSALS:

Typical criteria in descending order of relative importance: (1) Degree to which it may improve upon or advance railroad safety; (2) Overall scientific and/technical merit; (3) Likelihood for its near term adoption and implementation of possible recommendations; (4) Degree to which the proposed project fits into the Administration's overall research objectives; (5) Reasonableness and realism of the proposed cost, and the availability of funds (to include due consideration for proposed cost-sharing by the applicant).

20.314 Railroad Development

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), , Public Law 109-59, Moving Ahead for Progress in the 21st Century Act (aka - MAP-21), , Public Law 112-141, Rail Safety Improvement Act of 2008 (aka - RSIA 2008), , Public Law 110-432

OBJECTIVES:

To provide financial assistance for: planning and developing railroad corridors (including environmental studies), purchasing rail equipment, rail line relocation and improvement projects, construction projects that improve rail lines, enhance service, and add capacity to the national rail system, capital construction, rehabilitation and improvements benefiting train operations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

(1) The financial assistance may fund, but is not limited to, rehabilitation or construction of railroads, including planning activities and associated studies. (2) The financial assistance may also fund freight projects of national or regional significance such as railway-highway grade crossing or grade separation projects; or a freight project that is (1) an intermodal or rail project or (2) within the boundaries of a public or private freight rail, water, or intermodal facility.

Applicant Eligibility:

State; State governments (includes District of Columbia); Regional and Local governments (excludes institutions of higher education and hospitals); For-profit organizations, such as railroads.

Beneficiary Eligibility:

Profit organization; State; Local; State governments Regional and local governments For-profit organizations, such as railroads.

Credentials/Documentation:

SF424 (Application for Federal Assistance); SF 424A - Budget Information for Non-Construction or SF 424C Budget Information for Construction; SF 424B Assurances for Non-Construction or SF 424D Assurances for Construction; FRAs Additional Assurances and Certifications; and SF LLL: Disclosure of Lobbying Activities. Other documents may be required as pre-requisites to funding based on program type; documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. At a minimum, the applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms (<https://www.fra.dot.gov/eLib/Details/L05284>); Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424C or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds. Notice of Funding Opportunities

ASSISTANCE LISTINGS DESCRIPTIONS

(NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act, as appropriate and related laws and orders. Competitive comparison of applications to determine relative satisfaction of published selection criteria might occur for some grants. Following selection (if applicable) and after the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching is determined by each award. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The restriction is based on the period of performance as outlined in the grant award. Grant award assistance for expenditures is awarded on a reimbursable basis. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0700-0-1-401. 69-0745-0-1-401. 69-8083-0-1-401. 69-1775-0-1-401.

Obligations:

Project Grants FY 20 \$23,090,763.00; FY 21 est \$10,516,259.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

Grants within these programs ranged from \$249,148 to \$10,516,259 with an average of \$4,801,003.

TAFS Codes:

69-0700. 69-0745. 69-8083. 69-1775.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, FRA obligated 4 projects for approximately \$6 million. In FY 2020, FRA completed and closed 6 projects. Fiscal Year 2021: In FY 2021, FRA completed and closed 2 projects and intends to complete and close 2 more prior to FY end. Fiscal Year 2022: FRA anticipates the completion and closures of 7 additional grants in FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

These two Acts and associated regulations provide the primary guidance for this program: The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59).

Regional or Local Office:

None.

Headquarters Office:

Chief, Grants Management Division Felicia Young, Chief, Grants and Program Management Division,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: felicia.young@dot.gov

Phone: (202) 493-6441

Website Address:

<http://www.fra.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of project completed in FY 2020 include studies to evaluate existing rail infrastructure in order to identify potential improvements that will increase maximum allowable train speeds, assess the feasibility of passenger rail service, and complete an environmental impact statement. 2021 No projects have been obligated to date in FY 2021. 2022 Examples of projects anticipated for completion in FY 2022 include grade crossing improvements and planning studies for new and/or improved railroad facilities.

CRITERIA FOR SELECTING PROPOSALS:

The work and cost estimates are reasonable and are within the scope of the appropriation language. For the grants competed, the selection criteria will be specified in the final regulations, and shall include, but shall not be limited to, prioritizing criteria defined in relevant authorizing and appropriations law, and criteria intended to ensure the timely completion of funding projects.

20.315 National Railroad Passenger Corporation Grants

(Amtrak Grants),

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

Continuing Appropriations and Military Construction, Veterans Affairs and Related Agencies Appropriations Act, 2017, and Zika Response and Preparedness Act & Further Continuing and Security Assistance Appropriations Act, Public Law 114-223 & 254, , Continuing Appropriations Act, 2016 & Consolidated Appropriations Act, 2016, Public Law 114-53 & 113, , Continuing Appropriation Resolution, 2015 & Consolidated and Further Continuing Appropriations Act, 2015, Public Law 113-164 & 235, , Continuing Appropriations Act, 2018 and Supplemental Appropriations for Disaster Relief Requirements Act, 2017; Further Continuing Appropriations Act, 2018; Further Additional Continuing Appropriations Act, 2018; Extension of Continuing Appropriations Act, 2018; Further Extension of Continuing Appropriations Act, 2018; and the Consolidated Appropriations Act, 2018. Public Law 114-56,90,96,120,123,141, , Department of

ASSISTANCE LISTINGS DESCRIPTIONS

Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019, Making further continuing appropriations for fiscal year 2019, and for other purposes making appropriations for fiscal year 2019; Further Additional Continuing Appropriations Act, 2019; Consolidated Appropriations Act, 2019. Public Law 115-245, 298; 116-5, 6, . Further Consolidated Appropriations Act, 2020, Pub. L. 116-94; Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Pub. L. 116-136, . Continuing Appropriations Act, 2021 and Other Extensions Act making appropriations for FY 2021 through December 11, 2020, Pub. L. 116-159, October 1, 2020 Further Continuing Appropriations Act, 2021 and Other Extensions Act making appropriations for FY 2021 through December 18, 2020, Pub. L. 116-215, December 11, 2020 Further Extension of Continuing Appropriations Act, 2021 making appropriations for FY 2021 through December 28, 2020, Pub. L. 116-246, December 22, 2020 Consolidated Appropriations Act, 2021, Pub. L. 116-260, December 27, 2020 American Rescue Plan Act of 2021, Pub. L. 117-2, March 11, 2021,

OBJECTIVES:

To provide financial assistance to support the operation of and capital investment in intercity passenger rail service in the United States.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

As specified in individual Grant Opportunity announcements, assistance may be used to fund operating expenses, capital investment activities, and/or payment of debt service obligations.

Applicant Eligibility:

Profit organization; As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Beneficiary Eligibility:

Sponsored organization; Other public institution/organization; As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Credentials/Documentation:

See Application Procedures 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Environmental clearance is required for the capital portion of this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Section 11202 of FAST Act, 49 U.S.C 24319 applies to this program. The applicant must submit the following information: A description of projected operating and capital cost for the upcoming fiscal year activities in comparison to prior fiscal year actual financial performance; A description of the capital projects to be funded, with cost estimates and an estimated timetable for completion of the projects covered by the request; An assessment of Amtrak's financial condition; A description of the status of efforts to improve safety and security on the Northeast Corridor main line, including a description of any efforts to implement recommendations of relevant railroad safety advisory committees; Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms (<https://www.fra.dot.gov/eLib/Details/L05284>); Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424B or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Review the application package to ensure that all required documents have been submitted and are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate

costs, including compliance with the National Environmental Policy Act and related laws and orders. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Timing of Approval/Disapproval typically ranges from between 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Length and time phasing of assistance is dependent on the specific appropriating and/or authorizing law governing the grant opportunity. Method of awarding/releasing assistance: other

Reports:

The grantee submits the following reports: Monthly/Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. The grantee submits additional program reports monthly/quarterly and annually to comply with mandatory regulatory and statutory requirements or other related laws and orders. A comprehensive list of the grantees reporting requirements can be found in the annual grant agreements. SF-270 Request for Advance or Reimbursement Monthly/Quarterly progress reports. Amtrak submits Monthly Expenditures Reports and Quarterly Federal Financial Reports; using the Standard Form 425. Monthly detailed reports regarding performance against project budgets and schedules, and forecasts of future performance. Final reports provide details of the results and benefits of the grantee's operational improvement efforts and annual capital investment and maintenance programs. Additional monitoring efforts include scheduled monitoring of corporate and specific program/project activities as well as Quarterly Meetings to discuss progress and results on the grantees capital and maintenance investment programs and IT and marketing projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional audit requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-1774-0-1-401. 69-1775-0-1-401.

Obligations:

Project Grants (Cooperative Agreements) FY 20 (\$1,287,258,895.00); FY 21 est \$384,002,704.00; FY 22 est (\$1,594,967,296.00);

Range and Average of Financial Assistance:

Awards under this program ranged from \$250,852 to \$1,380,241,050 in FY 2020/2022 with an average of \$640,556,534 and depend on the amount and break down of assistance made available through specific appropriation laws.

TAFS Codes:

69-1775. 69-1774.

PROGRAM ACCOMPLISHMENTS:

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Fiscal Year 2020: In FY 2020, Amtrak defined and identified more than 30 capital investment and annualized maintenance programs for its National Network and Northeast Corridor accounts. The programs combined consists of approximately 200 projects for implementation along the National Network and Northeast Corridor. For example, the recipients maintenance and capital improvement program includes but is not limited to Bridges, Culverts, and Tunnels, Track Replacement, Railway Infrastructure Equipment, Locomotive Program, Mechanical Facility Improvements, ADA and Debt Service. The aim of the recipients ADA Stations Program is to ensure all train stations and passenger facilities comply with Federal ADA guidelines, regulations, and statutory requirements. For FY21, the recipient identified and will take active measures to bring 15 stations into compliance. The grantee is mandated to spend no less than \$50 million annually on ADA activities. Fiscal Year 2021: In FY 2021 the grantee defined and identified more than 30 capital investment and annualized maintenance programs for its National Network and Northeast Corridor accounts. The programs combined consists of approximately 200 projects for implementation along the National Network and Northeast Corridor. For example, the recipients maintenance and capital improvement program includes but is not limited to Bridges, Culverts, and Tunnels, Track Replacement, Railway Infrastructure Equipment, Locomotive Program, Mechanical Facility Improvements, ADA and Debt Service. The aim of the recipients ADA Stations Program is to ensure all train stations and passenger facilities comply with Federal ADA guidelines, regulations and statutory requirements. For FY21, the recipient identified and will take active measures to bring 15 stations into compliance. The grantee is mandated to spend no less than \$75 million annually on ADA activities. Due to COVID19 related impacts Amtraks FY21 Capital Program delivery may be delayed. Fiscal Year 2022: In FY 2022, FRA intends to continue to funds the National Network and Northeast Corridors operations and to conduct routine maintenance, construct infrastructure projects, service debt and conduct ADA Stations Program activities through directed grants, dependent upon appropriations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Not applicable

Headquarters Office:

Chief of Grants Management Division, Office of Program Delivery Matthew Lorah,
Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment 1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: Matthew.Lorah@dot.gov
Phone: (202) 493-6186

Website Address:

<https://railroads.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 In FY 2020, Amtrak received federal assistance to fund the National Network and Northeast Corridors operations and to conduct routine maintenance, construct infrastructure projects, service debt and conduct ADA Stations Program activities. The recipient identified more than 30 programs consisting of approximately 200 projects; comprising Stations and Real Estate, Safety, Fleet, Information Technology, Infrastructure, Maintenance, Support (e.g., Support Vehicles and Security) and ADA Stations Program projects. 2021 In FY 2021, Amtrak received federal assistance to fund the National Network and Northeast Corridors operations and to conduct routine maintenance, construct infrastructure projects, service debt and conduct ADA Stations Program activities. The recipient identified more than 30 programs consisting of approximately 200 projects; comprising Stations and Real Estate, Safety, Fleet, Information Technology, Infrastructure, Maintenance, Support (e.g., Support Vehicles and Security) and ADA Stations Program projects. 2022 In FY 2022, FRA intends to continue to funds the National Network and Northeast Corridors operations and to conduct routine maintenance, construct infrastructure projects, service debt and conduct ADA Stations Program activities through directed grants, dependent upon appropriations.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects must support the stated strategic goals of the applicant organization, align with the priorities identified in authorization or appropriation statutes, and of the Secretary of Transportation, and be accompanied by detailed scope and budget documentation. Quantitative or qualitative justification of project prioritization must be provided.

20.316 Railroad Rehabilitation and Improvement Financing Program

RRIF Loan Program,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), Title V, Part , Section , 45 USC Code 821-823. Title Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), , Title Rail Safety Improvement Act of 2008, , Title Fixing America's Surface Transportation (FAST) Act,

OBJECTIVES:

The Railroad Rehabilitation and Improvement Financing (RRIF) program provides direct loans and loan guarantees to: State and local governments, interstate compacts consented to by Congress under section 410(a) of the Amtrak Reform and Accountability Act of 1997 (49 U.S.C. 24101); government sponsored authorities and corporations; railroads; joint ventures that include at least one railroad; and solely for the purpose of constructing a rail connection between a plant or facility and a second rail carrier, limited option rail freight shippers that own or operate a plant or other facility that is served by no more than a single railroad.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Funds provided by direct loans or loan guarantees under the RRIF Program can be used to: (1) Acquire, improve, or rehabilitate intermodal or rail freight or passenger equipment or facilities, including track, components of track, bridges, yards, buildings and shops (2) Refinance outstanding debt incurred for purposes described above (3) Develop or establish new intermodal or railroad facilities.

Applicant Eligibility:

State; Other private institutions/organizations; Other public institution/organization; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Non-Government - General; Profit organization; Eligible borrowers include railroads, state and local governments, government-sponsored authorities and corporations, joint ventures that include at least one railroad, and limited option freight shippers which intend to construct a new rail connection.

Beneficiary Eligibility:

State; Local; Profit organization; Other public institution/organization; Other private institution/organization; The beneficiaries of the Program will be the State or local government organizations, railroad, joint ventures that include a railroad, and limited option freight shippers that will receive the financial assistance to permit them to complete the specified projects.

Credentials/Documentation:

All applicants must demonstrate relevant experience, strong qualifications, a sound project approach, all necessary funding commitments, and a project that can demonstrate financial feasibility. Applicants also must meet various Federal standards for participation in a Federal credit program. For example, applicant may not be delinquent or in default on any Federal debts. Such requirements will be specified in the contractual documents between the DOT and each applicant. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. For more information, please visit the RRIF website at

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<https://buildamerica.dot.gov/financing/rrif/railroad-rehabilitation-improvement-financing-rrif>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. DOT reviews Letters of Interest for RRIF credit assistance on a first come, first served basis. Projects are reviewed to ensure they meet the eligibility requirements under all applicable regulations and statutes, as well as for financial feasibility. Upon receiving notification that the project meets the basic eligibility criteria, the USDOT will invite a project sponsor to an application. The application form is available on the RRIF web site at:

<https://buildamerica.dot.gov/buildamerica/financing/tififa/applications>.

Award Procedure:

USDOT will evaluate application and advise applicant of approval or disapproval. Final approval is reserved by the Secretary of Transportation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. After a complete application has been filed with all supporting documents, processing time is approximately 60 to 90 days. The average time to execute a RRIF credit agreement from the date of Secretarial approval is 1 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Disapproved applicants may reapply at any time.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As a part of the application package, the borrower provides a projected timetable for the completion of the project. The funding will be made available to reimburse the Borrower for prior payment of allowable costs incurred in connection with the project. Method of awarding/releasing assistance: other

Reports:

Financial statements are required to be submitted for the life of the financial assistance. During the course of the project, monthly, quarterly, or annual progress reports of work completed and actual expenditures compared to the budget are required. Yearly audited and certified profit and loss statement, balance sheet and statement of cash flows must be submitted for the life of the financial assistance. During the course of the project, monthly progress reports of work completed and actual expenditures compared to the budget. Build America Bureau (Bureau) staff conduct routine and scheduled monitoring of RRIF loans. Routine monitoring includes regular communication with borrowers regarding the status of projects and reviewing periodic reports that borrowers are required to submit. Scheduled monitoring is an annual scheduled activity that includes a desk review and for active projects, a site visit. Questions asked by Bureau cover the following areas: programmatic (scope, schedule, budget), compliance (agreement terms and conditions) and fraud, waste and abuse. A report is then drafted by Bureau staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Documentary evidence required for each loan varies. However, standard loan terms require financial and project reports as well as evidence of lien perfection for collateral. All such records must be kept until five (5) years after the RRIF loan shall have been paid in full.

Account Identification:

69-4420-0-3-401.

Obligations:

Direct Loans FY 20 \$851,150,000.00; FY 21 est \$908,000,000.00; FY 22 est \$600,000,000.00;

Range and Average of Financial Assistance:

There is no minimum loan amount required by statute. The largest RRIF loan to date was for \$2.45 billion. The maximum RRIF loan amount is subject to overall statutory limitations on total outstanding loans in the RRIF portfolio.

TAFS Codes:

69-4420.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: During FY2020, the USDOT approved one RRIF loan totaling \$851.15 million for a commuter rail safety project in eastern Massachusetts. Fiscal Year 2021: During FY2021, the USDOT approved one RRIF loan totaling \$908 million for a commuter rail project in the Dallas-Fort Worth area.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the Build America Bureau website at

<https://buildamerica.dot.gov/buildamerica/financing/program-guide>.

Regional or Local Office:

None.

Headquarters Office:

Roger Bohnert,
Room W12-4011200 New Jersey Avenue, SE,
Washington DC 20590
Email: buildamerica@dot.gov
Phone: 202-366-2300

Website Address:

<https://buildamerica.dot.gov/buildamerica/financing/rrif/railroad-rehabilitation-improvement-financing-rrif>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 During FY 2020, the DOT approved a RRIF loan of up to \$851,150,000 to the Massachusetts Bay Transportation Authority (MBTA) for its Train Control Implementation and Rehabilitation Project (Project). The Project included Positive Train Control (PTC), Automatic Train Control (ATC), and resiliency elements for its commuter rail system. 2021 In FY2021, the DOT approved a RRIF loan of up to \$908,000,000 to Dallas Area Rapid Transit (DART) for its Silver Line Regional Rail Project. The loan proceeds will finance part of the construction costs of a 26-mile double-track commuter rail alignment extending from Dallas-Fort Worth International Airport eastward to Shiloh Road in Plano, Texas. The commuter line will connect with three existing DART transit lines, contain 10 stations, and traverse through three counties and seven cities.

CRITERIA FOR SELECTING PROPOSALS:

DOT reviews Letters of Interest for RRIF credit assistance on a first come, first served basis. Projects are reviewed to ensure they meet the eligibility requirements under all applicable regulations and statutes, as well as for financial feasibility.

20.317 Capital Assistance to States - Intercity Passenger Rail Service

IPR Program,

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

The Passenger Rail Investment and Improvement Act of 2008 (PRIIA), , Public Law 110-161

OBJECTIVES:

To provide financial assistance to fund capital improvements (and related planning activities) necessary to support improved or new intercity passenger rail service.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance can be used to develop projects, programs and planning. This includes Projects which will result in the creation of new or substantially improved High-Speed Rail/Intercity Passenger Rail service, and will provide tangible and measurable benefits, such as on-time performance improvements, travel-time reductions and higher service frequencies resulting in increased ridership. Final Design, the last phase of project development, includes right-of-way acquisition, utility relocation, the preparation of final design plans, construction management plans, system safety plans for construction management and operations, safety certification, any required collision hazard analysis, final construction cost estimates and detail specifications, as well as procurement of construction services and equipment.

Applicant Eligibility:

State; To be eligible for this assistance, States must include intercity passenger rail service as an integral part of statewide transportation planning as required under section 135 of title 23, United States Code. To be eligible for capital assistance, the specific project must be on the Statewide Transportation Improvement Plan at the time of the application to qualify.

Beneficiary Eligibility:

Profit organization; State; To be eligible for this assistance, States must include intercity passenger rail service as an integral part of statewide transportation planning as required under section 135 of title 23, United States Code. To be eligible for capital assistance, the specific project must be on the Statewide Transportation Improvement Plan at the time of the application to qualify. State departments of transportation and other public agencies, although private transportation companies may participate through contractual arrangements with a State department of transportation.

Credentials/Documentation:

Department of Transportation, Federal Railroad Administration (FRA) Assurances and Certification forms including: (1) Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (2) Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (3) Certification Regarding Drug-Free Workplace Requirements; (4) Certification Regarding Lobbying, (5) Assurances Non- Construction Programs SF 424B; and (6) Certificate of Indirect Costs Assurances. Other documents may be required as pre-requisites to funding based on program type. Documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. Also see Application Procedure. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Prospective applicants may contact FRA prior to submission of applications to inquire about applicant eligibility, the competitive prospects of their proposed project, the National Environmental Policy Act and related legal requirements, and other requirements. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Required documents for an application package are: Project Narrative, Application for Federal Assistance, SF 424C Budget Information for Construction, or SF 424A Budget Information for Non-Construction, SF 424D Assurances for Construction, or SF 424B Assurances for Non-Construction, FRAs Additional Assurances and Certifications, SF LLL Disclosure of Lobbying Activities, and other supporting documentation as specified in the notice of funding opportunity..

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare

applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable. None

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. No more than 10 percent of funds made available under this program may be used for planning activities that lead directly to the development of a passenger rail corridor investment plan. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is awarded upon request for reimbursement. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and a final report giving details of the results and benefits of the Grantee's improvement efforts. Please describe the cash reports required: SF-270 Request for Advance or Reimbursement Quarterly progress reports. Quarterly financial status reports using SF 425, Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include on-site visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0715-0-1-401.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No awards were made in FY 2020/2021 or anticipated in the future.

TAFS Codes:

69-0715.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2020: All projects were completed prior to FY 2020. Fiscal Year 2021: For FY 2021, FRA does not anticipate any further funding appropriations or grant obligations under this Assistance Listing Number. Fiscal Year 2022: For Budget Year 2022, FRA does not anticipate any further funding appropriations or grant obligations under this Assistance Listing Number.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for this program is provided by The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws, the FRA publication entitled, Railroad Corridor Transportation Plans: A Guidance Manual (available at www.fra.dot.gov/Elib/Document/2751), and Federal Register notices announcing the availability of funding pursuant to this program.

Regional or Local Office:

None. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

Chief of Grants Management Division Matthew Lorah,
Acting Chief of Grants & Program Management Division, Office of Infrastructure Investment
1200 New Jersey Ave S.E.,
Washington DC 20590
Email: Matthew.Lorah@dot.gov
Phone: (202) 493-6186

Website Address:

<https://railroads.dot.gov/>

RELATED PROGRAMS:

20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 All projects except one that FRA has funded under this program are complete and the grants closed. For the one remaining project, the awardee declined the funds. All of the other projects were completed prior to 2018. 2021 All projects except one that FRA has funded under this program are complete and the grants closed. For the one remaining project, the awardee declined the funds. All of the other projects were completed prior to 2018. 2022 All projects except one that FRA has funded under this program are complete and the grants closed. For the one remaining project, the awardee declined the funds. All of the other projects were completed prior to 2018. Should Congress appropriate additional funding for this project, examples of projects could include engineering and environmental analyses to support improved passenger rail service, or a feasibility study for the development of a proposed intercity passenger rail corridor.

CRITERIA FOR SELECTING PROPOSALS:

Once an application is received, it will proceed through a three-step process. Screening for completeness and eligibility (both applicant and project eligibility); Evaluation panel review process, applying evaluation criteria; and Final review and selection, applying selection criteria. All selection criteria will be specified in Federal Register notices announcing the availability of funding pursuant to this program, and shall include, but shall not be limited to, prioritizing criteria defined in relevant law, and criteria intended to ensure the timely completion of funding projects and the full realization of the benefits the projects are intended to generate.

20.318 Maglev Project Selection Program - SAFETEA-LU

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), , Public Law 109-59, Consolidated Appropriations Act, 2019, Div. G, Tit. I, Public Law 116-6.

OBJECTIVES:

To provide financial assistance for a demonstration magnetic levitation

transportation project.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Eligible project costs are: (1) the capital cost of the fixed guideway infrastructure of a Maglev project and (2) preconstruction planning activities.

Applicant Eligibility:

State; Only for existing Maglev projects.

Beneficiary Eligibility:

State; State governments.

Credentials/Documentation:

SF424 (Application for Federal Assistance); SF 424A - Budget Information for Non-Construction or SF 424C Budget Information for Construction; SF 424B Assurances for Non-Construction or SF 424D Assurances for Construction; FRAs Additional Assurances and Certifications; and SF LLL: Disclosure of Lobbying Activities. Other documents may be required as pre-requisites to funding based on program type; National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Required documents for an application package are: Project Narrative, Statement of Work, Benefit-Cost Analysis, Environmental Compliance Documentation, SF424 Application for Federal Assistance, SF 424C Budget Information for Construction, or SF 424A Budget Information for Non-Construction, SF 424D Assurances for Construction, or SF 424B Assurances for Non-Construction, FRAs Additional Assurances and Certifications, SF LLL Disclosure of Lobbying Activities Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Refer to announcement for further information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The Federal share of total project costs under this section shall not exceed 80 percent. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded upon request for reimbursement. Method of

ASSISTANCE LISTINGS DESCRIPTIONS

awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF-425, Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis. Each applicant selected for funding must collect information and report on the project's performance using measures mutually agreed upon by FRA and the grantee to assess progress in achieving strategic goals and objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-8083-0-1-401. 69-0129-0-1-401.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$10,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No obligations were made in FY 2020.

TAFS Codes:

69-8083. 69-0129.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: A notice of funding opportunity for FY 2019 MAGLEV was published on October 29, 2019. Applications for FY 2019 MAGLEV were submitted by November 29, 2019. FRA later announced one \$24,027,500 award to the Maryland Department of Transportation for the Baltimore-Washington SCMAGLEV Project. Fiscal Year 2021: A notice of funding opportunity for FY 2020 MAGLEV was published on July 1, 2020. Applications for FY 2020 MAGLEV were submitted by July 31, 2020. FRA later announced one \$2,000,000 award to the Maryland Department of Transportation for the Baltimore-Washington SCMAGLEV Project. Fiscal Year 2022: The on-going project initiated in FY 2016 will continue conducting the preliminary engineering and environmental compliance review for a high-speed MAGLEV train system.

REGULATIONS, GUIDELINES, AND LITERATURE:

In connection with any program or activity conducted with or benefiting from funds awarded under this notice, recipients of funds must comply with all applicable requirements of Federal law, including, without limitation, the Constitution of the United States; the conditions of performance, nondiscrimination requirements, and other assurances made applicable to the award of funds in accordance with regulations of the Department of Transportation; and applicable Federal financial assistance and contracting principles promulgated by the Office of Management and Budget. In complying with these requirements, recipients, in particular, must ensure that no concession agreements are denied or other

contracting decisions made on the basis of speech or other activities protected by the First Amendment. If the Department determines that a recipient has failed to comply with applicable Federal requirements, the Department may terminate the award of funds and disallow previously incurred costs, requiring the recipient to reimburse any expended award funds. Examples of administrative and national policy requirements include: 2 CFR Part 200; procurement standards; compliance with Federal civil rights laws and regulations; disadvantaged business enterprises; debarment and suspension; drug-free workplace; FRAs and OMBs Assurances and Certifications; Americans with Disabilities Act; safety requirements; NEPA; environmental justice and the Buy American Act, 41 U.S.C. 8301-8305. Financial assistance made available under this NOFO, and projects assisted with such assistance, are subject to 49 U.S.C. 5333(a). See an example of standard terms and conditions for FRA grant awards at <https://www.fra.dot.gov/eLib/details/L05285>.

Regional or Local Office:

None.

Headquarters Office:

Chief of Grants Management Division, Office of Program Delivery Matthew Lorah,

Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment 1200 New Jersey Avenue, S.E.,

Washington, DC 20590

Email: Matthew.Lorah@dot.gov

Phone: (202) 493-6186

Website Address:

<https://railroads.dot.gov/>

RELATED PROGRAMS:

20.314 Railroad Development;

EXAMPLES OF FUNDED PROJECTS:

2020 An on-going project initiated in FY 2016 continued conducting the preliminary engineering and environmental compliance review for a high-speed MAGLEV train system. 2021 An on-going project initiated in FY 2016 will continue conducting the preliminary engineering and environmental compliance review for a high-speed MAGLEV train system. 2022 An on-going project initiated in FY 2016 will continue conducting the preliminary engineering and environmental compliance review for a high-speed MAGLEV train system.

CRITERIA FOR SELECTING PROPOSALS:

Applications proceed through a three-part application review process, as follows: Screening applications for completeness and eligibility; Evaluating the benefits of eligible applications; and Selecting projects for funding FRA will take into account key Departmental objectives when selecting projects.

20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants

HSR/IPR Program,

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION,

DEPARTMENT OF

AUTHORIZATION:

The Passenger Rail Investment and Improvement Act of 2008 (PRIIA), , Public Law 111-5, 49 USC Code 26106.

OBJECTIVES:

To assist in financing the capital costs of facilities, infrastructure, and equipment necessary to provide or improve high-speed rail and intercity passenger rail service.

Funds were made available pursuant to three authorized programs: Intercity Passenger Rail Service Corridor Capital Assistance, Congestion Grants; and High-Speed Rail Corridor Development.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Assistance can be used to develop projects, programs and planning. This includes

ASSISTANCE LISTINGS DESCRIPTIONS

Projects which will result in the creation of new or substantially improved High-Speed Rail/Intercity Passenger Rail service, and will provide tangible and measurable benefits, such as on-time performance improvements, travel-time reductions and higher service frequencies resulting in increased ridership. Final Design, the last phase of project development, includes right-of-way acquisition, utility relocation, the preparation of final design plans, construction management plans, system safety plans for construction management and operations, safety certification, any required collision hazard analysis, final construction cost estimates and detail specifications, as well as procurement of construction services and equipment.

Applicant Eligibility:

State; States (including the District of Columbia), groups of states, interstate compacts, public agencies established by one or more states and having responsibility for providing intercity passenger rail service or high-speed passenger rail service, Amtrak, Amtrak in cooperation with states.

Beneficiary Eligibility:

Profit organization; State; The general public, both users and non-users of intercity passenger rail service. State departments of transportation and other public agencies, although private transportation companies may participate through contractual arrangements with a State department of transportation.

Credentials/Documentation:

Department of Transportation, Federal Railroad Administration (FRA) Assurances and Certification forms including: (1) Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (2) Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (3) Certification Regarding Drug-Free Workplace Requirements; (4) Certification Regarding Lobbying, (5) Assurances for Construction Programs SF 424A, (6) Assurances Non- Construction Programs SF 424B; and (7) Certificate of Indirect Costs Assurances. Other documents may be required as pre-requisites to funding based on program type. Documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. Also see Application Procedure. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. This program requires submission of environmental impact information based on the project type.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An initial review of the applicant, project application and eligibility. The application is then reviewed and rated against the key criteria set forth in the NOFO. Funds are awarded after review and approval the required pre-requisite documentation.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. After the SOW, schedule, and budget are acceptable to both FRA and the grantee, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Match requirements depend on the legislative authority, project type, and the terms of the specific NGA.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Congress made \$8 billion available through the American Recovery and Reinvestment Act of 2009 (ARRA). Congress continued to build upon the Recovery Act by making available an additional \$2.1 billion through annual appropriations for FY 2009 and 2010, using the framework initially established by the Passenger Rail Investment and Improvement Act of 2008 (PRIIA), bringing the total program funding to \$10.1 billion. Funding appropriated under ARRA was awarded to grantees by September 30, 2012, and expended by September 30, 2017. Funding appropriated under PRIIA shall remain available until expended. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports Quarterly financial status reports using SF 425, Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include on-site visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-8083-0-1-401. 69-0719-0-1-401.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$930,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

No obligations were made in FY 2020.

TAFS Codes:

69-8083. 69-0719.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 9 projects were completed in FY 20 that: 1. built new high-speed rail corridors that expand and fundamentally improve passenger transportation in the geographic regions they serve; 2. upgraded existing intercity passenger rail corridors to improve reliability, speed, and frequency of existing services; and 3. laid the groundwork for future high-speed rail services through corridor and state planning efforts. Fiscal Year 2021: Projects have been or will be completed in FY 21 and FY 22 that: 1. build new high-speed rail corridors that expand and fundamentally improve passenger transportation in the geographic regions they serve; 2. upgrade existing intercity passenger rail corridors to improve reliability, speed, and frequency of existing services; and 3. lay the groundwork for future high-speed rail services through corridor and state planning efforts. Fiscal Year 2022: Projects have been or will be completed in FY 21 and FY 22 that: 1. build

ASSISTANCE LISTINGS DESCRIPTIONS

new high-speed rail corridors that expand and fundamentally improve passenger transportation in the geographic regions they serve; 2. upgrade existing intercity passenger rail corridors to improve reliability, speed, and frequency of existing services; and 3. lay the groundwork for future high-speed rail services through corridor and state planning efforts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim program guidance is provided within Notices of Funding Availability. Additional information is available as needed on the FRA website and from FRA grants management staff.

Regional or Local Office:

None.

Headquarters Office:

Chief, Grants Management Division, Office of Program Delivery Matthew Lorah, Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment 1200 New Jersey Ave, S.E., Washington DC 20590

Email: Matthew.Lorah@dot.gov

Phone: (202) 493-6186

Fax:

Website Address:

<https://railroads.dot.gov/>

RELATED PROGRAMS:

20.317 Capital Assistance to States - Intercity Passenger Rail Service;

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of projects funded in FY 2020 included corridor planning, engineering and environmental analysis, final design, and construction for the addition of new track, rail bridges, and grade crossing and signal improvements for high speed rail service. 2021 Examples of projects completed in FY 2021 will include engineering and environmental analysis, final design, and construction for the addition of new track, rail bridges, and signals to improve high speed rail service. 2022 Examples of projects anticipated for completion in FY 2021 include improvements to track, signal systems, stations, and rolling stock to improve high speed rail service.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be individually evaluated against the following criteria, listed in order of priority: 1) achieving transportation solutions in a cost effective manner; 2) achieving public benefits in a cost effective manner; 3) sustainability of transportation and other public benefits; 4) management and minimization of project delivery risk; and 5) timeliness of project commencement and completion. Selection of applications for award will also take into account the following selection criteria: 1) regional balance necessary to create a nationwide high-speed rail and intercity passenger rail network and address urban and non-urban mobility needs; 2) balance and diversity of cost effective technological approaches to improving HSR and IPR service; 3) organizing multi-State partnerships and encouraging community involvement where appropriate; and 4) previous HSIPR Program grants and previous State investments in high-speed intercity passenger rail.

20.320 Rail Line Relocation and Improvement

Rail Line Relocation,

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), , Public Law 109-59, 49 USC Code 20154.

OBJECTIVES:

To provide financial assistance for rail line relocation and improvement projects.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants may only be awarded for construction projects that improve the route or structure of a rail line and (1) are carried out for the purpose of mitigating the adverse effects of rail traffic on safety, motor vehicle traffic flow, community quality of life, or economic development; or(2) involve a lateral or vertical relocation of any portion of the rail line. Pre-construction activities, such as preliminary engineering, design, and costs associated with project-level compliance with the National Environmental Policy Act (NEPA), are considered part of the overall construction project and are also eligible for funding. However, activities such as planning studies and feasibility analyses are not eligible for funding.

Applicant Eligibility:

State; States, Political Subdivisions of States, and the District of Columbia

Beneficiary Eligibility:

State; States, Political Subdivisions of States, and the District of Columbia

Credentials/Documentation:

See Application Procedure 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Project Narrative, Statement of Work, Benefit-Cost Analysis, Environmental Compliance Documentation, SF424 Application for Federal Assistance, SF 424C Budget Information for Construction, or, for an equipment procurement project without any construction costs, or SF 424A Budget Information for Non-Construction, SF 424D Assurances for Construction, or, for an equipment procurement project without any construction costs, or SF 424B Assurances for Non-Construction, FRAs Additional Assurances and Certifications, SF LLL Disclosure of Lobbying Activities. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications selected for funding will be announced after the application review period. FRA will contact applicants with successful applications after announcement with information and instructions about the award process.

Notification of a selected application is not an authorization to begin proposed project activities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. All grantees will be required to provide at least a 10% match from non-program funds toward the grant budget. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is usually awarded upon request for reimbursement. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems

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encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports Quarterly financial status reports using SF-425 Federal Financial Report Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0716-0-1-401.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No awards were made in FY 2020.

TAFS Codes:

69-0716.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Most projects are complete and the grants closed. There were no projects completed during FY 2020. Fiscal Year 2021: FRA anticipates the completion of one remaining open project that will complete preliminary engineering and environmental work to remove the vertical obstructions along a rail line running through a tunnel. FRA does not anticipate receiving additional funding for this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

These two Acts and associated regulations provide the primary guidance for this program: The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws; the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59); 49 CFR Part 262. Grant recipients must follow all administrative and national policy requirements including: procurement standards, compliance with Federal civilrights laws and regulations, disadvantaged business enterprises (DBE), debarment and suspension, drug-free workplace, FRAs and OMBs Assurances and Certifications, Americans with Disabilities Act (ADA), environmental protection, National Environmental Policy Act (NEPA), and environmental justice.

Regional or Local Office:

None. If necessary to contact a regional office, the contact information will be in a grant agreement

Headquarters Office:

Chief, Grants Management Division, Office of Program Delivery Matthew Lorah, Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment 1200 New Jersey Ave S.E., Washington DC 20590
Email: Matthew.Lorah@dot.gov
Phone: (202) 493-6186
Fax:

Website Address:

<https://railroads.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Most projects are complete and the grants closed. There were no projects completed during FY 2020. 2021 An example of a project anticipated for completion is the preliminary engineering and environmental work to remove the vertical obstructions along a rail line running through a tunnel.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were included in SAFETEA-LU and the Final Rule establishing the program. For the competitive grant program, applications proceeded through a three-part review process: 1. Screening for completeness and eligibility; 2. Evaluation of eligible applications by technical panels applying the selection criteria; and 3. Project selection by the FRA. For the Congressionally directed grants, the work and cost estimates must be reasonable and within the scope of the program regulations and the appropriation language.

20.321 Railroad Safety Technology Grants

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Section 105 of the Rail Safety Improvement Act of 2008 as modified by the Consolidated Appropriations Act, 2016, Division L, Title I (Public Law 114-113 (December 18, 2015), , Public Law 114-113, 49 USC Code 20158.

OBJECTIVES:

To facilitate the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new or novel railroad safety technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Railroad Safety Technology Grants Program funds projects that enhance railroad safety by implementing a Positive Train Control (PTC) system or benefiting overall PTC implementation on freight, intercity passenger, and commuter railroads. These projects focus on development and deployment of technologies that will lower the costs, speed implementation, increase interoperability, and improve the reliability of PTC systems. The FRA is particularly interested in development and deployment on the following topics related to PTC: elimination of PTC communications interference; configuration management of multi-railroad PTC software and firmware deployments; and host-tenant railroad PTC interoperability/system certification. In addition, the establishment and management of collaborative interoperability coordination groups, that may be used to resolve industry-wide PTC technical and management issues, were eligible for funding. Examples of eligible projects include the following: Costs for implementation, installation, and testing of PTC systems; Costs for shared PTC infrastructure (e.g., back office systems, CAD systems); and Costs to advance PTC interoperability, such as pilot programs, standardization committees, development of standard processes, and spectrum acquisition, sharing, and desensitization.

Applicant Eligibility:

Interstate; Intrastate; Profit organization; State;

Beneficiary Eligibility:

Profit organization; Interstate; Intrastate; State; Local; Passenger and freight railroad carriers; Railroad suppliers; and State and local governments for projects that have a public benefit of improved safety and network efficiency. To be eligible for assistance, the above entities subject to 49 U.S.C. 20157(a) must have submitted a revised Positive Train Control Implementation Plan (PTCIP) to FRA as required by 49 U.S.C. 20157(a). FRA considers the development and submission of a revised PTCIP under 49 U.S.C. 20157(a) to meet the eligibility requirement related to submitting a plan required under 49 U.S.C. 20156(e)(2) containing an analysis of the impact, feasibility, costs and benefits of implementing PTC system technology. FRA believes that any submission connected to sec. 20156(e)(2), which has yet to be incorporated into a Federal regulation, would

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merely be duplicative of what a railroad analyzed when it developed and submitted a revised PTCIP. Thus, FRA considers the submission of a revised PTCIP to meet the eligibility requirements in 49 U.S.C. 20158(b)(3) for purposes of this NOFO. If an applicant is not required to comply with either sec. 20157(a) or sec. 20156(e)(2), the applicant must demonstrate that to FRA's satisfaction in its NOFO application individuals are not eligible for these awards

Credentials/Documentation:

U.S. Department of Transportation Federal Railroad Administration Assurances and Certification forms <https://www.fra.dot.gov/eLib/Details/L05284> Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Covered Transactions, . Certification Regarding Drug-Free Workplace Requirements, Certification Regarding Lobbying, Assurances-Non-Construction Programs, SF 424E, Certificate of Indirect Costs, Assurances - Construction Programs SF-424D 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Required documents for an application package are: Project Narrative, Statement of Work, Benefit-Cost Analysis, Environmental Compliance Documentation, SF424 Application for Federal Assistance, SF 424C Budget Information for Construction, or, for an equipment procurement project without any construction costs, or SF 424A Budget Information for Non-Construction, SF 424D Assurances for Construction, or, for an equipment procurement project without any construction costs, or SF 424B Assurances for Non-Construction, FRAs Additional Assurances and Certifications, SF LLL Disclosure of Lobbying Activities. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

Applications selected for funding will be announced in a press release and on FRA's website after the application review period. FRA will contact applicants with successful applications after announcement with information and instructions about the award process. This notification is not an authorization to begin proposed project activities. A formal grant agreement or cooperative agreement signed by both the grantee and the FRA, including an approved scope, schedule, and budget, is required before the award is considered complete.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable. Not Applicable

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Federal funds for any eligible project shall not exceed 80 percent of the total cost of such project. FRA cannot consider any other Federal funds, nor any non-Federal funds already expended (or otherwise encumbered), towards the matching requirement. Additionally, FRA is limiting the method for calculating the non-Federal match to cash contributions only, in-kind contributions will not be accepted. Matching funds provided in excess of the minimum requirement will be considered in evaluating the merit of an application. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports Quarterly financial status reports using SF-425 Federal Financial Report Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0702-0-1-401. 69-0701-0-1-401.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

There were no awards in FY 2020.

TAFS Codes:

69-0701. 69-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 11 Positive Train Control (PTC) grant projects are underway, and fourteen PTC projects have been completed under this grant program to facilitate the implementation of PTC. Fiscal Year 2021: FRA anticipates completing the remaining PTC projects under this grant program in FY 2021. FRA does not anticipate receiving future funding appropriations for this program.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Rail Safety Improvement Act of 2008 (Public Law 110-432) and associated regulations.

Regional or Local Office:

None. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

Matthew Lorah,
Acting Chief, Grants & Program Management Division, Office of Infrastructure
Investment 1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: Matthew.Lorah@dot.gov
Phone: (202) 493-6186

Website Address:

<https://railroads.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of projects completed in FY 2020 include PTC interface specification verification and validation, cyber security enhancement of train communications systems, and systematic implementation of secure wireless networking and key management. 2021 Projects to be completed in FY 2021 will

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facilitate the implementation of PTC that prevents certain train-to-train collisions, over-speed derailments, incursions into established work zone limits, and trains going to the wrong tracks due to improper switching. Many grant projects will help railroads achieve interoperability among the different PTC systems that railroads are deploying.

CRITERIA FOR SELECTING PROPOSALS:

Applications proceed through a three-part application review process, as follows: Screening applications for completeness and eligibility; Evaluating the technical merit and benefits of eligible applications; and Selecting projects for funding. Evaluation criteria included: PTC Deployment Benefits; Technical Merit; and Project Development approach. The following selection criteria ensured that the projects selected for funding advance FRA's current mission and key priorities:

- 1) Alignment with the DOT Strategic Goals and Priorities
- 2) Project Delivery Performance
- 3) Region/Location
- 4) Innovation/Resource Development.

20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

The Disaster Relief Appropriations Act, 2013, , Public Law 113-2

OBJECTIVES:

To provide supplemental assistance to the National Passenger Railroad Corporation for disaster assistance related to Hurricane Sandy.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

As specified in individual Grant Opportunity announcements, assistance may be used to fund repair expenses or resiliency projects.

Applicant Eligibility:

Other public institution/organization; As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Beneficiary Eligibility:

Sponsored organization; Other public institution/organization; As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Credentials/Documentation:

See Application Procedures 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. An environmental impact assessment is required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant submitted the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424B or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets.

Award Procedure:

FRA reviewed the application package to ensure that all required documents had been submitted and were completed and signed by the appropriate person. Reviewed the statement of work (SOW) and budget to ensure the appropriation

language requirements are met. Reviewed SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Timing of Approval/Disapproval typically ranged from between 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance is dependent on the specific appropriating and/or authorizing law governing the grant opportunity. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Monthly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Through the grant agreement, the grantee may be required to submit various other reports. Final report giving details of the results and benefits of the Grantee's activities. Request for Advance or Reimbursement SF-270. The grantee is required to submit the following reports: Monthly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly Federal Financial Status Report SF-425. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include on site visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0704-0-1-401.

Obligations:

Project Grants FY 20 \$13,404,979.00; FY 21 est \$31,463,791.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Future awards are expected to range from \$13,404,979 to \$31,463,791 with an average of \$22,434,385.

TAFS Codes:

69-0704.

PROGRAM ACCOMPLISHMENTS:

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Fiscal Year 2020: Projects completed in FY 2020 included the construction of an underground, sealed, watertight concrete encasement designed to withstand future storm surge and other weather events. Fiscal Year 2021: Projects will improve resiliency and mitigate potential impacts of disasters in the Northeast Corridor. The Long Island City and 1st Avenue Generators and Waterproofing System projects main objective is for Amtrak to provide additional East River Tunnels flood protection measures to strengthen the flood protection infrastructure in the East River Tunnels and help prevent a flood surge from entering the East River Tunnels. This Project aims to restore and strengthen passenger rail infrastructure so it may withstand future emergencies or disasters related to extreme weather patterns. Reconstruction of Substation 41 is a multi-component reconstruction project that aims to: Rebuild the substation at a higher elevation, thereby reducing flooding vulnerability; Create a larger platform, that will allow for additional electrical capacity to support further improvements along the NEC; Reroute or redirect up to four traction power transmission circuits presently on lattice towers located in the marsh that feeds the substation; Demolition of existing ground-mounted equipment from the existing substation platform; excluding the gantry structures. Ultimately, Substation 41 will be connected to the NJ TRANITGRID, a highly resilient energy supply for a targeted portion of rail infrastructure along the NEC. Amtrak will retain ownership, operations, maintenance, and control of the substation upon project completion. The estimated budget for the Substation 41 project is \$21 million. Reconstruction of Substation 42 (also known as Sub 42) Resiliency Improvements Project (Project) will be undertaken by Amtrak. Although Sub 42 was not directly impacted by Superstorm Sandy, infrastructure related to the substation such as the feeder cables into New York were. The substation has a major impact on resilience of train movement in the area because Sub 42 provides traction power for trains within the North River tunnels, the NYPS complex and related infrastructure in New Jersey and New York. As an integral part of the distribution network, a new, modern control house needs to be installed as a part of this project to reliably support the overall resiliency effort. The funds provided for this project will enable Amtrak to reconstruct Substation 42. The estimated budget for the Substation 42 project is \$10.5 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Chief, Grants Management Division, Office of Program Delivery Matthew Lorah, Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment, 1200 New Jersey Avenue, S.E., Washington DC 20590
Email: Matthew.Lorah@dot.gov
Phone: (202) 493-6186

Website Address:

<https://railroads.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Projects completed in FY 2020 included the construction of an underground, sealed, watertight concrete encasement designed to withstand future storm surge and other weather events. 2021 Projects will improve resiliency and mitigate potential impacts of disasters in the Northeast Corridor.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects must support the stated strategic goals of the applicant organization, align with the priorities identified in authorization or appropriation statutes, and of the Secretary of Transportation, and be accompanied by detailed scope, schedule and budget documentation. Quantitative or qualitative justification of project prioritization must be provided.

20.324 Restoration and Enhancement

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION,

DEPARTMENT OF AUTHORIZATION:

Title Fixing Americas Surface Transportation Act, Public Law 114-94 (2015), 49 USC Code 22908.

OBJECTIVES:

This program provides operating assistance grants for initiating, restoring, or enhancing intercity rail passenger transportation. This may include establishing a new service, restoring previously operated service, or enhancements to current service, such as: additional frequencies, service extensions and offering new on-board services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Operating assistance for the purpose of initiating, restoring, or enhancing intercity rail passenger transportation.

Applicant Eligibility:

Interstate; State; Profit organization; Interstate, State (includes District of Columbia), Local, Profit organization, State (1) a State, including the District of Columbia; (2) a group of States; (3) an Interstate Compact; (4) a public agency or publicly chartered authority established by 1 or more States; (5) a political subdivision of a State; (6) Amtrak or another rail carrier that provides intercity rail passenger transportation; (7) Any rail carrier in partnership with at least 1 of the entities described in (1) through (5); and (8) any combination of the entities described in paragraphs (1) through (7).

Beneficiary Eligibility:

Interstate; State; Profit organization; Interstate, State Profit organization, State, Local, Public nonprofit institution/organization (1) a State, including the District of Columbia; (2) a group of States; (3) an Interstate Compact; (4) a public agency or publicly chartered authority established by 1 or more States; (5) a political subdivision of a State; (6) Amtrak or another rail carrier that provides intercity rail passenger transportation; (7) Any rail carrier in partnership with at least 1 of the entities described in paragraphs (1) through (5); and (8) any combination of the entities described in (1) through (7).

Credentials/Documentation:

SF424 (Application for Federal Assistance); SF 424A - Budget Information for Non-Construction or SF 424C Budget Information for Construction; SF 424B Assurances for Non-Construction or SF 424D Assurances for Construction; FRAs Additional Assurances and Certifications; and SF LLL: Disclosure of Lobbying Activities. Other documents may be required as pre-requisites to funding based on program type; documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Required documents for an application package are a Project Narrative, Statement of Work, SF424 Application for Federal Assistance, SF 424A Budget Information for Non-Construction, SF 424B Assurances for Non-Construction, FRAs Additional Assurances and Certifications, and SF LLL Disclosure of Lobbying Activities. Additionally, an applicant for a grant shall submit: (1) A capital and mobilization plan that (A) describes any capital investments, service planning actions (such as environmental reviews), and mobilization actions (such as qualification of train crews) required for initiation of intercity rail passenger transportation; and (B) includes the timeline for undertaking and completing each of the investments and actions referred to in (A); (2) an operating plan that describes the planned operation of the service, including (A) the identity and qualifications of the train operator; (B)

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the identity and qualifications of any other service providers; (C) service frequency; (D) the planned routes and schedules; (E) the station facilities that will be utilized; (F) projected ridership, revenues, and costs; (G) descriptions of how the projections under subparagraph (F) were developed; (H) the equipment that will be utilized, how such equipment will be acquired or refurbished, and where such equipment will be maintained; and (I) a plan for ensuring safe operations and compliance with applicable safety regulations; (3) a funding plan that (A) describes the funding of initial capital costs and operating costs for the first 3 years of operation; (B) includes a commitment by the applicant to provide the funds described in subparagraph (A) to the extent not covered by Federal grants and revenues; and (C) describes the funding of operating costs and capital costs, to the extent necessary, after the first 3 years of operation; and (4) a description of the status of negotiations and agreements with (A) each of the railroads or regional transportation authorities whose tracks or facilities would be utilized by the service; (B) the anticipated railroad carrier, if such entity is not part of the applicant group; and (C) any other service providers or entities expected to provide services or facilities that will be used by the service, including any required access to Amtrak systems, stations, and facilities if Amtrak is not part of the applicant group. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications selected for funding will be announced in a press release and on FRA's website after the application review period. FRA will contact applicants with successful applications after announcement with information and instructions about the award process. This notification is not an authorization to begin proposed project activities. A formal grant agreement or cooperative agreement signed by both the grantee and the FRA, including an approved scope, schedule, and budget, is required before the award is considered complete.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 114-94.Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. 20% year 1, 40% year 2, 60% year 3 Grants may not exceed (A) 80 percent of the projected net operating costs for the first year of service; (B) 60 percent of the projected net operating costs for the second year of service; and (C) 40 percent of the projected net operating costs for the third year of service.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not more than 6 of the operating assistance grants awarded may be simultaneously active. Federal operating assistance grants authorized for any individual intercity rail passenger transportation route may not provide funding for more than 3 years and may not be renewed. Funding is obligated through cooperative agreements or grants, and outlays occur as reimbursement. Funding will only be obligated and disbursed as milestones are achieved. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement. Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related

program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis. Each applicant selected for funding must collect information and report on the project's performance using measures mutually agreed upon by FRA and the grantee to assess progress in achieving strategic goals and objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0127-0-1-401.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$2,967,696.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No grants were awarded in FY 2020.

TAFS Codes:

69-0127.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In May 2020, FRA announced the selection of 3 FY 2018-2020 Restoration and Enhancements projects (\$22.4 million) for intercity passenger rail service across 8 states. Fiscal Year 2021: FRA is working to obligate the four projects selected under this program in previous fiscal years. Fiscal Year 2022: To be determined based on appropriations from Congress.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of funds must comply with all applicable requirements of Federal law, including, without limitation, the Constitution of the United States; the conditions of performance, nondiscrimination requirements, and other assurances made applicable to the award of funds in accordance with regulations of the Department of Transportation; and applicable Federal financial assistance and contracting principles promulgated by the Office of Management and Budget. In complying with these requirements, recipients, in particular, must ensure that no concession agreements are denied or other contracting decisions made on the basis of speech or other activities protected by the First Amendment. If the Department determines that a recipient has failed to comply with applicable Federal requirements, the Department may terminate the award of funds and disallow previously incurred costs, requiring the recipient to reimburse any expended award funds.Examples of administrative and national policy requirements include: 2 CFR Part 200; procurement standards; compliance with Federal civil rights laws and regulations; requirements for disadvantaged business enterprises, debarment and suspension requirements, and drug-free workplace requirements; FRAs and OMBs Assurances and Certifications; Americans with Disabilities Act; safety requirements; NEPA; environmental justice requirements; performance measures under 49 U.S.C. 22907(f); grant conditions under 49 U.S.C. 22905, including the Buy America requirements, the provision deeming operators rail carriers for certain purposes and grantee agreements with railroad right-of-way owners for projects using railroad right-of way.See an example of standard terms and conditions for FRA grant awards at <https://www.fra.dot.gov/eLib/Details/L19057>.

Regional or Local Office:

None.

Headquarters Office:

Chief of Grants Program Division, Office of Program Delivery Matthew Lorah, Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment1200 New Jersey Avenue, S.E., Washington DC 20590
Email: Matthew.Lorah@dot.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: (202) 493-6186

Website Address:

<https://railroads.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 An example of a project funded in FY20 is the restoration of intercity passenger rail service along the Gulf Coast between New Orleans, Louisiana and Mobile, Alabama. 2021 An example of a project funded in FY21 is the restoration of intercity passenger rail service along the Gulf Coast between New Orleans, Louisiana and Mobile, Alabama.

CRITERIA FOR SELECTING PROPOSALS:

Applications proceed through a three-part application review process, as follows: Screening applications for completeness and eligibility; Evaluating the technical merit and benefits of eligible applications; and Selecting projects for funding. In awarding grants, priority to applications will be (1) for which planning, design, any environmental reviews, negotiation of agreements, acquisition of equipment, construction, and other actions necessary for initiation of service have been completed or nearly completed; (2) that would restore service over routes formerly operated by Amtrak, including routes described in section 11304 of the Passenger Rail Reform and Investment Act of 2015; (3) that would provide daily or daytime service over routes where such service did not previously exist; (4) that include funding (including funding from railroads), or other significant participation by State, local, and regional governmental and private entities; (5) that include a funding plan that demonstrates the intercity rail passenger service will be financially sustainable beyond the 3-year grant period; (6) that would provide service to regions and communities that are underserved or not served by other intercity public transportation; (7) that would foster economic development, particularly in rural communities and for disadvantaged populations; (8) that would provide other non-transportation benefits; and (9) that would enhance connectivity and geographic coverage of the existing national network of intercity rail passenger service.

20.325 Consolidated Rail Infrastructure and Safety Improvements

CRISI,

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Section 11301 of the Fixing Americas Surface Transportation Act (FAST Act) (2015), , Public Law 114-94, 49 USC Code 22907.

OBJECTIVES:

To fund projects that improve the safety, efficiency, and/or reliability of intercity passenger and freight rail systems.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

(1) Deployment of railroad safety technology, including positive train control and rail integrity inspection systems. (2) A capital project as defined in section 24401(2), except that a project shall not be required to be in a State rail plan developed under chapter 227. (3) A capital project identified by the Secretary as being necessary to address congestion challenges affecting rail service. (4) A capital project identified by the Secretary as being necessary to reduce congestion and facilitate ridership growth in intercity passenger rail transportation along heavily traveled rail corridors. (5) A highway-rail grade crossing improvement project, including installation, repair, or improvement of grade separations, railroad crossing signals, gates, and related technologies, highway traffic signalization, highway lighting and crossing approach signage, roadway improvements such as medians or other barriers, railroad crossing panels and surfaces, and safety engineering improvements to reduce risk in quiet zones or potential quiet zones. (6)

A rail line relocation and improvement project. (7) A capital project to improve short-line or regional railroad infrastructure. (8) The preparation of regional rail and corridor service development plans and corresponding environmental analyses. (9) Any project that the Secretary considers necessary to enhance multimodal connections or facilitate service integration between rail service and other modes, including between intercity rail passenger transportation and intercity bus service or commercial air service. (10) The development and implementation of a safety program or institute designed to improve rail safety. (11) Any research that the Secretary considers necessary to advance any particular aspect of rail related capital, operations, or safety improvements; and (12) Workforce development and training activities, coordinated to the extent practicable with the existing local training programs supported by the Department of Transportation, the Department of Labor, and the Department of Education. ~96% discretionary funds (where 25% of these funds are allocated to rural areas) in FY20. 1% takedown.

Applicant Eligibility:

Interstate; Other public institution/organization; Profit organization; State; Interstate, Public nonprofit institution/organization (includes institutions of higher education), Other public institution/organization, Profit organization, State (1) A State. (2) A group of States. (3) An Interstate Compact. (4) A public agency or publicly chartered authority established by 1 or more States. (5) A political subdivision of a State. (6) Amtrak or another rail carrier that provides intercity rail passenger transportation (7) A Class II railroad or Class III railroad (8) Any rail carrier or rail equipment manufacturer in partnership with at least 1 of the entities in (1) through (5). (9) The Transportation Research Board and any entity with which it contracts in the development of rail-related research, including cooperative research programs. (10) A University transportation center engaged in rail-related research. (11) A non-profit labor organization representing a class or craft of employees of rail carriers or rail carrier contractors.

Beneficiary Eligibility:

Profit organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; (1) A State.(2) A group of States.(3) An Interstate Compact.(4) A public agency or publicly chartered authority established by 1 or more States.(5) A political subdivision of a State.(6) Amtrak or another rail carrier that provides intercity rail passenger transportation (7) A Class II railroad or Class III railroad(8) Any rail carrier or rail equipment manufacturer in partnership with at least 1 of the entities in (1) through (5).(9) The Transportation Research Board and any entity with which it contracts in the development of rail-related research, including cooperative research programs.(10) A University transportation center engaged in rail-related research.

Credentials/Documentation:

SF424 (Application for Federal Assistance); SF 424A - Budget Information for Non-Construction or SF 424C Budget Information for Construction; SF 424B Assurances for Non-Construction or SF 424D Assurances for Construction; FRAs Additional Assurances and Certifications; and SF LLL: Disclosure of Lobbying Activities. Other documents may be required as pre-requisites to funding based on program type; documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Required documents for an application package are: Project Narrative, Statement of Work, Benefit-Cost Analysis, Environmental Compliance Documentation, SF424 Application for Federal Assistance, SF 424C Budget Information for Construction, or, for an equipment procurement project without any construction costs, or SF 424A Budget Information for Non-Construction, SF 424D Assurances for Construction, or, for an equipment procurement project without any construction costs, or SF 424B

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Assurances for Non-Construction, FRAs Additional Assurances and Certifications, SF LLL Disclosure of Lobbying Activities. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications selected for funding will be announced in a press release and on FRA's website after the application review period. FRA will contact applicants with successful applications after announcement with information and instructions about the award process. This notification is not an authorization to begin proposed project activities. A formal grant agreement or cooperative agreement signed by both the grantee and the FRA, including an approved scope, schedule, and budget, is required before the award is considered complete.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 114-94.Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. The Federal share of total project costs under this section shall not exceed 80 percent.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. Each applicant selected for funding must collect information and report on the project's performance using measures mutually agreed upon by FRA and the grantee to assess progress in achieving strategic goals and objectives. SF-270 Request for Advance or Reimbursement. Quarterly progress reports Quarterly financial status reports using SF-425 Federal Financial Report. Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-2811-0-1-401. 69-0127-0-1-401.

Obligations:

Project Grants FY 20 \$209,978,877.00; FY 21 est \$114,455,602.00; FY 22 est

\$115,000,000.00;

Range and Average of Financial Assistance:

The range was between \$58,800 and \$21,455,577 with an average of \$4,325,793 in FY 2020/2021.

TAFS Codes:

69-2811. 69-0127.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FRA announced the recipients of \$320.6 million in grant funds under the CRISI program for a wide variety of state and local railroad infrastructure projects. The grants fund 50 projects in 29 states. FRA obligated 46 grants for \$207 million from previous year NOFOs. These intercity passenger and freight rail projects will benefit surrounding communities, make grade crossings safer, and improve service reliability. Fiscal Year 2021: FRA has obligated 13 grants for \$59 million from previous year NOFOs. Projects have been or will be completed in FY 21 that: 1. build new high-speed rail corridors that expand and fundamentally improve passenger transportation in the geographic regions they serve; 2. upgrade existing intercity passenger rail corridors to improve reliability, speed, and frequency of existing services; and 3. lay the groundwork for future high-speed rail services through corridor and state planning efforts. FRA is expected to announce the FY 2021 Notice of Funding Opportunity for an estimated \$361 million. Fiscal Year 2022: FRA will continue to obligate selections from all previous year NOFOs as environmental reviews are completed.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of funds must comply with all applicable requirements of Federal law, including, without limitation, the Constitution of the United States; the conditions of performance, nondiscrimination requirements, and other assurances made applicable to the award of funds in accordance with regulations of the Department of Transportation; and applicable Federal financial assistance and contracting principles promulgated by the Office of Management and Budget. In complying with these requirements, recipients, in particular, must ensure that no concession agreements are denied or other contracting decisions made on the basis of speech or other activities protected by the First Amendment. If the Department determines that a recipient has failed to comply with applicable Federal requirements, the Department may terminate the award of funds and disallow previously incurred costs, requiring the recipient to reimburse any expended award funds. Examples of administrative and national policy requirements include: 2 CFR Part 200; procurement standards; compliance with Federal civil rights laws and regulations; requirements for disadvantaged business enterprises, debarment and suspension requirements, and drug-free workplace requirements; FRAs and OMBs Assurances and Certifications; Americans with Disabilities Act; safety requirements; NEPA; environmental justice requirements; performance measures under 49 U.S.C. 22907(f); grant conditions under 49 U.S.C. 22905, including the Buy America requirements, the provision deeming operators rail carriers for certain purposes and grantee agreements with railroad right-of-way owners for projects using railroad right-of-way. See an example of standard terms and conditions for FRA grant awards at <https://www.fra.dot.gov/eLib/Details/L19057>.

Regional or Local Office:

None.

Headquarters Office:

Chief of Grants Management Division, Office of Program Delivery Matthew Lorah,
Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment 1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: Matthew.Lorah@dot.gov
Phone: (202) 493-6186

Website Address:

<https://railroads.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of projects funded in FY 2020 include track rehabilitation, rail crossing safety improvements, and rail bridge refurbishment. 2021 Examples of projects funded or anticipated for funding in FY 2021 might include Capital projects that address congestion challenges affecting rail service, reduce congestion

ASSISTANCE LISTINGS DESCRIPTIONS

and facilitate ridership growth along heavily traveled rail corridors, and/or improve short-line or regional railroad infrastructure; Highway-rail grade crossing improvement projects; Rail line relocation and improvement projects; Regional rail and corridor service development plans and environmental analyses; and projects necessary to enhance multimodal connections or facilitate service integration between rail service and other modes. 2022 Examples of projects anticipated for funding in FY 2022 might include Capital projects that address congestion challenges affecting rail service, reduce congestion and facilitate ridership growth along heavily traveled rail corridors, and/or improve short-line or regional railroad infrastructure; Highway-rail grade crossing improvement projects; Rail line relocation and improvement projects; Regional rail and corridor service development plans and environmental analyses; and projects necessary to enhance multimodal connections or facilitate service integration between rail service and other modes.

CRITERIA FOR SELECTING PROPOSALS:

FRA will give preference to: Projects where the Federal share of total project costs is 50 percent or less. Projects where the proposed non-Federal share is comprised of more than one source including private sources, demonstrated broad participation by affected stakeholders. Projects where the net benefits of the grant funds are maximized considering the benefit-cost analysis, including anticipated private and public benefits relative to the costs of the proposed project, and factoring in the other considerations described below. After applying the above preferences, FRA will take in account the key Departmental priorities. Other considerations include: the degree to which the proposed projects business plan considers potential private sector participation in the financing, construction, or operation of the project.; the recipients past performance in developing and delivering similar projects, and previous financial contributions; whether the recipient has or will have the legal, financial, and technical capacity to carry out the proposed project, satisfactory continuing control over the use of the equipment or facilities, and the capability and willingness to maintain the equipment or facilities; if applicable, the consistency of the proposed project with planning guidance and documents set forth by the Secretary or required by law or State rail plans developed under chapter 227; if applicable, any technical evaluation ratings the proposed project received under previous competitive grant programs administered by the Secretary; and other factors as the Secretary considers relevant to the successful delivery of the project.

20.326 Federal-State Partnership for State of Good Repair

FEDERAL AGENCY:

FEDERAL RAILROAD ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Sections 11103 and 11302 of the Fixing Americas Surface Transportation Act (FAST Act) (2015), . Public Law 114-94, 49 USC Code 24911.

OBJECTIVES:

To fund capital projects that repair, replace, or rehabilitate publicly-owned or controlled railroad assets and improve intercity passenger rail performance.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Capital projects to replace or rehabilitate qualified railroad assets, including (1) capital projects to replace existing assets in-kind; (2) capital projects to replace existing assets with assets that increase capacity or provide a higher level of service; (3) capital projects to ensure that service can be maintained while existing assets are brought to a state of good repair; and (4) capital projects to bring existing assets into a state of good repair.

Applicant Eligibility:

Interstate; Profit organization; State; (A) a State (including the District of Columbia); (B) a group of States; (C) an Interstate Compact; (D) a public agency or publicly chartered authority established by 1 or more States; (E) a political subdivision of a State; (F) Amtrak, acting on its own behalf or under a cooperative

agreement with 1 or more States; or (G) any combination of the entities described in (A) through (F).

Beneficiary Eligibility:

Profit organization; Interstate; State; Profit organization, Interstate, State, Local (A) a State (including the District of Columbia); (B) a group of States; (C) an Interstate Compact; (D) a public agency or publicly chartered authority established by 1 or more States; (E) a political subdivision of a State; (F) Amtrak, acting on its own behalf or under a cooperative agreement with 1 or more States; or (G) any combination of the entities described in subparagraphs (A) through (F).

Credentials/Documentation:

SF424 (Application for Federal Assistance); SF 424A - Budget Information for Non-Construction or SF 424C Budget Information for Construction; SF 424B Assurances for Non-Construction or SF 424D Assurances for Construction; FRAs Additional Assurances and Certifications; and SF LLL: Disclosure of Lobbying Activities. Other documents may be required as pre-requisites to funding based on program type; documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An initial review of the applicant, project application and eligibility. The aRequired documents for an application package are: Project Narrative, Statement of Work, Benefit-Cost Analysis, Environmental Compliance Documentation, SF424 Application for Federal Assistance, SF 424C Budget Information for Construction, or, for an equipment procurement project without any construction costs, or SF 424A Budget Information for Non-Construction, SF 424D Assurances for Construction, or, for an equipment procurement project without any construction costs, or SF 424B Assurances for Non-Construction, FRAs Additional Assurances and Certifications, SF LLL Disclosure of Lobbying Activities. Application is then reviewed and rated against the key criteria set forth in the NOFO. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications selected for funding will be announced in a press release and on FRA's website after the application review period. FRA will contact applicants with successful applications after announcement with information and instructions about the award process. This notification is not an authorization to begin proposed project activities. A formal grant agreement or cooperative agreement signed by both the grantee and the FRA, including an approved scope, schedule, and budget, is required before the award is considered complete.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. FRA is committed to responding to applicants in a reasonable and timely manner. Response times will vary based on size, scope, complexity and volume of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. The Federal share of total project costs under this section shall not exceed 80 percent. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is awarded upon request for reimbursement Method of awarding/releasing assistance: other

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant and; Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-270 Request for Advance or Reimbursement Quarterly progress reports. Quarterly financial status reports using SF-425 Federal Financial Report Grant recipients will be monitored periodically by FRA to ensure that the project goals, objectives, performance requirements, timelines, milestones, budgets, and other related program criteria are being met. Monitoring may include onsite visits or detailed, interactive desk reviews. In addition, grantees are provided with intensive technical assistance from grants staff on a daily basis. Each applicant selected for funding must collect information and report on the project's performance using measures mutually agreed upon by FRA and the grantee to assess progress in achieving strategic goals and objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 2 C.F.R. Part 200 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-2810-0-1-401.

Obligations:

Project Grants FY 20 \$66,892,065.00; FY 21 est \$53,683,845.00; FY 22 est \$242,000,000.00;

Range and Average of Financial Assistance:

Grants ranged from \$12,500,000 to \$41,183,845 with an average of \$24,115,182 in FY 2020/2021.

TAFS Codes:

69-2810.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FRA received applications requesting FY 2019 and 2020 funds and announced the selection of projects that will reduce the state of good repair backlog and improve intercity passenger rail performance. Fiscal Year 2021: FRA will announce the notice of funding opportunity and accept applications requesting FY 2021 funds. Fiscal Year 2022: FRA will announce the notice of funding opportunity and accept applications requesting FY 2021 funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients of funds must comply with all applicable requirements of Federal law, including, without limitation, the Constitution of the United States; the conditions of performance, nondiscrimination requirements, and other assurances made applicable to the award of funds in accordance with regulations of the Department of Transportation; and applicable Federal financial assistance and contracting principles promulgated by the Office of Management and Budget. In complying with these requirements, recipients, in particular, must ensure that no concession agreements are denied or other contracting decisions made on the basis of speech or other activities protected by the First Amendment. If the Department determines that a recipient has failed to comply with applicable Federal requirements, the Department may terminate the award of funds and disallow previously incurred costs, requiring the recipient to reimburse any expended award funds. Examples of administrative and national policy requirements include: 2 CFR Part 200;

procurement standards; compliance with Federal civil rights laws and regulations; requirements for disadvantaged business enterprises, debarment and suspension requirements, and drug-free workplace requirements; FRAs and OMBs Assurances and Certifications; Americans with Disabilities Act; safety requirements; NEPA; environmental justice requirements; performance measures under 49 U.S.C. 22907(f); grant conditions under 49 U.S.C. 22905, including the Buy America requirements, the provision deeming operators rail carriers for certain purposes and grantee agreements with railroad right-of-way owners for projects using railroad right-of way. See an example of standard terms and conditions for FRA grant awards at <https://www.fra.dot.gov/eLib/Details/L19057>.

Regional or Local Office:

None.

Headquarters Office:

Chief of Grants Program Division, Office of Program Delivery Matthew Lorah, Acting Chief, Grants & Program Management Division, Office of Infrastructure Investment 1200 New Jersey Avenue, S.E.,

Washington DC 20590

Email: Matthew.Lorah@dot.gov

Phone: (202) 493-6186

Website Address:

<https://railroads.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 11 intercity passenger rail projects in nine states awarded that improve intercity passenger rail performance by funding capital projects to repair, replace or rehabilitate publicly owned or controlled railroad assets, thereby bringing them into a state of good repair. 2021 Examples of projects completed in FY2021 will include capital projects to repair, replace or rehabilitate publicly owned or controlled railroad assets, thereby bringing them into a state of good repair. 2022 Examples of projects anticipated for funding in FY 2021 include bridge rehabilitations and replacements; station upgrades; track rehabilitations; and rail car replacements.

CRITERIA FOR SELECTING PROPOSALS:

Applications proceed through a three-part application review process, as follows: Screening applications for completeness and eligibility; Evaluating the technical merit and benefits of eligible applications; and Selecting projects for funding FRA will give preference to projects for which: Amtrak is not the sole applicant; Applications were submitted jointly by multiple applicants; Proposed Federal share of total project costs does not exceed 50 percent; After applying the above preferences, FRA will take in account the key Departmental priorities;) the cost-benefit analysis of the proposed project; the applicants past performance in developing and delivering similar projects, and previous financial contributions; whether the applicant has, or will have the legal, financial, and technical capacity to carry out the project; satisfactory continuing control over the use of the equipment or facilities; and the capability and willingness to maintain the equipment or facilities; and if applicable, the consistency of the project with planning guidance and documents set forth by the Secretary or required by law.

20.500 Federal Transit Capital Investment Grants

New Starts, Small Starts, and Core Capacity,

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation Act (FAST), , Public Law 114-94, 49 USC Code 5309.

OBJECTIVES:

The Capital Investment Grant Program is the Federal Governments primary financial resource for supporting transit capital projects that are locally planned, implemented, and operated. It provides funding for fixed guideway investments

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such as new and expanded heavy rail, commuter rail, light rail, streetcar, bus rapid transit, and ferries as well as corridor-based bus rapid transit investments that emulate the features of rail.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

FAST includes three categories of eligible projects under the Capital Investment Grant Program, referred to as New Starts, Core Capacity, and Small Starts projects. New Starts projects are those whose sponsors request \$100 million or more in Capital Investment Grant Program funds or have an anticipated total capital cost of \$300 million or more. Core Capacity projects are substantial investments in an existing fixed-guideway corridor that is at capacity today or will be in five years, where the proposed project will increase capacity by not less than 10 percent. Small Starts projects are defined as those whose sponsors request less than \$100 million in Capital Investment Grant Program funds and have an anticipated total capital cost of less than \$300 million. The Pilot Program for Transit-Oriented Development (TOD) Planning, authorized under Section 20005(b) of the Moving Ahead for Progress in the 21st Century Act (MAP-21), Public Law 112-141, July 6, 2012, with funding provided under 49 U.S.C. 5338(a)(2)(B), as amended by the FAST Act, provides grants for corridor-level comprehensive planning activities conducted in conjunction with the development of new fixed-guideway or core capacity improvement projects. The comprehensive planning work must help to enhance economic development, ridership, and other goals established during the project development and engineering processes; facilitate multimodal connectivity and accessibility; increase access to transit hubs for pedestrian and bicycle traffic; enable mixed-use development; identify infrastructure needs associated with the eligible project; and include private sector participation. The Expedited Project Delivery (EPD) Pilot Program, authorized by Section 3005(b) of the FAST Act, is aimed at expediting delivery of new fixed guideway capital projects, small starts projects, or core capacity improvement projects. These projects must utilize public-private partnerships, be operated and maintained by employees of an existing public transportation provider, and have a federal share not exceeding 25 percent of the project cost. In FY 2021, a total of \$1.914 billion was appropriated for the Capital Investment Grants Program, a total of \$10 million was appropriated for the Pilot Program for TOD Planning, and a total of \$100 million was appropriated for the EPD Pilot Program. The American Rescue Plan Act of 2021 (ARP) appropriated an additional \$1.675 billion for projects in the Capital Investment Grants Program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project, including safety and security aspects, and maintain facilities and equipment purchased with Federal assistance. Private non-profit organizations are not eligible direct recipients.

Beneficiary Eligibility:

State; Local; Other public institution/organization; Federally Recognized Indian Tribal Governments; Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project, including safety and security aspects, and maintain facilities and equipment purchased with Federal assistance. Private non-profit organizations are not eligible direct recipients.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's Transportation Improvement Program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental review required by the National Environmental Policy Act; legal opinion; coordinated regional planning documentation; and compliance with certifications and assurances as compiled in FTA's Annual List of

Certifications and Assurances. Cost will be in accordance with OMB Super Circular 2 CFR 200 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contains the capital investment grants apportionments. The Federal Register notice with the full year's apportionment was published in June 2020. For other fiscal years, contact the FTA Regional Office to obtain the publication dates or the FTA website: www.transit.dot.gov/. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental review is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental review is required for this program. The review will determine whether an environmental impact statement, environmental assessment, or categorical exclusion will satisfy environmental requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Capital Investment Grants program requires that projects proceed through multiple steps before being considered for funding. For details on the steps in the process, please see FTA's website at <https://www.transit.dot.gov/CIG>. For the TOD Pilot Program, projects are selected for funding through Notices of Funding Opportunities. For the EPD Pilot Program, projects are selected for funding through a Notice of Funding Opportunity (<https://www.transit.dot.gov/funding/applying/notices-funding/expedited-project-delivery-pilot-program-notice-funding>), and applications will be accepted on a rolling basis until up to eight grants are awarded, subject to funding availability. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a construction grant agreement. Grants are awarded electronically using FTA's electronic grant award system. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in FTA's electronic grant award system and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter , Part 5309, Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Not Applicable. Funds for the Capital Investment Grant program are allocated on a discretionary basis. There are different matching requirements specified in law for different types of Capital Investment Grant projects (New Starts, Core Capacity and Small Starts).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. Method of awarding/releasing assistance: other

Reports:

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(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable (1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable (1) Progress reports; (2) Federal Financial reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable (1) Progress reports; (2) Federal Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient is required to retain intact, for three years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1133-0-1-401. 69-1134-0-1-401. 69-1102-0-1-401. 69-8350-0-7-401.

Obligations:

Project Grants FY 20 (\$2,040,569,267.00); FY 21 est \$1,994,000,000.00; FY 22 est (\$1,846,967,296.00); Project Grants FY 20 Not Available; FY 21 Estimate Not Available; and FY 22 est \$1,675,000,000.00;

Range and Average of Financial Assistance:

The project range varies. If you have a specific questions contact the Program Manager listed in the Assistance Listing.

TAFS Codes:

69-1133. 69-1102. 69-1134. 69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, FTA has allocated \$1.2 billion to 13 projects through July 2020. FTA has awarded seven construction grant agreements during FY 2020 through July 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See FTA's Regional Office Listings at <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

Susan Eddy,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: Susan.Eddy@dot.gov
Phone: (202) 366-5499
Fax: April McLean-McCoy,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: April.McLeanMcCoy@dot.gov
Phone: (202) 366-7429
Fax:

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.505 Federal Transit_Metropolitan Planning Grants; 20.507 Federal Transit_Formula Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 In FY 2020, the Westside Purple Line Section Three project in Los Angeles, California received a construction grant agreement. The project is a 2.6-mile extension of the Los Angeles County Metropolitan Transportation Authority's (LACMTA) heavy rail system to the Westwood/Veterans Hospital area, and includes two stations. 2021 In FY 2021, the West Lake Corridor Project in Indiana

received a construction grant agreement. The project is a 7.8-mile southern extension of the Northern Indiana Commuter Transportation Districts (NICTD) existing South Shore Line (SSL) commuter rail service between Dyer and Hammond, with four new stations.

CRITERIA FOR SELECTING PROPOSALS:

Projects must meet the project justification, local financial commitment, and process criteria established in Section 5309, and should be consistent with Executive Order 12893, Principles for Federal Infrastructure Investments, issued January 26, 1994. Firm funding commitments, embodied in FFGAs or SSGAs, will not be made until the project sponsor has demonstrated that its project is ready for such an agreement, i.e., the projects development and design have progressed to the point where its scope, costs, benefits, and impacts are considered firm and final. FTA encourages project sponsors to provide an overmatch as a means of funding more projects and leveraging State and local financial resources as well as other Federal financial resources.

20.505 Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research

Metropolitan Transportation Planning and State and Non-Metropolitan Planning,
FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Fixing Americas Surface Transportation (FAST), , Public Law 114-94, 49 USC Code 5305(d)(e).

OBJECTIVES:

To assist in development of metropolitan and state transportation improvement programs, long-range transportation plans, and other technical studies in a program for a unified and officially coordinated Statewide Transportation system and Metropolitan Transportation system(s) within the state. As a result of this coordinated effort, the former CFDA# 20.515 has been discontinued. This CFDA incorporates both the Statewide Transportation system and the Metropolitan Transportation system into one CFDA.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

In metropolitan areas, activities assisted under this section may include preparation of transportation plans including transportation improvement programs and management systems; studies related to transportation management, operations, capital requirements, economic feasibility, performance-based planning, public transportation agency safety plans, transit asset management plans, evaluation of previously funded capital projects; and other related activities in preparation for the construction, acquisition, or improved operation of transportation systems, facilities, and equipment, public participation in transportation planning, security and emergency transportation planning. In nonurbanized areas of the state, eligible activities include planning, and technical studies and assistance. A State may authorize the use of a portion of these funds to supplement and administer Metropolitan Planning.

Applicant Eligibility:

State; State Apportionments are made to the States for 1) statewide planning and 2) formula distribution to the Metropolitan Planning Organizations designated for the urbanized areas within each State for planning within urbanized areas. State Apportionments for metropolitan planning and for state planning and research are made to the States. Funds for metropolitan planning are distributed by formula to the Metropolitan Planning Organizations (MPOs) designated for the urbanized areas within each State.

Beneficiary Eligibility:

State; Apportionments for metropolitan planning and for state planning and research are made to the States. Funds for metropolitan planning are distributed by formula to the Metropolitan Planning Organizations (MPOs) designated for the

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urbanized areas within each State.

Credentials/Documentation:

Federal Register Notice, "FTA Fiscal Year 2021 Apportionments, Allocations, and Program Information", published at

<https://www.transit.dot.gov/funding/apportionments/fiscal-year-2021-apportionment-tables>. It contains the state-by-state program apportionment in Table 2 for both Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research. For other fiscal years, contact the FTA Regional Office or refer to the FTA website at <https://www.transit.dot.gov/funding/apportionments/archived-apportionments>. 2 CFR 400 Subpart E: Cost Principles applies to these programs. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Following each decennial Census, each State must submit to FTA for approval a formula, developed in cooperation with affected MPOs, for the distribution of a State's Section 5305(d) apportionment to MPOs in each urbanized area within the State.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application for a grant should be addressed to the appropriate Regional Office of the Federal Transit Administration. FTA Circular 8100.1D dated September 10, 2018, Program Guidance for Application Instructions for Metropolitan Planning and State Planning and Research Program Grants, provides detailed application information. Available on the Internet at: <https://www.transit.dot.gov/regulations-and-guidance/fta-circulars/program-guidance-metropolitan-planning-and-state-planning-and-states> may apply through a paperless electronic grant application, review, approval, acceptance, and management process. This program is excluded from coverage under 49 CFR Part 19.

Award Procedure:

FTA will approve and release the grant by the end of the quarterly cycle or earlier provided the application is complete and received by FTA at the beginning of the quarterly cycle.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Grant applications are due in the appropriate FTA Regional Office no later than the first business day of the quarter.

Appeals:

Not Applicable. Applicants may contact the FTA Regional Office if they have questions or concerns about an application. <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Renewals:

Other. Grants may be amended to add additional funds.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter 53, Part 5305(d)(e), Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The matching requirements vary. Funds obligated for State or metropolitan planning are granted an 80 percent federal, 20 percent local ratio. In addition, funds can be transferred from the Federal Highway Administration to form Consolidated Planning Grants which permit a higher Federal match for Metropolitan funds.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for 3 years following the year for which they were appropriated. Method of awarding/releasing assistance: other

Reports:

(1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF-425 (1) Progress reports; (2)

Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF-425 (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200.500 Subpart F: Audit Requirements nonfederal entities that expend \$750,000 or more in a year in Federal awards shall have a single or program specific audit conducted that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in 2 CFR 200.500 Subpart F.

Records:

Following submission of the final Financial Status Report pending resolution of audit findings, project sponsor is required to retain all project contract documents, financial records, and supporting documents intact for 3 years.

Account Identification:

69-8350-0-7-401.

Obligations:

Formula Grants FY 20 \$124,375,773.00; FY 21 est \$126,000,000.00; FY 22 est \$127,000,000.00;

Range and Average of Financial Assistance:

Range and average of financial assistance varies. Contact the program manager listed in this Assistance Listing for specific information.

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Specific accomplishments are realized as a result of the planning studies in the metropolitan area. FTA expects to award planning grants to 52 states covering both Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research. Planning funds to develop or update 410 Metropolitan Transportation Improvement Programs, 52 State Transportation Improvement Programs, approximately 410 Unified Planning Work Programs, and 52 State Planning and Research Work Programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notice, "FTA Fiscal Year 2019 Apportionments, Allocations, and Program Information", published at

<https://www.transit.dot.gov/funding/apportionments/fiscal-year-2021-apportionment-tables>. It contains the state-by-state program apportionment in Table 2 for both Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research. For other fiscal years, contact the FTA Regional Office or refer to the FTA website at <https://www.transit.dot.gov/funding/apportionments/archived-apportionments>. 2 CFR 400 Subpart E: Cost Principles applies to these programs.

Regional or Local Office:

See Regional Assistance Locations. <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

FTA Office of Planning and Environment Victor Austin,
1200 New Jersey Avenue, SE,
Washington DC 20590
Email: victor.austin@dot.gov
Phone: (202) 366-2996.

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.205 Highway Planning and Construction; 20.514 Public Transportation Research;

EXAMPLES OF FUNDED PROJECTS:

2021 The following are examples for 2021:1. The Georgia Rural and Human

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Services Transportation (RHST) Plan. 2. The purpose of the Equity Stakeholder Community Focus Groups Initiative is to better understand the needs of transportation disadvantaged groups.3. The Household Travel Survey used to inform regional planning and model development.4. Regional Safety Action Plan to improve safety conditions in the Atlanta region.5. Regional Freight and Goods Movement Plan 6. Update Identification of priority segments and connections needed for advancing the regional trail system development.

CRITERIA FOR SELECTING PROPOSALS:

Funding is allocated to the States for Statewide planning and for pass through by formula to Metropolitan Planning Organizations within their jurisdictions for urbanized area planning.

20.507 Federal Transit Formula Grants

Urbanized Area Formula Program; Section 5307,

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Title Fixing Americas Surface Transportation (FAST) Act, , Public Law 114-94, 49 USC Code 5307. Public Law 116-136, Public Law 116-260, Public Law 117-2

OBJECTIVES:

The Urbanized Area Formula Funding program (49 U.S.C. 5307) makes federal resources available to urbanized areas and to governors for transit capital and operating assistance in urbanized areas and for transportation-related planning. An urbanized area is an incorporated area with a population of 50,000 or more that is designated as such by the U.S. Department of Commerce, Bureau of the Census.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used for capital projects to finance the planning, acquisition, construction, cost-effective lease, improvement, and maintenance of equipment and facilities for use in transit. One percent of the funds apportioned to urbanized areas with a population of at least 200,000 must be expended for associated transit improvements. For urbanized areas with populations under 200,000, funds may be used to finance transit operating costs. Recipients of these grants are required to make information available to the public and to publish a program of projects to afford affected citizens opportunities through public hearings to submit comments on the proposed program and the performance of the recipient. In addition, a Passenger Ferry Grant Program component awards funding on a competitive discretionary selection basis.

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Funds will be made available to urbanized areas (as defined by the U.S. Census Bureau) through designated recipients, which must be public entities and have the legal capacity to receive and dispense federal funds. The Governor, responsible local officials, and publicly owned operators of mass transportation services must jointly select the designated recipient(s) for an urbanized area with a population of 200,000 or more. The Governor or his designee acts as the designated recipient for an urbanized area with a population of 50,000 to 199,999. Recipients must submit a program of projects to FTA; submit a program application to FTA; enter into formal agreements with FTA; and certify that public notification has been conducted.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Local; Public nonprofit institution/organization; The general public, both users and non-users, and publicly owned operators of public transportation services.

Credentials/Documentation:

The following documentation must be submitted prior to award of a formula grant under this program: A resolution by an authorized public body approving the filing

of an application, the urbanized areas transportation improvement program (TIP), and the Statewide transportation improvement program (STIP) approved by FTA and FHWA. In addition, information must be provided on labor agreements and relocation planning; environmental impact, legal capacity, coordinated regional planning and compliance with certifications and assurances as compiled in FTAs Annual List of Certifications and Assurances. Eligible costs must be in accordance with OMB Circular 2 CFR 200. Prior to the award of a discretionary grant under this program, applicants must submit a project application based on criteria outlined in the Notice of Funding Availability published yearly in the Federal Register. A notice is published annually in the Federal Register on FTAs fiscal year apportionments and applications. The notice contains a list of the amount apportioned to each urbanized area and the total available for discretionary award. You can find current and prior year notices on FTAs website at <https://www.transit.dot.gov/funding/apportionments> or by contacting the appropriate FTA Regional Office to obtain copies or publication dates. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review. An environmental impact assessment may be required. In addition, an environmental impact statement may also be required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18. Applications are to be submitted in the Transit Award Management System (TrAMS), which is the FTA electronic grant-making and record keeping system.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement. This program is comprised of both formula and competitive funds. Funds are primarily awarded via grant applications processed by FTA Regional Offices.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. From the date of the application submittal in FTA's Transit Award Management System (TrAMS) the approximate time of award is 15 to 30 days.

Appeals:

Not Applicable. For competitive funding, if a project is not selected a recipient can request additional information from FTA's Headquarters staff. The regional offices can address any questions and direct applicants to the appropriate individual.

Renewals:

Not Applicable. Funds are apportioned annually to each urbanized area. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49 USC, Chapter 53, Part 5336, Subpart , Public Law 112-141. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The program operates under the statutory formula prescribed in 49 USC 5336. The federal share is not to exceed 80 percent of the net project cost. The Federal share may be 90 percent for the cost of vehicle-related equipment attributable to compliance with the American with Disabilities Act and the Clean Air Act or 85 percent for the cost of a vehicle that complies with these requirements. The federal share may not exceed 50 percent of the net cost for operating assistance. This program has MOE requirements, see funding agency for further details. Additional Information: .

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Length and Time Phasing of Assistance:

Funds apportioned under the Urbanized Area Formula program are available for obligation by the Governor, designated recipient, or authorized grant recipient for a period of 5 years following the close of the fiscal year for which such funds are apportioned. Any amounts remaining unobligated at the end of this period are distributed among all urbanized areas. Method of awarding/releasing assistance: other

Reports:

SF -425 (1) Milestone progress reports. (2) Federal Financial reports, which must be submitted quarterly for recipients in urbanized areas over 200,000 in population; annually for other recipients and states. (3) Construction reports where applicable. (4) Annual report to the National Transit Database (NTD). SF-425 See audit requirements in 2 CFR 200, Subpart F.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional audit requirements.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:

69-8350-0-7-401. 69-2812-0-1-401. 69-1129-0-1-401.

Obligations:

Formula Grants FY 20 (\$1,359,069,041.00); FY 21 est (\$1,289,934,592.00); FY 22 est (\$1,216,934,592.00); Formula Grants FY 20 \$20,000,000.00; FY 21 est \$66,000,000.00; FY 22 est \$77,000,000.00; Formula Grants FY 20 (\$31,789,871.00); FY 21 est \$500,000,000.00; FY 22 est \$500,000,000.00; Formula Grants FY 20 Not Available; FY 21 est (\$73,017,819.00); and FY 22 est \$1,103,780,647.00; Formula Grants FY 20 Not Available; FY 21 est (\$514,901,888.00); and FY 22 est \$1,464,000,000.00;

Range and Average of Financial Assistance:

We cannot provide an approximate average range, as it varies according to local programming of available formula funds and the level of operating expenses incurred. FY 2020- \$22,696,291,664 were made available for supplemental CARES ACT funding.

TAFS Codes:

69-8350. 69-2812. 69-1129.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FTA successfully allowed Urbanized Area funding to be available for transit related expenses in response to COVID-19 under the Emergency Relief flexibility prior to the enactment of Supplemental Bills (CARES Act, CRRSAA, and ARP). As a result of supplemental funding, agencies were granted funding for operating expenses to maintain transit services as well as pay transit workers across the country not working because of the public health emergency. It also included the provision of personal protective equipment for transit employees and special-purpose trips when needed. This historic grant funding ensured our nations public transportation systems could continue to provide services to the millions of Americans who depend on them.

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula Grant Application Instructions may be accessed on the FTA website or secured at no charge from the FTA Regional Offices - FTA Circular 9030.1E, "Urbanized Area Formula Program: Program Guidance and Application Instructions," January 16, 2014.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices.

Headquarters Office:

Federal Transit Administration Alexandria Burns,
1200 New Jersey Avenue, SE,
Washington, DC 20590

Email: Alexandria.Burns@dot.gov

Phone: (202) 366-7464

Fax: FTA Office of Program Management, Program Manager Passenger Ferry Program Vanessa Williams,
1200 New Jersey Avenue, SE,
Washington DC 20590

Email: Vanessa.Williams@dot.gov

Phone: (202) 366-4818

Fax:

Website Address:

<http://www.transit.dot.gov/>

RELATED PROGRAMS:

20.509 Formula Grants for Other Than Urbanized Areas; 20.505 Federal Transit Metropolitan Planning Grants; 20.205 Highway Planning and Construction;

EXAMPLES OF FUNDED PROJECTS:

2020 The Municipality of Anchorage will use \$18.6 million of CARES Act grant award to support operating expenses to maintain public transportation services throughout the Anchorage metropolitan area during the COVID-19 public health emergency. The Research Triangle Regional Public Transportation Authority (GoTriangle) in Durham, North Carolina will use \$7.9 million of CARES Act award for operating, administrative and preventive maintenance expenses, which are necessary to support its transit services during the COVID-19 public health emergency. The Jacksonville Transportation Authority (JTA) in Jacksonville, Florida, will receive \$5.2 million to modernize an existing passenger vessel to ensure a state of good repair and to purchase a new passenger vessel for service expansion.

CRITERIA FOR SELECTING PROPOSALS:

Projects must be eligible public transportation projects and meet all formula grant requirements. Discretionary projects must respond to a list of criteria published in the Notice of Funding Opportunity as part of the application process.

20.509 Formula Grants for Rural Areas and Tribal Transit Program

(Rural Area Program),

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act, , Public Law 114-94, 49 USC Code 5311.

OBJECTIVES:

To improve, initiate, or continue public transportation service in nonurbanized areas (rural areas and small cities under 50,000 in population) and to provide technical assistance for rural transportation providers. The Section 5311 program supports both the maintenance of existing public transportation services and the expansion of those services through the following program goals: enhancing access in rural areas to health care, shopping, education, employment, public services, and recreation; assisting in the maintenance, development, improvement, and use of public transportation systems in rural areas; encouraging and facilitating the most efficient use of all transportation funds used to provide passenger transportation in rural areas through the coordination of programs and services; providing financial assistance to help carry out national goals related to mobility for all, including seniors, individuals with disabilities, and low-income individuals; increasing availability of transportation options through investments in intercity bus services; assisting in the development and support of intercity bus transportation; encouraging mobility management, employment-related transportation alternatives, joint development practices, and transit-oriented development; and providing for the participation of private transportation providers in rural public transportation. The Public Transportation on Indian Reservations Program is a set-aside form the Formula Grants for Rural Areas program that consists of both a formula and competitive grant program for federally recognized Indian tribes or

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Alaska Native villages, groups or communities in rural areas.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Section 5311 funds may be used for eligible planning, capital, and operating expenses needed to provide efficient and coordinated public transportation service in non-urbanized areas. States may use up to 10 per cent of the annual apportionment for planning and program administration. Job Access and Reverse Commute projects are also eligible under the program. Projects must provide for the maximum feasible coordination of public transportation sources assisted under this section with transportation services assisted by other Federal sources, and must provide for the maximum feasible participation of private operators. Fifteen percent of the State's annual apportionment must be spent to support rural intercity bus transportation, unless the governor certifies that such needs are adequately met. Rural Transit Assistance Program (RTAP) funds may be used for technical assistance, training, research, and related support services. A takedown from the program is used to fund a national technical assistance center. Additional set-asides under the Section 5311 program include the Public Transportation on Indian Reservations (Tribal Transit) formula, Tribal Transit discretionary and Appalachian Development Public Transportation Assistance Formula Programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Only designated State agencies and Indian Tribes may apply directly to FTA for grants. Eligible sub-recipients may include State agencies, local public bodies and agencies thereof, nonprofit organizations, Indian tribes, and operators of public transportation services, including intercity bus service, in rural areas. Private for-profit operators of transit or paratransit services may participate in the program only through contracts with eligible recipients. Private intercity bus operators may participate as subrecipients or through contracts. Urbanized areas, as defined by the Bureau of the Census, are not eligible. For the Public Transportation on Indian Reservations Program, eligible applicants include federally recognized Indian tribes or Alaska Native villages, groups, or communities as identified by the U.S. Department of the Interior Bureau of Indian Affairs. Additionally, applicants must be located and provide service in a rural area with a population of 50,000 or less.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; State; Local; Public nonprofit institution/organization; The general public, both users and nonusers, and private and public providers of public transportation in nonurbanized areas.

Credentials/Documentation:

The State agency must be designated by the Governor to administer the program. Projects must be included in the State Transportation Improvement Program (STIP) and approved by FTA and FHWA. The state must also have a State Management Plan in place. The state and sub-recipients must agree to the standard labor protection warranty for the program. Environmental assessments may be required. Compliance with FTA's annual list of Certifications and Assurances is required. Eligible cost must be in accordance with 2 CFR 200. The state must submit a program of projects listing all sub-recipients and projects in accordance with FTA Circular 9040.1G issued October 24, 2014. FTA annually issues a Federal Register Notice of apportionments, allocations and program information. This information can be found on the FTA website at www.fta.dot.gov or by contacting the appropriate FTA regional office to obtain copies or publication dates. 2 CFR 200 applies to this program. For the Public Transportation on Indian Reservations Program as evidence of Federal recognition, an Indian tribe may submit a copy of the most up-to-date Federal Register notice published by BIA: Entities Recognized and Eligible to Receive Service from the United States Bureau of Indian Affairs. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the

office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible sub-recipients should submit application to the State agency designated by the Governor to administer the program. This agency will evaluate and select eligible applicants and submit a program of projects to the Federal Transit Administration. In order to receive Public Transportation on Indian Reservations Program formula funds, a tribe must report to the National Transit Database on an annual basis. The steps for applying to the competitive discretionary program are listed yearly in the Notice of Funding Availability published with the Federal Register. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Federal Transit Administration approves the State's or tribe's program of projects. An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the state or tribe must execute the grant agreement. The state implements grant agreements or other instructions with the subrecipients. The Public Transportation on Indian Reservations competitive discretionary grant project selections are announced and implementation procedures to begin the process outlined above are provided at the time of announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. As prescribed by the State agency or tribe designated to administer the Section 5311 program. FTA approves grants on a quarterly release cycle.

Appeals:

Not Applicable. Interested persons are afforded the opportunity of a public hearing for capital projects. They can also contact the Headquarters Program Manager listed in this Assistance Listing or the Regional Office to gain more information about a funding decision. The list of Regional Offices is located at <https://www.transit.dot.gov/about/regional-offices/regional-offices>.

Renewals:

Not Applicable. Subrecipients typically receive funding through the designated State agency.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter , Part 18, Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The program operates under the statutory formula prescribed in 49 USC 5335. The federal share is not to exceed 80 percent of the net project cost for capital projects. The federal share may be 90 percent for the cost of vehicle-related equipment attributable to compliance with the American with Disabilities Act and the Clean Air Act or 85 percent for the cost of a vehicle that complies with these requirements. The federal share may also be 90 percent for projects or portions of projects related to bicycle facilities. The federal share may not exceed 50 percent of the net cost for operating assistance. Recipients may use up to 20 percent of the amounts apportioned under Section 5311 for ADA complementary paratransit at an 80 percent federal share if certain conditions are met. Under the Public Transportation on Indian Reservation Program, no local match is required for the formula program. A 10 percent local match is required under the discretionary program for both capital and operating expenses. There is no match requirement for planning grants under the discretionary program. This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements; see funding agency for further details..

Length and Time Phasing of Assistance:

Formula funds are apportioned annually to the States or tribal recipients. Amounts apportioned are available for obligation by the State designated agency or tribal recipients for a period of three years (two years following the close of the fiscal year for which sums are apportioned) and amounts remaining unobligated at the end of such period shall be apportioned among the States or tribal recipients for the succeeding fiscal year. States usually fund local recipients on an annual cycle but it

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is at their discretion. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Program of Projects Status Reports, Milestone Progress Reports, Federal Financial Reports, Disadvantaged Business Enterprise Reports, National Transit Database Reports. Annual Program of Projects Status Reports are required. SF-425 Milestone progress reports are submitted annually. States may require additional reports from recipients. Federal Financial Reports are submitted annually. National Transit Database reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, all contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-1-401. 69-2812-0-1-401. 69-1129-0-1-401.

Obligations:

Formula Grants FY 20 \$598,823,510.00; FY 21 est \$583,000,000.00; FY 22 est \$595,000,000.00; Formula Grants FY 20 \$1,859,100,695.00; FY 21 est \$500,000,000.00; and FY 22 est \$500,000,000.00; Formula Grants FY 20 Not Available; FY 21 est \$417,214,043.00; and FY 22 est \$1,080,219,353.00;

Range and Average of Financial Assistance:

For FY 2021, the average award for a formula grant received under the Public Transportation on Indian Reservations Program was \$233,082, ranging from \$1,104 to \$1,323,253. Competitive grant program selections were announced in November 2020 and the average award was \$308,813, with a range from \$22,500 to \$1,830,000. In 2021, the average award under the Formula Grants for Rural Areas was approximately \$24,194,874, ranging from approximately \$349,046 to \$48,040,703.

TAFS Codes:

69-1129. 69-8350. 69-2812.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Nov 2020, the Mescalero Apache Tribe in New Mexico received \$523,693 to maintain transit services and purchase a mobile snow plow. The project supported the continuity of services for tribal members by connecting them to employment, healthcare and social services throughout South Central New Mexico. Fiscal Year 2021: The programs continue to provide funding to rural areas in support of public transportation. The Winnebago Tribe of Nebraska will receive \$528,617 to expand the Winnebago Tribal Transit Maintenance Facility. This project will address state of good repair needs and help the tribe continue to provide safe and reliable transit service to help residents access jobs, school and community services in Sioux City and South Sioux City.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9040.1, Nonurbanized Area Formula Program Guidance and Grant Application instructions.

Regional or Local Office:

See Regional Assistance Locations. See <https://www.transit.dot.gov/about/regional-offices/regional-offices> for the address of Federal Transit Administration Regional Offices. State Designated Agency: The Governor in each State has designated a State agency to administer the Section 5311 program. This should be the first contact point. Regional Offices: A person from each Federal Transit Administration Regional Office is available to answer questions about Federal regulations related to the Program.

Headquarters Office:

Federal Transit Administration Elan Flippin, Office of Program Management, 1200 New Jersey Avenue, SE., Washington DC 20590
Email: elan.flippin@dot.gov
Phone: (202) 366-3800

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.516 Job Access_Reverse Commute; 20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.521 New Freedom Program;

EXAMPLES OF FUNDED PROJECTS:

2020 The Noorvik Native Community, in Noorvik, Alaska received \$1,830,000 to build a storage facility to house its paratransit fleet. This project improved safety, reliability and ensured a continuation of services for its tribal members in Noorvik. 2021 On May 26, 2021, the Federal Transit Administration (FTA) announced the availability of \$10 million in Fiscal Year 2021 competitive grant funding to support transit services for American Indian Tribes and Alaska Native villages in rural areas. This funding supports reliable and affordable public transit on Tribal lands and is an important step in building the transportation infrastructure that our Tribal Nations need and deserve.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting projects under State allocations is as described by the State designated agency in its procedures or State management plan. There are no criteria for selecting projects under Tribal Transit Formula as allocations are made directly to the tribes. The criteria for selecting projects under the Tribal Transit discretionary program are listed yearly in the Notice of Funding Availability published with the Federal Register.

20.513 Enhanced Mobility of Seniors and Individuals with Disabilities

Section 5310 Program,

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act; The Coronavirus Response and Relief Supplemental Appropriation Act (CRRSAA); American Rescue Plan Act (ARP), , Public Law 114-94, 49 USC Code 5310.

OBJECTIVES:

To provide financial assistance in meeting the transportation needs of seniors and individuals with disabilities where public transportation services are unavailable, insufficient or inappropriate. The Section 5310 program is designed to supplement FTA's other capital assistance programs by funding transportation projects for seniors and individuals with disabilities in all areas - large urban, small urban, and rural. Upon repeal of the New Freedom Program, its goals were incorporated into the Section 5310 program, which funds alternative forms of transportation where traditional services are unavailable, inappropriate, or insufficient. Funds can be used for capital, planning and operations.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Section 5310 funding may be used for eligible capital, planning, or operating expenses needed to provide specialized transportation service for elderly persons and persons with disabilities. Projects must provide for the maximum feasible coordination of transportation services funded under this section.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Eligible sub-recipients include private nonprofit organizations, public bodies approved by the State to coordinate services for elderly persons and individuals with disabilities and public bodies which certify that no nonprofit organizations or associations are readily available in an area to

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provide the service.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Senior Citizen (60+); This program (49 U.S.C. 5310) provides funding to states for the purpose of assisting private nonprofit groups in meeting the transportation needs of older adults and people with disabilities when the transportation service provided is unavailable, insufficient, or inappropriate to meeting these needs.

Credentials/Documentation:

FTA apportions the funds to the States and large urbanized areas on an annual basis. The Governor of each State or an official designee designates an agency to administer the program. Projects must be included in the State Transportation Improvement Program (STIP) approved by FTA and FHWA and must be included in a locally developed Coordinated Public Transit Human Services Transportation Plan. Compliance with FTA's Annual list of Certifications and Assurances is required. Eligible costs must be in accordance with 2 CFR 200. The state or designated recipient must submit a program of projects (POP) listing all subrecipients and projects in accordance with FTA Circular 9070.1G. FTA annually issues a Federal Register Notice of apportionments, allocations, and program information. Notices can be found on the FTA website at www.fta.dot.gov/ or by contacting the appropriate FTA Regional office to obtain copies or publication dates. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. All projects must be included in a locally developed, coordinated public transit-human service transportation plan.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Local agencies in small urbanized or rural areas should submit applications to the State agency designated by the Governor to administer the program. Local agencies in large urbanized areas should submit applications to the designated recipient for the large urbanized area. The designated recipient agency will evaluate, select and approve eligible applicants and submit a program of projects to the Federal Transit Administration. For discretionary funding, applicants are required to submit a complete proposal in response to a Notice of Funding Opportunity (NOFO) that is published in the Federal Register. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Federal Transit Administration approves the program of projects (POPs). An FTA grant award obligating federal funds, includes the POPs in a grant agreement. The grant agreement is subsequently executed so that funds can be used.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. Interested persons are afforded the opportunity of a public hearing for capital projects.

Renewals:

Other. As determined by the designated State agency.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter 5310, Part , Subpart ,Public Law .Matching Requirements: Percent: 80%. Requirement: Matching requirements are mandatory. Section 5310 funds may be used to finance capital and operating expenses. The federal share of eligible capital costs may not exceed 80 percent of the net cost of the activity. The federal share of the eligible operating costs may not exceed 50 percent of the net operating costs of the activity. Recipients may use up to 10 percent of their apportionment to support program administrative costs including

administration, planning, and technical assistance, which may be funded at 100 percent federal share. Funds for this program are allocated among the States and large urbanized areas by a formula which is based on the population of elderly persons and persons with disabilities in each State according to the latest U.S. Census population figures. States eligible for the sliding scale match under FHWA programs may use that match ratio for section 5310 capital projects. Funds provided under other Federal programs (other than those of the Department of Transportation, with the exception of the Federal Lands Highway Program established by section 204 of Title 23 U.S.C.) may be used as match for capital funds provided under section 5310. Section 5310 CRRSAA and ARP funds may be obligated at up to 100% federal share.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Section 5310 program funds are available for three years from year of apportionment. Method of awarding/releasing assistance: other

Reports:

FTA requires an Annual Program of Projects Status Report,Milestone Progress Reports (MPR), Federal Financial Report (FFR), and reporting of Program Measures (Gaps in service filled; Ridership; Service improvement in geographic coverage, service quality, service times; and physical improvements). SF - 425 Milestone reports each quarter which show the progression of the project. SF - 425 As requested by the State and federal agencies administering the program. States and large urbanized areas must submit annual status reports and annual financial reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient is required to retain intact, for 3 years following submission of the final financial report, all contract documents, financial records, and supporting documents with the following qualification: If any litigation, claim or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved.

Account Identification:

69-8350-0-7-401. 69-1129-0-1-401.

Obligations:

Formula Grants (Apportionments) FY 20 \$410,407,617.00; FY 21 est \$415,000,000.00; FY 22 est \$419,000,000.00; Formula Grants (Apportionments) FY 20 Not Available; FY 21 est \$50,000,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

In Fiscal Year 2021, the average award to large urbanized areas under the Enhanced Mobility for Seniors and Individuals with Disabilities Program was \$976,405 and ranged from \$54,304 to \$15,913,989. The average award to small urbanized areas was \$1,148,712 and ranged from \$85,180 to \$5,756,908. The average award to nonurbanized areas was \$1,084,895 and ranged from \$9,520 to \$3,928,438.

TAFS Codes:

69-8350. 69-1129.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In 2020 the Flint Mass Transportation Authority received funding to expand its same-day, on-demand transportation program with new partner agencies and clients, specifically veterans and individuals with substance use disorders living in Flint and Genesee County's rural communities who travel long distances to healthcare facilities. Fiscal Year 2021: In FY 2021, to support COVID-19 vaccination efforts in rural Goldsboro, North Carolina, the Goldsboro Wayne Transportation Authority has been providing free bus rides to vaccination appointments since February. Working with the North Carolina Department of Transportation, the agency has provided hundreds of trips for residents in and outside Goldsboro, including a designated date in February to transport at-risk seniors.

REGULATIONS, GUIDELINES, AND LITERATURE:

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FTA Circular C 9070.1G, Enhanced Mobility of Seniors and Individuals with Disabilities Program, dated July 7, 2014, can be viewed here:
http://www.fta.dot.gov/legislation_law/12349_16011.html 150 Information Contacts.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for the address of Federal Transit Administration's Regional Office or visit <https://www.transit.dot.gov/about/regional-offices/regional-offices>. State-Designated Agency: The Governor in each State has designated a State agency to administer the Section 5310 program.

Headquarters Office:

Destiny Buchanan,
1200 New Jersey Ave. SE,
Washington DC 20590
Email: destiny.buchanan@dot.gov
Phone: (202) 493-8018

Website Address:

<https://www.transit.dot.gov/>

RELATED PROGRAMS:

20.507 Federal Transit Formula Grants; 20.509 Formula Grants for Rural Areas and Tribal Transit Program; 20.521 New Freedom Program;

EXAMPLES OF FUNDED PROJECTS:

2020 On June 5, 2020 the Federal Transit Administration (FTA) announced \$3.5 million in grants for 17 projects in 16 states to improve mobility and access to public transportation for older adults, people with disabilities and individuals of low income. Funding was provided through FTAs Mobility for All Pilot Program. 2021 On March 29, 2021 DOT announced \$26.6 billion to be allocated by statutory formulas to urban and rural areas, tribal governments, and for the enhanced mobility of seniors and individuals with disabilities. Examples of eligible traditional activities include: rolling stock and related activities, passenger facilities related to Section 5310, support facilities and equipment such as hardware and software, intelligent transportation systems, dispatch systems, fare collection systems, lease of equipment, acquisition of transportation services, mobility management, and one-stop transportation traveler call centers. Examples of other eligible capital and operating expenses (at most 45% of an area's apportionment): paratransit enhancements beyond minimum Americans with Disability Act (ADA) requirements, accessibility improvements to existing transit service, travel training, vehicles to support taxi, ride-sharing, and vanpool programs, voucher program administration and expenses, volunteer driver program expenses, and operating assistance. All projects eligible under the Section 5310 Formula Program are eligible under CRRSAA/ARP at up to 100% federal share

CRITERIA FOR SELECTING PROPOSALS:

As described by the State or designated recipient in the state or project management plan.

20.514 Public Transportation Research, Technical Assistance, and Training

National Research Programs,

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

The Fixing Americas Surface Transportation (FAST) Act (Public Law No. 114-94), signed into law on December 4, 2015, and effective October 11, 2015, 49 U.S.C. Section 5312/FAST Section 3008 and Section 5314/FAST Section 3009., Public Law 114-94, 49 USC Code 5312 & 5314.

OBJECTIVES:

The Federal Transit Administration's Research, Development, Demonstration, Deployment, Cooperative Research, Technical Assistance, Standards Development, and Human Resources and Training programs are authorized at 49 U.S.C. 5312, and 5314 and collectively seek to develop solutions that improve

public transportation. Its primary goals are to increase transit ridership, improve safety and emergency preparedness, improve operating efficiencies, protect the environment, promote energy independence, and provide transit research leadership; develop and conduct workforce development activities, training and educational programs for Federal, State, and local transportation employees, United States citizens, and foreign nationals engaged or to be engaged in public transportation work; and to sponsor development of voluntary and consensus-based standards to more effectively and efficiently provide transit service, as well as support the improved administration of Federal transit funds. To accomplish this, FTA funds projects to support research and development, demonstration, deployments of various technologies and operational models for transit; a national cooperative research program, a national training institute, national technical assistance centers, and transit workforce development programs. The Public Transportation Innovation program (49 U.S.C. 5312) supports research, development, demonstration, deployment, and evaluation projects. Research projects in this area are not generally undertaken by the private sector including studies on transit policy issues, operational efficiency, and travel behavior. These projects will promote the use of clean energy and air quality improvement. The program also funds long-term, high-risk, high-potential payoff investigations of new technologies, which is critical to developing a public transportation system that is efficient, attractive, and reliable. The majority of the funds (75 percent) appropriated under 49 U.S.C 5338 to support this section, must be used for a discretionary Low and No Emission deployment program. The Transit Cooperative Research Program (TCRP) (49 U.S.C 5312) funds shorter-term research projects that address immediate operational issues facing the transit industry. These projects are designed to identify immediate improvements in transit safety, equipment and system design, system operations, and the economic development impact of transit investments, among other topic areas. These funds are typically awarded to the National Academies of Science which has managed the TCRP since its inception in 1992. The Technical Assistance and Standards (49 U.S.C. 5314) funds support provide resources and direct assistance to support compliance with the Americans with Disabilities Act (ADA), human services transportation coordination requirements, the transportation needs of elderly individuals, increased transit ridership, transportation equity needs, and any other technical assistance activities deemed necessary by FTA, such as improvements in safety and asset management. The Human Resources and Training program (49 U.S.C 5314) awards grants to transit agencies and other entities for workforce development programs and projects.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Dissemination of Technical Information, Project Grants, Project Grants (Contracts), Training

USES AND USE RESTRICTIONS:

See Objectives. There are multiple assistance opportunities within this assistance listing. Generally, the program supports research not undertaken by the private sector including studies on transit policy issues, operational efficiency, and travel behavior. Funding will provide for training and educational programs which may include courses in recent developments, techniques, and procedures related to intermodal and public transportation planning; management; environmental factors; acquisition and joint use rights-of-way; engineering and architectural design; procurement strategies for public transportation systems; new technologies; emission reduction technologies; way to make public transportation accessible to individuals with disabilities; construction, construction management, insurance, and risk management; maintenance; contract administration; inspection; innovative finance; workplace safety; and public transportation security. The program will also fund innovative workforce development activities in areas with special emphasis on targeting areas with high unemployment; provide advanced training related to maintenance of alternative energy efficient or zero emission vehicle; and address current or projected workforce shortages in areas that require technical expertise. In addition, it will provide for the development of voluntary and consensus-based standards and best practices by the public transportation industry, including standards and best practices for safety, fare collection, Intelligent Transportation Systems, accessibility, procurement, security, asset management to maintain a state of good repair, operations, maintenance, vehicle propulsion, communications, and vehicle electronics.

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; Public

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nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Other private institutions/organizations; Applicants may include State and local DOTs, nonprofit institutions, universities, and legally constituted public agencies and operators of public transportation services, and private for-profit organizations. Also, urban and rural transit agencies, Indian Tribes, public four-year degree-granting institutions of higher education as defined in section 101(a) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)) in order to carry out the duties of the National Transit Institute.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; N/A

Credentials/Documentation:

FTA will specify the credentials and documentation through application instructions from program managers and the individual notices of funding opportunity published to support the various sections and program purposes. Applicants must provide proof or establish a copy of their negotiated approved indirect cost rate agreement from their cognizant agency prior to or along with their application for assistance. At a minimum, the initial proposal should include the standard form required for use as a cover sheet for submission of pre-applications and applications (Instructions for Application for Federal Assistance (SF-424) Form, project objectives, background, and project description, including schedule, budget, a data management plan, and applicants organizational experience. Approved applicants must do a resolution by an authorized body approving the filing of an application; and complete certifications and assurances as compiled in FTAs Annual List of Certifications and Assurances. Legal opinion and compliance with labor requirements are required later. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants should consult FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FTA outlines the application requirements and merit process in each grant program Notice of Funding Opportunity (NOFO) solicitation for proposals. Applicants are required to use Grants.gov to apply for funds. Accepted competitive applications are subject to evaluation. Applications are made to the Headquarters office of the Federal Transit Administration. Grant Agreement or Cooperative Agreement payments are made in a monthly web-based e-Invoicing system upon approval by the Program Manager. This program is subject to the provisions of FTA Circular 6100.1E, Research, Technical Assistance and Training Program: Application Instructions and Program Management Guidelines. FTA Circular 5010.1E, "Award Management Requirements," assist recipients in administering, managing and reporting responsibilities. Potential applicants should follow the instructions in these Circulars, which may be found at the FTA website at <https://www.transit.dot.gov/regulations-and-guidance/fta-circulars/final-circulars>.

Award Procedure:

FTA's method of entering into an Award (obligation) of Federal assistance (funds) is through: grant agreements, cooperative agreements, interagency agreements, and/or use contracts as a procurement mechanism (to purchase services for the direct benefit or use of the Federal Government). Grants and cooperative agreements are awarded electronically using FTA's online systems the Transit Award Management System (TrAMS). To access this web-based system, a user name and password are needed and can be obtained by contacting the appropriate FTA staff. The Federal Transit Administrator makes the final decision to approve a project. To access funds, the recipient must execute the Agreement and be set-up in FTA's e-Invoicing financial system. Additionally, project awardees must comply with the DOT public access plan. Awardees must develop a Data Management Plan that will identify whether and how they will provide for the long-term

preservation of, and access to, Digital Data Sets associated with their research activities; the plan must be in place prior to receiving an award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Date range varies.

Appeals:

Not Applicable.

Renewals:

Other. This assistance listing is renewed annually. Recipients are required to update their statement of work for projects of an ongoing nature and submit a current year budget. Additional time to complete a project by amendment may be requested.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The local share requirements for Section 5312(a) research, development, demonstration, and deployment projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project. The local share requirements for the Section 5312(b) research projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project. The local share requirements for the Section 5312(c) innovation and development projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project. The local share requirements for the Section 5312(d)(1) (4) demonstration, deployment, and evaluation projects is at least 20% of the project cost unless increased by a clear and direct financial benefit to an entity participating in the project. There is no local share requirement for the Transit Cooperative Research program. The local share requirements for Section 5314(a) voluntary consensus based standards projects is at least 20% of the project cost. The local share requirements for the Section 5314(a) technical assistance to support transportation for seniors and individuals with disabilities projects is at least 20% of the project costs. The local share requirements for the Section 5314(b) Human Resources projects is 50% of the project costs. The local share requirements for the Section 5314(b) Innovative Workforce Development projects is 50% of the project costs. There is no local share requirement for the Section 5314(c) National Transit Institute program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time varies with the complexity of the project. FTA projects are programmatically approved for support in their entirety (generally for up to 5 years) but assistance is awarded in annual increments called budget periods. Terms and conditions are agreed on between FTA and the applicant. Funds are available until expended. Method of awarding/releasing assistance: other

Reports:

Reporting requirements may vary depending on the size of the recipient, the type and amount of Federal assistance a recipient receives, or the Federal interest in the project. Unless otherwise permitted in writing, quarterly reporting is required. Major reporting requirements include the following: Federal Financial Report (FFR). A recipient must submit a quarterly FFR for each active cooperative agreement, grant agreement, or other agreement it has executed. This includes Federal Financial Forms, SF-425 and SF-270. Milestone Progress Reports (MPR). A recipient must submit a quarterly MPR for each active project. The MPR is the primary written communication between the recipient and FTA outlining accomplishments to date. For research projects funded through Section 5312 only, a Data Management Plan is required that identifies the data expected, and how to provide the long-term preservation of, and access to, Digital Data Sets associated with the research activities; Final Report. All recipients must submit a final report. Recipients must submit a final narrative progress report detailing the work undertaken to complete each task. Final Technical Report. At the conclusion of a project, a recipient must submit a final technical report documenting project performance and the final results, outcomes, and impacts of research, development, demonstration, deployment, or technical evaluation projects, including an executive summary. FTA guidance is noted in FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions and Program Management Guidelines" and FTA Circular 5010.1E, "Award Management

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements." Federal Financial Report (FFR). A recipient must submit a quarterly FFR for each active project it has executed. The FFR must be prepared on the accrual basis of accounting. The FFR accompanies the MPR and is used to monitor Federal award assistance. Milestone Progress Reports (MPR). A recipient must submit quarterly a written narrative progress report showing the status and describing the progress of activities for each active Award (Assistance Agreement). Also, a Data Management Plan must accompany the written progress report, if applicable. Expenditure reporting forms are the instruments that collect data regarding expenditures and recipients for the assistance agreements awarded. A recipient must submit quarterly to the Program Manager the OMB financial reporting standard forms SF-245 and SF-270 for each active Award (Assistance Agreement) it has executed. Milestone Progress Reports (MPR). A recipient must submit a quarterly MPR for each active project. The MPR is the primary written communication between the recipient and FTA outlining accomplishments to date which should include tasks accomplished along with outputs, outcomes, and impacts of the work relative to the goals of the program. Recipients are encouraged to highlight major accomplishments that represent a significant result or finding that should be promptly shared. Final Technical Report. At the conclusion of a project, a recipient must submit a final technical report documenting project performance and the final results, outcomes, and impacts of research, development, demonstration, deployment, or technical evaluation projects, including an executive summary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients shall maintain for three (3) years from the date of submission of the final expenditure report, pending resolution of audit findings, adequate books, all financial records and, supporting documents, statistical records, and all other records pertinent to the Award (Assistance Agreement).

Account Identification:

69-1137-0-1-401.

Obligations:

Project Grants (Contracts) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

None established.

TAFS Codes:

69-1137.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Data is available under assistance listings 20.530 and 20.531.
Fiscal Year 2021: Data is available under assistance listings 20.530 and 20.531.
Fiscal Year 2022: Data is available under assistance listings 20.530 and 20.531.

REGULATIONS, GUIDELINES, AND LITERATURE:

Most Federal transit laws are codified at Title 49, United States Code, Chapter 53 (49 U.S.C. chapter 53). Authorizing legislation is substantive legislation enacted by Congress that establishes or continues the legal operation of a Federal program or agency. FTAs most recent authorizing legislation is the Fixing America's Surface Transportation Act (FAST Act), Public Law 114-94, signed into law on December 4, 2015 and effective on October 1, 2015; FTA Fiscal Year Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; FTA Circular 6100.1E for Research Projects; FTA Circular 4220.1F for Third-Party Contracting Guidance; and FTA Circular 5010.1E for Awards Management Requirements. In certain circumstances and in other fiscal years, contact the FTA Office of Research, Demonstration and Innovation is necessary. Also, consult the FTA website at www.transit.dot.gov. Other information will be made available upon request.

Regional or Local Office:

None.

Headquarters Office:

Adrienne Malasky,
1200 New Jersey Ave. S.E.,
Washington DC 20590

Email: adrienne.malasky@dot.gov

Phone: (202) 366-5496

Fax:

Website Address:

<http://www.transit.dot.gov/research>

RELATED PROGRAMS:

20.530 Public Transportation Innovation; 20.531 Technical Assistance and Workforce Development;

EXAMPLES OF FUNDED PROJECTS:

2020 For data, see assistance listing 20.530 - Public Transportation Innovation, and 20.531 - Technical Assistance and Workforce Development. 2021 For data, see assistance listing 20.530 - Public Transportation Innovation, and 20.531 - Technical Assistance and Workforce Development. 2022 For data, see assistance listing 20.530 Public Transportation Innovation, and 20.531 Technical Assistance and Workforce Development.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria may vary depending upon the type of program being selected. Competitive selection information is contained in the Federal Register Notices or published Notices of Funding Opportunity (NOFO). Directed agreement criteria are established by program managers and noted in application instructions.

20.516 Job Access and Reverse Commute Program

(JARC),

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Safe, Accountable, Flexible, Efficient & Transportation Equity Act: A Legacy for users (SAFETEA-LU), Title 49, Part 53, Section 5316, Public Law 109-59, 49 USC Code 5317,5307, 5311.

OBJECTIVES:

This program continues to be repealed. On October 1, 2013, the Job Access and Reverse Commute (JARC) Program was repealed by Congress under the Moving Ahead for Progress in the 21st Century Act (MAP-21). The JARC Program provided grants to local governments, nonprofit organizations, and designated recipients of Federal transit funding to develop transportation services to connect welfare recipients and low-income persons to employment and support services. Job Access grants finance planning, capital and operating cost of projects. The Reverse Commute grants assist in funding the costs associated with adding reverse commute bus, train, carpool or service from urban areas, urban, rural and other suburban locations to suburban work places. Projects meeting these objectives are now eligible for funding under Section 5307 and 5311.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Although repealed, the funds were used to provide funding for local programs that offer job access and reverse commute services that provided transportation for low income individuals who lived in the city core and worked in suburban locations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; The JARC program was repealed by MAP-21. When active, State and local government agencies, nonprofit agencies, and transit providers were eligible applicants for this funding assistance.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization;

ASSISTANCE LISTINGS DESCRIPTIONS

State; Local; Public nonprofit institution/organization; The JARC program provided funding to support projects designed to transport welfare recipients and eligible low-income individuals to and from employment activities and employment related activities and to transport residents of urbanized areas and non-urbanized areas to suburban employment opportunities.

Credentials/Documentation:

The applications submitted were derived from a locally developed, coordinated public transit-human services transportation plan and developed through a process that included representatives of public, private and nonprofit transportation and human services providers and participation by the public. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants had to coordinate with: the State or local agencies that administer the State program funded under part A of Title IV of the Social Security Act, public housing agencies, the community to be served, and other stakeholders.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding for this program was repealed under MAP-21 and no new funding has been allocated. However, when active, the project had to be a product of the local coordinated public transit human services coordinated plan, competitively selected by the area's recipient, and included in the State Transportation Improvement Program prior to grant award. Recipients submitted applications electronically to the appropriate FTA regional office. The FTA regional offices are listed in Appendix IV of the Catalog. Recipients would distribute funding directly to the subrecipients. After the recipient had competitively selected projects to be funded, the recipient officially requested that FTA allow subrecipients that were eligible to apply directly to FTA for Section 5307 funds be allowed to apply directly to FTA for JARC funds awarded. Notification of the grant program were located at <http://www.grants.gov>.

Award Procedure:

An FTA grant award obligating Federal funds was reflected in a grant agreement. To access funds, the recipient had to execute the grant agreement. Of the total JARC funds that were available, FTA apportioned 60 percent among designated recipients in large urbanized areas; 20 percent to the states for small urbanized areas; and 20 percent to the states for rural and small urban areas under 50,000 in population. Section 5316 funds were apportioned among the recipients by a formula which is based on the ratio that the number of eligible low-income and welfare recipients in each such area bears to the number of eligible low-income and welfare recipients in all such areas.

Deadlines:

This assistance listing was repealed by MAP-21 and no new funding has been allocated. Job Access and Reverse Commute activities are eligible for funding under FTA's Urbanized Area Formula Grants (Section 5307) and the Formula Grants for Rural Areas (Section 5311) programs.

Range of Approval/Disapproval Time:

Other. In the past Fiscal years when active, FTA approved JARC grants on an average of 42 days following submission of a complete application.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 80%. Requirement: Matching requirements are voluntary. The Federal share of eligible capital and planning costs could not exceed 80 percent of the net cost of the activity. The Federal share of the eligible operating cost is 50 percent. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Although now repealed, the period was three years from the time of allocation.

Method of awarding/releasing assistance: letter

Reports:

(1) Progress reports; (2) Federal Financial Reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes were also required. SF - 425 (1) Progress reports; (2) Federal Financial Reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes were also required. SF - 425 (1) Progress reports; (2) Federal Financial Reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes were also required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR 200, Subpart F audit reports were required if the total federal funds from all sources exceeded \$750,000.

Records:

Recipient were required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1125-0-1-401, 69-8350-0-7-401.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The range was \$10,890 to \$1,293,611. The average: \$180,588

TAFS Codes:

69-8350, 69-1125.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Although the program has been repealed, a number of grants remain open and are continuing to provide transit service providing connections to jobs for low-income populations.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9050.1, Job Access and Reverse Commute Program Guidelines and Application Instructions, updated May 1, 2007.

Regional or Local Office:

None. This Assistance Listing has been repealed. If you require historical information see Catalog Appendix for listing of Federal Transit Administration regional offices.

Headquarters Office:

Elan Flippin,
1200 New Jersey Avenue, SE, East Building-Fourth Floor,
Washington DC 20590
Email: elan.flippin@dot.gov
Phone: (202) 366-3800

Website Address:

<https://www.transit.dot.gov/funding/grants/grant-programs/job-access-and-reverse-commute-program-5316>

RELATED PROGRAMS:

20.509 Formula Grants for Other Than Urbanized Areas; 20.505 Federal Transit_Metropolitan Planning Grants; 20.507 Federal Transit_Formula Grants; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

49 U.S.C. 5316(f) of SAFETEA-LU states factors that had to be fair and equitable.

ASSISTANCE LISTINGS DESCRIPTIONS

Proposals had to also meet legal and administrative requirements, available on the FTA web site at <http://www.fta.dot.gov>. Projects were derived from a locally developed, coordinated public transit-human services transportation plan. Designated recipients of JARC funding conducted a competitive selection process which were described in a State Management Plan or Program Management Plan.

20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-059., , Public Law 109-059

OBJECTIVES:

To make funds available to private operators of over-the-road buses to finance the incremental capital and training costs of complying with requirements of the Department of Transportation's Over-the-Road Bus (OTRB) Accessibility regulation, "Transportation for Individuals with Disabilities" (49 CFR Part 37, Subpart H). The program was repealed under the Moving Ahead for Progress in the 21st Century Act (MAP-21).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Program funds were used to finance the incremental capital and training costs of complying with DOT's Over-the-Road Bus Accessibility regulation. Capital projects eligible for funding included adding wheelchair lifts and other accessibility components to new vehicle purchases, and purchasing lifts to retrofit existing vehicles. Eligible training costs included training in proper operation and maintenance of equipment, boarding assistance, and securement, handling and storage of mobility devices, and sensitivity training.

Applicant Eligibility:

Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private operators of over-the-road buses that provide intercity fixed route bus service and other providers, including operators of over-the-road buses of local fixed-route service, commuter service and charter or tour service.

Beneficiary Eligibility:

Profit organization; Disabled (e.g. Deaf, Blind, Physically Disabled); Public nonprofit institution/organization; Persons with disabilities that use mass transit.

Credentials/Documentation:

This program was repealed under MAP-21. There is no new funding for this program and therefore no new applications are being accepted. Costs were determined in accordance with program guidance in an annual Federal Register Notice soliciting applications. This program is excluded from coverage under OMB Circular No. A-87, 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program was repealed under MAP-21. No new funding is available for this program. However, when active, FTA conducted national solicitations for applications annually. Grant awards were made on a competitive basis. Applications were submitted through Grants.Gov.

Award Procedure:

The announcement of awards were published in a Federal Register Notice. Successful applicants filed a grant application with FTA. An FTA grant award

obligating federal funds was reflected in a grant agreement. To access funds, the recipient executed the grant agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Project selections were announced approximately six months following the application deadline for competitive consideration.

Appeals:

Not Applicable. Not applicable.

Renewals:

Not Applicable. Not applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. Federal transit funds were available to intercity fixed-route providers and other OTRB providers at up to 90 percent of the project cost. A 10 percent match was required. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds were available for obligation to grantees for 3 fiscal years. Any funds not obligated by FTA by the end of this period were added to the succeeding year's program allocation. Method of awarding/releasing assistance: other

Reports:

Final Milestone Progress Report and Federal Financial Report were both required upon closing the grant, and annual reports for grants remaining open are required at the end of each Federal fiscal year. Documentation was required for payment.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program was excluded from coverage under OMB Circular 2CFR 200. As requested by FTA.

Records:

Recipient was required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1129-0-1-401, 69-8350-0-7-401.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Prior to being repealed the range was \$25,000 to \$ 180,000. Average: \$25,000.

TAFS Codes:

69-8350, 69-1129.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None. However, when active Federal Register Notices were published annually.

Regional or Local Office:

See Regional Assistance Locations. You can contact an FTA Regional Office with information from this link. <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

Federal Transit Administration, Office of Program Management Elan Flippin, 1200 New Jersey Avenue, S.E.,

Washington DC 20590

Email: elan.flippin@dot.gov

Phone: (202) 366-3800

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Guidance contained in Federal Register Notice was published annually.

20.519 Clean Fuels

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation Act, , Public Law 109-59, 49 USC Code 5308.

OBJECTIVES:

To assist in financing the acquisition of clean fuel vehicles and related facilities for agencies providing public transportation and operating in an urbanized and non-urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide. The Clean Fuels Grant program was repealed in 2013 under the Moving Ahead for Progress in the 21st Century Act (MAP-21), and FTA announced the final project selection of competitive project awards on October 2, 2012.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Although the Clean Fuels Program has been repealed, past eligible projects included the purchase or lease of clean fuel vehicles, the construction or lease of clean fuel vehicles or electrical recharging facilities and related equipment for such vehicles, and construction or improvement of public transportation facilities to accommodate clean fuel vehicles. A clean fuel vehicle is a passenger vehicle used to provide public transportation that is powered by compressed natural gas, liquefied natural gas, biodiesel fuels, batteries, alcohol-based fuels, hybrid electric systems, fuel cells, clean diesel (to the extent allowed by law), or other low or zero emissions technology. Vehicles that employ lightweight composite primary structure may also be eligible. Funds can be used for capital projects only.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law could apply. Applicants had legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance. Applicant also operated in an urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide. If the urbanized area was less than 200,000 in population, the State in which the area is located acted as the recipient.

Beneficiary Eligibility:

State; Local; The general public, both users and non-users of public transportation.

Credentials/Documentation:

The Clean Fuels Grant program was repealed in 2013 under the Moving Ahead for Progress in the 21st Century Act (MAP-21), and FTA announced the final project selection of competitive project awards on October 2, 2012. Therefore there are no applications being accepted. However when active, resolution by an authorized public body approved the filing for an application; projects were included in an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA.

Information provided labor agreements and relocation planning; environmental impact; legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O.

12372. An environmental impact assessment was required for construction projects.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program was repealed under MAP-21. No new funding is available, therefore there are no application procedures. However, when active a Notice of Funding Availability was published. The notice provides the criteria by which the eligible projects were evaluated for selection and the Administrator's determination of the net Federal share for projects funded under this Part.

Award Procedure:

An FTA grant award obligating federal funds was reflected in a grant agreement. Grants were awarded electronically using FTA's e electronic grants management system.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. It was a goal of the Federal Transit Administration to obligate grants within an average of 36 days of the submission of a complete grant application.

Appeals:

Not Applicable.

Renewals:

Other. Funds are no longer appropriated annually. The program has been repealed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The federal share was not to exceed 90 percent of the net cost to comply with or maintain compliance with the Clean Air Act. FTA has administratively determined the composite federal share for vehicles and vehicle related equipment or facilities to be 83 percent. The 90 percent share applies to the actual incremental costs of improvements for compliance with the Clean Air Act. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Terms and conditions were delineated in the grant agreement. Method of awarding/releasing assistance: other

Reports:

FTA retains the semiannual reporting requirements in Section 624.11, which requires grantees receiving program funds for hybrid electric, battery electric, and fuel cell vehicles to provide information on the operations, performance, and maintenance of those vehicles purchased or leased with program funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Non-federal entities that expend less than \$750,000 federal awards were exempt from federal audit requirements for that year in which certain exceptions as determined by OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient was required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401. 69-1137-0-1-401.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The approx. range when active was \$69,720 - \$5,000,000. Average approx. \$2,165,596

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

69-1137, 69-8350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information.

<https://www.transit.dot.gov/about/regional-offices/regional-offices>

Regional or Local Office:

See Regional Assistance Locations. <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

Department of Transportation, Federal Transit Administration Vanessa Williams, 1200 New Jersey Avenue, SE.,

Washington DC 20590

Email: vanessa.williams@dot.gov

Phone: (202) 366-4818

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.505 Federal Transit_Metropolitan Planning Grants; 20.526 Bus and Bus Facilities Formula Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria was specified in past Federal Register notices.

20.520 Paul S. Sarbanes Transit in the Parks

Transit in the Parks,

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Section 3021 of the Safe, Accountable, Flexible, Efficient Transportation Equity ActA Legacy for Users (SAFETEA-LU) enacted August 10, 2005 [Public Law 109-59], established the Alternative Transportation in Parks and Public Lands program. The name of the program was changed to the Paul S. Sarbanes Transit in Parks under the SAFETEA-LU Technical Corrections Act, 2008. The Transit in Parks Program was repealed by Congress under MAP-21. Alternative transportation projects formerly eligible under Transit in Parks are now eligible under the Federal Highway Administrations Federal Lands Transportation Program and the Federal Lands Access Program., , 49 USC Code 5320.

OBJECTIVES:

The Paul S. Sarbanes Transit in Parks Program was established to address the challenge of increasing vehicle congestion in and around our national parks and other federal lands The Transit in Parks Program was repealed by Congress under MAP-21, and FTA announced the final selection of competitive project awards on February 18, 2013. Alternative transportation projects formerly eligible under Transit in Parks are now eligible under the Federal Highway Administrations Federal Lands Transportation Program and the Federal Lands Access Program.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

A qualified project was a planning or capital project in or in the vicinity of a Federally-owned or managed park, refuge, or recreational area that is open to the general public and meets the goals of the program. Project evaluation was based on the considerations listed in the law and explained in section 180 of this notice. The following types of projects are eligible: (1) Planning Projects: Activities to comply with metropolitan and statewide planning provisions (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5303, 5304, and 5305). These activities include planning

studies for an alternative transportation system, including evaluation of no-build and all other reasonable alternatives, traffic studies, visitor utilization studies, transportation analysis, feasibility studies, and environmental studies.(2) Capital projects for acquiring, constructing, supervising, or inspecting equipment or a facility for use in public transportation, expenses incidental to the acquisition or construction (including designing, engineering, location surveying, mapping, and acquiring rights-of-way), payments for the capital portions of rail trackage rights agreements, transit-related intelligent transportation systems, relocation assistance, acquiring replacement housing sites, and acquiring, constructing, relocating, and rehabilitating replacement housing; (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5302(a)(1)(A).)(3) Fixed Guideway and Bus Projects: (i) New fixed guideway capital projects including the acquisition of real property, the initial acquisition of rolling stock for the systems, the acquisition of rights-of-way, and relocation, for fixed guideway corridor development for projects in the advanced stages of alternatives analysis or preliminary engineering; (ii) Capital projects to modernize existing fixed guideway systems; (iii) Capital projects to replace, rehabilitate, and purchase buses and related equipment and to construct bus-related facilities, including programs of bus and bus-related projects for assistance to subrecipients that are public agencies, private companies engaged in public transportation, or private non-profit organizations; and (iv) The development of corridors to support new fixed guideway capital projects, including protecting rights-of-way through acquisition, construction of dedicated bus and high occupancy vehicle lanes and park and ride lots, and other nonvehicular capital improvements that the Secretary may decide would result in increased public transportation usage in the corridor. (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5309(b).)(4) Purchase of rolling stock that incorporates clean fuel technology or the replacement of buses of a type in use on August 10, 2005, with clean fuel vehicles; (5) The deployment of alternative transportation vehicles that introduce innovative technologies or methods; (6) The capital costs of coordinating Federal land management agency public transportation systems with other public transportation systems;(7) Non-motorized transportation systems (including the provision of facilities for pedestrians, bicycles and non-motorized watercraft); and (8) Any other alternative transportation project that: - Enhances the environment; - Prevents or mitigates an adverse impact on a natural resource; - Improves Federal land management agency resource management; & #61589; & #61472;Improves visitor mobility and accessibility and the visitor experience; - Reduces congestion and pollution (including noise pollution and visual pollution); or - Conserves a natural, historical, or cultural resource (excluding rehabilitation or restoration of a nontransportation facility).

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State; Eligible applicants are:(1) The following Federal land management agencies: The National Park Service, the Fish and Wildlife Service, the Bureau of Land management, the Forest Service, and the Bureau of Reclamation; and (2) State, tribal and local governments with jurisdiction over land in the vicinity of an eligible area acting with the consent of a Federal land management agency, alone or in partnership with a Federal land management agency or other governmental or non-governmental participant.

Beneficiary Eligibility:

Federal; State; Local; The program has been repealed however when active the end user was the public and beneficiary of the new alternatives in transportation in each park.

Credentials/Documentation:

Any project sponsor must be acting with the consent of a Federal land management agency as documented by the provision of a letter of support from the relevant federal land unit accompanying the project proposal. Any project proposed must be consistent with the metropolitan and statewide planning and public participation requirements found in 49 U.S.C 5303, 5304, and 5307(d). If the project is a capital project in contrast with a planning project, the capital project must be in the metropolitan Transportation Improvement Program (TIP) or State Transportation Improvement Program (STIP), or, if selected, will not receive funds until it is incorporated into the TIP or STIP. Projects also must be consistent with Federal land management agency plans. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The program allows the Department of Transportation to spend up to 10 percent of program funds to carry out planning, research and technical assistance activities. Funds allocated to technical assistance will be used to assist program participants in planning, implementing, and evaluating alternative transportation projects. In addition, the Federal Transit Administration will provide planning guidance and will disseminate research findings.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In previous fiscal years, proposals were submitted to FTA online via the GRANTS.GOV website.

Award Procedure:

Proposals were collected by the FTA and uploaded into a structured evaluation system and evaluated by an interagency team which includes representatives from FTA, each of the Federal land management agencies, and the Department of the Interior. When this program was funded the projects were evaluated on the basis of criteria in the law and further explained in Section 180 under Criteria for Selecting Proposals, the team provided a recommendation to the Secretary of the Interior. The Secretary of the Interior, after consultation with and in cooperation with the Secretary of Transportation, determined the final selection and amount of funding for each project. The Department of the Interior notified each Federal land management agency of projects awarded for sites under the agency's jurisdiction. FTA published the list of all selected projects and funding levels in the Federal Register.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. The Federal share may equal up to 100% of project capital or planning costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding was provided on an annual basis. Funds that were awarded do not have an established date of expiration. Method of awarding/releasing assistance: other

Reports:

Requirements regarding reports to be prepared by the program recipient have been published in the Federal Register in the announcement of program awards. Generally, this entails the submission of quarterly reports to the FTA containing a narrative description of the project and a discussion of all budget and schedule changes. In addition, annual reports were required that must include: 1. Annual visitation to the land unit, 2. Annual number of persons who use the alternative transportation system, 3. An estimate of the number of vehicle trips mitigated based on alternative transportation system usage and the typical number of passengers per vehicle, 4. Cost per passenger, and 5. A note of any special services offered for those systems with higher costs per passenger but more amenities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient was required to retain intact, for three years following submission of the

final expenditure report, all contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-1-407.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

This program was repealed under MAP-21. The range varied based on the project. No one project could receive more than 25 percent of funds.

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance was included with each Federal Register notice that announced project selections.

Regional or Local Office:

See Regional Assistance Locations. Although the program is no longer funded, you can contact FTA's Regional Offices at this link for other programs that may be similar <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

Federal Transit Administration Vanessa Williams,
1200 New Jersey Avenue, SE,
Washington DC 20590
Email: Vanessa.Williams@dot.gov
Phone: 202-366-4818

Website Address:

<https://www.transit.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Consistent with the considerations identified in Title 49, Chapter 53 at Section 5320(g)(2), evaluation of proposals were based on the following criteria: - 736-1472; Demonstration of need * Documentation of problem in plans and other reports* Severity of problem- Protection of natural and cultural resources* Protection or improvement of cultural, historical, scenic, and natural resources* Environmental benefits reduction of pollution (including air pollution, noise pollution, and visual pollution)- 1472; Operational efficiency and financial sustainability* Evaluation of costs and benefits of all reasonable alternatives* Financial planning (including for capital, operations, maintenance, and equipment replacement expenses; and revenues, including user fees)* Cost effectiveness* Innovative financing or joint development strategies* Deferred maintenance issues- Public benefits* Enhancing visitor experience* Mobility issues (reduces congestion, improves intermodal connectivity, improves public access, including access for persons with disabilities)* Safety* Partnership with public and private entities, and benefits to gateway communities.- Additional consideration will be given to projects based upon geographic diversity, balance between urban and rural projects, and balance in size of projects. Finally, projects that demonstrate innovative funding mechanisms or partnerships will be given extra consideration. The proposal template contains specific questions related to each of these criteria to guide the applicant in justifying the project.

20.521 New Freedom Program

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Program was repealed under MAP-21 and Safe, Accountable, Efficient, Flexible Transportation Equity Act: A legacy for Users (SAFETEA-LU), , 49 USC Code 5310.

OBJECTIVES:

The New Freedom program (Section 5317) provided grants for new capital and operating projects aimed at reducing, beyond the requirements of the Americans with Disabilities Act of 1990, transportation barriers faced by individuals with disabilities to expand mobility through transportation, including transportation to and from jobs and employment support services. The New Freedom Program was repealed under MAP-21; however, New Freedom type projects are eligible for funding under the new Section 5310 Program (Enhanced Mobility of Seniors and Individuals with Disabilities Program Circular FTA C 9070.1G).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

To be eligible, a project had to either be a new public transportation service or a public transportation alternative beyond those required by the Americans with Disabilities Act (ADA) and the project must assist individuals with disabilities with transportation, including transportation to and from jobs and employment support services. Capital and operating expenses for projects that meet the purpose of the statute are eligible to be funded. The recipient may use up to 10 percent of apportioned funds for administration, planning, and technical assistance.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The chief executive officer of each State or an official designee must designate a public entity to be the recipient for New Freedom funds. In urbanized areas with populations less than 200,000 and in non-urbanized areas, the State is the designated recipient. For these areas, the chief executive officer of a State designates a State agency responsible for administering the New Freedom Program, and officially notifies the appropriate Federal Transit Administration (FTA) regional office in writing of that designation. The chief executive officer of a State may designate the State agency that receives Other Than Urbanized Area (Non-urbanized Area, Section 5311) and/or the Elderly Individuals and Individuals with Disabilities (Section 5310) Program funds to be the New Freedom recipient, or the chief executive officer of a State may designate a different agency. In urbanized areas over 200,000 in population, the recipient charged with administering the New Freedom Program must be officially designated through a process consistent with 49 U.S.C. 5307(a)(2): an entity designated in accordance with the planning process under Sections 5303, 5304, and 5306, by the chief executive officer of a State, responsible local officials, and publicly owned operators of public transportation, to receive and apportion amounts under Section 5336 that are attributable to transportation management areas identified under Section 5303.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Individuals with Disabilities.

Credentials/Documentation:

Compliance with FTA's Annual list of Certifications and Assurances was required. Eligible costs were in accordance with 2CFR200. The New Freedom program provided grants for new capital and operating projects aimed at reducing, beyond the requirements of the Americans with Disabilities Act of 1990, transportation barriers faced by individuals with disabilities to assist with transportation, including transportation to and from jobs and employment support services. The New Freedom Program was repealed under MAP-21; however, New Freedom projects are eligible for funding under the new Section 5310 Program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular 2 CFR 200. The project must be a product of the local coordinated public transit human services coordinated plan,

competitively selected by the areas recipient, and included in the State Transportation Improvement Program (STIP) prior to grant award. Recipients will submit applications electronically to the appropriate Federal Transit Administration (FTA) regional office. The FTA regional offices are listed in Appendix IV of the Catalog or visit <http://www.fta.dot.gov/12926.html>. Recipients will then distribute funding directly to the subrecipients. Notification of the grant program may also be found at <http://www.grants.gov>.

Award Procedure:

The New Freedom Program has been repealed. No funding will be appropriated in FY 2014 or beyond. For previously awarded FTA New Freedom grants, awards and funds are obligated in an electronic grant agreement. The recipient must execute the grant agreement, and access FTA's electronic funds management system; the funds management system allows the grantee to drawdown funds after invoices are received. The grantee directs funds to subrecipients.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. It is a goal of the Federal Transit Administration to obligate grants within 36 days of the submission of a complete grant application.

Appeals:

Not Applicable.

Renewals:

Other. Prior to the New Freedom Program being repealed, funds were appropriated annually. Grants may be amended to add project continuations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. New Freedom funds may be used to finance capital and operating expenses. The Federal share of eligible capital and planning costs may not exceed 80 percent of the net cost of the activity. The Federal share of the eligible operating costs may not exceed 50 percent of the net operating costs of the activity. Recipients may use up to 10 percent of their apportionment to support program administrative costs including administration, planning, and technical assistance, which may be funded at 100 percent Federal share. The local share of eligible capital and planning costs shall be no less than 20 percent of the net cost of the activity, and the local share for eligible operating costs shall be no less than 50 percent of the net operating costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time New Freedom Program funds are available is three years from year of allocation. Terms and conditions are delineated in the grant agreement. Funds are awarded using an electronic financial management system as funds are expended and invoices received. Method of awarding/releasing assistance: other

Reports:

Annual Program of Projects Status Reports, Milestone Activity Reports. Program Measures. Financial Status Report. And, a Disadvantaged Business Enterprise (DBE) Reports is required if the recipient receives planning, capital, and/or operating assistance and will award prime contracts exceeding \$250,000 in FTA funds in a fiscal year, SF-425 Program measures have to be reported.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Pursuant to requirements established by the Office of Management and Budget (OMB) and 2 CFR 200, nonfederal entities that expend \$1,000,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as determined by the OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract

ASSISTANCE LISTINGS DESCRIPTIONS

documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Project funding varied based on competitive selection process at State or urbanized area level. Urbanized area apportionments range from \$111 to \$2.2 M. for an average of \$150K. State apportionments for small urbanized areas range from \$644 to \$1.5 M for an average of \$115K, and for rural areas from \$459 to \$701K for an average of 160K.

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

1.) Federal Transit Administration Transit Program Changes, Authorized Funding Levels and Implementation of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users, 70 Fed. Reg. 71950, 71968 (Nov. 30, 2005). 2) FTA Circular 9045.1, New Freedom Program Guidelines and Application Instructions, enacted May 1, 2008.

Regional or Local Office:

See Regional Assistance Locations. <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

FTA Office of Program Management Rural and Targeted Programs Div Destiny Buchanan,

1200 New Jersey Ave., S.E,

Washington DC 20590

Email: destiny.buchanan@dot.gov

Phone: 202-493-8018

Website Address:

No Data Available.

RELATED PROGRAMS:

20.513 Enhanced Mobility of Seniors and Individuals with Disabilities ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Per Congressional designation, project must be new. For the purpose of the New Freedom Program, new service is any service or activity that was not operational on August 10, 2005, and did not have an identified funding source as of August 10, 2005, as evidenced by inclusion in the Transportation Improvement Plan (TIP) or the STIP. Recipients must conduct a competition to determine which projects should be funded and publically available and transparent information in the state management plan that describes how the recipient will manage and oversee projects. The competitive process and selection criteria must be clear and transparent to the public. Recipient must also certify that all projects are derived from a locally developed, coordinated public transit-human services transportation plan developed through a process that consists of representatives of public, private, and non-profit transportation and human services providers with participation by the public.

20.522 Alternatives Analysis

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), , Statue 109-59, 49 USC Code 533. The Alternative

Analysis Program was repealed by Congress under the Moving Ahead for Progress in the 21st Century Act (MAP-21), Title , Section

OBJECTIVES:

Assisted in financing the evaluation of all reasonable modal and multimodal alternatives and general alignment options for identified transportation needs in a particular, broadly defined travel corridor.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

Funds are used to assist State and local governmental authorities in conducting alternatives analyses as part of the early planning for capital projects for new fixed guideway systems and extensions to existing fixed guideway systems. The Moving Ahead for Progress in the 21st Century (MAP-21) Act that became effective on October 1, 2012, eliminated the Alternatives Analysis Program. Some of the Alternatives Analysis studies are still active, that is, they are completing the work. However, no new funding or requirements are associated with the former program. There are 42 grants remaining open.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State; Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance. Private non-profit organizations are not directly eligible recipients.

Beneficiary Eligibility:

State; Local; The general public, both users and non-users of public transportation and public agencies. Private consultants may participate through contractual arrangements with a public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approved the filing for an application; projects must be included in an urbanized area's Unified Planning Work Program (UPWP) and approved by FTA and FHWA. Applicant had to complete certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost should be in accordance with OMB Circular No. 2 CFR 200 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. This program was eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant consulted the office or official designated as the single point of contact in his or her State for more information on the process that the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement was required for this program. An environmental impact statement may also be required upon completion of the review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This Assistance Listing was repealed by MAP-21 and no new funding was allocated. Applications are no longer being accepted under this funding opportunity. When active, project funds were allocated by Congress for specific projects. When applicable, a Notice of Funding Availability was published to announce the availability of discretionary funds and application process. The notification and application were made available at <http://www.grants.gov>.

Award Procedure:

Project funds were allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Deadlines:

This Assistance Listing was repealed by MAP-21 and no new funding was allocated. Applications are no longer being accepted under this funding opportunity.

ASSISTANCE LISTINGS DESCRIPTIONS

Range of Approval/Disapproval Time:

Not Applicable. FTA awards more than 80 percent of grants within 60 days of receipt of a completed application, with an average processing time of 36 days or less.

Appeals:

Not Applicable. This Assistance Listing was repealed by MAP-21 and no new funding was allocated. Applications are no longer being accepted under this funding opportunity.

Renewals:

Not Applicable. Alternative Analysis program was repealed under MAP-21. This program will not receive new funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Funds for Alternatives Analysis projects are allocated based on statutory or discretionary basis. A grant may be made for up to 80 percent of total project cost. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. The grants were awarded in one lump sum or in smaller increments as specified by the grantee. Method of awarding/releasing assistance: other

Reports:

(1) Progress reports; (2) Federal Financial Report . SF-425 (1) Progress reports; (2) Federal Financial Report . SF-425 (1) Progress reports; (2) Federal Financial Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient were required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

Project Grants (for specified projects) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

In FY 2006 Congressional designations ranged from \$300,000 to \$2,500,000.

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This Assistance Listing was repealed by MAP-21 and no new funding was allocated. Applications are no longer being accepted under this funding opportunity. If you require historical information please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information. See Federal Register/ Vol.70, No. 229/ Wednesday, November 30, 2005/ Notices.

Regional or Local Office:

See Regional Assistance Locations. This Assistance Listing was repealed by MAP-21 and no new funding was allocated. Applications are no longer being accepted under this funding opportunity. If you require historical information, please contact the HQ contact below.

Headquarters Office:

FTA Office of Planning and Environment Maurice Foushee,
1200 New Jersey Ave., S.E.,
Washington DC 20590
Email: Maurice.Foushee@dot.gov

Phone: (202) 366-1636

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.505 Federal Transit_Metropolitan Planning Grants; 20.205 Highway Planning and Construction;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

When active, major, non-recurring capital investment Congressional designation.

20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA)

(PRIIA -- WMATA),

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

The grant is authorized under the Consolidated Appropriations Act of 2021. The grants for the Washington Metropolitan Area Transit Authority (WMATA) previously were allocated under the Passenger Rail Investment and Improvement Act (PRIIA), Title The Consolidated Appropriations Act of 2021, , Public Law 116-260

OBJECTIVES:

To assist in financing part of the capital and preventive maintenance projects included in the Capital Improvement Program approved by the Board of Directors of the Washington Metropolitan Area Transit Authority. The grants are used to address needed safety improvements including track repairs, train control systems, new rail cars, and escalator repairs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding provides for projects of the highest priority, that will improve the safety of the system, including but not limited to fixing the track signal system, replacing 1000 series cars, installing guarded turnouts, buying equipment for wayside worker protection, and installing rollback protection on cars that are not equipped with the safety feature. Grants shall not be used to increase the mileage of the rail system.

Applicant Eligibility:

Other public institution/organization; This program is only for the Washington Metropolitan Area Transit Authority (Public Law 116-260) for construction, renewal, and rehabilitation of transit assets.

Beneficiary Eligibility:

Other public institution/organization; WMATA, transit riders, and general public.

Credentials/Documentation:

Resolution by the Board of Directors of Washington Metropolitan Area Transit Authority (WMATA) approving the filing of an application; evidence that projects are included in the formal Capital Improvement Program approved by the Board of Directors of WMATA; information on labor and relocation; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular 2 CFR 200 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. WMATA must submit a list of eligible projects for review and approval by the Secretary of Transportation or his/her designee prior to the submittal of a formal application. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular 2 CFR Part 200 and FTA Circular 5300.1. Applications are made to Washington Metropolitan Area Transit Authority (WMATA) by regional offices of the Federal Transit Administration.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transit Award Management System (TrAMS), www.transit.dot.gov/TrAMS/. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TrAMS and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 45 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Funding is made available annually through the appropriation process. A separate grant application is executed for each fiscal year as funds are appropriated by Congress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Matching Requirements: WMATA shall provide 50 percent of the net project cost to match each Federal grant provided. The matching funds shall be provided in cash from sources other than Federal Funds or revenues from the operation of public mass transportation systems. The provisions of the federal grants are subject to the provisions of the equal annual contributions of capital funds from the District of Columbia, the Commonwealth of Virginia and the State of Maryland. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Funds are available until spent. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Milestone status reports are required. Quarterly Federal Financial Reports are required. FTA staff must review and sign off on all quarterly FFRs and MPRs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The grantee must record and maintain all financial transactions for a period of 3 years after the close of a grant. The grantee must maintain an asset inventory for the useful life of an capital acquisition .

Account Identification:

69-1128-0-1-401.

Obligations:

Project Grants FY 20 \$148,500,000.00; FY 21 est \$149,000,000.00; FY 22 est \$149,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-1128.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The funds were used for capital projects included in WMATA's FY2021 Capital Improvement Program. Projects in this grant address some of the FTA and NTSB safety recommendations and these projects meet the goals of the statute including infrastructure improvements related to rail system safety and service reliability. The grant application includes the following projects: Platform Phase II, Railcar Rehabilitation, Fire Alarm Systems Upgrades, Elevator and Escalator Rehabilitation and Replacement, Procurement of Railcars, Radio and Cellular infrastructure, Railcar safety improvements, and Automatic Train Control (ATC).

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 5010.1E for Grants Management FTA Circular 4220.1F for Third-Party Procurements

Regional or Local Office:

See Regional Assistance Locations. FTA Washington, DC Metropolitan Office 1200 New Jersey Ave. SE Washington, DC 20590 FTA Region 3 Office 1760 Market Street, Suite 500 Philadelphia, PA 19103-4124 <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

Federal Transit Administration, Department of Transportation Eric Hu, 1200 New Jersey Ave. S.E., Washington DC 20590

Email: Eric.Hu@dot.gov

Phone: (202) 366-0870

Website Address:

<https://www.transit.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The funds supports capital projects and preventive maintenance expenditures included in WMATA's FY2021 Capital Improvement Program, which includes infrastructure rehabilitation and addresses some of the FTA and NTSB safety recommendations. The following projects are partially or fully funded by the program: Platform Rehabilitation, Railcars Rehabilitations, Fire Alarm Systems Upgrades, Elevator and Escalator Rehabilitation and Replacement, Procurement of Railcars, Radio and Cellular infrastructure, Automatic Train Control (ATC), and projects that address FTA and NTSB safety recommendations.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.525 State of Good Repair Grants Program

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act, , 49 USC Code 5337.

OBJECTIVES:

To assist in financing capital projects for existing fixed guideway systems (including rail, bus rapid transit, and passenger ferries) and high intensity motorbus systems (buses operating in high-occupancy vehicle [HOV] lanes) to maintain public transportation systems in a state of good repair and to ensure public transit operates safely, efficiently, reliably, and sustainably so that communities can offer balanced transportation choices that helps to improve mobility, reduce congestion, and encourage economic development.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used to assist State and local governmental authorities in financing

ASSISTANCE LISTINGS DESCRIPTIONS

capital projects to maintain public transportation systems in a state of good repair. Eligible projects include the maintenance, replacement and rehabilitation of rolling stock, track, line equipment and structures, signals and communications, power equipment and substations, passenger stations and terminals, security equipment and systems, maintenance facilities and equipment, operational support equipment (including computer hardware and software), and development and implementation of a transit asset management plan. Preventive maintenance is eligible for funding so long as it is specifically for fixed guideway systems and High Intensity Motorbus systems.

Applicant Eligibility:

Federal; Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Government - General; State; Eligible applicants are state and local governmental authorities in urbanized areas. FTA will apportion funds to designated recipients in the urbanized areas with fixed guideway and high intensity motorbus transportation systems operating at least 7 years. The designated recipients will then allocate funds as appropriate to recipients that are state and local governmental authorities in the urbanized areas.

Beneficiary Eligibility:

Federal; U.S. Territories; Interstate; Intrastate; State; Local; Other public institution/organization; The general public, both users and non-users of public transportation. Public agencies, although private transportation companies may participate through contractual arrangements with public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's Transportation Improvement Program (TIP), in the State Transportation Improvement Program (STIP) and approved by FTA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular 2 CFR 200 for State and local governments. All recipients are required to have a transit asset management system in place by October 1, 2018 in order to be eligible for grants, and recipients must certify that the recipients comply with the rule issues under section 5326 (d) Transit Asset Management. Starting from FY 2019 (October 1, 2018), projects eligible for funding under the Section 5337 State of Good Repair Grants must be identified within the investment prioritization of a transit providers TAM plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of OMB Circular 2 CFR 200 and FTA Circular 5300. "State of Good Repair Grants Program: Guidance and Application Instructions," January 28, 2015. Applications are made to the regional offices of the Federal Transit Administration listed at <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's electronic award system, TrAMS <https://www.transit.dot.gov/funding/grantee-resources/teamtrams/transit-award-management-system-trams>In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in FTAs electronic award system and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From the date of the application submittal in FTA's Transit Award Management System (TrAMS) the approximate time of award is 15 to 30 days.

Contact Program Manager (listed at the bottom of the AL) for specific information on time frames.

Appeals:

Not Applicable.

Renewals:

Other. Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter 5337 (c) and (d), Part , Subpart ,Public Law .Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Matching Requirements: Grants shall provide minimum 20 percent of the net project cost to match each Federal grant provided. The matching funds shall be provided in cash from sources other than Federal Funds or revenues from the operation of public mass transportation systems.This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

The funds apportioned under the State of Good Repair Program remain available to recipients to be obligated in grants during the year of appropriation plus three additional years or four fiscal years in total. Method of awarding/releasing assistance: other

Reports:

(1) Milestone Progress reports; (2) Federal financial reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. Federal Financial Report, SF-425. Milestone progress reports are required quarterly. Federal Financial Report contains expenditure information. Progress report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401. 69-2812-0-1-401.

Obligations:

Formula Grants FY 20 (\$1,551,369,603.00); FY 21 est (\$1,523,967,296.00); FY 22 est (\$1,496,967,296.00); Formula Grants FY 20 \$283,486,100.00; FY 21 est \$397,000,000.00; FY 22 est \$342,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-8350. 69-2812.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The State of Good Repair program provided funds to 61 capital projects in FY 2021 as of June 14, 2021. The capital projects include maintaining, rehabilitating and replacing rail tracks, stations, facilities, and vehicles. For example, the Pacific Surfliner Corridor Rehabilitation and Service Reliability project provided \$31.8 for structures and grade crossings in Ventura County and northern Los Angeles County on infrastructure used by Amtrak intercity service, Metrolink commuter service, and BNSF freight service. Work for member agency Ventura County Transportation Commission includes track, tie, ballast, and culvert replacements, grade crossing rehabilitation, and tunnel track and structure replacements. As a result of this funding there will be reduced trip times, increased reliability, and improvements in safety by reducing need for slow orders and conflicts at grade crossings in the corridor.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 5010.IE for Grants ManagementFTA Circular 4220.IF for Third-Party ProcurementsFTA Circular 5300.I State of Good Repair Grants Program: Guidance and Application Instructions

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

See Regional Assistance Locations. FTA Regional Offices can be contacted at the locations listed here: <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

Eric Hu,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: eric.hu@dot.gov
Phone: (202) 366-0870

Website Address:

<http://www.fta.dot.gov>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 The funds are used for replacing railcars, motorbuses, and equipment, as well as maintaining and rehabilitating motorbuses, railcars, tracks, substations, facilities, and communication systems, for example, the Southern California Regional Rail Authority used the funds for preventive maintenance activities includes maintenance of Metrolink track, right-of-way, crossings, structures (such as bridges, culverts, tunnels), signals, train control systems including advanced train control systems, communication systems, rolling stock, facilities, equipment, and signage.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.526 Buses and Bus Facilities Formula, Competitive, and Low or No Emissions Programs

Bus Program.

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Title Moving Ahead for Progress in the 21st Century Act, , 49 USC Code 5339.
Title Fixing Americas Surface Transportation (FAST) Act, , 49 USC Code 5339.

OBJECTIVES:

Provides capital funding to replace, rehabilitate, purchase, or lease buses and bus related equipment and to rehabilitate, purchase, construct, or lease bus-related facilities. Provides capital funding for low or no emissions bus projects.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Eligible capital projects include the acquisition of buses for fleet and service expansion, bus maintenance and administrative facilities, transfer facilities, transportation centers, intermodal terminals, park-and-ride stations, acquisition of replacement vehicles, bus rebuilds, passenger amenities such as passenger shelters and bus stop signs, accessory and miscellaneous equipment such as mobile radio units, supervisory vehicles, fare boxes, computers, and shop and garage equipment. Low or No Emissions grants may be used to acquire low or no emissions buses and related equipment and facilities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Beneficiaries of funding include states and direct recipients. After allocation of funds, fixed-route bus operators, the general public, both users and non-users of public transportation, public agencies, and

private transportation companies may benefit. Applicants should see the specific NOFO for a final listing for each competition.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries of funding include states and designated recipients. After allocation of funds, fixed-route bus operators, the general public, both users and non-users of public transportation, public agencies, and private transportation companies may benefit.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Costs will be in accordance with 2 CFR 225 for State and Local Governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of OMB Circular No 2 CFR 200 and FTA Circular 5100.1 "Bus and Bus Facilities Program. Applications are made to the regional offices of the Federal Transit Administration listed at <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's electronic award management system, TrAMS. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TrAMS and the project information has been reviewed and approved, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. From the date of the application submittal in FTA's Transit Award Management System (TrAMS) the approximate time of award is 15 to 30 days.

Appeals:

Not Applicable. For competitive funding, if a project is not selected a recipient can request additional information from FTA's Headquarters staff. The regional offices can address any questions and direct applicants to the appropriate individual.

Renewals:

Other. Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter 5339, Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Percentages for matching: 10/15/20. The federal share is not to exceed 80 percent of the net project cost. The Federal share may be 85 percent for the cost of a vehicle that complies with the American with Disabilities Act and the Clean Air Act or 90 percent for the cost of vehicle-related equipment or facilities attributable to compliance with these requirements. This program has MOE requirements, see

ASSISTANCE LISTINGS DESCRIPTIONS

funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Funds must be obligated in a grant within three years after the year in which funds are appropriated (total of four years). Method of awarding/releasing assistance: other

Reports:

(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population for grants over \$2 million, annually for other grants, recipients, and states); (3) construction reports where applicable Federal Financial Report, SF-425 Progress reports are required on a quarterly or annual basis. Expenditures are reported on the Federal Financial Report. A milestones progress report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401. 69-2812-0-1-401.

Obligations:

Formula Grants FY 20 \$627,330,942.00; FY 21 est \$634,000,000.00; FY 22 est \$640,000,000.00; Project Grants (Discretionary) FY 20 \$348,834,953.00; FY 21 est \$489,000,000.00; FY 22 est \$473,000,000.00;

Range and Average of Financial Assistance:

Current year: \$165,000 - \$592,000,000

TAFS Codes:

69-8350. 69-2812.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: FTA selected 49 projects through the Low- or No-Emission (Low-No) Grant program in 46 states and territories totaling \$182 million to fund the deployment of zero-emission and low-emission transit buses and supporting equipment and facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

See FTA Circular 5100.1 "Bus and Bus Facilities Program. May 18, 2015.

Regional or Local Office:

None.

Headquarters Office:

Alexandria Burns,
1200 New Jersey Ave, SE,
Washington DC 20590
Email: alexandria.burns@dot.gov
Phone: 202-366-7464
Fax: Amy Volz,
1200 New Jersey Ave, SE,
Washington DC 20590
Email: amy.volz@dot.gov
Phone: (202) 366-7484

Website Address:

<http://www.transit.dot.gov>

RELATED PROGRAMS:

20.509 Formula Grants for Other Than Urbanized Areas; 20.505 Federal Transit Metropolitan Planning Grants; 20.507 Federal Transit Formula Grants; 20.205 Highway Planning and Construction; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

2021 The Port Authority of Allegheny County in Pittsburgh, PA, will receive \$5.1 million to purchase electric chargers and make improvements at its East Liberty Garage to support future electric buses as part of its planned bus rapid transit

system. By retrofitting the garage to service electric buses, the agency will also lower pollution in the surrounding community. The Regional Transportation Commission (RTC) of Washoe County in Washoe County, NV, will receive nearly \$6.5 million to purchase hydrogen fuel cell-electric buses and construct a hydrogen fueling facility to improve service reliability and air quality for residents in the Reno-Sparks metropolitan area. The project also supports RTCs efforts to transition its aging diesel bus fleet to zero emission vehicles to reduce energy consumption and greenhouse gas emissions in an area where ozone levels currently exceed air quality targets.

CRITERIA FOR SELECTING PROPOSALS:

For the Bus and Bus Facilities Competitive Program the criteria utilized included: Demonstration of Need; Demonstration of Benefits; Project Implementation; Financial Commitment; Technical, Legal, and Financial Capacity; and Planning and Local Prioritization. For the Competitive Low-No Program the criteria utilized included: Demonstration of Need; Demonstration of Benefits; Project Implementation; Financial Commitment; Technical, Legal, and Financial Capacity; and Planning and Local Prioritization.

20.527 Public Transportation Emergency Relief Program

Transit Emergency Relief Program (ER Program),

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Title Moving Ahead for Progress in the 21st Century Act, , 49 USC Code 5324. Title Fixing Americas Surface Transportation (FAST) Act, , 49 USC Code 5324.

OBJECTIVES:

The Emergency Relief Program is intended to provide operating assistance and capital funding to aid recipients and sub-recipients in restoring public transportation service, and in repairing and reconstructing public transportation assets to a state of good repair, as expeditiously as possible following an emergency or major disaster.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary), Project Grants (Special)

USES AND USE RESTRICTIONS:

The ER Program allows FTA to make grants for eligible public transportation capital and operating costs in the event of a catastrophic event, such as a natural disaster, that affects a wide area, and, as a result of which, the Governor of a State has declared an emergency or the President has declared a major disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Eligible projects include emergency operations, emergency repairs, permanent repairs, actual engineering and construction costs on eligible projects, and resiliency projects designed to protect rolling stock, equipment, facilities and infrastructure from future damage.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); An entity that operates public transportation service in an area impacted by an emergency or major disaster, as defined by a gubernatorial or presidential declaration of such an emergency or disaster, and that receives federal transit funds directly from FTA.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Beneficiaries of funding include public transportation operators and the general public in areas for which an emergency or major disaster has been declared as defined under section 5324.

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA unless the project does not change the location, function, or capacity of the transit asset being repaired or replaced; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Costs will be in accordance with 2 CFR 225 for State and local governments. Requirements associated with Chapter 53 of Title 49, U.S. Code, and other DOT regulations may be waived by FTA. 2 CFR 200, Subpart E - Cost Principles, applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The grants are only for expenses that are not reimbursed by the Federal Emergency Management Agency (FEMA).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of OMB Circular 2CFR 200. Applications are made to the regional offices of the Federal Transit Administration listed at <https://www.transit.dot.gov/about/regional-offices/regional-offices>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TrAMS and the project information has been reviewed and approved, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. No date range is required, as Congress appropriates funds as necessary, and recipients may request to use available 5307 or 5311 under the provision of 5324.

Appeals:

Not Applicable. Congress appropriates funds as necessary during as a result of emergency, including natural disasters such as floods, hurricanes, and tornadoes.

Renewals:

Other. Funding is made available as necessary through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. There is an 80% federal 20% non-federal match, subject to waiver by FTA. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Funds are available until expended. Method of awarding/releasing assistance: other

Reports:

(1) Progress reports; (2) Financial status reports; (3) construction reports where applicable; (4) Insurance proceeds reports Federal Financial Report, SF-425 are required on a quarterly basis. Progress reports are required on a quarterly basis.

Expenditures are reported on the Federal Financial Report, SF-425. A milestones progress report is required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-1140-0-1-401.

Obligations:

Project Grants FY 20 \$703,628,653.00; FY 21 est \$351,000,000.00; FY 22 est \$47,000,000.00;

Range and Average of Financial Assistance:

Future obligations will be made in response to assessed needs and subject to the availability of program funds.

TAFS Codes:

69-1140.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Made available \$10.5 million in funding appropriated by Congress for transit systems affected by major declared disasters occurring in 2018. Provided technical assistance, regulatory relief, and expanded eligibilities to transit providers across the country affected by coronavirus. Fiscal Year 2021: FTA used its authority under this program to allow recipients of Urbanized Area Formula Grants (59 U.S.C. 5307) and Formula Grants for Rural Areas (59 U.S.C. 5311) to use previously-apportioned funds for capital and operating expenses directly related to preparing for, responding to, and recovering from the coronavirus pandemic. No additional funding was provided through the Public Transportation Emergency Relief Program

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR Part 602 "Emergency Relief Program" and the FTA Emergency Relief Manual, found at <https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-manual-reference-manual-states>

Regional or Local Office:

See Regional Assistance Locations. See the list of FTA Regional Offices; <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

Thomas Wilson,
1200 New Jersey Ave SE,
Washington DC 20590
Email: thomas.wilson@dot.gov
Phone: 202-366-5279
Fax:

Website Address:

<https://www.transit.dot.gov/funding/grant-programs/emergency-relief-program/emergency-relief-program>

RELATED PROGRAMS:

20.509 Formula Grants for Other Than Urbanized Areas; 20.507 Federal Transit Formula Grants; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

2020 Repairing rail beds damaged by earthquake; replacing buses destroyed in a typhoon; reimbursing transit operating expenses incurred during a hurricane; reimbursing the salaries of employees who were on standby to work during a disaster; replacing passenger ferries sunk in a hurricane; assisting transit agencies with costs related to preventing, preparing for, and responding to coronavirus. 2021 FTA used its authority under this program to allow recipients of Urbanized Area Formula Grants (59 U.S.C. 5307) and Formula Grants for Rural Areas (59 U.S.C. 5311) to use previously-apportioned funds for capital and operating expenses directly related to preparing for, responding to, and recovering from the coronavirus pandemic. No additional funding was provided through the Public Transportation Emergency Relief Program.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Funds will be allocated based on detailed damage assessments conducted in the aftermath of an emergency or major disaster and on demonstrated operational costs incurred in preparation for and in response to an emergency or major disaster. Damage assessments must provide detailed information on the extent of damage to a public transportation system and the costs of restoring the system to a state of good repair. Project proposals that improve the resiliency of a public transportation systems infrastructure will be evaluated on the basis of proposed costs and benefits based, in part, on the likelihood and extent of potential damage from future events.

20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program

State Safety Oversight Formula Grant Program,

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (MAP-21). Fixing Americas Surface Transportation (FAST) Act in 2015., , 49 USC Code 5330.

OBJECTIVES:

To improve public transportation safety by assisting States with the financing of safety oversight of rail fixed guideway public transportation systems in the jurisdiction of the state not regulated by the Federal Railroad Administration. A final rule was published on March 16, 2016 that enhanced the State Safety Oversight Program. This final rule replaced the current State Safety Oversight (SSO) rule, which rescinded on April 15, 2019.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

The funds may only be used to provide grants to States for State safety oversight program expenses that will bring the State Safety Oversight Agency into compliance with the MAP-21 requirements codified at 49 USC 5329 (e) 9). The Federal grants shall cover up to 80 percent of the allowable costs to develop or carry out such a program, and the local matching share may include in-kind contributions by an eligible State. The non-Federal share may not be met by any Federal funds, any funds received from a public transportation agency or any revenues earned by a public transportation agency. The use of the funds shall be subject to uniform administrative requirements for grants and cooperative agreements to State and local governments under part 1201 of title2, Code of Federal Regulations, as well as other requirements for funds provided under Chapter 53 of title 49, United States Code, except to the extent that the Secretary of Transportation determines that the requirements are inconsistent with the purpose of the grants.

Applicant Eligibility:

Intrastate; Intrastate; State; Eligible States are those with a rail fixed guideway public transportation system within the jurisdiction of the State that is not subject to regulation by the Federal Railroad Administration; or a rail fixed guideway public transportation system in the engineering or construction phase of development within the jurisdiction of the State that will not be subject to regulation by the Federal Railroad Administration. Subrecipients must be public agencies that are eligible to become Federal Transit Administration recipients.

Beneficiary Eligibility:

Intrastate; Intrastate; State; Eligible States are those with a rail fixed guideway public transportation system within the jurisdiction of the State that is not subject to regulation by the Federal Railroad Administration; or a rail fixed guideway public transportation system in the engineering or construction phase of development within the jurisdiction of the State that will not be subject to regulation by the Federal Railroad Administration. Subrecipients must be public agencies that are eligible to become Federal Transit Administration recipients.

Credentials/Documentation:

Recipients must complete certifications and assurances as compiled in FTA's

Annual List of Certifications and Assurances. Eligible costs will be in accordance with OMB cost principles for State and local governments in part 225 of Title 2 of the Code of Federal Regulations. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually, contain the capital investment apportionments. Grant requirements will also be published in the Federal Register along with the programs Fiscal Year apportionment. Apportionment notices and other grant information is available on the FTA website: www.fta.dot.gov/. Subrecipients State Safety Oversight Agencies must be included in the State Safety Oversight Program submission. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. In order to ensure a new State to the program is considered eligible and included in the formula apportionment, new states to this formula program must contact their regional office sixty days in advance of FTAs annual apportionment notice.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Given the applicant and beneficiary eligibility, This program is subject to the provisions of 2 CFR part 1201, incorporating by reference 2 CFR 200, and FTA Circulars 4220 and 5010.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transit Asset Management System (TrAMS) <https://www.transit.dot.gov/funding/grantee-resources/teamtrams/transit-award-management-system-trams>. Once the funds are reserved in TrAMS and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. The approval process for the SSO Program can vary based on the number of applications per State. If you have questions, please contact FTA Regional Offices at <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Appeals:

Not Applicable. Please contact FTA's Regional Offices with any questions concerning applications submitted for this program.

<https://www.transit.dot.gov/about/regional-offices/regional-offices>

Renewals:

Other. Funding is made available annually through the appropriation process. A separate grant application is executed for each fiscal year as funds are appropriated by Congress.

Formula and Matching Requirements:

Statutory Formula: Title USC 49, Chapter , Part 5329(e)(6), Subpart 5330, Public Law . Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. 80% Federal / 20% State. Matching fund shall include in-kind contributions by an eligible State. The non-Federal share may not be met by any Federal funds, any funds received from a public transportation agency or any revenues earned by a public transportation agency. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding apportionment is available to their SSOA the year of apportionment plus two additional years. The funding is can be provided in a lump sum to the States and can be drawn down through FTA's financial management system. Method of awarding/releasing assistance: other

Reports:

The Annual State Safety Oversight program reports are due annually per 49 CFR 674.39. The State Safety Oversight Agency will submit evidence once a year that each of its employees and contractors is in compliance with the applicable Safety Training Certification requirements. The State Safety Oversight Agency will submit a summary of the 3 year audits completed the previous year and the Rail Transit Agencies progress in carrying out any corrective action plans arising from

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those audits. The State Safety Oversight Agency will review and approve any changes to the Public Transportation Agency Safety Plans during the preceding year. Quarterly Milestone status reports are required. Quarterly Federal Financial Reports (FFR) are required. FTA staff must review and sign off on all quarterly FFRs. FTA is required to publish an Annual Report to Congress.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The grantee must record and maintain all financial transactions for a period of 3 years after the close of a grant. The grantee must maintain an asset inventory for the useful life of capital acquisitions.

Account Identification:

69-8350-0-7-401.

Obligations:

Formula Grants (Apportionments) FY 20 \$21,416,212.00; FY 21 est \$25,000,000.00; FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

Formula funding is provided to the State Safety Oversight Agencies. They are prohibited from provided the funding directly to the rail transit agencies. The funding can be used for administrative expenses such as training, travel, and equipment. The range varies depending on the size of the State. If you have questions, you can contact FTA's Regional Office at the link below <https://www.transit.dot.gov/about/regional-offices/regional-offices>

TAFS Codes:

69-8350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: By 2020 all States certified and met the April 15, 2019 deadline regarding changes in Federal public transportation law requiring states to strengthen the oversight of rail transit systems. It applied to all federally funded rail fixed guideway public transportation systems such as heavy rail, light rail, monorail, and streetcar systems. To achieve FTA certification, a SSO Program had to meet several federal statutory requirements, including establishing a SSO agency that is financially and legally independent from the rail transit agencies it oversees. In addition, a state had to ensure that its SSO agency adopts and enforces relevant federal and state safety laws, has investigatory authority, and has appropriate financial and human resources for the number, size and complexity of the rail transit systems within the states jurisdiction. Furthermore, SSO agency personnel responsible for performing safety oversight activities had to be appropriately trained. If a state had failed to meet the deadline, FTA would have been prohibited by law from awarding any new federal transit funds to transit agencies within the state until certification was achieved. If a state had failed to meet the deadline, FTA would have been prohibited by law from awarding any new federal transit funds to transit agencies within the state until certification was achieved. Fiscal Year 2021: The State Safety Oversight Program significantly strengthens an SSO Agencies (SSOA) authority to investigate accidents and oversee a rail transit agencies (RTA). FTA has the authority to review and approve each States SSO program and take enforcement actions against those States with non-existent or non-compliant safety oversight programs. As a result, the Nation's Rail Transit Agencies are safer than in previous years.

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 5010.1D for Grants Management/FTA Circular 4220.1F for Third-Party Procurements

Regional or Local Office:

See Regional Assistance Locations. You may contact FTA's Regional Offices at the link below <https://www.transit.dot.gov/about/regional-offices/regional-offices>

Headquarters Office:

Maria Wright,
1200 New Jersey Avenue, SE,
Washington DC 20590
Email: marial.wright@dot.gov
Phone: (202) 366-5922

Website Address:

<https://www.transit.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The funding provided for the State Safety Oversight Program (SSO) is not for actual projects. The federal funds provided through the SSO Formula Grant Program allows for eligible states to develop or carry out their SSO programs. The SSO's have provided oversight of their RTA's virtually. They have virtually conducted meetings, inspections and triennial audits by using electronic means. The SSOs attended the virtual annual SSO workshop to receive training and learn technical skills to enhance their ability to provide oversight of the rail transit agency within their jurisdiction. The SSO's have worked with their RTA's virtually to review documents and have virtual meetings to discuss accident investigations. All thirty-one State Programs are 674 certified.

CRITERIA FOR SELECTING PROPOSALS:

Proposed grant activities must be used to develop or carry out State Safety Oversight programs that comply with the statutory requirements and advance a Safety Management Systems approach.

20.529 Bus Testing

FTA Bus Testing Program,

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

Public Law -116-94 - DEC., 20, 2019, 133 STAT. 2962, 49 USC Code 5318.

OBJECTIVES:

The purpose of the program is to provide assistance for testing new transit bus models and reporting on their maintainability, reliability, safety, performance (including braking performance), structural integrity, fuel economy, emissions, and noise performance characteristics. Per 49 U.S.C Section 5318(e), amounts appropriated or otherwise made available under this chapter may be obligated or expended to acquire a new bus model only if that model is tested at the Bus Testing Facility and the bus achieved a passing test score based upon the performance standards for maintainability, reliability, performance (including braking performance), structural integrity, fuel economy, emissions, noise, and safety. The program is administered under FTAs implementing regulation at 49 CFR Part 665, commonly referred to as the Bus Testing Regulation. Two new centers for testing low and no-emission (LoNo) bus components are also authorized under 49 U.S.C. 5312(h). Assistance Listing 20.530.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

FTA funds 80 percent of the costs associated with testing a vehicle at the facility from amounts available to carry out this section. The entity having the vehicle tested shall pay 20 percent of the cost.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); The Pennsylvania State University, Auburn University, and Ohio State University are eligible to apply for the funding.

Beneficiary Eligibility:

State; Same as eligible applicant.

Credentials/Documentation:

FTA will specify any required documentation in the notice of funding opportunity published to support the various sections and programs. An organization should make sure to fit in the eligible category and have an Employee Identification or Tax Identification documentation from the IRS. At a minimum, the initial proposal should include the standard form required for use as a cover sheet for submission of pre-applications and applications instructions for application for federal assistance (SF-424) form, project objectives, performance measures, background,

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and project description, including schedule, budget, and applicants organizational experience. Applicants approved will have to do a resolution by an authorized body approving the filing of an application; do an opinion of counsel letter from an attorney approving to apply for and receive Federal funds; complete certificates and assurances as compiled in FTAs Annual List of Certifications and Assurances. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The organization applying for assistance must register with the proper entities. Organizations applying for awards must be a legal entity with an EIN, must have a DUNS number/Unique Entity Identifier (UEI), must register with SAM and required to renew their online SAM registration annually. An applicant must submit a standard form (SF-424) that is used for all grant applications. This form collects information including type of submission, applicant information, type of applicant, and proposed project dates. Also, applicants are required to complete item 16 on SF-424 regarding clearance by the State Single Point of Contact. An organization must submit a project management plan or a detailed statement of work and a data management plan, if required. In addition, FTA guidance for this program can be found in FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions and Program Management Guidelines." Applicants should follow the instructions, which may be found at <https://www.transit.dot.gov>.

Award Procedure:

A FTA agreement obligating Federal funds is reflected in an agreement. A web based system is used to award and manage agreements. In order to access this system, a user name and password can be obtained by contacting the program manager at FTA Headquarters. Specific terms and conditions may apply and incorporated into the assistance agreement. Once the funds are reserved and the project information has been reviewed and approved by Headquarters, the recipient must execute the agreement to access the funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. This assistance listing is renewed annually. The recipient is required to update their statement of work for projects of an ongoing nature and submit a current year budget. Additional time to complete a project by amendment may be requested.

Formula and Matching Requirements:

Statutory Formula: Title Bus Testing Facilities, Chapter , Part , Subpart ,Public Law 116-94 - DEC. 20, 2019, 133 STAT. 2962. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From the date an Award (Assistance Agreement) is executed, funds are available until expended. Method of awarding/releasing assistance: other

Reports:

The purpose of the program is to test and publish reports on new transit bus models. A final report outlining the overall activity and measurable results at the facility on the project is required once the agreement is complete. After a bus is tested, the report must be posted on a website. For additional information on bus testing procedures and reports please access FTAs webpage on bus testing at: <https://www.transit.dot.gov/research-innovation/bus-testing-reports>. Milestone

Progress Report (MPR). A recipient must submit a quarterly MPR for each active project. The MPR is the primary written communication between the recipient and FTA outlining accomplishments to date. Recipients must submit narrative progress reports detailing the work undertaken to complete each task. Final Technical (Performance) Reports for this program are posted on Altoona bus testing website. FTA guidance is noted in FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions. Federal Financial Report (FFR). A recipient must submit a quarterly FFR for each active project it has executed. The FFR must be prepared on the accrual basis of accounting. The FFR accompanies the MPR and is used to monitor Federal award assistance. Milestone Progress Reports (MPR). A recipient must submit quarterly a written narrative progress report showing the status and describing the progress of activities for each active Award (Assistance Agreement). Expenditure reporting form To receive payments, recipients must submit monthly to the Program Manager the OMB financial reporting standard form SF-270 for each active Award (Assistance Agreement) it has executed. Specific vehicle testing reports are required for all vehicles tested, other specific reports may be requested by the FTA Program Manager. Final Technical (Performance) Report. At the conclusion of a project, the recipient post final reports on its website at: www.altoonabustest.psu.edu/test-reports/index.aspx.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Penn State University completes an annual single audit.

Records:

Recipients shall maintain for three (3) years from the date of submission of a final expenditure report, pending resolution of audit findings, adequate books, all financial records, supporting documents, statistical records, and all other records pertinent to the Award Agreement.

Account Identification:

69-8350-0-7-401. 69-2812-0-1-401.

Obligations:

Project Grants FY 20 \$6,000,000.00; FY 21 est \$6,000,000.00; FY 22 est \$6,000,000.00; Project Grants FY 20 \$1,000,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

FY 2020: \$3,000,000FY 2021: \$3,000,000FY 2022: \$3,000,000

TAFS Codes:

69-8350. 69-2812.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: ACCOMPLISHMENT OF PROJECT FUNDED DURING FY 2020 INCLUDE: Funded the Bus Testing program in FY 2020, which continued to test new bus models and previously-tested models being produced with major changes and issued full and partial Bus Testing reports. FTA worked to coordinate the activities, and the testing and reporting procedures of the two new LoNo bus testing centers with the existing bus testing center. Posted new reporting guidelines for bus testing on FTA's website. Altered and implemented a new report number format for buses tested in 2020. Recipients must receive a copy of the full Bus Testing Report or any applicable Partial Testing Report in order to be Awarded (Assistance Agreement) FTA funds for the vehicle. Fiscal Year 2021: ACCOMPLISHMENT EXPECTED OF PROJECT FUNDED IN FY 2021 INCLUDE: FTA expects to continue funding the one existing Bus Testing Center and two new LoNo Bus Testing Centers (under 5312(h)). FTA also officially rolled out an enhanced outline based bus testing request system in the Summer of 2021. Fiscal Year 2022: ACCOMPLISHMENT ANTICIPATED OF THIS PROJECT TO BE FUNDED FOR FY 2022 INCLUDE: FTA anticipates the Altoona Bus Testing Center will conduct between 15 to 18 tests, and FTA will continue to coordinate with the two new LoNo Bus Testing Centers to stand up their testing facilities and capabilities. FTA will continue to work to coordinate the activities, and the testing and reporting procedures of the two new LoNo bus testing centers at Auburn University and the Ohio State University with the existing bus testing center. Also, FTA anticipates a new congressional appropriation to fund the LoNo emission bus testing centers at Auburn University and the Ohio State University. Anticipate modernizing and updating the Bus Testing Program to meet

ASSISTANCE LISTINGS DESCRIPTIONS

the needs of new vehicle innovations.

REGULATIONS, GUIDELINES, AND LITERATURE:

The implementing regulation for the bus testing program is 49 CFR Part 665. Additional guidelines and documents are available on FTA's Bus Testing website at <https://www.transit.dot.gov/research-innovation/bus-testing>.

Regional or Local Office:

None.

Headquarters Office:

Bus Testing Program Manager Mr. Jamel El-Hamri, General Engineer,
Federal Transit Administration Office of Infrastructure, Safety, and Asset
Innovation, TRI-20, Room E43-4031200 New Jersey Ave. SE East Building, 4th
floor,
Washington DC 20590
Email: jamel.el-hamri@dot.gov
Phone: (202) 366-8985
Mohammed Yousuf, Director,
Federal Transit Administration Office of Infrastructure, Safety, and Asset
Innovation, TRI-20, Room E41-3011200 New Jersey Avenue, SE East Building, 4th
floor,
Washington DC 20590
Email: mohammed.yousuf@dot.gov
Phone: (202) 366-6140
Fax:

Website Address:

<http://www.transit.dot.gov/research-innovation/bus-testing>

RELATED PROGRAMS:

20.530 Public Transportation Innovation;

EXAMPLES OF FUNDED PROJECTS:

2020 FUNDED PROJECT DURING FY 2020 INCLUDE: FTA funded the Bus Testing program which tests buses for safety, structural integrity, durability, performance, maintainability, noise, and fuel economy for all new model buses. 2021 EXPECT TO FUND PROJECT IN FY 2021 INCLUDE: FTA expects to continue funding the operation of the Bus Testing program to test new bus models and previously-tested models being produced with major changes and issue full and partial Bus Testing reports. 2022 ANTICIPATE TO FUND PROJECT FOR FY 2022 INCLUDE: FTA anticipates to continue funding the operation of the Bus Testing program to test new bus models.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for assistance must address evaluation criteria related to the capabilities of the bus testing facility, the technical capability, the staffing capacity, and financial cost efficiency of the operator to execute and report the results of fifteen full twelve-year service life tests a year within the allocated annual assistance budget for the program and the required 20% testing fee collected (non-federal). The evaluation criteria for the bus testing facility include: 1. Ability to operate and test all transit bus types in a controlled environment including 60-foot articulated buses and motor coaches. 2. Ability to supply and accurately meter all transit bus fuel types (gasoline, diesel, LPG, CNG, electricity, and hydrogen). 3. Ability to test buses at sustained highway speeds. 4. Availability of a paved roadway suitable for the conduct of braking, performance, and stability tests. 5. Availability of a shop area capable of supporting the simultaneous maintenance of multiple heavy-vehicles. 6. Availability of a dedicated test site for conducting noise testing. 7. Availability of a durability test track that closely approximates the design of the Altoona Bus Research and Test Centers durability test course. 8. Availability of suitable facilities to conduct all of the other Structural Integrity tests currently required by the program. The evaluation criteria for the bus testing facility operator include: 1. Adequacy of the qualifications and experience of facility operator personnel to conduct automotive and other engineering tests on transit buses. 2. Level of organizational independence with no financial interests in bus manufacturing. 3. Adequacy of established procedures and funding for the calibration and maintenance of test facilities and equipment. 4. Knowledge of current and upcoming medium and heavy-duty bus technologies. 5. Ability to develop new test methodologies and instrumentation to address new bus performance categories. 6. Experience with electric-drive vehicles, battery performance and safety, and bus battery charging technology and equipment. 7. Experience with the unique safety, performance and metrology issues with gaseous

fuels. 8. Ability and experience of preparing and publishing consumer oriented test reports. 9. Ability and experience to support an on-line test report repository. 10. Ability and experience to provide effective and responsive administrative and technical support to test customers and test report consumers.

20.530 Public Transportation Innovation

5312 Research Program,

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF
AUTHORIZATION:

The Fixing Americas Surface Transportation (FAST) Act (Public Law No. 114-94), signed into law on December 4, 2015, and effective October 11, 2015, 49 U.S.C. Section 5312/FAST Section 3008., , Public Law 114-94, 49 USC Code 5312.

OBJECTIVES:

The goals and objectives for of Public Transportation Innovation projects vary according to the type of project, and there is a phased process where projects build upon each other and continue based upon their success or failure. Research projects have the ultimate goal to develop and deploy new and innovative ideas, practices, and approaches. Eligible research projects focus on:(A) providing more effective and efficient public transportation service, including service to(i) seniors;(ii) individuals with disabilities; and(iii) low-income individuals;(B) mobility management improvements and travel management systems;(C) data and communication system advancements;(D) system capacity, including(i) train control;(ii) capacity improvements; and(iii) performance management;(E) capital and operating efficiencies;(F) planning and forecasting modeling and simulation;(G) advanced vehicle design;(H) advancements in vehicle technology;(I) asset maintenance and repair systems advancement;(J) construction and project management;(K) alternative fuels;(L) the environment and energy efficiency;(M) safety improvements; or(N) any other area that the Secretary determines is important to advance the interests of public transportation. Then, successful research ideas can result in innovation and development projects that improve public transportation systems nationwide to provide more efficient and effective delivery of public transportation services, including through technology and technological capacity improvements. Eligible innovation and development projects focus on: (A) the development of public transportation research projects that received assistance under subsection (c) that the Secretary determines were successful;(B) planning and forecasting modeling and simulation;(C) capital and operating efficiencies;(D) advanced vehicle design;(E) advancements in vehicle technology;(F) the environment and energy efficiency;(G) system capacity, including train control and capacity improvements; or(H) any other area that the Secretary determines is important to advance the interests of public transportation. Demonstration, deployment, and evaluation projects should build on successful research, and innovation to promote the early deployment and demonstration of innovation in public transportation that has broad applicability. Demonstration programs require a comprehensive evaluation within two years of the project receiving assistance. Eligible demonstration, deployment, and evaluation projects focus on:(A) the deployment of research and technology development resulting from private efforts or Federally funded efforts; and(B) the implementation of research and technology development to advance the interests of public transportation.; or(C) the deployment of low or no emission vehicles, zero emission vehicles, or associated advanced technology. For the LOW OR NO EMISSION VEHICLE COMPONENT TESTING (Low-No Testing program): FTA competitively selects at least one institution of higher education to operate and maintain a facility to conduct testing, evaluation, and analysis of low or no emission vehicle components intended for use in low or no emission vehicles. The institution(s) shall have: (I) the capacity to carry out transportation-related advanced component and vehicle evaluation; (II) laboratories capable of testing and evaluation; and III. direct access to or a partnership with a testing facility capable of emulating real-world circumstances in order to test low or no emission vehicle components installed on the intended vehicle. Component testing is voluntary; however, a low or no emission bus model must still comply with Section 5318 Bus Testing. The TRANSIT COOPERATIVE RESEARCH PROGRAM

ASSISTANCE LISTINGS DESCRIPTIONS

(TCRP) is a cooperative agreement between FTA and the National Academies of Science to administer a public transportation cooperative research program with an independent governing board to recommend public transportation research, development and technology transfer activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Dissemination of Technical Information, Project Grants, Project Grants (Cooperative Agreements or Contracts), Training

USES AND USE RESTRICTIONS:

There are multiple assistance opportunities within public transportation innovation please see the objectives section for more details. Depending on the specific program, the eligibility of recipients, projects, and federal share may vary. A prohibition exists such that no grants can be made for the demonstration, deployment, or evaluation of a vehicle that is in revenue service unless the Secretary determines that the project makes significant technological advancements in the vehicle. To the extent FTA allocates the funding through a competitive notice of funding opportunity, FTA will specify eligibility and requirements in a notice of funding opportunity (NOFO). Government share of costs shall not exceed 80% except for Section 5312(h) which is 50% and Section 5312 (i) where there is no match require. Funding for TCRP is at 100%. Demonstration and deployment projects must have a comprehensive evaluation of the success of the funded project within 2 years after the date on which a project received assistance.

Applicant Eligibility:

Federal; Interstate; Intrastate; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Government - General; Federal Government departments, agencies, and instrumentalities of the Government, including Federal laboratories; State and local governmental entities; providers of public transportation; private or non-profit organizations; institutions of higher education; and technical and community colleges.

Beneficiary Eligibility:

14 State, 15 Local, 20 Public nonprofit institution/organization, 36 Private nonprofit institution/organization.

Credentials/Documentation:

FTA will specify any required documentation in the notice of funding opportunity published to support the various sections and programs. An organization should make sure to fit in the eligible category and have an Employee Identification or Tax Identification documentation from the IRS. At a minimum, the initial proposal should include the standard form required for use as a cover sheet for submission of pre-applications and applications instructions for application for federal assistance (SF-424) form, project objectives, performance measures, background, and project description, including schedule, budget, and applicants organizational experience. Applicants approved will have to do a resolution by an authorized body approving the filing of an application, write an opinion of counsel letter from an attorney approving to apply for and receive Federal funds, complete certificates and assurances as compiled in FTAs Annual List of Certifications and Assurances and Master Agreement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. To the extent funding is available for discretionary activities; FTA will publish specific application instructions in a notice of funding opportunity or a request for proposals.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. The organization applying for assistance must register with the proper entities. Applicants applying for awards must be a legal entity with an EIN, must have a DUNS number/Unique Entity Identifier (UEI), must register with SAM and required to renew their online SAM registration annually. Applicants must complete a standard form (SF-424) that is used for all grant applications. This form collects information including type of submission, applicant information, type of applicant, and proposed project dates. Also, applicants are required to complete item 16 on SF-424 regarding clearance by the State Single Point of Contact. An organization must submit a project management plan or a detailed statement of work and a data management plan, if required. In addition, FTA guidance for this program can be found in FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions and Training Program: Application Instructions and Program Management Guidelines." Applicants should follow the instructions, which may be found at <https://www.transit.dot.gov>.

Award Procedure:

FTA's method of entering into an Award (obligation) of Federal assistance is through: grant agreements, cooperative agreements, interagency agreements and/or use of contracts, as a procurement mechanism (to purchase services for the direct benefit or use of the Federal Government). Grant agreements and cooperative agreements are awarded electronically using FTAs online system the Transit Award Management System (TrAMS). To access this web-based system, a user name and password are needed and can be obtained by contacting the appropriate FTA staff. The Federal Transit Administrator makes the final decision to approve a project. To access funds, the recipient must execute the Agreement and be set-up in FTA's e-Invoicing financial system. For demonstration and deployment projects receiving assistance an evaluation of the success or failure of projects is required. Additionally, all Agreement awards must comply with the DOT public access plan. It is required that DOT research awardees develop a Data Management Plan that will identify whether and how they will provide for the long-term preservation of, and access to, Digital Data Sets associated with their research activities; the plan must be in place prior to receiving an award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Date range varies.

Appeals:

Not Applicable.

Renewals:

Other. This assistance listing is renewed annually. Recipients/Grantees are required to update their statement of work for projects of an ongoing nature and submit a current year budget. Additional time to complete a project by amendment may be requested.

Formula and Matching Requirements:

Statutory Formula: Title Public Transportation Innovation, Chapter , Part , Subpart ,Public Law 49 U.S.C. Section 5312.Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Matching requirements for a specific project activity. Fees for low and no component testing activities within Section 5313(h) are equally split between the entity requesting testing and the Federal government. 50% is paid by FTA funds and the remaining 50 percent of testing fees shall be paid from amounts recovered by the testing center through the fees established and collected from the low and no component manufacturer requesting the testing services.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time varies with the complexity of the project. Funds are available until expended. Terms and conditions are contractually agreed on between FTA and the applicant. Method of awarding/releasing assistance: other

Reports:

Reporting requirements may vary depending on the size of the recipient, the type and amount of Federal assistance a recipient receives, or the Federal interest in the project. Unless otherwise permitted in writing, quarterly reporting is required. Major reporting requirements include:Federal Financial Report (FFR). A recipient must submit a quarterly or annual FFR for each active cooperative agreement,

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grant agreement, or other agreement it has executed. This includes Office of Management and Budget (OMB) financial reporting standard forms, SF-425 and SF-270. Milestone Progress Reports (MPR). A recipient must submit a quarterly MPR for each active project. The MPR is the primary written communication between the recipient and FTA outlining accomplishments to date. Data Management Plan that identifies whether and how to provide the long-term preservation of, and access to, Digital Data Sets associated with the research activities; Final Report. All recipients must submit a final report. Recipients must submit a final narrative progress report detailing the work undertaken to complete each task. Final Technical (Performance) Report. At the conclusion of a project, a recipient must submit a final technical report documenting project performance and the final results, outcomes, and impacts of research, development, demonstration, deployment, or technical evaluation projects, including an executive summary. Also, FTA has a number of Congressional reports it submits using the program reports from the applicants. FTA guidance is noted in FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions and Program Management Guidelines" and FTA Circular 5010.1E, "Award Management Requirements." Federal Financial Report (FFR). A recipient must submit a quarterly or annually, the project manager will stipulate frequency, FFR for each active project it has executed. The FFR must be prepared on the accrual basis of accounting. The FFR accompanies the MPR and is used to monitor Federal award assistance. Milestone Progress Reports (MPR). A recipient must submit quarterly or annually, the project manager will stipulate frequency, a written narrative progress report showing the status and describing the progress of activities for each active Award (Assistance Agreement). Also, a Data Management Plan must accompany the written progress report, if applicable. Expenditure reporting are the instruments that collect data regarding cash payouts or disbursements to recipients for the assistance agreement awarded. A recipient must use and submit the OMB financial reporting tools SF-425 and SF-270 for each active assistance agreement it has executed. Also, a narrative expense report must be attached to SF-270 form showing status and describing the progress of activities for each active Award (Assistance Agreement) it has executed. Milestone Progress Reports (MPR). A recipient must submit a quarterly or annually, the project manager will stipulate frequency, MPR for each active project. The MPR is the primary written communication between the recipient and FTA outlining specific results including explicit information on research outputs, outcomes, impacts of the activities established for the period in accordance with the statement of work. Recipients are encouraged to note significant accomplishments with immediate value to the provision of public transportation in their quarterly reports. The reason for slippage if established objectives are not met. Final Technical Report. At the conclusion of a project, a recipient must submit a final technical report documenting project performance and the final results, outcomes, and impacts of research, development, demonstration, deployment, or technical evaluation projects, including an executive summary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients shall maintain for three (3) years from the date of submission of the final expenditure report, pending resolution of audit findings, adequate books, all financial records and, supporting documents, statistical records, and all other records pertinent to the Award (Assistance Agreement).

Account Identification:

69-8350-0-7-401. 69-2812-0-1-401. 69-1137-0-1-401.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$37,678,617.00; FY 21 est \$30,000,000.00; FY 22 est \$31,000,000.00; Project Grants (Cooperative Agreements or Contracts) FY 20 \$10,954,087.00; FY 21 est \$4,000,000.00; FY

22 est \$2,000,000.00; Project Grants (Cooperative Agreements or Contracts) FY 20 \$2,000,103.00; FY 21 est \$4,000,000.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

FY 2020: Range \$100,000 to \$5,000,000; and approximate Average of Financial Assistance: \$1,400,000. FY 2021: Range: \$140,000 to \$5,000,000; and approximate Average of Financial Assistance: \$1,400,000. FY 2022: No budget appropriated.

TAFS Codes:

69-8350. 69-2812. 69-1137.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: ACCOMPLISHMENTS OF FUNDED PROJECTS DURING FY 2020: FTA will continue to fund research that supports the Department of Transportation goals and focuses on FTA programs Safety, Mobility Innovation, and Infrastructure in Public Transportation Innovation projects funded accomplishments during FY 2020 include: 1). FTA funded two Automated Driving System (ADS) Demonstration projects out of eight awards in seven states to test the safe integration of automated driving systems on our nation's roadways. These grants are testing and demonstrating Level 3 and Level 4 vehicles using shared on-demand, wheelchair accessible ADS-equipped vehicles. 2). FTA funded 25 projects in 23 states totaling \$20.3 million for the Integrated Mobility Innovation (IMI) Program using funds available under the Public Transportation Innovation Program for projects that demonstrate innovative and effective practices, partnerships and technologies to enhance public transportation effectiveness, increase efficiency, expand quality, promote safety and improve the traveler experience. 3). FTA funded the TCRP and 22 research products were published that included 11 full research reports, 8 syntheses of practices, 1 legal research digest, 1 web-only document, and 9 webinars were conducted, and other research activities spanning a broad variety of topics in public transportation. 4). FTA published FTA published a competitive notice of funding opportunity for Public Transportation COVID-19 Research Demonstration Grants with the goal of supporting strategies to develop, deploy, and demonstrate innovative solutions that improve the operational efficiency of transit agencies and enhance the mobility of transit users affected by the COVID-19 pandemic. FTA received 104 eligible applications and granted 37 awards in 37 states, totaling \$15.8 million in fiscal year 2020. 5). FTA posted a FY 2020 competitive notice of funding opportunity in the amount of \$14.0 million for Accelerating Innovative Mobility (AIM) Challenge Grants. The goal is to accelerate the development, implementation and adoption of innovative transit technologies, practices and service models to improve mobility and enhance the rider experience, with a focus on innovative service delivery models, creative financing, novel partnerships, and integrated payment solutions. FTA received 75 eligible applications and granted 25 awards in 24 states and one territory, in fiscal year 2020. Fiscal Year 2021: ACCOMPLISHMENTS EXPECTED OF PROJECTS FUNDED IN FY 2021 INCLUDE: 1). The Transit Cooperative Research Program, in FY 2021, will develop near-term practical solutions such as best practices, transit security guidelines, testing prototypes, and new management tools and communicate findings in reports and webinars. FTA expects that 12 research reports will be published, and 6 webinars conducted in FY 2021. 2). FTA expects the program activities for the COVID-19 Research Demonstration Grants program will begin in FY 2021. The selected projects will develop, deploy, and demonstrate innovative solutions that improve the operational efficiency of transit agencies and enhance the mobility of transit users affected by the COVID-19 pandemic. 3). FTA expects the program activities for the Safety Research Demonstration (SRD) program will begin in FY 2021. The selected projects will demonstrate and evaluate innovative technologies, safer designs and/or practices to improve rail transit safety. 4). FTA expects the program activities for the Real-Time Transit Infrastructure and Rolling Stock Condition Assessment Demonstration Program will begin in FY 2021. These projects will evaluate techniques and technologies to assess transit infrastructure and vehicle condition in real-time to enhance maintenance and asset replacement decision-making by transit agencies. 5). FTA expects the program activities for the Small Business Innovation Research Program (SBIR) to include finalizing research efforts and developing the final product for the Phase II project on Cost Allocation Technology for Non-Emergency Medical Transportation in FY 2021. FTA also anticipates developing a proof-of-concept for Phase I projects on Robots for Unmanned Disinfection and Decontamination of Transit Assets, and Using Artificial Intelligence (AI) to Inspect, Repair and Sanitize Transit Vehicles that clearly establishes technical merit, feasibility, and commercial potential in FY 2021. FTA evaluates the quality of performance of the small business prior to determining whether the small

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business will receive support for Phase II investment. Fiscal Year 2022:

ANTICIPATED ACCOMPLISHMENTS OF PROJECTS FOR FY 2022

INCLUDE: The program in Public Transportation Innovation projects anticipated accomplishments for FY 2022 include: 1). The Transit Cooperative Research Program will develop near-term practical solutions such as best practices, transit security guidelines, testing prototypes, and new management tools and communicate findings in reports and webinars. It is anticipated that approximately 12 research reports will be published, and 6 webinars conducted in FY 2022. 2). FTA anticipates releasing a new notice of funding opportunity for projects in the Mobility Innovation area to build upon past research results and uncover the next iteration of the most promising technologies, practices and strategies, including software applications, to accelerate public transportation transformation and prepare for the future. It is anticipated that 40 applications will be received and 9 awards will be granted in fiscal year FY 2022. 3). FTA anticipates releasing a new notice of funding opportunity for projects to support Environmental Sustainability and Resiliency, including assessing transit electrification needs and developing user scenarios for charging infrastructure, developing power consumption and optimization strategies for ancillary components and systems, and develop and demonstrate innovative bus energy systems to utilize bus energy systems for power during an emergency and to increase resiliency of transit/city infrastructure. It is anticipated that 60 applications will be received and 11 awards will be granted in fiscal year FY 2022. 4). FTA anticipates program activities will be underway in the areas of Transit Worker Safety, to include a roadway worker transit safety state of the practice and innovation scan, and Pedestrian and Bicyclist Safety, to include a state of the practice and innovation scan, as well as a needs assessment, in FY 2022. 5). FTA anticipates program activities will be underway in the area of Transit Cybersecurity, to include a Vulnerability Assessment to determine transit cybersecurity vulnerabilities, threats and risks to transit systems, applications and operations, and then develop use-case scenarios and concepts of operations to address these vulnerabilities for all types of transit agencies in FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Public Transportation law is codified at Title 49, United States Code, Chapter 53 (49 U.S.C. chapter 53). Authorizing legislation is substantive legislation enacted by Congress that establishes or continues the legal operation of a Federal program or agency. FTA Fiscal Year Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; FTA Circular 6100.1E for Research Projects; FTA Circular 4220.1F for Third-Party Contracting Guidance; and FTA Circular 5010.1E for Awards Management Requirements. In certain circumstances and in other fiscal years, contact the FTA Office of Research, Demonstration and Innovation as necessary. Also, consult FTA website www.transit.dot.gov. Other information will be made available upon request.

Regional or Local Office:

None.

Headquarters Office:

Adrienne Malasky, Deputy Associate Administrator,
1200 New Jersey Ave., S.E. Office of Research, Demonstration and Innovation TRI-2; Room E41-302,
Washington DC 20590
Email: adrienne.malasky@dot.gov
Phone: (202) 366-4052
Fax:

Website Address:

<http://www.transit.dot.gov/research>

RELATED PROGRAMS:

20.514 Public Transportation Research;

EXAMPLES OF FUNDED PROJECTS:

2020 FUNDED PROJECTS DURING FY 2020 INCLUDE: FTA provided resources to support programs in Public Transportation Innovation projects funded during FY 2020 include: FTA funded two new Mobility Innovation Programs. 1). The Integrated Mobility Innovation (IMI) Program - announced the award of 24 demonstration projects that will explore new business approaches and technology solutions that support mobility, enable communities to adopt innovative mobility solutions that enhance transportation efficiency and effectiveness, and facilitate the widespread deployment of proven mobility solutions that expand personal mobility. 2). The Accelerating Innovative Mobility (AIM) Program - announced the

award of 25 demonstration projects that will drive innovation by promoting forward-thinking approaches to improve transit financing, planning, system design and service. AIM also supports innovative approaches to advance strategies that promote accessibility, including equitable and equivalent accessibility for all travelers. The program will also establish a national network of transit stakeholders that are incorporating innovative approaches and business models to improve mobility. 3). Transit Cooperative Research Program (TCRP) - Every year, FTA funds the TCRP - a statutory requirement to fund one public transportation cooperative research program, that develops near-term, practical solutions to problems facing the public transportation industry. 4). Small Business Innovation Research Program (SBIR). FTA funded one SBIR project for Cost Allocation Technology for Non-Emergency Medical Transportation 2021 EXPECT TO FUND PROJECTS IN FY 2021: FTA expects to support programs in Public Transportation Innovation projects planned in FY 2021 include: 1). COVID-19 Research Demonstration Program - FTA announced the award of 37 projects to support strategies that develop, deploy and demonstrate solutions that improve the operational efficiency of transit agencies and enhance rider mobility during the COVID19 public health emergency. Projects were awarded in three main categories: vehicle, facility, equipment and infrastructure cleaning and disinfection; exposure mitigation measure; innovative mobility such as contactless payments; and measures that strengthen public confidence in transit services. Projects will assess the efficacy of these approaches and provide a set of promising practice. 2). Bus Compartment Redesign Program (BCP) - FTA expects to fund two projects under the Redesign of Transit Bus Operator Compartment to Improve Safety, Operational Efficiency, and Passenger Accessibility program. This program supports research projects to develop transit bus operator compartment designs that improve bus operator and public safety as well as bus operator access to vehicle instruments and controls without hindering the accessibility of passengers. 3). Safety Research and Demonstration Program (SRD) - FTA expects to fund eight projects under the SRD program that will prevent and mitigate suicide and trespassing hazards on transit rail systems, and improve the operational safety of shared corridor fixed guideway systems, including highway-rail grade crossing safety. 4). Rolling Stock Condition Assessment Research and Demonstration Program. FTA expects to fund six projects under Real-Time Asset Management Program. These projects will evaluate techniques and technologies to assess transit infrastructure and vehicle condition in real-time to enhance maintenance and asset replacement decision-making by transit agencies. 5). SBIR. FTA expects to support funding Small Business Innovation Research (SBIR) projects in the topic areas of Robots for Unmanned Disinfection and Decontamination of Transit Assets, and Using Artificial Intelligence (AI) to Inspect, Repair and Sanitize Transit Vehicles. 2022 ANTICIPATED PROJECTS TO FUND DURING FY 2022: FTA anticipates funding the program Public Transportation Innovation projects planned for FY 2022 include: 1). Mobility NeXt - FTA anticipates releasing a new notice of funding opportunity in the Mobility Innovation area to build upon past research results and uncover the next iteration of the most promising technologies, practices and strategies, including software applications, to accelerate public transportation transformation and prepare for the future. 2). Transit Fleet of the Future - FTA anticipates fielding projects that support Environmental Sustainability and Resiliency, including assessing transit electrification needs and developing user scenarios for charging infrastructure, developing power consumption and optimization strategies for ancillary components and systems, and develop and demonstrate innovative bus energy systems to utilize bus energy systems for power during an emergency and to increase resiliency of transit/city infrastructure. 3). Safety NeXt - FTA anticipates funding research in the areas of Transit Worker Safety, to include a roadway worker transit safety state of the practice and innovation scan, and Pedestrian and Bicyclist Safety, to include a state of the practice and innovation scan, as well as a needs assessment. 4). Transit Cybersecurity - FTA anticipates funding research in the area of Transit Cybersecurity, to include a Vulnerability Assessment to determine transit cybersecurity vulnerabilities, threats and risks to transit systems, applications and operations, and then develop use-case scenarios and concepts of operations to address these vulnerabilities for all types of transit agencies.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria may vary depending upon the type of program being selected. Competitive selection information is contained in the Federal Register Notices or published Notices of Funding Opportunity (NOFO). FTA published notices between FY 2021 and 2022; and either has allocated the funding or is in the

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process of allocating funds for support. Directed agreement criteria are established by program managers and noted in application instructions.

20.531 Technical Assistance and Workforce Development

5314 Program,

FEDERAL AGENCY:

FEDERAL TRANSIT ADMINISTRATION, TRANSPORTATION,
DEPARTMENT OF

AUTHORIZATION:

The Fixing Americas Surface Transportation (FAST) Act (Public Law No. 114-94), signed into law on December 4, 2015, and effective October 11, 2015, 49 U.S.C. Section 5314/FAST Section 3009. A one-year extension of the FAST act was enacted as part of the Continuing Appropriations Act, 2021 and Other Extensions Act (P.L. 116-159),, Public Law 114-94, 49 USC Code 5314.

OBJECTIVES:

The goals and objectives for Technical Assistance and Workforce Development projects vary according to the type of project. Eligible activities include: Technical assistance and Standards to more effectively and efficiently provide public transportation service; administer funds received under 49 U.S.C. Chapter 53 in compliance with federal law; and improve public transportation; address Human Resources needs, provide Training; support Innovative Public Transportation Frontline Workforce Development; and operate a National Transit Institute. Technical Assistance can support compliance with the Americans with Disabilities Act (ADA); compliance with human services transportation coordination requirements; meeting the transportation needs of elderly individuals; increasing transit ridership with Metropolitan Planning Organizations; addressing transportation equity; facilitating best practices to promote bus driver safety; meeting the requirements 5323(j) (Buy America) and 5323(m) (Pre and Post-Award Audits); assisting with the development and deployment of low or no emission vehicles; and any other technical assistance activities the Secretary of Transportation determines is necessary to advance the interests of public transportation. Standards programs and projects include developing voluntary and consensus-based standards and best practices by the public transportation industry, including standards and best practices for safety, fare collection, Intelligent Transportation Systems, accessibility, procurement, security, asset management to maintain a state of good repair, operations, maintenance, vehicle propulsion, communications, and vehicle electronics. Human Resources and Training programs may include: 1) Employment training programs; (2) Outreach to specific under-represented populations in the workforce; (3) Research on public transportation personnel and training needs; (4) Training and assistance for veteran and minority business opportunities; and (5) Consensus-based national training standards and certifications in partnership with industry stakeholders. The Innovative Public Transportation Frontline Workforce Development Program focuses on: (1) developing apprenticeships, on-the job training, and instructional training for public transportation maintenance and operations occupations; (2) building local, regional, and statewide public transportation training partnerships with local public transportation operators, labor union organizations, workforce development boards, and State workforce agencies to identify and address workforce skill gaps; (3) improving safety, security, and emergency preparedness in local public transportation systems through improved safety culture and workforce communication with first responders and the riding public; and (4) addressing current or projected workforce shortages by developing partnerships with high schools, community colleges, and other community organizations. The National Transit Institute will develop and conduct training and educational programs for Federal, State, and local transportation employees, United States citizens and foreign nationals engaged or to be engaged in public transportation work.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Dissemination of Technical Information, Project Grants, Project Grants (Cooperative Agreements or Contracts), Training

USES AND USE RESTRICTIONS:

See Objectives. Generally, the program supports technical assistance, training, workforce projects, and standards development not undertaken by the private

sector. Funding will provide for training and educational programs which may include courses in recent developments, techniques, and procedures related to intermodal and public transportation planning; management; environmental factors; acquisition and joint use rights-of-way; engineering and architectural design; procurement strategies for public transportation systems; new technologies; emission reduction technologies; ways to increase public transportation access to individuals with disabilities; construction, construction management, insurance, and risk management, maintenance; contract administration; inspection; innovative finance; workplace safety; and public transportation security. This program funds technical assistance to assist providers of public transportation to: Comply with the Americans with Disabilities Act (ADA); comply with human services transportation coordination requirements and enhance the coordination of related Federal resources; meet the transportation needs of elderly individuals; and address transportation equity. The program can also fund innovative workforce development activities in the areas with special emphasis on targeting areas with high unemployment; provide advanced training related to maintenance of alternative energy efficient or zero emission vehicle; and address current or projected workforce shortages in areas that require technical expertise. In addition, it will provide for the development of voluntary and consensus-based standards and best practices by the public transportation industry, including standards and best practices for safety, fare collection, Intelligent Transportation Systems, accessibility, procurement, security, asset management to maintain a state of good repair, operations, maintenance, vehicle propulsion, communications, and vehicle electronics. Depending on the specific funding program, the eligibility of recipients, projects, and federal share may vary. To the extent FTA allocates the funding through a competitive notice of funding availability, FTA will specify eligibility and requirements in a notice of funding opportunity (NOFO).

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Other private institutions/organizations; State; Federal Government departments, agencies, and instrumentalities of the Government; Metropolitan Planning Organizations; State and local governmental entities; providers of public transportation; and national non-profit organizations (that have the appropriate demonstrated capacity to provide public transportation-related technical assistance); public four-year degree-granting institutions of higher education, as defined in section 101(a) of the Higher Education Act of 1965 (20 U.S.C. 1001(a)) to carry-out the duties of the institute.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; State, Local, Public nonprofit institution/organization and Private nonprofit institution/organization. Specific applicant eligibility may vary with different program areas within this section.

Credentials/Documentation:

FTA will specify the credentials and documentation required in an online application system, at the program manager direction and/or in the individual notices of funding opportunity published to support the various sections and program purposes. Applicants must provide proof or establish a copy of their negotiated approved indirect cost rate agreement from their cognizant agency prior to or along with their application for assistance. At a minimum, applications for funding should follow requirements listed in FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions and Program Management Guidelines" and include the standard form required for use as a cover sheet for submission of pre-applications and applications (Instructions for Application for Federal Assistance (SF-424) Form), project objectives, goals, tasks, background, and project description, including schedule, budget, a data management plan, and applicants organizational experience. Applicants approved must do a resolution by an authorized body approving the filing of an application; and complete certifications and assurances as compiled in FTAs Annual List of Certifications and Assurances. Legal opinion and compliance with labor requirements are required later. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

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Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants should consult FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FTA outlines the application requirements and merit process in each grant program Notice of Funding Opportunity (NOFO) solicitation for proposals. Applicants are required to use Grants.gov to apply for funds. Accepted competitive applications are subject to evaluation. Applications are made to the Headquarters office of the Federal Transit Administration. Grant Agreement or Cooperative Agreement payments are made in a monthly web-based e-Invoicing system upon approval by the Program Manager. This program is subject to the provisions of FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions and Program Management Guidelines." FTA Circular 5010.1E, "Award Management Requirements," assist recipients in administering, managing and reporting responsibilities. Potential applicants should follow the instructions in these Circulars, which may be found at the FTA website at <https://www.transit.dot.gov/regulations-and-guidance/fta-circulars/final-circulars>.

Award Procedure:

FTA's method of entering into an Award (obligation) of Federal assistance (funds) is through: grant agreements, cooperative agreements, interagency agreements, and/or use contracts as a procurement mechanism (to purchase services for the direct benefit or use of the Federal Government). Grants and cooperative agreements are awarded electronically using FTA's online systems the Transit Award Management System (TrAMS). To access this web-based system, a user name and password are needed and can be obtained by contacting the appropriate FTA staff. The Federal Transit Administrator makes the final decision to approve a project. To access funds, the recipient must execute the Agreement and be set-up in FTA's e-Invoicing financial system. Technical assistance and standards activities funded under this section require an evaluation of the project activities and reporting of measurable outcomes and impacts of the programs results.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Date range varies.

Appeals:

Not Applicable.

Renewals:

Other. This assistance listing is renewed annually. Recipients are required to update their statement of work for projects of an ongoing nature and submit a current year budget. A project amendment may be requested for additional time to complete a project.

Formula and Matching Requirements:

Statutory Formula: Title Technical Assistance and Workforce Development, Chapter 53, Part , Subpart , Public Law 49 U.S.C. 5314. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time varies with the complexity of the project. FTA projects are programmatically approved for support in their entirety (generally for up to 5 years) but assistance is awarded in annual increments called budget periods. Terms and conditions are agreed on between FTA and the applicant. Funds are available until expended. Method of awarding/releasing assistance: other

Reports:

Reporting requirements may vary depending on the type and amount of Federal assistance a recipient receives, or the Federal interest in the project. Reporting is typically quarterly or annually. Major reporting requirements include: Financial

Reporting - Federal Financial Report (FFR) which aligns with OMB (SF-425) form and Request for Advance or Reimbursement (SF-270) form to monitor recipients expenses and issue payments. Milestone Progress Report (MPR) - The MPR is the primary written communication between the recipient and FTA outlining accomplishments to date. Quarterly Narrative Progress Report (QNPR) - Recipients must submit quarterly narrative progress reports detailing the work undertaken to complete each task; including a Data Management Plan (DMP) - recipient must submit a DMP, if requested. Final Technical (Performance) Report - at the conclusion of a project, a recipient must submit a final technical report documenting project performance and measurable final results, outcomes, and impacts of research, development, demonstration, deployment, or technical evaluation projects, including an executive summary. FTA guidance is noted in FTA Circular 6100.1E, "Research, Technical Assistance and Training Program: Application Instructions and Program Management Guidelines and FTA Circular 5010.1E, Award Management Requirements. Reporting requirements may vary depending on the size of the recipient, the type and amount of Federal assistance a recipient receives, or the Federal interest in the project. Federal Financial Report (FFR). A recipient must submit a FFR for each active project it has executed. Typically, reports are submitted either quarterly or annually. The FFR must be prepared on the accrual basis of accounting. The FFR accompanies the MPR and is used to monitor each active Award (Assistance Agreement) it has executed. Milestone Progress Report (MPR). A recipient must submit a MPR for each active project it has executed, frequency will be determined by the FTA project manager either quarterly or annually. The MPR demonstrate forward progress in the project plan. The MPR accompanies the FFR and is used to monitor results of the Federal assistance award. Additionally, required are Quarterly Progress Narrative Reports summarizing what has been accomplished during the reporting period, including a description of progress made toward achieving the goals of the project. And, if applicable, a Data Management Plan must accompany the written quarterly narrative progress report. To receive payments, recipients must submit monthly to the Program Manager at FTA an invoice which is the OMB financial reporting standard form SF-270 used for each active Award (Assistance Agreement) it has executed. A performance report is a final technical document required at the end of a project agreement before closing it. The performance report describes where the project stands summarizing progress made toward the achievement of scientific aims and identifies significant outcomes, including a technical evaluation of the project and an executive summary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients shall maintain for three (3) years from the date of submission of the final expenditure report, pending resolution of audit findings, adequate books, all financial records and, supporting documents, statistical records, and all other records pertinent to the Award (Assistance Agreement).

Account Identification:

69-8350-0-7-401. 69-1142-0-1-401.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$7,250,000.00; FY 21 est \$7,000,000.00; FY 22 est \$7,000,000.00; Project Grants (Cooperative Agreements or Contracts) FY 20 \$1,900,000.00; FY 21 est \$10,000,000.00; FY 22 est \$9,000,000.00;

Range and Average of Financial Assistance:

FY 2020: Range: \$250,000 to \$5,000,000; Average: \$1,250,000. FY 2021: Range: \$300,000 to \$5,000,000; Average: \$1,300,000. FY 2022: No budget appropriated.

TAFS Codes:

69-8350. 69-1142.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: ACCOMPLISHMENTS DURING FY 2020. FTA funded various projects under the Technical Assistance and Workforce Development in areas such as Technical Assistance, Standards Development, Human Resources/Workforce, and Training. Projects funded accomplishments during FY 2020 include: 1) The National Transit Institute (NTI) delivered 170 training courses both on-site and

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virtually to 6,459 participants. NTI witnessed a reduction of training courses delivered and course participants in FY 2020 because of course cancellations due to the COVID-19 pandemic. NTI is now expanding its virtual course delivery capabilities, online, and webinar-based portfolio. 2). The National Aging and Disability Transportation Center (NADTC), launched online courses, conducted webinars, provided targeted technical assistance, published a newsletter, and developed publicly available resources. Also, NADTC conducted a national survey of core constituencies to identify transportation needs of older adults and people with disabilities and provide grant assistance to local communities for implementation of innovative transportation solutions and creating replicable models of excellence. Approximately 847 people participated in NADTC online courses and webinars and more than 390 attended conference presentations given by NADTC staff. 3). The National Center for Mobility Management (NCMM) accomplished the following activities in FY 2020: held webinars and information sharing sessions, developed e-learning training courses, developed publicly available materials/guides, and provided technical assistance to public transit agencies through various methods. It helped train mobility management practitioners on emerging service models and new technologies that increase mobility and also supported the Coordinating Council on Access and Mobility (CCAM). 4). The Transit Automation Technical Assistance program produced an internal technical assistance needs assessment findings document and developed a framework/scope for the Community of Practice Initiative. Through this program, FTA has been developing a greater understanding of the state of transit bus automation and the potential opportunities for transit bus automation to improve safety and performance. 5). The Crime Prevention and Public Safety Awareness program, a component of FTAs Human Trafficking Awareness and Public Safety Initiative, selected three organizations to receive funding through cooperative agreements to develop and disseminate technical assistance materials supporting public safety awareness campaigns that address public safety in transit systems, including crime prevention, human trafficking, and operator assault. Fiscal Year 2021: ACCOMPLISHMENTS EXPECTED OF PROJECTS PLANNED TO BE FUNDED IN FY 2021. FTA supports various types of projects under Technical Assistance and Workforce Development. Projects in the areas Technical Assistance, Standards Development, Human Resources/Workforce, and Training. Accomplishments EXPECTED in FY 2021 include: 1) The National Transit Institute (NTI). FTA expects to continue serving all FTA programs by developing and conducting training for federal, state, and local transportation employees and others in a variety of positions relevant to the transit industry. NTI will expand its training platform to include virtual training courses. NTI will select limited courses as a pilot to transform into two-day virtual training courses. It is anticipated that NTI will offer 6 webinars and deliver 50 virtual training courses to 4,000 participants in fiscal year 2021. 2) The Crime Prevention and Public Safety Awareness program. FTA expects to award three projects under FTA's Human Trafficking Awareness and Public Safety Initiative. 3) National Center for Mobility Management (NCMM). FTA expects continuing to fund the NCMM. 4) National Aging and Disability Transportation Center (NADTC). FTA expects to continue to fund the operation of the National Aging and Disability Transportation Center in FY 2021. 5) Transit Workforce Center. FTA expects to announce the selection of one project under the Technical Assistance and Workforce Development program for a new Transit Workforce Center. It is anticipated that 4 applications will be received, and one award will be granted in FY 2021. Fiscal Year 2022: ACCOMPLISHMENTS ANTICIPATED OF PROJECTS TO BE FOR FY 2022 INCLUDE: 1). Research to Practice Initiative. FTA anticipates awarding funds to support a new Research to Practice Initiative. It is anticipated that 3 applications will be received, and 1 award will be granted in fiscal year 2022. 2). National Transit Institute (NTI). FTA anticipates the NTI will convert 10 additional courses to a virtual delivery format, develop an "NTI Instructor Code of Conduct and Virtual Course Preparation and Delivery Guidelines", develop and launch a virtual NTI Instructor Semi-Annual Town Hall Meeting, and finalize new course evaluation forms. 3). National Aging and Disabilities Transportation Center (NADTC). FTA anticipates funding the operation of the center to promote the availability and accessibility of transportation options for older adults, people with disabilities and caregivers. 4). Transit Workforce Center (TWC). FTA anticipates awarding funds to implement its first TWC to help public transit agencies build their workforce for today and tomorrow. The U.S. Department of Labor will be an important facilitator of workforce development activities across the U.S. and partner to FTA as we build and sustain the TWC. 5). National Center for Mobility Management (NCMM). FTA anticipates continuing to fund the National Center for

Mobility Management, which supports partnerships between transportation/mobility management activities and organizations that provide health, social, and community services to older adults, people with disabilities, and/or low-income individuals and families.

REGULATIONS, GUIDELINES, AND LITERATURE:

Most Federal transit laws are codified at Title 49, United States Code, Chapter 53 (49 U.S.C. chapter 53). Authorizing legislation is substantive legislation enacted by Congress that establishes or continues the legal operation of a Federal program or agency. FTAs Fiscal Year Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; FTA Circular 6100.1E for Research Projects; FTA Circular 4220.1F for Third-Party Contracting Guidance; and FTA Circular 5010.1E for Award Management Requirements. In certain circumstances and in other fiscal years, contact the FTA Office of Research, Demonstration and Innovation as necessary. Also, consult FTA website www.transit.dot.gov. Other information will be made available upon request.

Regional or Local Office:

None.

Headquarters Office:

Betty Jackson, Senior Transportation Program Specialist (Workforce),
1200 New Jersey Ave, S.E., FTA, Office of Research, Management,
Demonstration, and Outreach (TRI-30), East Building, 4th Floor - Room E43-425,
Washington DC 20590

Email: betty.jackson@dot.gov

Phone: (202) 366-1730

Fax:

Website Address:

<http://www.transit.dot.gov/research>

RELATED PROGRAMS:

20.514 Public Transportation Research;

EXAMPLES OF FUNDED PROJECTS:

2020 FUNDED PROJECTS DURING FY 2020. FTA provided resources to support various types of projects for Technical Assistance and Workforce Development in the areas of Technical Assistance, Standards Development, Human Resources/Workforce, and Training projects funded during FY 2020 include: 1). National Transit Institute (NTI). FTA funded the NTI to expand training to cover blue-collar transit workforce training in addition to the management-level courses now offered at NTI. Also, NTI offered several courses and workshops online due to the COVID-19 pandemic, taking innovative approaches to continue supporting transit agencies across the United States. 2). National Center for Applied Transit Technology (N-CATT). FTA funded the N-CATT to assist small urban and rural and tribal public transit recipients with applied innovation and capacity-building. 3). National Center for Mobility Management (NCMM). FTA funded the NCMM, which supports mobility management professionals in developing and implementing strategies that improve transportation access for older adults, people with disabilities, and low-income individuals and families. 4). National Aging and Disability Transportation Center (NADTC). FTA funded the NADTC to promote the mobility of people with disabilities and older adults, ensuring that public transportation supports independent living in communities. 5). The Transit Standards Development Program, FTA funded this program to collect information required to develop transit standards by suggesting new or revised voluntary standards, guidelines, and best practices. 2021 EXPECT TO FUND PROJECTS IN FY 2021 INCLUDE. FTA expects to support various types of projects for Technical Assistance and Workforce Development in the areas Technical Assistance, Standards Development, Human Resources/Workforce and Training projects planned in FY 2021 include: 1). The National Transit Institute (NTI). FTA expects to fund NTI to continue delivering its virtual course training capabilities to support transit agencies across the United States. 2). The National Aging and Disabilities Transportation Center (NADTC). FTA expects to fund the operation of NADTC in fiscal year 2021 to carry out activities to promote the availability and accessibility of transportation options that serve the needs of people with disabilities, seniors, their caregivers and other transit investments. 3). The National Center for Applied Transit Technology (N-CATT). FTA expects to fund N-CATT to assist small urban and rural and tribal public transit recipients with applied innovation and capacity-building in FY 2021. 4). Transit Workforce Center. FTA expects to fund a new Transit Workforce Center that will address GAO recommendations and provide a venue for public transit agencies to receive support

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in building strong workforce recruitment and retention programs, especially for frontline workers, including addressing emerging workforce needs/issues such as those associated with transit automation or low and no emission bus maintenance.5). Crime Prevention and Public Safety Awareness Program. FTA expects to award three projects under FTA's Human Trafficking Awareness and Public Safety Initiative. These projects will focus on developing and disseminating technical assistance materials supporting public safety awareness, including crime prevention, human trafficking, and operator assault. 2022 ANTICIPATED PROJECTS TO BE FUNDED FOR FY 2022. FTA anticipates funding various projects for Technical Assistance and Workforce Development in the areas Technical Assistance, Standards Development, Human Resources/Workforce and Training projects planned for FY 2022 include:1). Research to Practice Initiative. FTA anticipates releasing a new notice of funding opportunity in the Technical Assistance and Workforce Development area. This project will accelerate the implementation and delivery of new innovative processes, business models, and technologies that result from FTA research and demonstration to benefit public transportation mobility, safety, and infrastructure. 2). The National Transit Institute (NTI). FTA anticipates continuing to fund the operation of NTI in FY 2022. NTI strategically develops and delivers courses across the country in partnership with regional transit agencies, state DOTs, municipal governments, metropolitan planning organizations, and state and national transit-related associations.3). The National Aging and Disabilities Transportation Center. FTA anticipates continuing to support the operation of the National Aging and Disabilities Transportation Center in FY 2022.4). Transportation Research Board Core Program. FTA anticipates supporting the Transportation Research Board Core Program.5). National Center for Mobility Management. FTA anticipates continuing to fund the operation of the National Center for Mobility Management.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria may vary depending upon the type of program being selected. Competitive selection information is contained in the Federal Register Notices or published Notices of Funding Opportunity (NOFO). Directed agreement criteria are established by program managers and noted in application instructions.

20.600 State and Community Highway Safety

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (P.L. 112-141), Title I- Motor Vehicle and Highway Safety Improvement Act of 2012, Section 31105, Title Fixing America's Surface Transportation Act (FAST Act), , Public Law 114-94, 23 USC Code 402.

OBJECTIVES:

To provide a coordinated national highway safety program to reduce traffic crashes, deaths, injuries, and property damage.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Formula grant funds may be used for problems identified within the nine national program areas of Alcohol and other Drug Countermeasures, Police Traffic Services, Occupant Protection, Traffic Records, Emergency Medical Services, Motorcycle Safety, Pedestrian/Bicycle Safety, Speed Control and Roadway Safety. Other program areas identified by a State as constituting a highway safety problem in that State, e.g., pupil transportation safety programs, may be eligible for Federal funding, as encompassing a major highway safety problem in the State and for which effective countermeasures have been identified. The law provides that at least 40 percent of these Federal funds apportioned to a State for any fiscal year will be expended by the political subdivisions of such State.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); States,

federally recognized Indian tribes, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, and the Virgin Islands.

Beneficiary Eligibility:

American Indian; State; Local; Political subdivisions, through the State Highway Safety Agencies.

Credentials/Documentation:

Performance plan approved by the agency is required and Highway Safety plan, certification statement, and Program Cost Summary. State Plan due date is July 1 for the formula grant program. 2 CFR Part 200, Cost Principles. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. NHTSA Regional Administrators and FHWA Division Administrators review each State's Performance Plan and Highway Safety Plan. This program is exempt from the standard application forms required by the Government-wide Common Grant Rule for State, Local, and Indian Tribal Governments. Assistance from NHTSA and FHWA is continuously available to participants in this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State Highway Safety Offices submit their annual Highway Safety Plan covering State and Community highway safety activities to their respective NHTSA regional offices.

Award Procedure:

Awards for the State and Community Highway Safety Programs are made by NHTSA Regional Administrators.

Deadlines:

July 01, 2018. The Highway Safety Plan is the Application. It is due to the Regional Office by July 1. July 01, 2019. FY 2020 Highway Safety grant applications were due July 1, 2019 July 01, 2020. The State's Highway Safety Plans for FY 2021 are due to the Regional Offices July 1, 2020. July 01, 2021. Highway Safety Plans must be submitted by July 1 each year. Highway Safety Plans must be submitted by July 1 each year.

Range of Approval/Disapproval Time:

From 30 to 60 days. N/A

Appeals:

Other. Appeals of decisions by NHTSA Regional Administrators are forwarded to the NHTSA Associate Administrator for Regional Operations and Program Delivery.

Renewals:

Other. Each year, States submit Performance Plans and Highway Safety Plans as part of continuing formula grant program.

Formula and Matching Requirements:

Statutory Formula: Title 49 CFR, Chapter 1240.11, 1240.12, 1240.13, Part , Subpart ,Public Law Pub. L. 114-94.Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. 75 percent apportioned on total resident population; 25 percent apportioned against public road mileage in States. Federal share shall not exceed 80 percent or applicable sliding scale.This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of funds method. Funds placed under obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

States are required to submit annual program reports to the Federal Highway Administration and to the National Highway Traffic Safety Administration. States use the HS-217, Highway Safety Cost Summary form; expenditure reports are done electronically in the NHTSA Grants Tracking System. States are required to submit

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an annual report within 90 days after the end of the fiscal year. The report should include an assessment of the State's progress in achieving performance targets as identified in the prior year HSP, a description of projects and activities funded and implemented along with the amount of Federal funds obligated and expended, mobilization participation, enforcement activities, citation information, and paid and earned media information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records relating to the program shall be retained for a period not less than 3 years from the date of submission of final financial report.

Account Identification:

69-8016-0-7-401.

Obligations:

Formula Grants (Apportionments) FY 20 \$279,800,000.00; FY 21 est \$293,965,000.00; FY 22 est (\$1,496,967,296.00);

Range and Average of Financial Assistance:

FY 2018 \$646,425 - \$23,687,928FY 2019 \$24,457,606 - \$523,748FY 2020 \$20,395,151 - \$699,175FY 2021 \$734,912 - \$26,819,992

TAFS Codes:

69-8016.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: States used these funds to conduct highly visible enforcement programs for seat belt and child seat law violations, alcohol impaired drivers, and distracted drivers. Funds also provided for programs in motorcycle safety, bicycle and pedestrian safety. States also used these funds to have a programmatic assessment conducted in an effort to get some technical assistance for enhancing their programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR Chapter II, State and Community Highway Safety Grant Program; Manual on Uniform Traffic Control Devices; Handbook of Highway Safety Design and Operating Practice, available from Government Printing Office, Washington, DC 20402. 23 U.S.C. 402 as amended by the Fixing America's Surface Transportation Act (FAST Act), Pub. L. 114-94.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of National Highway Traffic Safety Administration regional office addresses.

Headquarters Office:

Associate Administrator, Regional Operations & Program Delivery Jamie D. Pfister,

1200 New Jersey Avenue, S.E., NRO-010,
Washington DC 20590

Email: Jamie.Pfister@dot.gov

Phone: 202 366 5424

Fax: 202 366 7394

Website Address:

<http://www.nhtsa.whatsapp/fedassist/index.html>

RELATED PROGRAMS:

20.205 Highway Planning and Construction;

EXAMPLES OF FUNDED PROJECTS:

2021 During FY 2021, States used these funds for a variety of traffic safety issues including participation in the Click It or Ticket national mobilization for seat belt use; participation in the Drive Sober or Get Pulled Over alcohol impaired driving crackdown; participation in Child Passenger Safety Week and Distracted Driving Week activities. States also utilized these funds to provide educational events such as inspection stations where parents and caregivers can learn how to properly secure their children in car seats. States used these funds for motorcycle safety awareness campaigns and training, updating databases and conducting programmatic assessments in an effort to gain insight into ways to improve their programs.

CRITERIA FOR SELECTING PROPOSALS:

The Federal/State relationship for the highway safety grant program is a partnership. Each State is given flexibility in the administration of its own highway safety program. Federal funds are obligated in support of a highway safety program based on problems identified by the State. States have latitude in determining projects upon which they spend their Federal monies.

20.607 Alcohol Open Container Requirements

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Highway Safety Act of 1998, as amended, , 23 USC Code 154.

OBJECTIVES:

To encourage States to enact and enforce an alcohol open container law.

TYPES OF ASSISTANCE:

Formula Grants (Apportionments), Project Grants

USES AND USE RESTRICTIONS:

States failing to comply with Section 154 are subject to a reservation of 2.5 percent of federal-aid highway funds apportioned for the National Highway Performance Program and the Surface Transportation Block Grant Program. States decide the percentage of the reserved funds to be transferred to NHTSA for alcohol-impaired driving countermeasures and/or to remain with FHWA for eligible activities under the Highway Safety Improvement Program. Funds transferred to NHTSA are subject to the requirements of the 402 program, but the use of funds is limited to alcohol-impaired driving countermeasures.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State; State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing an Open Container Law, then a State is subject to the penalty transfer program. After being informed by NHTSA that it is subject to the penalty transfer, the State submits to the agency a split letter advising the agency of the intent to split funds between alcohol programs or hazard elimination programs (FHWA). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Office of Regional Operations and Program Delivery coordinates the transfer penalty funding process with the Federal Highway Administration. This program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs.

Award Procedure:

Once the State provides the agency with a split letter to NHTSA, the State will be given access to the transfer and can obligate the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Not Applicable

Appeals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 1270, Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

Formula Grants (Apportionments) FY 20 \$400,960,029.00; FY 21 est \$38,938,336.00; FY 22 Not Available;

Range and Average of Financial Assistance:

FY 21 -\$ 807,088 - \$474,178

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: States use these funds for enforcement of the State's impaired driving laws, training on the State's alcohol impaired driving laws, educational promotions using various media platforms. Fiscal Year 2021: Safer Highways Statewide (SHS). The California Highway Patrol will implement a 12-month statewide grant to combat fatal/injury crashes attributed to driving under the influence (DUI). Grant activities include sobriety/ driver license checkpoints, DUI task force operations, proactive DUI patrol operations, and a broad public awareness campaign in an effort to decrease the number of alcohol-involved fatal and injury cashes and associated victims on California's roadways.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

Associate Administrator, Regional Operations and Program Delivery Jamie D.Pfister,

1200 New Jersey Avenue, S.E., NRO-010,

Washington DC 20590

Email: Jamie.Pfister@dot.gov

Phone: (202) 366-5424

Website Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Impaired driving paid media campaigns for impaired driving only: Funding will provide paid media campaigns for Drive Sober or Get Pulled Over, Youth

alcohol, Quarterly Impaired Driving Campaigns, and various other impaired driving efforts for, but not limited to Super Bowl Sunday, Cinco De Mayo, and statewide festivals.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Highway Safety Act of 1998 as amended, , 23 USC Code 164.

OBJECTIVES:

To encourage States to enact and enforce Repeat Intoxicated Offender laws.

TYPES OF ASSISTANCE:

Formula Grants (Apportionments), Project Grants

USES AND USE RESTRICTIONS:

Funds transferred to NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A state may elect to use all or part of its transferred funds for activities eligible under Section 152 Hazard Elimination program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State; State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing a Repeat Intoxicated Driver Law, then a state meets eligibility requirements. After being informed by NHTSA that it is subject to the penalty transfer eligible for a grant, the State submits to the agency a split letter advising the agency of the intent to split funds between alcohol programs or hazard elimination programs (FHWA). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Section 164 funds must be used solely for alcohol-impaired driving countermeasures permissible under NHTSA Section 402 formula grant program or for FHWA's Highway Safety Improvement Program (SHIP) eligible activities (hazard elimination).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NHTSA Office of Regional Operations and Program Delivery coordinates the program with the Federal Highway Administration. The program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs.

Award Procedure:

Once the State provides a split letter to NHTSA, the State will be given access to the transfer funds and can obligate the funds

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Not Applicable

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter , Part 164, Subpart ,Public Law ,Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Annual report required under the section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. States provide an annual report under Section 402 and it must include a progress report to include accomplishments on the prior year's program. States submit electronic vouchers for expenses incurred in the conduct of the grants.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

Formula Grants (Apportionments) FY 20 \$61,160,916.00; FY 21 est \$66,178,503.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The grants range were from \$2,489,000 - \$54,546,000. In FY 21 - grants ranged from \$11,610,814 - \$34,551,097

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: States use these funds provide increased DUI enforcement to include sobriety/driver license checkpoints, task force operations, broad public awareness campaigns to decrease the number of alcohol-involved fatal and injury crashes.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

Associate Administrator, Regional Operations and Program Deliver Jamie D. Pfister,

1200 New Jersey Avenue, S.E., NTI-200,

Washington DC 20590

Email: Jamie.Pfister@dot.gov

Phone: (202) 366-5424

Website Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Safer Highways Statewide (SHS): The California Highway Patrol will implement a 12-month statewide grant to combat fatal/injury crashes attributed to

driving under the influence (DUI). Grant activities include sobriety/driver license checkpoints, DUI task force operations, proactive DUI patrol operations, and a broad public awareness campaign in an effort to decrease the number of alcohol-involved fatal and injury crashes and associated victims on California's highways.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.611 Incentive Grant Program to Prohibit Racial Profiling

(Section 1906).

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, , Public Law 109-59, 23 USC Code 1096. Title Racial Profiling Data Collection, Section 1906, Public Law 109-59, Public Law 114-94

OBJECTIVES:

Encouraged States to enact and enforce laws that prohibit the use of racial profiling in the enforcement of traffic laws on Federal-aid highways, and to maintain and allow public inspection of statistics on motor vehicle stops.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Incentive grant funds were available to States to enact and enforce laws to prohibit racial profiling, in compliance with the following established criteria. Law States may use Section 1906 grant funds for: collecting and maintaining data on traffic stops; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. Assurances States may use Section 1906 grant funds for: funding activities to prohibit racial profiling in the enforcement of State laws regulating the use of Federal-aid highways; collecting, maintaining and providing public access to traffic stop data; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. A State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Applicant Eligibility:

U.S. Territories and possessions; State; This Grant is available to the 50 states, the District of Columbia, Puerto Rico, the Virgin Islands, Guam American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

U.S. Territories; State; State Highway Safety agencies.

Credentials/Documentation:

A State submitted certification that it met eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submitted to the agency a plan that describes the programs the State will implement using the funds. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. No new funds have been authorized for this SAFETEA-LU program. Section 1906 was reauthorized with the FAST Act.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

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Requirements for Federal Awards applies to this program. All certifications must be submitted by July 1 for each fiscal year. To apply for grant funds in a fiscal year, a Law State must submit the certification required by Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 1 and an Assurances State must submit the certification required by Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Deadlines:

Deadline for submitting applications for Section 1906 funds is July 1.

Range of Approval/Disapproval Time:

Other. Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Certifications must be submitted each year; however, a State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 114-94.Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

Formula Grants FY 20 \$2,250,000.00; FY 21 est \$1,875,000.00; FY 22 est \$1,875,000.00;

Range and Average of Financial Assistance:

Grant award ranged from \$885,460- \$454,170. Average grant was for \$668,544FY 2021 \$375,000

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Rhode Island DOT and Office of Highway Safety will contract with outside consultants to create a reporting system (ATRION, Inc. and CCU for analytic purposes), maintain IT requirements, and service all Rhode

Island law enforcement agencies (ATRION) in their mandate to collect data sets included within Rhode Island's CCPRA statute. The Office of Highway Safety will also provide updated software and equipment necessary for law enforcement agencies to comply with the statute. The funds will also support RIDOT's MIS data maintenance and service requirements.

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, Pub. L.109-59, as amended, 23 U.S.C. 402. Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006. The Fixing America's Surface Transportation (FAST) Act, Pub. L. 114-94, as amended by the Continuing Appropriations Act, 2021 and Other Extensions Act, Pub. L. 116-159 and the criteria for receiving a grant are set forth by the Federal Statute and the NHTSA implementing regulations, 23 CFR Section 1300.28.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Associate Administrator, Regional Operations and Program Deliver Jamie D. Pfister,
1200 New Jersey Avenue, S.E., NRO-100,
Washington DC 20590
Email: Jamie.Pfister@dot.gov
Phone: (202) 366-5424

Website Address:

<http://www.nhtsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the Section 1906 Program in one of two ways: (a) by enacting and enforcing a law that prohibits the use of racial profiling in the enforcement of State laws regulating the use of Federal-aid highways and maintaining and allowing public inspection of statistical information on the race and ethnicity of the driver and any passengers for each such motor vehicle stop made by a law enforcement officer on a Federal-aid highway (a Law State); or (b) by providing satisfactory assurances to the Secretary that the State is undertaking activities to prohibit racial profiling and to maintain and provide public access to data on the race and ethnicity of the driver and passengers for each motor vehicle stop made by a law enforcement officer on a Federal-aid highway (an Assurances State). Section 1906 was reauthorized with the FAST Act.

20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements

NHTSA Section 403 Discretionary Grants and Cooperative Agreements,

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Title Highway Safety Act of 1966 as Amended by PL 114-94 Fixing Americas Surface Transportation Act, Part 4, Section 403, Public Law 114th-94

OBJECTIVES:

Provide technical and financial assistance to State and local government agencies, for-profit and non-profit organizations, educational institutions, hospitals, and other persons (as defined in Title 1 USC Chapter (1)) in support of highway safety research and development, special studies, educational and public awareness projects related to or involving: 1). Activities, including demonstration projects to

ASSISTANCE LISTINGS DESCRIPTIONS

study vehicle, highway, driver, passenger, motorcyclist, bicyclist, and pedestrian characteristics; 2). Emergency medical services communications and transportation of the injured; 3). Human behavioral factors such as driver education, impaired driving, and distracted driving; 4). Evaluation of the effectiveness of countermeasures to increase highway and traffic safety, including occupant protection and alcohol- and drug-impaired driving technologies and initiatives; 5). Development of technologies to detect drug impaired drivers; 6). Research, evaluation, and identification of best practices related to driver education programs (including driver education curricula, instructor training and certification, program administration, and delivery mechanisms) and recommendations for harmonizing driver education and multistage graduated licensing systems; 7). Effect of State laws on any aspects, activities, or programs described above.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

The grants and cooperative agreements can only be used for those projects as authorized under Section 403 of Public law 112-140 .

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligibility requirements will be specified on a project-by-project basis. Generally speaking, and historically, projects have been made available to the following types of organizations: Intrastate, Local, Sponsored Organization, Public nonprofit institution/organization, Other public institution/organization, Federally Recognized Indian Tribal Government, U.S. territory or possession, Specialized Group, Small Business, Profit Organization, Private nonprofit institution/organization, Quasi-public nonprofit institution/organization, Other private institution/organization, Native American Organization,

Beneficiary Eligibility:

Intrastate; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Intrastate, State, Local, Sponsored Organization, Public Nonprofit Institution/Organization, Other Public Institution/organization, Federally Recognized Indian Tribal Government, U.S. territory or possession, Specialized Group, Small Business, Profit Organization, Private nonprofit institution/organization, Quasi-public nonprofit institution/organization, Other private institution/organization, Native American Organization, Anyone/General Public, Health Professional, Scientist/Researcher, Consumer, Minority Group, Handicapped, Youth, Senior Citizen,

Credentials/Documentation:

Standard Form (SF) 424, including SF-424A and SF 424B Application for Federal Assistance. Disclosure of Lobbying Activities (SF-LLL) 49 CFR Part 29 Appendix A, Debarment and Suspension-Primary Covered Transactions 49 CFR Part 29 Appendix B, Debarment and Suspension-Lowered Tier Covered Transactions 49 CFR Part 29 Appendix C, Drug Free Workplace Project Workplan Project Budget 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Procedures vary depending upon the nature of the assistance. For awards made without competition, application procedures will be provided directly to the prospective grantee as part of an invitation to submit an application. For competitive awards, application procedures will be posted on Grants.gov.

Award Procedure:

Competitive project grants and cooperative agreement applications must be submitted to the National Highway Traffic Safety Administration via the grants.gov website. Project grants and cooperative agreements which are to be awarded without competition shall be submitted directly to the NHTSA point of

contact identified for that financial assistance opportunity. Grantees will be required to complete the suite of SF 424 forms, representations and certifications, project plan and budget. NHTSA's Office of Acquisition Management in cooperation with the applicable NHTSA program office will review project plans and budgets. Awards will be based upon the application of defined evaluation factors to applicants project plans and upon analysis of applicants project budgets.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. None. The deadlines shall be established for each grant or cooperative agreement application package. Refer to deadlines established for each Request for Application as presented in Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Renewals and/or extensions vary on a project by project basis. Some discretionary grants and cooperative agreements may include option periods

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time during which assistance is available is determined on a project by project basis. However typically, projects will not exceed five years. The timeframe in which funding must be spent is dependent upon the specific projects budget, the nature of the project, and the type of funding allocated to that project.

Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports are required as well as a final report. Grantee must submit reports which describe progress made during the preceding reporting period. Progress reports will also identify any plans for the upcoming reporting period, any problems that the grantee may be experiencing and any additional assistance it may need from the government. For Discretionary Grants, performance is typically monitored through review of quarterly progress reports. Cooperative Agreements are monitored through progress reports and any other method specified in the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Account Identification:

69-0650-0-1-401.

Obligations:

Project Grants FY 20 \$33,569,750.00; FY 21 est \$42,873,926.00; FY 22 Estimate Not Available; Cooperative Agreements (Discretionary Grants) FY 20 \$31,995,052.00; FY 21 est \$33,495,302.00; and FY 22 est \$35,795,802.00;

Range and Average of Financial Assistance:

The range and average of financial assistance for these grants are \$650,000 - \$1,000,000.

TAFS Codes:

69-0650.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: States used these funds to conduct programmatic assessments. Assessments, based on programmatic guidelines, are conducted by a group of peers to assess their strengths, weaknesses, and to provide recommendations for enhancements. States also used funds to provide grants to sub-recipients to assist

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with the conduct of project proposals, conduct enforcement activities, and to collect and review crash data in order to conduct enforcement in areas where additional efforts are needed based on problem identification

REGULATIONS, GUIDELINES, AND LITERATURE:

Highway Safety Act of 1966 as Amended by PL 114-94 Fixing Americas Surface Transportation Act

Regional or Local Office:

None. NHTSA Office of Acquisition Management

Headquarters Office:

Procurement Systems Specialist, Anita Barber,
1200 New Jersey Avenue, SE, W51-125,
Washington DC 20590
Email: anita.barber@dot.gov
Phone: (202) 366-3989
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 During FY 2020, States used these funds for a variety of traffic safety issues including participation in the Click It or Ticket national mobilization for seat belt use; participation in the Drive Sober or Get Pulled Over alcohol impaired driving crackdown; participation in Child Passenger Safety Week and Distracted Driving Week activities. States also utilized these funds to provide educational events such as inspection stations where parents and caregivers can learn how to properly secure their children in car seats. States used these funds for motorcycle safety awareness campaigns and training, updating databases and conducting programmatic assessments in an effort to gain insight into ways to improve their programs.

CRITERIA FOR SELECTING PROPOSALS:

Grantee criteria for selecting proposals will be developed on an on individual grant or cooperative agreement basis by the NHTSA Program and Acquisition Team.

20.615 E-911 Grant Program

911 Grant Program,

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Middle Class Tax Relief and Job Creation Act of 2012, Title VI, Section 6503,
Public Law 112-96, 47 USC Code 400.

OBJECTIVES:

To provide federal financial assistance for the implementation and operation of 911 services, E-911 services, migration to an IP-enabled emergency network, and adoption and operation of NG911 services and applications; the implementation of IP-enabled emergency services and applications enabled by Next Generation 911 services, including the establishment of IP backbone networks and the application layer software infrastructure needed to interconnect the multitude of emergency response organizations; and training public safety personnel, including call-takers, first responders, and other individuals and organizations who are part of the emergency response chain in 911 services.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

This federal financial assistance may be used by tribes, territories, and states. State grantees are allowed to fund statewide projects, to provide subgrants to local jurisdictions, or some combination. Use of funds is restricted to the following eligible uses: hardware, software, NG911 hosted services, administration and planning, training, consultant services, and operation of dual 911 systems while in transition. Eligible use of funds: Hardware, Software, NG911 services, Consultant

fees, Training, Administration and Planning (10% maximum) and Operation (of dual 911 systems during transition). Applicants must also provide certifications stipulating that specific requirements have been met in order to be eligible for grant funding. Restrictions on how assistance may be used were clearly delineated in the Notice of Funding Opportunity, published on Grants.gov in August of 2018.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; States, U.S. Territories, and Tribal 911 agencies

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; States, U. S. Territories and Tribes

Credentials/Documentation:

Applications for grant funds were required to consist of the following components: (1) State 911 Plan that details the projects and activities proposed to be funded and describes the steps the State has taken to meet statutory and programmatic conditions, such as coordination with local governments, tribal organizations, and 911 Public Safety Answering Points (PSAPs). (2) Project budget for all proposed projects and activities to be funded by the grant funds, including identification of non-Federal sources, if applicable. The proposed projects and activities must meet the eligible use requirement.(3) Supplemental project budget for additional grant funds that may become available. Only applicants that qualify for the initial distribution of grant funds would be eligible to receive additional grant funds, if available. The supplemental project budget must provide as much detail as the project budget, including identification of non-Federal sources, if applicable. (4) Designation of a single officer or government body to serve as the E-911 Coordinator of implementation of E-911 services and to sign the certifications required under this part. (5) Certifications of statutory and programmatic conditions, including certifying that designated E-911 surcharges have not been diverted for any purpose. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Federal staff of the National 911 Implementation Coordination Office (ICO), which includes federal staff of Departments of Commerce and Transportation, will review each application. Standard application forms required by the Governmentwide Common Grant Rule for State, Local, and Indian Tribal Governments must be used for this grant program. Assistance from Federal staff is continuously available to participants in this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Step 1: Those who intended to apply for a grant must have submitted their initial application package, including identification of a designated 911 Coordinator and the required certification, via nhtsa.national911@dot.gov. Step 2: Eligible applicants were required to submit a complete application packet as described in the Notice of Funding Opportunity, published on Grants.gov. In addition to specified standard grant forms, the complete application packet includes a State/Tribal Organization 911 Plan, a project budget, and an optional supplemental project budget. Complete application packages must be submitted electronically through www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on

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Grants.gov.

Award Procedure:

Awards are made per authorizing statute, by the Assistant Secretary for Communication and Information, U.S. Department of Commerce and the Administrator of the National Highway Traffic Safety Administration, U.S. Department of Transportation.

Deadlines:

Application consisted of a two step process. Step one commenced August 9, 2018 and concluded September 10, 2019. Step two commenced February 1, 2019 and concluded April 2, 2019.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 40%. Requirement: Matching requirements are mandatory. Each applicant must provide 40 percent of the cost of each eligible project with non-Federal sources.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Application consisted of a two step process that commenced August of 2018 and concluded April of 2019. The period of performance for grantees ends no later than March 31, 2022. Method of awarding/releasing assistance: other

Reports:

Each grant recipient must submit an annual performance report following the procedures of 49 CFR 18.40 and a final written report. Each grant recipient must also submit quarterly financial reports following the procedures of 49 CFR 18.41, except when a final voucher is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grantees are required to comply with all existing audit requirements.

Records:

Records relating to the program shall be retained for a period of not less than three years from the date of submission of final financial report.

Account Identification:

69-0661-0-1-407.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$13,191 to \$11,399,076. Average: \$1,957,078

TAFS Codes:

69-0661.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: First annual written reports were submitted by grantees and reviewed via conference call with each grantee. Fiscal Year 2021: Second annual written reports were submitted by grantees and reviewed via conference call with each grantee. Select grantees also participated in a desk review.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final Regulation published in Federal Register on August 3, 2018: <https://www.federalregister.gov/documents/2018/08/03/2018-16567/911-grant-program.NOFO> and revision to NOFO published on Grants.gov August 9, 2018 and February 1, 2019 respectively: <https://www.grants.gov/web/grants/search-grants.html?keywords=911>.

Regional or Local Office:

None. nhtsa.national911@dot.gov

Headquarters Office:

National 911 Implementation Coordination Office National Highway Traffic Safety Administration,

1200 New Jersey Avenue, S.E., NPD-400,

Washington DC 20590

Email: nhtsa.national911@dot.gov

Phone: (202) 366-2705

Website Address:

<https://www.911.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications were evaluated to ensure the inclusion of all required components, as stated in the Notice of Funding Opportunity, as posted on Grants.gov. Plan will be evaluated for its compliance with eligible use of funds and statutory and programmatic requirements, and project budget will be evaluated for the inclusion of required matching funds as well as the budgets consistency with the Plan. Federal funds are obligated to support upgrading a 911 system, and applicants have latitude in determining projects upon which they spend their Federal monies.

20.616 National Priority Safety Programs

FEDERAL AGENCY:

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Moving Ahead for Progress in the 21st Century (P.L. 112-141), Title I- Motor Vehicle and Highway Safety Improvement Act of 2012, Section 31105. , Public Law 112-141, Title Fixing America's Surface Transportation Act (FAST) Act, Part 23 CFR Part 1300, Section , Public Law 114-94

OBJECTIVES:

To encourage States to address national priorities for reducing highway deaths and injuries through occupant protection programs, state traffic safety information system improvements, impaired driving countermeasures, passage of effective laws to reduce distracted driving, implementation of motorcyclist safety programs, and the implementation of graduated driving licensing laws.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funding can be used to address national highway safety priority programs to reduce the number of highway deaths and injuries.

Applicant Eligibility:

U.S. Territories and possessions; State; With the exception of the Motorcyclist Safety Program, the 50 States, District of Columbia, Puerto Rico, U.S. territories (American Samoa, Guam, Northern Marianas, and Virgin Islands) are eligible for funding. Under the Motorcyclist Safety Program, the 50 States, District of Columbia, and Puerto Rico are eligible for grant awards.

Beneficiary Eligibility:

State; Funding is provided to State Highway Safety agencies.

Credentials/Documentation:

A State submits certification and application materials that meet eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for

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more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. States submit applications for National Priority Safety Programs with their annual highway safety plan. Both are due July 1 each year.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application materials and applicable certifications, signed by the Governors Representative for Highway Safety, are due to NHTSA on July 1 of each year.

Award Procedure:

Applications are reviewed by subject matter experts to determine if the State meets the specified eligibility requirements. After being informed that the State has been approved for grant award, the State submits to the agency a plan that describes the programs the State will implement using the funds. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 405 grant award. The letter also describes the amount of the grant award. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. MAP-21 authorizes the National Priority Safety Programs for FY 2014 & FY 2015. The FAST Act became effective with FY 2017. Applications are due annually July 1 as part of the Highway Safety Plans

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 4, Part 405, Subpart .Public Law .Matching Requirements: Percent: 80%. Requirement: Matching requirements are mandatory. For all program under Section 405, the Federal share of the costs of the activities funded using amounts from grants awarded under this subsection may not exceed 80 percent for each fiscal year for which a State receives a grant. This program has MOE requirements, see funding agency for further details. Additional Information:

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred; all participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Funding recipients are required to provide an annual program report detailing program activities and accomplishments. Funding recipients are required to provide an annual program report detailing program activities and accomplishments. Voucher and back up documentation as defined in NHTSAs implementing regulation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

Formula Grants FY 20 \$266,064,548.00; FY 21 est \$266,105,000.00; FY 22 est \$280,400,000.00;

Range and Average of Financial Assistance:

\$33,125 - \$8,046,147.33; FY 19 - \$33,996-13,695,523; FY 20 - \$34,346-13,833,119; FY 21 - \$34,346 - \$13,833,119

TAFS Codes:

69-8020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: States utilized the National Priority Safety Program funds for increased seat belt and child passenger safety enforcement and education, and conducted programs such as checkpoints and saturation patrols to remove impaired drivers from the roadways. Funds were used to improve States data systems, education and enforcement of distracted driving laws, training regarding State's pedestrian and bicycle safety laws, and mobilizations such as Click It or Ticket, Drive Sober or Get Pulled Over, Child Passenger Safety Week and Distracted Driving Awareness Week. Fiscal Year 2021: Kentucky, Virginia, and North Carolina participated in a Local Heroes program in Region 3. Local Heroes is a community-centered, multi-media initiative featuring law enforcement officers from State, local and county agencies. The goal of the program is to show the motoring public that officers care about their community and would rather write a ticket than make a death notification. The program compliments the national Click It or Ticket seat belt awareness and enforcement campaign.

REGULATIONS, GUIDELINES, AND LITERATURE:

Moving Ahead for Progress in the 21st Century (P.L. 112-141), Title I- Motor Vehicle and Highway Safety Improvement Act of 2012., Section 31105; to be codified in 23 USC, chapter 4, section 405. The Fixing America's Surface Transportation (FAST) Act (23 U.S.C., Section 405

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for addresses of Regional Offices of the National Highway Safety Administration.

Headquarters Office:

Associate Administrator, Regional Operations and Program Deliver Jamie D. Pfister,
1200 New Jersey Avenue, S.E., NRO-010,
Washington DC 20590
Email: jamie.pfister@dot.gov
Phone: 2023665424
Fax:

Website Address:

<http://nhtsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 During this Columbus Day holiday in 2019, Region 3 and its States rolled out the inaugural Operation Crash Reduction effort. The high-visibility enforcement campaign, October 11-14, successfully brought awareness to area residents and generated citations for seat belt and other traffic safety related violations. The campaign, which coincided with one of the busiest holiday weekends in the Region, was two-fold: to combine increased enforcement and a zero-tolerance policy on seat belt nonuse, and to provide effective communication to road users on the importance of buckling up. Four of the seven participating States showed significant decreases in fatalities during that time. 2021 States participated in Click it or Ticket high visibility enforcement campaign, and Child Passenger Safety Week and Seat Check Saturday with press events and seat checks both in person and virtually in light of the COVID-19 health emergency. States also provided funds for programs to combat distracted driving and reduce the incidence of impaired driving crashes. Region 3 states conducted a Local Heroes program, a community-centered multimedia initiative featuring law enforcement officers from State, city and county agencies in KY, VA and NC. The goal is to show the motoring public that officers care about their community and would rather write a ticket than deliver a death notification.

CRITERIA FOR SELECTING PROPOSALS:

Criteria outlined in statute and regulation and vary for each program. (a) Occupant Protection: meet requirements for a high or low seat belt use categories. (b) State Traffic Safety Information System Improvements: have traffic records coordinating committee that meet 3 times a year; designated TRCC coordinator; an approved State traffic records strategic plan; certify that assessment was done in preceding 5

ASSISTANCE LISTINGS DESCRIPTIONS

years; and demonstrate quantitative measurable progress to program attribute of accuracy, completeness, timeliness, uniformity, accessibility or integration. (c) Impaired Driving Countermeasures: meet requirements for low-range state, mid-range state, or high-range state; State can also receive funding for adoption and enforcement a mandatory alcohol-ignition interlock law. (d) Distracted Driving Grant: enacts and enforces a law that prohibits texting while driving or prohibits youth cell phone use while driving. (e) Motorcyclist Safety: meet 2 of the following criteria; motorcycle rider training course, motorcyclist awareness program, reduction of fatalities and crashes involving motorcycles, impaired driving program, reduction of fatalities and accidents involving impaired motorcyclists, and fees collected from motorcyclists for motorcycle programs(f) State graduated driver licensing incentive grant: State has a graduated driver licensing law that requires novice drivers younger than 21 years of age to comply with the 2-stage licensing process.

20.700 Pipeline Safety Program State Base Grant

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATOR, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Natural Gas Pipeline Safety Act of 1968; Revision of Title 49, US Code, Annotated, Transportation, , Public Law 103-272

OBJECTIVES:

To develop, support and maintain inspection and enforcement activities for State gas and hazardous liquid pipeline safety programs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Federal Pipeline Safety Law authorizes Federal reimbursement of up to 80 percent of a State's expenditure during the year for personnel, equipment, and activities reasonably required by the State agency for the conduct of its pipeline safety program. The Law further stipulates the State expenditures in any given year, without Federal assistance, cannot be less than the average amount expended by the State for gas and hazardous liquid safety programs for the 3 fiscal years prior to the fiscal year in which the Secretary makes the payment, except when the Secretary waives this requirement. The State agency must also agree to provide the remaining cost of the safety program(s). Within these limitations, the available funds are allocated by a method designed to reflect the degree to which a State has met certain established goals. The allocation is determined by assigning point values to the States for having achieved certain levels of program participation, dividing this point score by the sum of the States' point scores, and multiplying this ratio by the funding amount available for the distribution. PHMSA distributes remaining funds according to certain criteria designed to seek improvements in State programs. The criteria used by PHMSA is the extent of intrastate jurisdiction, inspector qualifications, recommended number of inspection person-days, State adoption of applicable Federal regulations, and other relevant criteria. In 2020, the Federal allocations represented 56.00 percent of the total estimated State requests in the natural gas program. The Federal allocation in the hazardous liquid program represented 56.25 percent of the total estimated State requests.

Applicant Eligibility:

State; State must have a 60105 Certification or 60106 Agreement with PHMSA. The Department provides Federal funds (limited to congressional appropriations), up to 80 percent of the State's total program costs to any State agency with a certificate under Section 60105 of Title 49, United States Code, an agreement under Section 60106 of Title 49, United States Code, or to any State acting as a DOT agent on interstate pipelines.

Beneficiary Eligibility:

State; State, District of Columbia, and Puerto Rico.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements, and

"Guidelines for States Participating in the Pipeline Safety Program" Application (plan) due date is September 30. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each State submits with its annual application a summary of estimated program costs by calendar year covering the State gas and/or hazardous liquid pipeline safety activities to PHMSA. The State certifies it is complying with the requirements of the Certification and/or Agreements under 49 USC Sections 60105 and 60106. The estimated budgets and Certification/Agreements are reviewed by State program staff for reasonableness and completeness. Applications are due by September 30th of each year. All State programs certifying to PHMSA they are meeting the program requirements applications are accepted. The Certification and/or Agreement requirements are specified in 49 USC Sections 60105(b) and 60106(a). If a States Certification or Agreement is not accepted the State is notified in writing of the reason for rejection.

Award Procedure:

Awards are made by the Secretary of Transportation by approving the grant award amounts. PHMSA makes the grant application available to each state agency in August of each year. The deadline for filing this application is September 30th. (Note: This deadline is established in 49 USC 60107). Grant applications are submitted on-line through the Federal State Tracking and Reporting FedSTAR web application. Grant applications are reviewed by PHMSA State Program staff to assure the costs submitted are necessary and reasonable expenses for the proper and efficient administration of the pipeline safety program. The appropriated grant funding, contingent on an enacted budget, is allocated (awarded) to individual State Pipeline Safety Programs in May based on each States most recent Program Evaluation and Progress Report scores and the grant allocation formula. Payment agreements are sent to the individual state agencies in May notifying them of the amount of the State Base Grant available to them to pay the individual states cost for the calendar year. States may request reimbursement of their program costs based on actual expenses "to date" in June (Mid-year Payment). In January of each year, PHMSA makes available through FedSTAR the OMB Standard Form 270 - Request for Advance or Reimbursement to each State agency for submission of their total pipeline safety program costs for the previous calendar year. Requests for reimbursement (End of Year Payments) submitted by each State agency are reviewed and approved by PHMSA before being forwarded to the DOT accounting office for payment. Grant funds will be electronically deposited into a bank account established for receipt of Federal funds.

Deadlines:

August 15, 2021 - September 30, 2021. Applications are due by September 30 for the next calendar year

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days from date of receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49 C.F.R. Transportation, Chapter I. PHMSA, DOT, Part 198. REGULATIONS FOR GRANTS TO AID STATE PIPELINE SAFETY PROGRAMS, Subpart B, Public Law . Matching requirements are not applicable to

ASSISTANCE LISTINGS DESCRIPTIONS

this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Expenditures made by a State during the calendar year are eligible for Federal reimbursement. States receiving Federal assistance submit claims for reimbursement in February for the Federal share of the cost of actual expenditures between January 1 and December 31 of the year. Method of awarding/releasing assistance: other

Reports:

SF-270 An annual detailed progress report is required outlining each programs jurisdiction status, operators inspected, inspection days completed, compliance activities, incidents investigated and program accomplishments. SF-270 End of Year Payment Report. List State Actual Costs and reasons for deviation from estimated program costs. Program Evaluation and Progress Report. Triennial grant review is performed on all States to assure funds were spent on proposed projects and in accordance with OMB requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records relating to the program shall be retained for a period of not less than 3 years from the date of submission of the End of Year Payment Report. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Account Identification:

69-5172-0-2-407.

Obligations:

Formula Grants FY 20 \$63,674,721.00; FY 21 est \$58,000,001.00; FY 22 est \$58,000,000.00;

Range and Average of Financial Assistance:

The range of grants awarded \$5,584 - \$6,772,645.

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Pipeline Safety Enforcement of Intrastate Pipelines Calendar Year 2018 Over 48,000 Inspection days Calendar Year 2019 Over 51,000 inspection days Calendar Year 2020 Over 52,000 inspection days

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Training and Qualifications Center, Pipeline Safety Program, Oklahoma City, Oklahoma 73125. "Procedural Guide for the Pipeline Safety Grant Program." "Guidelines for States Participating in the Pipeline Safety Program." "Guidance Manual for Operators of Small Gas Systems."

Regional or Local Office:

None.

Headquarters Office:

Director, State Programs, Office of Pipeline Safety Zach Barrett,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: Zach.Barrett@dot.gov
Phone: (405) 834-8344

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 60107. State pipeline safety grants(a) General authority.--If a State authority files an application not later than September 30 of a calendar year, the Secretary of Transportation shall pay not more than 80 percent of the cost of the personnel, equipment, and activities the authority reasonably requires during the next calendar

year

CRITERIA FOR SELECTING PROPOSALS:

All necessary, reasonable and allowable expenses from Certified State pipeline safety regulatory agencies are considered for funding to the limit of available grant funding. Indirect cost reimbursement is limited to 20% of the actual total direct program costs per the Guidelines for States Participating in the Pipeline Safety Program. This is a formula grant and funding is distributed among Certified State Pipeline Safety Programs based on their performance scores. Pipeline Safety Grants are awarded based on the following formula: Grant Award = State Budget Amount * State Performance Score * Percent Allocation Where Percent Allocation (80% Maximum) = Total Available Funding / Sum of All Programs (State Score * State Budget) / State Budget Amount = Calendar Year Budget Estimate Submitted by each State with Grant Application Annual State Performance Score Outlined in Section 9.7.2 Percent Allocation This is the maximum percentage of total program costs that can be applied to each grantee. There is an 80% maximum funding limited required by Section 60107 of the Pipeline Safety Act. This formula provides for an equal distribution of available funds in the event appropriated funding is less than required for maximum funding. Total Available Funding - This is the annual funding provided by congressional appropriation. This would also include any unexpended (carryover) funds available from previous fiscal years. The combined sum of each State Performance Score multiplied by each State Budget Estimate for all Natural Gas and Hazardous Liquid programs.

20.701 University Transportation Centers Program

UTC Program,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Fixing Americas Surface Transportation (FAST) Act., Title 49, Part 5505(b)(4)(B), Public Law 114-6061, The Consolidated Appropriations Act, 2018, Title 49, Section , Public Law 115-141, The Further Consolidated Appropriations Act of 2020., Title 49, Section , Public Law 116-94

OBJECTIVES:

To provide grants to nonprofit institutions of higher learning for the purpose of establishing and operating university transportation centers that conduct research, education, and technology transfer programs addressing regional and national transportation issues.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The University Transportation Centers will address surface transportation problems and issues and will seek solutions to both long-range and immediate transportation problems. See below for information about grant matching requirements.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Credentials/Documentation:

A formal application outlining in detail how the institution meets program mission and goals; proposed management of the center; detailed delineation of organization, staff, faculty, and budget. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. Applicants must submit a Letter of Intent and identify the research priority area and type of UTC for which they are applying for grant funding.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The complete steps for applying for assistance will be outlined in the UTC Grant Solicitation. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposals are evaluated by a Departmental panel of SMEs on the basis of demonstrated ability toward the current goals of the Department. The selection is made by the Secretary.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 4 months.

Appeals:

Not Applicable.

Renewals:

Other. Incremental annual funding is subject to the availability of authorized funds, and satisfactory completion of prior-year work and timely submission of reporting requirements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Subject to the type of grant awarded. Regional and National grants = 100%; Tier 1 grants = 50% MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Two to five years as designated in the authorizing legislation. Method of awarding/releasing assistance: letter

Reports:

Final technical report at the end of each research project. Request for Advance or Reimbursement (SF 270) Semi-annual and annual progress and financial reports. Federal Financial Reporting form (SF 425) The UTC Semi-Annual Progress Report provides updates on each Centers current accomplishments and performance goals outlined in their Technology Transfer Plan. The annual Performance Indicators Report tracks established program-wide indicator metrics for the completed grant year and includes the metrics for each consortium member.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Consistent with OMB Circular No. 2 CFR 200.

Records:

Recipient records are required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-8083-0-7-401. 69-0417-3-0-000.

Obligations:

Project Grants FY 20 \$83,864,000.00; FY 21 est \$69,164,000.00; FY 22 est \$691,640,000.00;

Range and Average of Financial Assistance:

Smallest FY20 award: \$1,000.00; largest FY20 award: \$2,855,800. The average amount of the financial assistance awards (dollars) that were made in the past and current fiscal years are as follows: The Fixing Americas Surface Transportation (FAST) Act of 2015: National UTCs, \$2,829,000; Regional UTCs, \$2,608,000; Tier 1

UTCs, \$1,414,500; The Consolidated Appropriations Act of 2018: Congestion & Infrastructure UTCs, \$4,887,500; The Further Consolidated Appropriations Act of 2020: Tier 1 Project UTCs, \$1,231,250

TAFS Codes:

69-8083. 69-0417.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Accomplishments of the UTC Program are expected to continue advancing the capabilities of the nations universities to conduct needed transportation research on local, regional and national issues, and educate the future transportation workforce, while continuing to enhance the degree of collaboration between and among universities, develop partnerships with state and local government.

REGULATIONS, GUIDELINES, AND LITERATURE:

Posted on the University Transportation Centers Program website at <http://utc.dot.gov>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Denise E. Dunn Denise E. Dunn, Federal Grants Manager, Department of Transportation Office of the Assistant Secretary for Research and Technology (OST-R) Office of Innovation, Research, and Education (RDT-30) 1200 New Jersey Avenue, S.E., Washington DC 20590

Email: Denise.E.Dunn@dot.gov

Phone: (202) 366-4985.

Fax: 202-493-2993

Website Address:

<https://www.transportation.gov/utc/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Projects are based on the Departments research priority areas and include new and continued research in various disciplines covering several modes of travel using surface transportation.

CRITERIA FOR SELECTING PROPOSALS:

Resources available to conduct work of center; leadership in transportation; established transportation programs in prior years; ability to disseminate results (full text found in authorizing legislation at 49 U.S.C.5505(b)(4)(B) as amended by Pub. L. 112141, Sec. 52009 (effective Oct. 1, 2012)).

20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants

Hazardous Materials Emergency Preparedness Training and Planning Grants (HMEP), Hazardous Materials Instructor Training Grants (HMIT), Supplemental Public Sector Training Grants (SPST), Assistance for Local Emergency Response Training Grants (ALERT), Community Safety Grants (CS).

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Hazardous Materials Transportation Uniform Safety Act of 1990 (Pub. L. 101-615); Revision of Title 49, U.S.C., Annotated, Transportation (Pub. L. 103-272); Hazardous Materials Transportation Authorization Act of 1994 (Pub. L. 103-311); Consolidated and Further Continuing Appropriations Act of 2015 (Pub. L. 113-235) 49 US Code U.S.C. 5101 et seq., , Public Law 103-272 & 311, 49 USC Code U.S.C. 5101.

OBJECTIVES:

Hazardous Materials Emergency Preparedness Grant (HMEP): To increase State, local, territorial and tribal effectiveness to safely and efficiently handle hazardous materials accidents and incidents; enhance implementation of the Emergency

ASSISTANCE LISTINGS DESCRIPTIONS

Planning and Community Right-to-Know Act of 1986 (EPCRA); and encourage a comprehensive approach to emergency planning and training by incorporating response to transportation standards. Hazardous Materials Instructor Training Grant (HMIT): To facilitate a train the trainer program to train hazmat instructors who will then train hazmat employees in the proper handling of hazardous materials. Supplemental Public Sector Training Grants (SPST): to facilitate the training of instructors, thereby increasing the number of training instructors available to conduct hazardous materials responder training programs for individuals with statutory responsibility to respond to hazardous materials accidents and incidents. Assistance for Local Emergency Response Training Grant (ALERT): to promote hazmat response training for volunteer or remote emergency responders to respond to incidents or accidents involving the transportation of crude oil, ethanol and other flammable liquids by rail. Hazardous Materials Community Safety Grants (CS): to conduct national outreach and training programs to assist communities in preparing for and responding to accidents and incidents involving the transportation of hazardous materials, including Class 3 flammable liquids by rail; and train State and local personnel responsible for enforcing the safe transportation of hazardous materials, including Class 3 flammable liquids.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The five grant programs will be used to increase the emphasis on transportation in ongoing efforts and to improve the capability of communities to plan for and respond to the full range of potential risks posed by accidents and incidents involving hazardous materials. HMEP grants have two principal uses. First, to assist States, Territories and Tribes in developing, improving and implementing emergency response plans under EPCRA; including the determination of flow patterns of hazardous materials within a State, between States and lands; determining the need for regional hazardous materials response teams. Second, to stimulate support for training of public sector employees to respond to accidents and incidents involving hazardous materials. HMIT grants will be used to ensure that hazmat employees receive an initial and continuing understanding of the risks involved in transporting hazardous materials, the relevant requirements that have to be met, and the need for performing their duties in a way that will ensure their safety and the safety of others. SPST grants will be used to train instructors to conduct hazardous materials response training programs, to purchase training equipment used exclusively to train instructors to conduct training programs and to disseminate information and materials necessary for the conduct of training programs. ALERT grants will provide hazardous materials direct or web-based training for volunteer or remote emergency responders, with a focus on response activities for the transportation of crude oil, ethanol and other flammable liquids by rail. CS grants will provide national outreach and training programs to assist communities in preparing for and responding to accidents and incidents involving the transportation of hazardous materials, including Class 3 flammable liquids by rail; and train State and local personnel responsible for enforcing the safe transportation of hazardous materials, including Class 3 flammable liquids.

Applicant Eligibility:

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions, State, Federally Recognized Tribal Governments Federally Recognized Tribal Governments, U.S. Territories and possessions, and States may apply for planning and training grants. The Governor or Tribal official of each eligible applicant has been asked to designate an agency responsible for managing the program. DOT will work with the designated organization.

Beneficiary Eligibility:

U.S. Territories; Student/Trainee; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; HMEP: State, Local, Federally Recognized Tribal Governments, U.S. Territories, Student/Trainee HMIT: Public nonprofit institution/organization, Private nonprofit institution/organization SPST: Public nonprofit institution/organization, Private nonprofit institution/organization ALERT: Public nonprofit institution/organization, Private nonprofit institution/organization CS: Public nonprofit institution/organization, Private nonprofit institution/organization All segments of the U.S. including Territories and tribal populations that are involved with management of or possible exposure to hazardous materials benefit. Specifically

Federal, State, and local authorities are assisted through the HMEP grant program with their responsibilities. Students and trainees in emergency response and local emergency planning activities are program beneficiaries since grant funds will be used to benefit local programs.

Credentials/Documentation:

Appropriate legal official must approve legality of agency/organization application and agreement. Costs will be determined in accordance with 2 CFR 200, Subpart E. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The HMEP/HMIT/SPST/ALERT/CS programs are eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact his or her, State, Territory or Tribe for more information on the process required to follow in applying for assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See 2 CFR 200 at: http://www.ecfr.gov/cgi-bin/text-id?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl. Applicants are to complete and submit an application through www.grants.gov. All applications will be reviewed in accordance with the programs regulations, agency procedures, and the annual funding opportunity announcement requirements. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

PHMSA will review applications based upon merit, including the clarity of the project narrative and reasonableness of cost as described in the budget narrative. PHMSA reserves the right to make adjustments that are beneficial to the goals of the HMEP, HMIT, SPST, ALERT and CS grant programs. A review panel of Federal agency representatives will evaluate the grant applications for HMIT, SPST, ALERT and CS programs. Each grant application will be evaluated in accordance with the criteria listed in the NOFO. Special emphasis will be placed on reviewing whether the application has a coherent project narrative that follows the outline of this funding announcement, and whether the costs described in the budget narrative appear to be necessary, reasonable, allowable and allocable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 180 days. Grant awards will be signed by the PHMSA Associate Administrator for Hazardous Materials Safety

Appeals:

Not Applicable.

Renewals:

Other. Grantee submits written extension requests with reasons for requests to the grant program for review and approval

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Matching Requirements: Percent: 20 HMEP grant only. Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

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The length of project periods may extend from time of initial award for 12 months, through the end of the following fiscal year; however phased accomplishments or budget periods will limit the time frame for the funds awarded. Payments will generally be reimbursable, paid based on submission of a Request for Advance or Reimbursement Form 270. Method of awarding/releasing assistance: Reimbursed periodically after submission of incurred costs by grantee. Method of awarding/releasing assistance: other

Reports:

Reports must include all information required in the NOFO. SF-425 and SF-270 Biannual and Final report accountability questions required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements, Subpart F, Audit Requirements (Revised, December 26, 2013) nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Subpart F. Annual audit or Management Report. See 2 CFR 200 at: http://www.ecfr.gov/cgi-bin/text-idxtpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

Records of technical and financial accomplishments must be maintained for 3 years after submission of an acceptable final Financial Status Report, or until any audit issues are resolved.

Account Identification:

69-5282-0-2-407.

Obligations:

Project Grants (Discretionary) FY 20 \$25,244,492.00; FY 21 est \$27,988,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

HMEP grant award ranges are \$24,000 - \$1,500,000. State and Territory HMEP awards are allocated based on a formula that accounts for risk and population. HMIT grant award ranges are \$100,000-\$4,000,000.SPST grant award ranges are \$250,000-\$1,000,000. ALERT grant award ranges are estimated to be between \$500,000-\$2,500,00. CS grant award ranges are estimated to be between \$200,000-\$1,000,000

TAFS Codes:

69-5282.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Past Fiscal Year (2020)Trained over 116,000 emergency responders and hazmat employees Developed 297 emergency response plans, updated 841 emergency response plans, and exercised 794 plans

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements. 49 Code of Federal Regulations, Part 110, Hazardous Materials Public Sector Training and Planning Grants; A grant application kit is available from DOT that includes all guidance, regulatory and administrative requirements.

Regional or Local Office:

None.

Headquarters Office:

Director Aaron Mitchell,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: Aaron.Mitchell@dot.gov

Phone: (202) 366-0579

Fax: (202) 366-3753

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Commodity Flow StudiesHazmat TrainingTable-top ExercisesDevelopment of Emergency Response PlansHazmat Drills and Exercises 2021 Commodity Flow StudiesHazmat TrainingTable-top ExercisesDevelopment of Emergency Response PlansHazmat Drills and Exercises

CRITERIA FOR SELECTING PROPOSALS:

HMEP: Not applicable for grants to States and Territories. Grants made to Tribes will be in accordance with the following selection criteria: (1) Potential Benefit; (2) Priority Need; (3) Number of Public Sector Employees Trained; (4) Long Term Benefit to Tribe; (5) Past Performance. HMIT: Grants made to nonprofit organizations with the ability to train hazmat employees will be in accordance with the following selection criteria: demonstrates quantified need for training; (2) Degree to which the proposed training program meets the identified training needs; (3) Number of instructors to be trained; (4) Projected number of hazmat employees each instructor is expected to train; (5) Projected impact of the training in reducing risk and enhancing hazmat transportation safety ; (6) Organizations prior experience in providing hazmat instructor and employee training and the facilities/mechanisms in place to conduct the training ; (7) Efficiency and cost associated with conducting the training ; (8) Ability to account for program expenditures and program outcomes . SPST: Grants made to national nonprofit fire service organizations will be in accordance with the following selection criteria: How applicants intend to accomplish training for instructors of individuals with statutory responsibility to respond to accidents and incidents involving hazardous materials supporting diverse States and Tribes curriculum ; the applicants analysis of the regions or locations in which fire departments or other organizations providing emergency response to hazardous materials transportation accidents and incidents are in need of hazardous materials training and the applicants method of identifying these needs ; the applicants prioritization of training needs and the applicants explanation of the means for identifying additional specific future training needs ; the applicants prioritization of specific program tasks to be performed and the cost of each task. Since PHMSA expects that the number of applications will exceed the amount of funding, the prioritization is critical; the applicants statement of work for the upcoming budget period that describes and set priorities for the activities and tasks to be conducted, the costs associated with each activity, the number and types of deliverables and products to be completed, and a schedule for implementation. ALERT: PHMSA will review applications based upon merit, including the clarity of the project narrative and reasonableness of cost as described in the budget narrative. PHMSA reserves the right to make adjustments that are beneficial to the goals of the ALERT Grant program. A review panel of Federal agency representatives will evaluate the grant applications. Each grant application will be evaluated in accordance with the criteria listed below. Special emphasis will be placed on reviewing whether or not the application has a coherent project narrative that follows the outline of this funding announcement, and whether or not the costs described in the budget narrative appear to be necessary, reasonable, allowable and allocable. Applications will be evaluated as exceptional, acceptable, or unacceptable. CS: PHMSA will review applications based upon merit, including the clarity of the project narrative and reasonableness of cost as described in the budget narrative. PHMSA reserves the right to make adjustments that are beneficial to the goals of the current FY CS Grant program. A review panel of Federal agency representatives will evaluate the grant applications. Each grant application will be evaluated in accordance with the criteria listed below. Special emphasis will be placed on reviewing whether or not the application has a coherent project narrative that follows the outline of this funding announcement, and whether or not the costs described in the budget narrative appear to be necessary, reasonable, allowable and allocable. Applications will be evaluated as exceptional, acceptable, or unacceptable.

ASSISTANCE LISTINGS DESCRIPTIONS

20.706 Pipeline Emergency Response Grant (PERG)

PERG,

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATOR,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Public Law 116-260, 49 USC Code 60125(b).

OBJECTIVES:

Advance the abilities of emergency responders to manage pipeline emergencies through improved training, cooperation, and communication.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Advance the abilities of emergency responders to manage pipeline emergencies through improved training, cooperation, and communication.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State, county, and local governments

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Appropriate legal official must approve legality of agency/organization application and agreement. Costs will be determined in accordance with 2 CFR 200, Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

PHMSA will review applications based upon merit, including the clarity of the project narrative and reasonableness of cost as described in the budget narrative. PHMSA reserves the right to make adjustments that are beneficial to the goals of the PERG program. A review panel of Federal agency representatives will evaluate the grant applications. Each grant application will be evaluated in accordance with the criteria listed in the NOFO. Special emphasis will be placed on reviewing whether or not the application has a coherent project narrative that follows the outline of this funding announcement, and whether or not the costs described in the budget narrative appear to be necessary, reasonable, allowable and allocable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Extensions are granted on a case by case basis

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of project periods may extend from the time of initial award for 12 months, through the end of the following fiscal year; however phased accomplishments or budget periods will limit the time frame for the funds awarded.

Payments will generally be reimbursable, paid based on submission of a Request for Advance or Reimbursement Form 270. Method of awarding/releasing assistance: Reimbursed periodically after submission of incurred costs by grantee. Method of awarding/releasing assistance: other

Reports:

Reports must include all information required in the NOFO. SF-425 and SF-270 Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

No additional requirements.

Records:

Three years from the date of submission of the final expenditure report.

Account Identification:

69-1400-0-1-000.

Obligations:

Training FY 20 Not Available; FY 21 est \$3,000,000.00; and FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

69-1400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None

Regional or Local Office:

None.

Headquarters Office:

Director Aaron Mitchell,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: Aaron.Mitchell@dot.gov
Phone: 2023660579
Fax: 2023663753

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 FY 21: New GrantProjections Technical AssistanceEmergency Response ManagementIncident Command SystemsPipeline Emergency Response TrainingLiquefied Natural Gas Emergencies 101Pipeline Emergency Response Tabletop ExercisesHazmat Awareness/Technician/Specialist/Operations TrainingEmergency Response Guidebook (ERG) TrainingPipeline Emergencies: Command Officer Strategies (PECOS)Pipeline Emergencies: Company Officer Tactics (PECOT)Pipeline Emergencies: Dispatcher Awareness Training (PEDAT) 2022 FY 22: Projection Technical AssistanceEmergency Response ManagementIncident Command SystemsPipeline Emergency Response TrainingLiquefied Natural Gas Emergencies 101Pipeline Emergency Response Tabletop ExercisesHazmat Awareness/Technician/Specialist/Operations TrainingEmergency Response Guidebook (ERG) TrainingPipeline Emergencies: Command Officer Strategies (PECOS)Pipeline Emergencies: Company Officer Tactics (PECOT)Pipeline Emergencies: Dispatcher Awareness Training (PEDAT)

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.707 Hazardous Materials State Inspection

ASSISTANCE LISTINGS DESCRIPTIONS

(HMSI) Grant

HMSI Grant,

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATOR,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Title Consolidated Appropriations Act, 2021, , Public Law 116-260

OBJECTIVES:

The HM State Inspection Grant provides training and financial support for State entities to perform hazardous material shipper inspections. The goal of this program is to expand PHMSA and the States ability to ensure industry compliance therefore, reducing the risk of a hazardous materials incidents.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Training or conducting hazmat shipper and packaging inspections.

Applicant Eligibility:

State; State enforcement entities charged with inspecting hazardous materials shipments/cargo.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Appropriate legal official must approve legality of agency/organization application and agreement. Costs will be determined in accordance with 2 CFR 200, Subpart E. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

PHMSA will review applications based upon merit, including the clarity of the project narrative and reasonableness of cost as described in the budget narrative. PHMSA reserves the right to make adjustments that are beneficial to the goals of the HMSI program. A review panel of Federal agency representatives will evaluate the grant applications. Each grant application will be evaluated in accordance with the criteria listed in the NOFO. Special emphasis will be placed on reviewing whether or not the application has a coherent project narrative that follows the outline of this funding announcement, and whether or not the costs described in the budget narrative appear to be necessary, reasonable, allowable and allocable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 60 to 180 days

Appeals:

Not Applicable.

Renewals:

Other. Extensions are granted on a case by case basis

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of project periods may extend from the time of initial award for 12 months, through the end of the following fiscal year; however phased accomplishments or budget periods will limit the time frame for the funds awarded. Payments will generally be reimbursable, paid based on submission of a Request

for Advance or Reimbursement Form 270. Method of awarding/releasing assistance: Reimbursed periodically after submission of incurred costs by grantee.

Method of awarding/releasing assistance: other

Reports:

Reports must include all information required in the NOFO. SF-425 and SF-270

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503
No additional requirements

Records:

Three years from the date of submission of the final expenditure report

Account Identification:

69-1401-0-1-400.

Obligations:

Project Grants (Discretionary) FY 20 Not Available; FY 21 est \$2,500,000.00; and FY 22 est \$2,500,000.00;

Range and Average of Financial Assistance:

\$2,000,000 - \$2,500,000

TAFS Codes:

69-1401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director Aaron Mitchell,
1200 New Jersey Avenue, S.E.East Bldg. 2nd Floor,
Washington DC 20590

Email: Aaron.Mitchell@dot.gov

Phone: 202-366-0579

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Not Applicable 2021 Train state inspectors to perform hazmat shipper and packaging inspections. Shipper inspection expenses to include personnel, travel, and inspection supplies/equipment expenses. 2022 Train state inspectors to perform hazmat shipper and packaging inspections. Shipper inspection expenses to include personnel, travel, and inspection supplies/equipment expenses.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.710 Technical Assistance Grants

Information Grants to Communities- Technical Assistance Grants,

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATOR,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Pipeline Inspection, Protection, Enforcement and Safety Act of 2006, , Public Law 109-468, Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011, , Public Law 112-90, Protecting Our Infrastructure of Pipelines and Enhancing Safety Act of 2016, , Public Law 114-183, Pipeline Safety Improvement Act of

ASSISTANCE LISTINGS DESCRIPTIONS

2002, , Public Law 107-355, 49 USC Code 60130. Protecting our Infrastructure of Pipelines and Enhancing Safety (PIPES) Act of 2020.,

OBJECTIVES:

Advancement of pipeline safety through the funding of local communities and organizations for technical assistance related to pipeline safety issues. Technical assistance is defined as engineering, research, and other scientific analysis of pipeline safety issues, including the promotion of public participation on technical pipeline safety issues.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grant program provides funding to local communities and organizations (non-profit) for technical assistance in the form of engineering or other scientific analysis of pipeline safety issues in addition to promoting public participation in official proceedings.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Local governments and non-profit institutions (includes State-designated Indian Tribes, private nonprofit institutions/organizations and public nonprofit institutions/organizations; excludes institutions of higher education, hospitals, and for profit entities)

Beneficiary Eligibility:

Local; Private nonprofit institution/organization; Public nonprofit institution/organization;

Credentials/Documentation:

A written application addressing the evaluation criteria. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed information for the application procedures is in the annual funding opportunity announcement. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A committee of pipeline safety stakeholders will review and evaluate the grant applications. Grants will be awarded to applicants with the highest merit based on the evaluation criteria until the available funds are exhausted.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Range of Approval/Disapproval Time from 120 to 180 days. Applications are typically due 45 to 60 days after notice of funding opportunity announcement is posted to www.Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 months from date of award. Method of awarding/releasing assistance: other

Reports:

SF-425 and SF-270 Each grant recipient will be required to provide a mid-year and a final report to PHMSA demonstrating progress and completion of the work as outlined in the grant agreement. Further, each recipient of a grant must ensure

that: (1) the technical findings made possible by the grants are made available to the relevant operators; and,(2) open communication is maintained between the grant recipients, local operators, local communities and other interested parties. SF-425

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

Grant recipient must maintain, for a period of 3 years beyond the end of the grant project period of performance: (a) documentation supporting the costs incurred under the grant, and (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-1400-0-2-407.

Obligations:

Project Grants (Discretionary) FY 20 \$1,184,506.00; FY 21 est \$2,151,536.00; FY 22 est \$2,500,000.00;

Range and Average of Financial Assistance:

The range is \$0 - \$1,000,000

TAFS Codes:

69-1400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: TAG awards have successfully funded activities for improvement of local pipeline emergency response capabilities, development of pipeline safety information resources, community and pipeline awareness campaigns, and enhancements in public participation in official proceedings pertaining to pipelines.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 192.614, 49 CFR 192.616, 49 CFR 195.440, 49 CFR 195.442, 49 CFR 198.37, and 49 CFR 198.39 Individual copies of regulations may be requested from: Office of Pipeline Safety, Pipeline and Hazardous Materials Safety Administration, Department of Transportation, 1200 New Jersey Avenue, SE, East Building, 2nd Floor, Washington, DC 20590, Telephone: (202) 366-4595, Fax: (202) 366-4566. Also, available on-line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49cfr3_02.tpl

Regional or Local Office:

None.

Headquarters Office:

Director, State Programs, Department of Transportation, Pipeline Zach Barrett, 1200 New Jersey Avenue, S.E., Washington DC 20590

Email: Zach.Barrett@dot.gov

Phone: 4058348344

Fax:

Website Address:

<http://primis.phmsa.dot.gov/tag>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of current projects include pipeline safety and risk assessment studies; GIS mapping; public awareness and public engagement to increase awareness of pipelines; development of a website and training tools that aid in pipeline emergency response; and community awareness and public education.

CRITERIA FOR SELECTING PROPOSALS:

PHMSA developed evaluation criteria for project proposals to rate and select among competing applications. Each criterion is weighted equally and is intended to align with the challenges and strategies impacting pipeline safety. Submission of an application is not a guarantee of award. The evaluation criteria are as follows:

ASSISTANCE LISTINGS DESCRIPTIONS

(1) The extent to which the Applicants project scope establishes clear goals and objectives that focus on areas where a pipeline failure could pose a significant risk to people or to unusually sensitive environmental areas (see definition in 49 C.F.R 195.6); (2) The extent to which the Applicants project scope identifies specific pipeline safety concerns or risks that affected pipelines pose to the affected geographic areas to stakeholders and/or communities; (3) The extent to which the proposal demonstrates the Applicants experience with and commitment to foster open communication with affected operators and other key members of the community to ensure project success; (4) The extent to which the Applicants proposal aligns with the challenges and strategies in PHMSAs Strategic Plan and seeks to improve performance and safety over time in areas such as engineering, damage prevention, land use, public education, emergency response, and community awareness; (5) The extent to which the Applicants project plan clearly establishes resources, milestones, and estimated project costs that align with project goals and objectives; (6) The extent to which the Applicants project plan identifies measurable results and deliverables and specifies how results will be evaluated and disseminated to affected stakeholders; and, (7) The extent to which the Applicants project scope provides the potential for learning or technology transfer to other groups and communities.

20.720 State Damage Prevention Program Grants

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Pipeline Inspection, Protection, Enforcement, and Safety Act of 2006, , Public Law 109-468, 49 USC Code 60134. Title Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011, , Public Law 112-90, Title Protecting Our Infrastructure of Pipelines and Enhancing Safety Act of 2016, , Public Law 114-183, Title Pipeline Safety Improvement Act of 2002, , Public Law 107-355, 49 USC Code 60130. Title Protecting our Infrastructure of Pipelines and Enhancing Safety (PIPES) Act of 2020,

OBJECTIVES:

To improve State Damage Prevention programs, which are intended to protect underground facilities from excavation damage.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Personnel, equipment, and activities that are needed by the State authority to improve damage prevention programs.

Applicant Eligibility:

State; U.S. Territories and possessions; State (including U.S. Territory or possessions) authority designated by the Governor is eligible to apply for a grant as long as an agency within the State (including U.S. Territory or possessions) has an annual Section 60105 (49 U.S.C.) certification or Section 60106 (49 U.S.C.) agreement in effect with PHMSA. If a State (including U.S. Territory or possessions) does not have a certification or agreement with PHMSA, then no State (including U.S. Territory or possessions) authority can receive a grant.

Beneficiary Eligibility:

U.S. Territories; State; State Government, U.S. Territory and possessions would receive the ultimate benefit from this program.

Credentials/Documentation:

A written application describing the status of the State Damage Prevention Program and plans for improvements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Detailed information for the application procedures is in the annual notice of funding opportunity announcement Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A committee of pipeline damage prevention stakeholders will review and evaluate the grant applications. Grants will be awarded to applicants with the highest merit based on the evaluation criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days of receipt. Award is contingent on a current fiscal year appropriation and enacted budget.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 months from date of award. Method of awarding/releasing assistance: other

Reports:

Progress Report; Final Report; Mid-term Financial Status Report; Final Financial Status Report. During the performance of the grant (progress report) and at the end of the grant period (final report), the Grantee must provide a letter-type written report that describes the results of all activities undertaken to date as a result of the grant. The report must include the following:1. A comparison of actual accomplishments to the objectives established for the period.2. Where the output of the project can be quantified, a computation of the cost per unit of output.3. The reasons for slippage if established objectives were not met.The project report is due 6 months after the project period start date. The Final Report is due no later than 90 days after the project period end date. During the performance of the grant, the Grantee must submit a mid-term Federal Financial Report, Standard Form 425 (SF-425), to report the status of funds. In addition to SF-425, the Grantee should provide the breakdown of costs for each object class category (Personnel, Fringe Benefits, Travel, Equipment, Supplies, Contractual, Other, and Indirect Charges). This report is due 6 months after the project period start date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

Grant recipient must maintain for a period of three years: (a) documentation supporting the cost incurred under the grant, and (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-5172-0-2-407.

Obligations:

Project Grants (Discretionary) FY 20 \$1,255,051.00; FY 21 est \$1,728,728.00; FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

The range is \$0-\$100,000.

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2020: States (including District of Columbia and Puerto Rico) with adequate enforcement of one call laws, based on PHMSAs determination of adequacy, has continually increased based on CY data:2016: 28 states inadequate, 24 adequate2017: 15 inadequate, 37 adequate2018: 10 inadequate, 42 adequate2019: 9 inadequate, 43 adequateIn same period damage rates (number of damages per thousand excavation request tickets) decreased from 3.0 to 2.6 per thousand tickets.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 192.614, 49 CFR 192.616, 49 CFR 195.440, 49 CFR 195.442, 49 CFR 198.37, and 49 CFR 198.39 Individual copies of regulations may be requested from: Office of Pipeline Safety, Pipeline and Hazardous Material Safety Administration, Department of Transportation, 1200 New Jersey Avenue, SE East Building, 2nd Floor, Washington, DC 205901, Telephone: (202) 366-4595 Fax: (202) 366-4566. Also available on line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49cfr195_main_02.tpl.

Regional or Local Office:

None.

Headquarters Office:

Director, State Programs, Department of Transportation, Pipeline Zach Barrett, 1200 New Jersey Avenue, S.E.,

Washington DC 20590

Email: Zach.Barrett@dot.gov

Phone: 4058348344

Fax:

Website Address:

<http://www.phmsa.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Public education campaigns Personnel costs for enforcement programs
Excavator training programs

CRITERIA FOR SELECTING PROPOSALS:

The State Damage Prevention grant application process is competitive. Applications will be evaluated against the selection criteria listed below as well as against each other. Submission of an application is not a guarantee of award. PHMSA may award a grant based on an application in its entirety, award only portions of a grant application, or not award an application at all. Each Application will be reviewed against the criteria listed below. The criteria are weighted as follows: Criterion 1. is most heavily weighted; criterion 2 is second most heavily weighted; criterion 3 is third most heavily weighted; criterion 4 is fourth most heavily weighted; criterion 5 is fifth most heavily weighted. An effective damage prevention program as stated in 49 U.S.C. 60134 (b) includes one or more of the nine (9) elements below. Grant funding is available to assist state in aligning with one or more of these nine elements. The number of elements addressed in an application will not affect the evaluation of the application. Criterion 1. Relevance to the Nine Elements - This criterion will be used to evaluate proposed work under each element addressed on the application. This criterion will be used to evaluate proposed projects that: Clearly link results to one or more of the nine elements. Have merit for advancing implementation or continued support of one or more of the nine elements within the state. Align with the meaning and intent of the nine elements as described in PHMSAs Damage Prevention Assistance Program (DPAP) Guide (available at <http://primis.phmsa.dot.gov/comm/publications/DPAP-Guide-FirstEdition-20080911.pdf>). Proposed work under each element will be evaluated separately. Criterion 2. Costs, Results, and Schedule This criterion will be used to evaluate proposed work under each element addressed in the application. This criterion will be used to evaluate proposed projects that: Will produce tangible results within the proposed project period. Establish clear goals, objectives, milestones, and estimates of project costs. Have deliverables that do not overlap with the deliverables of any other PHMSA grant award. Use funds efficiently and effectively. Proposed work under each element will be evaluated separately. Criterion 3. States Commitment to the Nine Elements - This criterion will be used to evaluate the applicants description of existing damage prevention activities as they relate to the nine elements. This criterion will be used to evaluate applications that demonstrate the

State has made substantial progress toward, or has clear and concrete plans for, implementing the nine elements. Criterion 4. - States Commitment to Damage Prevention Program Effectiveness. This criterion will be used to evaluate the applicants description of any legislative or regulatory actions (including studies, etc.) taken by the State within the past five (5) years pertaining to damage prevention program improvement. This criterion will be used to evaluate applications that demonstrate the States commitment to ensuring lasting damage prevention program effectiveness and continuing improvement, including any legislative and/or regulatory actions taken within the past five years or other significant activities, such as efforts of study groups or task teams established to analyze the States damage prevention program. Criterion 5. Past Performance. This criterion will be used to evaluate past performance of applicants who have received a PHMSA State Damage Prevention grant in the past. Past performance includes fulfillment of grant agreements in a timely manner and compliance with grant terms and conditions. Applicants who received funding previously for a project that is ongoing and are requesting grant funding for the continuation of that project should provide a summary of accomplishments and tasks completed during the previous grant period. The information provided should include measurable results and deliverables, and include any information about tasks that were not completed or other challenges encountered during the grant period. Evaluation of applications from applicants who have not received a PHMSA State Damage Prevention grant in the past will not be affected positively or negatively by this criterion.

20.721 PHMSA Pipeline Safety Program One Call Grant

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATON, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Transportation Equity Act for the 21st Century, , Public Law 105-178, 49 USC Code 6106.

OBJECTIVES:

To provide funding to State agencies in promoting damage prevention, including changes with their State underground damage prevention laws, related compliance activities, training and public education.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Eligible programs will cover such areas as compliance enforcement, legal assistance with enforcement actions, new equipment to support on-going enforcement programs, compliance monitoring, one call center statistics, compliance/noncompliance statistics, One-call membership initiatives, computer equipment, communication improvements, development and/or conduct of state-provided training programs for locators, development and/or distribution of educational items or materials, damage prevention awareness campaigns, public service announcements, informational mailings, advertisements and One Call center educational items.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; A state is eligible if it qualifies under section 49 USC 6104 (b).

Beneficiary Eligibility:

State; State (Includes District of Columbia and Puerto Rico) Also, State agency with a Certification or Agreement under 60105 or 60106 of Title 49 USC.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with 2 CFR 200, and "One Call Application Guidelines". Application (plan) is opened in October each year. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. U.S. Department of Transportation, Pipeline & Hazardous Materials Safety Administration, Pipeline Safety, PHP-50, 1200 New Jersey Avenue, SE Washington, DC 20590. Each State submits a detailed description of the proposed project activity in one of the three priority categories listed in the criteria section with estimated costs on how the money would be spent. The application will be specific regarding what the funding would buy, and what otherwise unmet need the funding would help resolve. If the proposed activity was previously submitted and approved, the applicant will be required to provide a description of results from previous action of the activity. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Proposed projects are reviewed by a group of peers for their completeness with estimated project criteria as described in the criteria section.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days of receipt. Award is contingent on a current fiscal year appropriation and enacted budget.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Period of performance is 12-18 months from the award date depending on the award date Method of awarding/releasing assistance: other

Reports:

SF-270 A final progress report is due 45 days after the period of performance ends. Detailed expenditures per category in the progress report budget narrative. Triennial grant review is performed on all States to assure funds were spent on proposed projects and in accordance with OMB requirements. Each State progress report is reviewed prior to award of future grant.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

All records relating to the projects must be retained for a period of not less than 3 years from the date of submission of fund expenditure report.

Account Identification:

69-5172-0-2-407.

Obligations:

Project Grants (Discretionary) FY 20 \$1,058,001.00; FY 21 est \$1,058,000.00; FY 22 est \$1,058,000.00;

Range and Average of Financial Assistance:

The range for grants awarded \$6,000 to \$60,000

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Excavation Damage per 1000 excavation tickets have downward trend. 2017 2.75 per thousand 2018 2.67 per thousand 2019 2.55 per thousand 2020 - 2.50 per thousand

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199.

Individual copies available from the Transportation Safety Institute, PHMSA Training and Qualification, Oklahoma City, Oklahoma 73125. "Guidelines for States Participating in the Pipeline Safety Program." Also available on-line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49tab_02.tpl

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of Pipeline and Hazardous Materials Safety Administration regional office addresses.

Headquarters Office:

Director, State Programs, Department of Transportation, Pipeline Zach Barrett, 1200 New Jersey Avenue, S.E.,

Washington DC 20590

Email: Zach.Barrett@dot.gov

Phone: (405) 834-8344.

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Costs for State Compliance and Enforcement Personnel Public Awareness Campaigns Underground Locator Training Stakeholder Educational Efforts

CRITERIA FOR SELECTING PROPOSALS:

Eligibility is determined by 49 USC, Section 6104. Criterion 1. Relevance to the established funding priorities This criterion will be used to evaluate proposed work under each project addressed in the application and will be used to evaluate proposed projects that enhance public safety, protect the environment, minimize risks to excavators, and prevent disruption of vital public services by reducing the incidence of underground facilities during excavation through the voluntary adoption and efficient implementation by all states of state One-Call notification programs that meet the minimum standards. Funding priorities are established and communicated to all potential grantees by distribution of One-Call Grant Application Guidelines. These guidelines are updated annually. Criterion 2. Costs and Project Scope This criterion will be used to evaluate proposed work under each element addressed in the application and will be used to evaluate proposed projects that: Will produce tangible results within the project period Establish clear goals, objectives, milestones, and estimates of project costs Use funds efficiently and effectively. Criterion 3. Past Performance This criterion will be used to evaluate past performance of applicants that have received a PHMSA One-Call grant in the past. Past performance includes fulfillment of grant agreements in a timely manner and compliance with grant terms and conditions. Applicants that received funding previously for a project that is ongoing, and are requesting grant funding for the continuation of that project, should provide a summary of accomplishments and tasks completed during the previous grant period. The information provided should include measurable results and deliverables, and also include any information about tasks that were not completed or other challenges encountered during the grant period.

20.723 PHMSA Pipeline Safety Research and Development Other Transaction Agreements

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Pipeline Safety Improvement Act of 2002, , Public Law 107-355, 49 USC Code 60101.

OBJECTIVES:

To sponsor research and development projects focused on providing near-term solutions that will improve the safety, reduce environmental impact, and enhance the reliability of the Nations pipeline transportation system.

TYPES OF ASSISTANCE:

Project Grants

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

This research program is used for: fostering the development of new technologies so that pipeline operators can improve safety performance and more effectively address regulatory requirements; targeting and feeding new knowledge into the process of keeping standards relevant to their purpose; and generating and promoting general knowledge to decision makers.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Any eligible source may submit proposals for consideration. Eligible entities include, but are not limited to, universities or institutions of higher education, hospitals, non-profit organizations, private individuals, corporations, and businesses or commercial organizations. All offerors must be registered in the Federal Governments System for Award Management (SAM) prior to submitting a proposal (www.sam.gov). Small Disadvantaged (SD), Service-Disabled Veteran-Owned Small Business Concerns, Veteran-Owned (VO), Woman-Owned (WO), Economically Disadvantaged Women-Owned, and Historically Underutilized Business Zone (HUBZone) Small Business Concerns, Historically Black Colleges and Universities (HBCUs) and Minority Institutions (MIs) are encouraged to submit proposals on their own and/or in collaboration with others. However, no portion of this RA will be set aside or reserved exclusively for any of the groups identified above.

Beneficiary Eligibility:

Anyone/general public; Anyone/general public can receive benefits from the eligible applicant.

Credentials/Documentation:

Proposals should be direct, concise, and must not exceed a total of 20 single sided pages not including the Title Page or Table of Contents. The merit review panel uses the first 20 pages to complete their evaluation. Any Appendix submitted is not included with in the 20 page limitation, and should include Resumes, Letters of Co-Funding Commitment(s) and Audit Reports (Accounting System Approval). Proposals must also include completed versions of attachments 1 through 6 of this announcement in their appendix. The proposal must be typed, double-spaced, using 12-point font on 8 by 11 paper, with 1 margins on all sides. Tables/graphs may use a smaller font. Proposals that do not meet these format specifications may be removed from the review. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Yes, if the awardee is a state or local government.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In response to a PHMSA Research Announcement. Full proposals will be awarded by Other Transaction Agreement requiring the relevant resource sharing stated within this document.

Award Procedure:

In response to a PHMSA RA. Full proposals will be awarded by Other Transaction Agreement requiring the relevant resource sharing stated within this document.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 30-45 days for review of proposals (if requested).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter 12, Part 60101, Subpart .Public Law 112-90. Matching Requirements: Percent: 30%. Requirement: Matching requirements are mandatory. Statutory Formula: The Protecting our Infrastructure of Pipelines and Enhancing Safety Act of 2016 Public Law 114-183 requires the following matching funds in Section 22 (b)(2)(C):(i) at least 30 percent of the costs of technology research and development activities may be carried out using non-Federal sources;(ii) at least 20 percent of the costs of basic research and development with universities may be carried out using non-Federal sources; and(iii) up to 100 percent of the costs of research and development for purely governmental purposes may be carried out using Federal funds.If selected for award an Other Transaction Agreement is awarded by PHMSA. Matching requirements are mandatory.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of an Other Transaction Agreement is normally between 1 and 3 years. Method of awarding/releasing assistance: quarterly Method of awarding/releasing assistance: quarterly

Reports:

Draft final project report, Final project report. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl Invoices are to be submitted no more frequently than quarterly. Peer Reviews are conducted on the project. They normally occur during the first quarter of each calendar year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records are subject to Government examination or audit, and must be maintained for 3 years from the completion of the Other Transaction Agreement.

Account Identification:

69-5172-0-2-407.

Obligations:

Project Grants FY 20 \$21,666,259.00; FY 21 est \$5,758,062.00; FY 22 est \$13,000,000.00;

Range and Average of Financial Assistance:

The range of awards is \$150,000 to \$1,000,000. The average is \$375,000

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The following project registered technology transfer during FY 2020.In-Ditch Validation Methodology for Determination of Defect Sizing<https://primis.phmsa.dot.gov/matrix/PrjHome.rdm?prj=503> Fiscal Year 2021: The following project registered technology transfer during FY 2021. Development, Field Testing and Commercialization of a Crack and Mechanical Damage Sensor for Unpiggable Natural Gas Transmission Pipelines <https://primis.phmsa.dot.gov/matrix/PrjHome.rdm?prj=496>

REGULATIONS, GUIDELINES, AND LITERATURE:

An in depth description of the PHMSA Pipeline Safety R&D Program can be found at <http://primis.phmsa.dot.gov/rd/>. A listing of OTA awards can be found at: <http://primis.phmsa.dot.gov/matrix/>.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Program Manager Robert Smith,
U.S. DOT, Pipeline and Hazardous Materials Safety Administration, Office of
Pipeline Safety, 1200 NJ Ave S.E.,
Washington DC 20590

Email: robert.w.smith@dot.gov

Phone: 919-238-4759

Fax:

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The following are 3 topic area examples that were funded in FY 2020. 1. Minimizing Damage to Underground Plastic Pipes 2. Developing Sensors to Detect Mercaptan Gas 3. Validating Models for Predicting Gas Migration and Mitigating its Occurrence/Consequence 2021 The following are 3 topic area examples that will be funded in FY 2021. 1. Corrosion, Erosion-Corrosion, Material Compatibility, and Associated Design of Gas Gathering Pipelines; 2. Investigating Cement and Other Materials as a Barrier in Underground Gas Storage Wells; and 3. Evaluating/Demonstrating the Feasibility of LNG Storage Tank Operations Without Bottom Fill Capabilities.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria, for each PHMSA Research Announcement, is located in the announcement document.

20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP)

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATOR,
TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Pipeline Safety Improvement Act of 2002, Public Law 107-355, 49 USC Code 60101.

OBJECTIVES:

The CAAP initiative is intended to spur innovation by enabling an academic research focus on high-risk and high pay-off solutions for the many pipeline safety challenges. It will potentially deliver solutions that can be hand-offs to PHMSA's core research program of demonstration and deployment. The goal would be to validate proof of concept of a thesis or theory all the way to commercial penetration into the market. Further, the pipeline industry and federal/state regulators are experiencing low numbers of applicants to entry level positions that are technically focused. As such, another goal of the CAAP program is to expose graduate and PhD research students to subject matter that is common to pipeline safety challenges and to illustrate how their engineering or technical disciplines are highly needed in the field. The ultimate benefit would be to cultivate new talent in all aspects of pipelining, similar to how programs at other Federal Agencies and non-profit organizations have encouraged talent to consider a career in a certain field.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Awards will be made in the form of cooperative agreements, which will play a role in finding the solutions to national, regional and local pipeline operational safety and environmental challenges. Some of these challenges involve having the best technology to efficiently and effectively meet or exceed Federal and State regulatory requirements. Other challenges are in keeping critical industry consensus standards fresh with the latest knowledge and know-how so that people, property and the environment are protected.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Applicants must be non-profit institutions of higher education located in the United States or a U.S. territory or possession.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; Graduate Student; U.S. Citizen; Public nonprofit institution/organization; Solutions from academic research agreements to non-profit institutions of higher education will benefit the American public who has a stake in safe, reliable and environmentally friendly pipeline transportation of hydrocarbons.

Credentials/Documentation:

Applications must include a letter on the submitting institutions letterhead that certifies minimum requirements are understood and will be met. The letter must be signed by the Dean or equivalent level of that college, department or program and inserted Appendix A to the technical application. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To submit an application, applicants must be registered at www.grants.gov. For new users, go to http://www.grants.gov/applicants/get_registered.jsp, or go to the main page at <http://www.grants.gov/> and click on Register In the top right corner. Please note that new user registrations for www.Grants.gov can take up to two weeks to complete. For additional questions on how to register, contact Grants.gov support. Application Content & Format - In preparing their technical proposal, applicants must utilize the technical application templates attached to the opportunity. The attachment will include instructions regarding required content and information. Applicants must only apply for funding that can reasonably be spent within the proposed period of performance. The Technical Application requirements are summarized below but elaborated upon in the provided template. Failure to follow these requirements may result in the removal of an application from consideration. 1) All information supporting the evaluation criteria must be contained within 20 pages which does not include the Cover Page, the Technical Application Information Page, or the Appendix; 2) The appendix may include additional information; however, this information will not be used by reviewers in evaluating the proposal (except to determine that each applicant has submitted a certification letter regarding minimum requirements); 3) The Certification Letter of Minimum Requirements must be the first page in the Appendix; 4) Times New Roman 12pt font 1.15 line and paragraph spacing for text body. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-id.pl?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

PHMSA will conduct an initial administrative review of each application to determine if it is complete and meets the minimum eligibility requirements. A team composed of PHMSA program staff representatives and, potentially, industry experts will then conduct a technical review to evaluate and rate each application against the evaluation criteria and to evaluate the acceptability of the proposed budget. Cooperative agreements will be awarded to applicants having the highest merit until the available funding is exhausted. PHMSA intends to solicit applications under this program on a yearly basis during the second quarter of the calendar year, with applications due during the second quarter.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

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Other. Applications are normally due within 45 days after the announcement is posted to www.Grants.gov.

Appeals:

Not Applicable.

Renewals:

Other. No-cost extensions of up to 90 days may be authorized by the Agreement Officer in order to complete final reports.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The announcement will be posted to www.Grants.gov and eligible applications must be submitted within 45 days. Recipients will have between 24 and 36 months from the date of award (typical length of projects) to spend the money awarded. Method of awarding/releasing assistance: Agreements will facilitate academic research funding that can reasonably be spent within the project period of performance (estimated at 24 and 36 months). Funding will be provided by Electronic Fund Transfer. Method of awarding/releasing assistance: other

Reports:

Draft Final Report (template will be provided), Final Report and Internet Based Presentation (held remotely; template will be provided). SF-270, Request for Advance or Reimbursement, and SF-425, Federal Financial Report. Brief Quarterly Status Reporting via email (reporting categories will be conveyed) & Mid-Term Summary (phone discussion categories will be conveyed).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-id.x?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award must be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

69-5172-0-2-407.

Obligations:

Cooperative Agreements FY 20 \$1,999,912.00; FY 21 est \$1,859,424.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

The current program level is \$2,000,000 (2-4 awards are anticipated depending on funding levels requested).

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: PHMSA awarded eight CAAP research projects within the following solicited topic areas of the NOFO: 1. Pipeline Safety Academic Collaboration with Industry 2. Alternating Current Corrosion Affecting Pipelines in Common Corridors 3. Performance Degradation of Plastic Pipe Fiscal Year 2021: Fiscal Year 2021: PHMSA anticipates awarding three new CAAP research projects within the following solicited topic areas of the NOFO: 1. Remote Monitoring Technology 2. Artificial Intelligence Automation Solutions

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Agreement Administrator (AA) and Agreement Officer's (AOR) Nathan Schoenkin,
Pipeline & Hazardous Materials Safety Administration, Acquisition Services Division 1200 New Jersey Ave, SE.,
Washington DC 20590
Email: nathan.schoenkin@dot.gov
Phone: (202) 366-4774

Website Address:

<http://www.phmsa.dot.gov/pipeline>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 In FY 2020, PHMSA awarded eight projects within these three research topic areas as solicited by the Notice of Funding Opportunity (NOFO) # 693JK320NF0001. 1. Pipeline Safety Academic Collaboration with Industry 2. Alternating Current Corrosion Affecting Pipelines in Common Corridors 3. Performance Degradation of Plastic Pipe 2021 In FY 2021, PHMSA will be awarding three projects within these two research topic areas as solicited by the Notice of Funding Opportunity (NOFO) # 693JK321NF0002. 1. Remote Monitoring Technology 2. Artificial Intelligence Automation Solutions

CRITERIA FOR SELECTING PROPOSALS:

PHMSA developed evaluation criteria to rate and select competing applications. Submission of an application is not a guarantee of award. PHMSA may, at its discretion, award a grant based on an application in its entirety, award only portions of a grant based on its application, or not award a grant at all. Applications will be evaluated against the following evaluation criteria: 1. The extent to which the applicants proposal meets the research topic area needs; 2. The extent to which the applicants proposed research topic solution can be readily implemented; 3. The extent to which the applicants proposal identifies research partnership(s) and subject matter expert involvement, as well as how involved the identified partner(s) will be in the project; 4. The extent to which the applicants proposal clearly establishes work scope, tasks, milestones, and estimated project costs that align with project goals and objectives, as well as whether any potential risks have been identified and mitigated; 5. The extent to which the applicants proposed plan involves students and defines their specific tasks and commitment to the project; and 6. Whether the location of the project(s) is a Qualified Opportunity Zone designated pursuant to 26 U.S.C. 1400Z-1. In addition, PHMSA expects the proposed results of the projects to be factual, unbiased, verifiable, and repeatable to the extent practicable. Applicants should also be aware that a cooperative agreement will not convey any authority to recipients to secure information or cooperation from pipeline operators.

20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant

FEDERAL AGENCY:

PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF

AUTHORIZATION:

Protecting our Infrastructure of Pipelines and Enhancing Safety Act (PIPES) 2016, , Public Law 114-183, 49 USC Code 60101. Protecting our Infrastructure of Pipelines and Enhancing Safety (PIPES) Act of 2020,

OBJECTIVES:

Participating state entities, through annual Certification/Agreements with PHMSA, will inspect and enforce the federal underground storage regulations for intrastate underground natural gas storage facilities located within the state.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

To participate, state law must provide the state entity with the authority to establish

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and enforce regulations over the downhole portion of intrastate storage facilities. Participating state entities, through annual Certification/Agreements with PHMSA, will inspect and enforce the federal underground storage regulations for intrastate underground natural gas storage facilities located within the state. PHMSA will support participating state entities with grant funding paying a portion of their inspection and enforcement program costs based on the available federal funding for the program.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; State must have a 60105 Certification or 60106 Agreement with PHMSA. The Department provides Federal funds, up to 80 percent of the State's total program costs to any State agency with a certificate under Section 60105 of Title 49, United States Code, an agreement under Section 60106 of Title 49, United States Code, or to any State acting as a DOT agent on interstate pipelines.

Beneficiary Eligibility:

U.S. Territories; State; State (Includes District of Columbia and Puerto Rico) Also, State agency with a Certification or Agreement under 60105 or 60106 of Title 49 USC.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with 2 CFR 200. Application (plan) is opened in early August and due September 30. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each State submits with its annual application a summary of estimated program costs by calendar year covering the State gas and/or hazardous liquid pipeline safety activities to PHMSA. The State certifies it is complying with the requirements of the Certification and/or Agreements under 49 USC Sections 60105 and 60106. The estimated budgets and Certification/Agreements are reviewed by State program staff for reasonableness and completeness. Applications are due by September 30th of each year. All State programs certifying to PHMSA they are meeting the program requirements applications are accepted. The Certification and/or Agreement requirements are specified in 49 USC Sections 60105(b) and 60106(a). If a States Certification or Agreement is not accepted the State is notified in writing of the reason for rejection.

Award Procedure:

PHMSA makes the grant application available to each state agency in August of each year. The deadline for filing this application is September 30th. (Note: This deadline is established in 49 USC 60107). Grant applications are submitted on-line through the Federal State Tracking and Reporting FedSTAR web application. Each State submits with its annual application a summary of estimated program costs by calendar year covering the State gas and/or hazardous liquid pipeline safety activities to PHMSA. The State Certifies it is complying with the requirements of the Certification and/or Agreements under 49 USC Sections 60105 and 60106. The estimated budgets and Certification/Agreements are reviewed by State Program Staff for reasonableness and completeness. All State Programs Certifying to PHMSA they are meeting the program requirements applications are accepted. The Certification and/or Agreement requirements are specified in 49 USC Sections 60105(b) and 60106(a). If a States Certification or Agreement is not accepted the State is notified in writing of the reason for rejection. Grant applications are reviewed by PHMSA State Program staff to assure the costs submitted are necessary and reasonable expenses for the proper and efficient administration of the pipeline safety program. Awards are made by the Secretary of Transportation. The appropriated grant funding, contingent on an enacted budget,

is allocated (awarded) to individual State Pipeline Safety Programs based on each States most recent Program Evaluation and Progress Report scores and the grant allocation formula (*note: 2018 was the first year of this grant program, program evaluation and progress report scores will not be available to apply to the formula until 2020. Allocation of funds will be at 80% of available funding, or at a percentage based on the amount of available funding). Notice of Grant Award agreements are sent to the individual state agencies notifying them of the amount of the Grant available to them to pay the individual states cost for the calendar year. States may request reimbursement of their program costs based on actual expenses "to date" in June (Mid-year Payment). In January of each year, PHMSA makes available through FedSTAR the OMB Standard Form 270 - Request for Advance or Reimbursement to each State agency for submission of their total pipeline safety program costs for the previous calendar year. Requests for reimbursement (End of Year Payments) submitted by each State agency are reviewed and approved by PHMSA before being forwarded to the DOT accounting office for payment. Grant funds will be electronically deposited into a bank account established for receipt of Federal funds.

Deadlines:

August 15, 2021 - September 30, 2021. Applications are submitted in September for the next calendar year.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days of receipt. Award is contingent on a current fiscal year appropriation and enacted budget.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49, Transportation, Chapter I, PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION, Part 198, REGULATIONS FOR GRANTS TO AID STATE PIPELINE SAFETY PROGRAMS, Subpart B, Grant Allocation, Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Expenditures made by a State during the calendar year are eligible for Federal reimbursement. States receiving Federal assistance submit claims for reimbursement in February for the Federal share of the cost of actual expenditures between January 1 and December 31 of the year. Method of awarding/releasing assistance: other

Reports:

SF-270 An annual detailed progress report is required outlining each programs jurisdiction status, operators inspected, inspection days completed, compliance activities, incidents investigated and program accomplishments and any other items required by program guidelines or PHMSA Administrator. SF-270 End of Year Payment Report. List State Actual Costs and reasons for deviation from estimated program costs Program Evaluation and Progress Report. Triennial grant review is performed on all States to assure funds were spent on proposed projects and in accordance with OMB requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Also see: 2 CFR 200 at http://www.ecfr.gov/cgi-bin/text-id?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

Records:

All records relating to the projects must be retained for a period of not less than 3 years from the date of submission of final expenditure report for grant period.

Account Identification:

69-5172-0-2-407.

Obligations:

Formula Grants FY 20 \$5,490,426.00; FY 21 est \$4,871,250.00; FY 22 est

ASSISTANCE LISTINGS DESCRIPTIONS

\$8,000,000.00;

Range and Average of Financial Assistance:

For FY 2020, the awards range from \$12,360 to \$3,813,000. For FY 2021, the awards range from \$12,340 to \$3,800,000.

TAFS Codes:

69-5172.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Transportation Safety Institute, PHMSA Training and Qualification, Oklahoma City, Oklahoma 73125. "Guidelines for States Participating in the Pipeline Safety Program." Also available on-line at http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title49/49tab_02.tpl

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of Pipeline and Hazardous Materials Safety Administration regional office addresses.

Headquarters Office:

Director, State Programs, Department of Transportation, Pipeline Zach Barrett, 1200 New Jersey Avenue, S.E., Washington DC 20590
Email: Zach.Barrett@dot.gov
Phone: (405) 834-8344

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 60107. State pipeline safety grants(a) General authority.--If a State authority files an application not later than September 30 of a calendar year, the Secretary of Transportation shall pay not more than 80 percent of the cost of the personnel, equipment, and activities the authority reasonably requires during the next calendar year

CRITERIA FOR SELECTING PROPOSALS:

Eligibility is determined by 49 USC, Section 6104. Please describe criteria for selecting proposals: All reasonable, allowable and necessary inspection and enforcement expenses from Certified State agencies are considered for funding to the limit of available grant funding.

20.802 Federal Ship Financing Guarantees

(Title XI),

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Federal Credit Reform Act, , 46 USC Code 537.

OBJECTIVES:

To provide competitive financing through the issuance of guarantees of debt issued for the purpose of financing or refinancing the construction, reconstruction or reconditioning of vessels built in United States shipyards and guarantee obligations for advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States to stimulate commercial ship construction for domestic and export sales, encourage shipyard modernization, and support increased productivity.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Guarantees are available to aid in financing of: (1) advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States, and (2) vessels (including eligible export vessels) which are designed for research or for commercial use (a) in coastwide or

intercoastal trade; (b) on the Great Lakes or on bays, sounds, rivers, harbors, or inland lakes of the United States; (c) in foreign trade; (d) as an ocean thermal energy conversion facility or plant; (e) as floating drydocks, or (f) with respect to an eligible export vessel, in world-wide trade. Any vessel of not less than 5 net tons, (other than a towboat, barge, scow, lighter, car float, canal boat or tank vessel of less than 25 gross tons) is eligible.

Applicant Eligibility:

Individual/Family; Profit organization; An individual with the ability, experience, financial resources, and other qualifications necessary for the adequate operation and maintenance of a vessel or an eligible shipyard.

Beneficiary Eligibility:

Individual/Family; Profit organization; Anyone/general public; U.S. Citizen; U.S. and foreign shipowners, or eligible U.S. shipyards.

Credentials/Documentation:

Financial history, economic feasibility of project, operating history, citizenship of applicant. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Informal preapplication meeting is recommended. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Form MA-163 must be filed with the Office of Marine Financing, Maritime Administration, 1200 New Jersey Avenue, S.E., Washington, DC 20590.

Award Procedure:

Final approval granted by the Maritime Administrator.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. The Maritime Administration is required to approve or deny an application within two years of receiving a signed application. The deadline may be extended up to two years by applicant.

Appeals:

Not Applicable. none

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance provided as ship/shipbuilding technology is constructed and/or throughout life of ship/shipbuilding technology, but in any case not to exceed 25 years from vessel delivery or economic life of the assets being financed. Method of awarding/releasing assistance: other

Reports:

Cash Reports: The recipient shall submit an electronic copy of the SF- 270 form, no more frequently than monthly for Advance or Reimbursement, and the SF-425 Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR

ASSISTANCE LISTINGS DESCRIPTIONS

200.503See "Index of Current Regulations," Maritime Administration.

Records:

Financial reports as per contract. Annual reports regarding citizenship, no default certificates, vessel requirements.

Account Identification:

69-1752-0-1-403.

Obligations:

Guaranteed/Insured Loans FY 20 \$325,530,000.00; FY 21 est \$63,075,000.00; FY 22 est \$490,311,572.00;

Range and Average of Financial Assistance:

Historically projects have ranged from less than \$1 million to several hundred million. (Average is not entered, because it would not be typical of the breadth of the program.)

TAFS Codes:

69-1752.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20 Title XI approved a loan guarantee application in the amount of \$331,340,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 298. Booklet entitled, "Federal Ship Financing Program."

Regional or Local Office:

See Regional Assistance Locations. See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Marine Financing David M. Gilmore,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: david.gilmore@dot.gov
Phone: (202) 366-2118

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

20.808 Capital Construction Fund; 11.415 Fisheries Finance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects which involve the construction, reconstruction or reconditioning of vessels in the United States and advanced and modern shipbuilding technology for a general shipyard facility are eligible for Title XI financing. In order to receive approval, applicants must possess the necessary ability, experience, financial resources and other qualifications. The financial resources necessary for the project are determined by the project's costs and the financial position and resources available to the applicant. Additionally, all projects must be determined to be economically feasible before approval can be granted. Applications are generally processed with a view towards accommodating the time frame of each and every applicant.

20.803 Maritime War Risk Insurance

(Title XII, MMA, 1936).

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Merchant Marine Act of 1936, , Statue U.S.C, Public Law 110-417, 46 USC Code 539.

OBJECTIVES:

To provide war risk insurance to U.S.-flag and foreign vessels whenever it appears to the Secretary of Transportation that adequate insurance for waterborne commerce cannot be obtained on reasonable terms and conditions from commercial companies.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

Insurance binders or policies on certain vessels in operation or under construction are provided for nominal cost in times of peace, conflict, and national security purposes.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; All U.S. flag vessels, and certain foreign flag vessels meeting specific criteria as determined by the Maritime Administrator.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Industrialist/ Business person; Covered beneficiaries include vessel owners, third party liabilities, merchant mariners, and certain designated beneficiaries of deceased mariners.

Credentials/Documentation:

Applications, vessel data, unqualified contract of commitment, and other supporting data as required by 46 CFR Part 308. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Complete and proper filing of applications, supporting documents, and payment of appropriate binding fees or promises as specified in 46 CFR Part 308.

Award Procedure:

Binders issued only to those applicants that qualify.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 5 to 15 working days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Indeterminate; depends on war situation and posture of the country. Method of awarding/releasing assistance: letter

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable in peacetime.

Account Identification:

69-4302-0-3-403.

Obligations:

Insurance FY 20 \$100,000.00; FY 21 est \$100,000.00; FY 22 est \$100,000.00;

Range and Average of Financial Assistance:

Applicable only in designated combat areas, subject to terms of the binder.

TAFS Codes:

69-4302.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and Regulations are set forth under 46 CFR 308 (as published under authority of Public Law 101-115) and 309 as amended from time to time in the Federal Register.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Office of Marine Insurance Michael Yarrington,
Office of Marine Insurance 1200 New Jersey Avenue, SE Washington, District of Columbia 20590 United States,
Washington DC 20590

Email: michael.yarrington@dot.gov

Phone: (202)366-1915

Website Address:

<http://www.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.806 State Maritime Schools

State Maritime Schools or Academies (SMA),

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Maritime Education and Training Act of 1936, , Public Law 96-453, 46 USC Code 51501 - 51511.

OBJECTIVES:

To educate and train future merchant marine officers at the State Maritime Academies (SMA). The education and training of these students prepares them to graduate and enter the maritime industry in numerous capacities, including crewing merchant vessels, maritime opportunities ashore and also to be available during national emergencies or contingencies.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use, Training, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Operation and maintenance of the State Maritime Schools/Academies; training vessel fuel, maintenance and repair of training vessels loaned by Federal Government; student incentive payments to selected U.S. qualified students; and marketing activities related to the Student Incentive Payment (SIP) Program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State institutions of higher education. Assistance is limited to one maritime academy in any one State.

Beneficiary Eligibility:

Student/Trainee; Students, meeting eligibility requirements.

Credentials/Documentation:

Formal application from State Governor setting forth evidence of establishment of school; State appropriation funds must be provided to the SMAs that must match the federal funds provided by MARAD in direct payments; suitable curriculum and facilities. Maintain the training vessels in accordance with US Coast Guard regulations and maintain safety and seaworthiness guidelines. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Letter from a State Governor to the Secretary of Transportation or the Maritime Administrator.

Award Procedure:

Need for training must be established and school must satisfy established maritime training standards The final award is approved by the Maritime Administrator. For cooperative agreements relating to SIP program marking activities, final award determinations will be made by appropriate MARAD program officials at HQ (and 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards will apply).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. For cooperative agreements relating to SIP program marking activities, awards will be renewed only if provided for in the cooperative agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Annual assistance payments of \$600,000 to the regional academies if matched by State appropriations and if out-of-State students are enrolled. Federal assistance consists of (1) lump-sum annual assistance payment to schools, (2) loan of vessel and payment for its maintenance, and (3) student incentive payments. States are required to show that their annual funding for the school at least matches the Federal lump sum assistance payment. For cooperative agreements relating to SIP program marking activities, any matching requirements will be at the discretion of appropriate MARAD program officials at HQ. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annual payments to the schools are given normally on a lump-sum basis. SIP payments are paid directly to the students per semester. For cooperative agreements relating to SIP program marking activities, the time limits for any award will be set forth in the terms and conditions of the cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Semester enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator. . For cooperative agreements relating to SIP program marking activities, the number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. Annual submission of State contributions. For cooperative agreements relating to SIP program marking activities, the number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. Semester enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator. For cooperative agreements relating to SIP program marking activities, the number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. SF-425 Semester enrollment by class. Annual repair and maintenance as needed. Other at request of the Maritime Administrator.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 .In accordance with the provisions of OMB Circular 2 CFR 200 nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular 2 CFR 200.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Pertaining to each school, its officers, faculty and students, the vessel and crew, and the shore base are required to be kept for the period of employment/enrollment, plus 6 years. For cooperative agreements relating to SIP program marking activities, recordkeeping requirements will be set forth in the terms and conditions of the cooperative agreement.

Account Identification:

69-1750-0-1-403.

Obligations:

Direct Payments for Specified Use FY 20 \$6,000,000.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Direct Payments for Specified Use FY 20 Not Available; FY 21 est \$6,000,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Annual assistance payment of \$500,000 to each regional school provided State matches funds and admits eligible out-of-state student. A subsistence of \$8,000 per academic year, not to exceed 4 years, paid to selected students in good standing in the Student Incentive Payment (SIP) Program, NTE a total of 300 students.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funded projects included application of funding to sustain, maintain or improve training and educational facilities at the State Maritime Academies. Examples include upgrades to or building new training facilities and classrooms, simulator development and maintenance, waterfront/pier/training vessel berth maintenance, and infrastructure development and maintenance.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310A; Standard college catalogs are available from the schools listed below .

Regional or Local Office:

See Regional Assistance Locations. (a) Federal Government: none; (b) standard information for prospective students: Maine Maritime Academy, Castine, ME 04421; Massachusetts Maritime Academy, Buzzards Bay, MA 02532; State University of New York Maritime College, Fort Schuyler, NY 10465; Texas A&M Maritime Academy, Galveston, TX 77550; California Maritime Academy, Vallejo, CA 94591; Great Lakes Maritime Academy, Traverse City, MI 49684.

Headquarters Office:

Christopher Wahler,
The Department of Transportation, Maritime Administration, Office of Maritime Labor and Training (MAR-650), 1200 New Jersey Ave S.E.,
Washington DC 20590

Email: christopher.wahler@dot.gov

Phone: 2023665469

Fax:

Website Address:

<http://www.dot.gov>

RELATED PROGRAMS:

20.807 U.S. Merchant Marine Academy; 11.417 Sea Grant Support;

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of funded projects could include application of funding to sustain, maintain or improve training and educational facilities at the State Maritime Academies. Examples include upgrades to or building new training facilities and classrooms, simulator development and maintenance, waterfront/pier/training vessel berth maintenance, and infrastructure development and maintenance.

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.807 U.S. Merchant Marine Academy

USMMA Kings Point, New York,

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Maritime Education and Training Act, , Public Law 46-51301-51316 U.S.C.

OBJECTIVES:

The USMMA is a Federal Service Academy and an accredited institution of higher education to provide instruction to individuals to prepare them for service in the Merchant Marine of the United States. USMMA provides undergraduate educational programs leading to a Bachelor of Science degree and a U.S. Coast Guard (USCG) Merchant Mariner Credential with an officer endorsement.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

This program is a federally operated maritime academy providing education, training, subsistence, books, quarters, uniforms, medical care, and program travel to its U.S. citizen students. Appropriations are restricted to the above and the operation and maintenance of the school. International students may attend, at the expense of their sponsoring nation.

Applicant Eligibility:

Individual/Family; High school graduates who are U.S. citizens and international students in accordance with legislation.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Student/Trainee; U.S. Citizen; Youth (16-21); High school graduates who are U.S. citizens and eligible international students.

Credentials/Documentation:

Midshipman are nominated by members of Congress and competitively selected for admittance to the Academy. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. U.S. citizens desiring appointment to the school apply to Senate or House of Representative members or Maritime Administrator for nomination.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Nominating officials submit nominations to school's Office of Admission. Selection is competitive and merit-based.

Award Procedure:

In addition to a congressional nomination, candidates must have satisfactorily completed their high school education at an accredited secondary school or its equivalent. They must have earned at least 15 units of credit: Three units in English; Three units in mathematics (from algebra, geometry and trigonometry); One unit in physics or chemistry with a laboratory. Admittance is competitive and merit based.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The USMMA curriculum provides a four year Maritime focused education that leads to a Bachelor of Science degree. Method of awarding/releasing assistance: other

Reports:

Program reports are submitted by the request of the Maritime Administration. SF-425 At the request of the Maritime Administration. SF-425 At the request of the

ASSISTANCE LISTINGS DESCRIPTIONS

Maritime Administration.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Annual financial statement audit is conducted currently by KPMG. The audit scope includes Department of Transportation, and the Maritime Administration.

Records:

Account Identification:

69-1750-0-1-403.

Obligations:

Training FY 19 \$88,593,000.00; FY 20 est \$85,441,000.00; FY 21 est \$81,944,000.00;

Range and Average of Financial Assistance:

All the funds appropriated to the USMMA are available in the fiscal year enacted.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: During Academic year 2019-2020, 1034 Midshipman were enrolled and 210 Midshipman graduated. Fiscal Year 2021: During Academic year 2020-2021, 1001 Midshipman were enrolled and 235 Midshipman are expected to graduate.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310 Subpart C; Standard college catalogs and brochures.

Regional or Local Office:

None. Director of Admissions U.S. Merchant Marine Academy 300 Steamboat Road, Admissions Center Kings Point, New York 11024-1699 USMMA Admissions Office and Field Representatives listed in the Catalog

Headquarters Office:

Academy Liaison Michael Bedryk,
300 Steamboat Road,
Kings Point NY 11024
Email: michael.bedryk@usmma.edu
Phone: 516-726-5641

Website Address:

<http://www.dot.gov>

RELATED PROGRAMS:

20.806 State Maritime Schools; 11.417 Sea Grant Support;

EXAMPLES OF FUNDED PROJECTS:

2022 USMMA provides undergraduate educational programs for men and women (Midshipmen) to become shipboard officers and leaders in the maritime transportation field.

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.808 Capital Construction Fund

Capital Construction Fund (CCF),

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Merchant Marine Act of 1936, Section 607, Statue 1018 U.S.C., Public Law 91-469, 46 USC Code 53501 - 53517.

OBJECTIVES:

To provide for replacement vessels, additional vessels or reconstructed vessels, built and documented under the laws of the United States for operation in the United States foreign, Great Lakes, Marine Highways or noncontiguous domestic trades.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The capital construction fund program is a program created to help rejuvenate the American Merchant Marine. The assistance provided is not through payment of appropriated funds, but rather allows the fund holder to defer a portion of tax monies that would otherwise be paid to the U.S. Government during the tax year. The extension of tax deferral privileges encourages the accomplishment of the program objective by allowing the fund holder to accumulate and use otherwise taxable earnings for the purposes of acquiring, constructing or reconstructing vessels built and documented in the United States and operated in the United States foreign, Great Lakes or noncontiguous domestic trade and in the fisheries.

Applicant Eligibility:

Individual/Family; Profit organization; An applicant must be a U.S. citizen, own or lease one or more eligible vessels, have a program for the acquisition, construction or reconstruction of a qualified vessel and demonstrate the financial capabilities to accomplish the program.

Beneficiary Eligibility:

Individual/Family; Profit organization; U.S. Citizen; None

Credentials/Documentation:

Be able to provide proof of requirements called for in the Applicant Eligibility section of this program. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact the Maritime Administration, Office of Financial Approvals.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. . See Federal Register Notice (46 CFR Part 390, Appendix I) dated January 29, 1976. Persons seeking to enter into a Capital Construction Fund Agreement may make application by letter to the headquarters office shown below.

Award Procedure:

Citizenship of the applicant, whether the applicant owns or leases one or more eligible vessels, has an acceptable program for the acquisition, construction or reconstruction of a qualified vessel and has the financial capability to accomplish the program. Approved by the Associate Administrator for Business and Finance Development.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends upon the individual program agreed to between the Maritime Administration and the applicant. Method of awarding/releasing assistance: other

Reports:

Annual reports specified in the agreement and the rules and regulations. Annual reports specified in the agreement and the rules and regulations. SF-425 Annual reports specified in the agreement and the rules and regulations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

ASSISTANCE LISTINGS DESCRIPTIONS

from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All financial records are subject to audit by the Internal Revenue Service and/or the Maritime Administration, Department of Transportation.

Records:

See Reports.

Account Identification:

69-1750-0-1-403.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Applicant receives tax benefits for depositing assets in accordance with the program.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Capital Construction Fund Agreement; Parts 390 and 391, Title 46, CFR; Part 3, Title 26, CFR.

Regional or Local Office:

See Regional Assistance Locations. See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Financial Approvals Daniel Ladd,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: daniel.ladd@dot.gov
Phone: (202)366-1859

Website Address:

<http://www.dot.gov>

RELATED PROGRAMS:

20.802 Federal Ship Financing Guarantees; 20.812 Construction Reserve Fund;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedure section of this program.

20.812 Construction Reserve Fund

Construction Reserve Fund (CRF),

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Merchant Marine Act of 1936, Section 511, Public Law 74-835, 46 USC Code 1161.

OBJECTIVES:

To promote the construction, reconstruction, reconditioning, or acquisition of merchant vessels built and documented under the laws of the United States for purposes of national defense and the development of U.S. commerce.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Construction Reserve Fund (CRF), authorized under 46 USC 1161, is a financial assistance program which provides tax deferral benefits to U.S. flag operators. The CRF permits the fundholder to deposit gains attributable to the sale of, or indemnification for, loss of vessels and to defer tax on such gains provided the gains are to be reinvested in vessels. Also the CRF allows the accumulation of earnings from operations of vessels documented in the U.S., or the earnings from the investment of the Fund. The ability to accumulate funds and the tax deferral

provision have enabled fundholders to construct or reconstruct in the United States, and documented under the laws of the United States, larger and better-equipped vessels, to reduce mortgage debt on the vessels, and to construct a greater number of vessels than would be possible without the program.

Applicant Eligibility:

Profit organization; Anyone/general public; A Construction Reserve Fund (CRF) may be established by any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S., or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual may establish a CRF.

Beneficiary Eligibility:

Industrialist/ Business person; U.S. Citizen; Any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S. or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual.

Credentials/Documentation:

Be able to provide proof of requirements called for in Applicant Eligibility section of this program. The program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact the Maritime Administration, Office of Financial Approvals and Marine Insurance. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. You may manually enter in any other application procedures not found above See Federal Register Notice (46 CFR 287.4). Persons seeking to establish a Construction Reserve Fund may make application by letter to the headquarters office shown below. This program is excluded from coverage under OMB Circular No. 2 CFR 200.

Award Procedure:

Review of the application by the Office of Financial Approvals and Marine Insurance to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on each individual program agreed upon between the Maritime Administration and the applicant. Method of awarding/releasing assistance: letter

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

See Reports section of this program.

Account Identification:

69-1750-0-1-403.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Defer tax on gains by depositing the gains attributable to the sale of or indemnification for loss of vessels in accordance with the program.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 287; Order and Form of Resolution which outlines details pertaining to the establishment and maintenance of the Construction Reserve Fund.

Regional or Local Office:

See Regional Assistance Locations. Offices listed in appendix IV of the Catalog.

Headquarters Office:

Director, Office of Financial Approvals Daniel Ladd,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: daniel.ladd@dot.gov
Phone: (202)366-1859

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

20.808 Capital Construction Fund; 20.802 Federal Ship Financing Guarantees;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review of the application by the Office of Financial Approvals and Marine Insurance to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

20.813 Maritime Security Fleet Program or Ship Operations Cooperation Program

(Maritime Security Program).

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Omnibus Appropriations Bill of 2015; NDAA for Fiscal Year 2017, P.L. -112-239; 1122, Section 212(f), , Public Law 112-238, The Maritime Security Act of 2003; the National Defense Authorization Act (NDAA) for Fiscal Year 2013, , Public Law 108-136, 46 U.S.C. Section 53101 et. seq.

OBJECTIVES:

The MSP helps sustain a fleet of 60 active, commercially viable, militarily useful, privately-owned vessels operating under U.S. registry to meet national defense and other security requirements. Participating operators are required to make their ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Vessel's Operations restricted to U.S. foreign trade. Public purpose of support and promotion of new concepts for the carriage of cargo, improve communications, share technology, improve transportation security and research.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; U.S. citizens and operators of U.S. flag vessels.

Beneficiary Eligibility:

Profit organization; Industrialist/ Business person; Ownership and operation of vessels and facilities useful to the United States in time of war or national emergency.

Credentials/Documentation:

Ownership of U.S. documented vessels. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Solicitation for applications in the Federal Register; None.

Award Procedure:

MARAD Office of Sealift Support and the U.S. Transportation Command review applications against criteria established in the Maritime Security Act of 2003 (46 U.S.C. 5310111). Please see 46 C.F.R. 296.03 for additional details on application requirements and protocol.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 90 Days from closing of application.

Appeals:

Other. Decisions concerning administration of the Maritime Security Program will be referred to the Maritime Administrator.

Renewals:

Other. Program is based on 1-year contracts renewable each year, subject to funding, and not to extend past fiscal year 2035.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One year contracts, renewable yearly, payable in monthly installments. Method of awarding/releasing assistance: other

Reports:**Audits:**

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Maritime Security Program subject to both internal and external audit. These audits will be made by the Office of the Inspector General (DOT) in accordance with the General Accounting Office guidelines, "Standards for Audit of Government Organizations, Programs, Activities and Functions," and additional OMB guidance. There is an annual reporting requirement for audited financial statements in 46 C.F.R. 296.32.

Records:

Vouchers monthly.

Account Identification:

69-1711-0-1-054.

Obligations:

Direct Payments for Specified Use FY 20 \$300,000,000.00; FY 21 est \$314,000,000.00; FY 22 est \$318,000,000.00;

Range and Average of Financial Assistance:

Authorized \$3.1 million per vessel per year (FY 2012-2015); \$3.5 million (FY 2016); \$4.999 (FY2017); \$5.0 million (FY 2018-20); \$5.23 million (FY 2021); and \$3.7 million (FY2022-2025); \$5.8 million (FY2026 - FY2028); \$6.3 million (FY2029 - FY2031); \$6.8 million (FY2032 - FY2035)

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

69-1711.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 296.

Regional or Local Office:

None.

Headquarters Office:

Director, Sealift Support William McDonald,

1200 New Jersey Avenue, S.E.,

Washington DC 20590

Email: william.g.mcdonald@dot.gov

Phone: (202) 366-0688

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Vessel is required to maintain U.S.-flag presence in foreign commerce and/or necessary for meeting military sealift requirements; (2) Vessel must meet MARAD and USTRANSCOM requirements for both commercial viability and military usefulness; (3) Applicant possesses operational expertise and intermodal assets useful to the United States in meeting its sealift requirements; (4) Maritime Security Program participants are required to commit sealift and intermodal capacity to an Emergency Preparedness Program (EPP), as overseen by MARAD and approved by the Secretary of Defense (SECDEF).

20.814 Assistance to Small Shipyards

(Small Shipyard Grants).

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Section 3508 National Defense Authorization Act, , Public Law 110-417

OBJECTIVES:

To award grants for capital improvements and related infrastructure improvements at qualified shipyards that will facilitate the efficiency, cost effectiveness, and quality of domestic ship construction for commercial and Federal Government use.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

For capital and related infrastructure improvements. Cannot be used for physical facility construction or land acquisition unless specifically approved by Administrator as consistent with capital and infrastructure improvements. Federal funds cannot exceed 75 percent of project cost unless (1) cost is less than \$26,075, or (2) determination is made that project merits support and requires additional assistance.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Either a shipyard or a State or local government on behalf of a shipyard can apply. The shipyard must be one in a single geographical location, located in or near a maritime community, that (1) is a small business concern within the meaning of section 3 of the Small Business Act (15 U.S.C. 632); and (2) does not have more than 600 production employees. Other factors taken into account when grants are awarded will be (a) the economic circumstances and conditions of the maritime community near to which a shipyard is located; and (B) the local, State and regional economy in which such community is located.

Beneficiary Eligibility:

Small business; State; Local; Shipyard.

Credentials/Documentation:

As required by application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Either a shipyard or a State or local government on behalf of a shipyard can apply. The shipyard must be one in a single geographical location, located in or near a maritime community, that (1) is a small business concern within the meaning of section 3 of the Small Business Act (15 U.S.C. 632); and (2) does not have more than 600 production employees. Other factors taken into account when grants are awarded will be (a) the economic circumstances and conditions of the maritime community near to which a shipyard is located; and (B) the local, State and regional economy in which such community is located.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SF-424 application form must be filed with the Maritime Administration. There are specific agency instructions for additional information which should be provided as an addendum to Form SF-424. These instructions were published in the Federal Register on January 10, 2008, in Vol.73, No. 7, pp.1912-1913. Applicants can go to www.grants.gov to apply.

Award Procedure:

Awards will be made by the Maritime Administrator in such amounts and under such conditions as he determines.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Public Law 110-417 provides that no more than 75 percent of a project's cost may be paid from Federal Funds. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

To be individually determined based on project. Method of awarding/releasing assistance: quarterly

Reports:

SF-425 is required. There will be Progress Reports, Annual Reports, and/or Status Reports depending on the nature of the project and the period of time it is expected to take. Monitoring is based done by the audit requirements. Grants over \$750,000 have mandatory audits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:

69-1770-0-1-021.

Obligations:

Project Grants FY 20 \$19,600,000.00; FY 21 est \$19,600,000.00; FY 22 est

ASSISTANCE LISTINGS DESCRIPTIONS

\$20,000,000.00;

Range and Average of Financial Assistance:

Awards can be in any amount up to \$19,600,000.

TAFS Codes:

69-1770.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 117 applications were received. 31 Grants were awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register publication on January 10, 2008 in Vol.73, No.7, pp.1912-1913. Implementing legislation: Section 3506 of the National Defense Authorization Act for Fiscal Year 2006 (Public Law 109-163) and section entitled "Assistance to Small Shipyards" in Consolidated Appropriations Act, 2008.

Regional or Local Office:

Headquarters Office:

Office of Shipyards and Marine Engineering David.Heller,
1200 New Jersey Ave, Room W21-318,
Washington DC 20590

Email: David.heller@dot.gov

Phone: (202) 366-5737

Fax: (202) 366-6988.

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) How well the project will facilitate the efficiency, cost-effectiveness, and quality of domestic ship construction for commercial and Federal Government use, and (2) the economic factors set forth in section 081 above.

20.816 Americas Marine Highway Grants

Marine Highway Program,

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

2016 National Defense Authorization Act, , Public Law 114-113, 2010 National Defense Authorization Act (Section 3515), , Public Law 111-84, 46 Section 55601(g) USC Code 55601 (g). Title Coast Guard and Maritime Transportation Act of 2012, Part Public Law 112-213,

OBJECTIVES:

Mitigate landside congestion, expand transportation options, and realize public benefit and external cost savings by awarding Marine Highway grants to qualified applicants to implement designated Marine Highway projects.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The assistance will be used to expand the use of water transportation using through designated Marine Highway Projects to create new or expand existing services along designated Marine Highway Routes.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Government - General; U.S. Territories and possessions; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Interstate; Intrastate; Other public institution/organization: Grant applicants must be public agencies at the state, regional or local level including, but not limited to, Metropolitan Planning Organizations, State governments (including State Departments of

Transportation) and port authorities. They must also have had their projects for which they are requesting funds be designated as Marine Highway Projects by the Secretary of Transportation under Americas Marine Highway Program (110th Congress, Public Law 110-140). In order to receive a grant under this program, applicants must submit an application to the Secretary and demonstrate that the project is financially viable, the funds will be spent efficiently and effectively, and that a market exists for the services of the proposed project as evidenced by contracts or written statements of intent from potential customers. An applicant shall provide at least 20 percent of the project costs from non-Federal sources. In awarding grants under the program, the Secretary shall give a preference to those projects or components that present the most financially viable transportation services and require the lowest percentage Federal share of the costs." Eligible applicants include those entities defined within OMB 2 CFR 200 excluding Federal agencies due to matching-fund regulatory requirements.

Beneficiary Eligibility:

U.S. Territories; Interstate; Intrastate; State; Local; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Eligible beneficiaries include those entities defined in OMB 2CFR 200.

Credentials/Documentation:

The following documentation is required: 1. First page of application: A. Marine Highway Project name (as stated in the Departments Letter of Designation) B. Primary point of contact for applicant C. Amount of dollars of Grant Funds the applicant is seeking, sources and share of other matching funds. D. Summary of what the grant funding will be used for E. Project parties F. Recipients of Marine Highway grants and their first-tier sub-awardees will be required to have a DUNS number (<http://www.dnb.com>) and a current registration in the Central Contractor Registration (<http://www.ccr.gov>). While these items do not need to be provided as part of the application, a Marine Highway Grant will not be awarded if a recipient or first-tier sub-awardee does not have these items. 2. Contact Information: An application should include the name, phone number, e-mail address and organization address of the primary point of contact for the applicant. The Department will use this information to inform parties of the Departments decision regarding selection of grantees, as well as to contact parties in the event that the Department needs additional information about the application. 3. Grant Funds and Sources and Uses of Project Funds: An application should include information about the amount of grant funding requested, sources and uses of all project funds, total project costs, percentage of project costs that would be paid for with Marine Highway Grant funds and the identity and percentage shares of all parties providing funds for the project (including Federal funds provided under other programs). 4. Selection Criteria: In general, applications will be evaluated based on the information provided in the original application for designation as a Marine Highway Project as set forth in the (final rule). However, as addressed in section (IV) Selection Criteria of this notice, applicants may provide additional information. This information should be provided in the order it was solicited in the (final rule). 5. National Environmental Policy Act Requirement: An application must detail whether the project will significantly impact the natural, social and/or economic environment. If the NEPA process is completed, an applicant must indicate the date of, and provide a Web site link or other reference to, the final Categorical Exclusion, Finding of No Significant Impact or Record of Decision. If the NEPA process is underway but not complete, the application must detail where the project is in the process, indicate the anticipated date of completion and provide a website link or other reference to copies of any NEPA documents prepared. 6. Environmentally Related Federal, State and Local Actions: An application must indicate whether the proposed project is likely to require actions by other agencies (e.g., permits), indicate the status of such actions and provide a website link or other reference to materials submitted to the other agencies, and/or demonstrate compliance with other Federal, State and local regulations as applicable. 7. Certification Requirements: In order for an application to be considered for a grant award, the Chief Executive Officer of the applicant is required to certify, in writing, to the following: A. That, except as noted in this grant application, nothing has changed from the original application for formal designation as a Marine Highway Project. B. The project sponsor will administer the project and any funds received will be spent efficiently and effectively. C. Applicants will provide information, data and reports as required by the grantor 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. As stated in the Notice of Funding Opportunity, Section 55601 of Title 46 of the United States Code directs the Secretary to establish a short sea transportation grant program to implement projects or components of designated projects. The Final Rule for Americas Marine Highway Program (46 CFR Part 393) provides the criteria to submit an application to become a designated Marine Highway Project. From the Notice of Funding Availability, Requirements of the National Environmental Policy Act (NEPA) may apply. Applicants should consult 40 CFR Section 1500 if there are any questions regarding NEPA requirements. An application must detail whether the project will significantly impact the natural, social and/or economic environment. If the NEPA process is completed, an applicant must indicate the date of, and provide a Web site link or other reference to, the final Categorical Exclusion, Finding of No Significant Impact or Record of Decision. If the NEPA process is underway but not complete, the application must detail where the project is in the process, indicate the anticipated date of completion and provide a website link or other reference to copies of any NEPA documents prepared.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See guidance provided herein throughout.

Award Procedure:

All applicable Federal laws, rules and regulations will apply to projects that receive Marine Highway Grants or Cooperative Agreements. How soon after award a project is expected to expend Grant Funds and start construction, acquisition or procurement will be considered on a case-by case basis and will be specified in the project-specific grant agreement. The Department reserves the right to revoke any award of Marine Highway Grant funds and to award such funds to another project to the extent that such funds are not timely expended and in accordance with the project schedule.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Contingent on publication date. The end date is 4 weeks following publication

Appeals:

Not Applicable.

Renewals:

Other. The Departments ability to obligate funds for Marine Highway Grants and Cooperative Agreements is dependent upon current available appropriations. The Consolidated Appropriations Act of 2020 (Public Law 116-94) signed by the President on December 20, 2019 appropriated \$9,775,000 for the Short Sea Transportation Program.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 114-113.Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. Applicants are required to provide at least 20 percent of the project costs from non-Federal sources.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants shall demonstrate to the satisfaction of the Secretary that the funds received will be spent efficiently and effectively. How soon after award a project is expected to expend Grant Funds and start construction, acquisition, or procurement will be considered on a case-by case basis and will be specified in the project-specific grant agreement. Method of awarding/releasing assistance: other

Reports:

According to the Notice of Funding Opportunity, Applicants will provide information, data, and reports as required by the grantor. The grantee shall submit an electronic copy of the SF- 270 form, no more frequently than monthly for Advance or Reimbursement, and the SF-425 Federal Financial Report. Quarterly

Project Reports are due to the Government beginning on the first day of the first full quarter following execution of the grant agreement. (SF-425) Monthly per SF-425

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In the section of the grant agreement cited Other Reports in accordance with the purposes of the Recovery Act, the grantee may be required to submit additional information in responses to requests from DOT, OMB, the Congressional Budget Office, the Government Accountability Office of the DOT inspector General. The Government will inform recipients if and when such additional reports are required.

Records:

Records must be maintained as outlined in the Federal Acquisition Regulations and OMB A -136 (Financial Reporting Requirements).

Account Identification:

69-1750-0-1-403.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$9,557,000.00; FY 21 est \$0.00; FY 22 est \$10,820,000.00;

Range and Average of Financial Assistance:

18 projects have been funded totaling \$33.8 million. Range from \$148,664 to \$3,200,000.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Marine Highway Program announced the availability of \$10.8M in Grant Funding. Awards are slated for Q4.

REGULATIONS, GUIDELINES, AND LITERATURE:

Existing literature includes MARAD 2010-0035 (April 9, 2010). In addition, the Maritime Administration solicited a call for projects on April 15, 2010 (Federal Register, Vol. 75, No. 68; p. 18095).

Regional or Local Office:

See Regional Assistance Locations. Maritime Administration Gateway Offices

Headquarters Office:

Tim Pickering,
1200 New Jersey Ave. S.E.,
Washington DC 20590
Email: Timothy.Pickering@dot.gov
Phone: 202-366-0704

Fax:

Website Address:

<https://maritime.dot.gov/grants/marine-highways/marine-highway>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Marine Highway Grants for the development and expansion of documented vessels, and port and landside infrastructure. 1.Port and landside infrastructure including material handling equipment such as forklifts, cranes, container handlers, as well as terminal equipment such as wharves, docks, terminals and paving, etc.,2.Efficiency or capacity improvements in ports, terminals, aboard vessels and intermodal connectors, etc.,3.Investments that improve environmental sustainability,4.New or used vessel purchase, lease, or modification,and5.Planning, preparation and design efforts in support of Marine Highway Projects (However market related studies are ineligible to receive Marine Highway Grants

CRITERIA FOR SELECTING PROPOSALS:

Three criteria will be considered in the evaluation process, which were established in the final rule. This information will have been provided by applicants during the project designation process. No additional applicant input is required to address these criteria. These criteria establish the degree to which projects are expected to: 1. Reduce external cost and provide public benefit, 2. Offer a lower-cost alternative to increasing land-based capacity in the Corridor, and 3. Demonstrate the likelihood of becoming self-supporting in a specified and reasonable timeframe. Applicants may, however opt to provide additional information specific

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to the above criteria if they desire. In evaluating this option, applicants should consider paragraph (g)(2)(B) of section 3515 of the National Defense Authorization Act of 2010, which requires that, in order to receive a grant under the program, the following factors will be taken into consideration: 1. Applicants shall demonstrate to the satisfaction of the Secretary that the funds received will be spent efficiently and effectively, 2. Applicants shall demonstrate to the satisfaction of the Secretary that a market exists for the services of the proposed project as evidenced by contracts or written statements of intent from potential customers, 3. An applicant shall provide at least 20 percent costs from non-Federal sources, and, 4. In awarding grants or cooperative agreements under the program, the Secretary shall give preference to those projects or components that present the most financially viable transportation services and require the lowest percentage Federal share of the costs. While the criteria above were generally addressed in the original Marine Highway Project application requirements (Section 393.4(e)(1)(G); Proposed Project Timeline; and (F)(ii) and (i); Business Planning, respectively), these more specific requirements may warrant submission of additional information in response to this Notice of Funding Availability. It is important that this information is available to the Department during the grant evaluation process.

20.817 Air Emissions and Energy Initiative

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

National Defense Authorization Act, 2010, Title 49, Section 109, 49 USC Code 109.

OBJECTIVES:

MARAD will use projects results and data for a variety of purposes including further support of air emissions reduction research, demonstration, and pilot projects. In addition, the result will be used to evaluate the public benefits of providing incentives to adopt new technologies and alternative energy in the maritime sector.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This funding will be used to fund studies in the development of air emissions reduction and alternative fuels/ technologies that will be used to evaluate public benefits of providing incentives to adopt new technologies and alternative energy in the maritime sector.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Eligible applicants include vessel owners, operators, or public sponsors. Shore side equipment upgrade or shore power projects are not eligible for funding.

Beneficiary Eligibility:

Federal; Anyone/general public; The benefit of this project will be for the federal government as well as anyone in the general public and research organizations.

Credentials/Documentation:

Applications submitted with Statement of Work and estimated budget Costs will be determined in accordance with OMB Circular 2 CFR 200. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

MARAD solicits a request for proposals (RFA), in grants.gov, applicants submits proposals through grants.gov. Evaluation of proposals by program office, selected proposals awarded by the Grants Specialist in the Office of Acquisition.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49, Chapter , Part 109, Subpart .Public Law .Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. Yes, In-Kind Match - 60% match Yes, monetary match 50% MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The funding is available for one year after date of award. Method of awarding/releasing assistance: other

Reports:

Yes, Quarterly Process Reports, Final Report Reports are in accordance with the terms and conditions of each Cooperative Agreement. The recipient shall provide Financial Status report, SF-425

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 N/A

Records:

Record keeping requirements are in accordance with the terms and conditions of each Cooperative Agreement.

Account Identification:

69-1750-0-1-403.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Funding was available in 2015 in the amount of \$1,230,000 total; \$730,000 for LNG conversion project and \$500,000 for exhaust gas cleaning system project.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funding was available in 2015 in the amount of \$1,230,000 total; \$730,000 for LNG conversion project and \$500,000 for exhaust gas cleaning system project.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Department of Transportation/Maritime Administration Daniel Yuska, 1200 New Jersey Avenue, S.E., Washington DC 20590

Email: daniel.yuska@dot.gov

Phone: 20236607142023661839

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

Not Applicable.

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must demonstrate a reduction of emissions of nitrogen oxides (NOx),

ASSISTANCE LISTINGS DESCRIPTIONS

sulfur oxides (SOx), particulate matter (PM), or carbon through an approved emission testing scheme. Data such as emissions, costs, and fuel consumption must be made available to MARAD and can be used publically. Shore side equipment upgrade or shore power projects are not eligible for funding.

20.818 Great Ships Initiative

Great Waters Research Collaborative (GWRC),

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Defense Authorizations Act of 2011, , 31 USC Code 1535(a) (3).

OBJECTIVES:

The Great Waters Research Collaborative (GWRC) is a collaboration whose objective is to end the problem of ship-mediated invasive species in the Great Lakes-St. Lawrence Seaway System. The near term objective is to significantly accelerate research, development and implementation of ballast water treatment system for ships that operate in the Great Lakes.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

The funding for this project will be used to further the current efforts that address the curtailment of aquatic invasive species within the Great Lakes as associated with ballast water operations and other aquatic invasive species issues associated with commercial shipping.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must have the following capabilities and resources: A current EPA-approved Quality Management Plan (QMP). The facilities and equipment for conducting biological analyses to determine concentrations of living organisms in three size-classes (less than 10 microns, between 10 and 50 microns, greater than 50 microns) at the levels of the proposed USCG discharge standard. A mix of personnel available for engineering support and for conducting biological analyses according to standard protocols. The ability to write and follow a rigorous quality assurance plan that maximizes the production of credible results.

Beneficiary Eligibility:

Federal; Anyone/general public; Eligible beneficiaries include those entities defined in OMB A-102 and OMB Circular A-122.

Credentials/Documentation:

Applications must be submitted with a Statement of Work and estimated budget costs. These costs will be determined in accordance with OMB Circular 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The funding is available for one year after the date of award of individual tasks. The funds must be spent within one year.

Award Procedure:

MARAD will solicit a request for applications in grants.gov; applicants will submit applications through grants.gov. Evaluation of applications by program office, selected applications awarded by the Grants Specialist in the Office of Acquisition within 30-60 days.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The funding is available for one year after the date of award of individual tasks. The funds must be spent within one year. Method of awarding/releasing assistance: other

Reports:

Program progress report shall be done every six months. Reports are in accordance with the terms and conditions of the Cooperative Agreement. Yes, the recipient shall provide Financial Status report, SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the Cooperative Agreement.

Account Identification:

69-1750-1-2-121.

Obligations:

Salaries and Expenses FY 20 \$5,500,000.00; FY 21 est \$8,000,000.00; FY 22 est \$7,000,000.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funds have been used to further the current efforts that address the curtailment of aquatic invasive species within the Great Lakes as associated with ballast water operations and other aquatic invasive species issues associated with commercial shipping.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Environmental Carolyn Junneman,
1200 New Jersey Avenue, S.E.,
Washington DC 20590
Email: carolyn.junneman@dot.gov
Phone: (202) 366-1920
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The funding for this project will be used to further the current efforts that address the curtailment of aquatic invasive species within the Great Lakes as associated with ballast water operations and other aquatic invasive species issues associated with commercial shipping.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Applicants must be able to provide scientific and technical expertise and services for biological research activities.

20.819 Ballast Water Treatment Technologies

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

National Defense Authorization Act 2012, . 49 USC Code 109.

OBJECTIVES:

MARAD will use the projects results and data for a variety of purposes including to further the current efforts that address the curtailment of aquatic invasive species within the Chesapeake Bay and coastal waters throughout the United States as associated with ballast water operations of commercial shipping.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

The Cooperative Agreement seeks to support the Evaluation and Verification of Ballast Water Treatment Technologies and other Green Shipping Initiatives.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; The assistance will be used to support the Evaluation and Verification of Ballast Water Treatment Technologies and other Green Shipping Initiatives. Applicants must be able to provide technical services, equipment and to support the testing, evaluation, and demonstration of treatment methods, practices, systems and equipment. Technical efforts will be primarily directed towards the evaluation of the effectiveness of ballast water treatment technologies and systems at shipboard/barge-based and shore side facilities fabricated to simulate the in-tank configuration(s) and flow requirements typical of commercial vessels.

Beneficiary Eligibility:

Private nonprofit institution/organization; Education Professional; State; Public nonprofit institution/organization; Other public institution/organization; Beneficiary eligibility include State, Public nonprofit institution/organization, other public institution/organization, Private nonprofit institution/organization, and Education Professional.

Credentials/Documentation:

Applications submitted with Statement of Work and estimated budget costs will be determined in accordance with OMB Circular 2 CFR 200, 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

MARAD solicits a request for applications, in grants.gov, applicants submit applications through grants.gov. Evaluation of applications by program office, selected applications awarded by the Grants Specialist in the Office of Acquisition within 30-60 days.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The funding is available for one year after the date of award of individual tasks. See the following on how assistance is awarded/released. Funds are disbursed on a monthly basis by submission of SF-270 invoices. There will be several tasks to be implemented and funded under this project, the period of performance and funding for each task will all be within the time frame of the entire project which will be for four (4) years, FY 2013-2017. Method of awarding/releasing assistance: other

Reports:

Reports are in accordance with the terms and conditions of the Cooperative Agreement. The recipient shall provide Financial Status report, SF-425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record keeping requirements are in accordance with the terms and conditions of the Cooperative Agreement.

Account Identification:

69-1750-7-0-101.

Obligations:

Salaries and Expenses FY 20 \$500,000.00; FY 21 est \$450,000.00; FY 22 est \$450,000.00;

Range and Average of Financial Assistance:

\$250,000 for the land-based project and approximately \$1M for the other projects, which are sub-projects of the 5-year R&D Plan.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: one ballast water treatment system, award of a sub-contract for a shipboard installation of a ballast water treatment system for real-time testing over a variety of challenge conditions, development of test plans for risk-based mesocosm tests of Great Lakes water, development of a data base that contains water conditions at selected Great Lakes Ports

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Environmental Carolyn Junemann,
1200 New Jersey Avenue, S.E. Room W26-418,
Washington DC 20590

Email: carolyn.junemann@dot.gov

Phone: (202) 366-1920

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 land-based evaluation of ballast water treatment system (1); kick-off of a 5-year Great Lakes Laker R&D Plan for treating ballast water taken up and discharged within the Great Lakes

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted must support for the Evaluation and Verification of Ballast Water Treatment Technologies and other Green Shipping Initiatives.

ASSISTANCE LISTINGS DESCRIPTIONS

20.820 Maritime Studies and Innovations

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Jones Act (Merchant Marine), , 46 USC Code 50101 -50111.

OBJECTIVES:

The purpose of this program is to provide assistance for projects involving studies and innovations related to shipping, vessel operations, shipyards, maritime financing and insurance, and all other maritime activities in support of the policy of the United States Government to encourage and aid the development and maintenance of a merchant marine.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding shall be used in accordance with the terms and conditions in the cooperative agreement. Only projects that meet the policy objectives of the United States Government to encourage and aid the development and maintenance of a merchant marine will be authorized.

Applicant Eligibility:

Anyone/general public; The assistance must be used for projects involving studies and innovations related to shipping, vessel operations, shipyards, maritime financing and insurance, and all other maritime activities in support of the policy of the United States Government to encourage and aid the development and maintenance of a merchant marine. Limitations on applicant eligibility for a specific project, if any, will be explained and included in any Notice of Funding Opportunity posted on grants.gov.

Beneficiary Eligibility:

Federal; Anyone/general public; The ultimate beneficiaries of the projects will be the United States Government and the general public.

Credentials/Documentation:

Required credentials or documentation are to be determined on a case by case basis, and if required, will be included in any Notice of Funding Opportunity posted on grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures for competitively awarded cooperative agreements will be included in any Notice of Funding Opportunity posted on grants.gov. Applicants may submit unsolicited proposals at any time for a project with a unique or innovative idea, method, or approach. Applicants may also submit proposals at any time for a project which relies on the unique capability or expertise of the applicant. MARAD cannot guarantee funding will be available for any project.

Award Procedure:

For competitively awarded cooperative agreements, the award procedure will be included in the Notice of Funding Opportunity posted on grants.gov. For all other awarded cooperative agreements, final award determinations will be made by appropriate MARAD program officials at HQ after review of proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Additional information for each competitively awarded cooperative agreement will be included in the Notice of Funding Opportunity posted to grants.gov. For all other awards, approval/disapproval times vary depending on the type and complexity of the project.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Time limits and restrictions for any award will be set forth in the terms and conditions of the cooperative agreement. Method of awarding/releasing assistance: other

Reports:

The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. SF-425 The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 N/A

Records:

Recordkeeping requirements will be set forth in the terms and conditions of the cooperative agreement.

Account Identification:

69-1750-0-1-403.

Obligations:

Cooperative Agreements FY 20 \$5,000.00; FY 21 est \$5,000.00; FY 22 est \$5,000.00;

Range and Average of Financial Assistance:

The range of funding depends on funds available during the fiscal year.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: SOCP collaborated with The U.S. Committee on the Marine Transportation System (CMTS) COVID-19 Working Group and industry on development of a anonymous Mariner Mental Health Needs during Covid-19 online survey to assess mariners mental health, and how it was being impacted by the COVID-19 Pandemic.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Todd Ripley,
USDOT Maritime Administration, Office of Safety (MAR-430)1200 New Jersey Ave SE,

Washington DC 20590

Email: todd.ripley@dot.gov

Phone: 202-366-2526

Website Address:

No Data Available.

RELATED PROGRAMS:

20.817 Air Emissions and Energy Initiative;

EXAMPLES OF FUNDED PROJECTS:

2021 SOCP collaborated with The U.S. Committee on the Marine Transportation System (CMTS) COVID-19 Working Group and industry on development of a anonymous Mariner Mental Health Needs during Covid-19 online survey to assess mariners mental health, and how it was being impacted by the COVID-19 Pandemic. Ballast Water Management System Guide This Ballast Water

ASSISTANCE LISTINGS DESCRIPTIONS

Management System (BWMS) User Guide (the Guide) simplifies the complex regulatory framework by providing step-by-step methods for integrating and operating a compliant BWMS. A successful BWM program will equally prioritize compliance with safe operations for vessels and their crew. This Guide covers all aspects of the BWMS life cycle, in three parts: Part A - Planning, installation, and commissioning; Part B - Maintaining compliance, monitoring, and enforcement; Part C - Training, equipment maintenance, and repair.

CRITERIA FOR SELECTING PROPOSALS:

In general, proposals are selected based on, among other things, the scope of the project and how it meets the requirements of the United States Government, the qualifications of the applicant, and cost. Specific evaluation factors, and the order of importance, will be included in a Notice of Funding Opportunity posted in [grants.gov](https://www.grants.gov).

20.821 Women on the Water (WOW)

WOW Conference,

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Maritime Education and Training Act of 1980, , Public Law 96-453, 46 USC Code 51301-51317.

OBJECTIVES:

The purpose of the WOW program is to promote diversity in the maritime industry. The program also provides exposure to the history and the current climate women face today in the maritime industry. It provides opportunities for the cadets to interact and network with cadets from other maritime academies, as well as current female leaders in the maritime industry. Career opportunities and maritime organizations for women in the industry are highlighted. It also provides an introduction to the Maritime Administration (MARAD) and its female leadership, as well as an update on current issues impacting the maritime industry and its workforce, especially those that impact the lives of women.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is used to support the WOW program activities with any number of items to promote maximum participation by the maritime academy cadets and midshipmen to include, but not be limited to, the following, event space or facility, audio/visual equipment, printed material, or to assist with the cost for hosting maritime academy cadets (linen cost, etc.)

Applicant Eligibility:

Federal; Public nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants for financial assistance for WOW program activities are the six State Maritime Academies, regional maritime academies, and the U.S. Merchant Marine Academy.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Women; Beneficiaries are individuals attending the State Maritime Academies and the U.S. Merchant Marine Academy.

Credentials/Documentation:

Required credentials or documentations are to be determined on a case by case basis. Please contact MARAD HQ for additional information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. WOW program activities rotate among the six State Maritime Academies and the USMMA, with the hosting academy determined by agreement among the academies. The hosting academy will be required to provide MARAD with information on WOW program activities to ensure that any financial assistance potentially awarded to the hosting academy is used to promote diversity in the maritime industry.

Award Procedure:

WOW program activities rotate among the six State Maritime Academies and the USMMA, with the hosting academy determined by agreement among the academies. MARAD, in its sole discretion, determines how much if any financial assistance is to be awarded to the hosting academy based on its review of the WOW activities.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time is normally less than one year, but may vary depending on the activity. Specific time limits will be set forth in the terms and conditions of the cooperative agreement. Method of awarding/releasing assistance: other

Reports:

The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement. A confirmation of what the funds will be utilized on is requested. Additional requirements may be set forth in the terms and conditions of the cooperative agreement. The number, type, and frequency of required reports will be set forth in the terms and conditions of the cooperative agreement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Recordkeeping requirements will be set forth in the terms and conditions of the cooperative agreement.

Account Identification:

69-1750-0-1-403.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$15,000.00; FY 22 est \$15,000.00;

Range and Average of Financial Assistance:

\$15,000 is funded each year. In FY20 the conference was cancelled.

TAFS Codes:

69-1750.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Christopher Wahler,
The Department of Transportation, Maritime Administration, Office of Maritime Labor and Training (MAR-650), 1200 New Jersey Ave S.E.,
Washington DC 20590
Email: christopher.wahler@dot.gov

Phone: 202 366-5469

Website Address:

<http://www.marad.dot.gov>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

20.807 U.S. Merchant Marine Academy; 20.806 State Maritime Schools;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.822 Port of Guam Improvement Enterprise Program

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Duncan Hunter National Defense Authorization Act, , Public Law 110-417 3512

OBJECTIVES:

The purpose of this program is to provide financial assistance for the planning, design, and construction of projects for the Port of Guam to improve facilities, relieve port congestion, and provide greater access to port facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance shall be used in accordance with the terms and conditions in the award agreement. Only projects that relate to Port of Guam, as described in the statutory authority, will be authorized.

Applicant Eligibility:

U.S. Territories and possessions; Port of Guam or any subdivision, instrumentality, or agent thereof.

Beneficiary Eligibility:

U.S. Territories; Port of Guam or any subdivision, instrumentality, or agent thereof.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Final award determinations will be made by appropriate MARAD program officials at HQ after review of proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Approval/disapproval times vary depending on the type and complexity of the project.

Appeals:

Not Applicable. None

Renewals:

Other. Renewals will be on a case-by-case basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Time limits and restrictions for any award will vary depending on the type and complexity of the project, and will be set forth in the terms and conditions of the award agreement. Method of awarding/releasing assistance: other

Reports:

The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the award agreement. The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the award agreement. The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the award agreement. The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the award agreement. The number, type, and frequency of required program reports will be in accordance with 2 C.F.R. Part 200 and the terms and conditions of the award agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 N/A

Records:

Recordkeeping requirements will be set forth in the terms and conditions of the award agreement.

Account Identification:

69-5560-0-1-403.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No financial assistance awards made in any prior years.

TAFS Codes:

69-5560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Infrastructure Development and Mitigation Robert Bouchard, 1200 New Jersey Ave., S.E.,

Washington DC 20590

Email: robert.bouchard@dot.gov

Phone: (202) 366-5076

Website Address:

<http://www.portofguam.com/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The agency will work with the Port of Guam to select the projects which best improve facilities, relieve port congestion, and provide greater access to the facilities.

20.823 Port Infrastructure Development Program

N/A,

FEDERAL AGENCY:

MARITIME ADMINISTRATION, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Public Law Consolidated Appropriations Act of 2021-116260, December 27,2020, Title National Defense Authorization Act for Fiscal Year 2021, Part L, Section 116-283 Jan 1, 2021

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

The program makes grants to improve port facilities at coastal seaports, inland river ports, and Great Lakes ports for the purpose of improving the safety, efficiency, or reliability of the movement of goods through ports and intermodal connections to ports.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Act directed that at least \$205 million of the appropriated funds shall be for grants to coastal seaports or Great Lakes ports. Additionally, the National Defense Authorization Act for FY2021 directed that not less than \$41.4 million shall be for projects at Small Ports and Terminals meeting certain requirements. DOT intends to award up to \$230 million to projects that improve facilities within, or outside of and directly related to operations of or an intermodal connection to, coastal seaports, inland river ports, and Great Lakes ports.

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); An eligible applicant for a Port Infrastructure Development Program discretionary grants is a port authority, a commission or its subdivision or agent under existing authority, as well as a State or political subdivision of a State or local government, a Tribal government, a public agency or publicly chartered authority established by one or more States, a special purpose district with a transportation function, a multistate or multijurisdictional group of entities, or a lead entity described above jointly with a private entity or group of private entities (including the owners or operators of a facility, or collection of facilities, at a port).

Beneficiary Eligibility:

An eligible applicant for a Port Infrastructure Development Program discretionary grants is a port authority, a commission or its subdivision or agent under existing authority, as well as a State or political subdivision of a State or local government, a Tribal government, a public agency or publicly chartered authority established by one or more States, a special purpose district with a transportation function, a multistate or multijurisdictional group of entities, or a lead entity described above jointly with a private entity or group of private entities.

Credentials/Documentation:

Standard form 424 (Application for Federal Assistance). Agency instructions in a notice of funding opportunity will identify supporting documents that must be attached to the form at field number 15 (Descriptive Title of Applicants Project). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is not required. The program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200 applies. NOFOs for this listing will be posted on Grants.gov. No additional instructions are necessary. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

An evaluation panel reviews all eligible applications and rates proposals against the merit selection criteria. The Secretary of Transportation selects projects for award.

Deadlines:

July 30, 2021 - July 30, 2021. Applications for FY21 are due to Grants.Gov by July 30, 2021. Contact PIDPGrants@dot.gov for questions.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 80%. Requirement: Matching requirements are voluntary. The Act requires that the Federal share of the costs for which an expenditure is made under a PIDP grant may not exceed 80 percent; however, the Secretary of Transportation may increase the Federal share of costs above 80 percent for: (1) large project grant awards less than \$10 million; or, (2) grants awarded to small projects at small ports under 46 U.S.C. 50302(d). Voluntary cost sharing is a selection criterion. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding has been provided only in FY2021. To ensure the funds are expended in a timely manner, the Department, to the extent possible, seeks to obligate PIDP funds by September 30, 2024. The Department also seeks to expend funds within five years of obligation. DOT will determine an appropriate period of performance for each award based on the schedule of the proposed construction project. Assistance is provided on a reimbursable basis. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Financial Reporting Each applicant selected for Port Infrastructure Development Program Grants funding must submit quarterly progress reports and Federal Financial Reports (SF425) to monitor project progress and ensure accountability and financial transparency in the Port Infrastructure Development Program. Each applicant selected for Port Infrastructure Development Program Grants funding must submit quarterly progress reports and Federal Financial Reports (SF425) to monitor project progress and ensure accountability and financial transparency in the Port Infrastructure Development Program. The Department is required to report annually on port performance (see Sec. 6314 of the FAST Act). To help the Department more accurately assess port performance, Port Infrastructure Development Program grant recipients will be required to enter a data sharing agreement to submit to the Department information where consistent data related to the project, particularly on cargo throughput, is not publicly available and difficult to collect from Ports and port terminals.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Covered by 2 CFR 200, subpart F.

Records:

Account Identification:

69-1713-0-1-403.

Obligations:

Project Grants (Discretionary) FY 20 \$225,000,000.00; FY 21 est \$230,000,000.00; FY 22 est \$230,000,000.00;

Range and Average of Financial Assistance:

In FY19, \$287,303,341.00 in discretionary grants were awarded for 15 projects with awards that ranged from \$10,500,000 to \$43,928,393. In FY20, \$220,100,000 in discretionary grants were awarded for 18 projects with awards that ranged from \$4,000,000.00 to \$21,869,260.00. FY21 projects are due to be submitted from applicants by July 30, 2021 for review and consideration by DOT.

TAFS Codes:

69-1713.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: More than \$280 million discretionary grants have been awarded for 33 projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

All awards will be administered pursuant to the Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards found in 2 CFR part 200, as adopted by the Department of Transportation at 2 CFR part 1201. Additionally, applicable Federal laws, Executive Orders, and any rules, regulations, and requirements of the Maritime Administration will apply to the projects that receive Port Infrastructure Development Program Grants awards.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Peter Simons,
1200 New Jersey Ave SE,
Washington DC 20590
Email: pidpGrants@dot.gov
Phone: (202) 366-7678
Fax:

Website Address:

<https://www.maritime.dot.gov/PIDPgrants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Funded projects include facility improvements within, or outside of and directly related to operations of or an intermodal connection to, coastal seaports, inland river ports, and Great Lakes ports.

CRITERIA FOR SELECTING PROPOSALS:

The merit selection criteria may change with each notice of funding opportunity and are selected to align with the Strategic Goals identified in the DOT Strategic Plan for FY 2018/2022. Voluntary cost sharing is a selection criterion. The Act directed that at least \$205 million of the appropriated funds shall be for grants to coastal seaports or Great Lakes ports. DOT intends to award up to \$230 million to projects that improve facilities within, or outside of and directly related to operations of or an intermodal connection to, coastal seaports, inland river ports, and Great Lakes ports.

20.901 Payments for Essential Air Services

(EAS),

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF
AUTHORIZATION:

Airline Deregulation Act of 1978, , 49 USC Code 41731-41748.

OBJECTIVES:

To assure that air transportation is provided to eligible communities by subsidizing air carriers when necessary to provide service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

When necessary subsidy payments are made to air carriers providing air services at certain points. Subsidy is paid to cover the carrier's prospective operating loss, plus a profit element.

Applicant Eligibility:

Small business (less than 500 employees); Profit organization; Air carrier must be found fit and be selected by the Department to perform the subsidized service.

Beneficiary Eligibility:

Profit organization; Other private institution/organization; Air carriers and eligible local communities.

Credentials/Documentation:

Financial statements and detailed operating statistics. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In accordance with 14 CFR, Part 271, and specific Department requests in docketed cases.

Award Procedure:

Evaluation of applications according to factors established in 49 U.S.C. 41731, et

seq., and any Department-established factors.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 2 to 4 months.

Appeals:

Other. In accordance with 14 CFR, Part 302.14.

Renewals:

Other. Application procedures are repeated.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with Department evaluation and determination of demonstrated need. Method of awarding/releasing assistance: quarterly

Reports:

Carriers submit monthly invoices detailing air service provided prior to payment.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Air carriers are subject to audits of financial and operational fitness, of major contested claims of compensation for continued service, and of monthly billings to the Department for subsidy.

Records:

We direct all subsidized air carriers to retain all books, records, and other source and summary documentation to support claims for payment, and to preserve and maintain such documentation in a manner that readily permits its audit and examination by representatives of the Department. Such documentation shall be retained for seven years from the service date of the Order establishing subsidy rates or until the Department indicates that the records may be destroyed, whichever comes first. Copies of flight logs for aircraft sold or disposed of must be retained. The air carrier may forfeit its compensation for any claim that is not supported under the terms of the Departments Orders.

Account Identification:

69-8304-0-7-402.

Obligations:

Direct Payments for Specified Use FY 20 \$334,000,000.00; FY 21 est \$344,989,370.00; FY 22 est \$364,000,000.00;

Range and Average of Financial Assistance:

For continental United States: range from \$787,821 to \$6,977,597 annually; an average of \$2,929,311 annually per community per year

TAFS Codes:

69-8304.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Continued administration of essential air service subsidy for 172 communities. Awarded approximately \$345 million dollars in multi-year contracts for 24 U.S. states. Assisted participating air carriers to continue serving eligible communities, during periods of low passenger traffic caused by the COVID-19 pandemic.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41731, et seq.; 14 CFR, Parts 204, 271, 323, 325, 398.

Regional or Local Office:

None.

Headquarters Office:

Chief, Essential Air Service & Domestic Analysis Division Kevin Schlemmer,
Office of Aviation Analysis, X-50, Department of Transportation, 1200 New Jersey Ave S.E.,
Washington DC 20590

ASSISTANCE LISTINGS DESCRIPTIONS

Email: kevin.schlemmer@dot.gov

Phone: (202) 366-3176

Website Address:

<http://www.dot.gov/policy/aviation-policy/small-community-rural-air-service/essential-air-service>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 As mentioned earlier, the program provides subsidies to air carriers for eligible communities that are underserved by the airline industry. For example on July 21, 2021, the Department selected SkyWest Airlines, Inc., branded as United Express (SkyWest), to provide Essential Air Service at Fort Leonard Wood, Missouri. SkyWest will provide Fort Leonard Wood with 12 nonstop round trips per week from Waynesville-St. Roberts Regional Airport at Forney Field to Chicago OHare International Airport, using 50-passenger Canadair or Bombardier CRJ-200 aircraft. SkyWest will be compensated at an annual subsidy rate of \$3,467,553

CRITERIA FOR SELECTING PROPOSALS:

Established by statute and by precedent in individual docketed cases.

20.910 Assistance to Small and Disadvantaged Businesses

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

The Small Business Act and the Small Business Investment Act of 1958, Title 49, Section 332, Public Law 95-507

OBJECTIVES:

To enter into successful partnerships between OSDBU and chambers of commerce, community-based organizations, colleges and universities, community colleges, or trade associations, to establish regional Small Business Transportation Resource Centers (SBTRCs) to provide business assessment, technical assistance, technical assistance referrals, business training, and the dissemination of information regarding DOT and DOT funded contracting opportunities, as well as OSDBU services to the Disadvantaged Business Enterprise (DBE) and Small Business (SB) community in their regions.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

The OSDBU Regional SBTRCs provide business analysis, business counseling and coaching, market research, procurement assistance, serve as liaison between prime contractors and sub-contractors, assistance with access to capital, implement U.S. DOTs Bonding Education Program, implement U.S. DOTs Women In Transportation Initiative, and outreach to all small businesses to disseminate pertinent information that support the economic development of small business and DBEs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Established 501 C(6) tax-exempt Chambers of Commerce, Trade Associations and 501 C(3) nonprofit organization, community colleges, minority educational institutions, tribal colleges and universities that have the documented experience and capacity necessary to successfully operate and administer a coordinated, Small Business Transportation Resource Center (SBTRC) within their regions.

Beneficiary Eligibility:

Small business; Small Business Person; Veteran/Service person/Reservist (including dependents; Women; For the purpose of this program, the term small businesses refers to: 8 (a), small disadvantaged business (SDB), disadvantaged business enterprises (DBE), women-owned small business (WOB), HubZone,

service-disabled veteran-owned business, and veteran owned small business.

Credentials/Documentation:

Must be an established 501 C(3) or 501 C(6) tax exempt organization and provide documentation as verification. No application will be accepted without proof of IRS tax exempt status. Have at least one year of documented and continuous experience prior to the date of application in providing advocacy, outreach, and technical assistance to small businesses within the region in which proposed services will be provided. Have an office physically located within the proposed city in the designated headquarters state in the region for which applicant is submitting the proposal that is readily accessible to the public. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be reviewed by a selection panel and selection will be made based upon the evaluation criteria included in the announcement. The Department of Transportation, Office of Small and Disadvantaged Business Utilization will award the Cooperative Agreements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Awards competed, at a minimum of, every 3 years. OSDBU has the option to extend the grant beyond the 1st year for two additional option years, without re-competing the grant

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are generally made for a minimum of 12 months with 2 option years based on performance and funds availability Method of awarding/releasing assistance: other

Reports:

Implementation Plan and Quarterly Reports; Implementation Plans are due within 30 days of the effective date of the Cooperative Agreement. Quarterly Reports are due on the 15th day of the month after the quarter ends. Recipients are required to submit completed SF-425s annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 DOT's Inspector General and the Comptroller General of the United States may at all reasonable times investigate and conduct audits in the offices of the recipient, all documents, papers, books and records, relevant to the execution and implementation of the conditions of this agreement.

Records:

Recipient is required to retain all documents, files, books, and records relevant to the execution and implementation of the conditions of this agreement for a period of not less than 3 years, except, if any litigation, dispute, exception, or audit is commenced, the records and other materials shall be retained until the litigation, dispute, exception, or audit is judicially or administratively made final.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

69-0119-0-1-407.

Obligations:

Salaries and Expenses FY 20 \$2,025,750.00; FY 21 est \$2,055,750.00; FY 22 est \$2,055,750.00;

Range and Average of Financial Assistance:

Average of \$200,000

TAFS Codes:

69-0119.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 1. We assisted over 1,000 small businesses providing 2,200 counseling hours. 2. Our clients were awarded over \$38 million in federal contracts. 3. Our clients secured more than \$37 million in bonding. 4. Our clients secured more than \$12 million in capital. 5. Our centers conducted over 100 webinars to assist small businesses with understanding and securing bonding, capital, identifying contracting opportunities, mitigating barriers to securing contracts, and other vital technical assistance

REGULATIONS, GUIDELINES, AND LITERATURE:

DOT Code of Federal Regulations Parts 26 and 23 as Disadvantaged Business Enterprises; Public Law 95-507; Section 8, 15, and 31 of the Small Business Act as amended; OMB 2 CFR 200; Title 49 Subtitle I, Chapter 3, Subchapter II Sec. 332, the Minority Resource Center.

Regional or Local Office:

None.

Headquarters Office:

Office of Small and Disadvantaged Business Utilization, S-40 Michelle Harris, 1200 New Jersey Avenue, S.E.,

Washington DC 20590

Email: michelle.harris@dot.gov

Phone: (202) 366-2253

Fax: (202) 366-7228.

Website Address:

<http://www.transportation.gov/osdbu>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Successful recipients will have the capacity to design and carry out programs to assist small disadvantaged businesses in getting transportation-related contracts and subcontracts; develop support mechanisms, including management and technical services, that will enable small disadvantaged businesses to take advantage of those business opportunities; and to make arrangements to carry out the above purposes.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are rated as pass/fail based on the following factors: Approach and Strategy; Linkages; Organizational Capability; Staff Capabilities and Experience; and Cost.

20.930 Payments for Small Community Air Service Development

Small Community Program or SCASDP Payments for Small Community Air Service Development,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

OBJECTIVES:

To help small communities enhance their air service and increase access to the national transportation system.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants for specified use, provided on a reimbursable basis. Grant funds can be used to cover a variety of expenses depending on the approved project. For example, funds can be expended for air carrier financial incentives, including revenue guarantees to cover the air carrier's prospective operating loss. Other expense examples include marketing, advertising or promotional activities associated with current or new or existing air service, studies designed to measure air service deficiencies, or to measure traffic loss or diversion to other communities; and the use of consulting firms, universities, public relations firms, and air service development assistance.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Small communities that meet that following statutory criteria under 49 U.S.C. 41743, as amended, may apply for a SCASDP grant: 1) The airport serving the community or consortium is not larger than a small hub airport, as determined using the FAA's most recently published classification effective on the date that the community or consortium file an application; 2) the airport has insufficient air carrier service or unreasonably high airfares; 3) the airport presents characteristics, such as geographic diversity or unique circumstances, that demonstrate the need for, and feasibility of, grant assistance from the Small Community Program; 4) an applicant may not receive an additional grant to support the same project more than once in a 10-year period, except in certain circumstances; and 5) an applicant may not receive an additional grant, prior to the completion of its previous grant. Federal Awards Information: No more than 4 communities or consortia of communities, or a combination thereof, from the same State may be selected to participate in the program in any fiscal year. No more than 40 communities or consortia of communities, or a combination thereof, may be selected to participate in the program in each year for which the funds are appropriated. Applications for renewal or supplementation of existing projects are not eligible to compete with applications for new Federal awards. Consortium Applications: Both individual communities and consortia of communities are eligible for SCASDP funds. An application from a consortium of communities must be one that seeks to facilitate the efforts of the communities working together toward one joint grant project, with one joint objective, including the establishment of one entity to ensure that the joint objective is accomplished. Multiple Applications: A community may file only one application for a grant, either individually or as part of a consortium. Prior grant recipients, communities or members of a consortia, that were awarded grants in previous years and want to apply for a grant this year should be aware that they are precluded from seeking new funds for projects for which they have already received an award under the Small Community Program.

Beneficiary Eligibility:

State; Local; The legal sponsor of the proposed project must be a government entity. If the applicant is a public-private partnership, a public government member of the organization must be identified as the community's sponsor to receive project cost reimbursements. A community may designate only one government entity as the legal sponsor, even if it is applying as a consortium that consists of two or more local government entities. Private organizations may not be designated as the legal sponsor of a grant under the Small Community Program. The community has the responsibility to ensure that the recipient of any funding has the legal authority under state and local laws to carry out all aspects of the grant.

Credentials/Documentation:

Communities must apply for the grant program by submitting a project proposal with required data to justify its proposal in accordance with the Department-issued Notice of Funding Opportunity. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.C. 12372.2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements for Federal Awards applies to this program. A NOFO soliciting applications from eligible communities are typically issued each year. The NOFO can be accessed on the internet through <http://www.grants.gov>. Additionally, the solicitation is served on the Council of Mayors, the National League of Cities, the National Governors Association, the National Association of State Aviation Officials, the Association of County Executives, the American Association of Airport Executives (AAAE), and the Airports Council International-North America (ACI).

Award Procedure:

Applications will be evaluated according to factors established in the NOFO and under the guidance of the Wendell H. Ford Aviation Investment and Reform Act for the 21st Century (Pub. L. No. 106-181), reauthorized by the Vision 100-Century of Aviation Reauthorization Act (Pub. L. No. 108-176), and subsequently reauthorized by the FAA Modernization and Reform Act of 2012 (Pub. L. No. 112-95), as amended, the Disaster Tax Relief and Airport and Airway Extension Act of 2017 (Pub. L. No. 115-63), and the FAA Reauthorization Act of 2018 (Pub. L. No. 115-254), and for FYs 2019, 2020, and 2021 awards, under the guidance of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (Pub. L. No. 116-260).

Deadlines:

For FY 2019, Applications were due to www.grants.gov no later than 4:00 PM EST on March 1, 2021.

For FY 2020, application dates are to be determined.

Range of Approval/Disapproval Time:

Other. Can vary from year to year. However, the target is to award grants within 120 days from the established application deadline.

Appeals:

Not Applicable.

Renewals:

Other. Application procedures are repeated each year. However, grant recipients are precluded by statute from seeking additional grants in support of the same project. A community can only have one Small Community Program grant at any time. 49 U.S.C. Section 41743(c)(4).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period for awards will vary with the complexity of the project. For FY 2019, the minimum grant duration is three years; the maximum duration is five years. (The statute limits use of grant funds for air carrier subsidy to a maximum period of three years, beginning when subsidized service commences.) Financial assistance is provided on a reimbursable basis; cash advances will not be made. Method of awarding/releasing assistance: other

Reports:

Semi-annual progress reports, and a final report are required. Unless otherwise noted, each grantee must submit semi-annual reports on the progress made during the previous period in implementing its grant project. In addition, each community will be required to submit a final report on its project to the Department, and 10 percent of the grant funds will not be reimbursed to the community until such a final report is received. Additional information on award administration for selected communities will be provided in the grant agreement. Agency requires reimbursement request using electronic form that self calculates prorated government obligation. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

SCASDP also is subject to the A-123 review process.

Records:

The grant recipient is expected to retain all documents relevant to the grant award for a period of 3 years from completion of all projects undertaken pursuant to the Grant Agreement and receipt of final reimbursement from the Treasury.

Account Identification:

69-8106-0-7-402.

Obligations:

Project Grants FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$20,000 to \$1.6 million

TAFS Codes:

69-8106.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41743; 14 CFR 302.12 (Rule 12); 49 CFR 1.25a(b); 49 CFR Part 18; Public Law 106-181; Public Law 108-176; Public Law 108-477, Public Law 112 - 95.

Regional or Local Office:

None. No Regional or Local Office

Headquarters Office:

Associate Director, Small Community Air Service Development Prog Brooke Chapman,
1200 New Jersey Ave., SE W86-307,
Washington DC 20590
Email: brooke.chapman@dot.gov
Phone: (202) 366-0577
Fax: (202) 366-7638

Website Address:

<http://www.dot.gov/policy/aviation-policy/small-community-rural-air-service/SCASDP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

There are two categories of selection criteria: Priority Selection Criteria and Secondary Selection Criteria. Applications that meet one or more of the priority selection criteria will be viewed more favorably than those that do not meet any priority selection criteria. In conducting its review, the Department will concurrently examine the applications alignment with both the Priority and Secondary Selection Criteria. Priority Selection Criteria: 1. Air fares are higher than the national average air fares for all communities. The Department will compare the local community's air fares to the national average air fares for all similar markets. Communities with market air fares significantly higher than the national average air fares in similar markets will receive priority consideration. The Department calculates these fares using data from the Bureau of Transportation Statistics (BTS) Airline Origin and Destination Survey data. The Department evaluates all fares in all relevant markets that serve a SCASDP community and compares the SCASDP community fares to all fares in similar markets across the country. Each SCASDP applicant's air fares are computed as a percentage above or below the national averages. The report compares a community's air fares to the average for all other similar markets in the country that have similar density (passenger volume) and similar distance characteristics (market groupings). All calculations are based on 12-month ended periods to control for seasonal variation of fares. 2. The community or consortium will provide a portion of the cost of the activity from local sources other than airport revenue sources. DOT will consider whether a community or consortium proposes local funding for the proposed project. Applications providing proportionately higher levels of cash contributions from sources other than airport revenues will be viewed more favorably. Applications that provide multiple levels of contributions (state, local, airport, cash and in-kind contributions) will also be viewed more favorably. 3. The community

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or consortium has established or will establish a public-private partnership to facilitate air carrier service to the public. DOT will consider a community or consortiums commitment to facilitate air carrier service in the form of a public-private partnership. Applications that describe in detail how the partnership will actively participate in the implementation of the proposed project will be viewed more favorably.4. The assistance will be used to help restore scheduled passenger air service that has been terminated. The Department will consider factors including the circumstances surrounding the cessation of service, the extent to which market circumstances have changed warranting the restoration of service, and the reasonableness of the applicants plan to restore service (and, where appropriate, the length of time since the service was last operated). Applications where changed circumstances would support the viability of replacement service, will be viewed more favorably. 5. The assistance will provide material benefits to a broad segment of the traveling public, including businesses, educational institutions, and other enterprises, whose access to the national air transportation system is limited. DOT will consider whether the proposed project would provide, to a broad segment of the communitys traveling public, important benefits relevant to the community. Examples include service that would offer new or additional access to a connecting hub airport, service that would provide convenient travel times for both business and leisure travelers that would help obviate the need to drive long distances, and service that would offer lower fares.6. The assistance will be used in a timely manner. The Department will consider whether a proposed project provides a well-defined strategic plan and reasonable timetable for use of the grant funds. In the Departments experience, a reasonable timetable for use of grant funds includes two years to complete studies, three years for marketing and promotion of the airport, community, carrier, or destination, and four years for projects that target a revenue guarantee, subsidy, or other financial incentives. Applicants are asked to describe how their projects can be accomplished within this timetable, including whether the airport and proposed air service provider have the requisite authorities and certifications necessary to carry out the proposed projects. In addition, because of this emphasis placed on timely use of funds, applicants proposing new service are asked to describe the airport and whether it can support the proposed service, including whether the airport holds, or intends to apply for, an airport operating certificate issued under 14 C.F.R. Part 139. Air service providers proposed for the new service must have met or be able to meet in a reasonably short period of time, all Department requirements for air service certification, including safety and economic authorities.7. Multiple communities cooperate to submit a regional or multistate application to consolidate air service into one regional airport. DOT will consider whether a proposed project involves a consortium effort to consolidate air service into one regional airport. This is a new statutory priority criterion, added pursuant to Section 429 of the FAA Modernization and Reform Act of 2012 (P.L. 112-95).Secondary Selection Criteria:1. Innovation. DOT will consider whether an application proposes new and creative solutions to the transportation issues facing the community, including: the extent to which the applicants proposed solution(s) to solving the problem(s) is new or innovative, including whether the proposed project utilizes or encourages intermodal or regional solutions to connect passengers to the communitys air service (i.e., cost-effective inter/intra city passenger bus service, marketing of intermodal surface transportation options also available to air travelers, or projects that have a positive impact on travel and tourism); and whether the proposed project, if successfully implemented, could serve as a working model for other communities.2. Community Participation. DOT will consider whether an application has broad community participation, including: whether the proposed project has broad community support; and the communitys demonstrated commitment to and participation in the proposed project.3. Location. DOT will consider the location and characteristics of a community: the geographic location of each applicant, including the communitys proximity to larger centers of air service and low-fare service alternatives; the population and business activity, as well as the relative size of each community; and whether the communitys proximity to an existing or prior grant recipient could adversely affect either its proposal or the project undertaken by the other recipient.4. Other Factors. DOT will also consider whether the proposed project clearly addresses the applicants stated problems; the communitys existing level of air service and whether that service has been increasing or decreasing; whether the applicant has a plan to provide any necessary continued financial support for the proposed project after the requested grant award expires; the grant amount requested compared with total funds available for all communities; the proposed federal grant amount requested compared with the local share offered; any letters of intent from airline planning

departments or intermodal surface transportation providers on behalf of applications that are specifically intended to enlist new or expanded air service or surface transportation service in support of the air service in the community; whether the applicant has plans to continue with the proposed project if it is not self-sustaining after the grant award expires; and equitable and geographic distribution of available funds. Full community participation is a key goal of this program as demonstrated by the statutes focus on local contributions and active participation in the project. Therefore, applications that demonstrate broad community support will be more attractive. For example, communities providing proportionately higher levels of cash contributions from other than airport revenues will have more attractive proposals. Communities that provide multiple levels of contributions (state, local, airport, cash and in-kind contributions) also will have more attractive proposals. Similarly, communities that demonstrate participation in the development and execution of the proposed air service project will enhance the attractiveness of their proposals.

20.933 National Infrastructure Investments

Rebuilding Transportation Infrastructure with Sustainability and Equity (RAISE)Grants,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Public Law 116-260

OBJECTIVES:

RAISE grants are for capital investments in surface transportation infrastructure to a State, local, or Tribal governments, including U.S. territories, tribal governments, transit agencies, port authorities, metropolitan planning organizations (MPOs), other political subdivisions of State or local governments, and multi-State or multijurisdictional groups applying through a single lead applicant on a competitive basis for surface transportation projects (including, but not limited to: (1) Highway or bridge projects eligible under title 23, United States Code; (2) public transportation projects eligible under chapter 53 of title 49, United States Code; (3) passenger and freight rail transportation projects; and (4) port infrastructure investments) that will have a significant local or regional impact.

Grants are also awarded for the planning, preparation or design of eligible projects.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

RAISE grants may be used to fund surface transportation capital projects that include, but are not limited to: (1) highway, bridge, or other road projects eligible under title 23, United States Code; (2) public transportation projects eligible under chapter 53 of title 49, United States Code; (3) passenger and freight rail transportation projects; (4) port infrastructure investments (including inland port infrastructure and land ports of entry); and (5) intermodal projects.

Applicant Eligibility:

State: Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Government - General; Eligible Applicants for RAISE grants are State, local, and tribal governments, including U.S. territories, transit agencies, port authorities, metropolitan planning organizations (MPOs), and other political subdivisions of State or local governments.

Beneficiary Eligibility:

Quasi-public nonprofit organization; State; Local;

Credentials/Documentation:

Applicants must have a DUNS number, be registered with the System for Awards Management (SAM), and be registered with Grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

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Requirements for Federal Awards applies to this program. Application period is closed.

Award Procedure:

DOT awarded RAISE grants to projects that were well-aligned with one or more of the selection criteria and that satisfied the statutory distributional requirements while remaining as consistent as possible with the competitive ratings.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. This program has matching requirements. The Federal share of the costs for which an expenditure is made under this program may be up to 80 percent, however, the Department may increase the Federal share of costs above 80 percent for projects located in rural areas or planning projects located in or that directly benefit Areas of Persistent Poverty. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

FY 2021 funds are available for obligation through September 30, 2024, and, if properly obligated before that date, expenditure through September 30, 2029.

Method of awarding/releasing assistance: Reimbursement. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports, annual budget reports, and performance measurement reports are due in accordance with the terms and conditions of the grant agreement. Quarterly reports are required. SF-425 Grantees will provide information on the performance outcomes of the capital investments they make with RAISE grant funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees will be required to keep all project accounts and records that fully disclose the amount and disposition by the Grantee of the proceeds of the grant, the total cost of the project in connection with which the grant is given or used, and the amount or nature of that portion of the cost of the project supplied by other sources, and such other financial records pertinent to the project. The accounts and records shall be kept in accordance with an accounting system that will facilitate an effective audit in accordance with the Single Audit Act of 1984, as amended (31 U.S.C. 7501-7507).

Account Identification:

69-0143-0-1-407.

Obligations:

Project Grants (Discretionary) FY 20 Not Available; FY 21 est \$1,000,000,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

FY 2021 (enacted): \$1 billion FY 2020 (enacted): \$1 billion FY 2019 (enacted): \$900 million FY 2018 (enacted): \$1.5 billion FY 2017 (enacted): \$500 million FY 2016 (enacted): \$500 million In FY2021, individual RAISE capital grants are between \$5,000,000 and \$25,000,000 for projects located in an urbanized area and between \$1,000,000 and \$25,000,000 for projects located in a rural area. There is no minimum award for planning grants or for projects that are located in or directly benefit Areas of Persistent Poverty.

TAFS Codes:

69-0143.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: List of previously awarded projects is available here: <https://www.transportation.gov/policy-initiatives/build/build-2020-awards>

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Howard Hill,
1200 New Jersey Avenue, SE,
Washington DC 20590

Email: Howard.Hill@dot.gov

Phone: (202) 366-0301

Website Address:

<https://www.transportation.gov/RAISEgrants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Projects awarded in FY 2020 are available here:

<https://www.transportation.gov/policy-initiatives/build/build-2020-awards>

CRITERIA FOR SELECTING PROPOSALS:

RAISE Grants were awarded based on competitive evaluation.

20.934 Nationally Significant Freight and Highway Projects

Infrastructure For Rebuilding America,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

The Fixing Americas Surface Transportation Act (FAST Act), Section 1105 Nationally Significant Freight and Highway Projects (NSFHP) program, Pub. L. 114-94, section 1105 (23 U.S.C. 117), , Public Law 114-94, 23 USC Code 117.

OBJECTIVES:

The Nationally Significant Freight and Highway Projects (NSFHP) program will provide Federal financial assistance to highway, freight rail, port, and intermodal projects of national or regional significance.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The FAST Act authorizes the Nationally Significant Freight and Highway Projects program at \$4.5 billion for fiscal years (FY) 2016 through 2020 (extended for FY 2021 at \$1 billion), to be awarded by DOT on a competitive basis to projects of national or regional significance that meet statutory requirements. NSFHP grants may be used for the construction, reconstruction, rehabilitation, acquisition of property (including land related to the project and improvements to the land), environmental mitigation, construction contingencies, equipment acquisition, and operational improvements directly related to system performance. NSFHP grants may also fund developmental phase activities, including planning, feasibility analysis, revenue forecasting, environmental review, preliminary engineering, design, and other preconstruction activities, provided the project meets statutory requirements including that they are based on the results of preliminary engineering. All projects funded with NSFHP grants must be reasonably expected to begin construction not later than 18 months after the date funds are obligated for the project. The Department will divide grants under the NSFHP program into large and small projects. For large projects, the FAST Act specifies that NSFHP grants must be at least \$25 million. For small projects, the grants must be at least \$5 million. For both large and small projects, maximum NSFHP awards may not exceed 60 percent of future eligible project costs. Ten percent of available funds,

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are reserved for small projects. Applicants are strongly encouraged to submit applications only for eligible award amounts. Pursuant to the FAST Act and its Extension, not more than \$600 million in aggregate of the \$5.5 billion authorized for NSFHP grants over fiscal years 2016 to 2021 may be used for grants to freight rail, water (including ports), or other freight intermodal projects that make significant improvements to freight movement on the National Highway Freight Network. Only the non-highway portion(s) of multimodal projects count toward the \$600 million maximum. Improving freight movement on the National Highway Freight Network may include shifting freight transportation to other modes, thereby reducing congestion and bottlenecks on the National Highway Freight Network. The Federal share for projects that count toward the \$600 million maximum may fund only elements of the project that provide public benefit. Grade crossing and grade separation projects do not count toward the \$600 million maximum for freight rail, port, and intermodal projects. The FAST Act directs at least 25 percent of the funds provided for NSFHP grants, are to be used for projects located in rural areas, as defined in Section C.3.iv. If the Department does not receive enough qualified applications to fully award the 25 percent reserved for rural projects, the Department may use the excess funding for non-rural awards. DOT must consider geographic diversity among grant recipients, including the need for a balance in addressing the needs of urban and rural areas. The FAST Act allows an NSFHP grant recipient to use NSFHP funds granted to pay the subsidy and administrative costs necessary to receive credit assistance for the associated project under the Transportation Infrastructure Finance and Innovation Act of 1998 (TIFIA) program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State; U.S. Territories and possessions; Federally Recognized Indian Tribal Governments; Eligible applicants for NSFHP grants are 1) a State or group of States; 2) a metropolitan planning organization that serves an urbanized area (as defined by the Bureau of the Census) with a population of more than 200,000 individuals; 3) a unit of local government or group of local governments; 4) a political subdivision of a State or local government; 5) a special purpose district or public authority with a transportation function, including a port authority; 6) a Federal land management agency that applies jointly with a State or group of States; 7) a tribal government or a consortium of tribal governments; or 8) a multi-State or multijurisdictional group of public entities. Multiple States or jurisdictions that submit a joint application must identify a lead applicant as the primary point of contact. Each applicant in a joint application must be an Eligible Applicant. Joint applications must include a description of the roles and responsibilities of each applicant and must be signed by each applicant.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; Eligible applicants for NSFHP grants are 1) a State or group of States; 2) a metropolitan planning organization that serves an urbanized area (as defined by the Bureau of the Census) with a population of more than 200,000 individuals; 3) a unit of local government or group of local governments; 4) a political subdivision of a State or local government; 5) a special purpose district or public authority with a transportation function, including a port authority; 6) a Federal land management agency that applies jointly with a State or group of States; 7) a tribal government or a consortium of tribal governments; or 8) a multi-State or multijurisdictional group of public entities. Multiple States or jurisdictions that submit a joint application must identify a lead applicant as the primary point of contact. Each applicant in a joint application must be an Eligible Applicant. Joint applications must include a description of the roles and responsibilities of each applicant and must be signed by each applicant.

Credentials/Documentation:

To submit an application through Grants.gov, applicants must: Obtain a Data Universal Numbering System (DUNS) number; Register with the System Award for Management (SAM) at www.sam.gov; Create a Grants.gov username and password; and The E-business Point of Contact (POC) at the applicants organization must respond to the registration email from Grants.gov and login at Grants.gov to authorize the POC as an Authorized Organization Representative (AOR). Please note that there can only be one AOR per organization. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For additional information, please contact Paul Baumer at: Paul.Baumer@DOT.GOV

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are solicited through Grants.gov and the publication of a Notice of Funding Opportunity in the Federal Register. Applications must be submitted for each round by the published application deadline. Additional information on Application requirements can be found in the Notice of Funding Opportunity. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The FAST Act and associated Extension authorizes the NSFHP program at \$5.5 billion for fiscal years (FY) 2016 through 2021, to be awarded by DOT on a competitive basis to projects of national or regional significance that meet statutory requirements. NSFHP grants may be used for the construction, reconstruction, rehabilitation, acquisition of property (including land related to the project and improvements to the land), environmental mitigation, construction contingencies, equipment acquisition, and operational improvements directly related to system performance. NSFHP grants may also fund developmental phase activities, including planning, feasibility analysis, revenue forecasting, environmental review, preliminary engineering, design, and other preconstruction activities, provided the project meets statutory requirements including that they are based on the results of preliminary engineering. All projects funded with NSFHP grants must be reasonably expected to begin construction not later than 18 months after the date funds are obligated for the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 202, Part 117, Subpart ,Public Law 114-94 section 1105. Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. NSFHP grants may be used for up to 60 percent of future eligible project costs. Other Federal assistance may satisfy the non-Federal share requirement for an NSFHP grant, but total Federal assistance for a project receiving an NSFHP grant may not exceed 80 percent of the future eligible project costs. Unless otherwise authorized in statute, local cost-share that exceed the 80 percent Federal-share maximum will not be considered matching funds if the source of those funds is ultimately a Federal program. Non-Federal sources include State funds originating from programs funded by State revenue, local funds originating from State or local revenue funded programs, private funds or other funding sources of non-Federal origins. Funds from the Tribal Transportation Program (23 U.S.C. 202), TIFIA, a Federal land management agency, or other Federal source that is expressly authorized in statute may be used for the non-Federal share. Unless otherwise authorized in statute, local cost-share may not be counted as non-Federal share for both the NSFHP and another Federal program. For any project, the Department cannot consider previously incurred costs or previously expended or encumbered funds towards the matching requirement. Matching funds are subject to the same Federal requirements as awarded funds. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

INFRA funding is available to be obligated for up to 3 years following the year of authorization. For FY 2020 funding, INFRA funding is available to be obligated until September 30, 2023. Method of awarding/releasing assistance: other

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Reports:

Awarded projects will work with the assigned DOT Operating Administration on appropriate reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The program follows 2 CFR 200 audit requirements.

Records:

Any awarding procedures, documentation of expenditures and copies of reports as well as policies and procedures for the life of the grant.

Account Identification:

69-0170-0-1-401. 69-8083-0-7-401.

Obligations:

Project Grants (Discretionary) FY 20 \$906,000,000.00; FY 21 est \$889,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

For large projects, the FAST Act specifies that an INFRA grant must be at least \$25 million. The average large project award from FY 2016-2020 was \$67.7 million. The largest award was approximately \$184 million. For small projects, the minimum award is \$5 million. The average small project from FY 2016-FY2020 was \$10.6 million. The largest award was approximately \$35.6 million. The FAST Act directs that at least 25 percent of the funds provided for INFRA grants must be used for projects located in rural areas. From FY 2016-FY 2020 approximately 47% of funding was awarded to projects in rural areas. The USDOT must consider geographic diversity among grant recipients, including the need for a balance in addressing the needs of urban and rural areas. From FY 2016-FY 2021, NSFHP funding has been awarded to projects in 44 States and the District of Columbia.

TAFS Codes:

69-8083. 69-0170.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021, the Department awarded approximately \$900 million to 24 highway, rail, and port projects in 18 states that will improve local economies, create jobs, address climate change and advance racial equity goals. These projects were selected from a pool of 157 eligible applications requesting approximately \$6.8 billion in federal grant assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Additional information is available at www.transportation.gov/buildamerica/infra-grants, or at infra-grants@dot.gov.

Headquarters Office:

Paul Baumer,
U.S. Department of Transportation, Office of the Secretary of Transportation, 1200 New Jersey Avenue, SE.,
Washington DC 20590
Email: INFRAgrants@dot.gov
Phone: (202) 366-1092

Website Address:

<http://www.transportation.gov/NSFHP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 You can find a list of awarded projects at <https://www.transportation.gov/buildamerica/financing/infra-grants/infrastructure-rebuilding-america>

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are published in the Notice of Funding Opportunity.

20.935 State and Local Government Data Analysis Tools for Roadway Safety

Safety Data Initiative,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

49 USC Code 330(e). Public Law 115-141

OBJECTIVES:

The purpose of this NOFO is to advance the U.S. Department of Transportation (DOT) safety strategic goal, which includes using a data-driven systemic safety approach, by providing funding to build the capacity of State, local, and Tribal governments to use tools and information for policy and decision-making to improve roadway safety. The NOFO is an important next step in the Safety Data Initiative (SDI), which focuses on leveraging data integration, data visualization, and the use of advanced analytics to better understand surface transportation safety risks. Participants will develop, refine, and deploy roadway safety tools that address specific safety problems, which are an identified area of need for State, local, and Tribal practitioners. This will accelerate the roadway safety community's ability to gain new safety insights from data and apply information in a meaningful way that can lead to more precise, informed, effective, and/or efficient practices.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Awardees received funds to support specific use cases that will perform analysis, develop and refine a safety tool, implement the safety tool, document the work performed, and participate in peer exchanges.

Applicant Eligibility:

U.S. Territories and possessions; Interstate; Intrastate; State; Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Eligible Primary Applicants are State or local governments, metropolitan planning organizations (MPO) and regional governments, other political subdivisions of a State or local government, as well as tribal governments. Private sector, research or academic institutions, national organizations and other non-government stakeholders were permitted to be partners, but were not eligible to be the Primary Applicant.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

The Applicant had to submit an application submission that is no more than fifteen (15) total pages, as well as up to ten (10) pages of supporting documents. The application submission was required; the supporting documents were optional. The submission had to include the following elements: a) Cover page b) Project narrative c) Partners d) Information on data documentation, end products, and intellectual property e) Key personnel f) Budget and in-kind resources 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NOFO was posted in 2019. No pending NOFOs are anticipated at this time. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Eligible applications underwent review and evaluation by officials in the Office of the Secretary of Transportation and applicable Operating Administrations in DOT. Based on those reviews Departmental senior leadership determined which applications advance to the Secretary of Transportation, who ultimately selected the awards. If an application was selected for award, DOT were contacted the Principal and Alternate Principal contacts listed in the Application submission to

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finalize the terms of the Cooperative Agreement prior to award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Cooperative agreements are not anticipated to be renewed or extended beyond the initial period of performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards were made in June of 2020. The estimated period of performance for the 7 agreements is one (1) year from the establishment of the cooperative agreement. Most started in September/October 2020, and will end between August and October 2021. The funds were distributed as a fixed amount award. Method of awarding/releasing assistance: other

Reports:

The Recipients must submit Monthly Progress Reports, which includes verbal progress reports and/or one-page written reports to document activities performed, anticipated activities, and any changes to schedule or anticipated issues.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Required deliverables retain the necessary records for the duration of the cooperative agreement.

Account Identification:

69-0142-0-1-407.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,978,983.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range: \$294,000 to \$453,000. Average: \$372,000

TAFS Codes:

69-0142.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Cooperative agreements were established with 7 recipients as a result of the 2019 NOFO. Projects are currently ongoing.

REGULATIONS, GUIDELINES, AND LITERATURE:

For more information about the Safety Data Initiative please visit <https://www.transportation.gov/content/safety-data-initiative>.

Regional or Local Office:

None. For more information about this listing please email DOT Headquarters in Washington, DC at USDOTSafetyDataInitiative@dot.gov.

Headquarters Office:

Paul D. Teicher,
1200 New Jersey Ave SEW84-322,
Washington DC 20590
Email: paul.teicher@dot.gov
Phone: 202-366-4114

Fax:

Website Address:

<https://www.transportation.gov/content/safety-data-initiative>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The project titles of the 7 funded projects: Vulnerable User Risk Tool; DRIVE NET; Behavioral Safety Countermeasures; Vulnerable User Density Exposure Dashboard; MassDOT IMPACT data visualization; Crash Predictions for Expedited Detection; Accelerating Rural Road Safety Using AI to Unlock Predictive Insights from Videolog Data; Automatic Road Feature Extraction from State-Owned; and Mobile LiDAR Data for Traffic Safety Analysis and Evaluation.

CRITERIA FOR SELECTING PROPOSALS:

DOT evaluated eligible applications based on the following evaluation criteria, which are ordered from most important (A) to least important (E). A. TECHNICAL MERIT. B. POTENTIAL FOR USE. C. POTENTIAL FOR REPLICATION. D. DIVERSITY OF RECIPIENTS. E. PARTNERSHIPS

20.936 Transportation Demonstration Program

Transportation Demonstration Program,

FEDERAL AGENCY:

OFFICE OF THE SECRETARY, TRANSPORTATION, DEPARTMENT OF AUTHORIZATION:

Public Law 116-260

OBJECTIVES:

Transportation Demonstration grants are for infrastructure projects that expand intermodal and multimodal freight and cargo transportation infrastructure, including airport development under chapter 471 of title 49. The following types of entities are eligible: 1. Maritime port authorities that have a terminal railway that serves a port under the jurisdiction of the authority; AND the location of the authority, as identified by its outermost geographic boundary, is not more than 10 miles from a former military airport classified as a general aviation airport in the National Plan on Integrated Airport System (NPIAS) report for fiscal years (FY) 2019 to 2013. 2. Former military airports where the airport is classified as a general aviation airport in the NPIAS for FY 2019 to 2023; AND the airport is located not more than 10 miles from a maritime port authority that uses a terminal railway.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To be eligible, projects must be located not more than 10 miles from at least two highways on the Interstate System. Under this program, highways on the Interstate System means two separately numbered Interstates (i.e., 1, or 2, or 3-digit Interstates including main routes, radial, beltways, or spurs.) Eligible projects may include, but are not limited to, planning and capital projects that expand intermodal and multimodal freight and cargo transportation infrastructure, including airport development under chapter 471 of title 49. Definitions: Terminal Railway A switching and terminal railroad or a Class III railroad Maritime port authority A government or special purpose district that governs maritime ports Former military airport For the Transportation Demonstration Program, the Department will consider an airport as a former military airport if: (1) the airport is a former military installation closed or realigned under (A) section 2687 of title 10; (B) section 201 of the Defense Authorization Amendments and Base Closure and realignment Act (10 U.S.C. 2687 note); or (C) section 2905 of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note); (2) the airport is a military installation with both military and civil aircraft operations; or (3) the airport is a former military installation that, at any time after December 31, 1965, was owned and operated by the Department of Defense; and (B) a nonhub primary airport.

Applicant Eligibility:

Other public institution/organization; U.S. Territories and possessions; State; Other private institutions/organizations; Federally Recognized Indian Tribal Governments; There are only two types of eligible applicants: 1. Maritime Port authorities with a terminal railway that serves a port under the jurisdiction of the authority; AND the location of the authority, as identified by its outermost geographic boundary, is not more than 10 miles from a former military airport classified as a general aviation airport in the National Plan on Integrated Airport

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System (NPIAS) report for fiscal years (FY) 2019 to 2023. 2. Former military airports that are classified as a general aviation airport in the NPIAS for FY 2019 to 2023; AND the airport is located not more than 10 miles from a maritime port authority that uses a terminal railway.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants must have a DUNS number, be registered with the System for Awards Management (SAM), and be registered with Grants.gov. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

DOT awards Discretionary Grants to projects that are well aligned with the criteria outlined in the Notice of Funding Opportunity, and satisfy the statutory requirements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available until expended. Method of awarding/releasing assistance: other

Reports:

SF-425 Grantees will provide information on the performance outcomes of the investments they make with Transportation Demonstration funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees will be required to keep all project accounts and records that fully disclose the amount and disposition by the Grantee of the proceeds of the grant, the total cost of the project in connection with which the grant is given or used, and the amount or nature of that portion of the cost of the project supplied by other sources, and such other financial records pertinent to the project. The accounts and records shall be kept in accordance with an accounting system that will facilitate an effective audit in accordance with the Single Audit Act of 1984, as amended (31 U.S.C. 7501-7507).

Account Identification:

69-1731-0-1-400.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$100,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

The minimum award size is \$1 million.

TAFS Codes:

69-1731.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Shira Bergstein,
1200 New Jersey Avenue, SE.,
Washington DC 20590
Email: shira.bergstein@dot.gov
Phone: 202-493-0286
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Transportation Demonstration Grants will be awarded based on the criteria outlined in the Notice of Funding Opportunity. As part of the Departments requirements, the applicant's should describe the extent to which climate change and environmental justice impacts, and racial equity and barriers to opportunity are incorporated into the project

TREASURY, DEPARTMENT OF THE

21.004 Exchange of Federal Tax Information With State Tax Agencies

FEDERAL AGENCY:

INTERNAL REVENUE SERVICE, TREASURY, DEPARTMENT OF THE
AUTHORIZATION:

Executive Order As Amended, Title 26, Part 6103, Section d, Internal Revenue Code, Title 26, Part 6103, Section d, 26 USC Code 6103(d).

OBJECTIVES:

To increase state tax revenues and taxpayer compliance, and to reduce duplicate resource expenditures.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

State tax agencies and their contractors may use the tax data provided for state tax administration purposes only, pursuant to Internal Revenue Code (IRC) Section 6103. Any unlawful disclosure of Federal tax data subjects the violator to both criminal and civil penalties specified in IRC Sections 7213, 7213A and 7431.

Applicant Eligibility:

State; Any agency responsible for tax administration within any of the 50 States, the District of Columbia, certain U.S. Territories, Regional Income Tax Agencies and municipalities with populations in excess of 250,000 that impose taxes on income or wages and with which the Commissioner of Internal Revenue has entered into a disclosure agreement with safeguard and confidentiality protections.

Beneficiary Eligibility:

U.S. Territories; State; State Governments, U.S. Territories, Regional Income Tax Agencies and Municipal Governments.

Credentials/Documentation:

Agency head or his/her designee must enter into Agreement with the Commissioner of IRS regarding disclosure. This program is excluded from

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coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There are a variety of application procedures depending on the circumstances. For the Governmental Liaison Data Exchange Program which includes recurring aggregate data extracts, applicants complete an Annual Registration Form. For specialty programs, applicants sign a Memorandum of Understanding (MOU). For individual or one-time requests, applicants send a written request to the Disclosure Office. The address can be found by clicking "IRS Disclosure Offices" on <http://www.irs.gov/foia/>.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Annual Governmental Liaison Data Exchange Program Enrollment Form must be completed annually by state agencies and must also be approved by the Disclosure Manager. For specialty programs, applicants sign a Memorandum of Understanding (MOU). For individual or one-time requests, applicants send a written request to the Disclosure Office. The address can be found by clicking "IRS Disclosure Offices" on <http://www.irs.gov/foia/>.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Governmental Liaison Data Exchange Program Enrollment Form must be submitted by state agencies and approved annually by the Disclosure Manager. For specialty programs, and other programs that require a Memorandum of Understanding (MOU) procedures vary based on the terms of the MOU.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Agency Officials are required to submit a Safeguard Procedures Report (SPR) 45 days prior to receipt of Federal tax data, and an annual Safeguard Activity Report (SAR). All records must be maintained as required by IRC 6103(p)(4).

Account Identification:

20-0912-0-1-803.

Obligations:

Provision of Specialized Services FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

NA

TAFS Codes:

20-0912.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

- Internal Revenue Manual 11.3.32, Disclosure of Official Information, Disclosure to States for Tax Administration Purposes, found at <http://www.irs.gov/irm/part11/>

- Publication 1075, Tax Information Security Guidelines, found at <http://www.irs.gov/pub/irs-pdf/p1075.pdf>. - Tax Information Security Guidelines Title 26 Code of Federal Regulations

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Program Analyst Brytten Rice,
1550 American Blvd East, Bloomington, MN 55425,
Bloomington MN 55425
Email: brytten.m.rice@irs.gov
Phone: 7633477366

Website Address:

<http://www.irs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.006 Tax Counseling for the Elderly

TCE.

FEDERAL AGENCY:

INTERNAL REVENUE SERVICE, TREASURY, DEPARTMENT OF THE AUTHORIZATION:

Executive Order Public Law 95-600, 92 Stat. 2810, Title 26, Part 601, Section 163, Revenue Act of 1978, Title 26, Part 601, Section 163, Statue 19-141, Public Law 600-95, 26 USC Code 601.

OBJECTIVES:

To authorize the Internal Revenue Service to enter into agreements with private or public nonprofit agencies or organizations; to establish a network of trained volunteers to provide free federal income tax information and return preparation assistance to elderly taxpayers.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Funding may be used for reimbursing volunteers for their out-of-pocket expenses including transportation, meals, and other expenses incurred by them in providing tax counseling assistance at locations convenient to the taxpayers.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Tax Counseling for the Elderly sponsors must be private or public nonprofit organizations with experience in coordinating volunteer programs. Federal, State, and local government agencies are not eligible to sponsor a program. Applicants must be tax compliant and not debarred or suspended.

Beneficiary Eligibility:

Senior Citizen (60+); Elderly taxpayers, age 60 or older.

Credentials/Documentation:

Applicants must provide proof of their tax exempt status. Applicants must be tax compliant and not be debarred or suspended. 2 CFR Part 200 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Complete application procedures are included in IRS Publication 1101, Application Package and Guidelines for Managing a TCE Program.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures are included in IRS Publication 1101, Application Package and Guidelines for Managing a TCE Program. Eligible organizations are to submit their applications through grants.gov on or before June 4, 2021. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All complete applications meeting eligibility requirements will be evaluated on technical merit, capacity to reach targeted audience, their prior performance (if returning recipient and how the organization plans to provide Federal tax return assistance and electronic filing service to elderly individuals in the community. Continuing multi-year applicants will be evaluated on their continued eligibility, adherence to program requirements and whether they succeeded in meeting the prior year goals. Continuing multi-year applicants are exempt from evaluation on technical merit during their second and third year grant cycle.

Deadlines:

May 01, 2021 - June 04, 2021.

Range of Approval/Disapproval Time:

From 90 to 120 days. The IRS will notify applicants of their selection or non-selection of their grant application, as well as those that did not qualify for the program generally by October 1 of each year. The schedule for notification will be determined based on the Congressional appropriation of funding for the TCE Program, meaning if we are operating under a Continuing Resolution, applicant notification may be delayed pending approval of TCE funds.

Appeals:

Not Applicable. The selection of the grantees for this program is left to the discretion of the IRS - there is no appeals process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative Agreements expire on September 30 of each year unless an earlier date is set in the Cooperative Agreement. TCE grant funds are released on an immediate need or reimbursement basis - meaning an organization should request reimbursement from the IRS as they use funds for TCE program activities. Method of awarding/releasing assistance: other

Reports:

TCE grant Program recipients are required to submit a year-end Federal Financial Report (FFR) Cash Transaction Report Standard Form 425 to the Payment Management System. In addition, Form 8654, Tax Counseling for the Elderly Semi-Annual/Annual Program Report is required to be submitted annually to the Grant Program Office. Form 8654, Tax Counseling for the Elderly Semi-Annual/Annual Program Report is required to be submitted annually and is prepared as cumulative, reflecting expenditures for entire program period. In addition, on-line Federal Financial reports are required through the Payment Management System to reflect expenditures that are being requested for reimbursement through the system. Grantees are responsible for monitoring volunteer compliance with program regulations and requirements, Section 163 of the Revenue Act of 1978, the Cooperative Agreement, and compliance with OMB circulars and regulations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR Part 200 nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards must have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR Part 200. The Federal Audit Clearinghouse operates on behalf of the Office of Management and Budget (OMB)

and its primary purpose is to disseminate audit information to Federal agencies and the public. If the most recent audit showed an unfavorable finding, it will not automatically disqualify you from consideration; however, more information may be requested to ensure any deficiencies noted in the audit have been corrected

Records:

Grant recipients are required to have a record keeping system in place to support all information reported. Supporting receipts and other documentation should be maintained for a minimum of 3 years following the submission of the final report.

Account Identification:

20-0912-0-1-803.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$11,000,000.00; FY 21 est \$11,000,000.00; FY 22 est \$11,000,000.00;

Range and Average of Financial Assistance:

No Data Available

TAFS Codes:

05-2050.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Nearly 1 million taxpayers assisted through the TCE Grant Program Fiscal Year 2021: A conservative growth rate of 1 percent is projected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publication 1101, Application Package and Guidelines for Managing a TCE Program 2 CFR 200Publication 4883, Grant Program Resource Guide

Regional or Local Office:

None.

Headquarters Office:

Grant Program Office - TCE,
Internal Revenue Service 5000 Ellin Road NCFB C4-110,
Lanham MD 20706

Email: tce.program.office@irs.gov

Phone: 470-639-2935

Website Address:

<http://www.irs.gov/Individuals/Tax-Counseling-for-the-Elderly>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Quality of Programs and Service for age 60 and over 30 points; Geographic coverage and extent of coverage for age 60 and over 20 points; Electronic Filing services for age 60 and over 20 points; Prior experience in Federal Tax Return Counseling and Tax Preparation 10 points; Quality of Cooperative Agreement Administration and Internal Accounting Procedures 10 points; Past Performance 10 points; Grant Program Office Evaluation. The Grant Program Office evaluation involves a more in-depth review of the applicants budget information and accounting processes. The proposed geographic coverage and target audiences are also taken into consideration.

21.008 Low Income Taxpayer Clinics

Low Income Taxpayer Clinic,

FEDERAL AGENCY:

INTERNAL REVENUE SERVICE, TREASURY, DEPARTMENT OF THE
AUTHORIZATION:

Internal Revenue Code, as amended, , 26 USC Code 7526.

OBJECTIVES:

To provide matching grants to organizations that ensure the fairness and integrity of the tax system for taxpayers who are low income or speak English as a second language by: (1) Providing pro bono representation on their behalf in tax disputes with the IRS (2) Educating them about their rights and responsibilities as taxpayers

ASSISTANCE LISTINGS DESCRIPTIONS

(3)Identifying and advocating for issues that impact these taxpayers

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards under this program are issued to provide financial assistance that enhances the grant recipients ability to represent low income taxpayers in controversies with the Internal Revenue Service (IRS) and inform individuals for whom English is a second language of their taxpayer rights and responsibilities. Each recipient is required to enter into an assistance agreement that requires the awardee to comply with program goals and abide by other terms and conditions pertinent to assistance received under this program. The overarching goals that will need to be reported on are, New representation cases to be opened, Consultations with low income and ESL taxpayers, Educational activities conducted for low income and ESL taxpayers, and Low income and ESL taxpayers who will be reached in educational activities.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); A qualified organization may receive a matching grant of up to \$100,000 per year for up to a three-year project period. A qualified organization is one that represents low income taxpayers in controversies with the IRS and informs individuals for whom English is a second language (ESL taxpayers) of their taxpayer rights and responsibilities, and does not charge more than a nominal fee for its services (except for reimbursement of actual costs incurred).Examples of qualified organizations include (1) a clinical program at an accredited law, business, or accounting school whose students represent low income taxpayers in tax controversies with the IRS and (2) an organization exempt from tax under IRC section 501(a) whose employees and volunteers represent low income taxpayers in controversies with the IRS and may also make referrals to qualified volunteers to provide representation.

Beneficiary Eligibility:

Low Income; Low-income taxpayers are those with incomes which do not exceed 250 percent of the Federal Poverty Guidelines published annually by the Department of Health and Human Services, or taxpayers for whom English is a second language.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To be considered for 2022 LITC grant funding, a complete application must be submitted by June 18, 2021, 11:59 p.m. ET through the grants.gov system. The entity must have a DUN and Bradstreet number and be an organization in order to apply and submit an application in grants.gov A complete application consists of the following items:(a) Standard Form 424, Application for Federal Assistance; (c) IRS Form 13424, Low Income Taxpayer Clinic (LITC) Application Information;(d) IRS Form 13424-J, Detailed Budget Worksheet and Narrative;(e) IRS Form 13424-M, Low Income Taxpayer Clinic (LITC) Application Narrative;(f) Attachments Form (used to attach items g-k);(g) Tax exemption determination letter, if applicable*;(h) Proof of academic accreditation, if applicable*;(i) Most recent audited financial statement (if the applicant expends \$750,000 or more in federal funds during the applicants fiscal year, this must be a single audit or program-specific audit as defined in 2 CFR 200.501.) If the applicants most recent audited financial statement is available on the Federal Audit Clearinghouse found at harvester.census.gov/facweb/ then in lieu of attaching the audit, check the yes box located on Form 13424-M in response to question II.4. ii. See Section IV.E.iv, OMB Audit Requirement, infra; An applicant that does not have audited financial statements must submit an unaudited statement for its most recent fiscal year and a statement as to why an audited financial statement is not available*;(j) Applicants must also provide documentation (e.g., articles of organization or an IRS Form 2848) which shows that the designated Tax Compliance Officer on Form 13424 is properly authorized to receive tax information*; and (k) Indirect cost rate agreement, if

applicable*.Items marked with an * must be submitted via the Attachments Form (downloadable as part of the application package from www.grants.gov). For informational purposes, copies of forms are included in Publication 3319, Appendix A, Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Full applications go through a 2 review process: (1) A technical evaluation (ranking) by TAS employees; and (2) A program evaluation which includes the review of all factors, recommendation by the LITC Director, and the final funding decision by the National Taxpayer Advocate.

Deadlines:

March 15, 2021 - April 16, 2021, May 03, 2021 - June 18, 2021. An annual notice of funds availability, published in the Federal Register, announces deadline dates for submission of applications. The application period will be open 45 days.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. None

Renewals:

Other. An organization that is on an approved multi-year award may request continuation in the program by filling out a Non-competing Continuation request in our grants management system, GrantSolutions. The request period is open May 3, 2021 - June 18, 2021.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are mandatory. All awards of financial assistance shall be matched by the recipient on a dollar-for-dollar basis. In general, funds from sources (other than from other federal government sources) can be counted as matching funds. Complete details of matching funds can be found in IRS Publication 3319, Low Income Taxpayer Clinic Grant Application Package and Guidelines.Qualified matching funds include (but are not limited to): Cash contributions, including Legal Services Corporation funds;Grantee contributions, including payments for:1. Salaries and fringe benefits paid to clinic staff;2. Equipment and supplies used in the clinic;3. Rent and utilities costs to operate the clinic; and4. Other costs necessary to the operation of the program;Third-party in-kind contributions, including:1. The value of volunteer services furnished by professional and technical personnel, consultants, and other skilled and unskilled labor;2. The fair market value of donated equipment and supplies; and3. The fair rental value of donated space. Program income, including nominal fees charged, from activities that are directly related to the clinics objectives.Indirect expenses, including general overhead of the institution sponsoring the clinic, are not countable as matching funds.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The budget period for this application is for 12 months. Th exact dates are 1/1/2022 - 12/31/2022. Method of awarding/releasing assistance: other

Reports:

The LITC Program will require the timely submission of four reports an Interim Report due November 1, 2021, Year-end report due March 31, 2022, an Interim report due August 1, 2022, and a Year-End report due March 31, 2023. The LITC Program will require the timely submission of two reports an Interim Report due August 1, 2022 and a Year-end report due March 31, 2023.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Award recipients are required to maintain records to substantiate total costs incurred and to assure that all performance measures were met. Records must be retained in accordance with the provisions of OMB 2CFR200.334.

Account Identification:

20-0912-0-1-803.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Project Grants FY 20 \$12,000,000.00; FY 21 est \$13,000,000.00; FY 22 est \$13,000,000.00;

Range and Average of Financial Assistance:

Range of grant: \$10,000 to \$100,000.

TAFS Codes:

20-0912.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

IRS Publication 3319, Low Income Taxpayer Clinic Grant Application Package and Guidelines.

Regional or Local Office:

None.

Headquarters Office:

Senior Program Analyst William C Beard,
24000 Avila Road,
Laguna Niguel CA 92677
Email: beard.william@irs.gov
Phone: 949-575-6200
Fax: 877-477-3520

Website Address:

<https://www.irs.gov/advocate/low-income-taxpayer-clinics>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include but are not limited to: (1) Quality of the proposed programs; (2) History of performance under LITC grants in prior years, if applicable; (3) Soundness of the proposed budget; and (4) Any significant concerns identified during the technical evaluation and risk assessment.

21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program

FEDERAL AGENCY:

TREASURY, DEPARTMENT OF THE

AUTHORIZATION:

Executive Order Consolidated Appropriations Act, 2021 Taxpayer First Act 2019, , Public Law 116-25

OBJECTIVES:

To provide matching grants to organizations that will enable VITA initiatives to extend services to underserved populations and hardest-to-reach areas, both urban and non-urban; specific objectives as they relate to the VITA Program including: increasing the capacity to file returns electronically, heighten quality control, enhance training of volunteers, and significantly improve the accuracy rate of returns prepared by VITA sites.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds can be used to cover ordinary and necessary expenses for operating a VITA tax preparation program. Such expenses are only allowable if they are reasonable, allocable, and in accordance with CFR Part 200.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Non-profit organization or state or government entity. VITA applicants must be a non-profit, state or local

government, Indian Tribal Government with experience in coordinating the Volunteer Income Tax Assistance program. Applicant organizations must be tax compliant and not debarred or suspended.

Beneficiary Eligibility:

Native American Organizations; Senior Citizen (60+); Low Income; Providing assistance to low income individuals and families, elderly, rural, persons with disabilities, Native American, limited English proficient and military individuals and family.

Credentials/Documentation:

Applicants must provide proof of their tax-exempt status. Applicants must document matching funds. Applicants must be tax compliant and not be debarred or suspended. Code of Federal Regulations (CFR) Title 2, Part 200 applies to this program. 2 CFR 200, Subpart E Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Requirements for Federal Awards applies to this program. Complete application procedures are included in IRS Publication 4671, Volunteer Income Tax Assistance Grant Program Overview and Application Instructions. Applicants must provide proof of their entity status (i.e. tax-exempt organization/government agency). Matching funds must be documented.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures are included in IRS Publication 4671, Volunteer Income Tax Assistance Grant Program Overview and Application Instructions. Applicants must provide proof of their tax-exempt status. Matching funds must be documented. Eligible organizations must submit their application through grants.gov on or before June 4, 2021. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All complete applications meeting initial eligibility requirements will be evaluated on technical merit, capacity to reach the targeted audiences, geographic coverage and prior year performance.

Deadlines:

May 01, 2021 - June 04, 2021.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. The selection of the grantees for this program is left to the discretion of the IRS - there is no appeals process for non-selection.

Renewals:

Other. A multi-year award may be issued up to a maximum 3 years and year 2 and 3 are renewals. A partial application must be submitted through grants.gov each year to renew.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant period runs October 1st through September 30th. VITA grant funds are released on an immediate need or reimbursement basis. Funding is deposited in an account managed by the Division of Payment Management and recipients request and receive funds electronically after account establishment. Method of awarding/releasing assistance: other

Reports:

A final report is required to be submitted. The final report includes Performance Progress Report A, a narrative, final listing of sites opened and a final expenditure summary. A year-end Federal Financial Status Report (FFR) is also required to be

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submitted through the Payment Management System. Additional year-end reporting is required to be submitted by October 30. These reports as detailed in the grant agreement must be submitted to the Grant Program Office using specific forms within the Performance Progress Report family, a narrative, final listing of sites opened and budget summary. A Federal Financial Report must be filed in the Payment Management System on a quarterly basis. Grantees are responsible for monitoring volunteer compliance with program regulations and requirements, the Grant Agreement, and compliance with applicable OMB Circulars and regulations. Filing season performance must be monitored by the grantee and report final results through the year-end report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR Part 200 nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards must have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR Part 200. The Federal Audit Clearinghouse operates on behalf of the Office of Management and Budget (OMB) and its primary purpose is to disseminate audit information to Federal agencies and the public. If the most recent audit showed an unfavorable finding, it will not automatically disqualify you from consideration; however, more information may be requested to ensure any deficiencies noted in the audit have been corrected.

Records:

Recipients are required to have a record keeping system in place to support all information reported. Supporting receipts and other documentation should be maintained for a minimum of three years following the submission of the final report.

Account Identification:

20-0912-0-1-803.

Obligations:

Project Grants (Discretionary) FY 20 \$18,000,000.00; FY 21 est \$25,000,000.00; FY 22 est \$30,000,000.00;

Range and Average of Financial Assistance:

No Data Available

TAFS Codes:

04-2050.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Over 1 million taxpayers assisted through the VITA Grant during 2020 Fiscal Year 2021: A conservative growth rate of 1 percent is projected

REGULATIONS, GUIDELINES, AND LITERATURE:

Publication 4671, VITA Grant Program Overview and Application Instructions 2 CFR 200 Publication 4883, Grant Programs Resource Guide

Regional or Local Office:

None.

Headquarters Office:

Grant Program Office,
401 West Peachtree Street, NW, Stop 420-D,
Atlanta GA 30308
Email: Grant.Program.Office@irs.gov
Phone: 470-639-2935

Website Address:

<http://www.irs.gov/Individuals/IRS-VITA-Grant-Program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Full applications go through a 2-tier review process: (1) A technical evaluation (ranking) by WI employees; and (2) A program evaluation involves a more in-

depth review of the applicants program plan, budget information and financial operations. The proposed geographic coverage and target audiences are also taken into consideration.

21.011 Capital Magnet Fund

CMF,

FEDERAL AGENCY:

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS, TREASURY, DEPARTMENT OF THE

AUTHORIZATION:

Title The Housing and Economic Recovery Act of 2008, Section 1131, Public Law 110-289

OBJECTIVES:

To attract financing for and increase investment in affordable housing for low-income, very low-income, and extremely low-income people and certain related economic and community development activities

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CDFI Fund awards Capital Magnet Fund grants to certified Community Development Financial Institutions (CDFIs) and eligible nonprofit housing organizations to finance: (1) the development, preservation, rehabilitation, or purchase of affordable housing for primarily extremely low-, very low-, and low-income families; and (2) economic development activities or community service facilities, such as day care centers, workforce development centers, and health care clinics, which in conjunction with affordable housing activities implement a concerted strategy to stabilize or revitalize a low-income area or underserved rural area. Grant funds must be used to finance and/or support physical structures. Grants must be used to support a portfolio of projects whose aggregate costs are at least 10 times the grant amount.

Applicant Eligibility:

Profit organization; Quasi-public nonprofit institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be (1) a Treasury certified CDFI; or (2) a nonprofit organization having as one of its principal purposes the development or management of affordable housing.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Low income, very low-income, and extremely low-income people as set forth in 12 C.F.R. Part 1807.

Credentials/Documentation:

An applicant must provide information necessary to establish that it is an eligible organization in order to apply for assistance. This information is included as part of the application materials. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each applicant must complete an application package. All applications must be received by the established deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process set forth in the NOFA and application materials.

Deadlines:

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Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The application deadline is generally 60 days subsequent to the NOFA publication date. Award approval/disapproval determinations are generally made within 180 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Recipients must commit all funds within two years; begin initial expenditure of funds within three years; and complete the projects within five years of executing the award agreement. Method of awarding/releasing assistance: lump sum Method of awarding/releasing assistance: lump sum.

Reports:

Post-award reporting consists of annual financial and performance reporting. The performance reports include the following components: an annual performance report and SF-425 Expenditure of Financial Assistance Report. In certain circumstances, environmental review notification reports or explanations of noncompliance may be required. Financial reporting includes annual submission of a financial audit or Single Audit Report, if applicable. Expenditure Reports are included in the Investment Period Report and Affordability Period Report. The performance reports include the following components: an annual performance report and, in certain circumstances, environmental review notification reports or explanations of noncompliance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the assistance agreement.

Account Identification:

20-8524-0-7-451.

Obligations:

Project Grants FY 20 \$130,883,872.00; FY 21 est \$175,354,252.00; FY 22 est \$380,248,389.00;

Range and Average of Financial Assistance:

The range of CMF Awards for the FY 2020 funding round (FY 2021 obligations) was from \$750,000 to \$8,000,000. The average FY 2020 Award was \$3,683,214. The maximum allowable award by statute is 15% of the annual available funding.

TAFS Codes:

20-8524.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Rule (12 C.F.R. Part 1807); NOFA; and application materials.

Regional or Local Office:

None.

Headquarters Office:

Capital Magnet Fund,
1500 Pennsylvania Avenue, N.W.,
Washington DC 20220
Email: cmf@cdfi.treas.gov
Phone: (202) 653-0300

Website Address:

http://www.cdfifund.gov

RELATED PROGRAMS:

21.021 Bank Enterprise Award Program; 21.020 Community Development Financial Institutions Program; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.012 Native Initiatives;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are evaluated based upon criteria set forth in the interim rule, the Notice of Funds Availability (NOFA), and application materials.

21.012 Native Initiatives

NACA Program,

FEDERAL AGENCY:

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS, TREASURY,
DEPARTMENT OF THE

AUTHORIZATION:

Title Riegle Community Development and Regulatory Improvement Act of 1994,

OBJECTIVES:

To promote economic revitalization and community development through financial and technical assistance to Native Community Development Financial Institutions (CDFIs).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the NACA Program, FA and TA awards are provided to build the capacity of new or existing Native CDFIs serving Native Communities. FA awards enhance the Recipient's ability to make loans and investments and to provide financial services that promote economic revitalization and community development. CDFI Program FA awards must be expended for activities in the following five categories: (i) Financial Products; (ii) Financial Services; (iii) Loan Loss Reserves; (iv) Development Services; and (v) Capital Reserves. Note: Only Regulated Institutions may use a Base-FA award for Financial Services and Capital Reserves. TA awards build the Recipient's internal capacity. CDFI Program TA awards must be expended for activities in the following eight categories: (i) Compensation Personal Services; (ii) Compensation fringe benefits; (iii) Professional Services Costs; (iv) Travel Costs; (v) Training and Education Costs; (vi) Equipment; (vii) Supplies and (viii) Incorporation Costs (Sponsoring Entities Only). Each Recipient enters into an assistance agreement that requires it to achieve performance goals and abide by other terms and conditions.

Applicant Eligibility:

Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Only a certified CDFI that demonstrates that at least 50% of its past activities were in one or more Native Communities and describes how it will target its lending/investing activities to one or more Native Communities is eligible to apply for Financial Assistance awards. Additionally, an organization wishing to apply for FA through the NACA Program must have an approved certification Target Market that consists of either an investment area or Other Targeted Population that includes a Native Community. Organizations that are certified CDFIs and entities seeking to become certified CDFIs or Sponsoring Entities that demonstrate they will primarily serve one or more Native Communities as its Target Market may apply for Technical Assistance awards. No awards may be issued to Federal agencies,

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departments or instrumentalities, State governments, local governments, or any agency or instrumentalities thereof.

Beneficiary Eligibility:

Native American Organizations; Investment Areas and Targeted Populations as defined in 12 C.F.R. 1805.

Credentials/Documentation:

An organization wishing to apply for a Financial Assistance (FA) award through the Native American CDFI Assistance (NACA) Program must be a certified CDFI prior to the release date of the Notice of Funds Availability (NOFA), demonstrate that at least 50% of its past activities were in one or more Native Communities, and describe how it will target its lending/investing activities to one or more Native Communities. Additionally, an organization wishing to apply for FA through the NACA Program must have an approved Target Market that consists of either an investment area or Other Targeted Population that includes a Native Community. Organizations that are Certified CDFIs, Emerging CDFIs, or Sponsoring Entities that demonstrate they will primarily serve one or more Native Communities as its Target Market may apply for Technical Assistance (TA) awards. Non-certified organizations must be able to become certified within three years after receiving a TA award. Sponsoring Entities must create an organization that must become certified within four years after receiving the TA award. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each applicant must complete a NACA Program Application which includes a Comprehensive Business Plan. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process set forth in the NOFA and application materials.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Application deadlines are from 30 to 60 days subsequent to the NOFA publication date. Award approval or disapproval determinations are typically made within 270 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Recipients must use all FA funds within three years and TA funds within two years, unless the Recipient is an Emerging CDFI. Emerging CDFI Recipients must use all award funds within three years. If the Recipient is a Sponsoring Entity, it must use all award funds within four years. Method of awarding/releasing assistance is either lump sum or in multiple disbursements based on the projected use in upcoming twelve months. Method of awarding/releasing assistance: other

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals (if applicable). Progress Reports includes the Performance Progress Report (PPR) (1559-0050), which requires recipients to submit data documenting their progress towards fulfilling applicable Performance Goals and Measures. Expenditure Reports include the Uses of Award Report (1559-0032). Performance Monitoring is also accomplished with the financial review of Audited Financial Statements or Single Audits conducted

pursuant to 2 C.F.R. 200, Subpart F - Audit Requirements. FA recipients are also required to submit Transaction Level Reports (TLR) (1559-0027). The Annual Certification and Data Collection Report (ACR) (1559-0046) is submitted by certified CDFIs that receive NACA Program award funds. The ACR requires a certified CDFIs to annually assess and report on any changes to criteria supporting its CDFI certification, as well as additional organizational, financial, and other data. The CDFI Fund uses this report to monitor the certified CDFIs compliance with certification criteria and collect data to gain greater insight into the CDFI industry.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Award recipients must maintain records to substantiate total costs incurred and to ensure that all performance measures are met. Records must be retained in accordance with the provisions of 2 C.F.R. 200 and the terms of the assistance agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

Project Grants FY 20 \$16,692,800.00; FY 21 est \$16,500,000.00; FY 22 est \$21,500,000.00;

Range and Average of Financial Assistance:

The FY 2020 dollar range of Technical Assistance awards was \$109,000 to \$150,000. The FY 2020 average Technical Assistance awards was \$145,000. The FY 2020 dollar range of Financial Assistance (FA) awards was \$150,000 to \$1,000,000. The FY 2020 average Financial Assistance Awards (Base-FA) was \$615,000, which does not include Persistent Poverty Counties-FA, Disability Funds-FA, and Healthy Food Financing Initiative-FA awards. The range of Persistent Poverty Counties-FA (PPC-FA) in FY 2020 was \$100,000 to \$183,300. The FY 2020 average PPC-FA was \$145,436. The range of Disability Fund (DF-FA) in FY 2020 was \$100,000 to \$268,750. The FY 2020 average DF-FA was \$235,000. The range of Healthy Food Financing Initiative (HFFI) Assistance Awards in FY 2020 was \$500,000 to \$2,750,000. The FY 2020 average HFFI Assistance Award was \$1,692,000.

TAFS Codes:

20-1881.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Visit the CDFI Fund website for information on program accomplishments. The information can be accessed via www.cdfifund.gov/programs-training/Programs/native-initiatives.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 C.F.R. Part 1805; NOFA; and application materials.

Regional or Local Office:

None.

Headquarters Office:

Native American CDFI Assistance (NACA) Program,
1500 Pennsylvania Avenue, N.W.,
Washington DC 20220
Email: cdfihelp@cdfi.treas.gov
Phone: (202) 653-0300

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.021 Bank Enterprise Award Program; 21.020 Community Development Financial Institutions Program; 21.014 Community Development Financial Institutions Bond Guarantee Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

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Evaluation factors include: (1) financial analysis and compliance risk evaluation, (2) business plan review, (3) policy objective review and community impact, and (4) other factors set forth in the CDFI Program regulations, Notice of Funds Availability (NOFA), and application materials.

21.014 Community Development Financial Institutions Bond Guarantee Program

BGP,

FEDERAL AGENCY:

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS, TREASURY, DEPARTMENT OF THE

AUTHORIZATION:

Title The Small Business Jobs Act of 2010, Section 1134, Public Law 111-240

OBJECTIVES:

To support community development lending and investment by providing a source of long-term capital to certified Community Development Financial Institutions (CDFIs) for economic and community development in low-income communities and underserved rural areas.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Through the CDFI Bond Guarantee Program, the U.S. Department of the Treasury will provide a 100 percent guarantee on the principal and interest of Bonds issued by Qualified Issuers. No guarantee amount may be less than \$100,000,000, provided the total of all such guarantees in any one fiscal year may not exceed \$500,000 (subject to appropriations). By legislative design, the CDFI Bond Guarantee Program is a zero subsidy credit program. In accordance with Federal credit policy, the Federal Financing Bank will purchase Bonds issued by Qualified Issuers. The maximum maturity of the bonds is 29.5 years. Qualified Issuers as pass-through entities (not as obligors) will lend the Bond proceeds to Eligible CDFIs. The loans to Eligible CDFIs must be used for Eligible Community or Economic Development Purposes. Bond and Bond Loan rates and terms will vary.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; The Act defines Eligible CDFIs and authorizes the Community Development Financial Institutions Fund (CDFI Fund) to determine which entities may serve as Qualified Issuers.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Eligible CDFIs must use the Bond Proceeds for Eligible Community and Economic Development Purposes. This may include, but is not limited to, lending activities in low-income communities and underserved rural areas such as: owner-occupied home mortgages, charter schools, and municipal and community entity lending, among others.

Credentials/Documentation:

Eligible CDFIs must be certified by the CDFI Fund. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures will be set forth in the CDFI Bond Guarantee Program regulations, the applicable Notice of Guarantee Availability (NOGA), and application materials.

Award Procedure:

The CDFI Fund reviews applications and authorizes the provision of Guarantees based on an established merit-based review process set forth in the CDFI Bond Guarantee Program regulations, NOGA, and application materials.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The CDFI Bond Guarantee Program is subject to annual congressional authorization and appropriation. Guaranteed Bonds may have terms up to 29.5 years. Method of awarding/releasing assistance: other

Reports:

Reporting is required of Qualified Issuers and Eligible CDFIs regarding the use of Bond and Bond Loan proceeds (OMB Control Number 1559-0044). Progress reports are required. Expenditure reports may be required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The CDFI Fund may, if it deems appropriate, audit Qualified Issuers, Eligible CDFIs, Program Administrators, Servicers, and/or the Master Servicer/Trustee, or provide for or require an audit, at least annually.

Records:

Award Recipients must maintain records to assure that all performance measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the program requirements.

Account Identification:

20-4088-0-3-451.

Obligations:

Guaranteed/Insured Loans FY 20 \$100,000,000.00; FY 21 est \$500,000,000.00; FY 22 est \$500,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

20-4366.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Visit the CDFI Fund website for more information. The information can be accessed via www.cdfifund.gov/programs-training/Programs/cdfi-bond.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations (12 C.F.R. Part 1808), NOGA, Secondary Loan Requirements, application materials, and template documents.

Regional or Local Office:

None.

Headquarters Office:

CDFI Bond Guarantee Program,
CDFI Fund U.S. Department of the Treasury,
Washington DC 20220
Email: bgp@cdfi.treas.gov
Phone: (202) 653-0300

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.021 Bank Enterprise Award Program; 21.020 Community Development Financial Institutions Program; 21.012 Native Initiatives;

EXAMPLES OF FUNDED PROJECTS:

2020 Visit the CDFI Fund website for more information. The information can be accessed via www.cdfifund.gov/programs-training/Programs/cdfi-bond.

CRITERIA FOR SELECTING PROPOSALS:

The application review and evaluation process is merit-based and based on established standard operating procedures, which may include interviews and/or site visits.

21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States

Gulf RESTORE - Direct Component and Centers of Excellence Research Grant Programs.

FEDERAL AGENCY:

TREASURY, DEPARTMENT OF THE

AUTHORIZATION:

Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012, Title 31, Part 34, 126 Stat. 588, 33 U.S.C 1321(t)(1), Title .

OBJECTIVES:

Treasury administers the Direct Component and Centers of Excellence Research Grants Programs. The primary objective of the Direct Component is to disburse funds to eligible entities for the ecological and economic restoration of the Gulf Coast Region. For the Centers of Excellence Research Grants Program, the primary objective is for the establishment of Centers of Excellence for science, technology, and monitoring in the Gulf Coast States.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

For the Direct Component, the RESTORE Act specifies the types of eligible programs, projects, and activities which includes the following: (1) Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches and coastal wetlands of the Gulf Coast Region; (2) Mitigation of damage to fish, wildlife and natural resources; (3) Implementation of a Federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring; (4) Workforce development and job creation; (5) Improvements to or on state parks located in coastal areas affected by the Deepwater Horizon oil spill; (6) Infrastructure projects benefitting the economy or ecological resources, including port infrastructure; (7) Coastal flood protection and related infrastructure; (8) Planning assistance; (9) Promotion of tourism in the Gulf Coast Region, including promotion of recreational fishing; (10) Promotion of the consumption of seafood harvested from the Gulf Coast Region; and (11) Administrative costs. Not more than 3 percent of the amounts received by the eligible entity may be used for administrative costs, as defined in the Department of the Treasury regulations for the Gulf Coast Restoration Trust fund, 31 CFR Part 34. For the Centers of Excellence Research Grants Program, the Act specifies a focus on science, technology and monitoring in at least one of five disciplines.

Applicant Eligibility:

Government - General: The RESTORE Act specifies who may apply to receive funds under the Direct Component Grant Program. Treasury's regulations list the Direct Component eligible states, counties, and parishes who may apply as follows: in Alabama, the Alabama Gulf Coast Recovery Council or such administrative agent as it may designate; in Florida, the Florida counties of Bay, Charlotte, Citrus, Collier, Dixie, Escambia, Franklin, Gulf, Hernando

, Hillsborough, Jefferson, Lee, Levy, Manatee, Monroe, Okaloosa, Santa Rosa, Pasco, Pinellas, Sarasota, Taylor, Wakulla, and Walton; in Louisiana, the Coastal Protection and Restoration Authority Board of Louisiana through the Coastal Protection and Restoration Authority of Louisiana; in Louisiana, the Louisiana parishes of Ascension, Assumption, Calcasieu, Cameron, Iberia, Jefferson, Lafourche, Livingston, Orleans, Plaquemines, St. Bernard, St. Charles, St. James, St. John the Baptist, St. Martin, St. Mary, St. Tammany, Tangipahoa, Terrebonne, and Vermilion; in Mississippi, the Mississippi Department of Environmental Quality; and in Texas, the Office of the Governor or an appointee of the Office of the Governor. The RESTORE Act also specifies who may apply to receive funds under the Centers of Excellence Research Grants Program. Treasury's final rule, lists the Centers of Excellence Research Grants Program eligible applicants for each state as follows: in Alabama, the Alabama Gulf Coast Recovery Council or such administrative agent as it may designate; in Florida, the Florida Institute of Oceanography; in Louisiana, the Coastal Protection and Restoration Authority Board of Louisiana through the Coastal Protection and Restoration Authority of Louisiana; in Mississippi, the Mississippi Department of Environmental Quality; and in Texas, the Office of the Governor or an appointee of the Office of the Governor.

Beneficiary Eligibility:

Anyone/general public; The beneficiaries are the coastal communities of the Gulf Coast Region.

Credentials/Documentation:

For the Direct Component Grant Program, the eligible entity must first have a multiyear implementation plan accepted by the U.S. Department of Treasury. Following acceptance of the plan, the eligible entity may submit a grant application for projects identified in the plan. A multiyear implementation plan is not required for the Centers of Excellence Research Grant Program. The required documentation for both Direct Component funds and Centers of Excellence Research Grant Program funds is set forth in their respective Funding Opportunity Announcements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Procedures are provided in the Funding Opportunity Announcements. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards are approved by Treasury's Office of the Fiscal Assistant Secretary.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 60 to 120 days from receipt of a complete and compliant application.

Appeals:

Other. If an application is unacceptable, the applicant is informed and the applicant may revise the application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 31, Chapter Department of the Treasury, Part 34, Subpart B, Public Law. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant Performance Periods may range from 1 to 5 years as specified in the notice of award. Funds must be spent within the grant performance period. Method of awarding/releasing assistance: other

Reports:

Administering agencies specify the reporting requirements. No program reports are required. Financial status reports, including expenditures, are required semi-annually, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. For the Centers of Excellence Research

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Grants Program, recipient must submit an annual report to the Gulf Coast Ecosystem Restoration Council (Council), in a form prescribed by the Council that includes information on subrecipients, subaward amounts, disciplines addressed, and any other information required by the Council. When the subrecipient is a consortium, the annual report must also identify the consortium members. The recipient must provide a copy of this report to Treasury when it submits the report to the Council. Financial status reports, including expenditures, are required semi-annually, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. Performance reports are required semi-annually, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. Recipients are responsible for oversight of the operations of award-supported activities, including monitoring of subrecipients, to assure that compliance with applicable Federal requirements and performance expectations are being achieved. No program reports are required for the Direct Component Grant Program. For the Centers of Excellence Research Grants Program, recipients must submit an annual report to the Gulf Coast Ecosystem Restoration Council (Council), in a form prescribed by the Council that includes information on subrecipients, subaward amounts, disciplines addressed, and any other information required by the Council. When the subrecipient is a consortium, the annual report must also identify the consortium members. The recipient must provide a copy of this report to Treasury when it submits the report to the Council. Financial status reports, including expenditures, are required semi-annually by both programs, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. Performance reports are required semi-annually by both programs, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See 2 CFR 200, Subpart F.

Records:

All records must be maintained for 3 years from the date of submission of the final annual financial status report. If questions remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

20-8625-0-7-452.

Obligations:

Formula Grants FY 20 \$116,000,000.00; FY 21 est \$30,000,000.00; FY 22 est \$100,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

20-8625.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR Part 34

Regional or Local Office:

None.

Headquarters Office:

Senior Awards Manager Dorothy L. Rayfield,
1500 Pennsylvania Avenue, NW,
Washington DC 20220
Email: restoreact@treasury.gov
Phone: 202 622-0904

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.016 Equitable Sharing

FEDERAL AGENCY:

TREASURY, DEPARTMENT OF THE

AUTHORIZATION:

The Comprehensive Crime Control Act of 1984, , 31 USC Code 9705.

OBJECTIVES:

The Department of the Treasury Asset Forfeiture Program is a nationwide law enforcement initiative that removes the tools of crime from criminal organizations, deters crime and deprives wrongdoers of the proceeds of their criminal proceeds, and recovers property that may be used to compensate victims. Equitable sharing further enhances the law enforcement mission by fostering cooperation among federal, state, and local law enforcement agencies. Federal law authorizes Treasury to share federally forfeited property with participating state and local law enforcement agencies. Equitable sharing is discretionary and limited by statute. The U.S. Department of the Justice administers a similar program.

The U.S. Department of the Justice administers a similar program.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Comprehensive Crime Control Act of 1984 authorized federal officials to implement a national asset forfeiture program. One ancillary benefit of asset forfeiture is the authorization to share federal forfeiture proceeds with cooperating state and local law enforcement agencies, which provides agencies with valuable additional resources without burdening tax payer dollars. The Guide to Equitable Sharing for State and Local Law Enforcement Agencies (Guide) outlines the policies and procedures governing the receipt, use, maintenance and reporting of forfeited funds received through the Department of the Treasury Equitable Sharing Program (Program). The Guide is supplemented with the National Code of Professional Conduct for Asset Forfeiture and all Program participants must ensure compliance with the Code. Except as noted in the Guide, equitably shared funds must be used by law enforcement agencies for law enforcement purposes only. Permitted expenditures listed in the Guide are preapproved uses of shared funds and property, subject to policies and statutes governing the use of public funds. The fact that shared property was forfeited as a result of a particular federal violation does not limit its use.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State; A state or local law enforcement agency meeting eligibility requirements set forth in the Guide and that directly participates in an investigation or prosecution that results in a federal forfeiture may request an equitable share of the net proceeds of the forfeiture. For purposes of equitable sharing, the Department of Treasury defines law enforcement agency as a state or local government organization authorized to engage in as its primary function the investigation and apprehension, or the prosecution of individuals suspected or convicted of offenses against the criminal laws of the United States or of any state, county, municipality, or territory of the United States. A State National Guard generally does not meet the law enforcement agency criteria for participation in the Program because its primary mission is a military or other non-law enforcement purpose. However, a National Guard Counterdrug Unit may qualify for sharing if: (1) It is a distinct unit of a state National Guard that has counterdrug activities as its primary mission and receives funding solely for this purpose; or (2) It is a military police or similar state National Guard unit that provides support to federal law enforcement agencies in counterdrug activities.

Beneficiary Eligibility:

Federal; State; Local; A state or local law enforcement agency meeting eligibility requirements set forth in the Guide and that directly participates in an investigation or prosecution that results in a federal forfeiture may request an equitable share of the net proceeds of the forfeiture.

Credentials/Documentation:

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The federal deciding authority must approve all sharing requests and recommendations, including sharing percentages negotiated in a task force or other agreement, before sharing occurs. Sharing will only be awarded to agencies that are compliant Program participants in good standing with the policies set forth in the Guide. This program is excluded from coverage under 2 CFR 200, Subpart E -Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200. Uniform administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. After the seizure or forfeiture of an identified asset, a participating state or local agency meeting all requirements set forth in the Guide may request a share of the property by submitting a Form TD F 92.26, Application for Transfer of Federally Forfeited Property, to the federal seizing agency. A separate Form TD F must be completed for each asset to be shared.

Award Procedure:

Federal law authorizes the Director of Executive Office for Asset Forfeiture to share federally forfeited property with participating state and local law enforcement agencies. The exercise of this authority is discretionary and limited by statute. No sharing request or recommendation is final until approved by the federal deciding authority. The equitable sharing deciding authority levels are specified in the Guide.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. TD F Form must be submitted within 60 days of the seizure.

Appeals:

Other. The exercise of this authority is discretionary and limited by statute. It is not subject to appeal or court review.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

To ensure effective management, promote public confidence in the integrity of the Equitable Sharing Program, and protect the Program against potential waste, fraud, and abuse, the Department of Justice and Treasury has established for participating law enforcement agencies reporting requirements that the annual submission of the Equitable Sharing Agreement and Certification Form and, if applicable, an audit report. A state or local law enforcement agency must be in compliance with the report-ing requirements set forth in this Guide to receive any distribution of money or property under the Equitable Sharing Program. As a prerequisite to participating in the Department of Justice Equitable Sharing Program, a state or local law enforcement agency must annually submit a signed Equitable Sharing Agreement and Certification form. The agreement must be signed by the head of the law enforcement agency and a designated official of the governing body. By signing the Affidavit, the signatories agree to be bound by the statutes and guidelines that regulate the equitable sharing program and certify that the law enforcement agency will comply with these guidelines and statutes. State and local law enforcement agencies must submit this form within 60 days after the end of an agency's fiscal year, regardless of whether funds were received or maintained during the fiscal year. The report must be completed electronically and emailed to aca.submit@usdoj.gov. (Shared by Treasury and Justice). To ensure effective management, promote public confidence in the integrity of the Equitable Sharing Program, and protect the Program against potential waste, fraud, and abuse, the Departments of Justice and Treasury have established for participating law enforcement agencies reporting requirements that include the annual submission of

the Equitable Sharing Agreement and Certification form and, if applicable, an audit report. A state or local law enforcement agency must be in compliance with the report-ing requirements set forth in this Guide to receive any distribution of money or property under the Equitable Sharing Program. As a prerequisite to participating in the Department of Justice Equitable Sharing Program, a state or local law enforcement agency must annually submit to AFMLS a signed Equitable Sharing Agreement and Certification form. The agreement must be signed by the head of the law enforcement agency and a designated official of the governing body. By signing the Affidavit, the signatories agree to be bound by the statutes and guidelines that regulate the equitable sharing program and certify that the law enforcement agency will comply with these guidelines and statutes. State and local law enforcement agencies must submit this form within 60 days after the end of an agency's fiscal year, regardless of whether funds were received or maintained during the fiscal year. The report must be completed electronically and emailed to aca.submit@usdoj.gov (Shared by Treasury and Justice).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance in excess of the applicable threshold in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than the applicable threshold in a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, and all other records pertinent to equitable sharing shall be retained for a period of 5 years following the conclusion of Federal assistance.

Account Identification:

15-6400-5-5-123.

Obligations:

Direct Payments for Specified Use FY 19 \$53.00; FY 20 est \$95.00; FY 21 est \$85.00;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

20-5697.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of the Treasury Guide to Equitable Sharing for Foreign Countries and Federal, State, and Local Law Enforcement Agencies(April 2004)Interim Policy Guidance on the Use of Shared Funds dated November 1, 2015

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Jackie A. Jackson,
1341 G. Street N.W. Suite 900,
Washington DC 20005
Email: Jackie.Jackson@Treasury.gov
Phone: 2026222755
Fax: 2026229610 Keesha Jordan,
1341 G. Street N.W. Suite 900,
Washington DC 20005
Email: keesha.jordan@treasury.gov
Phone: 202-622-2571

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Fax:

Website Address:

<https://www.treasury.gov/resource-center/terrorist-illicit-finance/Pages/Equitable-Sharing.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.017 Social Impact Partnerships to Pay for Results Act (SIPRA)

SIPRA,

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, TREASURY, DEPARTMENT OF THE

AUTHORIZATION:

Title Social Impact Partnerships to Pay for Results Act (SIPRA). Social Security Act, Part 42 U.S.C., Section 1397n1397n-13

OBJECTIVES:

The purposes of this program are the following:(1) To improve the lives of families and individuals in need in the United States by funding social programs that achieve real results.(2) To redirect funds away from programs that, based on objective data, are ineffective, and into programs that achieve demonstrable, measurable results.(3) To ensure Federal funds are used effectively on social services to produce positive outcomes for both service recipients and taxpayers.(4) To establish the use of social impact partnerships to address some of our Nations most pressing problems.(5) To facilitate the creation of public-private partnerships that bundle philanthropic or other private resources with existing public spending to scale up effective social interventions already being implemented by private organizations, nonprofits, charitable organizations, and State and local governments across the country.(6) To bring pay-for-performance to the social sector, allowing the United States to improve the impact and effectiveness of vital social services programs while redirecting inefficient or duplicative spending.(7) To incorporate outcomes measurement and randomized controlled trials or other rigorous methodologies for assessing program impact.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Act authorizes the Secretary of the Treasury to enter into award agreements with state or local governments to conduct (1) feasibility studies, and (2) social impact partnership projects. To qualify as a social impact partnership project under this division, a project must produce one or more measurable, clearly defined outcomes that result in social benefit and Federal, State, or local savings through one or more of 21 categories

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Only State and local governments may apply

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

- obtain an application package (see NOFA for details)- submit the application the includes budget information; a summary project narrative; goals of the project/study; plan for delivering the project/study; target population to be served and unmet need(s); project team roles & responsibilities; independent evaluator qualifications (projects only); outcome valuation 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is

required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment/study may be required for proposed projects/studies are subject to federal and state environmental requirements

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. - obtain and submit the application package that includes budget information; a summary project narrative; goals of the project/study; plan for delivering the project/study; target population to be served and unmet need(s); project team roles & responsibilities; independent evaluator qualifications (projects only); outcome valuation Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

- The Treasury will review all applications for eligibility and completeness- Treasury will convene a panel of Subject Matter Experts (SMEs) who will score applications in accordance with criteria detailed in the NOFA- Treasury will review applications for consistency among SMEs, across SME panels, and rank the applications- The SIPRA Commission comprised of independent individuals appointed by Congress and the President will review applications and make award recommendations to Treasury- The Interagency Council will certify that applications contain rigorous, independent data and reliable, evidence-based research methodologies before they may receive funding- Treasury, in consultation with the Interagency Council and the head of any federal agency administering a similar intervention or serving a population similar to that served by the project, will review the applications taking into account the statutory considerations referenced above as well as the recommendations made by the SIPRA Commission and the Interagency Council certification (or absence thereof)- Treasury will allocate a minimum of 50 percent of project funds to projects designed to directly benefit children- awards will be made

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Act provides amounts to remain available until 10 years after February 9, 2018. Within 6 months after a social impact partnership project is completed an independent evaluator shall evaluate the effects of the activities undertaken and submit a written report that includes the results of the evaluation and the conclusion of the evaluator as to whether the State or local government has fulfilled each obligation of the agreement. Final payment to a project team relies on the independent evaluator's report; the time to develop and review the report, and initiate payment shortens a project's period of performance. Feasibility studies are constrained by the Act to complete within 9 months of award Method of awarding/releasing assistance: other

Reports:

Not later than two years after a project has been approved and biannually thereafter, the independent evaluator must submit a written report to the relevant federal agency and the Interagency Council summarizing the progress that has been made in achieving each outcome specified in the award agreement. In addition, before the scheduled time of the first outcome payment and before the scheduled time of each subsequent payment, the independent evaluator must submit to the head of the relevant agency and the Interagency Council a written report that

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includes the results of the evaluation conducted to determine whether an outcome payment should be made along with information on the unique factors that contributed to achieving or failing to achieve the outcome, the challenges faced in attempting to achieve the outcome, and information on the improved future delivery of this or similar interventions. The report must also assess the degree to which the project was delivered as intended, including a discussion of how closely the projects theory and intended procedures aligned with actual project implementation. The report should include information related to the intervention model, including whether it has evolved and whether the intervention was delivered with fidelity to the plan; staffing; recruitment/identification and screening of participants; selection and enrollment; how the intervention was implemented; and findings. The Interagency Council will submit these reports to Treasury and to each committee of jurisdiction in the House of Representatives and Senate within 30 days of receipt. (1)Projects with no construction componentAn OMB-approved Annual Performance Report form must be submitted within 90 days of the end of each calendar year of the award period of performance. A final performance report is due 90 calendar days after the period of performance end date. Each report must summarize project activities, including the current stage of program implementation; progress towards achieving the outcome goals, including number of people served; significant milestones of the grantee, intermediary, investors, and evaluator; and related results of the project. It should thoroughly document the partnership activities and decision-making structure used to implement the pay for results model. These reports will be made publicly available. Upon award, Treasury or the administering federal agency will provide detailed formal guidance about the data and other information that is required to be collected and reported on either a regular basis or special request basis. (2)Projects with a construction component(Treasury may require additional evidence of onsite technical inspections and certified percentage of completion date information on construction elements of projects but will not require performance requirements other than the Annual Performance Report required for projects with no construction component.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Applicants must follow federal guidelines on record retention, which require grantees to maintain all records pertaining to grant activities for a period of not less than three years from the time of final grant close-out.

Account Identification:

02-0901-4-6-000.

Obligations:

Project Grants (Discretionary) FY 18 \$765,000.00; FY 19 Not Available; and FY 20 Not Available;

Range and Average of Financial Assistance:

No SIPPRA project or feasibility awards have been made. Treasury expects to award up to approximately \$70,000,000 in competitive project and feasibility study grants. SIPPRA provides that not less than 50 percent of all federal payments made to carry out social impact partnership project agreements shall be used for initiatives that directly benefit children.

TAFS Codes:

20-0146.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR Part 192 CFR Part 200Lobbying Restrictions at 31 CFR31 CFR Part 21. Government-wide Debarment and Suspension Requirements at 31 CFR Part 19. Government-wide Requirements for Drug-Free Workplace at 31 CFR Part 20. Award Term for Trafficking in Persons at 2 CFR Part 175.31 CFR part 2231 CFR part 2831 CFR part 232 CFR Part 170, Appendix ACFR 200.3152 CFR 200.54, 200.64.2 CFR 200.29.2 CFR 200.205.2 CFR Part 200, appendix XII.2 CFR 200.33342 U.S.C. 1397n-12(6), 2 CFR 200.90.2 CFR Part 200

Regional or Local Office:

None.

Headquarters Office:

William Girardo,
1500 Pennsylvania Avenue NW,
Washington DC 20220
Email: William.Girardo@treasury.gov
Phone: 202 622 0262

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the following criteria:- value to the federal government of the outcomes expected to be achieved; savings to the federal government and the savings to the State and local governments- Likelihood of achieving outcomes- Quality of evaluation- Capacity and commitment to sustain the intervention

21.018 Coronavirus Relief - Pandemic Relief for Aviation Workers

Payroll Support Program (PSP1) and Payroll Support Program Extension (PSP2), and Third Payroll Support Program (PSP3),

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, TREASURY, DEPARTMENT OF THE
AUTHORIZATION:

Public Law 116-136, Title The Coronavirus Aid, Relief, and Economic Security Act, Part , Section 4112, Public Law 116-160, Title The Payroll Support Program Expansion Law, Consolidated Appropriations Act 2021, Division N, Title IV, Subtitle A, Part , Section 501, Title The American Rescue Plan Act of 2021, Title VII, Section 7301, Public Law 117-2

OBJECTIVES:

The Payroll Support Program (PSP1), Payroll Support Program Extension (PSP2), and Third Payroll Support Program (PSP3) provide financial assistance to passenger air carriers, cargo air carriers, and certain aviation industry contractors to preserve aviation jobs. The financial assistance payments must be used exclusively for the continuation of payment of employee salaries, wages, and benefits. The Coronavirus Aid, Relief, and Economic Security (CARES) Act, enacted March 27, 2020, established the Payroll Support Program (PSP1) and provides up to \$25 billion, \$4 billion, and \$3 billion for passenger air carriers, cargo air carriers, and certain contractors, respectively.The Payroll Support Program Extension (PSP2), authorized under Subtitle A of Title IV of Division N of the Consolidated Appropriations Act, 2021 (PSP Extension Law), provides up to \$15 billion for passenger air carriers and \$1 billion for certain contractors. PSP3, authorized under Title VII, Subtitle C, Section 7301 of the American Rescue Plan Act of 2021, provides up to \$14 billion for passenger air carriers and \$1 billion for certain contractors.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

The recipient must use the financial assistance exclusively for the continuation of payment of wages, salaries, and benefits to the employees of the recipient.

Applicant Eligibility:

Non-Government - General; PSP1: Payments may only be provided to applicants that are eligible passenger air carriers, cargo air carriers, and contractors, as those terms are defined in the CARES Act and guidelines for PSP1. Only an entity (whether in the form of a corporation, limited liability company, sole proprietorship, or otherwise) that is individually eligible for PSP1 may receive financial assistance. To be eligible to receive payments, recipients must agree to

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the terms and conditions in the PSP1 Agreement and, if Treasury requires, provide certain financial instruments to provide appropriate compensation to the Federal Government for the provision of the financial assistance. PSP2: Payments may only be provided to applicants that are either eligible passenger air carriers or contractors, as defined in the PSP Extension Law and guidelines for PSP2. Only an entity (whether in the form of a corporation, limited liability company, sole proprietorship, or otherwise) that is individually eligible for PSP2 may receive financial assistance. If an applicant includes more than one affiliated entity in its application, Treasury will review each entity to determine whether it meets the eligibility criteria for a passenger air carrier or contractor. Any entity that is not itself an eligible passenger air carrier or contractor should not be included on a PSP2 application. To be eligible to receive payments, recipients must agree to the terms and conditions in the PSP2 Agreement. Passenger air carriers that receive over \$100 million in financial assistance and contractors that receive over \$37.5 million in financial assistance must provide certain financial instruments to provide appropriate compensation to the Federal Government for the provision of the financial assistance. PSP3: Under the ARP, Treasury has authority only to provide financial assistance under PSP3 to a passenger air carrier or contractor that (1) received assistance under PSP2; (2) provided air transportation or performed eligible contractor functions, as the case may be, as of March 31, 2021; and (3) has not conducted involuntary terminations or furloughs or reduced pay rates or benefits between March 31, 2021, and the date on which the air carrier or contractor makes certain certifications that will be included in its PSP3 agreement with Treasury. Only an entity (whether in the form of a corporation, limited liability company, sole proprietorship, or otherwise) that is individually eligible for PSP3 may receive payroll support.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

PSP2 recipients will not be required to apply for PSP3. Instead, Treasury will contact each PSP2 recipient to complete the necessary program documentation to participate in PSP3. Each approved entity must also enter into an agreement with Treasury, which Treasury will provide after an application is approved. The agreement will include terms containing, but not limited to: required assurances and other conditions; certain other conditions and covenants; and provisions for the clawback of payments upon the passenger air carriers or contractors failure to satisfy certain assurances, conditions, or covenants. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. PSP1: The PSP1 application process is closed. PSP2: The application process is closed. PSP3: Application procedures are not applicable.

Award Procedure:

Assistance is awarded in the form of direct payments for specified use. Entities approved to participate in PSP3 should expect to receive payroll support in two payments. The amounts, timing, and conditions of such payments will be determined by Treasury in its sole discretion.

Deadlines:

PSP3 Agreement:

PSP2 recipients will not be required to apply for PSP3. Instead, Treasury will contact each recipient to complete the necessary program documentation to participate in PSP3.

Each approved entity must enter into an agreement with Treasury, which Treasury will provide. The agreement will include terms containing: The required assurances and other conditions described above; certain other conditions and covenants; and provisions for the claw-back of payments upon the passenger air carrier's or contractor's failure to satisfy certain assurances, conditions, or covenants.

Range of Approval/Disapproval Time:

Other. Methodologies for Calculating the PSP3 Awardable Amounts: Passenger air carriers: For each eligible passenger air carrier, the awardable amount is equal 93.27% of the amount received by the air carrier in PSP2 Calculation: PSP2 amount X 93.27% = PSP3 amount Contractors: For each eligible contractor, the awardable amount is equal to the amount such contractor received in PSP2. Administrative Expenses: PSP3 awardable amounts for passenger carriers were reduced on a pro-rated basis to take into account the \$10 million made available to Treasury under the ARP for administrative expenses associated with PSP3.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Recipients must use financial assistance exclusively for the continuation of employee wages, salaries, and benefits. The Secretary must initiate an action to recover any PSP1 financial assistance to contractors that remains unexpended as of April 30, 2021. There is no deadline by which PSP1, PSP2, or PSP3 financial assistance to passenger air carriers and cargo air carriers must be expended. Method of awarding/releasing assistance: Recipients may receive multiple payments up to the maximum awardable amount, and the amounts (individually and in the aggregate) and timing of such payments will be determined by Treasury. Entities approved to participate in PSP3 should expect to receive payroll support in two payments. The amounts, timing, and conditions of such payments will be determined by Treasury in its sole discretion. Method of awarding/releasing assistance: other

Reports:

Recipient must provide regular reports as scheduled in the PSP1, PSP2, and PSP3 Agreements, including, but not limited to, information regarding: the amount of financial assistance expended during such quarter; the recipients financial statements; the recipients IRS Form 941; changes in employee headcount and the reasons; changes in the amounts spent by the recipient on employee wages, salary, and benefits; and changes in compensation for, and severance pay or other benefits in connection with the termination of, corporate officers and employees; and the reasons for any such changes. Until the calendar quarter that begins after the later of March 24, 2022, and the date on which no Taxpayer Protection Interest is outstanding, not later than 45 days after the end of each of the first three calendar quarters of each calendar year and 90 days after the end of each calendar year, recipients shall provide a detailed summary describing: the amount of Payroll Support funds expended during such quarter; any changes in employee headcount during such quarter and the reasons, including any involuntary termination or furlough; any changes in the amounts spent by the recipient on employee wages, salary, and benefits during such quarter; and any changes in total compensation for, and any severance pay or other benefits in connection with the termination of, corporate officers and employees during such quarter; and the reasons for any such changes. The recipient shall also provide additional information specified in the Payroll Support Agreement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. The recipient and affiliates will provide Treasury, the Treasury Inspector General, and such other entities as authorized by Treasury timely and unrestricted access to records related to the Payroll Support. This includes access to the recipients personnel.

Records:

Recipients must comply with record-retention obligations specified in the PSP1, PSP2, and PSP3 Agreements. Generally, the recipient must retain all records pertinent to the receipt of financial assistance and compliance with the terms and conditions of the assistance agreement for a period of three years (subject to extension in certain circumstances) following the period of performance.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

20-1894-0-1-402, 20-0158-0-1-402, 20-0158-0-1-402.

Obligations:

Direct Payments for Specified Use FY 20 (\$1,848,869,747.00); FY 21 est (\$451,148,103.00); FY 22 est \$0.00; Salaries and Expenses FY 20 \$7,291,274.00; FY 21 est \$30,000,000.00; FY 22 est \$15,000,000.00;

Range and Average of Financial Assistance:

PSP1: The CARES Act allocates up to \$25 billion for passenger air carriers, up to \$4 billion for cargo air carriers, and up to \$3 billion for certain contractors. The range of payments and average award size will generally be determined by the amount of compensation paid to eligible employees from April 1, 2019 through September 30, 2019, which would be prorated based on available funds. PSP2: The PSP Extension Act allocates up to \$15 billion for passenger air carriers and up to \$1 billion for certain contractors. The range of payments and average award size for PSP2 is determined based on statutory formulas and a proration based on available funds. PSP3: The American Rescue Plan allocates up to \$14 billion for passenger air carriers and up to \$1 billion for certain contractors. The range of payments and average award size for PSP3 is based on, for passenger carriers, the amount that the recipient received in PSP2 relative to \$14 billion, and, for contractors, the amount that the recipients received in PSP2. Please see Guidelines and Application Procedures for Payroll Support to Passenger Air Carriers and Contractors for more information:

<https://home.treasury.gov/system/files/136/PSP3-Guidelines.pdf>

TAFS Codes:

20-1894, 20-0158, 20-0158.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Payments will be made directly to passenger air carriers, cargo air carriers, and certain contractors to be used exclusively for the continuation of payment of employee salaries, wages, and benefits. Fiscal Year 2021: Payments will be made directly to passenger air carriers and certain contractors to be used exclusively for the continuation of payment of employee salaries, wages, and benefits.

REGULATIONS, GUIDELINES, AND LITERATURE:

Treasury has published guidelines and other information for PSP1, PSP2, and PSP3 on its website. Recipients must comply with the terms and conditions in the PSP1, PSP2, and PSP3 Agreements and with other laws applicable to the award. These include: 31 U.S.C. 1352, as amended, and 31 CFR Part 21, which generally pertain to the use of appropriated funds for lobbying. All applicable Federal statutes and regulations relating to nondiscrimination, including (a) Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq.), and Treasurys implementing regulations at 31 CFR Part 22; (b) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794); (c) The Age Discrimination Act of 1975, as amended (42 U.S.C. 61016107), Treasurys implementing regulations at 31 CFR Part 23, and the general age discrimination regulations at 45 CFR Part 90; and (d) The Air Carrier Access Act of 1986 (49 U.S.C. 41705), 2 CFR 175.15(b) (pertaining to trafficking in persons).

Regional or Local Office:

None.

Headquarters Office:

United States Department of the Treasury Attn: Air Carrier Workers and Contractors Payroll Support Program,

1500 Pennsylvania Avenue NW,

Washington DC 20220

Email: PSP2Questions@treasury.gov

Phone: (202) 622-2000

Fax:

Website Address:

<https://home.treasury.gov/policy-issues/coronavirus/assistance-for-american-industry/airline-and-national-security-relief-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.019 Coronavirus Relief Fund

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, TREASURY, DEPARTMENT OF THE AUTHORIZATION:

Title The Coronavirus Aid, Relief, and Economic Security Act, Section 5001, Public Law 116-136

OBJECTIVES:

The Coronavirus Aid, Relief, and Economic Security Act ("CARES Act") established the \$150 billion Coronavirus Relief Fund ("the Fund"). Payments must be used for necessary expenditures incurred due to the public health emergency with respect to the Coronavirus Disease 2019 (COVID19) between March 1, 2020, to December 30, 2020. Payments must be used to cover costs that were not accounted for in the budget most recently approved as of March 27, 2020.

Governments otherwise have broad discretion to utilize payments for expenditures ranging from COVID-19 testing to reimbursing small businesses for the costs of business interruption caused by required closures.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Payments may only be used to cover costs that are: 1) necessary expenditures incurred due to the public health emergency with respect to COVID19; 2) were not accounted for in the budget most recently approved as of March 27, 2020 (the date of enactment of the CARES Act) for the State or government; and 3) were incurred during the period that begins on March 1, 2020, and ends on December 30, 2020. The requirement that expenditures be incurred due to the public health emergency means that expenditures must be used for actions taken to respond to the public health emergency. These may include expenditures incurred to allow the state, territorial, local, or tribal government to respond directly to the emergency, such as by addressing medical or public health needs, as well as expenditures incurred to respond to second-order effects of the emergency, such as by providing economic support to those suffering from employment or business interruptions due to COVID-19-related business closures. Payments must only be used for eligible expenditures, including qualifying medical equipment, COVID-19 testing supplies, medical worker wages, public safety, public health communications, distance learning for COVID-19 closures, and food delivery to affected senior citizens, among other expenses that meet statutory criteria. The CARES Act requires that payments be used only to cover costs that were not accounted for in the budget most recently approved as of March 27, 2020. The most recently approved budget refers to the enacted budget for the relevant fiscal period for the particular government, without taking into account subsequent supplemental appropriations enacted or other budgetary adjustments made by that government in response to the COVID-19 public health emergency. A cost is not considered to have been accounted for in a budget merely because it could be met using a budgetary stabilization fund, rainy day fund, or similar reserve account. Funds may not be used to fill shortfalls in government revenue to cover expenditures that would not otherwise qualify under the statute. Although a broad range of uses is allowed, revenue replacement is not a permissible use of Fund payments. More information about how assistance may be used can be found at the link below: <https://home.treasury.gov/system/files/136/Coronavirus-Relief-Fund-Guidance-for-State-Territorial-Local-and-Tribal-Governments.pdf>.

Applicant Eligibility:

U.S. Territories and possessions; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; States, the District of Columbia, U.S. Territories, and eligible units of local government as determined by the CARES Act. Units of local government eligible for payment directly include a county, municipality, town, township, village, parish, borough, or other unit of general government below the state level with a population that exceeds 500,000. A local government must have a population in excess of 500,000 to provide a certification for payment. Payments to tribal governments are to be determined by the Secretary of the Treasury in consultation with the Secretary of the Interior and Indian Tribes.

Beneficiary Eligibility:

State; Local; U.S. Territories; Federally Recognized Indian Tribal Governments;

ASSISTANCE LISTINGS DESCRIPTIONS

Education (0-8); Education (9-12); Education (13+); Senior Citizen (60+); School; Education Professional; Health Professional; Anyone/general public; Unemployed;

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed instructions may be found at: <https://home.treasury.gov/policy-issues/cares/state-and-local-governments>.

Award Procedure:

Payments are issued by the Department of the Treasury, Departmental Offices to qualified governments. A statutory formula as interpreted by Treasury is used to determine award amounts based on entity type (state, locality, territory, tribe), population as measured by 2019 U.S. Census data, application deadlines, and the total number and amount of eligible payments. Payments are disbursed by Treasury's Bureau of the Fiscal Service.

Deadlines:

April 13, 2020 - April 17, 2020. Funds will be paid to eligible governments that apply within the provided timeframes and meet the statutory criteria. To ensure payments are made within the 30 day period specified by the CARES Act, governments must submit completed payment materials not later than 11:59 p.m. EDT on April 17, 2020. See [Treasury.gov](https://home.treasury.gov) for the latest application information.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

To ensure payments are made within the 30 day period specified by the CARES Act, governments must submit completed payment materials not later than 11:59 p.m. EDT on April 17, 2020. In order to receive additional payments based on tribal employment and expenditures, tribal governments will be required to submit additional information through an electronic form available on [treasury.gov](https://home.treasury.gov) the week of May 17, 2020. Section 601(f)(2) of the Social Security Act, as added by section 5001(a) of the CARES Act, provides for recoupment by the Department of the Treasury of amounts received from the Fund that have not been used in a manner consistent with section 601(d) of the Social Security Act. If a government has not used funds it has received to cover costs that were incurred by December 30, 2020, as required by the statute, those funds must be returned to the Department of the Treasury. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

20-1892-0-1-806.

Obligations:

Direct Payments for Specified Use FY 19 \$0.00; FY 20 est (\$323,855,360.00); and FY 21 est \$0.00;

Range and Average of Financial Assistance:

The range of payments is determined by the entity type (state, locality, territory, tribe), the amount of payments made directly to certifying localities, and population levels for 2019 as provided in the CARES Act. The amount of payments made to each state will be reduced by the aggregate amount of payments that will be disbursed to eligible local governments within the state that have provided the required certifications for expenditures to Treasury in accordance with the CARES Act. Payments to counties will range from an estimated \$2 million to \$1 billion. Payments to cities will range from an estimated \$8 million to \$1.5 billion. Payments to states will range from a CARES Act statutory minimum of \$1.25 billion for each state (less locality reductions) to more than \$9.5 billion for the largest state.

TAFS Codes:

20-1892.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

<https://home.treasury.gov/policy-issues/cares/state-and-local-governments>
<https://home.treasury.gov/system/files/136/Coronavirus-Relief-Fund-Guidance-for-State-Territorial-Local-and-Tribal-Governments.pdf>
<https://home.treasury.gov/system/files/136/Coronavirus-Relief-Fund-Frequently-Asked-Questions.pdf> <https://home.treasury.gov/system/files/136/Payments-to-States-and-Units-of-Local-Government.pdf>
<https://home.treasury.gov/system/files/136/Eligible-Units.pdf>
<https://home.treasury.gov/system/files/136/Census-Data-and-Methodology-Final.pdf> <https://home.treasury.gov/system/files/136/Tribal-Employment-and-Expenditure-Submission-Instructions.pdf>

Regional or Local Office:

None.

Headquarters Office:

United States Department of the Treasury Attn: Coronavirus Relief Fund,
1500 Pennsylvania Avenue NW,
Washington DC 20220

Email: CoronaVirusReliefFund@treasury.gov

Phone: (202) 622-6415

Fax:

Website Address:

<https://home.treasury.gov/policy-issues/cares/state-and-local-governments>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 A list of examples can be found at:

<https://home.treasury.gov/system/files/136/Coronavirus-Relief-Fund-Guidance-for-State-Territorial-Local-and-Tribal-Governments.pdf>.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.020 Community Development Financial Institutions Program

CDFI Program,

FEDERAL AGENCY:

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS, TREASURY,
DEPARTMENT OF THE

AUTHORIZATION:

Title Riegle Community Development and Regulatory Improvement Act of 1994, ,
12 USC Code 4701 et. seq..

OBJECTIVES:

To promote economic revitalization and community development through

ASSISTANCE LISTINGS DESCRIPTIONS

investment in and assistance to Community Development Financial Institutions (CDFIs).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

FA awards enhance the Recipient's ability to make loans and investments and to provide financial services that promote economic revitalization and community development. CDFI Program FA awards must be expended for activities in the following five categories: (i) Financial Products; (ii) Financial Services; (iii) Loan Loss Reserves; (iv) Development Services; and (v) Capital Reserves. Note: Only Regulated Institutions may use a Base-FA award for Financial Services and Capital Reserves. TA awards build the Recipient's internal capacity. CDFI Program TA awards must be expended for activities in the following seven categories: (i) Compensation Personal Services; (ii) Compensation fringe benefits; (iii) Professional Services Costs; (iv) Travel Costs; (v) Training and Education Costs; (vi) Equipment; and (vii) Supplies.

Applicant Eligibility:

Profit organization; Other private institutions/organizations; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Only certified CDFIs are eligible to apply for Financial Assistance awards. Certified CDFIs and entities seeking to become certified CDFIs may apply for Technical Assistance awards. No awards may be issued to Federal Government agencies, departments or instrumentalities, State governments, local governments or any agency or instrumentality thereof.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Other private institution/organization; Investment Areas and Targeted Populations, as defined in 12 C.F.R. 1805.

Credentials/Documentation:

An organization wishing to apply for a Financial Assistance (FA) award through the CDFI Program must be a certified CDFI prior to the date of the release of the NOFA. Both certified CDFIs and Emerging CDFIs are eligible to apply for Technical Assistance (TA) awards. However, non-certified organizations must be able to become certified within three years after receiving a TA award. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each applicant must complete a CDFI Program Application which includes a Comprehensive Business Plan. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Application deadlines are from 30 to 60 days subsequent to the NOFA publication date. Award approval or disapproval determinations are typically made within 270 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Unless waived by Congress, CDFI Program applicants are required to match

Financial Assistance awards dollar-for-dollar with non-federal funds of the same type as the award itself. The Indian Community Economic Enhancement Act of 2020 (Pub. L. 116 261) permanently waived the Matching Funds requirement for Native American CDFIs, and as a result, Native American CDFI Applicants are not required to provide Matching Funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Recipients must use all FA funds within three years and TA funds within two years, unless the Recipient is an Emerging CDFI, in which case it must use all TA award funds within three years. Method of awarding/releasing assistance: other

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals (if applicable). Progress Reports include the Performance Progress Report (PPR) (1559-0050), which requires recipients to submit data documenting their progress towards fulfilling applicable Performance Goals and Measures. Expenditure Reports include the Uses of Award Report (1559-0032). Performance Monitoring is also accomplished with the financial review of Audited Financial Statements or Single Audits conducted pursuant to 2 C.F.R. 200, Subpart F - Audit Requirements. FA recipients are also required to submit Transaction Level Reports (TLR) (1559-0027). The Annual Certification and Data Collection Report (ACR) (1559-0046) is submitted by certified CDFIs that receive CDFI Program award funds. The ACR requires a certified CDFI to annually assess and report on any changes to criteria supporting its CDFI certification, as well as additional organizational, financial, and other data. The CDFI Fund uses this report to monitor the certified CDFIs compliance with certification criteria and collect data to gain greater insight into the CDFI industry.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Award recipients must maintain records to substantiate total costs incurred and to ensure that all Performance Goals and Measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the assistance agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

Project Grants FY 20 \$186,923,952.00; FY 21 est \$188,000,000.00; FY 22 est \$238,383,000.00;

Range and Average of Financial Assistance:

The range of Technical Assistance Awards in FY 2020 was \$100,000 to \$125,000. The FY 2020 average TA Award was \$120,000. The range of Financial Assistance (FA) Awards in FY 2020 was \$350,000 to \$1,000,000. In FY 2020 the average Financial Assistance Awards (Base-FA) for Category I: Small and/or Emerging CDFI Assistance (SECA) was \$424,000, and the average Financial Assistance Awards (Base-FA) for Category II/Core was \$592,000, which does not include Persistent Poverty Counties-FA, Disability Funds-FA, or Healthy Food Financing Initiative-FA awards. The range of Persistent Poverty Counties-FA (PPC-FA) in FY 2020 was \$100,000 to \$237,500. The FY 2020 average PPC-FA was \$174,000. The range of Disability Fund (DF-FA) in FY 2020 was \$100,000 to \$268,750. The FY 2020 average DF-FA was \$235,000. The range of Healthy Food Financing Initiative (HFFI) Assistance Awards in FY 2020 was \$500,000 to \$2,750,000. The FY 2020 average HFFI Assistance Award was \$1,692,000.

TAFS Codes:

20-1881.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Visit the CDFI Fund website for information on program accomplishments. The information can be accessed via www.cdfifund.gov/programs-training/Programs/cdfi-program.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 C.F.R. Part 1805; NOFA; and application materials.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Community Development Financial Institutions (CDFI) Program,
1500 Pennsylvania Avenue, N.W.,
Washington DC 20220
Email: cdfihelp@cdfi.treas.gov
Phone: (202) 653-0300

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.021 Bank Enterprise Award Program; 21.014
Community Development Financial Institutions Bond Guarantee Program; 21.012
Native Initiatives;

EXAMPLES OF FUNDED PROJECTS:

2020 Visit the CDFI Fund website for examples of funded projects. The
information can be accessed via [www.cdfifund.gov/programs-
training/Programs/cdfi-program](http://www.cdfifund.gov/programs-training/Programs/cdfi-program).

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) eligibility review, (2) financial analysis and
compliance risk evaluation, (3) business plan review, (4) policy objective review
and community impact, and (5) other factors set forth in the CDFI Program
regulations, NOFA and application materials.

21.021 Bank Enterprise Award Program

BEA Program,

FEDERAL AGENCY:

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS, TREASURY,
DEPARTMENT OF THE

AUTHORIZATION:

Title Bank Enterprise Act of 1991, Community Development Banking and
Financial Institutions Act of 1994, , 12 USC Code 1834a, 4713.

OBJECTIVES:

The Bank Enterprise Award Program (BEA Program) provides monetary awards to
FDIC-insured depository institutions, i.e., banks and thrifts that demonstrate
increased investments and support to Certified Community Development Financial
Institutions (CDFIs) or in their lending, investing, or service-related activities in
the most economically distressed communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CDFI Fund makes grants to selected applicants that (1) invest in or otherwise
support CDFIs; (2) increase lending and investment activities within distressed
communities; and/or (3) increase the provision of certain services and technical
assistance within distressed communities. An award is based upon a percentage of
the increase in qualifying activities that are demonstrated by the applicant between
an established baseline period and assessment period. This information is
announced in the annual NOFA published in the Federal Register. Only FDIC-
insured banks and thrifts may apply for an award under this program.

Applicant Eligibility:

Profit organization; Other private institutions/organizations; For Profit
Organizations and Other Private Institution/Organizations in the form of Federal
Deposit Insurance Corporation (FDIC) - insured depository institutions.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Other private
institution/organization; Distressed communities as defined in 12 C.F.R. 1806.

Credentials/Documentation:

An entity that is an FDIC-Insured Depository Institution is eligible to apply for a
BEA Program Award. The entity must submit a BEA Program Application to the
Community Development Financial Institutions Fund (CDFI Fund) by the deadline

set forth in the applicable Notice of Funds Availability (NOFA) in order to be
considered for an Award. Additional eligibility requirements are set forth in the
applicable NOFA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information
is not required for this program. This program is excluded from coverage under
E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. All applications must be
received by the established deadline. Notice of Funding Opportunities (NOFO) for
this listing will be posted on Grants.gov.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established
awards review process, set forth in the NOFA and application materials.

Deadlines:

Contact the headquarters or regional location, as appropriate for application
deadlines.

Range of Approval/Disapproval Time:

Other. Award approval/disapproval determinations may be made within
approximately 180 days after the application deadline. The application deadline is
approximately 60 days subsequent to the NOFA publication date.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements
are not applicable to this assistance listing. MOE requirements are not applicable to
this assistance listing.

Length and Time Phasing of Assistance:

Funds must be used within one year of executing an Assistance Agreement and
must be used for BEA Program authorized activities set forth in the applicable
NOFA. Method of awarding/releasing assistance: lump sum.

Reports:

The Uses of Award Report (OMB Control Number 1559-0032) is used to identify
and record the utilization of the award funds. No cash reports are required. No
progress reports are required. No expenditure reports are required. Performance
Monitoring is accomplished with the review of the Uses of BEA Program Award
Report submitted by the Award Recipient. Additionally, the Annual Certification
and Data Collection Report (ACR) (OMB Control Number 1559-0046) is
submitted by certified organizations that receive BEA Program award funds. The
ACR requires a certified organization to annually assess and report on any changes
to criteria supporting its CDFI certification, as well as some additional
organizational financial, and other data. The CDFI Fund uses this report to monitor
the certified organizations compliance with certification criteria and to collect data
on the CDFI industry for decision-making and research purposes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In
accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit
Requirements, Non-Federal entities that expend financial assistance of \$750,000 or
more in Federal awards will have a single or a program-specific audit conducted
for that year. Non-Federal entities that expend less than \$750,000 a year in Federal
awards are exempt from Federal audit requirements for that year, except as noted in
2 C.F.R. 200.503.

Records:

Award Recipients must maintain records to substantiate all award costs incurred
and to assure that all performance measures are met. Records must be retained in
accordance with the provisions of applicable OMB guidance and the terms of the
award agreement.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

20-1881-0-1-451.

Obligations:

Project Grants FY 20 \$25,170,245.00; FY 21 est \$25,999,999.00; FY 22 est \$26,000,000.00;

Range and Average of Financial Assistance:

FY 2018 Range of awards \$14,700 to \$233,244; Average Award \$209,887; FY 2019 Range of awards \$15,000 to \$245,547; Average Award \$222,175; FY 2020 Range of awards \$14,700 to \$202,898.

TAFS Codes:

20-1881.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Visit the CDFI Fund website for information on program accomplishments. The information can be accessed via www.cdfifund.gov/bea.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 C.F.R. Part 200, Revised Interim Rule (12 C.F.R. Part 1806); NOFA; and application materials.

Regional or Local Office:

None.

Headquarters Office:

Bank Enterprise Award Program Community Development Financial Institutions Fund,

Bank Enterprise Award Program,

Washington DC 20220

Email: bea@cdfi.treas.gov

Phone: (202) 653-0300

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.020 Community Development Financial Institutions Program; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.012 Native Initiatives;

EXAMPLES OF FUNDED PROJECTS:

2020 Please visit the CDFI Fund website for examples of funded projects. The information can be accessed via www.cdfifund.gov/bea.

CRITERIA FOR SELECTING PROPOSALS:

Award Recipients are selected based upon a priority ranking of qualified activities as set forth in the BEA Program regulations, the NOFA, and application materials. Qualified activities include, in order of priority, the following: (1) CDFI-Related Activities; (2) Distressed Community Financing Activities; and (3) Service Activities. Additional selection priority is given to Certified CDFI applicants.

21.023 Emergency Rental Assistance Program

Emergency Rental Assistance (ERA 1) Program and Emergency Rental Assistance (ERA 2) Program,

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, TREASURY, DEPARTMENT OF THE AUTHORIZATION:

Title The Consolidated Appropriations Act, 2021, Division N, Title V, Section 501, Public Law 116-260, Title American Rescue Plan Act of 2021, Title III, Subtitle B, Part , Section 3201, Public Law 117-2

OBJECTIVES:

The funding provided by the ERA programs will among other things, assist eligible households that have difficulty making timely payments of rent and utilities due to the COVID-19 pandemic. Division N, Title V, Section 501 of the Consolidated Appropriations Act, 2021, Pub. L. No. 116-260, (December 27, 2020) established the Emergency Rental Assistance (ERA 1) program and provides \$25 billion for the U.S. Department of the Treasury (Treasury) to make payments to States (defined to include the District of Columbia), U.S. Territories (Puerto Rico, U.S. Virgin Islands, Guam, Northern Mariana Islands, and American Samoa), Indian

tribes or tribally designated housing entities, as applicable, the Department of Hawaiian Homelands, and certain local governments with more than 200,000 residents (collectively the eligible grantees) to provide financial assistance including payment of rent, rental arrears, utilities, home energy costs, utilities and home energy costs arrears and other costs related to housing, and housing stability services to eligible households. Treasury must make direct payments to the eligible grantees no later than 30 days after December 27, 2020. Title III, Subtitle B, Section 3201 of the American Rescue Plan Act, 2021, Pub. L. No. 117-2, (March 11, 2021) authorized the Emergency Rental Assistance (ERA 2) Program and provides \$21.55 billion for the Treasury to make payments to States (defined to include the District of Columbia), U.S. Territories (Puerto Rico, U.S. Virgin Islands, Guam, Northern Mariana Islands, and American Samoa), and certain local governments with more than 200,000 residents (collectively the eligible grantees) to provide financial assistance including payment of rent, rental arrears, utilities, home energy costs, utilities and home energy costs arrears and other costs related to housing, and housing stability services to eligible households, as well as cover the costs for other affordable rental housing and eviction prevention activities for eligible households. Under ERA 2, Treasury shall pay all eligible grantees at least 40% of each grantees total allocations within 60 days after March 11, 2021 pursuant to section 3201(c).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

ERA 1: Eligible grantees may use ERA funds to provide financial assistance including payment of rent, rental arrears, utilities, home energy costs, utilities and home energy costs arrears and other costs related to housing, and housing stability services for eligible households. See the ERA FAQs for more information on eligible uses at https://home.treasury.gov/system/files/136/ERA-Frequently-Asked-Questions_Pub-3-16-21.pdf ERA 2: Eligible grantees may use ERA funds to provide financial assistance including payment of rent, rental arrears, utilities, home energy costs, utilities and home energy costs arrears and other costs related to housing, housing stability services for eligible households, and cover the costs for other affordable rental housing and eviction prevention activities for eligible households.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; ERA 1: States (including the District of Columbia), U.S. Territories, eligible local governments, the Department of Hawaiian Home Lands (DHHL), and Indian tribes or the tribally designated housing entity of an Indian tribe, as applicable are eligible grantees, as described in section 501(k)(2) of the Consolidated Appropriations Act, 2021. Units of local government eligible for payment directly include a county, municipality, town, township, village, parish, borough, or other unit of general government below the state level with a population that exceeds 200,000. ERA 2: Section 3201(f)(1) of the American Rescue Plan Act of 2021 provides that eligible grantees for funding under the Emergency Rental Assistance (ERA 2) program are States (defined to include the District of Columbia), U.S. Territories (Puerto Rico, U.S. Virgin Islands, Guam, Northern Mariana Islands, and American Samoa), and certain local governments with more than 200,000 residents.

Beneficiary Eligibility:

Individual/Family; Low Income; Unemployed; ERA 1: Eligible grantees may use funds to provide to provide financial assistance including payment of rent, rental arrears, utilities, home energy costs, utilities and home energy costs arrears and other costs related to housing, and housing stability services for eligible households. Eligible households may apply directly for the assistance and a landlord or utility company may apply for assistance on behalf of the eligible household. See the ERA FAQs for more information at https://home.treasury.gov/system/files/136/ERA-Frequently-Asked-Questions_Pub-3-16-21.pdf ERA 2: Eligible grantees may use ERA funds to provide financial assistance including payment of rent, rental arrears, utilities, home energy costs, utilities and home energy costs arrears and other costs related to housing, housing stability services for eligible households, and cover the costs for other affordable rental housing and eviction prevention activities for eligible households. Eligible households may apply directly for the assistance and a

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landlord or utility company may apply for assistance on behalf of the eligible household. See the ERA FAQs for more information at

https://home.treasury.gov/system/files/136/ERA-Frequently-Asked-Questions_Pub-3-16-21.pdf

Credentials/Documentation:

ERA 1: To receive an award, eligible grantees provided completed payment information and a signed acceptance of award terms to Treasury by the date Treasury provided. ERA 2: To receive an award, eligible grantees must provide completed payment information and a signed acceptance of award terms to Treasury by the date provided by Treasury. Treasury will provide additional information on how eligible grantees should submit their required information and documentation to Treasury to receive an award under the ERA 2 program at <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/emergency-rental-assistance-program> 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See the Treasury Department website for more information on submitting an application: <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/emergency-rental-assistance-program>

Award Procedure:

The U.S. Department of the Treasury will make awards to eligible grantees that provide the required information to Treasury within the provided timeframe.

Deadlines:

ERA 1: Awards to eligible grantees were made by Treasury after the eligible grantees provided the required information and document to Treasury within the provided timeframes.

ERA 2: To receive an award under the ERA 2 program promptly, eligible grantees should submit their payment information and executed financial assistance agreement to Treasury by Monday, May 10, 2021. Submission of the required information and document after that date may not be approved as quickly, so eligible grantees are encouraged to submit the necessary information as soon as possible. Treasury will provide additional information on how eligible grantees should submit their required information and documentation to Treasury to receive an award at <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/emergency-rental-assistance-program>

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ERA 1: Funds were originally available for use until December 31, 2021 under the ERA 1 program, but section 3201(h) of the American Rescue Plan Act of 2021 extended the availability of funds for use to September 30, 2022. ERA 2: Funds are available for use until September 30, 2025 under the ERA 2 program. Method of awarding/releasing assistance: other

Reports:

Under the ERA 1 program, grantees will be required to provide one quarterly interim report, which summarizes their utilization of ERA 1 award funds to date to facilitate Treasury's quarterly reporting obligations under Section 501(g) and to effectively monitor the ERA grantees compliance with the requirements of the ERA 1 award. Grantees will be required to provide monthly reports for April and

May 2021 consisting of two data elements in the interim report, thereafter provide quarterly reports to Treasury until the period of performance ends. Treasury will publish additional reporting guidance and instructions for the subsequent reporting requirements. Treasury anticipates that the subsequent reporting requirements will include the data elements included in the quarterly Interim Report as well as other data elements required by section 501(g) of the Act including race, ethnicity and gender information and project information required by section 15011 of the CARES Act (Pub. L. No. 116-136), as amended by Title VIII, Section 801(b) of the Consolidated Appropriations Act, 2021 (Pub. L. No. 116-260) on December 27, 2020. For the ERA 2 program, the reporting requirements are still developing pending finalization of guidelines and evaluation of the application data received during the application window. Treasury will publish guidance on the specific reporting requirements for the ERA 2 program at a later date. See section on Program Reports. See section on Program Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Applicable.

Records:

The Financial Assistance Agreement will provide the specific requirements on record retention under both ERA 1 and ERA 2 programs.

Account Identification:

20-0150-0-1-806.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est (\$769,803,776.00); and FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$75,163,520.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

ERA 1: Section 501(a) of the Consolidated Appropriations Act, 2021 allocates \$25 billion for ERA 1. The range of assistance provided is determined by the type of applicant (States, local governments, U.S. Territories, DHHL, or Indian tribes or the tribally designated housing entity of an Indian tribe, as applicable). ERA 2: Section 3201(a)(1) of the American Rescue Plan Act, 2021 allocates \$21.55 billion for ERA 2. The range of assistance provided is determined by the type of applicant (States, local governments, or U.S. Territories).

TAFS Codes:

20-0150. 20-0150.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: ERA 1: Payments were made directly to States, certain eligible local governments, U.S. Territories, DHHL, and Indian tribes to provide financial assistance including payment of rent, rental arrears, utilities, home energy costs, utilities and home energy costs arrears and other costs related to housing, and housing stability services for eligible households. ERA 2: Payments will be made directly to States, certain eligible local governments, and U.S. Territories to provide financial assistance including payment of rent, rental arrears, utilities, home energy costs, utilities and home energy costs arrears and other costs related to housing, housing stability services for eligible households, and cover the costs for other affordable rental housing and eviction prevention activities for eligible households.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance and information on both ERA 1 and 2 programs can be found at <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/emergency-rental-assistance-program>

Regional or Local Office:

None.

Headquarters Office:

Emergency Rental Assistance Program,
United States Department of the Treasury,
Washington DC 20220
Email: EmergencyRentalAssistance@treasury.gov
Phone: 202.622.2000
Fax:

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Website Address:

<https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/emergency-rental-assistance-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.024 Community Development Financial Institutions Rapid Response Program (CDFI RRP)

CDFI Rapid Response Program (CDFI RRP).

FEDERAL AGENCY:

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS, TREASURY, DEPARTMENT OF THE

AUTHORIZATION:

The Coronavirus Response and Relief Supplemental Appropriations Act of 2021 (P.L. 116-260), Title Coronavirus Response and Relief Supplemental Appropriations Act of 2021,

OBJECTIVES:

To support the ability of Community Development Financial Institutions (CDFIs) to support, prepare for, and respond to the economic impact of the coronavirus.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

CDFI RRP awards enhance the Recipient's ability to make loans and investments and to provide financial services that promote economic revitalization and community development.

Applicant Eligibility:

Profit organization; Other private institutions/organizations; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Only certified CDFIs are eligible to apply for CDFI RRP awards. No awards may be issued to Federal Government agencies, departments or instrumentalities, State governments local governments or any agency or instrumentality thereof.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Profit organization; Investment Areas and Targeted Populations, as defined in 12 C.F.R. 1805.

Credentials/Documentation:

An organization wishing to apply for an award through the CDFI RRP must be a certified CDFI prior to the date of the release of the NOFA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each applicant must submit the applicable SF-424 via Grants.gov and complete a CDFI RRP Application in the CDFI Funds Awards Management Information System (AMIS). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants must submit the applicable SF-424 approximately 15 days subsequent to the NOFA publication date. Applicants must also submit the RRP Application in the CDFI Funds Awards Management Information System (AMIS) approximately 21 days subsequent to the NOFA publication date. Award approval/disapproval determinations are typically made within 270 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Recipients must use all CDFI RRP funds from the date of award announcement through the end of a Recipients second full fiscal year. Method of awarding/releasing assistance: other

Reports:

Progress Reports includes the Performance Progress Report (PPR) (OMB Control Number 1559-0050), which requires recipients to submit data documenting their progress towards fulfilling applicable Performance Goals and Measures.

Expenditure Reports include the Uses of Award Report (OMB Control Number 1559-0032). Performance Monitoring is also accomplished with the financial review of Audited Financial Statements or Single Audits conducted pursuant to 2 C.F.R. 200, Subpart F - Audit Requirements. FA recipients are also required to submit Transaction Level Reports (TLR) (OMB Control Number 1559-0027). The Annual Certification and Data Collection Report (ACR) (OMB Control Number 1559-0046) is submitted by certified CDFIs. The ACR requires a certified CDFI to annually assess and report on any changes to criteria supporting its CDFI certification, as well as some additional organizational, financial, and other data. The CDFI Fund uses this report to monitor the certified CDFIs compliance with certification criteria and collect data to gain greater insight into the CDFI industry.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Award recipients must maintain records to substantiate total costs incurred and to ensure that all Performance Goals and Measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the assistance agreement.

Account Identification:

20-0160-0-1-451.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$1,250,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

The range of CDFI RRP awards is expected to be \$100,000 to \$5,000,000. The average CDFI RRP Award is expected to be \$1,250,000.

TAFS Codes:

20-0160.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

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REGULATIONS, GUIDELINES, AND LITERATURE:

The Coronavirus Response and Relief Supplemental Appropriations Act of 2021 (P.L. 116-260)NOFA; and application materials.

Regional or Local Office:

None.

Headquarters Office:

Bank Enterprise Award Program Community Development Financial Institutions Fund,

1500 Pennsylvania Avenue, N.W.,

Washington DC 20220

Email: cdfihelp@cdfi.treas.gov

Phone: 2026530300

Fax:

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.021 Bank Enterprise Award Program; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.012 Native Initiatives; 21.011 Capital Magnet Fund;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The CDFI Fund will assess items such as the Applicants certification status, financial and compliance performance, portfolio and balance sheet strength, a diversity of CDFI business model types, and program capacity.

21.025 Small Dollar Loan Program

SDL Program,

FEDERAL AGENCY:

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS, TREASURY, DEPARTMENT OF THE

AUTHORIZATION:

Public Law 111-203

OBJECTIVES:

To provide grants for Loan Loss Reserves to enable: (1) a Certified Community Development Financial Institution (CDFI) or any partnership between a Certified CDFI and federally insured depository institution with a primary mission to serve targeted Investment Areas to establish a loan-loss reserve fund in order to defray the costs of a small dollar loan program established or maintained by such institution; and (2) to provide grants for Technical Assistance to a Certified CDFI or any partnership between or among Certified CDFIs to establish and maintain small dollar loan programs. SDL Program grants cannot be used to provide direct loans to consumers. This program is intended to provide an alternative to high-cost small dollar loans, as well as help unbanked and underbanked populations build credit, access affordable capital, and allow greater access into the mainstream financial system.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CDFI Fund makes grants for Loan Loss Reserves to enable: (1) a Certified CDFI or any partnership between a Certified CDFI and federally insured depository institution with a primary mission to serve targeted Investment Areas to establish a loan loss reserve fund in order to defray the costs of a small dollar loan program established or maintained by such institution; and (2) to provide grants for Technical Assistance to a Certified CDFI or any partnership between or among Certified CDFIs to establish and maintain small dollar loan programs. The Small Dollar Loan Program grants cannot be used to provide direct loans to consumers. This program is intended to provide an alternative to high-cost small dollar loans, as well as help unbanked and underbanked populations build credit, access affordable capital, and allow greater access into the mainstream financial system. This information is announced in the annual NOFA published in the Federal

Register.

Applicant Eligibility:

Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Nonprofit Organizations, For Profit Organizations and Other Private Institutions/Organizations in the form of federally insured depository institutions, or federally-insured credit unions that are Certified CDFIs.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

An entity that is a Certified CDFI, or a partnership between a Certified CDFI and federally insured depository institution with a primary mission to serve targeted Investment Areas, or a partnership between or among Certified CDFIs is eligible to apply for a Small Dollar Loan Program Award. The Community Development Financial Institutions Fund (CDFI Fund) must receive a complete Small Dollar Loan Program Award application by the deadline set forth in the applicable Notice of Funds Availability (NOFA). Additional eligibility requirements are set forth in the applicable NOFA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications must be received by the established deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the NOFA and application materials.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The application deadline is approximately 60 days subsequent to the NOFA publication date. Award approval/disapproval determinations may be made within approximately 180 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. SDL Program applicants are required to match grants for Loan Loss Reserve with non-federal funds in an amount equal to 50 percent of the amount of the grant, unless waived by Congress in the applicable appropriations. Technical Assistance grants do not have matching requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds must be used within three years of executing an Assistance Agreement and must be used for Small Dollar Loan Program authorized activities set forth in the applicable NOFA. Method of awarding/releasing assistance: lump sum.

Reports:

The Uses of Award Report (OMB Control Number 1559-0032) is used to identify and record the utilization of the award funds. Performance Monitoring is also accomplished with the financial review of Audited Financial Statements or Single Audits conducted pursuant to 2 C.F.R. 200, Subpart F - Audit Requirements. Small Dollar Loan Program recipients are also required to submit Transaction Level Reports (TLR) (OMB Control Number 1559-0027). The Annual Certification and Data Collection Report (ACR) (OMB Control Number 1559-0046) is submitted by Certified CDFIs that receive Small Dollar Loan Program award funds. The ACR

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requires a certified CDFI to annually assess and report on any changes to criteria supporting its CDFI certification, as well as some additional organizational, financial, and other data. The CDFI Fund uses this report to monitor the Certified CDFIs compliance with certification criteria and collect data to gain greater insight into the CDFI industry.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, Non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503.

Records:

Award recipients must maintain records to substantiate all award costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the Assistance Agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

Project Grants FY 20 Not Available; FY 21 est \$5,000,000.00; and FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

The Small Dollar Loan Program is a new program to be implemented in FY 2021.

TAFS Codes:

20-1881.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Small Dollar Loan Program NOFA; and application materials.

Regional or Local Office:

None.

Headquarters Office:

Bank Enterprise Award Program Community Development Financial Institutions Fund Small Dollar Loan Program,
1500 Pennsylvania Avenue, N.W.,
Washington DC 20220
Email: sdlp@cdfi.treas.gov
Phone: 2026530300

Fax:

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.021 Bank Enterprise Award Program; 21.014 Community Development Financial Institutions Bond Guarantee Program; 21.012 Native Initiatives; 21.020 Community Development Financial Institutions Program; 21.011 Capital Magnet Fund;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) financial strength and organizational capacity of applicant, (2) business plan review, (3) community impact, and (4) other factors set forth in the Small Dollar Loan Program NOFA and application materials.

21.026 Homeowner Assistance Fund

HAF.

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, TREASURY, DEPARTMENT OF THE AUTHORIZATION:

Title American Rescue Plan Act of 2021, Section 3206, Public Law 117-2

OBJECTIVES:

Section 3206 of the American Rescue Plan Act of 2021, (the Act), Pub. L. No. 117-2 (March 11, 2021) established the \$9.961 billion Homeowner Assistance Fund (HAF). Under HAF, Treasury will make payments directly to States (defined to include the District of Columbia Puerto Rico, the United States Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and American Samoa), the Department of Hawaiian Home Lands (DHHL), and Indian tribes or tribally designated housing entities (collectively the eligible entities) to mitigate financial hardships associated with the coronavirus pandemic, for the purpose of preventing homeowner mortgage delinquencies, defaults, foreclosures, loss of utilities or home energy services, and displacements of homeowners experiencing financial hardship after January 21, 2020, through qualified expenses related to mortgages and housing. States, the District of Columbia, and U.S. Territories must request funding from Treasury no later than April 25, 2021, which is 45 days after enactment of the Act, in order to receive funding under HAF. Tribes and the Department of Hawaiian Home Lands must request funding from Treasury by September 30, 2021 to receive payment under HAF.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

HAF participants may use funding from the HAF only for the following types of qualified expenses that are for the purpose of preventing homeowner mortgage delinquencies, homeowner mortgage defaults, homeowner mortgage foreclosures, homeowner loss of utilities or home energy services, and displacements of homeowners experiencing financial hardship: 1. mortgage payment assistance; 2. financial assistance to allow a homeowner to reinstate a mortgage or to pay other housing-related costs related to a period of forbearance, delinquency, or default; 3. mortgage principal reduction, including with respect to a second mortgage provided by a nonprofit or government entity; 4. facilitating mortgage interest rate reductions; 5. payment assistance for: (a) homeowners utilities, including electric, gas, home energy, and water; (b) homeowners internet service, including broadband internet access service, as defined in 47 CFR 8.1(b) (or any successor regulation); (c) homeowners insurance, flood insurance, and mortgage insurance; (d) homeowners association fees or liens, condominium association fees, or common charges; and (e) down payment assistance loans provided by nonprofit or government entities; 6. payment assistance for delinquent property taxes to prevent homeowner tax foreclosures; 7. measures to prevent homeowner displacement, such as home repairs to maintain the habitability of a home or assistance to enable households to receive clear title to their properties; 8. counseling or educational efforts by housing counseling agencies approved by HUD, or legal services, targeted to households eligible to be served with funding from the HAF related to foreclosure prevention or displacement, in an aggregate amount up to 5% of the funding from the HAF received by the HAF participant; 9. reimbursement of funds expended by a state, local government, or applicable Tribal entity during the period beginning on January 21, 2020, and ending on the date that the first funds are disbursed by the HAF participant under the HAF, for a qualified expense (other than any qualified expense paid directly or indirectly by another federal funding source, or any qualified expenses described in clauses (6), (7), (8), or (10) of this definition); and 10. planning, community engagement, needs assessment, and administrative expenses related to the HAF participants disbursement of HAF funds for qualified expenses, in an aggregate amount not to exceed 15% of the funding from the HAF received by the HAF participant.

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments;

Beneficiary Eligibility:

Individual/Family; Low Income; Unemployed; Eligible entities may use HAF funds to provide assistance to eligible homeowners. Homeowners are eligible to receive amounts allocated to a HAF recipients under the HAF if they experienced a

ASSISTANCE LISTINGS DESCRIPTIONS

financial hardship after January 21, 2020 and have incomes equal to or less than 150% of the area median income. A HAF recipients may provide HAF funds only to a homeowner with respect to qualified expenses related to the dwelling that is such homeowners primary residence. HAF recipients must require homeowners to attest that they experienced financial hardship after January 21, 2020. The attestation must describe the nature of the financial hardship (for example, job loss, reduction in income, or increased costs due to healthcare or the need to care for a family member). More information on income determinations is included in the HAF Guidance document at <https://home.treasury.gov/system/files/136/HAF-Guidance.pdf>

Credentials/Documentation:

Eligible entities must provide payment information, complete and sign a Notice of Funds Request form, and execute the HAF Financial Assistance Agreement and submit the documents to Treasury in order to receive payment under the HAF. Specifically, States, the District of Columbia, and U.S. Territories must sign and return the Notice of Funds Request form to Treasury by April 25, 2021 in order to receive payment under HAF. Tribes and the Department of Hawaiian Home Lands must sign and return the Notice of Funds Request form and the HAF Financial Assistance Agreement to Treasury by September 30, 2021 to receive payment under HAF. The requested documentation will be submitted to Treasury via an online portal at <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/homeowner-assistance-fund>. The Notice of Funds Request form and the financial assistance agreement must be signed by an authorized representative of the eligible entity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible entities must provide payment information, complete and sign a Notice of Funds Request form, and execute the HAF Financial Assistance Agreement and submit the documents to Treasury in order to receive payment under the HAF. Specifically, States, the District of Columbia, and U.S. Territories must sign and return the Notice of Funds Request to Treasury by April 25, 2021 in order to receive payment under HAF. Tribes and the Department of Hawaiian Home Lands must sign and return the Notice of Funds Request form to Treasury by September 30, 2021 to receive payment under HAF.

Award Procedure:

The U.S. Department of the Treasury will issue payments to eligible recipients that provide the required information to Treasury within the provided timeframe. Treasury will make initial payments from the HAF available to eligible entities that are approved to participate in the HAF, in an amount equal to 10% of the total amount allocated to the eligible entity. In order to receive this initial payment, the eligible entity must enter into the financial assistance agreement with Treasury. Treasury will make payments to the eligible entity or agency of the eligible entity identified on the eligible entity's notice of funds request. No more than 50% of the initial payment may be used for planning, community engagement, needs assessment, and administrative expenses. To receive HAF funds beyond the initial 10% payment described above, an eligible entity must develop and submit a plan for its use of HAF funding. See the Treasury Department website for more information on submitting an application and developing a HAF plan: <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/homeowner-assistance-fund>

Deadlines:

April 16, 2021 - April 25, 2021. Deadline for States (50 States, the District of Columbia, and Puerto Rico) and Territories (U.S. Virgin Islands, Guam, Northern Mariana Islands, and American Samoa) April 16, 2021 - September 30, 2021. Deadline for Tribes and DHHL If any State (defined to include the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands) does not submit a notice of funds request form by April 25, 2021, the State will not receive funding from the HAF program, and Treasury will reallocate the funding that was previously allocated to that State among other eligible entities that did

request funding by the statutory deadline. The deadline for an Indian tribe, or tribally designated housing entity, as applicable, and the Department of Hawaiian Home Lands to submit a notice of funds request form to Treasury is September 30, 2021. See the Treasury Department website for more information on submitting an application: <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/homeowner-assistance-fund>

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Treasury will make direct payments to States, the District of Columbia, and U.S. Territories that request funding by April 25, 2021. If a State, the District of Columbia, or U.S. Territory does not request allocated funds by April 25, 2021 (the 45th day after enactment of ARP), Treasury will reallocate any funds that were not requested no later than 180 days after March 11, 2021. Funds are provided under HAF for FY21, to remain available to Treasury to provide funding to eligible entities until September 30, 2025. Tribes and the Department of Hawaiian Home Lands must request funding from Treasury by September 30, 2021 to receive payment under HAF. Method of awarding/releasing assistance: other

Reports:

HAF participants will be required to submit quarterly reports to Treasury that include financial data, targeting data, and other information. HAF participants will also be required to submit an annual program report to Treasury regarding the impact of the HAF program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Applicable.

Records:

Account Identification:

20-0124-0-1-806.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$30,000,000.00; and FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$498,050,000.00; and FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$800,415,408.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

The range of assistance provided is determined by the type of applicant (State, U.S. Territories, DHHL or Indian tribes or the tribally designated housing entity of an Indian tribe, as applicable).

TAFS Codes:

20-0124.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

United States Department of the Treasury Attn: Homeowner Assistance Fund, 1500 Pennsylvania Avenue NW, Washington DC 20220

ASSISTANCE LISTINGS DESCRIPTIONS

Email: HAF@treasury.gov

Phone: 202.622.2000

Fax: United States Department of the Treasury Attn: Homeowner Assistance Fund,

1500 Pennsylvania Ave NW,

Washington DC 20220

Email: HAF_Tribal@treasury.gov

Phone: 202.622.2000

Fax:

Website Address:

<https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/homeowner-assistance-fund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Pursuant to Section 3206(c)(1) of the Act, recipients may use HAF funds to provide homeowners with the following assistance: mortgage payment assistance; financial assistance to allow a homeowner to reinstate a mortgage or to pay other housing related costs related to a period of forbearance, delinquency, or default; principal reduction; facilitating interest rate reductions; payment assistance for (i) utilities, including electric, gas, home energy, and water; (ii) internet service, including broadband internet access service, as defined in section 8.1(b) of title 47, Code of Federal Regulations (or any successor regulation); (iii) homeowners insurance, flood insurance, and mortgage insurance; and (iv) homeowners association, condominium association fees, or common charges; reimbursement of funds expended by certain entities during the period beginning on January 21, 2020, and ending on the date that the first funds are disbursed by the eligible entity under the HAF, for the purpose of providing housing or utility payment assistance to homeowners or otherwise providing funds to prevent foreclosure or post-foreclosure eviction of a homeowner or prevent mortgage delinquency or loss of housing or utilities as a response to the COVID pandemic; and any other assistance to promote housing stability for homeowners, including preventing mortgage delinquency, default, foreclosure, post-foreclosure eviction of a homeowner, or the loss of utility or home energy services, as determined by the Secretary.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.027 CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS

Coronavirus State and Local Fiscal Recovery Funds (CSLFRF), Coronavirus State Fiscal Recovery Fund (CSFRF) and Coronavirus Local Fiscal Recovery Fund (CLFRF).

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, TREASURY, DEPARTMENT OF THE AUTHORIZATION:

Title AMERICAN RESCUE PLAN ACT OF 2021, Part PART 8

MISCELLANEOUS PROVISIONS, Section Sections 602 and 603 as added by section 9901, Public Law 117-2

OBJECTIVES:

Sections 602 and 603 of the Social Security Act as added by section 9901 of the American Rescue Plan Act of 2021 (the Act), Pub. L. No. 117-2 (Mar. 11, 2021) authorizes the Coronavirus State Fiscal Recovery Fund (CSFRF) and Coronavirus Local Fiscal Recovery Fund (CLFRF) respectively (referred to as the Coronavirus State and Local Fiscal Recovery Funds or SLFRF), which provides \$350 billion in total funding to Treasury to make payments generally to States (defined to include the District of Columbia), U.S. Territories (defined to include, Puerto Rico, U.S. Virgin Islands, Guam, Northern Mariana Islands, and American Samoa), Tribes, Metropolitan cities, Counties, and Nonentitlement units of local government to respond to the COVID-19 public health emergency or its negative economic impact, including to provide assistance to households, small business, nonprofits, and impacted industries, such as tourism, travel, and hospitality; respond to workers performing essential work during the COVID-19 pandemic by providing

premium pay to eligible workers of the State, territory, tribal government, metropolitan city, county, or nonentitlement units of local government performing essential work or by providing grants to eligible employers that have eligible workers; provide government services, to the extent of the reduction of revenue due to COVID-19 relative to revenues collected in the most recent full fiscal year of the State, territory, tribal government, metropolitan city, county, or nonentitlement units of local government; or make necessary investments in water, sewer, or broadband infrastructure.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

For all recipients, funds may be used for the following eligible activities: 1) Response to the COVID-19 public health or economic crisis, including aid to households, small business, non-profits, or impacted industries; 2) Premium pay to essential employees, both public and private; 3) Provide government services, to the extent of revenue lost due to COVID-19; and 4) Make necessary investments in water, sewer, or broadband infrastructure

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; States (defined to include the District of Columbia), U.S. Territories (Puerto Rico, U.S. Virgin Islands, Guam, Northern Mariana Islands, and American Samoa), Tribal governments, Metropolitan cities, Nonentitlement units of local government (through states) and Counties.

Beneficiary Eligibility:

Individual/Family; Small business; Public nonprofit institution/organization; Private nonprofit institution/organization;

Credentials/Documentation:

States, the District of Columbia, and U.S. Territories must provide payment information, and execute the SLFRF Financial Assistance Agreement, which includes the certification required by section 602(d)(1) and submit the documents to Treasury in order to receive payment under the SLFRF program. Tribes must provide payment information, employment information, and execute the SLFRF Financial Assistance Agreement and submit the documents to Treasury in order to receive payment under the SLFRF program. Metropolitan cities and Counties must provide payment information, execute the SLFRF Financial Assistance Agreement, and submit the documents to Treasury in order to receive payment under the SLFRF program. Nonentitlement units of local government must provide payment information, execute the SLFRF Financial Assistance Agreement, and submit the documents to Treasury (through its respective state) in order for Treasury to make a payment to the State for distribution by the State to the Nonentitlement units of local government under the SLFRF program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Treasury will make payments to eligible entities that provide the required information to Treasury within the provided timeframe.

Deadlines:

Tribal governments must complete the first submission for a per-tribe and enrollment-based payment by June 7, 2021 11:59 PM PST.

Treasury will make a second payment to Tribal governments in respect of the Employment Allocation after Tribal governments confirm their 2019 employment numbers. The deadline for submitting employment information is June 21, 2021 at 11:59 PM PST. If a Tribal government does not confirm or amend employment numbers by that deadline, the Tribal government will not be eligible to receive a share of the Employment Allocation.

ASSISTANCE LISTINGS DESCRIPTIONS

Any updates will be provided at <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/state-and-local-fiscal-recovery-fund/tribal-governments>

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available to cover costs incurred by December 31, 2024 under the State and Local Fiscal Recovery Funds program. Method of awarding/releasing assistance: lump sum.

Reports:

Further guidance and instructions regarding program reporting will be provided to recipients at a later date. Currently anticipated program reporting is as follows: States (defined to include the District of Columbia), U.S. Territories, Metropolitan cities, Counties, and tribes will be required to submit one interim report and thereafter quarterly Project and Expenditure reports through the end of the award performance period on December 31, 2026. The interim report will include a recipients expenditures by category at the summary level and States will also be required to provide information related to the payments distributed to their nonentitlement units of local government. The quarterly Project and Expenditure reports will include financial data, information on contracts and subawards over \$50,000, types of projects funded, and other information regarding a recipients utilization of the award funds. Nonentitlement units of local governments will be required to submit annual Project and Expenditure reports through the end of the award performance period on December 31, 2026. The initial annual Project and Expenditure report for nonentitlement units of local governments will cover the period from the date of award to September 30, 2021 and must be submitted to Treasury by October 31, 2021. The subsequent annual reports must be submitted to Treasury by October 31 each year. States, U.S. Territories, Metropolitan cities, and Counties with a population that exceeds 250,000 residents will also be required submit an annual Recovery Plan Performance report to Treasury. The annual Recovery Plan will include descriptions of the projects funded and information on the performance indicators and objectives of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR Part 200, Subpart F - Audit Requirements, nonfederal entities that spend \$750,000 or more in Federal awards are subject to a single or a program-specific audit for its fiscal year in which it expended the Federal award funds.

Records:

Financial records, supporting documents, and all other records pertinent to the award must be retained by the recipients in accordance with the Financial Assistance Agreement.

Account Identification:

20-1982-0-1-806.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$205,032,704.00; and FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est (\$1,474,836,480.00); and FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$2,026,471,680.00; and FY 22 Not Available; Direct Payments for Specified Use FY 20 Not Available; FY 21 est (\$1,674,640,256.00); and FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est (\$1,944,836,480.00); and FY 22 Not Available; Direct Payments for Specified

Use FY 20 \$0.00; FY 21 est \$675,490,560.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

The range of assistance provided is determined by the type of applicant (State, U.S. Territory, Tribal government, Metropolitan city, County, or nonentitlement units of local government).

TAFS Codes:

20-1892.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Treasury has published an Interim Final Rule (IFR) on the program guidelines in the Federal Register. See 86 FR 26786 (May 17, 2021).

Regional or Local Office:

None.

Headquarters Office:

United States Department of the Treasury Attn: State and Local Fiscal Recovery Funds,

1500 Pennsylvania Avenue NW,
Washington DC 20220

Email: SLFRP@treasury.gov

Phone: 202-622-6415

Fax:

Website Address:

<https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/state-and-local-fiscal-recovery-fund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Not Applicable; new program 2021 Respond to the COVID-19 public health emergency or its negative economic impacts, including provide assistance to households, small business, nonprofits, and impacted industries, such as tourism, travel, and hospitality; respond to workers performing essential work during the COVID-19 pandemic by providing premium pay to eligible workers of the State, territorial or tribal government performing essential work or by providing grants to eligible employers that have eligible workers; provide government services, to the extent of the reduction of revenue due to COVID-19 relative to revenues collected in the most recent full fiscal year of the State, territorial, or tribal government; or make necessary investments in water, sewer, or broadband infrastructure.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.028 Coronavirus Economic Relief for Transportation Services Act

Coronavirus Economic Relief for Transportation Services (CERTS) Program,

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, TREASURY, DEPARTMENT OF THE AUTHORIZATION:

Title The Consolidated Appropriations Act, 2021, Part Division N, Title IV, Section Section 421, Public Law 116-260

OBJECTIVES:

Division N, Title IV, Section 421 of the Consolidated Appropriations Act, 2021 (the Act), Pub. L. No. 116-260 (December 27, 2020) authorized the \$2 billion Coronavirus Economic Relief for Transportation Services (CERTS) program to provide grants to eligible motorcoach companies, school bus companies, passenger vessel companies, and pilotage companies that have experienced annual revenue losses of 25 percent or more as result of COVID-19 directly or indirectly. The priority use of grant funds must be for payroll costs, though grants may be used for operating expenses and the repayment of debt accrued to maintain payroll.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Formula Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Grantees may use grant funds for operating expenses, including but not limited to, payment of payroll costs and compensation of returning employees for lost pay and benefits during the COVID-19 pandemic; the acquisition of services, personal protective equipment, and other measures needed to protect workers and customers from COVID-19; operations and maintenance of existing capital equipment and facilities, such as rent, leases, insurance, and interest on regularly scheduled debt service; and compensation of returning employees for lost pay and benefits during the COVID-19 pandemic.

Applicant Eligibility:

Other private institutions/organizations; Pursuant to section 421(c)(2), eligible providers of transportation services under the CERTS program must be: 1. Be a private sector company, including a corporation, limited liability company, partnership, or sole proprietorship; 2. Be established or organized in the United States or pursuant to Federal law; 3. Have significant operations and a majority of employees based in the United States; and 4. One of the four following types of transportation service providers: motorcoach transportation service providers, school bus transportation service providers, passenger vessel transportation service providers, and pilotage vessel transportation service providers that as of March 1, 2020, either: a. Have had 500 or fewer than 500 full-time, part-time, or temporary employees and not been a subsidiary, parent, or affiliate of any other entity with a combined total workforce of more than 500 full-time, part-time, or temporary employees; or b. Have had more than 500 full-time, part-time, or temporary employees and have not received assistance under the Passenger Air Carriers Loan Program, loans and loan guarantees for cargo air carriers, or National Security Loan Program (loans and loan guarantees for businesses critical to maintaining national security) under the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Pub. L. No. 116-136. 5. Subject to other eligibility criteria as described in section 421(c)(2)(B).

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Eligible entities must complete a CERTS grant Application which can be accessed through a web-based application portal accessible through the CERTS webpage on Treasury.gov at <https://treasury.gov/policy-issues/cares/preserving-jobs-for-american-industry/certs>. Eligible entities will need to provide a certification to Treasury providing that they have experienced a revenue loss of 25% or more on an annual basis, as a direct or indirect result of COVID-19; provide documentation describing the total amount of revenue earned by the eligible entity during calendar year 2019, and certify that the amount of assistance sought from the CERTS program when combined with the other federal assistance does not exceed the total amount of revenue earned by the eligible entity during calendar year 2019. Eligible entities will also be required to provide assurances that funds will be applied in priority order for job retention and recovery after the ramp-up period, and that reviews of employment expenditures can be conducted periodically. To gain access to the application portal and submit an application, a company representative must be registered with a username and password through the ID.me identity service. ID.me is an approved service provider for Treasury, the Social Security Administration, the U.S. Department of Veterans Affairs, and other Federal agencies. ID.me offers a one-time identity verification process to safeguard company data and authenticate users prior to accessing Treasury systems. Once the application portal is made available, it will be open for 4 weeks. To be considered, applications must be completed in full and successfully submitted through the application portal by this 4-week application deadline, after which the portal will be closed to new applications. The application deadline will be posted on the CERTS webpage on treasury.gov (<https://treasury.gov/policy-issues/cares/preserving-jobs-for-american-industry/certs>) when the application portal goes live. Treasury will not consider applications submitted after the deadline, including any draft applications in the application portal that are not completed and successfully submitted by the deadline. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible entities must complete a CERTS grant Application which can be accessed through a web-based application portal accessible through the CERTS webpage on Treasury.gov at <https://treasury.gov/policy-issues/cares/preserving-jobs-for-american-industry/certs>. To submit an application for a CERTS grant, a company representative must be registered with credentials issued through the ID.me identity service. Applications must be submitted by the application deadline, which will be 4 weeks after the application portal opens. Review of Applications. Treasury will review each application submitted by the deadline to validate company identity and eligibility, to assess the accuracy and completeness of information submitted, and to confirm other attributes consistent with the CERTS statute. All applications will be subject to uniform standards of review that will be applied equally. Applications that are incomplete, unclear, or internally inconsistent may not receive timely consideration and may be rejected. Application Decisions. After applying, an applicant will be able to check the status of Treasury's review by logging into the application portal, which will display status codes and the remaining steps in the application review. If Treasury approves an application, it will contact the company representative by email to invite the company to sign a grant agreement.

Award Procedure:

Treasury in its sole discretion will review and approve complete applications and may reject applications. In reviewing applications, Treasury may consider the statutory purposes and requirements of the CERTS Act, the applicant's eligibility, the accuracy and completeness of the information provided by the applicant, the applicant's provision of certifications, documentation, or information reasonably satisfactory to Treasury to confirm the applicant's ability to comply with the grant agreement, the applicant's compliance with other laws and regulations, and any other relevant factors that Treasury deems appropriate.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Once the application portal is made available, it will be open for 4 weeks. Grantees must return to Treasury any grant funds that are not used within 1 year after the first date of receipt of the funds. This award is expected to be provided as a one-time payment to recipients under this program. However, in the event that additional funds become available than first anticipated (for instance, if Treasury's administrative costs are lower than expected), Treasury may provide an additional payment to recipients using the excess funds, which must be expended for eligible purposes under the grant and such payment of excess funds will be distributed in a manner as determined by Treasury in its sole discretion. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements will be determined by Treasury and set forth in the grant agreement, a form of which will be provided when the application is made available.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees may be subject to audit by the Treasury's Office of the Inspector General and the Government Accountability Office.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Grantees will be required to maintain records and financial documents related to their use of award funds in accordance with the requirements set forth in the grant agreement.

Account Identification:

20-0156-0-1-806.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$1,975,000,000.00; and FY 22 Not Available; Salaries and Expenses FY 20 \$0.00; FY 21 est \$13,000,000.00; and FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

The \$2 billion in available funding will be allocated across the four eligible industries (motorcoaches, school buses, passenger vessels, and pilotage vessels) based on analysis of their size and cost structure. From the amounts allocated to a given industry, individual grant awards will be made to approved applicants in each industry based on each applicant's need, determined primarily by annual lost revenues.

TAFS Codes:

20-0156.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

United States Department of the Treasury Attn: Coronavirus Economic Relief for Transportation Services (CERTS) Program,

1500 Pennsylvania Avenue NW,
Washington DC 20220

Email: CERTS@treasury.gov

Phone: (202)-622-2000

Fax:

Website Address:

<https://home.treasury.gov/policy-issues/coronavirus/assistance-for-american-industry/coronavirus-economic-relief-for-transportation-services>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Grantees may use grant funds for operating expenses, including but not limited to: payment of payroll costs (see the definition of payroll costs in Treasury guidance) and compensation of returning employees for lost pay and benefits during the COVID-19 pandemic; the acquisition of services, personal protective equipment, and other measures needed to protect workers and customers from COVID19; operations and maintenance of existing capital equipment and facilities, such as rent, leases, insurance, and interest on regularly scheduled debt service; and compensation of returning employees for lost pay and benefits during the COVID-19 pandemic.

CRITERIA FOR SELECTING PROPOSALS:

Treasury will make awards to eligible entities that provide the required information to Treasury within the specified timeframes.

21.029 Coronavirus Capital Projects Fund

CPF, Capital Projects Fund, or Capital Projects,

FEDERAL AGENCY:

DEPARTMENTAL OFFICES, TREASURY, DEPARTMENT OF THE
AUTHORIZATION:

Title AMERICAN RESCUE PLAN ACT OF 2021, Section 604 as added by Section 9901, Public Law 117-2

OBJECTIVES:

Section 604 of the Social Security Act, as added by section 9901 of the American

Rescue Plan Act of 2021 (the Act), Pub. L. No. 117-2 (Mar. 11, 2021), established the \$10 billion Coronavirus Capital Projects Fund (CPF). The Secretary of the Treasury (the Secretary) will make payments directly to States (defined to include each of the 50 states, the District of Columbia, and Puerto Rico), U.S. Territories and freely associated states (United States Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau), and Tribal governments to carry out critical capital projects directly enabling work, education, and health monitoring, including remote options, in response to the public health emergency with respect to the Coronavirus Disease (COVID-19).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

For all recipients, funds may be used for projects that: 1.directly enable work, education, and health monitoring. 2.address a need that results from or was exacerbated by the COVID-19 public health emergency 3.address a critical need of unserved or underserved populations. The following are examples of uses that are likely eligible: Investments in broadband infrastructure in eligible areas that meet certain speed requirements Investments in digital connectivity technologies, such as devices, public computer facilities, and public wi-fi infrastructure that facilitate Internet accessOther capital projects that meet program requirements

Applicant Eligibility:

State; U.S. Territories and possessions; Federally Recognized Indian Tribal Governments; States (defined to include the District of Columbia and Puerto Rico), U.S. Territories and freely associated states (United States Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau), and Tribal governments, as defined by Section 602(g) of the Social Security Act.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Minority group; Small business; Profit organization; Private nonprofit institution/organization; Anyone/general public; Native American Organizations; Student/Trainee; Builder/Contractor/Developer; Homeowner; Land/Property Owner; Households, businesses, nonprofit institutions/organizations, and other key public institutions in the eligible entities jurisdictions that may utilize the capital assets financed under the CPF.

Credentials/Documentation:

Eligible entities must provide contact information and certify and submit an Application within 90 days of Treasury launching its application portal. Prior to receiving funds, applicants must complete and execute a Grant Agreement and then submit additional program information. All program information must be submitted within 365 days of Treasury launching its application portal.The Application, Grant Agreement, and additional program information will be completed and submitted to Treasury via its online application portal at <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/capital-projects-fund>. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible entities must, within the deadlines discussed above, submit a Notice of Intent to Apply and an Application including payment information and other specific requirements described in Treasury's guidance. Eligible entities must also execute a Grant Agreement in order to receive payment under the CPF. Applicants must comply with relevant sections of the 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200), see Compliance Requirements section below.

Award Procedure:

Treasury will make payments to eligible entities that provide the required

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information to Treasury within the provided timeframe. Applicants must explain the intended uses for their grant funding and agree to various terms. Total award amounts available for eligible entities are established by a statutory formula. Treasury will post maximum available funding allocations for each eligible entity on its website at <https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/capital-projects-fund>. Treasury will establish payment schedules with individual applicants in executed Grant Agreements. Payments may be made in single or multiple tranches.

Deadlines:

Eligible entities must provide contact information and certify and submit an Application within 90 days of Treasury launching its application portal.

Eligible entities must also submit additional information within 365 days of Treasury launching its application portal.

Any eligible entity that fails to meet the deadlines listed above will become ineligible for funding from the CPF.

A Grant Agreement must also be signed prior to fund disbursement.

The Application and Grant Agreement will be completed and submitted to Treasury via its online application portal at <https://portal.treasury.gov/>

Treasury's webpage will be updated when the application portal opens, and eligible applicants are encouraged to check the webpage frequently.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects must expend funds by December 31, 2026. Method of awarding/releasing assistance: other

Reports:

Further guidance and instructions regarding program reporting will be provided to recipients at a later date. Currently anticipated program reporting is as follows: Eligible entities will be required to submit quarterly reports until December 31, 2026 or until all project funds have been expended and projects have reached substantial completion, whichever occurs first. The reports will include financial data, information on contracts and subawards over \$50,000, types of projects funded, and other information regarding a recipients utilization of the award funds. Following substantial completion, certain reporting may continue on an annual basis for five years to ensure that projects funded by CPF maintain operations. Recipients will also be required to submit an annual program report to Treasury regarding the impact of the CPF program. Performance data will be reported in the program report and more frequently, if appropriate. Program reports and performance data will begin at the time of application approval and will continue on an annual basis until five years following substantial completion.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR Part 200, Subpart F - Audit Requirements, nonfederal entities that spend \$750,000 or more in Federal awards are subject to a single or a program-specific audit for its fiscal year in which it expended the Federal award funds. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Financial records, supporting documents, and all other records pertinent to the award must be retained by the recipients in accordance with 2 CFR 200.334.

Account Identification:

20-1896-0-1-806.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est (\$1,694,967,296.00); Formula Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est (\$1,994,967,296.00); Formula Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$50,000,000.00; Formula Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

The range of assistance provided is determined by the applicant type, subject to allocation limits based on the statutory formula.

TAFS Codes:

20-1896.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

United States Department of the Treasury Attn: Capital Projects Fund,
1500 Pennsylvania Avenue NW,
Washington DC 20220
Email: CapitalProjectsFund@treasury.gov
Phone: 202-622-2000
Fax:

Website Address:

<https://home.treasury.gov/policy-issues/coronavirus/assistance-for-state-local-and-tribal-governments/capital-projects-funds>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2022 Recipients may use CPF funds to carry out capital projects that (1) directly enable work, education, and health monitoring; (2) address a need that results from or was exacerbated by the COVID-19 public health emergency; and (3) address a critical need of unserved or underserved populations. Examples include: Investments in broadband infrastructure in eligible areas that meet certain speed requirements. Investments in digital connectivity technologies, such as devices, public computer facilities, and public wi-fi infrastructure that facilitate Internet access. Other capital projects that meet the program requirements.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Title VII of the Civil Rights Act of 1964 (Public Law 88-352), as amended, 42 U.S.C. section 2000e et seq., Title VII of the Civil Rights Act of 1964 (Public Law 88-352), as amended, 42 U.S.C. section 2000e et seq., Title Title VII of the Civil Right Act of 1964, Part Title VII, , Public Law 88-352, Statue 78-241, 42 USC Code 2000e.

OBJECTIVES:

Title VII prohibits employment discrimination against applicants or employees

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based on race, color, religion, sex (including pregnancy, gender identity, and sexual orientation), and national origin. Title VII also prohibits retaliation against applicants or employees who oppose employment practices that discriminate based on race, color, religion, sex or national origin, or filing an employment discrimination charge, or testify, or participate in any way in an investigation, proceeding, or litigation under Title VII.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Federal Employment, Investigation of Complaints

USES AND USE RESTRICTIONS:

Individuals must file a charge or complaint of unlawful employment practice(s), which may be filed in person, online, by mail or by fax. An allegation must be in writing, signed and/or notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOC's website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the federal government must be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOC's website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under OMB Circular No. A87.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Minority group; Profit organization; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Any aggrieved individual, or any individual, organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title VII, as amended, has been committed by an employer with 15 or more employees, a state or local government entity, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, including on-the-job training programs. Any aggrieved individual who believes he or she has been retaliated against for opposing employment practices that discriminate, or who testifies or participates in any way in an investigation, proceeding, or litigation under Title VII.

Beneficiary Eligibility:

Individual/Family; Minority group; Anyone/general public; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Refugee/Alien; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Youth (16-21); Senior Citizen (60+); Pension Recipient; Applicants, current employees, or former employees of the named respondent(s) who have been subjected to discriminatory employment practices based on race, color, religion, sex (including pregnancy, gender identity and sexual orientation) , or national origin by the named respondent(s), and/or who have been subjected to retaliation for opposing discrimination or participating in a Title VII investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made online, in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons at all field offices of the Commission. Individuals may also consult EEOC's website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Federal employees and job applicants have a different complaint process and generally must contact an agency EEO Counselor within 45 days. The time limit can be extended under certain circumstances. Complaints against the federal government must be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOC's website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed online, in person, by mail or by fax at the nearest field office of the EEOC. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact an agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of employment discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer. The charge must also be verified either by oath or signed under penalty of perjury.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Title VII requires that charges be filed within 180 days of the date of the alleged violation or, within 300 days if the charge is also covered by a state or local anti-discrimination law and there is a state or local entity with the authority to enforce the law. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under Title VII, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation. Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

Advisory Services and Counseling FY 20 \$389,500,000.00; FY 21 est \$404,489,000.00; and FY 22 est \$445,933,000.00; Investigation of Complaints FY 20 \$389,500,000.00; FY 21 est \$404,489,000.00; and FY 22 est \$445,933,000.00; Federal Employment FY 20 \$389,500,000.00; FY 21 est \$404,489,000.00; and FY 22 est \$445,933,000.00;

Range and Average of Financial Assistance:

Not applicable

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The EEOC successfully combated and prevented employment discrimination through the strategic application of the EEOC's law enforcement authorities by ensuring that private sector complaints and charges are handled promptly and efficiently. In fiscal year 2020, the EEOC continued to prioritize reaching a manageable and sustainable private sector inventory to focus on charges with merit and provide improved customer service. The results of this focus on the private sector inventory achieved a reduced charge workload of 3.7 percent to 41,951, which builds on the 12.1 percent decline in inventory realized in fiscal year 2019 and is the lowest pending inventory achieved by the agency in over 14 years. As a result of Commission staff having devoted additional time and resources to meritorious cases, the merit factor rate in private sector increased to 17.4% while obtaining approximately \$333.2 million for victims of employment discrimination in private sector and state and local government workplaces through mediation, conciliation, and settlements. The agency's mediation program achieved 6,272 successful mediations resulting in over \$156.6 million in benefits to charging parties, and meritorious charges were resolved with a 43.6% successful conciliation rate and with targeted, equitable relief (TER) obtained in 92.9% of the conciliation agreements. Technological innovation continued to be a significant contributor to efficiencies in our interactions with the public and in our internal processes, with the continued refinement of the Digital Charge System, which saw more than 122,775 inquiries initiated through the systems online intake and appointment scheduling component. In fiscal year 2020, the EEOC received almost 470,000 calls to its toll-free number, more than 37,300 emails, and over 187,000 inquiries in field offices. Overall, this resulted in 67,448 charges of employment discrimination being filed with the EEOC. Fiscal Year 2021: Final data for the current FY 2021 is not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A significant number of publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact the EEOC at (202) 663-4191. Regulations include: 29 CFR 1601, Procedural Regulations and Guidelines; 29 CFR 1602, Recordkeeping and Reporting Requirements under Title VII and the ADA; 29 CFR 1604, Guidelines on Discrimination Because of Sex; 29 CFR 1605, Guidelines on Discrimination Because of Religion; 29 CFR 1606, Guidelines on Discrimination Because of National Origin. Enforcement Guidances and policy documents include: Unlawful Disparate Treatment of Workers with Caregiving Responsibilities; Vicarious Employer Liability for Unlawful Harassment by Supervisors; Application of EEO Laws to Contingent Workers Placed by Temporary Employment Agencies and Other Staffing Firms; Sex Discrimination in the Compensation of Sports Coaches in Educational Institutions. Compliance Manual: Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation; Section 10: Compensation Discrimination; Section 12: Religious Discrimination; Section 13: National Origin Discrimination; Section 15: Race and Color Discrimination. Technical assistance documents, fact sheets, and other relevant material include: Best Practices for Eradicating Religious Discrimination in the Workplace; Commission Decision on Coverage of Contraception; Employer Best Practices for Workers with Caregiving Responsibilities; Employment Tests and Selection Procedures; The Family and Medical Leave Act, the Americans with Disabilities Act, and Title VII of the Civil Rights Act of 1964; Questions and Answers About Employer Responsibilities Concerning the Employment of Muslims, Arabs, South Asians, and Sikhs; Questions and Answers About the Workplace Rights of Muslims, Arabs, South Asians, and Sikhs Under the Equal Employment Opportunity Laws; Fact Sheet: Employment Discrimination Based on Religion, Ethnicity, or Country of Origin; Fact Sheet: National Origin Discrimination; Fact Sheet: Race/Color Discrimination; Fact Sheet: Religious Discrimination; Fact Sheet: Sexual Harassment Discrimination.

Regional or Local Office:

See Regional Assistance Locations. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Communication and Legislative Affairs,
131 M. Street NE,

Washington DC 20507

Email: info@eeoc.gov

Phone: 1-800-669-4000 (voice)/1-800-669-6820 (TTY)/1-844-234-5122 (ASL Video Phone)

Fax:

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 16.101 Equal Employment Opportunity; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 93.001 Civil Rights and Privacy Rule Compliance Activities; 30.008 Employment Discrimination_Age Discrimination in Employment; 16.200 Community Relations Service; 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act; 30.010 Employment Discrimination Equal Pay Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.005 Employment Discrimination Private Bar Program

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Civil Rights Act of 1964,

OBJECTIVES:

a) To assist individuals who have filed a charge with the Commission, or on whose behalf a charge has been filed, in contacting members of the private bar; (b) to provide technical assistance to aggrieved individuals and their attorneys in Title VII, Equal Pay Act, Age Discrimination in Employment Act, Americans with Disabilities Act, and Genetic Information Nondiscrimination Act cases; (c) to establish the attorney referral mechanism; and (d) to coordinate the strategies of the private bar with those of the Commission.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

Upon request, provide assistance to individuals in locating lawyers who are prepared to litigate Title VII, Americans with Disabilities Act, Equal Pay Act, Genetic Information Nondiscrimination Act and Age Discrimination in Employment Act issues.

Applicant Eligibility:

Individual/Family; Any individual who has filed a charge with the Commission, or on whose behalf a charge has been filed.

Beneficiary Eligibility:

Individual/Family; Any individual who has filed a charge with the Commission, or on whose behalf a charge has been filed.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for assistance may be made in writing or orally to nearest EEOC District Office listed in Appendix IV of the Catalog.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. None

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

Provision of Specialized Services FY 20 \$389,500,000.00; FY 21 est \$404,490,000.00; FY 22 est \$445,933,000.00;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EEOC Compliance Manual, Section 81 - Private Bar Referral Program.

Regional or Local Office:

See Regional Assistance Locations. See map of listed field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC District Offices (see Appendix IV of the Catalog for addresses).

Headquarters Office:

Office of General Counsel Jerome Scanlan,
131 M Street, NE,

Washington DC 20507

Email: info@eeoc.gov

Phone: 202-663-4719

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964;
30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 30.008 Employment Discrimination_Age Discrimination in Employment; 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act; 30.010 Employment Discrimination Equal Pay Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.008 Employment Discrimination Age Discrimination in Employment

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Age Discrimination in Employment Act of 1967,(P.L. 90-202), as amended, 29 U.S.C. section 621 et seq. , Public Law 90-202, Statue 81-602, 29 USC Code 621.

OBJECTIVES:

The Age Discrimination in Employment Act (ADEA) prohibits discrimination based on age (40 or older) with respect to any term, condition, or privilege of employment, including hiring, firing, promotion, layoff, compensation, benefits, job assignments, training and harassment. The ADEA also prohibits retaliation against an individual for opposing employment practices that discriminate based on age or for filing an age discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under the ADEA.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Federal Employment, Investigation of Complaints

USES AND USE RESTRICTIONS:

Individuals 40 years of age or older are protected from age discrimination by employers with 20 or more employees, all state and local governments, employment agencies, labor organizations and the federal government. It is unlawful for covered employers to post advertisements indicating any preference, limitation, or specification based on age. Limited exceptions apply: in situations in which age is a bona fide occupational qualification reasonably necessary to the normal operation of the business; in situations in which the differentiation is based on reasonable factors other than age; or if an otherwise prohibited practice is in observance of the terms of a bona fide seniority system or employee benefit plan (except that no such seniority system or employee benefit plan shall excuse the failure to hire, or require or permit the involuntary retirement of any covered individual). Exemptions and exceptions also apply for highly compensated employees, public officials, firefighters and law enforcement officers. The EEOC Public Portal, available at

<https://publicportal.eeoc.gov/portal/Login.aspx?ReturnUrl=%2fportal%2f>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claims of discrimination, harassment or retaliation. If a charge is filed, mediation may be offered to the parties involved. If mediation is not used or is not successful further investigation may ensue. The Commission will attempt to eliminate any alleged unlawful practice by informal methods of conciliation, conference and persuasion. If conciliation fails, the charging party will be notified that he or she can file a civil action within 90 days. If the Commissions proceedings with regard to a charge are terminated, the Commission will notify the complainant who shall then have the right to bring a civil action within 90 days. A federal government applicant or employee who believe that his or her employment rights have been violated under this statute and wants to make a claim against a federal agency must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Any aggrieved individuals age 40 and over, or any individual, organization, or agency filing on behalf of an aggrieved individual who has reason to believe that a covered employer has committed an unlawful employment practice within the meaning of the ADEA, as amended.

Beneficiary Eligibility:

Individual/Family; Senior Citizen (60+); Applicants, current employees, or former employees of the named respondent(s) who are age 40 or older and who have been subjected to unlawful employment practices based on age by the named respondent(s), and/or who have been subjected to retaliation for opposing age discrimination, filing a charge of discrimination, or participating in an ADEA investigation, proceeding, or litigation.

Credentials/Documentation:

An allegation of unlawful employment practice(s) may be made online, in person,

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by mail or by fax. A charge must be in writing, signed, and notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the federal government should be filed at the relevant agency's EEO office, after contacting an EEO Counselor. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency.. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A charge may be filed by any aggrieved individual or by any organization, i.e. labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. The charges filing process may be initiated online, in person, by mail or by fax at the nearest field office of the EEOC. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The ADEA requires charges to be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law and a state or local entity enforcing the law, or within 30 days after the receipt of notice of termination of state proceedings, whichever is earlier. A civil action may be commenced at any time 60 days after a charge has been filed with the EEOC. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. If the charging party files an ADEA lawsuit, EEOC usually stops its investigation. Federal employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

Investigation of Complaints FY 20 \$389,500,000.00; FY 21 est \$404,490,000.00; FY 22 est \$445,933,000.00;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The EEOC successfully combated and prevented employment discrimination through the strategic application of the EEOC's law enforcement authorities by ensuring that private sector complaints and charges are handled promptly and efficiently. In fiscal year 2020, the EEOC continued to prioritize reaching a manageable and sustainable private sector inventory to focus on charges with merit and provide improved customer service. The results of this focus on the private sector inventory achieved a reduced charge workload of 3.7 percent to 41,951, which builds on the 12.1 percent decline in inventory realized in fiscal year 2019 and is the lowest pending inventory achieved by the agency in over 14 years. As a result of Commission staff having devoted additional time and resources to meritorious cases, the merit factor rate in private sector increased to 17.4% while obtaining approximately \$333.2 million for victims of employment discrimination in private sector and state and local government workplaces through mediation, conciliation, and settlements. The agency's mediation program achieved 6,272 successful mediations resulting in over \$156.6 million in benefits to charging parties, and meritorious charges were resolved with a 43.6% successful conciliation rate and with targeted, equitable relief (TER) obtained in 92.9% of the conciliation agreements. Technological innovation continued to be a significant contributor to efficiencies in our interactions with the public and in our internal processes, with the continued refinement of the Digital Charge System, which saw more than 122,775 inquiries initiated through the systems online intake and appointment scheduling component. In fiscal year 2020, the EEOC received almost 470,000 calls to its toll-free number, more than 37,300 emails, and over 187,000 inquiries in field offices. Overall, this resulted in 67,448 charges of employment discrimination being filed with the EEOC.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available for downloading on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOCs Office of Communications and Legislative Affairs at (202) 663-4191 or (202) 663-4494 (TTY). Regulations: 29 C.F.R Part 1625, Age Discrimination in Employment Act; 29 C.F.R Part 1626, Procedures Age Discrimination in Employment Act; 29 C.F.R Part 1627, Records to be made or kept relating to age; notices to be posted: administrative exemptions Policy documents and fact sheets include: Compliance Manual Section 2: Threshold Issues; Compliance Manual Section 3: Employee Benefits; Compliance Manual Section 8: Retaliation; Compliance Manual Section 10: Compensation Discrimination; Fact Sheet: Age Discrimination

Regional or Local Office:

See Regional Assistance Locations. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC Offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Communications and Legislative Affairs Equal Employment Opportunity Commission,
131 M Street, NE,
Washington DC 20507
Email: info@eeoc.gov
Phone: 202-663-4191
Equal Employment Opportunity Commission,
131 M. Street NE,
Washington DC 20507
Email: info@eeoc.gov
Phone: 1-800-669-4000
Fax:

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 16.101 Equal Employment Opportunity; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 93.001 Civil Rights and Privacy Rule Compliance Activities; 16.200 Community Relations Service; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 30.010 Employment Discrimination Equal Pay Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.010 Employment Discrimination Equal Pay Act

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Equal Pay Act of 1963, (P.L. 88-38), as amended, 29 U.S.C. section 206(d), Title Title 29, Section 206(d), Public Law 88-88-38, 29 USC Code 206(d).

OBJECTIVES:

The Equal Pay Act (EPA) prohibits an employer from paying unequal wages to men and women who perform jobs that require substantially equal skill, effort and responsibility, under similar working conditions within the same establishment. The EPA also prohibits retaliation against applicants or employees who oppose compensation practices that discriminate based on sex or who file a discrimination charge, testify, or participate in any way in an investigation, proceeding, or litigation under the EPA.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Federal Employment, Investigation of Complaints

USES AND USE RESTRICTIONS:

Employers engaged in commerce or in the production of goods for commerce (i.e. subject to The Fair Labor Standards Act of 1938, as amended, 29 U.S.C. 201, et seq.), including the federal government, and state and local governments, are prohibited from paying unequal wages to men and women performing jobs that require substantially equal skill, effort and responsibility, under similar working conditions, and within the same establishment. Labor organizations representing employees of a covered employer are prohibited from causing or attempting to cause employers to violate the Act. Exceptions are permitted only where payment is made pursuant to a seniority system, a merit system, a system that measures earnings by quantity or quality of production, or a differential based on any other factor other than sex. The EEOC Public Portal, available at <https://publicportal.eeoc.gov/portal/Login.aspx?ReturnUrl=%2fportal%2f>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claim of wage discrimination or retaliation. The Commission may investigate allegations of violations of the Act and may initiate and conduct litigation. A federal government applicant or employee who believes that his or her employment rights have been violated under this statute and wants to make a claim against a federal agency must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Individual/Family; Anyone/general public; Any aggrieved individual, or any individual, organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that a covered employer has committed an unlawful employment practice within the meaning of the EPA. An employee who believes he or she has been retaliated against for opposing compensation practices that discriminate based on sex or who files a discrimination charge, testifies, or participates in any way in an investigation, proceeding, or litigation under the EPA.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Applicants, current employees, or former employees of the named respondent (s) who have been subjected to unlawful compensation practices based on gender by the named respondent (s), and/or who have been subjected to retaliation for filing a charge of discrimination, for opposing gender-based compensation discrimination or participating in an EPA investigation, proceeding, or for litigation.

Credentials/Documentation:

The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit (see section on Deadlines 095). An allegation of unlawful employment practice(s) may be made online, in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the Federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office. Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit (see section on the range of approval/disapproval times). A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest EEOC field office. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. The time limit for filing an EPA charge with the EEOC and the time limit for going to court are the same: within two years of the alleged unlawful compensation practice or in the case of a willful violation, within three years. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit. (Title VII requires that charges be filed within 180 days of the date of the alleged violation, or within 300 days if the charge is also covered by a state or local anti-discrimination law and there is a state or local entity with authority to enforce the law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier.) A charging party may file a lawsuit within 90 days after

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receiving a notice of a right to sue from EEOC, as stated above. Under Title VII, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation. Federal employees must generally contact the agencies EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Other. None

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

Investigation of Complaints FY 20 \$389,500,000.00; FY 21 est \$404,490,000.00; FY 22 est \$445,933,000.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The EEOC successfully combated and prevented employment discrimination through the strategic application of the EEOC's law enforcement authorities by ensuring that private sector complaints and charges are handled promptly and efficiently. In fiscal year 2020, the EEOC continued to prioritize reaching a manageable and sustainable private sector inventory to focus on charges with merit and provide improved customer service. The results of this focus on the private sector inventory achieved a reduced charge workload of 3.7 percent to 41,951, which builds on the 12.1 percent decline in inventory realized in fiscal year 2019 and is the lowest pending inventory achieved by the agency in over 14 years. As a result of Commission staff having devoted additional time and resources to meritorious cases, the merit factor rate in private sector increased to 17.4% while obtaining approximately \$333.2 million for victims of employment discrimination in private sector and state and local government workplaces through mediation, conciliation, and settlements. The agency's mediation program achieved 6,272 successful mediations resulting in over \$156.6 million in benefits to charging parties, and meritorious charges were resolved with a 43.6% successful conciliation rate and with targeted, equitable relief (TER) obtained in 92.9% of the conciliation agreements. Technological innovation continued to be a significant contributor to efficiencies in our interactions with the public and in our internal processes, with the continued refinement of the Digital Charge System, which saw more than 122,775 inquiries initiated through the systems online intake and appointment scheduling component. In fiscal year 2020, the EEOC received almost 470,000 calls to its toll-free number, more than 37,300 emails, and over 187,000 inquiries in field offices. Overall, this resulted in 67,448 charges of employment discrimination being filed with the EEOC.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOC at (202) 663-4191. Regulations include: 29 CFR 1620, Equal Pay Act Interpretive Regulations; 29 CFR 1621, Equal Pay Act Procedural Regulations; 29 CFR 1604,

Guidelines on Discrimination Because of Sex. Compliance Manual: Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation; Section 10: Compensation Discrimination. EEOC-ESA Memorandum of Understanding Providing for Cross-Training, Referrals and Information Sharing on Compensation Discrimination Cases.

Regional or Local Office:

See Regional Assistance Locations. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC Offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission Equal Employment Opportunity Commission,

131 M Street, NE,
Washington DC 20507
Email: info@eeoc.gov

Phone: 202-663-4191/663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 16.101 Equal Employment Opportunity; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 93.001 Civil Rights and Privacy Rule Compliance Activities; 30.008 Employment Discrimination_Age Discrimination in Employment; 16.200 Community Relations Service; 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.011 Employment Discrimination Title I of The Americans with Disabilities Act

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

Americans with Disabilities Act, Title I & V, as amended Public Law 101-336, Public Law 110-325 42, U.S.C. 12101-12117; 12201-12213; Section 501 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. 791-794a,

OBJECTIVES:

The Americans with Disabilities Act (ADA) prohibits employment discrimination against applicants or employees based on disability. The ADA also prohibits retaliation against an individual for opposing employment practices that discriminate based on disability or for filing a discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under the ADA. The Rehabilitation Act provides federal employees with the same protections.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Federal Employment, Investigation of Complaints

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of disability by employers with 15 or more employees, state and local governments, employment agencies, and labor organizations. Federal employees and applicants are covered under section 501 of the Rehabilitation Act of 1973, which applies the same standards as the ADA. The EEOC Public Portal, available at <https://publicportal.eeoc.gov/portal/Login.aspx?ReturnUrl=%2fportal%2f>, enables individuals to determine whether EEOC is the appropriate agency to contact

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regarding their specific claims of discrimination, harassment or retaliation. Charges of discriminatory employment practices can be filed by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, further investigation may ensue. If after its investigation, the Commission does not find reasonable cause, the charging party is issued a right to sue letter and may file a lawsuit within 90 days. If the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a state or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue a right to sue letter to the charging party who may then file a civil action in federal court within 90 days. If conciliation fails on a charge against a state or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter. A Federal employment applicant or employee who believes that his or her employment rights have been violated under Section 501 of the Rehabilitation Act must file a complaint with that agency and follow the procedures set forth at 29 C.F.R. part 1614.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Any aggrieved individual, or any individual, or any organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title I of the ADA has been committed by an employer with 15 or more employees, including state or local governments, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, including on-the-job training programs. Any aggrieved individual who believes he or she has been retaliated against for opposing employment practices that discriminate based on disability or who files an ADA charge, testifies, or participates in any way in an investigation, proceeding, or litigation under the ADA. Federal employees are protected from disability discrimination under section 501 of the Rehabilitation Act.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Applicants, for employment, current employees, and former employees of the named respondent(s) in a charge who have been subjected to unlawful employment practices based on disability by the named respondent (s), and/or who have been subjected to retaliation for filing a charge of discrimination, for opposing disability discrimination or for participating in an ADA or Rehabilitation Act investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made online, in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet State or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Complaints against the Federal government should be filed at the relevant agencies EEO office. Each agency is required to post information about how to contact the agencies EEO office. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an entity or on behalf of an aggrieved individual. The charges filing process may be initiated online, in person, by mail or by fax at the nearest field office of the EEOC. Complaints against the federal government should be filed at the relevant agencies EEO office. Each agency is required to post information about how to contact the agencies EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer. The charge must also be verified; in some circumstances verification can relate back to the date that the charge was filed.

Deadlines:

The ADA requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. Persons can also ask for a notice of right to sue before EEOC finishes its investigation. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under the ADA, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation.

Range of Approval/Disapproval Time:

Other. Individuals are protected from discrimination on the basis of disability by employers with 15 or more employees, state and local governments, employment agencies, and labor organizations. Federal employees and applicants are covered under section 501 of the Rehabilitation Act of 1973, which applies the same standards as the ADA. The EEOC Public Portal, available at <https://publicportal.eeoc.gov/portal/Login.aspx?ReturnUrl=%2fpportal%2f>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claims of discrimination, harassment or retaliation. Charges of discriminatory employment practices can be filed by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, further investigation may ensue. If after its investigation, the Commission does not find reasonable cause, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a state or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue right to sue letter to the charging party who may then file a civil action in federal court with 90 days. If conciliation fails on a charge against a state of local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter.

Appeals:

Other. None

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

Investigation of Complaints FY 20 \$389,500,000.00; FY 21 est \$404,490,000.00; FY 22 est \$445,933,000.00;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The EEOC successfully combated and prevented employment discrimination through the strategic application of the EEOC's law enforcement authorities by ensuring that private sector complaints and charges are handled promptly and efficiently. In fiscal year 2020, the EEOC continued to prioritize reaching a manageable and sustainable private sector inventory to focus on charges with merit and provide improved customer service. The results of this focus on the private sector inventory achieved a reduced charge workload of 3.7 percent to 41,951, which builds on the 12.1 percent decline in inventory realized in fiscal year 2019 and is the lowest pending inventory achieved by the agency in over 14 years. As a result of Commission staff having devoted additional time and resources to meritorious cases, the merit factor rate in private sector increased to 17.4% while obtaining approximately \$333.2 million for victims of employment discrimination in private sector and state and local government workplaces through mediation, conciliation, and settlements. The agency's mediation program achieved 6,272 successful mediations resulting in over \$156.6 million in benefits to charging parties, and meritorious charges were resolved with a 43.6% successful conciliation rate and with targeted, equitable relief (TER) obtained in 92.9% of the conciliation agreements. Technological innovation continued to be a significant contributor to efficiencies in our interactions with the public and in our internal processes, with the continued refinement of the Digital Charge System, which saw more than 122,775 inquiries initiated through the systems online intake and appointment scheduling component. In fiscal year 2020, the EEOC received almost 470,000 calls to its toll-free number, more than 37,300 emails, and over 187,000 inquiries in field offices. Overall, this resulted in 67,448 charges of employment discrimination being filed with the EEOC.

REGULATIONS, GUIDELINES, AND LITERATURE:

A large number of publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOC at (202) 663-4191. Regulations include those implementing the employment provisions of the Americans with Disabilities Act (ADA), 29 CFR 1630. In addition to these regulations, the website also contains a range of guidances and resource documents that explain various parts of the ADA. These include resource documents addressing various disabilities (include blindness, deafness, intellectual disabilities, and psychiatric disabilities). Also available are publications on reasonable accommodation issues, the timing and scope of employer medical questions and examinations, the overlap between the ADA, FMLA and workers compensation, and the application of the ADA to job applicants and contingent workers.

Regional or Local Office:

See Regional Assistance Locations. See map of field offices available at <http://www.eeoc/field/index.cfm>. EEOC Offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Communications and Legislative Affairs Equal Employment Opportunity Commission,

131 M Street, NE,
Washington DC 20507

Email: info@eeoc.gov

Phone: 202-663-4191/202-663-4494(TTY)

Equal Employment,

131 M. Street NE,
Washington DC 20507

Email: info@eeoc.gov

Phone: 1-800-669-4000 (voice)/1-800-669-6820 (TTY)/1-844-234-5122 (ASL Video Phone)

Fax:

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 16.101 Equal Employment Opportunity; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008; 17.301 Non-Discrimination and Affirmative Action by Federal

Contractors and Federally Assisted Construction Contractors; 93.001 Civil Rights and Privacy Rule Compliance Activities; 30.008 Employment Discrimination Age Discrimination in Employment; 16.200 Community Relations Service; 30.010 Employment Discrimination Equal Pay Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008

GINA Title II,

FEDERAL AGENCY:

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

AUTHORIZATION:

The Genetic Information Nondiscrimination Act of 2008, Title II, , Public Law 110-233, 42 USC Code 2000ff. Statute 122-881, Public Law 10-233, 42 USC Code 2000ff.

OBJECTIVES:

Title II of GINA prohibits the use of genetic information in making employment decisions, restricts employers and other entities covered by Title II (employment agencies, labor organizations and joint labor-management training and apprenticeship programs) from requesting, requiring or purchasing genetic information, and strictly limits the disclosure of genetic information. Title II of GINA also prohibits retaliation against applicants or employees for filing a charge of discrimination, participating in a discrimination proceeding (such as a discrimination investigation or lawsuit), or otherwise opposing discrimination. Genetic information includes information about an individual's genetic tests and the genetic tests of an individual's family members, as well as information about the manifestation of a disease or disorder in an individual's family members (i.e. family medical history). Genetic information also includes an individual's request for, or receipt of, genetic services, or the participation in clinical research that includes genetic services by the individual or a family member of the individual, and the genetic information of a fetus carried by an individual or by a pregnant woman who is a family member of the individual and the genetic information of any embryo legally held by the individual or family member using an assisted reproductive technology.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Federal Employment, Investigation of Complaints, Salaries and Expenses

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of genetic information by employers with 15 or more employees, federal agencies, employment agencies, labor organizations, and joint labor-management training and apprenticeship programs. The EEOC has an assessment tool, available at <https://publicportal.eeoc.gov/portal/Login.aspx?ReturnUrl=%2fportal%2f>, to enable individuals to determine whether EEOC is the appropriate agency to contact regarding discrimination, harassment, or retaliation at the hands of a private sector employer or state or local government. Charges of discriminatory employment practices by a private sector employer or a state or local government can be filed by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, an investigation may ensue. If, after its investigation, the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a state or local government, the Commission may bring a civil action against the respondent(s) named in the charge or issue a right to sue letter to the party who filed the charge, giving him or her the right to file a civil action in federal court. If conciliation fails on a charge against a state or local government, EEOC refers the case to the

ASSISTANCE LISTINGS DESCRIPTIONS

Department of Justice for consideration of litigation or issuance of a right to sue letter. If based upon its investigation, the EEOC is unable to conclude that the information obtained establishes violations of the statutes, the charge is dismissed and the charging party is issued a Notice of Right to Sue. This does not certify that the respondent is in compliance with the statutes, investigation, proceeding, or litigation.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Minority group; Profit organization; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Any aggrieved individual, or any individual, labor union, association, legal representative, or organization filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title II of GINA has been committed by an employer, federal agency, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, or on-the-job training programs. Any aggrieved individual who believes that he or she has been retaliated against for opposing employment practices that discriminate on the basis of genetic information or who files a charge of discrimination, testifies, or participates in any way in an investigation, proceeding, or litigation under Title II of GINA.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Applicants, current employees, or former employees of the named respondent(s) who have been subjected to employment practices based on genetic information by the named respondent(s), and/or who have been subjected to retaliation for filing a charge of discrimination, opposing discrimination or participating in a Title II of GINA investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made online, in person, by mail, or by fax. An allegation must be in writing, signed, and verified; the charge may also need to be notarized when required by state or local laws. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from field offices of the Commission. Individuals may also consult EEOCs website at <http://www.eeoc.gov/employees/howtofile.cfm> for detailed information about filing a charge. Federal government applicants or employees should consult EEOCs website at http://www.eeoc.gov/federal/fed_employees/complaint_overview.cfm for details about filing an employment discrimination complaint against a federal agency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed online, in person, by mail, or by fax at the nearest field office of the EEOC. Complaints against the federal government should be filed at the relevant agency's EEO office. Each agency is required to post information about how to contact the agency's EEO office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Title II of GINA requires that charges be filed within 180 days of the date of

the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days of receipt of notice of termination of state or local proceedings, whichever is earlier. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from the EEOC. Under Title II of GINA, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation. Federal job applicants or employees must generally contact the agency's EEO Counselor within 45 days from the date the discrimination occurred.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title The Genetic Information Nondiscrimination Act of 2008, Chapter 29, Part 1635, Subpart N/A, Public Law 110 Stat.233; 42 U.S.C.

2000ff. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Applicable

Account Identification:

45-0100-0-1-751.

Obligations:

Salaries and Expenses FY 20 \$389,500,000.00; FY 21 est \$404,490,000.00; FY 22 est \$445,933,000.00;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

45-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The EEOC successfully combated and prevented employment discrimination through the strategic application of the EEOC's law enforcement authorities by ensuring that private sector complaints and charges are handled promptly and efficiently. In fiscal year 2020, the EEOC continued to prioritize reaching a manageable and sustainable private sector inventory to focus on charges with merit and provide improved customer service. The results of this focus on the private sector inventory achieved a reduced charge workload of 3.7 percent to 41,951, which builds on the 12.1 percent decline in inventory realized in fiscal year 2019 and is the lowest pending inventory achieved by the agency in over 14 years. As a result of Commission staff having devoted additional time and resources to meritorious cases, the merit factor rate in private sector increased to 17.4% while obtaining approximately \$333.2 million for victims of employment discrimination in private sector and state and local government workplaces through mediation, conciliation, and settlements. The agency's mediation program achieved 6,272 successful mediations resulting in over \$156.6 million in benefits to charging parties, and meritorious charges were resolved with a 43.6% successful conciliation rate and with targeted, equitable relief (TER) obtained in 92.9% of the conciliation agreements. Technological innovation continued to be a significant contributor to efficiencies in our interactions with the public and in our internal processes, with the continued refinement of the Digital Charge System, which saw more than 122,775 inquiries initiated through the systems online intake and appointment scheduling component. In fiscal year 2020, the EEOC received almost 470,000 calls to its toll-free number, more than 37,300 emails, and over 187,000 inquiries in field offices. Overall, this resulted in 67,448 charges of employment discrimination

ASSISTANCE LISTINGS DESCRIPTIONS

being filed with the EEOC.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC website (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOC at (202) 663-4191/ (202) 663-4494 (TTY). Regulations include 29 CFR 1635: Regulations under the Genetic Information Nondiscrimination Act of 2008 and 29 CFR 1614: Federal Sector Equal Employment Opportunity. There are no enforcement guidances or policy documents discussing Title II of GINA. Technical assistance documents include: Background Information for EEOC Final Rule on Title II of the Genetic Information Nondiscrimination Act of 2008 and Questions and Answers for Small Businesses: Title II of the Genetic Information Nondiscrimination Act of 2008, both available at <http://www.eeoc.gov/laws/types/genetic.cfm>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Office of Communication and Legislative Affairs,
131 M Street, N.E.,
Washington DC 20507
Email: info@eeoc.gov
Phone: 202-663-4191

Office of Communication and Legislative Affairs,
131 M. Street NE,
Washington DC 20507
Email: info@eeoc.com
Phone: 1-800-669-4000 (voice)/1-800-669-6820 (TTY)/1-844-234-5122 (ASL Video Phone)
Fax:

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

17.700 Women's Bureau; 16.101 Equal Employment Opportunity; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 93.001 Civil Rights and Privacy Rule Compliance Activities; 30.008 Employment Discrimination Age Discrimination in Employment; 30.005 Employment Discrimination Private Bar Program; 16.200 Community Relations Service; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 30.010 Employment Discrimination Equal Pay Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

EXPORT-IMPORT BANK OF THE U.S.

31.007 Export - Loan Guarantee/Insured Loans

Export - Loan Guarantee/Insured Loans,

FEDERAL AGENCY:

EXPORT-IMPORT BANK OF THE U.S.

AUTHORIZATION:

The Export-Import Bank Act of 1945, as amended, 12 U.S.C. 635 et seq.,

OBJECTIVES:

The Export-Import Bank of the United States (Ex-Im Bank or the Bank) is an independent executive agency and a wholly-owned U.S. government corporation. Ex-Im Bank is the official export-credit agency of the United States. Its mission is to support U.S. exports by providing export financing through its loan, guarantee and insurance programs in cases where the private sector is unable or unwilling to provide financing or when such support is necessary to level the playing field due to financing provided by foreign governments to their exporters that compete with

U.S. exporters. By facilitating the financing of U.S. exports, Ex-Im Bank helps companies create and maintain U.S. jobs.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

To finance the export of goods and services made in the United States for the purpose of maintaining and creating U.S. jobs. Goods must comply with applicable U.S. content restrictions. Military or defense items are generally not eligible nor are sales to military buyers. There is no minimum transaction size. Support may not be available in certain countries. Support also may be unavailable for projects that are likely to cause substantial injury to U.S. industry or that would result in the production of substantially the same product that is the subject of specified trade measures.

Applicant Eligibility:

Anyone/general public;

Beneficiary Eligibility:

Small business; Profit organization; Anyone/general public; Small Business Person;

Credentials/Documentation:

Applicants and borrowers who are the direct beneficiaries of Ex-Im Bank Programs and must meet the applicant eligibility requirements, including but not limited to those relating to credit worthiness, financial history, economic feasibility of project, and operating history. Documents must evidence reasonable assurance of repayment. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications, including all necessary attachments, are to be mailed to Export-Import Bank of the United States, 811 Vermont Ave. NW, Washington, D.C. 20571. Applications for short-term and medium-term policies may be submitted electronically through Ex-Im On-line at www.exim.gov. Applicant is notified by authorization letter from Ex-Im Bank and/or the participating bank.

Award Procedure:

Depending on the size and/or nature of the transactions, approvals are granted by Ex-Im Bank staff, the Banks Credit Committee, or the Banks Board of Directors.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Range of Approval/Disapproval time is variable, depending on status and complexity of the transaction

Appeals:

Not Applicable.

Renewals:

Other. Renewals and extensions of awards are possible.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Variable, depending on characteristics of the Transaction. Generally, working capital loans and short term insurance are approved for a one-year period. Loans and guarantees extended under the medium-term loan program typically have repayment terms of one to seven years, while loans and guarantees extended under the long-term loan program usually have repayment terms in excess of seven years. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

ASSISTANCE LISTINGS DESCRIPTIONS

Records:

Accounting records and other transaction documentation and information must be available during term of financing and beyond.

Account Identification:

83-0100-0-1-155.

Obligations:

Guaranteed/Insured Loans FY 08 \$1,513,998,112.00; FY 09 est \$320,130,816.00; and FY 10 est (\$1,079,869,184.00);

Range and Average of Financial Assistance:

There is no minimum or maximum transaction size. Representative range is \$25,000 to \$500,000,000.

TAFS Codes:**PROGRAM ACCOMPLISHMENTS:**

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information is available at the Bank's web site: <http://www.exim.gov>.

Regional or Local Office:

See Regional Assistance Locations. Northeast and Mid-Atlantic Regions New York, NY Tel: (212) 809-2650 Fax: (212) 809-2687 Southeast Regional Office Miami, FL Tel: (305) 526-7436 Fax: (305) 526-7435 Midwest Regional Office Chicago, IL Tel: (312) 353-8081 Fax: (312) 353-8098 Southwest Regional Office Houston, TX Tel: (281) 721-0465 Fax: (281) 679-0156 Western Regional Office Orange County, CA Tel: (949) 660-1341 Fax: (949) 660-9553 San Diego, CA branch Tel: (619) 557-7091 Fax: (619) 557-6176 San Francisco, CA branch Tel: (415) 705-2285 Fax: (415) 705-1156

Headquarters Office:

Trade Advisor,
811 Vermont Ave, NW,
Washington DC 20571
Phone: 1-800-565-3946, option 2

Website Address:

<http://www.exim.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Goods and services financed must meet Ex-Im Bank U.S. content requirements. Ex-Im Bank must find a reasonable assurance of repayment. For more information, see Uses and Restrictions and Eligibility Requirements.

FEDERAL COMMUNICATIONS COMMISSION

32.002 UNIVERSAL SERVICE FUND - HIGH COST

UNIVERSAL SERVICE FUND - HIGH COST,

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

The FCC established the Universal Service Fund (USF) pursuant to section 254 of the Communications Act of 1934, as amended, which requires the preservation and advancement of universal service to be based on certain principles, including access to telecommunications and advanced services for all consumers at just, reasonable and affordable rates. The USF currently consists of four programs that focus on increasing such access for consumers living in high-cost areas, low-income consumers, schools and libraries, and rural health care providers., Title COMMUNICATIONS ACT OF 1934, AS AMENDED, Part 2, Section 254, 47 USC Code 254.

OBJECTIVES:

Universal service has been defined by Congress as an evolving level of telecommunications services . . . taking into account advances in

telecommunications and information technologies and services. 47 U.S.C. 254(c). Universal service support generally is distributed only to eligible telecommunications carriers and must be used only for the provision, maintenance, and upgrading of facilities and services for which the support is intended. 47 U.S.C. 254(e). In addition, policies for the advancement and preservation of universal service must be based on certain principles established by Congress. See 47 U.S.C. 254(b). Consistent with these statutory provisions, the FCC has established the Universal Service Fund, which disburses support for the provision of telecommunications services, as well as other services and facilities (including broadband) for high-cost areas, schools and libraries, rural health care providers, and low-income consumers.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Eligible Telecommunications Carriers are allowed to use the assistance to deploy and maintain broadband and voice networks serving high cost areas.

Applicant Eligibility:

Profit organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be an Eligible Telecommunications Carrier (ETC). Wireless and wireline carriers that are ETCs and that comply with requirements established by the FCC for the High Cost Program.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Beneficiaries are broadband and voice customers located in high cost areas. The USF Administrator maintains the Connect America Fund Map (<https://data.usac.org/publicreports/caf-map/>) which illustrates whether a location is in an eligible area or not, as well as reported deployed locations.

Credentials/Documentation:

Documentation varies depending on the type of program This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Carriers are required to have an eligible telecommunication carrier designation (ETC) awarded either by the state or, if the carrier is outside of state jurisdiction, the FCC. There are other certifications and forms carriers must submit to receive support from certain mechanisms. In other cases, the FCC authorizes or provides notice of carrier support through High Cost Program funds via public notice. Given the complexity of the High Cost program, carriers provide various forms and certifications to receive the funding for which they apply.

Award Procedure:

The FCC defines the award procedure for each fund.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

From 30 to 60 days. Applicants have 60 days to appeal a decision. 47 CFR 54.719.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are various mechanisms within the High Cost Program and availability is dependent on the support term of each program. Method of awarding/releasing

ASSISTANCE LISTINGS DESCRIPTIONS

assistance: other

Reports:

Carriers are required to report progress annually.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Participants are subject to random and risk-based compliance audits and other investigations to ensure compliance with program rules and orders.

Records:

All ETCs must retain records for 10 years. 47 CFR 54.320(b).

Account Identification:

27-5183-0-2-376.

Obligations:

Direct Payments for Specified Use FY 19 \$1,255,016,518.00; FY 20 est \$1,990,323,190.00; FY 21 est (\$569,934,592.00);

Range and Average of Financial Assistance:

2019 SMALLEST = \$22.00; LARGEST = \$74,927,424.00; AVG = \$3,094,877.002020(ESTIMATE) SMALLEST = \$12.00; LARGEST = \$74,927,600.00; AVG = \$1,400,900.002021(ESTIMATE) SMALLEST = \$6.00; LARGEST = \$382,000,000.00; AVG = \$528,000.00

TAFS Codes:

27-5183.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Broadband will be deployed to an estimated 3,904,500 locations

Fiscal Year 2021: Broadband will be deployed to an estimated 3,934,045 locations

REGULATIONS, GUIDELINES, AND LITERATURE:

47 CFR Part 54, as well as various FCC orders and instructions on forms for the program.

Regional or Local Office:

None. Federal Communications Commission, Wireline Competition Bureau

Headquarters Office:

ALEXANDER MINARD,

445 12TH ST SW,

WASHINGTON DC 20554

Email: alexander.minard@fcc.gov

Phone: 2024187400

Fax:

Website Address:

<https://www.fcc.gov/general/universal-service-high-cost-areas-connect-america-fund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funding deployment and maintenance of broadband and voice networks to close the digital divide in high cost areas 2021 Funding deployment and maintenance of broadband and voice networks to close the digital divide in high cost areas

CRITERIA FOR SELECTING PROPOSALS:

The FCC defines criteria based on the goals of the specific fund.

32.003 UNIVERSAL SERVICE FUND - LIFELINE

UNIVERSAL SERVICE - Lifeline,

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

The FCC established the Universal Service Fund (USF) pursuant to section 254 of the Communications Act of 1934, as amended, which requires the preservation and advancement of universal service to be based on certain principles, including access to telecommunications and advanced services for all consumers at just, reasonable and affordable rates. The USF currently consists of four programs that

focus on increasing such access for consumers living in high-cost areas, low-income consumers, schools and libraries, and rural health care providers., Title Communications Act of 1934, as amended, Part 2, Section 254

OBJECTIVES:

Universal service has been defined by Congress as an evolving level of telecommunications services . . . taking into account advances in telecommunications and information technologies and services. 47 U.S.C. 254(c). Universal service support generally is distributed only to eligible telecommunications carriers and must be used only for the provision, maintenance, and upgrading of facilities and services for which the support is intended. 47 U.S.C. 254(e). In addition, policies for the advancement and preservation of universal service must be based on certain principles established by Congress. See 47 U.S.C. 254(b). Consistent with these statutory provisions, the FCC has established the Universal Service Fund, which disburses support for the provision of telecommunications services, as well as other services and facilities (including broadband) for high-cost areas, schools and libraries, rural health care providers, and low-income consumers.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Lifeline support lowers the monthly cost of broadband Internet access services or voice telephony services for eligible consumers.

Applicant Eligibility:

Individual/Family; Anyone/general public; Individuals may apply if their income is at or below 135% of the federal poverty guidelines or if they participate in the following federal assistance programs: Medicaid, Supplemental Nutrition Assistance Program, Supplemental Security Income, Federal Public Housing Assistance, or Veterans Pension and Survivors Benefit; and the following Tribal-specific federal assistance programs: Bureau of Indian Affairs general assistance, Tribally administered Temporary Assistance for Needy Families, Head Start (only those households meeting its income qualifying standard), or the Food Distribution Program on Indian Reservations. Support is available only for one line per household.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Native American Organizations; School; Low Income; Rural; Interstate; Intrastate; Sponsored organization; Minority group; Specialized group (e.g. health professionals, students, veterans); Other private institution/organization; Anyone/general public; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Juvenile Delinquent; Preschool; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Moderate Income; Major Metropolis (over 250,000); Other Urban; Suburban; Education (0-8); Education (9-12); Education (13+);

Credentials/Documentation:

Lifeline subscribers must provide evidence of income or proof of participation in one of the Lifeline-qualifying programs. Consumers must apply through an Eligible Telecommunications Carrier (ETC), state administrator, or the National Verifier where required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

Reimbursement is provided monthly to Eligible Telecommunications Carriers, who claim support for providing discounted Lifeline service to eligible consumers.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Eligible Telecommunications Carriers must submit one reimbursement claim for each month they are claiming support, and may file either quarterly or monthly and up to one year after the data month.

Appeals:

From 30 to 60 days. USAC will not terminate, suspend, reduce, deny, or take other adverse action against an Eligible Telecommunications Carrier seeking reimbursement for providing the Lifeline discount until the carrier is notified in writing of the potential adverse action, and provided an opportunity to contest the planned action. Eligible Telecommunications Carriers have 60 days to appeal a decision of USAC.USAC will not deny a Lifeline application until the applicant is notified in writing and provided 45 days to submit documentation to contest the denial. USAC or the Eligible Telecommunications Carrier will not terminate or suspend a subscribers Lifeline benefits for failure to use the service for 30 days until the individual is notified in writing of the potential adverse action. Subscribers have 15 additional days to use the service or indicated continued interest in receiving their Lifeline service before their Lifeline service is terminated.USAC or the Eligible Telecommunications Carrier will not terminate or suspend a subscribers Lifeline benefits for failure to recertify or reverify their eligibility until the individual is notified in writing of the potential adverse action. Subscribers have 60 days to respond to recertification or reverification efforts before their Lifeline service is terminated.USAC or the Eligible Telecommunications Carrier will not terminate or suspend a subscribers Lifeline benefits for any other reason until the individual is notified in writing of the potential adverse action. Subscribers have 30 days to demonstrate continued eligibility before their Lifeline service is terminated.

Renewals:

From 60 to 90 days. Lifeline subscribers must provide identity information (name, date of birth, address, and last four digits of the Social Security Number or Tribal Identification Number) and must provide evidence of income or proof of participation in one of the Lifeline-qualifying programs if the subscribers eligibility cannot be verified via an eligibility database. Consumers must apply through an Eligible Telecommunications Carrier, state Lifeline administrator, or the National Verifier where required. The National Verifiers online portal is available at www.checklifeline.org.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Eligible telecommunications carriers must submit one reimbursement claim for each month they are claiming support, and may file either quarterly or monthly and up to one year after the data month. Method of awarding/releasing assistance: other

Reports:

Eligible Telecommunications Carriers are required to file the FCC Form 481 and FCC Form 555 annually.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Eligible Telecommunications Carriers that claim support for providing the supported Lifeline service to eligible consumers are subject to random and risk-based compliance audits and other investigations to ensure compliance with program rules and orders.

Records:

Eligible Telecommunications Carriers are required to confirm applicants eligibility or use the National Verifier to confirm eligibility where the National Verifier has fully launched. Pursuant to 47 CFR 54.417, Eligible Telecommunications Carriers are required to retain records to document compliance with all Commission and state Lifeline requirements for as long as the subscriber receives Lifeline service from that Eligible Telecommunications Carrier, but for no less than the three full

preceding calendar years.

Account Identification:

27-5183-0-2-376.

Obligations:

Direct Payments for Specified Use FY 19 \$1,040,806,514.00; FY 20 est \$854,727,326.00; FY 21 est \$780,000,000.00;

Range and Average of Financial Assistance:

Eligible Telecommunications Carriers receive \$9.25 in support for each Lifeline subscriber, and up to an additional \$25 per month for Lifeline subscribers living on Tribal lands.

TAFS Codes:

27-5183.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: An estimated 7.3 Million subscribers will receive support Fiscal Year 2021: An estimated 7.4 Million subscribers will receive support

REGULATIONS, GUIDELINES, AND LITERATURE:

47 C.F.R. Section 54, as well as various FCC orders and instructions on forms for the program.

Regional or Local Office:

None. FEDERAL COMMUNICATIONS COMMISSION, WIRELINE COMPETITION BUREAU (WCB)

Headquarters Office:

JODIE GRIFFIN, DEPUTY CHIEF,
445 12TH ST SW,
WASHINGTON DC 20554
Email: JODIE.GRIFFIN@FCC.GOV
Phone: 2024187400
Fax:

Website Address:

<https://www.fcc.gov/general/lifeline-program-low-income-consumers>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Providing support for broadband Internet access services and voice telephony services for low-income Americans. 2021 Providing support for broadband Internet access services and voice telephony services for low-income Americans.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

32.004 UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES

UNIVERSAL SERVICE - E-RATE,

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

The FCC established the Universal Service Fund (USF) pursuant to section 254 of the Communications Act of 1934, as amended, which requires the preservation and advancement of universal service to be based on certain principles, including access to telecommunications and advanced services for all consumers at just, reasonable and affordable rates. The USF currently consists of four programs that focus on increasing such access for consumers living in high-cost areas, consumers with low-incomes, schools and libraries, and rural health care providers., Title Communications Act of 1934, as amended, Part 2, Section 254, 47 USC Code 254.

OBJECTIVES:

Universal service has been defined by Congress as an evolving level of telecommunications services . . . taking into account advances in telecommunications and information technologies and services. 47 U.S.C. 254(c). Policies for the advancement and preservation of universal service must be based

ASSISTANCE LISTINGS DESCRIPTIONS

on certain principles established by Congress. See 47 U.S.C. 254(b). Consistent with these statutory provisions, the FCC has established the Universal Service Fund, which disburses support for the provision of telecommunications services, as well as other services and facilities (including broadband) for high-cost areas, schools and libraries, rural health care providers, and low-income consumers.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Assistance can be used to support telecommunications services, broadband Internet access, internal network connections, managed internal broadband services, and basic maintenance of internal connections. The FCC issues a list of eligible services each year.

Applicant Eligibility:

Other public institution/organization; Schools must meet the statutory definition of elementary and secondary schools found in the No Child Left Behind Act of 2001. Libraries must meet the statutory definition of library or library consortium found in the Library Services and Technology Act of 1996 and must be eligible for assistance from a state library administrative agency under that Act. Schools and libraries may not operate as for-profit businesses. Schools with endowments exceeding \$50,000,000 are also not eligible.

Beneficiary Eligibility:

Local; Other public institution/organization; Elementary and secondary public and private schools; public, academic, research, and private libraries; consortia of eligible schools and libraries.

Credentials/Documentation:

The following forms are required: FCC Form 470 - Description of Services Requested and Certification Form FCC Form 471 - Description of Services Ordered and Certification Form FCC Form 486 - Receipt of Service Confirmation and Children's Internet Protection Act Certification Form FCC Form 472 - Billed Entity Applicant Reimbursement (BEAR) Form or FCC 474 - Service Provider Invoice (SPI) Form FCC Form 473 - Service Provider Annual Certification (SPAC) Form FCC Form 498 - Service Provider and Billed Entity Identification Number and General Contact Information Form This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants prepare for competitive bidding, request bids on specific services, evaluate bids and select a service provider. Then they submit a funding request. Once approved and services have been delivered, either the applicant or the service provider may initiate invoicing to receive funds. USAC reviews each funding request and invoice to ensure compliance with program rules.

Award Procedure:

Once funding request is approved and services have been delivered, the applicant or service provider may initiate invoicing to receive funds. USAC reviews each funding request and invoice to ensure compliance with program rules. Discounts for support depend on the level of poverty and whether the school or library is located in an urban or rural area. The discounts range from 20 to 90 percent of the costs of eligible services.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

From 30 to 60 days. An appeal may be made within sixty (60) days from the date the decision was issued.

Renewals:

Other. Applicants must reapply each year for funding. Extensions to some deadlines may be granted under specific circumstances.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding applications (FCC Form 471) are generally submitted between January and March before the start of the funding year. The funding year starts July 1 and ends June 30 of the following year. Recurring services must be delivered by the end of the applicable funding year (i.e., June 30), while non-recurring services must be delivered or installed by September 30 following the close of the funding year. The last date to invoice (FCC Form 472 or 474) is generally October 28 for recurring services and January 28 for non-recurring services. Some deadlines can be extended in certain limited circumstances. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Participants are subject to compliance audits and other investigations to ensure compliance with program rules and orders.

Records:

Applicants and service providers are required to retain receipt and delivery records relating to pre-bidding, bidding, contracts, application process, invoices, provision of services, and other matters relating to the administration of universal service for a period of at least 10 years after the latter of the last day of the applicable funding year or the service delivery deadline for the funding request.

Account Identification:

27-5182-0-2-376.

Obligations:

Direct Payments for Specified Use FY 19 (\$2,054,967,296.00); FY 20 est (\$1,924,967,296.00); FY 21 est (\$2,050,967,296.00);

Range and Average of Financial Assistance:

FY2019:AVERAGE: \$32,300 RANGE: \$1 - \$27,492,760 FY2020 (Estimated):AVERAGE: \$33,100 RANGE: \$7 - \$27,600,000 FY2021 (Estimated):Average: \$32,000 Range: \$1 - \$35,000,000

TAFS Codes:

27-5183.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Estimated 129,500 Schools and Libraries will be served. Fiscal Year 2021: Estimated 130,000 Schools and Libraries will be served.

REGULATIONS, GUIDELINES, AND LITERATURE:

47 CFR Part 54, as well as various FCC orders and instructions on forms for the program.

Regional or Local Office:

None. Federal Communications Commission, Wireline Competition Bureau (WCB)

Headquarters Office:

GABRIELA GROSS, DEPUTY CHIEF, WIRELINE COMPETITION BUREAU,
445 12TH ST SW,
WASHINGTON DC 20554

Email: GABRIELA.GROSS@FCC.GOV

Phone: 202-418-7400

Fax:

Website Address:

<https://www.fcc.gov/general/e-rate-schools-libraries-usf-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Providing support for broadband services, telecommunications services, and networking infrastructure in schools and libraries. 2021 Providing support for broadband services, telecommunications services, and networking infrastructure in schools and libraries.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Neither the FCC nor the Administrator of the E-Rate program (the Universal Service Administrative Company or USAC) selects proposals. Funds are available to all eligible applicants that comply with program rules, including specific competitive bidding requirements. This includes the requirement that the applicant select the most cost-effective bid with price being the primary factor.

32.005 UNIVERSAL SERVICE FUND - RURAL HEALTH CARE

USAC - RURAL HEALTH CARE,

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

The FCC established the Universal Service Fund (USF) pursuant to section 254 of the Communications Act of 1934, as amended, which requires the preservation and advancement of universal service to be based on certain principles, including access to telecommunications and advanced services for all consumers at just, reasonable and affordable rates. The USF currently consists of four programs that focus on increasing such access for consumers living in high-cost areas, consumers with low-incomes, schools and libraries, and rural health care providers. Title Communications Act of 1934, as amended, Part 2, Section 254, 47 USC Code 254.

OBJECTIVES:

Universal service has been defined by Congress as an evolving level of telecommunications services . . . taking into account advances in telecommunications and information technologies and services. 47 U.S.C. 254(c). Policies for the advancement and preservation of universal service must be based on certain principles established by Congress. See 47 U.S.C. 254(b). Consistent with these statutory provisions, the FCC has established the Universal Service Fund, which disburses support for the provision of telecommunications services, as well as other services and facilities (including broadband) for high-cost areas, schools and libraries, rural health care providers, and low-income consumers.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Rural Health Care Program provides support for eligible health care providers to purchase broadband and telecommunications services necessary for the provision of health care purposes.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Government - General; Federal; Interstate; Intrastate; State; State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Quasi-public nonprofit institution/organization; Anyone/general public; Profit organization; Small business (less than 500 employees); Minority group; Individual/Family; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Sponsored organization; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); 1.The applicant must be a public or non-profit entity. 2.The applicant must be one of the following:a.Post-secondary educational institution offering health care instruction, such as teaching hospitals or medical schools.b.A community health center or health center providing health care to migrants,c.A local health department or agency,d.A community mental health center,e.A not-for-profit hospital,f.A rural health clinic,g.A dedicated emergency room of a rural for-profit hospital, h.Skilled Nursing Facilities (SNFs); ori.A consortium of health care providers consistent of one or more of the entities described above.3.Applicants must be in a rural location as defined by the FCC. Non-rural health care providers may be part of a consortium seeking support from the Healthcare Connect Fund

program if the consortium satisfies the FCCs majority-rural Requirement.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants must file an eligibility form. In the Telecommunications Program, applicants must file FCC Form 465. In the Healthcare Connect Fund Program, applicants must file FCC Form 460. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Health care providers must: (1) submit information to the program administrator to establish their eligibility to participate in the Rural Health Care Program; (2) initiate and complete an FCC-compliant competitive bidding process and select the most cost-effective service offering; and (3) submit a funding request application to the program administrator with all required supporting documentation. The program administrator will issue a letter containing a decision on the funding request. If the funding request is approved and after the approved services have been provided to the health care provider, invoices may be submitted to the program administrator for disbursements.

Award Procedure:

Applicants and service providers are notified of funding decisions through a funding commitment decision letter (FCL). The FCL sets forth the eligible services that have been approved for support and the service provider that has been authorized to provide the services, the amount of funding that has been approved, and other details defining the scope and requirements for the approved funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approval/denial notifications are sent on a rolling basis. Due to the unique nature of each application and review, there is not a standard date range for when decisions are issued.

Appeals:

From 30 to 60 days. Any party aggrieved by a decision issued by the Administrator must first seek review of the decision from the Administrator within 60 sixty (60) days from the date that the decision was issued. A party seeking review of the Administrators decision on appeal must file its request for review by the Commission within sixty (60) days of the Administrators decision on appeal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Support in the Telecommunications program is for one year. In the HealthCare Connect Fund Program applicants may apply for multi-year commitments not to exceed three years. Method of awarding/releasing assistance: other

Reports:

Consortia participating in the Healthcare Connect Fund Program must file an annual report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Participants are subject to random and risk-based compliance audits and other investigations to ensure compliance with program rules and orders.

Records:

Health care providers and service providers must retain documentation related to the delivery of discounted or supported services, facilities, or equipment under the

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Telecommunications and Healthcare Connect Fund Programs and any other document(s) that demonstrates compliance with the statutory or regulatory requirements for the Rural Health Care Program for at least five years after the last day of the delivery of the discounted or supported services. Consortium leaders for consortia participating in the Healthcare Connect Fund Program are responsible for compliance with the FCCs recordkeeping requirements for all of their members. Documentation must include, among other things, records of how applicants have complied with the requirement to allocate any ineligible costs out of their funding requests (e.g., ineligible components of a service, use by ineligible entities). In the Telecommunications Program, mobile rural health care providers shall maintain annual logs indicating the date and location of each clinic stop and the number of patients served at each such clinic stop. In the Healthcare Connect Fund Program, participants who receive support for long-term capital investments in facilities whose useful life extends beyond the period of the funding commitment shall maintain records for at least five years after the end of the useful life of the facility. Participants shall also maintain asset and inventory records of supported network equipment to verify the actual location of such equipment for a period of five years after purchase.

Account Identification:

27-5183-0-0-376.

Obligations:

Direct Payments for Specified Use FY 19 \$306,000,000.00; FY 20 est \$990,000,000.00; FY 21 est \$690,000,000.00;

Range and Average of Financial Assistance:

1.FY2019a.Highest Committed Amount: \$ 4,083,204.84b.Lowest Committed Amount: \$ 0.05c.Average Committed Amount: \$ 23,398.602.Budget (FY2020) Estimate:a.Highest Committed Amount: \$ 4,083,200.00b.Lowest Committed Amount: \$ 0.05c.Average Committed Amount: \$ 23,400.003.Budget (FY2021):a.Highest Committed Amount: \$ 4.1Mb.Lowest Committed Amount: \$ 0.05c.Average Committed Amount: \$ 23,000

TAFS Codes:

27-5183.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program plans to award funds to approximately 5,340 organizations. Fiscal Year 2021: The program plans to award funds to approximately 5,340 organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

47 CFR Part 54, as well as various FCC orders and instructions on forms for the program.

Regional or Local Office:

None. Federal Communications Commission, Wireline Competition Bureau (WCB)

Headquarters Office:

ELIZABETH DROGULA, Deputy Chief of WCB,

445 12TH ST SW,
WASHINGTON DC 20554

Email: ELIZABETH.DROGULA@FCC.GOV

Phone: 202.418.7400

Fax:

Website Address:

<https://www.fcc.gov/general/rural-health-care-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funding telecommunications services, broadband and infrastructure for rural healthcare providers. 2021 Funding telecommunications services, broadband and infrastructure for rural healthcare providers.

CRITERIA FOR SELECTING PROPOSALS:

Program participation is a multi-step process that begins with determining if a health care provider is eligible to participate in the program. After initial eligibility is determined and the health care provider has completed an FCC-compliant competitive bidding process, funding request form(s) are submitted to the program administrator during an open filing window period. Funding requests are evaluated

by the program administrator for accuracy and compliance with the FCCs rules.

32.006 COVID-19 Telehealth Program

COVID-19 Telehealth Program,

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

Public Law 116-CARES Act, Pub. L. No. 116-136, 134 Stat. 281 (2020)

OBJECTIVES:

In response to the coronavirus disease 2019 (COVID-19) pandemic, communities and health care providers are turning to telemedicine to enable social distancing measures to mitigate the spread of COVID-19. As a result, many health care providers are expanding existing telehealth services and implementing new telehealth services, and the demand for connected care services provided directly to patients in their homes or mobile locations is skyrocketing. In response, the Coronavirus Aid, Relief, and Economic Security (CARES) Act, signed into law on March 27, 2020, provides, among a panoply of other actions, \$200 million to the Federal Communications Commission (Commission or FCC) to support health care providers in the fight against the ongoing COVID-19 pandemic. The FCC, to effectuate Congress intent in enacting the CARES Act, established a COVID-19 Telehealth Program to distribute an appropriated budget of \$200 million to help eligible health care providers maximize their provision of connected care services during the COVID-19 pandemic.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Designations: Health/MedicalThe COVID-19 Telehealth Program will provide support to purchase telecommunications services, information services, and connected devices necessary to enable the provision of telehealth services. Funds cannot be used for ineligible expenses such as administrative costs, personnel costs, training, or medical provider costs/salaries. Funding may only be applied to eligible services and devices.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); 1.The applicant must be a public or nonprofit entity.2.The applicant must be one of the following:a.post-secondary educational institutions offering health care instruction, teaching hospitals, and medical schools; b.community health centers or health centers providing health care to migrants; c.local health departments or agencies; d.community mental health centers; e.not-for-profit hospitals;f.rural health clinics; g.skilled nursing facilities; or h.consortia of health care providers consisting of one or more entities falling into the first eight categories.3.The applicant can be rural or non-rural.

Beneficiary Eligibility:

Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Health Professional; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Eligible health care providers (as identified in the Applicant Eligibility Section) that receive awards are encouraged, to the extent practicable, to target funding that they receive for eligible telecommunications services, information services, and connected devices for high-risk and vulnerable patients.

Credentials/Documentation:

Applicants must file an eligibility form, FCC Form 460. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Health care providers

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must: (1) submit information requesting an eligibility determination (for health care provider sites that do not already have one) from the Universal Service Administrative Company (USAC) through filling an FCC Form 460, and (2) submit an application and request for funding to the FCC. The FCC will notify applicants of funding award amounts. After eligible services and devices have been provided to the health care provider, health care providers will submit invoices to the FCC on a monthly basis to receive disbursements.

Award Procedure:

Applicants selected to receive funding will receive notification of the funding award that sets forth the amount of funding that has been approved.

Deadlines:

Applications will be accepted on a rolling basis, and funding awards will be issued until the \$200 million budget is exhausted or the until the pandemic ends, whichever is soonest.

Range of Approval/Disapproval Time:

Other. Approval/denial notifications are sent on a rolling basis. Due to the unique nature of each application and review, there is not a standard date range for when decisions are issued.

Appeals:

Other. Any interested person may petition for reconsideration of a final Commission action. The petition for reconsideration and any supplement thereto shall be filed within 30 days from the date of public notice of the final Commission action, as that date is defined in 47 CFR 1.4(b). Any person aggrieved by any Commission action taken pursuant to delegated authority may file an application requesting review of that action. The application for review and any supplemental thereto shall be filed within 30 days of public notice of such action, as that date is defined in 47 CFR 1.4(b).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Support from the COVID-19 Telehealth Program is available until the \$200 million budget is exhausted or until the pandemic has ended, whichever is soonest. Method of awarding/releasing assistance: other

Reports:

Within six months after the conclusion of the COVID-19 Telehealth Program, health care providers that receive funding through the COVID-19 Telehealth Program should provide a report to the Commission on the effectiveness of the COVID-19 Telehealth Program funding on health outcomes, patient treatment, health care facility administration, and any other relevant aspects. Pursuant to the CARES Act, not later than 10 days after the end of each calendar quarter, each entity that receives COVID-19 Telehealth Program funds of more than \$150,000 must submit to the FCC and the Pandemic Response Accountability Committee (Committee) a report that contains (A) the total amount of large covered funds received from the agency; (B) the amount of large covered funds received that were expended or obligated for each project or activity; (C) a detailed list of all projects or activities for which large covered funds were expended or obligated, including (i) the name of the project or activity; (ii) a description of the project or activity; and (iii) the estimated number of jobs created or retained by the project or activity, where applicable; and (D) detailed information on any level of subcontracts or subgrants awarded by the covered recipient or its subcontractors or subgrantees, to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (31 U.S.C. 6101 note) allowing aggregate reporting on awards below \$50,000 or to individuals, as prescribed by the Director of the Office of Management and Budget. (3) Not later than 30 days after the end of each calendar quarter, the Committee, in consultation with the agency that made large covered funds available to any covered recipient shall make the information in reports submitted under paragraph (2) publicly available by posting the information on the website established under section 15010(g). For a consortium, the Consortium Leader is responsible for compliance with the recordkeeping requirements. Health care providers may also be subject to compliance audits to ensure that participants are complying with the COVID-19

Telehealth Program certification requirements. Within six months after the conclusion of the COVID-19 Telehealth Program, health care providers that receive funding through the COVID-19 Telehealth Program should provide a report to the Commission on the effectiveness of the COVID-19 Telehealth Program funding on health outcomes, patient treatment, health care facility administration, and any other relevant aspects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The program is subject to oversight and audit by the Comptroller General of the United States (Comptroller General) under section 19010 of the CARES Act. Participating health care providers, state and local agencies, contractors, grantees, and recipients and subrecipients, including private entities, may be subject to compliance audits and other investigations to ensure compliance with program rules and orders.

Records:

Participants in the COVID-19 Telehealth Program must maintain records related to their participation in the COVID-19 Telehealth Program to demonstrate their compliance with the program requirements for at least three years from the last date of service under this program and must present that information to the Commission or its delegates, the Comptroller General, the Committee, and appropriate congressional committees, upon request. Documentation includes, but is not limited to, records demonstrating eligibility for the program, documentation related to the application and records demonstrating proof of payment and receipt of eligible services and devices for which the health care provider sought reimbursement through the program.

Account Identification:

27-0100-0-1-376.

Obligations:

Direct Payments for Specified Use FY 19 \$0.00; FY 20 est \$200,000,000.00; and FY 21 est \$0.00;

Range and Average of Financial Assistance:

The program is new, however, the FCC does not anticipate awarding more than \$1 million to any single applicant.

TAFS Codes:

27-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program plans to award funds to eligible health care providers.

REGULATIONS, GUIDELINES, AND LITERATURE:

Order FCC 20-44, as well as other FCC Public Notices, forms, and announcements for the program.

Regional or Local Office:

None. Federal Communications Commission, Wireline Competition Bureau (WCB) Headquarters Office 445 12th St. SW Washington, DC 20554

Headquarters Office:

Rashann Duvall,
445 12th ST SW,
Washington DC 20554
Email: Rashan.Duvall@fcc.gov
Phone: 202-418-7400
Fax:

Website Address:

<https://www.fcc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Funding telecommunications services, information services, and certain devices for eligible health care providers in response to COVID-19.

CRITERIA FOR SELECTING PROPOSALS:

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Participation begins with determining if a health care provider is eligible to participate in the program. Health care providers will submit applications to the FCC containing descriptions of the intended use of the funding. Applications will be reviewed by the FCC. The FCC's goal is to target funding to areas that have been particularly hardest hit by the COVID-19 pandemic and where the support will have the most impact on addressing health care needs. The Wireline Competition Bureau will make funding decisions based on these criteria and the information included in the application.

32.007 Connected Care Pilot Program

Connected Care Pilot Program,

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

Section 254(h)(2)(A) of the Telecommunications Act of 1996 authorizes the Commission to establish the Pilot Program to help defray health care providers eligible costs of providing connected care services to low-income or veteran patients. Specifically, section 254(h)(2)(A) directs the Commission to establish competitively neutral rules [] to enhance, to the extent technically feasible and economically reasonable, access to advanced telecommunications and information services for all public and nonprofit . . . health care providers. The Pilot Program will fund broadband connectivity for participating health care providers and patients, certain network equipment, and other information services that may facilitate the provision of connected care services provided through the Pilot Program. These connected care services may be defined as either telecommunications services or information services. The Pilot Program is also consistent with the directive that the Commission base policies for the advancement of universal service on the principles outlined in section 254(b) of the Act. Specifically, section 254(b)(2) provides that [a]ccess to advanced telecommunications and information services should be provided in all regions of the Nation and section 254(b)(3) provides that [c]onsumers in all regions of the Nation, including low-income consumers and those in rural, insular, and high cost areas, should have access to telecommunications and information services, including interexchange services and advanced telecommunications and information services, that are reasonably comparable to those services provided in urban areas and that are available at rates that are reasonably comparable to rates charged for similar services in urban areas. The Pilot Program will fund eligible health care provider purchases of broadband Internet access services for participating patients to use for purposes of connected care services., Title Telecommunications Act of 1996, Part , Section 254(h)(2)(A)

OBJECTIVES:

Telehealth has assumed a critical role in health care delivery as technology and improved broadband connectivity have enabled patients to access health care services without needing to visit a health care providers physical location. Advances in telehealth are transforming health care from a service delivered through traditional brick and mortar health care facilities to connected care options delivered via a broadband Internet access connection directly to the patients home or mobile location. Despite the numerous benefits of connected care services, patients who cannot afford or who otherwise lack reliable, robust broadband Internet access connectivity, including many low-income Americans and veterans, are not realizing the benefits of these innovative telehealth technologies. The costs necessary to provide connected care services may also limit some health care providers ability to treat patients, particularly low-income Americans and veterans, with connected care services. To address this, the Federal Communications Commission (Commission) established the Connected Care Pilot Program (Pilot Program) within the Universal Service Fund (USF or Fund) that will make available up to \$100 million over three years to examine how the Fund can help support the trend towards connected care services, particularly for low-income Americans and veterans. The Pilot Program will help defray eligible health care providers costs of providing connected care services, with a particular emphasis on supporting these services for eligible low-income Americans and veterans. The Commission expects that the Pilot Program will benefit many low-income and veteran patients who are responding to a wide variety of health challenges such as diabetes management, opioid dependency, high-risk pregnancies, pediatric heart

disease, mental health conditions, and cancer. The Commission also expects that the Pilot Program will provide meaningful data that will help better understand how USF funds can support health care provider and patient use of connected care services, and how supporting health care provider and patient use of connected care services can improve health outcomes and reduce health care costs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Connected Care Pilot Program will provide support to purchase telecommunications, information services, and certain network equipment. Funds cannot be used for ineligible expenses such as administrative costs, personnel costs, training, or medical provider costs/salaries. Funding may only be applied to eligible services and equipment.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); 1. The applicant must be a public or nonprofit entity.2. The applicant must be one of the following:a. post-secondary educational institutions offering health care instruction, teaching hospitals, and medical schools; b. community health centers or health centers providing health care to migrants; c. local health departments or agencies; d. community mental health centers; e. not-for-profit hospitals; f. rural health clinics; g. skilled nursing facilities; or h. consortia of health care providers consisting of one or more entities falling into the first seven categories.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Low Income; The Commission will have a strong preference for pilot projects that can demonstrate that they will primarily benefit low-income individuals or veterans. For purposes of the Pilot Program, health care providers can determine whether a patient is considered low-income by determining whether (1) the patient is eligible for Medicaid or (2) the patients household income is at or below 135% of the U.S. Department of Health and Human Services Federal Poverty Guidelines. Health care providers may determine whether a patient qualifies as a veteran for purposes of the Pilot Program by confirming that the patient qualifies for health care through the VA. The Commission expects that pilot projects focused on serving veterans will primarily focus on veteran populations that are more likely to experience issues accessing health care.

Credentials/Documentation:

Applicants must file an eligibility form, FCC Form 460. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Health care providers must: (1) submit information requesting an eligibility determination (for health care provider sites that do not already have one) from the Universal Service Administrative Company through filling an FCC Form 460, and (2) submit an initial application to the Commission. The Commission will select applicants on the basis of initial applications that will be permitted to apply for funding commitments. After eligible services and equipment have been provided to the health care provider, health care providers will submit invoices to the Commission on a monthly basis to receive disbursements.

Award Procedure:

Applicants selected on the basis of initial applications will receive notification, and will then be permitted to apply for funding commitments.

Deadlines:

November 06, 2020 - December 07, 2020. Initial applications may be submitted between November 6, 2020 and December 7, 2020. Those applicants that are selected on the basis of their initial applications will then be permitted to apply for funding commitments. Additional details will be provided to selected applicants.

Range of Approval/Disapproval Time:

Not Applicable. Initial applications may be submitted between November 6, 2020 and December 7, 2020. Projects will be selected on the basis of these initial

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applications in 2021. Selected applicants will then be permitted to submit funding requests, decisions on which are also expected in 2021.

Appeals:

Other. Any interested person may petition for reconsideration of a final Commission action. The petition for reconsideration and any supplement thereto shall be filed within 30 days from the date of public notice of the final Commission action, as that date is defined in 47 CFR 1.4(b). Any person aggrieved by any Commission action taken pursuant to delegated authority may file an application requesting review of that action. The application for review and any supplemental thereto shall be filed within 30 days of public notice of such action, as that date is defined in 47 CFR 1.4(b).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Connected Care Pilot Program will make available up to \$100 million over a three-year period. Method of awarding/releasing assistance: other

Reports:

The Commission has delegated to the Wireline Competition Bureau authority to produce a report detailing the results of the Connected Care Pilot Program following its completion. To assist with this report, participating health care providers will be required to submit periodically anonymized, aggregated data to the Bureau regarding their pilot projects to the Bureau after each year of funding for that pilot project. Participating health care providers will also be required to submit final reports within six months of the end dates of their pilot projects summarizing the final results, and explaining whether the pilot projects met their stated goals and the goals of the Pilot Program. The Commission has delegated to the Wireline Competition Bureau authority to produce a report detailing the results of the Connected Care Pilot Program following its completion. To assist with this report, participating health care providers will be required to submit periodically anonymized, aggregated data to the Bureau regarding their pilot projects to the Bureau after each year of funding for that pilot project. Participating health care providers will also be required to submit final reports within six months of the end dates of their pilot projects summarizing the final results, and explaining whether the pilot projects met their stated goals and the goals of the Pilot Program.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Participating health care providers are subject to compliance audits and other investigations to ensure compliance with program rules and orders.

Records:

Health care providers and selected participants, in addition to maintaining records related to their pilot projects to demonstrate their compliance with the Pilot Program rules and requirements, must also keep supporting documentation for these reports for at least five years after the conclusion of their pilot projects and must present that information to the Commission or USAC upon request.

Account Identification:

27-5183-0-2-376.

Obligations:

Direct Payments for Specified Use FY 20 Not Available; FY 21 est \$100,000,000.00; and FY 22 est \$100,000,000.00;

Range and Average of Financial Assistance:

The program is new. The Commission has not established a limitation on the size of any particular award but expects to award funding to a broad array of potential applicants.

TAFS Codes:

27-5183.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Fiscal Year 2021: The program plans to award funds to eligible health care providers to defray up to 85% of eligible costs in providing connected care services. Fiscal Year 2022: Fiscal Year 2022: The program plans to award funds to eligible health care providers to defray up to 85% of eligible costs in

providing connected care services.

REGULATIONS, GUIDELINES, AND LITERATURE:

Order FCC 20-44, as well as other FCC Public Notices, forms, and announcements for the program. 47 CFR 54.600 et seq.

Regional or Local Office:

See Regional Assistance Locations. Federal Communications Commission Connected Care Pilot Program Contact: Bryan Boyle

Headquarters Office:

Federal Communications Commission,
45 L Street NE,
Washington DC 20554
Email: ConnectedCare@fcc.gov
Phone: 202-418-7400
Fax:

Website Address:

<https://www.fcc.gov/wireline-competition/telecommunications-access-policy-division/connected-care-pilot-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Funding broadband service, connected care information services, and certain network equipment for eligible health care providers.

CRITERIA FOR SELECTING PROPOSALS:

Participation begins with determining if a health care provider is eligible to participate in the program. Health care providers will submit initial applications to the Commission containing descriptions of the intended use of the funding and the design of the pilot project, including data to be collected. The Commission's goal is to select applicants that serve primarily low-income and veteran patients who are responding to a wide variety of health challenges such as diabetes management, opioid dependency, high-risk pregnancies, pediatric heart disease, mental health conditions, and cancer. Projects that are selected on the basis of initial applications will be permitted to apply for funding commitments.

32.008 Emergency Broadband Benefit Program

Emergency Broadband Benefit Program (EBBP),

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

Public Law 116-260

OBJECTIVES:

In an effort to slow the spread of COVID-19, there has been a dramatic disruption of many aspects of Americans lives, including social distancing measures to prevent person-to-person transmission that have required the closure of businesses and schools across the country for indefinite periods of times, which in turn has caused millions of Americans to become newly unemployed or unable to find work. These closures have also led people to turn to virtual learning, telemedicine, and telework to enable social distancing measures, which has only increased every households need for access to broadband services. The cost of broadband services can be difficult to overcome for low-income families and for families that have been struggling during the pandemic. On December 27, 2020, the Consolidated Appropriations Act, 2021 (Consolidated Appropriations Act) was signed into law. Among other actions intended to provide relief during the pandemic, the Consolidated Appropriations Act established an Emergency Broadband Connectivity Fund of \$3.2 billion in the Treasury of the United States for the fiscal year 2021. The Act directs the Federal Communications Commission (FCC or Commission) to use that fund to establish an Emergency Broadband Benefit Program (EBB Program), under which eligible low-income households may receive a discount off the cost of broadband service and certain connected devices during an emergency period relating to the COVID-19 pandemic, and participating providers can receive a reimbursement for such discounts. The Consolidated Appropriations Act further provides that no more than 2% of the Emergency

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Broadband Connectivity Fund, or \$64 million, is to be used for the administration of the EBB Program, and funding for the EBB Program will remain available until the Fund is expended or six months after the end of the Emergency Period as defined in the Consolidated Appropriations Act, whichever comes first.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

EBB Program support lowers the monthly cost of broadband Internet access services and qualifying connected devices for eligible consumers. Participating providers may only claim reimbursement for support provided to eligible households through the EBB Program subject to the rules and requirements set forth in the Commissions EBB Program Order (FCC 21-29).

Applicant Eligibility:

Non-Government - General; Small business (less than 500 employees); Profit organization; Provider Eligibility: In the Consolidated Appropriations Act, a participating provider for the EBB Program shall be a broadband service provider that is either designated as an eligible telecommunications carrier (ETC) or seeks approval from the Commission for participation in the EBB Program. ETCs and non-ETCs must establish they provide broadband service to participate in the program. Household Eligibility: Support is available only for one line per household; applicants are eligible if at least one member of the household: Meets the qualifications for participation in the Lifeline program, that is, if their income is at or below 135% of the federal poverty guidelines or if they participate in one of the following federal assistance programs: Medicaid, Supplemental Nutrition Assistance Program, Supplemental Security Income, Federal Public Housing Assistance, or Veterans Pension and Survivors Benefit; or one of the following Tribal-specific federal assistance programs: Bureau of Indian Affairs general assistance, Tribally-administered Temporary Assistance for Needy Families, Head Start (only those households meeting its income qualifying standard), or the Food Distribution Program on Indian Reservations; Receives benefits under the free and reduced-price school lunch program or the school breakfast program, including through the USDA Community Eligibility Provision, or did so in the 2019-2020 school year; Has experienced a substantial loss of income since February 29, 2020, and the household had a total income in 2020 at or below \$99,000 for single filers and \$198,000 for joint filers; Has received a Federal Pell Grant under section 401 of the Higher Education Act of 1965 in the current award year; or Meets the eligibility criteria for a participating providers existing low-income or COVID-19 program, subject to approval by the Commission.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

A provider application will provide information to determine whether the applicant has the legal and technical qualifications to participate in the EBB Program. Applicants must certify, under penalty of perjury, their qualifications. Non-Eligible Telecommunications Carriers (Non-ETC) must certify under penalty of perjury that the information set forth in their application is true, accurate, and complete; they understand and will comply with all statutory and regulatory obligations described within the Commissions EBB Program Order (FCC 21-29); and all terms and conditions and other requirements applicable to using the National Verifier, National Lifeline Accountability Database (NLAD), Representative Accountability Database (RAD), and other Universal Service Administrative Company (USAC) systems. Eligibility to participate in the program is based on an applicants submission of required information and certifications. An applicants filing and subsequent approval does not guarantee the applicant will receive EBB Program reimbursement. Each participating provider must file all required forms, information, and certifications with the Commission and USAC to receive reimbursement. A non-ETC provider seeking to participate in the EBB Program must file the appropriate application electronically, whether it is eligible for expedited or automatic approval, per the requirements of the FCC's Order (FCC 21-29) and the resulting application process established by FCC's Wireline Competition Bureau. An eligible household applicant must meet the household eligibility requirements listed in the Applicant eligibility section. Eligible households will enroll through participating providers or directly with USAC. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To clarify the statement above, only Subpart B - General provisions and Subpart F - Audit Requirements of 2 CFR 200 apply to this program. Non-ETC providers must certify under penalty of perjury that the information set forth in their application is true, accurate, and complete; they understand and will comply with all statutory and regulatory obligations described within the Order; and all terms and conditions and other requirements applicable to using the National Verifier, National Lifeline Accountability Database (NLAD), Representative Accountability Database (RAD), and other Universal Service Administrative Company (USAC) systems. Eligibility to participate in the program is based on an applicants submission of required information and certifications. An applicants filing and subsequent approval does not guarantee the applicant will receive EBB Program reimbursement. Each participating provider must file all required forms, information, and certifications with the Commission and Universal Service Administrative Company to receive reimbursement. A non-ETC provider seeking to participate in the EBB Program must file the appropriate application, whether it is eligible for expedited or automatic approval, electronically, whether filing for automated or expedited approval, through the process announced by the FCC's Wireline Competition Bureau per the requirements of the FCC's Order establishing the program (FCC 21-29).

Award Procedure:

Eligible households will receive a discount off the cost of qualifying broadband service and certain connected devices, and participating providers can receive a reimbursement for such discounts during the emergency period. Participating providers must submit one reimbursement claim for each month they are claiming support by the 15th, or the following business day if the 15th falls on a holiday or weekend, after each data month. Eligible households may receive a one-time discount of up to \$100 for qualifying connected devices.

Deadlines:

For participating providers, there will be a priority window for applications prior to a uniform start date. Applications submitted after the priority window deadline will be reviewed on an expedited, rolling basis. Funding awards will be issued until the fund is exhausted or six months after the end of the Emergency Period, whichever comes first.

Range of Approval/Disapproval Time:

Other. The EBB Program is a limited duration program with limited funds. Applications for non-ETC providers wishing to participate will be accepted during a priority window. If submitted after the priority window deadline, applications will be reviewed on a rolling basis. Eligible households can be enrolled after the program start date and enrollments will be permitted up until USAC announces a freeze in enrollments as described in the Commissions EBB Program Order. Funding awards will be issued until the budget is exhausted or the until 6 months after the end of the public health emergency, whichever is soonest. USAC will endeavor to provide 60 days notice of the end of the EBB Program.

Appeals:

Other. Any interested person may petition for reconsideration of a final FCC action. The petition for reconsideration and any supplement thereto shall be filed within 30 days from the date of public notice of the final FCC action, as that date is defined in 47 CFR 1.4(b). Any person aggrieved by any FCC action taken pursuant to delegated authority may file an application requesting review of that action. The application for review and any supplemental thereto shall be filed within 30 days of public notice of such action, as that date is defined in 47 CFR 1.4(b).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Support from the EBB Program is available until the program funds are exhausted or six months after the end of the Emergency Period as defined in the Consolidated

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Appropriations Act, 2021, whichever comes first. Method of awarding/releasing assistance: other

Reports:

USAC will develop a tracker and make it available on USACs website as well as the FCCs website. The posted information shall be updated at least every two weeks by USAC, with the goal of weekly updates as the EBB Program ramps up. USAC is also required to submit a report to the FCC that provides information about how households qualified for the EBB Program, the claimed support amounts for connected devices and services, the geographic locations of consumers at the county level, and other information that the FCC's Wireline Competition Bureau, in consultation with USAC, believes would be essential for evaluating the program. This report shall be filed no more than six months after the initiation of the EBB Program, with updates submitted as necessary to capture additional information about the EBB Program and participating households obtained after the submission of the report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 To clarify the statement above, per 2 CFR 200.1, a Non-Federal entity means a State, local government, Indian tribe, Institution of Higher Education, or nonprofit organization that carries out a Federal award as a recipient or subrecipient. The Consolidated Appropriations Act, 2021 requires the FCC to adopt audit requirements to ensure that participating providers are in compliance with the program rules and to prevent waste, fraud, and abuse in the EBB Program. A finding of waste, fraud, or abuse or an improper payment identified by the FCC or the FCC's Inspector General of the FCC must include (1) the name of the participating provider; (2) the amount of funding made available from the EBB Program to the provider; (3) the amount of funding determined to be an improper payment to the provider; (4) a description of to what extent funding made available from the EBB Program that was an improper payment was used for a reimbursement for a connected device or a reimbursement for an internet service offering; (5) whether, in the case of a connected device, such device, or the value thereof, has been recovered; (6) whether any funding from the EBB Program was made available to a participating provider for an emergency broadband benefit for a person outside the eligible household; and (7) whether any funding from the EBB Program was made available to reimburse a participating provider for an emergency broadband benefit made available to an eligible household in which all members of such household necessary to satisfy the eligibility requirements were deceased. The FCC's Office of Managing Director is developing an audit process of participating providers that complies with all the requirements above. In addition, within one year of the date of the enactment of the Consolidated Appropriations Act, the FCCs Office of Inspector General must also conduct an audit of the disbursements made to a representative sample of participating providers.

Records:

Participating providers must maintain records to document compliance with all FCC requirements governing the EBB Program for at least six full calendar years and provide that documentation to the Commission or USAC upon request. Participating providers must maintain the documentation related to the eligibility determination and reimbursement claims for an EBB Program subscriber for as long as the subscriber receives the Emergency Broadband Benefit discount from that participating provider, but for no less than six full calendar years after the last date of delivery of the supported services and/or connected devices provided through the Emergency Broadband Benefit Program.

Account Identification:

27-1911-0-1-376.

Obligations:

Direct Payments for Specified Use FY 20 Not Available; FY 21 est (\$1,094,967,296.00); and FY 22 Not Available;

Range and Average of Financial Assistance:

Participating providers will make available to eligible households a monthly discount off the standard rate for an Internet service offering and associated equipment, up to \$50.00 per month. On Tribal lands, the monthly discount may be

up to \$75.00 per month. Participating providers that also supply an eligible household with a connected device, defined in the Consolidated Appropriations Act as a laptop, desktop computer, or tablet, for use during the emergency period may receive a single reimbursement of up to \$100.00 for the connected device, if the charge to the eligible household for that device is more than \$10.00 but less than \$50.00.

TAFS Codes:

27-1911.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The program plans to award funds for broadband services and devices to help low-income households stay connected during the COVID-19 pandemic.

REGULATIONS, GUIDELINES, AND LITERATURE:

FCC 21-29, as well as other FCC Public Notices, forms, and announcement for the Program. 47 CFR 54.600 et seq.

Regional or Local Office:

None. Federal Communications Commission, Wireline Competition Bureau, Telecommunications Access Policy Division.

Headquarters Office:

Federal Communications Commission, Wireline Competition Bureau, Telecommunications Access Policy Division,

45 L Street NE,
Washington DC 20554

Email: broadbandbenefit@fcc.gov

Phone: 202-418-7400

Fax:

Website Address:

<https://www.fcc.gov/emergency-broadband-benefit-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Providing support for qualifying broadband services and devices to help low-income households stay connected during the COVID-19 pandemic.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

32.009 Emergency Connectivity Fund Program

Emergency Connectivity Fund Program,

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

Public Law 117-2

OBJECTIVES:

In section 7402 of the American Rescue Plan Act (Act), Congress established a \$7.171 billion Emergency Connectivity Fund (ECF) in the U.S. Treasury and directed the Federal Communications Commission (FCC or Commission) not later than 60 days after enactment of the Act to promulgate rules providing for the distribution of ECF support to eligible schools and libraries for the purchase of eligible equipment and/or advanced telecommunications and information services for use by students, school staff, and library patrons at locations including locations other than a school or library. On May 10, 2021, the FCC adopted a Report and Order entitled Establishing the Emergency Connectivity Fund to Close the Homework Gap, WC Docket No. 21-93, Report and Order, FCC 21-58 (2021) (Report and Order). The Report and Order established the Emergency Connectivity Fund Program (ECF Program), which is funded through the ECF. The ECF Program rules became effective upon publication in the Federal Register on May 28, 2021. ECF Program support will first be made available to eligible schools and libraries applying for funds for the purchases of eligible equipment, including Wi-Fi hotspots, modems, routers, and connected devices, as well as advanced telecommunications and information services, to meet the remote learning needs of students, school staff, and library patrons who would otherwise lack access to

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connected devices and broadband connections sufficient to engage in remote learning during the upcoming school year.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

ECF Program support reimburses 100 percent of the reasonable costs associated with the purchase of eligible equipment, advanced telecommunications and information services, or eligible equipment and advanced telecommunications and information services, subject to certain program caps on services and devices. For connected devices, the reasonable, maximum support amount will be \$400 per device. The FCC adopted \$250 as the reasonable, maximum reasonable support amount for a Wi-Fi hotspot provided by a school or library to a student, school staff member, or library patron, based on advertised costs for Wi-Fi hotspots. Program applicants may only seek support and claim reimbursement for support according to the ECF Program rules and requirements set forth in the Commissions ECF Program Order (FCC 21-58).

Applicant Eligibility:

Other public institution/organization; Other private institutions/organizations; All schools, libraries, and consortia of schools and libraries that are eligible for support under the schools and libraries universal service support mechanism (also known as the E-Rate Program) are eligible to request and receive support through the ECF Program. The Program uses the same definitions of elementary school, secondary school, library, and library consortium used in the E-Rate rules. Consistent with the Library Services and Technology Act (LSTA), Tribal libraries which are by statute eligible for support from state library administrative agencies under the LSTA, are eligible for support from the Fund.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

For the information collections required under the ECF Program, the FCC requested and has received emergency authorization from the Office of Management and Budget (OMB) for a new information collection entitled Establishing the Emergency Connectivity Fund Program (OMB Control No. 3060-1286) on May 20, 2021. The following forms are required: ECF Form 471 (program application form); Emergency Connectivity Fund - Description of Services Requested and Certification, ECF Forms 472 or 473 (applicant and service provider reimbursement request forms); Emergency Connectivity Fund Program Request for Reimbursement; and Emergency Connectivity Fund Post-Commitment Change Request. Program applicants must file an application form (ECF FCC Form 471) to provide information for program reviewers to determine whether the applicants funding request meets program requirements and is eligible to receive a funding commitment. Requests must be made by eligible entities, for eligible services and equipment, to be used at eligible locations, and for eligible purposes according to ECF Program rules and the requirements of the ECF Program order (FCC 21-58). Eligibility to receive funding commitments from the ECF Program is based on an applicants submission of required information and certifications. To implement the program prohibition on requesting or receiving duplicative funding, applicants will be required to provide certifications on ECF Program forms that they are not seeking support or reimbursement for eligible equipment or services that have been purchased and reimbursed with other federal funding (e.g., CARES Act, Emergency Broadband Benefit Program; targeted state funding; other external sources of targeted funding; or eligible for discounts through the E-Rate or other Universal Service Fund (USF) programs). Because the ECF Program is targeting unmet needs, schools and libraries must provide certifications on their funding applications that they are seeking support for eligible equipment and services to be provided to students, school staff, and library patrons who would otherwise lack access to connected devices and/or broadband services sufficient to engage in remote learning. Libraries must also receive from patrons a signed statement that the patron would otherwise lack access to equipment or services sufficient to meet the patrons educational needs if not for the use of the equipment or services provided by the library. Other required program certifications target the issues of Childrens Internet Protection Act (CIPA) compliance, compliance with state, local and Tribal procurement requirements, service provider payment verifications, eligibility of equipment and services and that they have been received/delivered prior to invoicing, and the maintenance of asset inventories (applicants are required to maintain asset and service inventories).

An applicants filing and subsequent funding commitment(s) does not guarantee that the applicant will receive an ECF Program reimbursement. Applicants and service providers who agree to invoice on behalf of applicants must file all required invoicing forms (ECF Forms 472 or 474), supporting documentation, and certifications to receive reimbursement. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To clarify the statement above, only Subpart A- Acronyms and Definitions, Subpart B- General Provisions, Subparts C-D (specifically 2 CFR 200.203 - Requirement to provide public notice of Federal financial assistance programs; 2 CFR 200.303 Internal controls; and 2 CFR 200.331-333 Subrecipient Monitoring and Management); and Subpart F - Audit Requirements apply to this program. During the initial application filing window, eligible schools, libraries, and consortia of eligible schools and libraries, can submit requests for funding to purchase eligible equipment and services that are delivered or received between July 1, 2021 and June 30, 2022. There is not a separate FCC-mandated competitive bidding process required for applicants prior to submitting applications for funding. However, in their applications, applicants must certify that they have complied with all applicable local, state, and Tribal procurement requirements with respect to both previous purchases and future purchases and contracts. This requires schools and libraries that are not subject to public procurement rules to follow their own procurement process and rules, such as those that may be included in a written charter. When ready to apply, each applicant must file all required forms, information, and certifications in the ECF Program Portal. The Act provides that USAC, the administrator of all of the FCCs Universal Service Fund (USF) programs, is to administer the regulations adopted pursuant to section 7402, under the oversight of the Commission.

Award Procedure:

After their applications undergo an ECF Program review, applicants will receive funding commitment decision letters providing the amount of their funding commitments or denying their applications. Approved funding decisions authorize 100 percent reimbursement of the reasonable costs of eligible services and equipment up to caps on certain equipment or services named in the FCCs Report and Order. Specifically, the FCC adopted per-device caps based on a reasonable support amount, up to which an applicant may receive support. For connected devices, the reasonable, maximum support amount will be \$400 per device. The FCC adopted \$250 as the reasonable, maximum reasonable support amount for a Wi-Fi hotspot provided to a student, school staff member, or library patron, based on advertised costs for Wi-Fi hotspots. If demand exceeds available funds during any ECF Program application filing window, the FCC will prioritize requests based on applicants E-Rate Program discount matrix for category one services, adjusted to provide a five percent boost for rural schools and libraries. The E-Rate Program discount matrix governs the amount of funding eligible schools, libraries, and consortia are eligible to receive based on the percent of students in the school district eligible for the National Free and Reduced School Lunch Program and whether the entity is located in a rural or urban area. Those schools and libraries entitled to a higher discount will be prioritized and receive funding ahead of those entitled to a lower discount rate in the event there is insufficient funding to meet demand.

Deadlines:

The initial application filing window opened on June 29, 2021 and closed on August 13, 2021 (a 45-day period). Applicants were notified of the application filing window open and close dates by a Commission News Release issued on June 15, 2021. All applications filed within an application filing window are considered as filed at the same time.

Range of Approval/Disapproval Time:

Other. The FCC has not set a specific date range for funding decisions but has set ECF Program targets to encourage efficient distribution of funding. It set targets for USAC to issue funding decision commitment letters for 50% of the workable applications within 60 days of the close of the first application window and 70% of the workable applications within 100 days of the close of the first application filing

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window. We use the term workable to mean that a funding request is filed timely and is complete, with all necessary information, to enable a reviewer to make the appropriate funding decision, and the applicant, provider, and any consultants are not subject to investigation, audit, or other similar reason for delay in a funding decision. This is consistent with the way the term is currently used in the E-Rate Program. For purposes of the Emergency Connectivity Fund, section 7402 of the American Rescue Plan defines the COVID-19 emergency period as beginning on the date the Secretary of Health and Human Services determined that a public health emergency exists as a result of COVID-19 pursuant to section 319 of the Public Health Service Act, and ending on the June 30 that first occurs after the date that is one year after the Secretary of Health and Human Services determines that a public health emergency no longer exists. The funds for the ECF Program are appropriated in the United States Treasury and will remain available until funds are exhausted or until September 30, 2030.

Appeals:

Other. An appeal of an ECF Program decision or waiver of the ECF Program rules must be filed within 30 days of the date of an action or decision by USAC.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Emergency Connectivity Fund was established by Congress in the United States Treasury through an appropriation of \$7.171 billion, to remain available until September 30, 2030. Support from the ECF Program is available until the program funds are exhausted or until September 30, 2030. Method of awarding/releasing assistance: other

Reports:

USAC will report program data on ECF funding applications and commitments on its Open Data platform. Using the ECF Program Portal and application process, USAC will collect information about the number of connected devices and broadband connections that are requested to connect students, school staff, and library patrons through the Emergency Connectivity Fund Program. The FCC has directed USAC to release this data publicly as part of its Open Data project for the Emergency Connectivity Fund Program. As part of the application process, the program will collect schools and school districts best estimates about the number of students in their school or school district who did not have access to adequate connected devices, broadband connections, or both when the pandemic began; the number of students who do not currently have access to adequate connected devices, broadband Internet access connections, or both; and how they expect those numbers to change with receipt of requested Emergency Connectivity Fund Program support. Schools and school districts that participate will be asked to describe how and when they collected the information that they use for the estimates provided in their responses. The FCC has directed USAC to also release this data as part of its Open Data project for the Emergency Connectivity Fund Program. USAC was also directed to make the pricing data from the Emergency Connectivity Fund Program publicly available through its Open Data platform within 160 days after the first Emergency Connectivity Fund Program application filing window closes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 To clarify the statement above, per 2 CFR 200.1, a Non-Federal entity means a State, local government, Indian tribe, Institution of Higher Education, or nonprofit organization that carries out a Federal award as a recipient or subrecipient. Additionally, the FCC is evaluating its audit plan for the ECF Program.

Records:

All Emergency Connectivity Fund participants shall retain records related to their participation in the program sufficient to demonstrate compliance with all program rules for at least ten (10) years from the last date of service or delivery of equipment, including asset and service inventory records that are required by the

FCC's ECF Program rules at 47 CFR 54.1715.

Account Identification:

27-1913-0-1-376.

Obligations:

Direct Payments for Specified Use FY 20 Not Available; FY 21 est \$2,000,000,000.00; and FY 22 est (\$294,967,296.00);

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

27-1913.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The ECF Program plans to first approve funding to eligible schools and libraries applying for funds for purchases of eligible equipment, including Wi-Fi hotspots, modems, routers, and connected devices, as well as advanced telecommunications and information services, to meet the remote learning needs of students, school staff, and library patrons who would otherwise lack access to connected devices and broadband connections sufficient for remote learning during the upcoming school year. Applicants will receive funding commitment decision letters providing the amount of their funding commitments or denying their applications.

REGULATIONS, GUIDELINES, AND LITERATURE:

FCC 21-58, as well as other FCC Public Notice(s), News Releases, forms, and announcements for the ECF Program. 47 CFR 54.1700 et seq.

Regional or Local Office:

None.

Headquarters Office:

Gabriela Gross,
Federal Communications Commission, Wireline Competition Bureau,
Telecommunications Access Policy Division,
Washington DC 20554
Email: Gabriela.Gross@fcc.gov
Phone: 202-418-7400

Fax:

Website Address:

<https://www.fcc.gov/emergency-connectivity-fund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The ECF Program will provide funding to schools and libraries for the reasonable costs of laptop and tablet computers; Wi-Fi hotspots; routers; modems; and broadband Internet connections for use by students, school staff, and library patrons at locations that include locations other than a school or library. Funding is limited to students, school staff, and library patrons who would otherwise lack access to connected devices and/or broadband Internet services sufficient to engage in remote learning during the COVID-19 emergency period. During the first application filing window, which opened on June 29, 2021, eligible schools and libraries are able to apply for funding for Emergency Connectivity Fund eligible equipment and/or services purchased between July 1, 2021 and June 30, 2022 to meet the remote learning needs of students, school staff, and library patrons who would otherwise lack access to connected devices and/or broadband connections sufficient to engage in remote learning during the upcoming 2021-2022 school year. The initial application filing window closed on August 13, 2021. If available funds remain after the first round of program funding, an additional application filing window or windows may be opened to address remaining unmet connectivity needs or to reimburse schools and libraries for purchases of eligible equipment and services to address unmet student, school staff, and library patron connectivity needs made during the initial 16 months of the COVID-19 pandemic (i.e., March 1, 2020 through June 30, 2021). However, in the event that demand for prospective support in the first application filing window appears to be far short of meeting current needs, the Commission may consider a second prospective window before opening an application filing window to fund previously purchased eligible equipment and services.

CRITERIA FOR SELECTING PROPOSALS:

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Not Applicable.

32.010 Supply Chain Reimbursement Program

Supply Chain Reimbursement Program,

FEDERAL AGENCY:

FEDERAL COMMUNICATIONS COMMISSION

AUTHORIZATION:

Public Law 116-260 and 124

OBJECTIVES:

On March 12, 2020, the Secure and Trusted Communications Networks Act of 2019 (Secure Networks Act), Pub. L. No. 116-124, was signed into law. Section 4 of the Secure Networks Act, 47 U.S.C. 1603, directed the Federal Communications Commission (Commission) to establish the Secure and Trusted Communications Networks Reimbursement Program (Reimbursement Program) to reimburse providers of advanced communications services with two million or fewer customers for the removal, replacement, and disposal of covered communications equipment and services from their networks. On December 11, 2020, the Commission released a rulemaking order establishing the Reimbursement Program. The Commission tasked the Wireline Competition Bureau with implementing the Reimbursement Program. See Protecting Against National Security Threats to the Communications Supply Chain Through FCC Programs, WC Docket No. 18-89, Second Report and Order, 35 FCC Rcd 14284 (2020) (Second Report and Order). On December 27, 2020, the Consolidated Appropriations Act, 2021 (CAA), Pub. L. 116-260, became law, appropriating \$1.9 billion for the Commission to carry out the Reimbursement Program and amending the Reimbursement Program to, among other things, increase eligibility to those providers of advanced communications services with ten million or fewer customers. The Commission adopted a rulemaking order on July 13, 2021, to implement the amendments contained in the CAA, FCC 21-86. Consistent with the CAA, the Commission expanded Reimbursement Program eligibility to providers with ten million or fewer customers and limited the use of Reimbursement Program funds to the removal, replacement, and disposal of covered communications equipment or services produced or provided by Huawei Technologies Company (Huawei) or ZTE Corporation (ZTE), including their subsidiaries and affiliates, that was obtained on or before June 30, 2020. See Protecting Against National Security Threats to the Communications Supply Chain Through FCC Programs, WC Docket No. 18-89, Third Report and Order, FCC 21-86 (rel. July 14, 2021) (Third Report and Order).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Secure Networks Act limits funding use for the permanent removal, replacement, and disposal of communications equipment and services on the Covered List, <https://www.fcc.gov/supplychain/coveredlist>, that are also defined in the 2019 Supply Chain Order, 34 FCC Rcd 11423 (2019), or that are produced or provided by covered companies designated under section 54.9 of the Commissions rules, 47 CFR 54.9, as posing a national security threat to the integrity of communications networks or the communications supply chain. Specifically, the equipment and services eligible for reimbursement from the Covered List are at this time limited to all such communications equipment and services by Huawei and ZTE as covered companies. Separately, the Secure Networks Act prohibits recipients from using funds to purchase, rent, lease, or otherwise obtain any covered communications equipment or service. 47 U.S.C. 1603(c)(2).

Applicant Eligibility:

Non-Government - General; Small business (less than 500 employees); Profit organization; Other public institution/organization; Other private institutions/organizations; Providers of advanced communications service with ten million or fewer customers are eligible to participate in the Reimbursement Program to reimburse such providers for costs reasonably incurred for the replacement, removal, and disposal of Huawei and ZTE communications equipment and service obtained on or before June 30, 2020. The Commission has interpreted the term provider of advanced communications service to mean facilities-based providers, whether fixed or mobile, with a broadband connection to end users with at least 200 kbps in one direction. Second Report and Order, 35

FCC Rcd at 14332, para. 111. The Commission has interpreted the statutory language customers of such provider and customers of any affiliate to mean those customers taking advanced communications service from the provider and its affiliates. Id. at 14333, paras. 113-115. A provider seeking to participate in the Reimbursement Program must have ten million or fewer customers, as of the date its application is filed. To be eligible, the Secure Networks Act and the Commissions rules require participating providers to certify: (i) as of the date of the submission of the application, that the provider has developed: a plan for the permanent removal and replacement of any covered communications equipment or service that is in the communications network of the provider as of such date; and the disposal of the equipment or services removed; and a specific timeline for the permanent removal, replacement, and disposal of the covered communications equipment or service, which timeline shall be submitted to the Commission as part of the application; and beginning on the date of the approval of the application, the provider: will not purchase, rent, lease, or otherwise obtain covered communications equipment or service, using reimbursement funds or any other funds (including funds derived from private sources); and in developing and tailoring the risk management practices of the applicant, will consult and consider the standards, guidelines, and best practices set forth in the cybersecurity framework developed by the National Institute of Standards and Technology. Separately, for purposes of the Reimbursement Program, a school, library, or health care provider, or consortium thereof, may also qualify as a provider of advanced communications service, and therefore be eligible to participate in the Reimbursement Program, if it provides facilities-based broadband connections of at least 200 kbps in one direction to end users, which could include students, patrons, patients, or member institutions in the context of cooperative infrastructure sharing arrangements.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Providers seeking assistance from the Reimbursement Program are required to file a FCC Form 5640 Application Request for Funding Allocation to provide information to determine whether the applicant is eligible to participate in the Reimbursement Program. Each applicant must file the required form, cost estimate and other identifying information, and certifications with the Commission to receive a funding allocation. Applicants for reimbursement funds must certify, among other things, compliance with a prohibition from purchasing, renting, leasing, or otherwise obtaining covered equipment or services with reimbursement funds or any other funding, including private funds, beginning on the date of approval of its application for reimbursement funding allocation. Once an allocation is issued by the Commission, a Reimbursement Program participant can then file a Reimbursement Claim Request to obtain draw-down disbursements from the funding allocation for reasonable expenses actually incurred. Reimbursement Program participants are required to complete the removal, replacement, and disposal process within one year of the initial disbursement of funds unless the Commission grants an extension of time. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To clarify the statement above, only Subpart A- Acronyms and Definitions, Subpart B- General Provisions, Subparts C-D (specifically 2 CFR 200.203 - Requirement to provide public notice of Federal financial assistance programs; 2 CFR 200.303 Internal controls; and 2 CFR 200.331-333 Subrecipient Monitoring and Management); and Subpart F - Audit Requirements apply to this program. During a filing window, eligible providers may request a funding allocation from the Reimbursement Program for the reimbursement of costs reasonably incurred for the permanent removal, replacement, and disposal of covered communications equipment or service. Application Requests for Funding Allocations must include: (i) An estimate of costs reasonably incurred for the permanent removal, replacement, and disposal of covered communications equipment or service from the eligible providers network. Eligible providers may rely upon the predetermined estimated costs identified in

ASSISTANCE LISTINGS DESCRIPTIONS

the Catalog of Expenses Eligible for Reimbursement made available by the Wireline Competition Bureau. Eligible providers that submit their own cost estimates must submit supporting documentation and certify that the estimate is made in good faith; (ii) Detailed information on the covered communications equipment or service being removed, replaced and disposed of; (iii) Various certifications identified in the Program rules; (iv) A specific timeline for the permanent removal, replacement, and disposal of the covered communications equipment or services; and (v) The eligible provider certifies in good faith that it will reasonably incur the estimated costs claimed as eligible for reimbursement; it will use all money received from the Reimbursement Program only for expenses eligible for reimbursement; it will comply with all policies and procedures relating to allocations, draw downs, payments, obligations, and expenditures of money from the Reimbursement Program; it will maintain detailed records, including receipts, of all costs eligible for reimbursement actually incurred for a period of 10 years; and it will file all required documentation for its expenses.

Award Procedure:

The Wireline Competition Bureau has contracted with Ernst & Young, LLP to act as the Fund Administrator for the Reimbursement Program. The Fund Administrator will review applications to determine whether the application is complete, whether the applicant is eligible for the Reimbursement Program, and to assess the reasonableness of the cost estimates provided by the applicant and make recommendations to the Wireline Competition Bureau on whether to grant or deny the application. The Wireline Competition Bureau shall approve or deny applications to receive a funding allocation from the Reimbursement Program within 90 days after the close of the applicable filing window. The Wireline Competition Bureau may extend the deadline for granting or denying applications for up to an additional 45 days if it determines that an excessive number of applications have been filed during the window and additional time is needed to review the applications.

Deadlines:

Applicants must submit requests for funding by the close of the filing window(s) announced by the Wireline Competition Bureau. Per section 1.50004(b) of the Commission's rules, the FCC's Wireline Competition Bureau will announce the opening of an initial filing window in a subsequent public notice when the online filing portal is ready to begin accepting applications. In that public notice, the Wireline Competition Bureau will also announce the duration of the initial filing window.

Range of Approval/Disapproval Time:

Other. The Reimbursement Program is a limited duration program with limited funds. The Wireline Competition Bureau shall issue funding allocations after the close of a filing window. After a filing window closes, the Fund Administrator shall calculate the total demand for Reimbursement Program support submitted by all eligible providers during the filing window period. If the total demand received during the filing window exceeds the total funds available, then the Wireline Competition Bureau shall allocate the available funds consistent with the prioritization schedule established by the Secure Networks Act, as amended, and the Commissions rules. Specifically, the Commission will prioritize advanced communication service providers with two million or fewer customers first, then advanced communications service providers that are accredited public or private non-commercial educational institutions providing their own facilities-based educational institutions providing their own facilities-based educational broadband service, as defined in 47 CFR 27.4, or any successor regulation and health care providers and libraries providing advanced communications services second, and any remaining approved applicants determined to be eligible for reimbursement under the Program third. If there is insufficient funding to fully fund all requests in a particular prioritization category, then the Wireline Competition Bureau will prorate the available funding among all eligible providers in that prioritization category. Requests for funds in subsequent prioritization categories will be denied for lack of available funding.

Appeals:

Other. Any interested person may petition for reconsideration of a final FCC action taken pursuant to delegated authority. 47 CFR 1.106. The petition for reconsideration and any supplement thereto shall be filed within 30 days from the date of public notice of the final FCC action, as that date is defined in 47 CFR 1.4(b), 1.102. Any person aggrieved by any FCC action taken pursuant to delegated authority may file an application requesting review of that action. 47 CFR 1.115.

An application for review and any supplemental thereto shall be filed within 30 days of public notice of such action, as that date is defined in 47 CFR 1.4(b).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Reimbursement Program will have an initial application filing window for the filing of Application Request for Funding Allocation and will establish subsequent filing windows as necessary should support remain, or additional support become available to fund additional requests. Per the Secure Networks Act and the Commissions rules, the Bureau must act on applications within 90 days of submission. If there is an excessive number of applications, the Wireline Competition Bureau can extend this deadline by no more than 45 days. Support from the Reimbursement Program is available until the program funds are exhausted. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit spending reports indicating how reimbursement funds have been spent, including detailed accounting of the covered communications equipment or services permanently removed and disposed of, and the replacement equipment or services purchased, rented, leased, or otherwise obtained, using reimbursement funds. These spending reports must be filed semiannually within 10 calendar days after the end of January and July, starting with the recipients initial draw down of disbursement funds and terminating once the recipient has filed a final spending report showing the expenditure of all funds received as compared to the estimated costs submitted. A final spending report will be due following the filing of a final certification by the recipient. Recipients are required to submit status updates once every 90 days beginning on the date on which the Bureau approved an application for a reimbursement and until the recipient has filed the final certification. Recipients must file the first report within 90 days of receiving their funding allocation. The updates must include information on: (1) efforts undertaken, and challenges encountered, in permanently removing, replacing, and disposing of the covered communications equipment or service; (2) availability of replacement equipment in the marketplace; (3) whether the recipient has fully complied with (or is in the process of complying with) all requirements of the Reimbursement Program; (4) whether the recipient has permanently removed from its communications network, replaced, and disposed of (or is in the process of permanently removing, replacing, and disposing of) all covered communications equipment or services that were in the recipients network as of the date of the submission of the recipients application; and (5) whether the recipient has fully complied with (or is in the process of complying with) the timeline submitted by the recipient.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. To clarify the statement above, per 2 CFR 200.1, a Non-Federal entity means a State, local government, Indian tribe, Institution of Higher Education, or nonprofit organization that carries out a Federal award as a recipient or subrecipient. Additionally, the FCC is evaluating its audit plan for the Supply Chain Reimbursement Program.

Records:

Each Reimbursement Program recipient is required to retain all relevant documents, including invoices and receipts, pertaining to all costs eligible for reimbursement actually incurred for the removal, replacement, and disposal of covered communications equipment or services for a period ending not less than 10 years after the date on which it receives final disbursement from the

ASSISTANCE LISTINGS DESCRIPTIONS

Reimbursement Program. 47 CFR 1.50004(n).

Account Identification:

27-1912-0-1-376.

Obligations:

Direct Payments for Specified Use FY 20 Not Available; FY 21 est \$6,000,000.00; and FY 22 est \$1,894,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

27-1912.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Pursuant to the Secure Networks Act as amended by the CAA, the FCC has established this Reimbursement Program to reimburse eligible providers of advanced communications service for costs reasonably incurred in removing, replacing, and disposing of covered communications equipment and services.

REGULATIONS, GUIDELINES, AND LITERATURE:

FCC 20-176 and FCC 21-86, as well as other FCC Public Notices, forms, and announcement for the Program. 47 CFR 1.50000-0006.

Regional or Local Office:

None.

Headquarters Office:

Federal Communications Commission, Wireline Competition Bureau,
Telecommunications Access Policy Division,
45 L Street NE,
Washington DC 20554
Email: supplychain@fcc.gov
Phone: 2024187400
Fax:

Website Address:

<https://www.fcc.gov/supplychain>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.002 Labor Management Cooperation

FMCS Labor-Management Cooperation Grant Program,

FEDERAL AGENCY:

FEDERAL MEDIATION AND CONCILIATION SERVICE

AUTHORIZATION:

Labor-Management Cooperation Act of 1978, Title VII, Section 6, Public Law 95-524, 29 USC Code 175a.

OBJECTIVES:

The FMCS Grants Office provides federal grants to support the establishment and operation of plant-level, area-wide, industry or sectoral joint labor-management committees confronting specific, definable problems for which they have developed clear, innovative, and measurable long-term solutions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Discretionary Grants are used only to create new or expand existing joint labor-management committees where recognized collective bargaining agreements exist at the company/plant, area, or industry-wide levels (as applicable) and where both labor and management agree to the establishment or expansion.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Not Applicable

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Health Professional; State; Local; Public nonprofit institution/organization; Not Applicable

Credentials/Documentation:

Evidence of the existence of a current collective bargaining agreement and of joint commitment to the project by both labor and management. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Federal Mediation and Conciliation Service Labor-Management Cooperation Grant Program will have funding for fiscal year 2017. Beginning on March 1, 2017 and concluding on May 31, 2017, FMCS will accept applications for potential funding. The complete application package is available thru www.GRANTS.gov. The opportunity number is FMCS-2017 or 34.002.

Award Procedure:

All FY2017 grant applicants will be notified of results and all grant awards will be made on or before September 30, 2017.

Deadlines:

March 01, 2017 - May 31, 2017. No applications/proposals will be accepted after May 31, 2017.

Range of Approval/Disapproval Time:

Other. All notifications will be made by September 30, 2017.

Appeals:

Not Applicable. .

Renewals:

Other. FMCS reserves the right under special condition to award supplemental (continuation) grants subject to funds availability.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. In Fiscal Year 2017, dollars only, no in-kind or project income contributions allowed. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally between 12 and 18 months. A one-time no cost grant extension is approved on a case by case basis for a maximum of six (6) months. Method of awarding/releasing assistance: other

Reports:

During the life of the grant: quarterly narratives, milestones, LMC meeting minutes, and financial reports. Quarterly financial reporting is required. After the grant: within 90 days of grant expiration an evaluation summary of accomplishments and/or obstacles during the grant project period will be submitted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

In accordance with 2 CFR 200.

Account Identification:

93-0000-0-1-000.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants (Discretionary) FY 16 \$514,486.00; FY 17 est \$400,000.00; and FY 18 est \$400,000.00;

Range and Average of Financial Assistance:

The grants solicitation for fiscal year 2017 will not specify range of awards based on type of committee. Grants will be awarded in amounts of up to \$400,000. The amount of the grant request should be based on the reasonable needs of the committee.

TAFS Codes:

93-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FMCS Current Fiscal Year Program Guidelines/Application Solicitation Announcement and Financial and Administrative Grants Manual which incorporates applicable Federal grant regulations. Grantees will also comply with all applicable requirements of all other Federal laws, Executive Orders, regulations and policies governing this program.

Regional or Local Office:

Headquarters Office:

Grants Management Specialist Linda Gray-Broughton,
250 E Street, SW,
Washington DC 20427
Email: lgbroughton@fmcs.gov
Phone: (202) 606-8181
Fax: (202) 606-3434

Website Address:

<http://www.fmcs.gov>

RELATED PROGRAMS:

34.001 Labor Mediation and Conciliation;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant need, measurable goals, practicality of approach, commitment of appropriate parties, cost benefit, effectiveness and overall feasibility.

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE

38.006 State Appraiser Agency Support Grants

FEDERAL AGENCY:

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL
APPRAISAL SUBCOMMITTEE

AUTHORIZATION:

Provisions under Title XI allow the Appraisal Subcommittee (ASC) to award grants to improve State appraiser regulatory processes and advance the appraisal industry with high-quality, impact-oriented programming., Title Financial Institutions Reform, Recovery and Enforcement Act, Part Title XI, Section 1109 (b)(5)

OBJECTIVES:

To provide State Appraiser Regulatory Agencies with funds to advance the appraiser regulatory field, including, but not limited to, developing and attending trainings, improving appraiser credentialing and investigation and complaint processes, and expanding credentialing opportunities in under-served markets and other activities.

TYPES OF ASSISTANCE:

Project Grants (Capacity Building and Complaint Processing, Training)

USES AND USE RESTRICTIONS:

Assistance may be used to support employee salaries and benefits, training, travel, equipment and supplies, contracts and other expenditures needed to meet the objectives of the grant.

Applicant Eligibility:

State; U.S. Territories and possessions; Only State Appraiser Certifying and Licensing Agencies in the 50 states, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, Guam, and the United States Virgin Islands are eligible.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit the following items described in the NOFA Application Facsheet Application Narrative Budget Form, SF 424A Budget Narrative Certification and Assurances

Award Procedure:

The Appraisal Subcommittee staff will review the application based on the criteria described in the NOFA and prepare recommendations for approval by the ASC Board. Upon approval by the ASC Board, the ASC Executive Director will issue the signed grant award.

Deadlines:

August 17, 2020 - June 30, 2021. Application deadlines are August 17, 2020, December 31, 2020, March 31, 2021 and June 30, 2021.

Range of Approval/Disapproval Time:

From 30 to 60 days. The Appraisal Subcommittee staff may request additional information and/or budget revisions before final approval of the grant.

Appeals:

From 30 to 60 days. The ASC anticipates funding all 55 eligible State Agencies that apply and may have to adjust budgets and activities to accommodate all applicants given the amount of funds made available.

Renewals:

>180 Days. Grantees will apply for the second and third year of funding at a time that the ASC will set based on the initial budget period.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will be for three years, with funding provided on an annual basis. Funds must be spent within a three-year project period. Method of awarding/releasing assistance: other

Reports:

Progress reports will be due every six months following instructions the ASC will provide after grants are awarded. Due dates are 30 days after the end of reporting periods that will end September 30 and March 31 of each grant year. Federal Financial Reports (FFRs) will be due every six months. Due dates are 30 days after the end of reporting periods that will end September 30 and March 31 of each grant year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees must maintain financial and program records during the project period and for three years after submitting the final Federal Financial Report.

Account Identification:

30-3622-0-5-026. 36-2502-6-0-002.

Obligations:

Project Grants (Capacity Building and Complaint Processing, Training) FY 19

ASSISTANCE LISTINGS DESCRIPTIONS

\$0.00; FY 20 est \$3,336,000.00; and FY 21 est \$3,336,000.00;

Range and Average of Financial Assistance:

These are new grants that will range from \$25,000 to \$120,000.

TAFS Codes:

36-5026.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Mark Abbott,
1325 G Sreet, NW,
Washington DC 20005
Email: mark@asc.gov
Phone: 202-327-1883
Fax:

Website Address:

<https://www.asc.gov/Grants.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Identified Needs and Proposed Solutions (60%): The extent to which the narrative describes the needs to be met and a well-thought-out program design to achieve the objectives, including clearly defined responsibilities, timelines and milestones for accomplishing tasks. The narrative should include plans to evaluate the effectiveness of the program and ensure high-quality products and services. 2. Organization Capacity (20%): The extent to which the narrative demonstrates the organization's capacity to oversee and monitor the program, including to provide facilities, equipment, and other resources in support of the program. The narrative should also demonstrate the organization's capacity to ensure both financial and programmatic compliance and accountability with rules and regulations. 3. Budget and Cost Effectiveness (20%): The extent to which the budget narrative aligns with the program design and demonstrates an understanding of what is needed to meet the project objectives.

38.008 Training and Technical Assistance for State Appraiser Regulatory Agencies

FEDERAL AGENCY:

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL
APPRAISAL SUBCOMMITTEE

AUTHORIZATION:

Title Title XI of the Financial Institution Reform, Recovery and Enforcement Act (FIRREA), Part Title XI 1109 (b)(5),

OBJECTIVES:

The objectives of this federal assistance are to expand and provide training and technical assistance to agencies that regulate real estate appraisers in their states. This includes curriculum development and training to increase professional competency in the management and regulation of appraiser programs and improvements/expansion of the appraiser and appraiser management company complaint processes, investigations, and enforcement activities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds can be used to cover the costs of personnel, supplies, equipment, travel, consultants, administrative costs, and other costs to develop curricula, provide

training and meet the objectives established under the cooperative agreement.

Applicant Eligibility:

Non-Government - General; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Non-profit and for-profit organizations with experience and background in training and curriculum development are eligible to apply.

Beneficiary Eligibility:

State; State appraiser regulatory agencies will be the recipients of the training and technical assistance provided through this federal assistance.

Credentials/Documentation:

Applicants must complete an Application for Federal Assistance (SF 424), a proposal narrative and a Federal budget form (SF424A). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants will submit a standard Application for Federal Assistance (SF424) and a standard Budget Form (SF424A).

Award Procedure:

ASC staff will review the applications based on the identified criteria to select the most highly qualified organization and prepare a recommendation for the ASC Board. The ASC may need to adjust the scope of the planned activities and the budget to meet limitations that may apply. The ASC Board will make final decisions on the recipient of the cooperative agreement. Upon approval by the ASC Board, the ASC Executive Director will issue the signed grant award.

Deadlines:

Applications must be received by November 12, 2020.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days. The ASC will allow applicants that are not approved to appeal a decision and request information on why the application was not accepted.

Renewals:

>180 Days. Awardees will apply for non-competitive renewal each year for the five-year period of the agreement. Funds for succeeding years will be based on progress towards objectives and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This cooperative agreement will be for five years with funding provided on an annual basis. The project period is January 1, 2021 September 30, 2025. Method of awarding/releasing assistance: other

Reports:

The ASC requires semi-annual progress reports under this cooperative agreement due each year for periods ending March 31 and September 30. The ASC requires semi-annual Federal Financial Reports (SF 425) submitted to the Payment Management System operated by the U.S. Dept. of Health and Human Services.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The ASC requires records as mandated in 2 CFR 200.

Account Identification:

36-2502-6-0-002.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Cooperative Agreements (Discretionary Grants) FY 19 \$0.00; FY 20 est \$350,000.00; and FY 21 est \$350,000.00;

Range and Average of Financial Assistance:

A total of \$2,000,000 is available for this program over a five-year grant period with \$350,000 available for the first year. The ASC anticipates awarding one grant under this NOFA.

TAFS Codes:

36-5026.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Mark Abbott,
The Appraisal Subcommittee,
Washington DC 20005
Email: mark@asc.gov
Phone: 202-327-1883

Fax:

Website Address:

<https://www.asc.gov/Grants.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Organization Capacity. The extent to which the narrative demonstrates the applicants experience working with state regulatory bodies and understanding of needs of states; experience developing and delivering quality training to the target population, organizations capacity to oversee and monitor the program, including to provide facilities, equipment, and other resources in support of the program and the organizations capacity to ensure both financial and programmatic compliance and accountability with rules and regulations. 30 points. Needs/Problem Statement. The extent to which the application articulates current challenges facing the appraiser regulatory field as well as plans for additional discovery/research to identify both existing and future issues that could require robust training and technical assistance through this initiative. 20 points. Proposed Plan. The quality of the initial plan to address identified challenges and objectives, including plans for staffing, course development and administration, processes for working closely with ASC in development of this initiative, use of program evaluation and research to develop new training topics/curricula. The narrative should include plans to evaluate the effectiveness of the program and ensure high-quality products and services. 40 points. Budget and Cost Effectiveness. The extent to which the budget narrative aligns with the program design and demonstrates an understanding of what is needed to meet the project objectives. The cost effectiveness of proposed solutions including anticipated revenue from course offerings paid by State grant funds. 10 points.

38.009 Board Support and Innovation Grant

Board Support and Innovation Grant,

FEDERAL AGENCY:

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL
APPRAISAL SUBCOMMITTEE

AUTHORIZATION:

Title XI authorizes the ASC to grant funds as it deems appropriate to the Appraisal Foundation to support grant-eligible activities of the Foundations Appraiser Qualifications and Appraisal Standards Boards (AQB and ASB), Title Financial

Institutions Reform, Recovery and Enforcement Act, Part Title XI 1109 (b)(4), Section

OBJECTIVES:

The Appraisal Subcommittee is making funds available to the Appraisal Foundation to support the operations of the Appraiser Qualifications Board (AQB) and the Appraisal Standards Board (ASB), including projects and activities that promote reform and innovation and expand the positive impact of the AQB, ASB and to support the Foundations other grant-eligible activities.

TYPES OF ASSISTANCE:

Project Grants (Special)

USES AND USE RESTRICTIONS:

Funds can be used to support the activities of the AQB and ASB, to make materials and trainings available free of charge, to assess the operations of the AQB and ASB, and to assess the best methods for providing practical appraisal experience.

Applicant Eligibility:

Non-Government - General; The only eligible applicant is the Appraisal Foundation.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Foundation must follow the steps described in the NOFA and submit its application directly to the Appraisal Subcommittee.

Award Procedure:

The ASC staff will review the application and approve the specific activities to be supported under the grant as described in the application and contact the Foundation before award for any clarification or budget revisions needed. The ASC executive director will make the funding decision and issue a Notice of Grant Award to the Foundation. Funds will be expended directly from the Foundation.

Deadlines:

December 19, 2020

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable. There is no appeals process because there is only one eligible applicant for these funds, the Appraisal Foundation.

Renewals:

>180 Days. The Foundation may apply for year two and three funding under the grant at a time set by the ASC before the first year grant period ends.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant funds are available for three years with funds provided annually. Grant funds must be spent during the three-year project period. Method of awarding/releasing assistance: other

Reports:

The grantee will submit the standard Federal Financial Report (FFR) every six months. The grantee will submit a performance report every six months, following instructions the ASC will provide.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

ASSISTANCE LISTINGS DESCRIPTIONS

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

36-2502-6-0-002.

Obligations:

Project Grants (Special) FY 19 Not Available; FY 20 est \$785,406.00; and FY 21 est \$1,000,000.00;

Range and Average of Financial Assistance:

The ASC will only make one award of up to \$1,000,000 per year for a three-year grant.

TAFS Codes:

36-5026.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Mark Abbott,
1325 G St. NW, Suite 500,
Washington DC 20005
Email: mark@asc.gov
Phone: 202-327-1883
Fax:

Website Address:

<https://www.asc.gov/Grants.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

must be used for homeless assistance purposes for 30 years; if it is conveyed by lease or permit the property must be used for a period according to the terms of the lease or permit, which is generally not less than one year. Surplus Federal real property is also conveyed at discounts of up to 100 percent for public park or recreation use (restriction: perpetuity); public health or educational purposes (restriction: 30 years for both); historic monument (restriction: perpetuity); correctional (restriction: perpetuity); law enforcement (restriction: perpetuity); emergency management response use, including fire and rescue services (restriction: perpetuity); self-help housing (restriction: 30 years for multifamily, 20 years for single family); port facility use (restriction: perpetuity); wildlife conservation (restriction: perpetuity); public airport (restriction: perpetuity); public highway use (restriction: perpetuity); public road widening use (restriction: perpetuity).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State and local government agencies are eligible to apply for surplus real property for park and recreation, correctional facility, law enforcement, historic monument, public airport, public health, educational, homeless assistance, self help housing, emergency management, public road widening and port facilities. Eligibility for property for wildlife conservation use, other than for migratory birds and highway use, is limited to the States. Tax-supported and nonprofit medical and educational institutions, housing assistance and homeless assistance providers which have been held exempt from taxation under 501(c)(3) of the Internal Revenue Code are also eligible to apply for property for health, educational, self help housing and homeless assistance use, respectively

Beneficiary Eligibility:

Anyone/general public; State and local governments and for certain programs, nonprofit organizations (501(c)(3) may also apply.

Credentials/Documentation:

The applicant must submit an application describing the proposed program of use for the property and evidence of its ability to finance the program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for public health and homeless assistance use are submitted to the Department of Health and Human Services (HHS) which requests assignment of the property from GSA; applications for educational use are submitted to the Department of Education which requests assignment of the property from GSA; applications for both park and recreation and historic monument uses are submitted to the National Park Service, Department of the Interior. The National Park Service requests assignment of the property from GSA for park and recreation use; applications for correctional and law enforcement uses are submitted to the Office of Justice Programs, Bureau of Justice Assistance, Department of Justice; applications for emergency management response uses are submitted to the Federal Emergency Management Agency, Department of Homeland Security; applications for self-help housing are submitted to the Department of Housing and Urban Development, which requests assignment of the property from GSA; applications for port facilities are submitted to the Maritime Administration, Department of Transportation; applications for highway use are submitted to the Federal Highway Administration, Department of Transportation; for public airport use and wildlife conservation use, GSA supplies the applications, which are then submitted to the Federal Aviation Administration, Department of Transportation and the Fish and Wildlife Service, Department of the Interior, respectively.

Award Procedure:

Typically, the sponsoring agency responsible for reviewing and approving the application makes the award; however, for wildlife conservation and public airport

GENERAL SERVICES ADMINISTRATION

39.002 Disposal of Federal Surplus Real Property

FEDERAL AGENCY:

GENERAL SERVICES ADMINISTRATION

AUTHORIZATION:

Executive Order Public Buildings, Property and Works, , Public Law 102-517

OBJECTIVES:

To assist State and local governments, and certain eligible (501(c)(3) tax-exempt status) non-profit organizations with acquiring surplus Federal real property for various public uses that benefit communities.

TYPES OF ASSISTANCE:

Salaries and Expenses, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Based on a determination by GSA of a property's highest and best use, surplus Federal real and related personal property may be conveyed to State and local governments and, in some cases, certain eligible non-profit organizations for various public purposes or uses as allowed by law. These conveyances are called Public Benefit Conveyances (PBCs). Under the law, PBCs are completed without monetary consideration or at discounts of up to 100 percent of the fair market value; and, depending on the PBC, the use of the property is restricted for a period of up to 30 years or in perpetuity. Property may be conveyed at a discount of up to 100 percent for Homeless assistance use; if the property is conveyed by deed, it

ASSISTANCE LISTINGS DESCRIPTIONS

uses, GSA makes the award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 1 to 2 months.

Appeals:

From 1 to 15 days.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

None.

Account Identification:

47-0110-0-1-804.

Obligations:

Salaries and Expenses FY 16 \$4,000,000.00; FY 17 est \$4,200,000.00; and FY 18 est \$4,300,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

47-0110.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Director, Office of Property Disposal, General Services Administration. Applicant's initial contact should be at the regional level. See the Office of Property Disposal for each Region listed in Appendix IV of the Catalog.

Headquarters Office:

David Stinson,
1800 F Street NW,
Washington DC 20405
Email: david.stinson@gsa.gov
Phone: (202) 208-0324.

Website Address:

<http://Rc.gsa.gov>

RELATED PROGRAMS:

15.916 Outdoor Recreation_Acquisition, Development and Planning; 39.003 Donation of Federal Surplus Personal Property;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

39.003 Donation of Federal Surplus Personal Property

(Donation Program),

FEDERAL AGENCY:

GENERAL SERVICES ADMINISTRATION

AUTHORIZATION:

40 U.S.C. 549; 42 U.S.C. 3020d,

OBJECTIVES:

To donate Federal personal property no longer required for Federal use to eligible nonfederal entities in order to maximize the use of Federal property

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Surplus items are used by State and local public agencies for carrying out or promoting one or more public purposes, such as conservation, parks and recreation, education, public health, public safety, economic development and programs for older individuals; by certain nonprofit, tax-exempt activities for public health or educational purposes, including research for such purposes, and for use in programs for older individuals; and by public airports for airport development, operation or maintenance; or by eligible veterans organizations for purposes of providing services to veterans. Federal restrictions require that, at a minimum, all surplus property be placed into use by the donee within 1 year of acquisition and be used at least for 1 year thereafter. Other restrictions, terms and conditions are imposed by GSA and the agencies determining eligibility (shown under Applicant Eligibility, section below) for items with original acquisition value above \$5,000 and for certain commodities, such as vehicles and aircraft. There are restrictions on use during the period of conditional title. Recipients only acquire full, unrestricted title after an established period of time, 12 months, 18 months, 60 months, or in perpetuity, depending on the individual property.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; (a) Applicants other than public airports need to have eligibility determined by their local State Agency for Surplus Property (SASP), established in each state, territory, and the District of Columbia. Public airports need to contact their local Federal Aviation Administration regional office. (b) State participation via the SASP is based upon the acceptance by the General Services Administration (GSA) of a State plan of operation as being in conformance with 40 U.S.C. 549. This State plan must establish a State agency which is responsible for the distribution of Federal surplus personal property to eligible recipients within the State on a fair and equitable basis. Eligible donee categories for the distribution of property through the State Agencies for Surplus Property are defined as: (1) Public agencies which include any (i) State or department, agency, or instrumentality thereof; (ii) political subdivision of the State, including any unit of local government or economic development district, or any department, agency or instrumentality thereof; (iii) instrumentality created by compact or other agreement between State or political subdivisions; (iv) multijurisdictional sub-State district established by or pursuant to State law; and (v) Indian tribe, band, group, pueblo, or community located on a State reservation. Eligibility for public agencies is determined by the State Agency for Surplus Property. (2) Nonprofit, tax-exempt activities such as schools, colleges, universities, libraries, historic light stations, schools for the mentally challenged or physically challenged, educational radio or TV stations, child care centers, museums, medical institutions, hospitals, clinics, health centers, drug abuse treatment centers, providers of assistance to the homeless, providers of assistance to the impoverished and programs for older individuals. (3) Service educational activities as determined by the Deputy Under Secretary of Defense (L/SCI). (4) Public airports. Eligibility is determined by the Federal Aviation Administration.

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(5) Veterans organizations recognized by the Secretary of Veterans Affairs under section 5902 of title 38.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Eligibility is determined by the SASP

Credentials/Documentation:

Contact appropriate State or Federal agency shown under Applicant Eligibility section of this program. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. For information on how to participate in the Federal Surplus Personal Property Donation Program, contact the State Agency for Surplus Property (SASP) in your state or territory. Contact information for each SASP can be found at: www.gsa.gov/sasp. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact appropriate agency shown under Preapplication Coordination section of this program.

Award Procedure:

Upon determination of eligibility, applicant maintains contact with the appropriate agency shown under Preapplication Coordination section of this program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. Applicants participating through State Agencies for Surplus Property may appeal a determination of ineligibility through the State Agency for Surplus Property for review by GSA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 200, subpart F, a nonfederal entity that expends \$750,000 or more during the nonfederal entity's fiscal year in Federal awards must have a single or program-specific audit conducted for that year in accordance with the provisions of that part. A nonfederal entity that expends less than \$750,000 during the nonfederal entity's fiscal year in Federal awards is exempt from Federal audit requirements for that year, except as noted in 200.503.

Records:

Prescribed by agencies shown under Applicant Eligibility section of this program.

Account Identification:

47-0110-0-1-804.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 16 \$7,217,701.00; FY 17 est \$6,967,143.00; and FY 18 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

47-0110.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

41 CFR 102-37, "Donation of Surplus Personal Property," can be found at <http://www.gsa.gov/portal/ext/public/site/FMR/file/FMRTOC102-37.html/category/21858/#wp436256>. Pamphlet, "The Federal Surplus Personal Property Donation Program," is available from the General Services Administration at http://www.gsa.gov/portal/mediaId/144703/fileName/16-00098_PersPropDonat_Bro_final508.action.

Regional or Local Office:

See Regional Assistance Locations. Initial contacts (except Service Educational Activities) should be at regional or local level. See Appendix IV of the Catalog for addresses of GSA Federal Acquisition Service Regional Property Division serving the geographical area of interest. Individual units of Service Educational Activities national organizations such as Boy Scout or Girl Scout troops, Boys and Girls Clubs, etc., should contact their regional or national headquarters; Service Educational Activity Schools should contact their sponsoring military service.

Headquarters Office:

Budget Analyst Sunny Kwa,
1800 F Street, N.W.,
Washington DC 20405
Email: sunny.kwa@gsa.gov
Phone: 202-501-1700

Website Address:

<http://www.gsa.gov/property>

RELATED PROGRAMS:

39.007 Sale of Federal Surplus Personal Property; 39.002 Disposal of Federal Surplus Real Property; 12.700 Donations/Loans of Obsolete DOD Property;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

39.007 Sale of Federal Surplus Personal Property

(Sales Program),

FEDERAL AGENCY:

GENERAL SERVICES ADMINISTRATION

AUTHORIZATION:

Public Law 81-152, 63 Stat. 377, 40 U.S.C. 541 et. seq.,

OBJECTIVES:

To sell personal property no longer needed by the Government in an expeditious, economical and efficient manner and to obtain the maximum net return from sales. The General Services Administration's GSA Auctions is an OMB authorized Sales Center and conducts the sale of personal property for most of the civil agencies; the Department of Defense handles the sale of its own surplus property.

TYPES OF ASSISTANCE:

Salaries and Expenses, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

This program provides individuals, business concerns, and other organizations with the opportunity to enter competitive bids for purchase of a wide variety of personal property whenever it is determined surplus to the needs of the Federal Government and available for sale. Included are vehicles, aircraft, hardware, electronic and medical equipment, furniture, office supplies and equipment, exotic gifts and collectables, and many other items. This program has been expanded offering assets available to the general public via online capabilities. GSA Auctions (www.gsauctions.gov) provides the general public with an Internet website to bid

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electronically on a wide variety of federal personal property. The website allows GSA to offer assets located across the country to any interested buyer, regardless of location. GSA Auctions provides item descriptions and pictures of items available for sale. Successful bidders are notified by email and can also submit payments electronically. Some restrictions may apply based on property type and/or locations. Other laws may restrict those eligible to participate or place conditions on property.

Applicant Eligibility:

Anyone/general public; Competitive bid sales are open to the general public, however bidders must be at least 18 years of age. Individuals cannot successfully register to bid on items without providing a Taxpayer Identification Number (TIN). A TIN is defined as an individual's Social Security Number (SSN) or business entity's Employer Identification Number (EIN).

Beneficiary Eligibility:

U.S. Territories; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; General public.

Credentials/Documentation:

A credit card is required for all registered users in our online community. Credit card validation assists in the prevention of fraudulent bidding activity and ensures that bidders are prepared to accept responsibility for their bidding activity and all submitted bids are valid. This card number is recorded but will not be automatically charged if a bidder is the successful bidder of an item. For security reasons and to prevent fraudulent bidding activities, all registered bidders are subject to billing address verification. No P.O. Box address will be accepted unless accompanied by a street address. By entering the credit card information, you are attesting you are an authorized user of the card. It is required that the bidder creates and secures their username and password. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Interested individuals may participate in GSA sales of Federal personal property by internet auctions or can find information on sales not conducted on the internet by visiting the GSA Auctions website at www.gsauctions.gov. Information is also available at the Federal Asset Sales website at www.govsales.gov.

Award Procedure:

Property is awarded to that responsible bidder whose bid conforms to the invitation and is most advantageous to the Government, though price and other factors may be considered. For internet sales, the successful bidders will be notified by email and must submit payment within 2 business days from the date & time the award email notification was sent. Award notices for other sale methods may be furnished by U.S. mail, email or telephone. It is the bidder's responsibility to follow-up on the status of his/her bid and to ensure that his/her email address and all registration data are kept accurate and up-to-date.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. It is the policy of GSA Auctions to monitor bids and other activity to ensure the proper use of our site. The Government reserves the right to reject any and all bids. Bids can be rejected for any reason, especially those containing inaccurate, incomplete or unverifiable information, or information which is deemed to have been given in bad faith. Indebted bidders will be ineligible for contract awards. Bidders will not be able to bid on items specified on GSA Auctions until all debts have been cleared, and will not be awarded items when participating in other methods of sale, such as sealed bid or fixed price sales.

Appeals:

Not Applicable. Disputes, claims and/or refunds request are subject to the Contract Disputes Act of 1978 (41 U.S.C. 601-613), A claim or request for refund must be

substantiated in writing to the Contracting Officer for issues regarding the claim and request for cure within 15 days from the date of the award.

Renewals:

Not Applicable. If at any time, a participant's information changes, it is their responsibility to update the appropriate information with GSA and in GSA Auctions.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

47-4530-0-4-804.

Obligations:

Salaries and Expenses FY 16 \$8,984,591.00; FY 17 est \$9,154,565.00; and FY 18 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

47-2222.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FMR 102-38, Sale of Personal Property. FMR 102-39, Replacement of Personal Property Pursuant to the Exchange/Sale Authority. Pamphlet available: "For Public Sale! - Used Federal Government Personal Property."

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Federal Acquisition Service, General Services Administration, (select address from Appendix IV of the Catalog for the GSA Federal Acquisition Services, Office of Personal Property Management Sales Program Division which serves the geographical area of interest); or DLA Disposition Services (for Department of Defense surplus property), National Sales Office, 74 Washington Ave. North, Battle Creek, MI 49037-3092. Internet: <http://www.gsa.gov>.

Headquarters Office:

Budget Analyst Sunny Kwa.,
1800 F Street, N.W.,
Washington DC 20405
Email: sunny.kwa@gsa.gov
Phone: (202) 501-1700

Website Address:

<http://www.govsales.gov>

RELATED PROGRAMS:

39.003 Donation of Federal Surplus Personal Property;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

LIBRARY OF CONGRESS

42.010 Teaching with Primary Sources

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

LIBRARY OF CONGRESS

AUTHORIZATION:

Library of Congress Digital Collections and Educational Curricula Act of 2005
USC Code 2 U.S.C. 184.

OBJECTIVES:

Teaching with Primary Sources (TPS) grants seek to fulfill the mission of the Library of Congress to use its collections to connect with those representing diverse communities, beliefs, and endeavors to engage, inspire, and inform Congress and the American people with a universal and enduring source of knowledge and creativity. TPS grants build a nationwide network of organizations that deliver professional development, write curriculum, and create apps and interactives based on the Library's digitized primary sources.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must submit project proposals that address demonstrated educational needs of a specific recipient populations with solutions that standard practice, documented experience, or research suggest would be effective. Fundable projects must use the Library's collections for one or more of the following approaches: Deliver educational programming to various categories of learners Create and distribute educational materials or tools for teaching specific content Convene meetings of like organizations to devise strategies for furthering common learning and teaching goals with Library of Congress materials Conduct research with significant practitioner involvement that investigates the impact of incorporating Library of Congress into educational initiatives.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State; State (includes District of Columbia, public institutions of higher education and hospitals); Eligible applicants include: public or private organizations, both not-for profit and for-profit organizations and including institutions of higher education, colleges, universities, professional associations, library systems, cultural institutions, other educational organizations such as K-12 schools, after-school programs, literacy organizations, centers, clubs, associations of home-schooling parents, honor societies, and other professional, civic, regional, state, and community groups, and collaborative partnerships (such as an organization with content expertise paired with a state or regional educational entity); NOFO - 030ADV21R01794/22/2021 6states; public or private agencies, including for-profit agencies; and Indian tribes and tribal organizations. An applicant may be disqualified if the applicant or any principal or employee has been subject to any legal proceeding involving fraud, criminal activity or has been subject to any Federal or state citation for a criminal activity. If so, an explanation must be provided.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

All applicants are required to obtain a Data Universal Numbering System (DUNS) number from Dun & Bradstreet and then register in SAM.gov prior to submitting an application for this NOFO. Award recipients must continue to maintain an active SAM.gov registration with current information through the life of their Federal award(s). See the Submission Requirements section of this document below for more information on SAM.gov registration. We may not make a Federal award to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the program is ready to make an award, the program may determine that the applicant is not qualified to receive an award. The program can use that determination as a basis for making an award to another applicant. There is no cost to register with Dun & Bradstreet or SAM.gov. There are third-party vendors who

will charge a fee in exchange for registering entities with Dun & Bradstreet and SAM.gov; please be aware you can register and request help for free. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The resulting award from this NOFO will be administered in accordance with the Library of Congress Regulation, LCR 7-310, and policies contained in 2 CFR 200.

Deadlines:

April 22, 2021 - May 28, 2021. Due date for applications: No later than 12:00 PM (Noon Eastern Time) on May 28, 2021

All proposals must be submitted electronically via email to Phoebe Coleman at tps-grant@loc.gov. Proposals submitted through Grants.gov will not be accepted.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

>180 Days. Extensions may be requested for a maximum of 24 months.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 months, with a maximum extension up to 24 months Method of awarding/releasing assistance: quarterly

Reports:

All recipients must use the SF-425, Federal Financial Report form for financial reporting and additional budget summary report. For awards with periods of performance 12 months or longer, recipients are required to submit interim financial reports on the frequency established in the cooperative agreement. The only exception to the interim financial reporting requirement is if the recipient is required to use the SF 270/271 to request payment and requests payment at least once annually, with the option to request monthly or quarterly, through the entire award period of performance. The Library will describe all financial reporting requirements in the cooperative agreement. Performance reports are due on April 5, 2022 and October 5, 2022 and must contain a comparison of actual accomplishments with the established goals and objectives of the award described in the project plan; a description of reasons why established goals were not met, if appropriate; and any other pertinent information relevant to the project results. The report must include qualitative and quantitative information describing activities carried out and specific results achieved during the period. In addition, the report must indicate key implementation challenges encountered and how they were, or are planned to be, resolved. For awards with periods of performance 12 months or longer, recipients are required to submit interim performance reports on the frequency established in the Cooperative Agreement. Final reports are due no later than 90 calendar days after the award period of performance end date or termination date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 All U.S. states, local governments, federally recognized Indian tribal governments, and non-profit organizations expending \$750,000 USD or more in Federal award funds in the applicants fiscal year must submit a Single Audit report for that year

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through the Federal Audit Clearinghouses Internet Data Entry System. U.S. state, local government, federally recognized Indian tribal government, and non-profit applicants must state if your organization was or was not required to submit a Single Audit report for the most recently closed fiscal year. If your organization was required to submit a Single Audit report for the most recently closed fiscal year, provide the EIN associated with that report and state if it is available through the Federal Audit Clearinghouse website.

Records:**Account Identification:**

00-0000-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$9,110,000.00; FY 21 est \$9,424,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

\$35,000 to \$100,000

TAFS Codes:

03-0101.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phoebe Coleman,
101 Independence Avenue, S.E.,
Washington DC 20540

Email: tps-grant@loc.gov

Phone: 202-707-3309

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 A project to design an inquiry-based online course and component assets centered on Teaching with Primary Sources materials. This online course will offer educators a carefully scaffolded curriculum to enhance their ability to promote curiosity, critical thinking, content knowledge, and literacy through questions, Teaching with Primary Sources pedagogy, and primary sources. 2021 A project to design and implement educational projects using Library of Congress materials for use in or outside of formal classroom settings.

CRITERIA FOR SELECTING PROPOSALS:

The Library will assemble panels comprising Library of Congress staff, staff from other government agencies, and senior professionals, from organizations across the country, to review and evaluate submissions on the basis of: the applicants demonstrated history of providing high-quality curricula, materials and tools focused on a specific subject or population; the applicants leadership in educational network(s) that propagate teaching excellence in the field targeted by the proposal; experience, knowledge and contacts within the broader targeted community that would support significant adoption and use of the tools or materials created; quality and use of previously created curricula, instructional materials and tools; and programmatic and financial capability of the applicant, as witnessed by the soundness of the project plan and management of similar previous projects. Specifically, Library staff and review panels will evaluate applications based on the following criteria: Expertise, Need and Impact, Programmatic Financial Capability, Educational Networks and Affiliations, Proposed use of Library Resources, Past Activities, and Budget/ Project Costs.

42.011 Library of Congress Grants

FEDERAL AGENCY:

LIBRARY OF CONGRESS

AUTHORIZATION:

Not applicable,

OBJECTIVES:

To provide grants to individuals and organizations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Please see the NOFO for details.

Applicant Eligibility:

U.S. Territories and possessions; Please see the Notice of Funding Opportunity for details.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see the Notice of Funding Opportunity for details. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Please see the Notice of Funding Opportunity for details.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Please see the Notice of Funding Opportunity for details.

Appeals:

Other. Please see the Notice of Funding Opportunity for details.

Renewals:

Other. Please see the Notice of Funding Opportunity for details.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Please see the Notice of Funding Opportunity for details. Method of awarding/releasing assistance: other

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Please see the Notice of Funding Opportunity for details.

Records:**Account Identification:**

00-0000-0-0-000.

Obligations:

Project Grants FY 20 Not Available; FY 21 Estimate Not Available; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

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00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the Notice of Funding Opportunity for details.

Regional or Local Office:

None.

Headquarters Office:

Library of Congress Grants,
101 Independence Avenue, S.E.,
Washington DC 20540
Email: afc-grants@loc.gov
Phone: 2027075000

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

43.001 Science

SMD,

FEDERAL AGENCY:

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

AUTHORIZATION:

National Aeronautics and Space Act of 1958,

OBJECTIVES:

The NASA Science Mission Directorate (SMD) pursues NASA's strategic objectives using a variety of aircraft, balloon, and spaceflight programs that enable the execution of both remote-sensing and in-situ investigations. Investigations can include the flight of space missions in Earth orbit, as well as to or even beyond objects in the Solar System, and through ground-based research activities that directly support these space missions. SMD also supports basic and applied research and technology investigations in order to understand naturally occurring space and Earth phenomena, human-induced changes in the Earth system, and to develop Earth and space science-related technologies. In July 2020, 1) the Physical Sciences and 2) Space Biology research programs moved to SMD from another NASA organization, i.e., appropriation. Fundamental and applied physical sciences research supports the objective of enabling exploration and pioneering scientific discovery. NASA's experiments in the various disciplines of physical science reveal how physical systems respond to the space environment and the near absence of gravity. The main objective of Space Biology research is to build a better understanding of how spaceflight affects living systems in spacecraft such as the International Space Station (ISS), or in ground-based experiments, and to prepare for future human exploration missions far from Earth. SMD's research grants and cooperative agreements support national goals for robotic and human exploration of space and ground-based investigations that contribute to SMD's science, technology and exploration goals.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use, Direct Payments for Specified Use (Cooperative Agreements), Project Grants, Project Grants (Capacity Building and Complaint Processing, Training), Project Grants (Cooperative Agreements), Project Grants (Discretionary), Project Grants (Fellowships), Project Grants (for collaborative design of curriculum, production of), Project Grants (for specified projects), Project Grants (including individual awards), Project Grants (including travel grants),

Training, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Assistance is for basic and applied research, Technology, Citizen Science, etc. in the area(s) of Astrophysics, Biological Sciences Earth Science, Heliophysics, Physical Sciences and Planetary Science. SMD may establish more use in a particular funding announcement or notice of funding opportunity (NOFO). SMD funds (Treasury Account Symbol = 80 0120) will be used to fund NASA Federal Financial Assistance awards. Review funding opportunity for specific eligibility requirements. When SMD sets restrictions on how assistance may be used, such restrictions are predicated on appropriations and other legislation; International Traffic in Arms Regulations (ITAR) and other regulations; or to address recommendations from the Government Accountability Office. All SMD grants are discretionary funds.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); NASA recognizes and supports the benefits of having diverse and inclusive scientific, engineering, and technology communities and fully expects the reflection of such values in the composition of all panels and teams, including peer review panels, proposal teams, science definition teams, and mission and instrument teams. Per Federal statutes and NASA policy, no eligible applicant shall experience exclusion from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance from NASA on the grounds of their race, color, creed, age, sex, national origin, or disability. NASA welcomes proposals from all qualified and eligible sources, and strongly encourages proposals from Historically Black Colleges and Universities (HBCUs), Minority Serving Institutions (MSIs), small disadvantaged businesses (SDBs), veteran-owned small businesses, service-disabled veteran-owned small businesses (SDVOSB), HUBZone small businesses, and women-owned small businesses (WOSBs), as eligibility requirements apply.

Beneficiary Eligibility:

Federal; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Sponsored organization; Spanish Origin; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Engineer/Architect; Small Business Person; American Indian; Asian; Other Non-White; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Preschool; School; Institutions apply on behalf of individuals. All SMD financial assistance complies with 14 CFR 1250, i.e., the provisions of Title VI of the Civil Rights Act of 1964, that ensures that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any SMD-funded research or research-related activities. As a condition of receipt of NASA funding, the institution acknowledges and agrees that it must comply (and require any beneficiaries, e.g., subgrantees, contractors, successors, transferees, and assignees to comply) with applicable provisions of national laws and policies prohibiting discrimination.

Credentials/Documentation:

An official who is authorized to commit the proposing institution or organization in

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business and financial affairs and who can commit the organization to certain certifications must electronically sign proposals. Review NASAs NOFO Proposers Guidebook for specific certifications language and assurance requirements. Download the most recent NASA Proposers Guidebook from https://www.nasa.gov/offices/ocfo/gpc/regulations_and_guidance 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for most SMD programs. This program is excluded from coverage under E.O. 12372. All proposing organizations and all named team members (including collaborators) must be registered in NASA Solicitation and Proposal Integrated Review and Evaluation System (NSPIRES) <https://nspires.nasaprs.com/> and confirm their participation for the proposal to be submitted via NSPIRES. See NSPIRES help pages.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov. General application processes and procedures are found in the NASA Proposers Guidebook. It is necessary to refer to the individual SMD notice of funding opportunity (NOFO) for specific application procedures that may or may not include requirements for 1) a notice of intent (NOI) to apply; or 2) Two-phase (step) proposals; or 3) White Papers. SMD posts NOFO summaries on Grants.gov. While SMD accepts proposals via grants.gov, in order for SMD to review grants.gov proposals in the NASA Solicitation and Proposal Integrated Review and Evaluation System (NSPIRES) <https://nspires.nasaprs.com/>, Grants.gov users, MUST ALSO have a valid registration in NSPIRES in order to compete for SMD funding. Any NSPIRES required program-specific data (PSD) questions will not be available as a built-in function of grants.gov. Therefore, grants.gov users also must include answers to the PSD questions as an appendix. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Unless otherwise specified in the NOFO, the evaluation criteria considered in evaluating a proposal are: intrinsic merit, relevance, and cost. Intrinsic Merit includes the consideration of the following factors, as applicable to the particular proposal 1) The scientific quality of the proposed project, including, but not limited to, the scientific rationale and the expected significance and/or impact of the proposed work. 2) Overall, technical quality of the proposed work, includes, but is not limited to, the quality of the management plan and project timeline for carrying out the work and the effectiveness and resilience of the proposed experimental designs, methods, techniques, and approaches for achieving the proposed goals and/or objectives. 3) The qualifications, capabilities, and expertise of personnel demonstrated by the proposal (e.g., publications, delivered products, and other measures of productivity and/or experience) that would affect the likelihood of achieving the objectives. 4) Facilities, instruments, equipment and other resources or support systems presented in the proposal that would affect the likelihood of achieving the proposed objectives. A proposal's relevance includes the consideration of the potential contribution to NASA's mission as expressed in its most recent NASA strategic plans and the permitted scope and specific objectives and goals given in the funding announcement. Cost of a proposed effort includes the reasonableness of the proposed cost, as well as whether costs are allowable and allocable to the project.

Deadlines:

January 01, 2021 - April 15, 2022. Jan 01, 2021 to Apr 15, 2022 SMD publishes deadline dates on Grants.gov and <https://nspires.nasaprs.com/>. Annually, SMD traditionally publishes the summary of solicitation for its collective, flagship solicitation, ROSES, in February. Individual competitions, i.e., program elements, such as but not limited to, "Future Investigators in Earth and Space Science and Technology" -FINESST- within ROSES most often are open for 90 days or more following the release of a due date or deadline. ROSES also may first

release a program element in draft for community comment prior to opening proposal submission. Rarely, circumstances may require that proposals be submitted in as few as

30 days after the release of a specific ROSES program element. Some ROSES elements

accept proposals year round without a fixed deadline date. SMD calls elements within

ROSES without deadlines "No Due Date (NoDD) programs" as explained at <https://science.nasa.gov/researchers/nodd>.

On an occasional basis SMD also may use stand-alone cooperative agreement notices (CAN)

for NASA institutes and other complex activities. SMD releases stand-alone CANs, NRAs, or other NOFOs as needed. These are typically open for 90 days.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

From 60 to 90 days. From 90 to 120 days. SMD has a process for requesting a debrief and/or reconsideration of the declination of a proposal submitted in response to an SMD NASA Research Announcement and Cooperative Agreement Notices. Reconsideration may be requested if the PI believes that the proposal was not handled correctly. This process may be found at in the SMD Reconsideration Policy document available in the Library section of the SARA (Acronym stands for Senior Advisor for Research and Analysis) website at <https://sara.nasa.gov> or <https://science.nasa.gov/researchers>

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Notwithstanding no cost extensions that may exceed the general maximum award duration of five years, funding is available as long as the appropriation has not expired. A three-year spending period is most typical. NASA may require final spending occur at least 60 days prior to the appropriations expiration. Once obligated on the award and depending on the appropriations expiration, funding may be available for almost seven years. Method of awarding/releasing assistance: letter

Reports:

Program Reports: Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements. Some funding opportunities may have additional reporting requirements. SF-425 SMD uses the basic federal Research Performance Progress Report format unless the NOFO specifies another format. SF-425 SMD is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, and/or (2) Successful completion of significant activities or objectives identified, and/or (3) On time delivery of progress reports and other required documentation

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

NASA follows the requirements and time period described in 200.334 Retention requirements for records

Account Identification:

80-0120-0-1-252.

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Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$541,835,264.00; FY 21 est \$506,617,223.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Total individual award sizes will vary based on scope from a minimum of about \$5,000 to multi-year awards in the tens of millions of dollars.

TAFS Codes:

80-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NASA uses General and Special Conditions (Award Terms) 2 CFR 1800. NASA also makes Grant Information Circulars (GICs), the Grant and Cooperative Agreement Manual (GCAM), and Proposers Guidebook available for download at https://www.nasa.gov/offices/ocfo/gpc/regulations_and_guidance. NASA uses its Shared Services Center (NSSC) to provide support for NASA research, science, engineering, and education communities in the award and administration of research, education, training, and facility type grants and cooperative agreements. <https://www.nssc.nasa.gov/grants> encourages proposers to download and read Science 2020-2024: A Vision for Scientific Excellence published at <https://science.nasa.gov/about-us/science-strategy>. Researchers interested in NASA science data and planning to propose to a SMD research NOFO will find frequently asked questions and similar resources linked to the Overview page <https://science.nasa.gov/researchers>

Regional or Local Office:

See Regional Assistance Locations. SMD has no regional or local offices outside of Washington, DC.

Headquarters Office:

Max Bernstein,

Mary W. Jackson NASA Headquarters Building 300 Hidden Figures Way SW., Washington DC 20546

Email: HQ-SARA@nasa.gov

Phone: 202.358.0879

Fax: Mary Frances Sladek,

Mary W. Jackson NASA Headquarters Building 300 Hidden Figures Way SW., Washington DC 20546

Email: Mary.F.Sladek@nasa.gov

Phone: 202-358-0861

Fax:

Website Address:

<https://science.nasa.gov/>

RELATED PROGRAMS:

43.002 Technology Transfer; 43.003 Exploration; 43.012 Space Technology; 43.009 Safety, Security and Mission Services; 43.008 Office of Stem Engagement (OSTEM);

EXAMPLES OF FUNDED PROJECTS:

2021 Award selection abstracts are published on the internet for each NASA Research Announcement (NRA) or Cooperative Agreement Notice (CAN). For example, the NRA entitled: Research Opportunities in Space and Earth Sciences (ROSES) has award abstracts available starting from January 1, 2005 to the present. Find the ROSES abstracts by Fiscal Year at <http://nspires.nasaprs.com>. Step one: click "Closed/Past." Then when you reach the Solicitations Page choose Science Mission Directorate to begin a search. Beneath the "Solicitation #" column is a hyperlink for each Notice of Funding opportunity. When you reach a solicitations specialized page, you will be able to download the abstracts in a PDF file under the heading "Selections". Information from 2004 to the present about the Biology and Physical Sciences awards are located within the Task Book database established by the Space Life and Physical Sciences Research and Applications Division once supported by Human Exploration and Operations Mission Directorate (HEO) at <https://taskbook.nasaprs.com/tbp/welcome.cfm>. The Task Book has searchable archives for biology and physical science projects from 1995-2003.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

43.002 Aeronautics

Aeronautics,

FEDERAL AGENCY:

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

AUTHORIZATION:

Aeronautics and Space Act of 1958.

OBJECTIVES:

The NASA Mission draws support from NASA's world-class capability for aeronautical research founded on a tradition of expertise in aeronautical engineering and core research areas from within the Aeronautics Research Mission Directorate (ARMD). ARMD maintains and advances U.S. global leadership in aviation through applications of new concepts and technologies pioneered by NASA and developed in partnership with U.S. Industry that lead to transformative improvements in mobility, efficiency, and safety.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Dissemination of Technical Information, Project Grants, Project Grants (Capacity Building and Complaint Processing, Training), Project Grants (Contracts), Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements), Project Grants (Discretionary), Project Grants (Fellowships), Project Grants (Rehabilitation of existing indoor and outdoor recreation), Project Grants (Special), Project Grants (for administration projects authorized under Section, Project Grants (for collaborative design of curriculum, production of, Project Grants (for specified projects), Project Grants (including individual awards), Project Grants (including travel grants), Project Grants (to capitalize loan funds), Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, or Training Opportunities in the area of Aeronautics. Review funding opportunity announcement for additional information.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); NASA recognizes and supports the benefits of having diverse and inclusive scientific, engineering, and technology communities and fully expects the reflection of such values in the composition of all panels and teams, including peer review panels, proposal teams, science definition teams, and mission and instrument teams. Per Federal statutes and NASA policy, no eligible applicant shall experience exclusion from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance from NASA on the grounds of their race, color, creed, age, sex, national origin, or disability. NASA welcomes proposals from all qualified and eligible sources, and strongly encourages proposals from Historically Black Colleges and Universities (HBCUs), Minority Serving Institutions (MSIs), small disadvantaged businesses (SDBs), veteran-owned small businesses, service-disabled veteran-owned small businesses (SDVOSB), HUBZone small businesses, and women-owned small businesses (WOSBs), as eligibility requirements apply.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other

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private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Engineer/Architect; Intrastate; Industrialist/ Business person; Small Business Person; State; U.S. Citizen; Local; Preschool; School; Child (6-15); Youth (16-21); Sponsored organization; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Institutions apply on behalf of individuals. All ARMD financial assistance complies with 14 CFR 1250, i.e., the provisions of Title VI of the Civil Rights Act of 1964, that ensures that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any ARMD-funded research or research-related activities. As a condition of receipt of NASA funding, the institution acknowledges and agrees that it must comply (and require any beneficiaries, e.g., subgrantees, contractors, successors, transferees, and assignees to comply) with applicable provisions of national laws and policies prohibiting discrimination.

Credentials/Documentation:

Financial Assistance Use of Unique Entity Identifier & SAM requirements. Review NASA Proposers Guide and Grant and Cooperative Agreement (GCAM) for specific certifications and assurance requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required. All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRS) <https://nspires.nasaprs.com/external>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See the Funding Opportunity for specific deadline information

Appeals:

From 30 to 60 days. Additional information regarding NASA appeals policy and process can be found in the NASA Grant and Cooperative Agreement Manual

Renewals:

From 30 to 60 days. Renewal and extension information can be found in the NASA Grants and Cooperative Agreement Manual (GCAM) and the NASA NSSC website at <https://www.nasa.gov/centers/nssc/grants>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Review funding opportunity announcement for additional information. Method of awarding/releasing assistance: letter

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements. Some funding opportunities may have additional reporting requirements. SF-425 NASA uses the Research Performance Progress Report. SF-425 The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

NASA follows the requirements from 2 CFR 200.333

Account Identification:

80-0126-0-1-402.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$29,196,851.00; FY 21 est \$22,651,012.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-0126.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms) 2 CFR 1800 NASA Grant & Cooperative Agreement Manual Research Terms and Conditions

Regional or Local Office:

None. ARMD does not have any regional or local offices outside of Washington, DC

Headquarters Office:

Anil Nijhawan,
Program Manager 300 E Street, SW,
Washington DC 20546
Email: nnijhawa@nasa.gov
Phone: 202.358.2305
Fax:

Website Address:

<https://www.nasa.gov/aeroresearch>

RELATED PROGRAMS:

40.002 Government Publications Sales and Distribution; 43.001 Aerospace Education Services Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors: (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal; (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives; (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

ASSISTANCE LISTINGS DESCRIPTIONS

43.003 Exploration

FEDERAL AGENCY:

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

AUTHORIZATION:

Aeronautics and Space Act of 1958,

OBJECTIVES:

The Exploration Mission Directorate provides financial assistance for Basic Research, Educational Outreach, or Training Opportunities in the area of space exploration. Applicants should review funding opportunity announcement for additional information.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use, Direct Payments for Specified Use (Cooperative Agreements), Dissemination of Technical Information, Project Grants, Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements), Project Grants (Discretionary), Project Grants (Fellowships), Project Grants (for specified projects), Project Grants (including individual awards), Project Grants (including travel grants), Provision of Specialized Services, Training, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

This financial assistance is used for Basic Research, Educational Outreach, or Training Opportunities in the area of space exploration. Applicants should review funding opportunity announcement for additional information.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); NASA recognizes and supports the benefits of having diverse and inclusive scientific, engineering, and technology communities and fully expects the reflection of such values in the composition of all panels and teams, including peer review panels, proposal teams, science definition teams, and mission and instrument teams. Per Federal statutes and NASA policy, no eligible applicant shall experience exclusion from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance from NASA on the grounds of their race, color, creed, age, sex, national origin, or disability. NASA welcomes proposals from all qualified and eligible sources, and strongly encourages proposals from Historically Black Colleges and Universities (HBCUs), Minority Serving Institutions (MSIs), small disadvantaged businesses (SDBs), veteran-owned small businesses, service-disabled veteran-owned small businesses (SDVOSB), HUBZone small businesses, and women-owned small businesses (WOSBs), as eligibility requirements apply

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Engineer/Architect; Intrastate; Industrialist/ Business person; Small Business Person; State; U.S. Citizen; Local; Preschool; School; Child (6-15); Youth (16-21); Sponsored organization; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit

institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Black; American Indian; Spanish Origin; Asian; Other Non-White; Women; Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Institutions apply on behalf of individuals. All Human Exploration financial assistance complies with 14 CFR 1250, i.e., the provisions of Title VI of the Civil Rights Act of 1964, that ensures that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any Human Exploration -funded research or research-related activities. As a condition of receipt of NASA funding, the institution acknowledges and agrees that it must comply (and require any beneficiaries, e.g., subgrantees, contractors, successors, transferees, and assignees to comply) with applicable provisions of national laws and policies prohibiting discrimination

Credentials/Documentation:

Financial Assistance Use of Unique Entity Identifier & SAM requirements. Review NASA Proposers Guide and NASA Grant and Cooperative Agreement (GCAM) for specific certifications and assurance requirements, Review funding opportunity announcement for additional information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Applicants should review individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required. All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRES) <https://nspires.nasaprs.com/external>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

October 01, 2020. Applicants should review funding opportunity announcement for specific deadlines and other key dates.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 30 to 60 days. Information on NASA appeals policy and process can be found in the NASA Grant and Cooperative Agreement Manual (GCAM)

Renewals:

From 30 to 60 days. Renewal and extension information can be found in the NASA Grant and Cooperative Manual (GCAM) and the NSSC website at <https://www.nasa.gov/centers/nssc/grants>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Review funding opportunity announcement for additional information. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative

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Agreement for interim and final reporting requirements. Some funding opportunities may have additional reporting requirements. Standard Form (SF) 425. NASA uses the Research Performance Progress Report, Standard Form (SF) 425. The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

NASA follows the requirements from 2 CFR 200.333

Account Identification:

80-0124-0-1-252.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$67,035,535.00; FY 21 est \$37,568,665.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

The range in award value depends on the research opportunities objectives and the number of meritorious proposals accepted. Awards will generally range from under \$100K per year for focused, limited efforts (e.g., data analysis) to \$1M per year for extensive activities (e.g., development of scientific hardware) and will be made as a grant, cooperative agreement, or contract.

TAFS Codes:

80-0124.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Terms and Conditions (Award Terms) Grants and Cooperative Agreement Manual 2 CFR 1800 Research Terms and Conditions

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

John R. Allen,
Management and Program Analyst - 300 E St., SW,
Washington DC 20546
Email: john.r.allen@nasa.gov
Phone: 202.358.4740
Fax:

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 - Human Exploration Research Opportunities (HERO): Solicits applied research in support of NASA's Human Research Program (HRP). The research falls into one or more categories corresponding to HRP's five Elements: Space Radiation, Human Health Countermeasures, Exploration Medical Capability, Human Factors and Behavioral Performance, and Research Operations and Integration. Solicitation covers all aspects of research to provide human health and performance countermeasures, knowledge, technologies, and tools to enable safe, reliable, and productive human space exploration. Ground and Flight investigations within applied research and development; technology readiness level maturation; and technology demonstrations.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors: (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the

proposal; (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives; (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.007 Space Operations

Space Operations,

FEDERAL AGENCY:

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

AUTHORIZATION:

Aeronautics and Space Act of 1958,

OBJECTIVES:

The Space Operations Mission Directorate provides assistance for Basic Research, Educational Outreach, or Training Opportunities in the area of space operations.

Applicants should review funding opportunity announcement for additional information

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements), Project Grants (Discretionary), Project Grants (Fellowships), Project Grants (for collaborative design of curriculum, production of, Project Grants (for specified projects), Project Grants (including individual awards), Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, and Training Opportunities in the area of Space Operations. Review funding opportunity announcement for additional information.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); NASA recognizes and supports the benefits of having diverse and inclusive scientific, engineering, and technology communities and fully expects the reflection of such values in the composition of all panels and teams, including peer review panels, proposal teams, science definition teams, and mission and instrument teams. Per Federal statutes and NASA policy, no eligible applicant shall experience exclusion from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance from NASA on the grounds of their race, color, creed, age, sex, national origin, or disability. NASA welcomes proposals from all qualified and eligible sources, and strongly encourages proposals from Historically Black Colleges and Universities (HBCUs), Minority Serving Institutions (MSIs), small disadvantaged businesses (SDBs), veteran-owned small businesses, service-disabled veteran-owned small businesses (SDVOSB), HUBZone small businesses, and women-owned small businesses (WOSBs), as eligibility requirements apply.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other

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private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Engineer/Architect; Intrastate; Industrialist/ Business person; Small Business Person; State; U.S. Citizen; Local; Preschool; School; Child (6-15); Youth (16-21); Sponsored organization; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; White Institutions apply on behalf of individuals. All Space Operations financial assistance complies with 14 CFR 1250, i.e., the provisions of Title VI of the Civil Rights Act of 1964, that ensures that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any Space Operations -funded research or research-related activities. As a condition of receipt of NASA funding, the institution acknowledges and agrees that it must comply (and require any beneficiaries, e.g., grantees, contractors, successors, transferees, and assignees to comply) with applicable provisions of national laws and policies prohibiting discrimination.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. - See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required. All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRES) <https://nspires.nasaprs.com/external>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 30 to 60 days. Information on NASA appeals policy and process can be found in the NASA Grant and Cooperative Agreement Manual (GCAM)

Renewals:

From 30 to 60 days. Renewal and extension information can be found in the NASA Grant and Cooperative Manual (GCAM) and the NSSC website at <https://www.nasa.gov/centers/nssc/grants>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Applicants should review funding opportunity announcement for additional information. Method of awarding/releasing assistance: letter

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative

Agreement for interim and final reporting requirements. Some funding opportunities may have had additional reporting requirements. Standard Form (SF) 425 NASA uses the Research Performance Progress Report. Standard Form (SF) 425 The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

80-0115-0-1-252.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$30,519,591.00; FY 21 est \$25,686,977.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

The range in award value depends on the research opportunities objectives and the number of meritorious proposals accepted.

TAFS Codes:

80-0115.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms) NASA Grant & Cooperative Agreement Manual 2 CFR 180014 CFR 1274

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Robyn Gatens,
Director, ISS - 300 E Street, SW,
Washington DC 20546
Email: robyn.gatens@nasa.gov
Phone: 202.358.4593
Fax:

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Ground and Flight investigations within applied research and development; technology readiness level maturation; and technology demonstrations to be performed on the International Space Station.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors: (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal; (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives; (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

ASSISTANCE LISTINGS DESCRIPTIONS

43.008 Office of Stem Engagement (OSTEM)

OSTEM,

FEDERAL AGENCY:

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

AUTHORIZATION:

Aeronautics and Space Act of 1958, Executive Order Title EO 13779: Historically Black Colleges and Universities (HBCUs) EO 13592: Tribal Colleges and Universities (TCUs)/American Indian and Alaskan Native Serving Institutions (AIANSI) EO 13555: Hispanic Serving Institutions (HSIs) EO 13515: Asian American and Native American Pacific Islander Serving Institutions (AANAPISIs) EO 13621: Predominantly Black Institutions (PBIs), Public Law 100-100-147 Title II Section 202

OBJECTIVES:

NASAs journeys have propelled technological breakthroughs, pushed the frontiers of scientific research, and expanded our understanding of the universe. These accomplishments, and those to come, share a common genesis: education in STEM. NASAs Office of STEM Engagement (OSTEM) delivers tools for young Americans and educators to learn and succeed. OSTEM seeks to: (1) create unique opportunities for students and the public to contribute to NASAs work in exploration and discovery, (2) build a diverse future STEM workforce by engaging students in authentic learning experiences with NASA people, content, and facilities, and (3) strengthen public understanding by enabling powerful connections to NASAs mission and work.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Contracts), Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements), Project Grants (Discretionary), Project Grants (Fellowships), Project Grants (for specified projects), Project Grants (including individual awards), Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, or Training Opportunities in the area of Education. Review funding opportunity announcement for additional information. Basic Research, Educational Outreach, or Training Opportunities in the area of Education. Review funding opportunity announcement(s) for additional information.

Applicant Eligibility:

Intrastate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); NASA recognizes and supports the benefits of having diverse and inclusive scientific, engineering, and technology communities and fully expects the reflection of such values in the composition of all panels and teams, including peer review panels, proposal teams, science definition teams, and mission and instrument teams. Per Federal statutes and NASA policy, no eligible applicant shall experience exclusion from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance from NASA on the grounds of their race, color, creed, age, sex, national origin, or disability. NASA welcomes proposals from all qualified and eligible sources, and strongly encourages proposals from Historically Black Colleges and Universities (HBCUs), Minority Serving Institutions (MSIs), small disadvantaged businesses (SDBs), veteran-owned small businesses, service-disabled veteran-owned small businesses (SDVOSB), HUBZone small businesses, and women-owned small businesses (WOSBs), as eligibility requirements apply

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Engineer/Architect; Intrastate; Industrialist/ Business person; Small Business Person; State; U.S. Citizen; Local; Preschool; School; Child (6-15); Youth (16-21); Sponsored organization; Major Metropolitan (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Women; Black; American Indian; Asian; Disabled (e.g. Deaf, Blind, Physically Disabled); Spanish Origin; Other Non-White; Institutions apply on behalf of individuals. All OSTEM financial assistance complies with 14 CFR 1250, i.e., the provisions of Title VI of the Civil Rights Act of 1964, that ensures that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any OSTEM -funded research or research-related activities. As a condition of receipt of NASA funding, the institution acknowledges and agrees that it must comply (and require any beneficiaries, e.g., subgrantees, contractors, successors, transferees, and assignees to comply) with applicable provisions of national laws and policies prohibiting discrimination

Credentials/Documentation:

Financial Assistance Use of Unique Entity Identifier & SAM requirements. Review NASA Proposers Guide and NASA Grant and Cooperative Agreement Manual (GCAM) for specific certifications and assurance requirements. Review funding opportunity announcement for additional information. 2 CFR 200, Subpart E - Cost Principles applies Space Grant and EPSCoR programs. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. All Principal Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integrated Review and Evaluation System (NSPIRES) <https://nspires.nasaprs.com/>. See NASA Proposer's Guidebook.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement(s) and updates for any deviations that may apply to that funding opportunity.

Deadlines:

January 01, 2021 - December 31, 2022. Application deadlines are published in the notice of funding opportunity found in grants.gov and <https://nspires.nasaprs.com/>

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 30 to 60 days. Information on NASA appeals policy and process can be found in the NASA Grant and Cooperative Agreement Manual (GCAM)

Renewals:

From 30 to 60 days. Renewal and extension information can be found in the NASA Grant and Cooperative Manual (GCAM) and the NSSC website at <https://www.nasa.gov/centers/nssc/grants>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are mandatory. Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing for MUREP and NextGen STEM. Matching

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requirements are applicable to this assistance listing for EPSCoR and Space Grant MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, up to two years but no more than five years. Review funding opportunity announcement(s) for additional information. Method of awarding/releasing assistance: letter

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements. Some funding opportunities may have additional reporting requirements. Standard Form (SF) 425 EPSCoR uses the Research Performance Progress Report (RPPR). MUREP, NextGen STEM and Space Grant uses a modified RPPR. Standard Form (SF) 425 The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

NASA follows the requirements from 2 CFR 200.333

Account Identification:

80-0128-0-1-252.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$59,290,430.00; FY 21 est \$81,590,683.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Varies by program. Review funding opportunity announcement(s) for additional information

TAFS Codes:

80-0128.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms) 2 CFR 180014CFR 1259 NASA Grant & Cooperative Agreement Manual Research Terms and Conditions

Regional or Local Office:

None. Varies by program. Review funding opportunity announcement(s) for additional information

Headquarters Office:

Elaine Ho,

Deputy Associate Administrator - Office of STEM Engagement, Washington DC 20546

Email: elaine.p.ho@nasa.gov

Phone: (202)358-1474

Fax: (202)358-3472

Website Address:

<https://www.nasa.gov/stem>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 National Space Grant College and Fellowship Program Minority University Research and Education Program Next Generation STEM Established Program to Stimulate Competitive Research 2021 National Space Grant College and

Fellowship Program Minority University Research and Education Program Next Generation STEM Established Program to Stimulate Competitive Research 2022 National Space Grant College and Fellowship Program Minority University Research and Education Program Next Generation STEM Established Program to Stimulate Competitive Research

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors: (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal; (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives; (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.009 Safety, Security and Mission Services

Safety, Security and Mission Services,

FEDERAL AGENCY:

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

AUTHORIZATION:

Aeronautics and Space Act of 1958,

OBJECTIVES:

Provides effective and efficient institutional support to enable successful accomplishment of NASA mission objectives by integrating resources, infrastructure, processes, and advocates for institutional capabilities and needs for NASA, and optimizing mission support services through strategic analysis and Business Services Assessments to enable more efficient operations for NASA.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Contracts), Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements), Project Grants (Discretionary), Project Grants (Fellowships), Project Grants (for collaborative design of curriculum, production of, Project Grants (for specified projects), Project Grants (including individual awards), Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

Basic Research, Educational Outreach, or Training Opportunities. Applicants should review funding opportunity announcement for additional information.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; NASA recognizes and supports the benefits of having diverse and inclusive scientific, engineering, and technology communities and fully expects the reflection of such values in the composition of all panels and teams, including peer review panels, proposal teams, science definition teams, and mission and instrument teams. Per Federal statutes and NASA policy, no eligible applicant shall experience exclusion from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance from NASA on the grounds of their race,

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color, creed, age, sex, national origin, or disability. NASA welcomes proposals from all qualified and eligible sources, and strongly encourages proposals from Historically Black Colleges and Universities (HBCUs), Minority Serving Institutions (MSIs), small disadvantaged businesses (SDBs), veteran-owned small businesses, service-disabled veteran-owned small businesses (SDVOSB), HUBZone small businesses, and women-owned small businesses (WOSBs), as eligibility requirements apply.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Graduate Student; Scientist/Researchers; Engineer/Architect; Intrastate; Industrialist/Business person; Small Business Person; State; U.S. Citizen; Local; Preschool; School; Child (6-15); Youth (16-21); Sponsored organization; Major Metropolitan (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Black; American Indian; Spanish Origin; Asian; Other Non-White; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Institutions apply on behalf of individuals. All NASA financial assistance complies with 14 CFR 1250, i.e., the provisions of Title VI of the Civil Rights Act of 1964, that ensures that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any NASA - funded research or research-related activities. As a condition of receipt of NASA funding, the institution acknowledges and agrees that it must comply (and require any beneficiaries, e.g., subgrantees, contractors, successors, transferees, and assignees to comply) with applicable provisions of national laws and policies prohibiting discrimination

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See individual funding opportunity announcements to determine if Notice of Intent and/or Phase 1 proposals are required. All Principle Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRS) <https://nspires.nasaprs.com/external>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and phase 1/phase 2 applications. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

October 01, 2021. Applicants should review funding opportunity announcement for specific deadlines and other key dates Review funding opportunity announcement for additional information.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 30 to 60 days. Information on NASA appeals policy and process can be found in the NASA Grant and Cooperative Agreement Manual (GCAM)

Renewals:

From 30 to 60 days. Renewal and extension information can be found in the NASA Grant and Cooperative Manual (GCAM) and the NSSC website at <https://www.nasa.gov/centers/nssc/grants>

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, up to three years but no more than five years. Review funding opportunity announcement for additional information. Method of awarding/releasing assistance: letter

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements. Some funding opportunities may have had additional reporting requirements. Standard Form (SF) 425 NASA uses the Research Performance Progress Report. Standard Form (SF) 425 in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

NASA follows the requirements from 2 CFR 200.333

Account Identification:

80-0122-0-1-252.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$8,988,118.00; FY 21 est \$9,684,867.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

80-0122.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General and Special Conditions (Award Terms) 2 CFR 200 NASA Grant & Cooperative Agreement Manual Research Terms and Conditions

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Chuck Brooks,
Deputy Director- Resources & Perf. Mgmt 300 E Street, SW.,
Washington DC 20546
Email: charles.e.brooks@nasa.gov
Phone: 202.358.2582
Fax:

Website Address:

<http://www.nasa.gov>

RELATED PROGRAMS:

43.001 Science; 43.002 Aeronautics; 43.003 Exploration; 43.007 Space Operations; 43.008 Office of Stem Engagement (OSTEM); 43.012 Space Technology;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation of intrinsic merit includes consideration of the following factors: (i)

ASSISTANCE LISTINGS DESCRIPTIONS

Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal; (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives; (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

43.012 Space Technology

STMD, Space Tech,

FEDERAL AGENCY:

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

AUTHORIZATION:

Aeronautics and Space Act of 1958,

OBJECTIVES:

The Space Technology Mission Directorate (STMD) is dedicated to developing transformative, cross-cutting technologies that enable NASAs missions while also supporting commercial and other government agencies needs where appropriate. The Space Technology account supports the Administration's priorities of developing new technologies to enable human and robotic exploration of the Moon, Mars, and beyond and enhancing research and development to contribute to U.S. leadership in space technology. Through STMD, NASA invests in high-risk, high-reward activities across the technology development spectrum through partnerships with academia, entrepreneurs, and small and large businesses.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use (Cooperative Agreements), Project Grants, Project Grants (Capacity Building and Complaint Processing, Training), Project Grants (Cooperative Agreements), Project Grants (Discretionary), Project Grants (Fellowships), Project Grants (for collaborative design of curriculum, production of, Project Grants (for specified projects), Project Grants (including individual awards), Project Grants (including travel grants), Training, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Basic research, applied research, technology development, or training opportunities in the area of space technology.

Applicant Eligibility:

Government - General; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Minority group; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Anyone/general public; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Other private institutions/organizations; NASA recognizes and supports the benefits of having diverse and inclusive scientific, engineering, and technology communities and fully expects the reflection of such values in the composition of all panels and teams, including peer review panels, proposal teams, science definition teams, and mission and instrument teams. Per Federal statutes and NASA policy, no eligible applicant shall experience exclusion from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving financial assistance from NASA on the grounds of their race, color, creed, age, sex, national origin, or disability. NASA welcomes proposals from all qualified and eligible sources, and strongly encourages proposals from Historically Black Colleges and Universities (HBCUs), Minority Serving Institutions (MSIs), small disadvantaged businesses (SDBs), veteran-owned small businesses, service-disabled veteran-owned small businesses (SDVOSB), HUBZone small businesses, and women-owned small businesses

(WOSBs), as eligibility requirements apply.

Beneficiary Eligibility:

Federal; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Sponsored organization; Individual/Family; Anyone/general public; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Engineer/Architect; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Institutions apply on behalf of individuals. All STMD financial assistance complies with 14 CFR 1250, i.e., the provisions of Title VI of the Civil Rights Act of 1964, that ensures that no person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any STMD-funded research or research-related activities. As a condition of receipt of NASA funding, the institution acknowledges and agrees that it must comply (and require any beneficiaries, e.g., subgrantees, contractors, successors, transferees, and assignees to comply) with applicable provisions of national laws and policies prohibiting discrimination

Credentials/Documentation:

Financial Assistance Use of Unique Entity Identifier and SAM Requirements. Copy of Negotiated Indirect Cost Rate Agreement is required for all entities requesting indirect costs. Check each funding announcement to determine if other credentials, certifications or documentation are required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See individual funding opportunity announcements to determine if Notice of Intent and/or multi-phase proposals are required. All Principal Investigators and Co-Investigators are required to register in NASA Solicitation and Proposal Integration and Review System (NSPIRES) <https://nspires.nasaprs.com/external>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. General application processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for specific application procedures, including requirements for intent to apply and multi-phase applications. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

General award processes and procedures are found in the NASA Proposers Guide. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Deadlines:

For the latest opportunities to work with STMD, see our current and upcoming solicitations. Review individual solicitations for specific deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Review the individual funding opportunity announcement and updates for any deviations that may apply to that funding opportunity.

Appeals:

From 30 to 60 days. NASA appeals policy and process can be found in the NASA Grant and Cooperative agreement manual.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Generally, up to three years but no more than five years. Method of awarding/releasing assistance: letter

Reports:

Annual Progress Reports (Summary of Annual Progress/Metrics); Quarterly Progress Reports (Summary of Quarterly Progress/Metrics), Summary of Research/Educational Outreach Reports, and FFATA (FSRS) Reports. NASA has a New Technology Reporting requirement. See NASA Grants and Cooperative Agreement for interim and final reporting requirements. Some funding opportunities may have had additional or alternative reporting requirements. A Federal Cash Transactions Report (SF 425), and, when applicable, a Continuation Sheet (SF 425) electronically to DHHS/PMS within 30 working days following the end of each Federal Fiscal quarter NASA uses the Research Performance Progress Report. A final SF 425 in electronic or paper form to NASA within 90 calendar days after the expiration date of the grant. The requesting office is required to monitor recipient performance for an incremental period in a manner that permits evaluation and review of the effort performed. For example, measurement criteria may include: (1) Meeting specified milestones between beginning and ending dates, (2) Successful completion of significant activities or objectives identified, and/or (3) On-time delivery of reports and other required documentation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR 1800 NASA Grants and Cooperative Agreements Manual

Records:

Records shall be retained in accordance with 2 CFR 200.333.

Account Identification:

80-0131-0-1-252.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$56,590,000.00; FY 21 est \$56,590,000.00; FY 22 est \$60,000,000.00;

Range and Average of Financial Assistance:

Total individual award sizes will vary based on scope from tens of thousands to millions of dollars per award.

TAFS Codes:

80-0131.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

LK Kubendran,
Strategic Planning & Integration - 300 E Street, SW,
Washington DC 20546

Email: laguduva.r.kubendran@nasa.gov

Phone: 202.358.2528

Fax:

Website Address:

<https://www.nasa.gov/directorates/spacetechnology/home/index.html>

RELATED PROGRAMS:

43.001 Science; 43.002 Aeronautics; 43.003 Exploration;

EXAMPLES OF FUNDED PROJECTS:

2020

https://www.nasa.gov/directorates/spacetechnology/niac/NIAC_funded_studies.html
<https://www.nasa.gov/directorates/spacetechnology/str/nstgro>
https://www.nasa.gov/directorates/spacetechnology/str/archives_stro.html
<https://www.nasa.gov/directorates/spacetechnology/early-stage-innovations-esi>
<https://www.nasa.gov/directorates/spacetechnology/lustr>
<https://www.nasa.gov/features/nasa-selects-31-promising-space-technologies-for-commercial-flight-tests>

https://www.nasa.gov/directorates/spacetechnology/niac/NIAC_funded_studies.html
<https://www.nasa.gov/directorates/spacetechnology/str/nstgro>
https://www.nasa.gov/directorates/spacetechnology/str/archives_stro.html
<https://www.nasa.gov/directorates/spacetechnology/early-stage-innovations-esi>
<https://www.nasa.gov/directorates/spacetechnology/lustr>
<https://www.nasa.gov/features/nasa-selects-31-promising-space-technologies-for-commercial-flight-tests>

promising-space-technologies-for-commercial-flight-tests
development 2021
development 2022 STMD anticipates awards to continue the focus on lunar surface technologies in addition to other opportunities.

CRITERIA FOR SELECTING PROPOSALS:

Please refer to individual solicitations for specific criteria. Generally, evaluation of intrinsic merit includes consideration of the following factors: (i) Overall scientific or technical merit of the proposal and/or unique and innovative methods, approaches, concepts, or advanced technologies demonstrated by the proposal; (ii) Proposers capabilities, related experience, facilities, techniques, or unique combination of these which are integral factors for achieving the proposal's objectives; (iii) The qualifications, capabilities, and experience of the proposed principal investigator, team leader, or key personnel critical in achieving the proposal objectives; and (iv) Evaluation against the state-of-the-art. Review panels are instructed not to compare proposals to each other; all comparative evaluations are conducted by NASA program personnel.

NATIONAL CREDIT UNION ADMINISTRATION

44.002 Community Development Revolving Loan Fund Program for Credit Unions

CDRLF Program,

FEDERAL AGENCY:

NATIONAL CREDIT UNION ADMINISTRATION

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1981, as amended, Sections 623, 633, and 681, Public Law 97-35, 95 Stat. 494, 498 and 518, 42 U.S.C. 9812, 9822 and 9910; Public Law 98-63, 97 Stat. 331; Public Laws 99-609, 101-144, and 103-325., Title The Community Development Credit Union Revolving Loan Fund Transfer Act, , Public Law 99-609, 12 - Banks and Banking USC Code 1772c-1, 1756, 1757(5)(D), and (7)(I), 1766, 1782, 1784, 1785 and 1786.

OBJECTIVES:

The purpose of the Community Development Revolving Loan Fund (CDRLF) is to expand access of financial products and services, and increase diversity, equity, and economic inclusion to underserved communities. Through the CDRLF, the NCUA provides financial support in the form of technical assistance grants to low-income designated credit unions (LICUs) serving predominantly low-income members to modernize, build capacity and extend outreach into underserved communities.

TYPES OF ASSISTANCE:

Direct Loans, Direct Payments for Specified Use, Project Grants (Special)

USES AND USE RESTRICTIONS:

In order to meet the objectives of the CDRLF, an applicant must be certified as a low-income credit union, and provide a variety of financial and related services designed to meet the particular needs of the low-income community they serve. Federal grants and loans under the program may generally be used for services that include 1) Provide basic financial and related services to its members and their communities; 2) Enhancing their capacity to better serve their members and the communities in which they operate; 3) Responding to emergencies.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); This NOFO is open to credit unions that meet the eligibility requirements defined in 12 C.F.R. Part 705. A credit union must have a low-

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income designation obtained in accordance with 12 CFR 701.34 or 741.204 in order to participate in the CDRLF. Non-Federally Insured Applicants: Each Applicant that is a non-federally insured, state-chartered credit union must submit additional application materials. These additional materials are more fully described in 12 C.F.R. 705.7(b)(3) and in the application. Non-federally insured, state-chartered credit unions must agree to be examined by the NCUA. The specific terms and covenants pertaining to this condition will be provided in the award agreement of the Participating Credit Union.

Beneficiary Eligibility:

Individual/Family; Minority group; Small business; Private nonprofit institution/organization; Anyone/general public; Small Business Person; Consumer; Homeowner; Low Income; Public nonprofit institution/organization; Student/Trainee; Graduate Student; Specialized group (e.g. health professionals, students, veterans); A credit union wishing to participate must serve a field of membership which is comprised primarily of low-income individuals. To participate in the CDRLF, a federally chartered credit union must be currently designated as a low-income credit union as set forth in NCUAs Rules and Regulations. A state-chartered credit union must have the equivalent low-income designation from its respective state supervisory authority and concurrence from NCUA. 12 CFR 701.34 A low-income designated credit union is one in which more than half of its members meet the NCUA definition for a low-income member. Low-income members are those who earn 80 percent or less than the median family income for the metropolitan area where they live, or the national metropolitan area, whichever is greater. Low-income designated credit unions have offices and serve members throughout the United States, Puerto Rico, Guam, and the U.S. Virgin Islands, and on military bases around the world. Depending on the charter type, these credit unions serve occupational groups, associations and communities.

Credentials/Documentation:

Evidence of Chartering from the National Credit Union Administration. Evidence of Low Income Designation (12 CFR 701.34). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Process for Grants:(1) Credit unions fulfill all application responses and requirements for the grant and submit the request online. (2) NCUA will thoroughly review the application according to the selection process in the grant guideline. (3) NCUA will make a final decision and notify the credit union whether the request is approved or denied via email. (4) If the request is approved, then the credit union may initiate the project that was applied for. (5) After the project is completed and the credit union fulfills all of the grant requirements then they may submit a reimbursement request to the NCUA. Process for Loans:(1) Credit unions fulfill all application responses and requirements for the loan and submit the request online. (2) NCUA will thoroughly review the application according to the criteria established in NCUA Rules and Regulations 12 CFR 705.7(c). (3) NCUA will make a decision and notify the credit union whether the request is tentatively approved or denied via email. (4) If the request is tentatively approved, NCUA will provide credit unions with instructions to complete the necessary loan documentation. (5) Credit unions review, sign, and submit the required loan documentation including, but not limited to, a loan agreement, promissory note, and amortization schedule. (6) NCUA will review and sign the loan documentation, then notify the credit union of the final approval via email. (7) NCUA will provide the credit union with copies of the signed loan documentation. (8) NCUA deposits the funds into the credit unions account on the effective date of the loan.

Award Procedure:

The award procedure generally involves two or three steps of review by different staff. The final approval authority is completed by the Director (or designee) of the NCUA's Office of Credit Union Resources and Expansion. Three Step Review Process: The Initial Reviewer (IR) evaluates the request and determines if it satisfies the criteria for approval set forth in program guidelines. Then the Grant Administrator (GA) reviews the IRs recommendation to either confirm the

recommendation or make another recommendation. Lastly, the Office Director or Deputy Director (OD) reviews the GAs recommendation to either confirm or deny the GAs recommendation, which results in a official final decision. Two Step Review Process: This process follows the same protocols as the Three Step Review Process. However, the GA initiates the evaluation of the request and determines if it satisfies the criteria for approval set forth in program guidelines. Then, the OD reviews the GAs recommendation to either confirm or deny the GAs recommendation, which results in a official final decision. The difference in this step is that the GA bypasses the IRs step during this process and is used for faster response on more simplified applications.

Deadlines:

May 03, 2021 - June 26, 2021. The NCUA will accept applications beginning May 3, 2021, at 9:00 a.m. eastern time (ET). Applications must be submitted by June 26, 2021, at 11:59 p.m. ET. The NCUA accepts loan applications on a continuous basis subject to funding availability.

Range of Approval/Disapproval Time:

From 60 to 90 days. The NCUA will notify each Applicant of its funding decision by email. In addition, the NCUA will announce the successful applications through a press release that includes a list of the Awardees. Applicants that are approved for funding will also receive instructions on how to proceed with the post-award activities.

Appeals:

From 15 to 30 days. Appeals of non-qualification: A Qualifying Credit Union whose application for a loan or technical assistance grant has been denied under 705.7(f) for failure to satisfy any of the conditions set forth in 705.7(c), including any additional criteria set forth in the related notice of funding opportunity, may request the Director of the Office of Credit Union Resources and Expansion to reconsider the denial and/or appeal that decision to the NCUA Board in accordance with the procedures set forth in subpart B to part 746 of this chapter, subject to the following limitations: (1) Scope: The scope of the Board's review is limited to the threshold question of qualification and not the issue of whether, among qualified applicants, a particular loan or technical assistance grant is funded. (2) Appeals procedures inapplicable: The foregoing procedure applies during an open period in which funds are available and NCUA has called for applications. NCUA will reject any application submitted during a period in which NCUA has not called for applications, except for applications submitted under 705.8. Such rejections are not subject to appeal or review by the NCUA Board. Appeals of technical assistance grant reimbursement denials: Pursuant to NCUA Interpretative Ruling and Policy Statement 11-1, any Participating Credit Union may appeal a denial of a technical assistance grant reimbursement to NCUA's Supervisory Review Committee. All appeals of technical assistance grant reimbursements must be submitted to the Supervisory Review Committee within 30 days from the date of the denial. The decisions of the Supervisory Review Committee are final and may not be appealed to the NCUA Board.

Renewals:

Other. Grant Extensions If a grant award has not been used prior to the grant expiration date then credit unions may submit a request to extend the grants performance period by emailing a completed grant extension request form to the NCUA. Extensions may be authorized for a maximum of 18 months only (within 18 months after the original grant expiration date). The NCUA will approve or reject the grant extension request and notify the credit union by email. Renewals are not available for CDRLF awards.

Formula and Matching Requirements:

Statutory Formula: Title 12: Banks and Banking, Chapter VII, Part 705, Subpart A, Public Law . Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. At its discretion, the NCUA may require the Applicant to submit a functional plan to meet the matching funds requirement depending on the financial condition of the Applicant. The NCUA anticipates that most Applicants will not be required to obtain matching funds. 12 CFR 705.5(g) of the NCUAs regulations describe the overall requirements for matching funds. Matching Funds Requirements: The specific terms and covenants pertaining to any matching funds requirement will be provided in the loan agreement of the Participating Credit Union. Following, are general matching fund requirements. The NCUA, in its sole discretion, may amend these requirements depending upon its evaluation of the Applicant, but in no case will the amended requirements be greater than the conditions listed below. a. The amount of matching funds required must generally

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be in an amount equal to the loan amount. b. Matching funds must be from non-governmental member or nonmember share deposits. c. Any loan monies matched by nonmember share deposits are not subject to the 20% limitation on nonmember deposits defined in 12 CFR 701.32. d. Participating Credit Unions must maintain the outstanding loan amount in the total amount of share deposits for the duration of the loan. Once the loan is repaid, nonmember share deposits accepted to meet the matching requirement are subject to requirements defined in 12 CFR 701.32. Criteria for Matching Funds: The NCUA will use the following criteria to determine whether to require an Applicant to have matching funds as a condition of its loan. a. CAMEL Composite Rating. b. CAMEL Management Rating. c. CAMEL Asset Quality Rating. d. Regional Director Concurrence. e. Net Worth Ratio. Documentation of Matching Funds: The NCUA may contact the matching funds source to discuss the matching funds and the documentation that the Applicant has provided. If the NCUA determines that any portion of the Applicant's matching funds is ineligible under this NOFO, the NCUA, in its sole discretion, may permit the Applicant to offer alternative matching funds as a substitute for the ineligible matching funds. In this case, the Applicant must provide acceptable alternative matching funds documentation within 10 business days of the NCUA's request. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Technical Assistance Grants Credit unions approved for grant funds must utilize the funds and submit a reimbursement request by the grant expiration date provided at the time of approval (approval letter). Grant expiration dates range from 12 to 36 months. A grant extension may be permitted upon written request, for up to 12 months from the original date of expiration. CDRLF grant awards are reimbursements and disbursed as a lump sum when the credit unions reimbursement request is reviewed and approved. Loans Financial assistance made available in this program will be in the form of a loan which is to be deposited in the credit union as either a non-member deposit or as a note payable and must be repaid to the Revolving Loan Program at the specified rate at the time of the loan (refer to the NCUA's CDRLF Loan Interest Rate Policy for current rate). The term of a loan will not exceed 5 years from the date of award of the loan. CDRLF loans are disbursed as a lump sum on the effective date of the note. Method of awarding/releasing assistance: lump sum.

Reports:

Project Impact Narrative: Discuss how the grant helped the credit union assist underserved members and impact the community. Project Outcome: Select the project outcome the credit union achieved through the project. Available outcomes include (1) Added New Products/Services, (2) Developed Employee Skills & Talent, (3) Enhanced Cybersecurity & Data Protection, (4) Increased Loan Growth, (5) Increased Membership Growth, (6) Leveraged Technology to Scale Products/Services, and/or (7) Positioned Credit Union for Future Growth. Project Outcome Narrative: Discuss how the grant helped the credit union achieve the selected project outcome. Include specific metrics that were measured. Status updates are not always a required deliverable for CDRLF grants. However, if it is required then credit unions must be complete it whether or not work has occurred on a project. Not submitting a complete progress report by the deadline defined in the grant agreement may result in any subsequent reimbursement request being delayed or denied. The NCUA will notify Awardees about the status update and the deadline to complete it by email. Status updates should reflect implementation activities for the duration of the project thus far. Below are the components of the status update. Status of Project to Date: Indicate if the project is one of the following: (1) Completed, (2) On Target for Completion, or (3) Off Schedule and Requires an Extension. Status Update Summary: Provide a summary of the work completed to date (at least 3-5 sentences). Challenges Encountered: Describe any issues that have made or will make completing the project on time difficult. Remaining Work: Provide a summary of the work remaining to complete the project. Date Expected to Submit Reimbursement Request: Provide the date the credit union expects to submit the reimbursement request to the NCUA. Applicants that are approved for funding will be responsible for the complete and timely submission of the post-award activities. This includes, but it is not limited to, signing the award agreement and completing a reimbursement request. Successful Applicants must submit a reimbursement request in order to receive the awarded funds. The reimbursement requirements are different depending on the funding initiative. The NCUA will define the reimbursement requirements for each funding initiative in the post-award guidelines. The reimbursement request may require, all or a combination of, the following items: (i) evidence of expenses, (ii) project

related documentation, (iii) a summary of project accomplishments and outcomes, or (iv) a certification form signed by a credit union official (e.g. CEO, manager, or Board Chairperson) authorized to request the reimbursement and make the certifications. The NCUA, in its sole discretion, may modify these requirements. In general, successful Applicants are required to submit the reimbursement request before the expiration date specified in the award agreement. For CDRLF loans the reporting requirements are more fully described in 12 CFR 705.9. Annually, each Participating Credit Union will submit a report to the NCUA. The report will address the Participating Credit Unions use of the loan funds; the impact of funding; and explanation of any failure to meet objectives for use of proceeds, outcome, or impact. The NCUA, in its sole discretion, may modify these requirements. However, such reporting requirements will be modified only after notice to affected credit unions. Applicable credit unions will be notified regarding the submission of the report form. A Participating Credit Union is responsible for timely and complete submission of the report. The NCUA will use such information to monitor each Participating Credit Unions compliance with the requirements of its loan agreement and to assess the impact of the CDRLF loan.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

CDRLF awardees are required to keep all financial, business and program reports necessary for program review and audit to ensure that funds have been expended in accordance with the applicable regulations, guidelines, agreements, and terms & conditions.

Account Identification:

25-4472-0-3-373.

Obligations:

Project Grants (Special) FY 19 \$2,000,000.00; FY 20 est \$1,500,000.00; FY 21 est \$1,500,000.00; Direct Loans FY 19 \$1,000,000.00; FY 20 est \$2,000,000.00; FY 21 est \$2,000,000.00;

Range and Average of Financial Assistance:

Smallest FY18 grant award - \$1,300 Largest FY18 grant award - \$20,000 Average FY18 grant award - \$9,852 Smallest FY19 grant award - \$1,900 Largest FY19 grant award - \$100,000 Average FY19 grant award - \$11,655

TAFS Codes:

25-4472.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Implementation of Mobile/Online Banking Features; Remote Workforce Management and Solutions; and/or Strengthen Cybersecurity. Credit union growth and expansion, such as growing the membership or the loan portfolio; Improved management and operations, such as leadership training, developing new policy and procedure documents, or responding to exam or audit findings; Increased credit union capabilities, such as introducing a new program or service or improving credit union systems; and/or Other (applicants will have opportunity to justify additional projects). New or expanded outreach efforts, such as developing partnerships with other organizations to assist the needs of low wealth persons and households; New or expanded financial education programs, such as providing small business or workforce training for minorities interested in starting and growing a business; or New or expanded financial products or services, such as developing and implementing a new product or program tailored to underserved and minority groups, like a first-time homeowner program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 12 CFR Part 705, 12 CFR 701.34, and 2 CFR Part 200. CDRLF Program Materials: All CDRLF program materials are available on the NCUA's website. The URL address for these materials is below. URL Address: <https://www.ncua.gov/support-services/credit-union-resources-expansion/grants-loans>

Regional or Local Office:

None.

Headquarters Office:

Joshua Krantz,

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1775 Duke Street,
Alexandria VA 22304
Email: jkrantz@ncua.gov
Phone: (703)220-9487
Fax: Geetha Valiyil,
1775 Duke Street,
Alexandria VA 22314
Email: gvaliyil@ncua.gov
Phone: (571) 289-1918
Fax:

Website Address:

<https://www.ncua.gov/support-services/credit-union-resources-expansion/grants-loans>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 | Technical Assistance Grants | COVID-19 Emergency Support: The COVID-19 Emergency Support initiative is intended to help credit unions assist members experiencing economic hardships due to the coronavirus, particularly members that are most impacted by the situation. Funds may be used to address the financial needs of impacted members, to help credit unions respond to the unexpected and unforeseen challenges of COVID-19, and to alleviate the impact of the crisis on the credit union and its community. Due to the severity of the situation, the NCUA may relax some of the administrative and programmatic requirements for Applicants under this initiative. Digital Services and Cybersecurity: The Digital Services and Cybersecurity initiative helps credit unions implement the infrastructure to build a digital relationship with their members and safeguard credit union information from cybersecurity threats. Access to digital financial services will improve the ability of credit unions to serve their communities. It is crucial for credit unions to expand financial products and services for members through digital channels. Ensuring that the appropriate processes are in place to continually safeguard the credit unions digital assets and activities is equally important. The objective of this initiative is to help credit unions establish a new digital service or strengthen cybersecurity in order to benefit the members. This initiative is not intended to fund continuous projects or cover costs associated with normal maintenance of digital services or cybersecurity. Training: The Training initiative focuses on helping credit unions develop the skills and talents of employees through specialized management programs and advanced training courses. The goal of this initiative is to enhance the operational knowledge of credit union employees and support staff professional development. Underserved Outreach: The Underserved Outreach initiative is designed to help credit unions implement innovative outreach strategies that will improve the financial well-being of individuals living in underserved areas within a credit unions field of membership. This initiative focuses on providing quality financial products and services to underserved population segments such as minority groups, youth & millennials, veterans, and immigrants. The NCUAs priority areas for 2020 Underserved Outreach grants will allow credit unions to fund projects that benefit individuals returning to the community following incarceration, promote first-time homeownership, and improve the access of financial products and services to people with disabilities. The goal of this initiative is for credit unions to employ outreach strategies that produce positive growth outcomes for the credit union and improve the financial health of individuals. Minority Depository Institution (MDI) Mentoring: The MDI Mentoring initiative is intended to encourage mentoring relationships between LICUs (mentors) and small LICU MDIs (mentees). This initiative was designed to encourage strong and experienced low-income designated credit unions to provide guidance to small low-income designated MDI credit unions to increase their ability to thrive and serve low-income and underserved populations. No more than five awards are expected to be made under this NOFO. | Loans | Development of new products or services for members, including new or expanded share draft or credit card programs; Partnership arrangements with community-based service organizations or government agencies; Loan programs, including, but not limited to, microbusiness loans, payday loan alternatives, education loans, and real estate loans; Acquisition, expansion, or improvement of office space or equipment, including branch facilities, ATMs, and electronic banking facilities; Operational programs such as security and disaster recovery; and Responding to emergencies such as pandemics, natural disasters, economic downturns, etc. The NCUA recognizes that these

emergencies will impact the credit union industry to varying degrees. The NCUA intends to support the efforts of LICUs through emergency funding needed to respond to unexpected and unplanned events. Specific details will be defined and provided in the applicable guidelines and posted on NCUAs website. 2021 Digital Services and Cybersecurity: The Digital Services and Cybersecurity initiative provides financial assistance to credit unions to modernize, innovate, and protect credit unions and members against cyberattack. The goal of the initiative is to increase the access of safe, secure digital financial products and services to low-income and underserved communities. The NCUA also encourages credit union to apply for this initiative to help the credit union meet the challenges created by the COVID-19 pandemic. Applicants can request funding for equipment needed to improve their remote work posture or implement new financial products and services which provide members access to the credit union without physical access to the branch. Applicants can select up to three of the eligible projects below: a. Implementation of Mobile/Online Banking Features; b. Remote Workforce Management and Solutions; and/or c. Strengthen Cybersecurity. MDI Mentoring: The purpose of the MDI Mentoring initiative is to encourage strong and experienced credit unions to provide guidance to small MDI credit unions to increase their ability to thrive and serve low-income and underserved populations. This grant may be used for eligible expenses associated with facilitating a new mentorship relationship. Funding approval will be based on the applicants ability to demonstrate a well-developed plan for the mentoring assistance it would receive from a mentor credit union. Applicants are expected to meet the objectives of this initiative by establishing a mentorship to accomplish the following objectives: a. Credit union growth and expansion, such as growing the membership or the loan portfolio; b. Improved management and operations, such as leadership training, developing new policy and procedure documents, or responding to exam or audit findings; c. Increased credit union capabilities, such as introducing a new program or service or improving credit union systems; and/or d. Other (applicants will have opportunity to justify additional projects). Underserved Outreach: The Underserved Outreach initiative is designed to help credit unions implement innovative outreach strategies to increase access to financial products and services in underserved communities. The goal of this initiative is for credit unions to improve the financial health of individuals in underserved communities by closing the wealth gap, increasing equity, and expanding economic inclusion. Applicants are expected to address the challenges faced by underserved communities by offering financial products, services and programs through the following projects: a. New or expanded outreach efforts, such as developing partnerships with other organizations to assist the needs of low wealth persons and households; b. New or expanded financial education programs, such as providing small business or workforce training for minorities interested in starting and growing a business; or c. New or expanded financial products or services, such as developing and implementing a new product or program tailored to underserved and minority groups, like a first-time homeowner program.

CRITERIA FOR SELECTING PROPOSALS:

The selection process for CDRLF grants include the following steps. 1. Eligibility and Completeness Review The NCUA will review each application to determine whether it is complete and that the Applicant meets the eligibility requirements described in the regulations, the grant round guidelines, and in this NOFO. An incomplete application or one that does not meet the eligibility requirements will be declined without further consideration. 2. Evaluation Criteria Each funding initiative, due to its structure and impact, may have varying degrees of evaluation criteria assigned. The evaluation criteria for each funding initiative is fully described in the grant round guidelines. 3. Application Review The purpose of the application review is to determine whether an application satisfies the criteria set forth for each particular funding initiative. The NCUA will evaluate each application in accordance with the criteria and procedures described in the grant round guidelines. The NCUA reserves the right to contact the Applicant during its review for the purpose of clarifying or confirming information contained in the application. If so contacted, the Applicant must respond within the time specified by the NCUA or the NCUA, in its sole discretion, may decline the application without further consideration. 4. Scoring and Funding Decision The NCUA will make its funding decision based on a scoring system that establishes a ranking position for each application. The applications will be ranked according to the scoring criteria set forth for each funding initiative in the grant round guidelines. The selection process for CDRLF loans includes the following steps. 1. Eligibility and Completeness Review The NCUA will review each application to

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determine whether it is complete and that the Applicant meets the eligibility requirements described in the regulations, program guidelines, and in this NOFO. An incomplete application or one that does not meet the eligibility requirements will be declined without further consideration.2. Evaluation CriteriaThe evaluation criteria is fully described in 12 CFR 705.7(c). The NCUA will evaluate each application on accordance with the criteria described in the regulation, this NOFO and program guidelines: financial performance, compatibility, feasibility, and examination information and applicable concurrence. Each initiative, due to its structure and impact, have varying degrees of evaluation criteria assigned which are reflected in the guidelines for credit unions information.3. Application ReviewThe purpose of the application review is to determine whether the NCUA should support and fund the loan request. During this phase of the review, the NCUA reviews the credit unions prior financial and operational performance, the collateral offered to securitize the loan (if applicable), and its longevity in operation. The NCUA reserves the right to contact the Applicant during its review for the purpose of clarifying or confirming information contained in the application. If so contacted, the Applicant must respond within the time specified by the NCUA or the NCUA, in its sole discretion, may decline the application without further consideration. 4. Examination Information and Applicable ConcurrenceThe NCUA will not approve an award to a credit union for which its NCUA regional examining office or SSA, if applicable, indicates it has safety and soundness concerns. If the NCUA regional office or SSA identifies a safety and soundness concern, the NCUA, in conjunction with the regional office or SSA, will assess whether the condition of the Applicant is adequate to undertake the activities for which funding is requested, and the obligations of the loan and its conditions. The NCUA, in its sole discretion, may defer decision on funding an application until the credit unions safety and soundness conditions improve.5. Funding SelectionThe NCUA will make its funding selections based on a consistent scoring tier for each Applicant. The NCUA will consider the impact of the funding. In addition, the NCUA may consider the geographic diversity of the Applicants in its funding decisions.

NATIONAL ENDOWMENT FOR THE ARTS

45.024 Promotion of the Arts Grants to Organizations and Individuals

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE ARTS

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, , Public Law 089-209, 20 USC Code 951 et seq., Public Law 116-136

OBJECTIVES:

To support public engagement with, and access to, various forms of excellent art across the nation; the creation of art that meets the highest standards of excellence; learning in the arts at all stages of life; the integration of the arts into the fabric of community life; and increased public knowledge and understanding about the contributions of the arts.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The National Endowment for the Arts' grants support projects that include: opportunities for individuals to experience and participate in a wide range of art forms and activities; the preservation of significant works of art and cultural traditions; the presentation of artistic works of all cultures and periods; opportunities for artists to create, refine, perform, and exhibit their work; new models to create work or engage audiences; access to creative arts therapies and evidence-based programs in the arts and health; standards-based arts education activities for K-12 students; learning in the arts at all stages of life; creative placemaking that integrates the arts into the fabric of community life; opportunities to enhance the effectiveness of arts organizations and artists; development, production and national distribution of innovative media projects about the arts and of media projects that can be considered art; professional development for artists and arts-related educators; the recognition of artists for their contributions to our nation's artistic heritage; partnerships with other government agencies and entities

to carry out quality projects or initiatives of mutual interest including international cultural exchange; other activities that acquaint foreign artists and cultural officials with the best of American culture; research that analyzes the value and impact of the arts in the United States; and initiatives intended to address special needs or opportunities. Consult the current funding opportunities, guidelines, or program announcements for the area of interest for complete information (see arts.gov).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Quasi-public nonprofit institution/organization; Tax-exempt organizations meeting the following conditions may apply: (1) No part of any earnings may benefit a private stockholder or individual, and (2) donations to the organization are allowable as charitable deductions under Section 170(c) of the Internal Revenue Code. Examples of eligible organizations are arts institutions, arts service organizations, local arts agencies, official units of state and local governments, federally recognized tribal communities, and Indian tribes. Generally, an organization may submit only one application for a single project under one of the category deadlines; must have a three-year history of arts programming prior to the application deadline; and must have submitted acceptable final reports by the due date for all National Endowment for the Arts grants previously received. Individuals must be U.S. citizens or permanent residents and, according to 20 U.S.C. 954 (c), must demonstrate exceptional talent. Currently, the only individuals eligible to apply directly are published creative writers and translators. Certain master artists who have made extraordinary contributions to the arts in the United States are eligible for honorary fellowships awarded on the basis of nominations. See respective funding opportunities, guidelines, or program announcements for further information.

Beneficiary Eligibility:

Individual/Family; Quasi-public nonprofit organization; Artist/Humanist; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Through activities and services supported, beneficiaries include the general public and artists as well as nonprofit organizations, state and local governments, local arts agencies, local education agencies (school districts), federally recognized tribal communities and Indian tribes, literary artists, and master artists.

Credentials/Documentation:

Applicants should review relevant funding opportunities, guidelines, or program announcements at arts.gov for full requirements. For individuals, Literature Fellowship applicants must be published writers. Nominators of candidates for National Endowment for the Arts honorary fellowships for master artists must document the candidate's significant contribution to the applicable art form. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants should consult current funding opportunities, guidelines, or program announcements for the funding area under which they intend to apply. Relevant items are available on the National Endowment for the Arts' website at www.arts.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must obtain current National Endowment for the Arts funding opportunities, guidelines, or program announcements (available at arts.gov). Applicants must adhere to instructions, and must submit their applications through Grants.gov and the agency's Applicant Portal (if applicable). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications generally receive three independent levels of review. First, they are reviewed by independent, national panels of artists and other arts experts. Panel recommendations are forwarded to the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final

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decision on all awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Range of approval/disapproval time varies by funding category. Dependent on deadline dates and meetings of the National Council on the Arts. Details can be found in the funding opportunities, guidelines, and program announcements available at arts.gov.

Appeals:

Other. Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Grant awards to organizations generally must have a cost share of at least 1:1 with nonfederal funds. Awards to individuals do not require a cost share. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of time the assistance is available and money awarded can be spent varies according to project or grant category. Most grants have a one-year period of performance; some extend longer. Method of awarding/releasing assistance: other

Reports:

Grantees must submit a Final Descriptive Report no later than 120 days following the end of the grant period. No reports are required from recipients of National Endowment for the Arts honorary fellowships for master artists. Grantees must submit a Progress Report as part of the payment request at the point that the request exceeds two-thirds of the total grant amount. No progress reports are required from recipients of National Endowment for the Arts honorary fellowships for master artists. All organizational grantees are required to submit a Final Federal Financial Report no later than 120 days following the end of the grant period. Performance monitoring may be applicable for certain awards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. No audits are required for National Endowment for the Arts awardees who are individuals.

Records:

Award-related records must be retained by the grantee for 3 years following submission of the Final Federal Financial Report.

Account Identification:

59-0100-0-1-503.

Obligations:

Project Grants (Discretionary) FY 20 \$77,342,868.00; FY 21 est \$76,145,851.00; FY 22 est \$95,652,650.00; Project Grants (Discretionary) FY 20 \$44,550,000.00; FY 21 est \$410,340.00; FY 22 est \$0.00; Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$78,165,000.00;

Range and Average of Financial Assistance:

Most of the National Endowment for the Arts' regular grants range from \$10,000 to \$100,000. Grants of \$100,000 or more are made only in rare instances, and only for projects that the National Endowment for the Arts determines demonstrate exceptional national or regional significance and impact. In the past few years, well over half of our grants have been for amounts less than \$25,000. FY 2022 American Rescue Plan (ARP) funding only: Nonmatching direct grants to organizations are for \$50,000, \$100,000, or \$150,000; nonmatching grants to local arts agencies eligible to subgrant are for \$150,000, \$250,000, or \$500,000

TAFS Codes:

59-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The National Endowment for the Arts anticipates receiving approximately 5,600 applications and will make approximately 2,500 awards in all 50 states, D.C., and six special jurisdictions for a broad variety of arts projects.

Fiscal Year 2022: The National Endowment for the Arts anticipates receiving approximately 5,600 applications and will make approximately 2,500 awards in all 50 states, D.C., and six special jurisdictions for a broad variety of arts projects. In addition to the applications and awards noted above, the Arts Endowment anticipates that it will award more than 800 American Rescue Plan (ARP) grants specifically to assist arts organizations and their employees endure the economic hardships caused by the spread of COVID-19. See www.arts.gov for listings of ARP grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Visit our website at arts.gov for funding opportunities, guidelines, or program announcements.

Regional or Local Office:

None.

Headquarters Office:

Ayanna Hudson,
National Endowment for the Arts,
Washington DC 20506
Email: HUDSONA@ARTS.GOV
Phone: 202-682-5515
Fax:

Website Address:

<http://www.arts.gov>

RELATED PROGRAMS:

45.025 Promotion of the Arts Partnership Agreements;

EXAMPLES OF FUNDED PROJECTS:

2021 Listings for FY 2021 will be posted at arts.gov as grants are obligated. 2022 Listings for FY 2022, including ARP awards, will be posted at arts.gov as grants are obligated.

CRITERIA FOR SELECTING PROPOSALS:

The primary criteria by which all applications are assessed are artistic excellence and artistic merit. For any additional criteria, consult respective funding opportunities, guidelines, or program announcements available at arts.gov.

45.025 Promotion of the Arts Partnership Agreements

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE ARTS

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, , Public Law 089-209, 20 USC Code 951 et seq.. Public Law 116-136

OBJECTIVES:

To develop and maintain partnerships with the state and jurisdictional arts agencies (SAAs) and their regional arts organizations (RAOs) to advance the mission of the National Endowment for the Arts. Partnerships with the SAAs and RAOs enable the support of a variety of arts activities in communities across the nation.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Partnership Agreements support elements of a state or regional plan addressing state or regional priorities that: 1) enhance opportunities for the creation of art that meets the highest standards of excellence; 2) foster public engagement with, and access to, various forms of excellent art across the nation; 3) provide Americans at all stages of life with opportunities to learn in the arts; 4) integrate the arts into the fabric of community life; and 5) promote public knowledge and understanding about the contributions of the arts. Limited Partnership funds also are available for national services provided by membership organizations of SAAs and RAOs.

Applicant Eligibility:

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Interstate; State; U.S. Territories and possessions; Agencies that are officially designated as the state arts agency by the state government in each of the 50 States and six special U.S. jurisdictions, and that meet the National Endowment for the Arts' eligibility requirements for SAAs as outlined in the Partnership Agreements guidelines on our website; regional arts organizations that represent state arts agencies and that meet the National Endowment for the Arts' eligibility requirements for RAOs as outlined in the Partnership Agreements guidelines on our website; and organizations providing support services to state and regional arts agencies at a national level.

Beneficiary Eligibility:

U.S. Territories; Interstate; State; The official arts agencies of the 50 states and six U.S. jurisdictions, regional arts organizations, and organizations providing support services to SAAs and RAOs at the national level. Through activities and services supported, beneficiaries include the general public, local arts agencies, nonprofit organizations, and artists.

Credentials/Documentation:

The State Partnership Agreement requires that the agency be legally designated as the official state arts agency and that it meet all National Endowment for the Arts eligibility requirements. The Regional Partnership Agreement stipulates that the regional organization must be authorized by three or more state arts agencies to apply for funds on their behalf and that it meet all National Endowment for the Arts eligibility requirements. An SAA can belong to only one RAO. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants should consult the Partnership Agreements guidelines (available at arts.gov).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must obtain current Partnership Agreements guidelines at arts.gov and adhere to instructions. Applications must be submitted through Grants.gov.

Award Procedure:

Applications are evaluated according to the "Review Criteria" for their category. Funding recommendations are considered by the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final decision on all grant awards. Partnership Agreements are reviewed on a three-year cycle -- one-third of the SAAs and RAOs are reviewed each year -- with funding subject to annual recommendation and approval by the Council and the Chairman.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The Partnership Agreements deadline is usually in late September. Applications are generally reviewed by the National Council on the Arts and approved by the National Endowment for the Arts Chairman in late March. See our website at arts.gov for specific dates.

Appeals:

Other. Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

Other. The National Endowment for the Arts uses staggered, multi-year review for Partnership Agreements. Funding is subject to annual review and approval.

Formula and Matching Requirements:

Statutory Formula: Title The National Foundation on the Arts and the Humanities Act of 1965, as amended, Chapter 26, Part 20 USC 954, Subpart (g), Public Law 089-209. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Partnership Agreement awards must have at least a 1:1 cost share with non-federal funds. The 1:1 cost share must come from state

government funds that are directly controlled and appropriated by the state and directly managed by the state agency. For those agencies covered by the Economic Development of the Territories Act (American Samoa, Commonwealth of Northern Mariana Islands, Guam, and the Virgin Islands), the matching requirement does not apply to the first \$200,000 of National Endowment for the Arts funds (48 USC 1469a(d) as amended). Agencies must request a waiver from the matching requirement for amounts above the first \$200,000 of our funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Each award is for the full fiscal year or as designated. Partnership Agreement awards support activities scheduled to begin on or after July 1 of the year following the application deadline. Method of awarding/releasing assistance: other

Reports:

For Partnership Agreements, a Final Descriptive Report is due no later than 120 days following the end date of the period of performance. Progress reports are required for all grants and must be submitted at the time the cumulative amount of grant funds requested exceeds two-thirds of the grant amount. Final Federal Financial Reports are due no later than 120 days following the end date of the period of performance. Performance monitoring may be required for certain awards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant-related records are to be retained by grantees for three years following submission of the Final Federal Financial Report.

Account Identification:

59-0100-0-1-503.

Obligations:

Project Grants (Discretionary) FY 20 \$54,483,223.00; FY 21 est \$56,614,400.00; FY 22 est \$68,406,800.00; Project Grants (Discretionary) FY 20 \$29,700,000.00; FY 21 est \$273,560.00; FY 22 est \$0.00; Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$52,110,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 2021 Partnership Agreements for SAAs range from \$305,200 to \$1,305,900, averaging approximately \$828,200. The range of FY 2021 RAO awards is \$1,206,300 to \$2,084,000, averaging approximately \$1,582,400. FY 2021 ARP Partnership Agreements funding (in addition to the awards noted above): The range of nonmatching awards for SAAs is \$171,800 to \$1,348,900, averaging approximately \$790,000. The range of FY 2021 RAO nonmatching awards is \$1,002,000 to \$1,592,100, averaging approximately \$1,292,750.

TAFS Codes:

59-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The National Endowment for the Arts anticipates making 64 Partnership awards to enable SAAs and RAOs to support a variety of arts projects nationwide. In addition, funds made available through the FY 2021 American Rescue Plan were distributed directly to every state and regional arts agency to distribute. See www.arts.gov for listings of ARP grants. Fiscal Year 2022: The National Endowment for the Arts anticipates making 64 Partnership awards to enable SAAs and RAOs to support a variety of arts projects nationwide.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Partnership Agreements guidelines are available on the National Endowment for the Arts' website at arts.gov.

Regional or Local Office:

None.

Headquarters Office:

Michael Orlove,
National Endowment for the Arts,
Washington DC 20506
Email: orlovem@arts.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 202-682-5469

Fax:

Website Address:

<https://www.arts.gov>

RELATED PROGRAMS:

45.024 Promotion of the Arts_Grants to Organizations and Individuals;

EXAMPLES OF FUNDED PROJECTS:

2021 Listings for FY 2021, including awards related to ARP, will be posted at [arts.gov](https://www.arts.gov) as grants are obligated. 2022 Listings for FY 2022, including awards related to ARP, will be posted at [arts.gov](https://www.arts.gov) as grants are obligated.

CRITERIA FOR SELECTING PROPOSALS:

For Partnership Agreements: 1. Planning Process and 2. Implementation and Accomplishments. For additional information, consult the Partnership Agreements guidelines at [arts.gov](https://www.arts.gov).

45.201 Arts and Artifacts Indemnity

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE ARTS

AUTHORIZATION:

Arts and Artifacts Indemnity Act, , Public Law 094-158, National Foundation on the Arts and the Humanities Act of 1965, as amended, , Public Law 089-209, 20 USC Code 977 et seq..

OBJECTIVES:

To provide for indemnification against loss or damage for eligible art works, artifacts, and objects (1) when borrowed from abroad for exhibition in the U.S.; (2) when borrowed from the U.S. for exhibition abroad, preferably when there is an exchange exhibition from a foreign country; (3) when borrowed from the U.S. for exhibition in the U.S. as part of exhibitions from abroad which include foreign-owned objects; and 4) when borrowed from U.S. collections for exhibition in the U.S.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

Certificates of Indemnity will be issued for the following items insuring them against loss or damage: (1) works of art, including tapestries, paintings, sculpture, folk art, graphics, and craft arts; (2) manuscripts, rare documents, books, and other printed or published materials; (3) other artifacts or objects; and (4) photographs, motion pictures, or audio and video tape. Items must be of educational, cultural, historical or scientific value; and their exhibition, in the case of international exhibitions, must be certified by the Department of State to be in the national interest.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federal, State, and local government entities, and nonprofit agencies and institutions may apply.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Public nonprofit institution/organization; Federal, State, and local government entities, and nonprofit agencies and institutions will benefit. Audiences of indemnified exhibitions will also benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O.

12372. Prior to applying, potential applicants are encouraged to consult with the Indemnity Administrator, National Endowment for the Arts, Washington, DC. All applicants must consult the Arts and Artifacts Indemnity Guidelines available at [arts.gov](https://www.arts.gov).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must obtain guidelines and application instructions from the National Endowment for the Arts' website at [arts.gov](https://www.arts.gov), and apply through Grants.gov and the Arts Endowment's Applicant Portal. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Federal Council on the Arts and the Humanities makes final decisions on all awards based on recommendations from consulting panels of professionals in the field.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The length of time between application and notification is dependent on deadline dates and the meetings of the Federal Council on the Arts and the Humanities. Details can be found in the guidelines and program announcements available at [arts.gov](https://www.arts.gov).

Appeals:

Not Applicable.

Renewals:

Other. Requests for extensions and renewals must compete against new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The time during which Indemnity coverage is in effect varies depending on the schedule of the particular exhibition. Method of awarding/releasing assistance: other

Reports:

Reports of any loss or damage are due by the termination of the indemnity period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Final audits may be made at the discretion of the Federal Council on the Arts and the Humanities for up to 3 years after the termination of the indemnity period.

Records:

Indemnitees are responsible for complete packing, shipping, security and condition reports on all indemnified items. These should be kept for 90 days beyond the end date of the indemnity period.

Account Identification:

59-0101-0-1-503.

Obligations:

Insurance FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

59-0101.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is anticipated that 19 certificates of indemnity will be issued for 19 exhibitions. Fiscal Year 2022: It is anticipated that 26 certificates of indemnity will be issued for 26 exhibitions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidelines are available on the National Endowment for the Arts' website at [arts.gov](https://www.arts.gov).

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Indemnity Administrator Patricia Loiko,
National Endowment for the Arts, 400 7th Street, SW,
Washington DC 20506
Email: loikop@arts.gov
Phone: 202-682-5541

Website Address:

<https://www.arts.gov>

RELATED PROGRAMS:

45.024 Promotion of the Arts_Grants to Organizations and Individuals;

EXAMPLES OF FUNDED PROJECTS:

2021 Indemnified exhibitions will be listed as they are approved at arts.gov. 2022 Indemnified exhibitions will be listed as they are approved at arts.gov.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Indemnity Administrator.

NATIONAL ENDOWMENT FOR THE HUMANITIES

45.129 Promotion of the Humanities Federal/State Partnership

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 956, et seq.,

OBJECTIVES:

To promote local, statewide, and regional humanities programming through annual grants to humanities councils in each of the fifty states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Northern Mariana Islands, Guam, and American Samoa. The humanities councils conduct humanities projects and grant programs for local nonprofit organizations, institutions, and groups, and occasionally for individuals. Under the provisions of 20 U.S.C. 956(f)(8), only one entity in each state may receive assistance from NEH as the state humanities council.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Grants may be used to fund local, statewide, and regional humanities projects, subject to guidelines determined by each state humanities council.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Nonprofit 501(c)(3) state and jurisdictional humanities councils which conform to the requirements of 20 U.S.C. 956(f). See

<https://www.neh.gov/about/state-humanities-councils>.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; Health Professional; Education Professional; Artist/Humanist; Veteran/Service person/Reservist (including dependents; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; minority organizations; and quasi-public nonprofit institutions which apply directly to the State Humanities Council.

Credentials/Documentation:

Humanities councils submit an annual compliance report, and every five years they submit a self-assessment report. Compliance requirements are outlined in 20 U.S.C. 956(f). 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Councils are required to file a compliance plan annually and a self-assessment every five years. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Compliance plans are reviewed by Federal/State Partnership staff. Self-assessments are reviewed by teams of independent reviewers including scholars and nonprofit professionals. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

May 02, 2022. The deadline for State Humanities Councils General Operating Support Grants will be May 2, 2022. The timetable for submission of self-assessment material is timed with the five-year site review.

Range of Approval/Disapproval Time:

>180 Days. Variable: Six months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. As established by the Congressional funding formula, each State council receives \$200,000 of the definite funds appropriated to Federal/State Partnership. Of the remaining funds, 44 percent is divided equally among the States which have a population of 200,000 or more, 22 percent is allocated to each council on the basis of population, and 34 percent is awarded at the discretion of the Chairman of the agency. Total matching of the award on a one-to-one basis is required. Source: 20 U.S.C. 956 (f)(4).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance is five years. Funds must be expended during the first three years. Method of awarding/releasing assistance: other

Reports:

Compliance plans are required annually. Refer to F3. Reporting in the Notice of Funding Opportunity. A cash report is required quarterly. Progress reports are required annually. Refer to F3. Reporting in the Notice of Funding Opportunity. An expenditure report is required annually and a final expenditure report is due within 120 days after the end date of the period of performance. Refer to F3. Reporting in the Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 General operating support grants are subject to a program-specific audit.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

Project Grants FY 20 \$50,414,050.00; FY 21 est \$52,512,300.00; FY 22 est \$54,876,000.00;

ASSISTANCE LISTINGS DESCRIPTIONS

Range and Average of Financial Assistance:

In FY 2021 the range of assistance was \$211,500 to \$2,658,700. Average was \$904,655.

TAFS Codes:

59-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: See the following website for a description of the work of the Office of Federal/State Partnership: <https://www.neh.gov/divisions/fedstate>

REGULATIONS, GUIDELINES, AND LITERATURE:

445 CFR 1100 and 1105. Information about the National Endowment for the Humanities and the Notice of Funding Opportunity are available online at <http://www.neh.gov/> or upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign). Addresses and phone numbers for the 56 State humanities councils are available from Federal/State Partnership, NEH, Washington, DC 20506, and from the NEH web site, <http://www.neh.gov/about/state-humanities-councils>.

Regional or Local Office:

See Regional Assistance Locations. Contact information for the state humanities councils is available here: <https://www.neh.gov/about/state-humanities-councils>

Headquarters Office:

Office of Federal/State Partnership,
National Endowment for the Humanities, 400 Seventh St, SW,
Washington DC 20506
Email: fedstate@neh.gov
Phone: (202) 606-8254

Website Address:

<http://www.neh.gov/divisions/fedstate>

RELATED PROGRAMS:

45.164 Promotion of the Humanities_Public Programs;

EXAMPLES OF FUNDED PROJECTS:

2021 State humanities councils offer a wide array of programming that makes the humanities accessible to general audiences. State council-conducted programs and grant making reflect the interests, resources, and diverse experiences of the local communities they serve. Council programs include competitive grants, civic education programs, book festivals, speakers bureaus, educator institutes, Clemente courses, films, traveling exhibitions, Chautauqua events, and programs for veterans. They also help extend the reach of NEH-funded projects, and ensure NEH remains connected to local and regional communities. Through council initiatives and grant-making, state councils enrich lives through the humanities with an emphasis on the specific needs of the communities they represent.

CRITERIA FOR SELECTING PROPOSALS:

Each council sets its own guidelines and criteria for assessing proposals, which are widely disseminated within the state. The criteria for state council funding from NEH are established in the National Foundation on the Arts and the Humanities Act of 1965, as amended, 20 U.S.C. Section 950, 956, and by the NEH Office of Federal/State Partnership.

45.130 Promotion of the Humanities Challenge Grants

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 956, et seq.,

OBJECTIVES:

To strengthen the institutional base of the humanities by supporting infrastructure development and capacity building. For awards up to \$500,000, there is a 3:1

matching requirement; awards in excess of \$500,000 require a 4:1 match. Eligible community colleges, Historically Black Colleges and Universities (HBCUs), Hispanic-serving institutions of higher education, and Tribal Colleges and Universities, are required to raise the same amount as the federal award in new donations from third-party non-federal sources.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards enable organizations to increase their humanities capacity through capital expenditures to support the design, purchase, construction, restoration, or renovation of facilities for humanities activities and improvements to digital infrastructure. Such expenditures bring long-term benefits to the institution and to the humanities more broadly. Challenge Grants may also support the purchase of equipment and software; the design, purchase, construction, restoration, or renovation of facilities needed for humanities activities; and collections sharing. Such expenditures bring long-term benefits to the institution and to the humanities more broadly. Up to 10 percent of total grant funds (federal matching funds plus certified gifts) may be used for fundraising costs during the period of performance.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments. The following eligible entities are subject to the one-to-one matching requirement: public and 501(c)(3) nonprofit community colleges and post-secondary two-year institutions of higher education; public and nonprofit 501(c)(3) U.S. historically black colleges or universities, as defined by Executive Order 13532; public and nonprofit 501(c)(3) Hispanic-serving institutions of higher education; and U.S. tribal college or university, as defined by Executive Order 13270.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Applicant organizations and users of their humanities resources, programs, or activities; humanities scholars, and the general public.

Credentials/Documentation:

Eligible HBCUs are those included in the current U.S. Department of Education's "Accredited HBCU listing." Eligible Hispanic-serving institutions of higher education are those listed in the current U.S. Department of Education's "Eligibility Matrix." Eligible tribal colleges or universities must be included in the U.S. Department of Education's "list of Tribal Colleges and Universities." 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Section 106 of the National Historic Preservation Act (NHPA), 16 USC 470f, and its implementing regulations, 36 CFR Part 800, require NEH to consider the effects of projects awarded NEH funding on historic properties, and when applicable, to provide the Advisory Council on Historic Preservation (ACHP) an opportunity to comment on such projects prior to the expenditure of Federal funds. Other pre-application coordination is not required, but preliminary discussion with NEH staff and the submission of a draft application by the date stipulated in the Notice of Funding Opportunity are strongly encouraged.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via [Grants.gov](https://www.grants.gov). Additional application information is available online at <https://www.neh.gov/grants/preservation/infrastructure-and-capacity-building-challenge-grants> Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

NEH staff review applications for eligibility and completeness. NEH uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. NEH instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding

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Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from peer reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at least twice annually to advise the NEH chairman. The chairman considers the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

See <https://www.neh.gov/grants/preservation/infrastructure-and-capacity-building-challenge-grants>.

Range of Approval/Disapproval Time:

>180 Days. Seven months (approximately 210 days) after the deadline.

Appeals:

Not Applicable. None, but applicants may request written reasons for rejection and reply with a revised proposal to a subsequent deadline.

Renewals:

>180 Days. Eligibility to apply for a subsequent award begins in the third year after the close of the previous Challenge Grant. See C. Eligibility Information in the Notice of Funding Opportunity.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Recipients must raise non-federal third-party funds of three times the amount of federal funds offered for grants up to \$500,000, and four times the amount of federal funds offered for grants in excess of \$500,000. For example, a \$500,000 grant requires a \$1.5 million match; a \$600,000 grant requires a \$2.4 million match. HBCUs, Tribal Colleges and Universities, Hispanic-serving institutions of higher education, and eligible community colleges are required to match federal funds on a one-to-one basis. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From one to six years. Funds must be expended during the period of performance. Method of awarding/releasing assistance: other

Reports:

Narrative progress reports are required at least annually; reports on nonfederal contributions raised and sources of giving are required annually. Final narrative and financial reports are due within 120 days after the end date of the period of performance. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements. Final expenditure reports are due within 120 days after the end date of the period of performance. Eligible applicants include U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Awards are subject to inspection and audits by NEH and other Federal officials.

Records:

Records include financial documentation on all matching gifts and on all expenditures of Federal and matching funds. All records must be retained for three years following submission of the final financial report.

Account Identification:

59-0200-0-1-503.

Obligations:

Project Grants FY 20 \$9,115,000.00; FY 21 est \$11,486,000.00; FY 22 est \$12,775,000.00;

Range and Average of Financial Assistance:

Applicants may request up to \$750,000. In fiscal year 2020, the largest award offered was \$620,000, the smallest was \$75,000; and the average award was

approximately \$183,500.

TAFS Codes:

59-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Visit this site for examples of Infrastructure and Capacity Building Challenge Grants: https://www.neh.gov/our-work/listing?f%5B0%5D=our_work_division_office%3A2536&f%5B1%5D=content_type%3Aproject

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. The Notice of Funding Opportunity is available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Office of Challenge Programs,
400 Seventh Street, SW,
Washington DC 20506
Email: challenge@neh.gov
Phone: (202) 606-8309.

Website Address:

<http://www.neh.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Past recipients of Infrastructure and Capacity Building Challenge grants may be found by using the NEH's Funded Projects Query Form available online at <https://securegrants.neh.gov/publicquery/main.aspx>.

CRITERIA FOR SELECTING PROPOSALS:

To reach decisions about funding, all reviewers of Challenge Grants applications are asked to evaluate the existing and potential quality of an applicant's programs in the humanities, its needs, management, and fund-raising capability. See E1. Review Criteria in the Notice of Funding Opportunity for detailed criteria.

45.149 Promotion of the Humanities Division of Preservation and Access

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 956, et seq.,

OBJECTIVES:

To ensure the long-term and wide availability of primary resources in the humanities by funding projects that promote preserving and providing intellectual access to resources held in libraries, museums, archives, historical organizations, and other collections that are important for research, education, and public programming in the humanities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards support: the digitization, arrangement and description, and preservation of archival collections, still and moving images, historical artifacts, and recorded sound collections; the documentation of collections of art and material culture; projects to produce research tools and reference works such as databases and electronic archives, bibliographies, dictionaries, and encyclopedias; professional training in preservation administration and in the care of collections; preventive

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conservation of humanities collections; the work of regional preservation services; general preservation assessments and special consultations for smaller cultural institutions; research and development projects to develop technical standards, best practices, and tools for preserving and creating access to humanities collections; projects to document endangered languages; and a national program for the digitization of historic U.S. newspapers. Expenses may include salaries and wages, consultant and other contract services, supplies and limited equipment purchases, travel and per diem.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments.

Credentials/Documentation:

OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for commercial and nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants are encouraged to contact NEH program officers, who can offer advice about preparing the proposal and review preliminary proposal drafts if they are submitted at least six weeks before the deadline. Time constraints may prevent staff from reviewing draft proposals submitted after that date. Staff comments are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants have found them helpful in strengthening their applications. Draft proposals are optional; they should be submitted by e-mail attachment to preservation@neh.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via [Grants.gov](https://www.grants.gov). See <https://www.neh.gov/divisions/preservation> for additional application information. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

NEH staff review applications for eligibility and completeness. NEH uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. NEH instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from peer reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at least two times annually to advise the NEH chairman. The chairman considers the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

Varies by program; see <https://www.neh.gov/divisions/preservation>.

Range of Approval/Disapproval Time:

>180 Days. Approximately seven months.

Appeals:

Not Applicable. None, but applicants may request written reasons for rejection and reapply with a revised proposal to a subsequent deadline.

Renewals:

Other. Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The Education and Training program includes a cost share requirement for graduate programs in art conservation. Other programs allow for voluntary cost share. See C2. Cost Sharing in the Notice of Funding Opportunity. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 36 months. See B. Federal Award Information in the relevant Notice of Funding Opportunity for program specific information. Method of awarding/releasing assistance: other

Reports:

Cash reports are required quarterly. Progress reports are required annually. Final progress reports are due within 120 days after completion or termination of project support by NEH. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements. Financial reports are due annually. Final expenditures reports are due within 120 days after completion or termination of project support by NEH. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Awards are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

Project Grants FY 20 \$18,708,770.00; FY 21 est \$20,423,500.00; FY 22 est \$19,635,000.00;

Range and Average of Financial Assistance:

FY 19 from \$2,650 to \$392,318; average \$96,435

TAFS Codes:

59-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: See https://www.neh.gov/our-work/listing?f%5B0%5D=our_work_division_office%3A421&f%5B1%5D=content_type%3Aproject.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants.html> or upon request from National Endowment for the Humanities, Washington, DC 20506. It is also available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Preservation & Access, 400 Seventh Street, SW, Washington DC 20506
Email: preservation@neh.gov
Phone: (202) 606-8570

Website Address:

<http://www.neh.gov/divisions/preservation>

RELATED PROGRAMS:

45.164 Promotion of the Humanities_Public Programs;

EXAMPLES OF FUNDED PROJECTS:

2021 Past recipients of Preservation & Access awards may be found by using the NEH's Funded Projects Query Form available online at

ASSISTANCE LISTINGS DESCRIPTIONS

<https://securegrants.neh.gov/publicquery/main.aspx>.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to determine funding priorities include the significance and potential impact of the project, either for preserving and establishing intellectual access to important humanities resources or for improving the infrastructure for preservation and access activities in the country's academic and cultural institutions; the soundness of the project's methodology, including its adherence to accepted professional and technical standards or practice; the viability, efficiency, and productivity of the project's plan of work; the professional training and experience of the project's staff in relation to the activity for which support is requested; and the appropriateness of the project's budget. Preference is given to projects that provide free, online access to digital materials produced with grant funds. See E1. Review Criteria of the relevant Notice of Funding Opportunity for detailed criteria.

45.160 Promotion of the Humanities Fellowships and Stipends

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 956, et seq.,

OBJECTIVES:

Fellowships and Summer Stipends provide support for scholars to undertake full-time independent research and writing in the humanities. Awards are available for six to twelve month fellowships or two months of summer study. Awards for Faculty programs support research conducted by faculty at Historically Black Colleges and Universities, Hispanic-Serving Institutions of higher education, and Tribal Colleges and Universities for periods of two to twelve months.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

Fellowships and Summer Stipends: Projects may contribute to scholarly knowledge or to the general public's understanding of the humanities. The proposed study or research may be completed during the period of performance it may be part of a longer project. Awards typically result in publication. The Awards for Faculty programs assist faculty members as they engage in advanced research in the humanities. These awards are restricted to faculty members at Historically Black Colleges and Universities, Hispanic-Serving Institutions of higher education, and Tribal Colleges and Universities as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021. Applications for planning institutional curricula, educational research projects, the creation or performance of works of art, and that lack humanities content or methodology are not eligible.

Applicant Eligibility:

Individual/Family: All applicants must be U.S. citizens, native residents of U.S. jurisdictions, foreign nationals who have been legal residents in the U.S. or its jurisdictions for at least the three years immediately preceding the application deadline. Fellowships: Faculty members at colleges and universities, individuals affiliated with other institutions, independent scholars, and others who work in the humanities are eligible. Applicants need not have advanced degrees, but they must have completed their formal academic training. Active candidates for degrees and persons seeking support for work leading to degrees are not eligible. Summer Stipends: University and college faculty members must be nominated by their academic institutions. Faculty members with terminating appointments, independent scholars, and nonfaculty college and university staff members are exempt from nomination and may apply directly. Awards for Faculty are offered to full-time faculty and staff members at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021.

Beneficiary Eligibility:

Individual/Family; Graduate Student; Artist/Humanist; Education (13+); Public nonprofit institution/organization; Education Professional; Fellowships and Stipends: College and university faculty and staff, individuals affiliated with institutions other than colleges and universities, and scholars and writers working independently. Awards for Faculty: faculty members at Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via Grants.gov. Additional information can be found online at <https://www.neh.gov/divisions/research>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NEH staff review applications for eligibility and completeness. NEH uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. NEH instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from peer reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at least twice annually to advise the NEH chairman. The chairman considers the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

See <https://www.neh.gov/divisions/research>.

Range of Approval/Disapproval Time:

>180 Days. Five to seven months.

Appeals:

Not Applicable. None, but applicants may request written reasons for rejection and reapply with a revised proposal to a subsequent deadline.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Fellowships are held from six to twelve months, with payment in quarterly installments. Summer Stipends are held for two consecutive months, with stipends disbursed in one payment. Awards for Faculty may support activities from two to twelve months, with payments in quarterly installments. Method of awarding/releasing assistance: other

Reports:

A final report is required within 120 days describing the results of the work done during the period of performance. Financial reports are not required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

59-0200-0-1-503.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants (Fellowships) FY 20 \$7,108,500.00; FY 21 est \$7,215,700.00; FY 22 est \$7,245,000.00;

Range and Average of Financial Assistance:

Fellowships, Public Scholars, and Awards for Faculty: an award of \$5,000 per month for a period of performance of two to twelve months. Summer Stipends: all awards are \$6,000 for a period of performance of two months. See B. Federal Award Information in the relevant Notice of Funding Opportunity.

TAFS Codes:

59-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: See https://www.neh.gov/our-work/listing?f%5B0%5D=our_work_division_office%3A386&f%5B1%5D=content_type%3Aproject.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Opportunity for the various Fellowships, Awards for Faculty, Summer Stipends, and Public Scholar guidelines are available online at <http://www.neh.gov/grants/grantsbydivision.html#research>. For assistance, contact the National Endowment for the Humanities, 400 Seventh St., SW., Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Research Programs,
400 Seventh Street, SW,
Washington DC 20506
Email: fellowships@neh.gov
Phone: (202) 606-8200

Website Address:

<https://www.neh.gov/divisions/research>

RELATED PROGRAMS:

45.161 Promotion of the Humanities Research;

EXAMPLES OF FUNDED PROJECTS:

2021 Past recipients may be found by using the NEH's Funded Projects Query Form available online at <https://securegrants.neh.gov/publicquery/main.aspx>.

CRITERIA FOR SELECTING PROPOSALS:

See E1. Review Criteria in the relevant Notice of Funding Opportunity for detailed criteria.

45.161 Promotion of the Humanities Research

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 956 et seq.,

OBJECTIVES:

To advance knowledge and understanding of the humanities, and strengthen the intellectual foundations of the humanities through the collaboration of scholars and the support of post-doctoral fellowship programs at independent research institutions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Collaborative Research, Scholarly Editions and Translations, and Archaeological and Ethnographic Field Research awards support up to three years of research. Awards support direct costs, including salaries, travel, supplies, and appropriate research assistance and consultation. FPIRI awards support fellowships offered through independent research centers and institutions.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); For Collaborative Research, Scholarly Editions and Scholarly Translations, and Archaeological and Ethnographic Field Research, U.S. public and 501(c)(3) nonprofit organizations (including institutions of higher education), state and local governments, and federally recognized Native American tribal governments. For Fellowship Programs at Independent Research Institutions (FPIRI), U.S. nonprofit 501(c)(3) organizations, a state or local governmental agency, or a federally recognized Indian tribal government with existing fellowship programs may apply. FPIRI applicant institutions must be financed, governed and administered independently of institutions of higher education.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; U.S. citizens and residents, State and local governments, sponsored organizations, public and private nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian tribal governments, Native American organizations, U.S. territories; non-governmental-general; minority organizations, other specialized groups; and quasi-public nonprofit institutions benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 for nonprofit organizations and OMB Circular No. A-21 for educational institutions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Depending on the nature of the proposed project, some applicants to the Archaeological and Ethnographic Field Research program may be required to conduct an environmental impact assessment, per Section 106 of the National Historic Preservation Act (NHPA), 16 USC 470f, and its implementing regulations, 36 CFR Part 800. See the Notice of Funding Opportunity for additional information. Other pre-application coordination is not required, but preliminary discussion with Endowment staff and the submission of a draft application by the date stipulated in the Notice of Funding Opportunity is strongly encouraged.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via Grants.gov. See <https://www.neh.gov/divisions/research> for additional application information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NEH staff review applications for eligibility and completeness. NEH uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. NEH instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from peer reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at least twice annually to advise the NEH chairman. The chairman considers the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

See <https://www.neh.gov/divisions/research>.

Range of Approval/Disapproval Time:

>180 Days. Approximately seven months.

Appeals:

Not Applicable. Applicants may request written reasons for rejection and reapply with a revised proposal to a subsequent application deadline.

Renewals:

Not Applicable. Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements: Percent: 0%. The Archaeological and Ethnographic Field Research program requires cost share for applicants whose project directors are tenured track faculty members. See the Notice of Funding Opportunity for additional details. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 36 months for Collaborative Research, Scholarly Editions and Translations, and Archaeological and Ethnographic Field Research. Up to 42 months for Fellowship Programs at Independent Research Institutions. Method of awarding/releasing assistance: other

Reports:

Cash reports are required quarterly. Progress reports are required at least annually. Final performance reports are due within 120 days after completion or termination of project support by NEH. Refer to F3. Reporting in the relevant Notice of Funding Opportunity. Final expenditure reports are due within 120 days after the end date of the period of performance. Refer to F3. Reporting in the relevant Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Awards are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

Project Grants FY 20 \$5,611,550.00; FY 21 est \$7,530,000.00; FY 22 est \$7,615,000.00;

Range and Average of Financial Assistance:

FY 20 from \$5,000 to \$615,000 with a 174,350 average.

TAFS Codes:

59-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: See https://www.neh.gov/our-work/listing?%5B0%5D=our_work_division_office%3A386&f%5B1%5D=content_type%3Aproject.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

National Endowment for the Humanities, Division of Research Programs,, 400 Seventh St., SW, Washington DC 20506

Email: research@neh.gov

Phone: (202) 606-8200

Website Address:

<http://www.neh.gov/divisions/research>

RELATED PROGRAMS:

45.160 Promotion of the Humanities Fellowships and Stipends;

EXAMPLES OF FUNDED PROJECTS:

2021 Past recipients may be found by using the NEH's Funded Projects Query Form available online at <https://securegrants.neh.gov/publicquery/main.aspx>.

CRITERIA FOR SELECTING PROPOSALS:

See E1. Review Criteria in the relevant Notice of Funding Opportunity.

45.162 Promotion of the Humanities Teaching and Learning Resources and Curriculum Development

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 956, et seq.,

OBJECTIVES:

Humanities Initiatives at Colleges and Universities, Community Colleges, Historically Black Colleges and Universities, Hispanic-Serving Institutions, and Tribal Colleges and Universities are designed to strengthen humanities teaching and learning at these institutions. The Humanities Connections program seeks to expand the role of the humanities in undergraduate education at two- and four-year institutions by supporting innovative curricular approaches that foster productive partnerships among humanities faculty and their counterparts in the social and natural sciences and in pre-service or professional programs, in order to encourage and develop new integrative learning opportunities for students.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Humanities Initiatives at Colleges and Universities, Community Colleges and at Historically Black Colleges and Universities (HBCUs), Hispanic-Serving institutions of higher education, and Tribal Colleges and Universities provide funds for faculty study programs, institutional planning, and the acquisition of library and advanced technology resources that can assist institutions in enhancing humanities opportunities for their students and their communities, typically through strengthening the capacity of faculty to engage student or community beneficiaries in substantive study of the humanities.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); For the Humanities Initiatives and Humanities Connection programs, eligible applicants public and 501(c)(3) accredited institutions of higher education, including U.S. Historically Black Colleges or Universities (HBCU) as defined by Executive Order 13532, Hispanic-serving institutions of higher education (HSI) recognized by the U.S. Department of Education, tribal colleges or universities as defined by Executive Order 13270, and community colleges. For the Dialogues program, eligible applicants include U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; U.S. public and nonprofit 501(c)(3) organizations (including institutions of higher education), state and local governmental agencies, federally recognized Native American tribal governments, humanities scholars, and the general public.

Credentials/Documentation:

Costs will be determined in accordance OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. pre-application coordination is not required, but preliminary discussion with Endowment staff and the submission of a draft application by the date stipulated in the Notice of Funding Opportunity at least six weeks before the deadline are strongly encouraged.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via Grants.gov. See <https://www.neh.gov/divisions/education> for application information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NEH staff review applications for eligibility and completeness. NEH uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. NEH instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from peer reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at least twice annually to advise the NEH chairman. The chairman considers the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

See <https://www.neh.gov/divisions/education> for the relevant Notice of Funding Opportunity and deadline information.

Range of Approval/Disapproval Time:

>180 Days. Approximately six months.

Appeals:

Other. Applicants may request written reasons for rejection and reapply with revised proposal to a subsequent application deadline.

Renewals:

Other. Applications for renewal must compete against new applications. Applications for renewal must demonstrate a record of success and the potential to serve new audiences.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 36 months. Method of awarding/releasing assistance: other

Reports:

Narrative progress reports are required annually. Final performance reports are due within 120 days after the end date of the period of performance. Refer to the section F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements. Financial reports are required annually. Final expenditure reports are due within 120 days after the end date of the period of performance. Refer to the F3. Reporting of the relevant Notice of Funding Opportunity for program specific reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Awards are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

Project Grants FY 20 \$6,396,600.00; FY 21 est \$6,815,000.00; FY 22 est \$7,068,000.00;

Range and Average of Financial Assistance:

Humanities Connections and Humanities Initiatives awards do not exceed \$150,000. Dialogues on the Experience of War awards do not exceed \$100,000.

TAFS Codes:

59-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: See https://www.neh.gov/our-work/listing?f%5B0%5D=our_work_division_office%3A401&f%5B1%5D=content_type%3Aproject.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Information about the National Endowment for the Humanities and Notices of Funding Opportunity are available online at <http://www.neh.gov/> or upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Education Programs, 400 Seventh Street, SW, Washington DC 20506

Email: education@neh.gov

Phone: (202) 606-8500

Website Address:

<https://www.neh.gov/divisions/education>

RELATED PROGRAMS:

45.163 Promotion of the Humanities Professional Development;

EXAMPLES OF FUNDED PROJECTS:

2020 Past recipients may be found by using the NEH's Funded Projects Query Form available online at <https://securegrants.neh.gov/publicquery/main.aspx>.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are read and evaluated on whether the intellectual quality of the project is excellent; whether the project is well designed; and whether the project will have significant results. See E1. Review Criteria in the relevant Notice of Funding Opportunity.

45.163 Promotion of the Humanities Professional Development

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 956, et seq.,

OBJECTIVES:

The Seminars and Institutes programs promote better teaching and research in the humanities for higher education faculty and K-12 educators. The Landmarks of American History and Culture program promotes better teaching of American history and culture through intensive, place-based professional development for K-12 teachers at historical sites, colonial settlements, battlefields, artists' and writers' homes--while enabling participants to gain experience in conveying the importance of historical places and enhancing their teaching.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards support direct costs, including salaries, participant stipends, selection costs, travel, and supplies. Projects should engage participants in deepening the knowledge of the subjects they teach and strengthen their capacity to engage students in substantive study of the humanities. Projects that deal solely with pedagogical theory or that are intended to improve writing, speaking, or thinking skills apart from a focus on specific humanities content are not normally supported.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments.

Beneficiary Eligibility:

Education (9-12); State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Education Professional; Artist/Humanist; Education (13+); Depending on the project, beneficiaries include K-12 teachers or higher education faculty in the humanities, as well as their students and colleagues.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants are encouraged to contact NEH program officers, who can offer advice about preparing the proposal and review preliminary proposal drafts if they are submitted at least six weeks before the deadline. Time constraints may prevent staff from reviewing draft proposals submitted after that date. Staff comments are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants have found them helpful in strengthening their applications.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via Grants.gov. Additional application information is available online at <https://www.neh.gov/divisions/education>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NEH staff review applications for eligibility and completeness. NEH uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. NEH instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from peer reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at least twice annually to advise the NEH chairman. The chairman considers the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

See <https://www.neh.gov/divisions/education>.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately six months for applicants, and six weeks for individuals applying to participate in NEH-funded seminars, institutes, and workshops.

Appeals:

Other. Applicants may request written reasons for rejection and reapply with revised proposal to a subsequent application deadline.

Renewals:

Other. Applications for renewal must demonstrate a record of success and the potential to serve new audiences. These applications compete against new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 24 months. Method of awarding/releasing assistance: other

Reports:

Cash reports are due quarterly. Progress reports are due annually. A final narrative report is required within 120 days after completion or termination of the grant period. In addition, reports are required from the scholars participating in the seminar assessing the value of the seminar for their professional development. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements. Financial reports are due annually. A final financial report is required within 120 days after completion or termination of the grant period. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Awards are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final financial report.

Account Identification:

59-0200-0-1-503.

Obligations:

Project Grants FY 20 \$8,862,400.00; FY 21 est \$9,133,000.00; FY 22 est \$9,182,500.00;

Range and Average of Financial Assistance:

Awards in 2021 from \$31,500 to \$368,484.

TAFS Codes:

59-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: https://www.neh.gov/our-work/listing?f%5B0%5D=our_work_division_office%3A401&f%5B1%5D=content_type%3Aproject

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov> or upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Education Programs, 400 Seventh Street, SW Email: sem-inst@neh.gov, Washington DC 20506

Email: sem-inst@neh.gov

Phone: (202) 606-8463

Website Address:

<https://www.neh.gov/divisions/education>

RELATED PROGRAMS:

45.162 Promotion of the Humanities Teaching and Learning Resources and Curriculum Development;

EXAMPLES OF FUNDED PROJECTS:

2020 Past recipients may be found by using the NEH's Funded Projects Query Form available online at <https://securegrants.neh.gov/publicquery/main.aspx>.

CRITERIA FOR SELECTING PROPOSALS:

See E1. Review Criteria in the relevant Notice of Funding Opportunity.

45.164 Promotion of the Humanities Public Programs

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 956, et seq.,

OBJECTIVES:

To provide opportunities for the American public to explore human history and culture through humanities programs in museums, historical organizations, libraries, community centers, and other gathering places, as well as on public television, radio, the Internet, and other digital media.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards are made to support discovery, prototyping, and development costs for Digital Projects for the Public, development and production costs for Media Projects, and planning and implementation costs for Public Humanities Projects. See A1. Purpose in the relevant Notice of Funding Opportunity.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Anyone/general public; U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations apply. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to NEH must be submitted via Grants.gov. Additional application information is available online (<https://www.neh.gov/divisions/public>). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NEH staff review applications for eligibility and completeness. NEH uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. NEH instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from peer reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at least twice annually to advise the NEH chairman. The chairman considers the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

See <https://www.neh.gov/divisions/public>.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately six months.

Appeals:

Other. Applicants may request written reasons for rejection and reapply with

revised proposal to a subsequent application deadline.

Renewals:

Other. Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies, but usually six months to three years. Funds must be obligated during the period of performance. Method of awarding/releasing assistance: other

Reports:

Progress reports are required at least annually. Final progress reports are due within 120 days after completion or termination of the project. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements. Interim financial reports are required at least annually. Expenditure reports are due within 120 days after completion or termination of the project. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final financial report.

Account Identification:

59-0200-0-1-503.

Obligations:

Project Grants FY 20 \$15,519,000.00; FY 21 est \$15,845,000.00; FY 22 est \$15,575,000.00;

Range and Average of Financial Assistance:

FY 20 from \$5,000 to \$725,000.

TAFS Codes:

59-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: https://www.neh.gov/our-work/listing?f%5B0%5D=our_work_division_office%3A2516&f%5B1%5D=content_type%3Aproject

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> or by request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Public Programs, 400 7th Street, SW, Washington DC 20506
Email: publicpgms@neh.gov
Phone: (202) 606-8269

Website Address:

<http://www.neh.gov/divisions/public>

RELATED PROGRAMS:

45.129 Promotion of the Humanities_Federal/State Partnership;

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

2021 Past recipients may be found by using the NEH's Funded Projects Query Form available online at <https://securegrants.neh.gov/publicquery/main.aspx>.

CRITERIA FOR SELECTING PROPOSALS:

A successful application will demonstrate national significance or impact, outreach to groups of Americans not often engaged in public humanities projects, collaboration among cultural institutions and organizations as well as other similar or different groups, and use of a broad spectrum of the most effective formats, technologies, and venues for lifelong learning in the humanities. Although every proposal will not be expected to meet all of the criteria outlined above, the Division will give priority to applications that further these objectives. See E1. Review Criteria in the relevant Notice of Funding Opportunity.

45.169 Promotion of the Humanities Office of Digital Humanities

FEDERAL AGENCY:

NATIONAL ENDOWMENT FOR THE HUMANITIES

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 956 et seq.,

OBJECTIVES:

To support innovative humanities projects that utilize or study the impact of digital technology for research, preservation, access, education, and public programming.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards support professional development institutes or seminars on advanced topics in the digital humanities that explore new technology-driven methodologies; innovative start-up projects that are in the first phases of planning, brainstorming, and creating prototypes that explore the use or impact of digital technology for the humanities; the broader implementation of innovative projects in the digital humanities that have moved beyond the start-up phase; projects that explore and enrich humanities materials using digital technology, thereby enhancing teaching, research, and public access.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; U.S. nonprofit organizations with 501(c)(3) tax-exempt status, public and 501(c)(3) accredited institutions of higher education, state and local governmental agencies, and federally recognized Native American tribal governments. U.S. public and nonprofit organizations (including accredited institutions of higher education); state and local governmental agencies and Native American tribal organizations; U.S. citizens; and humanities scholars.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Prior to submitting a proposal, applicants are encouraged to contact program officers who can offer advice about preparing the proposal, and review draft proposals. These comments are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants in other programs have found them helpful in strengthening their applications. Program staff recommend that draft proposals be submitted six weeks before the deadline. Time restraints may prevent staff from reviewing draft proposals submitted after that date. Draft proposals may be submitted by E-mail attachment (odh@neh.gov).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for this program must be submitted via Grants.Gov. See <https://www.neh.gov/divisions/odh> for additional application information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NEH staff review applications for eligibility and completeness. NEH uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. NEH instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. NEH staff comments on matters of fact or on significant issues that otherwise would be missing from peer reviews, then makes recommendations to the National Council on the Humanities. The National Council meets at least twice annually to advise the NEH chairman. The chairman considers the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

See <https://www.neh.gov/divisions/odh>.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable. Applicant may request written reasons for rejection and reapply with revised proposal to a subsequent deadline.

Renewals:

Not Applicable. Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 36 months. Method of awarding/releasing assistance: other

Reports:

A Federal Cash Transactions Report will be due within 30 days of the end of each calendar quarter. A final Financial Status Report will be due within 90 days after the completion date of the award period. Performance reports will be required at least annually. A final performance report will be required within 120 days of the period of performance. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements. Financial reports will be required at least annually. A final financial report will be required within 120 days of the period of performance. Refer to F3. Reporting in the relevant Notice of Funding Opportunity for program specific reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Awards are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final financial report.

Account Identification:

59-0200-0-1-503.

Obligations:

Project Grants FY 20 \$5,528,270.00; FY 21 est \$5,155,000.00; FY 22 est \$5,625,000.00;

Range and Average of Financial Assistance:

FY 20 from \$21,744 to \$324,985.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

59-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: https://www.neh.gov/our-work/listing?%5B0%5D=our_work_division_office%3A346&f%5B1%5D=content_type%3Aproject

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants/html> or by request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Office of Digital Humanities,
400 Seventh St. SW,
Washington DC 20506
Email: odh@neh.gov
Phone: 202-606-8400

Website Address:

<https://www.neh.gov/divisions/odh>

RELATED PROGRAMS:

45.149 Promotion of the Humanities_Division of Preservation and Access; 45.161 Promotion of the Humanities Research; 45.164 Promotion of the Humanities Public Programs;

EXAMPLES OF FUNDED PROJECTS:

2021 Past recipients may be found in the NEHs Funded Projects Query Form, found at: <https://securegrants.neh.gov/publicquery/main.aspx>

CRITERIA FOR SELECTING PROPOSALS:

See E1. Review Criteria in the relevant Notice of Funding Opportunity detailed criteria.

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

45.301 Museums for America

MFA: ME; Inspire,

FEDERAL AGENCY:

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

AUTHORIZATION:

20 USC Chapter 72 Museum and Library Services Act of 2018 USC Code 9101-9176; in particular 9173 (Museum services activities). Public Law 115-410

OBJECTIVES:

Museums for America (MFA) is designed to strengthen the ability of individual museums to serve the public more effectively by supporting high-priority activities that advance their missions, plans, and strategic goals and objectives. MFA has three program goals Lifelong Learning, Community Engagement, and Collections Stewardship and Access with three associated objectives each. Museums Empowered: Professional Development Opportunities for Museum Staff, a special initiative of the MFA grant program, supports using the transformative power of professional development and training to generate systemic change within museums of all types and sizes. It has four program goals Digital Technology, Diversity and Inclusion, Evaluation, and Organizational Management with two associated objectives each. Inspire! Grants for Small Museums, a special initiative of the MFA program, supports small museums of all disciplines in project-based efforts to serve the public by implementing projects that address priorities identified in their strategic plans. Inspire! has three program goals Lifelong Learning, Institutional Capacity, and Collections Stewardship and Access with three associated objectives each. Please see the Notice of Funding Opportunity for details of the specific goals and objectives for these grant programs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for the purposes outlined in the Notice of Funding Opportunity, and in accordance with the Institute of Museum and Library Services General Terms and Conditions. Examples of allowable costs include personnel salaries, wages, and fringe benefits for project staff; travel expenses for key project staff and consultants; materials, supplies, software, and equipment related directly to project activities; adaptive and/or assistive technologies and other resources and services to improve accessibility for persons with disabilities; equipment to improve collections storage and exhibit environments; third-party costs; publication design and printing; program evaluation; staff and volunteer training; paid internships/fellowships; and indirect or overhead costs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; An applicant to Museums for America must meet geographic and governance criteria and qualify as one of the following types of organizations: 1. A museum that, using a professional staff, is organized on a permanent basis for essentially educational, cultural heritage, or aesthetic purposes; owns or uses tangible objects, either animate or inanimate; cares for these objects; and exhibits these objects to the general public on a regular basis through facilities that it owns or operates. 2. A public or private nonprofit agency that is responsible for the operation of a museum that meets these criteria. Applicants should consult the Notices of Funding Opportunity for MFA and the special initiatives for more details.

Beneficiary Eligibility:

Public and private non-profit museums. Through activities and projects funded, beneficiaries include the general public.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 1. Ensure that required registrations are active and user names and passwords are in place. 2. Read the Notice of Funding Opportunity carefully to get all the information required for applying. 3. Compose the complete application, using resources available through www.ims.gov (e.g. on-demand webinars, instructions, Sample Applications, Reviewer Resources). 4. Contact an IMLS staff member to ask any questions about the application and review process. 5. Submit the application via Grants.gov by the deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

IMLS staff review applications for eligibility and completeness. IMLS uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. IMLS instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. The IMLS Director takes into account the input provided by the review process and makes final funding decisions consistent with the purposes of the agency's mission and programs.

Deadlines:

August 16, 2021 - November 15, 2021. Complete applications must be submitted through Grants.gov by 11:59 pm U.S. Eastern Time on November 15, 2021.

Range of Approval/Disapproval Time:

>180 Days. IMLS will not release information about the status of an application until the applications have been reviewed and all deliberations are concluded.

ASSISTANCE LISTINGS DESCRIPTIONS

IMLS expects to notify both successful and unsuccessful applicants of the final decisions by email by August 2022.

Appeals:

Other. IMLS will provide copies of reviewer comments and scores to all unsuccessful applicants and invite them to consult with specific IMLS staff when determining whether to revise the proposal and submit it again to IMLS or another funding agency.

Renewals:

Other. IMLS may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Museums for America and Museums Empowered (special initiative): At least one-to-one cost share from non-federal sources is required. Inspire! Grants for Small Museums (special initiative): Cost sharing is allowed but not required. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Museums for America and Museums Empowered projects must begin on September 1, 2022 and project activities may be carried out for one to three years. Inspire! Grants for Small Museums projects must begin on September 1, 2022, and project activities may be carried out for one to two years. Method of awarding/releasing assistance: other

Reports:

The Institute of Museum and Library Services requires annual interim and final financial and performance reports. Grantees are required to submit a financial report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports online using the IMLS electronic grants management system. Grantees are required to submit a performance report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports using the IMLS electronic grants management system. In support of the agency's commitment to open government, interim and final performance reports may be made accessible on the IMLS website to engage the public in communities of practice and to inform application development and grant-making strategies.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other project records must be retained for three years following the submission of the final financial report.

Account Identification:

59-0300-0-1-503.

Obligations:

Project Grants FY 20 \$25,899,000.00; FY 21 est \$26,899,000.00; and FY 22 est \$26,899,000.00;

Range and Average of Financial Assistance:

Museums for America: FY2020 awards ranged from \$10,000-\$250,000. Average = \$180,495. FY2021 awards ranged from \$5,000 to \$250,000. Average = \$185,598. Museums Empowered (special initiative): FY2020 awards ranged from \$102,301-\$250,000. Average = \$176,583. FY2021 awards ranged from \$26,618-\$250,000. Average = \$165,229. Inspire! Grants for Small Museums (special initiative): FY2020 awards ranged from \$9,399-\$50,000. Average = \$41,947. FY2021 awards ranged from \$14,044-\$50,000. Average = \$43,235.

TAFS Codes:

53-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: IMLS made 113 awards in Museums for America, 22 awards in Museums Empowered, and 53 awards in Inspire! Grants for Small Museums, for a total of 188 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200 and 2 CFR Part 3187. 20 USC Chapter 72 Museum and Library Services Act of 2018, 9101-9176; in particular, 9173 (Museum services activities). Guidelines are available online at <https://www.ims.gov/grants/grant-programs> or upon request from IMLS, 955 L'Enfant Plaza North, SW, Suite 4000, Washington DC 20024-2135. See the IMLS website at www.ims.gov for the most current program information.

Regional or Local Office:

None.

Headquarters Office:

Connie Bodner,
955 L'Enfant Plaza North, SWSuite 4000,
Washington DC 20024
Email: cbodner@ims.gov
Phone: 202-653-4636
Fax: Sandra Narva,
955 L'Enfant Plaza North, SW Suite 4000,
Washington DC 20024
Email: snarva@ims.gov
Phone: 202-653-4634
Fax:

Website Address:

<https://www.ims.gov/grants/available/museums-america>

RELATED PROGRAMS:

45.312 National Leadership Grants; 45.311 Native American and Native Hawaiian Library Services; 45.310 Grants to States; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.313 Laura Bush 21st Century Librarian Program; 45.309 Museum Grants for African American History and Culture;

EXAMPLES OF FUNDED PROJECTS:

2021 Visit <https://www.ims.gov/grants/awarded-grants> to find projects funded through Museums for America and its special initiatives by state, city, category, program, and/or keyword.

CRITERIA FOR SELECTING PROPOSALS:

Successful applications in this grant program are structured to address a key goal identified in the institutions strategic plan; reflect a thorough understanding of current practice and knowledge about the subject matter; present a work plan consisting of a set of logical, interrelated activities tied directly to addressing the key need or challenge identified in the application; and are designed to generate measurable results that tie directly to the identified need or challenge.

45.308 Native American/Native Hawaiian Museum Services Program

NANH-Museums,

FEDERAL AGENCY:

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

AUTHORIZATION:

20 USC Chapter 72 Museum and Library Services Act of 2018 USC Code 9101-9176, in particular 9173 (d) (Services for Native Americans).

OBJECTIVES:

The Native American/Native Hawaiian Museum Services program (NANH-Museums) serves Federally recognized Native American tribes and Native Hawaiians by supporting projects that sustain heritage, culture, and knowledge. There are three program goals with two to four associated objectives each. GOAL 1: Empower people of all ages and backgrounds through experiential and cross-disciplinary learning and discovery. Obj 1.1: Support public programs, adult programs, family programs, and early childhood programs. Obj 1.2: Support exhibitions, interpretation, and digital media. Obj 1.3: Support in-school and out-of-school educational programs. Obj 1.4: Support the professional development of the Native American and Native Hawaiian museum workforce. GOAL 2: Build the capacity of organizations to serve their communities. Obj 2.1: Support institutional planning and policy development. Obj 2.2: Support technology enhancements. GOAL 3: Advance the management and care of Native American

ASSISTANCE LISTINGS DESCRIPTIONS

and Native Hawaiian collections and their associated documentation. Obj 3.1: Support cataloging, inventorying, and registration; collections information management; and collections planning. Obj 3.2: Support conservation and environmental improvement and/or rehousing; conservation surveys; and conservation treatment. Obj 3.3: Support database management, digital asset management, and digitization. Obj 3.4: Support the preservation and perpetuation of indigenous languages and traditional cultural practices.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for the purposes outlined in the Notice of Funding Opportunity, and in accordance with the Institute of Museum and Library Services General Terms and Conditions. Examples of allowable costs include personnel salaries, wages, and fringe benefits for project staff; travel expenses for key project staff and consultants; materials, supplies, software, and equipment related directly to project activities; adaptive and/or assistive technologies and other resources and services to improve accessibility for persons with disabilities; equipment to improve collections storage and exhibit environments; third-party costs; publication design and printing; program evaluation; staff and volunteer training; paid internships/fellowships; and indirect or overhead costs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other private institutions/organizations; Federally Recognized Indian Tribe: To be eligible for funding as this type of entity, an organization must be an Indian tribe, which means any tribe, band, nation, or other organized group or community, including any Alaska native village, regional corporation, or village corporation (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.)), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. A list of eligible entities is available from the Bureau of Indian Affairs. Nonprofit Organization that Primarily Serves and Represents Native Hawaiians: To be eligible for funding as this type of entity, an organization must be a nonprofit that primarily serves and represents Native Hawaiians, as that term is defined in 20 U.S.C. 7517. In order for a museum to be eligible, it must demonstrate that it is established as an organization that meets this statutory eligibility criteria.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 1. Ensure that required registrations are active and user names and passwords are in place. 2. Read the Notice of Funding Opportunity carefully to get all the information required for applying. 3. Compose the complete application, using resources available through www.ims.gov (e.g. on-demand webinars, instructions, Sample Applications, Reviewer Resources). 4. Contact an IMLS staff member to ask any questions about the application and review process. 5. Submit the application via Grants.gov by the deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

IMLS staff review applications for eligibility and completeness. IMLS uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. IMLS instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. The IMLS Director takes into account the input provided by the review process and makes final funding decisions consistent with the purposes of the agency's mission and programs.

Deadlines:

August 16, 2021 - November 15, 2021. Complete applications must be submitted through Grants.gov by 11:59 pm U.S. Eastern Time on November 15, 2021.

Range of Approval/Disapproval Time:

>180 Days. IMLS will not release information about the status of an application until the applications have been reviewed and all deliberations are concluded. IMLS expects to notify both successful and unsuccessful applicants of the final decisions by email by June 2022.

Appeals:

Other. IMLS will provide copies of reviewer comments and scores to all unsuccessful applicants and invite them to consult with specific IMLS staff when determining whether to revise the proposal and submit it again to IMLS or another funding agency.

Renewals:

Other. IMLS may extend particular grants at the discretion of the Director.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project activities may be carried out for one to three years. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit a financial report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports online using the IMLS electronic grants management system. Grantees are required to submit a performance report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports using the IMLS electronic grants management system. In support of the agency's commitment to open government, interim and final performance reports may be made accessible on the IMLS website to engage the public in communities of practice and to inform application development and grant-making strategies.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other project records must be retained for three years following the submission of the final financial report.

Account Identification:

59-0300-0-1-503.

Obligations:

Project Grants FY 20 \$2,672,000.00; FY 21 est \$2,272,000.00; and FY 22 est \$2,272,000.00;

Range and Average of Financial Assistance:

FY2020 awards ranged from \$49,700 to \$100,000. Average = \$84,381. FY2021 awards ranged from \$44,159 to \$100,000. Average = \$87,102.

TAFS Codes:

53-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: IMLS made 26 awards in Native American/Native Hawaiian Museum Services.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200 and 2 CFR Part 3187. 20 USC Chapter 72 Museum and Library Services Act of 2018, 9101-9176, in particular 9173 (d) (Services for Native Americans). Guidelines are available online at <https://www.ims.gov/grants/grant-programs> or upon request from IMLS, 955 L'Enfant Plaza North, SW, Suite 4000, Washington DC 20024-2135. See the IMLS website at www.ims.gov for the most current program information.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Connie Bodner,
955 L'Enfant Plaza North, SWSuite 4000,
Washington DC 20024

Email: cbodner@imls.gov

Phone: 202-653-4636

Sandra Narva,
955 L'Enfant Plaza North, SW Suite 4000,
Washington DC 20024

Email: snarva@imls.gov

Phone: 202-653-4634

Fax:

Website Address:

<https://www.imls.gov/grants/available/native-american/native-hawaiian-museum-services-program>

RELATED PROGRAMS:

45.312 National Leadership Grants; 45.301 Museums for America; 45.311 Native American and Native Hawaiian Library Services; 45.310 Grants to States; 45.313 Laura Bush 21st Century Librarian Program; 45.309 Museum Grants for African American History and Culture;

EXAMPLES OF FUNDED PROJECTS:

2021 Visit <https://www.imls.gov/grants/awarded-grants> to find FY2021 recipients of Native American/Native Hawaiian Museum Services grants by state, city, and/or keyword.

CRITERIA FOR SELECTING PROPOSALS:

Successful applications in this grant program are structured to address an identified need or challenge facing an organization; reflect a thorough understanding of current practice and knowledge about the subject matter; define a work plan consisting of a set of logical, interrelated activities tied directly to addressing a key need or challenge; and are designed to generate measurable results that tie directly to the identified need or challenge.

45.309 Museum Grants for African American History and Culture

AAHC,

FEDERAL AGENCY:

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

AUTHORIZATION:

Public Law 108-184, 20 USC Chapter 72 Museum and Library Services Act of 2018 USC Code 9101-9176; in particular, 9173 (Museum services activities); 80r (National Museum of African American History and Culture), in particular 80r-5 (Educational and liaison programs).

OBJECTIVES:

Museum Grants for African American History and Culture (AAHC) is designed to build the capacity of African American museums and support the growth and development of museum professionals at African American museums. The program has two goals with three or four objectives each. GOAL 1: Build the capacity of African American museums and their ability to serve their communities. Obj. 1.1: Develop, enhance, or expand public programs, exhibitions, and/or school programs. Obj. 1.2: Enhance professional management. Obj. 1.3: Improve care of museum collections and expand access to collections and associated data. Obj. 1.4: Foster partnerships and collaborations among museums and institutions of higher education. GOAL 2: Support the growth and development of museum professionals at African American museums. Obj. 2.1: Develop and implement internship, fellowship, and mentoring programs structured to support emerging professionals entering the museum field. Obj. 2.2: Develop and implement equitable and inclusive staff recruitment and retention programs. Obj. 2.3: Create learning and growth opportunities designed to build skills, enhance knowledge, and provide opportunities to share expertise.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for the purposes outlined in the Notice of Funding Opportunity, and in accordance with the Institute of Museum and Library Services General Terms and Conditions. Examples of allowable costs include personnel salaries, wages, and fringe benefits for project staff; travel expenses for key project staff and consultants; materials, supplies, software, and equipment related directly to project activities; adaptive and/or assistive technologies and other resources and services to improve accessibility for persons with disabilities; equipment to improve collections storage and exhibit environments; third-party costs; publication design and printing; program evaluation; staff and volunteer training; student tuition and fees; paid internships/fellowships; and indirect or overhead costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); An applicant to Museum Grants for African American History and Culture must meet geographic and governance criteria and qualify as one of following types of organizations: 1.A museum whose primary purpose, as reflected in its mission, is African American life, art, history, and/or culture, encompassing: the period of slavery; the era of Reconstruction; the Harlem renaissance; the civil rights movement; and other periods of the African American diaspora; and using a professional staff, is organized on a permanent basis for essentially educational, cultural heritage or aesthetic purposes; owns or uses tangible objects, either animate or inanimate; cares for these objects; and exhibits these objects to the general public on a regular basis through facilities that it owns or operates. For more information, please see 2 C.F.R. 3187.3.2.A public or private nonprofit agency that is responsible for the operation of a museum that meets the eligibility criteria in 1, 2, and 3(a), applying on behalf of the museum.3.A museum service organization or association whose primary purpose, as reflected in its mission, is to support museums whose primary purpose, as reflected in their mission, is African American life, art, history and/or culture; or4.A historically black college or university, as defined by the Higher Education Act of 1965, as amended, 20 U.S.C. Section 1061, and pursuant to Executive Order 13256, dated February 12, 2002.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 1. Ensure that required registrations are active and user names and passwords are in place.2. Read the Notice of Funding Opportunity carefully to get all the information required for applying.3. Compose the application, using resources available through www.imls.gov (e.g. on-demand webinars, instructions, Sample Applications, Reviewer Resources).4. Contact an IMLS staff member to ask any questions about the application and review process.5. Submit the application via Grants.gov by the deadline.

Award Procedure:

IMLS staff review applications for eligibility and completeness. IMLS uses a peer review process to evaluate all eligible and complete applications. Peer reviewers evaluate all eligible and complete applications according to the review criteria listed in the NOFO. Reviewers are professionals in the field with relevant knowledge and expertise in the types of project activities identified in the applications. Peer reviewers must comply with IMLS's Federal ethics and conflicts of interest requirements. The IMLS Director takes into account the input provided by the review process and makes final funding decisions consistent with the

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purposes of the agency's mission and programs.

Deadlines:

August 16, 2021 - November 15, 2021. Complete applications must be submitted through Grants.gov by 11:59 pm U.S. Eastern Time on or before November 15, 2021.

Range of Approval/Disapproval Time:

>180 Days. IMLS will not release information about the status of an application until the applications have been reviewed and all deliberations are concluded. IMLS expects to notify both successful and unsuccessful applicants of the final decisions by email by June 2022.

Appeals:

Other. IMLS will provide copies of reviewer comments and scores to all unsuccessful applicants and invite them to consult with specific IMLS staff when determining whether to revise the proposal and submit it again to IMLS or another funding agency.

Renewals:

Other. IMLS may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The requirements to provide cost share differ according to funding level requested in the AAHC program. Cost share is not required for requests from \$5,000-\$50,000. At least one-to-one cost share from non-federal sources is required for requests from \$50,001-\$250,000. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects must begin on July 1, 2022, and project activities may be carried out for one to three years. Method of awarding/releasing assistance: other

Reports:

The Institute of Museum and Library Services requires annual interim and final financial and performance reports. Grantees are required to submit a financial report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports online using the IMLS electronic grants management system. Grantees are required to submit a performance report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports online using the IMLS electronic grants management system. In support of the agency's commitment to open government, interim and final performance reports may be made accessible on the IMLS website to engage the public in communities of practice and to inform application development and grant-making strategies.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other project records must be retained for three years following the submission of the final financial report.

Account Identification:

59-0300-0-1-503.

Obligations:

Project Grants FY 20 \$2,731,000.00; FY 21 est \$3,231,000.00; FY 22 est \$5,231,000.00;

Range and Average of Financial Assistance:

FY2020: Awards ranged from \$44,550 to \$250,000. Average = \$124,136. FY2021: Awards ranged from \$20,294 to \$250,000. Average = \$134,625.

TAFS Codes:

53-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: IMLS made 24 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200 and 2 CFR Part 3187. 20 USC Chapter 72 Museum and Library Services Act of 2018, 9101-9176; in particular, 9173 (Museum services activities); 80r (National Museum of African American History and Culture), in particular 80r-5 (Educational and liaison programs). Guidelines are available online at <https://www.ims.gov/grants/grant-programs> or upon request from IMLS, 955 L'Enfant Plaza North, SW, Suite 4000, Washington DC 20024-2135. See the IMLS website at www.ims.gov for the most current program information.

Regional or Local Office:

None.

Headquarters Office:

Senior Program Officer Connie Bodner,
955 L'Enfant Plaza North, SW Suite 4000,
Washington DC 20024
Email: cbodner@ims.gov
Phone: 202-653-4636
Sandra Narva,
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Email: snarva@ims.gov
Phone: 202-653-4634
Fax:

Website Address:

<https://www.ims.gov/grants/available/museum-grants-african-american-history-and-culture>

RELATED PROGRAMS:

45.312 National Leadership Grants; 45.301 Museums for America; 45.311 Native American and Native Hawaiian Library Services; 45.310 Grants to States; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.313 Laura Bush 21st Century Librarian Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Visit <https://www.ims.gov/grants/awarded-grants> to find FY2021 projects funded through Museum Grants for African American Heritage and Culture by state, city, and/or keyword.

CRITERIA FOR SELECTING PROPOSALS:

Successful applications in this grant program are structured to build the capacity of a museum and/or support the growth and development of museum professionals at an African American museum and/or HBCU; reflect a thorough understanding of current practice and knowledge about the subject matter; define a work plan consisting of a set of logical, interrelated activities tied directly to addressing a key need or challenge; and are designed to generate measurable results that tie directly to the identified need or challenge.

45.310 Grants to States

G2S,

FEDERAL AGENCY:

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

AUTHORIZATION:

20 USC Chapter 72 Museum and Library Services Act of 2018 USC Code 9101-9176; in particular 9121 (Purpose), 9141 (Grants to States). Public Law 115-410

OBJECTIVES:

The Grants to States program supports efforts across the nation to provide access to information and educational resources in a variety of formats, in all types of libraries, for individuals of all ages. Supported initiatives and services address individuals needs for education, lifelong learning, workforce development, economic and business development, health information, critical thinking skills, and digital, financial, and other types of literacies.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants may be used for: (1) expanding services for learning and access to information and educational resources in a variety of formats, in all types of

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libraries, for individuals of all ages in order to support such individuals needs for education, lifelong learning, workforce development, and digital literacy skills; (2) establishing or enhancing electronic and other linkages and improved coordination among and between libraries and entities, for the purpose of improving the quality of and access to library and information services; (3) (A) providing training and professional development, including continuing education, to enhance the skills of the current library workforce and leadership, and advance the delivery of library and information services and; (B) enhancing efforts to recruit future professionals to the field of library and information services; (4) developing public and private partnerships with other agencies and community-based organizations; (5) targeting library services to individuals of diverse geographic, cultural, and socioeconomic backgrounds, to individuals with disabilities, and to individuals with limited functional literacy or information skills; (6) targeting library and information services to persons having difficulty using a library and to underserved urban and rural communities, including children (from birth through age 17) from families with incomes below the poverty line; (7) developing library services that provide all users access to information through local, State, regional, national, and international collaborations and networks; and (8) carrying out other activities consistent with the purposes set forth in Section 9121 of the Museum and Library Services Act, as described in the State Library Administrative Agencies plan.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); The 59 established State Library Administrative Agencies may apply for Grants to States funds. A State Library Administrative Agency is the official agency of a State charged by law with the extension and development of public library services throughout the State.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; State; Public nonprofit institution/organization; State Library Administrative Agencies may distribute the funds through competitive subawards to, or cooperative agreements with, public, academic, research, school or special libraries or consortia. Through activities and projects funded, beneficiaries include the general public.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To receive funds under the Grants to State Library Administrative Agencies program, each State Library Administrative Agency must submit to the Director of IMLS a State Plan detailing certain goals, assurances, and procedures for a five-year period. A State Plan is a document that identifies a States library needs, sets forth the activities to be taken toward meeting the identified needs supported with the assistance of Federal funds made available under the Library Services and Technology Act (LSTA), and provides assurances that the officially designated State Library Administrative Agency has the fiscal and legal authority and capability to administer all aspects of any award under the Grants to State Library Administrative Agencies program. The State Plan must also provide assurances for establishing the States policies, priorities, criteria and procedures necessary to the implementation of all programs under the LSTA. The State Plan should be organized in the order of the requirements listed in the guidelines, available at [imls.gov](https://www.imls.gov), and submitted as a PDF document to stateprograms@imls.gov.

Award Procedure:

IMLS approves State Plans that meet the requirements as set out in the guidelines available at [imls.gov](https://www.imls.gov) and provide satisfactory assurances and certifications that the provisions of the State Plan will be carried out. Once IMLS approves a State Plan, IMLS sends a letter to the applicant stating that the State Plan has been approved. States may then distribute the funds through competitive subawards or cooperative agreements with eligible entities.

Deadlines:

March 22, 2022 - June 30, 2022. Each State Library Administrative Agency may submit a Five-Year Plan at the beginning of a five-year cycle. The current cycle is 2018-2022, and the next is 2023-2027. Plans for that cycle will be due June 30, 2022.

Range of Approval/Disapproval Time:

From 90 to 120 days. Once IMLS approves the Five-Year Plan, a State can receive annual allotments for the five-year period covered by the Plan. Awards are made annually with a two-year period of performance. At any given time, a State will have two partially overlapping active grants, but each must be tracked and reported separately.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 20 USC Museum and Library Services Act of 2018, Chapter 72, Part 9131(b) (for matching) 9133(c)(1)(A)(i) and 9133(c) (2) for MOE, Subpart ,Public Law 115-410. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Statutory Formula: Matching Requirements: The amount a State receives under this program consists of a minimum allotment set by Congress (20 USC 9131 (b)) plus an additional amount based on the most current published population estimates available the first day of the federal fiscal year from the Bureau of the Census. The federal share is 66 percent and the state matching requirement is 34 percent. This program has MOE requirements, see funding agency for further details. Additional Information: IMLS's authorizing legislation requires a State maintenance of effort (MOE). Under a maintenance of effort provision, a State is required, as a condition of eligibility for Federal funding, to maintain its financial contribution to a program at not less than the amount of its contribution for some prior time period. A maintenance of effort formula ensures that Federal assistance results in an increased level of library-related activity and that a State does not simply replace State dollars with Federal dollars over time. IMLS's legislation provides that the amount otherwise payable to a State for a fiscal year pursuant to an allotment shall be reduced if the level of State expenditures for the previous fiscal year is less than the average of the total of such expenditures for the three fiscal years preceding that previous fiscal year..

Length and Time Phasing of Assistance:

At the beginning of a five-year cycle, all State Library Administrative Agencies submit a Five-Year Plan that outlines state goals aligning with the Grants to States priorities of the Library Services and Technology Act. Once IMLS approves the Five-Year Plan, states can receive annual allotments for the five-year period covered by the Plan, currently 2018-2022. Method of awarding/releasing assistance: other

Reports:

Each state library agency must independently evaluate and report to IMLS regarding the activities assisted during this program. See <https://www.imls.gov/sites/default/files/imlsstateprogramreportingrequirements.pdf> for details on Program Report requirements. IMLS staff monitor state library administrative agencies' administration of the formula grants and conducts site visits to each state library administrative agency at least once during the five-year plan period. Interim and final financial reports are due according to the reporting schedule provided as part of the official award notification. Reports must be submitted online using the State Program Report system. See <https://www.imls.gov/sites/default/files/imlsstateprogramreportingrequirements.pdf> for details on Performance Report requirements. Reports are due according to the reporting schedule provided as part of the official award notification. Reports must be submitted online using the State Program Report system.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Financial records, supporting documents, statistical records, and all other project records must be retained for three years following the submission of the final financial report.

Account Identification:

59-0300-0-1-503.

Obligations:

Formula Grants FY 20 \$166,803,000.00; FY 21 est \$168,803,000.00; FY 22 est \$168,803,000.00;

Range and Average of Financial Assistance:

FY2020 awards ranged from \$68,255 to \$15,837,311. Average = \$2,827,169.

FY2021 awards ranged from \$68,175 to \$15,723,840. Average = \$2,861,068.

TAFS Codes:

53-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: IMLS made 59 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200. 20 USC Chapter 72 Museum and Library Services Act of 2018, 9101-9176; in particular 9121 (Purpose), 9141 (Grants to States). More information is available online at <https://www.imls.gov/grants/grant-programs/grants-states> or upon request from IMLS, 955 L'Enfant Plaza North, SW, Suite 4000, Washington DC 20024-2135. See the IMLS website at www.imls.gov for the most current program information.

Regional or Local Office:

None.

Headquarters Office:

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955 L'Enfant Plaza North, SWSuite 4000,

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Sandra Narva,

955 L'Enfant Plaza North, SWSuite 4000,

Washington DC 20024

Email: snarva@imls.gov

Phone: 202-653-4634

Fax: 2026803788

Website Address:

<https://www.imls.gov/grants/grant-programs/grants-states>

RELATED PROGRAMS:

45.312 National Leadership Grants; 45.301 Museums for America; 45.311 Native American and Native Hawaiian Library Services; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.313 Laura Bush 21st Century Librarian Program; 45.309 Museum Grants for African American History and Culture;

EXAMPLES OF FUNDED PROJECTS:

2021 Visit [imls-spr.imls.gov/Public/Projects](https://www.imls.gov/Public/Projects) to find projects funded through Grants to State Library Administrative Agencies by state, fiscal year, population served, partners, library type, and other criteria. Visit <https://www.imls.gov/grants/grants-state/state-profiles> to find highlights of funded projects by state.

CRITERIA FOR SELECTING PROPOSALS:

The State Plan should include a mission statement; a needs assessment; a listing of goals; descriptions of supporting projects including details of what will be done for whom, using what procedures, the benefit or outcome expected, and how IMLS funds will be used; a timeline for program activities; a crosswalk that maps each goal to specific focal areas and corresponding intents; a description of coordination efforts; an evaluation plan describing the methodology that will be used to evaluate project success; a description of procedures that will be used to involve stakeholders in developing, implementing, and evaluating the State Plan; a description of the channels that will be used to communicate about the State Plan; a description of the procedures for tracking performance; and a series of required certifications and assurances.

45.311 Native American and Native Hawaiian Library Services

NANH-Libraries,

FEDERAL AGENCY:

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

AUTHORIZATION:

20 USC Chapter 72 Museum and Library Services Act of 2018 USC Code 9101-9176, in particular, 9161 (Services for Native Americans). Public Law 115-410

OBJECTIVES:

The Native American and Native Hawaiian Library Services programs (NANH-L) are designed to assist Native American tribes and Native Hawaiians in improving core library services for their communities. NANH-L Basic Grants have two goals with two associated objectives each. GOAL 1: Improve services for learning and accessing information in a variety of formats to support needs for education, workforce development, economic and business development, health information, critical thinking skills, digital literacy skills, and financial literacy and other types of literacy skills. Obj. 1.1: Support the acquisition of library-related materials, supplies, equipment, furnishings, services, and fees for participation in networks and consortia that provide the library with direct services. Obj. 1.2: Support the engagement of consultants and/or third-party service providers with expertise in providing library and technology assessments, tutoring, skill-building, and other relevant fields. GOAL 2: Enhance the skills of the current library workforce and leadership through training, continuing education, and opportunities for professional development. Obj. 2.1: Support the coverage of expenses related to courses, workshops, and other forms of training for library staff. Obj. 2.2: Support the coverage of expenses related to staff participation at professional conferences. NANH-L Enhancement Grants and Native Hawaiian Library Services Grants have three goals each with two or three associated objectives per goal. GOAL 1: Improve digital services to support needs for education, workforce development, economic and business development, health information, critical thinking skills, and digital literacy skills. Obj. 1.1: Support the establishment and refinement of digital infrastructure, platforms, and technology. Obj. 1.2: Support preservation and access to information and resources through digitization. GOAL 2: Improve educational programs related to specific topics and content areas of interest to library patrons and community-based users. Obj. 2.1: Support the identification of the needs and interests of learners. Obj. 2.2: Support the development and implementation of classes, events, teaching tools, resources, and other educational services. Obj. 2.3: Support the evaluative assessment of library-based approaches to teaching and facilitation. GOAL 3: Enhance the preservation and revitalization of Native American / Native Hawaiian cultures and languages. Obj. 3.1: Support the development of efficient strategic partnerships within, across, and outside Native American / Native Hawaiian communities. Obj. 3.2: Support the preservation of content of unique and specific value to Native American / Native Hawaiian communities. Obj. 3.3: Support the sharing of content within and/or beyond Native American / Native Hawaiian communities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for the purposes outlined in the Notice of Funding Opportunity, and in accordance with the Institute of Museum and Library Services General Terms and Conditions. Examples of allowable costs for Native American Library Services Basic Grants include salaries, wages, and fringe benefits; travel expenses; training, workshop, and/or conference registration fees; supplies, materials, software, and equipment related directly to library services; adaptive/assistive technologies and other resources to improve accessibility for persons with disabilities; contract services; after-school tutoring services; internet access; fees for participation in networks and consortia that provide the library with direct services. Examples of allowable costs for Native American Library Services Enhancement Grants and Native Hawaiian Library Services Grants include personnel salaries, wages, and fringe benefits; travel expenses for key project staff and consultants; materials, supplies, software, and equipment related directly to project activities; adaptive and/or assistive technologies and other resources and services to improve accessibility for persons with disabilities; equipment to improve collections storage and exhibit environments; third-party costs; publication design and printing; staff and volunteer training; paid

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internships/fellowships; and indirect or overhead costs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); An applicant to Native American Library Services Basic or Enhancement grant program must be an Indian tribe, meaning any tribe, band, nation, or other organized group or community, including any Alaska native village, regional corporation, or village, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. An applicant to Native Hawaiian Library Services must be a nonprofit organization that primarily serves and represents Native Hawaiians. The term "Native Hawaiian" refers to an individual who is a citizen of the United States and a descendant of the aboriginal people who, before 1778, occupied and exercised sovereignty in the area that now comprises the State of Hawaii.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 1. Ensure that required registrations are active and user names and passwords are in place. 2. Read the Notice of Funding Opportunity carefully to get all the information required for applying. 3. Compose the complete application, using resources available through www.imls.gov (e.g. on-demand webinars, instructions, Sample Applications, Reviewer Resources). 4. Contact an IMLS staff member to ask any questions about the application and review process. 5. Submit the application via Grants.gov by the deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Native American Library Services Basic Grants are non-competitive awards available to eligible applicants to support existing library operations and to maintain core library services. IMLS makes awards to eligible applicants that submit complete applications, including attachments, through Grants.gov, on or before the deadline. Native American Library Services Enhancement Grants and Native Hawaiian Library Services Grants use a peer review process. Peer reviewers evaluate all eligible and complete applications according to the review criteria listed in the NOFO. Reviewers are professionals in the field with relevant knowledge and expertise in the types of project activities identified in the applications. Peer reviewers must comply with IMLS's Federal ethics and conflicts of interest requirements. The IMLS Director makes final funding decisions consistent with the purposes of the agency's mission and programs.

Deadlines:

December 01, 2021 - March 01, 2022. Native American Library Services Basic Grants: Complete applications must be submitted through Grants.gov by 11:59 pm U.S. Eastern Time on or before March 1, 2022. January 01, 2022 - April 01, 2022. Native American Library Services Enhancement Grants and Native Hawaiian Library Services Grants: Complete applications must be submitted through Grants.gov by 11:59 pm U.S. Eastern Time on or before April 1, 2022.

Range of Approval/Disapproval Time:

From 90 to 120 days. Native American Library Services Basic Grants: IMLS will notify both successful and unsuccessful applicants of funding decisions by email in July 2022. Native American Library Services Enhancement Grants and Native Hawaiian Library Services Grants: IMLS will not release information about the status of an application until the applications have been reviewed and all deliberations are concluded. IMLS expects to notify both successful and unsuccessful applicants of the final decisions by email in August 2022.

Appeals:

Not Applicable. Native American Library Services Basic Grants: IMLS invites

unsuccessful applicants to consult with IMLS staff about their applications. Native American Library Services Enhancement Grants and Native Hawaiian Library Services Grants: IMLS provides copies of reviewer comments and scores to all unsuccessful applicants and invites them to consult with specific IMLS staff when determining whether to revise the proposal and submit it again to IMLS or another funding agency.

Renewals:

Other. IMLS may extend particular grants at the discretion of the Director.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Native American Library Services Basic Grants: Projects must begin on August 1, 2022, and project activities may be carried out for one year. Native American Library Services Enhancement Grants: Projects must begin on September 1, 2022, and project activities may be carried out for one to two years. Native Hawaiian Library Services Grants: Projects must begin on September 1, 2022, and project activities may be carried out for one to two years. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit a financial report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports online using the IMLS electronic grants management system. Grantees are required to submit a performance report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports online using the IMLS electronic grants management system. In support of the agency's commitment to open government, interim and final performance reports may be made accessible on the IMLS website to engage the public in communities of practice and to inform application development and grant-making strategies.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other project records must be retained for three years following the submission of the final financial report.

Account Identification:

59-0300-0-1-503.

Obligations:

Project Grants FY 20 \$5,263,000.00; FY 21 est \$5,263,000.00; and FY 22 est \$5,263,000.00;

Range and Average of Financial Assistance:

Native American Library Services Basic Grants: FY2020 awards ranged from \$6,000 to \$90,000. Average = \$10,665. FY2021 awards ranged from \$6,000 to \$90,000. Average = \$10,505. Native American Library Services Enhancement Grants FY2020 awards ranged from \$37,769 to \$150,000. Average = \$130,891. FY2021 awards ranged from \$89,087 to \$150,000. Average = \$137,710. Native Hawaiian Library Services Grants: FY2020 awards ranged from \$118,172 to \$150,000. Average = \$139,391. FY2021 awards were \$150,000 each.

TAFS Codes:

53-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: IMLS made 172 awards in Native American Library Services Basic Grants, 24 awards in Native American Library Services Enhancement Grants, and 3 awards in Native Hawaiian Library Services Grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200. 20 USC Chapter 72 Museum and Library Services Act of 2018, 9101-9176, in particular, 9161 (Services for Native Americans). Guidelines are available online at <https://www.imls.gov/grants/grant-programs> or upon request

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from IMLS, 955 L'Enfant Plaza North, SW, Suite 4000, Washington DC 20024-2135. See the IMLS website at www.imls.gov for the most current program information.

Regional or Local Office:

None.

Headquarters Office:

Connie Bodner,
955 L'Enfant Plaza North, SWSuite 4000,
Washington DC 20024
Email: cbodner@imls.gov
Phone: 202-653-4636
Fax: Sandra Narva,
955 L'Enfant Plaza North, SWSuite 4000,
Washington DC 20024
Email: snarva@imls.gov
Phone: 202-653-4634
Fax:

Website Address:

<https://www.imls.gov/grants/grant-programs>

RELATED PROGRAMS:

45.312 National Leadership Grants; 45.301 Museums for America; 45.310 Grants to States; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.313 Laura Bush 21st Century Librarian Program; 45.309 Museum Grants for African American History and Culture;

EXAMPLES OF FUNDED PROJECTS:

2021 Visit <https://www.imls.gov/grants/awarded-grants> to find FY2021 recipients of Native American and Native Hawaiian Library Services grants by city, state, program, and/or keyword.

CRITERIA FOR SELECTING PROPOSALS:

Native American Library Services Basic Grants are non-competitive awards available to eligible applicants. Native American Library Services Enhancement Grants and Native Hawaiian Library Services Grants are competitive grant programs. Successful proposals in these grant programs are structured to result in improved digital services, improved educational programs related to specific topics and content areas of interest to library patrons and community-based users; and enhanced preservation and revitalization of Native American and Native Hawaiian cultures and languages.

45.312 National Leadership Grants

NLG,

FEDERAL AGENCY:

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

AUTHORIZATION:

20 USC Chapter 72 Museum and Library Services Act of 2018 USC Code 9101-9176, in particular 9162 (National leadership grants). Public Law 115-410

OBJECTIVES:

National Leadership Grants (NLG) support projects that address critical needs of the museum and library fields and that have the potential to advance practice in these professions so that museums and libraries can strengthen services for the American public. NLG-Museums has three program goals with three associated objectives each. GOAL 1: Advance the museum fields ability to empower people of all ages and backgrounds through experiential and cross-disciplinary learning and discovery. Obj. 1.1: Support the development, implementation, and dissemination of model programs that facilitate adoption by museums across the field. Obj. 1.2: Support training and professional development programs, tools, or resources that build the knowledge, skills and abilities of museum staff and/or volunteers in multiple institutions. Obj. 1.3: Support research focusing on the role of museums in engaging learners of all types. GOAL 2: Advance the museum fields ability to maximize the use of museum resources to address community needs through partnerships and collaborations. Obj. 2.1: Support the development of new and innovative methods for addressing community challenges through

partnerships, services, processes, or practices for use across the museum field. Obj. 2.2: Support the development and implementation of training and professional development programs, tools, or resources that build the knowledge, skills and abilities of museum staff and/or volunteers to meet the needs of their communities. Obj. 2.3: Support research focusing on museums roles in engaging diverse audiences and fostering civic discourse. GOAL 3: Advance the museums fields ability to identify new solutions that address high priority and widespread collections care or conservation issues. Obj. 3.1: Support the development, implementation, and dissemination of new tools or services that facilitate access, management, preservation, sharing, and use of museum collections. Obj. 3.2: Support the development and implementation of training and professional development programs, tools, or resources that impact the ability of museum staff and/or volunteers in multiple institutions to improve the stewardship of collections. Obj. 3.3: Support research focusing on any broadly relevant aspect of the management, conservation, and preservation of collections. NLG-Libraries has five program goals with two or three associated objectives each. GOAL 1: Build the workforce and institutional capacity for managing the national information infrastructure and serving the information and education needs of the public. Obj. 1.1: Develop or enhance replicable library and archives programs, models, and tools that provide opportunities to support all types of learning. Obj. 1.2: Collaborate with formal and/or informal learning organizations to incorporate promising practices from allied domains into library and archives services. Obj. 1.3: Create and/or facilitate opportunities for continuous learning for families, groups, and individuals of diverse cultural and socioeconomic backgrounds and needs. GOAL 2: Build the capacity of libraries and archives to lead and contribute to efforts that improve community well-being and strengthen civic engagement. Obj. 2.1: Develop or enhance replicable library programming, models, and tools that engage communities and individuals of diverse cultural and socioeconomic backgrounds. Obj. 2.2: Develop or enhance collaborations between libraries and stakeholders, and leverage opportunities to address community needs. Obj. 2.3: Establish or refine approaches that equip libraries and archives to contribute to the well-being of communities. GOAL 3: Improve the ability of libraries and archives to provide broad access to and use of information and collections with emphasis on collaboration to avoid duplication and maximize reach. Obj. 3.1: Advance digital inclusion, broadly defined. Obj. 3.2: Support innovative approaches to digital collection management. Obj. 3.3: Support the design and development of online library and archives services that meet user expectations for operating in an online environment. GOAL 4: Strengthen the ability of libraries to provide services to affected communities in the event of an emergency or disaster. Obj. 4.1: Support the development of model national, regional, statewide, or local emergency and disaster management plans. Obj. 4.2: Support the implementation of such emergency and disaster management plans. GOAL 5: Strengthen the ability of libraries, archives, and museums to work collaboratively for the benefit of the communities they serve. Obj. 5.1: Support the development of replicable systems that leverage institutional expertise and experience to maximize public access to and use of knowledge resources. Obj. 5.2: Support joint projects designed to address a shared problem and structured to use the expertise, experience, and perspective of each partner institution in its solution.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds must be used for the purposes outlined in the Notice of Funding Opportunity, and in accordance with the Institute of Museum and Library Services General Terms and Conditions. Examples of allowable costs include personnel salaries, wages, and fringe benefits for project staff; travel expenses for key project staff and consultants; materials, supplies, software, and equipment related directly to project activities; adaptive and/or assistive technologies and other resources and services to improve accessibility for persons with disabilities; third-party costs; publication design and printing; program evaluation; staff and volunteer training; student tuition and fees; paid internships/fellowships; and indirect or overhead costs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); An applicant to NLG-Libraries must

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meet geographic and governance criteria and qualify as one of the following six types of organizations: 1. a library or a parent organization, such as a school district, a municipality, a State agency, or an academic institution, that is responsible for the administration of a library; 2. an academic or administrative unit, such as a graduate school of library and information science that is part of an institution of higher education through which it would make an application; 3. a digital library, if it makes library materials publicly available and provides library services under the supervision of at least one permanent professional staff librarian; 4. a library agency that is an official agency of a State or other unit of government and is charged by the law governing it with the extension and development of public library services within its jurisdiction; 5. a library consortium that is a local, statewide, regional, interstate, or international cooperative association of library entities that provides for the systematic and effective coordination of the resources of eligible libraries, as defined above, and information centers that work to improve the services delivered to the clientele of these libraries; or 6. a library association that exists on a permanent basis; serves libraries or library professionals on a national, regional, State, or local level; and engages in activities designed to advance the well-being of libraries and the library profession. An applicant to NLG-Museums must meet geographic and governance criteria and qualify as one of the following four types of organizations: 1. A museum that, using a professional staff, is organized on a permanent basis for essentially educational, cultural heritage, or aesthetic purposes; owns or uses tangible objects, either animate or inanimate; cares for these objects; and exhibits these objects to the general public on a regular basis through facilities that it owns or operates. 2. An organization or association that engages in activities designed to advance the wellbeing of museums and the museum profession; 3. An institution of higher education, including public and nonprofit universities; or 4. A public or private nonprofit agency that is responsible for the operation of a museum that meets these criteria.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 1. Ensure that required registrations are active and user names and passwords are in place. 2. Read the Notice of Funding Opportunity carefully to get all the information required for applying. 3. Compose the Preliminary Application (for NLG-Libraries) or the complete application (for NLG-Museums), using resources available through www.imls.gov (e.g. on-demand webinars, instructions, Sample Applications, Reviewer Resources). 4. Contact an IMLS staff member to ask any questions about the application and review process. 5. Submit the application via Grants.gov by the deadline. 6. If contacted to submit an Invited Full Proposal for NLG-Libraries, reread the Notice of Funding Opportunity paying special attention to the requirements for a full proposal. Compose the application, and submit it via Grants.gov by the deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

IMLS staff review applications for eligibility and completeness. IMLS uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. IMLS instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. The IMLS Director takes into account the input provided by the review process and makes final funding decisions consistent with the purposes of the agency's mission and programs.

Deadlines:

July 01, 2021 - September 24, 2021. NLG-Libraries (Phase I): The application process consists of two phases. For Phase I, all applicants must submit two-page Preliminary Proposals through Grants.gov by 11:59 pm U.S. Eastern Time on or before September 24, 2021. February 10, 2022 - March 25, 2022. NLG-Libraries

(Phase II): Selected applicants will be invited in early February to submit full proposals through Grants.gov by 11:59 pm U.S. Eastern Time on or before March 25, 2022. Only Invited Full Proposals will be considered for funding. August 16, 2021 - November 15, 2021. NLG-Museums: All applicants must submit full proposals through Grants.gov by 11:59 pm U.S. Eastern Time on or before November 15, 2021.

Range of Approval/Disapproval Time:

Other. NLG-Libraries: IMLS will extend invitations to selected applicants to submit full proposals in early February, 2022, and will notify both successful and unsuccessful applicants of final funding decisions by email by July 2022. NLG-Museums: IMLS will not release information about the status of an application until the applications have been reviewed and all deliberations are concluded, but will notify both successful and unsuccessful applicants of final funding decisions by email by August 2022.

Appeals:

Other. IMLS provides copies of reviewer comments and scores to all unsuccessful applicants and invites them to consult with specific IMLS staff when determining whether to revise the proposal and submit it again to IMLS or another funding agency.

Renewals:

Other. IMLS may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. NLG-Libraries: One-to-one cost share from non-federal sources is required for Project Grant requests of more than \$249,999, excluding Student Support costs. Cost share is allowed but not required for all other project types. NLG-Museums: One-to-one cost share from non-federal sources is required for Non-Research Grant requests. Cost share is allowed but not required for Research Grant requests and for Rapid Prototyping Grant requests. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

NLG-Libraries: Projects must begin on September 1, 2022. Projects may be carried out for one to two or one to three years, depending on project type. NLG-Museums: Projects must begin on September 1, 2022 and may be carried out for one to three years. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit a financial report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports online using the IMLS electronic grants management system. Grantees are required to submit a performance report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports online using the IMLS electronic grants management system. In support of the agency's commitment to open government, interim and final performance reports may be made accessible on the IMLS website to engage the public in communities of practice and to inform application development and grant-making strategies.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other project records must be retained for three years following the submission of the final financial report.

Account Identification:

59-0300-0-1-503.

Obligations:

Project Grants (Discretionary) FY 20 \$35,319,000.00; FY 21 est \$21,519,000.00; and FY 22 est \$21,519,000.00;

Range and Average of Financial Assistance:

NLG-Museums: FY2020 awards ranged from \$50,000 to \$776,466. Average =

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\$453,106. FY2021 awards ranged from \$49,998 to \$941,787. Average = \$425,847. NLG-Libraries: FY2020 awards ranged from \$79,527-982,175. Average = \$262,804. FY2021 awards ranged from \$86,209 to \$750,000. Average = \$319,944. Accelerating Promising Practices for Small Libraries: FY2020 awards ranged from \$36,885 to \$50,000. Average = \$47,949. No awards were made in FY2021.

TAFS Codes:

53-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: IMLS made 13 awards in NLG-Museums and 36 awards in NLG-Libraries.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200, 2 CFR Part 3187, 20 USC Chapter 72 Museum and Library Services Act of 2018, 9101-9176, in particular 9162 (National leadership grants) and 9173 (Museum services activities). Guidelines are available online at <https://www.imls.gov/grants/grant-programs> or upon request from IMLS, 955 L'Enfant Plaza North, SW, Suite 4000, Washington DC 20024-2135. See the IMLS website at www.imls.gov for the most current program information.

Regional or Local Office:

None.

Headquarters Office:

Connie Bodner,
955 L'Enfant Plaza North, SWSuite 4000,
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Washington DC 20024
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Phone: 202-653-4634
Fax:

Website Address:

<https://www.imls.gov/grants/grant-programs>

RELATED PROGRAMS:

45.301 Museums for America; 45.311 Native American and Native Hawaiian Library Services; 45.310 Grants to States; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.313 Laura Bush 21st Century Librarian Program; 45.309 Museum Grants for African American History and Culture;

EXAMPLES OF FUNDED PROJECTS:

2021 Visit <https://www.imls.gov/grants/awarded-grants> to find FY2021 recipients of National Leadership Grants by state, city, and/or keyword.

CRITERIA FOR SELECTING PROPOSALS:

Successful proposals in National Leadership Grants are structured to result in far-reaching impact beyond the institution and influencing practice across one or more disciplines within the library/archive or museum profession; reflect a thorough understanding of current practice and knowledge about the subject matter and an awareness of current strategic initiatives and agendas in the field; employ novel approaches or techniques to strengthen library or museum services; incorporate audience, stakeholders, and/or other partners in strategic collaborations; and have the potential to generate new tools, research findings, models, services, practices, and/or alliances that can be widely used, adapted, scaled, or replicated to extend the benefits of federal investment.

45.313 Laura Bush 21st Century Librarian Program

LB21,

FEDERAL AGENCY:

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

AUTHORIZATION:

Public Law 115-410, 20 USC CHAPTER 72 Museum and Library Services Act of 2018 USC Code 9101-9176; in particular, 9165.

OBJECTIVES:

The Laura Bush 21st Century Librarian (LB21) program is designed to support the development of a diverse workforce of librarians and archivists in order to meet the information needs of their communities. There are three program goals with three to five associated objectives each. GOAL 1: Recruit, train, develop, and retain a diverse workforce of library and archives professionals. Obj. 1.1: Develop or enhance programs specifically to encourage students of diverse and underrepresented backgrounds at the middle school, high school, and postsecondary levels to pursue careers in library and information science. Obj. 1.2: Collaborate with formal and/or informal learning organizations to incorporate promising practices from allied domains into library and archives services. Obj. 1.3: Develop training for library and archives workforces to support families, groups, and individuals of diverse cultural and socioeconomic backgrounds and needs. GOAL 2: Develop faculty, library, and archives leaders by increasing the institutional capacity of libraries, archives, and graduate programs related to library and information science. Obj. 2.1: Support the preparation and implementation of large-scale organizational change addressing diversity, equity, and inclusion. Obj. 2.2: Create initiatives, activities, and curricula that reduce equity gaps and address issues of diversity, equity, and inclusion in the recruitment, development, and retention of faculty, library, and archives leaders from diverse and underrepresented backgrounds. Obj. 2.3: Support the research of untenured tenure-track library and information science faculty, furthering the faculty members long-term research agenda, career trajectory, and professional development. GOAL 3: Enhance the training and professional development of the library and archival workforce to meet the needs of their communities. Obj. 3.1: Develop training to equip the library and archival workforce to engage in sustained community development. Obj. 3.2: Create and/or refine training programs that build library and archival workforce skills and expertise in contributing to the well-being of communities. Obj. 3.3: Create and/or refine training programs to build library and archival workforce skills and expertise in developing engaging lifelong learning opportunities, fostering attitudes of discovery, cultivating critical and creative thinking skills, and facilitating experiential and self-directed learning opportunities for all. Obj. 3.4: Support training of the library and archival workforce to advance digital inclusion for the benefit of community members. Obj. 3.5: Support training of the library and archival workforce in digital collection management.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds must be used for the purposes outlined in the Notice of Funding Opportunity, and in accordance with the Institute of Museum and Library Services General Terms and Conditions. Examples of allowable costs include personnel salaries, wages, and fringe benefits for project staff; travel expenses for key project staff and consultants; materials, supplies, software, and equipment related directly to project activities; adaptive and/or assistive technologies and other resources and services to improve accessibility for persons with disabilities; third-party costs; publication design and printing; program evaluation; staff and volunteer training; student tuition and fees; paid internships/fellowships; and indirect or overhead costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); An applicant must meet geographic and governance criteria and qualify as one of the following six types of organizations: 1.a library or a parent organization, such as a school district, a municipality, a State agency, or an academic institution, that is responsible for the administration of a library; 2.an academic or administrative unit, such as a graduate school of library and information science that is part of an institution of higher education through which it would make an application; 3.a digital library, if it makes library materials publicly available and provides library services under the supervision of at least one permanent professional staff librarian; 4.a library agency that is an official agency of a State or other unit of government and is charged by the law governing it with the extension and development of public library services

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within its jurisdiction 5.a library consortium that is a local, statewide, regional, interstate, or international cooperative association of library entities that provides for the systematic and effective coordination of the resources of eligible libraries, as defined above, and information centers that work to improve the services delivered to the clientele of these libraries; or 6.a library association that exists on a permanent basis; serves libraries or library professionals on a national, regional, State, or local level; and engages in activities designed to advance the well-being of libraries and the library profession.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 1. Ensure that required registrations are active and user names and passwords are in place. 2. Read the Notice of Funding Opportunity carefully to get all the information required for applying. 3. Compose the Preliminary Proposal, using resources available through www.imls.gov (e.g. on-demand webinars, instructions, Sample Applications, Reviewer Resources). 4. Contact an IMLS staff member to ask any questions about the application and review process. 5. Submit the application via Grants.gov by the deadline. 6. If contacted to submit an Invited Full Proposal, reread the Notice of Funding Opportunity paying special attention to the requirements for a full proposal. 7. Compose the Invited Full Proposal, using resources available through www.imls.gov. 8. Submit the application via Grants.gov by the deadline. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

IMLS staff review applications for eligibility and completeness. IMLS uses a peer review process to evaluate all eligible and complete applications. Peer reviewers are experts in the field with relevant knowledge and expertise in the types of project activities identified in the applications. IMLS instructs reviewers to evaluate applications according to the review criteria outlined in the Notice of Funding Opportunity. Peer reviewers must comply with Federal ethics and conflicts of interest requirements. The IMLS Director takes into account the input provided by the review process and makes final funding decisions consistent with the purposes of the agency's mission and programs.

Deadlines:

July 01, 2021 - September 24, 2021. Laura Bush 21st Century Librarian Program (Phase I): The application process consists of two phases. For Phase I, all applicants must submit two-page Preliminary Proposals through Grants.gov by 11:59 pm U.S. Eastern Time on or before September 24, 2021. February 10, 2022 - March 25, 2022. Laura Bush 21st Century Librarian Program (Phase II): Selected applicants will be invited in early February to submit full proposals through Grants.gov by 11:59 pm U.S. Eastern Time on or before March 25, 2022. Only Invited Full Proposals will be considered for funding.

Range of Approval/Disapproval Time:

>180 Days. IMLS will not release information about the status of an application until the applications have been reviewed and all deliberations are concluded. IMLS expects to notify both successful and unsuccessful applicants of the final decisions by email by July 2022.

Appeals:

Other. IMLS will provide copies of reviewer comments and scores to all unsuccessful applicants and invite them to consult with specific IMLS staff when determining whether to revise the proposal and submit it again to IMLS or another funding agency.

Renewals:

Other. IMLS may extend particular grants at the discretion of the director.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory.

One-to-one cost share from non-federal sources is required for Project Grant requests of more than \$249,999, excluding Student Support costs. Cost share is allowed but not required for all other project types. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects must begin on August 1, 2022. Projects may be carried out for one to two or one to three years, depending on project type. Method of awarding/releasing assistance: other

Reports:

The Institute of Museum and Library Services requires annual interim and final financial and performance reports. Grantees are required to submit a financial report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports using the IMLS electronic grants management system. Grantees are required to submit a performance report annually. Reports are due according to the reporting schedule provided as part of the official award notification. Grantees must submit reports using the IMLS electronic grants management system. In support of the agency's commitment to open government, interim and final performance reports may be made accessible on the IMLS website to engage the public in communities of practice and to inform application development and grant-making strategies.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other project records must be retained for three years following the submission of the final financial report.

Account Identification:

59-0300-0-1-503.

Obligations:

Project Grants (Discretionary) FY 20 \$10,000,000.00; FY 21 est \$10,000,000.00; and FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

FY2020: Awards ranged from \$80,826 to \$887,154. Average = \$258,425. FY2021: Awards ranged from \$62,813 to \$798,489. Average = \$258,108.

TAFS Codes:

53-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: IMLS made 37 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200. 20 USC Chapter 72 Museum and Library Services Act of 2018, 9101-9176; in particular, 9165. Guidelines are available online at <https://www.imls.gov/grants/grant-programs> or upon request from IMLS, 955 L'Enfant Plaza North, SW, Suite 4000, Washington DC 20024-2135. See the IMLS website at www.imls.gov for the most current program information.

Regional or Local Office:

None.

Headquarters Office:

Senior Program Officer Connie Bodner,
955 L'Enfant Plaza North, SW Suite 4000,
Washington DC 20024
Email: cbodner@imls.gov
Phone: 202-653-4636
Sandra Narva,
955 L'Enfant Plaza North, SW Suite 4000,
Washington DC 20024

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Email: snarva@imls.gov

Phone: 202-653-4634

Fax:

Website Address:

<https://www.imls.gov/grants/available/laura-bush-21st-century-librarian-program>

RELATED PROGRAMS:

45.312 National Leadership Grants; 45.301 Museums for America; 45.311 Native American and Native Hawaiian Library Services; 45.310 Grants to States; 45.308 Native American/Native Hawaiian Museum Services Program ; 45.309 Museum Grants for African American History and Culture;

EXAMPLES OF FUNDED PROJECTS:

2021 Visit <https://www.imls.gov/grants/awarded-grants> to find FY2021 projects funded through the Laura Bush 21st Century Librarian Program awards by city, state, and/or keyword.

CRITERIA FOR SELECTING PROPOSALS:

Successful proposals in this grant program are structured to result in broad impact in training and educating library and archives professionals; address a critical issue or opportunity for library and archives professionals and build on current strategic initiatives, knowledge, and agendas in these fields; involve key stakeholders and partners in strategic collaborations; employ a team possessing demonstrated expertise; and address issues of diversity, equity, and inclusion.

PEACE CORPS

45.400 Peace Corps Global Health and PEPFAR Initiative Program

FEDERAL AGENCY:

PEACE CORPS

AUTHORIZATION:

Section 10(a) of the Peace Corps Act (22 U.S.C. 2509),

OBJECTIVES:

The primary purpose of this program is to support the U.S. Presidents Emergency Plan for AIDS Relief (PEPFAR)s goals and programmatic strategy. The Peace Corps intends to award multiple cooperative agreements and grants with PEPFAR funding for vital global health programming and training enhancements, including supplemental Volunteer training; funding of additional 2 year Volunteers, Peace Corps Response Volunteers, 3rd year extensions, and staff; and activities benefiting community members such as capacity building and community initiated activities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Cooperative Agreements), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance can be used by non-federal entities to plan, develop and implement programmatic activities that support PEPFARs goals through overseas volunteer service. In addition, assistance can be used to identify and implement appropriate evidence-based practices and programs related to global health and HIV. Furthermore, assistance funds can be used to develop staff capacity to implement global health and PEPFAR programs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; N/A

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Women; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Public nonprofit institution/organization; Other public institution/organization; N/A

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost

Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-21 also applies to this program.

Award Procedure:

Applications are reviewed by Peace Corps Office of Acquisitions and Contract Management with technical support from several program offices. Awards are made to the top applicants based on the evaluation factors outlined under the request for application (RFA), the needs of the Agency and the availability of funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Application deadlines are specified in each request for application (RFA).

Appeals:

Not Applicable.

Renewals:

Other. Cooperative agreements and grants may be awarded to non-federal entities for a period not to exceed three years. After the initial year has been completed, agreements and grants will be renewed each following year based on successful completion of performance measures.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching requirements are outlined in the request for application (RFA).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Federal assistance funds must be spent on a yearly basis. Method of awarding/releasing assistance: other

Reports:

Program reports are required as outlined under each request for application (RFA). Progress reports are required as outlined under each request for application (RFA). Expenditure reports are required as outlined under each request for application (RFA). Performance monitoring is required as outlined under each request for application (RFA).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Non-federal entities shall maintain adequate financial records and accounts to ensure that cooperative agreement and grant funds are used for authorized purposes.

Account Identification:

19-1031-0-1-000.

Obligations:

Cooperative Agreements FY 13 Not Available; FY 14 Not Available; and FY 15 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

11-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

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Regional or Local Office:**Headquarters Office:**

Contract Specialist Joseph J. Alustiza,
1111 20th Street NW Room 4432,
Washington DC 20526
Email: jalustizaderocco@peacecorps.gov
Phone: 2026921235

Website Address:

<http://www.peacecorps.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

NATIONAL SCIENCE FOUNDATION

47.041 Engineering

(ENG).

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.,

OBJECTIVES:

NSF's Directorate for Engineering (ENG) seeks to improve the quality of life and the economic strength of the Nation by fostering innovation, creativity, and excellence in engineering education and research. Specifically, ENG enables the Nation's long-term capacity to perform by: (1) Investing in the creation of new engineering knowledge and the development of human capital within disciplines and at their interfaces; (2) making critical investments to enable an intelligent, agile and adaptable physical infrastructure for engineering education and research; (3) improving the quality and effectiveness of engineering education and research through the integration of and systemic reform of these processes; and (4) enabling knowledge transfer connections among diverse constituencies and communities. Areas of research include: tissue engineering; metabolic pathway engineering; bioinformatics; protein drug processing, fluid flow; combustion; heat transfer; fuel cells; sensors; integrated modeling of the behavior of materials and structures; civil infrastructure; structures and mechanical systems; engineering in geologic materials; reducing risks of natural and technological hazards; enterprise-level integration technologies; innovative design strategies; manufacturing processes and materials; production systems; microelectronic, nanoelectronic, micromagnetic, photonic, and electromechanical devices and their integration into circuits and microsystems; design and analysis of systems and the convergence of control, communications and computation; Engineering Research Groups through the Emerging Frontiers in Research and Innovation (EFRI) program; Engineering Research Centers; Industry/University Cooperative Research Centers; Engineering Education; Human Resources Development; cross cutting activities and special studies and analyses. Support is also provided for undergraduate student research, graduate research fellowships, Broadening Participation in Engineering (BPE), research equipment and instrumentation, and Grant Opportunities for Academic Liaison with Industry (GOALI). ENG also provides support for NSF-wide programs including the Faculty Early Career Development (CAREER) program, NSF Research Traineeships (NRT), Inclusion across the Nation of Communities of Learners that have been Underrepresented by Diversity in Engineering and Science (NSF INCLUDES) program, and NSF's Big Ideas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies, such as salaries and wages, permanent equipment, expendable materials and supplies, travel, participant support; publication costs, and other direct and indirect

costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; Scientist/Researchers; Engineer/Architect; State; Local; Public nonprofit institution/organization; Federal, Profit organization, Private nonprofit institution/organization, Scientist/Researchers, State, Local, Public nonprofit institution/organization. Other public institution/organization Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination may be required. Environmental impact information may be required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via Fastlane, Research.gov, or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see special funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline

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dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal or application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide:http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for additional Information

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

Project Grants FY 20 \$1,026,130,000.00; FY 21 est \$1,035,380,000.00; FY 22 est \$916,790,000.00;

Range and Average of Financial Assistance:

Range Low\$186Range High \$26,091,505Average \$188,812

TAFS Codes:

49-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2020, 9,192 proposals were received, and 2,417 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg).

Regional or Local Office:

None.

Headquarters Office:

Staff Associate Darren Dutterer,
2415 Eisenhower Avenue,
Alexandria VA 22314
Email: dduttere@nsf.gov
Phone: 7032924494

Website Address:

<http://nsf.gov/dir/index.jsp?org=eng>

RELATED PROGRAMS:

47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE); 47.083 Office of Integrative Activities; 47.078 Polar Programs;

EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at https://www.nsf.gov/awards/award_visualization.jsp?org=ENG

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1. A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018-2022. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and

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geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.C.2.d(i), contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both criteria: 1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)? 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts? 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success? 4. How well qualified is the individual, team, or organization to conduct the proposed activities? 5. Are there adequate resources available to the PI (either at the home organization

or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

47.049 Mathematical and Physical Sciences

(MPS).

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.,

OBJECTIVES:

To promote the progress of the mathematical and physical sciences and thereby strengthen the Nation's scientific enterprise; to increase the store of scientific knowledge and enhance understanding of major problems confronting the Nation. Most of the research supported is basic in character. The program includes support of research project grants in the following disciplines: astronomical sciences, chemistry, materials research, mathematical sciences, physics, as well as support for symposia and conferences. Basic research in multidisciplinary areas related to these disciplines is especially encouraged. Support is also provided for state-of-the-art user facilities in astronomy, physics, and many areas of materials science; science and technology centers; institutes; undergraduate student research; faculty enhancement; curriculum development; instrumentation; laboratory improvement; and for research opportunities for women, minority, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies, such as salaries and wages, permanent equipment, expendable materials and supplies, travel, participant support; publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements:
https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Other

ASSISTANCE LISTINGS DESCRIPTIONS

public institution/organization; Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination may be required. Environmental impact information may be required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via Fastlane, Research.gov, or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal or application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award duration of up to 5 years. Method of awarding/releasing assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for more information.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

Project Grants FY 20 \$1,532,080.00; FY 21 est \$1,582,540.00; FY 22 est \$1,690,740.00;

Range and Average of Financial Assistance:

Range Low\$3,000Range High \$91,850.618Average \$206,949

TAFS Codes:

49-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2021, approximately 8,617 proposals will be received, and about 2,557 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg).

Regional or Local Office:

None.

Headquarters Office:

Amanda May,
2415 Eisenhower Avenue,
Alexandria VA 22314
Email: amay@nsf.gov
Phone: 7032928292

Website Address:

<http://nsf.gov/dir/index.jsp?org=mps>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE); 47.083 Office of Integrative Activities; 47.078 Polar Programs;

EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at:

ASSISTANCE LISTINGS DESCRIPTIONS

https://www.nsf.gov/awards/award_visualization.jsp?org=MPS

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1. A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018 2022. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be

meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent. 2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.C.2.d(i). contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both criteria: 1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)? 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts? 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success? 4. How well qualified is the individual, team, or organization to conduct the proposed activities? 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

47.050 Geosciences

(GEO).

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.,

OBJECTIVES:

To strengthen and enhance the national scientific enterprise through the expansion of fundamental knowledge and increased understanding of the integrated Earth system through the support of basic research in the atmospheric, earth, and ocean sciences. Objectives include the discovery of new knowledge of the atmosphere

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from the sun to the Earth's surface over the entire spectrum of physical and chemical phenomena; a better understanding of the physical, chemical, and biological character of the Earth and the processes that govern its evolution; increased insight into the world's oceans, their composition, structure, behavior, and tectonics. Support is also provided for undergraduate student research, graduate and postdoctoral fellowships, facility enhancement, instrumentation, and laboratory equipment; and for research opportunities for women, minority, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment and supplies, computer services, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Other public institution/organization; Not applicable

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination may be required. Environmental impact information may be required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via Fastlane, Research.gov, or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific

review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal or application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award duration up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for additional information

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

49-0100-0-1-251, 49-0551-0-1-251.

Obligations:

Project Grants FY 20 \$991,410,000.00; FY 21 est \$1,004,910,000.00; FY 22 est \$1,194,920,000.00;

Range and Average of Financial Assistance:

Range Low\$6,846Range High \$22,061,407Average \$213,571

TAFS Codes:

49-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2021, approximately 3,310 proposals will be received, and about 1,360 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (<http://www.nsf.gov/publications/pubsumm.jsp?odskey=pappg>).

Regional or Local Office:

None.

Headquarters Office:

Staff Associate Melissa Lane,

2415 Eisenhower Avenue,

Alexandria VA 22314

Email: mlane@nsf.gov

Phone: 703-292-5079

Fax:

Website Address:

<http://nsf.gov/dir/index.jsp?org=geo>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE); 47.083 Office of Integrative Activities; 47.078 Polar Programs;

EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at https://www.nsf.gov/awards/award_visualization.jsp?org=GEO

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1. A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018-2022. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and

activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent. 2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter I.I.C.2.d(i). contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter I.I.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both

ASSISTANCE LISTINGS DESCRIPTIONS

criteria: 1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)? 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts? 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success? 4. How well qualified is the individual, team, or organization to conduct the proposed activities? 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

47.070 Computer and Information Science and Engineering

(CISE).

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.,

OBJECTIVES:

To support investigator-initiated research and education in all areas of computing, communications, and information science and engineering; advance the development and use of research cyberinfrastructure to enable and accelerate discovery and innovation across all science and engineering disciplines; and contribute to the education and training of future generations of researchers, practitioners, and users of computing, communications, and information science and engineering as well as research cyberinfrastructure.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used to pay costs of conducting research, and obtaining access to advanced computing and networking capabilities, salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs.

Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Beneficiary Eligibility:

Federal; Small business; Private nonprofit institution/organization; State; Public

nonprofit institution/organization; Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination may be required. Environmental impact information may be required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via Fastlane, Research.gov, or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide:

http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for additional information.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

Project Grants FY 20 \$1,012,290,000.00; FY 21 est \$1,022,220,000.00; FY 22 est \$1,116,060,000.00;

Range and Average of Financial Assistance:

Range Low \$1,355 Range High \$22,500,000 Average \$212,604

TAFS Codes:

49-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2020, approximately 7,600 proposals will be received, and about 1,700 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg).

Regional or Local Office:

None.

Headquarters Office:

Staff Associate Carmen Whitson,
2415 Eisenhower Avenue,
Alexandria VA 22314
Email: cwhitson@nsf.gov
Phone: 7032928900

Website Address:

<http://nsf.gov/dir/index.jsp?org=cise>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE); 47.083 Office of Integrative Activities; 47.078 Polar Programs;

EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at

https://www.nsf.gov/awards/award_visualization.jsp?org=CISE

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1.A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018-2022. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be

ASSISTANCE LISTINGS DESCRIPTIONS

meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.C.2.d(i), contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both criteria:

1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)?
2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts?
3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success?
4. How well qualified is the individual, team, or organization to conduct the proposed activities?
5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

47.074 Biological Sciences

(BIO).

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.,

OBJECTIVES:

To promote the progress of the biological sciences and thereby strengthen the Nation's scientific enterprise; to increase scientific knowledge and enhance understanding of major problems confronting the Nation. Most of the research supported is basic in character. The program includes support of research project

grants in the following disciplines: molecular and cellular biosciences; integrative organismal biology; environmental biology; and biological infrastructure. Support is also provided for the purchase of multi-user scientific equipment and instrument development, and for research workshops, symposia, and conferences. In addition, awards are made to support graduate student research across biological sciences, postdoctoral fellowships in research, and postdoctoral fellowships to scientists of groups under-represented in all areas of research supported by the biological sciences.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment, expendable materials and supplies, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); Small business; Private nonprofit institution/organization; Native American Organizations; State; Local; Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination may be required. Environmental impact information may be required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via Fastlane, Research.gov, or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification

ASSISTANCE LISTINGS DESCRIPTIONS

of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for additional information

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

Project Grants FY 20 \$810,460,000.00; FY 21 est \$819,350,000.00; FY 22 est \$948,510,000.00;

Range and Average of Financial Assistance:

Range Low\$3,669Range High \$17,500,000Average \$208,439

TAFS Codes:

49-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2020, 3,785 proposals were received, and 1,371 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg).

Regional or Local Office:

None.

Headquarters Office:

Knowledge Management Officer Erika Chiang,

2415 Eisenhower Avenue,

Alexandria VA 22314

Email: erchiang@nsf.gov

Phone: 7032927770

Website Address:

<http://nsf.gov/dir/index.jsp?org=bio>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar Programs; 47.079 International Science and Engineering (OISE); 47.083 Office of Integrative Activities;

EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at

https://www.nsf.gov/awards/award_visualization.jsp?org=BIO

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1. A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018-2022. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports

ASSISTANCE LISTINGS DESCRIPTIONS

development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent. 2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.C.2.d(i). contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both criteria: 1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)? 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts? 3. Is the plan for carrying

out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success? 4. How well qualified is the individual, team, or organization to conduct the proposed activities? 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

47.075 Social, Behavioral, and Economic Sciences

(SBE).

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C 1861 et seq.,

OBJECTIVES:

To contribute to the scientific strength and welfare of the Nation through the promotion of basic research and education in the social, behavioral and economic sciences and through monitoring and understanding the resources invested in science and engineering in the United States. Supported activities include research and education in the following disciplines: Anthropological and geographic sciences; cognitive, psychological, learning, developmental, and language sciences; economics, decision risk and management sciences; sociology; security and preparedness; accountable institutions and behavior; innovation and organizational change; measurement methods and statistics; law and science; and science and technology studies. Other SBE grants build infrastructure; develop methods; support center-scale investments; seek to understand the conduct of ethical and responsible research; and fund research workshops, symposia, and conferences. Educational activities include awards to improve the quality of doctoral dissertations in the behavioral and social sciences; grants for graduate traineeships and postdoctoral fellowships; and grants to promote K-12 education in the SBE sciences. Support is also provided for junior faculty and mid-career development opportunities, undergraduate student research, and for research opportunities for women, minorities, and the differentially abled. The Directorate also funds science studies; studies of scientists and engineers, R&D funding and expenditures, and educational attainment in science, mathematics and engineering.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Programs in social, behavioral and economic sciences and in science resources statistics provide funds that may be used for paying costs necessary to conduct research or studies, such as salaries and wages, equipment and supplies, travel, publication costs, and other direct and indirect costs. Primary responsibility for the supervision of grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities, as well as for submitting progress and final reports on research activities. Grants are made on a competitive basis. Funds must be used for purposes specified in the proposal.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions

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(includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Beneficiary Eligibility:

Federal; U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; State; Local; Not applicable

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination may be required. Environmental impact information may be required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via Fastlane, Research.gov, or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award duration of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for more information.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

Project Grants FY 20 \$280,350,000.00; FY 21 est \$282,670,000.00; FY 22 est \$319,660,000.00;

Range and Average of Financial Assistance:

Range Low\$3,697Range High \$11,038,484Average \$120,543

TAFS Codes:

49-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2020, 4,252 proposals were received, and 1,081 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg).

Regional or Local Office:

None.

Headquarters Office:

Senior Information Technology John Garneski,
2415 Eisenhower Avenue,
Alexandria VA 22314
Email: jgarnesk@nsf.gov
Phone: 703-292-8700

Website Address:

<http://nsf.gov/dir/index.jsp?org=sbe>

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RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.076 Education and Human Resources; 47.079 International Science and Engineering (OISE); 47.083 Office of Integrative Activities; 47.078 Polar Programs;

EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at https://www.nsf.gov/awards/award_visualization.jsp?org=SBE

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1. A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018 2022. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to

achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent. 2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.C.2.d(i). contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both criteria: 1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)? 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts? 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success? 4. How well qualified is the individual, team, or organization to conduct the proposed activities? 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

47.076 Education and Human Resources

(EHR),

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C 1861 et seq.,

OBJECTIVES:

To provide leadership and ensure the vitality of the Nation's science, technology, engineering and mathematics (STEM) education enterprise. The Education and Human Resources (EHR) component of the National Science Foundation sponsors programs that support the development of models and strategies for providing all students with access to high-quality STEM education, and research about those models and strategies. In addition EHR supports scholarships and fellowships for STEM students. The portfolio of EHR programs in STEM education comprises efforts spanning pre-K to 12, undergraduate, graduate and post-doctoral levels, as well as informal education and life-long learning. Long-term goals include: supporting infrastructure and institutional capacity building that will enable development of high-quality educational experiences for all students; ensuring that our educational pathways yield an adequately educated and diverse corps of individuals for the highly technical workplace, the professional STEM community, and society; developing a cadre of professionally educated and well-trained teachers and faculty; and providing the research necessary to inform and improve educational practice. Many EHR programs are conducted in partnership with NSF's other directorates. Programs include research and development support for improving STEM learning and learning environments, including learning technologies, both in formal and informal learning settings; STEM workforce development, including the development of teachers; and broadening participation. EHR programs are subject to continuous improvements based on program reviews, evaluation, dissemination of best practices, and educational research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for costs necessary to conduct research, educational activities or studies, including salaries and expenses, permanent equipment, expendable materials and supplies, travel, publication costs, and other direct and indirect costs. Some programs provide funds for undergraduate scholarships managed by the awardee institution. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the award. Graduate fellowships provide for stipends and allowances to be paid to the awardee through his/her institution; a fixed cost-of-education allowance is paid directly to the institution.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Beneficiary Eligibility:

Federal; U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Education Professional; Graduate Student; Engineer/Architect; State; Local; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2

CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination may be required. Environmental impact information may be required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via Fastlane, Research.gov, or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators and other appropriate persons who are specialists in the fields covered by the proposals. External reviewers, who are conversant with the fields covered by the applications, review and evaluate all graduate fellowship applications. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that reconsider its action in declining or returning any proposal or application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be

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submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide: http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for more information.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements apply to fellowships.

Account Identification:

49-0106-0-1-251.

Obligations:

Project Grants FY 20 \$941,980,000.00; FY 21 est \$968,000,000.00; FY 22 est \$1,287,270,000.00;

Range and Average of Financial Assistance:

Range Low \$10,000 Range High \$19,993,294 Average \$265,426

TAFS Codes:

49-0106.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2020, 4,336 proposals were received, and 995 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg).

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Stephanie Saltzman,
2415 Eisenhower Avenue,
Alexandria VA 22314
Email: ssaltzma@nsf.gov
Phone: 7032928600
Fax: 7032929179

Website Address:

<http://www.nsf.gov/dir/index.jsp?org=ehr>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.079 International Science and Engineering (OISE); 84.120 Minority Science and Engineering Improvement; 47.078 Polar Programs; 47.083 Integrative Activities;

EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at https://www.nsf.gov/awards/award_visualization.jsp?org=EHR

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF

Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1. A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in *Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018-2022*. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes."

NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI

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intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.C.2.d(i), contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both criteria: 1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)? 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts? 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success? 4. How well qualified is the individual, team, or organization to conduct the proposed activities? 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

47.078 Polar Programs

(OPP),

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.,

OBJECTIVES:

To strengthen and enhance the national scientific enterprise through the expansion of fundamental knowledge and increased understanding of the polar regions. To encourage and support basic research that is best conducted in or can only be conducted in the Arctic and Antarctic. Research areas include solid earth, glacial and sea ice, terrestrial ecosystems, the oceans, the atmosphere, geospace science, astronomy and astrophysics, social science, and beyond. Major objectives include understanding of the natural phenomena and processes in the Antarctic and Arctic regions and their role in global systems. To provide postdoctoral fellowships for the development and training of new investigators in polar regions research with goals to support innovative research in emerging areas, encourage interdisciplinary

research, foster activities that create broader impacts for science and society, and to increase the participation of underrepresented groups. Support is also provided for science and technology centers, undergraduate student research, facility enhancement, instrumentation, laboratory equipment; and for research opportunities for women, minority, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for paying costs necessary to conduct research or studies such as salaries and wages, permanent equipment and supplies, computer services, travel, publication costs, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the principal investigator is responsible for the scientific work. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Other public institution/organization; Profit organization; Other private institutions/organizations; State; Except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Beneficiary Eligibility:

Federal; Profit organization; Other private institution/organization; State; Local; Other public institution/organization; Not applicable

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination may be required. Environmental impact information may be required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via Fastlane, Research.gov, or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

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https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award duration of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide: https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for additional information.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0551-0-1-251. 49-0100-0-1-054. 49-0100-0-1-251.

Obligations:

Project Grants FY 20 \$480,590,000.00; FY 21 est \$483,350,000.00; FY 22 est \$506,290,000.00;

Range and Average of Financial Assistance:

Range Low\$12,434Range High \$8,240,965Average \$325,342

TAFS Codes:

49-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In Fiscal Year 2021, approximately 415 proposals will be received, and about 195 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg).

Regional or Local Office:

None.

Headquarters Office:

Web Manager Melissa Lane,
2415 Eisenhower Avenue,
Alexandria VA 22314
Email: mlane@nsf.gov
Phone: 7032928500
Fax: 7032929448

Website Address:

<http://nsf.gov/dir/index.jsp?org=opp>

RELATED PROGRAMS:

47.074 Biological Sciences; 47.041 Engineering Grants; 47.075 Social, Behavioral, and Economic Sciences; 47.070 Computer and Information Science and Engineering; 11.430 Undersea Research; 47.079 International Science and Engineering (OISE); 47.076 Education and Human Resources; 11.417 Sea Grant Support; 47.049 Mathematical and Physical Sciences; 47.080 Office of Cyberinfrastructure; 47.050 Geosciences;

EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at

https://www.nsf.gov/awards/award_visualization.jsp?org=OPP

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1. A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018 2022. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and

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enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects.

1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent.

2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.C.2.d(i), contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both criteria: 1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)? 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts? 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success? 4. How well qualified is the individual, team, or organization to conduct the proposed activities? 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally

relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

47.079 Office of International Science and Engineering

(OISE),

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.,

OBJECTIVES:

To enable the U.S. to maintain its leadership within the global scientific community by strengthening international partnerships to advance scientific discovery and contribute to the scientific strength and welfare of the Nation; to promote research excellence through international collaboration; to develop a diverse, globally engaged U.S. science and engineering workforce by providing U.S. students and faculty with international research and education experiences. The research supported is basic in character. OISE programs include support of international research and education projects that are innovative and catalytic. OISE programs complement and enhance the Foundation's research and education portfolio to overcome barriers involved in international collaboration. Grants are made in all the disciplinary fields supported by NSF. Support is provided for international collaborative research; research workshops and planning visits; activities that will develop the next generation of U.S. scientists and engineers such as graduate traineeships, postdoctoral fellowships, special opportunities for junior faculty for research support, and dissertation enhancements; and for U.S. Government support to key multilateral organizations. Support is provided for undergraduate student international research and education experiences, and for research opportunities for women, minorities, and disabled scientists and engineers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

International cooperative scientific activities provide funds for U.S. scientists and engineers to carry out studies abroad, to conduct research, to engage in joint research projects with foreign counterpart organizations, and to support international workshops focused on well-defined scientific opportunities in the United States and abroad; travel must be on U.S. flag carriers. In some programs, support is provided by paying costs necessary to conduct research, such as salaries, equipment, supplies, travel, publications, and other direct and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities. Grants are made on a competitive basis. For all programs, funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Federal: Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

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Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Not applicable.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-application coordination may be required. Environmental impact information may be required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via Fastlane, Research.gov, or Grants.gov of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not

applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Grantees are required to report the status of funds received from NSF on a quarterly basis through the submission of a Federal Financial Report (FFR). Information about reporting requirements is contained in the Award and Administration Guide:http://www.nsf.gov/publications/pub_summ.jsp?ods_key=aag.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for more information.

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

Project Grants FY 20 \$51,040,000.00; FY 21 est \$51,320,000.00; FY 22 est \$75,320,000.00;

Range and Average of Financial Assistance:

Range Low\$49,999Range High \$2,000,000Average \$164,879

TAFS Codes:

49-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2020, 428 proposals were received, and 74 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg).

Regional or Local Office:

None.

Headquarters Office:

Administrative Manager Anne Emig,
2415 Eisenhower Avenue,
Alexandria VA 22314
Email: aemig@nsf.gov
Phone: 703-292-7241

Website Address:

<http://nsf.gov/dir/index.jsp?org=oise>

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.074 Biological Sciences; 47.075 Social, Behavioral, and Economic Sciences; 47.076 Education and Human Resources; 47.078 Polar Programs; 47.083 Integrative Activities;

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EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at
https://www.nsf.gov/awards/award_visualization.jsp?org=OISE

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1. A comprehensive description of the Foundation's merit review process is available on the NSF website at: https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018 2022. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on

appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent. 2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter II.C.2.d(i). contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter II.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both criteria: 1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)? 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts? 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success? 4. How well qualified is the individual, team, or organization to conduct the proposed activities? 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

47.083 Integrative Activities

(OIA),

FEDERAL AGENCY:

NATIONAL SCIENCE FOUNDATION

AUTHORIZATION:

National Science Foundation Act of 1950, as amended, 42 U.S.C. 1861 et seq.

OBJECTIVES:

To enhance the competitiveness of the Nations research through activities that

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build capacity for science and engineering and broaden participation in research and education. The program is intended to incubate new ideas and communities, support innovation in research and NSF's own processes, and to promote integration across research and education domains. Support is provided to develop human and infrastructure capacity critical to the U.S. science and engineering enterprise; to catalyze new cross-cutting programs; to expand NSF's capability to gather and use evidence about the progress and impacts of its programs; for prestigious honorary award programs and professional internships for aspiring scientists and engineers; and for policy and programmatic analysis for the NSF Director, Deputy Director and Chief Operating Officer.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Primary responsibility for general supervision of all grant activities rests with the grantee institution; the project director or principal investigator is responsible for the execution of the research activities. Grants are made on a competitive basis. For all programs, funds may not be used for purposes other than those specified in the proposal. EPSCoR Funds may be used to pay the costs of conducting research, product development, resources, tools and services, as salaries and wages, equipment and supplies, travel, publication costs, other direct costs, and indirect costs. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the proposal.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; For non-EPSCoR grants, except where a program solicitation establishes more restrictive eligibility criteria, organizations in the following categories may submit proposals: Institutions of Higher Education; Non-profit, non-academic organizations; For-profit organizations and State and local governments. See the NSF Proposal & Award Policies & Procedures Guide (PAPPG), Chapter I.E., for a full description of eligibility requirements:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg EPSCoR eligibility is based on two primary considerations: (1) a jurisdiction's demonstrated commitment to develop its research bases and to improve the quality of science, technology, engineering, and mathematics (STEM) research conducted at its universities and colleges, and (2) a jurisdiction's most recent three-year history of research funds awarded by NSF relative to the Foundations total research budget for that same period. Regarding the second consideration, a jurisdiction is eligible to participate in EPSCoR programs if its level of research support is equal to or less than 0.75 percent of the total NSF research budget for that same period.

Adjustments are made in the rare instances where a single large NSF-funded national or international facility skews the data.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Universities and colleges; Non-profit, non-academic organizations; for-profit organizations; State and local governments; and unaffiliated individuals. See the NSF Grant Proposal Guide, Chapter I.E. for a full description of eligibility requirements:www.nsf.gov/publications/pub_summ.jsp?ods_key=gpg.

Credentials/Documentation:

Proposals must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain proposal certifications. Applicants for non-EPSCoR fellowship support must show evidence of ability such as academic records, letters of recommendation, graduate record examination scores, and grade point average. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for

more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination may be required. Environmental impact information may be required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By electronic submission via [Fastlane](https://www.fastlane.gov), [Research.gov](https://www.research.gov), or [Grants.gov](https://www.grants.gov) of a formal proposal, and in some programs, a preliminary proposal, describing the planned project and the proposed amount of the grant. For guidelines, see specific funding opportunities and the NSF PAPPG:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

NSF staff members review and evaluate all proposals based on a set of criteria established by the National Science Board and, if applicable, solicitation-specific review criteria. In most cases reviews are undertaken with the advice of scientists, engineers, educators, and other appropriate persons who are specialists in the fields covered by the proposals. NSF makes awards on a competitive basis. Notification of an award is transmitted electronically to the submitting organization by a NSF Grants and Agreements Officer in the Division of Grants and Agreements.

Deadlines:

Many NSF programs accept proposals at any time. Other programs, however, establish due dates for submission of proposals. NSF uses target dates, deadline dates, and submission windows. Chapter I.F. of the NSF PAPPG contains additional information about these types of due dates:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Range of Approval/Disapproval Time:

Other. After scientific, technical and programmatic review and consideration of appropriate factors, the NSF Program Officer recommends to the cognizant Division Director whether the proposal should be declined or recommended for award. NSF is striving to be able to tell applicants whether their proposals have been declined or recommended for funding within six months. The time interval begins on the deadline or target date, or receipt date, whichever is later. The interval ends when the Division Director accepts the Program Officer's recommendation.

Appeals:

Other. The principal investigator may request, in writing, within 90 days of a declination or return, that NSF reconsider its action in declining or returning any proposal application.

Renewals:

Other. A renewal proposal competes with all other proposals and must be developed fully as though the proposer is applying for the first time. Renewal proposals must be submitted at least six months before additional funding is required or consistent with an established deadline, target date or submission window. Principal investigators are encouraged to discuss renewal proposals with the NSF Program Officer prior to submission.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award durations of up to 5 years. Method of awarding assistance: Standard or continuing grant, fellowship, or cooperative agreement. Method of awarding/releasing assistance: other

Reports:

Unless otherwise specified in the award, Annual Project Reports should be submitted electronically no later than 90 days prior to the end of the current budget period. No later than 120 days following the end date of the award, a Final Project Report and a Project Outcomes Report for the General Public must be submitted. NSF does not require grantees to submit FFRs for each award for purposes of final

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award accountability. NSF procedures have been designed to extract the final financial data from the entries in ACMS. Information about reporting requirements is contained in the NSF Proposal & Award Policies & Procedures Guide:

https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Contact NSF for additional Information

Records:

Records, supporting documents, statistical records, and other records pertinent to a grant must be retained by the grantee for a period of 3 years from submission of the Final Project Report. Special record keeping requirements may apply to fellowships.

Account Identification:

49-0100-0-1-251.

Obligations:

Project Grants FY 20 \$555,450,000.00; FY 21 est \$597,140,000.00; FY 22 est \$504,900,000.00;

Range and Average of Financial Assistance:

Range Low\$33,561Range High \$20,000,000 Average \$618,942

TAFS Codes:

49-0100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2020, 463 proposals were received, and 153 awards were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

NSF Website: www.nsf.gov; 48 CFR Chapter 25; 45 CFR Chapter VI; Proposal and Award Policies and Procedures Guide (http://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg).

Regional or Local Office:

None.

Headquarters Office:

Administrative Manager Thomas Jones,
2415 Eisenhower Avenue,
Alexandria VA 22314
Email: tjones@nsf.gov
Phone: 703-292-8785
Fax: 703-292-9040

Website Address:

No Data Available.

RELATED PROGRAMS:

47.074 Biological Sciences; 47.041 Engineering Grants; 47.075 Social, Behavioral, and Economic Sciences; 47.070 Computer and Information Science and Engineering; 47.079 International Science and Engineering (OISE); 47.076 Education and Human Resources; 47.049 Mathematical and Physical Sciences; 47.078 Polar Programs; 47.050 Geosciences;

EXAMPLES OF FUNDED PROJECTS:

2020 Listing of active awards available at
https://www.nsf.gov/awards/award_visualization.jsp?org=OIA

CRITERIA FOR SELECTING PROPOSALS:

Proposals received by NSF are assigned to the appropriate NSF program for acknowledgement and, if they meet NSF requirements, for review. All proposals are carefully reviewed by a scientist, engineer, or educator serving as an NSF Program Officer, and usually by three to ten other persons outside NSF either as ad hoc reviewers, panelists, or both, who are experts in the particular fields represented by the proposal. These reviewers are selected by Program Officers charged with oversight of the review process. Proposers are invited to suggest names of persons they believe are especially well qualified to review the proposal and/or persons they would prefer not review the proposal. These suggestions may serve as one source in the reviewer selection process at the Program Officer's

discretion. Submission of such names, however, is optional. Care is taken to ensure that reviewers have no conflicts of interest with the proposal. In addition, Program Officers may obtain comments from site visits before recommending final action on proposals. Senior NSF staff further review recommendations for awards. A flowchart that depicts the entire NSF proposal and award process (and associated timeline) is included in PAPPG Exhibit III-1. A comprehensive description of the Foundation's merit review process is available on the NSF website at:

https://www.nsf.gov/bfa/dias/policy/merit_review/. Proposers should also be aware of core strategies that are essential to the fulfillment of NSF's mission, as articulated in Building the Future: Investing in Discovery and Innovation - NSF Strategic Plan for Fiscal Years (FY) 2018-2022. These strategies are integrated in the program planning and implementation process, of which proposal review is one part. NSF's mission is particularly well-implemented through the integration of research and education and broadening participation in NSF programs, projects, and activities. One of the strategic objectives in support of NSF's mission is to foster integration of research and education through the programs, projects, and activities it supports at academic and research institutions. These institutions must recruit, train, and prepare a diverse STEM workforce to advance the frontiers of science and participate in the U.S. technology-based economy. NSF's contribution to the national innovation ecosystem is to provide cutting-edge research under the guidance of the Nation's most creative scientists and engineers. NSF also supports development of a strong science, technology, engineering, and mathematics (STEM) workforce by investing in building the knowledge that informs improvements in STEM teaching and learning. NSF's mission calls for the broadening of opportunities and expanding participation of groups, institutions, and geographic regions that are underrepresented in STEM disciplines, which is essential to the health and vitality of science and engineering. NSF is committed to this principle of diversity and deems it central to the programs, projects, and activities it considers and supports. The National Science Foundation strives to invest in a robust and diverse portfolio of projects that creates new knowledge and enables breakthroughs in understanding across all areas of science and engineering research and education. To identify which projects to support, NSF relies on a merit review process that incorporates consideration of both the technical aspects of a proposed project and its potential to contribute more broadly to advancing NSF's mission "to promote the progress of science; to advance the national health, prosperity, and welfare; to secure the national defense; and for other purposes." NSF makes every effort to conduct a fair, competitive, transparent merit review process for the selection of projects. 1. Merit Review Principles These principles are to be given due diligence by PIs and organizations when preparing proposals and managing projects, by reviewers when reading and evaluating proposals, and by NSF program staff when determining whether or not to recommend proposals for funding and while overseeing awards. Given that NSF is the primary federal agency charged with nurturing and supporting excellence in basic research and education, the following three principles apply: All NSF projects should be of the highest quality and have the potential to advance, if not transform, the frontiers of knowledge. NSF projects, in the aggregate, should contribute more broadly to achieving societal goals. These "Broader Impacts" may be accomplished through the research itself, through activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. The project activities may be based on previously established and/or innovative methods and approaches, but in either case must be well justified. Meaningful assessment and evaluation of NSF funded projects should be based on appropriate metrics, keeping in mind the likely correlation between the effect of broader impacts and the resources provided to implement projects. If the size of the activity is limited, evaluation of that activity in isolation is not likely to be meaningful. Thus, assessing the effectiveness of these activities may best be done at a higher, more aggregated, level than the individual project. With respect to the third principle, even if assessment of Broader Impacts outcomes for particular projects is done at an aggregated level, PIs are expected to be accountable for carrying out the activities described in the funded project. Thus, individual projects should include clearly stated goals, specific descriptions of the activities that the PI intends to do, and a plan in place to document the outputs of those activities. These three merit review principles provide the basis for the merit review criteria, as well as a context within which the users of the criteria can better understand their intent. 2. Merit Review Criteria All NSF proposals are evaluated through use of the two National Science Board approved merit review criteria. In some instances, however, NSF will employ additional criteria as required to highlight the specific objectives of certain programs and activities. The two merit review criteria are

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listed below. Both criteria are to be given full consideration during the review and decision-making processes; each criterion is necessary but neither, by itself, is sufficient. Therefore, proposers must fully address both criteria. (PAPPG Chapter I.I.C.2.d(i). contains additional information for use by proposers in development of the Project Description section of the proposal). Reviewers are strongly encouraged to review the criteria, including PAPPG Chapter I.I.C.2.d(i), prior to the review of a proposal. When evaluating NSF proposals, reviewers will be asked to consider what the proposers want to do, why they want to do it, how they plan to do it, how they will know if they succeed, and what benefits could accrue if the project is successful. These issues apply both to the technical aspects of the proposal and the way in which the project may make broader contributions. To that end, reviewers will be asked to evaluate all proposals against two criteria: Intellectual Merit: The Intellectual Merit criterion encompasses the potential to advance knowledge; and Broader Impacts: The Broader Impacts criterion encompasses the potential to benefit society and contribute to the achievement of specific, desired societal outcomes. The following elements should be considered in the review for both criteria: 1. What is the potential for the proposed activity to a. Advance knowledge and understanding within its own field or across different fields (Intellectual Merit); and b. Benefit society or advance desired societal outcomes (Broader Impacts)? 2. To what extent do the proposed activities suggest and explore creative, original, or potentially transformative concepts? 3. Is the plan for carrying out the proposed activities well-reasoned, well-organized, and based on a sound rationale? Does the plan incorporate a mechanism to assess success? 4. How well qualified is the individual, team, or organization to conduct the proposed activities? 5. Are there adequate resources available to the PI (either at the home organization or through collaborations) to carry out the proposed activities? Broader impacts may be accomplished through the research itself, through the activities that are directly related to specific research projects, or through activities that are supported by, but are complementary to, the project. NSF values the advancement of scientific knowledge and activities that contribute to achievement of societally relevant outcomes. Such outcomes include, but are not limited to: full participation of women, persons with disabilities, and underrepresented minorities in science, technology, engineering, and mathematics (STEM); improved STEM education and educator development at any level; increased public scientific literacy and public engagement with science and technology; improved well-being of individuals in society; development of a diverse, globally competitive STEM workforce; increased partnerships between academia, industry, and others; improved national security; increased economic competitiveness of the United States; and enhanced infrastructure for research and education.

RAILROAD RETIREMENT BOARD

57.001 Social Insurance for Railroad Workers

Railroad retirement and railroad unemployment-sickness insurance programs.,

FEDERAL AGENCY:

RAILROAD RETIREMENT BOARD

AUTHORIZATION:

Provides statutory authorization and basis for paying retirement benefits to retired railroad workers and certain family members., Title Railroad Retirement Act of 1974, , Statue 88-1305, Public Law 93-445, 45 USC Code 231. Provides authorization and basis for paying social security benefits for American workers and certain family members., Title Social Security Act of 1935, , Statue 49-620, Public Law 74-271, 42 USC Code 7. Provides statutory authorization and basis for paying unemployment and sickness benefits to railroad workers., Title Railroad Unemployment Insurance Act, , Statue 52-1094, Public Law 75-722, 45 USC Code 351. Provides statutory authorization and basis for paying additional unemployment and sickness benefits to railroad workers affected by the coronavirus pandemic., Title Coronavirus Aid, Relief, and Economic Security Act, Part Division A, Title II, Section 2112-2114, Public Law 116-136, 45 USC Code 352. Extends statutory authorization and basis for paying additional unemployment and sickness benefits related to the coronavirus pandemic and suspends sequestration of unemployment and sickness benefits until 30 days after a Presidential declaration of an end to the national emergency concerning the coronavirus pandemic., Title Continued Assistance to Rail Workers Act, Part Title II, Chapter I, Subchapter III, Section 231-235, Public Law 116-260, 45 USC Code

352. Further extends statutory authorization and basis for paying additional unemployment and sickness benefits related to the coronavirus pandemic., Title American Rescue Plan Act of 2021, Part Title II, Part 2, Subtitle J, Section 2901-2904, Public Law 117-2, 45 USC Code 352.

OBJECTIVES:

Provide income security for retired and disabled railroad workers, their family members, and survivors, and financial benefits for railroad workers who are unemployed or unable to work due to illness or injury. Also administer Medicare coverage for railroad workers and their family members.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use, Salaries and Expenses

USES AND USE RESTRICTIONS:

Under the provisions of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, benefits are provided for personal use of: (1) workers who retire because of age or disability, including supplemental annuities for career railroad employees; (2) eligible spouses and divorced spouses of retired employees; (3) surviving widows, widowers, divorced spouses, children, and dependent parents of deceased employees; (4) unemployed workers; (5) workers who are sick or injured. In addition the Railroad Retirement Board administers Medicare coverage for railroad retirement beneficiaries on the same basis as other eligible persons.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Under the Railroad Retirement Act, for employee, spouse and survivor benefits, the employee must have had 10 or more years of railroad service or, for annuities beginning January 2002 or later, 5 years of railroad service rendered after 1995. For survivors to be eligible for benefits, the employee must also have been insured at death. Under the Railroad Unemployment Insurance Act, an employee must have earned at least \$4,012.50 in calendar year 2019, \$4,137.50 in calendar year 2020, and \$4,275.00 in calendar year 2021 (counting no more than \$1,605 per month in 2019, \$1,655 per month in 2020, and \$1,710 per month in 2021).

Beneficiary Eligibility:

Individual/Family; Pension Recipient; Individuals, families, pension recipients.

Credentials/Documentation:

Proof of age must be provided for retirement benefits. For spouse and survivor benefits, proof of marriage and familial relationship is required. Medical evidence is necessary for disability and sickness benefits. Other proofs, such as military service, must also be submitted as required by law. In order to receive unemployment and sickness benefits, registration is required. Also, any change in address or eligibility (such as the death of a recipient, work status, excess earnings, marital/family status, medical condition or school attendance) should be promptly reported when it occurs. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application for retirement, disability, survivor, unemployment or sickness benefits should be made to any office of the Railroad Retirement Board. Individuals can call 1-877-772-5772 to locate or contact the servicing office. Applications and claims for unemployment benefits, and claims for sickness benefits, can also be made online at RRB.gov/Benefits/myRRB.

Award Procedure:

The Railroad Retirement Board makes awards under the Railroad Retirement Act and the Railroad Unemployment Insurance Act following review by claims examiners and related staff. After review is completed, the applicant will receive notice by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

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Other. Varies depending upon complexity of claim.

Appeals:

From 30 to 60 days. Initial appeals are made to the agency's Reconsideration Section, with subsequent appeals to the Bureau of Hearings and Appeals, the Board and the U.S. Court of Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Benefits are paid on a one-time or recurring basis as long as eligibility is maintained. The death of the recipient generally terminates payment of benefits. Payments are typically released on a monthly basis for retirement and survivor benefits, with limited instances of lump-sum survivor payments. Unemployment and sickness benefits are released periodically as claims are filed/approved.

Method of awarding/releasing assistance: other

Reports:

Any change in address or eligibility requirements (such as the death of a recipient, work status, excess earnings, marital/family status, medical condition or school attendance) should be reported to the Railroad Retirement Board when it occurs. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

60-8011-0-7-601. 60-8051-0-7-603. 60-8010-0-7-601. 60-0111-0-1-601. 60-0117-0-1-603. 60-0122-0-1-603. 60-0123-0-1-603.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$727,098,112.00; FY 21 est \$452,098,112.00; FY 22 est \$571,098,112.00; Salaries and Expenses FY 20 \$123,500,000.00; FY 21 est \$126,500,000.00; FY 22 est \$123,065,000.00;

Range and Average of Financial Assistance:

Amounts for 2020: Employee initially awarded age annuities monthly maximum \$5,582, average \$3,041; employee disability monthly maximum \$5,546, average \$2,921; employee supplemental annuities monthly maximum \$70, average \$42; married spouse benefits monthly maximum \$2,668, average \$1,121; widows and widowers monthly maximum \$5,327, average \$1,874; widowed mothers and fathers - monthly maximum \$4,700, average \$2,021; children monthly maximum \$3,098, average \$1,230; unemployment and sickness weekly maximum for benefit year 2021-2022 \$410, expected average \$410.

TAFS Codes:

60-8010. 60-8051. 60-0111. 60-8011. 60-0117. 60-0122. 60-0123.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Some 528,000 individuals received benefits under the Railroad Retirement Act, with over 41,000 receiving unemployment and sickness benefits. In addition, the Railroad Retirement Board paid a total of \$152.7 million in unemployment and sickness benefits under the Coronavirus Aid, Relief, and Economic Security Act. This includes approximately \$12.7 million for railroad unemployment insurance extended benefits, about \$134.8 million for railroad unemployment insurance enhanced benefits, and over \$5.3 million for waiver of the 7-day waiting period for railroad unemployment and sickness insurance benefits. Fiscal Year 2021: About 517,000 individuals are expected to receive benefits under the Railroad Retirement Act, with over 39,000 receiving unemployment and sickness benefits, including benefits paid under the Coronavirus Aid, Relief and Economic Security Act of 2020, Continued Assistance to Rail Workers Act of 2020 and the American Rescue Plan Act of 2021. Fiscal Year 2022: About 515,000 individuals should receive benefits under the Railroad Retirement Act, with some 25,000 receiving unemployment and sickness benefits.

REGULATIONS, GUIDELINES, AND LITERATURE:

Benefits paid under the Railroad Retirement Act and the Railroad Unemployment Insurance Act are covered in Chapter 20 of the Code of Federal Regulations, Parts 200 through 350. Information on benefits is also available in press releases and various publications. They can be obtained free of charge at any Railroad Retirement Board office and on the agency website, RRB.gov.

Regional or Local Office:

See Regional Assistance Locations. The Railroad Retirement Board has 53 local offices around the country. To contact or obtain the address for the nearest office, call (877) 772-5772.

Headquarters Office:

Technical Writer-Editor Bruce Rodman,
844 North Rush Street, 4NE,
Chicago IL 60611-1275
Email: bruce.rodman@rrb.gov
Phone: (312) 751-4932
Fax: (312) 751-7154

Website Address:

<http://www.RRB.gov>

RELATED PROGRAMS:

17.225 Unemployment Insurance; 93.774 Medicare_Supplementary Medical Insurance; 96.001 Social Security_Disability Insurance; 96.004 Social Security_Survivors Insurance; 93.773 Medicare_Hospital Insurance; 96.002 Social Security_Retirement Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

SMALL BUSINESS ADMINISTRATION

59.006 8(a) Business Development Program

(Section 8(a) Program).

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 8(a) (15 U.S.C. 637(a)), , Public Law 100-656

OBJECTIVES:

To foster business ownership by individuals who are both socially and economically disadvantaged; and to promote the competitive viability of such firms by providing business development and mentoring assistance including, but not limited to, management and technical assistance, access to capital and other forms of financial assistance, business training and counseling, and access to sole source and limited competition Federal contract opportunities, to help the firms to achieve competitive viability.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

This program utilizes authority provided to SBA under Section 7(j) and 8(a) of the Small Business Act to enter into procurement contracts with other Federal agencies and to subcontract the performance of these contracts to eligible program participants, to provide access to capital and other forms of financial assistance, and to provide business training and counseling.

Applicant Eligibility:

Minority group; Small business (less than 500 employees); Firms applying for 8(a) program participation must meet certain requirements which include, but are not limited to: (a) Status as a small business; (b) at least 51 percent unconditional ownership, control and management of the business by an American citizen(s) determined by SBA to be socially and economically disadvantaged, or by an economically disadvantaged Indian Tribe, Alaska Native Corporation, Native Hawaiian Organization or Community Development Corporations; and (c) demonstrated potential for success; and (d) possess good character. Absent

ASSISTANCE LISTINGS DESCRIPTIONS

evidence to the contrary, the following individuals are presumed to be socially disadvantaged: Black Americans; Hispanic Americans; Native Americans (Alaska Natives, Native Hawaiians, or enrolled members of a Federally or State recognized Indian Tribe); Asian Pacific Americans (persons with origins from Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China (including Hong Kong), Taiwan, Laos, Cambodia (Kampuchea), Vietnam, Korea, The Philippines, U.S. Trust Territory of the Pacific Islands (Republic of Palau), Republic of the Marshall Islands, Federated States of Micronesia, the Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Fiji, Tonga, Kiribati, Tuvalu, or Nauru); Subcontinent Asian Americans (persons with origins from India, Pakistan, Bangladesh, Sri Lanka, Bhutan, the Maldives Islands or Nepal).. Individuals who are not members of the named groups may establish their social disadvantage on the basis of a preponderance of evidence of personal disadvantage stemming from race, ethnic origin, gender, physical handicap, long-term residence in an environment isolated from the mainstream of American society, or other similar causes not common to individuals who are not socially disadvantaged.

Beneficiary Eligibility:

Minority group; Small business; Black; American Indian; Spanish Origin; Asian; Other Non-White; Socially and economically disadvantaged individuals and small businesses owned and operated by such individuals; Indian Tribe, Alaska Native Corporation, Native Hawaiian Organization and Community Development Corporations.

Credentials/Documentation:

Documentation to establish that all program eligibility criteria are met. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. Preapplication Coordination: This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Information is available at SBA District offices and on SBA's home page at <http://www.sba.gov>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Electronic applications are made to SBA offices through SBA's webpage at www.sba.gov. Detailed instructions, and if necessary, assistance in completing application is available at SBA District offices and on SBA's home page at <http://www.sba.gov>

Award Procedure:

Applicant is notified of program participation approval or decline by a letter from the SBA headquarters office. Broad range of business development assistance, including procurement, and access to capital and other forms of financial assistance and business training and counseling is provided to approved program participants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days from the date the application is deemed complete.

Appeals:

Other. There is no reconsideration process for applications that have been declined. An 8(a) applicant may reapply no earlier than 90 days from the date of an agency decision finding the concern ineligible. Alternatively, within 45 days of a decline decision, an 8(a) applicant may appeal a denial of program admission to SBAs Office of Hearings and Appeals (OHA) if the decline decision is based solely on a negative finding of social disadvantage, economic disadvantage, ownership, control, or any combination of these four criteria. If an applicant does not appeal SBAs decline decision to OHA, SBAs ruling is the final agency decision on the matter. If an applicant does appeal SBAs decline decision to OHA, OHAs decision is the final agency decision. Where a concern has been declined three times within 18 months of the date of the first final agency decision finding the concern ineligible, the concern cannot submit a new application for admission to the program until 12 months from the date of the third final Agency decline decision.

Renewals:

Other. Additional information available on SBA's website at [sba.gov](http://www.sba.gov).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum of 9 years. Method of awarding/releasing assistance: other

Reports:

Additional information available on SBA's website at www.sba.gov. See www.sba.gov. Check on www.sba.gov. Please see www.sba.gov. Additional information available on SBA's website at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Program participation audits as needed to evaluate progress. Contract audits as and when required by Federal Acquisition Regulations.

Records:

Standard business/accounting records.

Account Identification:

73-0100-0-1-376.

Obligations:

Provision of Specialized Services FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Additional information available on SBA's website at www.sba.gov.

TAFS Codes:

73-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBA Rules and Regulations, 13 CFR Section 124, SOP 80-05-06.

Regional or Local Office:

See Regional Assistance Locations. Initial contact should be made with the field offices listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Deputy Director Dr. Donna Peebles, D.M., Associate Administrator - Office of Business Development,
409 3rd. Street, S.W., 8th Floor,
Washington DC 20416
Email: 8AQuestions@sba.gov
Phone: 800-827-5722

Website Address:

<http://www.sba.gov/>

RELATED PROGRAMS:

59.011 Small Business Investment Companies; 59.007 7(j) Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.007 7(j) Technical Assistance

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 7(j) (15 U.S.C. 636(j)), , Public Law 85-536

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

The purpose of the program is to provide Business Development Assistance for Small Disadvantaged Businesses. SBA performs this mission by entering into grants, cooperative agreements and contracts with qualified service providers who have the capability to provide business development assistance to eligible businesses and individuals under Sections 7(i), 7(j) and 8(a) of the Small Business Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial assistance under this Section may be given for projects that respond to requirements as outlined in each respective program solicitation announcement, or for an unsolicited proposal that could provide valuable business development assistance for 8(a) and other socially and economically disadvantaged small businesses and individuals. Types of business development assistance may include: Accounting and marketing services, proposal/bid preparation workshops, feasibility studies, marketing/presentation analyses and advertising expertise, loan packaging, cyber security training, industry specific technical assistance and other specialized management training and technical services. The program funds can also be used to establish and strengthen business service agencies, including trade associations and cooperatives. The 7(j) program funds are not available to finance or purchase a business nor are they available to use as expansion capital for an existing business.

Applicant Eligibility:

Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Educational institutions, public or private organizations and businesses, individuals, State and local governments, Indian tribes and lending and financial institutions and sureties that have the capability to provide the required business development assistance.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Small Business Person; Moderate Income; Low Income; 8(a) program certified firms, HUBZone small businesses, businesses operating in areas of low-income or high-unemployment, and firms owned by low-income individuals like Economically Disadvantaged Women Owned Businesses.

Credentials/Documentation:

As specified in the individual "Request for Application Proposals." Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application proposal forwarded to District Office Director for appropriate geographic area. See Appendix IV of the Federal Domestic Assistance Catalog.

Award Procedure:

Decisions on acceptance are made by the Office of Procurement and Grants Management based upon recommendations of the Director for Management and Technical Assistance, Office of Business Development. The Contracting Officer or Grants Management Officer notifies successful applicants by Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies. <https://www.sba.gov/about-sba/sba-initiatives/7j-management-and-technical-assistance-services-program>

Appeals:

Other. See additional information at www.sba.gov.

Renewals:

Other. Contact the Federal agency. See additional information at www.sba.gov.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made for a maximum of 1 year with options as stated in the individual announcements. Disbursements are made within a few days of receipt of all required documents along with the request for disbursement. Disbursements are made in partial payments based on work successfully performed. Method of awarding/releasing assistance: other

Reports:

Information available at www.sba.gov. See information at www.sba.gov. Information available at www.sba.gov. See additional information at www.sba.gov. See additional information at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Pre-award accounting system survey. Final audit by a certified or licensed public accountant.

Records:

Appropriate records as needed for above requirements.

Account Identification:

73-0400-0-1-376.

Obligations:

Project Grants FY 20 \$3,964,000.00; FY 21 est \$2,800,000.00; FY 22 est \$9,800,000.00;

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact sheet upon request.

Regional or Local Office:

See Regional Assistance Locations. SBA District Offices listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration. See District Offices and contact info at <https://www.sba.gov/tools/local-assistance/districtoffices>

Headquarters Office:

Deputy Director Stanley Jones Jr.; Director, Office of Management and Technical Assistance; Office of Business Development, 409 3rd. Street, S.W., 8th Floor, Washington DC 20416
Email: Stanley.JonesJr@sba.gov
Phone: 202-205-6805

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

59.008 Disaster Assistance Loans

(7(b) Loans (DL)),

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 7(b) (15 U.S.C. 636(b)), , Public Law 91-606

OBJECTIVES:

To provide loans to the survivors of declared disasters for uninsured or otherwise uncompensated physical damage and economic injury.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Loans made to businesses of all sizes, private nonprofit organizations, homeowners and renters to repair or replace damaged or destroyed real property and/or personal property to its pre-disaster condition. Credit elsewhere test required for all applicants to determine interest rate. Loans to homeowners/tenants are made for up to 30 years. For homeowners, limit of \$200,000 for repair/replacement of real property; for homeowners and renters, limit of \$40,000 for repair/replacement of personal property. The loan limit may be increased to provide post-disaster mitigation. Loans to business generally may not exceed \$2,000,000. For businesses determined to be able to obtain credit elsewhere, maturities may not exceed 7 years. For businesses determined to be unable to obtain credit elsewhere and for private nonprofit organizations, maturities may not exceed 30 years. Under certain circumstances, homeowners and businesses may be eligible for refinancing of existing liens. Economic Injury Disaster Loans are available and provide working capital to help small businesses, small agricultural cooperatives, small businesses engaged in aquaculture, and most private, non-profit organizations of all sizes meet their ordinary and necessary financial obligations that cannot be met as a direct result of the disaster. These loans are intended to assist through the disaster recovery period. Provisions of the Flood Disaster Protection Act of 1973 and the National Flood Insurance Reform Act of 1994 apply.

Applicant Eligibility:

Individual/Family; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Eligible applicants must have suffered physical property loss or economic injury as a result of a disaster which occurred in an area declared by the President or SBA. They must also demonstrate an ability and willingness to repay the loan. Business concerns, charitable and private nonprofit organizations and individuals are eligible to apply for assistance.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Land/Property Owner; Business concerns, charitable and private nonprofit organizations, and individuals. Agricultural enterprises are ineligible.

Credentials/Documentation:

For homeowners and renters: A Home Loan Application (SBA Form 5c) completed and signed by Applicant and Co-Applicant and an IRS Form 4506T completed and signed by Applicant and Co-Applicant to enable SBA to obtain tax return information from IRS. For Businesses: A Business Loan Application (SBA Form 5) completed and signed by business applicant and an IRS Form 4506T completed and signed by Applicant business, each principal owning 20% or more of the applicant business, each general partner or managing member and, for any owner who has more than 50% ownership in an affiliate business. (Affiliates include business parent, subsidiaries, and/or businesses with common ownership or management.). Complete copies, including all schedules, of the most recent Federal income tax returns for the applicant business; an explanation if not available. Personal Financial Statement (SBA Form 413) completed, signed and dated by the applicant (if a sole proprietorship), each principal owning 20% or more of the applicant business, each general partner or managing member. Schedule of Liabilities listing all fixed debts (SBA Form 2202 may be used). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Please review it.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SBA now offers you the option of filing home and business disaster loan applications through the Electronic Loan Application (<https://disasterloan.sba.gov/ela>). Over 90% of our applications are submitted through the Electronic Loan Application. Applicants have the option of submitting an application via mail. Applicants may also apply in person at any Disaster Recovery Center and receive personal, one-on-one help from an SBA representative. Applicants can find information by contacting SBA's Customer Service Center. Call 1-800-659-2955 (TTY: 1-800-877-8339) or e-mail disastercustomerservice@sba.gov.

Award Procedure:

Applicant is notified of approval by authorization letter from SBA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally, from 2 to 3 weeks depending on complexity of case and availability of resources.

Appeals:

Other. Reconsideration of a declined application can be requested within 6 months of the initial decline, and appealed within 30 days from the reconsideration decline.

Renewals:

Other. Additional information provided on SBA's website at www.sba.gov/Disaster.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds must be disbursed within six months of authorization of the loan, unless extended. Method of awarding/releasing assistance: other

Reports:

Additional information provided on SBA's website at www.sba.gov/Disaster. Information available at www.sba.gov/Disaster. Additional information provided on SBA's website at www.sba.gov/Disaster. See information at www.sba.gov/Disaster. Additional information provided on SBA's website at www.sba.gov/Disaster.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Information available at www.sba.gov/Disaster.

Records:

Complete financial records must be maintained by business borrower.

Account Identification:

73-1152-0-1-453.

Obligations:

Direct Loans FY 20 (\$1,360,542,213.00); FY 21 est \$1,335,060,352.00; FY 22 est \$935,065,408.00;

Range and Average of Financial Assistance:

Additional information provided on SBA's website at www.sba.gov/Disaster.

TAFS Codes:

73-1152.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Part 123; "Disaster Loans for Homes and Personal Property, "Physical Disaster Business Loans" and "Economic Injury Disaster Loans".

Regional or Local Office:

See Regional Assistance Locations. For reference to the Disaster Center Office, see Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Program Analyst Alan Escobar,
409 3rd St., 6th Floor Office of Disaster Assistance,
Washington DC 20416
Email: alan.escobar@sba.gov
Phone: 202-205-6734

Website Address:

<http://www.sba.gov/Disaster>

RELATED PROGRAMS:

10.404 Emergency Loans; 97.022 Flood Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.011 Small Business Investment Companies

(SBIC),

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Investment Act of 1958, Title III (15 U.S.C. 681 et seq.), , Public Law 85-699, as amended

OBJECTIVES:

To establish privately owned and managed Small Business Investment Companies (SBICs), which are licensed and regulated by the U.S. Small Business Administration (SBA), and to issue debt to licensed SBICs that may provide private equity capital and long term loan funds to small businesses and provide advisory services to small businesses in which they invest.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

SBICs provide management and financial assistance on a continuing basis to eligible small business concerns in which they invest. Financial assistance is provided by making long-term loans to these small concerns, and/or by the purchase of debt or equity type securities issued by these firms. Emphasis is on providing assistance to the pioneering, innovating-type concerns developing new products, processes, and markets. Specialized investment companies (SSBICs) organized/licensed under former Section 301(d) of the authorizing statute must restrict eligibility to small concerns owned and operated by individuals whose participation in the free enterprise system has been hampered by social or economic disadvantages. Debentures are issued by leveraged SBICs and guaranteed by SBA for a term generally not to exceed ten years. SBICs may not self-deal, finance "big business," or invest over 10 percent of combined capital in any single small concern.

Applicant Eligibility:

Small business (less than 500 employees); Any chartered small business investment company having private capital of not less than \$5 million, having qualified management, giving evidence of sound operation, and establishing the need for SBIC financing in the geographic area in which the applicant proposes to operate. For more information, please go to:

<https://www.sba.gov/partners/sbics/apply-be-sbic#section-header-4>.

Beneficiary Eligibility:

Small business; Profit organization; Moderate Income; Low Income; Individual

businesses (single proprietorship, partnership or corporation) which satisfy the established criteria of a small business. For more information, please go to: <https://www.sba.gov/partners/sbics/apply-be-sbic#section-header-6>.

Credentials/Documentation:

A small business investment company must be chartered as a corporation, limited partnership (with individuals, corporation, partnership, or limited liability company as general partner), or limited liability company. Determination is made as to need in area, general business reputation and character of proposed owners and management and probability of successful operations. All loans to, or investments in, small concerns shall be of such sound value as reasonable to assure repayment. For more information, please go to: <https://www.sba.gov/partners/sbics/apply-be-sbic#section-header-4>. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. SBIC applicants are strongly encouraged to participate in a pre-screening process. For more information, please go to: <https://www.sba.gov/partners/sbics/apply-be-sbic#section-header-8>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Request information and appropriate forms from SBA's Office of Investment and Innovation. Complete application requirements and submit with required application fee to SBA's Office of Investment and Innovation. For more information, please go to: <https://www.sba.gov/partners/sbics/apply-be-sbic>.

Award Procedure:

Applicant is notified by issuance of a license from SBAs Office of Investment and Innovation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applying for an SBIC license is generally a three phase process and may take up to two years. In the second phase of the process, applicants are given 18 months to raise the private capital needed to be viable and submit required information for licensing. For more information on the licensing process, please go to: <https://www.sba.gov/partners/sbics/apply-be-sbic>.

Appeals:

Other. Proponents may reapply at any time.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Statutory formula is not applicable to this assistance listing. Matching Requirements: Additional information available at SBA's website at <https://www.sba.gov/partners/sbics/forms-guides#section-header-2>. Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Additional information available at SBA's website at <https://www.sba.gov/partners/sbics/apply-be-sbic#section-header-8>. Method of awarding/releasing assistance: other

Reports:

See information at <https://www.sba.gov/partners/sbics/operate-sbic#section-header-0>. Additional information available at <https://www.sba.gov/partners/sbics/operate-sbic#section-header-0>. Information available at <https://www.sba.gov/partners/sbics/operate-sbic#section-header-0>. Additional information available at SBA's website at <https://www.sba.gov/partners/sbics/operate-sbic#section-header-0>. Information available at <https://www.sba.gov/partners/sbics/operate-sbic#section-header-0>.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Annual audit by a certified public accountant and periodic on-site examinations by SBA personnel. For more information, please go to <https://www.sba.gov/partners/sbics/operate-sbic>.

Records:

Current financial records, minutes of meetings of stockholders, general partners, directors, executive committees, and time spent and charges made for management consulting services performed must be maintained until such time as an SBIC license is surrendered.

Account Identification:

73-1154-0-1-376.

Obligations:

Guaranteed/Insured Loans FY 20 \$2,102,000,000.00; FY 21 est (\$294,967,296.00); FY 22 est (\$294,967,296.00);

Range and Average of Financial Assistance:

Information on the SBIC Program is available at <https://www.sba.gov/document/report-sbic-program-state-state-financing-report-fy2016-fy2020> Additional information available on SBA's website at www.sba.gov/sbic.

TAFS Codes:

73-1154.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR, Part 107.

Regional or Local Office:

None. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Associate Administrator Samuel J. Boyd Jr., Director of Program Development, 409 3rd Street, SW6th Floor, Washington, DC DC 20416
Email: applySBIC@sba.gov
Phone: 202-205-7546

Website Address:

<http://www.sba.gov/sbic>

RELATED PROGRAMS:

59.043 Women's Business Ownership Assistance; 59.044 Veterans Business Development; 59.037 Small Business Development Centers; 59.052 Native American Outreach; 59.006 8(a) Business Development Program;

EXAMPLES OF FUNDED PROJECTS:

2020 For a current directory of licensed SBICs, please go to <https://www.sba.gov/sbics>.

CRITERIA FOR SELECTING PROPOSALS:

Investment funds licensed as SBICs must demonstrate ability to generate enough revenue to pay their SBIC debt obligations on a timely basis and typically have four basic characteristics: 1) Experienced management team with team members having complementary skills and a history of working with each other; 2) Proven investment strategy with track records contain enough successful, income-generating investments to prove an ability to service SBA-guaranteed debt; 3) Track record of success with at least two team members 10-year track records clearly showing success with investments analogous to the ones proposed for the applicant SBIC; 4) Appealing fund structure that aligns the incentives of the SBICs managers and investors.

59.012 7(a) Loan Guarantees

7(a) Loans,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 7(a) (15 U.S.C. 636(a)), , Public Law 97-35

OBJECTIVES:

To provide guaranteed loans from lenders to eligible for profit small businesses which are unable to obtain financing in the private credit marketplace but can demonstrate an ability to repay loans if granted, in a timely manner. The SBA guarantees 7(a) Program Loans through various loan processing methods including: (1) Standard 7(a) Loans; (2) 7(a) Small Loans; (3) SBAExpress loans; (4) CAPLine Loans; (5) the Qualified Employee Trust (ESOP); (6) International Trade; (7) Export Express; and (8) Export Working Capital loan programs.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

All funds guaranteed by SBA under the 7(a) program are subject to the following general use restrictions: Excludes gambling establishments, non-profit enterprises, financial businesses primarily engaged in the business of lending or financing, passive businesses owned by developers and landlords, speculators in property, lending or investment enterprises, businesses engaged in illegal activity; prurient businesses, businesses principally engaged in religious activities, private clubs which restrict membership; pyramid sales distribution businesses; businesses with an Associate of poor character, businesses in which the SBA Lender, or any of its Associates, owns an equity interest, and businesses involved in political or lobbying activities. Funds must not otherwise be available on reasonable terms, nor used to pay off a loan to an unsecured creditor who is in a position to sustain loss, or for the benefit of an ineligible affiliate. Standard 7(a) loans may be used to construct, expand, or convert facilities; to purchase building equipment or materials; and for working capital. SBA Express Program loan proceeds may be used to for the 7 (a) uses above and permits lender participants to utilize their own forms and processes in exchange for a lower guarantee percentage. Qualified Employee Trust loans are used to permit employees to acquire controlling ownership interests in a small concern through an Employee Stock Ownership (ESOP) or employee cooperative structure. The CAPLine Programs can be used to finance seasonal working capital needs; finance the direct costs of performing certain contracts, subcontracts, or purchase orders; finance the direct cost associated with commercial and residential construction; or provide general working capital lines of credit. International Trade loan proceeds are used to finance assets that significantly expand an existing export market or develop new markets. Export Express Loans provide funds for export development activities and financing standby letters of credit. Export Working Capital 7(a) loans may be used to pay for the manufacturing costs of goods for export; to purchase goods or services for export; to support standby letters of credit to act as bid or performance bonds; and/or to finance foreign accounts receivable. Additional information is available on SBA's website at www.sba.gov/7a-loan-program.

Applicant Eligibility:

Small business (less than 500 employees); Small Business is based the size standard on either number of employees or annual receipts based on the North American Industry Classification system (NAICS)

Beneficiary Eligibility:

Small business; Small Business is based the size standard on either number of employees or annual receipts based on the North American Industry Classification system (NAICS)

Credentials/Documentation:

Additional information is available on SBA's website at www.sba.gov/funding-programs/loans. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for

ASSISTANCE LISTINGS DESCRIPTIONS

guarantees are submitted by the participating lender to SBA electronically.

Award Procedure:

SBA provides approval notification to participating lender who provides a commitment letter and loan to the applicant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally, from one to ten days from date of receipt of a complete application, depending on type of loan and type of lender submission method.

Appeals:

Other. If reconsideration is requested within six months after decline or withdrawal, no new application is required.

Renewals:

Other. Additional information is available on SBA's website at www.sba.gov

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Additional information is available on SBA's website at www.sba.gov MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See the following for information on how assistance is provided. Information is available at www.sba.gov Method of awarding/releasing assistance: other

Reports:

Please see Program Performance Reports at SBAs website at <https://www.sba.gov/about-sba/sba-performance/performance-budget-finances/small-business-administration-sba-loan-program-performance>. Additional information available at SBA's website at www.sba.gov Information available at www.sba.gov See www.sba.gov Information available at www.sba.gov/7a-loan-program. See www.sba.gov

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Adequate accounting system.

Account Identification:

73-1154-0-1-376.

Obligations:

Guaranteed/Insured Loans FY 20 (\$904,817,638.00); FY 21 est (\$64,771,072.00); FY 22 est (\$64,771,072.00);

Range and Average of Financial Assistance:

Additional information is available on SBA's website at www.sba.gov

TAFS Codes:

73-1154.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 30,000,000,000 Authorized

REGULATIONS, GUIDELINES, AND LITERATURE:

See www.sba.gov

Regional or Local Office:

See Regional Assistance Locations. Initial contact should be with one of the 68 District Offices (<https://www.sba.gov/tools/local-assistance/districtoffices>) listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Lender Relations Specialist Rosemarie Drake, Chief, 7(a) Loan Program and Policy Division,
409 3rd Street, SW8th Floor,
Washington, DC DC 20416
Email: Rosemarie.Drake@sba.gov
Phone: 202-619-1674

Website Address:

<http://www.sba.gov/funding-programs/loans>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.016 Surety Bond Guarantees

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Investment Act of 1958, Title IV, Part B (15 U.S.C. 694a et seq.), Title IV, Part B, Section 410, Public Law 108-447

OBJECTIVES:

To guarantee surety bonds issued by Treasury listed surety companies for small businesses unable to obtain a bond without an SBA guarantee. The guarantees range between 80 and 90% and cover losses and expenses incurred by an SBA approved participating surety should the small business default on the contract.

TYPES OF ASSISTANCE:

Insurance (Guaranteed Surety Bonds)

USES AND USE RESTRICTIONS:

Contracts not exceeding \$6.5 million, or \$10 million for federal contracts with the certification of a Federal Contracting Officer, where a surety bond is required but not obtainable privately on reasonable terms and conditions. Guarantee is for bid, payment, and performance bonds (and ancillary bonds incidental to the performance of a specific contract). It is provided directly to the surety and/or an authorized agent for a particular contract. Under the Prior Approval program, sureties must apply to SBA for each guarantee. Sureties in the Preferred Bond Program are empowered to issue, service, and monitor bonds without prior SBA approval.

Applicant Eligibility:

Profit organization; Other private institutions/organizations; Guarantees are limited to those surety companies holding certificates of authority from the Secretary of the Treasury as an acceptable surety for bonds on Federal contracts. Specific criteria apply to the Prior Approval and PSB Sureties.

Beneficiary Eligibility:

Profit organization; Builder/Contractor/Developer; For most contracts, a small business is eligible for bond guarantees issued by the surety bond program if it qualifies as a small business under Code of Federal Regulations Subpart 121, Size Eligibility Provisions and Standards. To learn more, visit www.sba.gov/size-standards.

Credentials/Documentation:

Application and supplement information. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A small business must apply directly to a surety agent who represents a participating surety company. The application includes background, credit, and financial information. Under the Prior Approval Program, the surety company deals directly with the SBA Area Office concerning the bond guarantee. Headquarters, Area and District SBA Offices serve as contact points for program information purposes.

Award Procedure:

Under the Prior Approval Program, SBA notifies the surety company of SBA's approval of the guarantee and furnishes the surety with e-mail authorization. Surety company (or agent/broker) notifies the bond applicant and issues the bond.

ASSISTANCE LISTINGS DESCRIPTIONS

Preferred sureties issue bonds guaranteed by SBA without SBA's prior approval. Prior approval and PSB sureties remit a percent of the premium they charge small businesses to SBA. Small businesses pay SBA a fee based on the percentage of the contract price.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. The goal for completing the review of a bond guarantee application is 4.5 days.

Appeals:

Other. Additional information available on SBA's website at www.sba.gov.

Renewals:

Other. Additional information available on SBA's website at www.sba.gov.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Guarantee runs to termination of bond (usually completion of contract). Method of awarding/releasing assistance: Additional information available on SBA's website at www.sba.gov. Method of awarding/releasing assistance: other

Reports:

See SBAs Budget and Performance reports at <https://www.sba.gov/about-sba/organization/performance>. See SBAs Budget and Performance reports at <https://www.sba.gov/about-sba/organization/performance>. See SBAs Budget and Performance reports at <https://www.sba.gov/about-sba/organization/performance>. See SBAs Budget and Performance reports at <https://www.sba.gov/about-sba/organization/performance>. See SBAs Budget and Performance reports at <https://www.sba.gov/about-sba/organization/performance>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 115.21 Audits and investigations.(a) Audits (1) Scope of audit. SBA may audit in the office of a Prior Approval or PSB Surety, the Surety's attorneys or consultants, or the Principal or its subcontractors, all documents, files, books, records, tapes, disks and other material relevant to SBA's guarantee, commitments to guarantee a surety bond, or agreements to indemnify the Prior Approval or PSB Surety. See 115.18(a)(3) for consequences of failure to comply with this section.(2) Frequency of PSB audits. Each PSB Surety is subject to an audit at least once every 3 years by examiners selected and approved by SBA.

Records:

SBA Headquarters maintains records on contractors in default and/or claim status (including recoveries on paid claims) as furnished by sureties.

Account Identification:

73-4156-0-1-376.

Obligations:

Insurance (Guaranteed Surety Bonds) FY 20 (\$1,400,324,470.00); FY 21 est (\$1,189,934,592.00); FY 22 est (\$889,934,592.00);

Range and Average of Financial Assistance:

Additional information available on SBA's website at www.sba.gov.

TAFS Codes:

73-4156.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR 115.SOP 50 45 3, The Surety Bond Guarantee ProgramSOP 50 46, Claims and Recovery ProgramSOP 50 49, Preferred Surety Bond Program

Regional or Local Office:

See Regional Assistance Locations. Program applicants must contact an SBA-authorized surety bond agent or broker. SBA-authorized agencies are found on

www.sba.gov/osg. General program particulars may be obtained from SBA District Offices listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration. Surety agencies and surety companies interested in issuing SBA-guaranteed bonds should visit SBAs www.sba.gov/partners.

Headquarters Office:

Deputy Director OSG Program Director,
409 3rd Street, SW8th Floor,
Washington DC 20416
Email: suretybonds@sba.gov
Phone: 202-401-8275

Website Address:

<http://www.sba.gov/osg>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.026 SCORE

SCORE,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Executive Order Small Business Act, Section 8(b)(1)(B) (15 U.S.C. 637(b)(1)(B)), , Public Law 95-510, 637

OBJECTIVES:

To provide mentoring and workshops to potential and existing small business owners using the management experience of retired and active business professionals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

SCORE members volunteer to provide small business counseling and mentoring services to the public free of charge. Small business training workshops are offered for a low fee. SCORE is a resource partner with the U.S. Small Business Administration and works with the agency to serve aspiring and existing small business owners. A small business owner interested in getting help from SCORE should contact the local chapter, the SBA District Office, or SCORE's website, www.score.org. The SCORE national office is bound by the terms set forth in the annual Notice of Award. All existing and potential small business owners are eligible for assistance from SCORE. The business must be independently owned and operated, not dominant in its field, and must conform to SBA size standards.

Applicant Eligibility:

Sponsored organization; This opportunity is open only to the SCORE Association.

Beneficiary Eligibility:

Sponsored organization; This opportunity is open only to the SCORE Association.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

None.

Deadlines:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length varies as appropriate. Method of awarding/releasing assistance: other

Reports:

Additional information available on SBA's website at www.sba.gov. See information at www.sba.gov. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See information at www.sba.gov.

Records:

Annual independent financial audit.

Account Identification:

73-0400-0-1-376.

Obligations:

Project Grants FY 20 \$11,700,000.00; FY 21 est \$12,200,000.00; FY 22 est \$12,200,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Small Business Act, SOP 60-10-2A, SCORE Operating Manual, Counselor's Professional Development Program Annual Report and Brochures.

Regional or Local Office:

See Regional Assistance Locations. The initial contact can be made directly with SCORE chapters, with SBA District Office, or with the SCORE website at www.score.org. See Appendix IV of the Catalog of Federal Domestic Assistance.

Headquarters Office:

Program Analyst Nathaniel Bishop,
409 3rd St., SW6th Floor,
Washington DC 20416
Email: nvbishop@sba.gov
Phone: 202-205-7007

Website Address:

<https://www.sba.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As defined in SBA Request for Proposals Notice on Grants.gov

59.037 Small Business Development Centers

(SBDC),

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 21 (15 U.S.C. 648), , Public Law 96-302

OBJECTIVES:

To provide management counseling, training, and technical assistance to the small business community through a network of Small Business Development Centers (SBDCs).

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Dissemination of Technical Information, Formula Grants, Project Grants, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Funds restricted to the use of assisting small businesses.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); The Administration is authorized to make grants (including contracts and cooperative agreements) to any State government or any agency thereof, any regional entity, any State-chartered development, credit or finance corporation, any womens business center operating pursuant to section 29, any public or private institution of higher education, including but not limited to any land-grant college or university, any college or school of business, engineering, commerce, or agriculture, community college or junior college, or to any entity formed by two or more of the above entities (herein referred to as applicants) .

Beneficiary Eligibility:

Small business; Current and potential Small business persons.

Credentials/Documentation:

Documentation must include approval and support of the proposal from the State government for state hosted SBDCs. Documentation should also include, but not be limited to: (a) Endorsement of the proposal by State officials; (b) endorsement of the proposal by the university hierarchy; and (c) a statement of commitment by the agencies and organizations listed as resources in the proposal. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the SBA office or official designated as the single point of contact for more information on the process for applying for assistance. Additional information available on SBA's website at www.sba.gov. Additional information available on SBA's website at www.sba.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible entities within a designated service area (either state or region) interested in establishing an SBDC should coordinate with each other in order to develop a proposal for a statewide SBDC service plan. This program is subject to the provisions of 13 CFR 143 (for State and local governments). The proposal should be submitted via www.grants.gov.

Award Procedure:

SBA headquarters executes cooperative agreements to approved applicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies with individual proposals.

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

Other. Varies with individual proposals.

Renewals:

Other. This program is on-going and is renewed annually subject to availability of funds. SBDCs are required to update their proposal and submit a current year budget.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 100%. Requirement: Matching requirements are mandatory. Additional information available on SBA's website at www.sba.gov.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements are issued each year with a 2-year period of performance. Payments are made by cost reimbursement or in advance as specified in the Notice of Award. Method of awarding/releasing assistance. Automated Clearinghouse Deposits. Method of awarding/releasing assistance: other

Reports:

Additional information available on SBA's website at www.sba.gov. Information available at www.sba.gov. See information at www.sba.gov. Additional information available on SBA's website at www.sba.gov. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 .

Records:

The SBDC shall maintain detailed, complete, and accurate client activity records of a sufficiency to reflect clearly the nature and variety of the SBDC service provided. Financial records must be maintained until 3 years after the completion of the grant project or submission of the final report, whichever is later.

Account Identification:

73-0400-0-1-376.

Obligations:

Formula Grants FY 20 \$136,399,000.00; FY 21 est \$136,000,000.00; FY 22 est \$136,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBDC Funding Opportunity Announcement, SBDC Policy Guidelines, Terms and Conditions.

Regional or Local Office:

See Regional Assistance Locations. For addresses of the field offices, see Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

Deputy Associate Administrator Alanna Falcone,
409 3rd St., SW6th Floor,
Washington DC 20416
Email: Alanna.Falcone@sba.gov
Phone: 202-619-1612

Website Address:

<http://www.sba.gov/sbdc>.

RELATED PROGRAMS:

59.043 Women's Business Ownership Assistance; 59.026 Service Corps of Retired Executives;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Ability to match funds and (2) Ability to provide technical assistance, training, counseling, and specialized services to the small business community.

59.041 504 Certified Development Loans

(504 Loans),

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Executive Order Small Business Investment Act of 1958, Title V (15 U.S.C. 695 et seq.), Title V, Section 504, Public Law 85-699

OBJECTIVES:

To assist small business concerns by providing long-term, fixed-rate financing for fixed assets through the sale of debentures to private investors.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Loans are to assist small businesses in the acquisition of land and buildings; the construction, expansion, renovation or modernization of buildings; or the acquisition and/or installation of machinery and equipment. Loans may have either a 10, 20, or 25-year term. The 504 Debt Refinancing Program may be used to help eligible small business owners ease their financial burdens and further job creation. The 504 Debt Refinancing Program can help eligible borrowers refinance debt from adjustable rate loans with its long term fixed-rates, creating significant savings to borrowers.

Applicant Eligibility:

Small business (less than 500 employees); Small Business is based the size standard on either number of employees or annual receipts based on the North American Industry Classification system (NAICS)

Beneficiary Eligibility:

Small business; Small Business Person; Small Business is based the size standard on either number of employees or annual receipts based on the North American Industry Classification system (NAICS)

Credentials/Documentation:

To become a Certified Development Company (CDC), the applicant should submit SBA Form 1246, Application for Certified Development Company to their local District Office and include the following information: (1) Name, address, and contact information of applicant; (2) list of officers and directors who represent the fields of commercial lending, financial risk management, internal controls, workforce, community, and economic development, legal issues related to commercial lending, and corporate governance in accordance with 13 CFR 120.823; (3) SBA Form 1081 on all officers, directors, and staff; (4) resume on each officer and director; (5) the name, resume, and description of duties for each individual who provides the CDC with the professional staff capability; (6) if contracted out, a copy of the contract is required to be submitted to SBA for pre-approval; (7) an organization chart and operating plan; (8) a plan of CDC operations (marketing, evaluating, packaging, processing, closing and servicing functions); (9) financial statements (if a start-up, provide a pro-forma balance sheet with detailed projections and assumptions); (10) the CDC's charter and certified by-laws; (11) articles certified by the State; and (12) a resolution of the Board of Directors certified by a corporate officer in which a company resolves to become a CDC, naming the person who is authorized to execute all documents. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Please review it.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Loan applications must be made on SBA Form 1244 and requirements set forth thereon must be fully

ASSISTANCE LISTINGS DESCRIPTIONS

complied with by the Certified Development Company and the small business being assisted.

Award Procedure:

CDC is notified in writing by the SBA Office. CDC notifies applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. Within 10 working days after formal acceptance of application.

Appeals:

Other. Requests for reconsideration of a declined loan will be granted at any time within 6 months from the date of decline, providing that reasonable evidence is submitted to substantially overcome the stated reasons for decline.

Renewals:

Other. Renewals initiated by loan recipients.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Additional information available on SBA's website at www.sba.gov. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not all CDCs must provide audited financials. CDCs under \$30 million in portfolio size can provide reviewed financials as per 13 CFR 120.826 (c) and (d) below:(c) Annual Audited/Reviewed Financial Statements. Each CDC with a 504 loan portfolio balance of \$30 million or more (as calculated by SBA) must have its financial statements audited annually by a certified public accountant that is independent and experienced in auditing financial institutions. The audit must be performed in accordance with generally accepted auditing standards as adopted by the Auditing Standards Board of the American Institute of Certified Public Accountants (AICPA). The auditor must be independent, as defined by the AICPA, of the CDC. Annually, the auditor must issue an opinion as to the fairness of the CDC's financial statements and their compliance with GAAP. For CDCs with a 504 portfolio balance of less than \$30 million (as calculated by SBA), the CDC's annual financial statements submitted to SBA must be reviewed by an independent CPA in accordance with GAAP, except that the D/OCRM may require a CDC with a portfolio balance of less than \$30 million to submit an audited financial statement in the event the D/OCRM determines, in his or her discretion, that such audit is necessary or appropriate when the CDC is in material noncompliance with Loan Program Requirements.(d) Auditor qualifications. The audit or review must be conducted by an independent certified public accountant who:(1) Is registered or licensed to practice as a public accountant, and is in good standing, under the laws of the state or other political subdivision of the United States in which the CDC's principal office is located;(2) Agrees in the engagement letter with the CDC to provide the SBA with access to and copies of any work papers, policies, and procedures relating to the services performed;(3)(i) Is in compliance with the AICPA Code of Professional Conduct; and(ii) Meets the independence requirements and interpretations of the Securities and Exchange Commission and its staff;(4) Has received a peer review or is enrolled in a peer review program that meets AICPA guidelines; and(5) Is otherwise acceptable to SBA.

Account Identification:

73-1154-0-1-376.

Obligations:

Guaranteed/Insured Loans FY 20 \$1,070,121,704.00; FY 21 est (\$1,089,934,592.00); FY 22 est (\$1,089,934,592.00);

Range and Average of Financial Assistance:

Minimum - \$50,000 eachMaximum - \$5,000,000 each or for manufacturing \$5,500,000 each but may apply more than once and energy public policy projects

\$5,500,000 each but capped at \$16,500,000 total.

TAFS Codes:

73-1154.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See SBA Rules and Regulation, CFR, Section 120.800 and 120.900; Certified Development Company Program - Program Guide.

Regional or Local Office:

See Regional Assistance Locations. Initial contact should be directed to the local District Offices (<https://www.sba.gov/tools/local-assistance/districtoffices>) listed in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Chief Linda Reilly - Chief, 504 Loan Division,
409 3rd St., SW8th Floor,
Washington DC 20416
Email: linda.reilly@sba.gov
Phone: 202-205-9949

Website Address:

<http://www.sba.gov/>

RELATED PROGRAMS:

59.012 7(a) Loan Guarantees;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.043 Women's Business Ownership Assistance

Womens Business Center,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 29 (15 U.S.C. 656),

OBJECTIVES:

To fund private, nonprofit organizations to assist, through training and counseling, small business concerns owned and controlled by women, and to remove, in so far as possible, the discriminatory barriers that are encountered by women in accessing capital and promoting their businesses.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To establish women's business centers (WBCs) for the benefit of small business concerns owned and controlled by women. The services provided by the women's business centers must include financial, management, procurement and marketing assistance to start-up or established concerns, as well as mentoring and Internet services. Program participants that successfully complete the initial 5-year grant may apply for a 3-year renewal grant. Successful participants may renew the grant every 3 years.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Minority group; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Private, nonprofit organizations having experience in effectively training and counseling business women. Public educational institutions and State and local governments are not eligible.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Small Business Person; Women; Women entrepreneurs starting their own business or expanding their existing business.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are accepted in accordance with and up to the date specified in the annual program announcement as posted at www.grants.gov and www.sba.gov/wbc. The applicant must complete the Application for Federal Assistance (Standard Forms 424, 424A and 424B) and submit a written proposal addressing all of the selection criteria stated in the program announcement. The applicant must submit applications/proposals electronically through www.grants.gov (free training on electronic filing is available on the site) by the application closing date.

Award Procedure:

Proposals of eligible applicants are evaluated by a review panel. Candidates for sustainability awards also undergo a site review as appropriate. The SBA/Office of Women's Business Ownership issues an award to each approved applicant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Within 120 days from application deadline.

Appeals:

Other. Additional information available at SBA's website at www.sba.gov.

Renewals:

Other. Continued annual option awards are issued to successful award recipients through a five-year term without recompetition and also through a three-year term for the renewal recipients.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. For the initial phase WBC awards, the non-Federal entities are required to match awarded funds on a 1:2 ratio for the first 2 years of the grant and a 1:1 ratio for the subsequent 3 years. For Renewal grants, the non-Federal entity(ies) are required to match awarded funds on a 1:1 ratio for each of the 3 years of the grant. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Initial and option year awards are issued annually, without recompetition, through the five-year grant term to compliant centers. Method of awarding/releasing assistance: other

Reports:

Information available at <https://www.sba.gov/about-sba/organization/performance>. Information available at <https://www.sba.gov/document/report--agency-financial-report>. Information available at <https://www.sba.gov/document/report--agency-financial-report>. Information available at <https://www.sba.gov/about-sba/organization/performance>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Applicants must follow the requirements as listed in 2 CFR Chapter 1, Chapter II, Part 200, et al.

Records:

The awardee shall maintain and submit detailed, complete and accurate client activity records to reflect clearly the nature and variety of services provided.

Financial records must be kept until three years after the completion and submission of the final report.

Account Identification:

73-0400-0-1-376.

Obligations:

Project Grants FY 20 \$18,105,000.00; FY 21 est \$23,000,000.00; FY 22 est \$26,000,000.00;

Range and Average of Financial Assistance:

\$150,000 is the maximum grant amount, additional information available at <https://www.gov/offices/headquarters/wbo>

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular 2 CFR 200.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations at <https://www.sba.gov/local-assistance>.

Headquarters Office:

Deputy Assistant Administrator Donald Malcolm Smith,
409 3rd St., SW6th Floor,
Washington DC 20416
Email: donald.smith@sba.gov
Phone: 202-205-7279

Website Address:

<http://www.sba.gov/women>

RELATED PROGRAMS:

59.037 Small Business Development Centers; 59.026 Service Corps of Retired Executives;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As stated in each solicitation for proposals, but including: B. EVALUATION CRITERIA FOR WBC APPLICANT TECHNICAL PROPOSAL a. Mission Alignment and Experience (up to 10 points), b. Organizational Experience and Capacity (up to 15 points), c. Market Assessment for Services Provided (up to 15 points), d. Project Objectives and Milestone (up to 20 points), e. Plans for Marketing and Collaboration with SBA and Other Community and Small Business Organizations (up to 10 points), f. Sources and Leverage of other Funds (up to 5 points), g. Financial Management Capability and Confirmation of Match (up to 15 points), h. Program Evaluation and Economic Impact (up to 5 points), and i. Technological Capabilities (up to 5 points).

59.044 Veterans Outreach Program

Veterans Business Outreach Center Program (VBOC).

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 8(b)(17) (15 U.S.C. 637(b)(17)),

OBJECTIVES:

In accordance with Public Law 110-186, the organizations will provide information on small business ownership to service members and military spouses by facilitating and instructing the U.S. Small Business Administrations Introduction to Entrepreneurship known as Boots to Business which is a course offered within the Department of Defense Transition Assistance Program (TAP). Additionally, these organizations will provide counseling, training, technical and financial skill development, comprehensive business assessments and mentoring to veteran, service disabled veteran, active duty, Reserve, Guard, and military spouse/survivor entrepreneurs and small business owners interested in starting new or

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expanding/diversifying established small businesses.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

To establish Veteran Business Outreach Centers (VBOC) for the benefit of small business concerns and potential small business concerns owned and controlled by veterans, active duty service members, Guard & Reserve members and military spouses.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Eligible applicants may be education institutions, private businesses, veterans nonprofit community-based organizations, and Federal, State, local and tribal government agencies.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; First beneficiaries are eligible veterans, active duty service members, Guard & Reserve members and military spouses who seek to start and manage a small business; second beneficiaries are all others.

Credentials/Documentation:

Credentials and documentation can be found on the annual program announcement. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants will submit proposals based on a request for proposal (RFP). The RFP may request that the applicant apply for a cooperative agreement. This will be made clear at the time of the RFP is issued. Instructions for applying will be contained in the RFP document.

Award Procedure:

The proposal will be subject to an independent panel review. The elements of the panel review will include a review of the technical and cost proposals, each under a separate cover.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Usually within 30 to 90 days after closing date of the RFP.

Appeals:

Other. In accordance with FAR Part 33 if a contract; or not applicable if a grant.

Renewals:

Other. Subject to the exercise of option years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Usually not to exceed 12 months for the basic effort and four option years. Funds are made available on a cost reimbursement basis. Method of awarding/releasing assistance: other

Reports:

Additional detail provided on SBA's website at sba.gov/ovbd.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 When necessary, on a case-by-case basis.

Records:

The recipient should be prepared to maintain detailed, complete, and accurate client activity records of a sufficiency to reflect clearly the nature and variety of the services provided. Financial records must be maintained until 3 years after the completion of the grant project or submission of the final report, whichever is later. The recipient should be prepared to participate in the development of a program-wide intranet system.

Account Identification:

73-0400-0-1-376.

Obligations:

Project Grants FY 20 \$9,937,500.00; FY 21 est \$9,271,861.00; FY 22 est \$14,271,600.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Federal agency for more information.

Regional or Local Office:

See Regional Assistance Locations. For addresses of the SBA field offices, see Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Deputy AA Raymond Milano,
409 3rd St., SW5th Floor,
Washington DC 20416
Email: raymond.milano@sba.gov
Phone: 202-205-6777

Website Address:

<http://www.sba.gov/ovbd>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The technical proposal will consist of four sections: (1) Mission Experience, (2) Organizational Experience & Capacity, (3) SBA Resource Partner Collaboration, (4) Market Assessment, (5) Project Objectives and Performance Metrics, (6) Innovation and (7) Financial Management Capability. The cost proposal will be evaluated based on reasonableness of cost and compliance with OMB Circulars A-110 or A-112. Additionally the cost proposal must contain (1) a comprehensive development plan, (2) budget preparation, and (3) expenditures for fundraising activities may not be charged as a cost item and demonstration of adequate community based resources to achieve matching formula for nonfederal funds.

59.046 Microloan Program

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 7(m) (15 U.S.C. 636(m)), , Public Law 105-135

OBJECTIVES:

To assist women, low-income, and minority entrepreneurs, business owners, and other individuals possessing the capability to operate successful business concerns and to assist small business concerns in those areas suffering from a lack of credit

ASSISTANCE LISTINGS DESCRIPTIONS

due to economic downturns. Under the Program, the Small Business Administration (SBA) will make loans or provide guaranties to private, non-profit, and quasi-governmental organizations (intermediary lenders) that will use the loan funds to make short-term, fixed interest rate microloans in amounts up to \$50,000 to start-up, newly established, and growing small business concerns. These microloans are to be used exclusively for working capital, inventory, supplies, furniture, fixtures, machinery, and/or equipment. In addition, the SBA will make grants to participating intermediary lenders to provide marketing, management, and technical assistance to prospective borrowers and borrowers receiving microloans. Under the Program, SBA will also provide training for intermediary lenders participating in the Program

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Direct Loans, Formula Grants

USES AND USE RESTRICTIONS:

Loans to intermediaries with terms and restrictions as provided in Public Laws 102-140, 102-366 and 105-135 and subsequent regulations as published in the Federal Register.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; An applicant is considered eligible to apply if it meets the definition of an intermediary lender as published in program materials, 13 CFR, and PL 102-140, and meets published minimum experience and capability requirements.

Beneficiary Eligibility:

Minority group; Small business; Private nonprofit institution/organization; Quasi-public nonprofit organization; Small Business Person; Women; Public nonprofit institution/organization; Small businesses, minority entrepreneurs, nonprofit entities, business owners, women and low-income, and other individuals possessing the capability to operate successful business concerns.

Credentials/Documentation:

An entity may apply to SBA to become an Intermediary lender. A small business may apply to an intermediary for a microloan. Small business seeking funding must: (1) Meet SBA size standard requirements as defined in Chapter 13 of the U.S. Code of Federal Regulations; (2) meet type of business requirements as published by SBA; and (3) meet lending requirements of local intermediary lenders. This program is covered under 2 CFR 200, Subpart E - Cost Principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Microloan Borrowers: Apply directly to intermediary lenders. Intermediary Lenders: Apply to the SBA Office of Financial Assistance, Microenterprise Development. Decision prior to deadlines and according to established procedures.

Award Procedure:

Intermediaries are notified by SBA. Microborrowers are notified by the intermediaries.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Loan applicants can expect an answer from the SBA approved intermediary lender within 15 days from the date of application acceptance.

Appeals:

Other. Decisions on the part of the SBA are final. SBA will not become involved in appeals by microborrowers to intermediary lenders except in the case of suspected violation of Federal regulations.

Renewals:

Other. Based on performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Intermediaries must match 15% of the amount of all loans from SBA under the Microloan Program. Intermediaries must also match 25% of the amount of all grants under the Microloan Program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum life of a loan to an intermediary lender is 10 years. Grants award amounts are based on the total outstanding balance of loans made to the intermediary lender by SBA and by the annual microloan production of the intermediary lender. See the following for information on how assistance is awarded/released: Additional detail available at

<https://www.sba.gov/sites/default/files/2018-05/Microloan%20SOP%2052%2000%20B.pdf> Method of awarding/releasing assistance: other

Reports:

Additional detail available at <https://www.sba.gov/sites/default/files/2018-05/Microloan%20SOP%2052%2000%20B.pdf> and at SBA's website at www.sba.gov. Information available at www.sba.gov. See information at www.sba.gov. Additional detail available at SBA's website at www.sba.gov. Information available at SBA's website at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Required annually.

Records:

Systems sufficient to generate accurate and acceptable reports.

Account Identification:

73-1154-0-1-376.

Obligations:

Direct Loans FY 20 \$54,685,950.00; FY 21 est \$50,400,000.00; FY 22 est \$50,400,000.00; Advisory Services and Counseling FY 20 \$34,636,255.00; FY 21 est \$54,600,000.00; FY 22 est \$54,600,000.00;

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

73-1154.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the SBA Office of Financial Assistance, Microenterprise Development Division, 409 3rd Street S.W., Mail Code 7881, Washington, DC 20416.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Chief Microenterprise Development Division - Daniel Upham,
409 3rd St., SW8th Floor Office of Economic Opportunity/Microenterprise
Development Division,
Washington DC 20416
Email: daniel.upham@sba.gov

Phone: 202-205-7001

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

59.011 Small Business Investment Companies; 59.012 7(a) Loan Guarantees; 59.041 504 Certified Development Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated individually. Each is rated on its own merits or, in the case of an affiliated group, on the merits of each of the organizational parts that make up the whole. Qualitative and quantitative information regarding the applicant is reviewed. Criteria include but may not be limited to: (1) Experience level of Organizational Officers, Directors and personnel to be active in the Microloan Program operation; (2) Financial strength of the applicant organization; (3) Knowledge of the local economy; (4) Lending/loan performance; (5) Current and proposed technical assistance program; (6) Current and Proposed market to be served; (6) Availability and probability of matching contributions; and (7) Information submitted in the grant application package.

59.050 Prime Technical Assistance

(Prime).

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Riegle Community Development and Regulatory Improvement Act of 1994, Public Law 106-102 (15 U.S.C. 6901 et seq.) Law 106-102 (15 USC 6901 et seq.), Public Law 106-102

OBJECTIVES:

To increase the number of microenterprises and to enhance the management capability of microentrepreneurs in starting, expanding and/or growing their business; to provide training and capacity building services to enhance existing Microenterprise Development Organizations (MDOs) and expand the universe of MDOs that provide microenterprise development training programs and services; and to fund research and development of best practices for training and technical assistance consistent with the PRIME Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The recipients of the services provided by the grantees are those persons/businesses who satisfy the eligibility criteria as defined in the Act.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Grant recipients shall be non-profit microenterprise development organizations or programs (or a collaborative thereof) that have a demonstrated record of delivering microenterprise services to disadvantaged entrepreneurs, an intermediary, a microenterprise development organization or program that is accountable to a local community, working in conjunction with a State or local government or Indian tribe, or an Indian tribe acting on its' own, if the tribe can certify that no private organization or program referred to in this paragraph exists within its' jurisdiction.

Beneficiary Eligibility:

Small business; Anyone/general public; Small Business Person; Disadvantaged entrepreneurs, microenterprises, and microenterprise development organizations as defined in the Act.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Subject to the availability of funds, SBA will issue a Notice of Funds Availability (NOFA) soliciting responses from qualified organizations for the services to be provided under the Act.

Award Procedure:

Successful offerors will be notified of a decision by letter from the Office of

Financial Assistance, Microenterprise Development Division.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 60 to 90 days.

Appeals:

Other. Additional information available at SBA's website at www.sba.gov.

Renewals:

Other. The period of performance for grants awarded under the Act is for one year with four twelve month options exercised at the discretion of the government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Grant recipients must meet matching funds requirement that is 50% of the Federal award amount. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See information at www.sba.gov. Method of awarding/releasing assistance: other

Reports:

See information at www.sba.gov. Additional details available on SBA's website at www.sba.gov. Information available at www.sba.gov. See information at www.sba.gov. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 SBA reserves the right to perform pre- and post award audits. Grant recipients are required to provide audited financial statements on an annual basis.

Records:

Grant recipients are required to collect and maintain data on recipients of technical assistance which shall be included in the grant recipient's performance reports.

Account Identification:

73-0400-0-1-376.

Obligations:

Project Grants FY 20 \$5,559,000.00; FY 21 est \$5,500,000.00; FY 22 est \$12,500,000.00;

Range and Average of Financial Assistance:

No data available

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations are available in 13 CFR.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Director Daniel Upham, Chief of Microenterprise Development Division,
409 3rd St., SW8th Floor,
Washington, DC DC 20416
Email: daniel.upham@sba.gov
Phone: 202-205-7001

Website Address:

<http://www.sba.gov/>

RELATED PROGRAMS:

59.006 8(a) Business Development Program; 59.037 Small Business Development Centers; 59.043 Women's Business Ownership Assistance; 59.044 Veterans Business Development; 59.052 Native American Outreach; 59.046 Microloan Program;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Past performance, approach, personnel, and cost.

59.053 Ombudsman and Regulatory Fairness Boards

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 30 (15 U.S.C. 657), , Public Law 104-121

OBJECTIVES:

To assure equity and fairness in federal regulation of small business by receiving comments from small businesses, small non-profit organizations or small government entities (representing less than 50,000 people) regarding regulatory compliance and enforcement involving Federal Agencies or Agency employees; to ease undue compliance burdens for small business by: (a) facilitating independent, high-level Agency reviews of compliance or enforcement activities against small businesses and (b) promoting access to compliance assistance resources available from Federal Agencies.

TYPES OF ASSISTANCE:

Investigation of Complaints

USES AND USE RESTRICTIONS:

Comments received by the Office of the National Ombudsman are confidential and when authorized by the commenter, they are forwarded to the appropriate senior level Federal Agency Personnel to obtain a timely review and response to an excessive and/or unfair Federal regulatory issue. Public Regulatory Fairness Hearings and other outreach events are held throughout the U.S. to receive testimony and commentary from small entities about unfair and/or excessive Federal regulatory enforcement actions and burdensome regulations. Cases are filed online, by fax, mail or personal delivery.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Small businesses, small nonprofit organizations or small government entities (representing less than 50,000 people).

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; The Ombudsman's actions assist those who individually seek assistance as well as other similarly-situated small entities.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit SBA Form 1993.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. As needed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: other

Reports:

Additional information available on SBA's website at sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Standard audit procedures. This program does not make Federal awards.

Records:

Not applicable.

Account Identification:

73-0100-0-1-376.

Obligations:

Investigation of Complaints FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Additional information available at <http://www.sba.gov/ombudsman>.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Case Management Specialist Elahe Zahirieh,
409 3rd Street, SW3rd Floor,
Washington, DC DC 20416
Email: elahe.zahirieh@sba.gov
Phone: 202-205-6499

Website Address:

<http://www.sba.gov/ombudsman>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.054 7(a)Export Loan Guarantees

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 7(a)(16) (15 U.S.C. 636(a)(16)), , Public Law 97-35

OBJECTIVES:

To aid and assist small-business to increase their ability to compete in international markets by enhancing their ability to export; facilitating technology transfers; enhancing their ability to complete effectively and efficiently against imports; increasing the access of small businesses to long-term capital for the purchase of

ASSISTANCE LISTINGS DESCRIPTIONS

new plant and equipment used in the production of goods and services involved in international trade; disseminate information concerning, State, Federal, and private programs and initiatives to enhance the ability of small businesses to compete in international Markets; and ensure that the interests of small businesses are adequately represented in bilateral and multilateral negotiations.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

To construct, expand, or convert facilities; to purchase building equipment or materials; inventory and for export working capital.

Applicant Eligibility:

Small business (less than 500 employees); A small business is eligible, which is independently owned and operated and not dominant in its field. They also need to meet the SBA size standards.

Beneficiary Eligibility:

Small business; Small businesses, including those owned by low-income and handicapped individuals located in high unemployment areas.

Credentials/Documentation:

Statement of personal history, personal financial statement, company financial statements, summary of collateral and a resume. Loan must be of such sound value or so secured as to reasonably assure repayment. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for guarantees are submitted by the participating lender to SBA electronically.

Award Procedure:

SBA provides approval notification to participating lender who provides a commitment letter and loan to the applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 1 to 10 days from date of receipt of a complete application, depending on type of loan and type of lender program.

Appeals:

Other. If re-consideration is requested within 6 months after decline or withdrawal, no new application is required.

Renewals:

Other. Additional information available on SBA's website at www.sba.gov.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Information available at www.sba.gov. Method of awarding/releasing assistance: other

Reports:

Please see Program Performance Reports at SBA's website at <https://www.sba.gov/about-sba/sba-performance/performance-budget-finance/small-business-administration-sba-loan-program-performance>. Information available at www.sba.gov. See information at www.sba.gov. Additional information available on SBA's website at www.sba.gov. Information available at www.sba.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 When necessary on a case-by-case basis.

Records:

Adequate accounting system.

Account Identification:

73-1154-0-1-376.

Obligations:

Guaranteed/Insured Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Additional information available on SBA's website at www.sba.gov.

TAFS Codes:

73-1154.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBA Business Loans from the SBA.

Regional or Local Office:

See Regional Assistance Locations. For reference to the U.S. Export Assistance Centers, see Service Centers in Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Financial Analyst David Vidal,
409 3rd St., SW8th Floor,
Washington DC 20416
Email: David.Vidal@sba.gov
Phone: 202-205-7119

Website Address:

<http://www.sba.gov/oit>

RELATED PROGRAMS:

59.043 Women's Business Ownership Assistance; 59.044 Veterans Business Development; 59.037 Small Business Development Centers; 59.052 Native American Outreach; 59.006 8(a) Business Development Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.058 Federal and State Technology Partnership Program

Federal and State Technology (FAST) Partnership Program,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 34 (15 U.S.C. 657d), Section 34, Public Law 116-93, 15 USC Code 657d.

OBJECTIVES:

The purpose of the FAST program is to strengthen the technological competitiveness of small business concerns in the U.S.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Federal and State Technology (FAST) Partnership Program provides one-year funding to organizations to execute state/regional programs that increase the number of SBIR/STTR proposals leading to an increase in the number of SBIR/STTR awards. As set forth in the Small Business Act (Rev.13) FAST awards build the SBIR/STTR ecosystem through: 1. Outreach: increase the pipeline of possible applicants (see SBIR authorization language for explicit direction to increase the participation of women, socially/economically disadvantaged individuals, and small businesses in underrepresented areas - typically rural states); and build the capacity of partner organizations and individuals to refer possible SBIR/STTR applicants through train-the-trainer activities. 2. Technical and business assistance: support new business formation through programs or services

ASSISTANCE LISTINGS DESCRIPTIONS

that improve proposal development and team creation; provide assistance that leads to the commercialization of technology developed through SBIR/STTR program funding; and form or encourage relevant mentoring networks to provide business advice and counseling. 3. Financial support: make grants or loans to applicants to pay a portion or all the cost of developing SBIR/STTR proposals, attending relevant conferences, and bridging gaps between phases.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Not applicable

Beneficiary Eligibility:

Small business; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Scientist/Researchers; Small Business Person; State; Local; Public nonprofit institution/organization; Other public institution/organization; Not applicable

Credentials/Documentation:

Not more than one proposal may be submitted for inclusion in the FAST program to provide services in any one State in any one fiscal year. Proposals and applications for assistance shall be in such form and subject to such procedures as the Administrator shall establish. Awards and cooperative agreements shall be made or entered into, as applicable, on a competitive basis. The awardees have a one-year period of performance and there is a matching non-Federal share of the cost of the activity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Complete proposals are submitted, reviewed and evaluated from a cost and technical perspective, based on the rating of these proposals by a panel of technical experts awards are granted.

Deadlines:

See <http://www.sbir.gov/about-fast> for application deadlines."

Range of Approval/Disapproval Time:

From 120 to 180 days. Not Applicable

Appeals:

Not Applicable.

Renewals:

Other. Awards are made for a base project period of 12 months plus two option periods of 12 months each

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Three tiers ranging from 50 percent to 100 percent. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding for program is 36 months with three 12-month budget periods. Awards will be made for a base project period of 12 months, plus two continuation periods of 12 months each, if eligible. Method of awarding/releasing assistance: Financial assistance is received following performance of certain activities. Method of awarding/releasing assistance: other

Reports:

Quarterly Reports. Quarterly Reports. Quarterly Reports.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Quarterly reports referenced above must be submitted to SBA and these reports must be retained by the recipient for a period of time as identified by SBA.

Account Identification:

73-0100-0-1-376.

Obligations:

Cooperative Agreements FY 20 \$3,000,000.00; FY 21 est \$4,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Up to 32 awards.

TAFS Codes:

73-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See information at <http://www.sbir.gov>

Regional or Local Office:

None. See information at <http://www.sbir.gov>

Headquarters Office:

Program Analyst Office of Innovation and Technology,
409 3rd Street, SW6th Floor,
Washington, DC DC 20416
Email: FAST@sba.gov
Phone: 202-710-5163

Website Address:

<http://www.sbir.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See <http://www.sbir.gov/about-fast>.

59.061 State Trade Expansion

State Trade Expansion Program,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 22(l) (15 U.S.C. 649(l)), Section 1207, Public Law 111-240

OBJECTIVES:

Grants made to state governments to increase the number of small businesses that are exporting and increase the value of exports for those small businesses that export.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are restricted to export promotion activities that assist eligible small business concerns.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; States, defined as the 50 states, District of Columbia, Puerto Rico, US Virgin Islands, Guam, American Samoa, and the Commonwealth of Northern Mariana Islands.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Small business; Small Business Person; None.

Credentials/Documentation:

Documentation must include designation by the State Governor or his/her designee that the applicant is the sole entity responsible for conducting the state's export activities. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The proposal must be submitted via grants.gov.

Award Procedure:

SBA Headquarters executes grants to approved state applicants. Refer to STEP Program Announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Prior to the end of the Federal fiscal year (i.e., September 30th).

Appeals:

Other. Discretionary awards; no appeal opportunity.

Renewals:

Other. Eligible applicants may apply each year of the program.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The 10 states with the highest percentage of exporters that are small business concerns based upon the latest data available from the Department of Commerce shall receive no more than 40 percent of the total Federal Fiscal year appropriation. The Federal Share of project cost for a State that has a high export volume will be 65%. The Federal share of project cost for a State that does not have a high export volume will be 75%. (The Associate Administrator for the SBA Office of International Trade will determine which States are high volume). The State must match the remainder of project cost. The match must be comprised of not less than 50% cash and not more than 50% of in-kind contributions. Matching funds may not be derived from any Federal program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are limited to 2 years. Method of awarding/releasing assistance: other

Reports:

Reference STEP Program Announcement and notice of award document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The legislation requires SBA's Office of Inspector General (OIG) to conduct a review that determines the extent the recipients of grants are measuring the performance of activities, the results of the measurements, and the overall management and effectiveness of the program.

Records:

The SBA/Office of International Trade shall maintain detailed, complete, and accurate activity records of sufficiency to reflect clearly the nature and variety of State Trade Expansion Program trade promotion activities that are conducted to benefit small businesses. Financial records will be maintained until 3 years after the completion of the grant period.

Account Identification:

73-0400-0-1-376.

Obligations:

Cooperative Agreements FY 20 \$19,000,000.00; FY 21 est \$19,500,000.00; FY

22 est \$19,500,000.00;

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reference STEP Program Announcement

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Program Analyst James Parker, Director, STEP,
409 3rd St., SW2nd Floor,
Washington, DC DC 20416
Email: James.Parker@sba.gov

Phone: 202-205-3644

Website Address:

<http://www.sba.gov/step>

RELATED PROGRAMS:

59.037 Small Business Development Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are competitive. Selection is made based on the eligible applicants written ability to meet the criteria stated in the program announcement in a merit-based, competitive award process with other eligible applicants; and the applicants ability to match funds.

59.062 Intermediary Loan Program

(ILP).

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 7(l) (15 U.S.C. 636(l)), Title 13, Part 109, Section 1131, Public Law 111-240

OBJECTIVES:

Three year pilot program in which SBA made direct loans of up to \$1 million at an interest rate of 1 percent to up to 20 nonprofit lending intermediaries each year, subject to the availability of funds. Term of ILP loans is for a maximum period of 20 years with deferred payments for the first two years. Intermediaries use the ILP loan funds to make loans of up to \$200,000 to startup, newly established, or growing small business concerns. No small business (including affiliates) may have more than \$200,000 outstanding under this program at one time.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Loans shall be made for startup, newly established, and growing small business concerns for working capital, real estate, and the acquisition of materials, supplies, furniture, fixtures, and equipment. \$20 million was set aside for this program each in FY 2011 and FY 2012. Three year pilot program in which SBA made direct loans of up to \$1 million at an interest rate of 1 percent of up to 20 nonprofit lending intermediaries each year, subject to the availability of funds. Term of loans is for a maximum period of 20 years with deferred payments for the first two years. No ILP loan was made to an eligible intermediary if the total amount of outstanding and committed to the eligible intermediary would, as a result of the loan, exceed \$1 million during the participation of the eligible intermediary in the program.

Applicant Eligibility:

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Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants to the pilot program were required to be a private nonprofit entity with not less than one year of experience of making loans to startups, newly established or growing small businesses. The applicant must have directly funded the loans and not simply provided referrals to loans made by another entity. If an applicant is made up of a consortium of organizations, each member of the consortium must be individually eligible. Intermediaries that participate in SBA's microloan program were not eligible to become ILP intermediaries. However, affiliates of microloan intermediaries may have applied. Refer to Federal Register Notice Vol. 76, No. 63, dated Friday, April 1, 2011 starting on page 18007 for additional information.

Beneficiary Eligibility:

Minority group; Small business; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Sponsored organization; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Federally Recognized Indian Tribal Governments; Not necessary

Credentials/Documentation:

Generally applicants submitted an application which included financial statements, certificate of good standing, resumes of individuals associated with the organization, business plan, at least one year experience of making small business loans \$50,000 to \$200,000, documentation supporting non-profit status, and plan for distributing loan funds within two years. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Refer to Federal Register Notice Vol. 76, No. 63, dated Friday, April 1, 2011 starting on page 18007.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Refer to Federal Register Notice Vol. 76, No. 63, dated Friday, April 1, 2011 starting on page 18007.

Appeals:

Not Applicable. Not applicable

Renewals:

Not Applicable. Only one award of \$1 million was available to each intermediary.

Formula and Matching Requirements:

Statutory Formula: Title Small Business Administration, Chapter 13, Part 109, Subpart ,Public Law P.L. 111--240.Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All funds must be disbursed within the first two years of award. Program was funded through FY 2012. Method of awarding/releasing assistance: other

Reports:

Quarterly ILP program activity reports accompanied with bank statements. Audited financial statements Portfolio identification reports that must be submitted within 7 days of closing of the loan. Portfolio status reports that update payments and balance information on the ILP's portfolio. Reports for any changes to the ILP's intermediary organization or financing.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Each ILP must submit audited financial statement annually.

Records:

Refer to Federal Register Notice Vol. 76, No. 63, dated Friday, April 1, 2011 starting on page 18007.

Account Identification:

73-1154-0-1-376.

Obligations:

Direct Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$1 million each for 20 ILP's with average of \$1 million

TAFS Codes:

73-1154.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See www.sba.gov

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog of Federal Domestic Assistance under Small Business Administration.

Headquarters Office:

Deputy Chief James Webb, Microenterprise Development Division, 409 3rd Street, SW8th Floor, Washington, DC DC 20416
Email: James.Webb@sba.gov

Phone: 202-619-0628

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This program is no longer accepting proposals. This program has not been funded since 2012. Information available at www.sba.gov

59.065 Growth Accelerator Fund Competition

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science Reauthorization Act of 2010 ("AmericaCOMPETES Reauthorization Act of 2010").

OBJECTIVES:

Growth accelerators are organizations that help entrepreneurs start and scale their businesses. Typically run by experienced entrepreneurs, accelerators help small businesses access seed capital, mentors, counseling, overhead services and networking opportunities. These organizations help accelerate a startup company's path towards success with targeted advice on revenue growth, job growth and sourcing outside funding. Accelerators also help foster an inclusive entrepreneurial ecosystem by stimulating entrepreneurship outside of traditional startup hubs. SBA Growth Accelerators provide focused assistance to STEM/R&D entrepreneurs to start and scale their businesses. Typically run by experienced entrepreneurs, accelerators help small businesses access seed capital, mentors, counseling, overhead services and networking opportunities. These organizations help

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accelerate a startup company's path towards success with targeted advice on revenue growth, job growth and sourcing outside funding. Accelerators also help foster an inclusive entrepreneurial ecosystem by stimulating entrepreneurship outside of traditional startup hubs. Applicants in the 2021 Competition focused their proposed efforts on one of the following groups: women entrepreneurs, minority entrepreneurs, or other target entrepreneurs identified by applicants (ie. rural, veterans, individuals with disabilities, etc.).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Award funds are intended for accelerators and other entrepreneurial ecosystem models to fund operating budgets, not for direct investing in the entrepreneurs small businesses. For the purposes of this competition, Growth Accelerators include accelerators, incubators, co-working startup communities, or other models to accomplish similar goals. Consult the current funding opportunities, guidelines, or program announcements for complete information (www.sba.gov/accelerators).

Applicant Eligibility:

Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); This competition is open to citizens or permanent residents of the United States who are at least eighteen (18) years of age at the time of their submission of an entry (or teams of such individuals); and private entities, such as corporations or other organizations, both for profit and nonprofit, that are incorporated or organized in and maintain a primary place of business in the United States. Individuals submitting on behalf of corporations, nonprofits, or other organizations or groups of individuals (such as an academic class or other team) must meet the eligibility requirements for individual Contestants. Consult www.sba.gov/accelerators and www.sbir.gov/accelerators for further information.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

An SBA Growth Accelerator Fund Application must be completed online. Additional documentation may be included at the applicants discretion. Awardees must be registered as an entity through www.SAM.gov and have an active account. Consult www.sbir.gov/accelerators for further information on accessing the application. This program is excluded from coverage under OMB Circular No. A-87 This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under OMB Circular A-102. This program is excluded from coverage under OMB Circular A-110. An SBA Growth Accelerator Fund Application must be completed online. Consult www.sbir.gov/accelerators for further information on accessing the application.

Award Procedure:

Applicant is notified by an SBA official of award by letter or email. Awardees must register through www.sam.gov as an entity with an active account to receive award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Variable: Generally, 90 days from close of application period.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No required period of performance. One disbursement via the Automated Clearing House (ACH). Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

This program is excluded from coverage under OMB Circular No. A-133.

Account Identification:

73-0400-0-1-376.

Obligations:

Direct Payments for Specified Use FY 20 \$400,000.00; FY 21 est \$4,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Depending on award year, \$1,000,000 to \$4,400,000 cumulative awards; \$50,000 for individual awards.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Consult www.sbir.gov/accelerators.

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Office of Innovation and Technology,
409 3rd St., SW6th Floor,
Washington DC 20416
Email: accelerators@sba.gov
Phone: 202-710-5163

Website Address:

<http://www.sbir.gov/accelerators>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Consult www.sbir.gov/accelerators.

59.066 Transition Assistance Entrepreneurship Track (Boots to Business)

Boots to Business,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

15 U.S.C. 637(b)(1)(A) and (b)(17) and 648 (Section 8(b)(1)(A) and (b)(17) and Section 21 of the Small Business Act),

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

Boots to Business (B2B) grant/cooperative agreement funding enables eligible organizations to provide follow-on online entrepreneurship training, information and resources, and domestic and global delivery of the B2B two-day Introduction to Entrepreneurship classroom course to transitioning service members, spouses and family members, and veterans.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Cooperative Agreements

USES AND USE RESTRICTIONS:

To fund eligible organizations in providing online follow-on entrepreneurship training to B2B graduates and to deliver the two-day B2B Introduction to Entrepreneurship course at state-side overseas installations.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants may be a non-profit organization, a state, local, or tribal government agency, an institution of higher learning, a for-profit organization, or collaboration between such entities.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Veteran/Service person/Reservist (including dependents; First beneficiaries are eligible transitioning service members, spouses and family members, and veterans, as defined by DOD policy

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants submit using standard agency process administered via grants.gov

Award Procedure:

Funding opportunity released to public via grants.gov. Proposals submitted and Proposals evaluated by independent panel review. The elements of the panel review include a review of the technical and cost proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Usually From 30 to 960 days after closing date of RFP

Appeals:

Not Applicable.

Renewals:

Other. Subject to the exercise of option years

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Usually not to exceed 12 months for the basic effort and four option periods of 12 months each. Funds are made available on a cost reimbursement basis. See the following for information on how assistance is awarded on SBAs website at www.sba.gov/ovbd. Method of awarding/releasing assistance: quarterly

Reports:

Additional detail provided on SBAs website at www.sba.gov/ovbd. Progress reports and budget reports are required. Cash reports are not applicable. When necessary, on a case-by-case basis. SF424 Government monitors performance through day-to-day management relationship with grantee; grants are cooperative

agreements so substantial involvement of government is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 When necessary, on a case-by-case basis.

Records:

The recipient(s) should be prepared to maintain detailed, complete, and accurate records to reflect clearly the nature and variety of the services provided. Financial records must be maintained until 3 years after the completion of the grant project or submission of the final report, whichever is later. The recipient(s) should be prepared to participate in the development of a program-wide customer relationship management (CRM) system.

Account Identification:

73-0400-0-1-376.

Obligations:

Advisory Services and Counseling FY 20 \$4,686,500.00; FY 21 est \$4,728,139.00; FY 22 est \$4,728,500.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Federal agency for more information.

Regional or Local Office:

Headquarters Office:

Programs Director Dena Moglia,
409 3rd St., SW5th Floor,
Washington DC 20416
Email: Dena.Moglia@sba.gov
Phone: 202-205-7034

Website Address:

<http://www.sba.gov/bootstobusiness>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The technical proposal will consist of five weighted sections: (1) Project Summary and Approach; (2) Programmatic Capability; (3) Entrepreneurial Development or Economic Results Outputs, Outcomes and Measures; (4) Leveraging of Resources; and (5) Risk Management and Budget. The cost proposal will be evaluated based on reasonableness of cost and compliance with OMB Circulars A-110 or A-112.

59.071 Makerspace Training, Collaboration, and Hiring (MaTCH)

Entrepreneurship Education,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Small Business Act, Section 8(b)(1)(B) (15 U.S.C. 637(b)(1)(B)), Title Small Business Act, Part 8, Section 1(b)

OBJECTIVES:

The goal of the Competition, upon successful completion of the training program, is to have all program graduates immediately placed in positions with previously

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identified employers that need skilled labor and have committed to employing a set number of program graduates.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Appropriated funds will be used for the cash awards. Each of the awards will be disbursed in a series of four (4) payments.

Applicant Eligibility:

Anyone/general public; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; This Competition is open only to: (1) citizens or permanent residents of the United States who are at least eighteen (18) years of age at the time of entry submission (or teams of such individuals); and (2) private entities, such as corporations or other organizations, both for profit and nonprofit, that are incorporated or organized in and maintain a primary place of business in the United States. To be considered for selection, a proposed solution must leverage an existing Makerspace to provide vocational training programs (including job specific skills and soft skills training) that meet the minimum standards of the specific trade and, to the extent feasible, any required industry or trade certifications, in addition to targeted job placement of all program graduates in local businesses.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Register at the Competition website. Registration will only be open between May 21, 2019, and July 8, 2019.

Award Procedure:

Judges selected by SBA will select the winners. Appropriated funds will be used for the cash awards. Each of the awards will be disbursed in a series of four (4) payments.

Deadlines:

Submission Period: 12:01 am EST, May 21, 2019 to 11:59 pm ET, July 8, 2019. Screening, Vetting and Judging Period: July 9, 2019- August 5, 2019; Winners Announced: on or about August 6, 2019.

Range of Approval/Disapproval Time:

From 15 to 30 days. Submission Period: 12:01 am EST, May 21, 2019 to 11:59 pm ET, July 8, 2019. Screening, Vetting and Judging Period: July 9, 2019- August 5, 2019. Winners Announced: on or about August 6, 2019.

Appeals:

From 15 to 30 days. Ultimately, a Judging Panel will rate the submissions based on the criteria and requirements specified in these Competition Rules. The decision of the Judges will be announced on the Competition website on or about August 6, 2019.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Submission Period: May 21, 2019 to July 8, 2019 ; Entry Review and Judging Period: July 9, 2019 - August 5, 2019. First payment. Forty (40) percent of the

winners total prize amount will be disbursed upon award; The second payment and all subsequent payments will be forfeited if the winner does not satisfy this requirement within the specified 120-day time frame; The third payment and all subsequent payments will be forfeited if the winner does not satisfy this requirement within the specified 150-day time frame; The fourth payment will be forfeited if the winner does not satisfy this requirement within the specified 180-day time frame. Method of awarding/releasing assistance: other

Reports:

Second payment. Twenty-five (25) percent of the winners total prize amount will be disbursed after program trainees have completed the training program and received any pertinent certifications, and the winner has provided documentary evidence of such, satisfactory to SBA. Third payment. Twenty-five (25) percent of the winners total prize amount will be disbursed once program graduates have been employed by a targeted business(es) consistent with the representations in winners submission, and the winner has provided documentary evidence of such satisfactory to SBA. Fourth and final payment. The remaining ten (10) percent of the total prize amount will be disbursed once the winner submits to SBA a written assessment satisfactory to SBA that includes, but is not limited to, the outcomes and outputs of the training program as measured by the metrics outlined in their challenge application, a summary of any lessons learned and best practices, and suggestions for any improvements to the design or implementation of similar competitions in the future.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

73-0400-0-1-376.

Obligations:

Project Grants (Contracts) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 2019: The Competition will award up to \$1 million in total prizes, based on the following tiered approach. up to \$25,000 will be awarded to seed small workforce development programs; up to \$100,000 will be awarded to grow programs that have a proven program with a history of training and/or workforce development.; up to \$200,000 will be awarded for capacity building of existing successful programs with a strong history of training and/or workforce development.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Nathaniel Bishop,
409 3rd Street SW,
Washington DC 20416
Email: NVBishop@sba.gov
Phone: 202-205-7007
Fax:

Website Address:

<https://www.sba.gov/match>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All submissions will be vetted and screened by SBA for Contestant eligibility and completeness of the submission. The Judging Panel will choose as winners those

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submissions that, in the Judging Panels sole judgment, best satisfy the Competition criteria and present the greatest potential for success. In addition to reviewing the applications for the individual merit of their proposals, judges may also take into account the geographic location and dispersal of Contestants, the extension of services to underserved populations, industry diversity, the risk posed by the Contestants organization, and other similar considerations when selecting winners.

59.072 Economic Injury Disaster Loan Emergency Advance

Emergency Federal Financial Assistance; Disaster Prevention and Relief,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Public Law 116-136, Public Law 116-260

OBJECTIVES:

In response to the Coronavirus (COVID-19) pandemic, small business owners in all US states, Washington, DC and territories are eligible to apply for an Economic Injury Disaster Loan Advance of up to \$10,000. This advance will provide economic relief to businesses that are currently experiencing a temporary loss of revenue.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide economic relief to businesses that are currently experiencing a temporary loss of revenue.

Applicant Eligibility:

Small business (less than 500 employees); Small Businesses in all U.S. states, Washington D.C., and territories.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Application and self-certification. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Advance provided with EIDL loan application for COVID-19 disaster.

Deadlines:

March 16, 2020 - December 31, 2021. Applications must be submitted by 12/31/2021.

Range of Approval/Disapproval Time:

Other. As quickly as possible.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Deadline to apply is 12/31/2021. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

73-1152-0-1-453.

Obligations:

Direct Payments for Specified Use FY 20 \$2,147,483,647.00; FY 21 est \$620,261,632.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$1,000 per employee to \$10,000.

TAFS Codes:

73-1152.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Alan Escobar,
409 3rd Street, SW,
Washington DC 20416
Email: Alan.Escobar@sba.gov
Phone: 202-205-6734
Fax: N/A

Website Address:

<http://www.sba.gov/Disaster>

RELATED PROGRAMS:

93.113 Environmental Health; 11.307 Economic Adjustment Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.073 Paycheck Protection Loan Program (PPP)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Public Law 116-136, Public Law 116-139, Public Law 116-260

OBJECTIVES:

The Paycheck Protection Program established by the CARES Act is implemented by the Small Business Administration with support from the Department of the Treasury. The effective period began March 27, 2020 through August 8, 2020. The Economic Aid to Hard-Hit Small Businesses, Nonprofits and Venues Act (Economic Aid Act) enacted on December 27, 2020, provided appropriations through May 31, 2021 or until funds are expended, for the PPP loan program. SBA reopened the Paycheck Protection Program (PPP) for First Draw Loans the week of January 11, 2021. First Draw PPP Loans can be used to help fund payroll costs, including benefits. Funds can also be used to pay for mortgage interest, rent, utilities, worker protection costs related to COVID-19, uninsured property damage costs caused by looting or vandalism during 2020, and certain supplier costs and expenses for operations. Additionally, The Paycheck Protection Program (PPP) now allows certain eligible borrowers that previously received a PPP loan to apply for a Second Draw PPP Loan with the same general loan terms as their First Draw PPP Loan. Second Draw PPP Loans can be used to help fund payroll costs,

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including benefits. Funds can also be used to pay for mortgage interest, rent, utilities, worker protection costs related to COVID-19, uninsured property damage costs caused by looting or vandalism during 2020, and certain supplier costs and expenses for operations. Business Entities (partnerships, corporations, LLC), Sole Proprietors, independent Contractors, 501(c)(3) nonprofit organizations, 501(c)(6) Organization, 501(C) 19 Veterans organizations, and Tribal businesses described in the Small Business Act, maybe eligible if they also meet program size standards and certain limitations.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

In 2020 Loan proceeds may only be used for payroll costs; payments of interest on any business mortgage obligation, rent and/or utilities; interest on any other business debt obligations that were incurred before February 15, 2020; and/or to refinance SBA Economic Injury Disaster Loan (EIDL) loans made from January 31, 2020 through April 3, 2020. Payroll costs, including costs for employee vacation, parental, family, medical, and sick leave. Other However, the CARES Act excludes qualified sick and family leave wages for which a credit is allowed under sections 7001 and 7003 of the Families First Coronavirus Response Act (Public Law 116127). In 2021 First Draw PPP Loans can be used to help fund payroll costs, including benefits. Funds can also be used to pay for mortgage interest, rent, utilities, worker protection costs related to COVID-19, uninsured property damage costs caused by looting or vandalism during 2020, and certain supplier costs and expenses for operations. Second Draw PPP Loans can be used to help fund payroll costs, including benefits. Funds can also be used to pay for mortgage interest, rent, utilities, worker protection costs related to COVID-19, uninsured property damage costs caused by looting or vandalism during 2020, and certain supplier costs and expenses for operations.

Applicant Eligibility:

Small business (less than 500 employees); Additional information is available on SBA's website at www.sba.gov

Beneficiary Eligibility:

Small business; Not for Profit Entities and Small businesses that meet the size and eligibility standards www.treasury.gov

Credentials/Documentation:

Initial PPP requests required SBA Form 2483 Paycheck Protection Borrower Application Form to be submitted to a participating PPP lender. All loans will have the same terms regardless of lender or borrower. A list of participating lenders as well as additional information and full terms can be found at www.sba.gov. Existing PPP borrowers that did not receive loan forgiveness by December 27, 2020 may: (1) reapply for a First Draw PPP Loan if they previously returned some or all of their First Draw PPP Loan funds, or (2) under certain circumstances, request to modify their First Draw PPP Loan amount if they previously did not accept the full amount for which they are eligible. Borrowers can apply for a First Draw PPP Loan until May 31, 2021, through any existing SBA 7(a) lender or through any federally insured depository institution, federally insured credit union, eligible non-bank lender, or Farm Credit System institution that is participating in PPP. All new First Draw PPP Loans will have the same terms regardless of lender or borrower. Borrowers can apply for a Second Draw PPP Loan until May 31, 2021, through any existing SBA 7(a) lender or through any federally insured depository institution, federally insured credit union, eligible non-bank lender, or Farm Credit System institution that is participating in PPP. All Second Draw PPP Loans will have the same terms regardless of lender or borrower. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applications for guarantees are submitted by the participating lender to SBA electronically.

Award Procedure:

SBA provides approval notification to participating lender who provides a commitment letter and loan to the applicant.

Deadlines:

April 03, 2020 - June 30, 2020. January 11, 2021 - May 31, 2021. Initial loan distribution period June 30, 2020 or when funds are exhausted whichever is first.

2021 loan distribution period January 11, 2021 through June 30, 2021 or when funds are exhausted whichever is first for both the first draw loans and second draw loans.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Other. No Appeals

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Initially in 2020, participating lenders were authorized to approve loans under the PPP program beginning April 3, 2020 through June 30, 2020, or until funds made available for this purpose are exhausted, whichever occurs first. In 2021, participating lenders are authorized to approve loans under the PPP program for the first draw loans beginning January 11, 2021 through May 31, 2021, or until funds made available for this purpose are exhausted, whichever occurs first. To promote access for smaller lenders and their customers, SBA will initially only accept Second Draw PPP Loan applications from community financial institutions starting on January 13, 2021. The PPP will open to all participating lenders for Second Draw PPP Loans shortly thereafter. Method of awarding/releasing assistance: lump sum.

Reports:

Please see Program Performance Reports at SBA's website at <https://www.sba.gov/about-sba/sba-performance/performance-budget-finance/small-business-administration-sba-loan-program-performance>. Additional information available at SBA's website at www.sba.gov Information available at www.sba.gov See www.sba.gov or www.treasury.gov Information available at www.sba.gov/7a-loan-program. See www.sba.gov

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Adequate accounting system.

Account Identification:

73-1154-0-1-376.

Obligations:

Guaranteed/Insured Loans FY 20 \$2,147,483,647.00; FY 21 est \$0.00; FY 22 est \$0.00; Guaranteed/Insured Loans FY 20 \$0.00; FY 21 est (\$643,442,718.00); and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Additional information is available on SBA's website at <https://www.sba.gov/funding-programs/loans/coronavirus-relief-options>

TAFS Codes:

73-1154.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

<https://home.treasury.gov/policy-issues/cares/assistance-for-small-businesses>

Regional or Local Office:

None.

Headquarters Office:

Dianna L. Seaborn,

ASSISTANCE LISTINGS DESCRIPTIONS

409 3rd Street, SW 8th Floor,
Washington DC 20416
Email: Dianna.Seaborn@sba.gov
Phone: (202) 205-3645
Fax:

Website Address:

<http://www.sba.gov>

RELATED PROGRAMS:

59.012 7(a) Loan Guarantees;

EXAMPLES OF FUNDED PROJECTS:

2020 This program provides small businesses with funds to pay up between 8 and 24 weeks of payroll costs including benefits. Funds can also be used to pay interest on mortgages, rent, and utilities. 2021 First Draw PPP Loans can be used to help fund payroll costs, including benefits. Funds can also be used to pay for mortgage interest, rent, utilities, worker protection costs related to COVID-19, uninsured property damage costs caused by looting or vandalism during 2020, and certain supplier costs and expenses for operations. Additionally, Second Draw PPP Loans can be used to help fund payroll costs, including benefits. Funds can also be used to pay for mortgage interest, rent, utilities, worker protection costs related to COVID-19, uninsured property damage costs caused by looting or vandalism during 2020, and certain supplier costs and expenses for operations.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.074 OED Resource Partners Training Portal (RPTP)

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Public Law 116-136

OBJECTIVES:

An online platform that provides small businesses with access to a consolidation of COVID-19 related information and resources from multiple federal agencies that incorporates an online training program to educate SBAs Resource Partners.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

N/A

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); To be eligible, this opportunity is open only to an association or association(s) representing the SBDCs and/or WBCs.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The proposal of eligible applicant is evaluated by a review panel. The SBA/Office of Entrepreneurial Development issues an award to the approved applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award(s) will be made for one project period of 24 (twenty-four) months. Method of awarding/releasing assistance: other

Reports:

See Terms and Conditions See Terms and Conditions

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Required annually.

Records:

See Terms and Conditions

Account Identification:

73-0400-0-1-376.

Obligations:

Project Grants FY 20 \$18,583,543.00; FY 21 Not Available; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular 2 CFR 200; Terms and Conditions

Regional or Local Office:

None.

Headquarters Office:

Nathaniel Bishop,
409 3rd Street, SW,
Washington DC 20416
Email: nvbishop@sba.gov
Phone: 202.205.7007
Fax: N/A

Website Address:

<https://www.sba.gov/offices/headquarters/oed>

RELATED PROGRAMS:

59.026 SCORE; 59.037 Small Business Development Centers; 59.043 Women's Business Ownership Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SBA officials will review accepted proposals based on the evaluation criteria listed: Past Experience; Organizational Structure, Technical and Administrative Resources, and Staff Qualifications; Operational Controls and Risk Assessment; Understanding of the Project and its Proposed Approach for Performing this Award; and Cost.

ASSISTANCE LISTINGS DESCRIPTIONS

59.075 Shuttered Venue Operators Grant Program

SVO, SOS, Save Our Stages, SVOG,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Title FY2021 Omnibus and COVID Relief and Response Act, Part Division N, Title III, Section 324, Public Law 116-260, Title American Rescue Plan Act, Part Title V, Section Section 5005, Public Law 117-2

OBJECTIVES:

The SVOG Program provides funds to support the ongoing operations of eligible live venue operators or promoters, theatrical producers, live performing arts organization operators, relevant museum operators, motion picture theater operators, and talent representatives who have experienced significant revenue losses due to the effects of the COVID-19 pandemic.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funding may be used for payroll costs, rent payments, utility payments, scheduled mortgage payments, scheduled debt payments, worker protection expenditures, payments to independent contractors, and other ordinary and necessary business expenses.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Grantees must be U.S based entities and cannot: (1) be publicly traded companies, or be majority owned and controlled by publicly traded companies; (2) have received more than 10% of their gross revenue from Federal funding (excluding Stafford Act funding) in 2019; (3) present live performances of a prurient sexual nature or derive more than de minimis revenue from the sale or presentation of sexually prurient products, services, depictions, or displays; or (4) possess, or be majority owned and controlled by any entity which possesses, more than 2 of the following characteristics (i) owning or operating venues, theaters, museums, or agencies in more than 1 country; (ii) owning or operating venues, theaters, museums, or agencies in more than 10 States; (iii) employing more than 500 employees.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants must submit a good faith certification that they need an SVOG program grant to support their ongoing operations given the uncertainty of current economic conditions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Assistance will be provided via electronic payments to eligible applicants on a first-come, first-served basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As soon as possible.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Amounts provided may be used for costs incurred from 3/1/20 to 12/31/21, or until 6/30/22 where an applicant receives a supplemental award. A grantee must return any unexpended grant funds to SBA no later than 1 year after its award was disbursed, or no later than 18 months after its Initial Phase award was disbursed if it receives a supplemental award. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients will be required to submit a final report which outlines how all of award funds were expended, by allowable cost activity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A for-profit entity that expends \$750,000 or more during the Non Federal entities fiscal year in Federal awards must choose between a single or program-specific audit or an audit of the entity's financial statements.

Records:

Grantees must maintain documentation demonstrating their compliance with the eligibility and other requirements of the SVOG program. Grantees must retain employment records for 4 years following their receipt of a grant and retain all other records for 3 years.

Account Identification:

73-0700-0-1-376.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est (\$930,369,184.00); and FY 22 est \$0.00;

Range and Average of Financial Assistance:

A varying percentage of lost revenues based upon applicant class and funding phase, but in no event more than \$10,000,000 per recipient.

TAFS Codes:

73-0700.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Barbara Carson,
409 3rd Street, SW, 6th Floor, Office of Disaster Assistance,
Washington DC 20416

Email: SVOGrant@sba.gov

Phone: (202) 205-6734

Fax:

Website Address:

<http://www.sba.gov/coronavirusrelief>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 N/A 2021 Funding is intended to support the ongoing operations of eligible entities and may be used to for payroll costs, rent payments, utility payments, scheduled mortgage payments, scheduled debt payments, worker protection expenditures, payments to independent contractors, and other ordinary and necessary business expenses. 2022 If entirety of program funding is not expended in FY21, funds may be available in FY22

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

59.076 Lab-to-Market

L2M, Catalyst,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science Act (America COMPETES) Reauthorization Act of 2010 to invest in innovation through research and development, and to improve the competitiveness of the United States., Title America Competes Act, Part 15 U.S.C. 3719,

OBJECTIVES:

Highlight successful examples of innovation ecosystems, particularly existing resources that support underrepresented communities; also recognize the impactful ideas for using prize funds to develop strong connections and support within the research and development (R&D) innovation ecosystem.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Awards under this CFDA are prizes and are not subject to specific rules (such as Grants and Contracts).

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Universities, academic institutions, accelerators, incubators, states, counties, tribes, municipalities, non-profits, for-profits, individuals

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Each price competition requires applicant information and a proposal package addressing competition requirements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Listings under this CFDA will be posted on www.Challenge.gov.

Award Procedure:

Competitions under this CFDA are developed and run by SBA, and awards are in the form of prizes with direct payments.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Competition timelines vary.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

73-0100-0-1-000.

Obligations:

Direct Payments with Unrestricted Use FY 20 \$0.00; FY 21 est \$1,338,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

73-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Investments and Innovation,
409 3rd Street SW,
Washington DC 20416
Email: accelerators@sba.gov
Phone: 571-306-5201
Fax:

Website Address:

<http://www.sbir.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

59.077 Community Navigator Pilot Program

Community Navigator,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

This bill provides additional relief to address the continued impact of COVID-19 (i.e., coronavirus disease 2019) on the economy, public health, state and local governments, individuals, and businesses., Title American Rescue Plan Act of 2021, Section 5004, Public Law 117-2

OBJECTIVES:

Per the American Rescue Plan, the SBA is authorized to create and administer a \$100 million competitive grant program to various entities providing Community Navigator services, defined as the outreach, education and technical assistance targeting eligible small businesses to increase awareness of and participation in programs of the Small Business Administration. Community Navigators will focus on current and prospective small businesses to improve access to assistance and

ASSISTANCE LISTINGS DESCRIPTIONS

programs of Federal, State, Tribal and local entities to support COVID-19 recovery.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Support small business technical assistance programs, outreach and assistance.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions; Federal; Quasi-public nonprofit institution/organization; Nonprofits having a 501(c) status with the IRS, other than institutions of higher education, economic development organization or similar organization, Native American tribal organization (other than Federally recognized tribal governments), Native American tribal government (Federally recognized), County governments, State governments, City or township governments, SBA Resource Partners (Small Business Development Centers, Womens Business Centers, SCORE; and Veterans Business Outreach Centers), Community Development Financial Institutions and Nonprofit Colleges and Universities.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The proposal of eligible applicant is evaluated by a review panel. The SBA/Office of Entrepreneurial Development issues an award to the approved applicant.

Deadlines:

May 26, 2021 - July 12, 2021.

Range of Approval/Disapproval Time:

Not Applicable. Funding announcements are expected within 30 days following the close of the application period, as stated in the Notice of Funding Opportunity.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Community Navigator Pilot Program will be, a two-year Project Period, not to exceed twenty-four (24) months. Method of awarding/releasing assistance:

quarterly

Reports:

Financial Reports - Grant recipients will be required to submit financial reports quarterly during the project period, within thirty (30) days of the conclusion of each reporting period. Financial reports will be submitted to the SBA using the SF-425, Federal Financial Report (FFR) and supporting documents. Performance Reports recipients will be required to submit quarterly narrative performance reports to SBA within thirty (30) days of the completion of each three (3) month period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Agency expectation is that the Single Audit Requirement outlined in 2 CFR 200.514 will be applicable.

Records:

See Terms and Conditions

Account Identification:

73-0400-0-1-376.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$100,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Minimum grant under this NOFO is expected at \$1,000,000. Maximum grant under this NOFO is expected at \$5,000,000. Award amounts are planned at \$1M, \$2.5M, and \$5M.

TAFS Codes:

73-0400.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular 2 CFR 200; Terms and Conditions and can be found at <https://www.sba.gov/navigation>

Regional or Local Office:

None.

Headquarters Office:

Everett M. Woodel,
409 Third Street SW,
Washington DC 20416
Email: Everett.woodel@sba.gov
Phone: (614) 379-4597
Fax: John Bienko,
409 Third Street SW,
Washington DC 20416
Email: navigators@sba.gov
Phone: (202) 205-6052
Fax:

Website Address:

<https://www.sba.gov/navigation>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Applications will compete against similarly situated organizations through a tiered system of funding to correspond with the applicants reach. Tier 1 (National Tier) awards will be \$5 million per award for applicants with a minimum of five identified service areas (markets) not within the same state and a total service population of greater than 500,000. Tier 2 (State/Local) awards will be \$2.5 million per award for applicants supporting an individual state, region, municipality, or city with total service population of greater than or equal to 500,000. Tier 3 (Local) awards will be \$1 million per award for applicants supporting a region, municipality, city, tribal or rural community with total service population of less than 500,000.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be scored against the following evaluation criteria: Project Objectives (25 Points) Use of Partner Entities (20 Points) Measurable Goals & Program Innovation (20 Points) Ecosystem Resources and Assets (20 Points) Budget and Staffing Plan (15 Points)

ASSISTANCE LISTINGS DESCRIPTIONS

59.078 Restaurant Revitalization Fund

RRF,

FEDERAL AGENCY:

SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:

Title American Rescue Plan Act of 2021, V Committee on Small Business Entrepreneurship, Part , Section 5003 Support for Restaurants, Public Law 117-2

OBJECTIVES:

This program provides emergency assistance for eligible restaurants, bars, and other qualifying businesses impacted by COVID-19.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

1)Business payroll costs (including sick leave)2)Payments on any business mortgage obligation3)Business rent payments (note: this does not include prepayment of rent)4)Business debt service (both principal and interest; note: this does not include any prepayment of principal or interest)5)Business utility payments6)Business maintenance expenses7)Construction of outdoor seating8)Business supplies (including protective equipment and cleaning materials)9)Business food and beverage expenses (including raw materials)10)Covered supplier costs11)Business operating expenses

Applicant Eligibility:

Profit organization; Small business (less than 500 employees); Minority group; 1)Restaurants2)Food stands, food trucks, food carts3)Caterers4)Bars, saloons, lounges, taverns5)Snack and nonalcoholic beverage bars6)Bakeries (onsite sales to the public comprise at least 33% of gross receipts)7)Brewpubs, tasting rooms, taprooms (onsite sales to the public comprise at least 33% of gross receipts)8)Breweries and/or microbreweries (onsite sales to the public comprise at least 33% of gross receipts)9)Wineries and distilleries (onsite sales to the public comprise at least 33% of gross receipts)10)Inns (onsite sales of food and beverage to the public comprise at least 33% of gross receipts)11)Licensed facilities or premises of a beverage alcohol producer where the public may taste, sample, or purchase products

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Apply through SBA-recognized Point of Sale Restaurant Partners, or directly via SBA in an online application portal, or the call center support line: 844-279-8898. Registration with SAM.gov is not required. DUNS or CAGE identifiers are also not required. Additional documentation required: 1)Verification for Tax Information: IRS Form 4506-T, completed and signed by Applicant. Completion of this form digitally on the SBA platform will satisfy this requirement. 2)Gross Receipts Documentation: Any of the following documents demonstrating gross receipts and, if applicable, eligible expenses 3)Business tax returns (IRS Form 1120 or IRS 1120-S)4)IRS Forms 1040 Schedule C; IRS Forms 1040 Schedule F5)For a partnership: partnerships IRS Form 1065 (including K-1s)6)Bank statements7)Externally or internally prepared financial statements such as Income Statements or Profit and Loss Statements This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Restaurants will apply through SBA-recognized Point of Sale Restaurant Partners, or directly via SBA in an online application portal @ Restaurants.SBA.Gov, or the call center support line: 844-279-8898. Their applications will go through a review process and eligible entities will be awarded based upon program criteria.

Deadlines:

May 03, 2021 - May 14, 2021. Until funds are exhausted. Estimated dates are 5/3-

5/14 but may end sooner based upon volume of applications.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Start May 3, 2021 until the funds are exhausted. Method of awarding/releasing assistance: lump sum.

Reports:

All Applicants have until March 11, 2023 to use award funds. Not later than December 31, 2021 all Applicants are required to report through the application portal how much of their award has been used against each eligible use category. If the Applicant fully expends their funds prior to December 31, 2021, they will be asked to certify in the application portal that proceeds have been used on eligible expenses. All Applicants that do not fully expend award funds prior to December 31, 2021 will be required to complete annual reporting submissions until they fully expend the award funding or the period of performance expires. SBA reserves the right to request supplemental documentation needed to validate the certification.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. SBAs implementation approach to this program includes key objectives also served by the regulations in 2CFR200, such as performance measurement, oversight, and reduction of participant noncompliance risk.

Records:

Account Identification:

73-0800-0-1-376.

Obligations:

Direct Payments for Specified Use FY 20 \$0.00; FY 21 est (\$1,464,771,072.00); and FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$1,000 -> \$10 million

TAFS Codes:

73-0800.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program guide and application can be found at www.sba.gov/restaurants

Regional or Local Office:

See Regional Assistance Locations. Call 1- 844-279-8898 to find a regional or local office for application questions and support.

Headquarters Office:

Steve Kucharski,
409 3rd St. SW,
Washington DC 20416
Email: Steve.Kucharski@sba.gov
Phone: (202) 205-7551
Fax: Sheri McConville,
409 3rd St. SW,
Washington DC 20416
Email: Sheri.McConville@sba.gov
Phone: (202) 401-4281
Fax:

Website Address:

<http://www.sba.gov/restaurants>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

VETERANS AFFAIRS, DEPARTMENT OF

64.005 Grants to States for Construction of State Home Facilities

State Home Construction,

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Public Laws 88-450, 89-311, 90-432, 91-178, 93-82, 94-581, 95-62, 96-151, 96-330, 97-251, 97-295, 98-528, 99-166, 99-576, and 100-322, 38 U.S.C. 8131-8137, ,
Public Law 111-05

OBJECTIVES:

To assist States to acquire or construct State home facilities for furnishing domiciliary or nursing home care to veterans, and to expand, remodel, or alter existing buildings for furnishing domiciliary, nursing home, or hospital care to veterans in State homes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for: The construction of new domiciliary or nursing home buildings or acquisition of such facilities; the expansion, remodeling, or alteration of existing buildings for the provision of domiciliary, nursing home, or hospital care in State homes, and the provision of initial equipment for any such buildings. All new domiciliary buildings must be constructed according to nursing home care standards for convertibility. Construction cost means the amount found by the Secretary to be necessary for a construction project, including architect fees, but excluding land acquisition costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Any State may apply after assuring that the assisted facility will be owned by the State; and will be used primarily for veterans.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Veterans meeting VA and State admission criteria.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Additional information provided to applicants.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by Title 38, CFR Part 43, "Uniform Administrative Requirements" must be used for this program. (Standard Form 424, Application for Federal Assistance for Construction Programs, with attachments.).

Award Procedure:

Formal approval of the award of the assistance grant to the State is made by the Secretary of Veterans Affairs with program administered by Chief Consultant,

Geriatrics and Extended Care Strategic Healthcare Group.

Deadlines:

August 16, 2020 - April 15, 2021. Initial application is due by April 15 for all projects. State assurance of matching funds is due by August 15 to receive priority group one status.

Range of Approval/Disapproval Time:

Other. From 30 to 60 workdays after receipt of bid tabulations, revised budget page based on bid, and signed Memorandum of Agreement.

Appeals:

Other. No application will be disapproved without the opportunity of a hearing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Accounts are canceled five years after appropriation expires. Appropriations received in fiscal year 1994 or later do not expire. Reimbursement payments are made to the State based on Federal share of cost incurred. Method of awarding/releasing assistance: quarterly

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records should be maintained for the period established by Memorandum of Agreement (7 to 20 years depending upon the amount of the grant). If any claim or audit is started before the expiration of this time period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved.

Account Identification:

36-0181-0-1-703.

Obligations:

Project Grants FY 19 \$150,603,106.00; FY 20 est \$65,064,976.00; FY 21 est \$150,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0181.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Anna Gaug,
810 Vermont Avenue, N.W.,
Washington DC 20420
Email: anna.gaug@va.gov
Phone: 202-632-7435

Website Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.014 Veterans State Domiciliary Care; 64.016 Veterans State Hospital Care;
64.015 Veterans State Nursing Home Care;

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.011 Veterans Dental Care

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

38 U.S.C. 1712.,

OBJECTIVES:

To provide dental services.

TYPES OF ASSISTANCE:

Provision of Specialized Services, Salaries and Expenses

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Must have enlisted in the armed forces before September 7, 1980. Veterans who enlisted in the armed forces after September 7, 1980 or entered on active duty after October 16, 1981, must have 24 continuous months of active duty service or completed the full period of time for which the individual was called or ordered to active duty.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Child (6-15); Youth (16-21); Dental care may be provided for those veterans (1) who have a service-connected compensable dental disability or condition; (2) who have a service-connected, non-compensable dental condition or disability and were former prisoners of war; (3) who have a service-connected, non-compensable condition or disability resulting from combat wounds or service trauma; (4) who were held as a prisoner of war for a period of not less than 90 days; (5) who have a service-connected disability rated as total; (6) who have been found in need of training authorized under 38 U.S.C. Chapter 31; (7) who have a dental condition which is having a direct and material detrimental effect upon a service-connected condition; (8) who have service-connected, non-compensable disability and who apply for treatment of such condition within 90 days following discharge or release from active duty of not less than 180 days, or in the case of a veteran who served on active duty during the Persian Gulf War, 90 days (treatment under this latter authority is limited to one-time correction of the service-connected dental condition); and (9) those receiving outpatient or scheduled for inpatient care may receive dental care if the dental condition is clinically determined to be complicating a medical condition currently under treatment.

Credentials/Documentation:

Copy of military discharge, and as applicable verification of: (a) establishment of service-connected disability; (b) service during World War I; (c) military retirement; (d) letter of authorization; or (e) receipt of increased pension or additional compensation or allowance based on the need of regular aid and attendance or by reason of being permanently housebound, or those who, but for the receipt of military retired pay, would be otherwise eligible, and as applicable, family income and expense information. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application may be made: (1) personally at a VA medical center, outpatient clinics or regional offices with outpatient clinics; (2) through any veteran's service organization representative; or (3) by mailing VA form 10-10EZ, Application for Health Benefits, to the nearest VA health care facility.

Award Procedure:

Not applicable.

Deadlines:

Application for dental treatment for service-connected non-compensable condition or disability shown to have been in existence at time of discharge or release from service must be made within 90 days after discharge from active duty.

Range of Approval/Disapproval Time:

Not Applicable. The same day if applicant applies in person; generally within 10 days if applicant applies by mail.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

No limit. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

36-0160-0-1-703.

Obligations:

Salaries and Expenses FY 19 \$948,877,517.00; FY 20 est \$858,039,709.00; FY 21 est \$898,696,732.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0160. 36-0162. 36-0152. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 17.45a, 17.60(a) - (i), 17.60 (d) - (f) and 17.123(a) - (g). "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-99-1, \$5.00, available from Superintendent of Documents, Government Printing Office, Washington, DC 20402, or Fax (202) 512-2250. Mail requests to P.O. Box 371954, Pittsburgh, PA 15250-7954.

Regional or Local Office:

None. Any VA Medical Center. See Appendix IV of the Catalog for listing under Veterans Health Services and Research Administration.

Headquarters Office:

Stephen Kendall,
VA Central Office: Headquarters Health Administration Service (10C3),
Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 273-8303 or 8302.,
Braintree MA 01284
Email: Stephen.Kendall@va.gov
Phone: 781-348-0134
Debra Fitts,
100 Grandview Rd, Suite 114,
Braintree MA 02184
Email: Debra.Fitts@va.gov
Phone: 781-348-0126
Fax:

Website Address:

<http://www.va.gov>.

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

64.010 Veterans Nursing Home Care; 64.012 Veterans Prescription Service; 64.013 Veterans Prosthetic Appliances; 64.009 Veterans Medical Care Benefits; 64.022 Veterans Home Based Primary Care; 64.019 Veterans Rehabilitation_Alcohol and Drug Dependence; 64.007 Blind Rehabilitation Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.012 Veterans Prescription Service

(Medicine For Veterans),

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Public Laws 91-500, 93-82, 94-581, and 101-508; 38 U.S.C. 612.,

OBJECTIVES:

To provide eligible veterans and certain dependents and survivors of veterans with prescription drugs and expendable medical supplies from VA pharmacies upon presentation of prescription(s) from a VA provider or VA authorized provider.

TYPES OF ASSISTANCE:

Salaries and Expenses, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Veterans receiving Veterans Outpatient Care (64.011) can receive prescription drugs and expendable medical supplies prescribed by a VA provider or VA authorized provider. These prescriptions will be dispensed by VA Pharmacies either directly to veterans or mailed upon request. In territories where there are no VA pharmacies, payment for prescribed drugs is provided for certain eligible veterans on a reimbursable basis. Contact the Health Administration Center, Denver, CO, at 1-800-733-8387 for information. Widows and dependent children may be eligible for reimbursement for medications through the ChampVA program. Contact the Health Administration Center, Denver, Co for information. Use Restrictions: Limited to drugs prescribed by VA physicians, dentists, podiatrists or other VA provider or authorized VA provider based on the VA National Formulary System. Veterans receiving medications on an outpatient basis from VA facilities, for the treatment of a nonservice-connected disability or condition, are required to make a co-payment of \$2.00 for each 30-day or less supply of medication. Veterans receiving medications for the treatment of a service-connected condition and veterans rated 50 percent or more service-connected are exempt from the co- payment requirement for medications. Prescriptions are not filled for alcoholic beverages or dietary supplements used for weight control. Nonservice-connected Veterans living abroad are ineligible for program benefits. However, service-connected veterans may be eligible for reimbursement for medications. Contact the Health Administration Center, Denver, CO, at 1-800-733-8387 for information.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Veterans receiving outpatient care (see Veterans Outpatient Care 64.011); service connected veterans and World War I veterans if they are authorized non-VA care (Fee Basis) are eligible for prescriptions. Also, veterans in receipt of aid and attendance or housebound benefits are eligible for prescriptions. Veterans whose pension payments have been discontinued because their annual income exceeds the applicable maximum limitations may continue to be eligible for prescription drugs and expendable medical supplies until their income exceeds the maximum limitation by more than \$1,000.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Veterans receiving outpatient care (see Veterans Outpatient Care 64.011); service connected veterans and World War I veterans if they are authorized non-VA care (Fee Basis) are

eligible for prescriptions. Also, veterans in receipt of aid and attendance or housebound benefits are eligible for prescriptions. Veterans whose pension payments have been discontinued because their annual income exceeds the applicable maximum limitations may continue to be eligible for prescription drugs and expendable medical supplies until their income exceeds the maximum limitation by more than \$1,000.

Credentials/Documentation:

Eligible veterans must furnish prescriptions(s) from a VA physician, dentist, podiatrist, or VA authorized provider. Only veteran patients in receipt of aid and attendance or housebound benefits may furnish prescriptions from any licensed physician, dentist, or podiatrist. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Veterans should follow the application process for Veterans Outpatient Care (64.011). Once veterans are accepted for outpatient care the eligibility information is shared with the pharmacy computer system, veterans may then present prescriptions to a VA Pharmacy.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

na Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0160-0-1-703.

Obligations:

Salaries and Expenses FY 19 \$245,979,361.00; FY 20 est \$557,571,534.00; FY 21 est \$1,380,847,086.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0160. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Pamphlet 80-99-1, 38 CFR 17.60; "Federal Benefits for Veterans and Dependents", \$5.00, available from Superintendent of Documents, Government Printing Office, Washington, DC 20402.

Regional or Local Office:

None. Initial contact should be made with the nearest Veterans Hospital Facility listed in Appendix IV of the Catalog under Veterans Health Administration.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Chief Consultant, Pharmacy Benefits Management,
Chief Consultant, Pharmacy Benefits Management, Department of Veterans
Affairs, Washington, DC 20420. Telephone: (202) 273-8429.,
Washington DC 20420
Email: Stephen.Kendall@va.gov
Phone: 202-273-8429
Debra Fitts,
100 Grandview Rd, Suite 114,
Braintree MA 02184
Email: Debra.Fitts@va.gov
Phone: 781-348-0126
Fax:
Website Address:

<http://www.va.gov>.

RELATED PROGRAMS:

93.778 Medical Assistance Program; 64.011 Veterans Dental Care; 93.774
Medicare_Supplementary Medical Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.013 Veterans Prosthetic Appliances

(Prosthetics Services),

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

38 U.S.C. 1162, 38 U.S.C. 1701, 38 U.S.C. 1710, 38 U.S.C. 1712, 38 U.S.C. 1713,
38 U.S.C. 1714, 38 U.S.C. 1717, 38 U.S.C. 1719, 38 U.S.C. 1723, 38 U.S.C. 1724,
38 U.S.C. 3104, 38 U.S.C. 3901, 38 U.S.C. 3902, 38 U.S.C. 3903, and 38 U.S.C.
8123.,

OBJECTIVES:

To provide, through purchase and/or fabrication, prosthetic and related appliances,
equipment and services to eligible veterans so that they may live and work as
productive citizens.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Provision of Specialized Services

USES AND USE RESTRICTIONS:

Funds may be used for case management of the eligible veteran by administrative
control, purchasing and/or fabrication and repair of prosthetic appliances and
equipment. Appliances and services are provided only for the use and benefit of the
disabled veteran to whom they are furnished. The program also includes the
replacement and repair of appliances and training in the use of artificial limbs,
artificial eyes, wheelchairs, aids for blind, hearing aids, braces, orthopedic shoes,
eyeglasses, crutches and canes, medical equipment, surgical and dental implants,
medical supplies, and automobile adaptive equipment.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans);
Any disabled veteran or authorized representative on his behalf meeting the criteria
below may apply for prosthetic appliances or services. VA may not furnish
sensory-neural aids, e.g., eye glasses, hearing aids, etc., other than in accordance
with guidelines established by the Secretary.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf,
Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart
Disease); Veterans eligible for prosthetic services are service-connected veterans
seeking care for a service-connected disability; veterans with compensable service-
connected disabilities generally rated 10 percent or more; former prisoners of war,
veterans discharged or released from active military service for a disability that was

incurred or aggravated in the line of duty, and veterans who are in receipt of
Section 1151 benefits; veterans who are in receipt of increased pension based on a
need of regular aid and attendance or by reason of being permanently housebound;
veterans who have annual income and net worth below the "means test" threshold;
all other veterans who are not required to pay a copayment for their care, i.e.,
veterans of the Mexican border period and World War I, compensated zero (0)
percent service-connected veterans who are receiving statutory awards, veterans
exposed to a toxic substance, radiation or environmental hazard (limited to certain
disabilities); and veterans who must pay a copayment for their care. Ineligible
veterans are nonservice-connected veterans residing or sojourning in foreign lands.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost
Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. Eligible veteran may
request prosthetic services through their primary care provider when reporting in
person to any VA Medical Center as well as by correspondence, telephone, or
authorized community physician prescription.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. All prosthetic requests require an initial action within five working
days. Custom fabrication or design may increase the time before an item/service
can be delivered.

Appeals:

Not Applicable. A veteran who is administratively refused prosthetics services by a
local VA health care facility may appeal to the Board of Veterans Appeals.
Determinations of the Veterans Health Administration involving the need or nature
of medical treatment as distinguished from legal or basic eligibility for medical
services, are not appealable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements
are not applicable to this assistance listing. MOE requirements are not applicable to
this assistance listing.

Length and Time Phasing of Assistance:

For veterans in receipt of special monthly compensation or increased pension based
on the need for regular aid and attendance, assistance is maintained for as long as
eligibility continues (usually for life). Veterans are entitled to receive prosthetic
services for as long as they are receiving VA treatment. Method of
awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit
Requirements.

Records:

Not applicable.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 (\$831,752,941.00); FY 20 est
(\$1,224,637,389.00); FY 21 est (\$920,589,145.00);

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

36-0160, 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 17.150, 17.151, 17.152, 17.153, 17.154, 17.155, 17.156, 17.157, 17.158, 17.159.

Regional or Local Office:

None. Initial contact should be made with the Prosthetic Representatives in 124 VA field stations, any VA outpatient clinic, CBOC or any veterans' service organization representative. See Appendix IV of the Catalog for the address of the nearest Veterans Hospital Facility.

Headquarters Office:

Chief Prosthetics and Clinical Logistics Officer,
CHIEF Prosthetics and Clinical Logistics Officer (10f), Department of Veterans Affairs, Washington, DC 20420. Telephone: (202) 254-0440. Fax: (202) 254-0470.,
Washington DC 20420

Email: Stephen.Kendall@va.gov

Phone: 202-254-0440

Debra Fitts,
100 Grandview Rd, Suite 114,
Braintree MA 02184

Email: Debra.Fitts@va.gov

Phone: 781-348-0126

Fax:

Website Address:

<http://vaww1.va.gov/prosthetics/>

RELATED PROGRAMS:

93.778 Medical Assistance Program; 64.011 Veterans Dental Care; 64.009 Veterans Medical Care Benefits; 93.774 Medicare_Supplementary Medical Insurance; 64.100 Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces; 64.116 Vocational Rehabilitation for Disabled Veterans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.014 Veterans State Domiciliary Care

Veterans State Home Domiciliary Care,

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Act of August 27, 1888, as amended, Public Laws 66- 126, 76-250, 78-202, 80-531, 81-823, 83-613, 86-625, 88-450, 90-432, 93- 82, 94-417, 94-581, 96-151, 97-251, 98-160, and 100-322, 38 U.S.C. 1741- 1743.,

OBJECTIVES:

To provide financial assistance to States furnishing domiciliary care to eligible veterans in State Veterans' Homes which meet the standards prescribed by the Secretary of Veterans Affairs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The assistance provided is for domiciliary care; The provision of shelter, sustenance, and incidental medical care on an ambulatory self-care basis to assist eligible veterans, disabled by age or illness to attain physical, mental, and social well-being through rehabilitative programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

hospitals); Applicant is any State which operates a designated facility to furnish domiciliary care primarily for veterans.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); The veteran is not barred from receiving care based on his or her service (see 38 U.S.C. 5303, 5303A), is not barred from receiving VA pension, compensation or dependency and indemnity compensation based on the character of a discharge from military service (see 38 CFR 3.12), and the veteran is: A veteran whose annual income does not exceed the maximum annual rate of pension payable to a veteran in need of regular aid and attendance; or A veteran who VA determines has no adequate means of support. Veteran must be able to perform the following:(1) Daily ablutions, such as brushing teeth, bathing, combing hair, and body eliminations, without assistance.(2) Dress himself or herself with a minimum of assistance.(3) Proceed to and return from the dining hall without aid.(4) Feed himself or herself.(5) Secure medical attention on an ambulatory basis or by use of a personally propelled wheelchair.(6) Have voluntary control over body eliminations or have control by use of an appropriate prosthesis.(7) Participate in some measure, however slight, in work assignments that support the maintenance and operation of the State home.(8) Make rational and competent decisions as to his or her desire to remain in or leave the State home.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Letter of application from State addressed to Chief Consultant, Geriatrics and Extended Care, Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, DC 20420.

Award Procedure:

Recognition by Secretary of Veterans Affairs for purposes of VA aid pursuant to Title 38 U.S.C. Section 1741.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is no length limitation; grant payments are made monthly to the State. Method of awarding/releasing assistance: lump sum.

Reports:

Census, Movement Log Direct/Indirect Costs

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records on which quarterly statements are based and attendance records to support report for days of care provided to veteran.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

36-0140-0-1-703.

Obligations:

Formula Grants FY 19 \$38,941,425.00; FY 20 est \$47,198,997.00; FY 21 est \$48,284,574.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 51; 38 USC 1741-1745

Regional or Local Office:

None. See Appendix IV of the Catalog for Veterans Hospital Facilities.

Headquarters Office:

Colette Alvarez,
Geriatrics and Extended Care Service,
Washington DC 20420
Email: colette.alvarez@va.gov
Phone: 775-842-5755
Fax:

Website Address:

https://www.va.gov/COMMUNITYCARE/providers/info_OtherCare.asp#SVH

RELATED PROGRAMS:

64.015 Veterans State Nursing Home Care; 64.026 Veterans State Adult Day Health Care;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.015 Veterans State Nursing Home Care

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Public Laws 88-450, 90-432, 93-82, 94-417, 94-581, 96-151, 97-251, 98-160, and 100-322, 38 U.S.C. 1741-1743.,

OBJECTIVES:

To provide financial assistance to States furnishing nursing home care to eligible veterans in State Veterans' Homes which meet the standards prescribed by the Secretary of Veterans Affairs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The assistance provided is for nursing home care: the accommodation of convalescents or other persons who are not acutely ill and not in need of hospital care or domiciliary care but who require skilled nursing care and related medical services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Applicant is any State which operates a designated facility to furnish nursing home care primarily for veterans.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); A veteran is an eligible veteran for the purposes of payment of per diem for nursing home care under this part if VA determines that the veteran needs nursing home care; is not barred from receiving care based on his or her service

(see 38 U.S.C. 5303, 5303A), is not barred from receiving VA pension, compensation or dependency and indemnity compensation based on the character of a discharge from military service (see 38 CFR 3.12) and is within one of the following categories: (a) Veterans with service-connected disabilities;(b) Veterans who are former prisoners of war, who were awarded the Purple Heart, or who were awarded the medal of honor under 10 U.S.C. 3741, 6241, or 8741 or 14 U.S.C. 491;(c) Veterans who were discharged or released from active military service for a disability incurred or aggravated in the line of duty;(d) Veterans who receive disability compensation under 38 U.S.C. 1151;(e) Veterans whose entitlement to disability compensation is suspended because of the receipt of retired pay;(f) Veterans whose entitlement to disability compensation is suspended pursuant to 38 U.S.C. 1151, but only to the extent that such veterans' continuing eligibility for nursing home care is provided for in the judgment or settlement described in 38 U.S.C. 1151;(g) Veterans who VA determines are unable to defray the expenses of necessary care as specified under 38 U.S.C. 1722(a);(h) Veterans solely seeking care for a disorder associated with exposure to a toxic substance or radiation, for a disorder associated with service in the Southwest Asia theater of operations during the Persian Gulf War, as provided in 38 U.S.C. 1710(e), or for any illness associated with service in combat in a war after the Gulf War or during a period of hostility after November 11, 1998, as provided and limited in 38 U.S.C. 1710(e);(i) Veterans who agree to pay to the United States the applicable co-payment determined under 38 U.S.C. 1710(f) and 1710(g).Note 1 to paragraph (i): Neither enrollment in the VA healthcare system nor eligibility to enroll is required to be an eligible veteran for the purposes of payment of per diem for nursing home care.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Letter of application from State addressed to Chief Consultant, Geriatrics and Extended Care; Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420.

Award Procedure:

Recognition by Secretary of Veteran Affairs for purposes of VA aid pursuant to Title 38 U.S.C. Section 1741.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is no length limitation; grant payments are made monthly or quarterly to the State. Method of awarding/releasing assistance: lump sum.

Reports:

Census and Movement Logs Direct and Indirect Cost Reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Cost records on which quarterly statements are based and attendance records to

ASSISTANCE LISTINGS DESCRIPTIONS

support report for days of care provided to veteran.

Account Identification:

36-0140-0-1-703.

Obligations:

Formula Grants FY 19 \$1,334,458,411.00; FY 20 est \$1,233,032,866.00; FY 21 est \$1,261,392,622.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 51

Regional or Local Office:

None. See Appendix IV of the Catalog for Veterans Hospital Facilities.

Headquarters Office:

Colette Alvarez,
Chief Consultant, Geriatrics and Extended Care Service/Chief, State Home Per Diem Program,
Washington DC 20420
Email: colette.alvarez@va.gov
Phone: 775-842-5755

Website Address:

https://www.va.gov/COMMUNITYCARE/providers/info_OtherCare.asp#SVH

RELATED PROGRAMS:

64.014 Veterans State Domiciliary Care; 64.026 Veterans State Adult Day Health Care;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.024 VA Homeless Providers Grant and Per Diem Program

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Homeless Veterans Comprehensive Service Programs Act of 1992; Veterans Benefits, Health Care, and Information Technology Act of 2006,

OBJECTIVES:

To assist public and nonprofit private entities in establishing new programs and service centers to furnish supportive services and supportive housing for homeless veterans through grants that may be used to acquire, renovate or alter facilities, and to provide per diem payments, to eligible entities that provide supportive services and supportive housing for homeless veterans.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Homeless Veterans Comprehensive Service Programs Act of 1992 (Public Law 102-590) established the VA Homeless Providers Grant and Per Diem Program with permanent authority being given by Veterans Benefits, Health Care, and Information Technology Act of 2006 (Public Law 109-461). The Program allows VA to assist public or non-profit private entities with partial funding for "bricks and mortar" to create supportive housing or services centers or to purchase vans for outreach and transportation. In addition VA is also authorized fund operating expenses minus other sources of income for these facilities through per diem payments.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants eligible for grants include public and nonprofit private entities with the capacity to effectively administer a grant; which demonstrate that adequate financial support will be available to carry out the project; and which agree to and demonstrate capacity to meet the applicable criteria and requirements of the grant program. Applicants eligible for per diem payments include public or nonprofit private entities who are either grant recipients, or who are eligible to receive a grant.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Veterans, meaning a person who served in the active military, naval or air service, and who was discharged or released there from under conditions other than dishonorable.

Credentials/Documentation:

Costs for State and local governments, Indian Tribal governments and nonprofit organizations will be determined in accordance with 2 CFR 200 Subpart E - Cost Principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. As funds are available for the program VA will publish in the Federal Register a notice of fund availability (NOFA) soliciting applications for the grant program. The NOFA will contain: the content and form of the application with information on obtaining an application; the date, time, and place for submitting completed applications; the estimated amount and type of funding available; any priorities for or exclusions from funding, and provide information on additional documents necessary for the application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application content the Federal agency must use is required by 38 CFR 61, "VA Homeless Providers Grant and Per Diem Program". VA will provide information to applicants on how to access a copy of the regulation in the notice of funding availability.

Award Procedure:

Formal approval of the award of assistance is made by the Secretary of Veterans Affairs; the program is administered by Veterans Health Administration, Homeless Programs, National Grant and Per Diem Program Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. For grant applicants, from 30 to 60 work days after receipt of items in the Second Submission section of the grant application package, including documentation on acquisition, rehabilitation and new construction; match and feasibility; matching funds; supportive services; and operating budget. For recognition by VA as eligible to receive per diem payments, 30 to 60 days after formal recognition is approved, pending availability of funds.

Appeals:

Not Applicable. If an application would have been selected but for a procedural error committed by VA, VA may reconsider that application in the next funding round. A new application will not be required for this purpose so long as there is no material change in the information.

Renewals:

Other. Renewals or options for continuation of payment will be addressed by VA in the grant agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For the grant program, appropriation expires at the end of each fiscal year. Length of time limitations for the per diem programs are not established, however, per diem is contingent on availability of VA program funds. Reimbursement payments

ASSISTANCE LISTINGS DESCRIPTIONS

are made to the recipient based on the Federal share of costs incurred. Method of awarding/releasing assistance: lump sum.

Reports:

Grantee reports as required in accordance with 38 CFR 61.80.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audits are performed in accordance with the provisions of 2 CFR 200.501 Audit requirements

Records:

Three categories of records should be maintained by VA and the contractor: (a) contractor fiscal records; (b) contractor treatment records; and (c) VA record summaries of treatment provided. Contractor fiscal records should be maintained by the contractor as outlined in 2 CFR 200, Subpart D--Post Federal Award Requirements, of Part 200. Contractor treatment records should be maintained by the contractor for a period of three years after the date of last patient activity before being disposed of by the contractor. Contractor summaries and related records will be maintained by the appropriate VA health care facility until three years after the last episode of care, then they will be filed with VA's Consolidated Health Records for each veteran patient. Consolidated Health Records are retained by the VA facility for 75 years from the last episode of care. VA should retain their records as guided by Records Control Schedule 10-1.

Account Identification:

36-0160-0-1-703.

Obligations:

Project Grants FY 19 \$234,545,000.00; FY 20 est \$257,477,000.00; and FY 21 est \$265,139,000.00;

Range and Average of Financial Assistance:

Capital grants have ranged from \$12,610 to \$1,000,000 for with an average award of \$250,000.00. Per Diem is based on the operational costs and income of each project per the requirements of 38 CFR 61.33. To receive per diem the bed must be occupied. The maximum per diem is \$43.32; the average per diem is \$31.45 with the average number of beds per project at 22. With an eighty-five per cent occupancy rate the result is an average per diem of approximately \$588.

TAFS Codes:

36-0160.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38 Code of Federal Regulations 61.0 -61.82, VA Homeless Providers Grant and Per Diem Program.

Regional or Local Office:

None. Not applicable

Headquarters Office:

Jeffery L. Quarles,
10770 N. 46th Street,
Tampa FL 33617
Email: GPDgrants@va.gov
Phone: 877-322-0334

Fax:

Website Address:

<http://www.va.gov/homeless/GPD.asp>

RELATED PROGRAMS:

64.033 VA Supportive Services for Veteran Families Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As required by 38 CFR parts 61.13, 61.14, 61.15, 61.18, 61.32, 61.40, and 61.44, applications are reviewed, rated and ranked in priority order. Selection criteria include: (a) Project Plan; (b) Outreach; (c) Ability of the applicant to develop and operate a project; (d) Need; (e) Completion Confidence; and (f) Coordination with other programs.

64.026 Veterans State Adult Day Health Care

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Veterans Millennium Health Care and Benefits Act, , Public Law 106-117, Public Law 115-159

OBJECTIVES:

To provide a community-based program designed to meet the needs of adults with impairments through individual plans of care. This type of structured, comprehensive, nonresidential program provides a variety of health, social, and related support services in a protective setting. By supporting families and caregivers, an adult day services program enables the person to live in the community. An adult day services program assesses the needs of the persons served and offers services to meet those needs. The persons served attend on a planned basis. Nothing in this generic description of adult day services may be construed to modify the specific services or eligibility requirements referenced in the definition of adult day care and adult day health.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Adult day health is a supervised daytime program providing skilled nursing and rehabilitative therapy services in addition to core services outlined in Public Law 106-117 Section 101. (1) Adult day health services are only appropriate for adults with medical or disabling conditions that require the intervention or services of a registered nurse or licensed rehabilitative therapist acting under the supervision of the client's physician. (2) The adult day health center must offer and provide on site the following services: (a) All core services under PL 106-117 Section 101; (b) Skilled nursing services other than routine health monitoring with nurse consultation; (c) At least one of the following skilled therapy services: physical therapy, occupational therapy, or speech-language pathology or audiology, as those services are defined under PL 106-117 Section 101 respectively; and (d) Psychological or counseling services, including assessing for psycho-social therapy need, dementia, abuse or neglect, and alcohol or drug abuse; making appropriate referrals; and providing brief, intermittent supportive counseling. (a) The following personal care services as defined in PL 106-117 Section 101, "personal care services," or its successor: (i) Ambulation; (ii) Body care; (iii) Eating; (iv) Positioning; (v) Self-medication; (vi) Transfer; (vii) Toileting; (viii) Personal hygiene at a level that ensures client safety and comfort while in attendance at the program; and (ix) Bathing at a level that ensures client safety and comfort while in attendance at the program. (b) Social services on a consultation basis, which may include: (i) Referrals to other providers for services not within the scope of Medicaid reimbursed adult day care services; (ii) Caregiver support and education; or (iii) Assistance with coping skills. (c) Routine health monitoring with consultation from a registered nurse that a consulting nurse acting within the scope of practice can provide with or without a physician's order. Examples include: (i) Obtaining baseline and routine monitoring information on a client's health status, such as vital signs, weight, and dietary needs; (ii) General health education such as providing information about nutrition, illnesses, and preventive care; (iii) Communicating changes in the client's health status to the client's caregiver; (iv) Annual and as needed updating of the client's medical record; (v) Assistance as needed with coordination of health services provided outside of the adult day care program. (d) General therapeutic activities that an unlicensed person can provide or that a licensed person can provide with or without a physician's order. These services are planned and provided as an integral part of the client's plan of care and are based on the client's abilities, interests and goals. Examples include: (i) Recreational activities; (ii) Diversionary activities; (iii) Relaxation therapy; (iv) Cognitive stimulation; (v) Group range of motion or conditioning exercises. (e) General health education that an unlicensed person can provide or that a licensed person can provide with or without a physician's order, including but not limited to topics such as: (i) Nutrition; (ii) Stress management; (iii) Disease management skills; (iv) Preventive care. (f) A nutritional meal and snacks provided each four-hour period at regular times comparable to normal meal times, including modified

ASSISTANCE LISTINGS DESCRIPTIONS

diet if needed and within the scope of the program, as provided under Public Law 106-117 Section 101; (g) Supervision and/or protection for clients who require supervision or protection for their safety; (h) Assistance with arranging transportation to and from the program; and (i) First aid and provisions for obtaining or providing care in an emergency.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); 51.52 A veteran is an eligible veteran for payment of per diem to a State for adult day health care if VA determines that the veteran:(a) Is not barred from receiving VA pension, compensation or dependency and indemnity compensation based on the character of a discharge from military service (see 38 CFR 3.12);(b) Is enrolled in the VA health care system;(c) Would otherwise require nursing home care; and(d) Needs adult day health care because the veteran meets any one of the following conditions:(1) The veteran has three or more Activities of Daily Living (ADL) dependencies.(2) The veteran has significant cognitive impairment.(3) The veteran has two ADL dependencies and two or more of the following conditions:(i) Seventy-five years old or older;(ii) High use of medical services, i.e., three or more hospitalizations per calendar year, or 12 or more visits to an outpatient clinic or to an emergency evaluation unit per calendar year;(iii) Diagnosis of clinical depression; or(iv) Living alone in the community.(4) The veteran does not meet the criteria in paragraph (d)(1), (2), or (3) of this section, but nevertheless a licensed VA medical practitioner determines the veteran needs adult day health care services.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); A veteran eligible for care in a VA facility needing adult day health care and meeting one of the following conditions: (a) has a service-connected disability for which such care is being provided; (b) has a non-service connected disability and sates under oath his inability to defray the expenses of necessary adult day health care; (c) was discharged or related from active military, naval and air services for a disability incurred or aggravated in the line of duty; or (d) is in receipt of, or but for the receipt of retirement pay would be entitled to receive disability compensation. A veteran must also meet State admission criteria.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Letter of application from State addressed to the Chief Consultant, Geriatrics and Extended Care (114) Department of Veterans Affairs, 810 Vermont Avenue, N.W. Washington DC 20420.

Award Procedure:

Recognition by the Secretary of Veterans Affairs for purposes of VA aid pursuant to Title 38 U.S.C. Section 1741.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. 38 U.S.C. 1741, For each veteran provided adult day health care, formula payments to the State are \$68.13 per diem, or one-half the cost of care, whichever is less.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is no length limitation; grant payments are made monthly to the state. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Cost records on which quarterly statements are based and attendance records to support report for days of care provided to veteran.

Account Identification:

36-0140-0-1-703.

Obligations:

Formula Grants FY 19 \$2,025,856.00; FY 20 est \$1,389,756.00; FY 21 est \$1,421,751.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Manual M-1, Part I, Chapter 3, State Veterans Homes, and M-5, Part VIII.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for Veterans Hospital Facilities.

Headquarters Office:

Colette Alvarez,
Geriatrics and Extended Care Service
Department of Veterans Affairs, Washington,
Chief, State Home Per Diem Program,

Washington DC 20420

Email: colette.alvarez@va.gov

Phone: 775-842-5755

Website Address:

https://www.va.gov/COMMUNITYCARE/providers/info_OtherCare.asp#SVH

RELATED PROGRAMS:

64.014 Veterans State Domiciliary Care; 64.015 Veterans State Nursing Home Care;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.027 Post-9/11 Veterans Educational Assistance

Post 9/11 GI Bill - Chapter 33,

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF
AUTHORIZATION:

Post-9/11 Veterans Educational Assistance Act of 2008, , Public Law 110-252, 38 USC Code Chapter 33.

OBJECTIVES:

To help servicepersons adjust to civilian life after separation from military service, assist in the recruitment and retention of highly qualified personnel in the active and reserve components in the Armed Forces by providing education benefits, and to provide educational opportunities to the dependents of certain service members and veterans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

Participants may pursue approved programs of education offered by an institution of higher learning (IHL). Participants may also receive reimbursement for an approved licensing or certification test. Participants may pursue approved programs of education offered by an institution of higher learning (IHL). Participants may also receive reimbursement for an approved licensing or certification test.

Applicant Eligibility:

Individual/Family; What follows is not a complete list of eligibility requirements. For more information on the latest changes to the Post-9/11 GI Bill go to the VA web-site address listed below. Individuals who entered active duty after September 10, 2001 may be eligible for the Post-9/11 GI Bill. Individuals can use the Post-9/11 GI Bill after serving 90 days on active duty (excluding entry level and skill training). Only periods of active duty under title 10 will be used to establish eligibility for the Post 9/11 GI Bill. A high school diploma or equivalency certificate is always required for eligibility. Individuals who are eligible for the Montgomery GI Bill Active Duty (Chapter 30), the Montgomery GI Bill Selected Reserve (Chapter 1606), or the Reserve Educational Assistance Program (REAP or Chapter 1607) will have to make an irrevocable election to relinquish eligibility under one of those benefit programs to establish eligibility under the Post-9/11 GI Bill. The dependent children of a person who died in the line of duty while serving as a member of the Armed Forces may be eligible to use benefits under the Marine Gunnery Sergeant John David Fry Scholarship provision of the Post-9/11 GI Bill. The spouse and/or child(ren) of a veteran or service member may be eligible for the Post 9/11 GI Bill if the veteran or service member transfers entitlement to those dependents. Eligibility to transfer entitlement to dependents is determined by the

Beneficiary Eligibility:

Individual/Family; As stated above under Applicant Eligibility

Credentials/Documentation:

Military discharge certificate (DD 214) is sometimes required; enrollment certification by institution offering training is required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Service members and veterans may apply for Post-9/11 GI Bill benefits by completing and submitting the Application for VA Education Benefits (VA Form 22-1990). Dependent children may apply for Fry Scholarship provision of the Post-9/11 GI Bill benefits by completing and submitting the Dependents Application for Education Benefits (VA Form 22-5490). Dependent spouses and children may apply to use transferred benefits by completing and submitting the Application for Family Member to use Transferred Benefits (VA Form 22-1990e). Applications may be obtained and completed online via the GI Bill website at www.GIBill.va.gov. Applications are also available at all VA Regional Offices.

Award Procedure:

Awards are authorized at the designated VA Regional Processing Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. For FY 19, VA took an average of 22 days to process an initial application for benefits.

Appeals:

From 90 to 120 days. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Lump sum payments for tuition and fees and the Yellow Ribbon Program are issued directly to the IHL on behalf of the student. Students generally receive monthly payments of the housing allowance and a lump sum payment of the books and supplies stipend. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None

Account Identification:

36-0137-0-1-702.

Obligations:

Direct Payments for Specified Use FY 19 (\$2,135,962,888.00); FY 20 est (\$1,310,245,888.00); FY 21 est (\$1,124,229,888.00); FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 Estimate Not Available;

Range and Average of Financial Assistance:

The amount of educational assistance an individual is entitled to varies based on the amount of time served on active duty, the amount of tuition and fees charged, and the Basic Allowance for Housing payable for an E-5 with dependents based on the location of the campus where the individual physically attends a majority of classes. In most cases, the benefits last for 36 (48 months when combined with other VA education benefits) calendar months of full-time training. The work-study allowance is limited to the higher of the Federal minimum wage or the State minimum wage where the work is performed. Tutorial assistance may not exceed \$1200 and reimbursement for a licensing or certification test may not exceed \$2000. The rural benefit is a one-time payment of \$500.

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.9500-21.9770

Regional or Local Office:

See Regional Assistance Locations. 1-888-GI-BILL-1 (1-888-442-4551)

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,

810 Vermont Ave., NW,

Washington DC 20420

Email: enric.reaume@va.gov

Phone: (202) 461-9600.

Terry Warren - VBA, Education Service,

810 Vermont Ave. NW,

Washington DC 20420

Email: terry.warren@va.gov

Phone: 202-461-9213

Fax:

Website Address:

<http://www.GIBILL.va.gov>

RELATED PROGRAMS:

64.117 Survivors and Dependents Educational Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.028 Post-9/11 Veterans Educational

ASSISTANCE LISTINGS DESCRIPTIONS

Assistance

Post-9/11 GI Bill - Chapter 33,

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Supplemental Appropriations Act, 2008, , Public Law 110-252, 38 USC Code 33.

OBJECTIVES:

To help servicepersons adjust to civilian life after separation from military service, assist in the recruitment and retention of highly qualified personnel in the active and reserve components in the Armed Forces by providing education benefits, and to provide educational opportunities to the dependents of certain service members and veterans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements), Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Participants may pursue approved programs of education offered by an institution of higher learning (IHL). Participants may also receive reimbursement for an approved licensing or certification test. Participants may pursue approved programs of education offered by an institution of higher learning (IHL). Participants may also receive reimbursement for an approved licensing or certification test.

Applicant Eligibility:

Individual/Family; What follows is not a complete list of eligibility requirements. For more information on the latest changes to the Post-9/11 GI Bill go to the VA web-site address listed below. Individuals who entered active duty after September 10, 2001 may be eligible for the Post-9/11 GI Bill. Individuals can use the Post-9/11 GI Bill after serving 90 days on active duty (excluding entry level and skill training). Only periods of active duty under title 10 will be used to establish eligibility for the Post 9/11 GI Bill. A high school diploma or equivalency certificate is always required for eligibility. Individuals who are eligible for the Montgomery GI Bill Active Duty (Chapter 30), the Montgomery GI Bill Selected Reserve (Chapter 1606), or the Reserve Educational Assistance Program (REAP or Chapter 1607) will have to make an irrevocable election to relinquish eligibility under one of those benefit programs to establish eligibility under the Post-9/11 GI Bill. The dependent children of a person who died in the line of duty while serving as a member of the Armed Forces may be eligible to use benefits under the Marine Gunnery Sergeant John David Fry Scholarship provision of the Post-9/11 GI Bill. The spouse and/or child(ren) of a veteran or service member may be eligible for the Post 9/11 GI Bill if the veteran or service member transfers entitlement to those dependents. Eligibility to transfer entitlement to dependents is determined by the Department of Defense.

Beneficiary Eligibility:

Individual/Family; As stated above under Applicant Eligibility

Credentials/Documentation:

Military discharge certificate (DD 214) is sometimes required; enrollment certification by institution offering training is required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Service members and veterans may apply for Post-9/11 GI Bill benefits by completing and submitting the Application for VA Education Benefits (VA Form 22-1990). Dependent children may apply for Fry Scholarship provision of the Post-9/11 GI Bill benefits by completing and submitting the Dependents Application for Education Benefits (VA Form 22-5490). Dependent spouses and children may apply to use transferred benefits by completing and submitting the Application for Family Member to use Transferred Benefits (VA Form 22-1990e). Applications may be obtained and completed online via the GI Bill website at www.GIBill.va.gov. Applications are

also available at all VA Regional Offices.

Award Procedure:

Awards are authorized at the designated VA Regional Processing Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. For FY 19, VA took an average of 22 days to process an initial application for benefits.*The latest data for FYTD20 is available only through July 31, 2020

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Lump sum payments for tuition and fees and the Yellow Ribbon Program are issued directly to the IHL on behalf of the student. Students generally receive monthly payments of the housing allowance and a lump sum payment of the books and supplies stipend. Method of awarding/releasing assistance: Method of awarding/releasing assistance: other

Reports:

Educational assistance will not be paid until VA receives certification of enrollment from the IHL. Beneficiaries are required to notify the IHL and VA of any changes to enrollment.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None

Account Identification:

36-0137-0-1-702.

Obligations:

Direct Payments for Specified Use (Cooperative Agreements) FY 19 (\$2,135,962,888.00); FY 20 est (\$1,310,245,888.00); FY 21 est (\$1,124,229,888.00);

Range and Average of Financial Assistance:

The amount of educational assistance an individual is entitled to varies based on the amount of time served on active duty, the amount of tuition and fees charged, and the Basic Allowance for Housing payable for an E-5 with dependents based on the location of the campus where the individual physically attends a majority of classes. In most cases, the benefits last for 36 (48 months when combined with other VA education benefits) calendar months of full-time training. The work-study allowance is limited to the higher of the Federal minimum wage or the State minimum wage where the work is performed. Tutorial assistance may not exceed \$1200 and reimbursement for a licensing or certification test may not exceed \$2000. The rural benefit is a one-time payment of \$500.

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.9500-21.9770.

Regional or Local Office:

See Regional Assistance Locations. 1-888-GI-BILL-1 (1-888-442-4551)

Headquarters Office:

Budget Analyst Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., NW,
Washington DC 20420

ASSISTANCE LISTINGS DESCRIPTIONS

Email: enrico.reaume@va.gov
Phone: (202) 461-9600
Terry Warren - VBA, Education Service,
810 Vermont Ave., NW,
Washington DC 20420
Email: Terry.Warren@va.gov
Phone: (202) 461-9213
Fax:

Website Address:

<http://www.GIBILL.va.gov>

RELATED PROGRAMS:

64.124 All-Volunteer Force Educational Assistance; 64.120 Post-Vietnam Era Veterans' Educational Assistance; 64.125 Vocational and Educational Counseling for Servicemembers and Veterans; 64.117 Survivors and Dependents Educational Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.029 Purchase Care Program

Purchase Care Program - VA Health Administration Center,

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 1997, , Public Law 104-204, 38 USC Code 1752-1756.

OBJECTIVES:

The Veterans Health Administration Office of Community Care (VHA OCC) operates two main service lines; Delivery Operations and Revenue Operations, that support medical care delivery and services for Veterans and their families. VHA OCC is also responsible for functions that support these service lines such as administration, planning, oversight and stakeholder relations. Delivery Operations manages all of the programs that allow Veterans and their family members to receive care and services through community providers outside of VA (i.e. Veterans Choice Program and traditional community care) and manages Veteran and family member programs that pay for care outside of VA (i.e. Civilian Health and Medical Program of the Department of Veterans Affairs (CHAMPVA) and Foreign Medical Program (FMP)). Revenue Operations manages the centralized processes that allow VA to collect revenue from private health insurance carriers for non-service connected care provided to insured Veterans. Funds that are collected go back to VA medical facilities to provide health care services to Veterans. VHA OCC is mainly responsible for managing back-end revenue cycle processes that take place at the seven Consolidated Patient Account Centers (CPACs) located across the country. Other functions of VHA OCC support and strengthen the effectiveness of its business lines. These include strategy, policy and planning, budget, workforce management, performance improvement and oversight, data integration, communications and stakeholder relations. Taken together, VHA OCC works closely with Veterans and their families, community providers, and VA staff to ensure Veterans can get care from community providers when needed, administers VHA's beneficiary programs, and collects revenue from private health insurance carriers to provide additional financial support to VA medical facility operations.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Uses generally cover medically necessary health care for eligible recipients. Restrictions are detailed in the underlying authority for each congressionally authorized program.

Applicant Eligibility:

Individual/Family; Anyone/general public; What follows is not a comprehensive listing of eligibility requirements. For detailed eligibility requirements the USC and CFRs of each program should be consulted. For Veterans generally eligibility is established by evidence of service by DD Form 214 or through the Veterans Benefits Administration. Benefits provided are based on eligibility as established at 8 levels approved by the VHA Deputy Under Secretary for Health. For Non Veteran Beneficiaries eligibility is established by adjudication of the Sponsoring Veteran as Permanently and Totally disabled (P&T) (38 CFR 17.52(a)(1)(iii)) and that the Non Veteran Beneficiary is a dependant of the Veteran by the VBA and is eligible for Chapter 35 Benefits.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; As stated above under Applicant Eligibility.

Credentials/Documentation:

Military Discharge Certificate (DD Form 214), VBA Adjudication of disability rating for Veteran beneficiaries, VBA Adjudication of eligibility for Chapter 35 Benefits for Non Veteran Beneficiaries. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards are initiated and authorized from the VA Regional Office processing the benefits claim. All subsequent benefit administration refers to the VARO adjudication of eligibility or to the status of the Veteran or Non Veteran Beneficiary as established by the VBA.

Award Procedure:

Veterans initiate benefits eligibility claims through their serving VA Regional Office under the Veterans Benefits Administration. Where there is no disability claim involved the Veterans serving Veterans Administration Medical Center (VHA/VAMC) or Community Based Outreach Clinic (VHA/CBOC) establishes benefits eligibility through the VHA/CBO/Health Eligibility Center. Secondary validation of eligibility is performed for Non Veteran Beneficiaries by the administering Program Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. There are no deadlines for award of eligibility. When adjudication of a disability establishes the disability at a date prior to the date of adjudication each program has in place mechanisms to provide retroactive benefits. For programs requiring additional adjudication beyond that of the VBA ~89% of all applications were approved within 30 days in FY09.

Appeals:

From 90 to 120 days. Appeals are available within each program beginning with local formal appeal, a second appeal process is provided by policy and upon adverse determination of a second appeal the final level of appeal is provided through the Board of Veterans Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Once eligibility is established the benefit is available for the life of the Veteran Beneficiary. For Non Veteran Beneficiaries where eligibility is based on adjudication as a dependant the benefit is terminated if dependant status is not maintained. The full spectrum of benefits are immediately available upon adjudication of eligibility. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Each program has multiple levels of audits. Audits are conducted at the program level, at the Agency Inspector General level, and annually in accordance with the Improper Payments Information Act of 2002 (Public Law 107-300).

Records:

Eligibility records are permanent. Records archive is performed according to VA Handbook 6300.1, Chapter 7. Definitions of Records are outlined in Attachment 1 (VA Handbook 6300.1, Chapter 7). Records Handling procedures are outlined in Attachments 1 (VA Handbook 6300.1 chapters 1-7), 2 (VA Privacy Act System of Records) and 3 (Subchapter B of the NARA portion of the Code of Federal Regulations 1220-1238). Records Storage procedures are outlined in Attachments 1 (VA Handbook 6300.1 Chapter 6) and 4 (VA Records Control Schedule, section XXXVIII). Vital Records Program procedures are outlined in Attachment 5 (VA Handbook 6300.2). Freedom of Information Act Implementation, Program Management and Request for Information under FOIA are outlined in Attachment 6&7 (VA Handbooks 6300.3 and 6300.4). Managing a Privacy Act System of Records is outlined in Attachments 7&8 (VA Handbook 6300.4 and VA Handbook 6300.5). Release of Veterans and Dependents Personal Information (Names and Addresses) is outlined in Attachment 9 (VA Handbook 6300.6). Computer Matching Programs are outlined in Attachment 10 (VA Handbook 6300.7). Electronic Records Management is outlined in Attachment 11 (VA Handbook 6301). Procedures for the Dissemination of Government Information are outlined in Attachment 12 (VA Handbook 6360.1). Implementation for the Government Information Locator program is outlined in Attachment 13 (VA Handbook 6360.2).

Account Identification:

36-0152-0-1-741.

Obligations:

Direct Payments for Specified Use FY 19 \$545,833,067.00; FY 20 est \$530,475,204.00; FY 21 est \$472,946,445.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0152.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 U.S.C. 1703 & 38 CFR 17.52 17.56/38 U.S.C. 1728 & 38 CFR 17.120 17.132/38 U.S.C. 1725 & 38 CFR 17.1000-.1008/38 U.S.C. 1781/38 U.S.C. 1724, 38 CFR 17.35, and 17.141 Literature available at <http://vaww1.va.gov/cbo/> or <http://www1.va.gov/cbo/>

Regional or Local Office:

See Regional Assistance Locations. Department of Veterans Affairs VHA Office of Community Care (10D)810 Vermont Avenue, NW Washington, DC 20420

Headquarters Office:

Kevin Johnson,

Department of Veterans Affairs VHA Office of Community Care (10D)810 Vermont Avenue, NW Washington, DC 20420,

Washington DC 20420

Email: Kevin.Johnson580fa@va.gov

Phone: 310-560-8742

Website Address:

<https://www.va.gov/COMMUNITYCARE/index.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

of New Life Insurance Policies Issued

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

The Housing and Economic Recovery Act of 2008, , Public Law 110-289, 38 USC Code 1901-1928. The Veterans Benefits Act of 1992, , Public Law 102-568, 38 USC Code 2106. The Mortgage Protection Life Insurance Act of 1971, , Public Law 92-95, The Servicemens Indemnity and Insurance Act of 1951, , Public Law 82-23, 38 USC Code 1903-1922.

OBJECTIVES:

To provide Service Disabled Life Insurance (S-DVI) protection for other than dishonorably discharged Veterans who are service disabled and who separated from active duty on or after April 25, 1951, and to provide Veterans Mortgage Life Insurance (VMLI) for Veterans and Servicemembers who have been granted Department of Veterans Affairs (VA) specially adapted housing benefits. 38 U.S.C. 1922; 38 U.S.C. 2106. These programs represent new contingent liabilities of VA and are reported under this CFDA program.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

Life insurance settlement is paid upon the death of the insured Veteran. The S-DVI face amount of the policy, less any indebtedness on the policy, is payable to the designated beneficiary upon the insured Veterans death, and only to the mortgage holder under VMLI coverage. See 38 U.S.C. 1917(b)(4); 38 U.S.C. 1924(c); 38 U.S.C. 2106(e).

Applicant Eligibility:

Anyone/general public; VA may issue S-DVI to a Veteran discharged under other than dishonorable conditions from active military duty, on or after April 25, 1951, who has been granted service connection for a disability, and who, if not for the service-connected disability(ies), would be otherwise insurable in accordance with established standards of good health. The Veteran must apply for coverage within two years from the date of notification of the decision granting service connection. 38 U.S.C. 1922(a). A Veteran insured under S-DVI who qualifies for waiver of premiums, is under age 65, and applies within one year from date of notice from VA that he/she qualifies for waiver of premiums, may be issued Supplemental S-DVI coverage. 38 U.S.C. 1912(a); 38 U.S.C. 1922(a). A Veteran or Servicemember granted VA specially adapted housing benefits will be sent notice that he/she is automatically eligible to be insured for VMLI unless he/she elects in writing not to be so insured, or fails to respond within 60 days after VA sends a final request for information on which premiums can be based. 38 CFR 8a.3(a).

Beneficiary Eligibility:

Anyone/general public; S-DVI proceeds are payable to designated beneficiaries. 38 U.S.C. 1917(a). S-DVI may also be granted under certain conditions to mentally incompetent Veterans who were otherwise eligible for such insurance, but due to their incompetency, died without filing an application. 38 U.S.C. 1922(b). VMLI proceeds are payable to the holder of the mortgage loan. 38 U.S.C. 2106(e).

Credentials/Documentation:

Veterans eligible for S-DVI may apply using paper VA Form 29-4364, or apply online using VA Form 29-0151 (system generated). Veterans and Servicemembers granted specially adapted housing benefits under 38 U.S.C. 2101 are automatically approved for VMLI if they complete the application process. To complete the VMLI application process, the following documents must be submitted to VA: (1) Settlement Statement; (2) Truth-In-Lending Disclosure Statement; (3) and current mortgage account statement. Failure to provide these documents in a timely manner will result in coverage not being issued. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

64.030 Life Insurance for Veterans Face Amount

ASSISTANCE LISTINGS DESCRIPTIONS

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Veterans eligible for S-DVI may apply using paper VA Form 29-4364, or apply online using VA Form 29-0151. If a Veteran is mentally incompetent, a VA-recognized fiduciary may apply on his/her behalf for S-DVI. Veterans eligible for waiver of S-DVI premiums may apply for Supplemental S-DVI coverage using VA Form 29-0190. Veterans and Servicemembers granted VA specially adapted housing benefits under 38 U.S.C. 2101 may apply for VMLI using VA Form 29-8636. The S-DVI program is excluded from coverage under OMB Circular No. A-102. The VMLI program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Individuals will be notified of the acceptance/issuance of their insurance policy by VA or the appropriate designated entity. S-DVI is issued in amounts up to \$10,000. 38 U.S.C. 1903. Supplemental S-DVI is issued in amounts up to \$30,000. 38 U.S.C. 1922A. VMLI is issued in amounts up to \$200,000. 38 U.S.C. 2106.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Approvals/disapprovals will be issued from 15 to 30 days upon application for Service-Disabled Veterans Insurance after VAs notice of the service-connected rating. Veterans Mortgage Life Insurance is effective immediately upon approval of a specially adapted housing grant.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

Records:

Records are maintained by the Insurance Center.

Account Identification:

36-4012-0-1-701. 36-0120-0-1-701.

Obligations:

Insurance FY 19 Not Available; FY 20 Estimate Not Available; FY 21 Estimate Not Available;

Range and Average of Financial Assistance:

None

TAFS Codes:

36-0120. 36-4012.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. For all States, contact the Department of Veterans Affairs, P.O. Box 42954, Philadelphia, PA 19101.

Headquarters Office:

Budget Analyst Tikva Giterman - VBA, Insurance Service,
810 Vermont Ave. NW,
Washington DC 20420
Email: Tikva.giterman@va.gov

Phone: 215-842-2000 x427

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave. NW,
Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

<http://www.benefits.va.gov/INSURANCE/index.asp>

RELATED PROGRAMS:

64.106 Specially Adapted Housing for Disabled Veterans; 64.103 Life Insurance for Veterans; 64.031 Life Insurance for Veterans - Direct Payments for Insurance; 96.001 Social Security_Disability Insurance; 96.004 Social Security_Survivors Insurance; 64.105 Pension to Veterans Surviving Spouses, and Children; 64.109 Veterans Compensation for Service-Connected Disability;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.031 Life Insurance for Veterans - Direct Payments for Insurance

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

National Service Life Insurance Act, , Public Law 76-801, War Risk Insurance Act, , Public Law 65-90, 38 USC Code 1903-1941. World War Veterans Act, , Public Law 68-242, Servicemen's Indemnity and Insurance Act, , Public Law 82-23

OBJECTIVES:

To provide direct insurance payments, including advance payments disbursed as loans, to Veterans and their beneficiaries for claims based on death or other qualifying circumstances. Payments may be authorized for United States Government Life Insurance (USGLI), National Service Life Insurance (NSLI), and Veterans Mortgage Life Insurance (VMLI). 38 U.S.C. 1901-1963; 38 U.S.C. 2106.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

The funds used to pay insurance proceeds will be discussed below in the Award Procedure (093) section. Life insurance settlement is payable upon death of the insured, or the insured may surrender a permanent plan policy for cash. 38 U.S.C. 1917; 38 U.S.C. 1951; 38 CFR 8.11(b); 38 CFR 6.14. The insured may also be granted a loan for up to 94 percent of the cash surrender value of a permanent plan policy. 38 CFR 8.13; 38 CFR 6.13. Interest on United States Government Life Insurance (WWD) policy loans is charged at the rate of 5 percent per annum. 38 U.S.C. 1944. Interest is charged at a variable annual rate for NSLI. 38 CFR 8.13. The variable rate equals the yield on the Ten-Year Constant Maturities Index for U.S. Treasury securities for the month of June of each year rounded down to the next whole percentage. 38 CFR 8.13(c). This loan rate is effective from the first day of October of each year and will not exceed 12 percent or be lower than 5 percent per annum. 38 CFR 8.13(d). The face amount of the policy, less any indebtedness on the policy, is payable to the designated beneficiary upon the insured's death under a regular life policy, and only to the mortgage holder under VMLI coverage. 38 U.S.C. 1917(a); 38 U.S.C. 2106(e). Other direct payments may include dividend cash option payments or other payments as authorized. 38 CFR 8.10; 38 CFR 6.11.

Applicant Eligibility:

Anyone/general public; Veterans currently insured under USGLI, NSLI, or VMLI. Payment cannot be made if it would cause the insurance proceeds to revert back to a State (as in cases where there are no permissible heirs to pay). 38 U.S.C. 1950; 38 U.S.C. 1917(d).

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Payment may be made on a claim to a designated beneficiary, a representative of the estate, a minor or incompetent, or another designee filing for benefits. 38 U.S.C. 1917. A beneficiary may assign all or a portion of his or her share of the insurance to a restricted class of the insured's relatives. 38 U.S.C. 1918(b). Payments may also be made to the policyholder for loan or dividend amounts. 38 CFR 8.11(b); 38 CFR 6.14; 38 CFR 8.10; 38 CFR 6.11.

Credentials/Documentation:

The following documentation is generally required for payment of an insurance claim: (1) for a principal beneficiary, a photocopy of the death certificate showing date and cause of death of the insured, and a completed VA Form 29-4125; (2) for a contingent beneficiary, death certificates for the insured and principal beneficiary(ies), and a completed VA Form 29-4125; (3) for a representative of the Veteran's estate, the death certificate(s), copies of letters testamentary, letters of administration, or a court order of distribution along with claims from the entitled parties, and an appropriate claim form signed by the executor or administrator of the estate; (4) for a minor or incompetent, death certificate(s); a claim from the next of kin, personal representative (guardian, custodian, etc.) or logical person to receive payment for the minor or incompetent; letters of guardianship, conservatorship (if any); and the address of minor or incompetent. If filing for VMLI benefits, the following documentation is needed: insured's death certificate, and a payoff statement from the Veteran's or Servicemember's mortgage lender showing the outstanding mortgage balance owed as of the Veteran's or Servicemember's death. An insured's will can be used as evidence that he or she intended a lump sum payment, regardless of when the will was executed. 38 CFR 8.25. A letter claiming the insurance proceeds and showing a mailing address for the check may be used instead of a VA claim form. The claimant should be sure to show the insurance file number and to sign the letter. An insured can surrender the policy for its cash value by submitting VA Form 29-1546, page 1. An insured can request a loan against the cash value of the policy by submitting VA Form 29-1546, page 2, or by applying online. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No.A-110. Death proceeds can be claimed by submitting a VA Form 29-4125 or 4125(a). An insured can surrender the policy for its cash value by submitting VA Form 29-1546, page 1. An insured can request a loan against the cash value of the policy by submitting VA Form 29-1546, page 2, or by applying online.

Award Procedure:

Direct Insurance payments can be directly deposited into a claimant's account. Claimants must provide their checking or savings deposit account number as well as their social security numbers. Claimants must also provide the name, address, phone number, and routing transit number for their financial institutions. If the award check is to be mailed to the claimant's bank account, the account number and type of account (checking or savings) must be shown on the claim in addition to the name and address of the bank. USGLI proceeds are paid from the USGLI fund. 38 U.S.C. 1955(a). Under 38 CFR part 8, four distinct life insurance programs exist, namely, NSLI, Veterans Special Life Insurance (VSLI, 38 U.S.C. 1923), Veterans Reopened Insurance (VRI, 38 U.S.C. 1925), and Service-Disabled Veterans Insurance (S-DVI, 38 U.S.C. 1922). NSLI proceeds are paid from the NSLI fund. 38 U.S.C. 1920(a). VSLI proceeds are paid from the VSLI fund. 38 U.S.C. 1923(a). VRI proceeds are paid from the VRI fund. 38 U.S.C. 1925(d)(1). S-DVI proceeds are paid from the fund established at 38 U.S.C. 1922(a)(4). VMLI proceeds are paid from the Veterans Insurance and Indemnities appropriations account. 38 U.S.C. 2106(d)(1).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Most death awards are authorized by the Insurance Center within 10 days of receipt of the documents needed for payment.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records are maintained at the Insurance Center.

Account Identification:

36-5150-0-1-701. 36-8132-0-1-701. 36-0120-0-1-701. 36-4010-0-1-701. 36-4012-0-1-701. 36-8455-0-1-701.

Obligations:

Insurance FY 19 \$980,000,000.00; FY 20 est \$937,000,000.00; FY 21 est \$834,000,000.00;

Range and Average of Financial Assistance:

None

TAFS Codes:

36-8132. 36-0120. 36-8455. 36-8150. 36-4012.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations. For all States, contacts the Department of Veterans Affairs, P.O. Box 42954, Philadelphia, PA 19101. This office should also be contacted about Veterans Mortgage Protection Life Insurance.

Headquarters Office:

Budget Analyst Tikva Giterman - VBA, Insurance Program Management,
810 Vermont AVE. NW,
Washington DC 20420

Email: Tikva.giterman@va.gov

Phone: 215-842-2000 x4287

Enrico Reaume - VBA, Office of Executive Review,

810 Vermont Ave. NW,

Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

<http://www.benefits.va.gov/INSURANCE/index.asp>

RELATED PROGRAMS:

64.106 Specially Adapted Housing for Disabled Veterans; 96.001 Social Security_Disability Insurance; 96.004 Social Security_Survivors Insurance; 64.105 Pension to Veterans Surviving Spouses, and Children; 64.109 Veterans Compensation for Service-Connected Disability; 64.030 Life Insurance for Veterans – Face Amount of New Life Insurance Policies Issued;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.032 Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program

Montgomery GI Bill - Selected Reserve (MGIB-SR or Chapter 1606) Reserve Educational Assistance Program (REAP or Chapter 1607),

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Federal Funding Accountability and Transparency Act; National Defense Authorization Act, Public Law 114-92, 10 USC Code 1606-1607.

OBJECTIVES:

To encourage membership in units of the Selected Reserve of the Ready Reserve and to provide educational assistance to members of the reserve components called or ordered to active service in response to a war or national emergency declared by the President or Congress.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Participants may receive payment for pursuit of approved educational assistance programs.

Applicant Eligibility:

Individual/Family; What follows is not a complete list of eligibility requirements. For more information on MGIB-SR visit <https://www.va.gov/education>. Generally, to qualify for benefits under MGIB-SR you must (1) Have a six-year obligation to serve in the Selected Reserve signed after June 30, 1985. If you are an officer, you must have agreed to serve six years in addition to your original obligation. For some types of training, it is necessary to have a six-year commitment that begins after September 30, 1990; (2) Complete your initial active duty for training (IADT); (3) Meet the requirement to receive a high school diploma or equivalency certificate before completing IADT. You may not use 12 hours toward a college degree to meet this requirement; and (4) Remain in good standing while serving in an active Selected Reserve unit. Generally, to qualify for benefits under REAP you must have served at least 90 consecutive days on active duty as a result of a call or order to active duty from a reserve component in response to a war or national emergency declared by the President or Congress.

Beneficiary Eligibility:

Individual/Family; As stated above under Applicant Eligibility

Credentials/Documentation:

Notice of Basic Eligibility (NOBE), or eligibility notification from the Department of Defense. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Reservist may apply for MGIB-SR benefits by completing and submitting the Application for VA Education Benefits (VA Form 22-1990). Applications may be obtained and completed online via the GI Bill website at <https://www.va.gov/education>. Applications are also available at all VA Regional Offices.

Award Procedure:

Awards are authorized at the designed VA Regional Processing Office. Participants may use benefits while serving in an active selected reserve unit. MGIB-SR

participants released due to disability that was not caused by misconduct will have 14 years from their date of eligibility to use benefits. REAP participants generally have 10 years from their release date to use education benefits.

Deadlines:

November 24, 2015 - November 25, 2019. The following information applies only to the Reserve Educational Assistance Program (REAP). Veterans who were attending an educational institution on November 24, 2015, or during the last semester, quarter, or term ending prior to that date, are eligible to continue to receive RREAP benefits until November 25, 2019. Veterans who applied for REAP but were not attending an educational institution on November 24, 2015, or during the last semester, quarter, or term ending prior to that date, are no longer eligible to receive REAP benefits. Veterans may be eligible to receive benefits under the Post-9/11 GI Bill. Veterans who have not enrolled in school and applied for REAP benefits prior to November 2, 2015, are no longer eligible for REAP benefits. However, in most cases, the Veteran will be eligible for the Post-9/11 GI Bill.

If the VA received a new application for REAP on or after November 25, 2015, the veteran's periods of service will be evaluated for eligibility for all programs, including Post-9/11 GI Bill.

If you're using REAP but would like learn how to make an irrevocable election to use the Post-9/11 GI Bill instead, please call us at 1-888-GIBILL-1.

Range of Approval/Disapproval Time:

Other. In FY 2020, an original claim will be considered timely if processed within 28 days. A supplemental claim will be considered timely if processed within 14 days.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Reservists receive monthly payments in most instances. Accelerated payments (one-time payments) equal to 60% of the cost of the course are available for certain high cost courses. Advance payment of the first 2 months of benefits for an enrollment period may be available. Method of awarding/releasing assistance: other

Method of awarding/releasing assistance: other

Reports:

Educational assistance will not be paid until VA receives certification of enrollment from the school. Beneficiaries are required to notify the school and VA of any changes to enrollment.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

Direct Payments for Specified Use FY 19 \$109,049,000.00; FY 20 est \$108,093,000.00; FY 21 est \$106,896,000.00;

Range and Average of Financial Assistance:

MGIB-SR: In FY 20, the monthly rate for full-time training at an institution is \$392. REAP: In FY20, the monthly rate for full-time training at an institution is \$1,640 for individuals with consecutive service of 2 or more years, \$1,230 for one year but less than two years of service, and \$820 for 90 days but less than a year of service.

TAFS Codes:

36-0137.

ASSISTANCE LISTINGS DESCRIPTIONS

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 10, U.S. Code, chapter 1606.

Regional or Local Office:

See Regional Assistance Locations. 1-888-GI-BILL-1 (1-888-442-4551).

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,

810 Vermont Ave. NW,

Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Fax: Terry Warren - VBA, Education Service,

810 Vermont Ave. NW,

Washington DC 20420

Email: Terry.Warren@va.gov

Phone: (202) 461-9213

Fax:

Website Address:

<http://www.benefits.va.gov/gibill>

RELATED PROGRAMS:

64.124 All-Volunteer Force Educational Assistance; 64.120 Post-Vietnam Era Veterans' Educational Assistance; 64.125 Vocational and Educational Counseling for Servicemembers and Veterans; 64.117 Survivors and Dependents Educational Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.033 VA Supportive Services for Veteran Families Program

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,

DEPARTMENT OF

AUTHORIZATION:

Veterans Mental Health and Other Care Improvements Act of 2008, Public Law 110-387 (as amended), 38 U.S.C. 2044., , Public Law 110-387, 38 USC Code 2044.

OBJECTIVES:

To provide supportive services grants to private non-profit organizations and consumer cooperatives who will coordinate or provide supportive services to very low-income Veteran families who: (i) are residing in permanent housing, (ii) are homeless and scheduled to become residents of permanent housing within a specified time period, or (iii) after exiting permanent housing within a specified time period, are seeking other housing that is responsive to such very low-income Veteran families needs and preferences.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 604 of the Veterans Mental Health and Other Care Improvements Act of 2008 (Public Law 110-387) established VAs Supportive Services for Veteran Families (SSVF) Program. The SSVF Program provides grants to private non-profit organizations and consumer cooperatives that will coordinate or provide supportive services to Veterans and their families who have less than 50% area median income and (i) are residing in permanent housing, (ii) are homeless and scheduled to become residents of permanent housing within a specified time period, or (iii) after exiting permanent housing within a specified time period, are seeking other housing that is responsive to such very low-income Veteran families needs and preferences. Grantees are responsible for providing outreach, case

management, linkages to VA benefits, and linkages to other public benefits with the supportive services grant funds. Grantees may choose to provide temporary financial assistance on behalf of the Veteran family for certain costs. Limitations on the use of supportive services grant funds, including time and amount limitations, are described in 38 CFR Part 62.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants eligible for grants must be either a private non-profit organization or a consumer cooperative. The SSVF Program defines a private non-profit organization as: 1. An incorporated private institution or foundation that (a) has no part of the net earnings that inure to the benefit of any member, founder, contributor, or individual; (b) has a governing board that is responsible for the operation of the supportive services; and (c) is approved by VA as to financial responsibility; 2. A for-profit limited partnership, the sole general partner of which is an organization that (a) has no part of the net earnings that inure to the benefit of any member, founder, contributor, or individual; (b) has a governing board that is responsible for the operation of the supportive services; and (c) is approved by VA as to financial responsibility; 3. A corporation wholly owned and controlled by an organization that (a) has no part of the net earnings that inure to the benefit of any member, founder, contributor, or individual; (b) has a governing board that is responsible for the operation of the supportive services; and (c) is approved by VA as to financial responsibility; or 4. A tribally designated housing entity (as defined in section 4 of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4103)). The SSVF Program defines a consumer cooperative as having the meaning given such term in section 202 of the Housing Act of 1959 (12 U.S.C. 1701q).

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Veteran families, meaning families in which the head of household or the spouse of the head of household served in the active military, naval, or air service, and who was discharged or released therefrom under conditions other than dishonorable. Eligible Veteran families must be very-low income, meaning their annual income, as determined in accordance with 24 CFR 5.609, does not exceed 50 percent of the median income for an area or community. Eligible Veteran families must also fall within one of the following categories: (i) residing in permanent housing; (ii) homeless and scheduled to become a resident of permanent housing within 90 days pending the location or development of housing suitable for permanent housing; or (iii) exited permanent housing within the previous 90 days to seek other housing that is responsive to the very low-income Veteran families needs and preferences.

Credentials/Documentation:

Proof of private non-profit organization or consumer cooperative status is required. This program is excluded from coverage under OMB Circular No. A-87. OMB Circular No. A-122 is applicable. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. As funds are available for the program, VA will publish in the Federal Register a notice of fund availability, soliciting applications for the grant program and information on applications for requesting eligibility to receive SSVF grant funds. The NOFA will contain; the content and form of the application with information on obtaining an application; the date, time, and place for submitting completed applications; the estimated amount and type of funding available; any priorities for or exclusions from funding, and provide information on additional documents necessary for the application. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The application content the Federal agency must use is required by 38 CFR 62, "VA Supportive Services for Veteran Families Program". VA will provide information to applicants on how to access a copy of the regulation in the notice of funding availability. Applications

ASSISTANCE LISTINGS DESCRIPTIONS

will only be accepted when received within the timeframe and meeting the requirements described in the notice of fund availability published in the Federal Register. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

Formal approval of the award of assistance is made by the Secretary of Veterans Affairs. The program is administered by Veterans Health Administration, Homeless Programs, Supportive Services for Veteran Families Program Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines for program applications are established in the notices of fund availability.

Appeals:

Other. If an application would have been selected but for a procedural error committed by VA, VA may reconsider that application in the next funding round. A new application will not be required for this purpose so long as there is no material change in the information.

Renewals:

Other. Subject to the availability of funds, grants may be renewed. Grantees would be required to submit a renewal application within the time frame established in a notice of fund availability.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length of time limitations are established in the notice of fund availability. Grantees will receive payments electronically through the U.S. Department of Health and Human Services Payment Management System (HHS PMS). Method of awarding/releasing assistance: quarterly, at a minimum. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly and annual financial and performance reports are required. Grantees are required to enter data into a Homeless Management Information System (HMIS) Web-based software application. Grantee reports as required in accordance with 38 CFR 62 and the signed grant agreement between VA and grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are performed in accordance with the provisions of 2 CFR Part 200, Subpart F, Audit Requirements. Per OMB SuperCircular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in SuperCircular No. A-133. However, records must be available for review or audit by VA and/or the U.S. Government Accountability Office (GAO).

Records:

Records must be retained at least 3 years. Fiscal records should be maintained by the grantee as outlined in 2 CFR Part 200, Subpart D--Post Federal Award Requirements.

Account Identification:

36-0160-0-1-705.

Obligations:

Project Grants FY 19 \$380,000,000.00; FY 20 est \$380,000,000.00; FY 21 est \$387,600,000.00;

Range and Average of Financial Assistance:

Annual range and average of grant awards have varied over funding rounds,

ranging from \$118,000 to \$6,800,000 and averaging \$1.1 million per award.

TAFS Codes:

36-0160.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38 Code of Federal Regulations, Part 62 Supportive Services for Veteran Families Program.

Regional or Local Office:

None.

Headquarters Office:

Program Manager John Kuhn,
VA National Center on Homelessness Among Veterans, SSVF, 810 Vermont Street
Washington, DC 20420,
Washington DC 20420

Email: John.Kuhn2@va.gov

Phone: Email: ssvf@va.gov

Website Address:

<http://www.va.gov/HOMELESS/SSVF.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As required by 38 CFR 62.23, applications are reviewed, rated, and ranked in priority order. Selection criteria for initial projects include: (i) background, qualifications, experience, and past performance; (ii) program concept and supportive services plan; (iii) quality assurance and evaluation plan; (iv) financial capability and plan; and (v) area or community linkages and relations. Selection criteria for renewal projects include program outcomes; cost-effectiveness; and compliance with final rule and federal requirements. Ranked scores of applicants are the primary basis for selection. However, in accordance with 38 CFR 62.23(d), VA will utilize the following considerations to select applicants for funding: i. Preference applicants that provide or coordinate the provision of supportive services for very low-income Veteran families transitioning from homelessness to permanent housing; and ii. To the extent practicable, ensure that supportive services grants are equitably distributed across geographic regions, including rural communities and Tribal lands.

64.034 VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces

VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces,

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

38 USC Code 521A.

OBJECTIVES:

To provide grants to eligible adaptive sports entities to plan, develop, manage, and implement programs to provide adaptive sports activities for Veterans and members of the Armed Forces with disabilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding can be utilized to plan, develop, manage, and implement programs to provide adaptive sports activities for Veterans and members of the Armed Forces with disabilities. For the purpose of this program, adaptive sports activities are defined in accordance with 38 CFR 77.2 as: (1) instruction, participation, and

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competition in adaptive sports (2) training and technical assistance to program administrators, coaches, recreation therapists, instructors, VA employees, and other appropriate individuals (3) coordination, Paralympic classification of athletes, athlete assessment, sport-specific training techniques, program development (including programs at the local level), sports equipment, supplies, program evaluation, and other activities related to the implementation and operation of the program.

Applicant Eligibility:

Non-Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Small business (less than 500 employees); Profit organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Non-Federal Government entity with significant experience in managing a large-scale adaptive sports program for persons with disabilities if those disabilities are those that many disabled veterans and disabled members of the Armed Forces have. To demonstrate significant experience, all the key personnel identified in the adaptive sports grant application of the entity must have experience implementing the adaptive sports activities to be provided and have experience working with persons with disabilities that many disabled veterans and disabled members of the Armed Forces to be served through the adaptive sports grant have. The experience must be for two continuous years immediately prior to the date of submission to VA of the grant application. When more than one entity would be engaged in the provision of the adaptive sport activities, the entity applying for the adaptive sports grant must provide documentation that verifies that through the partnership, it has the experience necessary to implement all of the adaptive sports activities proposed in the adaptive sports grant application.

Beneficiary Eligibility:

Health Professional; Specialized group (e.g. health professionals, students, veterans);

Credentials/Documentation:

Eligibility is determined as stated in 38 CFR Part 77 and the current notice of funding availability for this award. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Currently, pre-applications are not required under this funding mechanism.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications procedures established in the notice of funding availability. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Formal approval of the award of assistance is made by the Secretary of Veterans Affairs, or his designees, to the eligible adaptive sport entity. The applicable adaptive sport entity manages the awards program to include partnering entities

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance is typically one-year, as outlined in the notice of funding availability for the VA Adaptive Sports Grant Program. Funding may be utilized for grant approved activities that occur during the period of performance. Grantees will receive payments in response to payment request electronically through the U.S. Department of Health and Human Services Payment Management System (HHS PMS). Method of awarding/releasing assistance: other

Reports:

Reporting will be required quarterly and at award close-out. All reporting will be completed electronically. In these reports, grantees will be required to report data including, but not limited to: information on participants served, time spent providing adaptive sports activities, financial and acquisition data related to the grant, types of supportive services provided and other information as requested by NVSP&SE. Grantees must report on all activities funded by the Adaptive Sports Grant Program. Through all reporting, the grantee will be expected to demonstrate adherence to the grantees proposed program concept, as described in the grantees application. Reporting will be required quarterly and at award close-out. All reporting will be completed electronically. In these reports, grantees will be required to report data including, but not limited to: information on participants served, time spent providing adaptive sports activities, financial and acquisition data related to the grant, types of supportive services provided and other information as requested by NVSP&SE. Grantees must report on all activities funded by the Adaptive Sports Grant Program. Through all reporting, the grantee will be expected to demonstrate adherence to the grantees proposed program concept, as described in the grantees application. Reporting will be required quarterly and at award close-out. All reporting will be completed electronically. In these reports, grantees will be required to report data including, but not limited to: information on participants served, time spent providing adaptive sports activities, financial and acquisition data related to the grant, types of supportive services provided and other information as requested by NVSP&SE. Grantees must report on all activities funded by the Adaptive Sports Grant Program. Through all reporting, the grantee will be expected to demonstrate adherence to the grantees proposed program concept, as described in the grantees application. Reporting will be required quarterly and at award close-out. All reporting will be completed electronically. In these reports, grantees will be required to report data including, but not limited to: information on participants served, time spent providing adaptive sports activities, financial and acquisition data related to the grant, types of supportive services provided and other information as requested by NVSP&SE. Grantees must report on all activities funded by the Adaptive Sports Grant Program. Through all reporting, the grantee will be expected to demonstrate adherence to the grantees proposed program concept, as described in the grantees application. Reporting will be required quarterly and at award close-out. All reporting will be completed electronically. In these reports, grantees will be required to report data including, but not limited to: information on participants served, time spent providing adaptive sports activities, financial and acquisition data related to the grant, types of supportive services provided and other information as requested by NVSP&SE. Grantees must report on all activities funded by the Adaptive Sports Grant Program. Through all reporting, the grantee will be expected to demonstrate adherence to the grantees proposed program concept, as described in the grantees application.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be retained for the time period designated in the grant agreement or other regulations and policies overseeing program.

Account Identification:

36-0160-0-1-703.

Obligations:

Project Grants FY 19 \$14,856,170.00; FY 20 est \$15,000,000.00; FY 21 est \$15,000,000.00;

Range and Average of Financial Assistance:

The maximum award range is set each year in the Notice of Funding Availability.

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The range of the grants awarded and the average award level are provided as examples from the past (FY 18) and current (FY 19) grant cycles: FY 18: \$4,710.00 - \$500,000.00; average award = \$86,999.39 FY 19: \$10,694.00 - \$742,125.00; average award = \$117,906.12

TAFS Codes:

36-0160.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR Part 77, Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces. 2 CFR 200, UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS

Regional or Local Office:

None.

Headquarters Office:

Program Specialist National Veterans Sports Programs and Special Events,
810 Vermont Avenue NW,
Washington DC 20420
Email: Sports4Vets@va.gov
Phone: 202-461-7325

Website Address:

<http://www.va.gov/adaptivesports>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Offer opportunities for Veterans and members of the Armed Forces with disabilities to participate directly in adaptive sports, to participate in adaptive sports competitions, or to participate in activities where a new sport can be learned. Offer adaptive sports training opportunities to community providers and/or VA staff members to improve their skills or learn new approaches for the delivery of adaptive sports to Veterans and members of the Armed Forces with disabilities. Offer sport-specific classification of Veteran athletes and training of providers to be classifiers in adaptive sports recognized by the United States Olympic & Paralympic Committee or International Paralympic Committee. 2021 Offer opportunities for Veterans and members of the Armed Forces with disabilities to participate directly in adaptive sports, to participate in adaptive sports competitions, or to participate in activities where a new sport can be learned. Offer adaptive sports training opportunities to community providers and/or VA staff members to improve their skills or learn new approaches for the delivery of adaptive sports to Veterans and members of the Armed Forces with disabilities. Offer sport-specific classification of Veteran athletes and training of providers to be classifiers in adaptive sports recognized by the United States Olympic & Paralympic Committee or International Paralympic Committee.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are established in the notice of funding availability through the funding priorities and the review criteria.

64.035 Veterans Transportation Program

Veterans Transportation Program/Grants for Transportation in Highly Rural Areas,
FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163

OBJECTIVES:

This program furthers the Departments mission by establishing a program to provide grants to eligible recipients to assist veterans in highly rural areas through innovative transportation services to travel to Department of Veterans Affairs Medical Centers, and to otherwise assist in providing transportation services in

connection with the provision of VA medical care to these veterans

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

VA will award grants to eligible entities to assist veterans in highly rural areas to travel to VA medical centers, and otherwise assist in providing transportation in connection with the provision of VA medical care of these veterans. A highly rural area would be defined as an area consisting of a county or counties having a population of less than seven persons per square mile, consistent with paragraph (c)(1) of section 307 of the 2010 Act. VA currently monitors and maintains a specific listing of such highly rural areas, and grants will only be awarded to applicants whose programs will service one or more of these areas, as identified in the application and notice of funding availability.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); The Secretary may award the grants to an eligible entity which is defined as either a Veterans Service Organization or State Veterans Service Agency, in accordance with paragraphs (a)(2)(A)-(B) of section 307 of the 2010 Act.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; A participant would be defined as a veteran receiving VHA care that resides in a highly rural area who obtains transportation services from a grantee.

Credentials/Documentation:

Applicants are required to submit a complete grant application package to be considered for an initial grant. The NOFA would specify the initial grant application procedures to be followed. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The VA will publish, in the Federal Register, a notice of fund availability, soliciting applications for the grant program and information on applications for requesting eligibility to receive grant funds. VA will establish scoring and selection categories for the award of grants in accordance with the mandate in paragraph (b)(1) of section 307 of the 2010 Act, which requires that VA prescribe regulations to evaluate grant applications. VA will specify the scoring criteria for initial grant applications. These criteria are weighted according to their probability of influencing an applicants development of a successful program, as well as meeting the requirement for innovation in paragraph (a)(1) of section 307 of the 2010 Act. Forms furnished by VA must be used for the program. Applications will only be accepted when received within the timeframe and meeting the requirements described in a notice of fund availability published in the Federal Register.

Award Procedure:

VA will specify in the NOFA, the process VA will use to award initial grants, where VA would score applications using a criteria and rank applications that receive at least the minimum amount of total points and points per category set forth in the NOFA. VA would then award grants for the highest ranked applications for which funding is available.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. VA will publish, in the Federal Register, a notice of fund availability, soliciting applications for the grant program and information on applications for requesting eligibility to receive grant funds.

Appeals:

Not Applicable.

Renewals:

Other. VA would publish in the NOFA renewal grant information. Similarly weighted as those for initial grant applications, but are specific to renewal grant applications to assist VA in evaluating those programs which would already be

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operating. Accordingly, points would be awarded based on a grantees programs success, cost effectiveness, and compliance with the grant agreement and other applicable laws and regulations, subject to the availability of funds. Grantees would be required to submit a renewal application within the time frame established in a notice of fund availability

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length-of-time limitations are established in the notice of fund availability. Grantees will receive payments electronically through the U.S. Department of Veterans Affairs Financial Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly and annual financial and performance reports are required. In addition, an annual report to the Secretary of the VA is required no later than 60 days after the end of the fiscal year detailing the use of the grant funds. Monthly performance measure reports will also be required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F-Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal Awards are exempt from Federal audit requirements for that year, as noted in 2 CFR 200.503

Records:

Records must be retained at least 3 years after the end of the grant period.

Account Identification:

36-0160-0-1-700.

Obligations:

Project Grants FY 19 \$2,693,524.00; FY 20 est \$3,000,000.00; FY 21 est \$3,000,000.00;

Range and Average of Financial Assistance:

The grant amounts will be specified in the Notice of Fund Availability, but that no single grant will exceed \$50,000, to comply with paragraph (a)(4) of section 307 of the 2010 Act.

TAFS Codes:

36-0160.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Mindy LaCrone,
2957 Clairmont Road, Suite 200,
Atlanta GA 30329
Email: Mindy.LaCrone@va.gov
Phone: 918-348-5564

Website Address:

https://www.va.gov/HEALTHBENEFITS/vtp/highly_rural_transportation_grants.asp

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Scoring and selection categories for the award of grants in accordance with the mandate in paragraph (b)(1) of section 307 of the 2010 Act, which requires that VA prescribe regulations to evaluate grant applications. VA would specify the scoring criteria for initial grant applications. The criteria are weighted according to their probability of influencing an applicants development of a successful program, as well as meeting the requirement for innovation in paragraph (a)(1) of section 307 of the 2010 Act.

64.037 VA U.S. Paralympics Monthly Assistance Allowance Program

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Veterans Benefits Improvement Act of 2008, Section 703, Public Law 110-389, 38 USC Code 521A. Public Law 114-223

OBJECTIVES:

To promote the lifelong health and to motivate, encourage and sustain participation and competition in adaptive sports from the local level through elite levels among disabled Veterans and disabled members of the Armed Forces through partnerships with VA clinical personnel as well as national and community-based adaptive sports programs. To provide monthly assistance allowance to a Veteran with a disability as authorized by 38 U.S.C 322(d) for qualifying athletes, whether categorized as emerging or national team athletes.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Section 703 of the Veterans' Benefits Improvement Act of 2008 (Public Law 110-389) identified the monthly assistance allowance was -(1) Subject to the availability of appropriation for such purpose, the Secretary may provide a monthly assistance allowance to a Veteran with a disability invited by United States Olympic and Paralympic Committee (USOPC) to compete for a slot on, or selected for, the Paralympic Team for any month which the Veteran is training or competing in any event sanctioned by the USOPC or who is residing at a USOPC training center. (2) The amount of monthly assistance payable to a Veteran under (1) shall be equal to the monthly amount of subsistence allowance that would be payable to the Veteran under chapter 31 of this title if the Veteran were eligible for an entitled to rehabilitation under such chapter. (3) In providing assistance under this subsection, the Secretary shall give priority to Veterans with service-connected disabilities. (4) There is authorized to be appropriated to carry out this subsection \$2,000,000 for each of fiscal years 2010 through December 31, 2013. Under Public Law 113-59, 38 U.S.C. 322(d) was amended with respect that its provisions apply to the applicable Paralympic sport entities in American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands in the same manner and to the same degree as the United States Olympic Committee. Limitations on the use of administrative expenses are described in 38 U.S.C. Part 1, Chapter 5, Subchapter II, Section 521A d (4) and (5).

Applicant Eligibility:

Individual/Family; To receive an allowance, a disabled Veteran must submit a complete application, as well as established training and competition plans and is responsible for turning in monthly and quarterly reports in order to continue receiving the monthly assistance allowance. The disability of the Veteran may be service-connected or non-service-connected if the veteran meets the minimum military standard or higher in his or her respective Paralympic or Olympic sport at a recognized competition level. The Veteran also must meet eligibility criteria established by the International Paralympic Committee for participants in Paralympic sports or by the International Olympic Committee for participants in Olympic sports.

Beneficiary Eligibility:

Individual/Family; To receive an allowance, a Veteran must submit a complete application identifying any dependents upon which a higher payable rate of allowance may be based; and the appropriate National Governing Body or

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equivalent Paralympic or Olympic entity must provide certification of the Veteran's participation in training or competition sponsored by the USOPC, applicable Paralympic or Olympic sport entity for U.S. Commonwealths or Territories, or the International Paralympic Committee (IPC), or residence at a USOPC training center, for a period for which payment is requested. The certification must specify whether the payment is due for training, competition, or residence, and the dates of the training, competition, or residence for which payment is due.

Credentials/Documentation:

Proof of the ability to perform the required level of Paralympic or Olympic sport activity and compliance with military and higher standards are required. Emerging athlete and national team standards are established by the applicable Paralympic sport entity for each Paralympic sport. National team standards are established for each Olympic sport. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The VA and applicable Paralympic sport entities publish the notice of fund availability for the Monthly Assistance Allowance for Disabled Veterans Training in Paralympic and Olympic Sports Program through multiple media forms, soliciting applications for the allowance program and information on applications for requesting eligibility to receive the allowance. All applications are handled on an individual disabled Veteran applicant basis. Forms furnished by VA must be used for this program. Applications will be accepted on an ongoing open basis with award decisions on a monthly basis consistent with applicants meeting award requirements and availability of funds.

Award Procedure:

Formal approval of the award of the Monthly Assistance Allowance for Disabled Veterans Training in Paralympic and Olympic Sports is made by first submitting required verification of qualifications and supporting data to the applicable Paralympic or Olympic sport entity. The applicable Paralympic or Olympic sport entity manages the application and ongoing verification of the monthly assistance allowance for Veterans in connection with training in Paralympic or Olympic sport program. The applicable Paralympic or Olympic sport entity submits qualification and rate documentation to the VA for verification and award on a monthly basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Other. The overarching monthly allowance program under P.L. 110-389 and 38 U.S.C. 322 is a congressionally mandated to the applicable Paralympic and Olympic sport entities, and subject to compliance with accompanying guidelines. The VA, and applicable Paralympic and Olympic sport entities manage the monthly assistance allowance program in compliance with program directives.

Renewals:

Other. Subject to the availability of funds, the monthly assistance allowance may be renewed. Allowance recipients are required to submit a renewal training program verification and allowance application within the time frame established in appropriate verification and award cycle.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length-of-time limitations are established in accordance with the Veterans' Benefits Improvements Act of 2008, section 703. Allowance recipients will receive

payments electronically through the VA Administrative and Loan Accounting Center (ALAC). Method of awarding/releasing assistance: other

Reports:

Program reports are not applicable. Cash reports are not applicable. Monthly qualification and training reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

36-4155-0-1-421.

Obligations:

Direct Payments for Specified Use FY 19 \$1,600,000.00; FY 20 est \$1,800,000.00; FY 21 est \$1,900,000.00; Direct Payments with Unrestricted Use FY 19 \$2,000,000.00; FY 20 est \$2,000,000.00; and FY 21 est \$2,000,000.00;

Range and Average of Financial Assistance:

The monthly assistance allowance is based on 38 U.S.C. Chapter 31 Vocational Rehabilitation and Employment (VR&E) rates. For FY 2020, a disabled Veteran athlete approved for monetary assistance normally ranges from \$644.74 up to \$1,285.84 per month, depending on the number of dependents.

TAFS Codes:

36-4155.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38 Code of Federal Regulations, Part 521A, Assistance for United States Paralympic Inc.

Regional or Local Office:

See Regional Assistance Locations. Program Specialist Michael F. Welch, Office of National Veterans Sports Programs and Special Events, 810 Vermont Ave., NW, Washington, D.C. 20420; Phone 202-632-7136; e-mail: Sports4Vets@va.gov

Headquarters Office:

Michael F. Welch,
Department of Veterans Affairs Office of National Veterans Sports Programs & Special Events
810 Vermont Ave. NW,
Washington DC 20420
Email: Sports4Vets@va.gov
Phone: 202-632-7136
Fax:

Website Address:

<https://www.blogs.va.gov/nvpspe/training-allowances/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.039 CHAMPVA

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

CHAMPVA Regulations, 38 U.S.C. 1781, , 38 USC Code 1781.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by the beneficiary residence

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TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients

Applicant Eligibility:

Individual/Family; Anyone/general public; Dependents of Veterans are eligible for services under Title 38 USC 1781

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Veteran/Service person/Reservist (including dependents; Dependents of Veterans are eligible for services under Title 38 USC 1781

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. None

Award Procedure:

The VHA Central Business Office makes the payments and provides the VHA Allocation Resource Center (10A3B) with a data extract for use in USA SPending.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None

Account Identification:

36-0140-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 \$1,271,487,271.00; FY 20 est \$1,338,896,678.00; FY 21 est \$1,349,220,507.00;

Range and Average of Financial Assistance:

According to the cost of the services

TAFS Codes:

36-0140, 36-0152, 36-0160, 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email

Stephen.kendall@va.gov

Headquarters Office:

Stephen Kendall,
100 Grandview Rd., Suite 114,
Braintree MA 02184

Email: Stephen.Kendall@va.gov

Phone: 781-348-0134

Debra Fitts,

100 Grandview Rd, Suite 114,
Braintree MA 02184

Email: Debra.Fitts@va.gov

Phone: 781-348-0126

Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.040 VHA Inpatient Medicine

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163, 38 USC Code 7681.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients for Acute Inpatient Medical Service. This includes the Medical ICU, Cardiac ICU, Medical Specialties, Rehab Medicine, Spinal Cord Injury and Blind Rehabilitation.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the

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provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the acute inpatient services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 (\$1,115,593,654.00); FY 20 est (\$375,160,513.00); FY 21 est \$41,783.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0160. 36-0152. 36-0162. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

Stephen Kendall,
100 Grandview Rd., Suite 114,
Braintree MA 02184
Email: Stephen.Kendall@va.gov
Phone: 781-348-0134

Debra Fitts,
100 Grandview Rd, Suite 114,
Braintree MA 02184
Email: Debra.Fitts@va.gov
Phone: 781-348-0126

Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.041 VHA Outpatient Specialty Care

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF
AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163, 38 USC Code 7681.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients for Outpatient Specialty Care. This includes the Medical Specialties, the Surgical Specialties, Ambulatory Surger, Urgent/Emergency care, Dialysis, Cancer treatment clinics and outpatient rehabilitative medicine care, including SCI and Polytrauma clinics.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of

ASSISTANCE LISTINGS DESCRIPTIONS

being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. None

Award Procedure:

VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 (\$1,557,021,539.00); FY 20 est (\$2,032,424,601.00); FY 21 est (\$1,489,800,737.00);

Range and Average of Financial Assistance:

According to the cost of services

TAFS Codes:

36-0160. 36-0140. 36-0172. 36-0152. 36-0162. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

Stephen Kendall,
100 Grandview Rd, Suite 114,
Braintree MA 02184
Email: Stephen.Kendall@va.gov
Phone: 781-348-0134
Debra Fitts,
100 Grandview Rd, Suite 114,
Braintree MA 02184
Email: Debra.Fitts@va.gov
Phone: 781-348-0126
Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.042 VHA Inpatient Surgery

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163, 38 USC Code 7681.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients for Acute Inpatient Surgery. This includes the Surgical ICU, Operating Room, Surgical Step Down and the Surgical Specialty wards.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or

ASSISTANCE LISTINGS DESCRIPTIONS

greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

VHA Office of Policy and Planning (10PIA) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the acute inpatient services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit

Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 (\$1,428,924,247.00); FY 20 est (\$1,499,365,784.00); FY 21 est (\$1,480,881,474.00);

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0160. 36-0152. 36-0162. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

Stephen Kendall,
100 Grandview Road, Suite 114,
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Email: Stephen.Kendall@va.gov
Phone: 781-348-0134
Debra Fitts,
100 Grandview Rd, Suite 114,
Braintree MA 02184
Email: Debra.Fitts@va.gov
Phone: 781-348-0126

Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.043 VHA Mental Health Residential

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163, 38 USC Code 7681.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients for Mental Health Residential Rehabilitative Service. This includes the Psychiatric Residential Rehabilitation program and Domiciliary Care.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the residential services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 \$796,564,483.00; FY 20 est \$745,194,611.00; FY 21 est \$779,913,819.00;

Range and Average of Financial Assistance:

According to the cost of the services.

TAFS Codes:

36-0160. 36-0152. 36-0162. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

Stephen Kendall,
100 Grandview Road, Suite 114,
Braintree MA 02184
Email: Stephen.Kendall@va.gov
Phone: 781-348-0134
Debra Fitts,
100 Grandview Rd, Suite 114,
Braintree MA 02184
Email: Debra.Fitts@va.gov
Phone: 781-348-0126
Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.044 VHA Home Care

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF
AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163, 38 USC Code 7681.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All Home care services provided to eligible recipients. This includes the Home Based Primary Care program, SCI home care, Home Telehealth and other Home treatment services.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Veterans are eligible for services under Title 38 USC 1705: Management of health care: patient enrollment system.

Award Procedure:

VHA Office of Policy and Planning (10PIA) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 \$1,184,801,922.00; FY 20 est \$1,278,632,334.00; FY 21 est \$1,354,169,384.00;

Range and Average of Financial Assistance:

According to the cost of the services.

TAFS Codes:

36-0160. 36-0140. 36-0152. 36-0162. 36-0169. 36-0172.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

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100 Grandview Road, Suite 114,
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100 Grandview Rd, Suite 114,
Braintree MA 02184
Email: debra.fitts@va.gov
Phone: 781-348-0126
Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.045 VHA Outpatient Ancillary Services

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Caregivers and Omnibus Health Services Act of 2010, Public Law 111-163, 38 USC Code 7681.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients in support of their Health Care. This includes Social Worker, Nurse and Nutritional Clinics, Telephone consultations, Adult Day Health Care, the MOVE clinic and other supportive Service.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Veterans are eligible for services under Title 38 USC 1705: Management of health care: patient enrollment system.

Award Procedure:

VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision

Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 \$708,551,989.00; FY 20 est (\$2,145,366,456.00); FY 21 est \$2,051,784,670.00;

Range and Average of Financial Assistance:

According to the cost of the services.

TAFS Codes:

36-0160. 36-0140. 36-0172. 36-0162. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

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Phone: 781-348-0134
Debra Fitts,
100 Grandview Rd, Suite 114,
Braintree MA 02184
Email: Debra.Fitts@va.gov
Phone: 781-348-0126
Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.046 VHA Inpatient Psychiatry

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163, 38 USC Code 7681.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients for Inpatient Mental Health Care. This includes the Acute Psychiatric beds, Substance Abuse and PTSD beds and long stay psychiatric care.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Veterans are eligible for services under Title 38 USC 1705: Management of health care: patient enrollment system.

Award Procedure:

VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the inpatient services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 \$1,446,655,844.00; FY 20 est \$1,494,628,735.00; FY 21 est \$1,553,561,015.00;

Range and Average of Financial Assistance:

According to the cost of the services.

TAFS Codes:

36-0160. 36-0152. 36-0162. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

Stephen Kendall,
100 Grandview Road, Suite 114,
Braintree ME 02184
Email: Stephen.Kendall@va.gov
Phone: 781-348-0134
Debra Fitts,
100 Grandview Road, Suite 114,
Braintree MA 02184
Email: Debra.Fitts@va.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 781-348-0126

Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.047 VHA Primary Care

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163, 38 USC Code 7681.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All the services provided by primary clinics. This includes the the individual and group primary care clinics.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of

being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Veterans are eligible for services under Title 38 USC 1705: Management of health care: patient enrollment system.

Award Procedure:

VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 (\$234,034,695.00); FY 20 est (\$719,824,505.00); FY 21 est (\$517,348,747.00);

Range and Average of Financial Assistance:

According to the cost of the services.

TAFS Codes:

36-0160. 36-0140. 36-0172. 36-0152. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

Stephen Kendall,

ASSISTANCE LISTINGS DESCRIPTIONS

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Email: Debra.Fitts@va.gov
Phone: 781-348-0126

Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.048 VHA Mental Health clinics

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-63, 38 USC Code 17.

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients for VHA Outpatient Mental Health Care. This includes the Individual and Group Mental Health Clinics, MH Homeless Clinic, PTSD Clinics, Psychosocial Clinics, Substance Abuse Clinics, HUD/VASH (Veterans Affairs Supportive Housing) clinics and MH Community Outreach clinics.

Applicant Eligibility:

Individual/Family; Anyone/general public; 38 USC 1705; patient enrollment system
Text contains those laws in effect on December 1, 2013
From Title 38- VETERANS' BENEFITSPART II-GENERAL BENEFITSCHAPTER 17- HOSPITAL, NURSING HOME, DOMICILIARY, AND MEDICAL CARESUBCHAPTER I-GENERAL1705. Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Beneficiary Eligibility:

Individual/Family; Veteran/Service person/Reservist (including dependents; Veterans are eligible for services under Title 38 USC 1705: Management of health care: patient enrollment system.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Award Procedure:

VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 \$22,536,935.00; FY 20 est (\$310,002,023.00); FY 21 est (\$130,414,813.00);

Range and Average of Financial Assistance:

According to the cost of the services

TAFS Codes:

36-0160. 36-0152. 36-0162. 36-0169. 36-0140. 36-0172.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

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100 Grandview Road, Suite 114,
Braintree MA 02184
Email: Debra.Fitts@va.gov
Phone: 781-348-0126
Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.049 VHA Community Living Center

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients for VHA Nursing Home Services. This includes both short and long stay care for veterans in a VHA community living center.

Applicant Eligibility:

Individual/Family; Nursing Home care in VHA Community Living Centers provided to Veterans.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; 38 USC 1705: patient enrollment system)Text contains those laws in effect on December 1, 2013From Title 38-VETERANS' BENEFITSPART II-GENERAL BENEFITSCCHAPTER 17-HOSPITAL, NURSING HOME, DOMICILIARY, AND MEDICAL CARESUBCHAPTER I-GENERAL1705. Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for

hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Veterans are eligible for services under Title 38 USC 1705: Management of health care: patient enrollment system.

Award Procedure:

VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Appeals:

Not Applicable. VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Renewals:

Not Applicable. VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 (\$789,463,086.00); FY 20 est (\$590,631,833.00); FY 21 est (\$439,483,733.00);

Range and Average of Financial Assistance:

According to the cost of the services.

TAFS Codes:

36-0160. 36-0162. 36-0152. 36-0169.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

Stephen Kendall,
100 Grandview Road, Suite 114,
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Email: Stephen.Kendall@va.gov
Phone: 781-348-0134
Fax: 781-849-0306 Debra Fitts,
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Phone: 781-348-0126
Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.050 VHA Diagnostic Care

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Caregivers and Veterans Omnibus Health Services Act of 2010, , Public Law 111-163

OBJECTIVES:

The intent is to account for Veterans Health Care spending and display the spending by Veteran residence.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

All services provided to eligible recipients for Outpatient Diagnostic Care. This includes the Pathology, Radiology, Nuclear Medicine and other Diagnostic Clinics.

Applicant Eligibility:

Individual/Family; All services provided to eligible recipients for Outpatient Diagnostic Care. This includes the Pathology, Radiology, Nuclear Medicine and other Diagnostic Clinics.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; 38 USC 1705: patient enrollment system)Text contains those laws in effect on December 1, 2013From Title 38-VETERANS' BENEFITSPART II-GENERAL BENEFITSCCHAPTER 17-HOSPITAL, NURSING HOME, DOMICILIARY, AND MEDICAL CARESUBCHAPTER I-GENERAL1705. Management of health care: patient enrollment system(a) In managing the provision of hospital care and medical services under section 1710(a) of this title, the Secretary, in accordance with regulations the Secretary shall prescribe, shall establish and operate a system of annual patient enrollment. The Secretary shall manage the enrollment of veterans in accordance with the following priorities, in the order listed:(1) Veterans with service-connected disabilities rated 50 percent or greater.(2) Veterans with service-connected disabilities rated 30 percent or 40 percent.(3) Veterans who are former prisoners of war or who were awarded the Purple Heart, veterans who were

awarded the medal of honor under section 3741, 6241, or 8741 of title 10 or section 491 of title 14, veterans with service-connected disabilities rated 10 percent or 20 percent, and veterans described in subparagraphs (B) and (C) of section 1710(a)(2) of this title.(4) Veterans who are in receipt of increased pension based on a need of regular aid and attendance or by reason of being permanently housebound and other veterans who are catastrophically disabled.(5) Veterans not covered by paragraphs (1) through (4) who are unable to defray the expenses of necessary care as determined under section 1722(a) of this title.(6) All other veterans eligible for hospital care, medical services, and nursing home care under section 1710(a)(2) of this title.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Veterans are eligible for services under Title 38 USC 1705: Management of health care: patient enrollment system.

Award Procedure:

VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Appeals:

Not Applicable. VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Renewals:

Not Applicable. VHA Office of Policy and Planning (10P1A) identifies beneficiaries. The Decision Support System (DSS) Managerial Cost Accounting System identifies the costs of the relevant services to specific patients. VHA Allocation Resource Center (10A3B) merges the patient costs and the beneficiaries.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Services provided during the Fiscal Year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

36-0160-0-1-703.

Obligations:

Direct Payments for Specified Use FY 19 (\$483,892,960.00); FY 20 est

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(\$472,214,902.00); FY 21 est (\$330,508,524.00);

Range and Average of Financial Assistance:

According to the cost of the services.

TAFS Codes:

36-0160. 36-0152. 36-0162. 36-0169. 36-0140. 36-0172.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Stephen Kendall, Director Allocation Resource Center; 100 Grandview Rd Suite 114 Braintree, MA 02184; (781) 849-1837 fax (781)849-0306; email Stephen.kendall@va.gov

Headquarters Office:

Stephen Kendall,
100 Grandview Road, Suite 114,
Braintree MA 02184
Email: Stephen.Kendall@va.gov
Phone: 781-348-0134
Fax: 781-849-0306 Debra Fitts,
100 Grandview Rd Suite 114,
Braintree MA 02184
Email: debra.fitts@va.gov
Phone: 781.348-0126
Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.051 Specially Adapted Housing Assistive Technology Grant Program

Specially Adapted Housing Assistive Technology (SAHAT) Grant Program,

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Veterans Benefits Act of 2010, , Public Law 111-275, 38 USC Code 2108.

OBJECTIVES:

Pursuant to the Veterans Benefit Act of 2010, the Secretary of Veterans Affairs (Secretary), through the Loan Guaranty Service (LGY) of the Veterans Benefits Administration (VBA), is authorized to provide grants of financial assistance to develop new assistive technology. The objective of the grant, known as the Specially Adapted Housing Assistive Technology (SAHAT) Grant Program, is to encourage the development of new assistive technologies for specially adapted housing.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Department of Veterans Affairs (VA) acknowledges there are many emerging technologies that could improve home adaptations or otherwise enhance a Veterans or Servicemembers ability to live independently, such as voice-recognition and voice-command operations, living environment controls, and adaptive feeding equipment. Therefore, VA has defined new assistive technology as an advancement the Secretary determines could aid or augment the ability of a Veteran or

Servicemember to live in an adapted home. Grantees will be expected to leverage grant funds to plan, develop, manage, and implement new assistive technologies for specially adapted housing. Since the new program would also be open to individuals and private entities, some of the applicants will not meet the definition of non-Federal entity or recipient, as defined under part 200, and certain provisions of part 200 may not be applicable to all applicants in this technology grant program. Where the Secretary determines a provision is not applicable or where the Secretary determines that additional requirements are necessary due to the uniqueness of a situation, the Secretary would apply the same standard applicable to exceptions under 2 CFR 200.102. Although part 200 does not define the term exception, Sec. 200.102 is clear that an exception can relax an existing requirement or make additional, more restrictive requirements on a participant. Section 200.102 requires that if an exception is more restrictive on a certain class of participants than that which is otherwise provided in part 200, VA must receive approval from OMB. If an exception is less restrictive than what is provided in part 200, Sec. 200.102 authorizes VA to grant the exception on a case-by-case basis. It is impossible to anticipate every way in which the Secretary can or should exercise oversight authority. The purpose of this provision is to ensure that a loophole in a regulation does not unduly hinder the Secretary's ability to protect the public interest or prevent private individuals or organizations from participating because of technicalities related to oversight.

Applicant Eligibility:

Individual/Family; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Anyone/general public; As authorized by 38 U.S.C. 2108, the Secretary may award grants to a person or entity for the development of specially adapted housing assistive technologies. In order to foster competition and best serve the needs of Veterans and Servicemembers, VA is placing no restrictions on the types of eligible entities outside of threshold criteria listed in the NOFA. All technology grant recipients, including individuals and entities formed as for-profit entities, will be subject to the rules of the Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and other Non-profit Organizations, as found at 2 CFR Part 200. Where the Secretary determines that 2 CFR Part 200 is not applicable or where the Secretary determines that additional requirements are necessary due to the uniqueness of a situation, the Secretary will apply the same standard applicable to exceptions under 2 CFR 200.102.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Projects funded under this announcement must involve new assistive technologies the Secretary determines could aid or enhance the ability of a Veteran or Servicemember to live in an adapted home. However, projects funded under this announcement must not be used for the completion of activities which were to have been completed under a prior grant.

Credentials/Documentation:

Applicants shall provide proof of individual or organizational ability to engage in the development of assistive technologies for specially adapted housing. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is not required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The VA will publish, in the Federal Register, Grants.Gov, or authorized equivalent, a notice of funding availability, soliciting applications for the grant program and information on applications for requesting eligibility to receive grant funds.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. VA will publish, in the Federal Register, Grants.Gov, or authorized equivalent, a notice of funding availability, soliciting applications for the grant program and information on applications for requesting eligibility to receive grant funds. Environmental impact information is not required for this program. Forms furnished by VA must be used for the program. Applications will only be accepted when received within the

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timeframe and meeting the requirements described in a notice of funding availability published in the Federal Register.

Award Procedure:

Formal approval of the award of assistance is made by the Secretary of Veterans Affairs or those staff with delegated authority. Pursuant to 36 CFR 36.4412, each VA employee appointed to or lawfully fulfilling any of the following positions is hereby delegated authority, within the limitations and conditions prescribed by law, to exercise the powers and functions of the Secretary with respect to the SAHAT Grant Program authorized by 38 U.S.C. 2108:1.Under Secretary for Benefits2.Deputy Under Secretary for Economic Opportunity3.Director, Loan Guaranty Service4.Deputy Director, Loan Guaranty Service

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length-of-time limitations are established in the notice of funding availability. Grantees will receive payments electronically through the U.S. Department of Health and Human Services Payment Management System (HHS PMS). Method of awarding/releasing assistance: Disbursement. Method of awarding/releasing assistance: lump sum.

Reports:

VA places great emphasis on the responsibility and accountability of grantees. Grantees must agree to cooperate with any federal evaluation of the program and furnish quarterly progress, quarterly financial, and a final report. VA places great emphasis on the responsibility and accountability of grantees. Grantees must agree to cooperate with any federal evaluation of the program and furnish quarterly progress, quarterly financial, and a final report. VA places great emphasis on the responsibility and accountability of grantees. Grantees must agree to cooperate with any federal evaluation of the program and furnish quarterly progress, quarterly financial, and a final report. VA places great emphasis on the responsibility and accountability of grantees. Grantees must agree to cooperate with any federal evaluation of the program and furnish quarterly progress, quarterly financial, and a final report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Competition-related disputes associated with this announcement will be resolved in accordance with 2 CFR 200.

Records:

Records must be retained at least 3 years after the end of the grant period

Account Identification:

36-0137-0-1-702.

Obligations:

Project Grants FY 19 \$800,000.00; FY 20 est \$1,000,000.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

Grantees can be awarded no more than \$200,000

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 U.S.C. 2108

Regional or Local Office:

None.

Headquarters Office:

Chief Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Oscar Hines - VBA, Loan Guaranty Service,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: oscar.hines@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

<http://www.benefits.va.gov/homeloans/adaptedhousing.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.052 Veterans Employment Pay for Success Program

VEPFS - Veterans Employment Pay for Success Program,

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Veterans Entrepreneurship Act of 2015, , Public Law 114-38, 38 USC Code 3119.

OBJECTIVES:

This program furthers the Departments mission by establishing a program to provide grants to eligible recipients to assist veterans in highly rural areas through innovative transportation services to travel to Department of Veterans Affairs Medical Centers, and to otherwise assist in providing transportation services in connection with the provision of VA medical care to these veterans.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grant program provides funding to public or nonprofit agencies, including institutions of higher learning, to establish and support a Veterans Employment Pay for Success Project with a goal of improving specified employment outcomes for Veterans with a primary service connected disability rating of post traumatic stress disorder (PTSD).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Individual/Family; Applicants must be prepared to provide documentation of eligibility criteria and other support documentation described in the notice of funds availability (NOFA).This competition is open to public or nonprofit agencies, including institutions of higher learning as defined in 38 U.S.C. 3119. Eligible nonprofit organizations include

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those defined in 2 C.F.R 200.70. Eligible applicants must serve low-income communities or address a specific issue area (e.g., employment or mental health challenges) in geographical areas that have the highest need in that issue area.

Beneficiary Eligibility:

Individual/Family; Veteran/Service person/Reservist (including dependents; Public nonprofit institution/organization; Other public institution/organization; This competition is open to public or nonprofit agencies, including institutions of higher learning as defined in 38 U.S.C. 3119. Eligible nonprofit organizations include those defined in 2 C.F.R 200.70. Eligible applicants must serve low-income communities or address a specific issue area (e.g., employment or mental health challenges) in geographical areas that have the highest need in that issue area.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The VA will publish, in the Federal Register, a NOFA, soliciting applications for the grant program and information on applications for requesting eligibility to receive grant funds. VA will establish scoring criteria and selection categories for grant applications. These criteria are weighted according to their probability of influencing an applicants development of a successful program. Applications will only be accepted when received within the designated application submission timeframe and meeting the requirements described in a NOFA published in the Federal Register.

Award Procedure:

VA will specify in the NOFA, the process used to award the grant, where VA would score applications using a criteria and rank applications that receive at least the minimum amount of total points and points per category set forth in the NOFA. VA would then award grants for the highest ranked application for which funding is available.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. VA will publish, in the Federal Register, a NOFA, that solicits applications for the grant program and information on application deadlines and requirements for submission.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length-of-time limitations are established in the NOFA. If the VA determines that the Grant Recipient has presented a High-Quality PFS Project, the Grant Recipient will receive payments for administrative costs and outcomes payments electronically through the U.S. Department of Health and Human Services Payment Management System. Payment methods must minimize the time elapsing between the transfer of funds from the United States Treasury and the disbursement by the Grant Recipient. Method of awarding/releasing assistance: lump sum.

Reports:

The grant recipient must submit to VA quarterly reports based on the Federal fiscal year, which include the following information:Record of time and resources expended administering the VEPFS programThe number of Veterans served, including demographics of this populationTypes of employment assistance providedA full accounting of VEPFS administrative funds received from VA and used or unused during the quarter, andResults of routing monitoring and any project variationsReports must be submitted to VA no later than 15 calendar days after the close of each Federal fiscal quarter. VA may request additional reports to

allow VA to fully assess project accountability and effectiveness. an annual report to the Secretary of the VA is required no later than 60 days after the end of the fiscal year detailing the use of the grant funds. Monthly performance measure reports will also be required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants under this program are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance, now consolidated in 2 C.F.R Parts 200 and VAs implementing regulation at Part 802 and CNCs implementing regulation at Part 2205).

Records:

Records must be retained at least 3 years after the end of the grant period.

Account Identification:

36-0151-0-4-147.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

36-0151.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. VA Center for InnovationVA Central OfficeAttn: Patrick Littlefield (320)810 Vermont Avenue NWWashington, DC 20420(202) 256-7176

Headquarters Office:

Elizabeth Pratt,
VA Office of Enterprise IntegrationVA Central Office 008Attn: Elizabeth Pratt 810 Vermont Avenue NW,
Washington DC 20420
Email: elizabeth.pratt2@va.gov
Phone: (202) 461-7532

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Scoring criteria and selection categories for the award of the grant will be provided in the NOFA. The criteria are weighted according to their probability of influencing an applicants development of a successful Pay for Success program.

64.053 Payments to States for Programs to Promote the Hiring and Retention of Nurses at State Veterans Homes

SVH RN Grant,

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

PART 53 - PAYMENTS TO STATES FOR PROGRAMS TO PROMOTE THE

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HIRING AND RETENTION OF NURSES AT STATE VETERANS HOMES
Authority:38 U.S.C. 101, 501, 1744. Source:73 FR 73560, Dec. 3, 2008, unless otherwise noted., Title 38, Part 53, Section 101

OBJECTIVES:

In accordance with the provisions of 38 U.S.C. 1744, this part sets forth the mechanism for a State to obtain payments to assist a State Veterans Home (SVH) in the hiring and retention of nurses for the purpose of reducing nursing shortages at that SVH.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

(a) VA will make payment to a State Veterans Home (SVH) for an employee incentive program to reduce the shortage of nurses at the SVH, when the following conditions are met:(1) The State representative applies for payment in accordance with the provisions of 53.20;(2) The SVH receives per diem payments from VA under the provisions of 38 U.S.C. 1741 for one or more of the following: Adult day health care, domiciliary care, or nursing home care;(3) The SVH has a nursing shortage that is documented by credible evidence, including but not limited to SVH records showing nursing vacancies, SVH records showing nurse overtime use, and reports documenting that nurses are difficult to hire in the local area and difficult to retain as employees at the SVH;(4) The SVH does not use payments under this part to pay for all or part of a nurse's standard employee benefits, such as salary, health insurance, or retirement plan;(5) The SVH provides to the Director, Geriatrics and Extended Care Operations, documentation establishing that it has an employee incentive program that:(i) Is likely to be effective in promoting the hiring and retention of nurses for the purpose of reducing nursing shortages at that home, and(ii) Is in operation or ready for immediate implementation if VA payments are made under this part;(6) The payment amount applied for by the State is no more than 50 percent of the funding for the employee incentive program during the Federal fiscal year;(7) The SVH employee incentive program includes a mechanism to ensure that an individual receiving benefits under the program works at the SVH as a nurse for a period commensurate with the benefits provided, and, insofar as possible, the program is designed to eliminate any nursing shortage at the SVH within a 3-year period from the initiation of VA payments;(8) The SVH, if it received payments under this part during a previous Federal fiscal year, has met the reporting requirements of 53.31(a) regarding such payments;(9) The SVH credits to its employee incentive program any funds refunded to the SVH by an employee because the employee was in breach of an agreement for employee assistance funded with payments made under this part and the SVH credits the amount returned as a non-Federal funding source; and(10) The project does not involve the construction, acquisition, expansion, remodeling or alteration of the SVH.(b) VA intends to allow flexibility and innovation in determining the types of employee incentive programs at SVHs eligible for payments. Programs could include such things as the provision of short-term scholarships for continuing nursing education, sign-on bonuses for nurses, student loan forgiveness programs, and improvements to working conditions. In determining whether an employee incentive program is likely to be effective, VA will consider any information available, including past performance of the SVH's program funded by payments made under this part.

Applicant Eligibility:

State; (a) To apply for payments during a Federal fiscal year, a State representative must submit to VA, in accordance with 53.40, a completed VA Form 10-0430 and documentation specified by the form (VA Form 10-0430 is available at VA medical centers and on the Internet at <http://www1.va.gov/geriatricsshg/> or may be obtained by contacting the Geriatrics and Extended Care Office at 202-461-6750, VHA Headquarters, 810 Vermont Avenue, NW., Washington, DC 20420). The submission for payments for a fiscal year must be received by VA during the last quarter (July 1-September 30) of the preceding fiscal year. The State must submit a new application for each fiscal year that the State seeks payments for an incentive program.(b) As part of the application, the State representative must submit to VA evidence that the State has sufficient funding, when combined with the VA payments, to fully operate its employee incentive program through the end of the fiscal year. To meet this requirement, the State representative must provide to VA a letter from an authorized State official certifying that, if VA were to approve payments under this part, the non-VA share of the funds for the program would be by a date or dates specified in the certification, available for the employee incentive program without further State action to make such funds available. If the

certification is based on a State law authorizing funds for the employee incentive program, a copy of the State law must be submitted with the certification.(c) If an application does not contain sufficient information for a determination under this part, the State representative will be notified in writing (electronically and by mail) of any additional submission required and that the State has 30 calendar days from the date of the notice to submit such additional information or no further action will be taken. If the State representative does not submit all of the required information or demonstrate that he or she has good cause for failing to provide the information within 30 calendar days of the notice (which may extend beyond the last quarter of the preceding Federal fiscal year), then the State applicant will be notified in writing that the application for VA assistance will be deemed withdrawn and no further action will be taken.

Beneficiary Eligibility:

State; There are no beneficiary benefits; this applies to a State Veterans Home and not a Veteran.

Credentials/Documentation:

Applicants are required to submit a complete grant application package according to 53.20 Application requirements to be considered for an initial grant, and follow 53.11 General requirements for payments. The Executive Director, Geriatrics and Extended Care, will make all determinations regarding payments and will provide written notice to affected State representatives of approvals, denials, or requests for additional information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. (a) To apply for payments during a Federal fiscal year, a State representative must submit to VA, in accordance with 53.40, a completed VA Form 10-0430 and documentation specified by the form (VA Form 10-0430 is available at VA medical centers and on the Internet at <http://www1.va.gov/geriatricsshg/> or may be obtained by contacting the Geriatrics and Extended Care Office at 202-461-6750, VHA Headquarters, 810 Vermont Avenue, NW., Washington, DC 20420). The submission for payments for a fiscal year must be received by VA during the last quarter (July 1-September 30) of the preceding fiscal year. The State must submit a new application for each fiscal year that the State seeks payments for an incentive program.(b) As part of the application, the State representative must submit to VA evidence that the State has sufficient funding, when combined with the VA payments, to fully operate its employee incentive program through the end of the fiscal year. To meet this requirement, the State representative must provide to VA a letter from an authorized State official certifying that, if VA were to approve payments under this part, the non-VA share of the funds for the program would be by a date or dates specified in the certification, available for the employee incentive program without further State action to make such funds available. If the certification is based on a State law authorizing funds for the employee incentive program, a copy of the State law must be submitted with the certification.(c) If an application does not contain sufficient information for a determination under this part, the State representative will be notified in writing (electronically and by mail) of any additional submission required and that the State has 30 calendar days from the date of the notice to submit such additional information or no further action will be taken. If the State representative does not submit all of the required information or demonstrate that he or she has good cause for failing to provide the information within 30 calendar days of the notice (which may extend beyond the last quarter of the preceding Federal fiscal year), then the State applicant will be notified in writing that the application for VA assistance will be deemed withdrawn and no further action will be taken.

Award Procedure:

The Executive Director, Geriatrics and Extended Care will make all determinations regarding payments and will provide written notice to affected State representatives of approvals, denials, or requests for additional information. If the Executive Director, Geriatrics and Extended Care determines that a submission from a State fails to meet the requirements of this part for funding, the Executive Director shall provide written notice of the decision and the reasons for the decision.

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Deadlines:

July 31, 2020 - September 30, 2020. (a) To apply for payments during a Federal fiscal year, a State representative must submit to VA, in accordance with § 53.40, a completed VA Form 10-0430 and documentation specified by the form (VA Form 10-0430 is available at VA medical centers and on the Internet at <http://www1.va.gov/geriatricsshg/> or may be obtained by contacting the Geriatrics and Extended Care Office at 202-461-6750, VHA Headquarters, 810 Vermont Avenue, NW., Washington, DC 20420). The submission for payments for a fiscal year must be received by VA during the last quarter (July 1-September 30) of the preceding fiscal year. The State must submit a new application for each fiscal year that the State seeks payments for an incentive program. Any application must be received in Geriatrics and Extended Care Program Office by September 230 of the preceding fiscal year to be considered.

Range of Approval/Disapproval Time:

From 30 to 60 days. The submission for payments for a fiscal year must be received by VA during the last quarter (July 1-September 30) of the preceding fiscal year. The State must submit a new application for each fiscal year that the State seeks payments for an incentive program. If an application does not contain sufficient information for a determination under this part, the State representative will be notified in writing (electronically and by mail) of any additional submission required and that the State has 30 calendar days from the date of the notice to submit such additional information or no further action will be taken. If the State representative does not submit all of the required information or demonstrate that he or she has good cause for failing to provide the information within 30 calendar days of the notice (which may extend beyond the last quarter of the preceding Federal fiscal year), then the State applicant will be notified in writing that the application for VA assistance will be deemed withdrawn and no further action will be taken.

Appeals:

From 30 to 60 days. The submission for payments for a fiscal year must be received by VA during the last quarter (July 1-September 30) of the preceding fiscal year. The State must submit a new application for each fiscal year that the State seeks payments for an incentive program. If an application does not contain sufficient information for a determination under this part, the State representative will be notified in writing (electronically and by mail) of any additional submission required and that the State has 30 calendar days from the date of the notice to submit such additional information or no further action will be taken. If the State representative does not submit all of the required information or demonstrate that he or she has good cause for failing to provide the information within 30 calendar days of the notice (which may extend beyond the last quarter of the preceding Federal fiscal year), then the State applicant will be notified in writing that the application for VA assistance will be deemed withdrawn and no further action will be taken.

Renewals:

Not Applicable. The State must submit a new application for each fiscal year that the State seeks payments for an incentive program. If a State fails to use the funds provided under this part for the purpose for which payment was made or receives more than is allowed under this part, the United States shall be entitled to recover from the State the amount not used for such purpose or the excess amount received.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. The payment amount applied for by the State is no more than 50 percent of the funding for the employee incentive program during the Federal fiscal year. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The State must submit a new application for each fiscal year that the State seeks payments for an incentive program. If a State fails to use the funds provided under this part for the purpose for which payment was made or receives more than is allowed under this part, the United States shall be entitled to recover from the State the amount not used for such purpose or the excess amount received. Method of awarding/releasing assistance: quarterly

Reports:

(a) A State receiving payment under this part shall provide to VA a report setting forth in detail the use of the funds, including a descriptive analysis of how effective the employee incentive program has been in improving nurse staffing in the SVH.

The report shall be provided to VA within 60 days of the close of the Federal fiscal year (September 30) in which payment was made and shall be subject to audit by VA. All invoices. (a) A State receiving payment under this part shall provide to VA a report setting forth in detail the use of the funds, including a descriptive analysis of how effective the employee incentive program has been in improving nurse staffing in the SVH. The report shall be provided to VA within 60 days of the close of the Federal fiscal year (September 30) in which payment was made and shall be subject to audit by VA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 (b) A State receiving payment under this part shall also prepare audit reports as required by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards under 2 CFR part 200 and submit them to VA.

Records:

All submissions of information and documents required to be presented to VA must be made to the Director, Geriatrics and Extended Care Operations, VHA Headquarters, 810 Vermont Avenue, NW., Washington, DC 20420.

Account Identification:

36-0160-0-1-703.

Obligations:

Project Grants FY 19 \$2,000.00; FY 20 est \$177,877.00; FY 21 est \$100,000.00;

Range and Average of Financial Assistance:

The amount of payments awarded under this part during a Federal fiscal year will be the amount requested by the State and approved by VA in accordance with this part. Payments may not exceed 50 percent of the cost of the employee incentive program for that fiscal year and may not exceed 2 percent of the amount of the total per diem payments estimated by VA to be made under 38 U.S.C. 1741 to the State for that SVH during that fiscal year for adult day health care, domiciliary care, hospital care, and nursing home care. The approximate average range is \$40,000 to \$200,000.

TAFS Codes:

36-0160.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: A SVH utilized funds to assist a certified nursing assistant become a licensed practical nurse through a scholarship. In addition, the SVH assisted certified nursing assistants obtain reference books and certifications.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Valarie Delanko, RDN, LDN, CPHQ, National State Veterans Home Program Manager for Quality & Oversight (10NC4)

Headquarters Office:

Valarie Delanko,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: Valarie.Delanko@va.gov
Phone: 814-860-2201
Jo Anne Parker,
810 Vermont Ave. N.W.,
Washington DC DC 20420
Email: Joanne.Parker3@va.gov
Phone: 2026328328

Fax:

Website Address:

<https://ecfr.io/Title-38/pt38.2.53>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Each application must meet all requirements in section 53.11.

64.054 Research and Development

Research and Development,

FEDERAL AGENCY:

VA HEALTH ADMINISTRATION CENTER, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Veterans Health Care, Capital Asset, and Business Improvement Act of 2003, ,
Public Law 108-170, 38 USC Code 7303.

OBJECTIVES:

In accordance with the provisions of Title 38 U.S.C. 7303, this part sets forth the mechanism for VA medical facilities to submit applications for VA employees to conduct research for the purpose of improving Veterans health and well-being. Investigator-initiated research proposals is the foundation to achieving ORDs mission to discover knowledge, develop VA researchers and health care leaders, and create innovations that advance health care for our Veterans.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The VA research program is an intramural program. The ORD allocates appropriated medical and prosthetic research funds to VA medical facilities for scientifically meritorious research related to the high-priority health care needs of Veterans to be conducted by VA employees. VA does not have the statutory authority to make research grants to colleges and universities, cities, states, or any other non-VA entity.

Applicant Eligibility:

Federal; The Principal Investigator (PI) and any Co-Principal Investigator (Co-PI) must have employment status of at least 25 hours per week (5/8ths) and activities that demonstrate a primary professional commitment to VA, including research, patient care, teaching, committee work, etc.. The eligibility of each prospective PI (and Co-PI) must be established prior to the funding of a research proposal.

Beneficiary Eligibility:

Federal; VA Research's fundamental mission is to advance the healthcare of Veterans.

Credentials/Documentation:

Applicants are required to submit a complete application package to be considered for an award. The FOA/RFA will specify the application procedures to be followed. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications submitted in response to a FOA/RFA must be submitted through Grants.gov using the VA-ORD Application Guide SF424 Research and Related (R&R) and forms available on the VA-ORD Intranet site at <http://vaww.research.va.gov/funding/electronic-submission.cfm>. ORD FOA/RFAs are not searchable in Grants.gov and are obtained through the aforementioned Intranet site.

Award Procedure:

Applications submitted in response to a VA-ORD FOA/RFA will be reviewed through a two-tier system. The first level of review will be performed by a Scientific Review Group (SRG) composed of scientists who have expertise in relevant scientific disciplines and current research areas. The purpose of the SRG is to evaluate the scientific and technical merit of applications. The SRG does not make funding decisions. The second level of review will be performed by VA-ORD, based not only on considerations of scientific merit (as judged by the SRGs), but also on the relevance of the proposed study to the mission, programs, and priorities of VA-ORD and the awarding Service. Final funding decisions are made at the discretion, and approval, of the awarding Service Director. VA-ORD uses a

Just-in-Time process to ensure all VA regulations and policies are met prior to making a final award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

Other. The appeals process is intended to ensure that the scientific review of all applications is fair and equitable. It is not intended as a means to resolve differences in scientific opinion, to adjust funding decisions, or to circumvent the peer review process. The basis for an appeal and the procedure for submitting an appeal will be detailed in the FOA/RFA.

Renewals:

Other. Renewal applications are not accepted for all award types. The FOA/RFA will specify if renewal applications will be accepted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

PIs are required to submit an annual research performance progress report or other interim performance report as per the FOA/RFA. The requirement to submit a progress report allows for the documentation of awardee accomplishments and compliance with terms of award and describes the scientific progress, identifies significant changes, reports on personnel, and describes plans for the subsequent budget period or year.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. The Office of Research Oversight (ORO) serves as the primary VHA office exercising oversight concerning all matters of research compliance and assurance. ORO conducts onsite Comprehensive Program Reviews (CPRs) that provide a high-level, integrated evaluation of all components of the VA medical facility's research compliance program that are subject to ORO oversight (i.e., human research protections, research information security, laboratory animal welfare, research safety, and general research administration). A CPR may be followed by an onsite focused compliance review, remote remediation, and/or onsite/remote technical assistance, targeting the specific areas of high vulnerability or potential noncompliance identified in the CPR.

Records:

As per VA Records Control Schedule 10-1, Chapter 8.

Account Identification:

36-0161-0-1-703.

Obligations:

Project Grants FY 19 \$638,000,000.00; FY 20 est \$648,000,000.00; FY 21 est \$669,000,000.00;

Range and Average of Financial Assistance:

VA-ORD awards are for terms ranging from one to seven years, as specified in each FOA/RFA. Availability of funds is dependent on Congressional appropriation and adjustments to budgets may be imposed after an award is initiated.

TAFS Codes:

36-0161.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Increased Veterans access to high-quality clinical trials; increased the substantial real-world impact of VA research; and put VA data to work for Veterans. In addition, we advanced research on cross-cutting priorities: suicide prevention; pain management and opioids; traumatic brain injury (TBI); posttraumatic stress disorder (PTSD); Gulf War illness and military exposures (expanded from Gulf War illness alone); and precision oncology.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Website.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

See Regional Assistance Locations. VHA CO RDO ERA Mailbox

Headquarters Office:

Tiffany Asqueri,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: vhaacordera.vhaacordera@va.gov
Phone: 202-443-5600

Website Address:

<http://www.research.va.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The review and selection criteria are dependent on the funding mechanism and will be provided in the funding opportunity announcement/request for applications.

64.100 Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

38 U.S.C. 39. AUTOMOBILES AND ADAPTIVE EQUIPMENT FOR CERTAIN DISABLED VETERANS AND MEMBERS OF THE ARMED FORCES, , 38 USC Code 3.808.

OBJECTIVES:

Provide financial assistance to certain disabled servicepersons and Veterans toward the purchase price of an automobile or other conveyance and an additional amount for adaptive equipment deemed necessary to insure the eligible person will be able to operate or make use of the automobile or other conveyance. Provide adaptive equipment only for certain other disabled Veterans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Assistance toward purchase of an automobile or other conveyance is a one-time payment only. Necessary adaptive equipment may be furnished, repaired, replaced, or reinstalled on a conveyance which may be purchased with assistance or any other conveyance subsequently or previously acquired. Adaptive equipment will be provided for no more than two conveyances during any four-year period unless one of those two vehicles becomes unavailable to the veteran.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Veterans with honorable service and servicepersons on active duty having a service-connected disability due to loss or permanent loss of use (LOU) in one or both feet, one or both hands, or a permanent impairment of vision of in both eyes to a prescribed degree or a severe burn injury defined as disability caused by deep partial thickness or full thickness burns resulting in scar formation that causes contractures and limits motion of one or more extremities or the trunk and precludes effective operation of an automobile or amyotrophic lateral sclerosis (ALS). Eligibility for an automobile grant may be based on functional as well as organic LOU of an extremity or blindness. Eligibility based on burn injury only applies to claims filed on or after October 1, 2011. For adaptive equipment only, eligibility also exists if there is service-connected ankylosis of one or both knees or one or both hips (see 38 U.S.C. 3902(b)(2) for more information). Servicepersons on active duty also qualify under the same criteria as Veterans.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Disabled servicepersons and veterans.

Credentials/Documentation:

DD Form 214, "Certificate of Release or Discharge from Active Duty". This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (VA Form 21-4502, Application for Automobile or Other Conveyance and Adaptive Equipment) may be obtained from any VA Office or Regional Office (see Appendix IV of the Catalog) and submitted to the Regional Office. Applications are also available on-line at <http://www.va.gov/vaforms/>. There is no time limit for filing a claim. In addition, a VA Form 10-1394, Application for Adaptive Equipment Motor Vehicle, is required if the Veteran is entitled to adaptive equipment only. This form is typically provided by the outpatient clinic to the Veteran for forwarding to the finance activity, or submitted by the prosthetics department on his/her behalf to the local finance activity. There is no time limit for filing a claim for adaptive equipment based on ankylosis.

Award Procedure:

Awards are authorized at the Regional Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Not available.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance toward purchase of an automobile or other conveyance is a one-time payment only, made to the seller of the vehicle, in an amount not exceeding the amount specified in 38 U.S.C. 3902, and if the eligibility requirements are met. Exceptions to this one-time assistance are found in PL 112-154. Necessary adaptive equipment may be furnished, repaired, replaced, or reinstalled on a conveyance which may be purchased with assistance or any other conveyance subsequently or previously acquired. The adaptive equipment benefit may be paid more than once, and it may be paid to either the seller or the Veteran. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

36-0137-0-1-702.

Obligations:

Direct Payments for Specified Use FY 19 \$40,401,000.00; FY 20 est \$41,346,000.00; FY 21 est \$42,314,000.00; Direct Payments for Specified Use FY 19 \$97,229,000.00; FY 20 est \$101,718,000.00; and FY 21 est \$106,413,000.00;

Range and Average of Financial Assistance:

Auto Grants: In FY 20, up to \$21,488.29, paid once

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.808. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4.

Regional or Local Office:

None. See Appendix IV of the Catalog for Veterans Benefits Administration field offices or local VHA facilities as noted for VA Form 10-1394.

Headquarters Office:

Jessica Pierce - VBA, Compensation Service,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: Jessica.Pierce@va.gov

Phone: (206) 965-3884

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.013 Veterans Prosthetic Appliances;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.101 Burial Expenses Allowance for Veterans

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

38 U.S.C. 2301, 2302, 2303, 2306, 2307, 2308, , 38 USC Code 2301-2308.

OBJECTIVES:

To provide a monetary allowance not to exceed \$796, or that amount authorized under 38 U.S.C. 2303 whichever is greater, toward the plot or interment expense for certain Veterans not buried in a national cemetery. Up to \$300 as a burial allowance is payable toward the burial expense of certain Veterans. Payable rates are higher if the Veteran was hospitalized by VA at time of death. If death is the result of a service-connected disability, payments can be made to reimburse burial and funeral expenses not to exceed \$2,000 or that amount authorized under 5 U.S.C. 8134(a) whichever is greater. In addition to the statutory burial allowance, the cost of transporting the Veterans' remains from place of death to site of burial is paid by VA if death occurs in a VA facility. The cost of transporting the remains from place of death to the national cemetery in which space is available nearest the Veteran's last place of residence may also be paid if death was due to service-connected disability, or at the time of death the Veteran was in receipt of or was entitled to receive disability compensation but for the receipt of military retired pay or non-service-connected disability pension and burial is in a national cemetery. A headstone or marker may be authorized. Also, an American flag to drape the casket of a Veteran may be provided.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Individual/Family; Eligible surviving spouses of record or added to the record at the time the death is reported are paid applicable burial and plot allowances automatically upon notification of a Veterans death, without the need to submit a claim. VA may grant additional benefits, including the interment and transportation allowance, if VA receives a claim for these benefits. If the burial benefit has not been automatically paid to the surviving spouse, VA will pay the first living person to file a claim of those listed below: The Veterans surviving spouse, OR The survivor of a legal union between the deceased Veteran and the survivor, OR The Veterans children, regardless of age, OR The Veterans parents or surviving parent, OR The executor or administrator of the estate of the deceased Veteran. If no one has been appointed, then VA may pay someone acting in such a capacity for the estate. The next of kin, friend or associate of the deceased Veteran is eligible for the flag.

Beneficiary Eligibility:

Individual/Family; The Veterans surviving spouse, the survivor of a legal union between the deceased Veteran and the survivor, the Veterans children, regardless of age, the Veterans parents or surviving parent, or the executor or administrator of the estate of the deceased Veteran who paid the Veterans burial expenses. If no one has been appointed as executor or administrator of the estate, then VA may pay someone acting in such a capacity for the estate. A funeral director may be eligible if the Veterans remains are unclaimed.

Credentials/Documentation:

Burial and plot allowances are payable for a Veteran whose discharge was under other than dishonorable conditions and meets the following criteria: the deceased Veteran was discharged or released from active duty for a disability incurred or aggravated in line of duty; or at the time of death the Veteran was entitled to compensation or pension, or the deceased Veteran was indigent or the deceased Veteran was properly hospitalized at VA expense. In lieu of plot and burial allowances, the service-connected allowance is payable toward the burial expenses of a deceased Veteran who died of a service-connected cause or who, at the date of death was rated totally disabled for a service-connected disability or disabilities. Each wartime Veteran and each peacetime Veteran who served at least one enlistment or was discharged or released from active duty because of a disability incurred or aggravated in line of duty is entitled to a flag to drape the casket. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An application (VA Form 21-530) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office for burial benefits. Headstones and markers must be applied for on VA Form 40-1330 in accordance with the "General Information Sheet" and instructions attached to the application and submit it to the Department of Veterans Affairs. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized at the regional office except for headstones and markers.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. On average from 78 to 144 days. Approximately 62 percent completed in 125 days or less.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

not applicable Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

Direct Payments with Unrestricted Use FY 19 \$260,953,000.00; FY 20 est \$344,932,000.00; FY 21 est \$354,986,000.00;

Range and Average of Financial Assistance:

Up to \$796 for plot or interment expenses. Up to \$300 for burial allowance if death is not service-connected. Up to \$2,000 if death is service-connected. Payable rates are higher if the Veteran was hospitalized by VA at the time of death. Up to \$2,000 if death is service-connected. Reasonable transportation charges may be covered in certain cases. A reasonable transportation expense is an expense that is usual and customary in the context of burial transportation, with a corresponding charge that is the usual and customary charge made to the general public for the same or similar services.

TAFS Codes:

36-0155.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: (FY20 est: 29,312 plot allowances, 68,520 burial allowances, 41,620 service-connected burial allowances, and 455,515 burial flags) Fiscal Year 2021: (FY21 est.: 29,887 plot allowances, 69,864 burial allowances, 43,524 service-connected burial allowances, and 450,500 burial flags).

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.1600-3.1611. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-6-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Cody Collins,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: Cody.Collins@va.gov

Phone: (202) 632-8863

Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

64.202 Procurement of Headstones and Markers and/or Presidential Memorial Certificates; 64.201 National Cemeteries; 64.105 Pension to Veterans Surviving Spouses, and Children;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.104 Pension for Non-Service-Connected Disability for Veterans

(Pension).

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

38 U.S.C. 1511, 1512, 1513, 1521, 1522, , 38 USC Code 1511-1513 1521.

OBJECTIVES:

To assist wartime veterans in need whose non-service- connected disabilities are permanent and total preventing them from following a substantially gainful occupation.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); A Veteran who meets the wartime service requirements is potential eligible if he/she is: permanently and totally disabled for reasons not necessarily due to service, age 65 or older, or is presumed to be totally and permanently disabled for pension purposes because: o he/she is a patient in a nursing home for long-term care due to a disability, or being disabled, as determined by the Commissioner of Social Security (SS) for purposes of any benefits administered by the Commissioner, such as SS disability benefits or Supplemental Security Income. Income restrictions are prescribed in 38 U.S.C. 1521. Pension is not payable to those whose estates are so large that it is reasonable they use the estate for maintenance. A Veteran meets wartime service requirements if he/she served: a total of 90 days or more during one or more periods of war; 90 or more consecutive days that began or ended during a period of war; or for any length of time during a period of war if he/she was discharged or released for a service-connected disability. Veterans entering service after September 7, 1980, must also meet the minimum active duty requirement of 24 months of continuous service or the full period to which the Veteran was called to active duty. (38 U.S.C.5303(A)).

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Senior Citizen (60+); Disabled veterans.

Credentials/Documentation:

Military discharge certificate showing the separation from service was under other than dishonorable conditions. The Veteran must have a permanent and total disability preventing a substantially gainful occupation, be age 65 or older, or presumed to be totally and permanently disabled for pension purposes because he/she is a patient in a nursing home for long-term care because of disability, or disabled, as determined by the Commissioner of Social Security (SS) for purposes of any benefits administered by the Commissioner, such as SS disability benefits or Supplemental Security Income. His or her income and net worth must be limited as prescribed by 38 U.S.C. 1521 and 1522. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded

ASSISTANCE LISTINGS DESCRIPTIONS

from coverage under OMB Circular No. A-110. An application (VA Form 21-526 or VA Form 21-527EZ) may be obtained from a local or regional VA Office (see Appendix IV of the Catalog) and submitted to the Regional Office. Veterans may also apply on-line at www.va.gov.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. On average from 69 to 93 days. Approximately 86 percent completed in 125 days or less.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

Direct Payments for Specified Use FY 19 (\$1,107,529,296.00); FY 20 est (\$1,087,947,296.00); FY 21 est (\$1,015,438,296.00);

Range and Average of Financial Assistance:

Payments are \$13,752 annually, reduced by countable income for a Veteran without dependents (\$22,939 if in need of aid and attendance and \$16,805 if housebound) and \$18,008 for a Veteran with one dependent (\$27,195 if in need of aid and attendance and \$21,063 if housebound) plus \$2,351 for each additional dependent. These rates were effective December 1, 2019 for Veterans Pension .

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.3. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-79054. The stock number is 051-000-00233-4.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,

Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.105 Pension to Veterans Surviving Spouses, and Children

(Death Pension).

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Military Construction, Veterans Affairs and Related Agencies Appropriations Act, , 38 USC Code 1541.

OBJECTIVES:

To assist needy surviving spouses, and children of deceased war-time veterans whose deaths were not service connected.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Individual/Family; Unmarried surviving spouses and children of deceased Veterans who met the wartime service requirements or was a wartime Veteran who was receiving (or was entitled to receive) disability compensation at the time of death. Veterans who entered service after September 7, 1980, must also have met the minimum active duty requirement of 24 months of continuous service or the full period to which the Veteran was called to active duty. Veterans discharged on or after October 16, 1981, must have served for two years or the length to which called to active duty, if less than two years, or have a service-connected disability (38 U.S.C. 5303(A)). Income restrictions are prescribed in 38 U.S.C. 1541 and 1542. A child must be unmarried and under 18, between 18 and 23 if in school, or disabled before 18 and continuously incapable of self-support. Pension is not payable to those whose estates are so large that it is reasonable they use the estate for maintenance. A Veteran meets wartime service requirements if he/she served a total of 90 days or more during one or more periods of war; 90 or more consecutive days that began or ended during a period of war; or for any length of time during a period of war if he/she was discharged or released for a service-connected disability.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Low income surviving spouses and children of deceased war-time Veterans.

Credentials/Documentation:

Military discharge certificate, marriage certificate, and birth certificate(s). Income and net worth must be limited as prescribed by statute. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (VA Form 21-534 or VA Form 21-534EZ) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized by the Regional Office.

Deadlines:

ASSISTANCE LISTINGS DESCRIPTIONS

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. On average from 73 to 187 days. Approximately 52 percent completed in 125 days or less.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Effective December 2004, entitlement may be established from the first day of the month in which the Veteran died if the application is received within one year of the death; otherwise, entitlement is established from the date of receipt of the claim. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None. A surviving spouse or child must promptly report a change in marital status and income. Additionally, a change in schooling for a child over 18 but under 23 must be reported.

Account Identification:

36-0102-0-1-701.

Obligations:

Direct Payments with Unrestricted Use FY 19 \$1,716,516,000.00; FY 20 est \$1,627,465,000.00; FY 21 est \$1,653,903,000.00;

Range and Average of Financial Assistance:

\$9,224 annually, reduced by countable income for a spouse without children (\$14,742 if in need of aid and attendance and \$11,273 if housebound) and \$12,072 for a surviving spouse with one child (\$17,586 if in need of aid and attendance and \$14,116 if housebound) plus \$2,351 for each additional child. These rates were effective December 1, 2019 for Survivors Pension.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.3. "Federal Benefits for Veterans and Dependents," Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number: 051-000-00-233-4.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Cody Collins - VBA, Pension & Fiduciary,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: Cody.Collins@va.gov

Phone: (202) 632-8863

Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

64.103 Life Insurance for Veterans; 96.004 Social Security_Survivors Insurance; 64.101 Burial Expenses Allowance for Veterans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.106 Specially Adapted Housing for Disabled Veterans

Specially Adapted Housing,

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Veterans' Housing Opportunity and Benefits Improvement Act of 2006, , Public Law 109-233, 38 USC Code 2101-2106.

OBJECTIVES:

To help certain severely disabled veterans acquire a home which is suitably adapted to meet the special needs of their disabilities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

a.The Specially Adapted Housing (SAH) grant program helps Veterans with certain service-connected disabilities live independently in a barrier-free environment. SAH grants can be used in one of the following ways: (1) construct a suitable home on suitable land either already owned or to be acquired by the veteran, or (2) remodel an existing home if it can be suitably adapted, or (3) acquire a suitably adapted home or reduce the outstanding mortgage on a suitably adapted home already owned by the veteran.b. The Special Housing Adaptation (SHA) grant program helps veterans with certain service-connected disabilities adapt or purchase a home to accommodate the disability. SHA grants can be used in one of the following ways: (1) adapt an existing home the veteran or a family member already owns in which the veteran lives; (2) adapt a home the veteran or family member intends to purchase in which the veteran will live; (3) help a veteran purchase a home already adapted in which the veteran will live. c. . The Temporary Residence Adaptation (TRA) grant program provides adaptation assistance to veterans who are residing, but do not intend to permanently reside, in a residence owned by a family member. If a veteran is otherwise eligible for SAH or SHA, the assistance is limited. d. SAH and SHA grants may be used up to three times, as long as the aggregate grant amount does not exceed the statutory dollar limitation. TRA grants may only be used once (and count as a grant usage for purposes of the limit of three).

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans);

For a SAH grant, veterans are eligible if they are entitled to disability compensation for a permanent, total, and service-connected disability due to:(1) loss or loss of use of both lower extremities, such as to preclude locomotion without the aid of braces, canes, crutches, or a wheelchair; (2) blindness in both eyes, having only light perception, plus loss or loss of use of one lower extremity; (3) loss or loss of use of one lower extremity, together with residuals of organic disease or injury, or the loss or loss of use of one upper extremity, which so affect the functions of balance or propulsion as to preclude locomotion without the aid of braces, crutches, canes, or a wheelchair; or (4) loss or loss of use, of both upper extremities such as to preclude use of the arms at or above the elbows; (5) a severe burn injury. It must be medically feasible for the veteran to reside in the particular home involved. For an SHA grant, veterans are eligible if they are entitled to disability compensation for a permanent and total service-connected disability that (1) is due to blindness in both eyes with 5/200 visual acuity or less, or (2) includes the anatomical loss or loss of use of both hands, or (3) a severe burn injury.

ASSISTANCE LISTINGS DESCRIPTIONS

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Permanently and totally disabled veterans.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Initial application for determination of basic eligibility may be made on VA Form 26-4555 to the VA regional office holding the veteran's claim folder. Veterans can also apply through eBenefits at <https://www.ebenefits.va.gov/ebenefits/homepage>. After eligibility is determined, a VA employee will personally assist the veteran throughout subsequent processing, including advice on a suitable house plan and lot, adaptive features, location of a qualified architect and builder, and submission of the documents necessary for final grant approval.

Award Procedure:

The VA Regional Loan Center releases the grant proceeds after conditions of the contract and grant approval are fulfilled.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Initial interview completed within 30 days of determination of eligibility. Grant approval timeline varies and is dependent upon veteran's desire to move forward with securing contractors and drafting construction plans.

Appeals:

Other. Denial of eligibility is appealable as outlined in the Appeals Modernization Act. In the event of a denial, claimants are advised of appeal rights and procedures at the time of notification.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The way grant funds are released is dependent on the grants purpose (mortgage principle reduction or remodel and construction). Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

Direct Payments for Specified Use FY 19 \$122,382,000.00; FY 20 est \$139,476,000.00; FY 21 est \$149,043,000.00;

Range and Average of Financial Assistance:

SAH: For the housing unit, land, fixtures, and allowable expenses, up to \$73,768 .

SAH: For adaptations to the veteran's residence, up to \$14,754.

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.809, 36.4400-36.4411 , and title 38, United State Code, chapter 21; "Handbook for Design: A Guide for Specially Adapted Housing and Special Housing Adaptation Projects," VA Pamphlet 26-13, no charge; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00 , available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is 051-000-00233-4 .

Regional or Local Office:

See Regional Assistance Locations. Applicants should communicate with the nearest VA Regional Office. Case development and assistance will be provided by the VA office with jurisdiction over the location of the property involved. See Appendix IV of the Catalog for addresses of these offices listed under Department of Veterans Affairs. Information may be obtained from the nearest Regional Office by calling toll-free 1-800- 827-1000.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: enrico.reaume@va.gov
Phone: (202) 461-9600

Jason Latona - VBA, Loan Guaranty Service,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: Jason.Latona@va.gov
Phone: (202) 461-9600

Fax:

Website Address:

<http://www.benefits.va.gov/homeloans/adaptedhousing.asp>

RELATED PROGRAMS:

64.103 Life Insurance for Veterans; 64.114 Veterans Housing Guaranteed and Insured Loans; 64.119 Veterans Housing_Manufactured Home Loans; 64.118 Veterans Housing Direct Loans for Certain Disabled Veterans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.109 Veterans Compensation for Service-Connected Disability

(Compensation),

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

38 U.S.C. 1110, 1131.,

OBJECTIVES:

Compensate Veterans for disabilities incurred in or aggravated during military service according to the average impairment in earning capacity such disability would cause in civilian occupations.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Persons who have suffered disabilities resulting from service in the Armed Forces of the United States. The disability must have been incurred during or aggravated by service in the line of duty. Separation from service must have been under other than dishonorable conditions for the period in which the disability was incurred or

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aggravated.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Disabled veterans.

Credentials/Documentation:

DD Form 214, "Certificate of Release or Discharge from Active Duty". This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application VA Form 21-526EZ, Application for Disability Compensation and Related Compensation Benefit (s) may be obtained from any VA office or regional office and submitted to the Veterans local regional office. In addition, an application may be completed on-line at www.va.gov.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

None, but it must be filed within one year after separation from service in order for entitlement to be established from the day following discharge; otherwise, entitlement is established only from the date of receipt of the claim.

Range of Approval/Disapproval Time:

Not Applicable. Most applications completed in 180 days or less.

Appeals:

Not Applicable. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Effective date specific to each disability compensation review. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

Direct Payments for Specified Use FY 19 \$1,747,753,080.00; FY 20 est \$2,011,529,488.00; FY 21 est \$2,139,921,896.00;

Range and Average of Financial Assistance:

In FY 20, basic rates of compensation range from \$142.29 for a 10 percent combined disability rating to \$3,106.04 for a 100 percent combined disability rating.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.4; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4.

Regional or Local Office:

None. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Jessica Pierce - VBA, Compensation Service,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: Jessica.Pierce@va.gov

Phone: (206) 965-3884

Enrico Reaume - VBA, Office of Executive Review,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.103 Life Insurance for Veterans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.110 Veterans Dependency and Indemnity Compensation for Service-Connected Death

(DIC and Death Compensation),

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,

DEPARTMENT OF

AUTHORIZATION:

Military Construction, Veterans Affairs and Related Agencies Appropriation Act, , 38 USC Code 1121-1318.

OBJECTIVES:

To compensate surviving spouses, children and parents for the death of any servicemember who died while on active duty, active duty for training, or inactive duty training, OR any Veteran who died from an injury or disease deemed to be related to military service, OR died from a non service-related injury or disease, but was receiving, or was entitled to receive, VA Compensation for a service-connected disability or disabilities that was rated as totally disabling: of or at least 10 years immediately preceding death, OR since the Veteran's release from active duty and for at least five years immediately preceding death, OR for at least one year before death if the Veteran was a former prisoner of war who died after September 30, 1999.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Individual/Family; Death Compensation is payable to an unmarried surviving spouse, unmarried children and dependent parent or parents of the deceased Veteran who died before January 1, 1957, because of a service-connected disability. For deaths on or after January 1, 1957, Dependency and Indemnity Compensation (DIC) is payable to an unmarried surviving spouse, unmarried children and dependent parent or parents of the deceased Veteran. DIC is a tax free monetary benefit paid to eligible survivors of a military servicemember who died in the line of duty or eligible survivors of a Veteran who died from an injury or

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disease deemed to be related to military service, OR died from a non service-related injury or disease, but was receiving, or was entitled to receive, VA Compensation for a service-connected disability or disabilities that was rated as totally disabling; or for at least 10 years immediately preceding death, OR since the Veteran's release from active duty and for at least five years immediately preceding death, OR for at least one year before death if the Veteran was a former prisoner of war who died after September 30, 1999. The surviving parent(s) must have an income below a limit established by law, 38 U.S. Code 5312. Effective January 2005, surviving spouses who receive DIC and have one or more children under age 18 will receive \$250 a month in addition to their current rate. Only one monthly child supplement payment is made, regardless of the number of minor children on the surviving spouses award. This increased rate applies only to months "occurring" during the two-year period beginning on the date entitlement to DIC began. It ceases on the first month beginning after the expiration of the two-year period or the month in which all of the surviving spouse's children have attained the age of 18, or are removed from the award, whichever is earlier. A surviving spouse who remarries after age 57 retains eligibility for DIC

Beneficiary Eligibility:

Individual/Family; Veteran/Service person/Reservist (including dependents); Surviving spouses, children and parents of deceased Veterans.

Credentials/Documentation:

Death certificate, marriage certificate, birth certificate(s), and military discharge certificate. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (VA Form 21-534, VA Form 21-534a, or VA Form 21-534EZ for surviving spouses, and or children; 21-535 for parents) may be obtained from any VA office or regional office (see Appendix IV of the Catalog) and submitted to the regional office. Applications are also available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. On average from 79 to 103 days. Approximately 79 percent completed in 125 days or less.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Any change in the marital status of a surviving spouse, child, or parent must be reported promptly. A surviving spouse who remarries after age 57 retains eligibility for DIC. Also, a change in school attendance of children over 18 must be reported. Parents must report changes in income promptly. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None. Any change in the marital status of a surviving spouse, child, or parent must be reported promptly. A surviving spouse who remarries after age 57 retains eligibility for DIC. Also, a change in school attendance of children over 18 must be reported. Parents must report changes in income promptly.

Account Identification:

36-0102-0-1-701.

Obligations:

Direct Payments with Unrestricted Use FY 19 (\$1,307,229,592.00); FY 20 est (\$93,870,592.00); FY 21 est (\$496,412,592.00);

Range and Average of Financial Assistance:

Monthly rates of DIC range from \$565.84 for one child when no spouse is entitled; from \$1,340.14 to \$3,070.75 for a surviving spouse, with \$332.00 additional if the surviving spouse is in need of aid and attendance, or \$155.53 if housebound. A surviving spouse is also entitled to an additional \$332.00 monthly for each child under age 18. Monthly rates for parents range from \$5 to \$663 depending upon income and whether single or married. An additional \$359 is payable if the parent is in need of aid and attendance. These rates were effective December 1, 2019 for DIC and Parents DIC.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 3.4(C) and 3.5; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954 Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: enrico.reaume@va.gov

Phone: (202) 4619600

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

64.114 Veterans Housing Guaranteed and Insured Loans; 64.117 Survivors and Dependents Educational Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.114 Veterans Housing Guaranteed and Insured Loans

(VA Home Loans),

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

38 USC Code 3710.

OBJECTIVES:

To assist veterans, certain service personnel, and certain unmarried surviving spouses of veterans, in obtaining credit for the purchase, construction or improvement of homes on more liberal terms than are generally available to non-veterans.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

VA may guarantee or insure home loans made to eligible applicants for any of the following purposes: (a) buy or build a home; (b) simultaneously purchase and improve a home; (c) repair, alter or improve a home; (d) buy a one-family residential unit in an approved condominium housing project; (e) refinance a mortgage or other lien on a house owned by the applicant; (f) refinance an existing VA loan to reduce the interest rate (g) purchase a manufactured home to be permanently affixed to a lot that is already owned by the applicant; (h) purchase a manufactured home and a lot to which the home will be permanently affixed; (i) refinance at a lower interest rate an existing VA-guaranteed loan which is secured by a manufactured home permanently affixed to a lot owned by the applicant; (j) refinance an existing loan that was made for the purchase of, and that is secured by, a manufactured home that is permanently affixed to a lot and to purchase the lot to which the manufactured home is affixed. Restrictions on guaranteed financing are that: (a) the borrower must own and occupy, as his or her home, the housing unit securing the loan; (b) for refinancing loans to reduce the interest on an existing VA loan previous occupancy is acceptable; (c) the nature and condition of the unit must be suitable for dwelling; (d) maximum loan amount may not exceed the property value established by VA; (e) for cash-out refinancing loans, except for specified situations where the loan limit may preclude lien satisfaction the loan may be no more than 90 percent of the property value as established by VA and the borrower must own and occupy the property; (f) the terms of repayment must bear a proper relationship to the applicant's present and anticipated income and expenses; (g) the applicant must be a satisfactory credit risk; (h) if the loan involves a permanently sited manufactured home, then that home must be classified and taxed as real property.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); (a) Veterans who served on active duty on or after September 16, 1940, and were discharged or released under conditions other than dishonorable. Veterans who served any time during World War II, the Korean Conflict, the Vietnam-era, or the Persian Gulf War must have served on active duty 90 days or more; veterans with peacetime service only must have served a minimum of 181 days continuous active duty. All veterans separated from enlisted service which began after September 7, 1980, or service as an officer which began after October 16, 1981, must also have served at least 24 months of continuous active duty or the full period for which the person was called or ordered to active duty. Veterans of such recent service may qualify with less service time if they have a compensable service-connected disability or were discharged after at least 181 days (90 days during Persian Gulf War), under the authority of 10 U.S.C. 1171 or 1173; or (b) any veteran in the above classes with less service but discharged with a service-connected disability; (c) individuals may also be eligible if they were released from active duty due to an involuntary reduction in force, certain medical conditions, or in some instances, for the convenience of the Government; (d) unmarried surviving spouses of otherwise eligible veterans who died in service or whose deaths were attributable to service-connected disabilities Note: A surviving spouse who remarries on or after attaining age 57, may be eligible for the home loan benefit. However, a surviving spouse who remarried before December 16, 2003, must have applied no later than December 15, 2004 to establish home loan eligibility. VA must deny applications from surviving spouses who remarried before December 16, 2003; (e) service personnel who have served at least 181 days on continuous active duty status (90 days until the ending date for the Persian Gulf War has been set); (f) spouses of members of the Armed Forces serving on active duty, who are listed as missing in action, or as prisoners of war and who have been so listed 90 days or more; (g) members of the Selected Reserve who are not otherwise eligible for home loan benefits and who have completed a total of six (6) years in the Selected Reserves, followed by an honorable discharge, placement on the retired list, or continued service. Individuals who completed less than 6 years may be eligible if discharged for a service connected disability. Applicants must have sufficient present and prospective income to meet loan repayment terms and have a satisfactory credit record.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Veterans, service personnel, and unmarried surviving spouses of veterans.

Credentials/Documentation:

Evidence of the veteran's entitlement for loan benefits and qualification for the loan purpose, documentation on the loan transaction, and characteristics of the property proposed to secure the loan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants can apply for a Certificate of Eligibility online through eBenefits.gov, by mail through the VA Regional Loan Center of jurisdiction or ask their lender to apply through the WebLGY Eligibility program. Applications for guaranty are also made by the lender through VA's WebLGY program or by mail through the appropriate VA Regional Loan Center.

Award Procedure:

Evidence of guaranty will be issued to the lender either electronically (if application was made online) or by the appropriate VA Regional Loan Center.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. Certificates of Eligibility are usually issued within 5 days after receipt of an application via mail. Many Certificates of Eligibility can be issued in 'real time' through the WebLGY system. Issuance of guaranty can be obtained, in most cases, immediately through WebLGY. Applications for guaranty received via mail are usually issued within 5 days of receipt.

Appeals:

Not Applicable. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Loan proceeds may be disbursed in one lump sum when an existing suitable housing unit is involved or in phases in construction cases. Method of awarding/releasing assistance: lump sum Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Until VA ceases to be liable as the guarantor or insurer of a loan, the lender or loan holder must maintain adequate records on the amount and dates of payments received and disbursements made on guaranteed or insured loans.

Account Identification:

36-4129-0-3-704.

Obligations:

Guaranteed/Insured Loans FY 19 \$202,137,707.00; FY 20 est \$62,630,144.00; FY 21 est \$1,599,852.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-1119.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. The loan guaranty is processed completely by the VA Regional Loan Centers. Initial contact should be made with the appropriate office listed in Appendix IV of the Catalog under Veterans Benefits Administration.

Headquarters Office:

Colin Deaso - VBA, Loan Guaranty Service,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: Colin.Deaso@va.gov

Phone: (202) 394-0764

Enrico Reaume - VBA, Office of Executive Review,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.106 Specially Adapted Housing for Disabled Veterans; 15.124 Indian Loans_Economic Development; 64.119 Veterans Housing_Manufactured Home Loans; 64.118 Veterans Housing Direct Loans for Certain Disabled Veterans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.116 Vocational Rehabilitation for Disabled Veterans

(Vocational Rehabilitation),

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Veterans' Rehabilitation and Education Amendments of 1980, , Public Law 96-466, 38 USC Code 3102.

OBJECTIVES:

To provide all services and assistance necessary to enable Veterans with service connected disabilities and service members hospitalized or receiving outpatient medical care services or treatment for a service- connected disability pending discharge to get and keep a suitable job. When employment is not reasonably feasible, the program can provide the needed services and assistance to help the individual achieve maximum independence in daily living.

TYPES OF ASSISTANCE:

Direct Loans, Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

The program offers the services and assistance necessary for an individual to increase their level of independence in daily living, or obtain and retain suitable employment. This is employment that matches the individual's aptitudes, interests, and abilities and does not worsen the individual's disabilities. An individual may reach an employment goal directly by receiving job seeking and job placement services. If direct placement is not possible, the program provides education or training to qualify the individual for employment. If the individual requires education or training, the program provides for direct payment to service providers for the entire cost of tuition, books, fees, supplies, and other services. As part or all of a rehabilitation program, individuals may receive services and training designed

to help them increase their level of independence in their homes and communities. Counseling services are provided to the individual throughout his or her participation in the program. In addition to disability compensation, the veteran receives a monthly subsistence allowance when participating in training at least half-time. Advances of up to \$1,260 may be made to veterans to meet unexpected financial difficulties. These advances do not bear interest and are repaid out of future VA benefit payments. Although no set repayment period is established, the monthly rate of repayment may not generally be less than 10 percent. In general, a new advance may not be made until a previous advance has been fully repaid. Usually, the training phase of the rehabilitation program may not exceed the equivalent of 48 months of full-time benefits nor may training be provided more than 12 years after the individual becomes eligible to apply for the program. Following training, or sometimes as the whole of a rehabilitation program, the veteran may also receive up to 18 months of counseling, job placement and post-placement services. The veteran may receive an employment adjustment allowance equal to 2 months of subsistence during this period of services, but only if employability was achieved as a result of services received in a program which included training. Veterans who meet certain requirements may receive assistance to become self-employed.

Applicant Eligibility:

Individual/Family; Veterans of World War II and later service with a service-connected disability or disabilities rated at least 20 percent compensable and certain service-disabled servicepersons pending discharge or release from service if VA determines the servicepersons will likely receive at least a 20 percent rating and they need vocational rehabilitation because of an employment handicap. Veterans with a 10 percent service-connected disability may be eligible if they first applied for vocational rehabilitation prior to November 1, 1990, and they have an employment handicap. In addition, veterans with compensable ratings of 10 percent may also be eligible if they are found to have a serious employment handicap.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Veterans of World War II and later service with a service-connected disability or disabilities rated at least 20 percent compensable and certain service-disabled Service members pending discharge or release from service if VA determines the Service members will likely receive at least a 20 percent rating and they need vocational rehabilitation because of an employment handicap. Veterans with compensable ratings of 10 percent may also be entitled if they are found to have a serious employment handicap.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requirements, Cost Principles, and Audit Requirements for Federal Awards. Obtain an application (VA Form 28-1900) from any VA office or regional office (see Appendix IV of the Catalog) and submit it to the nearest VA regional office. Applications can also be filed on line at . www.va.gov/career-employment/vocational-rehabilitation/how-to-apply/

Award Procedure:

Awards are authorized at the regional office for direct distribution to beneficiaries.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Average is 37 days.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Other. Under certain limited circumstances, a veteran's eligibility period can extend beyond 12 years and entitlement to training and subsistence can be longer than 48 months.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: lump sum.

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-4112-0-3-702. 36-0137-0-1-702. 36-4114-0-3-702.

Obligations:

Direct Payments for Specified Use FY 19 \$1,387,614,000.00; FY 20 est \$1,447,288,000.00; FY 21 est \$1,542,002,000.00; Direct Loans FY 19 \$1,162,090.00; FY 20 est \$2,008,233.00; FY 21 est \$2,469,522.00;

Range and Average of Financial Assistance:

Full cost of tuition, books, fees, supplies and rehabilitation services. Monthly full-time allowances for veterans participating in training during fiscal year 2020 range from \$644.74 for a single veteran to \$942.44 for a veteran with two dependents, plus \$68.68 for each dependent in excess of two. A non-interest-bearing loan of up to \$1,260 and a work-study allowance not to exceed the higher of 25 times the Federal or State minimum hourly wage times the number of weeks in the enrollment.

TAFS Codes:

36-4112.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.40-21.47; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000-00233-4.

"Vocational Rehabilitation - Your Key to an Independent Future," VA Pamphlet 28-82-1, free, available from any VA regional office, and www.va.gov/vre.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: enrico.reaume@va.gov
Phone: 202-461-9600

CJ Riley - VBA, Veteran Readiness and Employment Service,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: Christi.Hellard@va.gov
Phone: (202) 461-9600
Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 64.013 Veterans Prosthetic Appliances; 27.002 Federal Employment Assistance for Veterans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Direct payment for specified use; payments are made directly to the providers of goods or services for program participants; payments include tuition, fees, books, supplies, tutoring, professional services, ; advances are made to program participants to meet unexpected financial obligations which might hinder continued program progress.

64.117 Survivors and Dependents Educational Assistance

Survivors and Dependents Educational Assistance (DEA) - Chapter 35,

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF
AUTHORIZATION:

Public Laws 86-236, 89-358, 90-631, 92-540, 93-508, 94-502, 95-202, 96-466, 98-460, 101-237, 101-508, 102-127, 102-568, 103-446, 104-275, 105-114, 105-206, 106-117, 106-419, 107-103, 107-330, 108-183, and 108-454; 38 U.S.C., chapter 35.,

OBJECTIVES:

To provide educational opportunities to the dependents of certain disabled and deceased veterans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Participants may pursue: (1) courses at colleges and universities leading to associate, bachelor or graduate degrees (including courses taken via accredited independent study, provided those courses lead to a standard college degree or a certificate that reflects educational attainment offered by an institution of higher learning); (2) courses leading to a certificate or diploma from colleges or universities or from business, technical or vocational schools; (3) apprenticeship or on-the-job training programs; (4) correspondence courses, but only for spouses and surviving spouses; (5) state-approved teacher certification programs; (6) preparatory courses for tests necessary for admission to a college or graduate school; (7) reimbursement for licensing and certification tests approved for veterans; (8) reimbursement for national exams for admission to college or for college credit; (9) courses leading to a high school diploma or equivalent. A special restorative training benefit is available for both children and spouses who need to overcome or lessen the effects of a physical or mental disability so that they will be able to pursue a program of education. A specialized vocational training program is available for those who are not in need of special restorative training but require specialized vocational training because of a mental or physical handicap.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Spouses, surviving spouses, and children (including stepchild or adopted child) between age 18 and 26 of veterans who died from service-connected disabilities, of living veterans whose service-connected disabilities are considered permanently and totally disabling, of those who died from any cause while such service-connected disabilities were in existence, of servicepersons who have been listed for a total of more than 90 days as currently missing in action, or as currently prisoners of war, a service member who VA determines has a service connected permanent and total disability and at the time of VA's determination is a member of the Armed Forces who is hospitalized or receiving outpatient medical care, services, or treatment; and is likely to be discharged or released from service for this service-connected disability. Children under the age of 18 may be eligible under special circumstances.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; See Applicant Eligibility.

Credentials/Documentation:

Military discharge certificate, death certificate or official document verifying POW status or MIA status, marriage certificate, and birth certificate(s). This program is

ASSISTANCE LISTINGS DESCRIPTIONS

excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application, VA Form 22-5490 for a child, spouse or surviving spouse may be obtained from any VA Regional Office and submitted to the appropriate VA Regional Processing Office.

Award Procedure:

Awards are authorized at the regional processing office.

Deadlines:

None, but the period of eligibility for a spouse generally extends no later than the 10 years from the date the veteran was notified of the service-connected permanent and total disability, or from the date the serviceperson was listed for a total of more than 90 days as currently missing in action or listed as a prisoner of war. The period of eligibility for a surviving spouse generally extends no later than 10 years from the date VA notifies the surviving spouse that the death was service-connected and no later than 20 years for the surviving spouse of a veteran who dies while on active duty. The period of eligibility for a child generally extends to the 26th birthday, subject to certain exceptions.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Generally, eligibility for a child terminates at age 26. In some instances, it may be extended. For example, in the case of service in the Armed Forces, eligibility will end 8 years after the first discharge or release from service but in no event beyond age 31.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

If you're the child of a Veteran or service member you can receive benefits if you're between the ages of 18 and 26, except in certain cases. You may be married or unmarried. If you're the spouse of a Veteran or service member your benefits start on the date VA concludes that you qualify or on the date of the Veterans death, and benefits last for 10 years. If VA rated the Veteran as permanently and totally disabled, with an effective date that's 3 years after discharge, surviving spouses qualify for benefits for 20 years from that effective date. If the service member died on active duty, your benefits end 20 years from the date of death. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

Direct Payments for Specified Use FY 19 \$861,152,000.00; FY 20 est \$1,029,980,000.00; FY 21 est \$1,177,324,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.3001 - 21.3344; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954 or from the online government bookstore at www.bookstore.gpo.gov. The stock number is 051-000-00233-4.

Regional or Local Office:

None. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: enrico.reaume@va.gov

Phone: (202) 461-9600
Terry Warren - VBA, Education Service,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: Terry.Warren@va.gov
Phone: (202) 461-9213
Fax:

Website Address:

<http://www.GIBILL.va.gov>.

RELATED PROGRAMS:

84.032 Federal Family Education Loans; 84.038 Federal Perkins Loan Program_Federal Capital Contributions; 84.007 Federal Supplemental Educational Opportunity Grants; 64.105 Pension to Veterans Surviving Spouses, and Children; 64.110 Veterans Dependency and Indemnity Compensation for Service-Connected Death; 27.002 Federal Employment Assistance for Veterans; 84.063 Federal Pell Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.118 Veterans Housing Direct Loans for Certain Disabled Veterans

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

38 USC Code 3710.

OBJECTIVES:

To provide veterans who are eligible for a Specially Adapted Housing grant with loan directly from VA in certain circumstances.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

VA may make loans up to \$33,000 to eligible applicants if (a) the veteran is eligible for a VA Specially Adapted Housing grant, and (b) a loan is necessary to supplement the grant, and (c) home loans from a private lender are not available in the area where the property involved is located.

Applicant Eligibility:

Individual/Family; Veterans who served on active duty on or after September 16, 1940 and are eligible for a Specially Adapted Housing grant.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Permanently and totally disabled veterans.

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The veteran should apply to the VA Regional Loan Center having jurisdiction over the property location. No specific initial application form is prescribed. The VA Regional Loan Center will respond directly to the veteran.

Award Procedure:

The VA Regional Loan Center coordinates loan disbursement with payout of the related Specially Adapted Housing grant. Loan closing is conducted through a loan closing agent assigned by the VA Regional Loan Center.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. From 20 to 60 days.

Appeals:

Not Applicable. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Loan proceeds may be disbursed in one lump sum when an existing suitable housing unit is involved or in phases in construction cases. Method of awarding/releasing assistance: lump sum.

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Loan applications are processed completely by VA Regional Loan Centers for their respective geographic area jurisdictions. Initial contact should be made with the appropriate office listed in Appendix IV of the Catalog under Veterans Benefits Administration.

Account Identification:

36-4127-0-3-704.

Obligations:

Direct Loans FY 19 \$17,830,626.00; FY 20 est \$17,708,809.00; and FY 21 est \$33,826.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-1119.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See Department of Veterans Affairs field office listed in the Appendix IV of the Catalog.

Headquarters Office:

Colin Deaso - VBA, Loan Guaranty Service,
810 Vermont Ave., N.W.,
Washington DC 20420

Email: Colin.Deaso@va.gov

Phone: (202) 632-8862

Fax: Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

<http://www.va.gov>.

RELATED PROGRAMS:

64.106 Specially Adapted Housing for Disabled Veterans; 64.114 Veterans Housing Guaranteed and Insured Loans; 64.119 Veterans Housing_Manufactured Home Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.120 Post-Vietnam Era Veterans' Educational Assistance

(Veteran's Educational Assistance Program(VEAP)-Chapter 32),

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF
AUTHORIZATION:

An Act to amend title 38, United States Code, to set a termination date for veterans' educational benefits under chapters 34 and 36, to increase vocational rehabilitation subsistence allowances, educational and training assistance allowances, and special allowances paid to eligible veterans and persons under chapters 31, 34, and 35; to extend the basic educational assistance eligibility for veterans and for certain dependents from thirty-six to forty-five months; , Public Law 94-502

OBJECTIVES:

To provide educational assistance to persons entering the Armed Forces after December 31, 1976, and before July 1, 1985; to assist persons in obtaining an education they might otherwise not be able to afford; and to promote and assist the all volunteer military program of the United States by attracting qualified persons to serve in the Armed Forces.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Participants may pursue: (1) courses at colleges and universities leading to associate, bachelor or graduate degrees (including courses taken via accredited independent study, provided those courses lead to a standard college degree or a certificate that reflects educational attainment offered by an institution of higher learning); (2) courses leading to a certificate or diploma from colleges or universities or from business, technical or vocational schools; (3) apprenticeship or on-the-job training programs for individuals not on active duty; (4) correspondence courses, under certain conditions; (5) flight training, if the individual holds a private pilot license and meets the medical requirements upon beginning the training program; (6) state-approved teacher certification programs; (7) preparatory courses for tests necessary for admission to a college or graduate school; (8) reimbursement for licensing and certification tests approved for veterans; (9) entrepreneurship training; (10) reimbursement for national exams for admission to college or for college credit.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Individual/Family; Specialized group (e.g. health professionals, students, veterans); The participant must have entered on active duty on or after January 1, 1977, and before July 1, 1985, and either served on active duty for more than 180 continuous days receiving an other than dishonorable discharge, or have been discharged after January 1, 1977 because of a service-connected disability. Also eligible are participants who serve for more than 180 days and who continue on active duty and have completed their first period of obligated service (or 6 years of active duty, whichever comes first). Participants must also have satisfactorily contributed to the program. (Satisfactory contribution consists of monthly deduction of \$25 to \$100 from military pay, up to a maximum of \$2,700, for deposit in a special training fund.) Participants may make lump-sum contributions. No individuals on active duty in the Armed Forces may initially begin contributing to this program after March 31, 1987.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Education (13+); Post-Vietnam era veterans.

Credentials/Documentation:

Military discharge certificate, certification of enrollment by educational institution in approved courses leading to an educational, professional or vocational objective and such other certifications as may be developed by VA and the Department of Defense. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application form (VA Form 22-1990) may be obtained from any VA office or regional office and submitted to the appropriate VA regional processing office.

Award Procedure:

Awards are authorized at the designated VA Regional Processing Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. For FY 19, VA took an average of 23 days to process an initial application for benefits.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Available within ten years of first date of discharge or release from service or until the amount of money in the special fund, converted to months of entitlement (to a maximum of 36) is exhausted. Assistance checks generally to be released on a monthly basis. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-8133-0-7-702.

Obligations:

Direct Payments with Unrestricted Use FY 19 \$106,000.00; FY 20 est \$101,000.00; FY 21 est \$96,000.00;

Range and Average of Financial Assistance:

In FY19, the average payment for disenrollment was \$1,363.

TAFS Codes:

36-8133.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations. 1-888-GI-BILL-1 (1-888-442-4551)

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave. N.W.,
Washington DC 20420

Email: enrico.reaume@va.gov

Phone: 202-461-9600

Terry Warren - VBA, Education Service,
810 Vermont Ave. N.W.,
Washington DC 20420

Email: Terry.Warren@va.gov

Phone: (202) 461-9213

Fax:

Website Address:

<https://www.va.gov/education/>

RELATED PROGRAMS:

64.124 All-Volunteer Force Educational Assistance; 84.007 Federal Supplemental Educational Opportunity Grants; 84.063 Federal Pell Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.124 All-Volunteer Force Educational Assistance

(Montgomery GI-Bill Active Duty (MGIB) - Chapter 30),

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Montgomery GI Bill, , 38 USC Code 30.

OBJECTIVES:

To help servicepersons readjust to civilian life after their separation from military service. Assist in the recruitment and retention of highly qualified personnel in the active and reserve components in the Armed Forces by providing education benefits.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

The following types of programs may be approved for training under the Montgomery GI Bill: (1) courses at colleges and universities leading to associate, bachelor or graduate degrees (including courses taken via accredited independent study, provided those courses lead to a standard college degree or a certificate that reflects educational attainment offered by an institution of higher learning); (2) courses leading to a certificate or diploma from business, technical or vocational schools; (3) apprenticeship or on-the-job training programs for individuals not on active duty; (4) correspondence courses; (5) flight training, if veteran holds a

ASSISTANCE LISTINGS DESCRIPTIONS

private pilot license and meets the medical requirements upon beginning the training program; (6) state-approved teacher certification programs; (7) preparatory courses for tests necessary for admission to a college or graduate school; (8) reimbursement for licensing and certification tests approved for veterans; (9) entrepreneurship training; (10) reimbursement for national exams for admission to college or for college credit.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); What follows is not a complete list of eligibility requirements. For more information on the newest MGIB program changes go to the VA web-site address listed below. A high school diploma or equivalency certificate is always required for eligibility to the MGIB as is an honorable discharge from the qualifying period of service unless the individual is currently on active duty. (1) Individuals initially entering military service on or after July 1, 1985 may be eligible unless they specifically elect not to participate in the MGIB program. Service members can use the MGIB benefit after completing two continuous years of service. Veterans whose initial obligation was 3 years or more may use the MGIB benefit after completing three continuous years of active duty, or less time if discharged early for an acceptable reason. Veterans whose initial obligation was less than 3 years, may use the MGIB benefits after serving two years of active duty, or less time if discharged early for an acceptable reason or if they sign up for 4 years in the Selected Reserve. Any period of active duty may be used to meet these requirements, but generally, periods of active duty cannot be combined. (2) Individuals who were eligible for the Old (Vietnam-Era) GI Bill benefits as of December 31, 1989, and served on continuous active duty for 3 years after June 30, 1985, may be eligible for the MGIB. (3) Persons involuntarily separated from the military for certain reasons may be eligible for the MGIB. Likewise, persons who received voluntary separation incentives may be eligible for the MGIB. (4) Persons who converted from VEAP (Veterans Educational Assistance Program) to the MGIB during open seasons from October 9, 1996 through July 8, 1997, or from November 1, 2000 to October 31, 2001, may be eligible for the MGIB. Likewise, certain persons serving full-time under title 32 in the National Guard during the period from July 1, 1985 to November 28, 1989 with no previous active duty and who elected the MGIB during the open season from October 9, 1996 through July 8, 1997, may be eligible for the MGIB. In addition, in very limited circumstances, dependents of veteran or service member may be eligible for the MGIB if the veteran or service member transferred entitlement to those dependents.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; As stated above under Applicant Eligibility.

Credentials/Documentation:

Military discharge certificate (DD 214) sometimes required; enrollment certification by institution offering training required in most cases. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. An application (VA Form 22-1990) may be obtained from any VA Regional Office or from the web site www.GIBILL.va.gov and submitted to the appropriate VA Regional Processing Office.

Award Procedure:

Awards are authorized at the designated VA Regional Processing Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. For FY 19, VA took an average of 25 days to process an initial application for benefits.*The latest data for FYTD20 is available only through July 31, 2020

Appeals:

From 90 to 120 days. In the event of a denial or disagreement, a notification letter

will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Veterans receive monthly payments in most instances. Service members generally receive lump sum payments for each enrollment period. Accelerated payments (one-time payments) equal to 60% of the cost of the course are available for certain high cost, high tech courses leading to employment in high tech industries.

Advance payment may be available; if eligible, VA will advance payment for the first partial month plus the first full month of the enrollment. Payments for correspondence school training are issued quarterly. Method of awarding/releasing assistance: other

Reports:

Audits:

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

Direct Payments for Specified Use FY 19 \$215,993,000.00; FY 20 est \$192,838,000.00; FY 21 est \$164,554,000.00;

Range and Average of Financial Assistance:

In FY 20, full-time monthly benefits start at \$1,664 and increase depending on the length of the service obligation, military incentives, military career field, branch of service and voluntary contributions. In most cases, benefits last for 36 calendar months (48 months when combined with other VBA education benefits) of full-time training.

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.7000 - 21.7320. "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 0051-000-00233-4.

Regional or Local Office:

See Regional Assistance Locations. 1-888-GIBILL-1(1-888-442-4551).

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., NW,
Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Terry Warren - VBA, Education Service,

810 Vermont Ave., NW,

Washington DC 20420

Email: Terry.Warren@va.gov

Phone: (202) 461-9213

Fax:

Website Address:

<https://www.va.gov/education>

RELATED PROGRAMS:

64.120 Post-Vietnam Era Veterans' Educational Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.125 Vocational and Educational Counseling for Servicemembers and Veterans

(Chapter 36 Counseling),

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Veterans Education and Employment Programs Amendments, , Public Law 102-16, 38 USC Code 3697A.

OBJECTIVES:

To offer vocational and educational counseling to service members within 180 days of their projected discharge or release from active duty and to veterans within one year from the date of their discharge or release from active duty. This counseling is also available to individuals who are eligible for VA educational assistance including: Chapter 30- All-Volunteer Force Educational Assistance Program; Chapter 31 Vocational Rehabilitation and Employment; Chapter 32-Post-Vietnam Era Veterans' Educational Assistance; Chapter 33 Post 9/11 Educational Assistance Chapter 35-Survivors' and Dependents' Educational Assistance; Chapter 1606-Educational Assistance for Members of the Selective Service.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The program provides the counseling services necessary to enable the individual to select vocational and educational objectives. The program also assists the individual to identify the means through which these objectives will be achieved. Counseling may also be provided to aid the individual to cope with personal problems that might interfere with the successful achievement of the educational and vocational objectives. As part of vocational counseling, the program can help the individual to develop an employment plan. This plan directs the individual to make the best use of his or her existing aptitudes and abilities to obtain employment. These services are provided by professionally qualified vocational rehabilitation counselors who are either VA staff members or under contract to VA. To apply for and receive this benefit, service members must be within 180 days of projected discharge or release from active duty. Veterans must apply for and receive this benefit within 1 year from the date of discharge or release or release from active duty. For service members, the projected discharge or release from active duty must be under conditions other than dishonorable. For veterans, the discharge or release from active duty must have been under conditions other than dishonorable. This vocational and educational counseling is also available to current beneficiaries of VA educational assistance including: Chapter 30 - All-Volunteer Force Educational Assistance Program; Chapter 31 Vocational Rehabilitation and Employment; Chapter 32 - Post-Vietnam Era Veterans' Educational Assistance; Chapter 33 Post 9/11 Educational Assistance; Chapter 35 - Survivors' and Dependents' Educational Assistance; Chapter 1606 - Educational Assistance for Members of the Selective Service; to veterans and qualified dependents who are eligible for educational assistance under any of the above programs (Chapters).

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Service members must be within 180 days of projected discharge or release from active duty. Veterans must be within 1 year from the date of discharge or release from active duty. For servicemembers, the projected discharge or release from active duty must be under conditions other than dishonorable. For veterans, the discharge or release from active duty must have been under conditions other than dishonorable. This counseling is also available to individuals who are eligible for VA educational assistance including: Chapter 30 - All-Volunteer Force Educational Assistance Program; Chapter 31 Vocational Rehabilitation and Employment; Chapter 32 - Post-Vietnam Era Veterans' Educational Assistance; Chapter 33 Post 9/11 Educational Assistance; Chapter 35 - Survivors' and Dependents' Educational

Assistance ; Chapter 1606 - Educational Assistance for Members of the Selective Service.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Service members must be within 180 days of projected discharge or release from active duty. Veterans must be within 1 year from the date of discharge or release from active duty. For service members, the projected discharge or release from active duty must be under conditions other than dishonorable. For veterans, the discharge or release from active duty must have been under conditions other than dishonorable. This counseling is also available to individuals who are eligible for VA educational assistance including: Chapter 30 - All-Volunteer Force Educational Assistance Program; Chapter 31 Vocational Rehabilitation and Employment; Chapter 32 - Post-Vietnam Era Veterans' Educational Assistance ; Chapter 33 Post 9/11 Educational Assistance; Chapter 35 - Survivors' and Dependents' Educational Assistance; Chapter 1606 - Educational Assistance for Members of the Selective Service.

Credentials/Documentation:

Service members must present proof that they are within 180 days of projected discharge or release from active duty. Examples of this proof are a copy of the enlistment contract and a brief written statement from the individual's commander. Veterans must present proof they are within 1 year of discharge or release from active duty under conditions other than dishonorable. An example of this proof is a copy of the individual's DD Form 214. Individuals who are eligible for VA educational assistance must indicate this eligibility and have it confirmed at the VA regional or local office. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No.A-102. This program is excluded from coverage under OMB Circular No. A-110. Obtain VA Form 28-8832, Application for Counseling, from any VA office or regional office, or online at www.va.gov. Complete and submit this form to the nearest regional office. A written statement from an individual requesting vocational and educational counseling is also sufficient. With the application form or written statement, submit proof of prior or pending discharge or release from active duty if VA has not already received this evidence or indicate eligibility for, or current eligibility for VA educational assistance.

Award Procedure:

The regional office will notify qualified Individual.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: lump sum.

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

36-0137-0-1-702.

Obligations:

Direct Payments for Specified Use FY 19 \$6,000,000.00; FY 20 est \$6,000,000.00; FY 21 est \$6,000,000.00;

Range and Average of Financial Assistance:

Not applicable

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.5100; "Federal Benefits for Veterans and Dependents," VA Pamphlet 80-0-01, \$5.00, available from Superintendent of Documents, P.O. Box 371954, Pittsburgh, PA 15250-7954. The stock number is: 051-000- 00233-4. "VA Counseling Can Help You," the VA pamphlet portion of VA Form 28-8832, Application for Counseling, free, is available from any VA office or regional office.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Vocational Rehabilitation and Employment Service Enrico Reaume - VBA, Office of Executive Review,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Robyn Trulock - VBA, Veteran Readiness and Employment Service,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: Robyn.Trulock@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

64.120 Post-Vietnam Era Veterans' Educational Assistance; 64.116 Vocational Rehabilitation for Disabled Veterans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contract counselors are selected on the basis of (1) their academic credentials and experience in vocational and educational counseling, (2) their location in relation to the geographic dispersion of available clients for referral, and (3) costs of the services to be provided.

64.126 Native American Veteran Direct Loan Program

(VA Native American Veterans Housing Loan Program),

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

Public Law 102-547 and 109-233, 38 U.S.C. 3761-3764.,

OBJECTIVES:

To provide direct loans to certain veterans who are, or whose spouses are, Native Americans for the purchase or construction of homes on trust lands.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

VA may make home loans to eligible applicants for any of the following purposes: (1) Buy or build a home; (2) simultaneously purchase and improve a home; (3) repair, alter or improve a home; (4) purchase a manufactured home to be permanently affixed to a lot that is already held in leasehold by the applicant; or (5) to refinance an existing VA loan to lower the interest rate. In order for a Veteran to secure a Native American Direct Loan, their tribal government must enter into an agreement a Memorandum of Understanding (MOU) with VA detailing how the program will work on its trust lands. Restrictions on direct loans under this program are that: (a) the borrower must have a leasehold or fee interest and occupy, as his or her home, the housing unit securing the loan; (b) the nature and condition of the unit must be suitable for dwelling; (c) the terms of repayment must bear a proper relationship to the applicant's present and anticipated income and expenses; (d) the applicant must be a satisfactory credit risk; (e) the applicant must be a Native American; and (f) the property on which the home is located must be federal trust property.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Veterans who are, or whose spouses are, recognized by a Federally Recognized Tribal Government as a Native American and who: (a) Served on active duty on or after September 16, 1940, and were discharged or released under conditions other than dishonorable. If service was any time during World War II, the Korean Conflict, the Vietnam-era, or the Persian Gulf War, then the Native American Veteran must have served on active duty for 90 days or more; peacetime service only must have served a minimum of 181 days continuous active duty. If separated from enlisted service which began after September 7, 1980, or service as an officer which began after October 16, 1981, a veteran must also have served at least 24 months of continuous active duty or the full period for which called or ordered to active duty. Veterans of such recent service may qualify with less service time if they have a compensable service-connected disability or were discharged after at least 181 days, under the authority of 10 U.S.C 1171 or 1173. (b) Any veteran in the above classes with less service but discharged with a service-connected disability. (c) If acknowledged as a Native American by a Federally Recognized Tribal Government, unmarried surviving spouses of otherwise eligible veterans who died in service or whose deaths were attributable to service-connected disabilities and spouses of members of the Armed Forces serving on active duty, who are listed as missing in action, or as prisoners of war and who have been so listed 90 days or more. (d) Members of the Selected Reservists who are, or whose spouses are, recognized by a Federally Recognized Tribal Government as Native Americans and who are not otherwise eligible for home loan benefits and who have completed a total of 6 years in the Selected Reserves followed by an honorable discharge, placement on the retired list, or continued service.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Native Americans who are veterans, veterans who are married to a Native American, service personnel, and certain unmarried surviving spouses of veterans.

Credentials/Documentation:

Evidence of the veteran's entitlement for loan benefits and qualifications for the loan purpose, documentation on the loan transaction and characteristics of the leasehold interest in the property proposed to secure the loan. Evidence also that the Federally Recognized Tribal Government which manages the trust land on which the home is or will be located recognizes the veteran, or the veteran's spouse, as a Native American. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

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2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each participating tribe must first enter into a Memorandum of Understanding with VA. Eligible veterans should obtain a Certificate of Eligibility from the VA via mail or eBenefits.gov and obtain an acknowledgment of Native American status from his or her tribal council or Native American organizational body. This evidence should be presented to the VA Regional Loan Center of jurisdiction with an application for a loan.

Award Procedure:

The VA Regional Loan Center will determine that application requirements are met, determine that the veteran is eligible for the loan and that the purposes for the loan meet the requirements of law and disburse the loan proceeds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Within 10 days after VA's receipt of a loan application.

Appeals:

Not Applicable. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Loan proceeds may be disbursed in one lump sum when an existing suitable housing unit is involved or in phases in construction cases. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Until the loan is paid in full the tribal organization must maintain adequate records on the terms of the leaseholds on the properties on which the homes are located.

Account Identification:

36-4130-0-3-704.

Obligations:

Direct Loans FY 19 \$2,069,521.00; FY 20 est \$1,148,963.00; and FY 21 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

36-1120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 36.4501 and 36.4527. VA Pamphlet 80-00-1, Federal Benefits for Veterans and Dependents, S/N 051-000-00233-4, at \$5.00 each, available from the Government Printing Office, P.O. Box 371954, Pittsburgh, PA 15250-7954.

Regional or Local Office:

None. None. Loan applications are processed completely by the VA Regional Loan Center for their respective geographic area jurisdiction. Initial contact should be made with the appropriate office listed in Appendix IV of the Catalog under Veterans Benefits Administration.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., NW.,
Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Colin Deaso - VBA, Loan Guaranty Service,

810 Vermont Ave., NW.,

Washington DC DC 20420

Email: colin.deaso@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

http://www.va.gov.

RELATED PROGRAMS:

64.106 Specially Adapted Housing for Disabled Veterans; 15.124 Indian Loans_Economic Development; 64.114 Veterans Housing Guaranteed and Insured Loans; 64.119 Veterans Housing_Manufactured Home Loans; 64.118 Veterans Housing Direct Loans for Certain Disabled Veterans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.127 Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS,
DEPARTMENT OF

AUTHORIZATION:

38 U.S.C. 1805.,

OBJECTIVES:

To provide financial assistance to certain children born with spina bifida who are children of individuals who served in the Republic of Vietnam during the Vietnam era.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Those children born with spina bifida, except spina bifida occulta, who are natural children of individuals who served in Vietnam, regardless of age or marital status, conceived after the date on which the individual first served in the Republic of Vietnam during the period beginning on January 9, 1962, and ending on May 7, 1975, without regard to the characterization of the individual's service.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Children born with spina bifida.

Credentials/Documentation:

Birth certificate and military discharge certificate. Child must be born with spina bifida and be the natural child of an individual who served in the Republic of Vietnam between January 2, 1962 through May 2, 1975. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (VA Form 21-0304) may be obtained from a local or regional office (see Appendix IV of the Catalog), and submitted to the regional office. Applications are also

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available on-line at <http://www.va.gov/vaforms/>.

Award Procedure:

Awards are authorized at the regional office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is no time limit to file for an application. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

Direct Payments for Specified Use FY 19 \$21,013,000.00; FY 20 est \$24,261,000.00; FY 21 est \$24,244,920.00;

Range and Average of Financial Assistance:

Payments are \$336, \$1,147, or \$1,953 monthly based on the degree of disability of the child.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See Appendix IV of the Catalog for Veterans Benefits Administration field offices.

Headquarters Office:

Jessica Pierce - VBA, Compensation Service,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: Jessica.Pierce@va.gov

Phone: (202) 965-3884

Enrico Reaume - VBA, Office of Executive Review,

810 Vermont Ave., N.W.,

Washington DC 20420

Email: enrico.reaume@va.gov

Phone: (202) 461-9600

Fax:

Website Address:

<http://www.va.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects

FEDERAL AGENCY:

VETERANS BENEFITS ADMINISTRATION, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Executive Order To provide vocational training and rehabilitation to certain children born with spina bifida or other covered birth defects who are children of Vietnam veterans and some Korean veterans., , Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, , Public Law 104-204, 38 USC Code 1804.

OBJECTIVES:

To provide vocational training and rehabilitation to certain children born with spina bifida or other covered birth defects who are children of Vietnam veterans and some Korean veterans.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Program must lead to a vocational goal that it is feasible for the child to achieve. The child should be able to achieve the vocational goal within 24 months of services and assistance, although an extension of up to 24 months is possible under certain conditions.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); A child born with spina bifida or other covered birth defects, except spina bifida occulta, who is the natural child of a Vietnam veteran and some Korean veterans, regardless of the age or marital status of the child, conceived after the date on which the veteran first served in the Republic of Vietnam during the Vietnam era and in particular areas near the DMZ in the Korean conflict. VA must also determine that it is feasible for the child to achieve a vocational goal.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Children born with spina bifida.

Credentials/Documentation:

Birth certificate and military discharge certificate are required. The child must be born with spina bifida and be the natural child of a Vietnam veteran and some Korean veterans. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circulars No. A-102 and No. A-110. To apply, you can obtain VA Form 21-0304, Application for Benefits for Certain Children With Disabilities Born of Vietnam and Certain Korea Service Veterans, from your nearest VA regional benefits office, or online at www.va.gov. Complete and submit this form to the nearest VA regional benefits office. A written statement from an individual requesting services is also sufficient. To apply, the statement must include the child's full name, address, the veteran parent's name, and the VA claim number.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Awards are authorized at the Regional Benefits Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Not available at this time.

Appeals:

Other. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not available at this time. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0102-0-1-701.

Obligations:

Direct Payments with Unrestricted Use FY 19 \$6,000,000.00; FY 20 est \$6,000,000.00; FY 21 est \$6,000,000.00;

Range and Average of Financial Assistance:

Full cost of tuition, books, fees, supplies and rehabilitation services. Monthly full-time allowances for training during fiscal year 2020 is \$644.74 for a trainee without dependents.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Title 38 of the Code of Federal Regulations, Part 21, Subpart M Vocational Training and Rehabilitation for Certain Children of Vietnam Veterans and Veterans with Covered Service in Korea Spina Bifida and Covered Birth Defects.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: enrico.reaume@va.gov
Phone: (202) 461-9600
Latrese Arnold - VBA, Veteran Readiness and Employment Service,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: latrese.arnold@va.gov
Phone: (202) 461-9600
Fax:

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.130 Veteran Rapid Retraining Assistance Program

Veteran Rapid Retraining Assistance Program,

FEDERAL AGENCY:

VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

The Secretary of Veterans Affairs shall carry out a program under which the Secretary shall provide up to 12 months of retraining assistance to an eligible veteran for the pursuit of a covered program of education. Such retraining assistance shall be in addition to any other entitlement to educational assistance or benefits for which a veteran is, or has been, eligible., Title American Rescue Plan Act of 2021, Section Sec. 8006. COVID19 VETERAN RAPID RETRAINING ASSISTANCE PROGRAM

OBJECTIVES:

The Veteran Rapid Retraining Assistance Program (VRRAP) was enacted on March 11, 2021. VRRAP provides an eligible Veteran with up to 12 months of tuition and fees and a monthly housing allowance based on Post-9/11 GI Bill rates, to be used towards training in a covered program of education (high demand occupation, as determined by the Commission of Labor Statistics). VRRAP ends 21 months after the date of enactment and is limited to a maximum of 17,250 participants and up to \$386 million. In order to be eligible for VRRAP, a Veteran must meet the following criteria: At least 22 years of age and less than 67 years of ageUnemployed due to COVID-19 pandemicNot eligible for GI Bill or Veteran Readiness and Employment benefitsNot enrolled in a Federal or State jobs programNot rated as totally disabled because you cannot workNot receiving unemployment compensation including enhanced benefits under the CARES Act, P.L. 116-136Under VRRAP, schools are paid as follows: 50-percent of the total amount payable is paid to the school when the eligible Veteran begins the program of education 25-percent of the total amount payable is paid to the school when the eligible Veteran completes the program of education 25-percent of the total amount payable is paid to the school when the eligible Veteran finds employment to a field related to the program of education within 180 days after program completion / withdrawal Education Service and the Office of Information Technology have developed two webpages with VRRAP information and resources: <https://benefits.va.gov/gibill/vrrap.asp> for interested Veteranshttps://benefits.va.gov/gibill/vrrap_educational_institutions.asp for educational institutions that are interested in participating in VRRAP EDU has requested a new Assistance Listing number, to be published on SAM.gov (requested on March 29, 2021). Single Audit Requirements: No, the Single Audit Requirements do not apply to VRRAP.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Eligible Veterans participating in VRRAP will receive up to 12 months of tuition and fees and a monthly housing allowance based on Post-9/11 GI Bill rates. Covered education programs must provide training for a high-demand occupation, which is determined by the Commissioner of Labor Statistics. GI Bill approved programs of education, excluding bachelors and graduate degrees, and VET TEC approved training are eligible.

Applicant Eligibility:

Individual/Family; All Veterans who served in the active military, naval or air service, and who were discharged or released there from under conditions other than dishonorable, or a member of a reserve component of the Armed Forces who performs active service for a period of 30 days or longer by reason of a covered public health emergency.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

Social Security Number; Bank account direct deposit information; Military Discharge certificate (DD214) is sometimes required; enrollment certification by institution offering training is required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Veterans may apply for VRRAP benefits by completing and submitting the Application for VRRAP (VA Form 22-1990s). Applications may be obtained and completed online via the GI Bill website at <https://www.va.gov/education/other-va-education-benefits/veteran-rapid-retraining-assistance/apply-for-vrrap-form-22-1990s/introduction>.

Award Procedure:

Awards are authorized by the designated VA Regional Processing Office.

Deadlines:

Retraining assistance may not be paid for training that begins on or after December 11, 2022. The program is limited to a maximum of 17,250 Veteran participants or the \$386 million funding limit.

Contract the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

>180 Days. In the event of a denial or disagreement, a notification letter will advise of applicable review/appeal options, associated filing requirements and time limits.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Retraining assistance may not be paid for training that begins on or after December 11, 2022. The program is limited to a maximum of 17,250 Veteran participants or the \$386 million funding limit. Method of awarding/releasing assistance: other

Reports:

The Committees on Veterans Affairs will receive reports from the Department of Labor and Comptroller General, on the outcomes and effectiveness of the program.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None

Account Identification:

36-0137-0-1-702.

Obligations:

Direct Payments for Specified Use FY 20 \$111,150,569.00; FY 21 est \$266,131,600.00; and FY 22 est \$8,684,418.00;

Range and Average of Financial Assistance:

The average benefit payments are estimated to be: FY 21 - \$24,232FY 22 - \$26,454FY 23 - \$3,113

TAFS Codes:

36-0137.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 117-2, American Rescue Plan Act of 2021Public Law 117-16, Training in High-Demand Roles to Improve Veteran Employment Act

Regional or Local Office:

None.

Headquarters Office:

Enrico Reaume - VBA, Office of Executive Review,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: enrico.reaume@va.gov
Phone: 2024619600
Fax:

Website Address:

<https://www.va.gov/education/other-va-education-benefits/veteran-rapid-retraining-assistance/>

RELATED PROGRAMS:

64.027 Post-9/11 Veterans Educational Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.201 National Cemeteries

National Cemeteries,

FEDERAL AGENCY:

NATIONAL CEMETERY SYSTEM, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

National Cemeteries Act of 1973, Title National Cemeteries Act of 1973, , Public Law 093-43, 38 USC Code 2400, 2402-2404, 2406, 2411, 2413, 2306.

OBJECTIVES:

To verify military service and determine eligibility requirements are met for Veterans and their family members for burial in a VA national cemetery. To schedule eligible Veterans and family members burial services for national cemeteries. To provide pre-need military service determinations to Veterans.

TYPES OF ASSISTANCE:

Provision of Specialized Services, Salaries and Expenses

USES AND USE RESTRICTIONS:

Interment or memorialization in a national cemetery is for eligible Veterans and their family members, and others who meet eligibility requirements in Title 38.

Applicant Eligibility:

Individual/Family; Applicant may be the decedent's personal representative, next of kin, friend, or a public assistance officer or funeral home. For pre-need eligibility determinations, applicants include the Veteran/Service member, spouse/surviving spouse, unmarried adult child of the Veteran/Service member, or others.

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents; Eligible Veterans, members of the Armed Forces of the United States (Army, Navy, Air Force, Marine Corps, and Coast Guard) who die on active duty; members of the Reserve or the Army and Air National Guard who die while performing active duty for training; Reservists and National Guard members having 20 years of qualifying service for the purpose of receiving retired pay or are eligible for retired pay but are under 60 years of age; the spouse of an eligible veteran member of the Armed forces or individual receiving retired pay (including a 20-year Reservist or National Guard member); the spouse of a member of the Armed Forces of the United States lost or buried at sea or officially determined to be permanently absent in a status of missing or missing in action; minor children and certain unmarried adult children of an eligible veteran or member of the Armed forces, or individual receiving retired pay (including a 20-year Reservist and National Guard member) are eligible for interment. Enlisted personnel who entered military duty after September 7,

ASSISTANCE LISTINGS DESCRIPTIONS

1980, and officers commissioned after October 16, 1981, must have served a minimum of 24 months or the full period for which called or ordered to active duty to be eligible for interment. Citizens of the United States who served in the Armed Forces of any government allied with the United States during any war, who were citizens of the U.S. at time of entry and are citizens of the U.S. at time of death; Certain Commissioned Officers of the Public Health Service and the National Oceanic and Atmospheric Administration are also eligible. Groups determined to have active duty service are eligible for all VA benefits under the provisions of Section 401, Public Law 95-202. Reservists who are activated under Presidential Order under title 10, may also be eligible. National Guard Members called to Federal active duty may also be eligible. Other persons who meet eligibility requirements under law may be eligible for burial. Certain parents of hostile casualty-children both of whom meet specified eligibility requirements. Hmong Fighters naturalized under Section 2(1) of the Hmong Veterans' Naturalization Act of 2000 who meet other requirements.

Credentials/Documentation:

An individual or personal representative of a Veteran or their family member may request interment in a national cemetery by submitting a copy of the deceased Veteran's discharge certificate (DD Form 214 or equivalent) or a copy of other official document(s) establishing qualifying military service and/or marital status for spouses. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. VA Form 40-10007, Application for Pre-Need Determination of Eligibility for Burial in a VA National Cemetery

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The person in charge of funeral arrangements (next of kin or funeral director) should communicate with the staff of the national cemetery in which interment or memorialization is desired, and furnish the name and official documentation of military service of the veteran on whose service the burial is predicated. If documentation is not available, as much of the following data as is available should be provided: Branch of service; rank; service number; social security number; VA claim number; date and place of entry into and separation from last period of active service; date and place of birth; and, date of death. Application for a memorial headstone or marker must be submitted on VA Form 40-1330, "Application for Standard Government Headstone or Marker for Installation in a Private or State Veterans' Cemetery", unless placement is in a national cemetery. Memorial headstones or markers for national cemetery placement are ordered by cemetery staff.

Award Procedure:

Scheduling of a time and date for a committal service in a national cemetery will be made by the Cemetery staff upon verification of eligibility.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

>180 Days. Following written notification of a decision to deny a burial request, claimants must submit a notice of disagreement to initiate the an appeal within the Title 38-defined requirements and time frames.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

36-0129-0-1-705.

Obligations:

Salaries and Expenses FY 19 \$7,866.00; FY 20 est \$8,393.00; FY 21 Not Available;

Range and Average of Financial Assistance:

NCSSO does not provide financial assistance.

TAFS Codes:

36-0129.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Benefits Book, gravesite locator maps, and informational leaflets are available for many of the national cemeteries, as well as information kiosks at certain national cemeteries.

Regional or Local Office:

See Regional Assistance Locations. National Cemetery Scheduling Office

Headquarters Office:

Director, Office of Field Programs, National Cemetery Administra Steve Ecker, 4850 Lemay Ferry Road, St. Louis MO 63129

Email: steve.ecker@va.gov

Phone: 314-416-6336

Fax: 800-535-1117

Website Address:

<https://www.cem.va.gov/>

RELATED PROGRAMS:

64.101 Burial Expenses Allowance for Veterans; 64.202 Procurement of Headstones and Markers and/or Presidential Memorial Certificates; 64.203 Veterans Cemetery Grants Program; 64.204 Veterans Legacy Grants Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.202 Procurement of Headstones and Markers and/or Presidential Memorial Certificates

Memorial Products Service (MPS),

FEDERAL AGENCY:

NATIONAL CEMETERY SYSTEM, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

National Cemeteries Act of 1973, , 38 USC Code 2306, 2402-2404, 2411.

OBJECTIVES:

Provide Government-furnished headstones and markers to mark the grave or memorialize Veterans and other eligible individuals in national, state, or private cemeteries around the world. Provide Government-furnished medallions for placement on privately-purchased grave markers of Veterans buried in private cemeteries. Provide Presidential Memorial Certificates to eligible Veterans and others with qualifying military service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Provision of Specialized Services, Salaries and Expenses

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Government-furnished memorial products must be used only to mark gravesites or commemorate eligible decedents in national, state, and private cemeteries. PMCs are issued to honor an eligible decedent's qualifying military service.

Applicant Eligibility:

Individual/Family; A decedent's family member, personal representative, or other authorized applicants may submit claim forms for headstones, markers, medallions, and Presidential Memorial Certificates, as defined by Title 38 authorities.

Beneficiary Eligibility:

Individual/Family; Deceased eligible individuals are defined in 38 USC 112 (for Presidential Memorial Certificates) and 2306 (for headstones, markers, medallions). Generally, these individuals include Veterans, Servicemembers, members of the Reserve components of the US Armed Forces, their spouses/surviving spouses, certain children, Union and Confederate Soldiers and Sailors, and others with qualifying military service.

Credentials/Documentation:

A copy of the deceased Veteran's discharge certificate (DD Form 214 or equivalent) or a copy of other official document(s) establishing qualifying military service. Completed forms: VA Form 40-1330, Claim for Standard Government Headstone or Marker. VA Form 40-1330M, Claim for Medallion for Placement in a Private Cemetery. VA Form 40-0247, Presidential Memorial Certificate Request Form. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must complete VA Form 40-1330, headstone or marker claim, or VA Form 40-1330M, medallion claim in accordance with the General Information and instructions attached to the application and submit it to the Department of Veterans Affairs. Submission to the VA is preferred by toll free fax at 1-800-455-7143. It may also be mailed to: Director, Memorial Programs Service (41A1), Department of Veterans Affairs, 5109 Russell Road, Quantico, VA 22134-3903. Detailed instructions for submitting the application can be referenced at the official website for the National Cemetery Administration at www.cem.va.gov. Applications are also available at this website, or they can be obtained at any VA Regional Office, State and County Veterans Service Office, and most funeral homes. Xerographic copies with original signatures of applicant, consignee and cemetery officials are acceptable. Letters requesting Presidential Memorial Certificates may be mailed to Memorial Products Service (41B), 1575 I St., NW, Washington, DC 20005.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

>180 Days. For denied memorial products claims, VA will provide written notification of a decision to deny and claimants must initiate the appeals process by submitting the required documentation defined under Title 38 appeal authorities.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

VA conducts inspections at national cemeteries and at contractor sites to ensure the quality of the products are consistent and within established criteria. A formal report is drafted after each inspection and is maintained in accordance with VA Records Management guidelines.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

36-0102-0-1-701. 36-0129-0-1-701. 36-0129-0-1-705.

Obligations:

Salaries and Expenses FY 19 \$95,052,000.00; FY 20 est \$82,364,000.00; and FY 21 est \$86,974,000.00; Salaries and Expenses FY 19 \$811,000.00; FY 20 est \$1,361,000.00; and FY 21 Not Available;

Range and Average of Financial Assistance:

In FY 2019, the average unit cost of a headstone or marker was \$224.23.

TAFS Codes:

36-0102. 36-0129.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 38.600, 38.619, 38.620, 38.630, 38.631, 38.632, 38.633

Regional or Local Office:

See Regional Assistance Locations. Memorial Programs Service (MPS) Processing Sites: MPS Processing Sites are located at: Ft. Leavenworth National Cemetery, 395 Biddle Boulevard, Ft. Leavenworth, KS 66027-2307. Toll free telephone: (1-888) 460-9709, Fax: (913) 758-1839. Nashville Processing Site, 220 Athens Way, Suite 102, Nashville, TN 37228-1346. Toll free telephone: (1-888) 367-1330, Fax: (615) 736-2026. Lebanon VA Medical Center, 1700 South Lincoln Avenue, Building #27, Lebanon, PA 17042. Toll free telephone: (1-888) 574-9107, Fax: (717) 270-9428.

Headquarters Office:

Director Eric Powell,
1575 I St., NW (41B),
Washington DC 20005
Email: eric.powell@va.gov
Phone: 202-632-8670

Website Address:

<https://www.va.gov/burials-memorials/memorial-items/>

RELATED PROGRAMS:

64.101 Burial Expenses Allowance for Veterans; 64.201 National Cemeteries; 64.205 VA Casket or Urn Reimbursement Program; 64.206 VA Outer Burial Receptacle Allowance Program; 64.203 Veterans Cemetery Grants Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.203 Veterans Cemetery Grants Program

FEDERAL AGENCY:

NATIONAL CEMETERY SYSTEM, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

USC Code 38 U.S.C. 2408. Public Law 105-368, Public Law 109-461

OBJECTIVES:

To assist States and federally recognized tribal governments in the establishment, expansion, and improvement of veterans' cemeteries.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Monetary assistance is provided under this program to establish, expand, and

ASSISTANCE LISTINGS DESCRIPTIONS

improve State or Tribal government veterans cemeteries. Cemeteries must be State or Tribal Government owned and operated solely for the interment of eligible veterans and their dependents and/or spouses. Construction cost means the amount found necessary to convert a tract of land to an operational cemetery. *Tribal Government refers to the 565 federally recognized tribal governments.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Any State may apply. Any U.S. territory may apply. Any federal recognized tribal government

Beneficiary Eligibility:

Veteran/Service person/Reservist (including dependents); The cemetery must be used solely for the interment of veterans, their wives, husbands, surviving spouses, minor children, and unmarried adult children who were physically or mentally disabled and incapable of self support: section 38 CFR Part 38.620.

Credentials/Documentation:

..OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Submit Standard Form 424, "Application for Federal Assistance for Construction Programs," with attachments, to the Director, Veterans Cemetery Grants Service (40A3), Department of Veterans Affairs, 810 Vermont Avenue, N.W., Washington, DC 20420. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Formal notification of the award to the State or Tribal Government is made by the Under Secretary for Memorial Affairs, National Cemetery Administration.

Deadlines:

July 02, 2020 - July 01, 2021. A deadline of July 1st is established for the filing of applications in the year prior to the fiscal year in which funding is requested. A deadline of July 1st is established for the filing of applications in the year prior to the fiscal year in which funding is requested. If submitted after July 1st, funding will be considered in 2022.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Appeals:

Not Applicable. .

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. The matching requirement is reimbursable after the grant is awarded. It assures that Architect & Engineering Fees for design are available for required submissions prior to grant award. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are provided by electronic transfer of funds and must be used within 3 years. Method of awarding/releasing assistance: other

Reports:

Outlay Report and Request for Reimbursement for Construction Programs, and Performance Reports are accomplished in accord with 38 CFR Part 43. Cash reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records and all other records pertinent to a grant shall be retained for a period of three years. If any claim or audit is started before the expiration of the three year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved.

Account Identification:

36-0183-0-1-703.

Obligations:

Project Grants FY 19 \$45,008,042.00; FY 20 est \$45,000,000.00; FY 21 est \$50,000,000.00;

Range and Average of Financial Assistance:

Range of Financial Assistance from 1980\$2,897 to 14,000,000

TAFS Codes:

36-0183.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

VA Regulations 38 CFR Parts 39 and 43, State Cemetery Grant Program and "Federal Assistance for Establishment, Expansion, and Improvement of State Veterans' Cemeteries (State Cemetery Grants Program)" (VA Pamphlet 40-96).

Regional or Local Office:

None.

Headquarters Office:

Director George D. Eisenbach, Jr.,
810 Vermont Ave., N.W.,
Washington DC 20420
Email: george.eisenbach@va.gov
Phone: 202-632-7369

Website Address:

<http://www.va.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are prioritized and ranked with other projects in the order received. The priority system consists of four groups or categories. Examples: The extent to which States and tribal governments have adequate funds to initiate and complete the design process required for approval for the grant program, and the extent to which a project involves additional gravesites necessary to keep the cemetery open.

ASSISTANCE LISTINGS DESCRIPTIONS

64.204 Veterans Legacy Grants Program

VLGP,

FEDERAL AGENCY:

DIRECTORY/NATIONAL CEMETERY ADMINISTRATION, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

Public Law 116-107, 38 USC Code 2400.

OBJECTIVES:

VLGP will provide grants to eligible entities for the purpose of conducting cemetery research related to national, State, or Tribal Veterans cemeteries; producing educational materials that teach about the history of Veterans interred in national, State, or Tribal Veterans cemeteries; and promoting community engagement with the histories of Veterans interred in national, State, or Tribal Veterans cemeteries.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

A note to section 2400 of title 38, United States Code (U.S.C.) cites to Public Law 116-107 (Jan. 17, 2020), which authorized VA to establish a grant program to conduct cemetery research and produce educational materials for the Veterans Legacy Grant Program in activities that promote community engagement with the histories of veterans interred in national, State, or Tribal veterans cemeteries. The maximum grant awarded under this authority may not exceed \$500,000 to eligible recipients that include institutions of higher learning, local education agencies, non-profit entities, and others as determined appropriate by the Secretary.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Eligible applicants are Institutions of Higher Learning, Educational institutions, Local Educational Agencies, State Educational Agencies, and Non-profit entities.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants are required to complete the SF-424 - Application for Federal Assistance and attach the following supporting documents.1. Project Abstract: In approximately 500 words or less, provide a brief abstract of the proposed project. As applicable, include other information relevant to an understanding of the overall project.2. Project plan: In approximately 500 words or less, the applicant must include a detailed timeline for the tasks outlined in the project description and proposed milestones.3. Expertise and Capacity: In approximately 500 words or less, the applicant must include a description of the applicants ability and capacity to administer the project. This may include evidence of past experience with projects similar in scope as defined by the NOFA, to include descriptions of the engagement model, examples of successful leadership and management of a project of similar scale and budget (or greater), or related work in this field. 4. Proposed Budget: In approximately 500 words or less, the proposed budget should identify all costs and proposed expenditures, to additional compensation and honoraria (and to whom); equipment costs; production costs; and travel costs.5. Project Team: If applicable, the applicant must provide a narrative description of anticipated project team and any extramural partner(s), including the responsibilities of the principal investigator (PI), the co-principal investigators (Co-PIs), and any extramural partner entity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Applicants must submit applications electronically via www.grants.gov. Applications may not be mailed, emailed, or sent by fax. Applications must be received by the Veterans Legacy Program Office by 5:00 p.m. Eastern Time on the application deadline date. Applications must be submitted as a complete package. Materials arriving separately will not be included in the application package for consideration and may result in the application being rejected or not funded. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After a grant is approved for award, VA will draft a grant agreement to be executed by VA and the grantee. Upon execution of the grant agreement, VA will obligate the grant amount.

Deadlines:

October 01, 2020 - September 30, 2021. In the interest of fairness to all competing applicants, this deadline is firm as to date and hour, as designated in the NOFA. Applicants are encouraged to either check the NOFA for application deadlines, or to contact the headquarter office to learn more. VA will treat any application that is received after the deadline as ineligible for consideration.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant period will be the calendar year in which the Assistance Listing is posted. Method of awarding/releasing assistance: lump sum.

Reports:

All grantees must submit to VA, not later than 60 days after the last day of the grant period, a final report. VA may request additional information, records, and reports to allow VA to assess program effectiveness.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 VA may conduct as needed site visits to grantee locations to review grantee accomplishments and management control systems. VA may conduct as needed inspections of grantee records to determine compliance. All visits and evaluations will be performed with minimal disruption to the grantee to the extent practicable.

Records:

Grantees must ensure that records are maintained in accordance with 2 CFR part 200. Grantees must produce such records at VA's request.

Account Identification:

36-0129-0-1-705.

Obligations:

Project Grants (Discretionary) FY 19 \$0.00; FY 20 est \$0.00; and FY 21 est \$2,000,000.00;

Range and Average of Financial Assistance:

The average amount and range of grant awards is not known as FY 2021 will be the first year of grant awards. While the range of financial assistance is unknown, the maximum grant awarded under this authority may not exceed \$500,000 to eligible recipients.

TAFS Codes:

36-0129.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

The authority Veterans Legacy Grant Program is codified in sections 38.710 through 38.780 in part 38 of title 38 of the Code of Federal Regulations (CFR).

Regional or Local Office:

None.

Headquarters Office:

Bryce Carpenter,
1100 1st St NE,
Washington DC 20002
Email: bryce.carpenter@va.gov
Phone: 202-461-5362

Fax:

Website Address:

<https://www.cem.va.gov/cem/legacy/index.asp>

RELATED PROGRAMS:

64.203 Veterans Cemetery Grants Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The relative weight (point value) for each selection will be specified in the NOFA. VA will award any VLGP grant on the primary basis of the scores but will also consider a risk assessment evaluation. VA will only score complete applications received from eligible recipients by the deadline established in the NOFA. VA will only score complete applications received from eligible recipients by the deadline established in the NOFA.

64.205 VA Casket or Urn Reimbursement Program

FEDERAL AGENCY:

DIRECTORY/NATIONAL CEMETERY ADMINISTRATION, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

38 USC Code 2306 (f).

OBJECTIVES:

The U.S. Department of Veterans Affairs (VA) will reimburse the purchase of a casket or urn used to inter a deceased, Unclaimed Veteran in a VA national cemetery or a VA-funded State or Tribal Veterans' cemetery if the Veteran died with no identifiable next of kin and insufficient resources to pay for a casket or urn.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Not Applicable.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Prior to approving reimbursement VA must find all of the following: (1) The veteran is eligible for burial in a VA national cemetery; (2) The individual or entity has certified that they cannot identify the veteran's next-of-kin, or that an identified next-of-kin is unwilling or unable to assume responsibility for the deceased veteran's burial arrangements, and that the individual or entity has followed applicable state or local law relating to the disposition of unclaimed remains; (3) The individual or entity has certified that, to the best of their knowledge, sufficient resources are otherwise unavailable to furnish the casket or urn; (4) The invoice presented by the individual or entity clearly indicates the purchase price of the casket or urn purchased by the individual or entity; and (5) The invoice presented by the individual or entity contains information sufficient for VA to determine, in conjunction with a visual inspection, that the casket or urn meets the following standards: (i) Caskets must be of metal construction of at least 20-gauge thickness, designed for containing human remains, sufficient to contain the remains of the deceased veteran, include a gasketed seal, and include external fixed rails or swing arm handles. (ii) Urns must be of a durable construction, such as durable plastic, wood, metal, or ceramic, designed to contain cremated human remains, and include

a secure closure to contain the cremated remains.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

An individual or entity may request reimbursement from VA by completing and submitting VA Form 40-10088 Request for Reimbursement of Casket/Urn along with the invoice from the manufacturer or distributor from which the entity requesting reimbursement purchased the casket or urn. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not Applicable.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Applicants may submit a supplemental claim by submitting new and relevant evidence. Applicants may request a higher level review if they believe the application was incorrectly decided. Applicants may appeal to the Board of Veterans Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The maximum amount reimbursable for a casket or an urn is updated annually and published in a Federal Register notice. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

36-0102-0-1-701.

Obligations:

Direct Payments for Specified Use FY 19 \$353,000.00; FY 20 est \$469,000.00; and FY 21 est \$458,000.00;

Range and Average of Financial Assistance:

The maximum amount reimbursable for a casket or an urn is updated annually and published in a Federal Register notice. The maximum reimbursement rates payable for qualifying interments occurring during calendar year (CY) 2020 are \$1,903 for caskets and \$149 for urns.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Daniel Catron,
4850 Lemay Ferry Road,
St. Louis MO 63129
Email: Daniel.Catron@va.gov
Phone: 314-416-6324
Fax: 866-900-6417

Website Address:

<https://www.cem.va.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.206 VA Outer Burial Receptacle Allowance Program

OBR,

FEDERAL AGENCY:

DIRECTORY/NATIONAL CEMETERY ADMINISTRATION, VETERANS AFFAIRS, DEPARTMENT OF

AUTHORIZATION:

38 USC Code 2306(e).

OBJECTIVES:

VA provides a monetary allowance for an outer burial receptacle for any interment in a VA national or VA-grant funded State or Tribal Veterans cemetery where a privately-purchased outer burial receptacle has been used in lieu of a Government-furnished graveliner.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

If an eligible decedents remains is presented at a VA national cemetery or VA-grant funded State or Tribal Veterans cemetery for casketed burial and an NCA official confirms the use of a privately-purchased OBR, VA will process payment to the decedents next of kin or personal representative who privately purchased the OBR.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans);

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

36-0102-0-1-701.

Obligations:

Direct Payments for Specified Use FY 19 \$490,000.00; FY 20 est \$490,000.00; and FY 21 est \$490,000.00;

Range and Average of Financial Assistance:

The maximum monetary allowance payable for a privately purchased outer burial receptacle is updated annually and published in a Federal Register notice. The maximum allowance payable for qualifying interments occurring during calendar year (CY) 2020 is \$333.

TAFS Codes:

36-0102.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 38. 629, Outer Burial Receptacle Allowance.

Regional or Local Office:

None.

Headquarters Office:

Marty Fury,
810 Vermont Avenue,
Washington DC 20420
Email: Marty.Fury@va.gov
Phone: 202-461-6739
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ENVIRONMENTAL PROTECTION AGENCY

66.001 Air Pollution Control Program Support

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 105, Public Law 101-549, Title National Environmental Policy Act (NEPA), Section 102(2)(F)

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

The objectives of the 105 program are to assist state, tribal, municipal, intermunicipal, and interstate air pollution control agencies in planning, developing, establishing, improving, and maintaining adequate programs for the continuing prevention and control of air pollution, and/or in the implementation of national primary and secondary air quality standards. Funding Priorities - Fiscal Year 2021: Much of the work occurring in FY 2020, including the implementation of the National Ambient Air Quality Standards (NAAQS), monitoring industry compliance with EPA stationary source regulations, developing emission inventories, characterizing air toxics problems, and meeting NAAQS ambient monitoring requirements, will continue into FY 2021. Section 105 of the CAA provides EPA with the authority to award grants to state and local air pollution control agencies to develop and implement continuing environmental and public health programs for the prevention and control of air pollution, implementation of the NAAQS and improving visibility in our national parks and wilderness areas (Class I areas). The continuing activities funded under Section 105 include: analysis and planning for attainment and maintenance of NAAQS; emission reduction measures; development and operation of air quality monitoring networks, and other air program activities. Specific expectations and deliverables are established through negotiations in grant agreements between regions and air pollution control agencies. OAR encourages air pollution control agencies to engage EPA on activities where there may be opportunities for flexibility. The Office of Air and Radiation's National Program Guidance identifies key priorities and activities expected to be undertaken by EPA Headquarters and Regional offices and implementing air agencies in national areas of focus. OARs current NP Guidance can be found at <https://www.epa.gov/planandbudget/national-program-guidance>. The monitoring guidance outlines monitoring priorities, quality assurance programs, and funding projections. The monitoring appendix may be accessed at <https://www.epa.gov/amtic/national-program-manager-npm-guidance-monitoring-appendix>.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for costs specifically incurred in the conduct of a state/local/tribal air pollution control program in accordance with the purposes enumerated in the approved application. These include personnel costs, supplies, equipment, personnel training, travel, and other necessary expenditures during the approved project period. Funds may not be used for construction of facilities, nor for expenses incurred other than during each approved award period. Grant funds may not be used to subsidize the costs of Title V operating permit programs or to supplant otherwise available recipient resources.

Applicant Eligibility:

U.S. Territories and possessions; Interstate; Intrastate; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Municipal, Intermunicipal, State, Federally Recognized Indian Tribe, or Interstate or Intertribal with legal responsibility for appropriate air pollution planning, development, establishment, implementation, and maintenance of Clean Air Act air pollution control activities, including management of grant support for those activities, provided such organization furnishes funds for the current year that are equal to or in excess of its recurrent expenditures for the previous year for its approved section 105 air pollution control program. The determination of expenditures is subject to decisions based on provisions of the Clean Air Act and applicable grant regulations. This program is available to each state, territory and possession of the U.S., including the District of Columbia.

Beneficiary Eligibility:

Municipalities (local governments), Intermunicipalities, States, Federally Recognized Indian Tribes, and Interstate and Intertribal agencies.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control including responsibility for carrying out the implementation plan requirements under 110 of the Clean Air Act; evidence of the availability of nonfederal matching funds; assurance that federal funds do not supplant available recipient funds; evidence that the Governor or his designated state agency has been given the opportunity to comment on the relationship of the program to be funded to the state

plan; and a workable program officially adopted for the agency. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Discussion with the applicable EPA Regional Office is advised for air program grant applications.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for application forms and completed applications must be submitted to the appropriate EPA Regional Grants Administration Branch through [Grants.gov](https://www.epa.gov/grants). Application must meet the requirements of the grant regulations and will be reviewed to determine merit and relevancy of the proposed project particularly with regard to the most recently available national program and grant guidance. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.epa.gov/grants). Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.epa.gov/grants).

Award Procedure:

Applications must meet the requirements of the grant regulations and will be reviewed to determine merit and relevancy of the proposed project particularly with regard to the most recently available national program and grant guidance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Approximately 90 days.

Appeals:

Other. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 40%. Requirement: Matching requirements are mandatory. The Clean Air Act does not prescribe a specific statutory funding formula but does direct that the factors of population, the extent and severity of the air pollution problem, and financial need, be considered in the allocation of available resources by the Agency. State, interstate, and local programs may receive up to 60 % federal funding for the total approved program costs. The non-tribal grantee must contribute a minimum of 40% as well as meet a maintenance of effort (MOE) requirement. The amount of federal share of grant costs should be determined by reference to the criteria set forth in Section 105 of the Clean Air Act and in 40 CFR 35.145, 40 CFR 35.146, and 40 CFR 147. Not more than 10 % of the total of funds appropriated for Section 105 grants shall be granted for air pollution control programs in any one state. Not less than one-half of one % of the annual appropriations are to be made available to a state for application. EPA can award less than the 0.5% level if the level of funding is not justified in the work plan. For Indian tribes establishing eligibility pursuant to Section 35.573, the Regional Administrator may provide financial assistance in an amount up to 95 % of the approved costs of planning, developing, establishing, or improving an air pollution control program, and up to 95 % of the approved costs of maintaining that program. After 2 years from the date of each tribe's initial grant award, the Regional Administrator will reduce the maximum federal share to 90 %, as long as the Regional Administrator determines that the tribe meets certain economic indicators that would provide an objective assessment of the tribe's ability to increase its share. This program has MOE requirements, see funding agency for further details. Additional Information: This program does have a maintenance of

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effort (MOE) requirement which requires that a recipient's recurring expenditures must meet or exceed the level of the prior year's recurring expenditures..

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Grant and administrative reporting requirements Programmatic reporting requirements are outlined in the Uniform Grants Guidance 2 CFR 200 and in the applicable national program manager annual guidance. Requirements for the evaluation of recipient performance are discussed in 40 CFR 35.115. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200 and 1500 Subpart E

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$169,108,000.00; FY 21 est \$171,533,000.00; FY 22 est \$227,658,000.00;

Range and Average of Financial Assistance:

From approximately \$50,000 to \$6,500,000 per recipient; average approximately \$1,400,000

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Grants are awarded annually to air pollution control agencies to implement their air pollution control program. Information regarding recent accomplishments is available at www.epa.gov/airtrends.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Juan Santiago,
Environmental Protection Agency, Office of Air and Radiation, Office of Air Quality Planning and Standards, 109 T.W. Alexander Drive (MCC404-02), Research Triangle Park NC 27709
Email: santiago.juan@epa.gov
Phone: 919-541-1084

Website Address:

<https://www.epa.gov/aboutepa/about-office-air-and-radiation-our>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Continuing program grants are provided to state, local and tribal air pollution control agencies for the purpose of operating programs that plan and implement activities to achieve the National Ambient Air Quality Standards (NAAQS). These programs cover: planning for attainment of updated NAAQS including fine particulates and ozone; state implementation plan development; ambient monitoring; emission inventories; air and emissions modeling; source permitting; certain compliance and enforcement activities related to mobile and non-Title V stationary and area sources; public outreach and education activities; development and implementation of air quality regulations; implementation of market-based, early action compacts and other innovative air pollution reduction or prevention strategies; technical training; risk and data analyses; information management; and program evaluation, progress reporting and other program operation and maintenance activities.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for awarding air pollution control program assistance grants are provided in Section 105 of the Clean Air Act. Additional criteria for state and local air pollution control agencies are available in 40 CFR 35.140 through 148. Some of the criteria considered for award to state and local agencies include the following: 1) No grant may be awarded unless the program contained in the grant application meets the requirements of 40 CFR 35.140 (program purposes and associated regulations) and has been approved by the Regional Administrator. 40 CFR 35.104 and 35.107 describe what an agency needs to do to prepare an adequate application. This usually includes the use of annual program technical and grant guidance from EPA and is generally done in consultation with the EPA Regional Office. 2) No grant may be awarded until the Regional Administrator has consulted with the official designated by the Governor(s) of the state(s) affected by such award pursuant to Section 105(b) of the Clean Air Act. Such consultation should consider the role of the applicant in the enforcement of any applicable implementation plan and confirm that the applicant's program will be generally compatible with the objectives of the applicable implementation plan. 3) No grant may be awarded unless the Regional Administrator has determined that the agency has adequate air pollution control authority and necessary regulations to implement such authority. The requirements for awarding Section 105 grants to tribal air pollution control agencies are described in 40 CFR 35.570 through 578.

66.032 State Indoor Radon Grants

SIRG,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Indoor Radon Abatement Act or Title III Toxic Substances Control Act, Section 306, Title Consolidated Appropriations Act of 2006, , Public Law 109-54

OBJECTIVES:

EPA assists states and federally recognized tribes to provide radon risk reduction through activities that will result in increased radon testing, mitigation, and radon resistant new construction through the authorizing statute: Title III of the Toxic Substances Control Act (TSCA), the Indoor Radon Abatement Act (IRAA), Section 306. States and tribes are encouraged to implement projects focused on assisting state radon programs, addressing low-income population exposure, expanding radon testing of existing homes, increasing mitigation of homes with high radon levels, and promoting radon-resistant new construction. Funding

ASSISTANCE LISTINGS DESCRIPTIONS

Priorities - Fiscal Year 2021: Recipients should focus on adopting, or seeking to adopt, radon building codes for single family homes, expanding coverage and seeking opportunities to assist underserved communities, promoting radon awareness through the medical community, state cancer control plans, childcare providers and real estate transactions, providing training and technical support on voluntary consensus standards for radon testing and mitigation, identifying and spreading best practices for building homes radon resistant and adopting radon in building codes, providing radon awareness building and/or education activities that target homebuyers, informing local school systems about radon exposure risk in schools and providing sample school testing and mitigation plans, developing or implementing processes to ensure appropriate, certification or credentialing of radon testing and mitigation service providers, collecting available radon test result data to develop locality-specific classifications of radon risks and to support the CDC Environmental Public Health Tracking Network radon site. EPA will encourage state and tribal grant recipients to work collaboratively with additional government agencies, non-profit organizations and private sector partners participating in the National Radon Action Plan (NRAP). (Please see <https://www.epa.gov/radon/national-radon-action-plan-strategy-saving-lives> for more information.)

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

The following activities are eligible for funding under SIRG: radon surveys, public information and educational materials, radon control programs, purchase of radon measurement equipment or devices, purchase and maintenance of analytic equipment, training, program overhead and administration, data storage and management, mitigation demonstrations, Community development, consumer protection, housing, training, and toll-free hotlines. Funding for these eligible activities may be used to develop State radon response programs. States may, at the Governor's direction, aid local governments for public information and educational materials, radon control programs and training.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Eligible entities include states (including District of Columbia (DC)), Puerto Rico, the Virgin Islands, Guam, the Canal Zone, American Samoa, the Northern Mariana Islands, federally recognized Indian tribes and tribal consortia, or any other U.S. Territory or possession.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Anyone/general public; Homeowner; Low Income; State agencies: local, municipal, district, or area wide governments and organizations; U.S. territories or possessions, federally recognized Indian tribes, colleges, universities, multi-state agencies, specialized groups, nonprofit organizations, low-income individuals, homeowners, and the public.

Credentials/Documentation:

An applicant's application must: 1) describe the seriousness and extent of radon exposure; 2) identify the lead State or Tribal organization with primary responsibility for the radon program that will receive the grant, with a description of the lead agency's role and responsibilities, and those of any other State agencies, and any municipal, district or area wide organizations involved in the radon program; 3) submit a work plan describing the activities and programs to be funded; 4) provide a budget specifying the federal and applicant share of the funding for each work plan element. First time applicants must have a 3-year work plan outlining long range program goals and objectives, the tasks necessary to achieve them, the resource requirements including anticipated SIRG applicant funding levels and the desired federal funding level. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. Application assistance is available through Regional radon coordinators and their Regional grants specialist

counterparts. For additional information, visit <https://www.epa.gov/indoor-air-quality-iaq/epa-regional-office-and-state-indoor-air-quality-information> for state specific information on the state radon programs and a list of EPA Regional and State Contacts.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each state or tribal SIRG application is subjected to an administrative and prior period performance evaluation to determine the recipient's eligibility to receive continued funding. An applicant's proposed projects and work plan are evaluated for projected results and alignment with the Agency's radon program goals and priorities. SIRG award decisions are non-competitive and made at the EPA Regional level, based on a formula moderated by Regional management discretion utilizing prior performance and results. A state or tribe able to provide the required 25% in matching funds (first year of participation only) and 40% in matching funds (every subsequent year) is eligible to apply to the Regional EPA office for a grant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Renewals of awards will be conducted in accordance with the provisions of TSCA Section 306 and the SIRG Program Handbook (https://www.epa.gov/sites/default/files/2014-08/documents/guidance_and_handbook.pdf).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. EPA may provide States a maximum of 60% of allowable costs of approved SIRG Program activities. TSCA Section 306 requires a progressive match for states and tribes; 25% in the first year; 40% in the second year; and, 50% in the third and subsequent years of participation. However, in the FY 2006 appropriation, Congress permanently reduced the recipient match requirement from a maximum of 50% to 40% for participants with two or more years in the program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards funded under TSCA Section 306 (IRAA), are normally for a period of 12-36 months. EPA encourages 36-month (3-year) SIRG project periods. EPA negotiates project periods appropriate to a suite of projects or specific project. However, EPA project periods are limited to a maximum of five years. Grants and cooperative agreements may be fully funded or incrementally (partially) funded, conditional on the availability of funds. Method of awarding/releasing assistance: other

Reports:

The recipient agrees to submit the Final Performance Report to the EPA Project Officer. The Final Project Report is due within 90 days after the end of the budget/project period. Final performance reports must prominently display the three Essential Elements (Strategic Plan Goal, Strategic Plan Objective, Work Plan Commitments plus time frame) required for state work plans and reports. The report will also include any agreed-upon work-product(s) resulting from the project. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Uniform Grants Guidance 2 CFR 200.328 The recipient shall submit to the EPA Regional Office, a report utilizing the reporting template provided by the Project Officer, also available at <https://www.epa.gov/radon/state-indoor-radon-grant-sirg-program>. The recipient shall submit, annual performance reports in accordance with 2 CFR 200.329 Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated

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in Uniform Grants Guidance 2 CFR 200.329

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$7,646,000.00; FY 21 est \$7,795,000.00; FY 22 est \$8,951,000.00;

Range and Average of Financial Assistance:

Federal funding in FY 2021 may range from \$15,000 to a maximum of \$779,500 (by law 10% of the annual appropriation amount) per State/Tribal applicant. (See FY 2021 regional allotments at <https://www.epa.gov/radon/state-indoor-radon-grant-sirg-program>).

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For accomplishments associated with this assistance listing, please refer to the Annual SIRG Activity Reports are available at: <https://www.epa.gov/radon/state-indoor-radon-grants-resources>. The 2021 report will be available later in FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA regulations that govern State activities under the SIRG Program are contained in the following: (1) 40 CFR Part 4 (Uniform Relocation Assistance and Real Property Acquisition for Federal and Federally Assisted Programs); (2) 40 CFR Part 7 (Nondiscrimination in Programs or Activities Receiving Federal Assistance from the Environmental Protection Agency); (3) 40 CFR Part 32 (Debarment and Suspension under EPA Assistance Programs); (4) 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); (5) 40 CFR Part 35 SubPart A (Environmental Program Grants) or 40 CFR Part 35 SubPart B (Environmental Program Grants for Tribes); (6) 2 CFR 200 and 1500, (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Also applicable is the State Indoor Radon Grants (SIRG) Program Handbook (https://www.epa.gov/sites/default/files/2014-08/documents/guidance_and_handbook.pdf).

Regional or Local Office:

None. For questions of a programmatic/technical nature, contact the appropriate Regional Radon Office representative. The individual Regional radon SIRG project officers can be found at <https://www.epa.gov/radon/state-indoor-radon-grant-sirg-program>.

Headquarters Office:

Kirsten Hesla,
Environmental Protection Agency, Office of Radiation and Indoor Air, 1200 Pennsylvania Ave., N.W., (Mail Code 6204M),
Washington DC 20460
Email: hesla.kirsten@epa.gov
Phone: 202-564-2984

Website Address:

<https://www.epa.gov/radon/state-indoor-radon-grant-sirg-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of projects funded in FY 2020 include: 1) adopting, or seeking to adopt, radon building codes for single family homes; 2) promoting radon awareness through the medical community, state cancer control plans, childcare providers and real estate transactions; 3) providing training and technical support on voluntary consensus standards for radon testing and mitigation, identifying and spreading best practices for building homes radon resistant and adopting radon in building codes; 4) providing radon awareness building and/or education activities that target homebuyers; 5) informing local school systems about radon exposure risk in schools and providing sample school testing and mitigation plans; 6) developing or implementing processes to ensure appropriate certification or credentialing of radon testing and mitigation service providers; and 7) collecting available radon test result data to develop locality-specific classifications of radon risks and to support the CDC Environmental Public Health Tracking Network radon site.

CRITERIA FOR SELECTING PROPOSALS:

The EPA will base its review of SIRG applications on whether they reflect program goals and promote integrity through a states use of grant funds. Pursuant to TSCA Section 306(e), if state applications exceed the funds appropriated for award in a fiscal year, EPA will prioritize state activities based on the following criteria: (1) the seriousness and extent of the radon contamination problem to be addressed; (2) the potential for the activity or project to bring about reduction in radon levels; (3) the potential for the development of innovative radon assessment techniques, mitigation measures as approved by the Administrator, or program management approaches which may be of use to other States; (4) the potential for the activity to implement EPA's model radon-resistant building standards; (5) the potential for the development of radon programs in high-risk areas; and (6) the potential for the activity to improve the effectiveness and viability of the State program. EPA may establish additional criteria related to demonstration activities or other aspects of the SIRG program.

66.033 Ozone Transport Commission

OTC,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 106

OBJECTIVES:

The overall goal of the Ozone Transport Commission (OTC) grant is to facilitate collaboration among the OTC jurisdictions, notably the State Environmental Commissioners/Secretaries, State Air Directors and their staff, to assist them in reducing ozone precursor emissions in their states and in representing their ozone related issues to the EPA. The focus of the OTC is to ensure real results in air quality improvement in the Ozone Transport Region. The OTC brings together the State members to coordinate reductions in air pollution to benefit the entire region. The Ozone Transport Commission (OTC or the Commission) is the single regional multi-state organization created under the Clean Air Act Amendments of 1990 (CAA) responsible for advising EPA on ground-level ozone and its precursor pollutant transport issues. The Commission is responsible for developing and implementing regional solutions to the ground-level ozone problem in the Northeast and Mid-Atlantic States. As directed under the CAA, EPA awards grants to the Commission under the authority of Section 106 of the CAA. Section 184 of the CAA established the Ozone Transport Region (OTR) that, by law, develops and recommends regional strategies for cleaning up air pollution. Funding Priorities - Fiscal Year 2021: The OTC states continue to face significant challenges in preparing SIPs for the attainment of the 2008 and the 2015 Ozone U.S. National Ambient Air Quality Standards (NAAQS). These challenges are highlighted by the recent increases in monitored ozone levels and will require a greater level of regional support and coordination from the OTC. The member states rely on OTC

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work products, particularly sound science, to meet their individual state implementation plan (SIP) obligations. The coordinated and collaborative effort of OTCs Committees represents the most efficient, cost effective, and in certain cases the only possible means to assemble all the necessary elements of an attainment plan for OTC States. The OTC will continue to take a leadership role in coordinating with other Regional Planning Organizations (RPOs), contributing States, and EPA offices to assist in the resolution of ozone transport issues under CAA section 110 (a)(2)(D). The OTC Modeling Committee (MC) continued its work on regional air quality modeling to assist OTC states' efforts in attaining and maintaining the 2008 and 2015 (o3) National Ambient Air Quality Standards (NAAQS) through regional measures developed in partnership with EPA. The MC focused on new 2016-based modeling platform development and testing, as well as updating emission inventories and assessments for base year 2016 and projection years 2023 and 2028. The new 2016 platform includes a newly enlarged OTC-12km modeling domain that extends farther to the west (including parts of Montana, Wyoming, Colorado, and New Mexico) and south (including Texas and Florida). The MC also developed plans for an OTC-4km nested grid located along the northeastern urban corridor and ocean coastline and model development is in progress. In ongoing work, the New York State Department of Environmental Conservation (NYSDEC) Modeling Center is comparing the Integrated Planning Model (IPM) and Eastern Regional Technical Advisory Committee (ERTAC) electricity generating unit (EGU) options in the Community Multi-scale Air Quality (CMAQ) model output for biases in O3 design values for 2023 and 2028. Modeling simulations were recently redone to account for updates to the 2016 emissions platform for sectors including airports and EGUs. Additionally, NYSDEC modelers are comparing predicted O3 design value calculations at coastal monitoring sites using four different grid methods. Different methods result in variations in model-estimated design values at coastal monitor locations.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Assistance funds may be used for costs specifically incurred in the conduct of interstate pollution projects in accordance with the purposes enumerated in the approved application.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); An agency or commission designated by the Governors of the affected States, which can recommend to those Governors' plans for implementation of national primary and secondary ambient air quality standards and which includes representation from the States and the appropriate political subdivisions within the affected air quality control region.

Beneficiary Eligibility:

Interstate; State; Local; Federally Recognized Indian Tribal Governments; Municipalities, intermunicipalities, States, interstate agencies or commissions, and Federally recognized Indian tribes.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control, evidence of the availability of non-federal matching funds, evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan and a workable program officially adopted for the agency. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Discussions or informal meetings with the Headquarters Program Office concerning program preparation are advisable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable

Renewals:

Other. Applicant must reapply.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 40%. Requirement: Matching requirements are mandatory. Upon initial designation by the Governors of the affected States, pursuant to Sections 106 or 111 of the Clean Air Act of 1990, the Administrator is authorized to pay for up to 2 years, and up to 100 percent of the air quality planning program costs of a designated commission or interstate agency. After the initial 2-year period, the Administrator is authorized to make grants to such agency or such commission in amounts up to 3/5 of its air quality management plan costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200.328 Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. 329 Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.329

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$639,000.00; FY 21 est \$639,000.00; FY 22 est \$700,000.00;

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Range and Average of Financial Assistance:

There is only one cooperative agreement awarded under this program. The cooperative agreement will range from \$600,000 to \$700,000/fiscal year with an average award of \$639,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The OTC has completed several project milestones which resulted in the policy products available on OTC's website at <https://otcair.org/document.asp?view=Report>. The report lists products by year, including 2020 and 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Catrice Jefferson, Project Officer,
Environmental Protection Agency, Office of Air and Radiation, 1200 Pennsylvania Avenue, N.W. (Mail Code: 6204M),
Washington DC 20460

Email: Jefferson.Catrice@epa.gov

Phone: 202-564-1668

Fax: 202-564-1557

Website Address:

<https://otcair.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The Stationary and Area Sources (SAS) Committees work focused on addressing the charge given to it during the OTC annual meeting. Ad hoc work groups convened to address specific charge items. The industrial/commercial/institutional boilers (ICI boilers) Workgroup met v and compiled documentation for extracting point and nonpoint sources data from EMF, and accessing control measure codes, SCC information, as well as monthly and day-of-the-week temporal profiles from EPA. This information was used to calculate estimated daily emissions and provide a report on ICI Boiler Max Day Emissions Analysis per the charge. Other workgroups (for Municipal Waste Combustors, small EGUs, distributed generation, natural gas pipeline compressor prime movers, and cement kilns) were formed and started convening to execute the workplans for addressing specific charge items. The Committee also reviewed and addressed the comments received during the second hearing/public comment period on MDs petition under Section 184(c) of the CAA on the control of interstate ozone air pollution through additional control measures on Pennsylvania coal-fired power plants. The Committee provided its final recommendations on the petition to the Commission.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103, Public Law 95-95, 42 USC Code 7401 et seq.
Title National Environmental Policy Act (NEPA), Section 102(2)(F), Public Law 91-190, 42 USC Code 4332.

OBJECTIVES:

To support Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose assistance relating to the causes, effects (including health and welfare effects), extent, prevention, and control of air pollution to include such topics as air quality, acid deposition, global programs, indoor environments, radiation, mobile source technology and community-driven approaches to transportation and emissions reduction. Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. Funding Priorities - Fiscal Year 2021: (1) Global Green Freight Supply Chain Demonstration program provides technical support, management and oversight in implementing outreach, analysis and capacity building for international green freight programs. The goal is to aid nations seeking to develop sustainable freight transport and increase efficiencies to reduce greenhouse gases and black carbon. (2) The NATTS program will continue to operate and maintain the national air toxics trends stations. The program also includes four supplemental program components: quality assurance, methods and instrumentation, sample and equipment shipping and handling, and data analyses using all available ambient air quality data for toxics with special emphasis on observations from the NATTS and community-scale monitoring programs. A community-scale ambient air toxics competition was conducted in FY2020 with award dates that were completed in FY2021. (3) The Climate Change Division supports the Atmospheric Protection Program by developing and delivering data, analysis, and technical information to industries, states, communities and tribes to identify technologies and strategies to meet Clean Air Act obligations and other statutory requirements. This includes a range of activities such as managing the annual data reporting, verification and publication of greenhouse gas (GHG) data and compiling the Inventory of U.S. Greenhouse Gas Emissions and Sinks; implementing partnership programs targeting non-CO2 GHGs; developing analysis and support related to GHG emissions, technologies, economics, science, and policy across key sectors; applying the latest GHG emissions impacts and science information in policy contexts; conducting comprehensive economic analysis of GHG and energy policies; advancing understanding of the key mitigation technologies; general policy and analysis; and coordinating communication. (4) The Climate Protection Partnerships Division supports the Atmospheric Protection Program by developing and implementing partnership programs which are designed to capitalize on the cost-effective opportunities consumers, businesses, state and local governments have to invest in technologies, policies, and practices that reduce emissions of greenhouse gases and other air pollutants. CPPD programs work by overcoming widely-acknowledged market barriers, providing objective and rigorous information, tools, and resources, and motivating and recognizing leading action. These programs include ENERGY STAR - the government-backed symbol for energy efficiency - which provides simple, credible, and unbiased information that consumers and businesses rely on to make well-informed decisions that help them reduce energy use aFine Particle (PM2.5) Monitoring. The PM2.5 monitoring network includes three well-established components: the network of filter-based monitors used for comparison to the National Ambient Air Quality Standards (NAAQS); continuous mass monitors used primarily for public reporting of the Air Quality Index, but also for comparison to the NAAQS when continuous FEM monitors are employed; and speciation samplers operated as part of the Chemical Speciation Network (CSN) program that are used to characterize the chemical composition that makes up fine particulate matter. The CSN includes the Speciation Trends Network and supplemental speciation sites. (5) Fine Particle (PM2.5) Monitoring. The PM2.5 monitoring network includes three well-established components: the network of filter-based monitors used for comparison to the National Ambient Air Quality Standards (NAAQS); continuous mass monitors used primarily for public reporting of the Air Quality Index, but also for comparison to the NAAQS when continuous FEM monitors are employed; and speciation samplers operated as part of the Chemical Speciation Network (CSN) program that are used to characterize the chemical composition that makes up fine particulate matter. The CSN includes the Speciation Trends Network and supplemental speciation sites. (6) National Internet-based On-Board Diagnostics (OBD) Information Exchange-National OBD Clearinghouse. To support an information clearinghouse which provides state vehicle emission inspection and maintenance (I/M) programs with technical information needed to successfully implement onboard diagnostic (OBD) testing.

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(7) Indoor Environments: state and local asthma program capacity building to secure sustainable financing for home-based environmental interventions for low income and minority communities; education and outreach to the public, and technical assistance to states and tribes, to foster radon testing and mitigation in homes and schools; technical support to state IAQ programs, and communities, to foster adoption and spread of IAQ risk reduction policies and programs for residential and commercial (schools) buildings, with a focus on low income communities; support to the household energy sector to promote the adoption of clean cooking and heating technologies and implementation of cookstove design and performance standards. (8) Student Program for Research In Transportation and the Environment (SPRITE) Grant provides opportunities for senior-level undergraduate students to engage in transportation, greenhouse gas emissions, and environmental policy research, MIDAS will develop and administer a comprehensive educational program during the 2020-2021 academic year at the University of Michigan, Ann Arbor that will satisfy all three requested components as requested by the EPA. (9) Other potential research or special purpose projects related to the causes, effects, extent, prevention, and control of air pollution.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. Eligibility for projects awarded or competed exclusively with State and Tribal Assistance Grant (STAG) funds is limited to air pollution control agencies, as defined in section 302(b) of the Clean Air Act that are also eligible to receive grants under section 105 of the Clean Air Act, and/or federally recognized tribes and inter-tribal consortia, consisting of federally recognized tribe members. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Native American Organizations; State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for

assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards made under this assistance listing, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

For competitive awards, deadlines will be specified in the competitive announcement.

Range of Approval/Disapproval Time:

>180 Days. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Generally, EPA incrementally funds grants and cooperative agreements for Surveys, Studies, Research, and Investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Not Applicable. While there is no statutory or regulatory cost sharing requirement for this assistance listing, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions and non-competitive awards. If the Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement, or discussed with grantee prior to issuance of a non-competitive award. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. Such notification is contingent upon information contained in the resulting solicitation. Method of awarding/releasing assistance: other

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Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200.328. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200.329. Frequency will be provided in the terms and conditions of the assistance agreement. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.329.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304. 68-0107-0-1-304. 68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$37,056,000.00; FY 21 est \$25,939,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

EPA generally award grants ranging in value from \$5,000 to \$1,375,000 per fiscal year. The average value of each grant is \$150,000 per fiscal year.

TAFS Codes:

68-0107. 68-0103. 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: (1) 83 grants to air pollution control agencies to monitor fine particulate matter. (2) Indoor air quality funding for 5 grants for radon reduction and capacity building, improve indoor air quality, national technical assistance, and increase radon testing throughout the country. (3) EPA and its partners at state, local, and tribal (SLT) agencies managed and operated ambient air monitoring networks across the country with three primary objectives: to ensure the public has access to clean air by comparing data and implementation of the National Ambient Air Quality Standards (NAAQS) and other health indicators for toxics; to provide the public with timely reports and forecasts of the Air Quality Index, and to provide information to health and atmospheric scientists to better inform future reviews of the NAAQS. (4) Community Scale Air Toxics Grants: EPA awarded 11 grants to state, local and tribal air pollution control agencies to conduct additional air toxics monitoring to better characterize local area air toxics issues and also develop and enhance analytical tools used in the review of air toxics data.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

See Regional Assistance Locations. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office and the Headquarters program contact listed below.

Headquarters Office:

Edward (Ned) Dowdell,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 6204M),
Washington DC 20460
Email: dowdell.ned@epa.gov
Phone: 202-564-5578
Fax:

Website Address:

<https://www.epa.gov/grants/air-grants-and-funding>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 (1) Indoor Environments (FY20 and FY21): state and local asthma program capacity building to secure sustainable financing for home-based environmental interventions; education and outreach to the public, and technical assistance to states, to foster radon testing and mitigation in homes and schools; technical support to state IAQ programs to foster adoption and spread of IAQ risk reduction strategies. (2) National Internet-based On-Board Diagnostics (OBD) Information Exchange-National OBD Clearinghouse- improve and facilitate the accurate and timely exchange of technical information related to vehicle on-board diagnostics (OBD) testing with state inspection and maintenance (I/M) programs, the automotive industry, and the general public. (3) Research Studies to Inform the Design of Future Energy Efficiency Programs: Supporting research studies to help identify best practices and approaches for designing and implementing future cost-effective energy efficiency programs. (4) Grants were awarded for continued operation of the NATTS network and the PM2.5 network, including the chemical speciation sites and required NO2 near-road monitoring sites. (5) National Internet-based On-Board Diagnostics (OBD) Information Exchange-National OBD Clearinghouse- improve and facilitate the accurate and timely exchange of technical information related to vehicle on-board diagnostics (OBD) testing with state inspection and maintenance (I/M) programs, the automotive industry, and the general public. (6) Convening national and international energy/economy modeling experts on a regular basis to share model results and advances in modeling methodology.

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed Surveys, Studies, Research, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.037 Internships, Training and Workshops for the Office of Air and Radiation

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103

OBJECTIVES:

To provide, Internships, Training, Workshops, and Technical Monitoring in support of the Clean Air Act. These activities aim to: (1) support the development of career-oriented personnel qualified to work in occupations involving environmental protection and air pollution abatement and control; (2) provide technical training for state, local, territorial, and Indian Tribal environmental control agencies; (3) enhance the capability of state, tribal, and local agencies responsible for environmental pollution control or other agencies with similar pollution control responsibilities; (4) provide educational renewal for career oriented personnel to achieve additional knowledge through academic professional

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training; (5) provide students in science, engineering, and other relevant fields with education and training opportunities to enhance their understanding of air quality-related topics; and (6) to increase the quality and number of people into the environmental control field. Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. Funding Priority - Fiscal Year 2021: (1) The Tribal Training Support for the Community and Tribal Air Quality Programs grant supports EPA's efforts to encourage and facilitate involvement of tribal partner governments in implementing ambient air quality programs under the Clean Air Act in Indian country, through the provision of training and technical support. The training and technical support will promote the capacity of tribes to successfully implement efficient and effective air quality management programs. (2) The American Indian Air Quality Training Program provides workshop training, internships and technical support to tribal governments to investigate, develop and establish air quality management programs for lands under their jurisdiction. The Tribal Air Monitoring Support Center is a component of this program and provides a full spectrum of technical support to tribes undertaking air quality monitoring and related activities. (3) The Student Program for Environmental Excellence in Design (SPEED) program provides graduate-level students and senior-level undergraduate opportunities to collaborate with EPA and increase their awareness and understanding of the environmental benefits associated with increased fuel efficiency, reduced carbon intensity in transportation fuels, and reduced emissions in advanced vehicles.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance agreements are available to support recipients' allowable direct costs incident to approved projects for internships, training and workshops related to environmental issues plus allowable indirect costs, in accordance with established EPA policies and regulations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the Federally Recognized Indian Tribal Government, District of Columbia and possessions of the U.S., international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; State and local governments, U.S. territories and possessions, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of

the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Approximately 180 days after the deadline for Request for Applications.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. All projects will be fully funded.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

If the grant is not fully funded at time of award, EPA normally funds grants on a 12-month incremental basis. Each grant is limited to a 3-year duration. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR parts 200 and 1500. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Uniform Grants Guidance 2 CFR 200.328. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200.329. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Uniform Grants Guidance 2 CFR 200.329.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records.

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Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304. 68-0107-0-1-304. 68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$1,800,000.00; FY 21 est \$1,800,000.00; FY 22 est \$1,800,000.00;

Range and Average of Financial Assistance:

EPA generally awards grants ranging in value from \$100,000 to \$300,000 per fiscal year. The average amount is \$250,000.

TAFS Codes:

68-0107. 68-0103. 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Student Program for Environmental Excellence in Design (SPEED) program provided graduate-level students and senior-level undergraduate students in the science, technology, and engineering disciplines opportunities to collaborate with the EPA and obtain research training on-site at the EPA's National Vehicle and Fuel Emissions Lab (NVFEL). Students increased their awareness and understanding of the environmental benefits associated with increased fuel efficiency, reduced carbon intensity in transportation fuels, and reduced emissions in advanced vehicles. Accomplished several webinars and trainings for Tribal Environmental Professionals 1. Air Quality Planning for Wildland Smoke provided 5 pre-webinars and an in-person class 2. Treatment As a State training 3. National Tribal Forum on Air Quality held- provided a session on Treatment As a State (TAS) training. 4. Developed a Permit training which will include 2 pre-webinars, Overview of the Clean Air Act and Overview of the Clean Air Act Permitting Program and an in-person class. 5. Ongoing technical support and training for the Tribal Environmental Exchange (TRES) Network assists tribes in data retrieval, validation and submission to national networks like AIRNow and AQS. Fiscal Year 2021: Accomplished three trainings for Tribal Environmental Professionals 1. Air Quality Planning for Wildland Smoke provided 6 pre-webinars and an in-person class. 2. Treatment As a State (TAS) training. 3. National Tribal Forum on Air Quality provided a session on TAS. 4. Ongoing technical support and training for the Tribal Environmental Exchange Network assists tribes in data retrieval validation and submission to national networks like AIRNow and AQS.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 45 (Training Assistance).

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Tim Roberts,
Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, (Mail Code: 6204M),
Washington DC 20460
Email: roberts.timothy-p@epa.gov
Phone: 202-564-6004

Website Address:

<https://www.epa.gov/grants/air-grants-and-funding>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality

Tribal CAA 103 Project Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103(b)(3), 42 USC Code 7403.

OBJECTIVES:

To support Federally-recognized Indian Tribes' efforts to understand, assess and characterize air quality; design methods and plans to protect and improve air quality on tribal lands through surveys, studies, research, training, investigations, and special purpose activities. To ensure tribes have appropriate levels of support and opportunity to understand their air quality and take proactive measures to preserve, restore and protect air quality for their reservations and other lands over which they have jurisdiction through Tribal program implementation, CAA implementation, radiation protection, mobile source controls, and voluntary programs to address outdoor and indoor air and other concerns. To ensure that all Tribes have the tools they need to understand and participate in local, regional and national issues, regulatory and policy developments, and to protect their air quality from activities off the reservation that may affect or impact them. To improve the ability of tribes to understand, define, design and participate in activities that affect their air quality. Funding Priorities - Fiscal Year 2021: EPA and Tribes, in partnership, will protect public health, the environment, and unique Tribal air resources, consistent with the CAA, its Amendments, and EPA's trust responsibilities. This program will ensure Tribes have appropriate levels of support and opportunity to understand their own air quality and take proactive measures to preserve, restore, and protect air quality for their reservations and other lands over which they have jurisdiction through CAA implementation, radiation protection, mobile source controls, and voluntary programs to address air quality, climate change and other concerns. Activities will ensure that all Tribes have the tools they need to understand and participate in local, regional, and national issues and to protect their air quality from changes off reservation that may impact them. Key activities include: (1) Training and Program Development - this area includes technical, administrative, and policy related training conducted in-person, on-line, and/or by any remote technological method supporting the enhancement of skills that contribute to development of tribal air quality management activities. (2) Assessment and Monitoring - activities related to assessment may include short or long term efforts to define, design, understand, and assess in a qualitative or quantitative manner (such as through an emission or source inventory) the impacts of air pollutants to human health, the environment, and items of cultural importance. Monitoring includes numerous activities that gather data about the state of the environment and measuring the concentrations and potential effects of criteria and/or toxic air pollutants. (3) Developing capacity or a plan for eligibility under the Tribal Authority Rule - developing capacity includes activities that increase the experience and expertise of tribes to understand and assess air quality, including technical, administrative, policy, regulatory, education and outreach, communication skills, training, and building contacts, networks and experience. Capacity includes carrying-out these programs and activities, interacting with the national air program and providing input, guidance, and perspective to efforts to develop policies, regulations, and guidance at the local, regional, and national level including reading, report writing, grant writing and reporting, budgeting, public speaking, developing presentations, preparing comments, editing documents, operating and maintaining equipment, and participating in meetings. Developing a plan for eligibility under the Tribal Authority Rule (TAR) includes report reading and writing, grant writing and reporting, budgeting, public speaking, presentation development, comment preparation, document editing, equipment operation and maintenance, and meeting participation. (4) Delegation or Ongoing Capability Development - This activity includes developing technical, administrative, and management skills, knowledge, and abilities through experience, training, and education to enable activities such as reading and interpreting federal regulations, operating equipment, preparing and presenting technical information and reports,

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interacting with tribal communities and governments, federal bureaucrats and bureaucracy, providing outreach and education, public speaking, conducting public hearings, collecting and analyzing data, developing plans and innovative approaches to pollution control in a culturally sensitive manner. (5) Tribal Implementation Plan development - Tribes may conduct all aspects of air quality management at an advanced level, including developing lists of sources by category, conducting emission inventories and emission estimating, data analysis, analyzing, interpreting and commenting on administrative and regulatory requirements and activities at local, state, regional and national levels, developing advanced technical, policy and planning document preparation, developing an enforcement and inspection program, and tribal government representation.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipient's allowable direct costs incident to approved Tribal air resources activities that will protect public health and the environment, plus allowable indirect costs, in accordance with established EPA policies and regulations.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Assistance under this program is generally available to Federally-recognized Indian Tribes and Intertribal Consortia, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Federally-recognized Indian Tribes and Intertribal Consortia.

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants. For additional information, contact the individual(s) listed as "Information Contacts".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. Generally, EPA incrementally funds assistance agreements for Training, Investigations, and Special Purpose. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policies.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally funds assistance agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200.328. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200.329. Frequency of reports will be determined at the time of award. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated the Uniform Grants Guidance 2 CFR 200. 329.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients are subject to the retention and access requirements for records under 2 CFR 1500.6. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grant and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304, 68-0108-0-1-304, 68-0107-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$8,829,000.00; FY 21 est \$6,163,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

There is no minimum amount of assistance; the maximum is \$7,750,000. The general range of the amount of assistance is \$25,000 to \$500,000. The average is \$75,000.

TAFS Codes:

68-0103, 68-0108, 68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/tribal-air>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Pat Childers,

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Environmental Protection Agency, 1200 Pennsylvania Avenue, N. W. (Mail Code: 6103A),

Washington DC 20460

Email: childers.pat@epa.gov

Phone: (202) 564-1082

Website Address:

<https://www.epa.gov/tribal-air>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act;

EXAMPLES OF FUNDED PROJECTS:

2021 All 10 EPA regions receive funding for grants for a variety of Tribal air quality projects. A number of Tribal air quality programs are engaged in national efforts to assess air quality, including the monitoring of air quality, which is helping them to understand air pollution trends and mitigate the health impacts of these trends locally and nationally.

CRITERIA FOR SELECTING PROPOSALS:

Applications are selected for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. Tribal CAA 103 Project Grants have been determined exempt from competition under EPA Order 5700.5A1, "Policy for Competition of Assistance Agreements."

66.039 Diesel Emission Reduction Act (DERA) National Grants

DERA National Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Diesel Emissions Reduction Act of 2010, , Public Law 111-364, 42 USC Code 16132.

OBJECTIVES:

The Diesel Emissions Reduction Act, 42 U.S.C. 16132 et seq., as amended, authorizes EPA to award grants, rebates and loans to eligible entities to fund diesel emissions reductions. Emission reductions are achieved through the implementation of a certified engine configuration, verified retrofit technology, or emerging technology for buses (including school buses), medium heavy-duty or heavy heavy-duty diesel trucks, marine engines, locomotives, or nonroad engines used in construction, handling of cargo (including at port or airport), agriculture, mining, or energy production. In addition, eligible entities may also use funds awarded to reduce long-duration idling using verified idle-reduction technology with certain vehicles or equipment described above. The objective of the assistance under this program is to achieve significant reductions in diesel emissions in terms of tons of pollution produced and reductions in diesel emissions exposure, particularly from fleets operating in areas designated by the Administrator as poor air quality areas. Projects should also focus on promoting environmental justice (EJ). EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation and enforcement of environmental laws, regulations and policies. Funding Priorities - Fiscal Year 2021: The National DERA program works to reduce the pollution emitted from diesel engines across the country through the implementation of various control strategies and involvement of national, state, local and private partners. Priority will be given to projects which achieve significant reductions in diesel emissions and exposure, particularly from fleets operating at or servicing goods movement facilities located in areas designated as having poor air quality. Further, priority for funding may be given to projects which result in outcomes that benefit affected communities, those that engage local communities with respect to the design and performance of the project, and those which can demonstrate the ability to promote and continue efforts to reduce emissions after the project has ended. Poor air quality areas include areas in nonattainment or maintenance of national ambient air quality standards for PM2.5 and Ozone, and areas with toxic air pollutant concerns. In FY2021, projects will be competed and funded under the 2021 DERA National Grants Request for

Proposals, and the 2021 DERA Tribal Grants Request for Proposals. Emerging Technologies Program grants and SmartWay Finance Program grants will not be funded in FY2021. The DERA National Grant Program works to reduce the pollution emitted from diesel engines across the country through the implementation of various control strategies and involvement of national, state, local and private partners. Priority shall be given to projects which achieve significant reductions in diesel emissions in terms of tons of pollution produced by diesel engines and diesel emissions exposure, particularly from fleets operating at or servicing goods movement facilities located in areas designated as having poor air quality. Further, priority for funding may be given to projects which result in outcomes that benefit affected communities, those that engage local communities with respect to the design and performance of the project, and those which can demonstrate the ability to promote and continue efforts to reduce emissions after the project has ended. Poor air quality areas include areas in nonattainment or maintenance of national ambient air quality standards for PM2.5 and Ozone, and areas with toxic air pollutant concerns.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance agreements are available to support recipient's allowable costs incident to supporting projects to reduce emissions from diesel engines, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds must be used for projects involving EPA-verified and/or California Air Resources Board (CARB) verified diesel emissions reduction technologies and/or EPA or CARB certified engines. No funds provided under this section shall be used to fund the costs of emissions reductions that are mandated under Federal law. Voluntary or elective emission reduction measures shall not be considered "mandated", regardless of whether the reductions are included in the state implementation plan of a state. Additional project eligibility and use restrictions are defined in program guidance.

Applicant Eligibility:

U.S. Territories and possessions; Interstate; Intrastate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are: A regional, state, local or tribal agency or port authority with jurisdiction over transportation or air quality; and a nonprofit organization or institution that represents or provides pollution reduction or educational services to persons or organizations that own or operate diesel fleets; or has, as its principal purpose, the promotion of transportation or air quality are eligible for assistance under this program. City, county, or municipal agencies, school districts, and metropolitan planning organizations (MPOs) that have jurisdiction over transportation or air quality are all eligible entities under this program to the extent that they fall within the definition above. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; Owners of eligible diesel powered vehicles and equipment. Both public owned fleets and privately owned fleets may benefit.

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contact" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days after the Request for Application (RFA) deadline.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law 111-364. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. There is no statutory requirement for a matching contribution from applicants. However, FY2021 programmatic policy requires a mandatory match for certain types of projects, as defined below. Applicants may use their own funds or other sources for cost-share if the standards of 2 CFR Part 200, as applicable, are met. If the proposed cost-share is to be provided by a named project partner, a letter of commitment is required. Other federal grants may not be used as cost-share under this program unless the statute authorizing the other federal funding provides that the federal funds may be used to meet a cost-share requirement on a federal grant. For the project types listed below, DERA funds are limited to the percentage of the equipment costs shown. Applicants are responsible for cost sharing the remainder of the equipment costs. Drayage Truck Replacement 50%; Vehicle or Equipment Replacement with EPA Certified Engine 25%; Vehicle or Equipment Replacement with CARB Certified Low NOx Engine 35%; Vehicle or Equipment Replacement with Zero-tailpipe Emission Power Source 45%; Engine Replacement with EPA Certified Engine 40%; Engine Replacement with CARB Certified Low NOx Engine 50%; Engine Replacement with Zero-tailpipe Emission Power Source 60%;

Certified Remanufacture Systems 100%; Highway Idle Reduction Technologies when combined with new or previously installed exhaust after-treatment retrofit 100%; Highway Idle Reduction Technologies without new exhaust after-treatment retrofit 25%; Locomotive Idle Reduction Technologies 40%; Marine Shore Connection Systems 25%; Electrified Parking Space Technologies 30%; Exhaust After-treatment Retrofits 100%; Engine Upgrade Retrofits 100%; Hybrid Retrofit Systems 100%; Fuel and Additive Retrofits when combined with new retrofit, upgrade, or replacement - Cost differential between conventional diesel fuel Cost of conventional diesel fuel; Aerodynamics and Low Rolling Resistance Tires when combined with new exhaust after-treatment retrofit 100%; Alternative Fuel Conversion 40%.The Tribal and Insular Areas grant competition has no mandatory cost share.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance agreements funded under the DERA National Grant Program typically have a two-year project period with the possibility for extension up to a five-year project period. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in the Uniform Grants Guidance 2 CFR 200. 328. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200.329. Frequency will be determined at the time of award. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.329.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$60,900,000.00; FY 21 est \$63,000,000.00; FY 22 est \$105,000,000.00;

Range and Average of Financial Assistance:

Smaller grants typically range from \$100,000 - \$300,000 with an average award of \$125,000. Larger grants typically range from \$500,000 - \$2 million with an average award of \$650,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For a list of awarded grants, visit <https://www.epa.gov/dera/national> and <https://www.epa.gov/dera/tribal>.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in the competitive announcement.

Headquarters Office:

Faye Swift,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., (Mail Code: 6404M),
Washington DC 20460
Email: swift.faye@epa.gov
Phone: 202-343-9147
Fax: 202-343-2803

Website Address:

<https://www.epa.gov/dera/national>

RELATED PROGRAMS:

66.040 State Clean Diesel Grant Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Projects include a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuels and additives; engine replacement; engine upgrades and remanufacture systems; vehicle or equipment replacement, and alternative fuel conversions. Eligible vehicles, engines and equipment may include: buses (including school buses); Class 5-Class 8 heavy-duty diesel trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production (including stationary engines such as generators or pumps). For a list of awarded grants, visit www.epa.gov/dera/national-dera-awarded-grants.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.040 Diesel Emissions Reduction Act (DERA)

State Grants

DERA State Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Diesel Emissions Reduction Act of 2010, , 42 USC Code 16131, et seq., as amended.

OBJECTIVES:

The Diesel Emissions Reduction Act, 42 U.S.C. 16131 et seq., as amended, authorizes EPA to award assistance agreements to states and territories to develop and implement such grant, rebates, and loan programs in the states and territories as are appropriate to meet state and territory needs and goals relating to the reduction of diesel emissions. Funding Priorities - Fiscal Year 2021: In providing grants, rebates, and loans under this program, states must give priority to projects which: maximize public health benefits; are the most cost-effective; serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, construction sites, schools, and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; will only include a certified engine configuration or verified technology that has a long expected useful life; maximize the useful life of any certified engine configuration or verified technology used or funded by the eligible entity; and conserve diesel fuel.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support the development and implementation of such grant, rebate, and low-cost revolving loan programs in a state as are appropriate to meet state needs and goals relating to the reduction of diesel emissions. A grant, rebate, or loan funded by the state using funds from the DERA State Program must be used for projects involving EPA-verified and/or California Air Resources Board (CARB) verified diesel emissions reduction technologies and/or EPA certified engines. Funds received under the DERA National Grants Program (see assistance listing 66.039) may not be used to pay a matching share under this program. States must use the same statutory priorities as the national competitive program when selecting projects. States receiving funding under this program must comply with public notification requirements. Additional project eligibility and use restrictions may be defined in program guidance.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Assistance under this program is available to the 50 states and the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands.

Beneficiary Eligibility:

Interstate; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; Intrastate; Owners of eligible diesel powered vehicles and equipment. Both public owned fleets and privately owned fleets may benefit.

Credentials/Documentation:

EPA presumes that the state agency with jurisdiction over air quality will be the lead agency to receive these funds. If a states circumstances dictate that another state agency administer the funds, then a letter from the state governor or designee to the Administrator of EPA is required in order to certify one state agency as the recipient of funds who has the legal and administrative authority to enter into a grant or cooperative agreement with EPA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants. For additional information, contact the individual(s) listed as "Information Contacts".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA's Office of Air and Radiation and/or the 10 EPA Regions will review and evaluate applications in accordance with the terms, conditions, and criteria set forth in the DERA State Program Guidelines.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 180 days after the request for applications is received.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. EPA incrementally funds these cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the projects to EPA's priorities, availability of funds, and Agency policy on the cooperative agreement process.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law 111-364. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. This program has a statutory allocation formula (42 U.S.C. 16133(c)). There is no statutory match requirement for this program. However, there is a statutory incentive match provision (42 U.S.C. 16133(c)(3)). Program guidance may restrict the eligibility and amount of grant funds that may be used for certain types of projects. While there is no statutory requirement for a matching contribution from applicants, FY 2019 programmatic policy guidance requires a mandatory match for certain type of projects (engine upgrades, idle reduction technologies, engine replacements, vehicle/equipment replacements, and alternative fuel conversions). Any voluntary matching funds provided by the state to qualify for the matching incentive are included in the EPA funds and state voluntary matching funds described below. Mandatory cost-share funds provided by the state and/or eligible third parties cannot count towards the states voluntary matching funds to qualify for the matching incentive. However, if a state requires a third-party cost-share contribution above and beyond the mandatory cost-share amount for the elected technology, then the excess cost-share may be applied towards the state voluntary match funds for the purpose of qualifying for the matching incentive. The recipient may not use EPA funds and state voluntary matching funds to provide more than the cost-share percentages outlined below, as applicable, of the final equipment costs. Recipients must satisfy any applicable cost-share requirements with allowable costs as set forth in 2 CFR 200.306. The cost-share requirements are as follows: Engine Upgrades: EPA funds and state voluntary matching funds can cover up to 40% of the cost (labor and equipment) of an eligible engine upgrade; states and/or eligible third parties are responsible for the mandatory cost-share of at least 60% of the cost of an eligible engine upgrade. Idle Reduction Technologies on Locomotives: EPA funds and state voluntary matching funds can cover up to 40% of the cost (labor and equipment) of an eligible idle reduction technology for a locomotive; states and/or eligible third parties are responsible for the mandatory cost-share of at least 60% of the cost of an eligible idle reduction technology for a locomotive. Idle Reduction Technologies on Highway Diesel Vehicles: EPA funds and state voluntary matching funds can cover up to 25% of the cost (labor and equipment) of eligible, verified idle technologies on Class 8 long-haul trucks and school buses without verified engine retrofits; states and/or eligible third parties are responsible for the mandatory cost-share of at least 75% of the cost of eligible, verified idle reduction technologies on Class 8 long-haul trucks and school buses without verified engine retrofits. Marine Shore Power Connection Systems: EPA funds and state voluntary matching funds can cover up to 25% of the cost (labor and equipment) of an eligible shore connection system; states and/or eligible third parties are responsible for the mandatory cost-share of at least 75% of the cost of an eligible shore connection system. Electrified Parking Spaces (EPS): EPA funds and state voluntary matching funds can cover up to 30% of the cost (labor and equipment) of eligible EPS technology; states and/or eligible third parties are responsible for the mandatory cost-share of at least 70% of the cost of eligible EPS technology. Certified Engine Replacement: EPA funds and state voluntary matching funds can cover up to 40% of the cost (labor and equipment) of an eligible diesel or alternative fuel engine replacement. States and/or eligible third parties are responsible for the mandatory cost-share of at least 60% of the cost of an eligible engine replacement. Highway Low-NOx: EPA funds and state voluntary matching funds can cover up to 50% of the cost (labor and equipment) of replacing a highway diesel engine with a 2016 model year or newer engine that is certified to CARBs Optional Low-NOx Standards of 0.1 g/bhp-hr, 0.05 g/bhp-hr, or 0.02 g/bhp-hr NOx. States and/or eligible third parties are responsible for the

mandatory cost-share of at least 50% of the cost of eligible Low-NOx engine replacement. All-Electric: EPA funds and state voluntary matching funds can cover up to 60% of the cost (labor and equipment) of an eligible all-electric engine replacement. States and/or eligible third parties are responsible for the mandatory cost-share of at least 40% of the cost of an eligible all-electric engine replacement. Certified Vehicle/Equipment Replacement: EPA funds and state voluntary matching funds can cover up to 25% of the cost of an eligible replacement vehicle or piece of equipment powered by a 2016 model year or newer certified engine; states and/or eligible third parties are responsible for the mandatory cost-share of at least 75% of the cost of an eligible replacement vehicle or piece of equipment. Highway Low-NOx: EPA funds and state voluntary matching funds can cover up to 35% of the cost of an eligible highway replacement vehicle powered by a 2016 model year or newer engine certified to meet CARBs Optional Low-NOx Standards of 0.1 g/bhp-hr, 0.05 g/bhp-hr, or 0.02 g/bhp-hr NOx. Engines certified to CARBs Optional Low NOx Standards may be found by searching CARBs Executive Orders for Heavy-duty Engines and Vehicles, found at: www.arb.ca.gov/msprog/onroad/cert/cert.php. States and/or eligible third parties are responsible for the mandatory cost-share of at least 65% of the cost of an eligible replacement vehicle. All-Electric: EPA funds and state voluntary matching funds can cover up to 45% of the cost of an eligible all-electric replacement vehicle or equipment. States and/or eligible third parties are responsible for the mandatory cost-share of at least 55% of the cost of an eligible all-electric replacement vehicle or piece of equipment. Drayage Trucks: EPA funds and state voluntary matching funds can cover up to 50% of the cost of an eligible replacement drayage truck powered by a 2013 model year or newer certified engine. States and/or eligible third parties are responsible for the mandatory cost-share of at least 50% of the cost of an eligible replacement drayage vehicle. Clean Alternative Fuel Conversion: EPA funds and state voluntary matching funds can cover up to 40% of the cost (labor and equipment) of an eligible certified or compliant clean alternative fuel conversion. States and/or eligible third parties are responsible for the mandatory cost-share of at least 60% of the cost of an eligible clean alternative fuel conversion. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance agreements funded under this program typically have a two-year project period with the possibility for extension up to a seven-year project period. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Uniform Grants Guidance 2 CFR 200. Recipients must make a public notification listing project information on their State websites within 60 days of a grant, rebate, or loan. Website postings must include the total number and dollar amount of rebates, grants, or loans provided, as well as a breakdown of the technologies funded. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Uniform Grants Guidance 2 CFR 200. 328. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200.329. Frequency will be determined at time of award. Grantees are required to conduct performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.329.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$23,499,998.00; FY 21 est \$24,200,000.00; FY 22 est \$42,000,000.00;

Range and Average of Financial Assistance:

For FY 2021, the range is estimated from \$84,056 (Territory Base Amount) to \$336,226 (State Base Amount, including Puerto Rico and the District of Columbia). In addition, a bonus of 50% of the Base Amount is available to states and territories that match the Base Amount dollar for dollar. The average funding amount for FY 2021, including Base Amount and Bonus, is approximately \$504,339.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021, 52 states and territories participated in the program. Each state will be awarded a cooperative agreement for clean diesel activities. For a list of awarded grants, visit <https://www.epa.gov/dera/state-allocations>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); and 2021 DERA State Grants Program Guide (<https://www.epa.gov/sites/default/files/2021-05/documents/420b21027.pdf>)

Regional or Local Office:

None. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office and the Headquarters Office contact listed below.

Headquarters Office:

Jason Wilcox,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W (Mail Code: 6204M),
Washington DC 20460
Email: wilcox.jason@epa.gov
Phone: 202-343-9541
Fax: 202-343-9571

Website Address:

<https://www.epa.gov/dera/state>

RELATED PROGRAMS:

66.039 Diesel Emission Reduction Act (DERA) National Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 States may use funds under this program to develop and implement grant, rebate and loan programs that are designed to achieve significant reductions in diesel emissions and are appropriate to meet State needs and goals relating to the reduction of diesel emissions. State grant, rebate and loan programs may fund projects such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuels and additives; engine replacement; engine upgrades and remanufacture systems; vehicle or equipment replacement, and alternative fuel conversions. Eligible vehicles, engines and equipment may include: buses (including school buses); Class 5-Class 8 heavy-duty diesel trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production (including stationary engines such as generators or pumps). For a list of awarded grants, visit www.epa.gov/dera/state-allocations.

CRITERIA FOR SELECTING PROPOSALS:

This is a noncompetitive program. Proposals must meet the eligibility requirements set forth in the DERA State Program Guidelines.

66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program

(TIME/LTM).

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103(b)(3), Title National Environmental Policy Act, Section 102(2)(F), Title Clean Water Act, Section 104(b)(3)

OBJECTIVES:

EPA will award interagency and cooperative agreements to study the chemical and ecological response to pollution reduction and emissions control programs. The programs will assist in understanding biogeochemical changes in sulfur, nitrogen, aluminum, and carbon in streams and lakes in relation to changing pollutant emissions and deposition, as well as other factors, such as potential environmental changes (e.g., temperature, precipitation, and storm frequency and intensity). Clean Air Act section 103(b)(3) authorizes EPA to conduct and promote the coordination and acceleration of research, investigations, experiments, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention and control of air pollution. Clean Water Act section 104(b)(2) authorizes EPA to cooperate with other Federal Departments and Agencies to conduct and promote the coordination and acceleration of research investigations, experiments, trainings, demonstrations, surveys and studies relating to the causes, effects, extent, prevention, reduction and elimination of pollution. Funding Priorities - Fiscal Year 2021: The LTM program will support initiatives that demonstrate the potential for tracking and understanding the chemical and ecological response to air pollution reduction programs in acid-sensitive regions of the eastern U.S. Funding will be available for applicants that demonstrate the ability to provide consistent, reliable, quality-assured data from lakes and streams for a variety of surface water chemistry parameters (e.g., pH, sulfate and nitrate concentrations, acid neutralizing capacity, aluminum concentrations, base cations, etc.).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

EPA will implement this grant authority by awarding cooperative agreements to state and local governments, institutions of higher education, and quasi-public and non-profit organizations that demonstrate the capability to perform the sampling, measurement, and parameter characterization of the lakes and streams in this long-term ecological system response program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; intertribal consortia; and individuals. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization as defined in 2 CFR 200.70.

Beneficiary Eligibility:

State; Local; Anyone/general public; State and local governments in acid-sensitive regions of the U.S., institutions of higher education, scientific research community, general public.

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 Subpart E 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally funds assistance agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 7 years. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in 2 CFR Subpart D - Post Federal Award Requirements. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in 2 CFR 200.328, as applicable. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in 2 CFR 200.329, as applicable. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in 2 CFR 200.329, as applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304. 68-0107-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$130,000.00; FY 21 est \$130,000.00; FY 22 est \$130,000.00;

Range and Average of Financial Assistance:

There is no minimum amount of assistance. EPA anticipates annual awards ranging in value of \$100,000 to \$205,000, with an average award of \$130,000.

TAFS Codes:

68-0107. 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Monitoring small headwaters streams in undeveloped watersheds; monitoring of lakes smaller than four hectares with low acid neutralizing capacity levels in acid-sensitive regions; and monitoring of lake and stream sulfate and nitrate concentrations in acid-sensitive regions of the eastern U.S. The LTM program is responsible for one of the longest continuous water quality data records in EPA history and constitutes a unique resource for environmental science. Data collected from these programs are used to characterize how the most sensitive aquatic systems in each region are responding to changing air pollution, as well as giving information on seasonal and episodic chemistry and environmental change. For more information on accomplishments under this assistance listing, please visit: <https://www.epa.gov/airmarkets/long-term-monitoring-temporally-integrated-monitoring-ecosystems>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Scott M Riley, Project Officer,
US Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., (Mail Code: 3204A),

Washington DC 20460

Email: riley.scottm@epa.gov

Phone: 202-564-6716

Jason Lynch,

Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

Washington DC 20460

Email: lynch.jason@epa.gov

Phone: 202-343-9257

Fax:

Website Address:

<https://www.epa.gov/airmarkets/long-term-monitoring-temporally-integrated-monitoring-ecosystems>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Projects consist of monitoring of small headwaters streams in undeveloped watersheds; monitoring of lakes smaller than four hectares with low acid neutralizing capacity levels in acid-sensitive regions; monitoring of lake and stream sulfate and nitrate concentrations in acid-sensitive regions of the eastern U.S.

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed TIME/LTM projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria.

66.110 Healthy Communities Grant Program

Healthy Communities,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103(b)(3), Title Clean Water Act, Section 104(b)(3), Title Safe Drinking Water Act, Section 1442(a) and (c), Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), Public Law 106-74, Title Solid Waste Disposal Act, Section 8001(a), Title Toxic Substances Control Act, Section 10(a), Public Law 106-74, Title Indian Environmental General Assistance Program Act of 1992, Title Comprehensive Environmental Response, Compensation and Liability Act, Section 311(b), Title Comprehensive Environmental Response, Compensation and Liability Act, Section 311(c)

OBJECTIVES:

The Healthy Communities Grant Program is EPA New England's main competitive grant program to work directly with communities to reduce environmental risks, protect and improve human health and improve the quality of life. The Healthy Communities Grant Program will achieve this through identifying and funding projects that: 1) Target resources to benefit communities at risk; 2) Assess, understand, and reduce environmental and human health risks; 3) Increase collaboration through community-based projects; 4) Build institutional and community capacity to understand and solve environmental and human health problems; 5) Achieve measurable environmental and human health benefits; and Advance emergency preparedness and resilience. Funding Priorities - Fiscal Year 2021: a. Clean, Green and Healthy Schools: Projects that focus on creating clean, green and healthy school environments by promoting EPA's State School Environmental Health Guidelines, EPA's Voluntary Guidelines for Selecting Safe School Location and their design, construction, and renovation, EPA's 3Ts for Reducing Lead in Drinking Water in Schools, and/or implementing replicable programs across New England serving children's environmental health at K-12 schools. b. Community and Water Infrastructure Resilience: Projects that provide education, outreach, and training to manage facilities at which hazardous substances are located, advance the emergency preparedness and resilience of communities and water infrastructure through training related to the safe handling and removal of hazardous waste and/or pollution prevention source reduction practices. c. Energy Efficiency: Projects that promote energy efficiency and/or conservation by providing education, outreach, and technical assistance on energy systems, weatherization and/or energy best management practices and policies. d. Healthy Indoor Environments: Projects that focus on reducing and/or preventing childhood lead poisoning through compliance assistance, outreach, and/or education on lead-based paint regulations and/or small drinking water systems, reducing asthma triggers, promoting integrated pest management; promoting recycling, pollution prevention, food waste minimization and/or diversion, and/or renewable energy; reducing childhood exposure to one or more toxins (lead, PCBs, dioxin, mercury, asbestos, pesticides, etc.), promoting comprehensive healthy homes and/or other indoor environments for children or other sensitive populations. e. Healthy Outdoor Environments: Projects that focus on reducing and/or preventing exposure to toxics and pollutants in the air, soil and/or water by addressing the causes, effects, extent, reduction, prevention and/or elimination of pollution in rivers and/or other natural resources. f. Pollution Prevention: Projects that provide technical assistance to businesses and their facilities to help them

develop and adopt source reduction practices. Source reduction means reducing or eliminating pollutants from entering any waste stream or otherwise being released into the environment prior to recycling, treatment or disposal. In addition to reducing pollutants entering the environment, source reduction also includes reducing the use of water, energy and other raw materials.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The statutory authorities for this program restrict the use of assistance agreements to support the following activities: conducting or promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, and elimination of water pollution. Demonstrations must involve new or experimental technologies, methods, or approaches, and it is encouraged that the results of these projects will be disseminated so that others can benefit from the knowledge gained. A project that is accomplished through the performance of routine, traditional, or established practices, or a project that is simply intended to carry out a task rather than transfer information or advance the state of knowledge, however worthwhile the project might be, is not considered a demonstration project. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Assistance under this program is available to State, Local, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, Federally Recognized Indian Tribal Governments, K-12 schools or school districts; and non-profit organizations (e.g. grassroots and/or community-based organizations). Funding will be considered for a college or university to support a project with substantial community involvement. Private businesses, federal agencies, and individuals are not eligible to be grant recipients; however, they are encouraged to work in partnership with eligible applicants on projects. Applicants need not be located within the boundaries of the EPA regional office to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within their Region. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization; Quasi-public nonprofit organization; Anyone/general public; State, Local, Federally Recognized Indian Tribal Governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, anyone/general public.

Credentials/Documentation:

Tribes may be asked to demonstrate that they are federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 2 CFR 200 Subpart E. Non-profit applicants are not required to have a formal Internal Revenue Service (IRS) non-profit designation, such as 501(c)(3) or 501(c)(4); however they must present their letter of incorporation or other documentation demonstrating their non-profit or not-for-profit status. This requirement does not apply to public agencies or Federally Recognized Indian Tribes. Failure to enclose the letter of incorporation or other documentation demonstrating non-profit or not-for-profit status will render the full application submission incomplete and it will not be reviewed. Applicants who have an IRS 501(c)(4) designation are not eligible for grants if they engage in lobbying, no matter what the source of funding for the lobbying activities. For-profit enterprises are not eligible to receive sub-grants from eligible recipients,

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although they may receive contracts, subject to EPA's regulations on procurement under assistance agreements, 2 CFR 200 Subpart E. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as the "Agency Contact(s)" in the competitive announcement. Interested applicants should review information found at:

https://www3.epa.gov/region1/eco/uep/grants_2021hc.html

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. The Healthy Communities Grant Program has a two step process for evaluating competitive applications which is described in the annual Request for Application (RFA). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines for competitive awards will be specified in the annual competitive funding announcement.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicants will generally be notified within 60 days of receipt of submission for funding.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. All competitive grant awards should be prepared and submitted as new projects, which will be evaluated based on criteria specified in the annual competitive funding announcement. No-cost extensions of existing projects may be available.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 5%. Requirement: Matching requirements are mandatory. The grant program requires a match of 5% of the total budget as part of their proposal. Any exceptions will be identified in the annual competitive funding announcement. Award recipients can use contributions from entities other than themselves as a match. However, other Federal money cannot be used as the match for this grant program. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement work plan. Any restrictions on the use of grant funds (e.g., prohibition of land acquisition with grant funds) also apply to the matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance agreements are normally funded on a 12 (annual) or 24 month basis, at the discretion of the applicant. There is no restriction placed on the time permitted to spend the money awarded as long as the money is spent within the budget and project periods of the award specified in the workplan and the budget worksheet in the SF-424, Application for Federal Assistance. Method of awarding/releasing assistance: lump sum.

Reports:

EPA includes reporting requirements in the terms and conditions of the

agreements. Grants and cooperative agreements require quarterly and final progress and expenditure reports; program evaluations and other reports as detailed by the specific terms and conditions of the agreements. Progress reports are required on a quarterly basis. Expenditure reports are required for this program. Reporting requirements shall be determined at the time of grant award

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$350,000.00; FY 21 est \$300,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$20,000 to \$35,000 per award; average \$25,000 per award.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: More information on each participating programs activities, projects, and accomplishments is available at www.epa.gov/region01.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements awarded under the Healthy Communities Grant Program are subject to 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Katie Marrese,
EPA New England, 5 Post Office Square, Suite 100 (OEP06-2),
Boston MA 02109

Email: Marrese.Katie@epa.gov

Phone: 617-918-1658

Fax: 617-918-0658

Website Address:

<https://www3.epa.gov/region1/eco/uep/hcgp.html>

RELATED PROGRAMS:

66.963 Healthy Environmental Living Program (HELP);

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of the types of activities funded under this assistance listing include, but are not limited to: 1) developing, improving upon existing, and/or promoting site specific environmental health assessments, which can be used by schools to determine their environmental health baselines, identify issues of concern, and help schools prioritize which environmental health problems to address and promote utilizing EPA developed guidelines to address environmental issues in siting, designing, construction, renovation, and maintaining schools; 2) providing training to facilities that handle hazardous waste to minimize potential hazards to human health through the use of alternative and innovative technologies; 3) Designing and conducting an education and outreach campaign to promote lead

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safe indoor environments by minimizing risk of lead in the indoor environment, including risk from lead paint, lead dust, and or lead in drinking water; 4) Designing and conducting an education and outreach campaign to train businesses, facilities and/or individuals to promote compliance with the federal lead-based paint regulations; designing and conducting an education and outreach campaign to identify housing at risk for lead and/or other toxins and provide in-home education to families to improve childrens environmental health, such as a reduction of asthma triggers; 5) Targeting reduction of combustion by-products, such as environmental tobacco smoke, wood smoke, and smoke from burning of trash and brush through education and awareness campaigns; 6) Assessing and improving business preparedness and/or provide training to businesses (particularly small businesses) that use hazardous substances to raise awareness about preventing release of hazardous substances and hazardous waste during a flood event.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 320, Title EPA Annual Appropriation Acts,

OBJECTIVES:

Puget Sound has been designated as one of 28 estuaries of National Significance under 320 of the Clean Water Act. The goal of the National Estuary Program is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water. The Puget Sound National Estuary Program's approved Comprehensive Conservation and Management Plan (CCMP) is the Action Agenda. The Action Agenda for Puget Sound charts the course to recovery of our nation's largest estuary it complements and incorporates the work of many partners from around Puget Sound to describe regional strategies and specific actions needed to recover Puget Sound. These strategies and actions provide opportunities for federal, state, local, tribal, and private entities to better invest resources and coordinate actions. The EPA is committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution. The Puget Sound Protection and Restoration: Tribal Implementation Assistance Program is aimed at assisting the Indian Tribes of the greater Puget Sound basin in their efforts to carry out work critically needed for Puget Sound protection and restoration. This work includes activities identified in, or consistent with, the Action Agenda such as efforts called for by established salmon recovery plans in the Puget Sound basin. EPA has a trust responsibility to Federally recognized Indian Tribes. EPA also recognizes that Federally recognized Indian Tribes in the greater Puget Sound basin have a critical role in the protection and restoration of the ecosystem and its resources. EPA seeks to provide financial assistance to these Tribes to help them implement priority strategies and actions in or consistent with the approved Clean Water Act 320 CCMP for Puget Sound. Funding Priorities - Fiscal Year 2021: Tribal Capacity grants (non-competitive) will be continued to support each Tribe and Consortium to participate in the Puget Sound Management Conference, depending on appropriations. Eligible tasks include representing tribal interests in Management Conference forums and processes and other activities like identifying limiting factors to habitat protection and salmon and shellfish recovery. Tribal Implementation Lead grant (competitive) will be continued with incremental FY2021 funding, depending on appropriations, to support eligible subaward work which includes watershed and marine/estuary habitat restoration projects. We anticipate that many of these projects will directly address salmon and shellfish recovery.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Puget Sound funds were appropriated by Congress in conjunction with the Clean Water Act for development and implementation of programs that will improve water quality, air quality and for development and minimize the adverse impacts of rapid development in the Puget Sound basin, including activities linked to habitat restoration projects or controlling sources of nonpoint pollution. The assistance may be used by Tribes to plan for and implement work that is critically needed for Puget Sound restoration and protection. Such work includes priority actions or strategies in or consistent with the approved Section 320 CCMP for Puget Sound including, but not limited to, projects called for by established salmon recovery plans, except where the proposed work is inconsistent with applicable Federal law, regulation or published EPA policy. Additional information on use restrictions, if any, for the Puget Sound Protection and Restoration: Tribal Implementation Assistance Program, will be provided in each Request for Applications published on the EPA Region 10 website and on Grants.gov. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; All federally recognized Indian Tribes located within the greater Puget Sound basin, and any consortium of these eligible Tribes, may apply for funding under the program. The greater Puget Sound basin is defined as all watersheds draining to the U.S. waters of Puget Sound, southern Georgia Basin, and the Strait of Juan de Fuca. An eligible Intertribal consortium is one that demonstrates that: 1) a majority of its members meet the eligibility requirements for this program; 2) all members that meet the eligibility requirements authorize the consortium to apply for and receive the grant; and 3) only members that meet the eligibility requirements will benefit directly from the grant project and the consortium agrees to a grant condition to that effect. Federal and state agencies, institutions of higher learning, units of local government, special purpose districts, conservation districts, watershed planning units organized pursuant to RCW 90.82.040 and 060, local management boards organized pursuant to RCW 90.88.030, salmon recovery lead entities organized pursuant to RCW 77.85.050, regional fisheries enhancement group organized pursuant to RCW 77.95.060 and nongovernmental entities are not eligible to directly receive financial assistance awards under this assistance listing. Business enterprises and individuals or families will also not be eligible applicants. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Anyone/general public; The beneficiary of assistance under this program would be the Federally recognized Indian Tribes or the consortia of these Tribes that receive the assistance. Ultimate beneficiaries would include the tribal members and the general public (due to the general public's interest in restoring and protecting the resources of Puget Sound).

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

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EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to reject all applications and make no awards under any RFA issued under this program. For non-competitive awards made under this assistance listing, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

Each Request for Application (RFA) issued under this assistance listing will specify the deadline for that individual solicitation.

Range of Approval/Disapproval Time:

Other. The Region expects that its review of the applications received in response to competitive announcements will be completed within 120 to 150 days following the deadline for the submission of applications for each Request for Applications (RFA) issued under this program.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. There is a statutory match of 50% of the total project costs for assistance agreements under CWA 320(g)(3)(ii) and CWA Section 320 allows for an aggregate match. For development of the CCMP, the federal share of a grant to any person (including State, interstate, or regional agency or entity) under CWA 320(g)(3)(A)(i), for a fiscal year shall not exceed 75 percent of the annual aggregate costs of development of a CCMP. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance was awarded for FFY2010-2014, in FFY2015 grants were awarded for FFY2015-2020, and in FFY2020 new grants were awarded for FY2021-2025. Funds will be disbursed to assistance recipients in accordance with the terms specified in their respective assistance agreements, and the availability of new appropriations. A new Tribal lead organization cooperative agreement was awarded for FFY2016-2021, and incremental funds will be awarded each year depending the availability of new appropriations. Method of awarding/releasing assistance: other

Reports:

Recipients of this funding will be required to use EPA's Puget Sound Financial and Ecosystem Accounting Tracking System (FEATS), which is the primary mechanism for performance monitoring. Key grant outputs will be tracked on a semi-annual basis through FEATs as well as progress towards project milestones and deliverables. FEATS provides linkages to EPA Puget Sound performance measures and Dashboard Indicators. FEATS allows award recipients to share challenges, solutions, lessons learned, and reflections associated with their work. Expenditure reports will typically be required at the same time intervals as progress reports. The expenditure reports will document expenditures to date, including expenditures of any matching funds, in a manner that allows the report user to confirm that matching requirements, if any, are being met and that all assistance payments (disbursements to assistance recipients) are for costs that have been incurred in compliance with applicable costs principles. Please refer to "Progress Reports".

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$8,825,000.00; FY 21 est \$8,825,000.00; FY 22 est \$8,825,000.00;

Range and Average of Financial Assistance:

\$150,000 - \$160,563 with three Tribes receiving an extra \$50,000 for Puget Sound Ecosystems Coordination Board participation, and new amounts were allocated in FY2020 and FY2021 to \$175,000 with the three Tribes receiving \$225,000. Yearly the Northwest Indian Fisheries Commission receives a larger amount than the standard amount for some overall coordination and communication responsibilities for all 19 eligible tribes. For the Tribal Lead Organization grant, the funding has ranged from \$2.490M to \$5.48M.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Here are some examples from Puget Sound Tribal grantees
**Grantee has been a leader in multi-agency efforts to restore the Elwha River and other streams on the North Olympic Peninsula, as well as a largescale cleanup and restoration effort within Port Angeles Harbor. The Elwha River ecosystem is still in the early re-colonization stage of a 4-phase recovery 1) Preservation, 2) Re-colonization, 3) Local Adaptation, and 4) Viable Natural Population. Ecosystem recovery associated with these large-scale restoration projects will contribute significantly to the recovery of salmonids, forage fish, and shellfish populations in the Strait of Juan de Fuca and greater Puget Sound. The Tribe is observing positive signs of increase in Steelhead trout, Coho, and Chinook salmon stocks. **Clam garden work has been highlighted in a Salish Sea Currents magazine article on Implementation Strategies sponsored by EPA - Puget Sound (<https://www.eopugetsound.org/magazine/IS/clam-garden>). **The Tribe prepared a Climate Vulnerability Assessment report and model for habitat and associated fisheries in the inland waters of northern Washington State (https://swinomish.org/media/102218/fishvulmodel_final.pdf). This information informed preparation of a draft of the Climate Change Adaptation Strategies for Shellfish, which expands on the Tribes Climate Adaptation Plan. One such adaptation strategy is the restoration of clam gardening, an ancient Indigenous aquaculture technique that sustainably promotes native clam populations as well as supports tribal health and wellbeing. The Swinomish Fisheries Department and Community Environmental Health Program decided to initiate a clam garden project, see media coverage: (<https://www.washingtonpost.com/climate-solutions/2020/11/24/native-americans-climate-change-swinomish/?arc404=true>). **Clam garden video was completed <https://www.youtube.com/watch?v=9dDesE4u07U> Draft principles for riparian restoration through new regulatory approaches drafted and shared with state-tribal riparian workgroup. **A Climate Vulnerability Assessment report and model for habitat and associated fisheries in the inland waters of northern Washington State has been prepared (https://swinomish.org/media/102218/fishvulmodel_final.pdf). **The Puget Sound Kelp Recovery Plan (<https://nwstraits.org/media/3020/pugetsoundkelpconservationandrecoveryplan.pdf>) was published. **One Tribe has a long standing and much celebrated Outreach and Education program that includes classroom lessons, field trips, hatchery tours and festival participation. Outreach and education are important components to the Stillaguamish Watershed Chinook Salmon Recovery Plan and the Puget Sound

ASSISTANCE LISTINGS DESCRIPTIONS

Action Agenda. Many of the ongoing recovery projects in the watershed require awareness, support, and involvement from the public. It is critical to work closely with the public and private landowners through outreach and education events. They can assist in identifying and implementing restoration and protection measures that will help improve recovery of Chinook Salmon in the Stillaguamish Watershed. In addition, working in local schools provides the opportunity to educate the future stewards of the Stillaguamish watershed through in-class lessons and service-learning projects, helping to create awareness, caring and protective behavior for this regions natural resources, impacts from climate change and protection of salmon and salmon habitat. **A European Green Crab Early Detection and Monitoring program has been established in the Port Susan Bay of Whidbey Basin in Puget Sound, Washington. The primary objective of this project was to conduct green crab early detection critical to protecting the ecosystems of Port Susan and South Skagit Bay. Tribal staff completed a full season of trap trapping and found no evidence of green crabs. **After years of negotiation, an agreement has been signed to purchase over 900 acres adjacent to the Tribe's reservation, a purchase and sale agreement for development rights on 18.4 acres of the former Port Gamble mill site, which will close in 2021 protecting the shoreline into perpetuity, and a Port Gamble master plan that will move forward to the permitting phase. This was a successful mediation that set the course for future generations of tribal members and residents around Port Gamble Bay to work collaboratively toward protecting resources and the Tribe's cultural way of life, as well as the economic vitality of the area. ** A Tribe and the Puget Sound Salmon Recovery Council (SRC) are working to develop a regional Puget Sound recovery subcommittee to bring in Diversion, Equity, and Inclusion/Environmental Justice/tribal treaty rights into salmon and Puget Sound recovery. While this was originally a task for just the SRC, the Tribe and other subcommittee members will be recruiting additional members from other leadership Boards within the Puget Sound recovery community (Puget Sound Management Conference). Tribes have been involved in the Puget Sound Partnership-led process relating to diversity, equity, and inclusion, but will bring treaty rights elements here and relate them to the Healthy Environment for All (HEAL) Act, recently passed by the Washington Legislature. The subcommittees goal is to: Improve awareness of diversity, equity, and inclusion practices, environmental justice, and tribal treaty rights.

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with local governments, tribal governments and special purpose districts, the procedures and requirements should be in conformance with 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs)

Regional or Local Office:

None.

Headquarters Office:

Peter Murchie, Manager Geographic Programs Section Water Division, Environmental Protection Agency, Region 10 1200 Sixth Avenue, Suite 155, Seattle WA 98101

Email: Murchie.Peter@epa.gov

Phone: 206-553-1148 or 1-800-424-4EPA, extension 31148

Website Address:

<https://www.epa.gov/puget-sound>

RELATED PROGRAMS:

66.456 National Estuary Program;

EXAMPLES OF FUNDED PROJECTS:

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66.123 Puget Sound Action Agenda: Technical

ASSISTANCE LISTINGS DESCRIPTIONS

Investigations and Implementation Assistance Program

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3), Title Clean Water Act, Section 320, Title EPA Annual Appropriations Act,

OBJECTIVES:

Puget Sound has been designated as one of 28 estuaries of National Significance under section 320 of the Clean Water Act. The goal of the National Estuary Program is to attain and maintain water quality in designated estuaries that will assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water. The Puget Sound National Estuary Program's approved Comprehensive Conservation and Management Plan (CCMP), the Action Agenda, has a goal to restore and maintain the Puget Sound Estuary's environment by meeting 2018-2022 ecosystem targets. The Action Agenda for Puget Sound charts the course to recovery of our nation's largest estuary and complements and incorporates the work of many partners from around Puget Sound to describe regional strategies and specific actions needed to recover Puget Sound. These strategies and actions provide opportunities for federal, state, local, tribal, and private entities to better invest resources and coordinate actions. The EPA is committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution. This program has the following main objectives: First, implementing the approved CCMP, the Action Agenda for Puget Sound, is the primary objective of this program. Funds are directed to the highest priority work as articulated in biennial updates to the Action Agenda work plan. The updated biennial work plan identifies specific near term actions to achieve reductions in the harmful impacts on Puget Sound and restore previously damaged aquatic ecosystem functions. A central component of the approved CCMP for Puget Sound is its Biennial Science Work plan which identifies some of the core scientific work that must be completed in order for Action Agenda implementation efforts to succeed. This program is to support implementation of priority near term actions and to support the technical studies and investigations that are needed to help direct implementation priorities. Second, the Scientific Studies and Technical Investigations awards through this program will help support the tracking systems and evaluation approaches for implementation activities. Together with projects aimed at achievement of specific environmental outcomes, the science studies and technical investigations inform adaptive management of the program significantly contributing to the restoration and protection of Puget Sound. Funding Priorities - Fiscal Year 2021: From FFY2017-2021, a greater emphasis on direct implementation of near term actions, including Tribal and local priorities, will be the focus of the updated Action Agenda and the Puget Sound Program. Priority will be given to actions that fall in the three strategic initiatives of the Action Agenda and have been scientifically and technically reviewed for the likelihood of positive results to achieve targeted environmental outcomes. The three strategic initiative areas in the Action Agenda are: habitat protection and restoration for salmon and other Puget Sound aquatic species; water quality improvements to protect and improve shellfish growing areas; and control and prevention of pollution from stormwater. Funding will be continued for these three strategic initiative leads (SILs). As the core support for leadership and stewardship of Puget Sound science, the Puget Sound Action Agenda Implementation Strategies grants, new in FFY2021 will focus on: Award and manage subawards to support the implementation of the Action Agenda with a focus on Implementation Strategies, and maintain Strategic Initiative Advisory Teams (SIATs) to advise in investment-related decision-making; serve as Implementation Strategy Leads for Vital Signs related to the Strategic Initiative and form technical (e.g., Interdisciplinary Teams) and/or policy workgroups to develop, refine, adaptively manage, and operationalize Implementation Strategies, and participate in the Puget Sound Partnership and National Estuary Program (NEP) Management Conference processes and work groups. Proactively coordinate with tribal partners and Local Integrating Organizations (LIOs) in order to accomplish SIL work.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Puget Sound funds are for the development and implementation of programs that will improve water quality, air quality and minimize the adverse impacts of rapid development in the Puget Sound Basin, including activities linked to habitat restoration projects or controlling sources of nonpoint pollution. The assistance can be used to fund technical studies and investigations, as well as protection and restoration activities necessary to achieve environmental outputs and/or outcomes identified in the Puget Sound Action Agenda. The Action Agenda implementation work can either be funded directly or through a Strategic Initiative Lead entity which could then fund subawards for Action Agenda implementation activities. Funding may also be used to develop and carry out implementation strategies that map out steps to achieve progress and outcomes for a particular ecosystem target, management issue, or geographic area. Assistance can be used to finance work identified in the Puget Sound Partnership's Biennial Science Work Plan and can be used to manage, monitor, oversee, or participate in the implementation of the 2018-2022 Action Agenda. Funds can also be used to study, evaluate, model, plan, and prepare for the impacts of climate change on Puget Sound ecosystem protection and restoration activities. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Federal; Intrastate; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federal government agencies and Washington State government agencies are eligible to apply under this program. Public and private institutions of higher education located in the United States are eligible to apply under this program. Units of local government organized under Washington State law and located within the Greater Puget Sound basin are eligible to apply. Also eligible to apply are special purpose districts, as defined by Washington State law at R.C.W. 36.93.020, including but not limited to, irrigation districts, and water and sewer districts that are located in or govern land and water resources within the greater Puget Sound basin. Conservation districts located in or governing land and water resources within the greater Puget Sound Basin are also eligible to apply for assistance under this program. Watershed planning units formed under RCW 90.82.040 and RCW 90.82.060, local management boards organized under RCW 90.88.030, salmon recovery lead entities organized pursuant to RCW 77.85.050, regional fisheries enhancement groups organized pursuant to RCW 77.95.060 and Marine Resource Committees organized pursuant to RCW 36.125.010 and RCW 36.125.020 are eligible to apply if they are located within or their jurisdictions include waters and/or lands within the Greater Puget Sound basin. Intrastate organizations such as associations of cities, counties or conservation districts in the Greater Puget Sound basin are also eligible to apply. Nonprofit nongovernmental entities are also eligible to apply. Federally recognized Indian Tribes located within the greater Puget Sound basin and any consortium of these eligible tribes are also eligible to apply. The greater Puget Sound basin is defined as all watersheds draining to the U.S. waters of Puget Sound, southern Georgia Basin, and the Strait of Juan de Fuca. For profit business entities, private individuals and families are not eligible to apply. However, all of these types of entities could partner with an eligible applicant as a sub-awardee. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/general public; The direct beneficiaries would be the entities receiving the assistance. Due to the fact that the program is designed and intended to assist in the restoration and protection of the Puget Sound estuary, the ultimate beneficiaries will be the residents of the greater Puget Sound region.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contact(s)" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For competitive awards, applicants will be required to submit application materials as described in the Request For Applications. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to reject all applications and make no awards under any announcements issued under this program.

Deadlines:

Each Request for Applications (RFA) issued under this program will specify the deadline information.

Range of Approval/Disapproval Time:

Other. The Region expects that its review of the applications received in response to competitive solicitations will be completed within 120 to 150 calendar days following the deadline for the submission of applications for each RFA under this program.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. There is a statutory match of 50% of the total project costs for implementation project grants under CWA 320(g)(3)(ii) and CWA Section 320 allows for an aggregate match. For development of the CCMP, the federal share of a grant to any person (including State, interstate, or regional agency or entity) under CWA 320(g)(3)(A)(i), for a fiscal year shall not exceed 75 percent of the annual aggregate costs of development of a CCMP. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance will be awarded during FFY 2010-2021. Funds will be disbursed to individual assistance recipients in accordance with the terms specified in the assistance agreement. New funding will be available for FFY2022, depending on congressional appropriations. Method of awarding/releasing assistance: other

Reports:

Recipients of this funding will be required to use EPA's Puget Sound Financial and Ecosystem Accounting Tracking System (FEATS), which is the primary

mechanism for performance monitoring. Key grant outputs will be tracked on a semi-annual basis through FEATS, as well as progress towards project milestones and deliverables. FEATS provides linkages to EPA Puget Sound performance measures and dashboard indicators. FEATS allows award recipients to share challenges, solutions, lessons learned, and reflections associated with their work. Expenditure reports will typically be required at the same time intervals as progress reports. The expenditure reports will document expenditures to date in a manner that allows the report user to confirm that all assistance payments (disbursements to assistance recipients) are for costs that have been incurred in compliance with applicable costs principles and, for assistance agreements in which a matching contribution is required, the reports will also document expenditures of that matching contribution. Refer to Progress Reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$21,487,000.00; FY 21 est \$22,148,700.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Awards for scientific and technical studies range from \$200,000 - \$700,000. Awards for implementation assistance and for managing and monitoring the implementation of the CCMP ranged from \$200,000 to \$6,000,000 each. Funding for the Strategic Initiative leads and the Management Conference Support for Implementation Lead ranged from \$2.490M to \$6.4800M.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For a summary of the accomplishments under this assistance listing, please visit: <https://www.epa.gov/puget-sound/epa-geographic-funding-work-puget-sound-recovery>. [Also refer to "Examples of Funded Projects above].

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with local governments, tribal governments and special purpose districts, the procedures and requirements should be in conformance with 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart P (Financial Assistance for the National Estuary Program).

Regional or Local Office:

None.

Headquarters Office:

Peter Murchie,
Environmental Protection Agency, Region 10, 1200 Sixth Avenue, Suite 155,
Seattle WA 98101

Email: murchie.peter@epa.gov

Phone: 206-553-1148 or 1-800-424-4EPA, ext. 31148

Fax:

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<https://www.epa.gov/puget-sound>

RELATED PROGRAMS:

66.456 National Estuary Program; 66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program ;

EXAMPLES OF FUNDED PROJECTS:

2020 Listed below are some examples of funded projects from the three Strategic Initiative Lead grants, the Puget Sound base grant and the science/implementation strategy grant. **The Hood Canal community upgraded 66 acres of the Hood Canal 6 Shellfish Growing Area around Hoodport. **Skagit County working using Microbial Source Tracking has succeeded in finding and fixing three significant pollution problems in their Pollution Identification and Correction (PIC) areas. A dairy and a small cattle farmer have both located and fixed problems including crushed drainage pipes and repairing and setting back fencing. A septic system that had previously been cleared by a dye test was also confirmed to be a source during high groundwater levels, and the Skagit County Health Department is following up with the owner. After finding dog poop markers at all sites, they have also created a renewed emphasis on cleaning up dog poop in yards in our PoopSmart campaign. **Urban stormwater runoff has become the foremost water quality threat to aquatic habitats in Puget Sound. Human population growth continues to drive development and land conversion in coastal watersheds. Increased development reduces opportunity for water to filter through vegetation and soils, increasing the loading of toxic chemicals in stormwater runoff and into Puget Sound. This can have extensive negative impacts on the health and survival of salmon, as well as the levels of contaminants in both freshwater and marine food webs. EPA-supported collaborative research on stormwater and toxics reduction strategies have shown that: Motor vehicles are major sources of toxic contaminants in roadway runoff routinely discharged to streams, rivers, lakes, and nearshore marine habitats; There are thousands of distinct chemicals in urban stormwater, and the toxicological impacts of most remain poorly understood; Coho salmon are sensitive to untreated stormwater, which consistently causes mass mortality events that vary in severity across a gradient of urbanization in Puget Sound; The urban mortality syndrome poses a threat to other threatened salmonid species, including Puget Sound steelhead; Toxic threats to aquatic habitats scale in proportion to pavement and other impervious surfaces within large watersheds (e.g., the Snohomish River Basin), a basis for prioritizing green infrastructure mitigation efforts; Common petroleum-derived compounds in stormwater are also found in crude oil (e.g., the 1989 Exxon Valdez spill) and cause nearly identical developmental defects in the embryos of herring and other shore-spawning marine forage fish; Conventional green infrastructure methods involving bio-infiltration effectively remove pollutants and reduce or eliminate toxic impacts to salmon, forage fish, and invertebrates. **Washington State Dairy Nutrient Management Program: 12 Best Management Practices (BMPs) implemented after technical assistance and noted at inspection. 3 BMP's implemented after some type of regulatory action such as warning letters. 8 referrals to local conservation district to assist with BMP's or financial assistance. ** Skagit Public Works Pollution Identification and Correction (PIC): There has been a major success with a small commercial livestock producer during 2020. After water quality samples showed high level of fecal coliform last year, a commercial cattle ranch worked with Skagit Conservation District to fix the impairment identified. Follow up sampling shows that the fix has reduced runoff to almost nothing. It was a quick turnaround time from issue identified to issued corrected. **WSU Pasture Management: Held two virtual workshop series to address pasture and livestock management practices, reaching 747 participants. Workshops were recorded and will be made publicly available for ongoing outreach. **The Toxics in Fish Implementation Strategy Narrative outlines a recovery plan that is focused on reducing the levels and impacts of contaminants on Puget Sound aquatic life and the people who consume them. It includes a series of strategies that will prevent, mitigate, and cleanup toxics in aquatic life throughout Puget Sound. This will be the Puget Sound community's roadmap to recovery its the regional plan to get toxics out of aquatic food webs. **With the guidance of the advisory team, the Stormwater Strategic Initiative Lead has also been able to explore developing a cross-cutting strategy to address various threats from transportation--including road runoff, transportation planning and land use, and retrofitting for water quality and fish passage. **The Stormwater Strategic Initiative Lead has written blog posts, and to communicate our work in a way that helps make the Implementation Strategies more accessible and approachable. **The Habitat Implementation Strategies Update Workplan for 2020-2021 was successfully implemented to develop a draft update of each

Implementation Strategy narrative. **With the awardees Communications Lead's efforts, the Habitat Strategic Initiative Lead blog has increased the frequency of communications and the standardization of the work. They hosted several close-out webinars for subaward grants including the Shoreline Residential Revolving Loan Fund Feasibility Study and the Model Volunteer Program for Oil Spill Response / Assessment. **The Habitat Strategic Initiative Lead has been exploring a Shared Investment Pilot to leverage investments in shared regional and local priority activities. They have been actively engaged in 2022-2026 Action Agenda development. **Puget Sound agencies report on 52 Puget Sound Vital Sign indicators. 31 of which are associated with 2020 ecosystem recovery targets. Each indicator is evaluated for progress (change relative to a point back in time) and status (whether or not they meet their 2020 targets). **On April 6, 2021, the PS Leadership Council approved a set of desired outcomes for the 2022-2026 Action Agenda. **The Salish Sea Ecosystem Conference brings together scientists, First Nations and tribal government representatives, resource managers, community and business leaders, knowledge holders and policy makers. The 2020 conference was held virtually. The conference has become the premier scientific research and policy gathering in the Pacific Northwest. The presentations and discussions that occur at the Salish Sea Ecosystem Conference are a platform to build shared policies, practices, and procedures necessary to guide future actions for protecting and restoring the Salish Sea and its watersheds. The outcome is improved scientific collaboration, data sharing and dynamic discussions and on the state of the Salish Sea ecosystem. **The State of Knowledge Report and the Base Program Analysis for the B-IBI Implementation Strategy were completed. **The Toxics in Fish research and monitoring priorities have been incorporated into the Implementation Strategy. **The Human Dimensions protocol has been adaptively managed, which includes updates, revisions, connections to Human Well Being Vital Sign data and academic literature, and a redesign. Protocol content has been integrated into Implementation Strategies, specifically Land Development and Cover and Marine Water Quality. Major success was co-creation of many Implementation Strategy social science products. **Five magazine-style articles about science-related findings from the 2020 Salish Sea Ecosystem Conference have been completed. Four newsletters were published (12/19/20, 4/17/20, 6/30/20 and 9/28/20) that described the University of Washington Puget Sound Institute and other Puget Sound-recovery-related events and information. The Toxics in Fish Implementation Strategy fact sheet was completed. Ongoing, regular blogs and social media posts were completed. Ten magazine-style features and 10 shorter articles about Implementation Strategies and Vital Signs were completed. The Encyclopedia of Puget Sound has continually been updated and provides an indexed and searchable place for technical and supporting documents. An updated communication strategy of Implementation Strategy showing targeted audiences, timelines, and distribution channels has been completed. **A Critical Analysis focused on refining an indicator for the Summer Stream Flows Vital Sign, was completed. A Critical Analysis focused on an evaluation of Stormwater Utility Fees in the Puget Sound watershed was completed.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be published in each announcement of a competitive funding opportunity (e.g., the Request for Applications or RFA).

66.124 Coastal Wetlands Planning Protection and Restoration Act

CWPPRA also known as The Breaux Act,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3)

OBJECTIVES:

The EPA Region 6 Coastal Wetlands Planning, Protection and Restoration Act (CWPPRA) program assist federal, state, and local partners to develop, design, and build Louisiana coastal restoration projects. Since 1990, EPA has sponsored the engineering, design, and construction of projects benefiting some 13,000 acres of restored or protected wetlands, marshes, headlands, and barrier islands. Funding

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Priorities - Fiscal Year 2021: Funds will continue to be used to protect, restore and enhance coastal wetlands ecosystems through strategic "natural" reintroduction of river water, barrier island/shoreline restoration and application of innovative technologies such as pipeline delivery of new sediment.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds are to be used to protect, restore and enhance coastal wetlands ecosystems through strategic "natural" reintroduction of river water, barrier island/shoreline restoration and application of innovative technologies such as pipeline delivery of new sediment.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Eligible applicants for assistance include State and local governments, including their universities and colleges.

Beneficiary Eligibility:

State; Local; State and local governments, including their universities and colleges involved in administering coastal wetlands protection, restoration and/or management programs or programs related to or that complement coastal wetlands protection programs.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov.

Award Procedure:

Applications are evaluated by the appropriate EPA office and are subject to review for technical merit, soundness of design, and reasonableness of proposed costs. Approved projects are awarded by the Regional Administrator.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Grants are usually approved within five months of receipt of a complete application.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 15%. Requirement: Matching requirements are mandatory. CWPPRA projects require a 15 percent match of the State or local government of which 5% must be submitted in cash to the U.S. Army Corps of Engineers, New Orleans District. These funds are put in an escrow account for the project. Colleges or Universities awarded a grant in support of a CWPPRA project require a 5%

match.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

The awardee will submit semi-annual progress/performance reports to the EPA Project Officer. The reports will cover work status, work progress, difficulties encountered, preliminary data results and a statement of activity anticipated during the subsequent reporting period. The recipient will be required to submit semi-annual expenditure reports to the EPA Project Officer. Performance reports must adequately address progress in achieving agreed-upon project/program outputs and environmental outcomes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$29,000,000.00; FY 21 est \$2,947,262.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$100,000 to \$40,000,000. If a Phase I (E&D) project is selected for funding, the estimated amount could be from \$1-\$6 million. If it is a Phase II (construction) project, the estimate could be up to \$40 million.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/la/water-louisiana> AND <https://www.lacoast.gov/new/Default.aspx>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Sondra McDonald,
USEPA Region 6, WDAT, 1201 Elm Street,
Dallas TX 75270
Email: mcdonald.sondra@epa.gov

Phone: 214- 665-7187

Fax: 214-665-6490

Website Address:

<https://www.epa.gov/la/water-louisiana>

RELATED PROGRAMS:

66.456 National Estuary Program; 66.475 Gulf of Mexico Program;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

2021 Phase I, Engineering and Design of the Reggio Marsh Creation and Hydrologic Restoration BS-43 Project. Total award amount estimated at \$2,947,262. For more information on funded projects, please visit: <https://www.epa.gov/la/water-louisiana>.

CRITERIA FOR SELECTING PROPOSALS:

CWPPRA projects to be funded are selected through an annual, publicly-vetted process and ultimately approved by a multi-agency Task Force.

66.125 Lake Pontchartrain Basin Restoration Program (PRP)

Lake Pontchartrain Restoration Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 121, Title Clean Water Act, Section 320

OBJECTIVES:

The purpose of this program is to restore the ecological health of the Lake Pontchartrain Basin by developing and funding restoration projects and related scientific and public education projects. Funding Priorities - Fiscal Year 2021: The program will continue to work to restore the ecological health of the Lake Pontchartrain Basin. EPA will invest funds for the Lake Pontchartrain Basin Program for the following type of activities: Completing plans and studies as identified in the Lake Pontchartrain Basin Program Comprehensive Management Plan (LPBCMP) which supports the following goals: Planning and design of consolidated wastewater treatment systems, which supports sustainable infrastructure goals; Repair and replacement studies to improve existing wastewater systems; and Investigation and design of storm water management systems. Conducting outreach and public education projects that address the goals of the LPBCMP, such as: Improving the management of animal waste lagoons by educating and assisting the agricultural community on lagoon maintenance techniques; Protecting and restoring critical habitats and encouraging sustainable growth by providing information and guidance on habitat protection and green development techniques; and Reducing pollution at its source.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The Lake Pontchartrain Basin Restoration program goals are to maintain, protect and restore the water quality of the Lake Pontchartrain Basin. The Administrator may make grants (1) for restoration projects and studies recommended by a management conference convened for the basin under section 320, and (2) for public education projects recommended by the management conference. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); The grants for this program are awarded to the Management Conference, also known as the PRP, for restoration projects, studies and public education projects. Eligible sub-grantees for this program include the Parishes and Cities within the 16 parish area of the Lake Pontchartrain Basin Watershed and the Lake Pontchartrain Basin Foundation. Local, nonprofits (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals).

Beneficiary Eligibility:

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Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This is a non-competitive grant program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are evaluated by the appropriate EPA office and are subject to review for technical merit, soundness of design, and reasonableness of proposed costs. Approved projects are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Grants are awarded within two months of receipt of a complete application.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. 25% of project costs for awards made in or after FY2011 (5% for earlier awards). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and inventory reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. The recipient will be required to submit quarterly program reports. The recipient will be required to submit quarterly progress reports documenting the status of projects. The recipient will include expenditure reports in the quarterly reports submitted to the EPA Region 6 Project Officer documenting the expenditures of funds. Region 6 will conduct an annual program review.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,400,000.00; FY 21 est \$1,899,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The range of funding from previous projects were as follows: 1) For thirteen (13) projects from \$4,000 to \$461,774, the average including all thirteen (13) projects was \$89,459. 2) For fifteen (15) projects from \$20,000 to \$300,000, the average including all fifteen (15) projects was \$62,698. For fourteen (14) projects from \$25,000 to \$327,680, the average including all fourteen (14) projects was \$47,500.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For accomplishments associated with this assistance listing, please visit: <http://www2.epa.gov/aboutepa/epa-region-6-south-central>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Melissa Benfer,
USEPA Region 6 , 1201 Elm Street, Suite #500,
Dallas TX 75270
Email: Benfer.Melissa@epa.gov
Phone: 214-665-8423
Fax:

Website Address:

<https://www.epa.gov/aboutepa/epa-region-6-south-central>

RELATED PROGRAMS:

66.456 National Estuary Program; 66.481 Lake Champlain Basin Program; 66.475 Gulf of Mexico Program; 66.437 Long Island Sound Program ;

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of the types of projects funded under this assistance listing include, but are not limited to: Plans and Design for Lift Station Rehabilitation; Sanitary Sewer Evaluation for inflow & infiltration and development of plans and specifications; Emergency Power for Main 2 Lift Stations; Green Infrastructure/Low Impact Development Program; Effluent Pump Station Control Panel, Level Controls, Processor and SCADA Replacement; Sanitary Sewer Study and Project Design for a city; Ecosystem Restoration and Preservation Program; Prepare Guidance on Industrial and High Risk Facility Inspection for Stormwater Pollution Prevention; Tchfunctce River Lighthouse Habitat Restoration and Shoreline Protection for Education Outreach; Extension of existing sewerage collection system; Close out the two existing sewage treatment lagoons, convert the north one to commercial real estate and the south one to a cypress-tupelo swamp recreation area; Preliminary financing and design for an additional sewage treatment plant adjacent to the existing plant; Bucktown Harbor Boardwalk and Marsh Overlook; Pollution Source Tracking for Water Quality in the Bayou Liberty Watershed; And Sewer Pump Station Bypass Valve Retrofit.

CRITERIA FOR SELECTING PROPOSALS:

PRP projects to be funded are selected through an annual, publicly-vetted process with the selection of projects made by the PRP Executive committee and ultimately approved by an internal regional EPA review.

66.126 The San Francisco Bay Water Quality Improvement Fund

SF Bay Grant Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 320

OBJECTIVES:

The goals of the SF Bay grant program are to improve water quality and restore aquatic habitat (i.e. wetlands) in the San Francisco Bay and its watersheds. Funded projects will reduce polluted run-off, restore impaired waters and enhance aquatic habitat. Achieving significant environmental results related to wetlands restoration and water quality improvements is an overall program priority. Funding Priorities for Fiscal Year 2021 are the same as in all previous fiscal years, and include the protection and restoration of water quality in San Francisco Bay and its watersheds. In addition, priorities include projects that "...benefit underserved communities (e.g. economically disadvantaged communities and other populations that experience disproportionate environmental harm and health risks as a result of greater vulnerability to environmental hazards) by increasing natural habitat and "greener" infrastructure in those areas."

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects must demonstrate consistency with the San Francisco Estuary Partnership's Comprehensive Conservation and Management Plan (CCMP). Funded projects from eligible entities will improve water quality and restore aquatic habitat (i.e. wetlands) in San Francisco Bay and its watersheds. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Other public institution/organization; Quasi-public nonprofit institution/organization; Eligible applicants: State, local government agencies, districts, and councils; regional water pollution control agencies and entities; State coastal zone management agencies; and public and private universities and colleges, public or private non-governmental, non-profit institutions are eligible to apply, unless restricted by the authorizing statutes. Non-profit organizations must have documentation of non-profit status from the U.S. Internal Revenue Service or their state of incorporation, except that non-profits organizations as defined in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying as defined in Section 3 of the Lobbying Disclosure Act of 1995 or superseding legislation are ineligible. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/general public; The outcomes from the SF Bay grant program will ultimately benefit the urban resident and business populations of the nine county San Francisco Bay Area, as well as the State of California in general.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for

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assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For competitive awards, the Requests for Applications (RFA) will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.grants.gov). Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

The SF Bay grant program has historically required the grants be awarded on a competitive basis (a modification of the Clean Water Section 320 grant requirements). EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days from receipt of application, through selection and notification, and development and approval of work plan, to award of funds.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to [EPAs] priorities, and availability of funds. Awardees may apply for no-cost time extensions with written justification during the lifetime of the grant.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. In FY13, the SF Bay grant program began requiring the CWA Section 320 match requirement of 50%. For future appropriations, the CWA section 320 match of 50% stands unless modified by appropriations act language. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance agreements generally fund projects for 4 years with a maximum project period of seven years. Method of awarding/releasing assistance: other

Reports:

EPA may include reporting requirements in the terms and conditions of the assistance agreements, which may require quarterly, semi-annual, interim, and final performance reports, and financial, equipment, and inventory reports. Quarterly and/or semi-annual progress reports are expected to be required for large-scale projects of long duration sought by this grant fund. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable.

Final project reports are required under this program. Expenditure Reports by the grantee are required to submit for reimbursement. Performance monitoring will be required in the form of grantee reports on outputs and outcomes of their funded projects, usually as part of their quarterly or semi-annual progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$5,900,000.00; FY 21 est \$5,900,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Since the beginning of the SF Bay grant program in 2008, projects have been funded from a low of \$200,000 to a high of \$5,000,000. In the last few years, the funding range has shifted to approximately \$800,000 to \$2mil. The average award is now at about \$1 million each.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Five additional projects were selected for award under the FY20 Request for Applications. These awards were fully funded. For more information on accomplishments under this assistance listing, please visit: <https://www.epa.gov/sfbay-delta/sf-bay-water-quality-improvement-fund-progress-reports>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Luisa Valiela, Program Lead,
USEPA Region 9, 75 Hawthorne Street (WTR-2-2),
San Francisco CA 94105
Email: valiela.luisa@epa.gov
Phone: (415) 972-3400
Erica Yelensky, Outreach Coordinator,
USEPA Region 9, 75 Hawthorne Street (WTR-2-2),
San Francisco CA 94105
Email: yelensky.eric@epa.gov
Phone: (415) 972-3021
Fax:

Website Address:

<https://www.epa.gov/sfbay-delta/san-francisco-bay-water-quality-improvement-fund>

RELATED PROGRAMS:

Not Applicable.

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EXAMPLES OF FUNDED PROJECTS:

2021 Ten new projects were awarded using a combination of FY20 and FY21 funds. FY20 projects include urban creek restoration, baylands mapping, expanding green stormwater infrastructure, erosion control along the Petaluma River, and resilient shoreline park and restoration effort in Burlingame. FY21 projects include regional planning for resilient and multi-benefit nature based shorelines, stormwater treatment in Palo Alto, Coyote Hills restoration, subtidal remediation and restoration of a wharf area in Richmond, and a reduction of trash and encampments in San Jose creeks.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.129 Southeast New England Coastal Watershed Restoration Program

SNEP,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title EPA's Annual Appropriations Acts, Title Clean Water Act, Section 104(b)(3), Title Clean Water Act, Section 320

OBJECTIVES:

The Southeast New England Program (SNEP) is a geographically-based program intended to serve as a collaborative framework for advancing ecosystem resiliency, protecting and restoring water quality, habitat, and ecosystem function, and developing and applying innovative policy, science, and technology to environmental management in southeast coastal New England (eligibility map is available at www.epa.gov/region1/snecwrp and will be included in competitive funding announcements). A critical aspect of this framework is the integration of physical processes, water quality, and critical habitat at a regional, watershed, and/or landscape scale. Additional background information on SNEP can be found at the following website: www.epa.gov/region1/snecwrp. EPA intends to fund projects, either directly or via a pass-through organization, that address SNEP priorities through projects, networks, and/or partnerships among governmental and community resource managers, technology, scientific, and policy organizations, nongovernmental organizations (NGOs), tribes, and other groups. A major focus is to build program capacity for environmental management, including developing and establishing robust institutional, monitoring, information, and technology frameworks that can offer more effective, transferable, and sustainable paths to restoring and protecting the southeast New England coastal watersheds. Through competitive funding announcements, EPA will outline specific program priorities and eligible activities in areas such as environmental, ecological, and/or habitat restoration planning and construction; ordinance adoption and implementation; technology and policy development, testing, and adoption; financing; monitoring, including methods, equipment, data analysis and interpretation; public understanding and engagement; technical training in new approaches; information sharing; and targeted/applied research. EPA may seek to fund this work directly or through an eligible pass-through organization and will provide project examples and expectations in specific Request for Applications (RFAs). Funding Priority - Fiscal Year 2021 - This program seeks to restore improve water quality, habitat health and resilience in the SNEP region by building capacity of local communities, tribes and organizations and by providing grants to support planning, demonstration, design and implementation of best management practices, monitoring and evaluation of innovative approaches and development of new technologies. SNEP funding is provided to support technical assistance and implementation grants through the SNEP Network, Buzzards Bay National Estuary Program, Narragansett Bay Estuary Program, SNEP Watershed Implementation Grants and/or a SNEP Pilot Watersheds Initiative.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable

direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. The funds will support projects that prevent, reduce, and eliminate water pollution through partnership and innovation; that promote watershed solutions; and that encourage resilience to the impacts of climate change. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Assistance under SNEP is available to state, local, territorial, and Tribal governments; institutions of higher education; nonprofit institutions and organizations; intertribal consortia; and interstate agencies. Private businesses, federal agencies, and individuals are not eligible to be grant recipients; however, they are encouraged to work in partnership with eligible applicants on projects. Applicants are not limited to the geographic area of southeastern coastal New England, however, those applying from outside the specified region must carry out their projects and have at least one local partner from within the geographic area specified in the competitive funding announcement. Organizations must be capable of undertaking and managing activities that advance SNEP priorities, including managing potentially complex fiscal and administrative requirements. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply; the term interstate agency is defined in Clean Water Act Section 502 as an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator. Intertribal consortia must meet the requirements of 40 CFR Section 35.504. For certain competitive funding opportunities under this assistance listing description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Nonprofit applicants may be asked to provide documentation that they meet the definition of a nonprofit organization in 2 CFR Section 200.70. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. Tribes may be asked to demonstrate that they are federally recognized. Applicants who have an IRS 501(c)(4) designation are not eligible for grants if they engage in lobbying, no matter what the source of funding for the lobbying activities. For-profit enterprises are not eligible to receive sub-grants from eligible recipients, although they may receive contracts, subject to the procurement regulations found at 2 CFR Part 200.2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities

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subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as the "Agency Contact(s)" the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. EPA awards grants and cooperative agreements based on the competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of the success of the projects. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies and federal regulations for competing assistance agreements.

Deadlines:

Deadline information is provided in the competitive announcement.

Range of Approval/Disapproval Time:

From 120 to 180 days. Up to seven (7) months from the closing date of the competitive announcement.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR Part 1500, Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. While there is no statutory or regulatory cost sharing requirement for this program, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions. If the Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement. The applicant must also demonstrate how they will leverage resources during grant performance. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in 2 CFR Part 200 and 1500, as applicable. Reporting requirements are identified in the award. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in 2 CFR Part 200, as applicable. Program reports are required under this program. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Reporting requirements are identified in the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,300,000.00; FY 21 est \$4,300,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Competitive announcements are released as needed and typically include a 5-6 year timeline with total funding ranging from \$6,000,000 to \$12,000,000 over the project period. Annual incremental awards typically range from \$1,750,000 to \$2,500,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For accomplishments associated with this assistance listing, please visit: <https://estuaries.org/snepgrantprogram/2020-grants/> AND <https://www.epa.gov/snep>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Ian Dombroski,
U.S. EPA Region 1, 5 Post Office Square, Suite 100,
Boston MA 02109
Email: dombroski.ian@epa.gov
Phone: 617-918-1342; Toll Free: 888-372-7341
Fax: TTY: 617-918-2028

Website Address:

<https://www.epa.gov/snep>

RELATED PROGRAMS:

66.110 Healthy Communities Grant Program; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.440 Urban Waters Small Grants; 66.456 National Estuary Program; 66.472 Beach Monitoring and Notification Program Implementation Grants; 66.437 Long Island Sound Program ; 66.203 Environmental Finance Center Grants; 66.481 Lake Champlain Basin Program;
EXAMPLES OF FUNDED PROJECTS:

2021 Examples of the types of activities that may be performed by the grant recipient may include, but are not limited to: 1) Consulting with EPA and the SNEP Steering Committee to develop funding priorities for the annual SWIG Requests for Proposals (RFPs); 2) Conducting a robust and transparent process for soliciting subaward proposals, including: working with EPA and SNEP committees to prepare, advertise, and manage annual RFPs that address the priorities identified in the RFA; that support the coastal watershed restoration goals defined in SNEPs Strategic Plan; that respond to input from EPA and the SNEP Steering Committee; that consider project type, geographic distribution across SNEP boundaries, and the

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needs of underserved communities; and that include transparent review criteria and clear, simplified processes for submitting proposals and evaluating and selecting subaward proposals; 3) Convening and organizing expert panels to review proposals and advise on selections; 4) Awarding and managing subawards, including ensuring compliance by subawardees with all fiscal, administrative, programmatic, and reporting requirements; 5) Working with SNEP partners and grantees to provide technical support to subawardees by coordinating outreach, training in quality assurance project plan (QAPP) development, data collection and reporting, project metrics and measures, information resources, and peer-to-peer tech transfer, including convening at least one annual regional meeting for subawardees and SNEP partners to update and learn from each other; 6) Reporting periodically to EPA and SNEP committees and subcommittees on the outputs and environmental outcomes of projects implemented through the subawards, including participating as requested on SNEP committees and subcommittees, and reporting qualitative and quantitative measures as described in the SNEP Strategic Plan, in Section I.D and Appendix D of this announcement, and in the terms and conditions of the cooperative agreement; 7) Developing and executing a strategy to promote, grow, and sustain EPAs SWIG program by facilitating and mentoring participants to develop and engage in sustained partnering and implementation efforts, as well as to undertake innovative approaches; 8) Communicating and transferring the successes and lessons learned of the subaward projects through vigorous outreach via traditional and new channels; and 9) Expanding the presence and visibility of SNEP and the SNEP brand throughout the SNEP region through clearly promoting the SWIG as an EPA-funded component of SNEP.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.130 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component

EPA's RESTORE Council Component,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Public Law 112-141 (Restore Act), 33 USC Code 1321(t)(2).

OBJECTIVES:

The primary objective of this program is to disburse funds to eligible entities according to the funded priorities list approved by the Gulf Coast Ecosystem Restoration Council. The Council enters into Interagency Agreements with EPA to expend funds to carry out those Comprehensive Plan projects and programs that have been assigned to EPA. Projects funded through this component achieve one or more of the seven objectives listed in the Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan: (1) Restore, Enhance and Protect Habitats, (2) Restore, Improve, and Protect Water Resources, (3) Protect and Restore Living Coastal and Marine Resources, (4) Restore and Enhance Natural Processes and Shorelines, (5) Promote Community Resilience, (6) Promote Natural Resource Stewardship and Environmental Education, and (7) Improve Science-Based Decision-Making Processes. Projects funded through this component also achieve one or more of the four EPA Gulf of Mexico Division priorities: 1) Restore, protect and enhance habitat, 2) Improve water quality, 3) Enhance community resilience, and 4) Further environmental education.

https://www.restorethegulf.gov/sites/default/files/Final_FPL%203a_Final_Perdido_EC_508_3_2_2020.pdf. Funding Priorities - Fiscal Year 2021: RESTORE-funded EPA-assigned Comprehensive Plan projects and programs (Gulf of Mexico Conservation Enhancement Grants Program) that were included in the Funded Priorities List (FPL) that will deliver significant results to achieve the Councils environmental outcomes of enhancing land protection and conservation in priority landscapes of the Gulf region, and improving habitats and water quality across the Gulf region.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The primary objective of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science. All projects, programs, and activities must be eligible activities pursuant to clauses (i) and (ii) of 33 U.S.C. 1321(t)(1)(B) and must be listed on the Council's approved Funded Priorities List (FPL) (https://www.restorethegulf.gov/sites/default/files/Final_FPL%203a_Final_Perdido_EC_508_3_2_2020.pdf) in order to be eligible for funding. The RESTORE Act, at 33 U.S.C. section 1321(t)(2)(E)(i), provides that the RESTORE Council, "acting through" the federal and state Council members, will expend funds to carry out Comprehensive Plan projects and programs; and at (2)(E)(ii)(I) provides that primary authority and responsibility for those programs "shall be assigned" by the RESTORE Council to the state members or federal agencies. The Council enters into Interagency Agreements with EPA to expend funds to carry out those Comprehensive Plan projects and programs that have been assigned to EPA. Awards will be made noncompetitively or competitively based on the authority for the award and EPA procedures. Competitive awards will be made in accordance with EPA policies and procedures for competitive awards. Projects must be listed in the Funded Priorities List Addendum to the Initial Comprehensive Plan in order to be eligible for funding. Use of these funds must be in accordance with projects on the funded priorities list with the US Environmental Protection Agency listed as the Responsible Council Member. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Funds are available to State and local governments, Tribes, colleges and universities, and other public or nonprofit organizations. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Funded Priorities List and Agency policy.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization; State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Pre-application coordination is required. Whenever competition is required for the FPL project/program assigned to EPA, EPA will generally specify the nature of the pre-application coordination in the competitive announcement. For additional information, contact the individual(s) listed as the Agency Contact(s) in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

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Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awards will be made noncompetitively or competitively based on the authority for the award and EPA procedures. For projects on the funded priorities list identified as a competitive award, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications (RFA).

Range of Approval/Disapproval Time:

Other. Approximately 90-180 days following the application deadline as addressed in the competitive funding announcement.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. A standard grant application should be prepared and submitted electronically as a new grant using Grants.gov, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to [EPAs] priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. This program has no matching requirements, except when the specific FPL project/program stipulates there is a matching requirement (e.g. Gulf of Mexico Conservation Enhancement Grants Program). In those instances, the specific FPL project/program and funding opportunity (if applicable) will provide the details of the matching requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at the time of award. Assistance agreements are typically three years. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the regulations at 2 CFR 200 and 1500, as applicable. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$4,150,000.00; FY 21 est \$4,150,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Projects funded are consistent with the amounts listed in the Gulf Coast Ecosystem Restoration CouncilFunded Priorities List. Please visit:

https://www.restorethegulf.gov/sites/default/files/Final_FPL%203a_Final_Perdidoc_EC_508_3_2_2020.pdf

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: EPA continues to carry out RESTORE-funded and assigned Comprehensive Plan projects and programs included in the Funded Priorities List (FPL). These projects and programs will deliver significant results to achieve the Councils environmental outcomes across the Gulf coast region by 1) enhancing land protection and conservation in priority landscapes; and 2) improving habitats and water quality. EPA issued a cooperative agreement for the Mobile Bay NEP-Implementation Project. Please visit:

https://www.restorethegulf.gov/sites/default/files/Final_FPL%203a_Final_Perdidoc_EC_508_3_2_2020.pdf.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Rachel Houge,
EPA/Gulf of Mexico Program, 2510 14th Street, Suite 1212,
Gulfport MS 39501
Email: houge.rachel@epa.gov
Phone: 228-304-7441
Fax: 228-679-5921

Website Address:

<https://restorethegulf.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Gulf of Mexico Conservation Enhancement Grant Program (GMCEGP): a funding assistance opportunity to enhance private/public partnerships that support land protection and conservation across the Gulf Coast region. The competitive grant selection process was completed in FY2018 and the funding will be issued in FY2021 following completion of RESTORE Council Interagency (IA) Funding process). To see the types of projects recently funded under this program, please visit: <https://restorethegulf.gov/>

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.202 Congressionally Mandated Projects

CONGRESSIONAL EARMARKS,

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title EPA's Annual Appropriation Acts,

OBJECTIVES:

To implement special Congressionally directed projects or programs identified in EPA's annual appropriations act, committee reports incorporated by reference into the annual appropriation act, and other statutes mandating that EPA provide financial assistance agreements to designated recipients for projects or programs. These assistance agreements support special projects earmarked by Congress for specific recipients. The projects may be associated with: (1) various environmental requirements (e.g., wastewater treatment, drinking water treatment); (2) identifying, developing, and/or demonstrating necessary pollution control technologies and techniques to prevent, reduce, and eliminate pollution; or (3) evaluating the economic and social consequences of alternative environmental pollution strategies, technologies, or mechanisms for use by those in economic, social, governmental, and environmental management positions. Funding priorities are to award these assistance agreements to the designated recipients as quickly as possible within statutory and regulatory requirements.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support specific projects or programs specified by Congress for designated recipients. Allowable direct and indirect costs are included, in accordance with established EPA policies and requirements for assistance agreements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are specified in the statute authorizing the earmark. Examples of recipients that may receive assistance under this program include local, state, intrastate, interstate, U.S. territories or possessions, public or nonprofit institutions/organizations, public/private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, institutions of higher education, Federally Recognized Indian Tribal Governments, Native American Organizations, and international organizations.

Beneficiary Eligibility:

Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Native American Organizations; Private nonprofit institution/organization; Quasi-public nonprofit organization; Beneficiaries vary with the project Congress has directed EPA to fund. For example, an earmark for a wastewater treatment plant or sewer system would benefit the community in which the project is constructed. An earmark for a training project would benefit the individuals receiving the training under the assistance agreement.

Credentials/Documentation:

EPA may ask principle investigators for earmarked research projects to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for

assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to awards under this assistance listing, EPA will generally specify the nature of any pre-application assistance. For additional information, contact the individual(s) listed as "Information Contacts".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov.

Award Procedure:

EPA will evaluate each application to determine (a) the adequacy of the proposed project to meet the specified purpose of the Congressionally mandated project and compliance with other applicable laws. EPA's Office of Research and Development conducts a peer review of all applications by outside experts. EPA will also review the application in relation to EPA's general grant regulations and OMB guidance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Matching requirements are defined in each Appropriations Act or other statute authorizing the earmark. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at time of award. The length and time phasing of the assistance agreement will be determined by the duration of the availability of appropriated funds. Method of awarding/releasing assistance: other

Reports:

Program reports are required under this program EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. EPA assistance agreements may, in the terms and conditions, require annual, quarterly, interim, and/or final expenditure/financial reports. Performance monitoring of Congressionally mandated special project or program assistance agreements will be determined at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

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Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304. 68-0103-0-1-304. 68-0108-0-1-304.

Obligations:

Cooperative Agreements FY 20 \$3,000,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

68-0108. 68-0103. 68-0107.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Congressional mandated earmarks are subject to 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None. EPA encourages potential applicants to communicate with the appropriate Headquarters program contact listed below.

Headquarters Office:

Ruth-Alene Soward,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 6204M),

Washington DC 20460

Email: Soward.Ruth-Alene@epa.gov

Phone: 202-566-0985

Website Address:

<https://www.epa.gov/aboutepa/about-office-chief-financial-officer-ocfo>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Addresses the specific purpose designated by the Congressional earmark in EPA's annual Appropriations Act or other statute. All Congressionally mandated earmarks must meet the following criteria: (a) address the specified Congressionally directed support or action described in the annual appropriations act or committee report incorporated by reference into the appropriations act or other statutes mandating that EPA provide financial assistance agreements to designated recipients for projects or programs; and (b) have an approved workplan and processed assistance agreement before the appropriated funds expire.

66.203 Environmental Finance Center Grants

EFC Grant Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3), as amended, Title Safe Drinking Water Act, Section 1420, Title Solid Waste Disposal Act, Section 8001(a), Title Clean Air Act, Section 103(b)(3), Title Toxic Substances Control Act, Section 10, as amended, Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, as amended, Title Marine Protection, Research and Sanctuaries Act, Section 203, Title Safe Drinking Water Act, Part , Section 1442(c)(3), as amended

OBJECTIVES:

The Environmental Finance Center Grant Program provides funding to support Environmental Finance Centers (EFCs) that provide multi-media environmental finance expertise and outreach to communities. The EFCs work with state and tribal governments, local governments, and the private sector to address the growing costs of environmental protection. The EFCs provide finance-related training, education, and analytical studies to help these regulated parties develop solutions to the difficult "how-to-pay" issues associated with meeting environmental standards. The EFCs educate state, tribal, and local governments and businesses on lowering environmental costs, increasing environmental investments, improving financial capacity, identifying appropriate revenue generating mechanisms, and evaluating environmental financing options. A central goal of the EFCs is to create sustainable systems. Sustainable systems have the financial, technical, and institutional resources and capabilities to operate in compliance with environmental requirements and in conformance with accepted environmental practices over the long term. EFCs focus on helping smaller parties find ways to obtain financing for their environmental protection responsibilities. Funding Priority - Fiscal Year 2021: Providing education, training, technical assistance, and analytic support and outreach to state, tribal and local governments and businesses (with a focus on smaller governments and businesses) on: (1) supporting cleaner/greener business through source reduction, pollution prevention, conservation, resource recovery, reuse, and recycling (sustainable materials management); (2) promoting environmentally sustainable development, redevelopment, smart growth and land use planning; green infrastructure approaches; and green building efforts by state and local governments and the private sector; (3) supporting wetlands programs and protection; (4) improving drinking water and wastewater utility water conservation, energy efficiency, management, and capital planning; (5) encouraging green jobs and green business products and services; (6) promoting innovative ways to finance and otherwise support interstate, state, regional, and local air pollution reduction efforts; (7) assisting the owners and operators of small, medium, and disadvantaged environmental systems in planning, developing, and obtaining financing for infrastructure projects; (8) developing fiscal sustainability plans for utilities that include inventories of critical assets, evaluations asset performance, energy conservation efforts, operations, maintenance and repair needs and activities, and any required certifications; (9) reducing greenhouse gas emissions, and developing decision tools and financial strategies for adaptation to adverse weather events and/or climate change.; (10) identifying, supporting and advancing environmental equity and justice concerns, where appropriate, in all training, outreach, projects, programs, and activities; and, (11) encouraging the development and use of public-private partnerships, where appropriate in providing environmental services, infrastructure, projects, and activities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to recipients to support their allowable direct costs incident to approved environmental financial technical assistance and outreach work plus allowable indirect costs, in accordance with established EPA policies and regulations. EPA provides incremental funding for these grants or cooperative agreements on an annual basis. As part of EPA's substantial involvement, the appropriate EPA regional and/or headquarters office reviews and approves each annual project phase consistent with the scope of work for the grant or cooperative agreement. As provided in 2 CFR Part 220, recipients of grants or cooperative agreements under this program may not charge fundraising costs to their grants or cooperative agreements. Funding for research under this program does not include research within the purview of EPA's Office of Research and Development. Under this program, EPA does not fund research, training, and technical assistance to facilitate the inventory of brownfields sites, site assessments, the remediation of brownfields sites, community involvement, and site preparation. These activities are covered by the EPA grant program established under assistance listing 66.814.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Assistance under this program is available to public and private non-profit universities and colleges and to nonprofit

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organizations. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/general public; State; Local; Federally Recognized Indian Tribal Governments; Small business; States, tribes, local governments, businesses and community organizations and the general public are the beneficiaries of this program.

Credentials/Documentation:

Applicants must demonstrate that they meet eligibility requirements. In addition, EPA may request applicants to demonstrate that they have the appropriate background, academic training, experience in the field, and necessary staff and equipment to carry out the project work. The Agency may also ask principal analysts named in applicants' proposals to provide curriculum vitae and other documentation of relevant experience. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.epa.gov/grants). Additional information on the EPA grant package can be found at: <https://www.epa.gov/grants>. For competitive awards, announcements for competitive funding opportunities will specify application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.epa.gov/grants).

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcements.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the grant regulations at 2 CFR 200.328. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$600,000.00; FY 21 est \$600,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$60,000 to \$190,000 per fiscal year Average: \$138,000 per fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021, EPA will continue to fund eight university-based and two non-profit based Environmental Finance Centers (EFCs) covering ten EPA Regions located across the nation. Each participating EFCs will provide technical assistance and outreach services to the regulated communities (States, tribal, local governments and businesses). The EFCs will provide finance-related training, education, and analytical studies to help these regulated parties develop solutions to the difficult "how-to-pay" issues associated with meeting environmental standards. The EFCs educate state, tribal, and local governments and businesses on lowering environmental costs, increasing environmental investments, improving financial capacity, encouraging full cost pricing, and identifying and evaluating environmental financing options.

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Information pertinent to the EFC grant program can be found at:

<https://www.epa.gov/waterfinancecenter>.

Regional or Local Office:

None.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Sonia Brubaker, Supervisory Program Analyst,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 4202M),
Washington DC 20460
Email: brubaker.sonia@epa.gov
Phone: 202-564-0120
Fax:

Website Address:

<https://www.epa.gov/waterfinancecenter>

RELATED PROGRAMS:

66.611 Environmental Policy and Innovation Grants; 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ;

EXAMPLES OF FUNDED PROJECTS:

2021 In FY 2021, EPA continues to fund eight university-based and two non-profit based Environmental Finance Centers (EFCs) covering ten EPA Regions located across the nation. Each participating university and non-profit will operate an EFC or EFCs that will provide technical assistance and outreach services to the regulated communities (States, tribal, local governments and businesses). The EFCs will provide finance-related training, education, and analytical studies to help these regulated parties develop solutions to the difficult "how-to-pay" issues associated with meeting environmental standards. The EFCs educate state, tribal, and local governments and businesses on lowering environmental costs, increasing environmental investments, improving financial capacity, identifying appropriate revenue generating mechanisms, and evaluating environmental financing options.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.204 Multipurpose Grants to States and Tribes

Multipurpose Grants to States and Tribes,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 518(e), Title EPA's Annual Appropriations Acts,

OBJECTIVES:

Multipurpose Grants (MPGs) are intended to be used at state and tribal discretion, for high-priority activities to complement activities funded under established environmental statutes. Funding Priorities - Fiscal Year 2021: Funding is available to assist with the implementation of state and tribal-determined priority activities, complementing programs under established environmental statutes. States are encouraged to consider using MPG funds to address emerging contaminants such as PFAS. States may also direct the funds to address other important priorities such as advancing environmental justice and tackling climate change.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support programs under established environmental statutes. Allowable direct and indirect costs are included, in accordance with established EPA policies and requirements for assistance agreements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Assistance under this program is generally available to states, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana

Islands, and other state entities with authority conferred by the governor or designee of the governor to administer federal environmental programs on behalf of the state. States may have more than one agency or department receiving funds directly from EPA to implement programs tied to national environmental statutes; each of these entities is eligible to receive MPG funding. Recipients generally must be agencies of state or territorial governments. Federally-recognized tribes that have received treatment in a similar manner to a state regulatory program status are also eligible.

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and Federally-recognized tribes that have received treatment in a similar manner to a state regulatory program status.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Discussion with the applicable EPA Regional Office is advised for multipurpose grant applications.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will evaluate each application to determine the adequacy of the proposed project to meet the specified purpose of the project and compliance with other applicable laws. EPA will also review the application in relation to EPA's general grant regulations and OMB guidance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 90-180 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Renewals are subject to approval of EPA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. This program does not have a statutory formula. However, EPA allocates funds based on formulas contained in regulations or program guidance. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at time of award; however, existing EPA grant policies limit project periods for the programs listed above to no more than five years. Method of awarding/releasing assistance: other

Reports:

MPG funds awarded to states must be managed in accordance with existing Federal

ASSISTANCE LISTINGS DESCRIPTIONS

and EPA-specific administrative grant regulations and policies, including but not limited to: 2 CFR Part 200, 2 CFR Part 1500; 40 CFR Part 35 Subpart A; EPAs Environmental Results Order, GPI 11-03 State Grant Workplans and Progress Reports; GPI 12-06 Timely Award, Obligation, and Expenditure of EPA Grant Funds; and GPI 15-01 Performance Partnership Grants for States. There is no additional reporting associated with the MPG funds, but agencies should be prepared to summarize specific results that will be, or have been, achieved through the contribution of these funds under the reporting requirements contained in their EPA grants.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$13,000,000.00; FY 21 est \$10,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$25,074 to \$333,191 in FY20 funds (states) Average: \$197,321 per state

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); and EPA Grant Guidance for Multipurpose Grants to States and Tribes, found at: <https://www.epa.gov/grants/multipurpose-grants-states-and-tribes>

Regional or Local Office:

See Regional Assistance Locations. Applicants are encouraged to contact the appropriate Regional Points of Contact. A list of the current Regional Points of Contact can be found in the Multipurpose Grants to States and Tribes Guidance: https://www.epa.gov/sites/default/files/2021-05/documents/final_fy21_mpg_guidance_for_states.pdf

Headquarters Office:

Program Analyst Andrea Barbery, State MPG Contact,
Environmental Protection Agency, AO/OCIR, 1200 Pennsylvania Avenue, N.W.
(Mail Code: 6204M),
Washington DC 20460

Email: Barbery.Andrea@epa.gov

Phone: 202-564-1397

Fax: Jeffrey Besougloff, Tribal MPG Contact,

Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204R),

Washington DC 20460

Email: Besougloff.Jeff@epa.gov

Phone: 202-564-0292

Fax:

Website Address:

<https://www.epa.gov/grants/multipurpose-grants-states-and-tribes>

RELATED PROGRAMS:

66.001 Air Pollution Control Program Support; 66.032 State Indoor Radon Grants; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.432 State Public Water System Supervision; 66.433 State Underground Water Source Protection; 66.460 Nonpoint Source Implementation Grants; 66.461 Regional Wetland Program Development Grants; 66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support; 66.605 Performance Partnership Grants; 66.608 Environmental Information Exchange Network Grant Program and Related Assistance; 66.700 Consolidated Pesticide Enforcement Cooperative Agreements; 66.701 Toxic Substances Compliance Monitoring Cooperative Agreements; 66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals; 66.708 Pollution Prevention Grants Program; 66.801 Hazardous Waste Management State Program Support; 66.804 Underground Storage Tank (UST) Prevention, Detection, and Compliance Program; 66.812 Hazardous Waste Management Grant Program for Tribes; 66.817 State and Tribal Response Program Grants; 66.926 Indian Environmental General Assistance Program (GAP); 66.472 Beach Monitoring and Notification Program Implementation Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of eligible activities under this assistance listing include: planning, development, implementation, research, investigations, experiments, monitoring, assessment, training, surveys, inspections, compliance assistance, enforcement, process improvement, education and outreach, technical support, and maintenance associated with the environmental programs listed above. Activities may also include joint efforts between the recipient and EPA, such as streamlining and other E-Enterprise projects, provided the activities are eligible under one of the EPA categorical grant programs listed above (see "Related Federal Assistance").

CRITERIA FOR SELECTING PROPOSALS:

Addresses the specific purpose designated by programs in EPA's annual Appropriations Act or other environmental statutes. All programs must meet the criteria identified in the Grant Guidance for Multipurpose Grants to States and Tribes (<https://www.epa.gov/grants/multipurpose-grants-states-and-tribes>).

66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers

Compliance Assistance Centers,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103, Title Clean Water Act, Section 104, Title Solid Waste Disposal Act, Section 8001, Title Toxic Substances Control Act, Section 10(a), Public Law 106-74, Title Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 20(a) & Appropriations Act of 2000 (PL 106-74)

OBJECTIVES:

Since 1996, in partnership with industry associations, universities and other government agencies, EPA has supported the development and maintenance of the Compliance Assistance Centers and Center resources. Each Center is targeted to a specific industry, group of industries, local government, or Federal facilities, and explains in plain language the environmental regulations that apply to that sector and offers technical assistance on compliance. Funding Priorities - Fiscal Year 2021 and 2022: Funding will support the web-based Compliance Assistance Centers. Through Internet Websites, e-mail discussion groups and more, the Centers will help businesses, local governments, and federal facilities understand federal environmental requirements and save money through pollution prevention techniques.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Funds will support ongoing Center work, with an emphasis on maintaining and updating the existing Center Websites. It is expected that common communications channels used by the industry/government will continue to quickly distribute information to the targeted audience. The sector entry pages will serve as portals to relevant information developed by the Centers and other organizations as appropriate (e.g. EPA). Individual sector entry pages will use navigation structures specific to the sector thereby providing easy access to relevant environmental content. EPA funding must be used only for activities specified in the approved scope of work; discretionary funding will not be provided.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Applicants must be nonprofit organizations as that term is defined in 2 CFR Part 200. Institutions of higher education as defined at 2 CFR 200 are also eligible. EPA will also accept applications from state, tribal and local governments. For-profit firms, including for-profit colleges, universities, trade schools, and hospitals are ineligible. Additionally, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization; U.S. Territories; The primary beneficiaries are small businesses, local governments and colleges/universities that are being serviced by the grantees. Other beneficiaries include state and local governments, the general public, and the regulated business community.

Credentials/Documentation:

EPA may request that applicants document their non profit status. The Agency may also request that applicants demonstrate they have appropriate background, experience in the field, organizational capacity and necessary equipment to carry out projects. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. For competitive awards, Requests for Applications (RFA) will specify application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in Request for Applications

Range of Approval/Disapproval Time:

Other. Approximately 60 days from the date of submission of the application.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA generally limits project periods to 5 years. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require semi-annual, annual and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Program reports are required. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$455,000.00; FY 21 est \$400,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

In FY20, one new and one existing cooperative agreement were funded in the total amount of \$455,000. Cooperative agreement funding will typically be distributed over a five-year period.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2021: The Compliance Assistance Centers typically receive 4 to 5 million page views per year to provide compliance assistance information to regulated entities and the public. For other accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/compliance/compliance-assistance-centers>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs). Additional information pertinent to the Centers program can be found at: <https://www.epa.gov/compliance/compliance-assistance-centers>.

Regional or Local Office:

None.

Headquarters Office:

Carol Baillie,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 2221A),
Washington DC 20460
Email: baillie.carol@epa.gov
Phone: 202-564-2477
Fax:

Website Address:

<https://www.epa.gov/compliance/compliance-assistance-centers>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of the type of projects that are funded under this assistance listing include, but are not limited to: websites targeted to industry and government sectors; virtual plant tours; telephone assistance; "ask the expert"; email discussion groups; Next Generation Compliance; and State Resource Locators (<https://www.envcap.org/srl/index.php>) that offer state-specific environmental compliance information for a wide range of topics. For more information on the types of projects funded under this assistance listing, please visit: <https://www.epa.gov/compliance/compliance-assistance-centers>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement. Non-competitive applications are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program

EJCPS,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended by P.L. 106-74, Clean Water Act, Section 104(b)(3), Clean Air Act, Section 103(b)(3), Title Toxic Substances Control Act, Section 10(a), as amended by P.L. 106-74, Solid Waste Disposal Act, Section 8001(a), Title Safe Drinking Water Act, Section 1442(c)(3), Marine Protection, Research, and Sanctuaries Act, Section 203, Title National Environmental Policy Act, Section 102(2)(F)

OBJECTIVES:

The Environmental Justice Collaborative Problem-Solving (EJCPS) Cooperative

Agreement Program provides funding to support community-based organizations in their efforts to collaborate and partner with local stakeholder groups (e.g., local businesses and industry, local government, medical service providers, and academia) as they develop and implement solutions that address environmental and/or public health issues for underserved communities. For purposes of this listing, the term underserved community refers to a community with environmental justice concerns and/or vulnerable populations, including people of color, low income, rural, tribal, indigenous, and homeless populations. Eligible projects must demonstrate use of the Environmental Justice Collaborative Problem-Solving Model to support their collaborative efforts during the project period. Applying organizations should have a direct connection to the underserved community impacted by the environmental harms and risks detailed in the workplan. The long-term goals of the EJCPS Program are to help build the capacity of communities with environmental justice concerns and to create self-sustaining, community-based partnerships that will continue to improve local environments in the future. Funding Priorities - Fiscal Year 2021: 1) Public Education, Training, Emergency Planning, and/or Investigations on Impacts of COVID-19 on Underserved Communities and Vulnerable Populations (UPDATE 4/19/2021) American Rescue Plan (ARP) funds are being made available for projects that address health outcome disparities from pollution and the COVID19 pandemic and that identify and address disproportionate environmental or public health harms and risks in minority populations or low-income populations under: Clean Air Act, section 103 (b)(3) and the Safe Drinking Water Act, section 1442(c)(3). Currently understood science around COVID-19 transmission indicates that most transmission is via respiratory droplets, airborne transmission, and/or close contact with an infected person. Transmission from contaminated surfaces, while possible, appears to be less common. Additionally, reducing environmental or public health harms and risks in underserved communities requires a well-trained safe drinking water workforce. See Appendix A - Guidance on American Rescue Plan Funding for examples of which types of projects will be funded with ARP funds and which will be funded with EJ funds. NOTE: EJSF funds cannot be used for Human Health Studies, including taking blood or other medical information from humans. 2) Projects addressing Climate, Disaster Resiliency, and/or Emergency Preparedness The effects of climate change and extreme weather events tend to adversely impact the most vulnerable communities and populations disproportionately. Therefore, EPA may give special consideration to projects that address the needs of underserved and vulnerable communities that have been adversely impacted or are likely to be adversely impacted by natural disasters, including, but not limited to, hurricanes, tornadoes, wildfires, floods, earthquakes, and future pandemics. 3) New applicants and grantees - High ranking applicants who have never received an EPA EJCPS award may receive additional consideration during the selection process in order to broaden the universe of EJCPS grantees

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Projects can incorporate activities allowable under SEVEN different environmental statutes (must include at least one). Types of projects eligible for funding include but are not limited to the following: Air Quality and Asthma Water Quality, Sampling, and Stormwater Issues Lead, Pesticide, and Toxic Substances Agriculture and Fishing Green Infrastructure Environmental Job Training Youth Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An eligible applicant must be one of the following: incorporated non-profit organizations including, but not limited to, community-based organizations, grassroots organizations, environmental justice networks, faith based organizations and those affiliated with religious institutions;* U.S. Territories Tribal governments, must be either federally-recognized or state-recognized including Alaska Native Villages tribal organizations * Freely Associated States (FAS) including local governmental

ASSISTANCE LISTINGS DESCRIPTIONS

entities and local non-profit organizations in the Federated States of Micronesia, the Republic of the Marshall Islands, and Palau. Applicant organizations claiming non-profit status must include documentation that shows the organization is either a 501(c) (3) non-profit organization as designated by the Internal Revenue Service; OR a non-profit organization recognized by the state, territory, commonwealth or tribe in which it is located. For the latter, documentation must be on official state government letterhead. Applicants must be located within the same state, territory, commonwealth, or tribe in which the proposed project is located. This means that an applicant's registered address of record (i.e. the address designated on their IRS or State-sanctioned documentation) must be in the same state, territory, commonwealth or tribe as the location of the proposed project. The following entities are INELIGIBLE to receive an award, but we encourage applicants to partner with these organizations, as appropriate: colleges and universities; hospitals; for-profit businesses; state and local governments and their entities; quasi-governmental entities (e.g., water districts, utilities)*; national organizations and chapters of the aforementioned organizations**; International organizations and chapters of the aforementioned organizations Individuals non-profit organizations supporting lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995; and*** Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency. ** National organizations are defined as comprising of one centralized headquarters or principal place of business that creates and controls the mission, structure and work carried out by its chapters or affiliates. *** Funds awarded under this announcement may not be used to support lobbying activities or any activities related to lobbying or the appearance thereof. Subawards made to nonprofit organizations that lobby are not allowed. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Minority group; Private nonprofit institution/organization; Native American Organizations; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Women; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Moderate Income; Low Income; Suburban; Rural; School; Eligible beneficiaries are the Non-Profit Community Groups, tribal governments, US Territories, and Freely Associated States (FAS) as described under "Eligibility" and the residents of the communities they serve. List selected is not all inclusive.

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that have been selected for review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that have not been selected for State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if

any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as the "Agency Contact(s)" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement.

Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadline information will be provided in the competitive announcement.

Range of Approval/Disapproval Time:

Other. About 150 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. Awardee may request no cost extensions 'as needed' which then must be approved and processed by Agency personnel.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will be awarded for a 2-year project period. Activities must be completed within the project timeframe, and all cooperative agreements will be fully funded at the time of award. Method of awarding/releasing assistance: other

Reports:

Annual reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary. At a minimum, written annual progress reports are required. The PO is also encouraged to communicate with the recipient on a monthly basis. Reports require reporting on accomplishments of proposed project activities, outputs, and outcomes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,100,000.00; FY 21 est \$9,200,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Individual awards in the past range from \$100,000 to \$300,000; average awards in the past and current fiscal years is \$200,000. The total funding nationwide is estimated to be approximately \$9,200,000 in 2021. Approximately 46 awards will be issued nationwide.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For a list of accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/environmental-justice/environmental-justice-collaborative-problem-solving-cooperative-agreement-0#previous>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Collaborative Problem Solving cooperative agreements are subject to 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

See Regional Assistance Locations. Region 1 - Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Marcus Holmes, Holmes.Marcus@epa.gov, USEPA Region 1, One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 - New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Tasha Frazier, Frazier.Tasha@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris, Harris.Reggie@epa.gov, USEPA Region 3 - (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 - Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Tami Thomas-Burton, Thomas-Burton.Tami@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Kathy Triantafillou, Triantafillou.Kathy@epa.gov, USEPA Region 5 - (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507; Region 6 - Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Debra Tellez, Tellez.Debra@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Region 7 - Iowa, Kansas, Missouri, Nebraska: Primary Contact: Monica Espinosa, Espinosa.Monica@epa.gov USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101, Region 8 - Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Corbin Darling, Darling.Corbin@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Bacock, Alan Bacock.Alan@epa.gov, USEPA Region 9 - CMD-1, 75 Hawthorne Street, San Francisco, CA 94105 Region 10 - Alaska, Idaho, Oregon, Washington: Primary Contact: Sheryl Stohs, Stohs.Sheryl@epa.gov, USEPA Region 10 - (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101.

Headquarters Office:

EJ Grants Program Coordinator Jacob Burney, EJ Grants Program Manager, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

Washington DC 20460

Email: Burney.Jacob@epa.gov

Phone: 202-564-2907

Website Address:

<https://www.epa.gov/environmental-justice/environmental-justice-collaborative-problem-solving-cooperative-agreement-0>

RELATED PROGRAMS:

66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice; 66.604 Environmental Justice Small Grant Program ;

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of the projects awarded in FY2020 include, but are not limited to:

1) Creating a model for engagement, capacity and leadership promotion in vulnerable, underserved neighborhoods in for the purposes of planning and advocacy around storm water, flooding, resiliency and sea level rise by those who will be most impacted; 2) Working strategically into reduce the incidence of childhood lead poisoning, improve the safety of rental housing and increase the capacity of residents, government regulators, housing funding agencies, and other stakeholders to work together to address the lead issue on a local level; 3) Providing a training and transitional employment opportunity with supportive career services for 70 individuals annually across two cohorts, while simultaneously reducing emissions of nitrogen dioxide and particulate matter by painting rooftops with a reflective coating that improves buildings energy efficiency; 4) Providing a safe, educational, and multi-purpose green space that combats water pollution and provides environmental education that emphasizes stewardship; expanding the farm to grow the programming offered which includes: engaging 150 individual children annually, providing Field Trips to 500 individual children from 20 surrounding schools, and hosting three large community events that engage 150 individuals, and installing a hoop house that will support teaching and training opportunities through a shaded outdoor classroom environment; 5) Open dialogue and information sharing regarding air quality; with the goal of promoting a healthy environment. Activities will include: surveying regarding prevalence of asthma and symptoms of respiratory disease, monitoring temperature, humidity, and levels of PM2.5, PM10, NO2, O3, CO, SO2, NMHC, and VOC, mapping outdoor air quality and traffic volume, and providing education and outreach to empower residents not only to make personal decisions that will reduce the severity of asthma, the prevalence of symptoms of respiratory disease, and the exposure to air pollution in three low income historic African American communities.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.309 Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice

EJSS - Surveys, Studies, Investigations, Training, and Special Purpose Activities Relating to Environmental Justice,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Toxic Substances Control Act, Section 10(a), as amended by P.L. 106-74, Comprehensive Environmental Response, Compensation and Liability Act of 1980 (CERCLA), Section 311(c), Clean Air Act, Section 103(b)(3), Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended by P.L. 106-74, Clean Water Act, Section 104(b)(3), Marine Protection, Research, and Sanctuaries Act, Section 203, Solid Waste Disposal Act, Section 8001(a), Safe Drinking Water Act, Section 1442(c)(3)

OBJECTIVES:

The program's mission/objective is to provide funding in support of surveys, studies and investigations, and special purpose assistance programs as they relate to environmental and/or public health issues, with a particular emphasis on environmental justice. Funding Priorities - Fiscal Year 2021: Activities that support community-based participatory surveys, studies and investigations, and special purpose assistance that address environmental justice concerns. Environmental justice is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income, with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. This goal is achieved when everyone enjoys: the same degree of protection from environmental and health hazards, and equal access to the decision-making process to have a healthy environment in which to live, learn, and work.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved cooperative agreements plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to States, local governments, territories, Indian Tribes, intertribal consortia, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federal; State; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Minority group; Private nonprofit institution/organization; Anyone/general public; Native American Organizations; Black; American Indian; Spanish Origin; Asian; U.S. Citizen; Women; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Moderate Income; Low Income; Other Urban; Rural; States, local governments, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions and the communities they serve.

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadline information will be provided in the competitive announcement.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis (annual). Most of awarded grants and cooperative agreements have project periods ranging from one year to three years, and generally, grants and cooperative agreements are fully funded at the time of award. Method of awarding/releasing assistance: other

Reports:

Annual reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary. At a minimum, annual progress reports are required. Reporting requirements shall be determined at the time of award. Expenditure Reports may be required under this program. Reporting requirements shall be determined at the time of grant award. Performance monitoring is required under this program. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304. 68-0108-0-1-304. 68-0107-0-1-304. 68-8145-0-7-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$120,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Approximate amount per individual award nte \$25,000. This program was not funded in FY 2021.

TAFS Codes:

68-0108. 68-0103. 68-0107. 68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For program accomplishments under this assistance listing and other EPA Environmental Justice Grant Programs, please visit:

<https://www.epa.gov/environmentaljustice/learn-about-environmental-justice>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 45 (Training Assistance).

Regional or Local Office:

None. Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Marcus Holmes, Holmes.Marcus@epa.gov , USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Tami Thomas-Burton, Thomas-Burton.Tami@epa.gov , USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Kathy Triantafillou, Triantafillou.Kathy@epa.gov , USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507; Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Debra Tellez, Tellez.Debra@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Monica Espinosa, Espinosa.Monica@epa.gov USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101; Secondary: Althea Moses (913) 551-7649, mores.althea@epa.gov, Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Corbin Darling, Darling.Corbin@epa.gov , USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Alan Bacock, Bacock.Alan@epa.gov , USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105 Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Sheryl Stohs, Stohs.Sheryl@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101

Headquarters Office:

EJ Grants Program Coordinator Jacob Burney, EJ Grants Program Manager, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

Washington DC 20460

Email: burney.jacob@epa.gov

Phone: 202-564-2907

Fax: 202-501-1107

Website Address:

<https://www.epa.gov/environmentaljustice>

RELATED PROGRAMS:

66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program; 66.604 Environmental Justice Small Grant Program ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.312 State Environmental Justice Cooperative Agreement Program

SEJCA,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1442(b)(3), Title Toxic Substances Control Act, Section 10(a), as amended by PL 106-74, Title Solid Waste Disposal Act, Section 8001(a), Title Marine Protection, Research, and Sanctuaries Act, Section 203, Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended by PL 106-74, Title Clean Water Act, Section 104(b)(3), Title Clean Air Act, Section 103(b)(3)

OBJECTIVES:

The purpose of this cooperative agreement program is to support and/or create model state activities that lead to measurable environmental or public health results in communities disproportionately burdened by environmental harms and risks. These models should leverage or utilize existing resources or assets of state agencies to develop key tools and processes that integrate environmental justice considerations into state governments and government programs. The SEJCA program goals are to (1) achieve measurable and meaningful environmental and/or public health results in communities; (2) build broad and robust, results-oriented partnerships, particularly with community organizations within disproportionately impacted areas; (3) pilot activities in specific communities that create models, which can be expanded or replicated in other geographic areas; (4) reinforce connections to EPA regional EJ activities and priorities and, (5) strengthen the development and implementation of specific approaches to achieve environmental justice. Projects should leverage: (1) state and local data; (2) state and local leadership; (3) state and local results; (4) relationships between delegated programs (i.e. federal environmental programs delegated to and carried out by States) and state environmental justice policies, and (5) state targeting of disproportionately burdened areas.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The SEJCA Program seeks projects fostering activities such as the following eligible project activities - The following is a list of some examples of the types of activities which may be considered for funding under this solicitation. It is provided for illustrative purposes only and is not all inclusive. Applicants can propose other activities as long as they fall within at least one of the statutory authorities listed in the assistance program. Assessment and data collection - The project activities to be funded may include activities to assess, characterize or identify the community; activities for conducting assessments of States environmental justice related programs, or researching related environmental justice issues, areas of policy development, implementation of plans/strategies and evaluation of action plans. Tool development - These activities may entail the use and development of software, hardware, methodologies, best practices and other resources in support of EJ efforts, such as: the adoption or modification of EPA tools by states, or EJ Review Protocol for local applications, or the purchase of hardware enhancements, as GPS handheld devices for documentation and location of EJ community concerns. Training. Activities that enhance a States staff or coordinators knowledge and skills on the use of particular EPA and other environmental justice related tools, techniques, methodologies, cross-media knowledge, certification or continued education necessary for effective collaborative assistance in the EJ partnerships. Measurement - Activities that support efforts for measuring and reporting results and outcomes of activities, projects and programs related to environmental justice and environmental justice benefits, (i.e., using resources to measure efforts effectiveness, or measuring ranking factors). Outreach - Activities that educate, raise public knowledge and awareness toward achieving behavioral changes that improve human health and/or prevent environmental pollution for the specific project proposed (e.g. translation services, development of written material of technical or scientific nature in comprehensive but appropriate literacy levels for the impacted communities with environmental justice concerns). Staffing support - Internal staffing shall not account for more than 25% of the budgeted expenses associated with the

ASSISTANCE LISTINGS DESCRIPTIONS

cooperative agreement. Funded staffing support should be directly related to the project and should be clearly stated in the narrative. All activities under this assistance listing must relate to gathering or transferring information or advancing awareness. Applications should emphasize this learning concept, rather than trying to fix an environmental problem using a well-established method. All the eligible activities and project examples listed above promote learning and understanding and are acceptable activities. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The following entities are ELIGIBLE to receive a SEJCA award: (1) A state, District of Columbia, U.S. territory, or commonwealth; (2) an instrumentality of the state (i.e. government-created organization which performs governmental functions but does not have full power of the state government). (3) federally recognized tribal governments including Alaska Native Villages (4) local governments (as defined by 2 CFR 200.64) - includes cities, towns, municipalities, and counties. The following entities are INELIGIBLE: 1) Public and Private Institutions of Higher Education, including State Colleges and Universities; 2) Hospitals and medical facilities; 3) Incorporated non-profit organizations; 4) Public Housing Authorities; 5) Businesses and Industry; 6) Faith-based organizations and local churches; 7) Civic and Philanthropic organizations. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Minority group; U.S. Citizen; (1) state, U.S. territory, or commonwealth; (2) an instrumentality of the state (i.e. government-created organization which performs governmental functions but does not have full power of the state government); (3) federally recognized tribal governments including Alaska Native Villages; (4) local governments (as defined by 2 CFR 200.64) - includes cities, towns, municipalities, and counties.

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as Agency Contacts in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications (RFA).

Range of Approval/Disapproval Time:

From 120 to 180 days. About 150 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. Generally, EPA incrementally funds grants and cooperative agreements for training, research, surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All cooperative agreements will be awarded for a 2-year project period. Activities must be completed within the project time-frame, and all cooperative agreements will be fully funded at the time of award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final program reports and financial, equipment, and invention reports. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, and other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Agreements (Discretionary Grants) FY 20 \$2,000,000.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

All individual awards are \$200,000 each.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records.

Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Regional or Local Office:

None.

Headquarters Office:

Jacob Burney, EJ Grants Program Manager,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

Washington DC 20460

Email: burney.jacob@epa.gov

Phone: 202-564-2907

Website Address:

<https://www.epa.gov/environmentaljustice/state-environmental-justice-cooperative-agreement-program>

RELATED PROGRAMS:

66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice; 66.604 Environmental Justice Small Grant Program ;

66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of the types of projects funded under this assistance listing include, but are not limited to : 1) Providing training on principles of environmental justice, equitable community development, and COVID response to a cohort of cross-sector community leaders; 2) Developing a workforce recruitment and training program (workforce program) for drinking water and wastewater treatment plant operators. Recruitment is aimed at two area populations youth and unemployed workers from local industries (such as oil and gas sector); 3) Implementing a program to address the needs of at-risk seniors, and other vulnerable individuals from minority, underserved populations living in high risk conditions due to unhealthy internal air quality while confined at home to avoid COVID-19. These individuals primarily live in disadvantaged areas with high rates of poverty and in housing that is older and/or poorly maintained. The project involves performing home assessments, advising clients of risks found, and providing clients with toolkits that include the means to address the risks and prevent recurrence. The toolkit will include information on EPA-approved pesticides, disinfectants, and green cleaning products, allowing participants to address their homes issues without exposure to dangerous toxins; recipes for making green cleaning products from readily available supplies, and samples of qualifying products; 4) Empowering EJ communities while building capacity to better prepare for, respond to, and recover from disasters while strengthening community resiliency. Trainings will focus on the following disasters: hurricanes, flooding, chemical releases, and pandemics.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.313 International Compliance and

Enforcement Projects

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103, Title Clean Water Act, Section 104, Title Safe Drinking Water Act, Section 1442(a) & 1442(c), Title Solid Waste Disposal Act, Section 8001, Title Toxic Substances Control Act, Section 10(a) & PL 106-74, Title Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 20(a) & PL 106-74, Title Marine Protection, Research, and Sanctuaries Act, Section 203, Title National Environmental Policy Act, Section 102(2)(F)

OBJECTIVES:

The primary purpose of the proposed capacity building projects is to protect human health and the environment while advancing U.S. national interests through international environmental collaboration on environmental compliance and enforcement. International capacity building plays a key role in protecting human health, communities and the environment by providing technical cooperation to help countries improve environmental compliance and enforcement. OECA will work to transfer appropriate compliance and enforcement tools and techniques to key countries and regions as we collaborate with partners to improve compliance with domestic environmental laws in those countries. In addition, we will continue efforts to strengthen domestic, regional, and global networks on criminal and civil/administrative enforcement, build capacity in developing countries to monitor and enforce compliance with environmental laws, and share information with countries on targeting and advanced monitoring tools, environmental justice and enforcement, and addressing climate change. OECA plays a key role in ensuring trade-related activities support environmental protection, particularly related to effective enforcement of environmental laws. Initiatives relating to environmental trade and investment are carried out bilaterally, multilaterally or in connection with multilateral institutions such as the International Network for Environmental Compliance and Enforcement (INECE) and associated regional networks, including INTERPOL's Pollution Crimes Working Group. OECA will also provide targeted capacity building support under the environmental cooperation agreements developed parallel to U.S. free trade agreements. Funding Priorities - Fiscal Year 2021: EPA expects to continue funding for ongoing activities that advance environmental compliance worldwide.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct cost expenditures associated with the approved project plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies and regulations. Funding awarded for international research under these projects does not include research within the purview of EPA's Office of Research and Development.

Applicant Eligibility:

U.S. Territories and possessions; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Enforcement and Compliance Assurance's mission. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions.

Credentials/Documentation:

Office of Enforcement and Compliance Assurance (OECA) may require applicants to submit documentation of non-profit status. OECA may request applicants to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OECA may also ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grants can be found at: <https://www.epa.gov/grants> Under EPA Order No. 5700.5A1, Policy for Competition in Assistance Agreements, assistance awards to Foreign Governments and to United Nations agencies and similar International Organizations, such as the Organization of American States and Organization for Economic Cooperation and Development (OECD), may be exempted from competition at the discretion of OECA. For competitive awards, Requests for Applications (RFA) will specify application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, OECA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and a technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution->

procedures. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to OECA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$25,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Annual amounts fluctuate greatly because OECA usually issues a single large grants for multiple years with interim awards for other projects at much lower levels. In FY 2018 we funded awards in the amounts of \$80,944 and \$50,000. In FY 2021 we funded an award in the amount of \$25,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021, the program continued to support the International Network for Environmental Compliance and Enforcement (INECE) website (<https://www.inece.org/>), and hosted a series of webinars on enforcement programmatic measures.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles,

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and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Beverly Leftridge,
Environmental Protection Agency, Office of Enforcement and Compliance Assurance, 1200 Pennsylvania Avenue, N. W. (Mail Code: 3204A),
Washington DC 20460

Email: Leftridge.Beverly@epa.gov

Phone: 202-564-5049

Fax: 202-564-0022

Website Address:

<https://www.epa.gov/enforcement/>

RELATED PROGRAMS:

66.931 International Financial Assistance Projects Sponsored by the Office of International Affairs;

EXAMPLES OF FUNDED PROJECTS:

2021 In FY 2021, the project supported a series of webinars on enforcement programmatic measures, including enforcement in environmental justice communities.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.418 Construction Grants for Wastewater Treatment Works

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 109(b), Title Clean Water Act: Title II, , Title 1990 Appropriations Act, , Public Law 101-144, Title 1992 Appropriations Act, , Public Law 102-139, Title EPA's Annual Appropriations Acts,

OBJECTIVES:

To assist and serve as an incentive in construction of municipal wastewater treatment works which are required to meet State and/or Federal water quality standards and improve the water quality in the waters of the United States. From fiscal year (FY) 2004 onwards, American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia may use up to four percent of the funds appropriated under Title VI for administration and closeout of their construction grant program.Funding Priority - Fiscal Year 2021: Funds will be awarded to assist in construction of municipal wastewater treatment works which are required to meet local and/or federal water quality standards and improve the water quality in the waters of the United States. Annual funding priorities are determined by the municipal water pollution control agency in which the grantee is located. The project must be given priority by the municipal water pollution control agency through its project priority system and recommended for funding for approval by the EPA Regional Office.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are used for construction of municipal wastewater treatment works including privately owned individual treatment systems, if a municipality applies on behalf of a number of such systems. Such works may serve all or portions of individual communities, metropolitan areas, or regions. A project may include, but may not be limited to, treatment of industrial wastes. The grantee must require pretreatment of any industrial wastes which would otherwise be detrimental to efficient operation and maintenance, or the grantee must prevent the entry of such waste into the

treatment plant. The grantee must have or initiate an acceptable system of user charges. From FY 2004 onwards, American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia may use up to four percent of the funds appropriated under Title VI for administration and closeout of their construction grant program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Funding for this construction grants program is for American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia because they are exempt by the U.S. Congress from establishing a State Revolving Fund (SRF). Grants are awarded to only these territories and the District of Columbia from the SRF funds (Title VI grants), which are awarded as Title II grants. Funding requests for construction of wastewater treatment facilities are now to be made to the SRF representative of the State in which the construction is proposed.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Anyone/general public; Anyone/General Public. Anyone to be served by a wastewater treatment works assisted by this program.

Credentials/Documentation:

1) Certified copy of authorization and assurances from the applicant's governing body. Authorization must be current, and must designate by name and title the individual who will represent the applicant; (2) current description of the project; (3) statement explaining exact nature of local, State, and any other Federal funds which may be used to finance the project; (4) applicant assurance (which is acceptable to the Regional Administrator) that any necessary discharge permit has been or will be obtained in accordance with Section 401, of Public Law 92-500, as amended; (5) conformance with requirements set forth in 40 CFR Part 35; Subpart I. Application may be made for grant assistance for building and erection of the treatment works with an allowance for planning and design costs at the time that the grant for construction is made. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). This is an existing program applicable to the District of Columbia and the U.S. Territories of American Samoa, Commonwealth of Northern Mariana Islands, Guam, and Virgin Islands as the funding for the States and Puerto Rico is now provided under the Clean Water State Revolving Fund Program for construction of wastewater treatment facilities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Projects considered for award must be approved by the municipal water pollution control agency and also certified by such agency as a priority over any other eligible projects. Awards are made following certification and approval by EPA Regional Offices. Funds authorized by Congress are allotted to recipients in accordance with the Clean Water Act, as amended. Grant awards within individual municipalities are limited by the funds which have been allotted to such

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municipalities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Up to 45 days, for EPA Regional Office action after certification by delegated municipality.

Appeals:

Other. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act Title II, Chapter , Part Funds are allotted in accordance with Section 205(c)(3) of the Clean Water Act., Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Federal grant may be for up to 55 percent for grants awarded after September 30, 1984 or for 75 percent of eligible project costs for grant assistance awarded before October 1, 1984. The States may lower these percentages with the concurrence of the Regional Administrator pursuant to Section 202(a)(1) of the Clean Water Act as amended by Public Law 100-4. For innovative or alternative treatment processes and techniques referred to in section 201(g)(5) the funding shall be 20 per centum greater than the above approved percentage, but in no event greater than 85 per centum of the cost of construction of the project being funded with the grant. The grantee must assure that they will provide funds for all remaining costs. Some States assist grantees in providing all or part of the non-Federal share. American Samoa, Guam, Northern Marianas Islands, and Virgin Islands will receive the funds allocated up to 100 percent of the cost of construction.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Any Title VI sums that are allotted as Title II to the District of Columbia, American Samoa, Guam, Northern Mariana Islands, and Virgin Islands will be available for obligation for a period of one year after the close of the fiscal year for which the sums are authorized. The term of the grant shall be determined at the time of grant award. Grant payments are based on work completed, equipment delivered, or sometimes off-site manufacturing or services rendered. Final grant payment is made after construction is completed and satisfactory final inspection and performance evaluation are carried out. Method of awarding/releasing assistance: other

Reports:

Program reports are required under this program. Reporting requirements shall be determined at the time of award. Cash reports are required under this program. Progress reports are required under this program. Reporting requirements shall be determined at the time of award. Expenditure reports are required under this program. Reporting requirements shall be determined at the time of award. Performance reports are required under this program. Reporting requirements shall be determined at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants FY 20 \$31,471,000.00; FY 21 est \$31,470,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

CWA Title II grants Range: \$100,000 to \$10,000,000/fiscal year; Average: \$5,000,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Five grants for approximately \$31 million were awarded. Projects to be funded include new and expanded wastewater treatment plants, infiltration/inflow correction, stormwater projects, new collector sewers, nutrient removal, new and rehabilitated sewer lines, and green infrastructure.

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 35, Subpart I (Grants for Construction of Treatment Works); 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); "Construction Grants 1985 (Guidelines for applicants and grantees)"; and Construction Grants Handbook of Procedures (Guidelines for Project Officers)." For these and other publications, please contact EPA's Office of Wastewater Management, Water Infrastructure Division, MC-4204M, 1200 Pennsylvania Ave., NW, Washington, DC 20460.

Regional or Local Office:

See Regional Assistance Locations. (1) Yasmin Laguer, Caribbean Environmental Protection Division, City View Plaza II Suite 7000, #48 Rd. 165 km 1.2, Guaynabo, Puerto Rico, 00968-8069, Email: laguer.yasmin@epa.gov, Phone: 787-977-5848; (2) Kenneth Pantuck, Environmental Protection Agency, 1650 Arch Street (Mail Code 3WP50), Philadelphia, PA 19103, Email: Pantuck.kenneth@epa.gov, Phone: 215-814-5769; (3) Thomas Konner, Environmental Protection Agency, 75 Hawthorne Street (Mail Code WTR-3-3), San Francisco, CA 94105, Email: Konner.thomas@epa.gov, Phone: 415-972-3408

Headquarters Office:

Alma Hidalgo,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 4204M),
Washington DC 20460
Email: hidalgo.alma@epa.gov
Phone: 202-564-3126
Fax:

Website Address:

<https://www.epa.gov/environmental-topics/water-topics>

RELATED PROGRAMS:

66.458 Capitalization Grants for Clean Water State Revolving Funds;

EXAMPLES OF FUNDED PROJECTS:

2021 New and expanded wastewater treatment plants, infiltration/inflow correction, stormwater projects, new collector sewers, nutrient removal, new and rehabilitated sewer lines, and green infrastructure projects.

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are determined annually by the grant recipient.

66.419 Water Pollution Control State, Interstate, and Tribal Program Support

Section 106 Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Title Clean Water Act, Section 106, Title Clean Water Act, Section 518(e)

OBJECTIVES:

To assist States (including territories, the District of Columbia, and Indian Tribes qualified under CWA Section 518(e)), and interstate agencies in establishing and maintaining adequate measures for prevention and control of surface and ground water pollution from both point and nonpoint sources. Funding Priority - Fiscal year 2021 and 2022: States and Tribes continue to focus on fulfilling their basic responsibilities under the CWA Section 106 funded programs. State priority efforts will include: (1) implementing monitoring strategies and the statistically-valid surveys to determine water quality status and trends; (2) fostering a watershed approach, including total maximum daily loads (TMDLs) and watershed plans designed to meet water quality standards; (3) implementing National Pollutant Discharge Elimination System (NPDES) permitting programs; and 4) States and Tribes will continue adopting updated water quality standards that support designated uses and completing triennial reviews on time. States NPDES Programs will continue to: (1) ensure the integrity of the program; (2) achieve and measure environmental results; and (3) incorporate efficiencies in permitting program operations. States will also conduct source water protection actions to protect both ground water and surface waters used for drinking water. Tribes will continue to conduct watershed assessments and will maintain and improve their capacity to implement water quality programs through monitoring, assessments, planning, data management and standards development. States and Tribes eligible for WIIN Act funding will continue monitoring the surface water and sediment quality of the Animas and San Juan rivers.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Water pollution control grants are intended to provide continuing support for the prevention and abatement of surface and ground water pollution from point and nonpoint sources. Continuing and recurrent water quality management program activities funded include water quality planning and standards; monitoring and assessments; inspections and enforcement; permitting; training; advice and assistance to local agencies; and public information. Funds cannot be used for construction, operation, or maintenance of waste treatment plants, nor can they be used for costs financed by other Federal grants.

Applicant Eligibility:

U.S. Territories and possessions; Interstate; State; Federally Recognized Indian Tribal Governments; Eligible entities include States (including the District of Columbia and territories), interstate water pollution control agencies as defined in the Federal Water Pollution Control Act, and Indian tribes qualified under CWA Section 518(e). Requirements of the program are based on Section 106 of the Act, 2 CFR 200 and 1500 as applicable, and 40 CFR Parts 35 and 130.

Beneficiary Eligibility:

Interstate; State; Federally Recognized Indian Tribal Governments; U.S. Territories; States (including the District of Columbia), Territories, interstate water pollution control agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act (CWA).

Credentials/Documentation:

State and interstate agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act must show compliance with 40 CFR 35, Subpart A and Subpart B. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a)

and (c). Informal meetings are held as needed between regional office, State, territorial, and Indian tribe applicant agencies during work plan development. The grant agreement must adequately reflect the priorities identified in the EPA Strategic Plan and any State/EPA Agreements. For additional information, contact the individual(s) listed as "Information Contacts".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and if approved, are signed by the Regional Administrator.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None.

Formula and Matching Requirements:

Statutory Formula: Title Protection of the Environment, Chapter 40, Part 35, Subpart 162, Public Law Clean Water Act Section 106. Matching Requirements: Percent: 5%. Requirement: Matching requirements are mandatory. Match is for tribal programs only. Requirement found at 40 CFR Part 35.585. The Regional Administrator may provide up to 95 percent of the approved work plan costs for Tribes or intertribal consortia establishing a Section 106 water pollution control program. The Regional Administrator may increase the maximum Federal share if the tribe or intertribal consortium can demonstrate in writing to the satisfaction of the Regional Administrator that fiscal circumstances within the Tribe or within each Tribe that is a member of an intertribal consortium are constrained to such an extent that fulfilling the 5 percent match requirement would impose undue hardship. This program has MOE requirements, see funding agency for further details. Additional Information: To receive a Section 106 Water Pollution Control grant, a State or interstate agency must expend annually for recurrent Section 106 program expenditures an amount of non-federal funds at least equal to expenditures during the fiscal year ending June 30, 1971..

Length and Time Phasing of Assistance:

One year; payments are approved quarterly and disbursed monthly. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. States are required to submit water quality data and other information required under section 305 of the CWA. Agreements may also require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Reporting requirements shall be determine at the time of award. Reporting requirements shall be determine at the time of award. Reporting requirements shall be determine at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 (\$1,966,496,496.00); FY 21 est \$230,000,000.00; FY 22 est \$234,600,000.00;

Range and Average of Financial Assistance:

Range: \$30,000 to \$11,700,000/fiscal year; Average: \$4,000,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In each fiscal year, grants are awarded to conduct Water Pollution Control programs by the 50 States, 5 Territories, the District of Columbia, 6 Interstate Commissions, and Indian Tribes qualifying under CWA Section 518(e). Funds are used by States and Tribes to fulfill their basic responsibilities under the CWA to administer programs for the prevention, reduction and elimination of pollution, including enforcement. States and tribes continued working on: implementing monitoring strategies and the development of statistically-valid surveys to determine water quality status and trends; fostering a watershed approach including developing total maximum daily loads (TMDLs) and watershed plans designed to meet water quality standards; and the implementation of permitting programs. At a minimum, Regional watershed based strategies give priority to: 1) Assessing surface water quality; 2) assuring that high priority permits are current; 3) tracking permitting for environmental results program integrity follow-up actions; 4) developing TMDLs for impaired waters; and 5) adopting updated water quality standards that support designated uses.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes); 40 CFR Part 130 (Water Quality Planning and Management)

Regional or Local Office:

None. Contact the appropriate EPA Regional Office. Regional contact information is available at: <https://www.epa.gov/water-pollution-control-section-106-grants>

Headquarters Office:

Section 106 Program Coordinator Robyn Delehanty,
Environmental Protection Agency, Office of Wastewater Management, 1200
Pennsylvania Avenue, N.W., (Mail Code: 4201M),
Washington DC 20460

Email: delehanty.robyn@epa.gov

Phone: 202- 564-3880

Fax: 202-501-2399

Website Address:

<https://www.epa.gov/water-pollution-control-section-106-grants>

RELATED PROGRAMS:

66.454 Water Quality Management Planning; 66.204 Multipurpose Grants to States and Tribes ; 66.460 Nonpoint Source Implementation Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 Grants are made to states, interstate agencies, and tribes qualified under CWA Section 518(e), for the administration of state and tribal programs for the prevention, reduction, and control of water pollution. Activities funded include administration of state and tribal water quality planning programs; water quality standards programs; TMDL programs, water quality monitoring and assessments programs; NPDES permitting programs; compliance and enforcement programs, and ground water protection programs. More specifically, adoption and implementation of comprehensive monitoring strategies, as stated in the March

2003 Elements of a State Water Monitoring and Assessment Guidance, and the development of statistically valid monitoring networks to help target activities and determine water quality status and trends; adoption of updated standards that support designated uses; enhancement of the quality and timeliness of state/tribal water quality standards triennial reviews so that standards are based on sound science; effective management of the NPDES permit program through State efforts to (1) ensure the integrity of the program; (2) achieve and measure environmental results; and (3) incorporate efficiencies in permitting program operations. Eligible states and tribes are also conducting long-term monitoring in the San Juan River watershed.

CRITERIA FOR SELECTING PROPOSALS:

Section 106 funds are awarded to State, territory, interstate, and Indian tribal agencies in accordance with overall water quality management needs, the requirements of programs covered by these agreements, and EPA Program guidance.

66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F), Title Safe Drinking Water Act, Section 1442, as amended, Public Law 104-182

OBJECTIVES:

To support developing, expanding, planning, implementing, and improving environmental training associated with source water and drinking water. To develop and expand capabilities of programs to carry out the purposes of the Safe Drinking Water Act (SDWA). Funding Priorities - Fiscal Year 2021: Funding priorities include providing assistance for the following training activities: (1) training personnel who manage or operate public water systems; (2) training personnel for occupations involving the public health aspects of providing safe drinking water; (3) training to develop and expand the capabilities of states and municipalities to carry out the SDWA; and (4) expanding, planning, implementing, and improving training to enable small public water systems to meet the requirements of SDWA.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

The funds will support research, investigations, studies, demonstrations, and training associated with source water and drinking water. Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Specific uses and restrictions on funds will be described in detail in the competitive announcements.

Applicant Eligibility:

U.S. Territories and possessions; Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995

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are not eligible to apply. Non-profit organization, as defined by 2 CFR Part 200, means any corporation, trust, association, cooperative or other organization that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve and/or expand its operations. Note that the definition of Nonprofit organization in 2 CFR Part 200 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific deadlines will be described in the competitive announcements.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. Generally, EPA incrementally funds grants and cooperative agreements for water surveys, studies, demonstrations, and investigations. Approval of subsequent funding increments is dependent on satisfactory project

progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. For certain competitive funding opportunities under this assistance listing, the Agency may include matching requirements consistent with the Agency's Assistance Agreement Competition Policy. Match may be cash or in-kind consistent with the regulation governing match requirements (2 CFR 200 and 1500). Specific matching requirements will be described in detail in the competitive announcements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304. 68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$126,554,600.00; FY 21 est \$153,500,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$10,000 to \$6,900,000/fiscal year; Average: \$1,150,000/fiscal year.

TAFS Codes:

68-0108. 68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. Competitive announcements typically include the number of anticipated awards. In FY 2021, it is anticipated that additional support for drinking water and source water initiatives will be provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to the regulations at 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States

ASSISTANCE LISTINGS DESCRIPTIONS

Environmental Protection Agency Programs); and 40 CFR Part 45 (Training Assistance)

Regional or Local Office:

None.

Headquarters Office:

Joe A. Jackson,

Environmental Protection Agency, Office of Ground Water and Drinking Water, 1200 Pennsylvania Avenue (Mail Code: 4606M),

Washington DC 20460

Email: jackson.joe-a@epa.gov

Phone: (202) 564-3817

Fax:

Website Address:

<https://www.epa.gov/ground-water-and-drinking-water>

RELATED PROGRAMS:

66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies;

EXAMPLES OF FUNDED PROJECTS:

2021 Funding will continue to support: training states in effective implementation of SDWA requirements; training assistance to states in ground water protection and conservation; developing utility planning tools and training; capacity development support and training; training for source water protection through prevention; expanding, planning, implementing, and improving training to enable small public water systems to meet the requirements of SDWA.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.432 State Public Water System Supervision

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1443(a), Title Safe Drinking Water Act, Section 1451

OBJECTIVES:

The objective of these grants is to provide financial assistance to eligible States and Tribes, those that have Primary Enforcement Responsibility for the Public Water System Supervision (PWSS) Program, for implementation and enforcement of the requirements of the Safe Drinking Water Act that apply to public water systems. In cases where a State or a Tribe does not have a Primary Enforcement Responsibility program, EPA is authorized to use funds that would have otherwise been made available to the State or the Tribe to assist it in direct implementation of the PWSS program. The fundamental goal of the PWSS Program, and the grants, is to ensure that public water systems comply with the National Primary Drinking Water Regulations listed in 40 CFR 141. State drinking water agencies, which have Primary Enforcement Responsibility for the PWSS Program, and Indian Tribes that receive PWSS grants are to use them to ensure that public drinking water systems, of all types, and of all sizes: (1) are currently in compliance with the drinking water regulations and remain in compliance, (2) are working towards and in the process of achieving compliance when these systems are noncompliant, and (3) are preparing for future compliance with any new drinking water regulations that will be taking effect in the current or following year. Furthermore, State drinking water agencies, which have Primary Enforcement Responsibility for the PWSS Program, and Indian Tribes that receive PWSS grants are required to use the funds to update and maintain its PWSS program to comply with the National Primary Drinking Water Regulations Implementation requirements listed in 40 CFR 142 to ensure that the State or Tribal PWSS program is capable of conducting oversight of all public water systems as new drinking water rules, new public water systems,

and/or drinking water program requirements become effective. Funding Priority - Fiscal Year 2021: The priority for the PWSS grants is to ensure that the population served by community water systems will receive drinking water that meets all applicable health-based drinking water standards. This includes ensuring public water systems implement and comply with the all drinking water rules, including carefully considering any simultaneous compliance issues. Furthermore, EPA's priority is for States and Tribal PWSS Programs to meet the primary enforcement responsibilities. The primary components of a State or Tribal PWSS program are: (1) the adoption and implementation of State and Indian Tribe drinking water regulations at least as stringent as the Federal regulations; (2) the development and maintenance of database(s) of an inventory of public water systems and housing of public water system compliance information; (3) the conduct of sanitary surveys, which identifies sanitary deficiencies and requires that these deficiencies to be addressed, at public water systems; (4) the establishment and maintenance of a laboratory certification program for approval of laboratories to perform analyses of drinking water contaminants/analytes, including the assurance of adequate laboratory capacity to perform drinking water analyses; (5) the review of public water system plans and specifications; (6) the establishment of legal enforcement and authority to assess penalties to compel public water systems' compliance with the National Primary Drinking Water Regulations; (7) the adoption of regulations consistent with 40 CFR part 3 which deals with electronic documents; and (8) the adoption and implementation of an adequate plan for providing safe drinking water during emergency circumstances. In Fiscal Year 2021, of the funds provided, \$10,000,000 is to further support States, Territories, and Tribes in addressing PFAS and other contaminants of emerging concern as they carry out their Public Water System Supervision programs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement a Public Water System Supervision Program adequate to enforce the requirements of the Safe Drinking Water Act and associated program regulations found in 40 CFR Parts 141, 142, and 143.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Eligibility is limited to the governments of the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have either assumed primary enforcement responsibility for the PWSS Program or that want to develop a program that will allow them to seek delegation for a PWSS Program. EPA may also use funds allotted for a State or Tribal program, if the State or Tribe does not have, or is not developing, primary enforcement responsibility, or EPA may use all or part of the funds to support the PWSS Program in absence of an acceptable State program. Eligibility is also limited to a single agency within each State, Territory, or Tribe - an agency that has been designated by the jurisdiction's Governor or Chief Executive Officer. Primary Enforcement Responsibility for the Public Water System Supervision Program is provided for in 40 CFR 142 (<https://ecfr.federalregister.gov/current/title-40/chapter-I/subchapter-D/part-142?toc=1>).

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; Local; Anyone/general public; The beneficiaries are the agencies within the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have been designated by the jurisdiction's Governor or Chief Executive Officer as being responsible for the supervision of water supplies within the State, Territory, or Tribe.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial

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assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: <https://www.epa.gov/grants>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The grant application and Program Plan are reviewed by the appropriate EPA Regional Office, and if approved, are signed by the Regional Administrator, who then awards the funds to the recipient.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally 60 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Protection of the Environment, Chapter 40, Part 35, Subpart 172, Public Law 93-523, Section 1443(a)(3) and (a)(4). Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Federal assistance is limited to 75 percent of total, eligible program costs (40 CFR 35.175). State allotments are computed through use of a formula, as prescribed in Public Law 93-523 Section 1443 (a)(3) and (a)(4), 40 CFR 35.172. Funds appropriated each year are allotted on the basis of: (a) State, Territory, or Tribal population (20 percent) as contained in the most currently available (published) U.S. Census Bureau data; (b) State, Territory, or Tribal geographical area (10 percent) as contained in the most currently available (published) U.S. Census Bureau data; (c) the number of active community and non-transient non-community water systems being regulated by the State, Territory, or Tribe that are on record in EPA's data system (56 percent); and (d) the number of active transient non-community water systems being regulated by the State, Territory, or Tribe that are on record in EPA's data system (14 percent). All States, the District of Columbia, and Puerto Rico are eligible for a minimum of \$334,500 (which is equal to 1 percent of the FY 1989 PWSS Program appropriation). The American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands are eligible for a minimum of \$111,500 (which is equal to 1/3 percent of the FY 1989 PWSS Program appropriation). Up to seven percent of the amount appropriated for the PWSS Program is set-aside to support Public Water System Supervision programs on Indian lands (including grants to Tribes) in accordance with EPA Grant Regulations. The formula factors of population and land area are statutory. The other factors, and all of the weightings, are Agency imposed. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Cash reports are required under this program Reporting requirements shall be determined at the time of grant

award. Reporting requirements shall be determined at the time of grant award.

Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$102,402,000.00; FY 21 est \$112,000,000.00; FY 22 est \$122,000,000.00;

Range and Average of Financial Assistance:

Range of \$126,000 to \$7,529,000/fiscal year; Average of \$1,697,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: During FY 2021 it is anticipated that 49 States, five territories, and one Indian Tribe (Navajo Nation) will be awarded grant funding under the PWSS Program. The majority of the grant funds will be used for State, territorial, or Tribal governmental salaries to allow the governments to: develop and maintain State drinking water regulations; develop and maintain an inventory of public water systems throughout the State; develop and maintain a database housing compliance information on public water systems; conduct sanitary surveys on the public water systems; review public water system plans and specifications to ensure systems meet State design standards; provide technical assistance and training to water system managers and operators to ensure they are knowledgeable of the State requirements and best treatment and operation practices; conduct of a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; inspect and certify laboratories that are allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and conduct an enforcement program to ensure that the public water systems comply with all of the requirements. States, territories, and Tribes also use the grant funds to upgrade and maintain the data systems that are used to store and track information on public water systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); and 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

See Regional Assistance Locations. Region 1 - Downing, Jane ; 617-918-1571; 5 Post Office Square, Suite 100, Boston, MA 02109-3912; Region 2 - Ash, Christine ; 212-637-4006; 290 Broadway, New York, NY 10007-1866; Region 3 - Crumlish, Karen ; 215-814-3398; 1650 Arch Street, Philadelphia, PA 19103-2029; Region 4 - Smith, Brian ; 404-562-9845; 61 Forsyth Street, SW Atlanta, GA 30303-3104; Region 5 - Bauer, Candice ; 312-353-2106; 77 West Jackson Boulevard, Chicago, IL 60604-3507; Region 6 - Brown, Jamesr ; 214-665-3175; Fountain Place 12th Floor, Suite 1200, 1445 Ross Avenue, Dallas, TX 75202-2733; Region 7 - Mindrup, Mary ; 913-551-7431; 11201 Renner Blvd, Lenexa, KS 66219; Region 8

ASSISTANCE LISTINGS DESCRIPTIONS

- Bahrman, Sarah ; 303-312-6243; 1595 Wynkoop, Denver, CO. 80202; Region 9 - Li, Corine ; 415-972-3560; 75 Hawthorne Street, San Francisco, CA 94105; Region 10 - Burgess, Karen ; 206-553-1644; 1200 Sixth Avenue, Suite 900, Seattle, WA 98101.

Headquarters Office:

Kevin Roland,
Environmental Protection Agency, Office of Ground Water and Drinking Water,
1200 Pennsylvania Avenue, N.W., (Mail Code: 4606M),
Washington DC 20460
Email: roland.kevin@epa.gov
Phone: (202) 564-4588.

Website Address:

<https://www.epa.gov/dwreginfo/final-allotment-fy-2021-public-water-system-supervision-pwss-state-and-tribal-support>

RELATED PROGRAMS:

66.433 State Underground Water Source Protection; 66.458 Capitalization Grants for Clean Water State Revolving Funds;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Adequacy of States and Tribes (those that have Primary Enforcement Responsibility for the PWSS Program) for the adoption and enforcement of drinking water regulations which are no less stringent than the national primary drinking regulations as listed in 40 CFR 141 and 40 CFR 142. (2) Designation of a State agency to receive the grant and be responsible for conducting the public water system supervision program. (3) Compliance with requirements of 2 CFR 200 and 1500 as applicable, and 40 CFR 35.

66.433 State Underground Water Source Protection

UIC.

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1443(b)

OBJECTIVES:

To foster development and implementation of underground injection control (UIC) programs under the Safe Drinking Water Act (SDWA). The objective of the grant program is to provide financial assistance to eligible states, territories, and tribes for the implementation of their UIC Program. The fundamental goal of the program, and the grants, is to ensure that underground sources of drinking water are protected from endangering injection activities. Funding Priority - Fiscal Year 2021: The program will fund the major components of State UIC programs which include: the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection well activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from underground injection activities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement an underground injection control program adequate to enforce the requirements of the Safe Drinking Water Act.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; States, U.S. Territories and possessions, and Indian Tribes that qualify as Programs that have delegated primary Enforcement Authority pursuant to Safe

Drinking Water Act (SDWA) amendments of 1986.

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; Anyone/general public; States, U.S. Territories, and Indian Tribes

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on applying for EPA grants can be found at: <https://www.epa.gov/grants/how-apply-grants>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 60 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act, Chapter , Part Section 1443, Subpart (b)(4), Public Law . Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. For states, federal assistance is limited to 75 percent of eligible costs, not to exceed the State allotment. For Indian Tribes, Federal assistance is limited to 90 percent of eligible costs. This program operates under a formula which uses criteria set forth in the SDWA, Section 1443(b)(4). Except for Indian Tribes, Federal assistance is limited to 75 percent of eligible costs, not to exceed the State allotment. The statistical factors used for allocation include: (1) population of State, based on the most recent Census Bureau data; (2) State land area, based on the most recent Census Bureau data; and (3) injection practices by State, based on data reported by States and maintained by EPA as of the most recent fiscal year prior to allocation development. For Indian Tribes, Federal assistance is limited to 90 percent of eligible costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant

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regulations at 2 CFR 200 and 1500, as applicable. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$8,892,400.00; FY 21 est \$11,164,000.00; FY 22 est \$11,387,000.00;

Range and Average of Financial Assistance:

\$5,000 to \$927,000/fiscal year; \$178,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program provides funding support to states, territories, and tribes that have delegated primary enforcement authority pursuant to the SDWA amendments of 1986. The grant funds are used by the states, territories, and tribes to support operation of their ongoing UIC programs including: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection wells activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants for State Underground Water Source Protection Programs are subject to 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); and 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Colin Dyroff,
Environmental Protection Agency, Office of Ground Water and Drinking Water,
Office of Water, 1200 Pennsylvania Avenue, NW., (Mail Code: 4606M),
Washington DC 20460
Email: Dyroff.Colin@epa.gov
Phone: 202-564-3149
Fax: 202-564-3756

Website Address:

<https://www.epa.gov/uic>

RELATED PROGRAMS:

66.432 State Public Water System Supervision;

EXAMPLES OF FUNDED PROJECTS:

2021 Grant funds are used by the States for such purposes as State regulation review, program plan developments, data management, inventory of injection facilities, public participation, technical assistance and review, permit approval and enforcement, and surveillance and investigation.

CRITERIA FOR SELECTING PROPOSALS:

1) Adequacy of State's annual program plan for an enforceable underground injection control program; (2) compliance with requirements of 2 CFR 200 and 1500 as applicable, and 40 CFR 35, and Executive Order No. 12367 (Revised); (3) designation of a State agency to receive the grant and be responsible for coordinating the underground water source protection program; and (4) evidence that Federal grant funds will not supplant the State's nonfederal funding committed to underground water source protection efforts. For Indian Tribes: (1) demonstration of Tribal adequacy; and (2) demonstration of the tribe's intent, adequate legal authority, and resources to implement specific program elements outlined in 40 CFR 144, 145, 146, and 148.

66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3), as amended, 33 U.S.C. USC Code 1254 et seq. Title National Environmental Policy Act, Section 102(2)(F)

OBJECTIVES:

To support the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. The funds will support conducting and promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Interstate; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State; Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; and intertribal consortia. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. Non-profit organization, as defined by 2 CFR Part 200, means any corporation, trust, association, cooperative

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or other organization that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve and/or expand its operations. Note that the definition of Nonprofit organization in 2 CFR Part 200 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of 2 CFR 200. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Interstate; Anyone/general public; Individual/Family; State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. For competitive awards, Requests for Applications will specify application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E - Disputes, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. For certain competitive funding opportunities under this assistance listing, the Agency may include matching requirements consistent with the Agency's Assistance Agreement Competition Policy. Match may be cash or in-kind consistent with the regulations governing match requirements at 2 CFR 200 and 1500 as applicable. Specific matching requirements will be described in detail in the competitive announcements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations at 2 CFR 200.328. Program reports are required under this program. Cash reports are required under this program. Frequency will be determined at the time of grant award. Frequency will be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304. 68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$5,300,000.00; FY 21 est \$6,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$10,000 to \$580,000/fiscal year; Average: \$295,000/fiscal year.

TAFS Codes:

68-0107. 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will typically include the number of anticipated awards. In FY 2021, EPA will continue to fund projects to support training, surveys, studies, investigations, and demonstrations for water quality improvement, watershed planning and management, treatment technologies, water

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efficiency, and environmental management systems.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200 and 1500 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 45 (Training Assistance).

Regional or Local Office:

None. For program information contact: Office of Wetlands, Oceans and Watersheds, Connie Cahanap, Phone: (202) 566-1382, Fax: (202) 566-1147, E-mail: cahanap.concepcion@epa.gov; and Office of Science and Technology, Agnes Ortiz, Phone: (202) 566-1303, Fax: (202) 566-0441, E-mail: ortiz.agnes@epa.gov.

Headquarters Office:

Tracey Miller,
Environmental Protection Agency, Office of Water, Immediate Office, 1200 Pennsylvania Avenue, N.W., (Mail Code 3204A),
Washington DC 20460

Email: miller.tracey@epa.gov

Phone: 202-564-0783

Fax: 202-564-0348

Website Address:

<https://www.epa.gov/environmental-topics/water-topics>

RELATED PROGRAMS:

66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act;

EXAMPLES OF FUNDED PROJECTS:

2021 Development of innovative watershed education tools, development of water quality protection guides for local communities, development of sustainable approaches to water quality management, training for small wastewater systems and onsite/decentralized systems, and training on environmental management systems.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.437 Long Island Sound Program

Long Island Sound Study (LISS),

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 119(d), Title Clean Water Act, Section 320

OBJECTIVES:

The Long Island Sound Program: (1) implements the Long Island Sound Study (LISS) Comprehensive Conservation and Management Plan (CCMP); and (2) assists the states of Connecticut and New York and other public or nonprofit entities in implementation, research, planning, enforcement, and citizen involvement and education related to reducing pollution and improving the quality of the environment to sustain living resources in the Long Island Sound. Funding Priority - Fiscal Year 2021: To award assistance agreements that support the Long Island Sound Study (LISS) National Estuary Program. Section 119(d) of the Clean Water Act, as amended by America's Water Infrastructure Act of 2018 Public Law No: 115-270, authorizes the Administrator to award grants to eligible entities to implement the LISS Comprehensive Conservation and Management Plan (CCMP), with special emphasis on implementation, research and planning, enforcement, and citizen involvement and education projects. The LISS management conference, with participants from state, interstate and regional environmental agencies, local governments and other public or nonprofit private agencies, research institutions and individuals, develops programs to protect and restore Long Island Sound. EPA also provides assistance through funds appropriated under Section 320 of the Clean Water Act (The National Estuary Program). The LISS program characterizes the problems in the estuary, determines relationships between pollutant loading and impacts on living resources, maintains a comprehensive plan recommending

solutions to priority problems, and implements actions addressing priority problem areas. Activities focused on theme areas identified in the plan as requiring special attention, such as: clean waters and healthy watersheds; thriving habitats and abundant wildlife; sustainable and resilient communities; and sound science and inclusive management.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to implement elements of the LISS CCMP. Special emphasis shall be given to CCMP implementation projects, research and planning, enforcement, and citizen involvement and education projects. The Administrator may give priority to a distressed community as defined in 33 USC 1269(e)(1). Assistance Listing 66.456 (National Estuary Program) also authorizes funding to assist in the implementation of the LISS CCMP. As a result an assistance agreement under assistance listing 66.437 to support implementation of the LISS CCMP can also use funds under assistance listing 66.456. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State, interstate, and regional water pollution control agencies, and other public or nonprofit private agencies, institutions, and organizations are eligible. Private profit-making entities, and individuals, are not eligible.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Nonprofit private agencies, institutions and organizations may be required to provide documentation of their organizational status upon application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). The EPA Long Island Sound Office (LISO) issues annual guidance on the form and content of pre-applications. All pre-applications or work statements should be consistent with LISO's guidance. Consultation or technical assistance may be available upon request from the LISO to aid applicants in preparing their pre-application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. The EPA LISO annually releases a request for base program pre-applications in the Fall. Pre-applications are due to the LISO generally by early January or as specified in guidance. The LISS management committee reviews pre-applications in late January to identify areas of concern and

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to request additional information or clarification on applications through the LISO. The LISO coordinates review of pre-applications among the several LISS technical work groups and teams to ensure consistency with program goals and objectives as outlined in the CCMP and other priorities as directed by the management committee. If necessary, applicants are contacted by the LISO to provide the additional information or clarification. The LISO coordinates review of the revised applications and develops funding recommendations for the management committee's consideration in April. The management committee makes final recommendations on funding preproposals at that time, or as otherwise specified. Applicants are advised of the status of their request by the LISO within 30 days of the management committee's final decisions. Successful applicants are required to submit formal grant applications using the standard federal grant application forms. The deadline for submitting applications may vary by EPA Region, but is generally June 1st. Applications not received by the deadline are not further considered for funding. Unallocated funds are carried over or otherwise used to further the purposes of this program at the discretion of the management committee. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each federal assistance application and final work plan will be evaluated by the LISO to determine the adequacy of the application under LISS priorities and objectives, including technical merit and relevance of the project to the implementation of the CCMP and for consistency with the pre-application. Each federal assistance award application will also be subject to an administrative review by the EPA Region 2 grants management office. Funds are awarded by the EPA Region 2 Administrator as appropriate. Grantees will receive a final assistance award package from the EPA Regional 2 grants management office. An assistance agreement recipient demonstrates its commitment to carry out the award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or, 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. Recipients are not required to sign and return a copy of the award document.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notification of action on pre-applications is provided approximately 120 days after the deadline for pre-application submission. Final grantees are notified of approval approximately 90 days after the submission of the Application for Federal Assistance.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Extensions are subject to approval by EPA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Federal costs for citizen involvement and education grants shall not exceed 95 percent of the costs of such work; for all other grants and cooperative agreements, federal costs shall not exceed 60 percent of the research, studies, or work. EPA has determined that annual matching requirements for LISS grant awards shall be met in the aggregate for all funds awarded as specified in the approved annual work plan. This program has no statutory formula (33 USC 1269(d)(3)). Federal costs for citizen involvement and education grants shall not exceed 95 percent of the costs of such work; for all other grants and cooperative agreements, federal costs shall not exceed 60 percent of the research, studies, or work. Public Law 106-457, which amended Section 320(g) of the Water Quality Act of 1987, limits the amount of grants to 75 percent of the aggregate Comprehensive Conservation and Management Plan (CCMP) development project costs for each estuary program and to 50 percent of the aggregate CCMP implementation project costs for each estuary program, and requires that the nonfederal share be provided from nonfederal sources. The nonfederal share can be contributed by any of the participants in the management conference. For awards containing both funds (Long Island Sound & National Estuary), the aggregate cost share must be calculated. EPA has determined that annual matching requirements for LISS grant awards shall be met in the aggregate for all funds awarded as specified in the approved annual work plan. MOE requirements are not applicable to this assistance

listing.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a one-year period corresponding to the federal fiscal year, October-September. Awards may be made for longer periods upon justification and with the approval of EPA. Method of awarding/releasing assistance: other

Reports:

Recipient must submit semi-annual reports on the progress toward outputs identified in the performance work plan. Expenditure reports are part of the progress reporting. Reporting requirements and frequency shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$21,000,000.00; FY 21 est \$31,400,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$20,000 to \$2,000,000/fiscal year; Average: \$438,611/fiscal year

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For accomplishments associated with this assistance listing, please visit: <https://longislandsoundstudy.net/category/media-center/annual-and-biennial-reports/> AND <https://longislandsoundstudy.net/>

REGULATIONS, GUIDELINES, AND LITERATURE:

LISS grants and cooperative agreements are subject to grant regulations 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart P (Financial Assistance for the National Estuary Program); annual EPA Office of Water National Estuaries Program guidance; and the LISS CCMP. Applicable EPA Policies and Guidance are available at the following URL: <https://www.epa.gov/grants/epa-policies-and-guidance-grants>. EPA's repository of General Terms and Conditions is available at the following URL: <https://www.epa.gov/grants/grant-terms-and-conditions>.

Regional or Local Office:

None.

Headquarters Office:

Mark Tedesco,
USEPA Long Island Sound Office, Government Center, 888 Washington Boulevard, Suite 9-11,
Stamford CT 06904
Email: tedesco.mark@epa.gov
Phone: 203-977-1542
Fax: Nicole Tachiki,
USEPA Long Island Sound Office, Government Center, 888 Washington Boulevard, Suite 9-11,

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Stamford CT 06904
Email: tachiki.nicole@epa.gov
Phone: 203-977-1545
Fax:

Website Address:

<https://longislandsoundstudy.net>

RELATED PROGRAMS:

66.456 National Estuary Program;

EXAMPLES OF FUNDED PROJECTS:

2021 For examples of the types of projects funded under this assistance listing, please visit: <https://longislandsoundstudy.net/2021/08/2021-work-plan/>.

CRITERIA FOR SELECTING PROPOSALS:

EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

66.440 Urban Waters Small Grants

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3), as amended, 33 USC Code 1254 et seq.
Title National Environmental Policy Act (NEPA), Section 102(2)(F)

OBJECTIVES:

The objective of EPA's Urban Waters Program is to protect and restore America's urban waterways. EPA's funding priority is to achieve the goals and commitments established in the Agency's Urban Waters Strategic Framework (<https://www.epa.gov/urbanwaters/urban-waters-strategic-framework>). This program has an emphasis on engaging communities with environmental justice concerns. The objective of the Urban Waters Small Grants is to fund research, investigations, experiments, training, surveys, studies, and demonstrations that will advance the restoration of urban waters by improving water quality in urban areas through activities that also support community revitalization and other local priorities. This program recognizes that healthy and accessible urban waters can help grow local businesses and enhance educational, recreational, social, and employment opportunities in nearby communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. The funds will support conducting and promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements.

Applicant Eligibility:

Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under the Urban Waters Small Grants is generally available to States, local governments, Indian Tribes, public and private universities and colleges, public or private nonprofit institutions/organizations, intertribal consortia, and

interstate agencies. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. Non-profit organization, as defined by 2 CFR Part 200, means any corporation, trust, association, cooperative or other organization that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve and/or expand its operations. Note that the definition of Nonprofit organization in 2 CFR Part 200 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of 2 CFR 200. Indian Tribes (or "federally recognized Indian tribe"), as defined in 2 CFR 200.54, is any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. Chapter 33), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians (25 U.S.C. 450b(e)). See annually published Bureau of Indian Affairs list of Indian Entities Recognized and Eligible to Receive Services. State, as defined in 2 CFR 200.90, is defined as any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any agency or instrumentality thereof exclusive of local governments. For certain competitive funding opportunities under this assistance listing description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Interstate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Anyone/general public; Individual/Family; Residents of urban areas adversely impacted by water pollution, State and local governments, Indian Tribes, other public and private nonprofit institutions, intertribal consortia, and interstate agencies.

Credentials/Documentation:

EPA may request that applicants demonstrate their eligibility. Documentation of nonprofit status may be required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.epa.gov/grants). Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The standard

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application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. For competitive awards, Requests for Applications will specify application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information about deadlines is provided in competitive announcements.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching requirements, if any, will be described in the competitive announcement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$40,000 to \$60,000/fiscal year; Average: \$50,000/fiscal year

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 21, funding continues to support projects that seek to help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, investigations, demonstrations, and training grants and cooperative agreements are subject to the grant regulations 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); and 40 CFR Part 45 (Training Assistance).

Regional or Local Office:

None.

Headquarters Office:

Chris Orvin,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 4601M),

Washington DC 20460

Email: orvin.chris@epa.gov

Phone: 202-564-0430

Fax:

Website Address:

<https://www.epa.gov/urbanwaterspartners>

RELATED PROGRAMS:

66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act;

EXAMPLES OF FUNDED PROJECTS:

2021 Funded projects will help restore and protect urban water quality and revitalize adjacent neighborhoods by engaging communities in activities that increase their connection to, understanding of, and stewardship of local urban waterways. Examples of selected projects include youth education on watershed science and stewardship of urban waterways, water quality monitoring and studies, community engagement and outreach to promote best management practices in restoring urban waters, urban watershed assessments to develop a community action plan, and hands-on learning workshops to educate the public on the importance of urban waters. More information on specific projects selected for funding can be found at <https://www.epa.gov/urbanwaters/urban-waters-small-grants>. No additional awards are anticipated in FY 2021.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.441 Healthy Watersheds Consortium Grant Program

Healthy Watersheds Consortium Grant Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3), 33 USC Code 1254 et seq. Title National Environmental Policy Act (NEPA), Section 102(2)(F)

OBJECTIVES:

To support strategically protecting healthy watersheds across the country. The focus of the grant program is to protect freshwater ecosystems and their watersheds. Additional background information on the Healthy Watersheds Program and priorities can be found at the following website:

<https://www.epa.gov/hwp.Funding Priority - Fiscal Year 2021: Through the>

ASSISTANCE LISTINGS DESCRIPTIONS

Healthy Watersheds Consortium Grant, EPA funds an intermediary organization to provide subawards to eligible sub-awardees for projects to support strategic protection of freshwater ecosystems through capacity development and local demonstration and training projects. No additional awards are anticipated in FY 2021.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Healthy Watershed Consortium Grant Program funds one cooperative agreement to an organization to manage the Healthy Watershed Consortium Grant subaward process that will award subgrants to support the strategic protection of freshwater ecosystems and their watersheds across the country. These funds are used for healthy watershed capacity development and for local demonstration and/or training projects. Projects funded under the subgrant process must be limited to activities that conduct or promote the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Healthy Watersheds Consortium Grant funds cannot be used for the purchase of land or conservation easements.

Applicant Eligibility:

Interstate; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Universities, colleges and institutions of higher education and hospitals are not eligible under this announcement. Non-profit, non-governmental organizations, interstate agencies, and intertribal consortia which are capable of undertaking activities that advance watershed protection programs are eligible to compete in this program. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia must meet the requirements of 40 CFR Part 35.504. Non-profit organization, as defined by 2 CFR Part 200, means any corporation, trust, association, cooperative or other organization that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve and/or expand its operations. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Interstate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Public and private nonprofit institutions/ organizations, federally recognized Indian tribal governments, states, local governments, U.S. territories and interstate agencies.

Credentials/Documentation:

Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for

more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Submission instructions can be found in the competitive announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement.

Range of Approval/Disapproval Time:

From 120 to 180 days. Grants are usually approved within 4 months of receipt of completed application

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. The applicant must provide a minimum of 25 percent of the total cost of the workplan. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project amount (in dollars) divided by 4 = minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or has a total project cost of \$100,000 for a three-year project period, it must be able to provide \$25,000 in matching funds or services. Award recipients can meet the match requirements with in-kind or monetary contributions from entities other than themselves. However, other federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement workplan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds. In addition to matching requirements, the applicant must demonstrate how they will leverage resources during grant performance. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award Method of awarding/releasing assistance: other

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Progress reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$3,750,000 every six years. Average: \$625,000/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: EPA funded a cooperative agreement to an organization to provide subawards to eligible subawardees for projects to support strategic protection of freshwater ecosystems through capacity development and local demonstration and training projects. The Healthy Watersheds Consortium Grant Program subaward grants have been awarded. There is no additional funding available in 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

General grant regulations and procedures, 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35.610 (Water Development Grant Program), as applicable.

Regional or Local Office:

None.

Headquarters Office:

Steve Epting, Biologist,

Environmental Protection Agency, Nonpoint Source Management Branch, Office of Oceans, Wetlands, and Watersheds, 1200 Pennsylvania Avenue, N.W. (Mail Code: 4503T),

Washington DC 20460

Email: epting.steve@epa.gov

Phone: 202-566-1074

Fax:

Website Address:

<https://www.epa.gov/hwp>

RELATED PROGRAMS:

66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 EPA funded a cooperative agreement to an organization to provide subawards to eligible subawardees for projects to support strategic protection of freshwater ecosystems through capacity development and local demonstration and training projects in FY 15. In FY 21 EPA continued to support the organization to fund subaward projects such as: projects that help the states/tribes identify healthy watersheds through assessments; projects to develop and support healthy watersheds conservation plans; projects on public outreach and education on the importance of healthy watersheds; local conservation demonstration (new or experimental) projects related to healthy watershed protection; and training projects related to healthy watersheds protection.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.442 Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A)

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1459A

OBJECTIVES:

To assist small and disadvantaged communities to comply with the Safe Water Drinking Act (SDWA). Funding is awarded to states and territories, including a tribal allotment, for: (1) investments necessary for public water systems to comply with national drinking water standards; (2) programs to provide household water quality testing, including testing for unregulated contaminants; and (3) activities necessary for a state to respond to a contaminant. Projects/activities under this grant program are those which assist public water systems in underserved, small and disadvantaged communities meet Safe Drinking Water Act (SDWA) requirements. Funding Priority - Fiscal Year 2021: Funding priority is for projects and activities that benefit underserved communities. Underserved communities include those communities that do not have household drinking water or wastewater services; or are served by a public water system that violates, or exceeds a requirement of a national primary drinking water regulation issued under SDWA section 1412, including a maximum contaminant level; a treatment technique; or an action level.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are provided to eligible applicants to carry out projects and activities needed for public water systems to comply with the Safe Drinking Water Act, programs to provide household water quality testing, and activities for a state to respond to a drinking water contaminant. Eligible projects/activities for grant funding include but are not limited to the following: Investments necessary for a public water system to comply with the SDWA Assistance that directly and primarily benefits a disadvantaged community Programs to provide household water quality testing, including testing for unregulated contaminants Activities necessary and appropriate for a State to respond to a contaminant Interested applicants can find more information relating to the grant program and how the funding assistance may be used through the implementation document that is available on the website related the grant program at <https://www.epa.gov/dwcapacity/implementation-document-assistance-small-and-disadvantaged-communities-drinking-water>. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; Eligibility is limited to the governments of the fifty states; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized tribes.

Beneficiary Eligibility:

State; Federally Recognized Indian Tribal Governments; U.S. Territories; Low Income; Rural; Funded activities will benefit residents of small and disadvantaged communities that do not have household drinking water or wastewater services, or are served by public water systems that do not meet Safe Drinking Water Act requirements.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The grant applications are reviewed by the appropriate EPA Regional Office, and if approved, the funds are awarded to the recipient.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 45%. Requirement: Matching requirements are mandatory. The grant award requires a non-federal cost share/match of no less than 45% of the total costs of the project or activity. Matching requirements will be described in implementation documents. This program does not have a statutory formula. However, EPA allocates funds based on formulas contained in regulations or program guidance. For FY 2021 funding only, the cost share match is waived. Any resources contributed to the project beyond the funds provided by the agency would be considered leveraged resources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may also require quarterly,

interim, and final progress reports, and expenditure/financial, equipment reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Reporting requirements shall be determined at the time of award. Reporting requirements shall be determined at the time of award. Reporting requirements shall be determined at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$13,157,200.00; FY 21 est \$26,232,000.00; FY 22 est \$41,234,000.00;

Range and Average of Financial Assistance:

Range for States/Territories: \$150,000 to \$3,770,000; Average: \$763,000. Range of regional tribal allotments: \$19,000 to \$274,000; Average: \$97,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The projects and eligible activities funded included assistance to tribal public water systems in underserved, small and disadvantaged communities to meet and comply with the requirements of the Safe Drinking Water Act (SDWA). In FY 2020, over \$20M in funding focused on providing drinking water and drinking water services to tribal communities. Fiscal Year 2021: The projects and eligible activities funded include SDWA compliance investments targeted to public water systems, programs to provide household water quality testing (including testing for unregulated contaminants), as well as activities to respond to a drinking water contaminant.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance agreement awards are subject to the grant regulations under 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); and 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs). Additional information can be found at <https://www.epa.gov/ground-water-and-drinking-water/drinking-water-grants>.

Regional or Local Office:

See Regional Assistance Locations. A list of EPA Regional Contacts is available at <https://www.epa.gov/ground-water-and-drinking-water/drinking-water-grants>.

Additional Headquarters Office Contact: Alyssa Edwards, Environmental Protection Agency, Office of Ground Water and Drinking Water, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A), Washington, D.C. 20460, Email: edwards.alysa@epa.gov; Phone: 202-564-0473

Headquarters Office:

Yvonne Gonzalez, Program Lead,

Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

Washington DC 20460

Email: gonzalez.yvonne@epa.gov

Phone: 202-564-2912

Fax: Catherine Davis, Associate Branch Chief,

ASSISTANCE LISTINGS DESCRIPTIONS

Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

Washington DC 20460

Email: davis.catherinem@epa.gov

Phone: 202-564-2703

Fax:

Website Address:

<https://www.epa.gov/ground-water-and-drinking-water/drinking-water-grants>

RELATED PROGRAMS:

66.432 State Public Water System Supervision; 66.468 Capitalization Grants for Drinking Water State Revolving Funds; 66.473 Direct Implementation Tribal Cooperative Agreements;

EXAMPLES OF FUNDED PROJECTS:

2021 The projects and activities funded include SDWA compliance investments targeted to public water systems, programs to provide household water quality testing (including testing for unregulated contaminants), as well as activities to respond to a drinking water contaminant. Grant funding can be also used for activities that may include, but are not limited to: (1) Treatment; (2) Transmission and Distribution; (3) Storage (e.g. installation or replacement/rehabilitation of water storage infrastructure); (4) Consolidation (e.g. interconnecting two or more public water systems); (5) Household water quality testing, including for unregulated contaminants; (6) Assistance to increase technical, managerial, and financial (TMF) capacity; (7) Drinking water contamination response effort

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

66.443 Reducing Lead in Drinking Water (SDWA 1459B)

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1459B, as amended.

OBJECTIVES:

The grant program funds activities to reduce lead in drinking water in disadvantaged communities through infrastructure and/or treatment improvements or facility remediation in schools and child care facilities. The goal of the program is to address conditions that contribute to increased concentrations of lead in drinking water. Funding Priorities - Fiscal Year 2021: Priority given to projects that focus on reducing lead exposure in disadvantaged communities served by drinking water systems with at least one lead action level exceedance within the last three years, in schools with at least 50% of the children receiving free and reduced lunch, in Head Start facilities, and/or in areas with additional environmental health burdens (e.g., areas with older buildings likely to have lead-based paint).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and Cooperative agreements are available to support recipients allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with EPA policies and regulations. Funds are provided to eligible applicants to carry out projects and activities needed for infrastructure and/or treatment improvements or facility remediation in schools and child care facilities. The goal of these projects is to address conditions that contribute to increased concentrations of lead in drinking water, especially in disadvantaged communities, communities with drinking water systems that have demonstrated lead action level exceedances in the preceding three years and/or that fall within or contain Opportunity Zones, or in schools and/or child care facilities. Specific uses and restrictions on funds will be described in detail in the competitive announcements.

Applicant Eligibility:

U.S. Territories and possessions; Government - General; Interstate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of

higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Quasi-public nonprofit institution/organization; Eligible applicants include community water systems; water systems located in an area governed by an Indian Tribe; non-transient non-community water systems; qualified nonprofit organizations servicing a public water system; municipalities; and state, interstate, or inter-municipal agencies. Individuals and for-profit organizations are not eligible to apply. The EPA may ask applicants to demonstrate that they are eligible for funding under this announcement. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/general public; Native American Organizations; Homeowner; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Low Income; Rural; Education (0-8); The priority is to benefit disadvantaged communities, which are defined as the service area of a public water system that meets affordability criteria as established by each State under section 1452(d)(3) of the SDWA.

Credentials/Documentation:

Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). EPA awards grants and cooperative agreements based on competitive applications submitted in response to EPA solicitations. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to followed in applying for assistance, if the state has selected the program for review. Section 1459B is a new grant program that has not been included in the agency's list of programs subject to Intergovernmental review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: <https://www.epa.gov/grants/how-apply-grants>. For competitive awards, the Request for Application will specify application procedures Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement (Request for Applications) in Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The program requires a non-federal cost share/match of no less than 20% of the total costs of the project. Match may be in-kind consistent with the regulations governing match requirements at 2 CFR 200 and 1500 as applicable. Matching requirements will be described in the competitive announcement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in grant regulations under the provisions of the Uniformed Grant Guidance, 2 CFR 200 and 1500, as applicable. Reporting requirements and frequency shall be determined at the time of award under terms and conditions. Reporting requirements shall be determined at the time of award under terms and conditions. Reporting requirements shall be determined at the time of award under terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$21,341,000.00; FY 22 est \$81,343,000.00;

Range and Average of Financial Assistance:

Range: \$2,000,000 to \$5,000,000/fiscal year; Average: \$2,500,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The selected recipients in FY 20 proposed projects that would reduce lead exposure in drinking water by replacing thousands of lead service lines

and removing potential sources of lead in hundreds of schools and childcare facilities across the United States. These projects included 3 drinking water infrastructure projects in 3 different cities, and 7 projects that will reduce lead exposure in drinking water by replacing thousands of lead service lines and removing potential sources of lead in hundreds of schools and childcare facilities across the United States.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance agreement awards are subject to the grant regulations at 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Catherine M. Davis,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460

Email: Davis.CatherineM@epa.gov

Phone: 202-564-2703

Fax: 202-564-3754 Yvonne Gonzalez,

Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460

Email: gonzalez.yvonne@epa.gov

Phone: 202-564-2912

Fax: 202-564-3754

Website Address:

<https://www.epa.gov/dwcapacity/water-infrastructure-improvements-nation-act-wiin-act-grant-programs>

RELATED PROGRAMS:

66.444 Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d));

EXAMPLES OF FUNDED PROJECTS:

2021 Funded projects will continue to include those that emphasize reducing lead in drinking water in disadvantaged communities through infrastructure and/or treatment projects, such as lead service line replacement and corrosion control practices; and projects that emphasize reducing childrens exposure to lead in schools and child care facilities through the removal and/or replacement of lead-containing drinking water fixtures, fountains, outlets, and plumbing materials. The goal of the infrastructure and/or treatment improvements projects remains to reduce lead in drinking water in disadvantaged communities and to improve and maintain the drinking water supply and public health protection.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.444 Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d))

SDWA 1464(d),

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section Section 1464(d)

OBJECTIVES:

Funding is awarded to states, territories, and tribes to assist local educational agencies in voluntary testing for lead contamination in drinking water at schools and child care facilities. The principal objective of the assistance to be awarded under this program is to test schools and child care facilities for lead contamination in drinking water, using EPA's 3Ts for Reducing Lead in Drinking Water guidance

ASSISTANCE LISTINGS DESCRIPTIONS

or the at least equivalent applicable state regulations or guidance regarding reducing lead in drinking water in schools and child care facilities (see: <https://www.epa.gov/dwreginfo/3ts-reducing-lead-drinking-water-schools-and-child-care-facilities>). EPA's 3Ts, Training, Testing, and Taking Action, provide tools for schools, child care facilities, states, and water systems to implement voluntary lead in drinking water testing programs. Funding supports: (1) reducing children's exposure to lead in drinking water; (2) helping states and territories target funding toward schools unable to pay for testing; (3) using the 3Ts model, or at least equivalent model, to establish best practices for lead in drinking water prevention programs; (4) fostering sustainable partnerships at the state and local level to allow for more efficient use of existing resources and exchange of information among experts in various educational and health sectors; and (5) enhancing community, parent, and teacher cooperation and trust. Funding Priority - Fiscal Year 2021: The priority is to continue awarding funds to reduce lead in drinking water in schools and child care facilities through planning, public education, testing and outreach. This voluntary effort focuses on contamination in drinking water at schools and child care programs that are in disadvantaged and low-income areas. The grant program requires EPAs 3Ts for Reducing Lead in Drinking Water in Schools guidance to develop and implement programs in schools and child care facilities, and to identify and correct sources of lead in drinking water.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funding is available to eligible applicants to test for lead in drinking water using the Environmental Protection Agency's 3Ts for Reducing Lead in Drinking Water in Schools guidance or applicable state regulations or guidance. Funding should also be used for the administration of a testing program to include: (a) training, monitoring, and assessment of testing program; and (b) ensuring local educational agencies make available, if applicable, in the administration offices and, to the extent practicable, on the internet website of the local educational agency for inspection by the public, a copy of the results of any voluntary testing for lead contamination in school and child care facility drinking water program implemented with grant funds; and notify parent, teacher, and employee organizations of the availability of the results. Four percent of the funds can be used as a technical set aside for drinking water lead testing program training, assessment and monitoring. In accordance with the America's Water Infrastructure Act (AWIA) of 2018 2006 which amended the Water Infrastructure Improvement Act (WIIN) of 2017 2107, states or territories must assist in voluntary testing for lead contamination in drinking water at schools and child care programs that are in low-income areas. Under section 1464(d)(4) of the Safe Drinking Water Act, recipients may use up to 4% of the amount of federal funding for their grants for testing of lead contamination in school drinking water or child care facilities for administrative costs, including indirect costs under 2 CFR 200.414. As indicated in the terms and conditions for SDWA 1464(d)(4) assistance agreements, EPA interprets the term administrative costs for this program to include both indirect costs and specified direct administrative costs. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Under the authority provided in the SDWA 1464(d), the Program funds states as defined by the Safe Drinking Water Act. This includes the 50 states, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands. Consistent with this definition, within this document, the term state will be used to describe the 50 states and the District of Columbia, Puerto Rico, Guam, the US Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. A separate grant information document will be provided for tribal funding and located at www.epa.gov/safewater/grants.

Beneficiary Eligibility:

Public nonprofit institution/organization; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Low Income; Other Urban; Rural; Education (0-8); Education (9-12); Education (13+);

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: <https://www.epa.gov/grants>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office to determine the overall adequacy of the application to meet the statutory objectives. The awards are also subject to the requirements under 2 CFR part 200 (OMB Uniform Grant Guidance) and 2 CFR part 1500 (the EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards) as they apply to the grant funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at the time of award. Method of awarding/releasing assistance: other

Reports:

The EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may also require interim and final progress reports, expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200.328. Program Reports are required under this program. Reporting requirements and frequency shall be determined at the time of award. Reporting requirements and frequency shall be determined at the time of award. Reporting requirements and frequency shall be determined at the time of award. Reporting requirements and frequency shall be determined at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

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Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$52,196,500.00; FY 21 est \$26,500,000.00; FY 22 est \$36,500,000.00;

Range and Average of Financial Assistance:

States/Territories Range: \$36,000 to \$2,258,000/fiscal year. Average/fiscal year: \$450,000

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY2020, the funding supported the development and implementation of programs to test for lead in drinking water in schools and child care facilities. Funding assisted with continued efforts to identify best practices for developing lead testing in schools and child care facilities across the country; develop and deliver results to affected communities through outreach and communication; and increase understanding of multiple aspects of improving public water system infrastructure and respective outlets to reduce lead exposure in drinking water for the purpose of increasing public health. The funding prioritizes continued efforts to reduce lead in drinking water in an effort to maintain healthy learning environments for vulnerable populations such as children in schools and child care facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance agreement awards are subject to the grant regulations 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs). Additional implementation documentation can be found at https://www.epa.gov/sites/production/files/2020-03/documents/fy2020_implementation_document_for_wiin_2107_testing_in_schols_updated_3232020.pdf

Regional or Local Office:

None.

Headquarters Office:

Ying Tan, Project Contact,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460

Email: tan.ying@epa.gov

Phone: 202-564-2392

Fax: Yvonne V. Gonzalez, Grant Team Lead,

Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

Washington DC 20460

Email: Gonzalez.Yvonne@epa.gov

Phone: 202-564-2912

Fax:

Website Address:

<https://www.epa.gov/ground-water-and-drinking-water/drinking-water-grants>

RELATED PROGRAMS:

66.442 Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A);

EXAMPLES OF FUNDED PROJECTS:

2021 The funding will continue to support projects and expand implementation of state programs that: 1. provide training on conducting testing for lead in drinking water in schools and child care facilities; 2. provide information on testing results to the states and public; 3. analyze drinking water samples; 4. develop resources and tools to communicate the results of the testing to the community at large; and 5. develop and implement state monitoring and maintenance plans that protect children from future lead exposure.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

66.445 Innovative Water Infrastructure Workforce Development Program (SDWA 1459E)

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1459E, as amended, 42 USC Code 300j-19e.

OBJECTIVES:

To inform the public about the role of water and wastewater systems in their communities, increase awareness of career opportunities in the water utility sector, and provide exposure to water utility careers through various work-based opportunities. Funding Priority - Fiscal Year 2021: Funding priorities include, but are not limited to: (1) increasing awareness of career opportunities, and providing exposure to water utilities to address the workforce needs of water utilities; and (2) providing hands-on contextualized learning opportunities to assist the development and utilization of innovative activities relating to workforce development and career opportunities in the water utility sector.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. The funds will support increased awareness of career opportunities and exposure to water utility careers through various work-based learning opportunities, connect individuals to career pathways related to water utilities, and provide direct connection to industry employers. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are nonprofit professional or service organizations, nonprofit labor organizations, nonprofit community colleges, institutions of higher education, or other nonprofit training and educational institutions. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For-profit organizations and individuals are not eligible to apply. The EPA may ask applicants to demonstrate that they are eligible for funding. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Anyone/general public; School; Youth (16-21);

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested

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to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. EPA awards grants and cooperative agreements based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. For competitive awards the Requests for Applications will specify application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in competitive announcements.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: For certain competitive funding opportunities under this assistance listing, the Agency may include matching requirements consistent with the Agency's Assistance Agreement Competition Policy. Match may be cash or in-kind consistent with the regulations governing match requirements at 2 CFR 200 and 1500 as applicable. Specific matching requirements will be described in detail in the competitive announcements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the grant regulations at 2 CFR 200.328. Program reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting

requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 200 and 1500 as applicable, apply. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

\$200,000 to \$1,000,000/fiscal year; Average: \$500,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements are subject to the general grant regulations 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Hana Abate,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: abate.hana@epa.gov
Phone: 202-564-2844
Fax: 202-501-2238

Website Address:

<https://www.epa.gov/sustainable-water-infrastructure>

RELATED PROGRAMS:

66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.951 Environmental Education Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement. Competitive applications will be selected in accordance with announced criteria.

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66.446 Technical Assistance for Treatment Works (Clean Water Act [CWA] Section 104(b)(8))

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(8), 33 USC Code 1254, as amended.

OBJECTIVES:

The objective is to provide technical assistance and training to rural, small, and tribal municipalities to: (1) plan, develop, and obtain financing for Clean Water State Revolving Fund (CWSRF) eligible projects; (2) protect water quality and achieve and maintain compliance with the requirements of the Clean Water Act; and (3) provide information on planning, design, construction, and operation of publicly owned treatment works and decentralized wastewater treatment systems. Funding Priority - Fiscal Year 2021: Funding priorities include but are not limited to providing training and technical assistance to small, rural, and tribal publicly-owned wastewater systems and to small, rural and tribal communities served by onsite/decentralized wastewater systems.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds may be used to support: (1) training to operators, staff, managers on the sustainable and effective management of small wastewater treatment systems; (2) assist operators to manage small wastewater treatment systems to achieve compliance; and (3) perform onsite training or technical solutions that focus on improving performance at local facilities. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to public or private nonprofit organizations, subject to CWA 104(w), and the regulations at 2 CFR 200. Nonprofit organization, as defined by 2 CFR Part 200, means any corporation, trust, association, cooperative or other organization that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve and/or expand its operations. Note that 2 CFR Part 200 specifically excludes the following types of organizations from the definition of nonprofit organization because they are separately defined in the regulation: (i) institutions of higher education; and (ii) state, local and federally-recognized Indian tribal governments. Institutions of Higher Education are defined at 20 U.S.C. 1001. Nonetheless, institutions of higher education who otherwise qualify as nonprofit organizations are eligible to apply as indicated in the Office of Management and Budgets July 2017 Frequent Questions on the Uniform Grant Guidance. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in prohibited lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Rural;

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2

CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. EPA awards grants and cooperative agreements based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. EPA requires final applications to be made on Standard Form 424. For competitive awards the Requests for Applications will specify application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific deadlines will be described in the competitive announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are voluntary. For certain competitive funding opportunities under this assistance listing, the Agency may include matching requirements consistent with the Agency's Assistance Agreement Competition Policy. Match may be cash or in-kind consistent with the regulations governing match requirements at 2 CFR 200 and 1500 as applicable. Specific matching requirements will be described in detail in the competitive announcements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the grant regulations at 2 CFR 200.328. Program reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 200 and 1500 as applicable, apply. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$18,000,000.00; FY 22 est \$18,000,000.00;

Range and Average of Financial Assistance:

This is a new grant program; the information is not available at this time.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements are subject to the general grant regulations 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Matthew Richardson,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20459
Email: richardson.matthew@epa.gov
Phone: 202-564-2947
Fax: 202-501-2238

Website Address:

<https://www.epa.gov/sustainable-water-infrastructure>

RELATED PROGRAMS:

66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.447 Sewer Overflow and Stormwater Reuse Municipal Grant Program

Overflow Stormwater Grant Program (OSG Program),

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Federal Water Pollution Control Act, Section 221, as amended, Public Law 115-270, section 4106, 33 USC Code 1301.

OBJECTIVES:

The objective of the OSG Program is to award grants to the states, the District of Columbia, and U.S. territories for the purpose of providing grants to a municipality or municipal entity for the planning, design, or construction of: 1) treatment works to intercept, transport, control, treat, or reuse municipal combined sewer overflows (CSOs), sanitary sewer overflows (SSOs), or stormwater; and 2) any other measures to manage, reduce, treat, or recapture stormwater or subsurface drainage water. Funding Priorities - Fiscal Year 2021: State recipients shall provide funding to municipalities based on the following priorities: 1. financially distressed communities that meet the affordability criteria established by the state; 2. implementing a long-term control plan for CSOs or SSOs; 3. requesting funds for a project included on a states Intended Use Plan for the Clean Water State Revolving Fund; or 4. an Alaskan Native Village. State recipients may select which priorities from this list are most relevant for their program when determining which municipalities to fund. Furthermore, to the extent there are sufficient eligible project applications, at least 20% of a states allocation must be used for green infrastructure, water and energy efficiency improvements, or other environmentally innovative activities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

State entities may use funds to plan, design, or construct projects that correct combined sewer overflows, sanitary sewer overflows, stormwater needs, or subsurface drainage needs. Projects may include but are not limited to: installation of separate sanitary and storm sewers; infiltration/inflow correction; stormwater collection systems; Green Infrastructure; or other capital projects that mitigate sewer overflows or stormwater concerns. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; Eligible recipients include the 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands.

Beneficiary Eligibility:

State; Anyone/general public; Beneficiaries of these grants include the residents within the municipality or municipal entity that received an OSG sub-award from the state.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). State applicants may coordinate with their EPA Regional Project Officers to review their workplan and to answer questions concerning the technical or administrative requirements of the grant prior to the application package submission on [grants.gov](https://www.epa.gov/grants). Informal meetings may be held as needed between the EPA regional office and the state or territorial applicant agencies during work plan

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development. The grant agreement must adequately reflect the priorities identified in the EPA Strategic Plan and any State/EPA Agreements. For additional information, contact the individual(s) listed as "Information Contacts".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant application package can be found at: <https://www.epa.gov/grants/how-apply-grants>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

OSG Program funds are awarded to states, D.C., and the U.S. territories based on an allocation. Applicants can contact their EPA regional office for further information and program materials. Applications are reviewed by the appropriate EPA Regional Office and if approved, are awarded by the Region.

Deadlines:

Application forms must be submitted according to dates established by the Regional Administrator. Contact the Regional Office for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. This program does not have a statutory formula. However, EPA allocates funds based on formulas contained in regulations or program guidance. The Federal share for awards shall be 80% of the total award. Recipients are required to provide a non-federal cost share/match for the remaining 20% of the total award. Sources of Cost Share: statutory language in 33 USC 1301(d) describes: The non-Federal share of the cost may include, in any amount, public and private funds and in-kind services, and may include, notwithstanding 33 USC 1383(h), financial assistance, including loans, from a State water pollution control revolving fund (CWSRF). OSG-related expenses paid by the CWSRF fee accounts, held outside the Fund, may also be used as personal expenses provided, they are appropriately identified in the CWSRF Intended Use Plan (IUP) and CWSRF annual report. A CWSRF loan (including additional subsidization) to the same subrecipient or different subrecipients may be used to meet the cost share requirement. Only non-equivalency CWSRF agreements may be used. CWSRF proportionality rules do not apply to OSG grant funds. Recipients may coordinate with their EPA Regional Office for support in implementing Cost Share requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at the time of award. Method of awarding/releasing assistance: other

Reports:

Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$39,600,000.00; and FY 22 est \$59,600,000.00;

Range and Average of Financial Assistance:

This is a new grant program; the information is not available at this time.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance agreement awards are subject to the grant regulations at 2 CFR 200 and 2 CFR 1500, as applicable. Costs are to be determined in accordance with 2 CFR 200 subpart E. On August 4, 2020, EPA published a Federal Register Notice (FRN) on the section 221 allocation formula design and its contributing data sources used to assess total needs of the state for municipal CSO controls, SSO controls, and stormwater. This notice is available at <https://www.regulations.gov/docket?D=EPA-HQ-OW-2020-0282>. The OSG Program's implementation document is available at <https://www.epa.gov/cwsrf/sewer-overflow-and-stormwater-reuse-municipal-grants-program>

Regional or Local Office:

See Regional Assistance Locations. U.S. EPA Region 1, 5 Post Office Square, Suite 100 Boston, MA 02109-3912, POC: Jason Turgeon Email: Turgeon.Jason@epa.gov, Phone: 617-918-1637 (CT, ME, MA, NH, RI, VT U.S.); U.S. EPA Region 2, 290 Broadway, 18th Floor New York, NY 10007-1866, POC: Denis Durack, Email: Durack.Denis@epa.gov, Phone: 212-637-3875 (NJ, NY, PR, VI); U.S. EPA Region 3, 1650 Arch Street Philadelphia, PA 19103, POC: Magdalene Cunningham, Email: Cunningham.Magdalene@epa.gov, Phone: 215-814-2338 (DE, DC, MD, PA, VA, WV U.S.); EPA Region 4, 61 Forsyth Street, SW 16th Floor (9T25) Atlanta, GA 30303-8960, POC: Caroline Ejimofor, Email: Ejimofor.Caroline@epa.gov, Phone: 404-562-9309 (AL, FL, GA, KY, MS, NC, SC, TN); U.S. EPA Region 5, 77 West Jackson Boulevard (WS-15J) Chicago, IL 60604-3507, POC: Kate Johnson, Email: Johnson.Catherine@epa.gov, Phone: 312-353-5692 (IL, IN, MI, MN, OH, WI); U.S. EPA Region 6, 1445 Ross Avenue, Suite 1200 Dallas, TX 75202-2733, POC: Miriam Varnado, Email: Varnado.Miriam@epa.gov, Phone: 214-665-7171 (AR, LA, NM, OK, TX); U.S. EPA Region 7, 11201 Renner Boulevard, Lenexa, KS 66219, POC: Glenn Curtis, Email: Curtis.Glenn@epa.gov, Phone: 913-551-7726 (IA, KS, MO, NE); U.S. EPA Region 8, 1595 Wynkoop Street (8P-W-TF) Denver, CO 80202-1129, POC: Benjamin Bents, Email: Bents.Benjamin@epa.gov, Phone: 303-312-6435 (CO, MT, ND, SD, UT, WY); U.S. EPA Region 9, 75 Hawthorne St. San Francisco, CA 94105, POC: Elizabeth Borowiec, Email: Borowiec.Elizabeth@epa.gov, Phone: 415-972-3419 (AZ, CA, HI, NV, AS, GU, CNMI); U.S. EPA Region 10, 1200 Sixth Avenue, Suite 900 (OWW-137) Seattle, WA 98101, POC: Sejal Soni, Email: Soni.Sejal@epa.gov, Phone: 206-553-1798 (AK, ID, OR, WA)

Headquarters Office:

Michael Goralczyk,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W.,
Washington DC 20460
Email: goralczyk.michael@epa.gov
Phone: 1-202-564-7347
Fax:

Website Address:

<https://www.epa.gov/cwsrf/sewer-overflow-and-stormwater-reuse-municipal-grants-program>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

66.458 Capitalization Grants for Clean Water State Revolving Funds;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

66.448 Drinking Water System Infrastructure Resilience and Sustainability Program SDWA 1459A(I)

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act (SDWA), Section Section 1459A(I), as amended

OBJECTIVES:

The purpose of this grant program is to increase drinking water system resilience to natural hazards. Grant funding can be used to assist in the planning, design, construction, implementation, operation, or maintenance of a program or project that increases resilience to natural hazards. Funding Priority Fiscal Year 2021:

Funding priority is for projects that increase drinking water system resilience to natural hazards in underserved communities. Underserved communities include those communities that: do not have household drinking water or wastewater services; are served by a public water system that violates, or exceeds a requirement of a national primary drinking water regulation issued under SDWA section 1412, including a maximum contaminant level, a treatment technique, or an action level. Eligible activities for funding include planning, design, construction, implementation, operation, or maintenance of a program or project that increased drinking water resilience to natural hazards through: (A) the conservation of water or the enhancement of water use efficiency; (B) the modification or relocation of existing drinking water system infrastructure made, or that is at risk of being, significantly impaired by natural hazards, including risks to drinking water from flooding; (C) the design or construction of desalination facilities to serve existing communities; (D) the enhancement of water supply through the use of watershed management and source water protection; (E) the enhancement of energy efficiency or the use and generation of renewable energy in the conveyance or treatment of drinking water; or (F) the development and implementation of measures to increase the resilience of the eligible entity to natural hazards.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are provided to eligible applicants to carry out projects and activities needed for public water systems to increase drinking water system resilience to natural hazards. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Eligible applicants include (1) a public water system; a water system located in an area governed by an Indian Tribe; or a State; and (2) serves a community (A) that is underserved; and (B) that, under affordability criteria established by the State under section 1452(d)(3), is determined by the State to be a disadvantaged community; and (C) with a population of less than 10,000 individuals. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; U.S. Territories; Low Income; Rural; Funded projects will benefit residents of underserved, small and disadvantaged communities.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. The Request for Application will specify application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement for the Request for Applications in Grants.gov.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 45%. Requirement: Matching requirements are mandatory. The program requires a non-federal cost share/match of no less than 45% of the total costs of the project. Match may be in-kind consistent with the regulations governing match requirements at 2 CFR 200 and 1500 as applicable. Matching requirements will be described in the competitive announcement. The Agency may waive, in whole or in part, the 45% non-federal cost share if it determines that an eligible entity is unable to pay, or would experience significant financial hardship if required to pay, the non-Federal share. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: other

Reports:

Reporting requirements and frequency shall be determined at the time of award under terms and conditions. Reporting requirements and frequency shall be

ASSISTANCE LISTINGS DESCRIPTIONS

determined at the time of award under terms and conditions. Reporting requirements and frequency shall be determined at the time of award under terms and conditions. Reporting requirements and frequency shall be determined at the time of award under terms and conditions. Reporting requirements and frequency shall be determined at the time of award under terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$9,000,000.00;

Range and Average of Financial Assistance:

Range: \$100,000 to \$5,720,000/fiscal year; Average: \$1,120,000/fiscal year. This is a new program. This range reflects an estimate.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance agreement awards are subject to the grant regulations at 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Alyssa Edwards,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

Washington DC 20460

Email: edwards.alyssa@epa.gov

Phone: (202) 564-0473

Fax: Yvonne Gonzalez,

Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

Washington DC 20460

Email: gonzalez.yvonne@epa.gov

Phone: (202) 564-2912

Fax:

Website Address:

<https://www.epa.gov/ground-water-and-drinking-water/drinking-water-grants>

RELATED PROGRAMS:

66.442 Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.454 Water Quality Management Planning

(205(j)(2)) or 604(b),

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 205(j)(1) & 205(j)(2) & 604(b), as amended, Public Law 97-117, Title Water Quality Act of 1987, , Public Law 100-4, Title American Recovery and Reinvestment Act of 2009, , Public Law 111-5

OBJECTIVES:

To assist States (including territories and the District of Columbia), Regional Public Comprehensive Planning Organizations (RPCPOs), and Interstate Organizations (IOs) in carrying out water quality management (WQM) planning. Funding Priority - Fiscal Year 2021: It is anticipated that funds will be allotted by state in accordance with Section 604(b) of the Clean Water Act. Grant funds are used to determine the nature and extent of point and non-point source water pollution and to develop water quality management plans. States are encouraged to give priority to watershed restoration planning. EPA is requesting states to emphasize a watershed approach in developing their state workplans. This will complement the Agency's overall watershed effort as stated in the Agency's Strategic Plan.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Section 604(b) funds are awarded under Section 205(j)(2) to State water quality management agencies to carry out WQM planning. Pursuant to Section 205(j)(3) of the CWA, as amended, States are required to allocate at least 40 percent of funds awarded under 205(j)(2) to eligible RPCPOs and IOs. EPA may approve a State's request to pass through less than 40 percent if, after consultation with its RPCPOs and IOs, the Governor determines that pass through of at least 40 percent will not: (1) result in significant participation by RPCPOs and IOs in WQM planning; and (2) significantly assist in development and implementation of the state's WQM plan. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State Water Quality Management Agencies.

Beneficiary Eligibility:

State; State Water Quality Management Agencies.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The standard application forms, as furnished by the Federal agency and required by 2 CFR 200 and 1500 as applicable, must be used for this program. Neither environmental impact statements nor environmental impact assessments are required for activities funded under Section 205(j) and 604(b) since these funds are used for water quality planning and not implementation..

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Protection of the Environment, Chapter 40, Part 35, Subpart 412, Public Law Clean Water Act Section 604(b). Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As determined in accordance with Section 604(c)(1) of the Clean Water Act (CWA). Sums allotted to a State under this section for a fiscal year shall be available for EPA's obligation to the State during the fiscal year for which sums are authorized and during the following fiscal year. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program Reports are required. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$16,458,000.00; FY 21 est \$16,458,000.00; FY 22 est \$18,700,000.00;

Range and Average of Financial Assistance:

Range: \$100,000 to \$1,478,000/fiscal year; Average: \$251,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Section 604(b) funding provides the financial source for States and territories to pursue water quality planning under Sections 205(j) and 303(e) of

the Clean Water Act. This program provides a planning framework to support watershed restoration and protection activities. In FY 21, a total of 56 grants will be awarded to States and territories to support water quality planning activities. Outputs included new/updated water quality management plans, new/revised water quality standards, Total Maximum Daily Loads (TMDLs), river planning projects, ambient monitoring data to support water quality assessments, and integrated 304(b)/303(d) reports.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); and 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

None.

Headquarters Office:

Cynthia Curtis, Analyst,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 4503T),

Washington DC 20460

Email: curtis.cynthia@epa.gov

Phone: 202-566-0340

Website Address:

<https://www.epa.gov/cwsrf/clean-water-state-revolving-fund-cwsrf-resources>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;

EXAMPLES OF FUNDED PROJECTS:

2021 Grants are allocated to States for: 1) development of water quality management plans; 2) development of watershed plans; 3) development of waste load allocations for impaired waterbodies; 4) reviews and revisions of water quality standards; and 5) collection and analysis of ambient monitoring data.

CRITERIA FOR SELECTING PROPOSALS:

Funds are awarded to state agencies in accordance with Sections 205(j) and 303(e) of the Clean Water Act, 40 CFR 130.5-6, and EPA program guidance.

66.456 National Estuary Program

NEP,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 320

OBJECTIVES:

The National Estuary Program (NEP) goal is to protect and restore the water quality and estuarine resources of estuaries and associated watersheds designated by the EPA Administrator as estuaries of national significance. The 28 estuaries of national significance, or NEPs, use an ecosystem-based management approach to help achieve their protection and restoration goals. For example, each NEP characterizes the priority problems in its estuary and surrounding watershed, develops a long-term plan known as a Comprehensive Conservation and Management Plan (CCMP) that identifies actions to address those problems, and identifies partners, including lead entities, who will implement those actions. Implementation of CCMPs can include the following actions: protecting and restoring habitat, including wetlands; supporting water quality protection and restoration, including Total Maximum Daily Load (TMDL) plan implementation; monitoring for, assessing the extent of toxics loadings and pathogen contamination, and taking steps to address excess loadings and contamination; implementing stormwater management, reducing non-point source pollution impacts, and promoting the adoption of green infrastructure approaches; preventing the spread of aquatic invasive species and/or managing their impacts; developing and implementing nutrient reduction strategies; conducting climate vulnerability assessments and developing and implementing climate change adaptation strategies

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and using adaptation tools to promote coastal resilience; and developing and implementing strategies to provide opportunities for residents of urban minority and/or under-served communities to have greater access to urban waters, participate in urban ecosystem restoration, and participate in capacity-building/educational activities. In addition to CCMP implementation, NEPs and other eligible recipients, address urgent and challenging issues that threaten the ecological and economic well-being of coastal areas. Funding Priority - Fiscal Year 2021: EPA's funding priority is to award assistance agreements that support the 28 National Estuary Programs' (NEPs) efforts to address their estuarine watersheds' priority problems identified in each of the 28 long-term management plans known as Comprehensive Conservation and Management Plans (CCMPs). EPA will provide focused support for NEPs to address priority problems identified by NEP management conferences and to document accomplishments and environmental results. NEP efforts address such problems as: (1) impacts on estuarine water quality and living resources of nutrients, toxics (chemical, heavy metals), pathogen contamination, and sediment attributable to excess loadings and non-point stormwater runoff; e.g., excess nutrient loadings can result in nutrient over-enrichment and hypoxic conditions in estuarine water bodies; (2) habitat loss/degradation; (3) need to assess risks to CCMP implementation due to climate change impacts, to build regional, local, and tribal officials' capacity to address climate change impacts on NEP watersheds, and to promote community resilience; activities that build capacity include conducting vulnerability assessments of communities and local development and implementation of climate adaptation strategies and programs; and 4) need to build the capacity of local public officials and managers in estuarine communities to implement sustainable land use, green infrastructure, and low-impact development best practices. For FY21, EPA also funded through the NEP Coastal Watersheds Grant an intermediary organization to award subawards that support projects that address urgent and challenging issues that threaten the ecological and economic well-being of coastal and estuarine areas.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Section 320 authorizes issuance of assistance agreements used to meet Section 320 requirements to develop and implement CCMPs. Annual workplans, which address priority actions in the CCMPs, lay out projects to be undertaken during the fiscal year. They are developed and approved by each management conference. Twenty-eight estuaries have been designated as estuaries of national significance; those 28 are: Long Island Sound Study, Puget Sound Partnership, Buzzards Bay National Estuary Program, Narragansett Bay Estuary Program, New York-New Jersey Harbor Estuary Program, Delaware Center for the Inland Bays, Sarasota Bay Estuary Program, Galveston Bay Estuary Program, Santa Monica Bay National Estuary Program, Albemarle-Pamlico National Estuary Partnership, San Francisco Estuary Partnership, Casco Bay Estuary Partnership, Massachusetts Bays National Estuary Program, Indian River Lagoon National Estuary Program, Tampa Bay Estuary Program, Peconic Estuary Program, San Juan Bay Estuary Partnership, Coastal Bend Bays and Estuaries Program, Tillamook Estuaries Partnership, the Barataria-Terrebonne National Estuary Program, Piscataqua Region Estuaries Partnership, Barnegat Bay Partnership, Maryland Coastal Bays Program, Charlotte Harbor National Estuary Program, Mobile Bay National Estuary Program, Morro Bay National Estuary Program, and Lower Columbia Estuary Partnership. NEPs and their partners carry out such actions as: (1) protecting and restoring habitat; (2) identifying research needs and conducting research as needed to obtain new data to address priority problems; (3) monitoring estuarine conditions to detect changes in water quality and condition of ecosystem resources; and (4) providing technical assistance to States and local communities to address impacts from nutrient over-enrichment, stormwater, sedimentation, toxics, pathogens, and aquatic invasive species; to assess vulnerability from climate change impacts; and to develop climate change adaptation strategies and tools. Additionally, section 320 authorizes issuance of competitive assistance agreements to address urgent and challenging issues that threaten the ecological and economic well-being of coastal areas. The NEP Coastal Watersheds Grant is anticipated to fund one cooperative agreement to an intermediary organization to issue subawards to support projects that address urgent and challenging issues that threaten the ecological and economic well-being of coastal and estuarine areas. Issues in coastal areas include (1) extensive seagrass habitat losses resulting in significant impacts on fisheries and water quality; (2) recurring harmful algae blooms; (3) unusual marine mammal mortalities; (4) invasive exotic species that may threaten wastewater systems and cause other

damage; (5) jellyfish proliferation limiting community access to water during peak tourism seasons; (6) flooding that may be related to sea level rise or wetland degradation or loss; (7) low dissolved oxygen conditions in estuarine waters and related nutrient management. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Assistance agreements are issued only to those estuaries designated by the Administrator. The Administrator is authorized to make grants to State, interstate, and regional water pollution control agencies and entities; State coastal zone management agencies; interstate agencies; and other public and private nonprofit agencies, institutions, organizations, and individuals (Section 320(g)(1)). Non-profit organization, as defined by 2 CFR Part 200, means any corporation, trust, association, cooperative or other organization that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve and/or expand its operations. Note that the definition of Nonprofit organization in 2 CFR Part 200 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. Profit making organizations are not eligible for grants. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/general public; Anyone/General Public.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, area-wide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Preapplication assistance may be obtained from the appropriate EPA Regional Office. Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement. All work plans should be developed in response to the priority tasks identified in the management conference annual work plan. Work plans should also be developed in response to negotiated schedules for commitments to meet the purpose of a management conference outlined in the Act for the estuary. Each work plan will be considered by the appropriate management conference and the EPA Regional program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: <https://www.epa.gov/grants/how-apply-grants>. Notice of Funding

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Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application is reviewed by EPA Regional Offices to determine the adequacy of the application under grant regulations and National Estuary Program objectives, including technical merit and relevance of the project. Awards are issued by the EPA Regional Offices after approval by the appropriate Division Director. For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters (or regional office, as appropriate) for application deadlines. Specific information regarding deadlines for competitive opportunities is provided in competitive announcements.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 days after deadline for application submission.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Public Law 106-457, which amended Section 320(g) of the Water Quality Act of 1987, limits the amount of grants to 75 percent of the aggregate CCMP development project costs for each estuary program and to 50 percent of the aggregate CCMP implementation project costs for each estuary program, and requires that the nonfederal share be provided from nonfederal sources. The nonfederal share can be contributed by any of the participants in the management conference. For the NEP Coastal Watersheds Grant, the applicant must provide a minimum non-federal cost share/match of 25 percent of the total federal funding provided. Contact the appropriate management conference program office or EPA Regional Office for more information. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance agreements are typically funded on a 12-month basis (yearly). Project period may cover up to 36 months, with continuations possible for certain types of projects. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements and in the annual NEP Funding Guidance. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$20,550,000.00; FY 21 est \$20,600,000.00; FY 22 est \$20,600,000.00;

Range and Average of Financial Assistance:

The NEPs generally received base funding in the amount of \$600,000. For FY 20, each of the NEPs received base funding in the amount of \$662,000. NEP Coastal Watersheds Grant: \$1,000,000 every four years. For FY21, each of the NEP are estimated to receive \$662,500 and the NEP Coastal Watersheds Grant \$1,350,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Fiscal Year 2021: FY 2021 grants will support the NEP's CCMP implementation by funding NEP efforts that include: protecting and restoring up to 100,000 acres of estuarine habitat; protecting and restoring estuarine water quality in NEP study areas; supporting core Clean Water Act programs like those that target excess nutrients and pathogens, and promoting adoption of green infrastructure approaches to reduce the impacts of stormwater and non-point source pollution; conducting vulnerability assessments and/or implementing climate adaptation strategies in NEP study areas, and collaborating with other EPA programs and with other Federal, State, and local agencies, business, and non-governmental organizations to build regional, state, and local coastal community resilience to impacts of climate change on coastal ecosystems, public health, and economies; building local capacity to reach out to and involve urban community residents who typically may not have had access to water bodies in NEP study areas nor have been actively engaged in urban water body protection and restoration. In addition to CCMP implementation, NEPs and other eligible recipients, address urgent and challenging issues that threaten the ecological and economic well-being of coastal areas.

REGULATIONS, GUIDELINES, AND LITERATURE:

General grant regulations 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart P (Financial Assistance for the National Estuary Program).

Regional or Local Office:

See Regional Assistance Locations. REGION 1: Ivy Mlsna, Regional Coordinator (Casco Bay) U.S. EPA, Region 1 One Congress Street, Suite 1100 Boston, MA 02114-2023 (617) 918-1538 (tel) (617) 918-1505 (fax) mlsna.ivy@epa.gov; Margherita Pryor, Regional Coordinator (Massachusetts Bays) U.S. EPA, Region 1 One Congress Street, Suite 1100 Boston, MA 02114-2023 (617) 918-1597 (tel) (617) 918-1505 (fax); pryor.margherita@epa.gov; Leah O'Neill, Regional Coordinator (Long Island Sound) U.S. EPA, Region 1 One Congress Street, Suite 1100 Boston, MA 02114-2023 (617) 918-1633 (tel) (617) 918-1505 (fax) oneill.leah@epa.gov; Alicia Grimaldi, Regional Coordinator (Buzzards Bay) U.S. EPA, Region 1 One Congress Street, Suite 1100 Boston, MA 02114-2023 (617) 918-1806 (tel) (617) 918-1505 (fax) grimaldi.alicia@epa.gov; Erik Beck, Regional Coordinator (Piscataqua Region Estuaries Partnership) |U.S. EPA, Region 1 One Congress Street, Suite 1100 Boston, MA 02114-2023 (617) 918-1536 (tel) (617) 918-1505 (fax) beck.erik@epa.gov; Caitlyn Whittle, Regional Coordinator (Narragansett Bay) U.S. EPA, Region 1 One Congress Street, Suite 1100 Boston, MA 02114-2023 (617) 918-1748 (tel) (617) 918-0748 (fax) whittle.caitlyn@epa.gov; REGION 2: Knutson, Lingard, Regional Coordinator (New York-New Jersey Harbor) U.S. EPA, Region 2 290 Broadway New York, NY 10007 (212) 637-3432 (tel) (212) 637-3354 (fax) Knutson.Lingard@epa.gov; Sheri Jewhurst, Regional Coordinator (Peconic Bay) U.S. EPA, Region 2 290 Broadway New York, NY 10007 (212) 637-3788 (tel) (212) 637-3772 (fax) jewhurst.sheri@epa.gov; Barbara Spinweber, Regional Coordinator (Barnegat Bay)

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U.S. EPA, Region 2 290 Broadway New York, NY 10007 (212) 637-3812 (tel) (212) 637-3889 (fax) spinweber.barbara@epa.gov; Irene Purdy, Regional Coordinator (Partnership for the Delaware Estuary) U.S. EPA, Region 2 290 Broadway New York, NY 10007 (212) 637-3794 (tel) (212) 637-3889 (fax) purdy.irene@epa.gov; Evelyn Huertas, Regional Coordinator* (San Juan Bay Estuary) City View Plaza II, Suite 7000 Guaynabo, PR 00968 (787) 977-5864 (tel) (787) 289-7982 (fax) huertas.evelyn@epa.gov; REGION 3: Megan Mackey, Regional Coordinator (Partnership for the Delaware Estuary) U.S. EPA, Region 3 1650 Arch Street Philadelphia, PA 19106 (215) 814-5543 (tel) (215) 814-2782 (fax) mackey.megan@epa.gov; Catherine King, Regional Coordinator (Maryland Coastal Bays) U.S. EPA, Region 3 1650 Arch Street Philadelphia, PA 19106 (215) 814-2657 (tel) (215) 814-2782 (fax) king.catherine@epa.gov; Ferry Akbar Buchanan, Regional Coordinator (Center for Inland Bays) U.S. EPA, Region 3 1650 Arch Street Philadelphia, PA 19106 (215) 814-2716 (tel) (215) 814-2782 (fax) AkbarBuchanan.Ferry@epa.gov; REGION 4: Christopher Plymale, Regional Coordinator (Mobile Bay) U.S. EPA, Region 4 61 Forsyth Street, SW Atlanta, GA 30303 (404) 562-9794 (tel) (404) 347-9394 (fax) plymale.christopher@epa.gov; Felicia Burks, Regional Coordinator (Sarasota, Tampa) U.S. EPA, Region 4 61 Forsyth Street, SW Atlanta, GA 30303 (404) 562-9371 (tel) (404) 347-9343 (fax) burks.felicia@epa.gov; Jennifer DiMaio, Regional Coordinator (Indian River Lagoon) U.S. EPA, Region 4 61 Forsyth Street, SW Atlanta, GA 30303 (404) 562-9268 (tel) (404) 562-9343 (fax) diMaio.jennifer@epa.gov; Rachel Hart, Regional Coordinator (Albemarle-Pamlico) U.S. EPA, Region 4 61 Forsyth Street, SW Atlanta, GA 30303 404-562-9279 (tel) (404) 562-9343 (fax) hart.rachel@epa.gov Rachel Hart, Regional Coordinator (Charlotte Harbor) U.S. EPA, Region 4 61 Forsyth Street, SW Atlanta, GA 30303 (404) 562-9219 (tel) (404) 562-9343 (fax) hart.rachel@epa.gov; REGION 6: Lisa Rickards, Regional Coordinator (Barataria-Terrebonne, Galveston, Coastal Bend Bays) U.S. EPA, Region 6 1445 Ross Avenue Dallas, TX 75202 (214) 665-6692 (tel) (214) 665-6689 (fax) Rickards.Lisa@epa.gov; REGION 9: Suzanne Marr, Regional Coordinator (Morro Bay) U.S. EPA, Region 9 (WTR-2-2) 75 Hawthorne Street San Francisco, CA 94105 (415) 972-3468 (tel) (415) 947-3537 (fax) marr.suzanne@epa.gov; Erica Yelensky, Regional Coordinator (Santa Monica Bay) U.S. EPA, Region 9 (WTR-2-2) 75 Hawthorne Street San Francisco, CA 94105 (415) 972-3021 (tel) yelensky.eric@epa.gov; Luisa Valiela, Regional Coordinator (San Francisco Estuary) U.S. EPA, Region 9 (WTR-2-2) 75 Hawthorne Street San Francisco, CA 94105 (415) 972-3400 (tel) (415) 947-3537 (fax) valiela.luisa@epa.gov; REGION 10: Yvonne Vallette, Regional Coordinator (Tillamook) U.S. EPA, Region 10 805 SW Broadway Portland, OR 97205 (503) 326-2716 (tel) (503) 326-3399 (fax) vallette.yvonne@epa.gov Michael Rylko, Regional Coordinator (Puget Sound) U.S. EPA, Region 10 1200 Sixth Avenue Seattle, WA 98101 (206) 553-4014 (tel) (206) 553-0124 (fax) rylko.michael@epa.gov Yvonne Vallette, Regional Coordinator (Lower Columbia River) U.S. EPA, Region 10 811 SW Sixth Avenue, 3rd Fl. Portland, OR 97204 (503) 326-2716 (tel) (503) 326-3399 (fax) vallette.yvonne@epa.gov

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RELATED PROGRAMS:

66.454 Water Quality Management Planning; 66.461 Regional Wetland Program Development Grants; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.458 Capitalization Grants for Clean Water State Revolving Funds;

EXAMPLES OF FUNDED PROJECTS:

2021 Assistance agreements are awarded to states, interstate agencies, academic institutions and other nonprofit organizations to implement NEP CCMPs. Activities associated with CCMP implementation include those that: (1) protect and restore water quality by conducting monitoring, assessing water quality

conditions, implementing best management practices that help restore degraded waters to healthy conditions, and reducing loadings of pollutants like nutrients and toxics to estuarine water bodies; (2) protect and restore habitat and living resources by implementing local stormwater management programs, eradicating invasive species, removing dams that obstruct fish passage, and providing technical assistance on low-impact development practices that reduce sediment loadings to water bodies; (3) conduct climate change vulnerability assessments and develop and implement climate adaptation strategies; (4) revise CCMPs to reflect new local priorities; (5) develop annual work plans and design and maintain systems to track and report on priority action implementation, deliverables, and environmental results; (6) evaluate and report on CCMP implementation progress and environmental results; and (7) reach out to and build local capacity of urban community residents to participate in estuarine urban waters protection and restoration; those residents may not have had access to water bodies in NEP study areas nor have been actively engaged in urban water body protection and restoration. In FY 2021, EPA has continued to fund through the NEP Coastal Watersheds Grant an intermediary organization to award subawards that support projects that address urgent and challenging issues that threaten the ecological and economic well-being of coastal and estuarine areas. Subaward projects will focus on the following priorities: loss of key habitats resulting in significant impacts on fisheries and water quality such as seagrass, mangroves, tidal and freshwater wetlands, forested wetlands, kelp beds, shellfish beds, and coral reefs; recurring harmful algae blooms; unusual or unexplained marine mammal mortalities; proliferation or invasion of species that limit recreational uses, threaten wastewater systems, or cause other ecosystem damages; flooding and coastal erosion that may be related to sea level rise, changing precipitation, or salt marsh, seagrass, or wetland degradation or loss; impacts of nutrients and warmer water temperatures on aquatic life and coastal ecosystems, including low dissolved oxygen conditions in estuarine waters; and contaminants of emerging concern found in coastal and estuarine waters such as pharmaceuticals, personal care products, and microplastics.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.458 Capitalization Grants for Clean Water State Revolving Funds

CW State Revolving Fund,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 518(c)

OBJECTIVES:

To create State Revolving Funds (SRFs) through a program of capitalization grants to States which will provide a long term source of State financing for construction of wastewater treatment facilities and implementation of other water quality management activities. Funding Priority - Fiscal Year 2021: Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementation of nonpoint source management activities included in State Plans developed pursuant to Section 319; (3) development and implementation a National Estuary Program comprehensive conservation and management plan under Section 320; (4) the construction, repair, or replacement of decentralized wastewater treatment systems that treat municipal wastewater or domestic sewage; (5) measures to manage, reduce, treat or recapture stormwater or subsurface drainage water; (6) any municipality or intermunicipal, interstate, or State agency for measures to reduce the demand for publicly owned treatment works capacity through water conservation, efficiency or reuse; (7) the development and implementation of watershed projects meeting the criteria set forth in section 122; (8) any municipality or intermunicipal, interstate, or State agency for measures to reduce the energy consumption needs for publicly owned treatment works; (9) reusing or recycling wastewater, stormwater, or subsurface drainage water; (10) measures to increase the security of publicly owned treatment

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works; (11) any qualified nonprofit entity, as determined by the Administrator, to provide assistance to owners and operators of small and medium publicly owned treatment works to plan, develop, and obtain financing for eligible projects under section 603(c), including planning, design, and associated preconstruction activities and to assist such treatment works in achieving compliance with the Clean Water Act; and (12) any qualified nonprofit entity, as determined by the Administrator, to provide assistance to an eligible individual (as defined in subsection (j)) (A) for the repair or replacement of existing individual household decentralized wastewater treatment systems or in a case in which an eligible individual resides in a household that could be cost effectively connected to an available publicly owned treatment works, for the connection of the applicable household to such treatment works. The capitalization grant is deposited in the State's SRF, which is used to provide loans and other types of financial assistance, to local communities, intermunicipal and interstate agencies, and other eligible entities as described in Title VI of the Clean Water Act. Four percent of the grant amounts, \$400,000 each year or 1/5 percent per year of the current valuation (total net position) of the fund may be used by the States for the cost of administering the SRF. States determine priorities for funding within their State in accordance with the CWA. A portion of the funding must be used to provide additional subsidy in the form of grants, principal forgiveness, or negative interest loans. The maximum percentage that may be provided as additional subsidy will range from 0 percent to 30 percent based on the amount of the total appropriation. For example, if the total appropriation is less than or equal to \$1 billion, no additional subsidy would be authorized; if the total appropriation is greater than or equal to \$1.3 billion, additional subsidy up to 30 percent of the grant would be authorized; but, if the total appropriation is greater than \$1 billion but less than \$1.3 billion, a percentage equal to the percentage by which the appropriation exceeds \$1 billion would be authorized. Additional subsidy may only be provided to a municipality or intermunicipal, interstate, or State agency which meets the SRF affordability criteria or benefits individual ratepayers in residential user rate class or to any eligible entity implement a process, material, technique, or technology to address water efficiency goals, energy efficiency goals, mitigate stormwater runoff or encourage sustainable project planning, design and construction. The program supports the Agency's strategic goal of providing clean and safe water.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementation of nonpoint source management activities included in State Plans developed pursuant to Section 319; (3) development and implementation a National Estuary Program comprehensive conservation and management plan under Section 320; (4) the construction, repair, or replacement of decentralized wastewater treatment systems that treat municipal wastewater or domestic sewage; (5) measures to manage, reduce, treat or recapture stormwater or subsurface drainage water; (6) any municipality or intermunicipal, interstate, or State agency for measures to reduce the demand for publicly owned treatment works capacity through water conservation, efficiency or reuse; (7) the development and implementation of watershed projects meeting the criteria set forth in section 122; (8) any municipality or intermunicipal, interstate, or State agency for measures to reduce the energy consumption needs for publicly owned treatment works; (9) reusing or recycling wastewater, stormwater, or subsurface drainage water; (10) measures to increase the security of publicly owned treatment works; (11) any qualified nonprofit entity, as determined by the Administrator, to provide assistance to owners and operators of small and medium publicly owned treatment works to plan, develop, and obtain financing for eligible projects under section 603(c), including planning, design, and associated preconstruction activities and to assist such treatment works in achieving compliance with the Clean Water Act; and (12) any qualified nonprofit entity, as determined by the Administrator, to provide assistance to an eligible individual (as defined in subsection (j)) (A) for the repair or replacement of existing individual household decentralized wastewater treatment systems or in a case in which an eligible individual resides in a household that could be cost effectively connected to an available publicly owned treatment works, for the connection of the applicable household to such treatment works. The capitalization grant is deposited in the State's SRF, which is used to provide loans and other types of financial assistance, to local communities, intermunicipal and interstate agencies, and other eligible entities as described in

Title VI of the Clean Water Act. Four percent of the grant amounts, \$400,000 each year or 1/5 percent per year of the current valuation (total net position) of the fund may be used by the States for the cost of administering the SRF. States determine priorities for funding within their State in accordance with the CWA. The Disaster Relief Act, 2019, (Public Law 116-20), provides additional funding for states and water systems impacted by Hurricanes Florence and Michael, Typhoon Yutu, and the 2018 wildfires and earthquakes. These disasters affected drinking water and wastewater in EPA regions 4, 9, and 10. Based on the information EPA has, only California had wildfires with major disaster declarations for public assistance during calendar year 2018 and only Alaska had an earthquake with major disaster declaration for public assistance. The Act did not provide an allocation among affected states. The distribution of the disaster supplemental resources took into account several variables, including the number and geographical location of facilities that were directly impacted during the incidents, and the population served by those systems. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; States and Puerto Rico are eligible to receive capitalization grants under Title VI. Indian tribes are eligible to receive grants from Title VI for the construction of municipal wastewater facilities. The District of Columbia, territories, possessions of the U.S. are eligible to receive grants from Title VI for the construction of municipal wastewater facilities (see assistance listing 66.418). The Disaster Relief Act, 2019, (Public Law 116-20), provides additional funding for states and water systems impacted by the Hurricanes Florence and Michael, Typhoon Yutu, and the 2018 wildfires and earthquakes. These disasters affected drinking water and wastewater in EPA regions 4, 9, and 10. Based on the information EPA has, only California had wildfires with major disaster declarations for public assistance during calendar year 2018 and only Alaska had an earthquake with major disaster declaration for public assistance. The Act did not provide an allocation among affected states. The distribution of the disaster supplemental resources took into account several variables, including the number and geographical location of facilities that were directly impacted during the incidents, and the population served by those systems.

Beneficiary Eligibility:

Interstate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Farmer/Rancher/Agriculture Producer; Homeowner; Land/Property Owner; For loans and other financial assistance (but not grants) for wastewater treatment facilities: municipalities, intermunicipal, interstate, State agencies, and Indian tribes. For nonpoint source management programs and estuary activities in approved State Nonpoint Source Management Programs and Comprehensive Conservation and Management Plans: the public agencies listed above, individuals, nonprofit organizations, homeowners, land/property owners. .

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but not be limited to, the 10 specifications set forth in Section 602(b) of the CWA. 2 CFR 200 Subpart E applies to the program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of

ASSISTANCE LISTINGS DESCRIPTIONS

contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). An applicant (State) should seek pre-application assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment a plan identifying the intended uses of the funds in the SRF (an intended use plan) and how those uses support the goals of the SRF. The plan is to be submitted no later than the application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the SRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. Regulations at 2 CFR 200 and 1500, as applicable, applies to the State. Establishment of the SRF is a prerequisite for a grant award. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approval time averages 45 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the region.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act, Chapter , Part Section 205(c)(3), Subpart .Public Law .Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The required State match is 20 percent of the amount of each Federal grant payment (20 percent of the total federal funds). See also: https://www.epa.gov/sites/production/files/2015-04/documents/cash_draw_proportionality.pdfMOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The State must agree to enter into binding commitments with recipients to provide financial assistance from the SRF in an amount equal to 120 percent of each quarterly grant payment. The State is also required to agree to expend all funds in the SRF in an expeditious and timely manner Method of awarding/releasing assistance: other

Reports:

Beginning the first fiscal year after receiving payments, the State shall provide an annual report to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the fiscal year in which the payments were received). The annual report shall describe how the State has met the goals and objectives for the preceding fiscal year, as identified in its intended use plan, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the SRF. Loan information is required to be entered into CBR in the quarter in which the loan is made. The Disaster Relief Act, 2019, loan information is also required to be put into CBR. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant

award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. A State must, at a minimum, comply with the provisions of 2 CFR 200, Subpart F. States are also encouraged to conduct annual independent audits.

Records:

As part of the annual review conducted by the agency to assess the State's performance against activities identified in the intended use plan and annual report, and to determine compliance with the terms of the capitalization grant agreement, the State or loan recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the CWA. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$1,488,896,900.00; FY 21 est \$1,557,023,000.00; FY 22 est \$1,775,741,000.00;

Range and Average of Financial Assistance:

State Revolving Fund capitalization awards to the states in FY 2021 range from \$7,879,000 to \$177,146,000. Awards are also made to Indian Tribes and U.S. Territories.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Each year, 51 grants are awarded to states and Puerto Rico. Assistance in FY 21 will include funding to prevent polluted runoff, upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment to reduce pollutant loads, and improve water quality on a watershed basis. Disaster Relief Act, 2019, funds are directed to certain areas of the country effected by specific hurricanes and other natural disasters per the statute.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart K (State Water Pollution Control Revolving Funds).

Regional or Local Office:

None.

Headquarters Office:

Kelly Tucker,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: tucker.kelly@epa.gov
Phone: (202) 564-0608
Fax: (202) 501-2403

Website Address:

<https://www.epa.gov/cwsrf>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

66.468 Capitalization Grants for Drinking Water State Revolving Funds;

EXAMPLES OF FUNDED PROJECTS:

2021 State revolving loan fund; wastewater projects involving advanced treatment, secondary treatment, infiltration/inflow correction, replacement/upgrade of pipe and equipment and expansion of existing wastewater treatment plants; nonpoint source and estuary protection projects (for example, septic system rehabilitation, leaking underground storage tank removal, contaminated soil removal, agricultural BMPs, conservation easements, wetlands protection/rehabilitation, and prevention of agricultural runoff); stormwater management; decentralized wastewater treatment; water reuse. Funds associated with the Disaster Relief Act, 2019, shall be used for eligible projects whose purpose is to reduce flood or fire damage risk and vulnerability or to enhance resiliency to rapid hydrologic change or natural disaster at treatment works.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements outlined in the application procedure section.

66.460 Nonpoint Source Implementation Grants

319 Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 201(g)(1)(B) Under Sec. 319(h), Title Clean Water Act, Section 319(h), Title Clean Water Act, Section 518(e)

OBJECTIVES:

To assist States, the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Virgin Islands (hereinafter referred to as States), and qualified Indian Tribes and intertribal consortia in implementing EPA-approved Section 319 nonpoint source management programs. Funding Priority - Fiscal Year 2021: EPA's funding priority is to award grants that implement a grant recipient's nonpoint source management program plan, particularly the development and implementation of watershed-based plans, focusing on watersheds with water quality impairments caused by nonpoint sources, which result in improved water quality in impaired waters. These watershed plans are a mechanism to coordinate monitoring and planning on a watershed basis and will build a foundation for effective implementation actions using federal and other funding. Nonpoint source implementation projects include best management practice (BMP) installation for animal wastes, sediment, pesticide and fertilizer control, a variety of other structural and non-structural practices, watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are provided to eligible applicants to carry out nonpoint source projects and programs pursuant to Section 319 of the Clean Water Act as amended by the Water Quality Act of 1987. Requirements under Section 319(h) include: nonfederal matching funds of at least 40 percent of project cost (match is an overall grant requirement - the match requirement for an individual project may be waived and in-kind services may be used as match); maintenance of effort; grants may be used for financial assistance to individual persons in the case of demonstration projects only; annual reporting; and EPA determinations of adequate recipient progress before additional funding. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Eligible entities include States and qualified Indian Tribes and intertribal consortia who have approved nonpoint source assessment reports and management plans. To be qualified, Tribes must have treatment in a manner similar

to a state (TAS) status for the 319 Program. Grants to States are awarded by formula. The State allotment is awarded to the agency in each State designated by the chief executive as the lead nonpoint source agency. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with its work program, which is approved by EPA. Eligible Tribes are allocated a base allotment by formula; the remaining Tribal portion of the 319(h) appropriation is competed among qualified Indian Tribes and intertribal consortia. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with a work program which is approved by EPA. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; State and local governments; interstate and intrastate agencies; federally recognized Indian tribal governments; intertribal consortia; the following US territory or possessions: the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Virgin Islands; public and private nonprofit organizations and institutions.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Preapplication coordination with the appropriate EPA Regional Office is recommended. Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Grant applications by subgrantees within a State (after EPA has made a grant award to the State) must be submitted to the State agency that administers Section 319 grants. The list of State nonpoint source contacts for subgrantees is available at <https://www.epa.gov/nps/contacts-nonpoint-source-nps-pollution-programs>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Grant applications must be submitted by the State to the appropriate EPA Regional Office to determine the overall adequacy of the application to meet the statutory objectives. Funds are awarded to States by formula. Award procedure by States to subgrantees varies by State. The list of State nonpoint source contacts is available at <https://www.epa.gov/nps/contacts-nonpoint-source-nps-pollution-programs>. Eligible Tribes receive funding for a base grant work plan; Tribes may also compete for the rest of the tribal portion of the total 319(h) appropriation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 60 to 90 days. EPA generally awards grants to States within 60 days after the application is submitted.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act, Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 40%. Requirement: Matching requirements are mandatory. Nonfederal match of at least 40 percent of project or program costs is required except for grants to Indian Tribes, where following demonstration of financial hardship, the nonfederal match may be reduced to as low as 10 percent of project or program costs. If the tribe includes the section 319 grant as a part of an approved Performance Partnership Grant (PPG), the nonfederal match requirement may be reduced to 5 percent of the total cost of the work plan budget for the first 2 years in which the tribe receives a PPG; after 2 years, the cost share/match may be increased up to 10 percent of the work plan budget (as determined by the Regional Administrator). States must also meet maintenance of effort requirements contained in statute. EPA awards grants to all eligible States and Tribes which apply for funding based on an allocation formula that the Agency developed. The allocation formula is described in the revised grant guidelines issued on April 12, 2013 and found at <https://www.epa.gov/nps/319-grant-current-guidance>Note, tribal base grant guidelines and annual Request for Proposals (RFP) are found at <https://www.epa.gov/nps/tribal-319-grant-program> .This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements and/or total allocations over \$100 million..

Length and Time Phasing of Assistance:

As determined in accordance with Section 319 (h). Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$171,125,700.00; FY 21 est \$177,000,000.00; FY 22 est \$180,000,000.00;

Range and Average of Financial Assistance:

States/Territories: \$464,000 to \$9,009,200; \$2,800,000. Indian Tribes: base grants \$30,000 to \$50,000; competitive grants up to \$100,000. Ranges vary year-to-year based on size of appropriation (and also varies depending on number of applicants for grants to Indian Tribes or intertribal consortia).

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Grants are awarded annually to all States and selected Tribes. Grant funds are used by States and Tribes to implement portions of their NPS management programs. Additional information regarding selected projects is available in Section 319 Success Stories, available at <http://www.epa.gov/nps/Success/>. In addition, information on ongoing and completed 319 projects is available at: <https://ofmpub.epa.gov/apex/grts/f?p=109:5000:.....>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); and 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes. Current Nonpoint Source Program and Grants Guidelines for States and Territories can be found at: <https://www.epa.gov/polluted-runoff-nonpoint-source-pollution/319-grant-current-guidance>. Tribal grant information can be found at <https://www.epa.gov/nps/tribal-nonpoint-source-program>.

Regional or Local Office:

See Regional Assistance Locations. A list of specific EPA Regional nonpoint source contacts is available at <https://www.epa.gov/nps/contacts-nonpoint-source-nps-pollution-programs>. The list of specific EPA Tribal nonpoint source contacts is available at <https://www.epa.gov/nps/tribal-nonpoint-source-program> .

Headquarters Office:

Cynthia Curtis, Environmental Scientist,
Environmental Protection Agency, Office of Wetlands, Oceans, and Watersheds,
1200 Pennsylvania Avenue, N.W., (Mail Code: 3204A),
Washington DC 20460
Email: curtis.cynthia@epa.gov
Phone: 202-566-0340
Fax: 202-566-1333

Website Address:

<https://www.epa.gov/nps>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;

EXAMPLES OF FUNDED PROJECTS:

2021 Nonpoint Source implementation projects to be funded include BMP installation for animal wastes, sediment, pesticide and fertilizer control, urban runoff, and a variety of other structural and non-structural practices; watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

CRITERIA FOR SELECTING PROPOSALS:

Funds are awarded to States in accordance with Section 319 (h). For selection processes within individual States, contact the appropriate State nonpoint source coordinators. A list of these coordinators is available at <https://www.epa.gov/nps/contacts-nonpoint-source-nps-pollution-programs>. The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.461 Regional Wetland Program Development Grants

Regional/Tribal WPDGs,

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3), as amended, Public Law 92-500, 33 USC Code 1254(b)(3).

OBJECTIVES:

To assist state, territory, tribal, and/or local government (S/T/LG) agencies in building programs which protect, manage, and restore wetlands. The primary focus of the grants is to build state, territory, and tribal wetland programs. A secondary focus is to build local (e.g. county or municipal) programs. Funding Priority - Fiscal Year 2021: Under the Regional Wetland Program Development Grant (WPDG) competition, EPA has identified the following four Core Elements for improving state/tribe/local governments' (S/T/LGs) ability to protect and restore their wetlands: (1) Monitoring and Assessment; (2) Voluntary Restoration and Protection; (3) Regulatory Approaches Including CWA 401 Certification; and (4) Wetland-Specific Water Quality Standards. EPA will include a website in each Regional Request For Applications (RFA) where potential applicants can find a list of actions and activities under each Core Element that will develop or refine a S/T/LG's wetlands program. In addition, EPA has identified two funding Tracks. Track One is for states and tribes that propose to develop a Wetlands Program Plan (WPP) as described at <https://www.epa.gov/wetlands/developing-wetland-program-plan> or carrying out projects from an EPA-approved WPP. WPPs can be found on-line at <https://www.epa.gov/wetlands/state-and-tribal-wetland-program-plans#r1>. Track Two is for states, tribes, local governments, interstate agencies, intertribal consortia, and universities that are agencies of a state that submit proposals that are consistent with the Four Core Elements as described above. Regions may add additional Region specific priorities that are compatible and consistent with the core element(s) and action(s) in the Appendix of development and refinement actions found at https://www.epa.gov/sites/production/files/2016-03/documents/wpdg_cef_appendix.pdf. Under the National Tribal competition EPA has identified the following four Core Elements for improving a tribe's ability to protect and restore their wetlands: (1) Monitoring and Assessment; (2) Voluntary Restoration and Protection; (3) Regulatory Approaches Including CWA 401 Certification; and (4) Wetland-Specific Water Quality Standards. EPA will include a website in the National Tribal RFA where potential applicants can find a list of actions and activities under each Core Element that will develop or refine a tribe's wetlands program. In addition, EPA has identified two funding Tracks. Track One is for tribes that propose to develop a WPP as described at <https://www.epa.gov/wetlands/developing-wetland-program-plan> or carrying out projects from an EPA-approved WPP. WPPs can be found on-line at <https://www.epa.gov/wetlands/state-and-tribal-wetland-program-plans#r1>. Track Two is for tribes and intertribal consortia that submit proposals that are consistent with the Four Core Elements as described above.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

These grants are intended to encourage comprehensive wetlands program development by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects build the capacity of States, Territories, Tribes, and local governments to effectively protect wetland and riparian resources. Projects funded under this program support the development of a wetlands protection, restoration, or management program or support enhancement/refinement of an existing program. Interstate agency and intertribal consortia projects must be broad in scope and encompass more than one State, Territory, Tribe, or local government. The Regional Office will accept submissions only for projects that affect the States, Tribes, and Territories within their Region. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Additional uses and restrictions on funds will be described in detail in the competitive announcements. Projects that are national in scope are not eligible for funding under this Regional program but may be eligible for funding under EPA's National Wetland Program Development Grants program (CFDA 66.462). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; Interstate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); States, Territories, Tribes, local government agencies, interstate agencies, and intertribal consortia are eligible to apply to the Regions. Past recipients include, but are not limited to, wetland regulatory agencies, water quality agencies (Section 401 water quality certification), planning offices, wild and scenic rivers agencies, departments of transportation, fish and wildlife or natural resources agencies, agriculture departments, forestry agencies, coastal zone management agencies, park and recreation agencies, non-point source or storm water agencies, and city or county and other S/T/LG governmental agencies that conduct wetland-related activities. In order to be eligible for WPDG funds, Tribes must be federally recognized, although "Treatment as a State" status is not a requirement. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia that meet the requirements of 40 CFR Part 35.504 are eligible for direct funding. Intertribal consortia projects must be broad in scope and encompass more than one State, Tribe, or local government. Universities that are agencies of a State government are eligible to receive funds through these competitions. Universities must include documentation showing that they are chartered as a part of a State government in their proposal. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants need not be located within the boundaries of the EPA regional office to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within the Region.

Beneficiary Eligibility:

Interstate; State; Local; Federally Recognized Indian Tribal Governments; U.S. Territories; Native American Organizations; State, Territory, Tribal, and local governments involved in administering wetlands protection, restoration and/or management programs, or programs related to or that complement wetlands protection programs.

Credentials/Documentation:

Tribes may be asked to demonstrate that they are Federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. Universities must include documentation showing that they are chartered as a part of a State government in their proposal. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Each EPA Regional Office will set up its own competitive process for awarding WPDGs. Interested applicants should review information on the WPDG at: <https://www.epa.gov/wetlands/wetland-program-development-grants>. Regarding pre-application assistance with respect to competitive funding

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opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Each EPA Regional Office will establish a competitive process for awarding WPDGs. Regional Offices will accept applications only for projects selected through the competitive process that affect the States, Tribes, and Territories within their Region. The standard application forms, as furnished by the Federal agency and required by 2 CFR Parts 200 and 1500, must be used for this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the grant is awarded by the Regional Administrator. For the National Tribal competition grant applications are reviewed by appropriate EPA staff and, if approved, the grant is awarded by the Regional Administrator. For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information about deadlines is provided in competitive announcements.

Range of Approval/Disapproval Time:

From 120 to 180 days. Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. The applicant must provide a minimum of 25 percent of the total cost of the work plan to the extent allowed by 2 CFR 200 and 1500 as applicable, 40 CFR 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project costs divided by 4 = minimum match (in dollars). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and inventory reports. All final reports must be accompanied by a 1-2 page summary electronic document on the project. Program reports are required under this program. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$11,942,000.00; FY 21 est \$9,762,000.00; FY 22 est \$13,726,000.00;

Range and Average of Financial Assistance:

Range: \$20,000 to \$600,000/fiscal year; Average: \$250,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021 nine of the ten EPA Regional Offices and the national Tribal program issued announcements based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcements include the number of anticipated awards. Projects will support activities to build state/territory/tribal/local wetland program building and improvement.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

See Regional Assistance Locations. REGIONAL WETLAND GRANT COORDINATORS: Region 1 - CT, ME, MA, NH, RI, VT: Donna Smith-Williams, US EPA Region 1, 1 Congress Street, MC CSP, Suite 100, Boston, MA, 02114. Phone: 617-918-1620. smith.donna@epa.gov. Region 2 - NJ, NY, PR, VI: Jaclyn Woollard, US EPA Region 2, 290 Broadway, NY, NY, 10007. Phone: 212-637-3832. woollard.jaclyn@epa.gov. Region 3 - DE, MD, PA, VA, WV, DC: Danielle Algazi, US EPA Region 3, 1650 Arch Street, MC 3EA30, Philadelphia, PA, 19103. Phone: 215-814-2722. algazi.danielle@epa.gov. Region 4 - AL, FL, GA, KY, MS, NC, SC, TN: Geryl Ricks, US EPA Region 4, 61 Forsyth Street, SW, Atlanta, GA, 30303. Phone: 404-562-9393. ricks.geryl@epa.gov. Region 5 - IL, IN, MI, MN, OH, WI: Dertera Collins, US EPA Region 5, 77 West Jackson Blvd., MC WW16J, Chicago, IL, 60604. Phone: 312-353-6291. collins.dertera@epa.gov. Region 6 - AR, LA, NM, OK, TX: Sondra McDonald, US EPA Region 6, 1445 Ross Avenue, MC 6WQ-AT, Dallas, TX, 75202. Phone: 214-665-7187. mcdonald.sondra@epa.gov. Region 7 - IA, KS, MO, NE: Jennifer Ousley, US EPA Region 7, 901 North Fifth Street, Kansas City, KS, 66101. Phone: 913-551-7498. ousley.jennifer@epa.gov. Region 8 - CO, MT, ND, SD, UT, WY: Cynthia Gonzales, US EPA Region 8, 999 18th Street, Suite 300, Denver, CO, 80202. Phone: 303-312-6569. gonzales.cynthia@epa.gov. Region 9 - AZ, CA, HI, NV, AS, GU: Sarvy Mahdavi, US EPA Region 9, 75 Hawthorne Street, San Francisco, CA 94105. Phone: (213) 244-1830. mahdavi.sarvy@epa.gov. Region 10 - AK, ID, OR, WA: Krista Rave-Perkins, US EPA Region 10, 1200 Sixth Ave, MC: AWT-107, Seattle, WA, 98101. Phone: 206-553-6686. rave-perkins.krista@epa.gov.

Headquarters Office:

Myra Price, National Wetland Program Development Grant Coordinator,

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Environmental Protection Agency, Wetlands Division, Office of Oceans, Wetlands, and Watersheds, Office of Water, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: price.myra@epa.gov
Phone: (202) 566-1225.

Website Address:

<https://www.epa.gov/wetlands/wetland-program-development-grants-and-epa-wetlands-grant-coordinators>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant;

EXAMPLES OF FUNDED PROJECTS:

2021 The WPDG database provides project information for grants funded through this program since 2005 ([https://iaspub.epa.gov/apex/wgd/f?p=101:50:::~:~::](https://iaspub.epa.gov/apex/wgd/f?p=101:50:::)). Examples of funded projects for FY2021 are not available as award selection has not been made.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant

National WPDGs or the 5-Star and Urban Waters Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3), as amended, Public Law 92-500, 33 USC Code 1254(b)(3).

OBJECTIVES:

To assist state, territory, tribal, and/or local government (S/T/LG) agencies in building programs which protect, manage, and restore wetlands. The primary focus of the grants is to build state, territory, and tribal wetland programs. A secondary focus is to build local (e.g. county or municipal) programs. Funding Priority - Fiscal Year 2021: For the National WPDGs: EPA has identified the following national priorities - National Priority Area 1: Core Elements Framework. National Priority Area 1 is based on the four core elements of a Comprehensive State/Tribal Wetlands Program: 1) Monitoring and Assessment; 2) Voluntary Wetland Restoration and Protection; 3) Regulatory Approaches Including CWA 401 Certification; and 4) Wetland-Specific Water Quality Standards. Further explanation of all of the elements can be found at the following website address: <https://www.epa.gov/wetlands/wetland-program-development-grants>. The Wetlands Program Development Grants (WPDG) program strives to develop State/Territory/Tribal/local government programs that will incorporate each of these elements. For the Five-Star Restoration Training Grants, EPA funds an intermediary organization to provide subawards to eligible subawardees to bring together citizen groups, corporations, youth conservation corps, students, landowners, and government agencies to undertake projects that achieve environmental training through voluntary, community/watershed-based wetland restoration projects.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The National WPDGs are intended to encourage comprehensive, national wetlands program development by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects build the capacity of States, Territory, Tribes, and local governments programs to effectively protect wetland and riparian resources.

Activities must help S/T/LGs develop and refine wetland programs. Projects funded under this program support the initial development of a national wetlands protection, restoration or management program, or support enhancement/refinement of an existing national program. Projects must be broad in scope and include more than one EPA Region or be national in scope and cannot be limited to activities that affect such entities in only one EPA region. For example, projects and tasks can involve advancing science or collecting and making available through publications and other appropriate means, such as training on information about how various wetland programs across the nation protect, manage, and restore their wetland resources and about initiatives to improve S/T/LG wetland programs. Projects that are local or encompass only one EPA Region in scope are not eligible for funding under this program but may be eligible for funding under EPA's Regional Wetland Program Development Grants program (CFDA 66.461). Funds cannot be used for the purchase of land or conservation easements. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>). EPA's Five Star Restoration Training Grant is anticipated to fund one cooperative agreement to an organization to manage the Five Star Restoration Training Grant subaward process that will award subgrants to achieve environmental training through voluntary, community/watershed-based wetland restoration projects. Projects funded under the subgrant process must be limited to activities that conduct or promote the coordination and acceleration of training relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Other activities under section 104(b)(3) will not be considered for funding. Funds cannot be used for the purchase of land or conservation easements. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Wetland restoration training projects cannot include wetlands constructed for the purposes of treating wastewater or stormwater only.

Applicant Eligibility:

Interstate; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Non-profit, non-governmental organizations, Interstate agencies, and Intertribal consortia which are capable of undertaking activities that advance wetland programs on a national basis are eligible to compete in this program. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia must meet the requirements of 40 CFR Part 35.504. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Native American Organizations; State, Territory, Tribal, and local governments involved in administering wetlands protection, restoration, and/or management programs or programs related to or complement wetlands protection programs.

Credentials/Documentation:

Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the

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office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The EPA Headquarters (HQ) Office will conduct the competitive process for awarding National Wetland Program Development Grants. Interested applicants should review information on the National Wetland Program Development Grants at:

<https://www.epa.gov/wetlands/wetland-program-development-grants>. Submittal instructions can be found in the competitive announcement. The EPA Headquarters (HQ) Office will conduct the competitive process for awarding the Five-Star Restoration Training Grant. Interested applicants should review information on the Five-Star Restoration Training Grant at: <https://www.epa.gov/wetlands/wetland-program-development-grants>. Submittal instructions can be found in the competitive announcement. All applicants will be notified by the HQ Office on whether or not the applicant has been selected for funding. The notification is not an authorization to begin performance. The Grant Award document signed by the appropriate grant official in the EPA HQ Office is the authorizing document.

Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at:

http://www.epa.gov/ogd/grants/how_to_apply.htm. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information about deadlines is provided in the competitive announcement.

Range of Approval/Disapproval Time:

From 120 to 180 days. Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. The applicant must provide a minimum of 25 percent of the total cost of the project to the extent allowed by 2 CFR 200 and 1500 as applicable, 40 CFR 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project amount (in dollars) divided by 4 = minimum match (in dollars). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. All final reports must be accompanied by a 1-2 page summary electronic document on the project. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Progress reports are required under this program. Reporting requirements shall be determined at the time of grant award. Expenditure reports are required under this program. Reporting requirements shall be determined at the time of grant award. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$1,500,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

National Wetlands Program Development Grants: \$75,000 to \$200,000/every two years; \$160,500/every two years; Five-Star Restoration Training Grant: \$1,000,000 every four years

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021 one competition was announced for this program based on available funds and Agency priorities for the National WPDG. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcements include the number of anticipated awards. EPA received 18 applications under this competition. Projects will support activities to build state/tribal/territory/local wetland program building and improvement.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

None.

Headquarters Office:

Myra Price, National Wetland Program Development Grant Coordinator, Environmental Protection Agency, Wetlands Division, Office of Oceans, Wetlands, and Watersheds, Office of Water, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),

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Washington DC 20460
Email: price.myra@epa.gov
Phone: 202-566-1225
Fax:

Website Address:

<https://www.epa.gov/wetlands/wetland-program-development-grants>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;
66.454 Water Quality Management Planning; 66.458 Capitalization Grants for
Clean Water State Revolving Funds; 66.461 Regional Wetland Program
Development Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 The WPDG database provides project information for grants funded through
this program since 2005: (<https://iaspub.epa.gov/apex/wgd/f?p=101:50:::>)
Examples of funded projects for FY2021 are not yet available.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance
listing description will be described in the competitive announcement.

66.466 Chesapeake Bay Program

Chesapeake Bay Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 117(e), Title Clean Water Act, Section 117(d), Title
Clean Water Act, Section 117(g)(2)

OBJECTIVES:

The EPA's Chesapeake Bay Program (CBP) awards competitive grants and cooperative agreements to states, tribal and local governments, non-governmental organizations, interstate agencies and academic institutions to reduce and prevent pollution and to improve the living resources in the Chesapeake Bay. Grants are awarded for implementation projects, as well as for technical assistance, monitoring, environmental education, and other related activities. EPA's funding priority is to achieve the goals and objectives established in the 2014 Chesapeake Bay Watershed Agreement through the implementation of the management strategies. More detail on these can be found at <http://www.chesapeakeprogress.com>. Funded projects have helped support the commitments set forth in Chesapeake Executive Council agreements and amendments (The Chesapeake Bay Agreement of 1983 through the 2014 Chesapeake Bay Watershed Agreement) and subsequent directives, adoption statements, endorsements and resolutions to expand and strengthen cooperative efforts to restore and protect the Chesapeake Bay. The competitive assistance agreements under this program include: Small Watershed Grants (SWG): This EPA program component supports local governments and non-governmental organizations working to protect and improve local waters and habitats across the Bay watershed while building citizen-based resource stewardship. Innovative Nutrient and Sediment Reduction Grants (INSR): This program component supports regional innovative solutions to reduce or eliminate nutrient and sediment pollution to the Chesapeake Bay and its tributaries. Technical Assistance and General Assistance Grants: This program component supports nonprofit organizations, state, tribal and local governments, academic institutions, and others to implement the goals of the 2014 Chesapeake Bay Watershed Agreement. Funding Priorities - Fiscal Year 2021: The funding priorities remain the same as in FY 2020. Work in this program directly supports Goal 1/Objective 1.2, Provide Clean and Safe Water in EPA's FY 2018 - 2022 Strategic Plan. Most of EPA's direct efforts for FY 2021 will focus on supporting implementation of the two-year Logic & Action Plans for the 25 Management Strategies developed under the Agreement, with particular focus on accelerating implementation of outcomes where progress is lagging. The program is increasing focus on environmental justice ensuring the benefits of the Chesapeake Bay Program are distributed equitably. In addition, the program is increasing efforts in the climate change space by focusing initiatives on the resiliency of the watershed. Specific emphases

include: Implementation of the water quality outcomes that describe the commitment of the Agreement signatories for having all practices in place by 2025 to achieve the necessary pollutant reductions; Accelerating implementation of outcomes that help keep the watershed resilient in the face of climate change (including forest and wetland protection and restoration); Ensuring the most up-to-date science is used throughout the Chesapeake Bay Program to support decision-making, implementation, and future condition assessment. For example, improving computer models to help predict the impact of climate change on the Chesapeake Bay Programs ability to meet water quality standards in the tidal waters of the Chesapeake Bay; and Implementing an action plan to improve diversity, equity, inclusion, and justice in Chesapeake Bay Program restoration efforts.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance Agreements awarded under the Chesapeake Bay Program include the following: (1) 117(d) Technical Assistance and General Assistance Grants - These grants will be awarded competitively to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies to implement the goals of the Chesapeake Bay Agreements; such as activities to support living resource protection and restoration; vital habitat protection and restoration; water quality protection and restoration; sound land use; and stewardship and community engagement. All applicants applying for grants under Section 117(d) must commit to a cost share ranging from 5 to 50 percent of the total project costs, as determined at the sole discretion of EPA. This determination will be stated in Request for Applications (RFAs) or Agency policy. (2) 117(e)(1)(A) Chesapeake Bay Regulatory and Accountability Grants (CBRAP) - As a result of EO 13508, these grants help each watershed state and the District of Columbia to develop new regulations, design TMDL watershed implementation plans, reissue and enforce permits, and provide technical and compliance assistance to local governments and regulated entities. The grants aid the states and DC in implementing and expanding their jurisdiction's regulatory, accountability and enforcement capabilities, in support of reducing nitrogen, phosphorus and sediment loads delivered to the Bay to meet the water quality goals of the 2014 Chesapeake Bay Watershed Agreement. EPA awards these grants non-competitively. Signatory jurisdictions applying for regulatory and accountability program grants under Section 117(e)(1)(A) must identify 50% cost share of total project costs (equal match/dollar for dollar) as required by statute. (3) Section 117(e)(1)(A) Chesapeake Bay Implementation Grants (CBIG) - The Chesapeake Bay Implementation Grants are authorized under Section 117(e)(1)(A) to signatory jurisdictions. Implementation Grants are for the purpose of implementing the management mechanisms established under the 2014 Chesapeake Bay Watershed Agreement, with particular emphasis on state programs for control and abatement of nonpoint source nutrient and sediment pollution (including atmospheric deposition as a nonpoint source). Specifically, CBIG awards support the jurisdictions' implementation of the management strategies developed for each of the applicable outcomes identified in the 2014 Chesapeake Bay Watershed Agreement. CBIG awards may support the jurisdictions' implementation of their watershed implementation plans (WIPs) and milestone commitments, including addressing EPA's evaluation of the WIPs and milestones, and comparable actions that support nutrient and sediment load reduction goals. If the signatory jurisdictions do not use 117(e)(1)(A) grants for projects consistent with furthering the 2014 Chesapeake Bay Watershed Agreement goals and outcomes, EPA may reallocate, conditionally award, or withhold funds. These grants are awarded non-competitively to any jurisdiction that signed the 2014 Chesapeake Bay Watershed Agreement. Signatory jurisdictions applying for implementation under Section 117(e)(1)(A) must identify 50% cost-share of total project costs (equal match/dollar for dollar). (4) Section 117(e)(1)(B) Monitoring Grants - The monitoring grants are authorized under Section 117(e)(1)(B) for signatory jurisdictions. These grants support the characterization of water and habitat quality and benthic community conditions for the main stem Chesapeake Bay and tidal tributaries, characterizing nutrient and sediment loadings to the Chesapeake Bay and its tidal tributaries from the surrounding Chesapeake Bay watershed, assessing changes in nutrient and sediment concentrations in local streams and rivers throughout the Chesapeake Bay watershed, and providing data analysis and interpretation support for water quality and living resources status and trends. These grants are awarded non-competitively to any signatory jurisdiction that signed the 2014 Chesapeake Bay Watershed Agreement. Signatory jurisdictions applying for monitoring grants under Section

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117(e)(1)(B) must identify 50% cost share of total project costs (equal match/dollar for dollar). (5) Section 117(g)(2) Small Watershed Grants - The Small Watershed Grants Program was established under Section 117(g)(2), which provides that grants can be awarded under Section 117(d) to local governments and nonprofit organizations in the Chesapeake Bay region working on a local level to protect and improve watersheds, while building citizen based resource stewardship. The purpose of the grants program is to demonstrate effective techniques and partnership-building to achieve Chesapeake Bay Program objectives at the small watershed scale. The Small Watershed Grants Program was designed to encourage the sharing of innovative ideas among the many organizations wishing to be involved in watershed protection activities. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Under section 117(d), funds are available for technical and general assistance grants to nonprofit organizations, State, tribal (federally-recognized) and local governments, colleges, universities, and interstate agencies; and under section 117(g)(2), funds are available for technical assistance and assistance grants under the Small Watershed Grants Program to local governments and nonprofit organizations and individuals in the Chesapeake Bay region. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Compliance with the program grant guidance (<https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>) such as cost-share requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). For competitive applications, EPA only requires that applicants who are informed following the competition that EPA has selected their application for funding consideration comply with Intergovernmental Review procedures.

Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement. For non-competitive awards, pre-application assistance is recommended and should be obtained from the EPA Region III Grants Management Office. All proposals or work statements should be consistent with CBPO's Grant Guidance located at <https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Competitive announcements (RFAs) for grants/cooperative agreements are announced widely through the internet and Environmental Protection Agency's Chesapeake Bay Program Offices RFA mailing distribution list and email database. All EPA RFAs issued by Chesapeake Bay Program Office (CBPO) are announced in the following manner: posted to www.grants.gov, posted on CBPOs website located at www2.epa.gov/grants/grants-your-region-information-specific-epa-region-3, posted on CBP partnerships website located at <https://www.chesapeakebay.net/what/grants>, listed in Bay Brief newsletters located at www.chesapeakebay.net/news/newsletters, sent to those on CBPOs RFA mailing list, and e-mailed to those on CBPOs RFA e-mail list. RFAs are posted at various times throughout the year. Information pertaining to application requirements for EPA's Chesapeake Bay Program grants programs can be found in the U.S. EPA Chesapeake Bay Program Office Grant and Cooperative Agreement Guidance at: <https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>. Issued Chesapeake Bay Program RFAs will identify specific outcomes that will further the goals of the 2014 Chesapeake Bay Watershed Agreement. The RFA will include detailed instructions for submission of applications. Interested parties who would like to receive a copy of issued Chesapeake Bay Program RFAs and be added to the email and/or mailing database should submit their name, address, phone number, and email address to James Hargett at: Hargett.James@epa.gov; no phone calls will be accepted. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards the procedures for awarding assistance agreements will be provided in the CBPO Grant Guidance located at: <https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>.

Deadlines:

For competitive awards under this assistance listing, information regarding deadlines is provided in the Request for Applications (RFA).

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Extensions are subject to approval by EPA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Under Section 117 (e)(1)(A) and 117(e)(1)(B), respectively, signatory jurisdictions applying for implementation and monitoring grants must identify 50 percent cost share of total project costs (equal match/dollar for dollar). All applicants applying for technical and general assistance grants under Section 117 (d), must commit to a cost share ranging from 5 percent to 50 percent as determined at the sole discretion of EPA. This determination will be stated in Request for Applications or Agency policy. EPA will promptly inform the applicant of the selected cost share requirement. Signatory jurisdictions applying for regulatory and accountability program grants under Section 117(e)(1)(A) must identify 50% cost share of total project costs (equal match/dollar for dollar) as required by statute. Applicants applying for Small Watershed Grants Program under Section 117(g)(2) must commit to a cost share of 25 percent of the total project cost. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis. The term of the grant shall be

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determined at the time of grant award. Method of awarding/releasing assistance:
other

Reports:

Cash reports are required under this program. Progress reports are required to be submitted either semi-annually or quarterly depending on the terms and conditions of the assistance agreement. Expenditure reports are required to be submitted as part of the progress report. These reports are required either semi-annually or quarterly depending on the terms and conditions of the assistance agreement. Monitoring reviews are required to be conducted by the Project Officer with the recipient. These reviews are required either semi-annually or quarterly depending on the terms and conditions of the assistance agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$56,000,000.00; FY 21 est \$35,000,000.00; FY 22 est \$35,000,000.00;

Range and Average of Financial Assistance:

A new assistance listing for Fiscal Year 2021 (FY21) was created for CWA 117(e) non-competitive grants (66.964). Non-competitive and competitive grants were previously awarded under 66.466. EPA has revised 66.466 to separate competitive and non-competitive funding opportunities. Under assistance listing 66.466, the FY21 range is expected to \$50,000 to \$10,000,000 per fiscal year; \$350,000 per fiscal year average.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021 it is projected that five RFAs will be released to continue Chesapeake Bay Program (CBP) partnership support. Such support includes: 1) the web product needs of the CBPs Management Board, goal implementation teams (GITs), and relevant workgroups; 2) the development and application of a model to simulate the 2025 assessment of 2035 climate change risks and challenges to the Chesapeake TMDL water quality standards; 3) the implementation of the agricultural and other nonpoint source components of the Chesapeake Bay watershed jurisdictions Watershed Implementation Plans (WIPs); 4) the strategic communication, media relations, and communication product development and distribution for the Chesapeake Bay Program; and 5) an application for writing, editing and publishing the Bay Journal, a monthly periodical published that to informs the public about issues and events that affect the Chesapeake Bay and its watershed.

REGULATIONS, GUIDELINES, AND LITERATURE:

In addition to the requirements under 2 CFR 200 and 1500, the Chesapeake Bay Program assistance agreements must follow the U.S. EPA Chesapeake Bay Program Office Grant and Cooperative Agreement Guidance which is updated annually. This guidance can be found at: <https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

James Hargett,
EPA Chesapeake Bay Program Office, 410 Severn Avenue, Suite 112,
Annapolis MD 21403
Email: hargett.james@epa.gov
Phone: 410-267-5743

Fax:

Website Address:

<https://www.epa.gov/grants/grants-your-region-information-specific-epa-region-3#current>

RELATED PROGRAMS:

66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support;

EXAMPLES OF FUNDED PROJECTS:

2021 The projected assistance agreements for the current fiscal year include: 1) support of the communication needs and priorities of the CBP partnership to support implementation of the Chesapeake Bay Watershed Agreement; 2) support related to implementation of the agricultural and other nonpoint source components of the Chesapeake Bay watershed jurisdictions Watershed Implementation Plans (WIPs); 3) provide support to design, develop, update and maintain a portfolio of web sites supporting the Chesapeake Bay Program partnership; and 4) development of a 2025 tidal Bay Model for the assessment of the Chesapeake TMDL water quality standards under 2035 climate change conditions.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.468 Capitalization Grants for Drinking Water State Revolving Funds

Drinking Water State Revolving Fund,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1452, Title Additional Supplemental Appropriations for Disaster Relief Act of 2019, . Public Law 116-20

OBJECTIVES:

Grants are made to States and Puerto Rico to capitalize their Drinking Water State Revolving Funds (DWSRFs) which will provide a long-term source of financing for the costs of drinking water infrastructure. Grants are also made to the District of Columbia, U.S. Territories (Virgin Islands, Northern Mariana Islands, American Samoa, and Guam), and Indian Tribes. Funding Priority - Fiscal Year 2021: The funding priority established by the SDWA are for capitalization grants to each state for infrastructure improvement projects that are needed to achieve or maintain compliance with SDWA requirements, protect public health, and assist systems with economic need. A state may use a portion of the capitalization grant funds for programs that emphasize preventing contamination problems through source water protection and enhancing water system management. States and EPA Regions determine priorities for funding in accordance with SDWA. The program supports the Agency's strategic goal of providing for clean and safe water.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Capitalization grants are made available to each State and Puerto Rico for the purpose of establishing a DWSRF for providing assistance to drinking water systems for infrastructure improvements. States award loans and other types of financial assistance to eligible public water systems for projects. Once invoices for these projects are submitted, the state can request reimbursement from the Federal Treasury up to the amount of their capitalization grant. A State may elect to use up to approximately 31% of the capitalization grant for other eligible activities, including 4%, \$400,000 or 1/5th percent of fund valuation for administration of the

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program. States may also elect to transfer up to one-third of the DWSRF capitalization grant amount to the Clean Water State Revolving Fund (CWSRF) or an equivalent amount from the CWSRF to the DWSRF program. Grants are also made available to Federally Recognized Indian Tribal Governments, U.S. Territories, and the District of Columbia. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; States, the District of Columbia, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), and Federally Recognized Indian Tribal Governments are eligible for grants from the program. The Disaster Relief Act, 2019, (Public Law 116-20), provides additional funding for states and water systems impacted by the Hurricanes Florence and Michael, Typhoon Yutu, and the 2018 wildfires and earthquakes. These disasters affected drinking water and wastewater in EPA Regions 4, 9, and 10. Based on the information EPA has, only California had wildfires with major disaster declarations for public assistance during calendar year 2018, and only Alaska had an earthquake with major disaster declaration for public assistance. The Act did not provide an allocation among affected states. The distribution of the disaster supplemental resources took into account several variables, including the number and geographical location of facilities that were directly impacted during the incidents, and the population served by those systems.

Beneficiary Eligibility:

U.S. Territories; Intrastate; State; Local; Federally Recognized Indian Tribal Governments; States, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), Federally Recognized Indian Tribal Governments, local, and intrastate.

Credentials/Documentation:

To receive a grant, an applicant enters into an agreement with the EPA Regional Administrator which shall include, but is not limited to, the requirements set forth in Section 130 of the SDWA. Recipients must follow 2 CFR 200 Subpart E. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Note that EPA has determined that states meet their intergovernmental review responsibilities under 40 CFR Part 29 when they comply with the public notice requirements for Intended Use Plans prescribed in 40 CFR 35.3555. States are required to prepare and provide for public comment on a plan identifying the intended uses (Intended Use Plan, or IUP) of the funds in the DWSRF and how those uses support the goals of the DWSRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent State assistance for drinking water systems.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.grants.gov). Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. EPA requires final applications to be made on Standard Form 424. Grants for Tribes, U.S. Territories, and the District of Columbia are selected based on funding priorities established by EPA Regional Offices. States must certify that they have the legal authority to receive a capitalization grant and that they have the legal authority to operate the program. States must provide assurance in their applications that they have the legal, managerial, technical and operational capabilities to administer the DWSRF program competently and that they will comply with all applicable Federal cross-cutting authorities and Federal statutes. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

A grant application is reviewed by the appropriate Regional Office, and if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA. EPA Headquarters retains the authority to review certain applications or parts thereof. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approval time averages 45 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. For those portions of the applicant's program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed.

Formula and Matching Requirements:

Statutory Formula: Title Protection of the Environment, Chapter 40, Part 35, Subpart 3515, 3540, and 3585, Public Law Safe Drinking Water Act, Sec. 1452. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The required State match is 20% of the amount of the capitalization made to the State. The funds available for allotment to State DWSRF programs are those funds appropriated by Congress under the Safe Drinking Water Act (SDWA 1452; 40 CFR 35.3540 and 35.3585). EPA allots funds to each State based on the State's proportional share of total eligible needs reported for the most recent Drinking Water Infrastructure Needs Survey (which is conducted every four years). The minimum proportional share that each State can receive is 1% of total funds available to States. EPA reserves up to 1.5% of the funds available for allotment to the States to provide grants to the U.S. Territories (Virgin Islands, Mariana Islands American Samoa, and Guam). The SDWA also requires the Administrator to reserve 1% of the funds available for allotment to the States to provide grants to the District of Columbia. The SDWA also allows EPA to reserve funds for national set-asides that include: up to 2.0% of the national appropriation for grants to Indian Tribes as well as funding for health effects studies, small system technical assistance, and monitoring of unregulated contaminants. The required State match is 20% of the amount of the capitalization made to the State. For Recovery Act funds, the required State match of 20% of the amount of the capitalization grant was waived. The match requirement for the Disaster Relief Act, 2019 funds is 20%. Allocations for the Disaster Relief Act, 2019 funds were developed based on impacts from Hurricanes Florence and Michael, Typhoon Yutu, and the 2018 wildfires and earthquakes. For additional information go to <https://www.epa.gov/drinkingwatersrf/annual-allotment-federal-funds-states-tribes-and-territories>. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. Recovery Act funds were available for EPA's obligation to the State until September 30, 2010. The term of the grant shall be determined at the time of award. States must agree to enter into binding commitments with loan recipients to provide financial assistance from the DWSRF in an amount equal to the sum of Federal assistance, less amounts used by the State for eligible set-aside purposes, and the State match. States are also required to agree to commit and expend all funds in the DWSRF as efficiently as possible, and in a timely manner. Method of awarding/releasing assistance: other

Reports:

States shall provide biennial reports to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90

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days after the end of the second fiscal year during which the payments were received). The biennial report shall describe how the State has met the goals and objectives for the preceding two fiscal years as identified in its intended use plans for those periods, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the DWSRF. For grants to Tribes and U.S. Territories, procedures for accounting, auditing, evaluating, and reviewing any program for activities in whole or in part shall be governed by regulations at 2 CFR 200 and 1500 as applicable, and 40 CFR Parts 32 and 35, "Environmental Program Grants for Tribes," with reports as required by the specific terms of the agreement, and OMB Guidance. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved. As part of the annual review conducted by the Agency to assess a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the SDWA.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$1,126,088,000.00; FY 21 est \$1,126,088,000.00; FY 22 est \$1,357,700,000.00;

Range and Average of Financial Assistance:

States: \$11,001,000 to \$97,047,000/fiscal year; \$21,000,000/fiscal year. Tribes: \$6,000 to \$2,400,000/fiscal year; \$480,000/fiscal year. Territories: \$3,000,000 to \$5,000,000/fiscal year; \$4,000,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: All 50 states and Puerto Rico have established and are implementing DWSRF programs through receipt of a capitalization grant. In FY21, states, tribes, and territories will continue to receive funding to support infrastructure improvement projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); and 40 CFR Part 35, Subpart L (Drinking Water State Revolving Funds). Additional program information, including contact information for state program managers, is available online at:

<https://www.epa.gov/drinkingwatersrf>.

Regional or Local Office:

See Regional Assistance Locations. Region 1 - Katie Marrese, Marrese.Katherine@epa.gov, (617) 918-1658, 5 Post Office Square - Suite 100 Boston, MA 02109-3912. Region 2 - Steve Vida, Vida.Stephen@epa.gov, (212)

637-3862, Ted Weiss Federal Building, 290 Broadway, New York, NY 10007
Region 3 - Vince Gallo, Gallo.Vincent@epa.gov, (215) 814-5773, 1650 Arch St, Philadelphia, PA 19103
Region 4 - Sheryl Parsons, Parsons.Sheryl@epa.gov, (404) 562-9337, 61 Forsyth St NW, Atlanta, GA 30303
Region 5 - Gerry Bakker, Bakker.Gerry@epa.gov, (312) 886-0177, Ralph Metcalfe Federal Building, 77 West Jackson Blvd. Chicago, IL 60604-3590
Region 6 - Javier Balli, Balli.Javier@epa.gov, (214) 665-7261, 1445 Ross Ave, Dallas, TX 75202
Region 7 - Glenn Curtis, Curtis.Glenn@epa.gov, (913) 551-7726, 11201 Renner Blvd, Lenexa, KS 66219
Region 8 - Brian Friel, Friel.Brian@epa.gov, (303) 312-6277, 1595 Wynkoop Street, Denver, CO 80202-1129
Region 9 - Josh Amaris, Amaris.Joshua@epa.gov, (415) 972-3597, 75 Hawthorne Street, San Francisco, CA, 94105
Region 10 - Rick Green, Green.Richard@epa.gov, (206) 553-8504, 1200 6th Avenue Seattle, WA 98101

Headquarters Office:

Howard Rubin, Acting Associate Branch Chief,
Drinking Water Protection Division, Office of Groundwater and Drinking Water,
U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: Rubin.HowardE@epa.gov
Phone: Rubin.HowardE@epa.gov.
Fax:

Website Address:

<https://www.epa.gov/dwsrf>

RELATED PROGRAMS:

66.458 Capitalization Grants for Clean Water State Revolving Funds;

EXAMPLES OF FUNDED PROJECTS:

2021 Drinking water projects addressing treatment, storage, source, transmission, distribution and consolidation. Some examples of projects include: construction of a ultra-violet treatment facility; construction of pumping stations; upgrades of water treatment plant; line replacement; and new wells to replace wells that have been contaminated. For projects funded under the Additional Supplemental Appropriations for Disaster Relief Act, 2019: projects to reduce flood or fire damage risk and vulnerability or to enhance resiliency to rapid hydrologic change or natural disaster at eligible facilities under section 1452 of the Safe Drinking Water Act.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.469 Great Lakes Program

Great Lakes Restoration Initiative (GLRI),

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 118(c), Public Law 114-322, Title National Environmental Policy Act, Section 102(2)(F)

OBJECTIVES:

The goal of the Great Lakes Program is to restore and maintain the chemical, physical, and biological integrity of the Great Lakes Basin Ecosystem. EPA leads a consortium of programs, agencies, and public and private institutions in attaining specific objectives and actions that will address the most significant Great Lakes ecosystem problems and efforts in five major focus areas: Toxic Substances and Areas of Concern; Invasive Species; Nonpoint Source Pollution Impacts on Nearshore Health; Habitats and Species; and Foundations for Future Restoration Actions. Funded activities will advance protection and restoration of the Great Lakes ecosystem in support of (i) the Great Lakes Restoration Initiative as described in the Great Lakes Restoration Initiative Action Plan III (available from: <https://www.epa.gov/great-lakes-funding/great-lakes-restoration-initiative-action-plan-iii>); (ii) Objective 1.2 (Provide for Clean and Safe Water) of EPA's 2018-2022 Strategic Plan (available from: <https://www.epa.gov/planandbudget/strategicplan>); and/or (iii) the Great Lakes Regional Collaboration Strategy to Protect and Restore

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the Great Lakes (available from: <https://www.gsgp.org/projects/protection-and-restoration/great-lakes-regional-collaboration/glr-c-strategy/>). Funding Priority - Fiscal Year 2021: Projects will be funded in five GLRI Action Plan focus areas: (i) Toxic Substances and Areas of Concern; (ii) Invasive Species; (iii) Nonpoint Source Pollution Impacts on Nearshore Health; (iv) Habitats and Species; and (v) Foundations for Future Restoration Actions. The GLRI places a special priority on cleaning up and de-listing Areas of Concern, reducing phosphorus contributions from agricultural and urban lands that contribute to harmful algal blooms and other water quality impairments, and invasive species prevention.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Project Grants, Project Grants (Discretionary), Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

The statutory authority for awards in furtherance of the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement is contained in Clean Water Act Section 118(c), including provisions authorizing grants and cooperative agreements to qualified non-federal entities for planning, research, monitoring, outreach, or implementation. Great Lakes Restoration Initiative implementation, as described above, includes but is not limited to land use activities, governmental partnerships and informed public participation, technology development, investigations, experiments, training, demonstrations, surveys, and studies to the extent consistent with the Great Lakes Regional Collaboration or Great Lakes Restoration Initiative Action Plan II. The principal goal of the Great Lakes Water Quality Agreement is the restoration and maintenance of the chemical, physical, and biological integrity of the Great Lakes Ecosystem. For projects with international aspects the above statutes are supplemented, as appropriate, by the National Environmental Policy Act, Section 102(2)(F). Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved activities plus allowable indirect costs, in accordance with established EPA policies and regulations. Quality System Documentation is required for projects involving measurements or information that describe environmental processes, location, or conditions; ecological or health effects and consequences; or the performance of environmental technology. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Qualified non-federal entities eligible to apply for grants include non-federal governmental entities, nonprofit organizations, and institutions. This includes state agencies; any agency or instrumentality of local government; interstate agencies; federally-recognized tribes and tribal organizations; colleges and universities; non-profit organizations; and other public or non-profit private agencies, institutions, and organizations. Non-profit organization means any corporation, trust, association, cooperative, or other organization which: (1) is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve, and/or expand its operations. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible applicants. "For profit" organizations, federal agencies, and individuals are not eligible applicants. "For profit" organizations, federal agencies, and individuals are not eligible applicants. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Requests for Applications, examples, and other Great Lakes funding information are available from <https://www.epa.gov/great-lakes-funding>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards made under this assistance listing, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications.

Range of Approval/Disapproval Time:

Other. Approximately two to four months after the deadline for application submission.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. A standard grant application should be prepared and submitted electronically as a new grant using Grants.gov, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to [EPAs] priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Not Applicable. Leveraging, including an applicant's match percentage, will generally be considered as part of the project selection process for grants and cooperative agreements; however, the program does not expect to require any minimum match requirement. Any such requirement will be specified in the individual Request for Applications. MOE requirements are not

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applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally 1-3 years; however, awards can be made for up to 7 years. The term of the grant will be determined at the time of award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Reporting requirements shall be determined at the time of award. Reporting requirements shall be determined at the time of award. Reporting requirements shall be determined at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$21,000,000.00; FY 21 est \$21,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Some awards are fully funded at award and others are funded incrementally over several years. Representative Award Range: \$30,000/fiscal year to \$5,000,000/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For accomplishments associated with this assistance agreement, please visit: <https://www.epa.gov/great-lakes-funding/great-lakes-rfas#awarded>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs). Additional information regarding this grant program can be found in the Great Lakes Restoration Initiative Action Plan III, Fiscal Year 2020-2024, located at: <https://www.epa.gov/great-lakes-funding/great-lakes-restoration-initiative-action-plan-iii>.

Regional or Local Office:

None.

Headquarters Office:

Bart Mosier,
USEPA, Great Lakes National Program Office (G-17J), 77 West Jackson
Boulevard,
Chicago IL 60604
Email: mosier.bart@epa.gov
Phone: 312-353-4513
Website Address:

<https://www.epa.gov/great-lakes-funding>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 EPA will award grants and cooperative agreements that directly advance the objectives the Great Lakes Restoration Initiative (GLRI) Action Plan II, specifically projects will advance measures in the five GLRI Focus Areas including: Toxic Substances and Areas of Concern; Invasive Species; Nonpoint Source Pollution Impacts on Nearshore Health; Habitat and Species; and Foundations for Future Restoration Actions. For descriptions of projects funded under this assistance listing, please visit: <https://www.glri.us/projects>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.472 Beach Monitoring and Notification Program Implementation Grants

BEACH Act Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 406, Title Beaches Environmental Assessment and Coastal Health Act of 2000, , Public Law 106-284

OBJECTIVES:

To assist Coastal and Great Lakes States and Tribes eligible under Section 518(e) of the Clean Water Act, as amended, in developing and implementing programs for monitoring and notification for coastal recreation waters adjacent to beaches or similar points of access that are used by the public. Funding Priority - Fiscal Year 2021: EPA's funding priority is to award grants to (1) applicants whose proposals clearly demonstrate a state's, tribe's, territory's, or local government's ability to monitor coastal and Great Lakes recreational waters; notify the public of risks; manage programs; and communicate among environmental and public health agencies and the public and (2) applicants eligible to develop a program to do these actions.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

These grants are intended to support the implementation of recreational water monitoring and notification programs or support enhancement of an existing program. EPA encourages grantees to incorporate tools such as sanitary surveys and monitoring frequencies tailored to beach conditions while meeting other BEACH Act program requirements. Grantees should strive to implement the most efficient and timely means of communicating advisory information from public health agencies to the beachgoing public. To be eligible for implementation grants, programs must also demonstrate annually that they meet the program performance criteria listed in the National Beach Guidance and Required Performance Criteria for Grants, 2014 Edition (EPA-823-B-14-001). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Coastal and Great Lakes States, territories (Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands), and Tribes eligible under Section 518(e) of the Clean Water Act, as amended. The Administrator may make a grant to a local government under this subsection for implementation of a monitoring and notification program only if, after the one-year period beginning on the date of publication of performance criteria under Section

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406 (a)(1), the Administrator determines that the State is not implementing a program that meets the requirements of Section 406(a)(1), regardless of whether the State has received a grant under Section 406(a)(1). Interstate agencies and intertribal consortia are not eligible for Beach grants.

Beneficiary Eligibility:

State; Local; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; States, U.S. territories, Federally recognized Indian Tribal Governments, environmental and public health agencies, and local governments involved in implementing monitoring and notification programs.

Credentials/Documentation:

See the criteria in EPA's National Beach Guidance and Required Performance Criteria for Grants, 2014 edition, found at <https://www.epa.gov/sites/production/files/2018-12/documents/national-beach-guidance-2014-report.pdf>. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Pre-application coordination with the appropriate EPA Regional Office is encouraged. The standard application forms as required by 2 CFR 200 and 1500 as applicable, must be used for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: <https://www.epa.gov/grants>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office, and if approved, are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Grants are usually approved within three months of receipt of complete application.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Beach grants are normally funded on a 12-month basis (yearly). However, EPA may negotiate the project period, grant continuations, and grant amendments with each applicant based on project requirements. Method of awarding/releasing assistance: other

Reports:

Recipients must submit annual performance reports and financial reports as

required in 2 CFR 200 and 1500 as applicable. The annual performance report explains changes to the beach monitoring and notification program during the grant year. It also describes how the grant funds were used to implement the program to meet the performance criteria listed in the National Beach Guidance and Required Performance Criteria for Grants, 2014 Edition (EPA 823-B-14-001). The annual performance report required under 2 CFR 200 and 1500 as applicable, is due no later than 90 days after the grant year ends. Recipients must also submit annual monitoring and notification reports required by the National Beach Guidance and Required Performance Criteria for Grants 2014 Edition (EPA 823-B-14-001). Sections 2.2.4 and 4.5 of the document contain the performance criterion requiring an annual monitoring report, and sections 2.2.8 and 5.5 contain the performance criterion requiring an annual notification report. This document can be found at <https://www.epa.gov/beach-tech/national-beach-guidance-and-required-performance-criteria-grants>. These reports are required to be submitted to EPA under CWA section 406(b)(3)(A) and the National Beach Guidance and Required Performance Criteria for Grants, and include data collected as part of a monitoring and notification program. As a condition of award of an implementation grant, EPA requires that the monitoring report and the notification report for any beach season be submitted not later than January 31 of the year following the beach season. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients' grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$8,388,700.00; FY 21 est \$9,619,000.00; FY 22 est \$9,811,000.00;

Range and Average of Financial Assistance:

\$150,000 to \$470,000/fiscal year for states and territories; average award was \$269,000. Tribes typically receive \$50,000 each.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Grants will support the development, implementation, and enhancement of water quality monitoring and public notification programs for coastal recreational waters.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

See Regional Assistance Locations. Region 1 (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island): Alicia Grimaldi, USEPA Region 1, 5 Post Office Square, Suite 100 (OEP06-1), Boston, MA 02109-3912; Telephone: (617) 918-1806; Fax: (617) 918-0748; e-mail: grimaldi.alicia@epa.gov Region 2 (New Jersey, New York, Puerto Rico, U.S. Virgin Islands): Helen Grebe, USEPA Region

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2, 2890 Woodbridge Ave. MS220, Edison, NJ 08837-3679; Telephone: (732) 321-6797; Fax: (732) 321-6616; e-mail: grebe.helen@epa.gov Region 3 (Delaware, Maryland, Pennsylvania, Virginia): Denise Hakowski, USEPA Region 3, 1650 Arch Street 3WP30, Philadelphia, PA 19103-2029; Telephone: (215) 814-5726; Fax: (215) 814-2318; e-mail: hakowski.denise@epa.gov Region 4 (Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina): Joel Hansel, USEPA Region 4, 61 Forsyth St. 15th Floor, Atlanta, GA 30303-3415; Telephone: (404) 562-9274; Fax: (404) 562-9224; e-mail: hansel.joel@epa.gov Region 5 (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin): Peg Donnelly, USEPA Region 5, 77 West Jackson Blvd. WT-16J, Chicago, IL 60604-3507; Telephone: (312) 886-6109; Fax: (312) 886-0168; e-mail: donnelly.peggy@epa.gov Region 6 (Louisiana, Texas): Rob Cook, USEPA Region 6, 1201 Elm St. Suite 500, 6WQ-EW, Dallas, TX 75270; Telephone: (214) 665-7141; Fax: (214) 665-6689; e-mail: cook.robert@epa.gov Region 9 (American Samoa, Commonwealth of the Northern Mariana Islands, California, Guam, Hawaii): Terry Fleming, USEPA Region 9, 75 Hawthorne St. WTR-2, San Francisco, CA 94105; Telephone: (415) 744-1939; Fax: (415) 744-1078; e-mail: fleming.terrence@epa.gov Region 10 (Alaska, Oregon, Washington): Bevin Horn, USEPA Region 10, 1200 Sixth Ave. OWW-191, Seattle, WA 98101; Telephone: (206) 553-1566; Fax: (206) 553-1280; e-mail: horn.bevin@epa.gov

Headquarters Office:

Lisa Larimer, Fish and Beach Team Leader,
Environmental Protection Agency, Office of Water, Standards and Health
Protection Division, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: larimer.lisa@epa.gov
Phone: 202-566-1017
Fax: 202-566-0409

Website Address:

<https://www.epa.gov/beaches>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Funding will support the implementation of beach monitoring programs; development of databases, websites and apps; purchase of supplies for water quality monitoring; purchase of lab equipment to update water quality monitoring programs; and construction and posting of signs on beaches.

CRITERIA FOR SELECTING PROPOSALS:

To be eligible for program implementation grants, applicants must meet program performance criteria outlined in National Beach Guidance and Required Performance Criteria for Grants, 2014 Edition (EPA-823-B-14-001). The performance criteria set forth implementation grant requirements for monitoring and assessing recreation waters and promptly notifying the public of exceedances of water quality for pathogens. As a condition of receipt of a program Implementation Grant under Section 406(b) of the Clean Water Act, a State or local government program for monitoring and notification under this section shall: (1) undertake a risk-based beach evaluation and classification process; (2) formulate a tiered monitoring plan based on the classification process; (3) select methods and assessment procedures that will be used in the monitoring program; (4) submit a report to the Administrator annually detailing the results of the monitoring program; (5) in the case of a state program for monitoring and notification, the process by which the state may delegate to local governments responsibility for implementing the monitoring program; (6) develop a plan for the prompt communication of the occurrence, nature, location, pollutants involved, and extent of any exceeding of, or likelihood of exceeding, applicable water quality standards for pathogens and pathogen indicators; (7) take such actions as are required to notify the public of exceedances of applicable water quality standards for pathogens and pathogen indicators; (8) submit a report annually to the Administrator detailing the results of the notification program; (9) describe the process by which the state may delegate to local governments responsibility for implementing the notification responsibilities under the grant; (10) provide schedules to the Administrator for the adoption of new or revised water quality standards for pathogens and pathogen indicators; and (11) conduct a public evaluation of the program.

66.473 Direct Implementation Tribal Cooperative Agreements

DITCA,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title EPA's Annual Appropriations Acts,

OBJECTIVES:

Direct Implementation Tribal Cooperative Agreements (DITCAs) enable EPA to award cooperative agreements to federally recognized Indian tribes and eligible intertribal consortia to help carry out the Agency's function to directly implement Federal environmental programs required or authorized by law in the absence of an authorized or delegated tribal program, notwithstanding the Federal Grant and Cooperative Agreement Act. DITCAs are an increasingly important avenue for EPA and the tribes to realize meaningful environmental protection in Indian Country. DITCAs enable EPA to partner with tribes to help fulfill EPA's direct implementation authorities, yield environmental results, and assist the Agency in meeting its Strategic Plan goals and targets. DITCAs also provide tribes with flexibility and opportunity by allowing tribes, through a workplan with EPA and under federal authority, to choose aspects of a program that address their tribal environmental needs and priorities, to determine the scope and pace of tribal involvement, and to build tribal capacity to implement environmental programs. Funding Priorities - Fiscal Year 2020/2021: Under Goal 2 of the FY18-22 EPA Strategic Plan, EPA is committed to work on a government-to-government basis to build tribal capacity to implement federal programs through delegations, authorizations, and primacy designations to enable tribes to meaningfully participate in the Agency's policy making, standard setting, and direct implementation activities under federal environmental statutes. EPA will work with individual tribes to develop and implement an EPA-Tribal Environmental Plan (ETEP), a joint planning document for achieving stronger environmental and human health protection in Indian country. ETEPs identify tribal, EPA, and shared priorities, and the roles and responsibilities for addressing those priorities. EPA will focus its direct implementation efforts on areas of high need for human health or environmental protection, including programs identified in the ETEP for which tribes are not eligible, as well as those for which tribes do not currently anticipate seeking delegation, authorization, or primacy. In carrying out its direct implementation activities, EPA will work closely with tribes to develop tribal capacity to meaningfully participate in programs for which they do not anticipate seeking delegation, authorization, or primacy. EPA will also encourage tribes to participate in policy making and to assume appropriate partial roles in the implementation of programs, including through the use of DITCAs or other agreements, as available. DITCAs provide an avenue for tribes and EPA to partner in implementing meaningful environmental protection programs in Indian country. Because DITCAs can address a wide range of activities, and the need for implementation of these activities in Indian country is great, the funding priority is for any eligible activity that addresses a tribal environmental need or priority, and yields meaningful environmental and/or public health results for the tribe and the Agency.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

DITCAs assist Tribes in helping EPA directly implement Federal environmental programs required or authorized by law in the absence of an acceptable Tribal program, and may only be awarded to Tribes to assist the Administrator in directly implementing Federal environmental programs for Indian Tribes required or authorized by law. For example, activities under the following programs may be eligible for DITCA funding: Water quality standards promulgation and review and the National Pollutant Discharge Elimination System permit program under the Clean Water Act. Implementation plan development and the Title V permit program under the Clean Air Act. The Public Water System program and the Underground Injection Control program under the Safe Drinking Water Act. The Underground Storage Tank program and the Subtitle C permit program under the Resource Conservation and Recovery Act. The Certification and Training program

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for pesticide applicators under the Federal Insecticide, Fungicide, and Rodenticide Act. The Lead-Based Paint program under the Toxic Substances Control Act. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; DITCAs may be awarded to: (1) Federally Recognized Indian Tribal Government, and (2) intertribal consortia consistent with applicable provisions. In order for an intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 C.F.R. Part 35 Subpart B (Tribal Grants). See "EPAs Direct Implementation of Federal Environmental Programs in Indian Country", which can be found at: <https://www.epa.gov/tribal/epas-direct-implementation-federal-environmental-programs-indian-country>.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Government and intertribal consortia consistent with applicable provisions.

Credentials/Documentation:

In order for intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 CFR Part 35 Subpart B (Environmental Program Grants for Tribes). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. For non-competitive awards, EPA will work with Tribes and Intertribal Consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program. For additional information, contact the individual(s) listed as "Information Contacts"

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov.

Award Procedure:

EPA will review each application to determine the adequacy of the application in relation to EPA's grant regulations (2 CFR 200 and 1500) and other applicable program regulations and guidance. If the application is approved, EPA will award a cooperative agreement up to the reasonable and necessary cost of the approved work plan.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Cooperative agreements usually awarded within 60 to 120 days from the date of application receipt.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable. .

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally awards cooperative agreements for periods of 12 to 36 months. The term of the grant shall be determined at the time of award. Method of awarding/releasing assistance: other

Reports:

Cash reports are required under this program. Requirements and frequency will be outlined in the assistance agreement. EPA and Tribes will negotiate the frequency

and content of performance and financial status reports. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Uniform grant Guidance 2 CFR 200 and 40 CFR Part 35, Sub Part B "Environmental Program Grants for Tribes." Expenditure reports part of the progress reporting. Requirements will be outlined in the terms and conditions of the assistance agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304. 68-0103-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$350,000.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

No funding in FY21. When funding is available, cooperative agreement amounts range between \$10,000 and \$100,000/fiscal year; Average: \$55,000/fiscal year.

TAFS Codes:

68-0108. 68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: DITCA-funded activities included: implementation of the Public Water System Supervision program, such as providing technical assistance and conducting sanitary surveys, under the Safe Drinking Water Act and implementation of the Underground Storage Tank program, including compliance assistance under the Resource Conservation and Recovery Act. For information about accomplishments associated with this program, please visit: <https://www.epa.gov/tribal>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35, Subpart B (Environmental Program Grants for Tribes). DITCA guidance is available on the Environmental Multimedia tab at : <https://www.epa.gov/tribal>.

Regional or Local Office:

None. A listing of EPA's Regional and HQ National Tribal Program Managers can be found at: <https://www.epa.gov/tribal/tribal-program-managers>.

Headquarters Office:

David B. Jones,
Environmental Protection Agency, American Indian Environmental Office
(3204R), 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204R),
Washington DC 20460
Email: jones.david@epa.gov
Phone: (202) 564-4368

Website Address:

<https://www.epa.gov/tribal>

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of DITCA-funded activities include: implementation of the Public Water System Supervision program, such as providing technical assistance and conducting sanitary surveys, under the Safe Drinking Water Act and implementation of the Underground Storage Tank program, including compliance assistance under the Resource Conservation and Recovery Act.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements may be awarded to Tribes which submit applications consistent with EPA regulations and guidance. EPA will work with Tribes and Intertribal consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program.

66.475 Gulf of Mexico Program

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3), 33 USC Code 1254(b)(3).

OBJECTIVES:

The goals of the Gulf of Mexico Program are to: (1) assist States, Indian Tribes, interstate agencies, and other public or nonprofit organizations in developing, implementing, and demonstrating innovative approaches relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution; and (2) expand and strengthen cooperative efforts to restore and protect the health and productivity of the Gulf of Mexico in ways consistent with the economic well-being of the region. Funding Priorities - Fiscal Year 2021: The Gulf of Mexico Program will continue to fund projects that will deliver significant results to achieve the environmental outcomes of improved health of the Gulf of Mexico by restoring, protecting and enhancing habitat, improving water quality, enhancing community resilience, and furthering environmental education.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Section 104 (b)(3) of the Clean Water Act, 33 U.S.C. Section 1254 (b)(3) authorizes the awarding of assistance for programs established under the Clean Water Act. Assistance will be targeted to States, Tribes, interstate agencies, and other public or nonprofit organizations that commit to specific activities. The Gulf of Mexico Program seeks to fund projects that address water quality improvement; coastal habitat and ecosystems enhancement, restoration and/or protection; environmental education and outreach; and community resilience in the Gulf of Mexico region and its watersheds. Eligible activities should be of a relatively short time frame (6 months to 3 years) and all projects should achieve and demonstrate results. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Funds are available to State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should follow the application submission instructions provided in the competitive announcement. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov by the date and time published in the competitive announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement.

Range of Approval/Disapproval Time:

Other. Approximately 90-180 days following the application deadline as addressed in the competitive announcement.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. A standard grant application should be prepared and submitted electronically as a new grant using Grants.gov, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at the time of award. Assistance agreements are typically three years. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the regulations at 2 CFR 200 and 1500, as applicable. Requirements determined at the time of award. Requirements determined at the time of award. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$13,643,714.00; FY 21 est \$14,717,712.00; FY 22 est \$14,717,712.00;

Range and Average of Financial Assistance:

FY19 \$20,000 - \$1,150,000 Average: \$510,000 FY20 \$18,000 - \$500,000 Average: \$410,000 FY 21 \$560,000 - \$1,000,000 Average = \$915,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY21, the Gulf of Mexico Division issued the Request for Application, "Healthy and Resilient Gulf of Mexico 2021 Request for Applications". EPA expects to award a total of approximately \$9 million for about 30 projects in the four funding opportunities. For more information this RFA and other accomplishments under this program, please visit: <https://www.epa.gov/gulfofmexico>

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Regional or Local Office:

None.

Headquarters Office:

Rachel Houge,
EPA/Gulf of Mexico Program Office, 2510 14th Street., Suite 1212,
Gulfport MS 39501
Email: hounge.rachel@epa.gov
Phone: 228-304-7441
Fax: 228-679-5921

Website Address:

<https://www.epa.gov/gulfofmexico>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;

EXAMPLES OF FUNDED PROJECTS:

2020 Several projects were funded through our Trash-Free Waters funding opportunity. These projects will improve water quality through: 1) litter cleanup and prevention programs 2) reduction of waste by prevention, including improvement of community resilience through education. 3) removal of trash from urban drainage systems and providing community education on trash prevention affecting three Gulf of Mexico watersheds (Mississippi River/Lake Pontchartrain Basin, Trinity River and Galveston Bay, and Mobile Bay) Projects were also funded under our Farmer to Farmer funding opportunity. The projects funded develop innovative practices within farming communities, measure the results of those practices, and identify how the practices will be incorporated into farming operations.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be selected based on the criteria and ranking factors established in the EPA's Gulf of Mexico Program's competitive announcement. Leveraged funds and resources will be considered during the evaluation scoring process.

66.481 Lake Champlain Basin Program

Lake Champlain Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Clean Water Act, Section 120, Public Law 107-303

OBJECTIVES:

The goal of this program is to implement the Lake Champlain Basin Management Plan, Opportunities for Action: An Evolving Plan for the Future of the Lake Champlain Basin 2017 (Opportunities for Action), and to assist the states of New York and Vermont in protecting, restoring and preserving the water quality and ecological health of the Lake Champlain ecosystem. Since 2010, the Lake Champlain Basin Program has provided more than \$13 million for more than 1,000 grants to reduce pollution in the lake. The program has used the funds to reach and educate the public, and to gather information about the lake. Funding Priorities - Fiscal Year 2021: Efforts in Lake Champlain will continue to support the successful interstate, interagency, and international partnership undertaking the implementation of the Lake Champlain Management Plan, Opportunities for Action, a plan designed to protect and where necessary restore the lake's water quality, sustain healthy ecosystems in the basin, support thriving communities and inform and involve the public in lake issues and activities. Financial awards under this program are made to the States of Vermont and New York, and the New England Interstate Water Pollution Control Commission to implement the Lake Champlain Management Plan. Congress directed EPA to allocate \$15,000,000 for the Lake Champlain program. From within the amount provided, \$6,000,000 shall be directed to support significant, impactful projects identified in the State of Vermont implementation plan that will make measurable progress towards meeting the phosphorus reduction targets of the Agency's 2016 Phosphorus Total Maximum Daily Load Plan for Lake Champlain. Funds appropriated above \$6,000,000 shall be allocated through the Lake Champlain Basin Program Process. Funding priorities include phosphorus reduction, cyanobacteria control, water quality monitoring to track progress and alert the public if unsafe conditions exist, aquatic invasive species prevention and control, habitat protection and restoration, and environmental education and outreach.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

As described in Section 120 of the CWA, assistance may be used to restore water quality and habitat associated with Lake Champlain and its watershed. EPA may provide support to the State of Vermont, the State of New York, and the New England Interstate Water Pollution Control Commission for the implementation of the Lake Champlain Basin Program. In accordance with Section 120(f), EPA may also provide grant awards in consultation with the Lake Champlain Basin Program to other state, interstate, and regional water pollution control agencies, and public and nonprofit agencies, institutions, and organizations to assist with research,

ASSISTANCE LISTINGS DESCRIPTIONS

surveys, studies, and modeling and technical and supporting work necessary for the development and implementation of the Lake Champlain Management Plan, Opportunities for Action. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; Intrastate; State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; In accordance with Section 120 of the Clean Water Act, EPA may provide funding to the states of Vermont and New York and the New England Interstate Water Pollution Control Commission for the implementation of the Lake Champlain Basin Program. In addition, EPA may choose to solicit applications from other state, interstate, and regional water pollution control agencies, and public or nonprofit agencies, institutions, and organizations that are eligible to receive grants from EPA through this program.

Beneficiary Eligibility:

Federal; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Assistance under this program generally benefits State environmental, health, and agriculture agencies; interstate water pollution control agencies; public nonprofit institutions and organizations; sponsored organizations; Federal agencies; local agencies; intrastate agencies; public and private nonprofit institutions and organizations; private organizations; small businesses; and quasi-public nonprofit institutions.

Credentials/Documentation:

Nonprofit private agencies, institutions, and organizations may be required to provide documentation of their organizational status upon application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Informal pre-application discussions or conferences with the Lake Champlain Basin Program Steering Committee, and EPA Regions 1 and 2 are required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Lake Champlain Basin Program Steering Committee, in conjunction with EPA Regions 1 and 2, develops the annual budget and task list. This budget and task list is then divided between the States of Vermont and New York and the New England Interstate Water Pollution Control Commission. From this information, these three organizations then prepare grant applications and workplans for submittal to EPA Region 2 and/or Region 1. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.grants.gov).

Award Procedure:

Each federal assistance application and final work plan will be evaluated by EPA Region 1 or Region 2 to determine the adequacy of the application under the established EPA grants program requirements and guidelines, including, but not limited to, the requirements set forth in 2 CFR 200 and 1500. Technical merit and the relevance of the project to the priorities and objectives established in Opportunities for Action will also be considered. Each application will also be

subject to an administrative review by the EPA Region 1 or 2 grants management office as appropriate to determine whether all administrative requirements have been met. The workplan is submitted to the EPA Region 1 and 2 Regional Administrators for approval prior to the award of any assistance agreement. Once a Regional Office approves the annual workplan, funds are awarded by the EPA Region 1 or 2 Regional Administrator as appropriate. Grantees will receive an award package from the appropriate EPA Regional grants management office that must be accepted and signed by an authorized official of the recipient organization and returned to the grants management office within 30 days. Awards may be made on an advance or reimbursement basis. Awards that are not signed and returned by the deadline may be subject to cancellation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notification of action on proposals varies depending on the timing of funds release to the EPA Regional Offices from Headquarters. Interval from submission to notification may vary from two months to eight months.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. No cost extensions and amendments may be available subject to the approval of EPA. Approval of subsequent funding is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. For all assistance (grants and cooperative agreements) agreements made under this program, federal costs shall not exceed 75 percent of the total cost of the agreement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a multi-year period at the discretion of EPA. Start dates for assistance agreements are variable and are established at the discretion of EPA. Generally however, it is intended that start dates closely follow award dates of the assistance agreement. Awards are fully funded, recipients draw down funding as cost are incurred, typically monthly. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the grant regulations at 2 CFR 200 and 1500, as applicable. Program reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain

ASSISTANCE LISTINGS DESCRIPTIONS

records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$13,390,000.00; FY 21 est \$15,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$600,000 to \$7,297,394/fiscal year; Average award: \$4,986,666/fiscal year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Since 1991, EPA's funding of the Lake Champlain Basin Program has led to the protection and restoration of the Lake Champlain basin through coordination with federal, provincial, state, and municipal agencies and local citizens. The main program activities focus on reducing phosphorus inputs to Lake Champlain; decreasing toxic contamination; minimizing the risks to humans from water-related health hazards; and controlling the introduction, spread, and impact of nonnative nuisance species. EPA works with the Lake Champlain Basin Program Steering Committee to determine funding priorities and distributes funds accordingly. In FY21, expected accomplishments include: reduction of phosphorus loading to Lake Champlain through implementation projects; reduction of phosphorus through otherwise unmet needs necessary to implement the Agency's 2016 Phosphorus Total Maximum Daily Load for Lake Champlain for projects and work identified in the State implementation plan; further removal of invasive water chestnuts; conducting water quality and biological monitoring programs; development and implementation of aquatic invasive species colonization prevention programs that are pro-active and targeted within the Lake Champlain Basin; lakeshore management to reduce shoreline erosion; agronomy support; and education and outreach programs. For more information on accomplishments under this assistance listing, please review the "EPA New England Success Stories", found at: <https://www.epa.gov/aboutepa/epa-region-1-new-england> (click the "Healthier Waterways" tab).

REGULATIONS, GUIDELINES, AND LITERATURE:

Lake Champlain program grants and cooperative agreements are subject to the general grant regulations at 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs). Additional information about this grant program is also available at: <https://www.lcbp.org/category/recent-publications/>.

Regional or Local Office:

None.

Headquarters Office:

Bryan Dore,
US EPA Region 1, 5 Post Office Square, Suite 100, Mail Code OEP 6-1,
Boston MA 02109

Email: dore.bryan@epa.gov

Phone: 617-918-1211

Fax: 617-918-0578 Mario Paula,

US EPA Region 2, 290 Broadway, 24th Floor,

New York NY 10007

Email: paula.mario@epa.gov

Phone: 212-637-3819

Fax: 212-637-3889

Website Address:

<https://www.lcbp.org/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of projects funded using FY21 appropriations include long-term water quality and biological monitoring for Lake Champlain; cyanobacteria

monitoring; water chestnut field survey and management; enhanced BMP grant program; aquatic invasive species response; boat launch steward program; forest phosphorus load allocation study; rural road general permit and BMP implementation program; Green school initiative to support stormwater compliance; lake assessments and actions plans; phosphorus removal from tile drains, providing technical agronomy support to New York farmers; and development of the Lake Champlain Aquatic Nuisance Species Information System.

CRITERIA FOR SELECTING PROPOSALS:

The Steering Committee recommends projects to EPA for funding based on the technical merit of the application and its relevance to the Lake Champlain Basin Management Plan. EPA approves applications after Steering Committee recommendation based on the technical merit of the application and its relevance to the Lake Champlain Basin Management Plan.

66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds

Clean Water State Revolving Fund Hurricane Sandy Supplemental Appropriation, FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 205(m) 601-607, Title Clean Water Act, Section 205(m) & 601(a), Title Clean Water Act: Title VI, Title Disaster Relief Appropriations Act, 2013, Public Law 113-2

OBJECTIVES:

Grants are made to New York and New Jersey to fund resiliency projects for facilities impacted by Hurricane Sandy through the State CWSRF Programs. Funding Priority - Fiscal Year 2021: The funding priority established by the Hurricane Sandy Supplemental Appropriation is to fund projects at wastewater facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Capitalization grants are made available to New York and New Jersey for the purpose of funding resiliency related projects for facilities that were impacted by Hurricane Sandy. The capitalization grant is awarded to the State's CWSRF, and is used to provide loans and other types of financial assistance to eligible publicly-owned treatment systems. A State may elect to use up to 4% for administration of the program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State; The States of New York and New Jersey.

Beneficiary Eligibility:

Anyone/general public; State; Local; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; The States of New York and New Jersey, and wastewater treatment facilities funding resiliency projects that were impacted by Hurricane Sandy.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but is not limited to, the 10 specifications set forth in Section 602(b) of the CWA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372,

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"Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA has determined that states meet their intergovernmental review responsibilities under 40 CFR Part 29 when they comply with the public notice requirements for Intended Use Plans prescribed in 40 CFR 35.3150. An applicant (State) should seek pre-application assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment on a plan identifying the intended uses of the funds in the SRF (Intended Use Plan, or IUP) and how those uses support the goals of the SRF. The IUP is to be submitted no later than the application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Additional information on the EPA grant package can be found at: <https://www.epa.gov/grants/how-apply-grants>. Establishment of the SRF is a prerequisite for a grant award. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approval time averages 45 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. A non-federal match of 20% of the federal capitalization grant is required. This program does not have a statutory formula, however, the supplemental appropriation left the development of an allocation formula to US EPA. New York is eligible for 59.7% of the appropriation and New Jersey is eligible for 40.3% of the appropriation. The allocation percentages were determined in accordance with the population of the counties of each state that were declared disaster areas by FEMA for Hurricane Sandy. Census data from the most recent census was used. 59.7% of all the total impacted population live in New York and 40.3% live in New Jersey. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The term of the grant shall be determined at the time of award. All funds must be liquidated within 24 months of the date of the grant award, subject to waiver by OMB. States must enter into binding commitments with loan recipients to provide financial assistance from the CWSRF in an amount equal to the sum of Federal assistance and the State match. Method of awarding/releasing assistance: other

Reports:

The State shall provide annual reports to the Regional Administrator in accordance with the schedule established in the grant agreement. The annual report shall describe how the State has met the goals and objectives for the preceding fiscal year of the overall program, including the supplemental appropriation for Hurricane Sandy, including identification of loan recipients, loan amounts, and loan terms. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved. As part of the annual review conducted by the Agency to access a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the CWA.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$191,136,855 to \$283,148,135/fiscal year; \$237,142,500/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funding from the two awards made in FY15 to the states of New York and New Jersey continues to support projects at wastewater facilities that will improve the resiliency of those facilities against future disasters. Examples of projects funded include outfall sewer replacement, modification of sewage treatment plants, restoration and flood mitigation, pump station improvements, and force main modifications. No additional awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35, Subpart K (State Water Pollution Control Revolving Funds). Program information, including contact information for state program managers, is available at: <https://www.epa.gov/cwsrf>.

Regional or Local Office:

See Regional Assistance Locations. Steve Vida, Section Chief, State Revolving Fund Program Section, EPA Region 2, 290 Broadway - 24th Floor, New York, NY 10007. Telephone:(212) 637-3862; Fax: (212) 637-3889; E-mail: vida.stephen@epa.gov.

Headquarters Office:

Kelly Tucker,
Environmental Protection Agency, State Revolving Fund Branch, Office of Water,
1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460

Email: Tucker.Kelly@epa.gov

Phone: 202-564-0608

Fax: 202-501-2238

Website Address:

<https://www.epa.gov/cwsrf>

RELATED PROGRAMS:

66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds;

EXAMPLES OF FUNDED PROJECTS:

2021 Funding from the two awards made in FY15 to the states of New York and New Jersey continues to support projects at wastewater facilities that will improve

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the resiliency of those facilities against future disasters. Examples of projects funded include outfall sewer replacement and modification of sewage treatment plant to provide resiliency and mitigate against flooding, pump stations to improve water quality, sewage treatment plant generator replacement, force main modifications, and sewage treatment plant replacement to improve water quality. No additional awards are anticipated.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements in the application procedures section.

66.483 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds

Drinking Water State Revolving Fund Hurricane Sandy Supplemental Appropriation.

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Disaster Relief Appropriations Act, 2013,, Public Law 113-2

OBJECTIVES:

Grants are made to New York and New Jersey to fund resiliency projects for facilities impacted by Hurricane Sandy through the State DWSRF Programs. Funding Priority - Fiscal Year 2021: The funding priority established by the Hurricane Sandy Supplemental Appropriation is to fund projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible. No additional awards are anticipated in FY 2021.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Capitalization grants were made available to New York and New Jersey for the purpose of funding resiliency related projects for facilities that were impacted by Hurricane Sandy. States award loans and other types of financial assistance to eligible public water systems for projects. Once invoices for these projects are submitted, the state can request reimbursement from the Federal Treasury up to the amount of their capitalization grant. A State may elect to use up to 31% of the capitalization grant for other eligible activities, including 4% for administration of the program. States may also elect to transfer funds between either of the CWSRF and DWSRF capitalization grants. Transfers are subject to the statutory limits of the DWSRF. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State; The States of New York and New Jersey.

Beneficiary Eligibility:

State; Local; Anyone/general public; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; The States of New York and New Jersey, and drinking water facilities funding resiliency projects that were impacted by Hurricane Sandy.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but is not limited to, the requirements set forth in Section 130 of the SDWA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for

more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Note that EPA has determined that states meet their intergovernmental review responsibilities under 40 CFR Part 29 when they comply with the public notice requirements for Intended Use Plans prescribed in 40 CFR 35.3555. An applicant (State) should seek pre-application assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment on a plan identifying the intended uses of the funds in the DWSRF (Intended Use Plan, or IUP) and how those uses support the goals of the DWSRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the DWSRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. Establishment of the DWSRF is a prerequisite for a grant award. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approval time averages 45 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the Region.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. A non-federal match of 20% of the federal capitalization grant is required. This program does not have a statutory formula. However, the supplemental appropriation left the development of an allocation formula to US EPA. New York is eligible for 59.7% of the appropriation and New Jersey is eligible for 40.3% of the appropriation. The allocation percentages were determined in accordance with the population of the counties of each state that were declared disaster areas by FEMA for Hurricane Sandy. Census data from the most recent census was used. 59.7% of all the total impacted population live in New York and 40.3% live in New Jersey. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The term of the grant shall be determined at the time of award. States must enter into binding commitments with loan recipients to provide financial assistance from the DWSRF in an amount equal to the sum of Federal assistance, less amounts used by the State for eligible set-aside purposes, and the State match. States are also required to agree to commit and expend all funds in the DWSRF as efficiently as possible, and in a timely manner. Method of awarding/releasing assistance: other

Reports:

The State shall provide biennial reports to the Regional Administrator in accordance with the schedule established in the grant agreement. The biennial report shall describe how the State has met the goals and objectives for the preceding two fiscal years of the overall program, including the supplemental appropriation for Hurricane Sandy, including identification of loan recipients, loan

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amounts, and loan terms. Cash reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. The audit of the fund to be prepared by the State or an independent auditor must be in accordance with the standards of the Government Accountability Office (known as the Generally Accepted Government Auditing Standards). To the extent that the set-asides are used for project purposes that must be repaid, or are directly related to the DWSRF (e.g., administration) or are revolving funds themselves, they must be part of an audited opinion(s). The audits must provide an auditor's opinion on the DWSRF financial statements, a report on internal controls and a report on compliance with laws and regulations. Those set-aside funds that are not loaned out may be audited in conjunction with audits conducted under the provisions of 2 CFR 200, Subpart F.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved. As part of the annual review conducted by the Agency to access a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the SDWA.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$38,189,000 to \$56,572,000/fiscal year; \$47,381,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021 funding will continue to support projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Only facilities that were impacted by Hurricane Sandy in the States of New York and New Jersey are eligible for funds. Funding continues to support projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Examples of projects to be funded in FY21 include, projects that provide resiliency through installation of standby emergency generators, projects that repair, maintain, or upgrade existing infrastructure, projects that replace undersized water mains, projects that raise wellhead elevations, and projects that install wireless radio communications for water facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35, Subpart L (Drinking Water State Revolving Funds). Additional program information, including contact information for state program managers, is available at <https://www.epa.gov/drinkingwatersrf/>.

Regional or Local Office:

See Regional Assistance Locations. Steve Vida, Section Chief, State Revolving Fund Program Section, EPA Region 2, 290 Broadway - 24th Floor, New York, NY 10007. Telephone:(212) 637-3862; Fax: (212) 637-3889; E-mail: vida.stephen@epa.gov.

Headquarters Office:

Tracey Miller,
Environmental Protection Agency, Office of Water, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: Miller.Tracey@epa.gov
Phone: 202-564-0783

Fax:

Website Address:

<https://www.epa.gov/dwsrf/>

RELATED PROGRAMS:

66.482 Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds;

EXAMPLES OF FUNDED PROJECTS:

2021 Two awards were made in FY 14 to the states of New York and New Jersey. No additional awards are anticipated. Funding continues to support projects at drinking water facilities that will improve the resiliency of those facilities against future disasters. Examples of projects anticipated to be funded in FY21 include, projects that provide resiliency through installation of standby emergency generators, projects that repair, maintain, or upgrade existing infrastructure, projects that replace undersized water mains, projects that raise wellhead elevations, and projects that install wireless radio communications for water facilities.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements in the application procedures section.

66.484 South Florida Geographic Initiatives Program

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 104(b)(3), Title EPA Annual Appropriations Act,

OBJECTIVES:

The Florida Keys National Marine Sanctuary (FKNMS) was created through Public Law 101-605, the Florida Keys National Marine Sanctuary and Protection Act of 1990. The Sanctuary includes 2,900 square nautical miles of nearshore waters and coral reefs extending from Biscayne Bay to the Dry Tortugas. Recognizing the critical role of water quality in maintaining Sanctuary resources, Congress directed the Environmental Protection Agency and the State of Florida, in cooperation with the National Oceanic and Atmospheric Administration (NOAA), to develop a Water Quality Protection Program (WQPP) for the Sanctuary. The Act directs the WQPP to develop corrective actions and compliance schedules to address point and nonpoint sources of pollution to maintain the chemical, physical and biological integrity of the Sanctuary. This includes restoration and maintenance of a balance, indigenous population of corals, shellfish, fish and wildlife, and recreational activities in and on the water. In addition to corrective actions, the 1990 Act requires public participation developing and implementing the program. In 1998, the U.S. Coral Reef Task Force (USCRTF) was established by Executive Order 13089 to lead U.S. efforts to restore and protect coral reef ecosystems. In October 2002, the USCRTF implemented a National Action Plan to conserve coral reefs. The Southeast Florida Coral Reef Initiative (SEFCRI) characterizes the existing condition of the coral reef ecosystem; quantifies and characterizes the land-based sources of pollution, identifies how sources of pollution impact the coral reef; develops strategies to reduce the impact of land-based sources of pollution; and increases public awareness and understanding of

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the effects of land-based sources of pollution on water quality and coral reefs. Funding Priorities - Fiscal Year 2021: 1) Florida Reef Tract Coral Health: Develop innovative techniques to treat or mitigate the effects of Stony Coral Tissue Loss Disease (SCTLD) impacting half of the reef building coral species on the Florida Reef Tract. 2) Harmful Algal Blooms in South Florida: The research and development of tools and technologies that help promote scientific progress towards preventing, mitigating and reducing HABs such as red tide, microcystis/cyanobacteria and sargassum impacting beaches, canals and nearshore waters in priority regions that include Florida Bay, Caloosahatchee Estuary, Biscayne Bay, Indian River Lagoon and the Florida Keys. 3) Water Quality and/or Seagrass Monitoring: Enhance water quality and/or seagrass monitoring networks in targeted areas such as the Caloosahatchee Estuary, Indian River Lagoon, Biscayne Bay or Florida Bay to quantify environmental impacts from land-based pollution; assess changes of ecological conditions and functions; evaluate effectiveness of management actions; determine causes of seagrass decline and evaluate health, species, and composition; evaluate impacts from Lake Okeechobee management; or develop early detection pollutants or harmful algal blooms. 4) Aquatic Habitat Restoration in South Florida: The design of habitat projects to reestablish, rehabilitate or enhance oysters, seagrass, or mangroves by building local capacity and engaging community volunteers to protect and restore these living resources within South Florida's ecosystem. 5) Reducing Trash in South Florida: Trash removal from rivers, estuaries, wetlands and beaches through clean-up events, trash prevention and education. 6) Analyses of Water Quality Data: Conduct alternative analyses or "mining" of the existing suite of water quality data and satellite imagery to determine if water quality improvements, hotspot area, or specific sources contributing to water quality degradation can be determined. 6) Sponge Restoration Techniques: Incorporate current knowledge of hardbottom sponge community filtration and recruitment dynamics in a study for improving water quality.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved cooperative agreements plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Government - General; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; and intertribal consortia. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For competitive awards, Requests for Applications (RFA) will specify application procedures. All Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards made under this assistance listing, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. A standard grant application should be prepared and submitted electronically as a new grant using Grants.gov, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds. Approval of no-cost extensions are determined after review of progress reports.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Proposed project/budget periods can range from one to three years. Method of awarding/releasing assistance: other

Reports:

Per 2 CFR 200.328, Monitoring and Reporting requirements, grantees will be required to submit bi-annual reporting on March 30th and September 30th until the grant expiration. The reports shall consist of updates on progress toward work objectives, justification, approach, results to date, any problems encountered, actions taken to resolve problems, discussion of remaining tasks, and expenditures to date. Expenditure reports are submitted as part of the Progress Reports. Recipients will be required to submit a draft and final report that summarizes the objectives, methods, approach, results, and significant of each project or study. The final report will be due within 90 days of the project period end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General,

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and other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$4,000,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

Fiscal Year 2021: This is a new assistance listing. The first competitive funding announcement/Request for Applications, was issued under this assistance listing was issued in June 2021. The total estimated funding under the competitive announcement is \$4,000,000. EPA anticipates awarding approximately 15-20 assistance agreements under this announcement ranging in value from approximately \$150,000 to a maximum of \$350,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Jennifer Shadle,
USEPA Region 4, Sam Nunn Atlanta Federal Center, 61 Forsyth Street, S.W.,
WD/PGB/GIS,
Atlanta GA 30303
Email: Shadle.Jennifer@epa.gov
Phone: 404-562-9436
Fax: Steven Blackburn,
USEPA Region 4, Sam Nunn Atlanta Federal Center, 61 Forsyth Street, S.W.,
WD/OPB/OEMS,
Atlanta GA 30303
Email: Blackburn.Steven@epa.gov
Phone: 404-562-9387
Fax:

Website Address:

<https://www.epa.gov/aboutepa/about-epa-region-4-southeast#r4-public-notice>

RELATED PROGRAMS:

66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program ; 66.126 The San Francisco Bay Water Quality Improvement Fund; 66.466 Chesapeake Bay Program; 66.481 Lake Champlain Basin Program; 66.130 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component ;

EXAMPLES OF FUNDED PROJECTS:

2021 Fiscal Year 2021: This is a new assistance listing. Examples of the types of eligible activities include: canal demonstration projects; stormwater demonstration projects; coral research; endocrine disruptor impacts to marine fauna and flora; identifying and understanding cause/effect relationships of pollutants; developing effective remediation techniques for aquatic resources; addressing specific management questions and concerns; predictive models and monitoring tools; and overall improvement of our understanding of South Florida ecosystems.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.508 Senior Environmental Employment Program

SEE,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Environmental Programs Assistance Act of 1984, , Public Law 98-313, 42 USC Code 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control to achieve the Agency's goals of Clean Air; Clean and Safe Water; Land Preservation and Restoration; Healthy Communities and Ecosystems; and Compliance and Environmental Stewardship. Funding Priorities - Fiscal Year 2021: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical assistance" may include any activity performed for the EPA in support of its projects ranging from inspections of underground storage tanks, to support for a Headquarters staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Annual funding priorities are not established at the SEE program level. Rather, Senior Resource Officials from the participating 65 program offices establish priorities requiring SEE program support.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control."

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

Federal; State; Local; Senior Citizen (60+); Federal, State, and local environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under 2 CFR 200 Subpart E. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

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2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of OMB 2 CFR 1500. This program is excluded from coverage under OMB 2 CFR 200. Applicants, except limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations, and to program objectives.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit quarterly finance progress reports in accordance with Agency policy and the Monitoring and Reporting Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit quarterly statistical reports in accordance with Agency policy and the Monitoring and Reporting Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. Expenditure information is reported on the grantee quarterly reports. Performance monitoring is required as a normal part of project officer post award monitoring.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-8145-0-1-304. 68-8153-0-1-304. 68-0108-0-1-304. 68-0107-0-1-304. 68-0103-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$35,622,148.00; FY 21 est \$50,000,000.00; FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

New awards and amendments: \$5,000 to \$2,363,004/FY 2020; Average \$195,726/FY 2020; Estimates of \$1,000 to \$750,000/FY 2021; Average \$60,000/FY 2021. Estimates of \$1,000 to \$750,000/FY 2022; Average \$60,000/FY 2022.

TAFS Codes:

68-0107. 68-0108. 68-8145. 68-8153. 68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: As of July 26, 2021, 122 cooperative agreements/amendments were awarded (including 105 new awards/amendments, 1 decrease amendments, and 16 no-cost amendments).

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 1500 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Federal Agency Regulations for Grants and Agreements)

Regional or Local Office:

None.

Headquarters Office:

Angela Hughes, Program Analyst, SEE Program, Environmental Protection Agency, Office of Mission Support, 1200 Pennsylvania Ave, N.W. (Mail Code: 6204M), Washington DC 20460
Email: Hughes.Angela@epa.gov
Phone: (202) 564-4390

Website Address:

<https://www.epa.gov/careers/senior-environmental-employment-see-program>

RELATED PROGRAMS:

66.518 State Senior Environmental Employment Program;

EXAMPLES OF FUNDED PROJECTS:

2021 The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical assistance" may include any activity performed for the EPA in support of its projects ranging from inspections of underground storage tanks, to support for a Headquarters staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Annual funding priorities are not established at the SEE program level. Rather, Senior Resource Officials from the participating 65 program offices establish priorities requiring SEE program support.

CRITERIA FOR SELECTING PROPOSALS:

Applications must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance).

66.509 Science To Achieve Results (STAR) Research Program

STAR Grant Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title National Environmental Policy Act, Section 102(2)(F), Title Safe Drinking Water Act, Section 1442, 42 USC Code 300j-1. Title Toxic Substances Control Act, Section 10, as amended by P.L. 106-74, 15 USC Code 2609. Title Federal Insecticide, Fungicide, and Rodenticide, Section 20(a), as amended by P.L. 106-74, 7 USC Code 136r. Title Clean Air Act, Section 103, 42 USC Code 7403. Title Clean Water Act, Section 104, 33 USC Code 1254. Title Solid Waste Disposal Act, Section 8001, 42 USC Code 6981. Title Marine Protection, Research, and Sanctuaries Act, Section 203, Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, 42 USC Code 9660.

OBJECTIVES:

The Science to Achieve Results (STAR) Program's goal is to stimulate and support scientific and engineering research that advances EPA's mission to protect human health and the environment. It is a competitive, peer-reviewed, extramural research program that provides access to the nation's best scientists and engineers in

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academic and other nonprofit research institutions. STAR funds research on the environmental and public health effects of air quality, environmental changes, water quality and quantity, hazardous waste, toxic substances, and pesticides. Funding Priority - Fiscal Year 2021: The STAR Program will fund the highest quality research in the following research areas: 1) Air quality research that will advance the science and provide the information critical to improving air quality. 2) Safe and sustainable water research that will support the development of innovative, cost-effective solutions to current, emerging, and long-term water resource challenges for complex chemical and biological contaminants. 3) Sustainable and healthy communities research that supports health, environmental engineering, and ecological research aimed at facilitating regulatory compliance and improving environmental and health outcomes. 4) Chemical safety research that will evaluate and predict impacts from chemical use and disposal, and provide states and tribes with information, tools, and methods to make better informed, more timely decisions about the thousands of chemicals in the United States. Please check the EPA website: <https://www.epa.gov/research-grants> for an updated listing of all solicitations.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures associated with the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Applicants must propose EPA mission relevant research based on excellent science as determined through peer review by experts drawn from the national and international scientific community. Other more specialized scientific areas may be solicited via joint RFAs with other Federal agencies. The STAR program may fund research centers that focus on long-term, multi-disciplinary research. Some solicitations issued under this assistance listing may allow for the submittal of Multiple Investigator applications. The applicability of Multiple Investigator applications will be described in the solicitations. For further information, please see the EPA Implementation Plan for Policy on Multiple Principal Investigators (<https://rbm.nih.gov/toolkit.htm>). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>). "Geospatial Information" includes: Information that identifies the geographic location and characteristics of natural or constructed features or boundaries on the Earth, or applications, tools, and hardware associated with the generation, maintenance, or distribution of such information. This information may be derived from, among other things, GPS, remote sensing, mapping, charting, and surveying technologies, or statistical data.

Applicant Eligibility:

U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Public and private nonprofit institutions/organizations, public and private institutions of higher education (IHEs), and hospitals located in the U.S. and its territories or possessions; state and local governments; Federally Recognized Indian Tribal Governments; and U.S. territories or possessions are eligible to apply. Profit-making firms and individuals are not eligible to apply. Non-profit organization, as defined by 2 CFR 200.1, means any corporation, trust, association, cooperative or other organization, not including IHEs, that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses net proceeds to maintain, improve, or expand the operations of the organization. Note that 2 CFR 200.1 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. While not considered to be a non-profit organization(s) as defined by 2 CFR 200.1, public or nonprofit Institutions of Higher Education are, nevertheless,

eligible to submit applications under this program. Hospitals operated by state, tribal, or local governments or that meet the definition of nonprofit at 2 CFR 200.1 are also eligible to apply as nonprofits or as instrumentalities of the unit of government depending on the applicable law. For-profit colleges, universities, trade schools, and hospitals are ineligible. Nonprofit organizations described in Section 501(c) (4) of the Internal Revenue Code that lobby are not eligible to apply. Foreign governments, international organizations, and non-governmental international organizations/institutions are not eligible to apply. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, FFRDCs) may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement. Federal employees may not receive salaries or augment their Agency's appropriations through awards made under this program unless authorized by law to receive such funding. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included. Certain competitions may allow for early career awards. The following requirements in addition to the requirements listed above apply to early career awards. The early career awards will support research performed by PIs with outstanding promise at the Assistant Professor or equivalent level. Principal investigators from applicant institutions applying for the early career portion of the RFA must meet the following additional eligibility requirements: 1. Hold a doctoral degree in a field related to the research being solicited by the closing date of the RFA; 2. Be untenured at the closing date of the RFA; and 3. By the award date, be employed in a tenure-track position (or tenure-track-equivalent position) as an assistant professor (or equivalent title) at an institution in the U.S., its territories, or possessions. Note: For a position to be considered a tenure-track-equivalent position, it must meet all of the following requirements: (1) the employing department or organization does not offer tenure; (2) the appointment is a continuing appointment; (3) the appointment has substantial educational responsibilities; and (4) the proposed project relates to the employee's career goals and job responsibilities as well as to the goals of the department/organization. Applicants will be asked to verify their early career status. See RFAs at: <https://www.epa.gov/research-grants> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Anyone/general public; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Public nonprofit institutions/organizations and private nonprofit institutions/organizations located in the U.S.; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary resources to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this

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listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately three to six months from the close of the solicitation.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally funded for a minimum of 3 years. Total approved project period may not exceed 5 years. Grants are generally fully funded or incrementally funded (on an annual basis), subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

A grant recipient must agree to provide annual progress reports, with associated summaries for posting on EPA's web site, and a final report with an executive summary for web posting. A grant recipient must agree to provide copies of any peer reviewed journal article(s) resulting from the research during the project period. The recipient also agrees to provide invention reports as appropriate. A discussion of expenditures is required in progress reports under this program. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$20,426,000.00; FY 21 est \$28,600,000.00; FY 22 est \$28,600,000.00;

Range and Average of Financial Assistance:

New awards range from \$396,000 to \$1,342,000 total per grant. Average awards total \$800,000.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Applications received for the STAR Program - FY 20: 145. New awards for the STAR Program - FY 20: 26. Abstracts of current grant awards, and annual interim and final summary results of the research are available on the homepage: <https://www.epa.gov/research-grants>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards) and 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 40 (EPA Research and Demonstration Grants).

Regional or Local Office:

See Regional Assistance Locations. Applicants are encouraged to communicate with the appropriate EPA Regional Office or the contact(s) listed in the solicitations. Solicitation information can be found at: <https://www.epa.gov/research-grants>.

Headquarters Office:

Eligibility Contact Ron Josephson, Eligibility Contact,
U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204R),
Washington DC 20460
Email: josephson.ron@epa.gov
Phone: 202-564-7823

Website Address:

<https://www.epa.gov/research-grants>

RELATED PROGRAMS:

66.511 Office of Research and Development Consolidated Research/Training/Fellowships;

EXAMPLES OF FUNDED PROJECTS:

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2021 Examples of the types of activities funded under this assistance listing include: 1) Assessing the impacts of exposure to contaminated groundwater on birth outcomes. Researchers will synthesize soil, hydrological, meteorological and built infrastructure data alongside a statewide database of private well testing to predict contamination events using econometric and machine learning techniques. 2) Developing an innovative integrative approach, which fuses analytical, computational, observational, and statistical methods, to estimate dust/soil ingestion rates of children aged 6 months through 6 years with demographic and environmental variabilities. This project will provide exposure scientists with a hybrid approach to estimate the dust/soil ingestion rate in a defensible and accurate manner and will provide health and risk assessors tabulated, stratified estimates of dust/soil ingestion rates for subgroups of children of interest and a holistic computational tool for population- or site-specific assessments. 3) Implementing an innovative methodology based on non-targeted screening approach to identify specific tracers of dust and soil exposure. Researchers will combine this with relevant information on children's behavior and other characteristics to provide a more accurate estimate of soil and dust ingestion rates. 4) Applying new technologies in both dust and urine analysis to determine child-specific dust ingestion rates, under an experimental design that includes multiple geographic and socioeconomic status (SES) groups. 5) Evaluating biocontainment of Genetically Engineered Microbes (GEMs) in environmental systems and generate a novel GEM kill switch relevant to bioremediation. This project will develop fundamental scientific screening and monitoring techniques to better understand, assess and mitigate environmental risks while advancing research on the reliability of such techniques, and improvements on monitoring of GEMs, particularly for broadly used bioremediation applications.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement. See the web page (<https://www.epa.gov/research-grants>) for additional information on the evaluative criteria for the Request for Applications (RFAs.)

66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103, 42 USC Code 7403. Title Safe Drinking Water Act, Section 1442, 42 USC Code 300j-1. Title Toxic Substances Control Act, Section 10, as amended by P.L. 106-74, 15 USC Code 2609. Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended by P.L. 106-74, 7 USC Code 136r. Title Clean Water Act, Section 104, 33 USC Code 1254. Title Solid Waste Disposal Act, Section 8001, 42 USC Code 6981. Title National Environmental Policy Act of 1969, Section 102(2)(F), 42 USC Code 4332. Title Comprehensive Environmental Response, Compensation, and Liability Act, Section Section 311(c), Title Marine Protection, Research, and Sanctuaries Act, Section 203, 16 USC Code 1431.

OBJECTIVES:

The Office of Research and Development (ORD) supports surveys, studies, and investigations to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides. This program also funds program participant support (e.g., fellowships) associated with these areas and supports projects that address a stated problem or opportunity relating to science and public health that inform decision-making at the local, state and industrial levels. The program also provides support for conferences relating to these topic areas. Funding Priority - Fiscal Year 2021: Priorities include supporting surveys, studies and investigations relating to air quality, improving the science behind risk assessment, water quality, toxic substances, pesticides, drinking water, and improving human health and the environment. This funding priority also provides support for conferences relating to the areas above.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. This program is available to each U.S. State, territory and possession, Federally Recognized Indian Tribal Governments of the U.S., and the District of Columbia; public and private universities and colleges, public and private nonprofit institutions, hospitals, and laboratories located in the U.S. and its territories or possessions; State and local government departments; and foreign entities. Profit-making firms and individuals are not eligible to receive assistance agreements from the EPA under this program. Non-profit organization, as defined by 2 CFR 200.1, means any corporation, trust, association, cooperative or other organization, not including institutions of higher education (IHEs), that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses net proceeds to maintain, improve, or expand the operations of the organization. Note that 2 CFR 200.1 specifically excludes Institutions of Higher Education from the definition of nonprofit organization because they are separately defined in the regulation. While not considered to be a non-profit organization(s) as defined by 2 CFR 200.1, public or nonprofit Institutions of Higher Education are, nevertheless, eligible to submit applications under this program. Hospitals operated by state, tribal, or local governments or that meet the definition of nonprofit at 2 CFR 200.1 are also eligible to apply as nonprofits or as instrumentalities of the unit of government depending on the applicable law. For-profit colleges, universities, trade schools, and hospitals are ineligible. Nonprofit organizations described in Section 501(c) (4) of the Internal Revenue Code that lobby are not eligible to apply. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement. Federal employees may not receive salaries or augment their Agencies appropriations through awards made under this program unless authorized by law to receive such funding. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Private nonprofit

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institution/organization; Anyone/general public; Graduate Student; Scientist/Researchers; Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; anyone/general public; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; foreign entities; and individuals.

Credentials/Documentation:

The Agency may request that applicants demonstrate they have appropriate background, academic training experience in the field, and the necessary equipment to carry out the research. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. EPA may ask principal investigators for information documenting past performance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the receipt of the application. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by reviewers, a revised budget, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 120-180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally funded for a minimum of 3 years. Total approved project period may not exceed 5 years. Grants are generally fully funded or incrementally funded (on an annual basis), subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

A grant recipient must agree to provide progress reports and a final report. The recipient also agrees to provide invention reports as appropriate. A discussion of expenditures is required in progress reports under this program. Performance monitoring is required. Requirements and frequency are included in the terms and conditions of the assistance agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$165,000.00; FY 21 est \$216,000.00; FY 22 est \$216,000.00;

Range and Average of Financial Assistance:

New awards range from \$20,000 to \$4,700,000 total per award. Average awards total \$1,000,000.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Applications received- FY 20: Five (5). New awards- FY 20: Four (4). For additional accomplishments under this assistance listing, please visit: <https://www.epa.gov/research-grants>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 40 (EPA Research and Demonstration Grants).

Regional or Local Office:

None. For administrative questions, individuals are encouraged to communicate with the Agency Contact listed in the competitive announcement.

Headquarters Office:

Ron Josephson, Eligibility Contact,
U.S. Environmental Protection Agency, Office of Research and Development,
1200 Pennsylvania Ave. N.W. (Mail Code: 3204R),

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Washington DC 20460
Email: josephson.ron@epa.gov
Phone: 202-564-7823

Website Address:

<https://www.epa.gov/research-grants>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program; 66.511 Office of Research and Development Consolidated Research/Training/Fellowships;

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of activities funded under this assistance listing include: 1) Support to address projects addressing environmental health problems faced by state and territorial health agencies. Topic areas may include: addressing and preventing air pollution and the health effects of air pollution, including vulnerable populations such as children; addressing and preventing pollution of water resources; addressing and preventing contamination of drinking water sources and supplies and the health effects stemming from said contamination; and addressing and preventing human and environmental exposure to chemical contaminants. 2) Support for a conference on assessing carcinogenicity, hazard information, classification and risk assessment. The conference provided a forum where scientists, managers, and other professionals exchanged information and ideas on the long-standing testing methods and risk assessment approaches with regards to carcinogenicity.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.511 Office of Research and Development Consolidated Research/Training/Fellowships

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103, 42 USC Code 7403. Title Clean Water Act, Section 104, 33 USC Code 1254. Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended by P.L. 106-74, 7 USC Code 136r. Title Marine Protection Research Sanctuaries, Section 203, Title National Environmental Policy Act of 1969, Section 102(2)(F), Title Safe Drinking Water Act, Section 1442, 42 USC Code 300j-1. Title Solid Waste Disposal Act, Section 8001, 42 USC Code 6981. Title Toxic Substances Control Act, Section 10, as amended by P.L. 106-74, 15 USC Code 2609. Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, 42 USC Code 9660.

OBJECTIVES:

The Office of Research and Developments (ORD) Consolidated Research/Training/Fellowships program supports research and development to: (1) determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; (3) perform risk assessments to characterize the potential adverse health effects of human exposures to environmental hazards; and (4) facilitate training and program participant support in these areas. Awards made under this program further EPA's priorities supporting robust science for air quality, safe and sustainable water resources, sustainable and healthy communities, chemical safety, and human health risk assessment. Funding Priority - Fiscal Year 2021: ORD's research supports EPA's strategic goals. Brief descriptions of this research are as follows: 1) Air quality research assesses human and ecosystem exposures and effects associated with air pollutants; 2) Safe and sustainable water resources research provides the resource management tools that allow decision makers to systematically consider complex tradeoffs occurring in a watershed on a regional or national scale, including development of innovative, cost-effective solutions to current, emerging, and long-term water resource challenges for complex chemical and biological contaminants; 3) Sustainable and healthy communities research supports the Agency's effort to protect and restore the land, and support community health; 4) Chemical safety research underpins the

analysis of risks and potential health impacts across the broad spectrum of EPA programs and provides the scientific foundation for pesticide and toxic substances programs, pollution prevention, human health risk assessment, and homeland security; and 5) Human health risk assessment research focuses on the science of assessments that inform Agency, state, and tribal decisions and policies. These risk assessments provide the research and technical support needed to ensure safety of chemicals in the marketplace, revitalize and return land to communities, provide clean and safe water, and work with states and tribes to improve air quality. National priorities research focusing on water quality and availability.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Funds may be available to support research activities including but not limited to experiments and studies where authorized by specific statutes, in both science and engineering disciplines. Funds may also be used for training. Some solicitations issued under this assistance listing may allow for the submittal of Multiple Investigator applications. The applicability of Multiple Investigator applications will be described in the solicitations. For further information, please see the EPA Implementation Plan for Policy on Multiple Principal Investigators (https://www.epa.gov/sites/production/files/2015-05/documents/multiple_pi_implementation_plan_final.pdf). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The EPA has been using this assistance listing for the National Priorities Research competition under which applicant eligibility has been limited to public and private nonprofit institutions and public and private universities and colleges located in the United States, consistent with congressional intent. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. This program is available to each U.S. State, territory and possession, Federally Recognized Indian Tribal Governments of the U.S., and the District of Columbia; public and private universities and colleges, public and private nonprofit institutions, hospitals, and laboratories located in the U.S. and its territories or possessions; State and local government departments; and foreign entities. Profit-making firms and individuals are not eligible to receive assistance agreements from the EPA under this program. Non-profit organization, as defined by 2 CFR 200.1, means any corporation, trust, association, cooperative or other organization, not including institutions of higher education (IHEs), that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses net proceeds to maintain, improve, or expand the operations of the organization. Note that 2 CFR 200.1 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. While not considered to be a non-profit organization(s) as defined by 2 CFR 200.1, public or nonprofit Institutions of Higher Education are, nevertheless, eligible to submit applications under this program. Hospitals operated by state, tribal, or local governments or that meet the definition of nonprofit at 2 CFR 200.1 are also eligible to apply as nonprofits or as instrumentalities of the unit of government depending on the applicable law. For-profit colleges, universities, trade schools, and hospitals are ineligible. Nonprofit organizations described in Section 501(c) (4) of the Internal Revenue Code that lobby are not eligible to apply. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in

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planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement. Federal employees may not receive salaries or augment their Agencies appropriations through awards made under this program unless authorized by law to receive such funding. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included. Certain competitions may allow for early career awards. The following requirements in addition to the requirements listed above apply to early career awards. The early career awards will support research performed by PIs with outstanding promise at the Assistant Professor or equivalent level. Principal investigators from applicant institutions applying for the early career portion of the RFA must meet the following additional eligibility requirements: 1. Hold a doctoral degree in a field related to the research being solicited by the closing date of the RFA; 2. Be untenured at the closing date of the RFA; and 3. By the award date, be employed in a tenure-track position (or tenure-track-equivalent position) as an assistant professor (or equivalent title) at an institution in the U.S., its territories, or possessions. Note: For a position to be considered a tenure-track-equivalent position, it must meet all of the following requirements: (1) the employing department or organization does not offer tenure; (2) the appointment is a continuing appointment; (3) the appointment has substantial educational responsibilities; and (4) the proposed project relates to the employee's career goals and job responsibilities as well as to the goals of the department/organization. Applicants will be asked to verify their early career status. See RFAs at: <https://www.epa.gov/research-grants> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Student/Trainee; Graduate Student; Scientist/Researchers; Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; and foreign entities.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has

been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 120-180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally funded for a minimum of 3 years. Total approved project period may not exceed 5 years. Grants are generally fully funded or incrementally funded (on an annual basis), subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

A grant recipient must agree to provide progress reports and a final report. A grant recipient must agree to provide copies of any peer reviewed journal article(s) resulting from the research period. The recipient also agrees to provide invention reports as appropriate. A discussion of expenditures is required in progress reports under this program. Performance Monitoring is required under this program. Requirements and frequency will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General,

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other EPA staff, or any authorized representative of the Federal government.
Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304. 68-0108-0-1-304. 68-8145-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$14,821,000.00; FY 21 est \$26,777,000.00; FY 22 est \$26,777,000.00;

Range and Average of Financial Assistance:

New awards range from \$402,960 to \$25,000,000 total per award. Average awards total \$1,000,000.

TAFS Codes:

68-0107. 68-0108. 68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Applications received- FY 20: 47. New awards- FY 20: Seven (7). For more information on accomplishments under this assistance listing, please visit: <https://www.epa.gov/research-grants>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 40 (EPA Research and Demonstration Grants); 40 CFR Part 45 (EPA Training Assistance).

Regional or Local Office:

See Regional Assistance Locations. For administrative information, Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in the competitive announcement.

Headquarters Office:

Ron Josephson, Eligibility Contact,
U.S. Environmental Protection Agency, Office of Research and Development,
1200 Pennsylvania Ave., N.W. (Mail Code: 3204R),
Washington DC 20460
Email: josephson.ron@epa.gov
Phone: 202-564-7823

Website Address:

<https://www.epa.gov/research-grants>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of activities funded under this assistance listing include conducting laboratory and field measurements of fuels and emissions during biomass fire testing to determine the effect of fuel type, fuel characteristics, and ignition methods on pollutant emission factors. Multi-laboratory and -field tests examined fuel structure, fuel characteristics, and ignition patterns on emissions, providing input for advanced fire models that incorporate flame dynamics to predict emissions.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.514 Science To Achieve Results (STAR) Fellowship Program

STAR Fellowship Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title National Environmental Policy Act of 1969, Section 102(2)(F), Title Clean Air Act, Part 103, , 42 USC Code 7403. Title Clean Water Act, Part 104, , 33 USC Code 1254. Title Solid Waste Disposal Act, Section 8001, 42 USC Code 6981. Title Safe Drinking Water Act, Section 1442, 42 USC Code 300j-1. Title Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 20(a), as amended, PL 106-74, 7 USC Code 136r. Title Toxic Substances Control Act, as amended by PL 106-74, Section 10, 15 USC Code 2609.

OBJECTIVES:

The Office of Research and Development (ORD), as part of its Science to Achieve Results (STAR) program, offers graduate fellowships for master's and doctoral level students in environmentally related fields of study. The purpose of the fellowship program is to encourage promising students to obtain advanced degrees and pursue careers in environmentally related fields. This goal is consistent with the mission of EPA, which is to provide leadership in the nation's environmental science, research, education, assessment, restoration, and preservation efforts. This program will benefit both the public and private sectors which will need a steady stream of well-trained environmental specialists if society is to meet the environmental challenges of the future. These fellowships are intended to help defray costs associated with advanced environmentally-oriented study leading to a master's or doctoral degree. Funding Priority - Fiscal Year 2021: EPA did not include funding for this activity in the FY 2021 budget request.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are to provide resources to allow for fellowships related to environmental issues. The EPA is looking to fund students at the graduate level who are committed to meeting the challenges of today and the next generation by pursuing a career related to protecting human health and the environment. Applications may come from students in traditional and interdisciplinary academic disciplines; from students studying in emerging fields that will require increased human capacity such as in the social and exposure sciences; from students utilizing multidisciplinary approaches and/or addressing multiple media; and, in areas which focus on environmental justice, environmental education, and/or building decision making capacity. Assistance agreement awards under this program may involve or relate to geospatial information. Geospatial Information includes: Information that identifies the geographic location and characteristics of natural or constructed features or boundaries on the earth, or applications, tools, and hardware associated with the generation, maintenance, or distribution of such information. This information may be derived from, among other things, GPS, remote sensing, mapping, charting, and surveying technologies, or statistical data. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Individual/Family; For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants must attend a fully accredited U.S. college or university (located in the U.S. or its territories) for their graduate studies. Individuals must be citizens of the U.S. or its territories or possessions, or be lawfully admitted to the U.S. for permanent residence. Resident aliens must have their green card at the time of application to be eligible for this fellowship opportunity. Do not provide the green card number with your application; however, you may be asked to provide it at a later time to verify eligibility with the U.S. Citizenship and Immigration Service of the Department of Homeland Security. Applicants do not need to be enrolled in or formally accepted into a full time graduate program at the time they apply for a fellowship, but proof of enrollment or acceptance must be produced prior to the award of the fellowship. To be eligible for this fellowship, applicants must be pursuing a master's or doctoral degree in an environmentally-related topic area at a fully accredited U.S. college or university (based in the U.S. or its territories). Applicants who are in a graduate program at the time of application and meet either of the following two criteria as of the solicitation closing date ARE NOT eligible to receive a fellowship: 1) have completed more than one year in their current master's program; or 2) have

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completed more than four years in their current doctoral program. However, applicants enrolled in a master's program who intend to pursue a doctoral degree beginning in the fall may apply for a doctoral fellowship. Applicants who currently have another federal fellowship are not eligible. However, acceptance of a fellowship under this announcement does not necessarily preclude acceptance of another private, state, regional, local, or non-profit scholarship, fellowship, traineeship, research assistantship, teaching assistantship, or grant aid. Employees of the U.S. government may apply, but must be able to prove separation from Federal service before accepting this fellowship. Applications must be submitted by the student. Applications submitted by the institution on behalf of the student will be rejected without review. EPA recognizes that scientific, technical, engineering and mathematical (STEM) competence is essential to the Nation's future well-being in terms of national security and competitive economic advantage. For instance, the health and vitality of the economy is predicated, in part, on the availability of an adequate supply of scientists, technicians, engineers and mathematicians, to develop innovative technologies and solutions. In other words, this country must engage all available minds to address the challenges it faces. Minorities, women, and persons with disabilities historically have been under-represented in the STEM fields. For this reason, EPA strongly encourages women, minorities, persons with disabilities and MAI applicants as described in Section I.A. of the solicitation. Visit: <https://www.epa.gov/research-grants/research-funding-opportunities-how-apply-and-required-forms>.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Student/Trainee; Graduate Student; Individual/Family; Student/Trainee and Graduate Student.

Credentials/Documentation:

EPA may request that fellows document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out the fellowship. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement. ORD invites applications for graduate fellowships in academic disciplines relating to environmental research including engineering, public health, and ecological sciences. An application provides the information needed for the review of its merit.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.epa.gov/research-grants/research-funding-opportunities-how-apply-and-required-forms). For additional information, please visit:

<https://www.epa.gov/research-grants/research-funding-opportunities-how-apply-and-required-forms>. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.Grants.gov).

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately three to six months from the close of the solicitation.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of

recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of a graduate fellowship is negotiated with students and customarily covers a period of 9 to 12 months for each fellowship year. Students seeking a master's degree may be supported for a maximum of two years. Students seeking doctoral degrees may receive support for a maximum of three years, available over a period of four years. If selected to receive a fellowship, the fellow will be contacted no later than 3-6 months after the close of the solicitation and should subsequently receive official notification of award by no later than 3-6 months after the close of the solicitation for the fall term. Please note that this schedule may be changed without notification due to factors that were not anticipated at the time of announcement. The official notification of an award will be made by the EPA's Grants and Interagency Agreement Management Division. Method of awarding/releasing assistance: other

Reports:

Copies of these procedures may also be found at:

<https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. EPA includes reporting requirements for fellowships in the terms and conditions of the agreements. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 46 are applicable. All records related to a fellowship agreement must be maintained for three years after the completion date inserted on the "Completion of Studies Notice" (EPA Form 5770-9). Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No information is available.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No applications were received and no new awards were made during FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 46 (EPA Fellowships).

Regional or Local Office:

None.

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Headquarters Office:

Eligibility Contact Ron Josephson, Eligibility Contact,
U.S. Environmental Protection Agency, Office of Research and Development,
1200 Pennsylvania Avenue, N.W. (Mail Code: 3204R),
Washington DC 20460
Email: josephson.ron@epa.gov
Phone: 202-564-7823
Fax:

Website Address:

<https://www.epa.gov/research-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 No new projects were funded during FY 20201.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement. See the Web page (<https://www.epa.gov/research-grants>) for additional information on the evaluative criteria for this RFA.

66.516 P3 Award: National Student Design Competition for Sustainability

P3 Grant Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1442, 42 USC Code 300j-1. Title Clean Water Act, Section 104, 33 USC Code 1254. Title Solid Waste Disposal Act, Section 8001, 42 USC Code 6981. Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended by P.L. 106-74, 7 USC Code 136r. Title Toxic Substances Control Act, Section 10, as amended by P.L. 106-74, 15 USC Code 2609. Title Clean Air Act, Section 103, 42 USC Code 7403. National Environmental Policy Act of 1969, Section 102(2)(F), Title Marine Protection, Research, and Sanctuaries Act, Section 203, Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, 42 USC Code 9660.

OBJECTIVES:

The P3 program supports research, development, and design of innovative projects that address real world challenges involving environmental protection and public health. The P3 competition highlights the use of scientific principles in creating innovative technology-based projects that achieve the mutual goals of improved quality of life, economic prosperity, and protection of the planet people, prosperity, and the planet. The P3 Program encourages a shift towards more environmentally benign products, processes, and systems. Funding Priority - Fiscal Year 2021: The U.S. Environmental Protection Agency (EPA), under the auspices of the Office of Research and Development (ORD), invites submissions to the P3 Awards: A National Student Design Competition Focusing on People, Prosperity and the Planet. The P3 Program supports science-based projects and designs developed by interdisciplinary student teams at colleges and universities. These projects must embody the P3 approach, which is that they have the intention and capability to simultaneously improve the quality of peoples lives, provide economic benefits, and protect the environment. The P3 Award Program is composed of two phases that award grants on a competitive basis. The first phase is a competition for one-year grants to test, research, and develop innovative scientific projects or engineering designs that will use the P3 approach. Recipients of Phase I grant awards will have the opportunity to compete for Phase II grant awards. The Phase II grant awards are to support the demonstration and further development of the projects/designs created in the first phase of the program. The competitors for the P3 Phase II grants will be limited to those selected to receive support as a result of the Phase I competition. Challenges from a wide range of categories will be considered. These include: Air Quality; Safe and Sustainable Water Resources;

Sustainable and Healthy Communities; and Chemical Safety.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The P3 program requests applications for innovative research projects/designs from eligible institutions. The P3 Program supports EPA priorities by funding multi-disciplinary, faculty-led student teams that use the best available scientific knowledge and research results to address environmental problems identified by EPAs program offices, states and tribal partners as being important, including small, rural, tribal, and disadvantaged communities. As a result, the P3 Program provides tested innovative solutions that stakeholders can use to help solve these problems. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Public and private institutions of higher education (limited to degree-granting institutions of higher education) located in the U.S. (includes eligible institutions of higher education located in U.S. territories and possessions) are eligible to apply to be the recipient of a grant to support teams of undergraduate and/or graduate students. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program. The students on the teams supported by the institution receiving the grant must be enrolled in the college, university, or post-secondary educational institution they will be representing at the time the application is submitted. Institutions are allowed to submit more than one application where each application represents a unique design concept and student team. For the purposes of grant administration, the team's faculty advisor will be designated the Principal Investigator throughout the P3 grant award and competition process. Non-profit organization, as defined by 2 CFR 200.1, means any corporation, trust, association, cooperative or other organization, not including institutions of higher education (IHEs), that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses net proceeds to maintain, improve, or expand the operations of the organization. Note that 2 CFR 200.1 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. While not considered to be a non-profit organization(s) as defined by 2 CFR 200.1, public or nonprofit Institutions of Higher Education are, nevertheless, eligible to submit applications under this program. State, local and federally-recognized Indian tribal governments are not eligible to submit applications under this program. Under this competition, eligible nonprofit organizations are limited to research institutes and foundations that are part of or affiliated with a U.S. institution of higher education. For-profit colleges, universities, trade schools, and hospitals are ineligible. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to apply. Foreign governments, international organizations, and non-governmental international organizations/institutions are not eligible to apply. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement. Federal employees may not receive salaries or augment their Agency's appropriations through awards made under this program unless authorized by law to receive such funding. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique

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supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Public nonprofit institution/organization; Public Nonprofit Institutions/Organizations, Private Nonprofit Institutions/Organizations, Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

EPA may request that students document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary resources to carry out the research. EPA may ask principal investigators for information documenting past performance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and may be requested to submit an electronic version of the project abstract, provide responses to comments or suggestions offered by the peer reviewers, and provide a revised budget. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately three to six months from the close of the solicitation.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

P3 Awards will be fully funded. Project period for Phase I will normally not exceed 1 year. Project period for Phase II will normally not exceed 2 years. Method of awarding/releasing assistance: other

Reports:

Phase I Project Report and invention reports as appropriate. Phase II awards require annual progress reports and a final report. A discussion of expenditures is required in progress reports under this program. Reporting requirements and frequency shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$694,000.00; FY 21 est \$813,000.00; FY 22 est \$813,000.00;

Range and Average of Financial Assistance:

Phase I Awards range from \$18,705 to \$25,000 total per grant. Average awards total \$24,500. Phase II Awards range from \$69,879 to \$75,000 total per grant. Average awards total \$74,500.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Applications received for the P3 Phase I Award: FY 20: 70. New awards for the P3 Phase I Award: FY 20: 4. Applications received for the P3 Phase II Award: FY 20: 0. New awards for the P3 Phase II Award: FY 20: 8. Abstracts of grant awards, and final summary results of the research will be available on the EPA/ORD P3 homepage: <https://www.epa.gov/P3>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 40 (EPA Research and Demonstration Grants).

Regional or Local Office:

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None. Applicants are encouraged to communicate with the contact listed in the solicitation. The solicitation may be found at: <https://www.epa.gov/P3>.

Headquarters Office:

Eligibility Contact Ron Josephson, Eligibility Contact,
U.S. Environmental Protection Agency, Office of Research and
Development/NCER, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204R),
Washington DC 20460
Email: josephson.ron@epa.gov
Phone: 202-564-7823

Fax:

Website Address:

<https://www.epa.gov/P3>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of the types of activities funded under this assistance listing include: 1) A project using a sonication reactor to efficiently remediate per- and polyfluoroalkyl substances (PFAS)-contaminated sites through sonic degradation to reduce human and animal exposure to PFASs. Specifically, the research team designed a novel ultrasound delivery method to generate acoustic cavitation which combined a low frequency probe transducer and a high frequency submersible transducer to create an intense ultrasonic field that stirs up solids in solution and induce cavitation. 2) Developing and demonstrating a self-sustaining selective nutrient capture and recovery unit, capable of both removal and recovery of nitrogen and phosphorus at wastewater treatment plants to reduce the occurrence of harmful algal blooms (HABs). 3) Developing a reliable and cost-effective volatile organic compound (VOC) sensor suite for ambient and residential use with rough speciation, which will enable human exposure estimation. The developed sensor suites will be deployed indoors and outdoors to evaluate their performance. 4) Producing a biochar adsorbent with sustainable materials and incorporating the biochar into an innovative technology for mitigation of surface runoff pollution. This project will address surface runoff of aqueous metals and other contaminants by implementing a sustainable biochar-wattle design. 5) Determining the occurrence and genotype diversity of *Naegleria fowleri* (Nf), also known as the brain-eating amoeba, in public water systems and biofilms using droplet digital Polymerase Chain Reaction (PCR) and multi-locus genotyping; and to identify the source of food for Nf in these systems using Illumina sequencing.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing description will be described in the competitive announcement.

66.517 Regional Applied Research Efforts (RARE)

RARE Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Solid Waste Disposal Act, Section 8001, 42 USC Code 6981. Title Clean Water Act, Section 104, 33 USC Code 1254. Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended by P.L. 106-74, 7 USC Code 136r. Title Toxic Substances Control Act, Section 10, as amended by P.L. 106-74, 15 USC Code 2609. Title Marine Protection, Research, and Sanctuaries Act, Section 203, 16 USC Code 1431. Title Clean Air Act, Section 103, 42 USC Code 7403. Title Safe Drinking Water Act, Section 1442, 42 USC Code 300j-1. Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, 42 USC Code 9660. National Environmental Policy Act of 1969, Section 102(2)(F)

OBJECTIVES:

The RARE program provides the EPA Regional offices with a mechanism to enter into innovative research partnerships to support near term research. RARE projects address a wide array of environmental science issues critical to the Office of Research and Developments regional partner communities and address regional and national research priorities. Funding Priority - Fiscal Year 2021: Priorities

include but are not limited to conducting high priority air pollutant research, ecological risk assessment, water quality research, watershed and drinking water research, research to improve human health risk assessment, pollution prevention and new technologies, and social science research. Support research to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; and identify, develop, and demonstrate effective pollution control techniques. Projects are managed by the Office of Research and Development in collaboration with EPA regional offices.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public and private institutions of higher education, public and private nonprofit institutions/organizations, hospitals, and laboratories located in the U.S. and its territories or possessions; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; and foreign entities are eligible. Profit-making firms are not eligible to apply. Non-profit organization, as defined by 2 CFR 200.1, means any corporation, trust, association, cooperative or other organization, not including institutions of higher education (IHEs), that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses net proceeds to maintain, improve, or expand the operations of the organization. Note that 2 CFR 200.1 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. While not considered to be a non-profit organization(s) as defined by 2 CFR 200.1, public or nonprofit Institutions of Higher Education are, nevertheless, eligible to submit applications under this program. Hospitals operated by state, tribal, or local governments or that meet the definition of nonprofit at 2 CFR 200.1 are also eligible to apply as nonprofits or as instrumentalities of the unit of government depending on the applicable law. For-profit colleges, universities, trade schools, and hospitals are ineligible. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to apply. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement. Federal employees may not receive salaries or augment their Agencies appropriations through awards made under this program unless authorized by law to receive such funding. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be

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included.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization; State, Local, Public nonprofit institution/organization, Federally Recognized Indian Tribal Governments, Private nonprofit institution/organization.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary resources to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. After being recommended for award, applicants will be required to submit additional certifications and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis (annual). Total approved project period typically does not exceed 2 years. Method of awarding/releasing assistance: other

Reports:

A grant recipient must agree to provide progress reports and a final report. A grant recipient must agree to provide copies of any peer reviewed journal article(s)

resulting from the research during the project period. The recipient also agrees to provide invention reports as appropriate. A discussion of expenditures is required in progress reports under this program. Requirements and frequency of reporting will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,000.00; FY 21 est \$50,000.00; FY 22 est \$50,000.00;

Range and Average of Financial Assistance:

Awards total \$60,000. Awards shall not exceed \$60,000 per Year/per EPA Region.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 40 (EPA Research and Demonstration Grants).

Regional or Local Office:

None. For administrative questions on grant applications and procedures, contact the Headquarters Office Contact.

Headquarters Office:

Valerie Blank,
U.S. Environmental Protection Agency, Office of Research and Development,
1200 Pennsylvania Avenue, N.W. (Mail Code: 3204R),
Washington DC 20460

Email: Blank.Valerie@epa.gov

Phone: 202-564-1720

Fax: 202-565-2916

Website Address:

<https://www.epa.gov/aboutepa/about-office-science-advisor-policy-and-engagement-osape>

RELATED PROGRAMS:

66.511 Office of Research and Development Consolidated Research/Training/Fellowships;

EXAMPLES OF FUNDED PROJECTS:

2021 No new awards have been made in FY 21.

CRITERIA FOR SELECTING PROPOSALS:

See Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40)

ASSISTANCE LISTINGS DESCRIPTIONS

66.518 State Senior Environmental Employment Program

SEE.

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Environmental Programs Assistance Act of 1984, , Public Law 98-313, 42 USC Code 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to Federal, State, and local environmental agencies to achieve the Agency's goals of A Cleaner, Healthier Environment; More Effective Partnerships; and Greater Certainty, Compliance, and Effectiveness. Funding Priorities - Fiscal Year 2021: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with, private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control". "Technical assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State and local environmental agencies for projects of pollution prevention, abatement, and control." Funds are used to provide environmental support to state offices.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

State; Senior Citizen (60+); State environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations and to program objectives.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period. Method of awarding/releasing assistance: other

Reports:

Federal Financial Reports are due annually and at the end of the project period. Recipients submit quarterly progress reports four times per year. Expenditure information is included in quarterly reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents which support entries on accounting records and which substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until expiration of three years from the date of submission of the final financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$222,700.00; FY 21 est \$500,000.00; FY 22 est \$500,000.00;

Range and Average of Financial Assistance:

New awards and amendments: \$31,790 to 225,000/FY2019; Average \$128,395/FY2019. New awards and amendments: \$222,700 to \$222,700/FY2020; Average \$222,700/FY2020. New awards and amendments: As of July 20, 2021 \$112,500 to \$110,200/FY2021; Average \$111,350/FY2021.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Two (2) cooperative agreements/amendments were awarded (all amendments).

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 2 CFR 1500 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Federal Agency Regulations for Grants and Agreements).

Regional or Local Office:

None.

Headquarters Office:

Angela Hughes, Program Analyst, SEE Program, Environmental Protection Agency, Office of Mission Support, Administrative Operations Division, 1200 Pennsylvania Avenue, N.W., (Mail Code: 6204M), Washington DC 20460

Email: Hughes.Angela@epa.gov

Phone: (202) 564-4390

Website Address:

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<https://www.epa.gov/careers/senior-environmental-employment-see-program>

RELATED PROGRAMS:

66.508 Senior Environmental Employment Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Focus is on State level programs. Cooperative Agreements utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical Assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Examples include compile and review information on applications and maintain correspondence files to track the status of facilities and contacted persons.

CRITERIA FOR SELECTING PROPOSALS:

Applications must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance).

66.521 Innovative Water Technology Grant Program

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title America's Water Infrastructure Act of 2018, Section 2007, 42 USC Code 300j-1a. Title National Environmental Policy Act, Section 102(2)(F), 42 USC Code 4332.

OBJECTIVES:

The purpose of this program is to accelerate the development and deployment of innovative water technologies that address pressing drinking water supply, quality, treatment, or security challenges of public water systems, areas served by private wells, or source waters. Funding Priority - Fiscal Year 2021: This program will support grants: (1) to develop, test, and deploy innovative water technologies; or (2) to provide technical assistance to deploy demonstrated innovative water technologies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Applicants must propose EPA mission relevant research based on excellent science as determined through peer review by experts drawn from the national and international scientific community. Proposed assistance award(s) will focus on the development, testing, and deployment of innovative water technologies or technical assistance to deploy demonstrated innovative water technologies. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Public water systems, institutions of higher education (IHEs), research institutions or foundations, regional water organizations and certain nonprofit organizations as further described below,

located in the U.S. or its territories or possessions are eligible to apply. Profit-making firms and individuals are not eligible to apply. Public water system, as defined by 42 U.S.C. 300f(4), means a system for the provision to the public of water for human consumption through pipes or other constructed conveyances, if such system has at least fifteen service connections or regularly serves at least twenty-five individuals. Such term includes (i) any collection, treatment, storage, and distribution facilities under control of the operator of such system and used primarily in connection with such system, and (ii) any collection or pretreatment storage facilities not under such control which are used primarily in connection with such system. A Regional Water Organization, for purposes of this program, is an association or similar incorporated non-profit or governmental entity that represents or coordinates the operations of public water systems in a defined geographic area under a compact, charter or binding contractual agreement. Non-profit organization, as defined by 2 CFR 200.1, means any corporation, trust, association, cooperative or other organization, not including IHEs, that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses net proceeds to maintain, improve, or expand the operations of the organization. As provided at 42 U.S.C. 300j-1a(a)(2)(E) and 42 U.S.C. 300j-1(e)(8)(A) eligibility is limited to . . . nonprofit organizations that provide to small public water systems onsite technical assistance, circuit-rider technical assistance programs, multistate, regional technical assistance programs, onsite and regional training, assistance with implementing source water protection plans, and assistance with implementing monitoring plans, rules, regulations, and water security enhancements. For-profit colleges, universities, trade schools, and hospitals are ineligible. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to apply. Foreign governments, international organizations, and non-governmental international organizations/institutions are not eligible to apply. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, FFRDCs) may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. An award recipient may provide funds through its assistance agreement from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement. Federal employees may not receive salaries or augment their Agency's appropriations through awards made under this program unless authorized by law to receive such funding. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Other public institution/organization; U.S. Territories; Individual/Family; Private nonprofit institution/organization; Anyone/general public; Graduate Student; Scientist/Researchers; Public nonprofit institutions/organizations and private nonprofit institutions/organizations located in the U.S.; state and local governments; U.S. territories or possessions; Anyone/General Public; Graduate Student; Scientists/Researchers.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary resources to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is

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required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Policy Act.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and may be requested to submit an electronic version of the project abstract, provide responses to comments or suggestions offered by the peer reviewers, and provide a revised budget. EPA Project Officers will contact the Lead Principal Investigator/Contact Principal Investigator to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately three to six months from the close of the solicitation.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 35%. Requirement: Matching requirements are mandatory. As required under 42 U.S.C. 300j-1a(e), each recipient must contribute a minimum non-federal cost share/match of 35% of the total project costs. This is equivalent to a minimum to 53.85% of the federal amount. In order to be eligible for funding consideration, applicants must demonstrate in their applications how they will meet the required minimum 35% cost share/match in accordance with 2 CFR 200.306.

The cost share/match may be provided in cash or can come from in-kind contributions, such as the use of volunteers and/or donated time, equipment, etc., subject to the regulations governing matching fund requirements at 2 CFR 200.306. Cost share/matching funds are considered grant funds and are included in the total award amount. As provided in 2 CFR 200.306, all contributions, including cash and third party in-kind, shall be accepted as cost sharing or matching when such contributions meet all of the following criteria: (1) Are verifiable from the non-Federal entity's records; (2) Are not included as contributions for any other Federal award; (3) Are necessary and reasonable for proper and efficient accomplishment of project or program objectives; (4) Are allowable under Subpart ECost Principles of 2 CFR Part 200; (5) Are not paid by the Federal Government under another Federal award, except where the Federal statute authorizing a program specifically provides that Federal funds made available for such program can be applied to matching or cost sharing requirements of other Federal programs; (6) Are provided for in the approved budget when required by the Federal awarding agency; and (7) Conform to other provisions of 2 CFR Part 200, as applicable. Any restrictions on the use of grant funds (examples of funding restrictions are described in Section IV.E of this announcement) also apply to the use of cost share/matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally funded for a minimum of 3 years. Total approved project period may not exceed 5 years. Method of awarding/releasing assistance: other Reports:

A grant recipient must agree to provide annual progress reports, with associated summaries for posting on EPA's web site, and a final report with an executive summary for web posting. A grant recipient must agree to provide copies of any peer reviewed journal article(s) resulting from the research during the project period. A discussion of expenditures is required in progress reports under this program. Requirements and frequency will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, and other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

Estimated award size is \$1,000,000.

TAFS Codes:

68-0107.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 40 (EPA Research and Demonstration Grants).

Regional or Local Office:

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None. Applicants are encouraged to communicate with the contact listed in the solicitations. Solicitations are located at: <https://www.epa.gov/research-grants>.

Headquarters Office:

Ron Josephson, Eligibility Contact,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204R),

Washington DC 20460
Email: josephson.ron@epa.gov
Phone: 202-564-7823

Fax:

Website Address:

<https://www.epa.gov/research-grants>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

Consolidated Program Support Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Omnibus Territory Act: Title V, Section 501, 48 USC Code 1469a. Title National Environmental Policy Act, Section 102(2)(F), Title Pollution Prevention Act, Section 6605, Title Clean Water Act, Section 104(b)(3), Title Clean Water Act, Section 106, Title Clean Water Act, Section 319(h), Title Clean Water Act, Section 205(g) and 205(j), Title Toxic Substances Control Act, Section 28(a), Title Toxic Substances Control Act, Section 404(g), Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 23(a)(1) & (a)(2), Title Safe Drinking Water Act, Section 1443(a) & 1443(b), Title Solid Waste Disposal Act, Section 9003(h), Title Solid Waste Disposal Act, Section 2007(f)(2), Title Solid Waste Disposal Act, Section 9011

OBJECTIVES:

The consolidated program support grant is an alternative assistance delivery mechanism which allows an Insular Territory responsible for continuing pollution control programs to develop an integrated approach to pollution control. An agency eligible for assistance for two or more pollution control programs may consolidate its assistance requests into a single application and receive a single consolidated award in an effort to reduce administrative and application costs and recognize its own public health and environmental priorities for funding as authorized by the OTA. The consolidated grants program does not represent funding in addition to grants provided under individual authorities, but merely represents the amount of consolidation taking place within the Agency's total grant appropriations. Funding Priorities - Fiscal Year 2021: This continuing environmental program grant and cooperative agreement supports and is consistent with EPA Strategic Goals to: 1 A Cleaner, Healthier Environment; and 2 More Effective Partnerships. Funding priorities include all activities covered under the cooperative agreement that align with EPAs strategic goals and objectives (FY18-22), including but not limited to: establishing fishable, swimmable waters through surveillance, monitoring, and enforcement of water quality standards and regulations; towards the goal of zero beach closure postings; compliance and enforcement of safe drinking water regulations towards the goal of having potable water for 100 percent of the population; advancing watershed management as the overarching mission for all environmental protection activities to help improve water quality; continue establishing and managing special management areas to prevent groundwater contamination; and mitigate or eliminate nonpoint discharges of pollution.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are awarded to Insular Area agencies under the above referenced authorities to provide technical and managerial support in relation to continuing environmental program grants that serve to protect the public health and welfare of the Insular Areas. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; Government - General; The Territories of Guam, American Samoa, and the Virgin Islands, and the Commonwealth of the Northern Mariana Islands are eligible to receive and administer funds for more than one environmental program.

Beneficiary Eligibility:

The Territories of Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application communications should be directed to the appropriate EPA Regional office. The standard application as required by 2 CFR 200 and 1500 must be used for this program. An applicant should consult the Regional office or official designated as the single point of contact for their Insular Area for more information on the process the Insular Area needs to follow when applying for assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. U.S. Insular area agencies will be notified to submit grant application packages identifying required forms including a work plan. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov.

Award Procedure:

U.S. Insular area agencies will be notified of Federal assistance awards through the appropriate EPA Regional Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Consolidated grants to Guam, American Samoa and CNMI are 5 year cooperative agreements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This program has no matching requirements. Match requirements are dependent on the programs included but may be waived by the Agency pursuant to the Omnibus Territories Act. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for a 1-year or longer period. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly and final performance reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Progress reporting by the Insular Area agency and performance monitoring by EPA will be the minimum necessary for sound

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program management. Reporting requirements may vary with each Insular Area agency depending upon the specific agency's demonstrated management abilities.

Reporting of expenditures are part of progress reporting

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304. 68-0108-0-1-304.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$7,300,000.00; FY 21 est \$7,900,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

In general, financial assistance has increased annually depending on program funding that is consolidated into the grant. Financial assistance has generally ranged from \$2,000,000 to \$3,500,000/territory/fiscal year for environmental program assistance. The total estimated average is \$8,000,000/fiscal year. For FY11 and beyond, territorial Drinking Water and Clean Water SRF grant funds are being consolidated into grants for the territories of Guam, CNMI and American Samoa. For FY14 through FY20, SRF financial assistance for the territories of Guam, CNMI and American Samoa has ranged from \$6M - \$9M annually per territory. For FY21 the range will remain the same.

TAFS Codes:

68-0103. 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The territories of Guam, Commonwealth of the Northern Mariana Islands (CNMI) and American Samoa successfully implemented new annual work plans and budgets and received EPA approval.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants).

Regional or Local Office:

See Regional Assistance Locations. EPA Region 9 Contacts: Guam/CNMI: Renee Chan, Grants Management Specialist, Grants Management Office (MSD-GB), U.S. EPA Region 9 75 Hawthorne St., San Francisco, CA 94105; Ph: 415-972-3675; Fax: 415-947-3556; E-mail: chan.renee@epa.gov American Samoa: Angela Mendiola, Grants Management Specialist, Grants Management Office (MSD-GB), U.S. EPA Region 9 75 Hawthorne St, San Francisco, CA 94105; Ph: 415-972-3284; Email: mendiola.angela@epa.gov

Headquarters Office:

Renee Chan (Contact: Guam/CNMI),
EPA Region 9, 75 Hawthorne Street (MSD-GB),
San Francisco CA 94105
Email: chan.renee@epa.gov
Phone: 415-972-3675
Fax: 415-947-3556 Angela Mendiola (Contact: American Samoa),
EPA Region 9, 75 Hawthorne Street (MSD-GB),
San Francisco CA 94105
Email: mendiola.angela@epa.gov

Phone: 415-972-3284

Fax: 415-947-3556

Website Address:

<https://www.epa.gov/aboutepa/epa-region-9-pacific-southwest>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be approved if: 1) funds are available; 2) if the application satisfies the requirements of 2 CFR 200 and 40 CFR Part 35 SubPart A, and applicable statutes; and 3) if the proposed work program is feasible and compatible with the program priorities in EPA annual guidance.

66.604 Environmental Justice Small Grant Program

EJSG.

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act, Section 203, Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended by P.L. 106-74, Title Toxic Substances Control Act, Section 10(a), as amended by P.L. 106-74, Safe Drinking Water Act, Section 1442(c)(3), Clean Air Act, Section 103(b)(3), Comprehensive Environmental Response, Compensation and Liability Act, Section 311(c), Solid Waste Disposal Act, Section 8001(a), Clean Water Act, Section 104(b)(3)

OBJECTIVES:

The Environmental Justice Small Grants (EJSG) Program provides funding directly to community-based organizations for projects that help residents of underserved communities understand and address local environmental and public health issues. The term underserved community refers to a community with environmental justice concerns and/or vulnerable populations, including people of color, low income, rural, tribal, indigenous, and homeless populations that may be disproportionately impacted by environmental harms and risks. In general, the EJSG program awards grants that support community-driven projects designed to engage, educate, and empower communities to better understand and address local environmental and public health issues. Community-driven projects are projects that include activities where community residents and/or representatives are integrally involved in the thinking behind and execution of those activities. Therefore, applying organizations should have a direct connection to the underserved community impacted by environmental harms and risks. Funding Priorities - Fiscal Year 2021: 1) Public Education, Training, Emergency Planning, and/or Investigations on Impacts of COVID-19 on Underserved Communities and Vulnerable Populations (UPDATE 4/19/2021) American Rescue Plan (ARP) funds are being made available for projects that address health outcome disparities from pollution and the COVID-19 pandemic and that identify and address disproportionate environmental or public health harms and risks in minority populations or low-income populations under: Clean Air Act, section 103 (b)(3) and the Safe Drinking Water Act, section 1442(c)(3). Currently understood science around COVID-19 transmission indicates that most transmission is via respiratory droplets, airborne transmission, and/or close contact with an infected person. Transmission from contaminated surfaces, while possible, appears to be less common. Additionally, reducing environmental or public health harms and risks in underserved communities requires a well-trained safe drinking water workforce. See Appendix A - Guidance on American Rescue Plan Funding - for examples of which types of projects will be funded with ARP funds and which will be funded with EJ funds. NOTE: EJSG funds cannot be used for Human Health Studies, including taking blood or other medical information from humans. 2) Projects addressing Climate, Disaster Resiliency, and/or Emergency Preparedness The effects of climate change and extreme weather events tend to adversely impact the most vulnerable communities and populations disproportionately. Therefore, EPA

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may give special consideration to projects that address the needs of underserved and vulnerable communities that have been adversely impacted or are likely to be adversely impacted by natural disasters, including, but not limited to, hurricanes, tornadoes, wildfires, floods, earthquakes, and future pandemics. 3) PORTS INITIATIVE PARTNERSHIP: EPA's Ports Initiative program led by the Office of Transportation and Air Quality (OTAQ) anticipates funding approximately six projects that address clean air issues at coastal and inland ports or rail yards or other relevant projects. Since 2016, EPA's Environmental Justice and Ports Initiative programs have partnered to support effective and meaningful engagement between communities, the port industry and other port stakeholders. Emissions from diesel equipment at ports disproportionately impact nearby communities that are often comprised of low-income populations and people of color. To promote community-port collaboration for effective planning, EPA has developed the Community-Port Collaboration Toolkit, which is designed to help communities and ports develop collaboration skills and to enhance understanding of stakeholders priorities and challenges associated with port-related activities. The Toolkit includes the Ports Primer for Communities, the Community Action Roadmap, and the Environmental Justice (EJ) Primer for Ports along with associated training materials and worksheets. The tools were developed through a collaborative process with feedback from port operators, communities, environmental justice organizations and government agencies. A demonstrated use of the Toolkit during the project period is strongly encouraged, with particular emphasis on Steps 1, 2, and 3 of the Community Action Roadmap: prioritizing goals and concerns; identifying levers of change; and identifying influencers and other stakeholders with whom to build relations. NOTE - To qualify for the Ports Initiative funding, your project must only include activities related to the Clean Air Act, Section 103(b)(3). 4) SMALL NON-PROFIT SET-ASIDE TRACK - EPA intends to set aside approximately half of the total available funding in FY21, \$3.6M, for awards to non-profit organizations who have 10 or fewer full-time employees on staff at the time of submission of their application and award. The dollar amount intended for these awards may be adjusted depending upon the quality of applications received and other relevant considerations. This set-aside is intended to promote environmental justice funding to those communities and grassroots organizations that need it the most. Applications from these applicants will be evaluated separately, based on the criteria outlined in Section V of the Request for Proposal (RFA), from the applications from other eligible applicants. Accordingly, there will be two selection lists developed under the competition- for small non-profit organizations and for the remaining applications. To qualify for consideration under this set-aside, applicants must submit documentation with their application (such as an employee roster) verifying the number of full-time employees on staff and the associated hours per week and salary/wage details for each full-time employee. For this announcement, a full-time employee is defined as an employee who works 40 or more hours per week for the applying organization. NOTE - Applicants who meet the standard set forth above for inclusion under the set-aside at the time of submission of their application, but who during this competition subsequently grow larger than 10 full-time employees, must immediately notify EPA of their change in size. If an applicant can confirm that their change in size has no material impact on their original workplan, then they will be moved from the set-aside track and considered for award under the non-set aside track. However, if an applicant fails to notify EPA, then they may be deemed ineligible to receive an award under this competition. Also, please note that Ports Initiative projects as described above will either be in the set aside track or regular non-set aside track depending on whether the applicant who submits the project qualifies for the set aside if they do they will be considered in the set-aside track; if they do not they will be considered under the non-set aside track.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, and investigations plus allowable direct costs in accordance with established EPA policies and procedures. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; Public nonprofit institution/organization (includes

institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An eligible applicant must be one of the following: incorporated non-profit organizations including, but not limited to, community-based organizations, grassroots organizations, environmental justice networks, faith based organizations and those affiliated with religious institutions;* U.S. Territories Tribal governments, must be either federally-recognized or state-recognized including Alaska Native Villages tribal organizations * Freely Associated States (FAS) including local governmental entities and local non-profit organizations in the Federated States of Micronesia, the Republic of the Marshall Islands, and Palau. Applicant organizations claiming non-profit status must include documentation that shows the organization is either a 501(c) (3) non-profit organization as designated by the Internal Revenue Service; OR a non-profit organization recognized by the state, territory, commonwealth or tribe in which it is located. For the latter, documentation must be on official state government letterhead. Applicants must be located within the same state, territory, commonwealth, or tribe in which the proposed project is located. This means that an applicants registered address of record (i.e. the address designated on their IRS or State-sanctioned documentation) must be in the same state, territory, commonwealth or tribe as the location of the proposed project. The following entities are INELIGIBLE to receive an award, but we encourage applicants to partner with these organizations, as appropriate: colleges and universities; hospitals; for-profit businesses; state and local governments and their entities; quasi-governmental entities (e.g., water districts, utilities)*; national organizations and chapters of the aforementioned organizations**; International organizations and chapters of the aforementioned organizations Individuals non-profit organizations supporting lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995; and*** * Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency. ** National organizations are defined as comprising of one centralized headquarters or principal place of business that creates and controls the mission, structure and work carried out by its chapters or affiliates. *** Funds awarded under this announcement may not be used to support lobbying activities or any activities related to lobbying or the appearance thereof. Subawards made to nonprofit organizations that lobby are not allowed. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Minority group; Private nonprofit institution/organization; Native American Organizations; Black; American Indian; Spanish Origin; Other Non-White; Migrant; U.S. Citizen; Women; Infant (0-5); Child (6-15); Youth (16-21); Moderate Income; Low Income; Other Urban; Suburban; Rural; Eligible beneficiaries are the Non-Profit Community Groups as described under "Applicant Eligibility" above, and the residents of the communities they serve. List selected may not be all inclusive.

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that have been selected for review under State single point of contact procedures are

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identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that have not been selected for State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. [For FY21, also refer to the "Objectives" section above].

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 150 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Awardees may request a no-cost extension 'as needed' which then must be approved by Agency personnel.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project grant will be awarded for a 1-year project period. Activities must be completed within the time frame of the project's budget period and all grants will be fully funded at the time of award. Method of awarding/releasing assistance: other

Reports:

Annual progress reports are required and must address questions about awardee progress in accomplishing proposed project activities, outputs, and outcomes. Expenditure reports are part of the required progress reports. Semi-annual progress reports include questions about awardee progress in accomplishing proposed project activities, outputs, and outcomes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$360,000.00; FY 21 est \$7,300,000.00; FY 22 est \$7,300,000.00;

Range and Average of Financial Assistance:

\$20,000 to \$75,000/fiscal year; average \$75,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Successful projects funded through the Environmental Justice Small Grants Program, along with associated accomplishments, can be found at: <https://www.epa.gov/environmentaljustice/environmental-justice-small-grants-program#PastGrantees>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 45 (EPA Training Assistance).

Regional or Local Office:

None. Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Marcus Holmes, Holmes.Marcus@epa.gov, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Tami Thomas-Burton, Thomas-Burton.Tami@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Kathy Triantafillou, Triantafillou.Kathy@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507; Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Omari Burrell, Burrell.Omari@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Monica Espinosa, Espinosa.Monica@epa.gov, USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101; Secondary: Althea Moses (913) 551-7649, moses.althea@epa.gov, Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Corbin Darling, Darling.Corbin@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Alan Bacock, Bacock.Alan@epa.gov, USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105 Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Sheryl Stohs, Stohs.Sheryl@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101

Headquarters Office:

EJ Grants Program Coordinator Jacob Burney, EJ Grants Program Manager, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A), Washington DC 20460
Email: burney.jacob@epa.gov
Phone: 202-564-2907
Fax:

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Website Address:

<https://www.epa.gov/environmentaljustice/environmental-justice-small-grants-program>

RELATED PROGRAMS:

66.306 Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program; 66.309 Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice;

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of the types of projects funded under this assistance listing include (but are not limited to): 1) Lead restoration through removing trash and invasive species in four targeted treatment areas within the park, establish native vegetation, and construct an Osprey nesting platform to restore sustainable habitat for wildlife; 2) increase family understanding of how to live safely with lead, maximize the effectiveness of lead containment efforts, develop a replicable demonstration project, and provide metrics to support the design of effective leadremediation efforts; 3) develop and implement a year-long program of community engagement and education opportunities that will foster discussions on environmental justice concerns, build community capacity to address issues related to clean air and water through greening, and lay the groundwork for future endeavors, including addressing other environmental concerns; 4) educate residents about the relationship between automobile usage and local air pollution so that better choices can be made about how to travel particularly for short trips of less than 1 mile. Information about how more sustainable choices can be made will be transferred through a series of six educational workshops, a Community Transportation Fair, and a community visioning session to identify resident-chosen locations in need of improved connections for bicycle and pedestrian access so that unnecessary car travel can be avoided.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement. [For FY21, also refer to the "Objectives" section above].

66.605 Performance Partnership Grants

PPGs,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Appropriations Act of 1996, , Public Law 104-134, Title Appropriations Act of 1998, , Public Law 105-65

OBJECTIVES:

Improving EPA's partnership with the States and Tribes is critical to accelerating environmental outcomes. Performance Partnership Grants (PPGs) are the cornerstone of the National Environmental Performance Partnership System (NEPPS) -- EPA's strategy to strengthen partnerships and build a results-based management system. PPGs are innovative grant delivery tools that allow States and Tribes to combine up to 19 eligible State and Tribal Assistance Grants (STAG) grants into a single grant with a single budget. PPGs can reduce administrative transaction costs, provide the flexibility to direct resources toward the highest priority environmental problems, and support cross-media approaches and initiatives. EPA's overarching goal is to optimize the leveraging power of PPGs to focus strategically on the joint priorities of EPA, States and Tribes. PPGs do not include any funding in addition to the state and tribal assistance grants provided under other statutory authorities. Improving EPA's partnership with the States and Tribes is critical to accelerating environmental outcomes. Performance Partnership Grants (PPGs) are the cornerstone of the National Environmental Performance Partnership System (NEPPS) -- EPA's strategy to strengthen partnerships and build a results-based management system. PPGs are innovative grant delivery tools that allow States and Tribes to combine up to 19 eligible State and Tribal Assistance Grants (STAG) grants into a single grant with a single budget. PPGs can reduce administrative transaction costs, provide the flexibility to direct resources toward the highest priority environmental problems, and support cross-media approaches and initiatives. EPA's overarching goal is to optimize the leveraging power of

PPGs to focus strategically on the joint priorities of EPA, States and Tribes. PPGs do not include any funding in addition to the state and tribal assistance grants provided under other statutory authorities. Please visit "Implementing Performance Partnerships", (found at: <https://www.epa.gov/ocir/nepps-implementing-performance-partnerships>) to view a list of all 19 environmental program grants eligible for inclusion in a PPG.Funding Priorities - Fiscal Year 2021: EPA headquarters and the Regional offices will give priority to flexibility and opportunities to work collaboratively with the States and Tribes. The Agency will continue to focus on achieving its goals for implementing NEPPS and Performance Partnership Grants (PPGs) which serve to: (1) strengthen partnerships between EPA, the States, Tribes and interstate agencies through joint planning, priority setting efficient and effective management of federal-state resources; (2) provide States, Tribes, and interstate agencies with flexibility to direct resources where they are needed to address environmental and public health priorities; (3) link program activities more effectively with environmental and public health goals and environmental outcomes; (4) foster implementation of innovative approaches such as pollution prevention, ecosystem management, and community-based environmental protection strategies; and (5) provide savings by streamlining administrative requirements.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

PPGs are a type of modified block grant, where recipients may combine funds from categorical grants to accomplish their joint and several purposes, so long as recipients meet program requirements for each categorical grant combined into the PPG.

Applicant Eligibility:

Interstate; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; All States, interstate agencies, U.S. territories, the District of Columbia, and federally recognized Indian Tribes eligible to receive more than one of the 19 categorical grant programs referred are eligible to apply for PPGs. Any duly authorized State or tribal entity that currently receives or is eligible to receive EPA categorical program grants may request a PPG for the funds it administers. This may include agencies other than environmental agencies (for example, agricultural and health agencies), where authorized by state or tribal law.

Beneficiary Eligibility:

Interstate; State; Federally Recognized Indian Tribal Governments; U.S. Territories; Anyone/general public; States, U.S. territories, federally recognized Indian tribal governments, and interstate agencies.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Applicants interested in pursuing PPGs should coordinate with their appropriate EPA regional office to develop a PPG through joint negotiations.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants will negotiate the content of their PPG with their EPA regional office and submit an application for the PPG based on these negotiations. Applicants for PPGs must submit an "Application for Federal Assistance: State and Local Non-Construction Programs," Standard Form 424, including the required supporting documents. Applicants, except in limited circumstances approved by the Agency, must submit

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all initial applications for funding through Grant.gov.

Award Procedure:

Grant applications are reviewed by the appropriate EPA regional office, and if approved, awarded by the Regional Administrator. If an applicant is selected for a competitive award, the applicant will work with the EPA regional office to add those competitive grant funds to the PPG if requested by the state. The workplan and supporting documentation that were the basis of the competitive award also will be added to the PPG.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 60 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Generally, EPA may sometimes choose to either fully or incrementally fund awarded grants and cooperative agreements. Approval of subsequent funding increments and extensions are dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Major portions of the PPGs are by statutory formula. The allocation for a PPG consists of the cumulative allocations for the categorical grants included in the PPG. Recipients should calculate a single, composite minimum cost share (based on the match or maintenance of effort requirements of the categorical grants included in the PPG) for each of their PPGs. To calculate the minimum cost share for a PPG, start with the amount of federal dollars from each program (source of funds) going into the PPG. The minimum required cost share for each portion is determined by identifying the cost share requirements of the relevant categorical grant program. The minimum recipient cost share for the PPG is the sum of the minimum cost shares of the contributed categorical grants. Tribal governments and intertribal consortia may be eligible for match requirement waivers based on demonstrated hardship. Such waiver eligibility will be determined by the Regional Administrator and will be based on socio-economic criteria. This program has MOE requirements, see funding agency for further details. Additional Information: Refer to the assistance listings of the 19 environmental program grants eligible for inclusion in a PPG. The list can be found at: <https://www.epa.gov/ocir/national-environmental-performance-partnership-system-nepps>.

Length and Time Phasing of Assistance:

The terms of the PPG shall be determined during pre-application negotiations and at the time of the award. Applicants may choose to submit either annual or multi-year work plans and project periods. Budget periods will be for 12 months with the applicant having the flexibility to select, in consultation with the Regional Administrator, the specific start and end date for the budget period. Grant awards are made after review and approval of the application. Grantees are reimbursed for actual expenses based on submissions in financial status reports. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR parts 200 and 1500, and 40 CFR Part 35, Subparts A and B. Refer to the assistance listings for the individual STAG program grants eligible for inclusion in PPGs. The list can be found at: <https://www.epa.gov/ocir/national-environmental-performance-partnership-system-nepps>. Refer to the assistance listings for the individual STAG program grants eligible for inclusion in PPGs. Refer to the assistance listings for

the individual STAG program grants eligible for inclusion in PPGs. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$481,300,129.00; FY 21 est \$485,200,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

There is no low-end limit for PPG awards, which may be as small as combining two programs and thousands of dollars to Tribes, or as large as combining up to 19 categorical grants to States. PPG totals for larger States can exceed \$10 million. In FY 2021 State PPGs generally contained four to eight categorical grants, with award amounts averaging \$5 million.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/ocir/national-environmental-performance-partnership-system-nepps>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

None.

Headquarters Office:

Daniel Murphy,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 6204M),
Washington DC 20460
Email: Murphy.Dan@epa.gov
Phone: (202) 564-3227

Website Address:

<https://www.epa.gov/ocir/national-environmental-performance-partnership-system-nepps>

RELATED PROGRAMS:

66.204 Multipurpose Grants to States and Tribes ;

EXAMPLES OF FUNDED PROJECTS:

2021 Most states have unique environmental priorities and program implementation needs. Each EPA-state partnership negotiation takes into account the particular capacities, needs, and interests of that state. PPGs have allowed States to take advantage of administrative and program efficiencies and innovations to achieve greater environmental and program results. States and Tribes have used

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PPGs to address critical emergencies and unplanned events and have used PPG flexibility to overcome periodic budget needs or high program demands in specific programs. States and Tribes have used PPGs to implement multi-program initiatives and innovations that impact many environmental programs. They also have taken advantage of streamlined administrative procedures to make grant processes more efficient. PPGs have allowed Tribes to develop and implement environmental programs in situations where it would have been more difficult using categorical grants.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must meet selection criteria for the categorical grants to be combined in the PPG.

66.608 Environmental Information Exchange Network Grant Program and Related Assistance

Exchange Network Grant Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title EPA's Annual Appropriations Acts,

OBJECTIVES:

The National Environmental Information Exchange Network (EN) is an inter-governmental partnership to foster better environmental management and decision-making through increased access to timely and high quality environmental information. The EN, launched in 2002, uses a standards-based approach to facilitate environmental data sharing among EPA, states, tribes and territories. This framework allows organizations to exchange and share data over the Internet regardless of the specific information technology used. The EN is the foundation for the E-Enterprise Initiative, also a joint state, tribal, territory and EPA partnership, launched in 2013. Its goals include expanding access to environmental data, personalizing the access experience through customized portals and re-engineering and streamlining regulatory information collection and reporting processes to achieve burden reduction and cost avoidance. Phase 1 of EN implementation focused on transforming the regulatory reporting processes of eight national data flows, by moving them from out-of-date reporting methods to standardized electronic reporting approaches based on EN data standards and technology. Phase 2, which was initiated in 2013, focuses on providing the data management and technology approaches needed by EPA and its co-regulators to collaboratively modernize and improve the implementation of environmental programs. This includes creating and using shared services, data publishing and EPA outbound services to increase access to EPA data, innovative collection, monitoring and analytical methods, and electronic reporting to states by the regulated community. The Exchange Network Grant Program provides funding for projects that develop the Network and enable timely, on-demand access to environmental data through innovative technologies, improved support systems, and expanded collaboration using shared tools and services EPA encourages applicants to propose projects that align with the principles of E-Enterprise for the Environment. Funding Priorities - Fiscal Year 2021: There are three funding areas for the FY 2021 EPA Exchange Network Grant Program: Funding Area 1: Increased Data Access and Innovative Business Processes. The opportunities under this funding area are designed to help agencies adopt innovative business processes and modernize the critical data services that power their systems and workflows. Funding Area 2: Eliminate paper submittals and expand e-reporting. The opportunities under this funding area are designed by EPA National Program Offices to help their stakeholders submit and share programmatic data for fourteen EPA programs. Funding Area 3: Augment the Information Management Capacity of EN Partners. The opportunities under this funding area are designed to enable applicants to build the IT and data management capacity necessary to effectively manage their environmental programs and to identify the most valuable ways for their programs to participate in the EN.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Applicants may propose EN project funding for costs associated with personnel salaries and fringe benefits, Intergovernmental Personnel Act Agreements (IPAs) travel, travel related to Exchange Network activities, equipment, supplies, contractual costs, in-kind services provided by EPA, and indirect costs. Applicants may propose EN project funding for development, modernization, and enhancement activities. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions (includes institutions of higher education and hospitals); Eligible applicants for the Exchange Network Grant program include states, U.S. Territories (i.e., American Samoa, the Commonwealth of the Northern Mariana Islands, the District of Columbia, Guam, Palau, Puerto Rico, the U.S. Virgin Islands), federally recognized Indian tribes and native Alaska villages, and inter-tribal consortia of federally recognized tribes (e.g., the Northwest Indian Fisheries Commission). Other entities, such as regional air pollution control districts and some public universities may apply for assistance if they are agencies or instrumentalities of a state under applicable state laws. These entities, as well as other entities that submit applications asserting they are agencies or instrumentalities of a state, must provide with the application a letter from the appropriate state Attorney General certifying that the applicant is an agency or instrumentality of the state. EPA will not consider an application that does not contain the required documentation. EPA recognizes that the delegation for some programs extends to local governments, which are responsible for reporting data to EPA. Local governments that can demonstrate that they are instrumentalities of the state by providing the documentation described in the preceding paragraph are eligible to apply for Exchange Network Grants. However, most local governments that implement EPA programs are not agencies or instrumentalities of the state and therefore, are not eligible to apply. EPA encourages such entities to partner with a state applicant to allow for their data to be reported and shared through the Exchange Network. Interstate commissions and other interstate entities, likewise, are not eligible to apply and are encouraged to partner with a state applicant. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Native American Organizations; Eligible applicants for the EN Grant Program include states, the District of Columbia, U.S. territories (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands), federally recognized Indian tribes, and intertribal consortia of federally recognized tribes.

Credentials/Documentation:

Other entities, such as regional air pollution control districts and some public universities may apply for assistance if they are agencies or instrumentalities of a state under applicable state laws. These entities, as well as other entities that submit applications asserting they are agencies or instrumentalities of a state, must provide with the application a letter from the appropriate state Attorney General certifying that the applicant is an agency or instrumentality of the state. EPA will not consider an application that does not contain the required documentation. EPA recognizes that the delegation for some programs extends to local governments, which are responsible for reporting data to EPA. Local governments that can demonstrate that they are instrumentalities of the state by providing the documentation described in the preceding paragraph are eligible to apply for Exchange Network Grants. However, most local governments that implement EPA programs are not agencies or instrumentalities of the state and therefore, are not eligible to apply. EPA encourages such entities to partner with a state applicant to allow for their data to be reported and shared through the Exchange Network. Interstate commissions and other interstate entities, likewise, are not eligible to apply and are encouraged to partner with a state applicant. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

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Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. EPA may require applicants to submit additional or updated documents if the project is selected for funding to complete the funding package. EPA will provide further instructions for submittal of additional or updated documents at that time of award. Additional information on applying for EPA grants can be found at: <https://www.epa.gov/grants/how-apply-grants> Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards made under this assistance listing, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

This assistance listing includes deadline information within the Request for Applications (RFA), which contains both the deadline for submitting applications to EPA and the tentative expected award date for the current year's exchange network grants.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Applicants can apply for a one year no-cost extensions to complete their project after 12 months within the grant. A no-cost extension cannot exceed a total of seven years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA plans to issue awards for applications that are recommended for funding approximately seven months after the application deadline. The standard period of performance for projects funded by the EN Grant Program is two years. EPA may consider requests from award recipients for project/budget period extensions; and if deemed appropriate by EPA, the Grants and Interagency Agreements Management Division may issue amendments extending the project/budget periods. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Cash Reports are required. Frequency will be determined at time of award. Award recipients will submit semi-annual and final technical reports

electronically through EPAs Central Data Exchange (CDX) using a web form. EPA will provide successful applicants with detailed instructions for registering with and reporting through CDX at the time of award. Expenditure reports are required. Frequency will be determined at time of award. Performance monitoring is required. Frequency will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$6,422,000.00; FY 21 est \$8,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Grants are awarded with a period of performance of three years. The maximum single agency grant award in FY 20 and FY 21 is \$200,000. If the grant proposal is a partnership between multiple organizations, the maximum grant award for FY20 and FY21 is \$400,000. For FY20, awards ranged from \$ 92,282 to \$400,000, with an average award of \$211,898.85. For FY21, awards ranged from \$12,000 to \$400,000 with an average award of \$177,982.58.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: FY 2021 is the twentieth-year EPA will award Exchange Network grants. From FY 2002 through FY 2021, EPA has provided approximately \$240 million for state, tribal and territorial awards and associated program support through the grant program. As of May 2021, all 50 states, 85 tribes and 6 territories have received Exchange Network grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Exchange Network Grant Program assistance agreements issued to state, territorial, and tribal governments and nonprofit organizations (i.e., co-regulator/co-implementer organizations) for associated program support for the EN Grant Program or other EN activities governed by OMB's regulations at 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Branch Chief Wendy Blake-Coleman, Branch Chief - Information Exchange Partnership Branch, Office of Information Management, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, (Mail Code: Mail Code 3204A), Washington DC 20460

Email: blake-coleman.wendy@epa.gov

Phone: (202) 566-1709

Website Address:

<https://www.epa.gov/exchangenetwork/exchange-network-grant-program>

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RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 EPA expects projects supported by an Exchange Network (EN) assistance agreement will improve the accessibility and usability of high-quality environmental data from public and private sector sources. The EPA Exchange Network Grant Program seeks projects that: facilitate sharing of environmental data, especially through shared and reusable service; reduce burden and avoid costs for co-regulators and the regulated community; streamline data collection and exchanges to improve its timeliness for decision making; increase the quality and access to environmental data through discovery, publishing, outbound and analytical services so it is more useful to environmental managers; and increase data and IT management capabilities needed to fully participate in the EN.

CRITERIA FOR SELECTING PROPOSALS:

The complete evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.609 Protection of Children from Environmental Health Risks

Children's Environmental Health,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Marine Protection, Research, and Sanctuaries Act, Section 203, Title Comprehensive Environmental Response, Compensation, and Liability Act, Section 311(c), Title Solid Waste Disposal Act, Section 8001(c)(1), Title Clean Water Act, Section 104(b)(3), Title Clean Air Act, Section 103 (b)(3), Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended P.L. 106-74, Title Safe Drinking Water Act, Section 1442(c)(3), National Environmental Policy Act, Section 102(2)(F), Title Toxic Substances Control Act, Section 10(a) as amended by PL 106-74

OBJECTIVES:

To support efforts by organizations; educational institutions; and/or State, local, and tribal governmental agencies to establish or enhance their ability to take actions that will reduce environmental risks to the health of children. Since 1997, when the Office of Children's Health Protection was established, EPA has focused on children's health protection, undertaking a variety of efforts to improve the environments where children live, learn and play. EPA has sponsored research to evaluate the impacts of environmental exposures on children's health, ensured that children's health protection is a key component of our risk assessments and regulatory decision-making, and supported efforts to promote the measurement and tracking of environmental health disparities. EPA has launched projects to improve school environments, promote healthy homes, address indoor and outdoor air quality, and reduce children's exposures to chemicals, pesticides, and radiation. EPA has developed tools to enable citizens, communities, governmental and non-governmental agencies to take steps toward protecting children from environmental health threats. EPA has worked with healthcare providers to identify, prevent and reduce environmental threat to children. EPA has also provided international leadership in the protection of children worldwide. EPA's partnerships with other federal agencies; state and local governments; tribes; nongovernmental organizations; healthcare providers; academia; and others are essential to achieving our children's health protection goals. It is only through our combined efforts that we can achieve the goals of making the health protection of children a fundamental goal of public health and environmental protection. Funding Priority - Fiscal Year 2021: In FY2021, EPA's Office of Children's Health Protection issued a Request for Applications (RFA) under the Children's Healthy Learning Environments Grant Initiative to provide capacity building to address children's environmental health in school and childcare settings. The Children's Healthy Learning Environments Grant Initiative provides funding directly to organizations to support school- and/or childcare center-based capacity building projects that help school communities understand and address local environmental and public health issues that affect children.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to States or state agencies, territories, the District of Columbia, American Indian Tribes (federally recognized), and possessions of the U.S. It is also available to public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and 501(c)(3) organizations. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; State agencies and local governments, U.S. territories and possessions, American Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Office of Children's Health Protection may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contact(s)" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for

ASSISTANCE LISTINGS DESCRIPTIONS

competing assistance agreements.

Deadlines:

Deadlines for competitive awards will be specified in the announcement of the competitive funding opportunity.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of Children's Health Protection's program priorities, and the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching funds requirements may be established in program guidance or in the terms of competitive solicitations. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are usually funded on a 12- or 24-month basis. However, the Office of Children's Health Protection can negotiate the project period with each applicant based on project requirements. EPA has limitations on project periods, and grants and cooperative agreements may be fully funded or incrementally funded. These determinations are made by EPA. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports are required to be sent to the EPA Project Officer within 30 days after each reporting period. These reports will cover work status, work progress, difficulties encountered, preliminary data results and a statement of activity anticipated during the subsequent reporting period, including a description of equipment, techniques, and materials to be used or evaluated. The report shall also include any changes of key personnel concerned with the project. A discussion of expenditures is included as part of the progress reporting, and should include a comparison of the percentage of the project completed to the project schedule and an explanation of any significant discrepancies. EPA will conduct baseline monitoring of each active award. Requirements and frequency will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain

records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$290,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

In FY21 - EPA anticipates awarding up to 10 cooperative agreements with each award not to exceed approximately \$200,000. Proposed project periods are for two years.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/children>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 45 (EPA Training Assistance).

Regional or Local Office:

None.

Headquarters Office:

Rebecca Cook-Shyovitz,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 6204M),
Washington DC 20460

Email: cook-shyovitz.becky@epa.gov

Phone: 202-564-5340

Fax:

Website Address:

<https://www.epa.gov/children>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of the types of project funded under this assistance listing include, but are not limited to: 1) Expanding and implementing a multi-disciplinary program to provide resources to school employees, students, and parents best practices to increase knowledge and empower school occupants to improve their schools environmental health. Adoption of this school environmental health program will improve environmental conditions in school buildings and support improved health, attendance, and academic performance for approximately 3.2 million school aged children; 2) Collaborating with childcare administrators to improve policies and practices in early childhood settings, and provide trainings, facility assessment tools and information to reduce environmental hazards to over 200 Head Start/Early Head Start centers across 22 states, as well as at least 20 Tribal childcare centers/family childcare homes.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement. Non-competitive applications are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.610 Surveys, Studies, Investigations and

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Special Purpose Grants within the Office of the Administrator

ECOS Omnibus Cooperative Agreement,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1442, Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Public Law 106-74, Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311(b)(3), Title Toxic Substances Control Act, Section 10, as amended by PL 106-74, National Environmental Policy Act, Section 102(2)(F), Clean Air Act, Section 103, Title Solid Waste Disposal Act, Section 8001, Clean Water Act, Section 104, Marine Protection, Research, and Sanctuaries Act, Section 203

OBJECTIVES:

The goals of this assistance program are to: (1) support surveys, studies, investigations, and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances and/or pesticides; (2) identify, develop, and demonstrate necessary pollution control techniques; (3) prevent, reduce, and eliminate pollution; (4) evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions; and (5) promote collaboration on projects and activities within the states and enable wider and more coordinated state input on national environmental issues. Funding Priorities - Fiscal Year 2021: Will continue state support regarding the Combined Air Emissions Reporting Team (CAER) Project; support the development of a common emission form (CEF) reporting System, to include documenting of data elements (and metadata) to help minimize the collection of redundant emissions and facility/site information through the CEF; provides support to State Engagement to be used for video conferencing, webinar tools, and travel expenses to broaden state participation through more remote involvement opportunities; and continue to assist in advancing the Sustainable Materials Management (SMM) and Life Cycle Assessments in collaboration with states and media associations.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to State agencies, territories, the District of Columbia, Indian Tribes, and possessions of the U.S. Assistance is also available to public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; State agencies and local governments, U.S. territories and

possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. Office of the Administrator may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications.

For non-competitive awards, please contact the headquarters or regional location, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of the Administrator's program priorities, and the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA

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enter into an appropriate cost-sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching fund requirements may be established in program guidance or in the terms of competitive solicitations. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. EPA can negotiate the project period with each applicant based on project requirements. EPA has limitations on project periods, and grants and cooperative agreements may be incrementally or fully funded. These determinations are made by EPA. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in EPA's grant regulations 2 CFR Parts 200 and 1500. Program reports are required. Requirements and frequency will be determined at the time of award. Expenditure information is included in the Progress Report. Requirements and frequency will be determined at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304. 68-0103-0-1-304. 68-0107-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$550,000.00; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

No competitive solicitations were awarded in FY2021. In prior years, when funding was available to support competitive solicitations, funding amounts would generally range between \$50,000 to \$250,000. Smaller, non-competitive award amounts were used to support workshops on research topics, or provided funding support technical assistance to help communities learn about and implement smart growth approaches. Awards on the upper end of the range would typically support multiple year projects focusing on identified priority research topics (e.g., using alternative survey methods; examination of alternative market-based mechanisms).

TAFS Codes:

68-0108. 68-0107. 68-0107.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Published an ECOS Green Report titled "State Case Studies on the Use of Construction & Demolition Materials to Address the Nations Infrastructure Needs"; shared information on the America Recycles Network with states that are participating in the ECOS SMM workgroup and encouraged states to participate in the Annual America Recycles Day; collaborated with State and Federal leads by beginning the planning for sessions at the 2021 ECOS Spring Meeting on how state data contributes to EPA's national performance reporting - EPA's Evidence Act approach, for the upcoming FY22-26 EPA Strategic Plan; actively participated in early state-Federal engagement opportunities for new

Agency National Program Guidances.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 45 (EPA Training Assistance)

Regional or Local Office:

None.

Headquarters Office:

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Phone: 202-564-3227

Fax:

Website Address:

<https://www.epa.gov/aboutepa/about-office-policy-op>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 This comprehensive nationwide program covers a wide array of topics including: air & water quality, drinking water, toxics, planning & resource allocation, data management, compliance & enforcement, solid & hazardous waste, emerging contaminants, energy & energy efficiency, public & environmental health, state capacity building, alignment of state & EPA priorities, civil rights, EJ, oversight, partnership enhancement, legal relationships, performance measures, streamlining of state environmental business processes, innovations, new technologies, and pollution prevention.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement. Non-competitive applications are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.611 Environmental Policy and Innovation Grants

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Safe Drinking Water Act, Section 1442, Title Toxics Substances Control Act, Section 10, as amended by PL 106-74, Title Solid Waste Disposal Act, Section 8001, Title Clean Water Act, Section 104, Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, as amended by PL 106-74, Title Clean Air Act, Section 103

OBJECTIVES:

The goals of this assistance program are to (1) support analyses, studies, evaluations, workshops, conferences, and demonstration projects that lead to reduced pollutants generated and conservation of natural resources; (2) improve economic information and analytic methods to support studies, surveys, analyses,

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evaluations, conferences, workshops, and demonstration projects on the benefits, costs, and impacts of environmental programs; (3) improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects of incentive-based and voluntary environmental management strategies and mechanisms; (4) support research to explore and develop strategies and mechanisms for those in the economic, social, governmental, and environmental arenas to use in environmental management decisions; (5) provide technical assistance to help communities to learn about and implement locally led, community driven approaches that deliver environmental, economic, and quality-of-life benefits; and (6) build and strengthen the adaptive capacity of states, tribes, and local communities to anticipate, prepare for, and adapt to the impacts of extreme weather (e.g., storms and droughts) and other events (e.g., wildfires, sea level rise and storm surges) now and in the future. Funding Priorities - Fiscal Year 2021: Other than the limited support for small and unsolicited grants, there were no competitive environmental economic research solicitations issued in FY2021 due to limited available resources. If resources become available in future fiscal years, research priorities in the field of environmental economics and related research continue to include: work on improvements in the valuation of environmental benefits, including human health and ecological services; measuring economic costs and impacts to society from regulations, including effective ways to forecast future pollution control technologies, regulatory costs and impacts on employment and competitiveness of regulated sectors of the economy; integrating economic and natural science models for purposes of assessing environmental risks and consequences; and utilization of alternative regulatory management approaches, including use of voluntary and incentive-based programs to achieve environmental objectives. Additionally, if resources become available for community driven environmental protection strategies in FY 2021, priorities for funding will include providing assistance to communities around revitalization strategies that improve environmental outcomes, training on technical assistance methods that connect economic development and environmental protection, and assistance to states to advance their environmental objectives through policy reform or capacity building.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Environmental Policy and Innovation plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Environmental economic research supported under this assistance can be used for the following: (1) To support analyses, studies, evaluations, workshops, conferences, and demonstration projects that lead to reduced pollutants generated and conservation of natural resources; (2) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects on the benefits, costs, and impacts of environmental programs; (3) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects of incentive-based and voluntary environmental management strategies and mechanisms; (4) to support research to explore and develop strategies and mechanisms for those in the economic, social, governmental, and environmental arenas to use in environmental management decisions; and (5) to provide technical assistance to help communities to learn about and implement locally led, community driven approaches that deliver environmental, economic, and quality-of-life benefits. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions

(includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, interstate organizations, intrastate organizations, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. Non-profit organization, as defined by 2 CFR Part 200, means any corporation, trust, association, cooperative or other organization that: (1) is operated primarily for scientific, educational, service, charitable or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve and/or expand its operations. Note that the definition of Nonprofit organization in 2 CFR Part 200 specifically excludes Institutions of Higher Education from the definition of non-profit organization because they are separately defined in the regulation. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applicants. See "Authorization" listed above. National Laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its grant from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on a grant, and may not receive salaries or augment their Agency's appropriations in other ways through grants made by this program. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organization; State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, individuals, and international organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

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Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For competitions involving research that are administered as part of the Economic and Decision Sciences program, OP will continue to adhere to the policies established by ORD, NCER and their Science to Achieve Results (STAR) program, which includes engaging an external technical peer review panel to evaluate the technical merits of research applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days for most competitive solicitations. For competitive solicitations administered under the Economic and Decision Sciences program, the time for notification is approximately 240 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Generally, EPA may sometimes choose to either fully or incrementally fund awarded grants and cooperative agreements for Environmental Policy and Innovation. Approval of subsequent funding increments and extensions are dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process. Extensions for environmental economic research awards are subject to limitations placed on research grants, which presently allow consideration of up to seven years for the period of performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are usually funded on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA has limitations on project periods, and grants and cooperative agreements may be fully funded or incrementally funded. These determinations are made by EPA. Method of awarding/releasing assistance: other

Reports:

For grants awarded to fund environmental economic research, recipients shall submit a Final Technical Report that provides a complete description of all research undertaken and all results achieved. Grant funded workshops shall provide summaries of papers presented, attendance and feedback from attendees on the quality and successful accomplishment of the workshop objectives. Additional guidance and information on suitable formats for the semi-annual Progress Reports, Annual Programmatic Reviews, and Final Technical Reports will be provided by the designated EPA Project Officer. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Specific reporting

requirements are also identified in the Grant Regulations 2 CFR Parts 200 and 1500. In general, it is the policy of the Office of the Administrator to require Progress Reports from recipients on a routine schedule - either quarterly, semiannually or on an annual basis. This requirement, or a different requirement when applicable, will be spelled out in solicitations issued under this assistance listing, and confirmed in the Terms and Conditions section for funded assistance agreements. Grant recipients are required to provide financial information to confirm that actual spending is consistent with the approved budget and allowable uses of EPA funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$140,983.00; FY 22 Not Available;

Range and Average of Financial Assistance:

In FY2021, funded a set of small (no more than \$25,000 per award), unsolicited noncompetitive grants for research and workshops. No other grants awarded and no competitive solicitation issued or grants awarded in the area of economics. When competitive solicitations have been released in the past (FY2010 and prior years), the following types of grants were awarded: (1) for Environmental Economic Workshops: range of \$35,000 - \$95,000, with an average award of ~\$70,000; (2) for Environmental Economics Dissertations and Early Career Research: range of \$35,000 - \$75,000, with an average of ~\$60,000; and (3) for Environmental Economic Research: range of \$75,000-\$300,000, with an average of ~\$250,000. There were no earmarks included for the period FY 2010 through FY 2021. For recent awards related to community driven environmental protection strategies, the range is from \$25,000 to \$200,000, with an average around \$100,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Competitive and open solicitations for environmental economics research and workshop grant applications under this assistance listing ended in FY2010 and the final performance period of awards closed in FY2016. Up until FY2021, there have been no new awards, and therefore there are no program accomplishments to report for this year. With the awarding of a set of small research and workshop grants in FY2021, it is expected that there will be information on Program Accomplishments to include in the FY2022 update. Due to limited funds, during Fiscal Year 2021, EPA's Office of Community Revitalization did not fund any new cooperative agreements.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 45 (EPA Training Assistance).

Regional or Local Office:

None.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Brett Snyder,
Office of Policy, Office of the Administrator, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N. W. (Mail Code: 6204M), Washington DC 20460
Email: snyder.brett@epa.gov
Phone: 202-566-2261
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Email: thomas.john@epa.gov
Phone: 202-566-1285
Fax: 202-566-2868

Website Address:

<https://www.epa.gov/aboutepa/about-office-policy-op>

RELATED PROGRAMS:

66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development;

EXAMPLES OF FUNDED PROJECTS:

2021 A series of five small unsolicited research grants were funded in FY2021, awarded for the following purposes: 1) A research project to measure the monetary benefits to people over age 65 from the 1997 revision to the NAAQS under sections 108 and 109 of the Clean Air Act to add a new annual standard for PM_{2.5} of 15 ug/m³. This retrospective evaluation leverages novel data and causal inference methods to develop new estimates for key metrics used to prospectively evaluate benefits of PM_{2.5} reductions under the CAA for reductions in actual PM_{2.5} exposure from 2000-2010. 2) A research project to retrospectively estimate how reductions in coal-fired power-plant emissions of PM_{2.5} precursors impacted local air quality and related health outcomes, focusing on benefits projected under the Clean Air Interstate Rule and the Transport Rule. Research uses state-of-the-art particulate trajectory modeling, integrated with mortality and morbidity health data and local regulatory management decisions affected by upwind emission sources to examine potential regulatory rebound effects. 3) A research project to support the provision of data and models on the US fuel refining industry as open source (i.e., free to the public) resources, including a model of the US refining industry that can be used by other researchers to study economic and environmental regulations of this industry. The model provides an improved tool to investigate implications of regulatory alternatives for the refining industry market structure and impacts inputs to production, including evaluating outcomes from carbon pricing mechanisms and trading programs. 4) A research project to assess the effectiveness and efficiency of exhaust standards over the last half century, investigating how air pollution from passenger vehicles changed over time, exploring long-term causes for declines in pollution emissions for the new and used US light duty vehicle fleets. The research will also examine the cost-effectiveness of these standards and develop quantitative mathematical models of new and used vehicle fleets to study how feasible alternative policies would affect vehicle pollution emissions, consumer choices, and consumer well-being. 5) A research project to produce a comprehensive database of the introduction and seasonal use of all boutique fuels used in the United States since 1990 combined with ambient air quality monitoring data to develop statistical estimates of boutique fuel specific estimates of air quality improvements by monitor. Another small grant was awarded to help to support conferences gathering researchers, students, and policy practitioners to share information on cutting edge environmental economics research on pollution and environmental policy options. The conferences provide discussions on current issues and state-of-the-art research methods; identify research needs for advancing sound ideas and new approaches; enhance opportunities for collaborative efforts among researchers and policy practitioners; and encourage scholarship, offering young professionals feedback and connections with the research community.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement. Non-competitive applications are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms

of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.700 Consolidated Pesticide Enforcement Cooperative Agreements

FIFRA STAG Compliance Monitoring Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Federal Insecticide, Fungicide, and Rodenticide (FIFRA) Act, Section 23(a), Public Law 95-396, 7 USC Code 136(a).

OBJECTIVES:

State, territorial, and tribal agencies participating in this cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities. To: (a) assist states, territories and possessions of the U.S., including the District of Columbia and Indian tribes, in developing and maintaining comprehensive pesticide programs that address all aspects of pesticide enforcement, and special pesticide initiatives; (b) sponsor cooperative monitoring and analytical procedures; and (c) encourage regulatory activities within the states, territories, and tribes. Funding Priorities - Fiscal Year 2021: Grantees must conduct activities related to: (1) Basic Pesticide Program; (2) Pesticide Worker Safety: Worker Protection Standard; (3) Worker Safety: Pesticide Applicator Certification; (4) Pesticides in Water; (5) Product Integrity; (6) Border Compliance. Grantees also must choose one additional programmatic areas from the following: (1) Pick-List Program Area: Fumigation and Fumigants; (2) Spray Drift; (3) State and Tribal Coordination and Communication; (4) Emerging Public Health Pesticide Issues. These activities include inspections at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls and warning notices.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Available for costs specifically incurred in purchasing inspectional supplies and equipment; reimbursing State travel and per diem expenses associated with the performance of grant outputs; purchasing essential laboratory equipment and supplies; paying salaries for personnel performing inspectional, analytical and/or managerial functions related to grant activities, and for administrative costs associated with the performance of grant outputs. Funds also can be used for training. Each program must have at least one federally credentialed inspector pursuant to the September 2004 Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; State agencies having pesticide compliance program responsibilities in each state, territory and possession of the United States, including the District of Columbia and Indian Tribes.

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; States, Federally Recognized Indian Tribal Governments, U.S. Territories and the District of Columbia

Credentials/Documentation:

The application must supply evidence of legal authority to conduct pesticide compliance activities contemplated under the grant and a workable program

ASSISTANCE LISTINGS DESCRIPTIONS

officially adopted for the agency. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Discussions or informal meetings with Regional program offices concerning program preparation are advisable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance Awards through the Federal Assistance Awards Data System (FAADS).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. About 60 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Applicants must reapply annually.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects are normally funded for a 12-month period. Method of awarding/releasing assistance: other

Reports:

Per Annual Program Guidance, recipients of cooperative agreement funding must submit reports as required by the EPA assistance agreement regulations or as negotiated with the regions. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$24,000,000.00; FY 21 est

\$24,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$32,000 (territory) to \$1,202,000; average \$357,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Consolidated Pesticide Enforcement Cooperative Agreements are continuing environmental program grants. It is anticipated that 70 applications for pesticide enforcement grants will be received and 70 grants awarded in fiscal year 2021. Agencies participating in the federal cooperative agreement program receive funds to support and strengthen pesticide compliance monitoring, inspection, and enforcement activities. Typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and warning notices. The states will also develop implementation plans for special pesticide initiatives. States will be responsible for dissemination of information and materials related to these pesticide initiatives. States, territories, and tribes that are participating in the pesticide enforcement cooperative agreements program are expected to conduct approximately 75,000 compliance monitoring inspections in FY 2020. These inspections will be conducted at agricultural establishments, pesticide producers and retailers, ports of entry, at pesticide applicators, as well as at other site where pesticides were distributed, sold, or used. These grants fund state and tribal pesticide programs that are part of a consolidated agreement. As EPAs co-regulators, these pesticide programs work extensively with pesticide applicators, growers, commodity groups, as well as other government agencies to promote the proper use of pesticides and address pesticide issues. These programs conduct extensive technical assistance for all stakeholders as well as the general public, and provide outreach and education on EPAs national pesticide priorities such as worker protection, pollinator protection and emerging public health pesticide issues. If enforcement funds are included, these funds are used to enforce FIFRA.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes. Program guidance can be found at: Fiscal Year 2018-2021 FIFRA Cooperative Agreement Guidance (<https://www.epa.gov/compliance/fiscal-year-2018-2021-fifra-cooperative-agreement-guidance>).

Regional or Local Office:

None. Contact appropriate Headquarters Contact listed below.

Headquarters Office:

Program Analyst Michelle Yaras,
Environmental Protection Agency, Office of Enforcement and Compliance Assurance, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: yaras.michelle@epa.gov
Phone: 202-564-4153
Fax: 202-564-0085 Elizabeth Vizard,
Environmental Protection Agency, Office of Enforcement and Compliance Assurance, 1200 Pennsylvania Avenue, N.W. (Mailcode: 3204A),
Washington DC 20460
Email: vizard.elizabeth@epa.gov
Phone: 2025645940
Fax:

Website Address:

<https://www.epa.gov/compliance/federal-insecticide-fungicide-and-rodenticide-act-state-and-tribal-assistance-grant>

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

2021 State agencies participating in the federal/state cooperative agreement program receive funds to support and strengthen their pesticide compliance and enforcement programs, including pesticide compliance monitoring, inspection and enforcement activities as well as special pesticide initiatives activities. Typical program activities include inspections at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; inspections and investigations at ports of entry to assess compliance with import and export requirements; and initiation of appropriate enforcement action when violations were detected, including civil and criminal prosecution, stop sale orders, seizures, recalls and notices. Funds also support training activities.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to the following criteria: (1) State/Tribal Pesticide Activity: The relative amount of pesticide production, formulation and use in a State/Tribe, and the potential risk to human health and the environment from pesticide misuse or abuse; (2) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the comprehensive pesticide program; (3) Effectiveness of program: The past level and effectiveness of the State/Tribal pesticide program; (4) Need: The need for the development, improvement and/or maintenance of a comprehensive pesticides enforcement program within the State/Tribe that includes enforcement, new initiative programs, and programs that address existing environmental problems, potential problems, and/or existing exposed populations related to the use of pesticides; (5) Level of activity: As indicated by such factors as numbers of farms and numbers of applicators; (6) Magnitude of effort: Need to accomplish expected outputs and products; and (7) Ability to accomplish output goals.

66.701 Toxic Substances Compliance Monitoring Cooperative Agreements

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Toxics Substances Control Act, Section 404(g), Title Toxic Substances Control Act, Section 28(a)

OBJECTIVES:

The objectives of this assistance program are to: 1) assist Grantees, including States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, America Samoa, the Northern Marianas, the Trust Territories of the Pacific Islands, the Virgin Islands, and Indian Tribes, in developing and maintaining compliance monitoring programs to prevent or eliminate unreasonable risks to health or the environment associated with chemical substances or mixtures in their communities, specifically Lead-based paint, Asbestos, and PCB; 2) encourage regulatory activities within the Grantees communities to establish their own programs for Lead-based paint and Asbestos (waiver programs); and 3) provide funds to support enforcement activities for Asbestos waiver state programs and Lead-based paint programs. EPA may provide funding for cooperative compliance monitoring grants to Grantees under TSCA to conduct inspections to ensure compliance with the PCB regulations, the Asbestos-in-Schools requirements (inspections at charter schools, public schools, private, non-profit schools and religious schools), the Model Accreditation Plan (MAP), TSCA section 6 Ban and Phase Out Rule, and the TSCA Asbestos Worker Protection Standards and to conduct Lead-based paint activities. Authorized Grantees also conduct enforcement responses for the Lead-based program. Grantees that have obtained an EPA waiver from the Asbestos regulation (Subpart E of Part 763) also have enforcement responsibilities. Fiscal Year 2021 funding priorities for the TSCA PCB and Asbestos programs are: 1) ensure existing compliance monitoring programs continue to meet established standards; 2) promote activities that lead to waiver status for Asbestos programs; 3) ensure states meet EPA inspector training requirements necessary to obtain and keep EPA credentials in those programs which require the use of EPA credentials

(the PCB compliance monitoring programs and Asbestos programs in non-waiver states); 4) provide inspection activity where there is an identified need including, but not limited to, Children's Health and Environmental Justice areas.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants

USES AND USE RESTRICTIONS:

Grant funds are available for costs specifically incurred by Grantees to develop, enhance, and maintain comprehensive compliance monitoring/enforcement programs under TSCA, specifically Lead-based paint, PCBs, and Asbestos. Funded activities may include inspector training, inspection supplies, and laboratory costs, including personnel and equipment; reimbursement for salaries, travel, training, and per diem expenses for inspectors, analysts, and program managers; and other functions related to grant activities. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>. Assistance agreement awards under this program may involve generating or using environmental data, such as, environmental sampling, field measurements, and/or laboratory analyses. Further information on the "Policy to Assure the Competency of Organizations Generating Environmental Measurement Data Under Agency-Funded Assistance Agreements" should refer to the following website: <https://www.epa.gov/measurements-modeling>.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; For the Lead-based paint program, state agencies, Indian tribes, and tribal consortiums that have toxic substance compliance responsibilities, who have the authority to enter into these cooperative agreements, and who have their own lead laws in place are eligible to apply for assistance under the TSCA Compliance Monitoring Grant. For the PCB and Asbestos programs, Grantees should have toxic substance compliance responsibilities and be designated as the lead agency with the authority to enter into these cooperative agreements

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; For the Lead-based paint, PCB, and Asbestos programs: States, including the District of Columbia, the Commonwealth of Puerto Rico, Guam, America Samoa, the Northern Marianas, the Trust Territories of the Pacific Islands, the Virgin Islands, and Indian Tribes.

Credentials/Documentation:

The applicant must supply evidence of legal authority to conduct toxic substance compliance program activities contemplated under the grant and a workable program officially adopted for the agency. For those programs where inspections are conducted using EPA credentials, prior to conducting inspections under the Grant, the Grantee must have an authorization agreement and the inspectors must complete the minimum inspector training, including health and safety training, and conduct inspections pursuant to the September 2004 Guidance for "Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA," and any substantive policies or guidance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Discussions or informal meetings with Regional program offices concerning program preparation are advisable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <https://www.grants.gov>.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance awards through the Federal Assistance Awards Data System (FAADS).

Deadlines:

Contact the headquarters or regional location, as appropriate for application

ASSISTANCE LISTINGS DESCRIPTIONS

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications are due 60 days prior to the beginning of the next budget period

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Applicant must reapply annually.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. There are no cost-sharing or matching fund requirements for Lead-based Paint enforcement grants under Section 404(g). The PCB and Asbestos grants do require cost sharing. Under TSCA Section 28, no grant may exceed 75 percent of the establishment and operation costs of such a program during the period for which the grant is made. Thus, recipients must contribute 25 percent of the total costs for activities conducted under Section 28 of TSCA (i.e., the PCB and Asbestos grants). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are normally funded for a 12-month period. Method of awarding/releasing assistance: other

Reports:

As stated in the annual OECA TSCA National Grant Guidance, and as a term and condition to the grant award, grantees must submit mid-year and year-end reports. For inspections conducted using credentials issued by EPA to the state, territory, or tribal employee, the inspection report must be submitted to the regional office for review pursuant to the authorization agreements. Program reports are required. Progress reports are required. Expenditure reports are to be included with the End-of-Year reports Performance monitoring is required under this program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$4,759,000.00; FY 21 est \$4,760,000.00; FY 22 est \$4,855,000.00;

Range and Average of Financial Assistance:

In FY 2019, EPA began implementing a new formula, using a phased approach over three years (FY 2019-FY 2021). This approach establishes a weighted formula that emphasizes lead-based paint, maximizing environmental benefits and program performance. The new formula provides more specificity regarding multiple program areas including Lead Renovation, Repair and Repainting, Lead Abatement, and the Lead Hazard Information Pamphlet: Renovation of Target Housing programs. Within the asbestos program, more weight is given to asbestos grants for Grantees that obtain waivers from EPA in order to self-implement. The following are ranges of possible funding for: Lead: \$22,000 to \$48,000/year per authorized lead-based paint program and PCB and Asbestos: \$27,000 to \$55,000/year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For accomplishments under this assistance program, please visit: <https://www.epa.gov/compliance/toxic-substances-control-act-tsc-compliance-monitoring>.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (2 CFR Parts 200 and 1500); Environmental Protection Agency, State and Local Assistance (40 CFR Parts 35 Subpart A).

Regional or Local Office:

See Regional Assistance Locations. Contact appropriate EPA Headquarters Contact listed below.

Headquarters Office:

Kelly Engle,
Office of Compliance, Office of Enforcement and Compliance Assurance, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 2227A),

Washington DC 20460

Email: engle.kelly@epa.gov

Phone: 202-564-2059

Fax:

Website Address:

<https://www.epa.gov/compliance/toxic-substances-control-act-tsc-compliance-monitoring>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Grantee agencies participating in the Toxic Substances Compliance Monitoring grant program receive funds to support and conduct compliance monitoring (inspections) and enforcement activities, as appropriate for the specific program. Examples of program activities that may be funded include, targeting activities, inspections/investigations to evaluate compliance, follow-up inspections, initiation of appropriate enforcement action when violations are detected, inspector training, equipment for inspections, development of compliance monitoring strategies/targeting approaches, development of software or e-forms capable of automating inspection data collection activities in the field. The majority of the funds are used by the Grantees to conduct compliance monitoring inspections. Those Grantees that are authorized to enforce the Lead-based paint program or are "waiver" states under the AHERA program can use these funds to enforce the regulation, as appropriate.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to criteria including the following: (1) Need: The need for the development, improvement, and/or maintenance of a comprehensive compliance monitoring/enforcement program within the Grantee community; (2) Level of Activity: The amount of regulated chemicals and facilities, and the potential risk to human health and the environment; (3) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the compliance program; and (4) Effectiveness of program: The past level and effectiveness of the Grantee regulatory program, number of inspections conducted by the Grantee and number of trained inspectors that have completed the minimum training set forth in the September 30, 2004 "Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA."

66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals

State Lead Certification Grants,

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Toxics Substances Control Act, Part IV, Section 404(g)

OBJECTIVES:

The goal of EPA's lead-based paint program is to eliminate childhood lead poisoning. The program is comprised of four strategies designed to achieve the this goal 1) Establish standards to define where lead hazards are present in paint, dust and soil; 2) Give the public information about lead hazards and steps to protect themselves; 3) Ensure that information about known lead-based paint hazards is disclosed to individuals buying or renting pre-1978 housing, and that owners and occupants of pre-1978 housing are provided information on lead-based paint hazards before renovation activities take place; 4) Establish lead-safe work practice standards and require lead-based paint professionals and renovators who work in pre-1978 housing to be trained and certified. The program assists States, Tribes, and Territories in developing and carrying out authorized programs that: (a) certify contractors engaged in lead-based paint activities and accredit lead-based paint activities training programs; (b) certify contractors engaged in renovation, repair and painting activities that disturb painted surfaces in most target housing; and/or (c) require distribution of lead-hazard information prior to renovation (pre-renovation education program).Funding Priorities - Fiscal Year 2021: Continue to provide assistance to authorized State, Tribal, and Territorial lead-based paint activities training and certification programs and pre-renovation education programs. As appropriate, the Agency will use grant funding to provide assistance to a State, Tribal, or Territorial program looking to develop or administer an authorized Renovation, Repair, and Painting Program.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Assistance may be available for activities which relate to authorization under TSCA Title IV. Supported activities must contribute or lead to the attainment or maintenance of authorization pursuant to TSCA Title IV. Lead-based paint program grants are awarded 1) to develop and/or carry out an authorized lead-based paint activities program; 2) to develop and/or carry out an authorized lead pre-renovation education program; and 3) to develop and/or carry out an authorized renovation, repair and painting program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Eligible applicants for purposes of funding under these grant programs include any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any agency or instrumentally thereof exclusive of local governments (includes public institutions of higher education and hospitals).

Beneficiary Eligibility:

State any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any agency or instrumentally thereof exclusive of local governments may receive assistance under Section 404(g) of TSCA.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Discussions or informal meetings with the appropriate EPA Regional Office concerning program preparation are advisable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov.

Award Procedure:

Contact the appropriate EPA Regional Office for guidance on award procedures. Regional contacts for the EPA lead program can be found at:

<https://www.epa.gov/lead/contacts-epa-regional-offices-lead-poisoning-prevention-efforts>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days after deadline for application submission.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. Applicants must reapply for grant funding each fiscal year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements are normally funded for a 12-month period. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Quarterly performance reports submitted to regional office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants FY 20 \$14,049,000.00; FY 21 est \$14,275,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$16,000 to \$350,000; average of \$200,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: EPA's Lead Risk Reduction program contributes to the goal of eliminating childhood lead poisoning by: Establishing a national pool of certified firms and individuals who are trained to carry out renovation and repair and painting projects while adhering to the lead-safe work practice standards, and to minimize lead dust hazards created in the course of such projects; Establishing standards governing lead hazard identification and abatement practices and maintaining a national pool of professionals trained and certified to implement those standards; and, Providing information and outreach to housing occupants and the public so they can make informed decisions and take actions about lead hazards in their homes.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes. Information on policy, program guidance, and regulations for this assistance listing can also be found at: <https://www.epa.gov/lead/lead-policy-and-guidance>.

Regional or Local Office:

None. Regional contacts for the EPA Lead Program can be found at: <https://www.epa.gov/lead/contacts-epa-regional-offices-lead-poisoning-prevention-efforts>.

Headquarters Office:

Chief, Lead, Heavy Metals and Inorganics Branch, OPPT Michelle Price, Chief, Risk Management Branch 2, ECRMD/OPPT,

U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (3204A),

Washington DC 20460

Email: price.michelle@epa.gov

Phone: (202) 566-0744

Environmental Specialist Mike Wilson, Environmental Specialist,

U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (3204A),

Washington DC 20460

Email: wilson.mike@epa.gov

Phone: 202-566-0521

Fax:

Website Address:

<https://www.epa.gov/aboutepa/about-office-chemical-safety-and-pollution-prevention-ocsp#oppt>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Since FY 1993 EPA has provided Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint activities training and certification rule (40 CFR part 745, subpart L). The following is a list of previously funded activities: (a) core lead-based paint activities and enforcement capacity development; (b) developing the appropriate infrastructure to administer and enforce a lead-based paint activities program successfully; (c) overseeing the conduct of contractors engaged in lead-based paint activities; (d) overseeing and controlling training program activities; (e) ensuring compliance with requirements for post-abatement clearance sampling; (f) monitoring compliance with the work practice standards of regulations for the conduct of abatement; (g) implementing lead-based paint compliance assistance; (h) implementing the lead-based paint activities sampling techniques; and (i) implementing lead-based paint activities tracking tips and complaints efforts.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for awards under this assistance listing are available from the appropriate EPA Regional Office. Regional contacts for the EPA lead program can be found at: <https://www.epa.gov/lead/contacts-epa-regional-offices-lead-poisoning-prevention-efforts>.

66.708 Pollution Prevention Grants Program

P2 Grant Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Pollution Prevention Act of 1990, Section 6605

OBJECTIVES:

The P2 grant program was enacted under the Pollution Prevention Act of 1990 to:

1. Provide technical assistance (e.g., information, training and tools) to

businesses/facilities on source reduction/P2 techniques to help them adopt and implement source reduction/P2 approaches that may increase the development, adoption, and market penetration of sustainable manufacturing and processing practices and greener products. 2. Identify, develop, document, and share source reduction/P2 best management practices and innovations so the information generated may inform future technical assistance and encourage practices and innovations that may be replicated by others. The technical assistance provided must use P2/source reduction techniques to reduce and/or eliminate pollution from air, water and/or land prior to performing recycling, reuse, clean up or disposal activities. The P2 grant program may fund grants and/or cooperative agreements. Funding Priorities - Fiscal Year 2021: 1. To help businesses adopt P2 techniques into day-to-day operations to reduce and/or eliminate harmful pollutants from entering the air, water or land; 2. To encourage education and/or training in P2/source reduction techniques by adopting one or more of the P2 Program's National Emphasis Areas (NEAs). NEAs will be described in anticipated grant solicitation announcements; 3. To support P2 information sharing of best management practices and innovations among States, federally-recognized tribes, local technical assistance programs, businesses, and industry in order to replicate work of others.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funding under the P2 grant program helps businesses establish provide on-site technical assistance or training to businesses, support educational outreach and research endeavors, support data collection and analysis to curb environmental inefficiencies and help businesses significantly reduce facility overhead and/or production costs. P2 grants are authorized under the Pollution Prevention Act (PPA) of 1990 and supported through Congressional appropriation. Past grants have addressed the transfer of potentially harmful pollutants across all environmental media: air, water, and land and have supported the establishment and expansion of State and Tribal P2 programs under diverse topic areas, some of which include: industrial toxics usage, chemical substitution, energy reduction, reduction of hazardous waste and wastewater and reductions in water usage. For more information on the scope of this grant program, please refer to PPA Section 6603 and 40 CFR Sections 35.343 and 35.662.

Applicant Eligibility:

U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals), Federally Recognized Indian Tribal Governments, Intertribal Consortia, U.S. Territories and possessions, U.S. Territories and possessions (includes institutions of higher education and hospitals), any agency or instrumentality of a state, including colleges and universities of states, federally-recognized tribes that meet the requirements as described in 40 CFR 35.663, and Intertribal Consortia that meet the requirements in 40 CFR 35.504. Colleges and universities must be chartered, commissioned or publicly-owned/operated by the state or the federally-recognized tribe in order to be eligible. Colleges and universities must include documentation within their applications, which may include, but is not limited to: a state constitutional reference, college/university charter, W-7 tax form that has confirmed the college or university to serve as an instrumentality of a state or a federally-recognized tribe. For certain competitive funding opportunities under this assistance listing description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Student/Trainee; Graduate Student; Scientist/Researchers; Industrialist/ Business person; Small Business Person; School; Eligible applicants under this program are: State agencies, State colleges and universities that are instrumentalities of the State and federally-recognized Tribes. These eligible applicants are encouraged to establish partnerships with businesses and environmental assistance providers to deliver

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seamless P2 assistance. Over the years, the P2 Program has found that the most successful P2 grant applicants are those that make the most efficient use of their government funding. In many cases, this has been accomplished through securing grant partnerships. As a result, those who are eligible to apply directly for P2 grant funding can also provide P2 benefits to their grant partners and grant beneficiaries, which include, but are not limited to: States, interstate, intrastate, and local agencies or organizations/universities, federally-recognized Tribes, intertribal consortia, public or private nonprofit organizations/institutions, private businesses, trade associations, student interns (within undergraduate and graduate programs), quasi public nonprofit organizations, schools and the general public.

Credentials/Documentation:

In cases where the applicants credentials are not clear, the applicant must provide proof that the applicant is indeed a state agency/organization, federally-recognized tribe, or intertribal consortium. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for general information may be made to EPA Headquarters or to the appropriate EPA Regional Pollution Prevention Program office listed in the competitive announcement. Applicants will find procedures for applying by following the steps detailed in Section IV - Application and Submission Instructions of the competitive announcement. The competitive announcement may be found on EPA's Pollution Prevention Grant Programs page: <https://www.epa.gov/p2/grant-programs-pollution-prevention>. Applications must be submitted to the appropriate EPA Regional Pollution Prevention Program office in order to be considered for an award. Submitted application materials must abide by EPA grant policy and programmatic requirements in order to be considered for funding. Applicants, except in limited circumstances approved by the Agency, must submit initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. Generally EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to [EPAs] priorities, and availability of funds.

Formula and Matching Requirements:

Statutory Formula: Title Pollution Prevention Act of 1990, Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. The statutory match/cost share requirement for P2 and

PPIN grants is authorized by the Pollution Prevention Act of 1990. Eligible awardees receiving P2 or PPIN grant funds are required to match federal funding by at least 50 percent. As such, EPA will provide half of the total allowable project cost and the grantee will provide the remaining half. To further illustrate, if the total project cost is \$100,000, in order to meet the 50 percent cost share or match requirement, the grantee must be able to provide \$50,000 in cash or in-kind contributions in order to be eligible to receive \$50,000 in federal grant funds from EPA. Cash or in-kind contributions may include: dollars, in-kind goods and services, and/or third party contributions. When applying for P2 or PPIN grant funding, the applicant must note in their budget the application and use of matching funds. The match requirement may be applied at the time of award or at specified intervals during the project period. The grant project officer in the EPA Region will monitor the grantee's compliance addressing the match requirement. If the match requirement is not met or is not applied at specified intervals during the project period, federal funding will cease and the grantee may be held liable for all incurred costs. With concern to federally-recognized tribes or intertribal consortia only -- If a federally-recognized tribe or intertribal consortium is selected for a P2 grant award and tribe then chooses to place their approved P2 grant workplan in a performance partnership grant (PPG) agreement, the match or cost share requirement will be reduced from 50 percent to 5 percent. The reduction in match will be applied during the first two years of the PPG agreement. If applicable, after two years of funding, the EPA Regional Administrator will make the determination through objective assessment whether the federally-recognized tribe or intertribal consortium meets the socioeconomic needs test to warrant keeping the match/cost share at 5 percent. For further information on match and cost share requirements, please reference the applicable P2 or PPIN grant announcement that is posted to Grants.govMOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance agreements are awarded for an initial funding period of two years.

Method of awarding/releasing assistance: letter

Reports:

P2 grant reporting requirements may be found in the solicitation announcement to inform potential applicants and are also provided as part of the terms and conditions of grantees' federal assistance agreements. The terms and conditions detail the type of information grantees are to provide in their program reports/semi-annual progress reports and final technical reports. More information on P2 grant reporting and the type of information required can be found on: EPA's P2 Grant Programs page - Measuring P2 page: <https://www.epa.gov/p2/measuring-pollution-prevention> and the P2 Grant reporting web page: <https://www.epa.gov/p2/grant-programs-pollution-prevention#reporting>. Note: EPA provides excel-based reporting templates on its P2 Grant Programs web page:

(<https://www.epa.gov/p2/grant-programs-pollution-prevention#reporting>). The templates help grantees document three types of grant reporting: 1) technical assistance provided to one business, 2) green certification reporting and 3) technical assistance provided to multiple businesses. The templates also allow grantees to record actions taken to implement P2 practices. Separately, as part of the semi-annual progress and/or final technical report, EPA will require grantees to provide expenditure and financial reports, and equipment and inventory reports (if applicable). Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. The P2 and PPIN grant programs require grantees to submit budget information to the grant project officer. The information should be included in the grantees' semi-annual progress report. Refer to "Program Reports" Refer to "Program Reports". Final technical report describing work accomplished, milestones achieved or missed, P2 best practices implemented and/or shared, lessons learned, activities transferred to others to replicate and possible case study work accomplished. Refer to "Program Reports"

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$4,583,635.00; FY 21 est \$4,494,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

During the FY 2020 - FY 2021 P2 grant competition cycle, awards were issued in the range of: \$25,200 - \$498,217. The approximate average award size: \$216,125.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: During FY 2020 - FY 2021, EPA awarded 42 grants, in the amount of \$9,077,281 to support a two-year funding period (\$4,583,635 awarded in FY 2020 and FY \$4,493,646 awarded in FY 2021). For accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/p2/grant-programs-pollution-prevention#results>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes. Program Guidance for this assistance listing can be found at: <https://www.epa.gov/p2/grant-programs-pollution-prevention>.

Regional or Local Office:

See Regional Assistance Locations. Regional EPA Contacts: U.S. EPA Region 1 (CT, ME, MA, NH, RI, VT), 5 Post Office Square, Suite 100, Mail Code: OESO4-1, Boston, MA 02109-3912, Contact: Lee Fiske, phone: (617) 918-1847; email: fiske.lee@epa.gov. U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), 290 Broadway, 25th Floor, Mail Code: PSPMMB, New York, NY 10007-1866, Contact: Alex Peck, phone: (212) 637-3758; email: peck.alex@epa.gov. U.S. EPA Region 3 (DE, MD, PA, VA, WV, D.C.), 1650 Arch Street, Mail Code: 3E100, Philadelphia, PA 19103-2029, Contact: Peter Piergiiovanni, phone: (215) 814-3442; email: piergiiovanni.peter@epa.gov. U.S. EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN), Atlanta Federal Center, 61 Forsyth Street S.W., Atlanta, GA 30303, Contact: Pam Swingle, phone: (404) 562-8482; email: swingle.pamela@epa.gov. U.S. EPA Region 5 (IL, IN, MI, MN, OH, WI), 77 West Jackson Blvd, Mail Code: DW-8J, Chicago, IL 60604-3590; Contact: Christine Anderson, phone: (312) 886-9749; email: andersona.christine@epa.gov. U.S. EPA Region 6 (AR, LA, NM, OK, TX), 1201 Elm Street, Suite 500, Mail Code: LCRPT, Dallas, TX 75202, Contact: Annette Smith, phone: (214) 665-2127; email: smith.annette@epa.gov. U.S. EPA Region 7 (IA, KS, MO, NE), 11201 Renner Blvd, Lenexa, KS 66219, Contact: Andrew Wynne, phone: (913) 551-7993; email: wynne.andrew@epa.gov. U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), 1595 Wynkoop St, Mail Code: 8P-P3T, Denver, CO 80202-1129, Contact: Melissa Payan, phone: (303) 312-6511; email: payan.melissa@epa.gov. U.S. EPA Region 9 (AZ, CA, HI, NV, Pacific Islands and Tribal Nations subject to U.S. law), 75 Hawthorne Street, Mail Code: WST-7, San Francisco, CA 94105, Contact: Jessica Counts-Arnold, phone: 415-972-3288; email: counts-arnold.jessica@epa.gov. U.S. EPA Region 10 (AK, ID, OR, WA), 1200 Sixth Avenue, Suite 900, Mail Code: AWT-128, Contact: Kathleen Compton phone: (206) 553-0290; email: compton.kathleen@epa.gov.

Headquarters Office:

Michele Amhaz,
Office of Pollution Prevention and Toxics, Office of Chemical Safety and Pollution Prevention, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., (Mail Code: 3204A),
Washington DC 20460
Email: amhaz.michele@epa.gov

Phone: 202-564 8857

Fax: 202-564-8901

Website Address:

<https://www.epa.gov/p2/grant-programs-pollution-prevention>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The following is a sampling of funded projects issued under the FY 2020-FY2021 P2 grant cycle: 1) Providing technical assistance to businesses in the metal fabrication industry. The primary goal of the project is to help businesses to reduce the use of toxic chemical by identifying safer alternatives. The grantee will also help businesses reduce water and hazardous material use; and will provide outreach and educational materials in the form of webinars and presentations to industry. 2) Grantee and local partners will collaborating to assist chemical, metal, and food and beverage manufacturers in identifying P2 opportunities and implement cost-effective and energy-efficient technology-based solutions. The grantees team will prioritize manufacturing companies in environmental justice communities with historically higher health risks and proximity to contaminated sites. The grantee will partner with its team of technical assistance providers to determine best practices for each industry; provide direct pollution prevention assistance to businesses in the region through both on-site assessments and training; expand awareness of P2 strategies through educational workshops and online videos with TAPs; recognize solutions in an awards ceremony and certification program; and document and share best practices with the network. 3) Conducting research on safer chemical alternatives to perfluorinated and polyfluorinated alkyl substances (PFAS) in the carpet and food packaging industry sectors and educate manufacturers on their findings via webinars and roundtable meetings. The project seeks to inform these industries about the hazards found in formulations, tools to use in performing alternative and chemical hazard assessments, training in how to use the tools, and case studies of safer substitutions and a broad methodology for finding alternatives. 4) Providing training and technical assistance to 25 food and beverage manufacturers and another 20 businesses in auto repair and maintenance. The grantee will also encourage manufacturers and businesses to join a statewide green business program that hosts P2 trainings for brewers, generalized P2 for food manufacturers and automotive repair and maintenance shops. 5) Pairing trained student P2 interns with businesses to provide direct technical assistance by researching current practices and recommending P2 opportunities. The P2 interns and the grantees staff work with businesses for 10 weeks, then transfer that assistance through presentations at a roundtable and workshop, conferences, case summaries/studies, webinars, and on-site activities to promote replication. The grantee will also provide specific technical assistance available to businesses seeking information about source reduction opportunities and will produce a video series, 60-seconds to P2" to promote P2 best practices and its P2 technical assistance approaches. In addition, the grantee will implement an awareness and recognition project to educate businesses and communities, enabling them to implement P2 practices to improve environmental stewardship by adopting more efficient, sustainable, and protective policies, practices, materials, and technologies.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in anticipated competitive announcements posted to [Grants.gov](https://www.epa.gov/grants).

66.714 Pesticide Environmental Stewardship Program (PESP) Grants

PESP Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), Public Law 106-74

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The objective of this program is to encourage smart, sensible and sustainable pest control in agriculture. The Pesticide Environmental Stewardship Program (PESP) grants initiative, an extension of the PESP, will enable grantees to implement sustainable pest management practices that align with the Agency's strategic goal of providing a cleaner and healthier environment for all Americans. Funding Priority - Fiscal Year 2021: The Pesticide Environmental Stewardship Program (PESP) grants initiative will support projects that focus on sustainable pest management approaches, reduce the risks associated with pesticide use in agricultural pest management, and integrated pest management (IPM) implementation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Grants may be used to fund projects that further the implementation of smart, sensible and sustainable pest control and Integrated Pest Management (IPM) implementation in agriculture. The type of projects that will be considered include outreach, demonstration, education, partnerships, research, and related activities. Projects must include efforts to implement sustainable/IPM practices which lead to pest and pesticide risk reduction. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Assistance under this competition is available to the 50 States, District of Columbia, U.S. Virgin Islands, Commonwealth of Puerto Rico, any territory or possession of the United States, any agency or instrumentality of a State including State universities, and all Federally recognized Native American Tribes. Local governments, private universities, private nonprofit entities, private businesses, and individuals are not eligible. For-profit organizations are not eligible. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are also not eligible to apply. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

U.S. Territories; Native American Organizations; State; Any State, U.S. Territory/Possession, Native American Organization, or other entities working in conjunction with these eligible applicants.

Credentials/Documentation:

Key officials should have and document appropriate work experience or education to effectively carry out the proposed work plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a project period not to exceed 2 years. Method of awarding/releasing assistance: letter

Reports:

Organizations that are awarded grant/cooperative agreement funds will be required to submit progress reports, as frequently as quarterly or at least annually, during the life of the project, as directed by the EPA Project Officer. Each report will summarize funds expended, tasks accomplished, and results achieved to date. A summary final report will also be required at the end of the project period. This final report should include a discussion of the prospects of continuation, further development of the effort, project evaluation and future direction. Expenditure information should be included in the progress report and the final technical report. Explanation of budget, resources and expenditures must be provided. A final technical report is due at the completion of the federal funding period of the grant or cooperative agreement. The performance report should note milestones achieved, environmental results, lessons learned, transferability of information to others and possible inclusion of case studies highlighting project activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, and other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If any litigation, claim or audit is started before the end of the 3-year period, the records must be retained until the litigations, claims or audit findings have been resolved.

Account Identification:

68-0103-0-1-304. 68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

In FY2021, EPA expects to award approximately \$1 million through the PESP grant initiative. EPA will support 10 projects one from each EPA region. Prior to FY2021, this grant program had not been funded in several years.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

68-0103, 68-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Jennifer Lemon,
Biopesticides and Pollution Prevention Division, Office of Pesticide Programs,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204P),
Washington DC 20460
Email: info_pesp@epa.gov
Phone: 703-347-0336
Fax:

Website Address:

<https://www.epa.gov/pepp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.716 Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies

RESEARCH, DEVELOPMENT, MONITORING, PUBLIC EDUCATION, OUTREACH, TRAINING, DEMONSTRATIONS, AND STUDIES,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Toxic Substances Control Act, Section 10(a), Public Law 106-74, National Environmental Policy Act, Section 102(2)(F), Title Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 20(a), Public Law 106-74

OBJECTIVES:

Grants are awarded to support research, development, monitoring, public education, outreach, training, demonstration, and studies relating to the protection of public health and the environment from pesticides, and potential risk from toxic substances. Through innovative partnerships and collaboration, we also work to prevent pollution before it begins. This reduces waste, saves energy and natural resources, and leaves our homes, schools and workplaces cleaner and safer. Funding Priorities - Fiscal Year 2021: For the Office of Pesticide Programs (OPP)/CWPB priorities include: Engaging all stakeholders; National Farmworker Training Program; National Pesticide Information (NPIC); Pesticide Educational Resources Collaborative (PERC); Pesticide Educational Resources Collaborative - Medical (PERC-Med); and Powered Up: An Advanced PSFMP Management System. In FY21, the Office of Pollution Prevention and Toxics (OPPT) will continue to support the National Toxics Tribal Council (NTTC) and OECD Environment, Health and Safety Program.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Assistance may be used to provide: Pesticide training to farmworkers, pesticide handlers and pesticide applicators; Outreach to persons in the medical field on how to identify and treat possible pesticide poisoning and illness; Bring EPA partners and stakeholders together to cooperate on pesticide regulatory implementation; Develop materials and resources on compliance with, and implementation of, federal pesticide regulations; Assistance to foreign entities to provide support to EPA.

Applicant Eligibility:

U.S. Territories and possessions; State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); For grants to foreign entities under this assistance listing, the statutory authority is either TSCA 10(a) or FIFRA 20(a) in conjunction with NEPA 102(2)(F). For certain competitive funding opportunities under this assistance listing the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible applicants for purposes of funding under these grant programs include any state of the United States, the District of Columbia, Native American Organizations, the Commonwealth of Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, any agency or instrumentally thereof exclusive of local governments (includes public institutions of higher education and hospitals), and foreign governments and to United Nations agencies and similar International Agencies for international environmental activities.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Applicants may be requested to demonstrate that they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. Non-profits are required to demonstrate non-profit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, Requests for Applications (RFA) will specify application procedures.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. Generally, EPA incrementally funds grants and cooperative agreements for research, development, monitoring, public education, training, demonstrations and studies. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Method of awarding/releasing assistance: letter

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirement are also identified in the at 2 CFR 200 and 1500, as applicable. Quarterly and annual progress reports on activities, in accordance with Federal Grant Regulations 2 CFR 200.239. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 2 CFR 200.238. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 2 CFR 200.329.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 200.333 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and

cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304. 68-0103-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$800,000.00; FY 21 est \$6,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

For OPP: \$1,000 to \$1,500,000, Average: \$500,000; For OPPT: \$80,000 to \$250,000, Average: \$165,000

TAFS Codes:

68-0103. 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/pesticides/pesticide-cooperative-agreements>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR 45 (EPA Training Assistance. NOTE: Federal Insecticide, Fungicide, Rodenticide Act (FIFRA), Section 20(a), is not one of the statutes subject to 40 CFR 45.

Regional or Local Office:

See Regional Assistance Locations. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office and the Headquarters program contacts listed below. For information on Regional Contacts, please visit: <https://www.epa.gov/pesticide-contacts/pesticide-contacts-our-regional-offices>.

Headquarters Office:

Edna Kapust,
Office of Chemical Safety and Pollution Prevention, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A), Washington DC 20460

Email: kapust.edna@epa.gov

Phone: 202-564-8818

Website Address:

<https://www.epa.gov/pesticides/pesticide-cooperative-agreements>

RELATED PROGRAMS:

66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act;

EXAMPLES OF FUNDED PROJECTS:

2021 The types of projects funded under this assistance listing include projects to develop materials, conduct outreach activities and/or technical assistance, and offer training and education programs. Examples include, but are not limited to: outreach and education to a specific or unique population (e.g., WPS pesticide safety take home exposure prevention lessons to farmworker families; WPS handler training to a group of agricultural pesticide handlers; WPS pesticide safety training to a group of farmworkers whose main language is Mixteco), testing the effectiveness of a method or material with the target audience (e.g., focus groups with farmworkers on the effectiveness and cultural acceptability of posters, flipcharts, or videos), or information gathering on issues or needs (e.g., a paper on challenges and training needs of agricultural pesticide handlers and proposed action); Increase tribal engagement with consultation and coordination on national chemical risk assessment, risk management policy and pollution prevention initiatives by enhancing tribal understanding, participation, and input on regulatory issues and decisions; Serve as a clearinghouse for tribal chemical risk assessment, risk

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management and pollution prevention codes, laws, regulations, programs and policies, as well as identifying related education, technical assistance and training materials needs for all tribes, Alaska Native Villages, and intertribal organizations.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.717 Source Reduction Assistance

SRA Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Toxic Substances Control Act, Section 10 (a), Public Law 106-74, Title Clean Air Act, Section 103, Title Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 20 (a), Public Law 106-74, Title Clean Water Act, Section 104, Title Safe Drinking Water Act, Section 1442, Title Solid Waste Disposal Act, Section 8001

OBJECTIVES:

The goal of the SRA program is to award grants that support research, investigation, study, demonstration, education and training using source reduction approaches (also known as pollution prevention and herein referred to as P2). P2 means reducing or eliminating pollutants from entering any waste stream or otherwise released into the environment prior to recycling, treatment, or disposal. This program provides applicants the opportunity to utilize hands on practical P2 tools, information and/or innovative P2 approaches to measurably improve the public health and the surrounding environment, by reducing the use of hazardous substances, reducing toxic pollutants, supporting efficiencies in reducing resource use (e.g., water and energy), and reducing business expenditures and liability costs. Funding Priorities - Fiscal Year 2021: The Pollution Prevention (P2) Program has adopted five national emphasis areas (NEAs) for the FY 2020/2021 grant cycle. Grant projects selected for award must support at least one of these NEAs. Establishing these grant priorities is intended to help focus resources to generate more impactful and measurable results, and to facilitate sharing of information among grantees working on similar issues. The following NEAs offer significant opportunities for pollution prevention, economic and environmental impact: NEA #1: Food and Beverage Manufacturing and Processing; NEA #2: Chemical Manufacturing, Processing and Formulation; NEA #3: Automotive Manufacturing and Maintenance; NEA #4: Aerospace Product and Parts Manufacturing and Maintenance; NEA #5: Metal Manufacturing and Fabrication. For more information on the P2 National Emphasis Areas (NEA), visit: <https://www.epa.gov/p2/p2-national-emphasis-areas-neas>.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Source reduction projects can include but are not limited to: 1) improving facility and institutional operations that reduce hazardous material use and exposure, 2) reformulating and procuring products to reduce toxics, 3) providing direct technical assistance to businesses and other organizations, 4) encouraging green product design and manufacturing, 5) conducting outreach, 6) collecting and analyzing P2 data, and 7) integrating P2 concepts into state, regional and tribal environmental multimedia programs. Grant activities must be consistent with the grant guidelines of the statutory authorities listed for this program. The statutory authorities are listed in this assistance listing and in the applicable grant solicitations. SRA assistance agreements must carry out activities within the terms of EPA's statutory authorities. The statutes authorize the agency to award grants or cooperative agreements using methods of: research, investigations, experiments, education, training, surveys, studies and/or demonstration of innovative techniques. SRA assistance agreement activities must relate to gathering or transferring information or advancing awareness. Applications must emphasize this "learning" concept, as opposed to "fixing" an environmental problem using a well-established method. Examples of unacceptable grant applications involve: recycling, treatment, clean-up, disposal and/or energy recovery projects. In addition, demonstrations of P2

practices must involve new or experimental technologies, methods, or approaches, where the results of the project will be disseminated so that others can benefit from the knowledge gained in the demonstration project. A project that is accomplished through the performance of routine, traditional, or established practices, or a project that is simply intended to carry out a task rather than transfer information or advance the state of knowledge, however worthwhile the project might be, is not a demonstration.

Applicant Eligibility:

U.S. Territories and possessions; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Eligible applicants for purposes of funding under the grant programs administered under this Assistance Listing announcement include: the fifty states, the District of Columbia, the United States Virgin Islands, the Commonwealth of Puerto Rico, any territory or possession of the United States, local governments, city or township governments, independent school district governments, state controlled institutions of higher education, non-profit organizations (other than institutions of higher education), private institutions of higher education, community-based grassroots organizations, and federally-recognized tribes and intertribal consortia. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Education Professional; Student/Trainee; Industrialist/ Business person; Small Business Person; Any state, federally-recognized tribal government, intertribal consortia, college/university, non-profit organization, local government, independent school district, student interns (within undergrad and graduate school programs), for profit businesses, and the general public.

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contacts" in the competitive announcement.

Application Procedures:

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2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. This assistance listing is designed to benefit national and regional source reduction, pollution prevention, and resource conservation activities. Applicants will find procedures for applying by following the steps detailed in Section IV - Application and Submission Instructions of the competitive announcement. The competitive announcement may be found on EPA's Pollution Prevention Grant Programs page: <https://www.epa.gov/p2/grant-programs-pollution-prevention#sra>, and on Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 5%. Requirement: Matching requirements are mandatory. EPA requires a minimum 5% match of the total allowable project cost. For example, the Federal government will provide 95% of the total allowable cost of the project and the recipient will provide the remaining 5%. The match may be issued in the form of cash and/or in-kind contributions, e.g., donated services, charges for real property and equipment or the value of goods and services directly benefiting the EPA funded project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance agreements are awarded for an initial funding period of two years. Method of awarding/releasing assistance: other

Reports:

SRA grant reporting requirements may be found in the competitive announcement to inform potential applicants and are also provided as part of the terms and conditions of grantees' federal assistance agreements. The terms and conditions detail the type of information grantees are to provide in their program reports/semi-annual progress reports and final technical reports. More information on SRA grant reporting and the type of information required can be found on: EPA's Pollution Prevention Grant Programs - Measuring P2 page:

<https://www.epa.gov/p2/measuring-pollution-prevention> and the Grant reporting page: <https://www.epa.gov/p2/grant-programs-pollution-prevention#reporting>.

Note: EPA provides excel-based reporting templates on its Pollution Prevention Grant Programs web page: (<https://www.epa.gov/p2/grant-programs-pollution-prevention#reporting>). The templates help grantees document three types of grant reporting: 1) technical assistance provided to one business, 2) green certification reporting and 3) technical assistance provided to multiple businesses/entities. The templates also allow grantees to record actions taken to implement P2 practices. Separately, as part of the semi-annual progress and/or final technical report, EPA will require grantees to provide expenditure and financial reports, and equipment and invention reports (if applicable). Specific reporting requirements are also

identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Budget information should be submitted to the grant project officer. This information should be included in the semi-annual progress report and the final technical report. Semi-annual progress reports marking milestones. Also refer to "Program Report". An explanation of budget, resources and expenditures should be included in the semi-annual progress report and the final technical report. Also refer to "Program Reports". A final technical report is due at the completion of the federal funding period of the grant or cooperative agreement. The performance report should note milestones achieved, environmental results, lessons learned, transferability of information to others and possible inclusion of case studies highlighting project activities. Also refer to "Program Reports"

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$649,691.00; FY 21 est \$517,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

During the FY2020 - FY 2021 national SRA grant competition cycle, awards were issued in the range of: \$43,536-\$174,946. The approximate average award size: \$106,089.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: During the FY2020 - FY2021 national SRA grant competition cycle, EPA awarded 11 grants in the amount of \$1,166,986 to support a two-year funding period (\$649,691 in FY2020 and \$517,295 in FY2021). For accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/p2/grant-programs-pollution-prevention#sra>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 45 (EPA Training Assistance). Source Reduction Assistance Grant Guidance for Potential Applicants can be found at: <https://www.epa.gov/p2/grant-program-guidance-applicants>.

Regional or Local Office:

See Regional Assistance Locations. U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), 290 Broadway, 25th Floor, Mail Code: SPMMB New York, NY 10007-1866; Contact: Alex Peck, phone: (212) 637-3758; email: peck.alex@epa.gov. U.S. EPA Region 3 (DC, DE, MD, VA, PA, WV), 1650 Arch Street (3LC40) Philadelphia, PA 19103; Contact: Peter Piergiovanni, phone: (215) 814-3442; email: piergiovanni.peter@epa.gov. U.S. EPA Region 5 (IL, IN, MI, MN, OH, WI), 77 West Jackson Blvd, Mail Code: DW-8J, Chicago, IL 60604-3590; Contact: Christine Anderson, phone: (312) 866-9749; email: andersona.christine@epa.gov. U.S. EPA Region 6 (AR, LA, NM, OK, TX), 1201 Elm Street, Suite 500, Mail Code: LCRPT, Dallas, TX 75202; Contact: Annette Smith, phone: (214) 665-2127; email: smith.annette@epa.gov. U.S. EPA Region 7 (IA, KS, MO, NE), 11201

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Renner Blvd, Lenexa, KS 66219; Contact: Andrew Wynne, phone: (913) 551-7993; email: wynne.andrew@epa.gov. U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), 1595 Wynkoop St, Denver, CO 80202-2466; Mail Code: 8P-P3T, Contact: Melissa Payan, phone: (303) 312-6511; email: payan.melissa@epa.gov. U.S. EPA Region 9 (AZ, CA, HI, NV, and Pacific Islands and Tribal Nations subject to U.S. law), 75 Hawthorne Street, Mail Code: WST-7, San Francisco, CA 94105; Contact: Jessica Counts-Arnold, phone: 415-972-3288; email: counts-arnold.jessica@epa.gov. U.S. EPA Region 10 (AK, ID, OR, WA), 1200 Sixth Avenue, Suite 900, Seattle, WA 98101; Mail Code: AWT-128, Contact: Kathleen Compton, phone: (206) 553-0290; email: compton.kathleen@epa.gov.

Headquarters Office:

Michele Amhaz, Program Manager,
Office of Pollution Prevention and Toxics, Pollution Prevention Division,
Environmental Protection Agency, 1200 Pennsylvania, Ave., N.W., (3204A),
Washington DC 20460
Email: amhaz.michele@epa.gov
Phone: (202) 564-8857

Website Address:

<https://www.epa.gov/p2/grant-programs-pollution-prevention#sra>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The following is a sampling of funded projects issued under the national FY2020-FY2021 SRA grant competition cycle: 1) Grantee working with several industry and agency partners to present a model for water and energy conservation in the agrochemical and pharmaceutical industry that includes facilitating pollution prevention education, informing local industry of the disparity between water supply and demand, creating a regional partnership network, and encouraging a culture of conservation. This project will employ real-time monitoring in manufacturing facilities to detect corrosion, microbial activity, salt content, and other contaminants that can adversely affect water and energy consumption. 2) Providing four food and beverage manufacturers with industrial assessments recommending actions that will minimize operating costs and reduce the use of water, energy, and hazardous substances in their processes. The grantee will also create a new intern pollution prevention program and will develop a best management practices training manual as part of this effort. 3) Grantee helping businesses and industrial facilities to improve their environmental performance by providing four P2 internships and produce three videos demonstrating use of P2 monitoring equipment. Videos will feature a P2 staff person demonstrating the use of a piece of equipment, explaining the equipments purpose, and how it is used in P2 projects. The videos will also discuss how to apply the data once collected to make a P2 recommendation. The grantee will also conduct on-site assessments, follow up assistance, and other general outreach for businesses. Businesses will also benefit from the grantees workshop series, annual webinar, electronic P2 Resources Library, and P2 Infohouse. 4) Providing a series of online trainings to promote P2 strategies and helping chemical and metal manufacturers implement pollution prevention techniques that will reduce hazardous chemical use and waste. The grantees internship program will enlist University engineering students and will identify projects that will result in the reduction or elimination of hazardous substances generated. The grantee anticipates recruiting 30 manufacturers through marketing programs, create two sector-specific promotional videos to help recruit manufacturers, prepare training modules to be presented online, conduct trainings with follow-up calls and recommendations, and conduct a minimum of two projects with businesses to reduce or eliminate the use of hazardous chemicals. 5) Grantee, in partnership with states within their region, will conduct P2 activities in to reduce the use of chemicals regulated under the Toxic Chemicals Control Act (TSCA) such as perchloroethylene (PERC), trichloroethylene (TCE), methylene chloride (MC) and n-propyl bromide (nPB), which are commonly used in auto repair. The grantee will provide webinar trainings, meetings and roundtable conferences, industrial on-site assessments, and educational resources such as checklists, fact sheets and case studies. The grantee will give P2 assessment training to interns and project participants, assisting as necessary with on-site P2 assessments, and advising participating businesses and interns. The grantee will conduct one webinar in safer alternatives in auto repair in the first year of the project, and a second webinar targeting breweries for P2 technical assistance in wastewater in the second year.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.801 Hazardous Waste Management State Program Support

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Solid Waste Disposal Act, Section 3011(a) & (c), 42 USC Code 6908(a). Title Further Additional Supplemental Appropriations for Disaster Relief Requirements Act, 2018, , Public Law 115-123, Title Additional Supplemental Appropriations for Disaster Relief Act of 2019, , Public Law 116-20

OBJECTIVES:

To assist State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste. Funding also supports states in their development and implementation of a Coal Combustion Residuals (CCR) permitting program. In addition, in FY 2022 this listing includes a proposed pilot of a new Solid Waste Infrastructure for Recycling (SWIFR) financing program focused on improving solid waste management infrastructure and post-consumer materials management using the new authority provided in the Save our Seas 2.0 Act, which was passed by Congress in December 2020. Funding Priority - Fiscal Year 2021 and Fiscal Year 2022: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste. Funding also support states in their development and implementation of a Coal Combustion Residuals (CCR) permitting program. In addition, in FY 2022 this listing includes a proposed pilot of a new Solid Waste Infrastructure for Recycling (SWIFR) financing program focused on improving solid waste management infrastructure and post-consumer materials management using the new authority provided in the Save our Seas 2.0 Act, which was passed by Congress in December 2020.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Under Section 3011, funds may be used for development and implementation of authorized State hazardous waste programs. Under Public Law 115-123 funds may be used for necessary expenses related to the consequences of Hurricanes Harvey, Irma, and Maria for hazardous waste financial assistance grants and for other solid waste management activities.

Applicant Eligibility:

U.S. Territories and possessions; Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State agencies responsible for hazardous waste management within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and interstate agencies established by the appropriate states and approved by the EPA Administrator under Section 1005.

Beneficiary Eligibility:

U.S. Territories; Interstate; State;

Credentials/Documentation:

The State work plan is to be sent to the appropriate EPA Regional Office by the date 60 days before the beginning of the budget period (typically by August 1 of each year, if the budget period is the Federal fiscal year). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for

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more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.epa.gov/grants).

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevancy of the project or program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. For applicants under Section 3011, 60 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. For applicants under Section 3011, grants and cooperative agreements are renewed annually, provided all requirements are met.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. This program does not have a statutory formula. However, EPA allocates funds based on formulas contained in regulations or program guidance. By law, all States and trust territories are eligible for funding. The formula is based on each State's population (17 percent); (LQGs) number of facilities producing waste over a defined amount (12 percent); number of hazardous waste management facilities that could require permitting or enforcement work (37 percent); and the number of facilities requiring corrective action (34 percent). Matching Requirement: Maximum Federal share of approved costs is 75 percent; State must provide at least 25 percent of approved costs. Regional Administrators will determine each State allotment.) Funds expended under in Public Law 115-123 in EPA Region 2 shall not be subject to matching or cost sharing requirements. This program has MOE requirements, see funding agency for further details. Additional Information:

Length and Time Phasing of Assistance:

Grants are normally funded on a 12 month basis (Federal or State fiscal year). The total project period varies according to program requirements. Method of awarding/releasing assistance: lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Program reports are required on an annual basis. Cash reports are required. Interim and final progress reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial reports, including all documents to support entries on the accounting records and to substantiate charges to each grant or cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts in an institution. All records must be maintained for 3 years from the date of the submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$106,734.00; FY 21 est \$101,200.00; FY 22 est \$111,200.00;

Range and Average of Financial Assistance:

Most fiscal years range \$400,000 to \$8,700,000; average: \$2,000,000

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In fiscal year 2020, States continued to develop and implement programs providing cradle to grave control of hazardous wastes (under Section 3011). For additional information on accomplishments under this assistance listing, please visit: <https://www.epa.gov/environmental-topics/land-waste-and-cleanup-topics>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

See Regional Assistance Locations. State agencies are encouraged to communicate with the appropriate EPA Regional Office. Visit:

<https://www.epa.gov/aboutepa/regional-and-geographic-offices>.

Headquarters Office:

Wayne Roepe,

Office of Resource Conservation and Recovery, Office of Land and Emergency Management, U.S. EPA Headquarters, 1200 Pennsylvania Avenue, N. W. (Mail Code: 3204P),

Washington DC 20460

Email: roepe.wayne@epa.gov

Phone: 703-308-8630

Website Address:

<https://www.epa.gov/hw>

RELATED PROGRAMS:

66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support;

EXAMPLES OF FUNDED PROJECTS:

2021 This assistance listing includes State projects to develop and implement a hazardous waste program designed to meet the substantive and procedural requirements of an "authorized" program. Specific types of activities conducted by states include 1) issuance of hazardous waste management permits, 2) oversight of corrective action at hazardous waste management facilities, 3) inspections of hazardous waste management facilities, 4) inspections of hazardous waste generators, and 5) waste minimization activities. For more information on the types of projects funded under this assistance listing, please visit: <https://www.epa.gov/hw>.

CRITERIA FOR SELECTING PROPOSALS:

The applicant's annual work program provides the basis for determining whether

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the applicant's hazardous waste program continues to be eligible for approval and is being implemented by the applicant (Section 3011).

66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title The Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(d)(1) & 104(c)(3)(C) - ONLY WHEN INCLUDING REMEDIAL ACTIONS, Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104 (d)(1) - FOR ALL OTHERS

OBJECTIVES:

To (1) conduct site characterization activities at potential or confirmed hazardous waste sites; (2) undertake response planning and implementation actions at sites on the National Priorities List (NPL) to clean up the hazardous waste sites that are found to pose hazards to human health; and (3) effectively implement the statutory requirements of CERCLA 121(f) which mandates substantial and meaningful State involvement. Funding Priorities - Fiscal Year 2021: Funding must be used at specific hazardous waste sites to: (1) conduct non-time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., cleanup) at uncontrolled hazardous waste sites as listed on the National Priorities List (NPL); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and (8) oversee PRP cleanups.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Site-specific work within EPA's Superfund Remedial Program. More specifically, funding may be used to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and, (8) oversee PRP cleanups. Funding may not be used to conduct tasks or activities not authorized by CERCLA. Funds may not be used for non-site-specific Core Program activities (see assistance listing 66.809). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia and political subdivisions.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500 must be used for this program. Consultation and preapplication conference(s) are recommended.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.grants.gov).

Award Procedure:

EPA regional Superfund program offices will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless redelegated to the Regional Division Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately ninety (90) days.

Appeals:

Other. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Extensions are available but not guaranteed. Applications must be submitted for additional funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. No match (cost share) is required for site assessment or remedial planning at any site if the site was privately owned and operated at the time of disposal of hazardous wastes. For remedial cooperative agreement: 10 percent state, 90 percent federal, if the site was privately owned and operated at the time of disposal of hazardous wastes. Minimum 50 percent state, 50 percent federal, of all response costs if the site was State/locally operated at time of any disposal of hazardous waste (Note: percentage may vary). See CERCLA section 104(c)(3). Tribal governments are not required to share in the costs of Superfund actions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds awarded for duration of project, subject to time constraints specified by EPA. Money is released on a draw down basis. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 2 CFR Part 200 and 1500. In accordance with 40 CFR 35.6650, these reports will contain at a minimum: a) an explanation of work accomplished during the reporting period, delays, or other problems, if any, and a description of the corrective measures that are planned b) a comparison of the percentage of the project completed to the project schedule, and an explanation of significant discrepancies c) a comparison of the estimated funds

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spent to date to planned expenditures and an explanation of significant discrepancies d) an estimate of the time and funds needed to complete the work required in the Cooperative Agreement, a comparison of that estimate to the time and funds remaining, and a justification for any increase. The progress reports shall be due no later than 60 days after the end of the reporting period; the final progress report is due 90 days after the expiration or termination of the cooperative agreement. Financial status reports are required. Performance Monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR Part 200 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten year period, whichever is later.

Account Identification:

68-8145-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$68,000,000.00; FY 21 est \$68,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range: \$0 to \$6,100,000; Approximate Average: \$27,000.

TAFS Codes:

68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Fiscal Year 2020, EPA Regional Offices awarded cooperative agreements to lead cleanup actions, or to support EPA-lead cleanup actions, at hazardous waste sites. Cooperative agreements were awarded to lead the evaluation of newly discovered sites, to assess and investigate sites that have been identified as needing further action, to select, in partnership with EPA, the appropriate technologies and cleanup actions for these sites, to design the selected technologies and cleanup actions, and to construct the designed remedy. Funding was used to start or continue long term remedial actions to treat ground water where remediation goals have not yet been reached. Finally, funding was provided to meaningfully and substantially participate in cleanup actions where EPA led the cleanup, and to conduct statutorily required five-year reviews. For accomplishments under this assistance listing, please visit:

<https://www.epa.gov/superfund/superfund-remedial-annual-accomplishments>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35, Subpart O (Cooperative Agreements and Superfund State Contracts for Superfund Response Actions)

Regional or Local Office:

See Regional Assistance Locations. Applicants are encouraged to communicate with the appropriate EPA Regional Office. Visit:

<https://www.epa.gov/aboutepa/regional-and-geographic-offices>.

Headquarters Office:

Ellyn Fine,

Environmental Protection Agency, Office of Land and Emergency Management,
1200 Pennsylvania Avenue, N.W. (Mail Code: 3204P),
Washington DC 20460

Email: fine.ellyn@epa.gov

Phone: 703-603-8714

Fax:

Website Address:

<https://www.epa.gov/superfund>

RELATED PROGRAMS:

66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements;
66.961 Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core);

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of projects funded under this assistance listing include: Non-time critical removal actions, preliminary assessments, site inspections, remedial investigations, feasibility studies, remedial design and remedial actions at sites listed on the National Priorities List (NPL) and five year reviews. For additional information on the types of projects funded under this assistance listing, please visit: <https://www.epa.gov/superfund>.

CRITERIA FOR SELECTING PROPOSALS:

For fund-lead remedial action, the site must appear on the National Priorities List of the National Oil and Hazardous Substances Contingency Plan (NCP) (40 CFR 300). Removal actions require a planning period of six months or more. Each project is examined and selected on a case-by-case basis based upon site ranking, availability of cost share from the State (remedial action), availability of funds, receipt of application and other criteria as determined by EPA.

66.804 Underground Storage Tank (UST) Prevention, Detection, and Compliance Program

UST Prevention, Detection and Compliance Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Solid Waste Disposal Act, Section 2007(f)(2), Title Solid Waste Disposal Act, Section 9011, 42 USC Code 6991. Title Solid Waste Disposal Act, Section 9013 & EPA's Annual Appropriation Acts

OBJECTIVES:

To assist states, territories, tribes or intertribal consortia (leaking underground storage tank prevention only for tribes or intertribal consortia) that meet the requirements at 40 CFR 35.504 to develop and implement underground storage tank (UST) programs and for leak prevention, compliance, and other activities authorized by the Energy Policy Act (EPAct) of 2005, Public Law 105-276, and EPA's annual appropriations acts. Funding Priorities - Fiscal Year 2021 and 2022: Assistance agreements to states under Section 2007 of the Solid Waste and Disposal Act will support core program UST activities, implement their leak prevention and detection programs, as well as the 2005 Energy Policy Act (EPAct) leak prevention activities. High priority tasks include providing financial assistance to states for the following: 1) adopting and implementing EPA's recently-released regulatory revisions; 2) approving specific technologies to detect leaks from tank systems; 3) ensuring that tank owners and operators are complying with notification and other requirements; 4) ensuring equipment compatibility; 5) conducting UST inspections; 6) implementing operator training; 7) prohibiting delivery for non-complying facilities; 8) seeking state program approval to operate the UST program in lieu of the federal program; and 9) requiring secondary containment. Leaking underground storage tank (LUST) prevention assistance agreements to states, tribes, or intertribal consortia for activities authorized by EPAct will support states with inspections and other release prevention and compliance assurance activities for federally-regulated UST systems, as well as for enforcement activities related to release prevention. A main cause of releases is the lack of proper operation and maintenance of UST systems, which is why the EPA published revised UST regulations in FY 2015 that address these and other

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important issues. These changes will require subsequent state adoption, applicable updates to state regulations, and updates to state program approval. For tribes, the LUST prevention assistance agreements will assist with all aspects of the tribal programs, e.g., inspection capacity. High priority tasks include providing financial assistance to tribes for: 1) inspecting UST facilities to complete the three-year inspection requirement; 2) developing inspection capacity for tribes; 3) enforcement activities related to release prevention; 4) development of leak prevention regulations and other program infrastructure; 5) helping tribes develop the capacity to administer UST programs, such as providing funding to support training for tribal staff and educating owners and operators in Indian country about UST requirements. These activities are geared toward bringing all UST systems into compliance with release detection and release prevention requirements and minimizing future releases. Priority will be given to providing funds to enable the states to meet their responsibilities under Title XV, Subtitle B of the Energy Policy Act of 2005. States that have entered into assistance agreements with EPA must have the authority to inspect and take other compliance and related enforcement actions to prevent releases from USTs. As outlined in the FY 2022 President's Budget Request, this program will support core program activities required by the EPAct and the EPAs grant guidelines, such as inspecting UST facilities to meet the three-year inspection requirement and assisting states in adopting prevention measures (for example, delivery prohibition, secondary containment, and operator training). Due to the Covid-19 pandemic, many states fell behind in their 3-year EPAct inspection frequency requirement. EPA will work with states to ensure they come back into compliance and return to their regular inspection cycles.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

LUST prevention assistance agreements are Continuing Environmental Program Grants. States and tribes may use financial assistance under this program for eligible and allowable costs incurred under assistance agreements for leak prevention, detection, and compliance, as described in the Section:50 Funding Priority. Assistance agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Unlike State and Tribal Assistance Grant (STAG) funding under Section 2007(f) of the Solid Waste Disposal Act (SWDA), the LUST prevention funding under Section 9011 and other applicable provisions of Subtitle I of the SWDA is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA may award combined STAG funded UST prevention, detection, and compliance assistance agreements authorized by Section 2007(f) with LUST prevention assistance agreements authorized by Subtitle I if the combined agreement is not included in a PPG. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Prevention, detection, and compliance assistance agreements are only available to states and territories and to federally-recognized tribes and intertribal consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia." These assistance agreements may also be used for EPA to help states, who request it, to obtain SEE enrollees through a SEE assistance agreement to work on the state's USTs and to support direct UST implementation programs.

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; States, Territories, Tribes and Intertribal Consortia.

Credentials/Documentation:

States and Territories must either submit certification indicating the State or Territory meets the applicable EPAct provisions, or submit documentation describing the State or Territory's efforts to meet the requirements, in accordance with EPA's EPAct grant guidelines (see <https://www.epa.gov/ust/energy-policy-act-2005-and-underground-storage-tanks-usts>). The EPA Regional Offices maintain the credentials/documentation for State and Territorial programs and

federally-recognized Tribes and Intertribal Consortia. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

These funds are awarded non-competitively. Applications will be subjected to administrative evaluation to determine the adequacy of the application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. An assistance agreement must be signed between EPA and the state or territory or EPA and the tribe or intertribal consortia. EPA awards the assistance agreements to states through a previously established allocation process, issued under national guidance rather than through competition (see 40 CFR 35.332 and EPA Order 5700.5, Section 6(c)(1)). If EPA elects to hold competitions for tribal or intertribal consortia assistance agreements, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Contact the appropriate Regional Office. See <https://www.epa.gov/ust/underground-storage-tank-ust-contacts>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. The UST prevention, detection, and compliance Solid Waste Disposal Act 2007(f) assistance agreement (STAG) program has no statutory formula or matching requirement. However, there is a 25 percent matching requirement (cost share) for States under 40 CFR 35.335. State matches (cost shares) may include in-kind contributions. Under 40 CFR 35.332, EPA regions allocate funds to states based on their programmatic needs and applicable EPA guidance. Factors include the number of active federally regulated petroleum underground storage tanks. LUST Prevention funding is awarded under Section 9011 and other applicable provisions of Subtitle I of the Solid Waste Disposal Act subject to an allocation process developed by the Agency. The Agency distributes funds based on the number of federally-regulated petroleum USTs in a State and other indicators of State needs. States will provide a 25 percent match (cost share) for assistance agreements awarded under Section 9011 and other applicable provisions of Subtitle I. If EPA awards a State a combined UST prevention, detection, and compliance (STAG)

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and LUST prevention assistance agreement, the 25 percent cost share will apply to total project costs for the combined assistance agreement. There is no matching requirement (cost share) for prevention, detection and compliance assistance agreements for Tribes or Intertribal Consortia awarded pursuant under Public Law 105-276 or the Agency's annual appropriation acts. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at the time of award by the specific EPA regional office. Assistance agreements can be awarded on a single- or multiple-year basis up to seven years. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements are identified at 2 CFR 200.327. EPA regional offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Recipients submit annual reports which include a description of the progress made towards meeting environmental goals as expressed by the environmental indicators and program measures. Recipients report program performance results semi-annually that provide updates for commitments and priorities. Progress reports are required and identified at 2 CFR 200.327. States must certify that they are meeting or are making progress in meeting the EPA requirements. States are required to provide expenditure reports for overall funding for UST prevention, detection, and compliance (STAG) assistance agreements. For LUST prevention assistance agreements, States are required to submit Federal Financial Reports (SF-425). Annual reviews are conducted to determine recipients' progress in meeting overall program goals.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 1500.6 are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304. 68-8153-0-7-304.

Obligations:

Formula Grants FY 20 \$26,818,000.00; FY 21 est \$26,884,000.00; FY 22 est \$26,873,999.00;

Range and Average of Financial Assistance:

The STAG and LUST prevention financial assistance is based on states' needs. FY 2021 STAG funding for states and territories: The range was \$10,000 - \$423,271 and the average was \$113,462. There is no STAG distribution for Tribes. FY 2021 Prevention funding for states and territories: The range was \$86,412 - \$1,367,588 and the average was \$430,224. FY 2021 Prevention funding for Tribes: The range was \$25,000 to \$200,000 and the average as \$70,915.

TAFS Codes:

68-8153. 68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021, 13 states and territories are expected to submit applications and be awarded STAG assistance agreements. For the LUST prevention assistance agreements, 51 states (including DC and Puerto Rico) and 4 territories, tribes, or intertribal consortia are expected to submit applications and be awarded LUST prevention assistance agreements.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes). Contact Regional UST Program Manager for additional guidance documents.

Regional or Local Office:

See Regional Assistance Locations. For state-specific opportunities, EPA encourages potential state applicants to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers (see <https://www.epa.gov/ust/underground-storage-tank-ust-contacts>).

Headquarters Office:

Richard Canino,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N. W. (Mail Code: 3204A),
Washington DC 20460
Email: Canino.Richard@epa.gov
Phone: 202-564-0394

Website Address:

<https://www.epa.gov/ust>

RELATED PROGRAMS:

66.805 Leaking Underground Storage Tank Trust Fund Program; 66.816 Headquarters and Regional Underground Storage Tanks Program;

EXAMPLES OF FUNDED PROJECTS:

2021 For examples of the types of projects funded under this assistance listing, please visit: <https://www.epa.gov/ust>.

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to states and tribes non-competitively under the allocation system. Funding provided to states for release prevention must be expended in accordance with grant guidelines EPA issued to implement the Title XV, Subtitle B of the Energy Policy Act of 2005, <https://www.epa.gov/ust/energy-policy-act-2005-and-underground-storage-tanks-usts>.

66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program

Leaking UST Corrective Action Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Solid Waste Disposal Act, Section 9003(h), Public Law -, Title 1999 Appropriations Act, , Public Law 105-276 - for Tribes

OBJECTIVES:

To support state (including territories that are included in the definition of state in the Solid Waste Disposal Act) and tribal corrective action programs that address releases from underground storage tanks (USTs). Funding Priorities - Fiscal Year 2021 and Fiscal Year 2022: Cooperative agreements to states and tribes to support activities in making progress in cleaning up petroleum leaks by initiating and completing cleanups and reducing the backlog of sites not yet cleaned up. Cooperative agreements to states and tribes to support activities in making progress in cleaning up petroleum leaks by initiating and completing cleanups and reducing the backlog of sites not yet cleaned up. In FY 2022, at least 80 percent of LUST corrective action appropriated funds will be provided to states to carry out the LUST corrective action program. The priority in FY 2022 is to provide resources to states to perform core cleanup work, with a focus on cleaning up the highest priority sites.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

States and tribes may use financial assistance under this program for eligible and

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allowable costs incurred under cooperative agreements for corrective action, as described under "Objectives". This financial assistance program is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA will award cooperative agreements for corrective action activities. The applicable provisions in Leaking Underground Storage Tank Trust Fund Corrective Action Cooperative Agreement Guidelines apply to states receiving funds under this program. See <https://www.epa.gov/ust/state-grant-policy-and-guidance>. LUST Trust Funds for corrective action will not be used to implement any provision of the Energy Policy Act (EPA) of 2005, Title XV, Subtitle B, that is not also a LUST activity authorized by Section 205 of the Superfund Amendments and Reauthorization Act. LUST Trust Fund corrective action cooperative agreements are Continuing Environmental Program Grants[1], administered by EPA, and provide money to states to address petroleum[2] releases from USTs. States must comply with the requirements in Leaking Underground Storage Tank Trust Fund Corrective Action Cooperative Agreement Guidelines and Cost Recovery Policy For Leaking Underground Storage Tank Trust Fund Corrective Action Cooperative Agreements as a condition of receiving LUST Trust Fund corrective action cooperative agreement funding. See <https://www.epa.gov/ust/state-grant-policy-and-guidance>. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Cooperative agreements are only available to States and Territories that have UST programs. Additionally, these cooperative agreements are only available to Federally-recognized Tribes and Intertribal Consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia."

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Even though the LUST corrective action cooperative agreements are only used for traditional cleanup activities under Section 9003(h), states and territories must either submit certification indicating the state or territory meets the applicable Energy Policy Act provisions, or submit documentation describing the state or territory's efforts to meet the requirements, in accordance with EPA's Energy Policy Act grant guidelines (<https://www.epa.gov/ust/energy-policy-act-2005-and-underground-storage-tanks-usts>). The EPA regional offices maintain the credentials or documentation for state and territorial programs and federally-recognized tribes and intertribal consortia. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

These funds are awarded non-competitively. Applications will be subjected to administrative evaluation to determine the adequacy of the application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. A cooperative agreement must be signed between EPA and the state or territory or EPA and the tribe or intertribal consortia. EPA awards cooperative agreements to states through a previously established allocation process, issued under national guidance rather than through competition. See EPA Order 5700.5A1, EPA's Policy for Competition of Assistance Agreements, Section 6(c)(1) at: <https://www.epa.gov/grants/epa-order-57005a1-epas-policy-competition-assistance-agreements>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Generally from 3 to 4 months.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Contact the appropriate Regional Office. See <https://www.epa.gov/ust/underground-storage-tank-ust-contacts>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. LUST corrective action funding awarded under Section 9003(h)(7) of the Solid Waste Disposal Act is subject to an allocation process developed by the Agency. By guidance, the Agency has established a process for allocating funds to states under Section 9003(h)(7) based on the cumulative numbers of confirmed UST releases, total number of existing registered petroleum UST systems, cleanups initiated, cleanups completed, the percentage of the population using groundwater for drinking water, and the number of states with approved UST programs. This program allocates funding to tribes and intertribal consortia non-competitively based on their programmatic needs and national guidance. States must provide a 10 percent cost share for cooperative agreements awarded under Section 9003(h)(7). There is no matching requirement for corrective action cooperative agreements for tribes or intertribal consortia awarded pursuant to Public Law 105-276. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award by the specific EPA Regional Office. LUST Trust Fund Corrective Action Program cooperative agreements are awarded to states annually on a multi-year cycle. Method of awarding/releasing assistance: lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200.329 and 2 CFR 1500, as applicable. Reporting requirements are determined at time of award. Reporting requirements are determined at time of award. Reporting requirements are determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 1500.6 are applicable depending upon

ASSISTANCE LISTINGS DESCRIPTIONS

the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-8153-0-7-304.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$55,040,000.00; FY 21 est \$55,040,000.00; FY 22 est \$55,040,000.00;

Range and Average of Financial Assistance:

In FY 2021, for state and territories, the range was \$40,876 - \$3,229,100. The territory average was \$118,860 and the state average was \$1,047,955.

TAFS Codes:

68-8153.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since the inception of the UST program in 1984, EPA and states have cleaned up more than 497,407 releases nationwide; in FY 2020 alone, 7,211 cleanups were completed. EPA and states have also been making significant progress in the backlog of releases remaining to be cleaned up - from a high of almost 172,000 in 1995 down to 62,493 in 2020. This represents only 13% of the LUST backlog of releases that remain to be cleaned up.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes) -- for LUST Corrective Action Only. Contact regional UST program manager for documents. For more information see Leaking Underground Storage Tanks Trust Fund Cooperative Agreement Guidelines at <https://www.epa.gov/ust/state-grant-policy-and-guidance>.

Regional or Local Office:

See Regional Assistance Locations. For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers (see <https://www.epa.gov/ust/underground-storage-tank-ust-contacts>).

Headquarters Office:

Richard Canino,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N. W. (Mail Code: 3204A),
Washington DC 20460
Email: Canino.Richard@epa.gov
Phone: 202-564-0394

Website Address:

<https://www.epa.gov/ust>

RELATED PROGRAMS:

66.804 State and Tribal Underground Storage Tanks Program; 66.816 Headquarters and Regional Underground Storage Tanks Program;

EXAMPLES OF FUNDED PROJECTS:

2021 States will review site characterization reports; review corrective action plans; and conduct enforcement actions. Cooperative agreements provide assistance to Tribes to help develop and implement the LUST program; and conduct cleanups and provide oversight of responsible party cleanups. For examples of the types of projects funded under this assistance listing, please visit: <https://www.epa.gov/ust>.

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to states and tribes non-competitively under an allocation system. For more information, see Leaking Underground Storage Tank Fund Corrective Action Cooperative Agreement Guidelines at <http://www.epa.gov/ust/state-grant-policy-and-guidance>.

66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites

TAG,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 117(e) as amended by 42 USC 9617

OBJECTIVES:

The statutory authority for this project is CERCLA 117(e) as amended by 42 USC 9617(e); it authorizes Technical Assistance Grants to be awarded to groups of individuals affected by or threatened by a release at a Superfund site so that they can obtain independent technical assistance to interpret site-related documents and share this information to the rest of the community. The objective of each TAG is to procure an independent technical advisor(s) to review and inform the community on EPA documents related to cleaning up the Superfund site that is affecting them. The goal of each TAG is that the technical advisor's information aids the community in the preparation of public comments which assists the community to more meaningfully participate in the cleanup decision-making process. Funding Priorities - Fiscal Year 2021: Only one grant is available per site, at any time. EPA does not prioritize among eligible sites. All TAG applications are given equal priority as long as the site and group are eligible. The applicant group must meet minimum administrative and management capability requirements by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management. In addition, all applications must address: plans for using a technical advisor's services, plans and ability to inform other members of the community, and the group's membership (representative of the different individuals/groups affected by the site). Funding must be used to obtain technical assistance so that the community better understand technical issues related to the cleanup of the Superfund site affecting them and to provide comments on technical and decisions throughout the cleanup process. Groups may obtain a technical advisor to help them understand site-related documents and information, such as: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site; exposure investigation and health studies; site monitoring plans and reports; the remedial investigation feasibility study, record of decision, remedial design documents; site reuse options and considerations; selection and construction of remedial action; removal activities; and operation and maintenance.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

All or most of the Federal funds must be used at sites listed on the National Priorities List (NPL) or proposed for listing under the National Contingency Plan (NCP), where a response action has begun under CERCLA to procure a technical advisor(s) to help in understanding the nature of the environmental and public health hazards at the site, or the various stages of health and environmental investigations and activities, cleanup, and operation and maintenance of a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal.

Applicant Eligibility:

Minority group; Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); A technical assistance grant (TAG) is available to any qualified group of individuals which: may be "affected" by a release or threatened release at any facility listed on the NPL or proposed for listing under the NCP where a "response action" under CERCLA has begun; meets minimum administrative and management capability requirements found in 2 CFR 200 by demonstrating they

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have or will have reliable procedures for record keeping and financial accountability related to TAG management; and incorporates as a nonprofit for the specific purpose of representing "affected" individuals at the site. "Affected" means subject to an actual or potential health, economic or environmental threat. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represents a PRP; (b) The group is affiliated with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local; Public nonprofit institution/organization; This program benefits groups of individuals affected by Superfund hazardous waste sites. Groups should be representative of the community affected by the Superfund site, which may include homeowners, land/property owners, local businesses, as well as any other individuals in the general public who live near a site.

Credentials/Documentation:

At the time of the award, a recipient must either be incorporated or demonstrate that they have taken all necessary and appropriate actions to do so. Recipients must show proof of incorporation no later than the time of the group's first request for reimbursement for costs incurred. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application coordination: 1) Groups wishing to apply for a TAG must first submit to EPA a letter of intent (LOI). Groups interested in applying for a TAG are encouraged to contact their regional TAG coordinator(s), listed at: <https://www.epa.gov/superfund/technical-assistance-grant-tag-program>. 2) If site work is already underway or scheduled to begin, EPA will publish a notice in the local newspaper to formally notify other interested parties that they may contact the first group that sent the LOI to form a coalition or they may submit a separate LOI. 3) Competing groups are encouraged to consolidate and submit a single application. For more information regarding the procedures for submitting a LOI or for application assistance, contact the TAG coordinator(s) for your region.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant for a TAG must submit the following materials in accordance with 40 CFR 35.4125 of the Final Rule: 1) An original EPA Form 424, Application for Federal Assistance. The application must have the original signature of the project manager. 2) A budget showing the proposed expenditure of funds, how the funds and other resources, including the required 20 percent match, will be used to complete the project, and how the budget figures were derived. 3) A scope of work which states how the group will organize, use procured personnel, and share and disseminate information to the larger affected community. In addition, the scope of work must explain project milestones and how the group's board of directors, technical advisors(s) and "project manager" will interact with each other. 4) Assurances, certifications and other preaward paperwork as required by 2 CFR 200 and 1500, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <https://www.grants.gov>.

Award Procedure:

1) A TAG review team in the EPA Regional Office to which the application is submitted reviews the application and sends a letter containing written comments telling the applicant what, if any, changes need to be made to the application to make it complete. 2) An applicant group has 90 days from the date of the EPA letter to make the changes to their application and resubmit it to EPA. If the changes are not submitted within the 90-day period, the application will be returned unprocessed. 3) EPA determines the applicant's eligibility under 40 CFR 35.4020 and considers whether and how successfully the group meets three equally

weighted criteria in 40 CFR 35.4155 (see 180, "criteria for selecting proposals," below). When multiple groups apply, EPA will rank each applicant relative to other applicants using the three equally weighted criteria. 4) If an applicant is not going to be awarded a TAG, the EPA will send a letter of rejection to the applicant. 5) If an application is approved for an award, a grant agreement document (EPA Form 5700-20A) is signed by the EPA award official. This document becomes an offer from EPA to the applicant. Award offers are mailed to the recipient five working days after the award official signs the agreement. 6) The applicant must sign and return the agreement to EPA within three weeks of the date the agreement is postmarked or request an extension. EPA will review applications in accordance to terms, conditions and criteria in the TAG regulations and EPA's policy for competing assistance agreements. 2) An applicant group has 90 days from the date of the EPA letter to make the changes to their application and resubmit it to EPA. If the changes are not submitted within the 90-day period, the application will be returned unprocessed. 3) EPA determines the applicant's eligibility under 40 CFR 35.4020 and considers whether and how successfully the group meets three equally weighted criteria in 40 CFR 35.4155 (see 180, "criteria for selecting proposals," below). When multiple groups apply, EPA will rank each applicant relative to other applicants using the three equally weighted criteria. 4) If an applicant is not going to be awarded a TAG, the EPA will send a letter of rejection to the applicant. 5) If an application is approved for an award, a grant agreement document (EPA Form 5700-20A) is signed by the EPA award official. This document becomes an offer from EPA to the applicant. Award offers are mailed to the recipient five working days after the award official signs the agreement. 6) The applicant must sign and return the agreement to EPA within three weeks of the date the agreement is postmarked or request an extension. EPA will review applications in accordance to terms, conditions and criteria in the TAG regulations and EPA's policy for competing assistance agreements.

Deadlines:

Groups wishing to apply for a TAG must first submit to EPA a letter of intent (LOI). Groups interested in applying for a TAG are encouraged to contact their regional TAG coordinator(s), listed at: <https://www.epa.gov/superfund/technical-assistance-grant-tag-program>. If site work is already underway or scheduled to begin, EPA will publish a notice in the local newspaper to formally groups are encouraged to consolidate and submit a single application. Groups may request an extension to the period. Applications will only be considered for groups submitting an LOI within the required timeframe in the newspaper announcement.

Range of Approval/Disapproval Time:

Other. Generally from 5 to 9 weeks.

Appeals:

Other. Per TAG regulations at 40 CFR 35.4245, disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Grant waiver requests are made at the end of the budget period and are based on the requirements of 40 CFR 35.4065, which lists criteria which must be met. Project periods are negotiated and to a large degree based on site activities and milestones.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. As directed by statute (Section 117(e) of CERCLA, as amended by 42 USC 9617(e)) there may be only one grant per site, there is a \$50,000 award limit unless waived, and recipient must contribute a 20 percent match (including in-kind contributions). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

A grant may be available from the time a site is proposed for listing under the National Contingency Plan (NCP) and a response action has begun, until the site is delisted from the National Priorities List (NPL). This time span varies based on the complexity of the site cleanup. A group may submit an Letter of Intent to apply for a TAG at any eligible site at any point in the site cleanup. For each award, the grant period is determined by the time needed to complete the scope of work stated in the grant application and through negotiations with EPA (this is generally a period of three years or greater). Grants under this assistance listing are reimbursement-based; a grantee may draw down funds to cover grant-related expenses they have already incurred (such as a technical advisor's invoice for services/expenses).

Method of awarding/releasing assistance: other

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Reports:

1) Progress reports. Each recipient shall submit quarterly progress reports to EPA for the technical assistance grant project 45 days after the end of each calendar quarter. Progress reports shall fully describe in chart or narrative format the progress achieved in relationship to the approved schedule, budget, and the technical assistance grant project milestones. Special problems encountered must be explained. 2) Financial status report. Each recipient shall submit to EPA a financial status report annually, within 90 days of the anniversary date of the start of the TAG project, and within 90 days of the end of the grant budget period and project. A recipient shall submit to the Agency a financial status report on SF-269 and the appropriate form to the State. 3) Final report. Each recipient shall submit to EPA a draft of the final report for review no later than 90 days prior to the end of the grant project and a final report within 90 days of the end of the project. The report shall document TAG project activities over the entire period of the grant support and shall describe the recipient's achievements with respect to stated technical assistance grant project purposes and objectives.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements record. 1) Each recipient shall keep complete financial records accurately showing how the Federal funds were used and the match, whether it is in the form of cash or in-kind assistance. 2) Each recipient shall comply with any reporting and record keeping requirements of 2 CFR 200 and EPA's implementing regulations at 2 CFR 1500. 3) Each recipient must keep TAG financial records for ten years from the date of the final Financial Status Report, or until any audit, litigation, cost recovery, and/or disputes initiated before the end of the ten-year retention period are settled, whichever is longer. At the ten-year mark, the TAG financial records may be disposed of after first getting written approval to do so from the EPA. Alternately, a recipient may choose to submit financial records to the EPA for safekeeping when the final Financial Status Report is submitted. 4) Each recipient must send to EPA a copy of each final written product prepared by their technical advisor as part of the TAG. EPA will send the final written products to the local Superfund site information repository(ies) where all site-related documents are available to the public.

Account Identification:

68-0108-0-1-304. 68-8145-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$200,000.00; FY 21 est \$275,000.00; FY 22 est \$250,000.00;

Range and Average of Financial Assistance:

Initial awards for assistance agreements awarded under this assistance listing will not exceed \$50,000. After the initial award, additional funding may be awarded based on the criteria detailed under 40 CFR 35.4065, and subject to the availability of funds. The average additional award is \$35,000 (per agreement). Since 1988, 346 TAGs have been awarded. Of these, 204 grantees were awarded \$50,000 or less; 95 grantees have received awards with a cumulative value between \$51,000 and \$150,000; 30 grantees have received awards with a cumulative value ranging between \$151,000 and \$250,000; and 17 grantees have received awards whose cumulative value totals of more than \$250,000. The cumulative award value includes the initial award and any supplemental funding awards over the life of the agreement.

TAFS Codes:

68-0108. 68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021 EPA estimates awarding 10 supplemental funding awards totaling about \$275,000 to existing grantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35, Subpart M (Grants for Technical Assistance); and TAG Program Guidance Documents.

Regional or Local Office:

See Regional Assistance Locations. Questions may also be directed to the EPA Regional Office supporting a particular Superfund site. All TAG paperwork should be submitted directly to the EPA Regional Office. TAGs are awarded by Regional EPA Offices. For questions related to TAGs for a specific site or for application assistance, contact the EPA TAG Coordinator for your region, as follows: Serving: ME, NH, VT, MA, RI, CT and Tribal Nations; Robert Shewack, EPA Region 1 (MC: OSRR01-5), 5 Post Office Square Suite 100, Boston, MA 02109-3912. Phone: (617) 918-1428. Email: shewack.robert@epa.gov Serving: NY, NJ, Puerto Rico, Virgin Islands and Tribal Nations; Shereen Kandil, EPA Region 2, 290 Broadway, 26th floor, New York, NY 10007-1866. Phone: (212) 637-4333. Email: kandil.shereen@epa.gov Serving: PA, DE, DC, MD, VA and WV; Gina Soscia, EPA Region 3, 1650 Arch Street(3HS52), Philadelphia, PA 19103. Phone:(215) 814-5538. Email: soscia.gina@epa.gov Serving: KY, TN, NC, SC, MS, AL, GA, FL and Tribal Nations; Jackie Dendy, EPA Region 4, 61 Forsyth Street S.W., 11TH FL, Atlanta, GA 30303. Phone: (404) 562-8876. Email: dendy.jackie@epa.gov Serving: IL, IN, MI, MN, OH, WI and Tribal Nations; Adrian Palomeque, EPA Region 5 (SI-7J), 77 W. Jackson Blvd, Chicago, IL 60604-3507. Phone: (312) 353-2035. Email: palomeque.adrian@epa.gov Serving: NM, TX, OK, AR, LA and Tribal Nations; Janetta Coats, EPA Region 6, 1445 Ross Avenue (6SF-VO), Dallas, Texas 75202. Phone: (214) 665-7308. Email: coats.janetta@epa.gov Serving: NE, KS, IA, MO and Tribal Nations; Pamela Houston, EPA Region 7, 11201 Renner Blvd, Lenexa, KS 66219. Phone: (913) 551-7699. Email: houston.pamela@epa.gov Serving: MT, ND, WY, SD, UT, CO and Tribal Nations; Sisay Ashenafi, EPA Region 8, 1595 Wynkoop Street, Denver, CO 80202. Phone: (303) 312-6138. Email: ashenafi.sisay@epa.gov Serving: CA, NV, AZ, HI, U.S. Pacific Territories and Tribal Nations; Jackie Lane, EPA Region 9, 75 Hawthorne Street (SFD-6-3), San Francisco, CA 94105-3901. Phones: (415) 972-3226. Email: lane.jackie@epa.gov Serving: WA, OR, ID, AK and Tribal Nations; Julie Congdon, EPA Region 10, 1200 6th Ave (Ste. 900), Seattle, WA 98101. Phone: (206) 553-2752. Email: congdon.julie@epa.gov A list of the Regions and their States, plus the name(s) and telephone number(s) for the Regional TAG contact can be found by clicking the "Contact Us" tab on EPA's TAG web page, <https://www.epa.gov/superfund/technical-assistance-grant-tag-program>.

Headquarters Office:

Freya Margand,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 5204P),
Washington DC 20460
Email: margand.freya@epa.gov
Phone: (703) 603-8889

Website Address:

<https://www.epa.gov/superfund/technical-assistance-grant-tag-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Groups have been awarded Superfund Technical Assistance Grants to obtain a technical advisor to help them understand site-related documents and information, such as: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site; exposure investigation and health studies; site monitoring plans and reports; the remedial investigation feasibility study, record of decision, remedial design documents; site reuse options and considerations; selection and construction of remedial action; removal activities; and operation and maintenance.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance

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listing are described in the programmatic regulations (40 CFR Part 35) as follow. In selecting a proposal: 1) EPA will determine if a group meets the following eligibility criteria: (a) The community group is a group of people who may be "affected" by a release or a threatened release at any facility listed on the NPL or proposed for listing under the NCP where a response action is underway; and (b) The group meets the minimum administrative and management capability requirements found in 2 CFR 200 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to managing the TAG. 2) EPA will also determine if there are factors which make the group ineligible. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represents a PRP; (b) The group is "affiliated" with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045. 3) Once EPA determines if eligibility requirements have been met, the Agency considers whether and how successfully a group meets the following, equally weighted, criteria: (a) Representation of groups and individuals affected by the site; (b) The group's plans to use the services of a technical advisor throughout the Superfund response action; and (c) The group's ability and plan to inform others in the community of the information provided by the technical advisor. 4) Additionally, a group must incorporate as a nonprofit for the purpose of participating in decision making at the Superfund site for which the TAG was granted (for exception see 40 CFR 35.4045). Applicant groups should consult with the Regional TAG Coordinator and the Superfund TAG Handbooks, "Applying for a Grant" and "The Application Forms with Instructions," for details on applying for a TAG.

66.808 Solid Waste Management Assistance Grants

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Solid Waste Disposal Act, Section 8001

OBJECTIVES:

To promote use of integrated solid waste management systems to solve solid waste generation and management problems at the local, regional and national levels. Funding Priority - Fiscal Year 2021: Partnerships - Establishing and developing partnerships with states, local governments and other non profit organizations to assist them in advancing their waste management programs, including recycling and reduction of wastes, appropriate management of wastes that are generated, and developing integrated waste management plans. Education and Outreach - Developing and providing education, training, and outreach materials to educate and inform Americans about waste's impact on resource and energy use and pollution. Fostering a better understanding of the environmental ramifications of collective actions including how individual purchasing and manufacturing decisions are connected to the environment and to enable Americans to make better choices resulting in increased recycling, less waste and greater energy savings.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Available for the allowable direct cost expenditures incident to program performance plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies. Projects can include the following types of activities: training, surveys, education materials and programs, studies, and demonstrations; assistance to such projects shall not include any element of construction, or any acquisition of land or interest in land, or any subsidy for the price of recovered resources. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (including the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, and Northern Mariana Islands), local, Tribal, interstate, and intrastate government agencies and instrumentalities, and non-profit organizations that are not 501(c)(4) organizations that lobby, including non-profit educational institutions and non-profit hospitals. Individuals and for-profit organizations are not eligible. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Additionally, EPA may limit competition under this assistance listing to Tribes and Inter-Tribal Consortia.

Beneficiary Eligibility:

Interstate; State; Local; Federally Recognized Indian Tribal Governments; U.S. Territories; Anyone/general public; State and local governments, Tribes, U.S. territories and possessions, the public, and interstate agencies.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" in the competitive announcement or the appropriate EPA Regional Office.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and program evaluation criteria to determine the merit and relevance of the project. For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications (RFA).

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in

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accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to [EPAs] priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will normally be funded on a 12-month basis (yearly). The total approved project period is generally no longer than three years. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR parts 200 and 1500 Reporting requirements and frequency will be determined at time of award. Reporting requirements and frequency will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$5,831,654.00; FY 21 est \$2,814,703.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The range and average of financial assistance for awards under this assistance listing will be described in the competitive announcement, as amounts awarded vary by competitive announcement. In FY 18, awards ranged from \$10,000 to \$460,000; in FY 20, awards ranged from \$50,000 to \$300,000; in FY 21, it is anticipated that awards will range from \$50,000 - \$200,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: For accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/sustainable-management-food> and <https://www.epa.gov/facts-and-figures-about-materials-waste-and-recycling/national-overview-facts-and-figures-materials>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 45 (EPA Training Assistance).

Regional or Local Office:

See Regional Assistance Locations. Individuals are encouraged to communicate with the appropriate EPA Regional Office. For regional office locations, please visit: <https://www.epa.gov/aboutepa/regional-and-geographic-offices>.

Headquarters Office:

Christopher Carusiello,
Environmental Protection Agency, Office of Resource Conservation and Recovery,
1200 Pennsylvania Avenue, N.W. (Mail Code: Mail Code 3204P),
Washington DC 20460

Email: Carusiello.Chris@epa.gov

Phone: 703-308-8757

Website Address:

<https://www.epa.gov/sustainable-management-food>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 A few examples of the types of projects funded under this assistance listing include: -installing equipment to increase digester capacity, host community education/outreach tours, and develop a case study for this demonstration project; -advancing the co-digestion of food waste in small scale farm digesters, including an AD co-digestion demonstration project with research components, partnerships with entities to secure food waste feedstocks, and extensive education and outreach efforts; -creating a technical and educational assistance center focused on helping AD facilities interested in creating, expanding, or fully utilizing its capacity and the accompanying biogas and digestate; -working with stakeholders to complete a food waste to fuel feasibility study.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement

66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(d)(1)

OBJECTIVES:

To effectively implement the statutory requirements of CERCLA Section 121(f) for state or tribal involvement. To provide funds to conduct CERCLA activities which are not assignable to specific sites, but support a recipient's Superfund program. Funding Priority - Fiscal Year 2021: Continued support for non-site specific activities intended to develop and maintain a state or Indian tribes ability to participate in the Superfund remedial program.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Core Program Cooperative Agreements are offered to ensure that states, or federally recognized Indian tribes can substantially participate in CERCLA response actions. Recipients negotiate with EPA Regions to receive funding for a variety of activities that include: (1) Procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and (5) activities that support EPA/Recipient interaction. Recipients with Regional approval may receive awards for multi year budget and project periods at the Region's discretion. Recipients must demonstrate

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progress towards the goals stated in the Core Program Cooperative Agreement in order to be eligible for continued funding. Only one Core Program Cooperative Agreement will be awarded to each eligible State or Tribe. Funds must be used for non-site-specific activities as described above.

Applicant Eligibility:

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; States and U.S. Territories, and Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

States, U.S. Territories and Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Consultation and preapplication conference(s) are recommended.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov.

Award Procedure:

EPA regional Superfund program offices review the applications for cooperative agreements. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless re-delegated to the Regional Division Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately ninety (90) days.

Appeals:

Other. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Extensions are available but not guaranteed. A standard grant application should be prepared and submitted electronically as a new grant using Grants.gov, which will be reviewed in the same manner as the original application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. As required by 40 CFR Part 35.6235, State recipients of Core Program Cooperative Agreements must provide a 10 percent cost share of the total project cost on all awards. This match may be made from either direct, non-federal funds, non-reimbursed fund, or with in kind contributions. CERCLA credits earned at Superfund sites cannot be used to meet the 10 percent match. Indian tribes are not required to provide cost share for Core Program activities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is awarded as a lump sum, or may be incrementally funded. Recipients with Regional approval may receive cooperative agreement awards for multi year budget and project periods at the Region's discretion. Continued funding of tasks in

subsequent years is based on an evaluation of demonstrated progress towards the goals in the Core Program Cooperative Agreement Statement of Work. Method of awarding/releasing assistance: other

Reports:

Reporting requirements will be determined at the time of award. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Reporting requirements will be determined at the time of award. Reporting requirements will be determined at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR 200 and 1500 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten-year period, whichever is later.

Account Identification:

68-8145-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$0 to \$385,000; with an approximate average award of \$28,000.

TAFS Codes:

68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/superfund/superfund-remedial-annual-accomplishments>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35, Subpart O (Cooperative Agreements and Superfund State Contracts for Superfund Response Actions). For additional information on Superfund Policy, Guidance and Laws, please visit: <https://www.epa.gov/superfund/superfund-policy-guidance-and-laws>.

Regional or Local Office:

None. For regional contacts, visit: <https://www.epa.gov/superfund/epa-regional-superfund-community-involvement-contacts>.

Headquarters Office:

Ellyn Fine,
Environmental Protection Agency, Office of Land and Emergency Management,
1200 Pennsylvania Avenue, N.W. (Mail Code: 3204P),
Washington DC 20460

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Email: fine.ellyn@epa.gov

Phone: (703) 603-8714

Website Address:

<https://www.epa.gov/superfund>

RELATED PROGRAMS:

66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements; 66.961 Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core);

EXAMPLES OF FUNDED PROJECTS:

2020 Administrative and program development, accounting systems development, legal authorities and enforcement support, hiring and training of state response staff, procedures for emergency response actions, program management and planning; financial administration and budget preparation/management, and state records and archives preparation.

CRITERIA FOR SELECTING PROPOSALS:

For Superfund Core Program Cooperative Agreements, recipients must demonstrate a need for non-site specific funds to support activities. Each project is examined and selected on a case-by-case basis based upon availability of funds, eligibility of activities, and other criteria as determined by EPA.

66.812 Hazardous Waste Management Grant Program for Tribes

Hazardous Waste Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title 1999 Appropriations Act, , Public Law 105-276

OBJECTIVES:

The Hazardous Waste Management Grant Program for Tribes provides assistance for the development and implementation of hazardous waste management programs; for building capacity to improve and maintain regulatory compliance; and for developing solutions to address hazardous waste management issues in Indian country. Funding Priority - Fiscal Year 2021: Develop and implement hazardous waste management programs that improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. Build capacity to improve and maintain regulatory compliance and develop solutions to address hazardous waste mismanagement impacting Tribal lands. Development and institutionalization of hazardous waste program capacity for Tribal governments and the communities they serve.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

This grant program will support projects designed to develop and implement hazardous waste management programs. These programs must improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. All hazardous waste management activities that address the Resource Conservation and Recovery Act (RCRA) Subtitle C "cradle to grave" approach are eligible. Each of the following hazardous waste management program activities are considered central to a "cradle to grave" program: Hazardous Waste Identification; Hazardous Waste Generator Siting, Monitoring, & Compliance (Large Quantity, Small Quantity, and Conditionally Exempt Small Quantity Generators); Hazardous Waste Minimization, Recycling, Used Oil, and Universal Wastes; Hazardous Waste Transportation; Treatment, Storage, and Disposal Facility Siting, Permitting, Monitoring, Corrective Action, & Enforcement; Land Disposal Restrictions; Combustion. Assistance will be provided under this program only for activities which EPA determines are appropriate to carry out the purposes of RCRA. Assistance under this program does not include any element of municipal solid waste management, chemical emergency planning and preparedness or superfund program cleanup.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations

(includes Indian groups, cooperatives, corporations, partnerships, associations); The following are eligible to receive financial assistance: (a) an Indian tribal government, and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, area-wide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement. Additionally, potential applicants can contact the designated Regional Tribal hazardous waste program coordinator.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The annual competitive announcement outlines specific procedures for submitting applications. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through [Grants.gov](https://www.grants.gov). Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement.

Range of Approval/Disapproval Time:

From 60 to 90 days. The approval process can take up to an estimated two to three months from the deadline provided in the competitive announcement.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

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Not Applicable. Applicants must submit new applications in response to the competitive announcements. In order to receive an extension of the current award, significant progress must be demonstrated.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Regional Offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Regional Offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Regional Offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$294,000.00; FY 21 est \$300,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range = \$18,000 to \$100,000; Average = \$56,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the Office of Resource Conservation and Recovery (ORCR) - formerly OSW - distributed funds to Tribes and Inter-Tribal Consortia in support of activities that facilitate the development of comprehensive management programs to properly identify, manage, or dispose of hazardous waste; build capacity to improve and maintain regulatory compliance; and identify and/or implement solutions that will address hazardous waste mismanagement. In FY 2020 ORCR received 8 applications. Seven applications were deemed eligible based on the Threshold Eligibility Criteria and; therefore, were reviewed. To assist Tribes through the FY 2020 Hazardous Waste Management Grant Program for Tribes, \$295,000 in STAG funding was distributed to Regions 2, 9 and 10. A total of 3 awards were made through these Regions. For additional accomplishments under this assistance listing, please visit: <https://www.epa.gov/tribal-lands/2020-olem-tribal-accomplishments>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart B (Environmental Program Grants for Tribes).

Regional or Local Office:

See Regional Assistance Locations. Designated Regional Tribal Hazardous Waste Management Program Contacts: Region I, U.S. EPA, 1 Congress Street, Boston, MA 02114-2023, Michael Stover, Stover.Michael@epa.gov, (617) 918-1123,(617) 918-0343. Region II, U.S. EPA, 290 Broadway, 22nd Floor, New York, NY 10007-1886, Alexis Stabulas, Stabulas.Alexis@epa.gov, (212) 637-3749, (212) 637-4437. Region III, U.S. EPA, 1650 Arch Street, Philadelphia, PA 19103-2029, Brian Hamilton, Hamilton.Brian@epa.gov, (215) 814-5497, (215) 814-5102. Region IV, U.S. EPA, Atlanta Federal Center, 61 Forsyth Street, Atlanta, GA 30303, Laura McMillan, McMillan.Laura@epa.gov, (404) 562-8584, (404) 562-8439. Region V, U.S. EPA, 77 W. Jackson Blvd, Chicago, IL 60604, Dolly Tong, Tong.Dolly@epa.gov, (312) 886-1019, (312) 353-6519. Region VI, U.S. EPA, 1445 Ross Avenue, Dallas, TX 75202-2733, Roger Hancock, Hancock.Roger@epa.gov, (214) 665-6688, (214) 665-2182. Region VII, U.S. EPA, 901 N. 5th Street, Kansas City, KS 66101, Marcus Rivas, Rivas.Marcus@epa.gov, (913) 551-7669, (913) 551-9899. Region VIII, U.S. EPA, 1595 Wynkoop Street, Mail Code: 8P-HW, Denver, CO 80202-1129, Alison Ruhs, Ruhs.Alison@epa.gov, (303) 312-6478, (303) 312-6341. Region IX, U.S. EPA, 75 Hawthorne Street, Mail Code: WST-7, San Francisco, CA 94105, Deirdre Nurre, Nurre.Deirdre@epa.gov, (415) 972-4290, (415) 947-3530. Region X, U.S. EPA, 1200 6th Avenue, Mail Code: WCM-128; Seattle, WA 98101, Gabriela Carvalho, Carvalho.Gabriela@epa.gov, (206) 553-6698, (206) 553-8509.

Headquarters Office:

Denise Roy, Project Manager,
Environmental Protection Agency, OLEM/Office of Resource Conservation and Recovery, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204P),
Washington DC 20460
Email: Roy.Denise@epa.gov
Phone: 703-308 8458
Fax: 703-308-8433

Website Address:

<https://www.epa.gov/tribal-lands>

RELATED PROGRAMS:

66.605 Performance Partnership Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 In FY 2021, the following activities are suggestive and do not limit the range of activities considered eligible under this grant program. These activities are eligible when they are performed in support of developing and implementing a tribal program to manage hazardous waste: Develop and implement codes, regulations, and ordinances, policies, and/or guidance to regulate hazardous waste management activities and facilities, such as generators, transporters, and treatment, storage and disposal facilities. Develop and implement hazardous waste program enforcement policies and procedures to ensure regulatory compliance, including monitoring and inspection schemes. Develop legal and administrative infrastructure adequate to implement and maintain regulatory and/or non-regulatory hazardous waste management programs. Includes support for Tribal Emergency Response Committees (TERC). Identify and assess hazardous waste generation and ongoing management of concern to the community, including: inventory active and inactive dump/disposal sites and assess contamination potential; characterize hazardous waste stream, including illegal dump-sites; and identify sensitive sites with potential or actual impacts on water bodies, soil, air, cultural/historic resources, threatened or endangered species, etc. Develop and implement tribal integrated hazardous waste management plans (including, but not limited to, source reduction/recycling, household hazardous waste collection programs, pesticide container collection). Implement used oil collection/re-use and other programs to reduce the mismanagement of hazardous waste in the community. Implement household hazardous waste collection events or programs that provide sustainable or long-term solutions for disposal of household hazardous waste for the community. Develop outreach/education documents and other resource materials to plan and conduct hazardous waste management seminars for tribal leaders, community members, business and civic organizations, etc. Establish

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qualifications for environmental management personnel relative to the tribes needs and plan for program implementation. Establish a communications plan for hazardous waste management program activities. Identify multi-jurisdictional opportunities for hazardous waste management, including feasibility for intergovernmental (Tribal, Federal, State, local) cooperative efforts.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311(b), Title Comprehensive Environmental Response, Compensation, and Liability Act, Section 311(c)

OBJECTIVES:

To support grants and cooperative agreements for (1) a program of research, evaluation, testing, development, and demonstration of alternative or innovative treatment technologies which may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment; (2) a technology transfer program including the development, collection, evaluation, coordination, and dissemination of information relating to the utilization of alternative or innovative treatment technologies for response actions; (3) a program of training and evaluation of training needs in the procedures for the handling and removal of hazardous substances for employees who handle hazardous substances and training in the management of facilities at which hazardous substances are located and in the evaluation of the hazards to human health presented by such facilities for State and local health and environmental agency personnel, and (4) a program of research with respect to the detection, assessment, and evaluation of the effects on and risks to human health of hazardous substances and detection of hazardous substances in the environment. "Alternative Treatment" refers to (new) technologies and techniques for treating solid waste/sites that differ from the technologies techniques being used currently. Funding Priority - Fiscal Year 2021: Continue to fund (provided availability) the cooperative agreement recipient selected for state related research in FY 2022.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Types of discrete projects may include: land use controls research; health effects in construction workers; studies of above ground storage tank inspection practices; remediation technologies and strategies; and support for State forums to share information on research on hazardous substances waste remediation. Funding awarded for research under CERCLA 311(c) does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and

hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. In some instances, EPA will consider applications from profit makers, proposing projects with significant technical merit and relevance to EPA's Office of Solid Waste and Emergency Response. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Private nonprofit institution/organization; Public nonprofit institution/organization; State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, industry, and other public and private institutions and individuals.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Request for Applications (RFA) will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and a technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

Specific information regarding deadlines is provided in the competitive

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announcement.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. For competitive awards: Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. For non-competitive awards, disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. EPA supports two types of projects under this assistance listing: 1. Alternative or innovative treatment demonstration projects [311(b)(3)]. When making awards under Section 311(b)(3), "Alternative or innovative treatment technology research and demonstration program, Contracts and grants," the Administrator shall, to the maximum extent possible, enter into appropriate cost sharing arrangements. EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases if a justification is provided and accepted. This program has no statutory cost sharing formula, however, there are funding restrictions. For example, the Administrator shall not provide any Federal assistance for any part of a full-scale field demonstration project unless the applicant can demonstrate that it cannot obtain appropriate private financing on reasonable terms and conditions sufficient to carry out the demonstration project. The total Federal funds for any full-scale field demonstration project shall not exceed 50 percent of the total cost of such project estimated at the time of the award. Furthermore, the Administrator shall not expend more than \$10,000,000 for assistance under the program in any fiscal year and shall not expend more than \$3,000,000 for any single project. 2. Hazardous substance research [311(c)]. Assistance awarded under Section 311(c), "Hazardous substance research" has no cost sharing requirements or funding restrictions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements in a lump sum on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods to 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Reporting requirements and frequency determined at time of award. Reporting requirements and frequency determined at time of award. Reporting requirements and frequency determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries

on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-8145-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$125,016.00; FY 21 est \$200,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Average award is \$200,000 to \$300,000/fiscal year on a multi-year grant.

TAFS Codes:

68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY2021, ASTSWMOs CERCLA and Brownfields Subcommittees Focus Groups issued several important research projects to benefit state Superfund managers and staff including a Disaster Management Checklist for Sites in Post-Construction, a toolkit to help in the use of GIS in site assessment and made progress on several other research projects. During virtual webinars, ASTSWMO convened dialogues between EPA and the states that would have been provided at the ASTSWMO Mid-Year and Superfund and Brownfields Symposium which were both cancelled due to COVID-19.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); and FAR Part 31 for "For-Profit Makers.

Regional or Local Office:

See Regional Assistance Locations. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office, (<https://www.epa.gov/aboutepa/regional-and-geographic-offices>), and the Headquarters program contacts listed below.

Headquarters Office:

Daniel Bomber,
Environmental Protection Agency, Office of Superfund Remediation & Technology Innovation Division, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204P),

Washington DC 20460

Email: Bomber.Daniel@epa.gov

Phone: 360-952-9333

Website Address:

<https://www.epa.gov/superfund>

RELATED PROGRAMS:

66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.808 Solid Waste Management Assistance Grants; 66.815 Brownfield Job Training Cooperative Agreements;

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of projects funded under this assistance listing include: -analyzing response actions to assess and identify best practices for achieving timely and effective environmental cleanup during emergency responses and removals; - promoting coordination of information and partnership with co-regulators (e.g., EPA, local government, tribes) to provide for more effective emergencies and removals; -promoting dissemination of information to more effectively manage sites; -coordinating research products, analyses, briefings and other information with other recipients of EPA financial assistance to provide more comprehensive information for States to use in their emergency response and cleanup programs.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement (RFA). Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency

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of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements

Brownfields 104(k)(7) Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(k)(7)

OBJECTIVES:

CERCLA 104(k)(7) provides EPA with authority for a financial assistance program of training, research, and technical assistance to individuals and organizations to facilitate the inventory of brownfields properties, assessments, cleanup of brownfields properties, community involvement, or site preparation. Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. Funding Priorities - Fiscal Year 2022: EPA intends to incrementally fund existing cooperative agreements with satisfactory project progress selected in previous 104(k)(7) training, research, and technical assistance competitions. Additionally, using a competitive grants process, EPA may select applications and award cooperative agreements for technical assistance to support specific sector needs (e.g. nonprofits) to support brownfields assessment, cleanup and reuse.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(7) of CERCLA must be used for training, research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfields properties, site assessments, cleanup of brownfields properties, community involvement, or site preparation. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved workplan plus allowable programmatic costs, in accordance with established EPA policies and regulations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Under CERCLA 104(k)(7), EPA may provide financial assistance to eligible entities and other nonprofit organizations. CERCLA 104(k)(1) defines eligible entities as: a general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State (note CERCLA 107(27) defines term "State" to include territories or possessions over which the United States has jurisdiction); an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community, nonprofit organizations exempt from taxation under section 501(c)(3) of the Internal Revenue Code, Limited liability corporation in which all managing members are 501(c)(3) nonprofit organizations or limited liability corporations whose sole members are 501(c)(3) nonprofit organizations, Limited

liability partnership in which all general partners are 501(c)(3) nonprofit organizations or limited liability corporations whose sole members are 501(c)(3) nonprofit organizations, and qualified community development entities as defined in section 45D(c)(1) of the Internal Revenue Code of 1986. Other nonprofit organizations that do not have 501(c)(3) tax exempt status are also eligible for training, research, and technical assistance grants. For the purposes of the Brownfields Grant Program, the term other nonprofit organization consistent with 2 CFR 200.70 means any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest and is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. The term includes nonprofit institutions of higher education. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are not eligible to apply. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other Urban; Suburban; Rural; Cooperative agreement-funded activities will benefit the community members and local stakeholders who are proximate to brownfield sites (whether in an urban, suburban or rural setting) including local governments, nonprofit organizations, quasi public nonprofits, residents, local business owners, community groups, universities and colleges, industry, other public and private institutions, individuals, states and tribes.

Credentials/Documentation:

EPA may request that applicants document their non-profit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out projects. EPA may ask applicants for research projects to provide curriculum vitae and relevant publications. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This is a competitive grant program. Requests for Applications (competitive announcement) will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA is required by statute to conduct this assistance program competitively. For competitive awards, EPA will review and evaluate applications in accordance with

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the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. The funded grants and cooperative agreements are not eligible for renewal. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds. Incremental funding is subject to review and approval by the Office of Brownfields and Land Revitalization, Office of Land and Emergency Management.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Not Applicable. For training, research and technical assistance grants, EPA will not require that applicants include a match or cost share unless required by the terms of a competitive announcement. Even if EPA decides not to require matching funds, a statutory factor in ranking applications under Section 104(k)(7) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements incrementally on a 12-month basis. However, EPA may fully fund any cooperative agreement at the time of award. EPA will negotiate the project period with each applicant based on project requirements. The project period can range anywhere from two to five years. The anticipated period of performance for the Technical Assistance to Brownfields (TAB) cooperative agreements is five years. The anticipated period of performance for other technical assistance, research or training grants is usually up to five years, but may be fewer than five years, or up to seven years, depending on the specific terms of the cooperative agreement. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. Expenditure reports are part of the progress reporting. Requirements and frequency will be determined at the time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,400,000.00; FY 21 est \$11,000,000.00; FY 22 est \$11,000,000.00;

Range and Average of Financial Assistance:

Historically, the Brownfields Training, Research, and Technical Assistance cooperative agreements have ranged anywhere from \$200,000 to \$2,000,000 over the entire cooperative agreement, depending on the specific focus area of solicitation and the project period of the award (typical project period is 5 years). New awards made for other research, training and technical assistance grants usually range between \$200,000 and \$2 million and usually incrementally funded over the project period if the award is above \$200,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For accomplishment associated with this assistance listing, please visit: <https://www.epa.gov/brownfields/brownfields-technical-assistance-training-and-research#TAB> and <https://www.epa.gov/brownfields/epas-technical-assistance-brownfields-tab-communities-program-transforming-environmental>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs). NOTE: CERCLA 104(k) is not one of the statutes subject to the Part 45 Training Rules.

Regional or Local Office:

None.

Headquarters Office:

Rachel Lentz,
Environmental Protection Agency, Office of Brownfields and Land Revitalization,
Office of Land and Emergency Management, 1200 Pennsylvania Avenue, N.W.
(Mail Code: 3204A),
Washington DC 20460
Email: Lentz.rachel@epa.gov
Phone: 202-566-2745
Fax: 202-566-1476

Website Address:

<https://www.epa.gov/brownfields/brownfields-technical-assistance-training-and-research#TAB>

RELATED PROGRAMS:

66.815 Brownfield Job Training Cooperative Agreements; 66.818 Brownfields Assessment and Cleanup Cooperative Agreements;

EXAMPLES OF FUNDED PROJECTS:

2021 The Technical Assistance to Brownfields (TAB) Program provides technical assistance to communities and stakeholders to help address their brownfield sites, and to increase their understanding and involvement in brownfields cleanup, revitalization and reuse. TAB grant recipients (also known as TAB providers) serve as an independent resource and can provide expert technical assistance and guidance to help communities, among other things, understand: 1) acquiring, assessing, cleaning up and redeveloping brownfield properties; 2) the health impacts of brownfield sites; 3) how science and technology are used for site assessment, remediation, redevelopment and reuse; and 4) how to comply with voluntary cleanup requirements. For more information on the types of projects funded under this assistance listing, please visit:

<https://www.epa.gov/brownfields/brownfields-technical-assistance-training-and-research#TAB>

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CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.815 Brownfields Job Training Cooperative Agreements

Brownfields Job Training Program (formerly known as Environmental Workforce Development and Job Training Program or EWDJT),

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(k)(7), Title National Environmental Policy Act, Section 102(2)(F)

OBJECTIVES:

The objective of the Brownfields Job Training Program is to recruit, train, and place unemployed and under-employed residents of solid and hazardous waste-impacted communities with the skills needed to obtain full-time, sustainable employment. This program promotes the facilitation of activities related to assessment, cleanup, or preparation of contaminated sites, including brownfields, for reuse, while simultaneously building a local workforce with the skills needed to perform remediation work that are supportive of environmental protection and environmental health and safety. Funding Priorities - Fiscal Year 2022: Funding will support approximately 15 cooperative agreements (estimated \$3 million) that recipients may use to develop training programs to recruit, train, and place unemployed and under-employed residents of solid and hazardous waste-impacted communities with the skills needed to obtain full-time, sustainable employment in various activities related to the assessment, cleanup, remediation, and revitalization of brownfield sites.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(7) of CERCLA must be used for training, research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfield properties, site assessments, cleanup of brownfield properties, community involvement, or site preparation. For Brownfields Job Training grants however, individuals are not eligible to apply. (See eligibility requirements). Cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable programmatic costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applications will be accepted from either eligible governmental entities as defined in CERCLA Section 104(k)(1) or eligible nonprofit organizations as defined in 2 CFR 200. Eligible governmental entities include a general purpose local unit of government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of government; a governmental entity created by a state legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a

state; a state; an Indian Tribe (other than in Alaska), or an Alaskan Native Regional Corporation and an Alaska Native Village Corporation as those terms are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following); and the Metlakatla Indian Community. Intertribal consortia, except consortia comprised of ineligible Alaskan tribes, are eligible to apply as well. Eligible nonprofit organizations include any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest; is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. Workforce Investment Boards that meet these criteria may be eligible nonprofit organizations. Public and nonprofit private educational institutions are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For-profit or proprietary training organizations or trade schools are not eligible to apply. Limited liability corporation in which all managing members are 501(c)(3) nonprofit organizations or limited liability corporations whose sole members are 501(c)(3) nonprofit organizations, limited liability partnership in which all general partners are 501(c)(3) nonprofit organizations or limited liability corporations whose sole members are 501(c)(3) nonprofit organizations, and qualified community development entity as defined in section 45D(c)(1) of the Internal Revenue Code of 1986 are eligible to apply. Evidence of nonprofit status under Federal, state or tribal law must be provided at the time the proposal is submitted. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Anyone/general public; Brownfields Job Training grants will provide environmental job training to unemployed and underemployed residents of Brownfields-impacted neighborhoods to help them take advantage of job opportunities created as a result of the management, assessment, and cleanup of contaminated properties.

Credentials/Documentation:

EPA may require that applicants document their nonprofit status at the time of application. The Agency may also request that applicants demonstrate that they have appropriate background, academic training, experience in fields, and necessary equipment to carry out training projects. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. This is a competitive grant program. Regarding pre-application assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Final approval of applications for job training grants and supporting documentation is made by EPA. Award of grant funds are generally made by EPA Regional Award Officials.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement.

Range of Approval/Disapproval Time:

From 60 to 90 days. For Brownfields Job Training grants, the range of approval/disapproval time will be approximately 90 days.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities and availability of funds. Renewals and extensions of funding are not available.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Not Applicable. For Brownfields Job Training grants, EPA does not require that applicants include a match or cost share. However, evidence of leveraged funds is encouraged. Furthermore, a statutory factor in ranking applications under Section 104(k)(7) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance. EPA may take these commitments into account in ranking applications. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The performance period for a Brownfields Job Training grant is three years. Grants are generally announced nationally and awarded by EPA Regional Offices. The Regional Offices work with applicants to negotiate a workplan and award the cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR parts 200 and 1500 Expenditure information should be provided in the progress reports. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,000,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Brownfields Job Training cooperative agreements can be funded up to \$200,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: To date, EPA has funded 352 job training grants totaling approximately \$75 million through the Job Training program. As of June 2021, approximately 18,780 individuals have completed training, and over 13,900 of those graduates obtained employment in the environmental field, earning over an average of over \$14 an hour as their starting hourly wage. This equates to a cumulative placement rate of approximately 74% since the program was created in 1998.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs). Training grants under CERCLA 104(k)(7) are not among the statutes covered by 40 CFR Part 45. EPA will periodically publish guidance for brownfields grant applications.

Regional or Local Office:

See Regional Assistance Locations. EPA Regional Offices are listed in Section 7 in the Application Guidelines for Brownfields Job Training Grants. EPA Region 1 William Lariviere, 5 Post Office Square Suite 100, Mail Code OSRR-07-3 Boston, MA 02109-3912 Phone: 617-918-1231, e-mail: lariviere.william@epa.gov EPA Region 2 Schenine Mitchell 290 Broadway, 18th Floor New York, NY 10007 Phone: (212) 637-3283 e-mail: mitchell.schenine@epa.gov EPA Region 3 Gianna Rosati 1650 Arch Street (3HS51) Philadelphia, PA 19103-2029 Phone: (215) 814-3406 e-mail: rosati.gianna@epa.gov EPA Region 4 Olga Perry 61 Forsyth Street (SNFC, EPA Mail Room) Atlanta, GA 30303 Phone: (404) 562-8534 e-mail: perry.olga@epa.gov EPA Region 5 Linda Morgan 77 West Jackson Boulevard (SE-4J) Chicago, IL 60604-3507 Phone: (312) 886-4747 e-mail: morgan.linda@epa.gov EPA Region 6 Elizabeth Reyes 1201 Elm Street, Suite 500 Dallas, TX 75270 Phone: (214) 665-3163 e-mail: reyes.elizabeth@epa.gov EPA Region 6 Rita Ware 1201 Elm Street, Suite 500 Dallas, TX 75270 Phone: (214) 665-6409 e-mail: ware.rita@epa.gov EPA Region 7 Alma Moreno Lahm EPA Region 7 Land, Chemical and Redevelopment Division 11201 Renner Boulevard Lenexa, KS 66219 Phone: (913) 551-7380 e-mail: moreno-lahm.alma@epa.gov EPA Region 8 Christina Wilson 1595 Wynkoop Street (EPR-B) Denver, CO 80202-1129 Phone: (303) 312-6706 e-mail: wilson.christina@epa.gov EPA Region 9 Nova Blazej 75 Hawthorne Street (SFD 6-1) San Francisco, CA 94105 Phone: (415) 972-3846 e-mail: blazej.nova@epa.gov EPA Region 9 Noemi Emeric-Ford USEPA Southern California Field Office 600 Wilshire Blvd.; Mail Code: SFD-6-1 Los Angeles, CA 90017 Phone: (213) 244-1821 e-mail: emeric-ford.noemi@epa.gov EPA Region 10 Angel Ip 1200 6th Avenue, Suite 900 (ECL-112) Seattle, WA 98101 Phone: (206) 553-1673 e-mail: ip.angel@epa.gov.

Headquarters Office:

Channing Shepherd,
Environmental Protection Agency, Office of Brownfields and Land Revitalization,
1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: Shepherd.Channing@epa.gov
Phone: 202-566-1238
Fax:

Website Address:

<https://www.epa.gov/brownfields/brownfields-job-training-jt-grants>

RELATED PROGRAMS:

66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ;

EXAMPLES OF FUNDED PROJECTS:

2020 Information on the types of projects funded under this assistance listing can be found at: <https://www.epa.gov/brownfields/brownfields-job-training-jt-grants>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.816 Headquarters and Regional Underground Storage Tanks Program

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title National Environmental Policy Act, Section 102(2)(F), Title Solid Waste Disposal Act, Section 8001

OBJECTIVES:

To support activities that promote the prevention, compliance, and identification of underground storage tanks, and to support activities that promote corrective action, enforcement and management of releases from underground storage tank systems. Funding Priority - Fiscal Year 2022: High priority tasks include: (1) Working with states and tribes within available resources to reduce the number of sites that have not reached cleanup completion; (2) Provide targeted training to states and tribes, such as remediation process optimization and rapid site assessment techniques; (3) Monitor the soundness of financial mechanisms, in particular, insurance and state cleanup funds that serve as financial assurance for LUST releases; (4) Provide support in Indian Country for site assessment, investigations, and remediation of high priority sites; and (5) Provide resources and support to states and tribes to quickly address emergency responses from releases to the environment.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds awarded under Section 8001(a) and (b) of RCRA must be used for projects that may include the following types of activities: research presentations, educational materials, surveys, training, studies, demonstrations, investigations, special projects and the development or use of methods to improve UST systems management and performance to reduce the actual and potential risks to human health and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); These assistance agreements are only available to public authorities (state, interstate, intrastate, agencies designated by states or territorial Governors to receive UST notifications, federally-recognized tribes and intertribal consortia, and local), public agencies and institutions; private non-profit organizations and agencies that meet the requirements of Section 8001(a) and (b) of the Solid Waste Disposal Act. Profit-making organizations and the general public are not eligible. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate; Intrastate; State; Local; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; Anyone/general public; State and local governments, territories and possessions, interstate agencies, tribes, intertribal consortia, members of the regulated community and residents in areas impacted by federally-regulated USTs.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are

subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement.

Range of Approval/Disapproval Time:

>180 Days. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length and time phasing of the assistance agreement shall be determined prior to the award. The length of the assistance agreements may be from one year to seven years. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports, and expenditure/financial, equipment, and invention reports. Progress reports are generally required at least semi-annually. Specific reporting requirements are also identified in the Grant Regulations at 2 CFR 200 and 1500, as applicable. The recipients submit quarterly reports that describe the progress and financial expenditures to date. EPA conducts baseline and advanced post-award monitoring of the recipient's performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General,

ASSISTANCE LISTINGS DESCRIPTIONS

other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records.

Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304. 68-8153-0-7-304.

Obligations:

Project Grants (Discretionary) FY 20 \$570,000.00; FY 21 est \$390,000.00; FY 22 est \$390,000.00;

Range and Average of Financial Assistance:

n FY 2021, the range was \$25,000 - \$200,000 and the average was \$65,000.

TAFS Codes:

68-8153. 68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since the inception of the UST program in 1984, EPA and states have cleaned up more than 497,407 releases nationwide; in FY 2020 alone, 7,211 cleanups were completed. EPA and states have also been making significant progress in the backlog of releases remaining to be cleaned up - from a high of almost 172,000 in 1995 down to 62,493 in 2019. This represents only 11% of the LUST backlog of releases that remain to be cleaned up. For more information on accomplishments associated with this assistance listing, please visit:

<https://www.epa.gov/ust/ust-program-facts>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs). State and Local Assistance (40 CFR Part 35.330 et. seq. for States and 40 CFR 35.730 et. seq. for Tribes and Intertribal Consortia).

Regional or Local Office:

See Regional Assistance Locations. For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Underground Storage Tank Program Area Contact. For a list of the Headquarters and Regional UST Program Contacts, please visit:

<https://www.epa.gov/ust/underground-storage-tank-ust-contacts>.

Headquarters Office:

Richard Canino,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: Canino.Richard@epa.gov
Phone: 202-564-0394

Website Address:

<https://www.epa.gov/ust>

RELATED PROGRAMS:

66.805 Leaking Underground Storage Tank Trust Fund Program; 66.804 State and Tribal Underground Storage Tanks Program;

EXAMPLES OF FUNDED PROJECTS:

2020 For examples of the types of activities funded under this assistance listing , please visit: <https://www.epa.gov/ust>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.817 State and Tribal Response Program

Grants

CERCLA Section 128(a) Funding,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 128(a)

OBJECTIVES:

EPA's CERCLA Section 128(a) grant program funds activities that establish or enhance state and tribal response program capacity, capitalize revolving loan funds (RLFs), and support insurance mechanisms. The goal of this funding is to provide financial support to establish and enhance the four elements of an effective state or tribal response program as specified in CERCLA Section 128 and to ensure that states and tribes maintain a public record of sites included in their programs. In addition, the CERCLA Section 128(a) grant program provides technical assistance funding for training and research to small communities, Indian tribes, rural communities, and disadvantaged areas as defined in Section 128(a)(1)(B)(III)(iv). Funding Priorities - Fiscal Year 2022: Funding will be prioritized as follows: (1) Funding for program development activities to establish or enhance the four elements of a state or tribal response program and to enable states and tribes to comply with the public record requirement, including activities related to institutional controls. (States and tribes that have established one or more of the four elements will not be prejudiced in funding distributions if their work plan includes activities that enhance the four elements. States with Voluntary Cleanup Program Memorandum of Agreement will not be prejudiced in funding distributions if their work plan does not include tasks related to establishing or enhancing the four elements.) (2) Funding for program development activities to enhance the response program or the cleanup capacity of a state or tribal response program. (3) Funding for site-specific activities at eligible brownfields sites. (4) Funding for environmental insurance mechanisms. (5) Funding to capitalize brownfields cleanup RLFs. In addition, pursuant to Section 128(a)(1)(B)(ii)(III), the program will allocate up to \$1.5 M of CERCLA 104(k) funding for technical assistance grants to small communities, Indian tribes, rural areas, or disadvantaged areas to carry out activities described in Section 9604(k)(7)(A). The maximum amount of technical assistance funding to support an individual community is \$20,000.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

States and tribes can use Section 128 funding to (1) establish or enhance four statutory elements of a response program, as per CERCLA 128(a)(2), (2) capitalize a Revolving Loan Fund program for brownfields cleanup, pursuant to CERCLA 104(k)(3), (3) purchase environmental insurance or develop a risk sharing pool, an indemnity pool, or insurance mechanism to provide financing for response actions under their programs, (4) maintain and update, at least annually, a public record of sites, pursuant to CERCLA 128(b), that includes the name and location of sites at which response actions have been completed during the previous year and the name and location of sites at which response actions are planned to be addressed in the next year, and (5) conduct limited site-specific activities. "Establish" includes activities necessary to build the foundation for the four elements of a state or tribal response program or may also include activities that keep their program at a level that meets the four elements. "Enhance" is related to activities that add to or improve a state or tribal response program or increase the number of sites at which response actions are conducted under a state or tribal response program. States and tribes may use Section 128(a) funds for activities that establish and enhance their response programs, even if their response programs address petroleum contamination. Restrictions apply to site-specific activities, such as assessment and cleanup. Assessments and cleanups must be conducted at eligible brownfields sites, as defined in CERCLA 101(39). In addition, states and tribes may provide technical assistance, training and research to small communities and or disadvantaged areas as defined in Section 128(a)(1)(B)(III)(iv).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; States (as defined in CERCLA Section 101(27) and tribes (as defined in CERCLA Section 101(36) are eligible for funding under Section 128(a). To be eligible to receive funding under

ASSISTANCE LISTINGS DESCRIPTIONS

CERCLA Section 128(a), a state or tribe must demonstrate that its response program includes, or is taking reasonable steps to include, the four elements of a response program. States or tribes that are parties to voluntary response program memoranda of agreement (MOAs) are automatically eligible for Section 128(a) funding. Additionally, states and tribes, including those with MOAs, must maintain and make available to the public a record of sites at which response actions have been completed in the previous year and are planned to be addressed in the upcoming year in order to qualify for Section 128(a) funding.

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments;

Credentials/Documentation:

States and tribes must provide documentation that they have met or are making reasonable progress towards meeting the four statutory elements, or have a Voluntary Cleanup Program Memorandum of Agreement with EPA, and have established and are maintaining the public record. States and tribes must define their "Section 128(a) response program", and may designate a component of the state or tribe that will be EPA's primary point of contact for negotiations on their proposed work plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Annually, states and tribes will work with the EPA regional offices to develop their work plans and funding requests for the upcoming year. Each cooperative agreement must have an annual budget period tied to an annual work plan. Annual funding guidance provides the requirements for submitting requests.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>. States and tribes submit requests to EPA regional offices for funding on an annual basis by submitting a proposed work plan. Annual funding guidance provides the information that should be submitted to the regional office. Once initial requests are submitted, EPA headquarters consolidates requests and provides a final allocation based on factors provided in the funding guidance.

Award Procedure:

EPA regional offices will negotiate and enter into a single cooperative agreement with interested states or tribes. States and tribes may distribute these funds among the appropriate state and tribal agencies to meet their specific needs within their state or tribal agency structures. At least annually, the regional offices must verify that a public record as described above exists for each of the state or tribal response programs that are receiving funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 days are required for the application request to be approved or disapproved.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Each state and tribal cooperative agreement will be evaluated on an annual

basis to ensure that the requirements to receive funding are being met. If funding is not used, it may be carried over into the next budget period.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are voluntary. States and tribes are not required to provide matching funds for grants awarded under Section 128(a). However, if the state or tribe uses Section 128(a) funds to capitalize a Brownfields Revolving Loan Fund (RLF) under CERCLA 104(k)(3), a 20% cost share is required on the amount of Section 128(a) funds used to capitalize an RLF. This program has no statutory formula, but does take into account use of prior years' funding in determining future awards. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA regions will evaluate cooperative agreements annually to ensure that requirements to receive funding are being met. EPA regional offices will determine the project period for each cooperative agreement. These may be for multiple years depending on the regional office's grants policies. Each cooperative agreement must have an annual budget period tied to an annual work plan. Funds are awarded on an annual basis and may be drawn down according to regional financial processes. Method of awarding/releasing assistance: other

Reports:

States and tribes will provide progress reports under 2 CFR 200.328 and 1500, in accordance with terms and conditions of the cooperative agreement negotiated with EPA regional offices. At a minimum, state or tribal progress reports must include both a narrative discussion and performance data relating to the state's or tribe's accomplishments with Section 128(a) funding. Depending upon the activities included in the state's or tribe's scope of work, an EPA regional office may request that a progress report include other elements as described in the Grant Funding Guidance for State and Tribal Response Programs. The regional offices may also request other information be added to the progress reports, as appropriate, to properly document activities described by the cooperative agreement work plan. Expenditure information should be provided in the progress reports. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Formula Grants (Cooperative Agreements) FY 19 \$50,000,000.00; FY 20 est \$50,000,000.00; FY 21 est \$50,000,000.00;

Range and Average of Financial Assistance:

Most fiscal years range from \$50,000 to \$1,000,000; average approximately \$450,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For information on accomplishments under this program, please visit: <https://www.epa.gov/brownfields/highlights-about-state-and-tribal->

ASSISTANCE LISTINGS DESCRIPTIONS

brownfields-response-programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart A (Environmental Program Grants) or SubPart B (Environmental Program Grants for Tribes). EPA anticipates that it will annually publish national Grant Funding Guidance for State and Tribal Response Programs. Fiscal Year 2020 guidance may be found at:

<https://www.epa.gov/brownfields/funding-guidance-state-and-tribal-response-programs-fiscal-year-2020>.

Regional or Local Office:

See Regional Assistance Locations. For a list of current Regional Contacts, please refer to the FY2020 Funding Guidance for State and Tribal Response Programs found at: <https://www.epa.gov/brownfields/funding-guidance-state-and-tribal-response-programs-fiscal-year-2020>.

Headquarters Office:

Melissa Papaavvas,
Environmental Protection Agency, OLEM/Office of Brownfields and Land Revitalization, U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204AT),
Washington DC 20460
Email: Papasavvas.Melissa@epa.gov
Phone: 202-566-0435
Fax: 202-566-1476

Website Address:

<https://www.epa.gov/brownfields/state-and-tribal-response-program-grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The funding can be used for limited site assessments or cleanups at brownfield sites; for other activities that increase the number of response actions conducted or overseen by a state or tribal response program; to capitalize revolving loan funds for cleanup; to purchase environmental insurance; or to develop other insurance mechanisms for brownfields cleanup activities. For other examples of how funding might be used under this assistance listing, please visit: <https://www.epa.gov/brownfields/state-and-tribal-response-program-grants>

CRITERIA FOR SELECTING PROPOSALS:

EPA will not fund this program through competitive grant announcement. Section 128(a) funds will be allocated to eligible states and tribes in accordance with the national Grant Funding Guidance for State and Tribal Response Programs.

66.818 Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Cooperative Agreements

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(k)(2), Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(k)(3), Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section (k)(4)

OBJECTIVES:

Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. The objectives of the Brownfield Multipurpose, Assessment, Revolving Loan Fund, and Cleanup cooperative agreements (project grants) are to provide funding: (1) to inventory, characterize, assess, and conduct planning and community involvement related to brownfield

sites; (2) to capitalize a revolving loan fund (RLF) which provide loans and subgrants to carry out cleanup activities at brownfield sites; (3) to carry out cleanup activities at brownfield sites that are owned by the grant recipient; and (4) to inventory, characterize, assess, plan or remediate one or more brownfield sites in an area proposed for Multipurpose cooperative agreements. Funding Priorities - Fiscal Year 2022: Funding will support at least 90 Assessment cooperative agreements (estimated \$47 million) that recipients may use to inventory, assess, cleanup and plan reuse at brownfield sites, as authorized under CERCLA 104(k)(2). Funding will support at least 20 direct Cleanup cooperative agreements (estimated \$13 million) to enable eligible entities to clean up properties that the recipient owns, as authorized under CERCLA 104(k)(3). Funding will support at least 8 competitive RLF cooperative agreements (estimated \$8 million) and approximately 40 RLF supplemental awards (estimated at \$45 million) to capitalize a revolving loan fund which provides loans and subgrants to carry out cleanup activities at brownfield sites, as authorized under CERCLA 104(k)(3). Funding will not be available for Multipurpose cooperative agreements.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

For site-specific projects, the site must meet the definition of a brownfields site found at CERCLA 101(39). As part of the application process, EPA provides guidance to assist grant applicants in determining whether sites meet this definition. (1) The brownfield grants may be used to address sites contaminated by hazardous and hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum). (2) Brownfields Assessment Grant funds may be used to inventory, characterize, assess, and conduct planning and community involvement related to brownfield sites. (3) An RLF project grant recipient must use at least 50 percent of the awarded funds for cleanup loans to capitalize and implement a revolving loan fund; an RLF Grant recipient may use no more than 50 percent of the awarded funds for cleanup subgrants and may not subgrant to itself. Revolving Loan Fund Grants generally are used to provide no-interest or low-interest loans for brownfields cleanups. (4) An RLF project grant recipient may use its funds to award subgrants to other eligible entities, including nonprofit organizations, for brownfield cleanups on sites owned by the subgrantee; (5) Brownfields Cleanup Grant funds must be used to carry out cleanup activities at brownfield sites that are owned by the grant recipient. (6) Multipurpose Grant projects may be used to conduct assessment, cleanup, and planning activities within a defined area. (7) Costs incurred under CERCLA 104(k) grants or cooperative agreements may not be used for a penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of brownfield sites. For further information, please contact the Headquarters or regional office. Administrative costs, including indirect costs, are limited to 5% of the amount of EPA funding as required by CERCLA 104(k)(5)(E). For further information, please contact the Headquarters or regional office. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligibility for Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Grants: a general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State; an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community; an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from taxation under section 501(a) of that Code; a limited liability

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corporation in which all managing members are organizations or limited liability corporations whose sole members are organizations described in subparagraph organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from taxation under section 501(a) of that Code; a limited partnership in which all general partners are organizations described in subparagraph (I) or limited liability corporations whose sole members are organizations described in subparagraph organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from taxation under section 501(a) of that Code; or a qualified community development entity (as defined in section 45D(c)(1) of the Internal Revenue Code of 1986. Other nonprofit organizations that do not have 501(c)(3) tax exempt status are also eligible for Cleanup Grants. For the purposes of the Brownfields Grant Program, the term other nonprofit organization consistent with 2 CFR 200.70 means any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest and is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. The term includes nonprofit institutions of higher education. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are not eligible to apply. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organization; Anyone/general public; Generally, those eligible entities identified above will benefit from the brownfields grant actions. Specifically, individuals and commercial organizations in communities will benefit from brownfields assessment, cleanup, and revitalization funding. New strategies for promoting environmental cleanup lessons from these grants will provide a growing base of information and knowledge for other communities across the country seeking partnerships with stakeholders to coordinate issues related to brownfields and leverage additional opportunities for redevelopment.

Credentials/Documentation:

EPA may require that nonprofit organizations or eligible entities other than states, tribes, or general purpose units of local government provide documentation of eligibility. EPA may also require that applicants provide site specific information to determine whether a site qualifies as a brownfield site under CERCLA 101(39). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA guidelines for Requests for Applications will specify award procedures. For competitive awards, EPA will review and evaluate applications, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Specific information regarding deadlines is provided in the competitive announcement. Please contact the headquarters or regional location, as appropriate, for additional information.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Recipients of RLF Grants may apply for additional funding on a non-competitive basis during any year after the first year the recipient receives an RLF Grant. To seek additional funding, RLF Grant recipients should contact their EPA Regional Office. In awarding this additional funding the Agency will consider: (I) the number of sites and number of communities that are addressed by the revolving loan fund; (II) the demand for funding by eligible entities that have not previously received an RLF Grant; (III) the demonstrated ability of the eligible entity to use the revolving loan fund to enhance remediation and provide funds on a continuing basis; and (IV) other similar factors, including the availability of funds and the recipient's performance history. When specific criteria are met, RLF supplemental funding can be awarded as a new grant in accordance with CERCLA 104(k)(5)(A)(ii); otherwise, RLF supplemental funding is awarded as an amendment to the existing cooperative agreement with additional years added to the performance period. Recipients of Multipurpose, Assessment, and Cleanup Grants generally do not receive additional funding on a non-competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Under CERCLA 104(k)(10)(B)(iii) revolving loan fund and cleanup grants require a 20 percent cost share, which may be in the form of a contribution of money, labor, material, or services, and must be for eligible and allowable costs. Multipurpose grants require a cost share designated by the Brownfields Program. An RLF or cleanup grant applicant may request a waiver of the 20 percent cost share requirement based on financial hardship. EPA intends to grant waivers on a very limited basis. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The performance period for Brownfield Assessment cooperative agreement is three to four years. The performance period for Cleanup cooperative agreement is three years. The performance period for Brownfields Multipurpose and Revolving Loan Fund cooperative agreements is five years. Grants are generally announced nationally and awarded by EPA Regional Offices. The Regional Offices work with the applicants to negotiate a workplan and award the cooperative agreement.

Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports, property profiles, notification of significant development, property inventory reports, procurement reports, a final report and financial reports may be required if authorized by 2 CFR Parts 200 and 1500 (specifically, 200.329 monitoring and reporting program performance). Quarterly progress reports are generally required as part of the terms and conditions of the cooperative agreement. Expenditure information should be provided in the progress reports. Performance monitoring is in accordance with the terms and conditions

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of

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Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$56,000,000.00; FY 21 est \$43,000,000.00; FY 22 est \$43,000,000.00;

Range and Average of Financial Assistance:

(1) For Community-wide Assessment grants, an eligible entity may apply for up to \$400,000 to address sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum), and sites contaminated by petroleum provided the recipient does not expend more than \$200,000 in EPA funds on any individual site. For Site-specific Assessment Grants, an eligible entity may apply for up to \$200,000 to address one site contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum) and sites contaminated by petroleum. An entity may request a waiver of the \$200,000 per site restriction up to \$350,000 based on the anticipated level of contamination, size, or ownership status of the site. These limits are mandatory under CERCLA 104(k)(5)(A). An Assessment Coalition of eligible entities may apply for up to \$1,000,000 to address sites contaminated by hazardous substances or petroleum on a community-wide basis. (2) For Revolving Loan Fund grants, an eligible entity may apply for up to \$1,000,000 for an initial RLF grant. This limit is mandatory under CERCLA 104(k)(5)(A). In addition, an RLF coalition of eligible entities may apply together under one recipient for up to \$1,000,000 per eligible entity. Annual non-competitive supplemental funding awards are made to existing, high-performing RLF recipients who apply and meet specific criteria. Supplemental awards are typically between \$200,000 to \$500,000 per recipient per year. (3) For Cleanup grants, an eligible entity may apply for up to \$500,000 for one or more sites. The \$500,000 per site limit is mandatory under CERCLA 104(k)(3)(A). Applicants that apply to address a single site may request a waiver of the \$500,000 restriction up to \$650,000. EPA will approve waivers on a very limited basis. (4) For Multipurpose grants, an eligible entity may apply for up to \$800,000 to address one or more brownfield sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum), and sites contaminated by petroleum.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: As of April 1, 2021, in fiscal year 2021, 952 sites were assessed, 109 properties were cleaned up, 4,225 jobs leveraged, \$1.175 billion leveraged and 9,054 acres ready for reuse. Program accomplishments are available at <https://www.epa.gov/brownfields/brownfields-program-accomplishments-and-benefits>.

REGULATIONS, GUIDELINES, AND LITERATURE:

For Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Grants, costs will be determined in accordance with 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs). The Agency will periodically publish guidance for brownfields grant applications.

Regional or Local Office:

See Regional Assistance Locations. Regional Brownfields Coordinators: EPA Region 1, New England, Jim Byrne, 5 Post Office Square Ste 100, Mail Code: OSRR7-2, Boston, MA 02109-3912, Phone (617) 918-1389, byrne.james@epa.gov; EPA Region 2, Schenine Mitchell, 290 Broadway, 25th

Floor, New York, NY 10007-1866, Phone (212) 637-3283, mitchell.schenine@epa.gov; EPA Region 3, Mike Taurino, 1650 Arch Street; 3 LD 50, Philadelphia, Pennsylvania 19103-2029, Phone (215) 814-3371, taurino.michael@epa.gov; EPA Region 4, Cindy Nolan, Sam Nunn Atlanta Federal Center, 61 Forsyth Street S.W., 10th Floor, Atlanta, GA 30303, Phone (404) 562-8425, nolan.cindyj@epa.gov; EPA Region 5, Matt Didier, 77 West Jackson Boulevard, Mail Code: SB-5J Chicago, Illinois 60604-3507, Phone (312) 353-2112, didier.matthew@epa.gov; EPA Region 6, Althea Foster, 1201 Elm Street, Suite 500, Dallas, Texas 75270-2162, Phone (214) 665-2268, foster.althea@epa.gov; EPA Region 7, Susan Klein, 11201 Renner Blvd, Lenexa, Kansas 66219, Phone (913) 551-7786, klein.susan@epa.gov; EPA Region 8, Danny Heffernan, 1595 Wynkoop Street (8LCR-BR), Denver, Colorado 80202-1129, Phone (303) 312-7074, heffernan.daniel@epa.gov; EPA Region 9, Noemi Emeric-Ford, 600 Wilshire Blvd, Suite 1460, Los Angeles, California 90017, Phone (213) 244-1821, meric-ford.noemi@epa.gov; EPA Region 10, Susan Morales, 1200 Sixth Avenue, Seattle, Washington 98101, Phone (206) 553-7299, morales.susan@epa.gov.

Headquarters Office:

Jerry Minor-Gordon,
Environmental Protection Agency, Office of Brownfields and Land Revitalization,
1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: Minor-Gordon.Jerry@epa.gov
Phone: 202-566-1817
Fax: 202-566-1476

Website Address:

<https://www.epa.gov/brownfields>

RELATED PROGRAMS:

66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.817 State and Tribal Response Program Grants; 66.815 Brownfield Job Training Cooperative Agreements;

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of projects funded under this assistance listing include (but are not limited to): completion of brownfields site inventories; environmental site assessments and community engagement activities; cleaning contaminated properties; neighborhood and commercial development. For more information on the types of projects funded under this program, please visit: <https://www.epa.gov/brownfield>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.926 Indian Environmental General Assistance Program (GAP)

GAP Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Indian Environmental General Assistance Program Act of 1992, , 42 USC Code 4368b, as amended.

OBJECTIVES:

EPA provides Indian Environmental General Assistance Program (GAP) financial and technical assistance to tribal governments and intertribal consortia to assist tribes in planning, developing, and establishing the capacity to implement federal environmental programs administered by the EPA and to assist in implementation of tribal solid and hazardous waste programs in accordance with applicable provisions of law, including the Solid Waste Disposal Act (commonly known as the Resource Conservation and Recovery Act, or RCRA). See the Indian Environmental General Assistance Program Act of 1992 (42 U.S.C. 4368b). EPA administers this program in accordance with the statute, applicable federal regulations, including 40 CFR part 35, subpart B (Environmental Program Grants

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for Tribes), and national guidance, including the 2013 Guidance on the Award and Management of General Assistance Agreements for Tribes and Intertribal Consortia (referred to as GAP Guidance). EPA's GAP Guidance provides a consistent national framework for building tribal environmental program capacity under GAP and is designed to improve the management of GAP resources. EPA will apply the following Guiding Principles in awarding GAP grants and cooperative agreements to tribes and intertribal consortia: 1. Ensure tribal governments have the opportunity to build the capacity to: a. Implement federal environmental programs through EPA delegations, authorizations, and primacy designations; and b. Meaningfully participate and engage in environmental protection activities that inform, support, or enhance direct implementation under federal environmental statutes administered by EPA. 2. Promote tribal self-governance by working closely with tribes to: a. Accomplish tribal environmental program goals in EPA-Tribal Environmental Plans that reflect federal environmental program areas of need to protect human health and the environment; b. Support tribes development of strong core environmental program capacities for media-specific programs administered by EPA; and c. Foster tribes capacity to assume the authority to implement programs administered by EPA (e.g., Treatment as a State status or through Direct Implementation Tribal Cooperative Agreements). 3. Promote intergovernmental collaboration and partnership among EPA, tribes, states, and other partners, and focus EPA financial and technical assistance to protect human health and the environment. 4. Support implementation of established solid and hazardous waste regulatory programs in accordance with the purposes and requirements of applicable provisions of law, including the Solid Waste Disposal Act (commonly known as the Resource Conservation and Recovery Act). 5. Maintain strong national program management practices to produce compelling results that align with EPA's statutory authorities. Funding Priorities - Fiscal Year 2021: EPA will continue to apply the following Guiding Principles in awarding GAP grants and cooperative agreements to tribes and intertribal consortia: 1. Ensure tribal governments have the opportunity to build the capacity to: a. Implement federal environmental programs through EPA delegations, authorizations, and primacy designations; and b. Meaningfully participate and engage in environmental protection activities that inform, support, or enhance direct implementation under federal environmental statutes administered by EPA. 2. Promote tribal self-governance by working closely with tribes to: a. Accomplish tribal environmental program goals in EPA-Tribal Environmental Plans that reflect federal environmental program areas of need to protect human health and the environment; b. Support tribes development of strong core environmental program capacities for media-specific programs administered by EPA; and c. Foster tribes capacity to assume the authority to implement programs administered by EPA (e.g., Treatment as a State status or through Direct Implementation Tribal Cooperative Agreements). 3. Promote intergovernmental collaboration and cooperative federalism among EPA, tribes, states, and other partners, and focus EPA financial and technical assistance to protect human health and the environment. 4. Support implementation of established solid and hazardous waste regulatory programs in accordance with the purposes and requirements of applicable provisions of law, including the Solid Waste Disposal Act (commonly known as the Resource Conservation and Recovery Act). 5. Maintain strong national program management practices to produce compelling results that align with EPA's statutory authorities. (Also view "Indian Environmental General Assistance Program Guiding Principles" found at: <https://www.epa.gov/tribal/indian-environmental-general-assistance-program-guiding-principles>).

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

All prospective applicants should refer to the applicable program guidance for the Indian Environmental General Assistance Program, "2013 Guidance on the Award and Management of General Assistance Agreements for Tribes and Intertribal Consortia", available at <https://www.epa.gov/tribal/2013-guidance-award-and-management-general-assistance-agreements-tribes-and-intertribal>, for detailed information on allowable activities and restrictions for use of GAP funds. The 2013 GAP Guidance provides criteria for the award and administration of GAP grants and applies to the work plans and budgets for all GAP assistance agreements. It reflects statutory and policy guidelines and contains binding requirements that govern the management and use of GAP funds. Activities eligible for funding under this program are those for planning, developing, and establishing capacity to

implement federal environmental programs administered by the EPA and to assist in implementation of tribal solid and hazardous waste programs in accordance with applicable provisions of law, including RCRA. GAP financial support promotes tribal government efforts to develop core environmental program capacities (administrative, financial management, information management, environmental baseline needs assessment, public education/communication, legal, and technical/analytical) and baseline capacities for media-specific programs (e.g., ambient air quality, water quality, managing waste, and other EPA-administered statutory programs). These assistance agreements provide the opportunity for the tribes to define and develop administrative and legal infrastructures; to conduct assessments, monitoring, planning, and other actions; and to undertake additional activities within a simplified administrative framework. GAP supports development of tribal environmental programs that foster compliance with Federal environmental statutes, thereby improving environmental and public health protection in tribal communities, and establish tribes communications capability to work with Federal, State, local and other tribal environmental officials. As with many of EPA's grants to states and tribes, GAP grants may be combined with one or more other eligible EPA grant(s) into a Performance Partnership Grant for administrative savings and, with EPA concurrence, programmatic flexibility. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Indian tribal governments (tribes) and intertribal consortia are eligible to receive funds under this program. These terms are defined in 40 CFR 35.502 as follows: An Indian tribal government (tribe), except as otherwise defined in statute or applicable program specific regulation, is any Indian tribe, band, nation, or other organized group or community, including any Alaska Native village, which is recognized as eligible by the U.S. Department of the Interior for the special services provided by the United States to Indians because of their status as Indians. An intertribal consortium is a partnership between two or more tribes authorized by the governing bodies of those tribes to apply for and receive assistance under GAP. Under EPA's tribal grant regulations (40 CFR 35.504), an intertribal consortium is eligible to receive GAP financial assistance when the consortium can adequately document compliance with the following requirements: 1. A majority of its members are eligible to receive GAP grants; 2. All member tribes that meet GAP eligibility requirements authorize the consortium to apply for and receive the award; and 3. Adequate accounting controls are in place to ensure that only members that meet the eligibility requirements will benefit directly from the award and the consortium agrees to an award condition to that effect. This means that a consortium may receive a GAP grant even if the consortium includes members that are not federally recognized tribes, so long as the consortium meets the three regulatory requirements specified above. Authorization of the consortia to apply for and receive the GAP award is required from all GAP-eligible member tribes. For purposes of determining intertribal consortia eligibility, a GAP-eligible tribe is any tribe that meets the definition of Indian tribal government (tribe) in 40 CFR 35.502. With each new or supplemental GAP grant application, an intertribal consortium must provide EPA with adequate documentation of: (1) the existence of the partnership between eligible tribal governments; and (2) authorization by all GAP-eligible member tribes for the consortium to apply for and receive the new or supplemental GAP grant. This documentation ensures clear communication between consortia and member tribes so that EPA is able to appropriately consider individual tribal needs and priorities when awarding GAP funds to intertribal consortia. As an example, tribal authorization may be provided by a tribal council resolution or other written certification from a duly authorized representative of each GAP-eligible member tribe. Applications that do not contain adequate documentation from all GAP-eligible tribes are incomplete. In accordance with Guiding Principle #2, EPA will award GAP funds to help tribes accomplish their tribal environmental program development goals as outlined in their EPA-Tribal Environmental Plan (ETEP). To further this principle, intertribal consortia are advised to describe how their grant proposals support the program development goals outlined in the ETEPs developed by their GAP-eligible member tribes.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

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2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. EPA will work with tribes and intertribal consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program. For additional information, contact the individual(s) listed as "Information Contacts".

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all applications for funding through [Grants.gov](https://www.grants.gov).

Award Procedure:

EPA will review each application to determine the adequacy of the application in relation to EPA's grant regulations (2 CFR 200 and 1500), and applicable program regulations and guidance. Applications are reviewed by the appropriate Regional Office and, if approved, financial assistance is awarded by the Regional Administrator or his/her delegated official.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. This varies by EPA region, but the typical timeframe is approximately 60 to 90 days after deadline for application submission.

Appeals:

Other. Disputes will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. In order to receive renewals, significant progress must be demonstrated. Renewals are subject to approval of EPA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award amount remains available until expended in accordance with the term of the award. The term of an award may exceed one year, but may not exceed four years. The term of the grant shall be determined at the time of the grant award. Method of awarding/releasing assistance: other

Reports:

Program reports are required under this program. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award. Reporting requirements shall be determined at the time of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$65,243,641.00; FY 21 est \$66,250,000.00; FY 22 est \$77,575,000.00;

Range and Average of Financial Assistance:

The minimum award for the first year of the grant is \$75,000/fiscal year; max. \$400,000/fiscal year; avg. \$110,000/fiscal year.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: GAP resources supported a) Developing and maintaining core environmental program capacities (administrative, financial management, information management, environmental baseline needs assessment, public education/communication, legal, and technical/analytic); b) Engaging with the EPA to negotiate joint EPA-Tribal Environmental Plans (ETEPs) that reflect intermediate and long-term goals for developing, establishing, and implementing environmental protection programs; Linking GAP-funded assistance agreement work plans to the ETEPs; c) Developing baseline capacities for media-specific environmental protection programs that are related to the needs of the recipient and to EPA statutory programs (e.g., ambient and indoor air quality; water quality; managing wastes; managing asbestos, lead-based paint, pesticides, toxics, and pollution prevention programs); and d) Implementing waste management programs. For information on accomplishments under this assistance listing, please visit: <https://www.epa.gov/newsreleases/epa-highlights-success-stories-indian-environment-general-assistance-program>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35 SubPart B (Environmental Program Grants for Tribes). The program guidance for the Indian Environmental General Assistance Program, titled: "2013 Guidance on the Award and Management of General Assistance Agreements for Tribes and Intertribal Consortia", is available at: <https://www.epa.gov/tribal/2013-guidance-award-and-management-general-assistance-agreements-tribes-and-intertribal>.

Regional or Local Office:

See Regional Assistance Locations. For Headquarters and Regional contact information, visit: the EPA Tribal Program Managers website at: <https://www.epa.gov/tribal/tribal-program-managers>.

Headquarters Office:

Lisa Berrios,
U.S. Environmental Protection Agency, American Indian Environmental Office,
1200 Pennsylvania Avenue, N.W. (Mail Code: 3204R),
Washington DC 20460
Email: berrios.lisa@epa.gov
Phone: 202-564-1739
Fax: 202-564-0298

Website Address:

<https://www.epa.gov/tribal/indian-environment-general-assistance-program-gap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Examples of past projects funded under this assistance listing include, but are not limited to: 1) establishing a Tribal Air Program to fill a gap in air quality data within the Great Basin Air District monitoring network, helping to identify and address emissions near tribal lands, and enhancing the ability of the tribe to more quickly respond to toxic dust storms of PM10; 2) a partnership to conduct CWA 106 monitoring and draft a report on water purification techniques. The project was expanded to include an interdisciplinary effort to design and evaluate options for sustainable water sources; 3) teaching owners and operators to recognize potential non-compliance issues and correct them prior to federal inspection, training staff and securing the federal credentials necessary for conducting UST Bootcamp classes that provide training to a broader spectrum of tribal environmental and business staff; 4) creation of a youth-led group help empower interested tribal and other local youth to become engaged and informed citizens regarding

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environmental and climate change issues at the reservation, state, regional, national and global levels. The project created, developed and supported student-led groups that are actively involved with their communities, schools, tribal leaders and elders in learning about and addressing environmental issues; and 5) capacity to train tribal staff in the evaluation of properties for potential lead exposure issues, education of potentially effected tribal populations, and detailed processes for other tribal entities to use to protect tribal youth.

CRITERIA FOR SELECTING PROPOSALS:

Applications are approved by the individual EPA regional offices according to applicants ability to achieve the objectives outlined in Public Law 102-497; 40 CFR 35 Sub Part B (Environmental Program Grants for Tribes); the 2013 Guidance on the Award and Management of General Assistance Agreements for Tribes and Intertribal Consortia; the availability of funding; and a review of the applicant's proposed work plan and past performance.

66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103, 42 USC Code 7403. Title Clean Water Act, Section 104, 33 USC Code 1254. Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), as amended by PL 106-74, 7 USC Code 136r. Title Marine Protection, Research and Sanctuaries Act, Section 203, 33 USC Code 1443. Title National Environmental Policy Act, Section 102(2)(F), 42 USC Code 4332. Title Safe Drinking Water Act, Section 1442, 42 USC Code 300j-1(a)(1). Title Solid Waste Disposal Act, Section 8001, 42 USC Code 6981. Title Toxic Substances Control Act, Section Section 10, as amended by PL 106-74, 15 USC Code 2609. Title Support for East European Democracy Act, Section 502

OBJECTIVES:

To protect human health and the environment while advancing U.S. national interests through international environmental collaboration. The Office of International and Tribal Affairs (OITA) engages both bilaterally and through multilateral institutions to improve international cooperation to prevent and address the transboundary movement of pollution. OITA will engage with key priority countries to address air pollution that contributes significant pollution to the domestic and international environment. Strengthening environmental protection abroad so that it is on par with practices in the U.S. helps build a level playing field for industry and promotes opportunities for technologies and innovation and the agency's international programs also play an important role in fulfilling national security and foreign policy objectives. OITA will continue technical and policy assistance for global and regional efforts to address international sources of harmful pollutants. OITA will engage multilaterally and bilaterally to prevent and reduce marine litter, an increasingly prominent global issue that can negatively impact domestic water quality, tourism, industry and public health in the U.S. OITA works with NPMs and Regional Offices to formulate U.S. international policies and to implement EPAs international programs that provide policy and technical assistance to other countries. OITA will continue to link anticipated and achieved outcomes to the Agency's Strategic Goals. Funding Priorities - Fiscal Year 2021: OITA will continue to strengthen its focus on prioritizing, allocating resources, and managing assistance agreements to advance the Agency's Strategic Goals and international priorities while maximizing limited resources most effectively and efficiently. OITA protects human health and the environment while advancing U.S. national interests through international environmental collaboration. Working with the experts from EPA's other programs and regional offices, other government agencies, and other nations and international organizations, OITA identifies international environmental issues that may adversely impact the United States and helps to design and implement technical and policy options to address them.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct cost expenditures incident to the approved project plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policy, 2 CFR parts 200 and 1500. Funding awarded for international research under these projects does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of International Affairs' mission. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Private nonprofit institution/organization; States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions.

Credentials/Documentation:

Office of International and Tribal Affairs (OITA) may require applicants to submit documentation of non-profit status. OITA may request applicants to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OITA may also ask applicants or principal investigators to provide curriculum vitae and relevant publications 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Under EPA Order No. 5700.5A1, Policy for Competition in Assistance Agreements, Assistance awards to Foreign Governments and to United Nations agencies and similar International Organizations, such as the Organization of American States and Organization for Economic Cooperation and Development (OECD), may be exempted from competition at the discretion of OITA. For competitive awards, Requests for Applications (RFA) will specify application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

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EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, OITA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications (RFA).

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 180 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to OITA's priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 7 years. Method of payment will be determined at the time of award. Method of awarding/releasing assistance: other

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim and final progress reports, and financial and equipment reports. Reporting requirements are also identified in the Uniform Grants Guidance 2 CFR parts 200 and 1500. The content and frequency of reporting requirements will be in the terms and conditions of the agreement. The content and frequency of reporting requirements will be in the terms and conditions of the agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR Parts 200 and 1500 are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$250,000.00; FY 21 Not Available; and FY 22 Not Available;

Range and Average of Financial Assistance:

No awards were made in FY21. Average range for past financial assistance ranged from \$15,000 - \$300,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For information on how EPA collaborates with global and bilateral partners, and the accomplishments achieved as a result of these partnerships, please visit: <https://www.epa.gov/international-cooperation>

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Lenore Connell,
Environmental Protection Agency, Office of International and Tribal Affairs, 1200 Pennsylvania Avenue., N.W (Mail Code: 3204R),
Washington DC 20460
Email: Connell.Lenore@epa.gov
Phone: 202-564-5343

Fax:

Website Address:

<https://www.epa.gov/international-cooperation>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 This program assists institutions in strengthening their legal, technical, research, analytical, program implementation capacity, and expert knowledge, information, strategies, and tools. This program has also provided capacity building through the development and implementation of projects in areas, including but not limited to: water resource management-- surface and groundwater, drinking water, and wastewater management-- solid waste management, air quality management, greenhouse gases (GHG), black carbon, climate adaptation and resiliency, emergency response capacity, environmental impact assessments (EIA), public participation/social inclusion, and environmental enforcement and compliance. For current activities funded under this assistance listing, please visit: <https://www.epa.gov/international-cooperation>.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.950 National Environmental Education Training Program

Teacher Training Program,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Education Act, Section 5, Public Law 101-619

OBJECTIVES:

The purpose of the teacher training program is to train education professionals in the development and delivery of environmental education and training programs and studies. As required by Section 5 of Public Law 101-619, National Environmental Education Act, funds are provided to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals. The mission of the current teacher

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training program--ee360--is to train a cadre of culturally-diverse educational professionals who have the knowledge, skills and tools to develop and deliver high-quality environmental education in formal and non-formal settings and to strengthen the EE field. The goals of the current teacher training program--ee360+- are to: 1) Drive Educator Excellence, which includes in-person and on-line training and the development of and updating of current Guidelines for Excellence; 2) Cultivating Collective Impact, which includes supporting state capacity building; 3) Mobilizing Access to High-Quality Resources and Networks, which includes maintaining eePRO; and 4) Maintaining Project Excellence through Evaluation, Communication and Management. ee360+ supports a diverse cadre of environmental education leaders through certification, accreditation, leadership training, state-of-the-art education materials and research, networking opportunities, and an on-line hub. Funding Priorities - Fiscal Year 2021: To perform tasks associated with the ee360+ project. Tasks include workshops, EE certification and accreditation, in-service and preservice leadership development, guidelines training, and fellowships for community leaders, among others.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds shall be used to establish an education and training program which shall include, at a minimum: (1) classroom training in environmental education and studies including environmental sciences and theory, educational methods and practices, environmental career or occupational education, and topical environmental issues and problems; (2) demonstrations of the design and conduct of environmental field studies and assessments; (3) development of environmental education programs and curricula, including programs and curricula to meet the needs of diverse ethnic and cultural groups; (4) sponsorship and management of international exchanges of teachers and other educational professionals between the United States, Canada, and Mexico involved in environmental programs and issues; (5) maintenance or support of a library of environmental education materials, information, literature, and technologies, with electronic as well as hard copy accessibility; (6) evaluation and dissemination of environmental education materials, training methods, and related programs; (7) sponsorship of conferences, seminars, and related forums for the advancement and development of environmental education and training curricula and materials, including international conferences, seminars, and forums; (8) supporting effective partnerships, networks, and the use of distant learning technologies; and (9) such other activities as the Administrator determines to be consistent with the policies of the National Environmental Education Act, Section 5. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance under this program is available to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Public nonprofit institution/organization; Private nonprofit institution/organization; Education (0-8); Education (9-12); Education (13+); Education (0-8), education (9-12), education (13+), public nonprofit institutions and private nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Office of Environmental Education may ask applicants or principal investigators to provide curriculum vitae and relevant publications and documentation of past performance of grants similar to this one in size and scope. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O.

12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive funding announcement (solicitation notice). For additional information, contact the individual(s) listed in the solicitation notice.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A solicitation notice is issued for this program once every five years. The notice identifies the application process. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the solicitation notice. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

The deadline for submitting applications for this program is specified in each solicitation notice.

Range of Approval/Disapproval Time:

Other. It can take up to a year from time of application submission to award of an assistance agreement. The last award was made in Spring 2021 (for the solicitation published in Fall 2019). The next date that a solicitation notice for this program will be published in the Spring of 2025.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of Environmental Education's program priorities, and the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. In accordance with the National Environmental Education Act, Sec. 5 (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match. The match must be for allowable costs and may be provided by the applicant or a partner organization or institution. The match may be provided in cash or by in-kind contributions and other non-monetary support. In-kind contributions often include salaries and/or other verifiable costs such as volunteer time and/or supplies/materials, and this value must be carefully documented. In the case of salaries or volunteer time, applicants may use fair market value for the locale. If the match is provided by a partner organization, the applicant is still responsible for proper accountability and documentation. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The training program consists of an initial one year budget and project period. Subsequent incremental funding on an annual basis may be awarded for the next four years, for a total budget and project period of five years. Method of awarding/releasing assistance: other

Reports:

Must be submitted at the 6th of the month and at the end of each year (semi-annual) as they relate to program objectives. Requirements will be outlined in the terms and conditions of the assistance agreement. Progress reports are required at the 6 month and 12 month of each program year (semi-annual). Requirements will be outlined in the terms and conditions of the assistance agreement. Expenditure reports are due on the same schedule as the program and progress reports. Included

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as part of the progress reports at the 6 month and at the end of each year (semi-annual).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure report as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,175,500.00; FY 21 est \$2,175,500.00; FY 22 est \$2,175,500.00;

Range and Average of Financial Assistance:

This is a 5-year program; one recipient is selected every 5 years. The project is funded on an annual basis and the amount of the annual funding depends on Congressional appropriation. In this case, per the National Environmental Education Act, 25% of the annual appropriation and average financial assistance for the full 5-year project period is approximately \$11,000,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: n FY 2019 and 2020, the ee360 program completed the following, among others: in-person and virtual pre-service and in-service training for environmental educators; leadership training for NAAEE Affiliate member organizations; development of a guidance document for educator certification at the state level so that certification of environmental educators is consistent across the country; in-person and virtual Guidelines training and additions to the Guidelines Bureau; expansion of the research database and launch of the evaluation portal; publication of research on how conservation education leads to improved environment; and update of 3 of the original EE Guidelines for Excellence.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 47 (National Environmental Education Act Grants).

Regional or Local Office:

None. A list of the Environmental Education Coordinators (by Region) can be found at: <https://www.epa.gov/education/environmental-education-coordinators-epas-regional-offices>.

Headquarters Office:

Senior Education Specialist Ginger Potter, Senior Education Specialist, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 6204M),

Washington DC 20460

Email: potter.ginger@epa.gov

Phone: 202-564-0453

Fax: 202-564-2753

Website Address:

<https://www.epa.gov/education>

RELATED PROGRAMS:

66.951 Environmental Education Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 ee360+ will continue with the success that was established for the previous program ee360 by establishing the EE Evaluation Database and updating the frequently used Research Database. For FY 21, ee360+ will begin to incorporate climate change and climate equity in all of its programming, including a Climate Equity Fellowship Program with 30 national and international leaders in environmental education and climate equity. An eePRO app is also being developed to increase the reach of eePRO.

CRITERIA FOR SELECTING PROPOSALS:

Basis and priorities for selecting applications are listed in Section 5 of Public Law 101-619, National Environmental Education Act, and in the annual solicitation notice which can be accessed (when available) at:

<https://www.epa.gov/education/grants> on the internet. The evaluation and selection criteria for competitive awards under this assistance listing will also be described in the solicitation notice.

66.951 Environmental Education Grants

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

National Environmental Education Act, Section 6, Public Law 101-619

OBJECTIVES:

The Environmental Education Grants Program supports projects to design, demonstrate, and/or disseminate practices, methods, or techniques related to environmental education and teacher training. As required by the National Environmental Education Act, Sec. 6, this grant program provides financial support for environmental education projects implemented by schools, universities, state and local government environmental and educational agencies, tribal education agencies, and nonprofit 501 (c)(3) organizations that increase public awareness and knowledge about environmental issues and provide the skills that participants in its funded projects need to make informed environmental decisions and take responsible actions toward the environment. Funding Priorities - Fiscal Year 2021: To award grants for locally focused environmental education projects, and to award 25% of the appropriated grant funding to small grants of \$5,000 or less through a subaward program.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds shall be used to establish an education program which shall include one or more of the following: (1) design, demonstration, and/or dissemination of environmental curricula and materials on specific topics relevant to the local environmental issue being addressed in the project; (2) design and demonstration (for educational purposes) of field methods, practices, and techniques, including review of environmental and ecological conditions and analysis of environmental and pollution problems; (3) projects to help the public understand and evaluate a specific environmental issue or a specific environmental problem; (4) provision of professional development or related education for teachers, faculty, or related personnel in a specific geographic area or region; and (5) design and demonstration of projects to foster international cooperation in addressing and educating the public on environmental issues and problems involving the United States and Canada or Mexico. Priority will be given to those projects which will develop: (a) a new or significantly improved environmental education practice, method, or technique; (b) an environmental education method which may have wide application; (c) an environmental education method which addresses skills or scientific fields identified as a priority in the current report developed by the National Environmental Education Advisory Council; and (d) an environmental education project which addresses an environmental issue which, in the judgment of the Administrator, is of a high priority. More specific priorities are specified in the annual Solicitation Notice. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:

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Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; Interstate; Intrastate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Assistance under this program is generally available to local education agencies, colleges and universities, state education and environmental agencies, nonprofit organizations described in Section 501(c)(3) of the Internal Revenue Service, and noncommercial educational broadcasting entities as defined and licensed by the Federal Communications Commission. Applicant organizations must be located in the United States or territories and the majority of the educational activities must take place in the United States, Canada, or Mexico. "Tribal education agencies" that are eligible to apply include a school or community college which is controlled by an Indian tribe, band, or nation, which is recognized as eligible for special programs and services provided by the United States to Indians because of their status as Indians and which is not administered by the Bureau of Indian Education. Tribal organizations do not qualify unless they meet that criteria or the environmental agency or non-profit criteria listed above. The terms for eligibility are defined in Section 3 of the Act and 40 CFR 47.105. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; U.S. Territories; Private nonprofit institution/organization; Native American Organizations; Education (0-8); Education (9-12); Education (13+); Education (0-8), education (9-12), education (13+); Public nonprofit institutions and Private nonprofit organizations, state and local government agencies.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants must demonstrate that they have appropriate background, academic training, and experience in the field, and may be asked to demonstrate the necessary equipment or facilities to carry out the project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the solicitation notice. For additional information, contact the individual(s) listed as "Agency Contacts" the solicitation notice.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are accepted for one or more solicitations on an annual grant cycle and are due at the deadline(s) stated in each solicitation. Applicants submit an application package in the format required in the annual Solicitation Notice(s). Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. After being submitted to Grants.gov, some applications may be reassigned by the EE Grants Manager to the appropriate EPA Regional Offices, depending on specifications in each solicitation notice and where the project activities will take place. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications for Environmental Education assistance agreements will be evaluated by the appropriate EPA Headquarters or Regional Offices based on where the proposed project will take place and the nature of the awards as described in the solicitation notice. For competitive awards, EPA will review and evaluate

applications, in accordance with the terms, conditions, and criteria stated in the solicitation notice. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. All awards are competed through the annual announcement(s) and no applications are awarded non-competitively.

Deadlines:

Each solicitation (competitive announcement) has a specified deadline for submitting applications.

Range of Approval/Disapproval Time:

Other. Approximately 180 days from the deadline for applications to the award and/or announcement of the award of grants to selected applications.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable. None. Grants applications are solicited and evaluated annually on a competitive basis. Therefore, applicants should not plan for renewals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. In accordance with the National Environmental Education Act (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match required by statutory formula. For the purposes of this section, the non-federal share of project costs may be provided by cash or by in-kind contributions and other non-cash support. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One or two year project periods are possible in this program, depending upon the nature and complexity of the project. Activities must be completed within the time frame of the budget period agreed upon at the time of the award. Two or more concurrent grants to the same organization for the same project are not allowed in this program. Method of awarding/releasing assistance: other

Reports:

Are due on a quarterly basis and final reports are due no later than 120 days after the project period end date. Requirements will be outlined in the terms and conditions of the assistance agreement. Progress reports due on a quarterly basis and final reports are due no later than 120 days after the project period end date. Requirements will be outlined in the terms and conditions of the assistance agreement. Expenditure reports are due on the same schedule as the program and progress reports. Requirements will be outlined in the terms and conditions of the assistance agreement. Performance reports are due on the same schedule as program and progress reports. Note that a cumulative final report is also due within 120 days after the project period end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those

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raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$3,000,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Each grant funded in 2019-2020 ranged from \$50,000-\$100,000 in federal funds. In 2019, the average award was for \$90,387. In 2020 the average award in 2020 was for \$92,971. In 2021, the range of each grant will be between \$50,000-\$100,000.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 35 grant awards were made in 2020 out of 306 applications received. The 2020 grant projects use agricultural education, community projects, and career development to educate the public about such local environmental issues as those involving air and water quality, chemical safety, land revitalization, food waste and recovery, school and community gardens, and recycling. For more information on accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/education>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 47 (National Environmental Education Act Grants).

Regional or Local Office:

See Regional Assistance Locations. Contact the appropriate EPA Regional Office listed on the website: <https://www.epa.gov/education/environmental-education-coordinators-epas-regional-offices>

Headquarters Office:

Michael Band,
Environmental Protection Agency, Environmental Education Grant Program, 1200 Pennsylvania Avenue, N.W. (Mail Code: 6204M),
Washington DC 20460
Email: Band.Michael@epa.gov
Phone: (202) 564-3155
Fax:

Website Address:

<https://www.epa.gov/education>

RELATED PROGRAMS:

66.950 Environmental Education and Training Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Environmental Education projects funded in FY 2020 included (but were not limited to) projects 1) to produce student and community stewards of effective agricultural practices with an environmental literacy program in Maryland's Coastal Bays; 2) provide hands-on summer camps and environmental education opportunities to the underserved youth in the San Louis Valley; and 3) collect and study moss samples from trees during a 10-week research project and fieldwork study in south Seattle. To view a comprehensive list of project summaries for all of the Environmental Education projects funded in FY 2020 please visit: <https://www.epa.gov/education/environmental-education-grant-descriptions>.

CRITERIA FOR SELECTING PROPOSALS:

Basis and priorities for selecting applications are listed in Section 6 of Public Law 101-619, National Environmental Education Act, and in the annual Solicitation Notice which can be accessed (when available) at <https://www.epa.gov/education/grants> on the internet. The evaluation and selection criteria for competitive awards under this assistance listing will be described in the solicitation notice.

66.956 Targeted Airshed Grant Program

Targeted Airshed,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title EPA's Annual Appropriation Acts, , Title National Environmental Policy Act (NEPA), Section 102(2)(F)

OBJECTIVES:

The overall goal of the Targeted Airshed Grant program is to reduce air pollution in the nations nonattainment areas with the highest levels of ozone and fine particulate matter (PM2.5) ambient air concentrations. Funding Priorities - Fiscal Year 2021: The goal of the Targeted Airshed Grant Program is to fund activities in applicable nonattainment areas that will achieve documentable reductions of emissions that contribute to ozone and/or direct PM2.5 concentrations in the applicable nonattainment areas. Pollutants contributing to ozone concentrations are nitrous oxides (NOx) and volatile organic compounds (VOCs). Pollutants contributing to PM2.5 concentrations are direct PM2.5 and PM2.5 precursors NOx, VOCs, sulfur dioxide (SO2), and ammonia.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved targeted airshed grants plus allowable indirect costs, in accordance with established EPA policies and regulations. Targeted airshed grants can complement but cannot replicate activities already being funded with an existing CAA Section 103 or 105 grant or Diesel Emissions Reduction Act (DERA) grant being carried out in the applicants nonattainment area. Funded activities must be for projects to carry out emission reductions; proposals only including planning exercises will not be considered. Funded activities must be designed to achieve quantifiable reductions in ozone precursor emissions and/or direct PM2.5 or PM2.5 precursor emissions in one or more of the top five most polluted areas relative to ozone (O3), annual average fine particulate matter (PM2.5), or 24-hour PM2.5 National Ambient Air Quality Standards (NAAQS). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

Government - General; Interstate; Intrastate; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Entities eligible to receive targeted airshed grants are those air pollution control agencies, as defined by Section 302(b) of the Clean Air Act (CAA), that: (a) have responsibilities for development and/or implementation of a State Implementation Plan or Tribal Implementation Plan to attain and maintain national ambient air quality standards for either ozone or PM2.5 within one or more of the top five most polluted areas relative to ozone (O3), annual average fine particulate matter (PM2.5), or 24-hour PM2.5 National Ambient Air Quality Standards (NAAQS); and (b) have an active air program grant under Section 103 or 105 of the CAA to carry out those responsibilities. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federal; Interstate; Intrastate; State; Local; Federally Recognized Indian Tribal Governments; U.S. Territories; State, local, federally recognized Indian tribal government, U.S. territories

Credentials/Documentation:

Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

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Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

For competitive awards, EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines will be specified in the Request for Application (RFA).

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to [EPAs] priorities, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. While there is no statutory or regulatory cost sharing requirement for this program, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions. If the Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Uniform Grants Guidance 2

CFR 200.328. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in the Uniform Grants Guidance 2 CFR 200.329. Grantees are required to conduct performance monitoring in accordance with Agency policy and requirements stated in the Uniform Grants Guidance 2 CFR 200.329.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$56,306,000.00; FY 21 est \$59,000,000.00; FY 22 est \$59,000,000.00;

Range and Average of Financial Assistance:

The anticipated funding amount range is \$1,000,000 to \$8,000,000 with an average award amount of \$5,000,000.

TAFS Codes:

68-0103.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Grants are being awarded in 2020 and the accomplishments have not yet been determined. They will reduce ozone and PM2.5 in the top five most polluted ozone and PM2.5 nonattainment areas. For additional information on accomplishments associated with this assistance listing, please visit: <https://www.epa.gov/air-and-radiation/targeted-airshed-grant-recipients> Fiscal Year 2021: 2021 grants will be awarded in 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Targeted airshed grants and cooperative agreements are subject to 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

See Regional Assistance Locations. EPA Regions 3, 8, 9 and 10.

Headquarters Office:

Tim Roberts,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 6204M),
Washington DC 20460
Email: roberts.timothy-p@epa.gov
Phone: 202-564-6004
Fax:

Website Address:

<https://www.epa.gov/grants/air-grants-and-funding>

RELATED PROGRAMS:

66.001 Air Pollution Control Program Support; 66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.039 Diesel Emission Reduction Act (DERA) National Grants; 66.040 Diesel Emissions Reduction Act (DERA) State Grants;

EXAMPLES OF FUNDED PROJECTS:

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2021 Anticipated funded projects may include: bus and heavy duty truck replacements; woodstove changeouts; road paving; and/or agricultural tractor replacements.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.958 Water Infrastructure Finance and Innovation (WIFIA)

WIFIA,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title America's Water Infrastructure Act of 2018, Title IV, Section 4201, Public Law 115-270, Title Fixing America's Surface Transportation (FAST) Act of 2015, Title I, Section 1445, Public Law 114-94, Title Water Infrastructure Improvements for the Nation Act of 2016, Title IV, Section 5008, Public Law 114-322, Title Water Resources Reform and Development Act of 2014, Title V, Section 5021-5035, Public Law 113-121, 33 USC Code 3901-3914, as amended.

OBJECTIVES:

To accelerate investment in our nation's water and wastewater infrastructure by providing long-term, low-cost supplemental credit assistance under customized terms to creditworthy water and wastewater projects of national and regional significance. Funding Priority - Fiscal Year FY 2021: Selected projects shall demonstrate the broad range of project types that the WIFIA program can finance including wastewater, drinking water, stormwater, and water recycling projects. For FY 2021, WIFIA is offering loans to small communities for up to 80 percent of eligible project costs. Small communities are defined as fewer than 25,000 people.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

This is specifically for water infrastructure.

Applicant Eligibility:

U.S. Territories and possessions; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; The entity applying for WIFIA credit assistance must be one of the following: a corporation, a partnership, a joint venture, a trust, a federal, state or local government entity, agency, or instrumentality, a tribal government or consortium of tribal governments, a State Revolving Fund (SRF) program as defined by the Clean Water Act and the Safe Drinking Water Act.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Project selection includes a process to identify projects to invite to apply which are: eligible, creditworthy, technically feasible, meet the public policy goals outlined in the WIFIA statute and regulation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The WIFIA application

process is two phases: Phase 1: Project Selection: EPA announces the amount of funding it will have available and solicits letters of interest from prospective borrowers. In their letters of interest, prospective borrowers demonstrate their project's eligibility, creditworthiness, engineering feasibility, readiness to proceed, and alignment with EPA's policy priorities. Based on this information, EPA selects projects which it intends to fund and invites the prospective borrowers to continue to the application process. Phase 2: Project Review, Negotiation, and Closing: Each invitee must apply for its WIFIA loan. The WIFIA program conducts a detailed financial and engineering review of the project. Based on that review, the WIFIA program proposes terms and conditions for the project and negotiates them with the applicant until they develop a mutually agreeable term sheet and loan agreement. Prior to closing, the WIFIA program must receive approval from the Administrator or his designee and the Office of Management and Budget. At closing, the prospective borrower executes the credit agreement, which is the binding legal document that allows the borrower to receive WIFIA funds.

Award Procedure:

Once projects are selected, prospective borrowers are invited to submit an application. At this point, the funds for the loan are set-aside to ensure the WIFIA program will have sufficient funds for the loan. The borrower does not receive disbursements until after loan closing. At that point the funds are obligated.

Deadlines:

EPA may announce multiple selection rounds in a single notice of funding availability (NOFA). For each selection round, the NOFA will identify a deadline by which letters of interest must be submitted to be considered for funding in that selection round.

Range of Approval/Disapproval Time:

Other. Prospective borrowers have 2-3 months to submit a Letter of Interest (LOI). Exact deadlines will be in the Notice of Funding Availability (NOFA). Projects will be selected approximately 2-3 months after the LOI submission deadline. Once prospective borrowers are notified that they have been selected, they have up to a year to submit an application.

Appeals:

From 1 to 15 days. After a prospective borrower receives a written notification that their project is not eligible under the WIFIA statute, the prospective borrower may respond with a written dispute to the program. The written dispute must be received within fifteen days of WIFIA's notification. The written dispute must include a detailed statement of the legal and/or factual basis for the dispute, the remedy that the prospective borrower is seeking, information on how to communicate with the prospective borrower, and any documentation relevant to the dispute.

Renewals:

Other. Borrowers are able to submit another LOI for additional funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. WIFIA can only finance up to 49% of total eligible project costs. It is the prospective borrowers responsibility to show that they have other viable sources of funding for the remaining 51% of the eligible project costs. Federal funding cannot exceed 80% of total project costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

WIFIA loans may have a length of up to 35 years after substantial completion, allowing payment amounts to be smaller throughout the life of the loan. Prospective borrower has up to one year to submit their application from the time they are selected. Method of awarding/releasing assistance: other

Reports:

Updated financial statements and financial model required. Construction progress reports are required. Frequency determined during negotiation of terms and conditions of loan agreement. Requests for disbursements are required. Frequency determined during negotiation of terms and conditions of loan agreement. Performance reports are required. Frequency determined during negotiation of terms and conditions of loan agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In addition, the program requires audited financial statements per WIFIA regulations and in our loan agreements.

Records:

The borrower should retain all files and records relating to the loan. Generally, these files should be retained until 5 years after the later of the date on which (i) all obligations under the WIFIA loan agreement have been met and any required audits performed and (ii) any litigation relating to the project, WIFIA loan or WIFIA loan agreement have been resolved.

Account Identification:

68-4372-0-3-301. 68-0254-0-1-301.

Obligations:

Direct Loans FY 20 \$26,300,200.00; FY 21 est \$59,500,000.00; FY 22 est \$72,108,000.00;

Range and Average of Financial Assistance:

Range: \$16,000,000 to \$727,000,000; Average: \$194,000,000.

TAFS Codes:

68-4372. 68-0254.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The WIFIA program will publish a Notice of Funding Availability (NOFA) soliciting letters of interest from prospective borrowers following an appropriation. The WIFIA program will continue to work to issue loans to eligible borrowers. The program closed 15 loans so far during FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

See WIFIA website at: <https://www.epa.gov/wifia/wifia-law-and-regulations>.

Regional or Local Office:

None.

Headquarters Office:

Arielle Gerstein, Program Manager,
Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204A),
Washington DC 20460
Email: gerstein.arielle@epa.gov
Phone: 202-566-1868
Fax:

Website Address:

<https://www.epa.gov/wifia>

RELATED PROGRAMS:

66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.468 Capitalization Grants for Drinking Water State Revolving Funds;

EXAMPLES OF FUNDED PROJECTS:

2021 In FY 2021 the WIFIA program closed 14 loans with eligible borrowers through July 2021. Projects funded include public drinking water systems, water supply, water reuse, POTWs, and stormwater.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria will be outlined in the Notice of Funding Availability (NOFA).

66.961 Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core)

Superfund Combined, Bulk or Block Cooperative Agreements,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(d)(1)

OBJECTIVES:

To effectively implement the statutory requirements of CERCLA for state or tribal involvement and provide funds to conduct (1) site characterization activities at potential or confirmed hazardous waste sites; (2) undertake response planning at sites on the National Priorities List (NPL) and (3) CERCLA activities which are not assignable to specific sites that support a state or Indian tribe's Superfund program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This assistance program allows site-specific, non-remedial action activities and non-site specific Core state or Indian tribal Superfund support to be combined within the same cooperative agreement. The site-specific activities may include (1) non-time critical removal actions; (2) site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) support for CERCLA implementation activities; (4) identification of Potentially Responsible Parties (PRPs); (5) settlement negotiations; (6) enforcement actions against PRPs; and (7) oversight of PRP cleanups. Core program support ensures that states or Indian tribes can substantially participate in CERCLA response actions. Recipients negotiate with EPA Regions to receive funding for a variety of non-site specific activities that include: (1) procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and (5) activities that support EPA/Recipient interaction. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions, State and Federally Recognized Indian Tribal Governments are eligible to apply.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov.

Award Procedure:

EPA Regional Superfund program offices review applications for cooperative agreements. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless re-delegated to the

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Regional Superfund Division Director.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days.

Appeals:

Other. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable."

Renewals:

Other. Extensions are available but not guaranteed. Applications for additional funding need to include only the revised pages from EPA Standard Form 424.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. As required by 40 CFR Part 35 Subpart O, State recipients of Core Program assistance must provide a 10 percent cost share of the total project cost on all awards. This match may be made from either direct, non-federal funds, non-reimbursed fund, or with in kind contributions. CERCLA credits earned at other Superfund sites cannot be used to meet the 10 percent match. The site-specific funds awarded as part of this cooperative agreement are NOT subject to cost share. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Contact your Regional Superfund program for more information on when to apply for assistance. Recipients with Regional approval may receive cooperative agreement awards for multi-year budget and project periods at the Region's discretion. continued funding of tasks in subsequent years is based on an evaluation of demonstrated progress towards the goals in the Statement of Work. Method of awarding/releasing assistance: other

Reports:

Part of the Progress Report submission. Requirements determined at time of award. In accordance with 40 CFR 35.6650, these reports will contain at a minimum: a) an explanation of work accomplished during the reporting period, delays, or other problems, if any, and a description of the corrective measures that are planned b) a comparison of the percentage of the project completed to the project schedule, and an explanation of significant discrepancies c) a comparison of the estimated funds spent to date to planned expenditures and an explanation of significant discrepancies d) an estimate of the time and funds needed to complete the work required in the Cooperative Agreement, a comparison of that estimate to the time and funds remaining, and a justification for any increase. The progress reports shall be due no later than 60 days after the reporting period; the final progress report is due 90 days after the expiration or termination of the cooperative agreement. Part of the Progress Report submission. Requirements determined at time of award. Requirements determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 2 CFR Part 200 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for ten years following submission of the final Financial Status Report unless otherwise directed by the EPA award official and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten-year period, the records must be retained until completion of

the action and resolution of all issues which arise from it, or until the end of the regular ten year.

Account Identification:

68-8145-0-1-304.

Obligations:

Cooperative Agreements FY 20 \$3,600,000.00; FY 21 est \$3,600,000.00; FY 22 est \$3,600,000.00;

Range and Average of Financial Assistance:

\$0 to \$500,000. Approximate average \$25,000.

TAFS Codes:

68-8145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Site specific accomplishments include completed site assessments, non-time critical removal actions, remedial investigations, and feasibility studies; reviews of proposed plans and records of decision; and enforcement actions against PRPs. Core accomplishments include program development, hiring and training of state response staff, and creation of procedures for emergency response actions. For accomplishment's under EPA's Superfund program, please visit: <https://www.epa.gov/superfund>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs); 40 CFR Part 35, Subpart O (Cooperative Agreements and Superfund State Contracts for Superfund Response Actions).

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Ellyn Fine,

U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. (Mail Code: 3204P),

Washington DC 20460

Email: fine.ellyn@epa.gov

Phone: 703-603-8714

Fax:

Website Address:

<https://www.epa.gov/superfund>

RELATED PROGRAMS:

66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements ; 66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements;

EXAMPLES OF FUNDED PROJECTS:

2021 Site specific projects including non-time critical removal actions; site characterization; remedial investigations, feasibility studies, and remedial design activities to support CERCLA implementation; identification of and settlement agreements, enforcement actions against or oversight of Potentially Responsible Parties (PRPs). Non-site specific projects including program management and planning, legal and enforcement support hiring and training of state response staff, and procedures for emergency response actions.

CRITERIA FOR SELECTING PROPOSALS:

For Superfund Core Program funding, recipients must demonstrate a need for non-site specific funds to support activities. Removal actions require a planning period of six months or more. Other site-specific projects are examined and selected on a case-by-case basis based upon availability of funds, eligibility of activities and other criteria as determined by EPA.

66.962 Columbia River Basin Restoration (CRBR) Program

CWA Section 123,

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 123, 33 USC Code 1275. Title EPA Annual Appropriation Acts.

OBJECTIVES:

To support the protection and restoration of the Columbia River Basin, the U.S. Environmental Protection Agency (EPA) established the Columbia River Basin Restoration Program (CRBRP). CRBRP promotes ecosystem protection and restoration activities and strategies by working with federal agencies, states, tribes, regional water pollution control agencies and entities, local governments, non-governmental entities and soil and water conservation districts to improve water quality and support environmental protection and restoration. Funding Priorities- Fiscal Year 2020: Projects which address Eliminating or reducing pollution Cleaning up contaminated sites Improving water quality Monitoring to evaluate trends Reducing runoff Protecting habitat Promoting citizen engagement or knowledge . Building off existing successful efforts in the Columbia River Basin to address toxics and that leverage or expand on existing partnerships. Across all priorities, there is a focus on toxics and their impact on human health and fish and wildlife. 1. Increased monitoring and access to data from monitoring in the Columbia River Basin with a focus on toxics with an impact on human health and fish and wildlife. 2. Reducing stormwater and agricultural runoff by using strategies such as green infrastructure to reduce the toxicity and/or quantity of runoff and/or implementing agricultural best management practices (BMPs) such as Pesticide Stewardship partnerships. 3. Promoting citizen engagement or education to promote pollution prevention, for example, increasing knowledge on pollution prevention strategies and actions that can be taken by communities, businesses and government to reduce toxics in the Basin. 4. Reducing toxic pollutants from mining activities by using strategies such as monitoring and assessment of mining-impacted streams, stabilization of mining wastes to prevent runoff, and clean-up or remediation of mining-contaminated sediments and other mine-related waste.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The work must be for the purpose of environmental protection and restoration activities within the Columbia River Basin and may include programs, projects and studies. A single application must include activities in one or more of the following categories: 1. Eliminating or reducing pollution including strategies or projects to reduce toxics into streams of the Columbia River Basin, including agricultural best management practices such as Pesticide Stewardship Partnerships, stormwater and sediment management, and pesticide collection and take back programs. 2. Cleaning up contaminated sites include cleanup actions at small non-CERCLA sites where hazardous chemicals, oil, pollutants, and/or mining impacts have been released into the Columbia River Basin. 3. Improving water quality to reduce toxics in the Columbia River Basin. 4. Monitoring to evaluate trends includes monitoring current conditions such as the sampling and analysis of Columbia River Basin water, fish and sediment focused on toxics. 5. Reducing runoff through agricultural best management practices and/or sediment and stormwater runoff controls, including green infrastructure. 6. Protecting habitat to reduce the impact of toxics on Columbia River Basin fish and wildlife. 7. Promoting citizen engagement or knowledge by increasing engagement and communication with individual community members (such as local, state and tribal environmental managers, and/or NGOs), creating bi-lingual outreach and education materials on the topic of toxics reduction, green chemistry pilot projects, and/or sharing examples of successful toxics reduction efforts.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible entities include state governments, tribal governments, regional water pollution control agencies and entities, local government entities, nongovernmental entities, or soil and water conservation districts. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to

compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c). Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Agency Contact(s)" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications, in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

For competitive awards, deadlines will be specified in the Request for Applications .

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Projects under this assistance listing are subject to the following funding limitations and mandatory cost share requirements: a) For non-tribal applicants EPA will fund up to 75% of the cost of the overall project (i.e. applicants are responsible for cost-sharing at least 25% of the cost with non-federal funds); b) For tribal applicants EPA will fund up to 75% of the cost of the overall projects (i.e. tribal applicants are responsible for cost-sharing at least 25% of the cost with either non-federal and/or federal funds); and c) The Administrator may increase the Federal share under such circumstances as the Administrator determines to be appropriate. Reference 33 U.S.C 1275(d)(2)(B). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

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The estimated project period for awards resulting from this solicitation is 24 months. Method of awarding/releasing assistance: other

Reports:

Quarterly reports and a final report within 90 days of assistance agreement closure.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, and other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$1,028,781.00; FY 21 est \$1,300,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$60,000 to \$200,000 per project period, average of \$125,000

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Accomplishments under this assistance listing include: **One project under this grant program is reducing toxic pollution in the Middle Columbia River Basin by educating and inspiring students and community members to prevent pollution discharges. Developed four pollution-prevention curriculum modules tailored to Columbia River toxic pollution, and pollution prevention and reduction strategies. These online curriculum modules are targeted at middle school students in the Columbia River Gorge. Modules focus on four topics: Household Contaminants; Stormwater, Riparian Zones, and Microplastics. Website here: <https://www.columbiariverkeeper.org/engaging-communities/pollution-prevention-curriculum> Created two bilingual pollution prevention fact sheets completed and distributed via web, email, and social media. https://www.columbiariverkeeper.org/sites/default/files/2021-03/Toxins%20in%20Home_d_Spanish_0.pdf https://www.columbiariverkeeper.org/sites/default/files/2021-03/Toxins%20in%20Home_d.pdf Produced two bilingual radio shows produced and aired on Radio Teirra and posted on Riverkeeper website as podcasts. Completed two community education webinars - "Homebodies and Waterbodies" and "Environmental Advocacy and Justice Perspectives from La Comunidad Latina". Together the webinars had 165 attendees who tuned in live, and the recordings have been viewed over 1,000 times to-date and will continue to be available via our website and social media outlets. In just two of the four planned events, total outreach targets of 150 people have been exceeded. <https://www.columbiariverkeeper.org/news/2021/3/environmental-advocacy-and-justice> <https://www.columbiariverkeeper.org/news/2021/2/homebodies-and-waterbodies-recap> **The Pesticide Reduction Outreach (PRO) Campaign is a behavior change campaign that promotes citizen engagement and knowledge by targeting residential users of herbicides and insecticides. 92 participants attended virtual Community Based Social Marketing workshop. **Another project will provide on-site technical assistance, trainings, resources, and pollution prevention evaluations to automotive and landscaping businesses, and expand the Ecological Business Program (EcoBiz). One of eight Safer Chemical Alternatives Trainings have taken place (Jan 2021), a second is planned for June 2021. Updated EcoBiz

Landscaping Checklist. Have reached out to 100 businesses and offered Ecobiz technical assistance in landscaping and automotive sectors to help implement best practices and reduce toxic chemicals. **Another project is expanding an existing stormwater retrofit program that fills a regulatory gap by working with businesses on a voluntary basis who do not have a regulatory requirement to install stormwater facilities or otherwise manage site-produced toxins that impact urban stormwater runoff. These retrofits reduce or eliminate pollution and runoff, improve water quality, and protect habitat while promoting citizen engagement and knowledge. 70 new businesses have been added to the Stormwater Retrofit Project pipeline (Feb 2021) and five of these businesses have carried out site visits.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Peter Murchie,
U.S. Environmental Protection Agency, 1200 Sixth Avenue,
Seattle WA 98101

Email: murchie.peter@epa.gov

Phone: (206) 553-0804

Fax:

Website Address:

<https://www.epa.gov/columbiariver/about-epas-work-columbia-river-basin>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.963 Healthy Environmental Living Program (HELP)

HELP,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Air Act, Section 103(b)(3), Title Clean Water Act, Section 104(b)(3), Title Safe Drinking Water Act, Section 1442(a) and 1442(c), Title Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), Public Law 106-74, Title Solid Waste Disposal Act, Section 8001(a), Title Toxic Substances Control Act, Section 10(a), Public Law 106-74

OBJECTIVES:

Grants are awarded to support projects that meet two criteria: 1) They must be located in and directly benefit one or more Target Investment Areas and 2) They must achieve measurable environmental and public health results in one or more of the Target Program Areas. Target Investment Areas and Target Program Areas are identified in the annual competitive funding announcement. Funds for all projects should support activities to provide education, outreach, or training in the Target Program Areas. The Regional Office will only accept submissions for projects that affect the states, tribes, and territories within the five Region 6 states: Arkansas, Louisiana, Oklahoma, New Mexico, and Texas. Projects that are national in scope are not eligible for funding under this regional program. The statutory authorities for this program restrict the use of assistance agreements to support the following activities: conducting or promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, and elimination of pollution. Demonstrations must involve new or experimental

ASSISTANCE LISTINGS DESCRIPTIONS

technologies, methods, or approaches, and it is encouraged that the results of these projects will be disseminated so that others can benefit from the knowledge gained. A project that is accomplished through the performance of routine, traditional, or established practices, or a project that is simply intended to carry out a task rather than transfer information or advance the state of knowledge, however worthwhile the project might be, is not considered a demonstration project. Funding Priority Fiscal Year 2021 Clean, Green, and Healthy Schools: Projects that focus on creating clean, green, and healthy school environments by promoting EPA's State School Environmental Health Guidelines; EPA's Voluntary Guidelines for Selecting Safer School Location and their design, construction, and renovation; EPA's 3Ts for Reducing Lead in Drinking Water in Schools, and/or implementing replicable programs across Region 6 serving children's environmental health at K-12 schools. Community and Water Infrastructure Resilience: Projects that provide education, outreach, and training to manage facilities at which hazardous substances are located; advance the emergency preparedness and resilience of communities and water infrastructure through training related to safer handling and removal of hazardous waste. Healthy Indoor Environments: Projects that focus on reducing and/or preventing childhood lead poisoning through compliance assistance, outreach, and/or education on lead-based paint regulations, and/or small drinking water systems; reducing asthma triggers; promoting integrated pest management; promoting recycling, pollution prevention, food recovery, food waste minimization and/or diversion, and/or renewable energy; reducing childhood exposure to one or more toxins (lead, PCBs, dioxin, mercury, asbestos, pesticides, etc.); and promoting comprehensive healthy homes and/or other indoor environments for children or other sensitive populations. Healthy Outdoor Environments: Projects that focus on reducing and/or preventing exposure to toxics and pollutants in the air, soil, and/or water by addressing the causes, effects, extent, reduction, prevention, and/or elimination of pollution in rivers and/or other natural resources.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The statutory authorities for this program restrict the use of assistance agreement to support the following activities: conducting or promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the cause, effects (including health and welfare effects), extent, prevention, and elimination of water pollution. Demonstration must involve new or experimental technologies, methods, or approaches, and it is encouraged that the results of these projects will be disseminated so that others can benefit from the knowledge gained. A project that is accomplished through the performance of routine, traditional, or established practices, or a project that is simply intended to carry out a task rather than transfer information or advance the state of knowledge, however worthwhile the project might be, is not considered a demonstration project. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals), Public nonprofit institution/organization (includes institutions of higher education and hospitals), Federally Recognized Indian Tribal Governments, Private nonprofit institution/organization (includes institutions of higher education and hospitals), Quasi-public nonprofit institution/organization, Interstate Assistance under this program is available to State, Local, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, Federally Recognized Indian Tribal Governments, K-12 schools or school districts; and non-profit organizations (e.g. grassroots and/or community-based organizations). Funding will be considered for a college or university to support a project with substantial

community involvement. Private businesses, federal agencies, and individuals are not eligible to be grant recipients; however, they are encouraged to work in partnership with eligible applicants on projects. Applicants need not be located within the boundaries of the EPA Region 6 to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within Region 6. For certain competitive funding opportunities under this assistance listing, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Interstate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization; Quasi-public nonprofit organization; Anyone/general public; State, Local, Federally Recognized Indian Tribal Governments, public nonprofit institutions/organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, anyone/general public.

Credentials/Documentation:

Tribes may be asked to demonstrate that they are federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR 35.504. Non-profit applicants are not required to have a formal Internal Revenue Service (IRS) non-profit designation, such as 501(c)(3); however, they must present their letter of incorporation or other documentation demonstrating their non-profit or not-for-profit status. This requirement does not apply to public agencies or Federally Recognized Indian Tribes. Failure to enclose the letter of incorporation or other documentation demonstrating non-profit or not-for-profit status will render full proposal submissions incomplete and they will not be reviewed. Applicants who have an IRS 501(c)(4) designation are not eligible for grants if they engage in lobbying, no matter what the source of funding for the lobbying activities. For-profit enterprises are not eligible to receive subawards from eligible recipients under this grant program, although they may receive contracts, subject to EPA's regulations on procurement under assistance agreements in 2 CFR 200 and 2 CFR 1500. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Regarding pre-application assistance with respect to competitive funding opportunities under this assistance listing, EPA will generally specify the nature of the pre-application assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" in the competitive announcement.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

EPA will review and evaluate applications in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Deadlines for competitive awards will be specified in the annual competitive funding announcement.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicants will generally be notified within 60 days of receipt of submission for funding.

Appeals:

Other. Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be found at: <https://www.epa.gov/grants/grant-competition-dispute-resolution-procedures>. Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

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Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 5%. Requirement: Matching requirements are mandatory. The grant program requires a match of 5% of the total budget as part of their application. Any exceptions will be identified in the annual competitive funding announcement. Award recipients can use contributions from entities other than themselves as a match. However, other Federal money cannot be used as the match for this grant program in the absence of specific statutory authority. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement work plan. Any restrictions on the use of grant funds (e.g., prohibition of land acquisition with grant funds) also apply to the matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance agreements are normally funded on a 12- or 24-month basis, at the discretion of EPA. There is no restriction placed on the time permitted to spend the money awarded as long as the money is spent within the budget and project periods of the award specified in the workplan, the SF-424, "Application for Federal Assistance", and applicable appropriations laws. Method of awarding/releasing assistance: lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Grants and cooperative agreements require quarterly and final progress and expenditure reports; program evaluations and other reports as detailed by the specific terms and conditions of the agreements. Progress reports are required for this program. Reporting requirements shall be determined at the time of grant award. Expenditure reports are required for this program. Reporting requirements shall be determined at the time of grant award

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$100,000.00; and FY 22 est \$100,000.00;

Range and Average of Financial Assistance:

\$25,000 - \$100,000/fiscal Year.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements awarded under The Healthy Environmental Living Program (HELP) are subject to EPA and Federal General Grant Regulations (2 CFR Part 200 and 2 CFR Part 1500); 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection

Agency Programs).

Regional or Local Office:

None.

Headquarters Office:

Stephanie Cheaney,
US EPA Region 6, 1201 Elm Street, Suite 500 (LCRPT),
Dallas TX 752702002

Email: Cheaney.Stephanie@epa.gov

Phone: 214-665-8057

Fax:

Website Address:

<https://www.epa.gov/aboutepa/epa-region-6-south-central>

RELATED PROGRAMS:

66.110 Healthy Communities Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this assistance listing will be described in the competitive announcement.

66.964 Chesapeake Bay Program Implementation, Regulatory/Accountability and Monitoring Grants

Chesapeake Bay Program CBIG, CBRAP and Monitoring Grants,

FEDERAL AGENCY:

ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Title Clean Water Act, Section 117(e), 33 USC Code 1267. Title EPA Annual and Supplemental Appropriation Acts,

OBJECTIVES:

The EPA's Chesapeake Bay Program (CBP) awards annual grants to states, tribes and local governments that are signatories to the 2014 Chesapeake Bay Watershed Agreement to reduce and prevent pollution and to improve the living resources in the Chesapeake Bay. Grants are awarded for implementation projects, regulatory/accountability, and monitoring, as well as other related activities. EPA's funding priority is to achieve the goals and objectives established in the 2014 Chesapeake Bay Watershed Agreement through the implementation of the management strategies. More detail on these can be found at <http://www.chesapeakeprogress.com>. Funded projects have helped support the commitments set forth in Chesapeake Executive Council agreements and amendments (The Chesapeake Bay Agreement of 1983 through the 2014 Chesapeake Bay Watershed Agreement) and subsequent directives, adoption statements, endorsements and resolutions to expand and strengthen cooperative efforts to restore and protect the Chesapeake Bay. These grants are generally awarded non-competitively through allocation formulas provided in program guidance: Chesapeake Bay Regulatory and Accountability (CBRAP) grants: These grants are awarded to the signatory jurisdictions to aid in implementing and expanding their regulatory, accountability, assessment, compliance, and enforcement capabilities to meet the Water Quality Goal for the 2014 Chesapeake Bay Watershed Agreement and the Bay TMDL. Chesapeake Bay Implementation Grants (CBIG): These grants are awarded non-competitively to the signatory jurisdictions for implementation of the management strategies and their two-year Logic & Action Plans developed for each of the applicable outcomes identified in the 2014 Chesapeake Bay Watershed Agreement. Monitoring Grants: These grants are awarded to signatory jurisdictions for enhanced monitoring and assessment of nutrient and sediment loads, habitat quality, benthic community conditions, and trends of the Chesapeake Bay and its tidal tributaries. These grants are generally awarded non-competitively through allocation formulas.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Assistance Agreements awarded under the Chesapeake Bay Program include the following: (1) Section 117(e)(1)(A) Chesapeake Bay Regulatory and Accountability Grants (CBRAP) - As a result of EO 13508, these grants help each watershed state and the District of Columbia to develop new regulations, design TMDL watershed implementation plans, reissue and enforce permits, and provide technical and compliance assistance to local governments and regulated entities. The grants aid the states and DC in implementing and expanding their jurisdiction's regulatory, accountability and enforcement capabilities, in support of reducing nitrogen, phosphorus and sediment loads delivered to the Bay to meet the water quality goals of the 2014 Chesapeake Bay Watershed Agreement. EPA awards these grants non-competitively. Signatory jurisdictions applying for regulatory and accountability program grants under Section 117(e)(1)(A) must identify 50% cost share of total project costs (equal match/dollar for dollar) as required by statute. (2) Section 117(e)(1)(A) Chesapeake Bay Implementation Grants (CBIG) - The Chesapeake Bay Implementation Grants are authorized under Section 117(e)(1)(A) to signatory jurisdictions. Implementation Grants are for the purpose of implementing the management mechanisms established under the 2014 Chesapeake Bay Watershed Agreement, with particular emphasis on state programs for control and abatement of nonpoint source nutrient and sediment pollution (including atmospheric deposition as a nonpoint source). Specifically, CBIG awards support the jurisdictions' implementation of the management strategies developed for each of the applicable outcomes identified in the 2014 Chesapeake Bay Watershed Agreement. CBIG awards may support the jurisdictions' implementation of their watershed implementation plans (WIPs) and milestone commitments, including addressing EPA's evaluation of the WIPs and milestones, and comparable actions that support nutrient and sediment load reduction goals. If the signatory jurisdictions do not use 117(e)(1)(A) grants for projects consistent with furthering the 2014 Chesapeake Bay Watershed Agreement goals and outcomes, EPA may reallocate, conditionally award, or withhold funds. These grants are awarded non-competitively to any jurisdiction that signed the 2014 Chesapeake Bay Watershed Agreement. Signatory jurisdictions applying for implementation under Section 117(e)(1)(A) must identify 50% cost-share of total project costs (equal match/dollar for dollar). (3) Section 117(e)(1)(B) Monitoring Grants - The monitoring grants are authorized under Section 117(e)(1)(B) for signatory jurisdictions. These grants support the characterization of water and habitat quality and benthic community conditions for the main stem Chesapeake Bay and tidal tributaries, characterizing nutrient and sediment loadings to the Chesapeake Bay and its tidal tributaries from the surrounding Chesapeake Bay watershed, assessing changes in nutrient and sediment concentrations in local streams and rivers throughout the Chesapeake Bay watershed, and providing data analysis and interpretation support for water quality and living resources status and trends. These grants are awarded non-competitively to any signatory jurisdiction that signed the 2014 Chesapeake Bay Watershed Agreement. Signatory jurisdictions applying for monitoring grants under Section 117(e)(1)(B) must identify 50% cost share of total project costs (equal match/dollar for dollar). Additional activities may be funded with resources made available in EPA's annual appropriation acts. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: Geospatial Resources at EPA (<https://www.epa.gov/geospatial>).

Applicant Eligibility:

State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; State, tribal, and local governments that are signatories of the 2014 Chesapeake Bay Watershed Agreement. Tribes must be federally recognized. Non-profits and for-profit organizations are not eligible. Under section 117(e)(1)(A) and 117(e)(1)(B), respectively, funds are available for implementation, regulatory and accountability, and monitoring grants to signatory jurisdictions.

Beneficiary Eligibility:

Anyone/general public; The general public including recreational and commercial users of the natural resources in the Chesapeake Bay watershed.

Credentials/Documentation:

Signatory to the 2014 Chesapeake Bay Watershed Agreement and compliance with the program grant guidance (<https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>) such as cost-share requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. EPA financial assistance programs and activities subject to intergovernmental review that are subject to review under State single point of contact procedures are identified at <https://www.epa.gov/grants/epa-financial-assistance-programs-subject-executive-order-12372-and-section-204-demonstration>. Applicants for programs or activities subject to Intergovernmental Review that are not subject to State single point of contact review must provide directly affected State, areawide, regional, and local entities at least 60 days to review their application following notification by EPA that the application has been selected for funding as provided by 40 CFR 29.8(a) and (c).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through Grants.gov. Instructions for application submission is provided in the annual program guidance located at: <https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>.

Award Procedure:

The procedures for awarding assistance agreements will be provided in the CBPO Grant Guidance located at: <https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Disputes involving the selection of the applicants will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals:

Other. Extensions are subject to EPA approval.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Under Section 117(e)(1)(A) and 117(e)(1)(B), respectively, signatory jurisdictions applying for regulatory and accountability, implementation, and monitoring grants must identify 50 percent cost share of total project costs (equal match/dollar for dollar). State, tribal and local governments receiving assistance under any of the provisions of Section 117(e) must comply with 2 CFR 200 and 1500.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are funded incrementally or through supplemental funding generally on a 3-5-year basis. Funds must be expended during the period of performance for the grant. Method of awarding/releasing assistance: other

Reports:

Progress reports are required to be submitted either semi-annually or quarterly depending on the terms and conditions of the assistance agreement. Expenditure reports are required to be submitted as part of the progress report. These reports are required either semi-annually or quarterly depending on the terms and conditions of the assistance agreement. Monitoring reviews are required to be conducted by the Project Officer with the recipient. These reviews are required either semi-annually or quarterly depending on the terms and conditions of the assistance agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspections and audits by the

ASSISTANCE LISTINGS DESCRIPTIONS

Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government.

Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports as required by 2 CFR 200.334. If questions, such as those raised because of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$39,600,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

This is a new assistance listing for Fiscal Year 2021 (FY21). Grants were previously awarded under 66.466. EPA has revised 66.466 to separate competitive and non-competitive funding opportunities. Under assistance listing 66.964, the FY21 range is expected to be \$1M - \$6M with an average of \$2.5M.

TAFS Codes:

68-0108.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

In addition to the requirements under 2 CFR 200 and 1500 (EPA Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards), and 40 CFR Part 33 (Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs), the Chesapeake Bay Program assistance agreements must follow the U.S. EPA Chesapeake Bay Program Office Grant and Cooperative Agreement Guidance which is updated annually. This guidance can be found at: <https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

James Hargett,
EPA Chesapeake Bay Program Office, 410 Severn Avenue, Suite 112,
Annapolis MD 21403
Email: Hargett.James@epa.gov
Phone: (410) 267-5743

Fax:

Website Address:

<https://www.epa.gov/grants/grants-your-region-information-specific-epa-region-3#current>

RELATED PROGRAMS:

66.466 Chesapeake Bay Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Non-competitive grants are projected to continue to be funded to the signatory jurisdictions for: (1) their regulatory and accountability programs, (2) the control and abatement of nonpoint source nutrient and sediment pollution, (3) implementation of their watershed implementation plans and milestone commitments, and (4) water quality monitoring and assessment.

CRITERIA FOR SELECTING PROPOSALS:

Funding criteria is provided in annual program guidance located at: <https://www.epa.gov/restoration-chesapeake-bay/chesapeake-bay-program-grant-guidance>

70.002 Foreign Investment Financing

FEDERAL AGENCY:

OVERSEAS PRIVATE INVESTMENT CORPORATION

AUTHORIZATION:

Foreign Assistance Act of 1969, Title IV, Section 234(b), 234(c), Public Law 91-175, 22 USC Code 2191, et seq.

OBJECTIVES:

To provide financing for projects sponsored by private U.S. businesses in developing countries and emerging economies throughout the world, thereby assisting development goals and improving U.S. competitiveness, creating American jobs and increasing United States exports.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

To provide financing for investments in developing countries in projects that contribute to the economic and social development of the host country and have a positive impact on the U.S. economy. OPIC screens out projects that might have a negative effect on U.S. employment or the host country's development or the environment, or would contribute to the violation of internationally recognized worker rights. Direct loans can only be made to private sector projects in which there is significant involvement by a U.S. small business.

Applicant Eligibility:

Individual/Family; Profit organization; Guaranteed investor must be citizen of the United States, a corporation, partnership, or other association created under the laws of the United States or any State or territory, and more than 50 percent beneficially owned by U.S. Citizens; a foreign corporation at least 95 percent owned by such entities; or a 100 percent United States-owned foreign entity. Direct loans are reserved for projects sponsored by or significantly involving United States small businesses or cooperatives. Applicants also must meet OPIC's credit underwriting criteria.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; U.S. Citizen; Public nonprofit institution/organization; Project must have significant participation by a United States sponsor, generally in the form of at least 25 percent equity ownership. OPIC does not support projects that will result in the loss of U.S. jobs, that would contribute to the violation of internationally recognized worker rights or involve illicit payments. OPIC only supports projects that contribute to the economic and social development of the host country.

Credentials/Documentation:

OPIC Finance Application; Financial statements; OPIC sponsor disclosure report; other applicable information on operations of U.S. investor, and project business plan. A bilateral agreement between the United States and the host country government must be in place before OPIC can provide loan guaranties in a country. In some cases, special foreign government approval is required before financing can be provided. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application for Financing is required.

Award Procedure:

OPIC conducts extensive due diligence and project analysis. Project must be approved internally and meets OPIC's credit, policy and legal criteria. Loan guaranty is issued directly to the lender by OPIC, in conjunction with OPIC entering into financing documentation with the borrower, U.S. sponsor and other parties. Direct loan is made directly to the project company.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Variable, depending on status and complexity of the project.

Appeals:

OVERSEAS PRIVATE INVESTMENT CORPORATION

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Significant equity investment in the project company by a qualified U.S. sponsor. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Variable, depending on characteristics of the project. OPIC financing is usually in the range of 5-15 years. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Certain accounting records, drawdowns of all funds, and other information must be available during term of financing and beyond.

Account Identification:

71-4030-0-3-151. 71-4074-0-3-151. 71-4075-0-3-151. 71-4184-0-3-151. 71-0100-0-1-151.

Obligations:

Guaranteed/Insured Loans FY 08 \$1,374,000,000.00; FY 09 est \$2,000,000,000.00; and FY 10 est (\$1,944,967,296.00);

Range and Average of Financial Assistance:

\$200,000 to \$400,000,000; small business loans \$200,000 to \$100,000,000, \$23,353,000; non-small business loans \$1,166,550 to \$400,000,000, \$27,067,770

TAFS Codes:

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"OPIC Program Handbook," free. Web site address is <http://www.opic.gov>.

Regional or Local Office:

Headquarters Office:

Alison Germak,
1100 New York Avenue, N.W.,
Washington DC 20527
Email: Alison.Germak@opic.gov
Phone: 202-336-8651

Website Address:

<http://www.opic.gov>

RELATED PROGRAMS:

70.003 Foreign Investment Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See USES AND USE RESTRICTIONS and ELIGIBILITY REQUIREMENTS.

70.003 Foreign Investment Insurance

(Political Risk Insurance),

FEDERAL AGENCY:

OVERSEAS PRIVATE INVESTMENT CORPORATION

AUTHORIZATION:

Foreign Assistance Act of 1969, Title IV, Section 234(a), Public Law 91-175, 22 U.S.C. 2191, et seq.,

OBJECTIVES:

To insure investments of eligible U.S. investors in developing countries and

emerging markets, against the political risks of inconvertibility, expropriation, and political violence. Special programs include insuring (1) contractors and exporters against arbitrary drawings of letters of credit posted as bid, performance or advance payment guaranties, (2) petroleum exploration, development and production (3) leasing operations, and (4) debt financials, including securities.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

To encourage private U.S. investment in developing countries and emerging economies by protecting against certain political risks. Investments may be eligible for insurance if they benefit the social and economic development of the host country. OPIC will not support projects that could result in the loss of U.S. jobs, adversely affect the U.S. economy, have a negative impact on the host country's development or environment, or contribute to violations of internationally recognized worker rights.

Applicant Eligibility:

Individual/Family; Profit organization; Citizen of the United States; a corporation, partnership, or other association created under the laws of the United States or any State or territory, of which more than 50 percent is beneficially owned by U.S. citizens; a foreign corporation at least 95 percent owned by such entities; or any other 100 percent U.S.-owned foreign entity.

Beneficiary Eligibility:

Profit organization; U.S. Citizen; OPIC does not support projects that will result in the loss of U.S. jobs, that have a negative impact on the host country's economy or environment, or contribute to violations of internationally recognized worker rights. OPIC only supports projects that contribute to the economic and social development of the host country.

Credentials/Documentation:

A bilateral agreement between the United States and the host country government must be in place before OPIC can provide insurance for a given country. Additionally, special project approval by the foreign government is required in some countries before insurance can be provided. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The insurance program has a two-step application process. Investors must first register their projects with OPIC before making an irrevocable commitment to invest. Once the details of the investment have been established, an application for insurance coverage is filed. OPIC charges a retainer fee after the submission of an application for insurance in order to proceed with review of the project. Registration and application forms are available on request from the Insurance Applications Officer, Overseas Private Investment Corporation, 1100 New York Avenue, NW., Washington, DC 20527, or on OPIC's website: www.opic.gov.

Award Procedure:

Insurance is issued directly to the applicant by OPIC, or through a broker if the investor so chooses.

Deadlines:

Registration must be made prior to making an irrevocable commitment to invest. The registration remains active at OPIC for 2 years.

Range of Approval/Disapproval Time:

Not Applicable. Will vary depending on size and complexity of project.

Appeals:

Not Applicable.

Renewals:

Not Applicable. OPIC insurance contracts can be offered at fixed rates for up to 20 years. Investor has the opportunity to change insurance elections annually or semi-annually. Policies are non-cancelable by OPIC, provided that investor is paying premiums and is not in material breach of the contract.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum insurance term is 20 years for equity investment; for loans, the term of the loan; for contracts, the term of the contract.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

When claim for compensation is pending and after such payment is made, the investor has a duty to cooperate with OPIC in supplying all information requested pertaining to the claim. These responsibilities are clearly spelled out in each insurance contract.

Account Identification:

71-4184-0-3-151.

Obligations:

FY 07 \$1,200,000,000.00; FY 08 Not Available; and FY 09 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"OPIC Program Handbook," free.

Regional or Local Office:

Headquarters Office:

Information Officer Overseas Private Investment Corporation 1100 New York Ave., NW, Washington, DC 20527. Telephone: (202) 336-8799. For program information by electronic mail: info@opic.gov .

Website Address:

<http://www.opic.gov> .

RELATED PROGRAMS:

70.002 Foreign Investment Financing;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

NUCLEAR REGULATORY COMMISSION

77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program

Nuclear Education Grant Program - Curricula Development,

FEDERAL AGENCY:

NUCLEAR REGULATORY COMMISSION

AUTHORIZATION:

The Energy Policy Act of 2005, Section 651(c)(1), Statue 119-801, Public Law 109-58, 42 USC Code 2051(b).

OBJECTIVES:

The Curricula Development program provides assistance to accredited U.S. colleges and universities in support of courses, studies, training, curricula, and disciplines relevant to nuclear safety, nuclear security, nuclear environmental protection, or any other field that the Commission determines to be critical to its regulatory mission. The primary purpose is to support and develop the educational infrastructure necessary to allow the Nation to advance its nuclear energy initiatives.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This program provides funding to accredited post-secondary schools and institutions of higher education. Financial support in whole or in part may be provided for such purposes as salaries, materials and supplies, equipment, consultants, limited travel, and other justified program related expenses required for conducting the curricula development related activities. Restrictions on use of funds are specified in the funding opportunity announcement or the award document. Funding is provided for the purpose of creating, revising, or enhancing nuclear-related curricula that does not necessarily duplicate work already in process, is not already known to NRC, or has previously unrecognized merit.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be a U.S. public or private institution of higher education, accredited by the U.S. Department of Education.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Accredited U.S. public or private institutions of higher education will benefit.

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 200 for institutions of higher education. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement which enables NRC to receive the application from Grants.gov.

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Please see the active Funding Opportunity Announcement for published response deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for project grants shall be a minimum of 2 years and generally does not exceed 3 years. Method of awarding/releasing assistance: lump sum.

Reports:

The recipient shall submit "Performance Progress Reports" (reported on SF-PPR, SF-PPR-B, and SF-PPR-E) and a final report as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall submit "Federal Financial Reports," (reported on SF-425) as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall adhere to the performance monitoring requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

ASSISTANCE LISTINGS DESCRIPTIONS

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The recipient shall adhere to the records management requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Account Identification:

31-0200-0-1-276.

Obligations:

Project Grants FY 18 \$0.00; FY 19 est \$0.00; FY 20 Not Available;

Range and Average of Financial Assistance:

Award amounts range from \$87,000.00 to \$200,000.00.

TAFS Codes:

31-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports, brochures, guidelines, and frequently asked question are available at <http://www.nrc.gov/about-nrc/grants.html>.

Regional or Local Office:

None.

Headquarters Office:

MLita Carr,
Nuclear Regulatory Commission Two White Flint North 11545 Rockville Pike, Mail Stop: TWFN- 8E06M,
Rockville MD 20852
Email: MLita.Carr@nrc.gov
Phone: 301-415-6869

Website Address:

<https://www.nrc.gov>

RELATED PROGRAMS:

77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria, including exclusions as stated in the funding opportunity announcement, for support is based on the soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation, and dissemination plans. The applicant's capability to perform the project is assessed to the degree that the project will strengthen its teaching capacity; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to announced program objectives.

77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP)

NRC Minority Serving Institutions Program,

FEDERAL AGENCY:

NUCLEAR REGULATORY COMMISSION

AUTHORIZATION:

Energy Policy Act of 2005, Section 651,c,4 and 622, Statue 119-801, Public Law 109-58, 42 USC Code 2015(b) 2051(b). Executive Order 13256, 13270, and 13230,

OBJECTIVES:

The Minority Serving Institutions Grants Program (MSIGP) funds minority serving

programs, activities, projects, symposiums and training for the exchange and transfer of knowledge and skills relevant to nuclear safety, security, environmental protection, or any other fields the Commission deems critical to its mission. MSIGP provides assistance for minority serving programs and activities to include, but not limited to mentoring, leadership development, training, instruction, developmental learning, research and development, program evaluation, and technical assistance. MSIGP also provides assistance to include direct institutional subsidies, facilities and equipment acquisition, internships, fellowships, scholarships, and tuition and housing assistance. *The MSIGP is no longer accepting new applications. Minority Serving Institutions are encouraged to apply for funding opportunities with the NRCs Nuclear Education Program for Scholarship and Fellowships, Faculty Development, Trade School and Community College Scholarships.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The program provides funding to accredited post-secondary schools and institutions of higher education. Financial support in whole or in part may be provided for such purposes as salaries, stipends, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training and related activities. Restrictions on use of funds depend on grant provisions, the nature of assistance provided, and the purpose(s) for which the programs and activities were funded to achieve.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Minority Serving Institutions and organizations that provide services to Minority Serving Institutions and their students and faculty.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Education Professional; Student/Trainee; Graduate Student; Education (13+); Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Accredited U.S. public and private Minority Serving Institutions of higher education and their students and facility will benefit.

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 200 for institutions of higher education. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement this enables NRC to receive the application from Grants.gov.

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Please see the active Funding Opportunity Announcement for published response deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for project grants shall not exceed 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

The recipient shall submit "Performance Progress Reports" (reported on SF-PPR, SF-PPR-B, and SF-PPR-E) and a final report as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall submit "Federal Financial Reports," (reported on SF-425) as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall adhere to the performance monitoring requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The recipient shall adhere to the records management requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Account Identification:

31-0200-0-1-276.

Obligations:

Project Grants FY 18 \$0.00; FY 19 est \$0.00; FY 20 est \$0.00;

Range and Average of Financial Assistance:

Award amounts range from \$5,000.00 to \$400,000.00.

TAFS Codes:

31-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for The Minority Supporting Institution Program is on line at <http://www.nrc.gov/about-nrc/grants/minority-serving-institutions-program.pdf>

Regional or Local Office:

Headquarters Office:

MLita Carr,
Nuclear Regulatory Commission Two White Flint North 11545 Rockville Pike, Mail Stop: TWFN-8E06M,
Rockville MD 20852
Email: MLita.Carr@nrc.gov
Phone: 301-415-6869

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation and dissemination plans. The applicant's ability to perform the project is assessed relative to the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to the program objectives in the funding opportunity announcement.

77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program

NRC Scholarship/Fellowship and Faculty Development Program,

FEDERAL AGENCY:

NUCLEAR REGULATORY COMMISSION

AUTHORIZATION:

The Energy Policy Act of 2005, Section 651 (c)(1), Public Law 109-58, 119 Stat. 801, 42 U.S.C 2051 (b).

OBJECTIVES:

This Scholarship and Fellowship program provides funding for undergraduate scholarships, graduate fellowships, and trade school and community college scholarships. In addition, faculty development grants are funded under this authority as explained below. The program allows students to pursue an education in science, engineering, or another field of study that the Commission determines is in a critical skill area related to its regulatory mission. The trade school and community college scholarship program supports certificates or Associate degrees in crafts/disciplines necessary for developing and maintaining a nuclear workforce. This activity is intended to benefit the nuclear industry broadly. NRC requires scholarship and fellowship students to comply with a service obligation agreement for a period of 6 months for every year or partial year of support received.

However, the NRC has established criteria for partial or total waiver or suspension of any obligation of service or payment incurred by a student. The employment may be with the NRC, other Federal agencies, State agencies, nuclear-related industry, or academia in the students sponsored fields of study. Recipients of scholarship and fellowships must commit to the service obligation agreement. The program also supports faculty development in the nuclear-related fields of Nuclear Engineering, Health Physics, Radiochemistry and related disciplines as determined by the NRC. The program specifically targets probationary, tenure-track faculty in these academic areas during the first 4 years of their career.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This program provides funding to accredited post-secondary schools and institutions of higher education to provide scholarships, fellowships, and stipends to develop a cadre of professionals, and a workforce capable of supporting the design, construction, operation, and regulation of nuclear facilities and the safe handling of nuclear materials. Faculty development grants provide support for developing applications for research, small amounts for initiating or continuing research projects in their areas of expertise, and expenses associated with an institutions faculty development plan. Funds are managed by the awardee institution. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the award. Fellowships, scholarship and stipends are paid to the students and fellows directly through his/her institution. Scholarship and fellowship recipients are required to comply with a service obligation agreement for a period of 6 months for every year or partial year of support received.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be a U.S. public or private institutions of higher education, accredited by the U.S. Department of Education.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Public nonprofit institution/organization; Other public institution/organization; Individual Recipients must be undergraduate and graduate students of accredited U.S. public or private institutions of higher education. Candidates for the Faculty Development program are targeted for probationary, tenure-track faculty in nuclear related fields during the first 4 years of their career. All recipients must be U.S. citizens or noncitizen national of the U.S. or have been lawfully admitted to the U.S. for

ASSISTANCE LISTINGS DESCRIPTIONS

permanent residence (i.e., in possession of a currently valid Alien Registration Receipt Card I-551, or other legal verification of such status).

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 200 for institutions of higher education. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement this enables NRC to receive the application from Grants.gov.

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Please see the active Funding Opportunity Announcement for published response deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for project grants shall be between 2 and 4 years (depending on the program). Method of awarding/releasing assistance: lump sum.

Reports:

The recipient shall submit "Performance Progress Reports" (reported on NRC Performance Progress Report) and a final report as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall submit "Federal Financial Reports," (reported on SF-425) as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall adhere to the performance monitoring requirements (depending on the program) as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The recipient shall adhere to records management requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Account Identification:

31-0200-0-1-276.

Obligations:

Project Grants FY 16 \$15,000,000.00; FY 17 est \$15,000,000.00; and FY 18 Not Available;

Range and Average of Financial Assistance:

Award amounts range from \$150,000.00 to \$450,000.00 (depending on the program).

TAFS Codes:

31-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports, brochures, guidelines, and frequently asked questions are available at <http://www.nrc.gov/about-nrc/grants.html>.

Regional or Local Office:

None.

Headquarters Office:

MLita Carr,
Nuclear Regulatory Commission Two White Flint North 11545 Rockville Pike, Mail Stop: TWFN- 8E06M,
Rockville MD 20852
Email: MLita.Carr@nrc.gov
Phone: 301-415-6869

Website Address:

<https://www.nrc.gov>

RELATED PROGRAMS:

77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Capacity and ability of the institution to effectively conduct the program. Number and quality of students that will be served by the program. Justification for number of students served and amount of stipend and scholarship support. Quality and feasibility of recruitment and marketing strategies. Quality of technical programs for scholarship or fellowship programs. Type of student support, i.e. mentoring or advisor assistance feasibility and completeness of an evaluation plan that will measure the effectiveness of the scholarship or fellowship program Institutional support for the program and the extent to which the institution is committed to making the program an organizational focus. Plans for sustainability. Additional merit review considerations apply. See the selection criteria as stated in the funding opportunity announcement.

77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program

NRC Office of Research Grant and Cooperative Agreement Program,

FEDERAL AGENCY:

NUCLEAR REGULATORY COMMISSION

AUTHORIZATION:

Energy Policy Act of 2005, Section 651(c), Statue 119-801, Public Law 109-58, 42 USC Code 2051(a)(b).

OBJECTIVES:

The Office of Nuclear Regulatory Research (RES) furthers the regulatory mission of the NRC by providing technical advice, technical tools and information for identifying and resolving safety issues, making regulatory decisions, and promulgating regulations and guidance. RES conducts independent experiments and analyses, develops technical bases for supporting realistic safety decisions by the agency, and prepares the agency for the future by evaluating safety issues involving current and new designs and technologies. RES develops its program with consideration of Commission direction and input from the program offices and other stakeholders.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

This program provides funding to educational institutions, nonprofit organizations, State and local governments, and professional organizations. The award recipient bears primary responsibility for general supervision of all financial assistance activities. Funds may not be used for purposes other than those specified in the award.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); None

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Native American Organizations; None

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Apply using the electronic application package required in the Funding Opportunity Announcement this enables NRC to receive the application from Grants.gov.

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Please see the active Funding Opportunity Announcement for published response deadlines.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for project grants and cooperative agreements shall not exceed 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

The recipient shall submit "Performance Progress Reports" (reported on SF-PPR, SF-PPR-B, and SF-PPR-E) and a final report as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall submit "Federal Financial Reports," (reported on SF-425) as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200. The recipient shall adhere to the performance monitoring requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The recipient shall adhere to the records management requirements as stipulated in the Terms and Conditions of the award document in compliance with 2 CFR 200.

Account Identification:

31-0200-0-1-276.

Obligations:

Project Grants FY 18 \$803,399.00; FY 19 est \$341,621.00; FY 20 Not Available;

Range and Average of Financial Assistance:

Award amounts range from \$5,000.00 to \$225,000.00.

TAFS Codes:

31-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports or brochures on regulatory decisions, results of research, results of incident investigations, and other technical and administrative information are available at <http://www.nrc.gov/reading-rm/doc-collections/nuregs/>

Regional or Local Office:

Headquarters Office:

MLita Carr,
Nuclear Regulatory Commission Two White Flint North 11545 Rockville Pike, Mail Stop: TWFN - 8E06M,
Rockville MD 20852

Email: MLita.Carr@nrc.gov

Phone: 301-415-6869

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection for support by the Office of Research through financial assistance methods are made to basic, advanced, and developmental scientific programs where the purpose is to create new or further define existing paradigms within the nuclear industry. Factors generally indicating support through financial assistance methods: - the primary purpose of the proposal is to aid or support the development of knowledge or understanding of the subject or phenomena under study; - the exact course of the work and its outcome are not defined precisely and specific points in time for achievement of significant results may not be specified; - the nature of the proposed work is such that the recipient will bear prime responsibility for the conduct of the research and will exercise judgment and original thought toward attaining the scientific goals within broad parameters of the research areas proposed and the related resources provided; - meaningful technical reports can be prepared only as new findings are made; and - simplicity and economy in execution and administration are mutually desirable.

ENERGY, DEPARTMENT OF

81.005 Environmental Monitoring, Independent Research, Technical Analysis

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law -Public Law 95-91, Section 102 (11); 42 USC 7101 et sec. Department of Energy Organization Act, Title WIPP Land Withdrawal Act, Part 102-579 as amended by PL 104-201,

OBJECTIVES:

Provides technical and financial assistance to State of New Mexico Environment Department and the Regents of New Mexico State University/Carlsbad Environmental Monitoring and Research Center (NMSU/CEMRC) for the conduct of projects/activities to support DOE's and the Waste Isolation Pilot Plant (WIPP) mission and to provide the public assurances that implemented DOE programs are protective of human health and the environment. The purpose of the WIPP Environmental Monitoring Project is to establish and maintain independent

ASSISTANCE LISTINGS DESCRIPTIONS

environmental research and monitoring in the vicinity of the WIPP and to make the results easily accessible to all interested parties. This project began implementation during the WIPP pre-disposal phase, and is continuing during the operational (disposal) phase. The environmental monitoring projects are organized and carried out independent of direct oversight by Department Of Energy (DOE). Analytical results and interpretations from the monitoring are published by NMSU/CEMRC to inform the public and particularly the environmental science community. The State of New Mexico holds the permit for disposal of Resource Conservation and Recovery Act (RCRA) waste at WIPP and the independent monitoring provides assurance to the State that WIPP is operating in accordance with its RCRA Permit.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Eligibility for this program is restricted.

Applicant Eligibility:

State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Eligibility for this program is restricted to the following: The Board of Regents New Mexico State University - Carlsbad Environmental Monitoring and Research Center and the State of New Mexico

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After informal communication, eligible applicants submit a formal application package to the DOE Carlsbad Field Office.

Award Procedure:

Applications are evaluated by the DOE Carlsbad Field Office and the Program Office provides final approval.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a five-year term. Funds are released through the Electronic Transfer System. Method of awarding/releasing assistance: quarterly Method of awarding/releasing assistance: other

Reports:

Quarterly financial reports are submitted using SF-425. Progress reports are required quarterly and annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In

accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

89-0242-0-1-053.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,925,085.00; FY 21 est \$2,832,061.00; FY 22 est \$2,922,116.00;

Range and Average of Financial Assistance:

500,000 to 3,300,000 per fiscal year

TAFS Codes:

89-0251.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Department of Energy, Carlsbad Field Office, P.O. Box 3090, Carlsbad, NM 88221-3090. Contact: James, Mason, Institutional Affairs Manager, Telephone: (575) 234-7335 or Wendy Bauer, Contracting Officer, Telephone (208) 351-4638

Headquarters Office:

Edgard Espinosa,
19901 Germantown Rd,
Germantown MD 20874
Email: edgard.espinosa@hq.doe.gov
Phone: 202-586-5382
Fax:

Website Address:

<http://energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.008 Cybersecurity, Energy Security & Emergency Response (CESER)

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF
AUTHORIZATION:

Public Law 110-140, Public Law 109-58, Public Law 102-486, Public Law 95-91, Public Law 114-94

OBJECTIVES:

The Office of Cybersecurity, Energy Security, and Emergency Response (CESER) addresses the emerging threats of tomorrow while protecting the reliable flow of energy to Americans today by improving energy infrastructure security and supporting the Department of Energys (DOE) national security mission. CESERs focus is preparedness and response activities to natural and man-made threats, ensuring a stronger, more prosperous, and secure future for the Nation. These activities involve cooperation with industry, DOE Laboratories, universities, states, and other government agencies.

TYPES OF ASSISTANCE:

Cooperative Agreements

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

An assistance award will be used when it is determined to be the best method for addressing the program mission

Applicant Eligibility:

State; Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Anyone/general public; All types of domestic entities are eligible to apply, such as profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments. All information regarding eligibility requirements will be included in the specific funding opportunity announcement.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

All information regarding credentials and documentation will be included in the specific funding opportunity announcement 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All information regarding application requirements will be included in the specific funding opportunity announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 2 CFR Part 200 for Unsolicited Proposals. Evaluations will be conducted by the Office of Cybersecurity, Energy Security and Emergency Response (CESER) at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Operations Offices and technical experts. Final decisions will be made by the Assistant Secretary, CESER.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 60-120 days

Appeals:

Other. Unsuccessful applicants are entitled to a written debriefing. This is typically done as a document containing the Strengths and Weaknesses, grouped by the evaluation criteria, of the application.

Renewals:

Other. financial assistance awards may be modified or extended in accordance with 2 CFR 200 and the award terms of the agreement

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. All information regarding matching requirements will be included in the specific funding opportunity announcement; however, the program follows Section 988 of the Energy Policy Act (EPA) of 2005 to determine the specific requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The time period is dependent upon funding opportunity announcement (FOA) requirements and the individual project award Method of awarding/releasing assistance: other

Reports:

Progress reports required as specified in the cooperative agreement. Typically these reports consist of quarterly progress and a final report at the conclusion of the agreement time period. Expenditure reports (SF-425) required as specified in the cooperative agreement. Typically these reports consist of quarterly expenditure reports and a final report at the conclusion of the grant or cooperative agreement time period. to-do

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Other than for-profit recipients are subject to the audit requirements at 2 CFR 200 subpart F. For-profit recipients are subject to the audit requirements at 2 CFR 910.501

Records:

records retention is in accordance with 2 CFR 200

Account Identification:

89-2250-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$23,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$46,000,000.00;

Range and Average of Financial Assistance:

\$1,000,000-\$25,000,000; average \$5,000,000

TAFS Codes:

89-2250.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: Cybersecurity, Energy Security and Emergency Response homepage, at <https://www.energy.gov/ceser/>; DOE Financial Assistance Regulations (2 CFR 200); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page or directly at: <https://www.energy.gov/sites/prod/files/2017/04/f34/FA%20GUIDE%20April2017.pdf> <https://www.energy.gov/sites/prod/files/2017/04/f34/MRG%20Apr2017.pdf>

Regional or Local Office:

None. John Augustine, National Energy Technology Laboratory, Finance and Acquisition Center, P.O. Box 10940, Mailstop 921-107, 626 Cochran Mill Road, Pittsburgh, PA 15236-0940

Headquarters Office:

Marsha Manning,
U.S. Department of Energy 1000 Independence Avenue SW Washington, DC 20585,
Washington DC 20585
Email: Marsha.Manning@hq.doe.gov
Phone: 202-5863369
Fax:

Website Address:

<https://www.energy.gov/ceser/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection is based on a comprehensive merit review, program policy factors, the

ASSISTANCE LISTINGS DESCRIPTIONS

availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s)

81.009 Molybdenum-99 Program

Technology for Demonstration and Commercial Scale Production of a Reliable, Domestic Supply of Molybdenum-99 without the Use of Highly Enriched Uranium.

FEDERAL AGENCY:

NNSA, ENERGY, DEPARTMENT OF

AUTHORIZATION:

Act to reorganize and consolidate certain functions of the Federal Government in a new Energy Research and Development Administration and in a new Nuclear Regulatory Commission in order to promote more efficient management of such functions., Title Energy Reorganization Act of 1974, Part Title I, Section Section 107 Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817, Title I, Section 107, 93-438; 88 , Statute 1240,42, 5817, Public Law 5817-93-438, A United States federal law that covers for the development, regulation, and disposal of nuclear materials and facilities in the United States, Title Atomic Energy Act of 1954, Section Section 3157, Public Law 101-189, 103 Stat. 1684, 42 U.S.C. 2051 , Section 3157, 1684 Stat. 42, 2051 U.S.C. , Section 3157, 101-189, 103 , Statute 1684,42, 2051 US Code 3157, Public Law 2051-101-189, A bill to establish a Department of Energy in the executive branch by the reorganization of energy functions within the Federal Government in order to secure effective management, to assure a coordinated National energy policy., Title Department of Energy (DOE) Organization Act of 1977, , Public Law 42 U.S.C. 7101-95-91, AN ACT To establish a national program for research and development in nonnuclear energy sources., Title Federal Nonnuclear Energy Research and Development Act of 1974, , Public Law 93-577, Directs the Secretary of Energy (DOE) to implement a technology-neutral program to evaluate and support projects for the production in the United States (except in certain circumstances without the use of highly enriched uranium) of significant quantities of molybdenum-99 for medical uses, implemented in cooperation with non-federal entities, whose costs shall be shared in accordance with certain cost sharing requirements of the Energy Policy Act of 2005., Title The American Medical Isotopes Production Act of 2012, , Public Law 112-239

OBJECTIVES:

The U.S. Department of Energy (DOE) National Nuclear Security Administrations (NNSA) Office of Material Management and Minimization (NA-23) is leading the effort to demonstrate and achieve commercial-scale production of the medical isotope, Molybdenum-99, hereafter referred to as Mo-99, without the use of highly enriched uranium (HEU) in the United States. Mo-99 is a critical radioisotope whose decay byproduct technetium-99m (Tc-99m), is used in approximately 40,000 nuclear medicine diagnostic procedures performed daily in the United States. Its primary uses include diagnosing heart disease, treating cancer, and studying organ structure and function. The isotopes short half-life and excellent binding properties make it uniquely suited for medical procedures. However, due to its short half-life it must be produced continuously to meet the medical communitys requirements. The United States does not currently have a domestic production capability for Mo-99 sufficient to meet the needs of the U.S. healthcare community, currently estimated at 4,500 6-day curies per week. Until any U.S. producer enters the market, the United States must import all of its supply from foreign producers, which in some instances use proliferation-sensitive HEU in its production processes. The establishment of reliable, commercial, non-HEU-based Mo-99 production in the United States complements the long-standing U.S. policy to minimize and eliminate the use of proliferation-sensitive HEU in civilian applications in order to prevent the potential theft of this material for malevolent use. The United States is at the nexus of two related priorities: the need to ensure a reliable, robust Mo-99 supply for U.S. patient care, and discouraging the use of proliferation-sensitive HEU in civilian applications. NNSA will issue Cooperative Agreements with the purpose of providing additional federal funding to accelerate commercial Mo-99 projects time to market so that industry and government can fulfill these two critical priorities and decrease the U.S. medical communitys reliance on foreign supplies of Mo-99.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Only domestic (U.S.) entities with existing projects to achieve full-scale commercial production of Molybdenum-99 in the United States in accordance with the goals of the program may submit applications. Any U.S. commercial entity with an existing project that plans to produce in the United States without the use of HEU is eligible to apply.

Applicant Eligibility:

Other public institution/organization; Profit organization; U.S. commercial entities with existing technologies to achieve commercial-scale production of Mo-99 in the U.S. National Laboratories, M&O Contractors, Institutions of Higher Education, and Federally Funded Research and Development Centers (FFRDC) are not eligible to apply for an award under this FOA, but can be included in teaming arrangements.

Beneficiary Eligibility:

U.S. Citizen; The general public.

Credentials/Documentation:

For Non-government organizations, Costs will be determined in accordance with OMB Circular No. A-122 . 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted through Grants.gov to be considered for award. You cannot submit an application through Grants.gov unless you are registered. Please read the registration requirements carefully and start the process immediately. Remember you have to update your SAM registration annually. If you have any questions about your registration, you should contact the Grants.gov Helpdesk at 1-800-518-4726 to verify that you are still registered in Grants.gov. All applications that are received by the application due date and time, as specified in the FOA, will be subjected to an initial Compliance Review, and upon satisfactorily passing the initial review, will be subjected to a comprehensive evaluation. NOTE: Applications submitted via FedConnect will not be accepted Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Merit Review Panel Chairperson will be responsible for reviewing the independent findings and ratings of each evaluator, and ultimately completing a Merit Review Panel Chairpersons Report to summarize the findings and make recommendations for awards to the Selection Official. The Selection Official will consider the merit review recommendation, program policy factors, budget evaluations of the Project Management Plan, and the amount of funds available, when making a decision for awards. The program policy factors and budget evaluations will not be point scored, but the Selection Official may consider them in making the selections for negotiation of award. The Selection Official will make a decision to select for award a group of projects which represents a diversity of technical approaches, methods, applications and/or market segments, which will likely include 1-4 awards. Upon the Selection Official making the final decisions, the Contracting Officer will notify selectees and non-selectees.

Deadlines:

FOA will be open for 60 days

Range of Approval/Disapproval Time:

Other. 30 Days Selection Process135 Days Prepare for Award/Negotiate/Award 1 4 Cooperative Agreements

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory.

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative agreements (CA) will be awarded on a 50%/50% Federal/non-Federal cost sharing basis. The recipient will be required to match the U.S. Government contribution. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, grants are approved for two-year or five year project periods and either fully funded or funded per budget period, depending on budget appropriation, at the discretion of the program office. Method of awarding/releasing assistance: other

Reports:

Monthly Progress Reports are required SF-425 Final Report is required

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with 2 CFR Part 200 as amended by 2 CFR Part 910, DOE reserves the right to initiate a final incurred cost audit on this award. If the audit has not been performed or completed prior to the closeout of the award, DOE retains the right to recover an appropriate amount after fully considering the recommendations on disallowed costs resulting from the final audit

Records:

Records of all project costs that are claimed as cost sharing, including in-kind costs, as well as records of costs to be paid by DOE/NNSA must be maintained.

Account Identification:

89-0309-0-1-053.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

\$5M - \$35M

TAFS Codes:

89-0309.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200 as amended by 2 CFR Part 910. The authority for this financial assistance action is the Department of Energy Act of 1977 (Public Law 95-91); the Federal Grant and Cooperative Agreement Act of 1977 (Public Law 95-224), as amended by (Public Law 97-258); and 10 CFR 600.6(b). Signed into law on January 2, 2013, The American Medical Isotopes Production Act of 2012 (Title XXXI, Subtitle F, National Defense Authorization Act for Fiscal Year 2013, [Public Law 112-239]) (AMIPA)

Regional or Local Office:

None.

Headquarters Office:

Crystal Trujillo,
1000 Independence Ave., SW,
Washington DC 20585
Email: crystal.trujillo@nnsa.doe.gov
Phone: 202-586-8572
Fax:

Website Address:

<https://www.energy.gov/nnsa/nnsa-s-molybdenum-99-program-establishing-reliable-supply-mo-99-produced-without-highly>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All timely applications that satisfactorily pass the initial compliance review will be eligible for a comprehensive merit review. Prior to conducting the comprehensive

merit review, an initial review will be performed to determine whether: (1) the applicant is eligible for award; (2) the information required by the FOA has been submitted; (3) all other mandatory requirements of the FOA are satisfied; and (4) the proposed project is responsive to the program objectives of the FOA. This review will be a thorough, consistent, and objective examination of applications based on the pre-established evaluation criteria set forth in the FOA. Each application will be evaluated on the strengths and weaknesses it exhibits in relation to scientific and technological merit; technical approach; and business strategy and management capabilities.

81.010 Office of Technology Transitions (OTT)- Technology Deployment, Demonstration and Commercialization

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

TECHNOLOGY TRANSFER COORDINATOR. The Secretary shall appoint a Technology Transfer Coordinator to be the principal advisor to the Secretary on all matters relating to technology transfer and commercialization. Title Energy Policy Act of 2005, Section 1005

OBJECTIVES:

The goal of this listing is to develop the Department of Energy's policy and vision for expanding the commercial impact of its research investments, and it streamlines information and access to DOE's national labs and sites to foster partnerships that will move innovations from the labs into the marketplace.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Accessing Information and Connectivity: OTT engages in activities and provides information that assist the private sector in navigating the national laboratory system and identifying relevant assets, using industry input to better understand market needs, and to prioritize the types of technology transition activities requiring additional focus to achieve commercial impact. Streamlining Policies and Procedures: OTT uses input from external stakeholders as well as the Department's management, program and legal offices to clarify and streamline relevant technology transition activities, private-sector laboratory access and engagement policies and procedures. Data Collection, Analysis and Publications: OTT develops statutorily-mandated technology transfer-related reports annually. These reports consist of data collected from across the DOE enterprise. DOE collects more than 70 technology transfer-related data points for these reports. The information is used continually to improve the delivery of DOE's missions and to encourage technology transitions as part of laboratory planning, evaluation, and professional development.

Applicant Eligibility:

Government - General; U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; U.S. citizens and lawful permanent residents are eligible to apply for funding as a prime recipient or subrecipient. Domestic Entities For-profit entities, educational institutions, and nonprofits that are incorporated (or otherwise formed) under the laws of a particular state or territory of the United States and have a physical location for business operations in the United States are eligible to apply for funding as a prime recipient or subrecipient. Nonprofit organizations described in section 501(c)(4) of the Internal Revenue Code of 1986 that engaged in lobbying activities after December 31, 1995 are not eligible to apply for funding. State, local, and tribal government entities are eligible to apply for funding as a prime recipient or subrecipient. Federal agencies and instrumentalities (other than DOE) are eligible to apply for funding as a subrecipient, but are not eligible to apply as a prime recipient. Foreign Entities Foreign entities, whether for-profit or otherwise, are eligible to apply for funding under this FOA. Other than as provided in the

ASSISTANCE LISTINGS DESCRIPTIONS

Individuals or Domestic Entities sections above, all prime recipients receiving funding under this FOA must be incorporated (or otherwise formed) under the laws of a state or territory of the United States and have a physical location for business operations in the United States. If a foreign entity applies for funding as a prime recipient, it must designate in the Full Application a subsidiary or affiliate incorporated (or otherwise formed) under the laws of a state or territory of the United States to be the prime recipient. The Full Application must state the nature of the corporate relationship between the foreign entity and domestic subsidiary or affiliate. Foreign entities may request a waiver of the requirement to designate a subsidiary in the United States as the prime recipient in the Full Application (i.e., a foreign entity may request that it remains the prime recipient on an award). To do so, the applicant must submit an explicit written waiver request in the Full Application. Appendix C lists the necessary information that must be included in a request to waive this requirement. The applicant does not have the right to appeal OTT's decision concerning a waiver request. In the waiver request, the applicant must demonstrate to the satisfaction of OTT that it would further the purposes of this FOA and is otherwise in the economic interests of the United States to have a foreign entity serve as the prime recipient. OTT may require additional information before considering the waiver request. A foreign entity may receive funding as a subrecipient. Incorporated Consortia Incorporated consortia, which may include domestic and/or foreign entities, are eligible to apply for funding as a prime recipient or subrecipient. For consortia incorporated (or otherwise formed) under the laws of a state or territory of the United States, please refer to Domestic Entities above. For consortia incorporated in foreign countries, please refer to the requirements in Foreign Entities above. Each incorporated consortium must have an internal governance structure and a written set of internal rules. Upon request, the consortium must provide a written description of its internal governance structure and its internal rules to the OTT Contracting Officer. Unincorporated Consortia Unincorporated Consortia, which may include domestic and foreign entities, must designate one member of the consortium to serve as the prime recipient/consortium representative. The prime recipient/consortium representative must be incorporated (or otherwise formed) under the laws of a state or territory of the United States. The eligibility of the consortium will be determined by the eligibility of the prime recipient/consortium representative under Section III.A. of the FOA. Upon request, unincorporated consortia must provide the OTT Contracting Officer with a collaboration agreement, commonly referred to as the articles of collaboration, which sets out the rights and responsibilities of each consortium member. This agreement binds the individual consortium members together and should discuss, among other things, the consortiums: Management structure; Method of making payments to consortium members; Means of ensuring and overseeing members efforts on the project; Provisions for members cost sharing contributions; and Provisions for ownership and rights in intellectual property developed previously or under the agreement.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Letters of Intent must be submitted and accepted prior to submitting a full application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Instructions are included in the Funding Opportunity Announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Award Procedure is detailed in the Funding Opportunity Announcement.

Deadlines:

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. 50% Cost Share required for this effort. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The projects funded under this program are intended to have project periods from 24-36 months. Funding must be spent by recipients during the project period. Full funding will be awarded at the time of award but recipients will be required to request reimbursement for costs prior to drawing down funds. Method of awarding/releasing assistance: lump sum.

Reports:

Final Project Technical Progress reports are required at the completion of the Project. Templates for the reporting format will be provided to applicants during the award negotiation process. SF425 Quarterly Project Progress reports are required. Templates for the reporting format will be provided to applicants during the award negotiation process. Quarterly SF425 required. Quarterly Project Progress reports are required. Templates for the reporting format will be provided to applicants during the award negotiation process.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

89-2021-0-0-228.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

\$400,000 to \$1,000,000

TAFS Codes:

89-0228.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Steven Palmeri,
15013 Denver West Parkway NREL RSF Room C117,
Golden CO 80401
Email: steve.palmeri@hq.doe.gov
Phone: 240-562-1741
Fax: Marcos Gonzales Harsha,
US Department Of Energy 1000 Independence Ave. SW,
Washington DC 20585
Email: marcos.gonzalesharsha@hq.doe.gov
Phone: 202-586-8427
Fax:

Website Address:

<https://eere-exchange.energy.gov/login.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ASSISTANCE LISTINGS DESCRIPTIONS

Merit Review Criteria are listed in the Funding Opportunity Announcement.

81.036 Inventions and Innovations

(I&I).

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Federal Nonnuclear Energy Research and Development Act of 1974, Section 14, Public Law 93-577, 88 Stat. 1894, 42 U.S.C 5913, , Public Law 95-91, 42 USC Code 7101. Federal Nonnuclear Energy Research and Development Act of 1974, Section 14, Statue 88-1894, Public Law 577-93, 42 USC Code 5913.

OBJECTIVES:

To encourage the development and commercialization of energy-saving inventions by providing financial and technical assistance to projects that have a potential for significant energy savings and future commercialization markets through a competitive solicitation process.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Dissemination of Technical Information, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The assistance provides financial assistance for research and development of innovative, energy-saving ideas and invention. Inventions and Innovations support energy efficiency and renewable energy technology development in focus areas that align with the Office of Energy Efficiency and Renewable Energy programs.

Applicant Eligibility:

Anyone/general public; N/A

Beneficiary Eligibility:

Individual/Family; Profit organization; Scientist/Researchers; Engineer/Architect; Industrialist/ Business person; Small Business Person; N/A

Credentials/Documentation:

This Program is excluded from coverage under OMB Circular No. A-87. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. All DOE EERE Funding Opportunity Announcements are found at <https://eere-exchange.energy.gov/>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Only proposals prepared in response to the requirements contained in the annual competitive solicitation will be accepted. The application forms must be downloaded from the specific funding opportunity announcement posted on the EERE Exchange website (<https://eere-exchange.energy.gov/>) and the grants.gov website at <http://www.grants.gov/>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Application is deemed meritorious by the review committee and the Recipient abides by all award terms and conditions, either explicitly stated or incorporated by reference.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. Unsuccessful applicants are entitled to a debriefing by DOE

program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 2, Chapter IX, Part 910, Subpart 130, Public Law Energy Policy Act of 2005 (Section 988). Matching Requirements: Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award project periods range from 1 to 3 years. Method of awarding/releasing assistance: other

Reports:

For research and development (R&D) and demonstration and commercialization awards a quarterly Research Performance Progress Report (RPPR) is required. For non-R&D awards a quarterly Progress Report is required. Every quarter, the Prime Recipient is required to submit a completed SF-425 for the project i.e., the entirety of work performed by the Prime Recipient, Subrecipients, and contractors. For research and development (R&D) and demonstration and commercialization awards a quarterly Research Performance Progress Report (RPPR) is required. For non-R&D awards a quarterly Progress Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audit procedures in accordance with 2 CFR 200.501 and 2 CFR 910.501 are required.

Records:

Access to process implementation and efficiency data must be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Account Identification:

89-0203-0-1-270.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

\$500,000 to \$1,000,000

TAFS Codes:

89-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: Financial Assistance Regulations 2 CFR 200 as amended by 2 CFR 910.

Regional or Local Office:

None.

Headquarters Office:

Policy Analyst James Cash,
15013 Denver West Parkway,
Golden CO 80401
Email: james.cash@ee.doe.gov
Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Uniqueness, technical feasibility, marketability, energy relationship (including energy savings).

81.041 State Energy Program

(SEP).

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Energy Policy and Conservation Act, Public Law 94-163, 42 U.S.C. 6321-6326., , National Energy Conservation Policy Act of 1978, Public Law 95-619 and Public Law 101-440., , Balanced Budget Down Payment Act II of 1996, Public Law 104-134., , Energy Policy and Conservation Act, Public Law 94-163, 42 U.S.C. 6321-6326., , Department of Energy Organization Act of 1977, Public Law 95-91, 42 U.S.C. 7101,

OBJECTIVES:

The purpose of this program is to increase market transformation of energy efficiency and renewable energy technologies through policies, strategies, and public-private partnerships that facilitate their adoption and implementation. It also facilitates state-based activities, such as: financing mechanisms for institutional retrofit programs; loan program and management; energy savings performance contracting; comprehensive residential programs for homeowners; transportation programs that accelerate use of alternative fuels; and renewable programs that remove barriers and support supply side and distributed renewable energy. The program provides financial and technical assistance to State governments to create and implement a variety of energy efficiency and conservation projects in order to provide leadership to maximize the benefits of energy efficiency and renewable energy through communications and outreach activities, technology deployment, and accessing new partnerships and resources across the geographic panorama of the United States and its territories. The programs objectives are: * To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities; * To reduce the total energy use of the eligible entities; and * To improve energy efficiency in the transportation, building, and other sectors.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Formula Grants

USES AND USE RESTRICTIONS:

Formula Grants are to be used by States for the development, implementation, or modification of State plans submitted to and approved by DOE. To be eligible for Federal assistance, each State plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163; and may also include a wide range of optional activities. A wide variety of activities are eligible for use of the grant funds including: * Developing / implementing an energy efficiency and conservation strategy and retaining technical consultant services to assist in the development of such a strategy. * Conducting residential and commercial building energy audits. * Establishing financial incentive programs for energy efficiency improvements (e.g., loan programs, rebate programs, waive permit fees.) * Providing grants to nonprofit organizations to perform energy efficiency retrofits. * Developing / implementing programs to conserve energy used in transportation (e.g., flex time by employees, satellite work centers, promotion of zoning requirements that promote energy efficient development, transportation infrastructure: bike lanes / pathways, pedestrian walkways, and synchronized traffic signals). * Developing and implementing building codes and inspection services to promote building energy efficiency. * Implementing energy distribution technologies. * Developing public education programs to increase participation and efficiency rates for recycling programs. * Purchasing/implementing technologies to reduce and capture methane and other greenhouse gases generated by landfills or similar sources. * Installing light emitting diodes (LEDs). * Developing, implementing, and installing renewable energy technologies on or in any government building. * Any other activity as determined by the Secretary of Energy

in consultation with the Secretaries of Transportation and Housing and Urban Development and the Administrator of the Environmental Protection Agency.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

U.S. Territories; State; The ultimate potential beneficiaries will be the people affected by the plan that each State develops. This is anticipated to be the State's population.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. Only one application from each State, in compliance with State and local environmental statutes and regulations. Costs will be determined in accordance with 2 CFR Part 200 subpart E for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. No preapplication form or conference is required by DOE. A copy of the final State plan must accompany the grant application from the State. Consultation and assistance will be available from the DOE in the preparation of the application. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States submit applications and plans (or amendment to plans) in response to 10 CFR 420 through the PAGE system (<https://www.page.energy.gov/login.aspx>).

Award Procedure:

Grant applications will be reviewed by DOE Office of Energy Efficiency and Renewable Energy personnel. Grant procedures are in accordance with Federal government regulations. Notification of grant awards will be issued by a DOE Procurement Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. As required under the Energy Independence and Security Act of 2007.

Renewals:

Other. Renewals are subject to review by the DOE program office and subject to the availability of funds. Assistance will be provided from sums appropriated for any fiscal year only upon annual application.

Formula and Matching Requirements:

Statutory Formula: Title 10 Vol 3, Chapter II, Part 420, Subpart B Section 420.11, Public Law Energy Policy and Conservation Act 42 USC 6321 et seq. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. There is a 20 percent cost match requirement for the SEP, however leveraging of funds on the part of the recipient is encouraged. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Authorized funding is established by ECPA, NECPA, and ESA. Funds awarded annually are subject to Congressional appropriation, with no restriction on spending period. Funds are released through the Electronic Transfer System. Annual budget periods are set by each grantee within parameters established by

ASSISTANCE LISTINGS DESCRIPTIONS

DOE. Method of awarding/releasing assistance: other

Reports:

Quarterly progress and financial status reports are required along with a final report at the end of the project. Quarterly financial status reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audit procedures in accordance with 2 CFR 200.501 In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Records:

In accordance with 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

Formula Grants FY 20 \$55,589,240.00; FY 21 est \$38,857,169.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$1-\$5,000,000

TAFS Codes:

89-0321, 89-0331, 89-0215.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: SEP implemented the Technology Action Group (TAG) Model for FY 2021. A TAG represents a voluntary opportunity to participate in a learning and best practices model between the State Energy Program (SEP) and the 56 State Energy Offices (SEOs) of the states, territories and the District of Columbia. TAGs are voluntary and established as a pilot initiative for FY 2021 through FY2022. The two topics are: Onsite energy systems at critical facilities: States work together to develop plans for onsite energy systems that can power critical facilities during grid outages and normal operation using lab-designed tools. Main Street revitalization: States work together to identify successful strategies for targeting Main Street communities and small businesses to stimulate local energy efficiency projects performed by local professionals that reduce energy bills.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and 10 CFR 420.

Regional or Local Office:

Headquarters Office:

Policy Analyst James Cash,
15013 Denver West Parkway,
Golden CO 80401
Email: james.cash@ee.doe.gov
Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.042 Weatherization Assistance for Low-Income Persons; 81.119 State Energy Program Special Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007. In addition, each State energy conservation plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163, and may also contain a wide range of optional activities.

81.042 Weatherization Assistance for Low-

Income Persons

(WAP).

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Public Law 94-385 "Energy Conservation and Production Act of 1976", , Energy Independence and Security Act of 2007, , Energy Policy Act of 2005, , Title IV, Energy Conservation and Production Act,

OBJECTIVES:

To improve home energy efficiency for low-income families through the most cost-effective measures possible. The programs additional objectives are: 1. To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities; and 2. To reduce the total energy use of the eligible units while ensuring their health and safety.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Improvement of the thermal efficiency and cooling of dwellings by the installation of weatherization materials such as attic insulation, caulking, weather-stripping, furnace efficiency modifications, certain mechanical measures to heating and cooling systems, and replacement furnaces, boilers, and air-conditioners.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); States and Territories, including the District of Columbia, Puerto Rico, U.S. Virgin Islands, American Samoa, Guam, Commonwealth of the Northern Marianas, and, Native American tribal organizations (Navajo Nation, Northern Cheyenne, Intertribal Council of Arizona). In the event a State does not apply, a unit of general purpose local government, or community action agencies and/or other nonprofit agencies within that State becomes eligible to apply.

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; All low-income households are eligible to receive weatherization assistance. A low-income household is one whose combined income falls at or below 200 percent of the Federal poverty level determined by the Office of Management and Budget's poverty income guidelines or the basis on which Federal, State, or local cash assistance payments have been made. A State may also elect to make all homes eligible under the HHS Low-income Home Energy Assistance Program (LIHEAP) eligible for weatherization assistance and may use either 150 percent of poverty or 60 percent of State median income.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. The Department of Energy (DOE) requires that grantees meet certain statutory and administrative requirements which can be found in the Weatherization Program Rules available at 10 CFR Section 440 et al and from DOE offices. For Formula Grants, Governors or their designated agencies must apply for grants to the appropriate DOE office and submit State Plans and applications pursuant to State applications at 10 CFR part 440.12. Any household at or below 200% of poverty, per the modified statute, is considered low-income. About 15 million of all eligible households are good candidates for Weatherization. Priority service is given to the elderly, people with disabilities, and families with children. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

ASSISTANCE LISTINGS DESCRIPTIONS

required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. No preapplication form or conference is required by DOE. A copy of the final State plan must accompany the grant application from the State. Consultation and assistance will be available from the DOE in the preparation of the application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State applications for the WAP Program must be submitted through the PAGE system (<https://www.page.energy.gov/login.aspx>) to be considered for award.

Award Procedure:

Formula Grants: States and tribes will submit applications for available allocation. Grant applications will be reviewed by DOE Office of Energy Efficiency and Renewable Energy (EERE) personnel. Grant procedures will be in accordance with Federal government regulations. Notification of grant awards will be issued by the DOE Procurement Office and/or EERE personnel serving the applicant State. Project Grants: Evaluations will be conducted by an independent merit review committee and Federal employees. Final decisions to approve are made by ASEE, Program Manager and/or Designee. DOE Field Offices are then instructed to negotiate and prepare the grant award documents.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Submission deadlines will be published in the funding opportunity announcements at: <https://www.page.energy.gov/login.aspx>

Appeals:

Other. As required under 42 U.S.C. 6861 et. seq. and 10 CFR Section 440.

Renewals:

Other. Renewals are subject to review by the DOE program office and subject to the availability of funds. Financial assistance will be provided from sums appropriated for any fiscal year only upon annual application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards last between 3-5 years. Authorized funding is established by ECPA, NECPA and ESA Method of awarding/releasing assistance: other

Reports:

Quarterly progress and financial status reports are required along with a final report at the end of the project. Quarterly financial reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 10 CFR Section 440

Records:

The administrative requirements for DOE grants and cooperative agreements are contained in 2 CFR 200 as amended by 2 CFR 910 and 10 CFR Part 440.

Account Identification:

89-0321-0-1-270.

Obligations:

Formula Grants FY 20 \$304,179,735.00; FY 21 est \$281,788,596.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Up to \$7,700 per dwelling unit.

TAFS Codes:

89-0331. 89-0321.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The maximum average cost (funding) per dwelling unit (ACPU) that WAP will provide for 2021 is \$7,776

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007.

Weatherization Assistance guidelines published June 1, 1977. Amendments to guidelines published January 2, 1979, August 29, 1979, February 27, 1980, June 1, 1981, March 1, 1982, January 27, 1984, January 4, 1985, December 5, 1985, March 4 1993, June 5, 1995, and December 8, 2000. Additionally, 2 CFR 200 and 10 CFR 440.

Regional or Local Office:

Headquarters Office:

Policy Analyst James Cash,
15013 Denver West Parkway,
Golden CO 80401
Email: james.cash@ee.doe.gov
Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program; 81.119 State Energy Program Special Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007. DOE requires that grantees meet certain statutory and administrative requirements which can be found in the Weatherization Program Guidelines at <https://www.energy.gov/eere/wipo/weatherization-program-guidance>

81.049 Office of Science Financial Assistance Program

(Advanced Scientific Computing Research (ASCR), Basic Energy Sciences (BES), Biological and Environmental Research (BER), Fusion Energy Sciences (FES), High Energy Physics (HEP), Nuclear Physics (NP), Workforce Development for Teachers and Scientists (WDTs), Small Business Innovation Research (SBIR) Program and Small Business Technology Transfer (STTR) Program), Isotope R&D and Production (DOE IP), Accelerator R&D and Production (ARDAP)),

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 85-536, Public Law 95-91, Public Law 102-486, Public Law 108-153, Public Law 108-423, Public Law 109-58, Public Law 110-69, Public Law 111-358, Public Law 115-246, Public Law 115-368, Public Law 83-703, Public Law 93-577

OBJECTIVES:

The Office of Sciences (SC) mission is to deliver scientific discoveries and major scientific tools to transform our understanding of nature and advance the energy, economic, and national security of the United States. SC is the Nations largest Federal sponsor of basic research in the physical sciences and the lead Federal agency supporting fundamental scientific research for our Nations energy future. SC accomplishes its mission and advances national goals by supporting: The frontiers of science exploring nature's mysteries from the study of fundamental subatomic particles, atoms, and molecules that are the building blocks of the materials of our universe and everything in it to the DNA, proteins, and cells that are the building blocks of life. Each of the programs in SC supports research probing the most fundamental disciplinary questions. The 21st Century tools of science providing the nations researchers with 28 state-of-the-art national scientific user facilities, the most advanced tools of modern science, propelling the U.S. to the forefront of science, technology development, and deployment through innovation. Science for energy and the environment paving the knowledge foundation to spur

ASSISTANCE LISTINGS DESCRIPTIONS

discoveries and innovations for advancing the Department's mission in energy and environment. SC supports a wide range of funding modalities from single principal investigators to large team-based activities to engage in fundamental research on energy production, conversion, storage, transmission, and use, and on our understanding of the earth systems. SC is an established leader of the U.S. scientific discovery and innovation enterprise. Over the decades, SC investments and accomplishments in basic research and enabling research capabilities have provided the foundations for new technologies, businesses, and industries, making significant contributions to our nation's economy, national security, and quality of life. Additional descriptions of recent science discoveries can be found at <https://science.osti.gov/>.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

See information at <https://www.energy.gov/science/office-science> to see the array of scientific research supported by this program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Except where a program solicitation establishes more restrictive eligibility criteria, individuals and organizations in the following categories may submit proposals: Institutions of higher education; National Laboratories; Nonprofit and for-profit private entities; State and local governments; and consortia of entities described above.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Engineer/Architect; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; None

Credentials/Documentation:

Applications must be signed electronically by an official authorized to commit the institution or organization in business and financial affairs and who can commit the organization to certain National Policy Assurances to be incorporated as award terms in effect on date of award at <https://www.nsf.gov/awards/managing/rtc.jsp>. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Office of Science utilizes an automated system, the Portfolio Analysis and Management System (PAMS), to manage the grants applications and award process. An application will automatically transfer from Grants.gov into PAMS for processing. In order to manage the application in PAMS, applicants must register at <https://pamspublic.science.energy.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After selections, DOE may negotiate a financial assistance award with the selected applicant. Only a DOE Contracting Officer is authorized to approve financial assistance agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Normally, 6 months from receipt of grant application. Every funding opportunity announcement published at <https://www.grants.gov> will detail its anticipated notification schedule.

Appeals:

Other. None.

Renewals:

Other. Renewal applications must be submitted no later than six months prior to the scheduled expiration of the project period and must include a separate section that describes the results of work accomplished through the date of the renewal application (see 10 CFR 605.9). Renewal applications shall be submitted electronically in response to a Funding Opportunity Announcement through Grants.gov at <https://www.grants.gov>.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, financial assistance awards are awarded as a three-year project period composed of three one-year budget periods that are either fully funded at the time of award or funded on an annual basis. Generally, funding restrictions are placed on fully-funded awards with planned annual funding releases. In both cases, funding/funding releases are authorized based on criteria established in the individual award terms and conditions including adequate progress and availability of funds, if applicable. Method of awarding/releasing assistance: other

Reports:

Typically, recipients are required to submit a final technical report as specified in the individual award terms and conditions. Quarterly and final SF 425, Federal Financial Reports, must be submitted as specified in the individual award terms and conditions. Typically, recipients are required to submit a Research Performance Progress Report (RPPR) on an annual basis through PAMS as specified in the individual award terms and conditions. Quarterly financial SF 425, Federal Financial Reports, must be submitted as specified in the individual award terms and conditions. Typically, recipients are required to submit a Research Performance Progress Report (RPPR) on an annual basis through PAMS as specified in the individual award terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 - as stated above.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0222-0-1-251.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$1,351,765,427.00; FY 21 est \$1,225,000,000.00; FY 22 est \$1,224,999,999.00;

Range and Average of Financial Assistance:

\$10,000 to \$2,500,000; \$250,000.

TAFS Codes:

89-0222. 89-0224. 89-0227.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 605, The Office of Science Financial Assistance Program; 2 CFR 910, Department of Energy Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, and, 2 CFR 200, Office of Management and Budget Guidance for Grants and Agreements, Uniform Administrative

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements, Cost Principles, and Audit Requirements for Federal Agencies.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Director, Office of Grants and Contracts Support Michael S. Zarkin,

19901 Germantown Road,

Germantown MD 20874

Email: michael.zarkin@science.doe.gov

Phone: 301-903-4946

Website Address:

<https://science.osti.gov/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be subject to scientific merit review (peer review) and will be evaluated against the following criteria, listed in descending order of importance: Scientific and/or Technical Merit or the Educational Benefits of the Projects; Appropriateness of the Proposed Method or Approach; Competency of Applicant's Personnel and Adequacy of Proposed Resources; and, Reasonableness and Appropriateness of the Proposed Budget; and other appropriate factors, established and set forth in the Funding Opportunity Announcement.

81.057 University Coal Research

UCR,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Executive Order N/A, Atomic Energy Act of 1954, as amended, Public Law 83-703; Energy Reorganization Act of 1974, Public Law 93-438; Research and Marketing Act of 1946, as amended, Public Law 85-934; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Interior and Related Agencies Appropriations for 1980, Public Law 96-126; Department of Interior and Related Agencies Appropriations for 2004, Public Law 108-108.,

OBJECTIVES:

To educate and train the next generation of engineers and scientists to help develop and contribute to a highly skilled, inclusive, and competitive U.S. workforce and economy. The primary objective is to fund research at U.S. colleges and universities that advances the Office of Fossil Energy and Carbon Managements mission of delivering transformative, integrated solutions to enable a sustainable, low-carbon energy future. Through an annual solicitation, applications are sought with the potential to overcome barriers in technology development and accelerate emerging technologies advancements in the field of fossil energy and carbon management.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Work must be related to program objectives. The eligibility of recipients for DOE financial assistance awards under the UCR Program is limited to U.S. universities, colleges, and university-affiliated research institutions. Projects selected for an award must have a teaching professor as Principal Investigator or a Co-Principal Investigator

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Only US colleges and universities can apply.

Beneficiary Eligibility:

State; Public nonprofit institution/organization; In accordance with 2 CFR 910.124,

eligibility for award is restricted to U.S. colleges, universities, and university-affiliated research institutions. Grants awarded through the UCR Program are for maintaining and upgrading the educational, training and research capabilities of U.S. universities and colleges in the fields of science, environment, energy and technology related to fossil energy and carbon management systems. The involvement of professors and students generates fresh research ideas and enhances the education of future scientists and engineers. To assure the program continues to support the performance of high quality fundamental research by professors and students at U.S. colleges and universities, applications may be submitted by U.S. colleges, universities and university-affiliated research institutions provided the following criteria are met: (1) Principal Investigator or a Co-Principal Investigator listed in the application is a teaching professor at the submitting university. If this condition is met, other participants, Co-Principal Investigators or research staff, who do not hold teaching positions may be included as part of the research team; (2) Applications from university-affiliated research institutions must be submitted through the college or university with which they are affiliated; and (3) At least one student registered at that university is to receive compensation for performing research.

Credentials/Documentation:

2 CFR 200, Uniform Administrative Requirement, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirement for Federal Awards applies to this program.

Award Procedure:

Applications reviewed by qualified experts in accordance with 2 CFR 200 with specific emphasis on the scientific and technical merit of the proposed research and the factors listed in the formal solicitation for proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects awarded through the UCR Program typically have a performance period of 36 months and are usually are funded by the Electronic Transfer System. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: other

Reports:

Quarterly reports and technical progress reports containing research accomplishments and information on cost status, reported per task is required. Additionally, a final technical report is due 90 days after the end of performance period. Quarterly project Federal Financial Reports are required. Quarterly project technical progress and a final report are required. Topical Reports may be submitted as appropriate. Performance monitoring is achieved through submission of progress reports, site visits, and the Principal Investigators are required to participate in an Annual Contractors Review Meeting. Quarterly project Financial Cash Transaction Report is required. Quarterly project technical progress and a final report are required. Topical Reports may be submitted as appropriate.

ASSISTANCE LISTINGS DESCRIPTIONS

Expenditure reports are required quarterly. Performance monitoring is achieved through submission of progress reports, site visits, and the Principal Investigators are required to participate in a two day Annual Contractors Review Meeting, typically held in June each year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal award are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Retention of records will be required pursuant to guidelines set forth in 2 CFR 200 Account Identification:

89-0213-0-1-271.

Obligations:

Project Grants FY 20 \$5,590,184.00; FY 21 est \$2,797,885.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Range is \$0 to \$3,000,000 with up to \$400,000 per individual award.

TAFS Codes:

89-0213.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: eight awards estimated

REGULATIONS, GUIDELINES, AND LITERATURE:

A formal competitive opportunity announcement/announcement for applications was issued for the fiscal year 2021 program. Release date was December 2020

Regional or Local Office:

See Regional Assistance Locations. Project Office - National Energy Technology Laboratory, 626 Cochran Mill Road, P.O. Box 10940, Pittsburgh, PA, 15236-0940. Contact: Patricia Rawls, Telephone: 412-386-5882

Headquarters Office:

. Bhima Sastri,
Department of Energy,
Washington DC 20585
Email: Bhima.Sastri@hq.doe.gov
Phone: 202-586-2561
Fax:

Website Address:

<https://netl.doe.gov/coal/university-training>

RELATED PROGRAMS:

81.089 Fossil Energy Research and Development;

EXAMPLES OF FUNDED PROJECTS:

2021 Energy-Water Nexus Implications and Opportunities of a Hydrogen Economy; Electromagnetic Energy-Assisted Approaches to Convert Fossil Fuels to Low Cost Hydrogen; Process and Materials Co-Optimization for the Production of Blue Hydrogen; Addressing High-Temperature Materials Supply Chain Challenges; 5G for Coal-Fired Power Generation.

CRITERIA FOR SELECTING PROPOSALS:

Emphasis is on the scientific and technical merit of the proposed research and the factors listed as generic goals in the formal solicitation for proposals.

81.065 Nuclear Legacy Cleanup Program

(Consultation and Cooperation Financial Assistance),

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Nuclear Waste Policy Act (NWP), Sections 116, 118, 219, 302, Public Law 97-425; Nuclear Waste Policy Amendments Act of 1987, Public Law 100-159.,

OBJECTIVES:

For nuclear waste disposal activities to carry out the purposes of the Nuclear Waste Policy Act of 1982, Public Law 97-425, as amended (NWP); to conduct appropriate activities and participate in licensing activities in accordance with the NWP.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial and technical assistance is provided by Direct Payments for Specified Uses or Project Grants (cooperative agreements) to review and analyze potential economic, social, public health and safety, and environmental impacts; develop requests for impact and mitigation assistance; engage in any monitoring, testing, communication or evaluation activities; provide information to the public; and request information and make comments, recommendations. Any salary or travel expense that would ordinarily be incurred by such State, political subdivision of such State, or an Indian tribe may not be considered eligible for funding under this program.

Applicant Eligibility:

Interstate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Local governments, elected officials and affected Indian tribes surrounding DOE facilities. Designation of a local government or tribe as an "Affected Unite of Local Government" or "Affected Tribe is done pursuant to the NWP).

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Private nonprofit institution/organization; Interstate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; States, affected units of local government and affected American Indian tribes will benefit, as well as the cleanup work at the Department of Energy.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. A letter of inquiry describing the proposed work and eligibility of the proposer to do the work under a project grant (cooperative agreement) is desirable before preparation of a detailed formal proposal. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Neither an environmental assessment nor an environmental impact statement is required. An environmental impact statement/assessment is required for nuclear waste siting, but is not required in connection with the receipt or expenditure of these funds.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Direct Payments require no application. Applicant may omit the letter of inquiry and submit a proposal instead.

Award Procedure:

The proposal may be forwarded for internal and external review and then, if approved, to a DOE procurement office for grant development.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Normally 3 to 6 months for Project Grants (cooperative agreements).

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

Not Applicable.

Renewals:

Other. Proposals for renewal are subject to both internal and external evaluation.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The schedule of DOE payment is arranged at the time of grant negotiations. Length and time phasing of assistance will vary with DOE program phase and activity. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Grantee is expected to maintain auditable records to substantiate the total costs incurred under the agreement or contract.

Account Identification:

89-5227-0-2-271.

Obligations:

Project Grants FY 20 \$3,132,836.00; FY 21 est \$0.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

89-0251. 89-0315.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

General Guidelines for Implementing Financial Assistance Programs under Section 116 and 118 of the Nuclear Waste Policy Act of 1982, as amended. 10 CFR Part 600.

Regional or Local Office:**Headquarters Office:**

Intergovernmental and Stakeholder Program Manager Elizabeth Lisann,
1000 Independence Ave SW,
Washington DC 20585
Email: elizabeth.lisann@em.doe.gov
Phone: (202) 586-2904

Website Address:

No Data Available.

RELATED PROGRAMS:

81.049 Office of Science Financial Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.086 Conservation Research and Development

Energy Efficiency (EE),

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law

95-91 Department of Energy Organization Act of 1977; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Public Law 95-911 and Public Law 97-377., , American Recovery and Reinvestment Act of 2009, , Department of Energy Organization Act of 1977, as amended, , Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577,

OBJECTIVES:

The goal of this program is to conduct a balanced, long-term research effort in Buildings Technologies, Industrial Technologies, Vehicle Technologies, Solid State Lighting Technologies, and Advanced Manufacturing Technologies. Grants and cooperative agreements will be offered on a competitive basis to develop and transfer various energy conservation technologies to the non-federal sector. Submission deadlines will be published in the funding opportunity announcements on EERE Exchange at <https://eere-exchange.energy.gov/> Funding Opportunity Announcements are also posted at the grants.gov website at <http://www.grants.gov>

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance may be used in the form of cooperative agreements, or grants to nonprofit institutions and organizations to develop and transfer energy conservation technologies to the scientific and industrial communities, States, and local governments.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); For-profit organizations, private nonprofit institutions/organizations, State and local governments may apply.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; State; Local; For-profit organizations, private nonprofit institutions/organizations, State and local governments benefit.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. 2 CFR PART 910 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS (For-Profit entities)

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted on the EERE Exchange (<https://eere-exchange.energy.gov/>) website or grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation or criteria set forth in 2 CFR Part 200 as amended by 2 CFR 910. Evaluations will be conducted by Energy Efficiency and Renewable Energy (EERE) program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Submission deadlines will be published on the EERE Exchange

ASSISTANCE LISTINGS DESCRIPTIONS

(<https://eere-exchange.energy.gov/>) website and in the funding opportunity announcements at <http://www.grants.gov> From 60 to 120 days.

Appeals:

Other. Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Other. Grants may be modified or extended.

Formula and Matching Requirements:

Statutory Formula: Title 2, Chapter 9, Part 910, Subpart 130 Cost sharing (EPACT), Public Law . Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. This program has statutorily required cost share percentages based on project type: Education & Outreach 0%, Research & Development 20%, Demonstration and Commercialization 50%. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award project periods range from 1 to 3 years. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports (RPPR), and a final report at the conclusion of the grant time period. Quarterly expenditure reports (SF-425), and a final report at the conclusion of the grant time period are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 2 CFR 200 subpart F for other than for-profit recipients. For-profit recipients must comply with 2 CFR 910.501 audit regulations.

Records:

Record retention per 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

Cooperative Agreements FY 20 \$337,911,079.00; FY 21 est \$44,819,346.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

89-0321. 89-0331.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Federal Financial Assistance Awards were provided in the following technical areas: Buildings Technologies, Industrial Technologies, Vehicle Technologies, Solid State Lighting Technologies, and Advanced Manufacturing Technologies.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through EERE Exchange homepage at: <https://eere-exchange.energy.gov/>; DOE Financial Assistance Regulations (2 CFR 200 as amended by 2 CFR 910); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at <http://energy.gov/sites/prod/files/2016/02/f29/Merit%20Review%20Guide%20FINAL%20JULY%202013.pdf>

Regional or Local Office:

None.

Headquarters Office:

James Cash,
15013 Denver West Parkway,
Golden CO 80401
Email: james.cash@ee.doe.gov

Phone: 240-562-1456

Fax:

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s).

81.087 Renewable Energy Research and Development

Renewable Energy (RE),

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110-234 Food, Conservation, and Energy Act of 2008; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977, , Public Law 95-238, Section 207, , American Recovery and Reinvestment Act of 2009, , Public Law 101-218.

OBJECTIVES:

To conduct balanced research and development efforts in the following energy technologies: solar, biomass, hydrogen fuel cells and infrastructure, wind, hydropower, and geothermal. Grants will be offered to develop and transfer to the nonfederal sector various renewable energy technologies on a competitive basis. Submission deadlines will be published in the funding opportunity announcements at <https://eere-exchange.energy.gov/> and <http://www.grants.gov>

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance may be used to develop and transfer renewable energy technologies to the scientific and industrial communities, State, and local governments.

Applicant Eligibility:

Intrastate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); For-profit organizations, private nonprofit institutions/organizations, intrastate, interstate, and local agencies and universities may apply.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Interstate; Intrastate; State; Local; Education (13+); For-profit organizations, private nonprofit institutions/organizations, intrastate, interstate, State and local agencies and universities will benefit.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Application due dates will be posted in the individual funding opportunity announcements posted at <https://eere-exchange.energy.gov/> and <http://www.grants.gov>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements for Federal Awards applies to this program. The cost principles and award regulations that apply to this program are contained in 2 CFR 200 as amended by 2 CFR 910. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <https://eere-exchange.energy.gov/> and <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 2 CFR Part 200 for Unsolicited Proposals. Evaluations will be conducted by Energy Efficiency and Renewable Energy (EERE) program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Submission deadlines will be published in the funding opportunity announcements at <https://eere-exchange.energy.gov/> and <http://www.grants.gov/> From 60 to 180 days.

Appeals:

Other. Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Other. Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory Formula: Title Energy Policy Act 2005, Chapter Section 998, Part , Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. This program has statutorily required cost share percentages based on project type: Education & Outreach 0%, Research & Development 20%, Demonstration and Commercialization 50%. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award project periods range from 1 to 3 years. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports (RPPR), and a final report at the conclusion of the grant time period. Quarterly expenditure reports (SF-425), and a final report at the conclusion of the grant time period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audit procedures in accordance with 2 CFR 200 subpart F and the for-profit entity audit requirements in 2 CFR 910.501. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Records:

Record retention per 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

Cooperative Agreements FY 20 \$482,699,791.00; FY 21 est \$67,779,959.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Varies

TAFS Codes:

89-0331, 89-0224, 89-0321, 89-0222.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: While vehicles with more efficient and sophisticated engines are hitting the road in ever-greater numbers, their performance is limited by the properties of today's conventional fuels. Researchers with the U.S. Department of Energy Co-Optimization of Fuels & Engines (Co-Optima) initiative are exploring how simultaneous innovations in fuels and engines can boost fuel economy and vehicle performance, while reducing emissions advancing the underlying science needed to deliver better fuels and better engines sooner. The Co-Optima team views fuels not as standalone elements in the transportation system, but as dynamic design variables that can work with modern engines to optimize and revolutionize the entire on-road fleet, from light-duty passenger cars to heavy-duty freight trucks. Top scientists, engineers, and analysts from national laboratories, universities, and industry are collaborating on this first-of-its-kind effort to combine biofuels and combustion research and development. Building on decades of advances in fuels and engines, the Co-Optima initiatives three-pronged, integrated approach is providing American industry with the scientific underpinnings needed to identify and develop: Engines designed to run more efficiently on affordable, scalable, and sustainable fuels; Fuels designed to enable high-efficiency, low-emission engines; Strategies that can shape the success of new fuels and vehicle technologies with industry and consumers.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov/>; DOE Financial Assistance Regulations (2 CFR 200 as amended by 2 CFR 910); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at: <http://energy.gov/sites/prod/files/2016/02/f29/Merit%20Review%20Guide%20FINAL%20JULY%202013.pdf>

Regional or Local Office:

None.

Headquarters Office:

Policy Analyst James Cash,
15013 Denver West Parkway,
Golden CO 80401
Email: james.cash@ee.doe.gov
Phone: 240-562-1456

Website Address:

<http://www.eere.doe.gov>

RELATED PROGRAMS:

81.079 Regional Biomass Energy Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation laws, reports, and as otherwise specified in specific solicitation document(s) at <https://eere-exchange.energy.gov/>

81.089 Fossil Energy Research and Development

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 95-91 DOE Organization Act of 1977, as amended by Public Law 109-58 Energy Policy Act 2005. Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-557; Energy Policy and Conservation Act, Public Law 94-163.,

OBJECTIVES:

The Office of Fossil Energy and Carbon Management is responsible for Federal research, development, and demonstration efforts on advanced power generation; power plant efficiency; water management; and carbon capture, utilization, and storage (CCUS) technologies, as well as the development of technological solutions for the prudent and sustainable development of our unconventional oil

ASSISTANCE LISTINGS DESCRIPTIONS

and gas domestic resources.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Emphasis is on fundamental and applied research and technology development .

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; States, local governments, universities, governmental entities, consortia, nonprofit institutions, commercial corporations, joint Federal/Industry corporations, U.S. Territories, and individuals are eligible to apply.

Beneficiary Eligibility:

Federal; Individual/Family; Other private institution/organization; Scientist/Researchers; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federal, State, local governments, universities, consortia, nonprofit institutions, commercial corporations, joint Federal/Industry corporations, and individuals will benefit.

Credentials/Documentation:

Prior successful research and development experience in the fossil energy field and related areas. 2 CFR 200 Subpart E-Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

DOE opportunity announcement set forth award procedures. Unsolicited proposals will be evaluated by DOE personnel assigned to the specific area with merit reviews as required. This program is excluded from coverage under E.O. 12372.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 to 180 days.

Appeals:

Not Applicable. None.

Renewals:

Other. Awards may be amended or extended as required. In addition, awards may be renewed according to the funding opportunity announcement and award requirements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Matching Requirements: Percent: Other As Specified under Section 988 of the Energy Policy Act of 2005 and EISA, with exceptions, a minimum of 20% cost share is required for research and development. A minimum of 50% is required for demonstration. Higher cost share may be requested. For education and outreach projects, the statutory cost share is 0%.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The time period for a grant or cooperative agreement is dependent upon the funding opportunity announcement and the individual project award. Method of

awarding/releasing assistance: other

Reports:

Quarterly progress and financial reports are required. The final scientific/technical report is typically due within 90 calendar days after the grant or cooperative agreement project period ends. Quarterly progress and financial reports are required. The final scientific/technical report is due within 90 calendar days after the grant or cooperative agreement project period ends. TBD TBD

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Required by the grant and cooperative agreement award document.

Account Identification:

89-0224-0-1-271.

Obligations:

Cooperative Agreements FY 20 \$308,537,560.00; FY 21 est \$363,248,000.00; FY 22 est \$381,000,000.00;

Range and Average of Financial Assistance:

Award size varies according to the funding opportunity announcement . A majority of the active projects are in the range from \$200,000 to \$10,000,000+.

TAFS Codes:

89-0224.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 117 awards estimated for FY 2021

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Assistance Regulations, 2 CFR 910; Federal Acquisition Regulation 15.6, Unsolicited Proposals; Guide to Unsolicited Proposals: <http://www.netl.doe.gov/business/ups/unsol.html>

Regional or Local Office:

None. National Energy Technology Laboratory, Acquisition & Assistance Division; P.O. Box 10940, Mail Stop 921-107, 626 Cochran Mill Road, Pittsburgh, PA; 15236-0940. For Unsolicited Proposals, E-mail John Augustine at john.augustine@netl.doe.gov.

Headquarters Office:

Miranda Johnson,,
1000 Independence Ave., SW,
Washington DC 20623
Email: Miranda.Johnson@hq.doe.gov
Phone: (202) 586- 7661.

Website Address:

<https://www.energy.gov/fe/office-fossil-energy>

RELATED PROGRAMS:

81.057 University Coal Research;

EXAMPLES OF FUNDED PROJECTS:

2021 Fossil Fuel Large-Scale Pilots; Advanced Materials for High-Efficiency, Flexible and Reliable Coal-Fueled Power Plants; Water Management for Thermal Power Generation; Fossil Energy Based Production, Storage, Transport and Utilization of Hydrogen; Carbon Capture R&D; Engineering-Scale Testing and Validation of Algae-Based Technologies and Bioproducts; Design, R&D, Validation, and Fabrication of a Prototype Carbon-Based Building; and Alaska North Slope Gas Hydrates Production Test.

CRITERIA FOR SELECTING PROPOSALS:

Criteria varies according to the specific funding opportunity announcements.

ASSISTANCE LISTINGS DESCRIPTIONS

Typically the selection criteria includes criteria related to merit of the technical work proposed, the reasonableness and thoroughness of the technical approach, the quality of the technical capabilities, facilities and personnel involved in the scope of work, and the thoroughness of the project management plan.

81.092 Remedial Action and Waste Management

Federal Facility Agreement,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Using Grant Agreements USC Code 31 U.S. Code 6304. Federal Facilities USC Code 42 U.S. Code 9620.

OBJECTIVES:

An objective of the Oak Ridge Office of Environmental Management is to maintain its responsibilities under the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) and the Resource Conservation and Recovery Act (RCRA). The Federal Facility Agreement (FFA) for the Oak Ridge Reservation (ORR) is a long-term agreement designed for the numerous stages of the CERCLA process. Activities under the FFA include site evaluations, remedial investigations, feasibility studies, engineering evaluations, removal actions, records of decision, remedial designs, remedial actions, and follow-up evaluations to ensure remedy success. Other FFA related activities include: Natural Resource Damage Assessment, interagency working groups, surveillance, land use planning, and long-term stewardship activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the project agreement.

Applicant Eligibility:

State;

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 910, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Project proposals are reviewed and awarded by the Oak Ridge Office of Environmental Management.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of time is generally five years Method of awarding/releasing assistance: assistance is usually released quarterly Method of awarding/releasing assistance: quarterly

Reports:

Quarterly Reports, Yearly Reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Yearly A-133 Audits

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant or cooperative agreement.

Account Identification:

89-0251-0-1-250. 89-0251-0-1-053.

Obligations:

Project Grants FY 20 \$1,349,644.00; FY 21 est \$5,448,549.00; FY 22 est \$4,500,000.00;

Range and Average of Financial Assistance:

This Financial Assistance award ranges between \$2,700,000 and \$5,500,000. The average is \$4,330,859

TAFS Codes:

89-0251.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 910

Regional or Local Office:

None. John E. Phelps U.S. Department of Energy Oak Ridge Office of Environmental Management Procurement and Contracts Division, EM-91 200 Administration Road Oak Ridge, TN 37831 2001John.Phelps@orem.doe.gov (865) 576-1147

Headquarters Office:

Andrew Wirkkala,
1000 Independence Ave., SW.,
Washington DC 20585
Email: Andrew.Wirkkala@em.doe.gov
Phone: (202) 586-1487
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Remediation personnel will review and comment on all applicable documents developed and data collected as outlined in schedules set forth in the FFA including Phased Construction Completion Reports, Remediation Effectiveness Reports, Removal and Remedial Site Evaluation Surveys, etc. Other documents or information that may be reviewed include studies, reports, action plans, ARARs, five-year CERCLA reviews, post Remedial Action monitoring, and CERCLA projects performed under the Removal Action authority 2021 Funding will be used for support and oversight of Per and Poly fluorinated Substances Assessment evaluations, including, sampling, support, and/or lab costs related to FFA projects. Support of fish tissue sampling. Fish tissue is a key parameter in risk-based decisions associated with regulatory decisions, contaminant transport and risk

ASSISTANCE LISTINGS DESCRIPTIONS

assessments.Support in review of Waste Acceptance Criteria established for the waste disposal facility.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.102 Student Driven Research and Long Term Monitoring of Selected Populations in the Valley and Ridge Eco-region

Clinch River Environmental Studies Organization,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 95th-95-91, Public Law 97-97-258, Research and development projects USC Code 10 U.S.C 2358. Using Grant Agreements USC Code 31 U.S.C. 6304.

OBJECTIVES:

An objective of the Oak Ridge Office of Environmental Management (OREM) is to maintain a strong, cooperative relationship with local residents, municipalities, and stakeholders. Since the 33,500-acre Oak Ridge Reservation spans Anderson and Roane counties and contains the City of Oak Ridge, the OREM missions and decisions have a significant impact on local residents. The primary goal for this listing is to provide a mechanism for engaging high school and undergraduate college students in meaningful long-term environmental field studies in the Oak Ridge area.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Assistance may be used for student driven environmental research.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals;

Beneficiary Eligibility:

Scientist/Researchers; .

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Renewals only. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Assistance is reviewed and approved by the Oak Ridge Office of Environmental Management

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

The time period for a grant is dependent upon the individual project . Time period varies. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Reports Annual Reports

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Annual A-133 Audits

Records:

Records must be maintain for a period of 3 years following the final financial report.

Account Identification:

89-0251-0-1-250.

Obligations:

Project Grants (Contracts) FY 20 \$53,377.00; FY 21 est \$99,929.00; FY 22 est \$100,247.00;

Range and Average of Financial Assistance:

.

TAFS Codes:

89-0251.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 and 910

Regional or Local Office:

None. John E. PhelpsU.S. Department of EnergyOak Ridge Office of Environmental ManagementProcurement and Contracts Division, EM-91200 Administration RoadOak Ridge, TN 37831 2001John.Phelps@orem.doe.gov(865) 576-1147

Headquarters Office:

Andrew Wirkkala,
1000 Independence Ave., SW,
Washington DC 20585
Email: Andrew.Wirkkala@em.doe.gov
Phone: (202) 586-1487
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.104 Environmental Remediation and Waste Processing and Disposal

Environmental Management,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Hazardous Materials Transportation Uniform Safety Act of 1990, , Public Law 101-615, Department of Energy Organization Act of 1977, as amended, , Public Law 96-573, Low-Level Radioactive Waste Policy Act, , Public Law 99-240, Energy Reorganization Act of 1974, , Public Law 93-438, Atomic Energy Act of 1954, Section 31, as amended, , Public Law 83-703

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The Environmental Management Research and Development Program provides for the development of technologies to safely expedite tank waste processing and tank closure, remediation of contaminated groundwater and soil, disposition of nuclear materials and spent (used) nuclear fuel, and deactivation and decommissioning of contaminated excess facilities. The Environmental Management Research and Development program transforms science and innovation into practical solutions for environmental cleanup. The new technologies will transform the Environmental Management cleanup effort by reducing risk (technological, environmental, safety, and health), schedule, and cost. The Environmental Management Research and Development program focuses on resolving technical challenges with an overall emphasis on transformational technical solutions in response to the highest priority needs of Environmental Management sites. Applied engineering and research demonstrating the technical feasibility of high-risk, high-payoff technologies are included. The Environmental Management Research and Development program matures technologies from concept/basic science through feasibility assessment and technology development (bench scale and scale-up testing and flow-sheet evaluation), then production-level demonstration, and finally to full deployment.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the specific project agreement. Applicants must meet the guidelines established by DOE or contained in the specific Congressional authorization for a particular program being supported.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public, quasi-public, private industry, individuals, groups, educational institutions, organizations, and nonprofit organizations may apply including State or local level governments, federally recognized Indian tribal governments, and institutions in U.S. Territories and possessions may apply. Determinations are made by DOE EM Headquarters or EM Field Offices, or both.

Beneficiary Eligibility:

Federal; U.S. Territories; State; Local; States, affected Indian tribes, regional organizations, (including U.S. Territories) local governments, and the public will benefit.

Credentials/Documentation:

Cost will also be determined in accordance with OMB Circular No. A-21 for institutions of higher education or as otherwise defined in the financial assistance instrument. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A formal proposal by the organization interested in doing the work must be submitted through an appropriate official of the corporate entity, organization, or institution. Financial assistance requests will be reviewed pursuant to the Office of Environmental Management Merit Review System (May 5, 1991) and the DOE Financial Assistance Manual, as amended.

Award Procedure:

Award decisions may be made by DOE Headquarters throughout the fiscal year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The approximate approval/disapproval time is from 2 months to 1 year.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Proposals for renewal are subject to review and acceptance by DOE Headquarters, the field, or both.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Match requirements will be determined depending upon the award. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. The maximum term of an award is 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

At a minimum annual progress reports are required. Frequency of progress reports will be determined at time of award. Expenditure reports will be required. The type and frequency will be determined at time of award. Performance monitoring will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Costs incurred are subject to audit throughout the contract or grant period and before final close-out. The extent and frequency of audits depend on the size of the contract/grant and the specific contract/grant provisions.

Records:

A grantee is expected to maintain auditable records to substantiate the total costs incurred under the grant or cooperative agreement. In addition, if cost sharing is required, the grantee is also expected to maintain auditable records for the cost sharing portion of the award.

Account Identification:

89-0251-0-1-053.

Obligations:

Cooperative Agreements FY 20 \$21,647,802.00; FY 21 est \$30,000,000.00; FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

89-0251.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Continue projects that support innovations and enhancements for DOE-EM sites highest priority needs in the areas of tank waste and nuclear waste management, soil and groundwater remediation, and deactivation and decommissioning activities. Continue activities in the areas of Technetium-99, Mercury, Cesium-137 and Strontium-90, the creation and operation of test beds, and integration of advanced tooling for enhanced worker safety and productivity. Continue projects that support innovations and enhancements in the areas of tank waste and nuclear waste management, soil and groundwater remediation, and deactivation and decommissioning activities. Fiscal Year 2021: Continue to establish test bed programs at various sites, across the EM complex, which will allow innovative technologies and approaches to be evaluated to determine their usefulness for clean-up. Continue to provide technical assistance for the sites utilizing the technical subject matter experts that reside at DOE's national laboratories, academia, private industry, and other Federal agencies. Continue to enhance and deploy technologies and workforce advancements in areas of worker safety, tank waste cleanup, soil/groundwater remediation, and facility decommissioning and decontamination. Fiscal Year 2022: Continue to establish test beds programs at various sites, across the EM complex, that will allow evaluation of innovative technologies and approaches addressing the highest site priority needs. Provides technical assistance for the sites to address unique challenges for which there is currently no solution or a proposed solution which needs improvement. These technical subject matter experts reside at DOE's national laboratories, academia, private industry, international facilities, and other

ASSISTANCE LISTINGS DESCRIPTIONS

Federal agencies. Continue to enhance and deploy technologies and workforce advancements in areas of worker safety, tank waste cleanup, soil/groundwater remediation, and facility decommissioning and decontamination.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Latrincy M. Bates,
Office of Subsurface Closure, EM-4.121000 Independence Ave., SW,
Washington DC 20585
Email: latrincy.bates@em.doe.gov
Phone: 301-903-7654

Website Address:

<http://www.em.doe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Research must be beneficial to the overall accomplishment of the program objectives and consistent with the criteria of the EM Merit Review System (i.e., technically meritorious, qualified principal investigators, adequate facilities for conduct of the work, past experience and performance history).

81.105 National Industrial Competitiveness through Energy, Environment, and Economics

(NICE3) or NICE Cubed,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977, , Public Law 95-91, Energy Policy Act of 1992, as amended, , Public Law 102-486

OBJECTIVES:

This program is in close-out. Financial assistance will not be provided in the future.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); None.

Beneficiary Eligibility:

Industrialist/ Business person; Small Business Person; State; Local; Both state and local governments benefited from these grants. Commercial firms with expertise in waste reduction and pollution prevention, large and small businesses, and others in the business of preventing pollution and energy conserving technologies also benefited.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NICE3 is in close-out

mode. There is no appropriation for grant project funding.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Unsuccessful applicants from the competitive solicitation were entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects covered a period of up to 3 years with release of funding subject to work progress. Access to process implementation and efficiency data is to be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Access to process implementation and efficiency data must be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Account Identification:

89-0215-0-1-250.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

89-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Policy Analyst James Cash,
15013 Denver West Parkway,
Golden CO 80401
Email: james.cash@ee.doe.gov
Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions

Transport of Transuranic Waste to the Waste Isolation Pilot Plant (WIPP): States and Tribal Concerns, Proposed Solutions,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Waste Isolation Pilot Plant Land Withdrawal Act, 102-579, as amended by Waste Isolation Pilot Plant Withdrawal Act, Public Law 104-201, , Public Law 104-201, National Security and Military Application of Nuclear Energy Authorization Act, Public Law 96-164, , Public Law 96-164

OBJECTIVES:

To enlist cooperation among the Tribal and the Southern, Western, and Midwest state governments along the Waste Isolation Pilot Plant (WIPP) shipping corridors in developing plans and procedures for the safe and uneventful transportation of transuranic waste from current temporary storage facilities to the WIPP

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The participating States, tribes, and the DOE will work to develop, coordinate, and implement activities in accident prevention, emergency preparedness, and public information programs to help ensure the safe transportation of transuranic waste to the WIPP site and safe disposal operations. Financial assistance is provided to support cooperation among the tribes, the Southern, Western, and Midwestern states along the WIPP shipping corridors, in developing plans and procedures for the safe uneventful transportation of transuranic waste from current temporary storage facilities to WIPP. Restrictions on the use of these funds depend on the specific collaborative agreement. Applicants must meet the guidelines established by DOE.

Applicant Eligibility:

Interstate; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State; U.S. Territories and possessions (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federal; Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, Council of State Governments (midwest region), and tribal governments along WIPP transportation routes. DOE administers agreements with 10 southern State governments, and two Midwest state governments. The Western Governors' Association is the negotiating body for 12 western states on the WIPP transportation route. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to the affected tribal governments.

Beneficiary Eligibility:

Federal; Interstate; State; Federally Recognized Indian Tribal Governments; Benefits from this program will go to DOE and the State and tribal governments located on the WIPP disposal phase shipping corridor.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information may be required for the WIPP route road repairs only

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After informal communication, a formal proposal by the applicant is submitted to the DOE Carlsbad Field Office. Note: All eligible parties are participating in the program and applications from others will not be accepted

Award Procedure:

Decisions are made by DOE Carlsbad Field Office. Award documents are then prepared.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Awards to state and tribal governments may be renewed as long as WIPP shipments are still being transported through those states or tribes

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Schedule for DOE payments will be established during negotiations. Method of awarding/releasing assistance: other

Reports:

Quarterly financial reports are submitted using SF-425. Quarterly/Annual progress reports containing accomplishments and information on cost status Progress reports are required quarterly and annually. A reporting schedule will be established during negotiations.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audit reports are to be provided to DOE.

Records:

The grantee is expected to maintain records to fulfill auditing needs in accordance 2 CFR 200

Account Identification:

89-0242-0-1-053.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$5,329,438.00; FY 21 est \$2,200,000.00; FY 22 est \$8,889,686.00;

Range and Average of Financial Assistance:

\$50,000 to \$3,000,000 per year

TAFS Codes:

89-0242.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PART 200UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS
Regional or Local Office:

See Regional Assistance Locations. Department of Energy, Carlsbad Field OfficeP.O. Box 3090Carlsbad, NM 88221-3090Contact: James Mason, Institutional Affairs Manager Telephone: (575) 234-7335 or Wendy BauerContracting OfficerTelephone 575-361-0008

Headquarters Office:

Mr. Edgar Espinosa,
1000 Independence Avenue, SW,
Washington DC 20585

ASSISTANCE LISTINGS DESCRIPTIONS

Email: edgar.espinosa@hq.doe.gov

Phone: 2025865382

Fax:

Website Address:

<http://www.wipp.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The awards are restricted to the entities listed in the "Applicant Eligibility" portion of this program listing. Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, the Council of State Governments (midwest region) and tribal governments along WIPP transportation routes. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to affected tribal governments.

81.108 Epidemiology and Other Health Studies Financial Assistance Program

Health Studies,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051, , Federal Nonnuclear Energy Research and Development Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101, , Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817,

OBJECTIVES:

To provide financial support for research, education, conferences, communication, and other activities relating to the health of Department of Energy workers, as well as other populations potentially exposed to health hazards associated with energy production, transmission, and use.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Restrictions on use of funds depend on award provisions. Support is provided for work in such areas as the health experience of DOE and DOE contractor workers; health experience of populations living near DOE facilities; health experience of workers exposed to toxic substances; use of biomarkers to recognize exposure to toxic substances; conduct of epidemiologic and other health studies relating to energy production, transmission, and use (including electromagnetic fields) in the United States and abroad; compilation, documentation, management, use, and analysis of data for the DOE Comprehensive Epidemiologic Data Resource; and other systems or activities enhancing these areas, as well as other program areas as may be described by notice published in the Federal Register.

Applicant Eligibility:

Federal; Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Colleges and universities, businesses, and nonprofit institutions may apply.

Beneficiary Eligibility:

Small business; Private nonprofit institution/organization; Colleges and universities, businesses, and nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. A formal application by the principal investigator interested in doing the work is required. If submitted on behalf of an institution, the application should be sent through the appropriate administrative official. In the case of any competitive solicitations issued under this program, the application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site at <http://www.grants.gov/>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A formal application by the principal investigator interested in doing the work is required. If submitted on behalf of an institution, the application should be sent through the appropriate administrative official. In the case of any competitive solicitations issued under this program, the application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site at <http://www.grants.gov/>.

Award Procedure:

Decisions to approve are made by the Headquarters Program Director. DOE procurement offices are then instructed to negotiate and prepare an appropriate award document.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Normally 6 months.

Appeals:

From 60 to 90 days.

Renewals:

From 60 to 90 days. Proposals for renewal are subject to review and acceptance by the Headquarters Office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The schedule of DOE payments is arranged at the time of award. Method of awarding/releasing assistance: other

Reports:

Progress/status reports detailing quarterly activities are required. Expenditure reports detailing accrued costs are required. Performance shall be monitored and details provided in the quarterly progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

"In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503"

Records:

Recipient is expected to maintain records required to audit or otherwise substantiate costs incurred under the grant.

Account Identification:

89-0243-0-1-271.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants FY 20 \$18,134,999.00; FY 21 est \$14,210,999.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

\$166,000 to \$6,500,000. Average about \$2.0 Million.

TAFS Codes:

89-0243.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 602. Guidelines and current program announcements are included in application kits.

Regional or Local Office:

Headquarters Office:

Ron W. Barnes,,
1000 Independence Ave., SW,
Washington DC 20585
Email: ron.barnes@hq.doe.gov
Phone: (301) 903-1244.

Website Address:

<http://energy.gov/ehss/environment-health-safety-security>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The scientific and technical merit of the proposed research, appropriateness of the proposed method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors set forth in a Notice of Availability or in a specific solicitation.

81.112 Stewardship Science Grant Program

Stewardship Science Academic Alliances Programs (SSAP),

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.,

OBJECTIVES:

(1) To grow the U.S. scientific community through university involvement in areas of fundamental science and technology relevant to stockpile stewardship; (2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) to train scientists in specific areas of long-term research relevant to stockpile stewardship; (4) to increase the availability of unique experimental facilities sited at NNSA's laboratories to the academic community, particularly for collaborations in areas of relevance to stockpile stewardship; and (5) to develop and maintain a long-term recruiting pipeline to NNSA's laboratories by increasing the visibility of NNSA's Defense Program's scientific activities to the U.S. faculty and student communities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Funding is provided for basic and applied research in the field of physical science in support of the DOE stockpile

stewardship program. Restrictions on use of funds depend on grant provisions.

Funding is provided for the purpose of exploring an idea that does not unnecessarily duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Some solicitations are open to institutions of higher education only and others include nonprofit organizations and for profit commercial organizations. See individual funding opportunity announcements for details on eligibility.

Beneficiary Eligibility:

Federal; Scientist/Researchers; Other public institution/organization; Depending upon the eligibility requirements of the individual solicitation, U.S. public and private institutions of higher education and/or nonprofit organizations and for profit commercial organizations will benefit. The Federal government will also benefit from the research of these grants.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Proposals should be submitted as specified in the funding opportunity announcement posted at <http://www.grants.gov/>.

Award Procedure:

Applications will receive an administrative screening to determine if they are complete and in compliance with the terms of the solicitation. Proposals will be screened for stewardship relevance and then reviewed for scientific and technical merit by qualified individuals. The review and selection process for each funding opportunity are included in the solicitation. Decisions to approve are made by a Headquarters program official within the Program Office. Selected proposals will be forwarded to the NNSA Albuquerque Complex for grant development, negotiation, and award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. Proposals for renewal should be submitted to the next open funding opportunity announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, grants are approved for two-year or three-year project periods and funded one year at a time. Depending upon specific circumstances, grant project periods may range from one year to five years, at the discretion of the program office. Cooperative Agreements typically have five-year project periods and are funded one year at a time. Method of awarding/releasing assistance: other

Reports:

SF425 quarterly Progress Reports annually Performance monitoring is required for Cooperative Agreements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

ASSISTANCE LISTINGS DESCRIPTIONS

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0240-0-1-053.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$110,160,550.00; FY 21 est \$118,114,000.00; FY 22 est \$118,080,000.00;

Range and Average of Financial Assistance:

grants \$50,000 - \$750,000 per year Cooperative agreements \$1,000,000-\$75,000,000 per year

TAFS Codes:

89-0240.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and trains future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research programs, and upon graduation some go on to work at the national laboratories.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Federal Statutes, OMB Circulars, and other Government-wide guidance implementing 2 CFR 200, DOE Acquisition Policy and Guidance, DOE Guide to Financial Assistance.

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Terri Lynn Stone,
19901 Germantown Road,
Germantown MD 20874
Email: terri.stone@nnsa.doe.gov
Phone: 3019037423

Website Address:

<http://www.nnsa.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Center for Matter under Extreme Conditions; Center for Research Excellence on Dynamically Deformed Solids; Chicago/DOE Alliance Center; Center for Laboratory Astrophysics; Center for Advanced Nuclear Diagnostics and Platforms for ICF and HED Physics at Omega, NIF, and Z; Multi-University Center of Excellence for Pulsed-power-driven High Energy Density Science; Actinide Center of Excellence; Center for Excellence in Nuclear Training and University based Research; Center for Astrophysical Plasma Properties

CRITERIA FOR SELECTING PROPOSALS:

(1) Alignment with the areas of technical scope; (2) Scientific/technical merit of the project, including innovativeness and originality; (3) Expected impact on the area of technical scope addressed; (4) Consistency with the objective of this academic alliances program to focus on advanced experimental investigations; (5) Qualifications of the Applicant's personnel and adequacy of proposed resources; (6) Feasibility of plans for carrying out the proposed research, considering such factors as: appropriateness of the technical method and approach, facility compatibility, other commitments, competition and timing; (7) For projects currently receiving funding under this program, the scientific impact of recent results and accomplishments; (8) Level of interaction with NNSA/DP laboratory personnel and the potential to train students in scientific areas defined by the technical scope in order to build a long-term recruiting pool for the NNSA/DP laboratory complex. Generally, a higher degree of interaction both quantitatively and qualitatively is considered desirable; (9) Leverage provided by cost sharing

with other funding sources.

81.113 Defense Nuclear Nonproliferation Research

Defense Nuclear Nonproliferation Research and Development,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817, Title I, Section 107, Statute 1240-42, Public Law 93-438; 88, 5817 Atomic Energy Act of 1954, as amended, Section 3157, Public Law 101-189, 103 Stat. 1684, 42 U.S.C. 2051, Section 3157, 1684 Stat. 42, 2051 U.S.C., Section 3157, Statute 1684-42, Public Law 101-189, 103, 2051 USC Code 3157. Department of Energy (DOE) Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101, , Public Law 95-91, 42, Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577, , Public Law 93-577

OBJECTIVES:

To conduct basic and applied research and development that enhances U.S. national security and reduces the global danger from the proliferation of weapons of mass destruction and special nuclear materials through needs-driven research and development. The emphasis is on developing the requisite technologies to detect and deter nuclear proliferation and to meet U.S. nuclear detonation detection goals. Research focuses on advanced detection systems and concepts to support current and future USG policies. Support also address the pressing shortfalls in trained professionals capable of supporting crucial nuclear nonproliferation missions such as nuclear forensics and international safeguards.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, supplies, equipment, travel, publication costs, services required for conducting nonproliferation research and development to reduce the global danger from the proliferation of weapons of mass destruction. Construction or related costs are not allowed under these project grants. All work must comply with export control regulations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Universities (public and private), institutions of higher education, whose activities benefit the general public through results which are available to the National Nuclear Security Administration (NNSA), other U.S. government agencies, and universities and institutions of higher learning may apply

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; Other private institution/organization; Education Professional; Public nonprofit institution/organization; Other public institution/organization; The NNSA, other U.S. government agencies, universities and institutions of higher learning will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher learning. For Non-government organizations, Costs will be determined in accordance with OMB Circular No. A-122. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB

ASSISTANCE LISTINGS DESCRIPTIONS

Circular No. A-110. The NNSA Albuquerque Complex in Albuquerque, New Mexico issues Funding Opportunity Announcements (FOAs) under which formal applications may be submitted by a scientist or principal investigator who will conduct or lead the research team. The FOAs will be posted to Grants.gov which can be accessed at: <http://www.grants.gov>.

Award Procedure:

Evaluations will be conducted by technical staff assigned to the Office of Defense Nuclear Nonproliferation Research and Development or at the DOE national laboratories. Final decisions for approval are made by the source selection authority. DOE/NNSA Procurement is then instructed to negotiate and prepare the grant award documents. Program management responsibilities may be delegated as a whole or in part to the NNSA Albuquerque Complex or NNSA Site Offices.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Deadlines will be provided in the individual FOAs posted at Grants.gov.

Appeals:

Not Applicable.

Renewals:

Other. Grants will be modified and extended as required. Renewals are subject to an annual review by the Headquarters and/or NNSA Albuquerque Complex.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant period is for up to five years depending upon available appropriations. The schedule of payments is arranged at the time of award, but it is expected that proposals will be fully funded for their five year lifecycles in one lump sum. See the following for information on how assistance is awarded/released: Depending on the program some can be awarded lump sum or incrementally funded. Method of awarding/releasing assistance: other

Reports:

Quarterly Progress reports, and final reports are required. Also progress is reported annually at the University Program Review for the life of the project. Expenditure reports are required monthly. Independent performance and merit reviews are required in the second year of the lifecycle.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 All projects are subject to this audit process.

Records:

None.

Account Identification:

89-0243-0-1-053.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$20,038,989.00; FY 21 est \$5,729,143.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

The expected range of Awards is approximately \$25,000,000; \$5,000,000/year for five years for the lifecycle of the project.

TAFS Codes:

89-0309.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Code of Federal Regulations (CFR); 10 CFR 600 and OMB Circulars

Regional or Local Office:

None.

Headquarters Office:

Program Manager Victoria Franques,
1000 Independence Ave. SW,
Washington DC 20585
Email: victoria.franques@nnsa.doe.gov
Phone: 202-586-3840
Fax:

Website Address:

<http://www.nnsa.doe.gov/na-20>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined on the following criteria: 1) technical merit; 2) team capabilities; 3) past experience.

81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance

Ed. & Outreach,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Department of Energy Organization Act of 1977, , 42 USC Code 712. Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; Energy Reorganization Act of 1974, 42 U.S.C. 5813(6)(7)(8), , 42 USC Code 13231. Energy Reorganization Act of 1974, 42 U.S.C. 5813(6)(7)(8); ARRA 2009, , 42 USC Code 5813 (6-8).

OBJECTIVES:

The Department of Energy (DOE) seeks to provide financial assistance for information dissemination, outreach, training and related technical analysis/assistance which will: (1) stimulate increased energy efficiency in transportation, buildings, industry and the Federal sector and encourage increased use of renewable and alternative energy; and (2) accelerate the adoption of new technologies to increase energy efficiency and the use of renewable and alternative energy through the competitive solicitation of applications.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives as described in a single competitive solicitation or multiple competitive solicitations. The solicitation(s) will provide funding in various program areas within the Office of Energy Efficiency and Renewable Energy: Solar, Wind and Hydropower, Geothermal, Biomass, Buildings Technologies, Advanced Manufacturing, Vehicles, Solid State Lighting, Weatherization and Intergovernmental, Federal Energy Management Program, and the Office of the Assistant Secretary for Energy Efficiency and Renewable Energy. Restrictions on use of funds depend on the provisions of the specific project agreement.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities may apply. DOE

ASSISTANCE LISTINGS DESCRIPTIONS

Laboratories are not eligible.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Native American Organizations; Public nonprofit institution/organization; Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. DOE EERE Funding Opportunity Announcements are found at:

<https://eere-exchange.energy.gov/>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <https://eere-exchange.energy.gov/> and <http://www.grants.gov/>. A separate application must be submitted for each DOE Energy Efficiency and Renewable Energy (EERE) Program Area of Interest. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. Objective Merit Review Committees will evaluate applications based on the evaluation criteria set forth in the competitive solicitation. After the Merit Review Committee has completed its evaluations, an EERE Office Director or other Program Official will evaluate the applications against program policy factors set forth in the competitive solicitation. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. None

Appeals:

Other. Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Other. Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. The maximum term of awards varies among the Program Areas of Interest and ranges from 6 months to 3 years. Method of awarding/releasing assistance: other

Reports:

Quarterly progress reports, and a final report at the conclusion of the grant time period. Quarterly expenditure reports (SF-425), and a final report at the conclusion of the grant time period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Costs incurred are subject to audit throughout the grant period and before final close-out per 2 CFR 200 subpart F (for other than for-profit entities). For-profit recipients are subject to the audit requirements in 2 CFR 910.501.

Records:

A grantee is expected to maintain auditable records to substantiate the total costs incurred under the grant per 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

Cooperative Agreements FY 20 \$15,243,294.00; FY 21 est \$6,089,836.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Vary

TAFS Codes:

89-0321. 89-0331.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: DOE and EERE continued support for "Solar Ready Vets" out of Hill AFB and various community colleges. This program helps veterans transition out of the armed forces and into the installation and maintenance of solar energy technologies.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov/>; DOE Financial Assistance Regulations (2 CFR 200 as amended by 2 CFR 910); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at:

<http://energy.gov/sites/prod/files/2016/02/f29/Merit%20Review%20Guide%20FINAL%20JULY%202013.pdf>

Regional or Local Office:

None. N/A

Headquarters Office:

Policy Analyst James Cash,
15013 Denver West Parkway,
Golden CO 80401
Email: james.cash@ee.doe.gov
Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review recommendation, program policy factors, the amount of funds available, provisions of appropriation law and reports and as otherwise specified in the solicitation document at <https://eere-exchange.energy.gov/>

81.119 State Energy Program Special Projects

SEP Competitive Grants,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007; Public Law 10958 Energy Policy Act of 2005; Public Law 102-486 Energy Policy Act of 1992; Public Law 95-91 Department of Energy Organization Act of 1977; "Energy Policy and Conservation Act of 1975" Public Law 94-163: 42 U.S.C. 6321-6326, Public Law

ASSISTANCE LISTINGS DESCRIPTIONS

91-95, 42 USC Code 7101. National Energy Conservation Policy Act of 1978, Public Law 95-619; ARRA 2009, , Public Law 619-95, Balanced Budget Down Payment Act II of 1996; ARRA 2009, , Public Law 134-104, Energy Policy and Conservation Act; ARRA 2009, Title III, Part C, Section 361-366, Public Law 163-94, 42 USC Code 6321-6326.

OBJECTIVES:

To allow States to submit proposals to implement specific Department of Energy (DOE) Office of Energy Efficiency and Renewable Energy deployment activities and initiatives as Special Projects under the State Energy Program. States will compete for funding to implement activities relating to a number of programmatic areas such as building codes and standards, alternative fuels, industrial efficiency, building efficiency, and solar and renewable technologies.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, and supplies, equipment, travel, publication costs, and services required for implementing the activities described in the application at <https://eere-exchange.energy.gov/>

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands may apply.

Beneficiary Eligibility:

U.S. Territories; State; States, territories, and their project partners will benefit.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200 subpart E for State and local Governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Audit procedures in accordance with 2 CFR 200 subpart F (for other than for-profit recipients). For-profit recipients are subject to the audit requirements in 2 CFR 910.501 In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted at the EERE exchange web-site: at <https://eere-exchange.energy.gov/> or <http://www.grants.gov>. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact within the State for more information on the process the State requires to be followed in applying for assistance.

Award Procedure:

Evaluations will be conducted by staff of the Office of Energy Efficiency and Renewable Energy end-use sectors participating in the annual solicitation. Final decisions to approve are made by Assistant Secretary Office of Energy Efficiency and Renewable Energy. DOE Field Offices are then instructed to negotiate and prepare the grant award documents.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines are set forth in the solicitation at <https://eere-exchange.energy.gov/>.

Appeals:

Other. None

Renewals:

Other. Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Competitive SEP projects have a 20% cost matching requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Vary. Method of awarding/releasing assistance: other

Reports:

Progress Reports via PAGE SF-425 Financial Report

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Costs will be verified and subject to audit according to 2 CFR 200 subpart F (for other than for-profit recipients. For-profit recipients are subject to the audit requirements at 2 CFR 910.501

Records:

Record retention in accordance with 2 CFR 200.

Account Identification:

89-0321-0-1-270.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

500,000 - 1,000,000

TAFS Codes:

89-0321.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EERE SEP Program and 2 CFR 200 & 10 CFR 420

Regional or Local Office:

Headquarters Office:

Policy Analyst James Cash,
15013 Denver West Parkway,
Golden CO 80401
Email: james.cash@ee.doe.gov
Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined by the criteria announced in the annual solicitation.

81.121 Nuclear Energy Research, Development and Demonstration

(NE RD&D),

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, , Statue 88-1240, Public Law 95-91, 42 USC Code 7101. Energy Reorganization Act of 1974, Title I, Section 107, Statue 88-1240, Public Law 93-438, 42 USC Code 5817. Atomic

ASSISTANCE LISTINGS DESCRIPTIONS

Energy Act of 1954, as amended, Section 32, Statute 68-919, Public Law 83-703, 42 USC Code 2051. Atomic Energy Act, Section 31, Public Law 83-703, 42 USC Code 2051.

OBJECTIVES:

The Department of Energy (DOE) promotes nuclear energy as a resource capable of meeting the nation's energy, environmental, and national security needs by resolving technical, cost, safety, security, and proliferation resistance through research, development and demonstration at our national laboratories, universities and colleges, and U.S. industry. Our financial assistance programs play a key role in helping the DOE accomplish its mission of leading the nation's investment in the development and exploration of advanced nuclear technology and its underlying sciences. With this financial assistance, DOE strives to stimulate nuclear science and engineering education through support of advanced research and by training the next generation nuclear energy workforce, while seeking to align the nuclear energy research being conducted at U.S. colleges and universities with DOE's mission and goals.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial assistance, opportunities are defined in individual solicitations available on the DOE website, NEUP.gov, or Grants.gov. Notices published on Grants.gov define research, development, and demonstration areas that the Department intends to support such as: advanced reactors, fuel and reactor concepts that minimize proliferation risks, nuclear fuel and fuel cycles, advanced instrumentation, controls, simulation, modeling and analyses, nuclear waste management, construction, manufacturing and fabrication technologies, and related fundamental nuclear science areas in materials, reactor physics, chemistry, radiochemistry, health physics, and thermal hydraulics and fluid dynamics. Notices published on Grants.gov define development or demonstration projects and study areas activities that the Department intends to support such as: advanced designs, technologies or techniques, new regulatory or licensing requirements, and economic analyses. For a given solicitation, financial assistance may be available in whole or in part, for the following in support of nuclear energy research and related fields in development and demonstration activities: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical activities, market analyses, financing plans and other activities necessary to achieve the objective. Restrictions on use of funds depend on solicitation and award provisions.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federal, State, local governments, universities, consortia, nonprofit institutions, commercial corporations, and individuals may apply.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Any individual, partnership, corporation, association, joint venture, institution of higher education, or nonprofit organization will benefit.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 200, and as amended by 910. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. .

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. DOE solicitations (FOAs) set forth award procedures. Refer to the individual FOAs published on Grants.gov and NEUP.gov. For additional information, see the DOE, Office of Nuclear Energy website at <http://energy.gov/ne/office-nuclear-energy>. Applications are submitted through NEUP.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

DOE solicitations set forth award procedures. Applications will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation. Evaluations will be coordinated by a Merit Review Chair and conducted by a review board. This responsibility will be performed by the Office of Nuclear Energy or delegated to a DOE Operations Office. Final decisions will be made by the approved Program Officer or delegated Source Selection Official. For additional information, please refer to www.ne.doe.gov or NEUP.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Deadlines for submissions will be posted in the individual funding opportunities posted at <http://www.grants.gov> and NEUP.gov. Per funding opportunity posted, a range of approval/disapproval time will be described.

Appeals:

Not Applicable.

Renewals:

Other. Proposals for renewal are subject to review and acceptance by the Program Director and/or Selection Official.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance and schedule of DOE payments is arranged at the time of award. Funds awarded are to be spent during the period of performance of each award, unless a different arrangement is approved at the time of award. Typically, awards range in duration from 1 to 3 years, with some eligible for a one time no cost extension for up to one additional year. Reference each FOA for applicable length and time. Also see NEUP.gov for information on specific FOAs. Method of awarding/releasing assistance: other

Reports:

As stated in each solicitation. Specific requirements for the submission of progress reports is stated in each solicitation. For NEUP R&D awards, Quarterly report templates and instructions are sent out to Principal Investigators following award. Quarterly reports and Quad charts are due by the 30th of the month following each quarter. Final reports and quad charts are required within 90 days after the end date of award and can take the place of a final quarterly report, if the final report includes all required fields from the progress report. Final reports shall include the following elements: A comprehensive summary of all work results and conclusions. All reports shall fairly and completely describe the efforts applied to and the results obtained toward achievement of objectives of the award. If an objective is not accomplished, such failure shall be fully documented and explained in the report. (a) brief abstract of the report which describes the overall objectives and results; (b) full statement of each objective and description of the effort performed and the accomplishments achieved; (c) list of any publication or information release made of material developed or maintained through the performance of the award; (d) final quad chart; and (e) any other relevant information. See the NEUP.gov website for more information on Quarterly and Final Reports and the process for submission. Quarterly financial status reports are required, as well as a final financial report at the end of the award period of performance. Performance monitoring is tracked and reported according to set procedures dictated in each funding opportunity.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Award recipient is expected to maintain auditable records to substantiate total costs incurred under the agreement and as required by the financial assistance award.

Account Identification:

89-0319-0-0-000.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$81,000,000.00; FY 21 est \$80,000,000.00; FY 22 est \$80,000,000.00;

Range and Average of Financial Assistance:

The range of awards vary per funding opportunity and depends on available program funding. Information on awards is published in the Funding Opportunity Announcements and can be found on the NEUP.gov website. For example, NEUP awards typically range from \$400,000 to \$1,000,000; however, they can be more or less, as described in the FOA. NEUP R&D awards are capped at \$800,000, whereas IRP awards can exceed \$1,000,000, based upon the program's R&D focus and available funds. NEUP R&D awards with Nuclear Science User Facility (NSUF) access vary in that they can be funded both by DOE award and with NSUF resources and funding. Infrastructure awards greater than \$250,000 require the university to share costs, calculated as a percentage of the amount over the \$250,000 threshold. For IUP, Fellowship awards are typically \$155,000 and Scholarship awards are \$7,500 per student.

TAFS Codes:

89-0319.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: DOE awarded more than \$48 million through the Nuclear Energy University Program (NEUP) to support more than 40 university-led nuclear energy research and development projects in approximately 28 states. Additionally, 23 university-led projects received more than \$5.9 million for research reactor and infrastructure improvements. 2 research and development projects led by DOE national laboratories and U.S. universities received \$5 million in funding for crosscutting research projects. DOE also selected 1 universities, 2 national laboratories, and 1 industry-led projects that will take advantage of NSUF capabilities. DOE will support 3 of these projects with a total of just over \$1 million in research funds. All 4 of these projects will be supported by just under \$4 million in facility access costs and expertise for experimental neutron and ion irradiation testing, post-irradiation examination facilities, synchrotron beamline capabilities, and technical assistance for design and analysis of experiments through NSUF. Through the Integrated University Program (IUP), the DOE awarded more than \$5 million for 50 undergraduate scholarships and 31 graduate fellowships to students pursuing nuclear energy-related disciplines at universities across the country. With this year's awards, the Office of Nuclear Energy has now awarded more than \$807 million to continue American leadership in clean energy innovation and to train the next generation of nuclear engineers and scientists through its university programs since 2009. Visit [Energy.gov](http://www.energy.gov) for information on all of DOE's efforts to continue American leadership in low-carbon nuclear energy innovation.

REGULATIONS, GUIDELINES, AND LITERATURE:

NE Federal Register notices; NE Solicitations; DOE Financial Assistance Regulations (2 CFR 200); and the DOE Guide to Financial Assistance, which may be accessed through the DOE Office of Procurement and Assistance Management webpage at: http://www.management.energy.gov/policy_guidance.htm.

Regional or Local Office:

See Regional Assistance Locations. Idaho Operations Office (DOE-ID)1955 Fremont Ave., Idaho Falls, ID 83415 Contact: Brayton Ford, Supervisor Telephone: (208) 526-5756. Refer to the NEUP.gov website for updates on contact information for each FOA.

Headquarters Office:

Program Lead Aaron Gravelle,
U.S. Department of Energy, Office of Nuclear Energy, 1000 Independence Ave,
S.W.,
Washington DC 20585
Email: aaron.gravelle@nuclear.energy.gov
Phone: (301) 903-7991

Website Address:

<http://www.ne.doe.gov/>

RELATED PROGRAMS:

81.114 University Reactor Infrastructure and Education Support;

EXAMPLES OF FUNDED PROJECTS:

2021 The NEUP.gov website is updated regularly with information on financial assistance to universities and colleges through Funding Opportunity Announcements (FOA) to stimulate and guide advanced nuclear energy research and to support students pursuing nuclear energy related disciplines at universities and colleges across the country. The website offers facts on prior awards, reporting information, and upcoming funding opportunity announcements (FOA). The programs covered in this website include the Nuclear Energy University Program (NEUP) and Integrated University Program (IUP). NEUP has three R&D elements: Integrated Research Projects (IRP), NEUP R&D, and Infrastructure. IRPs cover large, collaborative, university-led projects, addressing near-term needs of the DOE Office of Nuclear Energy's (NE) research and development (R&D) programs. NEUP R&D projects are university led projects that focus on more general and longer term R&D, guided by NE's programs. And Infrastructure support, including upgrades to university research reactors and general scientific infrastructure capabilities, advances the R&D and educational missions of universities. With the IUP, DOE supports nuclear science and engineering education and the next generation workforce through financial support to universities and colleges by awarding fellowships to graduate level students and scholarship grants to undergraduate level students. And by encouraging U.S. companies to partner with other U.S. federal agencies, public and private laboratories, institutions of higher education, and other domestic entities, DOE further supports the development of advanced nuclear energy technology and stimulates the sharing of expertise needed to successfully develop these innovative technologies. Through its Industry FOA, DOE solicits proposals for cost-shared projects to develop innovative, industry-driven reactor designs, and accompanying technologies with high potential to advance nuclear power in the United States.

CRITERIA FOR SELECTING PROPOSALS:

Each proposal will be evaluated based on an objective merit review in accordance with the requirement of "DOE Financial Assistance Regulations" (2 CFR 200) and the evaluation criteria set forth in the competitive solicitations. These criteria will include: appropriateness of the proposal method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors.

81.122 Electricity Research, Development and Analysis

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Energy Policy Act of 1992 (EPACT), Public Law 102-486, , Energy Independence and Security Act of 2007 (EISA), Public Law 110-140, , Energy Policy Act of 2005 (EPACT), Public Law 109-58, , Department of Energy Organization Act of 1977, as amended, Public Law 95-91, , Public Law 114-94, "Fixing America's Surface Transportation Act" 2015

OBJECTIVES:

Through research, development, demonstration, technology transfer, and education and outreach activities, lead national efforts to modernize the electric grid; enhance security and reliability of the energy infrastructure; and mitigate the impact of, and facilitate recovery from, disruptions to the energy supply. Develop cost-effective technology that enhances the reliability, efficiency, and resiliency of the electric grid, while enabling the effective utilization of emerging and renewable generation sources and promoting the rapid deployment and integration of advanced digital "Smart Grid" technology that is needed to modernize the nations electric delivery network for enhanced operational intelligence and connectivity. Also, leverage scientific research in mathematics for application to power system models and software tools to foster strategic, power systems research capabilities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance provided as cost-shared cooperative agreements or grants to nonprofit

ASSISTANCE LISTINGS DESCRIPTIONS

and profit institutions and organizations to develop, demonstrate and transfer technologies, tools, techniques or information developed to utilities, energy companies, energy regulators, state and local governments and other stakeholders.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); All types of domestic entities are eligible to apply, such as profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments. All information regarding eligibility requirements will be included in the specific funding opportunity announcement

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments benefit. All information regarding eligibility requirements will be included in the specific funding opportunity announcement

Credentials/Documentation:

All information regarding credentials and documentation will be included in the specific funding opportunity announcement 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All information regarding application requirements will be included in the specific funding opportunity announcement

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 2 CFR Part 200 for Unsolicited Proposals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 120 days

Appeals:

Other. All information regarding appeals will be included in the specific funding opportunity announcement.

Renewals:

Other. Grants and cooperative agreements may be modified or extended.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Matching Requirements: Percent: Other All information regarding matching requirements will be included in the specific funding opportunity announcement; however, the program follows Section 988 of the Energy Policy Act (EPA) of 2005 to determine the specific requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The time period is dependent upon funding opportunity announcement (FOA) requirements and the individual project award Method of awarding/releasing assistance: financial assistance grant and cooperative agreement award documents Method of awarding/releasing assistance: other

Reports:

Progress reports required as specified in the grant or cooperative agreement. Typically these reports consist of quarterly progress and a final report at the conclusion of the grant or cooperative agreement time period. Expenditure reports

(SF-425) required as specified in the grant or cooperative agreement. Typically these reports consist of quarterly expenditure reports and a final report at the conclusion of the grant or cooperative agreement time period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Other than for-profit recipients are subject to the audit requirements at 2 CFR 200 subpart F. For-profit recipients are subject to the audit requirements at 2 CFR 910.501

Records:

Records retention per 2 CFR 200.

Account Identification:

89-0318-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$26,599,997.00; FY 21 est \$1,000,000.00; FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

\$500,000-\$5,000,000; Average \$2,500,000

TAFS Codes:

89-0318.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: Office of Electricity Delivery and Reliability homepage, at <http://www.energy.gov/oe>; DOE Financial Assistance Regulations (2 CFR 200); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page or directly at: <https://www.energy.gov/sites/prod/files/2017/04/f34/FA%20GUIDE%20Apr12017.pdf> <https://www.energy.gov/sites/prod/files/2017/04/f34/MRG%20Apr2017.pdf>

Regional or Local Office:

None. John Augustine, National Energy Technology Laboratory, Federal Acquisition Center; P.O. Box 10940, Mail Stop 921-107, 626 Cochran Mill Road, Pittsburgh, PA; 15236-0940. For Unsolicited Proposals, E-mail DOEUSP@netl.doe.gov

Headquarters Office:

Russell Perrin,
Office of Electricity U.S. Department of Energy 1000 Independence Avenue SW,
Washington, DC 20585,
Washington DC 20585
Email: Rusty.Perrin@hq.doe.gov
Phone: 2025864936
Fax:

Website Address:

<http://www.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All information regarding application requirements will be included in the specific funding opportunity announcement

ASSISTANCE LISTINGS DESCRIPTIONS

81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program

Minority Serving Institutions Partnership Program (MSIPP) & Tribal Education Partnership Program (TEPP).

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 3157, Public Law 101-189; 103 Stat. 1684, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy (DOE) Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; National Nuclear Security Administration Act, Public Law 106-65, as amended; Consolidated Appropriations Act, 2005, Public Law 108-447; Energy and Water Development Appropriations Act, 2006, Public Law 109-103; Revised Continuing Appropriations Resolution, 2007, Public Law 110-5, as amended; Executive Order 13230, President's Advisory Commission on Educational Excellence for Hispanic Americans, October 12, 2001, as amended; Executive Order 13256, White House Initiative on Historically Black Colleges and Universities, February 12, 2002, as amended; Executive Order 13270, Tribal Colleges and Universities, July 3, 2002, as amended.,

OBJECTIVES:

The program's primary focus is to build a sustainable pipeline between DOE/NNSAs sites/labs and MSIs in STEM disciplines, and bring a heightened awareness of NNSA and its plants and laboratories to MSIs with a common interest in STEM research fields.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, stipends, materials and supplies, equipment, travel, publication and training, and services required for conducting research, training, and related activities. Funding is provided for the purpose of exploring an idea that does not duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Restrictions on the use of funds depend on grant provisions.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Historically Black Colleges and Universities (HBCUs), Hispanic Serving Institutions (HSIs), Tribal Colleges and Universities (TCUs) and non-profit institutions servicing HBCUs, HSIs and TCUs.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Education Professional; Scientist/Researchers; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Public nonprofit institution/organization; Other public institution/organization; The general public, scientists, researchers, student/trainee, graduate students, institutions of higher learning, NNSA, and other US government agencies will benefit. Elementary, Middle and high school students will also benefit due to the institutions of higher learning's programs supported by NNSA.

Credentials/Documentation:

Documentation for indirect cost rates and any other documentation required by 2 CFR Part 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. Pre-application coordination should be aligned with the Funding

Opportunity Announcement

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms and instructions are available upon request from the Office of Learning and Career Management, Leadership and Workforce Development Division (NA-MB-41); National Nuclear Administration (NNSA) U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, D.C. 20585; phone: (202) 586-2418. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will receive an administrative screening to determine if they are complete and in compliance with the terms of the solicitation. A technical merit review will be conducted in accordance with the 2 CFR Part 200. The review and selection process for each funding opportunity are included in the solicitation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Estimated range is from 90 to 150 days.

Appeals:

Not Applicable.

Renewals:

Other. Proposals for funded renewals should be submitted to the next open funding opportunity announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant period ranges from 1 to 5 years depending upon available appropriations. Expenditure of funds is allowed during the budget period specified in the grant document. The payment type and schedule are arranged at the time of award. Funding is provided to the grantee on a reimbursable basis unless the grant provisions specify otherwise. Method of awarding/releasing assistance: other

Reports:

The DOE Federal Assistance Reporting Checklist (DOE F 4600.2) is incorporated into the grant document at the time of award. This checklist specifies the programmatic and financial reports that are required as well as the timeframe for report submission. Standard Form 425 is completed and submitted on a quarterly basis. The grantee completes the SF-425 to specify the cumulative cash receipts, disbursements and on-hand balance. Quarterly Progress reports indicative of the progress made, planned activity and estimated expenditures for the subsequent quarters are required. A quarterly expenditure report specifying expenses for the quarter, cumulative expenditures and on-hand balance. Progress reports are monitored to measure grantee's progress and expenditures are monitored to ensure that there is a correlation between work performed and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The grantee is required to maintain records over the life of the grant for any inquiries or audits.

Account Identification:

89-0240-0-1-053.

Obligations:

Project Grants FY 20 \$19,402,357.00; FY 21 est \$28,000,000.00; and FY 22 est \$28,000,000.00;

Range and Average of Financial Assistance:

Grant awards range from \$500K to \$1M annually over either a three or five year

ASSISTANCE LISTINGS DESCRIPTIONS

period.

TAFS Codes:

89-0240.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR part 200.

Regional or Local Office:

None.

Headquarters Office:

Program Manager David Canty,
1000 Independence Ave. SW,
Washington DC 20585
Email: David.Canty@nnsa.doe.gov
Phone: 2025862418

Website Address:

<https://www.energy.gov/nnsa/nnsa-minority-serving-institution-partnership-program>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection process is based on the following criteria: 1) Potential impact and relevance of proposed work and technical merit; 2) Strategic Approach for Education Training and Development 3) qualifications of the proposed investigators and teaming arrangement; 4) quality of the proposed education and research plans; 5) proposed schedule, budget and available resources. 6) Past Performance.

81.124 Predictive Science Academic Alliance Program

Office of Advanced Simulation and Computing (ASC),

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.,

OBJECTIVES:

(1) To foster the science of building integrated, multi-scale, and multi-physics codes with the primary focus on code validation and verification to help advance the newly emergent predictive science in academia; (2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) and to train scientists in specific areas of long-term research relevant to DOE stockpile stewardship.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Funding is provided for applied research in the field of computational science in support of the DOE NNSA Stockpile Stewardship program. Restrictions on use of funds depend on grant provisions. Funding is provided for the purpose of exploring a research idea that does not unnecessarily duplicate work already in progress or contemplated by DOE, is not already known

to DOE, or has previously unrecognized merit.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Only U.S. Public and Private Education Institutions with Ph.D. granting programs can apply.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Only U.S. Public and Private Education Institutions with Ph.D. granting programs can apply.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. A Request for Information (RFI) for PSAAP III was initiated by the National Nuclear Security Administration (NNSA) Office of Advanced Simulation and Computing (ASC) and Institutional Research and Development Programs, Lawrence Livermore National Laboratory (LLNL), Los Alamos National Laboratory (LANL) and Sandia National Laboratories (SNL). An RFI was issued on June 29, 2018 with a deadline of August 3, 2018 for eligible institutions to submit a preliminary application in accordance with the guidelines stated in the PSAAP III RFI document. We released a Funding Opportunity Announcement in mid FY19. PSAAP III is slated to begin during FY20.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications should be submitted as specified in the funding opportunity announcement posted at <http://www.grants.gov>.

Award Procedure:

Proposals will be screened for ASC programmatic relevance and then reviewed for scientific and technical merit by qualified individuals. Recommendations for award are made by the ASC office, Defense Programs, NNSA, and selected proposals will be forwarded to the NNSA Albuquerque Complex in Albuquerque, NM, for grant development and award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. See individual opportunity announcements at <http://www.grants.gov> for deadlines for each specific announcement..

Appeals:

From 90 to 120 days.

Renewals:

Other. Applications for annual renewal are subject to review and acceptance by the NNSA Office of Advanced Simulation and Computing.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The NNSA cooperative award assistance is available for total of 5 project-year time window, subject to approval of annual renewals. The annual funding should be expended within the allocated timeframe, with 10% allowed for carryover to the next fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

Annual technical program reports are required. Quarterly submissions of SF-272 are required. Quarterly submissions of SF-425, Federal Financial Report. Quarterly technical progress reports are required. Cost accruals are reported monthly. Annual technical reviews by external review panels are performed. In addition technical meetings are held annually.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0240-0-1-053.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$8,172,500.00; FY 21 est \$10,013,045.00; FY 22 est \$9,314,912.00;

Range and Average of Financial Assistance:

\$17M for each award for 5 project years ranging from mid-FY08 to mid-FY13 (under a one year no cost time extension, with \$3.4 M as the average annual award amount. The 6 new Centers that started in FY14 received \$4M each year for 5 years for 3 Multidisciplinary Simulation Centers (MSC) and \$2M each year for 5 years for 3 Single-Discipline Centers (SDC). These same Centers received this money annually for 5 years. We renewed the current Centers for an additional sixth year to receive awards out of FY19 funds. In FY20, we are funding nine new Centers to begin Year 1 of their projects. Out of these nine Centers, four MSCs will receive up to \$2.5M their first year, two SDCs will receive up to \$1.5M in FY20, and three Focus Investigatory Centers (FICs) will receive an amount ranging between \$200K and \$1M in FY20. The nine Centers each between their 5-year cooperative agreement awards in FY20.

TAFS Codes:

89-0240.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Nine Centers (4 MSCs, 2 SDCs, and 3 FICs) were funded out of our budget projection in FY20.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Program Manager David N. Etim,
James V. Forrestal Building 1000 Independence Avenue SW,
Washington DC 20585
Email: david.etim@nnsa.doe.gov
Phone: 2025868081

Website Address:

<https://psaap.llnl.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 We plan to fund nine projects under PSAAP III in FY20. 2021 We plan to fund the same nine PSAAP III projects into their second year of the program.

CRITERIA FOR SELECTING PROPOSALS:

(1) Alignment with the areas of technical scope called forth in the call for application; (2) Scientific/technical merit of the project; (3) Expected impact on the proposed area of research activity; (4) Consistency with the objective of this academic alliances program to focus on advanced computational science investigations; (5) Qualifications of the Applicant's personnel and adequacy of proposed resources; (6) Feasibility of plans for carrying out the proposed research, considering such factors as: appropriateness of the technical method and approach, facility compatibility, other commitments, competition and timing; (7) Level of interaction with NNSA/DP laboratory personnel and the potential to train students in scientific areas defined by the technical scope in order to build a long-term recruiting pool for the NNSA/DP laboratory complex; (8) Leverage provided by cost sharing by applicant and with other funding sources.

81.126 Federal Loan Guarantees for Innovative Energy Technologies

Loan Programs Office,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Energy Policy Act of 2005, PL 109-58, 119 Stat 1117-1122, Title XVII, 42 USC 15801; August 8, 2005. Energy Independence and Security Act of 2007, PL 110-140, Section 136.,

OBJECTIVES:

For Title XVII Loan Guarantee program under Section 1703 of the Energy Policy Act of 2005, as amended, to promote, through the use of Federal loan guarantees, commercial use in the United States of America of new or significantly improved technologies in energy projects that: (1) Avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; and (2) Employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued. (42 U.S.C. 16513(a)). For the Advanced Technology Vehicles Manufacturing program under Sec 136 of EISA2007 issue loans to automobile and part manufacturers for cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components, and for engineering integration costs.

TYPES OF ASSISTANCE:

Direct Loans, Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Title XVII Loan Guarantee Program- The Secretary may make guarantees under Section 1703 of the Energy Policy Act of 2005 only for projects that avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; and employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued and which provide a reasonable assurance of repayment. Projects that may be eligible for a loan guarantee include: (1) Renewable energy systems.(2) Advanced fossil energy technology.(3) Hydrogen fuel cell technology.(4) Advanced nuclear energy facilities. (5) Carbon capture and sequestration practices and technologies.(6) Pollution control equipment.(7) Efficient end use technologies.(8) Efficient electrical generation, transmission, and distribution technologies. (9) Production facilities for fuel efficient vehicles.(10) Refineries, meaning facilities at which crude oil is refined into gasoline.(11) Distributed Energy Projects. The terms of an obligation shall require full repayment of principle and interest on the Guaranteed Obligations and other project debt over a period of up to the lesser of 30 years or 90 percent of the projected useful life of the project's major physical assets as calculated in accordance with generally accepted accounting principles and practices. Advanced Technology Vehicles Manufacturing Direct Loan Program - Direct loans may be issued to automobile and part manufacturers for cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components, and for engineering integration costs. See above. The tenor of ATVM loans varies from project to project. Further Program information may be found at <http://www.energy.gov/lpo/loan-programs-office>

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Non-Government - General; Profit organization; For innovative clean energy projects: including advanced fossil energy, nuclear energy, renewable energy, and energy efficiency. Eligible projects must utilize a new or significantly improved technology, avoid, reduce or sequester greenhouse gases, be located in the United States, and have a reasonable prospect of repayment. Further information may be found at <http://www.energy.gov/lpo/innovative-clean-energy-projects-title-xvii-loan-program> For ATVM loans, automotive or component manufacturers for reequipping, expanding, or establishing manufacturing facilities in the United States that produce fuel efficient advanced technology vehicles or qualifying components, or for engineering integration performed in the U.S. for ATVMs or qualifying components. Further information may be found at <http://www.energy.gov/lpo/advanced-technology-vehicles-manufacturing-atvm-loan-program> For Title XVII there is no legal restriction regarding eligible applicants. An applicant can be a corporation, company, partnership, association,

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society, trust, joint venture, joint stock company, or governmental nonfederal entity, that has the authority to enter into, and is seeking, a loan guarantee for a loan or other debt obligation of an Eligible Project. Glossary of Terms <http://www.energy.gov/lpo/about-us/glossary-terms>

Beneficiary Eligibility:

Small business; Profit organization; Quasi-public nonprofit organization; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Small businesses, profit organizations, quasi-public nonprofits, public institutions and interstate, intrastate, State and local governments will benefit from the loan guarantee program. For ATVM, DOE has promulgated regulations defining the eligibility requirements for automobile manufacturers. For more information, please visit: <http://www.energy.gov/lpo/loan-programs-office>

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under Office of Management and Budget (OMB) Circular No. A-102. This program is excluded from coverage under Office of Management and Budget (OMB) Circular No. A-110. Application procedures for a Department of Energy Title XVII loan guarantee are unique to each solicitation issued by the Department. Potential applicants should only apply for a loan guarantee under the specific funding opportunity announcement posted on the Federal Government website. Additional information can be found at the following websites:

<http://www.fedconnect.net> or <http://www.energy.gov/lpo/innovative-clean-energy-projects-title-xvii-loan-program> Application procedures for a Department of Energy ATVM loan guarantee are unique to each solicitation issued by the Department. Potential applicants should only apply for a loan guarantee under the specific funding opportunity announcement posted on the Federal Government website. Additional information can be found at the following websites:

<http://www.fedconnect.net> or <http://www.energy.gov/lpo/advanced-technology-vehicles-manufacturing-atvm-loan-program> For additional information, see final regulations, 10 CFR Part 609, Loan Guarantees for Projects That Employ Innovative Technologies; Final Rule, published on October 23, 2007. For additional information regarding the application process, please visit: <http://www.energy.gov/lpo/apply> Glossary of Terms <http://www.energy.gov/lpo/about-us/glossary-terms>

Award Procedure:

Award Procedures are identified in the individual solicitations for both LGPO and ATVM. Except for division C of Public Law 108-324, the Secretary shall make guarantees or loans under this or any other Act for projects on such terms and conditions as the Secretary determines, after consultation with the Secretary of the Treasury.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. The Ranges of approval/disapproval times are identified in individual solicitations.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Statutory formulas are not applicable to this program. Matching Requirements: For the LGPO Section 1703 loan guarantees, this is a "self-pay" program. Applicants will pay the subsidy costs as determined by the credit subsidy calculation. Also, fees will be charged to recover DOE's administrative costs in managing the Loan

Guarantee Program. A fee schedule has been determined and published in each solicitation. No guarantee shall be made unless: (1) An appropriation for the cost has been made; or (2) The Secretary has received from the borrower a payment in full for the cost of the obligation and deposited the payment into the Treasury. (42 U.S.C. 16512.) Section 1705 guarantees have appropriated funds for the credit subsidy but applicant's and awardees are subject to additional fees during the application process and after award. See the solicitations at:

<http://www.energy.gov/lpo/open-solicitations> For ATVM Section 136 direct loans, there is no self-pay for credit subsidy. DOE requirements are not applicable to this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The term of an obligation shall require full repayment of principle and interest on the Guaranteed Obligations and other project debt over a period of up to the lesser of 30 years or 90 percent of the projected useful life of the project's major physical assets as calculated in accordance with generally accepted accounting principles and practices. Tenor may be less for the ATVM loans. See the following for information on how assistance is awarded/released: Except for division C of Public Law 108-324, the Secretary shall make guarantees or loans under this or any other Act for projects on such terms and conditions as the Secretary determines, after consultation with the Secretary of the Treasury. Method of awarding/releasing assistance: other

Reports:

The specific reports are detailed in each solicitation and in the final closing documents for the loans or loan guarantees. In addition, any recipients of ARRA funding under the LGPO's Title XVII program must meet the ARRA Section 1512 reporting requirements. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. This includes any ARRA Section 1512 reporting requirements. The terms and conditions for each loan or guarantee will contain a schedule of all required reports and performance monitoring. In general, the monitoring will be similar to commercial practices. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. This includes any ARRA Section 1512 reporting requirements. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. The terms and conditions for each loan or guarantee will contain a schedule of all required reports and performance monitoring. In general, the monitoring will be similar to commercial practices.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

A recipient of a guarantee or loan shall keep such records and other pertinent documents as the Secretary shall prescribe by regulation, including such records as the Secretary may require to facilitate an effective audit. The Secretary and the Comptroller General of the United States, or their duly authorized representatives, shall have access, for the purpose of audit, to the records and other pertinent documents.

Account Identification:

89-4577-0-0-271. 89-4579-0-3-272. 89-4455-0-3-271.

Obligations:

Guaranteed/Insured Loans FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est (\$308,901,888.00);

Range and Average of Financial Assistance:

The value of the loan or guarantee will be determined on a project by project basis.

TAFS Codes:

89-4455. 89-4577. 89-4579.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Statutory and Legislative Requirements can be found at the following links: <http://www.energy.gov/lpo/about-us/statutory-and-legislative-requirements> ATVM <http://www.energy.gov/lpo/loan-guarantee-program-governing->

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documents/atvm-loan-program-governing-documents
LGPhhttp://www.energy.gov/lpo/loan-guarantee-program-governing-documents
Statutory Compliancehttp://www.energy.gov/lpo/statutory-compliance

Regional or Local Office:

None.

Headquarters Office:

Management Operations Director Jeffrey Walker,
1000 Independence Ave, SW,
Washington DC DC 20585
Email: Jeffrey.Walker@hq.doe.gov

Phone: (202) 586-5059

Website Address:

http://www.energy.gov/lpo/loan-programs-office

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to solicitation and guidelines for the criteria to be utilized. Typically, significant weight is given to the credit worthiness of the project, the technical merit, and compliance with eligibility requirements.

81.128 Energy Efficiency and Conservation Block Grant Program (EECBG)

EECBG,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009; Public Law 110140 Energy Independence and Security Act of 2007, , The Energy Efficiency and Conservation Block Grant Program (EECBG) was authorized in Title V, Subtitle E of the Energy Independence and Security Act (EISA), signed into Public Law 110-140 on December 19, 2007.,

OBJECTIVES:

The program provides financial and technical assistance to assist State and local governments create and implement a variety of energy efficiency and conservation projects. The programs objectives are:* To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;* To reduce the total energy use of the eligible entities; and* To improve energy efficiency in the transportation, building, and other sectors.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants, Project Grants (Special)

USES AND USE RESTRICTIONS:

A wide variety of activities are eligible for use of the grant funds including:* Developing/implementing an energy efficiency and conservation strategy and retaining technical consultant services to assist in the development of such a strategy.* Conducting residential and commercial building energy audits.* Establishing financial incentive programs for energy efficiency improvements (e.g., loan programs, rebate programs, waive permit fees.)* Providing grants to nonprofit organizations to perform energy efficiency retrofits.* Developing/implementing programs to conserve energy used in transportation (e.g., flex time by employees, satellite work centers, promotion of zoning requirements that promote energy efficient development, transportation infrastructure: bike lanes/pathways, pedestrian walkways, and synchronized traffic signals.)* Developing and implementing building codes and inspection services to promote building energy efficiency.* Implementing energy distribution technologies.* Developing public education programs to increase participation and efficiency rates for recycling programs.* Purchasing/implementing technologies to reduce and capture methane and other greenhouse gases generated by landfills or similar sources.* Installing light emitting diodes (LEDs).* Developing,

implementing, and installing renewable energy technologies on or in any government building.* Any other activity as determined by the Secretary of Energy in consultation with the Secretaries of Transportation and Housing and Urban Development and the Administrator of the Environmental Protection Agency.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); State and local governments including US Territories and Possessions.

Beneficiary Eligibility:

Individual/Family; Individuals and families.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. This program is covered by applicable Cost Principles contained in the Code of Federal Regulations, TITLE 2--Grants and Agreements. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at http://www.grants.gov/ and submitted per instructions in the funding opportunity announcement.Applicants must register with FedConnect to submit their application. FedConnect website: www.fedconnect.netSubmission deadlines will be published in the funding opportunity announcement at http://www.grants.govIt is the responsibility of the applicant to verify successful transmission.

Award Procedure:

States and eligible units of local governments and Tribes will submit applications for available allocation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Deadlines are identified in the Funding Opportunity Announcement.

Appeals:

Not Applicable. As required under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

Renewals:

Not Applicable. Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. There is no local match requirement for the EECBG, however leveraging of funds on the part of the recipient is encouraged.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant periods under this program will be eighteen months. Funds must be under contract within eighteen months. See the following for information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Quarterly project and financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the

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contract period. In accordance with the Energy Independence and Security Act of 2007, EPACK 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Quarterly project and financial status reports are required. Quarterly financial reports required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Account Identification:

89-0331-2-1-272.

Obligations:

Project Grants FY 20 \$1,024,099.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Varies.

TAFS Codes:

89-0331.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009 and 10 CFR 600.

Regional or Local Office:

Headquarters Office:

Policy Analyst James Cash,
15013 Denver West Parkway,
Golden CO 80401
Email: james.cash@ee.doe.gov
Phone: 240-562-1456

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.042 Weatherization Assistance for Low-Income Persons; 81.041 State Energy Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

81.131 Expand and Extend Clean Coal Power Initiative

Expand and Extend Clean Coal Power Initiative Round III Funding Opportunity Announcement.

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

H. R. 1 American Recovery and Reinvestment Act of 2009,

OBJECTIVES:

The mission of the Office of Fossil Energy (FE) Clean Coal Power Initiative (CCPI) Round III Funding Opportunity Announcement is to gain broader carbon capture and storage experience at the commercial-scale by expanding the relevant technologies, applications, fuels and geologic formations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Emphasis is on applied research and technology development and commercial demonstration of technology.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Quasi-public nonprofit institution/organization; .

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; .

Credentials/Documentation:

Prior successful experience with current state of the art technologies that will support the next stage Carbon Capture and Storage (CCS) development for coal based power generation technologies at the commercial scale. Pre-application letters are requested, but not required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Assistance under this CFDA are no longer accepted, since the performance period under the authorization has elapsed.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

N/A

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Other. Awards may be modified or extended as required.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Yes, there is a restriction placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: The length of time for financial assistance awards is dependent upon the individual project submitted. Method of awarding/releasing assistance: other

Reports:

In addition, a monthly report will be required. A final technical report is due within 90 days after completion of the project. There will be additional reports associated with the Recovery Act requirements and included in the terms and conditions of the award document.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Required by the agreement terms and conditions.

Account Identification:

89-0211-0-1-271.

Obligations:

Cooperative Agreements FY 20 \$5,000,000.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

89-0211.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No awards or amendments anticipated

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Regulations at 10 CFR 600

Regional or Local Office:

None. National Energy Technology Laboratory, Acquisition & Assistance Division; P.O. Box 10940, Mail Stop 921-107, 626 Cochran Mill Road, Pittsburgh, PA; 15236-0940. Contact: john.augustine@netl.doe.gov.

Headquarters Office:

Maria Jones,,
1000 Independence Ave., SW,
Washington DC 20623
Email: Maria.Jones@hq.doe.gov
Phone: (202) 586-1890.

Website Address:

<http://www.netl.doe.gov>

RELATED PROGRAMS:

81.089 Fossil Energy Research and Development;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria include: 1. Technical Merit 2. Project Organization and Project Management 3. Commercialization Potential 4. Funding Plan 5. Financial Business Plan

81.135 Advanced Research Projects Agency - Energy

(ARPA-E).

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

This agency was chartered and authorized in 2007 in the America COMPETES Act (Public Law 110-69), and re-authorized in the America COMPETES

Reauthorization Act of 2010 (Public Law 111-358),.

OBJECTIVES:

To support the Presidents National Objectives for the Department of Energy, and the Objectives contained in the authorizing legislation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ARPA-E will fund research and development of transformational energy related technologies.

Applicant Eligibility:

Anyone/general public; As described in the Funding Opportunity Announcement.

Beneficiary Eligibility:

Anyone/general public; General public

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. This program is

excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application required in the form of a Concept Paper (unless directed differently in the Funding Opportunity Announcement). This program is not eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for ARPA-E must be submitted through ARPA-E eXCHANGE to be considered for award. Information on how to submit application via ARPA-E eXCHANGE can be found at: <http://arpa-e.energy.gov/>. It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

All eligible applications will be considered through the Departments Merit Review Process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Deadlines are identified in each Funding Opportunity Announcement.

Appeals:

Other. As required under the Energy Independence and Security Act of 2007.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Detailed cost share requirements are specified in each Funding Opportunity Announcement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As required under the Energy Independence and Security Act of 2007, as applicable. Method of awarding/releasing assistance: other

Reports:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures. Quarterly progress reports will be required along with a final report. In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures. Quarterly progress reports will be required along with a final report. Quarterly financial reports will be required along with a final report (and as described in the Funding Opportunity Announcement).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audit requirements laid out in the OMB Circulars apply to the ARPA-E Program. Circulars are available at the White House website.

Records:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Account Identification:

89-0337-0-1-270.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$392,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

\$250,000 to \$10,000,000 (average range is \$2-\$3 million)

TAFS Codes:

89-0337.

ASSISTANCE LISTINGS DESCRIPTIONS

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Since 2009, ARPA-E has provided approximately \$2.6 billion in R&D funding for more than 1,000 potentially transformational energy technology projects. ARPA-E tracks key early indicators that help illustrate how the advanced R&D funded by the Agency can potentially turn into commercial impact, including private-sector follow-on funding, new company formation, partnership with other government agencies, publications, inventions, and patents. In May 2021, ARPA-E announced that many of its project teams have continued to advance their technologies: 92 new companies have formed; 242 have partnered with another government agency; and 181 teams have together raised more than \$5.4 billion in private-sector follow-on funding to continue to advance their technology toward the market. Moreover, as of May 2021, ARPA-E projects have helped advance scientific understanding and technological innovation through 4,871 peer-reviewed journal articles and 751 patents issued by the U.S. Patent and Trademark Office. These indicators demonstrate that ARPA-Es approach to selecting, funding, and actively managing early-stage energy R&D continues to pay off, advancing the state of the art in energy science and engineering and defining new opportunities for commercialization of advanced energy technologies. Link: <https://arpa-e.energy.gov/?q=site-page/arpa-e-impact>

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007, America COMPETES Act of 2007, and the America ReCOMPETES Act of 2010.

Regional or Local Office:

Headquarters Office:

Associate Director of Finance Hai Duong,
Department of Energy, 1000 Independence Avenue, S.W.,
Washington DC 20585

Email: hai.duong@hq.doe.gov

Phone: (202) 287-6583

Website Address:

<http://www.arpa-e.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As described in the Funding Opportunity Announcement.

81.136 Long-Term Surveillance and Maintenance

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended Public Law 96-573, , Executive Order 12898, Federal Actions To Address Environmental Justice in Minority Populations and Low-Income Populations., , Resource Conservation and Recovery Act (RCRA), Public Law 95-480, , Superfund Amendments and Reauthorization Act of 1986, Public Law 99-499, , Uranium Mill Tailings Radiation Control Act of 1978, Public Law 95-604, , Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) as amended, , Atomic Energy Act of 1954, Section 31, as amended, Public Law 83-703,

OBJECTIVES:

The objectives of the Office of Legacy Management are to manage the Departments post-closure responsibilities and ensure the future protection of human health and the environment. This Office has control and custody for legacy lands, structures, and facilities and is responsible for maintaining them at levels suitable for their long-term use. This Office also provides environmental assistance to enable communities around the Department of Energy (DOE) sites to address environmental issues.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); None

Beneficiary Eligibility:

U.S. Territories; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; None

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. These determinations will be made by DOE Headquarters. An environmental impact statement may be required depending on the program and project.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After informal communication with DOE Headquarters Office, a formal proposal by the organization interested in doing the work must be submitted through an appropriate official of the corporate entity, organization or institution. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted to the project office and include: a title, objectives, time frame, and a budget breakdown.

Award Procedure:

Projects are reviewed at the Office of Legacy Management and award decisions are made throughout the fiscal year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. 90 to 180 days

Appeals:

Other. None

Renewals:

Other. Awards may be modified or extended as required.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This may vary with each grant/cooperative agreement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The time period for a grant or cooperative agreement is dependent upon the individual project proposed. Method of awarding/releasing assistance: other

Reports:

A final report may be due at the end of the grant or cooperative agreement. SF 425, Federal Financial Report is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

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Federal Clearinghouse Audit (A-133)

Records:

A recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant or cooperative agreement.

Account Identification:

89-0243-0-1-270.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$7,962,715.00; FY 21 est \$2,731,566.00; FY 22 est \$9,525,773.00;

Range and Average of Financial Assistance:

The range is \$4,000 to \$450,000. The average is ~\$89,736

TAFS Codes:

89-0243.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Regulations (10 CFR Parts 600; 601; and 609); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at <https://www.energy.gov/management/office-management>

Regional or Local Office:

None.

Headquarters Office:

Financial Management Specialist Ingrid B. Colbert,
1000 Independence Ave., S.W.,
Washington DC 20585
Email: Ingrid.Colbert@hq.doe.gov
Phone: (202) 586-1431

Website Address:

<http://www.lm.doe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on statutory eligibility and work that is beneficial to the overall accomplishment of the Office of Legacy Management goals and objectives. Goal 1: Protect human health and the environment Goal 2: Preserve, protect, and share records and information. Goal 3: Meet commitments to the contractor work force Goal 4: Optimize the use of land and assets Goal 5: Sustain management excellence In most cases, the criteria for award on the specific scope are associated with the site and the local entities. All grants/cooperative agreements are awarded to entities specifically associated with a respective site and regulatory agreements (i.e., State has oversight rights). Grants/cooperative agreements are almost always sole-source. Criteria may include cost of the project, applicants qualifications, and applicability to LMs mission. To manage the Department's post-closure responsibilities and ensure the future protection of human health and the environment. Legacy Management has control and custody for legacy lands, structures, and facilities and is responsible for maintaining them at levels consistent with Departmental long-term plans.

81.137 Minority Economic Impact

Minority Education Awards and Minority Business and Economic Development Programs,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Executive Order Executive Order 13515, Asian Americans and Pacific Islanders, October 14, 2009 as amended, , Executive Order Executive Order 13592, Tribal Colleges and Universities, December 8, 2011 as amended, , Executive Order

Executive Order 13532, President's Advisory Commission on Educational Excellence for Hispanic Americans, October 19, 2010, as amended, , National Energy Conservation Act, Title NECPA, Section 641, Public Law 95-619, 42 USC Code 7141. Executive Order Executive Order 13532, White House Initiative on Historically Black Colleges and Universities, February 26, 2010, as amended, . The Office of Minority Economic Impact (OMEI) was established in Fiscal Year 1979 pursuant to Section 641 Title VI, Part 3 of the National Energy Conservation Policy Act (Public Law 95-619) dated November 9, 1978.,

OBJECTIVES:

The program's primary focus is to engage minority serving institutions (MSIs) in DOE mission activities, collaborative research projects throughout the DOE, and future workforce development. Additionally, the office has the duty and responsibility to advise the Secretary on the effect of energy policies, regulations and other actions of the Department and its components on minorities and minority business enterprises and on ways to insure minorities are afforded an opportunity to participate fully in the energy programs of the Department. The office also has the duty to develop and disseminate information on energy programs to minority business enterprises, minority educational institutions and other appropriate minority organizations and to develop technical assistance programs to assist minority businesses in establishing and expanding energy-related business opportunities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, stipends, materials and supplies, equipment, travel, publication and training, and services required for conducting research, training, and related activities. Funding is provided for the purpose of exploring an idea that does not duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Restrictions on the use of funds depend on grant provisions.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Minority group; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Historically Black Colleges and Universities (HBCUs), Hispanic Serving Institutions (HSIs), Tribal Colleges and Universities (TCUs), Asian American Pacific Islander (AAPI) Serving Institutions, and non-profit institutions servicing HBCUs, HSIs, TCUs, and AAPIs. Additionally, minority business enterprises, and organizations the primary purpose which is to assist in the development of those communities will be able to participate in the research, development, demonstration, and contract activities of the Department.

Beneficiary Eligibility:

Minority group; Small business; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Education (0-8); Education (9-12); Education (13+); The general public, scientists, researchers, student/trainee, graduate students, institutions of higher learning, DOE, minority business enterprises, and organizations the primary purpose which is to assist in the development of those communities and other US government agencies will benefit. Middle and high school will also benefit due to the institutions of higher learning's programs supported by DOE.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

Various

Deadlines:

Deadline determined by Funding Opportunity Announcements

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One Base Year, with two option years if funding available, for most financial assistance agreement Method of awarding/releasing assistance: lump sum.

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:**Account Identification:**

89-0228-0-1-050.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$4,509,375.00; FY 21 est \$1,400,000.00; FY 22 est \$1,400,000.00;

Range and Average of Financial Assistance:

Range \$150,000 to \$475,000 Average \$390,000

TAFS Codes:

89-0228.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Office of Economic Impact and Diversity awarded ten new financial assistance awards for the Minority Education, Workforce, and Training (MEWT) Program. The topic areas of interest include: STEM Education, Capacity Building, Technical Assistance, Training, and Workforce Analysis.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR Part 602, 605, 733; 2 CFR 200, 910; PL95-224; PL106-107; DOE Guide to Financial Assistance.

Regional or Local Office:

None.

Headquarters Office:

John Shea,
1000 Independence Ave. SW.,
Washington DC 20585
Email: john.shea@hq.doe.gov
Phone: 2025867898
Fax: 2025863075 James Hendrix,
1000 Independence Ave SW,
Washington DC 20585
Email: james.hendrix@hq.doe.gov
Phone: 240-690-1450
Fax: 202-586-3075

Website Address:

<http://energy.gov/diversity>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 In the last two years, consistent with the statutory authority, the Department of

Energy Office of Economic Impact and Diversity (DOE/ED) has funded 18 financial assistance agreements to establish critical linkages amongst Minority Serving Institutions (MSIs), Minority Business Enterprises (MBEs), industry, nonprofit organizations, federal agencies and state and local government agencies to promote economic development in underserved communities and build a more diverse and inclusive Science, Technology, Engineering and Math (STEM) and energy workforce.

CRITERIA FOR SELECTING PROPOSALS:

Merit Review Panel Process as specified by the Notice of Funding Opportunity Announcement

81.138 State Heating Oil and Propane Program

(SHOPP),

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

97-258, , 31 USC Code 6304.

OBJECTIVES:

SHOPP is a joint data collection effort between heating oil and propane consuming States across the United States and the U.S. Department of Energy/U.S. Energy Information Administration (EIA).

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

SHOPP provides State and Federal governments, the press, policy makers, consumers, analysts and others with up-to-date information on retail heating fuels prices during the heating season.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State; SHOPP is a joint data collection effort between States that consume heating oil and propane for residential heating purposes across the United States and the U.S. Department of Energy/Energy Information Administration (EIA).

Beneficiary Eligibility:

State; Beneficiaries are States and their agencies that have the resources to conduct the program, and whose residents consume heating oil and/or propane for residential purposes.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An email expressing intent to apply should be sent to the survey manager prior to application for States which are not currently participating in SHOPP. The U.S. EIA may not be able to accommodate all requests for participation. No formal preapplication forms are necessary.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

This award is a five-year cooperative agreement between EIA and the State. Every five years, applicants are required to fill out forms per OMB Circular A-102 and other forms as stated on EIAs website at: http://www.eia.gov/petroleum/heatingoilpropane/faq_stateofficials.cfm

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

Not Applicable.

Renewals:

Not Applicable. NA

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance is available for expenditures made during the current award year up to 2 years after the end of the current grant year. Although they may be accessed for a time period after the award year, funds for each grant year may only be spent on program activities for that specific year. Method of awarding/releasing assistance: lump sum.

Reports:

Management Reporting Progress Report; Scientific/Technical Report DOE F 241.3; Financial Reporting SF 425

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:**Account Identification:**

89-0216-0-1-276.

Obligations:

Salaries and Expenses FY 20 \$188,068.00; FY 21 est \$352,574.00; FY 22 est \$200,000.00;

Range and Average of Financial Assistance:

The range of individual awards is from \$2,500 to \$24,000.\$250,000 is allocated annually for financial assistance to States for SHOPP.

TAFS Codes:

89-0216.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Marcela Bradbury,
1000 Independence Ave SW,
Washington DC 20585
Email: Marcela.Bradbury@eia.gov
Phone: 2025864412

Website Address:

<https://www.eia.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.139 Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters

Environmental Management R&D,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

The activity conducted under this award is authorized pursuant to: The Department of Energy Act, Public Law 95-91, 42 U.S.C. 7256, in conjunction with 42 U.S.C. 7112.

OBJECTIVES:

EM research and development and validation testing (R&DT) provides necessary investments in mid- to long-range activities to address the technological gaps in defense environmental cleanup, including: (1) radioactive liquid and solid waste treatment, storage and disposal; (2) soil and groundwater remediation; (3) nuclear materials and spent fuel management and disposition; (4) facility deactivation and decommissioning; and (5) public, worker, facility/asset, and environmental safety and security. EM R&DT investments seek high-payoff, game-changing technologies and innovations to positively impact EMs program lifecycle baseline by: (1) reducing costs; (2) accelerating schedules; (3) mitigating mission uncertainties, vulnerabilities, and risks; and (4) minimizing the mortgage associated with long-term, post-closure and post-completion stewardship. EM R&DT also provides the opportunity to perform work and operate facilities more effectively and in a manner that assures public, worker and environmental safety. New and novel technologies as well as innovative solutions are needed to address the significant challenges associated with the remaining nuclear cleanup work that will span the next five decades. The program encompasses the entire maturation lifecycle of technology which includes transfer of technologies from other nuclear and non-nuclear industry sectors. EMs technology portfolio will leverage and harness the expertise, resources, and capabilities of US universities and colleges. Academia will support EM in four distinct roles: (1) as an expert-based resource for conducting early-stage and applied scientific research and for providing engineering solutions; (2) as a pool of recognized subject matter experts to support technical peer reviews and independent technical assessments; (3) as incubators and pipelines for EMs future workforce; and (4) as a source of independent testing, verification, and confirmatory evaluation. EM will work to improve the technical training of its workforce through Science, Technology, Engineering, and Math (STEM) education, experiential learning, and apprenticeships. EM R&DT supports the safety and quality of permanent containment and confinement ventilation systems as well as modular worksite and breathing-zone ventilation systems used to support nuclear, radiological, chemical, and other high-consequence facility and environmental operations. Future funds will be executed to: (1) conduct basic and early-stage applied research, particularly in areas that lead to the basis of the development, transfer and industrial utilization (commercialization) of new clean air technologies; (2) perform design-specification and quality control inspection, testing and evaluation of existing, newly available, and emerging designs of High-Efficiency Particulate Air (HEPA) filters and other air filtration technologies; (3) perform testing and evaluation of HEPA filter performance in design-basis and off-normal operating conditions as well as in scenarios of major plant or system failures; (4) perform computer modeling and computational (numerical) simulation of airflow dynamics, including multiphase flow, particle tracking, evaporation, combustion, convection, conduction, and radiation heat transfer; (5) perform in-depth engineering analysis of complex fluid flows, including detailed flow characteristics such as velocity, pressure, turbulence, temperature, and species concentration for internal or external flows; (6) support the research and development of new and plant-specific designs of air filtration technologies, including in-line sensors and measurement devices; and (7) complementary and alternative technologies, such as robotics and remote systems, to support the inspection and maintenance of ventilation systems as critical infrastructure. As air filtration systems perform critical safety functions in many high-consequence facilities, continued focus on the quality of commercial, off-the-self technologies as well as on the advancing state-of-the-art must be maintained to assure safety and quality in environmental management operations. Specific to HEPA filters, R&DT under various normal and upset test conditions will help determine valuable operational information (i.e., develop suggested controls and operational methods, and identify failure modes) that can be applied at various commercial and Government/military facilities that treat, store, or process nuclear material or waste streams (e.g. commercial nuclear power facilities), or filter out hazardous particulates or dust for commercial microelectronic clean rooms or hospital operations. This R&DT may increase the protective nature of the American Society of Mechanical Engineers (ASME) AG-1 Code (Code on Nuclear Air and Gas

ASSISTANCE LISTINGS DESCRIPTIONS

Treatment), enhance the ability of professionals to design filters and air filtration systems, and reduce uncertainty in the development of standard operating procedures for facilities involved in nuclear containment ventilation activities. Improving the durability of HEPA filters used in nuclear containment is a key component in protecting the worker and general population in the event of a fire at a nuclear facility, particularly segments of the population near nuclear facilities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Applicants must meet the guidelines established by DOE.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); A publicly-owned agency or organization established to perform specialized functions or services for the benefit of all or part of the general public either without charge or at cost, making no profits and having no shareholders to receive dividends. Includes institutions of higher education and hospitals. Determinations are made by DOE EM Headquarters or EM Field Offices, or both.

Beneficiary Eligibility:

Anyone/general public; Public nonprofit institution/organization; The following organizations will significantly benefit from improved, more reliable, and safer advanced HEPA filters: Federal/ State radioactive treatment and/or storage facilities, commercial nuclear power industry, workers in a radioactive environment, industrial, military or medical processes that require very stringent filtering and the general public, particularly landowners or homeowners in proximity to radioactive facilities. Indirect benefits include more accurate known and understood HEPA filter failure mechanisms and limits, margins, and operational constraints, especially in emergency situations such as fire or a seismic event. Indirect benefits include decreased operating costs, and potentially improvements to the American Society of Mechanical Engineering (ASME) AG-1 code, the US national consensus standard for nuclear air handling and treatment systems.

Credentials/Documentation:

Cost will also be determined in accordance with OMB Circular No. A-21 for institutions of higher education or as otherwise defined in the financial assistance instrument. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A formal proposal by the organization interested doing the work must be submitted through an appropriate official of the corporate entity, organization, or institution. Financial assistance requests will be reviewed pursuant to the Department of Energy Financial Assistance Regulations at 10 CFR 600 and the DOE Merit Review Guide, as amended.

Award Procedure:

Award decision will be made by DOE Headquarters.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The approximate approval/disapproval time is from two months to one year.

Appeals:

Not Applicable. N/A

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. Method of awards/ releasing assistance: awards will be incrementally funded. See the following for information on how assistance is awarded/released.

Method of awarding/releasing assistance: other

Reports:

Yes, periodic program and progress reports are required per the Reporting Requirements Checklist attached to the award. No cash reports are required. At a minimum, annual progress reports are required. Frequency of progress reports will be determined at time of award or annually thereafter. Expenditure reports will be required. The type and frequency will be determined at time of award. Performance monitoring will be required. The type and frequency will be determined at time of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits will be conducted annually or as defined by the size of and the specific award provisions.

Records:

Retention of records will be required in accordance with 10 CFR 600. A grantee is expected to maintain auditable records to substantiate the total costs under the Cooperative Agreement. The grantee is also expected to keep and maintain applicable American Society of Mechanical Engineers (ASME) Nuclear Quality Assurance-1 (NQA-1) and other quality assurance testing and results records as needed.

Account Identification:

89-0251-0-1-250.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$1,610,330.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

89-0251.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Performed R&DT activities to advance the state-of-the-art of containment ventilation systems. Established a technical working group (TWG) comprised of nuclear facility fire protection and containment ventilation professionals to develop a detailed test plan directing the research effort. Completed T&E of axial flow separator and separatorless AG-1 section FC HEPA filters. Other test planning activities completed. Completed the design and fabrication activities for a small-scale fire and smoke test stand, and preliminary characterization activities for HEPA filter testing under fire scenarios. Initiated full-scale testing of aged HEPA filters addresses the issue of correlating media degradation due to age with testing of new and aged HEPA filters under a generic design basis event set of conditions. Fiscal Year 2021: Finalize all remaining actions to closeout the cooperative agreement.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

John Lee,
US Department Of Energy 1000 Independence Ave. SW,
Washington DC 20585
Email: john.lee@em.doe.gov
Phone: 2025867419

ASSISTANCE LISTINGS DESCRIPTIONS

Fax:

Website Address:

<http://www.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Research must be beneficial to the overall accomplishment of the program objectives and consistent with the criteria of the EM Merit Review System (i.e., technically meritorious, qualified principal investigators, adequate facilities for conduct of the work, past experience and performance history).

81.140 Los Alamos National Laboratory - Fire Protection

Los Alamos Fire Department Cooperative Agreement,

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

31 USC 6305, 31 USC Code 6304.

OBJECTIVES:

The general objective of this Cooperative Agreement is to provide financial support for staff, response vehicles, specialized tours and training, and the use of two fire station facilities to the Incorporated County of Los Alamos to allow the County to provide an enhanced level of fire department services, including advanced nuclear facility capable, industrial fire suppression, advanced emergency medical, rescue, hazardous materials response, and other services through its municipal fire department.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cost effective and efficient management of the delivery of fire department services to LANL, including recruitment, selection, training and promotion of fire department personnel. Cost effective and efficient management of business aspects of fire department operations, including procurement of services and supplies necessary to perform the agreement, and generating specifications for equipment purchases such as fire department apparatus. Providing manual fire fighting response and capability. Providing emergency medical response and capability. Providing rescue response and capability. Providing hazardous materials response capability. Providing fire and safety related non-emergency services as identified in the agreement such as hydrant testing. Providing fire department standbys at LANL for designated recurring activities, and on an as-needed basis for special hazards. Maintaining a minimum training capability for fire fighters. Maintaining certifications for various fire fighter and fire officer levels. Maintaining emergency and non-emergency procedures associated with the operation of a fire department. Maintain a fire department response capability that meets NFPA 1500, NFPA 1710 and other applicable NFPA standards to the extent practical for fire department responses to LANL. Maintain emergency response pre-incident plans for necessary LANL facilities. Providing agreed upon mutual aid, automatic aid, and outside assistance to the surrounding communities and cities. Providing other miscellaneous services as defined in the agreement.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); EMERGENCY PREPAREDNESS: The fire department has an authorized staffing level of 139 fire fighters and officers and 11 civilian support staff. The fire department staff, in addition to being trained as conventional fire fighters responding to fires in residence and businesses, is also trained to respond to fires and other emergencies at nuclear and high hazard facilities. PLANNING: The fire department is responsible for the development of Pre-Incident Plans at necessary LANL buildings and facilities. PUBLIC WORKS: The fire department is responsible for hydrant testing at LANL. TRAINING: Fire

fighters receive special training prior to engaging in fire fighting or emergency operations at nuclear and high hazard facilities at LANL, not only to ensure their safety, but to ensure that their actions contribute to control of the incident, versus making the incident worse by possibly spreading contamination or taking actions which result in unnecessary damage to mission critical or costly equipment.

Beneficiary Eligibility:

Local; Los Alamos Fire Department is an agency within Los Alamos County. Los Alamos County is a political subdivision of the State of New Mexico.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Funds awarded via the NNSA Contracts and Procurement Division, Albuquerque Complex, Albuquerque, New Mexico, coordinating with the NNSA Los Alamos Field Office as the Delegated Program Office. The procedural steps at the NNSA are as follows: Contract Specialist (NA-APM-12), Preparation; Contracting Officer (NA-APM-121), Coordination and Review; Patent Counsel, Coordination and Review; Legal Counsel, Coordination and Review; Independent Review (NA-APM-122.1); Head of Contracting Activity (NA-APM-12), Coordination and Review; Contracting Officer (NA-APM-121), and Final Signature.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The Cooperative Agreement was approved and became effective on 10/01/2013.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. This program has no statutory formula. Matching Requirements: Percent: 20 - 26%. Using a sliding scale implemented over the period of performance, October 1, 2013 through September 30, 2023, the County's cost for providing fire protection within Los Alamos County and LANL will be approximately 25 percent of the overall fire department budget for FY20. This program does not have MOE requirements. Federal contribution approximately \$102M for the 5-year agreement from FY19 to FY23. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This Cooperative Agreement was for a 5-year term. The initial period of performance was October 1, 2013 to September 30, 2018. The Cooperative Agreement was reassessed for years 2019 thru 2023. The current period of performance in October 1, 2018 to September 30, 2023. Method of awarding/releasing assistance: lump sum.

Reports:

Special Status Report; Final Scientific/Technical Report; SF-425 Federal Financial Reports; Patent Certification; SF-428 & 428B Final Property Report; Patent Certification; SF-428 Tangible Personal Property Forms Family; Training Plan; LANL Fire Hydrant Flow Testing; LANL Emergency Response Time Report; and DOE Vehicle Mileage Report. Monthly Progress Reports Associated with meeting the expectations of the Cooperative Agreement. As required, to support cost-sharing of the Cooperative Agreement. Oversight of the performance attributes of this Cooperative Agreement is the responsibility of the Los Alamos Field Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

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Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Los Alamos County includes Cooperative Agreement related transactions in its annual Comprehensive Annual Financial Report, completed June 30th of each year.

Records:

Required by the Cooperative Agreement terms and conditions.

Account Identification:

89-0240-0-1-054.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$20,350,045.00; FY 21 est \$20,676,433.00; FY 22 Estimate Not Available; Project Grants (Cooperative Agreements) FY 20 \$20,350,045.00; FY 21 est \$20,676,433.00; and FY 22 est \$20,631,102.00;

Range and Average of Financial Assistance:

For the 1st term of the Cooperative Agreement (FY09-FY13), Federal assistance ranged from \$13,978,318 to \$15,731,859 (estimated). For the 2nd term of the Cooperative Agreement (FY14-FY18), Federal assistance is estimated at \$17,478,712 to \$19,038,838. For the 3rd term of the Cooperative Agreement (FY19-FY23), Federal assistance is estimated at \$19,216,331 to \$21,266,489.

TAFS Codes:

89-0240.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Cooperative Agreement notes numerous regulations (both Federal, local, and Laboratory) and guidelines associated with the performance expectations of the Recipient.

Regional or Local Office:

See Regional Assistance Locations. For any questions or concerns, please contact the Los Alamos Field Office LAFD Cooperative Agreement Program Manager, Jordan Arnswald.

Headquarters Office:

Jordan Arnswald,
3747 West Jemez Rd,
Los Alamos NM 87544
Email: jordan.arnswald@nnsa.doe.gov
Phone: 505-709-5178
Fax:

Website Address:

<http://nnsa.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.214 Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Executive Order White House Initiative On Historically Black Colleges and Universities, Executive Order 13532, February 26, 2010 White House Initiative On Education Excellence for Hispanics, Executive Order 13555, October 19, 2010 Federal Actions To Address Environmental Justice In Minority Populations

And Low-Income Populations, Executive Order, 12898, February 11, 1994, , National Historic Preservation Act of 1966 (Public Law 89-665; 16 U.S.C. 470 et seq.). Subsequent amendments to the Act include Public Laws 91-243, 93-54, 94-422, 94-458, 96-199, 96-244, 96-515, 98-483, 99-514, 100-127, 102-575, 103-437, 104-333, 106-113, 106-176, 106-208, 106-355, 109-453. Archaeological Resources Protection Act of 1979 (Public Law 96-95; 16 U.S.C. 470aa-mm) Native American Graves Protection And Repatriation Act of 1990 (Public Law 101-601) Comprehensive Environmental Response, Compensation, and Liability Act (Superfund) of 1980 42 U.S.C. 9601 et seq. Solid Waste Disposal Act of 1965, (Public Law 102-386; 42 U.S.C. 6961), Section 6001 Atomic Energy Act of 1954 as amended, Public law 83-703; Stat. 9191; 42 U.S.C. 2011 et seq; Department of Energy Organization Act, 42 U.S.C. 7101 Public Law 95-91 Emergency Planning and Community Right to Know Act (42 U.S.C. 11001-11050) of 1986 (Title III of Superfund Amendments and Reauthorization Act (PL 99-499) Energy Policy Act of 1992 Freedom of Information Act and Privacy Act, 5 U.S.C. 552 and 5 U.S.C. 552a.

OBJECTIVES:

Provides technical and financial assistance to State and local government entities for the conduct of projects/activities to support DOE missions; requirements to manage cultural resources and commitments to provide the public assurances that implemented DOE programs are protective of human health and the environment and educational training and EMs goals to reduce legacy footprint, lifecycle cost and accelerate cleanup and offer research opportunities in science technology, engineering and mathematical (STEM) fields.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the specific project agreement. Applicants must meet the guidelines established by DOE or contained in the specific Congressional authorization for a particular program being supported.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; State; Research and Development Environmental Restoration

Beneficiary Eligibility:

U.S. Territories; Minority group; Student/Trainee; Graduate Student; Scientist/Researchers; State; Local; Public nonprofit institution/organization; State; Local US Territory/Possession, Minority Group, Low Income

Credentials/Documentation:

Costs will be determined in accordance with 10 CFR 600 for State and local Governments. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular A-102 applies to this program. OMB Circular No. A-11 applies to this program. The application forms must be downloaded from the Grants.gov website at <http://www.grants.gov>. Applicants for sub-awards from the states should consult the office of the official designated as the single point of contact within the State for more information on the process the State requires to be following in applying for assistance.

Award Procedure:

Evaluations will be conducted by the staff of the Office of Acquisition Management and the applicable Program Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 90 to 120 days. None

Appeals:

Not Applicable. None

Renewals:

From 90 to 120 days. Renewals are subject to review by the program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Vary. See the following for information on how assistance is awarded/released:

Funds are released through the Electronic Transfer System. Method of awarding/releasing assistance: quarterly

Reports:

Quarterly reports and technical progress reports containing accomplishments and information on cost status, reported per task is required. Additionally, a final technical report is due 90 days after the end of the performance period.

Performance monitoring is achieved through submission of progress reports and site visits. Quarterly project Financial Cash Transaction Reports are required.

Quarterly reports and technical progress reports containing accomplishments and information on cost status, reported per task is required. Quarterly Spend Plan Quarterly project Financial Cash Transaction Reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Retention of records will be required in accordance with 10 CFR 600.

Account Identification:

89-5231-0-0-000.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$36,194,622.00; FY 21 est \$22,987,943.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$0.00 to \$11,779,142.00. Subject to the availability of funds.

TAFS Codes:

89-5231.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: SCDNR serves as primary manager of the Crackerneck Wildlife Management Area and Ecological Reserve (Crackerneck), the South Carolina Department of Natural Resources (SCDNR) implemented all aspects of the comprehensive Management Plan for Crackerneck. The SCDNR formulated and implemented the public, outdoor recreation program, 70 days were planned for recreation at Crackerneck but due to the Covid-19 virus closure only 54 days were implemented. A new site use covering the 2020-2021 recreation schedule for the area was submitted and approved. The SCDNR served as a core team member within the Forest-ID Team to insure Crackerneck natural resource prescriptions were consistent with habitat goals outlined in the Management Plan for the area. Frequent meetings with US Forest Service Savannah River Site (USFS-SRS) personnel were held to ensure implementation of future timber harvests, road construction/maintenance, and prescribed burning complied with approved prescriptions and sites. SCDHEC reviewed two Records of Decisions (ROD), eight secondary documents, and six administrative duties during FY21. SCEMD updated and revised the SRS site specific annex to the SC Operation Radiological Emergency Response Plan. Coordinated, reviewed and validated the local emergency response plans of Aiken, Allendale, and Barnwell Counties. Educated the public about safeguarding themselves and their property from hazards associated with SRS operations. Enhanced alert and warning capabilities. Coordinated training for state, Aiken, Allendale and Barnwell county emergency response personnel. GEMA/HS reviewed and updated Annex C (Savannah River Site) to the Georgia Radiological Emergency Plan (REP). GEMA/HS reviewed and

updated in cooperation with Burke County Emergency Management Agency the Burke County REP Plan. GEMA/HS conducted periodic meetings of the Radiation Working Group (RWG) to enhance coordination on emergency management/response issues. GEMA/HS conducted training for state and local emergency response personnel whose services may be required in the event of a radiological incident at SRS. SREL provided the public with an independent evaluation of the ecological effects of Savannah River Site (SRS) operations on the environment through a program of ecological research, education and outreach. The community outreach portion of the program relies on traditional methods of community involvement, including workshops and outreach meetings. SSU provides education and community outreach. The approach in the education arena combines an intern program, with expanding environmental curricula. COS provided daily monitoring of the tritium concentrations in the Savannah River to ensure the integrity of the raw water being used throughout the Savannah area from excessive concentrations of tritium to protect public health and safety. SCIAA continued advancement of State knowledge for the cultural heritage of the SRS, the Central Savannah River Area (CSRA) and the southeastern United States.

Supported accomplishment of scientific research, dissemination of knowledge in the academic and public sectors. Continued Preservation of the physical remains of the cultural heritage of the area. Fiscal Year 2021: SCDNR services as primary manager of the Crackerneck Wildlife Management Area and Ecological Reserve (Crackerneck), the South Carolina Department of Natural Resources (SCDNR) implemented all aspects of the comprehensive Management Plan for Crackerneck. The SCDNR formulated and implemented the public, outdoor recreation program, 70 days were planned for recreation at Crackerneck but due to the Covid-19 virus closure only 54 days were implemented. A new site use covering the 2020-2021 recreation schedule for the area was submitted and approved. The SCDNR served as a core team member within the Forest-ID Team to insure Crackerneck natural resource prescriptions were consistent with habitat goals outlined in the Management Plan for the area. Frequent meetings with US Forest Service Savannah River Site (USFS-SRS) personnel were held to ensure implementation of future timber harvests, road construction/maintenance, and prescribed burning complied with approved prescriptions and sites. SCDHEC reviewed two Records of Decisions (ROD), eight secondary documents, and six administrative duties during FY21. SCEMD updated and revised the SRS site specific annex to the SC Operation Radiological Emergency Response Plan. Coordinated, reviewed and validated the local emergency response plans of Aiken, Allendale, and Barnwell Counties. Educated the public about safeguarding themselves and their property from hazards associated with SRS operations. Enhanced alert and warning capabilities. Coordinated training for state, Aiken, Allendale and Barnwell county emergency response personnel. GEMA/HS reviewed and updated Annex C (Savannah River Site) to the Georgia Radiological Emergency Plan (REP). GEMA/HS reviewed and updated in cooperation with Burke County Emergency Management Agency the Burke County REP Plan. GEMA/HS conducted periodic meetings of the Radiation Working Group (RWG) to enhance coordination on emergency management/response issues. GEMA/HS conducted training for state and local emergency response personnel whose services may be required in the event of a radiological incident at SRS. SREL provided the public with an independent evaluation of the ecological effects of Savannah River Site (SRS) operations on the environment through a program of ecological research, education and outreach. The community outreach portion of the program relies on traditional methods of community involvement, including workshops and outreach meetings. SSU provides education and community outreach. The approach in the education arena combines an intern program, with expanding environmental curricula. COS provided daily monitoring of the tritium concentrations in the Savannah River to ensure the integrity of the raw water being used throughout the Savannah area from excessive concentrations of tritium to protect public health and safety. SCIAA continued advancement of State knowledge for the cultural heritage of the SRS, the Central Savannah River Area (CSRA) and the southeastern United States. Supported accomplishment of scientific research, dissemination of knowledge in the academic and public sectors. Continued Preservation of the physical remains of the cultural heritage of the area.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial assistance actions will be completed in accordance with 10 CFR 600, 2CFR 200, and all applicable National Policies (Laws and Executive Orders).

Regional or Local Office:

See Regional Assistance Locations. Department of Energy, Savannah River

ASSISTANCE LISTINGS DESCRIPTIONS

Operations Office, P. O. Box A, Aiken, SC 29802

Headquarters Office:

Cynthia Strowbridge,
Department of Energy Savannah River Operations Office P. O. Box A,
Aiken SC 29802
Email: cynthia.strowbridge@srs.gov
Phone: 803-952-9355
Fax:

Website Address:

<http://www.srs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined by the criteria announced in the solicitation, if any.

81.250 Energy Policy and Systems Analysis

FEDERAL AGENCY:

ENERGY, DEPARTMENT OF

AUTHORIZATION:

Energy Tax Act of 1978, Public Law 95-618, , Energy Security Act of 1980, Public Law 96-294, , Energy Independence and Security Act of 2007 (EISA), Public Law 110-140, , Energy Policy Act of 1992 (EPACT), Public Law 102-486, , Energy Policy Act of 2005 (EPACT), Public Law 109-58, , Department of Energy Organization Act of 1977, as amended, Public Law 95-91,

OBJECTIVES:

From a state, local, and tribal perspective and through national outreach and coordination, inform the analysis, development, and advancement of energy policy and related programmatic initiatives that could facilitate the transition to a low-carbon and secure energy economy. Enhance intergovernmental coordination and collaboration on key analytical and policy issues. Orchestrate technical assistance and guidance to states and local governments on energy planning and measures.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance will be provided as cooperative agreements to state, local, and/or tribal associations and organizations (non-profits) to inform policy analysis, enhance intergovernmental coordination on key policy issues, and facilitate technical assistance to states, local governments, and/or tribal governments on energy planning.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); State, local, and/or tribal nonprofit institutions/organizations and "instrumentalities of the states" are eligible.

Beneficiary Eligibility:

Public nonprofit institution/organization; State, local, and tribal government officials benefit.

Credentials/Documentation:

2 CFR 200 as amended by 2 CFR 910 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of FAR 15.6.

Award Procedure:

Applications will be evaluated by DOE personnel assigned to the specific area. This program is excluded from coverage under E.O. 12372.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

Other. Cooperative agreements may be modified or extended.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five years Method of awarding/releasing assistance: other

Reports:

Progress reports required as specified in the cooperative agreement. Typically these reports consist of quarterly progress and a final report at the conclusion of cooperative agreement time period. Expenditure reports required as specified in the cooperative agreement. Typically these reports consist of quarterly expenditure reports and a final report at the conclusion of the cooperative agreement time period. Performance monitoring required as specified in the cooperative agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Other than for-profit recipients are subject to the audit requirements at 2 CFR 200 subpart F.

Records:

Records retention per 2 CFR 200.

Account Identification:

08-9169-7-0-228.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$729,712.00; FY 21 est \$1,382,365.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

\$100,000 to \$1,000,000 per year per award (maximum of 6 awards)

TAFS Codes:

89-0228.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Quinntella Wilson,
1000 Independence Ave, SW,
Washington DC 20585
Email: quinntella.wilson@hq.doe.gov
Phone: 202-287-1040

Website Address:

<http://www.energy.gov/policy>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Determinations for non-competitive financial assistance Selection is based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s) at <https://eere-exchange.energy.gov/>.

EDUCATION, DEPARTMENT OF

84.002 Adult Education - Basic Grants to States

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Adult Education and Family Literacy Act, (AEFLA), (Title II of the Workforce Innovation and Opportunity Act (WIOA)), 29 U.S.C. 3271 et seq.,

OBJECTIVES:

To fund local programs of adult education and literacy services, including workplace literacy services, family literacy services, and English literacy and integrated English literacy-civics education programs. Participation in these programs is limited to adults and out-of-school youths aged 16 and older who do not have a high school diploma or equivalent and who are not enrolled or required to be enrolled in a secondary school under State law. See 29 U.S.C. 3271.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

WIOA lists specific performance measures on which States are assessed. These include: demonstrated improvements in literacy skill levels in reading, writing, and speaking the English language, numeracy, problem-solving, English language acquisition and other literacy skills; placement in, retention in, or completion of postsecondary education, training, unsubsidized employment, or career advancement; receipt of a high school diploma or its equivalent; other objective, quantifiable measures, as identified by the State agency. Not more than 12.5 percent of the State allotment may be used for State leadership activities, including professional development; 82.5 percent or more of the State allotment must be used to support local programs and corrections education and other institutionalized individuals. Not more than 20 percent of the State allotment may be used for correctional education and other institutionalized individuals; not more than 5 percent or \$85,000, whichever is greater, of the State allotment may be used for State administrative costs. AEFLA reserves a portion of the program's appropriation for English literacy and civics education services to immigrants and other limited English proficient populations. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Formula grants are made to designated eligible State agencies that under State law are responsible for administering or supervising statewide policy for adult education and literacy, including such entities as State educational agencies (SEAs), postsecondary agencies, or workforce agencies. State agencies must provide direct and equitable access to: local educational agencies; public or private nonprofit agencies; community-based organizations of demonstrated effectiveness; institutions of higher education; volunteer literacy organizations of demonstrated effectiveness; libraries; public housing authorities; nonprofit institutions not described above that have the ability to provide literacy services to adults and families; and consortia of the entities described above.

Beneficiary Eligibility:

Student/Trainee; State; Local; Youth (16-21); Education (9-12); Adults and out-of-school youths who are 16 years of age and older, who are not enrolled or required to be enrolled in secondary school under State law, and who lack sufficient mastery of basic educational skills to enable them to function effectively in society or do

not have a secondary school diploma or its recognized equivalent, and have not achieved an equivalent level of education, or are unable to speak, read, or write the English language.

Credentials/Documentation:

Certification required. The State plan must include a certification from a State official that all provisions and amendments thereto are consistent with WIOA.OMB Circular No. A-87: Yes OMB Circular No. A-21: Yes OMB Circular No. A-122: Yes 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application: Yes OMB Circular No. A-102 applies to this program: Yes Program is covered under OMB Circular No. A-110: No Each State desiring to receive funds under this program shall submit and have approved a WIOA unified State plan or a WIOA combined State plan.

Award Procedure:

Electronic transfer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. States are afforded an opportunity for hearings.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart , Public Law . Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. To receive a grant, States, the District of Columbia, and Puerto Rico must provide a nonfederal contribution in an amount equal to 25 percent of the total amount of funds expended for adult education and literacy activities in the State. To receive a grant, eligible outlying agencies must provide a nonfederal contribution in an amount equal to 12 percent of the total amount of funds expended for adult education and literacy activities. The program has a non-supplanting requirement, and therefore the applicant must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer / Indirect Cost Group on 202-708-7770. This program has MOE requirements, see funding agency for further details. Additional Information: The fiscal effort per student or the aggregate expenditures of each eligible agency for activities under this program, in the second preceding fiscal year, must be not less than 90 percent of the fiscal effort per student or the aggregate expenditures of such eligible agency for adult education and literacy activities in the third preceding fiscal year..

Length and Time Phasing of Assistance:

Funds must be obligated by grantees within 27 months of their date of availability. States draw funds as needed under the Electronic Transfer System. Method of awarding/releasing assistance: other

Reports:

Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR, and as approved by OMB. Progress reports: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR as approved by OMB. Expenditure reports: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR. Performance Monitoring: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2

ASSISTANCE LISTINGS DESCRIPTIONS

CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the date of submission of the final Financial Status Report.

Account Identification:

91-0400-0-1-501.

Obligations:

Formula Grants FY 20 \$656,955,000.00; FY 21 est \$674,955,000.00; FY 22 est \$674,955,000.00;

Range and Average of Financial Assistance:

FY21: \$1,104,050-\$108,376,766 average \$12,947,736

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made grant awards to 57 eligible entities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Parts 462 and 463 of Title 34 of the Code of Federal Regulations.

Regional or Local Office:

None.

Headquarters Office:

Cheryl Keenan,
Potomac Center Plaza 550 12th Street, SW,
Washington DC 20024
Email: cheryl.keenan@ed.gov
Phone: (202) 245-6836.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/pi/AdultEd/index.html>

RELATED PROGRAMS:

84.191 Adult Education_National Leadership Activities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.004 Civil Rights Training and Advisory Services (also known as Equity Assistance Centers)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Civil Rights Act of 1964, Title IV, as amended.,

OBJECTIVES:

To provide technical assistance and training services to school districts and other responsible governmental agencies to cope with educational problems occasioned by race, sex, religion, and national origin desegregation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds support Equity Assistance Centers to provide technical assistance and training, upon request, in the areas of race, sex, religion, and national origin to public school districts and other responsible governmental agencies to promote equitable education opportunities. The centers work in the areas of civil rights and equity. This assistance helps schools and communities ensure that equitable education opportunities are available and accessible for all children.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Non-Government - General; Any private, nonprofit organization or any public agency (other than a State educational agency or school board) may apply.

Beneficiary Eligibility:

Local; School; Other public institution/organization; Student/Trainee; Educational personnel and elementary and secondary students in local school districts benefit.

Credentials/Documentation:

See above. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See above.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 3474, 2 CFR 3485, 34 CFR 270, and the Education Department General Education Regulations in 34 CFR 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99 apply to this program. Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive peer review of applications, the Department makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 30 to 90 Days.

Appeals:

Not Applicable.

Renewals:

Other. Equity assistance centers receive awards for multi-year project periods if they meet the criteria for annual continuation in the regulations. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will generally be made for a period of 60 months. Funds are appropriated on an annual basis. Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: other

Reports:

Post-award reporting requirements are in accordance with ED General Administrative Regulations for Direct Project and Contract Programs, 34 CFR 75.720 and Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR 200.327-200.329. Specific progress reporting requirements are included in grant award documents. Specific expenditure reporting requirements are included in grant award documents. Specific performance reporting requirements are included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

ASSISTANCE LISTINGS DESCRIPTIONS

See above.

Records:

In accordance with Section 443 of the General Education Provisions Act, as well as 75.730-732: and 2 CFR 200.333, grantees must maintain records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

Project Grants FY 20 \$6,575,000.00; FY 21 est \$6,575,000.00; FY 22 est \$6,575,000.00;

Range and Average of Financial Assistance:

In FY 20, \$1,633,500 average. In FY 21, \$1,633,500 average. In FY 22, \$1,621,000 average.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In fiscal year 2020, the Equity Assistance Centers provided targeted and intensive assistance to 36 State educational agencies, 196 local educational agencies, and 277 schools in 49 States in areas such as creating a positive and safe school climate, improving family engagement, increasing educator diversity, and implementing instructional practices that reach all students. EACs also developed new resources on a variety of topics, such as addressing health disparities, supporting English learners, and implementing socioeconomic integration strategies. Fiscal Year 2021: Estimated to be similar to FY 2020 accomplishments. Fiscal Year 2022: Estimated to be similar to FY2021 accomplishments.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are 2 CFR 200, 2 CFR 3474, 2 CFR 3485, 2 CFR 180, 34 CFR 270, and the Education Department General Education Regulations in 34 CFR 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Ed Vitelli, Group Leader,
Program and Grantee Support Services Office of Elementary and Secondary Education U.S. Department of Education 400 Maryland Avenue, S.W. LBJ Bldg.,
Room 3E106,
Washington DC 20202
Email: ed.vitelli@ed.gov
Phone: (202) 453-6203

Website Address:

<https://oese.ed.gov/offices/office-of-formula-grants/program-and-grantee-support-services/training-and-advisory-services-equity-assistance-centers/>

RELATED PROGRAMS:

84.165 Magnet Schools Assistance;

EXAMPLES OF FUNDED PROJECTS:

2021 Funds will support one Equity Assistance Center in each of 4 geographic regions.

CRITERIA FOR SELECTING PROPOSALS:

New equity assistance center applications are evaluated on the basis of selection criteria from 34 CFR 75.210, addressing significance, quality of project services, quality of project design, quality of project personnel, adequacy of resources, and quality of project evaluation. The highest-ranking application for each geographical service area was selected for an award. The most recent competition was conducted in FY16.

84.007 Federal Supplemental Educational Opportunity Grants

(FSEOG).

FEDERAL AGENCY:

OFFICE OF FEDERAL STUDENT AID, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 3, 20 U.S.C. 1070b-1070b-3.,

OBJECTIVES:

To provide need-based grant aid to eligible undergraduate postsecondary students to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Grants are for undergraduate study, and range from \$100 to \$4,000 per academic year. However, if reasonable study abroad costs exceed the cost of attendance at the home institution, the amount of the grant may exceed the \$4,000 maximum by as much as \$400. A student is eligible to receive a FSEOG for the period of time needed to complete the first undergraduate baccalaureate course of study being pursued by that student. If it awards grants to students in that year, an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000, expended, plus 4 percent of expenditures greater than \$2,750,000 but less than \$5,500,000, plus 3 percent of expenditures in excess of \$5,500,000.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Student/Trainee; Youth (16-21); Education (13+); Undergraduate students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Representatives of the appropriate ED Regional Office are available for consultation (see listing of ED Regional Offices in Appendix IV). Students should contact the institution(s) they attend or wish to attend.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Participating institutions submit the Fiscal Operations Report/Application to Participate in Federal Student Financial Aid Programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Federal Student Aid office. New institutions submit only the application form.

Award Procedure:

The Federal Student Aid office determines final awards and notifies participating institutions. Postsecondary institutions determine individual student awards.

Deadlines:

October 01, 2019. Deadlines are specified on the application (usually October 1).

Range of Approval/Disapproval Time:

Other. Notices of tentative awards sent by February 1, and final award notifications sent by April 1.

Appeals:

Not Applicable.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. There are annual renewals.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part A, Subpart 3, Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Funds are allocated to institutions on the basis of the institution's base guarantee and pro rata share and then on demonstrated need for funding. Institutions award funds to students on the basis of financial need as determined by the Federal Need Analysis Methodology specified in statute. This program requires institutional matching funds. The Federal share may not exceed 75 percent unless waived based on objective criteria established in regulations. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application. Method of awarding/releasing assistance: other

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (ED 646-1) is submitted by each institution at the close of each award year (June 30).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits are performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to the activities of a given fiscal year must be retained for a period of three years following the institution's submission of its Fiscal Operations Report for an award year or until resolution of an applicable audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

Direct Payments for Specified Use FY 20 \$1,169,356,000.00; FY 21 est \$1,189,634,000.00; FY 22 est \$1,189,634,000.00;

Range and Average of Financial Assistance:

The average award in FY 2020 was \$703. The average award for FY 2021 and FY 2022 is estimated to be \$703.

TAFS Codes:

91-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Approximately 1,700,000 recipients are expected. Fiscal Year 2022: Approximately 1,700,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 676, Student Guide fact sheet, no charge; Student Financial Aid Handbook, no charge; Notification to Members of Congress, list of grantees, published annually or as required, no charge; Federal Needs Analysis Methodology booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

See Regional Assistance Locations. Students should contact the educational institution(s) they attend or plan to attend. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Federal Student Aid Information Center,
Federal Student Aid (FSA), Department of Education, P.O. Box 84,
Washington DC 20044-0084
Email: studentaidhelp@ed.gov
Phone: (800) 433-3243

Website Address:

<https://studentaid.ed.gov/sa/>

RELATED PROGRAMS:

84.044 TRIO_Talent Search; 84.042 TRIO_Student Support Services; 84.066 TRIO_Educational Opportunity Centers; 84.047 TRIO_Upward Bound; 84.033 Federal Work-Study Program; 84.031 Higher Education_Institutional Aid; 64.117 Survivors and Dependents Educational Assistance; 84.063 Federal Pell Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.010 Title I Grants to Local Educational Agencies

(Title I Basic, Concentration, Targeted and Education Finance Incentive Grants),

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title I, Part A, . 20 USC Code 6301 et seq..

OBJECTIVES:

To help local educational agencies (LEAs) improve teaching and learning in high-poverty schools in particular for children failing, or most at-risk of failing, to meet challenging State academic standards.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Use of funds varies, depending on whether a school is operating a schoolwide program under Section 1114 of the ESEA or a targeted assistance program under Section 1115 of the ESEA. A school with at least a 40 percent poverty rate may choose to operate a schoolwide program under Section 1114, which allows Title I funds to be combined with other Federal, State, and local funds to upgrade the school's overall instructional program in order to raise the achievement of the lowest-achieving students; a school that does not meet the 40 percent poverty threshold may also operate a schoolwide program if it receives a waiver to do so from the State educational agency (SEA). All other participating schools must operate a targeted assistance program, which provides extra instruction to those children failing, or most at risk of failing, to meet challenging State academic standards. Title I funds must be used only to supplement the State and local funds that would, in the absence of Title I funds, be made available for the education of participating students, and not to supplant such funds. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State; SEAs including for the Outlying Areas and the Secretary of the Interior. Local educational agencies (LEAs) and Indian tribal schools are subgrantees.

Beneficiary Eligibility:

State; In a targeted assistance program, children who are failing, or most at risk of failing, to meet challenging State academic standards. In a schoolwide program, all children in the school.

Credentials/Documentation:

2 C.F.R. Part 225 formerly 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform

ASSISTANCE LISTINGS DESCRIPTIONS

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SEAs apply for funds as part of Title I, Part A. State plans submitted to the Department of Education in accordance with Section 1111 of the ESEA or as part of a consolidated State plan submitted under Section 8302 of the ESEA. The plan remains in effect for the duration of the SEA's participation in Title I, Part A, but must be updated to reflect substantive changes. Participating LEAs must file an approved plan with their SEAs.

Award Procedure:

The Department of Education (ED) makes grants through SEAs to LEAs using four statutory formulas based primarily on Census Bureau estimates of the number of children from low-income families in each LEA and State per pupil expenditures.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Not Applicable.

Appeals:

Other. If an LEA's plan is disapproved by the SEA, the LEA may appeal to the SEA and then to the Department of Education under Section 432 of the General Education Provisions Act (GEPA).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter , Part A, Subpart 2, Public Law . Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The maintenance-of-effort requirements for SEAs in section 1125A(e) and for LEAs in section 8521 of the ESEA apply to Title I, Part A..

Length and Time Phasing of Assistance:

Generally, awards to SEAs are made on July 1 and October 1 of the following fiscal year. In addition, funds remain available to SEAs and LEAs for an additional fiscal year for obligation and expenditure under section 421(b) of GEPA, subject to the carryover limitation of section 1127 of the ESEA. Method of awarding/releasing assistance: other

Reports:

SEAs submit annual performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with section 443(a) of the General Education Provisions Act, SEAs and LEAs must maintain certain program records for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

Formula Grants FY 20 (\$875,067,184.00); FY 21 est (\$643,067,184.00); FY 22 est (\$643,067,184.00);

Range and Average of Financial Assistance:

The estimated range of awards in FY 2020 is \$1,000,000 - \$1,997,088,438. The estimated average award is \$281,117,276. The estimated range of awards in FY 2021 is \$1,000,000 - \$ 2,040,345,428. The estimated average award is \$288,001,393. The estimated range of awards in FY 2022 is \$1,000,000 - \$ 2,038,991,660. The estimated average award is \$288,001,393.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. For Title I guidance and other information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Patrick Rooney,
Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW,
Washington DC 20202

Email: patrick.rooney@ed.gov

Phone: (202)453-5514

Website Address:

<https://oese.ed.gov/offices/office-of-formula-grants/school-support-and-accountability/title-i-part-a-program/>

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.013 Title I Program for Neglected and Delinquent Children;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.011 Migrant Education State Grant Program

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part C, , 20 USC Code 6391.

OBJECTIVES:

To assist States in ensuring that migratory children have the opportunity to meet the same challenging State content and performance standards that all children are expected to meet.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Program funds are used to support high-quality and comprehensive educational programs that address the needs of migratory children. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced in 34 CFR Sec. 76.563-76.569.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State educational agencies or consortia of State educational agencies.

Beneficiary Eligibility:

Education Professional; Student/Trainee; State; Migrant; Local; School; Infant (0-5); Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Children, ages 0 through 21, of migratory agricultural workers or migratory fishers, including children (i.e. persons age 21 or under) who are migratory-agricultural workers or migratory fishers or who are the spouses of migratory agricultural workers or migratory fishers, who have moved across school district lines within the past 36 months and, after doing so, engaged in new temporary or seasonal employment or personal subsistence in agriculture or fishing.

Credentials/Documentation:

States are responsible for ensuring that information documenting a child's eligibility is recorded on a Certificate of Eligibility. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The State educational agency works closely with local educational agencies, institutions of higher education (IHEs), and public and nonprofit private agencies that serve migratory children.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An SEA is eligible for funding if it submits either a consolidated State application that includes information the Department of Education requires, or a program application that contains descriptions of (1) how, in planning, implementing, and evaluating programs and projects, the State and its local operating agencies will ensure that the unique educational needs of migratory children, including preschool migratory children and migratory children who have dropped out of school, are identified and addressed through (a) the full range of services that are available for migratory children from appropriate local, State, and Federal educational programs; (b) joint planning among local, State, and Federal educational programs serving migratory children, including language instruction educational programs under part A of title III; (c) the integration of services available under this part with services provided by those other programs; and (d) measurable program objectives and outcomes; (2) a description of how the State will use funds received under this part to promote interstate and intrastate coordination of services for migratory children, including how the State will provide for educational continuity through the timely transfer of pertinent school records, including information on health, when children move from one school to another, whether or not such move occurs during the regular school year, and (3) a description of the States priorities for the use of funds received under this part, and how such priorities relate to the States assessment of needs for services in the State consistent with the State application requirements in ESEA section 1304(b) and the consolidated State plan application template. The program description also must contain assurances that the MEP program statute requires. Contact the program office for more information.

Award Procedure:

The Department awards funds to any SEA whose application the Secretary has approved under Section 1304 of the ESEA (in the MEP program statute) or under section 8302 (consolidated State applications). The Department of Education notifies an approved State educational agency of its award through a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range of approval/disapproval time is approximately 90 to 120 days.

Appeals:

Not Applicable. SEAs have 45 days to revise and resubmit a disapproved plan and are entitled to a hearing within 30 days of the plans re-submission (ESEA Title VI, Part E, Section 845).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter , Part C. Sec 1303, ESEA, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Awards are for a period of twelve months. Unspent funds may be carried over into the next fiscal year. Method of awarding/releasing assistance: other

Reports:

Each SEA receiving funds is required to submit an annual report that provides

information on the number of children identified as eligible for the program, characteristics of children served the services provided, staff, and student performance. These reporting requirements are now contained in the Consolidated State Performance Report required by Title VIII of the ESEA, Section 8303 (b). Specific progress reports will be included in the grant documents. Specific expenditure reports will be included in the grant documents. Specific performance monitoring requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Part 75 of the Education Department General Administrative Regulations (34 CFR part 75), and the Uniform Administrative Requirements in 2 CFR 200.333, program records must be maintained for 3 years, or longer depending on whether an exception to this rule applies.

Account Identification:

91-0900-0-1-501.

Obligations:

Formula Grants FY 20 \$364,751,000.00; FY 21 est \$365,626,000.00; FY 22 est \$365,626,000.00;

Range and Average of Financial Assistance:

FY 2020 range of awards to States: \$94,879-\$114,640,671 average \$7, 014,442. FY 2021 range of awards to States: \$97,149-\$115,952,099; average \$7, 312,520. FY 2022 est. range of awards to States: \$97,149-\$115,952,099; average \$7, 312,520.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program. (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Lisa Gillette,
Office of Migrant Education, Office of Elementary and Secondary Education,
Department of Education, 400 Maryland Avenue, SW,
Washington DC 20202-6135
Email: lisa.gillette@ed.gov
Phone: (202) 260-1426

Website Address:

<http://www.ed.gov/programs/mep/index.html>.

RELATED PROGRAMS:

84.149 Migrant Education_College Assistance Migrant Program; 84.141 Migrant Education_High School Equivalency Program; 84.144 Migrant Education_Coordination Program;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.013 Title I State Agency Program for Neglected and Delinquent Children and Youth

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title I, Part D,

OBJECTIVES:

To help provide educational continuity for neglected and delinquent children and youth in State-run institutions for juveniles and in adult correctional institutions, so that these youth can make successful transitions to school or employment once they are released.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Title I, Part D, Subpart 1, State Agency Neglected and Delinquent program provides formula grants to State educational agencies (SEAs) that then make subgrants to State agencies. State agencies use funds for supplementary education services to help provide educational continuity for children and youth in State-operated institutions for neglected or delinquent children and youth, in community day programs for neglected or delinquent children and youths, and in adult correctional institutions so that these children and youth can make successful transitions to school or employment once they are released. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); SEAs. State agencies responsible for providing free public education for children and youth (1) in institutions for neglected or delinquent children and youth; (2) attending community day programs for neglected or delinquent children and youth; or (3) in adult correctional institutions may apply to their SEA for subgrants.

Beneficiary Eligibility:

Student/Trainee; State; Local; Juvenile Delinquent; Child (6-15); Youth (16-21); Education (9-12); Other public institution/organization; Children and youth in institutions for neglected or delinquent children and youth, community day programs for neglected or delinquent children and youth, and adult correctional institutions.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An SEA may apply for State Agency Neglected and Delinquent funds as (1) part of its Title I, Part D, State plan submitted to the Department under Section 1414 of the ESEA or (2) as part of a consolidated State plan under Section 8302 of the ESEA. To receive funds, State agencies must apply to the SEA and provide the information and assurances required in Section 1414 of the ESEA.

Award Procedure:

The Department of Education's Office of Elementary and Secondary Education awards grants to State educational agencies on a formula basis, which award

subgrants to the State agencies that have submitted applications. Local educational agencies with high numbers or percentages of children and youth residing in locally operated correctional facilities for children and youth may receive subgrants through Title I, Part A.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies with each State.

Appeals:

Not Applicable.

Renewals:

Other. Although State plans are effective for the duration of the current authorization, SEAs are required to update plans to reflect changes. State agencies must update their applications annually to receive funds.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter , Part D, Subpart 1, Public Law . Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: Funds are allocated to States through a formula based on the number of children in State-operated institutions and per-pupil education expenditures for the State. Each States N and D allocation is generated by child counts in State institutions that provide at least 20 hours of instruction a week from non-Federal funds; adult correctional institutions must provide 15 hours a week. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details..

Length and Time Phasing of Assistance:

Generally, awards to States are made from July 1 to September 30 of the following year. Funds remain available to the State for an additional fiscal year for obligation and expenditure. Method of awarding/releasing assistance: other

Reports:

Each State agency conducting a Title I, Part D, Subpart 1 program is required to evaluate the program's impact on students served at least once every 3 years. Generally, States report annually on the number of neglected or delinquent students obtaining a secondary school diploma or its recognized equivalent while in the N and D program, the number of neglected or delinquent students earning high school course credits, and the number of long-term neglected or delinquent students who improve mathematics and reading skills as measured through State-approved assessments. Specific performance monitoring requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Certain project records must be maintained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

Formula Grants FY 20 \$47,614,000.00; FY 21 est \$48,239,000.00; FY 22 est \$48,239,000.00;

Range and Average of Financial Assistance:

FY20 est: Range: \$50,599-\$2,809,448; Average: \$892,763. FY21 est: Range: \$46,828-\$3,025,807; Average \$904,481. . FY21 est: Range: \$46,828-\$3,025,807; Average \$904,481: \$0.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made grants to 51 State educational agencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Jasmine Akinsipe,
Office of Elementary and Secondary Education, Department of Education, 400
Maryland Ave., SW, Rm. 3E244,
Washington DC 20202
Email: jasmine.akersipe@ed.gov
Phone: (202) 401-3617
Fax:

Website Address:

<http://www.ed.gov/programs/titlepartd/index.html>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 84.010 Title I Grants to Local Educational Agencies;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Under Subpart I, State agencies are eligible to receive assistance if they are providing free public education for children and youth who are (1) in institutions for neglected or delinquent children and youth; (2) attending community day programs for neglected or delinquent children and youth; or (3) in adult correctional institutions.

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 602

OBJECTIVES:

Centers: To promote instruction in modern foreign languages and area and international studies that are critical to national needs by supporting the establishment, strengthening and operation of such programs at colleges and universities. Centers may focus on undergraduate training only or may be comprehensive, including undergraduate, graduate, and professional training components. Fellowships: To meet the needs of the United States for experts in modern foreign languages combined with area studies and world affairs by supporting fellowships for undergraduate and graduate study at institutions of higher education.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Centers: Funds may be used for instructional costs of language and area and international studies programs, administration, lectures, conferences, library resources and staff, outreach, and travel. Fellowships: Funds may be used for academic year and summer fellowships to institutions of higher education (IHEs) in order to assist undergraduate and graduate students in acquiring foreign

language and either area or international studies competencies. A fellowship awarded to an undergraduate student may include an allowance for educational programs in the United States or education programs abroad that meet certain criteria.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Centers: U.S. institutions of higher education or consortia of institutions of higher education are eligible to apply. Applying institutions provide evidence of existing resources and institutional commitment to language and area and international studies through a curriculum that provides instruction dealing with a particular country or world area and its languages, or with international studies and modern foreign languages, including the international aspects of professional or other fields of study. Fellowships: Accredited U.S. institutions of higher education or consortia of institutions of higher education offering area or international studies programs combined with language study are eligible to apply for allocations of fellowships.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Other private institution/organization; Education Professional; Student/Trainee; Graduate Student; U.S. Citizen; Refugee/Alien; Youth (16-21); Education (13+); Other public institution/organization; Centers: U.S. institutions of higher education or consortia of institutions of higher education will benefit. Fellowships: Undergraduate and graduate students enrolled in funded centers and programs will benefit. Student candidates must be U.S. citizens or nationals or permanent residents training in area or international studies and in modern foreign languages programs that have or are developing performance-based language instruction. Undergraduate students must be engaged in intermediate level study or advanced study of a less commonly taught language. Training must be undertaken through an institution that has an allocation of Foreign Language and Area Studies (FLAS) Fellowships.

Credentials/Documentation:

Contact program office. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible institutions may submit proposals for the funding of centers only, fellowships only, or both when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of proposals are available from the Office of the International and Foreign Language Education at the Department of Education.

Award Procedure:

Centers: Final recommendations are made to the Secretary following evaluations from panels of experts that evaluate applications according to published selection criteria. Fellowships: The student should contact his/her sponsoring institution for information concerning application forms, deadlines, and related matters. Institutional proposals are approved or disapproved by the Secretary, following the same procedures as for Center applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

ASSISTANCE LISTINGS DESCRIPTIONS

Range of Approval/Disapproval Time:

From 120 to 180 days. About 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Higher education institutions receiving funds for four-year phased program support must submit annual performance reports for the second, third and fourth year for continuation of such support. Students seeking fellowships apply to their institutions for each summer or academic year award period. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Centers: Grants are awarded for project periods of up to 48 months. Payments are made as stipulated in the grant. Renewals are subject to the availability of funds. Fellowships: Academic year and summer fellowship awards to student recipients are made by the institutions on a competitive basis. If summer fellowships are issued, they will be for a summer session in which the equivalent of an academic year's foreign language study is completed. Payments are made by the grantee institution. Method of awarding/releasing assistance: other

Reports:

Centers: Centers submit interim and annual performance reports through the International Resource Information System (IRIS). Centers submit a final performance report 90 days after the project end date. Fellowships: FLAS granting institutions report on fellowship award recipients at the beginning and end of each award period. Institutional final reports (awards lists) and budget reports are required annually. Staff monitor grants drawdown using agency financial reports. Contact program office. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grant or relating to the accountability for awarded funds must be available upon request: (1) for 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of completion of Federal financial audit, whichever is later. Fellowships: All records bearing on the receipt and expenditure of funds under the program must be available for inspection by the Department: (1) for 3 years after the fiscal year in which grant funds are spent, (2) until audited or for 5 years after grant, or (3) until resolution of any audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$31,236,116.00; FY 21 est \$31,236,116.00; FY 22 est \$31,236,116.00; Project Grants FY 20 \$23,709,231.00; FY 21 est \$23,709,231.00; FY 22 est \$23,709,231.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: To reflect FY 2020 obligations and other technical corrections.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655, 656, and 657, and the Education Department General Administrative Regulations.

Regional or Local Office:

Headquarters Office:

Cheryl Gibbs,

International and Foreign Language Education, Office of Postsecondary Education,

Department of Education, 400 Maryland Ave., SW,
Washington DC 20202

Email: Cheryl.Gibbs@ed.gov

Phone: (202) 453-5690

Website Address:

<http://www.ed.gov/programs/iegpsnrc/>

RELATED PROGRAMS:

84.229 Language Resource Centers; 84.220 Centers for International Business Education; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

34 CFR 655, 656, and 657 apply to the Centers and Fellowships Programs. Selection criteria for evaluating applications and selecting centers are listed in the Title VI, International and Foreign Language Education Program Regulations in 34 CFR 655.31, 656.21 or 656.22. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. 34 CFR 657.21 gives the criteria for selection of institutional awards for fellowship allocations. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register.

84.016 Undergraduate International Studies and Foreign Language Programs

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 604

OBJECTIVES:

The Undergraduate International Studies and Foreign Language Program supports awards to institutions of higher education and public and nonprofit private agencies and organizations. The awards assist: (1) institutions of higher education to plan, develop, and carry out a program to strengthen and improve undergraduate instruction in international studies and foreign languages; and (2) associations and organizations to develop projects that will make an especially significant contribution to strengthening and improving undergraduate instruction in international studies and foreign languages.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Each program assisted with Federal funds must primarily enhance the international academic program of the institution. Eligible activities may include but are not limited to: development of a global or international studies program that is interdisciplinary in design; development of a program that focuses on issues or topics, such as international business or international health; development of an area studies program and its corresponding foreign languages; creation of innovative curricula that combine the teaching of international studies with professional and pre-professional studies, such as engineering; research for and development of specialized teaching materials, including language instruction, i.e., business French; establishment of internship opportunities for faculty and students in domestic and overseas settings; and development of study abroad programs. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit

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institution/organization; Accredited colleges and universities, and public and nonprofit private agencies and organizations.

Beneficiary Eligibility:

Student/Trainee; Graduate Student; Youth (16-21); Education (13+); Accredited colleges and universities, and public and nonprofit private agencies and organizations will benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. When funds are available for the support of new programs, announcements are issued in the Federal Register. Application forms and guidelines for submission of proposals are available from the Office of International and Foreign Language Education within the Office of Postsecondary Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants in accordance with the published criteria.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Institutions of higher education and associations and organizations currently receiving funds for two- or three-year phased program support must submit performance reports for continuation funding according to instructions established by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The non-Federal share of the cost of the programs assisted may be provided in cash from the private sector corporations or foundations in an amount equal to one-third of the total cost of the programs or may be provided as an in-cash or in-kind contribution from institutional and noninstitutional funds, including State and private sector corporation or foundation contributions, equal to one-half of the total cost of the programs assisted herein. The Secretary may waive or reduce the matching requirement for institutions that are eligible to receive assistance under part A or B of Title III or under Title V of the Higher Education Act of 1965, as amended. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods, subject to renewals of one or two years. Payments are made as stipulated in the grant. Renewals are subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

All programs must provide final reports upon completion of the program. Staff monitor grants drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual

performance report. Contact program office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under a grant or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which contract was liquidated; or (2) until the grantee is notified of completion of Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$2,971,657.00; FY 21 est \$2,936,969.00; FY 22 est \$2,857,600.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 658, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Tanyelle Richardson,
International and Foreign Language Education, Office of Postsecondary Education,
Department of Education, 400 Maryland Ave., SW,
Washington DC 20202

Email: tanyelle.richardson@ed.gov

Phone: (202) 453-6391

Website Address:

<http://www.ed.gov/programs/iegpsugis/>.

RELATED PROGRAMS:

84.229 Language Resource Centers; 84.021 Overseas Programs - Group Projects Abroad; 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.018 Overseas Programs_Special Bilateral Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In addition to evaluation on the basis of criteria found in the Education Division General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, and 78 the Secretary will further evaluate applications for Federal support for international studies programs in accordance with the criteria published in 34 CFR 655, 658, and 45 CFR 86872.

84.017 International Research and Studies

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Higher Education Act of 1965, as amended (HEA), Title VI, Part A, Section 605

OBJECTIVES:

To improve foreign language, area, and other international studies training through support of research, studies, development of specialized instructional materials, and the publication of specialized materials developed as a result of research conducted under this program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The program supports research and studies that may include but are not limited to: (1) Studies and surveys to determine needs for increased or improved instruction in modern foreign languages, area studies, or other international fields including the demand for foreign language, area, and other international specialists in government, education, and the private sector; (2) research on more effective methods of providing instruction and achieving competency in foreign languages, area studies, or other international fields; (3) research on applying performance tests and standards across all areas of foreign language instruction and classroom use; (4) developing and publishing specialized materials for use in foreign language, area studies, and other international fields or for training foreign language, area, and other international specialists; (5) studies and surveys to assess the use of graduates or programs supported under title VI of the HEA by governmental, educational, and private-sector organizations and other studies assessing the outcomes and effectiveness of supported programs; (6) comparative studies of the effectiveness of strategies to provide international capabilities at institutions of higher education; (7) evaluations of the extent to which programs assisted under title VI of the HEA that address national needs would not otherwise be offered; (8) studies and surveys of the use of technologies in foreign language, area studies, and international studies programs; (9) studies and evaluations of effective practices in the dissemination of international information, materials, research, teaching strategies, and testing techniques throughout the educational community, including elementary and secondary schools; (10) evaluations of the extent to which programs assisted under title VI of the HEA reflect diverse perspectives and a wide range of views and generate debate on world regions and international affairs, as described in the grantees application; (11) systematic collection, analysis, and dissemination of data that contribute to achieving the purposes of title VI, part A of the HEA; and (12) support for programs or activities to make data collected, analyzed, or disseminated under title VI, part A publicly available and easy to understand. Funds awarded under this program may not be used for the training of students and teachers.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public and private agencies, organizations, institutions, and individuals may apply.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Public and private agencies, organizations, institutions, and individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-122 for Non-Profit Organizations, and OMB Circular No. A-21 for Educational Institutions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application

forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Formal applications should be prepared in response to announcements of mandatory program requirements and closing dates in the Federal Register. Application forms and guidelines for the submission of applications are available from the Office of International and Foreign Language Education at the Department of Education.

Award Procedure:

Applications are reviewed by Department of Education officials and review panels of scholars.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From six to seven months.

Appeals:

Not Applicable.

Renewals:

Other. Projects conducted under a grant may be phased, with the phases following the first phase subject to renewal on a non-competitive basis. Renewals of grants, which were not included in the original negotiations, will be considered in competition with all other new applications and are dependent on the submission of reports that demonstrate that substantial progress has been made. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Depends on project, but is generally from 12 to 36 months. Phasing of projects is determined during the negotiation process. Method of awarding/releasing assistance: other

Reports:

A final report or subject data as established through negotiation. Interim progress reports and a financial report are required. Staff monitors grant drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For three years after close of the fiscal year of grant expiration or (2) until the grantee is notified of completion of the Federal audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$1,291,046.00; FY 21 est \$928,000.00; FY 22 est \$1,198,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are available at 34 CFR 655, 660, and the Education Department General Administrative Regulations. Contact the program office for information.

Regional or Local Office:

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Cheryl Gibbs,
International and Foreign Language Education, Office of Postsecondary Education,
Department of Education, 400 Maryland Ave., SW,
Washington DC 20202
Email: Cheryl.Gibbs@ed.gov
Phone: (202) 453-5690

Website Address:

<http://www.ed.gov/programs/iegpsirs/>

RELATED PROGRAMS:

84.229 Language Resource Centers; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.021 Overseas Programs - Group Projects Abroad; 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.018 Overseas Programs_Special Bilateral Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals is stated in 34 CFR 655.31, 660.31, 660.32, and 660.33. The Secretary will evaluate applications in accordance with the published criteria. An applicant may receive as many as five or fifteen points or as few as zero points under each criterion. (20 U.S.C. 1121-1127, 3063- 3065.).

84.018 Overseas Programs Special Bilateral Projects

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256

OBJECTIVES:

To increase mutual understanding and knowledge between the people of the United States and those in other countries by offering qualified U.S. educators opportunities to participate in short-term study seminars abroad on topics in the social sciences and the humanities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Program funds provide round-trip economy airfare, room and board, tuition, and fees for seminars. Actual locations may vary from year-to-year. No dependents are to accompany awardees participating in seminars.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Applicants must (1) be U.S. citizens or have permanent resident status; (2) hold at least a bachelor's degree from an accredited college or university; (3) have at least 3 years full-time in teaching, administering or supervising in the humanities, the social sciences or social studies subjects; (4) be currently employed full-time in teaching, administering or supervising in the aforementioned areas; (5) meet any language requirements if applicable (see the application booklet for details). If selected, awardees must furnish evidence of good health and emotional maturity.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Education Professional; Student/Trainee; Graduate Student; Education (13+); (1) Undergraduate faculty members of 4 year colleges/universities and 2-year community colleges in the fields of the humanities and social sciences; (2) supervisors and secondary (grades 9 through 12) and elementary and junior high school (grades K through 8) teachers of social studies and humanities; and (3) curriculum development specialists, administrators of local and State education

agencies who have direct responsibility for developing curriculum in the subject areas encompassed by the social sciences and the humanities.

Credentials/Documentation:

Applicants' basic data; education and professional preparation; present and previous employment; specific statement of objectives; plans to utilize the knowledge and experience gained from the seminar; involvement in educational/professional/civic groups, associations/organizations; personal traits; honors/awards/publications, etc. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. International Education Graduate Programs Team in the Office of International and Foreign Language Education/Office of Postsecondary Education at the Department of Education, publishes an annual announcement of opportunities and conducts open competition to select participants. Binational commissions or foundations or other appropriate agencies receive funding to conduct the seminars. The standard application forms as furnished by the Federal agency and as required by OMB Circular No. A-102 must be used for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants may submit applications for a position in one of the seminars when the announcement of a new competition is made through journals, newsletters, educational associations, individuals, etc.

Award Procedure:

Recommendations are made to the Secretary of Education following advice from a panel of consultants. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Applicants notified in March of selection for seminars.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From 4 to 6 weeks. Method of awarding/releasing assistance: other

Reports:

Individual curriculum projects, outreach activities and program evaluations from participants are submitted. Contact program office.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Retained for one year.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$618,600.00; FY 21 est \$677,500.00; FY 22 est \$677,500.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

ASSISTANCE LISTINGS DESCRIPTIONS

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. Program announcements and related information are available from the Office of International and Foreign Language Education within the Office of Postsecondary Education, Department of Education.

Regional or Local Office:

None.

Headquarters Office:

Maria Chang,
International and Foreign Language Education, Office of Postsecondary Education,
400 Maryland Ave., SW,
Washington DC 20202
Email: maria.chang@ed.gov
Phone: (202)453-6080

Website Address:

<http://www.ed.gov/programs/iegpssap/>

RELATED PROGRAMS:

84.229 Language Resource Centers; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.021 Overseas Programs - Group Projects Abroad; 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information.

84.021 Overseas Programs - Group Projects Abroad

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256

OBJECTIVES:

To contribute to the development and improvement of the study of modern foreign languages and area studies in the United States by providing grants to support overseas projects in training, research, and curriculum development in modern foreign languages and area studies for teachers, students, and faculty engaged in a common endeavor.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grant does not provide funds for project-related expenses within the United States. The grant funds may be used in the country of study for the following: maintenance stipend; round-trip international travel; project-related local travel; artifacts; books and other teaching materials; rent for instructional facilities; and clerical and professional services. Projects must focus on the humanities, social sciences and languages, and must focus on one or more of the following areas: Africa, East Asia, South Asia, Southeast Asia and the Pacific, the Western Hemisphere (Central and South America, Mexico, and the Caribbean), East Central Europe and Eurasia, and the Near East. Applications that propose projects focused on Canada or Western Europe will not be funded.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Institutions of higher education, State departments of education, private nonprofit educational organizations, and a consortium of institutions, departments, and organizations.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; Graduate Student; State; U.S. Citizen; Education (13+); A participant must be: a citizen, or permanent resident of the United States, currently employed full-time in a United States school system, institution of higher education, local education agency or state education agency (not applicable to students), and at least one of the following: A teacher in an elementary or secondary school (please see note below); A faculty member who teaches modern foreign languages or area studies; An experienced education administrator responsible for planning, conducting, or supervising programs in modern foreign languages or area studies at the elementary, secondary, or postsecondary levels; A graduate student or junior or senior in an institution of higher education, who is a prospective teacher in the areas of social sciences, humanities and foreign languages. The student should meet the provisions set by his or her local and state education agencies; or For the Advanced Overseas Intensive Language Training project, the participating student, other than those planning a teaching career, should be planning to apply their language skills and knowledge of countries vital to the United States national security in fields outside teaching, including government, the professions, or international development.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An institution or organization interested in the program should plan and propose a group project that would significantly improve its program in foreign language and area studies. Specific guidelines for preparation of proposals as published in the Federal Register are available upon request from the Office of International and Foreign Language Education (IFLE). The institution or organization must give assurance that the individuals it nominates to undertake the projects are appropriately qualified.

Award Procedure:

With the advice of a panel of academic consultants and an assessment of the feasibility and suitability of each proposal by U.S. Embassies and Binational Commissions in the proposed countries of study, the IFLE will make initial selections, and preliminary recommendations of grant recipients to the Secretary. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

For short-term seminar, curriculum development, and group research or study, projects range from 5 weeks to 12 months; projects are up to 48 months for advanced intensive language training projects. Method of awarding/releasing assistance: other

Reports:

Interim progress, terminal program, and financial reports from the grantee are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit requirements as described in 34 CFR 74.26 do not pertain to this program. All fiscal records are subject to audit at any time within five years after close of the fiscal year in which expenditures are made.

Records:

Documents bearing on receipt and expenditure of grant funds must be made available for inspection by the Department: (1) For three years after the close of the fiscal year in which expenditures are made if departmental audit has occurred by that time; (2) until audit or for five years following the end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$3,057,454.00; FY 21 est \$2,492,713.00; FY 22 est \$2,492,813.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 664 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Tanyelle Richardson,,
International and Foreign Language Education, Office of Postsecondary Education,
Department of Education, 400 Maryland Ave., SW,
Washington DC 20202

Email: tanyelle.richardson@ed.gov

Phone: (202) 453-6391

Website Address:

<http://www.ed.gov/programs/iepgsgpa/>

RELATED PROGRAMS:

84.229 Language Resource Centers; 84.016 Undergraduate International Studies and Foreign Language Programs; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 19.400 Educational Exchange_Graduate Students; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.018 Overseas Programs_Special Bilateral Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 664.31 and are as follows:

- Plan of operation (20 points),
- quality of key personnel (10 points),
- budget and cost effectiveness (10 points),
- evaluation plan (20 points),
- adequacy of resources (5 points),
- potential impact of the project on the development of the study of modern foreign languages and area studies in American education (15 points),
- relevance to the institution's educational goals and its relationship to its program development in modern foreign languages and area studies (5 points),
- the extent to which direct experience abroad is necessary to achieve the project's objectives and the effectiveness with which relevant host country resources will be utilized (10 points), and
- the extent to which the

proposed project addresses the competitive preference priorities (10 points). Points are subject to change. Contact program officer for further information.

84.022 Overseas Programs - Doctoral Dissertation Research Abroad

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256

OBJECTIVES:

To provide opportunities for graduate students to engage in full-time dissertation research abroad in modern foreign language and area studies with the exception of Western Europe. This program is designed to contribute to the development and improvement of the study of modern foreign languages and area studies in the United States.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds will be available to enable doctoral candidates to complete field research for their dissertations. Generally, awards will not be available for projects focusing primarily on Western Europe or countries where the United States has no diplomatic representation. Financial provisions include basic stipend computed on the cost-of-living in the country where research is to be conducted; cost of international round trip jet economy air fare for fellow only; baggage allowance, dependents allowance for accompanying dependents based on cost-of-living in host country; project allowance to be utilized for the purchase of expendable materials, services, and supplies, tuition payments to foreign institutions, and local travel expenditures; funds to secure health and accident insurance.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education may apply.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; U.S. Citizen; Education (13+); A candidate for Doctoral Dissertation Research Abroad Fellowship must: (1) be a (a) citizen or national of the United States; or (b) permanent resident of the United States; (2) be a graduate student in good standing at an institution of higher education who, when the fellowship period begins, has been admitted to candidacy in a doctoral degree program in modern foreign languages and area studies at that institution; (3) plan a teaching career in the United States upon graduation; (4) possess adequate skills in the foreign language(s) necessary to carry out the dissertation research project.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The institutional project director will be responsible for accepting, screening, and forwarding through the Department of Education's Application system to the Advanced Training and Research Team in the Office of International and Foreign Language Education in the Office of Postsecondary Education those individual applications which meet the institution's technical and academic criteria and the program's eligibility requirements. Candidates for dissertation research fellowships apply directly to the institutions at which they are enrolled in a Ph.D. program, not to the Department of Education.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Institutions should request application materials from the Advanced Training and Research Team. Students should address requests for application forms institutional project director at the graduate schools at which they are enrolled in a Ph.D. program.

Award Procedure:

The Advanced Training and Research Team in the Office of International and Foreign Language Education will make initial selection and recommendation of fellowship recipients with the advice of a panel of specialists in foreign language and area studies and comments by U.S. embassies and binational commissions in the proposed countries of research. Selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board. The grant covering successful graduate students is made to the applicant, i.e., institutions of higher education.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. About 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made for at least 6 but not more than 12 months. Method of awarding/releasing assistance: other

Reports:

Fellows: Pre-Fellowship reports through the grantee institution are due no later than 30 days prior to travel to begin research. Final reports through the grantee institution are due no later than 90 days after the termination date of the award. Institutions: fiscal reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

Records bearing on receipt and expenditure of funds to be made available for inspection by the Department for 3 years after close of fiscal year in which expenditures are made if departmental audit has occurred by that time, until audit or for five years following the end of the budget period in which the grant expired (whichever is later) or until resolution of any outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$4,265,723.00; FY 21 est \$5,502,677.00; FY 22 est \$5,492,000.00;

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final regulations published in 34 CFR 662, and in the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Dr. Pamela Maimer,
International and Foreign Language Education, Office of Postsecondary Education,
Department of Education, 400 Maryland Ave., SW,
Washington DC 20202

Email: ddra@ed.gov

Phone: (202) 453-6891

Website Address:

<http://www.ed.gov/programs/iegpsddrap/>.

RELATED PROGRAMS:

84.229 Language Resource Centers; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.021 Overseas Programs - Group Projects Abroad; 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.018 Overseas Programs_Special Bilateral Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 662.21 and are listed in the following paragraphs. The maximum score for all the criteria, including the competitive preference priority, is 105 points. The maximum score for each criterion is indicated in parentheses. Quality of proposed project (60 points): In determining the quality of the research project proposed by the applicant, the Secretary considers: (1) The statement of the major hypotheses to be tested or questions to be examined, and the description and justification of the research methods to be used (10 points); (2) the relationship of the research to the literature on the topic and to major theoretical issues in the field, and the project's originality and importance in terms of the concerns of the discipline (10 points); (3) the preliminary research already completed in the United States and overseas or plans for such research prior to going overseas, and the kinds, quality and availability of data for the research in the host country or countries (10 points); (4) the justification for overseas field research and preparations to establish appropriate and sufficient research contacts and affiliations abroad (10 points); (5) the applicant's plans to share the results of the research in progress and a copy of the dissertation with scholars and officials of the host country or countries (10 points); and (6) the guidance and supervision of the dissertation advisor or committee at all stages of the project, including guidance in developing the project, understanding research conditions abroad, and acquainting the applicant with research in the field (10 points). Qualifications of the applicant (40 points): In determining the qualifications of the applicant, the Secretary considers (1) the overall strength of the applicant's graduate academic record (10 points); (2) the extent to which the applicant's academic record demonstrates strength in area studies relevant to the proposed project (10 points); (3) the applicant's proficiency in one or more of the languages (other than English and the applicant's native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated language barriers (15 points); and (4) the applicant's ability to conduct research in a foreign cultural context, as evidenced by the applicant's references or previous overseas experience, or both (5 points). Subject to change. Contact program officer for further information.

84.027 Special Education Grants to States

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part B, Sections 611-618, , 20 USC Code 1411-1418.

OBJECTIVES:

To provide grants to States to assist them in providing special education and related services to all children with disabilities.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

States must distribute all grant funds that the State does not reserve for administration of the program or other State-level activities to eligible local educational agencies. Funds are used by State and local educational agencies, in accordance with the IDEA, to help provide the special education and related services needed to make a free appropriate public education available to all eligible children and, in some cases, to provide early intervening services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); State educational agencies in the 50 States, District of Columbia, Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Guam and Virgin Islands, the Department of the Interior, and freely associated States. Local educational agencies apply to their State educational agency for subgrants.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; School; Infant (0-5); Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); Children with disabilities will benefit.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the annual application and statement of assurances. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States are eligible to receive funds under this program if the State establishes eligibility for funds under Section 612 of Part B of the IDEA. Local education agencies establish eligibility by meeting local application eligibility requirements of Section 613 of Part B of the IDEA.

Award Procedure:

Once the State application has been approved, a grant award is forwarded to the State department of education. Notification of the award is made to Chief State School Officer. States make subgrants to eligible local educational agencies from their initial grant award after setting aside funds for administration and state-level activities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The time for reviewing the States application is determined by EDGAR (in 34 CFR 76.703) and ranges from 45 to 90 days.

Appeals:

Other. The Department must not take final action to disapprove an application until the State is provided reasonable notice and an opportunity for a hearing. The State must request a hearing no later than 30 days after it receives notice of the proposed final determination that the State is not eligible to receive its award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30. States and local education agencies have an additional 12-month period to obligate funds they receive. Method of awarding/releasing assistance: other

Reports:

State Performance Plans (SPPs) and Annual Performance Reports (APR) are submitted to the Department of Education from the State departments of education. 34 CFR 80.40. 34 CFR 80.41

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

Formula Grants FY 20 (\$120,509,888.00); FY 21 est (\$1,662,390,184.00); FY 22 est (\$1,642,390,184.00);

Range and Average of Financial Assistance:

In FY 2021, regular annual allotments to States, including DC and Puerto Rico, ranged from \$25.9 million to \$1.6 billion, with an average award of \$297.6 million. Grants to outlying areas ranged from \$6.3 million to \$20.3 million. The Department of Interior received \$100.0 million.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR 300, and 34 CFR 76-77,79,80-82,84-86, and 97-99.

Regional or Local Office:

Headquarters Office:

Gregg Corr,
Office of Special Education Programs, Department of Education, 400 Maryland Avenue, SW,
Washington DC 20202
Email: gregg.corr@ed.gov
Phone: (202) 245-7309

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.181 Special Education-Grants for Infants and Families; 84.373 Special Education_Technical Assistance on State Data Collection; 84.328 Special Education_Parent Information Centers; 84.326 Special Education_Technical

ASSISTANCE LISTINGS DESCRIPTIONS

Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.329 Special Education_Studies and Evaluations; 84.324 Research in Special Education; 84.323 Special Education - State Personnel Development; 84.173 Special Education_Preschool Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding based upon applications approved under IDEA, Section 612.

84.031 Higher Education Institutional Aid

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended (HEA). Title III, Part A, Part B and Part F, Title V, Part A and B.,

OBJECTIVES:

To help eligible colleges and universities to strengthen their management and fiscal operations and to assist such institutions to plan, develop, or implement activities including endowment building to strengthen the academic quality of their institutions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible institutions may apply under Part A, Part B or Part F of Title III of the HEA, or Title V of the HEA. Title III Part A includes Strengthening Institutions Program (SIP), American Indian Tribally Controlled Colleges and Universities (TCCUs), Alaska Native and Native Hawaiian-serving (ANNH) institutions, Asian American and Native American Pacific Islander-serving Institutions (AANAPISI), Native American-Serving Nontribal Institutions (NASNTI) and Predominantly Black Institutions (PBIs). Title III Part B includes the Historically Black Colleges and Universities (HBCUs) program and the Historically Black Graduate Institutions (HBGIs) program. Title III Part A provides funds to eligible institutions to plan, develop, and implement activities for development of faculty, fund, and administrative management, and improvement of academic programs, acquisition of equipment for use in strengthening academic programs and institutional management, and joint use of facilities such as libraries, laboratories, and student services. Title III Part B provides funds to undergraduate HBCUs and graduate HBGIs. Funds may be used for purchase, rental, or lease of scientific equipment; construction, maintenance, renovation, improvement in classroom, library and other instructional facilities; support of faculty exchanges and fellowships; academic instruction in disciplines in which African Americans are underrepresented, purchase of library books, periodicals, and other educational materials; tutoring, counseling, and student services; funds and administrative management; acquisition of equipment for use in funds management; endowment funds; and joint use of facilities. Title V Part A, Developing Hispanic Serving Institutions (HSIs) program provides funds to eligible HSIs for activities such as: faculty development; funds and administrative management; development and improvement of academic programs; endowment funds; curriculum development; scientific or laboratory equipment for teaching; construction or renovation of instructional facilities; joint use of facilities; academic tutoring; counseling programs; student support services, purchase of educational materials; articulation agreements; creating facilities for distance learning technologies; teacher education programs; K-12 community outreach programs; and the expansion of graduate and professional programs. Title V Part B, Promoting Postbaccalaureate Opportunities for Hispanic Americans program provides funds to eligible HSIs for activities such as: purchase or rental of scientific or laboratory equipment; construction or renovation of instructional facilities; purchase of educational materials; support for low-income postbaccalaureate students; support of academic instruction and faculty and curricular development; creating facilities for distance learning

technologies; and collaboration with other institutions of higher education to expand postbaccalaureate offerings. Title III, Part F, Section 371 authorizes funds for Hispanic-serving Institutions STEM and Articulation Programs to support the kinds of activities being supported under the existing Title V program, except that priority is given for applications that propose to increase the number of Hispanic and other low-income students attaining degrees in fields of science, technology, engineering, and mathematics (STEM) and to applications that propose to develop model transfer and articulation agreements between the 2-year and 4-year HSIs in such fields. Funds provided for Title III and Title V may not be used for activities other than those in an approved application, activities that are inconsistent with any applicable State plan of higher education or State plan for desegregation, a school or department of divinity or any religious worship or sectarian activity; general operating and maintenance expenses; indirect costs; or supplanting of other funds available to the institution. These programs are subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. In FY 2021, the Administration proposes to combine budget authority in the PPOHA, Strengthening ANNH, Strengthening AANAPISI, Strengthening PBI, Developing HSI, and Strengthening NASNTI programs into a new Consolidated MSI Grant program. Our plan is to fund the same Title III/V programs that normally receive separate funding; however, the plan is to collapse all of the funding into one program and award funding to all eligible institutions using a formula instead of awarding the funds competitively. In last years Guide, we included the funding as separate programs even though the programs were proposed for consolidation in the 2020 CJ (probably because we feel Congress will continue to fund them as separate programs despite our proposal to consolidate them).Consolidate single institutional formula grant program.For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; An institution of higher education (IHE) that qualifies as eligible using criteria as specified in the regulations. Under Part A, specific and basic requirements as stated in the program regulations must be met. Eligible applicants include institutions that have: (1) A low average per full-time equivalent (FTE) educational and general expenditures; (2) a substantial percentage of students having Pell Grants or other Federal need-based financial aid. However, a waiver of the low educational and general expenditure requirement and the needy student enrollment requirement may be granted to institutions meeting the criteria specified in the existing regulations. Under Part B HBCUs, historically Black institutions that were established prior to 1964, whose principal mission was, and is, the education of African Americans are eligible. A listing of those institutions is published in program regulations; these HBCUs are also eligible under the Endowment Challenge Grant Program. Institutions eligible for PBI program grants are defined in Title III Section 318(b) of the HEA. Institutions eligible for HBGI program grants are specified in Title III Section 326(e)(1) of the HEA. Under the Title V programs, an HSI is defined as an institution that has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic as specified in Section 502 of the HEA.

Beneficiary Eligibility:

Minority group; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; Black; American Indian; Asian; Public nonprofit institution/organization; Other public institution/organization; Applicant institutions of higher education, including those in the territories and possessions that meet statutory eligibility requirements will benefit.

Credentials/Documentation:

Institutions must document that they are accredited by a nationally recognized accrediting agency or that they are making satisfactory progress toward such accreditation. A 4-year institution must have authority to award a bachelor's degree. A 2-year institution must offer a program acceptable for full credit towards a bachelor's degree, or a 2-year program in engineering, mathematics, or the physical or biological sciences designed to prepare the student to work as a technician at a semiprofessional level. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for funding are available from the Institutional Services office at the Department of Education. Application deadlines are published in the Federal Register.

Award Procedure:

Title III Part A applicants in the SIP, ANNH, AANAPISI, and NASNTI programs and Title V applications are evaluated by external reviewers who are experts in postsecondary education. The Department makes final awards based primarily on the scores assigned to the applications by the external reviewers and the recommendations submitted by the staff of the Institutional Service, Office of Postsecondary Education at the Department of Education. Title III applicants in the TCCUs, PBIs, HBCUs, and HBGLs programs make final awards based on a statutory formula.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately six months.

Appeals:

Not Applicable.

Renewals:

Other. Under the SIP, priority is given to applicants who are not already receiving a grant. Institutions that received a 5-year individual development grant are not eligible to receive an additional grant until 2 years after the date on which the 5-year terminates. After fulfilling the wait-out requirement, institutions applying for another grant under the SIP must reapply for eligibility.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Under the SIP, TCCUs, ANNAPISIs, HBCUs, HBGLs, and HSIs, institutions of higher education may use no more than 20 percent of grant funds to establish or increase an institution's endowment fund. The endowment funds must be matched at a rate of one non-Federal dollar for each Federal dollar. Under the HBGLs program, eligible institutions that have project grants over \$1,000,000 must match the entire Federal share on a dollar-for-dollar basis. NASNTI does not have an endowment component. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Under the SIP, TCCUs, ANNH, AANAPISI and HSIs, planning grants are for a period of up to 1 year, individual development grants are for a period of 5 years. Method of awarding/releasing assistance: other

Reports:

As required by the Special Grant Terms and Conditions. Staff monitor grants drawdown using agency financial reports. Progress reports are required. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Subject to a Federal audit any time during the term of the grant and within a period of 3 years after the termination of Federal support.

Records:

Must be retained for 5 years after the end of the fiscal year during which the expenditures were made or until the grantee is notified that the records are no longer needed.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$83,995,000.00; FY 21 est \$87,313,000.00; FY 22 est \$102,313,000.00; Project Grants FY 20 \$12,838,000.00; FY 21 est \$13,845,000.00; FY 22 est \$28,845,000.00; Project Grants FY 20 \$32,435,000.00; FY 21 est \$33,189,000.00; FY 22 est \$68,189,000.00; Project Grants FY 20 \$4,444,000.00; FY 21 est \$5,120,000.00; FY 22 est \$20,120,000.00; Project Grants FY 20 \$64,863,000.00; FY 21 est \$66,370,000.00; FY 22 est \$140,370,000.00; Project Grants FY 20 \$107,854,000.00; FY 21 est \$109,007,000.00; FY 22 est \$209,007,000.00; Project Grants FY 20 \$404,777,000.00; FY 21 est \$417,774,000.00; FY 22 est \$649,774,000.00; Project Grants FY 20 \$143,081,000.00; FY 21 est \$148,732,000.00; FY 22 est \$236,732,000.00; Project Grants FY 20 \$13,197,000.00; FY 21 est \$14,218,000.00; FY 22 est \$23,218,000.00; Project Grants FY 20 \$94,100,000.00; FY 21 est \$94,300,000.00; FY 22 est \$290,300,000.00; Project Grants FY 20 \$4,444,000.00; FY 21 est \$5,120,000.00; FY 22 est \$12,120,000.00;

Range and Average of Financial Assistance:

Varies by competition

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 607, 608, 609, 628, the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

James Laws,
Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, Institutional Service, 400 Maryland Ave., SW,
Washington DC 20202
Email: james.laws@ed.gov
Phone: (202)453-7348

Website Address:

<http://www.ed.gov/about/offices/list/ope/dues>.

RELATED PROGRAMS:

84.382 Strengthening Minority-Serving Institutions; 84.033 Federal Work-Study Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(a) Under Title III and Title V, the Department appoints review panels to provide comments and recommend ratings for the applications. The reviewers numerically rate each application assigned and provide the Secretary with comments on each. Criteria are published in the Federal Register along with the point value for each criterion. (b) Under TCCUs, HBCUs, HBGLs, and PBIs, funds are allocated based on a statute-driven formula. For Strengthening TCCUs, applicants are limited to tribal colleges and universities, including institutions qualifying for funding under the Tribally Controlled College or University Assistance Act of 1978 or the Navajo Community College Assistance Act of 1978, or cited in section 532 of the Equity in Educational Land Grant Status Act of 1994. To be eligible to receive a grant under the Strengthening HBCUs program, an institution must be designated by the Secretary of Education as a Historically Black College or University. HBGLs

ASSISTANCE LISTINGS DESCRIPTIONS

receiving discretionary grants are specified in the program statute. To be eligible to receive a grant under the Strengthening PBIs program, an institution must have an enrollment of undergraduate students that is at least 40 percent Black American students; that is at least 1,000 undergraduate students of which not less than 50 percent of the undergraduate students enrolled at the institution are low-income individuals or first-generation college students (as that term is defined in Section 402A(g)); and of which not less than 50 percent of the undergraduate students are enrolled in an educational program leading to a bachelors or associates degree that the institution is licensed to award by the State in which the institution is located.

84.033 Federal Work-Study Program

FEDERAL AGENCY:

OFFICE OF FEDERAL STUDENT AID, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part C; 42 U.S.C. 2751-2756a.,

OBJECTIVES:

To provide part-time employment to eligible postsecondary students to help meet educational expenses and encourage students receiving program assistance to participate in community service activities.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Federal share of compensation paid to students does not exceed 75 percent for work on campus, or work in a public agency or private nonprofit organization, unless waived by the Secretary. The Federal share of compensation paid to students does not exceed 50 percent for jobs with a private-for-profit organization. No more than 25 percent of an institution's work-study allocation may be used for private-for-profit organizations. If it provides FWS employment to its students in that year, an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000 of its program expenditures, plus up to 4 percent for expenditures greater than \$2,750,000 but less than \$5,500,000, plus up to 3 percent of expenditures in excess of \$5,500,000. An institution is required to use at least 7 percent of its total FWS allocation to pay the Federal share of wages to students employed in community service jobs unless it receives a waiver.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Student/Trainee; Graduate Student; Youth (16-21); Low Income; Education (13+); Undergraduate, graduate, or professional students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution; have financial need; do not owe a refund on a Title IV grant; are not in default on a Title IV loan; file a statement of educational purpose; file a statement of registration compliance (Selective Service); and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Representatives of the appropriate ED Regional Office are available for consultation. See listing of ED Regional Offices in Appendix IV of the Catalog. Students should contact the institution(s) they attend or plan to attend.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Participating institutions submit a Fiscal Operations Report/Application to Participate in Federal Student Financial Aid programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Office of Federal Student Aid. New institutions submit only the application form.

Award Procedure:

The Office of Student Financial Aid determines final awards and notifies participating institutions. Postsecondary institutions determine individual student awards.

Deadlines:

October 01, 2019. Deadlines are specified on the application (usually October 1).

Range of Approval/Disapproval Time:

Other. Notices of tentative awards sent by February 1 and final award notifications sent by April 1.

Appeals:

Not Applicable.

Renewals:

Other. Annual.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part C, Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Under the funding formula, funds are distributed to institutions, first, on the basis of the institution's base guarantee plus the pro rata share received during the 1999-2000 award year under the FWS Program and, then, on the basis of the aggregate need of the eligible students in attendance. Employers of FWS recipients must contribute 25 percent of the funding, (except in the case of private, for-profit organizations, which must match 50 percent) and in the case of established criteria for which the matching requirement is waived. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application. Method of awarding/releasing assistance: other

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (DE 646-1) is submitted by each institution at the close of each award year (June 30). A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (DE 646-1) is submitted by each institution at the close of each award year (June 30).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits may also be performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to activities of a given fiscal year must be retained for a period of 3 years following the institution's submission of its Fiscal Operations Report for an award year or until final resolution of an applicable audit, whichever is later.

Account Identification:

91-0199-0-1-502. 91-0200-0-1-502.

Obligations:

Direct Payments for Specified Use FY 20 \$1,214,013,000.00; FY 21 est \$1,224,302,000.00; FY 22 est \$1,224,302,000.00;

Range and Average of Financial Assistance:

The average award in FY 2020 was \$1,881. The average award in FY 2021 and FY 2022 is estimated at \$1,881.

TAFS Codes:

91-0200.

ASSISTANCE LISTINGS DESCRIPTIONS

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Approximately 651,000 recipients are expected. Fiscal Year 2022: Approximately 651,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 675. Notification to Members of Congress, list of grantees, published annually or as required, no charge; Student Guide, no charge; Federal Student Aid Handbook, no charge; Federal Needs Analysis Methodology, no charge; Verification Handbook, no charge; Training Materials, no charge.

Regional or Local Office:

See Regional Assistance Locations. Students should contact the educational institution(s) they attend or plan to attend. Public and private nonprofit organizations should contact grantee educational institutions in their own State or multi-State areas. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Federal Student Aid Information Center,
Federal Student Aid (FSA), Department of Education, P.O. Box 84,
Washington DC 20044-0084
Email: studentaidhelp@ed.gov
Phone: (800) 433-3243.

Website Address:

<https://studentaid.ed.gov/sa/>

RELATED PROGRAMS:

84.044 TRIO_Talent Search; 84.042 TRIO_Student Support Services; 84.066 TRIO_Educational Opportunity Centers; 84.007 Federal Supplemental Educational Opportunity Grants; 84.047 TRIO_Upward Bound; 84.031 Higher Education_Institutional Aid; 84.063 Federal Pell Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.040 Impact Aid Facilities Maintenance

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VII, Section 7008

OBJECTIVES:

To maintain school facilities used to serve federally connected military dependent students that are owned by the Department of Education and operated by local educational agencies (LEAs), and to transfer those facilities to the LEAs, where appropriate.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To maintain federally owned school facilities and restore or improve them where appropriate to enable an LEA to accept ownership. The Department directly oversees construction projects, unless the LEA has agreed to accept transfer of the facility, in which case funds may be provided to the LEA to complete the project after the transfer.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; LEAs that operate school facilities owned by the Department of Education.

Beneficiary Eligibility:

Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Public elementary and secondary school children benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There is no application form for Section 7008 grants. Contact the program office for information.

Award Procedure:

The Department of Education makes final decisions to fund projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other: Contact the program office for this information.

Appeals:

Not Applicable.

Renewals:

Other. Approved construction projects may be revised during construction, subject to appropriate approvals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length and terms of an award will be established prior to the award. Method of awarding/releasing assistance: other

Reports:

In accordance with OMB Circular No. A-102 (Attachments H and I) and Education Department General Administrative Regulations (EDGAR) for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with OMB Circular No. A-102 (Attachment C) and Education Department General Administrative Regulations, for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems.

Account Identification:

91-0102-0-1-501.

Obligations:

Project Grants FY 20 \$4,835,000.00; FY 21 est \$4,835,000.00; FY 22 est \$4,835,000.00;

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

91-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

Regulations are published at 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Faatimah Muhammad,
Department of Education, OESE Impact Aid Programs, 400 Maryland Ave., S.W.,
Room, 3C-106,,
Washington DC 20202
Email: faatimah.muhammad@ed.gov
Phone: 2024536827

Fax:

Website Address:

<http://www.ed.gov/about/offices/list/oese/impactaid/index.html>

RELATED PROGRAMS:

84.041 Impact Aid;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Emergency repairs are funded as needed. Priority for more extensive projects is given to LEAs that agree to accept transfer of the school facility.

84.041 Impact Aid

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act (OESE), as amended, Title VII,

OBJECTIVES:

To provide financial assistance to local educational agencies (LEAs) where affected by Federal activities, i.e., where the tax base of a district is reduced through the Federal acquisition of real property (Section 7002, ESEA), and where the presence of certain children living on Federal property places a financial burden on the LEAs that educate them (Sections 7003 and 7007, ESEA).

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Under formula awards, most assistance becomes part of the general operating fund of the LEAs. Districts usually deposit the Federal payment in the general operating funds account in accordance with State laws, together with all State and local funds available for current operating expenses and use this combined account to finance the school program in the district. Special additional payments made to LEAs related to enrollments of federally connected children with disabilities must be used for programs and projects designed to meet the special educational and related needs of these children. Payments for construction may be used for construction and renovation, debt service, or other capital fund activities. Under discretionary construction awards, funds must be used for the project grant described in the application and grant award notification. The discretionary construction grant program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Local educational agencies that provide free public elementary or secondary education may apply. Under Section 7002, generally, assistance is provided to districts where an aggregate of 10 percent or more of the assessed valuation of all real property in the school district as of the time(s) of acquisition has been acquired by the Federal Government since 1938 and the district is not being substantially compensated by revenue from activities on the eligible Federal property. Under Section 7003, assistance is provided to districts where at least three percent or 400 of the total number of eligible federally

connected children in average daily attendance (ADA) live on Federal property including Indian lands and/or have a parent who works on Federal property or is on active duty in the uniformed services. Under Section 7007(b), "heavily impacted" LEAs that enroll a high proportion (at least 40 percent) of federally connected children, or have little or no funding capacity, may be eligible for a competitive construction award.

Beneficiary Eligibility:

School; Child (6-15); Youth (16-21); Public elementary and secondary school children benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted to the Secretary of Education and a copy is sent to the State educational agency (SEA). Applications become available on the Department's e-Grants web site annually, typically in mid November. Applications must show the membership of federally connected children on the survey date chosen by the school district within the period prescribed by the Secretary.

Award Procedure:

Funds are generally made available when an appropriation is made.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact the Program Office for this information.

Appeals:

Other. An administrative law hearing is available for any LEA or State adversely affected by any action of the Secretary. The hearing must be requested under the time frame included under the program regulations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title VII, Sections 7002, 7003, and 7007(a)., Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Under Section 7002, payments are determined under a statutory formula that considers the assessed valuation of the property acquired by the Federal government and the LEA's local tax rate for current expenditures. Under Section 7003, payments are determined under a statutory formula that considers the average daily attendance of federally connected students for the previous year, the per-pupil expenditure average from the third preceding year, the weight in the statute attached to certain categories of federally connected students, the total current expenditures of the LEA, and the total membership of the LEA. Under Section 7007(a), payments are determined under a statutory formula that considers the average daily attendance of children living on Indian lands and dependents of the military living on and off base. Under Section 7007(b), payments are awarded on a competitive basis to certain eligible LEAs that receive Impact Aid.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Payments are made annually to eligible LEAs. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The program staff conducts mail-in or on-site reviews to verify application data

ASSISTANCE LISTINGS DESCRIPTIONS

and collect additional required information.

Records:

In accordance with the General Education Provision Act and program regulations, an LEA generally must retain records supporting its payment amount for 3 years after the last payment for a fiscal year.

Account Identification:

91-0102-0-1-501.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$17,406,000.00; FY 22 est \$17,406,000.00; Formula Grants FY 20 \$1,405,964,000.00; FY 21 est \$1,402,558,000.00; FY 22 est \$1,442,558,000.00; Formula Grants FY 20 \$75,313,000.00; FY 21 est \$76,313,000.00; FY 22 est \$76,313,000.00;

Range and Average of Financial Assistance:

For FY 2021, the estimated range of awards for Basic Support payments is expected to be between \$380-\$56,000,000 with an average award of \$1,320,000; for Payments for Children With Disabilities, the estimated range of awards is expected to be between \$550-\$1,270,000 with an average award of \$60,000.

TAFS Codes:

91-0102.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are included in 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Faatimah Muhammad,
Department of Education, OESE Impact Aid Programs, 400 Maryland Ave., S.W.,
Room, 3C106,,
Washington DC 20202
Email: faatimah.muhammad@ed.gov
Phone: 2024536827

Fax:

Website Address:

<http://www.ed.gov/about/offices/list/oease/impactaid/index.html>.

RELATED PROGRAMS:

84.040 Impact Aid_Facilities Maintenance;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available. 2021 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Payments are computed on the basis of the statutory formula. Payments are prorated if appropriations are insufficient.

84.042 TRIO Student Support Services

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402D.

OBJECTIVES:

To provide supportive services to disadvantaged college students to enhance their potential for successfully completing the postsecondary education programs in which they are enrolled and increase their transfer rates from 2-year to 4-year institutions. To foster an institutional climate supportive of the success of disadvantaged college students.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All projects must provide academic tutoring, advice on postsecondary course selection, financial aid counseling, services to improve financial and economic literacy, assistance in applying for graduate and professional programs, and activities to help students in 2-year institutions enroll in 4-year programs. Projects may also provide personal and career counseling; exposure to cultural events; mentoring; services to secure temporary housing during academic breaks for students who are homeless; activities for students with disabilities or limited English proficiency, homeless students, and students in foster care; and grant aid (not to exceed 20 percent of a project's funds).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Institutions of higher education and combinations of institutions of higher education may apply.

Beneficiary Eligibility:

Student/Trainee; Education (13+); Low-income, first generation college students or disabled students who are enrolled or accepted for enrollment at the institution that is the recipient of the grant and who are in need of academic support in order to successfully pursue a program of postsecondary education. At least two-thirds of the project participants must be disabled or must be low-income individuals who are first generation college students. The remaining participants must be disabled, low-income individuals, or first generation college students. One-third of the disabled participants must be low-income. Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State Plan is required. Requests for program applications should be made to the Student Service division at the Office of Postsecondary Education. This program is subject to the provisions of EDGAR.

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 646.21 and 646.22. Grants are awarded through the Federal TRIO Programs office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 to 180 days.

Appeals:

Other. Yes. The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 646.24. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in

ASSISTANCE LISTINGS DESCRIPTIONS

the processing or review of its application.

Renewals:

Other. The grant period is 5 years. Continuation awards are approved on an annual basis; subject to the availability of funds and evidence that the grantee is meeting the conditions of the grant and making substantial progress towards meeting the project objectives.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Any grantee that uses funds for student grant aid must match the funds, in cash, from non-Federal funds, in an amount that is not less than 33 percent of the total amount of student grant aid funds, unless the grantee is eligible for a waiver to the match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five year projects, with annual continuation awards. Continuation awards are subject to the availability of funds and satisfactory progress by the grantee. Method of awarding/releasing assistance: other

Reports:

Performance reports are required on an annual basis. Progress reports are required for a project in its first year of funding. Staff monitor grant drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with Education Department General Administrative Regulations, 34 CFR 75.702 and 74.61.

Records:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$365,918,000.00; FY 21 est \$364,091,000.00; FY 22 est \$400,490,000.00;

Range and Average of Financial Assistance:

Awards in 2020 ranged from \$154,714 to \$1,651,388; the average award was \$317,532.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, 34 CFR 646 and Education Department General Administrative Regulations (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

James Davis,
Department of Education, Office of Postsecondary Education, 400 Maryland Ave., SW.,
Washington DC 20202
Email: james.davis@ed.gov
Phone: 2024537814
Fax:

Website Address:

<http://www.ed.gov/programs/triostudsupp/>

RELATED PROGRAMS:

84.217 TRIO_McNair Post-Baccalaureate Achievement; 84.044 TRIO_Talent Search; 84.066 TRIO_Educational Opportunity Centers; 84.047 TRIO_Upward

Bound; 84.103 TRIO Staff Training Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Continuation awards are made on the basis of 34 CFR 75.253. For new awards, applicants will be selected under this part on the basis of the criteria set forth in 34 CFR 646.21 and 646.22. Contact the program office for additional information.

84.044 TRIO Talent Search

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402B, 20 U.S.C. 1070a-12., , 20 USC Code 1070a-12.

OBJECTIVES:

To identify qualified disadvantaged youths with potential for postsecondary education; to encourage them in completing secondary school and in enrolling in programs of postsecondary education; to publicize the availability of, and facilitate the application for, student financial aid; and to increase the number of secondary and postsecondary school dropouts who reenter and complete an educational program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are awarded to institutions of higher education, public and private agencies and organizations, combinations of such entities, and secondary schools, to identify qualified disadvantaged youths with potential for postsecondary education, encourage them to complete secondary school and undertake postsecondary educational training, and publicize existing forms of student aid. Projects must provide connections to academic tutoring services, advice on and assistance in selecting secondary and college courses, assistance in preparing for college entrance exams and in completing college applications, information on student financial aid and assistance in completing financial aid applications, connections to services designed to improve financial and economic literacy, and guidance and assistance in re-entering and completing secondary school. Projects may also provide academic tutoring personal and career counseling; information on career options, exposure to college campuses, workshops and counseling for families of students served, mentoring programs involving school teachers and counselors; and services specially designed for students with disabilities or limited English proficiency, homeless children and youth, and students in foster care. A grantee may not use the project as a part of its recruitment program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education (IHEs), public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program, secondary schools.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; School; Youth (16-21); Low Income; Education (9-12); Education (13+); Individuals residing in the target area or attending a target school who have potential for education at the postsecondary level and who can benefit from one or more of the services provided by the project. Two-thirds must be low-income individuals who are also potential first generation college students. Project participants must be between 11 and 27 years old (exceptions allowed). Required low-income criteria for participants are stated in

ASSISTANCE LISTINGS DESCRIPTIONS

application materials.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State plan is required. Requests for program applications should be made to the Federal TRIO Programs. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 643.21 and 34 CFR 643.22. New regulations are being developed. Consult the program office for details. Grantees will be selected on the basis of satisfactory proposals and availability of funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. About 90 to 180 days.

Appeals:

Other. The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 643.24. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Other. Awards are for five year project periods. Continuation awards are approved on an annual basis, subject to the availability of funds and the grantee meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five year projects, annual awards. Method of awarding/releasing assistance: other

Reports:

Grantees must submit an annual performance report. Progress reports (form ED 524B) are required for a project in its first year of funding. Staff monitor grantees' drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR (74.26, 75.702, 75.910, 74.61.)

Records:

In accordance with EDGAR 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$168,386,000.00; FY 21 est \$171,180,000.00; FY 22 est \$171,179,000.00;

Range and Average of Financial Assistance:

Varies by competition. The statutory minimum is \$200,000, unless the applicant requests a smaller amount.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 34 Code of Federal Regulations Part 643 Education Department General Administrative Regulations (EDGAR), 34 CFR Parts 74, 75, 77, 79, 82, 84, 85, and 86.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Craig Pooler,
Student Service Division, Office of Postsecondary Education, Department of
Education, 400 Maryland Ave., SW,
Washington DC 20202
Email: craig.pooler@ed.gov
Phone: (202) 453-6195

Website Address:

<http://www.ed.gov/programs/trioalent/>

RELATED PROGRAMS:

84.217 TRIO_McNair Post-Baccalaureate Achievement; 84.042 TRIO_Student Support Services; 84.066 TRIO_Educational Opportunity Centers; 84.047 TRIO_Upward Bound; 84.103 TRIO Staff Training Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For new awards, the Department will select applicants under the criteria set forth in 643.21 and 643.22 of the program regulations. Contact the program office for additional information.

84.047 TRIO Upward Bound

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Subpart 2, Title IV, Part A, Section 402C, 20 USC Code 1070a-13.

OBJECTIVES:

To generate skills and motivation necessary for success in education beyond high school among low-income and potential first-generation college students and veterans. The goal of the program is to increase the academic performance and motivational levels of eligible enrollees so that such persons may complete secondary school and successfully pursue and complete a postsecondary educational program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects must provide academic tutoring services, advice on and assistance in selecting secondary and college courses, assistance in preparing for college

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entrance exams and in completing college applications, information on student financial aid and assistance in completing financial aid applications, services designed to improve financial and economic literacy, and guidance and assistance in re-entering and completing secondary school. Projects may also provide exposure to cultural events, academic programs and other activities not usually available to disadvantaged youth; personal and career counseling; information on career options; summer college campus residential programs; services specially designed for students with disabilities or limited English proficiency, homeless children and youth, and students in foster care; and to pay stipends to students, not to exceed \$40 a month during the academic year and \$60 a month during the summer. A grantee may not use the project as part of its recruitment program. Separate projects provide special services for veterans and for students with a special interest in math and science.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education, public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program, secondary schools.

Beneficiary Eligibility:

Student/Trainee; Youth (16-21); Education (9-12); Education (13+); Low-income individuals and potential first generation college students who have a need for academic support in order to successfully pursue a program of postsecondary education. Two-thirds of the participants must be low-income individuals who are also potential first generation college students. The remaining one-third must be either low-income, first-generation college students, or students who have a high risk for academic failure. Required low-income criteria are stated in application materials. Except for veterans, who can be served regardless of age, project participants must be between 13 and 19 years old and have completed the eighth grade but have not entered the twelfth grade (exceptions allowed).

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. OMB Circular No. A-122 applies to this program (non-profit organization). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with regulations, application and timetables issued by the Secretary of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Programs, College and University Preparation and Support Team. This program is subject to the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers who are not employees of the Federal Government evaluate new proposals on the basis of the selection criteria specified in 34 CFR 645.31 and 34 CFR 645.32. Grantees will be selected on the basis of rank order of total scores and availability of funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days.

Appeals:

Not Applicable. The program statute requires a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 645.35. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Other. Continuation awards for up to 5 years approved on an annual basis; subject to the availability of funds and the grantee is meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five year projects; annual awards. Method of awarding/releasing assistance: other

Reports:

Performance reports are required on an annual basis. Progress reports are required for a project in its first year of funding. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 75.702, 74.61.

Records:

In accordance with (EDGAR) 34 CFR 75.730, 75.731, and 75.732 and 34 CFR 645 43(c).

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$352,094,000.00; FY 21 est \$355,968,000.00; FY 22 est \$473,265,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 645.

Regional or Local Office:

See Regional Assistance Locations. Department of Education regional offices. See Appendix IV in the Catalog.

Headquarters Office:

Ken Waters,
Student Service Division, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW,
Washington DC 20202
Email: ken.waters@ed.gov
Phone: (202) 453-6273.

Website Address:

<http://www.ed.gov/programs/trioupbound/>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For new awards, applicants will be selected on the basis of the criteria set forth in 34 CFR 645.31 and 645.32. Contact the program office for additional information.

84.048 Career and Technical Education -- Basic Grants to States

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, as amended by the Strengthening Career and Technical Education for the 21st Century Act (Perkins V), Title I, , Public Law 109-270, 20 USC Code 2321.

OBJECTIVES:

To develop more fully the academic knowledge and technical and employability skills of secondary education students and postsecondary education students who elect to enroll in career and technical education programs and programs of study.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are used by eligible agencies and their eligible recipients (local subgrantees), in accordance with Perkins V, to provide career and technical education. Each State must distribute at least 85 percent of its award to eligible recipients to fund secondary and postsecondary institutions that provide career and technical education services, of which the State may choose to award an amount equivalent to not more than 15 percent of the 85 percent to make awards to eligible recipients in rural areas, areas with high percentages or high numbers of career and technical education concentrators or participants, or areas with performance gaps, or to foster innovative and promising CTE programs or promote programs of study and career pathways that are aligned with State-identified high-skill, high-wage, or in-demand occupations or industries. Each State may keep up to 5 percent (or \$250,000, whichever is greater) of its award for State administration and up to 10 percent of its award to fund leadership activities (and from that amount, it must reserve an amount equivalent to 2 percent of its award to assist individuals in State institutions and between \$60,000, \$150,000 to fund services to prepare individuals for non-traditional fields; and the lesser of .1 percent or \$50,000 to recruit special populations for CTE programs). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, pursuant to 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770 .

Applicant Eligibility:

State; The Department of Education makes formula grants to State boards for career and technical education or the agency responsible for overseeing career and technical education in States. Eligible recipients for formula subgrants to carry out secondary career and technical education programs include local educational agencies, area career and technical education schools, educational service agencies, Indian Tribes, Tribal organizations, or Tribal educational agencies or a consortia, eligible to receive assistance under section 131 of Perkins V. Eligible recipients for formula subgrants to carry out postsecondary career and technical education programs consortia of 2 or more of the following: (1) a public or nonprofit private institution of higher education that offers and will use program funds to support of career and technical education courses that lead to technical skill proficiency or a recognized postsecondary credential, including an industry-recognized credential, a certificate, or an associate degree; (2) a local educational agency providing education at the postsecondary level; (3) and area career and technical education school providing education at the postsecondary level; (4) an Indian Tribe, Tribal organizations, or Tribal education agency that operates a school or may be present in the State;(5) a postsecondary educational institution controlled by the Bureau of

Indian Education or operated by or on behalf of any Indian Tribe; (6) a tribally controlled college or university; or (7) an educational service agency.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; State; Local; School; Child (6-15); Youth (16-21); Education (9-12); A wide range of individuals pursuing career and technical education will benefit.

Credentials/Documentation:

The establishment of an Eligible Agency, consistent with the definition of that term in section 3(18) of Perkins V. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible agencies submit 4-year State plans for career and technical education, and annual revisions, to the Department pursuant to section 122 of Perkins V. However, the outlying areas, except for Palau, are eligible to submit an application for Perkins V funding under the Consolidated Grants to Insular Areas Program. See CFDA 84.403. Eligible recipients submit local plans to the eligible agency in their States pursuant to section 134 of Perkins V.

Award Procedure:

Once the Secretary approves an eligible agency's 4-year State plan or annual revisions, the Department forwards the grant award to the State official designated by the eligible agency and notifies the State director for CTE. States make subgrants to eligible recipients from their grant awards after setting aside funds for State administration and State leadership activities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The submission date for the State plan or annual revisions is generally late March or early April is set in guidance issued annually by the Department. Within 120 days.

Appeals:

Other. The Secretary shall not finally disapprove a State plan, except determining that the plan does not meet requirements of the Act and after giving the eligible agency notice and opportunity for a hearing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter , Part A, Sec. 111, Subpart ,Public Law 115-224. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Section 112(b) of the Perkins Acts requires 50/50 matching for State administration, which is also subject to the hold-harmless requirement imposed by section 323. An outlying area that submits an application for its Perkins V funds under the Consolidated Grants to Insular Areas Program is not required to match. See CFDA 84.403. Section 211(b) of the Perkins Act describes maintenance-of-effort requirements. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Generally, annual grants to eligible agencies are made on July 1 and October of the same year and funds are available for obligation until September 30 of the following year. In addition, funds remain available to the eligible agencies for an additional fiscal year for obligation and expenditure under section 421(b) of the General Education Provisions Act, as amended (GEPA). Method of awarding/releasing assistance: other

Reports:

OCTAE'S Consolidated Annual Report (CAR) for Perkins V requires annual financial status reports, performance data reports, and program narrative reports. Eligible agencies must also submit Office for Civil Rights Compliance Reports.

ASSISTANCE LISTINGS DESCRIPTIONS

Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by 2CFR 200.333. Generally, the eligible agency and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 2 CFR 200.333. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review. See also section 443 of GEPA.

Account Identification:

91-0400-0-1-501.

Obligations:

Formula Grants FY 20 \$1,282,598,000.00; FY 21 est \$1,334,848,000.00; FY 22 est \$1,354,848,000.00;

Range and Average of Financial Assistance:

The range or awards in FY 2020 was \$5,135,742 to \$128,798,161. The range or awards in FY 2021 was \$5,392,734 to \$133,55,412. The estimated range or awards in FY 2022 is \$5,491,104 to \$135,433,771.

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made awards to 52 SEAs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations may be found at the Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99. The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485 also apply. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474, also apply. OCR Guidelines for Vocational Education and CFR Parts 100-101, 104-106, 108, and 110. Other information may be found at the program website.

Regional or Local Office:

Headquarters Office:

Sharon Lee Miller,,
Department of Education, OCTAE Division of High School, Postsecondary and Career Education, 400 Maryland Ave., S.W.,
Washington DC 20202
Email: sharon.miller@ed.gov
Phone: (202) 245-7846.

Website Address:

<http://cte.ed.gov>

RELATED PROGRAMS:

84.051 Career and Technical Education -- National Programs;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Eligible agencies qualify for funding based upon their State plans and annual revisions approved under Perkins V, section 122.

84.051 Career and Technical Education -- National Programs

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Section 114 of the Carl D. Perkins Career and Technical Education Act of 2006, as amended by the Strengthening Career and Technical Education Act for the 21st Century (Perkins V), , Public Law P.L. 115-224, 20 USC Code 2324.

OBJECTIVES:

To provide support, directly or through grants, contracts, or cooperative agreements, for research, development, demonstration, dissemination, evaluation, assessment, capacity-building, and technical assistance activities aimed at improving the quality and effectiveness of career and technical education (CTE) programs authorized under the Perkins Act V.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards are made to support activities designed to improve career and technical education. Announcements of grant competitions are published in the Federal Register. Contract competitions are announced in the FedBizOps.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Depending on the activity to be funded, eligible applicants may include institutions of higher education or consortia of institutions of higher education, public or private nonprofit organizations, or consortia thereof, and State boards designated as the sole State agencies for the administration of State career and technical education, or consortia thereof. Applicants under Sec. 114(e) must be eligible entities as specified in Sec. (3)(19).

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; State; Local; School; Child (6-15); Youth (16-21); Education (9-12); Education (13+); CTE sole State agencies; local educational agencies; CTE providers, and CTE students.

Credentials/Documentation:

Signature by authorized designated officials on the grant application or contract proposal. For State agencies, allowability of costs is determined in accordance with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for grants must be prepared in accordance with the regulations in 34 CFR Part 75, the Department's notices inviting applications, and any notices announcing requirements, priorities, and selection criteria that the Department may publish. Proposals for contracts must be prepared in accordance with program announcements published in the FedBizOps.

Award Procedure:

Applications are reviewed in accordance with section 114 of Perkins V and with the requirements set forth for application notices and any notices announcing requirements, priorities, and selection criteria that the Department may publish in the Federal Register for a given competition and the regulations at 34 CFR Part 75.

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Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 to 120 days.

Appeals:

Other. There are no appeal rights for denial of grants funded under section 114 of Perkins V. For contracts the Federal Procurement Regulations, 41 CFR 1, 2, 407, and 408 apply.

Renewals:

Other. Terms for continuation awards are specified in the Federal Register notices inviting grant applications. Continuation awards are subject to the availability of appropriations and the grant recipient's performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Matching requirements may apply for specific grant programs as indicated in the programs notice inviting applications. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum award period varies by activity. Annual continuation awards are subject to the availability of appropriations and contingent on acceptable recipient performance. Method of awarding/releasing assistance: other

Reports:

Specific contract reporting requirements are contained in the Request for Proposals and contract documents. Reporting requirements for grants are required in the regulations at 34 CFR 74 and 75. Reports are required annually. Reports are required annually. Reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Under OMB Circular A-133, recipients that are institutions of higher education, other non-profit organizations, or State and local governments, are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years after completion of the activity for which the funds are used.

Account Identification:

91-0400-0-1-501.

Obligations:

Project Grants FY 20 \$7,421,000.00; FY 21 est \$7,421,000.00; FY 22 est \$115,421,000.00;

Range and Average of Financial Assistance:

Varies by program competition.

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and Federal Register program notices, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Robin Utz,
Department of Education, OCTAE Division of Academic and Technical Education,
400 Maryland Ave., S.W.,
Washington DC 20202
Email: robin.utz@ed.gov

Phone: (202)245-7767

Website Address:

<https://www2.ed.gov/programs/venp/index.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each request for proposal and notice inviting grant applications contains either evaluation criteria or selection criteria, which are applied in the review and selection of contract or grant applications for funding. (See also 34 CFR Part 75 for the menu of the Department's generic selection criteria.)

84.060 Indian Education Grants to Local Educational Agencies

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title VI, Part A, Subpart 1,

OBJECTIVES:

To address (1) the unique educational and culturally related academic needs of Indian students, so that these students can meet the challenging state performance standards expected of all students, (2) to ensure that Indian students gain knowledge and understanding of Native communities, languages, Tribal histories, traditions, and cultures; and (3) to ensure that teachers, principals, other school leaders and other staff who serve Indian students have the ability to provide culturally appropriate and effective instruction. This program is the Department's principal vehicle for addressing the particular needs of Indian children.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants supplement the regular school program, helping Indian children sharpen their academic skills, assisting students in becoming proficient in the core content areas, and providing students an opportunity to participate in enrichment programs that would otherwise be unavailable. Funds support such activities as Native American language programs, after-school programs, tutoring, and dropout prevention. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Federally Recognized Indian Tribal Governments; Local educational agencies (LEAs) that enroll at least 10 Indian children or in which Indians constitute at least 25 percent of the total enrollment. These requirements do not apply to LEAs serving Indian children in Alaska, California, and Oklahoma or located on, or in proximity to, an Indian reservation. Schools that receive funding from the Department of the Interior (DOI) Bureau of Indian Education (BIE) under Section 1130 of the Education Amendments of 1978, 25 U.S.C. 2001, are eligible to participate in this program. If an eligible LEA does not apply, an Indian tribe or an Indian organization that makes up at least half of the LEAs' Indian students may apply in lieu of the LEA. If no eligible LEA or Indian tribe or Indian organization applies for a grant, an Indian community-based organization may apply.

Beneficiary Eligibility:

Minority group; Education Professional; Student/Trainee; American Indian; Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); Eligible Indian children enrolled in eligible local educational agencies, BIE-

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funded schools, Indian organizations, and Indian Community Based Organizations.

Credentials/Documentation:

The applicant must certify the number of eligible Indian children enrolled. The grant award is computed based on this information. An applicant must also assure that it has carried out a number of other required activities, including: holding a public hearing, establishing an Indian parent committee, if the applicant is an LEA, and making arrangements for an evaluation of the effectiveness of the project. No grant will be made unless the applicant has the written approval of the applicant's Indian parent committee. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The standard application forms as furnished by the Federal agency must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The LEA annually submits to the Department of Education an application describing its proposed project. Application forms are available from the headquarters office.

Award Procedure:

Awards are issued annually directly to the applicant. Awards are made to all applicants that meet the applicable requirements of the Act and that have designed a project that meets the statutory requirements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 160 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title ESEA, Title VI, Chapter , Part A, Subpart 1, Section 6113, Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for up to 12 months and funds must be spent or obligated within the project period. Payments are made by direct deposit upon request by LEAs. Method of awarding/releasing assistance: other

Reports:

Financial and performance reports are due within 90 days of the termination of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained 3 years after the completion of the activity for which grant funds were used.

Account Identification:

91-0101-0-1-501.

Obligations:

Formula Grants FY 20 \$105,381,000.00; FY 21 est \$105,381,000.00; FY 22 est \$110,381,000.00;

Range and Average of Financial Assistance:

Range of New Awards: \$4,000-\$2,652,209; Average New Award: \$80,772.

TAFS Codes:

91-0101.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 78, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Crystal Moore,
Department of Education, Office of Elementary and Secondary Education, Office of Indian Education, 400 Maryland Avenue, SW,
Washington DC 20202

Email: crystal.moore@ed.gov

Phone: (202) 453-5593

Website Address:

<http://www.ed.gov/about/offices/list/oese/oie/programs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available. 2021 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in EDGAR; contact the program office for additional information.

84.063 Federal Pell Grant Program

FEDERAL AGENCY:

OFFICE OF FEDERAL STUDENT AID, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended.,

OBJECTIVES:

To provide eligible undergraduate postsecondary students who have demonstrated financial need with grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in, and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a professional certification in teaching. Applicants must demonstrate need according to Part F of the Higher Education Act of 1965, as amended.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans);

ASSISTANCE LISTINGS DESCRIPTIONS

Undergraduate students and students pursuing a teaching certificate enrolled as regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma (or its equivalent); or, for students enrolled prior to July 1, 2012, a demonstrated ability to benefit from the program offered; or, have successfully completed six credits.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Student/Trainee; Education (13+); Undergraduate students and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens and meet financial need criteria. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's financial eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the Federal Pell Grant, using a payment schedule developed by the Department of Education that determines the amount of the award based on the student's expected family contribution, cost of attendance, and enrollment status.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Other. An institution, on the basis of supplemental documentation, may rule that an applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Other. Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application which contains preprinted student data and requires students only to update certain information. However, eligibility is still annually determined.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter , Part F, Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Federal Pell Grant eligibility determinations are based on Part F of the HEA, as amended. This national need analysis formula determines financial eligibility for Federal Pell grants and other Federal student aid and is applied uniformly to all

applicants. This formula determines a student's "expected family contribution" (EFC). The fundamental elements of this need analysis formula are the parents' and/or the student's income and assets (excluding home), the family's household size, and the number of family members attending postsecondary institutions. The EFC is determined as the sum of: (1) A percentage assessment of net income (remaining income after subtracting allowances for basic living expenses) and (2) a percentage assessment of net assets other than a home (remaining assets after subtracting an asset protection allowance).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Students are able to receive one Federal Pell Grant during any award year (July 1 through June 30). Funds for the Federal Pell Grant are usually disbursed at least twice during an award year. Beginning with the 20172018 award year, students can receive Federal Pell Grant funds for up to 150 percent of the students Federal Pell Grant scheduled award for an award year. To be eligible for the additional Federal Pell Grant funds, the student must be otherwise eligible to receive Federal Pell Grant funds for the payment period and must be enrolled at least half-time in the payment period(s) for which the student receives the additional Federal Pell Grant funds in excess of 100 percent of the students Federal Pell Grant scheduled award. Students may only receive a Federal Pell Grant until they have received a bachelor's degree. See the following for information on how assistance is awarded/released: Electronic transfer. Method of awarding/releasing assistance: other

Reports:

Institutions will be required to furnish reports periodically on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students. Institutions will be required to furnish reports periodically on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All records pertaining to the eligibility of each Federal Pell Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0200-0-1-502. 91-0199-0-1-502.

Obligations:

Direct Payments for Specified Use FY 20 \$1,363,681,224.00; FY 21 est (\$2,002,051,072.00); FY 22 est (\$193,056,072.00);

Range and Average of Financial Assistance:

For FY 2020, grants ranged from \$634-\$6,345; the average grant was estimated at \$4,305. For FY 2021, grants will range from \$645-\$6,495; the average grant is estimated at \$4,347. For FY 2022, grants will range from \$645-\$6,495; the average grant is estimated at \$4,356.

TAFS Codes:

91-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Approximately 6,456,000 recipients are expected. Fiscal Year 2022: Approximately 6,857,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Pell Grant Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965 as amended. Regulations governing administration of the Pell Grant Program are found in 34 CFR 600, 668, and 690.

ASSISTANCE LISTINGS DESCRIPTIONS

The Student Guide; Free Application for Federal Student Aid (no charge); "The Expected Family Contribution Formula Guide"; "The Federal Student Aid Handbook."

Regional or Local Office:

See Regional Assistance Locations. Federal Student Aid Information Center. Telephone: 1-800-433-3243. Regional Director, Federal Student Aid, the Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center,
Federal Student Aid (FSA), Department of Education, P.O.Box 84,
Washington DC 20044-0084
Email: studentaidhelp@ed.gov
Phone: (800) 433-3243

Website Address:

<http://www.ifap.ed.gov>

RELATED PROGRAMS:

84.044 TRIO_Talent Search; 84.042 TRIO_Student Support Services; 84.066 TRIO_Educational Opportunity Centers; 84.007 Federal Supplemental Educational Opportunity Grants; 84.047 TRIO_Upward Bound; 84.033 Federal Work-Study Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965, as amended.

84.066 TRIO Educational Opportunity Centers

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402F.

OBJECTIVES:

To provide information on financial and academic assistance available for qualified adults desiring to pursue a program of postsecondary education and to assist them in applying for admission to institutions of postsecondary education.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are awarded to institutions of higher education, public and private agencies and organizations, and combinations of such entities for the establishment and operation of centers to collect and disseminate information concerning financial aid and academic programs available to participants seeking to pursue postsecondary education and to assist participants in preparing admission and financial aid applications. Centers may also provide, to secondary school dropouts, guidance on secondary school reentry or entry to an alternative education program. Centers may also provide tutoring, mentoring, and counseling services. A grantee may not use the center as a part of its recruitment program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of Higher Education, public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program; secondary schools.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Youth (16-21); Low Income; Persons residing in the target area who need one or more of the services provided by the project in order to pursue a program of postsecondary education and who desire to pursue or who are pursuing a program of postsecondary education. Two-thirds of the participants must be low-income individuals who are also potential first-generation college students. Project participants must be at least nineteen years old (exceptions allowed). Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular A-21 applies. OMB Circular A-122 applies. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education. No State plan is required. This program is subject to the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected non-Federal field readers evaluate new proposals based on the selection criteria established in program regulations 34 CFR 644.21 and 34 CFR 644.22. Grantees will be selected on the basis of satisfactory proposals and availability of funds. Grants are awarded through Federal TRIO Programs office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days.

Appeals:

Other. The program statute requires a second review of unsuccessful applications. The Department implements the second review process in accordance with the process specified in 34 CFR 644.24. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Other. Awards are for five years. Continuation awards are approved on an annual basis, subject to the availability of funds and evidence that the grantee is meeting the conditions of the grant and making substantial progress towards meeting the project objectives.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five-year projects, annual awards. Continuation awards are subject to the availability of funds and evidence that the grantee is making satisfactory progress. Method of awarding/releasing assistance: other

Reports:

Grantees must submit an annual performance report. Progress reports are required for a project in its first year of funding. Expenditure reports are required.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 74.26, 75.702, 75.910, and 74.61.

Records:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$54,927,000.00; FY 21 est \$55,955,000.00; FY 22 est \$55,994,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulation 34 CFR 644. New regulations are under development.

Contact the program office for additional details.

Regional or Local Office:

Headquarters Office:

Rachel Couch,
Student Service Division, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW,
Washington DC 20202
Email: rachel.couch@ed.gov
Phone: (202) 502-7655.

Website Address:

<http://www.ed.gov/programs/trioec/>

RELATED PROGRAMS:

84.217 TRIO_McNair Post-Baccalaureate Achievement; 84.044 TRIO_Talent Search; 84.042 TRIO_Student Support Services; 84.047 TRIO_Upward Bound; 84.103 TRIO Staff Training Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For new awards the Selection Criteria in 34 CFR 644.21 and 644.22 are considered. Contact the program office for additional information.

84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, as amended by the Strengthening Career and Technical Education for the 21st Century (Perkins V), Title , Section 116, Public Law P.L. 115-224, 20 USC Code 2326.

OBJECTIVES:

To make grants, cooperative agreements, or enter into contracts with Indian tribes, tribal organizations, and Alaska Native entities, to plan, conduct, and administer programs or portions of programs authorized by and consistent with the Carl D. Perkins Career and Technical Education Act of 2006, as amended by the Strengthening Career and Technical Education for the 21st Century Act (Perkins

V).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Native Americans and Alaska Natives.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Other private institutions/organizations; Federally recognized Indian tribes, tribal organizations, Alaska Native entities, and Bureau-funded schools (except for a Bureau-funded school proposing to use its award to support secondary school CTE programs), and consortia of any of these entities may apply. (Please see the Special Authority in section 116(b)(3) of Perkins V for the provision relating to secondary schools operated or supported by the Bureau of Indian Education).

Beneficiary Eligibility:

Other private institution/organization; American Indian; Federally Recognized Indian Tribal Governments; Federally recognized Indian tribes, tribal organizations, and Alaska Natives, and Bureau-funded schools except for Bureau-funded schools proposing to use their grants to support secondary school CTE programs.

Credentials/Documentation:

Allowability of costs is determined in accordance with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 34 CFR part 75 applies to this program. Application notices and Application Packages are published in the Federal Register. Applications must be prepared and submitted in accordance with program announcements and Application Packages are published in the Federal Register.

Award Procedure:

Applications are approved using the selection criteria established in the program's notice inviting applications for new awards published in the Federal Register, in accordance with 34 CFR Part 75, and each applicant selected for funding receives a Notification of Grant Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

Other. After receiving written notice that the Department of Education will not award a grant, the eligible applicant has 30 calendar days to request, in writing, a hearing to review the Department's decision. (20 U.S.C. 2326(b)(2); 25 U.S.C. 5321; 34 CFR 401.1.

Renewals:

Other. Continuation awards may be made, subject to acceptable performance reports and the availability of appropriations for Perkins V. Maximum award period is up to 36 months. The Secretary may extend the performance periods of funded NACTEP grantees for an additional two years, should Congress continue to appropriate funds under the Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is up to 3 years subject to the availability of funds and

ASSISTANCE LISTINGS DESCRIPTIONS

performance by the grantee. Renewals are subject to the availability of funds under Perkins V and grantee performance. Method of awarding/releasing assistance:

other

Reports:

Specific reporting requirements are contained in the notice inviting applications and in grant award documents; program reports required semi-annually and annually. Cash reports required annually. Specific progress report requirements will be included in the grant documents. Specific expenditure report requirements will be included in the grant documents. Specific performance report requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See above.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0400-0-1-501.

Obligations:

Project Grants FY 20 \$16,032,475.00; FY 21 est \$16,685,600.00; FY 22 est \$16,935,600.00;

Range and Average of Financial Assistance:

Range: \$300,000-\$600,000 Average award: \$450,000

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made 39 grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

(a)The Education Department General Administrative Regulations in 34 CFR Parts 75, 77, 81, 82, 84, 86, 97, 98 and 99. (b)The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485.(c)The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.(d)The notice of final requirements published in the Federal Register on (e)The notice inviting applications published in the Federal Register on February 5, 2018 (83 FR 5076).(f)The notice of final requirements, definitions, and selection criteria for this program published in the Federal Register on February 26, 2013 (78 FR 12955).This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, in accordance 34 CFR 75.563. For assistance with restricted indirect cost rates, call the Office of Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Regional or Local Office:

None.

Headquarters Office:

Patti Beltram,
Department of Education, OCTAE Division of Academic and Technical Education
400 Maryland Ave., S.W.,
Washington DC 20202-7241
Email: patti.beltram@ed.gov
Phone: (202) 245-7094

Website Address:

<http://cte.ed.gov/grants/discretionary-grants>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States; 84.259 Native Hawaiian Career and Technical Education;

EXAMPLES OF FUNDED PROJECTS:

2020 Career and technical education programs for secondary, postsecondary, and adult students.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on February 5, 2018 (83 FR 5076).

84.103 TRIO Staff Training Program

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402G,

OBJECTIVES:

To provide training for staff and leadership personnel employed in, or preparing for employment in, projects funded under the Federal TRIO Programs (program numbers 84.042, 84.044, 84.047, 84.066, and 84.217.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded to eligible entities to provide training for project directors and staff of funded Federal TRIO Programs projects. The grants may provide support for conferences, seminars, internships, workshops, and the publication of manuals designed to improve the operation of Federal TRIO Programs projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education and other public and private nonprofit institutions and organizations.

Beneficiary Eligibility:

Education Professional; Leadership personnel, full-time and part-time staff members of projects under the Federal TRIO Programs, and individuals preparing for employment as staff or leadership personnel in projects under the Federal TRIO Special Programs will benefit.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. OMB Circular No. A-122 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with program regulations, applications, and timetables issued by the Department of Education. No State plan is required. Requests for program applications should be made to the Student Service Division at the Office of Postsecondary Education. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 642.21 and 34 CFR 642.22. Grants are awarded through the Student Service Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 180 days.

Appeals:

Other. The program statute authorizes a second review of unsuccessful applications. The Department implements the second review process for unsuccessful applicants in accordance with 34 CFR 642.25. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Other. The grant period is 2 years. Grantees may receive one continuation award (for the second year of the grant), subject to the availability of funds and the grantee meeting the conditions of the grant and making substantial progress toward the project objectives.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant period is two years. Grantees may receive one continuation award approved on an annual basis subject to the availability of funds and grantee meeting the conditions of the grant and making substantial progress toward meeting the project objectives. Method of awarding/releasing assistance: other

Reports:

Performance reports are submitted on an annual basis. Required for a project in the first year of funding using form ED 524B. Staff monitor grants drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance form ED 524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the Education Department General Administrative Regulations (EDGAR and 34 CFR 75.702, 74.61.)

Records:

In accordance with 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$3,219,000.00; FY 21 est \$3,219,000.00; FY 22 est \$3,250,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 642.

Regional or Local Office:

None.

Headquarters Office:

Suzanne Ulmer,,
Student Service Division, Office of Postsecondary Education, Department of

Education, 400 Maryland Ave., SW,
Washington DC 20202

Email: suzanne.ulmer@ed.gov

Phone: (202) 453-7691

Website Address:

<http://www.ed.gov/programs/triotrain/>

RELATED PROGRAMS:

84.217 TRIO_McNair Post-Baccalaureate Achievement; 84.044 TRIO_Talent Search; 84.042 TRIO_Student Support Services; 84.066 TRIO_Educational Opportunity Centers; 84.047 TRIO_Upward Bound;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Department will select applicants to be funded for new awards under this part on the basis of criteria set forth in 34 CFR 642.21 and 642.22. Contact the program office for additional information.

84.116 Fund for the Improvement of Postsecondary Education

(FIPSE).

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part B, 20 U.S.C. 1138.,

OBJECTIVES:

To provide assistance to support institutional reforms and innovative strategies designed to improve postsecondary instruction, quality, and to expand postsecondary opportunities.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Fund provides project grants for activities sponsored by institutions of higher education that develop and demonstrate more effective approaches to postsecondary teaching and learning. In fiscal year 2020, Congress appropriated \$7 million for the Open Textbooks Pilot (OTP) initiative (84.116T), \$10 million for the Career Pathways program (84.116C), \$500,000 for the National Center for Information and Technical Support for Postsecondary Students with Disabilities (NCITSPSD) program (84.116D), and \$7 million for the Centers of Excellence for Veterans Student Success (CEVSS) program (84.116G). In 2021, Congress appropriated an additional \$7 million, \$2 million, and \$7 million for the OTP, NCITSPSD, and CEVSS programs, respectively. Additionally, it appropriated \$5 million for a Basic Needs Grants program, \$2 million for a model Center of Educational Excellence, \$7 million for a Modeling and Simulation program, \$10 million for a Rural Postsecondary and Economic Development program, and \$1 million for a Transitioning Gang-Involved Youth to Higher Education program.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include institutions of higher education, other public and private non-profit institutions and agencies and combinations of these institutions and agencies.

Beneficiary Eligibility:

Private nonprofit institution/organization; Education (13+); Public nonprofit institution/organization; Postsecondary educational institutions and their students will benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in their State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Application announcements and resources for applicants are available at the FIPSE home page: <https://www2.ed.gov/about/offices/list/ope/fipse/index.html>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Proposals are reviewed by nonfederal reviewers.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 4 to 8 months.

Appeals:

Not Applicable.

Renewals:

Other. Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds. In 2021, the Department plans-whenever possible- to fully fund the entire grant periods of projects funded under FIPSE.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typically for a period of 3-5 years. Method of awarding/releasing assistance: other

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B. Annual progress reports are required as a part of the submittal of form ED 524B. Annual expenditure reports are required as a part of the submittal of form ED 524B. Annual performance monitoring are required as a part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant recipients are required to maintain standard financial records.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$24,500,000.00; FY 21 est \$41,000,000.00; FY 22 est

\$76,000,000.00;

Range and Average of Financial Assistance:

The estimated range and average size of awards differs by activity. In fiscal year 2021 Congress funded eight unique activities under FIPSE. Funded activities can differ from cycle to cycle.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, 79, 81, 82, 84, 85, 86, 97, 98, and 99 apply.

Regional or Local Office:

None.

Headquarters Office:

Beatriz Ceja,
Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, Institutional Service, 400 Maryland Ave., SW.,
Washington DC 20202
Email: beatriz.ceja@ed.gov
Phone: (202) 453-6239

Fax:

Website Address:

<https://www2.ed.gov/about/offices/list/ope/fipse/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Grantees are selected based on the selection criteria published in the Notice Inviting Applications.

84.120 Minority Science and Engineering Improvement

(MSEIP),

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title III, Part E, Subpart 1,

OBJECTIVES:

To (1) effect long-range improvement in science and engineering education at predominantly minority institutions and (2) increase the participation of underrepresented ethnic minorities, particularly minority women, in scientific and technological careers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Program funds are generally used to implement institutional projects, special projects, and cooperative grants. Institutional grants support the implementation of a comprehensive science improvement plan, which may include any combination of activities for improving the preparation of minority students for careers in science. Special project grants to minority institutions support activities that improve the quality of training in science and engineering at minority institutions. Special project grants to non-minority eligible applicants support activities that provide a needed service to a group of eligible minority institutions. Cooperative grants assist groups of non-profit accredited colleges and universities in working together to conduct a science improvement program. Grant funds may be used for a broad range of activities that address specific barriers that eliminate or reduce the

ASSISTANCE LISTINGS DESCRIPTIONS

entry of minorities into science and engineering fields. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private and public nonprofit accredited (or successfully working toward accreditation) institutions of higher education that award baccalaureate degrees; and are minority institutions; public or private accredited (or successfully working toward accreditation) nonprofit institutions of higher education that award associate degrees, and are minority institutions that have a curriculum that includes science and engineering subjects; and enters into a partnership with public or private nonprofit institutions of higher education that award baccalaureate degrees in science or engineering. Applications may also be submitted by nonprofit science-oriented organizations, professional scientific societies, and institutions of higher education that award baccalaureate degrees, that provide a needed service to a group of minority institutions; or provide in-service training for project directors, scientists, and engineers from minority institutions; or consortia of organizations, that provide needed services to one or more minority institutions, the membership of which may include: institutions of higher education which have a curriculum in science or engineering; institutions of higher education that have graduate or professional programs in science or engineering; research laboratories of, or under contract with the Department of Energy; private organizations that have science or engineering facilities; or quasi-governmental entities that have a significant scientific or engineering mission.

Beneficiary Eligibility:

U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; Student/Trainee; Scientist/Researchers; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; Sponsored organization; Education (13+); Public nonprofit institution/organization; Private or public accredited (or successfully working toward accreditation) 2- and 4-year institutions of higher education whose enrollments of a single minority or a combination of minorities exceed 50 percent of the total enrollment. The term minorities refer to American Indian; Alaskan Native; Native Hawaiian, Black (not of Hispanic origin); Hispanic (including persons of Mexican, Puerto Rican, Cuban, and Central or South American origin); Pacific Islander; or other ethnic group who are underrepresented in science and engineering.

Credentials/Documentation:

Institutions must provide the information necessary to establish their eligibility for participation in MSEIP. The data on enrollment furnished to the Office for Civil Rights to satisfy requirements for the "Fall Enrollment and Compliance Report of Institutions of Higher Education" are acceptable. Applications must be signed by an authorized organizational official. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An eligible institution may submit a proposal for funding of a planned project and proposed amount of the grant when announcement of a new competition appears in the Federal Register. See 34 CFR 637 and the specific program guidelines. Application forms are available from the MSEIP.

Award Procedure:

Panels of outside experts with knowledge of the fields covered by the application

review all eligible applications. Grant awards are recommended to the Secretary by the program office, in the order of merit.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Other. Awards may be renewed for up to 2 years, renewals subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One to three years. Funds are awarded annually and disbursed as required.

Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: other

Reports:

The program requires interim and annual performance reports. A final performance report is required within 90 days upon completion of the project for all funded projects. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Compliance with standard Department of Education requirements.

Records:

Grantees are required to maintain standard programmatic and financial records. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$12,635,000.00; FY 21 est \$13,370,000.00; FY 22 est \$18,370,000.00;

Range and Average of Financial Assistance:

2020: Average new award was \$231,913; Average continuation award was \$248,356. Awards ranged from approximately \$164,000 to \$250,000.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 637 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Bernadette Hence,
Office of Postsecondary Education, Institutional Services, Office of Higher Education, Department of Education, 400 Maryland Ave., SW,
Washington DC 20202
Email: bernadette.hence@ed.gov
Phone: (202) 453-7913

Website Address:

<http://www.ed.gov/programs/idesmsi/>.

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

84.031 Higher Education_Institutional Aid;
EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Decisions are based primarily on the scientific and educational merits of described activities and conformance with the objectives of the program. Priority is given to applicants which have not previously received funding from the MSEIP program and to previous grantees with a proven record of success, as well as to proposals that contribute to achieving balance among projects with respect to geographic region, academic discipline, and project type.

84.126 Rehabilitation Services Vocational Rehabilitation Grants to States

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Sections 100-111 and 113 of the Rehabilitation Act of 1973 (Rehabilitation Act), as amended by Title IV of the Workforce Innovation and Opportunity Act (WIOA), Title , Part , Section

OBJECTIVES:

To assist States in operating comprehensive, coordinated, effective, efficient and accountable programs of vocational rehabilitation (VR); to assess, plan, develop, and provide VR services for individuals with disabilities, consistent with their strengths, resources, priorities, concerns, abilities, capabilities, and informed choice so they may prepare for and engage in an employment outcome.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal and State funds are used to cover the costs of providing VR services to eligible individuals with disabilities under an individualized plan for employment. Such services include: assessment, counseling, vocational and other training, job placement, reader services for the blind, interpreter services for the deaf, medical and related services and prosthetic and orthotic devices, rehabilitation technology, transportation to secure VR services, maintenance during rehabilitation, and other goods and services necessary for an eligible individual with a disability to achieve an employment outcome. Services are provided to families of individuals with disabilities when such services contribute substantially to the rehabilitation of such individuals who are receiving VR services. Funds can also be used to provide VR services for the benefit of groups of individuals with disabilities including the construction and establishment of community rehabilitation programs. Each State is also required to reserve and use at least 15 percent of its Federal VR State Grant award to provide or arrange for the provision of pre-employment transition services for students with disabilities in accordance with section 113 of the Rehabilitation Act.

Applicant Eligibility:

State; U.S. Territories and possessions; Eligible applicants include the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. State agencies designated to administer the VR program will receive funds with the submission and approval of the State Unified or Combined State plan under WIOA, including a VR services portion of that Plan.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); In order to be eligible for VR services, an individual must have a physical and/or mental impairment, which, for such an individual, constitutes or results in a substantial impediment to employment, and requires VR services to achieve an employment outcome.

Credentials/Documentation:

The State agency must certify the availability of State funds for matching purposes. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State VR agencies are required to submit a VR services portion of the Unified or Combined State Plan every four years describing the manner in which they will administer the VR and Supported Employment programs in their States including assurances. State agencies are required to update descriptions and submit amendments and modifications as required to the VR services portion of the Unified or Combined State Plan.

Award Procedure:

Grant awards are made to State VR agencies with an approved VR services portion of the Unified or Combined State plan. States are notified of their annual allotment under the funding formula. Grant funds are withdrawn under the Electronic Transfer System.

Deadlines:

States are required to submit four-year Unified or Combined State Plans, including the VR services portion of that State Plan. At the end of the first two-year period of the State Plan, States must submit modifications to reflect changes or factors affecting the implementation of the State Plan. States must submit both the four-year State Plan, including the VR services portion of the State Plan, as well as the two-year modification to the Plan to the U.S. Department of Labor. States submitted the 2020 State Plans in March 2020, with an effective date of July 1, 2020. States must submit their modification to that State Plan in March 2022, with an effective date of July 1, 2022. The next four-year State Plan will be due in March 2024.

Range of Approval/Disapproval Time:

Other. Approval of the Unified or Combined State Plan, including approval of the VR services portion of that plan, is governed by section 102 of Title I of WIOA.

Appeals:

Other. Appeal procedures with respect to the VR services portion of the Unified or Combined State Plan are governed by section 101(b) of the Rehabilitation Act. Appeal procedures for individuals with disabilities regarding services under the VR program are governed by section 102(c) of the Rehabilitation Act.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The matching requirement is 78.7 percent Federal and 21.3 percent Non-Federal funds of the total of all expenditures incurred under the VR program. This program has a maintenance of effort (MOE) requirement. The MOE level is based on the total amount of the State's non-Federal expenditures under the VR program for the Federal fiscal year two years earlier; see funding agency for further details.Matching requirements are mandatory.This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Funds become available for obligations for the fiscal year for which they are appropriated and may remain available for an additional year if the matching requirement is met in the year of the appropriation. Grant awards are issued annually. Method of awarding/releasing assistance: other

Reports:

Program report: Annual and quarterly reports. Progress report: Annual and quarterly reports. Expenditure reports: quarterly financial reports (RSA-17). Performance monitoring: The Rehabilitation Act requires the conduct of annual reviews and periodic on-site monitoring of State VR agencies to ensure whether they are in compliance with Federal requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

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Occasionally, audits are conducted by ED Office of Inspector General. There is no set schedule for these audits.

Records:

Financial and programmatic records, including case service records, must be kept for a prescribed period of three years after the date of submission of the final financial report (2 CFR part 200, Subpart D Post Federal Award Requirements).

Account Identification:

91-0301-0-1-506.

Obligations:

Formula Grants FY 20 (\$943,169,656.00); FY 21 est (\$880,072,493.00); FY 22 est (\$839,639,057.00);

Range and Average of Financial Assistance:

In FY 2021, initial annual allotments to States, including D.C. and Puerto Rico ranged from \$11.4 million to \$296.9 million, with a median award of \$48.9 million. Grants to territories ranged from \$0.9 million to \$3.2 million.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Vocational Rehabilitation Regulations (34 CFR part 361). Rehabilitation Services Policy Directives and Technical Assistance Circulars. Uniform Guidance, 2 CFR part 200.

Regional or Local Office:

None.

Headquarters Office:

Suzanne Mitchell,
Department of Education, OSERS Rehabilitation Services Administration 400
Maryland Ave., S.W.,
Washington DC 20202
Email: suzanne.mitchell@ed.gov
Phone: (202)245-7454

Website Address:

<https://rsa.ed.gov/about/programs/vocational-rehabilitation-state-grants>

RELATED PROGRAMS:

64.007 Blind Rehabilitation Centers; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.161 Rehabilitation Services_Client Assistance Program; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.187 Supported Employment Services for Individuals with Significant Disabilities; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.250 Rehabilitation Services_American Indians with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.129 Rehabilitation Long-Term Training

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302

OBJECTIVES:

To support projects to provide academic training in areas of personnel shortages identified by the Secretary to increase the number of personnel trained in providing vocational rehabilitation services to individuals with disabilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational rehabilitation and independent living of individuals with disabilities, such as rehabilitation counseling, independent living, rehabilitation medicine, physical and occupational therapy, prosthetics-orthotics, speech, pathology and audiology, rehabilitation of individuals who are blind and individuals who are deaf, and rehabilitation technology. A grantee must use at least 65 percent of the total award for scholarships unless the Secretary waives this requirement.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; States and public or private nonprofit agencies and organizations, including Indian tribes and institutions of higher education.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Individuals preparing for employment in the field of rehabilitation counseling of individuals with disabilities.

Credentials/Documentation:

An application should show evidence of current accreditation by or seeking of accreditation from the designated accreditation agency in the respective professional field. Costs will be determined in accordance with 2 CFR 200, Subpart E. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See above.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Secretary gives the designated State agency an opportunity to review and comment on applications submitted from within the State that it serves. The procedures to be followed by the applicant and the State are in 34 CFR 75.155 through 75.159. The Education Department General Administrative Regulations at 34 CFR Parts 75 applies to this program. New applications are made electronically, or potential applicants may request to submit a hardcopy application to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725. Attn: 84.129.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. Under the cost-share requirement, the Federal share may not be more than 90

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percent of the total cost of a project under this program, unless part of the non-Federal share is waived by the Secretary. Cost share requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects are generally supported for a maximum of 5 years; with budget periods of 12 months. Renewals are subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

Annual financial and performance progress reports must be submitted as required by grant award terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The audit requirements in 2 CFR 200, Subpart F are applicable.

Records:

Grantees must maintain accurate and complete records as outlined in 34 CFR 386.34(g) and (h) for a period of time not less than one year beyond the date that all scholars provided financial assistance under the grant have completed their service obligation or have entered into repayment status pursuant to 34 CFR 386.43(e), or until all audit questions are resolved. Record retention requirements under 2 CFR 200.334 also apply.

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$15,271,121.00; FY 21 est \$14,526,462.00; FY 22 est \$15,502,408.00;

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2022: Provided funding for long-term training of rehabilitation counselors, rehabilitation service providers for individuals who are mentally ill, rehabilitation service providers for individuals who are blind or have vision impairments, and rehabilitation service providers for individuals who are Deaf or hard of hearing.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 386 and the Education Department General Administrative Regulations (EDGAR) in 34 CFR Part 75.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles,
Department of Education, OSERS Rehabilitation Services Administration, 400
Maryland Ave., S.W.,
Washington DC 20202
Email: corinna.h.stiles@ed.gov
Phone: (202) 245-6162
Fax:

Website Address:

<https://rsa.ed.gov/about/programs/rehabilitation-training-long-term>

RELATED PROGRAMS:

84.264 Rehabilitation Training_Continuing Education; 84.126 Rehabilitation Services Vocational Rehabilitation Grants to States; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for evaluating applications are published in program regulations 34 CFR

Parts 385 and 386, as well as 34 CFR 75.210, and include consideration of an applications relevance to the State-Federal vocational rehabilitation service program and the nature and scope of the curriculum.

84.141 Migrant Education High School Equivalency Program

(HEP).

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Section 418A

OBJECTIVES:

To assist students who are engaged, or whose immediate family is engaged, in migrant and other seasonal farm-work to obtain the equivalent of a secondary school diploma and subsequently to gain employment or be placed in an institution of higher education or other postsecondary education or training.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project funds may be used to recruit and provide academic and support services (including counseling, health services, stipends, and placement) to migrant students to obtain the equivalent of a secondary school diploma and to subsequently gain employment or be placed in an institution of higher education or other postsecondary education or training.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Migrant; Youth (16-21); Education (9-12); Education (13+); Persons who are engaged or whose immediate family is engaged in migrant and other seasonal farmwork or who have participated or have been eligible to participate in the Title I, Migrant Education Program or the Department of Labor's National Farmworker Jobs Program. Eligible beneficiaries also must be age 16 and older or beyond the age of compulsory school attendance, and lacking a high school diploma or its equivalent.

Credentials/Documentation:

To be eligible to participate in a HEP project, the applicant or his or her immediate family member must: (1) Have worked a minimum of 75 days during the past 24 months in migrant on seasonal farmwork, or have been eligible to participate or have participated within the past 2 years in Title I, Migrant Education Program or the Department of Labor's National Farmworker Jobs Program; (2) not have earned a secondary school diploma or its equivalent; (3) not be currently enrolled in an elementary or secondary school; (4) be 16 years of age or older, or above the age of compulsory school attendance in the State where the project is located; and (5) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are available from the Department of Education as announced in a Notice Inviting

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Applications (NIA). An eligible applicant submits its application to the Department of Education no later than the date announced by the Department in the Federal Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. Applications are reviewed and evaluated by a panel for selection and possible funding.

Award Procedure:

The Department reviews each application using selection criteria published in the Federal Register. Afterwards, the Department conducts a budget analysis of allowable activities and costs and recommendations for new grants, and sends a Grant Award Notification (GAN) to a successful applicant of its award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately four months.

Appeals:

Not Applicable.

Renewals:

Other. Grants are awarded for up to 60 months. Continuation awards are subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is up to 60 months. Funds are awarded for 12-month budget periods. Continuations are subject to the availability of funds and recipient performance. Method of awarding/releasing assistance: other

Reports:

In the first project year, a grantee must submit to the Department an Interim Performance Report (Interim) showing satisfactory performance in order to be eligible to receive a continuation award for the second budget period. For the following years, a grantee must submit an Annual Performance Report (APR) showing satisfactory performance in order to receive a continuation award, and must submit a final performance report 90 days after completion of the project. Special financial reports are required. No cash reports are required. Progress reports may be required for projects that do not make substantial progress toward program and project goals. Summaries of expenditures are required as part of the APRs, but no final special financial reports are required. Performance reports are required. Progress reports are may be required for projects that do not make substantial progress toward program and project goals, the Department may require additional project reports. The APR and its instructions include the need to provide annual summaries of expenditures. The APR and its instructions include the need to provide annual summaries of expenditures, but no and final special financial reports are required. Performance reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act, the Education Department General Administrative Regulations (34 CFR part 75), and Uniform Administrative Requirements in 2 CFR part 200, grantees must maintain certain project records for three years unless they are needed under one of the exceptions in 2 CFR 200.333 for a longer period.

Account Identification:

91-0900-0-1-501.

Obligations:

Project Grants FY 20 \$22,392,708.00; FY 21 est \$21,887,219.00; FY 22 est \$32,896,193.00;

Range and Average of Financial Assistance:

Range: \$180,000-\$475,000 Average: \$446,438.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made 14 new grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Office of Management and Budget (OMB) Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. (d) The regulations for this program in 34 CFR part 206. (e) The definitions of migratory agricultural worker in 34 CFR 200.81(d), migratory child in 34 CFR 200.81(e), and migratory fisher in 34 CFR 200.81(f). (f) The regulations in 20 CFR 669.110 and 669.320. All grantees are required to comply with these regulations, as applicable. Note: The regulations in 34 CFR part 86 apply to institutions of higher education (IHEs) only.

Regional or Local Office:

None.

Headquarters Office:

Lisa Gillette,
Office of Migrant Education, Office of Elementary and Secondary Education, US Department of Education, 400 Maryland Avenue, S.W., Room 3E311,
Washington DC 20202
Email: lisa.gillette@ed.gov
Phone: (202) 260-1426

Website Address:

<http://www.ed.gov/program/hep/index.html>

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.149 Migrant Education_College Assistance Migrant Program; 84.047 TRIO_Upward Bound; 84.144 Migrant Education_Coordination Program;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The Department publishes criteria in a notice inviting applications published in the Federal Register. For the purpose of making grants under the program, the Secretary must consider prior experience of service delivery by expiring projects that submit an application under this program and award up to a maximum of 15 additional points to an application that demonstrates the prior experience of service delivery.

84.144 Migrant Education Coordination Program

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part C, Section 1308(d), 20 USC Code 6398.

OBJECTIVES:

To provide financial incentives to State Educational Agencies (SEAs) to participate in consortia that provide high-quality project designs and services to improve the interstate or intrastate coordination of migrant education programs by addressing

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key needs of migratory children who have their education interrupted.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are used to implement the consortium activities to improve interstate and intrastate coordination of migrant education programs by addressing key needs of migratory children whose education is interrupted or to carry out any other activities authorized under the Migrant Education State Grant Program (MEP). This program is subject to non-supplanting requirements and SEAs must use a restricted indirect cost rate, which is referenced in 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); SEAs receiving MEP State Formula grants, in a consortium with another State or other appropriate entities.

Beneficiary Eligibility:

Individual/Family; Interstate; Student/Trainee; Intrastate; State; Migrant; Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Migratory children of migratory agricultural workers or migratory fishers, or individuals under 21 years old who are migratory agricultural workers or migratory fishers, or spouses of such workers or fishers whose education is interrupted benefit.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. The applications are reviewed and evaluated by a panel of peer reviewers. Awards are made on a competitive basis.

Award Procedure:

The Department reviews each application using selection criteria and priorities published in a notice inviting applications published in the Federal Register and the application package. The U.S. Department of Education sends a Grant Award Notification (GAN) to successful applicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately three months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Consortium Incentive Grants for interstate and intrastate coordination activities

will be awarded for up to three years, subject to the availability of funds and performance reviews demonstrating substantial progress. Method of awarding/releasing assistance: other

Reports:

Annual performance reports are required from SEAs participating in consortium incentive grants and from other grant recipients; interim performance reports may be requested if annual reports do not show substantial progress. Specific progress report and requirements will be included in the grant award documents. Performance monitoring is conducted through quarter phone calls with the assigned Program Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, grantees must maintain certain project records for 3 years unless they are needed under one of the exceptions for a longer period.

Account Identification:

91-0900-0-1-501.

Obligations:

Project Grants FY 20 \$3,000,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

Range of awards in FY21: \$59,242-\$118,483.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made awards to 39 SEAs.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 75 and 76; 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

Lisa Gillette,
Office of Migrant Education, Office of Elementary and Secondary Education,
Department of Education, 400 Maryland Avenue, SW,
Washington DC 20202-6135
Email: lisa.gillette@ed.gov
Phone: 2022601426
Fax:

Website Address:

<http://www.ed.gov/about/offices/list/oese/ome/index.html>.

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.149 Migrant Education_College Assistance Migrant Program; 84.141 Migrant Education_High School Equivalency Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Multi-state projects to serve out-of-school migrant youth, improve the proper and timely identification and recruitment of eligible migratory children whose education has been interrupted, and provide pre-school services to migrant children, and improve literacy of migrant students and youth.

CRITERIA FOR SELECTING PROPOSALS:

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The criteria for interstate/intrastate coordination grants are consistent with 34 CFR 75.

84.145 Federal Real Property Assistance Program

FEDERAL AGENCY:

OFFICE OF HUMAN RESOURCES AND ADMINISTRATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, Section 203(k), as amended,, Department of Education Organization Act of 1979,

OBJECTIVES:

To convey surplus Federal Real Property for educational purposes at fair market value.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Must be used for education purposes including but not limited to higher education, elementary and secondary education, libraries, central administrative facilities, educational television and radio, rehabilitation and training, vocational education and research, correctional education centers.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Those groups, organizations, entities, or institutions providing educational programs including: States; their political subdivisions and instrumentalities; and tax supported organizations or private nonprofit institutions held exempt from taxation under Section 501(C)(3) of the Internal Revenue Code of 1954 may apply.

Beneficiary Eligibility:

Anyone/general public; School; Program participants receiving educational opportunities will benefit.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. All potentially eligible entities are notified of the availability of property including State and local officials or any known educational entity authorized to operate within the general geographical area. Upon receipt of a notice of intent to file an application, a preapplication conference is possible. An environmental impact assessment is required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application guides and deadlines for filing applications are provided on a case by case basis. All applicants must demonstrate the legal, financial, and technical ability to conduct the educational programs proposed.

Award Procedure:

Written notification with follow-up issuance of formal transfer of title.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Thirty to 90 days for tentative decisions, after receipt of the application, and 90 to 360 days to the formal transfer of a title.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 30 years. The fair market value (FMV) of the property is amortized over a period of time established based on the type of property acquired. Public benefit discount of the FMV is granted when public educational programs are being conducted. Method of awarding/releasing assistance: other

Reports:

An annual written report of activities by the recipient.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. On-site audits are conducted during the period of restriction to assure operation as specified in the application and deed of transfer.

Records:

Record requirements will be included in award documents.

Account Identification:

91-0800-0-1-503.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

91-0800.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 34 CFR 12; Pamphlet: How to Acquire Surplus Federal Real Property for Educational Purposes; Guidelines: Application outline and preparation assistance can be obtained at the zone office level.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a list of regional offices.

Headquarters Office:

Federal Real Property Assistance Program,,
Office of the Administrator for Management Services, Department of Education,
400 Maryland Ave., S.W.,
Washington DC 20202
Email: nancy.martin@ed.gov
Phone: (202) 260-4558

Website Address:

<http://www.FRPA@ed.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution and its program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5) nondiscrimination because of race, color, sex, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, not met needs, integrated research, public

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services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

84.149 Migrant Education College Assistance Migrant Program

(CAMP).

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Section 418A, 20 USC Code 1070d-2.

OBJECTIVES:

To assist students who are engaged, or whose immediate family is engaged, in migrant and other seasonal farmwork, are enrolled or are admitted for enrollment on a full-time basis, at institutions of higher education and are in the first academic year at such an institution.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project funds may be used to provide supportive and instructional services, including tutoring and counseling services and assistance in obtaining student financial aid (including stipends, tuition, and room and board) to first-year college students, assist those students in obtaining financial aid for their remaining undergraduate years, and provide follow-up services, such as monitoring and reporting students' first-year and subsequent year academic progress, and referrals to counseling services, academic assistance, or financial aid.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Migrant; Youth (16-21); Education (9-12); Education (13+); First-year college students who are engaged, or whose immediate family member is engaged, in migrant and other seasonal farmwork or who have participated or been eligible to participate in the Title I, Migrant Education Program, or the Department of Labor's National Farmworker Jobs Program.

Credentials/Documentation:

To be eligible to participate in a CAMP project, the applicant must: (1) be enrolled or admitted for enrollment as a full-time student at a participating institution of higher education; (2) not be beyond the first academic year of a program of study at the institution of higher education, as determined under the standards of the institution; and (3) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project in order to complete an academic program of study at the institution of higher education. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms are available from the Department of Education (the Department), as announced in a Notice Inviting Applications (NIA). An eligible applicant submits its application to the Department no later than the date announced by the Department in the Federal

Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package.

Applications are reviewed and evaluated by a panel of peer reviewers.

Award Procedure:

The Department reviews each application using selection criteria published in the Federal Register. Afterwards, the Department conducts a budget analysis of allowable activities and costs and recommendations for new grants, and sends a Grant Award Notification (GAN) to a successful applicant of its award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately four months.

Appeals:

Not Applicable.

Renewals:

Other. Grants are awarded up to 60 months. Continuation awards are subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is up to 60 months; funds are awarded for 12-month budget period. Continuations are subject to the availability of funds and recipient performance. Method of awarding/releasing assistance: other

Reports:

In the first project year, a grantee must submit to the Department an Interim Performance Report showing satisfactory performance in order to be eligible to receive a continuation award for the second budget period. For the following years, a grantee must submit an Annual Performance Report (APR) showing satisfactory performance in order to receive a continuation award, and must submit a final performance report 90 days after completion of the project. Special financial reports are required. Progress reports may be required for projects that do not make substantial progress toward program and project goals. Summaries of expenditures are required as part of the APR, but no special financial cash reports are required. Specific performance monitoring requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act, the Education Department General Administrative Regulations (34 CFR part 75), and Uniform Administrative Requirements in 2 CFR part 200, grantees must maintain certain project records for three years unless they are needed under one of the exceptions in 2 CFR 200.333 for a longer period.

Account Identification:

91-0900-0-1-501.

Obligations:

Project Grants FY 20 \$22,804,221.00; FY 21 est \$24,017,699.00; FY 22 est \$32,896,192.00;

Range and Average of Financial Assistance:

Range: \$180,000-\$425,000. Average: \$410,615.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made 15 new grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Office of Management and Budget (OMB) Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. (d) The regulations for this program in 34 CFR part 206. (e) The definitions of migratory agricultural worker in 34 CFR 200.81(d), migratory child in 34 CFR 200.81(e), and migratory fisher in 34 CFR 200.81(f). (f) The regulations in 20 CFR 669.110 and 669.320. All grantees are required to comply with these regulations, as applicable. Note: The regulations in 34 CFR part 86 apply to institutions of higher education (IHEs) only.

Regional or Local Office:

None.

Headquarters Office:

Lisa Gillette,

Office of Migrant Education, Office of Elementary and Secondary Education, US Department of Education, 400 Maryland Avenue, S.W., Room 3E311, Washington DC 20202

Email: lisa.gillette@ed.gov

Phone: (202) 260-1426

Website Address:

<http://www.ed.gov/programs/camp/index.html>

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.042 TRIO_Student Support Services; 84.047 TRIO_Upward Bound; 84.141 Migrant Education_High School Equivalency Program; 84.144 Migrant Education_Coordination Program;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The Department publishes criteria in a notice inviting applications published in the Federal Register. For the purpose of making grants under this program, the Secretary must consider prior experience of service delivery by expiring projects that submit an application under this program and award up to a maximum of 15 additional points to an application that demonstrates prior experience of service delivery.

84.153 Business and International Education Projects

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part B, Section 611

OBJECTIVES:

To promote innovation and improvement in international business education curricula at institutions of higher education (IHEs) and promote linkages between IHEs and the business community.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Each program assisted with federal funds must enhance primarily the international academic program of the institution. Eligible activities may include but are not limited to: Innovation and improvement of international education curricula to serve the needs of the business community, including the development of new programs

for nontraditional, mid-career, or part-time students; Development of programs to inform the public of increasing international economic interdependence and the role of American business within the international economic system; Internationalization of curricula at junior and community colleges, and at undergraduate and graduate schools of business; Development of area studies programs and interdisciplinary international programs; Establishment of export education programs through cooperative arrangements with regional and world trade centers and councils, and with bilateral and multilateral trade associations; The establishment of internships overseas to enable foreign language students to develop their foreign language skills and their knowledge of foreign cultures and societies; Summer institutes in international business, foreign area, and other international studies designed to carry out the purposes of this program.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Accredited institutions of higher education may apply. Institutions must enhance their own international academic programs and provide appropriate services to the business community to expand U.S. exports abroad.

Beneficiary Eligibility:

Quasi-public nonprofit organization; Other private institution/organization; Student/Trainee; Graduate Student; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Private nonprofit institution/organization; Education Professional; State; Students and faculty at accredited institutions will benefit. Business community will also benefit.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the Office of International and Foreign Language Education in the Office of Postsecondary Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants developed according to procedures defined by the Education Department General Administrative Regulations.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Project period may last up to 24 months. Applicants submit performance reports for continuation funding according to instructions published by the Department. Renewals are subject to the availability of funds.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. To be eligible for an award, an applicant must provide matching funds through non-Federal contributions, either in cash or in-kind donations. The applicant must propose the amount of cash or in-kind resources to be contributed for each year of the grant. As described in section 613(d) of the HEA (20 U.S.C. 1130a(d)), the applicant's share of the total cost of carrying out a program supported by a grant under the BIE Program must be no less than 50 percent of the total cost of the project in each fiscal year. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a 24-month period, subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

Interim progress, terminal program, and financial reports from the grantee are required. Contact program office. Reporting measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit requirements as described in 34 CFR 74.26 do not pertain to this program.

Records:

Documents bearing on receipt and expenditure of grant funds must be made available for inspection by the Department: (1) For three years after the close of the fiscal year in which expenditures are made if departmental audit has occurred by that time; (2) until audit or for five years following the end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$1,663,532.00; FY 22 est \$1,271,000.00;

Range and Average of Financial Assistance:

FY 2021: Average award was \$4,210; Awards ranged from \$70,000-\$95,000 for each 12-month budget period.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 85, 86, 97, 98, and 99. (b) The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. (d) The regulations for this program in 34 CFR parts 655 and 661.

Regional or Local Office:

None.

Headquarters Office:

Tanyelle Richardson,,
International and Foreign Language Education, Office of Postsecondary Education,
Department of Education, 400 Maryland Avenue, S.W.,,
Washington DC 20202
Email: tanyelle.richardson@ed.gov
Phone: (202) 453-6391.

Website Address:

<http://www.ed.gov/programs/iegpsbie/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part B, Section 612 of the Higher Education Act of 1965, as amended.

84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302(f)

OBJECTIVES:

To support projects that increase the numbers and improve the skills of manual, tactile, oral, and cued speech interpreters providing services to individuals who are deaf or hard-of-hearing and individuals who are deaf-blind.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded to eligible entities to provide training for manual, tactile, oral and cued speech interpreters. This training may include classroom instruction, workshops, seminars, and field placement. Curriculum may include specialty areas such as interpreting in legal, medical, or rehabilitation settings or for deaf-blind, speech-impaired or developmentally disabled persons.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public or private nonprofit agencies and organizations, including institutions of higher education are eligible for assistance.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Education Professional; Student/Trainee; Graduate Student; Disabled (e.g. Deaf, Blind, Physically Disabled); Education (13+); Individuals preparing for employment as interpreters for individuals who are deaf or hard-of-hearing and individuals who are deaf-blind who are presently serving as interpreters and wish to maintain or raise the level of their skills, and the persons who will receive the services of interpreters are beneficiaries of this program.

Credentials/Documentation:

2 CFR 200 Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the

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office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Education Department General Administrative Regulations at 34 CFR Part 75 applies to this program. Application is made to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725. Attn. 84.160.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. A grantee must contribute to the cost of a project under this program in an amount satisfactory to the Secretary. The part of the costs to be borne by the grantee is determined by the Secretary at the time of the grant award. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years, with budget periods of 12 months. Method of awarding/releasing assistance: other

Reports:

Annual financial and performance reports must be submitted as required by grant award terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to the Federal award must be retained for a period of three years from the date of submission of the final expenditure report as per the requirements at 2 CFR 200.333.

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$2,399,750.00; FY 21 est \$4,556,302.00; FY 22 est \$2,850,000.00;

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This program provided funding for the interpreter training programs in FY2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Part 385, sections 385.3(a) and (d), and 385.40 through 385.46; and 34 CFR Part 396; the Education Department General Administrative Regulations (EDGAR) in 34 CFR Part 75, 77, 79, 81, 82, 84, 86, and 99; the Office of Management and Budget (OMB) Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180; and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles,
Department of Education Services Administration, 400 Maryland Ave., S.W.,
Washington DC 20202

Email: corinna.h.stiles@ed.gov

Phone: (202) 245-6162

Fax:

Website Address:

<https://rsa.ed.gov/about/programs/training-of-Interpreters>

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training; 84.263 Innovative Rehabilitation Training;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for evaluating applications are published in program regulations at 34 CFR 396 Subpart D, and include the extent to which: the training project was developed in consultation with State Vocational Rehabilitation agencies and their related agencies and consumers, the training is appropriate for consumers and agencies that provide services to consumers, the training curricula includes evidence-based practices, there is a working relationship between the training project and the State Vocational Rehabilitation agencies and their related agencies and consumers, and there are opportunities for consumers to provide input regarding the project. The Secretary also considers the geographical distribution of projects throughout the country.

84.161 Rehabilitation Services Client Assistance Program

Client Assistance Program (CAP),

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title I, Part B, Section 112, 29 USC Code 732.

OBJECTIVES:

To establish and carry out client assistance programs in each State and at the protection and advocacy system serving the American Indian Consortium that: (1) advise and inform clients and client-applicants of available benefits under the Rehabilitation Act of 1973 (Rehabilitation Act), including pre-employment transitions services for students with disabilities under section 113 and activities carried out under section 511; (2) assist and advocate for clients and client-applicants in their relationships with projects, programs, and services under this Act, including assistance and advocacy in pursuing legal, administrative and other

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appropriate remedies; (3) inform individuals with disabilities in the State especially those who have traditionally been unserved or underserved by vocational rehabilitation programs of the services and benefits available under the Act and under Title I of the Americans with Disabilities Act.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for services to help clients and client-applicants understand the rehabilitation services available under the Rehabilitation Act, including pre-employment transition services for students with disabilities under section 113 of the Act, and to provide information to individuals with disabilities in the State. Grants funds also may be used to assist clients and client-applicants of any other program funded under the Rehabilitation Act, including the Supported Employment program under title VI or any of the independent living programs under title VII of the Rehabilitation Act. CAPs also may assist clients and client-applicants with any concerns they have arising under section 511 of the Rehabilitation Act. The CAP is not allowed to bring any class action.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; States (through the Governor) and the protection and advocacy system serving the American Indian Consortium, are eligible for awards. With the exception of the protection and advocacy system serving the American Indian Consortium, the Governor designates a public or private agency to conduct the State's program.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); State; Disabled (e.g. Deaf, Blind, Physically Disabled); Clients and client-applicants receiving services or interested in seeking assistance under the Rehabilitation Act of 1973, as amended, will benefit from CAP services.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for application procedures.

Award Procedure:

A notice of annual allotment to the State is issued based on a statutory formula. States receive annual grant award notices and funds are withdrawn under the Electronic Transfer System.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. The State may appeal to the Office of Administrative Law Judges (34 CFR 81) a decision to withhold funds.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter , Part B, Section 112(e), Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are awarded for one fiscal year. Funds that are not obligated by the end of the fiscal year may be carried over for obligation in the year succeeding the year in which the funds were awarded. Method of awarding/releasing assistance: other

Reports:

Performance reports are required annually. Cash reports are required quarterly. Expenditure reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 As required by Education Department General Administrative Rules, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions.

Records:

Information on clients and client-applicants served as cases should be maintained.

Account Identification:

91-0301-0-1-506.

Obligations:

Formula Grants FY 20 \$13,000,000.00; FY 21 est \$13,000,000.00; FY 22 est \$13,000,000.00;

Range and Average of Financial Assistance:

For FY 2021, the estimated range of State awards (including the District of Columbia and Puerto Rico) under the distribution formula is \$131,917 to \$1,311,914, with a median award of \$144,190. The FY 2021 amount for each of the four outlying areas and the American Indian Consortium is \$59,477.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Client Assistance Program Regulations (34 CFR part 370).34 CFR part 76 (State-Administered Programs)34 CFR part 77 (Definitions That Apply to Department Regulations).34 CFR part 79 (Intergovernmental Review of Department of Education Programs and Activities).34 CFR part 81 (General Education Provisions Act - Enforcement) 34 CFR part 82 (New Restrictions on Lobbying).2 CFR part 180 (OMB Guidelines to Agencies on Debarment and Suspension (Nonprocurement)), as adopted at 2 CFR part 3485. 2 CFR part 200 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards).

Regional or Local Office:

None.

Headquarters Office:

April Trice,
Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW,
Washington DC 20202
Email: april.trice@ed.gov
Phone: (202) 245-6074
Fax:

Website Address:

<https://rsa.ed.gov/about/programs/client-assistance-program>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.187 Supported Employment Services for Individuals with Significant Disabilities; 84.240 Program of Protection and Advocacy of Individual Rights;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.165 Magnet Schools Assistance

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title IV, Part D.

OBJECTIVES:

To provide grants to eligible local educational agencies (LEAs) to establish and operate magnet schools that are operated under court-ordered, agency-ordered, or federally approved voluntary desegregation plans. Magnet programs aim to eliminate, reduce, or prevent minority-group isolation in elementary and secondary schools while strengthening students' academic achievement.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used, among other things, for planning and promotional activities directly related to the development, expansion, continuation, or enhancement of academic programs offered at magnet schools; for purchasing books, materials, equipment; and for paying or subsidizing the salaries of teachers and instructional staff in magnet schools. Funds may also be used for transportation of students to and from a magnet school assuming transportation is sustainable beyond the grant period and transportation costs do not represent a significant portion of the grant funds received by the eligible LEA. Funds may not be used on activities that are not directly related to improving student academic achievement. A grantee may use not more than 50 percent of funds in the first year of the grant, and not more than 15 percent in the second and third years, for planning.

Applicant Eligibility:

Federal; LEAs that are implementing court-ordered, agency-ordered or federally approved voluntary desegregation plans that include magnet schools are eligible to apply.

Beneficiary Eligibility:

Local; School; Education (0-8); Education (9-12); LEAs and participating students will benefit.

Credentials/Documentation:

Applicants must submit a copy of the desegregation plan they are implementing, or will implement, if assistance is made available. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application notices are published in the Federal Register and applications must be sent to the Education Department on or before the closing date.

Award Procedure:

Recommendations for the approval of applications are made by the program staff on the basis of published criteria, statutory priorities, State comments received under the E.O. 12372 process, and with the advice and assistance of a panel of independent reviewers. In addition, no application may be approved unless the Assistant Secretary for Civil Rights determines that all required assurances will be met.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range is anticipated to be 90 to 120 days from the closing date.

Appeals:

Not Applicable.

Renewals:

Other. The project period for a Magnet School award may not exceed 60 months. To receive continuation funding, grantees must demonstrate substantial progress toward meeting their goals and objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of grants will be up to 60 months, subject to the availability of funds.

Method of awarding/releasing assistance: other

Reports:

As part of the continuation award funding process, grantees must submit performance reports annually. Final performance reports must be submitted within 90 days of the end of the grant award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Under section 443 of the General Education Provisions Act as well as 75.730-732; and 2 CFR 200.333, grantees must maintain records for 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$105,788,790.00; FY 21 est \$107,930,000.00; FY 22 est \$147,361,000.00;

Range and Average of Financial Assistance:

Range of awards: \$350,000-\$3,000,000 (estimated).

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information on regulations (34 CFR 280).

Regional or Local Office:

None.

Headquarters Office:

Gillian Cohen-Boyer,
Office of Elementary and Secondary Education, U.S. Department of Education,
400 Maryland Ave., SW.,
Washington DC 20202
Email: gillian.cohen-boyer@ed.gov
Phone: 2024011259
Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/school-choice-improvement-programs/magnet-school-assistance-program>

RELATED PROGRAMS:

84.004 Civil Rights Training and Advisory Services;

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are used to evaluate the applicant's project design, project services, personnel, budget and resources, evaluation plan, and commitment and capacity. Competitive priority is given to applicants that demonstrate the greatest need for assistance; carry out new, evidence-based magnet schools significantly revised magnet schools using evidence-based methods, or replicate and existing magnet school program with a demonstrated record of success; select students to attend magnet schools through methods such as lottery, rather than academic examination, and propose to increase racial integration by taking into account socioeconomic diversity. For funds appropriated for the program in excess of \$75 million, competitive priority is given to those applicants that did not receive funds under the program in the previous fiscal year.

84.173 Special Education Preschool Grants

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part B, Section 619, 20 U.S.C. 1419.,

OBJECTIVES:

To provide grants to States to assist them in providing special education and related services to children with disabilities ages 3 through 5 years, and at a State's discretion, to 2- year- old children with disabilities who will reach age three during the school year.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

States must distribute all grant funds that the State does not reserve for administration of the program or other State-level activities to eligible local educational agencies. Funds are used by State and local educational agencies, in accordance with the IDEA, to provide special education and related services to children with disabilities ages 3 through 5, and at the State's discretion, to 2-year - old children with disabilities who will reach age 3 during the next school year; and in some cases, early intervening services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State educational agencies in the 50 States, the District of Columbia, and the Commonwealth of Puerto Rico. Local educational agencies apply to their State educational agency for sub-grants.

Beneficiary Eligibility:

State; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Local; Preschool; Education (0-8); Children aged 3 through 5 with disabilities, and (at the State's option) 2-year- old children with disabilities that will reach age 3 during the school year, that require special education and related services.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the annual application and statement of assurances. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States are eligible to receive funds under this program if the State establishes eligibility under Section 612 of Part B of the IDEA and if the State makes a free appropriate public education available to all children ages 3 through 5 years with disabilities residing in the State. Local educational agencies establish eligibility for Preschool Grant funds by meeting local application eligibility requirements in section 613 of Part B of the IDEA.

Award Procedure:

Once the State application has been approved, a grant award representing the total preschool grant amount for that fiscal year is forwarded to the State's department of education. Notification of the award is made to the Chief State School Officer. States make subgrants to eligible local educational agencies from their initial grant award after setting aside funds for administration and state-level activities.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The time for reviewing the States application is determined by EDGAR (in 34 CFR 76.703) and ranges from 45 to 90 days.

Appeals:

Other. The Department must not take final action to disapprove an application until the State is provided reasonable notice and an opportunity for a hearing. The State must request a hearing no later than 30 days after it receives notice of the proposed final determination that the State is not eligible to receive its award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: MOE requirements for this program apply to both State Educational Agencies and local educational agencies..

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30 of the following fiscal year. State and local educational agencies have an additional 12-month period to obligate funds they receive. Method of awarding/releasing assistance: other

Reports:

State Performance Plans (SPPs) and Annual Performance Reports (APRs) are submitted to the Department of Education from the State Departments of Education. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731), generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. CFR 200.333. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Formula Grants FY 20 \$394,120,000.00; FY 21 est \$597,620,000.00; FY 22 est \$502,620,000.00;

Range and Average of Financial Assistance:

For FY 2020, regular annual allotments to States, including DC and Puerto Rico, ranged from \$393,034 to \$60,811,092, with an average award of \$11,492,692.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR Part 300; 34 CFR Parts 76-77, 79, 81-82, 84-86, and 97-99; and 2 CFR Part 200.

Regional or Local Office:

Headquarters Office:

Gregg Corr,
Office of Special Education Programs, Department of Education, 400 Maryland Avenue, SW,
Washington DC 20202
Email: gregg.corr@ed.gov
Phone: (202) 245-7309

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/programs.html>.

RELATED PROGRAMS:

84.181 Special Education-Grants for Infants and Families; 84.027 Special Education_Grants to States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding if they submit approvable applications under IDEA, section 612 and if they make a free appropriate public education available to all children with disabilities ages three through five years, residing in the State.

84.177 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 2.

OBJECTIVES:

To provide any independent living services that are described in 34 CFR Section 367.3(b) of the program regulations to older individuals who are blind; improve or expand services for these individuals; and conduct activities to help improve public understanding of the challenges of these individuals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For the training and technical assistance grant: The Secretary must first set aside not less than 1.8 percent and not more than 2 percent of the funds appropriated to the ILOIB program to be used to provide training and technical assistance to Designated State Agencies or other providers of ILOIB services that receive ILOIB program funds. For the ILOIB formula grants: The designated State agency may use funds awarded under the ILOIB program to carry out the activities described in the program objectives section of this document. Among the independent living (IL) services that can be provided to older individuals who are blind are services to

help correct blindness, the provision of eyeglasses and other visual aids, services and equipment to enhance mobility and self-sufficiency, mobility training, Braille instruction, and other services and equipment to help older persons who are blind adjust to blindness, as well as other IL services as defined in the Rehabilitation Act and the IL program regulations.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; The State agency in the 50 States, the District of Columbia the Commonwealth of Puerto Rico and the territories (Guam, American Samoa, U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands) designated by the State as the State agency authorized to provide vocational rehabilitation services to blind individuals may apply.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Senior Citizen (60+); Older individuals who are blind, as defined in 34 CFR 367.5 of the ILOIB program regulations are the primary beneficiaries of assistance under this program. This term means "an individual aged 55 or older whose severe visual impairment makes competitive employment extremely difficult to obtain but for whom independent living goals are feasible."

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs" for the training grant only. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For the ILOIB formula grant award: In order to be eligible for an allotment under this program, States must submit an application for a grant under the ILOIB program in the form and manner prescribed by the Department and the application must contain the assurances listed in 34 CFR 367.31 of the ILOIB program regulations. For the training and technical assistance grant, eligible entities are State and public or non-profit agencies and organizations and institutions of higher education that have the capacity to provide training and technical assistance in the provision of independent living services for older individuals who are blind.

Award Procedure:

A notice of annual allotment to the State for the formula ILOIB award is issued. Initial distributions are subject to reallocation at the end of the fiscal year if the Commissioner determines that the State will not expend its allotment. States receive annual grant award notices and funds may be withdrawn under the Electronic Transfer System. For the training and technical assistance grant: New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 34 CFR 76.703 governs the approval/disapproval time of State plans in relation to when a State may begin to obligate funds for the ILOIB formula grant. For the training and technical assistance grant: From 90 to 120 days.

Appeals:

Other. The State may appeal to the Office of Administrative Law Judges (34 CFR 81). Appeals are not applicable for the training and technical assistance grant.

Renewals:

Other. Awards are made on an annual basis under an approved application. For the training and technical assistance grant: Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review

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of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Statutory Formula: Title VII of the Rehabilitation Act of 1973, Chapter 2, Section 752, Part , Subpart ,Public Law .Matching Requirements: Percent: 0%.

Requirement: Matching requirements are mandatory. Under section 752(f) of the Rehabilitation Act (34 CFR 367.31(b) and 367.61), the States must contribute not less than \$1 for each \$9 of Federal grant funding received, in cash or in kind. Matching requirements are mandatory. There is no matching requirement for the training and technical assistance grant. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis under an approved application. Method of awarding/releasing assistance: other

Reports:

For the ILOIB formula grant, Performance reports are required annually (7-OB). For the training and technical assistance grants: Program performance is monitored through the Annual Performance Report. Financial reports are required annually (Federal Financial Report (SF-425)). For the training and technical assistance grants: Annual financial reports must be submitted as required by grant award terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The audit requirements under Subpart F of the Uniform Guidance are applicable. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The audit requirements under Subpart F of the Uniform Guidance are applicable.

Records:

Generally, States and subgrantees, as well as the training and technical assistance grantee, must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$33,317,000.00; FY 21 est \$33,317,000.00; FY 22 est \$33,317,000.00;

Range and Average of Financial Assistance:

For FY 2021, the estimated range of State awards (including the District of Columbia and Puerto Rico) under the distribution formula is \$225,000 to \$3,317,254, with a median award of \$413,395. The statutory amount for each of the four territories is \$40,000.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Services for Older Individuals Who are Blind (ILOIB) program, 49 percent of whom were over 80 years of age and about 81 percent of whom were still living in a private residence. Approximately 54 percent of these individuals were either legally blind or totally blind, and 20 percent also had some degree of hearing impairment.

REGULATIONS, GUIDELINES, AND LITERATURE:

Independent Living Services for Older Individuals Who Are Blind, 34 CFR Parts 367; Uniform Guidance, 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

James Billy,
U.S. Department of Education, Office of Special Education and Rehabilitative

Services, 400 Maryland Ave., S.W.,
Washington DC 20202

Email: james.billy@ed.gov

Phone: (202) 245-7273

Fax:

Website Address:

<https://www2.ed.gov/programs/rsailob/index.html>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.161 Rehabilitation Services Client Assistance Program; 84.240 Program of Protection and Advocacy of Individual Rights;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An approved ILOIB application for the formula grant award is a condition for receipt of funds under the OIB program under Chapter 2 of Title VII of the Rehabilitation Act. Application requirements are contained in section 752(h) of the Rehabilitation Act and 34 CFR 367.30 and 367.31. For the training and technical assistance grant, the availability of funds is published in the Federal Register. Applications for discretionary grants are subject to peer review procedures by nonfederal experts that lead to recommendations for approval or disapproval. The selection criteria for this competition are from 34 CFR 75.210 of the Education Department General Administrative Regulations and are listed in the application package. Selection criteria include extent of need for the project, significance, quality of the project design, quality of the project services, quality of project personnel, adequacy of resources, and quality of the management plan.

84.181 Special Education-Grants for Infants and Families

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part C, 20 U.S.C. 1431-1444.

OBJECTIVES:

To provide grants to States to assist them to implement and maintain a Statewide, comprehensive, coordinated, multidisciplinary, interagency system to make available early intervention services to infants and toddlers with disabilities and their families.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are used to assist States in implementing and maintaining their Statewide systems of early intervention services. Funding may also be used to provide direct services for eligible infants and toddlers with disabilities aged birth through 2 and their families that are not otherwise paid for by other public or private sources, to expand and improve services for infants and toddlers with disabilities that are otherwise available and to provide a free appropriate public education, in accordance with Part B of IDEA, to children with disabilities from their third birthday to the beginning of the following school year. States also have the discretion to provide services to infants and toddlers who are at risk of having substantial developmental delays if they do not receive appropriate early intervention services. At their discretion, States may continue to serve children with disabilities under this program beyond age three until the school year following the child's third, fourth, or fifth birthday or until such children enter or are eligible to enter kindergarten or elementary school, if such children are otherwise eligible for services under the Preschool Grants Program (84.173), and previously received services under the Part C program. 20 U.S.C. 1432(5) and 34 CFR 303.211

Applicant Eligibility:

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State; Eligible applicants are the following 57 entities: the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Bureau of Indian Education within the U.S. Department of the Interior and the following four outlying area jurisdictions: Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Preschool; Infant (0-5); The beneficiaries are infants and toddlers with disabilities aged birth through 2 and their families and at the State's option, children with disabilities ages three through five and their families.

Credentials/Documentation:

In general, each State or jurisdiction must submit an application, including certifications, methods such as interagency agreements (if applicable), policies and procedures, descriptions, and statement of assurances to receive IDEA Part C funds. Each State lead agency must be designated by the Governor of that State or jurisdiction. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Specific application procedures are provided in the application form for the program. The State lead agencies, the applicants for this program, are designated by the Governor.

Award Procedure:

Once the State application is approved, a grant award representing the total State allocation is forwarded to the State lead agency.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The time for reviewing the States application is determined by the Education Department General Administrative Regulations or EDGAR (in 34 CFR 76.703) (which requires that States have as much time to prepare the application as Education has to review the application) and generally ranges from 45 to 90 days.

Appeals:

Other. The Department must not take final action to disapprove an application until the State is provided reasonable notice and an opportunity for a hearing. The State must request a hearing no later than 30 days after it receives notice of the proposed final determination that the State is not eligible to receive its award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title IDEA, Chapter , Part C, Sec. 643, Subpart ,Public Law .Matching Requirements: Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30 of the following fiscal year. States have an additional 12-month period to obligate their funds. Method of awarding/releasing assistance: other

Reports:

State lead agencies must submit annually: (1) an Annual Performance Report under their six-year State Performance Plan under IDEA sections 616 and 642, and (2) data through four information collections under IDEA section 618. Separately, an annual report must be submitted to the Governor and to the Secretary of the U.S. Department of Education by the State Interagency Coordinating Council on the status of early intervention programs operated within the State as required under IDEA section 641(e)(1)(D). Cash reports are not applicable. Progress reports are

not applicable. Expenditure reports are not applicable. Performance monitoring is required as noted through the Annual Performance Report. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits may be required.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731), generally, the State lead agency and its contractors must retain records related to grant funds and compliance for a period of 3 years from the date the grantee submits its final expenditure report for that funding period. 2 CFR 200.333. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review. Separately, records related to the identification, evaluation, and provision of services for a specific child must be maintained for the period of time that a due process hearing request or State complaint may be filed.

Account Identification:

91-0300-0-1-501.

Obligations:

Formula Grants FY 20 \$477,000,000.00; FY 21 est \$731,850,000.00; FY 22 est \$731,850,000.00;

Range and Average of Financial Assistance:

For 2021, regular annual allotments to States, including DC and Puerto Rico, ranged from, \$3,587,364 to \$79,213,875; with an average award of \$13,013,372.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 303.

Regional or Local Office:

None.

Headquarters Office:

Gregg Corr,
Division Director, Monitoring and State Improvement Planning, Office of Special Education Programs, Department of Education, 400 Maryland Avenue, SW,
Washington DC 20202
Email: gregg.corr@ed.gov
Phone: (202) 245-7309

Website Address:

<http://www2.ed.gov/about/offices/list/osers/osep/programs.html>.

RELATED PROGRAMS:

93.600 Head Start; 84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

State lead agencies designated by the Governor are eligible applicants and must submit an approved application for IDEA Part C funds.

84.184 School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs)

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title IV, Part F, Subpart 3,
Section 4631

OBJECTIVES:

To improve students' safety and well-being during and after the school day.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects funded under this program support activities to foster a safe, secure, and drug-free learning environment, facilitate emergency management and preparedness, and prevent drug use and violence by students, including through training and placement of mental health service providers, and otherwise improving school climate. The Secretary may fund applications that address specific priorities and requirements published in the Federal Register.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); State; U.S. Territories and possessions; Primarily State educational agencies, and local educational agencies.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); State educational agencies, local educational agencies, institutions of higher education, students, teachers, and other school personnel, and public and private organizations and institutions will benefit.

Credentials/Documentation:

See above. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See above.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 3474, 2 CFR 3485, 34 CFR 299, and the Education Department General Education Regulations in 34 CFR 75, 77, 79, 81, 82, 84, 97, 98, and 99 apply to this program.

Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive peer review of applications, the Department of Education makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The estimated amount of time needed to approve/disapprove an application is five months following the application deadline date.

Appeals:

Not Applicable.

Renewals:

Other. Contingent upon the availability of funding and satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will generally be made for a period of 12 to 60 months. Renewals are subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

Specified reporting requirements are included in the grant, cooperative agreement or contract document. Specific progress reporting requirements are included in grant award documents. Specific expenditure reporting requirements are included in grant award documents. Specific performance reporting requirements are included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

See above.

Records:

In accordance with Section 443 of the General Education Provisions Act, as well as 75.730-732 and 2 CFR 200.333, grantees must maintain records for 3 years.

Account Identification:

91-0203-0-1-501.

Obligations:

Project Grants FY 20 \$105,000,000.00; FY 21 est \$106,000,000.00; FY 22 est \$116,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0203.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 6 new and 136 continuation awards were made, in addition to the provision of technical assistance to State and local educational agencies and institutions of higher education. Fiscal Year 2021: An estimated 142 continuation awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are 2 CFR 200, 2 CFR 3474, 2 CFR 3485, 34 CFR 299, and the Education Department General Education Regulations in 34 CFR 75, 77, 79, 81, 82, 84, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Paul Kesner,
Department of Education, Office of Elementary and Secondary Education, Safe and Supportive Schools, LBJ Building, Room 3E330,,
Washington DC 20202

Email: paul.kesner@ed.gov

Phone: 2024536727

Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-formula-grants/safe-supportive-schools/>

RELATED PROGRAMS:

84.424 Student Support and Academic Enrichment Program;

EXAMPLES OF FUNDED PROJECTS:

2021 School Climate Transformation Grants; Project Prevent Grants; Grants to States for Emergency Management; Mental Health Services Professional Development Grants, and School-Based Mental Health Services Grants.

CRITERIA FOR SELECTING PROPOSALS:

Criteria may include, among others: (1) Need for the project; (2) significance; (3) quality of project design; (4) adequacy of resources; (5) quality of the management plan; (6) quality of project evaluation; and (7) quality of the project services.

84.187 Supported Employment Services for Individuals with the Most Significant Disabilities

ASSISTANCE LISTINGS DESCRIPTIONS

(Supported Employment State Grants),

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Title VI of the Rehabilitation Act of 1973 (Rehabilitation Act), as amended by
Title IV of the Workforce Innovation and Opportunity Act (WIOA), Title ,

OBJECTIVES:

To provide grants for supported employment services for individuals with the most
significant disabilities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal grant funds are awarded to State Vocational Rehabilitation (VR) agencies
to supplement services provided under Title I of the Rehabilitation Act to assist
individuals with the most significant disabilities with needed supported
employment services once they have achieved employment. Supported
employment enables individuals with the most significant disabilities who, because
of the nature and severity of their disability, need ongoing support, including
intensive supported employment services followed by extended services after the
individuals ability to perform the job with support has stabilized, in order to
maintain competitive integrated employment. State VR agencies use funds to
provide services and supports such as: job coaching; skilled job trainers who
accompany the worker for intensive on-the-job training; follow-up services;
extended services for youth; and other services on or off the job site that are needed
to support an individual in employment. The Workforce Innovation and
Opportunity Act (WIOA) (Public Law 113-128), enacted on July 22, 2014, requires
States to reserve and expend half of their allotment for the Supported Employment
Services program to provide supported employment services for youth with the
most significant disabilities. States must provide a 10% match for that half of the
allotment reserved for such youth. States are also permitted to provide extended
services for up to four years to eligible youth with the most significant disabilities.
Supported Employment (SE) grant funds are used by State VR agencies to help pay
the costs of providing supported employment services to individuals with the most
significant disabilities, consistent with their individualized plan for employment
under the VR State Grants program (see CFDA 84.126). VR funds are used to pay
the costs associated with assessment and the determination of eligibility, as well as
other needed VR services prior to placement in supported employment.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and
hospitals); U.S. Territories and possessions (includes institutions of higher
education and hospitals); The State VR agency designated in the VR services
portion of the Unified or Combined State plan to administer the VR program is
eligible to receive Federal funds under this program..

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Individuals with the most
significant disabilities who have been determined eligible for VR services under
Title I of the Rehabilitation Act and whose individualized plan for employment
identifies supported employment as the employment outcome.

Credentials/Documentation:

OMB's Uniform Guidance for Grantees at 2 CFR part 200 applies to this program.
2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information
is not required for this program. This program is excluded from coverage under
E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. Each State must submit
a Supported Employment supplement to the VR services portion of the Unified or
Combined State plan submitted in accordance with Title I under WIOA.

Award Procedure:

Grant awards are made to States with an approved supported employment
supplement to the VR services portion of the Unified or Combined State plan.

States are notified of their annual allotment under the statutory funding formula.
Grant funds are withdrawn under the Electronic Transfer System.

Deadlines:

States are required to submit four-year Unified or Combined State Plans, including
the VR services portion of that State Plan. At the end of the first two-year period of
the State Plan, States must submit modifications to reflect changes or factors
affecting the implementation of the State Plan. States must submit both the four-
year State Plan and the two-year modification to the Plan to the U.S. Department of
Labor. States submitted the 2020 State Plans in March 2020, with an effective date
of July 1, 2020. States must submit their modification to that State Plan in March
2022, with an effective date of July 1, 2022. The next four-year State Plan will be
due in March 2024.

Range of Approval/Disapproval Time:

Other. Approval of the Unified or Combined State Plan, including the VR services
portion of that plan, is governed by sections 102 or 103 of Title I of WIOA.

Appeals:

Other. Appeal procedures are governed by sections 102 or 103 of Title I of WIOA,
as appropriate.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching
Requirements: Percent: 0%. Requirement: Matching requirements are mandatory.
Pursuant to the amendments made by WIOA, States must provide a match of 10
percent for the half of their allotment that must be reserved and expended to
provide supported employment services, including extended services, to youth with
the most significant disabilities.MOE requirements are not applicable to this
assistance listing.

Length and Time Phasing of Assistance:

Funds become available for obligations for the fiscal year for which they are
appropriated and may remain available for obligation for an additional year
provided there is an unobligated balance of Federal funds that were matched, as
applicable, in the year of the award. Method of awarding/releasing assistance: other

Reports:

Annual and quarterly progress reports and an annual budget (Standard Form 425)
are required. Annual and quarterly progress reports and an annual budget
(Standard Form 425) are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2
CFR 200, Subpart F - Audit Requirements, is applicable.

Records:

Financial and programmatic records, including case service records, must be kept
for a prescribed period of three years after the date of submission of the final
financial report (2 CFR part 200, Subpart D Post Federal Award Requirements).

Account Identification:

91-0301-0-1-506.

Obligations:

Formula Grants FY 20 \$22,548,000.00; FY 21 est \$22,548,000.00; FY 22 est
\$22,548,000.00;

Range and Average of Financial Assistance:

In FY 2021, the estimated range of awards is \$28,185 (territories) to \$2,004,537,
with a median State award, excluding territories, of \$300,000.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, 43,262 individuals had a supported employment
goal on their Individualized Plan for Employment when they exited the vocational
rehabilitation (VR) program.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

The State Supported Employment Services Program (34 CFR part 363). Uniform Guidance, 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

Suzanne Mitchell,
Department of Education, OSERS Rehabilitation Services Administration 400
Maryland Ave., S.W.,
Washington DC 20202
Email: suzanne.mitchell@ed.gov
Phone: (202) 245-7454

Website Address:

<https://rsa.ed.gov/about/programs/supported-employment-services-for-individuals-with-the-most-significant-disabilities>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.161 Rehabilitation Services_Client Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable (Catalog users should contact the State Vocational Rehabilitation agency for criteria).

84.191 Adult Education National Leadership Activities

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Adult Education and Family Literacy Act, (AEFLA), Title II of the Workforce Innovation and Opportunity Act (WIOA), 29 U.S.C. 3332.,

OBJECTIVES:

To support applied research, development, demonstration, dissemination, evaluation, and related activities that contribute to the improvement of adult education and literacy activities nationally.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use, Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

To support activities to enhance the quality of adult education and literacy activities nationwide. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Postsecondary education institutions, public or private agencies or organizations, or consortia of these institutions, agencies, or organizations are eligible.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Basic education and literacy programs for adults seeking to obtain an education at the primary or secondary levels will benefit through national evaluation, research, leadership, and/or technical assistance efforts.

Credentials/Documentation:

Legal signature by a designated official on the application or proposal. Costs will be determined in accordance with OMB Circular No. A-87 for State and local

governments. Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit institutions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed when applying for a competitive grant.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted to the Department of Education in accordance with program announcements established deadlines, notices inviting applications, and any notices announcing requirements, priorities, and selection criteria that the Department may publish in the Federal Register. The Department's Proposals for contracts must be prepared in accordance with program announcements published in the FedBizOps.

Award Procedure:

Proposals or applications for grants are reviewed in accordance with regulations for the Adult Education Act and with the requirements set forth for application notices and any notices announcing requirements, priorities, and selection criteria that the Department may publish in the Federal Register for a given competition and the regulations at 34 CFR Part 75.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Terms for continuation awards are specified in the Federal Register notices inviting grant applications. Continuation awards are subject to the availability of appropriations and the grant recipient's performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum award period varies by activity. Annual continuation awards are subject to the availability of appropriations and contingent on acceptable recipient performance. Method of awarding/releasing assistance: other

Reports:

Financial status and performance reports required by the Education Department General Administrative Regulations, 34 CFR 75.720. Specific reporting requirements are contained in the award document. Subject to the terms of the award document, cash reports, progress reports, expenditure reports and performance monitoring may or may not be applicable. Contact program office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR

ASSISTANCE LISTINGS DESCRIPTIONS

200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for three years.

Account Identification:

91-0400-0-1-501.

Obligations:

Project Grants (Contracts) FY 20 \$13,712,000.00; FY 21 est \$13,712,000.00; FY 22 est \$38,712,000.00;

Range and Average of Financial Assistance:

Varies by program competition.

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funded technical assistance and evaluation activities through contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are found in 34 CFR 460 and 34 CFR 75.730-75.734. EDGAR

Regional or Local Office:

None.

Headquarters Office:

Christopher Coro.,
Potomac Center Plaza550 12th Street, SW,
Washington DC 20024
Email: christopher.coro@ed.gov
Phone: (202) 245-7717

Website Address:

<http://www2.ed.gov/programs/aenla/index.html>

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each request for proposal and notice inviting grant applications contains either evaluation criteria or selection criteria, which are applied in the review and selection of contract or grant applications for funding. Contact the program office for additional information.

84.196 Education for Homeless Children and Youth

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act, Title VII, Subtitle B,

OBJECTIVES:

To ensure that all homeless children and youth have equal access to the same free, appropriate public education available to other children, the Education for Homeless Children and Youth program provides assistance to States to: (1) establish or designate an Office of Coordinator for Education of Homeless Children and Youths; (2) develop and carry out a State plan for the education of homeless children; and (3) make subgrants to local educational agencies (LEAs) to support the education of those children.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

State educational agencies (SEAs) may use grant funds for State-level activities (including activities of the Office of Coordinator for Education of Homeless

Children and Youths) and to make subgrants to LEAs. LEAs may use subgrant funds for activities allowable under section 723(d) of the McKinney-Vento Homeless Assistance Act that facilitate the educational success of homeless children and youth. Such activities include but are not limited to: enriched supplemental instruction, transportation, health care referral services, and professional development for teachers. Services provided with these funds cannot replace the regular academic program and must expand upon or improve services provided as part of the regular academic program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State educational agencies in the 50 States, the District of Columbia, and Puerto Rico may apply. Funds are also reserved for the Outlying Areas and the Department of Interior/Bureau of Indian Education. LEAs are eligible for State subgrants.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; State; Local; Child (6-15); Youth (16-21); Low Income; Education (0-8); Education (9-12); Homeless children and youth in elementary and secondary schools (and homeless preschool children and their parents benefit.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A State that desires to receive a grant under this program must submit a plan to the Department that meets the requirements of section 722(g) of the McKinney-Vento Homeless Assistance Act.

Award Procedure:

The Department awards grants to States by formula. States then award subgrants competitively to LEAs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Not applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

States receive formula grants annually. Method of awarding/releasing assistance: other

Reports:

State grantees must submit annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76. Specific performance monitoring requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in

ASSISTANCE LISTINGS DESCRIPTIONS

Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

Formula Grants FY 20 \$101,500,000.00; FY 21 est \$106,500,000.00; FY 22 est \$106,500,000.00;

Range and Average of Financial Assistance:

FY 20 range of awards: \$253,750-12,204,082; FY 2020 average State award: \$1,901,683. FY21 est: Range: \$266,250-\$12,924,738; Average: \$1,991,894. FY22 est: Range: \$266,250-\$12,924,738; Average: \$1,991,894.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made grants to 56 State educational agencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for the Education for Homeless Children and Youth program may be obtained from the Headquarters Office contact below.

Regional or Local Office:

None.

Headquarters Office:

John McLaughlin,
Office of Elementary and Secondary Education, Department of Education, 400
Maryland Avenue, S.W.,
Washington DC 20202
Email: john.mclaughlin@ed.gov
Phone: 2024010962
Fax:

Website Address:

<http://www.ed.gov/programs/homeless/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States receive grants noncompetitively by formula. LEA subgrants are awarded competitively by States on the basis of need and the quality of applications.

84.200 Graduate Assistance in Areas of National Need

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part A, Subpart 2,

OBJECTIVES:

To provide fellowships through graduate academic departments, programs, and units of institutions of higher education to graduate students of superior ability who demonstrate financial need for the purpose of sustaining and enhancing the capacity for teaching and research in academic areas of national need, as designated by the Secretary.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards made to institutions under this part shall be used exclusively to provide direct fellowship aid that will include need-based stipends, based on the support level of National Science Foundation fellowships and an institutional allowance for each individual awarded a fellowship to be adjusted annually in accordance with the Department of Labor's Consumer Price Index. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at 202-708-7770.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Academic departments and programs of IHEs that provide courses of study leading to a graduate degree may apply. Nondegree-granting institutions may submit joint proposals with degree-granting IHEs.

Beneficiary Eligibility:

Private nonprofit institution/organization; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; State; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Graduate students receiving fellowships must demonstrate financial need, have excellent academic records, plan to pursue the highest degree in the field, and be a U.S. citizen or a National, or a permanent resident of the U.S., or intend to become a U.S. citizen, be a permanent resident of the Trust Territory of the Pacific Islands, or a citizen of any one of the Freely Associated States.

Credentials/Documentation:

A properly formatted application must be filed with the Secretary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Instructions and forms concerning application procedures are available on the program website following publication of the Notice Inviting Application funding criteria in the Federal Register.

Award Procedure:

Panels of experts from outside the Government will appraise all applications. The program office will recommend funding to the Secretary, who will make the final decisions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range is approximately three months.

Appeals:

Not Applicable.

Renewals:

Other. Noncompeting continuation applications must be given funding preference subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. A grantee must match the award by 25 percent. Federal funds and matching funds must be used for stipends, tuition fees, and other educational costs of students. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of the award is three years, subject to annual appropriations. However, students may receive support for up to five years. Method of awarding/releasing assistance: other

Reports:

Annual fiscal and performance reports are required.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit requirements as described in 34 CFR 74.26 do not apply to this program.

Records:

None.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$23,546,999.00; FY 21 est \$23,547,000.00; FY 22 est \$23,547,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations were published in the Federal Register on December 16, 1993. The application package including forms and regulations are available on the GAANN website. See program website for further information.

Regional or Local Office:

None.

Headquarters Office:

Rebecca Ell,
Teacher & Student Development Programs Service, Graduate Assistance in Areas of National Need Program, Office of Postsecondary Education, Department of Education, 400 Maryland Ave., SW,
Washington DC 20202

Email: rebecca.ell@ed.gov

Phone: 202-453-6348

Website Address:

<http://www.ed.gov/programs/gaann/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This program uses the selection criteria contained in program regulations made available in the application package. Contact the program office for additional information. See program website for further information.

84.206 Javits Gifted and Talented Students Education

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Section 4644

OBJECTIVES:

To promote and initiate a coordinated program of evidence-based research, demonstration projects, innovative strategies, and similar activities designed to build and enhance the ability of elementary and secondary schools nationwide to identify gifted and talented students and meet their special educational needs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are used to make project grants and to establish and maintain a National Center for the Education of Gifted and Talented Children and Youth. Funds may be

used for: (1) conducting research on methods and techniques for identifying and teaching gifted and talented students and for using gifted and talented programs and methods to serve all students; (2) establishing and operating programs and projects for identifying and serving gifted and talented students, including innovative methods and strategies for identifying and educating students who might not be served by a traditional program; and (3) technical assistance and information dissemination, including on how gifted and talented programs and methods may be adapted for use by all students. Funds may also be used for the training of personnel in the identification and education of gifted and talented students and in the use, where appropriate, of gifted and talented services, materials, and methods for all students.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State and local educational agencies, the Bureaus of Indian Education, institutions of higher education, and other public and private agencies and organizations may apply for project grants.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Student/Trainee; Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Students (including gifted and talented students) and their teachers benefit.

Credentials/Documentation:

OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for private nonprofit organizations apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Procedures are described in an application notice published in the Federal Register. Applicants should contact the headquarters office as listed below for application packages containing the announcement, application, and other forms.

Award Procedure:

New project grant awards are made if funds are available and are not necessarily made each year. The selection of grantees is competitive and based on a nonfederal review of applications using selection criteria contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately two to four months after the application deadline.

Appeals:

Not Applicable.

Renewals:

Other. Following an initial, competitively selected award of up to 12 months, grantees may receive additional 1-year non-competing continuation awards, for a total maximum term of 3-5 years, contingent on performance and availability of funds.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Following an initial, competitively selected award of up to 12 months, grantees may receive additional 1-year non-competing continuation awards for a total maximum term of 3 - 5 years, contingent on performance and availability of funds. Method of awarding/releasing assistance: other

Reports:

Grantees must submit an annual performance and financial reports as required by the Education Department General Administrative Regulations (EDGAR) at 34 CFR 75 unless otherwise specified in the award document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Generally, records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion.

Account Identification:

91-1000-0-1-501.

Obligations:

Project Grants FY 20 \$13,000,000.00; FY 21 est \$13,500,000.00; FY 22 est \$13,500,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education's General Administrative Regulations (EDGAR) 34 CFR 74, 75, 77, 78, 79, and 80.

Regional or Local Office:

None.

Headquarters Office:

Mildred Horner-Smith,
Department of Education, OESE, Jacob K. Javits Gifted and Talented Students Education, 400 Maryland Ave., S.W.,
Washington DC 20202

Email: mildred.horner-smith@ed.gov

Phone: (202)453-6661

Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/well-rounded-education-programs/jacob-k-javits-gifted-and-talented-students-education-program/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for the approval of proposals under this program are selected from among those in 34 CFR 75.210. General Selection Criteria, and announced in an

application notice published in the Federal Register.

84.215 Innovative Approaches to Literacy; Promise Neighborhoods; and Full-Service Community Schools

Innovative Approaches to Literacy; Promise Neighborhoods (PN); and Full-service Community Schools,

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Innovative Approaches to Literacy - Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title II, Part D, Subpart 2, Section 226. Promise Neighborhoods., Title I, Part , Section , ESEA, Title IV, Part F, Subpart 2, Section 4624. Full-Service Community Schools., Title , Part , , ESEA, Title IV, Part F, Subpart 2, Section 4625.,

OBJECTIVES:

The Innovative Approaches to Literacy program supports high-quality programs designed to develop and improve literacy skills for children and students from birth through 12th grade in high-need local educational agencies and schools. The Promise Neighborhoods program supports distressed communities in improving the academic and developmental outcomes for children, youth, and their families from birth through college. The Full-Service Community Schools supports the planning, implementation, and operation of full-service community schools that improve the coordination, integration, accessibility, and effectiveness of services for children and families, particularly for children attending high-poverty schools, including high-poverty rural schools.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds may be used to support a wide range of projects under the specific program authorities. Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Local educational agencies, the Bureau of Indian Education, institutions of higher education, Indian tribes or tribal organizations, public and private organizations and institutions may apply.

Beneficiary Eligibility:

Private nonprofit institution/organization; Education Professional; Student/Trainee; State; Local; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Local educational agencies, institutions of higher education, Indian tribes or tribal organizations, public and private organizations and institutions will benefit.

Credentials/Documentation:

OMB Circular No. A-21; OMB Circular No. A-122 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures are described in application notices published annually in the Federal Register. Contact the headquarters offices listed below for application packages containing the announcement, application, and assurance forms and for further information about the specific programs.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

Awards are competitively selected following review by nonfederal experts in accordance with Subpart D of Part 75 of the Education Department General Administrative Regulations (EDGAR).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Other. As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in the meeting of the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually. Following an initial, competitively selected award, additional non-competing continuation awards may be made. The possible length of the project is announced in the application notice in the Federal Register.

Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: other

Reports:

Specific reporting requirements are included in grant award documents. Generally, annual performance and financial reports are required. Annual performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED524B. Staff also monitors grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by 2 CFR 200.333 and 34 CFR 75. Generally, records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion.

Account Identification:

91-0900-0-1-501. 91-0203-0-1-501.

Obligations:

Project Grants FY 20 \$132,000,000.00; FY 21 est \$139,000,000.00; FY 22 est \$562,000,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0203. 91-0900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data

Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable Regulations: (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Regional or Local Office:

None.

Headquarters Office:

Innovative Approaches to Literacy : Michelle Georgia,
Office of Elementary and Secondary Education (OESE), 400 Maryland Avenue S.W.,
Washington DC 20202
Email: michelle.georgia@ed.gov
Phone: (202)453-5501
Promise Neighborhoods and Full-Service Community Schools: Elson Nash,
Office of Elementary and Secondary Education (OESE); 400 Maryland Ave, SW,
Washington DC 20202
Email: elson.nash@ed.gov
Phone: (202)260-2655
Fax:

Website Address:

<http://www2.ed.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for these programs are contained in Section 75.210 of the Education Department General Administrative Regulations (EDGAR). The specific criteria to be used for particular grant competitions are announced in the application package or application notice in the Federal Register.

84.217 TRIO McNair Post-Baccalaureate Achievement

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1 Section 402E,

OBJECTIVES:

To provide grants for institutions of higher education to prepare participants for doctoral studies through involvement in research and other scholarly activities. Participants are from disadvantaged backgrounds and have demonstrated strong academic potential. Institutions work closely with participants as they complete their undergraduate requirements. Institutions encourage participants to enroll in graduate programs and then track their progress through to the successful completion of advanced degrees. The goal is to increase the attainment of Ph.D. degrees by students from underrepresented segments of society.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used by the participating institution to provide eligible participants with opportunities for research and other scholarly activities; summer internships; seminars; tutoring; academic counseling; and activities designed to help students

ASSISTANCE LISTINGS DESCRIPTIONS

secure admission and financial assistance for graduate study. Funds may be used for: services to improve students' financial and economic literacy, mentoring and exposure to cultural events. At least two-thirds of the project participants must be low-income individuals who are first-generation college students. The remaining participants may be from a group that is underrepresented in graduate education.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education or combinations of institutions of higher education may apply.

Beneficiary Eligibility:

Minority group; Student/Trainee; Graduate Student; Youth (16-21); Low Income; Education (13+); 2/3 of participants must be low-income first generation college students. The remaining participants must be students from groups underrepresented in graduate education. All participants must be enrolled in a degree program at an eligible institution of higher education.

Credentials/Documentation:

Costs will be determined in accordance with Education Department General Administrative Regulations and the program regulations, Section 647 of the Code of Federal Regulations. OMB Circular A-21 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Develop a proposal in accordance with legislation, regulations, applications, and timetables issued by the Secretary of Education. No State plan is required. Requests for applications should be made to the Federal TRIO Program. This program is subject to the provisions of the Education Department General Administrative Regulations.

Award Procedure:

Nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 647.21. Grants are awarded by the Federal TRIO Programs office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Approximately 90 to 180 days.

Appeals:

Other. The program statute requires a second review of unsuccessful applications. The Department implements the second review process in accordance with 34 CFR 647.24. An unsuccessful applicant requesting a second review must provide evidence for the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Other. Awards are for 5-year project periods. Continuation awards are made annually. Generally, continuation awards are made if sufficient funds have been appropriated and the grantee is meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five year projects, subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

Grantees must submit an annual performance report. Progress reports (ED 524B) are required for a project in its first year of funding. Staff monitor grants drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the Education Department General Administrative Regulations, 34 CFR 75, 730, 75.731, and 75.732 and 647.32 of the program regulations.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$56,492,000.00; FY 21 est \$38,023,000.00; FY 22 est \$65,447,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 647 and Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Katie Blanding,
Student Service Division, Office of Postsecondary Education, 400 Maryland Ave.,
SW,
Washington DC 20202
Email: katie.blanding@ed.gov
Phone: (202)453-7095

Website Address:

<http://www.ed.gov/programs/triomcnair/>

RELATED PROGRAMS:

84.044 TRIO_Talent Search; 84.042 TRIO_Student Support Services; 84.066 TRIO_Educational Opportunity Centers; 84.047 TRIO_Upward Bound; 84.103 TRIO Staff Training Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For new awards, the Department will select applicants under the criteria set forth in 647.21 and 647.22 of the program regulations. Contact the program office for additional information.

84.220 Centers for International Business Education

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part B, Section 612

OBJECTIVES:

To provide a comprehensive university approach to improve the teaching of international business by bringing together faculty from numerous disciplines and to engage in research to promote the international competitiveness of U.S. business.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The centers funded will: be national resources for the teaching of improved business techniques, strategies, and methodologies that emphasize the international context in which business is transacted; provide instruction in critical foreign languages and international fields needed to provide an understanding of the cultures and customs of U.S. trading partners; provide research and training in the international aspects of trade, commerce, and other fields of study; provide training to students enrolled in the institution or institutions in which a center is located; serve as regional resources to local businesses by offering programs and providing research designed to meet the international training needs of such businesses; and serve other faculty, students, and institutions of higher education located within their respective regions. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-377-3838.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Accredited public and nonprofit private institutions of higher education, or consortia of such institutions, that establish a center advisory council before the date Federal assistance is received may apply. This council will conduct extensive planning concerning the scope of the center's activities and the design of its program prior to establishing the center.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Education Professional; Student/Trainee; Graduate Student; State; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Students and faculty of accredited institutions of higher education will benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the Office of International and Foreign Language Education within

the Office of Postsecondary Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants developed according to procedures defined by the Education Department General Administrative Regulations.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 150 days.

Appeals:

Not Applicable.

Renewals:

Other. Project period may last up to 48 months. Applicants submit performance reports for continuation funding according to instructions published by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The Federal share of the cost of centers is: (a) Not more than 90 percent for the first year in which Federal funds are furnished; (b) not more than 70 percent for the second year; and (c) not more than 50 percent for the third year and succeeding years. The nonfederal share for planning, establishing, and operating the center may be provided by in-cash or in-kind assistance. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a 48-month period, subject to the availability of funds.

Method of awarding/releasing assistance: other

Reports:

Institutions must provide final reports upon completion of the program. Staff monitors drawdown using agency financial reports. Progress reports are required. Reporting measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available upon request for 3 years after close of the fiscal year in which the grant was closed out or until the grantee is notified of the completion of a Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$4,571,400.00; FY 21 est \$4,571,400.00; FY 22 est \$4,571,400.00;

Range and Average of Financial Assistance:

FY 2020: Average award was \$304,760; Awards ranged from \$265,000-\$305,000.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 82, 84, 85, 86, 97, 98, and 99 (Education Department General Administrative Regulations).

Regional or Local Office:

None.

Headquarters Office:

Timothy Duvall,
International and Foreign Language Education, Office of Postsecondary Education,
Department of Education, 400 Maryland Ave., SW,

ASSISTANCE LISTINGS DESCRIPTIONS

Washington DC 20202
Email: timothy.duvall@ed.gov
Phone: (202)453-7521

Website Address:

<http://www.ed.gov/programs/iegpscibe/>

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part B, Section 612 of the Higher Education Act of 1965, as amended.

84.229 Language Resource Centers

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 603

OBJECTIVES:

To provide grants for establishing, strengthening, and operating centers that serve as resources for improving the nation's capacity for teaching and learning foreign languages through teacher training, research, materials development, and dissemination projects.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Centers funded must carry out activities to improve the teaching and learning of foreign languages. These activities must include effective dissemination efforts, whenever appropriate, and may include--(a) the conduct and dissemination of research on new and improved methods for teaching foreign languages, including the use of advanced educational technology; (b) the development and dissemination of new materials for teaching foreign languages, to reflect the results of research on effective teaching strategies; (c) the development, application, and dissemination of performance testing that is appropriate for use in an educational setting to be used as a standard and comparable measurement of skill levels in foreign languages; (d) the training of teachers in the administration and interpretation of foreign language performance tests, the use of effective teaching strategies, and the use of new technologies; (e) a significant focus on the teaching and learning needs of the less commonly taught languages, including an assessment of the strategic needs of the United States, the determination of ways to meet those needs nationally, and the publication and dissemination of instructional materials in the less commonly taught languages; (f) the development and dissemination of materials designed to serve as a resource for foreign language teachers at the elementary and secondary school levels; and (g) the operation of intensive summer language institutes to train advanced foreign language students, to provide professional development, and to improve language instruction through pre-service and in-service language training for teachers.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); An institution of higher education or a consortia of institutions of higher education is eligible to receive an award.

Beneficiary Eligibility:

Private nonprofit institution/organization; Education Professional; Student/Trainee; Graduate Student; State; Local; Education (13+); Institutions of higher education or combinations of such institutions and individuals will benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible institutions may submit applications for funding when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of applications are available from the Advanced Training and Research Team in the Office of International and Foreign Language Education in the office of Postsecondary Education at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Institutions of higher education selected to receive funds for the 4-year phased program must submit annual performance reports for the continuation of support. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for up to a 48-month period. Continuation awards are made if the grantee has made substantial progress in achieving the objectives outlined in its application and are subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

Funded centers must provide final reports upon completion of the 48-month project period. Interim and annual progress reports are required throughout the grant's duration. Staff monitors grants drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of the completion of the Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$2,746,768.00; FY 21 est \$2,746,882.00; FY 22 est \$2,746,882.00;

Range and Average of Financial Assistance:

FY 2020: Average award was \$182,000 per year; Awards ranged from \$130,000-

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\$197,000 per year.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 669, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Stephanie McKissic,
International and Foreign Language Education, Office of Postsecondary Education,
Department of Education, 400 Maryland Ave. SW,
Washington DC 20202

Email: stephanie.mckissic@ed.gov

Phone: (202)453-6425

Website Address:

<http://www.ed.gov/programs/iegpslrc/>.

RELATED PROGRAMS:

84.220 Centers for International Business Education; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.021 Overseas Programs - Group Projects Abroad; 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.018 Overseas Programs_Special Bilateral Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 655.31, 669.21, and 669.22. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register.

84.235 Rehabilitation Services Demonstration and Training Programs

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 303

OBJECTIVES:

To provide financial assistance to projects and demonstrations for expanding and improving the provision of rehabilitation and other services authorized under the Act or that further the purposes of the Act, including related research and evaluation activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Authorized activities under this program include carrying out special demonstrations for expanding and improving the provision of rehabilitation and other services authorized under this Act and that further the purposes of the Act. Projects may include demonstrations to increase client choice; technical assistance; systems change; special studies and evaluations; demonstrations of service delivery; model demonstrations; transition services; supportive employment; services to underserved populations and/or unserved or underserved areas; services to low-incidence disabilities; transportation; and other projects designated by the Secretary. Other authorized programs include Parent Information and Training, and

Braille training projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are State vocational rehabilitation agencies, community rehabilitation programs, Indian tribes or tribal organizations, or other public or nonprofit agencies or organizations, or as the Commissioner determines appropriate, for-profit organizations. Grants cannot be made directly to individuals.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Individuals with disabilities.

Credentials/Documentation:

Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions, and A-122 for nonprofit organizations. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. See above.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for application procedures.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range is approximately 150 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. Projects with multi-year periods may be continued based on annual review of accomplishments and availability of appropriated funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Requirement: Matching requirements are mandatory. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for up to 60 months. Renewals are subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

As required by the Education Department General Administrative Regulations (34 CFR Part 75). Annual performance reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

As required by Education Department General Administrative Regulations for direct grant programs (34 CFR Part 75). The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to the Federal award must be retained for a period of three years from the date of submission of the final expenditure report as per the requirements at 2 CFR 200.333.

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$5,796,000.00; FY 21 est \$5,796,000.00; FY 22 est \$5,796,000.00;

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2020, the Department launched the VRTAC-QE and VRTAC-QM training and technical assistance centers. These centers will provide support to State vocational rehabilitation agencies to strengthen quality employment management and quality employment outcomes. Furthermore, the Department fully funded 12 awards and partially funded 3 awards under Demonstration and Training programs. The Department will continue to fund these awards in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: (A) The Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 75, 77, 79, 81, 82, 84, and 86, 97, 98, and 99; and (B) the regulations for this program in 34 CFR Part 373 apply. Uniform Guidance 2 CFR part 200; and 2 CFR part 180.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles,
Department of Education, OSERS Rehabilitation Services Administration, 400
Maryland Ave., SW.,
Washington DC 20202
Email: corinna.h.stiles@ed.gov
Phone: (202) 245-6162
Fax:

Website Address:

<https://rsa.ed.gov/about/programs>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.129 Rehabilitation Long-Term Training; 84.246 Rehabilitation Short-Term Training; 84.263 Innovative Rehabilitation Training; 84.264 Rehabilitation Training Technical Assistance Centers; 84.326 Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are published in the Federal Register. Applications for discretionary grants are subject to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program and department regulations (34 CFR Part 373).

84.240 Program of Protection and Advocacy of Individual Rights

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title V, Section 509

OBJECTIVES:

To provide grants for States, and to the eligible system serving the American Indian Consortium when sufficient funds are appropriated for the program in accordance with section 509(c)(1)(B) of the Rehabilitation Act of 1973 (Rehabilitation Act), to support protection and advocacy systems to protect the legal and human rights of individuals with disabilities who are ineligible for services from the other protection and advocacy programs or whose problems fall outside the scope of services available from the Client Assistance Program (CAP). This program is subject to a statutory non-supplanting requirement and, therefore, must use a restricted indirect cost rate in accordance with 34 CFR 76.563 through 76.569. For assistance with questions related to the restricted indirect cost rate, call the Office of Grants Administration/Indirect Cost Division at (202) 245-8134.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Secretary must set aside not less than 1.8 percent and not more than 2.2 percent of the funds appropriated for this program for training and technical assistance to eligible systems established under this program. Federal formula funds are used to support a system in each State to protect the legal and human rights of individuals with disabilities who need services that are beyond the scope of the CAP under Section 112 of the Rehabilitation Act; and are ineligible for the protection and advocacy programs under Part C of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (DDA) and the Protection and Advocacy for Individuals with Mental Illness Act (PAIMI Act). For any fiscal year in which the amount appropriated for this program equals or exceeds \$10,500,000, the Secretary must reserve a portion and make a grant to the eligible system serving the American Indian Consortium.

Applicant Eligibility:

State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Only designated protection and advocacy agencies in each State and Territory, and the protection and advocacy system serving the American Indian Consortium, may apply. Except for the protection and advocacy system serving the American Indian Consortium, the Governor designates the protection and advocacy agency in each State.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Individuals with disabilities will benefit.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Any application for a grant must contain assurances that the eligible protection and advocacy system will: (1) have in effect a system to protect and advocate for the rights of eligible individuals with disabilities; (2) have the same general authorities as outlined in Part C of the DDA; (3) have the authority to pursue legal, administrative, and other appropriate remedies to ensure the protection of the rights of individuals with disabilities; (4) provide information and referral services to individuals with disabilities in the State; (5) develop a priorities and objectives statement each year; (6) seek public comment about their proposed priorities and objectives statement; (7) establish a grievance procedure for clients and applicants of the eligible protection and advocacy system; (8) use the funds available for this program to supplement, not supplant, nonfederal funds that would otherwise be available for this purpose; and (9) utilize, to the maximum extent possible, mediation and other alternative dispute resolution procedures before resorting to formal administrative or legal remedies.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Once the applications have been approved, awards are made on the basis of the statutory formula or statutory minimum amounts, as applicable and the eligible system serving the American Indian Consortium.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. The State may appeal to the Office of Administrative Law Judges.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annual formula grants. Method of awarding/releasing assistance: other

Reports:

Performance reports are required annually. A report describing the types of services provided and the activities undertaken by eligible systems funded under the program, the total number of individuals served, the types of disabilities represented by such individuals, and the types of issues addressed on behalf of such individuals. Performance reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Final records must be maintained for 3 years after the grant ends or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

Formula Grants FY 20 \$18,150,000.00; FY 21 est \$18,150,000.00; FY 22 est \$18,150,000.00;

Range and Average of Financial Assistance:

For FY 2021, the estimated range of State awards (including the District of Columbia and Puerto Rico) under the distribution formula is \$176,454 to \$1,810,447, with a median award of \$198,983. The estimated award for each of the four outlying areas (i.e., American Samoa, Guam, Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands) is \$88,227.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations are published at 34 CFR Part 381.

Regional or Local Office:

None.

Headquarters Office:

Samuel Pierre,
Department of Education, OSERS, Rehabilitation Services Administration, 400 Maryland Ave., SW,

Washington DC 20202

Email: samuel.pierre@ed.gov

Phone: (202) 245-6488

Fax:

Website Address:

<https://www2.ed.gov/programs/rsapair/index.html>

RELATED PROGRAMS:

84.161 Rehabilitation Services_Client Assistance Program; 84.126 Rehabilitation Services Vocational Rehabilitation Grants to States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.245 Tribally Controlled Postsecondary Career and Technical Institutions

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, as amended by the Strengthening Career and Technical Education for the 21st Century Act (Perkins V), Section 117, Public Law P.L. 115-224, 20 USC Code 2327.

OBJECTIVES:

To make grants to eligible tribally controlled postsecondary career and technical institutions to provide career and technical education (CTE) services and basic support for the education and training of Indian students.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for expenses related to CTE programs such as those expenses related to train teachers, purchase equipment, provide instructional services, and provide institutional support related to CTE activities carried out under the grant. This program is subject to non-supplanting requirements. However, the statute authorizes grantee institutions not to use a restricted indirect cost rate (20 U.S.C. 2327(c)(3)). For assistance call the Office of the Chief Financial Officer/Indirect Cost Group (202) 708-7770.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; A tribally controlled postsecondary career and technical institution is an Institution of Higher Education (as defined in section 101 of the Higher Education Act of 1965, except that subsection (a)(2) of such section shall not be applicable and the reference to Secretary in subsection (a)(5) of such section shall be deemed to refer to the Secretary of the Interior) that: (1) Is formally controlled, or has been formally sanctioned or chartered, by the governing body of an Indian tribe or Indian tribes; (2) offers a technical degree- or certificate-granting program; (3) is governed by a board of directors or trustees, a majority of whom are Indians; (4) demonstrates adherence to stated goals, a philosophy, or a plan of operation, that fosters individual Indian economic and self-sufficient opportunity, including programs that are appropriate to stated Tribal goals of developing individual entrepreneurships and self-sustaining economic infrastructures on reservations or Tribal lands; (5) has been in operation for at least 3 years; (6) holds accreditation with or is a candidate for accreditation by a nationally recognized accrediting authority for postsecondary vocational and technical education; (7) enrolls the full-time equivalent of not less than 100 students, of whom a majority are Indians; and (8) receives no Federal funds under title I of the the Tribally Controlled College or University Act of 1978 (25 U.S.C. 1802 et seq.) or the Navajo Community College Act (Public Law 92-189; 85 Stat. 646) may apply.

Beneficiary Eligibility:

Native American Organizations; Student/Trainee; American Indian; Youth (16-21);

ASSISTANCE LISTINGS DESCRIPTIONS

Education (13+); Federally Recognized Indian Tribal Governments; Indian students and tribally controlled postsecondary career and technical institutions not receiving Federal funds under title I of the Tribally Controlled College or University Act of 1978 (25 U.S.C. 1802 et seq.) or the Navajo Community College Act (Public Law 92-189; 85 Stat. 646) may benefit.

Credentials/Documentation:

Signature by authorized designated official on the application is required. Allowability of costs is determined in accordance with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 34 CFR part 75 applies to this program. Applications must be prepared and submitted to the Department of Education in accordance with program announcements and established deadlines as published in the Federal Register.

Award Procedure:

Applications are reviewed in accordance with Federal Register program notices inviting applications and the regulations in 34 CFR Part 75.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

Other. The statute authorizing this program provides applicants with a statutory complaint resolution procedure for grant determinations and calculations (20 U.S.C. 2327(g)).

Renewals:

Other. Continuation awards are made to grantees contingent upon the availability of appropriations and subject to grantees submitting acceptable performance reports, in accordance with 34 CFR 75.253

Formula and Matching Requirements:

Statutory Formula: Title 20 U.S.C. 2327(c)(1), Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is up to 5 years. Method of awarding/releasing assistance: other

Reports:

Grantees must submit financial status, performance, and expenditure reports, as required by the Department of Education General Administrative Regulations, 34 CFR 75.720. Specific reporting requirements are discussed in the program's Federal Register program announcements and in the award document. Specific performance report requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See above.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0201-0-1-501.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$10,634,000.00; FY 22 est \$10,634,000.00;

Range and Average of Financial Assistance:

Estimated range: \$3,134,032-\$7,499,968.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made awards to 2 eligible grantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

(a)The Education Department General Administrative Regulations in 34 CFR Parts in 75, 77 , 81, 82, 84, 86, 97, 98 and 99 (b)The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c)The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474(d)The Notice of Final Requirements and Definitions Tribally Controlled Postsecondary Career and Technical Institutions Program (84 FR 25773)(e)The Tribally Controlled Postsecondary Career and Technical Institutions Program Federal Register notice inviting applications of June 25, 2019 (84 FR 29854) and Application Package.

Regional or Local Office:

None.

Headquarters Office:

Edward Smith,
Department of Education, OCTAE, Office of Career, Technical, and Adult Education, 400 Maryland Ave., SW,
Washington DC 20202
Email: edward.smith@ed.gov
Phone: (202) 245-7602

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on June 25, 2019 (84 FR 29854).

84.246 Rehabilitation Short-Term Training

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302

OBJECTIVES:

To support special seminars, institutes, workshops, and other short-term courses in technical matters relating to the vocational, medical, social, and psychological rehabilitation programs, independent living services programs, and client assistance programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational rehabilitation and independent living of individuals with disabilities, such as rehabilitation disciplines and program areas supported under the Rehabilitation Training program. Conferences and meetings where training is not the primary focus may not be supported under this program.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); States and public or nonprofit agencies and organizations, including Indian tribes and institutions of higher education may apply.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Disabled (e.g. Deaf, Blind, Physically Disabled); Individuals preparing for or employed in positions relating to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Secretary gives the designated State agency an opportunity to review and comment on applications submitted from within the State that it serves. The procedures to be followed by the applicant and the State are in 34 CFR 75.155 through 75.159. The Education Department General Administrative Regulations at 34 CFR Part 75 applies to this program. New applications are made electronically, or potential applicants may request to submit a hardcopy application to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.246.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range is from 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine adequate performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. A grantee must contribute to the cost of a project under this program in an amount satisfactory to the Secretary. The part of the costs to be borne by the grantee is determined by the Secretary at the time of the grant award. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects are generally supported for one to five years. Funds are granted on a twelve month basis. Method of awarding/releasing assistance: other

Reports:

Annual financial and performance progress reports must be submitted as required by the grant award terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The audit requirements in 2 CFR 200, Subpart F are applicable.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to the Federal award must be retained for a period of three years from the date of submission of the final expenditure report as per the requirements at 2 CFR 200.333.

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$200,000.00; FY 21 est \$308,000.00; FY 22 est \$308,000.00;

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Department funded one short-term training continuation award in FY 2020 and plans to fund one new award in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200; 34 CFR parts 385 and 390.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles,
Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W.,
Washington DC 20202
Email: corinna.h.stiles@ed.gov
Phone: (202) 245-6162
Fax:

Website Address:

<https://rsa.ed.gov/about/programs/rehabilitation-training-short-term>

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.264 Rehabilitation Training_Continuing Education; 84.263 Innovative Rehabilitation Training; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 390, and include consideration of an applications relevance to the State-Federal vocational rehabilitation service program and evidence of training needs of personnel.

84.250 American Indian Vocational Rehabilitation Services

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title I, Part C, Section 121

OBJECTIVES:

To make grants to the governing bodies of Indian tribes (and consortia of such governing bodies) located on Federal and State reservations to provide vocational rehabilitation services to American Indians with disabilities who reside on or near such reservations, consistent with their individual strengths, resources, priorities, concerns, abilities, capabilities, interests and informed choice, so that they may prepare for and engage in high-quality employment that will increase opportunities for economic self-sufficiency.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial assistance is provided to the governing bodies of Indian Tribes located on Federal and State reservations (and consortia of such governing bodies) to provide vocational rehabilitation services for American Indians with disabilities residing on or near such reservations under section 121(a) of the Rehabilitation Act. Section 121(c) authorizes the Commissioner to make grants, or enter into contracts or other cooperative agreements with entities that have experience in the operation of vocational rehabilitation services programs under this section to provide such training and technical assistance with respect to developing, conducting, administering, and evaluating such programs to the governing bodies of Indian tribes that have received American Indian Vocational Rehabilitation Services (AIVRS) grants under section 121(a) of the Act.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The definition of Indian Tribe" includes Tribal Organizations as defined in section 4(l) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b(l)). AIVRS grant competition: Governing bodies of Indian tribes located on Federal and State reservations (and consortia of such governing bodies) may apply. Training and technical assistance grant: State, local, or tribal governments, non-profit organizations, or institutions of higher education may apply.

Beneficiary Eligibility:

American Indian; Disabled (e.g. Deaf, Blind, Physically Disabled); American Indians with disabilities residing on or near a Federal or State reservation who meet the definition of an individual with a disability in Section 7 (9) of the Rehabilitation Act.

Credentials/Documentation:

As a part of the Departments Application Package for the AIVRS program under Assistance Listing Number 84.250, applicants are asked to include as a part of the application documentation demonstrating that they meet the definition of an Indian Tribe, that they are located on a State or Federal reservation, and that they submit a resolution from the governing body of the Indian tribe authorizing the application for assistance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Education Department General Administrative Regulations at 34 CFR Part 75 apply to this program. Applications must be submitted to the Department e-Grants Website or through other methods, according to instructions specified in the Notice Inviting Applications.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department. In making grants, the Department gives priority consideration to applications for the continuation of programs which have been previously funded under the program. The Commissioner of the Rehabilitation Services Administration approves continuation awards for existing projects.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. Projects are required to contribute ten percent of the total cost of the project in cash or in-kind, unless the Commissioner of RSA waives the cost-share requirement under 34 CFR 371.40(c) if the applicant demonstrates that it does not have sufficient resources to contribute the non-Federal share of the cost of the program. Matching requirements are mandatory and are calculated by dividing the amount of Federal funding being requested by nine (9) (e.g., Federal award requested: \$450,000 divided by 9 = \$50,000 matching requirement).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project support is available for up to 60-months. Method of awarding/releasing assistance: other

Reports:

Annual financial reports must be submitted as required by grant award terms and conditions. Progress reports: Grantees must submit an annual performance report (APR) as required under Education Department General Administrative Regulations (EDGAR) 34 CFR Part 80.40 and 80.41. Performance monitoring: Program performance is monitored through the Annual Performance Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The audit requirements in 2 CFR 200, Subpart F are applicable.

Records:

Case records are for individual program participants. Fiscal records must be kept for audit purposes. Retention of records is required for three years.

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$45,250,000.00; FY 21 est \$50,650,000.00; FY 22 est \$51,803,000.00;

Range and Average of Financial Assistance:

Range of new awards in FY 2019 was \$233,660 to \$1,600,292; Median new award: \$487,734. The Department did not make any new awards in FY2020.

TAES Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: To accommodate the adverse effects the COVID-19 Pandemic had on potential applicants ability to access the necessary resources to develop and submit a complete grant application for the FY 2020 84.250N AIVRS grant competition, and to ensure continuity of services, the Department extended awards and provided an additional year of funding, not to exceed September 30, 2021, for 29 AIVRS projects that were scheduled to end in FY 2020. The Department intends to fund between 40 and 45 new awards in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR 75, 77, 81, 82, 84, and Uniform Guidance, 2 CFR 200, Subpart E Cost Principles

ASSISTANCE LISTINGS DESCRIPTIONS

and Audit Requirements for Federal Awards. Vocational Rehabilitation Services regulations in 34 CFR 371.

Regional or Local Office:

None.

Headquarters Office:

Corinne Weidenthal,
Department of Education, OSERS Rehabilitation Services Administration, 400
Maryland Ave., SW,
Washington DC 20202
Email: corinne.weidenthal@ed.gov
Phone: 202-245-6529

Fax:

Website Address:

<https://www2.ed.gov/programs/vramerind/index.html>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The availability of funds is published in the Federal Register. Applications for discretionary grants are subject to peer review procedures by nonfederal experts that lead to recommendations for approval or disapproval. The selection criteria for this competition are from 34 CFR 75.210 of the Education Department General Administrative Regulations and are listed in the application package. Selection criteria include extent of need for the project, significance, quality of the project design, quality of the project services, quality of project personnel, adequacy of resources, and quality of the management plan.

84.259 Native Hawaiian Career and Technical Education

FEDERAL AGENCY:

Office of Career, Technical, and Adult Education, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, as amended by the Strengthening Career and Technical Education for the 21st Century (Perkins V),, Section 116(h), Public Law P.L. 115-224, 20 USC Code 2326(h).

OBJECTIVES:

To make grants to community-based organizations primarily serving and representing Native Hawaiians for programs or portions of programs authorized by, and consistent with, the Carl D. Perkins Career and Technical Education Act of 2006, as amended by the Strengthening Career and Technical Education for the 21st Century (Perkins V).

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Native Hawaiians.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Quasi-public nonprofit institution/organization; Other private institutions/organizations; Community-based organizations primarily serving and representing Native Hawaiians may apply.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; School; Youth (16-21); Education (9-12); Native Hawaiians individuals will benefit.

Credentials/Documentation:

Allowability of costs is determined in accordance with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2

CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 34 CFR part 75 applies to this program. Applications must be prepared and submitted in accordance with program announcements which are published in the Federal Register.

Award Procedure:

The Department reviews each application using the selection criteria in the program notice published in the Federal Register, in accordance with 34 CFR Part 75, and sends the Notification of Grant Award to each recipient selected for funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

Not Applicable.

Renewals:

Other. Continuation awards may be made, subject to acceptable performance reports and the availability of appropriations for Perkins V. Maximum award period is up to 36 months. The Secretary may extend the performance periods of funded NHCTEP grantees for an additional two years, should Congress continue to appropriate funds under the Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is up to 3 years subject to the availability of funds and performance by the grantee. Renewals are subject to the availability of funds under Perkins V and grantee performance. Method of awarding/releasing assistance: other

Reports:

Specific reporting requirements are contained in 34 CFR part 75 and in the grant award documents, program reports are required semi-annually and annually. Cash reports are required annually. Specific progress report requirements will be included in the grant documents. Specific expenditure report requirements will be included in the grant documents. Specific performance report requirements will be included in the grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See above.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0400-0-1-501.

Obligations:

Project Grants FY 20 \$3,206,495.00; FY 21 est \$3,337,120.00; FY 22 est \$3,387,120.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Estimated range: \$250,000-\$500,000; Estimated average: \$289,827; Actual range: \$258,219-\$513,638; Actual average: \$362,220

TAFS Codes:

91-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made 9 grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

(a)The Education Department General Administrative Regulations in 34 CFR parts 75, 77, 81, 82, 84, 86, 97, 98, and 99. (b) The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485.(c)The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.(d)The notice inviting applications published in the Federal Register on June 27, 2018 (83 FR 30151).(e)The notice of final requirements published in the Federal Register on March 24, 2009. (f)The Secretary's Supplemental Priorities published on March 2, 2018 (83 FR 9096) applies to this program.This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, in accordance with 34 CFR 75.563. For assistance with restricted indirect cost rates call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770 .

Regional or Local Office:

None.

Headquarters Office:

Patti Beltram,
Department of Education, OCTAE Division of Academic and Technical Education
400 Maryland Ave., S.W.,
Washington DC 20202-7241
Email: patti.beltram@ed.gov
Phone: (202) 245-7094

Website Address:

<http://cte.ed.gov/grants/discretionary-grants>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States; 84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives;

EXAMPLES OF FUNDED PROJECTS:

2021 Career and technical education programs for secondary, postsecondary, and adult students.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on June 27, 2018 (83 FR 30151).

84.263 Innovative Rehabilitation Training

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302

OBJECTIVES:

This program is designed to: (a) Develop new types of training programs for rehabilitation personnel and to demonstrate the effectiveness of these new types of training programs for rehabilitation personnel in providing rehabilitation services to individuals with disabilities; (b) develop new and improved methods of training rehabilitation personnel so that there may be a more effective delivery of rehabilitation services to individuals with disabilities by designated State rehabilitation units or other public or non-profit rehabilitation service agencies or organizations; and (c) develop new innovative training programs for vocational rehabilitation professionals and paraprofessionals to have a 21st century understanding of the evolving labor force and the needs of individuals with disabilities so they can more effectively provide vocational rehabilitation services to individuals with disabilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational rehabilitation and independent living of individuals with disabilities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); States (includes the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) and public or private nonprofit agencies and organizations, including Indian tribes and institutions of higher education may apply.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; State; Disabled (e.g. Deaf, Blind, Physically Disabled); Local; Youth (16-21); Individuals preparing for or employed in positions relating to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

2CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Secretary gives the designated State agency an opportunity to review and comment on applications submitted from within the State that it serves. The procedures to be followed by the applicant and the State are in 34 CFR 75.155 through 75.159.The Education Department General Administrative Regulations at 34 CFR Part 75 applies to this program. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.263.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. A grantee must contribute to the cost of a project under this program in an amount

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satisfactory to the Secretary. The part of the costs to be borne by the grantee is determined by the Secretary at the time of the grant award. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects may generally be supported for a maximum of 5 years. Funds are granted on a 12-month basis. Renewals are subject to the availability of funds and are available only through the new application process. Method of awarding/releasing assistance: other

Reports:

Annual financial and performance progress reports must be submitted as required by grant award terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The audit requirements in 2 C.F.R. part 200, Subpart F are applicable.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally records related to grant funds, compliance, and performance must be maintained in accordance with the requirements at 2 C.F.R. 200.334.

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$5,128,235.00; FY 21 est \$3,048,563.00; FY 22 est \$3,391,711.00;

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Department made two new awards under the Innovative Training Rehabilitation program in FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 C.F.R. part 180; the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 C.F.R. part 200; the regulations for this program in 34 C.F.R. parts 385 and 387; the Education Department General Administrative Regulations in 34 C.F.R. parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles,
Department of Education, OSERS Rehabilitation Services Administration, 400
Maryland Ave., S.W.,
Washington DC 20202
Email: corinna.h.stiles@ed.gov
Phone: (202) 245-6162
Fax:

Website Address:

<https://rsa.ed.gov/about/programs/innovative-rehabilitation-training>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.264 Rehabilitation Training_Continuing Education; 84.129 Rehabilitation Long-Term Training;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 387, and include consideration of an applications relevance to the State-Federal vocational rehabilitation service program and the nature and scope of the curriculum.

84.264 Rehabilitation Training Technical Assistance Centers

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302

OBJECTIVES:

To increase the number and quality of employment outcomes for individuals with disabilities through training and technical assistance to State Vocational Rehabilitation (VR) agency personnel.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Training grants support projects that provide training, traineeships, and technical assistance (TA) designed to increase the numbers of, and improve the skills of, qualified personnel, especially rehabilitation counselors, who are trained to: provide vocational, medical, social, and psychological rehabilitation services to individuals with disabilities; assist individuals with communication and related disorders; and provide other services authorized under the Rehabilitation Act.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); States (includes the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) and public or private nonprofit agencies and organizations, including Indian tribes and institutions of higher education.

Beneficiary Eligibility:

Individual/Family; Education Professional; Disabled (e.g. Deaf, Blind, Physically Disabled); Individuals employed in positions related to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Secretary gives the designated State agency an opportunity to review and comment on applications submitted from within the State that it serves. The procedures to be followed by the applicant and the State are in 34 CFR 75.155 through 75.159. The Education

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Department General Administrative Regulations at 34 C.F.R. Part 75 applies to this program.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years through 12-month budget periods. Method of awarding/releasing assistance: other

Reports:

Annual financial and performance progress reports must be submitted as required by grant award terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally records related to grant funds, compliance, and performance must be maintained in accordance with the requirements at 2 C.F.R. 200.334.

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$6,000,000.00; FY 21 est \$6,000,000.00; FY 22 est \$6,000,000.00;

Range and Average of Financial Assistance:

In FY 2020, the estimated average award is \$3,000,000.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Department made two new awards under the Rehabilitation Training Technical Assistance Centers program in FY 2020.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 C.F.R. part 180; the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 C.F.R. part 200; the regulations for this program in 34 C.F.R. parts 385.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles,
Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W.,
Washington DC 20202

Email: corinna.h.stiles@ed.gov

Phone: (202) 245-6162

Fax:

Website Address:

<https://rsa.ed.gov/about/programs>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.129 Rehabilitation Long-Term Training; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.263 Innovative Rehabilitation Training;

EXAMPLES OF FUNDED PROJECTS:

2020 VR Technical Assistance Center (TAC) for Quality Management (VRTAC-QM): Enables State VR agency personnel to manage available resources better and improve service delivery. VRTAC for Quality Employment (VRTAC-QE): Supports State VR agency personnel to implement innovative and effective employment strategies and supporting practices.

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program and priorities for projects in the application notice are considered. Criteria for evaluating applications are published in program regulations 34 C.F.R. Part 385.

84.268 Federal Direct Student Loans

FEDERAL AGENCY:

OFFICE OF FEDERAL STUDENT AID, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part D,

OBJECTIVES:

To provide loan capital directly from the Federal government to vocational, undergraduate, and graduate postsecondary school students and their parents.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

To help defray costs of education at participating institutions. Repayment on Federal Stafford loans begins six months after the student ceases to carry at least one-half the normal full-time academic workload. Repayment of principal and interest on PLUS loans generally begins within 60 days of disbursement of the last installment; repayment of a Consolidation loan begins within 60 days after the proceeds of the loan have been used to discharge the liability of the borrower on the loans selected for consolidation. Deferments and forbearance of payment may be granted for certain authorized periods.

Applicant Eligibility:

Individual/Family; The applicant must be a U.S. citizen, national, or person in the United States for other than a temporary purpose. A student borrower must be enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, or professional student at a participating postsecondary institution. An otherwise eligible student is eligible for loans during a single twelve-month period in which the student is enrolled in a non-degree/non-certificate course of study that the institution has determined is necessary in order for the student to enroll in a program leading to a degree or certificate. Under the Direct PLUS program, parents may borrow for dependent undergraduate students. Also, graduate and professional students are eligible to borrow PLUS Loans. Direct Unsubsidized and PLUS loans are available to eligible

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borrowers regardless of need. However, a financial needs test based on family income is required for an undergraduate student to receive a Direct Subsidized loan. A student that is presently enrolled at a participating institution must be maintaining satisfactory academic progress in the course of study that they are pursuing. The borrower may not owe a refund on any Title IV grant or be in default on any Title IV loan received for attendance at any institution. The borrower must also file a Statement of Registration compliance (Selective Service).

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; Graduate Student; Education (13+); Vocational, undergraduate, and graduate postsecondary school students and their parents.

Credentials/Documentation:

The borrower will need certification of eligibility from the institution and may be required to supply documentation to verify the accuracy of data used in the Direct Subsidized loan need analysis. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for the Direct Subsidized and Unsubsidized loans are obtained from the institution. Direct consolidation loan applications are obtained from the Department of Education. To obtain a Direct Subsidized or Unsubsidized loan, a student shall complete and submit a Free Application for Federal Student Aid (FAFSA). All applications (with the exception of PLUS) for Direct Loans are processed by the Central Processor System (CPS) in the initial step to determine a student's Title IV eligibility. Institutions will receive official output from the CPS, and then will be able to assemble a financial aid award package. An institution participating in the Direct Loan program may award Direct Loans as part of the financial aid package. If a Direct Loan is not included in the aid package, a student may contact the institution to request loan information.

Award Procedure:

Institutions participate in the Direct Loan program either by originating loans or by using an alternative originator to originate loans. The institution must certify the borrower's eligibility to receive the funds. To obtain a Direct PLUS Loan, the parent completes an application and authorizes a credit check. If the parent does not have an adverse credit history, the loan may be disbursed. Institutions may disburse funds by crediting them directly to the student's account at the institution, issuing a check or cash, or by initiating an electronic funds transfer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants may apply for a loan for any year of attendance at a postsecondary institution. Starting July 1, 2013, the interest rate on new Stafford Loans is fixed at a rate equal to the 10-year Treasury note plus 2.05 percent (capped at 8.25 percent); new Unsubsidized Stafford loans have a fixed interest rate equal to the 10-year Treasury note plus 3.6 percent (capped at 9.5 percent); and Direct PLUS loans have a fixed interest rate equal to the 10-year Treasury note plus 4.6 percent (capped at 10.5 percent). Generally, there are four types of repayment plans that are available to Direct Loan borrowers: (1) A standard repayment plan with a fixed monthly payment amount over a period of up to 10 years; (2) an extended repayment plan with a fixed monthly payment amount paid over a period of 12 to 30 years,

depending on the amount owed; (3) a graduated repayment plan with a monthly payment that will increase generally every 2 years. The repayment period varies from 12 to 30 years, depending on the amount owed; and (4) except for Direct PLUS Loan borrowers, income-driven repayment plans such as the income contingent repayment (ICR) plan with a monthly payment that is adjusted annually based on the income of the borrower and paid over an extended period of time not to exceed 25 years. Any unpaid balance remaining after 25 years in the ICR plan is forgiven by the Secretary of Education. However, under current IRS rules, the amount written off is considered taxable income to the borrower in the year it is written off. In 2007, a new income-based repayment plan was created for those borrowers meeting a standard of "partial financial hardship" based on their annual repayment amount in relation to their adjusted gross income. Generally a borrower would not have to repay on a monthly basis more than 15 percent of their discretionary income, and any outstanding balance would be forgiven after 25 years of repayment. For new borrowers on or after July 1, 2014, these limits have been lowered to 10 percent of discretionary income and forgiveness after 20 years of repayment. Additionally, two new income-driven repayment plans extended those benefits to all qualified student borrowers. First, as of December 21, 2012, the Pay As You Earn (PAYE) plan, is an option for those who meet the partial financial hardship standard, were a new borrower as of October 1, 2007, and who received a Direct Loan disbursement on or after October 1, 2011. Second, as of December 17, 2015, the Revised Pay As You Earn (REPAYE) plan became available to all qualified student borrowers regardless of when they borrowed and did not require a partial financial hardship for reduced payments. Direct Loan borrowers who work in public service are eligible for forgiveness during a 10-year period while they are repaying their loans. If these borrowers, during repayment, have an outstanding loan balance after 10 years of working in public service, the Federal Government will forgive the remaining balance on behalf of the borrower. Public service may include a variety of public disciplines such as education, health, law, military service and public safety. Method of awarding/releasing assistance: other

Reports:

The Department will produce a variety of management information system accounting, and financial management reports. Institutions must submit all reports required by 34 CFR 685 and 34 CFR 668.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Institutions are subject to examination and program review by the Department of Education. Program reviews are performed on a fiscal year basis.

Records:

Institutions must maintain proper administrative and fiscal procedures and all necessary records as set forth in the regulations in 34 CFR 685 and 34 CFR 668. Institutions must keep all records involved in any loan, claim, or expenditure questioned by a Federal audit until resolution of any audit questions. In addition to records required by 34 CFR 668, for each Direct Loan received under 34 CFR 685 by or on behalf of this student, an institution shall maintain a copy of the loan application and data electronically submitted to the Secretary.

Account Identification:

91-0243-0-1-502.

Obligations:

Direct Loans FY 20 (\$1,747,694,216.00); FY 21 est \$289,445,784.00; FY 22 est \$1,066,056,784.00; Direct Loans FY 20 \$336,019,928.00; FY 21 est (\$1,923,473,664.00); FY 22 est \$809,361,336.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

91-0243.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funding is expected to provide loans to approximately 7.4 million borrowers. Fiscal Year 2022: Funding is expected to provide loans to approximately 7.4 million borrowers.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

Student Loan Reform Act of 1993, Public Law 103-66, 34 CFR 685.

Regional or Local Office:

See Regional Assistance Locations. See the list of Regional Offices listed in Appendix 5 of the FFEL Catalog.

Headquarters Office:

Federal Student Aid Information Center,
Federal Student Aid (FSA), Department of Education, P.O. Box 84,
Washington DC 20044-0084
Email: studentaidhelp@ed.gov
Phone: 800-433-3243

Website Address:

<http://www.ifap.ed.gov/>.

RELATED PROGRAMS:

84.063 Federal Pell Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.274 American Overseas Research Centers

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 609

OBJECTIVES:

To enable American overseas research centers, that are consortia of higher education institutions, to promote postgraduate research, exchanges, and area studies.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants may be used to pay all or a portion of the cost of establishing or operating a center or program, including the cost of faculty and staff stipends and salaries; faculty, staff, and student travel; the operation and maintenance of overseas facilities; the cost of teaching and research materials; the cost of bringing visiting scholars and faculty to a center to teach or to conduct research; the cost of organizing and managing conferences; and the cost of publishing and disseminating materials for the scholarly and general public.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; The Secretary shall only award grants to centers that: (1) receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Other private institution/organization; Scientist/Researchers; U.S. Citizen; Public nonprofit institution/organization; Other public institution/organization; Consortia of institutions of higher education that (1) receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code will benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible centers may submit an application for funding when a new competition is announced in the Federal Register. Application forms and guidelines for the submission of applications are available from the Office of International and Foreign Language Education within the Office of Postsecondary Education at the Department of Education.

Award Procedure:

Applications are evaluated by peer review panels with area studies expertise. Funding recommendations are made to the Secretary based on the outcome of the peer review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The approval time is approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Centers selected to receive funds for the 4-year project period must submit annual performance reports for continuation of support. Continuation grant awards are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods for a total project period of up to 48 months. Method of awarding/releasing assistance: other

Reports:

Funded centers submit annual performance reports through the International Resource Information System (IRIS). The annual performance reports provide narratives about the status of project activities, including evaluation efforts, as well as the centers progress toward achieving the objectives for the reporting period. The annual reports also provide information about budget expenditures during the reporting period. A final performance report is due 90 days after the end date of the final budget period of the project. Financial status reports are not required. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) for 3 years after the close of the fiscal year that the grant expired; or (2) until the grantee is notified that the fiscal audit has closed, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants FY 20 \$1,000,000.00; FY 21 est \$1,000,007.00; FY 22 est \$1,015,000.00;

Range and Average of Financial Assistance:

FY 2020: Average award was \$58,000 per year; Awards ranged from \$46,000-\$70,000 per year.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is governed by the authorizing legislation and the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79 through 82, 85, 86, 97, 98, and 99. Contact the program office for more information.

Regional or Local Office:

Headquarters Office:

Cheryl Gibbs,
International and Foreign Language Education, Office of Postsecondary Education,
Department of Education, 400 Maryland Ave., SW,
Washington DC 20202

Email: Cheryl.Gibbs@ed.gov

Phone: (202) 453-5690

Website Address:

<http://www.ed.gov/programs/iegpsaorc/>.

RELATED PROGRAMS:

84.229 Language Resource Centers; 84.220 Centers for International Business Education; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part A, Section 609 of the Higher Education Act of 1965, as amended.

84.282 Charter Schools

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V Part C.,

OBJECTIVES:

The program supports startup of new charter schools and the replication and expansion of high-quality charter schools. Charter schools increase educational options for parents and students and, in exchange for stricter academic accountability, are exempt from many statutory and regulatory requirements. Funds also support State efforts to improve charter schools' access to facilities.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

State entities receiving grants make subgrants to charter school developers to open new charter schools or replicate or expand high-quality charter schools; if an eligible State entity does not receive a grant, charter school developers in the State may apply directly to the Secretary for funding. Charter management organizations receiving grants use funds for replication and expansion of high-quality charter

schools. Charter school developers and charter management organizations receive up to 5 years of assistance, of which they may use not more than 18 months for planning and program design, and may use funds for such activities as preparing educators, acquiring supplies and equipment, carrying out necessary facility renovations excluding construction, establishing student transportation systems, and carrying out community engagement activities. States may also receive grants to establish and administer per-pupil facilities aid programs for charter schools. Due to non-supplanting requirements, applicants for per-pupil facilities aid program grants must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202)708-7770. Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); State entities, including State educational agencies, State charter school boards, Governors, and statewide charter school organizations, in States in which State law authorizes charter schools are eligible. If no State entity in a State receives a grant, charter school developers in the State that have applied to an authorized public chartering authority to operate a charter school, and provided adequate and timely notice to that authority, may apply directly to the Secretary. Charter management organizations with experience operating or managing high-quality quality schools are also separately eligible for grants. Lastly, States are eligible for grants for charter school per-pupil facilities aid programs.

Beneficiary Eligibility:

Education Professional; Student/Trainee; State; Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); School administrators, teachers, and students and their parents are beneficiaries.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for application information. Application notices are published in the Federal Register.

Award Procedure:

Contact the Headquarters Office as listed below for this information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Other. To receive continuation funding, grantees must demonstrate substantial progress toward meeting the goals and objectives of their project. Continuation awards are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Matching requirements are applicable with respect to grants to States to establish and administer per-pupil facilities aid programs for charter schools. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and subgrants are awarded for a period of not more than 5 years, subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

As part of the continuation funding process, grantees must submit performance

ASSISTANCE LISTINGS DESCRIPTIONS

reports annually. Final performance reports must be submitted within 90 days of the end of the grant award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained for 3 years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$370,019,073.00; FY 21 est \$369,000,000.00; FY 22 est \$367,675,000.00;

Range and Average of Financial Assistance:

Range of awards: \$100,000 - \$45,000,000

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Anna Hinton,
Department of Education, Office of Elementary and Secondary Education, Charter Schools Program, 400 Maryland Ave., SW,
Washington DC 20202
Email: anna.hinton@ed.gov
Phone: 2022601816
Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/charter-school-programs/>

RELATED PROGRAMS:

84.354 Credit Enhancement for Charter School Facilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in program statute and regulations. Contact the program office for more information.

84.283 Comprehensive Centers

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Educational Technical Assistance Act of 2002, Section 203 et seq.

OBJECTIVES:

This program supports no fewer than 20 comprehensive centers that provide training, professional development, and technical assistance to State educational agencies, local educational agencies, regional educational agencies, and schools to improve educational outcomes for all students, close achievement gaps, and improve the quality of instruction. By statute, the Department is required to establish at least 1 center in each of the 10 geographic regions served by the Department's regional educational laboratories (RELs). Information on the REL regions is available at <http://ies.ed.gov/ncee/edlabs/>

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The FY 2019 competition resulted in the Department making grant awards to 20 centers, including 19 regional centers and 1 national center. In addition, the Department supports one center funded in FY 2016 in response to the reauthorization of the Elementary and Secondary Education Act of 1965 (ESEA) that focuses on students at risk of not attaining full literacy skills due to a disability; this Center is being re-competed in FY 2021. Grantees are required to develop 5-year plans for carrying out authorized activities that address State and regional needs. Information on allowable activities can be found in the statute, which is available at <https://oese.ed.gov/offices/office-of-formula-grants/program-and-grantee-support-services/comprehensive-centers-program/legislation-regulations-and-guidance/>.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Research organizations, institutions, agencies, institutions of higher education (IHEs), or partnerships among such entities, or individuals with the demonstrated ability or capacity to carry out the activities required in the notice inviting applications, including regional entities that carried out activities under the Educational Research, Development, Dissemination, and Improvement Act of 1994 (as such Act existed on the day before November 5, 2002) and title XIII of the Elementary and Secondary Education Act of 1965 (ESEA) (as such title existed on the day before January 8, 2002).

Beneficiary Eligibility:

Education Professional; State; Agencies supporting or providing elementary and secondary education will benefit, including State and local educational agencies, regional educational agencies, the Bureau of Indian Education, Indian tribes, community-based organizations, and other recipients of funds under the ESEA.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR part 200, Subpart F - Audit Requirements applies to this program. 34 CFR part 75 applies to this program. 34 CFR part 77 applies to this program. Final priorities, requirements, definitions, and performance measures for the Comprehensive Centers Program published in the Federal Register on May 6, 2019 (84 FR 13122) applies to this program. OMB Circular No. A-102 does not apply to this program. Competitions are announced in the Federal Register and on the Department of Education web site at <https://oese.ed.gov/offices/office-of-formula-grants/program-and-grantee-support-services/comprehensive-centers-program/applicant-information/>. Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

After completion of competitive peer review of applications, the Department makes the final decision on the approval and funding of applications. If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN). The Department of Education may also notify successful applicants informally.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. Awards are made for up to five years, subject to the availability of appropriations and grantee performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be awarded for up to five years subject to the availability of funds and performance by the grantee. Method of awarding/releasing assistance: other

Reports:

Specified reporting requirements are included in the grant or cooperative agreement document. As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR part 75), annual performance reports (ED 524B) are required of all grantees; additional report requirements will be included in grant award documents. Performance measures are established for the program and grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR part 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR part 200.503.

Records:

Records must be retained for a period of 3 years from the date of submission of the final expenditure report as required by 2 CFR 200.333.

Account Identification:

91-1000-0-1-501.

Obligations:

Project Grants FY 20 \$52,000,000.00; FY 21 est \$52,000,000.00; FY 22 est \$52,000,000.00;

Range and Average of Financial Assistance:

The Department held a competition for new awards in FY 2019 and made 5-year awards for 19 regional centers and one national center; awards ranged from \$1.0 to \$6.5 million. In addition, the Department supports a 5-year award made in FY 2016 for a center that focuses on students at risk of not attaining full literacy skills due to a disability; this center receives \$1.5 million per year and is being re-competed in FY 2021. For additional information, see <https://oese.ed.gov/offices/office-of-formula-grants/program-and-grantee-support-services/comprehensive-centers-program/>. Funds also may be used to support contracts to study program implementation and effectiveness.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2022: Regional Centers provide intensive capacity-building services to help SEAs and other recipients identify, implement, and sustain effective evidence-based practices that improve instruction and student outcomes. The National Center provides universal and targeted capacity-building services to address common high-leverage problems and common programmatic monitoring and audit findings, as well as information on emerging national education issues. The Centers work has taken on additional meaning during the COVID-19 crisis, and Centers successfully pivoted to provide services to help SEAs and LEAs adapt to difficult and changing situations, revising their service plans on an on-going basis to address changing needs. Centers raised awareness of existing resources and services, provided information to SEAs to inform their resource allocation decisions in ways that would support educational equity, improved literacy instruction, and the educator workforce. The National Center supported the Summer Learning and Enrichment Collaborative, a national professional learning community bringing together state and local organizations across all 50 states and U.S. territories to explore how to leverage American Rescue Plan (ARP) and other federal funds to support effective summer learning and enrichment opportunities to meet the needs of students most impacted by the pandemic. As needed, Regional Centers support SEAs implementing recovery plans and help SEAs identify how to integrate stimulus funding into ESEA plans. Information on Comprehensive Center activities is available at <https://compcenternetwork.org/>.

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The OMB Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. (d) The regulations in 34 CFR part 299. (e) The Notice of Final Priorities, Requirements, Definitions, and Performance Measures-Comprehensive Centers Program (84 FR 13122, April 4, 2019) (f) The Secretary's Final Supplemental Priorities and Definitions for Discretionary Grant Programs (83 FR 9096, March 2, 2018) Grantees also must follow requirements in the notice of final priorities, requirements, and selection criteria-Comprehensive Centers Program, published in the Federal Register on June 6, 2012 (77 FR 33573). Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Danielle Smith,
Director, Program and Grantee Support Services, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., LBJ Bldg., Room 3E108,
Washington DC 20202
Email: danielle.smith2@ed.gov
Phone: (202) 453-5546
Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-formula-grants/program-and-grantee-support-services/comprehensive-centers-program/>

RELATED PROGRAMS:

84.004 Civil Rights Training and Advisory Services; 84.326 Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the program application package and also are included in the notice inviting applications published in the Federal Register. Contact the program office for more information.

ASSISTANCE LISTINGS DESCRIPTIONS

84.287 Twenty-First Century Community Learning Centers

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title IV, Part B,

OBJECTIVES:

To provide opportunities for communities to establish or expand activities in community learning centers that provide opportunities for academic enrichment for children, particularly students who attend high-poverty and low-performing schools. The program is intended to help students meet state and local student academic achievement standards in core academic subjects, such as reading and math; to offer students a broad array of enrichment activities that reinforce and complement their regular academic programs; and to offer literacy and other educational services to the families of participating children.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Program funds may be used to provide activities that complement and reinforce the regular school-day program of participating students and may also fund local activities that are included as part of an expanded learning time (ELT) program that provides students at least 300 additional program hours before, during, or after the traditional school day. Funds may be used for a broad range of activities, such as those that support a well-rounded education; financial literacy and environmental literacy programs; programs that support a healthy and active lifestyle; services for individuals with disabilities; activities for students who are English learners; cultural programs; telecommunications and technology education programs; expanded library service hours; family engagement and literacy programs; programs for students who have been truant, suspended, or expelled; drug and violence prevention activities; programs that partner with in-demand fields of the local workforce; and programs that build skills in science, technology, engineering, and mathematics (STEM). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State educational agencies (SEAs) are eligible for funds under this program. Local educational agencies, community-based organizations, and other public or private entities are eligible to apply to the SEA in state in which they are located for subgrants.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Education Professional; Student/Trainee; State; Local; School; Child (6-15); Youth (16-21); Sponsored organization; Education (0-8); Education (9-12); Public nonprofit institution/organization; Other public institution/organization; School-aged children and their families.

Credentials/Documentation:

Contact program office. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States must apply for funds either through a program-specific application or by including the program in a consolidated State plan authorized under Title IX of the ESEA. . 2 CFR 200,

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for additional information.

Award Procedure:

Contact the program office for this information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information:

Length and Time Phasing of Assistance:

Awards are made annually. Method of awarding/releasing assistance: other

Reports:

Generally, SEAs are subject to the reporting requirements contained in Title IX of the ESEA consolidated state performance report section 9303(b). Annual progress and financial reports as required by EDGAR. Contact Program Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the EDGAR, Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year.

Records:

Records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion.

Account Identification:

91-1000-0-1-501.

Obligations:

Project Grants FY 20 \$1,249,673,000.00; FY 21 est \$1,259,673,000.00; FY 22 est \$1,309,673,000.00;

Range and Average of Financial Assistance:

For Fiscal Year 2020: Range \$6,123,398 - \$148,412,688; Average: \$23,551,529. For Fiscal Year 2021: Range \$6,172,398 - \$148,460,316; Average: \$23,739,991.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Julie Coplin,
Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W.,
Washington DC 20202
Email: julie.coplin@ed.gov
Phone: (202) 260-3828
Fax:

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

<http://www.ed.gov/programs/21stccle/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information.

84.295 Ready-To-Learn Television

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title IV, Part F,
Subpart 4, Section 4643

OBJECTIVES:

To facilitate student academic achievement by developing educational programming and digital content, with accompanying educational support materials, for preschool and early elementary school children and their families.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The program makes awards to support the development and distribution of educational video programming and digital content, and related outreach materials. Administrative costs are limited by the authorizing statute. Entities receiving a grant, contract, or cooperative agreement from the Secretary may not use more than five percent of the amounts received for the normal and customary expenses of administering the grant. This limit applies to the total of indirect costs and direct administrative costs claimed by the grantee.

Applicant Eligibility:

Other public institution/organization; To be eligible to receive a grant, contract, or cooperative agreement, an entity shall be a public telecommunications entity that can demonstrate: 1.A capacity for the development and national distribution of educational and instructional television programming of high quality that is accessible by a large majority of disadvantaged preschool and elementary school children.2.A capacity to contract with the producers of childrens television programming for the purpose of developing educational television programming of high quality.3.A capacity, consistent with the entitys mission and nonprofit nature, to negotiate such contracts in a manner that returns to the entity an appropriate share of any ancillary income from sales of any program-related products.4.A capacity to localize programming and materials to meet specific State and local needs and to provide educational outreach at the local level.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Programming is to be made widely available, with support materials as appropriate, to young children (ages 2-8), their parents/caregivers, child care workers, and Head Start and Even Start providers.

Credentials/Documentation:

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR 200) apply to this program.2 CFR 200, Subpart E - Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to Executive Order 12372 and the regulations in 34 CFR part 79.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This procedure is described in the application notice published annually in the Federal Register. Contact the headquarters office listed below for application packages containing the announcement, application, and forms.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the administrative regulations governing the Evaluation of Applications for Grants and Cooperative Agreements (34 CFR 75, Subpart D).If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 90 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Other. As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in meeting the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is up to 60 months. Following an initial award of 12 months, four additional 1-year, non-competing continuation awards may be made, for a total term of 5 years. Renewals are subject to the availability of funds.Continuation awards are subject to the availability of funds and are conditional upon the grantee making substantial progress in achieving the goals and objectives of the project; expending funds in a manner that is consistent with the approved application and budget; and making progress towards established performance targets. In making a continuation award, the Secretary also considers whether the grantee is operating in compliance with the assurances in its approved application, including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23). Method of awarding/releasing assistance: other

Reports:

The recipient must submit an annual report. At a minimum, the report must describe the program activities including: (1) Television and digital media programming that has been developed directly or indirectly and the target population of the programs developed; (2) supporting materials that have been developed to accompany the programming and the method by which materials are distributed to consumers and users of the programming; (3) the means by which programming developed has been nationally distributed, including the distinct learning technologies that have been utilized to make programming available and the geographic distribution achieved through such technologies; (4) community-based outreach programs that are implemented in informal learning settings such as afterschool programs, museums, libraries, community learning centers, and preschool programs, including Head Start; and (5) educational research conducted to determine the effectiveness of the media programming. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. In addition, program staff examine drawdown reports and discuss spending and budgetary issues quarterly.

ASSISTANCE LISTINGS DESCRIPTIONS

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75), annual performance reports (ED 524B) are required of all grantees. Grantees report against performance measures that are announced in the application packages and against customized project-specific measures. Additionally, monthly progress reports are required under the cooperative agreements established with each grantee, program staff serve as ex officio members of the grantee advisory boards, and program staff make monthly monitoring calls.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR for direct grant programs (34 CFR 75). The grantee must maintain records and other evidence pertaining to all costs incurred, revenues, or other applicable credits acquired under its award as stated or contract terms and conditions. (34 CFR 75.721). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$29,000,000.00; FY 21 est \$29,500,000.00; FY 22 est \$29,500,000.00;

Range and Average of Financial Assistance:

FY 2020: The Department made two new 5-year awards in FY 2020; the grantees received \$24,322,018 and \$4,619,833 for the first year of the grants.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are:(a)The Education Department General Administrative Regulations (EDGAR) 34 CFR parts 75, 77, 79, 81, 82, 84, 97, 98, and 99.(b) The OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. Note: The open licensing requirement in 2 CFR 3474.20 does not apply to this program.

Regional or Local Office:

None.

Headquarters Office:

Brian Lekander,
Department of Education, Office of Elementary and Secondary Education,
Innovation and Early Learning Programs, Programs 400 Maryland Ave., SW,
Washington DC 20202
Email: brian.lekander@ed.gov
Phone: (202) 205-5633

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/innovation-early-learning/ready-to-learn-television-rtl/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 A description of activities to be supported by the two grants awarded in FY 2020 is available at <https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/innovation-early-learning/ready-to-learn-television-rtl/>. 2021 No new awards. Competitions are held every five years.

CRITERIA FOR SELECTING PROPOSALS:

The Department uses the selection criteria contained in the Education General Administrative Regulations (EDGAR) to evaluate applications to this program. The specific criteria to be used for a particular grant competition are selected from among these in 34 CFR 75, Section 75.210, and announced in the application package or the application notice in the Federal Register.

84.299 Indian Education -- Special Programs for Indian Children

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF
AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VI, Part A, Subpart 2.,

OBJECTIVES:

Funds support two grant programs, (1) Demonstration Grants for Indian Children and Youth (CFDA 84.299A), authorized under section 6121 of the Elementary and Secondary Education Act of 1965, as amended, (ESEA) (20 U.S.C. 7441) and (2) Professional Development (CFDA 84.299B), authorized under section 6122 of the ESEA (20 U.S.C. 7442). The objective of 84.299A is to support projects to develop, test, and demonstrate the effectiveness of services and programs to improve educational opportunities and achievement of Indian children and youth. The objective of 84.299B is to increase the number of qualified Indian individuals in teaching or other education professions that serve Indian people, to provide training to qualified Indian individuals to enable such individuals to become teachers, administrators, teacher aides, social workers, and ancillary educational personnel, and to improve the skills of qualified Indian individuals who serve in education professions. In addition, section 11006(1) of the American Rescue Plan Act of 2021 provided onetime funding for a newgrant program, the American Rescue Plan--American Indian Resilience in Education (ARP-AIRE) program, CFDA 84.299C..

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

84.299A: Under the absolute priority that the Department has implemented for this program for the FY2020 competition, grants fund projects to expand educational choice by enabling an eligible entity, to select a project focus that meets the needs of their students and enabling parents of Indian students, or the student, to choose education services by selecting the specific service and provider desired. 84.299B: Grants support professional development projects that increase the number and skills of qualified Indian teachers and administrators who serve Indian people. 84.299B: Grants support professional development projects that increase the number and skills of qualified Indian teachers and administrators who serve Indian people. 84.299C: Grants support TEAs in the provision of direct services to Indian children and youth.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Minority group; Small business (less than 500 employees); Quasi-public nonprofit institution/organization; For Demonstration grants (84.299A), eligible applicants include State educational agencies (SEAs); local educational agencies (LEAs), including charter schools that are considered LEAs under State law; Indian Tribes; Indian organizations; federally supported elementary or secondary schools for Indian students (including Department of the Interior/Bureau of Indian Education-funded schools); Indian institutions (including Indian institutions of higher education); or a consortium of any of these entities. For Professional Development

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grants (84.299B), eligible applicants include institutions of higher education, including Indian institutions of higher education; SEAs or LEAs in consortium with an institution of higher education; Indian Tribes or organizations in consortium with an institution of higher education; and Department of the Interior/Bureau of Indian Education-funded schools in consortium with an institution of higher education. LEAs include charter schools that are considered LEAs under State law. For ARP-AIRE grants (84.299C), eligible applicants are Tribal Educational Agencies (TEAs), including a consortium of TEAs, as defined in the Notice Inviting Applications. See Applications for New Awards; American Rescue Plan-American Indian Resilience in Education (ARP-AIRE), 86 Fed. Reg. 36716 (July 13, 2021).

Beneficiary Eligibility:

Minority group; Education Professional; Student/Trainee; American Indian; Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); SEAs, LEAs, Indian students, and teachers and administrators will benefit.

Credentials/Documentation:

Documentation requirements are in statute and regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Details of the application process are published in the federal register in a notice inviting applications. Successful applicants are subject to the requirements of applicable OMB Circulars, OMB Memoranda, and regulations related to grant award agreements.

Award Procedure:

The Department awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN). Contact the program office for more information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range of approval/disapproval time is approximately 60-120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Other. For 84.299A and 84.299B, the Department makes grant awards for an initial period of not more than 3 years and may renew these grants for not more than an additional 2 years if the Department determines that certain criteria have been met. For 84.299A, the Department may renew the grant if the Department determines that the grantee has made substantial progress in carrying out the activities in accordance with its application and any subsequent modifications to such application. For 84.299B, the Department may renew the grant if the Department finds that the grantee is achieving the objectives of the grant. For all programs, continuation awards are subject to the availability of appropriations and substantial progress by the grantee. For 84.299B, continuation awards are also subject to the program-specific requirements at 34 CFR 263.13.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For CFDA 84.299A and 84.299B, grants are awarded for an initial period of not more than 3 years; the Department may renew grants for not more than an additional 2 years if the applicant has fulfilled certain criteria. Continuation awards and renewals are contingent on performance and the availability of funds. For 84.299C, awards are made for a 3-year period. Method of awarding/releasing assistance: other

Reports:

Each eligible entity receiving a grant under this program must submit to the Secretary an annual report of its operations and activities. Each annual report must include: (1) an executive summary; (2) project status; (3) budget information; and (4) supplemental information. Grantees submit annual performance reports. Grantees submit updated budget information in their annual performance reports; in addition, Department staff monitor grant drawdowns. Grantees report against performance measures that are announced in the application packages. As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75), annual performance reports (ED 524B) are required of all grantees; any additional report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits may be conducted by the Department's Office of Inspector General. Audits are periodic with no set schedule. Staff from the Office of Indian Education may conduct monitoring of the grantee, which may be conducted as a desk review or in-person review.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-0101-0-1-501.

Obligations:

Project Grants FY 20 \$67,993,000.00; FY 21 est \$67,993,000.00; FY 22 est \$67,993,000.00;

Range and Average of Financial Assistance:

FY 2020: The Department supported 101 Demonstration grants (\$62.0 million) and 19 Professional Development grants (\$5.6 million). Demonstration grants ranged from \$15,904 to \$1,500,000; Professional Development grants ranged from \$39,423 to \$400,000. FY 2021: The Department anticipates supporting 87 Demonstration grants (\$58.0 million) and 47 Professional Development grants (\$9.9 million.) Note: In addition to the funds displayed in the table, the program received \$20,000,000 under the American Rescue Plan Act of 2021; these funds support the 84.299C awards that are scheduled for award in FY 2022.

TAFS Codes:

91-0101.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Department held a competition for new Demonstration grants and made 40 awards. Grantees received between \$96,913 and \$1,500,000 for the first year of the grant; the maximum project period is 5 years. Fiscal Year 2021: The Department announced a competition for new Professional Development grants on March 4, 2021; the deadline for applications was May 3, 2021. The Department anticipated making 22 awards ranging from \$300,000 to \$400,000. In addition, on July 13, 2021, the Department announced a competition for American Rescue Plan American Indian Resilience in Education (ARP-AIRE) awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following regulations apply to these programs:--The Education Department General Administrative Regulations in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97,

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98, and 99. --The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485.--The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. --For 84.299A, the program regulations in 34 CFR 263.1-13.--For 84.299B, the program regulations in 34 CFR 263.20-25.

Regional or Local Office:

None.

Headquarters Office:

Angela Hernandez-Marshall,
Office of Elementary and Secondary Education, Office of Indian Education, 400
Maryland Ave., SW,
Washington DC 20202
Email: angela.hernandez-marshall@ed.gov
Phone: 2022051909

Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-indian-education/>

RELATED PROGRAMS:

84.060 Indian Education_Grants to Local Educational Agencies;

EXAMPLES OF FUNDED PROJECTS:

2020 Information on the types of projects funded by Demonstration Grants for Indian Children and Youth is available at <https://oese.ed.gov/offices/office-of-indian-education/demonstration-grants-for-indian-children/>. Information on the types of projects funded by Professional Development grants is available at <https://oese.ed.gov/offices/office-of-indian-education/indian-education-professional-development/>. Information on the types of projects funded by ARP-AIRE grants is available at <https://oese.ed.gov/offices/american-rescue-plan/american-rescue-plan-american-indian-resilience-in-education-arp-aire/>. 2021
No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Education Department General Administrative Regulations (EDGAR) and 34 CFR 263 and are published in the Federal Register notice inviting applications; contact the program office for additional information.

84.305 Education Research, Development and Dissemination

FEDERAL AGENCY:

INSTITUTE OF EDUCATION SCIENCES, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Education Sciences Reform Act of 2002, Title I, Parts A, B and D, Sections 133 and 172.

OBJECTIVES:

To support research activities that improve the quality of education and, thereby, increase student academic achievement, reduce the achievement gap between high-performing and low-performing students, and increase access to and completion of postsecondary education.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Grants support basic and applied research, development, dissemination, evaluations, and research training in education. Small Business Innovation Research (SBIR) contracts are awarded to qualified small businesses to conduct innovative research and development projects focused on education technology. Funds also support the What Works Clearinghouse, the Education Resources Information Center, the National Library of Education, and a variety of other dissemination and support activities that are supported by contracts.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants that have the ability and capacity to conduct scientifically valid research are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations and public and private agencies and institutions, such as colleges and universities. SBIR contract awards are limited to eligible small businesses.

Beneficiary Eligibility:

Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); Institutions and individuals involved with education will benefit.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant competitions are announced in the Federal Register and on the agency website (<http://ies.ed.gov/funding/>). Standard Form 424 ("Application for Federal Assistance"), an application narrative, and required certifications must be submitted by the deadline specified in the Federal Register. The Requests for Application grant notices (available on <http://ies.ed.gov/funding/>) describe the substantive requirements for applications and provide information on how to prepare and submit applications electronically through Grants.gov. The Application Packages provide all of the required forms and are available on <http://www.grants.gov>. Letters of Intent are encouraged but not required. Grant applications to Institute of Education Sciences (IES) competitions must be submitted via the Grants.gov government-wide portal that allows potential applicants to find grant opportunities and apply for grants. The Grants.gov registration process can take several weeks. Interested applicants should begin the registration process well in advance of application deadlines. Individuals planning to submit an application on behalf of their organization must ensure that (1) their institution/organization is registered with Grants.gov and (2) they register themselves as Authorized Organizational Representatives (AORs) well before the competition deadline. Grants.gov registration information can be found at <http://www.grants.gov/web/grants/applicants/apply-for-grants.html>. The Department provides a forecast of contract opportunities on its website at <http://www2.ed.gov/fund/contract/find/forecast.html> and posts solicitations on the Federal Business Opportunity website (www.fbo.gov). Vendors may view a synopsis, download a solicitation, and register to receive notification of modifications to existing notices.

Award Procedure:

Grant applications that are responsive and compliant to the Requests for Applications are evaluated by expert reviewers for scientific and technical merit. Scientific reviews are conducted in accordance with the review criteria stated in the Request for Applications and the review procedures posted on the IES website, http://ies.ed.gov/director/sro/peer_review/application_review.asp, by a panel of scientists who have substantive and methodological expertise appropriate to the program of research and Request for Applications. Awards are competitive, with the following criteria considered in making award decisions for responsive and compliant applications: Scientific merit as determined by peer review, performance and use of funds under a previous federal award, contribution to the overall program of research described in the Request for Applications, and availability of funds. For contracts, the Department reviews offers and makes awards to those companies that are determined to provide the value to the government.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 210 to 240 days.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Other. Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years. Funds are granted on a 12-month basis. All grantee obligations shall be liquidated within 90 days following the end of the award period. Payments may be made according to a predetermined schedule or by way of reimbursement. Method of awarding/releasing assistance: other

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, financial reports and program reports must be submitted as required by grant award terms and conditions. Annual progress and performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B. The Department has established performance measures for the program. The measures are: (1) the minimum percentage of projects that result in peer-reviewed publications and (2) the minimum number of IES-supported interventions with evidence of efficacy in improving student outcomes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under this grant or contract. Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

Project Grants (Contracts) FY 20 \$42,073,424.00; FY 21 est \$20,499,360.00; FY 22 est \$38,652,000.00; Project Grants FY 20 \$153,803,576.00; FY 21 est \$177,377,639.00; FY 22 est \$229,225,000.00;

Range and Average of Financial Assistance:

The new FY 2020 grant awards ranged in size from \$16,000 to \$2.1 million for the first year of the awards. Award maximums vary depending on the type of project. In 2020, IES awarded 14 SBIR Phase I awards of up to \$200,000 and 8 SBIR Phase II awards of up to \$900,000. The new FY 2021 grant awards ranged from \$34,000 to \$2,000,000 for projects lasting between 1 to 5 years, depending on the competition. Award maximums vary depending on the type of project. In 2021, IES awarded 16 SBIR Phase I awards at \$200,000 and 11 SBIR Phase II awards at \$900,000. Applicants for FY 2022 grant awards could request funding of \$40,000 to \$900,000 for projects lasting between 1 to 5 years, depending on the competition. Award maximums vary depending on the type of project. Applicants for FY 2022

SBIR Phase I contract awards may request up to \$200,000 for awards up to 6-months in length; the SBIR Phase II contract awards will be in amounts up to \$900,000 for up to 2 years. The Department received a \$100,000,000 Mandatory Supplemental Appropriation under the American Rescue Plan Act (P.L. 117-02) for research related to learning losses caused by COVID-19. These funds are available for obligation for 3 years; the Department estimates that it will make approximately \$40 million in grant awards under 84.305 in fiscal year 2022. These funds are in addition to those shown in the table.

TAFS Codes:

91-1100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal year 2021 competitions were announced in the Federal Register on May 4, 2020; applications were due August 20, 2020. The Department received 907 applications and made 113 awards. Information on the awards is available at <https://ies.ed.gov/funding/grantsearch/?slctCenter=1&slctYear=2021>. Fiscal Year 2021: Fiscal year 2021 competitions were announced in the Federal Register on May 4, 2020; applications were due August 20, 2020. The Department received 907 applications and made 113 awards. Information on the awards is available at <https://ies.ed.gov/funding/grantsearch/?slctCenter=1&slctYear=2021>. Fiscal Year 2022: Fiscal year 2022 competitions are being announced on a rolling basis. Five competitions were announced in the Federal Register on June 10, 2021; applications were due either June 15, 2021, or June 30, 2021, depending on the competition. Successful applicants will be notified by July 1, 2021. The Requests for Applications were posted at <https://ies.ed.gov/funding>.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) codified at CFR Part 200, as adopted and amended as regulations of the Department in 2CFR part 3474. The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 77, 81, 82, 84, 86 (part 86 applies only to institutions of higher education), 97, 98, and 99. In addition 34 CFR part 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, 75.230, and 75.708. The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485.

Regional or Local Office:

None.

Headquarters Office:

Emily Doolittle,,
Institute of Education Sciences, Department of Education, 550 12th Street, SW,
Room 4118,
Washington DC 20202
Email: Emily.Doolittle@ed.gov
Phone: (202) 245-7833

Website Address:

<http://ies.ed.gov/ncer>.

RELATED PROGRAMS:

84.324 Research in Special Education;

EXAMPLES OF FUNDED PROJECTS:

2020 Awards are posted on <https://ies.ed.gov/funding/grantsearch/?slctCenter=1&slctYear=2020>. 2021 Awards are posted on <https://ies.ed.gov/funding/grantsearch/?slctCenter=1&slctYear=2021>. 2022 Awards will be posted on <https://ies.ed.gov/funding/grantsearch/?slctCenter=1&slctYear=2022>.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. Each request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.310 Statewide Family Engagement Centers

ASSISTANCE LISTINGS DESCRIPTIONS

Statewide Family Engagement Centers,

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title IV,
Part E,

OBJECTIVES:

To provide financial support to statewide organizations that conduct parent
education and family engagement programs or provide technical assistance to State
and local educational agencies to support family-school partnerships.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to provide technical assistance and training to State and local
educational agencies and to conduct parent education and family engagement
programs. Competitions are announced in the Federal Register. Specific limitations
and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher
education and hospitals; Other public institution/organization; Private nonprofit
institution/organization (includes institutions of higher education and hospitals);
Statewide organizations or consortia of such organizations may apply.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Education
Professional; Student/Trainee; Local; Preschool; School; Infant (0-5); Child (6-15);
Low Income; Education (0-8); Public nonprofit institution/organization; Other
public institution/organization; State educational agencies, local educational
agencies, schools, and families will benefit.

Credentials/Documentation:

OMB Circular No. A-21; OMB Circular No. A-122. 2 CFR 200, Subpart E - Cost
Principles applies to this program. This program is excluded from coverage under 2
CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information
is not required for this program. This program is excluded from coverage under
E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform
Administrative Requirements, Cost Principles, and Audit Requirements for Federal
Awards applies to this program. Application procedures are described in
application notices published annually in the Federal Register. Contact the
headquarters offices listed below for application packages containing the
announcement, application, and assurance forms and for further information about
the specific programs.

Award Procedure:

Awards are competitively selected following review by nonfederal experts in
accordance with Subpart D of Part 75 of the Education Department General
Administrative Regulations (EDGAR).

Deadlines:

Contact the headquarters or regional location, as appropriate for application
deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Other. As required by the Education Department General Administrative
Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally,
for multiple-year awards, continuation awards after the first budget period are
made if: sufficient funds have been appropriated; the recipient has either made
substantial progress in the meeting of the goals of the project or obtained approval

for changes in the project; the recipient has submitted all required reports; and
continuation is in the best interest of the government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching
Requirements: Percent: 0%. Requirement: Matching requirements are voluntary.
For each year after the first year of assistance, grantees must provide a portion of
services through non-Federal contributions, which may be cash or in-kind. MOE
requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually. Following an initial, competitively selected award,
additional non-competing continuation awards may be made. The possible length
of the project is announced in the application notice in the Federal Register.
Renewals are subject to the availability of appropriations. See the following for
information on how assistance is awarded/released: Electronic transfer. Method of
awarding/releasing assistance: other

Reports:

Annual progress reports must be submitted as required by grant award terms and
conditions. Annual progress reports must be submitted as required by grant award
terms and conditions. Annual progress reports must be submitted as required by
grant award terms and conditions. Annual expenditure reports are required as part
of the submittal of form ED524B. Annual performance reports are required as part
of the submittal of form ED 524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503
In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
non-Federal entities that expend financial assistance of \$750,000 or more in
Federal awards will have a single or a program-specific audit conducted for that
year. Non-Federal entities that expend less than \$750,000 a year in Federal awards
are exempt from Federal audit requirements for that year, except as noted in 2 CFR
200.503.

Records:

As required by 2 CFR 200.333 and 34 CFR 75. Generally, records related to grant
funds, compliance, and performance must be maintained for a period of 3 years
after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$9,800,007.00; FY 21 est \$12,300,000.00; FY 22 est
\$12,250,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable Regulations: (a) The Education Department General Administrative
Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99.
(b) The Office of Management and Budget Guidelines to Agencies on
Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part
180, as adopted and amended as regulations of the Department in 2 CFR part 3485.
(c) The Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as
regulations of the Department in 2 CFR part 3474.

Regional or Local Office:

None.

Headquarters Office:

Elson Nash,
US Department of Education, Office of Elementary and Secondary Education, 400
Maryland Ave., SW,

ASSISTANCE LISTINGS DESCRIPTIONS

Washington DC 20202
Email: elson.nash@ed.gov
Phone: (202) 453-5563
Website Address:

<http://www.ed.gov/programs/pirc/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are contained in Section 75.210 of the Education Department General Administrative Regulations (EDGAR). The specific criteria to be used for particular grant competitions are announced in the application package or application notice in the Federal Register.

84.315 Traditionally Underserved Populations

(Capacity Building),

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Section 21

OBJECTIVES:

To make awards to minority entities and Indian tribes to carry out activities under the programs authorized under titles II, III, VI, and VII of the Rehabilitation Act, as amended; to make awards to minority entities and Indian tribes to conduct research, training, technical assistance, or a related activity, to improve services provided under the Rehabilitation Act, especially services provided to individuals from minority backgrounds; and to provide outreach and technical assistance to minority entities and Indian tribes to promote their participation in activities funded under the Rehabilitation Act, including assistance to enhance their capacity to carry out such activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are limited to: awards to minority entities and Indian tribes to carry out activities under the programs authorized under titles II, VI, and VII of the Rehabilitation Act; awards to minority entities and Indian tribes to conduct research, training, technical assistance, or related activities, to improve services provided under the Rehabilitation Act, especially services provided to individuals from minority backgrounds; and awards to eligible entities to provide outreach and technical assistance to minority entities and Indian tribes to promote their participation in activities funded under the Rehabilitation Act.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; A State or a public or private nonprofit agency or organization, such as an institution of higher education or an Indian tribe, historically black college or university, minority entity (an entity that is a historically Black college or university, a Hispanic serving institution of higher education, an American Indian tribal college or university, or another institution of higher education whose minority student enrollment is at least 50 percent).

Beneficiary Eligibility:

Individual/Family; Minority group; Vocational Rehabilitation professionals who serve or are from minority groups and/or traditionally underserved populations, and individuals with disabilities served by the Vocational Rehabilitation program.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs," except if the applicant is an Indian Tribe .

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for application procedures.

Award Procedure:

All new applications are reviewed by a panel of at least three individuals. Each panel will generally include two or more non-Federal consultants with experience and training in the proposed area of expertise. Each panel typically includes a person with a disability and an individual from a minority background.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range of approval/disapproval time is approximately 120 to 150 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years, with budget periods of 12 months. Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: other

Reports:

Annual financial and progress reports must be submitted as required by the grant award's terms and conditions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. As required by the CFR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions.

Records:

Records must be maintained as required by the Education Department General Administrative Regulations for direct grant programs (34 CFR Part 75).

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$910,490.00; FY 21 est \$910,490.00; FY 22 est \$910,490.00;

Range and Average of Financial Assistance:

To be determined.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2022: The Department supported multiple Long-Term Training program grants with the Traditionally Underserved Populations funding in FY 2020 and FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles,
Department of Education, OSERS Rehabilitation Services Administration,
Washington DC 20202
Email: corinna.stiles@ed.gov
Phone: (202) 245-6162
Fax:

Website Address:

<https://www2.ed.gov/programs/rsatup/index.html>

RELATED PROGRAMS:

84.126 Rehabilitation Services Vocational Rehabilitation Grants to States; 84.250 Rehabilitation Services American Indians with Disabilities; 84.129 Rehabilitation Long-Term Training;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this competition are from 34 CFR 75.210 of the Education Department General Administrative Regulations and are listed in the application package.

84.323 Special Education - State Personnel Development

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 1, Section 651-655, 20 USC Code 1451-1455.

OBJECTIVES:

To assist State educational agencies in reforming and improving their systems for personnel preparation and professional development in early intervention, educational and transition services, to improve results for children with disabilities. As used in this program, personnel means special education teachers, regular education teachers, principals, administrators, related services personnel, paraprofessionals, and early intervention personnel serving infants, toddlers, preschoolers, or children with disabilities, except where a particular category of personnel, such as related services personnel, is identified.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The uses of funds under the State Personnel Development Grants program are described in section 654 of the IDEA (20 U.S.C. 1454). Not less than 90 percent of the grant funds for any fiscal year must be used for spent on professional development activities described in section 654(a) of IDEA (20 U.S.C. 1454(a)), including improving results for children with disabilities through the delivery of high-quality instruction and the recruitment, hiring and retention of highly qualified special education teachers. Not more than 10 percent of grant funds for any fiscal year must be spent on the other activities described in section 654(b) of IDEA (20 U.S.C. 1454(b)), such as reforming special education and regular education teacher certification (including recertification) or licensing requirements and carrying out programs that establish, expand, or improve alternative routes for State certification of special education teachers.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); State Educational Agencies. A State educational agency of one of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the outlying areas (U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) may apply.

Beneficiary Eligibility:

Education Professional; Student/Trainee; Graduate Student; State; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; School; Public nonprofit institution/organization; Other public institution/organization; Infants and toddlers with disabilities as defined in the IDEA and their families, and children with disabilities as defined in the IDEA and their parents benefit from this program.

Credentials/Documentation:

Contact program office. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The regulations in 34 C.F.R. Part 80 (Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments) implement OMB Circular A-102. The standard application forms as furnished by the Office of Special Education and Rehabilitative Services must be used for this program. Information about submitting applications for this program can be found in the Notice Inviting Applications for this program for a particular fiscal year and in the approved application package. A notice inviting applications is generally published in the Federal Register annually, depending on the availability of appropriations for a particular fiscal year. Awards are made on a competitive basis based on the availability of funds. The regulations in 34 C.F.R. Part 74 (Administration of Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations) implement OMB Circular A-110. OMB Circular A-110 is not applicable to SEAs; however, it is applicable to SEA partners, such as institutions of higher education or other non-profit organizations.

Award Procedure:

Applications are peer reviewed and grants are made on a competitive basis subject to the approval of the Assistant Secretary, OSERS.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. A continuation award may be made annually for up to 5 years throughout the project period of an approved multi-year grant, depending upon the availability of appropriations and the OSERS Assistant Secretary's determination that the grantee has made substantial progress in meeting the objectives of the approved application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Typically, from one to five years, subject to the availability of appropriations and the grantee's compliance with the requirements of the grant. Method of awarding/releasing assistance: other

Reports:

Grantees must submit an annual performance report (APR) as required under EDGAR (34 CFR Part 80.80.41 and 34 C.F.R. Part 75, 75.720 74.50). Final reports are made at the end of the project period. Progress is monitored through the grantee's APR. Program performance is monitored through APR.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The grantee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its grant, as stated in award terms and conditions.

Account Identification:

91-0300-0-1-500.

Obligations:

Project Grants FY 20 \$38,630,000.00; FY 21 est \$38,630,000.00; FY 22 est \$38,630,000.00;

Range and Average of Financial Assistance:

For 2021, the range of awards is expected to be between \$500,000 and \$2,100,000; the average award is expected to be about \$1,000,000, excluding outlying areas.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no implementing regulations for the State Personnel Development Grants program. However, annual Notices published in the Federal Register set out the regulatory requirements that are applicable to this program, and the Secretary considers only applications that conform to the published notice for this program.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Coffey,,
Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., SW,
Washington DC 20202
Email: jennifer.coffey@ed.gov
Phone: (202) 245-6673.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.181 Special Education-Grants for Infants and Families; 84.373 Special Education_Technical Assistance on State Data Collection; 84.328 Special Education_Parent Information Centers; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.329 Special Education_Studies and Evaluations; 84.324 Research in Special Education; 84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from

those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.324 Research in Special Education

FEDERAL AGENCY:

INSTITUTE OF EDUCATION SCIENCES, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Education Sciences Reform Act of 2002, Part E,

OBJECTIVES:

To support scientifically rigorous research contributing to the solution of specific early intervention and education problems associated with children with disabilities.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds may be used for research on children with or at risk for disabilities and training in special education research.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants that have the ability and capacity to conduct scientifically valid research are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations and public and private agencies and institutions, such as colleges and universities.

Beneficiary Eligibility:

Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); Infants, toddlers, and children with disabilities or at risk for disabilities benefit from this research.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Grant competitions are announced in the Federal Register and on the agency website (<http://ies.ed.gov/funding/>). Standard Form 424 ("Application for Federal Assistance"), an application narrative, and required certifications must be submitted by the deadline specified in the Federal Register. The Requests for Application grant notices (available on <http://ies.ed.gov/funding/>) describe the substantive requirements for applications and provide information on how to prepare and submit applications electronically through Grants.gov. The Application Packages provide all of the required forms and are available on <http://www.grants.gov>. Letters of Intent are encouraged but not required. Grant applications to Institute of Education Sciences (IES) competitions must be submitted via the Grants.gov government-wide portal that allows potential applicants to find grant opportunities and apply for grants. The Grants.gov registration process can take several weeks. Interested applicants should begin the registration process well in advance of application deadlines. Individuals planning to submit an application on behalf of their organization must ensure that (1) their institution/organization is registered with Grants.gov and (2) they register themselves as Authorized Organizational Representatives (AORs) well before the competition deadline. Grants.gov registration information can be found at <http://www.grants.gov/web/grants/applicants/apply-for-grants.html>

Award Procedure:

Grant applications that are responsive and compliant to the Requests for Applications are evaluated by expert reviewers for scientific and technical merit.

ASSISTANCE LISTINGS DESCRIPTIONS

Scientific reviews are conducted in accordance with the review criteria stated in the Request for Applications and the review procedures posted on the IES website, http://ies.ed.gov/director/sro/peer_review/application_review.asp, by a panel of scientists who have substantive and methodological expertise appropriate to the program of research and Request for Applications. Awards are competitive, with the following criteria considered in making award decisions for responsive and compliant applications: Scientific merit as determined by peer review, performance and use of funds under a previous federal award, contribution to the overall program of research described in the Request for Applications, and availability of funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 240 days - 330 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years. Funds are granted on a 12 month basis. All grantee obligations shall be liquidated within 90 days following the end of the award period. Payments may be made according to a predetermined schedule or by way of reimbursement. Method of awarding/releasing assistance: other

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. Annual progress and performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. The Department has established performance measures for the program. The measures are: (1) The percentage of projects that result in peer-reviewed publications. (2) The cumulative number of IES-supported interventions with evidence of efficacy in improving education outcomes for students with or at risk for disabilities. (3) The number of newly developed or modified interventions with evidence of promise for improving education outcomes for students with or at risk for disabilities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under this grant or contract. Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

Project Grants FY 20 \$55,442,000.00; FY 21 est \$57,984,635.00; FY 22 est \$57,230,000.00; Project Grants (Contracts) FY 20 \$1,058,000.00; FY 21 est \$515,365.00; FY 22 est \$1,270,000.00;

Range and Average of Financial Assistance:

The FY 2020 grant awards ranged from \$ 15,650 to \$913,477 for the first year of the 1 to 5 year awards. Award maximums vary depending on the type of project. Applicants for FY 2021 grant awards could request funding of \$100,000 to \$900,000 for the first year of the 1 to 5 year awards. Award maximums vary depending on the type of project.

TAFS Codes:

91-1100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Applications for 2020 awards were due on August 29, 2019. The Department received 282 applications and made 41 awards. Fiscal Year 2021: Fiscal year 2021 competitions were announced in the Federal Register on May 4, 2020; applications were due August 20, 2020. The Department received 353 applications and made 36 awards. Fiscal Year 2022: Fiscal year 2022 competitions were announced in the Federal Register on June 10, 2021; applications were due August 2, 2021, or September 9, 2021 (two competitions). Successful applicants will be notified by January 1, 2022 (for the first competition) or July 1, 2022 (for the second competition). NOTE: The Department received a \$100,000,000 Mandatory Supplemental Appropriation under the American Rescue Plan Act (P.L. 117-02 for research related to learning losses caused by COVID-19. These funds are available for obligation for 3 years; the Department estimates that it will make approximately \$20 million in grant awards under 84.324 in fiscal year 2022. These funds are in addition to those shown in the table.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) codified at CFR Part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 77, 81, 82, 84, 86 (part 86 applies only to institutions of higher education), 97, 98, and 99. In addition 34 CFR part 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217 (a)-(c), 75.219, 75.220, 75.221, 75.222, 75.230, and 75.708. The Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485.

Regional or Local Office:

None.

Headquarters Office:

Joan McLaughlin,
Department of Education, Institute of Education Sciences, National Center for Special Education Research, Office of the Commissioner, 550 12th Street, S.W.,
Room 4144,
Washington DC 20202
Email: joan.mclaughlin@ed.gov
Phone: (202) 245-8201

Website Address:

<https://ies.ed.gov/ncser/>.

RELATED PROGRAMS:

84.305 Education Research, Development and Dissemination;

EXAMPLES OF FUNDED PROJECTS:

2020 Awards are posted on <https://ies.ed.gov/funding/grantsearch/?slctCenter=4&slctYear=2020>. 2021 Awards are posted on <https://ies.ed.gov/funding/grantsearch/?slctCenter=4&slctYear=2021>. 2022 Awards will be posted on <https://ies.ed.gov/funding/grantsearch/?slctCenter=4&slctYear=2022>.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. Each Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

ASSISTANCE LISTINGS DESCRIPTIONS

84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Individuals with Disabilities Education Act, Part D, Subpart 2, Section 662, 20
USC Code 1462.

OBJECTIVES:

The purposes of this program are to (1) help address State-identified needs for highly qualified personnel--in special education, related services, early intervention, and regular education--to work with infants, toddlers, and children with disabilities; and (2) ensure that those personnel have the necessary skills and knowledge, derived from practices that have been determined through scientifically based research and experience, to be successful in serving those children

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to prepare personnel to serve children with both low and high-incidence disabilities, leadership personnel, and for special projects. Awards are made only to eligible applicants that meet State and professionally recognized standards for the preparation of special education and related services personnel, if the purpose of the project is to assist personnel in obtaining degrees.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State educational agencies, local education agencies, public charter schools that are LEAs under State law, institutions of higher education, other public agencies, private nonprofit organizations, outlying areas, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Infant (0-5); Child (6-15); Youth (16-21); Education (9-12); Education (13+); Infants, toddlers, and children with disabilities are the primary beneficiaries under this program.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award, if the recipient has made substantial progress in meeting the goals of the project, subject to the availability of appropriations. (See 34 CFR 75.253)

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A)).MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: other

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.51-53. Expenditure reports are monitored through the APR. Performance reports are monitored through the APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 74.53 and 34 CFR 75.730-732).

Account Identification:

91-0300-0-1-501.

Obligations:

Project Grants FY 20 \$89,700,000.00; FY 21 est \$90,200,000.00; FY 22 est \$250,000,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 304, Education Department General Administrative Regulations (EDGAR), 34 CFR 74, 75, 77,79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Sarah Allen,
Department of Education, OSERS Office of Special Education Programs, Potomac

ASSISTANCE LISTINGS DESCRIPTIONS

Center Plaza, 550 12th Street, SW.,
Washington DC 20202

Email: sarah.allen@ed.gov

Phone: (202) 245-7875

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>

RELATED PROGRAMS:

84.181 Special Education-Grants for Infants and Families; 84.373 Special Education_Technical Assistance on State Data Collection; 84.328 Special Education_Parent Information Centers; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.329 Special Education_Studies and Evaluations; 84.324 Research in Special Education; 84.323 Special Education - State Personnel Development; 84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.326 Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 2, Section 663,

OBJECTIVES:

The purpose of the Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities program is to promote academic achievement and to improve results for children with disabilities by providing technical assistance (TA), supporting model demonstration projects, disseminating useful information, and implementing activities that are supported by scientifically based research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Infant (0-5); Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Infants, toddlers, children, and other individuals with

disabilities, their families as well as practitioners and service providers benefit from this program.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted according to requirements outlined in the notice inviting applications, as published in the Federal Register.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Application deadlines are identified in the notice inviting applications, published in the Federal Register.

Appeals:

Not Applicable.

Renewals:

Other. As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations. (See 34 CFR 75.253).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typically from one to five years; subject to the availability of appropriations.

Method of awarding/releasing assistance: other

Reports:

Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Final reports shall be made at the end of the project period. Progress reports: Report requirements will be included in grant award documents. Expenditure reports: Report requirements will be included in grant award documents. Performance monitoring: Report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the grantee shall maintain accounts, records, and other evidence pertaining to grant funds, compliance and performance, as well as other records to facilitate an effective audit, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 75.730-732).

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

91-0300-0-1-501.

Obligations:

Project Grants FY 20 \$44,345,000.00; FY 21 est \$44,345,000.00; FY 22 est \$49,345,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the discretionary grant program is governed by: the statute; the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99); OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR 200, as adopted in 2 CFR part 3474.

Regional or Local Office:

None.

Headquarters Office:

Tina Diamond,
Department of Education, Office of Special Education Programs, Room 5136,
Potomac Center Plaza, 400 Maryland Ave., S.W.,
Washington DC 20202
Email: tina.diamond@ed.gov
Phone: (202) 245-6674

Website Address:

<http://www.ed.gov/about/offices/list/osep/index.html>

RELATED PROGRAMS:

84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.181 Special Education-Grants for Infants and Families; 84.373 Special Education_Technical Assistance on State Data Collection; 84.328 Special Education_Parent Information Centers; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.329 Special Education_Studies and Evaluations; 84.324 Research in Special Education; 84.323 Special Education - State Personnel Development; 84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.327 Special Education Educational Technology Media, and Materials for Individuals with Disabilities

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF
AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 3, Section 674

OBJECTIVES:

The purposes of the Educational Technology, Media, and Materials for Individuals with Disabilities program are to: (1) improve results for children with disabilities

by promoting the development, demonstration, and use of technology; (2) support educational activities designed to be of educational value in the classroom for students with disabilities; and (3) provide support for captioning and video description that is appropriate for use in the classroom; and (4) provide accessible educational materials to students with disabilities in a timely manner.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; Graduate Student; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; School; Infant (0-5); Child (6-15); Education (0-8); Education (9-12); Infants, toddlers, children, and other individuals with disabilities, their families, as well as practitioners and service providers benefit from this program.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted according to requirements outlined in the notice inviting applications, as published in the Federal Register.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Application deadlines are identified in the notice inviting applications, published in the Federal Register

Appeals:

Not Applicable.

Renewals:

Other. Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations and adequacy of project progress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typically from one to five years, subject to the availability of appropriations.

Method of awarding/releasing assistance: other

Reports:

Grantees must submit an annual performance report (APR) as required under

ASSISTANCE LISTINGS DESCRIPTIONS

EDGAR 34 CFR 74.50. Final reports shall be made at the end of the project period. Progress reports: Report requirements will be included in grant award documents.

Expenditure reports: Report requirements will be included in grant award documents. Performance monitoring: Report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to grant funds, compliance and performance, as well as other records to facilitate an effective audit, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 75.730-732).

Account Identification:

91-0300-0-1-501.

Obligations:

Project Grants FY 20 \$29,547,000.00; FY 21 est \$29,547,000.00; FY 22 est \$29,547,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the discretionary grant program is governed by: the statute; the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99); OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR 200, as adopted in 2 CFR part 3474.

Regional or Local Office:

Headquarters Office:

Terry Jackson,,
Department of Education, Office of Special Education Programs, Room 5158,
Potomac Center Plaza,,
Washington DC 20202
Email: terry.jackson@ed.gov
Phone: (202) 245-6039.

Website Address:

<http://www2.ed.gov/programs/oseptms/index.html>

RELATED PROGRAMS:

84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.181 Special Education-Grants for Infants and Families; 84.373 Special Education_Technical Assistance on State Data Collection; 84.328 Special Education_Parent Information Centers; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.329 Special Education_Studies and Evaluations; 84.324 Research in Special Education; 84.323 Special Education - State Personnel Development; 84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.328 Special Education Parent Information Centers

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 3, Section 671-673, 20 USC Code 1471-1473.

OBJECTIVES:

The purpose of the program is to ensure that parents of children with disabilities receive training and information to help improve results for their children.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Parent organizations, as defined in Section 671(a)(2) of the Individuals with Disabilities Education Act are eligible for parent center awards under IDEA sections 671 and 672. For section 672 funding, these parent centers must meet additional conditions set forth in section 672. For IDEA section 673, State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if the Secretary approves, for-profit organizations, are eligible for technical assistance for parent training and information center awards.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Infant (0-5); Child (6-15); Youth (16-21); Infants, toddlers, children and youth with disabilities, and their families, benefit from this program.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional location, as appropriate for application

ASSISTANCE LISTINGS DESCRIPTIONS

deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award, if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations (See 34 CFR 75.253).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project (See 20 U.S.C. 1482(a)(2)(A)). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typically, from one to five years; subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

Progress reports shall be made on annual basis. Final reports shall be made at the end of the project period. Expenditure reports are monitored through the APR. Grantees must submit an annual performance report (APR). Performance reports are monitored through the APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of three years from the date of submission of the final expenditure report. (34 CFR 75.730-732 and 2 CFR 200.333)

Account Identification:

91-0300-0-1-501.

Obligations:

Project Grants FY 20 \$27,411,000.00; FY 21 est \$27,411,000.00; FY 22 est \$30,152,000.00;

Range and Average of Financial Assistance:

The range and average vary by competition.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 75, 77, 79, 81, 82, 84, 86, 97, 98, 99; and 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

Carmen Sanchez,
Department of Education, OSERS Office of Special Education Programs, 400 Maryland, Ave., SW, Room 5162 PCP,
Washington DC 20202

Email: carmen.sanchez@ed.gov

Phone: (202)245-6595

Website Address:

<http://www2.ed.gov/programs/oseppic/index.html>

RELATED PROGRAMS:

84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.181 Special Education-Grants for Infants and Families; 84.373 Special Education_Technical Assistance on State Data Collection; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.329 Special Education_Studies and Evaluations; 84.324 Research in Special Education; 84.323 Special Education - State Personnel Development; 84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.329 Special Education Studies and Evaluations

FEDERAL AGENCY:

INSTITUTE OF EDUCATION SCIENCES, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Section 664, 20 USC Code 1464.

OBJECTIVES:

To assess progress in implementing the Individuals with Disabilities Education Act, including State and local efforts to provide (1) Free appropriate public education to children with disabilities; and (2) early intervention services to infants and toddlers with disabilities who would be at risk of having substantial developmental delays if early intervention services were not provided.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds must be used to carry out activities identified in solicitations for contract awards.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants that have the ability and capacity to conduct scientifically valid evaluations are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations.

Beneficiary Eligibility:

Individual/Family; State; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Local; School; Infant (0-5); Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Infants, toddlers, and children with disabilities, and other individuals with disabilities, and their families, benefit from this program.

Credentials/Documentation:

Applicants must submit proposals that are responsive to the solicitation and demonstrate the capacity to carry out the work. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

ASSISTANCE LISTINGS DESCRIPTIONS

is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department provides a forecast of contract opportunities on its website at <http://www2.ed.gov/fund/contract/find/forecast.html> and posts solicitations on the Contract Opportunities page SAM.gov). Vendors may view a synopsis, download a solicitation, and register to receive notification of modifications to existing notices.

Award Procedure:

Applications will be reviewed by a panel of experts. The Department will make awards to those companies that are determined to provide the best value to the government.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. Protests, disputes, and appeals related to contracts funded under this program are regulated under 48 CFR Part 33.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are not applicable. Method of awarding/releasing assistance: other

Reports:

Contractors submit deliverables for review. Invoices are submitted upon approval of deliverables in the case of fixed-priced deliverables, and together with monthly progress and expenditure reports in the case of cost-reimbursement tasks. Payment is made upon review and approval of each invoice. Cash reports are required as specified in the Performance Work Statement for each contract. Progress reports are required as specified in the Performance Work Statement for each contract. Expenditure reports are required as specified in the Performance Work Statement for each contract. Performance monitoring is required, and contractor performance information is gathered subject to 48 CFR Part 42, Subpart 42.15.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As stated in contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under the award.

Account Identification:

91-1100-0-1-500.

Obligations:

Project Grants (Contracts) FY 20 \$10,818,000.00; FY 21 est \$11,318,000.00; FY 22 est \$21,318,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-1100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on the program is available on the agency website at <https://ies.ed.gov/ncee/> and in Congressional budget justifications at <https://www2.ed.gov/about/overview/budget/budget22/justifications/x-ies.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Lauren Angelo,
Department of Education, 550 12th St., SW, Room 4104,
Washington DC 20208
Email: lauren.angelo@ed.gov
Phone: (202) 245-7474

Website Address:

<https://ies.ed.gov/ncee>

RELATED PROGRAMS:

84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.328 Special Education_Parent Information Centers; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.324 Research in Special Education; 84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 Funding supports the Impact Evaluation of Transitional Supports for Youth with Disabilities, the National Longitudinal Transition Study, a Study of State and Local Implementation of IDEA 2019, the Impact Evaluation of Training in Multi-Tiered Systems of Support for Reading in Early Elementary School, a study of Multi-tiered Systems of Support for Reading in Early Elementary School, and What Works Clearinghouse Special Education Reports. Descriptions of these studies are available at

https://ies.ed.gov/ncee/projects/evaluation/evaluations_filter.asp?evalTopic=Students%20with%20Disabilities. 2021 Funding supports an Impact Evaluation of Transitional Supports for Youth with Disabilities, an Impact Study of Support for Students with Disabilities, the National Longitudinal Transition Study, and What Works Clearinghouse Special Education Reports. Descriptions of these studies are available at

https://ies.ed.gov/ncee/projects/evaluation/evaluations_filter.asp?evalTopic=Students%20with%20Disabilities. 2022 Any solicitations will be announced on the Contract Opportunities page at F (<https://sam.gov/content/opportunities>).

CRITERIA FOR SELECTING PROPOSALS:

Awards are made to vendors whose proposals represent the combination of merit and cost that is most favorable to the government.

84.334 Gaining Early Awareness and Readiness for Undergraduate Programs

(GEAR-UP),

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 2,

OBJECTIVES:

Provides 6-or 7-year grants to States and Partnerships to provide support, and maintain a commitment, to eligible low-income students, including students with disabilities, to assist the students in obtaining a secondary school diploma (or its recognized equivalent) and to prepare for, and succeed in, postsecondary

ASSISTANCE LISTINGS DESCRIPTIONS

education. GEAR UP has two major service components. All projects provide a comprehensive set of early intervention services including mentoring, tutoring, academic and career counseling, services to increase parental involvement, and other college preparation activities like exposure to college campuses and financial aid information and assistance. Some projects provide college scholarships to participating students.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

(1) State Grants. States receiving funds are required (unless they receive a waiver) to provide both the early intervention services and a scholarship component, targeted to low-income students in grades K-12. At least 50 percent, but not more than 75 percent, of the grant funds must be used to provide scholarships to participating students. Conversely, at least 25 percent, but not more than 50 percent, of the funds must be used for required and permissible activities. To meet the matching requirement, States must cover at least 50 percent of the total project costs with cash or in-kind contributions from non-Federal sources (as in, a dollar-for-dollar match). (2) Partnership Grants. Eligible partnerships consist of one or more local educational agencies and one or more degree granting institutions of higher education and not less than two other community organizations or entities such as businesses, professional organizations, State agencies, institutions, or other public or private agencies or organizations. Partnerships receiving funds are not required to provide a scholarship component, but may if they choose to do so. However, they are required to provide services to at least one cohort or grade level of students beginning no later than the 7th grade, in a school that has a 7th grade, and in which at least 50 percent of the students enrolled are eligible for free or reduced-price lunch, or to an entire grade level of students, not later than the 7th grade, who reside in public housing. They are also required to cover 50 percent of the total project costs with cash or in-kind contributions from non-Federal sources. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); A State, or a partnership consisting of one or more local educational agencies one or more degree granting institutions of higher education.

Beneficiary Eligibility:

Individual/Family; Minority group; Anyone/general public; Student/Trainee; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Women; Youth (16-21); Low Income; Education (9-12); Education (13+); Low-income students and students in high-poverty schools.

Credentials/Documentation:

OMB Circular No. A-21 applies. OMB Circular No. A-122 applies. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, and State, and local education agencies having expertise in elementary, secondary, and higher education.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Notification usually is made within 4-6 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The statute requires a cash or in-kind match from non-Federal sources for 50% of the total project costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are made for up to 7 years, subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual performance and financial reports are required. Annual performance reports are required as part of the submittal of form ED 524B. Annual expenditures reports are required as part of the submittal of form ED 524B. Staff also monitor grant drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

EDGAR for direct grant programs (34 CFR part 75). Generally, records related to grant funds, compliance and performance must be maintained for a period of 3 years after completion of the grant.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$365,000,000.00; FY 21 est \$368,000,000.00; FY 22 est \$408,000,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations, EDGAR; 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99 and 34 CFR Part 694; 2 CFR part 200; OMB Circulars A-21, A-87, A-110, A-122, and A-133.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Craig Pooler,
Office of Postsecondary Education, Department of Education, 400 Maryland Ave.,
SW,
Washington DC 20202
Email: craig.pooler@ed.gov
Phone: 2024536195
Fax:

Website Address:

<http://www.ed.gov/programs/gearup/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.335 Child Care Access Means Parents in School

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 7,

OBJECTIVES:

To support the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support or establish child care programs serving low-income students enrolled in postsecondary education. Funds may be used to provide before or after school services to the extent necessary to allow students to pursue a postsecondary career. No funds from grants may be used for construction other than minor renovations to meet State or local health or safety needs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); An institution of higher education is eligible to apply if the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year equals or exceeds \$350,000.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Graduate Student; Low Income; Education (13+); Low-income student parents enrolled in postsecondary programs will benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for

assistance, if the State has selected the program for review. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies will be mailed upon request.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Instructions and forms concerning application procedures are available on the program website following publication of the Notice Inviting Application funding criteria in the Federal Register.

Award Procedure:

Peer review panels composed of representatives from public and private higher education and child care providers having expertise in child care service are designated to review application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Other. Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds and if the grantee has made substantial progress in achieving the objectives outlined in its application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The grant amount awarded to an institution shall not exceed one percent of the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants shall be awarded for a period of 4 years, subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

Staff monitor grants drawdown using agency financial reports. Progress reports are required. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the award.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$53,000,000.00; FY 21 est \$55,000,000.00; FY 22 est \$95,000,000.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

\$10,000 - \$300,000

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Antionette Edwards,
Department of Education, Office of Postsecondary Education, Higher Education
Programs, 400 Maryland Ave., SW,
Washington DC 20202
Email: antionette.edwards@ed.gov
Phone: (202) 453-5649

Website Address:

<http://www.ed.gov/programs/campisp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will be selected from those in the Education Department General Administrative Regulations (EDGAR). Contact the Program Office for more information.

84.336 Teacher Quality Partnership Grants

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF
AUTHORIZATION:

Higher Education Act of 1965, as amended, Title II, Part A,

OBJECTIVES:

To improve student achievement; improve the quality of new and prospective teachers by improving the preparation of prospective teachers and enhancing professional development activities for new teachers; hold teacher preparation programs at institutions of higher education (IHEs) accountable for preparing highly qualified teachers; and recruit highly qualified individuals, including minorities and individuals from other occupations, into the teaching force.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards may be used to reform teacher preparation programs through either the Pre-Baccalaureate or fifth year initial licensing Program and/or the Teaching Residency Program. Additionally, funded programs have the option of implementing a School Leadership Program as well as establishing a Partnership with a Digital Education Content Developer.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; States and partnerships that consist of at least one institution of higher education, a school, department or program of education within the partner institution, one school of arts and sciences within the partner institution, one high-need local educational agency, and a high-need school or a consortium of high-need schools served by the high-need local educational agency. A high-need local educational agency; is an agency that serves an elementary school or secondary school located in an area containing: (1) A high percentage of individuals or families with incomes below the poverty line, (2) a high percentage of secondary teachers not teaching in the content area that they were trained to teach, or (3) a high teacher turnover rate. An accredited institution

of higher education, with a teacher training program must demonstrate the following: (A) A graduate from the teacher training program exhibits strong performance on State-determined qualifying assessments for new teachers through demonstrating that 80 percent or more of the graduates of the program intending to enter the field of teaching have passed all of the applicable State qualification assessments for new teachers including an assessment of each prospective teacher's subject matter knowledge in the content area or areas in which the teacher intends to teach; (B) be ranked among the highest-performing teacher preparation programs in the State as determined by the State; (C) the teacher training program requires all the students of the program to participate in intensive clinical experience that meets high academic standards in the case of secondary candidates to successfully complete an academic major in the subject area in which the candidate intends to teach; (D) competence through a high level of performance in relevant content area; (F) successful completion of an academic major in the arts and sciences in the case of elementary school candidates, or (G) competence through a high level of performance in core academic subjects areas. (Note: Entities that may constitute a partnership are specifically listed in the Law.)

Beneficiary Eligibility:

Education Professional; Student/Trainee; Graduate Student; State; Local; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Education (13+); Students in high-need schools and school districts are the primary beneficiaries.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, State and local educational agencies with expertise in teacher education are designated to review applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notification usually is made within four months of the deadline for submission of applicants.

Appeals:

Not Applicable.

Renewals:

Other. Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. This program has statutory requirements as follows: eligible partnerships are required to match 100 percent of the grant. Eligible partnerships may submit a request to waive the 100 percent match requirement for the first two years of the grant. The Secretary has the authority to grant this waiver to eligible partnerships as authorized under section 203(c)(2) of the HEA, as amended. MOE requirements

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are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for a performance period of five years, subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. Annual progress reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Annual performance monitoring is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the awards.

Account Identification:

91-0201-0-1-502, 91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$50,092,000.00; FY 21 est \$52,092,000.00; FY 22 est \$132,092,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Ashlee Schmidt,
Department of Education, Office of Innovation and Improvement, Teacher Quality Programs, 400 Maryland Avenue, S.W.,
Washington DC 20202
Email: ashlee.schmidt@ed.gov
Phone: (20) 401-9501
Fax:

Website Address:

<http://www2.ed.gov/programs/tqpartnership/index.html>

RELATED PROGRAMS:

84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for this information.

84.351 Arts in Education

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title IV, Part F, Subpart 4., Section 4642

OBJECTIVES:

The Arts in Education program promotes arts education for students, including disadvantaged students and students who are children with disabilities, through activities such as (1) professional development for arts educators, teachers, and principals; (2) development and dissemination of accessible instructional materials and arts-based educational programming, including online resources, in multiple arts disciplines; and (3) community and national outreach activities that strengthen and expand partnerships among schools, local educational agencies, communities, or centers for the arts, including national centers for the arts.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State educational agencies; local educational agencies in which 20 percent or more of the students served are from low-income families; institutions of higher education; museums and other cultural institutions; the Bureau of Indian Education; a national nonprofit organization; and any other public or private agencies, institutions, or organizations.

Beneficiary Eligibility:

Education Professional; Student/Trainee; Artist/Humanist; School; Child (6-15); Education (0-8); Education (9-12); Arts educators and administrators, and their students benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant must submit the following by the designated deadline: Written narrative addressing the selection criteria and application content; all required forms: SF 424; A,B,C,D of Form 525; Assurances Non-Construction programs; Certification Regarding Lobbying, Debarment and Suspension; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tiered Covered Transactions.

Award Procedure:

Applications are reviewed by the agency for eligibility and completeness;

ASSISTANCE LISTINGS DESCRIPTIONS

applications are reviewed and scored by a panel of experts; and applications are ranked according to raw scores. A funding slate is prepared, and the highest ranked applications are recommended for funding. Successful and unsuccessful applicants are notified of the outcome, and grant awards are prepared and mailed to successful grantees.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Other. Applicants may apply for a project period of up to four years. Continuation awards are subject to the availability of appropriations and substantial progress by the grantee.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants under this program may be awarded for up to 48 months. Continuation awards are subject to the availability of funds and substantial progress by the grantee. Method of awarding/releasing assistance: other

Reports:

Performance Reports are due within six to ten months of the initial award date; these reports are used to determine whether the grantee has made substantial progress toward meeting the project objectives and should or should not receive continuation funding.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$30,000,000.00; FY 21 est \$30,500,000.00; FY 22 est \$30,500,000.00;

Range and Average of Financial Assistance:

Range of new awards: \$19,211 to \$1,580,125.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available. Fiscal Year 2022: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education General Administrative Regulations (EDGAR). Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Bonnie Carter,
Arts in Education Grants, Department of Education, Office of Elementary and Secondary Education, 400 Maryland Avenue, S.W.,
Washington DC 20202

Email: bonnie.carter@ed.gov

Phone: (202) 260-3576

Website Address:

<http://www2.ed.gov/programs/artsnational/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210.

84.354 Credit Enhancement for Charter School Facilities

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Section 4304

OBJECTIVES:

To provide grants to eligible entities to leverage funds through credit enhancement initiatives in order to assist charter schools in using private-sector capital to acquire, construct, renovate, or lease academic facilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant recipients must deposit the funds received under this program (other than funds used for administrative costs) in a reserve account established and maintained by the entity for this purpose. Amounts deposited in such an account must be used by the entity to help charter schools access private-sector capital for acquiring, renovating, or constructing facilities. Reserve accounts must be used for one or more of the following purposes: (1) Guaranteeing, insuring, and reinsuring bonds, notes, evidences of debt, loans, and interests therein; (2) Guaranteeing and insuring leases of personal and real property; (3) Facilitating financing by identifying potential lending sources and encouraging private lending and other similar activities that directly promote lending to, or are conducted for the benefit of, charter schools; and (4) Facilitating the issuance of bonds by charter schools or by public entities for the benefit of charter schools, which may be accomplished by providing technical, administrative, and other appropriate assistance, including the recruitment of bond counsel, underwriters, and potential investors and the consolidation of multiple charter school projects within a single bond issue.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); A public entity, such as a State or local government entity, a private nonprofit entity, or a consortium of such entities may apply.

Beneficiary Eligibility:

Local; School; Education (0-8); Education (9-12); Public nonprofit institution/organization; Other public institution/organization; Public charter schools as defined in ESEA Section 4310.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost

ASSISTANCE LISTINGS DESCRIPTIONS

Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, any priorities included in the application notice, and all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. The program office notifies successful applicants of awards and negotiates and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Other. The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Other. The Department has historically made only one-time awards under this program.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds under this program are available for the Department of Education to obligate by September 30 of the fiscal year in which they are appropriated. Once these funds are obligated, they must be expended from the Federal account in five years. In addition, two years after the grantee's receipt of grant funds, the Secretary may collect any or all funds in a reserve account if the grantee has not made substantial progress or is no longer using the funds for their specified purpose. Method of awarding/releasing assistance: other

Reports:

Each grantee under this program annually must submit to the Secretary a report of its operations and activities. Each such annual report must include-- (1) a copy of the most recent financial statements, and any accompanying opinion on such statements, prepared by the independent public accountant reviewing the financial records of the grantee; (2) a copy of any report made on an audit of the financial records of the grantee that was conducted during the reporting period; (3) an evaluation by the grantee of the effectiveness of its use of the Federal funds in leveraging private funds; (4) a listing and description of the charter schools served during the reporting period; (5) a description of the activities carried out by the grantee to assist charter schools; and (6) a description of the characteristics of lenders and other financial institutions participating in the activities undertaken by the grantee during the reporting period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$55,000,000.00; FY 21 est \$56,000,000.00; FY 22 est \$56,950,000.00;

Range and Average of Financial Assistance:

Range: \$8,000,000-\$12,000,000. Average: \$10,000,000.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Anna Hinton,
Department of Education, Office of Elementary and Secondary Education, Charters Schools Program, 400 Maryland Ave., SW,
Washington DC 20202
Email: anna.hinton@ed.gov
Phone: 2022601816
Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/charter-school-programs/credit-enhancement-for-charter-school-facilities-program/>

RELATED PROGRAMS:

84.282 Charter Schools;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. For additional information contact the program office.

84.356 Alaska Native Educational Programs

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title VI, Part C.

OBJECTIVES:

To support projects that recognize and address the unique educational needs of Alaska Native students, to recognize the role of Alaska Native languages and cultures and to integrate Alaska Native languages and cultures into education, to support supplemental education programs that benefit Alaska Natives, and to

ASSISTANCE LISTINGS DESCRIPTIONS

ensure the maximum participation by Alaska Native educators and leaders in programs designed to serve Alaska Native students.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Mandatory activities include: (1) the development and implementation of plans, methods, strategies, and activities to improve the educational outcomes of Alaska Natives; and (2) the collection of data to assist in the evaluation of Alaska Native programs. Allowable activities include, but are not limited to: (1) the development of curricula and education programs that address the educational needs of Alaska Native students; (2) the development and operation of student enrichment programs in science and mathematics; (3) professional development activities for educators; (4) early childhood and parenting education activities, including family literacy services; and (5) dropout prevention programs. An eligible entity may use not more than 5 percent of the funds received under this program for the administrative costs of carrying out its responsibilities under this Subpart.

Applicant Eligibility:

Minority group; Specialized group (e.g. health professionals, students, veterans); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Alaska Native organizations with experience operating Alaska Native education programs; Alaska Native organizations that do not have experience operating Alaska Native education programs, but that apply in partnership with: a State educational agency (SEA) or an Alaska Native organization that operates an Alaska Native education program; or an entity located in Alaska and predominantly governed by Alaska Natives that has experience operating Alaska Native programs and is granted an official charter or sanction from at least one Alaska Native tribe or Alaska Native organization to carry out such programs.

Beneficiary Eligibility:

Minority group; Specialized group (e.g. health professionals, students, veterans); Alaska Natives as defined in the Alaska Native Claims Settlement Act.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The Program Office notifies successful applicants of awards and negotiates and awards grants. Contact the Program Office for more information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Other. The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Other. Renewals are subject to the availability of appropriations and substantial progress by the grantee.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

See the following for information on how assistance is awarded/released:

Electronic transfer. Method of awarding/releasing assistance: other

Reports:

Each eligible entity receiving a grant under this program annually shall submit to the Secretary a report of its operations and activities. Each annual report shall include: (1) An executive summary; (2) information on project status; (3) budget information; and (4) supplemental information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

Project Grants FY 20 \$35,953,000.00; FY 21 est \$36,453,000.00; FY 22 est \$36,453,000.00;

Range and Average of Financial Assistance:

Range of new awards: \$300,000-\$1,500,000; Average new award: \$500,000

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

Headquarters Office:

Almita Reed,
Department of Education, OESE School Improvement Programs, 400 Maryland Ave., SW,
Washington DC 20202
Email: almita.reed@ed.gov
Phone: (202) 260-1979

Website Address:

<http://www.ed.gov/programs/alaskanative/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available. 2021 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.358 Rural Education

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title VI, Part B,

OBJECTIVES:

To provide financial assistance to rural districts to carry out activities to help improve the quality of teaching and learning in their schools.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Rural Education Achievement Program (REAP) consists of the Small, Rural School Achievement program (SRSA) and the Rural and Low-Income School program (RLIS). Under SRSA, LEAs may use program funds to carry out activities authorized under: (1) Part A of Title I (Improving Basic Programs Operated by Local Educational Agencies); (2) Part A of Title II (Supporting Effective Instruction State Grants); (3) Title III (English Language Acquisition State Grants); (4) Part A of Title IV (Student Support and Academic Enrichment State Grants); and (5) Part B of Title IV (21st Century Community Learning Centers). Eligible LEAs also may (under the Alternative Fund Use Authority) consolidate funds they receive from these sources (except for Title I, Part A) to carry out effective activities under any of the authorized programs, including Title I, Part A. Under RLIS, LEAs may use program funds for: (1) Part A of Title I (Improving Basic Programs Operated by Local Educational Agencies); (2) Part A of Title II (Supporting Effective Instruction State Grants); (3) Title III (English Language Acquisition State Grants); (4) Part A of Title IV (Student Support and Academic Enrichment Grants); and (5) parental involvement activities.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; For SRSA, eligible recipients are local educational agencies (LEAs) in which (1) the total number of students in average daily attendance at all of the schools served by the LEA is less than 600 or where each school in the LEA is located in a county with a total population density of less than 10 persons per square mile; and (2) all of the schools served by the LEA are designated as rural by the U.S. Department of Education's National Center for Education Statistics (NCES) using the NCES school locale methodology in place at the time of enactment of the Every Student Succeeds Act (ESSA) or the LEA is located in an area of the State defined as rural by a State governmental agency. For RLIS, eligible recipients are State educational agencies (SEAs). SEAs then must distribute funds to local educational agencies (LEAs) on a formula or competitive basis. An LEA is eligible to receive a grant under RLIS only if (1) at least 20 percent of the school children ages 5-17 in the LEA come from families with incomes below the poverty line; and (2) all of the schools served by the LEA are designated with a school locale code of 32, 33, 41, 42, or 43 under the NCES school locale methodology in place at the time of ESSAs enactment. If an SEA chooses not to participate in the program, the Secretary uses the State's allocation to make direct grants to eligible LEAs in the State. If an LEA is eligible for both SRSA and RLIS, the LEA may choose in which program to participate.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Student/Trainee; Local; School; Child (6-15); Youth (16-21); Rural; Education (0-8); Education (9-12); Elementary and secondary schools, students, and teachers in rural schools.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For SRSA, the Department requires an application from each eligible. Application forms and instructions are available from the headquarters office. For RLIS, an SEA must submit an application that includes specific, measurable goals and objectives for

the activities to be carried out through the grant. If an SEA does not participate in the program, eligible LEAs in the State may submit an application directly to the Department.

Award Procedure:

For SRSA, awards are issued annually directly to eligible LEAs on a formula basis. Awards are made to all that apply and meet the applicable requirements of the Act. Contact the program office for more information. For RLIS, awards are issued annually to SEAs, which make subgrants to LEAs. Awards are made to all SEAs that have RLIS-eligible LEAs and apply and meet the applicable requirements of the Act. SEAs then award subgrants to eligible LEAs in the State either competitively or by formula.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title V, Chapter , Part B, sections 5212(b) and 5221 (a), Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded for 1 year. Method of awarding/releasing assistance: other

Reports:

Annual financial and performance reports are due within 90 days of the termination of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be maintained 3 years after the completion of the activity for which grant funds were used.

Account Identification:

91-1000-0-1-501.

Obligations:

Formula Grants FY 20 \$185,840,000.00; FY 21 est \$187,840,000.00; FY 22 est \$192,840,000.00;

Range and Average of Financial Assistance:

For Fiscal Year 2020: Range of new awards for SRSA: \$232 - \$65,109; Average new award for SRSA: \$21,794. Estimated range of new subgrants for RLIS: \$61 - \$271,011; Average new subgrants for RLIS: \$34,428. For Fiscal Year 2021: Range of new awards for SRSA: \$186 - \$66,309; Average new award for SRSA: \$22,057. Estimated range of new subgrants for RLIS: \$169 - \$319,620; Average new subgrants for RLIS: \$40,966.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 79, 80, 81, 82, 85, 97, 98 and 99.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Leslie Poynter,
Office of Elementary and Secondary Education, Department of Education, 400
Maryland Avenue, S.W.,
Washington DC 20202

Email: reap@ed.gov
Phone: (202) 453-6041

Website Address:

<http://www2.ed.gov/nclb/freedom/local/reap.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Applicant Eligibility (081), Application Procedure (092), and Award Procedure (093).

84.362 Native Hawaiian Education

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title VI, Part B,

OBJECTIVES:

To develop innovative educational programs to assist Native Hawaiians, to supplement and expand programs and authorities in the area of education, and to encourage the maximum participation of Native Hawaiians in planning and management of Native Hawaiian education programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Program funds may be used to carry a variety of authorized activities including, but not limited to: (1) early education and care programs, (2) family-based education centers, (3) beginning reading and literacy programs, (4) activities to meet the special needs of Native Hawaiian students with disabilities, (5) activities to address the needs of gifted and talented Native Hawaiian students, (6) professional development for educators, (7) the operation of community-based learning centers that address the needs of Native Hawaiians, and (8) activities to enable Native Hawaiian students to enter and complete postsecondary education programs. An eligible entity may use not more than 5 percent of the funds received under this program for the administrative costs of carrying out its responsibilities under this subpart.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Eligible applicants include: (1) Native Hawaiian educational organizations and community-based organizations; (2) public and private nonprofit organizations, agencies, and institutions with experience in developing or operating Native Hawaiian programs or programs of instruction in the Native Hawaiian language; (3) charter schools; or (4) consortia of the organizations, agencies, and institutions described above.

Beneficiary Eligibility:

Other Non-White; Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Native Hawaiian children and adults.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The program office notifies successful applicants of awards and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made for a period of up to three years; continuation awards are based on the availability of appropriations and adequate progress on project implementation. Method of awarding/releasing assistance: other

Reports:

Each eligible entity receiving a grant under this program annually must submit to the Department a report of its operations and activities. Each such annual report must include: (1) an executive summary; (2) project status information; (3) budget information; and (4) supplemental information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

Project Grants FY 20 \$36,897,000.00; FY 21 est \$37,397,000.00; FY 22 est \$37,397,000.00;

Range and Average of Financial Assistance:

Range of New Awards: \$250,000-\$3,000,000; Average New Award: \$1,200,000.

TAFS Codes:

91-1000.

ASSISTANCE LISTINGS DESCRIPTIONS

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Joanne Osborne,
Department of Education, Office of Elementary and Secondary Education, 400 Maryland Ave., S.W., Rm. 3W215,
Washington DC 20202
Email: joanne.osborne@ed.gov
Phone: (202) 260-1265.

Website Address:

<http://www.ed.gov/programs/nathawaiian/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available. 2021 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.365 English Language Acquisition State Grants

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title III, Part A, Sections 3101-3131.,

OBJECTIVES:

To help ensure that English learners (ELs), including immigrant children and youth, attain English proficiency and meet the same challenging State academic standards that all children are expected to meet.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Department makes awards to States and outlying areas using a formula based on their share of ELs and immigrant children and youth. State educational agencies (SEAs) must use at least 95 percent of their allocations to award subgrants to local educational agencies (LEAs) to assist ELs in learning English and meeting challenging State academic standards, and for subgrants to LEAs that have experienced a significant increase in the number of immigrant children and youth. The immigrant subgrants cannot exceed 15 percent of the SEA's total allocation. SEAs may reserve up to 5 percent of their allocations for the establishment and implementation of statewide entrance and exit procedures for ELs, planning, evaluation, professional development activities, technical assistance and recognition. From the amount it reserves for those State activities, SEAs may reserve 50 percent of that amount or \$175,000, whichever is greater, for direct administrative costs. Subgrantees must use funds to increase the English proficiency of ELs by providing effective language instruction educational programs that will increase ELs, English proficiency and achievement in the core academic subjects. Subgrantees must also provide effective professional development to teachers and other educational personnel that is designed to improve instruction and assessment of ELs designed to enhance teachers' ability to understand and use curricula, assessment measures, and instructional strategies for ELs, designed to increase ELs English proficiency or substantially increase

teachers' subject matter content knowledge and skills; and is of sufficient intensity and duration to have a positive and lasting impact on teachers' performance in the classroom. Funds may also be used for a variety of allowable activities aimed at increasing the English proficiency of ELs and helping ELs meet the challenging State academic standards, including identifying, acquiring, and upgrading curricula, instructional materials, educational software, and assessment procedures, providing tutorials and academic or career and technical education and intensified instruction providing community participation programs, family literacy services and parent outreach and training activities improving instruction for ELs with disabilities; and offering early college high school or dual or concurrent enrollment programs. Subgrantees awarded funds based on a significant increase in the number of immigrant children and youth must use the funds to provide activities that provide enhanced instructional opportunities, which may include providing family literacy and outreach activities; recruiting staff with expertise in serving immigrant children and youth; providing tutorials, mentoring, and career counseling; and identifying, developing, and acquiring curricular materials, educational software, and technologies for the purpose of supporting immigrant children and youth. This program is subject to non-supplanting requirements (with respect to State, local, and other Federal funds) and grantees must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance, call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); States with approved State plans and outlying areas are eligible to receive funds.

Beneficiary Eligibility:

Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); English learners and immigrant children and youth benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SEAs apply for grants by submitting a plan to the Department that describes, among other things, the process they will use in establishing standards and objectives for raising the level of English proficiency for ELs, making sub-grants to eligible entities, and holding sub-grantees accountable for meeting the objectives described in their funded applications. Outlying area applicants may apply under the Consolidated Application Process for outlying areas through which they may combine various program funds. An application submitted under the Consolidated Application Process for outlying areas must address the purpose of the grant, priorities, goals and objectives, activities, evaluation, needs, and include a budget indicating how the funds will be used.

Award Procedure:

The Department allocates funds to any SEA with an approved plan. SEAs must expend at least 95 percent of their Title III allotments to award subgrants to LEAs and other eligible entities to meet the educational needs of ELs and immigrant children and youth.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 days.

Appeals:

Other. Section 432 of the General Education Provisions Act provides local educational agencies with a right of appeal in disagreements between State and local educational agencies, including disagreements over funding decisions. Where a local educational agency alleges that a denial of funding is "a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program," it may, within 30 days, request a hearing from the State educational agency. Once the hearing is held and the State educational agency issues its written ruling, the local

ASSISTANCE LISTINGS DESCRIPTIONS

educational agency may appeal a negative ruling to the Secretary.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Generally, awards to SEAs are made on July 1. In addition, funds remain available to SEAs and LEAs for an additional fiscal year for obligation and expenditure under section 421(b) of GEPA. Method of awarding/releasing assistance: other

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR) and 2CFR 200. In addition, there are program-specific requirements for these formula grants: States prepare and submit reports every year on the programs and activities carried out by the SEA and the effectiveness of such programs and activities in improving the education of ELs. LEAs that receive sub-grants from SEAs must provide States with a report every second year. The report must include: a description of the programs and activities conducted; the number and percentage of ELs who are making progress toward English proficiency, disaggregated, at a minimum, by ELs with disabilities; the number and percentage of ELs attaining English proficiency; the number and percentage of ELs who exit language instruction educational programs based on attaining English proficiency; the number and percentage of ELs meeting challenging State academic standards for each of the 4 years after such children are no longer receiving services disaggregated, at a minimum, by ELs with disabilities and the number and percentage of ELs who have not attained English proficiency within 5 years of initial classification as an EL.. Contact program office.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. All grantees are subject to the provisions of the Single Audit Act.

Records:

All grantees must maintain and complete records as provided in EDGAR and 2 CFR 200.

Account Identification:

91-1300-0-1-501.

Obligations:

Formula Grants FY 20 \$727,537,905.00; FY 21 est \$736,841,155.00; FY 22 est \$829,780,155.00;

Range and Average of Financial Assistance:

FY 21 \$98,887 - \$149,265,123; \$13,157,878 average.

TAFS Codes:

91-1300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR; 34 CFR parts 76 and 2 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

Deborah Spitz,
Office of Elementary and Secondary Education, 400 Maryland Avenue, SW, Room 3W-200,
Washington DC 20202
Email: deborah.spitz@ed.gov
Phone: (202) 260-3793

Website Address:

<http://www2.ed.gov/programs/sfgp/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.367 Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended by the Every Student Succeeds Act, Title II, Part A,

OBJECTIVES:

To provide grants to State Educational Agencies (SEAs), and, through the SEAs, to Local Educational Agencies (LEAs) in order to increase student academic achievement consistent with challenging State academic standards; improve the quality and effectiveness of teachers, principals, and other school leaders; increase the number of teachers, principals, and other school leaders who are effective in improving student academic achievement in schools; and provide low-income and minority students greater access to effective teachers, principals, and other school leaders. (Under the antecedent program, State agencies for higher education also were eligible grantees. State agencies for higher education are no longer eligible grantees.)NOTE: The program was reauthorized by P.L. 114-95. The provisions listed here reflect changes made to the program and are applicable for awards made with FY 2017 and subsequent year funds.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

SEAs and LEAs have flexibility to carry out a wide variety of activities, consistent with their specific needs. The activities listed below are examples of SEA and LEA allowable uses of funds under ESEA sections 2101(c) and 2103(b). For a complete list of allowable activities under the ESEA, please see sections 2101(c) and 2103(b) of the statute (<https://www2.ed.gov/programs/teacherqual/legislation.html>). Generally, SEAs must use at least 95 percent of awards for subgrants to LEAs; LEAs may use the funds to develop, implement, and evaluate comprehensive programs and activities to improve teacher and school leader quality, including developing and improving rigorous and fair evaluation and support systems; implement initiatives to assist in recruiting, hiring, and retaining effective teachers, especially in low-income schools that particularly need assistance; promote teacher leadership; recruit qualified individuals from other fields; reduce class size; provide high-quality, personalized professional development; and develop feedback mechanisms to improve school working conditions. States may reserve up to 5 percent of the total SEA award for allowable State activities, which include, among others, reforming certification and licensing systems and programs, helping LEAs design and implement teacher and school leader evaluation and support systems, improving equitable access to effective teachers, and providing technical assistance to LEAs. (SEAs may reserve up to 2% of total State funding for teacher, principal, or other school leader preparation academies.) States may use no more than 1 percent of the total SEA award for administration. In addition, SEAs are permitted to reserve up to 3 percent of the amount of funds initially reserved for LEA subgrants for State activities for principals and other school leaders. Under ESEA section 2301, this program is subject to non-supplanting requirements; therefore, grantees must use a restricted indirect cost rate that is described in 34 CFR 76.563-76.569. For assistance on indirect costs call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); NOTE: State Educational Agencies (SEAs) are the only eligible State agencies. The 50 States, the District of Columbia, the Bureau of Indian Education,

ASSISTANCE LISTINGS DESCRIPTIONS

Puerto Rico, American Samoa, Guam, the Northern Marianas, and the Virgin Islands receive funding.

Beneficiary Eligibility:

Education Professional; Student/Trainee; Elementary and secondary schools, teachers, paraprofessionals, principals and other school leaders; and students will benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An SEA is eligible for funding if it submits either a consolidated State application under ESEA section 8302 that includes information the U.S. Department of Education requires (a description of how the funds will be used, the States system of certification and licensing, the States plans to improve the skills of educators, how the State will use of data and ongoing consultation, and any State actions to improve teacher preparation), or a program application under ESEA section 2101(d) that contains a number of descriptions including how funds will be used, the States certification and licensing of teachers and school leaders, and how activities are both aligned with challenging State academic standards and expected to improve student achievement. Program applications must also include descriptions of plans to improve the skills of educators, use of data and ongoing consultation, plans to encourage educator autonomy and flexibility, and actions to improve teacher preparation. The program application also must contain assurances that the SEA will (1) assure compliance by LEAs; (2) work in consultation with the entity responsible for teacher and leader professional standards, certification, and licensing; and (3) comply with requirements for equitable participation of private school children and teachers. Under ESEA section 2102, the SEA makes subgrants to LEAs on a formula basis. Contact the program office for additional information.

Award Procedure:

The Department awards funds to any SEA with an approved application under Section 2101(d) of the ESEA (program application) or under section 8302 (consolidated State applications).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact the headquarters or regional office.

Appeals:

Other. Contact the headquarters or regional office.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: Under ESEA section 8521, this program has Maintenance of Effort requirements, see funding agency for further details..

Length and Time Phasing of Assistance:

Generally, awards to SEAs are available for obligation at the Federal level from July 1 to September 30 of the following year. In addition, funds remain available to SEAs for an additional fiscal year for obligation and expenditure. Method of awarding/releasing assistance: other

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for State-administered programs (34 CFR part 76). Generally, SEAs are subject to the reporting requirements contained in the Consolidated State Performance Report required by Title VIII of the ESEA, Section 8303 (b). Progress reports are required: Title II, Part A, Section 2104 requires SEAs to

submit annual reports that include information on the uses of grant funds, results of educator evaluation and support systems (if applicable) and retention rates (where available). The Department collects this information from SEAs via an annual survey. The Department has performance measures for the program; contact the program office for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Nonfederal entities that expend financial assistance less than \$500,000 in a fiscal year must keep records available for review or audit by appropriate officials of the Federal government.

Records:

SEAs and LEAs must maintain program records for 3 years from the date those agencies submit to the awarding agency its single or last expenditure report for the period for which it received the funds. A longer retention period is required under specified conditions.

Account Identification:

91-1000-0-1-501.

Obligations:

Formula Grants FY 20 \$2,131,830,000.00; FY 21 est \$2,143,080,000.00; FY 22 est (\$2,146,387,296.00);

Range and Average of Financial Assistance:

FY 20: Range: \$10,298,533 to \$238,042,325 (for State awards); Average \$40,383,830 FY 21: \$10,421,077 to \$234,749,613 (for State awards); Average \$40,596,941.FY 22: Range: \$10,515,300 to \$235,143,659; Average \$40,701,129.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program. (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Patrick Rooney,
Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW,
Washington DC 20202
Email: patrick.rooney@ed.gov
Phone: 2024535514

Fax:

Website Address:

<http://www.ed.gov/programs/teacherqual/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.368 Competitive Grants for State Assessments

ASSISTANCE LISTINGS DESCRIPTIONS

(formerly Grants for Enhanced Assessment Instruments)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part B, Sec. 1203.,

OBJECTIVES:

Starting with fiscal year 2017, the purpose is to support efforts to: (1) improve the quality, validity, and reliability of State academic assessments; (2) measure student academic achievement through the use of multiple measures from multiple sources; (3) develop or improve models to measure and assess student progress or growth; (4) develop or improve assessments for English learners, including assessments of English language proficiency or assessments of academic content in languages other than English; (5) develop or improve assessments for children with disabilities, including alternate assessments aligned to alternate academic achievement standards; and (6) develop and use comprehensive assessment instruments, such as performance- and technology-based assessments, computer adaptive assessments, or extended performance task assessments that improve assessment ability to measure critical thinking, writing and problem solving skills.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Successful applicants must use funds to carry out the activities described in their approved applications and in accordance with regulations.

Applicant Eligibility:

State; State educational agencies (SEAs) and/or consortia of SEAs are eligible to receive grants.

Beneficiary Eligibility:

School; Education (0-8); Education (9-12); State educational agencies (SEAs) and/or consortia of SEAs, local educational agencies and local schools will benefit.

Credentials/Documentation:

Applicants must prepare and submit applications in accordance with the application package, which includes instructions and guidance for completing and submitting an application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant may work in collaboration with institutions of higher education, other research institutions or other organizations. An application from a consortium of SEAs must designate one SEA as the fiscal agent. The designated SEA submits the application. The application package includes instructions and guidance on submitting an applications as well as a list of required documents and forms.

Award Procedure:

Funds are awarded on a competitive basis.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. No-cost extensions are available.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is up to 48 months. Method of awarding/releasing assistance: other

Reports:

Reports must be submitted in accordance with the terms of the award document. Progress reports must be submitted in accordance with the terms of the award document. Expenditure reports must be submitted in accordance with the terms of the award document. Performance monitoring will be in accordance with the terms of the award document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Any additional audit requirements will be included in grant award documents.

Records:

Records must be maintained in accordance with EDGAR; requirements will be included in grant award documents.

Account Identification:

91-1000-0-1-501.

Obligations:

Project Grants FY 20 \$8,900,000.00; FY 21 est \$8,900,000.00; FY 22 est \$8,900,000.00;

Range and Average of Financial Assistance:

The average award is \$4,545,709.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations may be found at the Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 80, 81, 82, 84, 85, 86, 97, 98, and 99. Other information may be found at the program website.

The Debarment and Suspension regulations in 2 CFR part 3485 also apply.

Regional or Local Office:

None.

Headquarters Office:

Donald Peasley,
Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., SW,
Washington DC 20202
Email: donald.peasley@ed.gov
Phone: (202) 453-7982
Fax:

Website Address:

<http://www.2.ed.gov/programs/eag/index.html>

RELATED PROGRAMS:

84.369 Grants for State Assessments and Related Activities;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are included in the Notice Inviting Application, which is posted on the program website.

84.369 Grants for State Assessments and Related Activities

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

ASSISTANCE LISTINGS DESCRIPTIONS

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part B, Section 1201

OBJECTIVES:

The purpose of this program is: to pay the costs of developing the standards and high-quality assessments required by Title I of the ESEA. Once a State has put in place those standards and assessments, it may use program funds to pay for the administration of the assessments and for other activities related to ensuring that the States schools and LEAs are held accountable for results and helping students attain challenging academic standards linked to college- and career-readiness. Such activities may include, among other things, developing standards and assessments in subjects other than those required by Title I, refining State assessments to ensure continued alignment with standards, expanding the range of testing accommodations for students with disabilities and for English Learner students, developing multiple measures to ensure the validity and reliability of State assessments, and using academic assessment instruments such as performance and technology-based assessments or computer adaptive assessments to better reflect the kind of complex work students do in an effective classroom and the real world.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

State educational agencies must use these funds to carry out the responsibilities described in Section 1201 of the ESEA. Funds may be used to support the development of the State assessments and standards required by Section 1111(b) of the ESEA or, if a State has developed the assessments and standards required by Section 1111(b), then to support the administration of those assessments or to carry out other activities related to improving the quality of those assessments.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State educational agencies.

Beneficiary Eligibility:

Education Professional; State; Local; School; States and local educational agencies.

Credentials/Documentation:

This program is included for coverage under OMB Circular No. A-87. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Department will allocate funds to any State whose State application submission demonstrates that funds will be used for covered activities and that costs associated with those activities are reasonable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 30-90 days.

Appeals:

Other. If the Department disapproves a State's application for funds authorized by Section 1201, the State has the right to a hearing in accordance with Section 76.202 of the Education Department General Administrative Regulations (EDGAR).

Renewals:

Other. States with approved applications will automatically receive grant awards for any year for which there is an appropriation for the program.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter , Part B, Sec. 1203(a), Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards of funds are available for obligation for a maximum of 27 months. They become available to the Department on July 1, and are awarded to States on or

about that date. They remain available for obligation under the initial period of availability for 15 months (until September 30, of the following fiscal year). Under section 421(b) of the General Education Provisions Act, any funds that remain unobligated may be carried over for obligation for an additional 12 months. Funds for succeeding fiscal years, if appropriated, will follow a similar timeline. Method of awarding/releasing assistance: other

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). States receive awards under this program are required to respond to any questions that the Department asks in its annual Consolidated State Performance Report survey.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

All grantees must maintain and complete records in accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-1000-0-1-501.

Obligations:

Formula Grants FY 20 \$369,100,000.00; FY 21 est \$369,100,000.00; FY 22 est \$369,100,000.00;

Range and Average of Financial Assistance:

FY 2020 range of awards to States: \$3,317,183-\$28,287,538 average \$7,027,096.
FY 2021 range of awards to States: \$3,330,997 \$28,151,762 average \$7,027,096.
FY 2022 range of awards to States: \$3,330,997 \$28,151,762 average \$7,027,096.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Made grants to 56 State educational agencies.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 76, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. 34 CFR Parts 200 and 300.

Nonregulatory guidance concerning Title I, Part A assessment requirements is available at <http://www.ed.gov/admins/lead/account/saa.html>.

Regional or Local Office:

Headquarters Office:

Patrick Rooney,
Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW,
Washington DC 20202
Email: patrick.rooney@ed.gov
Phone: (202)453-5514

Website Address:

<http://www2.ed.gov/admins/lead/account/saa.html>

RELATED PROGRAMS:

84.368 Grants for Enhances Assessment Instruments; 84.010 Title I Grants to Local Educational Agencies;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

84.370 DC Opportunity Scholarship Program

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Scholarships for Opportunity and Results (SOAR) Act, (Division C of Public Law 112-10, as amended,

OBJECTIVES:

To provide low-income parents residing in the District of Columbia (District) with expanded options for the education of their children. This program is part of a broader school improvement effort in the District.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

These scholarships of up to approximately \$9,000 per student per school year for grades K-8 and approximately \$13,500 per student per school year for grades 9-12 enable students to attend a participating nonpublic District elementary and secondary school of their choice and may be used to pay tuition and fees and transportation.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); An educational entity of the District of Columbia Government; a nonprofit organization; or a consortium of nonprofit organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; Education Professional; Student/Trainee; State; Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); To receive an award under this program, an applicant must ensure that a majority of the members of its voting board or governing organization are residents of the District of Columbia. As required by the program statute, the Secretary gives priority to applications from eligible entities that will most effectively (1) in awarding scholarships under section 3007(a), give priority to(A) eligible students who, in the school year preceding the school year for which the eligible students are seeking a scholarship, attended an elementary school or secondary school identified as one of the lowest-performing schools under the District of Columbia's accountability system; and (B) students whose household includes a sibling or other child who is already participating in the program of the eligible entity under this division, regardless of whether such students have, in the past, been assigned as members of a control study group for the purposes of an evaluation under section 3009(a); or whether such students have, in the past, attended a private school; (2) target resources to students and families that lack the financial resources to take advantage of available educational options; and (3) provide students and families with the widest range of educational options.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the regulations in 34 CFR Part 79.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must submit the following by the designated deadline: (1) The applicant must provide a written narrative, which addresses the selection criteria (and the required application content) that reviewers use in evaluating their applications. (2) Required forms to be submitted with the application include SF424; Sections A, B, and C of ED Form 524; Assurance - Non Construction Programs; Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace

Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions. (3) Applicants for grants under this program are required to submit applications electronically using the Electronic Grant Application System (e-Application) available through the Department's e-GRANTS system. Specific instructions for submitting applications electronically are included in the application notice.

Award Procedure:

The Department of Education awards this grant on a competitive basis. The program office notifies the successful applicant of the award, negotiates the final budget, and awards the grant. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Applicants may apply for a project period of up to 12 months. Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 12 months. Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: other

Reports:

Annual performance reports are required for each budget period. Specific due dates are determined by the Department and forwarded to applicants accordingly. Final performance reports are due 90 days after expiration or termination of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$17,000,000.00; FY 21 est \$17,000,000.00; FY 22 est \$17,000,000.00;

Range and Average of Financial Assistance:

Average new awards: \$17,000,000.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department will publish the Notice Inviting Applications (NIA) in the Federal Register. Contact the Program Office for more information.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Beth Yeh,
Office of Elementary and Secondary Education, 400 Maryland Ave., S.W.,
Washington DC 20202
Email: beth.yeh@ed.gov
Phone: (202) 205-5798
Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/school-choice-improvement-programs/district-of-columbia-opportunity-scholarship-program/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the NIA.

84.371 Comprehensive Literacy Development

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part B,
Subpart 2, Section 2222

OBJECTIVES:

The objective of the Comprehensive Literacy Development program is to advance literacy skills, including pre-literacy skills, reading and writing for students from birth through grade 12, including English learner and students with disabilities.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Comprehensive Literacy Development discretionary grantees must use these funds to carry out the activities described in their approved applications. Striving Readers/Comprehensive Literacy Development grantees must use these funds to create a comprehensive literacy program to advance literacy skills, including pre-literacy skills, reading, and writing. These funds must be used for services and activities that have characteristics of effective literacy instruction through professional development, screening and assessment, targeted interventions for students reading below grade level and other research-based methods of improving classroom instruction and practices for all students. Grantees may reserve up to 5 percent of their awards for State leadership activities, including technical assistance and training, data collection, reporting, and administration.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State; State educational agencies are eligible applicants.

Beneficiary Eligibility:

Education Professional; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Education (0-8); Education (9-12); The Comprehensive Literacy Development program benefits children and youth from birth through 12th grade.

Credentials/Documentation:

This program is covered. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must prepare and submit an application in accordance with the Application and Instructions

published on the Striving Readers Comprehensive Literacy website at <http://www.ed.gov/programs/strivingreaders-literacy/index.html>. OMB required assurances and certifications include: Standard Forms 424B (Assurances for Non-Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood hazards; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations; lobbying in Department Form 80-0013, Standard Form-LLL, Disclosure Form to Report Lobbying.

Award Procedure:

The Department of Education notifies successful applicants of awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range of approval/disapproval time is approximately 60 to 120 days following the full application deadline.

Appeals:

Not Applicable.

Renewals:

Other. Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of appropriations and satisfactory progress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of appropriations and satisfactory progress. Method of awarding/releasing assistance: other

Reports:

Annual progress reports must be submitted at the end of the budget period. Performance monitoring is included in the annual progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

Project Grants FY 20 \$190,000,000.00; FY 21 est \$192,000,000.00; FY 22 est \$191,999,998.00;

Range and Average of Financial Assistance:

No Current Data Available.

TAFS Codes:

91-0900.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), 34 CFR

ASSISTANCE LISTINGS DESCRIPTIONS

Parts 74, 75, 76, 77,79,80,81,82,85,86,97,98,and 99. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Michelle Georgia,
Department of Education, Office of Elementary and Secondary Education, 400
Maryland Ave., SW.,
Washington DC 20202
Email: michelle.georgia@ed.gov
Phone: (202) 453-5501

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/well-rounded-education-programs/striving-readers-comprehensive-literacy-srcl-formula-grants-84-371a-for-state-literacy-teams/>

RELATED PROGRAMS:

84.213 Even Start_State Educational Agencies; 84.359 Early Reading First;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria will be included in the application package. For additional information please visit the website <http://www.ed.gov/programs/strivingreaders-literacy/index.html>

84.372 Statewide Longitudinal Data Systems

FEDERAL AGENCY:

INSTITUTE OF EDUCATION SCIENCES, EDUCATION, DEPARTMENT OF
AUTHORIZATION:

Educational Technical Assistance Act of 2002, Title II, Section 208, Public Law 107-279

OBJECTIVES:

These grants are intended to enable State educational agencies to design, develop, and implement statewide, longitudinal data systems to efficiently and accurately manage, analyze, disaggregate, and use individual student data, consistent with the Elementary and Secondary Education Act of 1965 and to facilitate analyses and research to improve student academic achievement and close achievement gaps.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Grants may support salaries, travel, equipment, and supplies as required to carry out design and implementation efforts. Grant funds must be used to supplement and not supplant other State or local funds used for developing State data systems.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Only State educational agencies are eligible for grant awards. Other types of entities are eligible for contract awards.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Education Professional; State; Local; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; State educational agencies, local educational agencies, non-profit and for-profit organizations, and individuals involved with education will benefit.

Credentials/Documentation:

Applicants for these data systems projects must present a plan and furnish evidence

that the scientific competence, facilities, equipment, and supplies are appropriate to carry out the project. Applicants must ensure technical quality; provide for the generation of accurate data; and provide for the timely use of such data needed by State and local educational agencies both to comply with the Elementary and Secondary Education Act of 1965 and to facilitate research to improve student academic achievement and close achievement gaps; and, meet voluntary standards and guidelines for linking individual student data. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application notices (available on <http://ies.ed.gov/funding>) describe the substantive requirements for applications and provide information on how to prepare and submit applications electronically through Grants.gov. The Application Packages provide the required forms and are available on <http://www.grants.gov>. Grant applications to Institute of Education Sciences (IES) competitions must be submitted via the Grants.gov government-wide portal that allows potential applicants to find grant opportunities and apply for grants. The Grants.gov registration process can take several weeks. Interested applicants should begin the registration process well in advance of application deadlines. The Department provides a forecast of contract opportunities on its website at <http://www2.ed.gov/fund/contract/find/forecast.html> and posts solicitations at FedBizOpps (FBO). Vendors may view a synopsis, download a solicitation, and register to receive notification of modifications to existing notices.

Award Procedure:

Grant applications that are responsive and compliant to the Requests for Applications are evaluated by expert reviewers for scientific and technical merit. Scientific reviews are conducted in accordance with the review criteria stated in the Request for Applications and the review procedures posted on the IES website, http://ies.ed.gov/director/sro/peer_review/application_review.asp, by a panel of scientists who have substantive and methodological expertise appropriate to the program of research and Request for Applications. Awards are competitive, with the following criteria considered in making award decisions for responsive and compliant applications: Scientific merit as determined by peer review, performance and use of funds under a previous federal award, contribution to the overall program of research described in the Request for Applications, and availability of funds. Official notice of an approved application is made through issuance of a Notice of Grant Award. Contract applications are reviewed by a panel of experts. The Department makes awards to those companies that are determined to provide the best value to the government.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range of approval/disapproval time is approximately 120 to 180 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Other. Recipients of multi-year grants must submit an annual performance report for each year funding has been approved. A review of the annual performance report is used to determine whether substantial progress has been made toward meeting the project objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants usually are supported for four years. All grantee obligations must be liquidated within 90 days following the end of the project period. Payments may be made according to a predetermined schedule or by way of reimbursement.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: other

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, financial reports and program reports must be submitted as required by grant terms and conditions. Contractors submit deliverables for review. Invoices are submitted upon approval of deliverables in the case of fixed-priced deliverables, and together with monthly progress and expenditure reports in the case of cost-reimbursement tasks. Payment is made upon review and approval of each invoice. Progress reports are required. For grants, annual performance reports are required as part of the submittal of form ED 524B. For contracts, progress reports are required as specified in the Performance Work Statement for each contract. Expenditure reports are required. For grants, annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. For contracts, expenditure reports are required as specified in the Performance Work Statement for each contract. Performance monitoring is required. For grants, reporting on performance measures is required as part of the submittal of form ED 524B. Contractor performance information is gathered subject to 48 CFR Part 42, Subpart 42.15. The Department has established performance measures for the program that include: 1) the number of States linking K-12 with early childhood data; 2) the number of States linking K-12 with postsecondary data, and 3) the number of States linking K-12 and postsecondary data with workforce data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All expenditures by state governments will be audited by the grantee or at the grantee's direction with reasonable frequency, usually once a year but not less than once every two years. The Secretary of Education, the Director of the Institute, and the Comptroller General of the United States, or any of their duly authorized representatives, shall have access, for the purpose of audit and examinations, to all applicable records and to any other pertinent books, documents, papers, and records of the grantee.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award. For contracts, as stated in contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under the award.

Account Identification:

91-1100-0-1-503.

Obligations:

Project Grants (Contracts) FY 20 \$6,000,000.00; FY 21 est \$6,000,000.00; FY 22 est \$6,000,000.00; Project Grants FY 20 \$27,000,000.00; FY 21 est \$27,500,000.00; FY 22 est \$27,500,000.00;

Range and Average of Financial Assistance:

The 28 grant awards made in FY 2020 ranged from \$2.3 million to \$3.5 million for the entire project period of up to 4 years. The average award for the full performance period was \$3.3 million.

TAFS Codes:

91-1100.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funds were used to support grant continuation costs and for contracts to improve data coordination, quality, and use at the local, State, and national levels. Fiscal Year 2021: Funds will be used to support the grants that were awarded in FY 2020, as well as for contracts to improve data coordination, quality, and use at the local, State, and national levels. Fiscal Year 2022: Funds will be used to support the grants that were awarded in FY 2020, as well as for

contracts to improve data coordination, quality, and use at the local, State, and national levels.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 77, 80, 81, 82, 84, 85, 97, 98, and 99. In addition 34 CFR part 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230. For more information, see specific Request for Applications available on Institute website <http://ies.ed.gov/funding>.

Regional or Local Office:

Headquarters Office:

Nancy Sharkey,
Department of Education, IES, National Center for Education Statistics, 550 12th St., SW, Room 9101,
Washington DC 20006
Email: nancy.sharkey@ed.gov
Phone: (202) 245-7689

Website Address:

<http://nces.ed.gov/programs/slds>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Fiscal year 2020 funds were used for continuation costs of the grants awarded with FY 2019 funds and for awards to public or private organization or agencies to support activities to improve data coordination, quality, and use at the local, State, and national levels. More information on these grant awards is available at <https://nces.ed.gov/programs/slds/stateinfo.asp>.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site:

<http://ies.ed.gov/funding/>. Contract awards are made to vendors whose proposals represent the combination of merit and cost that is most favorable to the government.

84.373 Special Education Technical Assistance on State Data Collection

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES,
EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part B, Sections 611(c) and 616(i), 20 U.S.C 1411.,

OBJECTIVES:

To provide technical assistance, where needed, to improve the capacity of States to meet the data collection requirements of the IDEA.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public and private agencies and organizations, including for profit and non-profit agencies and organizations.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Infant (0-5); Infants, toddlers, and children with disabilities benefit from this program.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typically from 1 to 5 years. Renewals subject to the availability of appropriations. Method of awarding/releasing assistance: other

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the grant award period. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR, the grantee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally records related to grant funds, compliance, and performance must be maintained for a period of 3 years from the date the grantee submits its final expenditure report for the funding period. 34 CFR 80.42.

Account Identification:

91-0300-0-1-501.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$25,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Varies by Competition.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 300; 34 CFR Parts 74, 75, 76, 77, 79, 80, 81, 82, 85, and 86. (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

David Egnor,,
Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., SW,
Washington DC 20202
Email: david.egnor@ed.gov
Phone: (202) 245-7334.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.374 Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund)

(TSL),

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act, as amended (ESEA), Title II, Part B, Subpart 1, Section 2211 et seq.

OBJECTIVES:

To help eligible entities develop, implement, improve, or expand human capital management systems or performance-based compensation systems for teachers, principals, or other school leaders in schools (and especially those in high-need schools) served by the grantees. NOTE: The program was authorized by P.L. 114-95, the ESEA, as reauthorized by the Every Student Succeeds Act. The provisions listed here reflect the requirements in that legislation, and are applicable to awards made with FY 2017 and subsequent year funds.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grantees may use funds for a wide variety of activities designed to develop, implement, improve, or expand human capital management systems or performance-based compensation systems, including (1) developing or improving evaluation and support systems that are based in part on demonstrated improvement in student achievement; (2) conducting outreach to gain information on how to construct evaluation and support systems; (3) providing principals or other school leaders with the tools needed to make effective school-level decisions, including budgetary, scheduling, and staffing decisions; (4) implementing, as part of a performance-based compensation system, a differentiated salary structure for teachers or leaders in high need schools or high-need subjects who raise student academic achievement or take on additional leadership responsibilities; (5) improving LEA processes for recruiting, selecting, placing, supporting, and retaining effective teachers, principals, and other school leaders in high-need schools; and (6) instituting career advancement opportunities that reward effective teachers, principals, or other school leaders in high-need schools and enable them to expand their leadership results. Grantees must use these funds to carry out the activities described in their approved project applications.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Eligible applicants are local educational agencies (LEAs), including charter schools that are LEAs in their State; State educational agencies (SEAs) or other State agencies; the Bureau of Indian Education (BIE); and partnerships of (1) one or more LEAs, State agencies, or the BIE and, (2) and at least one nonprofit or for-profit entity. An LEA may receive a grant, whether individually or as part of a partnership, only twice.

Beneficiary Eligibility:

Education Professional; Student/Trainee; State; Local; School; SEAs, LEAs, the BIE, non-profit and for profit organizations, and students, teachers, principals, and other school leaders in an LEA's high-need schools.

Credentials/Documentation:

2 CFR part 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must prepare and submit applications in accordance with the notice inviting applications (NIA) published in the Federal Register. By the application deadline, eligible applicants must submit to the U.S. Department of Education a complete application that (1) addresses statutorily required information, absolute and competitive priorities (as applicable), and the selection criteria included in the NIA, and (2) includes all required assurances and signatures. Contact the program office for additional information.

Award Procedure:

If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Other. The range of approval/disapproval time is approximately 90 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Other. Applicants may apply for a project period of up to 3 years; the Secretary may choose to renew the grant for up to an additional 2 years if the grantee demonstrates that it is using funds effectively. Continuation awards for each year are subject to the availability of funds and a grantee's satisfactory progress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. Each grantee must provide, from non-Federal sources, an amount equal to 50 percent of the amount of the grant (in cash or in kind). Federal grant funds must be used to supplement, and not supplant, other Federal or State funds that would otherwise be used for authorized program activities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 3 years; the Secretary may choose to renew the grant for up to an additional 2 years if the grantee demonstrates that it is using funds effectively. Continuation awards for each year are subject to the availability of funds and a grantee's satisfactory progress. Method of awarding/releasing assistance: other

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR part 75), annual performance reports (ED Form 524B) are required of all grantees; requirements for any additional reporting will be included in grant award documents. Final performance reports and the final evaluation report must be submitted within 90 days after expiration or termination of the award. Grantees are required to submit Annual Performance Reports. Grantees report progress on established performance measures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each grantee must maintain records that fully disclose the total cost of the activity for which the funds are used, and the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. Under 2 CFR 200.333, a grantee must maintain program records for 3 years from the date it submits to the Department of Education its last expenditure report for the period for which it received the funds. A longer retention period is required under specified conditions.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$200,000,000.00; FY 21 est \$200,000,000.00; FY 22 est \$200,000,000.00;

Range and Average of Financial Assistance:

FY 20 \$678,416 to \$13,634,508 (14 continuation awards); \$1,462,805 to \$9,495,326 (13 new awards); \$3,060,101 to \$7,858,963 (5 renewal awards). FY 21. Estimated range for new awards: \$500,000 to \$8.5 million. Estimated average size of awards: \$4 million. Estimated number of awards: 20 to 25.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Department made 13 new grant awards; information on the awards is available at <https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/effective-educator-development-programs/teacher-and-school->

ASSISTANCE LISTINGS DESCRIPTIONS

leader-incentive-program/awards/. Fiscal Year 2021: The Department announced a competition for new awards on July 9, 2021. See

<https://www.govinfo.gov/content/pkg/FR-2021-07-09/pdf/2021-14714.pdf>.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, applies to this program. (a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The OMB Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. (d) Notice of Final Priority published in the Federal Register on November 27, 2019 (84 FR 65300) (Opportunity Zones NFP). (e) Secretary's Final Administrative Priorities for Discretionary Grant Programs published in the Federal Register on March 9, 2020 (85 FR 13640) (The Administrative Priorities). Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Orman Feres,
Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W.,
Washington DC 20202
Email: orman.feres@ed.gov
Phone: (202) 401-1259
Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/effective-educator-development-programs/teacher-and-school-leader-incentive-program/>

RELATED PROGRAMS:

84.367 Improving Teacher Quality State Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 Information on funded projects is available at <https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/effective-educator-development-programs/teacher-and-school-leader-incentive-program/awards/>

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the program application package and also generally published in the NIA published in the Federal Register. Contact the program office for more information.

84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)

FEDERAL AGENCY:

OFFICE OF FEDERAL STUDENT AID, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Higher Education Act of 1965, as amended, Subpart 9, Title IV, Part A,

OBJECTIVES:

To provide annual grants of up to \$4,000 to eligible undergraduate and graduate students who agree to teach specified high-need subjects at schools serving primarily disadvantaged populations for four years within eight years of graduation. For students who do not fulfill their service requirements, grants convert to Direct Unsubsidized Stafford Loans with interest accrued from the date of award.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Undergraduate and graduate students completing coursework or other requirements necessary to begin a career in teaching. Students must attend an institution of higher education that provides high quality teacher preparation and professional development services, including extensive clinical experience as part of preservice preparation; be financially sound; provide pedagogical coursework, or assistance in the provision of such coursework, including the monitoring of student performance, and formal instruction related to the theory and practice of teaching; and provide supervision and support services to teachers, or assistance in the provision of such services, including mentoring focused on effective teaching skills and strategies.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Undergraduate and graduate students completing coursework or other requirements necessary to begin a career in teaching. Students must attend an institution of higher education that provides high quality teacher preparation and professional development services, including extensive clinical experience as part of preservice preparation; be financially sound; provide pedagogical coursework, or assistance in the provision of such coursework, including the monitoring of student performance, and formal instruction related to the theory and practice of teaching; and provide supervision and support services to teachers, or assistance in the provision of such services, including mentoring focused on effective teaching skills and strategies.

Beneficiary Eligibility:

Individual/Family; Education Professional; Student/Trainee; Graduate Student; Youth (16-21); Education (13+); To be eligible for a TEACH Grant award, students must meet certain academic achievement requirements, scoring above the 75th percentile on one or more portions of a college admissions test or maintaining a cumulative grade point average of at least 3.25. In addition, teachers, retirees from another occupation with expertise in a field in which there is a shortage of teachers, or current or former teachers using a high-quality alternative route to certification are eligible to receive TEACH awards to obtain a graduate degree. A student applying for a grant to obtain a graduate degree must be a teacher or a retiree from another occupation with expertise in a field in which there is a shortage of teachers; grants for graduate education may also be obtained by a student who is or was a teacher who is pursuing high-quality alternative certification. In addition, students must sign an agreement to serve as a full-time teacher for not less than four years within eight years of completing the course of study for which they received a TEACH Grant. Service must be performed at a school serving a primarily disadvantaged population and must be in one of the following fields: mathematics, science, a foreign language, bilingual education, special education, as a reading specialist, or in another field documented as high-need. Students must also agree to provide evidence of qualifying employment upon completion of each year of service. Failure to complete the service requirements results in the student's TEACH Grants being treated as Federal Direct Unsubsidized Stafford Loans, with interest accrued from the date of award. Students must also sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Students must complete a FAFSA and complete an agreement to serve and submit it to the Department of Education.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The

ASSISTANCE LISTINGS DESCRIPTIONS

institution that the student attends disburses the TEACH Grants based on the enrollment status of the student.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 2 to 4 weeks.

Appeals:

Not Applicable.

Renewals:

Other. Students who have applied in the previous award year must continue to meet all eligibility requirements and reaffirm their intention to fulfill the service requirements before obtaining subsequent grants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Students are limited to one TEACH Grant during an academic year. Funds for these grants will likely be disbursed at least twice during an academic year. Method of awarding/releasing assistance: other

Reports:

Institutions will be required to furnish reports periodically on the disbursement of funds, as well as furnish any other reports the Secretary requires. Students must affirm each year that they intend to fulfill their service requirements and submit a certification for each year of required service.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Annual audits will be made.

Records:

All records pertaining to the eligibility of each TEACH Grant recipient and all fiscal management records must be maintained by the institution for a period of three years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0206-0-1-502.

Obligations:

Direct Payments for Specified Use FY 20 \$78,370,000.00; FY 21 est \$79,400,000.00; FY 22 est \$84,849,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0206.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funding will support awards to approximately 25,000 students. Fiscal Year 2022: Funding will support awards to approximately 26,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Federal Student Aid Information Center.,
Federal Student Aid, Department of Education, P.O. Box 84,
Washington DC 20044-0084
Email: studentaidhelp@ed.gov
Phone: (800) 433-3243.

Website Address:

<https://studentaid.ed.gov/sa/>

RELATED PROGRAMS:

84.044 TRIO_Talent Search; 84.042 TRIO_Student Support Services; 84.066 TRIO_Educational Opportunity Centers; 84.007 Federal Supplemental Educational Opportunity Grants; 84.047 TRIO_Upward Bound; 84.033 Federal Work-Study

Program; 84.063 Federal Pell Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.380 Special Education - Special Olympics Education Programs

FEDERAL AGENCY:

OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Special Olympics Sport and Empowerment Act of 2004, Section 3(a), 42 USC Code 15001.

OBJECTIVES:

This program promotes the expansion of Special Olympics and the design and implementation of Special Olympics education programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for: (1) activities to promote the expansion of Special Olympics, including activities to increase the participation of individuals with intellectual disabilities within the United States; and (2) the design and implementation of Special Olympics education programs, including character education and volunteer programs that support the purposes of this Act, that can be integrated into classroom instruction to improve academic and behavioral outcomes.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Special Olympics is the only eligible recipient of funds.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Child (6-15); Youth (16-21); Individuals with and without intellectual disabilities.

Credentials/Documentation:

2 CFR 200, Subpart E Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Special Olympics shall submit an application at such time, in such manner, and containing such information as the Department may require.

Award Procedure:

Applications will be reviewed by the Department.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

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Length and Time Phasing of Assistance:

One 12-month grant is issued each year subject to availability of appropriations.
Method of awarding/releasing assistance: other

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the grant award period. Cash reports are not applicable. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are required.

Records:

The awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained in accordance with the requirements at 2 CFR 200.333.

Account Identification:

91-0300-0-1-501.

Obligations:

Project Grants FY 20 \$20,083,000.00; FY 21 est \$23,683,000.00; FY 22 est \$23,683,000.00;

Range and Average of Financial Assistance:

One award for \$20,083,000 in FY 20 and one award for \$23,683,000 in FY 21.

TAFS Codes:

91-0300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 300; 34 CFR Parts 75, 77, 79, 81, 82, and 86; and 2 CFR Part 200.

Regional or Local Office:

None.

Headquarters Office:

Terry Jackson, Ed.D,
Department of Education, OSERS Office of Special Education Programs 400
Maryland Ave., S.W.,
Washington DC 20202
Email: terry.jackson@ed.gov
Phone: (202) 245-6039.

Website Address:

<http://www2.ed.gov/programs/osepoly/index.html>.

RELATED PROGRAMS:

84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities;

EXAMPLES OF FUNDED PROJECTS:

2021 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.382 Strengthening Minority-Serving Institutions

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title III, Part F, Section 371(b)(2)(c)(ii), 371(b)(2)(D)(iii), and 371(b)(2)(D)(iv); Title VII, Part A, Subpart 4, Section 723 and 724.

OBJECTIVES:

To strengthen Predominantly Black Institutions (PBI); Asian American and Native American Pacific Islander-Serving Institutions (AANAPISI); and Native American-Serving Nontribal Institutions (NASNTI) that propose to carry out activities to improve and expand such institution's capacity to serve low-income and minority students. For Masters Degree Programs at Historically Black Colleges and Universities (Section 723) and Masters Degree Programs at Predominantly Black Institutions (Section 724) to specified eligible institutions to further advance educational opportunities for African-Americans.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 371: Applicants under the PBI program (Section 371(b)(2)(C)) may use funds to carry out programs in science, technology, engineering, or mathematics (STEM); health education; internationalization or globalization; teacher preparation; or improving educational outcomes of African American males. Applicants under the AANAPISI (Section 371(b)(2)(D)(iii)) and NASNTI (Section 371(b)(2)(D)(iv)) programs may use funds to assist such institutions with planning, developing, undertaking, and carrying out activities to improve and expand the institution's capacity to serve Asian American and Native American Pacific Islanders and Native Americans and low-income individuals. More specifically, AANAPISI grantees may use funds to carry out activities described in section 311(c). Grantees may use their funds for the purchase, rental, or lease of scientific or laboratory equipment for educational purposes; renovation and improvement in classrooms, libraries, laboratories, and other instructional facilities; support of faculty exchanges, faculty development, and faculty fellowships to assist in attaining advanced degrees in the field of instruction of the faculty; curriculum development and academic instruction; purchase of library books, periodicals, and other educational materials; funds and administrative management, and acquisition of equipment for use in strengthening funds management; joint use of facilities, such as laboratories and libraries; academic tutoring and counseling programs and student support services. Uses of funds are restricted to the allowable activities described in Section 311(c) of the HEA. NASNTI grantees may use funds for the purchase, rental, or lease of scientific laboratory equipment for educational purposes, including instructional and research purposes; renovation and improvement in classroom, library, laboratory, and other instructional facilities; support of faculty exchanges, faculty development, and faculty fellowships to assist faculty in attaining advanced degrees in the faculty's field of instruction; curriculum development and academic instruction; the purchase of library books, periodicals, microfilm, and other educational materials, funds and administrative management, and acquisition of equipment for use in strengthening funds management; the joint use of facilities such as laboratories and libraries; and academic tutoring and counseling programs and student support services. Uses of funds under each program are restricted to the allowable activities described in Section 371 of the HEA. Section 897: Institutions in each program may use their funds to plan, develop, and implement activities that support: purchase, rental, or lease of scientific or laboratory equipment for educational purposes; construction, maintenance, renovation, and improvement in classroom, library, laboratory, and other instructional facilities; purchase of library books, periodicals, technical and other scientific journals, microfilm, microfiche, and other educational materials; scholarships, fellowships, and other financial assistance for needy graduate students to permit the enrollment of the students in, and completion of, a masters degree in mathematics, engineering, the physical or natural sciences, computer science, information technology, nursing, allied health, or other scientific disciplines in which African Americans are underrepresented; establishment or maintenance of an institutional endowment; funds and administrative management; acquisition of real property that is adjacent to the campus in connection with the construction, renovation, or improvement of, or an addition to, campus facilities; education or financial information designed to improve the financial literacy and economic literacy of students or the students' families; tutoring, counseling, and student service programs; and faculty professional development, faculty exchanges, and faculty participation in professional conferences and meetings.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); At the time of application, PBIs must have an enrollment of undergraduate students that is at least 40 percent African American students. AANAPISI and NASNTI applicants must have, at the time of application, an enrollment of undergraduate students not less than 10 percent Asian American and Native American Pacific Islanders and Native Americans, respectively. Institutions eligible for Masters Degree Programs at HBCUs and PBIs are specified in Title VII Sections 723 and 724 of the HEA. An institution that is eligible for and receives an award under HEAs Title III Historically Black Graduate Institutions (HBGIs) or Title V Promoting Postbaccalaureate Opportunities for Hispanic Americans (Section 512) for a fiscal year is not eligible to apply for a grant or receive grant funding under Section 897Masters Degree Programs for HBCUs and PBIsfor the same fiscal year.

Beneficiary Eligibility:

U.S. Territories; Minority group; Native American Organizations; Education Professional; Student/Trainee; Black; American Indian; Spanish Origin; Local; School; Youth (16-21); Education (13+); Federally Recognized Indian Tribal Governments; The authorized beneficiaries are underrepresented; low-income; first-generation; minority; undergraduate students.

Credentials/Documentation:

Institutions of Higher Education (IHEs) must apply responding to the established selection criteria. Applicants must describe in detail, the need for the project and how the proposed activities will address the goals and objectives of the program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the requirements of the regulations in 34 CFR Part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each applicant must submit an application covering a period not to exceed 24-months to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. A discretionary grant is awarded to institutions of higher education if they score within funding range and contingent upon funds being available.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. An applicant is notified of award status within 30 days of the end of the competition.

Appeals:

Not Applicable.

Renewals:

Other. Awards are generally made around June 30 of each year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants under Section 371 are made for up to 60 months. Grants under Section 897 are made for 72 months. Method of awarding/releasing assistance: other

Reports:

Institutions of higher education administering these grants must submit an annual performance report at the completion of each 12-month performance period. IHEs are also required to submit final reports ninety (90) days after the end of the grant. Staff monitor grants drawdown using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for 3 years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$4,705,000.00; FY 21 est \$4,715,000.00; FY 22 est \$14,715,000.00; Project Grants FY 20 \$9,956,000.00; FY 21 est \$10,956,000.00; FY 22 est \$20,956,000.00; Project Grants FY 20 \$14,115,000.00; FY 21 est \$14,145,000.00; FY 22 est \$43,145,000.00; Project Grants FY 20 \$4,705,000.00; FY 21 est \$4,715,000.00; FY 22 est \$14,715,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of grant programs is governed by Sections 371 and 897 of the HEA and the Education Department General Administrative Regulations.

Regional or Local Office:

Headquarters Office:

Winston Skerrett,
Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, Institutional Service,
Washington DC 20202
Email: winston.skerrett@ed.gov
Phone: (202)453-7605

Website Address:

<http://www2.ed.gov/about/offices/list/ope/ides/index.html>

RELATED PROGRAMS:

84.031 Higher Education_Institutional Aid;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria can be found in EDGAR 34 CFR Part 75.210.

84.403 Consolidated Grant to the Outlying Areas

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Title V, Public Law 95-134, , 48 USC Code 1469a.

OBJECTIVES:

To make an annual consolidated grant to assist an Insular Area in carrying out one or more State-administered formula grant programs of the Department. (i.e., - ESEA, Title I, Part A-Improving Basic Programs Operated by Local Educational Agencies; ESEA Title I, Part B-State Assessment Grants; ESEA, Title II, Part A-Supporting Effective Instruction; ESEA, Title III, Part A-English Language Acquisition, Language Enhancement, and Academic Achievement Grants; ESEA Title IV, Part A- Student Support and Academic Enrichment Grants; ESEA, Title IV, Part B-21st Century Community Learning Centers Grants; Perkins Act, Title I-Career and Technical Education Basic State Grants;- McKinney-Vento Homeless Assistance Act-Title VII-B Grants; ESEA, Title V, Part B, Rural and Low-Income School Program.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

An Insular Area must use the funds it receives under a consolidated grant to carry out, in its jurisdiction, one or more of the programs included in the grant. (See above programs.)

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); Virgin Islands, Guam, American Samoa and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

U.S. Territories; Education Professional; School; Child (6-15); Education (0-8); Education (9-12); Contact Program Office.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An Insular Area applies annually for a grant consolidating two or more of the programs eligible to be consolidated in accordance with the application requirements of EDGAR 76.131-76.132.

Award Procedure:

The Department awards a single consolidated grant annually to each Insular Area that meets the requirements of EDGAR 76.125 through 76.137 and each program under which the grant funds are to be used and administered. The Department may decide that one or more programs cannot be included in the consolidated grant if it is determined that the Insular Area failed to meet the program objectives stated in its plan for the previous fiscal year in which it carried out the programs. The eligibility of a particular Insular Area to receive grant funds under a Federal education program is determined under the statute and regulations for that program (EDGAR 76.130 (a), (b), (d)).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approval will be granted upon determination that the application meets the requirements of EDGAR 76.131 (1) and (2).

Appeals:

Other. The Department shall not disapprove a consolidated grant, before offering the Insular Area an opportunity to revise the application and a hearing. (See 34 CFR 76.202.)

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards generally run for one fiscal year. Method of awarding/releasing assistance: other

Reports:

As required by EDGAR76.132(5), an annual report is required to be submitted to the Secretary containing information covering the program or programs for which the grant is used and administered, including the financial and program performance information as required under 34 CFR 74.51-74.52 and 34 CFR 80.40-80.41.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 in accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

As required by EDGAR 76.132(7), the grantee keeps records, including a copy of the application document under which funds are to be spent, which show how the funds received under the consolidated grant have been spent.

Account Identification:

91-0400-0-1-501. 91-1300-0-1-501. 91-1000-0-1-501. 91-0900-0-1-501.

Obligations:

Formula Grants FY 20 \$94,174,193.00; FY 21 est \$95,602,709.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Estimated FY 2021 awards range from approximately \$16.3 million to \$32.6 million.

TAFS Codes:

91-0400. 91-1300. 91-0900. 91-1000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available. Fiscal Year 2021: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 80, 81,82, and 85

Regional or Local Office:

None.

Headquarters Office:

Tiffany Forrester,
Group Leader, Insular Area Rural, Insular, and Native Achievement Programs,
Office of Elementary and Secondary Education, Department of Education, 400
Maryland Ave., S.W., LBJ Bldg., Room 3E228,
Washington DC 20202

Email: tiffany.forrester@ed.gov

Phone: (202) 205-0940

Fax:

Website Address:

<http://www.ed.gov/about/offices/list/oese/sst/index.html>

RELATED PROGRAMS:

84.402 Consolidated Grants to the Outlying Areas, Recovery Act;

EXAMPLES OF FUNDED PROJECTS:

2020 No Current Data Available. 2021 No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education

FEDERAL AGENCY:

OFFICE OF POSTSECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Higher Education Act of 1965, as amended., Title VII, Part D, Subpart 2,

OBJECTIVES:

Funding under this program supports competitive grants to institutions of higher education (IHEs) (as defined under section 101(a) of the Higher Education Act of 1965, as amended (HEA)), or consortia of IHEs, to create or expand high-quality, inclusive model comprehensive transition and postsecondary programs for students with intellectual disabilities. Under section 767(d)(6) of the HEA all grant recipients must partner with one or more local educational agencies (LEAs) to support students with intellectual disabilities who are still eligible for special education and related services under the Individuals with Disabilities Education Act (IDEA). Funds from this program also support a national Coordinating Center (Coordinating Center), which develops evaluation standards for TPSID grantees and provides technical assistance, information, and opportunities for communication among institutions with postsecondary programs for students with intellectual disabilities. The Center assists in supporting continuous improvement activities for the model transition programs. The Coordinating Center will: (A) provide a research and evaluation protocol for TPSID programs; (B) administer a mentoring program matching current and new TPSID grantees based on areas of expertise; (C) coordinate longitudinal follow-up data collection and technical assistance to TPSID grantees on programmatic components and evidence-based practices; (D) make recommendations related to the development of standards for such programs; (E) provide technical assistance and evaluations for such programs.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

An institution of higher education (IHE) shall use grant funds to establish a model comprehensive transition and postsecondary program for students with intellectual disabilities that 1) serves students with intellectual disabilities, 2) provides individual supports and services for the academic and social inclusion of students with intellectual disabilities in academic courses, extracurricular activities, and other aspects of the IHEs regular postsecondary program, 3) provides a focus on academic enrichment, socialization, independent living skills, including self-advocacy, and integrated work experiences and career skills that lead to gainful employment, 4) integrates person-centered planning in the development of the course of study for each student with an intellectual disability participating in the model program, 5) participates with the coordinating center established under section 777(b) of the Higher Education Act, as amended, in the evaluation of the model program, 6) partners with one or more local education agencies to support students with intellectual disabilities participating in the model program who are still eligible for special education and related services under the Individuals with Disabilities Education Act, 7) plans for the sustainability of the model program after the end of the grant period, and 8) creates and offers a meaningful credential for student with intellectual disabilities upon the completion of the model program. Grantees under this program must provide, from non-Federal funds, a matching contribution equal to at least 25 percent of the cost of the project. The Coordinating Center will provide recommendations related to the development of standards, provide technical assistance and evaluations for model demonstration programs. The center will assist in the development, evaluation, funding, outreach, and continuous improvement of model transition programs. There is no matching requirement for the Coordinating Center.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education (IHEs) (as defined under section 101(a) of the Higher Education Act of 1965, as amended) and consortia of IHEs are eligible to receive grants under the TPSID

program. All grant recipients must partner with one or more local educational agencies to support students with intellectual disabilities who are still eligible for special education and related services under the Individuals with Disabilities Education Act (IDEA). Proprietary schools (for-profit entities) are not eligible to apply for a grant under this program.

Beneficiary Eligibility:

Mentally Disabled; Education (13+); Grant funds establish model comprehensive transition and postsecondary programs for students with intellectual disabilities at institutions of higher education. Funds also support a Coordinating Center that: provides technical assistance for all comprehensive transition and postsecondary programs for students with intellectual disabilities; conducts and disseminates research to the public on strategies to promote academic, social, employment, and independent living outcomes for students with intellectual disabilities; and builds capacity of Kindergarten through Grade 12 transition services and supports of local and State education agencies.

Credentials/Documentation:

Each IHE or consortia of IHEs desiring a grant under this program shall submit an application to the Department at such time, in such manner, and containing or accompanied by such information as the Department may reasonably require. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be prepared and submitted according to requirements outlined in the notice inviting applications, as published in the Federal Register. Each IHE or consortia of IHEs must submit an application covering a 5-year period to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements, and are scored by peer reviewers based on criteria included in the application package. The Department determines which applications will be funded based on the quality of the applications as determined through peer review and consider the following factors: 1) providing for an equitable geographic distribution of grants, 2) providing grant funds for model comprehensive transition and postsecondary programs for students with intellectual disabilities that will serve areas that are underserved by programs of this type, and 3) giving preference to applicants that form a partnership with any relevant agency serving students with intellectual disabilities such as a vocational rehabilitation agency, integrate students with intellectual disabilities into housing offered to nondisabled students, or involve students attending the IHE who are studying special education, general education, vocational rehabilitation, assistive technology, or related fields in the model program. The Department also considers the past performance of an applicant in carrying out a previous award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Application deadlines are identified in the notice inviting applications, published in the Federal Register. The estimated range of time need to approve/disapprove an application is usually within 3 months of the submission deadline.

Appeals:

Not Applicable.

Renewals:

Other. Grants and cooperative agreements are awarded for a period of 5 years, subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Grantees must provide matching funds for model transition programs, in cash or in kind, of at least 25 percent of the cost of the grant. The Coordinating Center is not required to provide matching funds. MOE requirements are not applicable to this assistance listing.

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Length and Time Phasing of Assistance:

Grants are made for up to 5 years, subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

Grantees must submit an annual performance report at such time, in such manner, and containing or accompanied by such information as the Department may reasonably require. Progress report: Report requirements will be included in grant award documents. Expenditure reports: Report requirements will be included in grant award documents. Performance monitoring: Report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for 3 years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit. The administration of the discretionary grant program is governed by the statute and (34 CFR 75, 77, 79, 82, 84, 86, 97, 98, and 99).

Account Identification:

91-0201-0-1-502.

Obligations:

Project Grants FY 20 \$11,800,000.00; FY 21 est \$13,800,000.00; FY 22 est \$15,180,000.00;

Range and Average of Financial Assistance:

Varies by competition.

TAFS Codes:

91-0201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the discretionary grant program is governed by: the statute; the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, 77, 79, 82, 84, 86, 97, 98, and 99); OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and by the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR 200, as adopted in 2 CFR part 3474.

Regional or Local Office:

Headquarters Office:

Shedita Alston,
Department of Education, Office of Postsecondary Education, Transition Programs for Students with Intellectual Disabilities Into Higher Education, 400 Maryland Ave., S.W., 4C144,
Washington DC 20202
Email: shedita.alston@ed.gov
Phone: (202) 453-7090

Website Address:

<http://www2.ed.gov/programs/tpsdi/index.html>

RELATED PROGRAMS:

84.333 Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria can be found in the notice inviting application and the accompanying application package. For questions related to selection criteria, contact the Program Office.

84.408 Postsecondary Education Scholarships for Veteran's Dependents

Iraq and Afghanistan Service Grant (IASG),

FEDERAL AGENCY:

OFFICE OF FEDERAL STUDENT AID, EDUCATION, DEPARTMENT OF AUTHORIZATION:

Executive Order Subpart 10, Title IV, Part A, Subpart 1, Higher Education Act of 1965, Title IV, Part A, Subpart 1,

OBJECTIVES:

To provide eligible veteran's dependent undergraduate postsecondary students with non-need based grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Formula Grants

USES AND USE RESTRICTIONS:

The student must be an eligible veterans dependent whose parent or guardian was a member of the Armed Forces of the United States and died as a result of performing military service in Iraq or Afghanistan after September 11, 2001. At the time of the parent or guardians death, the student was less than 24 years of age or enrolled at an institution of higher education. The student must also be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a professional certification in teaching.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The student must be an eligible veterans dependent whose parent or guardian was a member of the Armed Forces of the United States and died as a result of performing military service in Iraq or Afghanistan after September 11, 2001. At the time of the parent or guardians death, the student was less than 24 years of age or enrolled at an institution of higher education. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma, or a GED. Applicants must apply annually using the Free Application for Federal Student Aid (FAFSA). To be eligible, the applicant must meet all IAS Grant eligibility requirements, and must not have a Federal Pell Grant eligible Expected Family Contribution (EFC).

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Graduate Student; Veteran/Service person/Reservist (including dependents; Education (13+); Eligible veterans dependent undergraduate students, and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. The eligible veterans dependent student

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cannot receive both an Iraq and Afghanistan Service Grant and Federal Pell Grant during the same award year.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Student completes a "Free Application for Federal Student Aid" and submits it to the processing agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's financial eligibility for assistance and returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the IASG. The Secretary shall award grants under this section in the same manner, and with the same terms and conditions, including the length of the period of eligibility, as the Secretary awards Federal Pell Grants, except that the award rules and determination of need applicable to Federal Pell Grants shall not apply to grants under IASG. The amount of the IASG award is the same as the maximum Pell Grant for the award year, adjusted for the students enrollment status and cost of attendance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. An institution may adjust on a case-by-case basis a student's FAFSA data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Other. Eligibility is determined annually.

Formula and Matching Requirements:

Statutory Formula: Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Students are able to receive one IASG award during any award year (July 1 through June 30). Funds for the IASG award are usually disbursed at least twice during an award year. Beginning with the 20172018 award year, students can receive an IASG award funds for up to 150 percent of the students IASG scheduled award for an award year. To be eligible for the additional IASG funds, the student must be otherwise eligible to receive IASG funds for the payment period and must be enrolled at least half-time in the payment period(s) for which the student receives the additional IASG funds in excess of 100 percent of the students IASG scheduled award. Students may only receive an IASG award until they have received a bachelor's degree. See the following for information on how assistance is awarded/released: Electronic transfer. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

IAS Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has been completed, whichever is later.

Records:

Not Data Available.

Account Identification:

91-0248-0-1-502.

Obligations:

Formula Grants FY 20 \$534,000.00; FY 21 est \$608,000.00; FY 22 est \$608,000.00;

Range and Average of Financial Assistance:

For FY 2020, grants ranged from \$589-\$5,983; the average grant was estimated at \$5,505. For FY 2021, grants are expected to range from \$612-\$6,125; the average grant is estimated at \$5,682. For FY 2022, grants are expected to range from \$612-\$6,125, the average grant is estimated at \$5,682.

TAFS Codes:

91-0200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Less than 1,000 recipients are expected. Fiscal Year 2022: Less than 1,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing administration of the IAS Grant Program are expected to be similar to the Pell Grant Program found in 34 CFR 600, 668, and 690. Funding Education Beyond High School; Free Application for Federal Student Aid; "The Federal Student Aid Handbook."

Regional or Local Office:

See Regional Assistance Locations. Federal Student Aid Information Center. Telephone: 1-800-433-3243. Regional Director, Federal Student Aid, the Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center,
Federal Student Aid, Department of Education, P.O.Box 84,
Washington DC 20044-0084
Email: studentaidhelp@ed.gov
Phone: (800) 433-3243

Website Address:

<https://studentaid.ed.gov/sa/>

RELATED PROGRAMS:

84.044 TRIO_Talent Search; 84.042 TRIO_Student Support Services; 84.066 TRIO_Educational Opportunity Centers; 84.007 Federal Supplemental Educational Opportunity Grants; 84.047 TRIO_Upward Bound; 84.033 Federal Work-Study Program; 84.063 Federal Pell Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to postsecondary education students based on the provisions of the Higher Education Opportunity Act (HEOA), Public Law 110-315; Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended.

84.411 Education Innovation and Research (formerly Investing in Innovation (i3) Fund)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION,
DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title IV, Part F, Subpart 1,

OBJECTIVES:

To support the creation, development, implementation, replication, and scaling up

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of evidence-based, field-initiated innovations designed to improve student achievement and attainment for high-need students through a tiered-evidence framework that supports larger awards for projects with the strongest evidence base and supports promising earlier-stage projects that undergo rigorous evaluation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Department will award 3 types of grants: (1) early-phase grants for the development, implementation, and feasibility testing of a program which prior research suggests has promise, in order to determine whether the program can improve student achievement (similar to Development grants under i3); (2) mid-phase grants for implementation and rigorous evaluation of programs that have been successfully implemented under early-phase grants or have met similar criteria for documenting program effectiveness (similar to Validation grants under i3); and (3) expansion and replication of programs that have been found to produce a sizable, important impact under a mid-phase grant or have met similar criteria for documenting program effectiveness (similar to Scale-up grants under i3). All grantees must carry out a rigorous independent evaluation of the effectiveness of their project.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Other public institution/organization; (1) Local educational agencies (LEAs); (2) State educational agencies (SEAs); (3) the Bureau of Indian Education (BIE); (4) consortia of LEAs or SEAs; (5) nonprofit organizations; or (6) SEAs, LEAs, or the BIE in consortia with a nonprofit organization, a business, an educational service agency, or an institution of higher education.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Education Professional; Student/Trainee; Local; School; Education (0-8); Education (9-12); Public nonprofit institution/organization; Other public institution/organization; High-need students in LEAs will benefit.

Credentials/Documentation:

OMB Circulars No. A-110 and A-122 apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Education implements application procedures and criteria to award grants on a competitive basis. Applicants must apply for one of three types of grants: early phase, mid-phase, or expansion grants. Applications are reviewed by panels of peer reviewers.

Award Procedure:

Applications are reviewed by panels of peer reviewers. Points are awarded on the basis of the published selection criteria, are ranked on the basis of the points awarded, and are selected for funding based on the rank order. Applicants must meet the evidence requirement applicable to the type of grant for which the applicant applied. Grantees must provide matching funds equal to 10 percent of their grant award (in cash or in-kind) from Federal, State, local, or private sources, and the Secretary may waive this requirement under certain circumstances. At least 25 percent of the funds appropriated for the program must be used for awards to serve rural areas, contingent on receipt of enough applications of sufficient quality.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 4 months from receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching requirements are applicable to this program. Applicants must secure matching funds as specified in the Notice Inviting Applications published in the Federal Register. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Notice Inviting Applications will specify the length of assistance. In previous years grants were awarded for 3-5 year project periods Method of awarding/releasing assistance: other

Reports:

Specific program report requirements will be included in the grant award documents; grantees must submit annual performance reports (Form ED 524B). Specific cash report requirements will be included in the grant award documents. Specific progress report requirements will be included in the grant award documents. Specific expenditure report requirements will be included in the grant award documents. Specific performance monitoring requirements will be included in the grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 All grantees are subject to the provisions of the Single Audit Act, 31 U.S.C. 75, and OMB circular A-133.

Records:

Each recipient must maintain records that fully disclose the total cost of the activity for which the funds are used, and the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. The recipient must maintain such records for three years after the completion of the activity for which funds are used.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$189,304,763.00; FY 21 est \$184,297,000.00; FY 22 est \$182,360,000.00;

Range and Average of Financial Assistance:

FY 21 Est. Range: \$3,000,000-\$15,000,000.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Jamila Smith,
400 Maryland Ave., SW.,
Washington DC 20202
Email: jamila.smith@ed.gov
Phone: 202-453-6360
Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/innovation-early-learning/education-innovation-and-research-eir/>

RELATED PROGRAMS:

84.396 State Fiscal Stabilization Fund (SFSF) - What Works and Innovation Fund, Recovery Act;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be contained in the program guidance.

84.415 Indian Education National Activities (State Tribal Education Partnership (STEP) and Native American Language (NAL)

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

ESEA, Title VI, Part A, Subpart 3, Section 6132 (STEP), ESEA, Title VI, Part A, Subpart 3, Section 6133 (NAL)

OBJECTIVES:

The objectives of the State Tribal Education Partnership (STEP) program (CFDA 84.415A) are to (a) promote Tribal self-determination in education; (b) improve the academic achievement of Indian children and youth; and (c) promote the coordination and collaboration of Tribal educational agencies (TEAs) with State educational agencies (SEAs) and local educational agencies (LEAs) to meet the unique educational and culturally related academic needs of Indian students. The objectives of the Native American Language (NAL) program (CFDA 84.415B) are to (a) to establish a grant program to support schools that use Native American and Alaska Native languages as the primary language of instruction; (b) to maintain, protect, and promote the rights and freedom of Native Americans and Alaska Natives to use, practice, maintain, and revitalize their languages, as envisioned in the Native American Languages Act (25 U.S.C. 2901 et seq.); and (c) to support the Nations First Peoples efforts to maintain and revitalize their languages and cultures, and to improve educational opportunities and student outcomes within Native American and Alaska Native communities. National Activities funds also support, through contracts, the National Indian Education Study, technical assistance to grantees, peer review of new grant awards, and logistical support activities, including tribal consultations and listening sessions.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

STEP: The Secretary may award grants to enable the eligible applicants to (1) promote tribal self-determination in education; (2) improve the academic achievement of Indian children and youth; and (3) promote the coordination and collaboration of tribal educational agencies with State educational agencies and local educational agencies to meet the unique educational and culturally related academic needs of Indian students. NAL: Funds may be used to develop, maintain, expand, or improve Native American Language programs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State; STEP: Tribal Educational Agencies (TEAs), including a consortium of TEAs. An Indian Tribe that receives funds from the BIE under section 1140 of the Education Amendments of 1978 (25 U.S.C. 2020) is not eligible to receive funds under this program. NAL: The following entities, either alone or in a consortium, that have a plan to develop and maintain, or to improve and expand, programs that support the entity's use of a Native American or Alaska Native language as the primary language of instruction in one or more elementary or secondary schools (or both) are eligible under this program: (a) An Indian Tribe. (b) A Tribal College or University (TCU). (c) A Tribal education agency. (d) An LEA, including a public charter school that is an LEA under State law. (e) A school operated by the Bureau of Indian Education (BIE). (f) An Alaska Native Regional Corporation (as described in section 3(g) of the Alaska Native Claims Settlement Act (43 U.S.C. 1602(g))). (g) A private, Tribal, or Alaska Native nonprofit organization. (h) A non-Tribal for-profit organization.

Beneficiary Eligibility:

Education Professional; American Indian; School; Education (0-8); Education (9-12); TEAs, SEAs, LEAs, Indian students, and teachers will benefit.

Credentials/Documentation:

For the FY 2020 STEP program, to be eligible for an award, an application had to

contain all the application requirements outlined in the Federal Register Notice (85 FR 33141). For the FY 2020 NAL program, to be eligible for an award, an application had to contain all the application requirements outlined in the Federal Register Notice (85 FR 42370, 7/14/2020). The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR 200) apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Potential applicants are strongly encouraged to notify the Department of the applicant's intent to apply for funding by notifying the contact person listed in the Notice Inviting Applications. Knowing the approximate number of applicants helps the Department review the applications more efficiently. Applicants that do not submit a notice of intent to apply may still apply for funding; applicants that do submit a notice of intent to apply are not bound to apply or bound by the information provided.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. By the due date, eligible applicants must submit to the U.S. Department of Education a complete application that (1) addresses statutorily required information, absolute and competitive priorities (as applicable), and the selection criteria, and (2) includes all required assurances and signatures. Contact the program office for additional information. However, federally-recognized Tribes are not subject to intergovernmental review requirements. Applications must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

Applications undergo peer review with the final decision on award approval and funding made by the Secretary. If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The time of approval is expected to be approximately 2 months following the deadline for applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

STEP: Up to 3 years. NAL: Up to 3 years. Method of awarding/releasing assistance: other

Reports:

Each eligible entity receiving a grant under this program annual must submit to the Secretary an annual report of its operations and activities. Each annual report must include: (1) An executive summary; (2) project status; (3) budget information; and (4) supplemental information. Grantees submit annual performance reports.

Grantees submit updated budget information in their annual performance reports; in addition, Department staff monitor grant drawdowns. Grantees report against performance measures that are announced in the Notice Inviting Applications. As required by the Education Department General Administrative Regulations

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(EDGAR) for direct grant programs (34 CFR 75), annual performance reports (ED 524B) are required of all grantees; additional report requirements may be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Specific audit requirements are found in Part 80 of EDGAR.

Records:

As required by EDGAR, 34 CFR 75.730-734. Each recipient must maintain records that fully disclose the total cost of the activity for which the funds are used, and the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. Under 2 CFR 200.333, a grantee must retain such records for 3 years from the date of submission of the final expenditure report.

Account Identification:

91-0101-0-1-501.

Obligations:

Project Grants FY 20 \$1,335,954.00; FY 21 est \$1,417,000.00; FY 22 est \$1,432,000.00; Project Grants FY 20 \$2,335,640.00; FY 21 est \$2,697,000.00; and FY 22 est \$2,885,000.00; Project Grants (Contracts) FY 20 \$3,673,406.00; FY 21 est \$3,751,000.00; and FY 22 est \$3,548,000.00;

Range and Average of Financial Assistance:

The average STEP award in FY 2020 was \$333,989, with a range of \$121,413 to \$494,630 (4 awards). The average NAL award in FY 2020 was \$196,303, with a range of \$63,984 to \$385,632 (12 awards).

TAFS Codes:

91-0101.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Department held two grant competitions, one for STEP and one for NAL. Fiscal Year 2021: The Department released the National Indian Education Study on May 18, 2021. (<https://nces.ed.gov/nationsreportcard/nies/>)

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The OMB Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. Contact the program office for additional information. Note: Part 79 does not apply to federally-recognized Indian tribes.

Regional or Local Office:

None.

Headquarters Office:

Shahla Ortega,
Office of Indian Education, Department of Education, 400 Maryland Avenue, SW,
Washington DC 20202
Email: shahla.ortega@ed.gov
Phone: (202) 453-5602.

Website Address:

<http://www2.ed.gov/about/offices/list/oes/oe/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 The Department made four new STEP awards; information on the grantees is available at <https://oes.ed.gov/offices/office-of-indian-education/state-tribal-education-partnership-step/awards-2/>. In addition, the Department made 11 new NAL awards; information on the grantees is available at <https://oes.ed.gov/offices/office-of-indian-education/native-american-language-program/grants-awarded/>.

CRITERIA FOR SELECTING PROPOSALS:

For STEP, Selection criteria for FY 2020 are from the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210. For NAL, selection criteria for FY 2020 are from the EDGAR and the Notice of Final Priorities: Native American Language Program (Published July 14, 2020), and are published in the Federal Register in the Notice Inviting Applications.

84.417 Directed Grants and Awards

FEDERAL AGENCY:

EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Education for the Deaf Act, Act to Promote the Education of the Blind, 20 USC Code 101. Higher Education Act,

OBJECTIVES:

Objectives of the programs vary based on the directed grant award. Awards are made to specified institutions for purposes specified in the appropriations or authorization bills.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Awards are made to specified institutions for purposes specified in the appropriations or authorization bills.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; The use of the grants varies based on the appropriation and authorization language.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; The National Technical Institute for the Deaf (NTID), American Printing House for the Blind (APHB), Gallaudet University, Howard University, and other institutions and organization will benefit.

Credentials/Documentation:

Credentials and documentation requirements vary and are included in award documents. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Award procedures vary by grant and are included in the award documents.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

Funds are available for one year unless otherwise specified in grant award documents. Method of awarding/releasing assistance: other

Reports:

Reporting requirements are specified in grant award documents. Reporting requirements are specified in grant award documents. Reporting requirements are specified in grant award documents. Reporting requirements are specified in grant award documents.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit requirements are specified in grant award documents.

Records:

Records requirements are specified in grant award documents.

Account Identification:

91-0600-0-1-502. 91-0603-0-1-502. 91-0601-0-1-502. 91-0602-0-1-502.

Obligations:

Direct Payments for Specified Use FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0602. 91-0601. 91-0603. 91-0600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Nancy Martin,
Rm 5W327400 Maryland Ave., SW,
Washington DC 20202
Email: NANCY.MARTIN@ED.GOV
Phone: 202-401-0292

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.421 Disability Innovation Fund (DIF)

FEDERAL AGENCY:

EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Further Consolidated Appropriations Act, 2020, Public Law 116-94, , Public Law -

OBJECTIVES:

To support innovative activities aimed at improving the outcomes of individuals with disabilities as defined by section 7(20)(B) of the Rehabilitation Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Vocational Rehabilitation In FY 2021, funds will be used to fund a multi-site model demonstration project designed to assist State vocational rehabilitation (VR) agencies, in partnership with other entities, to develop career pathways focused on career advancement.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); State; States and public or nonprofit agencies and organizations, including Indian tribes and institutions of higher education may apply.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Individuals with disabilities as defined in 7(20)(B) of the Rehabilitation Act will benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Office of Special Education and Rehabilitative Services must be used for this program. Information about submitting applications may be found in the Notice Inviting Applications. Contact the program office for additional information.

Award Procedure:

The Department will screen applications submitted in accordance with the requirements in the Notice Inviting Applications. Additional tiers of reviews of eligible applications may be used.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Subject to the availability of funds, grants may be awarded for up to 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements will be included in the grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR 200, Subpart F - Audit Requirements, is applicable.

Records:

Grantees must maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant terms and conditions. Generally, records related to grant funds, compliance and performance must be maintained for a period of 3 years after grant completion. (2 CFR 200.333 and 34 CFR 75.730-732).

Account Identification:

91-0301-0-1-506.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$110,109,702.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Estimated Range of Awards: \$3, 500,000-\$18,300,000 for a 60 month period. The Department estimates a wide range of awards given the potentially large differences in the scope of funded projects, including the number of individuals served and the intensity of services provided, and the number of local sites where the proposed model will be implemented.

TAFS Codes:

91-0301.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further Consolidated Appropriations Act, 2020, Public Law 116-94.

Regional or Local Office:

None.

Headquarters Office:

Mary Lovley,
Department of Education, Office of Special Education and Rehabilitation Services,
400 Maryland Ave., S.W.,
Washington DC 20202
Email: mary.lovley@ed.gov
Phone: 2022457423
Fax:

Website Address:

<https://rsa.ed.gov/about/programs>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will be normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210. Contact the program office for additional information.

84.422 American History and Civics Education

American History and Civics Academies: National Activities Grants,

FEDERAL AGENCY:

EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part B, Subpart 3, . 20 USC Code 6713.

OBJECTIVES:

The program supports two types of grants: American History and Civics Academies grants and National Activities grants. American History and Civics Academies grants support the establishment of: (1) Presidential Academies for the Teaching of American History and Civics that offer workshops for both veteran and new teachers to strengthen their knowledge of American history and civics (Presidential Academies); and (2) Congressional Academies for Students of American History and Civics that provide high school students with opportunities to develop a broader and deeper understanding of these subjects (Congressional Academies). National Activities grants promote new and existing evidence-based strategies to encourage innovative American history, civics and government, and geography instruction, learning strategies, and professional development activities and programs for teachers, principals, and other school leaders, particularly for low-income students and underserved populations.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

American History and Civics Academies funds are to be used to provide intensive workshops for teachers and students. Funds must be used to provide stipends to participants and may be used for a range of other activities including providing salaries for project staff including project directors, evaluators, core teachers and

support staff, as well as rental expenses at the academy sites. Funds may not be used for construction. Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice. National Activities funds must be used to develop, implement, expand, evaluate, and disseminate for voluntary use, innovative, evidence-based approaches or professional development programs in American history, civics and government, and geography that: (i) show potential to improve the quality of student achievement in, and teaching of, American history, civics and government, or geography, in elementary schools and secondary schools; and (ii) demonstrate innovation, scalability, accountability, and a focus on underserved populations. Funds may be used for: (i) hands-on civic engagement activities for teachers and students; and (ii) programs that educate students about the history and principles of the Constitution of the United States, including the Bill of Rights. Funds may not be used for construction. Funds are subject to supplement, not supplant provisions. Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education and nonprofit educational organizations, museums, libraries, research centers with demonstrated expertise in historical methodology or the teaching of American history and civics are eligible to apply for Academies grants. Institutions of higher education and other nonprofit and for-profit organizations with demonstrated expertise in the development of evidence-based approaches with the potential to improve the quality of American history, civics and government, or geography learning and teaching are eligible to apply for National Activities grants.

Beneficiary Eligibility:

Education Professional; Student/Trainee; Students and their teachers benefit.

Credentials/Documentation:

OMB Circular No. A21; OMB Circular No. A-122 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awards are competitively selected following review by nonfederal experts in accordance with Subpart D of Part 75 of the Education Department General Administrative Regulations (EDGAR).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Other. As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Projects may be awarded for up to 5 years. Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in the meeting of the goals of the project or obtained approval for changes in the project; the

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recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. A grantee must provide for project activities an amount from non-Federal sources equal to 100 percent of the grant amount. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually. Following an initial, competitively selected award, additional non-competing continuation awards may be made. The possible length of the project is announced in the application notice in the Federal Register.

Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: other

Reports:

Annual expenditure reports are required as part of the submittal of form ED524B. Annual performance reports are required as part of the submittal of form ED 524B. Staff also monitors grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED524B.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

As required by EDGAR for direct grant programs (34 CFR part 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of 5 years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants (Discretionary) FY 20 \$4,815,000.00; FY 21 est \$5,250,000.00; FY 22 est \$5,250,000.00;

Range and Average of Financial Assistance:

American History and Civics Academies: Anticipated range of awards is \$300,000-\$700,000 per year. National Activities: Anticipated range of awards is \$200,000-\$700,000 per year.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99. OMB Circulars A-21, A-87, A-110, A-122, and A-133.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Christine Miller,
Department of Education, Office of Elementary and Secondary Education, 400
Maryland Avenue, SW, LBJ Building, Room 4W205.,
Washington DC 20202-5960

Email: christine.miller@ed.gov

Phone: (202) 260-7350

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/effective-educator-development-programs/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for these programs are contained in Section 75.210 of the Education Department General Administrative Regulations (EDGAR). The specific criteria to be used for particular grant competitions are announced in the application package or application notice in the Federal Register. Contact the agency for more information.

84.423 Supporting Effective Educator Development Program

SEED,

FEDERAL AGENCY:

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION, EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part B, Subpart 4, Section 2242

OBJECTIVES:

The purpose of the SEED program is to (1) Support pathways that allow teachers, principals, or other school leaders with nontraditional preparation and certification to obtain employment in traditionally underserved local educational agencies (LEAs); (2) Provide evidence-based professional development activities that address literacy, numeracy, remedial, or other needs of LEAs and the students they serve; (3) Provide professional development that enhances or enables student opportunities in dual enrollment programs or early college high school settings; (4) Make services and learning opportunities freely available to LEAs, including through publicly accessible electronic means; or (5) Provide teachers, principals, or other school leaders with evidence-based professional enhancement activities, which may include activities that lead to an advanced credential.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grantees may use the funds to recruit and train prospective teachers, principals, or other school leaders. They may also use the funds to provide professional development activities to address the needs of LEAs. Grantees may also provide professional enhancement activities that lead to an advanced credential.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; The only eligible applicants are institutions of higher education and nonprofit entities meeting specific statutory requirements, the Bureau of Indian Education, and partnerships of one or more of those entities and a for-profit entity.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; State; Public nonprofit institution/organization; Educators and prospective educators benefit from this program.

Credentials/Documentation:

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR 200) apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

ASSISTANCE LISTINGS DESCRIPTIONS

required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The regulations in 34 CFR part 79 apply to this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. By the due date, eligible applicants must submit to the U.S. Department of Education a complete application that (1) addresses statutorily required information, absolute and competitive priorities (as applicable), and the selection criteria, and (2) includes all required assurances and signatures. Contact the program office for additional information.

Award Procedure:

If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Applicants may apply for a project period of up to 3 years; the Secretary may choose to renew the grant for up to an additional 2 years if the grantee demonstrates that it is using funds effectively. Continuation awards for each year are subject to the availability of funds and a grantee's satisfactory progress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Grantees must match not less than 25 percent of the total cost for each year of activities, in cash or in kind. The Secretary may waive the matching requirement in cases of demonstrated financial hardship. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 3 years; the Secretary may choose to renew the grant for up to an additional 2 years if the grantee demonstrates that it is using funds effectively. Continuation awards for each year are subject to the availability of funds and a grantee's satisfactory progress. Subject to availability of appropriations. Method of awarding/releasing assistance: other

Reports:

Grantee reporting requirements are included in the grant or cooperative agreement document. Grantees submit annual performance reports. Grantees submit updated budget information in their annual performance reports; in addition, Department staff monitor grant drawdowns. Grantees report against performance measures that are announced in the application packages. As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75), annual performance reports (ED 524B) are required of all grantees; additional report requirements will be included in grant award documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in

Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Each recipient must maintain records that fully disclose the total cost of the activity for which the funds are used, and the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. Under 2 CFR 200.333, a grantee must retain such records for 3 years from the date of submission of the final expenditure report.

Account Identification:

91-0204-0-1-501.

Obligations:

Project Grants FY 20 \$80,000,000.00; FY 21 est \$80,000,000.00; FY 22 est \$80,000,000.00;

Range and Average of Financial Assistance:

The Department held a competition for FY 2020 awards and made 12 awards ranging from \$865,906 to \$5,201,386. The Department did not hold a competition for new awards in FY 2021.

TAFS Codes:

91-0204.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Department held a competition for new awards in FY 2020 and made 12 new awards. In addition, the Department made 4 renewal awards and 15 continuation awards. Fiscal Year 2021: The Department anticipates making 12 continuation awards and 3 or 4 renewal awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 75, 77, 79, 81, 82, 84, 86, 97, 98, and 99. (b) The OMB Office of Management and Budget Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Mia Howerton,
Office of Elementary and Secondary Education, U.S. Department of Education,
400 Maryland Ave., SW.,
Washington DC 20202
Email: mia.howerton@ed.gov
Phone: 202-205-0147
Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-discretionary-grants-support-services/effective-educator-development-programs/supporting-effective-educator-development-grant-program/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 SEED grantees implement a wide range of programs to support teachers, principals, and other school leaders, including recruitment, preparation, hiring processes, induction, support, and on-going leadership development. Additionally, grantees focus on a range of concerns such as the unique needs of rural educators, increasing the use of micro-credentials, personalizing learning for students, and diversifying the workforce. Examples of funded projects include universities that are piloting a professional development system for teachers using web-based intelligent tutoring platforms previously used only with students, the use of virtual reality gaming techniques to train and improve principals' ability to critique classroom instruction in low-risk environments, and creation of a residency pilot program focused on computer science instruction.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the application package and published in the Federal Register notice inviting applications. Contact the program office for more information.

84.424 Student Support and Academic Enrichment Program

SSAE,

FEDERAL AGENCY:

EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended (ESEA), Title IV, Part A, Subpart I, Section

OBJECTIVES:

To improve students academic achievement by increasing the capacity of States, local educational agencies (LEAs), schools and local communities to: (1) provide all students with access to a well-rounded education; (2) improve school conditions for student learning; and (3) improve the use of technology in order to improve the academic achievement and digital literacy for all students.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

A State educational agency (SEA) must reserve at least 95 percent of its SSAE program allocation for subgrants to LEAs and not more than 1 percent of its SSAE program allocation for administrative costs. An SEA may use any remaining funds to support State-level activities and programs designed to meet the purposes of the program, which may include monitoring and providing technical assistance to LEAs. LEAs receiving formula allocations of \$30,000 or more must conduct a comprehensive needs assessment and, based on the results of that assessment, use not less than 20 percent of their allocations for activities to support well-rounded educational opportunities, not less than 20 percent for activities to support safe and healthy students, and a portion to support the effective use of technology. LEAs receiving formula allocations less than \$30,000 must use funds to carry out activities in at least one of these three areas. LEAs may reserve up to 2 percent of their allocations for administrative costs. Each subgrant awarded must be for a term of 1 year and in an amount of not less than \$10,000. LEAs may form consortia and combine subgrant allocations to carry out activities jointly. SEAs and LEAs must use funds to supplement, and not supplant, non-Federal funds that would otherwise be used for authorized activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State educational agencies including for the Outlying Areas and the Secretary of the Interior. LEAs and Indian tribal schools are subgrantees.

Beneficiary Eligibility:

Education Professional; Student/Trainee; State; Local; School; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); Federally Recognized Indian Tribal Governments; LEAs, schools, and their community stakeholders will benefit directly by improving students academic achievement by increasing the capacity to: (1) provide all students with access to a well-rounded education; (2) improve school conditions for student learning; and (3) improve the use of technology in order to improve the academic achievement and digital literacy for all students.

Credentials/Documentation:

OMB Circular No. A-133. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Department of Education makes formula grants through state plan review

process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance available for a period up to 1 year. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular no. a-133 (revised, June 27, 2003; OMB A-133 compliance supplement 2015), "audits of states, local governments, and non-profit organizations," nonfederal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in circular no. a-133. In accordance with the Education Department General Administrative Regulations (EDGAR) in the appendix to 34 CFR, Part 80, requires state and local governments that receive financial assistance of \$750,000 or more within the state's fiscal year have an audit made for that year. State and local governments that receive between \$25,000 and \$750,000 within the state's fiscal year shall have an audit made in accordance with the appendix to Part 80, or in accordance with federal laws and regulations governing the programs in which they participate.

Records:

In accordance with section 443(a) of the General Education Provisions Act, States and LEAs must maintain certain program records for 3 years. The Uniform Guidance (2 CFR 200.333) requires financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient.

Account Identification:

91-1000-0-1-501.

Obligations:

Formula Grants FY 20 \$1,180,817,101.00; FY 21 est \$1,189,622,000.00; FY 22 est \$1,189,622,000.00;

Range and Average of Financial Assistance:

FY 20 range: \$978,446-\$144,762,061; FY 20 average: \$20,699,491. FY 21 est range: \$986,532-\$144,536,990; FY 21 est average: \$20,870,561. FY 22 est range: \$986,532-\$145,536,783; FY 22 est average: \$20,870,561.

TAFS Codes:

91-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

34 CFR 200. For Title IV guidance and other information, contact the program office, listed below.

Regional or Local Office:

None.

Headquarters Office:

Paul Kesner,
Office of Formula Grants, Office of Elementary and Secondary Education,
Department of Education, 400 Maryland Ave, SW.,
Washington DC 20202
Email: paul.kesner@ed.gov
Phone: 202-453-6727
Fax:

Website Address:

<https://oese.ed.gov/offices/office-of-formula-grants/safe-supportive-schools/student-support-and-academic-enrichment-program/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for this information.

84.425 Education Stabilization Fund

FEDERAL AGENCY:

EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Public Law 116-136, the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Title , , Public Law 116-260, Division M, The Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA), 2021, , Public Law 117-2, the American Rescue Plan (ARP) Act of 2021,

OBJECTIVES:

To prevent, prepare for, and respond to the coronavirus COVID-19 disease pandemic.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized and appropriated overall for eight programs: (1) Elementary and Secondary School Emergency Relief Fund; (2) Homeless Children and Youth; (3) Governors Emergency Education Relief Fund; (4) Emergency Assistance to Non-Public Schools; (5) Education Stabilization Fund Discretionary Grants; (6) Outlying Areas; (7) Bureau of Indian Education; and (8) Higher Education Emergency Relief Fund.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals);

Beneficiary Eligibility:

State; Local; State educational agencies, local educational agencies, colleges, universities, and other education-related entities, and their students, faculty, staff, and employees, will benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR part 200,

Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for additional information.

Award Procedure:

For the Elementary and Secondary School Emergency Relief Fund, the Department awards funds by formula to each State educational agency with an approved application, in the same proportion as each State received under Part A of Title I of the ESEA in the most recent year, to prevent, prepare for, and respond to the coronavirus. Each State must allocate at least 90 percent of these funds to local educational agencies in proportion to the amount of funds each LEA received under Part A of title I of the ESEA in the most recent fiscal year. The 50 States, the District of Columbia, and the Commonwealth of Puerto Rico are eligible to apply for these funds. For the Homeless Children and Youth, the Department awards funds by formula to each State educational agency with an approved application, for the purposes of identifying homeless children and youth, providing wraparound services in light of the impact of the COVID-19 pandemic, and providing assistance needed to enable homeless children and youth to attend school and participate fully in school activities, including in-person instruction. For the Governors Emergency Education Relief Fund, the Department awards funds by formula to the Governor of each State with an approved application to prevent, prepare for, and respond to the coronavirus. These grants may be used to provide emergency support to local educational agencies, institutions of higher education, or any other educational entity within the State at the Governors discretion. The 50 States, the District of Columbia, and the Commonwealth of Puerto Rico are eligible to apply for these funds. For the Emergency Assistance to Non-Public Schools, the Department awards grants by formula to each Governor with an approved Certification and Agreement to provide services or assistance to eligible non-public schools to address the impact that COVID-19 has had, and continues to have, on non-public school students and teachers in the State. For the Discretionary Grants, in fiscal year 2020 the Department awarded grants to States with the highest coronavirus burden to prevent, prepare for, and respond to the coronavirus under two competitions: (1) Rethink K-12 Education Models and (2) Reimagining Workforce Preparation. The 50 States, the District of Columbia, and the Commonwealth of Puerto Rico are eligible to apply for these funds. For the Outlying Areas, the Department awards grants to Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands to prevent, prepare for, and respond to the coronavirus. For the Bureau of Indian Education (BIE), the Department transferred funds to the Department of the Interior, for programs operated by the BIE to prevent, prepare for, and respond to the coronavirus. For the Higher Education Emergency Relief Fund, the Department awards funds to eligible institutions of higher education (IHEs) based upon (1) specific formulas or (2) the discretion provided to the Secretary, as provided in statute. Various formulas were used to award funds under: sections 18004(a)(1), (a)(2), and (a)(3) (in part) of The CARES Act; sections 314(a)(1), (a)(2), and (a)(4) of The CRRSAA; and the ARP Act, pursuant to sections 314(a)(1), (a)(2), and (a)(4) of The CRRSAA. Remaining funds under section 18004(a)(3) (in part) of The CARES Act, section 314(a)(3) of The CRRSAA, and the ARP Act, pursuant to section 314(a)(3) were distributed to IHEs that the Secretary determined, through competitive processes, had the greatest unmet needs related to coronavirus.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies by program.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements, see funding for further details..

Length and Time Phasing of Assistance:

Timing and length of assistance varies. Method of awarding/releasing assistance: lump sum.

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

Program reports as specified in grant documents. Cash reports as specified in grant documents. Expenditure reports as specified in grant documents. Performance report as specified in grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

In accordance with Section 443 of the General Education Provisions Act, as well as 34 CFR 75.730-732, 34 CFR 76.730-731, and 2 CFR 200.333, grantees must maintain records for 3 years.

Account Identification:

18-0251-0-1-501.

Obligations:

Formula Grants FY 20 \$572,718,623.00; FY 21 est (\$1,282,218,848.00); FY 22 est \$0.00; Project Grants FY 20 \$112,510,305.00; FY 21 est \$113,485,680.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

91-0251.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are 2 CFR part 200, 2 CFR 3474, 2 CFR 3485, 34 CFR 299, and the Education Department General Administrative Regulations in 34 CFR parts 75, 76, 77, 79, 81, 82, 84, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Meredith Miller,
400 Maryland Ave., SW, Rm. 3W311,
Washington DC 20202
Email: meredith.miller@ed.gov
Phone: (202) 401-8368
Fax:

Website Address:

<https://www.ed.gov/coronavirus>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.426 Randolph-Sheppard Financial Relief and Restoration Payments

FEDERAL AGENCY:

EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Title Consolidated Appropriations Act of 2021, Division H, Title 111, Section 318, and Randolph -Sheppard Act (20 U.S.C. 107f)

OBJECTIVES:

To provide financial relief and restoration payments to offset losses to blind vendors that occurred as a result of the global pandemic during calendar year 2020, if those losses were not otherwise compensated, and to support State Business Enterprise Programs by allowing any remaining funds to be used for the purposes set forth in 34 C.F.R. 395.9.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Congress made \$20,000,000 available to the Secretary to make one-time financial relief and restoration grants to State licensing agencies (SLAs) consistent with the purposes of the Randolph-Sheppard Act (Act), 20 U.S.C 107 and as authorized under section 10 of such Act (20 U.S.C. 107f). SLAs must use these grants: to make financial relief and restoration payments to offset losses of blind vendors that occurred during calendar year 2020, if those losses were not otherwise compensated; and use any remaining funds for the set aside purposes authorized by 34 C.F.R. 395.9.

Applicant Eligibility:

State; Pursuant to the statute, each State Licensing Agency will receive an amount based on a formula that is in proportion to the number of blind vendors operating a vending facility in such State as compared to the number of blind vendors operating a vending facility in all the States on September 30, 2019.

Beneficiary Eligibility:

Industrialist/ Business person; Individual/Family;

Credentials/Documentation:

State licensing agencies must submit to the Rehabilitation Services Administration, for review and approval, a statement of assurances and anticipated use of the funds. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. State licensing agencies must submit to the Rehabilitation Services Administration, for review and approval, a statement of assurances and anticipated use of these funds to receive Financial Relief and Restoration Payments.

Award Procedure:

Awards will be made by the Office of Special Education and Rehabilitative Services. State licensing agencies will receive a grant award notice and funds may be withdrawn under the Electronic Transfer System.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Fund availability ends September 30, 2022. Method of awarding/releasing assistance: other

Reports:

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The audit requirements under Subpart F of the Uniform Guidance are applicable.

Records:

Grantees will be required to maintain records in accordance with the Uniform Guidance requirements (2 CFR Part 200).

Account Identification:

91-0301-0-1-506.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$20,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Estimated grant amounts range from \$22,396 to \$1,366,181.

TAFS Codes:

01-0301.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Consolidated Appropriations Act of 2021, Division H, Title III, Section 318; and Randolph-Sheppard Act (20 U.S.C. 107f).

Regional or Local Office:

None.

Headquarters Office:

Corinne Weidenthal,
Office of Special Education and Rehabilitative Services U.S. Department of
Education 550 12th Street, SW,
Washington DC 20202-2800
Email: corinne.weidenthal@ed.gov
Phone: 202-245-6529
Fax:

Website Address:

<https://rsa.ed.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.938 Disaster Recovery Assistance for Education

FEDERAL AGENCY:

EDUCATION, DEPARTMENT OF

AUTHORIZATION:

Bipartisan Budget Act of 2018, Public Law 115-123, Additional Supplemental Appropriations for Disaster Relief Act, 2019, Public Law 116-20., , Public Law

OBJECTIVES:

To assist in meeting the educational needs of individuals affected by a covered disaster or emergency (as defined by the applicable Disaster Recovery statute, which prescribes a list of Presidentially declared major disasters or emergencies that provide eligibility for assistance under any particular Disaster Recovery

appropriation).

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized and appropriated for six programs: (1) Immediate Aid to Restart School Operations; (2) Temporary Emergency Impact Aid for Displaced Students; (3) Assistance for Serving Homeless Children and Youth; (4) Emergency Assistance to IHEs; (5) Defraying Costs of Enrolling Students Displaced in Higher Education; (6) Project School Emergency Response to Violence.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; State educational agencies (SEAs) and institutions of higher education (IHEs) in areas affected by a covered disaster or emergency, or which enroll students displaced from an area affected by a covered disaster or emergency. Local educational agencies (LEAs) and public and non-public schools in affected areas are subgrantees.

Beneficiary Eligibility:

State; School; State educational agencies, local educational agencies, postsecondary institutions and students in areas affected by a covered disaster or emergency will benefit.

Credentials/Documentation:

2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact the program office for additional information.

Award Procedure:

Under the Immediate Aid to Restart School Operations program, the Department awards funds to eligible SEAs. These SEAs, in turn, provide assistance or services to LEAs, including charter schools, and non-public schools to help defray expenses related to the restart of operations in, the reopening of, and the re-enrollment of students in elementary and secondary schools that serve an area affected by a covered disaster or emergency. Under the Temporary Emergency Impact Aid for Displaced Students program, the Department awards Emergency Impact Aid funding to SEAs, which, in turn, provide assistance to LEAs for the cost of educating students enrolled in public schools, including charter schools, and non-public schools, who were displaced by a covered disaster or emergency. The amounts awarded under each are based on demand and specific data received from eligible applicants. Under Assistance for Homeless Children and Youth, the Department awards grants to SEAs for LEAs to address the needs of homeless students displaced by a covered disaster or emergency. The size of these grants is based on numbers reported by States of (1) displaced homeless students and (2) demonstrated need. SEAs award subgrants to LEAs on the basis of demonstrated need. LEAs must use the funds awarded under this program to support activities that are allowable under the McKinney-Vento Homeless Assistance Act. Under Emergency Assistance to Institutions of Higher Education the Department provides emergency assistance to IHEs and their students in areas directly affected by a covered disaster or emergency, for activities authorized under the Higher Education Act of 1965. Under the Defraying Costs of Enrolling Displaced Students in Higher Education program, the Department provides payments to IHEs to help defray the unexpected expenses associated with enrolling displaced students from IHEs directly affected by a covered disaster or emergency, in accordance with criteria established and made publicly available. Under the Project School Emergency Response to Violence (SERV) program, the Department awards grants on a case-by-case basis for short- and long-term education-related services for LEAs and IHEs to help them recover from a covered disaster or emergency that has disrupted the learning environment. Amounts for all of the above programs are also based on available funds under the applicable appropriation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

ASSISTANCE LISTINGS DESCRIPTIONS

deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Timing and length of assistance varies based on date of appropriations, applicant needs, and program requirements. Method of awarding/releasing assistance: other

Reports:

Program reports as specified in grant documents. Cash reports as specified in grant documents. Expenditure reports as specified in grant documents. Performance report as specified in grant documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See above.

Records:

In accordance with Section 443 of the General Education Provisions Act, as well as 75.730-732 and 2 CFR 200.333, grantees must maintain records for 3 years.

Account Identification:

18-0013-0-1-501.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

91-0013.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are 2 CFR 200, 2 CFR 3474, 2 CFR 3485, 34 CFR 299, and the Education Department General Education Regulations in 34 CFR 75, 76, 77, 79, 81, 82, 84, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Meredith Miller,
400 Maryland Ave., SW, Rm. 3W311,
Washington DC 20202

Email: meredith.miller@ed.gov

Phone: (202) 401-8368

Fax:

Website Address:

<https://www.ed.gov/disasterrelief>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

MILLENNIUM CHALLENGE CORPORATION

85.002 MCC Foreign Assistance for Overseas Programs

FEDERAL AGENCY:

MILLENNIUM CHALLENGE CORPORATION

AUTHORIZATION:

Millennium Challenge Act of 2003, 22 U.S.C. 7701 et seq.,

OBJECTIVES:

To provide United States assistance for global development through the Millennium Challenge Corporation; to provide such assistance in a manner that promotes economic growth and the elimination of extreme poverty and strengthens good governance, economic freedom, and investments in people.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental organizations and educational institutions, to carry out activities in some of the worlds poorest countries, but only those committed to good governance; economic freedom, and investments in their citizens. MCC provides these well-performing countries with large-scale grants to fund country-led solutions for reducing poverty through sustainable economic growth. MCC grants complement other U.S. and international development programs.

Applicant Eligibility:

Government - General; Federal; Public nonprofit institution/organization (includes institutions of higher education and hospitals); State; Anyone/general public; Compacts are large, 5-year grants for countries that pass MCCs eligibility criteria. Threshold programs are smaller grants awarded to countries that come close to passing these criteria and are firmly committed to improving their policy performance.

Beneficiary Eligibility:

Minority group; Sponsored organization; Beneficiaries are foreign governments, foreign public or private institutions or organizations, or foreign individuals who are eligible for MCC Compact or Threshold programs

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Competitive

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

5 years Method of awarding/releasing assistance: other

Reports:

No reports are required.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Government shall have access to and the right to examine records of any party to an Agreement or any entity that participates in the performance of an Agreement that directly pertain to and involve transactions relating to, the Agreement for a period of three (3) years after final payment is made. This requirement shall not apply to sub grantee/recipients and this paragraph only applies to any record that is created or maintained in the ordinary course of business or pursuant to any provision of law. The records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. The terms of this paragraph shall be included in all sub-agreements to the Agreement

Records:

see audits

Account Identification:

95-2750-0-1-151.

Obligations:

Project Grants FY 09 \$7,400,000.00; FY 10 Not Available; and FY 11 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:**PROGRAM ACCOMPLISHMENTS:**

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:**Headquarters Office:**

Deputy Director Contracts Division James R. Blades,,
Millennium Challenge Corporation, Contracts and Grants Management Division,
875 15th Street, NW,
Washington DC 20005
Email: bladesjr@mcc.gov
Phone: (202) 521-3600.

Website Address:

<http://www.mcc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FUND

85.200 Barry M. Goldwater Scholarship Program

Barry Goldwater Scholarship Foundation,

FEDERAL AGENCY:

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FUND

AUTHORIZATION:

NATIONAL DEFENSE AUTHORIZATION ACT FOR FISCAL YEAR 1987, PL 99-661, Title XIV, , USC Code 4701-4711.

OBJECTIVES:

To honor former Senator Barry Goldwater through the operation of an education scholarship program, financed by a permanent trust fund endowment, designed to encourage outstanding students to pursue research careers in mathematics, the natural sciences, and engineering.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Assistance is intended solely for the use of scholars.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Undergraduate sophomore and junior level students, at two and four year colleges and universities accredited by the Department of Education, must be nominated by the institution's Goldwater Campus Representative.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Student/Trainee; Scientist/Researchers; Engineer/Architect; U.S. Citizen; Education (13+); U.S. citizens or nationals, resident aliens (must provide additional documentation), college sophomores and juniors will benefit.

Credentials/Documentation:

Applicants must be a sophomore or junior enrolled in a degree program at an accredited U.S. college, pursuing a Bachelor's degree as a full-time student during the forthcoming academic year, maintaining a grade point average of 3.0 on a 4.0 scale, be a U.S. citizen, national or resident alien (resident aliens must include a photocopy of the Alien Registration Card) and have selected a field of study that will permit admission to a graduate or professional program in preparation for a research career in mathematics, the natural sciences, or engineering. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Campus Representative of an institution is the key person in the Goldwater selection process and serves as liaison between their institution and the Foundation. The Campus Representative is responsible for publicizing the Goldwater Scholarship on campus, soliciting recommendations on outstanding students with proven capability and research potential in the fields of mathematics, science, and engineering from members of the faculty, and conducting a competition on campus to determine the best qualified nominees from the institution. The Campus Representative assists the nominees in the preparation of the nomination package and ensures that they are accurate, complete, and forwarded to the Goldwater Scholarship Review Panel by the stated deadline for the competition. The following information must be included on the official nomination materials with each Scholarship nomination: (1) An institution nomination form signed by the Campus Representative stating that the nominee meets the qualifications for the Scholarship. (2) Biographical data and educational background information regarding past and future academic plans and career goals must be submitted. (3) An essay by the nominee discussing a significant issue or problem in his or her field of study of interest must be submitted. (4) Three independent letters of evaluation from faculty members or others qualified to assess the nominee's performance and potential for a career in the nominee's chosen field of study must be submitted. (5) All transcripts from colleges attended must be submitted.

Award Procedure:

Each nominee will be evaluated by an independent review panel of academicians and college administrators from colleges and universities throughout the United States. In awarding Scholarships, the Foundation Board of Trustees will consider the nominee's field of study and career objectives and the extent to which that individual has the commitment and potential to make a significant contribution to their chosen field of study. It is expected that students selected as Scholars will pursue advanced degrees. Final selection of candidates is recommended by the independent review panel. The Board of Trustees will name 250-500 Goldwater Scholars annually.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. In February of each year scholarship nominees are evaluated by Independent Review Panel and ranked by relative merit. In March of each year the Review

ASSISTANCE LISTINGS DESCRIPTIONS

Panel's results are presented to Board of Trustees. On last Friday of March of each year, Goldwater Board of Trustees announces the winners of the Goldwater Scholarships for the forthcoming academic year.

Appeals:

Not Applicable.

Renewals:

Other. It is the intent of the Foundation to support sophomore Scholars for a maximum of 2 academic years (4 semesters) and junior Scholars for a maximum of 1 academic year (2 semesters). Renewal for sophomore awards will be in accordance with the regulations established by the Foundation Board of Trustees and will be subject to an annual review by an authorized official of the institution. The Scholar must be a full-time student and taking a course of study, training, or other educational activities to prepare for a research career in mathematics, the natural sciences, or engineering. The Scholar must not be engaged in gainful employment that interferes with the Scholar's studies. The Scholar must be in good academic standing. The Scholar may seek postponement of their award because of ill health or other mitigating circumstances, upon application to the President.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

To be eligible to receive payments, a Goldwater Scholar must submit in writing:

(1) An acceptance of the Scholarship award, (2) a direct deposit form for electronic payment and (3) at the beginning of each academic year one payment request form outlining the costs of tuition, fees, books, room and board, and any other Scholarships the student is receiving for that academic year. This form must be certified by a financial aid official and an academic official certifying that the student is in good academic standing. Payments via treasury EFT will be made to the Scholar's account in the amount for which the Scholar qualifies on the payment request, not to exceed \$7,500 per academic year. Method of awarding/releasing assistance: lump sum.

Reports:

Each Goldwater Scholar is required to send a report each June for four years following graduation outlining progress toward their career goals. They must also provide a current address when changes occur.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not required.

Account Identification:

95-8281-0-7-502.

Obligations:

Direct Payments for Specified Use FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

\$0-\$7,500

TAFS Codes:

95-8281.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 2600.

Regional or Local Office:

None.

Headquarters Office:

Executive Administrator Anne Marie Kanakkanatt,
2121 Eisenhower Avenue, Suite 402,
Alexandria VA 22314

Email: goldwaterao@goldwaterscholarship.gov

Phone: 703 756 6012

Fax: 703 756 6015

Website Address:

https://goldwater.scholarsapply.org

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

85.400 Morris K. Udall Scholarship Program

Udall Undergraduate Scholarship,

FEDERAL AGENCY:

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

AUTHORIZATION:

Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992, , Statue 106-78, Public Law 102-259, 20 USC Code 5601-5609.

OBJECTIVES:

To honor former Arizona Congressman Morris K. Udall and Secretary of Interior Stewart L. Udall through the operation of internship, scholarship, and fellowship programs, financed by a permanent trust fund endowment, to develop increased opportunities for young Americans to prepare for and pursue careers related to the environment and to American Indians and Alaska Natives who intend to pursue careers in health care and tribal public policy. Assistance is intended for the use of scholars only.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Assistance is intended for the use of scholars only. Scholars must be a sophomore or junior-level student at the time of the award, enrolled full-time at a two-year or four-year accredited institution of higher education in the United States, pursuing a bachelor's or associate's degree.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Applicants must be: (1) College sophomores or juniors in the current academic year having outstanding potential and intending to pursue careers in the environment; or (2) American Indian and Alaska Native students who are college sophomores or juniors in the current academic year and have outstanding potential and intend to pursue careers in health care or tribal public policy. Students must be nominated by accredited colleges or universities recognized by the Department of Education.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Student/Trainee; American Indian; U.S. Citizen; Education (13+); To be eligible, students must meet all of the following criteria: Be committed to a career related to the environment, OR committed to a career in tribal public policy OR Native American health care. Be a sophomore or junior-level student, enrolled full-time at a two-year or four-year accredited institution of higher education in the United States, pursuing a bachelor's or associate's degree. "Sophomore" is defined as a student who has completed at least one year of full-time undergraduate study and intends at least two more years of full-time undergraduate study at the time of application. "Junior" is defined as a student who intends at least one more year of full-time undergraduate study at the time of application. Meet the following requirements: Have a college grade-point average of at least a "B" or the equivalent. Be pursuing full-time study during academic year following the award.

ASSISTANCE LISTINGS DESCRIPTIONS

Be a U.S. citizen, U.S. national, or U.S. permanent resident.

Credentials/Documentation:

Applicants must be nominated by their university via an online application. Current transcripts and transfer transcripts are required. Applicants must also submit three letters of recommendation. American Indians and Alaska Native students in tribal public policy or health care must submit copies of relevant enrollment forms or descent documentation (for more information, see our Frequently Asked Questions). Members of the First Nations of Canada must submit proof of U.S. permanent residency. U.S. permanent residents must submit a copy of their permanent resident ("green") card and a letter of intent to declare U.S. citizenship (First Nations are excepted). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Each September the chief executive officers of accredited U.S. colleges and universities are invited to appoint a Udall Scholarship faculty representative to manage the selection and nomination of up to six students for the upcoming year's Udall Scholarships. The faculty representative of an institution is the key person in the Udall Scholarship selection process and serves as liaison between his/her institution and the Foundation. The faculty representative is responsible for publicizing the Udall Scholarships on campus, soliciting recommendations of students with significant commitment to the environment and outstanding Native American and Alaska Native students that demonstrate a commitment to pursue a career related to health care or tribal public policy from members of the faculty; establishing the process to select the best qualified candidates to be nominated; helping nominees prepare for the competition; and ensuring that the institutions nominations are complete and are forwarded to the Udall Scholarship Review Committee by the stated deadline.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To access the online application, the faculty representative must register applicants as potential nominees. All applicants must use the online application; the Foundation will not accept paper applications. The application consists of: An 11-question application form that affirms the nominee's wish to be considered for the scholarship; provides biographical information about the nominee's background, interests and plans, and provides a statement about the nominee's intent to enter an eligible career and specifies how the student's educational plans will provide preparation for that career; An 800-word essay on a speech, legislative act, book, or public policy statement by either Morris K. Udall or Stewart L. Udall and its impact on the applicant's interests and goals; A current official college transcript and transcripts for other colleges attended; Three letters of recommendation.

Award Procedure:

The Udall Foundation will select individuals from the academic and professional community to serve as the Udall Review Committee. Udall Foundation staff will verify the applications for completeness and eligibility. The Review Committee meets at a designated location for one weekend to review and select 50 scholars and 50 honorable mention recipients. Students will be evaluated on the basis of: (a) Outstanding leadership capabilities and public service, (b) academic excellence, (c) the appropriateness of student's plan of study to prepare for a career in the environment, health care, or tribal public policy, and (d) dedication to the values exemplified by Morris Udall and Stewart Udall during their careers, i.e., civility, integrity, and consensus. The Review Committee has sole discretion in the selection of the 50 scholars.

Deadlines:

March 05, 2015.

Range of Approval/Disapproval Time:

From 15 to 30 days. Early March of each year for receipt of nominations; March of each year for selection of scholars by Udall Review Committee; May of each year for announcement of Udall scholars.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Sophomore recipients of Udall Scholarships may apply for another

scholarship the following year by going through the application process described above.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

A Udall scholar may submit funding requests during the following academic year, from approximately May following selection, to the following June. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

95-0900-0-1-502.

Obligations:

Direct Payments for Specified Use FY 13 \$253,691.00; FY 14 est \$250,000.00; and FY 15 est \$250,000.00;

Range and Average of Financial Assistance:

\$2,000 to \$5,000; average \$4800.

TAFS Codes:

95-8615.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Program Manager Paula Randler,
130 S. Scott Avenue,
Tucson AZ 85701
Email: randler@udall.gov
Phone: 520-901-8564
Fax: 520-901-8570

Website Address:

<http://www.udall.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

85.402 Morris K. Udall Native American Congressional Internship Program

Udall Internship Program,

FEDERAL AGENCY:

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

AUTHORIZATION:

Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992, , Statue 106-78, Public Law 102-259, 20 USC Code 5601-5609.

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

To honor former Arizona Congressman Morris K. Udall through the operation of internship, scholarship and fellowship programs, financed by a permanent trust fund endowment, to develop increased opportunities for young Americans to prepare for and pursue careers related to the environment and to American Indians and Alaska Natives who intend to pursue careers in health care and tribal public policy.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Assistance is intended for the use of interns only and can only be used in connection with the internship program. As part of the program, the Udall Foundation provides: Placement in a Congressional office, committee or federal agency in Washington, D.C.; Meetings with distinguished members of Congress, directors and staff of federal agencies, and Native American professionals; A one-day nation-building seminar with the Native Nations Institute; Apartment-style living with on-site coordinator; Roundtrip airfare to and from Washington, D.C., and a daily allowance for meals, transportation and incidentals; A \$1,200 educational stipend upon completion of the internship. To receive the assistance, an intern must agree to complete the entire ten-week, full-time internship in the assigned office in Washington, D.C.; reside in housing provided by the Foundation for the duration of the internship; and participate in all scheduled program meetings and activities.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Applicants must be American Indian or Alaska Native; be a junior or senior in college, a recent graduate from a tribal or four-year college, or a graduate or law student; have a college grade-point average of at least a "B" or the equivalent; and be a U.S. citizen or U.S. permanent resident. Applicants should also demonstrate commitment to tribal public policy or tribal communities.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Student/Trainee; Graduate Student; American Indian; U.S. Citizen; A successful applicant will demonstrate: Interest in learning how the federal government "really works;" Commitment to his or her tribal community; Knowledge of Morris Udall and Stewart Udall's legacy with regard to American Indians; Awareness of issues and challenges currently facing Indian Country; Strong research and writing skills; Organizational abilities and time management skills; Maturity, responsibility, and flexibility.

Credentials/Documentation:

Applicants must submit current transcripts and transcripts for transfer credits. Graduate and law students must submit undergraduate transcripts. Applicants must also submit three letters of recommendation. One letter should be from a leader in the applicant's tribal community. Applicants must submit copies of relevant tribal enrollment forms or certificate of degree of Indian blood. Applicants who are members of the First Nations of Canada must submit proof of U.S. permanent residency. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must mail a completed Internship Application Form that provides biographical background about the applicant's background, interests, goals, and plans, and specifies how the internship program will help the applicant in his/her career; an 800 word essay that summarizes a legislative act or policy statement of Congressman Morris K. Udall or Secretary of Interior Stewart L. Udall that relates to the applicant's interest in an area of tribal public policy, analyzes its impact or potential impact and relates the issues it addresses specifically to the applicant's educational and career goals; provide three references, one from a leader in his/her tribe, one from a faculty member in the applicant's field of study, and another individual that can attest to the applicant's potential; and a resume.

Award Procedure:

The Udall Foundation will select American Indian individuals from the academic and professional community to serve as the Internship Review Committee. Udall

Foundation staff will verify the applications for completeness and eligibility. The Review Committee meets at a designated location to review and select 16 finalists. Applicants will be evaluated on the basis of: 1) Interest in and commitment to learning about the federal government; 2) Demonstrated commitment to tribal communities and/or tribal public policy, through contributions to or participation in one or more of the following: campus activities, community or public service, tribal government and cultural activities, research; 3) Desire to use the knowledge gained to support their tribal community and/or Indian Country; 4) Demonstrated leadership, character and integrity. Following the selection of finalists, the Review Committee will conduct skype interviews with the finalists to determine the final 12 interns.

Deadlines:

January 31, 2015.

Range of Approval/Disapproval Time:

From 15 to 30 days. Late January of each year for receipt of applications; February of each year for selection of interns by Internship Review Committee; and April of each year for announcement of Udall interns.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available during the internship program, from late May to early August. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

95-0900-0-1-502.

Obligations:

Direct Payments for Specified Use FY 13 \$128,606.00; FY 14 est \$116,000.00; and FY 15 est \$120,000.00;

Range and Average of Financial Assistance:

Each intern receives approximately \$9500 in housing, airfare, per diem and the educational stipend.

TAFS Codes:

95-8615.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Program Manager Destiny Khalil,
130 S. Scott Avenue,
Tucson AZ 85701
Email: khalil@udall.gov
Phone: 520-901-8500
Fax: 520-901-8570

Website Address:

<http://www.udall.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

INTER-AMERICAN FOUNDATION

85.750 IAF Assistance for Overseas Programs

FEDERAL AGENCY:

Inter-American Foundation

AUTHORIZATION:

Foreign Assistance Act of 1961, , Statue 75-424, Public Law 87-195, 22 USC Code 2151-2431.

OBJECTIVES:

The primary objectives of the IAF Assistance for Programs Overseas in Latin America and the Caribbean program is to: (1) Strengthen the bonds of friendship and understanding among the peoples in the Western Hemisphere.(2) Support self-help efforts designed to enlarge the opportunities for individual development.(3) Stimulate and assist effective and ever wider participation of the people in the development process.(4) Encourage the establishment and growth of democratic institutions, private and governmental, appropriate to the requirements of the individual sovereign nations of the Western Hemisphere.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements or Contracts), Project Grants (Special)

USES AND USE RESTRICTIONS:

Assistance can be used to fund the self-help efforts of grassroots groups in Latin America and the Caribbean to improve living conditions of the disadvantaged and the excluded, enhance their capacity for decision-making and self-governance, and develop partnerships with the public sector, business and civil society.

Applicant Eligibility:

Non-Government - General; See "Uses and Use Restrictions" above.

Beneficiary Eligibility:

Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Private nonprofit institution/organization; Other private institution/organization; Graduate Student; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Major Metropolis (over 250,000); Other Urban; Rural; Education (0-8); Education (9-12); Education (13+); See "Uses and Use Restrictions" above.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. IAF accepts applications on a rolling basis throughout the year. All applications must include a cover sheet, narrative, and budget explaining the proposal in detail. IAF's complete application procedure, including application, can be found at: <http://www.iaf.gov/apply-for-grants/call-for-proposals>

Award Procedure:

The basic procedural steps for awarding assistance includes an initial review of all grant applications yielding a small number of projects to be analyzed further through site visits. After the initial review, all grantees still under consideration receive a site visit, after which the IAF makes a determination on whether to award funding.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. Additional funds may be awarded, as a amendment, upon successful completion of grant objectives.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The IAF requires each grantee to contribute in-kind resources and financials. There is no fixed rate of matching required for application or award and each grantee is evaluated on a case-by-case basis.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One to five years. Method of awarding/releasing assistance: other

Reports:

Grantees are required to report out the following every six months:(1) Activities carried out during the reporting period compared to activities scheduled in accordance with the agreement.(2) Any problems in meeting the project objectives described in the grant agreement and how project activities, planned or actual, were modified as a result of these problems.(3) Any other relevant information related to the administration and implementation of the project (e.g. changes in the external work environment, changes internal to the organization). See program reports above. Grantees are required to report out on a semi-annual basis the amount expended and matching funds raised or applied during the time period reported. The IAF requires grantees to submit performance metrics on a biannual basis.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Federal laws and regulations do not mandate financial audits on foreign nonprofit organizations expending less than \$750,000 of federal funding per the organizations fiscal year. The IAF is, however, responsible for ensuring financial accountability of its grant expenditures. It is therefore the policy of the IAF to require compliance audits on all grant agreements with an approved funding amount greater than \$50,000. Accordingly, for all grants \$50,000 and above, the IAF conducts at least one mandatory Orientation/Assessment Meeting, one Mandatory Compliance Audit and it exercises its discretion to determine whether to conduct additional audits based on the relevant facts and circumstances of each individual Grant.

Records:

IAF follows OMB Circular A-110 requirements regarding retention and access to records.

Account Identification:

95-3100-0-1-151.

Obligations:

Project Grants FY 20 \$29,961,239.00; FY 21 est \$30,000,000.00; FY 22 est \$30,000,000.00; Project Grants (Special) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The range of financial assistance is up to \$400,000 per grant award.

TAFS Codes:

95-3100. 95-3100. 95-8243. 95-3100. 95-3100. 95-3100. 95-3100. 95-3100. 95-3100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Senior Budget Officer Chris Wood,
1331 Pennsylvania Ave, NW, Suite 1200N,
Washington DC 20004

ASSISTANCE LISTINGS DESCRIPTIONS

Email: cwood@iaf.gov

Phone: 202.803.6098

Website Address:

<http://www.iaf.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The IAF specifically looks for Latin American or Caribbean applicants that offer or demonstrate:(1) Innovative solutions to development problems.(2) Creative use of the community's resources.(3) Diverse array of community voices in project development and execution.(4) Substantial beneficiary engagement in: (a) The identification of the problem addressed, (b) The approach chosen to solve it, (c) The design of the project (d) Management and evaluation of activities;(5) Partnerships with local government, the business community and other civil society organizations.(6) Potential for strengthening all participating organizations and their partnerships(7) Feasibility.(8) Evidence of sustainability.(9) Counterpart contributions from the proponent, the beneficiaries and other sources.(10) The potential to generate learning.(11) Measurable results.(12) Evidence of beneficiaries' enhanced capacity for self-governance.

VIETNAM EDUCATION FOUNDATION

85.801 U.S. Faculty Scholar Grants

FEDERAL AGENCY:

VIETNAM EDUCATION FOUNDATION

AUTHORIZATION:

Vietnam Education Foundation Act of 2000, Title 2, , Public Law 106-554

OBJECTIVES:

The goal of the program is to promote international exchange and cooperation between the United States and Vietnam, through teaching grants that permit accomplished U.S. professors to teach one or more classes in the STEM fields at one or more host Vietnamese universities.

TYPES OF ASSISTANCE:

Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

Faculty Scholar grants are issued to outstanding United States professors to teach one or more courses in the Science, Technology, Engineering, Mathematics, and Medicine (STEMM) fields in a Vietnamese university. The assistance is intended to fund the costs required to travel to Vietnam, fund the materials required to teach the course, and compensate the professor for his/her time, among other allowable expenses described in the grant letter issued to accepted Faculty Scholars.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Grants are solely intended for U.S. professors for use in teaching in the STEM fields in Vietnam.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Education (13+); Grants are solely intended for U.S. professors for use in teaching in the STEM fields in Vietnam.

Credentials/Documentation:

Applicants must be an Associate or Full Professor at an accredited U.S. educational institution and must submit an application using the Vietnam Education Foundation's Online Management System. They must submit a C.V., a course description, and a Supporting Letter from their U.S. institution, and a Commitment Letter from each host Vietnamese university. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O.

12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are available on the VEF website at: www.vef.gov.

Award Procedure:

Applications are screened to ensure that they meet the minimum eligibility requirements. Eligible applications then undergo a technical review by peers. Finalists are interviewed by phone to verify their preparation to teach in Vietnam.

Deadlines:

September 20, 2013 - January 30, 2014. Online application submission deadline

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Available from September 1 to August 31, 2015 Method of awarding/releasing assistance: lump sum.

Reports:

VEF requires a final report that describes the outcomes of the teaching programs, including feedback from the host universities and students in Vietnam. The staff in Hanoi provides an orientation and visits the grant recipient's class at least once.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Grant recipients should retain all financial records relating to any grant expenditures for a period of 7 years following the completion of the grant.

Account Identification:

51-9009-5-5-365.

Obligations:

Project Grants (Fellowships) FY 12 Not Available; FY 13 Not Available; and FY 14 Not Available;

Range and Average of Financial Assistance:

In 2014, 4 grants of up to \$40,000 may be awarded.

TAFS Codes:

95-5365.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Program Officer Margaret Petrochenkov,
Vietnam Education Foundation 2111 Wilson Boulevard, Suite 700,
Arlington VA 22201

Email: margaretpetrochenkov@vef.gov

Phone: 703-351-5053

Fax: 703-351-1423

Website Address:

<http://www.vef.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1)Academic qualifications to teach the proposed course(s)(2)Prior experience in international education(3)Quality of the proposed teaching plan, including:a.Appropriate selection of course(s) and teaching location(s)b.Clear plan of teaching and trainingc.Effective use of resources(4)Likelihood of capacity building at the Vietnamese university (5)Likelihood of sustainable outcomes, such as improved teaching methods and ongoing partnerships(6)Commitment of support from the Vietnamese and U.S. institutions

85.802 Fellowship Program

VEF Fellowship Program,

FEDERAL AGENCY:

VIETNAM EDUCATION FOUNDATION

AUTHORIZATION:

Vietnam Education Foundation Act of 2000, Title 2, , Public Law 106-554

OBJECTIVES:

The goal of the program is to promote international exchange and cooperation between the United States and Vietnam, through educational exchange. VEF provides funding for fellowships to Vietnamese nationals to pursue Master's and doctoral degrees in the STEM fields at U.S. universities.

TYPES OF ASSISTANCE:

Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

A grant of \$27,000 is provided to the U.S. educational institution during each of the first two years of study toward a Master's or doctoral degree. The U.S. graduate institution is responsible for providing any additional funds needed to cover all required tuition, fees, books, insurance, and a monthly living stipend to the student. Fellows also receives a \$1,000 Professional Development Grant that may be used for their professional development, and may cover attendance at professional conferences, books, editing of papers for publishing, and other expenses that directly relate to the program of study.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Grants are solely intended for Vietnamese students who enroll in Master's and doctoral degree programs in STEM fields at an accredited U.S. university.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Student/Trainee; Graduate Student; Asian; Other Non-White; Grants are solely intended for Vietnamese Masters and doctoral students for use in their STEM-based study at a U.S. university.

Credentials/Documentation:

Applicants must be Vietnamese nationals, who are capable of being admitted to a Master's or doctoral program at a U.S. university for a program in the STEM fields. Furthermore, applicants must complete an on-line application in the VEF Online Management System, which will be reviewed by agency staff and by professors selected to assist with interviews to be held in Vietnam. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants must achieve a minimum score of 7 out of 10 in the Vietnamese grading system and must provide transcripts from all higher education institutions attended. Furthermore, all applicants must take the GRE and receive at least 500 on the Paper-Based Test (PBT) (either the International or Institutional exam is acceptable), which is equivalent to 173 on the Computer-Based Test (CBT) and 61 on the Internet-Based Test (iBT). IELTS score may also substitute for the TOEFL.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are available on the VEF website at: www.vef.gov

Award Procedure:

Applications are screened to ensure that they meet the minimum eligibility requirements. Eligible applications then undergo a technical review and finalists are interviewed in person in Vietnam by selected U.S. professors to assess their ability to succeed in graduate study at a U.S. university.

Deadlines:

December 10, 2013 - April 10, 2014. Online application submission deadline

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Fellows must make progress in their graduate studies demonstrating by semester reports and submitted transcripts and must adhere to the regulations imposed by a J-1 visa.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance for the 2015 cohort of VEF Fellows will be paid to universities at the beginning of the first two years of the Fellow's program. Professional Development Grants will be provided for the duration of the Fellow's program. The monies provided are available until expended, but any unused funds must be returned to VEF upon the completion of the Fellow's course of study. Method of awarding/releasing assistance: other

Reports:

Students must submit transcripts at the end of each semester to demonstrate that they have made progress in their programs. The Fellow is expected to maintain documentation and report purchases made using the \$1,000 Professional Development Grant funds. Universities are required to produce documentation detailing the manner in which the \$27,000 grants were utilized upon request of VEF staff.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

51-9009-5-5-365.

Obligations:

Project Grants (Fellowships) FY 12 \$28,000.00; FY 13 est \$28,000.00; and FY 14 est \$28,000.00;

Range and Average of Financial Assistance:

In FY14, approximately 40 grants of up to \$28,000 may be awarded.

TAFS Codes:

95-5365.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Program Officer Margaret Petrochenkov,
2111 Wilson Blvd, Suite 700,
Arlington VA 22201
Email: margaretpetrochenkov@vef.gov
Phone: 703-351-5053
Fax: 703-351-1423

Website Address:

<http://www.vef.gov>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1.Superior academic achievement, demonstrated through GPA, awards, theses, and publications
2.GRE scores and TOEFL/IELTS Scores that are promising for graduate admission (after the review, applicants will still have time to meet the minimum scores required for admission to their chosen universities)
3.Excellence of the Academic Statement
4.Excellence of the Personal Statement
5.Demonstrated communication skills in English sufficient to allow the applicant to succeed at a U.S. graduate institution
6.Demonstrated leadership and potential to contribute to science and technology in Vietnam and potential to contribute to better relations between Vietnam and the United States

85.803 Visiting Scholar Grants

VEF Visiting Scholar Grants.

FEDERAL AGENCY:

VIETNAM EDUCATION FOUNDATION

AUTHORIZATION:

Vietnam Education Foundation Act of 2000, , Public Law 106-554

OBJECTIVES:

The goal of the program is to promote international exchange and cooperation between the United States and Vietnam, through educational exchanges. VEF Visiting Scholar grants cover the living expenses and professional development related expenses of postdoctoral students pursuing projects/courses of study in the STEM fields at U.S. universities.

TYPES OF ASSISTANCE:

Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

Visiting Scholar grants are issued to outstanding Vietnamese scholars to pursue research or another career development project in the Science, Technology, Engineering, Mathematics, and Medicine (STEMM) fields at a U.S. university. The assistance is intended to cover the living expenses and professional development related expenses (allowable expenses are described in detail in the VEF Professional Development Grant policy) of the Visiting Scholar.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Grants cover living expenses and expenses related to the professional development of the Visiting Scholar while they are pursuing their approved project/course of study at a U.S. university.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Student/Trainee; Asian; Education (13+); Grants cover living expenses and expenses related to the professional development of the Visiting Scholar while they are pursuing their approved project/course of study at a U.S. university. Scholars must be Vietnamese citizens and must have completed a doctoral degree.

Credentials/Documentation:

Applicants must submit an application and supporting documentation which illustrates their capability to succeed in a postdoctoral project/course of study at a U.S. university. They must provide a letter of support from their host university in the United States, as well as from their home university in Vietnam. A transcript and/or diploma from their doctoral institution is required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are available on the VEF website at: www.vef.gov.

Award Procedure:

Applications are screened to ensure that they meet the minimum eligibility requirements. Eligible applications then undergo a technical review and finalists are interviewed in person in Vietnam by selected U.S. professors to assess their ability to succeed in graduate study at a U.S. university.

Deadlines:

January 21, 2014 - April 10, 2014. Online application submission deadline

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance is available for 5-12 months to cover living expenses and the costs of the project. The money awarded must be spent within the time period specified in the grant letter, and unused funds must be returned to VEF at the conclusion of the grant period. Method of awarding/releasing assistance: lump sum.

Reports:

The Visiting Scholar is expected to maintain documentation and report purchases made using the \$300 per month Professional Development Grant funds.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

51-9009-5-5-365.

Obligations:

Project Grants (Fellowships) FY 12 Not Available; FY 13 Not Available; and FY 14 Not Available;

Range and Average of Financial Assistance:

Financial assistance is limited to \$2,300 per month as a stipend and up to \$300 per month for professional development for no less than 5 months and for no more than 12 months. Funds may be spent as specified in the VEF Professional Development Grant policy. Funding may be provided for a project/course of study from 5 to 12 months. In FY 2015, up to 3 Visiting Scholar grants may be funded.

TAFS Codes:

95-5365.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Program Officer Margaret Petrochenkov,
2111 Wilson Blvd, Suite 700,
Arlington VA 22201
Email: margaretpetrochenkov@vef.gov
Phone: 703-351-5053
Fax: 703-351-1423

Website Address:

<http://www.vef.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

1.Evidence of superior academic achievement as a scholar in Vietnam.2.Quality, value, and fit of the professional development plan.3.Demonstrated commitment to contribute to the educational and scientific development of Vietnam.4.Supportiveness of the U.S. host institution and the applicants home institution in Vietnam.

PENSION BENEFIT GUARANTY CORPORATION

86.001 Pension Plan Termination Insurance

(ERISA).

FEDERAL AGENCY:

PENSION BENEFIT GUARANTY CORPORATION

AUTHORIZATION:

Employee Retirement Income Security Act of 1974 (ERISA), Title IV (Plan Termination Insurance), Public Law 93-406, as amended; Public Law 96-364; Multi-employer Pension Plan Amendments Act of 1980; Public Law 99-272; Single-Employer Pension Plan Amendments Act of 1986; Pension Protection Act of 1987, Public Law 100- 203; Omnibus Budget Reconciliation Act of 1989, Public Law 101-239; Retirement Protection Act of 1994, Public Law 103-465; Job Creation and Worker Assistance Act of 2002; Pension Funding Equity Act of 2004.,

OBJECTIVES:

To encourage the continuation and maintenance of voluntary private pension plans for the benefit of their participants, to provide for the timely and uninterrupted payment of pension benefits to participants and beneficiaries in plans covered by the PBGC, and to maintain premiums charged by the PBGC at the lowest level consistent with carrying out its obligations.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

Insurance coverage is mandatory for most private, defined benefit pension plans. A single-employer plan may terminate in a standard termination only if it has sufficient assets to provide all benefits, and in a voluntary distress termination only if the employer sponsoring the plan can satisfy specified distress criteria. Upon a voluntary distress termination or a termination initiated by the PBGC, the PBGC guarantees payment of nonforfeitable benefits under the terms of the plan within limits specified in the law. The guaranteed basic benefits payable to a participant or beneficiary under a covered single-employer plan may not exceed the actuarial value at the time of termination of a monthly benefit in the form of a life annuity commencing at age 65 equal to the lesser of: (1) A \$750 adjusted annually to reflect changes in the Social Security contribution and benefit base since 1974, or (2) a participant's high consecutive five-year average monthly gross income. When covered plans or amendments to them are less than 5 years old at termination, benefits are guaranteed on a graduated basis. Benefits of persons defined as "substantial owners" are guaranteed on a 30-year graduated basis, subject to certain additional limits in the case of increased benefits due to plan amendment. For multi-employer plans there is a program of reorganization for plans with funding problems. The PBGC is required to extend financial assistance to insolvent multi-employer plans in order that they may be able to meet plan obligations for guaranteed benefits. Insurance coverage is mandatory for any plan that is an employee pension plan benefit established or maintained by: (1) An employer engaged in or affecting commerce; (2) an employee organization engaged in or affecting commerce; or (3) both, if certain requirements relating to the Internal Revenue Code are met. However, insurance coverage does not extend to any plan that is: (1) an individual account plan; (2) established and maintained for Federal, State or local government employees; (3) a church plan, unless the plan has made an election under 26 U.S.C. 410(d) and has notified PBGC of such election; (4) a plan that has not provided for employer contributions after September 2, 1974; (5) unfunded and maintained by an employer primarily to provide deferred compensation for a select group of management or highly compensated employees; (6) established and maintained outside of the U.S. primarily for the benefit of non-resident aliens; (7) maintained by an employer solely for the purpose of providing

benefits for certain employees in excess of the limitations on contributions and benefits imposed by the Internal Revenue Code; (8) established and maintained exclusively for substantial owners; (9) that of an international organization that is exempt from taxation; (10) maintained solely for the purpose of complying with applicable workmen's compensation laws or unemployment compensation or disability insurance laws; or (11) established and maintained by a professional service employer that has not at any time since September 2, 1974, had more than 25 active participants.

Applicant Eligibility:

Small business (less than 500 employees); Profit organization; Other private institutions/organizations; Private businesses and organizations that maintain defined benefit plans and participants (and beneficiaries) in such plans.

Beneficiary Eligibility:

Individual/Family; Senior Citizen (60+); Pension Recipient; All participants (and their beneficiaries) in covered single-employer pension plans may be potentially eligible for plan termination insurance payments. All covered multi-employer plans may be eligible for financial assistance needed to ensure payment of guaranteed benefits.

Credentials/Documentation:

In support of a termination notice, information relating to plan asset sufficiency must be furnished by the plan administrator of a single-employer plan. Information relating to financial operations of the employer must also be furnished for a distress termination. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As soon as practicable after complying with certain statutory notice requirements, a plan administrator who intends to terminate a covered single-employer plan must submit to the PBGC either a standard termination notice or a distress termination notice depending on the type of termination sought. Applications relating to a standard or distress termination should be sent to PBGC, Processing and Technical Assistance Branch, 1200 K Street, N.W., Suite 930, Washington, DC 20005-4026. Applications for multi-employer plan matters should be made in accordance with applicable PBGC regulations and other published guidelines.

Award Procedure:

The PBGC determines whether the assets of a covered single-employer plan that has notified the PBGC of its intent to terminate in a distress termination, or against which the PBGC has initiated termination proceedings, are sufficient to pay guaranteed benefits. If plan assets are found to be insufficient or the PBGC is unable to determine asset sufficiency, the PBGC will normally have itself named as trustee to administer the plan. The PBGC pays guaranteed benefits. The PBGC also pays other benefits funded by plan assets or recoveries from employers.

Deadlines:

An annual premium must be paid for each covered plan generally no later than 9 1/2 months into the plan year, e.g., annual premiums are due October 15th for calendar-year plans (Form 1 or Form 1-EZ). Plans with 500 or more participants (for the prior year) generally must also file an estimated premium with the PBGC (for the current year) by the last day of the second full calendar month following the close of the prior plan year (Form 1-ES). Premiums must be paid through the plan year in which all plan benefits are distributed in a standard termination or a trustee is appointed under ERISA Section 4042. For further information or copies of forms contact: PBGC, Collection and Compliance Division, Suite 670, 1200 K Street, NW., Washington, DC 20005-4026, Telephone (202) 326-4042 or 1-800-736-2444. Standard Termination: Notice of Intent to Terminate (NOIT). The plan administrator of a single-employer plan must issue a notice of intent to terminate the plan, by hand, mail, or electronic means reasonably calculated to ensure actual receipt, to each individual plan participant, beneficiary of a deceased participant, and union representing plan participants (but not to the PBGC) at least 60 days and no more than 90 days in advance of the proposed termination date. PBGC Notice: No later than 180 days after the proposed termination date the administrator must file with the PBGC a notice including, among other items, an enrolled actuary's certification that plan assets will be sufficient to provide all benefit liabilities as of the date of final distribution, with the underlying total asset and benefit values

ASSISTANCE LISTINGS DESCRIPTIONS

(Form 500). Notice of Plan Benefits: No later than the date the PBGC Notice is filed, the plan administrator must have provided information to each plan participant and beneficiary in plain language about his or her benefit, including the amount of that person's benefit, the form of benefit valued, and the factors (such as age, length of service, and actuarial assumptions) used in calculating the benefit. The plan administrator must also notify each plan participant and beneficiary of the identity of the insurer or insurers from whom the plan administrator intends to purchase annuities no later than 45 days before the date of distribution. Asset Distribution: The PBGC has 60 days after receipt of the PBGC Notice to determine whether the plan termination complies with the requirements for a standard termination. If the PBGC does not issue a Notice of Noncompliance and plan assets are sufficient to provide all benefit liabilities, the plan administrator may distribute the plan assets. The distribution must generally be completed within 180 days after the expiration of the 60-day review period and be done in accordance with plan provisions and PBGC regulations. If the plan administrator requests an IRS determination letter on or before the date of filing Form 500 with PBGC, the asset distribution deadline is extended to 120 days after the plan receives a favorable IRS determination letter. Within 30 days after final distribution of plan assets, the plan administrator must file with the PBGC a notice certifying that assets have been distributed as required (Form 501). However, PBGC will assess a penalty for a late filing only if it is filed more than 90 days after assets have been distributed. A terminating plan that is unable to locate an individual after a diligent search must either purchase an annuity for the individual and send annuity provider information to the PBGC or send the PBGC funds to pay for the individual's benefit (Schedule MP). Distress Termination Notice Requirements: The notice requirements for a distress termination differ from those for a standard termination in several ways: the 60-day to 90-day advance NOT must be provided to the PBGC as well as to plan participants and other affected parties; the PBGC Notice (Form 601) must include information to demonstrate that each contributions sponsor and each member of the sponsor's controlled group meet at least one of the distress tests (liquidation, reorganization, business continuation, or unreasonable pension costs due to a declining workforce) as of the proposed termination date. A plan administrator must provide Notice of Plan Benefits to plan participants and beneficiaries in a distress termination only if the plan has sufficient assets to provide at least guaranteed benefits.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. Any person aggrieved by an initial determination made by the PBGC which is covered by the appeals procedures may file an appeal. An appeal or a request for an extension of time to appeal should be submitted to the Appeals Board, Pension Benefit Guaranty Corporation, 1200 K Street, Suite 480, NW, Washington, DC 20005-4026. In addition, the PBGC has the authority to review informally, upon request, determinations that are not covered by the appeals procedures when it determines that it would be appropriate to do so.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records to support or validate premium payments must be kept for 6 years from the premium due date. In addition, records maintenance requirements for pension plans are specified by the Pension and Welfare Benefits Administration, Department of Labor.

Account Identification:

16-4204-0-3-601.

Obligations:

FY 07 est \$502,032,704.00; FY 08 est \$205,032,704.00; and FY 09 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 29 CFR, Chapter 40; PBGC Form 1-ES Package; PBGC Form 1 Package (includes Form 1-EZ); PBGC Form 500 Package; Schedule MP Package; PBGC Form 600 Package; PBGC Form 200; PBGC Form 10; PBGC Form 10-Advance; PBGC's Home Page on the World Wide Web at <http://www.pbgc.gov>.

Regional or Local Office:

None. Individuals may contact PBGC through any Department of Labor, Pension Welfare Benefits Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Pension Benefit Guaranty Corporation, 1200 K Street, N.W., Washington, DC 20005-4026. Telephone: (202) 326-4000.

Website Address:

<http://www.pbgc.gov>.

RELATED PROGRAMS:

17.150 Employee Benefits Security Administration;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

CONSUMER PRODUCT SAFETY COMMISSION

87.002 Virginia Graeme Baker Pool and Spa Safety

FEDERAL AGENCY:

CONSUMER PRODUCT SAFETY COMMISSION

AUTHORIZATION:

Virginia Graeme Baker Pool and Safety Act, Title XIV, , Public Law 110-140, 15 USC Code 8004-8005.

OBJECTIVES:

To reduce the number of drowning and drain entrapment-related injuries and deaths of children associated with pools and spas by providing assistance for prevention education and safety standard enforcement.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Award funds must be for the following activities to implement enforcement and education programs to prevent the drowning and drain entrapments of children in pools and spas: 1) To hire and train enforcement personnel for implementation and enforcement of standards under the state or local swimming pool and spa safety law. At least 50 percent of funding must be used for this purpose. 2) To educate: a. pool construction and installation companies and pool service companies about the standards; and b. pool owners, pool operators, and other members of the public about the standards under the swimming pool and spa safety law and about the prevention of drowning or entrapment of children using swimming pools and spas. 3) Defray administrative costs associated with such training and education programs.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals;

ASSISTANCE LISTINGS DESCRIPTIONS

U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Local governments, including a county, municipality, city, town, township, local public authority, school district, special district, intrastate district, council of governments (whether or not incorporated as a non-profit corporation under State law), any other regional or interstate government entity, or any agency or instrumentality of a local government. To be eligible for an award under this program, an applicant shall demonstrate that it has enacted a State statute or local ordinance or code, or has amended an existing State statute or local ordinance or code, that meets the requirements specified in title XIV Pool and Spa Safety Bill (15 U.S. C. 8001), Sections 1405 and 1406 of the Virginia Graeme Baker Pool and Spa Safety Act. Grant awards will be determined in accordance with CFR 2, Parts 255 (OMB Circular A-87), and OMB Circular No. A-102.

Beneficiary Eligibility:

U.S. Territories; State; Local; State, local, U.S. Territories/Possessions, Consumers **Credentials/Documentation:**

To be eligible for an award under this program, an applicant shall demonstrate that it has enacted a State statute or local ordinance or code, or has amended an existing State statute or local ordinance or code, that meets the requirements specified in title XIV Pool and Spa Safety Bill (15 U.S. C. 8001), Sections 1405 and 1406 of the Virginia Graeme Baker Pool and Spa Safety Act. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Taking into consideration the objective review committee, the CPSC program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the applicable funding opportunity announcement(s) issued for additional information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant funds will be awarded for 1 year budget/project periods. Refer to the relevant funding opportunity announcement for additional information on how assistance is awarded/ released Method of awarding/releasing assistance: other

Reports:

Refer to the applicable funding opportunity announcement(s) issued for additional information. Refer to the applicable funding opportunity announcement(s) issued for additional information. Refer to the applicable funding opportunity announcement(s) issued for additional information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the end of

a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

61-0100-0-1-554.

Obligations:

Project Grants FY 15 est \$1,000,000.00; FY 16 est \$781,536.00; and FY 17 Not Available;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

61-0100.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the Provisions of OMB Circular A-87 (2 CFR Part 225), OMB Circular A-102 (2 CFR Part 215), OMB Circular A-110, and OMB Circular A-133, as applicable.

Regional or Local Office:

Headquarters Office:

Grants Management Specialist Ann Piesen,
4330 East West Hwy,
Bethesda MD 20814
Email: apiesen@cpsc.gov
Phone: (301) 504-7261

Website Address:

<http://www.cpsc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

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GULF COAST ECOSYSTEM RESTORATION COUNCIL

87.051 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program

RESTORE Council-Selected Restoration Component,

FEDERAL AGENCY:

GULF COAST ECOSYSTEM RESTORATION COUNCIL

AUTHORIZATION:

Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (RESTORE) Act of 2012, Sec. 1603(2); 33 U.S.C. 1321(t)(2), Title , Section 1603, Statue 126-588, Public Law 112-112-141, 33 USC Code 1321(t)(2).

OBJECTIVES:

The primary objective of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science. Projects and programs must achieve one or more of the seven objectives listed in the Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan and Update 2016: (1) Restore, Enhance and Protect Habitats, (2) Restore, Improve, and Protect Water Resources, (3) Protect and Restore Living Coastal and Marine Resources, (4) Restore and Enhance Natural Processes and Shorelines, (5) Promote Community Resilience, (6) Promote Natural Resource Stewardship and Environmental Education, and (7) Improve Science-Based Decision-Making Processes.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The primary objective of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science. All projects, programs, and activities must be eligible activities pursuant to clauses (i) and (ii) of 33 U.S.C. 1321(t)(1)(B) and must be listed on the Council's approved Funded Priorities List. Proposals must identify which Comprehensive Plan goals the proposal will address. A proposal sponsor must select a single primary goal. Multiple secondary goals are encouraged to be identified. The Comprehensive Plan goals are as follows. 1. Restore and Conserve Habitat Restore and conserve the health, diversity and resilience of key coastal, estuarine and marine habitats. 2. Restore Water Quality and Quantity Restore and protect the water quality and quantity of the Gulf Coast regions fresh, estuarine and marine waters. 3. Replenish and Protect Living Coastal and Marine Resources Restore and protect healthy, diverse and sustainable living coastal and marine resources. 4. Enhance Community Resilience Build upon and sustain communities with capacity to adapt to short- and long-term changes. 5. Restore and Revitalize the Gulf Economy Enhance the sustainability and resiliency of the Gulf economy. Proposals also must clearly identify which Comprehensive Plan objectives the proposal will address. A proposal sponsor must select a single primary objective. Multiple secondary objectives are encouraged to be identified. The Comprehensive Plan objectives are as follows: 1. Restore, Enhance, and Protect Habitats; 2. Restore, Improve, and Protect Water Resources, 3. Protect and Restore Living Coastal and Marine Resources, 4. Restore and Enhance Natural Processes and Shorelines, 5. Promote Community Resilience, 6. Promote Natural Resource Stewardship and Environmental Education, and 7. Improve Science-Based Decision-Making Processes. Additional information may be found in the Initial Comprehensive Plan and Update 2016. Projects must be listed in an approved Funded Priorities List Addendum to the Comprehensive Plan in order to be eligible for funding.

Applicant Eligibility:

Federal; State; The Council will periodically request proposals from its eleven state and federal members. The Council members are the only entities eligible to submit proposals. The RESTORE Council does not award Council-Selected Restoration Component grants directly to other entities or individuals. Under the RESTORE Act, Council members are the Governors of Alabama, Florida, Louisiana, Mississippi, and Texas, the Administrator of the U.S. Environmental Protection Agency, and the Secretaries of the U.S. Departments of the Interior, Commerce, Agriculture, Homeland Security, and Army. When selecting projects and programs to be prioritized for funding under the Comprehensive Plan, the Council assigns primary authority and responsibility for overseeing and implementing projects and programs to a Gulf Coast state or federal agency represented on the Council. Council members may select subrecipients to carryout approved projects or programs. Interested third parties may contact the Council members listed above to learn more about how the eligible entities select proposed activities.

Beneficiary Eligibility:

Private nonprofit institution/organization; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries are the people, wildlife, and natural resources of the Gulf Coast region.

Credentials/Documentation:

The Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan, August 2013, and Comprehensive Plan Update 2016, December 2016, apply to this program. Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund, 31 CFR Part 34, publication of Final Rule December 14, 2015 (80 FR 77239), and Final Rule Amending 31 CFR 34.204(a), publication April 3, 2019 (84 FR 12929), apply to this program. All proposals must demonstrate that best available science has been taken into consideration and must clearly articulate how it meets each part of the definition of best available science provided by the RESTORE Act. Best available science is defined in the RESTORE Act as science that (A) maximizes the quality, objectivity, and integrity of information, including statistical information; (B) uses peer-reviewed and publicly available data; and (C) clearly documents and communicates risks and uncertainties in the scientific basis for such projects. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. To receive funding under the Council-Selected Restoration Component, Council members must take part in a two-phase submission and application process. Both phases of the submission and application process must be completed before a member will receive an official award from the Council and be able to receive funding. This process was outlined in the Notice of Application Process, published May 4, 2015 (80 FR 25294), and further explained in the Request for Applications for Funding for the 12/09/2015 Funded Priorities List, published December 31, 2015 (80 FR 81819).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Councils automated grants management system will be utilized for submission of all proposals, applications and supporting information. Eligible entities submitted project and program proposals to the Council, and selected projects and programs were included on the Funded Priorities List (FPL) Addendums to the Comprehensive Plan in 2015, 2017, 2020, and 2021. Following the publication of an FPL Addendum, eligible entities must submit a complete application package to request funding for individual approved projects. Required application materials are specified in the Request for Applications (RFA) for Funding for the 2015 FPL, published December 31, 2015 (80 FR 81819), the Notice of Funding Availability (NOFA) for the 2017 FPL for Comprehensive Commitment and Planning Support, published January 30, 2018 (83 FR 4206), the Notice of Funding Availability (NOFA) for the Council-Selected Restoration Component 2020 Funded Priorities List 3a, effective March 16, 2020, and the Notice of Funding Availability for the Council-Selected Restoration Component 2021 Funded Priorities List 3b, effective April 28, 2021. The full text of each announcement is available at www.restorethegulf.gov.

Award Procedure:

Applications are approved by the Gulf Coast Ecosystem Restoration Council.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. No formal procedure. If an application is unacceptable, the applicant is fully informed and the applicant may submit a revised application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are specified in the grant award and interagency agreement documents. Funds are disbursed on a reimbursement basis, although advances may be authorized as specified in 2 CFR Part 200. Method of awarding/releasing assistance: lump sum.

Reports:

Individual awards and agreements will specify if and when program reports are required. Individual awards and agreements will specify if and when cash reports are required. Performance reports are required annually, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. The frequency of reporting will be specified in the award. Financial status reports, including expenditures, are required annually beginning in fiscal year 2022, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. The frequency of reporting will be specified in the award. Individual awards and agreements will specify performance monitoring requirements. Recipients are responsible for oversight of the operations of award supported activities, including monitoring of subrecipients, to assure that compliance with applicable Federal requirements and performance expectations are being achieved.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or subrecipients are required to be maintained by the terms of the award. The recipient must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

95-1770-0-1-452.

Obligations:

Project Grants FY 20 \$7,442,000.00; FY 21 est \$18,745,000.00; FY 22 est \$150,000,000.00;

Range and Average of Financial Assistance:

No data available

TAFS Codes:

95-1770.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund Final Rule, 31 CFR Part 34, December 14, 2015 (80 FR 77239). Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund Final Rule Amending 31 CFR 34.204(a), April 3, 2019 (84 FR 12929). The Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan, August 2013. The Gulf Coast Ecosystem Restoration Council Comprehensive Plan Update 2016, December 2016. The RESTORE Act Initial Funded Priorities List, December 15, 2015 (80 FR 77585), available at www.restorethegulf.gov. The RESTORE Act 2017 Funded Priorities List for Comprehensive Plan Commitment and Planning Support, January 24, 2018, available at www.restorethegulf.gov. The RESTORE Act 2020 Funded Priorities List 3a, February 12, 2020, available at www.restorethegulf.gov. The RESTORE Act 2021 Funded Priorities List 3b, April 28, 2021, available at www.restorethegulf.gov. Council Member Proposal Submission Guidelines for Comprehensive Plan Funded Priorities List of Projects and Programs, current version available at www.restorethegulf.gov. 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Regional or Local Office:

Headquarters Office:

Sr. Grants Management Specialist Kristin Smith,
500 Poydras St, Suite 1117,
New Orleans LA 70130
Email: kristin.smith@restorethegulf.gov
Phone: 504-444-3558

Website Address:

<http://www.restorethegulf.gov>

RELATED PROGRAMS:

21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States; 11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program;

EXAMPLES OF FUNDED PROJECTS:

2021 The Council-Selected Restoration Component Funded Priorities Lists as well as lists of grants and interagency agreements that have been awarded are available at www.restorethegulf.gov.

CRITERIA FOR SELECTING PROPOSALS:

Successful proposals must address the elements described in the Comprehensive Plan of the RESTORE Council (August 2013; available at www.restorethegulf.gov) and Update 2016 (December 2016; available at www.restorethegulf.gov). All projects submitted for funding must be included on an approved Council-Selected Restoration Component Funded Priorities List.

87.052 Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program

RESTORE Council Oil Spill Impact Program,

FEDERAL AGENCY:

GULF COAST ECOSYSTEM RESTORATION COUNCIL

AUTHORIZATION:

Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (RESTORE) Act of 2012, Title 33, Part 1321(t)(3), Section 1603(3), Statue 126-588, Public Law 112-141, 33 USC Code 1321(t)(2).

OBJECTIVES:

The primary objective of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science in accordance with an approved State Expenditure Plan.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

The intent of this program is to disburse funds to eligible entities for the purpose of restoring and protecting the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, and economy of the Gulf Coast region using the best available science in accordance with an approved State Expenditure Plan. All projects, programs, and activities must be eligible activities pursuant to clauses (i) and (ii) of 33 U.S.C. 1321(t)(1)(B) and must be included in an approved State Expenditure Plan (SEP). The projects, programs and activities must contribute to the overall economic and ecological recovery of the Gulf Coast; the State Expenditure Plan must take into consideration the Gulf Coast Ecosystem Restoration Council Comprehensive Plan (Comprehensive Plan), August 2013 with update December 2016, and be consistent with the goals and objectives of the Comprehensive Plan. States may select subrecipients to carryout approved projects in the State Expenditure Plan.

Applicant Eligibility:

State; Eligible applicants are specified by the RESTORE Act and regulations at 31 C.F.R. Part 34 as the five Gulf Coast States or their administrative agents, as defined in 33 U.S.C. 1321(t)(3), Alabama, Florida, Louisiana, Mississippi, and Texas. For the development of the State Expenditure Plan, the eligible entities for each Gulf Coast State are as follows: in Alabama, the Alabama Gulf Coast Recovery Council; in Florida, a consortia of local political subdivisions that includes at a minimum 1 representative of each affected county; in Louisiana, the Coastal Protection and Restoration Authority of Louisiana; in Mississippi, the Office of the Governor or an appointee of the Office of the Governor; and in Texas, the Office of the Governor or an appointee of the Office of the Governor [33 U.S.C. 1321(t)(3)(B)(iii)]. Only the above-named entities are eligible applicants who may apply for funding. The RESTORE Council does not make Spill Impact Program grants directly to other entities or individuals. States may select subrecipients to carryout approved projects in the State Expenditure Plan. Interested third parties may contact their jurisdiction listed above to learn more about how the eligible entities select proposed activities.

Beneficiary Eligibility:

Private nonprofit institution/organization; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; The principal beneficiaries are the people, wildlife, economy/businesses, and natural resources of the Gulf Coast region.

Credentials/Documentation:

The Gulf Coast Ecosystem Restoration Council Comprehensive Plan, August 2013, and Comprehensive Plan Update 2016, December 2016, apply to this program. Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund, 31 CFR Part 34, publication of the Final Rule December 14, 2015 (80 FR 77239), and the Final Rule Amending 31 CFR 34.204(a), published April 3, 2019 (84 FR 12929), apply to this program. RESTORE Act Spill Impact Component Planning Allocation, 40 CFR Part 1800, publication date of the Final Rule January 13, 2015

ASSISTANCE LISTINGS DESCRIPTIONS

(80 FR 1584), and RESTORE Act Spill Impact Component Allocation, 40 CFR 1800, publication date of Final Rule December 15, 2015 (80 FR 77580) and effective date of April 12, 2016 (81 FR 21478), also apply to this program. All State Expenditure Plans must (1) describe the best available science, as applicable, that informed the State's selection of each project or program, and (2) include a certification that projects and programs are based on the best available science as defined in the RESTORE Act. Best available science is defined in the RESTORE Act as science that (A) maximizes the quality, objectivity, and integrity of information, including statistical information; (B) uses peer-reviewed and publicly available data; and (C) clearly documents and communicates risks and uncertainties in the scientific basis for such projects. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Eligible entities may apply to the Council through a two-phase application process. The first part of the application process is the submission of a State Expenditure Plan (SEP), which must be approved by the RESTORE Council Chairperson. The second part of the application process is the submission of grant application materials by the eligible entities for individual projects or programs contained within the approved SEP. State Expenditure Plan Guidelines have been published by the Council and are available at www.restorethegulf.gov. A Notice of Funding Availability (NOFA) for the Spill Impact Component was published June 3, 2016 (81 FR 35769 and Grants.gov grant opportunity number GCC-SEP-16-001). Eligible applicants must develop and submit a State Expenditure Plan in accordance with the requirements of 33 U.S.C. 1321(t)(3) for approval by the Gulf Coast Ecosystem Restoration Council Chairperson. Projects and programs implemented under an SEP will be required to comply with all environmental and permitting requirements, as applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. After submission and approval of an SEP, eligible entities must submit complete application packages to request funding for individual approved projects and programs. The Councils automated grants management system will be utilized for submission of all grant applications and supporting information. Required application materials are specified in the NOFA published June 3, 2016 (81 FR 35769). The full text of the NOFA and the Councils Guidelines for Development of State Expenditure Plans are available at www.restorethegulf.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are approved by the Gulf Coast Ecosystem Restoration Council.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. No formal procedure. If an application is unacceptable, the applicant is fully informed and the applicant may revise the application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 40, Chapter VIII, Part 1800, Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance is specified in the grant award documents. Funds are disbursed on a reimbursement basis. Method of awarding/releasing assistance: lump sum.

Reports:

Individual awards will specify if and when program reports are required. Individual awards will specify if and when cash reports are required. Performance reports are required annually, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. The frequency of reporting is specified in the award. Financial status reports, including expenditures, are

required annually beginning in fiscal year 2022, unless the risk assessment of the recipient and/or subrecipient indicates that more frequent reports are required. The frequency of reporting is specified in the award. Individual awards and risk assessments will specify performance monitoring requirements. Recipients are responsible for oversight of the operations of award supported-activities, including monitoring of subrecipients, to assure that compliance with applicable Federal requirements and performance expectations are being achieved.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or subrecipients are required to be maintained by the terms of the award. The recipient must retain records for three years from the date when the final financial report is submitted.

Account Identification:

95-1770-0-1-452.

Obligations:

Project Grants (for specified projects) FY 20 \$136,955,000.00; FY 21 est \$94,932,500.00; FY 22 est \$145,000,000.00;

Range and Average of Financial Assistance:

No data available

TAFS Codes:

95-1770.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund, 31 CFR Part 34, publication date of the Final Rule December 14, 2015 (80 FR 77239). Department of the Treasury Regulations for the Gulf Coast Restoration Trust Fund Final Rule Amending 31 CFR 34.204(a), April 3, 2019 (84 FR 12929).The Gulf Coast Ecosystem Restoration Council Initial Comprehensive Plan, August 2013.The Gulf Coast Ecosystem Restoration Council Comprehensive Plan Update 2016, December 2016.2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.Gulf Coast Ecosystem Restoration Council RESTORE Act Oil Spill Component Planning Allocation Final Rule, 40 CFR Part 1800, January 13, 2015 (80 FR 1584).RESTORE Act Spill Impact Component Allocation Final Rule, 40 CFR 1800, publication date December 15, 2015 (80 FR 77580) and effective date April 12, 2016 (81 FR 21478).

Regional or Local Office:

Headquarters Office:

Sr. Grants Management Specialist Kristin Smith,
500 Poydras St, Suite 1117,
New Orleans LA 70130
Email: kristin.smith@restorethegulf.gov
Phone: 504-444-3558

Website Address:

<http://www.restorethegulf.gov>

RELATED PROGRAMS:

21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States; 11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Information on approved State Expenditure Plans and associated projects as well as a list of grants that have been awarded are available at www.restorethegulf.gov.

CRITERIA FOR SELECTING PROPOSALS:

All projects, programs, and activities must be included in an approved State Expenditure Plan in order to be funded.

ASSISTANCE LISTINGS DESCRIPTIONS

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.001 National Archives Reference Services Historical Research

FEDERAL AGENCY:

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

AUTHORIZATION:

National Archives and Records Administration Act of 1984, , Statue 98-2280,
Public Law 98-497

OBJECTIVES:

Objectives: To provide professional reference service to members of the general public and employees of the Federal government who are conducting research in Federal records or in historical materials in Presidential Libraries; provide advice and information to the public through conferences, workshops and other outreach activities on the availability and use of Federal records and publications in NARA's custody.

TYPES OF ASSISTANCE:

Advisory Services and Counseling, Dissemination of Technical Information, Salaries and Expenses, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

The assistance provided by NARA is designed to assist the public in obtaining access to information contained in records which are in the custody of the National Archives. Restrictions on the use of accessioned records in the National Archives of the United States are divided into two categories: general restrictions and specific restrictions. General restrictions apply to all records regardless of origin and apply to types of information or classes of records exempt from disclosure under the Freedom of Information Act, for example, national security information. Specific restrictions are specified by the transferring agency or donor and apply only to records in a particular record group or a portion of that record group. Access to records in the records center operations within NARA's regional records services facilities; in the National Personnel Records Center; and in the Washington National Records Center is controlled by the agency which created the records.

Applicant Eligibility:

Anyone/general public; Anyone (general public).

Beneficiary Eligibility:

Anyone/general public; Anyone (general public).

Credentials/Documentation:

Photographic identification. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To see records in person, NARA Form 14003, Researcher Application, is required. To obtain information or copies by mail, contact the depository; if a form is required, applicant will be notified. The use of publications, such as microfilm publications of the U.S. Census, does not require the completion of a Researcher Application. Microfilm publications are available in regional facilities in self-service reference rooms. Request for civilian and military personnel records should be addressed to the National Personnel Records Center. Requests for court records should be addressed to the appropriate regional records services facility. Requests for records held in records center operations of the NARA regional records services facility and in the Washington National Records Center should be addressed directly to the agency having legal custody.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not Applicable Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

88-0300-0-1-804.

Obligations:

Salaries and Expenses FY 16 \$62,196,222.00; FY 17 est \$66,084,080.00; and FY 18 est \$67,405,762.00;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

88-0300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Chapter XII, Subchapter C. Over 200 printed publications are available as listed in General Information Leaflet No. 3, "Select List of Publications of the National Archives and Records Administration," available without charge from the Publication Services (NEPS), National Archives and Records Administration, Washington, DC 20408.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a list of addresses.

Headquarters Office:

Budget Analyst Benjamin J. Davis,
8601 Adelphi Road,
College Park MD 20740
Email: benjamin.davis@nara.gov
Phone: 3018371910

Website Address:

<http://www.archives.gov>

RELATED PROGRAMS:

89.003 National Historical Publications and Records Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

89.003 National Historical Publications and Records Grants

FEDERAL AGENCY:

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

National Archives and Administration Act of 1984, , Statue 118-2218, Public Law 108-383

OBJECTIVES:

To undertake a wide-range of activities related to the preservation, publication, and use of documentary sources relating to the history of the United States.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for projects to strengthen the nation's archival infrastructure through collaboration with the States; to provide leadership in preserving and making accessible important documentary sources in electronic form; to improve the knowledge, performance, and professional skills of those who work with historical records; for the preservation, arrangement and description of records of historical interest; and for the publication in book, or electronic editions of papers and documents of national historical significance, especially in completing editions of papers on America's founding era.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes (see 44 U.S.C. 2504), and educational and other nonprofit institutions (e.g., universities, colleges, libraries, historical societies, museums, university presses, archives, etc.).

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes, and educational and other nonprofit institutions.

Credentials/Documentation:

A Data Universal Number System (DUNS) must be obtained. It has been adopted by the Federal Government to help track how Federal grant money is distributed. To obtain this number, call Dun & Bradstreet hotline at 1-866-705-5711. Registration with the System for Award Management (SAM) is required. Visit the SAM website at <http://www.sam.gov>. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications to the NHPRC must be submitted via www.grants.gov. Guidelines and application instructions are available online at www.archives.gov/nhprc.

Award Procedure:

As indicated in each grant opportunity announcement, applications are reviewed by state historical records advisory boards, subject area specialists and other appropriate individuals. Grant awards are recommended by the National Historical Publications and Records Commission at biannual meetings. Grants are formally made by the Archivist of the United States to the applicant institution.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. From 6 to 8 months.

Appeals:

Other. Applications may be revised and resubmitted in accordance with established procedures.

Renewals:

Other. Procedures for the renewal of applications are the same as the original application procedure process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies depending on scope of project. Method of awarding/releasing assistance: other

Reports:

Narrative progress reports are required for all grants. These reports are due 30 days after the end of each 6-month period, with the final report due within 90 days after the expiration of the grant period. Grants with a duration of 6 months or less require a final report only. Financial status reports are required for all grants. SF-425, Federal Financial Report, shall be used for all financial reports, which are due 30 days after the end of each 12-month period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

The grantee institution maintains records that fully disclose the amount and disposition of granted funds, the total cost of the undertaking, the portion of the cost supplied by other sources, and such other records as will facilitate an effective audit. Documentation must be retained for three years following the submission of the final expenditure report.

Account Identification:

88-0301-0-1-804.

Obligations:

Project Grants FY 16 \$4,582,000.00; FY 17 est \$5,456,000.00; and FY 18 est \$5,400,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

88-0301.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations and guidelines as well as grant opportunity announcements are available at www.archives.gov/nhprc. Significant changes in regulations take effect on December 26, 2014 with the implementation of 2 CFR 200.

Regional or Local Office:

Headquarters Office:

Budget Analyst Benjamin J. Davis,
8601 Adelphi Road,
College Park MD 20740
Email: benjamin.davis@nara.gov
Phone: 3018371910

Website Address:

<http://www.archives.gov/nhprc>

RELATED PROGRAMS:

45.161 Promotion of the Humanities_Research; 45.149 Promotion of the Humanities_Division of Preservation and Access;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines at www.archives.gov/nhprc.

DENALI COMMISSION

90.100 Denali Commission Program

Denali Commission,

FEDERAL AGENCY:

DENALI COMMISSION

AUTHORIZATION:

Denali Commission Act of 1998, , Public Law 150-277, 42 USC Code 3121.

OBJECTIVES:

The Denali Commission is a Federal agency designed to provide funding and partner coordination for critical utilities and infrastructure projects throughout Alaska, particularly in distressed communities.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Construction and maintenance of any infrastructure necessary for rural development and job training.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Awards are available to State and local governments, private, public, profit, nonprofit organizations and institutions.

Beneficiary Eligibility:

Anyone/general public; General public, particularly distressed communities.

Credentials/Documentation:

Documented need. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Annual Work Plan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Negotiated on a case-by-case basis.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. 20% match for Distressed Communities for construction activities. 50% match for Non-Distressed Communities for construction activities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Determined during project evaluation. Method of awarding/releasing assistance: other

Reports:

Requests for Advance/Reimbursement (SF270) Project progress reports due quarterly. Recipient audit confirmations request expenditure reports. Level of Performance Monitoring will be determined based on project and recipient evaluation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Determined at the time of award, consistent with Federal and, where applicable, State of Alaska guidelines.

Account Identification:

95-1200-0-1-452. 95-8056-0-7-452. 95-6501-1-2-452.

Obligations:

Project Grants (Discretionary) FY 16 Not Available; FY 17 est \$15,000,000.00; and FY 18 est \$15,000,000.00;

Range and Average of Financial Assistance:

Varies

TAFS Codes:

95-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information is available on the website of <http://www.denali.gov>.

Regional or Local Office:

Headquarters Office:

Chief Financial Officer Corrine Eilo,
510 L Street, Suite 410,
Anchorage AK 99501
Email: ceilo@denali.gov
Phone: (907) 271-1414
Fax: (907) -271-1415.

Website Address:

<http://www.denali.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects are judged based on documented need.

90.199 Shared Services

Shared Services,

FEDERAL AGENCY:

DENALI COMMISSION

AUTHORIZATION:

Denali Commission Act of 1998, , Public Law 150-277, 42 USC Code 3121. MAP-21, , Public Law 112-141, XX USC Code XX.

OBJECTIVES:

Delivery of services of the federal government in the most cost-effective manner by reducing administrative and overhead costs.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants

USES AND USE RESTRICTIONS:

Varies

Applicant Eligibility:

Anyone/general public; Varies per federal agency requirements.

Beneficiary Eligibility:

Anyone/general public; General public.

Credentials/Documentation:

Application for Federal Assistance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Determined by each federal agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. 20% match for distressed communities for construction activities.50% match for non-distressed communities for construction activities.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Determined by each federal agency. Method of awarding/releasing assistance: other

Reports:

Progress reports. Project progress reports. SF-270 Requests for Advance or Reimbursement. Performance monitoring determined by pre-award assessment.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Retention and maintenance of records shall be as specified in 2 CFR 200.

Account Identification:

95-1200-0-1-452.

Obligations:

Project Grants FY 16 \$0.00; FY 17 est \$100,000.00; and FY 18 est \$100,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

95-1200.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Chief Financial Officer Corrine Eilo,

510 L Street Suite 410,

Anchorage AK 99501

Email: ceilo@denali.gov

Phone: 907-271-1414

Website Address:

http://www.denali.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

DELTA REGIONAL AUTHORITY

90.200 Delta Regional Development

(Delta Program).

FEDERAL AGENCY:

DELTA REGIONAL AUTHORITY

AUTHORIZATION:

Departments Of Labor, Health And Human Services, And Education, And Related Agencies Appropriations Act, 2001, 2000) as amended by H.R. 2646; The Farm Security And Rural Investment Act of 2002 (2002 Farm Bill).

OBJECTIVES:

The Delta Regional Authority (DRA) is a federal-state partnership serving a 240-county/parish area in an eight-state region. Led by a Federal Co-Chairman and the governors of each participating state, the DRA is designed to remedy severe and chronic economic distress by stimulating economic development and fostering partnerships that will have a positive impact on the region's economy. Help economically distressed communities to leverage other federal and state programs which are focused on basic infrastructure development, transportation improvements, business development, and job training services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

In considering programs and projects to be provided assistance under the Act, and in establishing a priority of the requests for assistance provided by the Authority, the Authority shall follow procedures to ensure, to the maximum extent practicable, consideration of the following: (1) the relationship of the project or class of projects to overall regional development; (2) the per capita income and poverty and unemployment rates in an area; (3) the financial resources available to the applicants for assistance seeking to carry out the project, with emphasis on ensuring that projects are adequately financed to maximize the probability of successful economic development; (4) the importance of the project or class of projects in relation to other projects or classes of projects that may be in competition for the same funds; (5) the prospects that the project for which assistance is sought will improve, on a continuing rather than temporary basis, the opportunities for employment, the average level of income, or the economic development of the area served by the project; and (6) the extent to which the project design provides for detailed outcome measurements by which grant expenditures and the result of the expenditures may be evaluated. Each State is required to file a State Delta development plan, appraising prospects for development in its Delta area and relating to them a strategic program for which Delta funding is requested in that year. Once an application is submitted for individual projects and given final approval the grant is administered either by the Federal agency involved in that type of program, a local development district, or directly by DRA. The counties (including any political subdivision located within such area) in which investment under the Delta Act can be made are: Alabama, the counties of Barbour, Bullock, Butler, Choctaw, Clarke, Conecuh, Dallas, Escambia, Greene, Hale, Lowndes, Macon, Marengo, Monroe, Perry, Pickens, Russell, Sumter, Washington, Wilcox; in Arkansas the counties of Arkansas,

ASSISTANCE LISTINGS DESCRIPTIONS

Ashley, Baxter, Bradley, Calhoun, Chicot, Clay, Cleveland, Craighead, Crittenden, Cross, Dallas, Desha, Drew, Fulton, Grant, Greene, Independence, Izard, Jackson, Jefferson, Lawrence, Lee, Lincoln, Lonoke, Marion, Mississippi, Monroe, Ouachita, Phillips, Poinsett, Prairie, Pulaski, Randolph, Searcy, Sharp, St. Francis, Stone, Union, Van Buren, White, Woodruff; in Illinois the counties of Alexander, Franklin, Gallatin, Hamilton, Hardin, Jackson, Johnson, Massac, Perry, Pope, Pulaski, Randolph, Saline, Union, White, Williamson; in Kentucky the counties of Ballard, Caldwell, Calloway, Carlisle, Christian, Crittenden, Fulton, Graves, Henderson, Hickman, Hopkins, Livingston, Lyon, Marshall, McCracken, McLean, Muhlenberg, Todd, Trigg, Union, Webster; in Louisiana the counties of Acadia, Allen, Ascension, Assumption, Avoyelles, Caldwell, Catahoula, Concordia, East Baton Rouge, East Carroll, East Feliciana, Evangeline, Franklin, Grant, Iberia, Iberville, Jackson, Jefferson, La Salle, Lafourche, Lincoln, Livingston, Madison, Morehouse, Natchitoches, Orleans, Ouachita, Plaquemines, Pointe Coupee, Rapides, Richland, St. Bernard, St. Charles, St. Helena, St. James, St. John The Baptist, St. Landry, St. Martin, Tangipahoa, Tensas, Union, Washington, West Baton Rouge, West Carroll, West Feliciana, Winn; in Missouri the counties of Bollinger, Butler, Cape Girardeau, Carter, Crawford, Dent, Douglas, Dunkin, Howell, Iron, Madison, Mississippi, New Madrid, Oregon, Ozark, Pemiscot, Perry, Phelps, Reynolds, Ripley, Scott, Shannon, St. Francois, Ste. Genevieve, Stoddard, Texas, Washington, Wayne, Wright; in Mississippi the counties of Adams, Amite, Attala, Benton, Bolivar, Carroll, Claiborne, Coahoma, Copiah, Covington, De Soto, Franklin, Grenada, Hinds, Holmes, Humphreys, Issaquena, Jefferson, Jefferson Davis, Lafayette, Lawrence, Leflore, Lincoln, Madison, Marion, Marshall, Montgomery, Panola, Pike, Quitman, Rankin, Sharkey, Simpson, Sunflower, Tallahatchie, Tate, Tippah, Tunica, Union, Walthall, Warren, Washington, Wilkinson, Yalobusha, Yazoo; in Tennessee the counties of Benton, Carroll, Chester, Crockett, Decatur, Dyer, Fayette, Gibson, Hardeman, Hardin, Haywood, Henderson, Henry, Lake, Lauderdale, Madison, McNairy, Obion, Shelby, Tipton, Weakley.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); The Authority may approve grants to States and public and nonprofit entities. All proposed projects must meet the clarification notes as part of the pre-application and the requirements of the State Delta plan which must be approved annually by the Authority.

Beneficiary Eligibility:

Anyone/general public; General public.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each State's Governor will select his projects for DRA yearly Federal Grant funding from the Federal Co-Chairman's list of eligible pre-applications within the context of his own Authority-approved State plan and priorities. (See individual Delta program descriptions).

Award Procedure:

Upon receipt of project application approved and certified by the State DRA determines that the project satisfies all requirements for assistance under the ACT and holds a Board vote for approval. The DRA notifies Congressional offices and the office of the Governor of grant awards.

Deadlines:

Per the approved current fiscal year grant program timeline.

Range of Approval/Disapproval Time:

Not Applicable. Per the approved current fiscal year grant program timeline.

Appeals:

Not Applicable. There is an appeal process for the pre-application process. (See Delta direct grant program description) There are no appeal procedures as such, project review allows for full and free interchange with applicants selected for full

applications.

Renewals:

Not Applicable. Generally renewals are not applicable except for administrative expenses, including technical services, of local development districts.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project assistance is provided until completion.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records as required by the basic Federal agency. Under the total audit concept, audits will be made on an organizational-wide basis (rather than grant-by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

Account Identification:

95-0750-0-1-452.

Obligations:

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Delta Regional Authority Code (limited distribution), The Communique an online journal devoted to the special problems and accomplishments of regional development. Annual Report.

Regional or Local Office:

Headquarters Office:

Inquiries and proposals for projects should be submitted first to The Local Development District. (See DRA website for listing.) Other inquiries may be addressed to: Executive Director, Delta Regional Authority, 236 Sharkey Avenue, Suite 400, Clarksdale, MS 38614.,

Website Address:

<http://www.dra.gov>.

RELATED PROGRAMS:

90.201 Delta Area Economic Development; 90.202 Delta Local Development District Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

90.201 Delta Area Economic Development

(Supplemental and Direct Grants),

FEDERAL AGENCY:

DELTA REGIONAL AUTHORITY

AUTHORIZATION:

Departments Of Labor, Health And Human Services, And Education, And Related Agencies Appropriations Act, 2001, as amended by H.R. 2646; The Farm Security And Rural Investment Act of 2002 (2002 Farm Bill),.

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

Delta Regional Authority's mission is to be an advocate for and partner with the people of the Delta to create opportunities for self-sustaining economic development and improved quality of life. This program focuses on activities which support DRA's mission. Specific program goal are: (1) to develop the transportation infrastructure of the region for the purpose of facilitating economic development in the region (except that grants for this purpose may only be made to state and local government); (2) to assist the region in obtaining the job training, employment related education, and business development (with an emphasis of entrepreneurship) that are needed to build and maintain strong local economies; (3) to provide assistance to severely distressed and underdeveloped areas that lack financial resources for improving basic public services; (4) to provide assistance to severely distressed and underdeveloped areas that lack financial resources for equipping industrial parks and related facilities; and (5) to otherwise achieve the purpose of the Act. Grants are made either directly by the Authority or grants may supplement other Federal grants.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

The grants may be used for providing supplemental funds under Federal grant-in-aid programs. To be eligible for special basic grants, projects must be of high priority in the State's Delta development plan and be within the clarification guidelines of the clarification notes which are part of the pre-application used to determine eligibility. The Authority must prioritize use of federal funds in the following order, with 75% of appropriated funds used in distressed counties and transportation and basic public infrastructure projects receiving at least 50% of the appropriated funds: (1) Basic public infrastructure in distressed counties and isolated areas of distress; (2) Transportation infrastructure for the purpose of facilitating economic development, (3) Business development with emphasis on entrepreneurship; (4) Job training or employment-related education, with emphasis on use of existing public educational institutions located in the region.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State, their subdivisions and instrumentalities and private nonprofit agencies.

Beneficiary Eligibility:

Anyone/general public; General public.

Credentials/Documentation:

The governor of each Delta state shall submit a State Delta Development Plan within 10 months after taking office, and must be approved by the board. Prior to December 15 of each year, the Governor of each state shall submit a Strategy Statement and Investment Program describing the state's program for achieving the goals and objectives contained in its state Development Plan, and must be approved by the board. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Upon completion of his eligibility determinations, the Federal Co-Chairman will forward that list to each participating state member, and he will also notify the pre-applicants and the Administering Agencies. With the singular independence as prescribed by the Delta Regional Authority Act of 2000, as amended, each Governor will select his projects for funding, and will do so within the context of his own Authority-approved state plan and priorities. When the Governors have selected their projects, these selections basically become pre-certified for DRA funding, needing now an application (business plan) sufficient to satisfy the Authority and the (if needed) Administering Agency that the project will work as described and be able to comply with all appropriate Federal regulations. Using the DRA Federal Grant Uniform Grant Application Form, which will include the Administering Agency's required checklists and documents; the Federal Co-Chairman will invite a full application from each participating states lists of eligible and pre-certified projects. Upon receiving each application for their state, each participating Governor shall

evaluate and certify each application conforming to the State Strategy Statement and Investment Program. The Governors will then forward their lists of evaluated and certified applications to the Federal Co-Chairman. Each application will be voted on and approved by the participating state members and the Federal Co-Chairman, together, the Delta Regional Authority.

Award Procedure:

Once projects are approved, the administration of each project will proceed in accordance with the Memorandum of Agreement between DRA and the appropriate Administering Agencies. The DRA notifies Congressional Offices and the Office of the Governor of grant awards.

Deadlines:

A timeline is posted on the DRA web site for each fiscal grant cycle covering pre-application to grant awards.

Range of Approval/Disapproval Time:

Not Applicable. From 60 to 80 days after receipt of the full application by the Authority.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is provided until project completion; funds are transferred to the basic Federal agency and disbursed as appropriate. DRA reserves the right to withdraw grants for projects not under contract within 18 months of approval.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records as required by the basic Federal agency. Under the total audit concept, audits will be made on an organizational-wide basis (rather than grant-by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

Account Identification:

95-0750-0-1-452.

Obligations:

Formula Grants FY 07 \$8,015,805.00; FY 08 \$7,789,000.00; and FY 09 est \$8,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Delta Regional Authority Code (limited distribution); Delta Regional Annual Report; The Communique an online journal devoted to the special problems and accomplishments of regional development.

Regional or Local Office:

Headquarters Office:

Inquiries and proposals for projects should be submitted first to The Local Development District. (See DRA website for listing.) Other inquiries may be addressed to: Executive Director, Delta Regional Authority, 236 Sharkey Avenue, Suite 400, Clarksdale, MS 38614. Telephone: (662) 624-8600.,

Website Address:

<http://www.dra.gov>.

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

90.202 Delta Local Development District Assistance

(LDD),

FEDERAL AGENCY:

DELTA REGIONAL AUTHORITY

AUTHORIZATION:

OBJECTIVES:

To provide planning and development resources in multicounty areas; to help develop the technical competence essential to sound development assistance; and to meet the objectives stated under the program entitled Delta Regional Development (90.200).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For administrative expenses, including technical services of certified local development districts; in addition to the uses and use restrictions detailed in the Delta Development Program (90.200). Funds may be used only in the performance of grantees program and in accord with the budget, and agreements thereto, as approved by the Authority.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Multicounty organizations certified by the State.

Beneficiary Eligibility:

Local; Multicounty organizations.

Credentials/Documentation:

Certificate by the Governor. State Delta Plan and Strategy Statement must describe the procedures for the participation of local development districts in the Delta development planning process. Costs will be determined in accordance with OMB Circular No. A-87, for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted and approved by the State Member of DRA. All proposed projects must meet the requirements of the State Delta Plan and Strategy Statement and Investment Program submitted annually before December 15. A letter of transmittal signed by the State Member and Standard Forms 424 are required.

Award Procedure:

Upon determination that the State approved project is eligible within an Authority approved Delta State Development Plan and Strategy Statement and Investment Program, The Federal Co-Chairman determines that the project satisfies all Federal requirements.

Deadlines:

Those imposed by each State and the general requirements of the Authority; that is, proposed projects shall be included in each of the State's approved project funding program which is submitted annually before December 15, and grant applications should be submitted to the Authority 90 days prior to the beginning of the grant period (beginning of the calendar year).

Range of Approval/Disapproval Time:

Not Applicable. Usually within 30 days after receipt of the application at the Authority.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Renewals and amended applications follow the same procedures as the original application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is provided for 12 months.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The grantee shall keep records for not less than 3 years which fully disclose the purpose, amount and disposition of DRA funds and other contributions.

Account Identification:

95-0750-0-1-452.

Obligations:

FY 07 \$396,000.00; FY 08 \$396,000.00; and FY 09 est \$396,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Delta Regional Authority Code (limited distribution); Delta Regional Authority Annual Report; The Communique an online journal devoted to the special problems and accomplishments of regional development.

Regional or Local Office:

Headquarters Office:

Inquiries and proposals for projects should be submitted first to Delta State office designated by the Governor. Other inquiries may be addressed to: Executive Director, Delta Regional Authority, 236 Sharkey Avenue, Suite 400, Clarksdale, MS 38614. Telephone (662) 624-8600.,

Website Address:

<http://www.dra.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

90.203 Delta Creative Place-Making Pilot Initiative

Delta Creative Place-making Pilot Initiative,

FEDERAL AGENCY:

DELTA REGIONAL AUTHORITY

AUTHORIZATION:

Executive Order 12372,

OBJECTIVES:

The Delta Regional Authority is an independent federal agency created by

ASSISTANCE LISTINGS DESCRIPTIONS

Congress in the "Delta Regional Authority Act of 2000" that serves 252 counties and parishes in an eight-state region: Alabama, Arkansas, Illinois, Kentucky, Louisiana, Mississippi, Missouri, and Tennessee. DRA operates as a federal-state partnership and works with its local development districts by utilizing market-proven processes to improve economic outcomes and enhance the quality of life for the people in the region. DRA makes strategic investments of federal appropriations into projects supporting basic public infrastructure, transportation improvements, business development with an emphasis on entrepreneurship, and workforce development.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The National Endowment of the Arts suggests that, "Creative place-making is when artists, arts organizations, and community development practitioners deliberately integrate arts and culture into community revitalization work - placing arts at the table with land use, transportation, economic development, education, housing, infrastructure, and public safety strategies." The DRA is poised to support the region's cultural and creative economies by providing a platform for the arts and cultural sectors to align their efforts with the public sector to assure the rural way of life will continue, as we build more creative, entrepreneurial and inclusive communities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); The Delta Creative Place-making Initiative is designed to help communities enhance the quality of place and quality of life by investing in the distinctive local character of Delta places, for positive economic and community outcomes.

Beneficiary Eligibility:

Anyone/general public; Artist/Humanist; State; Public nonprofit institution/organization; For more information, please visit the Delta Regional Authority website at www.dra.gov

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

As a component of the DCPI application, all applicants are required to upload the following documents in to the DRA Funding Portal (funding.dra.gov)-Map/Photos-Letters of Support-Corporation Annual Report-IRS 990/Audit-Bylaws

Deadlines:

July 31, 2017 - September 01, 2017. Notices of Intent to Apply are due Friday, July 31, 2017 @ 5:00PM. Central Standard Time. To submit a Notice of Intent, please see the DRA Website: www.dra.gov/creativeplacemaking

Applications are due Monday, July 31, 2017 @ 5:00PM. Partial application submissions will not be accepted

Applications will undergo an internal and external four week review process. Awards will be made in September 2017.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. All Delta Creative Place-making Initiative investments require a non-federal match

of at least 1:1, but these matching funds must be cash, or a combination of cash and in-kind contributions. In-kind contributions can include staff time from the primary applicant or partnering organization's leadership. Budget proposals may include matching funds not yet committed at the time of application, but all costs included in the project budget shall be expended within the period of performance outlined in the submission. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

DRA funding and technical assistance provided for DCPI projects will commence on or after September 1, 2017, for a period of up to 24 months. Applicants should allow sufficient time for asset mapping, partnership dialogue and collaboration building, planning, execution, internal evaluation, and project completion/close-out. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 All non-profit organizations with 501(c)3 status must upload the organization's most recent IRS 990 or independent financial audit.

Records:

Not Data Available.

Account Identification:

12-1600-0-1-352.

Obligations:

Project Grants FY 16 Not Available; FY 17 est \$30,900.00; and FY 18 Not Available;

Range and Average of Financial Assistance:

DRA funding and technical assistance provided for DCPI projects will commence on September 1, 2017, for a period of up to 24 months. Applicants should allow sufficient time for asset mapping, partnership dialogue and collaboration building, planning, execution, internal evaluation, and project completion/close-out.

TAFS Codes:

95-0750.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Deputy SEDAP Manager Staresha Hoskins,
236 Sharkey Street Suite 400,

Clarksdale MS 38614

Email: shoskins@dra.gov

Phone: 6624838212

Website Address:

<http://www.dra.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Does the application address the specific stated goals of the DCPI program?²
Do the submitted materials clearly indicate the commitment of the primary applicant and other primary partner to this proposal?³ Do these organizations, and other partners identified in the application, have the capacity, technical expertise, community support and artistic/cultural competencies necessary to design, develop and execute the proposed project?⁴ Is there sufficient competence within the cultural/art/design organizations to achieve artistic excellence and project completion?⁵ Does the vision for this project, and the stated outcomes, enhance

ASSISTANCE LISTINGS DESCRIPTIONS

the quality of life, social and economic diversity, community inclusiveness and overall cohesion?6. Does the proposal reflect creative approaches which address future community plans, priorities, and challenges?7. Where appropriate, does the proposal address the needs of the under-served populations, including those who experience of the arts have been limited by geography, ethnicity, disability, class or poverty?8. Is the scale and scope of the proposed project feasible, appropriately funded, and capable of on-time completion?9. Will the community at large be adequately engaged, to assure the longer term sustainability of the enterprise?10. Were appropriate evaluations metrics, documentation, and dissemination processes identified, and can they be executed by the partner organizations.

90.204 States' Economic Development Assistance Program

SEDAP,

FEDERAL AGENCY:

DELTA REGIONAL AUTHORITY

AUTHORIZATION:

12372,

OBJECTIVES:

The Delta Regional Authority works to improve regional economic opportunity by helping to create jobs, build communities, and improve the lives of the 10 million people who reside in the 252 counties and parishes of the eight state Delta region. Led by the Delta Regional Authority Board- comprised of the Federal Co-Chairman, appointed by the President and confirmed by the U.S. Senate, and the governors of the eight states- the Delta Regional Authority fosters local and regional partnerships that address economic and social challenges to ultimately strengthen the Delta economy and the quality of life for Delta residents. Established in 2000 by Congress, the DRA makes strategic investments of federal appropriations into the physical and human infrastructure of Delta communities. Through the States' Economic Development Assistance Program, referred to as SEDAP, these investments help to improve transportation and basic public infrastructure and to strengthen our workforce development system and local business environments. The DRA supports job creation and economic development through innovative approaches to growing local and regional leadership, increasing access to quality healthcare, and boosting opportunities for entrepreneurs to obtain affordable capital.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under federal law, at least 75 percent of DRA funds must be invested in economically distressed counties and parishes. Half of these funds are targeted to transportation and basic infrastructure improvements. The remaining funds are directed to DRAs other funding priorities of workforce development and business development with a focus on entrepreneurship. The DRA works closely with the 44 Local Development Districts in the DRA footprint that serve as front-line project managers to ensure community-relevant project development and investment. SEDAP investments emphasize job creation, regional collaboration, and health and safety for communities. Through 14 SEDAP funding cycles, the DRA has leveraged its \$138.4 million of federal-state investments into nearly \$3 billion in public and private investment into community-based and regional projects--investments that have helped to create and retain 26,000 jobs, train more than 7,200 Delta workers for 21st century jobs, and connect nearly 65,000 families to clean water and/or sewer service.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); The 252 counties and parishes served by the Delta Regional Authority make up the most distressed area of the country. According to the USDA, the most severe rates of poverty are historically found in the South, especially in the Mississippi River delta region. In fact, 4 of the 10 most impoverished counties and parishes in the country are located within the DRA footprint.

Beneficiary Eligibility:

Anyone/general public; Local; Rural; Public nonprofit institution/organization; For more information, please visit the DRA website at www.dra.gov

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

1. Innovation and Small Business Projects that include business and industry prospects committed to creating, retaining or maintaining jobs, through the execution of a DRA Participation Agreement. The Participation Agreement is a common claw-back instrument used in economic development to guarantee outcomes. As stewards of public resources, it is advantageous to provide outcome metrics which are validated through the protection this document provides.2. Regional Impact Projects that provide benefit to constituents in multiple counties or parishes, or multiple municipalities within a single county or parish. Applications for regional water and/or wastewater systems will be considered more competitive than stand-alone municipal systems.3. Multiple Funding Partners Applications for projects which include multiple funding sources (both public and/or private) and significantly leverage DRA dollars to other federal or non-federal dollars will be considered more competitive than applications which only include DRA funding or minimal investment leverage.4. Emergency Funding Need Projects that can clearly demonstrate, but for DRA funding, the negative implications of inaction would result in immediate and detrimental health and/or safety issues for the constituents served. Projects posing an immediate health or safety risk which have already met at least one of the four funding categories (listed above) will be considered for funding ahead of all other applications. Sufficient documentation must be provided from the appropriate state or federal agency (DEQ, EPA, etc.), specifically stating the cause or reason for the issue and the agency's concurrence that an immediate health or safety concern exists.5. Funding Partner Initiatives As DRA establishes federal partnerships, through program initiatives, designed to provide supplemental funding support, DRA will give additional consideration to applicants, such as communities receiving the Investing in Manufacturers Community Partnership (IMCP) designation, a Promise Zone designation, or other awards and program designations as identified by the Federal Co-Chairman.6. Small Business Framework Must clearly demonstrate how the project aligns with the policies set forth in DRAs Small Business Framework document, found on dra.gov.7. Incorporated Resiliency Planning Projects located in communities or service areas which have incorporated disaster and resiliency planning into the local planning efforts.

Deadlines:

February 09, 2017 - May 31, 2017. FEBRUARY 9TH

-SEDAP funding opportunity announcement

FEBRUARY 9TH THROUGH MAY 31ST

-Applications are submitted as they are developed:

- DRA staff will review for eligibility in real-time (within three weeks of receipt) and work with each LDD to provide appropriate appeal documentation for those projects deemed ineligible or Tier 2;

JUNE 16TH

-DRA staff work complete

JUNE 23RD

-Chairman determines eligibility

JULY 7TH

-Board members have met with Governors

JULY 14TH

Project determination calls held

JULY 28TH

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-Certification letters received and project votes closed

-Announcements, award documents, notices to proceed follow immediately upon receipt of certification letters and are coordinated through DRA communications staff

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Applications for projects which include multiple funding sources (both public and/or private) and significantly leverage DRA dollars to other federal or non-federal dollars will be considered more competitive than applications which only include DRA funding or minimal investment leverage. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects typically ranged from 12-18 months. Projects that extended beyond this time period must request a Budget/Timeline Extension. Method of awarding/releasing assistance: other

Reports:

Quarterly reports will also commence on the first calendar quarter after the date of the Notice to Proceed. Reports are due each calendar quarter thereafter whether project funds have been expended or not. Quarterly reports consist of a financial status report (SF-425) and a comprehensive report narrative (SF-PPR). This report can be completed and submitted online through the funding portal. SF-245 (Federal Financial Report) are due on a quarterly basis along with Performance Progress Report SF-PPR are required on a quarterly basis

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not Data Available.

Account Identification:

12-1600-0-1-352.

Obligations:

Project Grants FY 16 Not Available; FY 17 Not Available; and FY 18 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

95-0750.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Deputy SEDAP Manager Staresha Hoskins,
236 Sharkey Street Suite 400,
Clarksdale MS 38614
Email: shoskins@dra.gov
Phone: 6624838212

Website Address:

<http://www.dra.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

JAPAN-U.S. FRIENDSHIP COMMISSION

90.300 Japan-U.S. Friendship Commission Grants

FEDERAL AGENCY:

JAPAN-U.S. FRIENDSHIP COMMISSION

AUTHORIZATION:

Public Law 94-118,

OBJECTIVES:

to promote educational, intellectual, artistic and cultural exchange and research between Japan and the United States.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Not applicable.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Public and state-controlled institutions of higher education; non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education; private institutions of higher education.

Beneficiary Eligibility:

Private nonprofit institution/organization; Education Professional; Scientist/Researchers; Artist/Humanist; State; Public nonprofit institution/organization; Public and state-controlled institutions of higher education; non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education; private institutions of higher education.

Credentials/Documentation:

Copy of 501(c)(3) or other non-profit status. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of proposal conforming to JUSFC guidelines.

Award Procedure:

Grants typically made before beginning of project activity.

Deadlines:

August 01, 2011. August 1 (or next business day when this fall on a weekend) annually.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately six weeks between submission of proposal and decision.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. High expectation of matching funds from outside sources. MOE requirements are

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not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Typically six months to eighteen months. Method of awarding/releasing assistance: lump sum.

Reports:

A narrative report describing project undertaken with grant funds. Final financial report required detailing how grant funds were spent.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Must be kept by grantee for a three-year period.

Account Identification:

89-1191-0-1-300.

Obligations:

Project Grants FY 10 \$1,700,000.00; FY 11 est \$1,600,000.00; and FY 12 est \$1,200,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

95-899X.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

see www.jusfc.gov for JUSFC guidelines and literature

Regional or Local Office:

Headquarters Office:

Margaret Mihori,
1201 15th Street, NW, Suite 330,
Washington DC 20005
Email: mmihori@jusfc.gov
Phone: (202) 653-9800
Fax: (202) 653-9802.

Website Address:

www.jusfc.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.jusfc.gov

ELECTION ASSISTANCE COMMISSION

90.401 Help America Vote Act Requirements Payments

Requirements Payment,

FEDERAL AGENCY:

ELECTION ASSISTANCE COMMISSION

AUTHORIZATION:

42 U.S.C., , 42 USC Code 15401-15408.

OBJECTIVES:

HAVA Section 251 authorizes requirements payments to assist States (including the District of Columbia and U.S. Territories) in meeting the Uniform and Nondiscriminatory Election Technology and Administration Requirements in Title III of the Act (voting systems standards, provisional voting and voting information requirements, computerized statewide voter registration list requirements and requirements for voters who register by mail) and, under certain circumstances, for other activities to improve the administration of Federal elections.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Formula Grants

USES AND USE RESTRICTIONS:

HAVA Section 251(b) provides that these funds are to be used only to meet the requirements of HAVA Title III, except that States may use the payments to carry out other activities to improve the administration of elections for Federal office if the State certifies to EAC that: (1) the State has implemented the requirements of Title III; or (2) the amount to be expended with respect to such other activities does not exceed an amount equal to the minimum requirements payment amount applicable to the State. Section 251(c) also explains that a State may use a requirements payment: (1) as a reimbursement for costs incurred in obtaining voting equipment which meets the requirements of section 301 (voting systems standards) if the State obtains the equipment after the regularly scheduled general election for Federal office held in November 2000, notwithstanding the Act's maintenance of effort requirements; and (2) for any costs for voting equipment which meets the requirements of section 301 that were incurred pursuant to a multi-year contract on or after January 1, 2001, except that the amount that the State is otherwise required to contribute under the maintenance of effort requirements must be increased by the amount of the payment made with respect to such multiyear contract. Funds are available until expended.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Section 253(d) provides that States may not file a statement of certification to receive a requirements payments until the expiration of a 45-day period (or, in the case of a fiscal year other than the first fiscal year for which a requirements payment is made to the State, a 30-day period) that begins on the date the EAC publishes the State plan in the Federal Register. State, or designee, in consultation with the chief State election official, to file with EAC a statement certifying that the State is in compliance with the conditions set forth in Section 253(b). The State may meet this certification requirement by filing the following statement: hereby certifies that it is in compliance with the requirements referred to in To receive funds for a fiscal year, HAVA Section 253 requires the chief executive officer of the State, or designee, in consultation with the chief State election official, to file with EAC a statement certifying that the State is in compliance with the conditions set forth in Section 253(b). For the purpose of this requirement, the chief State election official is the individual designated by the State under section 10 of the National Voter Registration Act of 1993 (42 U.S.C. 1973gg-8) to be responsible for coordination of the States responsibilities under such Act. Section 253(b) requires the State to: have filed with EAC a State plan covering the fiscal year that the State certifies: contained each of the elements required to be in the State plan, according to HAVA Section 254, including how the State will establish a State Election Fund in accordance with Section 254(b); was developed in accordance with Section 255, which describes the process of using a committee of appropriate individuals, including the chief election officials of the two most populous jurisdictions, other local election officials, stake holders (including representatives of groups of individuals with disabilities), and other citizens to develop the plan; and met the 30-day public notice and comment requirements of Section 256. have filed with the EAC a plan for the implementation of the uniform, non-discriminatory administrative complaint procedures required under Section 402 (or has included such a plan in the State plan), and have such procedures in place. If the State did not include such an implementation plan in the State plan, the Federal Register publication and the committee development requirements of Sections 255(b) and 256 apply to the implementation plan in the same manner as they apply to the State plan. be in compliance with each of the following federal laws: The Voting Rights Act of 1965; The Voting Accessibility for the Elderly and Handicapped Act; The Uniformed and Overseas Citizens Absentee Voting Act; The National Voter Registration Act of 1993; The Americans with Disabilities Act of 1990; and The Rehabilitation Act of 1973. to the extent that any portion of the requirements payment is used for activities other than meeting the requirements of title III, have provided that: the State's proposed uses of the requirements payment are not inconsistent with the requirements of title III; and the use of the funds under this paragraph is consistent with the requirements of Section 251(b); have appropriated funds for carrying out the activities for which the requirements payment is made in an amount equal to 5 percent of the total amount to be spent for such activities (taking into account the requirements payment and the amount spent by the State) and, in the case of a State that uses a requirements payment as a reimbursement for

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voting equipment under Section 251(c)(2), an additional amount equal to the amount of such reimbursement.

Beneficiary Eligibility:

State; Fifty States, the District of Columbia, American Samoa, Guam, Puerto Rico, and the U.S. Virgin Islands.

Credentials/Documentation:

Qualifying jurisdictions must follow the procedures set forth in the Help America Vote Act, 42 U.S.C. 15401 et. seq., entitled Requirements Payments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HAVA Section 253 requires the chief executive officer of the section 253(b) of the Help America Vote Act of 2002. (with the blank to be filled in with the name of the State involved). In addition, the General Services Administration (GSA), which disburses the Title II requirements payments to States on behalf of EAC, requests that a representative from each State contact Sharon Pugh (Sharon.Pugh@GSA.gov) or Brad Farris (Brad.Farris@GSA.gov) on (816) 823-3108 to provide information on State contact points, including name, telephone number, address and email address. GSA then contacts the State representatives to obtain banking information required for an Electronic Funds Transfer (EFT).

Award Procedure:

Based upon the certification statement filed by the State, EAC notifies GSA that a State is due receipt of its Title II payment for a particular fiscal year (i.e., either FY 2003 funds, FY 2004 funds, or both). GSA disburses the Title II funds for a particular fiscal year to the account specified by the State, and notifies the State and EAC of the disbursement in writing, specifying the amounts disbursed by fiscal year. EAC provides separate notification to States specifying the amount to be disbursed by GSA. All funds are disbursed via EFT.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. All awards have been approved, but not all funds have been disbursed

Appeals:

Not Applicable. n/a

Renewals:

Not Applicable. n/a

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Available until requested. Method of awarding/releasing assistance: lump sum.

Reports:

Annual FFR

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 HAVA Title IX, Section 902, authorizes EAC to audit or examine books, documents, papers and records of any recipient that are deemed pertinent to the payment; stipulates that the provision applies to all recipients of payments under the Act; requires that all funds provided under the Act are subject to mandatory audit by the Comptroller General at least once during the lifetime of the program, with the same access to records as EAC; and requires that, if the Comptroller General determines that an excess payment has been made or the recipient is not in compliance, the recipient must pay the office that made the payment an amount that reflects the excess payment or the proportion representing noncompliance.

Records:

HAVA Title IX, Section 902, requires recipients of payments under the Act to keep records consistent with sound accounting principles to facilitate an effective audit and stipulates that the provision applies to all recipients of payments under the Act.

Account Identification:

95-1651-0-1-808.

Obligations:

Formula Grants FY 15 Not Available; FY 16 Not Available; and FY 17 Not Available;

Range and Average of Financial Assistance:

500000-27000000

TAFS Codes:

95-1651.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. none

Headquarters Office:

Acting Grants Director Mark Abbott,

1335 East-West Hwy, Suite 4300,

Silver Spring MD 20910

Email: mabbott@eac.gov

Phone: 202-566-2166

Website Address:

<http://eac.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

90.404 2018 HAVA Election Security Grants

HAVA Election Security Grants,

FEDERAL AGENCY:

ELECTION ASSISTANCE COMMISSION

AUTHORIZATION:

Help America Vote Act, P.L. 107-252, Title I, Section 101, Statue 116-1668-1673,

Public Law 107-252, 42 USC Code 15301-15303.

OBJECTIVES:

As authorized under Section 101 of the Help America Vote Act of 2002 (Public 107-252) and provided in the Consolidated Appropriations Act, 2018 (Public Law 115-141), the funds are to be used to Improve the administration of elections for Federal office, including to enhance election technology and make election security improvements

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

States may use the funds, consistent with the requirements of the Help America Vote Act, to replace voting equipment that only records a voter's intent electronically with equipment that utilizes a voter-verified paper record; implement a post-election audit system that provides a high-level of confidence in the accuracy of the final vote tally; upgrade election-related computer systems to address cyber vulnerabilities; facilitate cyber security training; implement election systems cybersecurity best practices; and fund other activities that will improve the security of elections for Federal office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

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hospitals); Assistance is to be used to improve the administration of elections for federal office, including enhancing election technology and making election security improvements.

Beneficiary Eligibility:

State; States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam and American Samoa.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States will submit a program narrative and budget.

Award Procedure:

The Election Assistance Commission will issue a Notice of Grant Award and review applicant program narratives and budgets for improving security of election systems. Upon award, states can request their funds from EAC. All funds are disbursed via EFT.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. N/A

Appeals:

Not Applicable. N/A

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 5%. Requirement: Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for five years. Method of awarding/releasing assistance: lump sum.

Reports:

An annual progress report is due by December 31 each year for the period October 1 through September 31 of the preceding year. A Federal Financial Report, SF-425, is due by December 31 each year for the period October 1 through September 31 of the preceding year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 HAVA Title IX, Section 902, authorizes EAC to audit or examine books, documents, papers and records of any recipient that are deemed pertinent to the payment; stipulates that the provision applies to all recipients of payments under the Act; requires that all funds provided under the Act are subject to mandatory audit by the Comptroller General at least once during the lifetime of the program, with the same access to records as EAC; and requires that, if the Comptroller General determines that an excess payment has been made or the recipient is not in compliance, the recipient must pay the office that made the payment an amount that reflects the excess payment or the proportion representing noncompliance.

Records:

HAVA Title IX, Section 902, requires recipients of payments under the Act to keep records consistent with sound accounting principles to facilitate an effective audit and stipulates that the provision applies to all recipients of payments under the Act.

Account Identification:

95-1651-0-1-808.

Obligations:

Formula Grants FY 17 \$0.00; FY 18 est \$380,000,000.00; and FY 19 Not

Available;

Range and Average of Financial Assistance:

\$600,000 - \$34,560,000

TAFS Codes:

95-1651.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

mark abbott,
1335 East-West Highway, Suite 4300,
Silver Spring MD 20910
Email: mabbott@eac.gov
Phone: 202-566-2166

Website Address:

<http://www.eac.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

UNITED STATES AGENCY FOR GLOBAL MEDIA, BBG

90.500 International Broadcasting Independent Grantee Organizations

FEDERAL AGENCY:

UNITED STATES AGENCY FOR GLOBAL MEDIA, BBG

AUTHORIZATION:

Public Laws: 103-236 as amended, , Public Law 103-236, 22 USC Code 6201.

OBJECTIVES:

To promote freedom and democracy and enhance understanding through multimedia communication of accurate, objective, and balanced news, information, and other programming about America and the world to audiences overseas.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Funding supports the operations of the grantee organizations. The annual appropriation process provides funds and restrictions on fund usage would be identified in the appropriation and authorization law, and in yearly grant agreements each grantee signs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Grants are currently only available to three existing nonprofit organizations, Radio Free Europe/Radio Liberty, Radio Free Asia, and Middle East Broadcasting Networks as identified in authorizing or appropriations language. Grants are not available to State or local governments or the general public.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Direct beneficiaries of these grants are three nonprofit entities based and incorporated in the United States. The grants allow these three United States-based entities to provide an objective and balanced alternative source of news, opinion, and information related to the U.S. and U.S. policy to overseas populations. Secondary beneficiaries of these grants are overseas listeners who benefit from an

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alternative news source that provides objective information.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The grantees submit resource requirements to the BBG for inclusion in the development of the BBG budget estimates. The BBG consolidates this data into the BBG budget submission to OMB and Congress. Upon Congressional approval of the current year appropriation, the grantees submit an annual financial plan described in Reports section.

Award Procedure:

At the beginning of the fiscal year, the BBG and the grantee sign an annual grant agreement which thereby grants funds for the planning and operating expenses related to international broadcasting for each grantee. BBG and the grantees also sign grant amendments prepared throughout the fiscal year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. The three organizations currently receiving grants may continue to receive funding through the annual appropriation process for each fiscal year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grantees receive yearly grants and must spend the money in the year awarded unless given permission by the Board of Governors to carry money into the following fiscal year. Method of awarding/releasing assistance: other

Reports:

The Grantees submit monthly reports that to the Governors of the Broadcasting Board of Governors. These reports include updates on programming initiatives, program reviews, research, human resources issues, legal status, budget issues, financial reports, security issues and any other items of importance. SF 425 Grantees provide monthly obligation and expenditure reports to the BBG for comparison against the approved financial plan. The Grantees are required to perform yearly program reviews on their language and support services. In addition, the Board conducts its own annual Language Service Review to aid in determining overall resource allocation. These reviews require services to report on a wide variety of performance measures, including: audience, credibility, weekly reach, budget information, signal quality, affiliate information, etc.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Grantees are required to undergo an annual audit.

Records:

All reports described above and business documents such as bid solicitations, evidence of shipment for commodities procured in the United States and procurement and service contracts, shall be maintained for a period of 3 years from the date of the initial submission in a manner that will permit verification of the grantee's compliance with its representations, warranties, and obligations contained in this agreement. If any litigation, claim or audit is started before the expiration of the 3-year period, the records shall be retained until all open matters have been resolved.

Account Identification:

95-0204-0-1-154. 95-0206-0-1-154.

Obligations:

Salaries and Expenses FY 09 \$238,674,000.00; FY 10 est \$245,741,000.00; and FY 11 est \$251,423,000.00;

Range and Average of Financial Assistance:

FY 2009 Range: \$35,919 to \$110,419; Average \$79,558FY 2010 Range: \$37,228 to \$112,601; Average \$81,914FY 2011 Range: \$38,404 to \$117,462; Average \$83,808(in thousands)

TAFS Codes:

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. None.

Headquarters Office:

Karen Larson,,
330 Independence Avenue, SW,
Washington DC 20237
Email: klarson@bbg.gov
Phone: (202) 321-4194.

Website Address:

<http://www.bbg.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

NORTHERN BORDER REGIONAL COMMISSION

90.601 Northern Border Regional Development

Direct Grants,

FEDERAL AGENCY:

NORTHERN BORDER REGIONAL COMMISSION

AUTHORIZATION:

The 2008 Farm Bill, , Statue 122-2230, Public Law 110-346

OBJECTIVES:

The Northern Border Regional Commission is a Federal-State governmental agency concerned with the economic well-being of the citizens, businesses, and communities within a thirty-six (36) county region of Maine, New Hampshire, New York, and Vermont. (12 counties in Maine, 4 counties in New Hampshire, 14 counties in New York, and six counties in Vermont). The region has had chronic and continuous long-term economic distress. The NBRC partnership is made up of a Federal Co-Chair and the Governors of the four participating States.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The following funding categories represent eligible activities for NBRC investment dollars.**TRANSPORTATION INFRASTRUCTURE: Transportation Infrastructure shall mean basic physical structures needed to support and/or create a transportation system; A term connoting the physical underpinnings of transportation systems, including, but not limited to, roads, bridges, rail, port facilities, airports, transit, and sidewalks. In transit systems, all the fixed components of the transit system, such as rights-of-way, tracks, signal equipment, stations, park-and-ride lots, bus stops, maintenance facilities. Regular maintenance or deferred maintenance, such as funding for resurfacing, restoring, rehabilitating and reconstructing will NOT be eligible for funding. Federal roads and bridges will

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NOT be eligible for funding. **TELECOMMUNICATIONS

INFRASTRUCTURE: Broadband and Geographic Information System (GIS) projects (not to include speculative or feasibility-type studies rather, complete and sustainable projects) will be considered. The physical components of interrelated systems providing services essential to enable, sustain, or enhance communications that encourage business growth and development. Applicants should identify gaps in current regional inventory of telecommunication needs. The gap between a community's broadband today (existing infrastructure, including capacity, speed and pricing, and level of adoption) and its needs should define the type, technology, size and scale of the project. ****BASIC PUBLIC INFRASTRUCTURE:** The term Basic Public Infrastructure shall mean water and wastewater facilities, electric and gas utilities, and solid waste landfills - or any structures that aid in the reduction of the need for landfills such as recycling and composting, all of which are fundamental services necessary to promote growth and attract business and which have a useful life of 20 years or more. NBRC investments funds will NOT be used for projects deemed to be Maintenance or Deferred Maintenance. Maintenance shall mean the work of keeping something in proper condition or upkeep. Deferred Maintenance shall mean to put off, postpone, or procrastinate the act of maintenance. ****BUSINESS AND WORKFORCE DEVELOPMENT:** Business Development shall mean those areas of business development which include entrepreneurship, the creation of new businesses or the retention or expansion of existing businesses in the local communities, and are deemed eligible, projects shall document job-creation or job-retention. Funding of start-up projects, such as business incubators or industrial parks, must have committed business tenants. Expansion of existing business incubators and industrial parks are also allowed. Business Incubator projects must document that a need exists, per standards of research described by the International Business Innovation Association. A plan should also be developed to coincide with standards and operations as set forth by the International Business Innovation Association. Business Incubation shall mean a business support process that accelerates the successful development of start-up and fledgling companies by providing entrepreneurs with an array of targeted resources and services. Workforce Development shall mean job training or employment-related education for a specific employer to fill immediate job openings or retain current jobs which are documented as such by that specific employer. Delivery of such training shall be through the use of existing public educational facilities (EPEFs) located in the Region. However, if, the applicant demonstrates (1) sufficient information demonstrating an EPEF's inability (as example, insufficient capacity, curriculum, and/or accessibility) to satisfy the defined need, and the applicant (2) demonstrates a compelling need to use a non-EPEF for such training, then the Federal Co-Chairman can waive this requirement and deem a project eligible. To be deemed eligible, project shall document job-creation or job-retention. Other than for Workforce Development projects, as described above, start up or ongoing, operating costs (including, but, not limited to, salaries, vehicles, equipment, and maintenance) will NOT be deemed eligible. **BASIC HEALTH CARE:** The cost of basic health care facilities, such as clinics, will be eligible. Operating costs of facilities will NOT be deemed eligible. **RESOURCE CONSERVATION, TOURISM, RECREATION:** While easements and land purchases are eligible under the federal criteria, an economic development case needs to be made to substantiate the use of funds. Construction projects that promote resource conservation, tourism, recreation, and preservation of open space, such as access roads, bridges, signage, etc., are eligible projects. All projects must also be consistent with the economic development goals of the region. ****RENEWABLE AND ALTERNATIVE ENERGY:** Renewables are generally defined as any naturally occurring, theoretically inexhaustible source of energy, such as biomass, solar, wind, tidal, wave, and hydroelectric power, that is not derived from fossil or nuclear fuel. Facilities that are owned by a for profit entity will NOT be eligible. Publicly owned and non-profit entities are eligible.

Applicant Eligibility:

Government - General; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Native American Organizations (includes Indian

groups, cooperatives, corporations, partnerships, associations); No additional information.

Beneficiary Eligibility:

Anyone/general public; No additional information.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. No Pre-application is required, however, a Letter of Intent to Apply is highly recommend.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No additional procedures.

Award Procedure:

The application may vary from year to year. Review application Program Manual and other materials at www.nbrc.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. No other approval information.

Appeals:

Not Applicable. None

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. By statute (40 U.S.C. 15702) the NBRC is required to annually assess the level of economic and demographic distress among the 36 counties in its service area. Assessing distress is important as the resulting designations reflect whether or not the NBRC can provide grants within a county as well as what level of match is required of NBRC funded projects. Counties are designated as either: Distressed - and are eligible for 80% funding and require a 20% match Transitional - and are eligible for 50% funding and require a 50% match Attainment - and are not eligible for funding expect for certain circumstances. In general, NBRC funds may not be provided to projects located within a designated Attainment County. However, if a municipality is distressed or the project has a greater effect on distressed and transitional counties surrounding it, there is a possibility that it could be an eligible project see the Program Director. www.nbrc.gov MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant agreement stipulates the time period for completion of the project. All projects must be completed within three years from the date of the grant award. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit Quarterly Reports using the SF-PPR for the following dates: Reporting Period: October 1 - December 31 - Report Due January 31 Reporting Period: January 1 - March 30 - Report Due April 30 Reporting Period: April 1 - June 30 - Report Due July 31 Reporting Period: July 1 - September 30 - Report Due October 30 Recipients are required to submit Financial Form SF-425. The financial report is due on October 30 of each federal fiscal year (October 1 to September 30) and 45 days after the close of the project. Recipients are required to submit Government Performance and Results Act (GPRA) (Pub.L. 10362) report at the close of the project and three years after the close of the project. When submitting Requests for Reimbursement, grantee must furnish accompanying back-up documentation. See Program Reports comments previously. Recipients are required to submit Financial Form SF-425. The financial report is due on October 30 of each federal fiscal year (October 1 to September 30) and 45 days after the close of the project. As outlined in the GPRA

Audits:

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In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Following 2CFR200.

Records:

Project files should be saved for a period of three years from project close out.

Account Identification:

57-3374-2-0-000.

Obligations:

Project Grants FY 15 \$5,000,000.00; FY 16 est \$7,500,000.00; and FY 17 Not Available;

Range and Average of Financial Assistance:

Range up to 250,000 Average \$182,000

TAFS Codes:

95-3742.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See www.nbrc.gov

Regional or Local Office:**Headquarters Office:**

Federal Co-Chair Mark Scarano,
53 Pleasant Street, Suite 3602,
Concord NH 03301
Email: admin@nbrc.gov
Phone: 603-369-3001

Website Address:

<http://www.nbrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each State scores the applications received within their state independently. Generally, each state creates a team of reviewers and in addition have access to a group of consultants as referrals who have specialized knowledge on scientific or technical expert opinion to provide guidance when needed. Final decisions about awards are approved jointly between the Federal Co-Chair and the Governors Alternates (the five members that make up the Northern Border Regional Commission). The scoring system for each state is provided below. If the application is for a multi-state project, each state will score the application independently. While States will consult with one another in order to strive for consistency, a multi-state application may be awarded in one state and not in another.

UNITED STATES INSTITUTE OF PEACE

91.005 Priority Grant Competition

FEDERAL AGENCY:

UNITED STATES INSTITUTE OF PEACE

AUTHORIZATION:

Executive Order Authorization Act of 1985, Title XVII, Section 1701, United States Institute of Peace Act; Department of Defense Act, Title XVII, Section 1701, Statue 98-2492,2649, Public Law 98-525, 22 USC Code 1701-1712.

OBJECTIVES:

USIP grants increase the breadth and depth of the Institutes work by supporting peacebuilding projects managed by nonprofit organizations including educational institutions, research institutions, and civil society organizations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

USIP assistance may be used to support research, education, training, Track Two, unofficial diplomatic initiatives, and other peacebuilding projects, such as mediations and dialogues, undertaken by scholars and practitioners working at academic institutions, nongovernmental organizations and other nonprofit agencies both in the United States and around the world. It will advance understanding of and promote impactful projects on themes that span the full life cycle of conflict from early warning and conflict prevention, to negotiation, mediation and conflict management, to transitional justice, disarmament, demobilization and reintegration (DDR), and post-conflict peacebuilding.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Nonprofit or public institutions are eligible to apply. USIP does not accept applications from individuals who are not affiliated with an eligible institution. Funding is not available for degree work (payment of tuition fees or support for M.A. or Ph.D.-related work). Inquiries for dissertation research support should be directed to USIP's Jennings Randolph Peace Scholar Dissertation Program. Applications that list as participants, consultants, or project personnel members of USIPs Board of Directors, staff or fellows will not be accepted. For additional questions regarding eligibility, please contact GrantsAdministration@usip.org. USIP does not fund grant proposals of a partisan political nature or proposals that would inject the grantee or USIP into the policy processes of the United States government or any foreign government or international organization. In addition, in accord with the United States of Peace Act, Section 1709 (b), USIP will not use political tests or political qualifications in selecting or monitoring any grantees. Projects that lead to policy recommendations for governments, international organizations, or nongovernmental organizations are welcome and encouraged, although such recommendations will be those of the grantee and not USIP. USIP does not provide support for the creation of a new organization, construction or maintenance purposes, direct social services, or microenterprise projects, nor does it provide funding to government agencies or to individuals working for government agencies. All application materials must be in English unless specified otherwise in the specific call for applications.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; U.S. Citizen; Public nonprofit institution/organization; Other public institution/organization; N/A

Credentials/Documentation:

Please see USIP Website for information on eligibility and application process. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All assistance offered by USIP is subject to USIP's General Grants Provisions. Applicants can view any current grant opportunities at the USIP website: <https://www.usip.org/grants-fellowships/grants>

Award Procedure:

Please refer to USIP's website for more information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are typically one to two years in duration, however, short- and long-term undertakings are also appropriate. Funds are released according to the schedule negotiated between USIP and the grantee. All funds must be spent or obligated within the established grant period. Method of awarding/releasing assistance: quarterly

Reports:

Grant recipients are required to submit interim narrative reports according to a schedule established in the grant document and a final report at the end of the grant period. Grant recipients are required to submit interim financial reports according to a schedule established in the grant document and a final financial report at the end of the grant period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

All non-federal government agencies and nonprofit organizations that expend \$750,000 or more in federal awards in a given fiscal year are required to conduct a single audit, also known as a Single Audit or "Subpart F Audit." Financial records, supporting documentation, statistical records, and all other records pertinent to any USIP grant shall be retained by the grantee for three years following the submission of the final report of project expenditures. Both the United States General Accounting Office and the President of the Institute or any of his authorized representatives shall have access to any pertinent books, documents, papers, and records of the grantee and such subrecipients as are involved.

Account Identification:

95-1300-0-1-153.

Obligations:

Project Grants FY 20 \$1,175,191.00; FY 21 est \$1,199,999.00; FY 22 est \$1,199,999.00;

Range and Average of Financial Assistance:

Range \$75,000 - \$350,000. Average ~\$100,000.

TAFS Codes:

95-1300.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Senior Grants & Contracts Specialist Shauna Eisenberg,
2301 Constitution Ave. NW,
Washington DC 20037
Email: seisenberg@usip.gov
Phone: 2024293841

Website Address:

<http://www.usip.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

USIP is committed to reviewing all grant applications in a thorough, rigorous, and

expeditious manner. USIP grant competitions generally require applicants to first submit a concept note that briefly outlines their proposed project. All concept notes must be submitted via the Institutes online grants management system. (Please consult the specific grant opportunity for instructions on how to register on this system). All concept notes must be submitted by the grant opportunity deadline. USIP staff will review the concept notes and notify applicants as to whether their project idea will be moving ahead in the process. Applicants whose concept notes are selected will be invited to submit a detailed full application. Once USIP has received a completed application, it undergoes a rigorous, confidential review by USIP staff and external subject matter experts. USIPs Board of Directors makes the final decision on funding for grants.

HEALTH AND HUMAN SERVICES, DEPARTMENT OF

93.007 Public Awareness Campaigns on Embryo Adoption

Embryo Donation/Adoption,

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

This program is authorized by Section 1704 of the Public Health Service Act, 42 U.S.C. Section 300u-3., Title Public Health Service, Part , Section 1704, 42 U.S.C. USC Code Section 300u-3. Public Law 116-260

OBJECTIVES:

To increase public awareness of embryo adoption as a method of family building and to provide services to infertile individuals so as to facilitate their use of embryo donation/adoption as a method of family building. To identify innovative ways to increase access to embryo donation/adoption services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This assistance can be used in two ways: 1) to create programs which increase the public awareness of, and knowledge about, embryo donation/adoption as a method of family building, and/or 2) to provide services, such as counseling, which facilitate the use of embryo donation/adoption as a method of attaining the desired number and spacing of children.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; Government - General; State; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include public agencies, non-profit organizations and for-profit organizations. Only entities, and not individuals, are eligible to apply. Faith-based and community-based organizations meeting the eligibility requirements may apply or they may be included as co-participants, sub-grantees, subcontractors, or collaborators.

Beneficiary Eligibility:

Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; The beneficiaries for this program are potential donors and/or recipients of frozen embryos.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with 45 C.F.R. part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

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is not required for this program. This program is excluded from coverage under E.O. 12372. Continuation applications are due on July 1, 2021.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply, applicants must access the announcement thru www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will be deemed ineligible and not reviewed. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Final award recommendations will be made by the Deputy Assistant Secretary for Population Affairs to the Grants Management Officer, using information from the independent review panel and the Federal staff reviews. Following a risk assessment as required by 45 CFR part 75, the Grants Management Officer may issue awards. Funded organizations receive a Notice of Award that includes all of the details of their award, and are assigned to a project officer from OPA and a Grants Management Specialist from the OASH Grants and Acquisitions Management Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable. All award decisions are final, including the level of funding, and may not be appealed.

Renewals:

>180 Days. Grantees apply for their non-competing continuation application 90 days prior to the end of the budget period. Guidance for applying for the non-competing continuation application is provided to the grantee by OPA. Awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance and whether the continued funding of the program is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are funded annually for a project period of up to five years. Funding is dependent on availability of funding, satisfactory performance, and best interests of the government. Method of awarding/releasing assistance: other

Reports:

Grantees will submit semi-annual or quarterly progress reports. Grantees submit a non-competing continuation application within 90 days before the start of the next project period. A final progress report covering the entire project period is due 90 days after the end of the project period. Cash reporting to the HHS Payment Management System on the FFR is required via the SF-425. These reports are due 30 days after the end of each quarter of the federal fiscal year. Grantees will submit semi-annual or quarterly progress reports. A final progress report covering the entire project period is due 120 days after the end of the project period. Quarterly cash reporting to the HHS Payment Management System on the FFR is also required. Please note these FFR reports are separate submissions via the Division of Payment Services. At this time, data is not transferable between the two systems and you will report twice on certain data elements. Grantees will submit performance measure data on a semi-annual basis within their progress reports.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

Project Grants FY 20 \$785,000.00; FY 21 est \$850,000.00; FY 22 est \$850,000.00;

Range and Average of Financial Assistance:

Funded grants range from \$200,000-\$300,000 per year.

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Three grantees funded to provide embryo adoption awareness services nationwide. Fiscal Year 2021: Three grantees funded to provide embryo adoption awareness services nationwide.

REGULATIONS, GUIDELINES, AND LITERATURE:

In accepting the grant award, the grantee stipulates that the award and any activities thereunder are subject to all provisions of 45 CFR part 75, currently in effect or implemented during the period of the grant or other Department regulations and policies effective at the time of the award. In addition, recipients must comply with all terms and conditions outlined in their grant awards, the Department of Health and Human Services (HHS) Grants Policy Statement, requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts.

Regional or Local Office:

None.

Headquarters Office:

Amy Margolis,
1101 Wootton Parkway, Suite 200,
Rockville MD 20852

Email: Amy.Margolis@hhs.gov

Phone: (240) 453-2820

Scott Moore,

Office of Grants and Acquisition Management 1101 Wootton Parkway, Plaza Level,

Rockville MD 20851

Email: Scott.Moore@hhs.gov

Phone: 2404538822

Fax:

Website Address:

<http://www.opa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Grants with three organizations to provide embryo adoption awareness projects. Visit the OPA website at: <https://www.hhs.gov/opa/grants-and-funding/recent-grant-awards/index.html> for a listing of grantees and an overview of project activities. 2021 Grants with three organizations to provide embryo adoption awareness projects. Visit the OPA website at: <https://opa.hhs.gov/grant-programs/embryo-adoption-awareness-eaa/current-eaa-grantees> for a listing of grantees and an overview of project activities. 2022 Grants with multiple organizations to provide embryo adoption awareness projects.

CRITERIA FOR SELECTING PROPOSALS:

An independent review panel evaluates applications that pass the screening and

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meet the responsiveness criteria if applicable. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies. Based on the Application Review Criteria as outlined under Section V.1, the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance.

93.008 Medical Reserve Corps Small Grant Program

(MRC).

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Sections of t311(c) (1), 2811 and 2813 of the Public Health Service (PHS) Act, OBJECTIVES:

To support the development of Medical Reserve Corps (MRC) units in communities throughout the United States to: (1) increase capacity at the community level to respond during emergencies which have medical consequences, and (2) improve public health in communities through volunteerism on an ongoing basis.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for establishment of community-based, citizen volunteer Medical Reserve Corps. Funds may be used for organizing, volunteer recruitment, assessment of risks and vulnerabilities, strategy development, planning, training, drills/practice, supplies and equipment.

Applicant Eligibility:

State; U.S. Territories and possessions (includes institutions of higher education and hospitals); Other private institutions/organizations; Other public institution/organization; Project A- Public and Private Community Health programs. Project B- State, Territory, freely associated state, and the District of Columbia. Reference Funding Opportunity Announcement

Beneficiary Eligibility:

Anyone/general public; Public nonprofit institution/organization; General public.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. Costs will be determined in accordance with 45 CFR 75/ 2 CFR 200. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Final award decisions will be made by the Director of the Office of the Civilian Volunteer Medical Reserve Corps. In making this decision, the Director will fund one cooperative agreement and will consider: (1) recommendations of the review panel; (2) reviews for programmatic and grants management compliance; (3) the reasonableness of the estimated cost to the government considering the available funding and anticipated results; and (4) the likelihood that the proposed project will result in the expected benefits.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This Cooperative Agreement will have a project period not to exceed 3 years, funds will be allotted on 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedures will be provided at the time of the award. Method of awarding/releasing assistance: quarterly

Reports:

Progress reports are required monthly, quarterly and annually. A final performance report is due 90 days following the end of the project period. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. As of February 1, 2011, the Department of Health and Human Services (DHHS) will begin the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. 1. Grantees must submit quarterly Federal Financial Reports due on one of the standard due dates by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. 2. Also, grantees must submit an annual Federal Financial Report due 90 days after the end of the budget period/project period end date. 3. Reports are to be submitted electronically through GrantSolutions. In addition to the required quarterly progress reports, a final performance report is due 90 days following the end of the project period. In addition to the required progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200 PART F "Audit of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt as noted in 2 CFR 200 PART F. In addition, grants and cooperative agreements are subject to inspection and audits by HHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit finding(s).

Account Identification:

75-0120-0-1-551.

Obligations:

Cooperative Agreements FY 19 Not Available; FY 20 Estimate Not Available; FY 21 Estimate Not Available; Cooperative Agreements FY 19 \$2,000,200.00; FY 20 est \$2,000,200.00; FY 21 Estimate Not Available; Cooperative Agreements FY 19 \$2,000,200.00; FY 20 est \$3,200,000.00; and FY 21 est \$3,200,000.00;

Range and Average of Financial Assistance:

One Cooperative Agreement awarded up to the amount of \$1,600,000 and 20 Cooperative Agreements awarded up to the amount of \$80,000,000 in Year 1.

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Moji ObiakoRegional LiaisonMedical Reserve Corps ProgramPhone 206-615-2025moji.obiako@hhs.gov

Headquarters Office:

Chief Grants Management Officer Virginia Simmons,
200 C. St. O'Neill Bldg.,
Washington DC 20024

Email: virginia.simmons@hhs.gov
Phone: 202-260-0400

Website Address:

<https://www.medicalreservecorps.gov/HomePage>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) Implementation plan which describing linkages to existing community resources, the role the Medical Reserve Corps will most likely have, and the time frame for planning and implementation; 2) Management plan describing the organization's capabilities; 3) Evaluation plan describing program goals and how those goals will be assessed; 4) Supporting documentation detailing how the Medical Reserve Corps is connected to a Citizen Corps Council; and 5) Background on the adequacy of demonstrated knowledge of emergency medical response/care systems and utilization of volunteers.

93.011 National Organizations of State and Local Officials

NOSLO,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 311(a) of the Public Health Service (PHS) Act, as amended., Title , Section

OBJECTIVES:

The purpose of the HRSA NOSLO cooperative is to support technical assistance, promotion of best practices and innovative solutions to emerging and ongoing national health priorities such as communicable disease prevention and suppression, childhood obesity, substance use disorders and/or mental health. Award recipients preserve and improve public health by: (1) strengthening the organizational capacities of state and local health departments, state Primary Care Offices (PCOs), state Offices of Rural Health (SORHs), small rural hospitals and clinics, state and local Ryan White HIV/AIDS Program (RWHAP) entities, state Medicaid agencies, and state legislatures through information exchanges and learning communities that support quality improvement and innovative public and private sector initiatives;(2) developing technical assistance materials and training activities that can be used by states, local governments, and their political subdivisions to benefit HRSA award recipients such as health centers, state maternal and child health programs, health professions training programs, state and local RWHAP entities, and state and local public health agencies/entities providing services to underserved communities; and (3) engaging national organizations representing state and local officials to provide education, training and technical assistance to promote integration of primary care, behavioral health, and public health initiatives for their constituencies. By promoting collaboration and partnership among major stakeholder organizations representing state and local public health officials and other HRSA award recipients, this cooperative agreement helps develop and promote public and private sector strategies to improve access to quality services and help to build a skilled health workforce, key

tenets of HRSA's mission and in support of the Secretary's key principles of service and sustainability. Program Expectations: The NOSLO award recipients will carry out initiatives which: advise states and their political subdivisions in the prevention and suppression of communicable diseases to carry out public health activities, including establishing and maintaining adequate public health services to protect and improve population health; focus on health promotion and prevention of disease, illness, and psychosocial problems through quality improvement activities at the state, local, and practice levels using mechanisms such as resource sharing, data collection and analysis of outcome measures and documentation of benefits across sites; support the organizational capacity for state primary care offices/associations, health centers, and other HRSA award recipients to address the education and training needs of the core safety net provider workforce, including coordination efforts associated with emergency preparedness and response; help states and local government entities to address the needs of uninsured, underserved and special needs populations in states and local areas, including improving access to quality care; create useful, effective forms of collaboration with partners, within and beyond the health sector, to facilitate opportunities for program development and implementation of initiatives that support vulnerable and underserved populations; promote workforce development in state and local public health agencies; and increase collaborative efforts with federal/state supported resources with a shared goal to improve the capacity and efficiency of the public health and health care systems by leveraging resources to avoid duplication of efforts. Core Functions: The NOSLO program will address three core functions related to the program purpose and expectations: (1) training and technical assistance (T/TA) in program management and operational support; (2) policy, program development/analysis and information exchange; and (3) collaboration, coordination and promoting partnerships. Applicants must outline specific activities under each required core function targeted to strengthening the organizational capacities of state and local health departments, their political subdivisions, and HRSA award recipients. The projects will also address program priorities for the U.S. Department of Health and Human Services, such as the implementation of the Healthy People 2030, and the evolving health care landscape at the national, state and local levels. This includes projects that can assist states and local governments to achieve improved health outcomes for underserved populations and better services and value for state programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program will support national organizations that represent the following groups: State/Territorial Health Department Officials, Local County and City Health Department Officials, State Medicaid Directors, and State Legislatures to address cross-cutting, publicly-funded health program integration and health access issues identified by the states and local governments and entities. Specifically, under the NOSLO program, each award recipient will be responsible for carrying out activities tailored to its own constituency's needs (i.e., its target audience). The NOSLO cooperative agreements are multi-faceted and include opportunities for policy information exchange and collaboration, as well as for providing training and technical assistance to state and local governments and their political subdivisions, health centers, other HRSA grantees, and other health care entities to address the needs of the underserved and targeted populations.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Quasi-public nonprofit institution/organization; Eligible applicants include nonprofit service and/or membership organizations that can provide training and technical assistance on a national level to strengthen the infrastructure capacities of states and local government entities. Applicants must be national in scope with a broad reach, and have established long-term relationships with at least one of the following groups: state and local health departments; state government entities such as state PCOs, SRHAs, and SORHs; state Medicaid Offices; state policymakers; state legislatures; and local county and city government entities.

Beneficiary Eligibility:

Federal; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Private nonprofit institution/organization; Quasi-public nonprofit organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Intrastate; Black; American Indian; Spanish

ASSISTANCE LISTINGS DESCRIPTIONS

Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Local; Public nonprofit institution/organization; Other public institution/organization; Eligible beneficiaries include the following entities: Federal; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Other public institution/organization; Non-Governmental General; Minority group; Specialized group (e.g., health professionals, students, veterans); Small business (less than 500 employees); Private nonprofit institution/organization; Quasi-public nonprofit institution/organization; Anyone/general public; Native American Organizations; Health professional; Black; American Indian; Spanish origin; Asian; Other non-white; Migrant; U.S. citizen; Refugee/Alien; Veteran/Service; Person/Reservist (including dependents); Women; Disabled (ie., Deaf, Blind, Physically Disabled).

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

A Notice of Award, signed by the Grants Management Officer, is sent via e-mail to the applicant's Authorized Organization Representative.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Consult the Notice of Funding Opportunity for the period of support. Method of awarding/releasing assistance: other

Reports:

Refer to the Notice of Funding Opportunity for additional information. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The award recipient must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrsc_hedule.pdf. A final report is due within 90 days after the project period ends. If applicable, the award recipient submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative

agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation award recipient, etc. may be subject to this requirement and will be so notified in the Notice of Award

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Refer to the Notice of Funding Opportunity for information.

Records:

Grantees are required to maintain accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,218,024.00; FY 21 est \$2,974,611.00; FY 22 est \$2,693,024.00;

Range and Average of Financial Assistance:

In FY 2021, approximately \$2,974,611 is expected to fund three (3) recipients. Applicants will receive between \$922,336 and \$1,065,416 in total costs (includes both direct and indirect/facilities and administrative costs).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In the first project year, more than 25 webinars and publications, such as toolkits, policy briefs, and blog posts, relevant to HRSA programs, were released and distributed to stakeholders through the NOSLO Program. Recent NOSLO products include: A blog post on how states are preparing to incorporate children into their COVID-19 vaccine distribution plans; A webinar highlighting state policy options to enhance access to long-term services and supports (LTSS) and keep older adults and people with disabilities safe during the COVID-19 pandemic; A case study on how Rhode Island is using peers to provide substance use disorder treatment in their emergency departments, and a webinar on engaging community health workers in emergency response; and A journal supplement highlighting work being undertaken by preventive medicine residents funded through BHWs Preventive Medicine Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Diba Rab,
5600 Fishers Lane Room 14W05C,
Rockville MD 20857
Email: drab@hrsa.gov
Phone: 3014432513
Fax: 3014432286

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.528 National Forum for State and Territorial Chief Executives; 93.129 Technical and Non-Financial Assistance to Health Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ASSISTANCE LISTINGS DESCRIPTIONS

Review Criteria are outlined in the Notice of Funding Opportunity.

93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations

(Technical Assistance and Provision for Foreign Hospitals and Health Organizations),

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

The Omnibus Appropriations Act, 2009, appropriated funds to HHS for carrying out Title III of the Public Health Service Act (PHS Act). Public Law 111-8, 123 Stat. 524, 777 (March 11, 2009).b. Pursuant to Section 307(a)(2) of the PHS Act, 42 U.S.C. Section 242l(a)(2), HHS is authorized to participate with other countries in cooperative endeavors in biomedical research, health care services, health care research, or other related activities in furtherance of the activities, objectives or goals authorized under the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008 (PEPFAR Reauthorization Act). The purpose of the PEPFAR Reauthorization Act, 22 U.S.C. Section 7603, is to strengthen and enhance United States leadership and the effectiveness of the United States response to the HIV/AIDS, tuberculosis, and malaria pandemics and other related and preventable infectious diseases as part of the overall United States health and development agenda., , 42 USC Code 242l(a)(2).

OBJECTIVES:

To provide support for a quality of care improvement project based in a partner healthcare institution around the world, to provide continuing education and refresher training to physicians and other staff at hospitals and other Health Organizations around the world, and strengthen support and relations with Ministers of Public Health (MOPH).

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g., diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

Sponsored organization; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; none

Beneficiary Eligibility:

Anyone/general public; Health Professional; Citizens of the world.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All grant announcements are posted on www.grants.gov.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Office of Global Health

Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, and stated preferences.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

>180 Days. Support is recommended for a specified project period (5 years), and is not generally considered for renewal beyond that period.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support. Method of awarding/releasing assistance: quarterly

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Reference FOA for Audit Requirements.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 75, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

Salaries and Expenses FY 18 \$1,760,969.00; FY 19 est \$1,300,000.00; FY 20 est \$1,300,000.00;

Range and Average of Financial Assistance:

\$650,000.00 to \$7,900,000

TAFS Codes:

75-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact: Biomedical Advanced Research and Development Authority (BARDA) Office of the Assistant Secretary for Preparedness and Response U.S. Department of Health and Human Services

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Officer Julie Schafer,
200 Independence Ave., SW.,
Washington DC 20201
Email: Julie.Schafer@hhs.gov
Phone: 202-205-1435
Donna Boston,
200 Independence Ave. SW.,
Washington DC 20024
Email: Donna.Boston@hhs.gov
Phone: 202-823-2087
Fax:
Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according the following criteria: Technical Approach 45 points; Experience and Capabilities of the Organization 45 points; and Facilities and Resources 10 points.

93.041 Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act, Title 7, Section 721

OBJECTIVES:

To support activities to develop, strengthen, and carry out programs for the prevention, detection, assessment, and treatment of, intervention in, investigation of, and response to elder abuse, neglect, and exploitation (including financial exploitation), including: (1) providing for public education and outreach to identify and prevent elder abuse, neglect, and exploitation; (2) providing for public education and outreach to promote financial literacy and prevent identity theft and financial exploitation of older individuals; (3) ensuring the coordination of services provided by area agencies on aging with services instituted under the State adult protection service program, State and local law enforcement systems, and courts of competent jurisdiction; (4) promoting the development of information and data systems, including elder abuse reporting systems, to quantify the extent of elder abuse, neglect, and exploitation in the State; (5) conducting analysis of State information concerning elder abuse, neglect, and exploitation and identifying unmet service, enforcement, or intervention needs; (6) conducting training for individuals, professionals, and paraprofessionals, in relevant fields on the identification, prevention, and treatment of elder abuse, neglect, and exploitation, with particular focus on prevention and enhancement of self determination and autonomy; (7) providing technical assistance to programs that provide or have the potential to provide services for victims of elder abuse, neglect, and exploitation and for family members of the victims; (8) conducting special and on going

training for individuals involved in serving victims of elder abuse, neglect, and exploitation, on the topics of self determination, individual rights, State and Federal requirements concerning confidentiality, and other topics determined by a State agency to be appropriate; (9) promoting the development of an elder abuse, neglect, and exploitation system; (10) examining various types of shelters serving older individuals (in this paragraph referred to as safe havens), and testing various safe haven models for establishing safe havens (at home or elsewhere), that recognize autonomy and self-determination, and fully protect the due process rights of older individuals; and (11) supporting multidisciplinary elder justice activities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are awarded to State Agencies on Aging to develop and enhance comprehensive and coordinated programs for the prevention and treatment of elder abuse, neglect, and exploitation, consistent with relevant State law and coordinated with State adult protective service activities. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted for approval to the Assistant Secretary on Aging. Funds are used to develop, strengthen, and carry out activities for prevention and treatment of elder abuse, neglect and exploitation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Federal; Native American Organizations; Senior Citizen (60+); Individuals 60 years of age and older, targeting those older individuals with the greatest social needs and those with the greatest economic needs.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog).

Award Procedure:

The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging after review and evaluation of submitted state plans.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. States are entitled to these grants by statute. However, the grants can be terminated by the Assistant Secretary for Aging if the required State Plan is not approved, or if the required intrastate funding formula is not approved.

Appeals:

Other. Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

From 60 to 90 days. Applications are submitted for a 2-, 3-, or 4-year period and are revised as necessary.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching

ASSISTANCE LISTINGS DESCRIPTIONS

requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One year. Method of awarding/releasing assistance: other

Reports:

Program reports are required. Submitted through the payment management system, SF-425 Through the appropriate Regional Office.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$4,773,000.00; FY 21 est \$4,773,000.00; FY 22 est \$4,773,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75 and 45 CFR 1321. To assist state efforts in developing comprehensive State Plans, the U.S. Administration on Aging provided technical support funding to the National Association of State Units on Aging to develop the TASC planning zone, which includes information on elder abuse prevention programming: <http://nasua.org/tasc/>

Regional or Local Office:

See Regional Assistance Locations. Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Aiesha Gurley,
330 C Street, SW,
Washington DC 20201
Email: Aiesha.gurley@acl.hhs.gov
Phone: 202-795-7358

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.042 Special Programs for the Aging, Title VII,

Chapter 2, Long Term Care Ombudsman Services for Older Individuals

(State Grants for Long Term Care Ombudsman Services).

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act of 1965, as amended, Section 702 (a)

OBJECTIVES:

The principal role of this Ombudsman Program is to investigate and resolve complaints made by or on behalf of residents of nursing homes or other long-term care facilities. Ombudsmen also promote policies and practices needed to improve the quality of care and life in long-term care facilities and educate both consumers and providers about residents' rights and good care practices.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen service systems through designated State Agencies on Aging, Area Agencies on Aging and other entities. Funds under this Title and Chapter are included in State aging plans covering 2, 3, or 4 years, with annual revisions as necessary, submitted for approval to the Assistant Secretary for Aging. Funds must be used to design and implement programs for the provision of long-term care ombudsman services for individuals living in long-term care facilities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Senior Citizen (60+); Individuals residing in long-term care facilities or requiring assistance in entering or transferring from such facilities.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog for listing).

Award Procedure:

The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. States and territories are entitled to these grants by statute.

Appeals:

Other. Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Other. Applications are submitted for a 2-, 3-, or 4-year period and revised as necessary.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One year. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Financial Report, SF-425 Federal Financial Report,SF-425 as noted in the Program Announcement SF-425 as noted in the Program Announcement

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$17,885,000.00; FY 21 est \$15,855,000.00; FY 22 est \$17,865,000.00;

Range and Average of Financial Assistance:

Additional funding for FY 21 (COVID-19 CARES ACT Funding \$10,000,000.00)

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1324 (renumbered effective July 1, 2016)

Regional or Local Office:

See Regional Assistance Locations. Regional Administrator, Administration for Community Living, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Ombudsman Program Specialist Aiesha Gurley,
Dept of Health and Human Services, Administration for Community Living 330 C Street, SW,
Washington DC 20201
Email: Aiesha.Gurley@acl.hhs.gov
Phone: 202-795-7358

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.052 National Family Caregiver Support, Title III, Part E; 93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis.

93.043 Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services

Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and F, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 87 Stat. 30; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95-65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97- 115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100- 175, 101 Stat. 926; Section 705, Public Laws 100-628, 102-375, and 106-501, 42 U.S.C. 3022-3030(M),.

OBJECTIVES:

To develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and Area Agencies on Aging. Funds are provided for evidence-based disease prevention and health promotion services including programs related to: physical fitness; medication management; chronic disease self-management education; psychosocial behavioral health intervention; HIV; arthritis; brain health; diabetes; falls prevention; depression; and chronic pain.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and Area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted to the Administration for Community Living regional office for approval. Funds are to be used only for disease prevention and health promotion programs which have been demonstrated through rigorous evaluation to be evidence-based and effective. Funds are used to implement evidence-based programs for preventive health services to be provided at senior centers or alternative sites as appropriate. The preventive health services under this part may not include services eligible for reimbursement under Medicare.

Applicant Eligibility:

State; Only States and U.S. Territories which have State Agencies on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

Senior Citizen (60+); Older individuals, age sixty and older, especially those living in areas of States which are medically underserved and in which there are a large number of older individuals who have the greatest economic need for the services.

Credentials/Documentation:

Contact the headquarters or regional location, as appropriate for application deadlines This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Consult the appropriate Regional Office for State application instructions.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to substate level organizations, which they have designated.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. States are entitled to these grants by statute.

Appeals:

Other. Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

Other. Applications are submitted for a 2,3 or 4-year period with annual revisions.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: See funding agency for further details..

Length and Time Phasing of Assistance:

One year. Method of awarding/releasing assistance: other

Reports:

Annual Program Performance Report Submitted through the payment management system. SF 425 Status Report (Semi-annual)

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$24,848,000.00; FY 21 est \$24,848,000.00; FY 22 est \$26,399,000.00;

Range and Average of Financial Assistance:

FY21 Est: \$15,375 - \$2,757,691

TAFS Codes:

75-4201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75

Regional or Local Office:

See Regional Assistance Locations. Regional Administrator, Administration for Community Living, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Shannon Skowronski,

330 C St SW,
Washington DC 20201

Email: Shannon.skowronski@acl.hhs.gov

Phone: 202-795-7438

Website Address:

<https://www.acl.gov/programs/health-wellness/disease-prevention>

RELATED PROGRAMS:

93.052 National Family Caregiver Support, Title III, Part E; 93.044 Special Programs for the Aging_ Title III, Part B_ Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging_ Title III, Part C_ Nutrition Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis. State Grants are awarded upon approval of a State Plan.

93.044 Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers

Home and Community-Based Supportive Services,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and B, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 89 Stat. 301; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat 713; Public Law 95- 65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Section 705, Public Law 100-628; 42 U.S.C. 3022-3030d; Public Law 106-501.,

OBJECTIVES:

To encourage State Agencies on Aging and Area Agencies on Aging to concentrate resources to develop and implement comprehensive and coordinated community-based systems of service for older individuals via Statewide planning, and area planning and provision of supportive services, including multipurpose senior centers. The objective of these services and centers is to maximize the informal support provided to older Americans to enable them to remain in their homes and communities. Providing transportation services, in-home services, and other support services, this program insures that elders receive the services they need to remain independent.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop and strengthen comprehensive and coordinated service delivery systems through designated State Agencies on Aging and Area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual amendments as necessary, must be submitted for approval to the Assistant Secretary for Aging. Area Plans also cover 2, 3, or 4 years, and are to be submitted to State agencies for approval. These funds are distributed by intrastate funding formulas to area agencies in States with planning and service areas and directly to service providers in the 13 States designated as single-planning-and-service-area States. In addition to supportive nutrition services, these funds may be used to support other services in relation to multipurpose senior centers.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Only State and U.S. Territories which have State Agencies on Aging designated by the Governors are eligible to receive these

ASSISTANCE LISTINGS DESCRIPTIONS

grants.

Beneficiary Eligibility:

Senior Citizen (60+); Low Income; Individuals age 60 and over, targeting those older individuals with the greatest economic needs, the greatest social needs, and those residing in rural areas.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but intergovernmental consultation review is excluded.

Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. Also, see 45 CFR 1321.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to sub-state level organizations, which they have designated.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. States are entitled to these grants by statute.

Appeals:

Other. Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

Other. State Plans are submitted for a 2-, 3-, or 4-year period with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 15%. Requirement: Matching requirements are voluntary. This program has MOE requirements, see funding agency for further details. Additional Information: See funding agency for further details..

Length and Time Phasing of Assistance:

One year. The following for information on how assistance is awarded/released: Payment Management System. Method of awarding/releasing assistance: other

Reports:

SF 425 Financial Status Report (semi-annually) and the Annual Program Performance Report. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$390,074,000.00; FY 21 est \$392,574,000.00; FY 22 est \$550,574,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75 and 45 CFR 1321.

Regional or Local Office:

Headquarters Office:

Greg Link,
330 C Street, SW.,
Washington DC 20201
Email: Greg.Link@acl.hhs.gov
Phone: 202-795-7386

Website Address:

<http://acl.gov/>

RELATED PROGRAMS:

93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals; 93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.052 National Family Caregiver Support, Title III, Part E; 93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects; 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services; 93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Noncompetitive. State grants awarded based on approval of State Plan, and intrastate funding formula for States with area agencies.

93.045 Special Programs for the Aging, Title III, Part C, Nutrition Services

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act of 1965, Parts A and C, Public law 89-73, as amended;

ASSISTANCE LISTINGS DESCRIPTIONS

Public law 90-42, 81 Stat. 106; Public Law 91-69, 85 Stat. 108; Public Law 92-258, 86 Stat. 88; Public Law 93-87 Stat. 301; Public law 93-351, 88Stat. 357; Public law 94-135, 89; Stat. 713; Public Law 95-65, 91 Stat. 269; Public law 95-478, 92 Stat. 513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Title III, Part C, Public Law 100-175; public Law 100-628; 42 U.S.C. 3030e-3030g; Public Law 102-375; Public 106-501. Public Law 114-144,

OBJECTIVES:

To provide grants to States and U.S. Territories to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services for older adults in order to maintain health, independence and quality of life. Meals and nutrition services are to be served in a congregate setting or delivered to the home, if the older adult is homebound.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are made available to State Units on Aging and U.S. Territories for use by local nutrition projects. Local projects must provide an appropriate meal, which complies with the current Dietary Guidelines for Americans and provides one-third of the Dietary Reference Intakes (DRI). Meals must be provided at least once per day, 5 or more days per week, except in rural areas where such frequency is not feasible, to eligible people aged 60 and over and their spouses. Local projects may also use funds to provide nutrition education, nutrition counseling and other nutrition services, as appropriate, based on the needs of meal participants.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Only States and U.S. Territories which have State Units on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

Senior Citizen (60+); Low Income; For Title III congregate meals, persons who are older adults (age 60 years and above) or a spouse of an older adult, regardless of age; disabled adults who live in housing facilities primarily occupied by older adults where a congregate site is located; disabled adults under age 60, who reside at home with older adults; and volunteers, regardless of age, who assist in meal service during meal hours. For Title III home-delivered meals, an older individual must be assessed to be homebound. The spouse of a homebound individual regardless of age or condition may receive a meal if receipt of the meal is assessed to be in the best interest of the homebound older adult.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Consult the appropriate Regional Office or HQ for State Plan instructions.

Award Procedure:

The Administration for Community Living awards funds through a statutory formula.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. States are entitled to these grants by statute.

Appeals:

Other. Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Other. State Plans are submitted for a 2, 3 or 4-year period, with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 15%. Requirement: Matching requirements are voluntary. The nonfederal contribution may be in the form of cash or in kind contributions, including equipment or services. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

3 years Method of awarding/releasing assistance: other

Reports:

Report requirements are identified in the Notice of Award. Recipients will be required to complete cash report information in the Payment Management System when requesting funds. (Semi-annual) and the annual Program Performance Report are required. SF 425 Financial Status Report are required along with the completion of the supplemental form. Site visits, meeting performance measures etc.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the current OMB Circular A-133 Compliance Supplement Part 4 and provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$746,684,000.00; FY 21 est \$776,684,000.00; FY 22 est \$776,684,000.00;

Range and Average of Financial Assistance:

Congregate Nutrition Services: FY21: RANGE: \$278,155 to \$45,269,354
AVERAGE: \$5,270,768

TAFS Codes:

75-4201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75 and 45 CFR 1321.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Regional Administrator, Administration for Community Living, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Judy R. Simon, MS, RD, LDN,
Office of Nutrition and Health Promotion Programs, Administration on Aging,
Administration for Community Living, DHHS, 330 C Street SW,
Washington DC 20201
Email: Judy.simon@acl.hhs.gov
Phone: 202-795-7381

Website Address:

http://www.aoa.acl.gov/AoA_Programs/HPW/Nutrition_Services/index.aspx

RELATED PROGRAMS:

93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.052 National Family Caregiver Support, Title III, Part E; 93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.053 Nutrition Services Incentive Program ; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Mandatory formula awards. State grants are awarded based on approval of a State plan.

93.047 Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act of 1965, Title VI, , Statute 92-1513, Public Law 89-73

OBJECTIVES:

To promote the delivery of supportive services, including nutrition services, to American Indians, Alaskan natives, and Native Hawaiians that are comparable to services provided under Title III.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are available through grants to eligible Indian Tribal Organizations for services comparable to those provided under Title III. Services must include nutrition services and information and referral, and may include transportation and other services authorized under Title III. Funds may be used for staffing senior centers. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians sixty years of age or older represented by the tribal organizations with population ranges from 50-100, 101-200, 201-300, 301-400, 401-500, 501 to 1,500, and 1,501+. Grants also are available to private or nonprofit organizations having the capacity to provide services to older Native Hawaiians.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Tribal organizations of Indian tribes eligible for assistance under Section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), and public or nonprofit private organizations which serve Native Hawaiian Elders, which represent at least 50 Indians or Hawaiians 60 years of age or older. Applicants must demonstrate that they have the ability to deliver supportive and nutrition services.

Beneficiary Eligibility:

American Indian; Senior Citizen (60+); Federally Recognized Indian Tribal Governments; Indians who are 60 years of age and older, and in the case of nutrition services, their spouses. Tribes also have the authority to define Indians

under 60 years of age as "older Indian" making them eligible for services.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Parts 75 and 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. In order to receive a grant, the eligible tribal organization, or for Native Hawaiians, the nonprofit private organization serving Native Hawaiians, must submit an application in accordance with instructions published in the Grants.gov by the Administration on Aging.

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Usually within 60 to 120 days from closing date or receipt of application.

Appeals:

Other. Appeals are processed in accordance with Administration on Aging regulations in 45 CFR 1326 and 1328.

Renewals:

Other. Renewals within the grant period are based on satisfactory performance during the prior year, and the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: See funding agency and program statute for further details..

Length and Time Phasing of Assistance:

Funds for grants are awarded for no less than a 12-month period. . All funds must be expended 90 days after the end of the project year. Method of awarding/releasing assistance: other

Reports:

A program performance and SF-425 financial report are due annually to the funding agency. No performance monitoring is required. Submitted through the Payment Management System

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

All records for this program including financial reports/program reports, payment management records must be maintained for 3 years after submission of the final expenditure reports.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$33,349,024.00; FY 21 est \$33,837,478.00; FY 22 est \$70,208,000.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Congregate Nutrition Services: FY21: RANGE: \$77,460.00 to \$190,348.00
AVERAGE: \$115,062

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1328. Guidelines are issued by the Assistant Secretary for Aging.

Regional or Local Office:

See Regional Assistance Locations. Regional Administrator, Administration for Community Living, Department of Health and Human Services Regional Offices (See Appendix IV of the Catalog).

Headquarters Office:

Cynthia LaCounte,
330 C Street, SW,
Washington DC 20201
Email: Cynthia.LaCounte@acl.hhs.gov
Phone: 202-795-7380

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.052 National Family Caregiver Support, Title III, Part E; 93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made based upon the extent to which the project meets the requirements of the law and regulations.

93.048 Special Programs for the Aging, Title IV, and Title II, Discretionary Projects

Home and Community-Based Supportive Services,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act of 1965, Title IV, Public Law 89-73, 79 Stat. 218, as amended; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Public Law 100-628, 42 U.S.C. 3031-3037b; Public Law 102-375; Public Law 106-501.,

OBJECTIVES:

To support the development and testing of innovative programs, services and systems of support that respond to the needs of the nations growing elderly population and those individuals in need of long term services and supports (LTSS), to improve knowledge of the problems and needs of the elderly, to help ensure adequately trained personnel in the field of aging, and to demonstrate better ways of improving the quality of life for the elderly, their caregivers and people with disabilities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Each year, AoA/ACL issues multiple Funding Opportunity Announcements. The specific use of funds will vary across these multiple announcements. Depending on

the specific program goals and objectives cited in an individual announcement, funds may be used for any one or more, but not necessarily all, of the following: (a) to demonstrate and/or replicate new or proven methods and practices to improve the quality and effectiveness of programs and services; (b) to ensure proven programs and approaches are replicated with fidelity to the original research (c) to evaluate new or existing programs and services; (d) to conduct applied research and analysis to improve access to and delivery of services; (e) to provide training and technical assistance to professionals in the field.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Grants may be made to any public or nonprofit private agency, organization, or institution. Contracts may be awarded to any agency, organization or institution. Grants and contracts are not available to individuals.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Senior Citizen (60+); Older individuals aged 60 and older, family caregivers and grandparents, and older individuals who are relative caregivers, individuals at high-risk of institutional placement, and individuals in need of assistance with or planning ahead for their long-term care needs.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 75 and 92 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The availability of competitive grant funds under Title IV is announced from time to time via program announcements that are simultaneously published on www.grants.gov and on the AoA/ACL website at <http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>. In addition, announcements intended for a wide audience of applicants may be published in the Federal Register. All required forms and instructions for specific program announcements can be found on the AoA/ACL website. Contract information is published in the "Commerce Business Daily."

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Other. Grant extensions may be requested from the grantee's Program Officer. but approvals are granted by the Grants Management office.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. This program has no statutory formula. Matching requirements may be required of any grantee to the extent deemed appropriate by the Assistant Secretary for Aging/Administrator for Community Living and are generally set at a minimum of 25 percent of the total cost of the project. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Generally, projects are approved for a period of 12 to 36 months. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: letter

Reports:

SF-425 Semi-annual progress reports and final reports are required of grantees. SF-425 Performance monitoring accomplished through site visits, review of progress reports, and general interaction between the Federal Program Officer and grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$390,074,000.00; FY 21 est \$392,574,000.00; FY 22 est \$550,574,000.00;

Range and Average of Financial Assistance:

na

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Chapter XIII, Title 45 of the Code of Federal Regulations on AoA supported projects. Annual guidelines, program information, and application instructions are available from the Administration on Aging, Washington, DC 20201.

Regional or Local Office:

See Regional Assistance Locations. Regional Administrator, Administration on Aging/Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for addresses)

Headquarters Office:

Greg Link,
Department of Health and Human Services, Administration for Community Living,
330 C St. SW., Room 1144,
Washington DC 20201
Email: Greg.Link@acl.hhs.gov
Phone: 202 795-7386

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.990 National Health Promotion; 93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals; 93.051 Alzheimer's Disease Demonstration Grants to States; 93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.052 National Family Caregiver Support, Title III, Part E; 93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers; 93.043 Special Programs for the

Aging_Title III, Part D_Disease Prevention and Health Promotion Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Details for evaluating applications are published as part of each Title IV program announcement. Major emphases are: (1) documented need; (2) expected outcomes and benefits; (3) innovative approach; (4) level of effort; (5) involvement of community-based organizations in planning and implementation activities; and, (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.051 Alzheimer's Disease Demonstration Grants to States

Alzheimer's Disease Supportive Services Program,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sec 398 of the Public Health Service Act (P.L. 78-410; 42 U.S.C. 280c-3,

OBJECTIVES:

To expand the availability of diagnostic and support services for persons with Alzheimer's Disease and Related Dementias (ADRD), their families, and their caregivers, as well as to improve the responsiveness of the home and community-based care system to persons with dementia. ADSSPS grantees continue to implement two types of ADSSP projects, dementia capability and translation projects. Dementia capability grants require states to help ensure that people with dementia and their family caregivers have access to a home and community-based services system. Such a system identifies those with dementia, ensures that program staff have appropriate dementia care training, and assures delivery of quality home and community-based services. Evidence-based grants require states to demonstrate how existing evidence-based interventions designed to help people with ADRD and their family caregivers can be translated into effective supportive service programs at the community level.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

(1) Not less than 50 percent of the federal grant must be spent on direct services (home health care, personal care, day care, companion services, short-term care in health facilities, and other respite care to individuals with Alzheimer's disease and related disorders). (2) Grant funds may not be used to replace existing resources: State compensation program, Federal or state health benefits program, or private insurance funds. (3) Matching funds are required. (4) Not more than 10 percent of the grant will be expended for administrative expenses.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State government agencies are eligible for grant awards; the applicant agency is encouraged to have the support and active involvement of the Single State Agency on Aging. Only one application per State will be funded, however, multiple state and local agencies are encouraged to collaborate in planning and carrying out the project.

Beneficiary Eligibility:

Individual/Family; Health Professional; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); (1) Individuals with Alzheimer's disease and related disorders; (2) families of those individuals; and (3) care providers of those individuals.

Credentials/Documentation:

Applicants must submit: (1) A description of intended use of the grant; (2) information describing programs and activities to be supported; (3) the number of individuals receiving services and the cost of services; and (4) a plan describing coordination of such services. Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2

ASSISTANCE LISTINGS DESCRIPTIONS

CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant Application for Federal Assistance (Nonconstruction Programs) are in accordance with funding opportunity announcements developed by the Administration on Aging (AoA)/Administration for Community Living and will be published in Grants.gov when funding is available.

Award Procedure:

After review and approval, notification of award will be issued to the grantee, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As specified in guidelines published in the Funding Opportunity Announcement

Appeals:

Not Applicable.

Renewals:

Other. As outlined in the Funding Opportunity Announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Match Requirements: 25% match (cash and/or in-kind) during the first year, 35% during the second year, and 45% during the third and subsequent years of the grant period. Waivers to these match requirements are not allowed. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are typically 3 years in duration. Length of future awards will be published in the Program Announcement and on Grants.gov. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: by letter of credit Method of awarding/releasing assistance: letter

Reports:

As outlined in the Funding Opportunity Announcement. Semi-annual progress reports and final reports are required of grantees. Financial SF-425, Performance monitoring accomplished through site visits, review of progress reports and general interaction between the Federal Program Officer and grantee. Payment Management System reporting. See Program Funding Opportunity Announcement. SF425. Through site visits and reports. Payment Management System reporting See Program Announcement. SF425 Through site visits and reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year

in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Cooperative Agreements FY 20 \$26,500,000.00; FY 21 est \$27,500,000.00; FY 22 est \$30,060,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Home Health Care and Alzheimer's Disease Amendments of 1990 (Public Law 101-557); Health Professions Education Partnerships Act of 1998 (Public Law 105-392).

Regional or Local Office:

None. Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.)

Headquarters Office:

Team Lead Health and Prevention Programs Erin Long,
330 C Street SW,
Washington DC 20201
Email: Erin.Long@ACL.HHS.gov
Phone: (202) 795-7389

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.052 National Family Caregiver Support, Title III, Part E;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in the funding opportunity announcement.

93.052 National Family Caregiver Support, Title III, Part E

National Family Caregiver Support Program (NFCSP),

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act, as amended, Public Law 106- 501, Title III, Part E,

OBJECTIVES:

To assist States, Territories in providing multifaceted systems of support services for: (1) Family caregivers; and (2) older relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to the services; individual counseling, and caregiver training to caregivers to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

This authority is for making grants to States under Title III-E to enable the provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions; State; Formula grants: State governments and U.S. Territories, with distribution to designated area agencies on aging through an approved State plan and intrastate funding formula.

Beneficiary Eligibility:

Individual/Family; Family caregivers, grandparents and older individuals who are relative caregivers will benefit.

Credentials/Documentation:

Formula Grants - Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Formula Grants - This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but Intergovernmental Consultation Review is excluded. Applicants are encouraged to consult with the Administration for Community Living in the development of the application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formula Grants - Application Procedures: Consult with the appropriate Regional Office for State application instructions.

Award Procedure:

Formula Grants - The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to designated sub-state level organizations.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Formula Grants - States are entitled to these grants by statute.

Appeals:

Other. Formula Grants - Appeals are processed in accordance with the HHS regulations in 45 CFR, Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Other. Formula Grants - State plans are submitted for a 2,3,or 4-year period, with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR 1321.49, Matching Requirements: Percent: 25%.

Requirement: Matching requirements are voluntary. This program has MOE requirements, see funding agency for further details. Additional Information:

Length and Time Phasing of Assistance:

One year. Method of awarding/releasing assistance: other

Reports:

Program progress reports are required semi-annually for formula and project grants. Information submitted through the Payment Management System Annual Reports A SF-425 Financial report is due at the end of each grant year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Financial records must be kept available for 3 years after submission of expenditure reports and 5 years after final disposition of non-expendable property in accordance with the regulations at 45 CFR 74, Subpart D.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$185,936,000.00; FY 21 est \$188,936,000.00; FY 22 est \$249,936,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula and Project Grants: 45 CFR 75,

Regional or Local Office:

See Regional Assistance Locations. Administration for Community Living Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.)

Headquarters Office:

Greg Link,
330 C Street, SW,
Washington DC 20201
Email: greg.link@acl.hhs.gov
Phone: 202-795-7386

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.053 Nutrition Services Incentive Program

NSIP,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act of 1965, Parts A and C, Public law 89-73, as amended; Public law 90-42, 81 Stat. 106; Public Law 91-69, 85 Stat. 108; Public Law 92-258, 86 Stat. 88; Public Law 93-87 Stat. 301; Public law 93-351, 88 Stat. 357; Public law 94-135, 89; Stat. 713; Public Law 95-65, 91 Stat. 269; Public law 95-478, 92 Stat. 513; Publiclaw 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Title III, Part C, Public Law 100-175; public Law 100-628; 42 U.S.C. 3030e-3030g; Public Law 102-375; Public 106-501.Public Law 114-144,

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

To reward effective performance by States and Tribes in the efficient delivery of nutritious meals to older adults through the use of cash or USDA Foods.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are made available to State Units on Aging (SUA) and Indian Tribal Organizations (ITO) to purchase domestically produced foods or to access USDA Foods from the United States Department of Agriculture (USDA) Food and Nutrition Service (FNS). The funds or USDA Foods are to be used in the preparation of congregate and home-delivered meals by nutrition services programs. USDA Foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of USDA. Each SUA and ITO shall promptly and equitably disburse funds received to recipients.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; State Units on Aging (SUA) and Indian Tribal Organizations (ITO) that receive funding through Titles III and VI of the OAA may receive grants of cash from the Administration for Community Living, and/or USDA Foods.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Senior Citizen (60+); For Title III congregate meals, persons who are older adults (age 60 years and above) or a spouse of an older adult, regardless of age; disabled adults who live in housing facilities primarily occupied by older adults where a congregate site is located; disabled adults under age 60, who reside at home with older adults; and volunteers, regardless of age, who assist in meal service during meal hours. For Title III home-delivered meals, an older individual (age 60 years and above) must be assessed to be homebound. The spouse of a homebound individual regardless of age or condition may receive a meal if receipt of the meal is assessed to be in the best interest of the homebound older adult. For Title VI, all of these criteria apply. In addition, an ITO may select the age that defines who is an older adult.

Credentials/Documentation:

State Units on Aging (SUA) and Indian Tribal Organizations (ITO) must complete agreements with the Administration for Community Living. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All States and Indian Tribal Organizations are eligible.

Award Procedure:

Issuance of Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. None

Appeals:

Other. Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Other. Title III and Title VI program agreements are indefinite, unless withdrawal is requested or required because of program irregularities.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: See program statute..

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: other

Reports:

Submitted through the Payment Management System SUAs annually complete a State Program Report, which is submitted through the National Aging Program Information System (NAPIS). ITOs complete an annual report which is submitted through the Title VI Program Performance Review Form. 425 Financial Status reports are due at the end of each grant year. No performance monitoring is required. 425 Financial Status reports are due at the end of each grant year.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the current OMB Circular A-133 Compliance Supplement Part 4 and the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Adequate to account for all receipts and dispositions; to be retained for 3 years following the close of the fiscal year.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$160,069,000.00; FY 21 est \$160,069,000.00; FY 22 est \$160,000,000.00;

Range and Average of Financial Assistance:

FY2021 Range \$64,952 to \$ 13,168,269, Average: \$2,858,375

TAFS Codes:

75-4201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Regional Administrators, Administration for Community Living, Department of Health and Human Services, Region Offices. (See Appendix IV of the Catalog).

Headquarters Office:

Judy R. Simon, MS, RD, LDN,

Office of Nutrition and Health Promotion Programs 330 C Street, SW, Washington DC 20201

Email: Judy.simon@acl.hhs.gov

Phone: 202-795-7381

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<https://www.acl.gov>

RELATED PROGRAMS:

93.047 Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians; 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians

Native American Caregiver Support Program, Title VI, Part C,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act, , Public Law 106-501

OBJECTIVES:

To assist Indian Tribal and Native Hawaiian Organizations in providing multifaceted systems of support services for: (1) Family caregivers; and (2) grandparents or older individuals who are relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to the services; individual counseling and caregiver training to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

This authority is for making grants to Indian Tribal and Native Hawaiian Organizations under Title VI-C to enable the provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Indian Tribal and Native Hawaiian Organizations with approved applications under Title VI, Parts A and B.

Beneficiary Eligibility:

Native American Organizations; Federally Recognized Indian Tribal Governments; Family caregivers, grandparents, and older individuals who are relative caregivers will benefit.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Parts 75 and 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications are submitted in accordance with program announcements developed by the Administration on Aging (AoA) and will be published in Grants.gov.

Award Procedure:

After review and approval, notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved approximately 60 to 120 days from closing date or receipt of application.

Appeals:

Other. Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1326 and 1328.

Renewals:

Other. Renewals within the grant period are based on satisfactory performance during the prior year and the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds for grants are awarded for no less than a 12-month period. Method of awarding/releasing assistance: other

Reports:

A program performance and SF425 financial report is due annually to the funding agency. No performance monitoring is required. Submitted through the Payment Management System. SF-425 Financial status reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$10,233,642.00; FY 21 est \$10,628,153.00; FY 22 est \$15,806,000.00;

Range and Average of Financial Assistance:

Caregiver Supportive Services: FY21: RANGE: \$17,750.00 to \$ 72,213.00 AVERAGE: \$42,343

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Older American Act of 1965 Amendments of 2000109.631(a)(b)45 CFR Part 74, 45 CFR Part 92.

Regional or Local Office:

See Regional Assistance Locations. Administration on Aging Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.)

Headquarters Office:

Cynthia LaCounte, DHHS Administration for Community Living Office of American Indian, Alaskan Native and Native Hawaiian Programs, Administration on Aging 330 C Street, SW, Washington DC 20201

Email: Cynthia.lacounte@acl.hhs.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 202-795-7380

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.047 Special Programs for the Aging Title VI, Part A, Grants to Indian Tribes Part B, Grants to Native Hawaiians;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.059 Training in General, Pediatric, and Public Health Dentistry

General, Pediatric, and Public Health Dentistry and Dental Hygiene,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 748, (42 U.S.C. 293k-2), Title , Part , Section

OBJECTIVES:

The purpose of the Training in General, Pediatric, and Public Health Dentistry and Dental Hygiene programs are to improve access to and the delivery of oral health care services for all individuals, particularly low income, underserved, uninsured, minority, health disparity, and rural populations by increasing the supply of a qualified dental workforce and enhancing dental workforce education and training. This is addressed through the implementation of the following programs: 1) Predoctoral Training in General, Pediatric and Public Health Dentistry and Dental Hygiene; 2) Postdoctoral Training in General, Pediatric and Public Health Dentistry; 3) the Dental Faculty Development and Loan Repayment Program; 4) the Dental Faculty Loan Repayment Program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

1) Predoctoral Training in General, Pediatric or Public Health Dentistry and Dental Hygiene: Grant funds may be used to support professional training programs: 1) to plan, develop, and operate or participate in approved professional training programs in the fields of general dentistry, pediatric dentistry, or public health dentistry for dental students, dental hygienists, and/or dental hygiene trainees that emphasize training for general, pediatric, or public health dentistry; 2) to meet the costs of projects to establish, maintain, or improve predoctoral training in primary care programs; and 3) to provide technical assistance to primary care training programs in developing and implementing instruction regarding the oral health status, dental care needs, and risk-based clinical disease management of all primary care populations with an emphasis on underserved children. 2) Postdoctoral Training in General, Pediatric and Public Health Dentistry: Grant funds may be used to support professional training programs: 1) to plan, develop, and operate, or participate in, an approved professional training program in the fields of general, pediatric, or public health dentistry for dental residents, or practicing dentists or other approved primary care dental trainees that emphasizes training for general, pediatric and public health dentistry; 2) to provide financial assistance to dental residents or practicing dentists who are in need thereof, and who are participants in any such training program, and who plan to work in the practice of general, pediatric or public health dentistry; 3) to support an accredited masters degree in public health program for dental residents in need of such support, support is limited to residents only. 3) Dental Faculty Development and Loan Repayment Program: Grant funds may be used to support professional training programs: 1) to plan, develop, and operate a dental faculty loan repayment program for faculty engaged in primary care dentistry to include general, pediatric, and public health dentistry and dental hygiene; 2) individual participants must agree to serve as full-time faculty members and perform at least 50 percent of their full-time faculty duties in a community-based primary care setting; 3) upon completion by an

individual of each of the first, second, third, fourth, and fifth years of service, the program will pay an amount equal to 10, 15, 20, 25, and 30 percent, respectively, of the individuals student loan balance owed at the initiation of the agreement. Grant funds may also be used to support professional training program: to establish, maintain or improve a dental faculty development program in primary care: 1) to prepare and support faculty for successful academic careers focused on enhancing training for students and/or residents in general dentistry, pediatric dentistry, dental public health, or dental hygiene within community-based, interprofessional primary care settings; 2) faculty participating in the loan repayment program must be included as participants in the programs faculty development program; and no more than \$100,000 per year may be used for these Faculty Development activities. 4. Dental Faculty Loan Repayment Program: Grant funds may be used to support professional training programs: 1) to plan, develop, and operate a dental faculty loan repayment program for faculty engaged in primary care dentistry to include general, pediatric, and public health dentistry and dental hygiene with preference given to pediatric dentistry faculty supervising residents at dental training program providing clinical services in dental clinics located in dental schools, hospitals, or community-based affiliated sites; 2) individual participants must agree to serve as full-time faculty members; and 3) upon completion by an individual of each of the first, second, third, fourth, and fifth years of service, the program will pay an amount equal to 10, 15, 20, 25, and 30 percent, respectively, of the individuals student loan balance owed at the initiation of the agreement. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Funds may not be used for purposes specified in HRSAs SF424 R&R Application Guide or for construction or renovation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include accredited schools of dentistry and dental hygiene, postdoctoral training programs in general, pediatric, or public health dentistry that have programs in dental schools, or approved residency or advanced education programs in the practice of general dentistry, pediatric dentistry, or dental public health. Public or non-profit hospitals, or other public or private not-for-profit entities including faith-based and community-based organizations, community colleges, as well as Tribes and Tribal organizations, are eligible provided they are otherwise eligible and the Secretary has determined they are capable of carrying out such grants.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries include a full range of trainees: dental or dental hygiene students, dental hygienists, dental residents, practicing dentists, and other approved primary care dental trainees and dental faculty.

Credentials/Documentation:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed

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selection criteria. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window). 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: These programs have Maintenance of Effort (MOE). See funding agency for details. The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

These funding opportunities provides support for a 5-year project period. Method of awarding/releasing assistance: Recipients drawdown funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at

<http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records three years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$13,004,548.00; FY 21 est \$13,768,650.00; FY 22 est \$14,164,585.00; Project Grants FY 20 \$3,552,283.00; FY 21 est \$3,692,591.00; FY 22 est \$4,500,000.00; Project Grants FY 20 \$3,013,267.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$3,908,914.00; FY 21 est \$4,740,922.00; FY 22 est \$4,654,394.00;

Range and Average of Financial Assistance:

Postdoctoral Training in General, Pediatric and Public Health Dentistry (D88): FY 20 : Range: \$153,430 to \$650,000; Average award: \$481,650FY 21 est: Range: \$153,430 to \$650,000; Average award: \$481,650FY 22 est: \$400,159 to \$650,000; Average award: \$524,615Predoctoral Training in General, Pediatric and Public Health Dentistry and Dental Hygiene (D85): FY 20: Range: \$205,321 to \$300,000; Average award: \$273,253FY 21 est: Range: \$217,811 to \$300,000; Average award: \$284,045FY 22 est: Range: \$300,000 to \$300,000; Average award: \$300,000Dental Faculty Development and Loan Repayment Program (T93): FY 20 : Range: \$302,034 to \$350,000; Average award: \$334,807FY 21 est: Range: \$0 to \$0; Average award: \$0FY 22 est: Range: \$0 to \$0; Average award: \$0Dental Faculty Loan Repayment Program (D87):FY 20 : Range: \$81,000 to \$250,000; Average award: \$186,138FY 21 est: Range: \$101,250 to \$300,000; Average award: \$225,758FY 22 est: Range: \$121,500 to \$300,000; Average award: \$241,308

TAFS Codes:

03-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Predoctoral Training in General, Pediatric and Public Health Dentistry and Dental Hygiene: In Academic Year (AY) 2018-19, awardees trained 10,356 dental and dental hygiene students. Thirty two percent of these trainees were from a disadvantaged background and 24 percent were from an underrepresented minority. From the prior year, thirty-three percent of graduates who had received funding reported currently practicing in a primary care settings within a medically underserved community. Awardees also collaborated with 313 health care delivery sites to provide clinical training to students. Out of these health care delivery sites, 77 percent were located in medically underserved communities, 42 percent were in primary care settings, and 13 percent were in a rural setting. Postdoctoral Training in General, Pediatric and Public Health Dentistry: In AY 2019-2020, this program had 494 trainees and produced 234 newly specialized dentists. Of these new dentists, 56% completed dental residencies in general dentistry, 38% in pediatric dentistry and 6% in dental public health. Of the 2019-2020 program completers, 55 received BHW financial support and of those for whom one-year follow-up data was available, all are currently practicing dentistry, with 68% working in primary care settings and 42% working in underserved and/or rural communities. Awardees partnered with 142 clinical training sites, 63% in a medically underserved community, 50% in a primary care setting, and 6% in a rural setting. Dental Faculty Development and Loan Repayment Program: In AY

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2018-2019, this program supported 37 dentists serving as teaching faculty, 27 percent of whom were from rural residential backgrounds. Faculty taught 61 courses on oral health and related topics to 2,958 dental students and advanced trainees as part of their loan repayment obligation. Grantees sponsored 38 faculty development activities to 261 dental faculty, and 77 new or enhanced instructional curricula offered to 2,743 dental residents and faculty. Dental Faculty Loan Repayment Program In AY 2018-2019, 19 grant recipients have been awarded. Awardees supported 33 dentists serving as teaching faculty, 27 percent of whom were from disadvantaged or rural residential backgrounds.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Erika Terl, Chief, Oral Health Branch, Division of Medicine and Dentistry, Bureau of Health Workforce,
5600 Fishers Lane Room 15N-120,
Rockville MD 20857

Email: eterl@hrsa.gov

Phone: 301-443-4107

Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.060 Sexual Risk Avoidance Education

SRAE,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

OBJECTIVES:

The purpose of this program is to promote sexual risk avoidance education as defined by section 1110 of the Social Security Act, for adolescents. The focus of the program is to teach participants how to voluntarily refrain from non-marital sexual activity, and teach the benefits associated with self-regulation, success sequencing for poverty prevention, healthy relationships, goal setting, resisting sexual coercion, dating violence, and other youth risk behaviors such as underage drinking or illicit drug use without normalizing teen sexual activity. Recipients use an evidenced based approach and/or evidence-informed strategies to educate youth

on how to avoid risks that could lead to non-marital sexual activity. SRAE projects are implemented using a Positive Youth Development (PYD) framework as part of risk avoidance strategies, to help participants build healthy life skills, develop individual protective factors that reduce risks, empower youth to make healthy decisions, and provide tools and resources to prevent pregnancy and sexually transmitted infections (STIs). Linking program participants to services provided by local community partners that support the safety and well-being of youth is also a key component to the program. The services are targeted to youth ages 10 to 19 and participants that reside in areas with high rates of teen births and/or are at greatest risk of contracting STIs. The programs goals are to empower participants to make healthy decisions, and provide tools and resources to prevent pregnancy, STIs, and youth engagement in other risky behaviors. Recipients must agree to use medically accurate information referenced to peer-reviewed publications by educational, scientific, governmental, or health organizations and implement an evidence-based approach integrating research findings with practical implementation that aligns with the needs and desired outcomes for the intended audience.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are for awarding competitive grants which exclusively implement education in sexual risk avoidance (defined as voluntarily refraining from non-marital sexual activity) and for federal costs of administering the grant. Funds may be used to educate youth on how to voluntarily refrain from non-marital sexual activity and to avoid related risky behaviors. SRAE projects must address the following requirements: 1. Evidence-Based Strategies 2.Positive Youth Development Approach 3.Medically Accurate and Age Appropriate 4.Curriculum Fit and Selection 5. Referrals to Healthcare and Other Community Services (SRAE Programs should provide referrals for necessary services, as needs are identified, but may not pay for the services themselves with ACF funding) 6. Performance Measurement 7. National Evaluation. Funds may not be used to supplant or replace current public or private funding or to fund the ongoing or usual activities of any organization.

Applicant Eligibility:

Government - General; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; U.S. Territories and possessions; State (includes District of Columbia, public institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Grants made under the authority of section 1110 of the Social Security Act, 42 U.S.C. 1310 shall be made only to public and private entities that agree to use medically accurate information referenced to peer-reviewed publications by educational, scientific, governmental, or health organizations; implement an evidence-based approach integrating research findings with practical implementation that aligns with the needs and desired outcomes for the intended audience; and teach the benefits associated with self-regulation, success sequencing for poverty prevention, healthy relationships, goal setting, and resisting sexual coercion, dating violence, and other youth risk behaviors such as underage drinking or illicit drug use without normalizing teen sexual activity. Applicants eligible for SRAE funding are as follows: State, territorial, or county governments; City or township governments; Special district governments; Independent, regional, and local school districts; Public and state controlled institutions of higher education; Native American tribal governments (federally recognized); Public housing authorities/Indian housing authorities; Native American tribal organizations (other than federally recognized tribal governments); Non-profits having 501(c)(3) status with the IRS other than institutions of higher education; Non-profits without 501 (c)(3) status with the IRS other than institutions of higher education; Private institutions of higher education; For-profit organizations other than small businesses; Small businesses, Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards. Applicants serving an emerging, unserved, or underserved population or remote geographic area are encouraged to apply for funding.

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Beneficiary Eligibility:

Youth (16-21); Minority group; Juvenile Delinquent; School; Moderate Income; Low Income; Individual/Family; Black; American Indian; Spanish Origin; Asian; Other Non-White; Child (6-15); Youth populations, ages 10-19 and those that are at risk for non-marital sexual activity and other risk behaviors, benefit from the assistance. Vulnerable populations of youth include, but are not limited to: youth living in under resourced regions and areas with high rates of teen births and STIs, including HIV; culturally underrepresented youth populations, especially Hispanic, African American, or Native American teenagers; youth in or aging out of foster care or adjudication systems; youth who are victims of trafficking; runaway and homeless youth; and other vulnerable youth populations.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. For-profit organizations (including small businesses) applying for funding must submit the following to provide proof of their legal status:

Documentation establishing the power granted to the entity to enter into contractual relationships and/or accept awards (i.e. articles of incorporation, bylaws). Small businesses must submit a certification signed by the chief executive officer or designee that states that the entity qualifies as a small business under 13 CFR 121.101-121.201. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in FOA. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested. Scores and recommendations are reviewed by the Deputy Associate Commissioner of the Family and Youth Services Bureau. Recommendations are made to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

See the Notice of Funding Opportunity for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

>180 Days. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions, and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for the duration of the 36 month project period with three 12-month budget periods. Method of awarding/releasing assistance: other

Reports:

Semi-annually Federal Financial Reports (FFR) Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is semi-annual. For more information, see:

<https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>.

Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting is quarterly. For more information, see:

<https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>.

2. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at

https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF

Property, Tangible Personal Property Disposition instructions at

https://www.acf.hhs.gov/tangible-personal-property#book_content_2. FYSB

requires project officers to conduct routine performance reviews that include off-site and on-site monitoring of the SRAE grants.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-0120-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$34,458,500.00; FY 21 est \$34,123,887.00; FY 22 est \$34,123,887.00;

Range and Average of Financial Assistance:

The funding range for new awards in FY2020 was \$760,000 to \$958,000. The average award amount was \$945,000. The FY2020 non-competing continuation awards ranged between \$332,879 and \$445,773 with an average award of \$429,452. The funding range for non-competing continuation awards in FY2021 was \$334,829 to \$445,834 and the average award amount was \$423,037. The estimated range for new awards in FY2021 is \$300,000 to \$450,000 and the average estimated award is \$400,000.

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY2020 there were 30 non-competing continuation awards

ASSISTANCE LISTINGS DESCRIPTIONS

and 51 new awards. Fiscal Year 2021: It is anticipated that there will be 51 non-competing continuation awards and 30 new awards in FY2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Family and Youth Services Bureau central office for available literature. You may also get information on the Family and Youth Services Bureau website at the following web address: <http://www.acf.hhs.gov/fysb>

Regional or Local Office:

None.

Headquarters Office:

Program Manager Courtney Gaskins, Ph.D.,
Mary E. Switzer Building, 330 C Street S.W.,
Washington DC 20201

Email: courtney.gaskins@acf.hhs.gov

Phone: (202) 841-1952

Fax:

Website Address:

<http://www.acf.hhs.gov/fysb>

RELATED PROGRAMS:

93.235 Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program; 93.787 Title V Sexual Risk Avoidance Education Program (Discretionary Grants); 93.092 Affordable Care Act (ACA) Personal Responsibility Education Program;

EXAMPLES OF FUNDED PROJECTS:

2021 SRAE (General Departmental-Funded) projects are implemented in a variety of settings including: schools; community and faith-based organizations; and juvenile justice facilities. Recipients are represented in all federal regions, with the exception of Region 1.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals is contained within the published Notice of Funding Opportunity.

93.061 Innovations in Applied Public Health Research

Applied Public Health Research,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247b, Section 317(k)(2)

OBJECTIVES:

To foster the new knowledge necessary to develop, enhance, and disseminate effective public health services, programs, and policies that improve health and quality of life for the population.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Applied public health research provides the critical new knowledge to address the CDC priority research needs. Research is focused on improving public health practices to maximize positive health outcomes and impacts.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applications may be submitted by public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local

governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Beneficiary will be to public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide located at: http://www.grants.gov/applicants/apply_for_grants.jsp. Applicants are required to have a Dun and Bradstreet Data Universal Numbering System (DUNS) number to apply for a grant from the Federal Government. The DUNS number is a nine-digit identification number, which uniquely identifies business entities. Obtaining a DUNS number is easy and there is no charge. To obtain a DUNS number, access www.dunandbradstreet.com or call 1(866) 705-5711.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. From 90 to 150 days.

Appeals:

Not Applicable.

Renewals:

Other. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to 3 years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period. Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period. Financial status report is due no more than 90 days after the end of the budget period. A final financial report is due no more than 90 days after the end of the project period. Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$7,596,253.00; FY 21 est \$7,900,000.00; FY 22 est \$7,900,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

79-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92 For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

Regional or Local Office:

Headquarters Office:

Deputy Director, Office of Science Quality Dr. Juliana Cyril,
1600 Clifton Rd.,
Atlanta GA 30333
Email: jcyril@cdc.gov
Phone: (404) 639-4639.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to the FOA will be evaluated for scientific and technical merit by an appropriate peer review group in accordance with the review criteria. As part of the initial merit review, all applications may: Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score and receive a written critique.

93.062 Biomonitoring Programs for State Public Health Laboratories

NA,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 317 of the Public Health Service Act, (42 U.S.C. Section 247 b), as amended..

OBJECTIVES:

To support the development or expansion of state-based biomonitoring programs, including necessary infrastructure, that will increase the capability and capacity of state public health laboratories (1) to assess human exposure to environmental chemicals within their jurisdictions; (2) to determine which chemicals people are exposed to and the levels of those chemicals in peoples bodies; (3) to determine the prevalence of people with levels of chemicals that are above a known toxicity threshold; (4) to determine whether levels of selected chemicals are higher among minorities, children, women of childbearing age, or other potentially vulnerable groups; (5) to assess the effectiveness of state public health actions to reduce the exposure of their residents to specific chemicals of concern; (6) to compare state-generated biomonitoring data with CDC national biomonitoring data; and (7) to track trends in levels of chemicals in a states population or subgroups of that population over time.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements may be made to eligible entities for the support of projects to develop or expand state-based biomonitoring programs. Funding may be used for personnel salaries (for full-time, part-time, or contractor positions), laboratory instruments and supplies, training, travel, and indirect costs. Funds may not be used for research, clinical care, furniture or office equipment, pre-award costs, or the construction of new laboratory space. In addition, the direct and primary recipient in the cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); No additional information

Beneficiary Eligibility:

State; No additional information

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications that must be submitted with the application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Additional information can be found in the program guidance.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications and required forms for this program can be obtained from Grants.gov or from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Please visit the Grants.gov Web site at www.grants.gov to find and apply for all Federal grant/cooperative agreement opportunities.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Support is recommended for a specified project period, not to exceed 5 years, with each project period having a 12-month budget period.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period, not to exceed 5 years. Awards are made annually and assistance is available for a twelve month budget period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Annual progress reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. HHS Grants Policy Statement at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Extramural/ Resource Specialist Tonica Gleaton,
4770 Buford Highway, F45,
Atlanta GA 30341
Email: tdg6@cdc.gov
Phone: 404-498-6858

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.064 Laboratory Training, Evaluation, and Quality Assurance Programs

(Quality Assurance in Pathology and Laboratory Medicine),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order 13272, Section 317(k)(2), Public Health Service Act, Section 317(k)(2), 42 USC Code 247b(k)(2).

OBJECTIVES:

To improve the quality of laboratory testing practices relevant to clinical and public health settings and to determine standardized approaches to quality assurance in pathology and laboratory medicine that can be applied in multiple, diverse settings (e.g. community hospitals, academic medical centers, and independent laboratories) that demonstrate measurable and sustainable improvements over time.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding opportunities are made available to evaluate quality assurance methods for addressing the pre-analytic, analytic, and post-analytic components of laboratory testing in clinical and public health laboratory settings; and to provide technical assistance and training to public health laboratory scientists and leaders on laboratory standards and quality improvement models applicable to laboratory management services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Applications may be submitted by public and private nonprofit organizations and by governments and their agencies, such as: Public nonprofit organizations, private nonprofit organizations, universities, colleges, research institutions, hospitals, community and faith based organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana

ASSISTANCE LISTINGS DESCRIPTIONS

Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau).

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Applicants must have experience in the administration and evaluation of standardized quality assurance programs in multiple, diverse laboratory sites (including community hospitals and academic medical centers). This experience is required for an applicant to be able to assess the effectiveness of these quality assurance programs and to determine best practices.

Credentials/Documentation:

Title 2 of the United States Code section 1611 states that an organization described in section 501(c)(4) of the Internal Revenue Code that engages in lobbying activities is not eligible to receive Federal funds constituting an award, grant, or loan. For all other nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms and instructions are available on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: Telephone: (770) 488-2700. Applications must be submitted through www.grants.gov.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Annual or semiannual progress reports are required. Financial status reports

are required no later than 90 days after the end of each budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal governments officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0949-0-1-550. 75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$100,000 to \$500,000; \$250,000

TAFS Codes:

75-0949. 75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None. For general questions about this announcement, contact: Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: 770-488-2700. For program technical assistance, contact: Charlene Beach, Centers for Disease Control and Prevention, OPHSS, CSELS, DLS, 1600 Clifton Road, MS G25.; Atlanta, GA 30329-4027; Telephone: (404) 498-0233, Fax: (404) 498-2707, E-mail: cbeach@cdc.gov. For financial, grants management, or budget assistance, contact: Terrian Dixon, Grants Management Specialist, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2774, E-mail: tdixon@cdc.gov.

Headquarters Office:

Public Health Analyst Carolyn Thomas,
2400 Century Pkwy NE,
Atlanta GA 30345
Email: ckt4@cdc.gov
Phone: 7704881179

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria.

ASSISTANCE LISTINGS DESCRIPTIONS

93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure

APHL-CDC Partnership for Quality Lab Practice,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund) in Fiscal Year 2011 only. In addition, Under Section 5314, Fellowship Training in Public Health (Part E of title VII of the Public Health Service Act (42 U.S.C.294n et seq., as amended by as amended by section 5206, is further amended by Sec. 778), CDC is authorized to expand existing fellowship training programs in the critical areas of applied public health epidemiology, public health laboratory science and public health informatics., Executive Order N/A, Title 42, Part 247b(k)(3), Section 317(k)(3), Section 317(k)(3) of the Public Health Service Act, [42 U.S.C. 247b (k)(3), as amended. Sections 307 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. 242l and 247b (k)(2), as amended, Title 42, Part 247b(k)(3), Section 317(k)(3)

OBJECTIVES:

This program addresses the Healthy People 2010 focus areas of: #8 Environmental Health, #10 Food Safety, #11 Health Communication, #13 HIV, #14 Immunization and Infectious Diseases, # 17 - Medical Product Safety, #20 - Occupational Safety and Health, #23 Public Health Infrastructure, #24 - Respiratory Diseases and #25 Sexually Transmitted Diseases. Its major objective is to improve public health laboratory infrastructure, maintain a competent and trained laboratory workforce, promote laboratory leadership activities to ensure future laboratory leaders, ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and to enhance communication linkages between state and local public health laboratories, and the clinical laboratory testing community.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The purpose of the program is to enhance the work of public health laboratories in the U.S. and abroad. The program aims to promote quality public health laboratory practice, improve public health laboratory infrastructure, strengthen the public health laboratory system, and to develop a well-trained public health laboratory workforce in the U.S. and globally. It also aims to ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and enhance communication linkages between state and local public health laboratories and the clinical laboratory testing community. Improvement of public health laboratory infrastructure, state-of-the-art training for the nation's laboratorians to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications. There are no restrictions. Additionally, Affordable Care Act (ACA) funding will be used to expand the existing Emerging Infectious Diseases (EID) Laboratory Fellowship Program by supporting additional fellowships through the cooperative agreement between CDC and APHL in Fiscal Year 2011. This project will receive ACA funding for future Fiscal Years-Subject to availability of funds.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Assistance will be provided only to APHL. CDC approved single eligibility of this award. This group is the appropriate and only qualified organization to address the activities described under this program announcement. Application may be submitted by the APHL consistent with the single eligibility justification that follows. State governments, specifically State public health laboratories, particularly any organization representing state public

health laboratories and having an established training network. In regards to Eligibility for Affordable Care Act (ACA) funding will be limited solely to the Association of Public Health Laboratories (APHL), the current partner in the cooperative agreement with CDC.

Beneficiary Eligibility:

Federal; U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Interstate; Intrastate; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; This project represents the front line defense against health threats to the nation's public. The nation's public is the ultimate recipient of benefits from this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. .

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: 770-488-2700. Application forms can be mailed to you.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From two to three months.

Appeals:

Not Applicable.

Renewals:

Other. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Each funded applicant must provide CDC with an interim progress reports via www.grants.gov: 1. The first interim progress report is due at mid year and will serve as the non-competing continuation application, and must contain the following elements: a. Standard Form (SF) 424S Form. b. SF-424A Budget

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Information-Non-Construction Programs. c. Budget Narrative. d. Indirect Cost Rate Agreement. e. Project Narrative. 2. The second report is due no later than 90 days after the end of the budget period. This report should include a detailed description of specific projects and activities conducted, measurable outcomes achieved and public health impact resulting from projects conducted during the reporting period. 3. Annual progress report, due 90 days after the end of the budget period. These reports must be submitted to the attention of the Grants Management Specialist. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: current budget period activities objectives, current budget period financial progress, new budget period program proposed activity Objectives, budget, additional requested information, and measures of effectiveness; Financial status report and annual progress report, no more than 90 days after the end of the budget period; and Final financial and performance reports, no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 A-133 audits. Financial status report and annual progress report, no more than 90 days after the end of the budget period; and Final financial and performance reports, no more than 90 days after the end of the project period

Records:

Financial and personnel records including financial awards, financial status reports, interim reports, and final reports must be maintained for a period of seven years.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,000,000.00; FY 21 est \$2,999,998.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

0

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Extramural/ Resource Specialist Tonica Gleaton,

4770 Buford Highway, F45,

Atlanta GA 30341

Email: tdg6@cdc.gov

Phone: 404-498-6850

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.066 State Vital Statistics Improvement Program

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Public Health Service Act, Section 304, 306(h), 42 USC Code 242b, 242k.

OBJECTIVES:

To enhance the performance of the National Vital Statistics System (NVSS) by convening states to aid in a) improving vital statistics data quality, timeliness and public health utility, b) increasing the competencies of the vital statistics workforce, and c) promoting accreditation of jurisdictional vital statistics programs consistent with National Center for Health Statistics goals.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restrictions, which must be taken into account while writing your budget, are as follows: approved project plan required prior to expenditure of funds; all travel will need to be identified in the approved project plan; and purchase of computer hardware and software will require prior approval before expenditure.

Applicant Eligibility:

Anyone/general public; Any application requesting an award higher than the funded amount is considered nonresponsive and will receive no further review. In addition, application will be considered non-responsive if they do not contain a letter of support written on behalf of the 57 jurisdictions' vital statistics offices. The letter of support must include the following information or the application will be considered non-responsive: confirmation that the applicant has established relationships with all 57 jurisdiction vital statistics offices, including experience in supporting vital statistics standards and best practices and convening vital statistics offices to advance vital statistics initiatives.

Beneficiary Eligibility:

Anyone/general public; An organization with demonstrated support of the 57 jurisdiction vital statistics offices. (See eligibility requirements.)

Credentials/Documentation:

Applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the cooperative agreement. Measures must be objective and quantitative, and must measure the intended outcome. These measures of effectiveness must be submitted with the application and will be an element of evaluation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity use application and instructions available on grants.gov.

Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Successful applicant will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

May 22, 2017. The program has been established for 5 years, with each option depending on the availability of funding and performance of the awardee. 11:59 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Throughout the project period, CDC's commitment to continuation of this award will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient as documented in required reports, and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: letter

Reports:

Reports about the objectives accomplished, performance measures and evaluation results. Written reports are required at mid-term of the project year and at the end of the project year. At least quarterly meetings are required of the awardee. Financial status reports are required at the end of the project year. Updates on financial status are provided at mid-term of the project year. At mid-term of the project year, reports are required on the objectives achieved and the objectives to be achieved in the remainder of the project year. At the end of the project year, a progress report is required on the objectives achieved. Reports are provided on the amount spent within the approved budget categories. Performance monitoring is accomplished through review of progress in mid-year and final reports on performance measures, regular (at least quarterly) conference calls or meetings, and emails/telephone conversations with the staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, support documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution.

Account Identification:

75-0948-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$694,108.00; FY 21 est \$694,500.00; and FY 22 est \$694,495.00;

Range and Average of Financial Assistance:

\$694,500 per year (5-year Cooperative Agreement)

TAFS Codes:

75-7520.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Anticipated accomplishments include provision of technical assistance and training to jurisdictions in achieving Public Health Accreditation for Vital and Health Statistics; training and mentoring of state vital statistics staff, including migration to a virtual platform; and convening of jurisdictions to advance efforts to improve vital statistics data quality.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2700.

Headquarters Office:

Branch Chief Karen Knight,
4024 Stirrup Creek Drive,
Durham NC 27703
Email: ylp2@cdc.gov

Phone: 9195414414

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.067 Global AIDS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 307 and 317(k)(2), Public Health Service Act, 42 U.S.C. 242I and 247b(k)(2) as amended; Public Law 108-25, the United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003 Public Law 110-293, the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008, 22 U.S.C 7601; and Public Law 113-56 PEPFAR Stewardship and Oversight Act of 2013 (P.L. 113-56)., . Public Law 108-25, 22 USC Code 7601.

OBJECTIVES:

The U.S. President's Emergency Plan for AIDS Relief (PEPFAR) is the U.S. Government initiative to help save the lives of those suffering from HIV/AIDS around the world. This historic commitment is the largest by any nation to combat a single disease internationally, and PEPFAR investments also help alleviate suffering from other diseases across the global health spectrum. PEPFAR is the cornerstone and largest component of the U.S. Presidents Global Health Initiative. With a special focus on improving the health of women, newborns and children, the Global Health Initiatives goal is to save the greatest number of lives by increasing and building upon what works and, then, supporting countries as they work to improve the health of their own people.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Uses and Restrictions are specified in individual Notice of Funding Opportunities. Funds may be spent for reasonable program purposes, including personnel, travel, operating costs including supplies, fuel for transportation, utilities, staff training costs including registration fees and purchase and rental of training related equipment, renovation of clinical or lab facilities; and purchase of HIV testing reagents, test kits and laboratory equipment for HIV testing and services. Equipment may be purchased if deemed necessary to accomplish program objectives; however, prior approval by CDC officials must be requested in writing. All requests for funds contained in the budget shall be stated in U.S. dollars. Once an award is made, CDC will not compensate foreign grantees for currency exchange fluctuations through the issuance of supplemental awards. The costs that are generally allowable in grants to domestic organizations are allowable to foreign institutions and international organizations, with the following exception: With the exception of the American University, Beirut, and the World Health Organization (WHO), Indirect Costs will not be paid (either directly or through sub-award) to organizations located outside the territorial limits of the United States or to international organizations regardless of their location. The applicant may contract with other organizations under this program; however the applicant must perform a substantial portion of the activities (including program management and operations, and delivery of prevention services for which funds are required). You must obtain an annual audit of these CDC funds (program-specific audit) by a U.S. - based audit firm with international branches and current licensure/authority in-country, and in accordance with International Accounting Standards or equivalent standard(s) approved in writing by CDC. A fiscal Recipient Capability Assessment may be required, prior to or post award, in order to review the applicant's business

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management and fiscal capabilities regarding the handling of U.S. Federal funds.

Applicant Eligibility:

Anyone/general public; Competition is open, limited, or single eligibility by authorizing legislation.

Beneficiary Eligibility:

Public nonprofit institution/organization; This is only for non-research and research activities supported by CDC/ATSDR. For the definition of research, please see the CDC website at the following Internet address:

<https://www.cdc.gov/od/science/integrity/hrpo/>

Credentials/Documentation:

Requirements for application are stated in each Notice of Funding Opportunity 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As specified in the Notice of Funding Opportunity

Award Procedure:

As specified in the Notice of Funding Opportunity

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As specified in the Notice of Funding Opportunity

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals are made by competitive applications and Reviews.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From one to five years. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. Method of awarding/releasing assistance: lump sum.

Reports:

As specified in the Notice of Funding Opportunity As specified in the Notice of Funding Opportunity Recipients of PEPFAR funds are required to report annually on program expenditures. Specifically, annual completion of PEPFAR Program Expenditures (Form DS-4213, approved by OMB 1405-0208, or the relevant OMB-approved format) will be required in conjunction with the PEPFAR Annual Progress Report at the completion of the USG fiscal year. As specified in the Notice of Funding Opportunity

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Foreign recipients are subject to audit requirements specified in 45 CFR 74.26(d). A non-Federal audit is required, if during the recipients fiscal year, the recipient expended a total of \$300,000.00 or more under one or more HHS awards (as a direct recipient and/or as a subrecipient). The recipient either may have (1) A financial related audit (as defined in the Government Auditing Standards, GPO stock #020-000-00-265-4) of a particular award in accordance with Government Auditing Standards, in those case where the recipient receives awards under only one HHS program; or, if awards are received under multiple HHS programs, a

financial related audit of all HHS awards in accordance with Government Auditing Standards; or (2) An audit that meets the requirements contained in OMB Circular A-133. Please forward a copy of the completed audit to the following address:

Office of Grant Services, Centers for Disease Control and Prevention, Attn: Grants Management Officer, 2939 Flowers Road, Atlanta, GA 30341. If your audit was completed in accordance with number two (2) above, in addition to the address listed above, you are required to send a copy of the audit report to: Department of Health & Human Services, National External Audit Review Center Lucas Place, Room 514, 323 West 8th Street, Kansas City, MO 64105.

Records:

The Recipient Agreement Books and Records. The recipient must maintain accounting books, records, documents and other evidence relating to the Agreement, adequate to show, without limitation, all costs incurred by the recipient, the receipt and use of goods and services acquired by the recipient, agreed-upon cost sharing requirements, the nature and extent of solicitations of prospective suppliers of goods and services acquired by the recipient, the basis of award of recipient contracts and orders, and the overall progress of the Agreement toward completion ("Agreement books and records"). The recipient must maintain Agreement books and records in accordance with generally accepted accounting principles prevailing in the United States, or at the recipients option, with approval by HHS/CDC, other accounting principles, such as those (1) prescribed by the International Accounting Standards Committee (an affiliate of the International Federation of Accountants), or (2) prevailing in the country of the recipient. Agreement books and records must be maintained for at least three years after the date of last disbursement by HHS/CDC or for such longer period, if any, required to resolve any litigation, claims or audit findings.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 (\$1,980,626,207.00); FY 21 est \$1,450,000,000.00; FY 22 est \$1,800,000,000.00;

Range and Average of Financial Assistance:

\$25,000 to \$48,000,000. Average \$2,700,000

TAFS Codes:

75-1031.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92 For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

Regional or Local Office:

See Regional Assistance Locations. Tracey Moore, Branch Chief, Global Health Services Branch, (770)488-2074, 2939 Flowers Road, Atlanta, GA 30341.

Headquarters Office:

Yvette Valentine,
1600 Clifton Road, NE, Mailstop H21-9,
Atlanta GA 30333
Email: ybt0@cdc.gov
Phone: 404-639-4469

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be initially reviewed for completeness by CDC Office of Grants Services (OGS) staff. Complete applications will be jointly reviewed for responsiveness by HHS/CDC Division of Global HIV and Tuberculosis and OGS Non-responsive applications will not advance to Phase II review. Applicants will

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be notified the application did not meet eligibility and/or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the criteria published in the Notice of Funding Opportunity. Applications will be funded in order by score and rank determined by the review panel unless funding preferences or other considerations stated in this NOFO apply. Final selection and approval of activities will be prioritized in collaboration with CDC.

93.068 Chronic Diseases: Research, Control, and Prevention

(Research, Control, and Prevention),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247b(k)(2), Section 301(a), Public Law USC-242(a) and (b)

OBJECTIVES:

To assist State and local health agencies, health related organizations, and other public and private organizations in their efforts to prevent and control chronic diseases and disorders through research, development, capacity building, and intervention. To utilize research data to improve detection, diagnosis, treatment, and care of chronic diseases and their complications. To generate new knowledge related to chronic diseases that will improve health and quality of life and eliminate health disparities among segments of the population.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with conducting research and surveillance of chronic diseases and their complications. Funds may also be used for program planning and development, to support capacity building, and for evaluation of chronic disease prevention and control programs. CDC will have substantial involvement with the award recipient during performance of the funded activity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for-profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Foreign institutions may be eligible for some specific programs.

Beneficiary Eligibility:

Anyone/general public; The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q, 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required Annual or semiannual progress reports are required Final financial status and performance reports are required 90 days after the end of a project period. Annual or semiannual progress reports are required

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$4,895,766.00; FY 21 est \$2,999,908.00; FY 22 est \$2,999,908.00;

Range and Average of Financial Assistance:

n/a

TAFS Codes:

75-0943. 75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Sheryl Heard,
4770 Buford HWY,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.945 Assistance Programs for Chronic Disease Prevention and Control;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance. All applications will be peer reviewed.

93.069 Public Health Emergency Preparedness

PUBLIC HEALTH EMERGENCY PREPAREDNESS (PHEP),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Services Act, Section 317(a), Public Health Services Act, Section 317(d), Public Health Service Act, Section 319C-1, Public Law 112-10

OBJECTIVES:

The PHEP program is a critical source of funding, guidance, and technical assistance for state, territorial, and local public health departments. Preparedness activities funded by the PHEP program are targeted specifically for the development of emergency-ready public health departments that are flexible and adaptable. These efforts support the National Response Framework (NRF), which guides how the nation responds to all types of hazards including infectious disease outbreaks; natural disasters; biological, chemical, and radiological incidents; and explosions. To help public health departments with their strategic planning, CDC identified 15 public health preparedness capabilities to serve as national public health preparedness standards. State and local jurisdictions can use CDC's Public Health Preparedness Capabilities: National Standards for State and Local Planning to better organize their work and identify the capabilities they have the resources to build or sustain. These standards help ensure that federal preparedness funds are directed to priority areas within individual jurisdictions. The 2020 PHEP program is currently funded via a cooperative agreement award. PHEP program guidance assists the 62 PHEP awardees in demonstrating measurable and sustainable progress toward achieving the 15 public health preparedness capabilities and other activities that promote safer and more resilient communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The purpose of the Public Health Emergency Preparedness (PHEP) program is to assist state, local, and territorial/freely associated state health departments in demonstrating measurable and sustainable progress toward achieving the 15 public health preparedness capabilities and other activities that promote safer and more resilient communities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the U.S. Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

U.S. Territories; State; Local; All State, County, and Local Health Departments.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. CDC provides an Internet-based system for submitting applications, including narrative and budget, electronically. This system will also enable applicants to complete most required forms electronically, which can then be signed and uploaded into the system. Applicants are required to use this system in lieu of paper-based applications. Under separate cover, CDC will provide detailed instructions on obtaining a digital certificate to access the CDC web portal <https://sdn.cdc.gov> and use the electronic application system. This program is subject to the provisions of 45 CFR Parts 74 and 92, as appropriate.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Also, appropriate notification is made to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. This program has a statutory formula. This program has MOE requirements, see funding agency for further details. Additional Information: Maintenance of Funding (MOF) PHEP awardees must maintain expenditures for healthcare preparedness and public health security at a level that is not less than the average level of such expenditures maintained by the awardee for the preceding two-year period. This represents an awardees historical level of contributions or expenditures (money spent) related to federal programmatic activities that have been made prior to the receipt of federal funds. The MOF is used as an indicator of nonfederal support for public health security and healthcare preparedness before the infusion of federal funds. These expenditures are calculated by the awardee without reference to any federal funding that also may have contributed to such programmatic activities in the past. The definition of eligible state expenditures for public health security and healthcare preparedness includes: Appropriations specifically designed to support healthcare or public health emergency preparedness as expended by the entity receiving the award; and Funds not specifically appropriated for healthcare or public health emergency preparedness

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activities but which support healthcare or public health emergency preparedness activities, such as personnel assigned to healthcare or public health emergency preparedness responsibilities or supplies or equipment purchased for healthcare or public health emergency preparedness from general funds or other lines within the operating budget of the entity receiving the award. Please see Agency Funding Opportunity Number CDC-RFA-TP12-1201 for further details on the maintenance of funding requirements.

Length and Time Phasing of Assistance:

Awards are made annually and assistance is available for a 12-month budget period. There are five separate budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Semiannual and year end progress reports are required. Semiannual and year end progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Performance monitoring is maintained by oversight of Project Officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0958-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$622,850,000.00; FY 21 est \$636,850,002.00; FY 22 est \$636,850,002.00;

Range and Average of Financial Assistance:

Average: \$10,045,736 Range: Minimum \$374,216 Maximum \$42,272,321

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Van A. King,
OPHPR1600 Clifton Road, MS-D29,
Atlanta GA 30329-4018
Email: vbk5@cdc.gov
Phone: (404) 639-5276.
Public Health Analyst L. Sharon Sharpe,
CDC CPR 1600 Clifton Rd,
Atlanta GA 30333
Email: LSS1@cdc.gov
Phone: 4046390817
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicants must submit their application in accordance with appropriate program guidance. Applications will be reviewed for completeness by the Procurement and Grants Office staff and for technical acceptability by the Office of Public Health Preparedness and Response and CDC subject matter experts. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that their application did not meet submission requirements.

93.070 Environmental Public Health and Emergency Response

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act Sections 301, 307, 311, 317, and 319C (42 U.S.C. Sections 241, 242I, 243, 247b and 247d-3), as amended, Public Law 109-417, , Public Law 109-147, USC Code 241-2421-243.

OBJECTIVES:

To bring public health and epidemiologic principles together to identify, clarify, and reduce the impact of complex environmental threats, including terrorist threats and natural disasters, on populations, domestic and foreign. These programs and activities focus on safeguarding the health of people from environmental threats; providing leadership in the use of environmental health sciences-including environmental epidemiology, environmental sanitation, and laboratory sciences-to protect public health; and responding to issues and sharing solutions to environmental health problems worldwide. To accomplish the above, the CDC: (1) conducts surveillance and investigations that increase the knowledge about the relation between human health and environmental threats; (2) uses this knowledge to develop national public health programs and policies to prevent or control public health problems; (3) plans, prepares, and responds to emergencies, including terrorist threats, technologic accidents, and natural disasters; (4) provides direct service delivery to partners engaged in environmental health services such as food, safety, rodent control, water quality, and sanitation; (5) develops and applies laboratory science to prevent disease and death caused by exposure to environmental chemicals and to improve the diagnosis, treatment, and prevention of selected chronic diseases; (6) conducts Biomonitoring to assess individual human exposure to environmental chemicals by measuring them in human specimens (e.g. blood and urine); and, (7) conducts activities on emerging environmental threats such as climate change and the built environment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

NONE

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); .

Beneficiary Eligibility:

Anyone/general public; .

Credentials/Documentation:

Applicants should review the individual CDC Funding Opportunity Announcement document issued under this CFDA program for any required proof or certifications that must be submitted prior to, or simultaneous with, submission of an application

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package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. .

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity use application form HHS-5161-1. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff at: (770) 488-2700. Application forms can be mailed to you.

Award Procedure:

Award Procedure: Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 1 to 5 years with 12-month renewals. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. Applications must be obtained from Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2800.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance Support is recommended for a specified project period, not to exceed 5 years. Awards are made annually and assistance is available for a 12-month budget period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Annual progress reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$16,488,158.00; FY 21 est \$16,500,000.00; FY 22 est \$16,500,000.00;

Range and Average of Financial Assistance:

\$10,000 to \$1,500,000

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. In addition, grants will be available under the authority of and administered in accordance with the HHS Grants Policy Statement available at:

<http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>

Regional or Local Office:

None.

Headquarters Office:

Extramural/Resource Management Specialist Tonica Gleaton,

4770 Buford Hwy. MS-F45,

Atlanta GA 30341

Email: tdg6@cdc.gov

Phone: 404-498-6858

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.197 Childhood Lead Poisoning Prevention Projects, State and Local Childhood

Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children;

93.065 Laboratory Leadership, Workforce Training and Management

Development, Improving Public Health Laboratory Infrastructure;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.071 Medicare Enrollment Assistance Program

MIPPA,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND

HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Medicare Improvements for Patients and Providers Act of 2008 Section 119, Public Law (PL) 110275 as amended by the Patient Protection and Affordable Care Act of 2010 (Affordable Care Act), reauthorized by the American Taxpayer Relief Act of 2012 (ATRA), reauthorized by section 110 of the Protecting Access to Medicare Act of 2014 and reauthorized by the Medicare Access and CHIP Reauthorization Act of 2015 and Bipartisan Budget Act of 2018 (P.L. 115-123, BBA of 2018). and most recently, the Consolidated Appropriations Act of 2018, Public Law 115-141.,

OBJECTIVES:

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To provide enhanced outreach to eligible Medicare beneficiaries regarding their preventive, wellness, and limited income benefits; application assistance to individuals who may be eligible for LIS or MSPs; and outreach activities aimed at preventing disease and promoting wellness. The benefits available under title XVIII of the Social Security Act, including the Medicare prescription drug benefit under Part D of title XVIII of the Social Security Act and under the Medicare Savings Program, and to coordinate efforts to inform older Americans about benefits available under Federal and state programs.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Funds may not be used for: (a) construction and/or major rehabilitation of buildings; (b) basic research (e.g., bio-medical experiments); (c) continuation and/or expansion of existing services, and (d) medical care, institutional care or income maintenance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular A-21 for Educational Institutions, and OMB Circular A-122 for Non-Profit Organizations.

Applicant Eligibility:

Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Formula grants: State governments and U.S. Territories, with distribution to designated area agencies on aging and Indian Tribal Organizations through an approved State plan. Project grants: Grants may be made to any public or nonprofit private agency, organization, or institution.

Beneficiary Eligibility:

Individual/Family; Minority group; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Low Income; Individuals eligible for Medicare benefits, including Part D drug benefits, and older persons eligible for benefits and services provided under Federal and state programs.

Credentials/Documentation:

Formula Grants - ACL will utilize appropriate census and Medicare coverage data to identify statutory target populations. Project Grants -- Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Formula Grants - Grant applications are in accordance with program announcements developed by the Administration for Community Living (ACL) and will be posted on Grants.gov. Project Grants - The availability of competitive grant funds is announced via program announcements that are simultaneously published on Grants.gov and the ACL.gov website.

Award Procedure:

Formula Grants - The Administration for Community Living awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to Indian Tribal Organizations, Area Agencies on Aging and Aging and Disability Resource Centers. Project Grants - Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Other. Formula Grants - State plans are submitted for one-year period, with annual revisions. Project Grants - Grant extensions are available and may be requested.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law 100-275. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Formula Grants - One year. Project Grants - One to Five Years. Method of awarding/releasing assistance: letter

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years from the submission date of the final FFR document. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0142-0-1-506.

Obligations:

Project Grants FY 20 \$37,500,000.00; FY 21 est \$50,000,000.00; FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

Formula Grants Based on Statutory formula Discretionary Grant one award not more than 12M

TAFS Codes:

75-4201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Regional Administrator, Administration for Community Living, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog of Federal Domestic Assistance for addresses.)

Headquarters Office:

Program Officer Margaret Flowers,
330 C Street, S.W.,
Washington DC 20201
Email: Margaret.Flowers@acl.hhs.gov
Phone: 202-795-7315
Fax:

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.770 Medicare_Prescription Drug Coverage;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Mandatory/State Grant awards are made on a non-competitive basis. State Grants

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are awarded upon approval of a State Plan. The review process for grants/cooperative agreements consists of initially screening applications for completeness and relevancy to ACL priority areas. If the application is not relevant to ACL priority areas, it will be returned to the applicant. If accepted as submitted it will be reviewed and evaluated. The review will be conducted by a panel of not less than three experts. ACL Project officer or designee will coordinate the panels review, but will not vote. This individual or supervisor will also prepare the panels recommendation to the ACL Administrator. The panels recommendations will contain numerical ratings, rankings of applications, and a written assessment of each application. The recommendations will be based on published criteria as stated in the program announcement. The review process is also stated in the program announcement.

93.072 Lifespan Respite Care Program

Lifespan Respite,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Lifespan Respite Care Act of 2006, Public Law 109-442, 42 U.S.C 201, , Public Law 109-442, 42 USC Code 201.

OBJECTIVES:

To expand and enhance respite care services to family caregivers; improve the statewide dissemination and coordination of respite care; and to provide, supplement, or improve access and quality of respite care services to family caregivers, thereby reducing family caregiver strain. The Act also creates a National Lifespan Respite Resource Center designed to maintain a national database on lifespan respite care; provide training and technical assistance to State, community and nonprofit respite care programs; and provide information, referral, and educational programs to the public on lifespan respite care.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used for the development and enhancement of lifespan respite care at the State and local levels; the provision of respite care services for family caregivers caring for children or adults with special needs; training and recruiting of respite care workers and volunteers; the provision of information to caregivers about available respite and support services; and assistance to caregivers in gaining access to such services. Funds may also be used for training programs for family caregivers to assist family caregivers in making informed decisions about respite care services; other services essential to the provision of respite care as may be specified by ACL; or training and education for new caregivers. Funds may also be used to establish a National Resource Center on Lifespan Respite Care. Matching funds are required. Funds may not be used to supplant other Federal, State, or local funds available for respite care services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State; None

Beneficiary Eligibility:

Anyone/general public; Consumer; None

Credentials/Documentation:

Each governor desiring the eligible State agency of his or her State to receive a grant or cooperative agreement under this section shall submit an application on behalf of such agency. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications for federal assistance (Non-construction Programs) are in accordance with program announcements developed by the Administration on Aging /Administration for

Community Living (ACL) and will be simultaneously published on Grants.gov (www.grants.gov) and on the ACL website at <http://www.acl.gov> when funding is available.

Award Procedure:

Notification of Award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. As specified in each program announcement posted on www.grants.gov and <http://www.acl.gov>

Appeals:

Not Applicable.

Renewals:

Other. As outlined in the program announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Eligible State agencies must provide a non-Federal cash or in-kind contribution of not less than 25% of the total award. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be made for a period not to exceed 5 years. Method of awarding/releasing assistance: other

Reports:

Program progress reports are required semi-annually for project grants. SF-425 Financial Reports are due annually and are submitted through www.grantsolutions.gov. Evaluation of performance made through review of reports and oversight by Project Officer. Grantees are required to complete SF-425 Reports quarterly for reporting Federal cash receipts through the Payment Management System. 425 Financial Status reports are due annually. Evaluation of performance is made through review of reports and oversight by the Project Officer and submit through www.grantsolutions.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Cooperative Agreements FY 20 \$6,110,000.00; FY 21 est \$7,110,000.00; FY 22 est \$14,220,000.00;

Range and Average of Financial Assistance:

FY2017 - 2 New State Grants (\$200,000 per grant); 1 Continuation Award for Lifespan Respite Technical Assistance Resource Center (\$239,010); 12 Advancing State Lifespan Respite Care System Awards (Range: \$86,867 - \$261,953) FY2018 12 Advancing State Lifespan Respite Care System and 1 Technical Assistance Resource Center Continuation Awards (Range: \$84,275 - \$254,135), 13

ASSISTANCE LISTINGS DESCRIPTIONS

Supplemental Awards to all 12 Advancing State Care System and Technical Assistance Resource Center (Range: \$8,597 - \$25,792); 4 Additional Advancing State Lifespan Respite Care System Awards that were approved but not funded in the 2017 Funding Opportunity (Range: \$88,333 - \$265,000)YEARHigh AwardLow Award Average Award2019\$265,000\$83,333\$217,233\$2020*\$330,000\$100,000\$236,000*Anticipated awards in August 2020

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92; Lifespan Respite Care Act of 2006 (Public Law 109-442); HHS Grants Policy Statement (<http://www.acl.gov>).

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Lori Stalbaum,
Administration for Community Living, DHHS 330 C Street, SW,
Washington DC 20201
Email: Lori.Stalbaum@acl.hhs.gov
Phone: (202) 795-7444

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.051 Alzheimer's Disease Demonstration Grants to States; 93.052 National Family Caregiver Support, Title III, Part E;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competitive. Details for evaluating applications are published as part of the program announcement. Major emphases are: (1) Systems Advancement; (2) Partnership Development/Stakeholder Engagement; (3) Service Provision; (4) level of effort; (5) involvement of community-based organizations in planning and implementation activities; and (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order 317(k)(2), Title 42, Part 241(a), Section 301(a), Public Health Service Act, Title 42, Part 241(a), Section 301(a), 42 USC Code 247b(k)(2).

OBJECTIVES:

To work with State health agencies, universities, and public and private nonprofit organizations in planning, implementing coordinating or evaluating programs, research or surveillance activities related to improved birth outcomes, prevention of birth defects, and the improvement of infant and child health and developmental outcomes. To provide a national, State or local focus for the prevention of conditions of persons with birth defects; to employ epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with birth defects; to expand public health training opportunities through fellowships to introduce geneticists, epidemiologists, and clinicians to public health practice through education, training and career-enhancing experiences. To enhance surveillance and research for birth defects; to prevent congenital heart defects and other major birth defects associated with medication use during pregnancy; to advance neural tube defect prevention; and enhance the quality and usefulness of

newborn screening data and programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, to expand, to build upon or to enhance research, surveillance, health promotion, education, prevention/intervention activities that contribute to the mission and activities of the Division of Birth Defects and Infant Disorders. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for birth defects, infant and child health and developmental outcomes, including related health promotion, education and training, prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations and among state and local agencies and public and private sector organizations in the United States. Funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); N/A

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Consumer; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State; Consumer; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organizations and others.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Office of Grant Services, Centers for Disease Control and Prevention. More grants information can be found at <https://www.cdc.gov/grants/index.html>. Funding announcements will be posted on www.grants.gov. Forms and instructions are available in an interactive format on the Grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC. Approved but Unfunded applications may be

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subsequently funded up to 24 months after the application date.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grant Services, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDCs commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly

Reports:

Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Final federal financial report and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Final federal financial report and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial report and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$32,505,000.00; FY 21 est \$37,309,945.00; and FY 22 est \$37,309,945.00;

Range and Average of Financial Assistance:

FY20/21 range is dependent upon funding availability and specific grant activities. Award amounts range from \$20,000 to \$2,000,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. The HHS Grants Policy Statement can be found online at:

<http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Nicole Fehrenbach,
4770 Buford Highway, M/S S106-3,
Atlanta GA 30341

Email: ekk5@cdc.gov

Phone: 404-498-2416

Website Address:

<http://www.cdc.gov/ncbddd>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements

HPP/PHEP,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

HPP: 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic

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and All-Hazards Preparedness Act (PAHPA) (P.L. 109-417) (2006), PHEP: 319C-1 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) (P.L. 109-417) (2006), and 317(a) and 317(d) of the PHS Act, subject to available funding and other requirements and limitations.,

OBJECTIVES:

The purpose of the 2017-2018 HPP-PHEP aligned programs cooperative agreement is to provide resources that support state, local, territorial, and tribal public health departments and healthcare systems/organizations in demonstrating measurable and sustainable progress toward achieving public health and healthcare emergency preparedness capabilities that promote prepared and resilient communities. [NOTE: For additional detailed information on the HPP program, please see CFDA 93.889. For additional detailed information on the PHEP program, please see CFDA 93.069]

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands. The statute specifies appropriate use of funds.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; NA

Beneficiary Eligibility:

U.S. Territories; State; Local; NA

Credentials/Documentation:

Applicants should review the individual Funding Opportunity Announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NA

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the program's Grants Management Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. NA

Appeals:

Not Applicable. NA

Renewals:

Other. Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. NAMOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are limited up to 5 years. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semiannual and year end progress reports are required. Recipients are required to submit the FFR SF425 Federal Financial Report (FFR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Section 319C-1(i)(2) of the PHS Act, as amended, contains the following audit requirement:Each entity receiving funds under this section or section 319C-2 (42 USC 247d3b) shall, not less often than once every 2 years, audit its expenditures from amounts received under this section or section 319C-2 . Such audits shall be conducted by an entity independent of the agency administering a program funded under this section or section 319C-2 in accordance with the Comptroller General's standards for auditing governmental organizations, programs, activities, and functions and generally accepted auditing standards. Within 30 days following the completion of each audit report, the entity shall submit a copy of that audit report to the Secretary. However, a grantee may use an audit under the Single Audit Act and OMB Circular A-133 to comply with the audit provision in section 319C-1(i)(2) if: 1) the audit is conducted at least once every two years; 2) meets the requirements of the Single Audit Act (31 USC 7501-7507) and OMB Circular A-133 and/or 2 CFR 200 Subpart F; 3) submits that audit to, and receives acceptance of the audit by, the Federal Audit Clearinghouse; and, 4) ensures that applicable PHEP/HPP Catalog of Federal Domestic Assistance (CFDA) numbers 93.069, 93.074, and 93.889 are listed on the Schedule of Expenditures of Federal Awards (SEFA) contained in that audit.

Records:

Awardees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR Part 92.

Account Identification:

75-0943-0-1-550. 75-0140-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 2018:Range: \$579,108 to \$65,814,534 Average: \$13,552,419

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Assistance Locations. NA

Headquarters Office:

Public Health Analyst L. Sharon Sharpe,
CDC CPR DSLR1600 Clifton Rd,
Atlanta GA 30333
Email: LSS1@cdc.gov
Phone: 404-639-0817

Website Address:

<http://www.cdc.gov>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. The applicants must submit their application in accordance with fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions require the applicant to resubmit supporting information within a prescribed time frame. Upon review and approval, the revised application can then be submitted to Grants Management for processing and issuing a notice of grant award.

93.077 Family Smoking Prevention and Tobacco Control Act Regulatory Research

NIH-FDA Tobacco Control Regulatory Research,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

The Public Health Service Act and the Family Smoking Prevention and Tobacco Control Act, , Public Law 111-31, 42 USC Code 300u-3.

OBJECTIVES:

The Family Smoking Prevention and Tobacco Control Act (TCA) provides the authority to regulate tobacco product manufacturing, distribution and marketing. Regulatory research will provide the scientific evidence to guide the implementation of this authority. Research areas include: 1) approaches that test the toxicity of tobacco smoke, aerosol, or specific constituents, 2) the effect of tobacco product characteristics on addiction and abuse liability, 3) the short and long term health effects of tobacco products, 4) understanding the knowledge, attitudes and behaviors related to tobacco product use, 5) understanding how to effectively communicate the health effects of tobacco products, 6) the influences of tobacco marketing, and 7) understanding the impact of potential FDA regulatory actions. The strategic priorities for these research areas can be found at <https://www.fda.gov/tobacco-products/research/research-priorities>

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Contracts), Training

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects or a small group of related research activities, and when appropriate, support of research conferences; (2) program project and center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) exploratory/development and clinical exploratory/developmental grants encourage the development of new research activities in categorical program areas; (4) cooperative agreements support single or a group of related research activities with significant involvement of federal staff; (5) small grants support newer, less experienced investigators; investigators at institutions without a well developed research tradition and resources; the testing of new methods or techniques; small-scale exploratory and pilot studies, or exploration of an unusual research opportunity; small grants provide research support of up to \$50,000 direct costs per year for a period of up to 2 years; (6) research training grants including fellowships and institutional training grants, career development grants and loan repayment contracts; and (7) SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of proposed research or research and development efforts to determine the quality of performance of the small business grantees. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercially viable products or processes. Only Phase I awardees are eligible to apply for Phase II support; STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial

application and the quality of performance of the small business concerns. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Applicants should review the eligibility information in the individual funding opportunity announcements issued under this CFDA.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Education Professional; Student/Trainee; Scientist/Researchers; Public nonprofit institution/organization; Other public institution/organization; This Tobacco Control Regulatory Research Program will support research aimed at providing guidance and evidence to develop regulation for tobacco product manufacturing, distribution and marketing. The general public will ultimately benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is generally not applicable, though applicants requesting direct costs of \$500,000 or more for any one year must contact the appropriate NIH Institute or Center no later than 6 weeks before the anticipated submission date.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Most applications must be prepared and submitted electronically. Most applicants use the SF 424 (Research & Related) for electronic submission. Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). Some institutions may submit the grant data directly (using what is called a system-to-system transfer). For further details, see grants.gov or eRA Commons. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the NIH Guide to Grants and Contracts and the Grants.gov sites (see URLs listed above). All SBIR and STTR applications must be submitted electronically. The timeline for transition to electronic applications and the new forms may be found at: <http://era.nih.gov/ElectronicReceipt/>. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Consultation on a proposed project may be obtained from the Office of Disease Prevention in the Division of Program Coordination, Planning, and Strategic Initiatives at NIH. Applications are reviewed by principally nonfederal consultants recruited nationwide. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website.

Award Procedure:

All applications for research grants, cooperative agreements, training, and SBIR and STTR grants are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Council of the NIH Institute or Center that will manage the award. All competitive applications compete for available funds on the basis of scientific and technical merit, program relevance, and program balance. FDA Center for Tobacco Control will make final decisions on the relevance and program balance.

Deadlines:

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Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Review the individual Funding Opportunity Announcement under this CFDA for any application-specific deadlines.

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Other. Renewal grants may only be submitted in response to a specific funding opportunity announcement under this CFDA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds, with project periods ranging from 1 to 5 years. Funding commitments are made annually. Method of awarding/releasing assistance: other

Reports:

Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. Mid-period progress reports may be also be required or requested. Not applicable. Annual progress reports for continuing projects are required to assess progress. Mid-period progress reports may be also be required or requested. Expenditure reports are applicable and will be submitted monthly on the SF425.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period. Scientific data must be maintain to comply with Circular A-110 Shelby Amendment.

Account Identification:

75-2018-0-6-000.

Obligations:

Project Grants FY 20 \$80,381,664.00; FY 21 est \$84,000,000.00; FY 22 est \$67,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52; Guidelines are included in Funding Opportunity Announcements. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR Part 52 and 42 CFR Part 52a; Administrative requirements at 45 CFR Part 74.

Regional or Local Office:

None.

Headquarters Office:

Director, Tobacco Regulatory Science Program Helen I. Meissner, ScM, PhD, National Institutes of Health6705 Rockledge DriveRoom 733, MSC 7990, Bethesda MD 20892

Email: TRSP@mail.nih.gov

Phone: 301-451-7660

Fax: 301-480-5588

Website Address:

<https://prevention.nih.gov/tobacco-regulatory-science-program>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment. Relevance to Tobacco Control Regulatory Research program priorities will also be taken into consideration.

93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Public Health Service Act, Section 1703 (a), 42 U.S.C. Section 300u-2,

OBJECTIVES:

To assist in planning or developing emergency care health information and promotion activities, documents or materials that focus on strengthening emergency care and service delivery and the development of regionalized, coordinated and accountable systems of emergency care in the United States that can address both daily demands and those resulting from a public health emergency or disaster.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grant funds may only be used for planning health information and promotion activities and materials that are related to strengthening emergency care delivery and the development of regionalized, coordinated and accountable systems of emergency care. Grants funds may not be used for basic research (e.g. scientific or medical experiments.). When appropriated and apportioned, all funds available will be used for discretionary grant awards.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Other private institution/organization; Native American Organizations; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments;

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Domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Credentials/Documentation:

Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Grant Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

August 30, 2012. Applicable deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 120 days

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are from 6 months and up to 5 years. Budget periods are from 6 months and up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Grant Award, a legal document that informs the awardee that a grant award has been made. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF425 cash transaction report is due 30 days after the end of each calendar quarter. Grantees are required to submit Program Progress Reports at the completion of each milestone as well as a final Program Progress Report. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring of awardees is completed by ASPR program staff. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF425 cash transaction report is due 30 days after the end of each calendar quarter. Specific reporting conditions will be outlined in the terms

and conditions of the Notice of Award and may vary. Grantees are required to submit program reports, also known as Program Progress Reports. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring of awardees is completed by ASPR program staff. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Reference FOA for detailed information. Available on Grants.gov

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

Cooperative Agreements FY 18 \$220,000.00; FY 19 est \$300,000.00; FY 20 est \$300,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1013.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Project Officer Kristen Finne,
Office of Policy and Planning, Division of Health Systems Policy, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, O'Neill Federal Building, 200 C Street SW, 1st Floor, Cube C1A04, Washington, District of Columbia , 20024,
Washington DC 20024
Email: kristen.finne@hhs.gov
Phone: 202-631-2013

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Discretionary awards are subject to objective review. Reviewers will individually evaluate and score applications based upon the review criteria specified in the funding opportunity announcement. Scored applications will be ordinally ranked. The highest ranked applications will receive priority consideration for award within available funding.

93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance

ASSISTANCE LISTINGS DESCRIPTIONS

NA.

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title III, Part B, Section 317(b)(k)(2), 42 USC Code 247(b)(k)(2).

OBJECTIVES:

Funding is to improve the health and well-being of our nations youth by working with education and health agencies, and other organizations to reduce HIV, STD, teen pregnancy, and related risk behaviors among middle and high school students. Notice of Funding Opportunity PS18-1807 offers an approach that includes three overall components: 1) school-based surveillance; 2) school-based HIV/STD prevention; and 3) technical assistance and capacity building.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding will build the capacity of districts and schools to contribute to reducing HIV infection, other STD, and related risk behaviors among adolescents, as well as reducing disparities in HIV infection and other STD among specific adolescent sub-populations. Recipients will improve the health of middle school and high school students by:* collecting and using quality surveillance data;* strengthening HIV/STD instruction and delivery;* strengthening access to/use of key health services; and* establishing / maintaining safe and supportive environments.

Applicant Eligibility:

U.S. Territories and possessions; State; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Component 1 eligible applicants are limited to:State Governments or their Bona Fide Agents (includes the District of Columbia);Local Governments or their Bona Fide Agents;Territorial Governments or their Bona Fide Agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau Governments;American Indian or Alaska Native tribal governments (federally recognized or state-recognized); andAmerican Indian or Alaska native tribally designated organizations.If an education agency declines to apply for funding, the health agency in its jurisdiction or the health agencies Bona Fide Agent may apply on its behalf. To obtain and then maintain funding for Component 2, local education agencies are required to apply for and meet the additional requirements of Component 1. While agencies are encouraged to apply for funding for both YRBS and Profiles, education or health agencies in jurisdictions that are not applying for Component 2 funding are permitted to apply for a reduced amount of funding under Component 1 for a single survey (either YRBS or Profiles).Component 2 eligible applicants are limited to local education agencies (LEA) only. An LEA must demonstrate an ability to reach a minimum of 10,000 students in priority schools (high schools, or a combination of middle and high schools) with the proposed work plan in order for the application to be eligible for review.LEA with an enrollment of less than 10,000 students may combine with other geographically contiguous districts to create a consortium application. In doing so, the consortium must designate a single LEA to submit the application and, if funded, administer the program. This designated LEA will become the fiscal agent and responsible agency for all activities under this cooperative agreement. Existing regional structures such as Boards of Cooperative Educational Services (BOCES) or their equivalent may also apply.Component 2 applicants are also required to apply for and meet the requirements of Component 1.Component 3 eligibility is open to all applicants.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Education Professional; State; Local; School; Youth (16-21); Major Metropolis (over 250,000); Education (0-8); Education (9-12); Public nonprofit institution/organization; Official state

education agencies in states and territories in the United States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands); local education agencies; public and private non-profit organizations that serve education organizations; school-aged youth; and school personnel including, but not limited to, teachers, school nurses, paraprofessionals, and school administrators.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants should inform their state single point of contact (SPOC) as early as possible that they are applying prospectively for federal assistance and request instructions on the state's process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Phase I Review:All applications will be initially reviewed for eligibility and completeness by CDC Office of Grants Services. Complete applications will be reviewed for responsiveness by the Grants Management Officials and Program Officials. Non-responsive applications will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility and/or published submission requirements.Phase II Review: A review panel will evaluate complete, eligible applications in accordance with the criteria below.i. Approach ii. Evaluation and Performance Measurement iii. Applicants Organizational Capacity to Implement the Approach.Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.Phase III review will be conducted as follows:Technical review: non-competitive review for Component 1 State Education Agency and Territorial Education Agency applicants only.Objective review: competitive review for Component 1 Local Education Agency and Tribal Government Education Agency applicants; and for all Component 2 and 3 applicants.

Deadlines:

February 13, 2018 - April 13, 2018.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Renewals will be based upon the conditions in the notice of funding opportunity and are subject to the availability of funds under Section 317 of the Public Health Service Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period can be up to 5 years. Budget periods are for 12 months. Method of awarding/releasing assistance: other

Reports:

Annual Performance Report (APR) - No later than 120 days before end of budget period. Serves as yearly continuation application.Final Performance and Financial Report - 90 days after end of project period. Federal Financial Reporting Forms - 90 days after end of calendar quarter in which budget period ends.Payment Management System (PMS) Reporting - Quarterly reports due. Data on

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Performance Measures - CDC program will work with recipients to determine due dates.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions.

Account Identification:

79-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$16,900,000.00; FY 21 est \$16,900,000.00; FY 22 est \$16,900,000.00;

Range and Average of Financial Assistance:

Awards will range from approximately \$12,000 to \$530,000 (subject to the availability of funds), with an average of approximately \$200,000, depending on the specific components funded.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients must comply with the administrative and public policy requirements outlined in 45 CFR Part 75 and the HHS Grants Policy Statement, as appropriate.

Regional or Local Office:

None.

Headquarters Office:

Deputy Branch Chief John Canfield,
CDCNCHSTPDASH1600 Clifton Road NE, US8-1,
Atlanta GA 30333
Email: qzc6@cdc.gov
Phone: 404-718-8333
Fax: 404-718-8045

Website Address:

<http://www.cdc.gov/healthyyouth>

RELATED PROGRAMS:

93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Office of Grant Services (OGS) staff and for responsiveness jointly by the National Center for HIV/AIDS, Viral Hepatitis, STD and TB Prevention and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct an objective review to evaluate complete and responsive applications according to the criteria listed in Part II, Section E. Application Review Information within the individual notice of funding opportunity. Applicants will be notified if their application did not meet program requirements.

93.080 Blood Disorder Program: Prevention, Surveillance, and Research

Division of Blood Disorders - Prevention, Surveillance and Research,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act Sections 301, 301(a), 311, and 317C (42 U.S.C. Sections 241, 241(a), 237b-4, 243, 247-4, and 247b-4, as amended,

OBJECTIVES:

To work with State health agencies and other public, private, and nonprofit organizations in planning, development, implementation, coordination, or evaluation of programs or other activities related to improved blood disorders. To provide a national, State or local focus for the prevention of conditions in persons with blood disorders; to employ epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with blood disorders. To expand public health training opportunities through fellowships to introduce geneticists, epidemiologists, and clinicians to public health practice through education, training and career-enhancing experiences.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, to expand, to build upon or to enhance surveillance, health promotion, education and training, prevention/intervention activities that contribute to the mission and activities of the Division of Blood Disorders. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for blood disorders outcomes, including related health promotion, education and training, and prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations and among state and local agencies and public and private sector organizations in the United States.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; State; N/A

Beneficiary Eligibility:

U.S. Territories; Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; N/A

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform

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Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Office of Grants Services, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2700. Funding announcements will be posted on www.grants.gov. Forms and instructions are available in an interactive format on the Grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 120 days. After review and approval, a Notice of Award from the CDC Office of Grants Services is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grants Services, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDCs commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required annually. A plan of action is required annually. Cash reports are not applicable. Progress reports are required annually. A plan of action is required annually. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required annually. A plan of action is required annually. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required annually. A plan of action is required annually. Financial status reports are required no later than 90 days after the end of each specified funding period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$8,466,345.00; FY 21 est \$8,897,760.00; and FY 22 est \$8,897,760.00;

Range and Average of Financial Assistance:

FY20/21 Financial Assistance is dependent upon funding availability. Award amounts range from \$57,000 to \$4,300,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit.

Regional or Local Office:

Headquarters Office:

Kendall Anderson,
1600 Clifton Road, NE MS-E64,
Atlanta GA 30333
Email: kra0@cdc.gov
Phone: 404-498-3950

Website Address:

<http://www.cdc.gov/NCBDDD>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 DD20-2002, Evaluation of Health Promotion and Prevention Programs for Blood Disorders; DD 20-2004 American Thrombosis and Hemostasis Network

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and

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appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national, regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.081 ASPR Science Preparedness and Response Grants

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Public Health Service Act section 301 and 1702 of the PHS Act.,

OBJECTIVES:

To conduct preparedness and response research that will inform the ongoing response to, and recovery from disasters

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Research on environmental exposure: such as health risk from mold, toxic exposure, flooding of hospitals. Research on resilience factors Surveys regarding decision making during response and recovery. How can social media be or is being used for response and recovery activities for preparedness and response activities?

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Research on environmental exposure: such as health risk from mold, toxic exposure, flooding of hospitals. Research on resilience factors Surveys regarding decision making during response and recovery. How can social media be or is being used for response and recovery activities for preparedness and response activities?

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Health Professional; Scientist/Researchers; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Domestic, foreign and international public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Credentials/Documentation:

Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants should review the individual Assistant Secretary for Preparedness and Response (ASPR) funding opportunity announcement (FOA) issued under this CFDA program for

any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Grant Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Applicable deadlines and due dates are specified in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are from 6 months and up to 5 years. Budget periods are from 6 months and up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Grant Award, a legal document that informs the awardee that a grant award has been made. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee may draw down funds in advance of the incursion of expenditure (up to three days) from the Payment Management System. Method of awarding/releasing assistance: letter

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Grantees are required to submit program reports, also known as Program Progress Reports. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. . Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. The FFR SF425 cash transaction report is due 30 days after the end of each calendar quarter. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Grantees are required to submit Program Progress Reports at the completion of each milestone as well as a final Program Progress Report. Performance monitoring of awardees is completed by ASPR program staff. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. ASPR requires financial reporting through the Federal Financial Report (FFR), Standard Form 425. Reports are due semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Specific reporting conditions will be outlined in the terms and conditions of the Notice of Award and may vary. Performance monitoring of awardees is completed by ASPR program staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Nonfederal entities that expend less than \$700,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be kept available for 3 years after submission of the final expenditure report

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Account Identification:

75-0140-0-1-551.

Obligations:

Cooperative Agreements FY 18 \$0.00; FY 19 est \$0.00; FY 20 est \$100,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0551.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Office of the Assistant Secretary for Preparedness and Response, ASPR, HHS.

Headquarters Office:

Chief Grants Management Officer Virginia Simmons,

HHS Headquarters, 200 C Street, SW,

Washington DC 20024

Email: virginia.simmons@hhs.gov

Phone: 202.260.0400

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.082 Sodium Reduction in Communities

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under Section 317(k)(2) of the Public Health Services Act (PHS Act), 42 U.S.C. 247b(k)(2) as amended.,

OBJECTIVES:

The purpose of this program is to reduce Americans sodium intake to limits recommended by the Dietary Guidelines. Because the majority of sodium that people consume is already present in foods before purchase or preparation, reducing sodium intake may require increased availability and accessibility of lower sodium items. Efforts to reduce sodium in the food supply are occurring at a national level; however movement is also needed at the community level to affect change. Because community-wide efforts to reduce sodium intake are relatively new, more practice-based evidence would support identification and refinement of effective strategies. Therefore, this program will aim to implement and evaluate population-based strategies to increase access to lower sodium food in communities, and decrease sodium intake.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting sodium reduction strategies at the community and/or state level. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. CDC will have substantial involvement with the award recipient during performance of the funded activity.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher

education and hospitals; Federally Recognized Indian Tribal Governments; State; a. An official state health department (or its bona fide agent), or its equivalent, as designated by the Governor, is to serve as the lead/fiduciary agency for Small City and Rural Community applications. For this announcement, the term State includes the 50 states and the District of Columbia. The term small city is defined as a local health department that serves a jurisdiction with a population between 50,000 and 250,000 people. The term rural area is defined as a local health department that serves a jurisdiction with a population of 50,000 people and below. b. An official local health department (or its bona fide agent), or its equivalent, as designated by the mayor, county executive, or other equivalent governmental official, will serve as the lead/fiduciary agent for a Large City application. For this announcement, the term large city is defined as a local health department that serves a jurisdiction with a population of more than 500,000 people. c. Federally recognized Tribal Governments, Regional Area Indian Health Boards, Urban Indian organizations, and Inter-Tribal Councils will serve as the lead/fiduciary agency for Tribal Community applications.

Beneficiary Eligibility:

Anyone/general public; Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awardees will receive an electronic copy of the Notice of Award (NoA) from the CDC PGO. The NoA shall be the only binding, authorizing document between the awardee and CDC. The NoA will be signed by an authorized GMO and emailed to the awardee program director. Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements. Unsuccessful applicants will receive notification of the results of the application review by email with delivery receipt.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

>180 Days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Annual progress reports are required Annual progress reports are required Federal Financial Reports are required 90 days after the end of the calendar quarter. Annual Progress reports are required

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Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

Cooperative Agreements FY 20 \$2,999,949.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

250,000 for Large City Applicants; \$350,000 for state coordinated applicants (this amount is subject to the availability of funds)

TAFS Codes:

75-0094.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Highway,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770-488-2855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I Review: All eligible applications will be initially reviewed for completeness by the CDCs Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the FOA. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Applications will be funded in order by score and rank determined by the review panel.

93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301(a); 42 U.S.C. 241(a) Public Health Service Act, Section 307; 42 U.S.C. 242 Public Health Service Act, Section 317; 42 U.S.C. 247b(k)(1) Public Health Service Act, Section 317; 42 U.S.C.

247b(k)(2) Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020, Public Law 116-123,

OBJECTIVES:

The purpose of this program is to strengthen capacity to prevent disease, disability, and death through immunization and control of respiratory and related diseases. The specific objectives may include, but are not limited to: 1. Increase the population of children and adults who are free from respiratory infectious disease complications. 2. Strengthen local, state, and national capacity for the early detection, investigation, response and control of respiratory infections in order to accelerate early recognition of respiratory threats and to mitigate the impact of their spread. 3. Control the rise in antimicrobial resistant respiratory infections in the community. 4. Strengthen prevention and control of respiratory infections in institutional settings, such as health care facilities and workplaces. 5. Reduce mortality from pneumonia and other severe respiratory infections. 6. Strengthen capacity for early detection, investigation, response, and control of respiratory infectious threats.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds may be used towards prevention and control of disease, disability, and/or death through immunization against respiratory and related diseases. Restrictions to funding are designated in the individual Notice of Funding Opportunity (NOFO) and, for those awarded, in the Notice of Award (NoA).

Applicant Eligibility:

Government - General; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federal; Small business (less than 500 employees); Profit organization; Dependent on the NOFO, eligibility may range from open competition, limited competition, single-source, domestic and/or international in accordance with the authorizing language.

Beneficiary Eligibility:

Anyone/general public;

Credentials/Documentation:

Applications should be submitted in compliance with instructions provided with each individual Notice of Funding Opportunity (NOFO). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Refer to the individual NOFO for the administrative requirements and application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Procedures are specified in the individual NOFOs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. As specified in the individual NOFO.

Appeals:

Not Applicable.

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Renewals:

Other. Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via the publication of a new/continuation NOFO with a complete application and review/award process as described in the NOFO. Annual renewals within an awarded multi-year project period are made via a non-competitive process and are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget period(s) within a 1- to 5-year project period. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with Department of Health and Human Services (DHHS). Method of awarding/releasing assistance: lump sum.

Reports:

Program performance reports may be required, as noted in the NOFO. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be required as noted in the NOFO. Performance reports may be required as noted in the NOFO.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine Public Health Service grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$14,988,748.00; FY 21 est \$6,000,000.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

\$500,000 - \$2,000,000, Average \$1,000,000

TAFS Codes:

75-1309.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Barbara Stewart,
1600 Clifton RoadMS: E-60,
Atlanta GA 30333

Email: bsg2@cdc.gov

Phone: 4047188832

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national, regional and local public health agencies and organizations; and (4) reasonableness of the proposed budget in relation to the work proposed. Specific selection criteria are provided in the individual NOFOs.

93.084 Prevention of Disease, Disability, and Death by Infectious Diseases

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301(a); 42 U.S.C. 241(a)Public Health Service Act, Section 307; 42 U.S.C. 242IPublic Health Service Act, Section 317; 42 U.S.C. 247b(k)(1)Public Health Service Act, Section 317; 42 U.S.C. 247b(k)(2)Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020, Public Law 116-123Coronavirus Aid, Relief, and Economic Security (CARES) Act, Public Law 116-136,

OBJECTIVES:

The purpose of this program is to prevent disease, disability and death by infectious diseases. The specific objectives may include, but are not limited to: strengthening public health fundamentals; increasing capacity to prevent disease, disability and death; infectious disease surveillance; laboratory detection; epidemiologic investigation and public health response; expansion of public health and clinical laboratories in disease control and prevention; workforce development and training to sustain and strengthen public health practice; and increase community and individual engagement in disease prevention efforts; strengthen global capacity to detect and respond to outbreaks with the potential to cross borders.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used in the prevention or control of disease, disability and/or death by infectious diseases. Restrictions to funding are designated in the individual Notice of Funding Opportunity (NOFO) and, for those awarded, in the Notice of Award (NoA).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Profit organization; Small business (less than 500 employees); Federal; Interstate; Intrastate; Dependent upon the individual NOFO, eligibility may range from open competition, limited competition, single-source, domestic and/or international in accordance with the authorizing legislation.

Beneficiary Eligibility:

Anyone/general public;

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each

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individual Notice of Funding Opportunity (NOFO). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Refer to the individual NOFO for the administrative requirements and application procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Procedures are specified in the individual NOFOs.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. As specified in the individual NOFOs.

Appeals:

Not Applicable.

Renewals:

Other. Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available in a new/continuation NOFO with a complete application and review/award process as described in the NOFO. Annual renewals within an awarded multi-year project period are made via a non-competitive process and are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for 12-month budget period (s) within a 1- to 5-year project period. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with Department of Health and Human Services (HHS). Method of awarding/releasing assistance: lump sum.

Reports:

Program performance reports may be required, as noted in the NOFO. Upon completion of the project, final financial status and performance reports are required as noted in the NOFO. Required as described in the individual NOFO. May be required as noted in the NOFO.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine Public Health Service grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with HHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$44,566,071.00; FY 21 est \$40,000,000.00; FY 22 est \$30,000,000.00;

Range and Average of Financial Assistance:

\$100,000 - \$10,000,000; Average \$3,000,000

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Barbara Stewart,
1600 Clifton RoadMS: C8-1,
Atlanta GA 30329
Email: bsg2@cdc.gov
Phone: 4047188832

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national, regional and local public health agencies and organizations; and (4) reasonableness of the proposed budget in relation to the work proposed. Criteria for selection are available in the individual NOFOs.

93.085 Research on Research Integrity

Ensuring Research Integrity,

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act (42 U.S.C. 241, Title 111, Part 42U.S.C., Section 301

OBJECTIVES:

This program supports projects, conferences, and workshops that advance the evolving field of research integrity and produce tangible outcomes related to ensuring research integrity and compliance with 42 C.F.R. Part 93. Projects must be designed to support efforts to ensure the integrity and reliability of PHS-funded research through the research, creation, and implementation of innovative practical approaches/tools/resources that improve practices related to research integrity, the responsible conduct of research, and/or compliance with 42 C.F.R. Part 93. Innovative approaches, tools, and/or resources developed through this funding opportunity should be disseminated and made freely available for use by the PHS-funded research community. Conferences or workshops must be designed to provide a forum for discussion and produce tangible outcomes related to at least one of the following themes: 1) fostering an environment that promotes research integrity and the responsible conduct of research; 2) prevention of research

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misconduct; 3) effective handling of research misconduct allegations; 4) training in the responsible conduct of research; or, 5) other topics linked to research integrity and compliance with 42 C.F.R. Part 93. The proposed conferences or workshops should be designed to produce measurable outcomes, such as demonstrated retention of knowledge, sharing of knowledge gained, and/or change in practice and are expected to disseminate materials and key knowledge resulting from the conference/workshop.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program supports projects, conferences, and workshops that advance the evolving field of research integrity and produce tangible outcomes related to ensuring research integrity and compliance with 42 C.F.R. Part 93. Projects must be designed to support efforts to ensure the integrity and reliability of PHS-funded research through the research, creation, and implementation of innovative practical approaches/tools/resources that improve practices related to research integrity, the responsible conduct of research, and/or compliance with 42 C.F.R. Part 93.

Innovative approaches, tools, and/or resources developed through this funding opportunity should be disseminated and made freely available for use by the PHS-funded research community. Conferences or workshops must be designed to provide a forum for discussion and produce tangible outcomes related to at least one of the following themes: 1) fostering an environment that promotes research integrity and the responsible conduct of research; 2) prevention of research misconduct; 3) effective handling of research misconduct allegations; 4) training in the responsible conduct of research; or, 5) other topics linked to research integrity and compliance with 42 C.F.R. Part 93. The proposed conferences or workshops should be designed to produce measurable outcomes, such as demonstrated retention of knowledge, sharing of knowledge gained, and/or change in practice and are expected to disseminate materials and key knowledge resulting from the conference/workshop.

Applicant Eligibility:

Federal; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; U.S. Territories and possessions; Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Any public or private entity, including faith-based, community-based, and Indian Tribes or Tribal organizations, are eligible to apply. Foreign institutions (non-domestic U.S.) entities and foreign components of U.S. organizations are eligible to apply if the activity can be shown to provide a domestic benefit.

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Individuals and public, private, profit or nonprofit organizations

Credentials/Documentation:

Nonprofit organizations may be requested to provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties. 45 CFR 75, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Applications are submitted in response to specific announcements posted on Grants.gov. All applications must be submitted using the Department of Health and Human Services Standard Form 424. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification, and other required information. Application packages should be accessed through the appropriate notice of funding opportunity, found on Grants.gov website (<http://www.grants.gov/>). Applications are reviewed by principally nonfederal consultants recruited nationwide from the research and research integrity fields. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications are evaluated for scientific and technical merit by a panel of independent experts who make recommendations for action to the Office of Research Integrity. After considering the recommendations of the panel, the Director of the Office of Research Integrity makes the final decision on selecting applications to be recommended to the Grants Management Officer for funding. The Grants Management Officer will conduct a risk assessment in accordance with 45 CFR part 75, and will then make the awards. All applicants are notified in writing of actions taken on their applications. More information can be found at <https://www.hhs.gov/grants/grants/get-ready-for-grants-management/grant-process/index.html>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contact the headquarters or regional office, as appropriate, for application deadlines.

Appeals:

Not Applicable. All decisions are final including level of funding. There is no appeal process.

Renewals:

Other. Support is recommended for a specified period of performance, not in excess of five years. Prior to the end of a period of performance, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a new competing request.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are generally funded on in 12-month budget period(s). Awards may generally have a period of performance of 1 to 3 years, with 1 year being the most common for this program. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, availability of funds, continuing program relevance, and best interests of the government. Method of awarding/releasing assistance: other

Reports:

Program reports are required. quarterly and annual programmatic reports describing progress, delays and next steps. Performance monitoring is not applicable. Quarterly SF425 Federal Financial Reports must be submitted to the HHS Payment Management System 30 days after the end of each quarter of the federal fiscal year. 1) Interim progress reports semi-annually or annually as specified in the Notice of Award or as part of a non-competing application for previously recommended support; (2) final cumulative progress report within 120 days after end of project support. Quarterly SF425 Federal Financial Reports must be submitted to the OASH Grants and Acquisitions Management Division via the HHS Payment Management System 30 days after the end of each quarter of the federal fiscal year. An final FFR must be submitted 120 days after the end of the project period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit

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Requirements.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 75.361, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

Project Grants (Discretionary) FY 20 \$652,177.00; FY 21 est \$650,000.00; and FY 22 est \$500,000.00;

Range and Average of Financial Assistance:

FY 2020 Range: \$43,769 - \$141,377; Average: \$108,696.17FY 2021 Anticipated Range: \$25,000 - \$600,000; Anticipated Average: N/AFY 2022 Anticipated Range: \$25,000 - \$150,000; Anticipated Average: N/A

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Program: CAPT Stephen Gonsalves, PhD, MPH1101 Wootton Parkway, Suite 240 Rockville, Maryland 20852 240-453-8400Stephen.Gonsalves@hhs.govOASH Grants and Acquisitions Management:Roscoe Brunson 1101 Wootton Pkwy, Plaza LevelRockville, MD 20852240-453-8822Roscoe.Brunson@hhs.gov

Headquarters Office:

Roscoe Brunson,
Tower Building Suite 100, 1101 Wootton Parkway,
Rockville MD 20852
Email: Roscoe.Brunson@hhs.gov
Phone: (240) 453-8822.

Website Address:

<http://ori.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 1 Conference Grant2 Program Development and Evaluation Grants3 Research Grants 2021 2 Conference Grants 1 Cooperative Agreement - Research on and Resource Development for Needs Related to Data Management 2022 1-2 Conference Grants3-6 Research, Development, and Demonstration Grants

CRITERIA FOR SELECTING PROPOSALS:

All applications are evaluated for scientific and technical merit by a panel of independent experts who make recommendations for action to the Office of Research Integrity. After considering the recommendations of the panel, the Director of the Office of Research Integrity makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. More information can be found at

<https://www.hhs.gov/grants/grants/get-ready-for-grants-management/grant-process/index.html>. Consultation on a proposed project may be obtained from the Division of Education and Integrity staff responsible for the research.

93.086 Healthy Marriage Promotion and

Responsible Fatherhood Grants

(HMRF).

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 403(a)(2); 42 USC 603(a)(2), The Claims Resolution Act of 2010 (CRA), , Public Law 111-291

OBJECTIVES:

The funds awarded under the HMRF appropriation (which includes funds for Tribal TANF-Child Welfare (TTCW)) have three objectives: (1) To fund Healthy Marriage (HM) Promotion projects that provide a broad array of healthy marriage promotion activities and services designed to integrate skills-based healthy marriage education, along with additional services to address relationship skills and job and career advancement opportunities, for adults (age 18 and older); as well as projects that provide a broad array of healthy marriage promotion services designed to support healthy relationships and marriage, including the value of marriage in future family formation and skills-based healthy relationship and marriage education to youth in high school (grades 9-12), high-school-aged youth (ages 14-17), and/or youth in late adolescence to early adulthood (ages 18-24); (2) To fund Responsible Fatherhood (RF) promotion projects designed to provide a broad array of services to promote or sustain healthy marriage and relationships (including couple and co-parenting), strengthen positive father-child engagement, and improve employment and economic stability opportunities for adult fathers (ages 18 and older); and (3) To fund demonstration projects designed to test the effectiveness of tribal governments or tribal consortia in coordinating the provision to tribal families at risk of child abuse or neglect of child welfare services and services under tribal programs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Healthy Marriage Promotion activities include the following: (I) Public advertising campaigns on the value of marriage and the skills needed to increase marital stability and health. (II) Education in high schools on the value of marriage, relationship skills, and budgeting. (III) Marriage education, marriage skills, and relationship skills programs, that may include parenting skills, financial management, conflict resolution, and job and career advancement. (IV) Pre-marital education and marriage skills training for engaged couples and for couples or individuals interested in marriage. (V) Marriage enhancement and marriage skills training programs for married couples. (VI) Divorce reduction programs that teach relationship skills. (VII) Marriage mentoring programs which use married couples as role models and mentors in at-risk communities. (VIII) Programs to reduce the disincentives to marriage in means-tested aid programs, if offered in conjunction with any activity described in this subparagraph. Responsible Fatherhood activities include: (I) Activities to promote marriage or sustain marriage through activities such as counseling, mentoring, disseminating information about the benefits of marriage and 2-parent involvement for children, enhancing relationship skills, education regarding how to control aggressive behavior, disseminating information on the causes of domestic violence and child abuse, marriage preparation programs, premarital counseling, marital inventories, skills-based marriage education, financial planning seminars, including improving a family's ability to effectively manage family business affairs by means such as education, counseling, or mentoring on matters related to family finances, including household management, budgeting, banking, and handling of financial transactions and home maintenance, and divorce education and reduction programs, including mediation and counseling. (II) Activities to promote responsible parenting through activities such as counseling, mentoring, and mediation, disseminating information about good parenting practices, skills-based parenting education, encouraging child support payments, and other methods. (III) Activities to foster economic stability by helping fathers improve their economic status by providing activities such as work first services, job search, job training, subsidized employment, job retention, job enhancement, and encouraging education, including career-advancing education, dissemination of employment materials, coordination with existing employment services such as welfare-to-work programs, referrals to local employment training initiatives, and other methods. For Tribal TANF Child

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Welfare, recipients may use funds to (I) to improve case management for families eligible for assistance from such a tribal program; (II) to provide supportive services and assistance to tribal children in out-of-home placements and the tribal families caring for such children, including families who adopt such children; and (III) to provide prevention services and assistance to tribal families at risk of child abuse and neglect. For Healthy Marriage Promotion and Responsible Fatherhood Grants, the Secretary may not award funds on a noncompetitive basis, and may not provide any such funds to an entity for the purpose of carrying out healthy marriage promotion activities or for the purpose of carrying out activities promoting responsible fatherhood unless the entity has submitted to the Secretary an application which describes how the programs or activities proposed in the application will address, as appropriate, issues of domestic violence; and what the applicant will do, to the extent relevant, to ensure that participation in the programs or activities is voluntary, and to inform potential participants that their participation is voluntary; and contains a commitment by the entity to not use the funds for any other purpose; and to consult with experts in domestic violence or relevant community domestic violence coalitions in developing the programs and activities. All appropriations are considered discretionary within this funding stream.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Under the Healthy Marriage Promotion provision of the authorizing legislation, all public and private entities are eligible to apply (including, but not limited to, state, territorial, local, and quasi-governmental agencies, Native American tribal governments and tribal organizations, nonprofit organizations, independent school districts, public, private or Tribal institutions of higher education, and for-profit entities). Eligible applicants under Responsible Fatherhood provision of the authorizing legislation include: states, territories, Native American tribes and tribal organizations (including state, territorial, and tribal post-secondary educational institutions), and public and nonprofit community entities (including religious organizations and public and private nonprofit post-secondary educational institutions). The Responsible Fatherhood provision of the authorizing legislation, however, does not permit for-profit entities to be eligible to receive funds (including, but not limited to, for-profit businesses, corporations, agencies or organizations, or for-profit institutions of higher education, trade, or technical schools). Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards under both the Healthy Marriage and Responsible Fatherhood promotion provisions of the authorizing legislation. The eligible applicants under the Tribal TANF and Child Welfare Services program are: Indian tribes and Alaska Native regional non-profits that administer a Tribal TANF program or a consortia of two or more Indian tribes that administers a Tribal TANF program. For all programs under this listing: Applications from individuals (including sole proprietorship) and foreign entities are not eligible.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); U.S. Citizen; Refugee/Alien; Local; Low Income; Public nonprofit institution/organization; Persons who may benefit from the assistance includes, individuals, couples, and youth in need of assistance with Healthy Marriage services. For the Responsible Fatherhood programs, beneficiaries are fathers (with children who are up to age 24) interested in Responsible Fatherhood activities, including parenting education, economic stability services, and marriage and relationship education (grantees may not discriminate on the basis of race, gender, age, disability, or religion). Tribal TANF Child Welfare funds assist in the efforts to enhance and expand the ability of States, Native American governments, local governments, for-profit organizations, non-profit community organizations and other public entities to provide family formation and responsible fatherhood services to those in need.

Credentials/Documentation:

Any nonprofit agency was required to provide proof of its nonprofit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. No applications are currently being accepted. Applicants may find Notices of Funding Opportunities (NOFOs) on <https://grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>.

Award Procedure:

The previous competitive award for all three programs under this listing was conducted in September 2020 for a 5-year project period. We do not anticipate the award of new grants until 2025, assuming congressional appropriation. The award procedure for the most recent cohort was: Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. All grants were approved/disapproved by September 2020. Non-Competitive Continuations awards will be approved/disapproved by September 2021.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

>180 Days. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grants are awarded for a five year project period, with annual appropriations for both HMRF and TT-CW. Method of awarding/releasing assistance: other **Reports:**

Funded organizations are required to submit quarterly program reports. Funded organizations are required to submit semi-annual progress reports. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information,

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see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance reports include information obtained from submitted semi-annual reports, site visits, and technical assistance provision conducted during biennial meetings.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1552-1-0-506.

Obligations:

Project Grants (Discretionary) FY 20 \$59,589,340.00; FY 21 est \$58,840,340.00; FY 22 est \$58,840,340.00; Project Grants (Discretionary) FY 20 \$59,316,854.00; FY 21 est \$59,316,853.00; and FY 22 est \$59,316,853.00; Project Grants (Discretionary) FY 20 \$1,800,000.00; FY 21 est \$1,800,000.00; and FY 22 est \$1,800,000.00;

Range and Average of Financial Assistance:

For the FY 2020 cohort, the range of assistance under HM program is \$577,000 - \$1,500,000. The average award is \$1,182,412. The range of assistance under RF program is \$508,000 - \$1,500,000. The average award is \$1,022,704. The average award is \$225,000 per budget period for TTCW.

TAFS Codes:

75-1552.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Awarded 113 new grants under the new HMRP grant competition. TTCW projects awarded 8 new grants under the TTCW grant competition for FY 2020. Fiscal Year 2021: 112 noncompetitive continuation awards were awarded under the HMRP grant and 8 noncompetitive continuation awards were awarded under the TTCW program. Fiscal Year 2022: It is projected that 112 noncompetitive continuation awards will be awarded in the HMRP program and 8 continuation awards will be awarded in the TTCW program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Millicent Crawford,
Office of Family Assistance (OFA), 330 C Street SW,
Washington DC 20201

Email: millicent.crawford@acf.hhs.gov

Phone: 202-205-8184

Fax:

Website Address:

<https://www.acf.hhs.gov/ofa/programs/healthy-marriage-responsible-fatherhood>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications competing for financial assistance will be reviewed and evaluated using the criteria described in Section V.1 Criteria of the published HMRP and TTCW NOFOs.

Regional Partnership Grants (RPG)s,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, as amended, Title IV, Part B, Section 437(f), 42 USC Code 629g.

OBJECTIVES:

To provide, through interagency collaboration, an integration of program activities and services that are designed to increase well-being, improve permanency outcomes, and enhance the safety of children who are in an out-of-home placement or are at risk of being placed in out-of-home care as a result of a parent's or caretaker's opioid or other substance abuse.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are provided for services or activities that are consistent with the purpose of section 437(f) of Title IV-B of the Social Security Act and may include the following: (1) family-based comprehensive long-term substance abuse treatment services; (2) early intervention and preventative services; (3) children and family counseling; (4) mental health services; (5) parenting skills training; and (6) replication of successful models for providing family-based comprehensive long-term substance abuse treatment services. Other suggested activities and services are listed in the NOFO.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The Secretary has the authority to make grants to Regional Partnerships. As required by the legislation, Regional Partnership means a collaborative agreement (which may be established on an interstate, state, or intrastate basis) entered into by mandatory and optional partners. Applicants must identify a primary applicant responsible for administering the grant. Any member of the Regional Partnership is eligible to be a lead applicant provided that: (1) the partner is one of the eligible entities described below and, (2) the member agency or organization has the capacity to sufficiently monitor program activities or services, funding, and reporting requirements described in the Notice of Funding Opportunity (NOFO). Mandatory Partners The state child welfare agency responsible for the administration of the state plan under title IV-B and title IV-E of the Social Security Act (must be included in the partnership unless it is a Regional Partnership entered into by Indian tribes or tribal consortia (section 437(f)(2)(D)(i)); The state agency responsible for administering the substance abuse prevention and treatment block grant provided under subpart II of part B of title XIX of the Public Health Service Act; and The Juvenile Court or Administrative Office of the Court that is most appropriate to oversee the administration of court programs in the region and to address the population of families who come to the attention of the court due to child abuse or neglect, only when the partnership is proposing to serve children in out-of-home placement. Optional Partners An Indian tribe or tribal consortium (federally recognized); Non-profit or for-profit child welfare service providers; Community health service providers, including substance abuse treatment providers; Community mental health providers; Local law enforcement agencies; School personnel; Tribal child welfare agencies (or a consortia of the agencies); Any other providers, agencies, personnel, officials, or entities that are related to the provision of child and family services under a State plan approved under subpart 2-Promoting Safe and Stable Families of Title IV-B of the Social Securities Act. While institutions of higher education (e.g., universities) are neither specifically named as eligible applicants, or listed as one of the organizations that may be the primary applicant responsible for administering the grant, please note that the above bulleted list includes the following: "School personnel" and "Any other providers, agencies, personnel, officials, or entities that are related to the

93.087 Enhance Safety of Children Affected by Substance Abuse

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provision of child and family services under a state plan approved under this subpart 2- Promoting Safe and Stable Families of Title IV-B of the Social Securities Act." If an applicant can demonstrate that their organization meets either of these descriptions, then that organization can be an eligible applicant and may operate as a lead agency. Additional Partner Information. While the relevant court and court offices are mandatory partners only when the partnership is proposing to serve children in out-of-home placement, CB strongly encourages the participation of courts, judicial offices, judges, court personnel, and juvenile justice officials in Regional Partnerships. If the Regional Partnership is located in a state-supervised, county-administered state, the county child welfare agency satisfies the administration of the state plan requirement. In such a Regional Partnership, the state agency that is ultimately responsible for state plan compliance under title IV-B and title IV-E is not required to be a partner in the Regional Partnership but also is not precluded from participating as a member of the Regional Partnership. In a state-administered system, a local office of the state child welfare agency can participate in the Regional Partnership and satisfy this statutory requirement. As required by the legislation, if an Indian tribe or tribal consortium is the lead applicant for a Regional Partnership, the Indian tribe may (but is not required to) include the state child welfare agency as a partner in the collaborative agreement. If the Regional Partnership is an Indian tribe or tribal consortia, they may not enter into a collaborative agreement only with tribal child welfare agencies (or a consortium of such agencies). The Indian tribe or tribal consortium applicant should enter into a collaborative agreement with at least two of the parties listed above. If the partnership proposes to serve children in out-of-home placements, the partnership may include tribal court organizations in lieu of other judicial partners. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Drug Addict; Infant (0-5); Child (6-15); Youth (16-21); Agencies or organizations serving children and families who have experienced or are at risk of experiencing an out of home placement as a result of a parent's or caregiver's opioid or other substance abuse.

Credentials/Documentation:

Nonprofit organizations must provide proof of nonprofit status. Title 45 CFR Part 75 applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR Part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://grants.gov).

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge

and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Three to six months.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The required match must increase over the course of the grant period, such that recipients must contribute 15 percent of the costs of grant-funded services and activities in year 1 and year 2; 20 percent of such costs in year 3 and year 4; and 25 percent of such costs in year 5. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are available for a 36-month or 60-month project period. Renewal funding for multiple-year grants is dependent upon recipient performance and availability of funds. Method of awarding/releasing assistance: other

Reports:

Recipients must select and track performance indicators that measure the extent to which grant-funded activities and services enhance the safety, permanency, and well-being of children and their families. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Recipients must select, track and semi-annually report on performance indicators that measure the extent to which grant-funded activities and services enhance the safety, permanency, and well-being of children and their families. In addition, recipients are required to have a local evaluation that is of sufficient rigor to assess impacts on service delivery and outcomes, as well as participate in the national cross-site evaluation. Tangible Personal Property Reports: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with requirements in 45 CFR 75 Subpart F.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365 .

Account Identification:

75-1512-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$21,366,455.00; FY 21 est \$10,171,634.00; FY 22 est \$15,079,854.00;

Range and Average of Financial Assistance:

For FY 2020, the range of grants were from \$71,167 to \$1,068,750 with an average grant of \$610,470.14.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Regional Partnership Grant program awarded 35 grants in FY 2020. Fiscal Year 2021: It is anticipated that there will be 17 grants awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Child Welfare Program Specialist Dori Sneddon,
Office on Child Abuse and Neglect, Children's Bureau, 330 C Street SW.,
Washington DC 20201
Email: dori.sneddon@acf.hhs.gov

Phone: (202) 205-8024

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.556 Promoting Safe and Stable Families; 93.600 Head Start; 93.648 Child Welfare Services Training Grants; 93.669 Child Abuse and Neglect State Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the Notice of Funding Opportunity.

93.088 Advancing System Improvements for Key Issues in Women's Health

Improving Health of Women and Girls,

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 300u-2(a), , 42 USC Code 300u-2(a)..

OBJECTIVES:

To promote program and systems innovation, policy and performance management and strategic communications that will advance improvement for key issues in women's health. Programs will use evidence-based methodologies to support model

programs, inform and advance policies and educate the public and professionals. Evaluation and outcomes reporting will be integral activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to implement evidence-based strategies to achieve the HHS Office on Women's Health (OWH) program objectives. Applicants should identify, test, evaluate and promote effective, sustainable programs, policies and strategic communications for women and girls. Funds are to support reasonable program purposes including staffing, supplies, and services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; State; Other public institution/organization; Small business (less than 500 employees); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Nonprofit organizations must provide on request evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; U.S. Citizen; Women; All women and girls, adults and children, including those underserved and minority populations, usually residing in the U.S., including Bone Fide territories, cities and islands.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application kits may be obtained by accessing Grants.gov at <http://www.grants.gov>. Applications are submitted in response to specific announcements published on Grants.Gov. All applications must be submitted using the Department of Health and Human Services forms as specified in the announcement. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification, evaluation criteria and other required information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Applications that fail to meet the screening criteria will not be reviewed and will receive no further consideration. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be made by the OWH and will take into consideration the recommendations and ratings of the review panel; program objectives and needs; stated preferences in the announcement; geographic location; and recommendations based on a pre-award site visit, if conducted. Official notice of approved application is made through the issuance of a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Refer to Notice of Funding Opportunity (NOFO).

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Approximately 60 - 90 days from the application deadline due date.

Appeals:

Not Applicable. All funding decisions, including the level of funding, are final and not appealable.

Renewals:

>180 Days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are generally funded on a 12-month basis, with an overall period of performance of 3-5 years. Support beyond the first year is contingent upon satisfactory progress, continuing program relevance, and the availability of funds. Method of awarding/releasing assistance: other

Reports:

Grantees are expected to report all data by sex, race and age. Routine Program Reports are required twice a year, although the Program Officer reserves the right to require a different schedule to align reporting periods or to request more/less frequent reporting based on performance or program scheduling issues. The final program report will be summative. An edited version will accompany the final Financial Status Report. Reports must be submitted electronically through GrantSolutions (or other system if required by extenuating circumstances). Federal Cash Transaction Reports SF425 are due 30 days after the end of the quarter of the federal fiscal year and must be submitted electronically through the Payment Management System. Grantees are expected to report all data by sex, race, and age. Routine Program Reports are required twice a year, although the Program Officer reserves the right to require a different schedule to align reporting periods or to request more/less frequent reporting based on performance or program scheduling issues. The final program report will be summative. An edited version will accompany the final Financial Status Report. Reports must be submitted electronically through GrantSolutions (or other system if required by extenuating circumstances). Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter. Reports are to be submitted electronically through GrantSolutions. Quarterly Progress reports, yearly progress reports, and site visit reports are requirements of OWH grants and cooperative agreements.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 45 CFR 75, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 75, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$8,743,416.00; FY 21 est \$4,300,000.00; FY 22 est \$5,100,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR part 75. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Assistance Locations. Program Management Contact: Office on Women's Health, DHHS200 Independence Avenue, SW Room 712E Washington, DC 20202 Telephone 202-690-7650 Grants Management Contact: Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Associate Grants Management Officer Scott Moore,
1101 Wootton Parkway, Plaza Level,
Rockville MD 20852
Email: scott.moore@hhs.gov
Phone: (240) 453-8822.

Website Address:

<http://www.womenshealth.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The rating criteria and the weight attached to each criterion is included in the Federal Register announcement that requests project proposals. The criteria typically include such concerns as the significance and usefulness of the project; the appropriateness of the intervention/measurement methodology and design; the qualifications of personnel and the sponsoring organization; and the likelihood that the work plan and budget will produce the intended results.

93.089 Emergency System for Advance Registration of Volunteer Health Professionals

(ESAR-VHP).

FEDERAL AGENCY:

IMMEDIATE OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Title III, Section 319I, Public Health Service Act (42 U.S.C. 247d-7b), as amended by the Pandemic and All-Hazards Preparedness Reauthorization Act (PAHPRA) of 2013, Public Law 113-5.,

OBJECTIVES:

To establish and maintain a national interoperable network of state systems. Each system is maintained by a State or group of States for the purpose of verifying the credentials, certifications, licenses, relevant training, and hospital privileges of health care professionals who volunteer to provide health services during a public health emergency.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds may be used for ESAR-VHP related activities only.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State health departments of all 50 States, the District of Columbia, the

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nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Local; Major Metropolis (over 250,000); Public nonprofit institution/organization; Other public institution/organization; All State health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual ASPR funding opportunity announcement issued under this CFDA program for any proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained through Grants.gov - FIND. Applicants should visit Grants.gov - FIND for the funding opportunity announcement and Grants.gov - APPLY to download application materials to complete and submit their applications in accordance with the funding opportunity announcement (FOA).

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

September 30, 2010. Applicable deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days. None.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are limited up to 36 months. Budget periods are limited up to 12 months. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Grantees are required to submit semi-annual Program Progress Reports as well as final Program Progress Reports. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Reference FOA for detailed audit requirements.

Records:

Records must be kept available for 3 years after submission of the final expenditure report

Account Identification:

75-0140-0-1-551.

Obligations:

Project Grants (Discretionary) FY 18 \$0.00; FY 19 est \$0.00; FY 20 est \$200,000.00;

Range and Average of Financial Assistance:

\$58,478 to \$200,000 per award.

TAFS Codes:

75-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Headquarters Office:

Team Lead, ESAR-VHP Jennifer Hannah,
Division of National Healthcare Preparedness Programs, Office of Emergency Management, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, 200 C Street, SW, Washington, District of Columbia 20024, Washington DC 20024
Email: jennifer.hannah@hhs.gov
Phone: (202) 245-0722.

Website Address:

<http://www.phe.gov/esarvhp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Purpose and Need; (2) Approach, Work Plan and Activities; (3) Project Outcomes, Evaluation/Dissemination; and (4) Level of Effort.

93.090 Guardianship Assistance

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 473

OBJECTIVES:

The objective of the Guardianship Assistance Program (GAP) is to provide Federal financial participation (FFP) to states, Indian tribes, tribal organizations and tribal consortia (tribes) who opt to provide guardianship assistance payments to relatives who have assumed legal guardianship of eligible children that they previously cared for as foster parents. This assistance is intended to prevent inappropriately

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long stays in foster care and to promote the healthy development of children through increased safety, permanency, and well-being.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal assistance may be used only in support of the care of children who meet the eligibility requirements and their siblings under certain situations as specified in the statute. All relatives assuming guardianship of such children are eligible for the nonrecurring expenses associated with obtaining legal guardianship up to \$2,000. A state or tribe may receive FFP only if its Title IV-E plan has been approved by the Secretary.

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; Funds are available to states (including the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam and American Samoa) and to tribes with approved Title IV-E plans.

Beneficiary Eligibility:

Individual/Family; Infant (0-5); Child (6-15); Youth (16-21); Beneficiaries are children who meet the following requirements: (1) the child has been eligible for Title IV-E foster care maintenance payments while residing for at least six consecutive months in the home of the prospective relative guardian; (2) the state or tribe has determined that the permanency options of being returned home or adoption are not appropriate for the child; (3) the child demonstrates a strong attachment to the prospective relative guardian and the prospective guardian is committed to caring permanently for the child; and (4) for children who have attained the age of 14, the child has been consulted regarding the kinship guardianship arrangement. Beneficiaries may also be siblings of eligible children placed in the same kinship guardianship arrangement. FFP is available to states and tribes for payments made to a relative guardian in accordance with a kinship guardianship agreement that is in writing, negotiated, and binding. The program was amended (through Public Law 113-183) effective September 29, 2014 to allow continuation of Title IV-E kinship guardianship assistance payments if the relative guardian dies or is incapacitated and a successor legal guardian is named in the agreement (or in any amendments to the agreement.)

Credentials/Documentation:

No credentials or documentation required. Federal Financial Participation is available to a state or tribe operating under an approved title IV-E plan. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Consultation is available to states and tribes from ACF Regional Offices. Applications are made in the form of a Title IV-E Plan, prepared in the format provided by the ACF Children's Bureau. An SF-424 and the Title IV-E plans and amendments must be submitted through the Online Data Collection (OLDC) system.

Award Procedure:

Quarterly awards are made on the basis of estimated expenditures, which are later revised to include only actual allowable claimed expenditures. Quarterly estimates are due on July 30, October 30, January 30 and April 30 each year. Final decisions and awards are made by the headquarters office. Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The Administration for Children and Families (ACF) will approve/disapprove applications (Title IV-E plans or amendments to them) within 45 days of receipt by ACF.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable. Awards remain effective without plan renewal but Title IV-E plans may be amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter Section 471(a)(28), Part E, Subpart N/A, Public Law 110-351. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The non-Federal share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the Title IV-E agency. Matching funds from state agencies must be appropriated monies raised from non-Federal revenue sources. Matching funds from tribal agencies must also be appropriated monies, but may, at the option of the tribe, include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs. Additionally, in accordance with 45 CFR 1356.68, for administration and training cost only, tribal matching funds may include in-kind expenditures (or contributions) from allowable third-party sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Title IV-E agency may implement and claim allowable guardianship assistance program costs beginning on the first day of the quarter in which an approvable title IV-E plan amendment is submitted to the Administration for Children and Families (ACF) to implement the GAP. Method of awarding/releasing assistance: quarterly

Reports:

Title IV-E agencies must report semi-annually on the children assisted, as required by the Secretary. A report of estimated expenditures, actual expenditures, and number of children assisted (Form CB-496) is required 30 days after the end of each quarter. Other reports may be necessary as required by the Secretary. Tangible Personal Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 45 CFR Part 75 Subpart F applies to this program.

Records:

States and tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs. Retention requirements for records are covered of 45 CFR 75.361-365.

Account Identification:

75-1545-0-1-609.

Obligations:

Formula Grants FY 20 \$219,124,078.00; FY 21 est \$270,858,000.00; FY 22 est

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\$253,993,000.00;

Range and Average of Financial Assistance:

Fiscal Year 2020: Grants to states ranged from \$1,403 to \$83,258,943 with an average of \$5,095,908.79. Fiscal Year 2020: Grants to tribes ranged from \$1,403 to \$1,074,894 with an average of \$326,749.50.

TAFS Codes:

75-1545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Grants were awarded to 39 states and 4 tribes in FY 2020. Fiscal Year 2021: It is anticipated that grants will be awarded to 40 states and 4 tribes in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program guidance in ACYF-CB-PI-10-01 which can be found at <https://www.acf.hhs.gov/cb/resource/pi1001>. Additional program guidance can be found in ACYF-PI-10-11 at <https://www.acf.hhs.gov/cb/policy-guidance/pi-10-11>

Regional or Local Office:

None. Contact the Children's Bureau Regional Program Managers

Headquarters Office:

Liliana Hernandez,
330 C Street SW, Room 3507B,
Washington DC 20201
Email: liliana.hernandez@acf.hhs.gov
Phone: (202) 205-8086

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E; 93.659 Adoption Assistance; 93.674 Chafee Foster Care Independence Program; 93.603 Adoption and Legal Guardianship Incentive Payments;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.092 Affordable Care Act (ACA) Personal Responsibility Education Program

PREP.

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

PREP is authorized and funded by Section 513 of the Social Security Act, Public Law 115-123, (42 U.S.C. 713), as amended by Section 50503 of the Bipartisan Budget Act of 2018 (Pub. L. No. 115-123) extended by Division CC, Title III, Section 302 of the Consolidated Appropriations Act, 2021 (Pub. L. No. 116-260), Title Social Security Act, Section 513, Public Law 115-123, 42 USC Code 713.

OBJECTIVES:

The purpose of this program is to support projects that educate youth between 10 and 19 years of age and pregnant and parenting youth under age 21 on abstinence and contraception for the prevention of pregnancy and sexually transmitted infections (STIs), including human immunodeficiency virus (HIV)/acquired immunodeficiency syndrome (AIDS). Funds will support the implementation of projects that replicate evidence-based, effective programs, or substantially incorporate elements of effective programs that have been proven on the basis of rigorous scientific research to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth. Projects are further required to incorporate at least three of six adulthood of six adult preparation subjects, to include healthy life skills, adolescent development, parent-child communication, financial literacy,

educational and career success, and healthy relationships. The overall goal of the Competitive PREP program is to educate adolescents on both abstinence and contraception for the prevention of pregnancy and STIs, including HIV/AIDS. The following are objectives of the Competitive PREP program: 1. Replicate evidence-based effective programs or incorporate elements of effective programs that are proven to change behaviors, including delaying sexual activity, increasing condom and contraceptive use for sexually active youth, or reducing pregnancy among youth; 2. Implement curricula that includes medically accurate information (referenced in peer-reviewed publications by educational, scientific, governmental, or health organizations) and is age-appropriate, culturally appropriate, and inclusive; 3. Promote successful and healthy transition to adulthood through the implementation of at least three of six adulthood preparation subjects; and 4. Target prevention education to youth between the ages of 10 and 19, or pregnant and parenting youth under 21 years of age, who are at high-risk for becoming pregnant or who have special circumstances.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The purpose of this program is to carry out personal responsibility education programs designed to educate adolescents on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections, including HIV/AIDS.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); This program has a total annual appropriation of \$75,000,000 from which funds in the amount of \$55,250,000 are allocated annually to fund 59 states and territories to enable them to carry out Personal Responsibility Education Programs (PREP). Eligible applicants include the 50 United States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Mariana Islands, Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. States or territories that did not apply for funding in FY 2010 or 2011, are no longer eligible to apply for this program for the five year project period and their allotments are made available as Competitive PREP grants to community-based organizations for the same State or territory. Competitive PREP eligible applicants are organizations or entities, including faith-based organizations or consortia, located in the following states or territories only: Florida, Indiana, Kansas, North Dakota, Texas, Virginia, American Samoa, and Marshall Islands. Funds (\$10,000,000) were reserved to award PREP Innovative Strategies (PREIS) grants to entities to implement innovative youth pregnancy prevention strategies and target services to high-risk, vulnerable, and culturally under-represented youth populations. Funds (\$3,250,000) were reserved for Tribal PREP grants to Indian tribes and tribal organizations. Funds (\$6,500,000) were reserved for program support that may be provided directly or through a competitive award process. Program support includes research, training and technical assistance, providing consultation and resources on a broad array of teen pregnancy prevention strategies, and developing materials to support grant activities. Funds shall also be reserved to evaluate PREP programs and activities.

Beneficiary Eligibility:

Individual/Family; Child (6-15); Youth (16-21); Education (0-8); Education (9-12); PREP will provide services to adolescents and young adults. Applicants are encouraged to serve youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances, including youth in and aging of out foster care, homeless youth, youth with HIV/AIDS, victims of human trafficking, pregnant youth who are under 21 years of age, mothers who are under 21 years of age, and youth residing in areas with high birth rates for youth. Applicants must include the most recent pregnancy data for the State for youth

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ages 10 to 14 and youth ages 15-19, the most recent birth rates among the same age groups, and trends in those rates for the most recently preceding 5-year period for which data are available.

Credentials/Documentation:

A signed letter from the authorized representative must accompany each application; it should include documentation establishing the authorized representatives authority to apply for and administer the PREP grant program funds on behalf of the State or Territory. For other funding available under this CFDA number, non-profit organizations applying for funding are required to submit proof of their non-profit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. The PREP program includes formula and competitive grant awards to a range of entities for which Notices of Funding Opportunities are published. Applicants for competitive programs may find the Notice of Funding Opportunity (NOFO) on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>, and may apply on <https://grants.gov>. State PREP, a formula grant program, recipients submitted an application in FY2010 or FY2011. Applications, to include state plans, were rated Approved or Approved with Conditions by Program Administrators. States that received an Approved rating were awarded grants without a requirement to submit additional information. States submitting state plans that were Approved with Conditions" received grant awards with a request to submit documentation to address areas of weakness as noted in the review process. States and territories awarded FY2010 or FY2011 funds submitted a letter of intent in order to receive their FY2021 award.

Award Procedure:

Each State or Territory shall be allotted at least \$250,000 or an amount determined by a formula, using the number of individuals who have attained age 10, but not attained age 19 in the State or Territory to the total number of such individuals in the entire U.S. based on the most recent Census data, whichever is greater. For competitive funds allotted to states and territories (States), the Administration for Children and Families (ACF) advises states and territories of available funds through a NOFO. States and territories submitted a letter of intent in order to receive awards in FY2020 and FY2021. There are 51 State PREP grantees. In FY2022, it is anticipated that states and territories will submit a letter of intent to receive awards. For discretionary awards, each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part.

Successful applicants may be funded at an amount lower than requested.

Deadlines:

See the Notice of Funding Opportunity for deadline information.

Range of Approval/Disapproval Time:

From 60 to 90 days. Consult the NOFO or contact the headquarters office, as appropriate, for application deadlines.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

>180 Days. A competitively funded PREP awardee may request non-competing continuation awards in subsequent budget periods of the designated project period. For the State PREP formula grants, after the initial award, states can submit a letter of intent to receive subsequent annual awards throughout the five-year grant cycle. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds allotted to states and territories for a fiscal year shall remain available for expenditure by the State through the end of the second succeeding fiscal year. If a State or territory did not submit an application for FY2016 or FY2017, they are no longer be eligible to submit an application to receive funds during the current five-year project period. State PREP grantees awarded in FY2016 and FY2017 submitted a letter of intent to receive funds in FY2018 through FY2020. The Competitive PREP grant funding is available over a 36-month project period from the date of award. Annual funding for this program is to be expended within 12 months of award. The PREIS grantees were awarded funding through cooperative agreements over a five-year project period. The annual funding is to be expended at the end of the project period. A FY2021 funding opportunity for a second cohort of PREIS grants with a five-year project period was published and it is estimated that 12 grants will be awarded. Tribal PREP grants are discretionary awards with a five-year project period. Annual awards are to be expended at the end of the project period. An FY2021 funding opportunity for a second cohort of Tribal PREP grants with a five year project period was published and it is anticipated that 8 programs will be awarded. Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds, based on need and project progress. Method of awarding/releasing assistance: other

Reports:

There is a requirement for performance measurement data collection and performance progress reporting (e.g., monitoring requirements) for the State PREP, PREIS, Tribal PREP and Competitive PREP grantees. Grantees must submit all required reports in a timely manner. The grantees shall submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these in a timely manner could affect future funding. The report is an accounting of expenditures for each budget year of the project. Performance progress reports for discretionary programs are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is semi-annual. For more information, see:

<https://www.acf.hhs.gov/grants/post-award-requirements> Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting is quarterly. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements>. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. FYSB requires project officers to conduct routine performance reviews that includes off-

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site and on-site monitoring of the Personal Responsibility Education grants.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1512-0-1-506.

Obligations:

Formula Grants FY 20 \$43,520,794.00; FY 21 est \$43,457,270.00; FY 22 est \$43,457,270.00; Project Grants (Discretionary) FY 20 \$22,351,775.00; FY 21 est \$33,846,510.00; FY 22 est \$33,846,510.00;

Range and Average of Financial Assistance:

In FY2021, the anticipated range for State PREP awards to 51 states and territories is \$250,000 or an amount determined by a formula, using the number of individuals who have attained age 10 but not attained age 19 in the State or Territory to the total number of such individuals in the entire U.S. based on the most recent Census data, whichever is greater. The anticipated range for awards to 12 PREP Innovative Strategies grantees is \$600,000 to \$900,000, with an average award amount anticipated to be \$750,000. The anticipated range for awards to 8 Tribal PREP grantees is \$350,000 to \$600,000, with an average award amount of \$400,000. The range for awards to 30 anticipated Competitive PREP grantees is \$250,000 to \$700,000, with an average anticipated award amount of \$475,000. Each State or Territory shall be allotted at least \$250,000 or an amount determined by a formula, using the number of individuals who have attained age 10 but not attained age 19 in the State or Territory to the total number of such individuals in the entire U.S. based on the most recent Census data, whichever is greater. Applicants for which Census data are not available will be eligible for the minimum allocation of \$250,000. The FY2020 range for State PREP awards to 51 states and territories was \$250,000 or an amount determined by a formula, using the number of individuals who have attained age 10 but not attained age 19 in the State or Territory to the total number of such individuals in the entire U.S. based on the most recent Census data, whichever is greater. The range for the awards to 12 PREP Innovative Strategies grantees was \$483,609 to \$860,338. The range for the awards to 8 Tribal PREP grantees was \$314,681 to \$594,259. The range for awards to the 21 Competitive PREP grantees was \$250,000 to \$791,193.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: There were 51 formula awards and 41 discretionary awards. Fiscal Year 2021: There are 51 new formula awards and 41 new discretionary awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been developed at this time. Governing authorities shall be the legislative language and the terms and conditions of the grant and cooperative agreement awards as described in the NOFO.

Regional or Local Office:

None.

Headquarters Office:

Program Specialist LeBretia White, Program Manager,
330 C Street, SW,
Washington DC 20201
Email: lebretia.white@acf.hhs.gov
Phone: 2022059605
Fax:

Website Address:

<http://www.acf.hhs.gov/programs/fysb/content/programs/top.htm>

RELATED PROGRAMS:

93.787 Title V Sexual Risk Avoidance Education Program (Discretionary Grants); 93.235 Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program; 93.060 Sexual Risk Avoidance Education ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funded State programs must replicate evidence-based, effective programs or strategies that substantially incorporate elements of effective programs that have been proven on the basis of rigorous scientific research to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth. The programs must include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception. Such activities should teach adulthood preparation subjects including healthy relationships, adolescent development, financial literacy, parent-child communication, educational and career success, and healthy life skills. The programs must: be medically-accurate and complete; include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception; place substantial emphasis on both abstinence and contraception for the prevention of pregnancy among youth and sexually transmitted infections; and must provide age-appropriate information and activities. The information and activities carried out under the program must be provided in the cultural context that is most appropriate for individuals in the particular population group to which they are directed. REQUIREMENTS OF INNOVATIVE STRATEGIES PROJECTS: Proposals are funded based on how effectively they can develop, replicate, refine, and test innovative strategies for preventing teenage pregnancy. Programs must be medically accurate, age appropriate, and target services to identified vulnerable and at-risk populations. The programs must include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception. Such activities should teach adulthood preparation subjects including healthy relationships, adolescent development, financial literacy, parent-child communication, educational and career success, and healthy life skills. Funded projects are expected to generate lessons learned so others can benefit from these strategies and innovative approaches and increase the capacity of communities to develop, implement, and evaluate interventions for dissemination and replication by others. Funded projects must show that the proposed intervention is: (a) based on some preliminary evidence of effectiveness; (b) a significant adaptation of an evidence-based program; or (c) is a new and innovative approach to teenage pregnancy prevention with a sound basis in research. REQUIREMENTS OF TRIBAL PROJECTS: Criteria for Tribal projects were developed by the Secretary, in consultation with Indian Tribes and Tribal Organizations that were deemed appropriate. Tribal PREP funding is directed toward the development of culturally appropriate comprehensive teen pregnancy prevention programs that incorporate medically accurate approaches replicating effective programs or elements of programs that have been demonstrated to change behavior. Tribal PREP focuses on the same fundamental requirements as the State PREP projects-the education of youth on both abstinence and contraception and on other adulthood preparation subjects with the goal of preventing teen pregnancy and sexually transmitted infections (STIs) including HIV/AIDS. Funds support PREP efforts that are dedicated to the development of comprehensive, high quality interventions and services that rely on the best available research evidence to inform and guide practice. Since there are few studies of teen pregnancy prevention programs targeting youth in Tribal communities, adaptation and innovation are important. Further, programs that currently serve Native youth have not been rigorously evaluated and may not offer strong models for replication. Tribes and tribal organizations are encouraged, therefore, to adapt evidence-based (EB) models (or elements of EB models) that address the unique cultural needs of their communities. Adaptation must retain core elements of proven effective programs. REQUIREMENTS OF COMPETITIVE PREP PROJECTS: Competitive PREP funds support projects that educate youth between the ages of 10 and 19, and pregnant and parenting youth, under age 21, on abstinence and contraception for teen prevention of pregnancy and sexually transmitted infections (STIs), including

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HIV/AIDS. Applicants must also provide programming on at least three of six adulthood preparation subjects that are discussed in the published FOA. Further PREP funding is directed toward the development of comprehensive, teen pregnancy prevention programs that incorporate medically accurate approaches, while replicating evidence-based programs or elements of programs that have been demonstrated to change behavior. FYSB's Competitive PREP funding supports efforts that are dedicated to the development of comprehensive, culturally appropriate interventions and services that rely on the best available research to inform and guide practice.

93.093 Health Profession Opportunity Grants

HPOG,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title XX, Section 2008, 42 USC Code 1397g.

OBJECTIVES:

HPOG provides grants to support demonstration projects that are designed to provide Temporary Assistance to Needy Families (TANF) program recipients and other low-income individuals with the opportunity to obtain education and training for occupations in the health care field that pay well and are expected to either experience labor shortages or be in high demand. HPOG participants are given the opportunity to obtain higher education, training, and support services needed to secure positions that have opportunity for advancement and sustainability, ultimately leading these individuals on a pathway to financial self-sufficiency. HPOG grantees offer educational and training programs in a wide variety of healthcare occupations that result in an employer- or industry-recognized certificate or degree. Many programs have been designed as career pathways connecting progressive levels of education and training with employment opportunities.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The funds may be used to provide education and training to TANF recipients and other low-income individuals for occupations in the health care field that pay well and are expected to either experience labor shortages or be in high demand. Funds may be used for participant supportive services, including financial aid, child care, and case management.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An eligible applicant is a State, an Indian tribe or tribal organization, an institution of higher education, a local workforce investment board established under section 117 of the Workforce Investment Act of 1998, a sponsor of an apprenticeship program registered under the National Apprenticeship Act or a community-based organization. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents); Women; Youth (16-21); Unemployed; Welfare Recipient; Low Income; Eligible individual beneficiaries are individuals receiving assistance under the State TANF program; or other low-income individuals described by the eligible entity in its application for a grant under this section. Eligible individuals for the HPOG program are further described under

section 2008(a)(4)(B) of the Social Security Act.

Credentials/Documentation:

Non-profit organizations applying for funding are required to submit proof of their non-profit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants must demonstrate that they have consulted with the State agency responsible for administering the State TANF program, the local workforce investment board in the area in which the project is to be conducted (unless the applicant is such board), the State workforce investment board established under section 111 of the Workforce Investment Act of 1998, and the State Apprenticeship Agency recognized under the Act of August 16, 1937 (commonly known as the National Apprenticeship Act) (or if no agency has been recognized in the State, the Office of Apprenticeship of the Department of Labor) and that the project will be carried out in coordination with such entities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find funding opportunity announcements (FOAs) on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://www.grants.gov>. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in FOA. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

See the Notice of Funding Opportunity (NOFO) for application deadline information.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

From 60 to 90 days. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be made for 60 months with five 12-month budget periods. Awards made in 2015 received an additional 12-month supplement and extension. Funding for each budget period is contingent on satisfactory progress, the availability of funds, and the continued best interests of the Federal government. Method of awarding/releasing assistance: other

Reports:

The recipients shall submit an annual Federal Financial Report (FFR). An FFR is due no later than 90 days after the end of the applicable 12-month period and failure to submit these timely could affect future funding. The SF-425 FFR will be used to report Financial Status and Cash Transactions. The report is an accounting of expenditures under the project that year. Recipients must submit semi-annual performance progress reports. Each semi-annual progress report is due no later than 30 days after the end of the reporting period. Performance progress reports are required using the OMB approved, Performance Progress Report (PPR) specific to HPOG. The PPR is generated from PAGES (the HPOG management information system) and submitted through GrantSolutions. The frequency of reporting will be listed in the funding opportunity announcement, cooperative agreement, and in the award terms and conditions. For more information, see:

https://www.acf.hhs.gov/discretionary-post-award-requirements#book_content_1. Federal staff primarily use the semi-annual performance progress reports for the purpose of performance monitoring. Actual progress is compared to projected outcomes. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the funding opportunity announcement, cooperative agreement, and in the award terms and conditions. For more information, see:

<https://www.acf.hhs.gov/grants/discretionary-post-award-requirements>. Tangible Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property-Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75.45 CFR Part 75 Subpart F applies to this program.

Records:

All financial records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1534-0-1-506.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$70,907,900.00; FY 21 est \$70,907,900.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The range is \$889,896 - \$3,000,000. The average is 2,247,500.

TAFS Codes:

75-1534.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 32 non-competing continuations were issued. Fiscal Year 2021: 32 supplement and extension awards were issued. Fiscal Year 2022: The program has not been authorized or appropriated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the Funding Opportunity Announcement. Additional information can be found on HPOG's Grantee FAQ page https://www.acf.hhs.gov/ofa/faq?f%5B0%5D=faq_program_area%3A428.

Regional or Local Office:

None.

Headquarters Office:

Program Manager Dvora Wilensky,
330 C Street SW, Suite 3026,
Washington DC 20201
Email: dvora.wilensky@acf.hhs.gov
Phone: 202-205-4997

Fax:

Website Address:

<http://www.acf.hhs.gov/ofa/programs/hpog>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 More information about the types of projects funded can be found on the Find HPOG Programs webpage (<https://www.acf.hhs.gov/ofa/map/hpog-grantee-locations>), including one page abstracts for each funded project.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the Funding Opportunity Announcement(s).

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments

A National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under section 317(k) (2) of the Public Health Service Act [42 U.S.C. 247(b)(k)(2)].

OBJECTIVES:

This project will support the operations and continuous improvement of a national accreditation program for state, tribal, local and territorial public health departments. This program will result in increased visibility for accreditation, a stronger public health accreditation program, increased demonstration of accountability of public health programs to decision makers and to the public, increased adoption of evidence based practices, increased use of quality improvement, improved capacity for state, tribal, local and territorial health departments to meet nationally established accreditation standards, and increased recognition of public health roles and value by leaders and the public. Specific objectives may/will include but are not limited to: 1. Support communications and education regarding the accreditation program. 2. Establish a process of continuous improvement of the accreditation standards, tools, and processes to ensure a relevant, current and smoothly functioning program (all elements of the current program can be found at www.phaboard.org). 3. Strengthen strategic partnerships to support accreditation. 4. Strengthen the evidence base for accreditation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting the National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments, and for the implementation

ASSISTANCE LISTINGS DESCRIPTIONS

of the Public Health Accreditation Board (PHAB) program elements as described in Section 050 above and through CDC allowable and unallowable expenses.

Applicant Eligibility:

Other private institutions/organizations; 1. Eligible Applicants: Public Health Accreditation Board (PHAB), a nonprofit with 501(c)3 IRS status. 2. Special Eligibility Requirements: The only eligible applicant for this award is the Public Health Accreditation Board (PHAB), a 501(c)3 independent accrediting body that administers the national voluntary public health department accreditation program. 3. Justification for Less than Maximum Competition: The nature of the activities under this award are best employed by focusing on the entity to which this cooperative agreement is limited, per memorandum for approval for single-eligibility competition, which was approved and dated December 20, 2012. PHAB was found to be uniquely qualified, as it is the organization that has been established as the national accrediting body for state, tribal, local and territorial health departments. PHAB was determined to have the experience and program infrastructure, which includes field-driven and tested standards, measures and accreditation assessment process. PHAB is also widely accepted as the national accrediting body for state, local tribal and territorial health departments by the constituency organizations for these agencies and as evidenced by the visible mention of PHAB within national policy documents (e.g., Institute of Medicine reports, Healthy People 2020).

Beneficiary Eligibility:

U.S. Territories; State; Local; State, Tribal, Local and Territorial Public Health Departments via a National Voluntary Accreditation Program administered by the Public Health Accreditation Board (PHAB), a 501(c)3 independent accrediting entity.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Phase I Review: Eligible application will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible application will be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive application to the eligibility criteria will not advance to Phase II review. Applicant will be notified that the application did not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive application according to the criteria listed in the criteria section of the FOA. Applicant will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Successful applicant will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

July 01, 2013 - July 30, 2018. Five year project period.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the five-year

project period. As specified in Notice of Award: Lump sum upon completing program activities. Method of awarding/releasing assistance: lump sum.

Reports:

Per Funding Opportunity Announcement CDC-RFA-OT13-1301, Part II Section F.3 Reporting, page 33, and as additionally specified/detailed/listed in Notice of Award. Awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. The Annual Performance Report (due 120 days before the end of the budget period and serves as a continuation application).. Preapproved budget tracking and reconciliation as part of Progress Report. Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed. Performance Measure Reporting: Awardees is required to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$955,000.00; FY 21 est \$1,235,000.00; FY 22 est \$955,000.00;

Range and Average of Financial Assistance:

1 award at an approximate average award of: \$900,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT13-1301, and as additionally specified/detailed/listed in most current Notice of Award, and program elements/requirements found in PHAB National Voluntary Public Health Departments Accreditation Program (www.phaboard.org)

Regional or Local Office:

None.

Headquarters Office:

Kimberly J. Wilson,
MS V18-1, Centers for Disease Control and Prevention,
Atlanta GA 30329

Email: KJWilson@cdc.gov

Phone: 4044986121

Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes;
93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

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As specified in Funding Opportunity Announcement CDC-RFA-OT13-1301 Application Review and Selection Process listed in Section (093) Award Procedure above.

93.098 Tribal Public Health Capacity Building and Quality Improvement

QTIPS,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order EO13175, . This program is authorized under section 317(k)(2) of the Public Health Service Act [42 USC 247(b)(k)(2), as amended]. In addition, this program is authorized under Executive Order 13175 [http://www.gsa.gov/portal/content/101569]. AR-15: Proof of Non-profit Status applies..

OBJECTIVES:

This program will provide funding to improve tribal health systems quality, effectiveness, and efficiency in the delivery of public health services to American Indians/Alaska Natives (AI/AN). The intent is to provide support to optimize the quality and performance of tribal public health systems, tribal public health practice and services, tribal public health partnerships, and tribal public health resources. Specifically, assistance will be provided in the following two Priority Areas: (1) tribal public health capacity building, and (2) performance evaluation and monitoring of programs. Through this FOA, CDC seeks to fund eligible federally recognized tribes and/or tribally designated organizations, whose mission is to improve the health status of Native people to provide capacity building in strengthening tribal health systems, and evaluating and monitoring awarded tribal public health capacity building projects in the AI/AN communities. Specific objectives and outcomes may/will include but are not limited to: 1) Decrease burden of disease over a five year period among AI/AN ; 2) Increase efficiencies and effectiveness of tribal public health systems; 3) Increase quantity and quality of tribal public health services and cost effectiveness; 4) Increase dissemination of proven interventions for tribal public health services to AI/AN persons; 5) Increase adoption of effective strategies among Tribal public health systems implementation, cultural adaptation, and fidelity factors with evidence based models.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Project funds may be used for allowable costs associated with planning, organizing, conducting, and supporting the implementation of The Tribal Public Health Capacity Building and Quality Improvement (CBQI) program elements described in Section (050) above in compliance with HHS/CDC allowable expenses.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); This program will provide funding to improve tribal health systems quality, effectiveness, and efficiency in the delivery of public health services to American Indians/Alaska Natives (AI/AN). The intent is to provide support to optimize the quality and performance of tribal public health systems, tribal public health practice and services, tribal public health partnerships, and tribal public health resources.

Beneficiary Eligibility:

Native American Organizations; American Indian; Tribal Public Health Capacity Building and Quality Improvement Infrastructure and Tribal Entities and Organizations as described/listed in Section (080) Eligibility Requirements, above.

Credentials/Documentation:

All eligible applicants must be able to demonstrate the following: 1) Have experience effectively working with AI/AN populations, and 2) Have the ability to methodically and efficiently reach tribal members in AI/AN communities. All eligible applicants must describe their experience in effectively delivering AI/AN

culturally-specific programs and have a proven record of demonstrated success in monitoring and evaluation of the same AI/AN culturally-specific programs. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Phase I Review: Eligible applications will be initially reviewed for completeness by the CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive applications to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application did not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the FOA. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office (PGO). The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

September 01, 2013 - August 31, 2018. Renewals for FYs 2014-2018 Contact the headquarters or regional office, as appropriate for application deadlines or refer to most recent CDC-RFA-OT13-1303 FOA Announcement.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 60 to 90 days after publication on Grants.gov.

Appeals:

Not Applicable.

Renewals:

Other. Renewals for FY2014-2018 will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for 12-months (In Five 12-month budget periods), within the five-year project period. Funds Released: As specified in most recent Notice of Award: Lump sum upon completing program activities. Method of awarding/releasing assistance: lump sum.

Reports:

Per Funding Opportunity Announcement CDC-RFA-OT13-1303, Part II Section F.3 Reporting, page 36, and as additionally specified/detailed/listed in most recent corresponding Notice of Award. Awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. The Annual Performance Report (due 120 days before the end of the budget period and serves as a continuation application). Preapproved budget tracking and reconciliation as part of Progress Report. Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed. Performance Measure Reporting: Awardees is required to submit performance measures at least annually. CDC may require more frequent reporting of performance measures, as needed. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit info.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Subject to the availability of Funds; Fiscal Year: 2013-2018, Approximate Total Fiscal Year Funding: \$587,274, Approximate Average Award: \$97,500, Approximate Total Project Period Funding: \$2,925,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT13-1303, additional applicable HHS/CDC regulations, and requirements specified, detailed, listed in most recent corresponding Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Tribal Affairs and Strategic Alliances (propo Carmen (Skip) Clelland,
1825 Century Blvd NE,
Atlanta GA 30345
Email: yur3@cdc.gov
Phone: 4044982208
Fax: 4044986882

Website Address:

<https://www.cdc.gov/tribal/cooperative-agreements/index.html#1>

RELATED PROGRAMS:

93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes;
93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announcement CDC-RFA-OT13-1303, Section Part I.I.E, Pages 32-34, Application Review and Selection Process listed and summarized in Award Procedure Section (093) above.

93.099 Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 307 as amended [42 U.S.C. 241 and 2421].

OBJECTIVES:

On September 19, 2011, the Government of the United States of America and the World Health Organization (WHO) signed a memorandum of understanding (MOU) to help WHO Member States strengthen their capabilities to support the International Health Regulations (IHR), an international agreement that requires Member States to prevent and respond to acute public health risks that have the potential to cross borders. The MOU includes specific statements that authorize WHO and its regional offices to pursue activities that improve the ability of all nations to detect, report, and respond to infectious diseases quickly and accurately. The purpose is to assist WHO in implementing the MOU regarding the International Health Regulations, including the development and implementation of coordinated plans and networks that enable national governments and regional authorities globally to better address infectious diseases, particularly emerging infections. Specific activities will focus on improving infectious disease surveillance and response; enhancing knowledge generation, innovation, and tools for improved management that build public health infrastructure and strengthen regional health leadership and collaboration; sharing knowledge, tools and other resources in support of applied epidemiology; and developing improved infectious disease surveillance, prevention and control strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Support implementation of the IHR by analyzing regional, national, and local resources devoted to infectious diseases and identifying areas for implementation of infectious disease activities consistent with the purpose of this cooperative agreement. Develop, implement, and conduct projects to enhance infectious disease surveillance, response, prevention, and control. Strengthen regional and national public health networks and inter-sectorial co-operation and collaborate with other health organizations, academic institutions, and community groups as appropriate to accomplish program activities. Strengthen regional and national public health leadership and collaboration. Monitor and evaluate overall program performance and the progress of individual projects or activities. Publish and disseminate findings, program evaluations, and lessons learned.

Applicant Eligibility:

Other public institution/organization; Only the World Health Organization and its regional offices are eligible to apply. For purposes of this document, the term WHO includes its regional offices (e.g., PAHO, EMRO, AFRO, SEARO, etc). WHO is the only international and intergovernmental agency qualified to conduct activities under this cooperative agreement because: WHO is an international public health agency that works to improve health and living standards globally. WHO is part of the United Nations system. As such, WHO has a unique position among the world's health agencies as the lead technical agency for health globally. For additional information, please see <http://www.who.org> WHO and its Regional Offices are at the apex of global public health organizations and thus have an exclusive status as the United States governments key partners for global public health. On September 19, 2011, the Government of the United States of America and the World Health Organization signed a memorandum of understanding (MOU) to help developing nations strengthen their capabilities to support the International Health Regulations (IHR). The IHR (2005) is an international agreement that requires WHO Member States to prevent and respond to acute public health risks that have the potential to cross borders and threaten people worldwide. The U.S. Government and WHO share a commitment to strengthen cooperation in the area of global health security to ensure that the international community effectively manages public health risks. Improving the ability of all nations to detect, report, and respond to infectious diseases quickly and accurately lies at the heart of the global community's ability to address all infectious disease threats, as reflected in the IHR. The MOU establishes a framework for collaboration in line with the provisions set forth in the IHR, which provides a construct for coordinating the management of actions in the event of a public health emergency of international concern. The MOU was signed by Secretary Kathleen Sebelius, Department of Health and Human Services, and Director-General Margaret Chan, World Health Organization, and subsequently by

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Ambassador Betty E. King, United States Permanent Representative to the United Nations in Geneva. The full text of the MOU can be accessed at:

<http://www.globalhealth.gov/global-health-topics/health-diplomacy/agreements-and-regulations/20110922-mem.html>. The MOU includes the following specific statements that support sole-source consideration of WHO and its regional offices (including PAHO) for funding activities that fall under the scope of the MOU: Section 8.a.: The United States Department of Health and Human Services (DHHS) continues to serve as the primary substantive interface with WHO on global health and health security. DHHS plans to ensure coordination with other U.S. Government departments, agencies, and entities that collaborate on health matters within the scope of this Memorandum to enhance the effectiveness of such activities. The U.S. Government agencies intend to develop programs and provide funds through mechanisms that may be determined jointly by the U.S. Government agency and WHO. Section 8.b.: Specific funding mechanisms are intended to be developed and U.S. Government agencies intend to collaborate with WHO to define specific work to be undertaken by the Participants and the division of responsibilities.

Beneficiary Eligibility:

Minority group; Health Professional; Scientist/Researchers; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Low Income; Other public institution/organization; The World Health Organization and its regional offices work will benefit individuals worldwide, including in the U.S., through collaboration with National Ministries of Health and other organizations/institutions. The purpose is to support WHO to reduce the global disease burden through improved international capacity to detect, respond to, prevent and control infectious diseases and other threats.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download and submit the SF424 application package associated with specific Notice of Funding Opportunity (NOFO) issued by CDC via Grants.gov. Specific application instructions will be included in the NOFO. Applications are not solicited nor will they be accepted outside of a published NOFO process.

Award Procedure:

Eligible applications submitted in response to published NOFOs will be initially reviewed for completeness by the Office of Grant Services (OGS) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC program staff and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements. A review will be conducted to evaluate the eligible, complete, and responsive applications according to the criteria listed in the applicable NOFO. As part of the review process, the applicant will receive a written Technical Review that summarizes the review findings regarding strengths, weaknesses, and recommendations. The eligible application will be selected and funded based on the findings of the review process. Successful applicants will receive a Notice of Award (NoA) from the CDC Office of Grant Services. The NoA shall be the only binding, authorizing document between the recipient and CDC. Unsuccessful applicants will receive notification of the results of the application review by mail. here to enter text.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via publishing of a new/continuation NOFO with a complete application and review/award process as described above. Annual renewals within an awarded multi-year project period are made via a non-competitive process.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within a 1- to 5- year project period. After awards are issued, funds are released in a manner and timeline in accordance with the payment procedure established by the grantee institution with DHHS (typically the Payment Management System). Method of awarding/releasing assistance: other

Reports:

Federal Funding Accountability And Transparency Act Of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible Web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as: 1. The name of the entity receiving the award 2. The amount of the award 3. Information on the award including transaction type, funding agency, etc. 4. The location of the entity receiving the award 5. A unique identifier of the entity receiving the award; and 6. Names and compensation of highly-compensated officers (as applicable) Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000. For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please review the following website: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f:s2590enr.txt.pdf. Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov: 1. The interim progress report is due no less than 90 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements: a. Standard Form (SF) 424S Form. b. SF-424A Budget Information-Non-Construction Programs. c. Budget Narrative. d. Indirect Cost Rate Agreement. e. Project Narrative. Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports: 2. Financial Status Report* (SF 269) no more than 90 days after the end of the budget period. 3. Final performance and Financial Status Reports*, no more than 90 days after the end of the project period.*Disclaimer: As of February 1, 2011, current Financial Status Report (FSR) requirements will be obsolete. Existing practices will be updated to reflect changes for implementation of the new Federal Financial Reporting (FFR) requirements. These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VII below entitled Agency Contacts. Described above Described above Described above

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. A-122 and/or international grant language should be cited... not A-133

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

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Cooperative Agreements FY 20 \$28,617,539.00; FY 21 est \$47,340,944.00; FY 22 est \$36,405,157.00;

Range and Average of Financial Assistance:

Varies

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the specific Funding Opportunity Announcements and identified on the notices of award.

Regional or Local Office:

None.

Headquarters Office:

Yvette Valentine,
1600 Clifton Rd. NE Mail stop H21-9,
Atlanta GA 30333

Email: ybt0@cdc.gov

Phone: 404-639-4469

Fax: Carla (Casey) Boudreau,
1600 Clifton Rd. NEMail stop H21-9,
Atlanta GA 30333

Email: znc5@cdc.gov

Phone: 4046398404

Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against specific Evaluation Criteria published in the Notice of Funding Opportunity (NOFO) under this program. Evaluation Criteria typically evaluates how well the application demonstrates or illustrates quality, experience, etc., in the areas of background/understanding of the public health problem(s), operational plan, technical approach, plan for evaluation and monitoring, staffing, appropriateness of requested budget, etc.

93.103 Food and Drug Administration Research

General Grant Funding.

FEDERAL AGENCY:

FOOD AND DRUG ADMINISTRATION, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF
AUTHORIZATION:

Public Health Service Act as amended, Title III, Section 301(c), Public Law 78-410, 42 USC Code 241 and 263. 2012 Defense Authorization Act (P.L. 112-81), , Public Law 112-81, Pediatric Medical Device Safety and Improvement Act of 2007, Section 305, Radiation Control for Health Safety Act of 1968, Title XVII, , Public Law 90-602, 42 USC Code 300u.

OBJECTIVES:

To assist institutions and organizations, to establish, expand, and improve research, demonstration, education and information dissemination activities; acquired immunodeficiency syndrome (AIDS), biologics, blood and blood products, therapeutics, vaccines and allergenic projects; drug hazards, human and veterinary drugs, clinical trials on drugs and devices for orphan products development; nutrition, sanitation and microbiological hazards; medical devices and diagnostic products, radiation emitting devices and materials; food safety and food additives.

These programs are supported directly or indirectly by the following Centers and Offices: Center for Biologics Evaluation and Research (CBER); Center for Drug Evaluation and Research (CDER); Center for Devices and Radiological Health (CDRH); Center for Veterinary Medicine (CVM), Center for Food Safety and Applied Nutrition (CFSAN), National Center for Toxicological Research (NCTR), the Office of Orphan Products Development (OPD), the Center for Tobacco Products (CTP), and Office of Regulatory Affairs (ORA), and the Office of the Commissioner (OC). Small Business Innovation Research (SBIR) Programs: to stimulate technological innovation; to encourage the role of small business to meet Federal research and development needs; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation by minority and disadvantaged persons in technological innovation. Funding support for scientific conferences that are relevant to the FDA scientific mission and public health are also available.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Research grants are awarded to eligible institutions in the name of a principal investigator for applications that are responsive to programmatic requirements as stated in the Funding Opportunity Announcement (FOA). Funds are to be used for costs as identified in the FOA and as directly allocable, necessary and reasonable to carry out the proposed research aims and objectives. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants for this program are for the competitive continuation of the research initiated in Phase I, and are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Conference grants provide partial support for domestic scientific conferences related to the FDA mission.

Applicant Eligibility:

U.S. Territories and possessions; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other private institutions/organizations; Quasi-public nonprofit institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Applicants should review the individual funding opportunity announcement issued under this CFDA program to see which applicant organizations are eligible to apply.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; U.S. Territories; Federally Recognized Indian Tribal Governments; Applicants should review the individual funding opportunity announcement issued under this CFDA program to see which applicant organizations are eligible to apply.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement issued under this CFDA program for any required proof of certification which must be submitted prior to or simultaneous with submission of an application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

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Accepted research and non-research grant applications are subject to an objective review for scientific and technical merit. SBIR applications to be funded are subject to a second level review by a National Advisory Council or Board. All approved applications compete for available funds on the basis of scientific merit and programmatic relevance. Applicants should review the individual funding opportunity announcement issued under this CFDA program to see the specific award procedures that apply.

Deadlines:

Applicants should review the individual Funding Opportunity Announcement (FOA) issued under this CFDA program to see the application deadline information.

Range of Approval/Disapproval Time:

From 120 to 180 days. Research applications: Approximately 4 to 6 months. SBIR applications: Approximately 6 to 9 months. Conference applications: Approximately 6 to 9 months.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals (competing continuation applications) are permitted as indicated in the published Funding Opportunity Announcements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the projects, program relevance and the availability of funds. For those projects with an expected duration of more than 1 year, future recommended support will depend on (1) performance during the preceding year and (2) availability of Federal funds. Small Business Innovation Research Phase I awards are generally for 6 months; Phase II awards are generally for 2 years. Additional program time frames are stated in the Funding Opportunity Announcements. Method of awarding/releasing assistance: other

Reports:

Program reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of award. Progress reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of award. Expenditure reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of award. Performance monitoring is required as stated in the Funding Opportunity Announcement and the Terms and Conditions of award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Applicants should review the individual Funding Opportunity Announcement (FOA) issued under this CFDA program to see the specific requirement that applies.

Records:

Progress reports, financial and programmatic records, supporting documents, statistical records and all other records that are required by the terms and conditions of the grant or may be considered pertinent to grant expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the required final financial reports for the project period.

Account Identification:

75-0600-0-1-554.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$212,448,590.00; FY 21 est \$230,398,310.00; FY 22 est \$253,438,141.00;

Range and Average of Financial Assistance:

Varies by grant mechanism and program specific requirements.

TAFS Codes:

13-0550.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should review the individual Funding Opportunity Announcement (FOA) issued under this CFDA program to see the specific regulations, guidelines and/or literature that applies.

Regional or Local Office:

None.

Headquarters Office:

Chief Grants Management Officer Kimberly M. Pendleton,
4041 Powder Mill Road,
Beltsville MD 20705

Email: kimberly.pendleton@fda.hhs.gov

Phone: 240-402-7610

Website Address:

<http://www.fda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants should review the individual Funding Opportunity Announcement (FOA) issued under this CFDA program to see the specific evaluation criteria that applies.

93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)

(CMHS Child Mental Health Service Initiative),

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Public Health Services Act, Title V, Part E, Section 561, Public Law 102-321, 42

OBJECTIVES:

To provide community-based systems of care for children and adolescents with a serious emotional disturbance and their families. The program will ensure that services are provided collaboratively across child-serving systems; that each child or adolescent served through the program receives an individualized service plan developed with the participation of the family (and, where appropriate, the child); that each individualized plan designates a case manager to assist the child and family; and that funding is provided for mental health services required to meet the needs of youngsters in these systems.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries, wages and fringe benefits of professional and support staff; travel directly related to carrying out service activities; supplies, communications, and rental of equipment and space; training specifically aimed at one of the following: the development of individualized service plans; the provision of therapeutic foster care, group home care; intensive home-based services, or intensive day treatment services; administration of the system; and appropriate continuing education of two days or less; contracts for performance of activities under the approved project; and other such items necessary to support project activities. Grant funds and required matching funds may not be used for: other required services; to purchase or improve real estate property; provide residential care and/or services in residential treatment centers serving more than 10 children in inpatient hospital settings; any training activities with the exception of those mentioned above; and non-mental health services including mental health

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medical services, educational services, vocational counseling and rehabilitation, and protection and advocacy.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); States, political subdivisions of a State, such as county or local governments, and Federally Recognized Indian Tribal governments.

Beneficiary Eligibility:

Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Infant (0-5); Child (6-15); Youth (16-21); Children under age 22 with a diagnosed serious emotional disturbance, serious behavioral disorder, or serious mental disorder.

Credentials/Documentation:

In order for an entity to be eligible it must provide the following credentials or documentation along with an application for assistance: (1) Documentation that the State mental health agency of the applicant receives funds from the Center for Mental Health Services Community Mental Health Services Block Grant; (2) documentation that the applicant entity is qualified to deliver services under the State Medicaid Plan and has an agreement to do so, either directly or through a service provider organization; (3) documentation that the State has an approved plan for the development of a system of care for community-based services for children with a serious emotional disturbance approved by the Secretary of the U.S. Department of Health and Human Services. For the purpose of this program, an approved State Mental Health Plan for children and adolescents with serious emotional disturbance submitted in accordance with the requirements of Section 1912 of the Public Health Service Act, will be accepted as such a plan; and (4) matching requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard application forms, as Application for Federal Assistance SF-424 and required by 45 CFR Part 92 for State and local governments.

Award Procedure:

Applications submitted are reviewed in a two- tiered peer review process. Grants in support of projects recommended for approval by the initial review group and the Center for Mental Health Services Advisory Council are awarded directly to the applicant organization.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. None.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. This program has MOE requirements, see funding agency for further details. Additional Information: A requirement contained in certain legislation, regulations, or administrative policies that a recipient must maintain a specified level of financial effort in the health area for which Federal funds will be provided in order to receive Federal grant funds. This requirement is usually given in terms of a previous base-year dollar amount.

Length and Time Phasing of Assistance:

Project will be funded for up to 6 years. Payments will be made under an Electronic Transfer System. Method of awarding/releasing assistance: other

Reports:

CMHI and CH requires quarterly program reports. CMHI and CH requires quarterly program reports. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 90 days after the end of each 12 month period and 90 days after the final 12 month period. SAMHSA, monitors the grants programs through monthly conference calls, site visits, continuation application and quarterly progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 3-year record retention requirement: records shall be retained beyond the 3-year period if the final audit has not been done or findings resolved.

Account Identification:

75-1363-0-1-551.

Obligations:

Project Grants (Discretionary) FY 20 \$27,167,122.00; FY 21 est \$69,187,397.00; FY 22 est \$78,088,662.00;

Range and Average of Financial Assistance:

\$376,096 to \$2,000,000; \$1,329,454

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is estimated 48 awards will be made. Fiscal Year 2021: It is estimated 56 awards will be made. Fiscal Year 2022: It is estimated 85 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS awards; also the HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Officer Roger George,
5600 Fishers Lane,
Rockville MD 20857
Email: roger.george@samhsa.hhs.gov
Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following review criteria will be used in evaluating proposals: (1) Potential significance of the proposed project; (2) appropriateness of goals and objectives; (3) adequacy and appropriateness of the proposed approach and activities; (4) evidence of the State's readiness and commitment to the proposed project; (5) commitment to family participation in system development and care of their children and adolescents; (6) degree of cultural competence demonstrated; (7) capability and experience of project director, consultants, and other key staff; (8) adequacy of available resources; (9) reasonableness of the proposed budget; (10) cooperation in the evaluation activities; and (11) evidence of activities directed at developing continues funding support. Award criteria include: (1) quality of proposed project as determined by the review process; (2) geographical distribution; and (3) availability of funds.

ASSISTANCE LISTINGS DESCRIPTIONS

93.107 Area Health Education Centers

AHEC Simulation Education Training (SET),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title VII, Sec. 751 of the Public Health Service Act (42 U.S.C. 294a),

OBJECTIVES:

The Area Health Education Centers (AHEC) Program enhances access to high quality, culturally competent health care through academic-community partnerships. The goal of the AHEC Program is three fold: (1) to prepare a diverse, culturally-competent primary care workforce representative of the communities served; (2) to improve health workforce distribution through the nation, particularly among rural and underserved areas and population; and (3) to develop and maintain a healthcare workforce that is prepared to deliver high quality care in a transforming health care delivery system with emphasis on rural and underserved areas and communities. The AHEC Simulation Education Training (AHEC-SET) Program develops and enhances education and training networks within communities, academic institutions, and community-based organizations through the expansion of experiential learning opportunities, including the use of simulation-based technology, as well as equipment. The program will support projects to enhance simulation labs that will provide realistic, clinical environments and scenarios designed to educate and train healthcare professionals serving rural and medically underserved communities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds shall be used to recruit individuals from underrepresented, disadvantaged, or rural backgrounds into the health professions; establish and maintain community-based placements or preceptorships with an emphasis on primary care; conduct interdisciplinary/interprofessional training involving an array of health professionals; deliver or facilitate continuing education; propose and implement outcomes measurement and evaluation strategies. Not less than 75 percent of the total amount provided to an AHEC Program under subsection 751(a) (2) shall be allocated to the AHEC centers participating in the program. The aggregate amount of awards to schools in the state for the fiscal year under Point of Service Maintenance and Enhancement AHEC authority shall be not less than \$103,000 annually per AHEC center, including program office funds. If amounts appropriated to carry out Section 751 are not sufficient to comply with the amount stated in the preceding sentence, the Secretary may reduce the per center amount as necessary. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Entities eligible to apply for AHEC Infrastructure Development awards under 751(a)(1) are public or nonprofit private accredited schools of allopathic medicine and osteopathic medicine and incorporated consortia made up of such schools, or the parent institutions of such schools. In states and territories in which no AHEC program is in operation, an accredited school of nursing is an eligible applicant. An entity eligible to apply for AHEC Point of Service Maintenance and Enhancement awards under section 751 (a) (2) means an entity has received funds under this section (751), is operating an area health education center program, including an area health education center or centers, and has a center or centers that are no longer eligible to receive financial assistance under subsection (a)(1). Eligible entities are accredited public or nonprofit schools of allopathic medicine or osteopathic medicine, the parent institution on behalf of such schools, and accredited schools of nursing, which have received funds under section 751 to operate an AHEC program. Federally

Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible. Eligibility for AHEC-SET supplements is limited to current AHEC recipients as specified above.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries include a full range of trainees: high school students from underrepresented minority populations or from disadvantaged or rural backgrounds, health professions students, and practicing health professionals.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window). HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award. When making final funding decisions regarding Section 751 awards, consideration will be given to the Sense of the Congress that there be an area health education center program in each state.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. With respect to the costs of operating a program through a grant under section 751, to be eligible for assistance under section 751, an entity shall make available (directly or through contributions from state, county, municipal governments or the private sector) recurring nonfederal contributions in cash or in kind toward such costs in an amount that is equal to not less than 50 percent of such costs. Thus, the matching ratio for AHEC awards is 1:1 (federal funds to non-federal contributions). At least 25 percent of the total required non-Federal contributions shall be in cash. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

AHEC provides support for a five-year project period. AHEC-SET provides support for a one-year project period. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: Recipients drawdown funds, as necessary, from the Payment Management System

ASSISTANCE LISTINGS DESCRIPTIONS

(PMS). PMS is the centralized web based payment system for HHS awards.
Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$38,855,187.00; FY 21 est \$38,795,967.00; FY 22 est \$38,795,967.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$2,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

AHECFY 2020 range \$249,967-\$1,927,055; Average Award: \$809,483FY 2021 range \$249,967 - \$1,924,000; Average Award \$808,249.FY 2022 est. range \$249,967 - \$1,924,000; Average Award est. \$808,249AHEC-SETFY 2020: \$0FY 2021 est.: range \$250,000-\$500,000; Average Award est. \$375,000FY 2022 est.: \$0

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2019-2020, the AHEC Program supported various types of pre-pipeline, pipeline, and continuing education training activities for thousands of trainees across the country. In total, the AHEC Program educated and trained 323,244 participants nationwide through their AHEC Scholars, Community-based Experiential Training, Continuing Education, and Youth Pipeline programming. AHEC grantees partnered with 5,092 training sites to provide clinical training experiences to student trainees (e.g., ambulatory practice sites, physician offices, and hospitals). Approximately 59 percent of these training sites were primary care settings; 66 percent were located in medically underserved communities; and 45 percent were in rural areas. AHEC-SET: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Corey Palmer, Branch Chief, Health Careers Pipeline Branch, Division of Health Careers and Financial Support, Bureau of Health Workforce,,
Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Parklawn Building,

Rockville MD 20857

Email: CPalmer@hrsa.gov

Phone: (301) 945-4559

Website Address:

<https://bhw.hrsa.gov/grants/healthcareers>

RELATED PROGRAMS:

93.824 Basic/Core Area Health Education Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed review criteria.

93.110 Maternal and Child Health Federal Consolidated Programs

Special Projects of Regional and National Significance (SPRANS), including the Community Integrated Service Systems (CISS); Heritable Disorders Program; Pediatric Mental Health Care Access Program; and Screening and Treatment for Maternal Depression and Related Behavioral Disorders Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title V, Section 502(a)(1) and (b)(1), as amended; 42 U.S.C. 702.; Sections 1109, 1110, 1111 and 1112 of the Public Health Service Act and Section 399T of the Public Health Service Act; Public Health Service Act, 330M (42 U.S.C. 254c-19), as amended.,

OBJECTIVES:

To carry out special maternal and child health (MCH) projects of regional and national significance; to conduct training and research; to conduct genetic disease testing, counseling, and information development and dissemination programs; for the screening of newborns for sickle cell anemia, and other genetic disorders; and to support comprehensive hemophilia diagnostic and treatment centers. These grants are funded with a set-aside from the MCH Block grant program. SPRANS grants are funded with 15 percent of the Block Grant appropriation of up to \$600 million. When the appropriation exceeds \$600 million, 12.75 percent of the amount over \$600 million is set aside for the Community Integrated Service Systems grants. 15 percent of the balance remaining over \$600 million is also for SPRANS. The CISS program is to develop and expand the following: (1) Home visitation; (2) increased participation of obstetricians and pediatricians; (3) integrated service delivery systems; (4) maternal and child health centers for women and infants, under the direction of a not-for-profit hospital; (5) services for rural populations; and (6) integrated state and community service systems for children and youth with special health care needs. First funded in 2004, the Heritable Disorders Program is established to improve the ability of States to provide newborn and child screening for heritable disorders and affect the lives of all of the nations infants and children.

ASSISTANCE LISTINGS DESCRIPTIONS

Newborn and child screening occur at intervals across the life span of every child. Newborn screening universally provides early identification and follow-up for treatment of infants affected by certain genetic, metabolic, hormonal and/or functional conditions. It is expected that newborn and child screening will expand as the capacity to screen for genetic and congenital conditions expands.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Training grants are made to institutions of higher learning for training personnel for health care and related services for mothers and children. Research grants are for the purpose of research activities which show promise of a substantial contribution to the advancement of maternal and child health (MCH) services. Technical assistance grants support the effective and accurate use of data and evidence to support program development and implementation as well as performance measurement and evaluation. Genetic grants are for genetic disease testing, counseling and information development and dissemination. Hemophilia grants are for the support of centers which provide hemophilia diagnostic and treatment services. Sickle cell disease grants are made to support follow up for infants with sickle cell identified through newborn screening. Heritable Disorders grants are made to improve the ability of States to provide newborn and child screening for heritable disorders, coordinate services and long-term follow-up for newborns and children identified with a condition through newborn screening, and to provide newborn screening education resources to patients, parents, and families. Environmental health grants are made to decrease maternal and child morbidity and mortality associated with pre- and post-natal environmental exposures. Pediatric Mental Health Care Access grants promote behavioral health integration into pediatric primary care by supporting pediatric mental health care telehealth access programs. Screening and Treatment for Maternal Depression and Related Behavior Disorders expands health care providers capacity to screen, assess, treat, and refer pregnant and postpartum women for depression and related disorders. Other special project grants are designed to support activities of a demonstration nature, which are designed to improve services for mothers and children. Restricted Uses: Indirect costs that are allowed for administrative costs incurred as a result of the training grants project, are limited to 8 percent of direct costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Training grants may be made to public or private nonprofit institutions of higher learning. Research grants may be made to public or private nonprofit institutions of higher learning and public or private nonprofit private agencies and organizations engaged in research or in MCH or Children with Special Health Care Needs (CSHCN) programs. Any public or private entity is eligible for hemophilia, genetics, and environmental health grants and other special project grants, including CISS. Eligible entities for the Heritable Disorders Program include a State or a political subdivision of a State; a consortium of two or more States of political subdivisions of States; a territory; a health facility or program operated by or pursuant to a contract with or grant from the Indian Health Service; or any other entity with appropriate expertise in newborn screening, as determined by the Secretary. Eligible entities for the Pediatric Mental Health Care Access Program include States, political subdivisions of states, and Indian tribes and tribal organizations. Eligible entities for the Screening and Treatment for Maternal Depression and Related Behavior Disorders Program are states.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Student/Trainee; Women; Infant (0-5); Child (6-15); For training grants: (1) Trainees in the health professions related to MCH; and (2) mothers and children who receive services through training programs. For research grants: public or private nonprofit agencies and organizations engaged in research in MCH or CSHCN programs. For hemophilia, sickle cell, thalassemia, genetics, newborn

screening, environmental health, and other special projects: (1) Public or private agencies, organizations and institutions; and (2) mothers and children, and individuals with genetic conditions (any age) who receive services through the programs. For Pediatric Mental Health Care Access Program: pediatric mental health care teams; pediatric primary care providers; children, youth and families who receive services from pediatric primary care providers.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Listed.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Other. After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Opportunities may include matching requirements. Please refer to the notice of funding opportunity. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. Method of awarding/releasing assistance: other

Reports:

Please refer to "progress reports" information below. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrc-hedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at

ASSISTANCE LISTINGS DESCRIPTIONS

<http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Refer to the notice of funding opportunity for further information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

Project Grants FY 20 \$93,387,733.00; FY 21 est \$71,435,542.00; FY 22 est \$68,541,209.00; Cooperative Agreements FY 20 \$108,158,573.00; FY 21 est \$141,890,750.00; FY 22 est \$138,919,731.00;

Range and Average of Financial Assistance:

(Project Grants)FY 20: est. \$11,682 to \$6,000,000; \$342,080FY 21: est. \$13,685, to \$713,466; \$259,876FY 22: est. \$13,685 to \$713,466; \$282,005(Project Cooperative Agreements)FY 20: est. \$200,000 to \$9,948,026; \$1,039,986FY 21 est. \$75,000 to 9,700,000; \$750,745FY 22: est. \$75,000 to \$9,700,000; \$874,511

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2019, the Leadership Education in Adolescent Health (LEAH) program provided interdisciplinary leadership training to 2,197 health and related professionals at the graduate and postgraduate levels; enhanced the knowledge and skills of nearly 30,000 practicing professionals through the provision of 538 continuing education events; and strengthened the capacity of Title V and other MCH partners through 650 technical assistance activities to 136,660 recipients in local, state, and community entities. In FY 2020, the Strengthen the Evidence in Maternal and Child Health Program provided 44 technical assistance (TA) sessions to states and jurisdictions, including several regional TA sessions, topic-specific TA, and TA to all Pacific Basin and Atlantic jurisdictions; worked extensively with a team of expert reviewers to publish two Evidence Analysis Reports, for updates of National Performance Measure (NPM) Online Toolkits, and for TA requests; engaged 16 experts in the webinar development of evidence-based, informed strategies for the 15 Title V national performance measures, made available to all Title V states and jurisdictions. The heritable disorders program has supported the widespread adoption of SCID newborn screening since 2014. In 2012, only six states screened for SCID. By 2018, all 50 states and 3 U.S. territories screen for SCID. Despite the success of broad SCID newborn screening, gaps remain in optimizing outcomes for infants with SCID that is detected by newborn screening. Additionally, almost 50% of states have implemented the four new conditions (Pompe [2015], X-ALD [2016], MPS I [2016], and SMA [2018]) added to the recommended screening panel since 2015.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 75 State, local and tribal governments, institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. 42 CFR Part 51a Project Grants for Maternal and Child Health.HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Program Contacts: Jessica DiBari: JDibari@hrsa.gov; 301-443-4690Mia Morrison, mmorrison@hrsa.gov; 301-443-2521Michelle Tissue: Mtissue@hrsa.gov; 301-443-6853 Claudia Brown:

Cbrown4@hrsa.gov; 301-443-0869 Jordanna Snyder: Jsnyder1@hrsa.gov; 301-945-9482Meredith Morrissette: Mmorrissette@hrsa.gov; 301-443-6392Jessica Minnaert: JMinnaert@hrsa.gov; 301-945-3496Madhavi Reddy: Mreddy@hrsa.gov; 301-443-0754 Kelly Dawson Hughes: Kdawson@hrsa.gov; 301-443-2190 Cara de la Cruz: CdelaCruz@hrsa.gov; 301-443-0764 Ayanna Johnson: Ajohnson5@hrsa.gov; 301-443-9775 Health Resources and Services Administration, Department of Health and Human Services, Room 18W37, 5600 Fishers Lane, Rockville, MD 20857.

Headquarters Office:

Michael D Warren, Associate Administrator for Maternal and Child Health, 5600 Fishers Lane, Rockville MD 20857
Email: MWarren@hrsa.gov
Phone: 301-443-2170

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.994 Maternal and Child Health Services Block Grant to the States; 93.251 Universal Newborn Hearing Screening; 93.504 Affordable Care Act (ACA) – Family to Family Health Information Centers; 93.926 Healthy Start Initiative; 93.877 Autism Collaboration, Accountability, Research, Education, and Support; 93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program; 93.365 Sickle Cell Treatment Demonstration Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the program guidance materials provided with application kits. Contact Central Office for details.

93.113 Environmental Health

National Institute of Environmental Health Sciences,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301, 401, 437, 463 and 487, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241, and 42 U.S.C. 288, as amended, SBIR and STTR Reauthorization Act of 2011, Public Law 113-6,

OBJECTIVES:

To foster understanding of human health effects of exposure to environmental agents in the hope that these studies will lead to: the identification of agents that pose a hazard and threat of disease, disorders and defects in humans; the development of effective public health or disease prevention strategies; the overall improvement of human health effects due to environmental agents; the development of products and technologies designed to better study or ameliorate the effects of environmental agents; and the successful training of research scientists in all areas of environmental health research. Supported grant programs focus on the following areas: (1) Understanding biological responses to environmental agents by determining how chemical and physical agents cause pathological changes in molecules, cells, tissues, and organs, and become manifested as respiratory disease, neurological, behavioral and developmental abnormalities, cancer, and other disorders; (2) Determining the mechanisms of toxicity of ubiquitous agents like metals, natural and synthetic chemicals, pesticides, and materials such as nanoparticles, and natural toxic substances, and their effects of on various human organ systems, on metabolism, on the endocrine and immune systems, and on other biological functions; (3) Developing and integrating scientific knowledge about potentially toxic and hazardous chemicals by concentrating on toxicological research, testing, test development, validation and risk estimation; (4) Identifying interactions between environmental stressors and genetic susceptibility and understanding biologic mechanisms underlying these interactions, including the study of environmental influences on epigenomics and

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transcriptional regulation; (5) Conducting environmental public health research, including in areas of environmental justice and health disparities, that requires communities as active participants in all stages of research, dissemination, and evaluation to advance both the science and the development of practical materials for use in communities, with a focus on translating research findings into tools, materials, and resources that can be used to prevent, reduce, or eliminate adverse health outcomes caused by environmental exposures; (6) Expanding and improving the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (7) Expanding and improving the STTR program to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (8) Providing support for broadly based multi-disciplinary research and training programs in environmental health. These programs include the Environmental Health Sciences Core Centers, which serve as national focal points and resources for research and manpower development. Through these programs, NIEHS expects to achieve the long-range goal of developing new clinical and public health applications to improve disease prevention, diagnosis, and therapy. Additional Centers programs developed in recent years, include the Centers for Oceans and Human Health (co-funded with NSF), Children's Environmental Health Centers (co-funded with US EPA) and the Autism Centers of Excellence (co-funded with other NIH Institutes), and the Human Health Exposure Analysis Resource (HHEAR) program; (9) Supporting research training programs which serve to increase the pool of trained research manpower with needed expertise in the Environmental Health Sciences through support of Individual and Institutional National Research Service Awards (NRSAs); (10) The Outstanding New Environmental Scientist Program which provides first time research grant funding to outstanding junior scientists in the formative stages of their career who are proposing to make a long term commitment to environmental health sciences research and to address the adverse effects on environmental exposures on human biology, human pathophysiology and human disease.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research Grants and Cooperative Agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I, scientific and technical merit, and commercial potential of the Phase II application. A number of Career Development awards are supported. The Independent Scientist Award provides up to five years of salary support for newly independent scientists who have recently obtained independent research funding, generally an R01 research grant from NIEHS. The award is intended to allow the candidate protected time to focus on the further development of the independent research career and does not include additional research support. The Mentored Clinical Research Career Development Award provides three to five years of salary support for investigators with clinical degrees (e.g., M.D., D.V.M.). The Transition to Independent Environmental Health Research (TIEHR) Career Development Award provides three years of support for candidates who are within three years of their first independent faculty appointment and who have not yet obtained significant research grant funding (an R01 or equivalent). The award includes salary support and pilot funding. The Mentored Patient-Oriented Research Career Development Award provides up to

five years of support for clinically trained investigators who make a commitment to focus on patient-oriented research. The Mid Career Investigator Award in Patient-Oriented Research provides up to five years of support to outstanding clinical scientists who are actively engaged in patient-oriented research and who are within 15 years of their specialty training. The Mentored Quantitative Research Development Award provides up to five years of support for junior faculty with quantitative scientific and engineering backgrounds outside of biology or medicine that are transitioning to behavioral or biomedical research. The NIH Pathway to Independence Award is divided into two phases. The initial award (K99) provides up to two years of mentored, postdoctoral support. The second phase (R00) provides up to three years of independent research support, when the awardee accepts a full-time tenure track, or equivalent, faculty position. All these Career Development awards provide salary consistent with the level of effort devoted to the research career development activities, plus fringe benefits, an allowance for career development activities and 8 percent fiscal and administrative costs. All Career Development Awards, except for the NIH Pathway to Independence Award, have a US citizenship requirement. Details of specific restrictions for the Career Development Awards can be found at <http://www.niehs.nih.gov/careers/research/trainingfrom/career/index.cfmt>. NIEHS Core Center grants (P30) are primarily intended to provide infrastructure support and the support of core research facilities. In addition, an appropriate facilities and administrative cost is provided as determined by negotiated agreement with the grantee's cognizant government organization. National Research Service Awards (NRSAs): Individual predoctoral and postdoctoral training awards are made for the support of fellows who engage in research training in environmental toxicology, environmental pathology, environmental mutagenesis, or environmental epidemiology/biostatistics. In addition to individual training awards, institutional training grants (T32) are made to institutions to enable institutions to make awards to individuals selected by them, for both predoctoral and postdoctoral research training in the aforementioned areas. Each individual who receives a postdoctoral NRSA, either through an institutional or individual training award mechanism, is obligated upon termination of the award to comply with certain service and payback provisions.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Research Grants, Cooperative Agreements, Science Education Grants, SBIR Grants, Independent Scientist Awards, Mentored Research Scientist Development Award, Mentored Clinical Scientist Development Award, and the Academic Career Awards: A university, college, hospital, State, local or tribal governments, nonprofit research institution, or for-profit organization may submit an application and receive a grant for support of research by a named principal investigator. Candidates for Academic Career Awards Awards and Midcareer Investigator Awards in Patient Oriented Research must have a doctoral degree and peer-reviewed, independent, research support at the time the award is made. Candidates for Mentored Clinical Scientist Development Awards and Mentored Patient Oriented Research Career Development Awards must have a clinical degree or its equivalent and must have initiated post graduate clinical training. Candidates holding a Ph.D. degree are ineligible. Candidates who have served as principal investigators on PHS-supported research projects are ineligible. A candidate for Academic Career Awards must have a clinical or research doctorate degree. Those eligible for the Development Award must be able to devote at least 75 percent effort. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be

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performed in the U.S. and its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Centers: A university-based, nonprofit research institution, or for-profit organization proposing an integrated research program established to accomplish a stated mission, covering activities ranging from very basic research to the actual application of research results in the prevention and control of environmental health problems, may submit an application under the direction of a named Center Director. National Research Service Awards: (1) Nonprofit domestic organizations may apply for the Institutional NRSA; (2) Individual NRSA awardees must be nominated and sponsored by a public for-profit or nonprofit private institution having staff and facilities appropriate to the proposed research training program; (3) all awardees must be citizens or have been admitted to the United States for permanent residence; (4) to be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree).

Beneficiary Eligibility:

Federal; U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; For Research Grants: Any nonprofit or for-profit organization, company, or institution engaged in biomedical research. For Centers and Training Grants: University-based nonprofit institutions; for-profit organizations conducting research; and individuals nominated by a private institution conducting research.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement (NIH GPS) for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). Application forms and instructions for their submission are available at <http://grants.nih.gov/grants/forms.htm>. Applicants are encouraged and in some cases required to consult with NIEHS Program Officials prior to submission of an application. Detailed information about NIEHS grant programs and staff contacts can be found at <http://www.niehs.nih.gov/research/supported/index.cfm>.

Award Procedure:

Made on the basis of dual review by peer groups of all applications. The first level of reviews is by a study section for scientific merit. In addition, a national advisory council provides a secondary level of review for all applications. As required by P.L. 109-482, the NIH Health Reform Act of 2006, all research grant and cooperative agreements must undergo Advisory Council/Board review and approval prior to funding. Review of Individual NRSA applications by an Advisory Council/Board is not required. Final approval of these recommendations and

decisions concerning funding are made by the Director, NIEHS.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Receipt, review and approval processes range in length from six to nine months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Other. Research Grants, Cooperative Agreements, Center Grants, and Institutional Training Grants: Renewal applications are subject to same criteria as new applications. Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Scientist Development Award, Academic Career Awards, and Individual Training grants are non-renewable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research Grants, Cooperative Agreements, Center Grants, and NRSA Institutional grants may be awarded for up to 5 years, generally in 12-month budget periods and may be extended through a competitive renewal. Science Education Grants may be awarded for up to 5 years, in 12-month budget periods, and are not renewable. Independent Scientist Awards are awarded for 5 years in 12-month budget periods, and are non-renewable. Mentored Research Scientist Awards are for up to 5 years, 12-month budget periods, and are non-renewable. Mentored Clinical Scientist Development Awards and Academic Career Awards are for up to 5 years and are renewable. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. National Research Service Awards: Individual awards are non-renewable and may be for 1, 2, or 3 years, but no individual may receive NRSA support at the predoctoral level for more than 5 years and at the postdoctoral level for more than 3 years. Method of awarding/releasing assistance: other

Reports:

Annual and final progress reports are required for all Grant Awards. Annual financial reports are due for a subset of grant awards. Final financial reports are due for all grant awards. Additional reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual and final progress reports are required for all Grant Awards. Annual financial reports are due for a subset of grant awards. Final financial reports are due for all grant awards. Additional reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Review of annual performance reports is conducted by appropriate agency staff prior to issuance of additional funding.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Awards made under this program are subject to the audit requirements of OMB 2 CFR 200, as implemented by 45 CFR 75, Subpart F, and in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Records:

In accordance with the provisions of 45 CFR 75, Subpart D Post Federal Award Requirements, Record Retention and Access, 75.361, expenditures and other financial records must be retained for 3 years from the day on which the grantee submit the last expenditure report for the report period.

Account Identification:

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75-0862-0-1-552.

Obligations:

Project Grants FY 20 \$384,122,000.00; FY 21 est \$386,888,000.00; FY 22 est \$491,988,000.00;

Range and Average of Financial Assistance:

Range: \$2,000 to \$2,500,000 Average: \$383,405

TAFS Codes:

75-0862.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Information about NIEHS Funding Opportunity Announcements (FOAs) can be found at

<https://www.niehs.nih.gov/funding/grants/announcements/index.cfm> Fiscal Year

2022: Information about NIEHS Funding Opportunity Announcements (FOAs) can be found at <https://www.niehs.nih.gov/funding/grants/announcements/index.cfm>

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; 45 CFR 92; NIH Guide to Grants and Contracts; various other publications and application kits, the Division of Extramural Outreach and Information Resources, Office of Extramural Research, NIH, Room 6207, 6701 Rockledge Drive, Bethesda, MD 20892. Grants will be available under the authority of and administered in accordance with the NIH GPS and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Program Contacts: Research Grants: Dr. William A Suk, Chief, Hazardous Substances Research Branch, DERT, NIEHS, E-mail: suk@niehs.nih.gov.

Telephone: (984) 287-3325; or Dr. Cindy Lawler, Chief, Genes, Environment, and Health Branch, E-mail lawler@niehs.nih.gov. Telephone: (984) 287-3280; or Dr. Claudia Thompson, Chief, Population Health Branch, E-mail:

thompsol@niehs.nih.gov; Telephone: (984) 287-3330; or Dr. David Balshaw, Chief, Exposure, Response, and Technology Branch, Email:

balshaw@niehs.nih.gov. Telephone: (984) 287-3234. NRSA Institutional Training Grants, Independent Scientist Awards, Mentored Research Scientist Development

Awards, Mentored Clinical Research Scientist Development Awards, Academic Career Awards; Dr. Carol Shreffler, Program Administrator, Exposure, Response, and Technology Branch, E-mail: shreffl1@niehs.nih.gov. Telephone: (984) 287-

3322. SBIR and STTR Grant Programs: Dr. Daniel Shaughnessy, Program

Administrator, Exposure, Response, and Technology Branch, E-mail: shaughn1@niehs.nih.gov. Telephone: (984) 287-3321. P30 Core Centers Program

Contact: Dr. Claudia Thompson, Chief, Population Health Branch, E-mail: thompsol@niehs.nih.gov; Telephone: (984) 287-3330; AREA grants: Astrid C.

Haugen, Email: haugen@niehs.nih.gov, Telephone: (984) 287-3266; and NRSA

Individual Fellowships: Dr. Michael Humble, Program Administrator, Genes, Environment, and Health Branch, E-mail: humble@niehs.nih.gov. Telephone:

(984) 287-3272. Grants Management Contact: Ms. Jenny Greer, Chief Grants

Management Officer, Grants Management Branch, Division of Extramural

Research and Training, National Institute of Environmental Health Sciences,

National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (984) 287-3332, E-mail:

jenny.greer@niehs.nih.gov.

Headquarters Office:

James P. Mastin,
530 Davis Drive,
Morrisville NC 27560
Email: mastin@niehs.nih.gov
Phone: (984) 287-3285
Fax: 919-541-2843 Jenny L. Greer,
530 Davis Drive,
Morrisville NC 27560

Email: jenny.greer@niehs.nih.gov
Phone: (984) 287-3332

Website Address:
<http://www.niehs.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

National strategy for combating and eliminating tuberculosis, Title 42 Public Health Service Act, Part B, Section 317E(a), 42 USC USC Code 247b-6(a).

OBJECTIVES:

To assist State, local health agencies, political subdivisions, and other government entities to conduct TB preventive health service programs to assist in carrying out tuberculosis (TB) control activities designed to prevent transmission of infection and disease. Financial assistance is provided to TB programs to ensure that the program needs for core TB prevention and control activities, human resource development and public health laboratories are met. In addition, objectives include: finding all cases of active tuberculosis and ensuring completion of therapy; finding and screening persons who have had contact with TB patients, evaluating them for TB infection and disease, and ensuring completion of appropriate treatment; and conducting TB surveillance and TB public health laboratory activities that are essential to addressing these priorities. Each of these core activities (completion of therapy, contact investigation, TB surveillance, and TB laboratory activities) is essential to effective TB prevention and control.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to support both local personnel and individuals in direct assistance (i.e., "in lieu of cash") positions under Section 317 of the Public Health

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Service Act and to purchase equipment, supplies and services directly related to project activities, particularly directly observed therapy, patient outreach, morbidity surveillance, outreach and program assessment. Project funds may not be used to supplant State or local funds available for tuberculosis control, or to support construction costs or inpatient care.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions (includes institutions of higher education and hospitals); Under Section 317 of the Public Health Service Act, official public health agencies or their bona fide agents of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Beneficiary Eligibility:

U.S. Territories; State; Local; Official public health agencies of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operations, describe the evaluation procedures, and provide a budget with justification of funds requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, they may contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. This program is subject to the requirements described in 45 CFR 92. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The standard application forms as furnished by PHS and required by 45 CFR, Parts 92, must be used for this program. Forms and instructions are available on www.grants.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Information on the submission of applications may be obtained from the Grants Management Officer, Office of Grants Services, Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the requirements described in 45 CFR 92.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: One year. Method of awarding/releasing assistance: other

Reports:

See notice of funding opportunity (NOFO) for details. CDC programs must require awardees to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting must be limited to data collection. When funding is awarded initially, CDC programs must specify required frequency, data fields, and format. The Annual Performance Report is due no later than 120 days prior to the end of the budget period. The Annual Performance Report serves as the continuing application. This report should include the information specified in the notice of funding opportunity (NOFO). The Annual Federal Financial Report is required 90 days after the end of each budget period. Final Financial Reports are required 90 days after the end of a project period. Annual Federal Financial Reports are required 90 days after the end of each budget period. Annual financial status reports are required no later than 90 days after the end of each budget period. Final financial status reports are required 90 days after the end of a project period. See notice of funding opportunity (NOFO) for details. Awardees will meet the annual requirement to report on performance measures with the submission of an Annual Performance Report. However, CDC may request an additional report, the Performance Measure Report, in certain instances such as a jurisdiction's response to a large TB outbreak.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report. If any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken. Property records must be retained in accordance with 45 CFR 74.53 and HHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$76,226,889.00; FY 21 est \$76,226,890.00; FY 22 est \$76,226,891.00; Cooperative Agreements FY 20 \$5,388,040.00; FY 21 est \$5,388,040.00; FY 22 est \$5,388,040.00;

Range and Average of Financial Assistance:

280000 to 76226891 with an average of 27298310

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Subpart A of 42 CFR 51b is applicable, including all regulations incorporated by reference under Section 51b.105. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Kathryn Koski, Deputy Director, Division of Tuberculosis Elimination,
1600 Clifton Road, MS E-10,
Atlanta GA 30333
Email: KAK4@cdc.gov

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Phone: 404-639-5259
Fax: 404-639-8604 Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096
Email: mzf3@cdc.gov
Phone: 4046398864
Fax:

Website Address:

<http://www.cdc.gov/tb>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.991 Preventive Health and Health Services Block Grant;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC. Incomplete applications that do not meet the eligibility criteria will not advance. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the notice of funding opportunity (NOFO).

93.117 Preventive Medicine Residency

Preventive Medicine Residency Program (PMR),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 768 of the Public Health Service Act (42 U.S.C. 295c),

OBJECTIVES:

Grants are intended to promote postgraduate medical education in the specialty of preventive medicine. Preventive Medicine is the specialty of medical practice which focuses on the health of individuals and defined populations in order to protect, promote, and maintain health and well-being and prevent disease, disability and premature death. Preventive medicine training includes direct patient care, biostatistics, epidemiology, social and behavioral sciences, health services administration, environmental health sciences, and practicing prevention in clinical medicine. It is one of the 40 medical specialties recognized by the American Board of Medical Specialties. Preventive Medicine encompasses three areas of specialization: (1) Public Health and General Preventive Medicine, (2) Occupational Medicine, and (3) Aerospace Medicine.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards are intended to assist in meeting the costs of planning and developing new preventive medicine programs; maintaining or improving existing residency training programs in preventive medicine, and providing financial assistance to medical residents enrolled in such programs. The applicants are required to propose a plan for evaluating any improvements in the educational program, such as the effect of the interventions on the knowledge, skills, and practices of the residents. Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Funds may not be used for the following purposes: new construction or major renovation activities, international training or travel, or specialty board certification exam fees.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes

institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible Preventive Medicine Residency program grants are: (1) an accredited school of public health or school of medicine or osteopathic medicine; (2) an accredited public or private nonprofit hospital; (3) a State, local, or tribal health department; or (4) a consortium of 2 or more entities described in (1) through (3). Refer to the relevant Notice of Funding Opportunity for more specific information regarding eligibility. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Scientist/Researchers; U.S. Citizen; Women; Public nonprofit institution/organization; For Preventive Medicine Residency program grants, each trainee receiving stipend support must: (a) be a citizen of the United States, a non-citizen U.S. national, or a foreign national having in his or her possession a visa permitting permanent residence in the United States; (b) be a physician who has graduated from an accredited school of medicine or osteopathic medicine in the United States; or if a graduate from a foreign school, meet the criteria of the Educational Commission for Foreign Medical Graduates, for entry into the program supported by this grant; and (c) plan to complete the grant-supported program and engage in the practice and/or teaching of preventive medicine, especially in positions which meet the needs of medically underserved populations.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. The preventive medicine residency must provide documentation of current accreditation from ACGME or AOA or documentation from ACGME related to submission of an application for accreditation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification is made in writing through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award..

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

The PMR funding opportunity provides support for a 5-year project period. Method of awarding/releasing assistance: Recipients drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

Project Grants FY 20 \$6,615,390.00; FY 21 est \$6,647,952.00; FY 22 est \$6,666,511.00;

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: FY 2020 Range \$349,719.00 to \$400,000; Average award \$389,140.59 FY 2021 est Range: \$349,719.00 to \$400,000; est Average award: \$391,000 FY 2022 est Range: \$365,405 to \$400,000; est Average award: \$391,912.41

TAFS Codes:

75-0353.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In academic year (AY) 2020-2021, the PMR Program awardees continued to provide assistance and learning experiences in state and local health departments and to address current public health issues. Each program focused on meeting the needs of underserved populations. In addition, all programs required their residents to have both academic and practicum experience focused on the proposed Healthy People 2030 Objectives, clinical preventive services, as well as clinical and population health practice. In AY 2019-2020 (the most recent year with available data), the National Center of Health Workforce Analysis reported that the PMR Program financially supported 85 residents, the majority of whom received clinical or experiential training in a primary care setting (81 percent) and/or a medically underserved community (71 percent). Approximately 79 percent of residents received COVID-19 related training in response to the COVID-19 pandemic. Of the 57 residents who completed their residency training programs during the academic year, 33 percent intended to pursue employment or further training in medically underserved communities. PMR awardees collaborated with 195 sites to provide 239 clinical training experiences for PMR residents (e.g., academic institutions, ambulatory care sites, state and local health departments,

health centers and hospitals).

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

LCDR Ja'Nay Shelton, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 15N-18, Rockville MD 20857

Email: scoulter@hrsa.gov

Phone: 301-443-3470

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.412 ARRA - Preventive Medicine Residency Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301(l).

OBJECTIVES:

To develop and implement HIV prevention programs of public information and education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contact the headquarters or regional location, as appropriate for application deadlines. Pre-application coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

ASSISTANCE LISTINGS DESCRIPTIONS

hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public and private organizations, both nonprofit and for-profit (universities, colleges, research institutions and other public and private organizations); State and local governments, U.S. Territories and possessions; including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, small and minority businesses, and businesses owned by women.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Other private institution/organization; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Official health and education agencies, as well as individuals subject to AIDS.

Credentials/Documentation:

Nonprofit institutions: Costs will be determined by HHS Regulations 45 CFR Part 74, for nonprofit organizations. For-profit institutions, costs will be determined by Federal Acquisition Regulations 48 CFR 31.2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicant must download application forms from www.grants.gov. Applications must be submitted electronically at www.grant.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. This program is subject to the provisions of 45 CFR, Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (12 months) and NoA indicates support provided in the initial award and the recommended funding amounts for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See NOFO for details

Appeals:

Other. Applicants may resubmit application for next notice of funding opportunity (NOFO) or review.

Renewals:

Other. As stated in the Terms and Conditions of NoA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From 1 to 5 years (renewable). Method of awarding/releasing assistance: letter

Reports:

See notice of funding opportunity (NOFO) for details. See NOFO for details. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records and supporting documents must be maintained for 3 years after the end of each budget period. If questioned, records must be kept until matters are settled.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,735,000.00; FY 21 est \$3,735,000.00; FY 22 est \$3,735,000.00; Cooperative Agreements FY 20 \$3,735,000.00; FY 21 est \$1,500,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS; 45 CFR, Part 74; Guidelines established by CDC for AIDS cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096
Email: mzf3@cdc.gov
Phone: 4046398864
Fax: Lisa Williams,
1600 Clifton Road, Mail Stop E-07,
Atlanta GA 30333
Email: lrw3@cdc.gov
Phone: 4046391877
Fax:

Website Address:

<http://www.cdc.gov/hiv/>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation criteria as stated in the notice of funding opportunity (NOFO).

93.121 Oral Diseases and Disorders Research

Dental, Oral and Craniofacial Research.,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301, Public Law 78-410

OBJECTIVES:

To improve oral, dental and craniofacial health through performing and supporting basic, translational, and clinical research; conducting and funding research training and career development programs to ensure an adequate number of talented, well-prepared, and diverse investigators; coordinating and assisting relevant research and research-related activities among all sectors of the research community; and promoting the timely transfer of knowledge gained from research and its implications for health to the public, health professionals, researchers, and policy-makers. 1. The Behavioral and Social Sciences (BSS) Research Program supports basic and applied BSS research to promote oral health, to prevent oral diseases and related disabilities, and to improve management of craniofacial conditions, disorders and injury. 2. The Clinical and Practice-Based Research Program supports cross-sectional descriptive, case-control, prospective cohort and retrospective studies of dental, oral, and craniofacial diseases and disorders. The NIDCR-supported National Dental Practice-Based Research Network is a program in which national oral health studies are conducted in dental practices on topics of importance to practitioners and their patients. 3. The Clinical Trials Program supports improving oral health with high-quality evidence derived from well-executed Phase I, II, III, and IV clinical trials. 4. The Data Science, Computational Biology and Bioinformatics Program focuses on challenges in integrating and interpreting diverse and high-volume data to better understand dental, oral, and craniofacial conditions and their health consequences including the areas of bioinformatics, data science, computational biology and computational genomics, and systems biology approaches to dental, oral, and craniofacial research. 5. The Dental Materials and Biomaterials Program supports development of innovative approaches to restore tissue function by replacement and/or enhancement of dental, oral and craniofacial tissues compromised by trauma or disease. NIDCR encourages basic and translational extramural research in dental materials, medical devices, biosensors, imaging, dental implants, biocompatibility of dental materials, and biomaterials for craniofacial restoration and reconstruction. 6. The Developmental Biology and Genetics program supports basic and translational research to better understand the development of craniofacial structures from the early embryonic specification of the neural crest through the later development of teeth and craniofacial sutures. This program also supports research into the genetic and environmental contributions to craniofacial disorders, particularly their study in model organisms. 7. The Health Disparities Research Program supports studies that: provide a better understanding of the basis of oral health disparities and inequities; develop and test interventions tailored/targeted to underserved populations; seek to understand a broadened array of determinants of disparities/inequities in oral health status and care at multiple levels; take a holistic, social ecological, multi-level interventional approach designed to have a meaningful impact on oral health status and quality of life of vulnerable and underserved populations. 8. The HIV/AIDS and Oral Health Research Program supports extramural basic, translational and clinical research on HIV/AIDS to advance understanding of the underlying molecular, cellular, immunological and genetic mechanisms of HIV infection, the development of oral co-morbidities associated with HIV/AIDS, and the effects of HIV treatments on oral and dental tissues. 9. The Microbiology Program supports basic research examining the role of the oral microbiota (comprising bacteria, archaea, fungi and viruses) in dental health and disease as well as preclinical studies aimed at developing new prevention and treatment options for dental infections. Topics include examining the oral microbiota through studies in microbial ecology, physiology and genetics;

polyomic technologies (genomics, metagenomics and systems biology); mechanisms of microbial virulence and pathogenesis; and classical prevention and treatment strategies for combating infectious disease. 10. The Mineralized Tissue Physiology Program supports basic and translational science research on craniofacial skeletal biology and pathobiology, and pharmacogenetics, and promotes interdisciplinary and multidisciplinary approaches to advance the understanding of normal and abnormal processes underlying oral, dental, and craniofacial diseases and disorders. 11. The Neuroscience of Orofacial Pain and Temporomandibular Disorders Program supports basic, translational, and clinical research on: orofacial pain and neuropathies, temporomandibular joint and muscle disorders, development of biomarkers for diagnostics and prognostics, and development of therapeutics. 12. The Oral and Salivary Cancer Biology Program supports basic and translational research to improve detection and treatment of cancers of the oral cavity, pharynx, and salivary glands. Basic research focuses on susceptibilities and mechanisms that influence tumor initiation, promotion, and progression. Translational research focuses on development of innovative biomarkers, novel diagnostic technologies, and appropriate human specimens to better characterize cancers and improve medical decision making and treatment response evaluation. 13. The NIDCR research training and career development programs span the career stages of scientists, supporting training for basic, clinical, behavioral, and other research related to the NIDCR mission. 14. The Salivary Biology and Immunology Program encourages basic and translational research that furthers our understanding of the biology of saliva and salivary gland, immunology of oral diseases, and oral manifestations of systemic and infectious diseases; develops new tools and technologies to address challenges in these areas, and explores pathways toward commercialization and therapeutics. 15. The Tissue Engineering and Regenerative Medicine Program supports basic and translational research on employing bioengineering- and stem cell biology- based approaches for the reconstruction, repair, and regeneration of dental, oral, and craniofacial (DOC) tissues damaged because of disease or injury. The goal of this program is to advance engineering of biocompatible DOC tissue constructs and their functional integration into the host tissue microenvironment, and to support efforts aimed at healing and regeneration of endogenous host tissues. 16. The Translational Genetics and Genomics Program supports research designed to identify the genetic and molecular mechanisms underlying dental, oral, and craniofacial diseases and health. 17. The Small Business Innovation Research (SBIR) program seeks to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. 18. The Small Business Technology Transfer (STTR) program seeks to stimulate and foster scientific and technological innovation and foster technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Contracts), Project Grants (Fellowships), Project Grants (Special)

USES AND USE RESTRICTIONS:

Research Project Grants and Cooperative Agreements: provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation in the dental, oral and craniofacial health sciences. They are awarded to universities, colleges, medical and dental schools, hospitals, and other nonprofit and for-profit institutions. Awards include investigator-initiated project grants, exploratory and developmental grants, small grants, center grants, conference grants, and career development awards. Individual and institutional dual degree (DDS or DMD/PhD) awards provide support for research career development in both clinical and basic science. National Research Service Awards (NRSAs) provide support for research training in specified biomedical areas, and can be made to institutions to enable them to accept individuals for research training. Individuals who receive NRSAs may be obligated upon termination of the award to comply with service and payback provisions. SBIR Phase I grants (duration of approximately 6 months) provide support to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or

ASSISTANCE LISTINGS DESCRIPTIONS

process. Phase II grants support the continuation of the research initiated in Phase I to further develop the commercial products or process initiated in Phase I. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (duration of typically 1 year) support cooperative efforts between small businesses and research institutes to determine the scientific and technical merit, and commercial feasibility of a product or process with potential for commercial application. Phase II funding is based on the results of the research initiated in Phase I and the scientific and technical merit, and commercial potential of the Phase II application.

Applicant Eligibility:

Government - General; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Research Project Grants: Scientists at universities, colleges, medical and dental schools, hospitals, laboratories, and other public or private nonprofit and for-profit institutions. NRSA and career development awards: (1) Nonprofit domestic organizations may apply for institutional awards. (2) Individual candidates or applicants must arrange sponsorship by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. (3) All awardees must be citizens, or non-citizen nationals, of the United States or have been admitted to the United States for permanent residence, except for K99/R00, F99/K00, and T90/R90 grants. (4) To be eligible, postdoctoral NRSA and career development awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Institutional applicants must be able to provide the staff and facilities suitable for the proposed research training. SBIR and STTR grants: Can be awarded only to domestic small business concerns that meet the following criteria: 1) Is organized for profit, with a place of business located in the United States, which operates primarily within the United States or which makes a significant contribution to the United States economy through payment of taxes or use of American products, materials or labor; 2) Is in the legal form of an individual proprietorship, partnership, limited liability company, corporation, joint venture, association, trust or cooperative, except where the form is a joint venture, there must be less than 50 percent participation by foreign business entities in the joint venture; 3) Be a concern which is more than 50% directly owned and controlled by one or more individuals (who are citizens or permanent resident aliens of the United States), other business concerns (each of which is more than 50% directly owned and controlled by individuals who are citizens or permanent resident aliens of the United States), or any combination of these; no single venture capital operating company, hedge fund, or private equity firm may own more than 50% of the concern, 4) Has, including its affiliates, not more than 500 employees, and 5) meets the other regulatory requirements found in 13 C.F.R. Part 121. For STTR awards, the small business must "partner" with a research institution in a cooperative research and development project. In both Phase I and Phase II for both SBIR and STTR, the research must be performed in the U.S. and its possessions. To be eligible for funding, all grant applications must be evaluated for scientific merit and program relevance by a scientific peer review group and the National Advisory Dental and Craniofacial Research Council.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Industrialist/ Business person; Small Business Person; Public nonprofit institution/organization; Other public institution/organization; Health professionals, graduate students, undergraduate students, health professional students, scientists, researchers, and any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Research Project Grants: Applications must include the specific aims (objectives) of the research, background and significance, research design and methods, investigator qualifications, innovation, approach/methodology, and environment/resources for the proposed project. NRSA and career development awards: (1) Individual Award Candidates: the applicant's academic record, research experience, citizenship, institutional sponsorship, proposed research area, and plan for training, must be included in the application. (2) Institutional Award Candidates: the application must include the objectives, methodology, and resources for the research training program, the research qualifications and experience of participating faculty in training students and fellows, and the criteria to be used in selecting individuals for support. Costs will be determined in accordance with OMB Circular No. A-87 for State and Local Governments. Costs for for-profit organizations are determined in accordance with the Federal Acquisition Regulations Subpart 31.2. For other than State and Local Government grantees, costs will be determined by Health and Human Services (HHS) Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business) must present an idea in the research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for Federal assistance must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide (<http://grants.nih.gov/grants/forms.htm>). A registration process through Grants.gov is necessary before submission. Applicants are strongly encouraged to start the registration process at least four weeks prior to the applications submission date. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All accepted grant applications competing for research project grants, career development awards, and NSRA awards are reviewed by two advisory groups. Primary review is conducted by an initial review group composed of extramural peer scientists, and secondary review by the National Advisory Dental and Craniofacial Research Council (NADCRC). Secondary review of NRSA fellowship applications is conducted by NIDCR staff rather than by the NADCRC. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate initial review group and by the NADCRC. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Research Project Grants, SBIR/STTR, and NSRA: From 6 to 9 months.

Appeals:

From 90 to 120 days. A Principal Investigator (PI) may question the technical or procedural aspects of the review of his/her application by communicating with the program staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page: https://grants.nih.gov/grants/peer_review_process.htm#Appeals and in the Notice: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

>180 Days. Grants: Applications for approval beyond the approved project period must be submitted at least 6 to 9 months in advance of the termination date. NRSA: Institutional Awards may be made up to 5 years. No individual may receive NIH/NRSA support at the postdoctoral level for more than 3 years, and total support of more than 6 years, unless a specific waiver is requested and approved.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research Project Grants: Approval of a project includes a determination of support for the authorized project period (generally not to exceed 5 years). Awards to support the project are made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Grant Award indicates the support recommended and expected to be made available for the remainder of the project beyond the approved project period, an application for renewal must be submitted in accordance with the deadline dates and instructions attached to the form. SBIR Phase I awards are generally for 6 months; Phase II awards normally are for 2 years. STTR Phase I awards are generally for 1 year; Phase II awards are for 2 years. Method of awarding/releasing assistance: other

Reports:

Annually. Annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0873-0-1-550.

Obligations:

Project Grants FY 20 \$359,574,366.00; FY 21 est \$361,510,999.00; FY 22 est \$368,741,000.00;

Range and Average of Financial Assistance:

FY2019: research project grants: range \$9392-\$4,259,203, average \$440,946; NRSA: range \$7236-\$597,534, average \$96,543; SBIR/STTR: range \$150,000-\$956,644, average \$488,999; Career development: range \$12,553-\$245,424, average \$138,355. FY2020: research project grants: range \$5316-\$3,499,097, average \$421,299; NRSA: range \$4962-\$612,822, average \$96,475; SBIR/STTR: range \$71,837-\$2,260,835, average \$667,580; Career development: range \$48,386-\$245,424, average \$138,979.

TAFS Codes:

75-0873.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 66; 45 CFR 74; NIDCR Grants and Funding website (<http://www.nidcr.nih.gov/GrantsAndFunding/>), NIH Grants website (<http://grants.nih.gov/grants/oe.htm>). Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Extramural Activities Dr. Alicia Dombroski,
6701 Democracy Blvd.; MSC 4878,
Bethesda MD 20892
Email: adombroski@nidcr.nih.gov
Phone: (301) 594-4805
Fax: (301) 480-8303

Website Address:

<http://www.nidcr.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The primary criteria for evaluating grant applications include assessment of: (1) the scientific merit, significance and overall impact of the proposed study and its objectives; (2) the competency of the proposed investigator(s) to successfully pursue the project; (3) the innovation and novelty of the concepts, approaches, or methodologies; (4) the technical adequacy of the experimental design and approach; (5) the adequacy of the available proposed facilities and resources; (6) the necessity of the budget components requested in relation to the proposed project; and (7) the relevance and importance to the posted institute program objectives. Also evaluated, where applicable, but not scored are: (1) protections for human subjects; (2) inclusion of women, minorities, and children; (3) vertebrate animal welfare; and (4) adequate protection of research personnel and/or the environment, biosafety, biocontainment and the security of select agents; (5) resource sharing plans; (6) authentication of key biological and/or chemical resources, (7) budget and period of support. In addition, the following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: the potential of the proposed research for commercial application. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the technological innovation, originality, or societal importance of the proposed research; and (4) the potential of the proposed research for commercial application. NRSA individual fellowship applications will be evaluated for: (1) overall impact/merit; (2) suitability of the applicant; (3) qualifications of the sponsor(s)/collaborator(s); (4) scientific merit of the research training plan; (5) training potential; and (6) institutional environment and commitment to training. NRSA institutional training grants are assessed for: (1) overall impact; (2) merit of the training program and institutional environment; (3) the experience and success of the training program directors, PIs, and mentors; (4) the trainee recruitment plan and selection; and (5) the training record of the program and/or institution. Career Development applications will be evaluated for: (1) overall impact; (2) qualifications of the candidate; (3) the career plan and career goals/objectives of the candidate; (4) scientific merit of the research plan. (5) qualifications of the mentor(s), consultant(s) and collaborator(s); and (5) institutional environment and commitment to career development.

93.123 Health Professions Pre-graduate Scholarship Program for Indians

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF
AUTHORIZATION:

Indian Health Care Improvement Act, , Public Law 94-437

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives for the purpose of completing pre-graduate education leading to a baccalaureate degree only in the following areas: (1) Pre-medicine: priority as follows: senior, junior, sophomore, freshman; (2) Pre-dentistry: priority as follows: senior, junior, sophomore, freshman; (3) Pre-optometry: priority as follows: senior, junior, sophomore, freshman; (4) Pre-podiatry: priority as follows: senior, junior, sophomore, freshman.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native

ASSISTANCE LISTINGS DESCRIPTIONS

descent. Support is limited to 4 years of full-time education, or part-time equivalent for a maximum of 8 years.

Applicant Eligibility:

Minority group; Individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency, and have been accepted for enrollment or are enrolled in an accredited pre-graduate program in a pre-medicine, pre-dentistry, pre-optometry or pre-podiatry curriculum.

Beneficiary Eligibility:

American Indian; Other Non-White; Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency, and have been accepted for enrollment or are enrolled in an accredited pre-graduate program in a pre-medicine, pre-dentistry, pre-podiatry or pre-optometry curriculum. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application form OMB No. 0917-0006 and instructions are provided by the Indian Health Service and are usually available to interested individuals in December (www.ihs.gov/scholarship/applynow). An application form and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) are submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Grants are awarded on a competitive basis. Funding covers only Tuition and Fees. Tuition and fee payments are made to the recipient's college or university.

Deadlines:

December 15, 2020 - March 28, 2021. The application cycle opens in mid-December 2020 and closes on February 28, 2021 for current scholarship recipients interested in extending their scholarship for another year. The application deadline for new scholarship applicants is March 28, 2021.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved by July 1, 2021, by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Other. Continuation applications must be submitted annually. Continued funding is subject to the availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum length of funding is a total of 4 years full-time and 8 years part-time. See the following for information on how assistance is awarded/released: Tuition payments and fees are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the 10-month academic period. An amount for books and other necessary expenses is provided to the student. Method of awarding/releasing assistance: lump sum.

Reports:

Students are required to submit their official transcripts as they are received. Initial progress reports are required for each quarter. Notification of Academic Problem/Change is required at any time a problem or change occurs.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit

Requirements.

Records:

The Indian Health Service Scholarship Program maintains records on all students. Records must be maintained for 4 years after the final payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

Training FY 20 \$1,698,435.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

\$23,835 - \$81,091; average \$34,497

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 136.370-374. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 96-537 (which amends Public Law 94-437, Section 103), published in the Federal Register on February 29, 1984, redesignated May 17, 2002.

Regional or Local Office:

None. Program Contact: Ms. Reta Brewer, Chief, Scholarship Branch, Division of Health Professions Support, Indian Health Service, 5600 Fishers Lane, Mail Stop: OHR 11E69B, Rockville, MD 20857. Email: scholarship@ihs.gov Telephone: (301) 443-2349. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.972 Health Professions Scholarship Program; 93.971 Health Professions Preparatory Scholarship Program for Indians;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored based on Academic performance, Faculty/Employer Evaluations and Applicant Essays. Complete information on the applicant selection process is available in the IHS Scholarship Program Application Handbook at https://www.ihs.gov/scholarship/handbooks/application_handbook.pdf.

93.124 Nurse Anesthetist Traineeship

Nurse Anesthetist Traineeship (NAT).

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 811 of the Public Health Service (PHS) Act, (42 U.S.C. 296j).

OBJECTIVES:

The purpose of the Nurse Anesthetist Traineeship Program is to support eligible entities to meet the cost of traineeships for individuals in Nurse Anesthesia degree programs and increase the number of Certified Registered Nurse Anesthetists (CRNAs) providing care, especially to rural and underserved populations.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used for traineeship support to licensed registered nurses enrolled as full-time students in accredited graduate-level nurse anesthesia programs.

Traineeships may include full or partial costs of the tuition, books/eBooks, fees, and reasonable living expenses (stipends) for the individual during the traineeship. Restricted Uses: Funds may not be used for travel, daily commuting costs of trainees, non-trainee expenses, or indirect costs of the educational institution.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are accredited schools of nursing, nursing centers, academic health centers, state or local governments, and other public or private nonprofit entities determined appropriate by the Secretary. Domestic faith-based and community-based organizations, tribes, and tribal organizations are eligible to apply for these funds, if otherwise eligible. Any school(s) of nursing affiliated with the application must be accredited by the Council on Accreditation of Nurse Anesthesia Educational Programs at the time of application, and for the duration of the award, to provide registered nurses with full-time anesthetist education. In addition to the 50 states, eligible applicants from the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau may apply.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; NAT funds are awarded to institutions, not individuals. Traineeship recipients are selected by the participating eligible institutions. A candidate must be a citizen of the United States or a foreign national having in his/her possession a visa permitting permanent residence in the United States, or a non-citizen national; must be enrolled full-time in an accredited course of study leading to a graduate degree in nurse anesthesia, and must be eligible to sit for the national certification examination to become a Certified Registered Nurse Anesthetist upon program completion. Individuals on temporary student visas are not eligible to receive NAT support.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. The NAT Program is a formula-based grant program that does not undergo a formal Objective Review Process. All eligible, qualified applications are reviewed and assessed internally. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The funds appropriated for the NAT Program are distributed among eligible institutions based on a formula. Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately from 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for award activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving this award..

Length and Time Phasing of Assistance:

This funding opportunity provides support for a three-year project period. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Program reports are required. The recipient will be required to submit Annual Performance Reports. No cash reports are required. NAT award recipients are not required to submit the annual Federal Financial Report SF-425 to HRSA. For the purpose of this grant program, the quarterly Federal Cash Transaction Report submitted to the Payment Management System (PMS) will be used to fulfill the financial reporting requirement. Timely and accurate information provided to the PMS will be used in lieu of the Financial Status Report /Federal Financial Report The Notice of Award will contain a provision for Integrity and Performance Reporting in FAPIIS, as required in 45 CFR part 75 Appendix XII. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. NAT grantees are not required to submit the annual Federal Financial Report SF-425 to HRSA. For the purpose of this grant program, the quarterly Federal Cash Transaction Report submitted to the Payment Management System (PMS) will be used to fulfill the financial reporting requirement. Timely and accurate information provided to the PMS will be used in lieu of the Financial Status Report /Federal Financial Report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3- year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Formula Grants FY 20 \$2,588,373.00; FY 21 est \$2,250,000.00; FY 22 est \$2,250,000.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

FY20 Range \$1,000 \$117,582; Average award: \$31,955.22FY21 Range estimate \$4,090 - \$84,257; Average award: \$27,777FY22 Range estimate \$3,785 - \$85,156; Average award: \$27,777

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2019-2020, grantees of the NAT Program provided direct financial support to 1,827 nurse anesthetist students. Students received clinical training in medically underserved communities (73 percent) and/or primary care settings (35 percent) during the academic year. In addition, NAT trainees participated in COVID-19 related training (41 percent) and/or opioid use treatment (82 percent). Of these students, 1,249 students graduated from their degree programs and entered the workforce. At the time of graduation, 54 percent of graduates intended to pursue employment or further training in medically underserved communities, and 20 percent planned to pursue employment or further training in a primary care setting.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>

Regional or Local Office:

None.

Headquarters Office:

Samantha Webb, BSN, RN, OCN Health Resources and Services Administration, Bureau of Health Workforce, Division of Nursing and Public Health, 5600 Fishers Lane,, Rockville MD 20857

Email: SWebb@HRSA.gov

Phone: (301) 443-3524

Michael McCalla, MSHA, Health Resources and Services Administration, Bureau of Health Workforce, Division of Nursing and Public Health,

5600 Fishers Lane,,

Rockville MD 20857

Email: MMcCalla@hrsa.gov

Phone: (301) 443-0856

Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; and (4) Organizational Information, Resources and Capabilities. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.127 Emergency Medical Services for Children

EMS for Children.

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND

HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Public Health Service Act, Title XIX, Section 1910, (42 U.S.C. 300w-9); as amended,

OBJECTIVES:

To support demonstration projects for the expansion and improvement of emergency medical services for children who need treatment for trauma or critical care.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 1910 of the Public Health Service Act.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); State Governments and Accredited Schools of Medicine

Beneficiary Eligibility:

Health Professional; Scientist/Researchers; All children will benefit from the project grants administered by this program, including children from minority groups.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Final decisions are made about 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Renewal applications will be accepted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are generally for not more than a 4 year period (with an optional 5th year based on performance), subject to annual evaluation by the Secretary. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment

ASSISTANCE LISTINGS DESCRIPTIONS

Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Annual program reports are required. Refer to "reports" information below. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). Performance Reports include annual reporting of EMSC performance measures data, as approved by Office of Management and Budget after public review via Federal Register Notice. Data collection related to the EMSC performance measures is required periodically to monitor grantee progress. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Refer to "reports" information above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

Project Grants FY 20 \$8,496,317.00; FY 21 est \$9,123,821.00; FY 22 est \$9,146,606.00; Cooperative Agreements FY 20 \$11,015,327.00; FY 21 est \$10,446,428.00; FY 22 est \$10,448,223.00;

Range and Average of Financial Assistance:

(Cooperative Agreements)FY 20 \$698,443 to \$3,067,473;average \$1,223,925 FY 21 \$698,443 to \$3,000,000; \$1,160,714FY 22 \$698,443 to \$3,000,000; \$1,160,914(Project Grants)FY 20 \$13,470 to \$325,000; \$134,862FY 21 \$64,208 to \$325,000; \$144,823FY 22 \$64,208 to \$325,000; \$145,184

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In the past fiscal year 2020, 72 grants were awarded to state governments and accredited schools of medicine. Fifty-eight of the grant awards were issued nationally to states, U.S. territories and the freely associated states

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of

award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Rockville, MD 20857.

Headquarters Office:

Theresa Morrison-Quinata,
Maternal and Child Health Bureau, Health Resources and Services Administration,
5600 Fishers Lane, Room 18N-54,
Rockville MD 20857

Email: TMorrison-Quinata@hrsa.gov

Phone: (301) 443-1527.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 20.600 State and Community Highway Safety;

EXAMPLES OF FUNDED PROJECTS:

2021 Pediatric Emergency Care Applied Research Network ProgramTargeted Issues ProgramEMS for Children State Partnership ProgramEMS for Children Innovation and Improvement CenterEMSC Data Center

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be reviewed and evaluated by a panel of reviewers experienced in the planning, implementation, and monitoring of emergency medical services and pediatric care. The reviewers will recommend a score for applications; recommend any modifications or conditions to the grant if awarded; and recommend any changes to the proposed budget. Recommendations of the review panel are presented to the Associate Administrator for MCH. Panel recommendations are advisory only, and the Associate Administrator for MCH will be responsible for final decisions regarding awards. Reviewers will use the criteria and questions described in the section on Categories of Grants: Program Narrative and Review Criteria to evaluate proposals. Applicants are urged to address these criteria as directly as possible in the text of the program narrative.

93.129 Technical and Non-Financial Assistance to Health Centers

State and Regional Primary Care Associations (PCAs) and National Health Center Training and Technical Assistance Partners (NTTAPs).

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 330(l), as amended (42 U.S.C 254b).

OBJECTIVES:

PCAs provide training and technical assistance (T/TA) to support health centers to provide comprehensive, high quality primary health care and improve the health of individuals and communities, through the following goals: Increase access to comprehensive primary care; Accelerate value-based care delivery; Foster a workforce to address current and emerging needs; Enhance emergency preparedness and response; and Advance clinical quality and performance. NTTAPs provide national-level T/TA that strategically positions health centers to increase access to high quality, comprehensive primary care services for underserved populations in an evolving health care landscape.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Special)

USES AND USE RESTRICTIONS:

Recipients will provide certain technical and non-financial assistance to health centers and other qualified organizations at a state or national level.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education

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and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include domestic public or private, non-profit, and for-profit entities, that provide training and technical assistance to community-based organizations that have or are seeking Health Center Program award recipient or look-alike designation status. Faith-based, tribal, and tribal organizations are eligible to apply. Current PCAs, NTTAPs, or new organizations may submit applications.

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization; Quasi-public nonprofit organization; Native American Organizations; State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals), Public nonprofit institution/organization (includes institutions of higher education and hospitals), Other public institution/organization, Federally Recognized Indian Tribal Governments, Private nonprofit institution/organization (includes institutions of higher education and hospitals), Quasi-public nonprofit institution/organization, Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations). Population groups in medically underserved areas, medically underserved populations, and special populations such migratory and seasonal agricultural workers, people experiencing homelessness, and public housing residents.

Credentials/Documentation:

Applicants should review the applicable HRSA notice(s) of funding opportunity issued under this program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. The cost principles codified by the United States Department of Health and Human Services at 45 CFR Part 45 apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program subject to the provisions of Executive Order 12372, Intergovernmental Review of Federal Programs, as implemented by 45 CFR 100. See HRSA's SF-424 Two-Tier Application Guide for additional information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Uniform Administrative Requirements, Cost Principles and Audit Requirements, as codified by the United States Department of Health and Human Services at 45 CFR Part 75, apply to this program. Refer to the applicable notice of funding opportunity under this program for detail.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Refer to the applicable notice of funding opportunity under this program.

Appeals:

Not Applicable.

Renewals:

Other. Awards to support projects beyond the first budget year will be contingent

upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, satisfactory performance, and a determination that continued funding would be in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually. After notices of awards are issued, award recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Refer to the applicable notice of funding opportunity for reporting information. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is due according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrsc/hedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Performance monitoring includes ongoing work plan collaboration, review of technical assistance materials, and review of annual progress reports; it may include periodic site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR Part 75 award recipients that expend financial assistance of \$750,000 or more in Federal expenditures will have a single or a program-specific audit conducted for that fiscal year. Award recipients that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that fiscal year, except as noted in 45 CFR 75.501 and applicable award terms.

Records:

Award recipients are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$71,500,000.00; FY 21 est \$65,000,000.00; FY 22 est \$65,000,000.00; Cooperative Agreements FY 20 \$25,000,000.00; FY 21 est \$23,000,000.00; FY 22 est \$23,000,000.00; Project Grants (Special) FY 20 \$0.00; FY 21 est \$16,300,000.00; and FY 22 est \$0.00; Project Grants (Special) FY 20 \$0.00; FY 21 est \$5,500,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Varies. See applicable notice of funding opportunity.

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TAFS Codes:

75-0360.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HRSA funds 52 PCAs and 21 NTTAPs which provide state, regional, and national training and technical assistance to maximize the value and impact of the Health Center Program, supporting nearly 1,400 health centers to innovate and improve quality, while responding to changes in the health care environment and maintaining compliance with Health Center Program requirements.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 75 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Bureau of Primary Health Care, Health Resources and Services Administration,
5600 Fishers Lane.,
Rockville MD 20857
Email: BPHCFunding@hrsa.gov
Phone: (301) 594-4300
Fax:

Website Address:

<http://www.hrsa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants seeking to provide technical and non-financial assistance to health centers will be evaluated on their ability to provide national, state, or regional-specific training and technical assistance in the areas specified in each NOFO. Activities under each core function must support and advance the HRSA mission. The extent and type of activities under each core function should depend on demonstrated Health Center Program needs and HRSA priorities. See specific details in each Notice of Funding Opportunity issued with this assistance number.

93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices

State Primary Care Offices (PCO) (U68),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 330(k), 330(m) and 333(d),

OBJECTIVES:

To coordinate local, State, and Federal resources contributing to primary care service delivery and workforce issues in the State to meet the needs of medically-underserved populations through health centers and other community-based providers of comprehensive primary care and the retention, recruitment, and oversight of health professions for medically underserved populations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will be expected to perform State-wide primary care planning and resource coordination, including maintaining and updating databases that reflect current levels of health professional shortage areas (HPSA) and medically

underserved areas and populations (MUAP), Medicaid, Children's Health Insurance program, State Offices of Rural Health, Ryan White Area Health Education Centers, Maternal and Child Health, etc.; support health systems development, primary care practitioners retention and recruitment, National Health Service Corps monitoring activities, as well as activities relating to other special populations including rural populations; and conduct operational and administrative support activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; U.S. Territories and possessions; States or territories, political subdivisions of States, agencies of States, or other public entities that operate solely within one State or represent multiple territories where appropriate. These entities must provide statewide coverage for primary health care issues and represent or have relationships with the broad range of primary health care delivery systems and programs in the State.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Health Professional Shortage Areas and Medically Underserved Areas/Populations within States and Territories will benefit from this program. Primary Care Offices (PCO) submit applications for designation status, which are reviewed and processed by the Division of Policy and Shortage Designation.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately from 120 to 180 days after receipt of application.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSAs Application Guide. A final

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report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$10,899,000.00; FY 21 est \$10,899,900.00; FY 22 est \$10,899,900.00;

Range and Average of Financial Assistance:

For FY 2020, awards ranged from \$152,056 to \$444,379; Average award per recipient \$203,509. For FY 2021, est awards ranged from \$152,056 to \$444,379; Average award per recipient \$203,703. For FY 2022, est awards ranged from \$152,056 to \$444,379; Average award per recipient \$203,703.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Grantees submitted Statewide Primary Care Needs Assessments as required by the end of year two of Cooperative Agreements period of performance.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Matthew Salaga, Shortage Designation Branch, Division of Policy and Shortage Designation, Bureau of Health Workforce, Health Resources and Services Administration,
5600 Fishers Lane, Room 11W16,,
Rockville MD 20852
Email: msalaga@hrsa.gov
Phone: (301) 945-0194

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.549 Affordable Care Act (ACA) The Primary Care Services Resource Coordination and Development Program; 93.129 Technical and Non-Financial

Assistance to Health Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.134 Grants to Increase Organ Donations

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Reimbursement of Travel and Subsistence Expenses Incurred toward Living Organ Donation: Section 377 of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f. Increasing Organ Donation Awareness: Section 377A(b) of the Public Health Service (PHS) Act, as amended, 42 U.S.C. Section 274f-1(b). Lost Wages Support for Living Organ Donors (Demonstration Project): Section 377A(b) of the Public Health Service (PHS) Act, as amended, 42 U.S.C. 274f-1(b).

OBJECTIVES:

The Living Organ Donation Reimbursement Program formerly (the Reimbursement of Travel and Subsistence Expenses Incurred toward Living Organ Donation): Funds made available through this program may not be made available to donating individuals when payment for qualified reimbursement expenses has been made, or can reasonably be expected to be made: (1) under any State compensation program, under an insurance policy, or under any Federal or State health benefits program; (2) by an entity that provides health services on a prepaid basis; or (3) by the recipient of the organ. Increasing Organ Donation Awareness Grant Program: Funds under this notice may not be used for the following purposes: to purchase or improve land, or to purchase, construct, or make permanent improvements to any building except for minor remodeling; to make payments to recipients of services, except for reimbursement of reasonable and allowable out-of-pocket expenses associated with participation in project activities; nor to support: (a) biomedical and clinical research; (b) the development and/or assessment of the efficacy of new or improved clinical methods of donor management, organ recovery, or organ preservation; (c) fundamental research focused on new or improved evaluation tools and methodologies; (d) fundamental research focused on the development of new behavioral theories relevant to health attitudes, practices, and decision-making interventions inconsistent with existing Federal law; (e) to conduct interventions to increase tissue donation alone; (f) to evaluate clinical outcomes of donation after cardiac death (DCD) organs; (g) to fund staff time devoted to project activities that is being supported by other sources. Lost Wages Support for Living Organ Donors (Demonstration Project): funds under this notice may not be used for the following purposes: (a) Support for blood, bone marrow, and blood stem cell donation; (b) Reimbursement for travel and related expenses for living organ donors; (c) Reimbursement for any lost wages expenses and similar expenses if this support is available under other state or prepaid health or insurance program or employer benefits. All Programs: Funds may not be used for activities that are reimbursable under Medicare.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

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The Living Organ Donation Reimbursement Program: Funds made available through this program may not be made available to donating individuals when payment for qualified reimbursement expenses has been made, or can reasonably be expected to be made: (1) under any State compensation program, under an insurance policy, or under any Federal or State health benefits program; (2) by an entity that provides health services on a prepaid basis; or (3) by the recipient of the organ. Increasing Organ Donation Awareness Grant Program: Funds under this notice may not be used for the following purposes: to purchase or improve land, or to purchase, construct, or make permanent improvements to any building except for minor remodeling; to make payments to recipients of services, except for reimbursement of reasonable and allowable out-of-pocket expenses associated with participation in project activities; nor to support: (a) biomedical and clinical research; (b) the development and/or assessment of the efficacy of new or improved clinical methods of donor management, organ recovery, or organ preservation; (c) fundamental research focused on new or improved evaluation tools and methodologies; (d) fundamental research focused on the development of new behavioral theories relevant to health attitudes, practices, and decision-making (e) interventions inconsistent with existing Federal law; (f) to implement efforts to promote living donation; (g) to conduct interventions to increase tissue donation alone; (h) to evaluate clinical outcomes of donation after cardiac death (DCD) organs; (i) to fund staff time devoted to project activities that is being supported by other sources. Lost Wages Support for Living Organ Donors (Demonstration Project): funds under this notice may not be used for the following purposes: (a) Support for blood, bone marrow, and blood stem cell donation; (b) Reimbursement for travel and related expenses for living organ donors; (c) Reimbursement for any lost wages expenses and similar expenses if this support is available under other state or prepaid health or insurance program or employer benefits.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The Living Organ Donation Reimbursement Program: As specified in Section 377 of the Public Health Service Act, as amended, eligible applicants include States, transplant centers, qualified organ procurement organizations under section 371, or other public or private entities. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to comply with the final rule governing the operation of the OPTN Section 121.11(b2). Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply. Increasing Organ Donation Awareness Grant Program: As specified in Section 377A(b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities for the purpose of carrying out studies and demonstration projects to increase: organ donation and recovery rates; knowledge about opportunities for, and the risks and benefits associated with living donation; and knowledge about vascularized composite allografts (VCA) and willingness to become a VCA deceased donor or provide authorization for a deceased relative to become a VCA donor. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to comply with the final rule governing the operation of the OPTN Section 121.11(b2). Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply. Lost Wages Support for Living Organ Donors (Demonstration Project): As specified in Section 377A(b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities for the purpose of carrying out studies and demonstration projects to increase organ donation and recovery rates, including living donation. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to comply with the final rule governing the operation of the OPTN Section 121.11(b2). Faith-based, community organizations and Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); The Living Organ Donation Reimbursement Program: Primary beneficiaries are low/moderate income living organ donors and recipients.

Increasing Organ Donation Awareness Grant Program: Beneficiaries of the grant efforts are patients on the national transplant waiting list. Lost Wages Support for Living Organ Donors (Demonstration Project): Beneficiaries include patients on the national transplant waiting list as well as potential living organ donors.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Up to 200 days from application deadline

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Living Organ Donation Reimbursement Program Cooperative Agreement is awarded for up to five 12-month budget periods. Increasing Organ Donation Awareness Research grants are awarded for up to three 12-month budget periods. Lost Wages Support for Living Organ Donors (Demonstration Project) is awarded for up to three 12-month budget periods. Lost Wages Support for Living Organ Donor (Demonstration Project) is awarded for up to three 12-month budget periods. See the following for information on how assistance is awarded/released: For the programs described above, grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

The Living Organ Donation Reimbursement Program: Two progress reports are required in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. The grantee also

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submits quarterly updates to HRSA on the number and characteristics of applications received and funded by the grantee. Increasing Organ Donation Awareness Grant Program: Two semi-annual progress reports are required from all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. Oral reports are required at project termination. No expenditure reports are required. Performance monitoring is not applicable. Lost Wages Support for Living Organ Donors (Demonstration Project): Quarterly reports are required in addition to annual progress reports. Final reports and final financial status reports are due 90 days from the end of the project period. The grantee also submits quarterly updates to HRSA on the number and characteristics of applications received and funded by the Program.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0357-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$8,150,000.00; FY 21 est \$9,711,636.00; FY 22 est \$13,790,523.00; Project Grants FY 20 \$1,188,689.00; FY 21 est \$0.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The Living Organ Donation Reimbursement Program HRSA makes one cooperative agreement award under this program with a 5-year performance period. Increasing Organ Donation Awareness Grant Program: only continuation funding in FY 2020 range \$399,127 to \$400,000; average \$396,230 Lost Wages Support for Living Organ Donors (Demonstration Project): no funding in FY 2020 and FY 2021.

TAFS Codes:

75-0357.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Living Organ Donation Reimbursement Program: At the end of calendar year 2020, there were close to 3360 transplant programs in the United States participating in NLDAC. In calendar year 2020, NLDAC received more than 800 applications for assistance, and more than 725 (89 percent) met the NLDAC eligibility guidelines. NLDAC provided approximately \$1.3 million in travel expense reimbursement and facilitated nearly 350 living organ transplants. The median household income of the transplant recipients was \$30,670 and the donors median household income was \$52,094. Since its inception in 2007, the NLDAC received more than 11,000 applications for financial assistance and facilitated more than 6,000 living organ transplants. The NLDAC has provided more than \$20 million in financial assistance to living donors and potential donors. Increasing Organ Donation Awareness Grant Program: A broad spectrum of interventions to increase donation has been implemented at the national, State, and local levels by a variety of public and private organizations, ranging from large-scale national media and public education programs and statewide donor registries to community-based activities to raise awareness among various population groups. These projects have contributed to the knowledge base about important factors associated with the donation decision-making process and methods for motivating people to make the commitment to become deceased organ donors as well as educating the public about living donation and vascularized composite allograft (VCA) donation.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and

tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. The Living Organ Donation Reimbursement Program Vanessa Arriola, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 08W0, Rockville, MD 20857. Telephone: 301-443-4564. Increasing Organ Donation Awareness Grant Program: Mesmin Germain, MBA, MPH, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 08W50, Rockville, MD 20857. Telephone: 301-443-0053. Lost Wages Support for Living Organ Donors (Demonstration Project): Mesmin Germain, MBA, MPH, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 08W50, Rockville, MD 20857. Telephone: (301) 443-0053. Increasing Organ Donation Awareness Grant Program: Venus Walker, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 08W49D53C, Rockville, MD 20857. Telephone: 301-443-7578. Lost Wages Support for Living Organ Donors (Demonstration Project): Melanie A. Deal, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 08W53D, Rockville, MD 20857, Telephone: (301) 443-9412

Headquarters Office:

Division of Transplantation, 5600 Fishers Lane, 08W49, Rockville MD 20857
Email: VWalker@hrsa.gov
Phone: (301) 443-7578.

Website Address:

http://www.organdonor.gov_and_www.hrsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Living Organ Donation Reimbursement Program: HRSA awards one cooperative agreement for a national program to provide reimbursement of travel, housing, meals, and other expenses that may the Secretary of Health may allow. The current grantee through partnership with other private non-profit entities established the National Living Donor Assistance Center to operate this national program to support living donors. The current 5-year grant was awarded in FY 2019 and runs through August 31, 2024. Increasing Organ Donation Awareness Grant Program: Three projects were funded in FY 2018: Two focused on increasing awareness and knowledge about opportunities for as well as the risks and benefits associated with living donation. These grantees and their project titles are Erie County Medical Center Corporation, Living Donation and Kidney Transplantation Information Made Easy (Kidneytime) with Animated Video Education, and, University of California, Los Angeles, Ensuring Informed Decision-Making for Paired Kidney Donation: A National Kidney Registry Education Collaborative. The third funded project focused on increasing knowledge about vascularized composite allografts (VCA) and willingness to become a VCA deceased donor or provide authorization for a deceased relative to become a VCA donor. The grantee is Beth Israel Deaconess Medical Center whose project focused on A Randomized Trial to Increase Donor Registration and VCA Donation Willingness in Veterans. No new funding opportunities in FYs 2019, 2020, and 2021. Lost Wages Support for Living Organ Donors (Demonstration Project): One cooperative agreement awarded under this program in FY 2019. The grantee is assessing the impact of reimbursement of lost wages on individuals willingness to become living organ donors, and will inform HRSA on the most effective and efficient mechanism to provide this support to living donors. No new funding opportunities in FYs 2020 and 2021.

CRITERIA FOR SELECTING PROPOSALS:

Please refer to the notice(s) of funding opportunity.

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93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention

(Prevention Research Centers),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title 42, Part 330u-5, Section 1706, Public Law 98-551

OBJECTIVES:

The funded Prevention Research Centers aims are to: 1. Establish, maintain, and operate multi-disciplinary academic-based centers that conduct high-quality applied health promotion and disease prevention research; 2. Improve public health practice through applied prevention research; 3. Apply the knowledge and expertise of academic health centers to address practical public health problems; 4. Design, implement, evaluate, and disseminate cost-effective methods and strategies for health promotion and disease prevention at the tribal, territorial, state, or local level; 5. Shorten the time lag between the development of new and proven effective disease prevention and health promotion strategies and interventions and their widespread application; and 6. Involve health departments and other community partners in the development, implementation, evaluation, and dissemination of one applied public health prevention research project.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstration projects pertaining to health promotion and disease prevention plus certain indirect costs of the grantee in accordance with established policies of the Public Health Service. Grantees may not award subgrants but may enter into consortia agreements or contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are accredited schools of medicine, schools of osteopathy, and schools of public health as defined in Section 701 (4) of Public Health Service Act.

Beneficiary Eligibility:

Other private institution/organization; Anyone/general public; Health Professional; Education Professional; Scientist/Researchers; Other public institution/organization; Academic health centers, scientist/researchers, operational public health programs, targeted high risk groups, selected demonstration areas, and the general public.

Credentials/Documentation:

Costs will be determined in accordance with HHS Regulations, 45 CFR 74. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity, use application form SF 424. Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

Approved grants are funded based on priority score ranking from a scientific review, as well as availability of funds, secondary review and such other significant

factors deemed necessary and appropriate by the agency. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 5152-1) indicates support recommended for remainder of project period, allocations of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From six to nine months.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Applications for renewal will be reviewed in the same manner as new applications and will compete for available funds with other applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From one to five years (renewable, based on competitive applications and availability of funds). Method of awarding/releasing assistance: other

Reports:

Interim progress (annually); annual report (three months after end of budget period); final progress report (three months after end of project period); and reprints and copies of resulting publications. Interim progress (annually); terminal progress report (three months after end of each budget period; and final progress report (three months after the end of the project period; and reprints and copies of resulting publications. Financial status reports (annual) Interim progress (annually)

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, including documents to support entries on accounting records and substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0948-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$19,500,000.00; FY 21 est \$95,313,386.00; FY 22 est \$95,313,386.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Deputy Branch Chief John Avery Francis,
4770 Buford Highway,
Atlanta GA 30341

ASSISTANCE LISTINGS DESCRIPTIONS

Email: ijh9@cdc.gov

Phone: 7704886384

Website Address:

<http://www.cdc.gov/prc>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.542 Health Promotion and Disease Prevention Research Centers: Affordable Care Act (ACA) Special Interest Project Competitive Supplements (SIPS);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Prevention Research Center applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.136 Injury Prevention and Control Research and State and Community Based Programs

National Center for Injury Prevention and Control,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301, 317, 391, 392, 393, and 394, as amended, 42 U.S.C. 241.,

OBJECTIVES:

Through its programs, the Injury Center works with national organizations, state health agencies, and other key groups to develop, implement, and promote effective injury and violence prevention and control practices. RESEARCH GRANTS: (1) To support injury control research on priority issues; (2) to integrate aspects of engineering, public health, behavioral sciences, medicine, engineering, health policy, economics and other disciplines in order to prevent and control injuries more effectively; (3) to rigorously apply and evaluate current and new interventions, methods, and strategies that focus on the prevention and control of injuries; (4) to stimulate and support Injury Control Research Centers (ICRCs) in academic institutions which will develop a comprehensive and integrated approach to injury control research and training; and (5) to bring the knowledge and expertise of ICRCs to bear on the development of effective public health programs for injury control. NON-RESEARCH STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS: To develop, implement, and promote effective injury and violence prevention and control practices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstrations of surveillance or interventions/evaluations programs pertaining to injury prevention and control plus certain direct costs of the grantee in accordance with established policies of the Public Health Service. Recipients may not award subgrants but may enter into contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and

hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); For INJURY PREVENTION AND CONTROL RESEARCH PROGRAMS, AND INJURY CONTROL RESEARCH CENTERS: Eligible applicants may include any nonprofit or for-profit organization; for STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS: State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) and political subdivisions of States (in consultation with States). Federally recognized or state-recognized American Indian/Alaska Native tribal governments, American Indian/Alaska native tribally designated organizations, Alaska Native health corporations, Urban Indian health organizations, Tribal epidemiology centers; for COMMUNITY-BASED PROGRAMS: public, private, nonprofit and for-profit organizations may be eligible.

Beneficiary Eligibility:

U.S. Territories; Profit organization; Private nonprofit institution/organization; Other private institution/organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; FOR RESEARCH GRANTS: Academic health centers, scientist/researchers, operational public health programs, State and local governments, and public and private organizations involved in injury research. FOR STATE AND COMMUNITY-BASED GRANTS AND COOPERATIVE AGREEMENTS: State and local health departments, and community-based organizations.

Credentials/Documentation:

For all nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 75, Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31.2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 45 CFR Part 75 as appropriate. Funding Opportunity Announcements for this program are posted on www.Grants.gov, the official federal agency wide e-grant Web site. Application forms and instructions specific to each announcement are posted at that site. Electronic Submission: CDC strongly encourages the applicant to submit applications electronically by utilizing the forms and instructions posted on www.Grants.gov. Registering your organization through www.Grants.gov is the first step in submitting applications online. Registration information is located in the "Get Started" screen of www.Grants.gov and the one-time registration process will take three to five days to complete. While application submission through www.Grants.gov may be optional for various announcements, we strongly encourage you to use this online tool. Please visit www.Grants.gov at least 30 days prior to filing your application to familiarize yourself with the registration and submission processes. We suggest submitting electronic applications prior to the closing date so if difficulties are encountered, you can resolve them prior to the deadline. For further assistance contact GrantsInfo, Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov. For the hearing impaired: TTY (770) 488-2783. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Office of Grant Services Technical Information Management Section (OGS-TIM) staff at: (770) 488-2700. DUNS Number: Applications must have a Dun and

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Bradstreet (D&B) Data Universal Numbering System number as the universal identifier when applying for Federal grants or cooperative agreements. The D&B number can be obtained by calling (866) 705-5711 or through the web site at <http://www.dnb.com/us/>.

Award Procedure:

Applications will be reviewed for completeness by the Office of Grant Services (OGS) staff, and for responsiveness by the National Center for Injury Prevention and Control and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. Successful applicants will receive a Notice of Award (NOA) from the CDC Office of Grant Services. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. FOR RESEARCH GRANTS: Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by NCIPC in accordance with the review criteria stated below. All applications will: undergo a selection process in which only those applications deemed to have the highest scientific merit, generally the top half of applications under review, will be discussed and assigned a priority score; receive a written critique; receive a second level of review by the Board of Scientific Counselors. FOR NON-RESEARCH GRANTS/COOPERATIVE AGREEMENTS: Applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the grant or cooperative agreement. Measures of effectiveness must relate to the performance goals stated in the "Purpose" section of the announcement. Measures must be objective and quantitative and must measure the intended outcome. The measures of effectiveness must be submitted with the application and will be an element of evaluation. An objective review panel will evaluate complete and responsive applications according to the criteria listed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals are made by competitive applications and reviews.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From one to five years (noncompeting renewals based on availability of funds).

Method of awarding/releasing assistance: lump sum.

Reports:

Equipment inventory (three months after end of project); invention statement (annual) and reprints and copies of resulting publications. Annual progress report (annual) and final performance report (three months after end of project). For Injury Control Research Centers, an annual progress report is also required. For Applied Methods in Surveillance, and State and Community-Based Injury Control Programs, semi-annual progress reports are also required. Federal Financial Reports (annual). Final performance report (three months after end of project). For Injury Control Research Centers, an annual progress report is also required. For Applied Methods in Surveillance, and State and Community-Based Injury Control Programs, semi-annual progress reports are also required. Site visits performed as needed/required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In

addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0952-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$495,282,831.00; FY 21 est \$487,271,527.00; and FY 22 est \$487,271,527.00;

Range and Average of Financial Assistance:

Injury Control Research Centers: \$828,763 to \$840,286; \$838,994. Injury Prevention Activities: \$12,000 to \$746,924; \$204,913. Violence Prevention Programs: \$2,852 to \$3,402,778; \$489,293. Motor Vehicle Prevention Programs: \$400,000 to \$400,000; \$400,000. National Violent Death Reporting System: \$33,097 to \$942,085; \$310,644. Opioid Prevention & Surveillance: \$11,528 to \$13,393,504; \$1,404,332

TAFS Codes:

75-0952.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 75; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None. Injury Control Research Centers: Mildred Williams-Johnson, Program Manager, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F63), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 MWilliams-Johnson@cdc.gov. Telephone: (770) 488-8806 FAX: (770) 488-1665. Community- Based Grant Programs, Lisa Ball, Compliance Officer, National Center for Injury Prevention and Control Centers for Disease Control and Prevention (F63), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 lfr6@cdc.gov. Telephone: 678-475-4739 .

Headquarters Office:

Management Officer, NCIPC Daniel N. Cameron,
4770 Buford Hwy NE, MS-F63,
Atlanta GA 30341
Email: DCameron@cdc.gov
Phone: 770-488-0143
Fax: 770-488-1668 Lisa Ball,
4770 Buford Hwy NE, MS F63,
Atlanta GA 30341
Email: lfr6@cdc.gov
Phone: 678-475-4739
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention being given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as richness, breadth, and scientific merit of the overall application relative to the types of research, demonstrations, and special projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish

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proposed activities; (3) feasibility and likelihood of producing meaningful results based on the significance of the proposed activities and relevant evaluation procedures; (4) overall match between the proposed programs and the nation's health priorities and needs; and (5) reasonableness of the proposed budget in relation to the work proposed.

93.137 Community Programs to Improve Minority Health Grant Program

Minority Health Community Programs,
FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

as amended, Title Public Health Service Act, Section 1707, 42 USC Code 301u-6.
OBJECTIVES:

Support public and private evidence-based interventions and innovative models to improve the health outcomes of racial and ethnic minority communities. Conduct policy demonstration which coordinate integrated services, community outreach and systems navigation to improve access to care for minorities and disenfranchised communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds will be awarded to national organizations, states, tribes, minority-serving institutions, community/faith-based organizations and local agencies to support policy and service demonstrations and to enhance data availability to improve the health outcomes for racial and ethnic minorities, economically and/or environmentally disadvantaged, populations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; Government - General; Federal; State; Applicants must demonstrate experience in conducting programs in the same focus area which the application addresses. State governments, county or parish governments, city or township governments, special district governments, U.S. Territories, independent school districts, public and state controlled institutions of higher education, Native American tribal governments (federally recognized), public housing authorities/Indian housing authorities, Native American tribal organizations (other than federally recognized tribal governments), nonprofits with or without 501(c)(3) status other than institutions of higher education, and private nonprofit institutions of higher education.

Beneficiary Eligibility:

Minority group; Black; American Indian; Spanish Origin; Asian; Target populations: American Indian or Alaska Natives; Asians; Blacks or African Americans; Hispanics or Latinos; Native Hawaiians or other Pacific Islanders; economically and/or environmentally disadvantaged; and limited English proficient populations. However, services may not be denied to any otherwise eligible persons.

Credentials/Documentation:

Proof of organization and/or tribal status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372,

"Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All open grant opportunities are forecasted and posted on www.grants.gov. Additional information may be requested by calling 240-453-8822 or writing to: Office of Assistant Secretary for Health (OASH) Grants and Acquisitions Management Division, 1101 Wootton Parkway, Plaza Level, Rockville, MD 20852. Notice of Funding Opportunities (NOFO) for this listing will be posted on grants.gov.

Award Procedure:

Eligible applications will be reviewed by a review panel that will make recommendations on the merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health will make final funding recommendations for projects to the Grants Management Office for risk assessment. The funding decision is final when the Grants Management Office has issued the notice of award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

Not Applicable. All funding decision are final, including the level of funding, and not appealable

Renewals:

>180 Days. Awards are typically made with a 12 month initial budget period with non-competing continuation applications required prior to each subsequent budget period up to the maximum period of performance. Funding beyond the first year is subject to availability of funds, satisfactory performance, and the best interests of the government

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant projects can have a 1-year to 5-year period of performance with a 12-month budget period. Following the initial budget period, continued funding is subject to the availability of funds, satisfactory program performance, and best interest of the government. Payments will be made on a request basis as either an advance or reimbursement as described in 45 CFR 75.305. Method of awarding/releasing assistance: other

Reports:

Annual Program reports are required. Federal Financial Reports (SF-425) are required through the HHS Payment Management System 30 days after the end of each quarter of the federal fiscal year. Progress reports are required quarterly and typically are due 30 days after the end of each Federal Fiscal Year Quarter Federal Financial Reports SF425 are due quarterly and must be submitted through Grant Solutions. Grantees are required to submit program performance reports as specified in the funding opportunity announcement. A final performance report is due 90 days following the end of the project period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Department of Health and Human Services (HHS) and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a

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grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3-years after the end of a budget period. In any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it, or until the end of the regular 5-year period, whichever is later.

Records:

In accordance with 45 CFR 75.361, grantees are required to maintain grant accounting records 3-years after the end of a budget period. In any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it, or until the end of the regular 5-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

Project Grants FY 20 \$46,821,801.00; FY 21 est \$304,294,364.00; FY 22 est \$51,026,445.00;

Range and Average of Financial Assistance:

FY2020 Awards range from \$100,000 to \$14,600,000FY2021 Proposed Awards range from \$100,000 to \$13,860,000FY2022 Proposed Awards range from \$300,000 to \$11,530,000

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Program Management Contact: Office of Minority Health , Division of Program Operations, 1101 Wootton Parkway, Suite 100, Rockville, MD 20852, 240-453-8444Grants Management Contact: Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822

Headquarters Office:

Division Director Sonsiere Cobb-Souza,
1101 Wootton Parkway, Suite 100,
Rockville MD 20852

Email: Sonsiere.Cobb-Souza@hhs.gov

Phone: (240) 453-8444

Program Coordinator Stacey Williams,

1101 Wootton Parkway, Suite 100,

Rockville MD 20852

Email: Stacey.Williams@hhs.gov

Phone: 240-453-8444

Fax:

Website Address:

<http://www.minorityhealth@hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 American Indian/Alaska Native (AI/AN) Health Equity Initiative, Community-Based Approaches to Strengthening Economic Supports for Working Families, Empowered Communities for a Healthier Nation, Minority Youth Violence Prevention-II, Re-Entry Community Linkages, Partnerships to Achieve Health Equity, Collaborative Approach for Youth Engagement in Sports, Hepatitis B Demonstration, State/Tribal/Territorial Partnership Initiative to Document and Sustain Disparity-Reducing Interventions, Demonstration to Increase Hydroxyurea Prescribing for Children with Sickle Cell Disease Through Provider Incentives, Sickle Cell Learning Network, Cardiac Registry Initiative, National Infrastructure for Mitigating the Impact of COVID-19 in Racial and Ethnic Minority Communities. 2021 American Indian/Alaska Native (AI/AN) Health Equity Initiative, Community-Based Approaches to Strengthening Economic Supports for

Working Families, Partnerships to Achieve Health Equity, Collaborative Approach for Youth Engagement in Sports, Hepatitis B Demonstration, State/Tribal/Territorial Partnership Initiative to Document and Sustain Disparity-Reducing Interventions, Demonstration to Increase Hydroxyurea Prescribing for Children with Sickle Cell Disease Through Provider Incentives, Sickle Cell Learning Network, Cardiac Registry Initiative, National Lupus Outreach and Clinical Trial Education Program, Family-Centered Approaches to Improving Type 2 Diabetes Control and Prevention, Accessing Social Determinants of Health Data through Local Data Intermediaries Initiative, Framework to Address Health Disparities through Collaborative Policy Efforts: Coordinating Center, Framework to Address Health Disparities through Collaborative Policy Efforts: Demonstration Projects, Center for Indigenous Innovation & Health Equity, Minority Leadership Fellowship Program, National Infrastructure for Mitigating the Impact of COVID-19 in Racial and Ethnic Minority Communities, National Hypertension Control Initiative, Advancing Health Literacy to Enhance Equitable Community Responses to COVID-19. 2022 Community-Based Approaches to Strengthening Economic Supports for Working Families, Demonstration to Increase Hydroxyurea Prescribing for Children with Sickle Cell Disease Through Provider Incentives, National Lupus Outreach and Clinical Trial Education Program, Family-Centered Approaches to Improving Type 2 Diabetes Control and Prevention, Accessing Social Determinants of Health Data through Local Data Intermediaries Initiative, Framework to Address Health Disparities through Collaborative Policy Efforts: Coordinating Center, Framework to Address Health Disparities through Collaborative Policy Efforts: Demonstration Projects, Center for Indigenous Innovation & Health Equity, Minority Leadership Fellowship Program, Effective Policies To Promote Black Youth Mental Health, Preventive Services Utilization Initiative, National Infrastructure for Mitigating the Impact of COVID-19 in Racial and Ethnic Minority Communities, National Hypertension Control Initiative, Advancing Health Literacy to Enhance Equitable Community Responses to COVID-19.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the grants.gov notice of funding opportunity announcement or can be obtained from the Program contact. Listed below are some criteria typically used to review applications: (1) consistency of projects goals and objectives with the OMHs mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organizations management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.140 Intramural Research Training Award

IRTA,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF
AUTHORIZATION:

Health Professionals Education Partnerships Act, Title IV, Section 409, 42 USC Code 282(b)(13).

OBJECTIVES:

The National Institutes of Health (NIH) IRTA Traineeships are established for the principal benefit of the participants and to provide opportunities for developmental training and practical research experience in a variety of disciplines related to biomedical research, medical library research, and related fields. The IRTA components are: 1) Postdoctoral IRTA - designed to provide advanced practical research experience to physicians and other doctoral researchers who are at the beginning stages of their professional research careers; 2) Predoctoral IRTA - designed to provide practical research training and experience to students, by supplementing academic course work and/or encouraging pursuit of professional careers in biomedical research to students enrolled in doctoral degree programs in biomedical sciences; 3) Postbaccalaureate IRTA - designed to provide recent college graduates, particularly minorities, women, and persons with disabilities, an opportunity to postpone application to graduate or medical school and to provide a

ASSISTANCE LISTINGS DESCRIPTIONS

means to introduce individuals early in their careers to research, encourage their pursuit of professional careers in biomedical research, and allow additional time to pursue successful application to either graduate or medical school programs; and 4) Student IRTA - designed to provide a developmental training experience to promising high school, undergraduate, and graduate or professional students who have expressed a strong interest in or are studying disciplines related to biomedical sciences.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Participants in the IRTA Program will be provided access to NIH facilities and equipment and assistance in their training from senior NIH researchers. Trainees may not engage in primary patient care activities, be assigned official supervisory responsibilities, or sign documents on behalf of the Government. Funding support will come from the individual National Research Institutes, Centers and Divisions (ICD) that comprise the National Institutes of Health. All funding is discretionary and subject to the availability of appropriations and apportionment. The IRTA Program does not include financial loans.

Applicant Eligibility:

Individual/Family; Candidates for the IRTA Program must be U.S. Citizens or Permanent Residents: 1) Postdoctoral IRTA participants must possess a Ph.D., M.D., D.D.S., D.M.D., D.V.M. or equivalent degree in biomedical, behavioral, or related sciences; or certification by a university as meeting all the requirements leading to such a doctorate; 5 or fewer years of relevant postdoctoral experience and up to 2 additional years of experience not oriented toward research (i.e., clinical training for physicians); 2) Predoctoral IRTA participants must be: a) students enrolled in Ph.D., M.D., D.D.S., D.M.D., D.V.M., or equivalent degree programs at any accredited U.S. or foreign university, which frequently involves dissertation research. The research experience is undertaken as an integral part of the student's ongoing academic preparation and is credited toward completion of degree requirements; or b) students who have been accepted into graduate or medical degree programs and who have written permission from their school to interrupt their current schooling and to return within 1 year to their degree granting programs; 3) Postbaccalaureate IRTA participants are individuals who have received a bachelor's degree no more than 3 years prior to the activation date of the traineeship or a master's degree no more than 6 months prior to the activation date of the traineeship, and who intend to apply to graduate, other doctoral, or medical degree programs during the program or students who have been accepted into graduate, other doctoral, or medical degree programs and who have written permission of their school to delay entrance for up to 1 year; and 4) Student IRTA participants are at least 17 years of age and are enrolled at least half-time in high school or have been accepted for or are enrolled as an undergraduate or graduate student in an accredited college or university and are in good academic standing. U.S. citizens may be enrolled anywhere in the world; permanent residents must be enrolled in the U.S.

Beneficiary Eligibility:

Scientist/Researchers; The IRTA Program benefits the participants by combining an opportunity for study with practical work experience and valuable research training experience at the NIH.

Credentials/Documentation:

These standards are contained in the Applicant Eligibility section. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under 2 CFR 200, Subpart E, Cost Principles.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Postdoctoral applicants submit their materials directly to NIH investigators; all other applicants submit materials to the NIH Office of Intramural Training & Education via online application systems : 1) Postdoctoral IRTA applicants must submit the documents requested by the investigator, generally a CV and letters of reference; 2) Predoctoral IRTA applicants must submit CV, bibliography, 3 letters of reference

emphasizing research potential, statement of research goals, an official copy of undergraduate, graduate, and/or medical school transcript(s), and verification from the university that the applicant is in good academic standing, is enrolled in a doctoral degree program, and has the school's approval to participate. In addition, (if applicable), they must have written permission from their institutions to interrupt their educational program including a written agreement allowing students to return to their degree program within 1 year; 3) Postbaccalaureate applicants must submit CV, 3 letters of reference emphasizing potential, statement of research goals, and official copy of undergraduate school transcript; and 4) student IRTA applicants must submit a resume or CV, 2 letters of reference, official copy of school transcript, and statement of interest in biomedical research.

Award Procedure:

Awards are made by the interested Institutes or Centers based on the information provided by the applicant, the availability of NIH researchers to provide training, and the availability of financial and other resources to support the research training.

Deadlines:

November 15, 2020 - March 01, 2021. This is the standard application period for the NIH Summer Internship Program. The bulk of Student IRTAs are summer interns. Applications are accepted from mid-November to March 1. August 01, 2020 - December 01, 2020. This is the standard application period for several NIH Graduate Partnerships Program Institutional Partnerships. This is one path for admission for Predoctoral IRTAs.

Additional admissions paths for Predoctoral IRTAs are available year round.

Applications for Postbaccalaureate and Postdoctoral IRTA positions are accepted year round.

Range of Approval/Disapproval Time:

From 60 to 90 days. Individual NIH investigators select their own trainees. There is, thus, no firm timeline for notification.

Appeals:

Not Applicable.

Renewals:

Other. 1) Postdoctoral IRTA component: Initial traineeships are for 1 or 2 years with a maximum traineeship of 5 calendar years. 2) Predoctoral IRTA component: Initial traineeships are for 1 month to 2 years with a maximum traineeship of 5 calendar years. 3) Postbaccalaureate IRTA component: Initial traineeships are for 1 year with a maximum traineeship of 3 calendar years. 4) Student IRTA component: Initial traineeship is for 1 month to 1 year. The maximum traineeship period is unlimited as long as the student meets eligibility criteria.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Monthly stipend payments are made directly to awardees. Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Applicable.

Account Identification:

75-0846-0-1-552.

Obligations:

Direct Payments for Specified Use FY 20 \$127,835,672.00; FY 21 est \$135,741,768.00; FY 22 est \$138,456,604.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director, NIH Office of Intramural Training & Education Sharon L. Milgram, PhD,
2 Center Drive,

Bethesda MD 20892

Email: milgrams@od.nih.gov

Phone: (301) 594-2053

Deputy Director, NIH Office of Intramural Training & Education Philip Y. Wang,
PhD,

2 Center Drive,

Bethesda MD 20892

Email: wangph@mail.nih.gov

Phone: 301-451-8268

Fax:

Website Address:

<https://www.training.nih.gov>

RELATED PROGRAMS:

93.225 National Research Service Awards_Health Services Research Training;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.142 NIEHS Hazardous Waste Worker Health and Safety Training

Superfund Worker Training Program (WTP),

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, as amended, Title I,
Section 126, Public Law 99-499; Public Health Service Act, Section 405(b)(1)(C),
as amended, Public Law 99-158.,

OBJECTIVES:

To provide cooperative agreements and project grant support for the development and administration of model worker health and safety training programs consisting of classroom, online, and practical health and safety training of workers and their supervisors, who are engaged in activities related to hazardous materials, hazardous waste generation, treatment, storage, disposal, removal, containment, transportation, or emergency response. To assist organizations in the development of institutional competency to provide appropriate training and education to hazardous waste workers.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cooperative agreements and project grants are intended to support the direct costs of a project, in accordance with an approved budget, plus indirect costs at a pre-established rate. Both the award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Sciences (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-

Government - General; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; Federally Recognized Indian Tribal Governments; A public or private nonprofit entity, Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education), including tribal governments, that provide worker health and safety education and training, may submit an application and receive a cooperative agreement or project grant for support of waste worker education and training by a named principal investigator. Recipients/grantees may use services, as appropriate, of other public or private organizations necessary to develop, administer, or evaluate proposed worker training programs, as long as the requirement for awards to nonprofit organizations is not violated. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Any public or private entity providing worker safety and health education and training will benefit from this program.

Credentials/Documentation:

The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement (NIH GPS) for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). Application forms and instructions for their submission are available at <http://grants.nih.gov/grants/forms.htm>. Applicants are encouraged and, in some cases, required to consult with NIEHS Program Officials prior to submission of an application. Detailed information about NIEHS grant programs and staff contacts can be found at <http://www.niehs.nih.gov/research/supported/index.cfm>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

As required in HHS Regulations, 42 CFR Part 65, applications will be reviewed administratively by NIEHS staff for completeness and responsiveness to the program announcement. Applications then will be reviewed on a competitive basis for technical merit by an ad hoc review committee composed primarily of non-government members with expertise in occupational safety and health training and hazardous waste operations convened by the NIEHS. A second level of review will be conducted by the National Advisory Environmental Health Sciences Council. This second level of review will be for program relevance. The final approval of these recommendations and decisions concerning funding is made by the Director, National Institute of Environmental Health Sciences (NIEHS) or, in conflict, by appropriate NIH staff. Formal award notices are sent to successful applicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. From 8 to 9 months.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

>180 Days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

>180 Days. Renewal awards are subject to the same criteria as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Full program cooperative agreements may be awarded for up to 5 years, generally in 12-month budget periods. Method of awarding/releasing assistance: other

Reports:

A detailed annual cooperative agreement or project grant summary report is required with the renewal application for all program recipients/grantees. Annual and final progress reports, and financial status reports are required for all awardees. A detailed annual cooperative agreement or project grant summary report is required with the renewal application for all program recipients/grantees. Annual and final progress reports, and federal financial reports are required for all awardees. The Research Performance Progress Report (RPPR) is used by grantees to submit progress reports to NIH on their grant awards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75, Subpart F Audit Requirements, a nonfederal entity that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 75.503. Awards made under this program are subject to the audit requirements of OMB 2 CFR 200, as implemented by 45 CFR 75, Subpart F, and in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>)

Records:

In accordance with the provisions of 45 CFR 75, Subpart D Post Federal Award Requirements, Record Retention and Access, 75.361, expenditures and other financial records must be retained for 3 years from the day on which the grantee submit the last expenditure report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

Cooperative Agreements FY 20 \$35,750,419.00; FY 21 est \$35,929,023.00; FY 22 est \$36,849,223.00;

Range and Average of Financial Assistance:

Range: \$62,912 to \$3,110,553 Average: \$744,800

TAFS Codes:

75-0862.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: WTP will continue to provide occupational safety and health education in developing and delivering high quality training to workers who are involved in handling hazardous waste or in responding to emergency releases of hazardous materials. Fiscal Year 2022: WTP will continue to provide occupational safety and health education in developing and delivering high quality training to workers who are involved in handling hazardous waste or in responding to emergency releases of hazardous materials.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; 45 CFR 92; NIH Guide to Grants and Contracts; NIH Grants Policy Statement October 1, 2012. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources, National Institutes of Health, Bethesda, MD

20892, and the NIEHS (MD EC-22), P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709.

Regional or Local Office:

None. Program Contact: Mrs. Sharon D. Beard, Acting Program Director, Worker Training Program, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (984) 287-3237, E-mail: Beard1@niehs.nih.gov. Grants Management Contact: Ms. Jenny Greer, Chief Grants Management Officer, Grants Management Branch, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (984) 287-3332, E-mail: jenny.greer@nih.gov.

Headquarters Office:

Sharon D. Beard,
530 Davis Drive,
Morrisville NC 27560
Email: Beard1@niehs.nih.gov
Phone: (984) 287-3237

Website Address:

<http://www.niehs.nih.gov/wtp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following factors considered for applications for full program cooperative agreements include: (1) Methods and techniques to be used for identifying, describing, and accessing target worker populations and anticipated impact of the proposed program; (2) evidence of the organization's performance and effectiveness in planning, implementing and operating appropriate worker health and safety training and education programs; (3) adequacy of the detailed program plan for curricula development, training of instructors, distribution of course materials, and direct student training; (4) technical and professional expertise of the proposed program director, present or proposed staff, and consultants; (5) methods proposed for evaluating appropriateness, quality, and effectiveness of training; (6) availability of appropriate facilities and equipment to support the described educational and training activities including hands-on instruction and demonstration; (7) evidence of the organization's past performance and effectiveness in planning, implementing, and operating training and education programs for workers; (8) the likelihood that the program will continue beyond the grant period; (9) reasonableness of the proposed program activities; and (10) multi-region impact.

93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education

NIEHS Superfund Research Program,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, Title I, Section III, and Title II, Section 209, Public Law 99- 499, as amended; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 311(a), Public Law 96-510; Public Health Service Act, Section 301, Public Law 78-410, as amended; Public Law 99-500. The NIEHS Superfund Research Program is administered under Regulation 42 CFR 65a NIEHS Hazardous Substances Basic Research and Training Grants.,

OBJECTIVES:

To support innovate research and training through multi-project, interdisciplinary grants; individual investigator research grants; research education program grants;

ASSISTANCE LISTINGS DESCRIPTIONS

Small Business Innovative Research (SBIR) grants; time-sensitive grants; and conference grants. Areas of research may include: (1) advanced techniques for the detection, assessment, and evaluation of the effect on human health of hazardous substances; (2) methods to assess the risks to human health presented by hazardous substances; (3) methods and technologies to detect hazardous substances in the environment; and (4) basic biological, chemical, and physical methods to reduce the amount and toxicity of hazardous substances.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research grants are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Grants made under this program are for university-based programs, and the objective is to establish and maintain coordinated research efforts that link basic biomedical research with related engineering, hydrogeological and ecological studies. In addition, the Superfund Research Program supports small business research through the Small Business Innovative Research (SBIR) program. The award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Science (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An accredited institution of higher education, as defined in the Higher Education Act, 20 U.S.C. (annotated) 3381, may submit an application and receive a grant for support of research by a named principal investigator. Subcontracts may be made with public and private organizations, including: generators of hazardous wastes; persons involved in the detection, assessment, evaluation, and treatment of hazardous substances; owners and operators of facilities at which hazardous substances are located; and State, local and Tribal governments. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants. Organizations applying for a grant under the SBIR/STTR programs must qualify as a U.S.-owned Small Business Concern (SBC).

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Any accredited institution of higher education engaged in biomedical research and/or engineering and ecological research. SBIR awards are restricted to small business that meet NIH's criteria for SBC. Tribal entities that meet these requirements are eligible to apply.

Credentials/Documentation:

The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement (NIH GPS) for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 45 CFR 75. Applications for the Superfund Research Program are accepted in response to funding opportunity announcements (FOA) which are posted on National Institute of Environmental Health Sciences website (<http://www.niehs.nih.gov/research/supported/srp/funding/index.cfm>). Applications must be submitted electronically. The policies and procedures generally applicable to NIH grants are set forth in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). Application forms and instructions for their submission are available at <http://grants.nih.gov/grants/forms.htm>. Applicants are encouraged and in some cases required to consult with NIEHS Program Officials prior to submission of an application. Prospective applicants for the Multi-Project Center grants are asked to submit a letter of intent one month prior to the receipt of the applications. In addition, Conference Grants applicants must submit a letter requesting permission to apply one month prior to the receipt of applications. Detailed information about NIEHS grant programs and staff contacts can be found at <http://www.niehs.nih.gov/research/supported/index.cfm>.

Award Procedure:

Grants are awarded on the basis of a dual review by peer groups of all applications. For all applications except for SBIR, the first level of review is by a Special Emphasis Panel of peers for scientific merit. For SBIR applications, the first level of review is by a study section for scientific merit. In addition, a national advisory council provides a secondary level of review for all applications. As required by P.L. 109-482, the NIH Health Reform Act of 2006, all research grant and cooperative agreements must undergo Advisory Council/Board review and approval prior to funding. Review of Individual NRSA applications by an Advisory Council/Board is not required. Final approval of these recommendations and decisions concerning funding are made by the Director, NIEHS.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. From 9 to 12 months.

Appeals:

>180 Days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

>180 Days. Renewal requests are subject to the same criteria as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research Grants for the multi-project, individual investigator, research education program may be awarded for up to 5 years, generally in 12-month budget periods. Small Business Innovative Research grants may be awarded for up to 1 year for Phase I grants and up to 2 years for Phase II grants. Time-sensitive grants may be awarded up to two years. Conference grants may be awarded up to one year. Method of awarding/releasing assistance: other

Reports:

Annual and final progress reports, a final invention statement and financial status reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Awards made under this program are subject to the audit requirements of OMB 2

ASSISTANCE LISTINGS DESCRIPTIONS

CFR 200, as implemented by 45 CFR 75, Subpart F, and in the NIH GPS (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Records:

In accordance with the provisions of 45 CFR 75, Subpart D Post Federal Award Requirements, Record Retention and Access, 75.361, expenditures and other financial records must be retained for 3 years from the day on which the grantee submit the last expenditure report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

Project Grants FY 20 \$50,388,000.00; FY 21 est \$50,261,000.00; FY 22 est \$51,266,799.00;

Range and Average of Financial Assistance:

Range: \$7,312 to \$2,733,717 Average: \$775,200

TAFS Codes:

75-0862.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In Fiscal Year 2021, the Superfund Research Program will continue to support large, multi-project center grants; individual investigator grants; research education program grants; Small Business Innovative Research (SBIR) grants; time-sensitive grants; and conference grants in order to advance research in accordance with the mandates specified in with the Superfund Amendments and Reauthorization Act (SARA) of 1986. Fiscal Year 2022: In Fiscal Year 2022, the Superfund Research Program will continue to support large, multi-project center grants; individual investigator grants; research education program grants; Small Business Innovative Research (SBIR) grants; time-sensitive grants; and conference grants in order to advance research in accordance with the mandates specified in with the Superfund Amendments and Reauthorization Act (SARA) of 1986.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; 45 CFR 92; NIH Guide to Grants and Contracts; NIH GPS, <http://grants.nih.gov/grants/policy/nihgps/index.htm>. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources, National Institutes of Health, Bethesda, MD 20892, and the NIEHS, P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709

Regional or Local Office:

None. Program Contacts: Multi-Project Center Grants: Dr. William A Suk, Chief, Hazardous Substances Research Branch (HSRB), DERT, NIEHS, E-mail: suk@niehs.nih.gov. Telephone: (984) 287-3325. Dr. Danielle Carlin, Program Administrator, HSRB, email: danielle.carlin@nih.gov. Telephone: (984) 287-3244. Dr. Michelle Heacock, Program Administrator, HSRB, email: heacockm@niehs.nih.gov. Telephone: (984) 287-3267. Dr. Heather Henry, Program Administrator, HSRB, email: heather.henry@nih.gov. Telephone: (984) 287-3268. Time-Sensitive (R21), Individual Investigator Grants (R01), SBIR Awards (R43/R44): Dr. Heather Henry, Program Administrator, HSRB, email: heather.henry@nih.gov. Telephone: (984) 287-3268. Research Education Program Grants (R25): Dr. Danielle Carlin, Program Administrator, HSRB, email: danielle.carlin@nih.gov. Telephone: (984) 287-3244. Conference Grants (R13): Ms. Brittany Trotter, Health Specialist, email: brittany.trotter@nih.gov. Telephone: (984) 287-3331. Grants Management Contact: Ms. Jenny Greer, Chief Grants Management Officer, Grants Management Branch, e-mail: jenny.greer@nih.gov. Telephone: (984) 287-3332.

Headquarters Office:

Grants Financial Analyst Dr. William A. Suk,
530 Davis Drive,
Morrisville NC 27560
Email: suk@niehs.nih.gov
Phone: (984) 287-3325

Website Address:

<https://www.niehs.nih.gov/research/supported/centers/srp/index.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to program mandates and the stated program objectives. For renewal applications, additional criteria include the progress made in the prior grant period. The following criteria will be used in considering the scientific and technical merit of SBIR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; and (7) the adequacy and suitability of the facilities and research environment.

93.145 HIV-Related Training and Technical Assistance

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 300ff-16, 300ff 54(b), 300ff 111, 300ff-121, and 243(c).

OBJECTIVES:

National Training and Technical Assistance Cooperative Agreements: The purpose of this funding is to: (1) Assess Ryan White HIV/AIDS Program (RWHAP) recipients' technical assistance needs and/or provide technical assistance related to building capacity and increasing their ability to provide high quality HIV care and treatment services; (2) Assess, develop materials, and disseminate strategies and tools related to the RWHAP recipients' technical assistance needs for program development and operations; (3) Provide technical assistance for the collection, storage and retrieval of data for program reporting, evaluation, and program improvement purposes; and (4) Work with HHS agencies and national organization partners to develop strategies, tools, and trainings for RWHAP recipients. AIDS Education and Training Centers Program: The purpose of this funding is to: (1) Train health personnel, including practitioners in programs under this title and other community providers, in the diagnosis, treatment, and prevention of HIV disease, including the prevention of the perinatal transmission of the disease, including measures for the prevention and treatment of opportunistic infections, and including (as applicable to the type of health professional involved), prenatal and other gynecological care for women with HIV; (2) Train the faculty of schools of, and graduate departments or programs of medicine, nursing, pharmacy, dentistry, public health, allied health, and mental health practice to teach health professions students to provide for the health care needs of individuals with HIV; (3) Develop and disseminate curricula and resource materials relating to the care and treatment of individuals with HIV and the prevention among individuals who are at risk of contracting HIV; and (4) Develop protocols for the medical care of women with HIV, including prenatal and other gynecological care for such women.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Cooperative Agreements or grants will be awarded for the provision of training and technical assistance to build the capacity of RWHAP recipients and related providers, as per the specific program notices of funding opportunity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Entities eligible to apply include public and nonprofit entities (including faith-based and community based organizations) and school and academic health science centers involved in addressing HIV related issues at a national level. Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Health Professional; Education Professional; Other public institution/organization; Persons with HIV.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this Assistance Listing program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 45CFR 75 Subpart E Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately nine months.

Appeals:

Not Applicable.

Renewals:

Other. Competitive awards are typically made for up to 2 to 5 years, subject to the availability of funds. After initial awards, annual noncompetitive awards may be made contingent upon the submission of noncompetitive applications/progress reports and availability of funds and a determination that continued funding would be in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are typically awarded for a 2 to 5 year period of performance with 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Please refer to the notice of funding opportunity and Notice of Award for any applicable program data and fiscal reports. Annual program progress reports are required. Recipients must submit a Federal Financial Report (FFR) or SF 425 90, 120, or 150 days after the end of each budget period based on the schedule in the Notice of Award. A final FFR is required 90 days following the end of the period of performance. No expenditure reports are required. Performance monitoring is applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.

Records:

Recipients are required to maintain financial records for 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues that arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$34,112,852.00; FY 21 est \$35,832,220.00; FY 22 est \$35,830,421.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY20: \$300,000 to \$4,205,789. Average: \$2,179,249FY21 (est): \$300,000 to \$4,169,550. Average: \$2,179,249FY22 (est): \$797,402 to \$4,169,550. Average: \$2,179,249

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Ryan White HIV/AIDS Program Center for Quality Improvement and Innovation successfully launched an 18-month collaborative focused on four social determinants of health (1) Mental Health (2) Substance Use (3) Housing (4) Age. To date, 1 in 6 RWHAP funded recipients across the U.S. actively participated in the Create + Equity Collaborative 90% of active community partners conducted, documented and sustained their quality improvement efforts using the knowledge gained in the Collaborative and remain active six months after the formal end of the collaborative.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Antigone Dempsey, Director, Division of Policy and Data HRSA/HIV AIDS Bureau 5600 Fishers Lane Rockville, MD 20857301-443-0360 adempsey@hrsa.gov Jeanean Willis Marsh 5600 Fishers Lane, Room 9W-28, Rockville, MD 20857 jmarsh@hrsa.gov 301-443-4494

Headquarters Office:

Antigone Dempsey, Director, Division of Policy and Data, 5600 Fishers Lane, Rockville MD 20857
Email: ADempsey@hrsa.gov
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Jeanean Willis Marsh,

ASSISTANCE LISTINGS DESCRIPTIONS

5600 Fishers Lane, Room 9W-28,
Rockville MD 20857
Email: jmarsh@hrsa.gov
Phone: 301-443-4494
Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.917 HIV Care Formula Grants; 93.914 HIV Emergency Relief Project Grants; 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease; 93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth;

EXAMPLES OF FUNDED PROJECTS:

2021 Ryan White HIV/AIDS Program Data Integration, Systems and Quality Technical Assistance (DISQ)Enhancing Engagement of People with HIV through Organizational Capacity Development and Leadership Training Ryan White HIV/AIDS Program Center for Quality Improvement and Innovation

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria vary based on the grant/cooperative agreement program. Refer to criteria included in the notice of funding opportunity for each specific program.

93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth

Ryan White HIV/AIDS Program (RWHAP) Part D Women, Infants, Children and Youth (WICY) Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 300ff-71.,

OBJECTIVES:

Part D (base): The purpose of this program is to provide family-centered care in the outpatient or ambulatory care setting (directly or through contracts or MOU) to low income, uninsured, and medically underserved women (25 years and older) with HIV, infants (up to two years of age) exposed to or with HIV, children (ages two to 12) with HIV, and youth (ages 13 to 24) living with HIV and additional support services to affected family members. Part D (supplemental): The purpose of the Ryan White HIV/AIDS Program Part D -- Women, Infants, Children, and Youth (WICY) Grants Supplemental Funding is to strengthen organizational capacity to respond to the changing health care landscape and to increase capacity to develop, enhance, or expand access to high quality family-centered care services for low income, uninsured, and underserved women, infants, children, and youth (WICY) with HIV.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All funds must be expended solely for carrying out the approved project in accordance with the notice of funding opportunity, the terms and conditions of the award, and the applicable cost principles. No more than 10 percent of total grant funds may be used for administrative costs. All indirect costs count toward this 10% limit. Funds may not be used for purchase, construction, or major alterations or renovations on any building or other facility; purchase or improvement of land; payments for clinical research; payments for nursing home care; cash payments to intended recipients of RWHAP services; research; foreign travel; to directly provide housing or health care services (e.g., HIV care, counseling and testing) that duplicate existing services; or for any item or service to the extent that payment has been made, or reasonably can be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by an entity that provides health services on a prepaid basis (except for a program administered by or providing the services of the Indian

Health Services). Funds may also not be used towards PrEP or nPEP medications or medical services. As outlined in the June 22, 2016 RWHAP and PrEP program letter, the RWHAP legislation provides grant funds to be used for the care and treatment of people with HIV, thus prohibiting the use of RWHAP funds for PrEP medications or related medical services, such as physician visits and laboratory costs. However, RWHAP Part D funds can be used toward Psychosocial Support Services, a component of family-centered care, which may include counseling and testing and information on PrEP to eligible clients partners and affected family members, within the context of a comprehensive PrEP program. Additionally, the purchase of sterile needles or syringes for the purposes of hypodermic injection of any illegal drug is not allowable. Some aspects of Syringe Services Programs are allowable with HRSA's prior approval and in compliance with HHS and HRSA policy (see: <https://www.aids.gov/federal-resources/policies/syringe-services-programs/>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Public and nonprofit private entities (including a health facility operated by or pursuant to a contract with the Indian Health Service) that provide family-centered care involving outpatient or ambulatory care (directly or through contracts or memoranda of understanding (MOUs) for WICY with HIV/AIDS.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Women, infants, children, and youth living with HIV and their affected family members.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 45 CFR 75--Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR 75--Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

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this assistance listing.

Length and Time Phasing of Assistance:

Grants are typically awarded for up to a 3 year period of performance. Continued support, beyond the first year, is contingent upon satisfactory performance, the availability of Federal funds, and the continued best interest of the government. Supplemental grants may be funded for up to 1 year. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Please refer to the notice of funding opportunity and Notice of Award for any applicable program, data, and fiscal reports. The recipient must submit the annual RWHAP Services Report due the last Monday in March each year, covering the just-ended Jan. 1 - Dec. 31 calendar year. Cash reports are not applicable. Recipients must also submit a RWHAP Allocations Report, 60 days after the start of the budget period. A RWHAP Expenditures Report is due 90 days after the end of the budget period (OMB 0915-0318). Recipients must submit a Federal Financial Report or SF 425 within 90 days after the end of each budget period. Additionally, each recipient must submit a budget period renewal progress report each year prior to the end of the project period. A final Federal Financial Report must be submitted 90 days after the end of the period of performance. Recipients must also submit a Ryan White HIV/AIDS Program Allocations Report, 60 days after the start of the budget period. A Ryan White HIV/AIDS Program Expenditures Report is due 90 days after the end of the budget period (OMB 0915-0318). Additionally, each recipient must submit a budget period renewal progress report each year prior to the end of the project period. Recipients must submit a Federal Financial Report or SF 425 within 90 days after the end of each project period. A final Federal Financial Report and performance report must be submitted 90 days after the end of the project period. Cash reports are not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75--Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.

Records:

Recipients are required to maintain financial records for 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$67,147,778.00; FY 21 est \$67,147,778.00; FY 22 est \$69,525,180.00; Project Grants FY 20 \$3,000,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

FY 2020: \$139,802 - \$2,185,691; Average \$606,621. FY 2020 Supplemental: \$50,691 - \$150,000. Supplemental awards are limited up to \$150,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Approximately 71,000 clients have received services funded by RWHAP Part D.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the 45 CFR 75--Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Director, Division of Community HIV/AIDS Programs MahyarMofidi,
5600 Fishers Lane, Room 9N18,
Rockville MD 20857
Email: mmofidi@hrsa.gov
Phone: (301) 443-2075

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.994 Maternal and Child Health Services Block Grant to the States; 93.917 HIV Care Formula Grants; 93.110 Maternal and Child Health Federal Consolidated Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants are reviewed by an objective review committee based on the criteria detailed in the notice of funding opportunity.

93.155 Rural Health Research Centers

Rural Health Research Center, Telehealth Focused Rural Health Research Center, Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies, Rural Health Research Dissemination Program, Rural Policy Analysis Program Rural Health Innovation and Transformation Technical Assistance Program, Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement, Information Services to Rural Hospital Flexibility Program, National Rural Health Policy, Community, and Collaboration Program, State Offices of Rural Health Coordination and Development Program, Rural Quality Improvement Technical Assistance Cooperative Agreement, Frontier Community Health Integration Program (FCHIP) Technical Assistance, Tracking, and Analysis program, Rural Health Clinic Technical Assistance Cooperative Agreement, Rural Coronavirus Technical Assistance, Rural Health Clinic Vaccine Confidence Technical Assistance, Rural Residency Technical Assistance and Development Cooperative Agreement, Vulnerable Rural Hospitals Assistance Program, Rural Residency Planning and Development Program, Rural Communities Opioid Response Program- Evaluation, Rural Communities Opioid Response Program- Rural Centers of Excellence on Substance Use Disorders, Rural Healthcare Provider Transition Project, Rural Telementoring Training Center, Rural Health and Economic Development Analysis, Small Rural Hospital Improvement Program (SHIP) COVID-19 Testing and Mitigation Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 123 of P.L. 110-275, the Medicare Improvements for Patients and Providers Act of 2008 (MIPPA); Section 711 (b) of the Social Security Act (42 U.S.C. 912(b)) as amended by section 432 of the Medicare Prescription Drug Improvement and Modernization Act of 2003. Section 2302 and Section 2401 of the American Rescue Plan Act of 2021 (P.L. 117-2),

OBJECTIVES:

The Rural Health Research Center and Telehealth Focused Rural Health Research Center programs are designed to increase the amount of publically available, high quality, impartial, policy-relevant research to assist decision makers at the federal, state, and local levels to better understand the challenges faced by rural communities and providers. The research conducted by these research centers provides information that will improve access to health care and population health. The Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies program recipient assists rural communities with conducting rapid data analyses and short-term research studies to understand the impact of current and proposed policies and regulations as well as to provide information that will

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improve access to health care and population health in rural communities across the United States. Due to the nature of rural policy analysis and formulation, rural organizations and health care providers often require timely information that is available only through specialized analysis of databases of information compiled by the Centers for Medicare & Medicaid (CMS), and other federal and state agencies or provider organizations. Most rural groups and individuals do not have the capacity to store the data sets, the staff expertise to refine and analyze the data, nor the technology necessary to run statistical analyses. Findings from these analyses are used to help inform rural health care providers and stakeholders that set policies impacting rural communities. The Rural Health Research Dissemination Program recipient disseminates and markets policy-oriented information for use by diverse audiences, such as rural stakeholders at national, state, and community levels and policy decision-makers, to inform and raise awareness of issues regarding policy implications, access, quality and status of health care delivery, services, and management on behalf of rural communities. The information includes the body of research funded by the Federal Office of Rural Health Policy (FORHP) and is designed to help decision-makers and policy analysts concerned with a variety of rural health issues at national, state, and community levels better understand the problems rural communities face in assuring access to health care and promoting good health for their members. The Rural Policy Analysis Program supports research and analysis of key policy issues affecting rural communities to inform rural policy makers. Funded projects focus on the changing rural environment and (1) facilitate public dialogue on key rural policy issues by tracking emerging rural health and human services policy issues; (2) identify opportunities for integrating health and human services in rural policy, program, and evaluation in a local community context; (3) identify opportunities to demonstrate broad community impact of policies and programs in rural health and human services, consistent with policy goals related to rural revitalization and sustainability of rural regions; and (4) provide analysis of policies and regulations in the form of policy briefs, reports, webinars, and oral presentations. The purpose of the Rural Health Innovation and Transformation Technical Assistance Program (formerly called Rural Health Value) is to provide technical assistance to rural stakeholders and the public to help them understand and engage in the value-based care landscape in the context of rural health care. The Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement supports monitoring, and evaluation to Critical Access Hospitals nationwide and to the State Rural Hospital Flexibility Program recipients to inform hospital performance improvement efforts. The Information Services to Rural Hospital Flexibility Program provides technical assistance, support, and education to the Rural Hospital Flexibility Program and the Small Rural Hospital Improvement Program. The goal of the program is to provide support and resources to assist in building capacity for rural providers. The purpose of the National Rural Health Policy, Community, and Collaboration Program is to identify, educate, engage, and collaborate with rural stakeholders on national rural health and policy issues and promising practices in an effort to improve the health of people living in rural communities nationwide. Program objectives include: identifying and educating rural stakeholders about national policy issues and promising practices for rural health; maintaining projects that will help support engagement of rural communities in a broad range of activities; facilitating partnerships and collaborations at the local, regional, state, and national levels to improve the exchange of information and promising practices that support rural health; and identifying and promoting broader collaborative federal efforts to support, promote, and address unique rural health issues. The State Offices of Rural Health Coordination and Development Program (SORHCDP) builds the capacity of the 50 State Offices of Rural Health (SORH) and rural stakeholders nationwide through strengthening leadership, increasing opportunities for collaboration, offering education on policy and emerging health issues, and supporting the core functions of the SORH. The goal of the SORHCDP is to support the 50 SORH and rural stakeholders nationwide to better coordinate and improve rural health services. The Rural Quality Improvement Technical Assistance Cooperative Agreement provides technical assistance to Federal Office of Rural Health Policy (FORHP) recipients, Critical Access Hospitals (CAHs), and other rural providers, to assist them in demonstrating improvement in quality of care for rural patients. Assistance will be provided to Flex grantees in the areas of: data collection and analysis, understanding measure specifications, benchmarking and target setting, developing and implementing efficient and effective improvement strategies, and tracking the outcomes of quality improvement efforts. The Frontier Community Health Integration Program (FCHIP) Technical Assistance, Tracking, and Analysis program provides technical assistance, site

implementation assistance, and other tracking and analytic activities to support providers participating in the FCHIP Demonstration. Activities are in support of identifying potential new approaches to health care delivery, reimbursement, and coordination in sparsely populated areas. The Rural Health Clinic Technical Assistance Cooperative Agreement provides technical assistance to rural health clinics (RHCs) and disseminates information regarding RHC issues such as Medicare survey and certification, billing and payment, quality improvement, best practices, disease management, and applicable regulatory issues. The program provides technical assistance calls or webinars, and an electronic mailing list (listserv) for RHC staff that serves as a resource for RHCs needing assistance to understand and comply with applicable regulations. The Rural Coronavirus Technical Assistance program provides TA to RHCs and small rural hospitals on COVID-19 testing, mitigation, and responding to COVID-19. The Rural Health Clinic Vaccine Confidence Technical Assistance program provides TA to RHCs on addressing COVID-19 related health equity gaps and improving health care in rural areas by engaging RHCs to improve vaccine confidence and counter vaccine hesitancy in rural communities. The Rural Residency Planning and Development - Technical Assistance program establishes a rural residency planning and development technical assistance center to assist HRSA Rural Residency Planning and Development (RRPD) program recipients creating new allopathic medicine rural residency programs associated with specialties such as primary care, internal medicine, psychiatry, general surgery, obstetrics and gynecology, and public health and general preventive medicine. Subsequent to the availability of resources, the secondary purpose of the cooperative agreement is to provide technical assistance to other entities that a) would have been eligible for the RRPD program, and b) are not selected for RRPD program awards. The RRPD program and this cooperative agreement are complementary and will expand the number of rural residency training programs and subsequently increase the number of physicians who choose to practice in rural areas. The purpose of the Vulnerable Rural Hospitals Assistance Program is to provide targeted in-depth assistance to vulnerable rural hospitals within communities struggling to maintain health care services. The goal is for residents in rural communities to continue to have access to essential health services. The Rural Residency Planning and Development (RRPD) Program develops newly, accredited and sustainable rural residency programs in family medicine, internal medicine, public health and general preventive medicine, psychiatry, general surgery, and obstetrics and gynecology, to support expansion of the physician workforce in rural areas. The funds will support planning and development costs accrued while achieving program accreditation through the Accreditation Council for Graduate Medical Education (ACGME). The purpose of the Rural Communities Opioid Response Program-Evaluation cooperative agreement is to evaluate the impact of RCORP initiatives, which currently include RCORP-Planning, RCORP-Implementation, and a number of RCORP pilot programs. The evaluation activities include data collection and analyses of RCORP activities, as well as developing valuable RCORP-based evaluation tools and resources for use in rural communities and to inform future rural health initiatives. The purpose of the Rural Communities Opioid Response Program-Rural Centers of Excellence on Substance Use Disorder is to support the identification, translation, dissemination, and implementation of evidence-based programs and best practices related to the treatment for and prevention of substance use disorders (SUD) within rural communities, with a focus on the current opioid crisis and developing methods to address future SUD epidemics. The program outlines three Focus Areas, to each be addressed by one RCORP-RCOE recipient. Focus Area 1 emphasizes innovative and effective treatment interventions for SUD, particularly OUD, in rural communities; Focus Area 2 emphasizes recovery housing programs for SUD intervention in rural communities; and Focus Area 3 emphasizes the burden of overdose mortality related to the misuse of synthetic opioids in rural communities in the Delta and/or Appalachian regions. The Rural Healthcare Provider Transition Project provides technical assistance that will assist eligible small rural hospitals and RHCs in strengthening their foundation in key elements of value-based care, including, but not limited to quality, efficiency, patient experience, and safety of care. This program will help small rural hospitals and RHCs that are in need of assistance in building the foundation for participating in value-based healthcare, but that are not yet at the level to participate in value-based programs. The purpose of the Rural Telementoring Training Center program is to train academic medical centers and other centers of excellence (COEs) to create or expand technology-enabled collaborative learning and capacity building models (such as Project ECHO, ECHO-like models, and other emerging models in the field). The recipient will develop and share freely accessible tools and resources

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that are adaptable to culturally and regionally diverse populations to provide training nationwide to facilitate the dissemination of best practice specialty care to primary care providers and care teams in rural and underserved areas. The purpose of the Rural Health and Economic Development Analysis program is to increase public and stakeholder awareness of the economic impacts of rural health care sectors on rural, state, and national economies. Additionally, the Rural Health and Economic Development Analysis Program seeks to quantify the impact of rural health care and conduct analyses of the link between the health of the economy in a rural community and the health outcomes of the residents of those communities. The purpose of the Small Rural Hospital Improvement Program (SHIP) COVID-19 Testing and Mitigation Program will provide one-time funding to support hospitals for testing education, establishment of alternate testing sites, test result processing, arranging for the processing of test results, and engaging in other activities within the CDC Community Mitigation Framework to address COVID-19 in rural communities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out approved projects in accordance with the intent of the cooperative agreement as stipulated in the notice of award.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The Rural Health Research Center and Telehealth Focused Rural Health Research Center cooperative agreements are open to domestic public, for-profit, and non-profit entities. Institutions of higher education, faith-based and community based organizations, Tribes, and tribal organizations are eligible to apply. The Rural Health Research Dissemination cooperative agreement is open to all domestic public and private entities, nonprofit and for-profit. Eligible entities may include, but are not limited to, public and private institutions for higher education, public and private health research organizations, foundations, tribes and tribal organizations, and faith based entities. Eligible applicants for the National Rural Health Policy, Community, and Collaboration Program include public, private and nonprofit organizations including domestic faith-based and community organizations, state governments and their agencies such as universities, colleges, research institutions, hospitals, and local governments or their bona fide agents. Federally recognized tribal governments, tribes, and tribal organizations are also eligible. The Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies cooperative agreement is open to all domestic public and private entities, nonprofit and for-profit. Eligible entities may include, but are not limited to, public and private institutions for higher education, public and private health research organizations, foundations, tribes and tribal organizations, and faith based entities. Rural Health Innovation and Transformation Technical Assistance Program eligibility is open to domestic public, private, and nonprofit organizations. Faith-based and community-based organizations, tribes, and tribal organizations are eligible to apply. Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement: Eligible applicants include public and private nonprofit entities. Faith-based and community organizations are eligible to apply for this cooperative agreement. Federally recognized tribal government and Native American Organizations are eligible to apply. Information Services to Rural Hospital Flexibility Program: Any public or private entity is eligible to apply for this Federal funding opportunity. Applicant organizations that are federally recognized Native American tribes or tribal organizations are eligible to apply. Faith-based and community-based organizations are eligible to apply for this cooperative agreement. The State Offices of Rural Health Coordination and Development Program (SORHCDP) applicant is an organization that is national in scope with experience providing coordination and development to enhance the rural health infrastructure in each of the 50 states. Federally recognized tribal Government and Native American Organizations are eligible to apply. Rural

Quality Improvement Technical Assistance Cooperative Agreement: Eligible applicants include domestic public, private, and nonprofit organizations, including tribes and tribal organizations, and faith-based and community-based organizations. The Rural Health Clinic Technical Assistance Cooperative Agreement: Eligible applicants include domestic public, private, for-profit and nonprofit organizations, as well as faith-based and community-based organizations and federally recognized tribal governments and organizations. Rural Residency Planning and Development - Technical Assistance program: Eligible entities include any domestic public or private nonprofit entities including faith-based and community-based organizations; state governments and their agencies such as universities, colleges and research institutions; hospitals; local governments or their bona fide agents; and federally recognized tribal governments, tribes and tribal organizations. Vulnerable Rural Hospitals Assistance Program: Eligible applicants include domestic public or private, non-profit entities. Domestic faith-based and community-based organizations, tribes, and tribal organizations are also eligible to apply. The eligible applicant (VRHAP recipient) shall provide targeted assistance to selected rural hospitals in need. Rural hospitals are eligible to receive targeted assistance from the VRHAP recipient. Rural Residency Planning and Development (RRPD) Program eligible applicants are domestic public or private non-profit entities including domestic faith-based and community-based organizations, tribes, and tribal organizations. Specifically, these organizations include: 1) rural hospitals, 2) rural community-based ambulatory patient care centers, including federally qualified health centers (FQHC), community mental health centers or rural health clinics, 3) health centers operated by the Indian Health Service, tribe or tribal organization, or an urban Indian organization; 4) graduate medical education consortiums, including schools of allopathic medicine or osteopathic medicine, 5) entities such as faith-based and community-based organizations, capable of carrying out the grant activities. Either the applicant organization or a consortiums primary training partner must be located in a rural area. The applicant organization must demonstrate it has the capacity to acquire accreditation and provide ongoing support for resident training, including financially, by the end of the period of performance. Rural Communities Opioid Response Program-Evaluation cooperative agreement: Eligible applicants include domestic public or private, non-profit or for-profit organizations. Institutions of higher education, faith-based and community-based organizations, tribes, and tribal organizations are eligible to apply. Applicants may be a single entity or a consortium. Rural Communities Opioid Response Program Rural Centers of Excellence on Substance Use Disorder: Eligible applicants include all domestic public or private, non-profit or for-profit entities, including state, county, or city or township governments; independent school districts; public housing authorities or Indian housing authorities; public or private institutions of higher education; small businesses; faith-based and community-based organizations; and federally recognized tribes, tribal organizations, and tribal governments; or consortia of these organizations. Rural Healthcare Provider Transition Project: Eligible applicants include domestic public or private, non-profit entities. Domestic faith-based and community-based organizations, tribes, and tribal organizations are also eligible to apply. Rural Telementoring Training Center program: Eligible applicants include domestic public, private, and nonprofit organizations, including tribes and tribal organizations, and faith-based and community-based organizations. Rural Health and Economic Development Analysis program: Eligible applicants include domestic public or private, non-profit and for-profit entities. Domestic faith-based and community-based organizations, tribes, and tribal organizations are eligible to apply. Eligible entities may include, but are not limited to, public and private institutions for higher education, public or private health research organizations, and foundations. Small Rural Hospital Improvement Program (SHIP) COVID-19 Testing and Mitigation Program: Eligible entities include existing Small Rural Hospital Improvement Program grantees.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Health Professional; Scientist/Researchers; Rural; The entities that will benefit from this program are health care personnel, health research personnel, policy makers, and the general public. Underserved populations in rural areas; facilities and services in rural areas. States with at least one hospital that is located in a non-metropolitan statistical area or county and provides CMS with necessary assurances.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity

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issued under these CFDA programs for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Other. Research Center awards may be made for up to 4-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. The Research Dissemination award may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. The Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies award may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. National Rural Health Best Practices and Community Development Program award is for up to a 5-year project period. After an initial award, renewal is non-competitive, contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. National Rural Health Policy and Community Development Program award may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. The Rural Health Innovation and Transformation Technical Assistance Program. Cooperative Agreement Award may be made for up to a 3-year project period. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance. Information Services to Rural Hospital Flexibility Program Awardees- awards may be made for up to 5-year project periods. Subject to availability of funds, after

initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance. The State Rural Health Coordination and Development Cooperative Agreement (SRHCD-CA) awardees may request funding beyond an initial project period by submitting a competing continuation application during a regular competing application cycle. All competitive cycles are contingent upon availability of funds for such purposes. Rural Quality Improvement Technical Assistance Cooperative Agreement awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, one year projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance. The Rural Health Clinic Technical Assistance Cooperative Agreement award may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, the project may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are normally made annually and in a lump sum for the entire budget period; however, some programs receive provide awards for the entire project period at the start of the program. See the following for information on how assistance is awarded/released: Payments are made through an Electronic Transfer System or Cash Demand System. Recipients drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other **Reports:**

Awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). Awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. Federal Financial Reports (SF-425) must be submitted according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. Final reports are due within 90 days after the project period ends. If applicable, awardees must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>. Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the

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expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$100,000.00; FY 21 est \$100,000.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$800,000.00; FY 21 est \$500,000.00; FY 22 est \$500,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$750,000.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$398,000,000.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$1,500,000.00; FY 21 est \$1,584,000.00; FY 22 est \$1,585,000.00; Cooperative Agreements FY 20 \$6,172,000.00; FY 21 est \$45,600,000.00; FY 22 est \$5,600,000.00; Cooperative Agreements FY 20 \$1,900,000.00; FY 21 est \$1,900,000.00; FY 22 est \$1,900,000.00; Cooperative Agreements FY 20 \$494,209.00; FY 21 est \$0.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$625,000.00; FY 21 est \$625,000.00; Cooperative Agreements FY 20 \$1,000,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00; Cooperative Agreements FY 20 \$450,000.00; FY 21 est \$490,000.00; FY 22 est \$490,000.00; Cooperative Agreements FY 20 \$2,300,000.00; FY 21 est \$2,300,000.00; FY 22 est \$2,300,000.00; Cooperative Agreements FY 20 \$224,983.00; FY 21 est \$0.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$135,000.00; FY 21 est \$195,000.00; FY 22 est \$195,000.00; Project Grants FY 20 \$500,000.00; FY 21 est \$500,000.00; FY 22 est \$500,000.00; Project Grants FY 20 \$750,000.00; FY 21 Not Available; FY 22 est \$750,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$4,300,000.00; FY 22 est \$0.00; Cooperative Agreements FY 20 Not Available; FY 21 Not Available; FY 22 est \$800,000.00; Cooperative Agreements FY 20 \$8,224,922.00; FY 21 est \$6,750,000.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$3,000,000.00; FY 21 est \$3,000,000.00; FY 22 est \$0.00; Project Grants FY 20 \$10,000,000.00; FY 21 est \$10,000,000.00; FY 22 est \$0.00; Project Grants FY 20 \$800,000.00; FY 21 est \$800,000.00; FY 22 est \$800,000.00; Project Grants FY 20 \$1,000,000.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00; Cooperative Agreements FY 20 \$225,000.00; FY 21 est \$225,000.00; and FY 22 est \$225,000.00;

Range and Average of Financial Assistance:

For Rural Health Research Centers (eight awards): FY 2020 Range \$572,957 - \$700,000 Average \$685,778 For Telehealth Focused Rural Health Research Centers (two awards): FY 2019 \$788,000 For Rural Health Research Dissemination (one award): \$195,000 For Frontier Community Health Integration Project Technical Assistance, Tracking and Analysis (one award): \$470,167 For Rural Policy Analysis (one award): \$225,000 For Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies (one award) \$490,000 For National Rural Health Policy, Community, and Collaboration Program (one award): \$2,300,000 For Rural Health Innovation and Transformation Technical Assistance (one award): \$500,000 For the Information Services to Rural Hospital Flexibility Program (one award) \$1,000,000 For the Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement: \$1,584,000 (one award) For the State Offices of Rural Health Coordination and Development Program (SORHCDP): - \$750,000 (one award) For Rural Quality Improvement Technical Assistance Cooperative Agreement: \$625,000 (one award) For Rural Health Clinic Technical Assistance Cooperative Agreement (one award): \$100,000 For Rural Coronavirus Technical Assistance (one award): \$500,000 Rural Health Clinic Vaccine Confidence Technical Assistance (one award): \$750,000 For Rural Residency Planning and Development Technical Assistance (one award): \$0 Note: recipient was fully funded in FY18 For Vulnerable Rural Hospitals Assistance Program Cooperative Agreement (one award): \$800,000 For Rural Residency Planning and Development Program (up to 9 awards): up to \$750,000 For Rural Communities Opioid Response Program- Evaluation (one award): \$3,000,000 For Rural Communities Opioid Response Program- Rural Centers of Excellence on Substance Use Disorder (three awards): FY2020 Range: \$2,493,888 to \$3,750,000; average annual award of \$3,314,379 per recipient For Rural Healthcare Provider Transition Project-One award, up to \$800,000 per year. Rural Telementoring Training Center - (one award): \$1,000,000 per year Rural Health and Economic Development Analysis Program (one award): \$250,000 Small Rural Hospital Improvement Program (SHIP) COVID-19 Testing and Mitigation Program (46 awards): Estimated FY21 range: \$460,000 to \$30,300,000; average award amount \$8,600,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement continues to provide program evaluation resources, data analysis and reports, and evidence-based best practices to Critical Access Hospitals. In FY20, they published 11 policy briefs and their data and research findings were cited in articles appearing in 14 peer-reviewed journals and 2 books. In FY 2020, rural research programs completed and published 107 research reports, including policy briefs posted on the Rural Health Research Gateway website and manuscripts published in peer-reviewed journals. The Rural Quality Improvement Technical Assistance (RQITA) Cooperative Agreement fielded a total of 723 technical assistance requests in FY 2020, and continued to provide program-wide assistance in the area of quality improvement. During this Fiscal Year, RQITA also worked in partnership with FORHP to modify quality measure reporting requirements during the COVID-19 pandemic and adapted materials and resources to ensure that states and Critical Access Hospitals received timely information about these requirements. Despite the impacts of the COVID-19 pandemic on rural healthcare providers, the Rural Healthcare Provider Transition Project, currently in its first program year, is providing comprehensive, virtual technical assistance to four small rural hospitals and rural health clinics in building the foundation for participating in value-based healthcare. The State Offices of Rural Health Coordination and Development (SORHCD) responded to the Covid-19 pandemic by holding listening call sessions with SORHs and continue to developed resources on emergency preparedness, broadband, and health equity. The Information Services for Rural Hospital Flexibility Program participant grantees rated 4.52 on a five point Likert Scale that knowledge gain from program activities helped improve their grant program performance. The Vulnerable Rural Hospital Assistance Program was able to serve 16 additional hospitals in FY20 due to the shift to virtual TA during the COVID pandemic. The Rural Communities Opioid Response Program-Evaluation has conducted analyses of grantee-reported performance data. In May 2021, the evaluation team launched a data dashboard that allows grantees to better track and benchmark their performance. The Rural Communities Opioid Response Program-Rural Centers of Excellence on Substance Use Disorder developed 257 resources, including research briefs, toolkits, webinars, and other training materials for rural providers and community members; and provided training to more than 9,700 rural providers, paraprofessionals, and community members in FY 2019.

REGULATIONS, GUIDELINES, AND LITERATURE:

These programs are subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. Awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Program Coordinator, Telehealth Focused Rural Health Research Center, .. Jennifer Burges, Program Coordinator, Rapid Response Rural Data Analysis and Issue Specific Rural Research Studies, JBurges@hrsa.gov, (301) 945-3985. Jennifer Burges, Program Coordinator, Rural Health Research Dissemination, JBurges@hrsa.gov, (301) 945-3985. Jennifer Burges, Program Coordinator, Rural Health Research Center, JBurges@hrsa.gov, (301) 945-3985. Alexa Ofori, Program Coordinator, National Rural Health Policy, Community, and Collaboration Program, AOfori@hrsa.gov, (301) 443-0835. Lawrence Afagbedzi, Program Coordinator, Rural Health Innovation and Transformation Technical Assistance Program, LAfagbedzi@hrsa.gov, (301) 443-3196 Sarah Ndiangui, Program Coordinator, State Offices of Rural Health Coordination and Development Program (SORHCDP), SNdiangui@hrsa.gov, (301) 443-0876. Laura Seifert, Program Coordinator, Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement, LSeifert@hrsa.gov, (301) 443-3343. Mike McNeely, Program Coordinator, Information Services to Rural Hospital Flexibility Program, MMcNeely@hrsa.gov, (301) 443-5812. Natalia Vargas, Program Coordinator, Rural Quality Improvement Technical Assistance Cooperative Agreement, NVargas@hrsa.gov, (301) 945-0782. Lindsey Nienstedt, Program Coordinator, Rural Health Clinic Technical Assistance Cooperative Agreement, LNienstedt@hrsa.gov, (301) 443-0835. Lindsey Nienstedt, Program

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Coordinator, Rural Coronavirus Technical Assistance, LNienstedt@hrsa.gov, (301) 443-0835. Lindsey Nienstedt, Program Coordinator, Rural Health Clinic Vaccine Confidence Technical Assistance, LNienstedt@hrsa.gov, (301) 443-0835. Sheena Johnson, Program Coordinator, Rural Residency Planning and Development Technical Assistance Cooperative Agreement, SJohnson@hrsa.gov, (301) 443-0835. Salamatu (Sally) Barrie, Program Coordinator, Vulnerable Rural Hospitals Assistance Program, SBarrie@hrsa.gov, (301) 443-0456. Sheena Johnson, Program Coordinator, the Rural Residency Planning and Development Program, SJohnson@hrsa.gov, (301) 443-0835. Fraser Byrne, Program Coordinator, Rural Communities Opioid Response Program- Evaluation, fbyrne@hrsa.gov, (301) 443-2299. Anna Swanson, Program Coordinator, Rural Communities Opioid Response Program- Rural Centers of Excellence on Substance Use Disorder, ASwanson@hrsa.gov, (301) 443-2398. Jeanene Meyers, Program Coordinator, Rural Healthcare Provider Transition Project, JMeyers@hrsa.gov, (301) 443-2482. Danielle Chestang, Program Coordinator, Rural Telementoring Training Center, DChestang@hrsa.gov, (301) 443-3890. Siobhan Dempsey, Program Coordinator, Rural Health and Economic Development Analysis Program, sdempsey@hrsa.gov, 301-443-6124. Salamatu (Sally) Barrie, Program Coordinator Small Rural Hospital Improvement Program (SHIP) COVID-19 Testing and Mitigation Program, SBarrie@hrsa.gov, (301) 443-0456.

Headquarters Office:

Jennifer Burges, Program Coordinator, Federal Office of Rural Health Policy,, 5600 Fishers Lane, Mail Stop 17W59-D, Rockville MD 20857

Email: ruralpolicy@hrsa.gov

Phone: (301) 945-0835

Website Address:

<http://www.hrsa.gov/ruralhealth>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are listed in the application guidance.

93.157 Centers of Excellence

Centers of Excellence (COE),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title VII, Section 736, 42 U.S.C. 293.,

OBJECTIVES:

The goal of this program is to assist eligible schools in supporting programs of excellence in health professions education for underrepresented minority (URM) individuals. The Centers of Excellence (COE) program is a catalyst for institutionalizing a commitment to underrepresented minority (URM) students/faculty and to serve as a national resource and educational center for diversity and minority health issues. Additionally, the COE program will help to strengthen the national capacity to produce a culturally competent healthcare workforce whose diversity is representative of the United States population.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Health professions schools awarded a COE grant are required to use funds to offer programming that will meet the following seven legislative purposes of the program: (1) Increase the competitive applicant pool for health professions schools; (2) Expand programs to enhance underrepresented minority student academic performance; (3) Improve recruitment, training and retention of underrepresented minority faculty; (4) Improve information resources curriculum, clinical education and cultural competency of graduates as it relates to minority health issues; (5)

Facilitate faculty and student research on health issues affecting underrepresented minority groups; (6) Engage students in practice-based training in community-based settings serving significant numbers of underrepresented minority individuals; and (7) Provide stipends, as appropriate. In addition, the four designated HBCU institutions must also propose activities to carry out the following purposes: (1) Develop a plan to achieve institutional improvements, including financial independence, to enable the school to support programs of excellence in health professions education for underrepresented minority individuals; and (2) Provide improved access to the library and informational resources of the school. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include designated HBCUs and health professions schools that are accredited schools of allopathic medicine; osteopathic medicine; dentistry; pharmacy; or a graduate program in behavioral or mental health; or other public and nonprofit health or educational entities that meet the required conditions regarding: underrepresented minorities as described in Section 736 of the Public Health Service Act. Native American Centers of Excellence are eligible, as specified in statute. Eligible applicants must also have: (1) a significant number of underrepresented minority individuals enrolled in the schools; (2) been effective in assisting underrepresented minority students of the schools to complete the program of education and receive the degree involved; (3) been effective in recruiting underrepresented minority individuals to enroll in and graduate from the school, including providing scholarships and other financial assistance to such individuals and encouraging underrepresented minority students from all levels of the educational pipeline to pursue health professions careers; and (4) made significant recruitment efforts to increase the number of underrepresented minority individuals serving in faculty or administrative positions at the school.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Refugee/Alien; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Preschool; School; Child (6-15); Youth (16-21); Moderate Income; Low Income; Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Designated HBCUs and eligible health professions schools must recruit and train a significant number of underrepresented minority students in medicine, dentistry, and pharmacy; recruit, train, and retain underrepresented minority faculty recruitment; and facilitate faculty and student research activities.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

ASSISTANCE LISTINGS DESCRIPTIONS

deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award..

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. See the following for information on how assistance is awarded/released. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSAs Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$22,303,590.00; FY 21 est \$22,338,755.00; FY 22 est \$22,338,755.00;

Range and Average of Financial Assistance:

Historically Black Colleges and Universities (HBCUs): FY 2020: \$2,891,266 to \$3,177,641; Average: \$3,000,000FY 2021: \$2,891,266 to \$3,177,641; Average: \$3,000,000FY 2022 est.: \$2,891,266 to \$3,177,641; Average est.: \$3,000,000Non-HBCUs: FY 2020: \$615,000 to \$700,000; Average: \$686,906FY 2021: \$612,500 to \$700,000; Average: \$689,250FY 2022 est.: \$612,500 to \$700,000; Average est.: \$689,250

TAFS Codes:

03-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year (AY) 2019-2020, the COE program supported 141 training programs and activities designed either to prepare individuals to apply to a health professions training program or maintain enrollment in such programs. In partnership with 216 health care delivery sites, COE grantees provided 3,728 clinical training experiences to health professions trainees. These clinical experiences are designed to help prepare health professions students to provide quality health care to diverse populations. The training emphasizes the importance of cultural competency and the impact of health disparities on overall health outcomes. Approximately 30 training sites used by COE grantees were primary care settings and 43 were in medically underserved communities. Award recipients provided stipend support to 1,359 trainees across the country, of whom 99% were considered URMs in the health professions. In addition, 72% of the trainees were from financially and/or educationally disadvantaged backgrounds.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None. Division of Health Careers and Financial Support, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 15N-62C

Headquarters Office:

Tammy Mayo-Blake, M.Ed, Health Careers Pipeline Branch, Division of Health Careers and Financial Support, Bureau of Health Workforce,

5600 Fishers Lane,

Rockville MD 20857

Email: tmayo-blake@hrsa.gov

Phone: (301) 443-0827

Fax:

Website Address:

<https://bhw.hrsa.gov/grants/healthcareers>.

RELATED PROGRAMS:

93.415 ARRA - Centers of Excellence;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed review criteria.

93.161 Health Program for Toxic Substances and Disease Registry

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Public Law 99-499, as amended; 42 U.S.C. 9604(i); Resource Conservation and Recovery Act, as amended; Public Law 98-616, 42 U.S.C. 6939a., , 42 USC Code 9604(i).

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

To work closely with State, local, and other Federal agencies to reduce or eliminate illness, disability, and death resulting from exposure of the public and workers to toxic substances at spill and waste disposal sites.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

To strengthen State and local environmental health programs. Services may include: (1) Health assessments; (2) health effects studies; (3) exposure and disease registries; (4) technical assistance; (5) consultation; (6) dissemination of technical information; (7) provision of specialized services and assistance, including responses to public health emergencies; (8) training State and other health professionals in broad areas related to environmental health; and (9) research of chemical toxicity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; States or political subdivisions thereof, to include the District of Columbia, American Samoa, Guam, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Virgin Islands, the Federated States of Marshall Island, the Republic of Palau, National organizations, Federally-recognized Indian tribal governments, public and private non-profit universities and colleges.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; State; Local; Sponsored organization; The general public and particularly individuals and families who reside around or near hazardous waste sites.

Credentials/Documentation:

For other grantees, costs will be determined by HHS regulations 45 CFR 74, Subpart Q. For-profit organization's costs will be determined in accordance with the Federal Acquisition Regulation, 48 CFR, Chapter I, Subpart 31.2, "Cost Principles for Contracts and Commercial Organizations." 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Acquisition and Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the provisions of OMB Circular No. A-110. By formal agreement, the CDC Grants Management Branch acts on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, Centers for Disease Control and Prevention (CDC), acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Approximately 60 to 90 days.

Appeals:

Other.

Renewals:

Other. Awards are made for project periods of 1 to 5 years with 12-month budget period. Renewals or continuation awards, with the project period are made on the basis of satisfactory progress and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

It is anticipated that awards will be for a 12-month budget period with a proposed project period ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

See Funding Opportunity for details See Funding Opportunity for details See Funding Opportunity for details See Funding Opportunity for details

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$5,755,500.00; FY 21 est \$4,690,350.00; FY 22 est \$4,690,350.00;

Range and Average of Financial Assistance:

Average range: \$130,000 to \$300,000

TAFS Codes:

75-0944.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Toxicological profiles, Federal regulations for interim procedures to petition for Public Health Assessments published in August 18, 1992 issue of the 42 CFR 90, Health Assessments and Health Effects Studies of Hazardous Substances Releases and Facilities; Final Rule; Published in February 13, 1990, issue of the Status of Health Research Report; Case studies in environmental medicine; and ATSDR Annual Report. PHS Policy Statement No. (OASH) 94- 50,000, (Rev.) April 1, 1994 is available. Section 106 and subsection 118(e) of the Great Lakes Critical Programs Act of 1990 33 U.S.C. 1268(e).

Regional or Local Office:

Headquarters Office:

Extramural Team Lead Michelle Canady,
4770 Buford Highway,
Atlanta GA 30341
Email: bqg1@cdc.gov
Phone: 770-488-0711

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Relevance of the proposal to the objective of this program. (2) Demonstrated experience in evaluating human health effects from exposures to hazardous substances in the environment through multimedia exposure pathways. (3) Training and experience of staff to be assigned to and/or hired for the project. (4) Suitability of facilities and equipment available or to be purchased for the project. (5) Appropriateness of the requested budget relative to the work proposed. (6) Capability of the applicant and its consultants to carry out the tasks involved in the project. (7) Soundness and innovation of the proposed approach to the range of activities presented in the project. (8) Capability of the applicant's administrative structure to foster successful scientific and administrative management of the program or study as described in the application. (9) Adequacy of the proposed time frame for completion of programs or studies.

93.162 National Health Service Corps Loan Repayment

National Health Service Corps (NHSC) Loan Repayment Program (LRP), NHSC Substance Use Disorder (SUD) Workforce LRP, NHSC Rural Community LRP, NHSC Students to Service (S2S) LRP, Substance Use Disorder Treatment and Recovery (STAR) LRP.

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 338B of the Public Health Service (PHS) Act (42 United States Code Section 254I-1), as amended; Section 331(i) of the PHS Act (42 USC 254d(i)), as amended; Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10), Consolidated Appropriations Act, 2018, Title II (Public Law No. 115-141, Title II) Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019, Public Law 115-271, The Further Consolidated Appropriations Act, 2020, as amended Consolidated Appropriations Act, 2021, Title II (Public Law No. 116-260, Title II) Department of Defense and Labor, Health and Human Services, and Education Appropriations Act., 42 U.S.C. 295h (Section 781 of the Public Health Service Act), Section 2602 of the American Rescue Plan Act of 2021 (P.L.117-2),

OBJECTIVES:

The purpose of the NHSC LRP is to recruit and retain medical, nursing, dental, and behavioral/mental health clinicians in eligible communities of need designated as Health Professional Shortage Areas (HPSAs). The purpose of the NHSC SUD Workforce LRP is to recruit and retain medical, nursing, and behavioral/mental health clinicians with specific training and credentials to provide evidence-based SUD treatment and counselling in eligible communities of need designated as HPSAs. The purpose of the NHSC Rural Community LRP is to recruit and retain medical, nursing, and behavioral/mental health clinicians with specific training and credentials to provide evidence-based SUD treatment in rural communities designated as HPSAs. The purpose of the NHSC S2S LRP is to enter into contracts with students who are in their last year of medical or dental school to provide loan repayment assistance in return for a commitment to provide primary health services in eligible communities of need designated as HPSAs. The purpose of the STAR LRP is to recruit and retain medical, nursing, behavioral/mental health clinicians and paraprofessionals who provide full-time employment that involves direct treatment or recovery support of patients with or in recovery from a substance use disorder at a STAR LRP-approved facility located in either a county (or a municipality, if not contained within any county) where the mean drug overdose death rate per 100,000 people over the past three years for which official data is available from the State, is higher than the most recent available national average overdose death rate per 100,000 people, as reported by the CDC, or in a designated HPSA.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

NHSC LRP - Awards provide payments of up to \$50,000 towards participants' qualified educational loans in exchange for 2 years of full-time practice (up to \$25,000 for 2 years of half-time practice) at an NHSC-approved service site under a written agreement with this program. NHSC SUD Workforce LRP - Awards provide payments of up to \$75,000 towards applicants trained and licensed in SUD treatment with qualified educational loans in exchange for 3 years of full-time practice (up to \$37,500 for 3 years of half-time practice) at an NHSC-approved SUD treatment facility. NHSC Rural Community LRP - Awards provide payments of up to \$100,000 towards applicants trained and licensed in SUD treatment with qualified educational loans in exchange for 3 years of full-time practice (up to \$50,000 for 3 years of half-time practice) at a rural NHSC-approved SUD treatment facility. NHSC S2S LRP - Awards provide payments of up to \$120,000 to participants in their last year of school for qualified educational loans in exchange for 3 years of full-time practice (up to \$120,000 for 6 years of half-time practice) at an NHSC-approved service site. STAR LRP Awards payments up to \$250,000 for 6 years of full-time service to individuals serving at a STAR LRP-approved facility that is located in either a county (or a municipality, if not contained within any county) where the mean drug overdose death rate per 100,000 people over the past three years for which official data is available from the State, is higher than the most recent available national average overdose death rate per 100,000 people, as reported by the CDC, or in a designated mental health HPSA.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); For specific program and eligibility requirements, please see: <http://nhsc.hrsa.gov/>

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Primary care, oral health, mental and behavioral health and SUD professionals are eligible for the Loan Repayment Program(s), based on specific program requirements. Specific specialties within these professions are selected for LRP awards based on community demand for health services.

Credentials/Documentation:

Applicants should review the program-specific HRSA Application and Program Guidance issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants may receive additional information about the application process at <http://nhsc.hrsa.gov/loanrepayment/applicationprocess/index.html>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

NHSC loan repayment awards are made based on the highest need as determined by descending HPSA scores. Priority will be given to individuals who demonstrate a commitment to practicing in underserved communities and those from disadvantaged backgrounds. In addition, priority may be given to applicants whose training is in a health discipline or specialty determined by the Secretary of Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to serve in a HPSA. The HRSA program official with delegated authority is responsible for final selection and funding decisions. NHSC SUD Workforce LRP awards are made based on the highest need as determined by descending HPSA scores. Priority is given to applicants trained and licensed in SUD treatment which has been determined by the Secretary of Health and Human Services to be needed by the NHSC. NHSC Rural Community LRP awards are made based on the highest need as determined by descending HPSA scores. Priority is given to applicants trained and licensed in SUD treatment and serving in a rural community which has been determined by the Secretary of Health and

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Human Services to be needed by the NHSC. NHSC S2S LRP awards are prioritized based on having a disadvantaged background and characteristics likely to remain in a HPSA as identified by their essays and letters of recommendation. STAR LRP first awards applicants who are working in a full-time SUD treatment job that involves direct patient care in a county (or a municipality, if not contained within any county) where the mean drug overdose death rate per 100,000 people over the past three years for which official data is available from the State, is higher than the most recent available national average overdose death rate per 100,000 people, as reported by the CDC. The STAR LRP will then award applicants who are working in a designated mental health HPSA..

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notifications to all applicants are made no later than September 30th of the application year.

Appeals:

Not Applicable.

Renewals:

Other. NHSC LRP and S2S LRP: Contract continuations will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

NHSC LRP - Loan repayments for 2 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. NHSC SUD Workforce LRP - Loan repayments for 3 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. NHSC Rural Community LRP - Loan repayments for 3 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. NHSC S2S LRP- Loan repayments for 3 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. STAR LRP - Loan repayments for 6 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. Method of awarding/releasing assistance: Payments are made to individuals via electronic direct deposit through the PSC. Method of awarding/releasing assistance: other

Reports:

The employing facility must complete and send a semi-annual In-Service Verification Form verifying that the participating health professional is providing service. The certification includes a signature by an appropriate official of the facility.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

75-0350-0-1-550.

Obligations:

Direct Payments for Specified Use FY 20 \$164,349,000.00; FY 21 est \$279,000,000.00; FY 22 est \$392,500,000.00; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est \$28,000,000.00; and FY 22 est \$28,000,000.00;

Range and Average of Financial Assistance:

Not Applicable.

TAFS Codes:

75-0352. 75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since its inception in 1972, the National Health Service Corps (NHSC) has worked to support qualified health care providers dedicated to

working in underserved communities in urban, rural, and tribal areas. Across the nation, NHSC clinicians serve patients in HPSAs communities with limited access to health care. As of September 30, 2020, there were 7,203 primary care HPSAs, 6,487 dental HPSAs, and 5,733 mental health HPSAs. The NHSC seeks clinicians who demonstrate a commitment to serve the Nations medically underserved populations at NHSC-approved sites located in HPSAs. NHSC-approved sites provide care to individuals regardless of ability to pay; currently, there are over 16,400 NHSC-approved sites. Eligible sites include Federally Qualified Health Centers (FQHC) and FQHC Look-Alikes, American Indian and Native Alaska health clinics, rural health clinics, critical access hospitals and hospitals managed or owned by the Indian Health Service (IHS), school-based clinics, mobile units, free clinics, community mental health centers, state or local health departments, community outpatient facilities, federal facilities such as the Bureau of Prisons, U.S. Immigration and Customs Enforcement, IHS, and private practices. In particular, the NHSC has partnered closely with HRSA-supported FQHCs to help meet their staffing needs. Over 60 percent of NHSC clinicians serve in Health Centers around the nation, and 15 percent of clinical staff at FQHCs are NHSC clinicians. The NHSC also places clinicians in other community-based systems of care that serve underserved populations, targeting HPSAs of greatest need. As of September 30, 2020, there are more than 13,000 primary care medical, dental, and mental and behavioral health practitioners providing service nationwide under the NHSC LRP covered under this document.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NHSC LRP at <http://nhsc.hrsa.gov/>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Israil Ali, National Health Service Corps, Loan Repayment Branch, Division of National Health Service Corps, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services,, 5600 Fishers Lane, Room 14N48,

Rockville MD 20857

Email: iali@hrsa.gov

Phone: (301) 594-4400

Website Address:

<http://nhsc.hrsa.gov/>

RELATED PROGRAMS:

93.364 Nursing Student Loans; 93.908 Nursing Education Loan Repayment Program ; 93.288 National Health Service Corps Scholarship Program; 93.165 Grants to States for Loan Repayment Program; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

NHSC LRP - Priority will be given to individuals who demonstrate a commitment to practicing in underserved communities and those from disadvantaged backgrounds. In addition, priority may be given to applicants whose training is in a health discipline or specialty determined by the Secretary of Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to serve in health professional shortage areas. NHSC SUD Workforce LRP - Priority will be given to individuals who demonstrate a commitment to practicing in a NHSC SUD treatment facility in underserved communities within a HPSA. Priority is also given to applicants trained and licensed in SUD treatment which has been determined by the Secretary of Health and Human Services to be needed by the NHSC. NHSC Rural Community LRP - Priority will be given to individuals who demonstrate a commitment to practicing in a NHSC Rural SUD treatment facility in an underserved communities within a HPSA. Priority is also given to applicants trained and licensed in SUD treatment serving in a rural community, which has been determined by the Secretary of Health and Human Services to be needed by the NHSC. NHSC S2S LRP- Priority will be given to applicants who have a disadvantaged background and characteristics likely to remain in a HPSA as identified by their essay and letters of recommendation. STAR LRP - will first rank applicants who are working in a full-time SUD treatment job that involves direct patient care in a county (or a municipality, if not contained within any county) where the mean drug overdose death rate per 100,000

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people over the past three years for which official data is available from the State, is higher than the most recent available national average overdose death rate per 100,000 people, as reported by the CDC. HRSA will then rank applicants working in a designated mental health HPSA.

93.164 Indian Health Service Educational Loan Repayment

(IHS Loan Repayment Program),

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Indian Health Care Amendments of 1988, Section 108, Public Law 100-713

OBJECTIVES:

To ensure that the Indian Health Service (IHS) has an adequate supply of trained health professionals for Indian health program facilities by providing for the repayment of educational loans for participants who agree (by written contract) to serve an applicable period of time at a facility IHS has designated as a loan repayment priority site or in a designated specialty at a site with an appropriate position.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program will provide repayment of loans incurred for health professions educational expenses in exchange for service in a designated loan repayment priority site. Recipients must agree by written contract to serve an applicable period of time in such a site; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied. The minimum period of participation is 2 years. Maximum payment to a program participant is \$20,000 per year and an additional 20 percent of the award amount for tax liability.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Eligible individuals must be enrolled: (1) In a course of study or program in an accredited institution, as determined by the Secretary, within any State and be scheduled to complete such course of study in the same year such as individual applies to participate in such program; (2) in an approved graduate training program in a health profession; (3) have a degree in health profession and a license to practice a health profession; (4) be eligible for, or hold, an appointment as a commissioned officer in the Regular or Reserve Corps of the Public Health Service (PHS); (5) be eligible for selection for civilian service in the Regular or Reserve Corps of the PHS; (6) meet the professional standards for civil service employment in the IHS; (7) be employed with an Indian health program funded under Public Law 93-638, Indian Self-Determination, Title V of Public Law 94-437 and its amendments or the Buy Indian Act (25 U.S.C. 47); (8) submit an application to participate in the IHS Loan Repayment Program. The term "State" is defined in Section 331 (i)(4) of the PHS Act.

Beneficiary Eligibility:

Native American Organizations; Health Professional; Federally Recognized Indian Tribal Governments; Health professionals who have Government (Federal, State, local) and commercial unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) A transcript showing final year of study and full-time status; (2) a copy of a health professions degree; (3) a copy of health professions license; (4) a copy of transcript showing completion of graduate education; and (5) a copy of all loan documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. Applicants should apply online. Once an application is submitted it will be reviewed and the determination of awards will be made based upon merit. Awards will be made on award dates published in the Federal Register.

Award Procedure:

Upon determination of who will receive an award, that individual will be notified in writing by the program. The individual will in turn notify the program in writing of his/her acceptance. Awards will be contingent upon the score that an eligible Loan Repayment Program site receives and score is applied to the individual matched to that site. Upon arriving at his/her duty station, participant must submit proof of employment to the IHS Loan Repayment Program Office. Individuals can be matched to an IHS tribal, urban, or buy Indian site by an IHS area recruiter or the equivalent. Applicants needing to match to an IHS priority site should work with an IHS recruiter in the service area of their choice. When an award occurs, the Secretary will sign the contract and the individual will receive final notification of their award and a copy of their signed contract.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contracts are approved or disapproved within 90 days of receipt of applications by the Indian Health Service Loan Repayment Program

Appeals:

Not Applicable.

Renewals:

Other. If a program recipient satisfactorily completes his/her initial service and continues to have remaining eligible debt, he/she may extend his/her contract on a single-year basis, provided that funds are available and the participant continues to meet the eligibility requirements of the program. A recipient wishing to extend his/her contract must submit a formal request for extension and sign a new 1-year contract.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Payments will be made to the individuals of the program on an annual basis.

Method of awarding/releasing assistance: other

Reports:

Before participants receive their 2nd payments they must submit employment verification, a payment history showing that they applied their first year's payment to their eligible student loans, and a leave record. Participants must submit a payment history from their lenders showing that they applied their funds to their eligible student loans.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The IHS will maintain records of unsuccessful applicants for 3 years after they applied. The IHS will maintain records of participants for 3 years after they complete their service obligation.

Account Identification:

75-0390-0-1-551.

Obligations:

Direct Payments for Specified Use FY 20 \$35,868,615.00; FY 21 est \$38,487,427.00; FY 22 est \$42,000,000.00;

Range and Average of Financial Assistance:

\$3,000 to \$51,672 for a 2-year obligation; For fiscal year 2019 the average award was \$45,105.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

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REGULATIONS, GUIDELINES, AND LITERATURE:

Authorization is contained in Public Law 100-713, as amended by Public Law 102-573; Indian Health Service Educational Loan Repayment Program Information Bulletin; Notice of Availability of Funds, LRP website at www.ihs.gov/loanrepayment.

Regional or Local Office:

See Regional Assistance Locations. Program Contact: Ms. Jackie Santiago, Chief, Loan Repayment Program, Indian Health Service, 5600 Fishers Lane, Mail Stop: 11E53A, Rockville, MD 20857. Telephone: (301) 443-3396. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The IHS defined "Health Profession" to mean family medicine, internal medicine, pediatrics, geriatric medicine, obstetrics and gynecology, podiatric medicine, nursing, public health nursing, dentistry, psychiatry, osteopathy, optometry, pharmacy, psychology, public health, social work, marriage and family therapy, chiropractic medicine, environmental health and engineering, and allied professions. Other factors that will be employed to determine which applicant is selected for awards include: (a) An applicant's length of current employment in the IHS, tribal or urban program; (b) availability for service earlier than other applicants (first come, first served); and (c) date the completed application was received. Not all health professions receive funding. Please contact the program for more information.

93.165 Grants to States for Loan Repayment

State Loan Repayment Program (SLRP),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title III, Section 338I, 42 U.S.C. 254 q-1(h), as amended; Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10), , Section 2602 of the American Rescue Plan Act of 2021 (P.L.117-2),

OBJECTIVES:

To increase the availability of primary health care clinicians in Health Professional Shortage Areas (HPSAs) by assisting States in operating programs for the repayment of educational loans of health professionals in return for their practice in HPSAs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Funds under this grant must only be used to repay the qualifying educational loans of health professionals who have entered into a SLRP contract with the States.

Funds cannot be used for the following purposes: costs of administering the program, State matching funds, or any other purpose.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of

higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Eligible entities include the 50 States, the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, American Samoa, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Health Professional; Graduate Student; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Women; Applicants for State programs must have completed a course of study required to practice independently without supervision as one of the following health care professionals: Doctor of Allopathic Medicine or Osteopathic Medicine, General Practice Dentist (D.D.S. or D.M.D.), Pediatric Dentist, Primary Care Certified Nurse Practitioner, Certified Nurse-Midwife, Primary Care Registered Nurse, Pharmacist, Primary Care Physician Assistant, Registered Clinical Dental Hygienist, Health Service Psychologist (formerly Clinical or Counseling Psychologist (Ph.D. or equivalent), Licensed Clinical Social Worker (masters or doctoral degree in social work), Psychiatric Nurse Specialist, Mental Health Counselor, Licensed Professional Counselor (masters or doctoral degree with a major study in counseling), Marriage and Family Therapist (masters or doctoral degree with a major study in marriage and family therapy), Registered Nurse and Pharmacist. The primary care specialties approvable for physicians are: family medicine (and osteopathic general practice), internal medicine, including geriatricians, pediatrics, obstetrics/gynecology, and geriatrics and general psychiatry. General practitioners (physicians who have not completed residency training programs) are not eligible for funding under the SLRP. The primary care specialties approval for registered nurses, nurse practitioners and physician assistants are: adult, family, pediatrics, psychiatry/mental health, geriatrics, or womens health. Participants must provide full-time clinical service for a minimum of two years in a public or non-profit entity located in a federally designated HPSA. Refer to the NHSC SLRP Notice of Funding Opportunity for specific requirements.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Notification is made in writing by Notice of Award

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of application.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. States must agree to make available (directly or through donations from public or private entities) non-Federal contributions in cash toward SLRP contracts in an amount not less than \$1 for each \$1 of Federal funds provided in the grant. A State

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may not use any Federal funds or in-kind contributions to satisfy the non-Federal match requirement. A State must verify that contributions from sources other than State appropriated funds are non-Federal. States may use non-Federal funds in excess of the \$1 for \$1 minimum match to supplement the repayment of qualifying loans of health professionals. The Federal share must be used to repay the government and commercial loans of health professionals for tuition, educational expenses and living expenses described in Section 338B(g)(1) of the Public Health Service Act. States must assume administrative costs. For the FY 22 American Rescue Plan Act funding, new program flexibilities include: (1) A maximum of 10 percent of funding may be requested per year for administrative and management of the program; e.g. Project staff time, indirect costs, etc.; and (2) Cost-Sharing Requirement In the upcoming SLRP competition, States will not be required to demonstrate a \$1 for \$1 match for the federal funding received through the grant. However, States are encouraged to secure some level of funding match through public or private entities. The additional effort may assure funding sources to support the continuity of the States primary care workforce that may require a match beyond FY 2026. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 4-year project period. States are asked to commit the full amount of funding for their loan repayment awards to practitioners in the current fiscal year to avoid out-year commitments for additional Federal funds. Method of awarding/releasing assistance: Recipients drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$19,785,850.00; FY 21 est \$18,964,226.00; FY 22 est \$18,873,547.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

FY 20 awards (actual) ranged from \$68,000 to \$1,000,000, with an average award of \$ 460,136.00 FY 21 awards (actual) ranged from \$68,000 to \$1,000,000, with an average award of \$441,028.00 FY 22 Traditional awards (est.) ranged from \$68,000

to \$1,000,000, with an average award of \$438,919.70 FY22 (ARPA) awards (est.) range from \$1 to 1,000,000.00 with an anticipated average award of \$500,000.00

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Since its inception in 1972, the National Health Service Corps (NHSC) has worked to support qualified health care providers dedicated to working in underserved communities in urban, rural, and tribal areas. Across the nation, NHSC clinicians serve patients in HPSAs communities with limited access to health care. As of September 30, 2020, there were 6,815 primary care HPSAs, 5,632 dental HPSAs, and 4,929 mental health HPSAs. The NHSC seeks clinicians who demonstrate a commitment to serve the Nations medically underserved populations at NHSC-approved sites located in HPSAs. NHSC-approved sites provide care to individuals regardless of ability to pay; currently, there are over 16,400 NHSC-approved sites. Eligible sites include Federally Qualified Health Centers (FQHC) and FQHC Look-Alikes, American Indian and Native Alaska health clinics, rural health clinics, critical access hospitals and hospitals managed or owned by the Indian Health Service (IHS), school-based clinics, mobile units, free clinics, community mental health centers, state or local health departments, community outpatient facilities, federal facilities such as the Bureau of Prisons, U.S. Immigration and Customs Enforcement, IHS, and private practices. In particular, the NHSC has partnered closely with HRSA-supported FQHCs to help meet their staffing needs. Over 60 percent of NHSC clinicians serve in Health Centers around the nation, and 15 percent of clinical staff at FQHCs are NHSC clinicians. The NHSC also places clinicians in other community-based systems of care that serve underserved populations, targeting HPSAs of greatest need. As of September 30, 2020, there are more than 13,000 primary care medical, dental, and mental and behavioral health practitioners providing service nationwide.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Paula Gumbs, Division of National Health Service Corps, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 14N58, Rockville MD 20857
Email: pgumbs@hrsa.gov
Phone: (301) 443-7581

Website Address:

<https://nhsc.hrsa.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.547 Affordable Care Act – National Health Service Corps;

EXAMPLES OF FUNDED PROJECTS:

2020 SLRP FY 20 Total Field Strength is 2,146. This is a 9.6% increase from FY19 Field strength of 1,957.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support

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Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.172 Human Genome Research

Human Genome Project,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301, 461 and 487, as amended; Public Laws 78-410 and 99-158, 42 U.S.C. 241, as amended; 42 U.S.C. 285k; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.,

OBJECTIVES:

NHGRI supports the development of resources and technologies that will accelerate genome research and its application to human health and genomic medicine. A critical part of the NHGRI mission continues to be the study of the ethical, legal and social implications (ELSI) of genome research. NHGRI also supports the training and career development of investigators and the dissemination of genome information to the public and to health professionals. The Small Business Innovation Research (SBIR) program is used to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program is used to foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (with Formula Distribution), Training

USES AND USE RESTRICTIONS:

The research project grant is awarded to an eligible institution in the name of a principal investigator for a discrete project or group of related projects representing the investigator's interest and competence. Funds may be used for salaries and wages, equipment, supplies, travel and other costs required to carry out the research project. National Research Service Awards are made directly to individuals for research training in disciplines supporting the research areas. In addition, grants may be made to institutions to enable them to select individuals for National Research Service Awards. Each individual who receives a National Research Service Award is responsible for certain service and payback provisions. Small Business Innovation Research (SBIR) Program: SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. Responsibilities of grantees and restrictions on use of funds are set forth in the Public Health Service policy statement on grants for research projects, which is available on request from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health (NIH), 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax (301) 480- 0525. E-mail: asknih.od.nih.gov.

Applicant Eligibility:

Government - General; Interstate; Intrastate; State (includes District of Columbia,

public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Research Projects: Awards can be made to any public or private, for-profit or nonprofit university, college, hospital, laboratory, or other institution, including State and local units of government, qualifying small businesses (through the Small Business Innovation Research/STTR Programs, and to individuals. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its territories. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. Applicants to the Small Business Innovation Research/STTR Programs must meet special requirements for small businesses, as defined by the Small Business Administration. Non-federal public and private domestic organizations may apply for an Institutional National Research Service Award. Individual National Research Service awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Predoctoral awardees must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.O., D.V.M., Sc.D., E.Eng., or equivalent domestic or foreign degree). To be eligible for funding for any award, an application must be reviewed for scientific merit by a scientific review group and for program relevance by a national advisory council.

Beneficiary Eligibility:

U.S. Territories; Minority group; Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Engineer/Architect; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Local; Sponsored organization; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research can apply for research support.

Credentials/Documentation:

Each applicant for a research project must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. The applicant must have the expertise to carry out the project. Applications must submit an electronic grant application form SF424 which can be accessed from the funding opportunity announcement. For applicants for National Research Service Awards, the academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. The applicant institution must show the objectives, methodology, and resources for the research training program, the

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qualifications and experience of directing staff, the criteria to be used in selecting individuals for the award, and a detailed budget and justification for the grant funds requested. For-profit organizations, costs are determined in accordance with 48 CFR. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR 75. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SF424 applications are used for SBIR and STTR programs. SBIR and STTR applicant organizations must comply with the SBA's definition of a small business. In order to be eligible for a NRSA award, the individual must be a US citizen or permanent resident of the US. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Only application submitted in response to a funding opportunity announcement will be accepted. The standard application forms, as furnished in the Funding Opportunity Announcements at www.grants.gov, must be used for this program. This program is subject to the provisions of 45 CFR 75. The SBIR and STTR Solicitations may be obtained electronically through the NIH "Small business Funding Opportunities" homepage at: <https://sbir.nih.gov/>

Award Procedure:

All applications must respond to a funding opportunity announcement, must be reviewed for scientific merit by an appropriate initial review group, and must be considered by the National Advisory Council for Human Genome Research (NACHGR) for program relevance. Individual NRSA applications are not reviewed by council. All scored applications compete for available funds on the basis of scientific merit and program emphasis, and availability of funds.

Applications in response to a Request for Applications have council approved set aside funds, but awards are based primarily on the quality of the applications. Awards are issued throughout the year. All applications receiving a impact score ranging from the best (10) to worst (90) compete for the available funds on the basis of scientific and technical merit (SBIR/STTR applications must also demonstrate the potential for commercial application for SBIR/STTR applications), program relevance, and program balance among the areas of research. However, in reality, applications with impact scores of 30 or greater are rarely considered for funding.

Deadlines:

October 05, 2017 - October 05, 2020. Receipt dates for applications can be found at this URL:
<https://grants.nih.gov/grants/how-to-apply-application-guide/due-dates-and-submission-policies/due-dates.htm>. Requests for Applications and Program Announcements for Special Review or Set Aside are not submitted on standard due dates. The submission dates of these funding opportunity announcements can be found at:
<https://grants.nih.gov/funding/searchguide/index.html#/>.

Range of Approval/Disapproval Time:

Not Applicable. From submission to award of funds: Individual, project and institutional grants about 9 months; SBIR/STTR and AIDS projects about 6 months.

Appeals:

Other. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <https://nexus.od.nih.gov/all/2011/04/27/the-right-step-for-filing-an-appeal/>.

Renewals:

Not Applicable. Renewal grants are competitively awarded. This means that the PI must submit an application in response to a funding opportunity announcement, the application must undergo scientific and technical merit review and must be considered by a national advisory council before an award is made.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research and program projects are awarded for 2-5 years. Institutional training grants are awarded for 5 years. Individual fellowships and career development awards are from 2-5 years. For SBIR and STTR applications, normally Phase I awards are for 6 months and Phase II awards are or 2 years. Method of awarding/releasing assistance: letter

Reports:

Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. For more complex grants, more frequent written reports and/or onsite program reviews may be required. Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. For annual progress reports, information about past progress, publications and proposed research for the next year are reported. Depending on the complexity of the grant, a budget may also be required. The expenditure reports consists of whether there is 25% or more unspent funds on the grant. If so, the principal investigator is asked to explain why there is a large unobligated balance. The explanation is subject to staff review and action. Program Directors review the reports and contact the PIs for a verbal discussion that expands upon the written report. If problems are noted, the program director will note this in the report and talk with the PI about what can be done to ensure progress is satisfactory. For more complex grants, the Program Director may request the PI to provide more frequent accounting of progress and/or teleconferences. The Grants Management Specialist/Officer reviews applications for the appropriateness of the budget requested.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0891-0-1-552.

Obligations:

Project Grants FY 20 \$302,856,860.00; FY 21 est \$294,282,000.00; FY 22 est \$309,175,000.00; Project Grants (with Formula Distribution) FY 20 \$134,320,703.00; FY 21 est \$141,222,000.00; FY 22 est \$147,810,000.00; Training FY 20 \$11,949,497.00; FY 21 est \$12,384,000.00; FY 22 est \$13,120,000.00;

Range and Average of Financial Assistance:

For Research project grants the range is from \$26,000 - \$19,996,553.

TAFS Codes:

75-0891.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Clinical Sequencing Evidence-Generating Research (CSER) Program A key feature of CSER was integrated and coordinated efforts to address challenges and opportunities in targeted areas, implemented largely through Working Groups: Actionability-Return of Results, Electronic Health Records, Genetic Counseling, Informed Consent and Governance, Outcomes and Measures, Pediatrics, Practitioner Education, Sequencing Standards, and Tumor. At individual sites and across CSER, investigators have produced over 340 papers describing challenges and opportunities across the spectrum of clinical sequencing and its implementation. Findings are also disseminated through talks and plenary sessions at major national meetings, such as the ASHG, ACMG, NSGC, ASCO, AACR, and ASBH annual meetings. These efforts have culminated in numerous scientific advances, including models for genomics-oriented informed consent tailored to the care setting, recommendations to improve the consistency of genomic variant interpretation, and approaches to the disclosure of primary pediatric and tumor findings and of secondary findings more broadly. Collaborating with the Electronic Medical Records and Genomics (eMERGE) network, CSER identified barriers and

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recommended approaches to incorporating genomic information in electronic health records. Valuable CSER products also included contributions to and leadership on three sets of ACMG recommendations (relating to secondary findings, variant interpretation, and clinical laboratory standards), case studies and single site publications, methodological and tool development, and collaborations with other consortia. CSER research products are available at: <https://cser-consortium.org/cser-research-materials>. The second phase of CSER is building on work produced in the first phase to rigorously assess the clinical utility of genome sequencing and to support the integration of genomic, clinical, and healthcare utilization data in real-world healthcare systems to inform clinical decision making. In February 2017, NHGRI Council reviewed a funding plan for 6 CSER sites to be funded under RFA-HG-16-011, and a Coordinating Center to be funded under RFA-HG-16-012, and awards were made in August 2017. Across the CSER sites, approximately 8,000 participants, at least 60% of whom will be from racial or ethnic minority or medically underserved populations, will be recruited. Crucial and complementary to these efforts is a continuing focus on ELSI research across the aims of each funded project and a dedicated focus on engaging stakeholders such as patients and parents of pediatric patients, clinicians, community members, patient advocates, health system leadership, and payers. The CSER sites and their goals are described further in the September 2018 marker paper (Amendola, et al. AJHG, PMID 30193136). Goals of the consortium include assessing the clinical utility of genomic sequencing, exploring medical follow up and cascade testing of relatives, and evaluating patient-provider-laboratory level interactions that influence the use of this technology. Five of the 6 extramural sites are focused on pediatric populations. Recruitment is underway at all clinical sites, and 8 Working Groups are developing ideas for manuscripts to leverage cross-CSER data. Having just begun its fourth project year, CSER has developed a set of harmonized baseline and follow-up measures for study participants and providers, a decliner survey, and a survey of health system experts (<https://cser-consortium.org/cser-research-materials>). These measures were chosen to align with a comprehensive framework for genomic medicine integrative research (Horowitz, et al. AJHG, PMID 31104772). Bruce Korf (UAB/SouthSeq study) created a CSER schema that outlines the workflow and major research questions surrounding the clinical application of genome sequencing. This schema is being used to categorize CSER publications according to which part of the workflow they address. Participant recruitment and follow-up, establishment of a consortium-wide data sharing platform, and data collection for CSER-wide manuscripts are in process. Ultimately, the findings from the CSER consortium will offer patients, healthcare systems, and policymakers a clearer understanding of the opportunities and challenges of providing genomic medicine in diverse populations and settings, and contribute evidence toward developing best practices for the delivery of clinically useful and cost-effective genomic sequencing in diverse healthcare settings. The NHGRI Genomic Data Science Analysis, Visualization, and Informatics Lab-Space (AnVIL) Since its soft launch in June 2019, AnVIL has publicly released high-value datasets, such as GTEx version 8 and the high-coverage 1000 Genomes data, and currently holds data from the NHGRI Genome Sequencing Program (GSP) and the Electronic Medical Records and Genomics (eMERGE) for access by GSP consortium members. Key analysis tools and packages such as Jupyter Notebooks, RStudio, and Bioconductor have also been made available in the users workspaces. To get objective advice on technical achievements and future milestones, the AnVIL team has convened two meetings with the projects External Consultant Committee (ECC). As a training event for researchers of the Centers for Common Disease Genomics (CCDGs) and Centers for Mendelian Genomics (CMGs) programs, the AnVIL Outreach working group held the Massive Genome Informatics in the Cloud (MaGIC) Jamboree on June 10 11, 2020 an event to introduce consortium researchers to the available data, tools, workflows, training materials, and support channels on AnVIL. AnVIL is also a component of the emerging NIH federated data resource ecosystem and is expected to collaborate and integrate with other genomic data resources through the adoption of the FAIR (Findable, Accessible, Interoperable, Reusable) principles, as their specifications emerge from the genomics community. AnVIL program staff have a major role in current trans-NIH activities to facilitate interoperability and maintain communication among NIH cloud-based genomic resources, such as the NCI Cancer Research Data Commons, the NHLBI BioData Catalyst, and the Gabriella Miller Kids First Pediatric Research Program. The AnVIL program team leads and coordinates the cross-platform interoperability projects and workshops with key personnel of these resources, under the project name NIH Cloud Platforms Interoperability (NCPI). Within one year since the project began, the AnVIL team

has hosted a virtual, project-wide conference for the NCPI working groups to share their progress and collaborate. Additionally, the AnVIL project team led a Train Your Colleague workshop, a cross-training event where users and developers of the NCPI project learned about each of the four platforms.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure and other miscellaneous program literature are available from Headquarters Office. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant applications.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Extramural Operations Bettie J. Graham, Ph.D,
Room 31866700B Rockledge Drive Bethesda, Md. 20892,

Bethesda MD 20892

Email: grahambj@exchange.nih.gov

Phone: 301-496-7531

Fax:

Website Address:

<http://www.genome.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed project; and (5) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget request for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.173 Research Related to Deafness and Communication Disorders

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,

ASSISTANCE LISTINGS DESCRIPTIONS

DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301, 464 A-F, and 487, as amended; 42 U.S.C. 241, 285m, 285m-3, and 288; Public Law 100-553; 102 Stat. 2769; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.,

OBJECTIVES:

To investigate solutions to problems directly relevant to individuals with deafness or disorders of human communication in the areas of hearing, balance, smell, taste, voice, speech, and language. The National Institute on Deafness and Other Communication Disorders (NIDCD) supports research and research training, including investigation into the etiology, pathology, detection, treatment, and prevention of disorders of hearing and other communication processes, primarily through the support of basic and applied research in anatomy, audiology, biochemistry, bioengineering, epidemiology, genetics, immunology, microbiology, molecular biology, the neurosciences, otolaryngology, psychology, pharmacology, physiology, psychophysics, speech-language pathology, and other scientific disciplines. The NIDCD supports: (1) Research into the evaluation of techniques and devices used in diagnosis, treatment, rehabilitation, and prevention of disorders of hearing and other communication processes; (2) research into prevention and early detection and diagnosis of hearing loss and speech, voice, and language disorders and research into preventing the effects of such disorders by means of appropriate referral and rehabilitation; (3) research into the detection, treatment, and prevention of disorders of hearing and other communication processes in the elderly population and its rehabilitation to ensure continued effective communication skills; and (4) research to expand knowledge of the effects of environmental agents that influence hearing or other communication processes. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project Grants and Centers Grants may be used to provide salaries, equipment, supplies, travel, and other expenses for research. The grantee institution is obliged to expend grant funds prudently for the purposes as stated in the application and award document. Some National Research Service Awards (NRSAs) are issued on behalf of an individual for research training in specified biomedical and behavioral research areas. Other training grants are made to institutions to enable them to make NRSAs to individuals selected by them. Each postdoctoral individual who receives an NRSA may be obligated, upon termination of the award, to comply with certain service and payback provisions. Mentored and unmentored career development awards are made to enhance the independent research capability of highly promising individuals during the formative stages of their careers. Small Business Innovation Research (SBIR) Program: Phase I grants, typically 6 months in duration, are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research efforts initiated in Phase I and that are likely to result in commercial products or processes. STTR Phase I grants, normally of 1-year duration, are to determine the scientific, technical, and feasibility of the proposed cooperative effort. Phase II funding is based on scientific and technical merit and commercial potential of the application. Grant funds may be expended only for the purpose stated in the application and award document.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health

professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Project Grants and Centers Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for institutional National Research Service Awards. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research and/or research training environment and for significance of the problem. Approved proposals compete for available funds. Awardees of almost all Research Career Development Programs must be citizens or have been admitted to the United States for permanent residence.

Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or Territories. To be eligible, postdoctoral NRSA trainees and fellows must have a professional or scientific doctoral degree (PhD, MD, DO, DC, DDS, DVM, OD, DPM, ScD, EngD, Dr PHPhD, MD, DO, DC, DDS, DVM, OD, DPM, ScD, EngD, Dr PH, DNSc, ND {Doctor of Naturopathy}, PharmD, DSW, PsyD, AUD or equivalent doctoral degree from an accredited domestic or foreign institution). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Health professionals; student/trainee; scientists/researchers; consumer.

Credentials/Documentation:

Project Grants are awarded to an institution in the name of an individual investigator. Centers Grants are also awarded to an institution in the name of an investigator designated as the Program Director. Persons qualified to carry out research related to the NIDCD grant programs described above may apply for funds through their institution to support their investigations. Mentored career development must be conducted under the direction of a competent sponsor. A candidate for a Career Award must have an earned M.D., Ph.D. or equivalent degree and have had prior research experience. National Research Service Awards: (1) Individual NRSA Fellowship Awards for predoctoral and postdoctoral training: The candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Training Grants for predoctoral and postdoctoral training: The applicant institution must show the objectives, design and resources for the research training program; the qualifications and experience of directing staff and training preceptors; the criteria to be used in selecting individuals for stipend support; and a detailed, justified budget for the numbers and type of trainee positions requested. The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix 1X (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement for further guidance on the applicability of cost principles (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under

ASSISTANCE LISTINGS DESCRIPTIONS

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 "Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards." The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Award Procedure:

Research grant, career development, and research training program applications are reviewed initially by technical panels composed of scientific authorities, and by the National Deafness and Other Communication Disorders Advisory Council composed of 18 leaders in medicine, science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Research Grants and Centers Grants: Approximately 6 to 9 months. Career Program: From 6 to 9 months. SBIR/STTR applications: About 6 to 9 months. Institutional Training Grants: From 10 to 12 months. Individual Fellowships: From 4 to 6 months.

Appeals:

Other. A principal investigator (PI) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedure is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>. Final decisions on unresolved appeals are made with the advice of the National Deafness and Other Communication Disorders Advisory Council.

Renewals:

Other. By application and review in the same manner as new applications. Research career awards are not renewable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research Grant awards are made for a 12-month period with recommendation of up to 4 years of additional support. Center Grant awards support may be for a period not to exceed 5 years. Career development awards provide support for up to 5 years. NRSA fellowship and traineeship awards are usually for a 12-month period, with recommendation of additional support of no more than 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR Phase I awards are generally for 6 months; STTR Phase I awards are generally for 1 year. Method of awarding/releasing assistance: other

Reports:

The grantee organization submits quarterly Federal Financial Reports (SF-425). A progress report is required yearly for each grant. A financial status report is due either annually or at the end of the project period, depending upon the type of grant. Performance monitoring is covered in the annual progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0890-0-1-552.

Obligations:

Project Grants FY 20 \$402,067,000.00; FY 21 est \$402,988,000.00; FY 22 est \$415,877,000.00;

Range and Average of Financial Assistance:

Project Grants: FY20 - Ranged from \$100 to \$2,870,000; Average was \$371,250

TAFS Codes:

75-0890.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: A total of 1,083 awards were made. Of these, 302 competitive awards were made from 1,035 applications, a ratio of 29%. Of these, 781 noncompetitive continuation awards were made. Fiscal Year 2021: It is estimated that a total of 1,054 awards will be made. Of these, 266 competitive awards will be made from 1066 applications, a ratio of 25%. Of these, 788 noncompetitive continuation awards will be made. Fiscal Year 2022: It is estimated that a total of 1060 awards will be made. Of these, 265 competitive awards will be made from 1,098 applications, a ratio of 24%. Of these, 795 noncompetitive continuation awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants and Centers Grants: 42 CFR 52 or 52a; 42 CFR 66; 45 CFR 75; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Extramural Support Specialist Nichelle Johnson-Brown,
6001 Executive Blvd., Suite 8300, Rm 8326MSC 9670,
Bethesda MD 20892-9670

Email: johnsonni@nidcd.nih.gov

Phone: 301.451.8535

Fax: 301.451.5419

Website Address:

<http://www.nidcd.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the environment and available resources for the proposed project; (5) the necessity of budget components requested in relation to the proposed project; (6) the relevance and importance to announced program objectives; (7) innovation; (8) risk to human subjects, including inclusion of minorities, inclusion across the lifespan, and animal protection. The following additional criteria will be used in considering the scientific and technical merit of SBIR/STTR grant applications: (1) The technological innovation of the proposed research; (2) the potential of the proposed research for commercial application.

93.178 Nursing Workforce Diversity

Nursing Workforce Diversity (NWD) Nursing Workforce Diversity: Eldercare Enhancement (NWD-E2),

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FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 821 of the Public Health Service Act (42 U.S.C. 296m).

OBJECTIVES:

NWD: Supports projects that increase nursing education opportunities for individuals who are from disadvantaged backgrounds, including racial and ethnic minorities that are underrepresented among registered nurses. Eldercare Enhancement (E2): supports projects that provide enhanced eldercare education and training opportunities to nursing students from disadvantaged backgrounds, including racial and ethnic minorities underrepresented among registered nurses.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends or scholarships; and other expenses related to the project.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are collegiate schools of nursing, nursing centers, academic health centers, State or local governments, and other private or public entities accredited by a recognized body or bodies or state agency, approved for the purpose of nursing education by the Secretary of Education. In addition to schools in the 50 states, only those in the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau are eligible to apply. Federally Recognized Indian Tribal Government and Native American organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; Health Professional; Student/Trainee; U.S. Citizen; Education (13+); Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Accredited public and nonprofit private schools of nursing and other public or nonprofit private entities. Project participants must be in an accredited program, a citizen of the United States, a non-citizen national of the United States or a foreign national who possesses a visa permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible participants and may not receive NWD grant support.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award..

Length and Time Phasing of Assistance:

The NWD funding opportunity provides support for a 4-year project period. The NWD-E2 funding opportunity provides support for a 2-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS); the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSAs Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$16,011,857.00; FY 21 est \$15,911,720.00; FY 22 est \$23,343,000.00; Project Grants FY 20 \$975,976.00; FY 21 est \$2,250,956.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY20 Range: \$95,679-\$495,750; Average award: \$470,937FY21 est Range: \$252,706-\$555,000; Average award: \$496,875FY22 est Range: est. \$384,822-

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\$555,000; Average award: \$5530,098NWD-E2:FY20 Range: \$487,673-\$488,303; Average award: \$487,988FY21 est Range: \$402,485-\$499,995; Average award: \$474,842

TAFS Codes:

03-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2019-2020, the NWD Program supported 66 college-level degree programs as well as 157 training programs and activities designed to recruit and retain health professions students. These programs trained 11,620 students, including 5,027 students who graduated or completed their programs. Degree programs had 5,888 enrolled students and academic support programs had 5,732 participants this academic year. In addition to providing support to students, NWD grantees partnered with 963 training sites during the academic year to provide 10,811 clinical training experiences to trainees across all programs. Approximately 43 percent of training sites were located in medically underserved communities and 35 percent were in primary care settings.NWD-E2: No data available

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75.HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Tara D. Spencer, Project Officer, Division of Nursing and Public Health (NWD)
LT Renee Nwankwo,
5600 Fishers Lane, Rm. 11N100,
Rockville MD 20857
Email: RNwankwo@hrsa.gov
Phone: 301-443-1587
(NWD) and (NWD-E2) Aja Williams,
5600 Fishers Lane Rm. 11N94B,
Rockville MD 20857
Email: AWilliams4@hrsa.gov
Phone: 301-945-9658
Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.417 ARRA - Nursing Workforce Diversity;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.184 Disabilities Prevention

(Disability and Health).

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order This program is authorized under Section 317C [42 U.S.C. 247b-4] of the Public Health Service Act., Title 42, Part 241(a), Section 301(a), Public Health Service Act, Title 42, Part 241(a), Section 301(a), Public Law USC-42 U.S.C. 247(b)

OBJECTIVES:

(1) Support National Centers on Disability to develop, implement, evaluate, and disseminate non-research activities aimed at reducing health disparities and improving the health of people with mobility limitations and/or intellectual disabilities (ID) across their lifespans, and (2) Develop and strengthen the capacity of state-based disability and health programs to improve the health and quality of life among people with disabilities through adaptation and implementation of evidence-based strategies in states, U.S. territories, and tribal governments.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

In April, 2016 CDC issued new competitive awards to two National Centers on Health Promotion for People with Disabilities. New competitive awards were also issued to 19 State-based Disability and Health programs in July 2016. In subsequent years, CDC issues continuation awards to the two National Centers on Health Promotion for People with Disabilities and the 19 State-based Disability and Health cooperative agreements. In addition, supplemental funds were given to one National Center (Special Olympics) and six Disability and Health States (Arkansas, Massachusetts, New Hampshire, New York, Oregon and South Carolina) to supplement existing programmatic activities. National Centers on Disability: These cooperative agreements are providing financial assistance to: (1) improve knowledge and awareness about the usefulness and effectiveness of health promotion programs and policy, systems and environmental improvements for people with mobility limitations and/or intellectual disabilities, (2) develop, implement, evaluate, and disseminate multi-level strategies (e.g., programmatic, policy, systems and environmental changes) that improve the health and quality of life of people with mobility limitations and/or intellectual disabilities, (3) implement the Healthy Athletes Program for people with ID, and (4) collaborate with and support states and academic centers to improve the health of people with mobility limitations and/or intellectual disabilities. State-based Disability and Health Programs: These cooperative agreements are providing financial assistance to develop and strengthen internal capacity and health-related disability programs to (1) improve knowledge and awareness about the usefulness and effectiveness of programmatic, policy, systems and environmental changes for people with select functional disability types (i.e., mobility limitations and/or Intellectual Disabilities, and (2) support states to plan, implement, evaluate, and disseminate non-research activities aimed at promoting inclusion and accessibility and reducing health disparities between people with and without disabilities. In these cases, project funds may not be used to supplant State, local, or institutional funds available for these activities, or for construction costs, or to purchase facilities or space. Grantees may enter into contracts as necessary to help achieve the objectives of their respective programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Based on available funding for fiscal year 2016, CDC issued competitive awards to two National Centers on Disability and 19 State-based Disability and Health Programs. Eligibility for the National Centers on Disability included: Public and State controlled institutions of higher education; Native American tribal organizations (other than Federally recognized tribal governments); Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education; Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education; and Private colleges and universities. Eligibility for the State-based Disability and Health Programs included: State governments; Native American tribal governments (Federally recognized); and Government Organizations (States, including the District of Columbia, Territorial

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governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; In addition to the eligible applicants, other groups who will receive benefits from the program include persons with disabilities and their family members of persons with disabilities, persons with limb loss, minority populations, refugees, infants, children, youth, adults, senior citizens, women, all educational levels, all income levels, urban, suburban, and rural populations, health/rehabilitation professionals, scientists, educators, and researchers.

Credentials/Documentation:

State disability and health and National Center grantees documented in their fiscal year 2016 applications the need for assistance, indicated the objectives of their projects, presented their approaches to meet set objectives, and outlined the method of operation. Grantees funded in subsequent fiscal years followed the criteria set forth in the respective Funding Opportunity Announcements. These National Centers and State disability and health grantees documented in their continuing applications the need for assistance, indicated the objectives of their projects, and presented their approaches to meet set objectives. For nonprofit recipients, costs will be determined in accordance with HHS Regulation 45 CFR 74. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The guidelines will indicate whether or not an application is subject to Executive Order 12372. If the application is subject for review per State Executive Order 12372, please follow these instructions: 1. The SPOC should be contacted as early as possible to receive any necessary instructions on the State process. If the project serves more than one State, the department should contact the SPOC of each affected State. 2. The necessary information should be mailed to the SPOC. 3. Please provide a copy of the cover letter sent to the SPOC to Grants & Contracts along with the hard copy application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the Grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website. This program is subject to the provisions set forth in 45 CFR 92, for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

The National Centers on Disability and the State-based Disability and Health Program competitive awards were determined by an internal objective review process at CDC. Research project grant competitive awards are determined by an external peer review. At such times, awards will be based on evaluation criteria set forth in the respective Program Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC. The awards for National Centers on Disability and the State-based Disability and Health Programs were both issued for a five year project period. The Notices of Grant Award indicate financial support for the first budget year, allocations of Federal funds by budget category, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Between 60 to 95 days from the deadline date for submission of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Two National Centers on Disability and 19 State-based Disability and Health Programs received financial assistance in fiscal year 2016 for five year project periods. Other future solicitations (when announced) will indicate the length of the project periods and program requirements for each of these programs. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: letter

Reports:

Annual progress reports are required. Federal financial reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Annual progress reports are required. Federal financial reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Cash reports are not applicable. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$22,249,854.00; FY 21 est \$24,677,530.00; FY 22 est \$24,677,530.00;

Range and Average of Financial Assistance:

National Centers on Disability: FY20 and 21 funding range is \$4,142,429 to \$11,882,425. State-based Disability and Health Programs: FY20 and 21 funding range is \$165,000 to \$440,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Renee Stein, PhD,
1600 Clifton Rd., MS-88,
Atlanta GA 30333
Email: arf7@cdc.gov
Phone: 404-639-3517

Website Address:

<http://www.cdc.gov/ncbddd/disabilityandhealth/index.html>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 93.667 Social Services Block Grant; 93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.136 Injury Prevention and Control Research and State and Community Based Programs; 96.001 Social Security_Disability Insurance; 64.116 Vocational Rehabilitation for Disabled Veterans; 64.109 Veterans Compensation for Service-Connected Disability; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

There are no new projects anticipated for fiscal year 2019 or 2020. However, if future funding opportunities become available, applications will be reviewed based on evaluation criteria explicit in the Program Announcements. Applications must address demonstrated program need, the magnitude of the problem, the management work plan, collaborative associations, and the approach toward setting and meeting overall project objectives and time frames.

93.185 Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended.

OBJECTIVES:

To assist states, political subdivisions of states, and other public and private nonprofit entities to conduct research, demonstration projects, and provide public information on vaccine-preventable diseases and conditions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with organizing and conducting these projects, and in certain circumstances, for purchasing vaccine. Requests for direct assistance (i.e., "in lieu of cash") for personnel, vaccines, and other forms of direct assistance will be considered. Funds may not be used to supplant existing immunization program activities.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other public institution/organization; Under Section

317(k) of the Public Health Service Act: States, political subdivision of states, and other public and private nonprofit entities.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Under Section 317(k) of the Public Health Service Act: States, political subdivisions of states, and other public and private nonprofit entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity, applicants must download application forms and applications must be submitted electronically at www.Grants.gov. The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for state and local governmental agencies, and by OMB Circular No. A-110 for nongovernmental applicants. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office of Grant Services Technical Information Management Section (OGS-TIMS) staff. For this, or further assistance, contact OGS-TIMS: telephone (770) 488-2700, email: OGSTIMS@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Office of Grant Services (OGS). The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and can be emailed to the program director and recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Project periods are for one to five years with 12-month renewals. Applications must be obtained from Grants Management Officer, Office of Grant Services, Centers for Disease Control and Prevention. Telephone: 770-488-2716.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period: Variable. Budget period: 12 months unless otherwise specified. Method of awarding/releasing assistance: other

Reports:

Subject to OMB clearance, quarterly narrative progress reports (including

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appropriate statistical data) may be required 30 days after the end of each quarter. Narratives should address progress or lack of progress in achieving project objectives, including methods being used to resolve problems. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Cash reports are not applicable. Progress reports may be applicable. Progress reports may be required. This will be identified in the Funding Opportunity Announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$290,702,169.00; FY 21 est \$208,838,827.00; FY 22 est \$152,108,523.00;

Range and Average of Financial Assistance:

Varies

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Yvette Valentine,
1600 Clifton Rd,
Atlanta GA 30333
Email: ybt0@cdc.gov
Phone: 4046394469
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.268 Immunization Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.186 National Research Service Award in Primary Care Medicine

Ruth L. Kirschstein National Research Service Award Institutional Research Training Grant (NRSA)(T32),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 487 of the Public Health Service Act (42 U.S.C 288), as amended under Federal Regulations 42 CFR 66,

OBJECTIVES:

The purpose of the Ruth L. Kirschstein National Research Service Award (NRSA) program is to train and provide support to postdoctoral health care professionals who are planning to pursue careers in biomedical and behavioral health research related to primary care. The NRSA Institutional Research Training Grants administered by HRSA are awarded to eligible institutions to develop or enhance postdoctoral research training opportunities for individuals who are planning to pursue careers in primary care research. The NRSA Grants administered by HRSA are awarded to eligible institutions to develop or enhance postdoctoral research training opportunities for individuals who are planning to pursue careers in primary care research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

NRSA grants are made to approved institutions to enable them to provide up to five years of fellowship support to qualified postdoctoral individuals undertaking primary care research training. Each NRSA trainee is obligated to comply with federal service and payback provisions. Trainees in the first 12 months of postdoctoral support incur one month of service payback obligation for each month of support. Postdoctoral trainees in the 13th and subsequent months of NRSA support do not incur any further service obligation. In addition, the 13th and subsequent months of postdoctoral training serve to discharge the NRSA payback obligation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are those entities that have received a grant under Title VII, sections 736, 739, or 747 of the Public Health Service (PHS) Act designed to prepare the primary health care workforce. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Health Professional; Public nonprofit institution/organization; Individuals and public or private nonprofit organizations or institutions, including state or local governments and U.S. Territories. Participants must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible. Postdoctoral trainees must have received, as of the beginning date of the NRSA appointment, a Ph.D., M.D., D.O., D.D.S., D.M.D., or comparable doctoral degree from an accredited domestic or foreign institution.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications that must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

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2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. No individual trainee may receive more than three years of aggregate National Research Service Award support at the post-doctoral level, including any combination of support from institutional and individual awards. Method of awarding/releasing assistance: recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. Awardee institution project directors are required to complete quarterly conference calls with their project officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3- year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$8,287,394.00; FY 21 est \$8,700,612.00; FY 22 est \$8,700,612.00;

Range and Average of Financial Assistance:

The range of awards in FY 2020 is \$177,870 to \$446,451. The average award is \$414,369. The range of awards in FY 2021 is \$260,7660 to 500,000. The average award is \$457,927 (est).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2019-2020, 119 individuals received NRSA-funded training and financial support. Approximately 8.4% of NRSA trainees reported coming from financially and/or educationally disadvantaged backgrounds, and 16.8% were underrepresented minorities. The majority (99) of trainees were medicine or public health fellows. Faculty and fellows developed or enhanced 123 courses to 429 individuals. The majority of program completers intended to conduct research (94%), practice in primary care (45%), and/or teach (57%).

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Svetlana Cicale, MPH. Project Officer. Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 15N130D, Rockville MD 20857
Email: scicale@hrsa.gov
Phone: (301) 443-7271
Fax: 301-443-8890

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.225 National Research Service Awards_Health Services Research Training;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed

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by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds

NIH Undergraduate Scholarship Program (UGSP).

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487D

OBJECTIVES:

To provide service-conditioned scholarships to individuals from disadvantaged backgrounds who agree to pursue undergraduate education at accredited institutions. Individuals must pursue a course of academic study which prepares them for professions needed by the National Institutes of Health (NIH). NIH expects to facilitate interest of these individuals in pursuing careers in the biomedical/biobehavioral sciences at the NIH.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program will provide scholarships to individuals who have completed their first academic term of studies at an accredited 4-year undergraduate institution of higher education. Recipients must be full-time students and who are from disadvantaged backgrounds. Each award recipient must agree by written contract to serve as a full-time NIH employee for not less than 10 consecutive weeks during the summer immediately following the year of the award. No later than 60 days after obtaining a qualified educational degree, each recipient must begin serving as a full-time NIH employee for one year in exchange for each year of NIH scholarship support (a deferment of the service obligation may be obtained if the Director, NIH, determines that such is appropriate). Applicants must submit a proposed academic program for each academic year and agree that this program will not be altered without prior approval. The applicant must be in good academic (maintain a minimum GPA of 3.3) standing with their educational institution for continuation under the scholarship program. Maximum scholarship per academic year is \$20,000. Scholarship funds may only be used for tuition expenses and qualified educational and living expenses incurred while attending school.

Applicant Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; An eligible applicant must be (1) A U.S. citizen, national, or permanent resident; (2) enrolled or accepted for enrollment as a full-time student at an accredited undergraduate institution (4 year school) of higher education; (3) from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) in good academic standing (minimum GPA of 3.3 or be in the top 5 percent) with his/her educational institution; and (5) submit an application to participate in the Undergraduate Scholarship (UGS) Program; (6) agree to serve as a full-time NIH employee for not less than 10 consecutive weeks of each year during which the individual is attending the educational institution and receiving a scholarship; (7) agree to serve as a full-time NIH employee for 12 months for each academic year during which the scholarship was provided, not later than 60 days after obtaining his or her academic degree, unless a service deferment is granted.

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Student/Trainee; U.S. Citizen; Low Income; Education (13+); Undergraduate students from disadvantaged backgrounds pursuing academic programs supporting professions needed by the NIH.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Verification of enrollment or acceptance to a 4-year accredited institution of higher education (4 year school); (2) a proposed academic program for the school year; (3) certification from the academic institution that the applicant is in good academic standing (minimum GPA of 3.3, or be in the top 5 percent); (4) certification from the academic institution that the applicant qualifies for participation in an educational assistance program for individuals from disadvantaged backgrounds as defined by the Secretary of Health and Human Services; and (5) other documentation as may be required by law, statute, or regulation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Candidates for the award must meet the minimum eligibility requirements to be considered for award.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application, a signed contract, and other required information (as listed in the application packet) are submitted to the Program office. Once an application package is received by the administering Program Office, preliminary eligibility will be determined and highly qualified applicants will be interviewed. Final determination of awards will be made upon review by the Undergraduate Scholarship Program Scientific Review Committee at a regularly scheduled meeting.

Award Procedure:

Priority will be given to applicants who are prior recipients. The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications submitted for program participation. Criteria which determine competitive ranking for awards may include: (1) career goal in biomedical/biobehavioral research; (2) academic performance; and (3) letters of recommendation. A student's financial need alone does not affect selection. Applicants approved for award will be notified by the Program Director. The Secretary, and his/her designee will sign the service contract of approved applicants, provide a copy to the participant and under graduate institution notice of approved award amount. Scholarship payments, including room and board, books, fees and transportation are made to the college or university for dispersal.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. The approximate time for approval/disapproval is 3 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Annual renewal applications are required to extend the award. Extensions of the scholarship awards are subject to availability of funds, acceptable academic and laboratory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Each award covers 1 academic year, and may be extended for a maximum of 4 years. No awardee may receive more than 4 years of support. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant

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records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

Direct Payments for Specified Use FY 20 \$4,216,292.00; FY 21 est \$4,299,995.00; FY 22 est \$4,400,000.00;

Range and Average of Financial Assistance:

Scholarship awards over the past seven years have ranged from \$2,000-\$20,000, with the average award amount of \$9,000.

TAFS Codes:

75-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Contact: Darryl Murray, 301-594-2222, murrayda@mail.nih.gov

Headquarters Office:

Dr. Darryl Murray,
Building 2, Room 2E20Two Center Drive,
Bethesda MD 20892

Email: murrayda@mail.nih.gov

Phone: 301-594-2222

Website Address:

<https://www.training.nih.gov/programs/ugsp>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program; 93.972 Health Professions Scholarship Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications based on career goals and academic performance. Priority will be given to applicants who have demonstrated good academic performance, demonstrated a career goal to pursue biomedical/biobehavioral research, and have characteristics which support the likelihood they will complete their service obligations.

93.191 Graduate Psychology Education

Graduate Psychology Education (GPE) Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 750 and 756 (a)(2) of the Public Health Service Act (USC 42 USC 294; 294e-1).

OBJECTIVES:

The Graduate Psychology Education Program supports training of doctoral health psychology students, interns, and post-doctoral residents to provide integrated, interdisciplinary, behavioral health and Opioid Use Disorder (OUD) and other Substance Use Disorder (SUD) prevention and treatment services in high need and high demand areas. The program also supports faculty development of health service psychologists. The overarching goal of the program is to prepare and build capacity of the doctoral health psychology workforce, to provide mental/behavioral health care, including OUD and other SUD prevention and treatment services, in high need and high demand areas. Grants will be awarded to assist eligible entities in meeting the costs to develop, operate, and maintain graduate psychology doctoral programs, internships, and post-doctoral residency programs in health psychology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible entities are required to provide a budget, with narrative, that includes no less than sixty (60) percent of a recipients overall requested budget (direct and indirect costs) dedicated and distributed as stipends to trainees in practice, internships, and residencies. Up to forty (40) percent of funding may be dedicated to (1) development or enhancement of didactic and experiential training activities in integrated and team-based care; (2) financial support for the supervision of students, interns, residents and other allowable trainee-related expenses; (3) program administration and management; and (4) data collection. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public or private nonprofit schools, universities, or other educational entities which provide for graduate psychology education and training or other public or private nonprofit entities capable, as determined by the Secretary, of carrying out the objectives of the project. Eligible entities are American Psychological Association (APA)-accredited doctoral-level schools and programs of health service psychology, APA-accredited doctoral internships in professional psychology, and APA-accredited post-doctoral residency programs in practice psychology. Federally Recognized Indian Tribal Government and Native American Organizations may apply if otherwise eligible. The eligible entity must demonstrate that the training within an APA accredited psychology training school or program, internship, and post-doctoral residency program will occur in collaboration with two or more health disciplines other than psychology.

Beneficiary Eligibility:

Individual/Family; Minority group; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Doctoral students, doctoral interns, and post-doctoral residents receiving a stipend in the GPE Program must be in an APA-accredited program, a citizen of the United States, a non-citizen national of the United States, or a foreign national who possesses a visa permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible participants.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120-180 days after receipt of applications.

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Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award..

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 3-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$18,000,000.00; FY 21 est \$19,000,000.00; FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

FY20: est Range: \$47,213-\$450,000; Average award: \$450,000; FY21: est Range: \$112,104-\$450,000; Average award: \$366,434; FY22: est Range: \$0-\$000; Average award: \$00

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2018-2019, the GPE Program provided stipend support to 267 students participating in practica, internships, or post-doctoral residency programs in health psychology. The majority of students who received a stipend were trained in medically underserved communities (96 percent)

and/or a primary care setting (87 percent). The GPE students provided 136,678 patients care hours in a primary care setting, 199,989 in a medically underserved community and 30,372 in a rural area. In Academic Year 2019-2020, the GPE Program trained and provided stipends to 251 students participating in practica, internships, or post-doctoral residency programs in health psychology that focused on integrated, interdisciplinary, behavioral health and Opioid Use Disorder (OUD) and other Substance Use Disorder (SUD) prevention and treatment services in high need and high demand areas. Of the 251 GPE students trained, 33% were trained in medically underserved communities, 32% were trained in a primary care setting, and 15% were trained in a rural setting. Lastly, 3,920 faculty received training in the provision of OUD and other SUD.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Public Health Analyst, Behavioral and Public Health Branch, Divi L.T. Candice Karber, Project Officer, Behavioral and Public Health Branch, Division of Nursing and Public Health,
5600 Fishers Lane Parklawn Building,
Rockville MD 20857
Email: gpe@hrsa.gov
Phone: 301-443-2785

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources, and Capabilities, and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.193 Urban Indian Health Services

The Indian Health Service (IHS),

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Indian Health Care Improvement Act, Title V, , Public Law 102-572, Executive Order Section 503, Title V, Section 511, Indian Health Care Improvement Act, Title V, Section 511, Public Law 94-437

OBJECTIVES:

Grants provide health-related services to Urban Indians including: (1) Alcohol and substance abuse prevention, treatment, rehabilitation, and education; (2) Mental health needs assessment and services; (3) Health promotion and disease prevention

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services; (4) Immunization services; and (5) HIV/AIDS prevention and care. Cooperative Agreement provides services and advocacy for Urban Indian Organizations including: (1) Public policy; (2) Research and data; (3) training and technical assistance; (4) Education, public relations and marketing.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

These grants are limited to established urban Indian health services. The recipient organization must be an urban Indian organization with whom the Secretary has entered into a contract or grant under Title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq).

Applicant Eligibility:

Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Urban Indian organizations, as defined by 25 U.S.C. 1603(29), operating a Title V Urban Indian Health Program that currently has a grant or contract with the IHS under Title V of the Indian Health Care Improvement Act, (Pub. L. 93-437).

Beneficiary Eligibility:

Other Urban; Urban Indians residing in the urban centers in which the organization is located.

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; and (2) that it has a current contract or grant with the Indian Health Service under Title V of the Indian Health Care Improvement Act. Costs will be determined in accordance with 45 CFR part 75, subpart E. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards (Uniform Guidance) apply to this program.

Award Procedure:

Grants are awarded to all eligible organizations based on a competitive grant process. Applications are processed and grants are awarded by Indian Health Service Headquarters. Funding level available to an organization is based on specific criteria in the Indian Health Care Improvement Act to include size of urban Indian population, accessibility to, and utilization of, other health resources available to that population and identification of need for services.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Other. Renewal of multi-year cooperative agreements is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants will be awarded for project periods of up to 3 years. Method of awarding/releasing assistance: quarterly

Reports:

Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Records:

In accordance with the provisions of 45 CFR part 75, "UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR HHS AWARDS," subpart F - Audit Requirements (Applies to audits of fiscal years beginning on or after December 26, 2014) non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.503. In addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Account Identification:

75-0390-0-1-551.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,125,000.00; FY 21 est \$2,387,000.00; FY 22 est \$1,125,000.00; Project Grants (Discretionary) FY 20 \$8,508,341.00; FY 21 est \$8,308,342.00; FY 22 est \$8,508,341.00;

Range and Average of Financial Assistance:

\$149,950 to \$1,096,176; \$280,678.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program guidelines, including applicable sections of Public Law 94-437, as amended; 45 CFR 75; and the HHS Grants Policy Statement, dated 1/07.

Regional or Local Office:

None. For Urban Indian 4-in-1 Program, Contact: Ms. Debi Nalwood, Health System Specialist, Office of Urban Indian Health Programs, Indian Health Service, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (240) 701-0882. For Urban Education and Reorganization, UIHP, Contact: Ms. Debi Nalwood, Health System Specialist, Office of Urban Indian Health Programs, Indian Health Service, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (240) 701-0882. For Urban Education and Reorganization, DBH, Contact: Sarah Tillman, Health System Specialist, Office of Clinical and Preventive Services, Division of Behavioral Health, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08E65C, Rockville, MD 20857. Telephone: (301) 605-3504. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop: 09E70, Rockville, MD 20857. Telephone: (301) 443-5204, Fax (301) 594-0899.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: (301) 443-5204
Website Address:
<http://www.ihs.gov>

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by staff of the Indian Health Service to ensure compliance with the following: (1) Size of the urban Indian population; (2) accessibility to, and utilization of, other health resources available to such population; (3) duplication of existing Indian Health Service or other Federal grants or contracts; (4) capability of the organization to adequately perform the activities required under the grant; (5) satisfactory performance standards for the organization in meeting the goals; (6) identification of need for services; and (7) proposed methodology for accomplishing the stated goals of the program.

93.197 Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children

(Childhood Lead Poisoning Prevention Program (CLPPP)),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order Section 317 (k)(2) and 3171 of the Public Health Service Act, 42 U.S.C. Sections 247b and 247b-10, as amended, Title 42, Part 247b, Section 247b-10

OBJECTIVES:

To (1) Develop and/or enhance a surveillance system that monitors all blood lead levels; (2) assure that children who are potentially exposed to lead receive follow up care; (3) assure awareness and action among the general public and affected professionals in relation to preventing childhood lead poisoning in high risk areas in collaboration with other government and community based organizations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Awards are to be used by State and local government agencies to develop, improve, and expand their capacity to address the problem of childhood lead poisoning in communities with demonstrated high-risk populations. Recipients of awards are expected to: (a) write, implement and evaluate a jurisdiction-wide childhood lead poisoning elimination plan; (b) write, implement and evaluate screening plans to target resources to children at the highest risk for lead poisoning; (c) maintain a jurisdiction-wide childhood lead surveillance program, with an analysis plan for collected data, (d) conduct primary prevention activities for pregnant women and/or families with children at high risk for lead poisoning, (e) develop an assurance plan for timely and appropriate case management of children with elevated blood lead levels, (f) demonstrate strategic partnering with community organizations and with other state/local agencies involved in environmental and child health activities, (g) coordinate with organizations and agencies involved in lead-based paint hazard reduction activities and development of protective policy; and (h) evaluate programmatic impact on childhood lead poisoning within the applicant's jurisdiction. Awards cannot supplant existing funding for childhood lead poisoning prevention programs or activities. Grant awards may not be expended for medical care and treatment, or for environmental remediation of lead sources, however, there must be an acceptable plan to ensure that these program activities are appropriately carried out. The surveillance component of this grant is intended to assist State health departments in implementing or enhancing a complete surveillance system to track all PbB levels in children. This is essential for grant recipients to target interventions to high-risk populations and to track progress toward eliminating childhood lead poisoning.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance will be provided to State health departments or their bonafide agents and the health departments of the following five local jurisdictions (or their bonafide agents) that have the highest estimated number of children with elevated blood lead levels : New York, NY; Chicago, IL; Detroit, MI; Los Angeles County, CA, and Philadelphia, PA, or their bona fide agents. Also eligible are health departments or other official organizational authorities of the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and federally recognized Indian tribal governments. Competition is limited by authorizing legislation.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Infant (0-5); Child (6-15); In addition to the eligible applicants, others who receive benefits from the program include infants and children from six months to six years of age who are screened for lead poisoning and family members who care for lead-poisoned children. Lead poisoning potentially affects all children, but disproportionately affects minority children and children of low-income families. Since the effects of lead poisoning can be long lasting, benefits of the program can also affect youth and adults, persons at all educational and income levels, and urban, suburban, and rural populations.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. .

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Application forms are both available from and submitted to the Grants Management Branch, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Standard application forms, as furnished by CDC and required by 45 CFR 92 must be used for this program. This program is subject to the provisions set forth in 45 CFR 92 for State and local governments.

Award Procedure:

Approved grants are funded based on a priority score ranking from an objective review process coordinated by the Centers for Disease Control and Prevention. CDC will give funding preference to state programs with significant estimated numbers of children with elevated blood lead levels that direct federal funds to localities with high concentrations of children at risk for childhood lead poisoning. CDC will also give funding preference to the five local jurisdictions with the highest estimated number of children with elevated blood lead levels. Awards are made based on availability of funds and other significant factors as deemed necessary and appropriate by the agency. Funds are awarded for a one-year (12 month period). A Notice of Grant Award (Form PHS 5161-1) is provided which indicates the current award as well as support recommendations for the remainder of the project period (up to three years). The Notice of Grant Award indicates allocations for Federal funds by budget categories and any special conditions, if applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 60 to 90 days. From two to three months.

Appeals:

From 60 to 90 days.

Renewals:

From 90 to 120 days. Renewal requests cannot exceed a five year project period. Priority consideration will be given to noncompeting continuation applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period (July 1 - June 30) with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports Refer to the funding opportunity announcement for details. Refer to the funding opportunity announcement for details. Refer to the funding opportunity announcement for details.

Audits:

In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0947-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$23,980,256.00; FY 21 est \$60,076,735.00; FY 22 est \$25,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0947.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. The basic grant administration policies of DHHS and PHS are also applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Extramural/ Resource Specialist Tonica Gleaton,
4770 Buford Highway, F45,
Atlanta GA 30341
Email: tdg6@cdc.gov
Phone: 404-498-6858

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the applicant's ability to identify populations and communities at high risk and the overall balance of the program's design to address the childhood lead poisoning problem. This requires the development of specific and measurable objectives and a sound operational plan that includes screening of high risk populations, medical and environmental management, lead hazard remediation, and health education and risk reduction activities to appropriately address the problem. Applications also will be evaluated on the ability of the program to carry out childhood lead poisoning prevention program activities utilizing the core public health functions as well as establishing working relations or partnerships with other agencies, organizations or groups that have interest in the prevention of childhood lead poisoning.

93.209 Contraception and Infertility Research Loan Repayment Program

(CIR LRP).

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, 42 U.S.C. 288-2, Title IV (National Research Institutes), Part G (Awards and Training), Section 487B (Loan Repayment Program for Research with Respect to Contraception and Infertility), as amended by Public Law 113-235.

OBJECTIVES:

This listing is no longer in use. The CIR-LRP is designed to provide an incentive for health professionals to work in areas of reproductive extramural contraception and/or infertility research (which are defined, respectively, as research that has the ultimate goal to provide new or improved methods of preventing pregnancy and research that has long-range objective to evaluate, treat, or ameliorate conditions which result in the failure of couples to either conceive or bear young) by repaying educational loans. The CIR-LRP will pay up to \$50,000 (\$20,000 for ACGME fellows) of the principal of a participant's educational loans for each year of commitment not to exceed 25% of the remaining loan balance for new awards and 50% for renewals. LRP loan repayments are considered taxable income and can significantly affect an awardee's taxable income. To offset the tax burden resulting from LRP repayments, the LRPs also make a tax payment to the Internal Revenue Service (IRS) equal to 39 percent of the annual LRP repayment. In return for these loan repayments, awardees must agree to conduct contraception and/or infertility research for not less than one year for each year of support awarded. The long-range objective of the CIR-LRP is to stimulate the commitment of researchers to sustaining a career focus on contraception and/or infertility research, as defined above.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The assistance provided by the CIR-LRP is entirely of a financial nature in the form of loan repayments. The monies provided by this program are restricted to the repayment of pre-existing, qualified loans procured by the participant for educational expenses incurred in the pursuit of undergraduate or graduate degrees.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Applicants must be U.S. citizens (or U.S. nationals or permanent residents) Applicants for LRP-CIR must be qualified health and/or allied health professionals including, but not limited to, physicians, Ph.D.-level scientists, nurses and physician assistants, as well as graduate students and postgraduate research fellows training in the health professions, who must be (a) engaged in employment/training at an NICHD extramural laboratory, or (b) engaged in qualifying research activities in a qualified institution. Applicants for new awards must commit to conduct contraception and infertility research for at least 50% of their time (at least 20 hours weekly based on a 40 hour week) for two years and must be funded by a domestic nonprofit or U.S. Government (Federal, state or local) entity. Also, the research must not be prohibited by Federal law or NIH policy. Complete eligibility and other important information is available at <https://grants.nih.gov/grants/guide/notice-files/NOT->

ASSISTANCE LISTINGS DESCRIPTIONS

OD-19-120.html

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Graduate Student; Scientist/Researchers; Assistance in the form of loan repayments will be made to the lender of participants in the CIR-LRP.

Credentials/Documentation:

In order to be considered for selection into the CIR-LRP, eligible new applicants must complete and submit the electronic application materials located at www.lrp.nih.gov, which include: (1) a Loan Verification Form for each loan to be considered for repayment; (2) an institutional statement providing assurance that the applicant will be engaged in employment/training at a qualified domestic nonprofit institution (tax-exempt under 26 USC 501) or U.S federal, state or local government entity, for not less than two years from the anticipated effective date of the NIH Loan Repayment Program Contract between the individual and NICHD (this assurance is conducted electronically); and (3) a signed NIH Loan Repayment Program Contract by which the applicant agrees to serve the obligated minimum period, one year for each year of support awarded, conducting contraception and/or infertility research at a qualified domestic nonprofit institution (tax-exempt under 26 USC 501). Renewal applicants may request one or two year awards. For these applicants, a one-year institutional statement providing assurance and NIH Loan Repayment Program Contract is sufficient. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Information regarding the CIR-LRP and the preparation of forms for application to the CIR-LRP can be obtained at <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-19-120.html> and by contacting the LRP Info Center at www.lrp.nih.gov, (866) 849-4047 (Mon-Fri 9AM - 5PM Eastern Time) and lrp@nih.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Qualified individuals interested in participating in the CIR-LRP should visit the NIH Loan Repayment Program Website at www.lrp.nih.gov for all application forms and requirements. The application will be evaluated by a Review Committee, convened for this purpose by the Scientific Review Branch, NICHD, NIH, HHS. In selecting applicants for participation in the CIR-LRP, the panel will consider the review criteria listed under Application Review Process at <https://www.lrp.nih.gov/faqs>. All selections are subject to final approval by the Director, NICHD. The NIH Division of Loan Repayment will notify the applicant of the outcome of the review.

Award Procedure:

Once the applicant is selected to participate in the CIR-LRP, the applicant and the Director, NICHD, as a designee of the Secretary of Health and Human Services, will sign a written binding contract stating the terms and conditions for participating in the CIR- LRP. The effective date of the contract will be the date it is signed by the Director, NICHD, or the date employment/training begins at an NICHD-funded Specialized Contraception or Infertility Research Center, whichever is later.

Deadlines:

September 01, 2020 - November 15, 2020. Applications can be received at the deadlines established and announced each year at <http://www.lrp.nih.gov/>.

Range of Approval/Disapproval Time:

Other. Approximately 330 Days. The selection process takes approximately 11 months following the application deadline.

Appeals:

Not Applicable.

Renewals:

Other. A CIR-LRP participant completing the initial 2-year period of obligated service conducting research with respect to contraception and/or infertility has an option to apply annually for a renewal of their contract to extend the obligatory period of service for either one or two years. NOTE: this request will go through the same competitive, peer-reviewed process initial applicants experience.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The CIR-LRP will pay equal quarterly payments to the lenders. Each payment will be made shortly after the end of each full quarter of satisfactory service. Method of awarding/releasing assistance: quarterly

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

75-0844-0-1-552.

Obligations:

Direct Payments for Specified Use FY 20 \$1,025,364.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

In fiscal year 2019 the range of financial assistance was between \$11,217 and \$97,300. The average contract cost was \$49,698.

TAFS Codes:

75-0844.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 13 awards (actual) Fiscal Year 2021: 0 award

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Proposed Rulemaking was approved by NIH on September 19, 2001, and by the Department of Health and Human Services on December 31, 2001.

Regional or Local Office:

None.

Headquarters Office:

Medical Officer Steven C. Kaufman, M.D., M.S.,
6710B Rockledge Drive, room 2421C,
Bethesda MD 20817
Email: kaufmans@mail.nih.gov
Phone: 301-496-8363
Fax: 301-480-3901

Website Address:

<https://www.lrp.nih.gov>

RELATED PROGRAMS:

93.280 National Institutes of Health Extramural Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria for all CIR LRP applications are listed under Application Review Process at <https://www.lrp.nih.gov/faqs>In addition, CIR LRP renewal applications are reviewed with respect to the following criteria: (1) Assessment of the renewal applicants research accomplishments during the previous LRP award period and (2) Assessment of the renewal applicants progress toward development as an independent investigator.

93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements

(OTSG Compacts),

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Indian Self-Determination and Education Assistance Act (ISDEAA), , 25 USC Code 458aaa. Tribal Self-Governance Amendments of 2000, , 42 USC Code 137.1-450.

OBJECTIVES:

To allow Federally recognized Indian Tribes to enter into an agreement with the Indian Health Service (IHS) to assume full funding and control over programs, services, functions and activities (PSFA) (or portions thereof) that the IHS would otherwise provide for Indians because of their status as Indians. Such assumptions shall be through compact and funding agreement or construction project agreement under Title V of the ISDEAA.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds must be spent in accordance with the Indian Self-Determination and Education Assistance Act (ISDEAA), applicable regulations and terms of the negotiated compact, funding agreement, or construction project agreement. Per 42 CFR 137.167-168, a Tribe participating in the IHS Self-Governance Program (Self-Governance Tribe) must apply the cost principles of the applicable OMB Circular, except as modified by: (a) 25 U.S.C. 450j-1, (b) other provisions of law, or (c) any exemptions to applicable, subsequent OMB circulars. No other audit or accounting standards shall be required by the Secretary. Per 25 U.S.C 458aaa-7(h) and 42 CFR 137.100, a Self-Governance Tribe may retain and spend interest earned on any funds paid under a compact or funding agreement. Per 42 CFR 137.101, a Self-Governance Tribe must invest and manage the funds as a prudent investor would, in light of the purpose, terms, distribution requirements, and provisions in the compact or funding agreement and ISDEAA Title V. This duty requires the exercise of reasonable care, skill, and caution, and is to be applied to investments not in isolation, but in the context of the investment portfolio and as a part of an overall investment strategy that should incorporate risk and return objectives reasonably suitable to the Self-Governance Tribe. In making and implementing investment decisions, the Self-Governance Tribe must diversify the investments, unless it is imprudent under the circumstances. In addition, the Self-Governance Tribe must: (a) conform to fundamental fiduciary duties of loyalty and impartiality; (b) act with prudence in deciding whether and how to delegate authority and in the selection and supervision of agents; and (c) incur only costs that are reasonable in amount and appropriate to the investment responsibilities of the Self-Governance Tribe.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Per 42 CFR 137.18, to be eligible to participate in the IHS Tribal Self-Governance Program (Program), any Federally recognized Indian tribe must: (a) successfully complete the planning phase described in 42 CFR 137.20; (b) request participation in the Program by resolution or other official action by the governing body of each Indian tribe to be served; and (c) demonstrate financial stability and financial management capability for three fiscal years. Per 42 CFR 137.21, the Indian tribe provides evidence that, for the three years prior to participation in the Program, the Indian tribe has had no uncorrected significant and material audit exceptions in the required annual audit of the Indian tribe's self-determination contracts or self-governance funding agreements with any federal agency. Per 42 CFR 137.22, if the Indian Tribe chooses to centralize its self-determination or self-governance financial and administrative functions with non-self-determination or non-self-governance financial and administrative functions, such as personnel, payroll, property management, etc., the Secretary may consider uncorrected significant and material audit exceptions related to the integrity of a cross-cutting centralized function in determining the Indian Tribe's eligibility for participation in the self-governance program. Per 42 CFR 137.26, an Indian tribe does not need to receive a planning or negotiation cooperative agreement to be eligible to participate in the IHS Tribal Self-Governance Program. An Indian tribe may use other resources to meet the planning requirement and to negotiate. Under 25 U.S.C. 458aaa-2(b)(1), each year, an additional 50 Indian tribes that meet the eligibility criteria are entitled to participate in the IHS Tribal Self-Governance Program.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally-recognized Indian tribes and tribal organizations (as

defined in 25 U.S.C. 458aaa-(b)) may benefit.

Credentials/Documentation:

Per 42 CFR 137.167 and 137.168, a self-governance tribe must apply the cost principles of the applicable OMB Circular, except as modified by: (a) section 106(k) of the Act (25 U.S.C. 450j-1), (b) other provisions of law, or (c) any exemptions to applicable OMB circulars subsequently granted by the OMB. No other audit or accounting standards shall be required by the Secretary. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Per 42 CFR 137.18, to be eligible to participate in the IHS Tribal Self-Governance Program, any Federally recognized Indian tribe must: (a) successfully complete the planning phase described in 42 CFR 137.20; (b) request participation in the IHS Tribal Self-Governance Program by resolution or other official action by the governing body of each Indian tribe to be served; and (c) demonstrate financial stability and financial management capability for three fiscal years. Per 42 CFR 137.21, the Indian tribe provides evidence that, for the three years prior to participation in the IHS Tribal Self-Governance Program, the Indian tribe has had no uncorrected significant and material audit exceptions in the required annual audit of the Indian tribe's self-determination contracts or self-governance funding agreements with any federal agency. Per 42 CFR 137.22, if the Indian Tribe chooses to centralize its self-determination or self-governance financial and administrative functions with non-self-determination or non-self-governance financial and administrative functions, such as personnel, payroll, property management, etc., the Secretary may consider uncorrected significant and material audit exceptions related to the integrity of a cross-cutting centralized function in determining the Indian Tribe's eligibility for participation in the self-governance program. Per 42 CFR 137.26, an Indian tribe does not need to receive a planning or negotiation cooperative agreement to be eligible to participate in the IHS Tribal Self-Governance Program. An Indian tribe may use other resources to meet the planning requirement and to negotiate. Under 25 U.S.C. 458aaa-2(b)(1), each year, an additional 50 Indian tribes that meet the eligibility criteria shall be entitled to participate in the IHS Tribal Self-Governance Program.

Award Procedure:

Funds are transferred to Indian tribes who have successfully negotiated (1) a compact and funding agreement or (2) a compact, funding agreement, and construction project agreement entered into under ISDEAA Title V.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Not applicable.

Appeals:

Not Applicable. See 42 CFR 137.410-445 for regulations governing compacts, funding agreements, and construction project agreements entered into under ISDEAA Title V.

Renewals:

Other. Per 42 CFR 137.35, upon approval and execution of an ISDEAA Title V compact, the compact remains in effect for so long as permitted by Federal law or until terminated by mutual written agreement or retrocession or reassumption of all PSFAs. Per 42 CFR 137.55, a funding agreement shall have the term mutually agreed to by the parties. Under 25 U.S.C. 458aaa-4(e), absent notification from an Indian tribe that it is withdrawing or retroceding the operation of one or more PSFA identified in the funding agreement, the funding agreement shall remain in full force and effect until a subsequent funding agreement is executed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

See 42 CFR 137.200-207 for reporting requirements for Self-Governance Tribes under ISDEAA Title V. Per 25 U.S.C. 458aaa-6(a)(1) and 42 CFR 137.200, compacts or funding agreements negotiated between the Secretary and a Self-Governance Tribe must include a provision that requires the Self-Governance Tribe to report on health status and services delivery. These reports may only impose minimal burdens on the Self-Governance Tribes; cash reports, progress reports, expenditure reports, and performance monitoring are not applicable; see 42 CFR 137.351 for reporting requirements for construction project agreements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Per 25 U.S.C. 458aaa-5(c) and 42 CFR 137.165, Self-Governance Tribes must undertake annual audits pursuant to the Single Audit Act, 31 U.S.C. 7501 et seq. Per 42 CFR 137.166, exceptions are described in 31 U.S.C. 7502 of the Single Audit Act.

Records:

See 42 CFR 137.175-180 for regulations governing records under ISDEAA Title V.

Account Identification:

75-0390-0-1-551.

Obligations:

Direct Payments for Specified Use FY 20 (\$1,699,267,296.00); FY 21 est \$1,325,732,704.00; FY 22 est (\$1,649,267,296.00);

Range and Average of Financial Assistance:

Range \$79,000 to \$153,000,000; Average \$16,563,000

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa; 42 CFR 137.1-150. According to 25 U.S.C. 458aaa-16(e), unless expressly agreed to by the participating Indian tribe in the compact or funding agreement, the participating Indian tribe shall not be subject to any agency circular, policy, manual, guidance, or rule adopted by the Indian Health Service, except for the eligibility provisions of section 105(g) and regulations promulgated under section 517.

Regional or Local Office:

None. Program Contact: Ms. Jennifer Cooper, Director, Office of Tribal Self-Governance, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08E02, Rockville, MD 20857. Phone: (301) 443-7821 Fax: (301) 443-1050. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.211 Telehealth Programs

There are eight telehealth programs as follows: Telehealth Network Grant Program (TNGP) Telehealth Resource Centers Program (TRC) (national and regional) Licensure Portability Grant Program (LPGP) Evidence-Based Tele-Behavioral Health Network Program (EB-THNP) Evidence-Based Telehealth Network Program (EB TNP) Telehealth Center of Excellence (COE) Telehealth Technology-Enabled Learning Program (TTELP) Telehealth Broadband Pilot (TBP) Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 711(b) of the Social Security Act (42 U.S.C. 912(b)), as amended. Evidence-Based Tele-Emergency Network Program Evidence-Based Tele-Behavioral Health Network Program Telehealth Center of Excellence, , 3301 (d) (2) of the Public Health Service Act (42 U.S.C. 254c-14(d)(2)), as amended and P.L. 114-254 National Telehealth Resource Center Program Regional Telehealth Resource Center Program Telehealth Network Program Substance Abuse Treatment Telehealth Network Program Rural Child Poverty Telehealth Network Program, , Section 330L of the Public Health Service Act as amended, (42 U.S.C. 254c-18 and the Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019 (P.L. 115-245) Licensure Portability Program, , Telehealth Technology-Enabled Learning Program (TTELP), Title Consolidated Appropriations Act of 2021, Section PHA Section 330L

OBJECTIVES:

The purpose of the Telehealth Network Program (TNGP) is to fund programs that demonstrate how telehealth networks improve healthcare services in rural communities. The current cohort is aimed towards promoting rural Tele-emergency services by enhancing telehealth networks to deliver 24-hour Emergency Department (ED) consultation services via telehealth to rural providers without emergency care specialists. The National Telehealth Resource Center Program (NTRC) and the Regional Telehealth Resource Center Program (RTRC) are designed to expand the availability of technical assistance in the development of telehealth services, leveraging the experience of mature programs with expertise in providing and implementing telehealth services. In Fiscal Year 2020, additional funds were allocated to the program to prevent, prepare for, and respond to the COVID-19 pandemic. Efforts include promoting the use of telehealth to reduce the risk of COVID-19, enhancing readiness and training to respond to COVID-19 through telehealth, and providing access to telehealth to limit the spread of COVID-19. The Licensure Portability Program (LPP) supports state professional licensing boards to carry out programs under which licensing boards of various states cooperate to develop and implement state laws and related policies that will reduce statutory and regulatory barriers to the provision of health care services through telemedicine technology. In Fiscal Year 2020, additional funds were allocated to the program to increase the ability to address the COVID 19 pandemic. Efforts include adding new capacity to aid in helping a range of clinicians working with different boards (e.g., nurses, psychologists) develop and implement strategies that will encourage a streamlined process for multi-state licensure procedure(s). The Evidence-Based Telehealth Network Program (EB-TNP) The current cohort supports the use of telehealth networks to increase access to behavioral health care services in rural and frontier communities. While the Evidence-Based Tele-Behavioral Health Network Program seeks to expand access to services for rural patients, its primary goal is to significantly contribute to the evidence base for assessing the effectiveness of tele-behavioral health care services for patients, providers, and payers. Funding for this cohort will end August 31, 2021. The program will start a new project period on September 1, 2021 and the primary aims of the program will be the following: demonstrating how healthcare systems can increase access to healthcare services utilizing Direct to Consumer technologies and conducting evaluations of those efforts to establish an evidence base for assessing the effectiveness of Direct to Patient telehealth care for patients, providers, and payers. The Telehealth Center of Excellence (COEs) assess specific telehealth uses, operate as incubators to pilot, track and refine telehealth, examine the efficacy of telehealth services in rural and urban areas and explore new

ASSISTANCE LISTINGS DESCRIPTIONS

telehealth applications for telehealth research and resources. The programs focus on the delivery and impact of telehealth across diagnosis based projects, numerous care settings and telehealth education and incorporate wide ranging technology and modalities to advance telehealth. Telehealth Technology-Enabled Learning Program (TTELP) purpose is to connect specialists at academic medical centers with primary care providers in rural and underserved areas, providing evidence-based training and support to help them treat patients with complex conditions in their communities. TTELP recipients will develop telehealth technology-enabled collaborative learning and capacity building models (such as Project ECHO, ECHO-like models, distance learning, tele-mentoring, clinical decision support, and other emerging models in the field), and share freely accessible tools and resources that are adaptable to culturally and regionally diverse populations to provide training nationwide to facilitate the dissemination of best practice specialty care to primary care providers and care teams in rural and underserved areas. Telehealth Broadband Pilot (TBP) Program aims to assess the broadband capacity available to rural healthcare providers and patient communities and to improve their ability to participate in telehealth services through cross-agency collaboration. A Telehealth Resource Center will implement the TBP in four state community locations, including Alaska, Michigan, Texas and West Virginia. TTAC will also work with the Rural Telehealth Initiatives federal partners to improve rural communities access to broadband and telehealth services through existing funding opportunities and grant programs. A Telehealth-Focused Research Center will evaluate the TBP program across all participating communities

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Telehealth Network Grant Program (TNGP) Funds support the use of telehealth networks (electronic information and telecommunications technologies to support and promote long-distance health care and ancillary services) for improving access to health care services; provide a baseline of information for a systematic evaluation of telehealth systems; purchase or lease and install equipment; and to operate and evaluate the telehealth system. Overall, not more than 20 percent of program funds may be expended for equipment. Not more than 15 percent of program funds may be expended for indirect costs. Program funds may not be used for purchasing and installing telecommunications transmission equipment (e.g., microwave towers, satellite dishes, amplifiers, digital switching equipment or laying cable or telephone lines) or to acquire real property. Construction costs are allowable only for minor renovations related to the installation of equipment. National Telehealth Resource Center Program (NTRC) and the Regional Telehealth Resource Center Program (RTRC) Funds support the establishment and development of Telehealth Resource Centers, which serve as a focus for the provision of telehealth technical assistance across the country. One National TRC will focus on providing specialized technical assistance in telehealth technology. The other National TRC will focus on policy issues such as state and national policies and initiatives regarding telehealth. Twelve Regional Telehealth Resource Centers are located across the United States. Funds are used for salaries, equipment, operating, travel expenses, or other costs for: providing technical assistance, training and support; disseminating information and research findings related to telehealth services; promoting effective collaboration among telehealth resource centers and HRSA; promoting the integration of the technologies used in clinical information systems with other telehealth technologies; fostering the use of telehealth technologies to effectively provide healthcare information and education for health care providers and consumers; and, implementing special projects that involve collaboration among TRCs to advance the field of telehealth. Funds may not be used for: acquiring real property; equipment costs of more than 40 percent of total program funds; for equipment or transmission costs not directly related to the program purposes; to purchase or install general-purpose voice telephone systems; construction costs; and indirect costs exceeding 15 percent of total program funds. The Licensure Portability Program (LPP) Funds may be used for salaries, equipment, software development, operating, or other costs associated with developing legislative, administrative, and technical projects to address licensure barriers that hinder the practice of telemedicine across state lines. Under the CARES Act, the Coronavirus Licensure Portability Grant Program provided one-time funding to create resources to address challenges for telehealth providers and to add new capacity to help a range of clinicians working with different licensure boards (e.g., physician, nurses, psychologists) to develop and implement strategies to streamline the process for multi-state licensure procedure(s). Evidence-

Based Telehealth Network Program (EB-TNP) Award funds may be used for salaries, equipment, and operating or other costs, including: Developing and delivering clinical telehealth services that enhance access to health care services in rural and frontier communities; Developing and acquiring, through lease or purchase, computer hardware and software, audio and video equipment, computer network equipment, interactive equipment, data terminal equipment, and other equipment that furthers the objectives of the telehealth network program; Developing and acquiring instructional programming; Providing for transmission of medical data, and maintenance of equipment; Providing for compensation (including travel expenses) of specialists, and referring health care providers, who are providing rural telehealth services through the telehealth network, if third party payment is not available for the telehealth services delivered through the telehealth network; Developing projects to use telehealth technology to facilitate collaboration between rural health care providers; and Collecting and analyzing usage statistics and data to document the cost-effectiveness of telehealth services and answer similar research questions that will contribute to the evidence-base for rural telehealth care. Overall, not more than 20 percent of program funds may be expended for equipment. Under the Evidence-Based Tele-Behavioral Network Program, any program income, including reimbursements for the third party claims, should be added to funds committed to the project and used to further eligible program objectives. Telehealth Centers of Excellence (COE) The Telehealth Centers of Excellence share expertise operating a successful, high volume, clinically diverse telehealth program and will use that base to explore new telehealth applications, examine the efficacy of telehealth, plan better integration of telehealth services into health care delivery, and examine the impact of telehealth on federal health care spending. The Telehealth Centers of Excellence demonstrate over the course of the project period how telehealth programs and networks can improve access to health care services in rural and underserved communities, particularly those with high rates of poverty and chronic disease. Through YR3 of the HRSA award the COEs have served over 6,000 patients and supported Community Health Centers and School Districts with telehealth services spanning 30 individual projects including focus on COVID-19 resulting in over 40 publications and reports on telehealth. Funds under this notice may not be used for the following purposes: to acquire real property; for expenditures to purchase or lease equipment, to the extent that the expenditures would constitute the majority of the total program funds; to purchase or install transmission equipment (such as laying cable or telephone lines, or purchasing or installing microwave towers, satellite dishes, amplifiers, or digital switching equipment); to pay for any equipment or transmission costs not directly related to the purposes of the award; to purchase or install general purpose voice telephone systems; for construction; and for expenditures for indirect costs (as determined by the Secretary), to the extent that the expenditures would exceed 15 percent of the total program funds. Telehealth Technology-Enabled Learning Program (TTELP) Funds support the use of technology-enabled learning collaborative building models for improving the knowledge base for primary care providers to effectively treat complex disease states in rural communities. Telehealth Broadband Pilot Program: Funds support the use of broadband assessment for improving access to health care services and assessing the pilot program. Under the CARES Act, the Telehealth Broadband Pilot program was provided one-time supplemental funding to assess the broadband capacity available to rural healthcare providers and patient communities and to improve their ability to participate in telehealth services through cross-agency collaboration. As a restriction, it is incumbent upon project directors, program directors, and principal investigators to make results and accomplishments of their activities available to the public All eligible applicants and their eligible OAT-funded network members must apply for a Universal Service subsidy as soon as possible or demonstrate to OAT that applying would not provide any financial advantage. Applicants accepting this award will be required to provide data and to collaborate with the HRSA-funded partners designated to perform evaluation activities associated with the Telehealth Broadband Pilot Program. Applicants accepting this award will be required to provide data and to collaborate with the HRSA-funded Telehealth-Focused Rural Health Research Center designated to perform evaluation activities associated with the Telehealth Broadband Pilot Program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes

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institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Telehealth Network Grant Program (TNGP) - Eligible applicants shall be domestic public or private, non-profit or for-profit entities with demonstrated experience utilizing telehealth technologies to serve rural underserved populations. This includes faith-based, community-based organizations, and federally-recognized tribes and tribal organizations. National Telehealth Resource Center Program (NTRC) and Regional Telehealth Resource Center Program (RTRC) - Eligible applicants include public, private non-profit and for-profit entities. Faith-based and community-based organizations, Tribes, and tribal organizations are eligible to apply. Licensure Portability Grant Program (LPGP) - Eligible applicants are limited by statute to state professional licensing boards. Eligible applicants include domestic public or private entities. Evidence-Based Telehealth Network Program (EB-TNP) FY18 EB THNP Funding: Eligible applicants shall be domestic public or private, non-profit entities. This includes faith-based, community-based organizations, and tribes and tribal organizations. FY21 EB THNP Funding: Eligible applicants shall be domestic public or private, non-profit or for-profit entities with demonstrated experience utilizing telehealth technologies to serve rural underserved populations. This includes faith-based, community-based organizations, and federally-recognized tribes and tribal organizations. The Telehealth Centers of Excellence Program - Eligible applicants must be public academic medical centers located in states with high chronic disease prevalence, high poverty rates, and a large percentage of medically underserved rural areas. The Telehealth Centers of Excellence will be located in a public academic medical center that: 1. Has a successful telehealth program with a high annual volume of telehealth visits; 2. Has an established reimbursement structure that allows telehealth services to be financially self-sustaining; and 3. Has established programs that provide telehealth services in medically underserved areas with high chronic disease prevalence and high poverty rates. Telehealth Technology-Enabled Learning Program (TTELP) All domestic public and private entities, nonprofit and for-profit, are eligible to apply. Domestic faith-based and community-based organizations, tribes, and tribal organizations and organizations based in the territories and freely associated states are also eligible to apply. Telehealth Broadband Pilot Program - Eligible applicants are limited by applicant eligibility requirements to the Telehealth Resource Centers and the Telehealth-Focused Research Center.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; State; Local; Rural; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Telehealth Network Grant Program (TNGP) - Health care providers in rural areas, in medically underserved areas, in frontier communities, and for medically underserved populations. TNGP grantees include in the network at least two (2) of the following entities (at least one (1) of which shall be a community-based health care provider: (a) community or migrant health centers or other federally qualified health centers; (b) health care providers, including pharmacists, in private practice; (c) entities operating clinics, including rural health clinics; (d) local health departments; (e) nonprofit hospitals, including community (critical) access hospitals; (f) other publicly funded health or social service agencies; (g) long-term care providers; (h) providers of health care services in the home; (i) providers of outpatient mental health services and entities operating outpatient mental health facilities; (j) local or regional emergency health care providers; (k) institutions of higher education; or (l) entities operating dental clinics; and (m) school based health centers/clinics. National Telehealth Resource Center Program (NTRC) and Regional Telehealth Resource Center Program (RTRC) - Health care providers in rural areas, in medically underserved areas, in frontier communities, and medically underserved populations. The TRCs must support the activities of existing or developing telehealth networks to meet the health care needs of rural or other populations to be served, including the improvement of access to services and the quality of the services received by those populations. American Indian and/or Alaska Native Tribal Organizations are eligible beneficiaries provided those organizations meet the beneficiary requirements above. Licensure Portability Grant Program (LPGP) - State professional licensing boards carry out programs under which licensing boards of various states cooperate to develop and implement state

policies that will reduce statutory and regulatory barriers to telemedicine. State licensing boards, their members, and the general public are beneficiaries of the services conducted under this grant. Evidence-Based Tele-Emergency Network Grant Program (EB TNGP) - The Tele-Emergency Network shall include at least five members. Network members may include representation from the following categories: Hospitals, including community (critical) access hospitals; Local or regional emergency health care providers; Institutions of higher education with experience in data collection and analysis including but not limited to claims-level data; Medical research institutions; Tertiary providers with specialized experience in emergency medicine, stroke and the use of telehealth services in those clinical areas. The Rural Child Poverty Telehealth Network Grant Program (RCP-TNGP) - Health care providers serving rural areas and frontier communities. The RCP-TNGP Network shall include at least two (2) of the following entities (at least one of which shall be a community-based health care provider): Community or migrant health centers or other federally-qualified health centers; Health care providers, including pharmacists, in private practice; Entities operating clinics, including rural health clinics; Local health departments; Nonprofit hospitals, including community access hospitals; Other publicly funded health or human/social service agencies; Long-term care providers; Providers of health care services in the home; Providers of outpatient mental health services and entities operating outpatient mental health facilities; Local or regional emergency health care providers; Institutions of higher education; and Entities operating dental clinics. If available in their area, applicants should consider partnering or collaborating with other federally-funded programs that target or have demonstrable effects on the health of impoverished children living in rural areas, including: USDA Cooperative Extension System Offices; Healthy Start; Healthy Tomorrows Partnership for Children; WIC; Maternal, Infant and Early Childhood Home Visitation programs; Head Start and Early Head Start; Temporary Assistance to Needy Families; Community Action Agencies; and other human/social service-focused providers. The Substance Abuse Treatment Telehealth Network Grant Program (SAT-TNGP) - The network must include at least two (2) of the following entities (at least one of which shall be a community-based health care provider): (a) Small hospital (defined as less than 50 available beds, as reported on the hospitals most recently filed Medicare Cost Report); (b) Community or migrant health centers or other federally-qualified health centers; (c) Health care providers, including pharmacists, in private practice; (d) entities operating clinics, including rural health clinics; (e) local health departments; (f) nonprofit hospitals, including community (critical) access hospitals; (g) other publicly funded health or social service agencies; (h) long-term care providers; (i) providers of health care services in the home; (j) providers of outpatient mental health services and entities operating outpatient mental health facilities; (k) local or regional emergency health care providers; (l) institutions of higher education; or (m) entities operating dental clinics. As noted in the Consolidated Appropriations Act 2017 (Public Law No. 115-31), preference will be given to networks that include small hospitals serving communities with high rates of poverty, unemployment, and substance abuse. The Telehealth Center of Excellence Program (COE) - Health care providers in rural areas, in medically underserved areas, in frontier communities, and medically underserved populations. The TRCs must support the activities of existing or developing telehealth networks to meet the health care needs of rural or other populations to be served, including the improvement of access to services and the quality of the services received by those populations.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. There are

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two FORHP programs that require the respective State Offices of Rural Health to be notified that they are applying, which are the Regional Telehealth Resource Center Program (RTRC) and the National Telehealth Resource Center Program (NTRC). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are generally solicited by a notice of funding opportunity in the HRSA Preview. HRSA requires applicants (for new competitions) or awardees (for continuation applications) to submit applications electronically through Grants.gov. The program guidance contains detailed application and submission instructions, including information on the non-competitive continuation application and submission process. Awardees must submit proposals according to the program guidance, which specifies required forms, contains additional general information and instructions for grant applications, including proposal narratives, and budgets. The program guidance, when available, may be obtained by: Downloading from <http://www.grants.gov>; or, Contacting the HRSA Grants Application Center: The Legin Group, Inc., 910 Clopper Road, Suite 155 South Gaithersburg, MD 20878, Telephone:877-477-2123, HRSA@hrsa.gov. Application information may also be found by visiting <http://www.hrsa.gov/grants/>. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

For FY 2021, a new competition for the COE, EB TNP, TTELP and TRC programs will be conducted. All final funding decisions are made by and independent review panel that is conducted by the Health Resources and Services Administrations Division of Independent Review. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 2 months.

Appeals:

Not Applicable.

Renewals:

Other. Renewals have not been determined.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Programs are competed every three to five years. Projects awarded under a competition must complete a non-competing continuation application every year to release funds for the following budget period. See the following for information on how assistance is awarded/released: N/A. Method of awarding/releasing assistance: other

Reports:

Six-month Progress Reports are required. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffsr-hedule.pdf>. A final report is due within 90 days after the project period ends. If

applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues that arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$8,959,460.00; FY 21 est \$8,900,000.00; FY 22 est \$8,900,000.00; Project Grants FY 20 \$5,500,000.00; FY 21 est \$494,853.00; FY 22 est \$325,853.00; Project Grants FY 20 \$6,000,000.00; FY 21 est \$6,500,000.00; FY 22 est \$6,500,000.00; Project Grants FY 20 \$4,748,950.00; FY 21 est \$4,900,000.00; FY 22 est \$4,900,000.00; Project Grants FY 20 \$16,149,994.00; FY 21 est \$4,500,000.00; FY 22 Not Available; Project Grants FY 20 \$0.00; FY 21 est \$4,275,000.00; and FY 22 Not Available; Project Grants FY 20 \$0.00; FY 21 est \$8,000,000.00; and FY 22 Not Available;

Range and Average of Financial Assistance:

TNGP Awards range from approximately \$276,900 to \$300,000, with an average award of approximately \$295,000. LPGA - Awards range from approximately \$76,250 to \$249,603 between the two grantees. Coronavirus provided \$2,500,000 per awardee in FY 2020. COE - Awards are distributed evenly at \$3,000,000 a year for each awardee. In FY21 the award will be \$3,250,000 per awardee per year. EB-TNP - FY20 awards range from approximately \$296,779 to \$350,000 with an average award of approximately \$339,210. In FY21 the anticipated award will be up to \$350,000 per awardee per year. TRC - Awards are distributed evenly at \$325,000 a year for each awardee. Coronavirus provided \$828,571 evenly per awardee in FY 2020. TTELP - FY 21 awards are estimated to be \$475,000 per year for each award recipient.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Telehealth Network Grant Program By August 2020, 204 rural schools, in high poverty areas, received telehealth services as a result of this Program. Evidence Based Tele-Behavioral Health Program Improved access to quality services through offering continuity of behavioral health care with a trusted provider at over 60 sites. Increased access to specialty providers (i.e. Psychiatry) closer to home. Increased innovative ways to utilize telehealth. One program is utilizing telehealth on a mobile van to provide Medication Assisted Treatment for Substance Use Disorder patients. In year 2 (Aug. 2019-Sept. 2020) awardees presented at local, regional, and national conferences about tele-behavioral health data and best practices. Centers of Excellence Program In Year 3, the Telehealth

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COEs served over 6,000 patients and supported Community Health Centers and School Districts with telehealth services. Awardees spanned over 30 individual projects including focus on COVID-19 and resulting in over 40 publications and reports on telehealth. COEs have consulted with over 70 organizations that include academic, government, or private health systems to provide TA.Licensure Portability Grant ProgramThe program continues to educate and engage stakeholders from telehealth and multi-state health care provider organizations about the benefits of the IMLC in reducing administrative burdens for clinicians using telemedicine or other healthcare services in multiple jurisdictions.The program continues to develop a licensure compact for Physician Assistants.The program continues to develop and maintain a comprehensive psychology licensure focused research center that combines multiple points of data.Additional one-time funding under the CARES Act Coronavirus Licensure Portability Grant Program funding was used to create resources to address challenges for telehealth providers and to add new capacity to help a range of clinicians working with different licensure boards (e.g., physician, nurses, psychologists) to develop and implement strategies to streamline the process for multi-state licensure procedure(s).Telehealth Resource Centers Program In 2020, the Telehealth Resource Centers had a 285% increase in direct technical assistance requests between March and October 2020 (as compared to between March and October 2019).In 2020, the TRCs annually produced dozens of webinars and over 600 training events, hosted regional or state conferences attended by almost 10,000 people and, in total, serve over 50,000 clients annually.In 2020, the TRCs developed several new telehealth innovations, such as developing interactive digital maps to help consumers identify telehealth resources local to them, a comprehensive telehealth training course to meet state certification (Washington), and maintaining the accuracy of all of their policy guidance for each state, as rapid changes occur during the pandemic, including: current state laws, reimbursement policies, and legislation tracking (CCHP). The TRCs also began work on a best practices guide based on lessons from the field during the pandemic to ensure continued improvement to telehealth delivery after the public health emergency.

REGULATIONS, GUIDELINES, AND LITERATURE:

Telehealth programs are subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Headquarters contacts:Carlos Mena, Program Coordinator (TNGP and TTLEP), cmena@hrsa.gov, Telephone:(301) 443-3198;Monica Cowan, Program Coordinator (LPGP), mcowan@hrsa.gov, Telephone: (301) 443-0076Michelle Carnes, Program Coordinator (NTRC, RTRC, and Coronavirus NTRC,RTRC), mcarnes@hrsa.gov, Telephone:(301) 443-0007mcarnes@hrsa.gov, Telephone:(301) 443-0007mcarnes@hrsa.gov, Telephone:(301) 443-0007Colleen Morris, Program Coordinator (COE), cmorris2@HRSA.gov, Telephone: (301) 594-2496Colleen Morris, Program Coordinator (Coronavirus LPG), cmorris2@HRSA.gov, Telephone: (301) 594-2496Whitney Wiggins, Program Coordinator (EB-TNP), wwiggins@hrsa.gov, Telephone (301) 443-4966Jenna Cope, Program Coordinator (TBP), jcope@hrsa.gov, Telephone (301) 443-5503jcope@hrsa.gov, Telephone (301) 443-5503jcope@hrsa.gov, Telephone (301) 443-5503jcope@hrsa.gov, Telephone (301) 443-5503

Headquarters Office:

Carlos Mena, Program Coordinator, Office for the Advancement of Telehealth, Federal of Rural Health Policy, 5600 Fishers Lane, Rockville MD 20857
Email: CMena@hrsa.gov
Phone: (301) 443-0835

Website Address:

<https://www.hrsa.gov/ruralhealth/telehealth/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the associated Notice of Funding Opportunity (NOFO) for program-specific criteria.

93.213 Research and Training in Complementary and Integrative Health

National Center for Complementary and Integrative Health,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 485D, Title VI, Section 601, Statute 112-2681-387, Public Law 105-277, 42 USC Code 281. 42 USC Code Chapter 6A Subchapter III Part E Subpart 5 287c21. Public Law 113-235, Section 224

OBJECTIVES:

To evaluate complementary and integrative health approaches. The following objectives support this goal: (1) coordinate and facilitate the investigation of complementary and integrative health approaches through peer-reviewed grant solicitations; (2) interface with the NCCIH National Advisory Council; (3) conduct technology assessment conferences for the purpose of establishing areas of Clinical and Pre-clinical research that need to be further developed within complementary health approaches; and (4) maintain a comprehensive bibliographic data base in conjunction with the National Library of Medicine.The National Center for Complementary and Integrative Health (NCCIH) regularly examines and redefines its research priorities. In setting research priorities, NCCIH considers its existing research portfolio, its 5-year strategic plan, the recommendations of the National Advisory Council for Complementary and Integrative Health, current scientific advances, the plans of other NIH institutes and centers, and input from expert panels and stakeholders. Research constituting a rigorous evidence base for complementary health approaches will be developed through a range of research strategies including basic and translational research, and clinical investigation. Priority setting also takes into account: 1)scientific promise, 2)amenability to rigorous scientific inquiry,3) potential to change health practices, and 4) relationship to use and practice.Research ApproachesBasic, translational, efficacy/effectiveness, and implementation research for Complementary and Integrative Health approaches need to be studied across the research continuum. NCCIH continues to emphasize basic research that defines biological effects and mechanisms of action; this research is aimed at understanding the nature of complementary health approaches such as their biology, physiology, and physical, chemical and behavioral properties. NCCIH also supports the development of tools, models, and methodologies for studying these approaches. NCCIH continues to encourage efficacy studies to determine specific clinical effects of complementary health approaches under carefully controlled conditions that minimize nonspecific and contextual effects. There is also the need to strengthen translational and preliminary clinical research required to design and implement definitive clinical research and "real world" outcomes and effectiveness research that capitalizes on the reality that many complementary health approaches are in widespread public use.NCCIH's support of translational research addresses the need for valid, reliable and relevant research tools, outcome measures, and innovative methodology to enhance the rigor of complementary health approaches within clinical studies and to ensure that they are maximally informative. NCCIH emphasizes studies that inform the design of future trials such as those that will: develop and validate outcome measures; standardize treatment protocols or algorithms; validate treatment algorithms and/or; develop measures of quality control or treatment fidelity; assess effects of various doses or intervention durations; develop preliminary clinical evidence regarding efficacy and safety to support estimates of sample size; or establish feasibility of interventions or study designs in specific populations for future studies.NCCIH's clinical research portfolio utilizes clinical trial, case-control, observational, cohort, qualitative, and other experimental methodologies to determine safety and estimate the efficacy of complementary health approaches. The clinical research portfolio includes effectiveness studies of the contribution to improved health and wellness made by complementary health approaches as they are practiced in "real-world" settings.In

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general, phase III clinical trials will be supported under the cooperative agreement mechanism after careful consideration by NCCIH Staff. Investigators interested in proposing phase III studies are strongly encouraged to contact a relevant NCCIH Program Officer (<https://nccih.nih.gov/tools/emailprogramofficers>). Areas of Special Interest Fundamental scientific inquiry is essential to the progress of biomedicine by enhancing the understanding of how living systems work. This understanding serves as a foundation for translational and clinical studies that can lead to improved approaches to the management, treatment, and prevention of diseases and symptoms. One key goal is to advance our understanding of basic biological mechanisms of action of natural products, including prebiotics and probiotics. NCCIH will continue to sponsor research on compounds isolated from natural products as well as complex mixtures from which they originate.

Additionally, NCCIH will continue to support research to elucidate the effects of prebiotics and probiotics on the microbiota naturally present in the human body. Another key goal is to advance our understanding of the mechanisms of action by which mind and body approaches (including, but not limited to: meditation, spinal manipulation, massage, yoga, tai chi, hypnosis and acupuncture) affect health, resiliency, and well-being. A third key goal is to develop new and improved research methods and tools for conducting rigorous studies of complementary health approaches and their integration into health care. These new methods could catalyze advances in natural product methodology and support development of novel technology and instruments to carry out rigorous research on symptom management and functional changes primarily for mind and body approaches. To improve care for hard-to-manage symptoms such as pain, anxiety, and depression, both mind and body practices and natural products will be rigorously studied using well-established methodology. NCCIH will also support studies in "real world" clinical settings to test the safety and efficacy of complementary health approaches, including their integration into health care. Individual behavior plays a key role in health promotion and disease prevention. It is well established that adopting and maintaining healthy behaviors (e.g., diet and exercise) and modifying unhealthy behaviors reduces risks of major chronic diseases. NCCIH will investigate mechanisms of action of complementary and integrative health approaches in health resilience and practices that improve health and prevent disease. These approaches will be studied across the lifespan and in diverse populations. NCCIH will also explore research opportunities to study and access the safety and efficacy of complementary health approaches in nonclinical settings such as community- and employer-based wellness programs. Top Scientific Priorities The top scientific priorities include the nonpharmacologic management of pain, neurobiological effects and mechanisms, innovative approaches for establishing biological signatures of natural products, disease prevention and health promotion across the lifespan, and clinical trials utilizing innovative study designs to access complementary health approaches and their integration into health care. Pain is the condition for which adults in the United States most often use complementary and integrative health approaches. Growing evidence indicates that some complementary health approaches may help in its treatment and management. Discovering the mechanisms by which a complementary approach exerts its effects could help in the design of better treatments and predict which people are most likely to respond. Whether and how complementary health approaches directly modulate or modify the structure and/or the function of the entire or part of the nervous system remains understudied. Advances in genomics, neuroscience, stem cells, systems biology, and neuroimaging offer excellent conceptual resources and opportunities for innovative and impactful mechanistic studies of complementary health approaches. The purpose of innovative approaches for establishing biological signatures of natural products is to advance the characterization of the biologic activity of complex natural products broadly with an emphasis on determining metabolic profiles. There has been a growing interest in the use of complementary health approaches for the prevention of mental, emotional, and behavioral disorders and for the promotion of psychological and physical health, well-being, and resilience. However, the evidence for the use of complementary modalities in the context of prevention and health promotion is modest. Rigorously designed, developmentally appropriate studies are needed to determine the efficacy and effectiveness of complementary health approaches for health promotion and disease prevention across the lifespan. Early Stage and New Investigators NCCIH is strongly committed to assisting new and early stage investigators in establishing a research career. Early stage investigators are those within 10 years of completing their terminal research degree, or their medical residency, or its equivalent. New investigators are those who have yet to compete successfully for a substantial (e.g., R01) NIH research grant. Each Advisory Council round, based on available funds,

NCCIH: 1) will consider early stage or new investigator status as one of the criteria for designating grant applications as being of high program priority and 2) may make additional R01 grant awards to new and early stage investigators with percentiles or scores out of payline order. NCCIH continues to accept applications in areas not listed as a specific priority area via the investigator initiated funding opportunities. All investigators are urged to discuss potential applications with the relevant NCCIH Program Officer

(<https://nccih.nih.gov/tools/emailprogramofficers>)

TYPES OF ASSISTANCE:

Project Grants, Training

USES AND USE RESTRICTIONS:

Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. Individual National Research Service Awards (NRSA) are made to individuals for research training in specified biomedical shortage areas. In addition, grants may be made to institutions to enable them to make NRSA to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research (SBIR) Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. Small Business Technology Transfer (STTR) Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; Federal; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Universities, colleges, hospitals, laboratories, and other public or private nonprofit domestic institutions, including State and local units of government, and individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (e.g., M.D., Ph.D., D.D.S., D.O., N.D., D.C., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group

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and a national advisory council.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Student/Trainee; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Federal; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Small business; Other private institution/organization; Native American Organizations; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Individual NRSA applications for postdoctoral training must include the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training. Institutional Training grant applications for predoctoral and postdoctoral training must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. Grant form PHS 398 is used to apply for SBIR and STTR Phase I Phase II and Phase I/Phase II Fast Track. OMB Circular No. A-87 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Research grants: Single project grant applications must be prepared electronically through grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) and PHS 398 instructions. Multi-project grant applications must be submitted through the A.S.I.S.T (Application Submission System & Interface for Submission Tracking) system. Individual funding opportunity announcements will indicate the appropriate application format to be used. A listing of NCCIH and other funding opportunities can be found through the NCCIH web site (<http://www.nccih.nih.gov/grants/funding>), through the Grants.gov web site (<http://www.grants.gov/>), or on the NIH Guide to Grants and Contracts (https://grants.nih.gov/funding/searchguide/index.html#). For applications that require the PHS 398, the most recent version of the form and instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). For assistance contact GrantsInfo, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov. National Research Services Awards: Completed application forms should be submitted to the Office of Research Manpower, Center for Scientific Review, Grants, National Institutes of Health, Bethesda, MD 20892. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Research Funding Opportunities" web page at <http://grants1.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract

proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the Grants.gov sites and the NIH Guide to Grants and Contracts (see URLs listed above). All SBIR and STTR applications must be submitted electronically. Electronic applications are submitted through the Grants.gov website. This program is subject to the provisions of 45 CFR Part 92 for State and local governments, OMB Circular No. A-110 for nonprofit organizations, cost principles of A-21 for educational institutions, and 42 CFR Part 42. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Award Procedure: All accepted applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score ranging from the best (10) to worst (90) compete for available funds based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Overall from 180 to 300 days. Research Grants and Cooperative Agreements: approximately 6-10 months; National Service Research Awards: 6-9 months; SBIR/STTR awards 6 - 7 months.

Appeals:

Other. An applicant institution (including the Authorized Business Official and the Program Director/Principal Investigator (PD/PI)) may question the substantive or procedural aspects of the review of their application by submitting an appeal. An appeal is a written communication from the applicant that meets the following three criteria: 1) is received after issuance of the summary statement and up to 30 calendar days after the second level of peer review, 2) describes a flaw or perceived flaw in the review process for a particular application, 3) is based on one or more of four allowable issues (described below). The four allowable issues are: (1) evidence of bias on the part of one or more peer reviewers; (2) conflict of interest, as specified in regulation at 42 CFR 52h.5. Scientific Peer Review of Research Grant Applications and Research and Development Contract Projects, on the part of one or more peer reviewers; (3) lack of appropriate expertise within the scientific review group (which performed the peer review); and (4) factual error(s) made by one or more reviewers that could have substantially altered the outcome of review. A description of the NIH Peer Review Policy and Procedures is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Other. Research grants: renewals by competitive application and review. Extension by request and administrative action. National Research Service Awards: individual awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research Project Grants (including most Cooperative Agreements): Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: The Notice of Award (NoA) is the legal

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document issued to notify the grantee that an award has been made and that funds may be requested from the designated HHS payment system or office. A NoA is issued for the initial budget period. If subsequent budget periods are also approved, the NoA will include a reference to those budgetary commitments. Funding for subsequent budget periods are generally provided in annual increments following the annual assessment of progress. This funding is also contingent on the availability of funds. The NoA includes all applicable terms of award either by reference or specific statements. It provides contact information for the assigned program officer and grants management specialist. The grantee accepts an NIH award and its associated terms and conditions by drawing or requesting funds from the Payment Management System, or upon the endorsement of a check from the US Treasury for foreign awardees. Method of awarding/releasing assistance: lump sum.

Reports:

Final progress reports must be submitted within 90 days after the expiration of the award. The progress reported in a competitive renewal application may be accepted in lieu of a final progress report. The final progress report should include (at a minimum): summary of progress toward the achievement of the originally stated aims; list of results (positive or negative) considered significant; list of publications resulting from the project as well as plans for further publications and copies of manuscripts that have been accepted for publication, but not yet published. Project Grants: Expenditures and other financial records, including documents supporting accounting records and substantive charges to each grant, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. The Federal Financial Report (FFR) is due 120 days after the final day of the project period. NRSAs: Documentation of expenditures and other fiscal records must be kept readily available for examination by authorized Government personnel and must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Expenditure reports on a quarterly basis are sent to the data warehouse.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For specific audit requirements, please see 45 CFR 75.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual financial status reports (FSR) is submitted. For awards under the Streamlined Non-competing Award Process (SNAP) (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants. In accordance with 45 CFR 75 the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0896-0-1-552.

Obligations:

Project Grants FY 20 \$150,751,108.00; FY 21 est \$152,936,784.00; FY 22 est \$182,956,580.00;

Range and Average of Financial Assistance:

For Fiscal Years 2020 - 2021 (as of 6/22/2021), the total costs (which is the sum of the direct costs plus the facilities & administration costs) for competing grants ranged from a low of \$28,000 to a high of \$3,264,750. For this same time period, the average, total costs for competing grants varied based on "budget mechanism", as follows: Research Projects: \$649,181 Career Development (Other):

\$232,568 Individual Fellowships: \$44,470 Institutional Training: \$303,431 Small Business (SBIR/STTR): \$336,305 . . .

TAFS Codes:

75-0896.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Project Grants: Program Analyst; Division of Extramural Research, National Center for Complementary and Integrative Health, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; email: NCCIHDRINQUIRIES@MAIL.NIH.GOV. Small Business Innovation Research Grants Contact: Merav Sabri, Ph.D.; Division of Extramural Research, National Center for Complementary and Integrative Health, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; Telephone: (301) 496-2583. Training Portfolios: Lanay Mudd, Ph.D.; Division of Extramural Research, National Center for Complementary and Integrative Health, National Institutes of Health; 6707 Democracy Blvd, Suite 401, Bethesda, MD, 20892-5475; Telephone: (301) 594-9346.

Headquarters Office:

Director, Division of Extramural Activities, NCCIH, NIH Partap S. Khalsa, D.C., Ph.D.,

6707 Democracy Boulevard, Suite 401, Bethesda MD 20892

Email: partap.khalsa@nih.gov

Phone: 3015943462

Website Address:

<http://nccih.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit innovation and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; (6) the relevance and importance to the announced program objectives and when applicable, and (7) the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility

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demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.217 Family Planning Services

Title X Services,

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title X of the Public Health Service Act, 42 U.S.C. 300 et seq. and in the implementing regulations which govern project grants for family planning services (42 CFR part 59, subpart A.), Title Title X of the Public Health Service Act, Section 1001, Public Law 2021-116-260, 42 USC Code 300 et seq..

OBJECTIVES:

To establish and operate voluntary family planning services projects, which shall provide family planning services to all persons desiring such services, with priority for services to persons from low-income families. These projects will serve the areas and/or populations within the United States, Washington DC, Puerto Rico, the US Virgin Islands, and the six pacific jurisdictions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants must be used for family planning services including contraceptive services, infertility services and special services to adolescents. Family planning services include information on all medically approved methods of contraception including natural family planning methods; counseling services, physical examinations including cancer detection and laboratory tests; STD and HIV prevention education, screening and referral; contraceptive supplies, and periodic follow-up examinations. Infertility services include assessment, information, education, and arrangements for referral if necessary. Special services to adolescents include in-depth information, education including abstinence education counseling, and referral to and from other social and medical service agencies, and such ancillary services as are necessary to facilitate clinic attendance for adolescents who need contraceptive services. These family planning services must be available without coercion and with respect for the privacy, dignity, social and religious beliefs of the individuals being served. To the extent possible, entities which receive grants under this subsection shall encourage family participation in projects assisted under this subsection. Funds may not be used in programs where abortion is a method of family planning. Funds may not be used for purchase or construction of buildings; salaries of personnel paid from other Federal grant funds; and certain other miscellaneous items as specified in the regulations. Priority in the provision of services will be given to persons from low-income families.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; Any public (including city, county, local, regional, or State government) entity or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, Guam, the Commonwealth of the Northern Mariana Islands, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant. Faith based organizations are

eligible to apply.

Beneficiary Eligibility:

Individual/Family; Low Income; Persons who desire family planning services and who would not otherwise have access to them. Priority to be given to persons from low-income families. Individuals from other than low-income families will be charged a fee in accordance with an established fee schedule although inability to pay must not be a deterrent to services.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the cost principles found in 45 C.F.R. part 75. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply, applicants must access the announcement through www.grants.gov. Enter the Assistance Listing (formerly CFDA) # and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the opportunity announcement will be deemed ineligible and not be reviewed. Applications may only be submitted electronically via www.grants.gov, unless an exception has been granted by the grants management officer as described in the notice of funding opportunity. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Final award recommendations will be made by the Deputy Assistant Secretary for Population Affairs, using information from the independent review panel and the Federal staff reviews. The Grants Management Officer will conduct a risk assessment on the recommended applications and issue Notices of Award. Funded organizations receive a Notice of Award that includes all of the details of their award, including terms and requirements, and are assigned to a project officer from OPA and a Grants Management Specialist from the OASH Grants and Acquisitions Management Division. All decisions, including the level of funding, are final and not appealable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable. All decisions, including the level of funding, are final and not appealable.

Renewals:

>180 Days. Recipients apply for their non-competing continuation application 90 days prior to the end of the budget period. Guidance for applying for the non-competing continuation application is provided to the recipient by OPA. Decisions regarding continuation awards and the funding level of such awards will be made after consideration of such factors as the recipients progress and management practices, and the availability of funds. In all cases, continuation awards require a determination by HHS that continued funding is in the best interest of the government (42 C.F.R. 59.8(b)).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. While there is not a fixed cost-sharing percentage or amount, projects must include financial support from sources other than Title X.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

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Grants are funded in 12 month budget periods for a period of performance of up to 5 years. Decisions regarding continuation awards and the funding level of such awards will be made after consideration of such factors as the grantees progress and management practices, and the availability of funds. In all cases, continuation awards require a determination by HHS that continued funding is in the best interest of the government (42 C.F.R. 59.8(b) Method of awarding/releasing assistance: other

Reports:

Grantees will submit semi-annual or quarterly progress reports. Quarterly cash reporting to the HHS Payment Management System on the FFR is required. Grantees will submit semi-annual or quarterly progress reports. A final progress report covering the entire project period is due 120 days after the end of the project period. Quarterly cash reporting to the HHS Payment Management System on the FFR is also required. Please note these FFR reports are separate submissions via the Division of Payment Services. At this time, data is not transferable between the two systems and you will report twice on certain data elements. Grantees will submit performance measure data through the Family Planning Annual Report (FPAR) on an annual basis.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audit requirements covered by 45 CFR part 75.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 75.361, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$258,000,000.00; FY 21 est \$258,000,000.00; FY 22 est \$303,000,000.00;

Range and Average of Financial Assistance:

Family Planning Service grants range from \$150,000-\$21,000,000 per year.

TAFS Codes:

75-0359.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: OPA funded 73 organizations to provide family planning services to an estimated 3.1M clients. Fiscal Year 2021: OPA provided continuation funding to 71 continuation grantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

In accepting the award, the recipient stipulates that the award and any activities thereunder are subject to all provisions of 45 CFR part 75, currently in effect or implemented during the period of the grant or other Department regulations and policies effective at the time of the award. In addition, recipients must comply with all terms and conditions outlined in their grant awards, the Department of Health and Human Services (HHS) Grants Policy Statement, requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts.

Regional or Local Office:

None. Office of the Assistant Secretary for HealthOffice of Population AffairsOASH Grants and Acquisitions Division

Headquarters Office:

Amy Margolis,
Tower Building Suite 200, 1101 Wootton Parkway,
Rockville MD 20852
Email: Amy.Margolis@hhs.gov
Phone: 240-453-2820

Robin Fuller,
1101 Wootton Parkway, Plaza Level,
Rockville MD 20851
Email: Robin.Fuller@hhs.gov
Phone: 2404538822
Fax:

Website Address:

<http://www.hhs.gov/opa>

RELATED PROGRAMS:

93.260 Family Planning Personnel Training; 93.974 Family Planning Service Delivery Improvement Research Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 Grants with organizations to provide voluntary family planning services. Visit the OPA website at: <https://opa.hhs.gov/grant-programs/title-x-service-grants/current-title-x-service-grantees> for a listing of grantees and an overview of project activities. 2021 Grants with organizations to provide voluntary family planning services. Visit the OPA website at: <https://opa.hhs.gov/grant-programs/title-x-service-grants/current-title-x-service-grantees> for a listing of grantees and an overview of project activities. 2022 Grants with organizations to provide voluntary family planning services. Visit the OPA website at: <https://opa.hhs.gov/grant-programs/title-x-service-grants/current-title-x-service-grantees> for a listing of grantees and an overview of project activities.

CRITERIA FOR SELECTING PROPOSALS:

An independent review panel evaluates applications that pass the screening and meet the responsiveness criteria if applicable. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies. Based on the Application Review Criteria as outlined in the notice of funding opportunity, the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance.

93.220 National Institutes of Health Intramural Loan Repayment Program

NIH Intramural LRP,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

21st Century Cures Act, Title II, Part C, Section 2022, Public Law 114-255, 42 USC Code 288-1.

OBJECTIVES:

To recruit and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in laboratory or clinical research as employees of the NIH for a minimum period of two years for AIDS or Clinical Research or three years for General Research. There are three subcategories of research: AIDS research, general research, and clinical research for individuals from disadvantaged backgrounds.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in laboratory or clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 or 3 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts for 1-year terms are available, dependent upon the level of debt and continued involvement in

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research that supports the research and institutional needs and the mission of the NIH. Maximum program benefit is \$50,000 per year in loan repayments and \$23,250 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Specialized group (e.g. health professionals, students, veterans); Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (4) be appointed to the NIH Intramural Research Program under any temporary or permanent employment mechanism, so long as the employment has the potential to last the required minimum period; (5) not be under any existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (6) submit an application to participate in the Intramural Loan Repayment Program; and (7) sign and submit to the NIH Director, at the time of application submission, a contract agreeing to engage in research as an employee of the NIH for a minimum of 2 years (AIDS, Clinical) or 3 years (General).

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); State; Local; Public nonprofit institution/organization;

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from government or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during the period in which the debt was incurred; and (3) other documentation as may be required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must access and submit the LRP application electronically via the Application Submission System & Interface for Submission Tracking (ASSIST), <https://public.era.nih.gov/assist/>; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for participation by the LRC will be notified by the Program Director. The NIH Director, or a designee, will sign the LRP contract, provide a copy to the participant, and notice of the approved loan repayment amount.

Deadlines:

January 03, 2022 - March 15, 2022. Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The approximate time for approval/disapproval is 3 months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

From 90 to 120 days. At the conclusion of the initial contract, participants may apply and be considered for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year or 3-year period. One-year renewal awards are available. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and NIH Director. Method of awarding/releasing assistance: quarterly
Method of awarding/releasing assistance: quarterly

Reports:

Program participants must be certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40-hour week) during each three-month service period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

Direct Payments for Specified Use FY 20 \$5,266,010.00; FY 21 est \$5,000,000.00; and FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayment of 50 percent of education debt up to \$100,000. For initial three-year contract periods, loan repayment of 75 percent of education debt up to \$150,000. For one-year renewal contracts, loan repayment of 50 percent of education debt up to \$50,000.

TAFS Codes:

75-6846.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 70 awards (actual) Fiscal Year 2021: 75 awards (estimate) Fiscal Year 2022: 75 awards (estimate)

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in 21st Century Cures Act, Title II, Subtitle C, Section 2022, Public Law 114-255, 42 USC 288-1.

Regional or Local Office:

None.

Headquarters Office:

Director, NIH Intramural Loan Repayment Programs Patricia A. Cole, PhD,
Office of Intramural Training and Education National Institutes of Health Building
2, Room 2E18, 2 Center Drive,
Bethesda MD 20892
Email: colep@mail.nih.gov
Phone: 3014021283
Fax: Program Analyst Matthew R. Lockhart,
Division of Loan Repayment 6700B Rockledge Dr, Suite 2300,
Bethesda MD 20817
Email: matthew.lockhart@nih.gov
Phone: 2403803062
Fax:

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93,280 National Institutes of Health Extramural Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

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Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Intramural LRP after the LRC determines that the applicant will conduct qualified research and has qualifying educational debt. LRC approval, in part, is based upon the scientific merit of the research, its priority to the research institutes, and the credentials of the applicant.

93.223 Development and Coordination of Rural Health Services

National Rural Health Information Clearinghouse Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Section 711, as amended, (42 U.S.C. 912),

OBJECTIVES:

The purpose of this program is to serve as a national clearinghouse for information on rural health to support access to healthcare and improve population health in rural communities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved activities in accordance with the intent of the cooperative agreement as stipulated in the notice of award.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include domestic public, private and nonprofit entities. Domestic faith-based and community-based organizations, tribes, and tribal organizations are eligible to apply.

Beneficiary Eligibility:

Anyone/general public; Rural; The national rural health information clearinghouse is a gateway to information on rural health and social services for residents in rural areas of the United States and for all others interested in these issues.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Up to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually for a project period of up to 5 years. Method of awarding/releasing assistance: other

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter.

A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrsc>

hedule.pdf. A final report is due within 90 days after the project period ends. If

applicable, the awardee must submit a Tangible Personal Property Report (SF-428)

and any related forms within 90 days after the project period ends. New awards

(Type 1) issued under this notice of funding opportunity are subject to the reporting

requirements of the Federal Funding Accountability and Transparency Act

(FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law

110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement

recipients must report information for each first-tier subaward of \$25,000 or more

in federal funds and executive total compensation for the recipients and

subrecipients five most highly compensated executives as outlined in Appendix A

to 2 CFR Part 170 (FFATA details are available online at

<http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc.

may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees are required to maintain grant accounting records for 3 years after the

date they submit the Federal Financial Report (FFR). If any litigation, claim,

negotiation, audit, or other action involving the award has been started before the

expiration of the 3-year period, the records shall be retained until completion of the

action and resolution of all issues which arise from it, or until the end of the regular

3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$3,000,000.00; FY 21 est \$3,000,000.00; FY 22 est

\$3,000,000.00;

Range and Average of Financial Assistance:

Average award is \$3,000,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The RHihub website was visited over 1.7 million times from

June 1, 2020 through May 31, 2021, with more than 3.5 million pageviews. New

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topic guides were added including one on the Rural Response to Coronavirus Disease. The Rural Health Models & Innovations section of the website contains over 320 model programs, 12 of which are evidence-based, 46 are effective, and 46 are promising.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. Awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Steve Hirsch, Program Coordinator, SHirsch@hrsa.gov, Phone:(301) 443-7322.

Headquarters Office:

Steve Hirsch, Program Coordinator, Federal Office of Rural Health Policy, 5600 Fishers Lane, Room 17W41D, Rockville, Maryland 20857, Rockville MD 20857
Email: SHirsch@hrsa.gov
Phone: 3014430835
Fax:

Website Address:

<http://www.hrsa.gov/ruralhealth>

RELATED PROGRAMS:

93.913 Grants to States for Operation of Offices of Rural Health;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and rated on the applicant's ability to address the following areas to:(1) develop and maintain a rural health information clearinghouse; (2) track emerging and ongoing rural health issues and identify ways to solicit and distribute information for key stakeholders concerned about rural health care; (3) work collaboratively with ORHP to prioritize key issues and develop information resources to share with callers and web users; (4) gather, organize, and index information from a wide range of sources that deal with aspects of rural health care; (5) make referrals, avoid duplication of services, and ensure that rural residents are directed to appropriate resources for their information needs; (6) provide support to the Improve Rural Health Care Initiative and play a key role in broadly disseminating promising practices from rural communities; and (7) experience in working with the full range of key rural interest groups, along with knowledge of rural health and of the key individuals and organizations involved in ensuring availability of health care in rural areas.

93.224 Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care)

Health Center Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 330 of the Public Health Service (PHS) Act (42 U.S.C. 254b), Title , Part , Section

OBJECTIVES:

To improve the health of the Nation's underserved communities and vulnerable populations by ensuring continued access to comprehensive, culturally competent, high-quality primary health care services for communities and populations currently served by the Health Center Program. Individual health center grant mechanisms: (1) Community Health Centers; (2) Migrant Health Centers; (3) Health Care for the Homeless; and/or (4) Public Housing Primary Care Program.

Certain funding opportunities may also assist health centers to increase their capacity to respond to or recover from future emergencies and support continuity of access to high quality primary care services for underserved and vulnerable populations.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Special)

USES AND USE RESTRICTIONS:

Applications should be designed to ensure the availability and accessibility of required primary health care services to underserved populations in the service area. Refer to the applicable notice of opportunity for additional information.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are domestic public or private, non-profit private entities, including faith-based and community-based organizations, tribes, and tribal organizations that have the capacity to effectively administer the award.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Population groups in medically underserved areas, medically underserved populations, and special populations such as migratory and seasonal agricultural workers, people experiencing homelessness, and public housing residents.

Credentials/Documentation:

Applicants should review the applicable HRSA notice of funding opportunity (NOFO) issued under this assistance listing for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Environmental impact information is not required for this program.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Notification is made in writing by a Notice of Award (NoA).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the applicable notice of funding opportunity under this program.

Appeals:

Not Applicable.

Renewals:

Other. See applicable notice of funding opportunity for detail. Health Center Program

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

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Length and Time Phasing of Assistance:

Length and time phasing of assistance varies. See notice of funding opportunity for detail. Method of awarding/releasing assistance: other

Reports:

Refer to the applicable notice of funding opportunity under this assistance listing for reporting information. The awardee will be required to submit federal financial reports (see the NOFO and Notice of Award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is due according to the following schedule:
<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrcschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Performance Monitoring includes review of annual progress and data reports and routine site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Award recipients are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues, which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$5,032,704.00; FY 21 est \$605,032,704.00; FY 22 est \$605,032,704.00; Project Grants (Special) FY 20 \$79,500,000.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Varies. See applicable notice of funding opportunity

TAFS Codes:

75-0360.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The HRSA Health Center Program improves the health of the nations underserved communities and vulnerable populations by ensuring access to comprehensive, culturally competent, high-quality primary health care services. The Health Center Program provides patient-centered, comprehensive, integrated care to nearly 30 million people a year in communities across the United States through nearly 1,400 HRSA health centers that operate nearly 13,000 service delivery sites.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 75 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Bureau of Primary Health Care, Health Resources and Services Administration, 5600 Fishers Lane., Rockville MD 20857
Email: BPHCFunding@hrsa.gov
Phone: (301) 594-4300

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.527 Grants for New and Expanded Services under the Health Center Program; 93.129 Technical and Non-Financial Assistance to Health Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See applicable notice of funding opportunity for detail, to include funding opportunity specific technical review criteria. Through ongoing funding, Health Center Program applicants are expected to demonstrate compliance with applicable requirements including the Health Center Program requirements and the ability to be operational within 120 days of release of the Notice of Award.

93.225 National Research Service Awards Health Services Research Training

FEDERAL AGENCY:

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Law 106-129, Public Law 116-260

OBJECTIVES:

To provide predoctoral and postdoctoral training opportunities in health services research. Individual fellowships will be awarded directly to applicants for postdoctoral research training. Domestic institutions will also be awarded grants to enhance predoctoral and postdoctoral training programs and provide support to trainees selected by them. The purpose of these awards is to provide fellows and trainees with both academic training and actual experience in the application of research methods to the systematic analysis and evaluation of the organization, financing, utilization, and delivery of health care services.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Fellowship support is available for full-time health services research training. An institutional allowance is provided to the sponsoring institution for each fellow. Also, research training grants are awarded to institutions to provide support to trainees selected by the training program director at the grantee institution. This support is available for predoctoral and postdoctoral trainees. Offsets for tuition and fees are allowable for trainees, and an amount for training related expenses will be provided. Indirect costs are not allowed for Fellowships. For training grants, indirect costs are capped at 8% MTDC. Postdoctoral fellows and trainees in the first 12 months of postdoctoral NRSA support incur one month of payback obligation for each month of support. The thirteenth and subsequent months of postdoctoral NRSA support are considered payback service for prior postdoctoral support. Predoctoral fellows and trainees do not incur a service payback obligation.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Domestic public or private nonprofit organizations or institutions may apply for training grants. The applicant institutions must have or expand training programs designed to develop competent investigators in the methods and techniques of conducting health services research. Profit-making organizations are not eligible. Individual applicants for postdoctoral fellowships

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must have received a clinical or research doctoral degree. All persons supported as fellows or trainees must be citizens or noncitizen nationals of the United States, or have been lawfully admitted for permanent residence.

Beneficiary Eligibility:

Public nonprofit institution/organization; Private nonprofit institution/organization; Individuals and public or private nonprofit organizations or institutions are the beneficiaries of this program.

Credentials/Documentation:

An individual applicant for a postdoctoral fellowship must document receipt of a doctoral degree and acceptance by an appropriate institutional sponsor. Institutional applicants for training grants must document their research and training experiences, staff, curriculum, facilities, and other resources available. Costs will be determined in accordance with HHS Regulations 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information about health services research areas being supported is available from AHRQ. Individual fellowship applicants and institutions should submit Form SF 424 R&R. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. AHRQ considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grantees. When such decisions are made, applicants are notified and a Notice of Award is issued. Before receiving support, all individual fellows and institutional postdoctoral trainees must submit required forms, including a payback agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

Not Applicable.

Renewals:

Other. If additional support is desired to continue a grant beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Generally, no individual may receive more than 3 years of aggregate National Research Service Award support at the postdoctoral level, or 5 years at the predoctoral level, including any combination of support from institutional and individual awards.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, no individual may receive more than 3 years of aggregate National Research Service Award support at the postdoctoral level, or 5 years at the predoctoral level, including any combination of support from institutional and individual awards. Institutional training grants may be awarded for project periods up to 5 years. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request system. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees must submit quarterly SF425 reports to PSC. Annual and final progress reports are required. For Institutional Training Grants, a final progress report is due at the close of the project period. Annual reports of activities for tracking payback

provisions are required of all fellows and trainees upon termination of support. Annual and final Federal Financial Reports (FFR; SF425) are required for Institutional National Research Service Awards. Annual FFRs must be submitted within 90 days after the end of the calendar quarter in which the budget period ends. The final FFR must be submitted within 90 days after the end date of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants records are subject to audit and inspection by Federal auditors.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved. Records relating to individual payback obligations are retained for one year after the individual has fulfilled or has been excused from fulfilling the payback obligation.

Account Identification:

75-1700-0-1-552.

Obligations:

Training FY 20 \$8,779,844.00; FY 21 est \$9,396,661.00; and FY 22 est \$9,396,661.00;

Range and Average of Financial Assistance:

(Individual Fellowships) \$65,310 to \$83,446; \$73,032 average. (Institutional) \$125,220 to \$658,499; \$442,878 average. These figures are total costs.

TAFS Codes:

75-1700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is estimated that 4 to 6 individual fellowships and 19 institutional grants will be awarded. Fiscal Year 2022: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 42 CFR Part 66; HHS Grants Policy Statement, January 1, 2007.

Regional or Local Office:

None.

Headquarters Office:

Program Official Shelley Benjamin,
5600 Fishers Lane,
Rockville MD 20857

Email: Shelley.Benjamin@ahrq.hhs.gov

Phone: 301-427-1528

Website Address:

<http://www.ahrq.gov>

RELATED PROGRAMS:

93.226 Research on Healthcare Costs, Quality and Outcomes;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Individual fellowship applications, the following review criteria will be used:

(1) The applicant's past academic and research accomplishments; (2) research goals; (3) research training proposed; (4) qualifications of the sponsor; (5) appropriateness of training environment; and (6) reference reports. For Institutional training grant applications, the following review criteria will be used: (1) goals of the proposed training and probability of achievement; (2) substantive content, including courses offered; (3) qualifications and responsibilities of program director and faculty; (4) ability to recruit trainees; (5) extent of institutional participation through provision of necessary space, curriculum time, financial support, and appropriate facilities; (6) documented cooperation of related agencies in providing research experience; (7) proposed number of students; (8) methods for monitoring and evaluating trainees; and (9) reasonableness of budget. In all cases, AHRQ will consider the recommendations of the review committee, the Council program interests, and availability of funds in making the final selection of grantees.

ASSISTANCE LISTINGS DESCRIPTIONS

93.226 Research on Healthcare Costs, Quality and Outcomes

FEDERAL AGENCY:

AGENCY FOR HEALTHCARE RESEARCH AND QUALITY, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Law 106-129, Public Law 116-260, Public Law 116-94

OBJECTIVES:

To support research and evaluations, demonstration projects, research networks, and multidisciplinary centers and to disseminate information on health care and on systems for the delivery of such care involving: (1) The quality, effectiveness, efficiency, appropriateness and value of health care services; (2) quality measurement and improvement; (3) the outcomes, cost, cost-effectiveness, and use of health care services and access to such services; (4) clinical practice, including primary care and practice-oriented research; (5) health care technologies, facilities and equipment; (6) health care costs, productivity, organization, and market forces; (7) health promotion and disease prevention, including clinical preventive services; (8) health statistics, surveys, database development, and epidemiology; (9) digital healthcare research; and (10) patient safety research, including healthcare-associated infections. In support of this research, the Agency has a special interest in health care and its delivery in the inner city, in rural areas, and for priority populations (low-income groups, minority groups, women, children, the elderly, and individuals with special health care needs).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The research should contribute to the health services knowledge base from which empirically based information can be derived by policymakers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs (also referred to as facilities and administrative costs). Unallowable costs are indicated in the HHS Grants Policy Statement.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federal, State or local government agencies, federally-recognized Indian Tribal Governments, U.S. Territories, non-government organizations, public or private institutions of higher education, and other public or nonprofit private agencies, institutions, or organizations. For-profit organizations are eligible to apply for these grants only if "cooperative agreement" is the designated funding mechanism. Organizations described in section 501(c)4 of the Internal Revenue Code that engage in lobbying are not eligible.

Beneficiary Eligibility:

Federal; U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Anyone/general public; Native American Organizations; Consumer; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Federal, State or local government agencies, federally-recognized Indian Tribal Governments, public or private nonprofit institutions, U.S. territories, Native American organizations, consumers, students, minority groups, specialized groups, health or education professionals, individuals, scientist/researchers, and the general public.

Credentials/Documentation:

Nonprofit organizations must submit proof of their nonprofit status when applying for grants. Costs will be determined in accordance with DHHS Regulations 45 CFR 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted in response to a Funding Opportunity Announcement (FOA). All FOAs are posted on www.Grants.gov. Refer to the FOA of interest for specific requirements regarding the application procedure. For State agencies, no State Plan is required. Applications are reviewed and evaluated by a group composed primarily of nonfederal scientists. The review is conducted by a panel of experts in the specific study area proposed. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. AHRQ considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grant applications for funding. When such decisions are made, applicants are notified and a Notice of Award is issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

Not Applicable.

Renewals:

Other. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be approved for project periods of up to 5 years, depending on the grant program and based on the availability of funding. Refer to the FOA of interest for information regarding the maximum duration of the project period. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees must submit quarterly SF425 reports to PSC. Progress reports are required on an annual basis. Some programs also require interim progress reports. A final progress report must be submitted within 90 days after the end of a project period. Inventions are to be reported immediately. A Final Invention Statement must be submitted within 90 days after the end of a project period. An annual Federal Financial Report (FFR; SF425) must be submitted within 90 days after the end of the calendar quarter in which the budget period ends. A final FFR must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

75-1700-0-1-552.

Obligations:

Project Grants FY 20 \$116,803,186.00; FY 21 est \$117,251,638.00; and FY 22 est \$141,146,203.00;

Range and Average of Financial Assistance:

\$13,335 to \$1,123,956; \$307,151 average. These are total cost figures (direct costs plus associated facilities and administrative costs, if appropriate).

TAFS Codes:

75-1700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: AHRQ funded 374 grants. Fiscal Year 2021: AHRQ anticipates funding 330 grants. Fiscal Year 2022: AHRQ anticipates funding 257 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 67, Regulations for Grants for Health Services Research, Evaluation, Demonstration, and Dissemination Projects as amended by Public Law 106-129; HHS Grants Policy Statement, January 1, 2007.

Regional or Local Office:

None.

Headquarters Office:

Chief Grants Management Officer George Gardner,
5600 Fishers Lane,
Rockville MD 20857
Email: George.Gardner@ahrq.hhs.gov
Phone: 301-427-1447

Website Address:

<http://www.ahrq.gov>

RELATED PROGRAMS:

93.225 National Research Service Awards_Health Services Research Training;

EXAMPLES OF FUNDED PROJECTS:

2021 Based on availability of funding, it is anticipated that AHRQ-supported grants will continue to focus on digital healthcare research, patient safety research, prevention and care management, and quality, effectiveness, and efficiency research. 2022 No current data available.

CRITERIA FOR SELECTING PROPOSALS:

The proposals must first be reviewed by review groups of peers and recommended for approval on the basis of scientific and technical merit. This includes consideration of the qualifications of the principal investigator and staff to conduct the research using appropriate methodology and budget. Those approved proposals which are most relevant to the identified program priority issues of the AHRQ are funded to the extent that funds are available.

93.228 Indian Health Service, Health Management Development Program

(Indian Health),

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

25 U.S.C. 450h (b)(1) and 25 U.S.C. 450h(e) of the Indian Self Determination and Education Assistance Act, Public Law 93-638, as amended.,

OBJECTIVES:

To develop and enhance management infrastructure of Federally-recognized Tribes and Tribal organizations to assume all or part of existing Indian Health Service programs, functions, services and activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The assistance is used for four types of management related projects: (1) Feasibility

Studies; (2) Planning; (3) Tribal Health Management Structure Development; and (4) Evaluation. This assistance is available to Federally-recognized Indian Tribes and Tribal-sanctioned Tribal organizations.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Federally-recognized Tribes and Tribally-sanctioned Tribal organizations.

Beneficiary Eligibility:

Individual/Family; Minority group; American Indian; Individuals who are members of an eligible applicant Tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as Tribal membership, enrollment, residence on tax exempt land, ownership of restricted property, active participation in Tribal affairs or other relevant factors.

Credentials/Documentation:

Certification of Native Indian or Alaskan descent is required for direct services. Provisions of grants under Public Law 93-638 and its amendments require Tribal endorsement in the form of a Resolution to sanction Tribal participation. Costs will be determined in accordance with 2 CFR part 230 Cost Principles for Nonprofit Organizations as implemented through program regulations 42 CFR 36 and applicable grant administration regulations 45 CFR, Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The applicant package may be found in Grants.gov. or at <https://www.ihs.gov/dgm/funding/>. This program is subject to the provisions of 45 CFR 75.

Award Procedure:

Grants are awarded on a competitive basis with processing and final approval by the IHS Headquarters.

Deadlines:

September 01, 2020. For FY 2020 Awards For Public Law 93-638 grants applications must be submitted 90 days prior to the proposed project start date. Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved/disapproved within ninety (90) days from receipt of application/proposal.

Appeals:

From 30 to 60 days. Grant appeals will follow PHS appeals procedures: 42 CFR, Part 50, Subpart D and DHHS appeals procedures: 45 CFR 16.

Renewals:

Other. Renewal of grants is on a year-to-year basis and requires the submission of non-competing continuation applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Individual projects are awarded annually for 12 months based on receipt of appropriations. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Service, with copies semi-annually to the assigned Program Officer and Grants Management Specialist. Final reports are due 90 days after the end of the project period. Grantees are required to submit semi-annual progress reports to the assigned Grants Management Specialist and Program Official. Final reports are due 90 days after the end of the project period. Grantees are required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Service, with copies semi-annually to the assigned Program Officer and Grants Management Specialist. Final reports are due 90 days after the

ASSISTANCE LISTINGS DESCRIPTIONS

end of the project period. All IHS grant awards are monitored for financial and grant compliance by the Division of Grants Management and for programmatic compliance by the IHS Program Staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 75.361, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

Project Grants FY 20 \$1,802,826.00; FY 21 est \$2,456,000.00; FY 22 est \$2,456,000.00;

Range and Average of Financial Assistance:

\$50,000 - \$100,000 Range; \$100,000 Average.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, HHS Grants Policy Statement, (Rev.) January, 2007; Program Guidelines for Competitive Projects.

Regional or Local Office:

None. Program: Mr. Ken Coriz, Office of Direct and Service Contract Tribes, Indian Health Service, 5600 Fishers Lane, Mail Stop: 09E37C, Rockville, MD 20857, Phone: (301) 443-1104; Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857

Email: grantspolicy@ihs.gov

Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.231 Epidemiology Cooperative Agreements

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,

DEPARTMENT OF AUTHORIZATION:

Indian Health Care Improvement Act, , Public Law 94-437, 25 USC Code 1621m.

OBJECTIVES:

The purpose of this cooperative agreement program is to fund Tribes, Tribal and urban Indian organizations, and intertribal consortia to provide epidemiological support for the AI/AN population served by IHS. Tribal Epidemiological Center (TEC) activities should include, but are not limited to, enhancement of surveillance for disease conditions; research, prevention and control of disease, injury, or disability; assessment of the effectiveness of AI/AN public health programs; epidemiologic analysis, interpretation, and dissemination of surveillance data; investigation of disease outbreaks; development and implementation of epidemiologic studies; development and implementation of disease control and prevention programs; and coordination of activities with other public health authorities in the region. It is the intent of IHS to fund several TECs that will serve Tribes and urban Indian communities in all 12 IHS Administrative Areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used to develop and conduct activities to achieve at least one epidemiology programs in each of the 12 Areas of Indian country. The recipient activities will coordinate and participate in projects, investigations, or studies of national scope; and share surveillance and other data collected. IHS activities will convene workshops/meetings; provide technical assistance and consultation; provide training; conduct site visits; and coordinate all epidemiological activities on a national basis.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); AI/AN Tribes, Tribal organizations, and eligible intertribal consortia or urban Indian organizations as defined by 25 U.S.C. 1603(e) may be eligible for a TEC cooperative agreement. Such entities must represent or serve a population of at least 60,000 AI/AN to be eligible as demonstrated by Tribal resolutions or the equivalent documentation from urban Indian clinic directors/Chief Executive Officers (CEOs). Applicants must describe the population of AI/ANs and Tribes that will be represented. The number of AI/ANs served must be substantiated by documentation describing IHS user populations, United States Census Bureau data, clinical catchment data, or any method that is scientifically and epidemiologically valid. An intertribal consortium or urban Indian organization is eligible to receive a cooperative agreement if it is incorporated for the primary purpose of improving AI/AN health, and represents the Tribes, AN villages, or urban Indian communities in which it is located. Resolutions from each Tribe, AN village and equivalent documentation from each urban Indian community represented must be included in the application package. Collaborations with IHS Areas, Federal agencies such as the Centers for Disease Control and Prevention (CDC), State, academic institutions or other organizations are encouraged (letters of support and collaboration should be included in the application).

Beneficiary Eligibility:

Minority group; Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally-recognized Indian Tribe will benefit from the Tribal Epidemiology Centers. Federally-recognized Indian Tribe means any Indian Tribe, band, nation, or other organized group or community, including any Alaska Native village or group or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688) [43 U.S.C. 1601, et seq.], which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. 25 U.S.C. 1603 (d). Tribal organization means the elected governing body of any Indian Tribe or any legally established organization of Indians which is controlled by one or more such bodies or by a board of directors elected or selected by one or more such bodies or elected by the Indian population to be served by such organization and which includes the maximum participation of Indians in all phases of its activities. 25 U.S.C. 1603(e). Urban Indian organization means a non-profit corporate body situated in an urban center governed by an urban Indian controlled board of directors, and providing for the

ASSISTANCE LISTINGS DESCRIPTIONS

maximum participation of all interested Indian groups and individuals, which body is capable of legally cooperating with other public and private entities for the purpose of performing the activities. 25 U.S.C. 1603(h).

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; (2) tribal resolution(s); and (3) letters of support and collaboration with regional IHS, State, or university organizations. Costs will be determined in accordance with 2 CFR 230 Cost Principles for Non-Profit Organizations, and applicable grant administration regulations 45 CFR 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). Waivers from the electronic process must be made at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. You may not e-mail an electronic copy of a grant application to IHS.

Award Procedure:

These awards are issued under a competitive grant process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements will be awarded for project periods of up to 5 years. Within the project period, a continuation application must be submitted via GrantSolutions.gov annually on a non-competitive basis for each year of support. Additional support is subject to availability of funds. Method of awarding/releasing assistance: other

Reports:

Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 .

Records:

DHHS and the Comptroller General of the United States or any of their authorized

representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$22,308,380.00; FY 21 est \$27,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

\$565,475 to \$1,322,125; average award \$795,535.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 94-437, Section 214(a)(1), as amended by Public Law 102-573; 45 CFR 75; authorizes the cooperative agreement grant awards. HHS Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contact: Lisa Neel, MPH; Division of Epidemiology and Disease Prevention, Indian Health Service, 5600 Fishers Lane, Mail Stop: 09E10-D, Rockville, MD 20857. Telephone: (301) 443-4305. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are introduction, current capacity and project objectives, approach and results and benefits, project evaluation, organization capabilities and qualifications, and budget. Consideration will be given to applicants: (1) Proposing to provide services to large regions consisting of more than a single IHS administrative area; and (2) demonstrating evidence of past and current epidemiological activities.

93.232 Loan Repayment Program for General Research

(NIH General Research Loan Repayment Program; GR-LRP),

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title IV, Part VI, Section 487C, Public Law 100-43, 42

ASSISTANCE LISTINGS DESCRIPTIONS

USC Code 288-3.

OBJECTIVES:

This listing is no longer in use. To recruit and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in laboratory or clinical research as employees of the NIH for a minimum 3-year period.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in laboratory or clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 3 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts for 1-year terms are available, dependent upon the level of debt and continued involvement in research that supports the research and institutional needs and the mission of the NIH. Maximum program benefit is \$35,000 per year in loan repayments and \$16,275 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Individual/Family; Federal; Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (4) be appointed to the NIH Intramural Research Program under any temporary or permanent employment mechanism, so long as the employment has the potential to last a minimum of three years; (5) not be under any existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (6) submit an application to participate in the Loan Repayment Program for General Research; and (7) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in research as an employee of the NIH for a minimum of 3 years.

Beneficiary Eligibility:

Health Professional; Scientist/Researchers; NIH researchers who possess substantial unpaid educational debt relative to income will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from government or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during the period in which the debt was incurred; and (3) other documentation as may be required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The approximate time for approval/disapproval is 3 months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

From 90 to 120 days. At the conclusion of the initial 3-year contract, participants may apply and be considered for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 3-year period. One-year continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 3 years. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation. Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections

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also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

Direct Payments for Specified Use FY 20 \$5,192,760.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

(Loan Repayment) For initial 3-year contracts, loan repayment awards may range from \$7,800 to \$105,000; Tax reimbursements range from \$3,679 to \$48,825. The average contract cost which includes loan and tax reimbursement is \$102,000.

TAFS Codes:

75-0009.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 69 awards

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section 487C of the Public Health Service Act (42 U.S.C. 288-3); Public Law 105-392; and Federal Register, Volume 78, No. 66, Friday, April 5, 2013. Notice of Final Rule.

Regional or Local Office:

Headquarters Office:

Director, NIH Intramural Loan Repayment Programs Patricia A. Cole, PhD,
Office of Intramural Training and Education National Institutes of Health U.S.
Department of Health and Human Services Building 2, Room 2E182 Center Drive,
Bethesda MD 20892-0230
Email: colep@mail.nih.gov
Phone: 3014021283
Fax: 3014802942

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.220 National Institutes of Health Intramural Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH General Research Loan Repayment Program after the LRC determines that the applicant will conduct qualified research and has qualifying educational debt. LRC approval, in part, is based upon the scientific merit of the research, its priority to the research institutes, and the credentials of the applicant.

93.233 National Center on Sleep Disorders Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

NIH Reauthorization Act of 1993, Public Health Service Act, Section 503: Subpart 2, Part C, Title IV, Section 424, 42 U.S.C. 285b et seq.,

OBJECTIVES:

The National Center on Sleep Disorders Research (NCSDR) supports research and research training related to sleep disordered breathing, and the fundamental functions of sleep and circadian rhythms. The center also stewards several forums that facilitate the coordination of sleep research across NIH, other federal agencies and outside organizations, including the Sleep Disorders Research Advisory Board and a Trans-NIH Sleep Research Coordinating Committee. The center also participates in the translation of new sleep research findings for dissemination to health care professionals and the public. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6 to 12 months duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Direct to Phase II SBIR awards are for projects that have been developed beyond the feasibility phase using resources other than NIH Phase I awards that meet program needs and exhibit potential for commercial application. SBIR Phase IIB awards are for promising projects that require additional time and funding to advance to commercialization. The Phase IIB program promotes partnerships between SBIR or STTR Phase II awardees and third-party investors and/or strategic partners by encouraging matching contributions. STTR Phase I awards (normally of 6 to 12 months duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. The SBIR/STTR Commercialization Readiness Pilot Program awards facilitate the transition of previously or currently funded SBIR and STTR Phase II and Phase IIB projects to the commercialization phase by providing additional support for technical assistance and later stage research and development not typically supported through Phase II or Phase IIB grants or contracts including replication of key studies, Investigational New Drug (IND)-enabling studies, clinical studies, manufacturing costs, regulatory assistance or a combination of services. While the intent of the SBIR/STTR programs is commercialization (Phase III), only SBIR/STTR technical and business assistance (TABAs) funds are allowed to be used for commercialization activities such as patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships. The remaining SBIR/STTR funds are for research and development purposes only and exclude these commercialization activities.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes

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institutions of higher education and hospitals); Individual/Family; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit or owned by multiple venture capital operating companies, hedge funds, private equity firms, or any combination of these, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects are generally performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other nonprofit research institution in cooperative research and development. The principal investigator of an STTR award may be employed with either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Health Professional; Education Professional; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

>180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The NHLBI Advisory Council may recommend funding for periods typically ranging from 1 to 7 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 to 12 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 6 to 12 months; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. Method of awarding/releasing assistance: other

Reports:

Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and paycheck provisions. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and paycheck provisions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment

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is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipient's personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-01-552.

Obligations:

Project Grants FY 20 \$80,618,351.00; FY 21 est \$81,071,612.00; and FY 22 est \$85,248,913.00;

Range and Average of Financial Assistance:

Grants: \$15,524 to \$2,693,865; \$490,664. SBIR Phase I - \$252,113; Phase II - up to \$1,000,000; STTR Phase I - \$299,743; Phase II - \$1,000,000.

TAFS Codes:

75-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The estimates for fiscal year 2021 are 158 research grants and 23 National Research Service Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the NIH, CDC, and FDA for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the NIH for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Since Sleep Disorders Research Program is now integrated into the Cardiovascular, Lung and Blood Diseases Research Programs, all funding related to sleep research have already been reported under the respective programs.

Headquarters Office:

NHLBI Referral Officer Dana A. Phares, Ph.D.,
6701 Rockledge Drive, Room 7176,
Bethesda MD 20892
Email: pharesda@nhlbi.nih.gov
Phone: 301-827-7968

Website Address:

<https://www.nhlbi.nih.gov/about/scientific-divisions/national-center-sleep-disorders-research>.

RELATED PROGRAMS:

93.837 Cardiovascular Diseases Research; 93.838 Lung Diseases Research; 93.839 Blood Diseases and Resources Research; 93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives;

(2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; (8) the adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) The adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.234 Traumatic Brain Injury State Demonstration Grant Program

TBI State Partnership Program,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title XII, Section 1252 (42 USC 300d-52) as amended by the Childrens Health Act of 2000, sec.1304, PL 106-310, as further amended by the Traumatic Brain Injury Act of 2008, sec. 6(a), PL 110-206. 2014 (P.L.113-196), (P.L. 115-377),

OBJECTIVES:

To create and strengthen a system of services and supports that maximizes the independence, well-being, and health of people with TBI across the lifespan and all other demographics, their family members, and support networks.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project grants to States are to improve access to health and other Traumatic Brain Injury (TBI)-related services for people of all ages across the United States. Any State seeking TBI grant funds is required to agree to establish or have an advisory board within the appropriate health department of the State or within another department as designated by the Chief Executive Officer of the State. The Board's composition as specified must include representatives of the involved State agencies; public and nonprofit private health related organizations; disability advisory or planning groups; members of an organization or foundation representing individuals with TBI; State and local injury control programs if they exist, and a substantial number of individuals with TBI and their family members. People with TBI should comprise 50% of the Advisory Board. These grants are to be used in building a statewide system to assure access to comprehensive and

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coordinated TBI services. Grants can address a wide range of activities and should reflect gaps or needed system change identified through the TBI state plan. Funds under this announcement may not be used for the following purposes: construction projects or for pre-award costs (reimbursement is not given for costs incurred prior to receiving the award) or to support primary injury prevention initiatives, research initiatives, or the provision of direct services. For any available grant funds in excess of \$10,000,000, distribution of grants will be at the discretion of the Administration for Community Living (ACL), and will be made according to the criteria communicated to the States via a grant solicitation.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; State, territorial governments, and federally recognized Indian Tribal governments and Native American organizations are the only eligible applicants for funding. The application for a TBI State Partnership Program Mentor State Grant may only come from the state agency designated by the Chief Executive Officer of the state as the lead agency for TBI within the state, territory, or Indian tribal government. Only one application from each state, territorial government, federally recognized Indian tribal government or Native American organization may enter the review process and be considered for a TBI State Partnership Program Grant.

Beneficiary Eligibility:

State; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); State, Individuals with TBI and their families, including those in high risk groups, such as children and youth, older adults, Native Americans and Alaska Natives, military service members and veterans, and people in the criminal justice system.

Credentials/Documentation:

Applicants should review the individual ACL funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E-Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACL requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the ACL program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Application forms are submitted through a centralized depository and received by the awarding agency, Administration for Community Living. Applicants generally apply under a program announcement indicating all the necessary application procedures to be followed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. No renewals; grants are approved for three years for TBI State Partnership Program grants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Cost Sharing/Matching is required for this program per 1252(c) of the Public

Health Service Act. The State or Territory must agree to make available non-Federal contributions in an amount that is not less than \$1 for each \$2 of Federal funds provided under the grant. Non-Federal funds may be cash or in-kind and fairly evaluated, including plant, equipment, or services. State, Territorial or Indian Tribal government contributions may not include any amounts provided by the Federal government. The match, as required by the TBI legislation, must come from State or local sources and may be governmental or non-governmental resources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually in accordance with the project period method of awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are for 1, 2, 3, 4 or 5 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Program Progress Reports are due semi-annually (within 30 days following each six month period), effective with the start date of the award. This report must be submitted as a note using an authorized GrantSolutions account. Grantees are required to submit annual financial status reports (SF 425), which are due 30 days following each twelve month reporting period. You must reconcile your cash accounts with your expenditures for the reporting period and submit a cumulative report each year. A final report is due 90 days after the expiration date of the project period and must reconcile with the final cash portion reported on line 10c. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: http://www.acl.gov/Funding_Opportunities/Grantee_Info/Reporting.aspx. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at http://www.acl.gov/Funding_Opportunities/Grantee_Info/FFATA.aspx). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Grantees are required to submit annual financial status reports (SF 425), which are due 30 days following each twelve month reporting period. You must reconcile your cash accounts with your expenditures for the reporting period and submit a cumulative report each year. A final report is due 90 days after the expiration date of the project period and must reconcile with the final cash portion reported on line 10c.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0412-0-1-506.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Project Grants FY 20 \$11,321,000.00; FY 21 est \$11,321,000.00; FY 22 est \$11,887,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

None. Administration for Community Living - Administration on Disabilities

Headquarters Office:

Dana Fink,
330 C St SW,
Washington DC 20201
Email: dana.fink@acl.hhs.gov
Phone: (202) 795-7604
Fax:

Website Address:

<https://tbi.acl.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed by nonfederal consultants who make recommendations to the Administrator of Community Living. The Administrator will approve projects which best promote the purposes of TBI grants.

93.235 Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program

Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program.

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Title V SRAE Program is authorized and funded by Section 510 of the Social Security Act (42 U.S.C. 710), as amended by Section 50502 of the Bipartisan Budget Act of 2018 (Pub. L. No. 115-123) and extended by Division CC, Title III, Section 303 of the Consolidated Appropriations Act, 2021 (Pub. L. No. 116-260), Title V Social Security Act, Section 510, Public Law 115-123, 42 USC Code 710.

OBJECTIVES:

The purpose of the Title V State SRAE program is to provide messages to youth that normalizes the optimal health behavior of avoiding non-marital sexual activity. Title V SRAE is a prevention education program targeted to youth ages 10 to 19. Programmatic services must be medically accurate and complete; age-appropriate; based on adolescent learning and developmental theories for the age group receiving the education; and culturally appropriate, recognizing the experiences of youth from diverse communities, backgrounds, and experiences. Education on sexual risk avoidance must address each of the following topics: (A) The holistic individual and societal benefits associated with personal responsibility, self-regulation, goalsetting, healthy decision making, and a focus on the future. (B) The advantage of refraining from non-marital sexual activity to improve the future prospects and physical and emotional health of youth. (C) The increased likelihood

of avoiding poverty when youth attain self-sufficiency and emotional maturity before engaging in sexual activity. (D) The foundational components of healthy relationships and their impact on the formation of healthy marriages and safe and stable families. (E) How other youth risk behaviors, such as drug and alcohol usage, increase the risk for teen sex. (F) How to resist and avoid, and receive help regarding, sexual coercion and dating violence, recognizing that even with consent teen sex remains a youth risk behavior.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Title V State SRAE funding will be used to award formula grants. Additionally, a set-aside of 20% of the total \$75,000,000 appropriation will fund program support activities. Funds (\$60,000,000) shall be reserved for state grant awards. Since FY2018, States have an opportunity to apply for formula funding by submitting an application or letter of intent. These Title V State SRAE projects have a two-year project period, as funds are available. Funds (a 20% set-aside of \$15,000,000) shall be reserved for program support. Program support includes research, training and technical assistance, a national evaluation, and the collection of performance measures.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Fifty-nine (59) entities are eligible, including all 50 states, the District of Columbia, Puerto Rico, U.S. Virgin Islands, Guam, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; Women; School; Child (6-15); Youth (16-21); Black; American Indian; Spanish Origin; Asian; Other Non-White; Juvenile Delinquent; Title V State SRAE will fund states to provide youth ages 10 to 19 with education on sexual risk avoidance (meaning voluntarily refraining from sexual activity) and other risky behaviors.

Credentials/Documentation:

States will not be required to submit full applications after the initial funding year unless there are material changes made to the program. Instead, states receiving funding in FY 2020 will be required to submit a written letter of intent and updated state plan to receive funding for FY 2021. To qualify for funding in FY 2022, states must submit an application and state plan for review and approval prior to the award of funds. A signed letter from the authorized representative must accompany each application; it should include documentation establishing the authorized representatives authority to apply for and administer the Title V SRAE grant program on behalf of the State and Territory. States are expected to submit program plans that are medically accurate and complete, age-appropriate based on adolescent learning and developmental theories for the age group receiving the education, and be culturally appropriate, recognizing the experiences of youth from diverse communities, backgrounds, and experiences. All programs incorporated by a state must ensure that sexual risk avoidance education has a holistic approach and demonstrate societal benefits with the focus being on the future. These projects must focus on the social, psychological, and health gains to be realized by refraining from non-marital-sexual activity and engaging in healthy relationships. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Each fiscal year allocation will remain available for expenditure by the state through the end of the succeeding fiscal year. For example, FY 2020 allocations through this announcement remain available for expenditure by the state until September 30, 2021. FY 2021 allocations through this announcement

ASSISTANCE LISTINGS DESCRIPTIONS

will remain available for expenditure by the state through September 30, 2022. States will not be required to submit full applications after the initial funding year unless there are material changes made to the program. Instead, states receiving funding in FY 2021 will be required to submit a written letter of intent and updated state plan to receive funding for FY 2022. Application requirements and procedures are listed in the NOFO which can be found on the ACF website at: <https://ami.grantsolutions.gov/>.

Award Procedure:

Recipients are notified that funds have been awarded through a Notice of Award (NOA) issued by the Administration for Children and Families. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

See the Notice of Funding Opportunity (NOFO) for deadline information.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, subject to the limitations of the Appendix A to 45 CFR Part 16, appeals may take place in accordance with 45 CFR Part 16

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Title V State SRAE awards have a 24-month project and budget period. Method of awarding/releasing assistance: other

Reports:

See Progress Reports The Financial Status Report is required annually. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the Notice of Funding Opportunity and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements>. Title V State SRAE grantees recipients must submit their reports online through the Online Data Collection (OLDC) system at: <https://extranet.acf.hhs.gov/ssi>. Failure to submit reports on time may be a basis for withholding grant funds, suspension, or termination of the grant. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting is listed in the notice of funding opportunity and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements>. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. FYSB requires project officers to conduct routine performance reviews that includes off-site and on-site monitoring of the Title V State SRAE grants.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1512-0-1-506.

Obligations:

Formula Grants FY 20 \$45,697,015.00; FY 21 est \$53,519,512.00; FY 22 est \$53,519,512.00;

Range and Average of Financial Assistance:

The range of awards for Title V State SRAE awards in FY2020 and FY2021 is \$47,000 to \$7.6million. The average award amount for FY2020 \$1.25 million and \$1.41 million in FY2021.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the program awarded 37 grants. Fiscal Year 2021: In FY 2021, the program awarded 38 grants Fiscal Year 2022: In FY2022, it is anticipated that there will be 38 awards

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Family and Youth Services Bureau central office for available literature. You may also get information on the Family and Youth Services Bureau website at the following address: <http://www.acf.hhs.gov/programs/fysb>

Regional or Local Office:

None.

Headquarters Office:

Program Manager Courtney Gaskins, Ph.D.,
330 C St., SW.,
Washington DC 20201
Email: courtney.gaskins@acf.hhs.gov
Phone: (202) 841-1952

Fax:

Website Address:

<http://www.acf.hhs.gov/programs/fysb>

RELATED PROGRAMS:

93.060 Sexual Risk Avoidance Education ; 93.787 Title V Sexual Risk Avoidance Education Program (Discretionary Grants); 93.092 Affordable Care Act (ACA) Personal Responsibility Education Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Title V State SRAE programs are required to develop and implement education that normalizes the optimal health behavior of avoiding non-marital sexual activity. For FY 2020 and FY 2021 funds allotted to States and Territories (States), the Administration for Children and Families (ACF) advised States and Territories of the funding opportunity through a Notice of Funding Opportunity (NOFO). Applications, to include state plans, were rated Approved or Approved with Conditions by Program administrators. States that received an Approved rating were awarded grants without a requirement to submit additional information. States submitting state plans that were Approved with Conditions" received grant awards with a request to submit documentation to address areas of weakness as noted in the review process. States and Territories awarded FY2019 funds submitted a letter of intent in order to receive their FY2020 award. There were 39 Title V State SRAE grants awarded in FY 2019 and 37 awards in FY 2020. It is anticipated that 38 awards will be made in FY2022.

93.236 Grants to States to Support Oral Health Workforce Activities

State Oral Health Workforce Program (SOHW),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

ASSISTANCE LISTINGS DESCRIPTIONS

AUTHORIZATION:

Section 340 G of the Public Health Service Act (42 U.S.C. 256g),.

OBJECTIVES:

Grants to States to Support Oral Health Workforce Activities assists states to develop and implement innovative programs to address the dental workforce needs of designated Dental Health Professional Shortage Areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Restricted Uses: Funds may only be used for the purposes identified in statute, and may not be used for purposes specified in HRSA SF-424 R&R Application Guide. In addition, funds for this program may not be used for new construction or for the purpose of conducting major renovation activities, nor can funding be used for the acquisition of real property.

Applicant Eligibility:

U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include Governor appointed, state government entities. In addition to U.S. states, eligible applicants include: District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, American Samoa, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. Each state is limited to only one application. Applicants must have significant experience with addressing oral health workforce issues in underserved populations. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Anyone/general public; Native American Organizations; Health Professional; Student/Trainee; Graduate Student; State; Education (13+); Federally Recognized Indian Tribal Governments; Beneficiaries include Governor appointed, State government entities.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity (NOFO) issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications are forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Award notifications are made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications

may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 40%. Requirement: Matching requirements are mandatory.

An entity that receives a grant under this program must contribute non-federal funds to activities carried out under this grant to an amount equal to at least 40 percent of the federal funding in support of the project. Matching funds may be a combination of in-kind contributions, fairly valued, including plant, equipment, and services and may provide the contributions from state, local, or private sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This program provides support for a project period of four years with annual budget periods. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA Application Guide. A final report is due within 90 days after the project period ends. A Federal Financial Report (SF-425) is required according to the schedule in HRSA Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$11,903,616.00; FY 21 est \$13,004,469.00; FY 22 est \$13,004,469.00;

Range and Average of Financial Assistance:

FY 20 (estimate) Range: \$209,904 to \$400,000 Average award: \$375,719 FY 21 (estimate) Range: \$91,533 to \$400,000 Average award: \$340,103 FY 22 (estimate) Range: \$91,533 to \$400,000 Average award: \$340,103

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: During academic year 2018-2019 approximately 50 percent of program-supported students and dental residents reported coming from a rural residential background. Awardees also established six new oral health facilities for children with unmet needs in dental health professions shortage areas (HPSAs) and expanded six oral health facilities in dental HPSAs to provide education, prevention, and restoration services to 13,834 patients. In addition, awardees

ASSISTANCE LISTINGS DESCRIPTIONS

supported 45 tele-dentistry facilities; replaced seven7 water fluoridation systems to provide optimally fluoridated water to 1,358,663 individuals; provided dental sealants to 5,060 children; provided topical fluoride to 19,682 individuals; provided diagnostic or preventive dental services to 7,866 persons; and oral health education to 39,674 persons. During academic year 2019-2020, awardees supported 80 health profession training sites with 68 in medically underserved communities, 19 in rural areas, and 65 in primary care settings.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Chief, Oral Health Branch Erika Terl, Chief, Oral Health Branch, Division of Medicine and Dentistry, Bureau of Health Workforce,
5600 Fishers Lane Room 15N-120,
Rockville MD 20857

Email: eterl@hrsa.gov

Phone: 301.443.4107

Fax: 301-443-0162

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of funded projects through this program include: 1) increasing the capacity of the oral health workforce to provide comprehensive oral health services in Dental HPSAs through portable school-based restorative care programs; 2) strengthening the oral health surveillance system by addressing data gaps across the lifespan; 3) developing training programs for oral health providers practicing in advanced roles; 4) advancing better practices for pain management and improving access to recovery services for substance use disorder patients; and 5) improving oral health through leadership, collaboration and action at the state and local level, with an emphasis on connecting oral health professionals to rural/or underserved areas.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.237 Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects

(Indian Health),

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Medicare & Medicaid Extenders Act of 2010, , Public Law 111-309, Public Health

Service Act, , 42 USC Code 330C(c)(2)(c). Medicare Improvements for Patients and Providers Act of 2008, , Public Law 110-275, Balanced Budget Act of 1997, , Statute 111-574, Public Law 105-33

OBJECTIVES:

To promote improved health care among American Indians/Alaska Natives through special diabetes prevention and treatment services with objectives and priorities determined at the local level. Extension of SDPI funds for FY 2016 and FY 2017 included a new grant application process that directs Community-Directed Diabetes Programs to identify and implement at least one Indian Health Diabetes Best Practice with defined goals, objectives and key measures based on community assessment and results of diabetes care and outcomes audit. The funding mechanism is a competitive grant program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The SDPI program funds competitive grant programs to implement primary, secondary, and tertiary diabetic prevention and treatment and related data collection. The award amount will include both direct and indirect costs. Grant funds may not be used for any other purpose. Funding may not be used as matching funds for other Federal programs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The Public Health Service Act, as amended, states that the following groups are eligible to apply for grants: Indian Health Service (IHS) entities: Indian tribes or tribal organizations who operate an Indian Health program. This includes program under a contract, grant, cooperative agreement or compact with the IHS under the Indian Self-Determination Act; and Urban Indian organizations that operate an urban Indian Health program. This includes programs under a grant or contract with the IHS under Title V of the Indian Health Care Improvement Act.

Beneficiary Eligibility:

Native American Organizations; American Indian; Local; Federally Recognized Indian Tribal Governments; American Indians/Alaskan Natives will be the ultimate beneficiaries of the funded projects through either prevention or direct treatment services.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR 230 Cost Principles for Non-Profit Organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). To submit an application electronically, please use the <http://www.Grants.gov> apply site. You may not e-mail an electronic copy of a grant application to us. This program is subject to the provisions of either 45 CFR 75. Grant applications will be reviewed under an Objective Review process. Applications will be ranked. Grants will be awarded based on the results of the Objective Review process.

Award Procedure:

After review and approval, a notice of this grant award is prepared and processed along with the appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

ASSISTANCE LISTINGS DESCRIPTIONS

From 30 to 60 days. Funds are expected to be awarded no later than 45 days after complete applications are received. Awards could be delayed for applications not meeting initial approval.

Appeals:

From 60 to 90 days.

Renewals:

Other. Renewal of grants is on a year-to-year basis and requires the submission of annual noncompeting continuation applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made for up to five years. Method of awarding/releasing assistance: quarterly

Reports:

Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance. Semi-annual Progress and Annual Reports are required from grantees.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, reports, books, documents, papers, or other records of the grantee, contractor, or subcontractor, or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR 75, Subpart D, as applicable, grantees are required to maintain grant records for 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records have been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

Project Grants FY 20 \$138,700,000.00; FY 21 est \$138,700,000.00; FY 22 est \$138,700,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75; HHS Grants Policy Statement (Rev.) January, 2007.

Regional or Local Office:

None. Program Contact: IHS Division of Diabetes Treatment and Prevention, 5600 Fishers Lane, Rockville, MD 20857; e-mail: diabetesprogram@ihs.gov; telephone:

1-844-447-3387. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.239 Policy Research and Evaluation Grants

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Social Security Act, Section 1110, 42 U.S.C. 1310.,

OBJECTIVES:

The goals of this listing are to improve the effectiveness of public policies that reduce poverty, inequality, and their consequences, promote economic mobility and equity, and further develop knowledge of the structural causes of poverty, inequality, and economic insecurity. To that end, the National Research Center on Poverty and Economic Mobility will: (1) develop a fellowship program that will place researchers on site at HHS to work with federal policy, research, and/or program offices, (2) administer a grant program that will make multiple awards to policy researchers to conduct research and analysis to answer high-priority questions related to equity, inclusion, diversity, and access in economic mobility and human services policy and programs, and (3) collaborate with HHS to disseminate actionable findings which are relevant to primarily federal policy and research communities through co-hosted events.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may not be used for construction or renovation of buildings. This program is subject to the provisions of 45 CFR 74, and 45 CFR 92 as well as OMB Circular No. A-102 (Administrative Guidelines for States and Local Governments), and OMB Circular A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Assistance may be provided to State and local governments, institutions of higher education, nonprofit organizations and individuals. Occasionally, awards are made to for-profit organizations when deemed by the Assistant Secretary to be consistent with the legislative intent and purpose of the program.

Beneficiary Eligibility:

Federal; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Federal, State and local governments will benefit. Note: Projects do not provide any direct assistance to individuals.

Credentials/Documentation:

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Nonprofit organizations must provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted in response to specific announcements published on Grants.Gov. All applications must be submitted using the Department of Health and Human Services Standard Form 424 and additional forms as specified in the announcement. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification, evaluation criteria and other required information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications are reviewed by an outside expert review panel. Recommendations are made to the Assistant Secretary who makes the final determination of awards and amounts. Official notice of approved application is made through the issuance of a Notice of Grant Award or a Notice of Cooperative Agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. None

Renewals:

Other. Extensions to the project period may be made if deemed appropriate by the Assistant Secretary for Planning and Evaluation and approved by the grants manager.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Requires a minimum 25% non-federal match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are generally funded on a 12-month basis. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and the availability of funds. Method of awarding/releasing assistance: other

Reports:

Annual FSR Quarterly and annual reports describing progress, delays and next steps. Program Official reviews quarterly and annual reports to ensure adequate performance

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are to maintain accounting records for a minimum of 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-000.

Obligations:

Project Grants FY 20 \$1,865,000.00; FY 21 est \$1,865,000.00; FY 22 est \$2,615,000.00;

Range and Average of Financial Assistance:

The maximum amount of award is \$2.615 million for the first year in FY22.

TAFS Codes:

75-0120. 75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Alec Vandenberg,
200 Independence Ave SW,
Washington DC DC 20201
Email: Alec.Vandenberg@hhs.gov
Phone: (202) 934-4221
Fax:

Website Address:

<http://aspe.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The rating criteria and the weight attached to each criterion is included in the funding opportunity announcement posted to grants.gov that requests project proposals. The criteria typically include such concerns as the significance and usefulness of the project; the appropriateness of the intervention/measurement methodology and design; the qualifications of personnel and the sponsoring organization; and the likelihood that the work plan and budget will produce the intended results.

93.240 State Capacity Building

(Site Specific Activities Cooperative Agreement Program),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Sections 104(i)(1)(E), (4), (6), (7), (9), (14) and (15), as amended; Superfund Amendments and Reauthorization Act of 1986, 42 U.S.C. 9604; Resource Conservation and Recovery Act, Section 3109(b) and (c), as amended; Hazardous and Solid Waste Amendments of 1984, 42 U.S.C. 6939 (b) and (c), , 42 USC Code 6939 (b) and(c).

OBJECTIVES:

To fulfill the mandated objectives of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) and the Superfund Amendments and Reauthorization Act (SARA) of 1986, as amended, in coordination with Agency for Toxic Substances and Disease Registry (ATSDR), by assisting public health agencies to build capacity to conduct (1) Health consultations, (2) public health assessments, (3) exposure investigations, (4) community involvement, (5) health education, and (6) public health studies.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The uses are to strengthen State health agency environmental health programs. Services include public health assessments, consultations, exposure investigations, health education, and follow-up health investigations/studies. Funds may be expended for reasonable program purposes, such as personnel, travel, supplies and services. Funds for contractual services may be requested. However, the awardee,

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as the direct and primary recipient of PHS grant funds, must perform a substantive role in carrying out project activities and not merely serve as a conduit for an award to another party or provide funds to an ineligible party. Equipment may be purchased with cooperative agreement funds; however, the equipment must meet applicable Federal requirements. These funds may not be used by the recipient to conduct activities at any Federal site where the State is a party to litigation at the site.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are the official public health agencies of States or their bona fide agents or instrumentalities, to include the District of Columbia, American Samoa, the Commonwealth of Puerto Rico, the Virgin Islands, the Federated States of Micronesia, Guam, the Northern Mariana Islands, the Republic of the Marshall Islands, and the Republic of Palau, and the Federally- recognized Indian tribal governments.

Beneficiary Eligibility:

Native American Organizations; State; Local; Federally Recognized Indian Tribal Governments; Beneficiaries are individuals and/or families living in communities near or in proximity of Superfund sites.

Credentials/Documentation:

. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must use application Form PHS 5161-1. Application packets are available from: Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Rd., Room 3000, Mailstop K-75, Atlanta, GA 30341. By formal agreement, the CDC Procurement and Grants Office will act for and on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR, determines applications to be approved and the priorities for funding. When an application is approved for funding, the Grants Management Officer, CDC, acting as the agent for ATSDR, will prepare a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 60 to 90 days.

Appeals:

Other.

Renewals:

From 90 to 120 days. Awards are made for project periods from 1 to 5 years. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The annual awards are for a 12 month budget period within a 3 to 5 year project period. Noncompetitive continuation awards within the project period are made on the basis of satisfactory progress and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Refer to the Funding Opportunity for guidance. Refer to the Funding Opportunity for guidance. Refer to the Funding Opportunity for guidance. Refer to the Funding Opportunity for guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for at least 10 years in accordance with EPS's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies". Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon request of the office of Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$1,048,500.00; FY 21 est \$11,846,073.00; FY 22 est \$11,846,073.00;

Range and Average of Financial Assistance:

\$150,000 to \$350,000

TAFS Codes:

75-0944.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Extramural Team Lead Michelle Canady,
4770 Buford Highway,
Atlanta GA 30341
Email: bqg1@cdc.gov
Phone: 770-488-0711

Website Address:

<http://www.atsdr.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for core activities only were reviewed and evaluated based on the following criteria: (1) Proposed Program: (a) Merit scientific and technical merit of the proposed project to perform public health assessments, consultations, exposure investigations, health education and public health studies consistent with ATSDR guidance and in a timely manner. Applicant's ability to evaluate the public health impact of hazardous waste sites using health, environmental, and demographic data, and health-related concerns from the local community. (b) Requirements Applicant's understanding of the requirements, objectives, and complexities of the interactions required for a successful program. c) Collaboration Applicant's plan to collaborate with political and private subdivisions of Federal, State, and local health and environmental agencies and community groups to obtain information needed for evaluating the public health impact of hazardous waste sites, disseminate results of findings, and prevent exposure if identified. (2) Program Personnel: The principal investigator or project director and his/her ability to devote time and effort to provide effective leadership, and the qualifications of the support staff. (3) Applicant Capability: Adequacy and commitment of institutional resources, facilities, space, and equipment necessary for conducting the project are available and sufficient. (4) Program Budget: Extent to which the budget is

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reasonable, clearly justified, and consistent with intended use of funds. The priority order for funding cooperative agreements was as follows: (a) Number of proposed and/or listed National Priority List (NPL) sites (Federal and nonfederal) based on the most current EPA list, (b) number of Comprehensive Environmental Response, Compensation, and Liability Information System (CERCLIS) sites (Federal and nonfederal) based on the most current EPA list; (c) applicants who applied for both Core Activities and optional Activities; and (d) geographic distribution across the United States. (5) Human Subjects: Applicant's plan for adequate protection of human subjects. Applications for conducting Core Plus Optional Activities were reviewed and evaluated based on the following criteria: Proposed Program: In addition to the criteria outlined above for Core Activities, the applicant had to indicate an understanding of and capability for conducting human health studies as contained in the proposed site-specific protocol which had to include: (a) The approach, feasibility, adequacy, and rationale for the proposed study design, (b) the technical merit of the proposed study, (c) the proposed timeline, including measurable objectives, (d) proposed method for disseminating the results of the study.

93.241 State Rural Hospital Flexibility Program

The Rural Hospital Flexibility Program (Flex) The Rural Veterans Health Access Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Rural Veterans Health Access Program-Title XVIII, 1820 (g) (6) of the Social Security Act (42 U.S.C. 1395i-4(g) (6)), as amendedThe Rural Hospital Flexibility Program (Flex)-Title XVIII, 1820(g) (1) and (2) of the Social Security Act (42 U.S.C. 1395i-4), as amended,

OBJECTIVES:

The Rural Hospital Flexibility Program (Flex) enables state designated entities to support critical access hospitals in quality improvement, quality reporting, performance improvement, and benchmarking; to assist facilities seeking designation as critical access hospitals; and to create a program to establish or expand the provision of rural emergency medical services. Flex Program objectives include quality, operational, financial, and population health improvement with the goal of supporting access to necessary health care services in rural communities. State Flex programs will act as resources and focal points for these activities within their respective states. The Rural Veterans Health Access Program provides funding to states to coordinate activities to provide rural veterans access to services for needed mental health care via the use of networks, electronic communication and telehealth networks. The program targets states with high percentages of veterans to the total population.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for the purposes outlined in the approved projects. Administrative (indirect) expenses are limited to the lesser of 15 percent of the award or the states federally negotiated indirect rate for administering the award.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Flex and Rural Veterans Recipients: Only states with certified Critical Access Hospitals are eligible for this Program. The Governor designates the eligible applicant from each state. All other states need to submit an application to the Regional Administrator of their CMS Regional Office that expresses the state's interest in developing a Medicare Rural Hospital Flexibility Program before they can apply for funds.

Beneficiary Eligibility:

State; Rural; States with at least one hospital located in a non-metropolitan statistical area or county that provide CMS with necessary assurances.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this assistance listing for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Up to six months.

Appeals:

Not Applicable.

Renewals:

Other. Flex awards may be made for up to 5-year periods of performance. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and recipient's satisfactory performance. The Rural Veterans Health Access Program awards on made for up to 3-year period of performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually. Funds are available to recipients on an as needed basis throughout the budget period and payments are made through the DHHS Payment Management System. See the following for information on how assistance is awarded/released: Recipient drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

The awardee will be required to submit performance and progress reports as well as federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrsc-hedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A

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to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. For the Rural Veterans Health Access Program and the Medicare Rural Hospital Flexibility Grant Program, an annual Performance Information Management System data report is due within 60 days of the end of the budget period. A final program report is to be submitted within 90 days after the close of the project period. Awardees in the Medicare Rural Hospital Flexibility Grant Program are required to participate in activities related to the Flex Program Evaluation Cooperative Agreement. Refer to notice of funding opportunity .

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$28,509,826.00; FY 21 est \$28,509,826.00; FY 22 est \$28,509,826.00; Cooperative Agreements FY 20 \$858,224.00; FY 21 est \$810,659.00; FY 22 est \$810,659.00;

Range and Average of Financial Assistance:

Medicare Rural Hospital Flexibility Program \$316,735 to \$968,815; Average, \$592,440 Rural Veterans Health Access Program: \$227,000 to \$300,000; Average \$270,220

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Accomplishments for the Medicare Rural Hospital Flexibility Program: In FY 2019, 1217 Critical Access Hospitals (CAHs) participated in the Medicare Beneficiary Quality Improvement Program (MBQIP), to report on quality measures aligned with those collected by the Centers for Medicare and Medicaid Services. Quality measures quantify health care processes, outcomes, patient perceptions, and organizational structure and systems to guide decisions about improvements to health care services. MBQIP provides the opportunity for rural hospitals to evaluate their quality data and compare outcomes with that of hospitals with similar characteristics (such as small bed size, limited resources, and health services). 64.4% of CAHs reported improvement in core MBQIP measures and 45.9% of CAHs reported improvement in additional MBQIP measures. Grantee Accomplishments for the Rural Veterans Health Access Program include: initiating Veterans Administration telehealth visits at community-based healthcare provider sites established to increase access to care for veterans in rural areas; and the creation of a new Project ECHO line specific for veteran-related health issues, that launched in FY20

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at

<https://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Assistance Locations. Victoria Leach, Program Coordinator, Rural Hospital Flexibility Program (Flex), vleach@hrsa.gov, Phone: (301) 945-3988. Mikael Redmond, Program Coordinator, Rural Veterans Health Access Program, MRedmond@hrsa.gov, Phone: (301) 443-2867.

Headquarters Office:

Sarah Young, Program Coordinator, Victoria Leach, Program Coordinator, 5600 Fishers Lane,, Rockville MD 20857
Email: vleach@hrsa.gov
Phone: (301) 945-3988
Rural Veterans Health Access Program Mikael Redmond, Program Coordinator, 5600 Fishers Lane, Rockville MD 29857
Email: mredmond@hrsa.gov
Phone: (301) 443-2867

Fax:

Website Address:

<http://www.hrsa.gov/ruralhealth/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact Headquarters for selection criteria.

93.242 Mental Health Research Grants

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, Public Law 109-482, 42 USC Code 241. Public Health Service Act, Title IV, Section 401(a), Public Law 109-482, 42 USC Code 281. SBIR/STTR Reauthorization Act of 2011, , Public Law 106-554, Public Law 114-255

OBJECTIVES:

The mission of the National Institute of Mental Health (NIMH) is to transform the understanding and treatment of mental illnesses through basic and clinical research, paving the way for prevention, recovery, and cure. In May 2020, NIMH released its new Strategic Plan for Research. The new Strategic Plan builds on the successes of previous NIMH strategic plans by providing a framework for scientific research and exploration, and addressing new challenges in mental health. The new Strategic Plan outlines four high-level Goals: Goal 1: Define the Brain Mechanisms Underlying Complex Behaviors Goal 2: Examine Mental Illness Trajectories Across the Lifespan Goal 3: Strive for Prevention and Cures Goal 4: Strengthen the Public Health Impact of NIMH-Supported Research These four Goals form a broad roadmap for the Institutes research priorities over the next five years, beginning with the fundamental science of the brain and behavior, and extending through evidence-based services that improve public health outcomes. The Institutes overall funding strategy is to support a broad spectrum of investigator-initiated research in fundamental science, with increasing use of Institute-solicited initiatives for applied research where public health impact is a short-term measure of success. The new Strategic Plan also addresses a number of cross-cutting themes that are relevant to all research supported by NIMH; these themes highlight areas where NIMH-funded science may have the greatest impact, bridge gaps, and offer novel approaches to accelerate advances in mental health research. For example, NIMH values a comprehensive research agenda that takes an inclusive approach that ensures research interests are varied, maintain diverse participation and partnerships, and achieve research goals across multiple timeframes. This includes diverse methodologies, tools, and models; research addressing complex basic,

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translational, and applied questions; research including both sexes and, as appropriate, genetic background; and, participants from diverse racial and ethnic backgrounds, and across gender identities, geographical context, socioeconomic status, neurotype, and age offering the best possible representation, for the broadest number of individuals who may ultimately benefit from these scientific advances. To accomplish the Goals outlined in the new Strategic Plan, NIMH will support research that aims: To characterize the genomic, molecular, cellular, and circuit components contributing to brain organization and function; to identify the developmental, functional, and regulatory mechanisms relevant to cognitive, affective, and social domains, across units of analysis; and, to generate and validate novel tools, techniques, and measures to quantify changes in the activity of molecules, cells, circuits, and connectomes. To discover gene variants and other genomic elements that contribute to the development of mental illnesses in diverse populations; to advance our understanding of the complex etiology of mental illnesses using molecular epidemiologic approaches that incorporate individual genetic information in large cohorts; to elucidate how human genetic variation affects the coordination of molecular, cellular, and physiological networks supporting higher-order functions and emergent properties of neurobiological systems; and, to develop novel tools and techniques for the analysis of large-scale genetic, multi-omic data as it applies to mental health. To utilize connectomic approaches to identify brain networks and circuit components that contribute to various aspects of mental function and dysfunction; to determine through brain-wide analysis how changes in the physiological properties of molecules, cells, and circuits contribute to mental illnesses; to develop molecular, cellular, and circuit-level biomarkers of impaired neural function in humans; and, to develop innovative technologies, including new imaging, computational, pharmacological, and genetic tools to interrogate and modulate circuit activity and structure altered in mental illnesses. To elucidate the mechanisms contributing to the trajectories of brain development and behavior; and, to characterize the emergence and progression of mental illnesses, and identifying sensitive periods for optimal intervention. To determine early risk and protective factors, and related mechanisms, to serve as novel intervention groups; and, to develop reliable and robust biomarkers and assessment tools to predict illness onset, course, and across diverse populations. To develop novel interventions using a mechanism-informed, experimental therapeutics approach; and, to develop and implement measurement strategies to facilitate mechanism-based intervention development and testing. To investigate personalized intervention strategies across disease progression and development; and, to develop and refine computational approaches and research designs that can be used to inform and test personalized interventions. To develop and test approaches for adapting, combining, and sequencing interventions to achieve the greatest impact on the lives and functioning of persons seeking care; to conduct efficient pragmatic trials that employ new tools to rapidly identify, engage, assess, and follow participants in the context of routine care; and, to enhance the practical relevance of effectiveness research via deployment-focused, hybrid, effectiveness-implementation studies. To employ assessment platforms within healthcare systems to accurately assess the distribution and determinants of mental illnesses and to inform strategies for improved services; to optimize real-world data collection systems to identify strategies for improving access, quality, effectiveness, and continuity of mental health services; and, to compare alternative financing models to promote effective and efficient care for individuals with serious emotional disturbances and serious mental illnesses. To strengthen partnerships with key stakeholders to develop and validate strategies for implementing, sustaining, and continuously improve evidence-based practices; to build models to scale-up evidence-based practices for use in public and private primary care, specialty care and other settings; and, to develop decision-support tools and technologies that increase the effectiveness and continuous improvement of mental health interventions in public and private primary care, specialty care, and other settings. To adapt, validate, and scale-up programs currently in use that improve mental health services for underserved populations; to develop and validate service delivery models that provide evidence-based care for individuals throughout the course of mental illness; to develop and validate systems-level strategies using technology and other approaches, to identify, support, and monitor the effectiveness of evidence-based care throughout the course of illness; and, to develop and validate decision-making models that bridge mental health, medical, and other care settings to integrate the appropriate care for people with serious mental illnesses and comorbid medical conditions.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Training

USES AND USE RESTRICTIONS:

Research project grants provide support for clearly defined projects by a named investigator in an area representing his/her specific interests and competencies. Exploratory/Development and Clinical Exploratory/Developmental Grants encourage the development of new research activities in categorical program areas. Small Research Grants provide research support specifically limited in time and amount for studies in categorical program areas. Collaborative Clinical Research Grants support evaluations of various methods of therapy and/or prevention. Program Project and Center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus. Cooperative Agreements support single or a group of related research activities with greater involvement of federal staff. SBIR and STTR grants are awarded in two stages: Phase I grants are awarded to establish the technical merit and feasibility of a proposed research and development effort; only Phase I awardees are eligible to receive Phase II support. Mentored Research Scientist Development Award (K01, MRSDA), Mentored Clinical Scientist Development Award (K08, MCSDA), and Mentored Quantitative Research Career Development Award (K25) provide support for individuals with research potential who need additional mentored development and/or experience in a productive research environment. Mentored Patient-Oriented Research Career Development Award (K23) supports the career development of individuals who have made commitment to focus their research endeavors on patient-oriented research. The NIH Pathway to Independence (PI) Award (K99/R00) provides up to 2 years of mentored support (K99) for a postdoctoral individual followed by up to 3 years of support after the awardee transitions to research independence (R00). K99/R00 awards are awards for up to 5 years of support. Salary support for all mentored awards may be supplemented by the sponsoring institution from nonfederal funds. The Independent Scientist Award (K02, ISA) is for independent scientists whose careers are developing rapidly; the Mid-career Investigator Award in Patient-Oriented Research (K24) is for outstanding clinical scientists engaged in patient-oriented research. No specialized training costs are available; limited research costs for K24s and K02s in theoretical areas are available. NRSA awards: Individual fellowships are made to students seeking predoctoral or postdoctoral support for full-time research training or who are enrolled in an formal Ph.D. or M.D./Ph.D. program. An institutional allowance will be provided to the sponsoring institution for each awardee. In addition, any domestic public or nonprofit institution may apply for an institutional research training grant in a specified area of research from which a number of stipend awards will be made to individuals selected by the training program director at the institution. Support is available for both predoctoral and postdoctoral trainees and will include an amount for institutional expenses. Indirect costs may be requested at 8 percent of total direct costs, exclusive of tuition and related fees and expenditures for equipment, or actual indirect costs, whichever is less. Postdoctoral students receiving fellowships or stipend support under either individual or institutional grants for 12 months or less are obligated to pay back this support through an equivalent period of continued postdoctoral training or a period of health related research and/or teaching activities after the completion of their training. Postdoctoral individuals in their thirteenth and subsequent months of support will incur no further obligation, and such support will be considered acceptable postdoctoral payback service. Research training support may not be used for internships or other clinical training.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public, private, -profit, or nonprofit agencies (including State and local government agencies), eligible Federal agencies, universities, colleges, hospitals, and academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses, and STTR grants can be awarded only to domestic small businesses which "partner" with a research institution in cooperative research and development. An applicant for individual predoctoral fellowship support must be enrolled in a research doctoral degree program by the proposed activation date of the fellowship. A postdoctoral applicant must have received a Ph.D., Psy.D., M.D., D.D.S., Sc.D., D.N.S., D.O., D.S.W., or equivalent degree from an accredited institution to be eligible for an individual postdoctoral

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fellowship. All research training awards are made to appropriate domestic research centers, medical schools, departments of psychiatry, non-medical academic departments, psychiatric hospitals or hospitals with psychiatric services, community mental health centers, and biomedical research institutes on behalf of individuals who need the opportunity to realize research potential. Except for the NIH Pathway to Independence (PI) Award (K99/R00), the individuals must be citizens or nationals of the United States or have been lawfully admitted for permanent residence. The NIH Pathway to Independence (PI) Award (K99/R00) is open to both U.S. citizens and non-U.S. citizens. Individuals must qualify by scholastic degree and previous training and/or experience.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Other private institution/organization; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Individuals and public, private, profit, or nonprofit organizations.

Credentials/Documentation:

For-profit organizations' costs will be determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For all other grantees, costs will be determined in accordance with HHS Regulations 45 CFR 75. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Instructions for electronic submission may be found with the federal SF424 (R&R) form packages that are found in the SBIR/STTR funding opportunity announcements. Instructions are provided for SBIR Phase I and Phase II awards, respectively; as well as for STTR Phase I and Phase II awards, respectively. The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Most applications must be prepared and submitted electronically. Most applicants use the SF 424 (Research & Related) for electronic submission. Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide for Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). Some institutions may submit the grant data directly (using what is called a system-to-system transfer). For further details, see grants.gov or eRA Commons. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the NIH Guide for Grants and Contracts and the Grants.gov sites (see URLs listed above). All SBIR and STTR applications must be submitted electronically. The timeline for transition to electronic applications and the new forms may be found at: <http://era.nih.gov/ElectronicReceipt/>. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Consultation on a proposed project may be obtained from the NIMH branch or office responsible for the research area of interest. Applications are reviewed by principally nonfederal consultants recruited nationwide from the mental health field. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Award Procedure:

All applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel. Applications other than fellowships must also be reviewed by the National Advisory Mental Health Council. All competitive applications compete for available funds on the basis of scientific and technical merit, program relevance, and program balance. All SBIR and STTR applications receiving a priority score compete for set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Applications: From 180 to 270 days from submission of application. SBIR/STTR applications: About 7- 1/2 months; AIDS-related research is expedited and a determination is made within 180 days.

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>

Renewals:

Other. Support is recommended for a specified project period, not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a new competing request. Small grants, exploratory, and dissertation grants are for 1-3 years (depending on program) and are not renewable. By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). Any exception to these limitations requires a waiver from the Director of the awarding Institute based on review of justification from the awardee and the program director for the institutional grant. K01, K08, K23, K25 awards are made for up to 5-year periods with no renewal. K02 and K24 awards are made for a 5-year period, renewable once (unless holder has held a previous K02 or K24 award). K99 awards are made for up to 2-year periods with no renewal.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies, with a project periods ranging from 1 to 5 years. Funding commitments are made annually. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. SBIR: Generally, Phase I awards are for 6 months, and Phase II awards are for 2 years. STTR: Generally, Phase I awards are for 1 year and Phase II awards are for 2 years. Information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. By law an individual may receive no more than 6 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). For an M.D./Ph.D. fellowship, 6 years may be requested. In practice, recommendations of review committees are generally for 2 or 3 years. Method of awarding/releasing assistance: other

Reports:

Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support. Annual financial status report within 90 days after termination of annual grant for some programs. In addition, immediate and full reporting of any inventions is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

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Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: (1) a financial related audit (as defined in the Government Auditing Standards, GPO Stock #020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or (2) an audit that meets the requirements contained in OMB Circular No. A-133 (<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>). Awards made under this program are subject to the audit requirements of OMB 2 CFR 200, as implemented by 45 CFR 75, Subpart F, and in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

Project Grants FY 20 \$1,646,578,081.00; FY 21 est \$1,691,063,533.00; FY 22 est \$1,787,806,000.00;

Range and Average of Financial Assistance:

FY 2020 range: \$449 to \$12,845,786 Average Cost: \$531,669

TAFS Codes:

75-0892.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grant Policy Statement (Revised 12/2003). Grants will be available under the authority if and administered in accordance with the PHS Grants Policy Statement and federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Applications; and Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Extramural Activities Jean Noronha, Ph.D.,
6001 Executive Blvd,
Rockville MD 20892-9609
Email: nimhreferral@mail.nih.gov
Phone: (301) 443-3367
Fax: (301) 443-4720

Website Address:

<http://www.nimh.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded: (1) Technical merit of the proposed project as determined by peer review; (2) Availability of funds; and (3) Relevance to program priorities.

93.243 Substance Abuse and Mental Health Services Projects of Regional and National Significance

(PRNS).

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Children's Health Act of 2000, Section 520 A-J,581,582, Public Law 106-310,
Public Health Service Act, Title V, Section 509; 516, 42 USC Code 290bb.

OBJECTIVES:

Substance Abuse and Mental Health Service Administration (SAMHSA) was reauthorized by the Children's Health Act of 2000, Public Law 106-310. Under this reauthorization, SAMHSA was given the authority to address priority substance abuse treatment, prevention and mental health needs of regional and national significance through assistance (grants and cooperative agreements) to States, political subdivisions of States, Indian tribes and tribal organizations, and other public or nonprofit private entities. Under these sections, CSAT, CMHS and CSAP seek to expand the availability of effective substance abuse treatment and recovery services available to Americans to improve the lives of those affected by alcohol and drug additions, and to reduce the impact of alcohol and drug abuse on individuals, families, communities and societies and to address priority mental health needs of regional and national significance and assist children in dealing with violence and traumatic events through by funding grant and cooperative agreement projects. Grants and cooperative agreements may be for (1) knowledge and development and application projects for treatment and rehabilitation and the conduct or support of evaluations of such projects; (2) training and technical assistance; (3) targeted capacity response programs (4) systems change grants including statewide family network grants and client-oriented and consumer run self-help activities and (5) programs to foster health and development of children; (6) coordination and integration of primary care services into publicly-funded community mental health centers and other community-based behavioral health settings funded under Affordable Care Act (ACA).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will provide immediately useful, practical knowledge that service providers need as they wrestle with the rapidly changing health care environment.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Public organizations, such as units of State and local governments and to domestic private nonprofit organizations such as community-based organizations, universities, colleges and hospitals.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; other non-profits

Credentials/Documentation:

Applicants must provide proof of licensure, accreditation, certification, or chartering to provide substance abuse and/or mental health services or such other credential documentation as specific for a particular topical area. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants must

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comply with the Executive Order (E.O.) 12372 if their state(s) participates. Review process recommendations from the state Single Point of Contact (SPOC) are due no later than 60 days after application deadline.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards and HHS Grants Policy Statement. All applicants must use the Application for Federal Assistance SF-424 form.

Award Procedure:

Applications for grants and cooperative agreements recommended for approval by the initial review group and concurred in by the appropriate National Advisory Council and the Center Director(s) are awarded directly by the appropriate Center (CMHS, CSAP, CSAT) of SAMHSA to the applicant organization.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. None.

Renewals:

Not Applicable. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Applications will be accepted for a project period of 1 to 7 years with 12 month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved. Method of awarding/releasing assistance: other

Reports:

Reports are required quarterly, semi-annually, or annually depending on individual program requirements. Quarterly reports are due to the Division of Payment Management. See above information. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grant Management 90 days after the end of each 12 month period and 90 days after the final 12 month period. Site Visits, Conference Calls, Program Progress Reports and GPRA Data Reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 3-year records retention requirement; records shall be retained beyond the 3-year period if final audit has not been completed or findings resolved.

Account Identification:

75-1364-0-1-551.

Obligations:

Project Grants (Discretionary) FY 20 \$1,154,414,824.00; FY 21 est \$849,757,591.00; FY 22 est \$729,717,681.00;

Range and Average of Financial Assistance:

\$17,692 to \$7,099,783; \$417,410

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is estimated 3163 awards will be made. Fiscal Year 2021: It is estimated 3163 awards will be made. Fiscal Year 2022: It is estimated 2976 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles,

and Audit Requirements for HHS awards; also the HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Officer Roger George,
5600 Fishers Lane,
Rockville MD 20857

Email: roger.george@samhsa.hhs.gov

Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application submitted related to merit, peer review criteria scored, and generally funded in score order

93.247 Advanced Nursing Education Workforce Grant Program

Advanced Nursing Education Workforce (ANEW) Advanced Nurse Education-Sexual Assault Nurse Examiner (ANE-SANE) Advanced Nursing Education Nurse Practitioner Residency (ANE-NPR) Program Advanced Nursing Education Nurse Practitioner Residency Integration Program (ANE-NPRIP),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 811 of the Public Health Service Act, (42 U.S.C. 296j),.

OBJECTIVES:

ANEW: The ANEW Program supports innovative academic-practice partnerships to prepare advanced practice registered nursing students to practice in rural and underserved settings through academic and clinical training. The partnerships support enhanced didactic and clinical training opportunities in primary care for nursing students (including longitudinal clinical immersive training experiences), training for clinical preceptors, traineeships, and support for post-graduate employment in primary care, with a particular focus on rural and underserved populations. ANE-SANE: The purpose of the ANE-SANE funding is to increase the number of Registered Nurses (RNs), Advanced Practice Registered Nurses (APRNs), and Forensic Nurses trained and certified to conduct sexual assault nurse examinations in communities on a local, regional and/or state level. Ideal applicants will have an infrastructure to recruit eligible Nurses, coordinate didactic and clinical training with identified partners, monitor and track clinical practice hours and certification completion, and have demonstrated strategies to retain SANEs in practice. The goal of this program is to train providers to conduct sexual assault forensic examinations that provide better physical and mental health care for survivors, better evidence collection, and lead to higher prosecution rates. ANE-NPR: The purpose of this program is to prepare new nurse practitioners (NPs) in primary care for practice in community-based settings through clinical and academic focused 12-month Nurse Practitioner Residency (NPR) programs, with a preference for those projects that benefit rural or underserved populations. The ANE-NPR Program Notice of Funding Opportunity (NOFO) seeks to increase primary care providers in community-based settings. This program has been structured in a way so that training programs are encouraged to support the placement of participants in rural and underserved settings and also find ways to assist NPR completers to remain in these settings. ANE-NPRIP: The purpose of this grant program is to prepare new NPs for primary care or behavioral health practice in integrated, community-based settings, through expansions and/or enhancements to existing 12-month (NPR) programs. The ANE-NPRIP seeks to increase the number of new primary care or behavioral health NPs serving in integrated,

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community-based settings.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Direct cost amounts for equipment, tuition and fees, and sub-awards and subcontracts in excess of \$25,000 are excluded from the direct cost base for purposes of this calculation. ANEW: Program support and student support through stipends, tuition, and fees are allowable costs. ANE-SANE: Funding supports program development (including personnel, indirect costs, and sub-awards to partners) and participant costs (including training fees, certification/recertification exam fees, travel, lodging, etc.). Participant support cannot exceed \$10,000 per participant per year. ANE-NPR: Program support includes NP resident salaries and all allowable project costs including direct and indirect, facilities and administrative costs. ANE-NPRIP Funds for this program cover NP resident salaries and all allowable project costs including direct and indirect, facilities, and administrative costs for the awardee.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); ANEW: Eligible applicants are collegiate schools of nursing, nursing centers, academic health centers, State or local governments, and other public or private nonprofit entities accredited by a national nurse education accrediting agency recognized by the Secretary of the U.S. Department of Education. In addition to the 50 states, only the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau are eligible to apply. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible. ANE SANE: Eligible applicants include accredited schools of nursing, nursing centers, nurse-managed health clinics, academic health centers, State or local health departments, HRSA-supported health centers, Community Health Centers, Rural Health Clinics, public or non-profit Hospitals, other emergency health care service providers, Federally Qualified Health Centers, Clinics receiving funding under Title X and other relevant public or private non-profit entities. Federally recognized Indian Tribal Government and Native American Organizations, as well as nonprofit (Internal Revenue Service (IRS) 501(c)(3) status) community-based and faith-based organizations may apply if they are otherwise eligible. The eligible state government entities include the 50 states, and the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. ANE-NPR: Eligible applicants are schools of nursing, nurse managed health clinics/centers, academic health centers, state or local governments and other private or public nonprofit entities determined appropriate by the Secretary. Consortiums may apply for these funds, if otherwise eligible. HRSA will make one award per consortium to a lead entity for the consortium provided it is an eligible entity and has the legal authority to apply for and to receive the award on behalf of the other consortium members. Domestic faith-based and community-based organizations, tribes, and tribal organizations may apply for these funds, if otherwise eligible. In addition to the 50 states, only eligible applicants in the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau may apply. ANE-NPRIP: Eligible applicants are accredited schools of nursing, Federally Qualified Health Centers, nurse managed health clinics/centers, academic health centers, consortiums, state or local governments and other private or public nonprofit entities determined appropriate by the HHS Secretary. Domestic faith-based and community-based organizations, tribes, and tribal organizations may apply for these funds, if otherwise eligible. Individuals and foreign entities are not eligible under ANEW, ANE-SANE, ANE-

NPR or ANE-NPRIP.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; Public nonprofit institution/organization; ANEW: Accredited schools of nursing, nursing centers, academic health centers, state or local governments, and other public or private nonprofit entities determined appropriate by the Secretary. ANE SANE: An eligible participant or trainee must be a citizen, national, or permanent resident of the United States. Individuals on temporary or student visas are not eligible to receive ANE-SANE support. Award recipients must use this funding to support participants/trainees who are either: (1) currently practicing generalist Registered Nurses, Advanced Practice Registered Nurses or Forensic Nurses; or (2) students in Advanced Nursing Education programs, including Advanced Practice Registered Nursing and Forensic Nursing Programs. ANE-NPR: To be eligible for the ANE-NPR Program the Nurse Practitioner (NP) or Nurse Midwife (NM) must meet all of the following: (1) Be a licensed RN within 18 months of graduate school completion from a Primary Care NP or NM Program and NP or NM certification; (2) Be a citizen of the United States, a non-citizen national, or a foreign national who possesses a visa permitting permanent residence in the United States (i.e. individuals on temporary or student visas are not eligible to receive ANE-NPR Program support); and (3) Agree to be a full-time participant in the ANE-NPR Program. ANE-NPRIP: Eligible participants for this program must: (1) Be a graduate from a Primary Care or Behavioral Health NP Program, have an NP certification and be licensed and certified no longer than 18 months before the start of the residency program; (2) Be a citizen of the U.S., a non-citizen national, or a foreign national who possesses a visa permitting permanent residence in the U.S. Individuals on temporary or student visas are not eligible to receive ANE-NPRIP support; and (3) Agree to be a full-time participant in the ANE-NPRIP.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award..

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

ANEW: This funding opportunity provides support for a 4-year project period. ANE SANE: This funding opportunity provides support for a 3-year project period. ANE-NPR: This funding opportunity provides support for a 4-year project period. ANE-NPRIP: This funding opportunity provides support for a 3-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the PMS, the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$36,828,909.00; FY 21 est \$36,828,907.00; FY 22 est \$36,701,729.00; Project Grants FY 20 \$8,950,405.00; FY 21 est \$8,815,000.00; FY 22 est \$9,654,535.00; Project Grants FY 20 \$22,274,752.00; FY 21 est \$24,653,212.00; FY 22 est \$26,378,315.00; Project Grants FY 20 \$4,793,889.00; FY 21 est \$4,800,000.00; and FY 22 est \$5,265,689.00;

Range and Average of Financial Assistance:

ANEW:FY 20 est, \$36,828,909 Range \$270,644 - \$700,000; Average - \$646,121FY21 est, \$36,828,907Range - \$248,026 - \$696575; Average \$646,121FY22 est, \$36,701,729Range - \$70,000 - \$700,000; Average - \$643,890ANE-SANE:FY20 est, \$8,950,405 Range - \$259,582 - \$550,000; Average - \$447,520FY21 est, \$8,950,405; Average - \$440,750Range -\$169,417 - \$500,000; Average \$440,750FY22 est, \$9,654,535Range - \$351,145 - \$500,000; Average \$482,727ANE-NPRFY 20: - \$22,274,752, Range - \$386,534 to 1,000,000, Average - \$618,743FY 21: \$22,274,752, Range-\$349,133-, \$907,205, Average - \$445,590FY22 est, \$26,378,315, Range \$398,644 - \$1,000,000, Average - \$571,809ANE-NPRIPFY 20: -\$4,793,889, Range-\$394,109-\$1,000,000, Average - \$479,389FY 21: \$4,800,000, Range-\$340,041-929,212, Average - \$480,000FY 22 est: \$5,265,689, Range-\$365,945-\$1,000,000, Average - \$526,569

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: ANEW: In Academic Year 2019-2020, grantees of the ANEW Program trained 4,817 nursing students of which one third of them were underrepresented minorities and/or from disadvantaged backgrounds. The ANEW

program produced 1,604 graduates who were ready to enter the health care workforce. Of the 2,267 students who were directly funded by ANEW, the majority trained in primary care settings (75 percent) and/or medically underserved communities (65 percent) and received training in telehealth (60 percent) and/or opioid use treatment (45 percent). More than one in four students who were directly funded received COVID-19 related training. One year post graduation data showed that over half of the trainees were pursuing additional training in a medically underserved communities. In addition, 47 percent of the recently graduated individuals were working in a primary care setting. Additionally, ANEW grantees supported 116 faculty and 76 preceptors. To provide clinical training experiences to nursing students, grantees partnered with 2,433 clinical training sites in primary care settings (77 percent), medically underserved communities (68 percent), and/or rural areas (32 percent). ANEW grantees offered 500 curricula, provided 122 continuing education courses to practicing professionals, and offered 132 faculty and preceptor development programs. ANE-SANE: In Academic Year 2019-2020, grantees from the ANE-SANE program trained 1,467 students and produced 228 graduates. The majority of trainees were from a rural and/or disadvantaged background (56 percent). About one in five SANE trainees received their training in rural areas and just over 40 percent of them received their training in medically underserved communities. Approximately 28 percent of the students participated in COVID-19 related training. The ANE-SANE grantees partnered with 149 clinical training sites in primary care settings (18 percent), medically underserved communities (77 percent), and/or rural areas (24 percent). SANE grantees developed and/or enhanced 80 courses. ANE-NPR: In Academic Year 2019-2020 the ANE-NPR program trained 94 nurse practitioner (NP) residents and 84 preceptors. There were a total of 16 NP resident graduates in the first year of the program. Sixty-three percent of first year graduates are currently employed in FQHCs or look-alikes and Rural Health Clinics. In addition, grantees from this program provided direct support to 19 faculty. Almost all of the NP residents received training in medically underserved communities (99 percent) and/or a primary care setting (99 percent). The majority of the NP residents participated in trainings related to COVID-19 (93 percent), opioid use treatment (91 percent) and/or telehealth (84 percent). The ANE-NPR grantees partnered with 122 clinical training sites to provide experiential training experiences to students. The majority of these sites were located in primary care settings (58 percent) and/or medically underserved communities (80 percent). A total of 792 courses were enhanced or developed, and 173 continuing education courses were offered. This program also supported 65 faculty and preceptor development training and activities. ANE-NPRIP: In FY 2020, HRSA established the ANE-NPRIP Program with nurse practitioner optional fellowship funds to establish or expand community-based nurse practitioner that are accredited or in the accreditation process for practicing postgraduate nurse practitioners in primary care or behavioral health; 5 awards were planned, 10 new awards were made. This program gives preference to Federally Qualified Health Centers (FQHCs). Out of the 10 awards, 5 grantees are FQHCs (50%) and 3 of the grantees partner with FQHCs (30%). One of the 10 grantees is mainly focused on serving rural areas, while 3 of the 10 grantees are partnered with rural health clinics.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None. Michael Clark, Nurse Consultant/Project Officer, Department of Health and Human Services, Health Resources and Services Administration, Bureau of Health Workforce Division of Nursing and Public Health5600 Fishers Lane, Rockville, MD 20857Mclark@hrsa.gov. 301-594 4203ANE-SANE:Deitra Scott, ANE-SANE Nurse Consultant/Project Officer Health Resources and Services Administration, Bureau of Health Workforce, Division of Nursing and Public Health5600 Fishers Lane, Room 11N90A, Rockville, MD 20857dscott1@hrsa.gov301-945-3113ANE-NPR:Debra Parchen, Nurse Consultant/Project Officer, Department of Health and Human Services, Health Resources and Services Administration, Bureau of Health Workforce Division of Nursing and Public Health5600 Fishers Lane, Rockville, MD 20857Dparchen@hrsa.gov301-443-2597

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Michael McCalla, ANEW Project Officer, Department of Health and Human Services, Health Resources and Services Administration, Bureau of Health Workforce Division of Nursing and Public Health, 5600 Fishers Lane, Room 11N94C, Rockville MD 20857

Email: mmccalla@hrsa.gov

Phone: (301) 443-0856

ANE-SANE Tolutope, ANE-NPRIP Nurse Consultant/Project Officer Health Resources and Services Administration, Bureau of Health Workforce, Division of Nursing and Public Health,

5600 Fishers Lane, Room 11N90B, Rockville MD 20857

Email: aagbo@hrsa.gov

Phone: 3014432605

Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; (5) Support Requested. In making grant awards under this Section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations or help meet public health nursing needs in State or local health departments. This preference will only be applied to applications that rank above the 80th percentile of applications recommended for approval. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.250 Geriatric Academic Career Awards Department of Health and Human Services

Geriatric Academic Career Award (GACA)(K01),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act (42 U.S.C. 294c) as amended by the Coronavirus Aid, Relief, and Economic Security (CARES) Act Public Law No: 116-13 ., Title VII, Part ., Section 753(c)

OBJECTIVES:

The purpose of the GACA program is to support the career development of individual junior faculty in geriatrics at accredited schools of allopathic medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or allied health as academic geriatrics specialists and to provide clinical training in geriatrics, including the training of interprofessional teams of health care professionals. The goals of the program are for the GACA candidate to develop the necessary skills to lead health care transformation in a variety of age-friendly settings and to provide training in clinical geriatrics, including the training of interprofessional teams of healthcare professionals. Program Objectives include: 1. Develop junior faculty career as an academic geriatrics specialist; 2. Spend at least 75 percent of their time providing training in clinical geriatrics, including the training of interprofessional teams of healthcare professionals; and 3. Identify a

mentor who will advise and provide guidance and supervision to the GACA candidate regarding planning, directing, executing, and evaluating the proposed activities in the GACA candidates Faculty Career Development Plan.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

As provided by Section 753(c)(5) of the authorizing legislation, an individual receiving an award under this subsection shall provide training in clinical geriatrics, including the training of interdisciplinary teams of health care professionals. The provision of such training shall constitute at least 75 percent of the obligations for individuals under this award. See above. Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Eligible applicant organizations include accredited schools of allopathic medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or allied health. Eligible applicant organizations must submit applications on behalf of eligible individuals applying for a Geriatric Academic Career Award. Eligible individuals must meet the following: A. Be board certified or board eligible in and eligible discipline or have completed any required training in a discipline and be employed in an accredited health professions school that is approved by the Secretary; B. Have completed an approved fellowship program in geriatrics or have completed specialty training in geriatrics as required by the discipline and any additional geriatrics training as required by the Secretary; C. Have a junior (non-tenured) faculty appointment at an eligible, accredited school. D. Have a full-time junior faculty appointment in an eligible accredited school and commitment from the institution to spend 75 percent of the total time of the individual on teaching and developing skills in interprofessional education in geriatrics; and E. Be a citizen of the United States, a foreign national having in his/her possession a visa permitting permanent residence in the United States, or a non-citizen national. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Junior faculty who are academic geriatric specialists at an accredited health professions school of allopathic medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or allied health.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window). HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 4-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

The recipient will be required to submit annual performance and progress reports. Cash reports are not applicable. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and sub recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Recipients are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$1,905,000.00; FY 21 est \$1,929,775.00; FY 22 est \$1,954,862.00;

Range and Average of Financial Assistance:

FY 20 Range \$76,200 - \$76200 Average: \$76200FY 21: \$77,191 - 77,191 Average \$77,191FY 22: \$78,204 - 78,204 Average \$78,204

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2019-2020 GACA supported the career development of 26 junior faculty in geriatrics from the following disciplines: geriatric psychiatry, social work, addiction counseling, family medicine, internal medicine, geriatric medicine, general dentistry, advanced practice nursing, pharmacy, occupational therapy, and physical therapy. They had 2,634 contact hours in a primary care setting, 941 contact hours in a medically underserved community, and 503 contact hours in a rural area. The majority of GACA recipients provided clinical services in a primary care setting (23) and/or a medically underserved community (14). There were 10 in rural settings. In addition, there were 34 articles published and 62 conference presentations. GACA awardees developed or enhanced and implemented 363 different curricular activities. The delivery modes used to offer course or training activities were classroom-based, distance learning, hybrid, clinical rotation, experiential/field-based, grand rounds, and simulation-based training. These together reached 18,692 people. With regard to faculty instruction, GACA awardees offered 307 courses during the academic year, reaching 14,692 students and clinicians with the delivery mode used to offer course including classroom-based, clinical rotation, hybrid, archived/self-paced distance learning, real-time/live distance learning, and other types.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Public Health Analyst CAPT Young Song,
5600 Fishers Lane, Room 15N-194A,
Rockville MD 20857
Email: ysong@hrsa.gov
Phone: 3014433353
Fax:

Website Address:

<https://www.hrsa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.251 Early Hearing Detection and Intervention

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND

ASSISTANCE LISTINGS DESCRIPTIONS

HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Early Hearing Detection and Intervention Act of 2017, Public Health Service Act, Title III, Section 399M (as added by P.L. 106-310, Sec. 702; as amended by P.L. 111-337 and P.L. 115-71),.

OBJECTIVES:

The Early Hearing Detection and Intervention (EHDI) program supports comprehensive and coordinated state and territory EHDI systems, so families with newborns, infants, and young children up to three years of age who are deaf or hard of hearing (DHH) receive appropriate and timely services that include hearing screening, diagnosis, and early intervention. This program supports state/territory efforts to build systems of care focusing on increasing health professionals engagement in and knowledge of the EHDI system; improving access to early intervention services and language acquisition; and improving family engagement, partnership, and leadership. The EHDI program also provides support to two organizations that assist the states/territories with technical assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

This program supports state and territory health departments, departments of education, academic institutions with universal newborn hearing screening programs, and family and provider organizations to develop a system of services for children who are identified as DHH and their families. This program also supports the EHDI system with technical assistance by fostering family engagement, supporting workforce development and training, and working with pediatricians and health care providers.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Grants to states/territories and cooperative agreements to two organizations that will provide technical assistance.

Beneficiary Eligibility:

State; Infant (0-5); Infants and newborns who are deaf or hard of hearing and their families/caretakers.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Final decisions are made 2 to 3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 3 years. A progress report must be submitted annually. Budgetary information may be submitted annually for each project year.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter-of-Credit or Cash Demand System. Method of awarding/releasing assistance: other

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). Performance Reports include annual reporting of performance measures data, as approved by Office of Management and Budget after public review via Federal Register Notice. Data collection related to the program performance measures is required periodically to monitor grantee progress. The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. The following review criteria apply to state/territory Grants: (1) The extent to which the state/territory demonstrates a comprehensive understanding of the problem newborns and infants that are not screened before one month of age, that do not receive an audiologic diagnosis before three months of age; and that are not enrolled into an early intervention program by the age of six months in order to facilitate age appropriate development of language and social skills. ; (2) The extent to which the state/territory proposes a plan to address possible challenges regarding newborns and infants having access to timely and appropriate services ; (3) The strength and effectiveness of the method proposed to monitor and evaluate progress towards goals and the project results; (4) The extent to which the state/territory proposes a plan to continually assess the impact of grant activities as it relates to newborns and infants who are deaf or hard of hearing and their families as well as active family engagement and leadership; (5) The extent to which project personnel are qualified by training and/or experienced to implement and execute the statewide/territory plan objectives. (6) Reasonableness of the proposed budget, and effectiveness of the proposed plan to accomplish the goals and objectives the state/territory has for its UNHS program. Federal Financial Report Cash Transaction Report Refer to the notice of funding opportunity. Refer to the "program reports" details above. Refer to the "program reports" details above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the

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expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$1,903,999.00; FY 21 est \$1,653,999.00; FY 22 est \$1,554,000.00; Project Grants FY 20 \$14,312,661.00; FY 21 est \$13,405,115.00; FY 22 est \$14,765,000.00;

Range and Average of Financial Assistance:

Grants to states and territories: FY20 est \$10,000-\$245,000. Average \$226,577FY21 est \$23,355-\$245,000. Average \$211,951FY22 est \$23,355-\$245,000. Average \$235,000Grants to LEND Audiology Programs: FY 20 est \$65,011-\$80,000. Average \$78,718FY 21 est \$75,000. Average \$75,000FY 22 est \$75,000. Average \$75,000Cooperative Agreements:FY 20 est \$550,000-\$1,049,999. Average \$952,000FY 21 est \$475,000-\$874,999. Average \$827,000FY 22 est \$450,000-\$850,000, Average \$777,000

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, HRSA made awards to 59 states and territories to their capacity to implement statewide EHDI programs including providing family supports and engaging families with children who are DHH and adults who are DHH throughout the EHDI system.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Bethany Applebaum, Integrated Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18W09A, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6314.

Headquarters Office:

Treby Brown,
5600 Fishers Lane, Room 18W59,
Rockville MD 20857
Email: tbrown2@hrsa.gov
Phone: (301) 945-9661

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The objective review of all applications includes thorough and consistent examinations of each submission by persons knowledgeable in the field for that support is requested and has no direct relationship with the organization or individual submitting the application and do not have personal or invested interest in the award of a grant to that organization or individual. Peer review is a system that uses reviewers that are the professional equals of the principal investigator or program director and will be responsible for directing or conducting the proposed project. That is a form of objective review. Reviewers may be Federal or nonfederal. Final review criteria are included in the application kit.

93.253 Poison Center Support and Enhancement

Grant

Poison Control Centers (PCCs),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Legislative Authority: Section 1273 of the Public Health Service Act, (42 U.S.C. 300d 73),as amended by P. L. 113-77, the Poison Center Network Act of 2014 of 2014, Public Law 113-77., , Public Law 113-77, (3rd Supplemental), P.L. 116-136, passed 3/27/2021, Title Coronavirus Aid, Relief, and Economic Security Act (CARES Act),

OBJECTIVES:

The objectives are (1) to support PCCs' efforts to prevent, and provide treatment recommendations, for poisonings and toxic exposures; ; (2) to comply with operational requirements needed to sustain accreditation; and/or achieve accreditation; (3) improve and enhance communications and response capability and capacity as stated in Section 1273 (a) and (b) of Public Law 116-94.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance provided under this program may be used to support PCCs' efforts to prevent, and provide treatment recommendations for, poisonings; to conduct an evaluation of the strategies and activities undertaken within the project application; and support accreditation efforts of unaccredited centers in order to improve access to poison center services including poisoning prevention education.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. accredited Poison Control Centers. Unaccredited centers are eligible for a grant waiver if such centers can reasonably demonstrate that they will obtain such accreditation within a reasonable period of time.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; State; Residents of the 50 United States, Puerto Rico, the District of Columbia, the U.S. Virgin Islands, Guam, American Samoa, and the Federated States of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package including documentation of maintenance of effort. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all

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applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact the headquarters or regional office, as appropriate, for application deadlines.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be determined by the Associate Administrator for the Healthcare Systems Bureau and extensions are requested in writing addressed to the Grants Management Specialist.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Refer to the notice of funding opportunity. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

A final report is required. No cash reports are required. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the notice of funding opportunity and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0357-0-1-550.

Obligations:

Project Grants FY 20 \$20,400,000.00; FY 21 Not Available; FY 22 est \$21,500,000.00; Project Grants FY 20 \$4,834,000.00; FY 21 Estimate Not Available; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Cares Act Grants FY20 \$4,834,000 Range: \$12,466 to \$2,411,364 Average: \$392,307.

TAFS Codes:

75-0357.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Through the nationwide Poison Help media campaign, the PCP has been educating the public about the toll-free number and increasing awareness of poison center services. In FY 2020, the Poison Help media campaign included an investment of \$393,392. Based on over 892 million media impressions through television, radio, and social media, the PCP was able to leverage an advertising return on investment of over \$21.8 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Onyekachukwu Anaodozie, Acting Director, Division of Poison Control and Healthcare Facilities, Health Resources and Services Administration, Department of Health and Human Services, Room 08W-15, 5600 Fishers Lane, Rockville, Maryland 20857. Telephone: (301) 443-3091.

Headquarters Office:

Onyekachukwu Anaodozie, Acting Director, Poison Control Program,, 5600 Fishers Lane, Room 08W-15, Rockville MD 20857
Email: OAnaodozie@hrsa.gov
Phone: (301) 443-3091

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Completeness and clarity of the project narrative; practicality and achievability of the plan to use requested grant funds to increase access to services; clarity and appropriateness of the budget and coordinated budget narrative; strength and adequacy of professional staff and subcontractors; clarity and strength of methodology; and strength of letters of support for any collaborative efforts.

93.255 Children's Hospitals Graduate Medical Education Payment Program

Children's Hospital Graduate Medical Education (CHGME) Payment Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 256e (340E of the Public Health Service Act), as amended by the Dr. Benjy Frances Brooks Children's Hospital GME Support Reauthorization Act of 2018 (P.L. 115-241),.

OBJECTIVES:

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Freestanding childrens hospitals receive little to no Graduate Medical Education (GME) funding from Medicare because childrens hospitals have a low Medicare caseload. The purpose of the CHGME Payment Program is to compensate for the disparity in the level of Federal GME funding for freestanding childrens teaching hospitals versus other types of teaching hospitals. The CHGME program supports the education and training of future pediatricians, pediatric sub-specialists, and other non-pediatric residents in freestanding childrens teaching hospitals, including the training of residents in pediatric dentistry and pediatric psychology.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are restricted to eligible children's hospitals for direct expenses and the other indirect expenses associated with operating approved graduate medical residency programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); A childrens hospital is eligible to apply for CHGME Payment Program funding if it participates in an approved GME program; has a Medicare Provider Agreement; is excluded from the Medicare Inpatient Prospective Payment System (IPPS); and operates as a freestanding childrens teaching hospital. A freestanding childrens teaching hospital does not operate under a Medicare hospital provider number assigned to a larger health care entity that receives Medicare GME payments. A hospital remains eligible for payments as long as it trains residents as a freestanding childrens hospital during the federal fiscal year that HRSA makes CHGME program payments.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Quasi-public nonprofit organization; Native American Organizations; Health Professional; Graduate Student; Public nonprofit institution/organization; Other public institution/organization; Any public or private nonprofit and profit freestanding children's teaching hospital with an accredited residency training program which meets all eligibility requirements may apply.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. An environmental impact information is not required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. HRSA requires all applicants to apply electronically through Grants.gov. All eligible qualified applications are reviewed by HRSA Staff. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award (NOA).Section 340E(a) requires the Secretary of DHHS to make payments for direct and indirect expenses associated with operating approved graduate medical residency training programs for each fiscal year. The two statutory formulas (direct medical education and indirect medical education) outline payments to eligible children's teaching

hospitals.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Other. Hospitals can appeal the determination of FTE resident counts by CHGME fiscal intermediaries to the Provider Reimbursement Review Board (PRRB) under section 1878 of the Social Security Act.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

In accordance with the Healthcare Research and Quality Act, 1999, Public Law 106-129, the Secretary of DHHS shall determine the number of resident FTE counts before the beginning of each fiscal year that payments are made for a hospital. The amounts of the payments for direct and indirect medical education are made to each hospital in 12 equal interim installments during the Federal fiscal year. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit an annual performance report and to provide supporting documentation for the annual Full Time Equivalent (FTE) resident audit conducted by an external auditor

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. DHHS, or any other authorized federal agency, may conduct an audit to determine whether the applicant has complied with all governing laws and regulations in its application for funding. All information submitted to DHHS by an applicant or participating hospital during or after the award of funds is subject to review in an audit. Eligible hospitals are subjected to the terms of the Full-Time Equivalent Assessment Process Guidance and Assessments which is available electronically via CHGME Payment Program web site noted in Application Procedure above.

Records:

Recipients are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Direct Payments for Specified Use FY 20 \$323,713,555.00; FY 21 est \$331,105,384.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 20 Range est: \$29,656 - \$24,728,661 ; Average \$5,486,670 in combined Direct Medical Education (DME) and Indirect Medical Education (IME) payments and eligible awardees Quality Bonus System (QBS) payments.FY 21 Range est: \$36,217.00 - \$24,614,100; Average \$5,611,955 in combined DME and IME payments and eligible awardees QBS payments.FY 22 Range est: \$0; Average \$0

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2019-2020, CHGME supported the training of 5,433 Pediatric residents that included General Pediatrics residents, as well as residents from seven types of combined pediatrics programs (e.g., Internal

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Medicine/Pediatrics). Additionally, 3,055 Pediatric Medical Subspecialists, including 199 Child and Adolescent Psychiatry fellows, received training.

REGULATIONS, GUIDELINES, AND LITERATURE:

Healthcare Research and Quality Act, 1999 (Public Law 106-129), Section 340E of the Public Health Service Act; The Children's Health Act, 2000 (Public Law 106-310, Title XX); Public Law 108-490; Public Law 109-307; Public Law 113-98; Public Law 115-241. Social Security Act, Section 1886, 42 CFR 412.105; 42 CFR 413.86; FRN Vol. 65, No. 118 published on June 19, 2000; FRN Vol. 66, No. 41 published on March 1, 2001; and FRN Vol. 66, No. 140 published on July 20, 2001, and FRN Vol. 68, No. 204, published on October 22, 2003. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Lisa Flach-Fulcher, Division of Medicine and Dentistry, Bureau of Health Workforce,
5600 Fishers Lane, Room 15N190A,
Rockville MD 20857
Email: aflach-fulcher@hrsa.gov
Phone: 301-443-0365
Fax:

Website Address:

<https://bhw.hrsa.gov/grants/medicine/chgme>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Freestanding childrens hospitals that meet requirements are deemed eligible to receive funding under the CHGME Payment Program. Two type of categories of childrens hospitals are eligible for CHGME funding and are either Currently Eligible Hospitals or Newly Qualified Hospitals. Currently Eligible Hospitals are freestanding childrens hospitals that meet the original eligibility requirements established prior to the Childrens Hospital GME Support Reauthorization Act of 2013. Newly Qualified Hospitals are freestanding childrens hospitals that meet the eligibility requirements in the Childrens Hospital GME Support Reauthorization Act of 2013.

93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases

Radiation Exposure Screening and Education Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 417C of the Public Health Service Act, 42 U.S.C. 285a-9, as amended by the Radiation Exposure Compensation Act Amendments of 2000 (P. L. 106-245).

OBJECTIVES:

The Radiation Exposure Screening and Education Program aims to screen individuals described under section 4 (a) (1)(A)(i) or 5(a)(1)(A) of the Radiation Exposure Compensation Act (42 U.S.C. 2210 note) for cancer as a preventative health measure. Also, to provide the appropriate referrals for medical treatment, ensure the provision of appropriate follow-up services, as well as develop and disseminate public information and education programs for the detection, prevention, and treatment of radiogenic cancers and diseases. In addition, this program aims to facilitate the submission of documentation of claims as described in section 5(a) of the Radiation Exposure Compensation Act (41 U.S.C. 2210 note).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards are made to organizations that develop new or expand existing capacity to provide screening, referrals for medical treatment, education, and eligibility assistance for eligible individuals. Restrictions on the Use of Grant Funds: (1) grant funds may not be used to pay for inpatient services; (2) grant funds may not be used to make cash payments to intended recipients of primary health care services or specialty care; (3) grant funds may not be used to supplant other provider/third party coverage payments available to the patient; and (4) grant funds may not be used to purchase or improve real property (other than minor remodeling of existing improvements to real property) or to purchase major medical equipment without the approval of the HRSA Grants Management Office.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The following entities, (located within the approved states of Arizona, Colorado, Idaho, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Texas, Utah, Washington or Wyoming) are eligible to apply for the funds: (1) National Cancer Institute-designated cancer centers; (2) Department of Veterans Affairs hospitals or medical centers; (3) Federally Qualified Health Centers (FQHC), community health centers or hospitals; (4) agencies of any state or local government, includes any state department of health that currently provide direct health care services; (5) IHS health care facilities, including programs provided through tribal contracts, compacts, grants, or cooperative agreements with the IHS and which are determined appropriate to raising the health status of Indians; including federally-recognized Tribal Government and Native American Organizations, and (6) nonprofit organizations.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); For purposes of the Radiation Exposure Screening and Education Program (RESEP), individuals eligible for health screening, education, medical referral, and appropriate follow-up services include an individual who either: (1) was employed in a uranium mine or uranium mill (including any individual who was employed in the transport of uranium ore or vanadium-uranium ore from such mine or mill) located in Colorado, New Mexico, Arizona, Wyoming, South Dakota, Washington, Utah, Idaho, North Dakota, Oregon, and Texas at any time during the period beginning on January 1, 1942, and ending on December 31, 1971; (2) was a miner exposed to 40 or more working level months of radiation or worked for at least 1 year during the period beginning on January 1, 1942 and ending on December 31, 1971; (3) was a miller or ore transporter who worked for at least 1 year during the period beginning on January 1, 1942, and ending on December 31, 1971; (4) was physically present in the nuclear arms affected area (which includes, in the state of Utah, the counties of Beaver, Garfield, Iron, Kane, Millard, Piute, San Juan, Sevier, Washington, and Wayne; in the state of Nevada, the counties of Eureka, Lander, Lincoln, Nye, White Pine, and that portion of Clark County that consists of townships 13 through 16 at ranges 63 through 71; and in the state of Arizona, the counties of Apache, Coconino, Gila, Navajo, and Yavapai) for a period of at least 2 years during the period beginning on January 21, 1951, and ending on October 31, 1958; (5) was physically present in the nuclear arms testing area, cited in 4. above, for the period beginning on June 30, 1962, and ending on July 31, 1962, or (6) participated onsite in a nuclear arms test involving the atmospheric detonation of a nuclear device.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the

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office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all federal grant opportunities. All applicants are required to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 180 days.

Appeals:

Not Applicable.

Renewals:

Other. RESEP awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually. After funds are issued, funds are released in accordance with payment procedures of DHHS, which may be an Electronic Transfer System or monthly cash request system. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. Awardees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrc_hedule.pdf. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Awardees will be required to submit a Performance Improvement Measurement

System (PIMS) report through the EHB once a year throughout their project period. A Non-competing Continuation report is required once a year throughout their project period

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues that arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$1,834,000.00; FY 21 est \$1,647,305.00; FY 22 est \$2,734,000.00;

Range and Average of Financial Assistance:

Range = \$107,016 to \$223,956; \$205,913 (average).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Since 2002, RESEP awardees have assisted in the filing of over 4,000 claims for compensation under the Radiation Exposure Compensation Act (RECA). During this period, claimants who sought assistance from RESEP grantees have enjoyed a claim approval rate of 84% as opposed to the 74% general approval rate for all RECA claimants.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 for state, local and tribal governments, institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Jason Rush, Program Coordinator, jrush@hrsa.gov, Phone (301) 945-3054

Headquarters Office:

Program Coordinator The Federal Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services,, 5600 Fishers Lane, Mailstop 17W29-C, Rockville MD 20857

Email: jrush@hrsa.gov

Phone: (301) 443-3054

Website Address:

<http://www.hrsa.gov/ruralhealth/about/community/resepggrant.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Need and Readiness -- the extent to which the applicant can demonstrate a need for these services in their area and their readiness to provide them;(2) Response -- the extent to which the applicant can demonstrate their strength and feasibility of the proposed approach to meeting the service area and user needs; (3) Evaluative Measures -- the extent to which the applicant can describe the strength of the plan to evaluate RESEP and assess whether stated objectives were met through program activities; (4) Impact -- The extent to which the application provides clear evidence of a positive impact on the health of the user population and the strength of the plan

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for how problem areas or unmet objectives will be remedied after they are identified; (5) Resources/Capabilities-- the extent to which project personnel are qualified by training and/or experience to implement and carry out the projects; and (6) Support Requested -- the extent to which the applicant's budget for the scope of the proposed activities.

93.260 Family Planning Personnel Training

Family Planning Training,

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Title X of the Public Health Service Act, Section 1003, Public Law 2021-116-260, 42 USC Code 1003.

OBJECTIVES:

To provide job specific training for personnel to improve the delivery of family planning services.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Cooperative Agreements are awarded to provide training and technical assistance to Title X family planning service delivery grantees, subrecipients, and service sites. Funds may not be used in programs where abortion is a method of family planning. The regulations set out at 42 CFR part 59, subpart C, govern grants and cooperative agreements to provide training for family planning service providers. Training provided must be consistent with the requirements for providing family planning services under Title X. In addition, any training regarding sterilization of clients as part of the Title X program should be consistent with 42 CFR part 50, subpart B (Sterilization of Persons in Federally Assisted Family Planning Projects).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; State; Other public institution/organization; Federally Recognized Indian Tribal Governments; Any public entity (including city, county, local, regional, or State government) or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this program. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Health Professional; Personnel delivering family planning services in Title X projects.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following cost principles in 45 CFR part 75. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply, applicants

must access the announcement thru www.grants.gov. Enter the Assistance Listing (formerly CFDA) # and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will be deemed ineligible and not reviewed. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Final award recommendations will be made to the Grants Management Officer by the Deputy Assistant Secretary for Population Affairs, using information from the independent review panel and the Federal staff reviews. After completing a risk analysis in accordance with 45 CFR part 75, the Grants Management Office will issue Notices of Award to successful applicants. Funded organizations receive a Notice of Award that includes all of the details of their award, and are assigned to a project officer from OPA and a Grants Management Specialist from the OASH Grants and Acquisitions Management Division. Award decisions, including the level of funding, are final and not appealable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approvals from 120 to 180 days. Disapprovals from 90 to 270 days

Appeals:

Not Applicable. Award decisions, including the level of funding, are final and not appealable.

Renewals:

>180 Days. Recipients apply for their non-competing continuation application ~90 days prior to the end of the budget period. Guidance for applying for the non-competing continuation application is provided to the grantee by OPA. Decisions regarding continuation awards and the funding level of such awards will be made after consideration of such factors as the grantees progress and management practices, and the availability of funds. In all cases, continuation awards require a determination by HHS that continued funding is in the best interest of the government (42 C.F.R. 59.8(b)).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative Agreements are funded for an initial 12 month budget period for a period of performance of up to five years. Recipients submit non-competing continuation applications to request funding for subsequent budget periods. Decisions regarding continuation awards and the funding level of such awards will be made after consideration of such factors as the grantees progress and management practices, and the availability of funds. In all cases, continuation awards require a determination by HHS that continued funding is in the best interest of the government (42 C.F.R. 59.8(b)) Method of awarding/releasing assistance: other

Reports:

Grantees will submit semi-annual or quarterly progress reports. Grantees submit a non-competing continuation application within 90 days before the start of the next project period. A final progress report covering the entire project period is due 90 days after the end of the project period. Quarterly cash reporting to the HHS Payment Management System on the FFR is required. Grantees receiving \$500,000 or greater of Federal funds must also undergo an independent audit in accordance with 45 CFR part 75 or regulations and policy effective at the time of the award. Recipients will submit semi-annual or quarterly progress reports. A final progress report covering the entire project period is due 120 days after the end of the project period. Quarterly cash reporting to the HHS Payment Management System on the FFR is also required. Please note these FFR reports are separate submissions via the Division of Payment Services. At this time, data is not transferable between the two systems and you will report twice on certain data elements. Recipients will

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submit performance measure data on a semi-annual basis within their progress reports.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Audits requirements are found in 45 CFR part 75 subpart F

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a recipient, subrecipient, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR part 75.361, recipients are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,649,996.00; FY 21 est \$4,500,000.00; FY 22 est \$4,500,000.00;

Range and Average of Financial Assistance:

Family Planning Training grants range from \$900,000 - \$3,500,000 per year.

TAFS Codes:

75-0359.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Two Title X funded training centers provide training and technical assistance materials and support to Title X family planning service grantees, subrecipients, and service sites. Fiscal Year 2021: Two Title X funded training centers provide training and technical assistance materials and support to Title X family planning service grantees, subrecipients, and service sites.

REGULATIONS, GUIDELINES, AND LITERATURE:

In accepting the award, the recipient stipulates that the award and any activities thereunder are subject to all provisions of 45 CFR part 75, currently in effect or implemented during the period of the grant or other Department regulations and policies effective at the time of the award. In addition, recipients must comply with all terms and conditions outlined in their grant awards, the Department of Health and Human Services (HHS) Grants Policy Statement, requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts. Awards under this assistance listing are also subject to 42 CFR part 59, subpart C and 42 CFR part 50, subpart B.

Regional or Local Office:

None. Office of the Assistant Secretary for Health (OASH) Office of Population Affairs (OPA) OASH Grants and Acquisitions Management (GAM) Division

Headquarters Office:

Amy Margolis,
1101 Wootton Parkway, Suite 200,
Rockville MD 20852

Email: Amy.Margolis@hhs.gov

Phone: 240-453-2820

Fax: Robin Fuller,

1101 Wootton Parkway, Plaza Level,
Rockville MD 20852

Email: Robin.Fuller@hhs.gov

Phone: (240) 453-8822

Website Address:

<https://www.hhs.gov/opa>

RELATED PROGRAMS:

93.974 Family Planning Service Delivery Improvement Research Grants; 93.217 Family Planning Services;

EXAMPLES OF FUNDED PROJECTS:

2020 Cooperative agreements with organizations to provide training and technical assistance for Title X family planning grantees, subrecipients, and service sites.

Visit the OPA website at: <https://www.hhs.gov/opa/grants-and-funding/recent-grant-awards/index.html> for a listing of grantees and an overview of project activities. 2021 Cooperative agreements with organizations to provide training and technical assistance for Title X family planning grantees, subrecipients, and service sites. Visit the OPA website at: <https://opa.hhs.gov/grant-programs/title-x-service-grants/key-resources-title-x-grantees> for more information about the OPA training centers.

CRITERIA FOR SELECTING PROPOSALS:

An independent review panel evaluates applications that pass the screening and meet the responsiveness criteria if applicable. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies. Based on the Application Review Criteria as outlined in the Notice of Funding Opportunity, the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance.

93.261 Scaling the National Diabetes Prevention Program to Priority Populations

National Diabetes Prevention Program,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 317(k)(2) of the Public Health Service Act (PHS Act), 42 U.S.C. 247b (k)(2); Section 301(a) of the PHS Act, 42 U.S.C. 241(a),

OBJECTIVES:

The purpose of this funding announcement is to scale (expand) and sustain the National Diabetes Prevention Program (National DPP). Scaling and sustaining the National DPP includes: Establish an evidence-based lifestyle change program in multiple states for populations at high risk for type 2 diabetes that meet the standards outlined in the CDC Diabetes Prevention Recognition Program Standards and Operating Procedures (DPRP Standards) available at www.cdc.gov/diabetes/prevention/recognition; Recruit and facilitate training of lifestyle coaches to deliver the evidence-based lifestyle change program; Educate employers in multiple states about the benefits and cost-savings of offering the evidence-based lifestyle change program as a covered health benefit for employees; Educate public and private health insurance companies about the benefits and cost-savings of reimbursing organizations delivering the evidence-based lifestyle change program using a pay-for-performance model; Develop and implement strategic marketing and promotional activities to increase awareness and referrals to the evidence-based lifestyle change program; Sustain the evidence-based lifestyle change program without government grant funds when this cooperative agreement ends, through securing voluntary reimbursement from public and private health insurance companies for organizations delivering the evidence-based lifestyle change program using a pay-for-performance model; Ensure that each intervention site offering the evidence-based lifestyle change program under this FOA will obtain recognition from the CDC DPRP. CDC Diabetes Prevention Recognition Program Standards and Operating Procedures (DPRP Standards) available at www.cdc.gov/diabetes/prevention/recognition; Ensure that each intervention site offering the evidence-based lifestyle change program has the capacity to collect participant information and maintain a data collection system as outlined in the CDC Diabetes Prevention Recognition Program Standards and Operating Procedures (DPRP Standards) available at www.cdc.gov/diabetes/prevention/recognition.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The award recipients will be expected to develop, implement, market, and evaluate a comprehensive plan for scaling the National DPP lifestyle change program in select communities. The awardees will identify and engage organizations and/or

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employers that have capacity to offer the National DPP lifestyle change program and facilitate the establishment of the National DPP lifestyle change program, in collaboration with these organizations and/or employers. Awardees will also work with insurance companies to reimburse organizations offering the National DPP lifestyle change program through this cooperative agreement and work with employers and insurers to offer the National DPP lifestyle change program using pay for performance model. Also, they may work with employers to offer the National DPP lifestyle change program as a covered benefit for employees. The awardees will be expected to develop, implement and evaluate marketing and communications strategies to promote the National DPP lifestyle change program, educate health care providers about the program and encourage provider referrals to National DPP lifestyle change programs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Profit organization; Nonprofit Organizations For-Profit Organizations Indian/Native American Tribal Governments Faith-based Organizations

Beneficiary Eligibility:

Anyone/general public; Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the methods of operation, describe the evaluation procedures and provide a budget justification for funds requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. Incomplete applications will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements. An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer..

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is up to 4 years with 12-month budget periods. Applications must be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Successful applicants will receive a Notice of Award (NoA) from the CDC

Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Method of awarding/releasing assistance: lump sum.

Reports:

Funded applicants must provide CDC with an original, plus two hard copies of the following reports: 1. Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes: A. Progress towards objectives. B. Successes. C. Barriers encountered. D. Technical Assistance and training requested. 2. Annual and Final performance and Federal Financial Reports (SF-425)*, no more than 90 days after the end of each budget year and project period. These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VIII below entitled Agency Contacts. Funded applicants must provide CDC with an original, plus two hard copies of the following reports: 1. Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes: A. Progress towards objectives. B. Successes. C. Barriers encountered. D. Technical Assistance and training requested. Federal Financial Reports (SF-425 is due no 90 days after the end each calendar quarter that the budget period ends. Annual Progress reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0094-3-1-550.

Obligations:

Cooperative Agreements FY 20 \$14,165,143.00; FY 21 est \$14,165,143.00; FY 22 est \$14,165,143.00;

Range and Average of Financial Assistance:

\$750,000 - \$ 2 million

TAFS Codes:

75-0094.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov/diabetes/prevention>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

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CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.262 Occupational Safety and Health Program

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Federal Mine Safety and Health Act, Section 501(a), 30 USC Code 1(NOTE) & 951(a). Executive Order Federal Regulations 42 CFR 52 and 45, Part 74 and 92, Occupational Safety and Health Act of 1970, Section 20(a) and 21(a), 29 USC Code 669(a) & 670(a). Public Health Service Act, Section 301(a) and 405, 42 USC Code 241 and 284.

OBJECTIVES:

To (1) recognize new hazards; (2) define the magnitude of the problem; (3) follow trends in incidence; (4) target exceptional hazardous workplaces for intervention; and (5) evaluate the effectiveness of prevention efforts. The goal of this program is to increase worker safety and health. To develop specialized professional and paraprofessional personnel in the occupational safety and health field with training in occupational medicine, occupational health nursing, industrial hygiene, occupational safety, and other priority training areas. To perform medical monitoring and treatment for World Trade Center Responders and non-Responders and to create a Registry of affected workers.

TYPES OF ASSISTANCE:

Project Grants, Training

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Training grants: Funds may be used for long term training programs and/or education and research centers. Support is provided for the direct costs of the program, plus certain indirect costs determined by Public Health Service policy on training programs. Amounts of stipends and other details are in accordance with Public Health Service policy. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include for-profit or non-profit organizations, public or private institutions, such as universities, colleges, hospitals, and laboratories, units of State and local governments, eligible agencies of the Federal government, domestic or foreign institutions/organizations, faith-based organizations, Indian Tribes, Tribal Government, College and/or Organizations. Racial/ethnic minority individuals, women, and persons with disabilities are encouraged to apply as Principal Investigators. Training Grants: Any public or private educational institution or agency that has demonstrated competency in occupational safety and health training at the technical, professional, or graduate level may apply. Trainees must be admissible to the grantee institution and must be enrolled in occupational safety and health training programs. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). For SBIR grants primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Health Professional; Scientist/Researchers; Public nonprofit institution/organization; Research institutions and agencies as well as workers affected by occupational hazards.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR Part 74, Subpart Q. For SBIR grants applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are prepared and submitted following instructions provided in Funding Opportunity Announcements (FOAs). NIOSH publishes FOAs at <http://www.Grants.gov>, and in the NIH Guide for Grants and Contracts at <http://grants1.nih.gov/grants/guide/index.html>. Grants.gov is the portal for applications. Appropriate forms are specified in each FOA. Research and training programs utilize either the SF-424 R&R for electronic submission or the PHS 398 application form and instructions which are available on the Internet at: <http://grants1.nih.gov/grants/forms.htm>.

Award Procedure:

Awards are made on the basis of a two-step review of an investigator-prepared application. Applications are initially reviewed for scientific and technical merit by a scientific peer review group. The second level of review is performed by the NIOSH Secondary Review Committee for program relevance. Final approval of funding recommendations is made by the Director, NIOSH.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Research Grants and Awards: 7-9 months. Training Grants: 9-10 months. SBIR: 7-8 months.

Appeals:

From 120 to 180 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff in the NIOSH Office of Extramural Programs.

Renewals:

>180 Days. Support is recommended for a specified project period, usually not in excess of 5 years. Prior to the end of a project period, the grantee may apply for renewal of support for a new project period. Applications for renewal (competing continuation, Type 2) will be reviewed in the same manner as a new application and will compete for available funds with other applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research and Training Grants and Cooperative Agreements may be awarded for project periods ranging from one to five years depending on the recommendations of the scientific review group and the Secondary Review Committee, and on demonstration of successful performance and the availability of funds. SBIR Grants: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: other

Reports:

Terminal progress report (including citations of all resulting publications) within 90 days after end of project support. Interim progress reports (including citations of all resulting publications) annually as part of a non-competing continuation

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application for previously recommended support. Annual financial status report within 90 days after the conclusion of each budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of each budget period. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

Project Grants FY 20 \$11,300,000.00; FY 21 est \$0.00; FY 22 Estimate Not Available; Project Grants FY 20 \$115,474,807.00; FY 21 est \$113,000,000.00; and FY 22 est \$113,000,000.00;

Range and Average of Financial Assistance:

General Grants and Cooperative Agreements: \$15,000 to \$4,924,000. Training Grants: \$29,000 to \$1,770,000. SBIR Grants: Phase I - up to \$150,000; Phase II - up to \$1,000,000.

TAFS Codes:

75-0953.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Parts 86 and 87. 45 CFR Part 74 or 92, as applicable. OMB Circulars A-21 and A-87. The PHS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period, and the NIH Grants Policy Statement. Funding opportunity announcements as published in the NIH Guide: (<http://grants.nih.gov/grants/guide/index.html>), the Grants.gov website (www.grants.gov), and the NIOSH OEP web site (<http://www.cdc.gov/niosh/oep/>). Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Any additional grant program legislation and regulation cited in the Notice of Grant Award.

Regional or Local Office:

None. Program Contact: Office of Extramural Programs, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road, NE., MS-E74, Atlanta, GA 30329-4027. Telephone: (404) 498-2530. Grants Management Contact: Office of Financial Resources (OFR), Office of Grants Services, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, Mail Stop D-03, Atlanta, GA 30329-4027. Telephone: (770) 488-2700.

Headquarters Office:

Stephanie L. Shack,
1600 Clifton Rd., NE, Cubicle 4201.23, Mailstop E-74,
Atlanta GA 30329
Email: SShack@cdc.gov
Phone: (404) 498-2530.

Website Address:

<http://www.cdc.gov/niosh/oep>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. Training Grants: Criteria used in evaluating training proposals include: (1) Overall potential contribution of the project toward meeting program objectives; (2) the need for training in the areas outlined in the application; (3) curriculum content and design; (4) previous record of training; (5) evaluation methods; (6) experience and training of the project director and staff; (7) institutional commitment; (8) academic and physical environment; (9) past performance; and (10) appropriateness of budget. The following criteria will be used in considering the scientific and technical merit of SBIR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.264 Nurse Faculty Loan Program (NFLP)

NFLP,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 846A (42 U.S.C. 297n-1) and Section 847(f) (42 U.S.C. 297o(f)) of the Public Health Service Act.

OBJECTIVES:

The purpose of the NFLP program is to increase the number of qualified nursing faculty nationwide. NFLP seeks to accomplish this by providing funding to accredited schools of nursing to establish and operate a student loan fund and provide loans to students enrolled in advanced education nursing degree programs who are committed to becoming nurse faculty. In exchange for completion of up to four years of post-graduation full-time nurse faculty employment in an accredited school of nursing, the program authorizes cancellation of up to 85 percent of any such student loan (plus interest thereon).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

NFLP grantees must establish a distinct NFLP interest-bearing loan fund for the purpose of making NFLP loans to eligible students enrolled in advanced degree nursing programs who are committed to become qualified nurse faculty and for the costs associated with collection of these loans. Institutions may award a maximum loan amount of \$35,500 per student for an academic year to support the cost of tuition, fees, books, and other reasonable education expenses (including student nurse educator development activities). NFLP funds may only be used for loan support, with the exception of covering the costs of collection of loaned principal

ASSISTANCE LISTINGS DESCRIPTIONS

and accrued interest and/or penalty, and the cost of student nurse educator development activities as reasonable expenses. Upon completion of the program and following graduation, an amount up to 85 percent of the loan (principal of and interest on) may be cancelled by the institution as follows: 20 percent upon completion of the first, second, and third years of full-time faculty employment in an accredited school of nursing; and 25 percent upon completion of the fourth year of full-time employment as faculty in an accredited school of nursing.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are accredited public or private collegiate schools of nursing or departments within an academic institution, that offer graduate degree nursing education programs (master's or doctoral) that will prepare the graduate student to serve as nurse faculty. The school must be located in the 50 States, the Commonwealth of Puerto Rico, the District of Columbia, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the U.S. Virgin Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau. Funding priority is given to applicants with doctoral-level advanced degree nursing programs.

Beneficiary Eligibility:

Native American Organizations; U.S. Citizen; Eligible nursing students must: (1) be a citizen or national of the United States, or a lawful permanent resident of the United States, the Commonwealth of Puerto Rico, the District of Columbia, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the U.S. Virgin Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau; (2) be enrolled in an advanced degree program in nursing to become qualified nursing faculty; (3) not be in default on a Federal debt; and (4) maintain good academic standing.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. The NFLP Program is a formula-based grant program that does not undergo a formal Objective Review Process. All eligible, qualified applications are reviewed and assessed internally. Notification is made in writing by a Notice of Award

Award Procedure:

The NFLP Program is a formula-based grant program that does not undergo a formal Objective Review Process. All eligible, qualified applications are reviewed and assessed internally. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days from receipt of application

Appeals:

Not Applicable.

Renewals:

Other. Applications must be submitted annually.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory.

Cost sharing/matching is required for this program. Recipient institutions are required to deposit an institutional capital contribution (ICC) that is equal to not less than one-ninth of the FY 2021 Federal award amount, hereafter referred to as the Federal Capital Contribution (FCC). The ICC must be deposited at the time the FCC is received and deposited into the loan fund account. The funds appropriated for the NFLP are distributed among participating institutions as formula-based awards. Program data reported on the NFLP Program Specific Data Form and other program sources such as the annual performance reports are used to determine funding and administer the program. This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a one-year project period. Recipients must draw down the full NFLP award amount from the Payment Management System (PMS), the centralized web based payment system for HHS awards within sixty (60) days of receipt of the award and deposit the funds into an interest-bearing student loan fund established by the institution. Institutions must conduct all loan activity through the student fund. Method of awarding/releasing assistance: other

Reports:

Program reports are required. The recipient will be required to submit Annual Performance Reports. No cash reports are required. NFLP award recipients are not required to submit the annual Federal Financial Report SF-425 to HRSA. For the purpose of this grant program, the quarterly Federal Cash Transaction Report submitted to the Payment Management System (PMS) will be used to fulfill the financial reporting requirement. Timely and accurate information provided to the PMS will be used in lieu of the Financial Status Report /Federal Financial Report. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier sub-award of \$25,000 or more in federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. No expenditure reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. NFLP awardees report date of audit on the NFLP Annual Performance Report (APR) annually and is to be within the last 2 years of reporting date.

Records:

Recipients are required to maintain grant accounting records for 3 years. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Formula Grants FY 20 \$26,687,624.00; FY 21 est \$26,540,000.00; FY 22 est \$26,540,000.00;

Range and Average of Financial Assistance:

FY 20: Range is \$15,900 to \$2,040,607; average is \$329,477FY 21 : Range is \$17,208 to \$2,966,106; average is \$329,477FY 22 est: TBD

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2019-2020, 45 schools received new NFLP awards. Awardees supported 2,270 nursing students pursuing graduate level degrees as nurse faculty. By the end of the Academic Year, 659 trainees graduated, 89 percent of whom intended to teach nursing.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Linda Wierzechowski, Nurse Consultant, Division of Nursing and Public Health, Bureau of Health Workforce, 5600 Fishers Lane., Rockville MD 20857
Email: NFLP@hrsa.gov
Phone: (301) 443-0819

Website Address:

<https://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and eligibility, and to determine if applicant schools meet minimum program requirements. All eligible applicants are considered in a formula for determining awards. Awards are distributed among eligible entities as formula-payment based awards according to data supplied in the application. The doctoral funding priority is implemented within the NFLP formula, by allocating a larger portion of the funds to support doctoral students. For continuation (renewal) applicants, the application review and award determination process will also consider (a) the amount of unused NFLP funds from previous awards; (b) the NFLP loans made by the institution over recent periods; (c) program performance outcome measures such as the NFLP loan default rate and number of graduates who obtain employment; and program compliance measures such as PMS draw down compliance and timely report submission.

93.266 Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief

Global HIV/AIDS Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

22 U.S.C. 7601, et seq.,

OBJECTIVES:

Fund human resources for health, quality improvement and other health system strengthening activities in countries hit hardest by HIV/AIDS through the President's Emergency Plan for AIDS Relief (PEPFAR). Objectives include: (1) Training and technical assistance to build and strengthen care programs and health systems, so that affected countries can maintain programs over time and deliver quality HIV/AIDS care; build infrastructures such as clinic and community-led monitoring, health information systems, and medical and nursing education. (2)

Enhance models of medical, nursing and midwifery education. (3) Develop partnerships between experts in the U.S. and people and institutions overseas to build skills and prepare host countries so that they may better manage their own programs over the long term. Health Systems Strengthening Programs: (1) Quality Improvement Capacity for Impact Project (CoAg) (2) International AIDS Education and Training Center (CoAg) (3) Resilient and Responsive Health Systems Initiative (CoAg) (4) Resilient and Responsive Health Organizations Initiative: Sustainability Communities of Practice Initiative (CoAg) (5) Health Workforce for HIV and Chronic Disease Service Delivery Global Initiative (CoAg) (6) Optimizing Momentum Toward Sustainable Epidemic Control (CoAg) (7) Community-Led Monitoring Sierra Leone (CoAg).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreements will be awarded to organizations that execute one or more of the objectives above. See the notice of funding opportunity for restricted uses of funds.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligibility varies depending on the specific program. Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA for specific eligibility requirements.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Public nonprofit institution/organization; Other public institution/organization; Beneficiaries are foreign governments, foreign public or private institutions or organizations, or foreign individuals.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 45 CFR 75, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 3-6 months.

Appeals:

Not Applicable.

Renewals:

Other. Cooperative agreements are typically awarded for up to a three or five year project period. Competitive awards are made every 3 to 5 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

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are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements are typically awarded for up to a three or five year project period composed of 12-month budget periods. Method of awarding/releasing assistance: other

Reports:

Annual program reports (non-competing continuation progress reports NCCs) are required. Quarterly or semi-annual progress reports may also be required. Reports should provide progress on achieving objectives and modifications or new objectives. The Federal Financial Report (SF-425) is to be submitted within 90 days after the close of each budget period and a final Federal Financial Report is required 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the final Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$30,000,000.00; FY 21 est \$35,000,000.00; FY 22 est \$37,000,000.00;

Range and Average of Financial Assistance:

ANNUALLY: Quality Improvement Solutions for Sustained Epidemic Control Project (QISSEC) - \$1,000,000 to \$20,000,000 International AIDS Education & Training Center \$1,000,000 to \$50,000,000 Resilient and Responsive Health Systems Initiative - \$1,500,000 to \$2,000,000 Resilient and Responsive Health Organizations Initiative: Sustainability Communities of Practice Initiative - \$750,000 Health Workforce for HIV and Chronic Disease Service Delivery Global Initiative - \$10,000,000 to \$30,000,000 Optimizing Momentum Toward Sustainable Epidemic Control - \$100,000 to 10,000,000 Global Reach II 1,000,000 to 20,000,000 Community Led Monitoring Sierra Leone 10,000 100,000.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Recent program accomplishments include the following: Helped establish Project ECHO in Zambia, Uganda, Jamaica, Trinidad & Tobago & Democratic Republic of Congo (DRC). Developed Standard Operating Procedures (SOPs) and training materials for 39 PEPFAR-supported facilities for index testing in Ukraine. Supported the transition of the Quality Improvement Collaborative to sustainable QI Learning network in the Caribbean Region. Introduced Family Medicine Training Program in a rural community in Liberia. Strengthened the Liberia Board of Nursing and Midwifery into an organization now able to lead curriculum development, accreditation, licensure and continuing professional development.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 2 CFR 200. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at

<http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Office of Training and Capacity Development Office of Global Health: Aday Adetosoye,
5600 Fishers Lane,
Rockville MD 20857
Email: AAdetosoye@hrsa.hhs.gov
Phone: (301) 443-0607

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.145 AIDS Education and Training Centers;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the evaluation criteria included in the notice of funding opportunity.

93.268 Immunization Cooperative Agreements

Immunizations CoAg and Vaccines for Children Program previously published as Immunization Grants and Vaccines for Children Program,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 317(j) of the Public Health Service Act (42 U.S.C. 247b(j)) reauthorized in Section 4204 of the Patient Protection and Affordable Care Act. Prevention and Public Health Fund (PPHF) funding is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended and the Patient Protection and Affordable Care Act (PL 111-148). The Vaccines for Children (VFC) program is authorized under Section 1902(a)(62) of the Social Security Act, 42 U.S.C. section 1396a(a)(62). The VFC Program was established under the authority of Section 1928(a) of the Social Security Act, 42 U.S.C. 1396s(a).

OBJECTIVES:

To assist states and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases (including measles, rubella, poliomyelitis, diphtheria, pertussis, tetanus, hepatitis B, hepatitis A, varicella, mumps, haemophilus influenza type b, influenza, and pneumococcal pneumonia).

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used for costs associated with planning, organizing, and conducting immunization programs directed toward vaccine-preventable diseases and for the purchase of vaccine; and for the implementation of other program elements, such as assessment of the problem; surveillance and outbreak control; information and education; adequate notification of the risks and benefits of immunization; compliance with compulsory school immunization laws; vaccine storage, supply management, and delivery; citizen participation; and use of volunteers. Vaccine will be available "in lieu of cash" if requested by the applicants. Requests for personnel and other items "in lieu of cash" will also be considered. Vaccine purchased with Cooperative Agreement funds may be provided to private practitioners who agree not to charge for vaccine. Cooperative Agreement funds may be used to supplement (not substitute for) existing immunization services and operations provided by a state or locality.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; Any U.S. state,

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and in consultation with state health authorities, political subdivisions of states and other public entities and U.S. territories may apply; private individuals and private nonprofit agencies are not eligible for immunization grants.

Beneficiary Eligibility:

U.S. Territories; State; Local; Any U.S. state, political subdivision (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87) for state and local governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Contact the headquarters or regional location, as appropriate, for application deadlines.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2716. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by PHS and required by 45 CFR 92 for state and local governments, must be used for this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval of an application, a Notice of Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 90 to 120 days

Appeals:

Not Applicable.

Renewals:

Not Applicable. Same as Application Procedure.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project Period: Variable. Budget Period: About 12 months. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases

records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 (\$1,369,663,552.00); FY 21 est \$371,059,410.00; FY 22 est \$348,054,665.00;

Range and Average of Financial Assistance:

317 Grants: From \$252,725 to \$48,568,982; \$6,870,723 VFC Grants: From \$1,649,229 to \$368,026,903; \$52,334,322.

TAFS Codes:

75-0943. 75-0943. 75-0512.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Management Analyst Tiffany Jacobs,
1600 Clifton Road,
Atlanta GA 30333
Email: tlw4@cdc.gov
Phone: 404-718-1111

Website Address:

<http://www.cdc.gov/vaccines>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.600 Head Start; 93.217 Family Planning_Services; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order Sections 307 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 242l and 247b(k)(2)], as amended.,

OBJECTIVES:

To bring public health and epidemiologic principles to the aid of populations affected by complex humanitarian emergencies. Work will focus on the following main program areas: (1) Providing technical assistance including rapid health and nutrition assessments, public health surveillance, epidemic investigations, disease prevention and control, program evaluation and emergency preparedness; (2) Developing, implementing and disseminating findings from operational research projects aimed at developing more effective public health interventions; (3) Designing, implementing, and evaluating training activities to strengthen the capacity of CDC, other U.S. government agencies, international and private voluntary organizations, other governments, and public health students; (4) Developing and disseminating guidelines on public health issues and technical areas; and (5) Planning and maintaining partnerships with strategic international, bilateral, and non-governmental relief organizations.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of projects related to domestic and international complex humanitarian emergencies and war-related injuries. The grants and cooperative agreements may be used for personnel, salaries, consultant costs, survey costs, training, equipment, supplies, travel, patient costs, miscellaneous items, and indirect costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; The general public will benefit from the objectives of this program.

Beneficiary Eligibility:

Anyone/general public; The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Office of Grant Services, CDC. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 2 to 3 months.

Appeals:

From 60 to 90 days. n/a

Renewals:

From 90 to 120 days. Support is recommended for a specified project period, not to exceed 5 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period, not to exceed 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Refer to the funding opportunity announcement Refer to the funding opportunity announcement Refer to the funding opportunity announcement Refer to the funding opportunity announcement

opportunity announcement Refer to the funding opportunity announcement

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-1-3-550.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$92,268.00; FY 21 est \$172,930.00; FY 22 est \$294,127.00;

Range and Average of Financial Assistance:

n/a

TAFS Codes:

75-0943. 75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Yvette Valentine,
1600 Clifton Rd., NE Atlanta, GA 30333 Mailstop: H21-9,
Atlanta GA 30333
Email: ybt0@cdc.gov
Phone: 404-639-4469
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.270 Viral Hepatitis Prevention and Control

Integrated Viral Hepatitis Surveillance and Prevention,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 301 and 317K(k)(2) of the Public Health Service Act (42 U.S.C. section 241 and 274b-15), as amended, Title Integrated Viral Hepatitis Surveillance and Prevention Funding for Health Departments, Section Sections 301 and 317K(k)(2)

ASSISTANCE LISTINGS DESCRIPTIONS

of the Public Health Service Act (42 U.S.C. section 241 and 274b-15), as amended

OBJECTIVES:

Program activities support integrated viral hepatitis surveillance and prevention programs in states and large cities in the United States. Key strategies include viral hepatitis outbreak planning and response; and surveillance for acute hepatitis A, B and C, and chronic hepatitis C. Partners should develop a jurisdictional viral hepatitis elimination plan, increase comprehensive hepatitis B and C reporting, improve HBV and HCV testing and increase healthcare providers trained to treat hepatitis B and C. Contingent on funding, the following activities can be supported: surveillance for chronic hepatitis B and perinatal hepatitis C; increased hepatitis B and C testing and referral to care in high-impact settings (syringe services programs (SSPs), substance use disorder (SUD) treatment centers, correctional facilities, emergency departments and sexually transmitted disease clinics; and increased access to services preventing viral hepatitis and other infections among persons who inject drugs (PWID). Contingent on funding, an optional component will support improved access to prevention, diagnosis, and treatment of viral, bacterial and fungal infections related to drug use in settings disproportionately affected by drug use. Expected outcomes include improved surveillance for viral hepatitis, increased stakeholder engagement in viral hepatitis elimination planning, and improved access to viral hepatitis prevention, diagnosis, and treatment among populations most at risk.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with establishing and maintaining a prevention and control program directed towards prevention of viral hepatitis infections and their manifestations. Recipients may only expend funds for reasonable policies, systems and program purposes including personnel, travel, supplies and services, such as reducing transmission of HAV, HBV and HCV, preventing and delaying the progress of chronic infection, as well as educating and training of the general public and health care providers. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award in another party or provider who is eligible.

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Anyone/general public; Applicants include State or local governments or their Bona Fide Agents, public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements. In addition, funds may be used for costs associated with establishing and maintaining a prevention and control program directed towards prevention of viral hepatitis infections and their manifestations. Recipients may only expend funds for reasonable policies, systems and program purposes including personnel, travel, supplies and services, such as reducing transmission of HAV, HBV and HCV, preventing and delaying the progress of chronic infection, as well as educating and training of the general public and health care providers. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award in another party or provider who is eligible.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; The individual will benefit from the objectives of this program as well as the

community at large and society from the savings realized from treating those that are infected with viral hepatitis.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74 and Part 92. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Successful applicants receive a Notice of Award (NOA) from the CDC Office of Grant Services. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Deadline for submission of applications will be between 30-60 days from date of publication.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon the conditions in the Notice of Funding Opportunity announcement and are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period is for 5 years with 12-month budget period. Assistance is awarded through Notice of Grant Awards (NoAs) to funded applicants Method of awarding/releasing assistance: other

Reports:

Program reports are due annually. Also see NOFO for additional information. Programs are required to report carryover funds annually through form SF-51. Progress reports are due annually. A form SF-51 is required annually. Performance Reports are required as part of progress report and rapid feedback reports are part of the process for monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Financial records, supporting documents, statistical records, and all other reports pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0950-0-1-550, 09-2950-2-0-131.

Obligations:

Cooperative Agreements FY 20 \$16,241,093.00; FY 21 est \$23,150,105.00; and FY 22 est \$24,125,000.00;

Range and Average of Financial Assistance:

Awards will range from approximately \$30,000 to \$500,000, with an average award of \$150,000.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Richard Davis,
Centers for Disease Control and Prevention (CDC)National Center for HIV, Viral Hepatitis, STD and TB Prevention (NCHHSTP)Division of Viral Hepatitis1600 Clifton Road, Mailstop G37,
Atlanta GA 30329
Email: rbdavis@cdc.gov
Phone: 404-639-2363

Fax:

Website Address:

<http://www.cdc.gov/>

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs; 93.947 Tuberculosis Demonstration, Research, Public and Professional Education; 93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 Funding is provided to state and local health departments to increase testing and linkage to care if necessary, for chronically infected individuals with viral hepatitis B and C. 2021 Funding is provided to state and local health departments to increase testing and linkage to care if necessary, for chronically infected individuals with viral hepatitis B and C. 2022 Funding is provided to state and local health departments to increase testing and linkage to care if necessary, for chronically infected individuals with viral hepatitis B and C.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selection is posted in the NOFO and an objective review is held with each applicant scored and rank based on score and funding preferences which are listed in the NOFO.

93.273 Alcohol Research Programs

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, as amended, Sections 301 and 464H, and 42 U.S.C. 290Gb; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564, , Public Law 78-410, 42 USC Code 241.

OBJECTIVES:

To develop a sound fundamental knowledge base which can be applied to the development of improved methods of treatment and more effective strategies for preventing alcoholism and alcohol-related problems. The National Institute on Alcohol Abuse and Alcoholism (NIAAA) supports research in a broad range of disciplines and subject areas related to biomedical and genetic factors, psychological and environmental factors, alcohol-related problems and medical disorders, health services research, and prevention and treatment research. Small Business Innovation Research (SBIR) program: to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation and technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

(1) Research Project Grants provide support for clearly defined projects or a small group of related activities, and when appropriate, support of conferences; (2) Program Project Grants are for large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) Small Grants are for small-scale exploratory and pilot studies or exploration of an unusual research opportunity; Small Grants are limited to \$50,000 for direct costs for a period of up to 2 years; (4) Exploratory/Developmental Grants are limited for a period of up to 2 years, and a maximum of \$275,000 for direct costs for the entire 2- year period. Funds may be used only for expenses directly related to the approved research project. SBIR Phase I grants (of approximately 6- months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or processes. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application; (5). Alcohol Research Center Grants, and Alcohol Research Resource Centers provide long-term support for a concerted and multi-disciplinary research effort into the problems of alcohol use and alcoholism by coordinating the activities of investigators from biomedical, behavioral, and social science disciplines around a basic research theme. An alcohol research center is expected to realize its greater potential for contributing to the basic store of knowledge about alcohol and alcoholism and to become a significant regional and national resource; (6) Training and Research Career Development awards - Mentored Research Scientist Development Awards (K01), Mentored Clinical Scientist Development Awards (K08), and Independent Scientist Awards (K02) provide support for individuals with research potential who need additional development and/or experience in a productive research environment. Mentored Patient-Oriented Research Career Development Award (K23) and Mid-career Investigator Award in Patient Oriented Research (K24) and Mentored Quantitative Research Career Development Award (K25) are also available. Senior Scientist Awards (K05) are also available to enable senior investigators to devote full-time to research. Academic Career Awards (K07) are also available for clinical faculty development; and (7)Alcohol National Research Service Awards for Research Training awards to assure the maintenance of an adequate supply of well-trained alcohol researchers through the award of both individual fellowships and grants to training institutions for support of training at both the pre-doctoral and postdoctoral levels and for Senior Fellowships and M.D./Ph.D. Fellowships. Special pre-doctoral fellowships are also available for students with disabilities and for minority students.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public or private profit and nonprofit agencies, including State, local, or regional government agencies, universities, colleges, hospitals, academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Local; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Public, profit and nonprofit private organizations.

Credentials/Documentation:

For-profit grantees' costs will be determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart C, Section 74.27. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms, as furnished by PHS, must be used by grant applicants. Application kits, containing the necessary forms and instructions, if not available at the applicant institution, may be obtained from the NIAAA. Consultation on proposed projects is also available. Preapplication consultation with NIAAA staff is required before submission of a Program Project grant application or any unsolicited new grant

application requesting \$500,000 or more direct costs in any one year. Applications are reviewed by nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of the merit of the project. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Research Grants in support of projects recommended for approval by the National Advisory Council on Alcohol Abuse and Alcoholism and approved for payment are awarded directly by the NIAAA to the applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 240 to 270 days from submission of application for grant support. SBIR/STTR applications about 7-1/2 months. AIDS related research applications about 6 months.

Appeals:

Other. A principal investigator may appeal the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeals procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>

Renewals:

Other. Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a competing request. Small Grants and Exploratory/Developmental Grants are not renewable, but grantees may apply for research project grants on the same topics.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small Grants are limited to 2 years or less and are not renewable. Exploratory/Developmental Grants are limited to 3 years or less and are not renewable. Payments will be made either on a Monthly Cash Request System or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued shortly after an award is made. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: other

Reports:

Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after

ASSISTANCE LISTINGS DESCRIPTIONS

termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No cash reports are required. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required. Annual progress reports are required as a part of the continuation application. A final expenditure report is required at the conclusion of the project. The NIAAA Program officers will monitor performance by evaluating the annual progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 "In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133."

Records:

Not Data Available.

Account Identification:

75-0894-0-1-552.

Obligations:

Project Grants FY 20 \$418,852,000.00; FY 21 est \$416,803,000.00; FY 22 est \$427,910,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0894.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. Guidelines are included in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994. Specific program announcements are available electronically from the NIAAA World Wide Web Home Page on the Internet at <http://www.niaaa.nih.gov> or from the NIH Home Page under Institutes and Offices. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. The Headquarters Office (National Institute on Alcohol Abuse and Alcoholism) is responsible for the administration of these programs.

Headquarters Office:

Chief, Extramural project Review Branch Ranga V. Srinivas.,
National Institute on Alcohol Abuse and Alcoholism, National Institutes of Health.,
Bethesda MD 20817

Email: srinivar@mail.nih.gov

Phone: (301) 451-2067

Fax: (301) 443-7043.

Website Address:

<http://www.nih.gov>

RELATED PROGRAMS:

93.272 Alcohol National Research Service Awards for Research Training; 93.271 Alcohol Research Career Development Awards for Scientists and Clinicians;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded: (1) Scientific and technical merit of the proposal; (2) program balance; and (3) relevance to NIAAA priorities and public health issues. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.276 Drug-Free Communities Support Program Grants

Drug Free Community Grants (DFC). Drug-Free Communities Mentoring Program (DFC-M),

FEDERAL AGENCY:

CDC NATIONAL CENTER FOR CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Drug-Free Communities Act of 1997, , Public Law 105-20, Reauthorized by Public Law 107-82,

OBJECTIVES:

To increase the capacity of community coalitions to reduce substance abuse, and over time, to reduce substance abuse among adults through strengthening collaboration among communities, public, and private entities. To disseminate state-of-the-art information on practices and initiatives that have proven to be effective in reducing substance abuse among youth.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds must be used by the programs that are intended to support established community-based coalitions to work with leaders within their communities to

ASSISTANCE LISTINGS DESCRIPTIONS

identify and address local youth substance use problems and create sustainable community-level change. No more than 20% of funds may be used for data collection and evaluation.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Community coalitions must demonstrate that the community coalition has worked together for a period of not less than 6 months on substance abuse reduction initiatives. The coalition must: meet the composition requirements; ensure that there is substantial community volunteer effort; ensure that the coalition is a nonprofit, charitable, educational organization, or unit of local government, or is affiliated with an eligible organization or entity; possess a strategy to be self-sustaining; provide a 100-150 percent cash or in-kind match; and agree to participate in an evaluation of the coalition's program.

Beneficiary Eligibility:

Private nonprofit institution/organization; Local; Public nonprofit institution/organization; Other public institution/organization; Community coalitions, children, youth, and adults, those at-risk of substance abuse, and private nonprofit, and public community agencies.

Credentials/Documentation:

2 CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Drug Free Community (DFC) is a collaborative initiative sponsored by Office of National Drug Control Policy (ONDCP) and Substance Abuse and Mental Health Services Administration (SAMHSA). Applications will be screened initially by ONDCP and SAMHSA to determine whether the applicant meets all the eligibility requirements. Applications submitted by eligible coalitions that meet all requirements will then be evaluated, scored, and rated by a peer review panel.

Award Procedure:

Upon approval by the Office of National Drug Control Policy and Substance Abuse and Mental Health Services Administration, a Notice of Award (NoA) signed by SAMHSA's Grants Management Officer is sent to the applicant agency. The NoA is the sole obligating document that allows the grantee to receive Federal funding for work on the grant project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 1 to 4 months.

Appeals:

Other. None

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. The Drug-Free Communities (DFC) Support Program was created by the Drug-Free Communities (DFC) Act of 1997 (Public Law 105-20). The DFC Mentoring (DFC-M) Program was established as a component of the DFC Support Program when the program was reauthorized in 2001 (Public Law 107-82, 115 Stat. 814).

The DFC Mentoring Program was also included in the Office of National Drug Control Policy Reauthorization Act of 2006 (Public Law 109-469). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Under the Drug-Free Communities Act, awards will be made for one year. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Cash Transaction Report (FCTR) is due quarterly by the Division of Payment Management. Under the Drug-Free Communities Support Grants biannual progress Annual federal financial reports (SF-425) are required. Classification tool survey is due each year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

75-0959-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$68,103,608.00; FY 21 est \$122,189,150.00; and FY 22 est \$122,189,149.00;

Range and Average of Financial Assistance:

\$75,000 to \$125,000; Avg. \$124,510

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is estimated 107 awards will be made. Fiscal Year 2021: It is estimated 305 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS awards; also the HHS Grants Policy Statement

Regional or Local Office:

None.

Headquarters Office:

Branch Chief, Formula Grants Branch Lisa Ball,
NCIPC,
Atlanta MD 30341
Email: yfa4@cdc.gov
Phone: 770-488-1005
Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Drug-Free Communities Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of the individual program announcements.

93.279 Drug Abuse and Addiction Research

ASSISTANCE LISTINGS DESCRIPTIONS

Programs

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301, 302 405, 464L, 464N, 464O and 464P, 42 U.S.C. 241, 42 U.S.C. 284, 42 U.S.C. 285o, 42 U.S.C. 288, 42 U.S.C. 295; Small Business Act 15 U.S.C 638.,

OBJECTIVES:

To support basic and clinical neuroscience, biomedical, behavioral and social science, epidemiologic, health services and health disparity research. To develop new knowledge and approaches related to the prevention, diagnosis, treatment, etiology, and consequences of drug abuse and addiction, including HIV/AIDS. To support research training and research scientist development. To support dissemination of research findings. Small Business Innovation Research (SBIR) legislation is intended to expand and improve the SBIR programs to emphasize and increase private sector commercialization of technology developed through Federal SBIR research and development; increase small business participation in Federal research and development; and foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in the SBIR program. The legislation intends that the Small Business Technology Transfer (STTR) program stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants, Training

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects or a small group of related research activities, and when appropriate, support of research conferences; (2) program project and center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) small grants support newer, less experienced investigators; investigators at institutions without a well-developed research tradition and resources; the testing of new methods or techniques; small-scale exploratory and pilot studies, or exploration of an unusual research opportunity; (4) research training grants including fellowships and institutional training grants, career development grants and loan repayment contracts; (5) SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of proposed research or research and development efforts to determine the quality of performance of the small business grantees. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercially viable products or processes. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application and the quality of performance of the small business concerns. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); For research grants the following organizations/institutions are eligible to apply: Public/State Controlled Institutions of Higher Education; Private Institutions of Higher Education; Hispanic-serving Institutions, Historically Black Colleges and Universities (HBCUs), Tribally Controlled Colleges and Universities (TCCUs), and Alaska Native and Native Hawaiian Serving Institutions; Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education); Nonprofits without 501(c)(3) IRS Status (Other than Institutions of Higher Education); Small

Businesses; For-Profit Organizations (Other than Small Businesses); State Governments; Indian/Native American Tribal Governments (Federally Recognized); Indian/Native American Tribally Designated Organizations; County Governments; City or Township Governments; Special District Governments; Independent School Districts; Public Housing Authorities/Indian Housing Authorities; and Other(s): Eligible Agencies of the Federal Government, Faith-based or Community-based Organizations, U.S. Territory or Possession, Indian/Native American Tribal Governments (Other than Federally Recognized), Regional Organizations, and Non-domestic (non-U.S.) Entities (Foreign Organizations). Eligible Individuals: Any individual, or individuals (multiple PDs/Pis), with the skills, knowledge, and resources necessary to carry out the proposed research as the PD/PI is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as individuals with disabilities are always encouraged to apply for NIH support. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research and/or development must be performed in the U.S. and its possessions. To be eligible, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and by a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific and technical merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Public or private profit and nonprofit sponsored organizations and individuals, minority groups, small businesses, health professionals, students, trainees, scientists and general public.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environment impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. The National Institutes of Health is using www.grants.gov to accept electronic submission of applications. Applications are also available from the NIH web site at www.nih.gov. Information concerning NIDA and the types of research supported may be found at www.drugabuse.gov or www.nida.nih.gov. Consultation on a proposed project may also be obtained from NIDA. Applications are reviewed by primarily nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of scientific merit of the project as well as financial and programmatic consideration. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm>. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms.

Award Procedure:

Grants with which the National Advisory Council on Drug Abuse has concurred with the recommendations of the Scientific Review Groups and approved by NIDA for payment are awarded directly by NIDA to the applicant institution. SBIR/STTR

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applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 240 to 270 days from submission of grant application. For AIDS applications the range will not exceed 180 days from cited receipt dates.

SBIR/STTR applications: About 225 days.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <https://grants.nih.gov/grants/guide/notice-files/not-od-11-064.html>, <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-101.html> and <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-003.html>

Renewals:

Other. Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for additional support via competing continuation application unless otherwise restricted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis unless otherwise restricted. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small grant support is limited to no more than 2 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly

Reports:

Interim progress reports annually as part of a non-competing application for previously recommended support. Annual financial status report within 90 days after termination of annual grant and immediate and full reporting of any inventions.

Audits:

For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0893-0-1-552.

Obligations:

Project Grants FY 20 \$1,251,925,034.00; FY 21 est \$1,270,704,000.00; FY 22 est \$1,589,651,000.00;

Range and Average of Financial Assistance:

Range-\$8,277 to \$25,530,833, Average-\$670,914

TAFS Codes:

75-0893.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; Guidelines are included in applications kits. PHS Grants Policy

Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1998. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR Part 52 and 42 CFR Part 52a; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Committee Management Officer, NIDA Troy Zarcone,
Three White Flint North 11601 Landsdown St North Bethesda, MD 20852,
Bethesda MD 20892

Email: Troy.Zarcone@nih.gov

Phone: 3014807066

Website Address:

<http://www.drugabuse.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded: (1) Scientific and technical merit; (2) the feasibility of the research; (3) potential contribution to the national drug abuse problem; and (4) relevance to NIDA priorities. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technology.

93.280 National Institutes of Health Extramural Loan Repayment Program

NIH Extramural LRP.

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF
AUTHORIZATION:

21st Century Cures Act, Title II, Part C, Section 2022, Public Law 114-255, 42 USC Code 288-2.

OBJECTIVES:

To attract and retain health professionals to research careers by offering educational loan repayment for participants who agree by written contract to engage in qualified research in a qualifying nonprofit institution for a minimum of two years. There are six subcategories of research: contraception or infertility research, pediatric research, minority health disparities research, clinical research, clinical research for individuals from disadvantaged backgrounds, and research in emerging areas critical to human health.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

To provide repayment of extant educational loans incurred by health professionals engaged in qualified research. Research must be supported by a domestic nonprofit foundation, university, professional association, or other nonprofit institution, or a U.S. government agency (federal, state, or local). A domestic foundation, professional association, or institution is considered to be nonprofit if exempt from federal tax under the provisions of Section 501 of the Internal Revenue Code (26 U.S.C. 501). NIH grants and university salaries are considered eligible funding. Primary employment must be with a nonprofit institution. Any employment or income outside of the nonprofit institution must be separate from the research and cannot represent a significant amount of an awardee's total income. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the NIH Director may grant extension contracts for one-year or two-year periods, as determined by the Director, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. The maximum program benefit is \$50,000 per year in loan repayments and \$19,500 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); (1) A U.S. citizen, U.S. national, or permanent resident of the United States; (2) Have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., O.D. or equivalent doctoral degree from an accredited institution; (3) Have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the NIH Director or designee); (4) Conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (State or local); (5) Engage in qualified research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week. (6) Agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) Sign and submit to the NIH Director, at the time of application submission, a contract agreeing to engage in qualified research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for Extramural LRP benefits. Part-time Federal employees who engage in qualifying research as part of their nonfederal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as a Federal employee for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Scientist/Researchers; Health professionals who are interested in pursuing research careers and who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information, Biosketch, Personal Statement, Description of Research Activities, 3-5 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement, and Description of the Research Environment, Training or Mentoring Plan. Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a LRP Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is nonprofit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under 2 CFR 200, Subpart E Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372. Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must access and submit the LRP application via the Application Submission System & Interface for Submission Tracking (ASSIST), <https://public.era.nih.gov/assist/>. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Extramural LRP. When an Institute or Center approves an application, the NIH Division of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a LRP Contract is executed by an authorized representative of the NIH Director. If the approved research assignment or the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center that approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

September 01, 2021 - November 18, 2021. Extramural LRP applications are accepted annually from September 1 through the 3rd Thursday of November, 8:00 p.m. EST. All LRP applications must be submitted electronically using the Application Submission System & Interface for Submission Tracking (ASSIST), <https://public.era.nih.gov/assist/>.

Range of Approval/Disapproval Time:

>180 Days. The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

Not Applicable.

Renewals:

>180 Days. At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year renewal awards are available. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and NIH Director. Method of awarding/releasing assistance: quarterly Method of awarding/releasing assistance: quarterly

Reports:

Program participants must be certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40-hour week) during each three-month service period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Direct Payments for Specified Use FY 20 \$87,020,128.00; FY 21 est \$88,000,000.00; FY 22 est \$88,000,000.00;

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayment of 50 percent of education debt up to \$100,000. For renewal contracts, loan repayment of 50 percent of education debt per year up to \$50,000 per year.

TAFS Codes:

75-4554.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 1262 awards (actual) Fiscal Year 2021: 1250 awards (estimate) Fiscal Year 2022: 1250 awards (estimate)

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in 21st Century Cures Act, Title II, Subtitle C, Section 2022, Public Law 114-255, 42 USC 288-2. The NIH Extramural LRP was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Matthew R. Lockhart,
Division of Loan Repayment 6700B Rockledge Dr, Suite 2300,
Bethesda MD 20817
Email: matthew.lockhart@nih.gov
Phone: (240) 380-3062

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.220 National Institutes of Health Intramural Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Extramural LRP. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance

CDC, Technical Assistance, Vital Statistics,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2), Public Law USC

OBJECTIVES:

To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts. STEPS- To enable communities to reduce the burden of chronic disease,

including; preventing diabetes among populations with pre-diabetes; increasing the likelihood that persons with undiagnosed diabetes are diagnosed; reducing complications of diabetes; preventing overweight and obesity; reducing overweight and obesity; and reducing the complications of asthma. STEPS will achieve these outcomes by improving nutrition; increasing physical activity; preventing tobacco use and exposure, targeting adults who are diabetic or who live with persons with asthma; increasing tobacco cessation, targeting adults who are diabetic or who live with persons with asthma; increasing use of appropriate health care services; improving the quality of care; and increasing effective self-management of chronic diseases and associated risk factors. REACH - REACH U.S. supports community coalitions that design, implement, evaluate, and disseminate community-driven strategies to eliminate health disparities Racial and ethnic groups targeted include: African American/Black, American Indian/Alaska Native, Asian, Native Hawaiian/Other Pacific Islander, and Hispanic/Latino. Health priority areas include: breast and cervical cancer; cardiovascular disease; diabetes mellitus; adult/older adult immunization, hepatitis B, and/or tuberculosis; asthma; and infant mortality. Vulnerable Populations: The purpose of this program is to reduce morbidity, premature mortality, and eliminate health disparities associated with diabetes. This will be done by funding organizations to mobilize community partners and assist them to effectively plan, develop, implement, and evaluate community-based interventions to reduce the risk factors that influence the disproportionate burden of diabetes in vulnerable populations borne by many communities in regions across the country.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To strengthen State and local disease prevention and control programs, such as tuberculosis, childhood immunization, and sexually-transmitted diseases. To support national and local programs to combat disability related to epilepsy through education, partnership development, and enhanced communication. Services and program support: Epidemic aid; technical assistance (field studies and investigations of ongoing disease problems; occupational safety and health); consultation; dissemination of technical information; and provision of specialized services and assistance, including responses to public health emergencies. Training: Training State and local health professionals in broad areas of epidemiology, disability, and research programs such as hospital infections, hepatitis, vector-borne diseases, food-borne diseases, epilepsy, and tuberculosis, at the State or other health related organization's request.) To support capacity building, program planning, development, implementation, evaluation, and surveillance for current and emerging chronic disease conditions related to tobacco use. To achieve four Program Goals through community interventions and mobilization; counter-marketing; policy development and implementation, and surveillance and evaluation. Goals include preventing initiation to tobacco use among young people; eliminate exposure to second hand smoke; promote cessation among adults and young people who use tobacco; and identify and eliminate tobacco-related disparities among specific population groups. Lead regional efforts to prevent and reduce the use of tobacco and exposure to secondhand smoke. Conduct evaluations and implementation of culturally relevant and community competent tobacco control and prevention strategies and continue regional capacity building efforts. To address goal four of the National Tobacco Control Program (Identify and Eliminate Tobacco-Related Disparities). To eliminate health disparities among segments of the population, including differences that occur by gender, race or ethnicity, education, income, disability, geographic location, or sexual orientation. Establish a National Network consortium among six priority populations to coordinate and evaluate tobacco control and prevention initiatives through a broad national strategy to prevent and reduce tobacco use and exposure to second hand smoke and to eliminate tobacco-related disparities among priority populations. To provide funding to establish and/or enhance state-based telephone quitlines to provide tobacco cessation telephone counseling to the public at large. To fund projects that address the promotion of cessation among adults and young people who use tobacco (quitlines.) Address at the National level the promotion of cessation among adults and young people who use tobacco (quitlines). To build state arthritis programs that exponentially expand access and use of evidence-based interventions by embedding intervention programs into existing systems, conducting surveillance and data analysis to inform decision making, and developing state-level intervention, policy, and communication focused partnerships that further program goals. To strengthen state oral health programs

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and public health core capacity and to reduce inequalities in the oral health of targeted populations. To improve state public health programs, including support for program leadership and staff, to monitor oral health risk factors, and for developing and evaluating prevention programs. To support evidenced-based interventions to prevent oral disease, including community water fluoridation and school-based dental sealant programs. To identify and disseminate information on best practices for state and community oral health programs. To assess state programs and provide recommendations to address gaps and weaknesses. To collaborate in development of the National Oral Health Surveillance System and to cosponsor the annual National Oral Health Conference. To develop initiatives related to oral disease prevention and chronic disease and health promotion capacity. To improve and strengthen state oral health infrastructure by providing technical assistance to state health agencies and coalitions, developing and implementing a plan to advance the Surgeon General's National Call to Action to Promote Oral Health. To coordinate the dissemination of comprehensive oral disease prevention information and health promotion programmatic expertise among state and local agencies and public and private sector organizations in the United States. To develop and promote policies to address oral disease prevention for high-risk adults. To identify modifiable barriers to optimal care across diverse managed care settings. To promote public awareness and partnership, provide interstitial cystitis (IC) education for the general public and for health care providers, and develop and enhance communication channels to allow for improved interaction and information sharing among those with IC, advocates for persons with IC and their families, those who provide care and services for persons with IC, researchers and public health scientists. To promote public awareness and partnerships, assess the impact and burden of cognitive impairment within states and nationally, describe the characteristics of expanded data on caregivers and care from a public health perspective, provide Alzheimers disease and cognitive health education for the general public and health professionals, and develop and enhance communication channels to allow for improved interaction and information sharing about cognitive impairment and Alzheimers disease.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); States, political subdivisions of States, local health authorities, Federally recognized or state recognized American Indian/Alaska Native tribal governments and organizations with specialized health interests may apply. Colleges, universities, private nonprofit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit. Colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Credentials/Documentation:

For other grantees, costs will be determined by HHS regulations 45 CFR 74, Subpart Q. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Forms and instructions are available in an interactive format on the CDC web site, at the following Internet

address: www.cdc.gov/od/pgo/forminfo.htm. To apply for research funding opportunities, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Other. If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be delineated in the FOA. Progress reports are required annually. Interim reporting requirements may be delineated in the FOA. Financial status reports are required annually. Upon completion of the project, final financial status reports are required. Progress reports are required annually. Upon completion of the project, performance reports are required. Interim reporting requirements may be delineated in the FOA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

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75-0943-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$43,991,854.00; FY 21 est \$15,620,663.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0956.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit and identified on the notices of award. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None. DP09-901, DP09-902, DP08-811, HM08-805, DP10-1002, DP11-1105, DP11-004, DP11-004, DP11-005, HM08-805; OE12-1202, OE15-1502

Headquarters Office:

Carolyn Thomas,
2400 Century Parkway,
Atlanta GA 30345
Email: ckt4@cdc.gov
Phone: 770-488-1179
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed

93.284 Injury Prevention Program for American Indians and Alaskan Natives Cooperative Agreements

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301a

OBJECTIVES:

To improve the quality of the health of American Indians and Alaskan Natives by developing the capacity of tribes to address their injury problems.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance is used for three types of injury prevention related projects: (1) Part

I Basic: Build or enhance local injury prevention capacity; and (2) Part II Intervention: Implement a proven or promising injury prevention intervention. This assistance is available to federally-recognized Indian tribes, tribal organizations, and urban Indian organizations.

Applicant Eligibility:

Federal; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally-recognized tribes, tribal organizations, non-profit organizations serving primarily American Indians and Alaska Natives, and urban Indian organizations may apply.

Beneficiary Eligibility:

Federal; Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as tribal membership, enrollment, residence on tax exempt land, ownership or restricted property, active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of American Indian or Alaska descent is required for direct services. Provisions of cooperative agreement require tribal endorsement in the form of Resolution to sanction tribal participation. Costs will be determined in accordance with 2 CFR part 225 for State, local, and Indian tribal governments (and 2 CFR 230 for Nonprofit Organizations) implemented through applicable grant administration regulations 45 CFR 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application package may be found at Grants.gov. This program is subject to the provisions of 45 CFR part 75.

Award Procedure:

Cooperative agreements are awarded on a competitive basis with processing and final approved by Indian Health Service Headquarters.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, program office.

Appeals:

Other. Cooperative agreement appeals will follow PHS appeals procedures: 42 CFR, Part 50 Subpart D and DHHS appeals procedures: 45 CFR, Part 16.

Renewals:

Other. Renewal of multi-year cooperative agreements is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

IHS Grants are usually 3 to 5 years for a project period. Method of awarding/releasing assistance: quarterly

Reports:

Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each

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budget period and at the end of the final project period. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Division of Payment Management Branch. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, that are pertinent to the HHS grant in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 75.361, grantees are required to maintain grant records 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$2,436,525.00; FY 21 est \$2,440,264.00; FY 22 est \$2,440,264.00;

Range and Average of Financial Assistance:

Injury Prevention: Part I - Five-Year Injury Prevention Programs, up to \$100,000; Part II Effective Strategies up to \$20,000.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, HHS Grants Policy Statement dated 1/07, Program Guidelines for Competitive Projects.

Regional or Local Office:

None. See Appendix IV of the Catalog for Indian Health Service Area Offices. Injury Prevention Program Contact: CAPT Holly Billie, Injury Prevention Program Manager, Indian Health Service, 5600 Fishers Lane, Mail Stop: 10N54, Rockville, MD 20857. Telephone: (301) 443-1054. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857

Email: grantspolicy@ihs.gov

Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) The apparent capability of the applicant to organize and manage the proposed project successfully considering the adequacy of staff, management systems, equipment and facilities; (2) the soundness of the applicant's plan for conducting

the project and for assuring effective utilization of grant funds; (3) the adequacy of the budget in relation to the scope of the project and available funds; and (4) the relative effectiveness of the applicant's plan to carry out each of the requirements as set forth in the application.

93.285 National Institutes of Health Pediatric Research Loan Repayment Program

(NIH Pediatric Research Loan Repayment Program; LRP-PR).

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487B, Public Law 114-255,
42 USC Code 288-2.

OBJECTIVES:

This listing is no longer in use. To attract and retain health professionals to pediatric research careers by offering educational loan repayment for participants who agree by written contract to engage in pediatric research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in pediatric research in a qualified nonprofit institution or Federal or other domestic government agency (State or local), and who are engaged in research that is supported by a nonprofit foundation, nonprofit professional association, or other nonprofit entity, or a Federal or other domestic government agency (State, local). Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Maximum program benefit is \$50,000 per year in loan repayments and \$19,500 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Eligible applicants must: (1) be a U.S. citizen, U.S. national, or permanent resident of the United States; (2) have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., O.D. or equivalent doctoral degree from an accredited institution; (3) have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and Human Services or designee); (4) conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (Federal, State, or local); (5) engage in qualified pediatric research (pediatric research is research that is directly related to diseases, disorders, and other conditions in children); (6) engage in qualified pediatric research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in pediatric research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as Federal employees for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

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Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Scientist/Researchers; Health professionals who are interested in pursuing pediatric research careers who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3-5 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is non-profit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. When an Institute or Center approves an application, the NIH Division of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

November 16, 2020. At 8:00 p.m., EST Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

>180 Days. The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

Not Applicable.

Renewals:

>180 Days. At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available for eligible individuals whose renewal applications score within the funding range. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly

Reports:

Program participants must be periodically certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40 hour week) during each three-month service period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

Direct Payments for Specified Use FY 20 \$20,069,494.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

For initial 2-year contract periods, loan repayment of 50 percent of education debt up to \$100,000 plus tax payments. For renewal contracts, loan repayment of 50 percent of education debt per year up to \$50,000 per year.

TAFS Codes:

75-4554.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 302 awards (actual) Fiscal Year 2021: 0 award

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in the 21st Century Cures Act, Title II, Subtitle C, Section 2022, Public Law 114-255, 42 U.S.C. 288-2. The NIH LRP-PR was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Matthew R. Lockhart,
6700B Rockledge Dr, Suite 2300,
Bethesda MD 20813
Email: matthew.lockhart@nih.gov
Phone: (240) 380-3062

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.280 National Institutes of Health Extramural Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

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93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title IV, Section 401(b)(1) &464z, Public Law 106-505

OBJECTIVES:

To support hypothesis-, design-, technology-, or device-driven research related to the discovery, design, development, validation, and application of technologies for biomedical imaging and bioengineering. The program includes biomaterials (biomimetics, bioprocessing, organogenesis, rehabilitation, tissue engineering, implant science, material science, interface science, physics and stress engineering, technology assessment of materials/devices), biosensors/biotransducers (technology development, technology assessment, development of algorithms, telemetry), nanotechnology (nanoscience, biomimetics, drug delivery systems, drug bioavailability, microarray/combinatorial technology, genetic engineering, computer science, technology assessment), bioinformatics (computer science, information science, mathematics, biomechanics, computational modeling and simulation, remote diagnosis and therapy), imaging device development, biomedical imaging technology development, image exploitation, contrast agents, informatics and computer sciences related to imaging, molecular and cellular imaging, bioelectrics/biomagnetics, organ and whole body imaging, screening for diseases and disorders, and imaging technology assessment and surgery (technique development and technology development).

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Research Grant funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant. Individual National Research Service Awards (NRSAs) are made directly to individuals for research training in specified areas related to the mission of NIBIB. Institutional NRSAs may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship or traineeship is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Only Phase I awardees are eligible to apply for Phase II support. Small Business Technology Transfer (STTR) Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application. Indirect costs are paid at negotiated rates for most grant mechanisms. Exceptions are: conference grants (R13 and U13) receive no indirect costs; Training (T), Fellowship (F), and Career (K) awards receive an 8% indirect cost rate; R25 grants receive an 8% indirect cost rate; and SBIR/STTR grants are limited to a 40% indirect cost rate unless the grantee organization negotiates a higher rate.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply for a research grant. An applicant for an individual NRSA must be a citizen of the United States or lawfully admitted for permanent residence. Those on temporary or student visas are not eligible. An eligible institution for an institutional NRSA must be capable of providing predoctoral and/or postdoctoral research training opportunities for

engineers, bioengineers or other. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit; are not dominant in the field in which research is being proposed; and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Public nonprofit institution/organization; Other public institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Credentials/Documentation: Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For an individual NRSA, the applicant's academic record, research experience, citizenship, and institutional sponsorship should be documented in the application. For an institutional NRSA, the applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds requested. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Use of SF424 (R&R) Adobe Version B series or PHS 398 (Rev. 11/2007), and associated forms are required for all applications submitted to the NIH. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application Forms SF424 and PHS 398 (Rev. 11/2007) are the standard forms. Application kits are available on-line at <http://grants1.nih.gov/grants/oe.htm>. Completed applications should be submitted via Grants.gov (<http://www.grants.gov>) or to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. The same application form will be used for all types of applications including training (NRSA), both individual and institutional, and SBIR/STTR. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. SBIR and STTR grant applications should be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) SBIR/STTR Application Guide. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications for research or training are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. All applications favorably recommended compete for available funds on the basis of

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scientific merit and program emphasis. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Research Grants: From 6 to 9 months. NRSAs: From 6 to 9 months. SBIR/STTR: About 7.5 months.

Appeals:

From 30 to 60 days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>

Renewals:

>180 Days. Research Grant applications submitted for renewal are reviewed and selected for funding on a competitive basis. NRSA awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research Grant awards are usually made annually with no project periods to exceed 5 years in length. NRSA Institutional awards may be made for up to 5 years, and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. Normally SBIR and STTR Phase I awards are for 6-12 months and Phase II awards are for up to 2 years. Method of awarding/releasing assistance: other

Reports:

Annual Progress Reports are required. Instructions are found: <http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-09-139.html> Annual financial status reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions of 2 CFR 200. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0898-0-1-552.

Obligations:

Project Grants (Discretionary) FY 20 \$542,993,856.00; FY 21 est \$348,488,674.00; FY 22 est \$342,269,038.00;

Range and Average of Financial Assistance:

FY20 Actual Range: \$3,000 - \$81,242,031; Avg.: \$632,123FY21 estimateRange: \$7,584 - \$10,139,237; Avg.: \$416,852FY22 estimate Range: \$5,000 - \$2,100,000; Avg.: \$435,457

TAFS Codes:

75-0898.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 836 awards are anticipated Fiscal Year 2022: 786 awards are anticipated

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institute of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Program Contact: David George, PhD, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone Number: (301) 496-9474. Facsimile: (301) 480-4973. Business Contact: Deborah Kelly, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 9000 Rockville Pike, Bethesda, MD 20892. Telephone Number: (301) 443-0696. Use the same numbers for FTS.

Headquarters Office:

Associate Director for Research Administration David T. George, Ph.D., 6707 Democracy Boulevard, Bethesda MD 20892
Email: georged@nih.gov
Phone: 3014969474
Fax: 3014800675

Website Address:

<https://www.nibib.nih.gov/>

RELATED PROGRAMS:

93.396 Cancer Biology Research; 93.838 Lung Diseases Research; 93.856 Microbiology and Infectious Diseases Research; 93.866 Aging Research; 93.855 Allergy, Immunology and Transplantation Research; 93.242 Mental Health Research Grants; 93.865 Child Health and Human Development Extramural Research; 93.837 Cardiovascular Diseases Research; 93.172 Human Genome Research; 93.879 Medical Library Assistance; 93.173 Research Related to Deafness and Communication Disorders; 93.273 Alcohol Research Programs; 93.839 Blood Diseases and Resources Research; 93.395 Cancer Treatment Research; 93.121 Oral Diseases and Disorders Research; 93.859 Biomedical Research and Research Training; 93.846 Arthritis, Musculoskeletal and Skin Diseases Research; 93.867 Vision Research; 93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research; 93.394 Cancer Detection and Diagnosis Research; 93.361 Nursing Research; 93.113 Environmental Health; 93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders; 93.279 Drug Abuse and Addiction Research Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge be advanced? What will be the effect of these studies on the concepts or methods that drive this field? (2) Approach: Are the conceptual framework, design, methods, and analyses adequately developed, well-integrated, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? (3) Innovation: Does the project employ novel concepts, approaches or method? Are the aims original and innovative? Does the project challenge existing paradigms or develop new methodologies or technologies? (4) Investigator: Is the investigator appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers (if any)? (5) Environment: Does the scientific environment in which the work will be done contribute to the probability of success? Do the proposed experiments take advantage of unique features of the scientific environment or employ useful collaborative arrangements? Is there evidence of institutional support? (6) the appropriateness of the proposed budget and duration in relation to the proposed research; (7) the relevance and importance to announced program objectives; (8) the adequacy of plans to include both genders, minorities

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and their subgroups, and children as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will also be evaluated; (9) the adequacy of the proposed protection for humans or the environment, to the extent they may be adversely affected by the project proposed in the application; and (10) the adequacy of the proposed plan to share data, if appropriate. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.288 National Health Service Corps Scholarship Program

(020) NHSC Scholarship Program (SP),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 338A of the Public Health Service Act (42 USC 254i), as amended; Section 331(i) of the Public Health Service Act (42 USC 254d) as amended; and Sections 338C-H of the Public Health Service Act (42 USC 254m-q), as amended; Medicare Access and CHIP Reauthorization Act of 2015 (P.L. 114-10), , Section 2602 of the American Rescue Plan Act of 2021 (P.L.117-2),

OBJECTIVES:

The purpose of the NHSC SP is to enter into contracts with students who are in medical, dental, or nursing school to provide scholarship assistance in return for a commitment to provide primary health services in eligible communities of need designated as Health Professional Shortage Areas (HPSAs).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Service-obligated scholarships for full-time students of allopathic (M.D.) and osteopathic (D.O.) medicine, dentistry, primary care nurse practitioner, nurse midwifery, and primary care physician assistants. Other health disciplines may be included if needed by the National Health Service Corps. Scholarships pay tuition and required fees to the school, a monthly stipend to students for living expenses and a single annual payment to the student to assist with other reasonable educational expenses. Reasonable expenses may include books, supplies, equipment, uniforms, travel for the provision of clinical services, etc. The program requires 1 year of service for each year of scholarship support, with a minimum 2-year service commitment after graduation, or post graduate training and/or licensure. A maximum of 4 years of support may be awarded. Service sites are selected by the scholarship recipient from the list of high priority sites in HPSAs identified by the NHSC. Refer to the NHSC Scholarship Application and Program Guidance for specific requirements. (<http://nhsc.hrsa.gov/downloads/spapplicationguide.pdf>).

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans);

At the time of application, the applicant must be a U.S. citizen or national. The applicant must be enrolled and/or accepted for enrollment and in good academic standing in an accredited school in a State, the District of Columbia, or a U.S. Territory as a full-time student in a course of study leading to a health professional degree. The applicant must be eligible for Federal employment, be free of any Federal judgment liens, not be excluded, debarred, suspended or disqualified by a Federal agency, and have no conflicting service obligation. The applicant must submit an application and a signed contract to the NHSC agreeing to accept payment of scholarship and provide full-time primary health services in a HPSA.

Beneficiary Eligibility:

Health Professional; Student/Trainee; Graduate Student; The participant must maintain full-time enrollment, with good academic standing in an accredited school in a State, the District of Columbia, or a U.S. Territory as a full-time student in a course of study leading to a health professional degree. The participant must maintain eligibility for Federal employment, be free of any Federal judgment liens, not be excluded, debarred, suspended or disqualified by a Federal agency, and have no conflicting service obligation.

Credentials/Documentation:

Applicants should review the NHSC Scholarship Program Application and Program Guidance on the HRSA website issued under this CFDA program for additional information such as required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must apply online at <https://programportal.hrsa.gov>.

Award Procedure:

NHSC SP awards are very competitive; the Program anticipates more applicants for scholarship awards than there are funds available. All qualified applications are forwarded to an objective review committee and scored numerically. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notification to successful and rejected applicants is made no later than September 30th of the application year.

Appeals:

Not Applicable.

Renewals:

Other. Renewal applications are not necessary for students in compliance; contracts may be multi-year funded through graduation (1 to 4 years, if the applicant signs the contract through the graduation date). Continuation contracts are offered to students whose contracts have expired, contracts of four years or less, and/or for those who have not received full years of support.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

NHSC SP - Each award covers 1 to 4 years of support, as requested. No awardees may receive more than 4 years of support. Method of awarding/releasing assistance: other

Reports:

Annual reports by schools indicating average student educational expenses are required. The academic institution where the student is enrolled must complete and

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submit a Verification of Enrollment Form each semester. The employing facility must complete and send a semi-annual In-Service Verification form verifying that the participating health professional is providing service. The certification includes a signature by an appropriate official of the facility.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not applicable.

Account Identification:

75-0350-0-1-550.

Obligations:

Direct Payments for Specified Use FY 20 \$38,000,000.00; FY 21 est \$38,000,000.00; FY 22 est \$45,500,000.00;

Range and Average of Financial Assistance:

FY 19 new awards range - \$23,695 - \$553,731 Average: \$238,950 FY 20 \$37,768 - \$594,383 Average: \$236,624 FY 21 - TBD

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since its inception in 1972, the National Health Service Corps (NHSC) has worked to support qualified health care providers dedicated to working in underserved communities in urban, rural, and tribal areas. Across the nation, NHSC clinicians serve patients in HPSAs communities with limited access to health care. As of September 30, 2020, there were 7,203 primary care HPSAs, 6,487 dental HPSAs, and 5,733 mental health HPSAs. The NHSC seeks clinicians who demonstrate a commitment to serve the Nations medically underserved populations at NHSC-approved sites located in HPSAs. NHSC-approved sites provide care to individuals regardless of ability to pay; currently, there are over 16,400 NHSC-approved sites. Eligible sites include Federally Qualified Health Centers (FQHC) and FQHC Look-Alikes, American Indian and Native Alaska health clinics, rural health clinics, critical access hospitals and hospitals managed or owned by the Indian Health Service (IHS), school-based clinics, mobile units, free clinics, community mental health centers, state or local health departments, community outpatient facilities, federal facilities such as the Bureau of Prisons, U.S. Immigration and Customs Enforcement, IHS, and private practices. In particular, the NHSC has partnered closely with HRSA-supported FQHCs to help meet their staffing needs. Over 60 percent of NHSC clinicians serve in Health Centers around the nation, and 15 percent of clinical staff at FQHCs are NHSC clinicians. The NHSC also places clinicians in other community-based systems of care that serve underserved populations, targeting HPSAs of greatest need. As of September 30, 2020, there are 13,000 primary care medical, dental, and mental and behavioral health practitioners providing service nationwide.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process at <http://nhsc.hrsa.gov/>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Israail Ali, National Health Service Corps Scholarship Program, Division of National Health Service Corps, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 14N56,

Rockville MD 20857

Email: iali@hrsa.gov

Phone: (301) 594-4400

Website Address:

<http://nhsc.hrsa.gov/scholarships/index.html>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.547 Affordable Care Act – National Health Service Corps; 93.303 Nursing Scholarship Program; 93.165 Grants to States for Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

By statute, first priority will be given to any applicant for such assistance who has previously received a scholarship under this section or under section 758 of the PHS Act. Second priority given to all students who have characteristics which increase the probability they will continue professional practice in a HPSA when they complete their service obligation, and the third priority will be given to students who are from a disadvantaged background.

93.291 Surplus Property Utilization

(Federal Real Property Assistance Program),

FEDERAL AGENCY:

OFFICE OF THE ASSISTANT SECRETARY FOR ADMINISTRATION (ASA), HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987, Section 501, Statute 101-509, Public Law 100-77, 42 USC Code 11301. Federal Property and Administrative Services Act of 1949, Section 203(k), Public Law 81-152, 40 U.S.C. 550., Section 203(k), Statute 101, Public Law 81-152, 40 USC Code 550. Federal Assets Sale and Transfer Act of 2016, , Public Law 114-287

OBJECTIVES:

To convey or lease all surplus Federal real properties made available by the disposal agency which are needed and usable by eligible organizations and institutions to carry out health programs, including homeless assistance programs.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Real property must be used for eligible health purposes including research. It may consist of land with or without buildings and other improvements or buildings only. A discount of up to 100 percent based on the proposed program use is granted. This discount applied against the fair value of the property, is earned by approved use over a prescribed period of 30 years for land with or without improvements, and a lesser time for leased facilities and improvements which are transferred without land. Allowance of less than 100 percent requires payment of the difference in cash at the time of conveyance. Property must be used for the purpose for which conveyed, and may not be sold, leased, mortgaged, or encumbered without consent of the Department.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; States, their political subdivisions and instrumentalities; tax-supported public health institutions, and nonprofit institutions which (except for institutions which lease property to assist the homeless under Title V of Public Law 100-77) have been held exempt from taxation under Section 501 (c) (3) of the 1986 Internal Revenue Code.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Anyone attending, working with or for, or served by the eligible applicants. Examples of potentially eligible use programs are hospitals, public health clinics, water and sewer systems, institutions for the rehabilitation of mentally or physically disabled, health research institutions, homeless assistance facilities, and other institutions with basic health programs.

Credentials/Documentation:

Applicants must demonstrate current need for properties they request and the ability to carry out the proposed program. Applicants must also provide documentation of its authority to hold title to real property and evidence of tax-exempt status. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is

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required for this listing. This program is excluded from coverage under E.O. 12372. Notice of availability of surplus real property is sent by the U.S. General Services Administration or the U.S. Department of Housing and Urban Development, to all known potentially interested institutions. When applying for real property, an informal pre-application conference either in person or by telephone is recommended. At that time, consultation and assistance are available to aid in the preparation of an application; but, only to address general application questions, not questions specific to a proposed program. Additionally, it is advisable that an applicant participate in a site tour of the property provided by the disposal agency. Applicants are required to submit factors for consideration of potential environmental impact, in accordance with the Environmental Questionnaire furnished with the application instructions.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants interested in acquiring Federal real properties must contact Real Property Management Services, RLO, PSC, DHHS.

Award Procedure:

Real property is awarded to the applicant whose program(s) of use are determined to be in the highest public interest. Land (with or without improvements) is conveyed by quitclaim deed or lease; buildings for off-site removal are by agreement of sale.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Normally, approval or disapproval is made within 30 days after a completed application has been submitted for real property. For properties to assist homeless individuals under Title V of the McKinney Act, approval or disapproval of an initial application is made within 10 days after a complete, initial application is received; approval or disapproval of a final application is made within 15 days after a complete, final application is received.

Appeals:

Not Applicable. There is no appeals process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Deed restrictions run for a period of 30 years and Agreements of Sale are normally for 5 years, after which the transferee has clear title to the property. Restrictions on leased property run for the period of the lease which will not extend beyond a total of a 20 year period. Method of awarding/releasing assistance: other

Reports:

Transferee is required to submit an annual utilization report on the operation and maintenance of the property. Progress reports are only required on a case-by-case basis. HHS program office conducts periodic site visits and requires the submittal of annual utilization report. Financial reports are requested on an as needed basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department's Audit agency makes periodic audits of the headquarters operation and may make spot checks of the utilization by real property transferees.

Records:

Transferees of real property, who expend funds to rebuild, refurbish, or to otherwise improve property under restrictions, should maintain records of these costs in the event they wish to dispose of the property prior to termination of the restriction period.

Account Identification:

75-4552-0-1-551.

Obligations:

Sale, Exchange, or Donation of Property and Goods FY 19 Not Available; FY 20 Not Available; FY 21 Estimate Not Available; Sale, Exchange, or Donation of Property and Goods FY 19 Not Available; FY 20 Not Available; and FY 21 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 45, Subtitle A, Part 12, Disposal and Utilization of Surplus Real Property for Public Health Purposes. Literature: The following pamphlet is available from Real Property Services: "How to Acquire Federal Surplus Real Property for Public Health Purposes," at no charge. Program information can also be found at <https://www.psc.gov/services/property-assistance-program>.

Regional or Local Office:

None.

Headquarters Office:

Theresa M. Ritta,
5600 Fishers Lane, Suite 6W66,
Rockville MD 20852
Email: theresa.ritta@psc.hhs.gov
Phone: (301) 443-2265
RPB staff,
5600 Fishers Lane, 6th Floor,
Rockville MD 20852
Email: rpb@psc.gov
Phone: 301-443-2265
Fax:

Website Address:

<https://psc.gov/real-property-management/real-property-assistance-program.php>

RELATED PROGRAMS:

84.145 Federal Real Property Assistance Program; 39.002 Disposal of Federal Surplus Real Property; 39.003 Donation of Federal Surplus Personal Property; 12.700 Donations/Loans of Obsolete DOD Property;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution, its programs, and the proposed use to meet the program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5) nondiscrimination because of race, color, sex, age, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, integrated research, outpatient services, public services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

93.297 Teenage Pregnancy Prevention Program

TPP,

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Public Law 2021-116-260, Title II of the Further Consolidated Appropriations Act, 2021, Part Division H,

OBJECTIVES:

The purpose of the Teenage Pregnancy Prevention (TPP) Program is to support competitive grants to public and private entities to (1) replicate effective teen pregnancy prevention program models that have been shown to be effective through rigorous evaluation and (2) develop and test additional models and innovative strategies to prevent teen pregnancy through research and demonstration projects.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding is provided to organizations through Notices of Funding Opportunity (NOFO). Each NOFO is available on grants.gov under this assistance listing number and describes the specific expectations for how assistance may be used, including any restrictions on use.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; Other public institution/organization; Public, nonprofit, and for-profit entities including: Universities and colleges, Hospitals, Community-based organizations, Faith-based organizations, State and local governments, Federally recognized or state-recognized American Indian/Alaska Native tribal governments and tribally designated organizations.

Beneficiary Eligibility:

Individual/Family; Minority group; Anyone/general public; Organizations apply to provide programming for adolescents ages 10-19.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status on request. 45 CFR part 75 applies to this listing. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply, applicants must access the announcement thru www.grants.gov. Enter the Assistance Listing (formerly CFDA) number and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will be deemed ineligible and not reviewed. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Award recommendations will be made by the Deputy Assistant Secretary for Population Affairs, using information from the independent review panel and the Federal staff reviews. Recommendations received by the Grants Management Officer for risk analysis consistent with 45 CFR part 75. Funded organizations receive a Notice of Award from the Grants Management Officer. The Notice of Award includes all of the details of their award, and is assigned to a project officer from OPA and a Grants Management Specialist from the OASH Grants and Acquisitions Management Office. All award decision, including the funding level, are final when the Notice of Award is issued and are not appealable.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. All award decisions, including the level of funding, are final and not appealable.

Renewals:

Not Applicable. Recipients apply for their non-competing continuation application ~90 days prior to the end of the budget period. Guidance for applying for the non-competing continuation application is provided to the recipient by OPA.

Continuation awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance and whether the continued funding of the program is in the best interest of the Federal government.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are initially awarded for a 12-month budget period. Recipients must submit a non-competing continuation application for each subsequent 12-month budget period for a period of performance of typically 3 and no more than 5 years. Continuation funding is subject to satisfactory performance, availability of funding, and the best interests of the federal government. Method of awarding/releasing assistance: other

Reports:

Grantees will submit semi-annual or quarterly progress reports 30 days after the end of each period of performance. Grantees will submit semi-annual reporting of required performance measure data to OPA 30 days after the end of each six-month period of performance. Grantees submit a non-competing continuation application within 90 days before the start of the next project period. A final progress report covering the entire project period is due 90 days after the end of the project period. Quarterly cash reporting to the HHS Payment Management System on the FFR is also required. Please note these FFR reports are separate submissions via the HHS Payment Management System. Recipients receiving \$500,000 or greater of Federal funds must also undergo an independent audit in accordance with 45 CFR part 75 or regulations and policy effective at the time of the award. Recipients will submit semi-annual or quarterly progress reports 30 days after the end of each period of performance. A final progress report covering the entire project period is due 120 days after the end of the project period. Quarterly cash reporting to the HHS Payment Management System on the FFR is also required. Please note these FFR reports are separate submissions via the Division of Payment Services. At this time, data is not transferable between the two systems and you will report twice on certain data elements. Grantees will submit semi-annual reporting of required performance measure data to OPA 30 days after the end of each six-month period of performance.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR part 75, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Agreements (Discretionary Grants) FY 20 \$93,415,053.00; FY 21 est \$92,000,000.00; FY 22 est \$92,000,000.00;

Range and Average of Financial Assistance:

\$500,000 - \$1,500,000 per year. TPP Program awards are funded through 3 different Funding Opportunity Announcements.

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: OPA provided funding to 95 continuation recipients. Recipients served over 100,000 young people. Fiscal Year 2021: OPA provided continuation funding to 66 recipients, and awarded funds to 13 new recipients.

REGULATIONS, GUIDELINES, AND LITERATURE:

In accepting the award, the recipient stipulates that the award and any activities thereunder are subject to all provisions of 45 CFR part 75, currently in effect or implemented during the period of the grant or other Department regulations and policies effective at the time of the award. In addition, recipients must comply with all terms and conditions outlined in their grant awards, the Department of Health and Human Services (HHS) Grants Policy Statement, requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts.

Regional or Local Office:

None. Office of the Assistant Secretary for Health, Grants and Acquisitions Management Division Office of Population Affairs

Headquarters Office:

Director, Office of Grants Management Amy Margolis,
1101 Wootton Parkway,

Rockville MD 20852

Email: Amy.Margolis@hhs.gov

Phone: (240) 453-2820

Scott Moore,

OASH Grants and Acquisition Management Division 1101 Wootton Parkway,
Plaza Level,

Rockville MD 20852

Email: scott.moore@hhs.gov

Phone: 2404538822

Fax:

Website Address:

<http://www.hhs.gov/opa>

RELATED PROGRAMS:

93.092 Affordable Care Act (ACA) Personal Responsibility Education Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Cooperative agreements with organizations to replicate effective programs in communities with high rates of teen pregnancy and cooperative agreements with organizations to develop and test new and innovative approaches to prevent teen pregnancy. Visit the OPA website at: <https://opa.hhs.gov/grant-programs/teen-pregnancy-prevention-program-tpp/tpp-grantees/current-tpp-grantees> for a listing of recipients and an overview of project activities. 2021 Cooperative agreements with organizations to replicate effective programs in communities with high rates of teen pregnancy and cooperative agreements with organizations to develop and test new and innovative approaches to prevent teen pregnancy. Visit the OPA website at: <https://opa.hhs.gov/grant-programs/teen-pregnancy-prevention-program-tpp/tpp-grantees/current-tpp-grantees> for a listing of recipients and an overview of project activities.

CRITERIA FOR SELECTING PROPOSALS:

An independent review panel evaluates applications that pass the screening and meet the responsiveness criteria if applicable. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies. Based on the Application Review Criteria as outlined in the NOFO, the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance.

93.300 National Center for Health Workforce Analysis

Health Workforce Research Centers (HWRC); NCHWA HWRC,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 761(c) of the Public Health Services Act (42 U.S.C. 294n),

OBJECTIVES:

To provide for the development of information describing and analyzing the health care workforce and workforce-related issues in order to provide necessary information for decision-making regarding future directions in health professions in response to societal and professional needs. Grants under this CFDA number are Health Workforce Research Centers -- to increase the amount of high quality, impartial, policy-relevant research on the health workforce and to assist decision-makers at the federal, state and local levels to better understand health workforce needs to ensure access to high quality, efficient health care.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to provide for the collecting, analyzing, and reporting of data related to health workforce, including targeted information collection and research on high priority workforce questions, technical assistance to local and regional entities to support the development of a nonfederal analytic and research infrastructure related to health workforce-related issues, and program evaluation and assessment efforts.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Those eligible to apply are: state or local governments, a state workforce investment board, public health or health professions schools, schools of medicine, schools of nursing, universities, academic health centers, community-based health facilities, and other appropriate public or private nonprofit entities, including faith based and community based organizations. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Native American Organizations; State; Local; Public nonprofit institution/organization; Those who will ultimately benefit from these grants are the local, state, and federal legislators, planners, and policy makers, as well as the public, who will receive the information needed to better understand health workforce issues and trends and to make evidenced-based health workforce decisions.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified

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applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Some cooperative agreements may require a matching requirement for State and local studies; refer to the Notice of Funding Opportunity for specific information. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for between 3 and 5 years. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS), centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$4,767,088.00; FY 21 est \$4,747,060.00; FY 22 est \$4,747,060.00;

Range and Average of Financial Assistance:

FY 20 \$527,164 to \$530,000; average award of \$529,676 FY 21 est. \$527,164 to

\$530,000; average award of 529,676FY22 est; \$527,164 to \$530,000; average award of 529,676

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since the initial authorization and funding of this program in FY 2013, these cooperative agreements have completed hundreds of research projects, thus drastically expanding the scientific evidence-base regarding the U.S. health care & health support workforces.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Yahtyng Sheu National Center for Health Workforce Analysis, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services,
5600 Fishers Lane, Room 11N21,
Rockville MD 20857
Email: YSheu@hrsa.gov
Phone: 301-443-1426

Website Address:

<https://bhwh.hrsa.gov/data-research/health-workforce-research-centers>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations using the following review criteria. (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.301 Small Rural Hospital Improvement Grant Program

Small Rural Hospital Improvement Program Coronavirus Small Rural Hospital Improvement Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 1820(g) (3) (F) (ii) (I) of Social Security Act (42 U.S.C. 1395i-4 (g) (3), as amended by Section 3129 of the Patient Protection and Affordable Care Act (PPACA), P.L. 111-148.,

OBJECTIVES:

The Small Rural Hospital Improvement Program supports small rural hospitals in their quality improvement efforts and with adapting to changing payment systems through investments in hardware, software, and related trainings. This includes

ASSISTANCE LISTINGS DESCRIPTIONS

focusing on delivering value in care as well as activities related to improving Prospective Payment Systems (PPS).The Coronavirus Small Rural Hospital Improvement Program provides support to small rural and Critical Access Hospitals (CAHs) which are seeing increased demands for clinical services and equipment, as well as experiencing short-term financial and workforce challenges related to responding to meeting the needs of patients with the COVID-19 seeking care at their facilities. These funds are administered through the Small Rural Hospital Improvement Program to provide emergency funding support to CAHs and non-CAH rural hospitals with less than 50 beds. This approach provides funding directly to the states to target those rural hospitals and the communities they serve who are facing the greatest strain from this crisis.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; The State Office of Rural Health (SORH) in each state will be the official awardee of record, as they will act as a fiscal intermediary for all hospitals within their state. Each SORH will be charged with organizing the distribution of funds to eligible hospitals. Only SORHs are eligible to apply for this grant. Federally-recognized Tribal Government and Native American Organizations are selected if they are eligible to apply.

Beneficiary Eligibility:

Rural: Small rural hospitals must meet the following eligibility requirements in order to be eligible for SHIP funds: Small is a facility with 49 or fewer available beds, as reported on the hospitals most recent Medicare Cost Report.Rural area is either (a) being located outside of a Metropolitan Statistical Area (MSA); (b) being located within a rural census tract of a MSA, as determined under the Goldsmith Modification or the Rural Urban Commuting Areas; or (c) being treated as if it is located in a rural area pursuant to 42 U.S.C. 1395(d)(8)(E).Hospital is a non-federal, short-term, general acute care facility. Hospitals may be for-profit or not-for-profit. All Critical Access Hospitals are eligible for the program. In addition, hospitals with fewer than 50 beds located in a designated rural area, designated by any state law or regulation, are eligible. Tribally operated hospitals under Titles I and V of P.L. 93-638 are eligible to the extent that such hospitals meet the above criteria.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Up to six months.

Appeals:

Not Applicable.

Renewals:

Other. Small Rural Hospital Improvement Program awards may be made for up to 4-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. Coronavirus Small Rural Hospital Improvement Program awards are one-time funding to provide support to hospitals to prevent, prepare for, and respond to the coronavirus-related (COVID-19) public health emergency.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually and payment is made through the DHHS Payment Management System. Method of awarding/releasing assistance: other

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrshchedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$19,075,993.00; FY 21 est \$20,829,127.00; FY 22 est

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\$20,829,127.00; Project Grants FY 20 \$150,337,211.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Small Rural Hospital Improvement Program Range = \$11,855 to \$1,422,600. \$414,695 (average award amount). Coronavirus Small Rural Hospital Improvement Program Range = \$84,317 to \$11,129,844. \$3,268,200 (average award amount).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: SHIP supported 1,664 small rural hospitals in meeting value-based payment and care goals through purchases of hardware, software and training. Hospitals utilized funding to participate in accountable care organizations and shared savings programs; and to purchase health information technology, equipment, training to comply with quality improvement activities, such as advancing patient care information, promoting interoperability, and payment bundling. The SHIP-COVID program provided one-time funding to support 1,790 hospitals in preventing, preparing for, and responding to the COVID-19 public health emergency. The funding supports small rural hospitals experiencing increased demands for clinical services and equipment, as well as short-term financial and workforce challenges in responding to the needs of patients seeking care at their facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Small Rural Hospital Improvement Program Salamatu Barrie, Program Coordinator, the Small Rural Hospital Improvement Program, SBarrie@hrsa.gov, Phone: (301) 443-0456. Coronavirus Small Rural Hospital Improvement Program Salamatu Barrie, Program Coordinator, Coronavirus Small Rural Hospital Improvement Program, SBarrie@hrsa.gov, Phone: (301) 443-0456.

Headquarters Office:

Federal Office of Rural Health Policy 5600 Fishers Lane,
5600 Fishers Lane, Room 17N 172 C-C,
Rockville MD 20857

Email: sbarrie@hrsa.gov

Phone: (301) 443-0835

Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated based on: (1) extent to which applicant is responsive to the requirements and purposes of this program; and (2) extent to which application describes need, strategies, and investment to address those needs.

93.303 Nurse Corps Scholarship

Nurse Corps Scholarship Program (Nurse Corps SP),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 846, as amended, Nurse Reinvestment Act of 2002, Public Law 107-205.,

OBJECTIVES:

The Nurse Corps Scholarship Program's objective is to increase the supply and maldistribution of registered nurses (RNs) and nurse practitioners (NPs) in eligible health care facilities across the nation, with a critical shortage of nurses by providing service-obligated scholarships to nursing students.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Training Service-obligated scholarships are awarded to full-time and part-time students of nursing. Scholarships pay tuition and required fees to the school, a monthly stipend to students and a single annual payment to the student to assist with other reasonable educational expenses that include books, supplies, instruments, and uniforms. The program requires 1 year of service for each year of scholarship support, with a minimum 2-year service commitment post-graduation. A maximum of four years of educational support may be awarded to an individual. Service obligation must be fulfilled at an eligible health care facility with a critical shortage of nurses. Refer to the Nurse Corps Scholarship Application and Program Guidance for specific requirements.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); The applicant must be a U.S. citizen or national, enrolled or accepted for enrollment in a fully accredited academic institution with a graduate, baccalaureate, associate degree or diploma nursing program located in a State, the District of Columbia, or a U.S. Territory. The applicant must be free of any Federal judgment liens, not have breached a prior service obligation, is not excluded, debarred, suspended, or disqualified by a Federal agency, and have no conflicting service obligations. Financial need is also reviewed as part of the eligibility determination. The applicant must submit an application and a signed contract, agreeing to accept payment of scholarship funds and provide health care services in a health care facility with a critical shortage of nurses for a minimum of two years.

Beneficiary Eligibility:

Graduate Student; Education (13+); United States citizens, or nationals, enrolled, or accepted, for enrollment in a fully accredited graduate, baccalaureate, associate degree or diploma nursing program.

Credentials/Documentation:

Applicants should review the most current Nurse Corps Scholarship Application and Program Guidance issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are required to apply electronically. Applicants submit their applications through <https://programportal.hrsa.gov>. Please see application process at <http://www.hrsa.gov/loanscholarships/scholarships/Nursing/index.html>.

Award Procedure:

All qualified applications are forwarded to an objective review committee and scored numerically. Funding preference is given to applicants with the greatest financial need. HRSA will make awards in rank order based on funding preference and scores from the objective review committee, until all funds are exhausted. Award notifications are sent by email no later than September 30 of each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notification to all applicants is made no later than September 30th of the application year.

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Appeals:

Not Applicable.

Renewals:

Other. Renewal applications are not necessary for students in compliance. Contracts may be multi-year funded through graduation (up to one to four years) if the applicant signs the contract through the graduation date.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Each award covers up to one to four years of educational support, as requested. No awardees may receive more than four years of support. Method of awarding/releasing assistance: other

Reports:

Annual reports by schools indicating annual tuition and fees. The academic institution where the student is enrolled must complete and submit an Enrollment Verification Form and transcript each school term. The employing critical shortage facility must complete a semi-annual In-Service Employment Verification Form verifying that the participating nurse is providing full-time or part-time continuous service as a registered nurse (RN) or nurse practitioner (NP). The certification includes a signature by an appropriate official of the facility. No cash reports are required. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

Direct Payments for Specified Use FY 20 \$26,372,121.00; FY 21 est \$29,545,000.00; FY 22 est \$29,545,000.00;

Range and Average of Financial Assistance:

FY 20: average new award is \$106,106; (FY 20 Range est. \$31,901 - \$328,168) FY21 avg (est) \$109,289 FY22 avg (est) \$112,568

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, Nurse Corps SP allocated up to 20 percent of total funding and made 20 new scholarship awards totaling \$1.96 million to Nurse Practitioners (NPs) specializing in Psychiatric-Mental Health with the goal of leveraging HRSA funding to address the opioid crisis. Also, Nurse Corps SP awarded 5 scholarships using \$660,000 of women's health set aside funds for participants specializing in obstetrics and gynecology and certified nurse midwives.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process at <http://www.hrsa.gov/loanscholarships/scholarships/Nursing/index.html>. All administrative and audit requirements that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Tarsha Cavanaugh, NURSE Corps Scholarship Program, Division of Health Careers and Financial Support, Bureau of Health Workforce (BHW), Health Resources and Services Administration, Department of Health and Human Service, 5600 Fishers Lane, Rockville MD 20857

Email: TCavanaugh@hrsa.gov

Phone: (301) 443-0701

Website Address:

<https://bhw.hrsa.gov/loansscholarships/nursecorps/scholarship>

RELATED PROGRAMS:

93.908 Nursing Education Loan Repayment Program ; 93.288 National Health Service Corps Scholarship Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As provided in the amendment in section 846(e) of the Public Health Service (PHS) Act, a funding preference is given to eligible applicants with the greatest financial need. Nurse Corps SP will use the Expected Family Contribution (EFC) amount in the Department of Education's Student Aid Report (SAR) from the Free Application for Federal Student Aid (FAFSA) to evaluate financial need. Nurse Corp Scholarship sets aside 50% of funding for Registered Nurse candidates and 50% of funding for Nurse Practitioner candidates with 20% of funding for NPs with a mental health/psychiatric specialty. In 2021, program has set-aside \$5 million in funding for Nurse Practitioners specializing in Womens Health or Obstetrics and Gynecology and Certified Nurse Midwives. In addition, \$2 million was set aside for Career Pathway/entry level health professionals seeking degrees to become a Registered Nurse.

93.304 Racial and Ethnic Approaches to Community Health

REACH National Networks,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 301(a) and 317(k)(2) of the Public Health Service Act (as amended) [42 U.S.C. Sections 241(a) and 247b(k)(2),

OBJECTIVES:

The purpose of this program is to fund National Networks to fund, manage, support, and monitor sub-recipients to address health disparities and implement evidence- and practice-based strategies that reduce health disparities for intervention population(s) experiencing high burden of disease or risk factors. The intervention population is the population within the selected geographic area that applicants will reach with proposed program activities. They are to contribute to the development and implementation of a community health action plan to address chronic disease health disparities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, implementing, managing, and coordinating all recipient requirements of the FOA. Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses and activities. CDC will have substantial involvement with the award recipient during performance of the funded activity.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; In continuation years, eligibility is limited to the six (6) existing original awardees:* Asian and Pacific Islander American Health Forum* Hidalgo Medical Services* National Council of Young Mens Christian Association of the USA* National REACH Coalition* Regents of the University of California, Los Angeles* University of Colorado Denver

Beneficiary Eligibility:

Private nonprofit institution/organization; Anyone/general public; Public nonprofit institution/organization; The general public will benefit from the objectives of this

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program.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. OMB Circular No. A-87 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Transparency Act requirements. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is 5 years with 12-month budget periods. Applications must be obtained from www.Grants.Gov.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12 month budget periods. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov: 1.The interim progress report is due no less than 90 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:a.Standard Form (SF) 424S Form.b.SF-424A Budget Information-Non-Construction Programs.c.Budget Narrative.d.Indirect Cost Rate Agreement.e.Project Narrative.Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports:1.Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes: a.Progress towards objectives.b.Successes.c.Barriers encountered.d.Technical Assistance and training requested. 2.Annual and Final performance and Federal Financial Reports (SF-425)*, no more than 90 days after the end of each budget year and project period. Unobligated funds should be broken down and reported. The annual progress report must contain the following elements: a.Annual Performance Reportb.Success StoryThese reports must be submitted to the attention of the Grants Management Specialist listed in the Section VII below entitled Agency Contacts. Federal Financial Reports are required 90 days after the end of the calendar quarter in which the budget period ends. Performance Monitoring and Evaluation:* Describe performance monitoring plans and how the applicant plans to monitor their own performance and that of sub-recipients on a regular basis. Describe plans to make mid-course corrections as needed. * Describe the applicants ability to input performance monitoring information in the CDC performance monitoring system (CD MIS) on a quarterly basis.* Describe process to collect cost data (total cost and number of people

reached for each separate intervention) to calculate and report cost per person reached. * Describe process to collect reach of implementation activities, including demographic characteristics of people reached within the intervention population(s). Describe how the implementation activities will be tracked to capture the percentage of the intervention population(s) reached, including processes and measures.* Indicate whether additional evaluation activities will be proposed or not. If they are proposed, describe plans for evaluation, including whether process and/or outcome evaluation data will be collected and potential data sources. If any new data will be collected for the purposes of evaluation, indicate willingness to share with CDC in an electronic format suitable for analysis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements

Account Identification:

75-0094-3-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$28,553,636.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770-488-2855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.305 PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF)

National Tobacco Control Program,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND

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HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 301(a) of the Public Health Service Act [42] U.S.C. Section 241(a),

OBJECTIVES:

This cooperative agreement program addresses tobacco use and secondhand smoke exposure in the United States and supports four National Tobacco Control Program goals to (1) Prevent initiation of tobacco use among youth and young adults; (2) Eliminate exposure to secondhand smoke; (3) Promote quitting among adults and youth; and (4) Identify and eliminate tobacco related disparities. Achievement of these goals will reduce chronic disease morbidity, mortality, and disability related to tobacco use and secondhand smoke exposure. This cooperative agreement program relates to Healthy People 2020 objectives in the topic area of Tobacco Use. In addition, this cooperative agreement program supports the Government Performance Results Modernization Act Long-term Objective 4.6: Reduce Death and Disability Due to Tobacco and the following measures: Tobacco Measure: 4.6 - Reduce death and disability due to tobacco use; 4.6.2 - Reduce per capita cigarette consumption in the U.S. per adult age 18+; 4.6.3 - Reduce the proportion of adults (age 18 and over) who are current cigarette smokers; 4.6.4 Increase the proportion of the U.S. population covered by comprehensive state and/or local laws making workplaces, restaurants, and bars 100% smoke free (no smoking allowed, no exceptions); 4.6.5 - Reduce the proportion of adolescents (grade 9-12) who are current cigarette smokers. Furthermore, this cooperative agreement program supports national public health priorities and strategic plans including: (1) Centers for Disease Controls Winnable Battles; (2) Department of Health and Human Services Ending the Tobacco Epidemic and Strategic Plan; (3) National Prevention Councils National Prevention Strategy; (4) Institute of Medicines, Ending the Tobacco Problem; and (5) numerous Surgeon General Reports.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awardees may not use funds for research or clinical care, to provide direct cessation services or other direct services other than those through evidence-based quitline services; to purchase nicotine replacement therapy or other products used for cessation; to pay for inspection of tobacco retail establishments. Awardees may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Awardees may only use federal funds for evidence-based tobacco control interventions and strategies. No federal funds from this cooperative agreement may be used to purchase K-12 school curriculum. In most cases, awardees may not use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be clearly identified in the budget. Reimbursement of pre-award costs is not allowed. Awardees may not use funds for any kind of impermissible lobbying activity designed to influence proposed or pending legislation, appropriations, regulations, administrative actions, or Executive Orders (legislation and other orders). These restrictions include grass roots lobbying efforts and direct lobbying. Certain activities within the normal and recognized executive-legislative relationships within the executive branch of that government are permissible. See Additional Requirement (AR) 12 for further guidance on this prohibition. The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State departments of health are essential for coordinating the public health response to prevent tobacco use and protect the population from second hand smoke exposure. They have experience with implementing evidence-based interventions and strategies that use environment, policy, and system approaches that have the potential to reach large numbers of people in the state. State departments of health have experience working with an array of state and local governmental and non-governmental organizations to leverage resources that can support tobacco control efforts across a number of categorical programs and sectors. State departments of health are uniquely positioned to carry out the activities outlined in this funding opportunity announcement. State departments of health serve as the lead for tobacco control in every state. State departments of health have existing personnel who are able to provide technical assistance based on their area of expertise (e.g., environment, policy, and systems approaches,

program planning, implementation, and evaluation, health communication, surveillance and epidemiology, partnership development) which conserves valuable resources. All this infrastructure and capacity can be integrated and employed by state departments of health to: Leverage partnerships to support the implementation of evidence-based interventions and strategies to prevent and control tobacco at the state and local levels; implement policy and programmatic strategies that cut across multiple chronic conditions and that leverage individual categorical efforts and create synergies and efficiencies across multiple state programmatic efforts also funded by CDC, given the high level of co-morbidities among people with chronic diseases and conditions (e.g., obesity, diabetes, hypertension, heart disease and stroke); utilize the public health resources and capacity unique to state departments of health to achieve a comprehensive state-based tobacco control program; develop models that integrate with other state departments of health chronic disease programs affecting similar populations; collect state-wide surveillance data on tobacco use, secondhand smoke exposure, and the populations most affected through data sources that are uniquely available to state departments of health.

Beneficiary Eligibility:

Anyone/general public; General Public would benefit

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically through www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further technical assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov.

Award Procedure:

All applications submitted by the deadline that are complete and responsive to competitive funding opportunity announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Range of Approval/Disapproval is 60 90 days from receipt of application.

Appeals:

Not Applicable. n/a

Renewals:

From 120 to 180 days. Throughout the project period, CDCs commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching funds are required from non-federal sources in the amount of not less

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than \$1 for each \$4 federal funds awarded. The match must be from non-federal sources and can be all cash, in-kind, or a combination thereof. In-kind activities must support evidence-based interventions outlined in CDC's Best Practices for Comprehensive Tobacco Control Programs. The match must be for the total dollar amount requested. The amount and source of the match is required in the budget narrative and justification section of the application. Responsiveness to match requirements will be a criterion used in evaluating the application. Cost sharing is encouraged if it helps to leverage federal and state resources, is responsive to stated CDC recipient activities, is advantageous to chronic disease prevention and health programs, supports the four National Tobacco Control Program goals, focuses on evidence-based interventions outlined in Best Practices, and does not compromise the integrity or the ability of the programs to accomplish proposed activities.

Examples of cost sharing may include, but are not limited to, sharing 1) the cost of an FTE that can support the work of several projects across program content areas, 2) costs associated with data collection and analysis, 3) costs associated with various communication campaigns that can benefit multiple program areas, and 4) costs associated with working with diverse populations to modify risk factors or eliminate chronic disease-related disparities. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 60 month project period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding opportunity announcement. An Annual Progress Report and Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final progress report and final Federal Financial Report are due 90 days after the end of the project period. An Annual Progress Report is due 90 days after the end of the budget period. The Annual Progress Report will describe successes, challenges, and progress toward program goals, objectives and performance measures. A Federal Financial Report is due 90 days after the end of the quarter in which budget period ends. Awardee performance will be monitored through a technical review of the Annual Progress Report; ongoing communication between the awardee and project officer via email, conference calls, and meetings; and periodic site visits. Awardees will describe their activities and progress toward meeting goals, objectives, and performance measures in the progress reports submitted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$8,920,222.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Goals, Guidelines and Recommendations Healthy People 2020 objectives (Tobacco Use) <http://www.healthypeople.gov/2020/topicsobjectives2020/objectiveslist.aspx?topicId=41> CDC's Winnable Battles (Tobacco) <http://www.cdc.gov/winnablebattles/tobacco/index.html> The National Prevention Councils, National Prevention Strategy Americas Plan for Better Health

(Tobacco Free Living)

<http://www.surgeongeneral.gov/initiatives/prevention/strategy/report.pdf> HHS Strategic Plan (Promote Prevention and Wellness Reduce Cigarette Consumption Among Adults and Proportion of Adolescents who are Current Cigarette Smokers) <http://www.hhs.gov/secretary/about/message.html> HHS Ending the Tobacco Epidemic: A Tobacco Control Strategic Action Plan for the Department of Health and Human Services <http://www.hhs.gov/ash/initiatives/tobacco> The Institute of Medicines, Ending the Tobacco Problem A Blueprint for the Nation http://www.nap.edu/catalog.php?record_id=11795 CDC's Best Practices for Comprehensive Tobacco Control

Programs http://www.cdc.gov/tobacco/stateandcommunity/best_practices/index.htm The Community Preventive Services Task Force, Guide to Community Preventive Services <http://www.thecommunityguide.org/tobacco/index.html>

Regional or Local Office:

None. n/a

Headquarters Office:

Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I Review: All eligible applications will be initially reviewed for completeness by CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by the CDC NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified that the application that the application did not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the criteria section of the funding opportunity announcement. Applicants will be notified electronically if the applications did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Applications will be funded in order by score and rank determined by the review panel.

93.307 Minority Health and Health Disparities Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title III and IV, Part A and Part E, Sections 485E, 485F, 485G; Public Law 99-158 and Public Law 106-525; 42 U.S.C. 287c-31, 42 U.S.C. 287c-32, 42 U.S.C. 287c-33, 42 U.S.C. 241 and 285; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564; Title III, Part A & E, Section 485E 485F 485G, Public Law 99-158, 106 Stat. 525, 42 U.S.C 287c-31., Title IV, Part C, Section 464z-3 and 464z, Statute 106-525, Public Law 99-158, 42 USC Code 287c-31.

OBJECTIVES:

To support basic, clinical, social, and behavioral research; promote research infrastructure and training; foster emerging programs; disseminate information; and reach out to minority and other health disparity communities. The National

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Institute on Minority Health and Health Disparities (NIMHD) has established programs to pursue these goals: (1) The Centers of Excellence Program promotes research to improve minority health and/or reduce and eliminate health disparities; builds research capacity for minority health and health disparities research in academic institutions; encourages participation of health disparity groups and communities in biomedical and behavioral research and prevention and intervention activities; and brings together investigators from relevant disciplines in a manner that will enhance and extend the effectiveness of their research; (2) NIMHD Research Endowment Program builds research capacity and infrastructure at eligible NIMHD Centers of Excellence or eligible Section 736 health professional schools (42 U.S.C. 293) to facilitate minority health and other health disparities research to close the disparity gap in the burden of illness and death experienced by racial and ethnic minority Americans and other health disparity populations; promotes a diverse and strong scientific, technological and engineering workforce; and emphasizes the recruitment and retention of underrepresented minorities and other socio-economically disadvantaged populations in the fields of biomedical and behavioral research and other areas of the scientific workforce; (3) The Centers of Excellence on Environmental Health Disparities Research to stimulate basic and applied research on environmental health disparities; (4) Minority Health and Health Disparities International Research Training Program (MHIRT) awards enable U.S. institutions to tailor short-term basic science, biomedical and behavioral mentored student international research training opportunities to address global issues related to understanding, reducing, and eliminating health disparities; (5) Small Business Innovation Research (SBIR) Program increases private sector commercialization of innovations derived from Federal research and development; encourages small business participation in Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (6) Small Business Technology Transfer (STTR) Program stimulates and fosters scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; fosters technology transfer between small business concerns and research institutions; increases private sector commercialization of innovations derived from Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (7) Health Disparities Research Project Grants (RPG) support innovative projects to enhance our understanding of biological mechanisms, social, behavioral, and health services that can directly and demonstrably contribute to the improvement in minority health and the elimination of health disparities; (8) Research Centers in Minority Institutions (RCMI) build capacity for basic biomedical and/or behavioral research by focusing on institutional resource development, such as supporting core research facilities and staff, purchasing advanced instrumentation, and laboratory renovations/alterations; (9) RCMI Infrastructure for Clinical and Translational Research (RCTR) supports the development of infrastructure required to conduct clinical and translational research by enhancement of, but is not limited to outpatient clinical resources, biostatistical support, core laboratories, and other capacity for patient-oriented research; (10) RCMI Translational Research Network (RTRN) supports a consortium of clinical and translational investigators from RCMI Centers, other academic health centers, providers, and community-based organizations to encourage sharing of resources and expertise in minority health and health disparities; (11) Clinical Research Education and Career Development (CRECD) Awards provide didactic training and mentored clinical research experiences to develop independent researchers who can lead clinical research studies, especially those addressing health disparities; (12) Resource-Related Minority Health and Health Disparities Research supports minority health and health disparities resource-related research in the priority areas of Bioethics Research, Global Health Research, Data Infrastructure and Dissemination, and Healthcare for Rural Populations Research; (13) Pathway to Independence Awards (K99/R00) to increase and maintain a strong cohort of new and talented, NIH-supported, independent investigators. (14) NIH Research Conference Grant and NIH Research Conference Cooperative Agreement Programs support high-quality conferences that are relevant to the minority health and health disparities; (15) Transdisciplinary Collaborative Centers for Health Disparities Research comprise regional coalitions of academic institutions, community organizations, service providers and systems, government agencies and other stakeholders conducting coordinated research, implementation and dissemination activities that transcend customary approaches and silo organizational structures to address critical

questions at multiple levels in innovative ways focused on priority research areas in minority health and health disparities; (16) Disparities Research and Education Advancing our Mission (DREAM) Career Transition Award supports mentored research experience in the NIH Intramural Research Program for early stage investigators in health disparities from extramural institutions and then facilitate the transition of their research programs to the independent stage of career development; (17) Ruth L. Kirschstein NRSA Individual Predoctoral Fellowship to Promote Diversity in Health-Related Research; (18) NIH BD2K Enhancing Diversity in Biomedical Data Science to support educational activities that enhance the diversity of the biomedical, behavioral and clinical research workforce; and (19) 2014 NIH Directors New Innovator Award initiative to support early stage investigators of exceptional creativity who propose bold and highly innovative new research approaches that have the potential to produce a major impact on broad, important problems in biomedical and behavioral research; and (Types of Assistance (060):

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are intended to support the direct costs of a project in accordance with an approved budget, plus appropriate amount for indirect costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. Endowment grants: Some qualifying institutions may use income earned from a research endowment corpus to support institutions in their effort to expand and add teaching programs in the biomedical and behavioral sciences and related areas, to improve facilities, to enhance student and faculty recruitment and retention, to develop instructional delivery systems and information technology in areas that will enhance minority health and other health disparities research activities, and to establish endowed chairs and programs. Other qualifying institutions must use income earned from a research endowment corpus to increase the institutions investments in the recruitment and retention of underrepresented minority students and students from other health disparity populations that matriculate at such institutions. This includes activities such as increasing student recruitment and retention, creating merit-based and need-based scholarships, establishing or enhancing tutoring, providing counseling, and designing student service programs to improve academic success, and faculty recruitment and retention. SBIR grants: SBIR Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR grants: STTR Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. STTR Phase II grants are based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. See applicable funding opportunity announcements for specifics. (Grants.nih.gov)

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Individuals and public and private institutions, both non-profit and for-profit, who propose to establish, expand, and conduct research, promote or engage in research training, and outreach activities that contribute to improving minority health and/or eliminating health disparities. Endowment grants: Only NIMHD Centers of Excellence or Section 736 health professional schools (see 42 U.S.C. 293) with net endowment assets less than or equal to 50 percent of the national median of endowment funds at institutions that conduct similar biomedical research or

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training of health professionals are eligible for the research endowment awards. All applicants must have a NIMHD Center of Excellence Award or HRSA Centers of Excellence Award at the time of award of an NIMHD Research Endowment Grant. SBIR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. Small business concerns must be at least 51 percent US owned by individuals and independently operated and/or at least 51 percent owned and controlled by another (one) for-profit business concern that is at least 51 percent owned and controlled by one or more individuals. The research must be performed in the U.S. or its possessions. STTR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed, and have no more than 500 employees) that "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Any non-profit or for-profit organization, company, or institution engaged in biomedical and behavioral research. Endowment grants: NIMHD Centers of Excellence or Section 736 institutions.

Credentials/Documentation:

Applicants must present a research plan and furnish evidence required for research grant applications, PHS 398, (Rev. 05/01) as specified in the application form. Costs will be determined in accordance with HHS Regulation 45 CFR 75, as applicable, and the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>), which is a term and condition of all NIH grant awards. For-profit organizations costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. Endowment grants: Requirements for the endowment application are specified in the application form (SF424 R&R). SBIR and STTR grants: Applicant organizations (small business concerns) must present a research plan that includes an idea that has the potential for commercialization, and furnish evidence that the scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. To apply for SBIR Phase I and Phase II Grants, applicants must complete SF424 (R&R) Application for Electronic Submission. For general information on SF424 (R&R) Application and Electronic Submission, see these Web sites: SF424 (R&R) Application and Electronic Submission Information: <https://grants.nih.gov/grants/how-to-apply-application-guide/forms-f/general/g.100-how-to-use-the-application-instructions.htm>. General information on Electronic Submission of Grant Applications: <http://grants.nih.gov/grants/ElectronicReceipt/>. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for the various programs should be submitted to the NIH office that is responsible for the receipt of applications, and in such form and manner as the application prescribes. (All information pertaining to grants hereinafter, also apply to Endowment Grants unless otherwise noted). Research Grants: Applications must be prepared electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related). Visit the following link to obtain application information and instructions for all applications types including fellowships, SBIR/STTR (<https://grants.nih.gov/grants/how-to-apply-application-guide.html>). Application must be made electronically through grants.gov (<http://www.grants.gov>). For further assistance contact Grants Info, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov.

Award Procedure:

Each eligible application will be evaluated for scientific and technical merit by an appropriate Scientific Review Group in accordance with NIH policies and

procedures. The National Advisory Council on Minority Health and Health Disparities (NACMHD) will conduct the second-level review of the applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Approximately 6 to 9 months. SBIR and STTR grants: Approximately 7 to 8 months.

Appeals:

Other. A principal investigator or applicant may question the substantive or procedural aspects of the review of his/her application by communicating with NIMHD staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Other. Renewal of grant awards beyond the initial project period of support is contingent upon successful competition by the applicant and upon the appropriation of funds for this purpose.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All awards have a project period of one to five years. Non-competitive grant awards in successive years (year 2 up to year 5) are awarded based on the applicant's annual performance and the availability of funds. SBIR grants: Generally, Phase I awards are for six months, and Phase II awards are for two years. STTR grants: Generally, Phase I awards are for one year, and Phase II awards are for two years. All funds for any award must be obligated within five years from the date of the award. See the following for information on how assistance is awarded/released. See individual funding opportunity announcements as published in the NIH Guide:

<https://grants.nih.gov/funding/searchguide/index.html#/>. Method of awarding/releasing assistance: other

Reports:

Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period. Cash reports are not applicable. Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period. Selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period. Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period. Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NIMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participants five-year strategic plan for prioritizing the use of endowment income. These reports will extend 20 years beyond the last year of a participants project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

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For specific audit requirements, please see 45 CFR 75.

Records:

Expenditures and other financial records must be retained three years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0897-0-1-552.

Obligations:

Project Grants FY 20 \$334,014,214.00; FY 21 est \$337,535,000.00; FY 22 est \$371,300,000.00;

Range and Average of Financial Assistance:

(1) Specialized Centers of Excellence (U54 COE) grants: 12 total awards made by the NIMHD ranged from \$917,018 to \$4,804,103; average \$1,701,546. (2) Endowment grants (S21): 5 total awards made by the NIMHD ranged from \$1,900,000 to \$2,000,000; average \$1,980,000. (3) Centers of Excellence on Environmental Health Disparities Research (P50): 4 total awards made by the NIMHD ranged from \$221,125 to \$1,503,116; average \$1,130,281. (4) NIMHD Minority Health and Health Disparities Research Training (MHRT, T37) grants: 12 total awards made by the NIMHD ranged from \$261,713, to \$280,278; average \$273,168. (5) Small Business Innovation Research (SBIR) grants: 21 total awards made by the NIMHD ranged from \$55,000 to \$965,354; average \$467,636. (6) Small Business Technology Transfer (STTR) Grants: 5 total awards made by the NIMHD ranged from \$162,272 to \$495,303 average \$269,788. (7) Health Disparities Research Project grants and cooperative agreements (RPG): 263 total awards made by the NIMHD ranged from \$78,000 to \$1,727,964; average \$566,291. (8) Research Centers in Minority Institutions (RCMI, U54/U24): 22 total awards made ranged from \$988,667 to \$8,495,045; average \$3,728,151. (9) Clinical Research Education and Career Development (CRECD) Awards (R25): 4 total awards ranged from \$529,295 to \$540,000; average \$534,941. (10) U24 Resource-Related and Research Capacity Building awards (non-RADx-UP, non-RCMI): 3 total awards made by the NIMHD ranged from \$99,535 to \$526,159, average \$341,333. (11) Pathway to Independence and Career Awards (Ks): 34 total awards made by the NIMHD ranged from \$103,817, to \$192,136; average \$142,942. (12) NIH Research Conference Grants: 2 total awards made ranged from \$25,000 to \$50,000; average \$37,500. (13) Transdisciplinary Collaborative Centers (U54): 7 total awards made ranged from \$1,565,933 to \$5,608,242; average \$3,394,518. (14) Ruth L. Kirschstein NRSA Individual Fellowships: 18 total awards ranged from \$30,477 to \$69,426; average \$42,241. (15) NIH BD2K Enhancing Diversity in Biomedical Data Science (R25): 3 total awards ranged from \$208,899 to \$289,296; average \$258,435. (16) NRSA training grants (T32): 2 total awards ranged from \$86,301 to \$128,703; average \$107,502. (17) RADx-UP CDCC (U24): one award, \$20,162,334.

TAFS Codes:

75-0897.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in 42 USC 271c-31 et. Seq.; 42 CFR 52; 42 CFR 66; 45 CFR 75; 45 CFR 92; NIH Extramural Programs brochure; and miscellaneous program literature from the Headquarters Office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241. Additional loan repayment information can be obtained at www.lrp.nih.gov. SBIR and STTR information can also be obtained from the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications and the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Extramural Research Administration Thomas M. Vollberg, Sr., Ph.D.,
7201 Wisconsin Avenue, Suite 533 Mail Stop Code 9206,
Bethesda MD 20892
Email: vollbert@mail.nih.gov

Phone: 3015948770

Website Address:

<http://www.nimhd.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating all new grant and renewal grant applications include assessments of the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; and the necessity of the budget components requested in relation to the proposed project and the relevance and importance to the announced program objectives. SBIR and STTR grants: For Phase I grant applications, the criteria used are the soundness and technical merit of the proposed approach; the qualifications of the proposed principal investigator, supporting staff, and consultants; the technological innovation of the proposed research; the potential of the proposed suitability of the facilities and research environment; and where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. For Phase II grant applications, the criteria used are the degree to which the Phase I objectives were met and feasibility demonstrated; the scientific and technical merit of the proposed approach for achieving the Phase II objectives; the qualifications of the proposed principal investigator, supporting staff and consultants; the technological innovation, originality, or societal importance of the proposed research; the potential of the proposed research for commercial application; the reasonableness of the budget requested for the work proposed; the adequacy and suitability of the facilities and research environment; and, where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. All persons interested in any of the DHHS/NIH/NIMHD grant and/or contract program initiatives are strongly encouraged to carefully read the Request for Applications as they are announced annually in order to understand what is required for each unique program as well as to meet each deadline in a timely manner.

93.308 National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs

NIMHD Loan Repayment Programs,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act; Title IV, Subtitle D, Part G, Section 487E, as amended; Public Law 106-554; 42 U.S.C. 288-5., Title IV, Part G, Section 487E, Public Law 106-554, 42 U.S.C 288-5; as amended by Section 2022 of The 21st Century Cures Act (Public Law 114-255), Title IV, Part G, Section 487E, Public Law 106-554, 42 USC Code 288-5.

OBJECTIVES:

This listing is no longer in use. The mission of the National Institute on Minority Health and Health Disparities (NIMHD) is to lead scientific research to improve minority health and eliminate health disparities. To accomplish this, NIMHD 1) Plans, reviews, coordinates, and evaluates all minority health and health disparities research and activities of the National Institutes of Health; 2) Conducts and supports research in minority health and health disparities; 3) Promotes and supports the training of a diverse research workforce; 4) Translates and disseminates research information; 5) Fosters innovative collaborations and partnerships. There are two separate types of loan repayment within NIMHD's Loan

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Repayment Program: (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR): recruits and retains qualified health professionals to research careers that focus on minority health disparities research or other health disparities research and the (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB), recruits and retains qualified health professionals from disadvantaged backgrounds to conduct clinical research. The objective of these extramural loan repayment programs are to recruit and retain qualified health professionals including those from health disparity populations and those from disadvantaged backgrounds to conduct minority health disparities research, other health disparities research, and clinical research. (1) Health Disparities Research may pertain to basic, clinical, or behavioral research on health disparity populations (including individual members and communities of such populations), that relate to health disparities, including the causes of such disparities and methods to prevent, diagnose, and treat such disparities. (2) Clinical research consists of patient-oriented clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which the investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of existing educational loan debt incurred by health professionals including those from health disparity populations and those from disadvantaged backgrounds to conduct minority health disparities or other health disparities research and clinical research. Your research must be supported by a domestic nonprofit foundation, university, professional association, or other nonprofit institution, or a U.S. government agency (federal, state, or local). A domestic foundation, professional association, or institution is considered to be nonprofit if exempt from federal tax under the provisions of Section 501 of the Internal Revenue Code (26 U.S.C. 501). NIH grants and university salaries are considered eligible funding. Your primary employment must be with a nonprofit institution. Any employment or income outside of the nonprofit institution must be separate from your research and cannot represent a significant amount of your total income. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. The maximum program benefit is \$50,000 per year in loan repayments and \$19,500 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); The following eligibility requirements apply to both: (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR): and (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) An applicant must: (1) be a United States citizen, national, or permanent resident; (2) have an M.D., Ph.D., Pharm.D., D.D.S., D.M.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) conduct research that is supported by a non-profit institution, foundation, professional society or U.S. government entity; (4) commit 50 percent or more of his/her time to clinical research; (5) have total qualifying educational debt greater than or equal to 20 percent of his/her institutional base salary at the time of award; (6) conduct research in accordance with applicable Federal, state and local law; (7) not be under any existing service obligations to Federal, State, or other entities, unless and until the existing service obligation is discharged or deferred during the period of program service; (8) not have Federal judgment liens against their property arising from a Federal debt from receiving Federal funds until all judgments have been paid in full or otherwise satisfied; (9) not be a full time employee of a Federal

government agency. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties are eligible to apply for loan repayment if they meet all other eligibility requirements; and (10) have the institution provide an assurance that the applicant will be provided research support for two years, that the applicant will engage in qualified clinical research for 50 percent of his/her time, that the funding foundation, professional society, or institution is considered to be non-profit pursuant to Section 501 of the Internal Revenue Code or is a U.S. government entity, and certify the applicant's annual institutional base salary. There are additional requirements for the Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB). Eligible applicants must come from a disadvantaged background and adhere to the following guidelines relating to the definition of a disadvantaged background: An Individual from disadvantaged background means an individual who: (1) Comes from an environment that inhibited the individual from obtaining the knowledge, skill and ability required to enroll in and graduate from a health professions school; or (2) Comes from a family with an annual income below a level based on low-income thresholds according to family size published by the U.S. Bureau of the Census adjusted annually for changes in the Consumer Price Index, and adjusted by the Secretary for use in all health professions programs. The Secretary periodically publishes these income levels in the Federal Register.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR), and (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: Qualified health professionals who conduct minority health or other health disparities research or highly qualified health professionals from disadvantaged backgrounds who will conduct clinical research and who possess substantial unpaid educational debt relative to income.

Credentials/Documentation:

An applicant to either NIMHD extramural LRP programs must submit the following documentation electronically: (1) Applicant Information Statement; (2) Biosketch; (3) Personal Statement, which includes a discussion of career goals and academic objectives; (4) Description of Research Activities; (5) Contact Information of Three Recommenders; (6) Contact Information of Institution Official able to serve as the Institutional Contact; (7) On-line Certification; (8) Loan Information; (9) Notice of Grant/Award (or PHS 2271 for T32 recipients), if applying based on NIH support; and in addition for those applying to the Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) applicants must submit a Certification of Disadvantaged Background [see Federal Register: April 24, 2009 (Volume 74, Number 78)]. The Research supervisor or mentor of applicants to both NIMHD extramural LRP programs must electronically submit the following: (1) Recommendation; (2) Biosketch; (3) Assessment of the Research Activities Statement submitted by the applicant; (4) Description of the Research Environment; and (5) Training or Mentoring Plan. Recommenders must submit their recommendations electronically. Institutional Contacts must electronically submit a certification that: (1) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (2) assures that the applicant is or will be engaged in qualifying research for 50 percent of his/her time, i.e., not less than 20 hours per week; (3) certifies that the funding foundation, professional society, or institution is considered to be non-profit as provided under Section 501 of the Internal Revenue Code (26 U.S.C. 501) or is a U.S. government entity (Federal, state or local); and (4) provides the applicant's institutional base salary. Requirements for the loan repayment application, OMB Approval No. 0925-0361, are specified in the electronic application form located at www.lrp.nih.gov. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application for participation in the program can be obtained from www.lrp.nih.gov and should be submitted to the NIH office, which is responsible for the receipt of applications, in

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such form and manner as the Secretary or the authorized designee may prescribe.

Award Procedure:

Each eligible application will be evaluated for scientific and technical merit by an appropriate Scientific Review Group in accordance with NIH policies and procedures. Awards will be made to meritorious applications, subject to the receipt of an appropriation and/or allocation of funds from the United States Congress, the NIH and/or NIMHD. All selections are subject to final approval by the Secretary or the authorized designee, the Director of NIMHD.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. The approximate time for approval/disapproval is 6-8 months.

Appeals:

Not Applicable.

Renewals:

>180 Days. (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR) and (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: Prior to the expiration of a participant's current award, the participant may apply for a one- or two-year extension provided the participant continues to engage in qualified clinical research or minority health or other health disparities research and has outstanding repayable debt remaining. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts renewal applications are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

An initial contract provides assistance for a two-year period, and an extension contract provides assistance for a one-year or two-year period. Program payments will be made directly to lenders following each quarter of the participants satisfactory service, unless otherwise agreed upon by the participant and Secretary or authorized designee. Method of awarding/releasing assistance: quarterly

Reports:

Standard annual report from the NIH Division of Loan Repayment. Cash reports are not applicable. Participants must be periodically certified to have satisfactorily performed the duties of their positions. No expenditure reports are required. Participants must be periodically certified to have satisfactorily performed the duties of their positions. Participants must be periodically certified to have satisfactorily performed the duties of their positions. Participants must be periodically certified to have satisfactorily performed the duties of their positions.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

The NIMHD will retain applicant records for three years after rejection and participant records for six years after completion of final service obligation.

Account Identification:

75-0897-0-1-552.

Obligations:

Direct Payments for Specified Use FY 20 \$13,789,325.00; FY 21 Not Available; FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY19: (1) Extramural Loan Repayment Program for Health Disparities Research (LRP-HDR) contracts: total awards made by the NIMHD ranged from \$6,530 to \$70,000; average \$40,330. (2) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (LRP-IDB) contracts: total awards made by the NIMHD ranged from \$8,535 to \$70,000; average \$26,152. FY20 and FY21 estimates not available.

TAFS Codes:

75-0897.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 183 awards Fiscal Year 2021: 0 award Fiscal Year 2022: 0 award

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Section 2022 of the 21st Century Cures Act (Public Law 114-255), which amended Section 487B of the Public Health Service Act (42 U.S.C. 288-2).

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Extramural Research Administration Thomas M. Vollberg, Sr., PhD,

7301 Wisconsin Avenue, Suite 533, Mail Stop Code 9206,

Bethesda MD 20892

Email: vollbert@mail.nih.gov

Phone: 3015948770

Website Address:

<https://www.nimhd.nih.gov/programs/extramural/loan-repayment.html>

RELATED PROGRAMS:

93.280 National Institutes of Health Extramural Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications/proposals include the assessment of the following: (1) personal statement; (2) proposed research project and its scientific merit (priority will be given to biomedical and behavioral researchers); (3) training plan and environment (which includes the research program, the facilities/environment, the mentor/advisor's training, the applicant's training); (4) loan debt ratio and any associated impediments that may affect the applicant's decision to pursue a research career; and (5) references.

93.310 Trans-NIH Research Support

NIH OD Research Support,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,

DEPARTMENT OF

AUTHORIZATION:

Public Law 109-482

OBJECTIVES:

This listing covers multiple offices in the NIH Office of the Director that offer assistance awards or supplements to assistance awards.

TYPES OF ASSISTANCE:

Project Grants, Training

USES AND USE RESTRICTIONS:

To support research and other projects that will accelerate fundamental biomedical discovery and translation of that knowledge into effective prevention strategies and new treatments.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Awards can be made to domestic, public or private, for-profit or nonprofit organization, university, college, hospital, laboratory, or other institution, including State and local units of government, and individuals. Some initiatives will accept applications from foreign organizations. Additional details on eligibility are specified in each Funding Opportunity Announcement.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Private nonprofit institution/organization; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Other public institution/organization; Institutions as described above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Prepare and submit applications according to instructions provided in the applicable Funding Opportunities announcement. Most awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. The length of the review cycle is four to nine months.

Appeals:

Not Applicable. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year, with additional support (up to four more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. Method of awarding/releasing assistance: other

Reports:

Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Most awards made under this program are subject to the audit requirements of OMB 2 CFR 200, as implemented by 45 CFR 75, Subpart F, and in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms

of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 4 Code of Federal Regulations, Part 75, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0846-0-1-552.

Obligations:

Project Grants FY 20 \$873,357,222.00; FY 21 est \$1,675,285,000.00; FY 22 est \$1,779,961,000.00;

Range and Average of Financial Assistance:

\$1,216 to \$39,999,218 with the average being \$805,973.

TAFS Codes:

75-7582.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael Morse,
6001 Executive Boulevard, Suite 8180, MSC 9500,
Rockville MD 20852
Email: Michael.Morse@nih.gov
Phone: 301-402-7617
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

ASSISTANCE LISTINGS DESCRIPTIONS

93.311 Mobilization For Health: National Prevention Partnership Awards

(NPPA),

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order Section 1702(a) [42 U.S.C. 300u-1(a)] and Section 1703(a) and (c) [42 U.S.C. 300u-2(a) and (c)] of the Public Health Service Act, as amended, , Department of Health and Human Services, Office of the Assistant Secretary for Health, National Vaccine Program Office,

OBJECTIVES:

The Office of the Assistant Secretary for Health (OASH) collaborates with federal partners to provide strategic direction for the coordination of the testing, vaccine, and immunization enterprise. OASH is looking to partner with institutions or organizations to conduct research, monitoring or outcomes definition research. The areas of research are specifically, but not limited to: coordination and development of testing and associated products that actually meet the current and future needs, and the effective transition of technology into broad use without impediments; and predicting the safety profile of new vaccines during the early development stage, developing or modifying existing vaccines to improve their safety, conducting applied research that will have a direct impact on the current vaccine safety monitoring system, conducting research that will improve the safety profile of currently marketed vaccines, conducting research that will achieve consensus definitions of vaccine safety outcomes that could be utilized to collect consensus data in clinical research conducted globally.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The assistance can be applied to scaling and networking of new technologies for testing; testing demonstrations and technical assistance; improving testing vaccine safety knowledge, improving the monitoring of adverse events following immunization, or improving the way vaccine safety data are collected and analyzed in clinical trials. Funds are restricted from being used for the following: alterations or renovations; construction; fund-raising activities; job training; political education and lobbying; vocational rehabilitation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public (including city, county, regional, and State government) organizations and private nonprofit entities.

Beneficiary Eligibility:

Anyone/general public; All children, women (including pregnant women), and adults, including those underserved and minority populations in the US.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

See Notice of Funding Opportunity

Deadlines:

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Non-competing continuation awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Varies depending on the specific initiative for which applications are sought.

Described in notice of funding opportunity. Method of awarding/releasing assistance: other

Reports:

See FOA. Each grantee will submit yearly program reports on progress to the project officer. Grantees must submit quarterly SF425 Federal Financial Reports to Payment Management Services due on one of the standard due dates on which cash reporting is required or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter Quarterly, Annual Progress and Final Report. A revised work plan must be submitted each year to indicate any service and/or partnership revisions Grantees must submit quarterly SF425 Federal Financial Reports to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

Project Grants (Cooperative Agreements) FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$100,000,000.00;

Range and Average of Financial Assistance:

\$0 - \$25,000,000

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Regional Offices will be identified separately

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Roscoe Brunson,
Tower Building Suite 550, 1101 Wootton Parkway,
Rockville MD 20852
Email: roscoe.brunson@hhs.gov
Phone: 240-453-8822
Fax:

Website Address:

http://www.hhs.gov/ash/public_health/indexph.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Notice of Funding Opportunity

93.312 Child Development and, Surveillance, Research and Prevention

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 317 (k) (2) and 317 (c) of the Public Health Service Act [42 U.S.C. Sections 247b (k) (2) and 247b-4 as amended.,

OBJECTIVES:

This funding supports State health agencies, universities, and public and private nonprofit organizations in planning, implementing, coordinating, or evaluating programs related to promoting optimal child health and development outcomes of all children by the detection, prevention, and management of factors that may lead to developmental delays or disorders, or increased morbidity, and by promoting optimal developmental practices within families and other systems that interact with youth. Programs may include the following activities: (1)conducting epidemiologic research or surveillance in the area of child development, developmental disabilities, children mental health or neurobehavioral disorders such as Attention-Deficit/Hyperactivity Disorder (ADHD) and Tourette syndrome (TS) and related comorbidities;(2)support the improvement of infant and child health and developmental outcomes by establishing resources for both professionals and the public regarding what is known the impact, epidemiology, and interventions for child development, ADHD and TS and related comorbidities;(3) providing a national, State or local platform in the area of child development, developmental disabilities, childrens mental health and neurobehavioral disorders prevention that focuses on improving early identification of and intervention for children with ADHD, TS, and other co-occurring conditions, so children and families can receive the services and support they need;(4) conducting etiologic studies of child development, childrens mental health, and neurobehavioral disorders such as ADHD and TS using population based approaches to enhance existing research or surveillance programs to better address research questions, monitor changes in prevalence and contributing factors, and inform policy and program activities;(5) employing epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with or at risk for developmental delays, developmental disabilities, mental disorders or neurobehavioral disorders; (6) Conduct population based research that describes comorbidities and associated burden of ADHD and TS disseminating data and health information; (7)employing strategies to reduce racial/ethnic disparities in child development and in the identification of ADHD and TS and related comorbid conditions; (8) Fund and support a National Public Health Practice and Resource Center for Attention-Deficit/Hyperactivity Disorder; (9) Fund and Support a National Public Health Practice and Resource Center for Tourette Syndrome;(10) Fund and support projects that promote optional child development and health for all children.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, expand, build upon or enhance epidemiologic research, surveillance, health promotion, education, and prevention/intervention activities that contribute to the mission and activities of the National Center on Birth Defects and Development and Disabilities, Division of Human Development and Disabilities. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for optimal child health and development outcomes, developmental disabilities, childrens mental health, and neurobehavioral disorders including Attention-Deficit/Hyperactivity Disorder, Tourette syndrome, and other co-occurring conditions. Projects may include health promotion, education and training, prevention and intervention of Attention-Deficit/Hyperactivity Disorder and Tourette syndrome. Funds may also be used to coordinate and the dissemination of prevention information to the general public, target populations, state, and local agencies, and public and private sector organizations in the United States. Funds may not be used for advocacy initiatives.Funds May not be used for construction

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Small business (less than 500 employees); Profit organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); N/A

Beneficiary Eligibility:

U.S. Territories; Minority group; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; In addition to the eligible applicants, other groups who will receive benefits from the program include persons with or at-risk for developmental delays, developmental disabilities, mental disorders, or neurobehavioral disorders such as Attention-Deficit/Hyperactivity Disorder, Tourette syndrome and the conditions that co-occur with them; family members of persons with or at-risk for developmental delays, developmental disabilities, mental disorders, or neurobehavioral disorders such as Attention-Deficit/Hyperactivity Disorder, Tourette syndrome and the conditions that co-occur with them; minority populations, including Spanish speaking populations, infants, children, youth, adults; Federally Recognized Indian Tribal Governments; individual and families; educators, health care professionals, Private nonprofit institution/organizations and others.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply for this Notice of Funding Opportunity, use application form PHS389 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the Grants.gov website. This program is subject to the provisions set forth in 45 CFR 92, for State and Local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services (OGS) is prepared and signed by an authorized Grants Management Officer (GMO), and mailed to the recipient officer identified in the application,

ASSISTANCE LISTINGS DESCRIPTIONS

along with appropriate notification to the public. The initial award provides funds for the first budget period (usually 12 month) and Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. Cooperative Agreement competitive and single eligibility awards are determined by an internal objective committee review process at CDC. Research project grant competitive awardees are determined by an external peer review. At such times, awards will be based on evaluation criteria set forth in the respective Program Announcement, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC. The notice of award will indicate financial support for the first budget year, allocations of Federal funds by budget category, and special conditions if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are from 1-5 years with 12-month budget periods. Applications must be obtained from Grants.gov. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedures established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: quarterly

Reports:

Annual Progress Reports are submitted 90 days after the end of the budget period and covers budget period progress. Final Progress report which covers the project period is due 90 days after the end of the project period. Federal Financial Reports (FFR) is required 90 days after the end of each budget period. Semiannual or annual progress reports are required. Annual Progress reports and federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Final federal financial reports and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Expenditure reports are not applicable. Performance monitoring is not applicable. The National Center for Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 3-year record retention requirement, records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements

Account Identification:

75-0958-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$1,750,000.00; FY 21 est \$1,750,000.00; and FY 22 est \$1,750,000.00;

Range and Average of Financial Assistance:

The FY20/21 range is dependent upon funding availability. Awards amounts may range from \$200,000 to \$1,000,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Jennifer Kaminski,
1600 Clifton Rd, NE MS-88,
Atlanta GA 30033
Email: anu1@cdc.gov
Phone: 404-498-4159

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific and technical merit, with attention given to such areas as (1) the degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nations health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, national regional, and local public health agencies and organizations; are reviewed based on evaluation criteria explicit in the respective Program Announcements. Applications must address demonstrated program need, the magnitude of the problem, the management work plan, collaborative associations, and the approach toward setting and meeting overall project objectives and time frames. These future competitive applications would also be evaluated on the capacity of the applicant to demonstrate effective collaborations with other agencies and data sources critical to preventing secondary conditions, identifying and addressing health promotion needs for persons with Attention-Deficit/Hyperactivity Disorder (ADHD) and Tourette Syndrome (TS), denoting the capacity of the project to address minority and low income populations, Spanish speaking populations, co-occurring conditions, and offering sound proposals that reflect the understanding of the epidemiologic and surveillance research.

93.313 NIH Office of Research on Women's Health

ASSISTANCE LISTINGS DESCRIPTIONS

(NIH/ORWH),

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

NIH Revitalization Act of 1993, , Statue 22, Public Law 107-103-43, USC Code
289 (A)(1).

OBJECTIVES:

ORWH will identify projects on women's health that should be conducted or supported by national research institutes; identify multi-disciplinary research related to research on women's health that should be conducted or supported; and promote coordination and collaboration among entities conducting research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To support research and other projects that will accelerate biomedical and behavioral discovery and translation of that knowledge into effective prevention strategies and new treatments.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Awards can be made to domestic, public or private, non-profit or profit organization, university, hospital, laboratory, or other institution including state and local units of government and individuals. Some initiatives will accept applications from foreign organizations. Additional details on eligibility will be specified in the funding opportunity announcement.

Beneficiary Eligibility:

Federal; Profit organization; Scientist/Researchers; State; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See information above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. This program is excluded from coverage under OMB Circular A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Competitive peer reviewed applications are reviewed for scientific and technical merit by a scientific review group; and for program relevance by a national advisory council/board. Administrative supplements are reviewed for merit and relevance by NIH scientific staff.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of his/her application by communication with the NIH scientific/research contact as provided in the initiative.

Renewals:

Not Applicable. Administrative supplements are not renewable. Other programs may be renewable and applicants must review the funding opportunity announcement for further guidance.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year. Applicants should review to the specific funding opportunity announcement for further detail. Method of awarding/releasing assistance: lump sum.

Reports:

Applicants must submit annual progress reports and selected financial reports. Final progress reports, invention statements and expenditure reports are required at the end of the project. Cash reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees must generally retain financial and programmatic records, supporting documents, statistical reports and all other records that are required by the terms of a grant or may reasonably be considered pertinent to a grant for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR is submitted. Those grantees must maintain the records pertinent to the entire competitive segment for 3 years from the date of submission of the annual FSR to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of the annual FSR to NIH. See 45 CFR 75.361 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. In accordance with 45 CFR 75.364, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipient's personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-1208-4-6-000.

Obligations:

Project Grants FY 20 \$31,868,000.00; FY 21 est \$33,396,000.00; FY 22 est \$35,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1208.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Office of Research on Women's Health 301 402-1770.

Headquarters Office:

Director of Research Programs Lisa Begg, Dr.P.H., R.N.,
6707 Democracy Blvd., Suite 400,
Bethesda MD 20892
Email: beggl@od.nih.gov
Phone: 301-496-3975
Fax: 301-402-1798

Website Address:

No Data Available.

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.314 Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program

EHDI Information System,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 311, 317(k)(2), 317(C), and 399M(b),

OBJECTIVES:

The objective of this program is to assist EHDI programs in developing and maintaining a sustainable, centralized newborn hearing screening tracking and surveillance system capable of accurately identifying, matching, collecting, and reporting data on all occurrent births that is unduplicated and individually identifiable. Additionally, for those programs with fully developed EHDI information systems, program will enhance the electronic system capacity to collect data, and exchange data accurately, effectively, securely, and consistently between the EHDI-IS and Electronic Health Record Systems (HER-S).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support to assist jurisdictional programs in developing and maintaining a sustainable, centralized EHDI Information Systems and to enhance electronic system capacity to collect data, ensure children receive recommended screening and follow-up services, and exchange data accurately, effectively, securely, and consistently between the EHDI-IS and Electronic Health Record Systems. Funds may be used to conduct applied research related to newborn and infant hearing screening, evaluation and intervention programs and systems. Funds may be used to ensure quality monitoring of newborn and infant hearing loss screening, evaluation, diagnosis, and intervention programs and systems. Funds may be used to provide technical assistance on data collection and management. Funds may be used to study the costs and effectiveness of newborn and infant hearing screening, evaluation and intervention programs and systems conducted by State-based programs. Funds may be used to identify the causes and risk factors for congenital hearing loss. Funds may be used to study the effectiveness of newborn and infant hearing screening, audiologic and medical evaluations and intervention programs and systems by assessing the health, intellectual and social developmental, cognitive, and language status of these children at school age. Funds may be used to promote the sharing of data regarding early hearing loss with other monitoring programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); N/A

Beneficiary Eligibility:

U.S. Territories; Profit organization; Private nonprofit institution/organization;

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Cost principles for state, local, and Indian tribal governments will be determined in accordance with OMB Circular A-87. Cost principles for educational institutions will be determined in accordance with OMB Circular A-21. Cost principles for nonprofit recipients will be determined in accordance with OMB Circular A-87. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services (OGS) is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

>180 Days. Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grant Services, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Progress Reports are submitted 90 days after the end of the budget period and covers budget period progress. Final Progress report which covers the project period is due 90 days after the end of the project period. Federal Financial Reports (FFR) is required 90 days after the end of each budget period. Semiannual or annual progress reports are required. Annual Progress reports and federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end

ASSISTANCE LISTINGS DESCRIPTIONS

of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Final federal financial reports and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Semiannual or annual progress reports are required. Annual Progress reports and Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Notice of Funding Opportunity. Expenditure reports are not applicable. Performance monitoring is not applicable..

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. 1 A-133 (Revised, June 27 2003), Audits of State, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$6,218,801.00; FY 21 est \$6,196,175.00; FY 22 est \$6,196,175.00; Cooperative Agreements FY 20 \$800,000.00; FY 21 est \$800,000.00; and FY 22 est \$800,000.00;

Range and Average of Financial Assistance:

FY 19 7,008,954; FY 20/21 range is dependent upon funding availability. Award amounts range is \$150,000

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Deidra E. Green,
1600 Clifton Rd, NE, MS-E87,
Atlanta GA 30333
Email: deg4@cdc.gov
Phone: 404-498-3034

Website Address:

<http://www.cdc.gov/ncbddd>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects

and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.315 Rare Disorders: Research, Surveillance, Health Promotion, and Education

Rare Disorders and Health Outcomes,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act Sections 301, 301(a), 311, and 317C (42 U.S.C. Sections 241, 241(a), 237b-4, 243, 247-4, and 247b-4, as amended.,

OBJECTIVES:

To (1) Promote public health capacity by conducting research to expand the knowledge base around people with complex disabling conditions, including muscular dystrophy, fragile X syndrome and spina bifida across the lifespan, with the goal of improving their health and quality of life; (2) apply lessons learned to design public health approaches for other childhood onset complex disabling conditions; (3) develop and disseminate information from surveillance and epidemiologic research, identifying intervention strategies for secondary conditions; (4) assess access to and costs associated with health care these conditions; (5) collaborate with partners in the public and private sector to support the development of standards of care and improve health care and related services for people with complex disabling conditions; (6) deliver technical assistance to public and private organizations, universities and local and state agencies on accessing factors affecting medical, functional, and psychosocial outcomes; (7) provide leadership in health education and promotion in people of all ages who have complex disabling conditions and their families; and (8) support partners in facilitating early identification of these diseases and adoption of effective interventions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, expand, build upon or enhance research, surveillance, health promotion, education, and prevention/intervention activities that contribute to the mission and activities of the Division of Human Development and Disability. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for rare disorders and health outcomes, including related health promotion, education and training, and prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations, state and local agencies, and public and private sector organizations in the United States. CDC will have substantial involvement with the award recipient during performance of the funded activity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; N/A

Beneficiary Eligibility:

U.S. Territories; Minority group; Small business; Private nonprofit institution/organization; Other private institution/organization; Native American

ASSISTANCE LISTINGS DESCRIPTIONS

Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Individuals/Families affected by rare disorder/conditions; Private nonprofit institution/organizations, minority populations including Spanish speaking populations.

Credentials/Documentation:

Applicants should review the individual CDC Notice of Funding Opportunity (NOFO) document issued under this program for any required proof or certification that must be submitted prior to, or simultaneous with, submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Yes All Notice of Funding Opportunity are published on www.grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide for this requirement through Grants.gov/Apply. Only the forms package directly attached to a specific Notice of Funding Opportunity (NOFO) can be used. Applicants will not be able to use any other SF424 (R&R) forms (e.g., sample forms, forms from another NOFO) although some of the "Attachment" files may be useable for more than one FOA. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Office of Grant Services, (770) 488-2700. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783. The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for State and local governmental agencies, and by 45 CFR 74 for nongovernmental applicants. This program is subject to the provisions of 45 CFR 92 for State and local governments and 45 CFR 74 for nonprofit organizations.

Award Procedure:

Applications will be reviewed for completeness by the CDC Office of Grant Services (OGS) and for responsiveness by the National Center for Birth Defects and Developmental Disabilities (NCBDDD) and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. Successful applicants will receive a Notice of Award (NOA) from the CDC Office of Grant Services. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. FOR RESEARCH GRANTS:

Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by NCBDDD in accordance with the review criteria stated below. All applications will: undergo a selection process in which only those applications deemed to have the highest scientific merit, generally the top half of applications under review, will be discussed and assigned a priority score; receive a written critique; receive a second level of review by the Board of Scientific Counselors. FOR NON-RESEARCH GRANTS/COOPERATIVE AGREEMENTS: Applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the grant or cooperative agreement. Measures of effectiveness must relate to the performance goals stated in the "Purpose" section of the announcement. Measures must be objective and quantitative and must measure the intended outcome. The measures of effectiveness must be submitted with the application and will be an element of evaluation. An objective review panel will evaluate complete and responsive applications according to the criteria listed. The objective review process will follow the policy requirements as stated in the GPD 2.04, <http://198.102.218.46/doc/gpd204.doc>.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

>180 Days. Throughout the project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by recipient (as documented in the required reports), and the determination that continued funding. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Assistance Award Closeout Requirements: Within 90 days after the last day of the final budget period the following final reports and other programmatic reports are required: 1) Final Progress Report to include a statement of progress made toward the achievement of originally stated aims, a description of results (positive or negative) considered significant, and a list of publications resulting from the project, with plans, if any, for further publication; 2) Federal Financial Report to include only those funds authorized and actually expended during the timeframe covered by the report; 3) Equipment Inventory Report, if no equipment is acquired under the award, a negative report is required; and 4) Final Invention Statement, if no inventions were conceived under this assistance award, a negative report is required. The FFR - Federal Cash Transaction Report, form SF272 is due quarterly directly to the DHHS Payment Management System. For more information on cash transaction reports, see the Division of Payment Management's Web site <http://www.dpm.psc.gov/>. Annual Performance Report: This report is due 120 days before the end of the budget period and serves as the continuation application each year. The Annual Progress Report (APR) is due 90 days after the end of the budget period. The APR must contain the Annual Performance Report and a Success Story. Additional reporting requires for the APR will be noted in the Notice of Award (NOA). The Annual Federal Final Report (FFR) is required and must be submitted through eRA commons 90 days after the end of each budget period. The FFR should only include funds authorized and actually expended during the timeframe covered by the report. If the FFR is not finalized by the due date, and interim FFR must be submitted and marked NOT FINAL, and an amount of unliquidated obligations should be annotated to reflect unpaid expenses. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$649,518.00; FY 21 est \$800,000.00; and FY 22 est \$800,000.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

FY20/21 range is dependent upon funding availability. Award Amounts range from \$20,530 to \$500,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b.

Guidelines are available in the application kit. The HHS Grants Policy Statement can be found online at:

<http://www.hhs.gov/asrt/og/grantinformation/hhsgrps107.pdf>

Regional or Local Office:

Headquarters Office:

Public Health Analyst Tiffany Neal,
1600 Clifton Road, NE, MS-E88,
Atlanta GA 30329-4027

Email: tmn0@cdc.gov

Phone: 404-498-3042

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Description: In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed. Other criteria will be listed in the individual funding opportunity announcement.

93.316 Public Health Preparedness and Response Science, Research, and Practice

Public Health Preparedness Science,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247b, Section 317(k)(2),.

OBJECTIVES:

The overall goal of this program is to conduct research and related public health preparedness and response (PHPR) program activities to build the scientific evidence base for public health preparedness, response, and recovery (PHPRR). Scientific research that addresses PHPR program priorities supports agency efforts to improve and enhance the nation's health security. This program is intended to support this research, dissemination of research findings and products, translation of new science-based evidence to practice in the public health preparedness and response system, and the evaluation of effective application of science for improved practice as well as other programs, projects, or activities to advance preparedness science.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds will be used to support public health preparedness and response science and research that may include: 1) conduct of applied interdisciplinary public health research designed to examine and strengthen the practices, functions, structure, capabilities, and/or performance of organizations involved in preparing for and responding to any and all potential public health threats and hazards; 2) develop, implement, and evaluate innovative strategies for disseminating and translating research findings, products, and knowledge for improving PHPRR systems, practices, and functions; 3) develop and execute proposals to implement evidence-based PHPRR practice interventions in conjunction with evaluation of the implementation and effectiveness of the intervention; 4) rapid response research that will inform ongoing response to and recovery from any disaster or public health emergency. Recipients may only expend funds for reasonable public health preparedness, response, and recovery research, evaluation, dissemination, and translation activities, and program purposes, including personnel, travel, supplies, and services, such as contractual arrangements with practice partners, research partners, or consultants.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants may include public and private nonprofit and for profit organizations and governments and their agencies, such as: public nonprofit organizations private nonprofit organizations, for-profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, state and local governments or their bona fide agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), political subdivisions of states (in consultation with States)

Beneficiary Eligibility:

Federal; U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Health Professional; Education Professional; Scientist/Researchers; State; Local; Sponsored organization; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Eligible beneficiaries may include public and private nonprofit and for profit organizations and governments and their agencies, such as: public nonprofit organizations private nonprofit organizations, for-profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, state and local governments or their bona fide agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), political subdivisions of states (in consultation with states).

Credentials/Documentation:

Applicants should review the individual Centers for Disease Control and Prevention (CDC) funding opportunity announcement issued under this CFDA program for any proof of certifications which must be submitted prior to or simultaneous with submission of an application package. Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures (where applicable) and

ASSISTANCE LISTINGS DESCRIPTIONS

provide a budget justification of funds requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Funding Opportunity Announcements for this program are posted on www.Grants.gov, the official federal agency wide e-grant Web site. Application forms and instructions specific to each announcement are posted at that site. Organizations must submit applications via [Grants.gov](http://www.grants.gov) (<http://www.grants.gov/>), the online portal to find and apply for grants across all Federal agencies. Applications must have a Dun and Bradstreet (D&B) Data Universal Numbering System number as the universal identifier when applying for Federal grants or cooperative agreements. The D&B number can be obtained by calling (866) 705-5711 or through the web site at <http://www.dnb.com/us/>.

Award Procedure:

After review and approval of an application, as outlined in the FOA, successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 1 to 5 years with 12-month budget periods, as outlined in the FOA. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), the determination that continued funding is in the best interest of the Federal Government, and any other specific considerations noted in the FOA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is provided for 1 to 5 years with 12-month budget periods, subject to availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Equipment inventory (three months after end of project); invention statement (annual) and reprints and copies of resulting publications. Federal Financial Report cash transaction reports (FFR SF-425) must be filed in the Payment Management System (PMS) within 30 days of the end of each quarter. Interim progress report (annual), annual progress report, and final performance report (three months after end of project). Financial status reports (annual), no later than 90 days after the end of each specified period. Site visits performed as needed/required. Bi-Annual and Annual.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 None.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until

completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

75-0956.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

Headquarters Office:

Resource Management Van A. King,
1600 Clifton RoadMS D-29,
Atlanta GA 30029-4018
Email: vbk5@cdc.gov
Phone: 4046395276

Website Address:

<http://www.cdc.gov/phpr/science/research.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention being given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as the significance for and relevance to preparedness practice, innovation, generalizability, and scientific merit of the overall application relative to the types of research, evaluations, demonstrations, assessments, and special projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed activities; (3) feasibility and likelihood of producing meaningful results from the activities proposed, analytic approach, and evaluation procedures; (4) overall match between the proposed programs and the nation's health security priorities and needs; and (5) reasonableness of the proposed budget in relation to the work proposed.

93.317 Emerging Infections Programs

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act Sections 301(a)[42 U.S.C. 241(a)], 317(k)(1)[42 U.S.C. 247b(k)(1)], and 317(k)(2)[42 U.S.C. 247b(k)(2)], as amended.,

OBJECTIVES:

The purpose of the Emerging Infections Programs (EIP) is to assist in local, state, and national efforts to prevent, control, and monitor the public health impact of infectious diseases. The EIP cooperative agreement was formed in 1995 as a key component of CDC's national strategy to address and reduce emerging infectious disease (EID) threats. The EIP is a population-based network of state health agencies and their collaborators, including (but not limited to) academic institutions, local health departments, public health and clinical laboratories, infection control professionals, and healthcare providers. The network plays a critical role in conducting surveillance and applied epidemiologic and laboratory

ASSISTANCE LISTINGS DESCRIPTIONS

research, implementing and evaluating prevention and intervention projects, and responding quickly to new infectious disease issues. The unique strength of the EIP lies in the networks ability to quickly translate surveillance and research activities into informed policy and public health practice.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Funding under this program will support activities that fall within the following general activities:

(1) active surveillance; (2) applied public health epidemiologic and laboratory activities; (3) implementation and evaluation of pilot prevention/intervention projects; and (4) flexible response to public health emergencies. Activities: (1) address issues that the EIP network is particularly suited to investigate; (2) maintain sufficient flexibility for emergency response and new problems as they arise; (3) develop and evaluate public health interventions to inform public health policy and treatment guidelines; (4) incorporate training as a key function; and (5) prioritize projects that lead directly to the prevention of disease (<http://www.link.cdc.gov/ncezid/dpei/eip>).

Applicant Eligibility:

State; Eligibility is limited to State governments (specifically, state health departments) or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). The EIP infrastructure depends on a direct relationship with public health agencies that have sufficient legal authority and responsibility to perform public health surveillance and response activities. The network must also consist of definitive populations large enough to adequately determine disease burden, evaluate large scale interventions, and impact public health policy decisions.

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; State; Local; Beneficiaries of this program include State and local health departments, the District of Columbia, U.S. Territories, and the general public.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. none

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If access to the Internet is not available or if the applicant encounters difficulty in accessing the forms on-line, contact the HHS/CDC Office of Grants Services Technical Information Management Section (OGS TIMS) staff at (770) 488-2700 for further instruction. CDC Telecommunications for the hearing impaired or disabled is available at: TTY 1-888-232-6348. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit by an appropriate Special Emphasis Panel (SEP) in accordance with CDC SEP review policies and procedures. CDC will not review incomplete and non-responsive applications. Complete and responsive applications will undergo a SEP review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Office of Grants Services. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be

signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. Once competing applicants have been selected by the SEP review process for the first year of funding in the project period, those selected will be eligible to submit annual non-competitive continuation applications for each successive budget period of the project period.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approval/disapproval information is typically provided to applicants within 90 to 120 days of the application due date.

Appeals:

Not Applicable.

Renewals:

Other. Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via publishing of a new/continuation NOFO with a complete application and review/award process as described above. Annual renewals within an awarded multi-year project period are made via a non-competitive process and are subject to the availability of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within a 1- to 5-year project period Method of awarding/releasing assistance: lump sum.

Reports:

No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). The reporting schedule will be based on the requirements listed in the EIP Notice of Funding Opportunity. Funded applicants must provide CDC with an Annual Progress Report. Additionally, funded applicants must provide CDC with a Federal Financial Report (SF 425) no more than 90 days after the end of each budget period, and a final Performance and Federal Financial Report no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist indicated in the NoA. Additional details regarding the reporting requirements for this program are included in the published Notice of Funding Opportunity. Federal Financial Reports (SF 425) are due no more than 90 days after the end of the budget period. Federal Financial Reports and Progress Reports are required per the reporting schedule listed in the EIP Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be retained for a minimum of 3 years after the end of a budget period, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0949-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$73,122,950.00; FY 21 est \$60,179,667.00; FY 22 est \$60,000,000.00;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Under this CFDA: awards typically range between approx. \$4M to 8M annually, with an average award of \$6M.

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 10 applications were received and funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the specific Notice of Funding Opportunity and identified on the notices of award. Regulations are also published under 42 CFR 55b. Guidelines are available under 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/lhspolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Public Health Analyst Rainy Henry,

1600 Clifton Road NE, MS-C18,

Atlanta GA 30329

Email: roa3@cdc.gov

Phone: 404-639-6146

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; 93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 Activities for this program fall into the following general categories: (1) active surveillance; (2) applied public health epidemiologic and laboratory activities; (3) implementation and evaluation of pilot prevention/intervention projects; and (4) flexible response to public health emergencies. Activities: (1) address issues that the EIP network is particularly suited to investigate; (2) maintain sufficient flexibility for emergency response and new problems as they arise; (3) develop and evaluate public health interventions to inform public health policy and treatment guidelines; (4) incorporate training as a key function; and (5) prioritize projects that lead directly to the prevention of disease (<http://www.link.cdc.gov/ncezid/dpei/eip>). Activities include conducting active, population-based surveillance and special studies for invasive bacterial diseases, foodborne pathogens, healthcare associated infections (HAIs), influenza, and many other infectious diseases.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against specific Evaluation Criteria published in the Notice of Funding Opportunity for this program. Evaluation Criteria in the most recently published NOFO include: (1) capacity to perform core functions of an EIP, (2) proposed operational plan for carrying out the specific objectives of the program, and (3) measures of effectiveness.

93.318 Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security

Global Health,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act sections 301(a) and 307, as amended, 42 U.S.C. 241 and 242l.

OBJECTIVES:

CDCs global health efforts are to assist Ministries of Health and other international

partners to plan, manage effectively, and evaluate public health programs; achieve U.S. Government program and international organization goals to improve health, including disease eradication and elimination targets; expand CDCs global health programs that focus on the leading causes of worldwide mortality, morbidity and disability, including chronic disease and injuries; generate and apply new knowledge to achieve health goals; and, strengthen health systems and their impact.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Support the implementation of programs and activities focusing on protecting and improving health globally through regional, national, and local partnerships to develop, implement and strengthen public health surveillance, health information systems (including monitoring and evaluation), public health laboratory capacity, workforce development, as well as systems and capacities for the prevention, detection, response, and control of infectious and non-communicable diseases, injury prevention efforts and public health emergencies. Support must also be aimed at building upon existing public health infrastructure focusing on country priorities that are of mutual interest to CDC in order to strengthen regional and national partnerships, public health capacity, leadership, strategic collaboration and integrated health programming through national ministries of health, national public health networks and inter-sectorial co-operation and collaborations with other health organizations, non-governmental health organizations, academic institutions and community groups as appropriate to accomplish program activities. In addition, projects must also consider monitoring and evaluation of overall program performance and the progress of projects or activities.

Applicant Eligibility:

Government - General; Federal; Interstate; U.S. Territories and possessions; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligibility also extends to foreign applicants. Dependent on the Notice of Funding Opportunity (NOFO), eligibility may range from open, competitive, limited, or single eligibility in accordance with authorizing legislation.

Beneficiary Eligibility:

Federal; Interstate; State; Local; This will benefit individuals worldwide, including in the U.S., through collaborations with national Ministries of Health and other organizations/institutions. This is only for non-research activities supported by CDC/ATSDR. For the definition of research, please see <http://www.cdc.gov/od/science/quality/resources>.

Credentials/Documentation:

Applicants should document the need for assistance, state objectives of the project, address the background, approach strategies and evaluation methods of the project/program, and provide a budget with justification of funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Eligible applicants will be reviewed for completeness by CDC'S Office of Grant Services (OGS) staff. In addition, eligible applicants will be jointly reviewed for responsiveness by CDC and OGS. Incomplete applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

From 60 to 90 days. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12 month budget period, within five-year project period. As specified in the Notice of Award: Lump sum upon completing program activities. Method of awarding/releasing assistance: lump sum.

Reports:

Per Funding Opportunity Announcement Part II: Reporting - No cash reports are required. Awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. The Annual Performance Report (due 120 days before the end of the budget period and serves as continuation application.) Preapproved budget tracking and reconciliation as part of Progress Report. Performance Measures (including outcomes) - Awardee must report on performance measures for each budget period and update measures, if needed. Performance Measure Reporting: Awardee is required to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year on Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not Data Available.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$290,702,169.00; FY 21 est \$218,776,265.00; FY 22 est \$152,108,523.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0955.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Yvette Valentine,
1600 Clifton Rd. NE Mail stop H21-9,
Atlanta GA 30332
Email: ybt0@cdc.gov
Phone: 404-639-4469
Fax: Carla (Casey) Boudreau,
1600 Clifton Rd. NE Mail stop H21-9,
Atlanta GA 30333
Email: znc5@cdc.gov
Phone: 404-639-8404
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.319 Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas

High Risk Obesity,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k),

OBJECTIVES:

The purpose of this project is to assist Land-Grant institutions to conduct pilot programs through existing extension and outreach services to enhance and expand efforts to combat the prevalence of obesity in areas where the problem is worst, particularly rural areas.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Increase capacity of local outreach services to include new or enhanced services related to nutrition and physical activity that promote, support, facilitate and implement strategies to improve access and opportunities to achieve optimal physical, social, and emotional well-being to reduce the prevalence of obesity and affect long term changes in the rates of obesity in rural settings.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); (1) Must conduct activities in eligible counties (defined as having an obesity prevalence of 40%+ (based on 20062008 data from BRFSS, CDC County-Level Map at <http://www.cdc.gov/diabetes/statistics/>), and (2) Must use existing local extensions and outreach services to accomplish project

Beneficiary Eligibility:

Individual/Family; Rural; Individual/Family (residents) in local counties/rural areas will benefit from this assistance through increased access and opportunities to healthy, affordable foods and beverages, safe and convenient places for physical activity and breastfeeding duration and support,

Credentials/Documentation:

Documentation must be provided as follows: (1) List of counties applicant proposes to work in and % obesity prevalence, (2) List of extensions and outreach services and year established, (3) Letters of support from local extensions This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submit Annual Progress Reports through www.Grants.gov. If you encounter any difficulties submitting your interim progress report through www.Grants.gov, please contact CDC's Technical Information Management Section at (770) 488-2700 prior to the submission deadline. If further information is needed regarding the application process, please contact the CDC Procurement and Grants Office at (770) 488-2710 located at 2920 Brandywine Road, Atlanta, GA 30341

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. September 30, 2014 September 29, 2017

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. 180 Days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 3 years with 12 month budget periods

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five Year Project Period Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of each budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, CDC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. Awardees are required to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting is limited to data collection. When funding is awarded initially, CDC programs will specify required reporting frequency, data fields, and format.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such

as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Project Grants FY 20 \$10,865,919.00; FY 21 est \$10,762,493.00; FY 22 est \$10,762,493.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Parts 215 and 220 Cost Principles for Educational Institutions (OMB Circular A21)

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE, NCCDPHP,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855

Website Address:

No Data Available.

RELATED PROGRAMS:

93.548 The Patient Protection and Affordable Care Act of 2020 (2010) Nutrition, Physical Activity and Obesity Program ; 93.742 PPHF 2012: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by 2012 Public Prevention and Health Funds;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA. There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.321 Dietary Supplement Research Program

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

NIH Revitalization Act of 1993, , Statute 22, Public Law 107-103-43, USC Code 289(A)(1).

OBJECTIVES:

The NIH Office of Dietary Supplements will identify projects that should be conducted or supported by national research institutes; identify multi-disciplinary research related to dietary supplements that should be conducted or supported; and promote coordination and collaboration among entities conducting research.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

To support research and other projects that strengthen knowledge and understanding of dietary supplements and translation of that knowledge into effective prevention strategies.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Awards can be made to domestic, public or private, non-profit or profit organization, institution of higher learning, university, hospital, laboratory, or other institution including state and local units of government and tribal entities. Some initiatives will accept applications from foreign organizations. Additional details on eligibility will be specified in the funding opportunity announcement

Beneficiary Eligibility:

Federal; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; State; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Institutions as described above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Competitive peer reviewed applications are reviewed for scientific and technical merit by a scientific review group; and for program relevance by a national advisory council/board. Administrative supplements are reviewed for merit and relevance by NIH scientific staff.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of his/her application by communication with the NIH scientific/research contact as provided in the initiative.

Renewals:

Not Applicable. Administrative supplements are not renewable. Other programs may be renewable and applicants must review the funding opportunity announcement for further guidance

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year. Applicants should refer to the specific funding opportunity announcement for further detail. Method of awarding/releasing assistance: lump sum.

Reports:

Applicants must submit annual progress reports and a final closeout progress report. A final invention statement and Certification is also required at the end of

the project. SF425 cash reports must be submitted on a quarterly basis Applicants must submit annual progress reports and a final closeout progress report. A final SF425 expenditure report is required at the end of the project. Progress reports will be monitored annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees must generally retain financial and programmatic records, supporting documents, statistical reports and all other records that are required by the terms of a grant or may reasonably be considered pertinent to a grant for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR is submitted. Those grantees must maintain the records pertinent to the entire competitive segment for 3 years from the date of submission of the annual FSR to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of the annual FSR to NIH. Office of Dietary Supplements will adhere to the requirements specified in 45 CFR 75, specifically: 75.361 - Retention requirements for records. 75.362 - Requests for transfer or records. 75.363 - Methods for collection, transmission and storage of information. 75.364 - Access to records. 75.365 - Restrictions on public access to records.

Account Identification:

75-4084-6-0-000.

Obligations:

Project Grants FY 20 \$9,175,423.00; FY 21 est \$9,382,623.00; FY 22 est \$9,382,623.00;

Range and Average of Financial Assistance:

The maximum funding under future program announcements is \$100,000 for any single award per year.

TAFS Codes:

75-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Office of Dietary Supplements

Headquarters Office:

Director of Grants and Extramural Activities Cindy D. Davis,

6100 Executive Blvd, Suite 3B01,

Rockville MD 20852

Email: davisoci@mail.nih.gov

Phone: 301-496-0168

Website Address:

<http://ods.od.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.322 CSELS Partnership: Strengthening Public Health Laboratories

Laboratory Leadership, Workforce Training and Management Development,

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 317(k)(2), 42 U.S.C 247b(k)(2) as amended,

OBJECTIVES:

The activities under this cooperative agreement are aligned with the following Healthy People 2020 overarching objectives: Global Health, Environmental Health, Food Safety, Immunizations and Infectious Diseases, Sexually Transmitted Diseases, HIV, Health Communication and Health Information Technology, Preparedness, Public Health Infrastructure, and Occupational Safety and Health. The major objective is to enhance and strengthen the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories. These areas include the following: improving public health laboratory infrastructure, increasing the capacity through workforce development, promoting quality laboratory practices, expanding and improving health security, promoting and supporting informatics, and enhancing communication linkages.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting public health laboratory infrastructure programs, and for the implementation of other program elements as described in Section 050 above. The purpose of the program is to enhance the work of public health laboratories in the U.S. and abroad. The program aims to promote quality public health laboratory practice, improve public health laboratory infrastructure, strengthen the public health laboratory system, and to develop a well-trained public health laboratory workforce in the U.S. and globally. It also aims to ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and enhance communication linkages between state and local public health laboratories and the clinical laboratory testing community. Improvement of public health laboratory infrastructure, state-of-the-art training for the nation's laboratorians to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications and provide programmatic and technical support to other focus areas including policy, emergency preparedness, global health, informatics and professional development.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Quasi-public nonprofit institution/organization; State; Anyone/general public; Applicants must have experience with enhancing and strengthening the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories.

Beneficiary Eligibility:

Public nonprofit institution/organization; Applicants must have experience with enhancing and strengthening the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories. The nation's public is the ultimate recipient of benefits from this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48

CFR 31. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A letter of intent is required for this funding opportunity agreement. To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: 770-488-2700. Application forms can be mailed to you.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: other

Reports:

Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Performance monitoring is applicable with substantial involvement from CDC. Quarterly expenditure projections are required, no more than 30 days after the end of each quarter. Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Performance monitoring is applicable with substantial involvement from CDC. Quarterly expenditure projections are required, no more than 30 days after the end of each quarter. Annual or semiannual progress reports are required. Financial status reports are required no later than 30 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Performance monitoring is applicable with substantial involvement from CDC. Quarterly expenditure projections are required, no more than 30 days after the end of each quarter. Annual or semiannual progress reports are required. Financial status reports are required no later than 30 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Financial status

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reports are required no later than 90 days after the end of each budget period. Performance monitoring is not applicable. Quarterly expenditure reports required, no more than 30 days after the end of each quarter. CDC will provide guidance on performance reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved. Financial and personnel records including financial awards, financial status reports, interim reports and final reports must be maintained for a period of 7 years.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$63,705,030.00; FY 21 est \$49,999,999.00; and FY 22 est \$24,000,000.00;

Range and Average of Financial Assistance:

Subject to avail funds. Approximate Average Award: \$27 MFloor Award Amount: \$7M Ceiling Award Amount: \$30 Anticipated Award Date: July 1, 2015 Budget Period Length: 12 months Project Period Length: 5 years

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

See Regional Assistance Locations. For program technical assistance, contact: Shuena Ann Smith, Project Officer Department of Health and Human Services Centers for Disease Control and Prevention Center for Surveillance, Epidemiology and Laboratory Services Division of Laboratory Systems 2400 Century Parkway NE Atlanta, GA 30345 Mailstop TV-2 Telephone: 404-639-3008 E-mail: sfs5@cdc.gov For financial, grants management, or budget assistance, contact: Lisa DeBouse, Grants Management Specialist Department of Health and Human Services CDC Procurement and Grants Office 2939 Brandywine Road Atlanta, GA 30341 Mailstop TV-2 Telephone: 770-488-3198 E-Mail: wzn5@cdc.gov

Headquarters Office:

Brittany Dunigan-Willis,
2400 Century Center Blvd,
Atlanta GA 30345
Email: nfv9@cdc.gov
Phone: 4044980824
Fax: CGH Extramural Team Lead Caroline Gardner,
1600 Clifton Rd, MSE29,
Atlanta GA 30329
Email: ctg8@cdc.gov
Phone: 4046394276
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria. The budget is not scored.

93.323 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC)

ELC,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act Sections 301(a)[42 U.S.C. 241(a)] and 317(k)(2)[42 U.S.C. 247b(k)(2)], , Prevention and Public Health Fund, Title Patient Protection and Affordable Care Act, Part 11-148, Section Title IV, Section 4002

OBJECTIVES:

The purpose of this program is to protect the public health and safety of the American people by enhancing the capacity of public health agencies to effectively detect, respond, prevent and control known and emerging (or re-emerging) infectious diseases. This is accomplished by providing financial and technical resources to (1) strengthen epidemiologic capacity; (2) enhance laboratory capacity; (3) improve health information systems; and (4) enhance collaboration among epidemiology, laboratory, and information systems components of public health departments.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial and technical resources are intended to be utilized for non-research activities that (1) strengthen epidemiologic capacity; (2) enhance laboratory capacity; (3) improve information systems; and (4) enhance collaboration among epidemiology, laboratory, and information systems components of public health departments. Activities may include those that enable a public health organization to establish and maintain a capable and qualified workforce, achieve modern and well-equipped public health laboratories, implement up-to-date health information systems, and institute systems that foster communication and appropriate integration across epidemiology, laboratory, and health information systems. Federal Financial Assistance (FA) and Direct Assistance (DA) are authorized.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; Eligible applicants consist of state, local, and U.S. territory/possession governments previously funded under CDC-RFA-CK14-1401. Specifically, these include: all 50 states, Washington, D.C., 5 largest local health departments (Chicago, Illinois; Houston, Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania;), the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau or their bona fide agents.

Beneficiary Eligibility:

U.S. Territories; State; Local; Direct beneficiaries include all 50 states, Washington, D.C., 5 largest local health departments (Chicago, Illinois; Houston,

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Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania; the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau or their bona fide agents.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Only current recipients under CK19-1904 are eligible to apply.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Office of Grants Services (OGS). The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized OGS Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals of funding will be determined on availability of funding and response to continuation application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project period up to five years subject to availability of funds and satisfactory continuation application from the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Interim annual Federal Financial Reports (FFR) are required at time of submitting application for continuation funding. Final annual FFRs are required 90 days after the end of each budget period. Additionally, 90 days after the end of the 5-year period of performance, final FFRs are required for closeout. Cash reports are not applicable. Quarterly expenditure reports required, no more than 30 days after the end of each quarter. These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VII below entitled Agency Contacts. No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). The reporting schedule will be based on the requirements listed in the ELC funding opportunity announcements. Description Funded applicants must provide an

interim and annual progress report. Federal Financial Reports (SF 425) and Final Progress Report are due no more than 90 days after the end of the budget period. All reports must be submitted to the Grants Management Specialist indicated in the NoA. Other reporting requirements may be specific to the sub-component listed in the announcement-- see Agency for details. Federal Financial Reports (SF 425) are due no more than 90 days after the end of the budget period. Federal Financial Reports and Progress Reports are required, no more than 90 days after the end of the budget/project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

56-2721-1-1-101.

Obligations:

Cooperative Agreements FY 20 (\$1,662,005,140.00); FY 21 est (\$611,818,913.00); FY 22 est \$235,000,000.00;

Range and Average of Financial Assistance:

Financial assistance under the ELC Cooperative Agreement (CK19-1904) is awarded through four (4) programs and twenty (20) projects. All program/project awards are subject to availability of funds.

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 45, basic grant administration policies of DHHS and PHS are also applicable, 46 CFR 74 and 45 CFR 92, PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000 (Rev.) April 1, 1994

Regional or Local Office:

See Regional Assistance Locations. Jason Snow, PhD - ELC Program Manager Scientific Programs & Development Branch (SPDB) Division of Preparedness and Emerging Infections (DPEI) National Center for Emerging and Zoonotic Diseases (NCEZID) Centers for Disease Control and Prevention (CDC) Department of Health and Human Services (HHS) 1600 Clifton Road, NE, Mailstop H24-11, Atlanta Georgia 30329 Email: JNSnow@cdc.gov Phone: (404) 639-4577 For financial, grants management, or budget assistance, contact: Grants Management Specialist Department of Health and Human Services CDC Procurement and Grants Office 2920 Brandywine Road, MS K70 Atlanta, GA 30341

Headquarters Office:

Jason Snow,
Scientific Programs & Development Branch (SPDB) Division of Preparedness and Emerging Infections (DPEI) National Center for Emerging and Zoonotic Infectious Diseases (NCEZID) Centers for Disease Control and Prevention (CDC) 1600 Clifton, Building 24, Office 11103 Mailstop H24-11 Atlanta, GA 30329, Atlanta GA 30329
Email: itk0@cdc.gov
Phone: 4046394577
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that includes quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcements.

93.324 State Health Insurance Assistance Program

SHIP.

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act of 2014 (Public Law 113-76) Section 4360 of Public Law 101-508 (42 USC 1995b-4)42 CFR Ch. IV Subpart E Beneficiary Counseling and Assistance Grants, Public Law 113-76., , Public Law 113-76

OBJECTIVES:

To provide information, counseling, and assistance relating to obtaining adequate and appropriate health insurance coverage to individuals eligible to receive benefits under the Medicare program.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

A State shall submit a plan for a State-wide SHIP program. Such program shall A)Establish or improve upon a health insurance information, counseling, and assistance program that provides counseling and assistance to eligible individuals in need of health insurance information, including: i)Information that may assist individuals in obtaining benefits and filing claims under titles XVIII and XIX of the Social Security Act; ii)Policy comparison information for Medicare supplemental policies (as described in section 1882(g)(1) of the Social Security Act) and information that may assist individuals in filing claims under Medicare supplemental policies;iii)Information regarding long-term care insurance; andiv)Information regarding other types of health insurance benefits that the Secretary determines to be appropriate; B)In conjunction with the health insurance information, counseling, and assistance program described in subparagraph (A), establish a system of referral to appropriate Federal or State departments or agencies for assistance with problems related to health insurance coverage (including legal problems), as determined by the Secretary; C)Provide for a sufficient number of staff positions (including volunteer positions) necessary to provide the services of the health insurance information, counseling, and assistance program; D)Provide assurances that staff members (including volunteer staff members) of the health insurance information, counseling, and assistance program have no conflict of interest in providing the counseling described in subparagraph (A); E)Provide for the collection and dissemination of timely and accurate health care information to staff members;F)Provide for training programs for staff members (including volunteer staff members);G)Provide for the coordination of the exchange of health insurance information between the staff of departments and agencies of the State government and the staff of the health insurance information, counseling, and assistance program; H)Make recommendations concerning consumer issues and complaints related to the provision of health care to agencies and departments of the State government and the Federal Government responsible for providing or regulating health insurance; I)Establish an outreach program to provide the health insurance information and counseling described in subparagraph (A) and the referrals described in subparagraph (B) to eligible individuals; and J)Demonstrate to the satisfaction of the Secretary, an ability to provide the counseling and assistance required under this section.

Applicant Eligibility:

U.S. Territories and possessions; State; Grants or cooperative agreements may be made to States and U.S. Territories with approved State regulatory programs under section 1882 of the Social Security Act.

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Health Professional; U.S. Citizen; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Senior Citizen (60+); Individuals eligible for Medicare benefits, including Part D drug benefits, and older persons eligible for benefits and services provided under Medicare, their families, and caregivers.

Credentials/Documentation:

Applicants must submit a signed commitment from the State to carry out the health insurance information, counseling, and assistance program as described in the funding announcement.Applicants should present written evidence of other agencies willingness to cooperate when the project involves collaborative efforts of the utilization of non-ACL facilities or services. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Application forms are submitted through a centralized depository and received by the awarding agency Administration for Community Living. Applicants generally apply under a program announcement indicating all the necessary application procedures to be followed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. No formal appeals procedures. If an application is disapproved, the reasons for the disapproval will be fully stated. In the case of solicited proposals, extensions may be allowed to prepare revisions which clarify various aspects of projects.

Renewals:

Other. If a grant/cooperative agreement application is recommended for approval for 2 or more years, the awarded may be requested to annually submit a formal request for continuation accompanied by progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

Statutory Formula: Title 42 CFR, Chapter IV, Part , Subpart E,Public Law 403.505.Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12 month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: letter

Reports:

The reporting cycle will be reflected in the Notice of Award. The ACL program progress report is due semi-annually from the start date of the award and is due within 30 days of the reporting period end date. The suggested content and format for these reports will be available to the grantees during post award administration. A State that receives a SHIP grant shall, not later than 180 days after receiving such grant, and annually thereafter during the period of the grant, issue a report to the Secretary that includes information concerning 1)The number of individuals served by the SHIP of such State;2)An estimate of the amount of funds saved by the State, and by eligible individuals in the State, in the implementation of such program; and3)The problems that eligible individuals in such State encounter in procuring adequate and appropriate health care coverage. Grantees are required to submit quarterly cash transaction reports (SF-425) to the Division of Payment Management. Semi-annual progress reports will be required. SF-425 Federal

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financial report will be required to report on expenditures. A final report will be due 90 days after the project period has ended. Interim reports for each budget period will be due as denoted in the award terms and conditions. Grantees will be expected to develop a quality assurance plan including performance indicators and a process for ongoing performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years from the submission date of the final FFR document. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0142-0-1-506.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$52,115,000.00; FY 21 est \$52,115,000.00; FY 22 est \$55,200,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with statutory requirements and policies outlined in the Health and Human Grants Policy statement.

Regional or Local Office:

None. Margaret FlowersMargaret.Flowers@acl.hhs.gov202-795-7315

Headquarters Office:

Project Officer Margaret Flowers,
330 C Street SW,
Washington DC 20201
Email: Margaret.Flowers@acl.hhs.gov
Phone: 202-795-7315

Website Address:

<http://www.acl.hhs.gov>

RELATED PROGRAMS:

93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations; 93.071 Medicare Enrollment Assistance Program; 93.626 Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The review process for grants/cooperative agreements consists of initially screening applications for completeness and relevancy to ACL priority areas. If the application is not relevant to ACL priority areas, it will be returned to the applicant. If accepted as submitted it will be reviewed and evaluated. The review will be conducted by a panel of not less than three experts. ACL Project officer or designee will coordinate the panels review, but will not vote. This individual or supervisor will also prepare the panels recommendation to the ACL Administrator.

The panels recommendations will contain numerical ratings, rankings of applications, and a written assessment of each application. The recommendations will be based on published criteria as stated in the program announcement. The review process is also stated in the program announcement.

93.325 Paralysis Resource Center

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title 42, Part 241(a), Section 301(a), Public Law USC-42 U.S.C. 247(b).

OBJECTIVES:

The Paralysis Resource Center (PRC) grant is awarded to the Christopher & Dana Reeve Foundation. The mission of the Christopher & Dana Reeve Foundation in carrying out the PRC activities aligns well with ACL's mission and provides important new programmatic opportunities for persons with disabilities and older adults. The PRC provides comprehensive information for people living with spinal cord injury, paralysis and mobility-related disabilities and their families. Resources include information and referral by phone and email in multiple languages including English and Spanish; a peer and family support mentoring program; a military and veterans program; multicultural outreach services; free lending library; quality of life grants; and a national website. The mission of the National Limb Loss Resource Center, operated by the Amputee Coalition is to reach out to and empower people affected by limb loss to achieve their full potential through education, support, advocacy, and the promotion of limb loss prevention. The National Limb Loss Resource Center programs will use both traditional and innovative approaches to educate and inform people with limb loss, their family members, health care providers, policy makers, community members, and the general public about limb loss and limb difference. The Limb Loss Program will continue existing activities and programs that promote health, wellness and the adoption of healthy behaviors with the objective of preventing and/or reducing chronic conditions associated with limb loss.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Financial assistance has been awarded to operate and expand a national resource center for persons with paralysis, persons living with limb loss, their families, and their caregivers. Financial assistance has been awarded to conduct activities to improve the health and wellness of people with paralysis and limb loss intellectual disabilities. In these cases, project funds may not be used to supplant State, local, or institutional funds available for these activities, or for construction costs, or to purchase facilities or space. Grantees may enter into contracts as necessary to help achieve the objectives of their respective programs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants will include public and private nonprofit entities, including universities, university-affiliated systems, not-for-profit medical centers, research institutions and rehabilitation hospitals, disability service groups such as advocacy and voluntary organizations, and independent living centers, and federally recognized Indian Tribal Governments.

Beneficiary Eligibility:

Individual/Family; In addition to the eligible applicants, other groups who will receive benefits from the programs include persons individuals living with disabilities paralysis and family members of persons with disabilities, persons individuals living with limb loss, minority populations, refugees, infants, children, youth, adults, senior citizens, women, all educational levels, all income levels, urban, suburban, and rural populations, health/ rehabilitation professionals, scientists, educators, and researchers.

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Credentials/Documentation:

Proof of nonprofit status. Compliance with statutes, regulations, and approval of State agency and ACL office. Costs and administrative procedures will be determined in accordance with Parts 75 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Application forms are submitted through a centralized depository and received by the awarding agency Administration for Community Living. Applicants generally apply under a program announcement indicating all the necessary application procedures to be followed. State disability and health cooperative agreement competitive awards are determined by an objective review committee process at ACL. At such times, awards will be based on evaluation criteria set forth in the respective Program Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by ACL. Awards for disability and health projects will be issued for expected project periods of 3-5 years. The Notices of Grant Award will indicate financial support for the first budget year, allocations of Federal funds by budget category, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Range is 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Notice of Award will indicate terms and conditions. Method of awarding/releasing assistance: letter

Reports:

Progress reports are required and due dates are described in the notice of award terms and conditions. Federal financial reports are required no later than 30 days after the end of each specified budget period. Final financial status report and final program report are required 90 days after the end of the project. Grantees will be required to complete the Federal Cash transaction portion of the SF425, Federal Financial Reports as well as entering data into the payment management system. Progress reports are required and as described in the terms and conditions of the award. Expenditure reports are required for each budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal

awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with HHS Grants Policy Statement requirements.

Account Identification:

75-0142-0-1-506.

Obligations:

Project Grants FY 20 \$9,700,000.00; FY 21 est \$9,700,000.00; FY 22 est \$10,185,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Elizabeth Leef Administration for Community Living - Independent Living Administration 330 C Street SW, Washington, District of Columbia 20201 Email: Elizabeth.leef@acl.hhs.gov(202) 475-2482

Headquarters Office:

Ophelia McLain,
330 C Street, SW,
Washington DC 20201
Email: Ophelia.McLain@acl.hhs.gov
Phone: 202-795-7401

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.184 Disabilities Prevention;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must address demonstrated program need, the magnitude of the problem, the management work plan, collaborative associations, and the approach toward setting and meeting overall project objectives and time frames. These future competitive applications would also be evaluated on the capacity of the applicant to demonstrate effective collaborations with other agencies and data sources critical to preventing secondary conditions, identifying and addressing health promotion needs for persons with disabilities, denoting the capability of the project to address minority and low-income populations in the prevention of secondary conditions, promoting accessibility to all program services for persons with disabilities, and offering sound proposals toward development of surveys and surveillance for useful data bases within outlined targeted disability activities.

93.326 Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention

Global Health Research,
FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

42 U.S.C. Sec. 241, 242I,

OBJECTIVES:

CDCs global health efforts are to assist Ministries of Health and other international partners to plan, effectively manage and conduct public health research in the intent of public health protection; achieve US Government program and international organization goals to improve health; including surveillance, intervention and prevention in global health programs that focus on leading causes of global health security, mortality, morbidity and disability including infectious diseases including bacterial, viral and parasitic diseases, non-communicable diseases, injury and violence, environmental health, generate and apply new knowledge to achieve public health goals and strengthen public health systems and capacity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications will be externally peer reviewed and evaluated based on the evidence submitted which specifically describes the applicant's abilities to meet the following criteria: (1) the plans to develop and implement the study describing how study participants will be identified, enrolled, tested and followed; (2) the ability to enroll and follow an adequate number of eligible study participants to assure proper conduct of the study. This includes both demonstration of the availability potential study participants and the experience of the investigator in enrolling and following such persons; (3) the applicant's current activities in research, intervention and how they will be applied to achieving the objectives of the study; letters of support from cooperating organizations that demonstrate that nature and extent of such cooperation should be included; (4) the applicant's understanding of the research study objectives and, their ability, willingness and/or need to collaborate with CDC and researchers from other study sites in study design and analysis, including use of common forms, and sharing of specimens and data; (5) the plan to protect the rights and confidentiality of all participants and ensure adequate participation; (6) the size, qualifications and time allocation of the proposed staff and the availability of facilities to be used during the research study; (7) how the project will be administered to assure the proper management of the daily activities of the program; (8) the proposed schedule for accomplishing the activities of the research; including time frames; and (9) the quality of an evaluation plan which specifies methods and instruments to be used to evaluate the progress made in attaining research objectives. Recipients may only expand funds for reasonable program purposes, including personnel, travel, supplies and services, such as contractual. Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Government - General; Non-Government - General; Dependent on the Notice of Funding Opportunity (NOFO), eligibility may range from open, competitive, limited or single eligibility in accordance with authorizing legislation. May include non-profit organizations who may be domestic, international or Ministries of Health. Domestic academic institutions, community organizations, universities

Beneficiary Eligibility:

Sponsored organization; This will benefit individuals worldwide, including in the U.S., through collaborations with the national Ministries of Health and other organizations/institutions. This is only for research activities supported by CDC/ATSDR. For the definition of research, please see <http://www.cdc.gov/od/science/quality/resources>

Credentials/Documentation:

Applicants should document the need for assistance, state objectives of the project, address the background, approach strategies and dissemination methods of the proposed work and provide budget with justifications for the different funds requested. Objectives should stay within the scope of the announcement. OMB Circular No. A-87 applies to the program 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Eligible applicants will be reviewed for completeness and responsiveness jointly by CDCs Office of Grant Services (OGS) staff and the Extramural Research Program Office (ERPO). In addition, eligible applicants will be externally peer reviewed by Special Emphasis Panel that consist of Subject Matter experts. Based on this merit review and a Secondary Review committee funding decisions will be made.

Incomplete applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. From 120 to 180 days. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

5 years with money given annually based on availability of funds. Restriction will be in place if all required documents are not submitted Method of awarding/releasing assistance: other

Reports:

Annual progress reports are required Federal financial reports are due at the completion of each budget period Per Notice of Funding Opportunity (NOFO) Part II: Reporting Recipients must submit an annual progress report end of every year; ongoing progress based on defined objectives for the year, a financial report is due along with this performance report. The Annual Progress Report (due 120 days before the end of the budget period and serves as a continuation application). Pre-approved budget tracking and reconciliation as per to Progress Report Performance Measures (including outcomes). A federal financial report is completed at the end of each budget period Performance is monitored throughout the project period by the Project officer in the program. Technical assistance is provided to resolve any ongoing issues for implementation of the project. Periodic site visits are also conducted by agency for oversight and monitoring purposes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audit is based on the OMB circular A-133

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-5-5-551.

Obligations:

Cooperative Agreements FY 20 \$20,292,171.00; FY 21 est \$11,079,168.00; FY 22 est \$11,079,168.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lata Kumar,
1600, Cliton Road,
Atlanta GA 30047
Email: lek7@cdc.gov
Phone: 4046397618
Yvette Valentine,
1600 Clifton Rd,
Atlanta GA 30333
Email: ybt0@cdc.gov
Phone: 404-639-4469

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.327 Demonstration Grants for Domestic Victims of Human Trafficking

Domestic Victims of Human Trafficking, Domestic Victims of Human Trafficking- Services and Outreach (DVHT-SO) Program, Victims of Human Trafficking in Native Communities (VHT-NC) Demonstration Program, Human Trafficking Youth Prevention Education (HTYPE) Demonstration Program,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

22 US Code 7105(f) (Section 107(f) of the Trafficking Victims Protection Act of 2000, as amended; and Section 213(a)(1) of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, , Public Law 110457, 22 USC Code 7105(f). Frederick Douglass Trafficking Victims Prevention and Protection Reauthorization Act of 2018, , Public Law 115-425

OBJECTIVES:

The Office on Trafficking in Persons (OTIP) currently funds the following three programs under the Domestic Victims of Human Trafficking (DVHT) Program. In FY 21, under the DVHT Program model, OTIP funded the Domestic Victims of Human Trafficking- Services and Outreach (DVHT-SO) Program and the Victims of Human Trafficking in Native Communities (VHT-NC) Demonstration Program. Under the DVHT-SO Program, the following activities are required: 1) Provision of comprehensive, culturally responsive, and linguistically appropriate case management and assistance to adult and minor domestic victims of human trafficking; 2) Outreach efforts to increase identification of domestic victims of sex and labor trafficking; and 3) Training to service providers and community partners. Under the VHT-NC Program, the following activities are required: 1) Provision of comprehensive, culturally responsive, and linguistically appropriate case management and victim assistance to adult and minor Native American victims of human trafficking; 2) Outreach efforts to increase identification of Native American victims of sex and labor trafficking; and 3) Training for service providers and community partners. Both the DVHT-SO Program and the VHT-NC

Program are informed by a whole family approach that focuses equally and intentionally on services and opportunities for victims and their immediate family members living within their households. Both programs encourage the establishment of community partnerships, meaningful engagement of survivors, and the hiring of qualified professionals that reflect the communities being served in all project implementation strategies. Additionally, in FY 21, OTIP funded the Human Trafficking Youth Prevention Education (HTYPE) Demonstration Program. The primary purpose of the HTYPE Demonstration Program is to fund local educational agencies (LEA) to develop and implement programs to prevent human trafficking victimization through the provision of skills-based human trafficking training and education for school staff and students. The HTYPE Demonstration Program will fund LEAs to establish a cohesive strategy, with the support of a partnered nonprofit or nongovernmental organization (NGO), to build the capacity to provide all aspects of human trafficking prevention education to students and school staff.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

Programs funded under the DVHT Program fund comprehensive culturally and linguistically responsive case management services to domestic victims of trafficking. Eligible recipients for DVHT Program services include United States citizens and lawful permanent residents in select states. DVHT Programs leverages existing systems, fills in service gaps, and builds capacity of direct service providers. Under the DVHT Program, OTIP requires a comprehensive case management approach that providers to uses trauma-informed and victim-centered approaches in all project activities models. Comprehensive case management services for the program include, but are not limited to referrals, emergency assistance (such as food, clothing, and shelter), and support to gain access to housing, employability services, mental health screening and therapy, medical care, and some legal services. Funding restrictions for programs funded through DVHT include: This funding must not be used to provide direct services for human trafficking victims who are determined not to be U.S. citizens or Lawful Permanent Residents. Federal funding received from different agencies for related activities will need to be separated to avoid supplantation of funds. DVHT grant funds can be used for legal assistance that can include explanation of legal rights and protections, coordination with law enforcement, assistance on family and civil matters (e.g., protection from abuse orders, victims right enforcement and compliance efforts, representation in family court, and emancipation of minors), and general legal advocacy on matters that arise as a direct result of the human trafficking situation. In addition, this funding may also use program funds to provide know your rights presentations to facilitate legal representation by private attorneys willing to act on behalf of the individual pro bono. DVHT funding may not be used for criminal defense attorney services. Funds may not be used to pay for direct benefits for family members. No more than 10 percent of total award funds per budget period may be used to support grant-funded staff and, if applicable, subrecipient staff training in connection with trafficking in persons. No more than 5 percent of total award funds per budget period may be used to support community training activities. DVHT-SO funds may not be used to cover any costs associated with continuing education units within community training activities. No award funds may be used to pay for international travel for training or any other purpose, including victim relocation. DVHT-SO funding may not be used to create public awareness materials. DVHT-SO funding may not be used to purchase or lease motor vehicles. No funds made available through this grant may be used to promote, support, or advocate the legalization or practice of prostitution. Nothing in the preceding sentence shall be construed to preclude assistance designed to promote the purposes of the TVPA of 2000 by ameliorating the suffering of, or health risks to, victims while they are being trafficked or after they are out of the situation that resulted from such victims being trafficked. Recipients may not use these funds to act as attorneys of record or provide legal services for victims of trafficking or possible victims of trafficking in matters against the Federal Government. The VHT-NC Demonstration Program funds comprehensive case management services to Native American victims of trafficking. The VHT-NC Demonstration Program leverages existing systems, fills in service gaps, and builds capacity of direct service providers. Under the VHT-NC Demonstration Program, OTIP requires providers to use culturally responsive, trauma-informed and victim-centered approaches in all project activities. The HTYPE Demonstration Program

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funds LEAs as prime recipients to partner with a nonprofit or NGO to build the capacity of selected schools to provide skills-based human trafficking prevention education for educators, other staff, and students, and establish a human trafficking specific school protocol that addresses the safety, security, and well-being of staff and students. The HTYPE Demonstration Program is informed by the public health approach, which focuses on defining and monitoring the problem, identifying risk and protective factors, developing and testing prevention strategies, and assuring widespread dissemination.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Non-Government - General; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other public institution/organization; For DVHT Programs, which includes DVHT-SO and VHT-NC, eligible organizations includes: state governments, tribes, units of local government, and non-profit, non-governmental victim service organizations. OTIP defines victim service organizations as those that by nature of their current operations serve victims of sexual assault, sexual violence, domestic violence, human trafficking, and youth homelessness. Victim service organizations may also include faith-based organizations, non-profit federally qualified health centers, and other non-profit health care facilities that serve or plan to serve victims of human trafficking. Individuals (including sole proprietorships) and foreign entities are not eligible. Institutions of higher education and for-profit organizations are not eligible. For the HTYPE Demonstration Program, in accordance with the authorizing statute, eligible applicants for funding will include local education agencies (LEAs). A LEA is a public board of education or other public authority legally constituted within a state for either administrative control or direction of, or to perform a service function for, public elementary schools or secondary schools in a city, county, township, school district, or other political subdivision of a state, or for a combination of school districts or counties that is recognized in a state as an administrative agency for its public elementary schools or secondary schools. A LEA is also an elementary or secondary school funded by the Bureau of Indian Education (BIE), which includes BIE operated schools and tribally controlled schools operated pursuant to either a Tribally Controlled Schools Act (25 U.S.C. 2501 et. seq.) grant or an Indian Self-Determination and Education Assistance Act (25 U.S.C. 5301 et. seq.) contract, but only for the limited purpose of providing eligibility for a grant assistance under federal grant programs for which BIE funded schools would otherwise not be eligible. See 20 U.S.C. 7801(30)(C). LEAs may apply on their own or as the lead applicant for a consortium of LEAs. A consortium is a project carried out by a lead applicant and one or more other organizations that are separate eligible legal entities. LEAs must partner with a nonprofit or NGO to be eligible. The nonprofit or NGO partner must either be located within the geographic area of the proposed project or have a national scope and experience in implementing similar projects in multiple geographic locations.

Beneficiary Eligibility:

U.S. Citizen; Minority group; American Indian; Student/Trainee; School; Child (6-15); Individual/Family; Youth (16-21); Education (0-8); Education (9-12); Education Professional; Education (13+); Public nonprofit institution/organization; Eligible recipients for the DVHT-SO Programs include United States citizens and lawful permanent residents who are victims of severe forms of human trafficking as defined by the TVPA of 2000, as amended. Eligible recipients for the VHT-NC Demonstration Program is limited to Native American victims of severe forms of human trafficking, as defined by the TVPA of 2000, as amended. Eligible recipients for the HTYPE Demonstration Program are K-12 aged students enrolled in local educational agencies.

Credentials/Documentation:

All applicants must have a DUNS number, be registered in the SAM prior to submitting an application, and have an active SAM registration. Non-profits must submit proof of Non-Profit status documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g., ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will also consider the geographic distribution of federal funds in its award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the Administration for Children and Families, Office of Grants Management or review the NOFO, as appropriate, for application deadlines.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Awards are made for 3-year project periods. Non-competing continuations will be issued in years two and three contingent upon funding availability, satisfactory performance, and the best interest of the government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. There is a 25% matching requirement for the DVHT Program grants. The match may be met through cash or in-kind contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are generally made available for 3-year project periods with funding on an annual basis. Method of awarding/releasing assistance: other

Reports:

Federal Financial Reports (SF-425) are due on semi-annual basis for the DVHT Program grants, and quarterly for the HTYPE Demonstration Program; and a financial report is due to the HHS Division of Payment Management on a quarterly basis. Final financial reports are also required 90 days after the end of the project period. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance progress reports for the DVHT-SO Program, VHT-NC Demonstration Program, and HTYPE Demonstration Grants are required on a quarterly basis. Performance monitoring for all programs will be conducted by desk monitoring and on-site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

Grant recipients (and subrecipients) are required to keep all reports necessary for program review and audit in accordance with 45 CFR 75.361-365 grant terms and conditions.

Account Identification:

75-1503-0-1-609.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,749,690.00; FY 21 est \$3,749,690.00; and FY 22 est \$5,749,690.00; Cooperative Agreements (Discretionary Grants) FY 20 \$1,494,059.00; FY 21 est \$1,494,059.00; and FY 22 est \$2,494,058.00; Cooperative Agreements (Discretionary Grants) FY 20 \$4,367,460.00; FY 21 est \$4,367,460.00; and FY 22 est \$7,367,460.00;

Range and Average of Financial Assistance:

In FY 2021, DVHT-SO Program grants ranged from \$260,000 per budget period to \$360,000 per budget period. The average grant award per budget period was \$277,000. In FY 2021, VHT-NC Demonstration Program grants ranged from \$230,000 per budget period to \$260,000 per budget period. The average grant award per budget period was \$200,000 per budget period. In FY 2021, HTYPE Demonstration Program grants ranged from \$400,000 per budget period to \$600,000 per budget period. The average grant award per budget period was \$500,000 per budget period.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: OTIP received 59 applications, and awarded 12 grants under the DVHT-SO Program. OTIP received 9 applications, and awarded 6 grants under the VHT-NC Demonstration Program. OTIP received 10 applications, and awarded 6 grants under the HTYPE Demonstration Program. Fiscal Year 2021: OTIP did not award new grants in 2021 but issued Non-Competing Continuation (NCC) awards for all existing grantees. Fiscal Year 2022: OTIP will be issuing several new NOFO's and expects to continue funding 12 grants under the DVHT-SO Program; 6 grants under the VHT-NC Program; and 6 grants under the HTYPE Demonstration Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director, Office on Trafficking in Persons Katherine Chon,
330 C. Street, SW., 4th Floor,
Washington DC 20201
Email: Katherine.Chon@acf.hhs.gov
Phone: 2024019372
Fax:

Website Address:

<https://www.acf.hhs.gov/otip>

RELATED PROGRAMS:

93.598 Services to Victims of a Severe Form of Trafficking; 16.320 Services for Trafficking Victims;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Information on evaluation criteria for awarding funds can be found in the NOFO.

93.328 National Implementation and Dissemination for Chronic Disease Prevention

National Organizations,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under sections 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 247b(k)(2)], as amended,

OBJECTIVES:

The purpose of this three-year cooperative agreement or Funding Opportunity Announcement (FOA) is to support national organizations and their chapters/affiliates (sub-recipients) coalitions in implementing Socio-Ecological Model informed multi-level approaches (i.e., individual, interpersonal, organizational, community, societal) to improve communities health. This project builds upon the Division of Community Health (DCH) history of working with national organizations to provide financial resources, guidance, training, tools, and other assistance to local organizations interested in creating communities where all people can achieve optimal health. This new FOA will allow awardees to implement multi-level strategies that address the greatest predictors of chronic disease (i.e. heart disease, stroke, diabetes, and obesity), namely tobacco use and exposure, poor nutrition, physical inactivity, and lack of access to prevention, risk reduction and chronic disease management opportunities. Additionally, awardees will work closely together to coordinate strategies and technical assistance to maximize their collective impact, increase national and local awareness of DCHs work, and ensure that identified communities

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; 1. Eligible Applicants: This cooperative agreement is limited to national organizations (to include public and private nonprofit organizations) that serve communities across the nation. Category A and B organizations must demonstrate evidence of having members, affiliates and/or chapters within twenty-five (25) or more U.S. states and territories). Category A applicants must also demonstrate a minimum of five (5) years of experience and evidence with implementing community level improvements. For Category B, eligible organizations must demonstrate a minimum of five (5) years of experience in providing training and technical assistance that built communities capacity to implement local-level efforts. Category B applicants must also demonstrate experience in collaborating across multiple partners to deliver training and technical assistance. Category A and B applicants must also provide supporting evidence (e.g., media reports, news/magazine articles, op-eds, community newsletters) as evidence of possessing the following desired characteristics: #1607; Have historical credibility and influence in their targeted communities (i.e., has developed and participated in community coalitions, events, and other activities Possess the demonstrated ability to influence a national dialogue. (i.e., the organization has the ability to garner the attention and participation of other national organizations and audiences). #1607; Have a demonstrated variety of existing communication platforms (e.g., Websites, magazines, newsletters, etc.) #1607; Have the demonstrated ability to leverage additional resources and partnerships (e.g., used non-FOA relationships and resources to maximize the impact of organizational activities) #1607; Have the demonstrated ability to convene a national meeting In accordance with U.S. law, no Federal funds provided by CDC are permitted to be used by community grantees for lobbying or to influence, directly or indirectly, specific pieces of pending or proposed legislation at the federal, state, or local levels. The awardee should work with project officer to ensure activities adhere to federal guidelines, and federal dollars are not used to engage in unauthorized activities. Throughout all objectives and activities, the awardee should clarify that the work plan language clearly describes the role, nature, and purpose of the funded activities. This includes providing clear language focusing on the message (e.g., addressing the health risks/effects, using evidence based strategies for increasing protections) when conducting public educational initiatives. In addition, language should be included for proper engagement of elected officials as documented in the federal guidelines. Additionally, awardees

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should consult appropriate legal counsel to ensure compliance with all rules, regulations, and restriction of any funding sources. Title 2 of the United States Code Section 1611 states that an organization described in Section 501(C)(4) of the Internal Revenue Code that engages in lobbying activities is not eligible to receive Federal funds constituting a grant, loan, or award. The awardee should refer to the AR-12 and CDC Guidance documents on Anti-Lobbying restrictions for more information on allowable and restricted activities. http://www.cdc.gov/od/pgo/funding/grants/additional_req.shtm#ar12 <http://www.cdc.gov/obesity/downloads/Anti-Lobbying-Restrictions-for-CDC-Grantees-July2012-508.pdf>

Beneficiary Eligibility:

U.S. Territories; Public nonprofit institution/organization; Other public institution/organization; Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit

Credentials/Documentation:

Applicants should document the need for assistance, state objectives of the project, address the background, approach strategies and evaluation methods of the project/program, and provide a budget with justification of funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Transparency Act requirements. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Project period is 3 years with 12-month budget periods. Applications must be obtained from www.Grants.Gov

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Category A must provide an evaluator at a 50% level of effort (LOE) with funds not provided by this FOA. Category B awardees must assign one full-time (100% LOE) program manager to be paid from funds not provided by this FOA. This program has MOE requirements, see funding agency for further details. Additional Information: All grantees will be responsible for developing sustainability plans by the end of Year 1 that help to ensure that programmatic efforts will be continued after FOA funding ends. This document should include a focus on the infrastructure (or planning/ operational structure) and process needed for sustaining efforts. For Category A, all sub-recipients will be required to develop plans by the end of the first year of their cohort..

Length and Time Phasing of Assistance:

September 30, 2014 September 29, 2017 Method of awarding/releasing assistance: lump sum.

Reports:

Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Reporting helps CDC and awardees because it: Helps target support to

awardees, particularly for cooperative agreements; Provides CDC with periodic data to monitor awardee progress towards meeting the FOA outcomes and overall performance; Allows CDC to track performance measures and evaluation findings to validate continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts; and Enables CDC to assess the overall effectiveness and influence of the FOA. As described in the following text, awardees must submit an annual performance report, ongoing performance measures data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the Notice of Award to successful applicants. a. Specific reporting requirements: i. Awardee Evaluation and Performance Measurement Plan: Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. At a minimum, and in addition to the elements of the initial plan, this plan must: Indicate the frequency that evaluation and performance data are to be collected. Describe how data will be reported. Describe how evaluation findings will be used to ensure continuous quality and program improvement. Describe how evaluation and performance measurement will yield findings that will demonstrate the value of the FOA (e.g., effect on improving public health outcomes, effectiveness of FOA as it pertains to performance measurement, cost-effectiveness, or cost-benefit). Describe dissemination channels and audiences (including public dissemination). Describe other information requested and as determined by the CDC program. When developing evaluation and performance measurement plans, applicants are encouraged to use the Introduction to Program Evaluation for Public Health Programs: A Self-Study Guide, available at: <http://www.cdc.gov/eval/guide/index.htm>. Annual Performance Report: This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit an annual Federal Financial Report within 90 days after the end of the calendar quarter in which the budget year ends. This report must include the following: Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed. Evaluation Results Awardees must report evaluation results for the work completed to date (including any data about the effects of the program). Work Plan Awardees must update work plan each budget period. Successes Awardees must report progress on completing activities outlined in the work plan. Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year. Awardees must describe success stories. Challenges Awardees must describe any challenges that might affect their ability to achieve annual and project-period outcomes, conduct performance measures, or complete the activities in the work plan. Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year. CDC Program Support to Awardees Awardees must describe how CDC could help them overcome challenges to achieving annual and project-period outcomes and performance measures, and completing activities outlined in the work plan. Administrative Reporting (No page limit) SF-424A Budget Information-Non-Construction Programs. Budget Narrative must use the format outlined in Content and Form of Application Submission, Budget Narrative section. Indirect Cost-Rate Agreement. For Year 2 and beyond of the award, awardees may request that unobligated funds be carried over to the next budget year. The carryover request must: Express a bona fide need for permission to use an unobligated balance; Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which funds will be transferred (as much as 75% of unobligated balances); and Include a list of proposed activities, an itemized budget, and a narrative justification for those activities. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. iii. Performance Measure Reporting: CDC programs must require awardees to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting must be limited to data collection. When funding is awarded initially, CDC programs must specify required reporting frequency, data fields, and format. iv. Federal Financial Reporting (FFR): The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends.

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The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. v. Final Performance and Financial Report: At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. (Maximum of 40 pages). At a minimum, this report must include: Performance Measures (including outcomes) Awardees must report final performance data for all performance measures for the project period. Evaluation Results Awardees must report final evaluation results for the project period. Impact/ Results Awardees must describe the effects or results of the work completed over the project period, including success stories. Additional forms as described in the Notice of Award, including Equipment Inventory Report and Final Invention Statement. In addition, grantees must include the following information in their Final Performance Report: Summary of successes, challenges, and lessons learned that can be shared with other central registries interested in establishing ECC methodology. Awardees must email the report to the CDC PO and the GMS listed in the Agency Contacts section of the FOA. Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Reporting helps CDC and awardees because it: Helps target support to awardees, particularly for cooperative agreements; Provides CDC with periodic data to monitor awardee progress towards meeting the FOA outcomes and overall performance; Allows CDC to track performance measures and evaluation findings to validate continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts; and Enables CDC to assess the overall effectiveness and influence of the FOA. As described in the following text, awardees must submit an annual performance report, ongoing performance measures data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the Notice of Award to successful applicants. a. Specific reporting requirements: i. Awardee Evaluation and Performance Measurement Plan: Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. At a minimum, and in addition to the elements of the initial plan, this plan must: Indicate the frequency that evaluation and performance data are to be collected. Describe how data will be reported. Describe how evaluation findings will be used to ensure continuous quality and program improvement. Describe how evaluation and performance measurement will yield findings that will demonstrate the value of the FOA (e.g., effect on improving public health outcomes, effectiveness of FOA as it pertains to performance measurement, cost-effectiveness, or cost-benefit). Describe dissemination channels and audiences (including public dissemination). Describe other information requested and as determined by the CDC program. When developing evaluation and performance measurement plans, applicants are encouraged to use the Introduction to Program Evaluation for Public Health Programs: A Self-Study Guide, available at: <http://www.cdc.gov/eval/guide/index.htm> ii. Annual Performance Report: This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit an annual Federal Financial Report within 90 days after the end of the calendar quarter in which the budget year ends. This report must include the following: Performance Measures (including outcomes) Awardees must report on performance measures for each budget period and update measures, if needed. Evaluation Results Awardees must report evaluation results for the work completed to date (including any data about the effects of the program). Work Plan Awardees must update work plan each budget period. Successes Awardees must report progress on completing activities outlined in the work plan. Awardees must describe any additional successes (e.g., identified

through evaluation results or lessons learned) achieved in the past year. Awardees must describe success stories. Challenges Awardees must describe any challenges that might affect their ability to achieve annual and project-period outcomes, conduct performance measures, or complete the activities in the work plan. Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year. CDC Program Support to Awardees Awardees must describe how CDC could help them overcome challenges to achieving annual and project-period outcomes and performance measures, and completing activities outlined in the work plan. Administrative Reporting (No page limit) SF-424A Budget Information-Non-Construction Programs. Budget Narrative must use the format outlined in Content and Form of Application Submission, Budget Narrative section. Indirect Cost-Rate Agreement. For Year 2 and beyond of the award, awardees may request that unobligated funds be carried over to the next budget year. The carryover request must: Express a bona fide need for permission to use an unobligated balance; Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which funds will be transferred (as much as 75% of unobligated balances); and Include a list of proposed activities, an itemized budget, and a narrative justification for those activities. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. iii. Performance Measure Reporting: CDC programs must require awardees to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting must be limited to data collection. When funding is awarded initially, CDC programs must specify required reporting frequency, data fields, and format. iv. Federal Financial Reporting (FFR): The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. v. Final Performance and Financial Report: At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. (Maximum of 40 pages). At a minimum, this report must include: Performance Measures (including outcomes) Awardees must report final performance data for all performance measures for the project period. Evaluation Results Awardees must report final evaluation results for the project period. Impact/ Results Awardees must describe the effects or results of the work completed over the project period, including success stories. Additional forms as described in the Notice of Award, including Equipment Inventory Report and Final Invention Statement. In addition, grantees must include the following information in their Final Performance Report: Summary of successes, challenges, and lessons learned that can be shared with other central registries interested in establishing ECC methodology. Awardees must email the report to the CDC PO and the GMS listed in the Agency Contacts section of the FOA. Federal Financial Reports are required 90 days after the end of the fiscal year. here to enter text. In addition to previously described reporting requirements the awardee will monitor performance as follows - Performance Monitoring and Evaluation: CDC may revise the existing requirements through an addendum to this notice, which could include additional recipient requirements for evaluation and performance measurement. The Awardee Evaluation and Performance Measurement Plan will be comprised of three components: 1. Tracking progress and completion of strategies. By using the CDC identified electronic performance monitoring and reporting system, Category A and B awardees will track overall progress on infrastructure and short-term outcome objectives, as well as, specific progress on activities on a quarterly basis. Category A awardees will also be required to track sub-recipients overall progress on infrastructure and short-term outcome objectives, as well as, specific progress on activities on a quarterly basis. This collection of this data is known as performance monitoring data. CDC will provide training and guidance on the use of the performance monitoring and reporting system. a. Use ongoing performance monitoring data, along with any community health assessment data, for ongoing program improvement and midcourse corrections. b. Track overall progress on outcome objectives as well as specific progress on activities designed for

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vulnerable populations. Use performance monitoring data and other available sources to document the steps taken to implement multi-level improvements by describing successes, barriers, and challenges.2. Measurement of Short-term Outcome. Using detailed guidance from CDC (provided post-award), Category A awardees will assist sub-recipients in setting targets and then monitor their progress towards these targets on the number of people who have access to healthier environments as a result of the implementation of each awardee strategy. This is known as Awardee Reach for each strategy. These targets will be ultimately rolled up into the relevant Short-term Outcome. In addition, Category A sub-recipients will also be required to track sub-awardees Reach for each strategy and report this to CDC on a quarterly basis. a. For example, a sub-awardee might be implementing two strategies that are targeting different populations in different sectors. One strategy is increasing physical activity in elementary age children by implementing recommended standards of physical activity in all 80 elementary schools in the community, which would increase physical activity for 55,000 children enrolled in these schools. The awardee will track the successful implementation of recommended standards in each school so that they will know how many children have access to an improved physical activity environment. The second strategy is increasing physical activity in 350 early care/child care centers by implementing recommended standards of physical activity in these settings, which would increase physical activity for 2000 children enrolled in these programs. The awardee will track the successful implementation of recommended standards in each early care/child care centers, so that they will know how many children have access to an improved physical activity environment. The target short-term outcome would combine the target sub-recipients Reach for these two strategies, and progress would be tracked over time. Thus, the target Short-term Outcome would be 57,000 people with increased access to physical activity opportunities. b. Report sub-recipients Reach data for each strategy and update on a quarterly basis. In addition, Awardees should adhere to the following guidance: Sub-recipients of Category A are encouraged to use available data to measure intermediate-term outcomes, when possible. However, as mentioned above, due to lack of adequate, existing data in many communities, primary responsibility for measuring intermediate-term outcomes will rest in most cases with CDC. oCommunities with access to adequate data to measure intermediate-term outcomes might include: 1) counties with a large number of respondents to the Behavioral Risk Factor Surveillance System (BRFSS), 2) counties that conduct the Youth Risk Behavior Surveillance System (YRBSS), 3) school districts that routinely record the height and weight of students. Category A awardees and sub-recipients should share their successes and lessons learned through the creation and dissemination of two (or more) success stories per year (one submitted every 6 months with the Interim and Annual Progress Report). Category B awardees should prepare one (or more) unique dissemination documents created for stakeholders or the broader community based on the outcome evaluation of high impact strategies applied by Category A awardees and/or sub-recipients. Developed by the end of Year 3, this document may be briefing updates, reports, case studies, peer-reviewed manuscripts or use other formats.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Category A = \$2,000,000 - \$3,000,000 and Category B = \$200,000 - \$500,000

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. The following additional requirements apply to this project: Additional information on the requirements can be found on the CDC Web site at the following Internet address: http://www.cdc.gov/od/pgo/funding/Addtl_Reqmnts.htm. For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>

Regional or Local Office:

None.

Headquarters Office:

Robert Bailey,
4770 Buford Hwy, MS F-81,
Atlanta GA 30341
Email: apu8@cdc.gov
Phone: 770-488-8438
Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An objective review panel will be held to review applications based on criteria described in the Funding Opportunity Announcement.

93.332 Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges

Navigator Grants,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Patient Protection and Affordable Care Act 1311(d)(4)(K), 1311(i), and 1321(c)(1); 45 C.F.R. 155.210 and 155.215.,

OBJECTIVES:

The Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (Funding Opportunity) will enable recipients to operate as Exchange Navigators in States with a Federally-facilitated Exchange (FFE), as authorized by the Patient Protection and Affordable Care Act (PPACA). Section 1311(i) of the PPACA requires Exchanges to establish a Navigator grant program. The following duties are required of all recipients of funding under this Funding Opportunity, including but are not limited to: Conducting public education activities to raise awareness about the Exchange; Facilitating selection of a QHP; Providing information in a manner that is culturally and linguistically appropriate to the needs of the population served by the Exchange, including individuals with limited English proficiency, and ensuring accessibility and usability of Navigator tools and functions for individuals with disabilities; Providing referrals to any applicable office of health insurance consumer assistance or health insurance ombudsman established under section 2793 of the Public Health Service Act, or any other appropriate state agency or agencies, for any enrollee with a grievance, complaint, or question regarding their health plan, coverage, or a determination under such plan or coverage; Complying with applicable training and conflict of interest standards; Obtaining the authorization of applicants for coverage available through

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an Exchange application prior to accessing their personally identifiable information; and Providing targeted assistance to serve underserved or vulnerable populations, as identified by the Exchange, within the Exchange service area. Change: The Patient Protection and Affordable Care Act, HHS Notice of Benefit and Payment Parameters for 2020 Final Rule amended 45 C.F.R. 155.210(e)(9), effective June 24, 2019, to make the provision of certain types of assistance, including post-enrollment assistance, permissible for FFE Navigators instead of required. However, due to significant increased funding in this Funding Opportunity and ensuring that consumers understand and have their questions answered about their health plan, coverage, or a determination under such plan or coverage, and IRS tax implications, for example, CMS plans to pursue regulatory changes to reinstate these post-enrollment assistance topics as required Navigator duties within this period of performance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Although the FFEs have been in operation since 2013 for the 2014 open enrollment period, Navigators continue to play a vital role as trusted community resources in assisting consumers with changing complex issues and educating, reaching, and assisting the underserved and vulnerable populations. Awarded entities must carry out the required statutory Navigator duties and the additional requirements laid out in Sections B. Award Information and E. Application Review Information of the corresponding Funding Opportunity posted on www.grants.gov. Funds are restricted from being used: To cover the costs to provide direct health care services to individuals. To match any other Federal funds. To provide services, equipment, or support that are the legal responsibility of another party under Federal or State law (such as vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party. To supplant funds provided under Funding Opportunity Announcement number CA-NAV-19-001, entitled Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges. To cover any pre-award costs. To provide goods or services not allocable to the approved project. To be used by local entities to satisfy state matching requirements. To pay for construction. To carry out services that are the responsibility of the Marketplace, such as eligibility determinations and transferring enrollment information for consumers to a QHP. To pay for capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life as a direct cost, except with the prior written approval of the Federal awarding agency. To pay for the cost of independent research and development, including their proportionate share of indirect costs (unallowable in accordance with 45 CFR 75.476). To use as profit to any award recipient even if the award recipient is a commercial organization, (unallowable in accordance with 45 CFR 75.216(b)), except for grants awarded under the Small Business Innovative Research (SBIR) and Small Business Technology Transfer Research (STTR) programs (15 U.S.C. 638). Profit is any amount in excess of allowable direct and indirect costs. To carry out any functions already funded by HHS, including through federal Marketplace Establishment grants under section 1311(a) of the Affordable Care Act or through federal Consumer Assistance Program grants under section 2793 of the Public Health Service Act, including to make payments to recipients of funds provided to states under those authorities for activities that are funded under those authorities. To assist consumers residing in a state with a State-based Exchange (SBE) or a State-based Exchange using the federal platform (SBE-FP) or in a FFE State the Navigator is not approved to serve. FFE Navigators may provide these consumers with basic information about Marketplace, but should refer them to Navigators if an FFE State, the Marketplace Call Center, and other resources within the SBE or SBE-FP State where the consumer resides for more in-depth assistance. To expend funds related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any state government, state legislature or local legislature or legislative body. To fund staff retreats or promotional giveaways. To purchase gifts or gift cards, or promotional items that market or promote the products or services of a third party, that would be provided to any applicant or enrollee.

Applicant Eligibility:

Intrastate; Sponsored organization; Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Specialized group (e.g. health

professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Under section 1311(i)(2)(B) of the PPACA, eligible entities may include, but are not limited to, trade, industry and professional associations; commercial fishing industry organizations; ranching and farming organizations; community and consumer-focused nonprofit groups; and other entities capable of meeting program requirements. Eligible applicants may choose to partner with other entities and/or individuals to form a consortium of subrecipients in order to target a larger total portion of the underserved and vulnerable populations. Funding through the cooperative agreement is open to individuals and private and public entities capable of carrying out the Navigator duties and other program requirements in a FFE, as outlined in statute, regulations, and this announcement, including community and consumer-focused nonprofit groups; faith-based organizations; trade, industry and professional associations; commercial fishing industry organizations; ranching and farming organizations; chambers of commerce; unions; resource partners of the Small Business Administration; licensed insurance agents and brokers; and other public or private entities. Other entities may include, but are not limited to, Indian Tribes, tribal organizations, urban Indian organizations, and State or local human services..

Beneficiary Eligibility:

Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Anyone/general public; Small Business Person; Black; American Indian; Spanish Origin; Other Non-White; Migrant; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Unemployed; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; The following list, includes but is not limited to, the varying types of beneficiaries served under this cooperative agreement: Individual/Family, Minority group, Specialized group (e.g., LGBT, health professionals, students, veterans), Small business, Anyone/general public, Small Business Person, Black, American Indian, Spanish Origin, Other Non-White, Migrant, Immigrants, U.S. Citizen, Women, Disabled (e.g. Deaf, Blind, Physically Disabled), Physically Afflicted (e.g. TB, Arthritis, Heart Disease), Mentally Disabled, Unemployed, Low Income, Major Metropolis (over 250,000), Other Urban, Suburban, Rural.

Credentials/Documentation:

All of the instructions that an eligible applicant must follow to apply for the Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (Funding Opportunity) are published on <https://www.grants.gov>. Consistent with 45 C.F.R. 155.215(a)(1)(i), all applicants (individuals, entities, and all members making up a consortium) should submit a brief statement (one or two short paragraphs) within the Cover Letter attesting that they, as Navigator cooperative agreement applicants, are not ineligible entities, and include attestations that all other Navigator entities (including subrecipients and contractors performing Navigator duties, the Navigator(s) including the Navigator's staff), do not have any of the prohibited relationships with health insurance or stop loss insurance issuers that are outlined above. As is explained in 45 C.F.R. 155.215 and the preamble language discussing that provision, some conflicts of interest (other than the prohibited relationships outlined above) would not be absolute bars to service as a Navigator, provided that the conflict of interest would not ultimately prevent the entity or individual from providing information and services in a fair, accurate, and impartial manner. In addition, in accordance with 45 C.F.R. 155.215(a)(1)(ii) and in compliance with the Standard Terms and Conditions that will be included in a Notice of Award, all successful applicants will be required to provide a written plan to remain free of conflicts of interest and to disclose to CMS, as operator of the FFE, certain non-disqualifying conflicts of interest as specified in 45 C.F.R. 155.215(a)(1)(iv). This award is subject to 45 C.F.R. Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS awards, which implements 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) effective December 26, 2014. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All of the instructions that an eligible applicant must follow to apply for the Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (Funding Opportunity) are published on <https://www.grants.gov>. The information provided in this resource is only intended to highlight key steps in the federal grant and/or cooperative agreement submission process for individuals and entities who are new to or with limited experience applying for them. It is not intended to take the place of the requirements, information, statutes, regulations, and other guidance in the Funding Opportunity that it is based upon. In order to apply, all applicants are required to have a valid Employer Identification Number (EIN), otherwise known as a Taxpayer Identification Number (TIN); a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number; and be registered in the System for Award Management (SAM) database (<https://www.sam.gov/portal/public/SAM/>) to be able to submit an application at [grants.gov](https://www.grants.gov) (See Appendix II of the Funding Opportunity, Application and Submission Information, for descriptions of EIN, DUNS, and SAM).

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) available to the organization through the online grants management system used by CMS and awardee organizations. The NoA is the document authorizing the cooperative agreement award and will be issued to the applicant as listed on the SF-424. Any communication between HHS and applicants prior to issuance of the NoA is not an authorization to begin performance of a project. Unsuccessful applicants will be notified by letter, sent electronically or through the U.S. Postal Service to the applicant as listed on its SF-424, within 30 days of the award date.

Deadlines:

The deadline for applying and other related timelines are included in Section D. Application and Submission Information of the Funding Opportunity posted on www.grants.gov.

Range of Approval/Disapproval Time:

Other. Anticipated Notice of Awards is August 26, 2021

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period is 36 months from the notice of award. Funding will be awarded in 12-month increments called budget periods based on funding availability.

Continued funding throughout the 36-month period of performance will be contingent on a timely submission of a non-competing continuation application 90 days prior to the start of the second and third years of the period of performance, strong performance during the prior budget period, funding availability, and the awardees ability to continue meeting all eligibility requirements laid out in this Funding Opportunity, including continuing to serve in a state(s) with a FFE.

Method of awarding/releasing assistance: Once an award is made, the funds are posted in recipient accounts established in the Department of Health and Human Services, Division of Payment Management, Payment Management System (PMS). Grantees may then access their funds by using the PMS funds request process.

Upon notification of award, recipients under this announcement will be able to drawdown funds for approved start-up costs. The remaining funds will be reimbursable upon meeting required milestones. Method of awarding/releasing assistance: other

Reports:

Awardees must agree to cooperate with any Federal evaluation of the program and must provide required weekly, monthly, quarterly, annual, and final (at the end of the cooperative agreement period of performance) reports in a form prescribed by CMS, as well as any additional reports as required. Reports will be submitted electronically. These reports will outline how cooperative agreement funds were used, describe program progress, describe any barriers encountered including how

any potential conflicts of interest were mitigated and process for handling non-compliant paid and unpaid staff performing Navigator duties, describe how the program ensured access to culturally and linguistically appropriate services, and detail measurable outcomes to include how many of those staff completed required training and became certified as Navigators and how many consumers were served. CMS will provide the format for programmatic reporting and the technical assistance necessary to complete and submit the reports. At each stage, CCIIO staff will evaluate the reports, provide feedback to recipients, and provide technical assistance as needed. The applicant must not include any personally identifying information (PII) in any weekly, monthly, quarterly, or final reports to HHS. In addition, the applicant must ensure compliance with the standards adopted by the FFE pursuant to 45 C.F.R. 155.260 when providing Navigator services to consumers involving the use of PII. Additional details, including the due dates for the quarterly, annual, and final reports, will be provided in the terms and conditions of award. Grantees must report on a quarterly and annual basis the cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. Awardees must agree to cooperate with any Federal evaluation of the program and must provide required weekly, monthly, quarterly, annual, and final (at the end of the cooperative agreement period of performance) reports in a form prescribed by CMS. Grantees must report on a quarterly and annual basis the cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF-272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. Awardees must agree to cooperate with any Federal evaluation of the program and must provide required weekly, monthly, quarterly, and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Awardees must comply with audit requirements outlined in HHS regulation 45 C.F.R. Part 75 (implementing 2 C.F.R. Part 200). See Subpart F Audit Requirements of the Funding Opportunity.

Records:

Recipient must maintain expenditures and other financial records detailing the use of cooperative agreement funds for three years from the day on which the grantee submits the last financial status report for the grant period unless there is an open investigation in which case the records must be maintained during the duration of the investigation. Recipient should submit these reports to CMS on a quarterly basis along with their quarterly progress reports.

Account Identification:

75-0511-0-1-551.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$10,000,000.00; FY 22 est \$80,000,000.00;

Range and Average of Financial Assistance:

Current Fiscal Year: 2022 Projection: up to \$80,000,000

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For a complete list of requirements, please see Section F2. Administrative and National Policy Requirements of the Funding Opportunity. All equipment, staff, and other budgeted resources and expenses must be used exclusively for the projects identified in the Applicants original cooperative agreement application or agreed upon subsequently with HHS, and may not be used for any prohibited uses. Consumers and other stakeholders must have meaningful input into the planning, implementation, and evaluation of the project. This award is subject to 45 C.F.R. Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS awards [available at <https://www.ecfr.gov/cgi-bin/text-idx?node=pt45.1.75>], which implements 2 C.F.R. Part 200, Uniform

ASSISTANCE LISTINGS DESCRIPTIONS

Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance) effective December 26, 2014. See below for more information. All awardees receiving awards under this cooperative agreement program must comply with all applicable Federal statutes relating to nondiscrimination, including, but not limited to: a. Title VI of the Civil Rights Act of 1964, b. Section 504 of the Rehabilitation Act of 1973, c. The Age Discrimination Act of 1975, d. Title II, Subtitle A of the Americans with Disabilities Act of 1990, and e. Section 1557 of the Patient Protection and Affordable Care Act.

Regional or Local Office:

None. Programmatic Questions: Navigatorgrants@cms.hhs.gov Administrative Questions: Grants@cms.hhs.gov

Headquarters Office:

Christopher Clark,
7501 Wisconsin Avenue,
Bethesda MD 20814
Email: Grants@cms.hhs.gov
Phone: 301-492-4319

Website Address:

<https://www.cms.gov/CCIIO/Programs-and-Initiatives/Health-Insurance-Marketplaces/assistance.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For detailed information on the review and selection process, please refer to Appendix V, Review and Selection Process of the Funding Opportunity. Applications will be screened to determine initial eligibility for further review using the criteria outlined in Section C, Eligibility Information, and Section D, Application and Submission Information (with cross reference to Appendix II, Application and Submission Information), of this Funding Opportunity. An objective review panel will be convened to determine the merits of each application by reviewing and scoring the applications based on the criteria outlined in Section E1, Criteria. The objective review panel may include both Federal employees and non-Federal employees. CMS reserves the right to request that applicants revise or otherwise modify their proposals and budget based on CMS recommendations. The objective review, the selection and award process may be separated into two stages. When making awards during both stages of the selection and award process discussed above, HHS reserves the right to reduce the budget requested, or only partially fund proposed activities, or not at all, based on the aforementioned criteria. The results of the objective review of the applications by qualified experts will be used to advise the CMS approving official. Final award decisions will be made by the CMS approving official also based on a review of risks posed by an applicant prior to making an award as outlined in 45 C.F.R. 75.205. The decision not to award a cooperative agreement, or to award a cooperative agreement at a particular funding level, is not subject to an appeal to any CMS, HHS, or any other federal official or board.

93.334 The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels

Healthy Brain Initiative,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 317(k) (2) 42 U.S.C. 242 and 247b (k) (2),

OBJECTIVES:

The purpose of the FOA is to implement public health actions through engagement of national partners and public health networks at national, state and local levels to apply public health strategies to promote cognitive health; address cognitive impairment, including Alzheimers disease; and support the needs of care partners (paid and unpaid persons providing care or assistance to someone with Alzheimers disease or related dementia). This will be accomplished through the provision of technical assistance and support in the following areas: 1) Using data and information to develop, examine, and deploy effective strategies, identify and address gaps in implementation, create and assess technical assistance tools, and monitor progress in achieving program goals; 2) Engaging in environmental approaches that promote inclusion of cognitive health, cognitive impairment and caregiving into community and workplace policies and plans; 3) Supporting health system strategies to improve early detection of cognitive impairment, including Alzheimers disease, and increasing awareness among health care providers about care partner health and role in care management; and 4) Facilitating the translation and dissemination of information and resources through public health networks.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are limited to national, non-profit professional public health or cognitive health/cognitive impairment mission organizations with experience and expertise providing technical assistance and support to governmental and non-governmental components of the public health system

Beneficiary Eligibility:

Anyone/general public; Anyone/General Public

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget justification of funds. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Transparency Act requirements. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period is 5 years with 12-month budget periods. Applications must be

ASSISTANCE LISTINGS DESCRIPTIONS

obtained from www.Grants.Gov. Method of awarding/releasing assistance: lump sum.

Reports:

Awardee Evaluation and Performance Measurement Plan: Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. **Annual Performance Report:** This report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit an annual Federal Financial Report within 90 days after the end of the calendar quarter in which the budget year ends. **Performance Measure Reporting:** As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. **Federal Financial Reporting (FFR):** The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. **Final Performance and Financial Report:** At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. The report should not exceed 40 pages. At a minimum, this report must include: Performance Measures (including outcomes) Awardees must report final performance data for all performance measures for the project period. **Evaluation Results** Awardees must report final evaluation results for the project period. **Impact/ Results** Awardees must describe the effects or results of the work completed over the project period, including success stories. Additional forms as described in the Notice of Award, including Equipment Inventory Report and Final Invention Statement. Awardees must email the report to the CDC PO and the GMS listed in the Agency Contacts section of the FOA. **Awardee Evaluation and Performance Measurement Plan:** Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. **Annual Performance Report:** This report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit an annual Federal Financial Report within 90 days after the end of the calendar quarter in which the budget year ends. **Performance Measure Reporting:** As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. **Federal Financial Reporting (FFR):** The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future

funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. **Final Performance and Financial Report:** At the end of the project period, awardees must submit a final report including a final financial and performance report. This report is due 90 days after the project period ends. The report should not exceed 40 pages. At a minimum, this report must include: Performance Measures (including outcomes) Awardees must report final performance data for all performance measures for the project period. **Evaluation Results** Awardees must report final evaluation results for the project period. **Impact/ Results** Awardees must describe the effects or results of the work completed over the project period, including success stories. Additional forms as described in the Notice of Award, including Equipment Inventory Report and Final Invention Statement. Awardees must email the report to the CDC PO and the GMS listed in the Agency Contacts section of the FOA. Federal financial reports are required 90 days after the end of the fiscal year. Awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of the reporting will vary by project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$9,094,704.00; FY 21 est \$12,233,794.00; FY 22 est \$12,233,794.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. The following additional requirements apply to this project: Additional information on the requirements can be found on the CDC Web site at the following Internet address: http://www.cdc.gov/od/pgo/funding/Addtl_Reqmnts.htm. For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

An objective review panel will be held to review applications based on criteria described in the Funding Opportunity Announcement.

93.336 Behavioral Risk Factor Surveillance System

Department of Health and Human Services (DHHS), Centers for Disease Control and Prevention (CDC), Center for Chronic Diseases and Health Promotion, Division of Population Health (DPH), Population Health Surveillance Branch (PHSB).

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 301 of the Public Health Service Act (as amended),

OBJECTIVES:

The purpose of this program is to provide assistance to State and Territorial Health Departments to maintain and expand: 1) Specific health surveillance on the behaviors of the general adult population that contribute to the occurrences and prevention of chronic diseases, injuries, and other public health threats through the Behavioral Risk Factor Surveillance System (BRFSS), and 2) Collection, analysis, and dissemination of BRFSS data to State and Territorial Health Department categorical programs for their use in assessing trends, directing program planning, evaluating program priorities, developing policy, and targeting relevant population groups.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; State; Eligible applicants are US State Health Departments and US Territories that have been funded under SO11-1101 and SO11-1102

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; Education Professional; Scientist/Researchers; State; Local; BRFSS data is published on the CDC website and all of the above entities can access it.

Credentials/Documentation:

Applicants should provide a detailed work plan for meeting the objectives of the project, outline their method of operation, describe their evaluation procedures, and provide a budget with justification of funds requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Eligible applications will be jointly reviewed for responsiveness by the National Center for Chronic Disease Prevention and Health Promotion and the CDC Procurement and Grants Office. Incomplete applications and applications that are non-responsive will not advance through the review process. Recipients will be notified in writing of the results. A technical review panel within the Population

Health Surveillance Branch, Division of Population Health, National Center for Chronic Disease Prevention and Health Promotion, CDC will evaluate complete and responsive applications according to the published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be only binding, authorizing document between the recipient and the CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Unsuccessful recipients will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Information on renewal can be obtained from Tracey Sims in our PGO office at 770-488-2739

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available 5 year project period with 12 -month budget period Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual progress reports are required. Semi-annual progress reports are required. All grantees must submit an FFR 90 days after the end of the budget period. Awardees will be required to submit annual evaluation and performance reports within the criteria specified in funding opportunity announcement DP15-1513.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$21,467,312.00; FY 21 est \$26,839,463.00; FY 22 est \$26,839,463.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. For competing supplements, Administrative Regulations (ARs) remain in effect as published in the original funding announcement. For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr-tablesearch.html>.

Regional or Local Office:

None.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Sheryl Heard,
4770 Buford Hwy,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 4044882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the CDC Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in the funding opportunity announcement DP15-1513. Applicants will be notified if their application did not meet program requirements.

93.339 Public Health Conference Support

Centers for Disease Control and Prevention (CDC) National Center for HIV, Viral Hepatitis, Sexually Transmitted Disease and Tuberculosis Prevention (NCHHSTP) Public Health Conference Support,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under the Public Health Service Act, section 301(a), 42 U.S.C. 241(a), as amended and section 317(a), 42 U.S.C. 247b (a), as amended.,

OBJECTIVES:

Program activities under this funding will allow state and local governments, their Bona Fide Agents, non-governmental organizations and the general public to request funds for partial support for public health conferences related to the health promotion, education and prevention of HIV, Viral Hepatitis, STD and TB Prevention.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with reasonable program planning, including personnel, travel, supplies, and services such as contractual. Project funds may not be used for research, clinical care, or generally for the purchase of furniture or equipment. Reimbursement of pre-award costs is not allowed.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American

Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); N/A

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Intrastate; Small Business Person; Consumer; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Local; Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; N/A

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. OMB Circular A102 is applicable. Allowable cost will be applicable to: A-21 Educational Institutions, A-122 Non-Profit Organizations and A-110. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, they may contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIM@cdc.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Office of Grants Services. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by either e-mail or U.S. mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon the conditions in the notice of funding opportunity (NOFO).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period. Method of awarding/releasing assistance: lump sum.

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

The reporting schedule will be based on the requirements listed in the notice of funding opportunity (NOFO). In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Final financial status and progress reports are due no later than 90 days after the end of the project period. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 Estimate Not Available; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Awards will range from approximately \$25,000 to \$125,000 with an average of approximately \$30,000.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Karen Bailey,
1600 Clifton Road, NE, Mailstop US8-6,
Atlanta GA 30333
Email: kfa6@cdc.gov
Phone: (404) 639-8377

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Office of Grants Services (OGS) staff and for responsiveness jointly by NCHHSTP/OD and OGS. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission Information, subsection Evaluation Criteria within the notice of funding opportunity (NOFO). Applicants will be notified if their application did not meet program requirements.

93.340 Native American Community Research, Demonstration, and Pilot Projects

Native Language Community Coordination (NLCC),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Native American Programs Act of 1974, Title VIII, Section 805, 42 USC Code 2991d.

OBJECTIVES:

The purpose of this program is to promote economic and social self-sufficiency for American Indians, Alaska Natives, Native Hawaiians, and other Native American Pacific Islanders from American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands through grants or cooperative agreements for research, demonstration, or pilot projects conducted by public or private agencies which are designed to test or assist in the development of new approaches or methods that will aid in overcoming special problems or otherwise further the purposes of the Native American Programs Act. In Fiscal Year 2016, ANA offered funds for community-based projects to build upon the successes of ANAs short-term project-based Native Language funding, under the Native Language Community Coordination (NLCC) initiative. This funding was intended as a place-based demonstration to address gaps in a community's coordination of Native language programming across the educational continuum from birth to higher education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funding may be used for such purposes as: 1) testing and measuring the effect of collaborative community-based project in Native American communities, 2) new approaches for the use of data to document results and ensure accountability, 3) integration of plans and resources at the Native community-level to promote sustainable supports and services for Native American families, children, and communities; and 4) evaluation of collaborative processes to promote self-sufficiency and well-being for Native Americans. This is a discretionary grant program subject to the restrictions at 45 CFR Part 75.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Federally-recognized Indian Tribes, as recognized by the Bureau of Indian Affairs; Incorporated non-federally recognized Tribes; Incorporated state-recognized Indian Tribes; Consortia of Indian Tribes; Incorporated nonprofit multi-purpose community-based Indian organizations; Urban Indian Centers; Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or non-profit village consortia; Non-profit Alaska Native Regional Corporations/Associations in Alaska with village-specific projects; Non-profit Alaska Native community entities or tribal governing bodies (Indian Reorganization Act or Traditional Councils) as recognized by the Bureau of Indian Affairs; National or regional incorporated non-profit Native American organizations with Native American community-specific objectives; Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands, Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands which serve Native American Pacific Islanders are eligible for funding.

Beneficiary Eligibility:

U.S. Territories; Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Other Non-White; Minority group;

ASSISTANCE LISTINGS DESCRIPTIONS

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members are representative of a Native American community to be served. Applicants must submit documentation that identifies each board member by name and indicates his/her affiliation or relationship to at least one of ANAs four categories of community representation, which include: (1) members of federally or state-recognized tribes; (2) persons eligible to be a participant in, or beneficiary of, the project to be funded; (3) persons who are recognized by members of the eligible Native American community to be served as having a cultural relationship with that community; or (4) persons considered to be Native American as defined in 45 CFR 1336.10 and Native American Pacific Islanders as defined in Section 815 of the Native American Programs Act. Applicants that do not include this documentation will be considered non-responsive, and the application will not be considered for competition. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. No NOFO is planned for FY21 or FY22 for this program. Applicants may apply on <https://grants.gov>. Paper applications received from applicants that have not been approved for an exemption from required electronic submission will be disqualified from competitive review. Applicants that do not have an Internet connection or sufficient computer capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format. See NOFO for detailed information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. ACF reserves the right to consider preferences to fund organizations serving emerging, unserved, or under-served populations, including those populations located in pockets of poverty. ACF will also consider the geographic distribution of federal funds in its award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. All funds are awarded directly to the recipients. Applicants will receive notice of approval or disapproval approximately 120 days after receipt of application.

Appeals:

From 30 to 60 days. Appeals are only available for disqualified applicants upon a finding of ineligibility for funding and are subject to ANA regulations at 45 CFR 1336.35. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

From 30 to 60 days. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The 20% match is required unless waived in accordance with criteria published in 45 CFR 1336.50. This program has MOE requirements, see funding agency for further details. Additional Information: A sample of a standard Maintenance of Effort (MOE) certification is available in the application instructions for this MOE at <https://www.acf.hhs.gov/grants/certification-regarding-maintenance-effort>. Applicants self-certify their maintenance of effort. The MOE should be on the applicant organization's letterhead. Required for all applications..

Length and Time Phasing of Assistance:

Recipients may annually apply for non-competing continuation support within the 5 -year project period. Method of awarding/releasing assistance: other

Reports:

An SF-425 Federal Cash Transaction Report is required to be submitted quarterly. ANA recipients are required to report project progress using the Objective Progress Report (OPR) (OMB No. 0970-0452, expiration date 9/30/2023) which is submitted electronically. In addition, recipients will be required to submit an Annual Data Report (ADR) (OMB No. 0970-0475, expiration date 02/28/2022) to report project data once a year and at the end of the project period. ANA reviews recipient's semi-annual and annual reports to determine whether the recipient is meeting its project goal and objectives and completing activities identified in the Objective Work Plan (OWP) as well as to evaluate project effectiveness. If progress concerns are identified, ANA may require quarterly reports. In addition, ANA is required to describe and measure the impact of funded projects, their effectiveness in achieving stated goals, their impact on related programs, and when feasible, to obtain the views of persons participating in and served by funded projects. ANA carries out this requirement through review of recipient submitted reports and through the use of structured on-site interviews using a data collection tool (OMB No. 0970-0379, expiration date 06/30/2022). The Federal Financial Report (SF-425) is required to be submitted semi-annually, and the final report is due 90 days after the end of the budget period. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Cooperative Agreements FY 20 \$1,863,199.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range \$100,000 - \$400,000; Average award: \$387,027.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Five non-competing continuations will be awarded. Fiscal Year 2021: No new awards are expected. Fiscal Year 2022: No new awards are expected

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

Program regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Program Operations, Carmelia A. Strickland,
Mary E. Switzer Building, Administration for Native Americans, 330 C Street,
SW., Mail Stop 4126,
Washington DC 20201

Email: Carmelia.Strickland@acf.hhs.gov

Phone: 877-922-9262

Website Address:

<https://www.acf.hhs.gov/ana>

RELATED PROGRAMS:

93.587 Promote the Survival and Continuing Vitality of Native American Languages;

EXAMPLES OF FUNDED PROJECTS:

2020 Cherokee Nation's "Our Unified Language Curriculum" Project Cherokee Nation aims to develop and establish a coordinated, standardized Cherokee language curriculum available for each level of educational language learning (early childhood, elementary, high school, and college certification) and establish a Cherokee Language Board to oversee the collaborative effort of the Cherokee Nations language programs resulting in a unified vision, implementation, and mission of all.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO. In general, proposals are judged on the basis of relevance to program objectives as stated in the NOFO, project strategy, community support in project design and implementation, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.341 Analyses, Research and Studies to Address the Impact of CMS Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Social Security Act, Section 1110, codified at 42 U.S.C., Section 1310. This authority permits the obligation of funding for CMS to enter into grants and cooperative arrangements with organizations and certain other entities in order to aid in improving the administration and effectiveness of programs carried out by the agency. For purposes of this award, CMS is accepting an application from the National Indian Health Board (NIHB) under a single-source cooperative agreement. Approval to award this cooperative agreement to NIHB under a single-source award was granted by the Chief Grants Management Officer, dated April 6, 2017.

OBJECTIVES:

To further CMS mission and goals related to providing high quality health care to the American Indian/Alaska Native (AI/AN) community by providing research and analysis to increase the understanding of, access to, and impact of CMS programs in Indian Country. Congress authorized AI/ANs to have access to Medicare and Medicaid services when provided through Indian Health Service (IHS) facilities located in tribal communities and amended titles XVIII and XIX of the Social Security Act to permit IHS facilities to bill Medicare and Medicaid for services provided to eligible AI/ANs. This authority was later extended to tribal facilities. Congress has also provided authority for Indian health care programs and beneficiaries to participate in the Children's Health Insurance program and the Health Insurance Marketplace. These changes have created a direct relationship

between CMS and the Indian Health Service. The research conducted under this cooperative agreement is needed to help improve administration of CMS programs given that CMS and IHS programs operate under different and sometimes competing authorities for CMS programs, the Social Security Act and for IHS programs, the Indian Health Care Improvement Act and the Indian Self Determination Education and Assistance Act, P.L. 93-638. To make CMS programs work with Indian health program authorities, a crucial first step is for CMS to understand the impact of its policies on Indian health care providers and tribal members so that the agency can provide the AI/AN community greater access to CMS programs in an effective and efficient manner. The authority at Section 1110 of the Social Security Act permits CMS to enter into grants and cooperative arrangements with organizations and certain other entities in order to aid in improving administration and effectiveness of programs administered by the agency. To this end, the work conducted under this cooperative agreement will address the potential and actual impacts of CMS programs on AI/ANs and the health care system serving these beneficiaries. CMS understanding of the impact of its policies is vital to improving greater AI/AN access to and participation in CMS programs; improving and ensuring that appropriate health care payments and resources are provided to IHS tribal, and urban Indian Health program providers; and contributing to overall improved health outcomes for Indian people. The information and activities produced or provided under the cooperative agreement will be used to develop culturally appropriate activities and strategies to improve how CMS programs operate and are administered in Indian country.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

In recent years a number of statutory changes have been made to CMS programs and regulations have been developing to implement those changes. The assistance provided under this cooperative agreement will be used to: a) assess the ongoing impact of CMS programs through an analysis of CMS regulations and CMS initiatives that have a potential impact or effect on IHS, Tribal and Urban Indian providers and AI/AN beneficiaries and use this information to monitor AI/AN participation in the CMS regulatory process to assess whether such participation contributes to the understanding of how CMS-related provisions in the Patient Protection and Affordable Care Act (ACA) impact the financing and delivery of health care in the Indian health care system; b) refine the inventory of AI/AN demographic, enrollment, and utilization data in order to develop strategies that make CMS data systems capable of reporting AI/AN enrollments, service utilization, health status and payment data from the Medicare, Medicaid and CHIP programs to facilitate program planning and evaluation, performance measurement, health status monitoring, and target enrollment efforts, identify gaps in data collection, propose and analyze approaches necessary to change and augment data collection systems and other information needed to support all reporting requirements under ACA, CHIPRA and ARRA, and propose reporting mechanisms and protocols for such reporting; c) update and revise the CMS Tribal Technical Advisory Groups 2013-2018 Strategic Plan (which is used to guide the administration of CMS programs in Indian country) to incorporate legislative and regulatory changes resulting from the ACA; and d) Provide research support on the use and effectiveness of the CMS tribal consultation policy so that the agency can be assured to get appropriate tribal input when developing policies that will have a substantial direct effect on tribes. (e) Evaluate the effectiveness of outreach and enrollment efforts to AI/AN beneficiaries in CMS programs by conducting training on the basics of CMS programs to enrollment assisters and Indian health provider third party resource staff, learning and sharing best practices to increase AI/AN enrollment, and developing AI/AN culturally appropriate outreach materials based on feedback from training and outreach efforts. Provide quarterly reports summarizing outreach trainings and enrollment events, compilation of best practices utilized and lessons learned, and analysis of and recommendations to improve outreach efforts in Indian Country. Indirect Costs - If grantee requests indirect costs, an approved Indirect Cost Rate Agreement will be required to support those costs. The provisions of OMB Circular A-87 govern reimbursement of indirect costs under this funding opportunity announcement. OMB Circulars are available on line at: <http://www.whitehouse.gov/omb/circulars> Direct Services - funds may not be used to provide individuals with services that are already funded through Medicare, Medicaid and/or CHIP. These services do not include expenses budgeted for provider and/or consumer task force member participation in conferences, provision of technical assistance, or attendance at technical assistance

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conferences sponsored by CMS or its national technical assistance providers for the benefit of awardees. The applicants budget may not exceed a maximum funding level or project period of five years. Reimbursement of Pre-Award Costs No funds awarded under this funding opportunity announcement may be used to reimburse pre-award costs. Other Prohibited Uses of Funds To match any other Federal funds. To provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party. To supplant existing state, local, or private funding of infrastructure or services, such as staff salaries, etc. To be used by local entities to satisfy state matching requirements. To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the application. To pay for construction or alteration and renovation of real property (A&R). To pay for information technology (IT) equipment exceeding 10 percent of the total award. Any equipment, which includes IT, over \$5,000 must be approved by CMS. To pay states for the use of any of their data made available for this initiative. Other limitations - A current recipient cannot be awarded a new, renewal, or competing continuation grant for any of the following reasons: The current project is not progressing in a satisfactory manner; The current project is not in compliance with program and financial reporting requirements; The applicant has an outstanding delinquent Federal debt; No award shall be made until the delinquent account is paid in full; or A negotiated repayment schedule is established and at least one payment is received.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligibility is limited to the National Indian Health Board, and this single source award was approved by the Chief Grants Management Officer. NIHB meets the definition of tribal organization under the Indian Health Care Improvement Act (IHCIA) 25 USC Section 1603(26), with significant historical experience in providing outreach and education and the provision of health care information for Indian Tribes and Tribal Organizations. NIHB is a legally established organization controlled and governed by Indians and includes the maximum participation of Indians in all phases of its activities. NIHB has 100 percent appointed or elected officers that comprise the Board of Directors. NIHB acts in a supportive role to ensure the dissemination of health care education and information to tribes. NIHB must submit a copy of the 501(c)(3) Non-profit Certification as proof of non-profit status. Applicant must have an Employer Identification Number (EIN), otherwise known as a Taxpayer Identification Number (TIN), to apply. Applicant must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number. The DUNS number is a nine-digit identification number that uniquely identifies business entities. To obtain a DUNS number, access the following website: <http://www.dnb.com/> or call -866-705-5711. This number should be entered in the block 8c (on the Form SF-424, Application for Federal Assistance). The organization name and address entered in block 8a and 8c should be exactly as given for the DUNS number. Applicant should obtain this DUNS number immediately to ensure all registration steps are completed in time. Applicant must also register in the Central Contractor Registration (CCR) database in order to be able to submit the application. Applicant should begin the CCR registration process immediately to ensure that it does not impair ability to meet required submission deadlines.

Beneficiary Eligibility:

Federal; Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; The primary beneficiaries of this effort are American Indians and Alaska Natives who are eligible for CMS programs to get them educated about and enrolled in CMS programs, as appropriate, ensure that Indian health care providers can participate and are enrolled in CMS programs, and to reduce health disparities in tribal communities. By enrolling in CMS programs, AI/ANs benefit by having greater access to services that may not be provided by their local Indian health care providers, and tribal communities benefit through increased resources to their Indian health care programs. The Federal government will benefit by obtaining information to help make more informed policies which will improve administration of CMS programs in tribal communities and thereby provide AI/ANs access to quality health care. Federally Recognized Indian Tribal Governments and Native American Organizations would benefit because they can

provide information and insight to help CMS understand the tribal provider and tribal beneficiary perspective so that CMS can make informed policies decisions that would permit CMS programs to be administered and operate effectively and efficiently in tribal communities and not conflict with legislative/regulatory authority under which the Indian Health Service programs operates.

Credentials/Documentation:

NIHB must submit its 501(c)(3) Nonprofit Certification as proof of nonprofit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. A complete application should consist of the following documents organized in the following sequence: Cover letter SF-424 Application for Federal Assistance SF-424A Budget Information A budget narrative not to exceed 15 single spaced pages Abstract of project A research project narrative that describes each of the five separate project objectives (the entire narrative not to exceed 40 single spaced pages) SF-424B Assurances Health Board resolution 501(c)(3) Non-profit Certification Resumes for all key personnel Position descriptions Disclosure of lobbying activities (SFLLL) (if not applicable, then annotate the form) Copy of approved indirect cost (IDC) rate agreement (if applicable) Documentation of current OMB A-133 required financial audit (if applicable) Acceptable forms of documentation include: e-mail confirmation form Federal Audit Clearinghouse (FC) that audits were submitted; or Face sheets from audit reports. These can be found at: <http://harvester.census.gov/sac/> or <http://harvester.census.gov/sac/dissemination/entity.html?submit=Return+tp+Entity+Search> Project Narrative: Separate Project Narratives are required for each of the five projects: Each narrative should be a separate Word document that does not exceed 8 pages with consecutively numbered pages If the individual project narrative exceeds the page limits noted above, only the first 8 pages will be reviewed. The total number for pages submitted should not exceed 40 pages 8 pages for each of the five projects. There are three parts to the narrative for each of the five projects: Part A Program Information (1 page limitation) Section 1: Needs Part B Program Planning and Evaluation (6 page limitation); and Section 1: Program Plans Section 2: Program Evaluation Part C Program report. (1 page limitation) Section I: Describe major accomplishments over the last 24 months Section 2: Describe major activities over the last 24 months. Budget Narrative A separate budget narrative is required for each project. Each narrative must describe the budget amount(s) requested and match the corresponding scopes of work described in the project narrative. The total page limitation for the budget is three pages for each project for a total of 15 pages. The applicant should provide a detailed breakdown of the aggregate number for the budget recorded on standard form 424 (SF 424) application for Federal Assistance, including allocations for each major set of activities or proposed tasks. The proposed budget justification must clearly describe each cost element in the related budget category. The budget must clearly identify what funds will be administered directly by the lead agency and what will be subcontracted to other partners. The designated lead agency is solely responsible for the fiscal management of the project. NIHB must supplement Budget Form SF-424A with a Budget Narrative. The narrative must include a yearly breakdown of costs for the five-year grant period of performance. Specifically, the Budget Narrative should provide a detailed cost breakdown for each line item outlined in the SF 424A by year including a breakdown of costs for each activity/cost with the line item. The proportion of grant funding designed for each activity should be clearly outlined and justify the institutions readiness to receive funding through 2021 including complete explanations and justifications for the proposed grant activities. The budget must separate out funding that is administered directly by the awardee from any funding that will be subcontracted. The following budget categories should be addressed (as applicable): Personnel (Note: none of the funds shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II (\$179,700) Fringe benefits Contractual costs, including subcontracts Equipment Supplies Travel Indirect charges. Indirect costs shall be supported by an approved Indirect cost rate agreement. Other costs, including those not otherwise associated with training and education. The Budget Narrative must

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outline the strategies and activities of the program, and provide cost breakdowns for any subcontracts that will be implemented to achieve anticipated outcomes. The Budget Narrative shall also clearly distinguish the funding source for any given activity/cost, as either Federal or Non-Federal. The applicant should pinpoint those costs funded through in-kind contributions. Applicant must include detailed salary and fringe benefit costs for staff dedicated to the project through an in-kind contribution, to include yearly salary costs and the percentage of time dedicated to the project (for any given year). The total budget should include both direct and indirect costs, if applicable.

Award Procedure:

The review process will include the following: NIHs application will be reviewed to determine eligibility using the criteria detailed in the funding opportunity announcement. An application that is received late or that fails to meet the eligibility requirements as detailed in the FOA or does not include the required forms will not be reviewed. An independent, objective review of the application will be conducted. The review panel will assess the application based on the review criteria to determine the merits of the application and the extent to which it furthers the purposes of the research program. The review panel comments and recommendations will be condensed into a summary statement that will assist CMS in making the award decision. CMS will use the information to judge the likelihood that the project will be successfully implemented and will have tangible, beneficial outcomes. The applicant will receive written notification of the award decision. An award will be made to the successful applicant on or before September 29, 2017. The successful applicant will receive an official Notice of Award (NOA) signed by the CMS Grants Management Officer, OAGM, that will set forth the amount of the award and other pertinent information, including any specific terms conditions of the award required for the fulfillment of the grant. The NOA is a legal document issued to notify the grantee that the award has been approved and that funds are now available for draw down from the HHS Payment Management System (PMS). Any communication between CMS and an awardee prior to issuance of the NOA is not an authorization to begin implementation of a project.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact headquarters for approval information

Appeals:

Not Applicable.

Renewals:

Other. Renewals for FY 2017-2021 will be provided each of these fiscal years based on the availability of funding and grantees acceptable performance

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Section 1110 of the Social Security Act requires cost sharing by the applicant. To comply with this requirement, CMS requires that the applicant provide cost sharing equal to at least one percent (1%) of the amount of the award. This cost sharing requirement may be satisfied through in-kind contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: quarterly

Reports:

The grantee must submit the following required reports through the period of performance: 1) quarterly progress reports, 2) annual report, and 3) final report. CMS will provide the format for these reports in the terms and conditions. Research and analysis of impact of CMS Regulations/Initiatives on the Indian health care system quarterly and annual reports to summarize the impacts of the CMS-related regulations and initiatives on provision of health care in the I/T/U system and AI/AN beneficiaries. Data Research and Analysis Project-Prepare Medicare and Medicaid/CHIP annual reports that include: findings from the analysis of the Medicare, Medicaid, and CHIP data; identifies gaps in data collection; identifies shortcomings in system interactions; proposes CMS/IHS/SSA data interface protocols; and makes specific recommendations on additional data systems improvements. In order for CMS to monitor the grantees efforts toward reaching the goals of the grant program, the grantee must agree to provide CMS with

information it may require to assess the functioning and effectiveness of the program and to ensure that the grant monies are expended for the purposes for which they were awarded. The grantee must submit the following required reports through the period of performance: 1) semi-annual progress reports, 2) annual report, and 3) final report. CMS will provide the format for these reports in the terms and conditions Federal financial reporting-- submit the SF-425 Federal Financial Report on an annual, semi-annual, or quarterly basis. More details are outlined in the Notice of Award Terms and Conditions. Transparency Act Reporting Requirements report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sureties five most highly compensated executives as outlined in Appendix A and 2 CFR Part 170 (on line at www.frs.gov). A performance report is required quarterly and annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Guidelines within OMB Circular No. A-133

Records:

The recipient must maintain expenditures and other financial records detailing the use of cooperative agreement funds and the progress reports for three years from the day on which the grantee submits the last financial status report for the grant period unless there is an open investigation in which case the records must be maintained during the duration of the investigation

Account Identification:

05-0101-2-0-141.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$800,000.00; FY 21 est \$500,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 2014 - \$635,000 FY 2015 - \$635,000 FY 2016 - \$860,000 Approximate average award -- \$800,000.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Linda Gmeiner Grants Management Specialist Centers for Medicare & Medicaid Services Office of Acquisition and Grants Management, AGG, DGM7500 Security Boulevard, M/S B3-30-03 Baltimore, MD 21244-1850 410-786-9954 Linda.gmeiner@cms.hhs.gov

Headquarters Office:

Linda Gmeiner,
7500 Security Blvd. M/S B3-30-03,
Baltimore MD 21244
Email: Linda.gmeiner@cms.hhs.gov
Phone: 410-786-9954

Website Address:

<http://www.nihb.org>

RELATED PROGRAMS:

93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application will be scored with a total of 100 points available. A minimum score of 90 points is required for funding. The following criteria will be used to evaluate the application received in response to the funding opportunity announcement. 1. Evaluation Criteria Part A: Program Information Addresses Needs (15 points) Part

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B: Program Planning and Evaluations Addresses project objectives, workplan and consultants) Program Plans (40 points) Program Evaluation (20 points)Part C: Program Report -- Addresses organizational capabilities and qualifications (15 points) Budget Narrative -- (10 points)The instructions for preparing the application narrative also constitute the evaluation criteria for reviewing and scoring the application. Weights assigned to each section are noted in parentheses. Points will be assigned to each evaluation criteria totaling 100 points. The ten-page narrative should include only the first year of activities, and information for multi-year projects should be included as an appendix.

93.342 Health Professions Student Loans, Including Primary Care Loans and Loans for Disadvantaged Students

HPSL (E11, E12, E13, E14, E15), PCL (E26, E27), LDS (E31, E32, E33, E34, E35, E36, E37).

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title VII, Part C, Section 721-735, 42 U.S.C. 292q-292y; Health Professions Education Partnerships Act of 1998, Public Law 105-392; Section 5201(a)(1)(B) of the Affordable Care Act; Section 5201(b) of the Affordable Care Act.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved health discipline.

TYPES OF ASSISTANCE:

Project Grants (to capitalize loan funds)

USES AND USE RESTRICTIONS:

Funds on deposit can only be used for loans to eligible students pursuing a full-time course of study. The maximum amount a student may borrow is the cost of attendance (including tuition, other reasonable educational expenses and reasonable living expenses). Third and fourth year medical and osteopathic medicine students may be eligible for additional funding to repay earlier higher-interest educational loans. The interest rate is five percent. To be eligible for a Primary Care Loan (PCL), students of allopathic medicine and osteopathic medicine must meet financial need criteria and agree to enter and complete a residency training program in primary health care not later than four years after the date on which the student graduates from such school. The PCL borrower must also practice primary health care for 10 years (including the years spent in residency training) or through the date in which the loan is repaid in full, whichever occurs first. To be eligible for the Loans for Disadvantaged Students (LDS) program, students must meet the Health Professions Student Loans (HPSL) criteria and also be from a disadvantaged background as defined by the Secretary. A school must be carrying out a program for recruiting and retaining students from disadvantaged backgrounds, including racial and ethnic minorities and carrying out a program for recruiting and retaining minority faculty to be eligible for LDS funds. In addition, the school must agree to ensure that adequate instruction regarding minority health issues is in the curricula of the school. Health clinics must provide services to a significant number of individuals who are from disadvantaged backgrounds, including members of minority groups and enter into arrangements with one or more such clinics for the purpose of providing students of the school with experience in clinical services to such individuals. Secondary educational institutions and undergraduate institutions of higher education must enter into arrangements with one or more such institutions for the purpose of carrying out programs regarding the educational preparation of disadvantaged students, including minority students, to enter the health professions and recruit such individuals into the health professions. These institutions should also establish a mentor program for assisting disadvantaged students, including minority students, toward completion of the educational requirements for degrees from the school.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any accredited public or other nonprofit private school of medicine, dentistry, osteopathic medicine, optometry, podiatry, pharmacy, or veterinary medicine which provides a course of study leading to a degree of Doctor of Medicine or Doctor of Osteopathic Medicine for PCL and LDS borrowers, Doctor of Dentistry (or an equivalent degree), Doctor of Optometry (or an equivalent degree), Doctor of Podiatric Medicine (or an equivalent degree), Bachelor of Science in Pharmacy (or an equivalent degree), Doctor of Pharmacy (or an equivalent degree), or Doctor of Veterinary Medicine (or an equivalent degree) for HPSL and LDS borrowers. Additionally, the school must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, or the Federated States of Micronesia. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Student/Trainee; U.S. Citizen; Student applicants must display financial need and be enrolled or accepted for enrollment in a health professions school to pursue a full-time course of study leading to a degree as specified above. Students must also be citizens, nationals or lawful permanent residents of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, or the Federated States of Micronesia.

Credentials/Documentation:

Annual operating reports serve as the renewal application for current loan recipient institutions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Students should apply at financial aid office of school of their choice for assistance in applying for a loan. The school then tabulates requests for financial aid and awards loans based on needs of students and available funds. Schools can obtain new applications for this program from the Division of Health Careers and Financial Support by calling (301) 443-1173. Annual operating reports serve as the renewal application for current loan recipient institutions

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award. When Federal Capital Contribution funds are available for re-distribution, a Notice of Award is sent to the School's Contact Person notifying the school of the amount of federal funds allocated for loans within program and discipline prior to the beginning of the academic year in which the award is to be utilized. Students are notified by the schools financial aid office of approval or disapproval of loans at times determined by schools.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Applications are only required for new schools. Annual Operating Reports are required for renewals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. 11% Matching Requirement. Under the Federal Capital Contribution method, a school must match the Federal Capital Contribution with an amount equal to one-

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ninth of the funds drawn down. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The time required by a student to complete the necessary course of study leading to a degree and subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. An Annual Operating Report (AOR) through electronic submission at <http://grants.hrsa.gov> must be submitted 45 days after the end of each budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 .

Records:

Financial records must be kept until such time as agreed upon with the Secretary as specified in 42 CFR 57.215. Recipients are required to maintain grant accounting records 3 years after the date they submit Annual Operating Report (AOR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants (to capitalize loan funds) FY 20 \$4,500,000.00; FY 21 est \$18,000,000.00; FY 22 est \$4,200,000.00; Project Grants (to capitalize loan funds) FY 20 \$8,200,000.00; FY 21 est \$4,500,000.00; FY 22 est \$4,200,000.00; Project Grants (to capitalize loan funds) FY 20 \$5,500,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

PCLFY20 Range: \$8,000 - \$750,000; Average per institution \$235,000FY21 Est. Range: \$12,000 - \$6,800,000; Average per institution \$700,449FY22 Est. Range: \$8,000 - \$750,000; Average per institution \$235,000HPSLFY20 Range \$9,000 - \$3,100,000; Average per institution \$340,000FY21 Est. Range \$387,000 - \$9,300,000; Average per institution \$389,000FY22 Est. Range \$9,000 - \$3,100,000; Average per institution \$340,000LDSFY20 Range \$3,500 - \$3,200,000; Average per institution \$554,000FY21 Est. Range \$12,500 - \$9,500,000; Average per institution \$656,351FY22 Est. Range \$3,500 - \$2,500,000; Average per institution \$554,000

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Increase in loans for all programs due to a reduction in unused funds being held in revolving loan accounts at institutions.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Jim Essel (PCL), Devonaline Horne (LDS), or Hai Le (HPSL), Division of Health Careers and Financial Support, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 15N58, Rockville MD 20857
Email: jim.essel@hrsa.hhs.gov
Phone: (301) 443-1173

Website Address:

<http://bhwh.hrsa.gov/scholarshipsloans/index.html>

RELATED PROGRAMS:

84.038 Federal Perkins Loan Program_Federal Capital Contributions; 93.364 Nursing Student Loans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness, accuracy, and to determine if applicant schools meet minimum program requirements.

93.343 Public Health Service Evaluation Funds

PHS Evaluation Funds,

FEDERAL AGENCY:

OASH IMMEDIATE OFFICE OF THE ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Section 241 of the Public Health Service Act, , 42 USC Code 241.

OBJECTIVES:

To carry out evaluations (including longitudinal evaluations) of teen pregnancy prevention approaches.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for research, evaluation, and statistics projects that would make significant contributions to the mission of the funding office. Under this announcement, OASH could consider research and evaluation projects that further the understanding of what works, for whom and how in any of the subject matter areas covered by program areas in OASH. This includes the Office of Population Affairs which is interested funding studies in the field of teen pregnancy prevention and expectant and parenting youth. OPA would also consider applications that include research that can be carried out in a short period of time with limited resources, such as secondary data analyses using program and evaluation data OPA is in possession of or Teen Pregnancy Prevent Program related data that the recipient could obtain quickly.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; State; Other public institution/organization; Nonprofit, for profit, small businesses, community-based organizations, faith-based organizations, universities, hospitals, state and local governments, US territories and possessions, tribal entities, native American organizations are eligible to apply.

Beneficiary Eligibility:

Youth (16-21); Researchers, Policymakers, Teens, Parents

Credentials/Documentation:

A non-profit organization must provide evidence of its non-profit status. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

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Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity and application packages may be found on www.grants.gov under this Assistance Listing Number. Applications must be submitted electronically through grants.gov.

Award Procedure:

An independent review panel will evaluate applications that meet the responsiveness criteria, if applicable, and are not disqualified. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies. Based on the Application Review Criteria in the Notice of Funding Opportunity, the reviewers will comment on and rate the applications. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance. The Deputy Assistant Secretary for Population Affairs (DASPA) will provide recommendations for funding to the Grants Management Officer to conduct risk analysis. Pending a satisfactory risk assessment, the Grant Management Officer will issue Notices of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. All decisions, including funding level, are final and not appealable.

Renewals:

From 30 to 60 days. OASH awards funds in 12 month budget periods up to the total period of performance subject to approval of a non-competing continuation application, availability of funds, satisfactory performance, and best interests of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Availability of funds is limited to the period of performance. Funds are typically awarded for a 12-month initial budget period. Subsequent budget periods (typically 12 months) may be awarded after submission and review of a non-competing continuation application. Fund is subject to availability of funds, satisfactory performance and progress, and the best interests of the government. Method of awarding/releasing assistance: other

Reports:

Annual progress reports are due 90 days after the end of the budget period. Quarterly SF425 Federal Financial Reports (FFR) are required to be submitted through the HHS Payment Management System, within 30 days of the end of each federal fiscal quarter. The final FFR is due 120 days after the end of the period of performance. Progress reports are required semi-annually each year of the award. Annual progress reports are due 90 days after the end of the budget period. SF425 Federal Financial Reports are required to be submitted to the Office of Grants Management quarterly with an annual FFR due 90 days after the end of the budget period. FFR's must be submitted electronically through GrantSolutions. Performance monitoring is conducted by office staff (project officers) via monthly conference calls, email communication, progress reports, and site visits (when funds are available for travel).

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is subject to the Audit requirements in 45 CFR part 5.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-

grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

Project Grants FY 20 \$3,200,000.00; FY 21 est \$3,200,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

\$500,000-\$1,500,000

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. , Evaluation Specialist, Office of Adolescent Health, 240-453-2836, Amy.Farb@hhs.gov

Headquarters Office:

Amy Feldman Farb,
1101 Wootton Parkway,
Rockville MD 20875
Email: Amy.Farb@hhs.gov
Phone: 240-453-2836
Fax: Director Scott Moore,
1101 Wootton Parkway,
Rockville MD 20852
Email: Scott.Moore@hhs.gov
Phone: 240-453-8822

Website Address:

<http://www.hhs.gov/opa>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Research and evaluation projects that encourage healthy behavior choices to delay the onset of sexual activity, reduce number of sexual partners, and if sexually active, encourage the use of preventative measures for STIs and pregnancy. Synthesize and translate existing research into practice for health promotion activities that will lead to adoption of healthy behaviors and ultimately help to reduce teen pregnancy. 2021 Research and evaluation projects that encourage healthy behavior choices to delay the onset of sexual activity, reduce number of sexual partners, and if sexually active, encourage the use of preventative measures for STIs and pregnancy. Projects that will synthesize and translate existing research into practice for health promotion activities that will lead to adoption of healthy behaviors and ultimately help to reduce teen pregnancy. 2022

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Research and evaluation projects that encourage healthy behavior choices to delay the onset of sexual activity, reduce number of sexual partners, and if sexually active, encourage the use of preventative measures for STIs and pregnancy. Projects that will synthesize and translate existing research into practice for health promotion activities that will lead to adoption of healthy behaviors and ultimately help to reduce teen pregnancy.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the Notice of Funding Opportunity for each announcement under this Assistance Listing.

93.344 Research, Monitoring and Outcomes Definitions for Vaccine Safety

Vaccine Safety Research,

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Section 1702(a) [42 U.S.C. 300u-1(a)] and Section 1703(a) and (c) [42 U.S.C. 300u-2(a) and (c)] of the Public Health Service Act, as amended.

OBJECTIVES:

The National Vaccine Program Office (NVPO) collaborates with federal partners to provide strategic direction for the coordination of the vaccine and immunization enterprise for the National Vaccine Plan (NVP) implementation http://www.hhs.gov/nvpo/vacc_plan/2010%20Plan/nationalvaccineplan.pdf NVPO is looking to partner with an institution or organization to conduct research or surveillance improvements related to vaccine safety. The areas of research are specifically, but not limited to, predicting the safety profile of new vaccines during the early development stage, developing or modifying existing vaccines to improve their safety, conducting applied research that will have a direct impact on the current vaccine safety monitoring system, conducting research that will improve the safety profile of currently marketed vaccines, conducting research that will improve the understanding of the safety profile of immunizations that are or will be recommended for adults over 65 years old

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be applied to improving vaccine safety knowledge, improving the monitoring of adverse events following immunization, including vaccines used by adults over 65 years old. Examples of applicability are predicting the safety profile of new vaccines during the early development stage, developing or modifying existing vaccines to improve their safety, conducting applied research that will have a direct impact on the current vaccine safety monitoring system, conducting research that will improve the safety profile of currently marketed vaccines, conducting research that will improve the understanding of the safety profile of immunizations that are or will be recommended for adults over 65 years old. There is approximately \$500,000 available.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public (including city, county, regional, and State government) organizations and private nonprofit entities.

Beneficiary Eligibility:

Women; Improving vaccine safety for adults.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status.

Allowability of costs charged to the cooperative agreement will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply, access the announcement through www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadline will not be accepted for review. The submission deadline will not be extended.

Applications which do not conform to the requirements of the grant announcement will not be accepted for review. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will not be reviewed. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Final funding decisions will be determined by the NVPO Office Director; taken into consideration are the recommendations of the review panel; program needs, stated preferences; geographic location. All applicants are notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. from 6 to 9 months

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative Agreements may not exceed 2 years (subject to the availability of funds). Payment will be made either on a monthly cash request basis or under Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: letter

Reports:

Quarterly and Annual Progress Reports must be submitted each year. A Final Report will be due 90 days after the end of the project period. Federal Financial Reports (FFR) SF425 are required quarterly, and must be submitted to Payment Management Services 30 days after the end of each calendar quarter. A revised work plan must be submitted each year to indicate any service and/or partnership revisions Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter and a final FFR 90 days after the end of the project period end date. Reports are to be submitted electronically through GrantSolutions. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0150-0-1-120.

Obligations:

Cooperative Agreements FY 19 Not Available; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

No FY21 Funding anticipated

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HHS Grants Policy Statement is available online:

<http://www.ahrq.gov/funding/policies/hhspolicy/index.html> HHS Grants Policies

and Regulations are available online: <http://www.hhs.gov/grants/grants/grants-policies-regulations/>

Regional or Local Office:

See Regional Assistance Locations. Karin Bok, MS, PhD202-690-

1191Karin.Bok@hhs.gov

Headquarters Office:

Eric C. West,

1101 Wootton Parkway Tower Building Suite 550,

Rockville MD 20852

Email: eric.west@hhs.gov

Phone: (240) 453-8822.

Website Address:

<http://www.hhs.gov/nvpo>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see FOA.

93.345 Leading Edge Acceleration Projects (LEAP) in Health Information Technology

LEAP,

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Title Consolidated Appropriations Act, 2018, Part Pub. L. 115-141, Section Division H, Title II, Title HR 6157 - Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019, , Public Law 115-245, Division B, Title II, Title H.R. 1865 Further Consolidated Appropriations Act, 2020, Pub. L. 116- 94, Division A-

DEPARTMENTS OF LABOR, HEALTH AND HUMAN SERVICES, AND EDUCATION, AND RELATED AGENCIES, , Public Law 116-94, Title Consolidated Appropriations Act, 2021, Division H, Title II,

OBJECTIVES:

Through this notice of funding opportunity (NOFO) ONC seeks to partner with innovative organizations that can look to the future and develop leading solutions and innovations to some of these vexing problems. As such this announcement is focused on two areas of interest: (1) Expanding the scope, scale, and utility of population-level data-focused APIs; and (2) Advancing clinical knowledge at the point of care.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

For Objective 1: The goal of this focus area is for the awardee to develop a prototype and propose enhancements to existing technical specifications that would make it possible for an independent entity to securely access data on various populations of patients from a FHIR-based API. For Objective 2: The goal of this focus area is to advance clinical knowledge into the health care setting via innovative health IT tools and solutions.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public or non-profit private institutions, such as a university, college, or a faith-based or community-based organization; units of local or state government, eligible agencies of the federal government, Indian/Native American Tribal Governments (federally recognized, other than federally recognized, and tribally designated organizations).

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Non-profit applicants must submit proof of non-profit status. Any of the following constitutes acceptable proof of such status: A copy of a currently valid IRS tax exemption certificate. A statement from a state taxing body, state attorney general, or other appropriate state official certifying that the applicant organization has a non-profit status and that none of the net earnings accrue to any private shareholders or individuals. A certified copy of the organizations certificate of incorporation or similar document that clearly establishes non-profit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The final award decision will be made by the National Coordinator for Health Information Technology taking into consideration several factors such as the results of the merit review process, results of the pre-award risk assessment, compliance with programmatic and grants management requirements; the reasonableness of the estimated costs, available funding, geographical dispersion, program priorities; and the likelihood that the proposed project will result in the benefits expected. All applicants will receive a summary of the objective review panels assessment of the applications strengths, weaknesses, and score. The recipients Authorized Representatives will receive the notice of award electronically from ONC. The Recipient accepts the award by drawing down funds. By accepting an ONC award, the recipient assumes legal, financial, administrative, and programmatic responsibility for administering the award in accordance with

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the terms and conditions of the award, as well as applicable laws, rules, regulations, and Executive Orders governing HHS assistance awards, all of which are to be incorporated into the award by reference. Failure to comply with these requirements may result in suspension or termination of the awards and/or ONCs recovery of award funds.

Deadlines:

July 01, 2018 - August 17, 2018.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days.

Renewals:

From 90 to 120 days. Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance will be available during the period of performance of the award (September 2018-2023), and 90 days after the close of the period of performance for reconciliation. Method of awarding/releasing assistance: other

Reports:

ONC Program Progress Reports (PPR) are due quarterly. The PPR will address, to the extent applicable: degree to which performance goals were attained (actual performance versus targeted performance) data source and validation method for performance measures opportunities to address performance deficiencies accomplishments next steps challenges barriers recommendations to address challenges and barriers. ONC will provide specific guidance regarding the content and format of the PPR before the reports are due. Expenditures must be reported, on a semi-annual basis, using the SF-425, Federal Financial Report (FFR). Reports are due to HHS no later than April 30 of each year the award is active for funds expended between October and March, and no later than October 31 for funds expended between April and September. The semi-annual FFR will be submitted using the Online Data Collection (OLDC) system. ONC will not accept reports sent directly to the ONC Grants mailbox. The FFR Cash Transaction Report, a subset of the SF-425, Federal Financial Report, is submitted via the Payment Management System (PMS) every calendar quarter for the life of the award. The report must be submitted within 30 days after the end of the quarter (January 31, April 30, July 31, and October 31).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of three years from the date the final FFR is submitted. For awards where the FFR is submitted at the end of the competitive segment, the three-year retention period will be calculated from the date the final FFR, for the entire competitive segment, is submitted. 45 CFR Part 75.361 provides exceptions and qualifications to the three-year retention requirement. For example, if any litigation, claim, financial management review, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. This section also specifies the retention period for other types of grant-related records, including indirect cost proposals and property records. See 45 CFR 75.335 for record retention and access requirements for contracts under grants.

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 Not Available; FY 21

Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$2,500,000.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$2,749,348.00; FY 21 est \$2,000,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

\$2,000,000 was obligated for FY 18 and FY19 for this program. Each award was approximately \$1M each. \$2,500,000 is set to be obligated for FY20. The award amount will be determined upon the documentation, scope, and strength of the awardees application.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR, Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements For HHS Awards <http://www.ecfr.gov/cgi-bin/text-idx?SID=06a0b0411d1520fae5e2799030e64ebf&node=pt45.1.75&rgn=div5HHS> Grants Policy Statement <https://www.hhs.gov/sites/default/files/grants/grants/policies-regulations/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 202-720-2919
Fax: Project Officer Tracy Okubo,
330 C Street SW,
Washington DC 20201
Email: tracy.okubo@hhs.gov
Phone: 2029693627
Fax:

Website Address:

<https://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employee and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals based on the merit review criteria of Understanding of Project Purpose, Approach and Activities, Applicant Capabilities, and Budget, Level of Effort, and Justification. A detailed list of merit review criteria in these areas are detailed in the funding opportunity announcement.

93.346 Enhancing the Logical Observation Identifiers Names and Codes (LOINC) Standard to meet U.S. Interoperability Needs

LOINC,

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Title Consolidated Appropriations Act, 2018, Part Pub. L. 115-141, Section Division H, Title II

OBJECTIVES:

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This single source cooperative agreement to Regenstrief, is being made in order to expedite improvements and bridge gaps between Health Level 7 Internationals (HL7) Fast Healthcare Interoperability Resources (FHIR). Additionally, the cooperative agreement will advance the production deployment of FHIR-based terminology services, support LOINC content developers as they develop new content that supports the USCDI improvements, and enhance tools for LOINC implementers.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Under this cooperative agreement, Regenstrief is expected to enhance the tools made available to LOINC implementers in an efficient manner without loss of semantics using industry preferred technical approaches, and improve their current infrastructure to reduce the burden on LOINC developers and improve implementer usability.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); The Regenstrief Institute is the only entity to apply for this award.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The recipients Authorized Representatives will receive the NGA electronically from ONC. The recipient accepts the award by drawing down funds. By accepting an ONC award, the recipient assumes legal, financial, administrative, and programmatic responsibility for administering the award in accordance with the terms and conditions of the award, as well as applicable laws, rules, regulations, and Executive Orders governing HHS assistance awards, all of which are to be incorporated into the award by reference. Failure to comply with these requirements may result in suspension or termination of the awards and/or ONCs recovery of award funds.

Deadlines:

July 01, 2018 - August 01, 2018.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days. The awarding agency may issue a 30-day rework period should the NOFO applicant fail to submit the required objectives as described in the NOFO SOW. There will be no appeals process for this program.

Renewals:

From 90 to 120 days. Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance will be available during the period of performance (September 2018-September 2023), as well as 90 days after the close of the period of performance for reconciliation and close out. Method of awarding/releasing assistance: other

Reports:

ONC Program Progress Reports (PPR) are due semi-annually. The PPR will address, to the extent applicable: degree to which performance goals were attained (actual performance versus targeted performance) data source and validation

method for performance measures opportunities to address performance deficiencies accomplishments next steps challenges barriers recommendations to address challenges and barriers ONC will provide specific guidance regarding the content and format of the PPR before the reports are due. Expenditures must be reported, on a semi-annual basis, using the SF-425, Federal Financial Report (FFR). Reports are due to HHS no later than April 30 of each year the award is active for funds expended between October and March, and no later than October 31 for funds expended between April and September. The semi-annual FFR will be submitted using the Online Data Collection (OLDC) system. ONC will not accept reports sent directly to the ONC Grants mailbox. The FFR Cash Transaction Report, a subset of the SF-425, Federal Financial Report, is submitted via the Payment Management System (PMS) every calendar quarter for the life of the award. The report must be submitted within 30 days after the end of the quarter (January 31, April 30, July 31, and October 31).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of three years from the date the final FFR is submitted. For awards where the FFR is submitted at the end of the competitive segment, the three-year retention period will be calculated from the date the final FFR, for the entire competitive segment, is submitted. 45 CFR Part 75.361 provides exceptions and qualifications to the three-year retention requirement. For example, if any litigation, claim, financial management review, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. This section also specifies the retention period for other types of grant-related records, including indirect cost proposals and property records. See 45 CFR 75.335 for record retention and access requirements for contracts under grants.

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements FY 19 Not Available; FY 20 est \$500,000.00; FY 21 Estimate Not Available; Cooperative Agreements FY 19 \$500,000.00; FY 20 Estimate Not Available; FY 21 Estimate Not Available;

Range and Average of Financial Assistance:

There has been \$1,599,999 obligated for FY 18 -FY 20 for this award to the Regenstrief Institute.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR, Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards <http://www.ecfr.gov/cgi-bin/text-idX?SID=06a0b0411d1520fae5e2799030e64ebf&node=pt45.1.75&rgn=div5HHS> Grants Policy

Statement <https://www.hhs.gov/sites/default/files/grants/grants/policies-regulations/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 202-720-2919
Fax: Project Officer Tracy Okubo,
330 C Street SW,

ASSISTANCE LISTINGS DESCRIPTIONS

Washington DC 20201
Email: tracy.okubo@hhs.gov
Phone: 202-969-3627
Fax:

Website Address:

<https://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employee and subject matter experts (non-federal employees). The proposal will receive a score based on strength and merit of their proposals based on the merit review criteria of Understanding the Requirements, Technical Approach, Staffing Qualifications, Innovation, Development of Solutions, and Reasonableness of Budget Narrative. A detailed list of merit review criteria in these areas are outlined in the funding opportunity announcement.

93.347 Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement

TEFCA RCE Cooperative Agreement,

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Title 21st Century CURES Act, Division A, Part Title IV - Delivery, Section 4003 - Interoperability, Title HR 6157 - Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019, Part , Section , Public Law 115-245, Division B, Title II. **OBJECTIVES:**

The purpose of this program is to support efforts to advance the establishment of an interoperable health system that (1) empowers individuals to use their electronic health information to the fullest extent (2) enables providers and communities to deliver smarter, safer, and more efficient care, and (3) promotes innovation at all levels. The Draft Trusted Exchange Framework, released on January 5, 2018, advances the goals of the 21st Century Cures Act (Cures Act) to advance nationwide interoperability by creating a common set of principles, terms, and conditions that facilitate trust between health information networks (HINs). The Draft Trusted Exchange Framework contains two parts: Part A Principles for Trusted Exchange and Part B Minimum Required Terms and Conditions for Trusted Exchange. Part A provides guard rails and general principles that HINs and Qualified HINs should follow to engender trust amongst Participants and End Users. Part B provides specific minimum required terms and conditions to be included into a single Common Agreement by a RCE. ONC will review comments received on Part A and Part B to develop a final Trusted Exchange Framework that the RCE would assist in implementing. Part B of the Draft Trusted Exchange Framework is not intended to be an all-encompassing participation agreement, but rather includes provisions to address identified areas of variation across existing network agreements. This funding opportunity announcement seeks to identify a single RCE that will in collaboration with ONC incorporate the final Part B requirements into a single Common Agreement to which Qualified HINs and their Participants may voluntarily agree to adhere. Development of a single, all-encompassing agreement that is nationwide in scope will significantly reduce the need for one-off or point-to-point interfaces, which are costly, complex to create and maintain, and an inefficient use of provider and health IT developer resources.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This cooperative agreement program will fund a single award with a three (3) year period of performance. The award amount is a minimum of \$500,000. ONC reserves the right to make adjustments made in later years based on the scope of work for each year, the RECs performance to plan, and appropriated funding available. ONC intends to select a single RCE, through a competitive process, to operationalize the Common Agreement component of the Trusted Exchange Framework and Common Agreement (TEFCA) The RCE will work closely with ONC to develop a single, all-encompassing Common Agreement that incorporates the Minimum Required Terms and Conditions outlined in Part B of the Draft Trusted Exchange Framework, as well as additional provisions, as long as such provisions do not conflict with the Trusted Exchange Framework. ONC will have the right to review any additional provisions before they are added. The RCE will act as a governance body to maintain, oversee, and enforce the Common Agreement, while ONC will update and maintain the Trusted Exchange Framework. ONC and the RCE will maintain over time the Trusted Exchange Framework and the Common Agreement, respectively. In order to meet the goals of the Cures Act, to build on existing work done by the industry, and to scale interoperability nationwide, ONC believes that an external entity would be an effective implementer of the TEFCA on behalf of ONC and as per ONC direction. We are seeking an existing, industry-based organization that is external to ONC to operationalize the Common Agreement component of the TEFCA We expect that the entity applying to be the RCE would have active participation by diverse stakeholders from across the country, including providers, payers, federal agencies, health information networks, and others.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Any entity applying for a cooperative agreement must satisfy the following criteria: 1.Be a United States-based not-for-profit entity;2.Has an existing (or previous) agreement (or single collective set of existing policies) which the applicant determines, oversees, or administers that defines the business, operational, technical, or other conditions or requirements for enabling or facilitating access, exchange, or use of electronic health information between or among two or more unaffiliated individuals or entities. 3.Currently operates or has previously operated across two (2) or more state jurisdictions and can demonstrate governance over data sharing across these jurisdictions; 4.Demonstrates, through letters of support, previous or current participation and membership in a public-private collaborative across two or more state jurisdictions and from multiple types of stakeholders. Such stakeholders can include, but are not limited to, healthcare systems, payers, purchasers, care providers (i.e. long-term and post-acute care, behavioral health, community-based and safety net providers, and emergency medical services), key partners and stakeholders, health IT developers; health information networks, and/or other multi-stakeholder collaboratives that enable widespread health information exchange to occur; 5.Demonstrates the presence of a current (or previous) board or commission with broad stakeholder representation, that has governing authority over the entity, and the ability to modify as needed immediately upon award; 6.Has a mission statement or similar principal organizational goal indicating their commitment to the advancement of health care interoperability; and 7.Demonstrates a commitment to transparent, fair, and nondiscriminatory data exchange by participants through existing organizational policies and governing structure.a.The existing organizational policies must include policies that prevent conflicts of interest.b.The applicant must not be majority controlled by any single entity. c.If awarded, the applicant may never be affiliated with a QHIN and must make an attestation specifically to this requirement.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Non-profit applicants must submit proof of non-profit status. Any of the following constitutes acceptable proof of such status:A copy of a currently valid IRS tax exemption certificate.A statement from a state taxing body, state attorney general, or other appropriate state official certifying that the applicant organization has a non-profit status and that none of the net earnings accrue to any private shareholders or individuals.A certified copy of the organizations certificate of incorporation or similar document that clearly establishes non-profit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

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is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The final award decision will be made by the National Coordinator for Health Information Technology taking into consideration several factors such as the results of the objective review process, results of the pre-award risk assessment, compliance with programmatic and grants management requirements; the reasonableness of the estimated costs, available funding, geographical dispersion, program priorities; and the likelihood that the proposed project will result in the benefits expected. All applicants will receive a summary of the objective review panels assessment of the applications strengths, weaknesses, and score. The recipients Authorized Representatives will receive the NGA electronically from ONC. The recipient accepts the award by drawing down funds. By accepting an ONC award, the recipient assumes legal, financial, administrative, and programmatic responsibility for administering the award in accordance with the terms and conditions of the award, as well as applicable laws, rules, regulations, and Executive Orders governing HHS assistance awards, all of which are to be incorporated into the award by reference. Failure to comply with these requirements may result in suspension or termination of the awards and/or ONCs recovery of award funds.

Deadlines:

July 01, 2018 - August 01, 2018.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 60 to 90 days. The awarding agency may issue a 30-day rework period should the majority of the FOA applicants fail to submit the required objectives as described in the FOA SOW. There will be no appeals process for this program.

Renewals:

From 90 to 120 days. Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance will be available through the period of performance (September 2018 - September 2021), as well as 90 days after the period of performance for reconciliation and closeout. Method of awarding/releasing assistance: quarterly

Reports:

ONC Program Progress Reports (PPR) are due quarterly. The PPR will address, to the extent applicable: degree to which performance goals were attained (actual performance versus targeted performance) data source and validation method for performance measures opportunities to address performance deficiencies accomplishments next steps challenges barriers recommendations to address challenges and barriers ONC will provide specific guidance regarding the content and format of the PPR before the reports are due. Expenditures must be reported, on a semi-annual basis, using the SF-425, Federal Financial Report (FFR). Reports are due to HHS no later than April 30 of each year the award is active for funds expended between October and March, and no later than October 31 for funds expended between April and September. The semi-annual FFR will be submitted using the Online Data Collection (OLDC) system. ONC will not accept reports sent directly to the ONC Grants mailbox. The FFR Cash Transaction Report, a subset of the SF-425, Federal Financial Report, is submitted via the Payment Management System (PMS) every calendar quarter for the life of the award. The report must be submitted within 30 days after the end of the quarter (January 31, April 30, July 31, and October 31).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of three years from the date the final FFR is submitted. For awards where the FFR is submitted at the end of the competitive segment, the three-year retention period will be calculated from the date the final FFR, for the entire competitive segment, is submitted. 45 CFR Part 75.361 provides exceptions and qualifications to the three-year retention requirement. For example, if any litigation, claim, financial management review, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. This section also specifies the retention period for other types of grant-related records, including indirect cost proposals and property records. See 45 CFR 75.335 for record retention and access requirements for contracts under grants.

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$1,077,000.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 Not Available; FY 21 est \$942,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

A total of \$2,919,000 has been obligated for FY 19 through FY 21 for this award to the Sequoia Project.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR, Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements For HHS Awards <http://www.ecfr.gov/cgi-bin/text-idx?SID=06a0b0411d1520fae5e2799030e64ebf&node=pt45.1.75&rgn=div5HHS> Grants Policy

Statement <https://www.hhs.gov/sites/default/files/grants/grants/policies-regulations/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 202-720-2919
Fax: Project Officer Liz Palena Hall,
330 C Street SW,
Washington DC 20201
Email: elizabeth.palenahall@hhs.gov
Phone: 202-691-2137
Fax:

Website Address:

<https://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employee and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals based

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on the merit review criteria of: Understanding of Project Purpose Organizational Capacity & Technical Capability & Project Management Acumen Proposed Approach and Work Plan Collaborator Involvement and Partnerships Evaluation Budget & Financials A detailed list of merit review criteria in these areas are detailed in the funding opportunity announcement.

93.348 Technical Assistance to Increase Tobacco Cessation

Technical Assistance to Increase Tobacco Cessation,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Public Health Service Act, Part 301 (a), Section This program is authorized under sections 301 (a) of the Public Health Service Act, as amended.

OBJECTIVES:

To provide technical assistance to state tobacco control programs and national and state partners. The technical assistance will focus on translating the science of tobacco control cessation into public health action in order to increase the number of tobacco users in the United States who try to quit and who succeed in quitting.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Up to \$400K will be used to support the provision of technical assistance to CDC, state tobacco control programs, and other partners by translating the science of tobacco cessation into public health interventions that lead to an increase in number of U.S. adult smokers who try to quit and who succeed in quitting.

Applicant Eligibility:

U.S. Territories and possessions; Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals);

Beneficiary Eligibility:

Federal; State; Local; Sponsored organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Minority group; Small business; Profit organization; Private nonprofit institution/organization; Health Professional; Small Business Person; American Indian; Spanish Origin; School; Education (13+);

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CF 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is covered under OMB Circular No. A-102. Applicants must download the SF424 application forms through Grants.gov/Apply. Only the form package directly attached to a specific Notice of Funding Opportunity (NOFO) can be used. If an applicant does not have access to the internet, or if they have difficulty accessing the forms online, contact the Office of Financial Resources (OFR) Technical Information Management Section (OGSTIM) staff. For this, or further assistance, contact OGS TIMS: Telephone (770) 488-2700. Email: OGSTIM@cdc.gov.

HHS/CDC Telecommunications for the hearing impaired: TTY 888-232-6348.

Award Procedure:

Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants were notified the application did not meet submission requirements. Technical reviews will be conducted on complete and responsive applications according to the evaluation criteria listed in notice of funding opportunity announcement DP19-1904. Successful applicants will receive a Notice of Award (NOA) from the Office of Financial Resources (OFR). The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicants must download application forms from www.Grants.gov Applications must be submitted electronically through www.Grants.gov

Appeals:

Not Applicable.

Renewals:

Other. Project Period is 2 years with 12 month budget periods. Renewals are based on availability of funds; renewal notifications will be posted on Grants.gov and Applications must be submitted through the GrantSolutions System.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

9/30/2019-9/29/2021 Method of awarding/releasing assistance: quarterly

Reports:

The reporting schedule will be based on the requirements listed in the Notice of Funding Opportunity Announcement. An Annual Progress Report and Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final progress report and final Federal Financial Report are due 90 days after the end of the project period. Progress reports are required annually. Federal Financial Reports (FFRs) must be submitted to the GMO/GMS no later than 90 days after the end of the budget period. The awardee must submit the Annual Performance Report 120 days before the end of the budget period. Additional guidance will be provided in the Notice of Funding Opportunity Announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$450,000.00; FY 21 est \$450,000.00; FY 22 est

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\$450,000.00;

Range and Average of Financial Assistance:

DP13-1316 \$450K

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

www.cdc.gov/tobacco

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications that are complete and responsive to competitive cooperative agreement announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria.

93.350 National Center for Advancing Translational Sciences

NCATS,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Small Business Reauthorization Act of 2000, , Public Law 106-554, Public Health Service Act, Title IV, Section 479-481 487F, Public Law 99-158, Public Health Service Act, Title III, Section 301, Public Law 78-410, 341 USC Code 287-288. The 21st Century Cures Act, Title II, Part A, Section 2037, Public Law 114-114-255

OBJECTIVES:

The mission of the National Center for Advancing Translational Sciences (NCATS) is to catalyze the generation of innovative methods and technologies that will enhance the development, testing, and implementation of diagnostics and therapeutics across a wide range of human diseases and conditions.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

NCATS is all about getting more treatments to more patients more quickly. Several thousand genetic diseases affect humans, of which only about 500 have any treatment. A novel drug, device or intervention can take 14 years and \$2 billion to develop, with a failure rate exceeding 95%. NCATS is directly addressing this problem by discovering new technologies and other approaches that could greatly accelerate the process of developing and deploying solutions that can be used by all translational researchers. The Clinical and Translational Science Awards Program includes several activities to support and foster innovation in clinical and translational centers, methods, and training (UL1, KL2, TL1). The Trial Innovation Centers awards (U24) are intended to be lead centers of excellence in

clinical trials and will facilitate the implementation of multi-site clinical studies by the CTSA Network. The Recruitment Innovation Centers (U24) aim to improve participant recruitment into clinical trials by using innovative means to assess the availability of potential participants and to enroll them in a timely manner. The Collaborative Innovation Awards aim to stimulate innovative collaborative research in the NCATS Clinical and Translational Science Award (CTSA) Program. Pre-application is required. The Office of Rare Disease Research (ORDR) supports a network of research consortia, each targeted to several related rare diseases (U54). ORDR also supports an extensive resource of information for the public on rare diseases. The Discovering New Therapeutic Uses for Existing Molecules (UH2/UH3) program focuses on finding new uses for existing therapies. Small Business Innovation Research (SBIR) grants (R43, R44) and Small Business Technology Transfer (STTR) grants (R41, R42) support domestic small businesses engaging in research and development that has the potential for commercialization. The Tissue Chip program funds bioengineered devices to improve the process of predicting whether drugs will be safe or toxic in humans. Through Translator, NCATS will integrate existing biomedical data to help reveal new relationships within those data and also identify novel opportunities for research. The bioprinting program will generate high throughput screenable assay models of human tissues for drug discovery.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); See above.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Health Professional; Education Professional; Student/Trainee; Scientist/Researchers; Small Business Person; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Biomedical investigators at any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

The required credentials of the applicant are described in the relevant Funding Opportunity Announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Cooperative agreement and grant applications must be submitted using the electronic SF424 form per the instructions in the NIH Guide for Grants and Contracts.

<https://grants.nih.gov/grants/how-to-apply-application-guide.html> Forms can be found at <https://www.grants.gov/web/grants/forms.html> and submitted to <https://www.grants.gov/web/grants/applicants/workspace-overview.html> Small business grant applicants must use the SF424 for Small Business grants at: http://grants.nih.gov/grants/funding/424/SF424_RR_Guide_SBIR_STTR_Adobe_VerB.pdf Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The initial review of applications from eligible institutions is conducted by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for

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merit. Recommendations for award are forwarded to the NCATS Advisory Council for the second level of review and recommendation for award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

From 15 to 30 days. Principal investigators (P.I.) may question the substantive or procedural aspects of the review of their applications by communicating with the NCATS staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>

Renewals:

>180 Days. See renewal or extension procedures in the Terms and Conditions of Award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

1 to 5 years. An Electronic Transfer System is used to transfer funds. Method of awarding/releasing assistance: letter

Reports:

Annual progress and financial status reports are required for all grants and cooperative agreements. Final performance and financial reports are required 90 days following the end of the project period. Annual progress and financial status reports are required for all grants and cooperative agreements. Annual performance review is required. Final performance and financial reports are required 120 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Not Applicable.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0875-0-1-552.

Obligations:

Project Grants FY 20 \$631,829,611.00; FY 21 est \$701,821,112.00; FY 22 est \$714,415,116.00;

Range and Average of Financial Assistance:

Clinical and Translational Science Award program: \$42,561 - \$18,987,654 average \$2,331,826 ; Other NCATS Assistance: \$1,000 -3,944,886 average \$537,764.

TAFS Codes:

75-0875.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is anticipated that 580 applications will be received and 323 awards will be granted in fiscal year 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75. OMB Circular No. A-128, "Audits of State and Local Governments"; Reference: Public Law 98-502, the Single Audit Act of 1984. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS; PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from PubMed.

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Sylvia Parsons,
6701 Democracy Blvd, Room 970,
Bethesda MD 20892-4874
Email: parsonss@mail.nih.gov
Phone: 3014350860
Division Director Meredith Temple O'Connor,
6701 Democracy Blvd.,
Bethesda MD 20892
Email: meredith.temple-oconnor@nih.gov
Phone: 301-827-7543
Fax:

Website Address:

<https://www.ncats.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 The National Center for Advancing Translational Sciences (NCATS) at the National Institutes of Health (NIH) was established to transform the translational science process so that new treatments and cures for disease can be delivered to patients faster. NCATS, one of 27 Institutes and Centers (ICs) at NIH, strives to develop innovations to reduce, remove or bypass costly and time-consuming bottlenecks in the translational research pipeline in an effort to speed the delivery of new drugs, diagnostics and medical devices to patients.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives and (7) the availability of funds.

93.351 Research Infrastructure Programs

Comparative Medicine, Instrumentation, Research Infrastructure,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,

ASSISTANCE LISTINGS DESCRIPTIONS

DEPARTMENT OF

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, Public Law 102-564, Public Health Service Act, Title IV, Section 487, Public Law 99-158, Public Health Service Act, Title III, Section 301, Public Law 78-410, 341 USC Code 287-288.

OBJECTIVES:

The Office of Research Infrastructure Programs (ORIP) is a program office in the Division of Program Coordination, Planning, and Strategic Initiatives (DPCPSI) dedicated to supporting research infrastructure and related research resource programs. ORIP consists of the Division of Comparative Medicine (DCM) and the Division of Construction and Instruments (DCI).

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Capacity Building and Complaint Processing, Training)

USES AND USE RESTRICTIONS:

The Division of Comparative Medicine (DCM) helps meet the needs of biomedical researchers for high-quality, disease-free animals and specialized animal research facilities as well as supports the development of the biomedical workforce, specifically scientists with a background in veterinary medicine. Additionally, DCM seeks to increase small business participation in federally supported research and development as well as the private-sector commercialization of technology developed with federal support. It does this through the federal government's Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs. Division of Construction and Instruments: This Division supports programs to expand the Nations capacity for the conduct of biomedical research by offering grants for the acquisition of state-of-the-art biomedical research instrumentation and integrated instrument systems, advanced biomedical laboratory equipment, and, when specially appropriated funds are available, grants to for construction and modernization of biomedical and biobehavioral research facilities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Institutions of higher education, hospitals, and other institutions and organizations, both nonprofit and for-profit, seeking to establish, continue, or enlarge programs consistent with the objectives of the program. To be eligible for instrumentation and equipment programs, applications must be Private nonprofit institutions/organizations, Public nonprofit institutions/organizations. Applicants for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institutional NRSA. Research Career Development: Applications may be submitted on behalf of candidates by domestic, nonfederal organizations, public or private institutions of higher education.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; Public nonprofit institution/organization; Private nonprofit institutions/organizations, Public nonprofit institutions/organizations are eligible for instrumentation and equipment programs. Biomedical investigators at any nonprofit organization or institution engaged in biomedical research.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact the headquarters, as appropriate.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There are several options available to submit your application through Grants.gov to NIH. One of these submission options must be used to access the application forms.1.Use the NIH ASSIST system to prepare,

submit and track your application online.2.Use an institutional system-to-system (S2S) solution to prepare and submit your application to Grants.gov and eRA Commons to track your application. Check with your institutional officials regarding availability.3.Use Grants.gov Workspace to prepare and submit your application and eRA Commons to track your application. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The initial review of applications from eligible investigators and institutions is reviewed by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for merit, and recommendations are forwarded to the Council of Councils for final review and recommendation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

From 60 to 90 days. From 60 to 90 days. the institutional official (and the principal investigator) may communicate questions related to the substantive or procedural aspects of the review of their application by communicating with the NIH staff.. Additional information on the appeals of initial review can be found in the NIH Guide Notices NOT-OD-11-064: Appeals of NIH Peer Review, and NOT-OD-11-101: Resubmission of Applications with Pending Appeals of NIH Initial Peer Review.

Renewals:

>180 Days. Renewal applications are reviewed in the same manner as new applications. Modernization and Instrumentation: None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All programs: 1 to 5 years. An Electronic Transfer System is used for transferring funds. Research Grants, Cooperative Agreements, Center Grants, and NRSA Institutional grants may be awarded for up to 5 years, generally in 12-month budget periods and may be extended through a competitive renewal. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Shared Instrumentation Grants are awarded for 12 months. The Council of Councils may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. Method of awarding/releasing assistance: other

Reports:

Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 120 days following the end of the project period. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 120 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year. The regulation provides for profit organizations with the option for the type of audit that will satisfy the audit requirement that a financial related audit of the HHS awards in accordance with Government Auditing

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Standards In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0846-0-1-552.

Obligations:

Project Grants FY 20 \$264,181,353.00; FY 21 est \$268,789,613.00; FY 22 est \$268,789,613.00; Project Grants (Capacity Building and Complaint Processing, Training) FY 20 \$6,955,439.00; FY 21 est \$7,059,770.00; FY 22 est \$7,059,770.00;

Range and Average of Financial Assistance:

Awards vary in range depending on the particular activity codes. Research Centers grants have much larger ranges - from hundreds of thousands to several million dollars. All costs are shown on a single year basis. Awards may be for up to five years.

TAFS Codes:

75-0848.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reference: 44 FR 12368, March 6, 1979; Rev. No. 1, 47 FR 33658, August 3, 1982; Rev. No. 2, 51 FR 20908, June 9, 1986. Reference: 41 FR 32016, July 30, 1976. Reference: 34 CFR 255. 45 CFR 92. Reference: 42 FR 45828. 42 CFR 52h. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS; PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from Headquarters Office.

Regional or Local Office:

None. Gavin Wilkom, M.I.M.6705 Rockledge Drive, Suite 200FBethesda, MD 20817Phone: (301) 827-7078Email: wilkomg@mail.nih.gov

Headquarters Office:

Program Analyst Patricia Newman,
6701 Democracy BoulevardRoom 956-MS-C4874,
Bethesda MD 20892-4874
Email: pnewman@mail.nih.gov
Phone: 3014350864

Website Address:

<https://orip.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The major elements in evaluating proposals for scientific instruments include assessments of (1) the need for the instruments; (2) technical expertise in the requested technology; (3) advancements of research projects when the novel technology; (4) administration of the usage of the instrument and (5) institutional support for the long term maintenance and the usage of the instrument.

93.352 Construction Support

Extramural Construction,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 404I 404J, 42 USC Code 288J.

OBJECTIVES:

To renovate existing research facilities and build new research facilities to meet basic and clinical space requirements, laboratory safety, biohazard containment, and animal care standards in order to support the facility demands of NIH research programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

New physical resources for research include basic research laboratories, clinical and support facilities, and those related to biohazard control that are integral parts of an overall effort. Proposed facilities must be part of an existing research effort or a developing research program. Facilities shall be used for research pertinent to the NIH mission for as long as needed. The usual period of Federal interest is 20 years. Funds must be used for purposes stated in the approved grant application.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Public/state controlled Nonprofit Institutions/Organizations; Private Institutions of Higher Education; Nonprofit other than Institutions of Higher Education Nonprofits with 501(c)(3) IRS status (Other than Institutions of Higher Education); Nonprofits without 501(c)(3) IRS Status (Other than Institutions of Higher Education); and Foreign organizations are not eligible

Beneficiary Eligibility:

Public nonprofit institution/organization; Private nonprofit institution/organization; Public nonprofit institution/organization

Credentials/Documentation:

Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, the National Environmental Policy Act, and listing of all relevant licenses, permits, or other approvals required by State and local authorities. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is

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required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication assistance is available from the address noted below under Information Contacts. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Prepare and submit applications according to instructions provided in the Funding Opportunities announcement. This program is subject to the provisions of the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov>. Construction applications are to be submitted on a Standard Form 424, Application for Federal Assistance.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is provided by the NIH Notice <https://grants.nih.gov/grants/guide/notice-files/not-od-11-064.html>.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: No information provided. Method of awarding/releasing assistance: other

Reports:

Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Grantee is responsible for performance of contractor. Contractor must be covered by performance and payment bonds. Grantees are required to file a Notice of Federal Interest at the time construction begins

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention

period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0846-0-1-552.

Obligations:

Project Grants FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Project Grants FY 20 \$50,000,000.00; FY 21 est \$50,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grants Policy Statement, <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-003.html>. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oe.htm>.

Regional or Local Office:

See Regional Assistance Locations. Gavin Wilkom, M.I.M.6705 Rockledge Drive, Suite 200FBethesda, MD 20817(301) 827-7078Email: wilkong@mail.nih.gov

Headquarters Office:

Program Analyst Patricia Newman,,
6701 Democracy Boulevard , Room 957-MS C4874,
Bethesda MD 20892-4874
Email: pnewman@mail.nih.gov
Phone: (301) 435-0864.

Website Address:

<https://orip.nih.gov/construction-and-instruments>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to this program will be evaluated for scientific and technical merit by an appropriate peer review group. The major elements in evaluating proposals include: (1) significance and need of the project, (2) the description of project management and institutional commitment, (3) evaluation of the proposed design, (4) justification for requested equipment, (5) the commitment to sustainable design/environmentally friendly design principles.

93.353 21st Century Cures Act - Beau Biden Cancer Moonshot

moonshot.

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF
AUTHORIZATION:

Act:Public Health Service Act, Sections 301, 410 and 412, Public Law 78-410, as amended, Public Law 99-500, Public Law 100-607, 42 U.S.C. 241, 42 U.S.C. 285 - 285a-1; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.,

OBJECTIVES:

To provide support for initiatives funded under the 21st Century Cures Act to

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support cancer research, such as the development of cancer vaccines, the development of more sensitive diagnostic tests for cancer, immunotherapy and the development of combination therapies, and research that has the potential to transform the scientific field, that has inherently higher risk, and that seeks to address major challenges related to cancer.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

Government - General; The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that applies and receives a grant for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Federal; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Regular Grants: Approximately 10 months

Appeals:

From 15 to 30 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

From 30 to 60 days. Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Method of awarding/releasing assistance: letter

Reports:

Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-0849-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$59,946,333.00; FY 21 est \$84,514,444.00; FY 22 est \$83,556,869.00;

Range and Average of Financial Assistance:

Range: \$367,881 to \$3,701,064 Average \$1,251,786

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FY2020 actual awards made was 21. Fiscal Year 2021: FY2021 estimated total awards to be made is 86. Fiscal Year 2022: FY2022 estimated total awards to be made is 84.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, NIH Grants Policy Statement, SF 424

Regional or Local Office:

None. Not applicable

Headquarters Office:

Senior Program Analyst Catherine M. Battistone,
9609 Medical Center Drive, Suite 7W532,
Rockville MD 20850
Email: battistc@mail.nih.gov
Phone: 240-276-6443
Fax: 240-276-7682

Website Address:

<https://www.cancer.gov/research/key-initiatives/moonshot-cancer-initiative>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.354 Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response

Public Health Crisis Response Awards,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

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AUTHORIZATION:

This cooperative agreement is authorized under the following statutory authorities: Sections 317(k)(2) and 307 of the Public Health Service Act; [42 U.S.C Sections 247b and 247b-2and 247b-3] as amended; Title IV Section 4002 of the Affordable Care Act, Prevention and Public Health Fund; Sections 307 and 317(k)(2) of the Public Health Service Act [42 USC 242(l) and 247(b)(k)(2), as amended]. In addition, this program is authorized under sections 311 and 1703 of Public Health Service Act [42 USC 243 and 300 u-2, as amended] and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148; 317(a) and 317(d) of the PHS Act, subject to available funding and other requirements and limitations; 317(a) of the Public Health Service Act, subject to available funding and other requirements and limitations.,

OBJECTIVES:

The intent of this program is to fund state, local, and territorial public health departments for HHS Secretarial declared and non-declared public health emergencies having an overwhelming impact on jurisdictional resources. These emergencies require federal support to effectively respond to, manage, and address a significant public health threat. CDC seeks to enhance the Nations ability to rapidly mobilize and respond to specific public health crises or emergencies. In addition to immediate response activities, this program provides a mechanism to accelerate readiness for an impending infectious disease threat or other public health crises identified on the event horizon.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program is not intended to establish new public health emergency management programs, rather it is designed to support the surge needs of existing programs. In response to this FOA, applicants having an existing public health department which provides for their jurisdictions 10 essential public health services and having a pre-established and functioning public health emergency management program will describe the needs/gaps they may encounter during the initial phase of a public health emergency, and request funding for various categories of response activities. Upon occurrence of a particular public health emergency, CDC can then rapidly fund specific applicants to accelerate crisis response activities and specific categories/activities based on the particular situation. Applicants will need to be capable of activating new or surging current emergency response activities within a 2 day period.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; State government public health departments or their bona fide agents (N=50) Local health departments or their bona fide agents (N=6) (city or county) consistent with PHEP and ELC awardees, which include: Chicago Department of Public Health, District of Columbia Department of Health, Houston Department of Health and Human Services, Los Angeles County Department of Health Services - Public Health, New York City Department of Health and Mental Hygiene, and Philadelphia Department of Public Health Territorial governments or their bona fide agents (N=8) in the Commonwealth of Puerto Rico, the US Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau Tribal Public Health Departments (N=5) Federally recognized tribal governments meeting the core criteria outlined for all eligible applicants and that serve, through their own PH infrastructure, at least 50,000 people and have demonstrable PH capacity.

Beneficiary Eligibility:

U.S. Territories; State; Local; Federally Recognized Indian Tribal Governments; State government public health departments or their bona fide agents (N=50) Local health departments or their bona fide agents (N=6) (city or county) consistent with PHEP and ELC awardees, which include: Chicago Department of Public Health, District of Columbia Department of Health, Houston Department of Health and Human Services, Los Angeles County Department of Health Services - Public Health, New York City Department of Health and Mental Hygiene, and Philadelphia Department of Public Health Territorial governments or their bona fide agents (N=8) in the Commonwealth of Puerto Rico, the US Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the

Republic of Palau Tribal Public Health Departments (N=5) Federally recognized tribal governments meeting the core criteria outlined for all eligible applicants and that serve, through their own PH infrastructure, at least 50,000 people and have demonstrable PH capacity.

Credentials/Documentation:

Applicants should review the individual Funding Opportunity Announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneously with submission of an application package. 2 CFR 200, Subpart E- Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the programs Grants Management Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually and assistance is available for a 12-month period.

Method of awarding/releasing assistance: lump sum.

Reports:

Awardee Evaluation and Performance Measurement Plan, including Data Management Plan (DMP). Periodic and Final Federal Financial Reports; Federal Cash Transaction Reports (PMS Annual Progress Reports are required. Periodic and Final Federal Financial Reports; Federal Cash Transaction Reports (PMS) Performance Measure Reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 n/a

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Part 75.

Account Identification:

75-0943-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$746,322,380.00; FY 21 est \$2,000,000,000.00; FY 22 est \$1,000,000,000.00;

Range and Average of Financial Assistance:

Due to the nature of the issues that would trigger CDC to activate this NOFO as

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designed, it is difficult to project the total funding amount that would be made available. While CDC will use this NOFO, it is expected to be for the time necessary to respond to the emergency and that long-term recovery needs and/or emergencies that shift from an epidemic to an endemic nature would be addressed by other NOFOs as appropriate.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

L. Sharon Sharpe,
1600 Clifton Road, NEMailstop D29,
Atlanta GA 30329
Email: ssharpe@cdc.gov
Phone: 4046390817

Website Address:

No Data Available.

RELATED PROGRAMS:

93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.355 Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program)

The PHIT Workforce Development Program,

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

American Rescue Plan Act of 2021, Title II, Subtitle F Public Health Workforce, Sec.2501. Funding for public health workforce (a), Title ,

OBJECTIVES:

The Office of the National Coordinator for Health Information Technology (ONC) has created the Public Health Informatics & Technology (PHIT) Workforce Development Program. This program aims to increase the number of public health professionals trained in informatics and technology, with an emphasis on improving public health reporting through modern standards and computing. ONC anticipates awarding \$75 million to train individuals to help modernize the nations public health data infrastructure, with a focus on recruiting participants from minority serving institutions (MSIs). MSIs will receive funding priority for this opportunity. Through an interdisciplinary approach to data science and managing public health information, the program will build capacity of MSIs to educate and diversify the public health workforce of the future.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Sub recipients, Contract, Subcontracts

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); This funding opportunity is open to

accredited colleges and universities with terminal degrees in the United States.

Only consortium-based approaches will be considered for this program, and applications must include letters of intent from proposed consortia members. In order to diversify the public health informatics and technology workforce and to increase the number of underrepresented minority professionals, the lead applicant in the consortium should be an MSI with an existing computer science, information science, biomedical technology, public health, health informatics, or similar program. Non-MSI institutions with a strong track record of graduating underrepresented minority students may also apply, but must include at least one MSI as a consortium member. Funding priority will be given to consortia that have an MSI lead

Beneficiary Eligibility:

Federal; U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Anyone/general public; Health Professional; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Education (13+); Through the PHIT Workforce Development Program, selected recipients will create new programs or expand existing programs to altogether train a total of at least 4,000 individuals in public health informatics and technology to advance the nations public health workforce.

Credentials/Documentation:

This funding opportunity is open to accredited colleges and universities with terminal degrees in the United States. Only consortium-based approaches will be considered for this program, and applications must include letters of intent from proposed consortia members. In order to diversify the public health informatics and technology workforce and to increase the number of underrepresented minority professionals, the lead applicant in the consortium should be an MSI with an existing computer science, information science, biomedical technology, public health, health informatics, or similar program. Non-MSI institutions with a strong track record of graduating underrepresented minority students may also apply, but must include at least one MSI as a consortium member. Funding priority will be given to consortia that have an MSI lead. Non-profit applicants must submit proof of non-profit status. Any of the following constitutes acceptable proof of such status: A copy of a currently valid IRS tax exemption certificate. A statement from a state taxing body, state attorney general, or other appropriate state official certifying that the applicant organization has a non-profit status and that none of the net earnings accrue to any private shareholders or individuals. A certified copy of the organizations certificate of incorporation or similar document that clearly establishes non-profit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are expected to provide an application that address all of the requirements as described in the NOFO, a proposed timeline, budget proposal on how they intend to use the award money, all credentials and documentation as identified in (083), and a list of key personnel, including a CV. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Recipients will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement their project. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements

Deadlines:

August 11, 2021. All applications are due by 11:59 P.M.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

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Not Applicable.

Renewals:

Not Applicable. Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance will be made available for the duration of the award period., and 90 days after for closeout. Method of awarding/releasing assistance: other

Reports:

Recipients will be required to submit quarterly programmatic progress, annual programmatic progress, and a final report to ONC at the end of the period-of-performance. Semi Annual Federal Cash Transaction Reports are required to be submitted to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Recipients will be required to submit written quarterly programmatic progress reports describing achievements and plans toward meeting specified goals. Yes, expenditure reports will be required as a part of recipients quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report. Recipients will be required to submit written quarterly programmatic progress reports describing achievements and plans toward meeting specified goals, as well as a final financial and reconciliation report of award expenditures as part of the program close-out. The ONC Project Officer may conduct regular performance monitoring through phone calls and site visits as deemed necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All cooperative agreement-related records, including cooperative agreement-related accreditations, are required to be maintained for no less than 3-years following the official close-out date of the program.

Account Identification:

75-0140-0-1-551.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$75,000,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

There has been \$75,000,000 allocated for FY21 for these awards. We estimate that we will issue up to 30 cooperative agreements total; the award amounts will be up to \$10,000,000. The award amount will be determined upon the documentation, scope, and strength of the application.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR PART 75 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR HHS AWARDS

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 2027202919

Website Address:

<https://www.healthit.gov/topic/onc-funding-opportunities/funding-announcements>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed by a review panel comprised of subject matter experts. Each application will receive a score based on strength and merit of their proposals based on the merit review criteria of Understanding of Purpose and Impact , Approach, Work Plan, and Program Activities, Organizational and Technical Capabilities , Collaboration, Partnerships, and Sub-recipient Management, Budget Narrative and Justification and Sustainability and Knowledge Dissemination . A list of merit review criteria in these areas are detailed in the funding opportunity announcement.

93.356 Head Start Disaster Recovery

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Bipartisan Budget Act of 2018, Title , , Public Law 115-123, Additional Supplemental Appropriations for Disaster Relief Act, 2019, Title , , Public Law 116-20

OBJECTIVES:

Head Start Disaster Recovery provides funding for Head Start programs where supplemental appropriations were made available for disaster relief. This includes FY 2018 supplemental funding for necessary expenses directly related to the consequences of Hurricanes Harvey, Irma, and Maria; and FY 2019 supplemental funding for necessary expenses directly related to the consequences of Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019 in areas for which a major disaster or emergency has been declared under section 401 or 501 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170 and 5191).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding for Head Start and Early Head Start programs, for necessary expenses directly related to the consequences of Hurricanes Harvey, Irma, and Maria; and Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019. Amounts awarded for these purposes will not be included in the calculation of the base grant.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Current recipients of a Head Start, Early Head Start, and/or Early Head Start-Child Care Partnership grant that were affected by Hurricanes Harvey, Irma, and Maria; and Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019 are eligible.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Native American Organizations; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Refugee/Alien; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Local; Preschool; Infant (0-5); Welfare Recipient; Low

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Income; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Head Start/Early Head Start programs are for children from birth up to the age when the child enters the school system. Head Start programs serve preschool age children while Early Head Start programs serve children from birth to age three as well as pregnant women. The Early Head Start-Child Care Partnership programs are expanding access to high-quality early learning and development opportunities for infants and toddlers from birth to age three, and up to age four in family child care. No less than 10 percent of each Head Start program's enrollment shall be for children with disabilities.

Credentials/Documentation:

Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by FEMA, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by FEMA, under a contract for insurance, or by self-insurance. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For applications related to Hurricanes Harvey, Irma, and Maria, see Program Instruction ACF-PI-HS-18-02 issued April 9, 2018 for specific information on application requirements and how to apply. The PI can be accessed at this website:

<https://eclkc.ohs.acf.hhs.gov/policy/pi/acf-pi-hs-18-02>. For applications related to Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019, see Program Instruction ACF-PI-HS-19-01 issued September 17, 2019 for specific information on application requirements and how to apply. Both Program Instructions can be found on the Early Childhood Learning and Knowledge Center at <https://eclkc.ohs.acf.hhs.gov>.

Award Procedure:

The Office of Head Start will perform an internal review of applications, and conduct an objective review, if required. OHS will forward all selections to the Office of Grants Management for award. All funds are awarded directly to recipients.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. For proposals related Hurricanes Harvey, Irma, and Maria, see Program Instruction ACF-PI-HS-18-02 issued April 9, 2018 for information on what the Office of Head Start will consider when it reviews grant proposals. For proposals related to Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019, see Program Instruction ACF-PI-HS-19-01 issued September 17, 2019 for information on what the Office of Head Start will consider when it reviews grant proposals. Both Program Instructions can be found on the Early Childhood Learning and Knowledge Center at <https://eclkc.ohs.acf.hhs.gov>.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable. This funding is for one-time emergency disaster assistance, so renewal of funding is not expected.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Recipients are required to meet a 20% non-federal share match requirement under section 640(b) of the Head Start Act. However, programs can request to waive the non-federal share match, if necessary. MOE requirements are not applicable to this

assistance listing.

Length and Time Phasing of Assistance:

Funds are available to award through September 30, 2021 and must be expended within five (5) years from the start of the project. All unspent funds will return to the United States Treasury following the awards period of performance. Method of awarding/releasing assistance: other

Reports:

Annual information on the types of children served and the services provided must be reported. Additional reporting is required for recipients requesting funds for real property. If requesting funds for major renovation, construction or purchase of facilities, recipients are required to submit an SF-429 Real Property Status Report Cover Page with Attachment B in the GrantSolutions OLDC system. 2) For facilities that have federal interest, the recipient will be required to submit a SF-429 Real Property Status Report Cover Page with Attachment A on an annual basis. When property with federal interest is no longer needed, the SF-429 Attachment C must be submitted in GrantSolutions OLDC. 3) Please see the ACF Property, Real Property Reporting instructions at https://www.acf.hhs.gov/real-property#book_content_4 and the ACF Property, Disposition instructions for Real Property at https://www.acf.hhs.gov/real-property#book_content_5. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property-Cover Page must be submitted. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. See Program Instruction ACF-PI-HS-18-02 issued April 9, 2018 for reporting requirements for funds related to Hurricanes Harvey, Irma, and Maria. For reporting requirements related to Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019, see Program Instruction ACF-PI-HS-19-01 issued September 17, 2019. Both Program Instructions can be found on the Early Childhood Learning and Knowledge Center at <https://eclkc.ohs.acf.hhs.gov>. See Program Instruction ACF-PI-HS-18-02 issued April 9, 2018 for reporting requirements for funds related to Hurricanes Harvey, Irma, and Maria. For reporting requirements related to Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019, see Program Instruction ACF-PI-HS-19-01 issued September 17, 2019. Both Program Instructions can be found on the Early Childhood Learning and Knowledge Center at <https://eclkc.ohs.acf.hhs.gov>. Expenditure reports are required using the SF-425 Federal Financial Report. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. The Office of Head Start closely monitors Disaster Assistance grant awards. Monitoring activities include but are not limited to on-site visits prior to expenditure of certain funded activities and progress reports for recovery projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Office of Head Start provides the annual Head Start audit supplement, which includes Head Start-specific guidance and procedures. Lastly, there are also audit-type requirements associated with Head Start fiscal monitoring events.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants FY 20 \$207,195,863.00; FY 21 est \$389,741,702.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The range of award amounts vary as no maximum threshold of financial assistance a recipient may request exists. The Office of Head Start reviews applications to determine if they are reasonable, allowable, cost effective, and necessary. Through the two supplemental appropriations available, awards range from as low as \$6,400 to \$16.7 million. The average award is approximately \$2.1 million.

TAFS Codes:

75-1536, 75-1536.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 - 1305.

Regional or Local Office:

None.

Headquarters Office:

Policy Director Colleen Rathgeb,
330 C Street, S.W.,
Washington DC 20201
Email: colleen.rathgeb@acf.hhs.gov
Phone: 202-205-7378

Website Address:

<https://acf.hhs.gov/ohs>

RELATED PROGRAMS:

93.600 Head Start;

EXAMPLES OF FUNDED PROJECTS:

2020 Funds will be used to assist recipients in restoring Head Start and Early Head Start services following Hurricanes Harvey, Irma, and Maria; and Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019. This includes replacing damaged or destroyed property and facilities. 2021 Funds will be used to assist recipients in restoring Head Start and Early Head Start services following Hurricanes Harvey, Irma, and Maria; and Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019. This includes replacing damaged or destroyed property and facilities.

CRITERIA FOR SELECTING PROPOSALS:

For proposals related Hurricanes Harvey, Irma, and Maria, see Program Instruction ACF-PI-HS-18-02 issued April 9, 2018 for information on what the Office of Head Start will consider when it reviews grant proposals. For proposals related to Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes occurring in calendar year 2018, and tornadoes and floods occurring in calendar year 2019, see Program Instruction ACF-PI-HS-19-01 issued September 17, 2019 for information on what the Office of Head Start will consider when it reviews grant proposals. Both Program Instructions can be found on the Early Childhood Learning and Knowledge Center at <https://eclkc.ohs.acf.hhs.gov>.

93.359 Nurse Education, Practice Quality and Retention Grants

NEPQR Interprofessional Collaborative Practice (IPCP): Behavioral Health Integration (BHI) NEPQR Registered Nurses in Primary Care (RNPC) NEPQR Veteran Nurses in Primary Care (VNPC) NEPQR Simulation Education Training (SET).

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 831 and 831A of the Public Health Service Act (42 U.S.C. 296p and 42 U.S.C. 296p-1), CARES Act P.L. 116-139,

OBJECTIVES:

Interprofessional Collaborative Practice (IPCP): Behavioral Health Integration (BHI) Program: The IPCP: BHI Program was initiated in July 2016 to address the significant unmet need for behavioral health services in community-based primary care settings. The IPCP: BHI program expands evidence-based practices into nurse-led primary care teams to increase access to care, enhance care coordination and improve patient, family, and community outcomes in rural and underserved communities. Registered Nurses in Primary Care (RNPC) Training Program: The purpose of this four-year training program is to recruit and train nursing students and current registered nurses (RNs) to practice to the full scope of their license in community-based primary care teams to increase access to care, with an emphasis on chronic disease prevention and control, including mental health and substance use conditions. The program aims to achieve a sustainable primary care nursing workforce equipped with the competencies necessary to address pressing national public health issues, even the distribution of the nursing workforce, improve access to care and improve population health outcomes. Veteran Registered Nurses in Primary Care (RNPC) Training Program: The purpose of this three-year training program is to recruit and train veteran nursing students and current registered nurses (RNs) to practice to the full scope of their license in community-based primary care teams to increase access to care, with an emphasis on veteran care, chronic disease prevention and control, including mental health and substance use conditions. The program aims to achieve a sustainable primary care nursing workforce equipped with the competencies necessary to address pressing veteran public health issues, as well as the distribution of the nursing workforce, improve access to care and improve population health outcomes. Simulation Education Training (NEPQR-SET) Program supports projects that enhance nurse education and strengthen the nursing workforce through the expansion of experiential learning opportunities, including the use of simulation-based technology, including equipment, to advance the health of patients, families, and communities in rural and medically underserved areas experiencing diseases and conditions that affect public health such as: high burden of stroke, heart disease, behavioral and mental health, maternal mortality, HIV/AIDS and obesity. RNPC and VNPC training programs COVID supplemental funding supports projects that prevent, prepare for, and respond to COVID-19 as needs evolve. This supplemental funding aims to train current health professions students and practicing clinicians to provide telehealth-enabled COVID-19 referrals for screening for testing, case management and outpatient care; or maintain primary care functionality away from physical sites, especially for COVID-19 positive, quarantined and elderly and special populations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Special)

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and other expenses related to the project. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Financial support for student participants is permissible under the RNPC and VNPC grant programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Schools of nursing affiliated with the proposed project must be an accredited public or private school. Applicants must provide documentation of current accreditation by a national nurse

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education accrediting agency or state approval agency recognized by the U.S. Department of Education for the purposes of nursing education. Eligible applicants are accredited schools of nursing, health care facilities, or a partnership of such a school and facilities. Individuals are not eligible to apply.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; Health Professional; State; Local; Public nonprofit institution/organization; Other public institution/organization; NEPQR Project participants (NEPQR-VBSN, NEPQR-IPCP BHI, NEPQR-RNPC, NEPQR-VNPC), or students in the eligible accredited nursing program, must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student visas are not eligible. The program requires RNs and APRNs to hold a valid license and be an employee of the accredited school of nursing as defined by section 801(2), health care facility, or partner of such a school and/or facility. In addition, NEPQR-VBSN required and VPNC now requires student participants to be honorably or generally discharged service members or reservists, with prior medical training, who have not yet earned Bachelor of Science in Nursing (BSN) degrees. Active duty service members will also be considered eligible contingent upon their ability to meet university admission requirements, military obligations (as applicable), and commanding officer permissions.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

RNPC provides support for a four-year project period. VNPC provides support for a three-year project period. IPCP BHI provided support for a three-year project period. SET supports a two-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3- year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3- year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$2,199,874.00; FY 21 est \$5,000,000.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$8,266,338.00; FY 21 est \$8,181,845.00; FY 22 est \$8,092,283.00; Cooperative Agreements FY 20 \$26,892,491.00; FY 21 est \$27,170,599.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$3,296,338.00; FY 21 est \$3,211,577.00; FY 22 est \$0.00; Project Grants (Special) FY 20 \$3,221,411.00; FY 21 est \$0.00; and FY 22 est \$0.00; Project Grants (Special) FY 20 \$449,995.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

NEPQR-IPCP:BHI: FY 19 Range: \$431,718-\$500,000, Average \$492,441; FY 20 Range: \$395,275-\$500,000, Average: \$486,255 FY 21 Range: est: \$315,511-\$500,000, Average \$481,285 NEPQR-RNPC: FY 19 Range: \$271,104 -\$700,000, Average: 637,090 FY 20 Range: \$291,004-\$700,000 Average \$643,905 FY 21 Range: \$301,004-\$700,000, Average \$ 646,919 NEPQR-VNPC FY 19 Range: \$392,846-\$500,000, Average: \$457,716 FY20 Range: \$348,648-\$500,000 Average \$470,905 FY 21 Range: \$392,168-\$500,000, Average \$458,796

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2019-2020, IPCP: BHI grantees trained 868 individuals and produced 509 graduates. Grantees partnered with 42 clinical sites to provide interprofessional team-based training to 849 individuals. Approximately 71 percent of the clinical training sites were located in medically underserved communities, and 81 percent were in primary care settings. More than 50 percent of interprofessional trainees were practicing nurses and nursing students, while 393 were trainees from other health care disciplines, including medical, dental, and behavioral health. In Academic Year 2019-2020, the RNPC program trained 1,576 individuals in primary care nursing programs and produced 505 graduates. Over 40 percent of nursing students received training in substance use treatment (43 percent), in addition to participating in training related to COVID-19 (36 percent) and/or opioid use treatment (45 percent). RNPC grantees partnered with 455 training sites to provide experiential training. These training sites were located in primary care settings (75 percent), medically underserved communities (76 percent), or rural areas (38 percent). The majority of the clinical training sites

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offered COVID-19 related services (56 percent). In addition, clinical sites provided telehealth service (57 percent) and integrated behavioral health services in primary care (37 percent). In Academic Year 2019-2020, the VNPC program trained 56 veterans to obtain their Bachelor of Science in Nursing (BSN). The majority of the trainees received training in medically underserved communities (61 percent) and for more than 40 percent of trainees, their experiential training was in primary care settings. In addition, individuals were trained in opioid use treatment (32 percent), in telehealth (50 percent) and/or participated in COVID-19 related training (29 percent). The VNPC grantees partnered with 18 clinical training sites to offer experiential training. These training sites were located in medically underserved communities (56 percent) and/or primary care settings (44 percent). In addition, clinical sites offered services related to COVID-19 (72 percent), integrated behavioral health services in primary care (67 percent), opioid use treatment (50 percent) and/or substance use treatment (61 percent). NEPQR-SET: No data available

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None. NEPQR-RNPC: Carolyn Nganga-Good and Kirk Koyama, Division of Nursing and Public Health, Bureau of Health Workforce 5600 Fishers Lane, Room 11N-104A, Rockville, Maryland 20857; cnganga-good@hrsa.gov/Phone: 301-443-2251; kkoyama@hrsa.gov/Phone: 301-443-4926. Fax: 301-443-0791 NEPQR-VBSN: Marian Smithey, Division of Nursing and Public Health, Bureau of Health Workforce 5600 Fishers Lane, Room 11N-128A, Rockville, Maryland 20857; msmithey@hrsa.gov/Phone: 301-443-3831. NEPQR-VNPC: Marian Smithey, Division of Nursing and Public Health, Bureau of Health Workforce 5600 Fishers Lane, Room 11N-128A, Rockville, Maryland 20857; msmithey@hrsa.gov/Phone: 301-443-3831.

Headquarters Office:

NEPQR-IPCP:BHI: Leslie Poudrier, Division of Nursing and Public Health, Bureau of Health Workforce, 5600 Fishers Lane., Rockville MD 20857
Email: LPoudrier@hrsa.gov
Phone: 301-443-3511
Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.360 Biomedical Advanced Research and

Development Authority (BARDA), Biodefense Medical Countermeasure Development

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Public Health Service Act, Title III, Section 319L, Consolidated Appropriations Act, 2010, Division D, Department of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2010,

OBJECTIVES:

The purpose of this program is to coordinate the acceleration of countermeasure and product advanced research and development by (A) facilitating collaboration between the Department of Health and Human Services and other Federal agencies, relevant industries, academia, and other persons, with respect to such advanced research and development; (B) promoting countermeasure and product advanced research and development; (C) facilitating contacts between interested persons and the offices or employees authorized by the Secretary to advise such persons regarding requirements under the Federal Food, Drug, and Cosmetic Act and under section 351 of the Public Health Service Act; and (D) promoting innovation to reduce the time and cost of countermeasure and product advanced research and development. The Public Health Service Act at 42 U.S.C. 247d-6a defines a qualified countermeasure as a drug (as that term is defined by section 321(g)(1) of title 21), biological product (as that term is defined by section 262(i) of this title), or device (as that term is defined by section 321(h) of title 21), that the Secretary determines to be a priority (consistent with sections 182(2) and 184(a) of title 6) to - (i) diagnose, mitigate, prevent, or treat harm from any biological agent (including organisms that cause an infectious disease) or toxin, chemical, radiological, or nuclear agent that may cause a public health emergency affecting national security; or (ii) diagnose, mitigate, prevent, or treat harm from a condition that may result in adverse health consequences or death and may be caused by administering a drug, biological product, or device that is used as described in this subparagraph

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants (Cooperative Agreements), Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support international in-country and domestic advanced development and industrialization of human pandemic influenza vaccine. Support activities related to the mitigation of the global shortage of influenza vaccines through awards and sub-awards to developing countries with the potential and capacity to manufacture various influenza vaccines.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); All types of entities are eligible, including highly qualified foreign nationals outside the United States either alone or in collaboration with American participants when such transactions may inure to the benefit of the American people. Applicants should review the individual funding opportunity announcements issued under this CFDA program.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; All individuals including at-risk individuals such as children, pregnant women, elderly, and others at-risk who may be given special priority.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcements issued

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under this CFDA program for any credentials or certifications that must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained through Grants.gov FIND, <http://www.grants.gov/>. Applicants should visit Grants.gov - FIND for the funding opportunity announcement and Grants.gov - APPLY to download application materials to complete and submit their applications in accordance with the funding opportunity announcement (FOA).

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

September 30, 2018. Applicable deadlines and due dates are specified in each funding opportunity announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are limited up to 60 months. Budget periods are limited up to 12 months. Method of awarding/releasing assistance: other

Reports:

Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Grantees are required to submit semi-annual Program Progress Reports as well as final Program Progress Reports. Report formats and submission requirements are outlined in the funding opportunity announcement. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring of awardees is completed by BARDA program staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503 A-133

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

Project Grants (Cooperative Agreements) FY 19 \$40,000,000.00; FY 20 est \$3,000,000.00; FY 21 est \$3,000,000.00;

Range and Average of Financial Assistance:

\$30,000,000 to \$55,000,000

TAFS Codes:

75-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 109-417, 45 CFR 92, 45 CFR 74, 45 CFR 46, 2 CFR, HHS Grants Policy Statement found at

<http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

Headquarters Office:

Rick A. Bright,

330 Independence Ave SW, Room G-640, Washington, District of Columbia 20201,

Washington DC 20201

Email: Rick.Bright@hhs.gov

Phone: 202-260-8535

Website Address:

<https://www.medicalcountermeasures.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Discretionary awards are subject to objective review. Reviewers will individually evaluate and score applications based upon the review criteria specified in the funding opportunity announcement. Scored applications will be ordinally ranked. The highest ranked applications will receive priority consideration for award within available funding.

93.361 Nursing Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301, 464, 487, 42 USC Code 285q. Public Health Service Act, , Public Law 115-408

OBJECTIVES:

Nurses understand that improving health and well-being means addressing peoples needs in multiple settings, contexts, and over the whole life course. Science supported by the National Institute of Nursing Research (NINR) uses this holistic perspective to improve individual and population health and advance health equity. NINR-supported research promotes health and well-being by addressing needs at multiple levels individual, family, community, and societal levels and developing treatment and prevention strategies that are responsive to the reality of peoples lives. Nurses know people, and people trust nurses. Patient and families interact with nurses more than any other clinicians. Nursing science uses this special relationship to better understand patients, families, and communities and the many

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factors that influence their health. Nursing science supported by NINR uses this knowledge to develop strategies for improving health and wellness across populations, health care settings, and the lifespan, with an emphasis on achieving health equity. NINR-supported scientists have developed interventions for: supporting parents of premature infants; promoting HIV prevention in underserved populations; improving transitional care leading to better outcomes and cost-savings; and helping inner-city children manage asthma. Nursing science transcends the boundaries of disease and research disciplines to better understand the experiences of individuals and families living with illness and to develop personalized approaches that maximize health and well-being for individuals at all stages of life, across diverse populations and settings. NINR-supported science uses nursing sciences unique, patient and community-focused perspective and wide reach across clinical and community settings to advance our understanding of the social determinants of health: those factors that are at the root of the inequities that we see, such as food insecurity and access to healthcare. NINR research efforts in wellness investigate the key biological, behavioral, and social factors that promote long-term health and healthy behaviors and prevent the development of illness across health conditions, settings, and the lifespan. Research in wellness is also focused on developing and testing culturally appropriate interventions to promote health and prevent illness in minority and underserved groups. NINR also supports science to assist individuals, families, and health care professionals in managing advanced, serious illness, and planning for end-of-life decisions. In addition, NINR promotes technological advancements that address a range of health care challenges and facilitate the delivery of real-time personalized information to individuals and families, health care providers, and communities. Finally, NINR has a longstanding and continuing commitment to developing the next generation of nurse scientists: those individuals and team members who will carry the field of nursing science into the future. In order to prepare an innovative, diverse, and talented next generation of nurse scientists, NINR supports a variety of training opportunities for scientists and trainees at all career levels, particularly those at an early career stage who are so critical to sustaining the future of innovative research and high quality health care.

TYPES OF ASSISTANCE:

Project Grants, Training

USES AND USE RESTRICTIONS:

Research Grants: Funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant.

National Research Service Awards (NRSAs) (Individual): Individual awards are made to institutions on behalf of the individual for research training in specified areas related to the mission of NINR. NRSAs (Institutional): Grants may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship on an NRSA award must comply with all applicable service and payback provisions. SBIR Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions (includes institutions of higher education and hospitals); Research Grants: Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply. NRSAs (Individual): An applicant must be a registered professional nurse with either a baccalaureate and/or a master's degree in nursing and must be a citizen of the United States or lawfully admitted

for permanent residence. Those on temporary or student visas are not eligible. NRSAs (Institutional): An eligible institution must be capable of providing predoctoral and/or postdoctoral research training opportunities for nurses. SBIR grants: can be awarded only to domestic small businesses (entities that are independently owned and operated for profit and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) which "partner" with a non-profit research institution to conduct cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Scientist/Researchers; Public nonprofit institution/organization; Individuals and public or private institutions.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. NRSAs (Individual): The applicant's academic record, research experience, citizenship, or permanent resident status, licensure as a registered nurse, and institutional sponsorship should be documented in the application. NRSAs (Institutional): The applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds requested. Costs will be determined in accordance with 2 CFR, Part 220, Cost Principles for Educational Institutions. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 75. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the development plan. The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR, Phase I and Phase II. Application is made electronically through Grants.gov (<http://www.grants.gov>). 2 CFR 200, Subpart E - Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Research Grants: Applications must be prepared electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) application form. The electronic application format is interactive. For assistance submitting an electronic application, contact GrantsInfo: Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. NRSAs (Individual): Individuals must submit the SF 424 (Research and Research-Related) application form, and include at least three, but no more than five, letters of reference. Letters of reference must be submitted electronically through the NIH eRA Commons Submit Reference Letter website and not through Grants.gov (<http://www.grants.gov>). Applicants who have lawfully been admitted to the United States for permanent residence must complete the appropriate section on the Face Page of the SF 424 (Research and Research-Related) application form. Applicants who have applied for and have not yet been

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granted admission as a permanent resident should check the Permanent resident block on the Face Page of this application form, and also write in the word "pending." A notarized statement documenting legal admission for permanent residence must be submitted prior to the issuance of an award. SBIR and STTR: The SF 424 (Research and Research-Related) application form and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR grants, Phase I and Phase II. Application forms must be submitted electronically through Grants.gov (<http://www.grants.gov>). For further assistance contact GrantsInfo: Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov.

Award Procedure:

Research Grants and National Research Service Awards (NRSAs) (Institutional): All accepted applications are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. (Individual NRSA applications are not reviewed by council.) All applications favorably recommended compete for available funds on the basis of scientific merit and mission/program relevance. SBIR/STTR Applications: All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, mission/program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Research Grants: From 6 to 9 months. NRSAs: From 6 to 9 months. SBIR/STTR: About 7-1/2 months. AIDS Grants: About 6 months.

Appeals:

Other. Research Grants: A Program Director/Principal Investigator (PD/PI) and/or applicant institution may question the substantive or procedural aspects of the initial peer review of his/her application by communicating with the appropriate NINR Program Official. NINR follows the NIH Appeals policy, NOT-OD-11-064: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>. NINR works with application PD/PI within the NIH appeals policy.

Renewals:

Other. Research Grants: Renewal applications are accepted. NRSAs: Awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research Grants: Awards are usually made annually with no project periods to exceed 5 years in length. NRSAs: Institutional awards may be made for up to 5 years; and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. SBIR: Normally, Phase I awards are for 6 months and normally Phase II awards are for 2 years. Method of awarding/releasing assistance: other

Reports:

Research Performance Progress Reports (RPPR) are required for all annual non-competing awards. Final Progress Reports, Final Federal Financial Reports, and Final Invention Statement and Certification (except for Training grants, Fellowships, and certain other programs [e.g., C06, R13, R25, S10]) for all projects are required within 120 days after the end of the research performance period. Termination Notices are required for Fellowships and trainees appointed on institutional training grants. Progress Report: Progress reports where applicable are required annually for continuing projects. Expenditure Report: Financial status reports where applicable are required annually for continuing projects. Performance Reports: Progress reports where applicable are required annually for continuing projects.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit

Requirements.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual Federal Financial Report (FFR) is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the Federal Financial Report (FFR) for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FFR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FFR to NIH. See 45 CFR Part 75.361 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR Part 75.361 and Part 75.364 for record retention and access requirements for contracts under grants. In accordance with 45 CFR 75.364, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period but shall last as long as records are retained.

Account Identification:

75-0889-0-1-552.

Obligations:

Training FY 20 \$7,415,299.00; FY 21 est \$7,525,959.00; FY 22 est \$8,083,238.00; Project Grants FY 20 \$128,571,572.00; FY 21 est \$129,972,565.00; FY 22 est \$150,070,975.00;

Range and Average of Financial Assistance:

Fiscal Year 2020: Research Grants: \$25,963 to \$1,529,479; Average cost \$413,331; NRSA Individual Awards: \$8,938 to \$69,165; Average cost of FTTP/Award \$39,901; NRSA Institutional Awards: \$122,686 to \$503,520; Average cost of FTTP \$54,353; Average cost of Award \$332,160; Fiscal Year 2021 (est.): Research Grants: \$84,105 to \$1,509,811; Average cost \$414,771; NRSA Individual Awards: \$5,006 to \$67,626; Average cost of FTTP/Award \$40,499; NRSA Institutional Awards: \$59,080 to \$511,503; Average cost of FTTP \$55,164; Average cost of Award \$337,111

TAFS Codes:

75-0889.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 301 Research Grants, 36 NRSA Individual Awards, 110 Institutional FTTPs/18 Institutional Awards; Fiscal Year 2021: 320 Research Grants, 36 NRSA Individual Awards, 110 Institutional FTTPs/18 Institutional Awards; Fiscal Year 2022: 360 Research Grants, 37 NRSA Individual Awards, 113 Institutional FTTPs/19 Institutional Awards

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Chief, Office of Extramural Programs Rebekah Rasooly, Acting Director, Division of Extramural Science Programs, 31 Center Drive, Bethesda MD 20892

ASSISTANCE LISTINGS DESCRIPTIONS

Email: rr185i@nih.gov

Phone: 301-827-2599

Fax:

Website Address:

<http://www.ninr.nih.gov/>

RELATED PROGRAMS:

93.866 Aging Research; 93.226 Research on Healthcare Costs, Quality and Outcomes; 93.865 Child Health and Human Development Extramural Research; 93.359 Nurse Education, Practice and Retention Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 (1) Profiling risk and symptom expression to develop customized therapies for adults with multiple chronic conditions, (2) Improving palliative care for vulnerable adults with multiple chronic conditions, (3) Self-management among Preteen and adolescent INsulin pump users (SPIN), (4) Improving hypertension medication adherence for older adults, (5) Driving after concussion: examination of the adolescent brain and behaviors, (6) Alive blood pressure project: A church-based intervention to improve blood pressure, (7) Reducing cardiovascular risk factors in black young adults, (8) Novel biomarkers for cancer-related fatigue: Integrating metabolomics, genomics and behaviors, (9) Interactions among adolescents with a parent in hospice and the hospice care team, (10) The role of host-microbial interactions in altering preterm birth risk among black women, (11) Efficient and cost-effective multimodal system for pain management in low back pain, (12) Testing the therapeutic effectiveness of a topical agent to reduce diabetic wound pain and improve healing, (13) A pragmatic, scalable e-health intervention for management of gestational weight gain in low-income mothers, (14) Come as you are - Assessing the efficacy of a nurse case management HIV prevention and care intervention among homeless youth, (15) Elucidating the prevalence, etiology, and trajectories of symptom clusters in early cancer survivors, (16) A protocol-driven, digital conversational agent at the hospital bedside to support nurse teams and to mitigate delirium and falls risk, (17) Precision health intervention methodology training in self-management of multiple chronic conditions, and (18) Customized health alerts and consumer-centered interfaces using in-home and wearable sensors.

CRITERIA FOR SELECTING PROPOSALS:

Reviewers provide an overall impact/priority score to reflect their assessment of the likelihood for the project to exert a sustained, powerful influence on the research field(s) involved, in consideration of the following review criteria and additional review criteria (as applicable for the project proposed): (1) Significance: Does the project address an important problem or a critical barrier to progress in the field? Is there a strong scientific premise for the proposed project, including consideration of the strengths and weaknesses of published research or preliminary data crucial to the support of the application? If the aims of the project are achieved, how will scientific knowledge, technical capability, and/or clinical practice be improved? How will successful completion of the aims change the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field? For clinical trial applications, the following additional criteria will be considered. Are the scientific rationale and need for a clinical trial to test the proposed hypothesis or intervention well supported by preliminary data, clinical and/or preclinical studies, or information in the literature or knowledge of biological mechanisms? For trials focusing on clinical or public health endpoints, is this clinical trial necessary for testing the safety, efficacy or effectiveness of an intervention that could lead to a change in clinical practice, community behaviors or health care policy? For trials focusing on mechanistic, behavioral, physiological, biochemical, or other biomedical endpoints, is this trial needed to advance scientific understanding? (2) Investigators: Are the PD/PIs, collaborators, and other researchers well suited to the project? If Early Stage Investigators or New Investigators, or in the early stages of independent careers, do they have appropriate experience and training? If established, have they demonstrated an ongoing record of accomplishments that have advanced their field(s)? If the project is collaborative or multi-PD/PI, do the investigators have complementary and integrated expertise; are their leadership approach, governance and organizational structure appropriate for the project? For clinical trial applications, the following additional criteria will be considered. With regard to the proposed leadership for the project, do the PD/PI(s) and key personnel have the expertise, experience, and ability to organize, manage and implement the proposed clinical trial and meet milestones and timelines? Do they have appropriate expertise in study

coordination, data management and statistics? For a multicenter trial, is the organizational structure appropriate and does the application identify a core of potential center investigators and staffing for a coordinating center? (3) Innovation: Does the application challenge and seek to shift current research or clinical practice paradigms by utilizing novel theoretical concepts, approaches or methodologies, instrumentation, or interventions? Are the concepts, approaches or methodologies, instrumentation, or interventions novel to one field of research or novel in a broad sense? Is a refinement, improvement, or new application of theoretical concepts, approaches or methodologies, instrumentation, or interventions proposed? For clinical trial applications, the following additional criteria will be considered. Does the design/research plan include innovative elements, as appropriate, that enhance its sensitivity, potential for information or potential to advance scientific knowledge or clinical practice? (4) Approach: Are the overall strategy, methodology, and analyses well-reasoned and appropriate to accomplish the specific aims of the project? Have the investigators presented strategies to ensure a robust and unbiased approach, as appropriate for the work proposed? Are potential problems, alternative strategies, and benchmarks for success presented? If the project is in the early stages of development, will the strategy establish feasibility, and will particularly risky aspects be managed? Have the investigators presented adequate plans to address relevant biological variables, such as sex, for studies in vertebrate animals or human subjects? If the project involves human subjects and/or NIH-defined clinical research, are the plans to address 1) the protection of human subjects from research risks, and 2) inclusion (or exclusion) of individuals on the basis of sex/gender, race, and ethnicity, as well as the inclusion or exclusion of age across life span, justified in terms of the scientific goals and research strategy proposed? For clinical trial applications, the following additional criteria will be considered. Is the study design justified and appropriate to address primary and secondary outcome variable(s)/endpoints that will be clear, informative and relevant to the hypothesis being tested? Is the scientific rationale/premise of the study based on previously well-designed preclinical and/or clinical research? Given the methods used to assign participants and deliver interventions, is the study design adequately powered to answer the research question(s), test the proposed hypothesis/hypotheses, and provide interpretable results? Is the trial appropriately designed to conduct the research efficiently? Are the study populations (size, gender, age, demographic group), proposed intervention arms/dose, and duration of the trial, appropriate and well justified? Are potential ethical issues adequately addressed? Is the process for obtaining informed consent or assent appropriate? Is the eligible population available? Are the plans for recruitment outreach, enrollment, retention, handling dropouts, missed visits, and losses to follow-up appropriate to ensure robust data collection? Are the planned recruitment timelines feasible and is the plan to monitor accrual adequate? Has the need for randomization (or not), masking (if appropriate), controls, and inclusion/exclusion criteria been addressed? Are differences addressed, if applicable, in the intervention effect due to sex/gender and race/ethnicity? Are the plans to standardize, assure quality of, and monitor adherence to, the trial protocol and data collection or distribution guidelines appropriate? Is there a plan to obtain required study agent(s)? Does the application propose to use existing available resources, as applicable? Are planned analyses and statistical approach appropriate for the proposed study design and methods used to assign participants and deliver interventions? Are the procedures for data management and quality control of data adequate at clinical site(s) or at center laboratories, as applicable? Have the methods for standardization of procedures for data management to assess the effect of the intervention and quality control been addressed? Is there a plan to complete data analysis within the proposed period of the award? (5) Environment: Will the scientific environment in which the work will be done contribute to the probability of success? Are the institutional support, equipment and other physical resources available to the investigators adequate for the project proposed? Will the project benefit from unique features of the scientific environment, subject populations, or collaborative arrangements? For clinical trial applications, the following additional criteria will be considered. If proposed, are the administrative, data coordinating, enrollment and laboratory/testing centers, appropriate for the trial proposed? Does the application adequately address the capability and ability to conduct the trial at the proposed site(s) or centers? Are the plans to add or drop enrollment centers, as needed, appropriate? If international site(s) is/are proposed, does the application adequately address the complexity of executing the clinical trial? If multi-sites/centers, is there evidence of the ability of the individual site or center to: (a) enroll the proposed numbers; (b) adhere to the protocol; (c) collect and transmit data in an accurate and timely fashion; and, (d) operate within the proposed

ASSISTANCE LISTINGS DESCRIPTIONS

organizational structure? In addition to the above criteria, the following items will continue to be considered in the determination of scientific merit and the impact/priority score. Protections for Human Subjects: For research that involves human subjects but does not involve one of the six categories of research that are exempt under 45 CFR Part 46, the committee will evaluate the justification for involvement of human subjects and the proposed protections from research risk relating to their participation according to the following five review criteria: 1) risk to subjects, 2) adequacy of protection against risks, 3) potential benefits to the subjects and others, 4) importance of the knowledge to be gained, and 5) data and safety monitoring for clinical trials. For research that involves human subjects and meets the criteria for one or more of the six categories of research that are exempt under 45 CFR Part 46, the committee will evaluate: 1) the justification for the exemption, 2) human subjects involvement and characteristics, and 3) sources of materials. Inclusion of Women, Minorities, and Age across Life Span: When the proposed project involves human subjects and/or NIH-defined clinical research, the committee will evaluate the proposed plans for the inclusion (or exclusion) of individuals on the basis of sex/gender, race, and ethnicity, as well as the inclusion (or exclusion) of age across life span to determine if it is justified in terms of the scientific goals and research strategy proposed. Vertebrate Animals: The committee will evaluate the involvement of live vertebrate animals as part of the scientific assessment according to the following criteria: (1) description of proposed procedures involving animals, including species, strains, ages, sex, and total number to be used; (2) justifications for the use of animals versus alternative models and for the appropriateness of the species proposed; (3) interventions to minimize discomfort, distress, pain and injury; and (4) justification for euthanasia method if not consistent with the AVMA Guidelines for the Euthanasia of Animals. Reviewers will assess the use of chimpanzees as they would any other application proposing the use of vertebrate animals. For additional information on review of the Vertebrate Animals section, please refer to the Worksheet for Review of the Vertebrate Animal Section. Biohazards: Reviewers will assess whether materials or procedures proposed are potentially hazardous to research personnel and/or the environment, and if needed, determine whether adequate protection is proposed. Resubmission: The committee will evaluate the application as now presented, taking into consideration the responses to comments from the previous scientific review group and changes made to the project. Renewal: The committee will consider the progress made in the last funding period. Revision: The committee will consider the appropriateness of the proposed expansion of the scope of the project. If the Revision application relates to a specific line of investigation presented in the original application that was not recommended for approval by the committee, then the committee will consider whether the responses to comments from the previous scientific review group are adequate and whether substantial changes are clearly evident. For clinical trial applications, the study timeline will be considered in the following. Is the study timeline described in detail, taking into account start-up activities, the anticipated rate of enrollment, and planned follow-up assessment? Is the projected timeline feasible and well justified? Does the project incorporate efficiencies and utilize existing resources (e.g., CTSA, practice-based research networks, electronic medical records, administrative database, or patient registries) to increase the efficiency of participant enrollment and data collection, as appropriate? Applications compete for available funds with all other recommended applications. The following will be considered in making funding decisions: Scientific merit of the proposed project as determined by peer review; Availability of funds; Relevance of program priorities.

93.362 Assistive Technology National Activities

AT National Activities,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Assistive Technology Act support programs for grants to states to address the assistive technology (AT) needs of individuals with disabilities. Section 6 of the AT Act authorizes national activities to support and improve the administration of the Act., Title Assistive Technology Act of 1998, as Amended, Section 6

OBJECTIVES:

The purpose of the Assistive Technology National Activities is to support activities to improve the administration of the AT Act in the following areas: national public awareness; state training and technical assistance; data collection and reporting; and research and development of assistive technology.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds shall be used on a national training and technical assistance program that expands public awareness efforts; training and technical assistance for State AT programs; and training and technical assistance to State AT programs to develop and implement a data-collection and reporting system; and the research and development of assistive technology including the development of standards for interoperability and new assistive technology product development.

Applicant Eligibility:

Small business (less than 500 employees); Public nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Senior Citizen (60+); Native American Organizations; State; Public nonprofit institution/organization; Disabled (e.g. Deaf, Blind, Physically Disabled); U.S. Citizen;

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Grants will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable. N/A

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be awarded for up to a 5- year project period. Annual budget periods may be awarded subject to the availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

The submission of the SF-425 (Federal Financial Report) is required. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Management System as identified in their award documents. Progress reports are due semi-annually from the start date of the award and due within 30 days of the reporting period end date. The final progress report and SF-425 reports are due 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

ASSISTANCE LISTINGS DESCRIPTIONS

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

75-0142-0-1-506.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$996,000.00; FY 21 est \$996,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. N/A

Headquarters Office:

Robert Groenendaal,
330 C Street SW Room 1317B,
Washington DC 20201
Email: Robert.Groenendaal@acl.hhs.gov
Phone: 202-795-7356
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

93.464 ACL Assistive Technology;

EXAMPLES OF FUNDED PROJECTS:

2020 Fiscal Year 2020: Grantees will continue to provide assistance with data collection and reporting analysis, develop and disseminate training materials, conduct trainings and technical assistance to support and enhance AT knowledge, benefits, skills, and competencies.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.364 Nursing Student Loans

NSL,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 835-842, 42 U.S.C. 297a-i, as amended; Health Professions Education Partnership Act of 1998, Public Law 105-392.,

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved nursing program.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

The maximum amount a student may borrow is \$5,022 for an academic year for each of the first two years, \$7,899 for each of the final two years of a baccalaureate or graduate degree program, or the amount of the student's financial need, whichever is less. The total of all Nursing Student Loans to any student is limited to \$24,768. Loans will be made to full and half-time nursing students enrolled after

June 30, 2021 who are citizens, nationals, lawful permanent residents of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, and the Federated States of Micronesia. Funds are made to schools of nursing to capitalize loans.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); All accredited public and nonprofit private schools of nursing that prepare students for practice as registered or graduate nurses, and that do not discriminate against students because of race, color, origin, sex, or handicapping conditions, are eligible to apply for funds to be disbursed to qualified nursing students. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Student/Trainee; U.S. Citizen; The Nursing Student Loan Program provides financial assistance to nursing students who are citizens, nationals or lawful permanent residents of the United States or the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, and the Federated States of Micronesia.

Credentials/Documentation:

New applications for this program can be acquired from the Division of Health Careers and Financial Support by calling (301) 443-1173. Annual operating reports serve as the new year application for current NSL recipient institutions. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Students should apply at financial aid office of school of their choice for assistance in applying for a loan. The school then tabulates requests for financial aid and awards loans based on needs of students and available funds. Schools can obtain new applications for this program from the Division of Health Careers and Financial Support by calling (301) 443-1173.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award. The Notice of Award is sent to the School's Contact Person notifying the school of the amount of federal funds allocated for loans prior to the beginning of the academic year in which the award is to be utilized. Student is notified by student aid office of approval or disapproval at times determined by schools.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Applications are only required for new schools. Annual Operating Reports are required for renewals.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary.

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11%. Under the Federal Capital Contribution method, schools participating in loan programs must match the federal contribution with an amount not less than one-ninth of the funds drawn down. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time of assistance depends upon the time required by the student to complete the necessary course of study leading to a degree and is subject to availability of funds. Method of awarding/releasing assistance: recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

An Annual Operating Report (AOR) through electronic submission at <http://grants.hrsa.gov> must be submitted 45 days after the end of each budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Annual Operating Report (AOR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Direct Loans FY 20 \$7,500,000.00; FY 21 est \$9,400,000.00; FY 22 est \$9,400,000.00;

Range and Average of Financial Assistance:

FY20 Range \$1,000 - \$1.9ML Average per institution \$554,000 FY21 Est. Range \$22,000 - \$710,000 Average per institution \$109,850 FY22 Est. Range \$1,500 - \$1.0ML Average per institution \$500,000

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Nicklaus Spruill, Division of Health Careers and Financial Support, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services,
5600 Fishers Lane, Room 15N58B,
Rockville MD 20857

Email: NSpruill@hrsa.gov

Phone: (301) 443-4766

Tinika Mayo, Division of Health Careers and Financial Support, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services,
5600 Fishers Lane, Room 15N58B,
Rockville MD 20857

Email: TMayo@hrsa.gov

Phone: (301) 443-4306

Fax:

Website Address:

<http://bhw.hrsa.gov/scholarshipsloans/index.html>

RELATED PROGRAMS:

84.063 Federal Pell Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements.

93.365 Sickle Cell Treatment Demonstration Program

SCDTDP.

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 USC Section 300b-5(b) (Section 1106(b) of the Public Health Service Act).

OBJECTIVES:

The objectives of this project is to support the development and establishment of systemic mechanisms to improve the prevention and treatment of sickle cell disease complications, including the coordination of service delivery for individuals with sickle cell disease; genetic counseling and testing; bundling of technical services related to the prevention and treatment of sickle cell disease; training of health professionals; and identifying and establishing efforts related to the expansion and coordination of education, treatment, and continuity of care for individuals with Sickle Cell Disease as authorized in 42 USC Section 300b-5(b) (Section 1106(b) of the Public Health Service Act).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved projects in accordance with Section 712 of the Public Law 103-357.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible entities include, Federally-qualified health center, as defined in section 1905(10)(2)(B) of the Social Security Act (42 U.S.C. 1396d(10)(2)(B)), nonprofit hospital or clinic, or university health center that provides primary health care, that: (1) has a collaborative agreement with a community-based Sickle Cell Disease organization or a nonprofit entity with experience in working with individuals who have Sickle Cell Disease; and (2) demonstrates that either the Federally-qualified health center, the nonprofit hospital or clinic, the university health center, the community-based Sickle Cell Disease organization or the Sickle Cell Disease experts who serve as consultants to the project have at least 5 years of experience in working with individuals who have Sickle Cell Disease.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Public nonprofit institution/organization; Projects will benefit individuals with Sickle Cell Disease and health professionals who provide care for individuals with Sickle Cell Disease.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Final decisions are made approximately 2-3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. Method of awarding/releasing assistance: other

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$4,237,486.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

FY 20 est. \$582,000-\$1,297,000; \$847,497FY 21 est. \$900,000-\$1,150,000; \$1,000,000FY 22 est. \$900,000-\$1,150,000; \$1,000,000

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 20, The Sickle Cell Treatment Demonstration Program served more than 25,000 individuals living with Sickle Cell Disease across the United States.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Program Office: Alisha Keehn, MPA, Genetic Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Rockville, MD 20857; Telephone: (301) 945-4817.

Headquarters Office:

Alisha Keehn, MPA,
5600 Fishers Lane,
Rockville MD 20857
Email: akeehn@hrsa.gov
Phone: (301) 945-4817

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the notice of funding opportunity materials provided with application kits.

93.366 State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes

Oral Health,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

Sections 317 of the Public Health Service Act (as amended) [42 U.S.C. Sections 4102(d) of the Patient Protection and Affordable Care Act[42 U.S.C. Section 280k-3],

OBJECTIVES:

To establish oral health leadership and program guidance, oral health data collection and interpretation, multi-dimensional delivery system for oral and physical health, and to implement science-based programs (including dental sealants and community water fluoridation) to improve oral and physical health. To strengthen state oral health programs and public health core capacity to decrease dental caries and reduce inequalities in the oral health targeted populations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Cooperative Agreement funds can be used for planning, implementing and evaluating oral disease prevention and control programs. To strengthen state oral health programs and public health core capacity. To decrease the prevalence of dental caries, decrease oral health disparities, and decrease other co-morbidities associated with chronic diseases found in targeted populations. To improve oral public health programs, including support for program leadership and staff, to monitor oral health risk factors, and for developing and evaluating prevention programs. To support evidenced-based interventions to prevent oral and chronic diseases, including but not limited to community water fluoridation and school-based and school-linked dental sealant programs. To collaborate in the development and support of the National Oral Health Surveillance System. To develop initiatives related to oral disease prevention and chronic disease and health promotion capacity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit.

Beneficiary Eligibility:

Anyone/general public; Health Professional; State; States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the methods of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Uniform Guidance: Administrative Requirements, Costs Principles, and Audit Requirements for Federal Awards (2 CFR 200). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This is a 5 year project period with 12-month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required on an annual basis. 90 days after the end of each budget period. Progress reports, including progress on performance measures, are required on an annual basis. Annual Federal Financial Report is required. FFR is required 90 days after the end of each budget period. Progress reports, including progress performance measures, are required on and annual basis. final progress reports is required 90 days after the end of the project period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions, still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$8,383,485.00; FY 21 est \$8,383,632.00; FY 22 est \$838,632.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford HWY, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection is based on the evaluation criteria responses from the applicant and outcome of the Objective Review Panel

ASSISTANCE LISTINGS DESCRIPTIONS

93.367 Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs

Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs,

FEDERAL AGENCY:

FOOD AND DRUG ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Awards are made under the authorization of Section 317R of the Public Health Service Act (42 USC 247b-20), and Section 1004 of the Food and Drug Administration Amendments Act (21 USC 2104),

OBJECTIVES:

Advance efforts for a nationally integrated food safety system (IFSS) by supporting Manufactured Food Regulatory Program Standards (MFRPS), Rapid Response Teams (RRT) and Food Protection Task Force (FPTF) programs, as well as special projects.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

Achieve and maintain conformance with the most current version of the Manufactured Food Regulatory Program Standards (MFRPS). Establish and/or support a Food Protection Task Force. Facilitate long-term improvements and innovation to the national integrated food safety system by unifying and coordinating federal/state/local HAF emergency response efforts.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; N/A

Beneficiary Eligibility:

State; N/A

Credentials/Documentation:

Competitive application submission to appropriate RFA's 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Application will be evaluated by an objective review panel

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Usually for a five year period Method of awarding/releasing assistance: other

Reports:

mid-term and annual reports Quarterly mid-term and annual reports SF-425 Reports, phone calls, and on-site visits

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Applicants should review the individual Funding Opportunity Announcement (FOA) issued under this CFDA program to see the specific requirement that applies.

Records:

Those required by 2 CFR 200 and other applicable government regulations

Account Identification:

99-9999-9-9-999.

Obligations:

Salaries and Expenses FY 20 \$17,230,175.00; FY 21 est \$12,719,215.00; FY 22 est \$16,012,582.00;

Range and Average of Financial Assistance:

Average award \$810,000

TAFS Codes:

13-0550.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Gordana Zuber,
4041 Powder Mill Road,
Beltsville MD 20705

Email: Gordana.Zuber@fda.hhs.gov

Phone: 301-348-1747

Website Address:

No Data Available.

RELATED PROGRAMS:

93.103 Food and Drug Administration_Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Listed in grant announcement upon posting

93.368 21st Century Cures Act - Precision Medicine Initiative

All of Us Research Program,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The 21st Century Cures Act; Appropriation 75X5628, , Small Business Research and Development Enhancement Act of 1992, , Public Law 102-564

OBJECTIVES:

To provide support for initiatives funded under the 21st Century Cures Act, the All of Us Research Program will gather data from one million or more diverse people living in the United States to accelerate research and improve health. By taking into account individual differences in lifestyle, environment, and biology, researchers will uncover paths toward delivering precision medicine.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

ASSISTANCE LISTINGS DESCRIPTIONS

Grants and cooperative agreements may be made to eligible institutions for the support of the All of Us Research Program projects. The awards may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that applies and receives an award for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Grants/Cooperative Agreements: Approximately 10 months

Appeals:

Other. For grants/cooperative agreements: A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Other. Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Method of awarding/releasing assistance: other

Reports:

Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-2019-0-0-028.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$149,000,000.00; FY 21 est \$109,000,000.00; FY 22 est \$150,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-5628.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, NIH Grants Policy Statement, SF 424

Regional or Local Office:

Headquarters Office:

JUSTIN HENTGES,
National Institutes of Health 6011 Executive Blvd, Suite 214,
ROCKVILLE MD 20852

Email: Justin.hentges@nih.gov

Phone: 3015940651

Website Address:

<https://allofus.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For grants and cooperative agreements, funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.369 ACL Independent Living State Grants

Independent Living Services,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B., Title VII, Part B.,

OBJECTIVES:

To provide financial assistance to States for expanding and improving the provision of independent living (IL) services to individuals with significant disabilities by promoting and maximizing their full integration and inclusion into

ASSISTANCE LISTINGS DESCRIPTIONS

the mainstream of American society.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds received under this program may be used to carry out the purposes of the Independent Living State Grants Program described in section 713 of the Rehabilitation Act as amended. These purposes include: (1) to provide independent living services to individuals with significant disabilities, particularly those in unserved areas of the State; (2) to demonstrate ways to expand and improve independent living services; (3) to support the operation of centers for independent living that are in compliance with the standards and assurances set forth in subsections (b) and (c) of section 725; (4) to support activities to increase the capacities of public or nonprofit agencies and organizations and other entities to develop comprehensive approaches or systems for providing independent living services; (5) to conduct studies and analyses, gather information, develop model policies and procedures, and present information, approaches, strategies, findings, conclusions, and recommendations to Federal, State, and local policymakers in order to enhance independent living services for individuals with disabilities; (6) to train individuals with disabilities and individuals providing services to individuals with disabilities and other persons regarding the independent living philosophy; and (7) to provide outreach to populations that are unserved or underserved by programs under this title, including minority groups and urban and rural populations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Any designated State Entity (DSE) in a State with an approved State Plan for Independent Living (SPIL) may apply for assistance under this program. The DSE is the State entity of such State as the agency that, on behalf of the State, receives, accounts for and disburses funds received under this chapter based on the SPIL. DSEs in the 50 States and the District of Columbia and the territories (Commonwealth of Puerto Rico and Virgin Islands) and the outlying areas (Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) are eligible to apply.

Beneficiary Eligibility:

State; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Individuals with significant disabilities as defined in section 7(21)(B) of the Rehabilitation Act, as amended. This refers to an individual with a severe physical or mental impairment whose ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited and for whom the delivery of independent living services will improve the ability to function, continue functioning, or move toward functioning independently in the family or community or to continue in employment, respectively.

Credentials/Documentation:

The State agency must certify the availability of State funds for matching purposes. The match for this program may be cash or in-kind. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded

from coverage under OMB Circular No. A-110. In order to be eligible for an allotment under this program, States must submit a State Plan for Independent Living (SPIL) that meets requirements in section 704 of the Rehabilitation Act, as amended. The State shall submit the plan 90 days before the completion date of the preceding plan.

Award Procedure:

A notice of annual allotment to the State is issued. States receive annual grant award notices and funds are withdrawn under the Payment Management System.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Other. After reasonable effort has been made to resolve the questions involved, and the State has pursued a hearing before the Office of the Administrative Law Judge, the State may appeal to the United States Court of Appeals for the circuit in which the State is located pursuant to the IL program regulations at 34 CFR 364.12.

Renewals:

Other. Awards are made on an annual basis and may be renewed for up to 2 additional years, for a total of 3 years under an approved State Plan for Independent Living.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching Requirements: Under Section 712(b) of the Rehabilitation Act, as amended, provides the Federal share shall be 90 percent of the expenditures incurred by the State during such year under its State plan approved under section 706. The non-Federal share may be provided in cash or in kind, fairly evaluated, including plant, equipment, or services.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. Refer to the Funding Opportunity for further details. Method of awarding/releasing assistance: other

Reports:

Performance reports and financial reports are required annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$25,378,000.00; FY 21 est \$25,378,000.00; FY 22 est \$28,478,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Supervisory Program Specialist Jennifer Johnson,

ASSISTANCE LISTINGS DESCRIPTIONS

Administration for Community Living AOD330 C Street SW,
Washington DC 20201

Email: jennifer.johnson@acl.hhs.gov

Phone: 2027957371

Fax:

Website Address:

<http://www.acl.gov/Programs/AoD/ILA/Index.aspx>

RELATED PROGRAMS:

93.432 ACL Centers for Independent Living ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An approved State plan is a condition for receipt of funds under the IL State Grants program under the Rehabilitation Act. State plan requirements are contained in section 704 of the Rehabilitation Act as amended.

93.370 21st Century Cures Act: Regenerative Medicine Initiative

Regenerative Medicine Initiative,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

21st Century Cures Act , Appropriation 75X5628 , Small Business Research and Development Enhancement Act of 1992 , Public Law 102-564

OBJECTIVES:

To provide support for initiatives funded under the 21st Century Cures Act to support the BRAIN Initiative's aim of revolutionizing our understanding of the human brain. By accelerating the development and application of innovative technologies, researchers will be able to produce a revolutionary new dynamic picture of the brain that, for the first time, shows how individual cells and complex neural circuits interact in both time and space. Long desired by researchers seeking new ways to treat, cure, and even prevent brain disorders, this picture will fill major gaps in our current knowledge and provide unprecedented opportunities for exploring exactly how the brain enables the human body to record, process, utilize,

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of the BRAIN Initiative. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that applies and receives a grant for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Regular Grants: Approximately 10 months

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Other. Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Method of awarding/releasing assistance: letter

Reports:

Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS.

Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-2018-0-0-028.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$3,097,550.00; FY 21 est \$2,580,353.00; FY 22 est \$3,788,483.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-5628.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, NIH Grants Policy Statement, SF 424

Regional or Local Office:

None. 45 CFR 75, NIH Grants Policy Statement, SF 424

Headquarters Office:

Dana A. Phares,

ASSISTANCE LISTINGS DESCRIPTIONS

National Institutes of Health 6701 Rockledge Drive, Room 7176,
Bethesda MD 20832

Email: pharesda@nhlbi.nih.gov

Phone: 301 827-7968

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.372 21st Century Cures Act -Brain Research through Advancing Innovative Neurotechnologies

The BRAIN Initiative,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, , Public Law 102-564, Act:The 21st Century Cures Act; Appropriation 75X5628,

OBJECTIVES:

To provide support for initiatives funded under the 21st Century Cures Act to support the BRAIN Initiatives aim of revolutionizing our understanding of the human brain. By accelerating the development and application of innovative technologies, researchers will be able to produce a revolutionary new dynamic picture of the brain that, for the first time, shows how individual cells and complex neural circuits interact in both time and space. Long desired by researchers seeking new ways to treat, cure, and even prevent brain disorders, this picture will fill major gaps in our current knowledge and provide unprecedented opportunities for exploring exactly how the brain enables the human body to record, process, utilize, store, and retrieve vast quantities of information, all at the speed of thought.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of the BRAIN Initiative. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that applies and receives a grant for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Other public institution/organization; Federally Recognized Indian Tribal Governments; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. Regular Grants: Approximately 10 months

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html

Renewals:

Other. Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Method of awarding/releasing assistance: Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: other

Reports:

Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Special reports may be requested by DHHS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-2018-0-0-028.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$140,000,000.00; FY 21 est \$100,000,000.00; FY 22 est \$152,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

75-0886.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: NIH BRAIN Initiative-funded researchers (funded from all of these scientific priority areas) have made significant advances made possible in part by 21st Century Cures funding. They have created a system for studying circuits in a postmortem animal brain; programmed a computer to mimic natural speech from peoples brain signals; and developed a new viral genetic-based brain mapping tool. Through advanced imaging techniques, they have watched neurons fire in the brains of running mice and made high-speed, high-resolution, 3D films of a nervous system in action. These scientists have created the most detailed parts list of the human brain to date and shown how it can remarkably adapt after one half is damaged or remove by re-wiring specialized neural networks.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, NIH Grants Policy Statement, SF 424

Regional or Local Office:

None. Not Applicable

Headquarters Office:

Walter Koroshetz,
National Institutes of Health,
Bethesda MD 20892
Email: koroshetzw@nih.gov
Phone: 301-496-3167

Website Address:

<https://www.braininitiative.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.376 Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Statue Patient Protection and Affordable Care Act (PPACA), SEC. 399NN.
YOUNG WOMENS BREAST HEALTH AWARENESS AND SUPPORT OF
YOUNG WOMEN DIAGNOSED WITH BREAST CANCER-

OBJECTIVES:

Goals resulting from providing support to young breast cancer survivors and metastatic breast cancer patients include: increased support for caregivers and families for these individuals, increased awareness of clinical trials by both young women diagnosed with cancer and individuals diagnosed with metastatic breast cancer, increased financial support, and improved quality of life among young breast cancer survivors.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Due Date for Applications: TBD, 11:59 p.m. U.S. Eastern Standard Time, at www.grants.gov.

Applicant Eligibility:

U.S. Territories and possessions; Government - General; Federal; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Government organizations, non-governmental organizations, private colleges and universities, community-based organizations, faith-based organizations, for-profit organizations (other than small business), and small businesses can apply.

Beneficiary Eligibility:

U.S. Territories; Public nonprofit institution/organization; State; Local; Federal; Minority group; Small business; General public

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Review and Selection Process: Applications will be reviewed in three phases. Phase I Review: applications will be reviewed initially for completeness by CDC OGS staff and will be reviewed jointly for eligibility by the CDC NCCDPHP DCPC and OGS. Phase II Review: A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the NOFO. Phase III Review: Applications will be funded in order by score and rank determined by the review panel.

Deadlines:

From May 1, 2019 to July 1, 2019

Letter of Intent due June 3, 2019

Range of Approval/Disapproval Time:

From 120 to 180 days. n/a

Appeals:

From 120 to 180 days. n/a

Renewals:

From 120 to 180 days. Upon availability of funds and/or successful performance, CDC/OFR will provide instructions on renewal and/or continuation during each budget year of the project.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

9/30/2019 9/29/2024 Method of awarding/releasing assistance: other

Reports:

Annual Performance Report: Federal Financial Reporting (FFR); Final Performance and Financial Report: Awardee Evaluation and Performance Measurement Plan:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$2,880,000.00; FY 21 est \$2,880,000.00; FY 22 est \$2,880,000.00;

Range and Average of Financial Assistance:

150000 -- 350000

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Centers for Disease Prevention and Control (CDC) Division of Cancer Prevention and Control (DCPC) National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP)

Headquarters Office:

Sheryl Heard,
4770 Buford Highway,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770-488-2855
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.377 Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R.

n/a.

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Public Health Service Act (PHS Act).

OBJECTIVES:

The purpose of this five-year program is to reduce the rates of disability and death associated with chronic disease in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and Puerto Rico. The U.S. Affiliated Pacific Islands include American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Federated States of Micronesia, Republic of the Marshall Islands, and Republic of Palau. Evidence-based strategies to reduce tobacco use and secondhand smoke exposure, prevent and improve management of diabetes and cardiovascular disease (CVD), improve availability and quality of data to inform programs, including maternal and child health and oral health, and monitor progress will be supported. Cross-cutting and unified approaches to address chronic disease and their risk factors, with an emphasis on tobacco use, diabetes, CVD, maternal and child health, and oral health will be supported. The purpose of this NOFO is: a) To reduce tobacco use and secondhand smoke exposure b) To improve prevention and management of diabetes c) To prevent persons at risk for pre-diabetes from developing diabetes d)

To improve prevention and management of high blood pressure and high cholesterol e) To collect data to inform programs and policies that aim to improve maternal and child health f) To better address oral health needs

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds can be used to pay overhead, travel costs, and other expenses directly related to achievement of the strategies and activities identified to fulfill the obligations specified in the notice of funding. Funds can be used for salaries for personnel to plan, implement, monitor, and evaluate program activities; for contracts or agreements to implement and evaluate program activities, including assessments and convening coalitions; contracts or agreements with community-based organizations or coalitions to provide health education and lifestyle support programs, or to improve the health of the physical environment (for example to improve access to healthy food, physical activity, or decrease tobacco exposure); or other activities that promote or support healthy behaviors and improved health in the population. Funds can be used to pay for activities and supplies necessary to provide the services outlined here, including self-blood pressure monitoring equipment. Funds can be used to develop and maintain data collection systems to collect and report biomeasures and behavior information and for monitoring systems for chronic disease.

Applicant Eligibility:

U.S. Territories and possessions; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants must provide proof of ability to serve populations in one of the following jurisdictions: Commonwealth of the Northern Mariana Islands (CNMI), American Samoa, Guam, Federated States of Micronesia (FSM), Republic of the Marshall Islands (RMI), Republic of Palau, U.S. Virgin Islands, Puerto Rico

Beneficiary Eligibility:

Federal; State; Local; U.S. Territories; Minority group; Northern Mariana Islands, American Samoa, Guam, Federated States of Micronesia, Republic of the Marshall Islands, Republic of Palau, U.S. Virgin Islands, Puerto Rico.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Instructions are covered by 2 CFR.

Award Procedure:

Applications will be submitted via GrantSolutions as specified in the NOFO. All applications submitted by the deadline that are complete and responsive to the NOFO will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award from the CDC Office of Financial Resources. The Notice of Award shall be the only binding, authorizing document between the recipient and CDC and will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. n/a

Appeals:

Not Applicable. none

Renewals:

From 120 to 180 days. The project period is 5-years with 12-month budget periods.

ASSISTANCE LISTINGS DESCRIPTIONS

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding for this program will be awarded on annual basis and the funding will be available for obligation by recipients for a period of 12-months. Method of awarding/releasing assistance: other

Reports:

Awardees will be expected to provide reports so that CDC can provide continuous program monitoring. These reports identify successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees will be expected to submit annual performance reports, ongoing performance measure data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the notice of award to successful applicants. Progress reports are required on annual basis. Recipients will submit Annual Federal Financial Reports using the SF-424. These reports are required and must be submitted to the CDC Grants Management Officer no later than 90-days after the end of each budget period. Annual performance progress and monitoring reports will be expected from all awardees no later than 120 days prior to the end of each budget period. This report will serve as the continuation application for the follow-on budget period. The contents of the report should include the information specified in the solicitation from the CDC Grants Management Officer.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

CDC requires awardees to meet all record retention requirements defined in the notice of award, the funding opportunity, the HHS grants policy statement, and 45 CFR Part 75.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$3,286,054.00; FY 21 est \$3,283,508.00; FY 22 est \$3,283,508.00;

Range and Average of Financial Assistance:

Awards are expected to range from \$250,000 to \$1,500,000 (total award for both Core and Competitive Components, where applicable). All activities supported through this NOFO must contribute to health improvements across the target population and across population subgroups. Funding strategy will also include the awardees proposed activities and goals, estimated population reach, and program capacity as described in the application.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

None

Regional or Local Office:

None.

Headquarters Office:

Stacy De Jesus,
4770 Buford Highway Mail Stop F-80,
Atlanta GA 30341
Email: sdejesus@cdc.gov
Phone: 7704886393
Fax: Sheryl Heard,

4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I: All applications will be reviewed initially for completeness by CDC OGS staff and will be reviewed jointly for eligibility by the CDC National Center for Chronic Disease Prevention and Health Promotion and CDC OGS. Applicants must provide proof of ability to serve populations in American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Federated States of Micronesia, Republic of the Marshall Islands, Republic of Palau, U.S. Virgin Islands, or Puerto Rico. Incomplete applications that do not meet the eligibility criteria will not advance to Phase II review. Phase II Review: This funding opportunity is comprised of two components Core Component and Competitive Components. Core Component: The Core Component will support recipients to implement the strategies and activities as outline in the NOFO. Applicants must propose a comprehensive work plan that addresses the activities following broad Strategies indicated in the NOFO. Applicants for the Core Component will be evaluated based on the evaluation criteria as indicated in the NOFO. Competitive Components: This NOFO has two competitive Component that will support maternal and child health and oral health activities. All applicants must apply for the Core Component in order to be considered for either (or both) of the Competitive Components. Only those successful applicants under the Core Component will be eligible for Competitive Component funding. Applicants must apply for competitive Component funding at the same time they make application for Core Component funding. Competitive components will be evaluated based on the evaluation criteria as indicated in the NOFO. Phase III Review: Applications submitted under the Core Component will be funded by score and rank as determined by the objective review panel. Applications submitted under the Competitive Component will be funded by score and rank determined by the objective review panel. The Selecting official shall rely on the rank order established by the objective review panel as the primary factor in making awards.

93.378 Integrated Care for Kids Model

Integrated Care for Kids Model,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 1115A of the Social Security Act (the Act), as added by 3021 of the Affordable Care Act, Title Section 1115A of the Social Security Act, Section 1115A

OBJECTIVES:

The purpose of this cooperative agreement funding opportunity is to support the implementation of the Integrated Care for Kids (INCK) model with up to eight (8) awardees. The InCK Model is a child-centered state payment and local service delivery model aimed at reducing expenditures and improving the quality of care for children up to age 21 covered by Medicaid and CHIP through early identification and treatment of priority health concerns like behavioral health conditions, and physical health needs. CMS will award InCK Model cooperative agreements to partnerships between state Medicaid agencies (hereafter called the state) and local organizations (hereafter called the Lead Organization) to leverage and build on existing child programs. One or more state-driven alternative payment models (APMs) will support these partnerships to improve the coordination and quality of care through accountability for costs and outcomes. Model Awardees

ASSISTANCE LISTINGS DESCRIPTIONS

will develop local care delivery approaches and infrastructure to: Identify, assess, and risk stratify children with or at-risk for significant behavioral and physical health needs within their service area; Deliver integrated care coordination and case management across local child services, supported by child and family-centered information sharing and alignment of program eligibility and enrollment processes; Increase local capacity to shift care for children at-risk for, or already in, out-of-home placement to less costly and more effective home and community-based settings; and implement one or more APMs that support the local integrated service delivery model and promote accountability for improved outcomes, such as lower rates of opiate and other substance use, as well as long-term health system sustainability.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

CMS intends for Awardees to use model funding for staff time and infrastructure costs incurred due to activities that are required to design, implement and operate the model. Below we list examples of potentially allowable expenses for model funding (assuming there is no supplanting of other federal, state, or local program funding): InCK model enrollment and assessment systems development, adaptation, or expansion; Staff training on InCK model activities; Promotional material for beneficiary outreach; Coalition building activities between InCK model Partnership Council members; Population health management activities related to the Model (i.e. data analysis, strategic planning, and process improvement); Mobile crisis system development, adaptation, or expansion; Participation in CMS evaluation activities, including data collection and reporting; and Annual independent audit of staff time and effort accounting

Applicant Eligibility:

Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The InCK model will be open state Medicaid agencies and to HIPAA covered entities (including but not limited to State Medicaid Agencies, Managed Care Plans, provider groups or other HIPAA covered entities) serving Medicaid (and if applicable in the state CHIP) covered children eligible for EPSDT services.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

All applicants under this announcement must have: An Employer Identification Number (EIN), otherwise known as a Taxpayer Identification Number (TIN), to apply. All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number. The applicant must also register in the System for Award Management (SAM) database in order to be able to submit the application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. CMS will award funding for InCK Model implementation through a cooperative agreement to a single entity, either a Lead Organization or a state Medicaid agency. For this model the Lead Organization is defined as an existing or newly created business entity that meets the definition of a covered entity under HIPAA (examples could include a hospital system, managed care plan, or local health department). The Lead Organization and state Medicaid agency must partner to write and submit a single model application, and must commit to work together to implement the model if awarded. Each applicant for the InCK Model must submit a Model Implementation Plan, Model Impact Analysis, and a completed Model Budget Proposal and Program Duplication Questionnaire. The Awardee will be responsible for

determining the budget allocations for staff time and infrastructure costs consistent with the terms of the cooperative agreement, and demonstrating how the state and Lead Organization will use funds to accomplish their respective roles in model implementation as part of their application. The application procedure is as follows: Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with <http://www.grants.gov>, contact support@grants.gov or 1-800-518-4726. At Grants.gov, applicants will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the Grants.gov website. Specific instructions for applications submitted via <http://www.grants.gov>: You may access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number. At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov> because of the time needed to complete the required registration steps. The applicant must be registered in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so. Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines. When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence. The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications. Any files uploaded or attached to the Grants.gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process. After you electronically submit your application, you will receive an acknowledgement from Grants.gov that contains a Grants.gov tracking number. CMS will retrieve your application package from Grants.gov. Please note that applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be accepted. After CMS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov. Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on the applicable due date of June 10, 2019. Applications not successfully submitted to Grants.gov by the

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due date and time will not be eligible for review. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt. Please be aware of the following: 1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement. 2) If you experience technical challenges while submitting your application electronically, please contact Grants.gov Support directly at: www.grants.gov/customersupport or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays). 3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved. To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all State applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. This statement does not apply to an individual entity having internet service problems. In order for there to be any consideration there must be an effect on the public at large. Grants.gov complies with Section 508 of the Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site including forms contained with an application package, they can email the Grants.gov contact center at support@grants.gov or call 1-800-518-4726.

Award Procedure:

1. Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions. Applicant should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel. 2. Award funds will be distributed through the Payment Management System.

Deadlines:

February 18, 2019 - June 10, 2019. Deadline to submit applications for InCK is June 10, 2019.

*The application period has closed, and this is a 1-time funding opportunity.

Range of Approval/Disapproval Time:

Other. 6 Months

Appeals:

Other. CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act, which creates the Center for Medicare and Medicaid Innovation (CMMI). Section 1115A(d)(2) states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The InCK Model will be implemented using a cooperative agreement. Funding will be available on an annual basis for seven (7) years with a model start date in FY 2020 and end date in FY 2026. Method of awarding/releasing assistance: quarterly

Reports:

The award recipient must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly and final reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Awardees are required to submit Federal Financial Reports (FFR) to report cash transaction data, expenditures, and any program income generated. The award recipient must agree to cooperate with any federal evaluation of the model and performance results and provide required

quarterly and final reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Awardees are required to submit Federal Financial Reports (FFR) to report cash transaction data, expenditures, and any program income generated. Awardees are required report of their progress on a set of program milestones that cover operational aspects of model implementation as well as performance on specific measures of beneficiary impact. These milestones are detailed in the Notice of Funding Opportunity. These reports will be submitted as part of the quarterly progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

For the duration of the model, Awardees are required to maintain a record of all identifiable source data used to generate the values reported for Milestones and must make this data available to CMS for program monitoring, auditing, and evaluation purposes upon request.

Account Identification:

75-0522-0-1-551.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$23,297,741.00; FY 21 est \$23,399,116.00; FY 22 est \$15,851,478.00;

Range and Average of Financial Assistance:

The InCK Model funded 8 cooperative agreements in December 2019 with an award period of January 1, 2020- December 31, 2026. The funding period is divided into two parts:- Pre-Implementation Period: a two year period spanning years 1-2 of the model during which the awardee will use develop capacity to operate the model - Performance Period: five year performance period spanning years 3-7 of the model during which the awardee will operate the model. During the Pre-Implementation period awardees may receive up to \$3 million per awardee per year for a total amount of up to \$6 million. The amount available during the Performance Period is up to \$2 million per awardee per year for a potential total amount of \$10 million during the performance period.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

InCK Website: <https://innovation.cms.gov/initiatives/integrated-care-for-kids-model/InCK> Notice of Funding Opportunity:

<https://www.grants.gov/web/grants/view-opportunity.html?oppld=312759>

Regional or Local Office:

None. N/A

Headquarters Office:

Taiwana Lucienne,
7500 Security Blvd, MS WB-05-53,
Baltimore MD 21244
Email: Taiwana.Lucienne@cms.hhs.gov
Phone: 410-786-8917

Fax: David O'Reilly,
7500 Security Blvd, MS WB-05-33,
Baltimore MD 21244
Email: David.O'Reilly@cms.hhs.gov
Phone: 410-786-7718

Fax:

Website Address:

<https://innovation.cms.gov/initiatives/integrated-care-for-kids-mode>

RELATED PROGRAMS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1.All applications submitted to Grants.gov will be reviewed for completeness. 2.Complete applications will be forwarded to an objective review panel. 3.The review panel will evaluate the proposal based on how well applicant addresses the evaluation criteria outlined in the FOA and create a rank list. 4.CMS will review the rank list and advice generated by the review panel and will approve the selected application and issue a Notice of Award. 5.The final list of selected applicants will be reviewed notified of award by the CMS Office of Acquisitions and Grant Management.

93.380 The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice

Genomics Program,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under the following sections: Section 317(k)(2) of the Public Health Service Act (PHS Act), 42 U.S.C. 247b (k)(2); Section 301(a) of the PHS Act, 42 U.S.C. 241(a)., Title Public Health Service Act, Section 317(k)(2)

OBJECTIVES:

The purpose of this cooperative agreement is to develop effective approaches for translation of evidence-based recommendations and implementation of activities in cancer genomics in public health settings. Specifically applicants will be asked to translate the USPSTF recommendation on screening for Hereditary Breast and Ovarian Cancer Syndrome and the EGAPP recommendation on tumor screening for Lynch syndrome for implementation into public health practice.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Staffing, program activities, data acquisition, program-related travel

Applicant Eligibility:

U.S. Territories and possessions; Federal; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-profit organizations, state health department or tribal organization, universities

Beneficiary Eligibility:

State; U.S. Territories; Non-profit organizations, state health department or tribal organization, universities

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications will consist of submitting a work plan and program narrative that details program goals and outcomes, and, must include a detailed work plan with their planned activities for year 1. In addition, a detailed budget and justification narrative will be required, as well as a detailed staffing plan. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All applications that are complete and responsive to this competitive Notice of Funding Opportunity announcement will be evaluated for programmatic and technical merit. Applications will be reviewed for completeness by the Office of Financial Resources (OFR) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and the Office of

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable. N/A

Renewals:

From 120 to 180 days. 5 year with 12-month budget periods. Renewals are based on availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five year funding opportunity (FY19, FY20, FY21, FY22, FY23). Method of awarding/releasing assistance: other

Reports:

Reports detailing the implementation and evaluation of program activities will be due yearly Progress Reports will be due yearly, along with their continuation application to receive the next years funding.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$2,099,016.00; FY 21 est \$1,950,325.00; FY 22 est \$1,950,325.00;

Range and Average of Financial Assistance:

5-programs are currently funded in FY18, at about \$350,000 each. They were funded at a similar amount in FY17.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Juan Rodriguez,
4770 Buford Hwy NEMailstop F76,
Atlanta GA 30341
Email: fph4@cdc.gov
Phone: 770-488-3086
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

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CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.381 Emergency Triage, Treat, and Transport (ET3) Model

ET3 Model,

FEDERAL AGENCY:

CMS CENTER FOR MEDICARE AND MEDICAID INNOVATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 1115A of the Social Security Act (the Act) authorizes the Secretary of the Department of Health and Human Services to test innovative payment and service delivery models expected to reduce Medicare, Medicaid, or CHIP expenditures while preserving or enhancing the quality of care., Title Social Security Act, Section 1115A

OBJECTIVES:

The Center for Medicare and Medicaid Innovation (CMMI) is releasing a Notice of Funding Opportunity announcement for the ET3 model a new, voluntary, five-year payment model that will provide greater flexibility to ambulance care teams to address emergency health care needs of Medicare Fee-for-Service (FFS) beneficiaries following a 911 call. This announcement will present the funding opportunity for state and local governments, their designees, or other entities that operate or have oversight over a Public Safety Answering Point (PSAP). The intervention for this funding will be to establish or expand on a medical triage line integrated with the PSAP, with the goal of reducing inappropriate use of emergency ambulance services and increasing efficiency in Emergency Medical Services (EMS) systems. In geographic locations where ambulance suppliers and providers have been selected to participate in the ET3 model, eligible applicants will have the option to apply for this cooperative agreement aimed at allowing existing PSAPs to redirect callers with non-emergency conditions to appropriate care alternatives.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

CMS intends for Awardees to use model funding for staff time and infrastructure costs incurred due to activities that are required to design, implement, and operate a medical triage line for the ET3 model. Below we list examples of potentially allowable expenses for model funding (assuming there is no supplanting of other federal, state, or local program funding): Triage line staff training ; Triage line staff salaries and fringe benefits; Support staff salaries and fringe benefits; Hardware and software required to implement a medical triage line; Contractual expenses related to outsourcing medical triage line responsibilities; Staff travel, meals, hotel, incidental expenses to attend one in-person event; Other information technology infrastructure required to implement or expand upon a medical triage line; Costs related to updating or establishing new protocols directly related to the ET3 model; Administrative costs directly related to collection and reporting of medical triage line data.

Applicant Eligibility:

Intrastate; Intrastate; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; An entity must meet all of the following criteria in order to be eligible to apply to this NOFO: Is a state or local government entity or its designee, or another entity that operates or has authority over a PSAP. Has the authority to implement a medical triage line in its geographic region Proposes to implement or expand a medical triage line in a geographic region that includes a model participants model region Operates or has authority over the PSAP that receives 911 Calls in a geographic region that includes a model participants model region as well as the authority to implement the triage intervention of the ET3 model integrated with the PSAP.

Beneficiary Eligibility:

State; Intrastate; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Specialized group (e.g. health professionals, students, veterans);

Small business; Profit organization; Private nonprofit institution/organization; Applicants eligible to apply to this NOFO must operate or have authority over a PSAP in the same geographic region as an ET3 model participant (i.e., an ambulance provider or supplier in the ET3 model).

Credentials/Documentation:

The applicant must register in the System for Award Management (SAM) database in order to be able to submit the application. The applicant must provide an Employer Identification Number (EIN), otherwise known as a Taxpayer Identification Number (TIN), to apply. All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each entity that applies for the ET3 model must respond to the Program Requirements set forth in the NOFO. The awardee will be responsible for determining the budget allocations for staff time and infrastructure costs consistent with the terms of the cooperative agreement, and demonstrate how the applicant will utilize funds in model implementation as part of their application. The application procedure is as follows: Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with <http://www.grants.gov>, contact support@grants.gov or 1-800-518-4726. At Grants.gov, applicants will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the Grants.gov website. Specific instructions for applications submitted via <http://www.grants.gov>: You may access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number. At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov> because of the time needed to complete the required registration steps. The applicant must be registered in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so. Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines. When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence. The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications. Any files uploaded or attached to the Grants.gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single

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sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process. After you electronically submit your application, you will receive an acknowledgement from <http://www.grants.gov> that contains a Grants.gov tracking number. HHS will retrieve your application package from Grants.gov. Please note, applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be reviewed. After HHS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov. Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on the applicable due date. Applications not successfully submitted to Grants.gov by the due date and time will not be eligible for review. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt. Please be aware of the following: 1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement. 2) If you experience technical challenges while submitting your application electronically, please contact Grants.gov Support directly at: www.grants.gov/customersupport or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays). 3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved. To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all State applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. This statement does not apply to an individual entity having internet service problems. In order for there to be any consideration there must be an effect on the public at large. Grants.gov complies with Section 508 of the Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site including forms contained with an application package, they can email the Grants.gov contact center at support@grants.gov or call 1-800-518-4726. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

1. Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions. Applicant should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel. 2. Award funds will be distributed through the Payment Management System.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 6 months

Appeals:

Other. Not Applicable. CMS reserves the right to approve or deny any or all proposals for funding. The Innovation Centers authorizing statute at 1115A(d)(2) of the Social Security Act states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

Not Applicable. Two year performance period No renewals following year 2

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ET3 Model funding awarded through cooperative agreements will be available on an annual basis for up to two years, pending continued compliance with terms and conditions of the award and availability of funds. This funding will start in FY2021 and end in FY2022. Method of awarding/releasing assistance: other

Reports:

The award recipient must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly and final reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Quarterly Cash Transaction Report Awardees are required to submit Federal Financial Reports (FFR) to report cash transaction data, expenditures, and any program income generated. Awardees are required report of their progress on a set of program milestones that cover operational aspects of model implementation as well as performance on specific measures of beneficiary impact. These milestones are detailed in the NOFO. These reports will be submitted as part of the quarterly and annual progress reports. Awardees are required to submit Federal Financial Reports (FFR) to report cash transaction data, expenditures, and any program income generated. Awardees are required report of their progress on a set of program milestones that cover operational aspects of model implementation as well as performance on specific measures of beneficiary impact. These milestones are detailed in the NOFO. These reports will be submitted as part of the quarterly and annual progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Awardees must comply with audit requirements outlined in HHS regulation 45 CFR Part 75

Records:

Awardees are required to maintain a record of all identifiable source data used to generate the values reported for program monitoring and milestones and must make this data available to CMS for program monitoring, auditing, and evaluation purposes upon request.

Account Identification:

75-0522-0-1-551.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$20,000,000.00; FY 22 est \$14,000,000.00;

Range and Average of Financial Assistance:

The ET3 Model will award up to \$34 Million in cooperative agreement funds across up to 40 awardees during the two-year performance period.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Published information pertinent to the ET3 model is available at <https://innovation.cms.gov/initiatives/et3/>

Regional or Local Office:

See Regional Assistance Locations. None. The ET3 model is being developed and will be managed by the CMS Innovation Center. See below for Contact Information.

Headquarters Office:

Alexis Lilly,
7500 Security Blvd,
Baltimore MD 21244
Email: Alexis.lilly@cms.hhs.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 410-786-3501

Fax:

Website Address:

<https://innovation.cms.gov/initiatives/et3/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be forwarded to a review panel. The review panel will evaluate the proposals based on how well they address the evaluation criteria outlined in the NOFO. Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions. Applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel.

93.382 Indian Health Service Community Health Aide Program

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

25 USC Code 13. 42 USC Code 2001. 25 USC Code 1616L.

OBJECTIVES:

The Indian Health Service (IHS) Community Health Aide Program (CHAP) consists of several funding programs designed to help Tribes and Tribal Organizations (T/TO) improve access to health care in their respective communities. The national CHAP provides a network of health aides training to support licensed health professionals while providing direct health care, health promotion, and disease prevention services. These providers work within a referral relationship under the supervision of licensed clinical providers that includes clinics, service units, and hospitals. The CHAP will increase access to direct health services, including inpatient and outpatient visits. The Tribal Assessment and Planning program is designed to support the assessment and planning of Tribes and Tribal Organizations is determining the feasibility of implementing the CHAP in their respective communities. The Tribal Planning and Implementation program is designed to support the final planning and implementation of Tribes and Tribal Organizations that are positioned to begin operating a CHAP.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Federal assistance is to be used to study the feasibility of implementing CHAP in the applicant community, or to plan and implement a CHAP within the applicant's Tribal health system.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally-recognized Indian Tribes as defined by 25 U.S.C. 1603(14), and Tribal organizations as defined by 25 U.S.C. 1603(26) may apply for this program. "Indian Tribe" means any Indian Tribe, band, nation, or other organized group or community, including any Alaska Native village or group, or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq). "Tribal organization" means the recognized governing body of any Indian Tribe, any legally established organization of Indians which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of Indians in all phases of its activities: provided that, in any case where a contract is let or grant made to an organization to perform

services benefiting more than one Indian Tribe, the approval of each such Indian Tribe shall be a prerequisite to the letting or making of such contract or grant.

Beneficiary Eligibility:

American Indian; Native American Organizations; Federally Recognized Indian Tribal Governments;

Credentials/Documentation:

Credentials and documentation required depend on the program. These are described in the published notice of funding opportunity on the Federal Register during the competition for award. Links to the Federal Register announcements can be found at the IHS Division of Grants Management web site, at www.ihs.gov/dgm/funding. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. These programs are subject to the provisions of 45 CFR part 75. The application package may be found in Grants.gov. You may not e-mail an electronic copy of a grant application to IHS. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval, a Notice of Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals at the end of the project period are handled through a competitive process involving a new Notice of Funding Opportunity published in the Federal Register, and a new application submitted under the same guidelines and requirements as the original award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is limited to 2 years or less, with specific lengths listed in the initial notice of funding opportunity on the Federal Register. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each subsequent year of support. Method of awarding/releasing assistance: other

Reports:

Grantees will be required to submit quarterly Federal Cash Transaction Reports to the Payment Management Service. Progress Reports are due semi-annually, 30 days after the end of the reporting period. A final Progress Report will be due 90 days after the end of the project period. Grantees will be required to submit quarterly Federal Cash Transaction Reports to the Payment Management Service. All IHS grant awards are monitored for financial compliance by the Division of Grants Management and for Programmatic Compliance by the IHS Program Staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the DHHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 75.361 grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551, 75-0390-1-2-551.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$2,340,000.00; and FY 22 est \$2,340,000.00; Project Grants FY 20 \$0.00; FY 21 est \$1,500,000.00; and FY 22 est \$1,500,000.00;

Range and Average of Financial Assistance:

Tribal Assessment and Planning awards are anticipated to be between \$500,000 and \$520,000 annually. Tribal Planning and Implementation awards are anticipated to be between \$900,000 and \$1,000,000 annually.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, and Health and Human Services Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contact: Minette C. Galindo, Public Health Advisor, Office of Clinical and Preventive Services, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857, telephone (301) 443-4644, e-mail IHSCHAP@ihs.gov. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857, telephone (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: (301) 443-5204
Fax:

Website Address:

<https://www.ihs.gov/dgm/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are: Statement of problem(s) requiring solution; need for assistance; results or benefits expected from the project; approach or soundness of the applicant's plan for conducting the project; key personnel and their capability to carry out the project; and adequacy of management controls. Consideration will be

given to the demonstrative aspects of the project and the compatibility of the project with the overall goals and objectives of the Indian Health Service.

93.387 National and State Tobacco Control Program

Quit lines: Tobacco Control,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

OBJECTIVES:

The Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP), Office on Smoking and Health (OSH) announces the opportunity to apply for funds to addresses tobacco use, the single most preventable cause of death and disease in the United States and provides support for comprehensive state-based tobacco control programs. This five-year cooperative agreement or Notices of Funding Opportunity Announcement (NOFO) will support the achievement of four National Tobacco Control Program (NTCP) goals to (1) Prevent initiation of tobacco use among youth and young adults; (2) Eliminate exposure to secondhand smoke; (3) Promote quitting among adults and youth; and (4) Identify and eliminate tobacco related disparities. Achievement of these goals will reduce chronic disease morbidity, mortality, and disability related to tobacco use and secondhand smoke exposure in the United States. This NOFO will be comprised of two components 1) Core Component and 2) Quitline Component.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Up to \$79 million dollars will be used to support intensive community approaches to chronic disease prevention and control to support Quitline capacity.

Applicant Eligibility:

U.S. Territories and possessions; Federal; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Small business (less than 500 employees); State; Government - General; Eligible applicants that can apply for this funding are listed below: State Governments County Governments City or township governments Special district governments Independent school districts Public and State controlled institutions of higher education Native American tribal governments (Federally recognized tribal governments) Nonprofit with 501C3 IRS status (other than institution of higher education) Nonprofit without 501C3 IRS status (other than institution of higher education) Private Institutions of higher education For profit organizations other than small businesses Small Businesses Government Organizations: State (includes the District of Columbia) Local governments or their bona fide agents Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau State controlled institutions of higher education American Indian or Alaska Native tribal governments (federally recognized or state-recognized) Public Housing Authorities/Indian Housing Authorities Non-government Organizations: American Indian or Alaska native tribally designated organizations Other: Private colleges and universities Community-based organizations Faith-Based organizations

Beneficiary Eligibility:

Federal; State; U.S. Territories; Any State and Territorial Health Department, and other public entities will benefit.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is covered under OMB Circular No. A-102. Applicants must download the SF424 application forms through Grants.gov/Apply. Only the form package directly attached to a specific Notice of Funding Opportunity (NOFO) can be used. If an applicant does not have access to the internet, or if they have difficulty accessing the forms online, contact the Office of Financial Resources (OFR) Technical Information Management Section (OGSTIM) staff. For this, or further assistance, contact OGS TIMS: Telephone (770) 488-2700. Email: OGSTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 888-232-6348.

Award Procedure:

All applications that are complete and responsive to this competitive Notice of Funding Opportunity announcement will be evaluated for programmatic and technical merit. Applications will be reviewed for completeness by the Office of Financial Resources (OFR) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and the Office of Financial Resources (OFR). Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants were notified the application did not meet submission requirements. Technical reviews will be conducted on complete and responsive applications according to the evaluation criteria listed in notice of funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the Office of Financial Resources (OFR). The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically through www.Grants.gov

Appeals:

Not Applicable. Not Applicable.

Renewals:

From 120 to 180 days. From 120 to 180 days. Period of Performance is 5 years with 12-month budget periods. Renewals are based on availability of funds; renewal notifications will be posted on Grants.gov and Applications must be submitted through the GrantSolutions System.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

4/29/2020 4/28/2025; Method of Award/releasing assistance: Notice of Award
Method of awarding/releasing assistance: other

Reports:

Yes. The reporting schedule will be based on the requirements listed in the Notice of Funding Opportunity Announcement. An Annual Progress Report and Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final progress report and final Federal Financial Report are due 90 days after the end of the project period. Yes. Progress reports are required annually. Yes. Federal Financial Reports (FFRs) must be submitted to the GMO/GMS no later than 90 days after the end of the budget period. The awardee must submit the Annual Performance Report 120 days before the end of the budget period. Additional guidance will be provided in the Notice of Funding Opportunity Announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$72,216,617.00; FY 21 est \$86,316,990.00; FY 22 est \$86,316,990.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Highway, N. E.,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770.488.2855
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be reviewed on the following criteria: 1. Approach, 2. Evaluation and Performance Measurement, 3. Applicants Organizational Capacity to Implement the Approach, and 4. Budget.

93.391 Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises

CDC Partner Crisis Response NOFO.

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247d, Section 319, 42 USC Code 319.

ASSISTANCE LISTINGS DESCRIPTIONS

Public Health Service Act, Title 42, Part 2421, Section 307, 42 USC Code 307. Patient Protection and Affordable Care Act, Public Law 111-148, Public Health Service Act, Title 42, Part 243, Section 311, 42 USC Code 311. Public Health Service Act, Title 42, Part 300u, Section 1703, 42 USC Code 1703. Public Health Service Act, Title 42, Part 247b, Section 317, 42 USC Code 317.

OBJECTIVES:

CDC will strengthen the U.S. public health systems response to public health or healthcare crises by providing specialized support to State, Tribal, Local and Territorial health departments (STLTs) when engaged in a response. Because STLTs vary widely in capacity and expertise - as well as in the demographics and disease burdens of the populations they serve - an emergency in any location is likely to reveal areas in which they need assistance to launch and sustain effective response activities. The purpose of this NOFO is (1) to establish a pool of organizations capable of rapidly providing essential expertise to governmental public health entities involved in a response, and (2) to fund select awardees to provide that support, when required, based on CDCs determination of need. The role of the awardee will be to function as a rapid provider of information and/or resources, as well as a coordinator of the project management components involved. Awardees will primarily support public health departments, but may be engaged to support CDC programs also involved in the response.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Project funds will be used by non-governmental organizations (501c3s, 501c6s, public and private institutions of higher learning, and Native American tribal organizations) to provide emergency response assistance to State, Tribal, Local, and Territorial public health agencies and other components of the public health system. For the purposes of this program, other components of the public health system refers to specific professional constituencies (i.e., epidemiologists, community health nurses, health education and promotion specialists tribal health leaders, chronic disease directors, health information specialists, Medicaid/Medicare Directors, state/local public health financial officials, etc.) or key components of the public health system (i.e., community and neighborhood health centers, public health and primary care delivery systems, community and faith-based organizations, public health and accreditation boards, public health institutes, primary care residency programs, health insurance consortia, family/social services programs, maternal/child health associations, etc.).

Applicant Eligibility:

Other public institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are limited to the following: 1. Nonprofits having a 501(c)(3) or 501(c)(6) status with the IRS, other than institutions of higher education. 2. Public and private institutions of higher education. 3. Native American tribal organizations (other than Federally-recognized tribal governments).

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; State; Local; Direct awards will be made to the non-governmental organizations described in the eligible applicant section. Beneficiaries of the support provided by those non-governmental organizations will include state health departments; tribal health organizations; local health departments; the District of Columbia; U.S. Territories; and other components of the public health system. The general public will also serve as beneficiaries.

Credentials/Documentation:

Applicants should review the individual Centers for Disease Control and Prevention (CDC) funding opportunity announcement issued under this CFDA program for any documentation, credentials or proof of certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. tbd

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the programs Office of Grants Services (OGS).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. tbd

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This NOFO is designed to collect responsive proposals from eligible applicants and retain them as approved but unfunded (ABU) until a crisis occurs. The NOFO will only be funded upon occurrence of a particular public health or healthcare crisis. At that time, depending on the nature of the scenario, specific applicants and specific components of their applications will be selected for funding. These funding decisions will take into account various relevant factors such as geographic location, expectations of spread (e.g., with infectious disease-related emergencies), applicants capabilities, national priorities, impact of the emergency on an eligible jurisdiction, and target populations, etc. Method of awarding/releasing assistance: lump sum.

Reports:

Awardee Evaluation and Performance Measurement Plan, including Data Management Plan (DMP). Periodic and Final Federal Financial Reports; Federal Cash Transaction Reports (in PMS). Annual Progress Reports are required. Periodic and Final Federal Financial Reports; Federal Cash Transaction Reports (in PMS). Performance Measure Reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Part 75.

Account Identification:

75-0959-0-1-550.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$36,107,258.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Due to the nature of the issues that would trigger CDC to activate this NOFO as designed, it is difficult to project the total funding amount that would be made available. When and if CDC activates this NOFO, it is expected to be only for the time necessary to respond to the emergency at hand, and that long-term recovery needs (and/or emergencies that shift from an epidemic to an endemic nature) would be addressed by other NOFOs as appropriate.

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caroline Sulal,
1825 Century BlvdMS V18-1,
Atlanta GA 30345
Email: CSTLTSPartnersCoAg@cdc.gov

Phone: N/A

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

93.354 Public Health Emergency Response: Cooperative Agreement for
Emergency Response: Public Health Crisis Response;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.393 Cancer Cause and Prevention Research

Cancer Cause and Prevention Research,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301, 410 and 411, Public Law 78-410, as amended, Public Law 100-607, as amended, 42 U.S.C. 241, 42 U.S.C. 285 - 285a-1; Small Business Research and Development Enhancement Act of 1992, as amended, Public Law 102-564, as amended; 15 U.S.C. 638,

OBJECTIVES:

To identify cancer risks and risk reduction strategies, to identify factors that cause cancer in humans, and to discover and develop mechanisms for cancer prevention and preventive interventions in humans. Research programs include: (1) chemical, physical and molecular carcinogenesis; (2) screening, early detection and risk assessment, including biomarker discovery, development and validation; (3) epidemiology; (4) nutrition and bioactive food components; (5) immunology and vaccines; (6) field studies and statistics; (7) cancer chemoprevention and interception; (8) pre-clinical and clinical agent development; (9) organ site studies and clinical trials; (10) health-related quality of life and patient-centered outcomes; and (11) supportive care and management of symptoms and toxicities. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to stimulate technical innovation; to increase private sector commercialization of innovations derived from Federal research and development funding; to increase small business participation in Federal research and development; and to foster and encourage participation in innovation and entrepreneurship by women and socially/economically disadvantaged persons. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer through cooperative research and development between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development funding, and foster participation in innovation and entrepreneurship by women and socially/economically disadvantaged persons.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be

used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. Restrictions are imposed against the use of funds for entertainment, foreign travel (unless specifically authorized), office equipment, and other items not normally necessary for the effective prosecution of such research. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concern (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research on cancer.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 75. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) (version as specified in the FOA) is used to apply for SBIR Phase I and Phase II, and STTR Phase I and Phase II. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

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Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Depending on the grant mechanism, form PHS-398 (Rev. March 2016) or form SF424 (R&R) (version as specified as the FOA) is the standard application form. Both forms can be obtained online at <http://grants.nih.gov/grants/forms.htm>. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH's Small Business Funding Opportunities home page at <http://www.nih.gov/grants/funding/sbir.htm>. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically to the NIH via Grants.gov (<http://www.grants.gov/>).

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533-2) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. (Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available online through the NIH home page at <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>

Renewals:

Other. Applications submitted for renewal must be reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or Letter of Credit. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: letter

Reports:

Progress reports are required each year. Annual financial status report is required 90 days after end of budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day

on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$707,208,070.00; FY 21 est \$687,007,188.00; FY 22 est \$708,320,142.00;

Range and Average of Financial Assistance:

Range: \$2,000 to \$3,548,448 Average: \$647,341

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FY2020 actual total awards made was 292. Fiscal Year 2021: FY2021 estimated total awards to be made is 1,149. Fiscal Year 2022: FY2022 estimated total awards to be made is 1,177.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; NIH Grants Policy Statement (10/1/16); Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable

Headquarters Office:

Associate Director, ORRPC, DEA, NCI Shamala K. Srinivas,
9609 Medical Center Drive Seventh Floor, West Tower, 7W530, MSC 9750,
Rockville MD 20850
Email: shamala@mail.nih.gov

Phone: 240-276-6442

Fax: 240-276-7682

Website Address:

<http://www.cancer.gov>

RELATED PROGRAMS:

93.394 Cancer Detection and Diagnosis Research; 93.396 Cancer Biology Research; 93.395 Cancer Treatment Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities

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and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.394 Cancer Detection and Diagnosis Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, as amended, 42 U.S.C. 241; Public Law 100-607, 42 U.S.C. 285 and 285a; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.,

OBJECTIVES:

To improve screening and early detection strategies and to develop accurate diagnostic techniques and methods for predicting the course of disease in cancer patients. Screening and Early Detection research includes development of strategies to decrease cancer mortality by finding tumors early when they are more amenable to treatment. Diagnosis research focuses on methods to determine the presence of a specific type of cancer; to predict its course and response to therapy, both a particular therapy or a class of agents; and to monitor the effect of the therapy and the appearance of disease recurrence. These methods include diagnostic imaging and direct analyses of specimens from tumor or other tissues. Support is also provided for establishing and maintaining resources of human tissue to facilitate research. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultants costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of

higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The awardee will be a university, college, hospital, public agency, nonprofit research institution or organization, unit of tribal government, or a for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Small business; Profit organization; Private nonprofit institution/organization; Health Professional; Scientist/Researchers; Public nonprofit institution/organization; Other public institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 75, For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424 is used to apply for SBIR Phase I and Phase II, respectively. Grant form SF424 is also used to apply for STTR Phase I and Phase II, respectively. See the website for more information on electronic submission of grants. <http://grants.nih.gov/grants/funding/424/index.htm> 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (January 2010) is the standard form. Both can be obtained at <http://grants.nih.gov/grants/forms.htm>. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically via Grants.gov The following is a website address for NIH electronic submission of grant application information: <http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special

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conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. (Regular Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months. See website:

<http://grants1.nih.gov/grants/funding/submissionschedule.htm>

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>

Renewals:

Other. Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: letter

Reports:

Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$704,193,382.00; FY 21 est \$684,078,613.00; FY 22 est \$705,300,713.00;

Range and Average of Financial Assistance:

Range: \$6,000 to \$4,684,501 Average: \$713,147

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FY20 actual: 395 total awards. Fiscal Year 2021: FY21 estimates: 1,585 total awards. Fiscal Year 2022: FY22 estimates: 1,633 total awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994. Grants will be available under the

authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institute of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Associate Director, ORRPC, DEA, NCI Shamala K. Srinivas,
9609 Medical Center Drive Seventh Floor, West Tower, 7W530, MSC 9750,
Rockville MD 20850

Email: shamala@mail.nih.gov

Phone: 240-276-6442

Fax: 240-276-7682

Website Address:

<http://prevention.cancer.gov/>

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.396 Cancer Biology Research; 93.399 Cancer Control; 93.395 Cancer Treatment Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.395 Cancer Treatment Research

Cancer Treatment Research,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF
AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended, Public Law 100-607, 42 U.S.C 285(a); Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.,

OBJECTIVES:

To develop the means to cure as many cancer patients as possible and to control the disease in those patients who are not cured. Cancer Treatment Research includes the development and evaluation of improved methods of cancer treatment through the support and performance of both fundamental and applied laboratory and clinical research. Research is supported in the discovery, development, and clinical testing of all modes of therapy including: surgery, radiotherapy, chemotherapy, and biological therapy including molecularly targeted therapies, both individually and in combination. In addition, research is carried out in areas of nutritional support, stem cell and bone marrow transplantation, image guided therapies and studies to reduce toxicity of cytotoxic therapies, and other methods of supportive care that may supplement and enhance primary treatment. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)
USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The awardee will be a university, college, hospital, public agency, nonprofit research institution, or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned, and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' cost are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 75. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424 is used to apply for SBIR Phase I and Phase II, respectively. Grant form SF424 is also used to apply for STTR Phase I and Phase II, respectively. See the website for more information on electronic submission of grants. <http://grants.nih.gov/grants/funding/424/index.htm> 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application form PHS-398 is the standard form which may be obtained electronically from: <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm> on the Web. Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040-MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and if they are recommended for further consideration, by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 10 months. SBIR Applications: About 7-1/2 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>

Renewals:

Other. Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funds are provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: letter

Reports:

Progress reports are required each year. An Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the DHHS. Terminal reports are required 6 months after the end of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$419,890,062.00; FY 21 est \$407,896,208.00; FY 22 est \$420,550,331.00;

Range and Average of Financial Assistance:

Range: \$5,000 to \$26,809,679 Average: \$555,974

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY20, actual awards made were 233. Fiscal Year 2021: In FY21, total awards estimated to be made are 933. Fiscal Year 2022: In FY22, total awards estimated to be made are 958.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75 Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Associate Director, ORRPC, DEA, NCI Shamala K. Srinivas,
9609 Medical Center Drive Seventh Floor, West Tower, Room 7W530, MSC 9750,
Rockville MD 20850

Email: shamala@mail.nih.gov

Phone: 240-276-6442

Fax: 240-276-7682

Website Address:

<http://www.cancer.gov/cancertopics/treatment>

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.396 Cancer Biology Research; 93.399 Cancer Control; 93.394 Cancer Detection and Diagnosis Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget

requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.396 Cancer Biology Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285 and 285a; Small Business Research and Development Enhancement, Act of 1992, as amended, Public Law 102-564.,

OBJECTIVES:

To provide fundamental information on the cause and nature of cancer in people, with the expectation that this will result in better methods of prevention, detection and diagnosis, and treatment of neoplastic diseases. Cancer Biology Research includes the following research programs: cancer cell biology; cancer immunology, hematology and etiology; DNA and chromosomal aberrations; tumor biology and metastasis; and structural biology and molecular applications.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant for support of research by a named principal investigator. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Private nonprofit institution/organization; Health Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 75 See the website for more information on the electronic submission of grants. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (January 2010) is the standard form. Both can be obtained at <http://grants1.nih.gov/grants/forms.htm>. The standard application forms, as furnished by PHS must be used for this program. The following is a website

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address for NIH electronic submission of grant application information:
<http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

August 05, 2018. April 05, 2017. December 05, 2016. All Grants including renewals and supplements: April 5, August 5, December 5. Cooperative Agreements and contracts are usually submitted in response to a Request for Applications (RFA) or a Request for Proposals (RFP). The receipt date is stipulated in the RFA or RFP. Note that for New Investigator and Early Stage Investigators applying for an R01 resubmission there are expedited review dates described in NOT-OD-11-057 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-057.html>).

Range of Approval/Disapproval Time:

Other. Regular Grants: Approximately 10 months.

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html

Renewals:

Other. Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 5 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. Method of awarding/releasing assistance: letter

Reports:

Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$749,456,900.00; FY 21 est \$728,049,211.00; FY 22 est \$750,635,406.00;

Range and Average of Financial Assistance:

Range: \$2,500 to \$4,483,547 Average: \$462,543

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY2020, the actual total awards made was 422. Fiscal Year

2021: In FY2021, the estimated total awards to be made are 1,716. Fiscal Year 2022: In FY2022, the estimated total awards to be made are 1,758.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

Headquarters Office:

Associate Director, ORRPC, DEA, NCI Shamala K. Srinivas,
9609 Medical Center Drive Seventh Floor, West Tower, 7W530, MSC 9750,
Rockville MD 20850

Email: shamala@mail.nih.gov

Phone: 240-276-6442

Fax: 240-276-7682

Website Address:

<https://dcb.nci.nih.gov/>

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.399 Cancer Control; 93.395 Cancer Treatment Research; 93.394 Cancer Detection and Diagnosis Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.397 Cancer Centers Support Grants

Cancer Centers,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 305(a), 410, 411, and 414, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285, 285a and 285a-3; Public Law 99-500.,

OBJECTIVES:

To provide an organizational focus and stimulus for the highest quality cancer research that effectively promotes interdisciplinary cancer research aimed toward the ultimate goal of reducing cancer incidence, mortality and morbidity. The Cancer Center Support Grant (CCSG) provides the resources and infrastructure to facilitate the coordination of interdisciplinary programs across a broad spectrum of research from basic laboratory research to clinical investigation to population science. The CCSG supports salaries for scientific leadership of the Center, shared resources for funded center investigators, certain administrative costs, planning and evaluation, and developmental funds for new recruitments and feasibility studies.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

In general, CCSGs do not support research projects directly but are used to enhance the ongoing cancer research and to strengthen the existing research base according to the "Policies and Guidelines Relating to the Cancer Center Support Grant."

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Any nonprofit institution within

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the United States with a peer-reviewed cancer research base of 4.0 million dollars in direct costs may apply for a Cancer Center Support Grant.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; University, college, public agency or research institution in the U.S.

Credentials/Documentation:

The application requests documentation concerning the qualifications of the principal investigators and associates, pertinent budget information and justification for the support of this proposed grant. Methods to be utilized are outlined in the application materials. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Method of Application: Form PHS-398 (Rev. June 2009) can be obtained from the grants.nih.gov/grants/forms.htm website (click on PHS-398). In addition, supplemental instructions for applications are contained in the Cancer Center Support Grant

Guidelines, http://cancercenters.cancer.gov/grants_funding/index.html. Generally, the potential applicant should send a letter of intent to the National Cancer Institute, Office of Cancer Centers, submitting a CCSG application to the same address for review (see Guidelines for CCSGs). Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892.

Award Procedure:

Grants are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Core Grants: Approximately 10 months.

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html> and NIH Policy Manual Release date: February 7, 2012 <http://oma.od.nih.gov/manualchapters/grants/54513/index.html>.

Renewals:

Other. Same procedure as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 5 years of support may be requested. Grants may be awarded up to 5 years based on competitive peer review. Method of awarding/releasing assistance: letter

Reports:

Progress reports are required each year. An annual financial status report is requested 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 90 days after the end of a project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

Project Grants FY 20 \$654,880,416.00; FY 21 est \$613,809,282.00; FY 22 est \$625,830,759.00;

Range and Average of Financial Assistance:

Range: \$178,860 to \$13,733,523 Average: \$2,568,158

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal Year 2020 funded 255 total centers that will include 71 Centers, 58 SPOREs, 94 Specialized Centers, and 32 Planning. Fiscal Year 2021: Fiscal Year 2021 will fund 244 total centers that will include 71 Centers, 58 SPOREs, 83 Specialized Centers, and 32 Planning. Fiscal Year 2022: Fiscal Year 2022 will fund 246 total centers that will include 71 Centers, 58 SPOREs, 85 Specialized Centers, and 32 Planning.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 75; PHS Grants Policy Statement, DHHS Publication No. (OASH) 90-50,000, (Rev.) October 1, 1990.

Regional or Local Office:

None. None.

Headquarters Office:

Associate Director Shamala K. Srinivas,
9609 Medical Center Drive Seventh Floor, West Tower, 7W530, MSC 9750,
Rockville MD 20850
Email: shamala@mail.nih.gov
Phone: 240-276-6442

Fax: 240-276-7682

Website Address:

<http://cancercenters.cancer.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include the following: (1) An adequate base of established programs of high quality in laboratory and clinical cancer research; (2) research activity in a variety of disciplines, and evidence of high degree of interdisciplinary coordination, interaction and cooperation among center members; (3) appropriate and adequate organization and facilities for the conduct and evaluation of center activities; (4) a qualified director with adequate authority; and (5) an adequate commitment of the parent institution or institutions to the cancer center. The center should be recognized as a major element within the organizational structure.

93.398 Cancer Research Manpower

Cancer Manpower Grants,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 413 and 487, as amended, Public Law 100-607, 42 U.S.C. 285a, 42 U.S.C. 288.,

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

To make available support to nonprofit and for-profit institutions interested in providing biomedical training opportunities for individuals interested in careers in basic, clinical, and prevention research important to the National Cancer Program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Research Manpower program provides support for research training in all scientific fields related to cancer. The Ruth L. Kirschstein National Research Service Awards (NRSA) for Individual Fellows, are made directly to individuals for research training in biomedical sciences areas. In addition, the National Institutes of Health (NIH) will award the Ruth L. Kirschstein NRSA Institutional Research Training grants to institutions, to enable them to make NRSA awards to individuals selected by them. Each individual who receives a postdoctoral NRSA is obligated, upon termination of the award, to comply with certain service and payback provisions. Cancer Education Grants are designed to promote education programs carried out by organizations engaged in health-related education, research, or training, located in the United States, its possessions, or territories. Various Career awards are designed to sponsor the development of independent scientists. Individual short-term support for students is available under the Cancer Education Program.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; University, college, hospital, public agency, or nonprofit research institution for institutional grants and individuals for fellowships. The applicant institution must be able to provide the staff and facilities and be responsible for the selection of trainees and overall direction of the training. Selected awardees must be citizens of the United States or be admitted to the United States for permanent residency. Career and Cancer Education awards may be given to for-profit institutions.

Beneficiary Eligibility:

Private nonprofit institution/organization; U.S. Citizen; Public nonprofit institution/organization; University, college, hospital, public agency, nonprofit research institution or for-profit institution.

Credentials/Documentation:

(1) Individual Ruth L. Kirschstein NRSA: The applicant's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Ruth L. Kirschstein NRSA: Applicants must show the objectives, methodology, and resources for the training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for award and a detailed budget and justification for the amount of grant funds requested. (3) Institutional Cancer Education Grants: Applicants must describe the organization and administration of the proposed program, list and define institutional objectives, methodology, resources and techniques of evaluation, as well as a justification of proposed expenditures. (4) For Career awards, the applicant must provide documentation on the candidate, sponsor, career development plan, research proposed, and institutional commitment. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications for the Individual Ruth L. Kirschstein NRSA must be prepared using the PHS 416-1 application form and instructions for Individual National Research Service Award Fellowships (rev. 10/05). The PHS 416-1 is available at <http://grants.nih.gov/grants/funding/416/phs416.htm>. For further assistance, direct inquiries may be made to GrantsInfo@nih.gov or (301) 435-0714. Applications for the Institutional Ruth L. Kirschstein NRSA must be prepared using the PHS 398 Form (Revised 9/2004). Refer to Section IV of the instructions titled Instructions For Preparing An NRSA Application. The PHS 398 Form (Revised June 2009) is available at <http://grants.nih.gov/grants/forms.html>. Applications for Cancer Education and Career grants must be prepared using the PHS 398 Form. Refer to Section III of the instructions, Preparing An Individual CDA Application. Applicants are advised to consult the "Submitting an Application" section of the

Program Announcement, since each award has distinct application criteria. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, Bethesda, Maryland 20892-7710 (Bethesda, MD 20817 for express/courier service).

Award Procedure:

Applications are reviewed for scientific merit by an appropriate study section, committee, or advisory council. If recommended for approval and a decision to make award is made, a formal award notice will be sent to the applicant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 10 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available under the Review Criteria section of the NIH Office of Extramural Research website at http://grants2.nih.gov/grants/policy/nihgps_2003/NIHGPs_Part3.htm.

Renewals:

Other. Cancer Education Grants may be made for periods of up to 5 years and renewals may be submitted when appropriate. Research training grants may be renewed for additional project periods of 5 years. Only the following Career awards are renewable: K05 and K24 only a one-time renewal after a 5-year project period, and the K12 up to 5 years (Trainees 2-7 years).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 5 years. Method of awarding/releasing assistance: letter

Reports:

Progress reports are required each year. An annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the grantor. Terminal reports are required 6 months after the end of a project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

Project Grants FY 20 \$207,880,933.00; FY 21 est \$211,574,728.00; FY 22 est \$215,989,581.00;

Range and Average of Financial Assistance:

Range: \$5,220 to \$959,799 Average: \$151,738

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FY2020 Manpower made 824 awards. Fiscal Year 2021: FY2021 Manpower Award estimation is 2,237 awards. Fiscal Year 2022: FY2022 Manpower Award estimation is 2,239 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 6; 42 CFR 66; 45 CFR 75 Application kit, National Institutes of Health; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.)

ASSISTANCE LISTINGS DESCRIPTIONS

April 1, 1994.

Regional or Local Office:

See Regional Assistance Locations. None.

Headquarters Office:

Associate Director Shamala K. Srinivas,
9609 Medical Center Drive Seventh Floor, West Tower, 7W530, MSC 9750,
Rockville MD 20850
Email: shamala@mail.nih.gov
Phone: 240-276-6442
Fax: 240-276-7682

Website Address:

<http://www.cancer.gov/researchandfunding/training>

RELATED PROGRAMS:

93.399 Cancer Control;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include the assessment of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.399 Cancer Control

Cancer Control Grants,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301, 410 and 412, Public Law 78-410, as amended, Public Law 99-500, Public Law 100-607, 42 U.S.C. 241, 42 U.S.C. 285 - 285a-1; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.,

OBJECTIVES:

To reduce cancer risk, incidence, morbidity, and mortality and enhance quality of life in cancer survivors through an orderly sequence from research on interventions and their impact in defined populations to the broad, systematic application of the research results through dissemination and diffusion strategies. Primary emphasis is on the inclusion of cancer prevention and control intervention(s) in any proposed study. Cancer Prevention and Cancer Control research studies are classified into one of five phases: (1) Hypothesis development; (2) methods development and testing; (3) controlled intervention trials to establish cause-and-effect relationships; (4) research in defined populations; and (5) demonstration and implementation studies. Primary interests are in research on cancer control interventions in Phases 2 through 5, and on cancer prevention research in all phases. Cancer Prevention and Control programs include those in the following areas: (1) cancer epidemiology; (2) cancer communications; (3) nutrition, diet, and physical activity; (4) screening and early detection in health care delivery; (5) biobehavioral mechanisms; (6) tobacco control; (7) health disparities research; (8) supportive care and survivorship; (9) health services and outcomes research; and (10) surveillance research. Cancer Control uses Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) Programs to engage domestic small business concerns in federal research and development that has potential for commercialization. The goals of the SBIR & STTR Programs are to stimulate technological innovation, increase private-sector commercialization of federal research and development, increase small business participation in federally funded research and development, and foster participation by socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The STTR Program requires the small business

concern to formally collaborate with a research institution in Phase I and Phase II of the program.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. Restrictions are imposed against the use of funds for entertainment, foreign travel (unless specifically authorized), office equipment, and other items not normally necessary for the effective prosecution of such research. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit, feasibility and commercial potential of a proposed research effort and to determine the quality of performance of the awardee. Phase II grants are for the continuation of the research initiated in Phase I, and are likely to result in commercial products or processes. Only SBIR Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I, and the scientific and technical merit and commercial potential of the proposed Phase II project. Only STTR Phase I awardees are eligible to receive Phase II support. The NIH Fast-Track mechanism provides additional assistance to applicants by expediting the decision and award of funding for scientifically meritorious applications for projects that have a high potential for commercialization. The mechanism allows small businesses to submit applications for both Phase I and Phase II together for review as one application, with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and for the duration of the project period. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit, technical merit, and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concern (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. The Principal Investigator may be primarily employed by either the small business concern or the collaborating research institution at the time of award and for the duration of the project period. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit, technical merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Scientist/Researchers; Public nonprofit institution/organization; University, college, hospital, public agency, nonprofit research institutions or for-profit organizations will benefit.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be

ASSISTANCE LISTINGS DESCRIPTIONS

determined in accordance with HHS Regulations 45 CFR, Part 75. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) (version as specified in the FOA) is used to apply for SBIR Phase I and Phase II, and STTR Phase I and Phase II. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Depending on the grant mechanism, either form PHS-398 (Rev. March 2016) or form SF424(R&R) (Version as specified in the FOA) is the standard application form. Both forms can be obtained online at <http://grants.nih.gov/grants/forms.htm>. The standard application forms, as furnished by DHHS. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH Small Business Funding Opportunities home page at <https://sbir.nih.gov/funding>. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications should be submitted electronically to the NIH via Grants.gov (<http://www.grants.gov>).

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Regular Grants: Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available online through the NIH home page at <https://grants.nih.gov/grants/peer-review.htm>

Renewals:

Other. Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements average from 3 to 4 years, up to a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: letter

Reports:

Progress reports are required each year. Annual financial status report is required 90 days after the end of a budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range 0 to 0Average:: 0

TAFS Codes:

75-0850.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Actual awards made in FY2020 was 0. Fiscal Year 2021: It is estimated that 0 awards will be made in FY2021 Fiscal Year 2022: It is estimated that 0 awards will be made in FY2022

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 52; 45 CFR 75 and NIH Grants Policy Statement

Regional or Local Office:

Headquarters Office:

Sr. Program Analyst Catherine M. Battistone,
9609 Medical Center DriveSeventh Floor, West Tower, 7W532, MSC 9750,
Rockville MD 20850
Email: battistc@mail.nih.gov
Phone: 240-276-6443
Fax: 240-276-7682

Website Address:

<http://cancercontrol.cancer.gov/>

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.396 Cancer Biology Research; 93.398 Cancer Research Manpower; 93.395 Cancer Treatment Research; 93.394 Cancer Detection and Diagnosis Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.413 The State Flexibility to Stabilize the Market Grant Program

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Patient Protection and Affordable Care Act (PPACA), Section 1003For all authorizations/amendments, you must supply the Act or the Executive Order in order to continue.,

ASSISTANCE LISTINGS DESCRIPTIONS

OBJECTIVES:

The State Flexibility to Stabilize the Market Cycle I and II Grant Programs will provide a funding source to enhance the role of states in the implementation and planning for several of the Federal market reforms and consumer protections under Part A of Title XXVII of the Public Health Service Act (PHS Act). The State Flexibility to Stabilize the Market Grants will provide states with the opportunity to ensure their laws, regulations, and procedures are in line with Federal requirements, and enhance the states ability to effectively regulate their respective health insurance markets through innovative measures that support the pre-selected market reforms and consumer protections under Part A of Title XXVII of the PHS Act.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Applicants may use grant funds for a variety of planning and implementation objectives related to the pre-selected market reforms and consumer protections under Part A of title XXVII of the PHS Act, including but not limited to implementing or enhancing policy form review, hiring or contracting with a clinician to review formularies, developing actuarial and economic analyses, and performing market scans of the respective states health insurance market to assess the current healthcare and coverage landscape. The Department of Health and Human Services funds for The State Flexibility to Stabilize the Market Cycle I and II Grant Programs may not be used for any of the following: cover the costs to provide direct services to individuals; to match any other Federal funds; provide services, equipment, or supports that are the legal responsibility of another party under Federal or State law (e.g.: vocational rehabilitation or education services) or under any civil rights laws; supplant existing State, local, Tribal, or private funding of infrastructure or services such as staff salaries, etc.; provide goods or services not allocable to the approved budget; be used by local entities to satisfy state matching requirements; pay for construction; pay for capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life as a direct cost, except with the prior written approval of the Federal awarding agency; pay for the cost of independent research and development, including their proportionate share of indirect costs (unallowable in accordance with 45 CFR 75.476); or to use as profit to any award recipient even if the award recipient is a commercial organization, (unallowable in accordance with 45 CFR 75.215(b)), except for grants awarded under the Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) programs (15 U.S.C. 638). Profit is any amount in excess of allowable direct and indirect costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State; This Funding Opportunity Announcement is open to all fifty States and the District of Columbia for planning and/or implementing one or more of the three pre-selected market reforms and consumer protections in Part A of title XXVII of the PHS Act. Only one application per state is permitted, except in a state in which there is more than one regulating entity, each with a primary responsibility over the regulation of a portion of the private health insurance market.

Beneficiary Eligibility:

State; Grants to States' (including the District of Columbia) for planning and/or implementing the market reforms and consumer protections in Part A of title XXVII of the PHS Act.

Credentials/Documentation:

Applicants should review the CMS funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and

required forms for this program can be obtained from Grants.gov. CMS requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the CMS program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

For the State Flexibility to Stabilize the Market Cycle I Grant Program a Letter of Intent was mandatory and were required to be submitted by 5:00 pm Eastern Daylight Time on February 26, 2018. All State Flexibility to Stabilize the Market Cycle I grant applications were required to be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on April 5, 2018. For the State Flexibility to Stabilize the Market Cycle II Grant Program a Letter of Intent is mandatory and must be submitted by 5:00 pm Eastern Daylight Time on December 11, 2020. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on January 20, 2021.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Section 1003 of the ACA provides for funding to be awarded between Fiscal Year 2010 and Fiscal Year 2014. Section 2794(c)(2)(B) of the Public Health Service Act specifies that if there are any appropriated Rate Review Grant funds that are not fully obligated by the end of Fiscal Year 2014, such amounts shall remain available to the Secretary for grants to states for planning and implementing the insurance market reforms and consumer protections under Part A of title XXVII of the PHS Act. Refer to the Funding Opportunity Announcement for additional information on subsequent grants authorized and awarded under Section 1003 of ACA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements, see funding agency for further details..

Length and Time Phasing of Assistance:

Grant funding for this funding opportunity announcement will be available through Fiscal Year 2020 for Cycle I and through Fiscal Year 2023 for Cycle II. See the following for information on how assistance is awarded/released: Awards will be created and released by GrantSolutions. Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Duration of the Cycle I grant program: August 20, 2018 August 19, 2020. Duration of the Cycle II grant program: April 1, 2021 March 31, 2023 Method of awarding/releasing assistance: quarterly

Reports:

Quarterly, Annual, and Final programmatic reports are required in the Funding Opportunity Announcement. Federal Financial Reports (FFR) must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to https://pms.psc.gov/grant_recipients/ffrinformation.html for additional information. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. Required Semi-Annual and Final Progress Reports. Grantees must provide HHS with information such as, but not limited to, project status, implementation activities initiated, accomplishments, barriers, and lessons learned in order to ensure that funds are used for authorized purposes. Such performance includes submission of the states progress toward the milestones identified in its Work Plan. HHS reserves the right to restrict funds for activities related to unmet milestones. Recipients must submit annual expenditures information through submission of a Federal Financial Report (FFR). All recipients are required to report on expenditures at least annually. Performance monitoring is not applicable. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies

ASSISTANCE LISTINGS DESCRIPTIONS

cash expenditures against the authorized funds for the grant. Required Semi-Annual and Final Progress Reports. Grantees must provide HHS with information such as, but not limited to, project status, implementation activities initiated, accomplishments, barriers, and lessons learned in order to ensure that funds are used for authorized purposes. Such performance includes submission of the states progress toward the milestones identified in its Work Plan. HHS reserves the right to restrict funds for activities related to unmet milestones. Recipients must submit annual expenditures information through submission of a Federal Financial Report (FFR). All recipients are required to report on expenditures at least annually.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0112-0-1-551.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$23,700,000.00; FY 22 Not Available; Cooperative Agreements FY 20 Not Available; FY 21 est \$23,700,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Cycle I Grantees will receive a minimum of \$156,000 as a baseline award amount. Cycle II Grantees will receive a minimum of \$445,000 as a baseline award amount.

TAFS Codes:

75-0112.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards implemented by HHS regulation 45 CFR Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards.

Regional or Local Office:

None. N/A

Headquarters Office:

Jim Taing,

200 Independence Avenue, SW, Hubert H. Humphrey Building,
Washington DC 20201

Email: james.taing@cms.hhs.gov

Phone: 301-492-4182

Website Address:

<https://www.cms.gov/CCIOP/Programs-and-Initiatives/Health-Insurance-Market-Reforms/State-Flexibility.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the Funding Opportunity Announcement.

93.421 Strengthening Public Health Systems and

Services through National Partnerships to Improve and Protect the Nations Health

CDC-RFA-OT18-1802,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The program is authorized under sections 317(k)(2) and 307 of the Public Health Service Act (42U.S.C. Sections 247b(k)(2) and 242l, as amended. In addition, this program is authorized under sections 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148, the Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123), the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), 2020 (P.L. 116-136), the Paycheck Protection Program and Healthcare Enhancement Act, 2020 (P.L. 116-139), the Consolidated Appropriations Act and the Coronavirus Response and Relief Supplement Appropriations Act, 2021 (P.L. 116-260), and the American Rescue Plan, 2021 (P.L. 117-2), Title IV, Section 4002, Public Law 111-148, 42 USC Code 242-243, 247.

OBJECTIVES:

The goal is to fund nongovernmental organizations with demonstrated capability, expertise, resources, national reach, and track record to strengthen governmental public health systems infrastructure and core services through provision of capacity building assistance (CBA). CBA is defined as activities that strengthen and maintain the infrastructure and resources necessary to sustain or improve system, organizational, community, or individual processes and competencies. CBA activities will focus on seven strategies: 1) health systems infrastructure; 2) leadership and workforce; 3) data and information systems; 4) communication and information technology; 5) partnerships; 6) laws, including statutes and regulations, and policies; and, 7) programs and services. In the long-term, the CBA provided will help improve public health capacities and capabilities that ensure a current, competent, and connected public health system, and enable delivery of the 10 essential services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used for costs associated with providing capacity building assistance to strengthen the infrastructure of State, Tribal, Local, and Territorial public health agencies and other components of the public health system. For the purposes of this program, other components of the public health system refers to specific professional constituencies (i.e., epidemiologists, community health nurses, health education and promotion specialists tribal health leaders, chronic disease directors, health information specialists, Medicaid/Medicare Directors, state/local public health financial officials, etc.) or key components of the public health system (i.e., community and neighborhood health centers, public health and primary care delivery systems, community and faith-based organizations, public health and accreditation boards, public health institutes, primary care residency programs, health insurance consortia, family/social services programs, maternal/child health associations, etc.). Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies (including training materials), and services, such as contractual. Applicants will be required to participate, comply, and cooperate with CDC for any reporting requirements stipulated by, or mandated for, the receipt of funding.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Organizations deemed eligible to apply must also meet responsiveness criteria as outlined in the "Additional Information on Eligibility" in CDC-RFA-OT18-1802.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Anyone/general public; State; U.S. Citizen; Local; Public nonprofit institution/organization; Federally Recognized Indian

ASSISTANCE LISTINGS DESCRIPTIONS

Tribal Governments; Beneficiaries include state health departments; tribal health organizations; local health departments; the District of Columbia; U.S. Territories; and other components of the public health system. The general public will also serve as beneficiaries.

Credentials/Documentation:

In order to meet responsiveness criteria, applicants will be required to provide the following documentation: For the purpose of this program, a public health charge or mission is a statement of an entity's organized efforts to promote and protect the health of people and the communities where they learn, live, play, and work. Evidence of a public health charge or mission should be reflected in the applicant's articles of incorporation, bylaws, or signed board resolution. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Phase I Review: All applications will be initially reviewed for eligibility and completeness by CDC Office of Grants Services. Complete applications will be reviewed for responsiveness by the Grants Management Officials and Program Officials. Non-responsive applications will not advance to Phase II Review. Applicants will be notified if their application does not meet eligibility and/or published submission requirements.**Phase II Review:** An objective review panel will evaluate complete and responsive applications according to the criteria listed in the Criteria section of the NOFO. The applications will be compiled and reviewed according to the category for which applicants submitted their applications. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review.**Phase III Review:** In addition, the following factors may affect the funding decision during Phase II Review: Priority will be given to organizations that demonstrate national scope of work. National scope of work is defined as the mandated or approved authority to work across the 10 HHS Regions. Evidence of a national scope of work must be reflected in the applicant's articles of incorporation, bylaws, or signed board resolutions indicating approval to work on a national level. An additional 15 points will be added to the final score of applications that demonstrate national scope. Preference will be given to avoid duplication of CBA services to the same target populations. Preference may be given to the funding of applicants that propose to provide CBA services to target populations not served by higher ranking applicants. Preference will be given to ensure funding of organizations that provide CBA services to target populations not duplicated in other CDC funding mechanisms. CDC will provide justification for any decision to fund outside of ranked order of scores.**Final funding determinations for core-funding will be based on application scores from the objective review panels and consideration for CDC's funding preferences.****Phase IV:** Work Plans in Response to CIO Project Plans Organizations that are selected for core funding under the Phase III Review and Selection process will be eligible to submit Work Plans in Response to CIO Project Plans as part of a supplement to OT18-1802. Organizations will be eligible to submit proposals based on the Target Population Category and Target Population for which they were selected to provide CBA under the OT18-1802 initial competition. The supplement package detailing the CIO Project Plans and the submission process will be posted to grants.gov following selection and notification of organizations that will receive care funding. The award recipients will submit stand-alone proposals in response to a range of CBA projects that represent public health disciplinary areas and cross-cutting disciplines and/or topics. CIO Project Plans may also include limited CBA to international public health constituents where there is a benefit to U.S. public health efforts or to the U.S. public health system. Work Plans in Response to CIO Project Plans will be reviewed for eligibility, completeness and merit. Once submitted, all Work Plans in Response to CIO Project Plans will be initially reviewed for eligibility and completeness by the CDC Office of Grants Services and the Partnership Support Unit. Complete applications will be reviewed for responsiveness by the Grants Management Officials and Program Officials. Work Plans in Response to CIO Project Plans that are deemed non-responsive will not advance to the merit review. Organizations will be notified that their applications did not meet eligibility and/or published submission requirements. Merit review of

Work Plans in Response to CIO Project Plans will involve an objective or technical review that evaluates complete, eligible proposals using criteria aligned with the three broad sections described earlier in the NOFO: Approach Evaluation and Performance Measurement Applicants Organizational Capacity Merit reviews that require an objective review will be evaluated using the scoring criteria set forth in the OT18-1802 Supplement. In the event a technical review is more efficient for the Work Plans in Response to CIO Project Plans (i.e., there is one proposal submitted for a particular CIO Project Plan), the technical review will be held in place of an objective review. Final funding determinations of Work Plans in Response to CIO Project Plans that require an objective review will be based on proposal scores from the merit review. When a technical review is used, Work Plans in Response to CIO Project Plans will not be scored. The proposals will receive feedback and guidance regarding required revisions in place of scoring.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

>180 Days. Renewals will require a yearly annual performance report and will be based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the five-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

a. Reporting: Reporting allows for continuous program monitoring and identifies successes and challenges that awardees encounter throughout the award. Reporting is also necessary for awardees to apply for yearly continuation of funding. In addition, reporting is helpful to CDC and awardees because it: Helps target support to awardees, particularly for cooperative agreements. Provides CDC with periodic data to monitor awardee progress towards meeting the NOFO outcomes and overall performance. Allows CDC to track performance measures and evaluation findings for continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts. Enables the assessment of the overall effectiveness and impact of the NOFO. For organizations that receive PPHF funding, additional reporting is required. These requirements will be communicated to awardees post-award. As described below, awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. Below are the specific reporting requirements:
b. Annual Performance Report (due 120 days before the end of the budget period; serves as a continuation application). This report must not exceed 35 pages, excluding work plan and administrative reporting. Attachments are not permitted when submitting this report. This report must include the following: Performance Measures (including outcomes): Awardees must report on performance measures for each budget period and update measures, if needed. Evaluation Results: Awardees must report evaluation results for the work completed to date (including any impact data). Work Plan (maximum of 25 pages): Awardees should update the work plan each budget period. Successes: Awardees must report progress on completing activities outlined in the work plan; Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year; Awardees must describe success stories. Challenges: Awardees should describe any challenges that hinder achievement of both annual and project period outcomes, performance measures, or their ability to complete the activities in the work plan; Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year. CDC Program Support to Awardees: Awardees should describe how CDC could assist them in overcoming any challenges to achieve both annual and project period outcomes and performance measures, and complete activities outlined in the work plan.

ASSISTANCE LISTINGS DESCRIPTIONS

Administrative Reporting (not subject to page limits) SF-424A Budget Information-Non-construction Programs Budget Narrative Must use the format outlined in Section IV. Content and Form of Application Submission, Budget Narrative Section  Indirect Cost Rate Agreement During years 25, awardees may request up to 75% of their estimated unobligated funds to be carried forward into the next budget period. The carryover request must: Express a bona fide need for permission to use an unobligated balance. Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which the fund will be transferred (can request up to 75% unobligated balances). Include a list of proposed activities, an itemized budget, and a narrative justification of those activities. The applicant must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. c. Performance Measure Reporting: CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. d. Federal Financial Reporting: The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Management Officer will receive the information. e. Final Performance and Financial Report: At the end of the five-year project period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages. At a minimum, this report must include the following: Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period. Evaluation results: Awardees should report final evaluation results for the project period. Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories. The completed FFR (SF-425). The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA. Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5: This award requires the recipient to complete projects or activities which are funded under the 2018 Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public. Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the sub-recipient). No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2018 and reporting by January 10, 2019, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project.

Annual financial reporting is required via the Federal Financial Reporting document. Performance Measures (including outcomes) awardees must report on performance measures for each budget period and update measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42

Account Identification:

75-0959-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$348,191,799.00; FY 21 est \$500,000,000.00; and FY 22 est \$300,000,000.00;

Range and Average of Financial Assistance:

The approximate average award ranges for the 12-month budget period are \$2 million for Category A, up to \$1 million for Category B and up to \$500,000 for Category C. This average is based on funding provided during funding strategy 1, as outlined in CDC-RFA-OT18-1802.

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm> Evaluation activities such as submission of annual reports and site visit participation will be required to ensure awardees adhere to the program guidelines and regulations. Detailed report requirements are described in CDC-RFA-OT18-1802.

Regional or Local Office:

None.

Headquarters Office:

Caroline Sulal,
1825 Century BlvdMS V18-1,
Atlanta GA 30345
Email: CSTLTSPartnersCoAg@cdc.gov
Phone: 770.488.1522
Fax:

Website Address:

<https://www.cdc.gov/publichealthgateway/partnerships/capacity-building-assistance-OT18-1802.html>

RELATED PROGRAMS:

93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance ; 93.424 NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

93.422 National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries

NPCR National Partnerships,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, (42 USC 280e-280e-4; Public Law 102-515),

OBJECTIVES:

The purpose of this NOFO is to enhance the data quality and operational efficiency of CDC's National Program of Cancer Registries (NPCR). Population-based cancer surveillance systems must collect well-defined, interoperable data that aligns with clinical practice. This NOFO will ensure the development and dissemination of uniform standards in cancer staging, collection and reporting and will support central cancer registries to achieve complete and timely reporting on cancer in order to inform public health activities and measure progress in cancer prevention and control.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreement APPLICANT WILL ADHERE TO ALL CDC GUIDELINES REGARDING ALLOWABLE AND UNALLOWABLE EXPENSES. RESTRICTIONS THAT MUST BE CONSIDERED WITHIN PLANNING THE PROGRAMS AND WRITING THE BUDGET ARE: AWARDEES MAY NOT USE FUNDS FOR RESEARCH. AWARDEES MAY USE FUNDS FOR REASONABLE PROGRAM PURPOSES, INCLUDING PERSONNEL, TRAVEL, SUPPLIES, AND SERVICES.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State governments; County governments; City or township governments; Special district governments; Independent school districts; Public and State controlled institutions of higher education; Native American tribal governments (Federally recognized); Public housing authorities/Indian housing authorities; Native American tribal organizations (other than Federally recognized tribal governments); Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education; Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education; Private institutions of higher education; For profit organizations other than small businesses; Small businesses; Unrestricted (i.e., open to any type of entity above), subject to any clarification in text field entitled "Additional Information on Eligibility"

Beneficiary Eligibility:

Anyone/general public; Benefits the general public

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All eligible applications will be initially reviewed for completeness by the Office of Financial Resources (OFR) staff. In addition, eligible applications will be jointly reviewed for responsiveness by NCCDPHP and OFR. Incomplete applications and applications

that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that the application did not meet eligibility and/or published submission requirements. An objective review panel will evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information, subsection entitled Criteria. Applications will be funded in order by score and rank determined by the review panel. CDC will provide justification for any decision to fund out of rank order. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. This is a 5 year project period with expectation to renew every budget year which is for 12 months

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

5-year with 12-month budget periods Method of awarding/releasing assistance: lump sum.

Reports:

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. Annual Performance Report (APR) (required): The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but Web links are allowed. Federal Financial Reporting (FFR): The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to OFR and include the date by which the Grants Officer will receive information. CDC programs may require more frequent reporting of performance measures than annually in the APR. If this is the case, CDC programs must specify, reporting frequency, data fields, and format for awardees at the beginning of the award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 n/a

ASSISTANCE LISTINGS DESCRIPTIONS

Records:**Account Identification:**

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$1,145,000.00; FY 21 est \$1,195,000.00; FY 22 est \$1,195,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855

Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC OFR staff and will be reviewed jointly for eligibility by the CDC's Center for Chronic Disease Prevention and health Promotion/division of Cancer prevention and Control. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their application did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the criteria in the NOFO.

93.423 1332 State Innovation Waivers

Section 1332 Waiver Program,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

The Affordable Care Act, Section 1332,

OBJECTIVES:

Under Section 1332 of the ACA, states can apply for State Innovation Waivers (also referred to as section 1332 waivers) and pursue innovative strategies to adapt many of the law's requirements to suit the state's specific needs. To receive approval, the state must demonstrate that a proposed section 1332 waiver meets the statutory guardrails to provide coverage that is at least as comprehensive as the coverage provided without the waiver; provides coverage and cost-sharing protections against excessive out-of-pocket spending that are at least as affordable as without the waiver; provides coverage to at least a comparable number of residents as without the waiver; and does not increase the federal deficit. Before submitting its section 1332 waiver application the state must also provide a public

notice and comment period, including public hearings, sufficient to ensure a meaningful level of public input, and enact a law providing for implementation of the waiver. Under a section 1332 waiver, a state may receive pass-through funding associated with the resulting reductions in federal spending on Exchange financial assistance consistent with the statute.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Income Security/Social Service/WelfareIncome Security/Social Service/Welfare Federal pass-through funding made available through a section 1332 waiver must be used only to implement the waiver program. The amount of federal pass-through funding equals the Departments annual estimate of the federal financial assistance, including premium tax credits (PTC), small business tax credits, or cost-sharing reductions, provided pursuant to the ACA that would have been paid on behalf of participants in the Exchange in the state in the calendar year in the absence of the waiver, but will not be paid as a result of the waiver. Federal pass-through funding made available through Section 1332 must be used only to implement the waiver program. The pass-through funds cannot be obligated by the state prior to the waiver effective date. The state agrees to use the full amount of pass-through funding for purposes of implementing the states plan as approved by the Departments. To the extent any pass-through funding exceeds the amount necessary to implement the waiver program, the remaining funds must be rolled over and used for purposes of implementing the states plan under the waiver. If the waiver is not extended or is suspended/terminated, unused pass-through funds will be returned to the Treasury promptly, and the state will comply with all the necessary steps to do so.

Applicant Eligibility:

U.S. Territories and possessions; This funding opportunity is only open to US States, Territories and Possessions.

Beneficiary Eligibility:

U.S. Territories; State; N/A

Credentials/Documentation:

Written notification from HHS/CMS that a complete section 1332 waiver application package has been submitted for review. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is strongly encouraged.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

OMB Circular N. A-102 applies. Applicants must complete a section 1332 waiver application package information about the application process is available at https://www.cms.gov/CCIIO/Programs-and-Initiatives/State-Innovation-Waivers/Section_1332_State_Innovation_Waivers-. This package must be submitted to the 1332 e-mail box, stateinnovationwaivers@cms.hhs.gov. HHS/CMS will review the request and coordinate accordingly with the applicant. A review team will review the package and make recommendations. The final approval authority rest with the Secretary of Health and Human Services and the Secretary of the Treasury (collectively, the Secretaries). Technical assistance may be provided to any state interested in a section 1332 waiver. States should electronically submit their applications, as well as any supporting documentation or information, to the 1332 e-mail mailbox at stateinnovationwaivers@cms.hhs.gov. The Departments will render decisions on both completeness and approval; if complete, the application is posted for a Federal comment period.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. No more than 180 days from the determination of application completeness of an initial section 1332 waiver application.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Other. The approval letter and specific terms and conditions include information regarding waiver extension requests. In accordance with Section 1332(e) of the ACA, waiver extension requests are deemed granted within 90 days, unless the Departments deny the request or request additional information. .

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: Maintenance of Effort requirements, as applicable, will be detailed in a grantees Special Terms and Conditions document. States will only receive federal pass-through funding assistance to which it has been calculated they are entitled in accordance with statutory and regulatory requirements, and are expected to supplement any remaining costs of the waiver program with state and/or other funding sources.

Length and Time Phasing of Assistance:

The pass-through funds cannot be obligated by the state prior to the section 1332 waiver effective date. The state agrees to use the full amount of pass-through funding for purposes of implementing the states plan as approved by the U.S. Department of Health and Human Services (HHS) and the Department of the Treasury (collectively, the Departments). To the extent any pass-through funding exceeds the amount necessary to implement the waiver program, the remaining funds must be rolled over and used for purposes of implementing the states plan under the waiver. The default waiver period is five years, but waivers can be extended beyond that timeframe. If the waiver is not extended or if it is suspended/terminated, unused pass-through funds will be returned to the Treasury promptly, and the state will comply with all the necessary steps to do so. Assistance is in the form of a grant, and will be released using the Payment Management System. Method of awarding/releasing assistance: quarterly

Reports:

Progress Reports. SF 424 and 424A SF425 quarterly and final. The state must submit annual and periodic reports pursuant to 31 CFR 33.120 and 33.124, as well as 45 CFR 155.1320 and 155.1324. Annual reports must indicate: - Progress of the waiver; - Data sufficient to show compliance with Sections 1332(b)(1)(A)-(D) of the ACA; - A summary of the annual post-award public forum; and - Other information consistent with the states approved terms and conditions. Periodic reports must indicate progress as determined through periodic state-led reviews related to the implementation of the waiver. Expenditure Reports Yes states must conduct periodic reviews and report on progress, including relevant data metrics, as laid out in the specific terms and conditions of the states waiver. Additionally, pursuant to 31 CFR 33.120(f) and 45 CFR 155.1320(f), at the request of the Departments of Health and Human Services and Treasury (the Departments), states must fully cooperate with the Departments or an independent evaluator selected by the Departments to undertake an independent evaluation of any component of the waiver. Finally, the state must participate in all monitoring calls with the Departments that the Departments deem necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The draft and final annual reports are to be published on a States public Web site within 30 days of submission to and approval by the Secretary of Health and Human Services, respectively.

Account Identification:

20-0949-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$1,263,177,867.00; FY 21 est \$1,289,476,962.00; FY 22 Not Available;

Range and Average of Financial Assistance:

TBD

TAFS Codes:

20-0949. 20-0951.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: CCIIO awarded fifteen states (AK, CO, DE, HI, ME, MD, MN, MT, ND, NH, NJ, OR, PA, RI, WI) grant funds in 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing the application process for State Innovation Waivers can be found at the Federal Register at 77 Fed. Reg. 11700 (Feb. 27, 2012). Among other things, these regulations provide guidance on how to apply for a waiver and what an application from a state must contain, including actuarial and economic analyses. The regulations detail public notice and comment requirements that a state must comply with before an application is submitted, including holding public hearings, in order to facilitate a meaningful level of public involvement, input, and transparency in the state application process. They also describe the Federal public notice and comment process that will occur after a completed application has been received. The regulations also describe the requirements for post-award reporting and the standards under which post-award monitoring will take place. On October 24, 2018, the Departments published guidance (83 FR 53575, 2018 Guidance) providing information about the requirements that must be met for the approval of section 1332 waivers, including the Secretaries' application review procedures, the calculation of pass-through funding, certain analytical requirements, and operational considerations. The 2018 Guidance replaces the guidance related to Section 1332 of the ACA that was previously published on December 16, 2015 (80 FR 78131). Additionally, in November 2018, CMS released the Section 1332 State Relief and Empowerment Waiver Concepts: Discussion Paper. This paper includes four waiver concepts which are offered to spur innovative ideas that can be utilized by individual states to improve their health care markets. The four waiver concepts include: State-Specific Premium Assistance, Adjusted Plan Options, Account-Based Subsidies, and Risk Stabilization Strategies. We welcome the opportunity to work with states to take advantage of the new flexibilities provided in the guidance and to pursue section 1332 waivers incorporating these waiver concepts. On July 15, 2019, CMS also published an updated section 1332 waiver checklist to help states as they develop and complete the required elements of their applications, particularly, waiver applications for implementing high-risk pool/state-operated reinsurance programs. This updated checklist itemizes the elements required to be included in the waiver application, including specific information about the waiver concepts, and a citation to the relevant regulatory provisions. On November 6, 2020, the Departments published an interim final rule (85 FR 71142), which revises regulations to set forth flexibilities in the public notice requirements and post award public participation requirements for waivers under section 1332 during the COVID-19 PHE. On December 4, 2020 the Departments published the Patient Protection and Affordable Care Act; HHS Notice of Benefit and Payment Parameters for 2022 and Pharmacy Benefit Manager Standards; Updates to State Innovation Waiver (Section 1332 Waiver) Implementing Regulations proposed rule (85 FR 78572) to codify certain policies and interpretations of the 2018 Guidance. On January 19, 2021 the Departments published the Patient Protection and Affordable Care Act; HHS Notice of Benefit and Payment Parameters for 2022; Updates to State Innovation Waiver (Section 1332 Waiver) Implementing Regulations final rule (86 FR 6138) which codified many of the policies and interpretations outlined in the 2018 Guidance into section 1332 regulations. On July 1, 2021 the Departments published the Patient Protection and Affordable Care Act; Updating Payment Parameters, Section 1332 Waiver Implementing Regulations, and Improving Health Insurance Markets for 2022 and Beyond Proposed Rule proposed rule (86 FR 35156) which proposed modifications to the section 1332 section 1332 waivers implementing regulations, including changes to many of the policies and interpretations of the guardrails recently codified in regulation. As outlined in the proposed rule, the policies and interpretations proposed in the rule, if finalized, would supersede and rescind those outlined in the October 2018 guidance and repeal the previous codification of the interpretations of statutory guidelines in part 1 of the 2022 Payment Notice final rule. The Departments also proposed to modify regulations to set forth flexibilities in the public notice requirements and post award public participation requirements for section 1332 waivers under certain emergent situations. The Departments also proposed processes and procedures for amendments and extensions for approved waiver plans.

Regional or Local Office:

None.

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

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Phone: 202-260-6098
Fax:

Website Address:

https://www.cms.gov/CCIIO/Programs-and-Initiatives/State-Innovation-Waivers/Section_1332_State_Innovation_Waivers-.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Hawaii Small Business Health Options Program (SHOP) Waiver Program, Alaska Reinsurance Waiver Program, Minnesota Reinsurance Waiver Program, Oregon Reinsurance Waiver Program, Maine Reinsurance Waiver Program, Maryland Reinsurance Waiver Program, New Jersey Reinsurance Waiver Program, Wisconsin Reinsurance Waiver Program, Colorado Reinsurance Program, Delaware Reinsurance Program, Montana Reinsurance Program, North Dakota Reinsurance Program, Rhode Island Reinsurance Program, Pennsylvania Reinsurance Program, New Hampshire Reinsurance Program.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.424 NON-ACA/PPHF Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations

CBA to Strengthen the Public Health Infrastructure and Performance.

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 U.S.C. 241 and 247b as amended. In addition, this program is authorized under sections 311, 317 (K) (2), and 1703, of Public Health Service Act [42 USC, 243, 247 (K) (2), and 300 (U)-(2)] as amended, and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs., Title IV, Section 4002, Public Law 111-148, 42 USC Code 242, 247.

OBJECTIVES:

This program will cover NON-ACA/PPHF-funded capacity building assistance projects under CDC-RFA-OT13-1302: Building Capacity of the Public Health System to Improve Population Health through National, Nonprofit Organizations. Applicant organizations will have the opportunity to compete for ACA/PPHF supported projects as well as high priority CDC projects. This program will assist state, tribal, local and U.S. territorial health departments, and other components of the public health system by making available to them capacity building assistance (including technical consultation, skills building/training, information, and technology transfer assistance) that will result in: systems and organizational efficiencies; a capable and qualified workforce; state-of-the-art information technology systems and integrated and standardized community and population data; improved planning, implementation and evaluation of evidence-based public health policies, laws, programs and services; results driven local and national public and private partnerships; and increased availability and accessibility of public health resources, such as publications, educational materials, syndicated website material, training curricula, assessments and evaluation tools, and other

products for improvement of public health agencies and other agencies in the public health system. The overall goal of capacity building assistance is to ensure improvements in the public health infrastructure so that it is prepared for responding to both acute and chronic threats relating to the Nations health such as emerging infections, disparities in health status, and increases in chronic disease and injury rates. Capacity building assistance will focus on the provision of technical consultation, skills building/training, and information and technology transfer assistance to ensure successful planning, implementation, coordination and evaluation of the key areas for public health infrastructure investments. CBA may also be provided for CDC-funded projects which may include limited CBA to international public health agencies where there is a benefit to US public health efforts or to the US public health system. Investing in CBA is expected to help public health agencies and other public health components fulfill their mission of protecting and promoting health in their communities and effectively performing essential public health services. Some of the capacity building needs are in the following areas: Improving the public health workforce (e.g., e-learning, other training, and fellowship programs); vital statistics systems (electronic birth and death registration); food and water borne disease identification and prevention, prevention of hospital acquired infections, electronic health record/IT systems, communications systems and processes (e.g. information syndication and social media capacity), and laboratory and epidemiologic capacity. Developing information systems to support adoption, implementation, enforcement, and/or evaluation of public health legislation, codes, rules, regulations, ordinances, and other policies. Improving public health system development/redevelopment by building or re-engineering infrastructure to improve networking, coordination, standardization, and centralization of public health services to effectively and efficiently address priority health indicators. Implementing best health care practices and conducting impact evaluation Improving information and data system capacity through policy/communication for standardized data collection and analysis systems, information management technology, information dissemination, and meaningful data use and translation Improving organizational capacity to effectively and efficiently use resources such as fiscal agents, and intermediaries, and Improving technical and program guidance and evaluation of preventive services to expand coverage for community and clinical preventive services. This includes technical consultation and promotion on the adoption and utilization of the recommendations from the Guide for Community Preventive Services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used for costs associated with providing capacity building assistance to strengthen the infrastructure of State, Tribal, Local, and Territorial public health agencies and other components of the public health system. For the purposes of this program, other components of the public health system refers to specific professional constituencies (i.e., epidemiologists, community health nurses, health education and promotion specialists tribal health leaders, chronic disease directors, health information specialists, Medicaid/Medicare Directors, state/local public health financial officials, etc.) or key components of the public health system (i.e., community and neighborhood health centers, public health and primary care delivery systems, community and faith-based organizations, public health and accreditation boards, public health institutes, primary care residency programs, health insurance consortia, family/social services programs, maternal/child health associations, etc.). Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies (including training materials), and services, such as contractual. Applicants will be required to participate, comply, and cooperate with CDC for any reporting requirements stipulated by, or mandated for, the receipt of funding. PPHF funding is intended to be used only in support of PPHF programs and projects.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); 1. Eligible Applicants: Organizations with nonprofit 501(c)(3) or nonprofit 501(c)(6) IRS status (other than institutions of higher education). 2. Special Eligibility Requirements: The applicant organization must provide evidence of national scope of work and of public health charge or mission. 3. Justification for Less than Maximum Competition: The program leadership in the Office of State, Tribal, Local and Territorial Support (OSTLTS) determined that in order to achieve its strategic priorities for strengthening the public health infrastructure and advancing the quality of public health decision making, OSTLTS

ASSISTANCE LISTINGS DESCRIPTIONS

will need to expand its capacity building assistance (CBA) efforts through national, non-profit organizations with experience and expertise providing capacity building assistance to governmental and non-governmental components of the public health system. Eligible applicants are limited to national, non-profit professional public health mission organizations with experience and expertise providing capacity building assistance (CBA) to governmental and non-governmental components of the public health system. The CDC is requesting the provision of capacity building assistance (CBA) to public health agencies and other public health entities across the United States and its territories in order to strengthen public health practice to improve health for all populations. These national public health mission organizations are the only entities positioned to effectively and efficiently execute on the expected capacity building outcomes, outputs, and activities outlined in the FOA. The characteristics that position these organizations are: 1) designated mission and experience working nationally, 2) demonstrated infrastructure, experience and expertise providing CBA, and 3) relationship to the public health system workforce across the United States and Territories. Therefore, eligibility is limited to the above specified types of applicants/organizations that are expected to demonstrate significant experience and expertise providing capacity building assistance (CBA) to the target populations described in the attached OT13-1302 FOA. This expertise is necessary for the grantee to effectively and efficiently complete the related activities and achieve the program outcomes described in the funding opportunity announcement. Additionally, the following capacities will facilitate the completion of projects in the specified timeframe: infrastructure to organize, conduct work and disseminate key outcomes; communicate key information to organization members, stakeholders and the public health community on a regular basis; leverage a wide array of resources among organization members and the public health community to expeditiously achieve results in a cost-effective manner; interact with other public health organizations; and act as a networking hub to build the capacity of governmental and non-governmental components of the public health system.

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries include state health departments; tribal health organizations; local health departments; the District of Columbia; U.S. Territories; and other components of the public health system. The general public will also serve as beneficiaries.

Credentials/Documentation:

The applicant organization must include evidence of national scope of work and of public health role or mission. Articles of incorporation, board resolution or by-laws are acceptable forms of evidence. The applicant must also provide evidence of federally assigned 501(c)(3) or 501(c)(6) status designation by submitting a copy of the current, valid Internal Revenue Service (IRS) determination letter. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit non-governmental organizations. Applicants must download application forms from www.grants.gov, and completed applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

1. Phase I Review: All eligible applications will be initially reviewed for completeness by CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC/OSTLTS and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified if

the application did not meet eligibility and/or published submission requirements.

2. Phase II (Initial Base-Funding) Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the Criteria section of the FOA. The applications will be compiled and reviewed according to the category for which applicants submitted their applications. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review.

3. Phase III Review: In addition, the following factors may affect the funding decision during Phase II (Initial Base-Funding Competition) Review:

- Preference to avoid duplication of CBA services to the same target populations.
- Preference may be given to the funding of applicants that propose to provide CBA services to target populations not served by higher ranking applicants.
- Preference will be given to ensure funding of organizations that provide CBA services to target populations not duplicated in other CDC funding mechanisms. CDC will provide justification for any decision to fund outside of ranked order of scores.

Final funding determinations will be based on application scores from the objective review panels and consideration for CDC's funding preferences.

4. Phase IV (Secondary CIO-Funding) Review: Applicants that are successful in Phase II (Initial Base-Funding) Review and are awarded funds will be eligible to participate in the Phase IV (Secondary CIO-Funding) Review for CDC-wide public health projects. Eligible awardees will receive an application packet detailing the Phase IV (Secondary CIO-Funding) application submission process upon receipt of the Phase II (Initial-Base Funding) Notice of Award. The awardees must submit stand-alone applications in response to a range of CBA projects that represent public health disciplinary areas and cross-cutting disciplines and/or topics. CIO-funded projects may also include limited CBA to international public health constituents where there is a benefit to U.S. public health efforts or to the U.S. public health system. The applications will be compiled and reviewed according to the Category and CIO project for which applicants submitted proposals. In the event a technical review is more efficient (i.e., there is one proposal submitted for a particular CIO project), the technical review will be held in place of an objective review. Final funding determinations will be based on application scores from the objective review (and technical review) panels.

Deadlines:

January 07, 2013 - March 08, 2013.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon the conditions in the funding opportunity announcement and are subject to the availability funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

a. Reporting: Reporting allows for continuous program monitoring and identifies successes and challenges that awardees encounter throughout the award. Reporting is also necessary for awardees to apply for yearly continuation of funding. In addition, reporting is helpful to CDC and awardees because it: Helps target support to awardees, particularly for cooperative agreements. Provides CDC with periodic data to monitor awardee progress towards meeting the FOA outcomes and overall performance. Allows CDC to track performance measures and evaluation findings for continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts. Enables the assessment of the overall effectiveness and impact of the FOA. As described below, awardees must submit one report per year: ongoing performance measures data, administrative reports, and a final performance and financial report. Below are the specific reporting requirements:

- Annual Performance Report (due 120 days before the end of the budget period;

ASSISTANCE LISTINGS DESCRIPTIONS

serves as a continuation application). This report must not exceed 35 pages, excluding work plan and administrative reporting. Attachments are not permitted when submitting this report. This report must include the following: Performance Measures (including outcomes): Awardees must report on performance measures for each budget period and update measures, if needed. Evaluation Results: Awardees must report evaluation results for the work completed to date (including any impact data). Work Plan (maximum of 25 pages): Awardees should update the work plan each budget period. Successes b.-Awardees must report progress on completing activities outlined in the work plan.- Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year.- Awardees must describe success stories. Challenges- Awardees should describe any challenges that hinder achievement of both annual and project period outcomes, performance measures, or their ability to complete the activities in the work plan. - Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year. CDC Program Support to Awardees- Awardees should describe how CDC could assist them in overcoming any challenges to achieve both annual and project period outcomes and performance measures, and complete activities outlined in the work plan. Administrative Reporting (not subject to page limits)- SF-424A Budget Information-Non-construction Programs- Budget Narrative Must use the format outlined in Section IV. Content and Form of Application Submission, Budget Narrative Section - Indirect Cost Rate Agreement. During years 25, awardees may request up to 75% of their estimated unobligated funds to be carried forward into the next budget period. The carryover request must: Express a bona fide need for permission to use an unobligated balance. Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which the fund will be transferred (can request up to 75% unobligated balances). Include a list of proposed activities, an itemized budget, and a narrative justification of those activities. The applicant must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. c. Performance Measure Reporting: CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. d. Federal Financial Reporting: The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Management Officer will receive the information. e. Final Performance and Financial Report: At the end of the five-year project period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages. At a minimum, this report must include the following: Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period. Evaluation results: Awardees should report final evaluation results for the project period. Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories. The completed FFR (SF-425). The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27,

2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0959-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The range is \$4 million to up to \$20 million for Category A, \$1 million to up to \$15 million for Category B and \$100,000 to up to \$5 million for Category C. The approximate average award ranges for the 12-month budget period are up to \$15 million for Category A, up to \$5 million for Category B and up to \$2 million for Category C.

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm> Evaluation activities such as submission of annual reports and site visit participation will be required to ensure awardees adhere to the program guidelines and regulations. Detailed report requirements are described in Funding Opportunity Announcement CDC-RFA-OT13-1302.

Regional or Local Office:

None.

Headquarters Office:

Caroline Sulal,
1825 Century BlvdMS V18-1,
Atlanta GA 30345
Email: CSTLTSPartnersCoAg@cdc.gov
Phone: 7704881526
Fax:

Website Address:

<http://www.cdc.gov/stltpublichealth/funding/rfaot13.html>

RELATED PROGRAMS:

93.292 National Public Health Improvement Initiative; 93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes; 93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance; 93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.426 Improving the Health of Americans

ASSISTANCE LISTINGS DESCRIPTIONS

through Prevention and Management of Diabetes and Heart Disease and Stroke

The purpose of this program is to implement and evaluate evidence-based strategies to address the challenges and systemic barriers that contribute to prevention and management of cardiovascular disease and diabetes in high-burden populations. The Selection of high burden populations will be based on a robust analysis of diabetes and cardiovascular health burden across geographic areas and population subgroups. Population subgroups may be defined by factors such as race or ethnicity, gender, age [e.g. youth and older adults], education, income, disability, or sexual orientation, among others.,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 301(a) of the Public Health Service Act [42] U.S.C. Section 241(a) Title IV Section 4002 of the Affordable Care Action, Prevention and Public Health Fund,

OBJECTIVES:

The purpose of this program is to implement and evaluate evidence-based strategies to address the challenges and systemic barriers that contribute to prevention and management of cardiovascular disease and diabetes in high-burden populations. The Selection of high burden populations will be based on a robust analysis of diabetes and cardiovascular health burden across geographic areas and population subgroups. Population subgroups may be defined by factors such as race or ethnicity, gender, age [e.g. youth and older adults], education, income, disability, or sexual orientation, among others.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds for this program are to be used to implement and evaluate evidence-based strategies to address the challenges and systemic barriers that contribute to prevention and management of cardiovascular disease and diabetes in high-burden populations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State Governments or their Bona Fide Agents (includes the District of Columbia) are eligible

Beneficiary Eligibility:

State; U.S. Territories; Beneficiaries of this program include: State, Local, Individual/Family, Minority Group, Anyone/General Public, Black American, American Indian, Spanish Origin, Oriental, Other Nonwhite, Women, Handicapped, Physically Afflicted, Senior Citizen, Rural

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. n/a Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Review and Selection Process: Applications will be reviewed in three phases. Phase I Review: All applications will be reviewed initially for completeness by CDC OGS staff and will be reviewed jointly for eligibility by the CDC National Center for Chronic Disease Prevention and Health Promotion and CDC OGS. Incomplete applications that do not meet the eligibility criteria will not advance to Phase II review. Phase II Review: This funding opportunity is comprised of two components Component 1 and Component 2. Applications submitted under Component 1 are

non-competitive and will support the implementation and evaluation of a core set of evidence-based strategies defined to reduce risk, complications, and/or barriers to prevent and control diabetes and cardiovascular disease among high-burden populations in all states and the District of Columbia. Applications submitted under Component 2 will be competitive and will support the implementation and evaluation of work on a small set of innovative strategies designed to reduce risks, complications, and/or barriers to prevent and control diabetes and prevention of heart disease and stroke. Component 2 applications will undergo an objective review panel process to evaluate complete and responsiveness according to the criteria section of the funding opportunity. Phase III Review: Applications submitted under Component 1 will be funded in accordance with the number of strategies selected by applicants from each of the diabetes and heart disease so that investments and outcomes may be clearly identified by funding line. Applications submitted under Component 2 will be funded in order by score and rank determined by the objective review panel. The Selecting official shall rely on the rank order established by the objective review panel as the primary factor in making awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications will be evaluated and approved or disapproved within 180 days of submission to the Federal Agency

Appeals:

Not Applicable. n/a

Renewals:

>180 Days. Renewals are contingent on availability of funds and are awarded for a 12 budget period within a 5 year project period.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding for this program will be awarded on annual basis and the funding will be available for obligation by recipients for a period of 12-months. Method of awarding/releasing assistance: lump sum.

Reports:

Awardees will be expected to provide reports so that CDC can provide continuous program monitoring. These reports identify successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees will be expected to submit annual performance reports, ongoing performance measure data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the notice of award to successful applicants. Progress reports are required on annual basis. Awardees will submit Annual Federal Financial Reports using the SF-425. These reports are required and must be submitted to the CDC Grants Management Officer no later than 90-days after the end of each budget period. Annual performance progress and monitoring reports will be expected from all awardees no later than 120 days prior to the end of each budget period. This report will serve as the continuation application for the follow-on budget period. The contents of the report should include the information specified in the solicitation from the CDC Grants Management Officer.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Recipients are to follow HHS policy audit requirements per codified regulations.

Records:

CDC requires awardees to meet all record retention requirements defined in the notice of award, the funding opportunity, the HHS grants policy statement, and 45 CFR Part 75.

Account Identification:

75-0943-0-1-550.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants FY 20 \$99,303,968.00; FY 21 est \$110,000,000.00; FY 22 est \$110,000,000.00;

Range and Average of Financial Assistance:

Awards ranged from \$980,000 to \$3,800,000. Awards we based on per-capita population and the burden of disease of diabetes and heart disease and stroke in each individual state.

TAFS Codes:

75-0943. 75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. n/a

Headquarters Office:

Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

No Data Available.

RELATED PROGRAMS:

93.757 State Public Health Actions to Prevent and Control Diabetes, Heart Disease, Obesity and Associated Risk Factors and Promote School Health financed in part by Prevention and Public Health Funding (PPHF);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review and Selection Process: Applications will be reviewed in three phases. Phase I Review: All applications will be reviewed initially for completeness by CDC OGS staff and will be reviewed jointly for eligibility by the CDC National Center for Chronic Disease Prevention and Health Promotion and CDC OGS. Incomplete applications that do not meet the eligibility criteria will not advance to Phase II review. Phase II Review: This funding opportunity is comprised of two components Component 1 and Component 2. Applications submitted under Component 1 are non-competitive and will support the implementation and evaluation of a core set of evidence-based strategies defined to reduce risk, complications, and/or barriers to prevent and control diabetes and cardiovascular disease among high-burden populations in all states and the District of Columbia. Applications submitted under Component 2 will be competitive and will support the implementation and evaluation of work on a small set of innovative strategies designed to reduce risks, complications, and/or barriers to prevent and control diabetes and prevention of heart disease and stroke. Component 2 applications will undergo an objective review panel process to evaluate complete and responsiveness according to the criteria section of the funding opportunity. Phase III Review: Applications submitted under Component 1 will be funded in accordance with the number of strategies selected by applicants from each of the diabetes and heart disease so that investments and outcomes may be clearly identified by funding line. Applications submitted under Component 2 will be funded in order by score and rank determined by the objective review panel. The Selecting official shall rely on the rank order established by the objective review panel as the primary factor in making awards.

93.427 Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes.

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND

HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

This program is authorized under sections 201(a), 317(k)(2) of the Public Health Service Act, [42 U.S.C. 241(a) and 247b(k)(2), as amended., , USC Code 241(a) and 247(k)(2) as amended.

OBJECTIVES:

The purpose of this funding opportunity announcement is to fund up to two national organizations with proven capacities and expertise in chronic disease prevention and health promotion to provide technical assistance and training to National Comprehensive Cancer Control Program awardees and their coalitions to implement evidence-based and promising comprehensive cancer control interventions in the areas of primary prevention, screening and survivorship.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicant must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants: National 501(c) 3 organizations; Public Non-profits National 501(c)3 organizations comprised of affiliates or chapters at state and/or local levels or universities with national reach who have the capacity to provide technical assistance and training to all funded grantees of the National Comprehensive Cancer Control Program.

Beneficiary Eligibility:

Federal; State; Local; Federal, State, Local

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Office of Financial Resources (OFR). The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and sent to the Principal Investigator through the Grants Solution system.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Project period is 5 years with 12-month budget periods. Renewals are based on availability of funds; renewal notifications will be posted on Grants.gov and Applications must be submitted through the Grants Solutions system

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 month Budget Period -5 year Project Period Method of awarding/releasing assistance: lump sum.

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Annual Performance Reports. This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit a Federal Financial Report (FFR) within 90 days after the end of the calendar quarter in which the budget years ends. Annual Performance Reports. This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. In addition, the awardee must submit a Federal Financial Report (FFR) within 90 days after the end of the calendar quarter in which the budget years ends. Federal Financial Reports (FFRs) must be submitted to the GMO/GMS no later than 90 days after the end of the budget period. Will be provided in the NOFO document

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 5-year records retention requirement; records shall be retained beyond the 5-year project period if final audit has not been done, or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$1,650,000.00; FY 21 est \$1,650,000.00; FY 22 est \$1,650,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or 92, as appropriate. The following additional requirements apply to this project For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr-table-search.html>

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An Objective Review Panel will be held to review applications based on criteria described in the Notice of funding Opportunity Announcement.

93.430 PPHF 2018: Prevention Health and Health Services Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nations Health financed in part by Prevention and Public Health Funds (PPHF)

CDC-RFA-OT18-1802,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The program is authorized under Sections 317(k)(2) and 307 of the Public Health Service Act (42 U.S.C. Sections 247b(k) and 247b-6), as amended. In addition, this program is authorized under sections 311 and 1703 of Public Health Service Act [42 USC 243 and 300 u-2, as amended], Title IV, Section 4002, Public Law 111-148, 42 USC Code 242-243, 247.

OBJECTIVES:

The purpose of this notice of funding opportunity (NOFO) is to announce a program to strengthen the nations public health infrastructure, ensure a competent, current and connected public health system, and improve delivery of essential services through capacity building assistance (CBA). CBA is defined as activities that strengthen and maintain the infrastructure and resources necessary to sustain or improve system, organizational, community or individual processes and competencies. CBA is delivered through technical assistance, training, information sharing, technology transfer, materials development, or funding that enables organizations to serve customers better and operate in a comprehensive, responsive, and effective manner. The goal is to fund nongovernmental organizations that have the capability, expertise, resources, reach, and history of providing capacity building relevant to implementing the programs key strategies, activities, and outcomes. The program strategies include strengthening the capacities of health systems infrastructure; leadership and workforce; data and information systems; communication and information technology; partnerships; laws, regulations, and policies; and programs and services. Capacity building efforts of this program are expected to strengthen and optimize the public health system and services to improve the nations health.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used for costs associated with providing capacity building assistance to strengthen the infrastructure of State, Tribal, Local, and Territorial public health agencies and other components of the public health system. For the purposes of this program, other components of the public health system refers to specific professional constituencies (i.e., epidemiologists, community health nurses, health education and promotion specialists tribal health leaders, chronic disease directors, health information specialists, Medicaid/Medicare Directors, state/local public health financial officials, etc.) or key components of the public health system (i.e., community and neighborhood health centers, public health and primary care delivery systems, community and faith-based organizations, public health and accreditation boards, public health institutes, primary care residency programs, health insurance consortia, family/social services programs, maternal/child health associations, etc.). Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies (including training materials), and services, such as contractual. Applicants will be required to participate, comply, and cooperate with CDC for any reporting requirements stipulated by, or mandated for, the receipt of funding.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Organizations deemed eligible to apply must also meet responsiveness criteria as outlined in the

ASSISTANCE LISTINGS DESCRIPTIONS

"Additional Information on Eligibility" in CDC-RFA-OT18-1802.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Anyone/general public; State; U.S. Citizen; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries include state health departments; tribal health organizations; local health departments; the District of Columbia; U.S. Territories; and other components of the public health system. The general public will also serve as beneficiaries.

Credentials/Documentation:

In order to meet responsiveness criteria, applicants will be required to provide the following documentation: For the purpose of this program, a public health charge or mission is a statement of an entity's organized efforts to promote and protect the health of people and the communities where they learn, live, play, and work. Evidence of a public health charge or mission should be reflected in the applicant's articles of incorporation, bylaws, or signed board resolution. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Phase I Review: All applications will be initially reviewed for eligibility and completeness by CDC Office of Grants Services. Complete applications will be reviewed for responsiveness by the Grants Management Officials and Program Officials. Non-responsive applications will not advance to Phase II Review. Applicants will be notified if their application does not meet eligibility and/or published submission requirements. Phase II Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the Criteria section of the NOFO. The applications will be compiled and reviewed according to the category for which applicants submitted their applications. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. Phase III Review: In addition, the following factors may affect the funding decision during Phase II Review: Priority will be given to organizations that demonstrate national scope of work. National scope of work is defined as the mandated or approved authority to work across the 10 HHS Regions. Evidence of a national scope of work must be reflected in the applicant's articles of incorporation, bylaws, or signed board resolutions indicating approval to work on a national level. An additional 15 points will be added to the final score of applications that demonstrate national scope. Preference will be given to avoid duplication of CBA services to the same target populations. Preference may be given to the funding of applicants that propose to provide CBA services to target populations not served by higher ranking applicants. Preference will be given to ensure funding of organizations that provide CBA services to target populations not duplicated in other CDC funding mechanisms. CDC will provide justification for any decision to fund outside of ranked order of scores. Final funding determinations for core-funding will be based on application scores from the objective review panels and consideration for CDC's funding preferences. Phase IV: Work Plans in Response to CIO Project Plans Organizations that are selected for core funding under the Phase III Review and Selection process will be eligible to submit Work Plans in Response to CIO Project Plans as part of a supplement to OT18-1802. Organizations will be eligible to submit proposals based on the Target Population Category and Target Population for which they were selected to provide CBA under the OT18-1802 initial competition. The supplement package detailing the CIO Project Plans and the submission process will be posted to grants.gov following selection and notification of organizations that will receive core funding. The award recipients will submit stand-alone proposals in response to a range of CBA projects that represent public health disciplinary areas and cross-cutting disciplines and/or topics. CIO Project Plans may also include limited CBA to international public health constituents where there is a benefit to U.S. public health efforts or to the U.S. public health system. Work Plans in Response to CIO Project Plans will be reviewed for eligibility, completeness and merit. Once submitted, all Work Plans in Response to CIO Project Plans will be initially reviewed for eligibility and completeness by the CDC Office of Grants Services and the Partnership Support Unit. Complete applications will be reviewed for

responsiveness by the Grants Management Officials and Program Officials. Work Plans in Response to CIO Project Plans that are deemed non-responsive will not advance to the merit review. Organizations will be notified that their applications did not meet eligibility and/or published submission requirements. Merit review of Work Plans in Response to CIO Project Plans will involve an objective or technical review that evaluates complete, eligible proposals using criteria aligned with the three broad sections described earlier in the NOFO: Approach Evaluation and Performance Measurement Applicants Organizational Capacity Merit reviews that require an objective review will be evaluated using the scoring criteria set forth in the OT18-1802 Supplement. In the event a technical review is more efficient for the Work Plans in Response to CIO Project Plans (i.e., there is one proposal submitted for a particular CIO Project Plan), the technical review will be held in place of an objective review. Final funding determinations of Work Plans in Response to CIO Project Plans that require an objective review will be based on proposal scores from the merit review. When a technical review is used, Work Plans in Response to CIO Project Plans will not be scored. The proposals will receive feedback and guidance regarding required revisions in place of scoring.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

>180 Days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the five-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

a. Reporting: Reporting allows for continuous program monitoring and identifies successes and challenges that awardees encounter throughout the award. Reporting is also necessary for awardees to apply for yearly continuation of funding. In addition, reporting is helpful to CDC and awardees because it: Helps target support to awardees, particularly for cooperative agreements. Provides CDC with periodic data to monitor awardee progress towards meeting the NOFO outcomes and overall performance. Allows CDC to track performance measures and evaluation findings for continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts. Enables the assessment of the overall effectiveness and impact of the NOFO. For organizations that receive PPHF funding, additional reporting is required. These requirements will be communicated to awardees post-award. As described below, awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. Below are the specific reporting requirements: b. Annual Performance Report (due 120 days before the end of the budget period; serves as a continuation application). This report must not exceed 35 pages, excluding work plan and administrative reporting. Attachments are not permitted when submitting this report. This report must include the following: Performance Measures (including outcomes): Awardees must report on performance measures for each budget period and update measures, if needed. Evaluation Results: Awardees must report evaluation results for the work completed to date (including any impact data). Work Plan (maximum of 25 pages): Awardees should update the work plan each budget period. Successes: Awardees must report progress on completing activities outlined in the work plan. Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year. Awardees must describe success stories. Challenges: Awardees should describe any challenges that hinder achievement of both annual and project period outcomes, performance measures, or their ability to complete the activities in the work plan. Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year. CDC Program Support to

ASSISTANCE LISTINGS DESCRIPTIONS

Awardees should describe how CDC could assist them in overcoming any challenges to achieve both annual and project period outcomes and performance measures, and complete activities outlined in the work plan. Administrative Reporting (not subject to page limits); SF-424A Budget Information-Non-construction Programs; Budget Narrative Must use the format outlined in Section IV. Content and Form of Application Submission, Budget Narrative Section; Indirect Cost Rate Agreement During years 25, awardees may request up to 75% of their estimated unobligated funds to be carried forward into the next budget period. The carryover request must: Express a bona fide need for permission to use an unobligated balance. Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which the fund will be transferred (can request up to 75% unobligated balances). Include a list of proposed activities, an itemized budget, and a narrative justification of those activities. The applicant must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. c. Performance Measure Reporting: CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. d. Federal Financial Reporting: The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Management Officer will receive the information. e. Final Performance and Financial Report: At the end of the five-year project period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages. At a minimum, this report must include the following: Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period. Evaluation results: Awardees should report final evaluation results for the project period. Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories. The completed FFR (SF-425). The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA. Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5: This award requires the recipient to complete projects or activities which are funded under the 2018 Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public. Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the sub-recipient). No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2018 and reporting by January 10, 2019, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the

award. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. Annual financial reporting is required via the Federal Financial Reporting document. Performance Measures (including outcomes) awardees must report on performance measures for each budget period and update measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42

Account Identification:

75-0959-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The approximate average award ranges for the 12-month budget period are \$2 million for Category A, up to \$1 million for Category B and up to \$500,000 for Category C. This average is based on funding provided during funding strategy 1, as outlined in CDC-RFA-OT18-1802.

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm> Evaluation activities such as submission of annual reports and site visit participation will be required to ensure awardees adhere to the program guidelines and regulations. Detailed report requirements are described in CDC-RFA-OT18-1802.

Regional or Local Office:

None.

Headquarters Office:

Caroline Sulal,
1825 Century Blvd MS V18-1, Room 1014,
Atlanta GA 30345
Email: CSTLTSPartnersCoAg@cdc.gov
Phone: 770.488.1522
Fax: 404-498-0430

Website Address:

<https://www.cdc.gov/publichealthgateway/partnerships/capacity-building-assistance-OT18-1802.html>

RELATED PROGRAMS:

93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance ; 93.424 NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations;

ASSISTANCE LISTINGS DESCRIPTIONS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.431 Networking2Save: CDCs National Network Approach to Preventing and Controlling Tobacco-related Cancers in Special Populations

Networking2Save,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under sections 201(a), 317(k)(2) of the Public Health Service Act, [42 U.S.C. 241(a) and 247b(k)(2), as amended.,

OBJECTIVES:

The Office on Smoking and Health and Division of Cancer Prevention and Control seek to build on the progress of the previously funded networks and expand work to address tobacco-and cancer- related health disparities among populations particularly vulnerable to tobacco industry marketing tactics and with higher cancer incidence and death rates. To accelerate the decline in cigarette smoking, address other tobacco use, and reduce the burden of cancer disease and death among the entire population, it will be critical to continue to focus prevention and control efforts on those populations that are most vulnerable and harder to reach with general population interventions. The complex interaction of multiple factors (e.g. socioeconomic status, cultural characteristics, acculturation, inequitable policy implementation and enforcement, stress) and persistent and targeted tobacco industry marketing to these vulnerable populations, contribute to tobacco related disparities. Many factors also contribute to cancer-related disparities such as socioeconomic status, cultural characteristics, diet, and access to healthcare services. Continued efforts to address the unique need for population-specific capacity and infrastructure to support tobacco and cancer control strategies and promote access to tobacco cessation services, cancer prevention, and treatment and survivor resources for populations experiencing disparities are justified. This tailored strategy is designed to achieve the benefits of an overall population-based policy approach to commercial tobacco and cancer control.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicant must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

Anyone/general public; Open competition no eligibility

Beneficiary Eligibility:

Federal; State; Local; Federal, State and Local

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA from the CDC Office of Financial Resources (OFR). The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and sent to the Principal Investigator through the Grants Solution system.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Period of Performance is 5 years with 12-months budget periods. Renewals based on availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Period of Performance is 5 years with 12-months budget periods. Renewals based on availability of funds Method of awarding/releasing assistance: lump sum.

Reports:

Annual Performance Reports. This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. Annual Performance Reports. This report must not exceed 30 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed Federal Financial Report (FFR) is due 90 days after the end of the budget period of performance. Will be provided in the Notice of Funding Opportunity (NOFO)

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 5-year records retention requirement; records shall be retained beyond the 5-year project period if final audit has not been done, or findings resolved. Property records must be retained in accordance with CFR regulations.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,999,345.00; FY 21 est \$6,799,345.00; FY 22 est \$6,799,345.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341

Email: slh3@cdc.gov

Phone: 7704882855

Fax:

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.432 ACL Centers for Independent Living

CILs.

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C., Title VII., Part C, Section 725

OBJECTIVES:

To support a Statewide network of centers for independent living (centers or CILs) and provide financial assistance to centers that comply with the standards and assurances in section 725(b) and (c) of the Rehabilitation Act of 1973, as amended (Rehabilitation Act) consistent with the design included in the State Plan for Independent Living for establishing a statewide network of centers. Centers are consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit agencies that are operated in local communities by individuals with disabilities and provide an array of IL services that are designed to enhance independence and productivity of individuals with significant disabilities and to promote their full inclusion and integration into the mainstream of American society.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Federal funds awarded under this program, the CIL program, are used for the establishment and operation of centers for independent living which offer a wide range of independent living (IL) services. Services must include independent living core services which are (1) information and referral services, (2) independent living skills training, (3) peer counseling, including cross-disability peer counseling, (4) individual and systems advocacy and (5) services that (i) facilitate the transition of individuals with significant disabilities from nursing homes and other institutions to home and community-based residences, with the requisite supports and services; (ii) provide assistance to individuals with significant disabilities who are at risk of entering institutions so that the individuals may remain in the community; and (iii) facilitate the transition of youth who are individuals with significant disabilities, who were eligible for individualized education programs under section 614(d) of the Individuals with Disabilities Education Act (20 U.S.C. 1414(d)), and who have completed their secondary education or otherwise left school, to post-secondary life. As appropriate, IL services may include a combination of any other independent living services specified in Section 7(18) of the Rehabilitation Act. Section 721(b) of the Rehabilitation Act specifies that not less than 1.8 percent and not more than two percent of Federal funds appropriated for the CIL program must be reserved each fiscal year to provide training and technical assistance to centers for independent living and eligible agencies under grants, contract or cooperative agreements with entities who have experience in the operation of centers for independent living.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private non-profit organizations. An eligible agency under the CIL program is a

consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit agency or a state agency in states in which no eligible private non-profit organization applies for a grant. A States allotment for IL Part C funds is determined according to a population-based formula. To the extent funds are available, the Rehabilitation Act requires the Administrator to make awards based on a statutory order of priorities to the extent funds are available: (1) The Administrator shall support existing centers for independent living, as described in subsection (c), that comply with the standards and assurances set forth in section 725, at the level of funding for the previous year.(2) The Administrator shall provide for a cost-of-living increase for such existing centers for independent living. (3) The Administrator shall fund new centers for independent living, as described in subsection (d), that comply with the standards and assurances set forth in section 725.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Individuals with significant disabilities as defined in section 7(21)(B) of the Rehabilitation Act. This refers to an individual with a severe physical or mental impairment whose ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited and for whom the delivery of independent living services will improve the ability to function, continue functioning, or move toward functioning independently in the family or community or to continue in employment, respectively.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted according to instructions specified in the Funding Opportunity Announcement found at www.grants.gov

Award Procedure:

New awards are made based upon the results of a competitive peer review process of applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Refer to the Funding Opportunity Announcement

Appeals:

Not Applicable.

Renewals:

Other. Projects may be continued based on the determination that the center has met the standards and assurances under section 725(b) and (c) of the Rehabilitation Act, and the availability of funds. Amounts of continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

ASSISTANCE LISTINGS DESCRIPTIONS

Length and Time Phasing of Assistance:

Existing projects are approved on an annual basis with funding on a twelve month basis. New projects are funded for three years with funding approved on a 12-month basis. Method of awarding/releasing assistance: other

Reports:

Annual and fiscal progress reports and self-evaluations (section 704 reports) must be submitted as prescribed by Section 725 (c)(8) of the Rehabilitation Act, and grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year.

Records:

Records related to grant funding, compliance and performance must be maintained for a period of three years after completion of the grant activity and the final financial report is submitted or until all audit questions are resolved

Account Identification:

75-0142-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$170,805,000.00; FY 21 est \$95,805,000.00; FY 22 est \$119,805,000.00;

Range and Average of Financial Assistance:

FY 20 est amount includes an \$85 million increase from CARES Act Funds. FY 21 est amount includes a \$5 million increase from CDC Vaccine Funds. FY 22 est includes a \$29 million increase identified in the President's budget.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director, Office of Program Support Jennifer Johnson,
Administration on Developmental Disabilities, Administration for Community Living, Department of Health and Human Services 330 C Street, SW,
Washington DC 20201

Email: jennifer.johnson@acl.hhs.gov

Phone: 2027957371

Fax:

Website Address:

<http://www.acl.gov/Programs/AoD/ILA/Index.aspx>

RELATED PROGRAMS:

93.369 ACL Independent Living State Grants ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for this program may be found in the Funding Opportunity Announcement at <http://www.grants.gov>

93.433 ACL National Institute on Disability, Independent Living, and Rehabilitation Research

(NIDILRR),

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Rehabilitation Act of 1973, Title II, as amended, Title .

OBJECTIVES:

To support and coordinate research and its utilization in order to improve the lives of people of all ages with physical and mental disabilities, especially persons with severe disabilities, through: (1) identifying and eliminating causes and consequences of disability; (2) maximizing the health, physical, and emotional status of persons with disabilities and their functional ability, self- sufficiency, self- development and personal autonomy; (3) preventing or minimizing personal and family, physical, mental, social, educational, vocational, and economic effects of disability; and (4) reducing and eliminating physical, social, educational, vocational, and environmental barriers to permit access to services and assistance and to use their abilities in daily life.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for research, demonstration, dissemination/utilization projects of national significance, and career training projects. All applications must meet standards of excellence in research and evaluation design. Fellowships support individual investigators in pursuing research in rehabilitation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; States, public, private, or nonprofit agencies and organizations, institutions of higher education, and Indian tribes and tribal organizations are eligible for research projects and specialized research activities related to the rehabilitation of individuals with disabilities; fellowships may be awarded to individuals.

Beneficiary Eligibility:

Individual/Family; Individuals with disabilities may benefit directly or indirectly from research and other activities conducted by grantees, such as technical assistance and dissemination.

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to cooperate when the project involves their acceptance or the utilization of their facilities or services. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-

ASSISTANCE LISTINGS DESCRIPTIONS

110 applies to this program. NIDRR long-range plan serves as the basis for program announcements that are published in Grants.gov or posted on the ACL website. Proposed priorities may also be published in the Federal Register and Requests for Proposals published in the Commerce Business Daily.

Award Procedure:

New awards are made based upon the results of a peer review process and subsequent review and approval of applications by staff within the Department. Official notice of approved applications is made through issuance of a Notice of Grant Award or an official letter of fellowship award. Grants and fellowships are awarded by the Secretary, Health and Human Services.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Ranges from 30 to 120 days. Generally, solicited grants, fellowships, and contracts will be acted upon within 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Grantees must submit annual progress reports in order to receive continuation funding. Awards may be renewed annual for up to five years or to a lesser project period contingent upon the award specifications. Renewals are subject to the availability of appropriations

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are awarded in 12 month budget periods. Support beyond the first 12 month budget period is contingent upon evidence that the grantee has made substantial progress toward achieving the objectives and outcomes for a project, and availability of appropriations. Awards may be made for a maximum of five years. Method of awarding/releasing assistance: other

Reports:

Annual reports of progress and annual expenditures are required on all projects. Comprehensive final reports are due 90 days after the end of the project. NIDILRR has established an extensive system of performance monitoring involving program officers in oversight of progress toward goals proposed in grant applications. These activities are designed to monitor for performance and research outcomes.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Proper accounting records, identifiable by grant or contract number including all receipts and expenditures, must be maintained for three years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0142-0-1-506.

Obligations:

Project Grants FY 20 \$107,889,224.00; FY 21 est \$107,254,119.00; FY 22 est \$112,217,117.00;

Range and Average of Financial Assistance:

The range and average vary greatly according to the competition.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administrative policies for programs and fellowships may be obtained from the program office. NIDILRR program regulations are contained in 45 CFR 1330.

Regional or Local Office:

None.

Headquarters Office:

LaVonnia Villanueva,
330 C St SW,
Washington DC 20201
Email: LaVonnia.Villanueva@acl.hhs.gov
Phone: (202)795-7297

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Objectives of projects and programs must be in consonance with and should contribute to achievement of the goals and objectives of the Institute. Proposed research and development activities must be rigorous and of high quality. Adequate facilities must be available or planned to carry out the specific project or program. Personnel must be trained and qualified to carry out the proposed programs, and staffing patterns must be appropriate. Demonstrations of adequate plans and procedures for ensuring the relevance to current needs in rehabilitation and the potential for project results must be effectively utilized. Commitment of available resources on the part of the applicant and evidence that the applicant has knowledge of rehabilitation issues as well as knowledge of past and present related research activities. A statement showing that the estimated cost to the government is reasonable in relation to anticipated project results. Demonstrate the ability and capacity to achieve stated goals. Demonstrate, coordinate and cooperate with regional programs, State agency programs, and private rehabilitation facilities. Specific weighted selection criteria are contained in program regulations.

93.434 Every Student Succeeds Act/Preschool Development Grants

ESSA/Preschool Development Grants Birth-5,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Every Student Succeeds Act/Preschool Development Grants, Title , Section 9212(c)(1), Public Law 114-95, Note to 42 USC Code 9831.

OBJECTIVES:

Section 9212 of the Every Student Succeeds Act (ESSA), PL 114-95 establishes the Preschool Development Grants (PDG). This grant initiative seeks to assist States in helping low-income and disadvantaged children enter Kindergarten prepared and ready to succeed in school and to help improve the transitions from the early care and education setting to elementary school. The overall responsibility of the ESSA PDG is to assist States in the coordination of their existing early childhood service delivery models and funding streams - for the purpose of serving more children birth through age five in a mixed delivery model. The ESSA PDG has three targeted purposes. It aims to: "(1) assist States to develop, update, or implement a strategic plan that facilitates collaboration and coordination among existing programs of early childhood care and education in a mixed delivery system across the State designed to prepare low-income and disadvantaged

ASSISTANCE LISTINGS DESCRIPTIONS

children to enter kindergarten and to improve transitions from such system into the local educational agency or elementary school that enrolls such children, by(A) more efficiently using existing Federal, State, local, and non-governmental resources to align and strengthen the delivery of existing programs, (B) coordinating the delivery models and funding streams existing in the State's mixed delivery system; and (C) developing recommendations to better use existing resources in order to improve-- (i) the overall participation of children in a mixed delivery system of Federal, State, and local early childhood education programs;(ii) program quality while maintaining availability of services;(iii) parental choice among existing programs; and (iv) school readiness for children from low- income and disadvantaged families, including during such children's transition into elementary school;(2) encourage partnerships among Head Start providers, State and local governments, Indian tribes and tribal organizations, private entities (including faith- and community- based entities), and local educational agencies, to improve coordination, program quality, and delivery of services; and(3) maximize parental choice among a mixed delivery system of early childhood education program providers." [Note to 42 USC 9831(a)]The PDG B-5 Initial Grants are one-year planning grants allowing states and territories to develop and implement a comprehensive, statewide, birth through five needs assessment. States and territories then develop a related strategic plan that also addresses activities that lead to more meaningful parent engagement, the sharing of resources and best practices among the different early childhood program providers, and improvements in overall quality, specific to each state's developmental goals. The PDG B-5 Renewal grants are three-year implementation grants that allow states to implement their strategic plans and periodically update their needs assessments and related strategic plans. The renewal grantees continue to improve their coordination and collaboration of programs, services, and systems, while focusing on data integration, ongoing monitoring and evaluation, and improvement of governance structures, policy development, and stakeholder engagement.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses, Training

USES AND USE RESTRICTIONS:

PDG B-5 Initial Grant: A State, acting through the State agency appointed by the Governor, which receives a PDG B-5 Initial Grant shall use the grant funds for all of the following activities: (1) Conducting a periodic statewide needs assessment of(A) the availability and quality of existing programs in the State, including such programs serving the most vulnerable or underserved populations and children in rural areas; (B) to the extent practicable, the unduplicated number of children being served and (C) awaiting service in such programs. (2) Developing a strategic plan that recommends collaboration, coordination, and quality improvement activities among existing programs in the State and local educational agencies, including activities to improve children's transition from early childhood education programs into elementary schools. The strategic plan must include information that(A) identifies opportunities for, and barriers to, collaboration and coordination among existing programs in the State, responsible for administering such programs; (B) recommends partnership opportunities among Head Start providers, local educational agencies, State and local governments, Indian tribes and tribal organizations, and private entities (including faith- and community-based entities) that would improve coordination, program quality, and delivery of services; (C) builds on existing plans and goals with respect to early childhood education programs; (D) describes how accomplishing these activities will better serve children and families in existing programs and how such activities will increase the overall participation of children in the State. (3) Maximizing parental choice and knowledge about the State's mixed delivery system of existing programs and providers by(A) ensuring that parents are provided information about the variety of early childhood education programs for children from birth to kindergarten entry in the State's mixed delivery system; and (B) promoting and increasing involvement by parents and family members, including families of low-income and disadvantaged children, in the development of their children and the transition of such children from an early childhood education program into an elementary school. (4) Sharing best practices among early childhood education program providers in the State to increase collaboration and efficiency of services, including to improve transitions from such programs to elementary school.(5) After the statewide needs assessment and strategic plan have been completed, improving the overall quality of early childhood education programs in the State, including by developing and implementing evidence-based practices to improve professional development for early childhood education providers and educational opportunities

for children [Note to 42 USC 9831(f)].PDG B-5 Renewal Grant:A State, acting through the State agency appointed by the Governor, which receives a PDG B-5 Renewal Grant shall use the grant funds to continue activities begun in the initial year and/or start new activities including awarding subgrants to benefit programs in a mixed delivery system across the State designed to assist low-income and disadvantaged children prior to entering kindergarten to enable programs to implement activities addressing areas in need of improvement as determined by the State, or develop new programs to address the needs of children and families eligible for, but not served by, such programs. If a State chooses to award subgrants, the State shall prioritize activities to improve areas in which there are State-identified needs that would improve services for low-income and disadvantaged children living in rural areas.

Applicant Eligibility:

State; U.S. Territories and possessions; Each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the U.S. territories that do not currently have a pre-school development grant are eligible to apply for an initial grant. Recipients that have previously received an initial grant or a State that submits a completed needs assessment with its application are eligible to apply for a renewal grant. Please reference the Notice of Funding Opportunity (NOFO) for a list of States that are eligible to apply.

Beneficiary Eligibility:

State; Local; Preschool; Infant (0-5); Moderate Income; Low Income; Beneficiaries are state and local communities with children birth through 5 and their families.

Credentials/Documentation:

Correspondence from the Governor identifying the state agency that will serve as the lead entity appointed to administer and oversee the PDG B-5 grant award is required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Coordination is expected at the state level among all state departments involved in providing supportive early childhood services to children birth through age 5 and their families prior to the submission of a formal application to the Federal funding agency.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount. Because these grants will be administered jointly by the HHS/ACF and ED, ACF and ED shall review the applications to confirm that each State submitting an application has, as of the date of the application, a mixed delivery system in place. Finally, once a slate has been developed, identifying the rankings of each applicant and the amount of funding requested by each, the Secretaries of both Departments will give final approval to those who will receive an award.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations in Appendix A.

Renewals:

Other. After the initial year of funding, states are eligible to apply for a Renewal Grant of not more than 3 years to enable states to carry out activities identified in their initial grant's needs assessment and strategic plan.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 30%. Requirement: Matching requirements are mandatory. "Matching requirement.--Each State that receives a grant under this section shall provide funds from non-Federal sources (which may be provided in cash or in kind) to carry out the activities supported by the grant, in an amount equal to not less than 30 percent of the amount of the grant." [Note to 42 USC 9831]MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Recipients must obligate all discretionary funds in the fiscal year in which they are granted or in the succeeding fiscal year. The first 46 PDG B-5 Initial Grants ended in FY2021 with all funds needing to be spent by December 30, 2020. The additional 6 Initial Grants have been granted a no-cost extension and now have until December 30, 2021 when all funding must be spent. The first 20 PDG B-5 Renewal Grants presently have until December 30, 2022 to spend all renewal grant funds. The next wave of 3 PDG B-5 Renewal Grantees presently have until April 29, 2023 to spend all of their renewal grant funds. The 3rd wave of 5 PDG B-5 Renewal Grantees presently have until December 30, 2023 to spend all of their renewal grant funds. Method of awarding/releasing assistance: other

Reports:

A State that receives an Initial Grant must submit a final report to the Secretary not later than 6 months after the end of the grant period. A State that receives a Renewal Grant must submit an Annual Program Progress Report each of the 3 years of these renewal grants. For initial awards, quarterly performance progress reports are required along with an Initial Grant Final Program Report. For renewal awards quarterly performance progress reports are also required along with an Annual Performance Progress Report. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. The SF-425 Federal Financial Report for expenditure reporting is required. The NOFO will list the required frequency. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. There is an annual Performance Progress Report data information collection for renewal award recipients intended to complement information provided in the Quarterly Performance Progress and Financial Reports. (OMB Control No: 0970-0490; Expiration Date: 01/31/2023.)

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this initiative.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1819-0-1-536.

Obligations:

Project Grants FY 20 \$264,175,804.00; FY 21 est \$516,242,563.00; FY 22 est \$445,216,723.00; Training FY 20 \$4,255,247.00; FY 21 est \$3,861,383.00; FY 22 est \$3,836,383.00; Salaries and Expenses FY 20 \$786,259.00; FY 21 est \$921,894.00; FY 22 est \$946,894.00;

Range and Average of Financial Assistance:

The range of funding has been between \$500,000 and \$14 million per Grant Award, based on application request and supporting evidence. The average award is approximately \$9 million.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Twenty (20) States received their first year of their 3 year PDG B-5 funding at the end of December 2019. These 20 States were AL, CA, CO, CT, FL, GA, IL, LA, MD, MI, MN, MO, NH, NJ, NY, NC, OR, RI, VA, and WA. Also in December 2019, three (3) additional States (ID, WI, and WY) and 3 additional Territories (CNMI, GU, and PR) received one year PDG B-5 Initial Grants. In April 2020, three (3) additional States - KS, NE, and SC - received the first year of their 3 year PDG B-5 Renewal Grant funding. Fiscal Year 2021: Twenty (20) States received their second year of their 3 year PDG B-5 funding at the end of December 2020. Also, in December 2020, five (5) States/Territories - GU, ID, PR, WI, and WY - were awarded funds for the first year of their 3 year PDG B-5 Renewal Grant. Finally, in December 2020, the PDG B-5 Initial Grant for all 46 original States/Territories came to an end with 23 Final Reports completed, and the remaining 23 scheduled to be completed by June 2021. In April 2021, three (3) additional States - KS, NE, SC - received their second year of their 3 year Renewal Grant funding. Fiscal Year 2022: At the end of December 2021, twenty (20) States are scheduled to receive their third year of their 3 year PDG B-5 funding. Also, in December 2021, five (5) States/Territories will be awarded funds for their second year of their 3 year PDG B-5 Renewal Grant. In April 2022, three (3) additional States are scheduled to receive their third year of their 3 year PDG B-5 Renewal Grant funding. The PDG B-5 Initial Grant Final Reports for the remaining 6 States/Territories are scheduled to be completed before the end of FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Richard F Gonzales,
Switzer Building 330 C Street, SW Room 4012E,
Washington DC 20201
Email: richard.gonzales@acf.hhs.gov
Phone: 2024015138

Website Address:

<https://www.acf.hhs.gov/occ/resource/pgd-b-5-initiative>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFOs.

93.435 Innovative State and Local Public Health Strategies to prevent and Manage Diabetes and Heart Disease and Stroke-

n/a,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 301(a) of the Public Health Service Act [42] U.S.C. Section 241(a) Title IV Section 4002 of the Affordable Care Action, Prevention and Public Health Fund,

OBJECTIVES:

The purpose of this program is to design, test, and evaluate novel approaches to

ASSISTANCE LISTINGS DESCRIPTIONS

addressing a set of evidence based strategies aimed at reducing risks, complications, and/or barriers to prevention and control of diabetes and cardiovascular disease among high-burden populations. The Selection of high burden populations will be based on a robust analysis of diabetes and cardiovascular health burden across geographic areas and population subgroups. Population subgroups may be defined by factors such as race or ethnicity, gender, age [e.g. youth and older adults], education, income, disability, or sexual orientation, among others.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds for this program are to be used to design, test, and evaluate novel approaches to addressing a set of evidence based strategies aimed at reducing risks, complications, and/or barriers to prevention and control of diabetes and cardiovascular disease among high-burden populations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State or local health departments or their Bona Fide Agents (includes the District of Columbia) Eligibility will be limited to state and local/city/county governments with a population of 900,000 or more with the greatest potential to reach and impact large numbers of high risk/high burden populations, or their bona fide agents. Consortia of smaller local/city/county health departments may collaborate to submit one application that, collectively, represents a population of 900,000 or more.

Beneficiary Eligibility:

Minority group: Anyone/general public; State; Local; Beneficiaries of this program include: State, Local, Individual/Family, Minority Group, Anyone/General Public, Black American, American Indian, Spanish Origin, Oriental, Other Nonwhite, Women, Handicapped, Physically Afflicted, Senior Citizen, Rural

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. n/a Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Review and Selection Process: Applications will be reviewed in three phases. Phase I Review: All applications will be reviewed initially for completeness by CDC OGS staff and will be reviewed jointly for eligibility by the CDC National Center for Chronic Disease Prevention and Health Promotion and CDC OGS. Incomplete applications that do not meet the eligibility criteria will not advance to Phase II review. Phase II Review: This NOFO includes strategies in two categories, Category A (diabetes management and type 2 diabetes prevention strategies) and Category B (cardiovascular disease prevention and management strategies). In both categories, applicants will select from a menu of strategies. Applications will be competitive and will support the implementation and evaluation of work on a small set of innovative strategies designed to reduce risks, complications, and/or barriers to prevent and control diabetes and prevention of heart disease and stroke. Applications will undergo an objective review panel process to evaluate complete and responsiveness according to the criteria section of the funding opportunity. Phase III Review: Applications will be funded in order by score and rank determined by the objective review panel. The Selecting official shall rely on the rank order established by the objective review panel as the primary factor in making awards

Deadlines:

Contact the headquarters or regional location, as appropriate for application

deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. n/a

Appeals:

Not Applicable. n/a

Renewals:

From 120 to 180 days. n/a

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding for this program will be awarded on annual basis and the funding will be available for obligation by recipients for a period of 12-months. Recipients will receive an electronic copy of the notice of award from CDC OGS. The notice of award shall be the only binding, authorizing document between the awardee and CDC. The notice of award will be signed by an authorized grants management officer and e-mailed to the awardee Principal Investigator and business official. The notice of award is expected to be complete 30-days in advance of the project period start date of September 30, 2018. Method of awarding/releasing assistance: lump sum.

Reports:

Awardees will be expected to provide reports so that CDC can provide continuous program monitoring. These reports identify successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees will be expected to submit annual performance reports, ongoing performance measure data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the notice of award to successful applicants. Progress reports are required on annual basis. Awardees will submit Annual Federal Financial Reports using the SF-425. These reports are required and must be submitted to the CDC Grants Management Officer no later than 90-days after the end of each budget period. Annual performance progress and monitoring reports will be expected from all awardees no later than 120 days prior to the end of each budget period. This report will serve as the continuation application for the follow-on budget period. The contents of the report should include the information specified in the solicitation from the CDC Grants Management Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 CDC requires awardees to meet all record retention requirements defined in the notice of award, the funding opportunity, the HHS grants policy statement, and 45 CFR Part 75.

Records:

CDC requires awardees to meet all record retention requirements defined in the notice of award, the funding opportunity, the HHS grants policy statement, and 45 CFR Part 75.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$41,528,477.00; FY 21 est \$41,528,477.00; FY 22 est \$41,528,477.00;

Range and Average of Financial Assistance:

Awards for this new program are expected to range from \$1,000,000 to \$3,500,000. Awards will be based on activities proposed by the applicant, the burden of disease of diabetes and heart disease and stroke, and the recipients potential reach and effect outcomes for large numbers of adults.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. n/a

Headquarters Office:

Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review and Selection Process: Applications will be reviewed in three phases. Phase I Review: All applications will be reviewed initially for completeness by CDC OGS staff and will be reviewed jointly for eligibility by the CDC National Center for Chronic Disease Prevention and Health Promotion and CDC OGS. Incomplete applications that do not meet the eligibility criteria will not advance to Phase II review. Phase II Review: This NOFO includes strategies in two categories, Category A (diabetes management and type 2 diabetes prevention strategies) and Category B (cardiovascular disease prevention and management strategies). In both categories, applicants will select from a menu of strategies. Applications will be competitive and will support the implementation and evaluation of work on a small set of innovative strategies designed to reduce risks, complications, and/or barriers to prevent and control diabetes and prevention of heart disease and stroke. Applications will undergo an objective review panel process to evaluate complete and responsiveness according to the criteria section of the funding opportunity. Phase III Review: Applications will be funded in order by score and rank determined by the objective review panel. The Selecting official shall rely on the rank order established by the objective review panel as the primary factor in making awards.

93.436 WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (WISEWOMAN)

WISEWOMAN,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under 42 U.S.C. 3001-1 (2012), sections 1501-1510 [42 U.S.C. 300k, 42 U.S.C. 300l, 42 U.S.C. 300m, 42 U.S.C. 300n, 42 U.S.C. 300 n-1, 42 U.S.C. 300 n-2, 42 U.S.C. 300 n-3, 42 U.S.C. 300 n-4, 42 U.S.C. 300 n-4a, 42 U.S.C. 300 n-5] of the Public Health Service Act, as amended. WISEWOMAN must follow the legislative requirements detailed in these sections,

OBJECTIVES:

The purpose of this competitive five-year program is to fund state health departments and tribal organizations to extend services to improve prevention, detection, and control of CVD risk factors for low-income, uninsured, or underinsured women by offering CVD screening, risk reduction counseling, referral to medical services, referral to programs, and resources to support positive cardiovascular health.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds can be used for salaries for personnel to plan, implement, monitor and evaluate the program activities; for contracts or agreements with clinical entities to provide the cardiovascular screenings; risk reduction counseling; diagnostic office visits for abnormal screening values; contracts or agreements with community based organizations to provide health education and lifestyle support programs, or to improve the health of the physical environment (for example to improve access to healthy food, physical activity, or decrease tobacco exposure); or other activities that promote or support healthy behaviors and improved cardiovascular health in women. Funds can be used to pay for activities or expendable equipment necessary to provide the services outlined here, including self-blood pressure monitoring equipment and counseling to enhance medication adherence. Funds can be used to develop and maintain data collection systems to collect and report biomeasures and behavior information and for monitoring systems to augment control of hypertension.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State and the District of Columbia government; local government or their Bona Fide Agent; U.S. Territory or Possession; Federally Recognized Indian Tribal Government; Native American Organization (American Indian/Alaska native tribally designated organization). 1. Eligible Applicants: State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). American Indian/Alaska Native tribal governments (federally recognized or state-recognized). American Indian/Alaska native tribally designated organizations. 2. Special Eligibility Requirements: Applicants must be recipients of the NBCCEDP program funding.

Beneficiary Eligibility:

Women: Beneficiaries of this program include: Women who are ages 40-64 and eligible through the National Breast and Cervical Cancer Early Detection Program criteria.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Review and Selection Process: Applications will be reviewed in three phases. Phase I Review: All applications will be reviewed initially for completeness by CDC OGS staff and will be reviewed jointly for eligibility by the CDC National Center for Chronic Disease Prevention and Health Promotion and CDC OGS. Incomplete applications that do not meet the eligibility criteria will not advance to Phase II review. Phase II Review: This funding opportunity is comprised of two components Core Component and Innovation Component. Core Component: This competitive Core Component will support the implementation and evaluation of a core set of evidence-based strategies designed to reduce risks, complications, and barriers to the prevention and control of heart disease and stroke among the target population. Applicants must propose a comprehensive work plan that addresses hypertension in alignment with the following broad Strategies indicated in the NOFO. Applicants for the Core Component must propose criteria for selection of health systems and communities in which to work, based on a robust analysis of overall health burden and across population subgroups. Proposals must include clinical and community partners that will support the delivery of services. Letters of support with a firm commitment from providers and partners should be included in the application. Innovation Component: This competitive Innovation Component will

ASSISTANCE LISTINGS DESCRIPTIONS

support the implementation and evaluation of a small set of innovative strategies designed to reduce risks, complications, and barriers to the prevention and control of heart disease and stroke. All applicants must apply for the Core Component in order to be considered for the Innovation Component. Only those successful applicants under the Core Component will be eligible for Innovation Component funding. Applicants must apply for Innovation Component funding at the same time they make application for Core Component funding. Phase III Review: Applications submitted under the Core Component will be funded by score and rank as determined by the objective review panel. Applications submitted under the Innovation Component will be funded by score and rank determined by the objective review panel. The Selecting official shall rely on the rank order established by the objective review panel as the primary factor in making awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. In preparing budgets, applicants must include financial participation described below. Recipient financial participation is required for this program in accordance with the authorizing legislation. Section 1502(a) and (b)(1), (2), and (3) of the PHS Act, as amended, requires matching funds from non-Federal sources in an amount not less than one dollar for every three dollars of Federal funds, a ratio of 3:1, awarded under this program. However, Title 48 of the US Code 1469a (d) requires DHHS to waive matching fund requirements for Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands up to \$200,000. The match requirement may include third-party in-kind contributions, as well as expenditures from the grantee. The matching funds may be cash, in-kind or donated services or equipment. Public Law 93-638 authorizes tribal organizations contracting under the authority of Title I to use funds received under the Indian Self-Determination Act as matching funds. Matching funds may not include: 1) payment for treatment services or the donation of treatment services; 2) services assisted or subsidized by the Federal government; or 3) the indirect or overhead costs of an organization. All costs designated as meeting the match requirement must be documented by the applicant and will be subject to audit. Documentation of appropriate matching is to be provided in the detailed budget and narrative justification. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding for this program will be awarded on annual basis and the funding will be available for obligation by recipients for a period of 12-months Method of awarding/releasing assistance: lump sum.

Reports:

Awardees will be expected to provide reports so that CDC can provide continuous program monitoring. These reports identify successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees will be expected to submit annual performance reports, ongoing performance measure data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the notice of award to successful applicants. Cash Reports are required to be submitted to the HHS Payment Management System per HHS Policy. Progress reports are required on annual basis. Awardees will submit Annual Federal Financial Reports using the SF-425. These reports are required and must be submitted to the CDC Grants Management Officer no later than 90-days after the end of each budget period. Annual performance progress and monitoring reports will be expected from all awardees no later than 120 days prior to the end of each budget period. This report will serve as the continuation application for the following budget period. The contents of the report should include the information specified in the solicitation from the CDC Grants Management Officer.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

CDC requires awardees to meet all record retention requirements defined in the notice of award, the funding opportunity, the HHS grants policy statement, and 45 CFR Part 75.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$19,700,000.00; FY 21 est \$19,700,000.00; FY 22 est \$19,700,000.00;

Range and Average of Financial Assistance:

Awards are expected to range from \$500,000 to \$2,500,000 (total award for both Core and innovation Components, were applicable). All activities supported through this NOFO must contribute to health improvements across the target population and across population subgroups. Award recipients must demonstrate significant disease burden to allow the strategies supported by this NOFO to reach a significant proportion of the target population. Funding strategy will also include the awardees proposed activities and goals, estimated population reach, and program capacity as described in the application.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review and Selection Process: Applications will be reviewed in three phases. Phase I Review: All applications will be reviewed initially for completeness by CDC OGS staff and will be reviewed jointly for eligibility by the CDC National Center for Chronic Disease Prevention and Health Promotion and CDC OGS. Incomplete applications that do not meet the eligibility criteria will not advance to Phase II review. Phase II Review: This funding opportunity is comprised of two components Core Component and Innovation Component. Core Component: This competitive Core Component will support the implementation and evaluation of a core set of evidence-based strategies designed to reduce risks, complications, and barriers to the prevention and control of heart disease and stroke among the target population. Applicants must propose a comprehensive work plan that addresses hypertension in alignment with the following broad Strategies indicated in the NOFO. Applicants for the Core Component must propose criteria for selection of health systems and communities in which to work, based on a robust analysis of overall health burden and across population subgroups. Proposals must include clinical and community partners that will support the delivery of services. Letters of support with a firm commitment from providers and partners should be included in the application. Innovation Component: This competitive Innovation Component will

ASSISTANCE LISTINGS DESCRIPTIONS

support the implementation and evaluation of a small set of innovative strategies designed to reduce risks, complications, and barriers to the prevention and control of heart disease and stroke. All applicants must apply for the Core Component in order to be considered for the Innovation Component. Only those successful applicants under the Core Component will be eligible for Innovation Component funding. Applicants must apply for Innovation Component funding at the same time they make application for Core Component funding. Phase III Review: Applications submitted under the Core Component will be funded by score and rank as determined by the objective review panel. Applications submitted under the Innovation Component will be funded by score and rank determined by the objective review panel. The Selecting official shall rely on the rank order established by the objective review panel as the primary factor in making awards.

93.437 PPHF2018-National Organization for Chronic Disease Prevention and Health Promotion-financed in part by 2018 Prevention and Public Health Funds

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under sections 301(a) and 317(k)(2) of the Public Health Services Act, [42 U.S.C. Section 241(a) and 247b(k)(2)], as amended. Title IV Section 4002 of the Affordable Care Action, Prevention and Public Health Fund.

OBJECTIVES:

This program supports the development of effective state chronic disease programs to strengthen public health science and practice by addressing crosscutting functions, domains, settings, risk factors and diseases. Long-term outcomes include: increased availability and accessibility of learning opportunities addressing leadership and chronic disease prevention approaches; improvements in processes and systems for public health planning, implementation and evaluation; increased implementation of evidence-based public health approaches; and increased dissemination of public health tools and resources.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance can be used to pay for employee salaries, overhead, travel costs, and other expenses directly related to achievement of the strategies and activities identified to fulfill the obligations specified in the notice of funding.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); The intent of this funding is to support the development of effective state chronic disease programs by disseminating effective chronic disease prevention and health promotion approaches to strengthen public health science and practice. Funding eligibility is limited to national, public non-profit organizations, that work with state and territorial health departments and whose primary focus is chronic disease prevention and health promotion.

Beneficiary Eligibility:

Anyone/general public; Health Professional; State; Public nonprofit institution/organization; The intent of this funding is to support the development of effective state chronic disease programs by disseminating effective chronic disease prevention and health promotion approaches to strengthen public health science and practice. Funding eligibility is limited to national, public non-profit organizations, that work with state and territorial health departments and whose primary focus is chronic disease prevention and health promotion.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications will be submitted via GrantSolutions. All applications submitted by the deadline that are complete and responsive will be included in the objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award from the CDC Office of Financial Resources. The Notice of Award shall be the only binding, authorizing document between the recipient and CDC and will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

>180 Days. The project period is 5 years with 12 month budget periods.

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the awardee (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is 5 years with 12 month budget periods Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the notice of funding opportunity. An Annual Progress Report and a final progress report and final Federal Financial Report are due 90 days after the end of the project period. An Annual Progress Report is due 90 days after the end of the budget period. The Annual Progress Report will describe successes, challenges, and progress toward goals, objectives, and performance measures Federal Financial Report (SF425) are due 90 days after the end of the budget period Awardee performance will be monitored through: (1) technical review of the Annual Progress Report, (2) ongoing communication between the awardee, project officer, and grants management officer via e-mail, conference calls, and meetings, and (3) periodic site visits. The awardee will describe their activities and progress toward meeting goals, objectives, and performance measures in the submitted progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chronic Disease Prevention System:

www.cdc.gov/chronicdisease/about/prevention.htmNational Public Health Workforce Strategic Roadmap: www.cdc.gov/ophss/csels/dsepd/strategic-workforce-activities/ph-workforce/roadmap.html-National Consortium for Public Health Workforce Development Report: A Call to Action: www.debeaumont.org/consortiumreport-The Guide to Community Preventive Services: www.thecommunityguide.org/index.html-The National Prevention Strategy: www.surgeongeneral.gov/initiatives/prevention/strategy/report.pdfThe Surgeon Generals Call to Action to Support Breastfeeding: www.surgeongeneral.gov/library/calls/breastfeeding/index.htmlNational Physical Activity Plan: www.physicalactivityplan.orgDietary Guidelines for Americans, 2010: www.cnpp.usda.gov/DGAs2010-PolicyDocument.htmMillion Hearts: www.millionhearts.hhs.govNational Diabetes Prevention Program: www.cdc.gov/diabetes/prevention/index.htmSchool Health Guidelines to Promote Healthy Eating and Physical Activity: www.cdc.gov/mmwr/pdf/rr/rr6005.pdfAccelerating Progress in Obesity Prevention: Solving the Weight of the Nation: www.ion.edu/Reports/2012/Accelerating-Progress-in-Obesity-Prevention.aspx

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.809 National Organizations for Chronic Disease Prevention and Health Promotion ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.439 State Physical Activity and Nutrition (SPAN)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under sections 301 and 317(k)(2) of the Public Health Service Act [42 USC 241(a) and 247(b)(k)(2), as amended].,

OBJECTIVES:

This NOFO supports recipients to implement state and local nutrition and physical activity interventions that support healthy nutrition, safe and accessible physical activity, and breastfeeding within states and/or the District of Columbia

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Recipients may only expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and

services, such as contractual to reduce risk factors, prevent and delay chronic disease.

Applicant Eligibility:

State; Applicants must provide evidence of the authority to direct work on government public health systems at the state and local levels to readily implement this state based program.

Beneficiary Eligibility:

Anyone/general public; State; Applicants must provide evidence of the authority to direct work on government public health systems at the state and local levels to readily implement this state based program.

Credentials/Documentation:

Letter of Intent Criteria used for selection of the target population and geographic areas of focus based on statewide burden data. Evidence of authority to work on government public health systems at the state and local level 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applicants that meet the eligibility criteria must also meet responsiveness criteria in order to advance to objective merit (Phase II) review. After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Recipient Evaluation and Performance Measurement Plan, including Data Management Plan (DMP) reporting is required six (6) months into award performance period. Annual progress reports are required no later than 120 days before end of each budget period and serves as a continuation application. Interim reporting requirements may be delineated in the NOFO. Financial status reports are required annually. Upon completion of the project, final financial status reports are required. Payment Management System (PMS) Reporting is due quarterly. Upon completion of the project performance reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Project Grants FY 20 \$14,088,690.00; FY 21 est \$14,088,690.00; FY 22 est \$14,088,690.00;

Range and Average of Financial Assistance:

Base funding level is \$13,000,000 with potential for up to \$15,000,000 annually for each of the five year budget periods. Based on available funding. \$600,000 - \$1,250,000 annually.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit and identified on the notices of award. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994. Code of Federal Regulations 45 CFR Part 72.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE, NCCDPHP,
Atlanta GA 30341

Email: slh3@cdc.gov

Phone: 770 488 2855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants that meet the eligibility criteria must also meet responsiveness criteria in order to advance to objective merit (Phase II) review. Eligible applications will be reviewed for responsiveness in accordance with the criteria below. Non-responsive applications will not advance Phase II review. Applicants must provide evidence of the authority to direct work on government public health systems at the state and local levels to readily implement this state based program. Applicants must demonstrate established experience and organizational capacity to meet implementation readiness requirements for this NOFO and meet other minimum requirements as provided in the NOFO.

93.441 Indian Self-Determination

(Indian Self-Determination 638 Contracts),

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title 1, Section 102,
Public Law 93-638

OBJECTIVES:

To enable Indian tribes to assume the management and operation of programs, functions, services, and activities (PFSA) for the delivery of health care to Indian people. To enter into a self-determination contract or contracts with Indian tribes or tribal organizations (T/TO) interested in transferring the responsibility for the administration and provision of health services from the government to tribal leadership.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are for the tribes to provide the PFSA transferred from the Indian Health Service (IHS). The funds are not available for construction unless specifically authorized by Congress. Tribes can reallocate funds within their budget from one line item to another without contract modifications. Tribes also receive administrative funds for the contracted programs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Any federally-recognized tribe that formally requests, through a governing body action, a resolution, to enter into a self-determination contract or contracts for the purpose of planning, conducting, and administering programs, or portions thereof, including construction programs, provided that the T/TO submits a contract proposal that conforms to the requirements contained in 25 CFR 900.8 may apply.

Beneficiary Eligibility:

American Indian; Indian tribes will benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The Agency shall, within 30 days of receipt of a notice of intent to contract, provide the tribe pre-proposal technical assistance, if requested to do so. The Agency will also notify the tribe of the amount of funds available to contract, under 106(a) of the ISDA, and begin planning for the transfer of responsibilities and funding to the tribe.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A tribe or tribal organization may submit a proposal for a self-determination contract or a proposal to amend or renew an existing contract. Unless there is a declination issue the Secretary shall within 90 days after receiving the proposal, approve the proposal and award a contract to the tribe. Declination issues are: (A) The service to be rendered to the Indian beneficiaries of the particular program or function to be contracted will not be satisfactory; (B) adequate protection of trust resources is not assured; (C) the proposed project or function to be contracted for cannot be properly completed or maintained by the proposed contract; (D) the amount of funds proposed under the contract is in excess of the applicable funding level for the contract as determined under Section 106(a); or (E) the program, function, service, or activity (or portion thereof) that is the subject of the proposal is beyond the scope of programs, functions, services, or activities covered under paragraph (1) because the proposal includes activities that cannot lawfully be carried out by the contractor.

Award Procedure:

The agency negotiation team and the representatives of the tribe shall develop the agenda for the contract negotiation session(s). The agency negotiation team should assure that any issues identified during the proposal review stage, together with any agency recommendations for overcoming these issues, are raised at the negotiations. Title I contracts are generally awarded at the area office level. They are awarded for a definite period of time (1 to 3 years) or may be designated as "mature" and awarded for a definite or indefinite period of time.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

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Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. Section 102 of the ISDA and Subpart L of the regulations are the basic sections covering the appeal procedures. Section 900.150 of 25 CFR lists the decisions a T/TO can appeal under Subpart L (25 CFR 900.150-169). The procedures here apply to those appeals. Also, 25 CFR Section 900.151 of the regulations describes appeals that are covered under Subpart N of the regulations and other appeals. The T/TO has three options in appealing a decision under 25 CFR 900-150. The T/TO can request an informal conference and/or can file a formal appeal. The T/TO also has the option of going directly to the Federal court at any time.

Renewals:

Other. Annual funding agreements are renegotiated and renewed annually. Title I contracts may be designated as "mature" and awarded for a definite or indefinite period of time.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. A T/TO may use funds provided under a Title I contract to meet matching or close participation requirements under other Federal and nonfederal programs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

n/a Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Section 5(f) of the ISDA requires a T/TO to submit an annual "Single Audit Act" as required by Chapter 75 of Title 31 U.S.C. OMB Circular No. A-133, Audits of States, Local Governments and Nonprofit Organizations, revised June 24, 1997, rescinded circular No. A-128 and superseded the Prior version of A-133. Revised circular No. A-133 now applies to T/TO.

Records:

Department of Health and Human Services (DHHS) and the Comptroller General of the United States or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0391-0-1-551.

Obligations:

Direct Payments for Specified Use FY 20 \$1,317,046,102.00; FY 21 est \$1,785,655,307.00; FY 22 est \$1,082,987,874.00;

Range and Average of Financial Assistance:

\$31,963 to \$25,950,652; \$1,722,872.

TAFS Codes:

75-0391.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 93-638, as amended, Internal Agency Procedures Manual for Contracting Under Title I of the Indian Self-Determination and Education Assistance Act; Indian Health Circular No. 2000-01, "Contract Support Costs apply."

Regional or Local Office:

None. Program Contact: Terri Schmidt, Director, Office of Direct Service and Contracting Tribes, 5600 Fishers Lane, Mail Stop: 08E02, Rockville, MD 20857. Telephone: (301) 443-1104. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857

Email: grantspolicy@ihs.gov

Phone: (301) 443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants who meet the requirements of Section 102 of Public Law 93-638, as amended, and provide a proposal in accordance with 25 CFR 900 will be funded.

93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement

(Tribal Self Governance).

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa-2(e)-(f); 42 CFR 137.24-26.,

OBJECTIVES:

To enable Federally recognized Indian tribes to enter into agreements that would allow them to assume programs, services, functions, and activities (PSFA) (or portions thereof) that the IHS would otherwise provide for Indians because of their status as Indians. To accomplish this objective, the Indian Health Service (IHS) offers Planning and Negotiation Cooperative Agreements each year for: (1) additional tribes interested in participating in the IHS Tribal Self-Governance Program, and (2) existing Self-Governance Tribes that would like to plan for the assumption of new and/or expanded programs. The Planning Cooperative Agreements allow Tribes to gather information to determine the current types and extent of PSFA and funding levels available within its service areas and to plan for the types and extent of PSFA and funding to be made available to the tribe under a compact. The Negotiation Cooperative Agreements provide Tribes with additional funding to defray costs involved in and preparing to negotiate Self-Governance compacts pursuant to Title V of the ISDEAA.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding transferred through a Planning or Negotiation Cooperative Agreement must be used to either: (1) establish and operate programs to provide planning and negotiation resources to Tribes interested in participating in the IHS Tribal Self-Governance Program, or (2) expand current Self-Governance activity.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); For Planning Cooperative Agreements, any Federally recognized tribe that (1) formally requests, through a governing body action, a Planning Cooperative Agreement for the purpose of preparing to enter the IHS Tribal Self-Governance Program and (2) has furnished organization-wide single audit reports as prescribed by Pub. L. 96-

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502, the Single Audit Act of 1984, as amended, for the previous three years that contain no uncorrected significant and material audit exceptions. See OMB Circular No. A-133 (June 27, 2003 and June 26, 2007). For Negotiation Cooperative Agreements, in addition to the two criteria listed above, applicants must have successfully completed the planning phase as described at 42 CFR 137.20.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Federally recognized Indian tribes and tribal organizations (as defined in 25 U.S.C. 458aaa-(b)) may benefit.

Credentials/Documentation:

For planning, costs will be determined in accordance with OMB Circular No. A-87 for State and Local Governments and Indian tribes and applicable grant administration regulations 45 CFR Part 92. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application package may be found in Grants.gov. An electronic copy of a grant application submitted by electronic mail to the IHS will not be accepted.

Award Procedure:

Cooperative agreements are made directly by the IHS to the Indian tribal applicants, based on results of an Objective Review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Within 45 days of IHS deadline.

Appeals:

From 30 to 60 days. Per 42 CFR 137.416, decisions relating to the award of Cooperative Agreements may be appealed under 45 CFR Part 5.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: quarterly

Reports:

Federal cash transaction reports are due 30 days after the close of every calendar quarter to the Payment Management Service (PMS), Payment Management Branch. Please refer to the PMS website (<http://www.dpm.psc.gov/>) for additional guidance. Progress reports are required to be submitted semi-annually and within 30 days after the budget period ends. A final report must be submitted within 90 days of expiration of the budget/ project period. Financial status reports are required to be submitted quarterly within 30 days after the budget period ends. Final financial status reports are due within 90 days of expiration of the budget/project period. Grantees must submit the reports consistent with the applicable deadlines identified in the Standard Grant Conditions. Failure to submit required reports within the time allowed may result in suspension or termination of an active grant, withholding of additional awards for the project, or other enforcement actions such as withholding of payments or converting to the reimbursement method of payment.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

HHS and the Comptroller General of the United States, or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$816,000.00; FY 21 est \$235,721.00; FY 22 est \$840,000.00;

Range and Average of Financial Assistance:

Range \$48,000 to \$120,000

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act (ISDEAA), 25 U.S.C. 458aaa-2(e)-(f); 42 CFR 137.24-26.

Regional or Local Office:

None. Program Contact: Roxanne Houston, Policy Analyst, Office of Tribal Self-Governance, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08E05, Rockville, Maryland 20857. Phone: (301) 443-7821 Fax: (301) 443-1050. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: 301-443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Introduction and Need for Assistance; Project Objective(s), Work Plan and Approach; Program Evaluation; Organizational Capabilities, Key Personnel and Qualifications; Categorical Budget and Budget Justification.

93.446 Partner Actions to Improve Oral Health Outcomes

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 317 of the Public Health Service Act (as amended) [42 U.S.C. Sections 4102(d) of the Patient Protection and Affordable Care Act[42 U.S.C. Section 280k-3],

OBJECTIVES:

To establish oral health leadership and program guidance, oral health data

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collection and interpretation, multi-dimensional delivery system for oral and physical health, and to implement science-based programs (including dental sealants and community water fluoridation) to improve oral and physical health. To strengthen state oral health programs and public health core capacity to decrease dental caries and reduce inequalities in the oral health targeted populations.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Cooperative Agreement funds can be used for planning, implementing and evaluating oral disease prevention and control programs. To strengthen state oral health programs and public health core capacity. To decrease the prevalence of dental caries, decrease oral health disparities, and decrease other co-morbidities associated with chronic diseases found in targeted populations. To improve oral public health programs, including support for program leadership and staff, to monitor oral health risk factors, and for developing and evaluating prevention programs. To support evidenced-based interventions to prevent oral and chronic diseases, including but not limited to community water fluoridation and school-based and school-linked dental sealant programs. To collaborate in the development and support of the National Oral Health Surveillance System. To develop initiatives related to oral disease prevention and chronic disease and health promotion capacity.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, tribal organizations, the Commonwealth of Puerto, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa, or their Bona Fide Agents. For specific funding announcements, eligible applicants may be more limited; for example, State governments, including the District of Columbia, or their Bona Fide Agents

Beneficiary Eligibility:

Anyone/general public; State; States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the methods of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Uniform Guidance: Administrative Requirements, costs Principles, and Audit Requirements for Federal Awards (2 CFR 200) 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After Review and approval, a Notice of Award (NOA) is prepared and processed, along with appropriate notification to the public

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 budget period within a 5 year project period. continuations are based on availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

.Progress reports are required on an annual basis. Progress reports are required on an annual basis. Annual Federal Financial Report is due 90 days after the end of a project. Progress reports, including progress on performance measures, are required on an annual basis.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$550,000.00; FY 21 est \$550,000.00; FY 22 est \$550,000.00;

Range and Average of Financial Assistance:

350,000

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.456 CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order No applicable, , Sections: 207(f), 301 and 1704 of the Public Health Service Act, [42 U.S.C. Sections 209(f), 241, and 300u-3], as amended., , 42

OBJECTIVES:

The purpose of this funding opportunity is to implement a national summer training

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program to introduce undergraduate and graduate students, including but not limited to those from under-represented and underserved racial and ethnic minority populations, to public health and biomedical sciences.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for recruitment, orientation, training, placement, mentorship and follow-up tracking of undergraduate and graduate students. Funds are not used for research. Indirect Cost are limited to 8%.

Applicant Eligibility:

Other public institution/organization; Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Funds are to be used for recruitment, orientation, placement, mentorship and follow-up tracking of undergraduate and graduate students.

Beneficiary Eligibility:

Minority group; Private nonprofit institution/organization; Other private institution/organization; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; OMMHE will collaborate with educational institutions, including those serving minority populations, to increase the knowledge, diversity, and skills of students in public health through internship and fellowship programs.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Office of Minority Health and Health Equity, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

June 01, 2017. The deadline for applications is 06/01/2017, 11:59 p.m. U.S. Eastern Standard Time, at <http://www.grants.gov>.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. The project period is generally limited to 5 years. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The cooperative agreements will have a project period not to exceed 5 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance.

Necessary instructions regarding payment procedure will be provided at the time the award is issued. Method of awarding/releasing assistance: letter

Reports:

The awardee must submit an annual performance report (APR) via www.grants.gov no later than 120 days before the end of the budget period. The annual FFR form (SF-425) is required and must be submitted 90 days after the end

of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to OGS and include the date by which the Grants Officer will receive information. A final performance report is due 90 days following the end of the project period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is covered under 45 CFR 75, Subpart F - Audit Requirements.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 75.361 grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$2,745,067 to \$3,000,000.

TAFS Codes:

75-2160.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Public Health Analyst Glynnis Taylor,
2900 Woodcock Boulevard,
Atlanta GA 30013
Email: gld1@cdc.gov
Phone: 7704881387

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria is published in the Funding Opportunity Announcement (FOA) in www.grants.gov. Listed are the criteria used to review applications: (1) approach; (2) evaluation and performance measurement; and (3) applicant's organizational capacity to implement the approach.

93.461 HRSA COVID-19 Claims Reimbursement for the Uninsured Program and the COVID-19

ASSISTANCE LISTINGS DESCRIPTIONS

Coverage Assistance Fund

HRSA COVID-19 Claims Reimbursement for the Uninsured Program and the COVID-19 Coverage Assistance Fund.

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Families First Coronavirus Response Act (FFCRA), Part Title V, Division A, , Public Law 116-127, Title Paycheck Protection Program and Health Center Enhancement Act, Part Title I, Division B, , Public Law 116-139, Title the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Part Title VIII, Division B., Section , Public Law 116-136, Title Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA), , Public Law 116-260, Title The American Rescue Plan Act (ARPA), , Public Law 117-2

OBJECTIVES:

The COVID-19 Claims Reimbursement to Health Care Providers and Facilities for Testing, Treatment, and Vaccine Administration for the Uninsured Program also known as the Uninsured Program provides claims reimbursement to eligible health care providers for conducting COVID-19 testing for the uninsured, treating uninsured individuals with a COVID-19 diagnosis, and administering FDA-authorized or licensed COVID-19 vaccines to uninsured individuals. The COVID-19 Coverage Assistance Fund (CAF) provides COVID-19 vaccine administration fee claims reimbursement to eligible health care providers who provide COVID-19 vaccines to patients enrolled in health coverage that either does not cover vaccine administration fees, or does cover the administration fees but has patient cost-sharing.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Uninsured Program This funding is for eligible health care providers to conduct COVID-19 testing, treatment, and vaccine administration for uninsured COVID-19 individuals on or after February 4, 2020. This funding may only be used for: a.Reimbursement of costs for COVID-19 testing and testing-related items for individuals who do not have coverage through an individual or employer-sponsored plan, a federal healthcare program, or the Federal Employees Health Benefits Program at the time the services were rendered. b.When the testing fund is depleted, reimbursement of costs for COVID-19 testing and testing-related items for individuals who do not have any health care coverage at the time the services were rendered. c.Reimbursements of costs for COVID-19 treatment as determined by the program for individuals who do not have any health care coverage at the time the services were rendered. d. Reimbursements of costs for COVID-19 vaccine administration fee(s) as determined by the program for individuals who do not have any health care coverage at the time the services were rendered. CAF This funding is for eligible health care providers that administered Food and Drug Administration (FDA) authorized COVID-19 vaccines under an Emergency Use Authorization (EUA) or FDA-licensed COVID-19 vaccines under a Biologics License Application (BLA) to underinsured individuals. This funding may only be used for: a.Reimbursements of costs for COVID-19 vaccine administration, and for patient charges related to COVID-19 vaccination, including co-payments for vaccine administration, deductibles for vaccine administration, and co-insurance, for patients whose health insurance does not cover vaccine administration fees, or does but has patient cost-sharing.

Applicant Eligibility:

Other public institution/organization; Other private institutions/organizations; Uninsured Program Eligible health care providers who have conducted testing, treatment, and vaccine administration of uninsured individuals for COVID-19 can request claims reimbursement. For COVID-19 testing or testing-related services prior to February 17, 2021, an individual is considered uninsured if the individual did not have coverage through an individual or employer-sponsored plan, a federal health care program, or the Federal Employees Health Benefits Program at the time the services were rendered. For testing and testing-related services on or after February 17, 2021, and for COVID-19 treatment and vaccine administration on or after February 4, 2020, individuals are considered uninsured if they did not have any health care coverage at the time the services were rendered. To participate, providers must attest to the following at registration: They have checked for health

care coverage eligibility and confirmed that the patient is uninsured (verified that the patient does not have coverage such as individual, employer-sponsored, Medicare or Medicaid coverage, and no other payer will reimburse you for COVID-19 testing and/or care for that patient.) They will accept defined program reimbursement as payment in full. They will agree not to balance bill the patient. They will agree to program terms and conditions and may be subject to post-reimbursement audit review. All claims submitted must be complete and final, and no interim bills or corrected claims are accepted. There are no adjustments to payment once claims reimbursements are made. CAF Eligible health care providers that have administered Food and Drug Administration (FDA) authorized COVID-19 vaccines under an Emergency Use Authorization (EUA) or FDA-licensed COVID-19 vaccines under a Biologics License Application (BLA) to underinsured individuals can request claims reimbursement through the CAF. Reimbursement may be requested electronically and, subject to available funding, will be reimbursed at the national Medicare rate for the vaccine administration fee or for patient cost-sharing related to COVID-19 vaccination, including co-payments for vaccine administration, deductibles for vaccine administration, and co-insurance. To participate, participants must attest to the following at the time of enrollment: They have submitted a claim to the patient's primary health insurance plan and there is a remaining balance from that health insurance plan that either does not include COVID-19 vaccine administration as a covered benefit or covers COVID-19 vaccine administration but with cost-sharing. They have verified that no other third-party payer will reimburse them for COVID-19 vaccine administration fees for that patient encounter, or other patient charges related to that COVID-19 vaccination, including co-pays for vaccine administration, deductibles for vaccine administration, and co-insurance. They will accept defined program reimbursement as payment in full. They agree not to balance bill the patient. They agree to program terms and conditions and may be subject to post-reimbursement audit review.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Eligible health care providers who have conducted COVID-19 testing or provided treatment for uninsured individuals with a COVID-19 primary diagnosis, on or after February 4, 2020, can request claims reimbursement through the program electronically and will be reimbursed generally at Medicare rates, subject to available funding. Providers who have administered COVID-19 vaccines to uninsured individuals can also request claims reimbursement through the program. Eligible providers may also seek appropriate claims reimbursement for COVID-19 vaccine administration fees dated on or after December 14, 2020 for health plans that either do not cover vaccination fees or cover them with patient cost-sharing. Claims must be submitted electronically and are subject to available funding. Steps for claims reimbursement involve: enrolling as a provider participant, checking patient eligibility, submitting patient information, submitting claims, and receiving payment via direct deposit. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Sections of 45 CFR part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program refer to compliance requirements below for additional details. Uninsured Program Providers should go to <https://coviduninsuredclaim.linkhealth.com/> to enroll. CAF Providers should go to the following link to enroll in the COVID-19 Coverage Assistance Fund: <https://covid19coverageassistance.ssi.gov.com/>.

Award Procedure:

Reimbursement will be made for qualifying expenses for COVID-19, including the following: Specimen collection, diagnostic and antibody testing Testing-related visits including in the following settings: office, urgent care or emergency room, or telehealth Treatment Vaccine administration

Deadlines:

Uninsured Program

Applicants can begin submitting claims on May 6, 2020. Key program dates

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include: Sign up period begins for the program on April 27, 2020; claims can be submitted starting May 6, 2020; reimbursements will begin disbursement on May 18, 2020.

CAF

Applicants can begin submitting claims on May 3, 2021. Key program dates include: Sign up period begins for the program on May 3, 2021; claims can be submitted starting May 3, 2021; reimbursements will begin disbursement on June 10, 2021.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

March 18, 2020 until expended Method of awarding/releasing assistance: other

Reports:

All providers receiving payments will be required to comply with the reporting requirements described in the Terms and Conditions and specified in future directions issued by the Secretary. The specific reporting obligations imposed on providers receiving \$150,000 or more from any Act primarily making appropriations for the coronavirus response and related activities, which is a statutory requirement, begins for the calendar quarter ending June 30. The Secretary may request additional reports prior to that date. HHS will provide guidance in the future about the type of documentation we expect recipients to submit.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR part 75, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards during the entities fiscal year will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.503 but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). HHS Regulations at 45 CFR 75.501 differ from 2 CFR 200.501 and impose audit requirements on commercial organizations. Refer to 45 CFR 75.501(i-j) for additional information.

Records:

Account Identification:

75-0140-0-1-550.

Obligations:

Direct Payments for Specified Use FY 20 \$492,289,244.00; FY 21 est \$479,312,222.00; FY 22 est \$0.00; Direct Payments for Specified Use FY 20 \$150,000,000.00; FY 21 est (\$1,242,700,746.00); FY 22 est (\$2,094,967,296.00); Direct Payments for Specified Use FY 20 \$740,376,734.00; FY 21 est \$562,387,954.00; and FY 22 est \$0.00; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est (\$1,496,967,296.00); and FY 22 est \$2,000,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: As of June 2021, over \$7 billion in funding has been obligated

for claims reimbursement as part of the COVID-19 Uninsured Program and COVID-19 Coverage Assistance Fund.

REGULATIONS, GUIDELINES, AND LITERATURE:

See

website(s) <https://www.hhs.gov/coronavirus> <https://www.hrsa.gov/CovidUninsuredClaim> <https://www.hrsa.gov/covid19-coverage-assistance>

Regional or Local Office:

None.

Headquarters Office:

Health Resources and Services Administration,
5600 Fishers Lane,
Rockville MD 20857

Email: ProviderReliefContact@hrsa.gov

Phone: (866) 569-3522

Fax:

Website Address:

<https://www.hrsa.gov/coviduninsuredclaim>; <https://www.hrsa.gov/covid19-coverage-assistance>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.462 Strengthening the Technical Advancement & Readiness of Public Health via Health Information Exchange Program

STAR HIE,

FEDERAL AGENCY:

OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION TECHNOLOGY (ONC), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Coronavirus Aid, Relief, and Economic Security (CARES) Act (CARES Act), , Public Law 116-136

OBJECTIVES:

This program provides an opportunity to strengthen and expand the ability of Health Information Exchanges (HIEs) to support public health agencies in communities and states. There is a clear need for new data and new approaches for public health programs, including approaches made possible by modern computing. For example, case investigation and contact tracing can be augmented by new data and new approaches to better identify the sources of a disease and help prevent additional outbreaks.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Health information exchange infrastructure Public health agencies Please refer to the notice of funding opportunity for how these funds can be utilized.

Applicant Eligibility:

State; U.S. Territories and possessions; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; The funding opportunity is a limited competition. It is limited to U.S.-based HIE entities. This includes non-profit public or for-profit HIE entities. However, recipients are not able to make a profit on activities funded by the cooperative agreement per federal requirements. Applicants must provide an attestation that the applicant is a Health Information Exchange or Health Information Network as defined in 45 CFR 171.102. Entities may enter into multi-organizational and/or regional agreements to apply to this NOFO. However, one singular entity must be

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the applicant; act as the responsible agent; submit the application on behalf of all entities involved; partners, who must provide written documentation affirming the applicant's role as their agent; and maintain accountability for the proper use of federal funds. ONC hopes to distribute funding throughout the country. ONC does not intend to award duplicative service areas.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Non Profit Entities must submit proof of their non profit status. Any applicant including indirect costs in their budget, must provide an approved indirect cost rate agreement. State allocations plans will not be considered. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awarding agency will issue the NOFO for up to a 30-day window. At the end of the application period, the awarding agency will review all applications. The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Recipients will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement their pilot. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements and it is the expectation of the awarding agency that the awardees work together in carrying out the activities contemplated in the agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable. The awarding agency may issue a 30-day rework period should the majority of the applicants fail to submit the required objectives as described in the notice of funding opportunity. There will be no appeals process for this program.

Renewals:

Other. Recipients may be eligible for a no cost extension at the end of the period of performance if the Awarding agency deems it necessary. Program evaluation will be on going to determine if this is necessary.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

These funds will be available for a 24 month period of performance. All expenditures must be made during the period of performance. Funding will be available up to 90 days after the expiration of the period of performance for final award reconciliation. Method of awarding/releasing assistance: other

Reports:

Recipients will be required to submit semi-annual programmatic progress, annual programmatic progress, and a final report to ONC at the end of the period-of-performance. Semi Annual Federal Cash Transaction Reports are required to be submitted to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit written semi-annual programmatic progress reports describing achievements and plans toward meeting specified goals, as well as a final financial and reconciliation report of award expenditures as part of the program close-out. The ONC Project Officer may conduct regular performance monitoring through phone calls and site visits as

deemed necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients will be required to maintain all award related documents, including but not limited to project plans, procedures and results, expenditures, and contracts and agreements (proprietary information may be redacted). All cooperative agreement-related records, including cooperative agreement-related accreditations, are required to be maintained for no less than 3-years following the submission of their final reports.

Account Identification:

75-0140-0-1-551.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 19 Not Available; FY 20 est \$2,500,000.00; and FY 21 Not Available;

Range and Average of Financial Assistance:

Anticipated amount of each award is approximately \$0 - \$500,000.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; 45 CFR 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards

Regional or Local Office:

None. Carmel Halloun 330 C Street, SW Washington, D.C.

20201 carmel.halloun@hhs.gov

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov

Phone: 2027202919

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employee and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals based on the merit review criteria of: Understanding of Project Purpose and Potential for Impact, Technical Approach, Work Plan, and Activities Applicant Capabilities Collaboration, Partnerships, and Sub-recipient Management (Sub-recipients) Budget Narrative and Justification Sustainability and Knowledge Dissemination A detailed list of merit review criteria in these areas will be detailed in the notice of funding opportunity.

93.464 ACL Assistive Technology

State Grants for Assistive Technology,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND

ASSISTANCE LISTINGS DESCRIPTIONS

HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Assistive Technology Act of 1998, As Amended,

OBJECTIVES:

The 2004 amendments to the Assistive Technology Act of 1998 supports State efforts to improve the provision of assistive technology to individuals with disabilities through comprehensive statewide programs of technology-related assistance, for individuals with disabilities of all ages.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are awarded to carry out the functions authorized under the Act. States may provide assistance to Statewide community-based organizations or directly to individuals with disabilities of all ages. Technical assistance contracts support States and other entities. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under the Act.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State; States, including the District of Columbia, Puerto Rico, and outlying areas may apply. Applicants are designated by Governors.

Beneficiary Eligibility:

State; Disabled (e.g. Deaf, Blind, Physically Disabled); Public nonprofit institution/organization; Individuals with disabilities, States, and community-based organizations providing services to individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

State plans are reviewed by ACL staff.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annual formula grants. Method of awarding/releasing assistance: other

Reports:

Annual reports are required. Quarterly cash reports are required. Annual

expenditure reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Recordkeeping requirements and regulations are included in the statute.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$29,204,000.00; FY 21 est \$29,704,000.00; FY 22 est \$35,200,000.00;

Range and Average of Financial Assistance:

To Be Determined

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

Headquarters Office:

Robert Groenendaal,
330 C Street SW Room 1317B,
Washington DC 20201
Email: Robert.Groenendaal@acl.hhs.gov
Phone: 202-795-7356

Website Address:

<https://www.acl.gov/node/411>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in the regulations. Contact the Program Office for more information.

93.465 Tobacco Prevention and Control Legal Technical Assistance

Legal TA,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under Section 307 of the Public Health Service Act, Comprehensive Smoking Education Act of 1984, and Comprehensive Smokeless Tobacco Health Education Act of 1986.,

OBJECTIVES:

The awardee will provide legal technical assistance and consultation to OSH and NTCP grantees awardees on questions related to the identification, development, adoption, and implementation of evidence-based interventions and policies

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nationwide. This project will assure that all CDC-funded grantees awardees have access to legal technical assistance from tobacco prevention and control legal experts, as well as keep CDC informed of tobacco control-related legal issues that may affect NTCP goals and strategic planning.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Assistance can be used to pay for employee salaries, overheads, travel costs, and other expenses directly related to achievement of the strategies and activities listed in the FOA towards the purpose of fulfilling obligations related to providing legal technical assistance to CDC and its awardees.

Applicant Eligibility:

Sponsored organization; Minority group; In addition to the above list, legal centers are eligible for this FOA. Due to the strategies, activities and approaches required by this cooperative agreement, it is essential that the potential awardee has a) deep institutional knowledge specific to current and historical U.S. tobacco control issues, b) is an experienced national organization with capacity to work at the national level in tobacco control legal TA, and c) does not have any present or historical links to the tobacco industry and should provide a statement to such effect.

Beneficiary Eligibility:

Federal; U.S. Territories; Anyone/general public; Native American Organizations; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; The immediate beneficiaries of the program include the federal government, state, local, territorial and Federally Recognized Indian Tribal Governments, as well as other CDC Office on Smoking and Health Awardees such as the National Networks, which include a range of non profit organizations. The ultimate beneficiary of the program is the general public, as the program seeks to support population-wide environmental changes that help to transform the nations health and provide individuals with equitable opportunities to take charge of their health. Smokers are more likely to be in lower socioeconomic groups; tobacco control work is a proven strategy to reduce health inequities.

Credentials/Documentation:

Staff resumes/ CVs including legal education and experience credentials; letters of recommendation/support. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

This FOA will undergo PGO review for completeness (Phase I review) and objective review by a review panel (Phase II) with points assigned to each of the requested criteria in the application. The proposer with the highest score will be awarded the Cooperative Agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Within 30 days after Phase II review is complete.

Appeals:

Other. None

Renewals:

Not Applicable. Not applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of time for this Funding Opportunity Announcement is for five years from the date of award. The money awarded should be spent within one year of the end of the funding period. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Data on performance measures and on financial reporting should be maintained throughout the five year cooperative agreement.

Records:

Data on performance measures and on financial reporting should be maintained throughout the five year cooperative agreement.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$385,000.00; FY 21 est \$385,000.00; FY 22 est \$385,000.00;

Range and Average of Financial Assistance:

\$250,000 per year for 5 years

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy.,
Atlanta GA 30341
Email: Slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are based on the relevance to the programs objectives, qualification of lead applicant and institution, and merit of the proposal.

93.469 Assistive Technology Alternative Financing Program

AT AFP,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Consolidated Appropriations Act, 2017 (P.L. 114-254), , Public Law 114-254, Title Further Continuing Appropriations Act, 2020, and Further Health Extenders Act of 2019. (P.L. 116-69), Public Law 116-69.,

OBJECTIVES:

The purpose of the Assistive Technology (AT) Alternative Financing Program (AFP) is to support programs that provide for the purchase of AT devices and services, such as low-interest loan fund, an interest buy-down program, a revolving

ASSISTANCE LISTINGS DESCRIPTIONS

loan fund, and a loan guarantee program.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

One-year grant awards are used to support the establishment or expansion of Alternative Financing Programs (AFPs) that assist individuals with disabilities of any age to obtain financial assistance for AT devices and services. AFPs must be designed to allow individuals with disabilities of all ages and their family members, guardians, advocates, and authorized representatives to purchase AT devices or services. The purchase must be solely for the benefit of an individual with a disability.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; State agencies and community-based disability organizations that are directed by and operated for individuals with disabilities shall be eligible to compete.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Profit organization; Anyone/general public; Public nonprofit institution/organization; Other public institution/organization; The purpose of the Assistive Technology (AT) Alternative Financing Program (AFP) is to support programs that provide for the purchase of AT devices and services, such as low-interest loan fund, an interest buy-down program, a revolving loan fund, and a loan guarantee program. Successful applicants must emphasize consumer choice and control and build programs that will provide financing for the full array of AT devices and services and ensure that all people with disabilities, regardless of type of disability or health condition, age, level of income and residence have access to the program.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Secretary of Health and Human Services determines which applicants receive Assistive Technology Alternative Financing Program grants and the amount of those grants, on the basis of the applications under the priority and other criteria the Secretary determines to be appropriate.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

One year project period with the possibility of a no-cost extension. Method of awarding/releasing assistance: lump sum.

Reports:

The submission of the SF-425 (Federal Financial Report) is required. The reporting cycle will be reflected in the Notice of Award. The program progress report is due semi-annually from the start date of the award and is due within 30 days of the reporting period end date. The final progress report and SF-425 reports are due 90 days after the end of the project period. Grantees are required to complete the

federal cash transactions portion of the SF-425 within the Payment Management System as identified in their award documents for the calendar quarters ending 3/31, 6/30, 9/30, and 12/31 through the life of their award. In addition, the fully completed SF-425 will be required as denoted in the Notice of Award terms and conditions. The submission of the SF-425 (Federal Financial Report) is required. The reporting cycle will be reflected in the Notice of Award. The program progress report is due semi-annually from the start date of the award and is due within 30 days of the reporting period end date. The final progress report and SF-425 reports are due 90 days after the end of the project period. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Management System as identified in their award documents for the calendar quarters ending 3/31, 6/30, 9/30, and 12/31 through the life of their award. In addition, the fully completed SF-425 will be required as denoted in the Notice of Award terms and conditions. The submission of the SF-425 (Federal Financial Report) is required. The reporting cycle will be reflected in the Notice of Award. The program progress report is due semi-annually from the start date of the award and is due within 30 days of the reporting period end date. The final progress report and SF-425 reports are due 90 days after the end of the project period. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Management System as identified in their award documents for the calendar quarters ending 3/31, 6/30, 9/30, and 12/31 through the life of their award. In addition, the fully completed SF-425 will be required as denoted in the Notice of Award terms and conditions.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

75-0142-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

The average award amount for three grants issued in FY 2017 is \$664,670 for a total of \$1,994,009. The average award amount for three grants issued in FY 2018 is \$473,733. In FY 2019 is three awards averaging \$579,541 were issued for a total of \$1,738,624, and 4 additional administrative supplement awards (totaling \$251,728) were also issued, for an FY 2019 grand total of \$1,990,352. Three grant awards are planned in FY 2020 for a total of \$1,989,166.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Robert Groenendaal,
330 C Street SW Room 1317B,
Washington DC 20201
Email: Robert.Groenendaal@acl.hhs.gov
Phone: 202-795-7356

Website Address:

<https://www.acl.gov/programs/assistive-technology/assistive-technology>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application proposals will be reviewed based on the following selection criteria: Proposed Intervention, Special Target Populations and Organizations, Problem Statement, Project Personnel, Organization Capacity, Project Management, Project

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Evaluation, Budget, and Assurances.

93.470 Alzheimers Disease Program Initiative (ADPI)

Alzheimers Disease Program Initiative (ADPI),

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Older Americans Act Title IV,

OBJECTIVES:

The purpose of the Alzheimers Disease Program Initiative (ADPI) is to work at state and community levels to develop and expand the availability of dementia-capable supports and services for persons with Alzheimer's disease and related dementias (ADRD), their families, and their caregivers. Funded programs will bring dementia capability to the communities they serve through expansion of existing home and community based service systems. ADPI programs include dementia-capable direct services and supports, translation and implementation of evidence based interventions designed to improve the lives of persons with dementia and their caregivers, as well as dementia specific training for formal and informal caregivers. All funded initiatives will include robust, outcome-based evaluations designed to demonstrate program impact and support future translation successful program components.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Program funds must be used in the implementation of projects described above, including direct services in the areas noted in the funding opportunity announcement. A significant percentage of the federal grant must be spent on direct services (home health care, personal care, day care, companion services, short-term care in health facilities, and other respite care to individuals with Alzheimer's disease and related disorders). Grant funds may not be used to replace existing resources: State compensation program, Federal or state health benefits program, or private insurance funds. Matching funds are required.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Funding eligibility is limited to public and/or private entities that are able to 1) demonstrate the existence of and their operation within a dementia-capable home and community-based system dedicated to the population that they serve, and 2) articulate opportunities and additional services that would enhance and strengthen the existing system. Community program applicants are not eligible to apply for or receive more than one grant through the ADPI program. States may only hold a single grant at any one time. Individuals, foreign entities and sole proprietorship organizations are not eligible to compete for, or receive awards under this announcement.

Beneficiary Eligibility:

Individual/Family; State; U.S. Citizen; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Eligible consumers of eligible applicants are the beneficiaries of this program, including (1) Individuals with Alzheimer's disease and related disorders; (2) families of those individuals; and (3) care providers of those individuals.

Credentials/Documentation:

Applicants determined to be eligible under the responsiveness criteria of the funding opportunity must submit: (1) A description of intended use of the grant; (2) information describing programs and activities to be supported; (3) the anticipated number of individuals receiving services and the cost of services; and (4) a plan

describing coordination of such services. Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is open to both public and private entities, so both circulars 102 and 110 could be applicable dependent on who the applicant is.

Award Procedure:

Application forms are submitted through a centralized depository and received by the awarding agency Administration for Community Living. Applicants generally apply under a program announcement indicating all the necessary application procedures to be followed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Depending on the posting date of the funding announcement, the anticipated application due date is approximately 60 days from that date.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. This program has no statutory formula.25%. The required match for this program is 25%, with applicants having the opportunity to apply for waivers of a portion of the match. Match will not be lower than 15%. The ADPI funding opportunity announcement will include a detailed section describing the ways in which grantees can provide in-kind match to meet these requirements.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are typically 3 years in duration. Length of future awards will be published in the Funding Opportunity Announcement and on Grants.gov. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: letter

Reports:

As outlined in the Funding Opportunity Announcement. Semi-annual progress reports and final reports are required of grantees. Financial SF-425, Performance monitoring accomplished through site visits, review of progress reports and general interaction between the Federal Program Officer and grantee. Payment Management System reporting. See Program Funding Opportunity Announcement. SF425. Through site visits and reports. Payment Management System reporting. See Program Announcement. SF425. As outlined in the Funding Opportunity Announcement. Semi-annual progress reports and final reports are required of grantees. Financial SF-425, Performance monitoring accomplished through site visits, review of progress reports and general interaction between the Federal Program Officer and grantee. Payment Management System reporting. See Program Funding Opportunity Announcement. SF425. Through site visits and reports. Payment Management System reporting. See Program Announcement. SF425. As outlined in the Funding Opportunity Announcement. Semi-annual progress reports and final reports are required of grantees. Financial SF-425, Performance monitoring accomplished through site visits, review of progress reports and general interaction between the Federal Program Officer and grantee. Payment Management System reporting. See Program Funding Opportunity Announcement. SF425. Through site visits and reports. Payment Management System reporting. See Program Announcement. SF425. Through site visits and reports. Payment Management System reporting. See Program Announcement. SF425. Through site visits and reports.

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Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$23,500,000.00; FY 21 est \$20,079,593.00; FY 22 est \$30,060,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must also comply with polices outlined in HHS Grants Policy Statement.

Regional or Local Office:

None. See local office.

Headquarters Office:

Team Lead Health and Prevention Programs Erin Long,
330 C Street SW,

Washington DC 20201

Email: Erin.Long@ACL.HHS.gov

Phone: 202-795-7389

Fax:

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.052 National Family Caregiver Support, Title III, Part E;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be evaluated by experts in the field and awards made on a competitive basis. Specific details for evaluating applications included in the Funding Opportunity Announcement.

93.471 Title IV-E Kinship Navigator Program

Kinship Navigator,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV, Part E - Federal Payments for Foster Care Prevention, and Permanency, Section 474(a)(7)

OBJECTIVES:

The Title IV-E Kinship Navigator program helps states, Indian tribes, tribal organizations and tribal consortia (tribes) that also operate the title IV-E foster care and adoption assistance programs to operate kinship navigator programs. Kinship navigator programs assist kinship caregivers in learning about, finding, and using programs and services to meet the needs of the children they are raising and their own needs. They also promote effective partnerships among public and private agencies to ensure kinship caregiver families are served. Title IV-E kinship navigator programs must meet grant requirements in section 427(a)(1) of the Social Security Act (the Act) and must also be operated in accordance with promising, supported, or well-supported practice standards specified in section 471(e)(4)(C) of the Act. Services are to be provided to children and families without regard to childrens eligibility for title IV-E foster care maintenance payments.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

These are formula grant funds and may be used by the state or local (under state supervision) child welfare agency or by the tribal child welfare agency for Federal Financial Participation (FFP) at a reimbursement rate of 50% in the costs of operating a Kinship Navigator program.

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; State and Tribal agencies directly administering approved title IV-E Plans may participate in the Kinship Navigator program.

Beneficiary Eligibility:

Individual/Family; Kinship caregivers and the children they are raising are eligible beneficiaries.

Credentials/Documentation:

No credentials or documentation required. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Applications are made in the form of an attachment to the title IV-E plan, prepared in the format provided by the ACF Children's Bureau (CB). Title IV-E plans and amendments are submitted to the ACF Regional Child Welfare Program Manager for approval. See ACF Program Instruction ACYF-CB-PI-18-11, dated November 30, 2018 for additional information as well as ACYF-CB-PI-

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21-05.

Award Procedure:

Funding is awarded in accordance with approved quarterly estimates and claims submitted on a federal financial report (Form CB-496) from each grantee agency.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The Administration for Children and Families will approve or disapprove applications within 45 days of receipt.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Once a title IV-E agency is approved to administer a Title IV-E Kinship Navigator program, no further renewals are required.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. The non-Federal share of program costs (difference between total cost and the 50% Federal Financial Participation rate) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from State agencies must be appropriated monies raised from non-Federal revenue sources. Tribal agencies must also use appropriated monies, but may at the option of the tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Federal Financial Participation is available to a state or tribe operating under an approved title IV-E plan. Funds are made available via the Division of Payment Management. Method of awarding/releasing assistance: quarterly

Reports:

Expenditure reports are submitted on form CB-496 Title IV-E Programs Quarterly Financial Report. Tangible Personal Property Reports: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. ACF recipients must follow the audit requirements outlined in 45 CFR Part 75, Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

States and tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with requirements in Health and Human Services regulations at 45 CFR Part 75, and written guidance from the Administration for Children and Families. All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1545-0-1-609.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$2,500,000.00; FY 22 est \$2,500,000.00;

Range and Average of Financial Assistance:

No funds have been appropriated for this program to date. The FY 2021 estimated range is \$100,000 - \$150,000 and the anticipated average award is \$125,000.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No grants were awarded to states or tribes in FY 2020. Fiscal Year 2021: It is anticipated that 20 grants will be awarded in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director of the Division of Program Implementation Liliana Hernandez,

330 C Street SW, Room 3507B,

Washington DC 20201

Email: liliana.hernandez@acf.hhs.gov

Phone: (202)205-8086

Fax:

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.590 Community-Based Child Abuse Prevention Grants; 93.658 Foster Care Title IV-E; 93.556 Promoting Safe and Stable Families; 93.645 Stephanie Tubbs Jones Child Welfare Services Program; 93.090 Guardianship Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.472 Title IV-E Prevention Program

Title IV-E Prevention Services,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

OBJECTIVES:

The Title IV-E Prevention Program, enacted as part of Public Law (P.L.) 115123, authorized new optional Title IV-E funding for time-limited prevention services for mental health, substance abuse, and in-home parent skill-based programs for children or youth who are candidates for foster care, pregnant or parenting youth in foster care, and the parents or kin caregivers of those children and youth. These services or programs are available when the needs of the child, a parent, or a caregiver are directly related to the safety, permanence, or well-being of the child or to preventing the child from entering foster care. Services are to be provided to children and families without regard to children's eligibility for Title IV-E foster care maintenance payments.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The state or tribal Title IV-E agency may claim for Federal Financial Participation (FFP) at a reimbursement rate of 50% in the costs of operating a prevention services program. For state Title IV-E agencies, funds may be used only for prevention services or programs that the Secretary determines are operated in accordance with promising, supported, or well-supported practices that meet the applicable criteria specified for the practices in section 471(e)(4)(C) of the Social Security Act (Act). At least 50 percent must be spent each federal fiscal year (FFY)

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for the provision of services or programs that are provided in accordance with well-supported practices. Program services provided on behalf of an eligible child are limited to a one-year period. Tribal Title IV-E agencies may determine the practice criteria for family services and programs that are adapted to the culture and context of the tribal communities served. Tribal Title IV-E agencies are not subject to the requirement for states that at least 50 percent of the amounts expended for the Title IV-E prevention program must be for programs and services that meet the well-supported practice criteria.

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; State and Tribal agencies directly administering approved Title IV-E Plans may participate in the prevention services program. State and tribal Title IV-E agencies electing to provide the Title IV-E prevention program must submit a five-year Title IV-E prevention program plan (five-year plan) that meets the statutory requirements.

Beneficiary Eligibility:

Individual/Family; Children designated as candidates for foster care and those who are pregnant/parenting in foster care along with the parents or kin caregivers of these children.

Credentials/Documentation:

No credentials or documentation required. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program. Title 45 CFR Part 75 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Applications are made in the form of a five-year plan incorporated into the Title IV-E plan, prepared in the format provided by the ACF Children's Bureau (CB). Title IV-E plans and amendments are submitted to the ACF Regional Office Child Welfare Program Manager for approval. See ACF Program Instruction ACYF-CB-PI-18-09 and ACYF-CB-PI-18-10, both dated November 30, 2018 for additional information.

Award Procedure:

Funding is awarded in accordance with approved quarterly estimates and claims submitted on a federal financial report (Form CB-496) from each recipient agency. Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The Administration for Children and Families will approve or disapprove applications within 45 days of receipt.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. A Title IV-E agency must be approved to administer a Title IV-E Prevention Services program through submission of a five-year Title IV-E prevention plan with respect to each five-year period for which the plan component is in operation.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. The non-Federal share of program costs (difference between total cost and the 50%

Federal financial participation rate) in each funding category constitutes the required match to be met by the Title IV-E agency. Matching funds from State agencies must be appropriated monies raised from non-Federal revenue sources. Tribal Title IV-E agencies must also use appropriated monies, but may at the option of the tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs. This program has MOE requirements, see funding agency for further details. Additional Information: State expenditures (excluding amounts used to match federal funds) for federal assistance program purposes for the FFY must at least be maintained at the established base level (FFY 2014 or alternate applicable year) amount.

Length and Time Phasing of Assistance:

Federal financial participation is available to a state or tribe operating under an approved Title IV-E plan. Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds. Method of awarding/releasing assistance: quarterly

Reports:

Expenditure reports are provided on form CB-496 Title IV-E Programs Quarterly Financial Report. Tangible Personal Property Reports: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. ACF recipients must follow the audit requirements outlined in 45 CFR Part 75. 45 CFR Subpart F applies to this program.

Records:

States and tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of determinations of eligibility, utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with requirements in Health and Human Services regulations at 45 CFR 75, and written guidance from the Administration for Children and Families. All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1545-0-1-609.

Obligations:

Formula Grants FY 20 \$2,533,560.00; FY 21 est \$85,000,000.00; FY 22 est \$85,000,000.00;

Range and Average of Financial Assistance:

This program is still in the early stages of implementation. In FY 2020, grants to states ranged from \$326,574 to \$1,626,986 with an average of \$844,520. There were no FY 2020 grants to tribes.

TAFS Codes:

75-1545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 3 grants were awarded in FY 2020. Fiscal Year 2021: It is anticipated that 5 grants will be awarded in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355 - 1356.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Elaine Stedt,
Office on Child Abuse and Neglect, 330 C Street SW, Room 3403,
Washington DC 20447
Email: elaine.stedt@acf.hhs.gov
Phone: 2022057941
Fax:

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Stephanie Tubbs Jones Child Welfare Services Program; 93.658 Foster Care Title IV-E;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

These are formula grants for eligible agencies with approved Title IV-E plans.

93.478 Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees

N/A.

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

To support States in their work to save and sustain the health of mothers during pregnancy, childbirth, and in the postpartum period, to eliminate disparities in maternal health outcomes for pregnancy-related and pregnancy-associated deaths and for other purposes., Title Maternal Health Accountability Act of 2017, Part (d) MATERNAL MORTALITY REVIEW COMMITTEES, Section SEC. 2. SAFE MOTHERHOOD

OBJECTIVES:

This funding will support existing Maternal Mortality Review Committees to identify and characterize maternal deaths for identifying prevention opportunities. Awardees will identify pregnancy-associated deaths within one year of death; abstract and enter clinical and non-clinical data into a standard data system [Maternal Mortality Review Information Application (MMRIA)], conduct multidisciplinary reviews, and enter committee decisions in MMRIA within 2 years of death. Quality assurance processes, in partnership with CDC, will be used for improving data quality, completeness, and timeliness. Awardees will analyze data and share findings with stakeholders to inform policy and prevention strategies to reduce maternal deaths. This funding will support existing Maternal Mortality Review Committees to identify and characterize maternal deaths for identifying prevention opportunities. Awardees will identify pregnancy-associated deaths; abstract clinical and non-clinical data into a standard data system (MMRIA); conduct multidisciplinary reviews; and enter committee decisions into MMRIA. Awardees will use quality assurance processes, in partnership with CDC, to improve data quality and timeliness. Awardees will analyze data and share findings with stakeholders to inform policy and prevention strategies that reduce maternal deaths.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to support core MMRC functions (i.e. abstraction, analysis, coordination, meeting logistics, dissemination activities, and travel for annual reverse site visit). Funds can be used to pay for activities and supplies necessary to conduct the aims outlined here.

Applicant Eligibility:

U.S. Territories and possessions; Federal; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes

State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); N/A

Beneficiary Eligibility:

Federal; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Health Professional;

Credentials/Documentation:

This funding opportunity has special eligibility requirements of applicants. If evidence of these eligibility requirements are not included with the application, the application is deemed non-responsive. AUTHORITIES AND PROTECTIONS. Applicants must submit evidence, by submitting copies or through reference to specific statutes, rules, or legislation that provides the MMRC: o Authority to access clinical and non-clinical records o Protection of data collected, proceedings, and activities o Immunity for committee members from subpoena related to maternal deaths they reviewed as members of the MMRC HAVE AN EXISTING POPULATION-BASED MATERNAL MORTALITY REVIEW COMMITTEE ACTIVELY REVIEWING DEATHS AT THE TIME OF APPLICATION. The purpose of this funding opportunity is to support existing MMRCs rather than the establishment of new MMRCs. Applicants must submit evidence that they are an active MMRC. Examples of evidence include dated products of MMRC meetings, such as a meeting agenda, meeting notifications to committee members, or a schedule of meeting dates and locations from the preceding 12 months. VITAL RECORDS ACCESS. Death certificates that can be matched with birth and fetal death certificates form the foundation for identifying pregnancy-associated deaths. The applicant must provide evidence that they have access to identified data from these three vital records files. Evidence includes an MOU, MOA, DUA, or Letter of Support from the vital records registrars office that confirms access to this data by the applicant. ABILITY TO SHARE MMRIA DATA WITH CDC. There are 3 core purposes of the funding opportunity that are dependent on awardees sharing their MMRIA data with CDC: o Timely identification of data quality problems o Timely identification of awardee technical assistance needs o Analyses of data across awardees by CDC to characterize maternal deaths and to identify opportunities for prevention Evidence of the ability to share MMRIA data with CDC would be documentation of experience sharing similar limited identified data with CDC, or a letter from the applicants legal authority documenting the ability of the applicant to share MMRIA data with CDC. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Instructions are covered by 2 CFR. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be submitted via GrantSolutions as specified in the NOFO. All applications submitted by the deadline that are complete and responsive to the NOFO will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award from the CDC Office of Financial Resources. The Notice of Award shall be the only binding, authorizing document between the recipient and CDC and will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. N/A

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Appeals:

Not Applicable. N/A

Renewals:

Not Applicable. The project period is 5-years with 12-month budget periods. Throughout the project period, CDCs commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports, in documented technical assistance, and in other relevant documented activities) and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding for this program will be awarded on annual basis and the funding will be available for obligation by recipients for a period of 12-months. Method of awarding/releasing assistance: other

Reports:

Awardees will be expected to provide reports so that CDC can provide continuous program monitoring. These reports identify successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees will be expected to submit annual performance reports, ongoing performance measure data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the notice of award to successful applicants. FFR annually Progress reports are required on annual basis The annual FFR form (SF-425) is required and must be submitted 90 days after the end of the budget period. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be The recipient must submit an annual performance report (APR) via www.grantsolutions.gov no later than 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but weblinks are allowed.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

CDC requires awardees to meet all record retention requirements defined in the notice of award, the funding opportunity, the HHS grants policy statement, and 45 CFR Part 75.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$9,075,548.00; FY 21 est \$11,170,723.00; FY 22 est \$11,170,723.00; Cooperative Agreements FY 20 \$0.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Awards are expected to range from \$150,000 to \$600,000 per year.

TAFS Codes:

75-0953.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

N/A

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,

4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770-488-2855
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I: All applications will be initially reviewed for eligibility and completeness by CDC Office of Grants Services. Complete applications will be reviewed for responsiveness by the Grants Management Officials and Program Officials. Non-responsive applications will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility and/or published submission requirements. Phase II: Responsive applications go through an objective merit review process that evaluates complete, eligible applications using criteria aligned with the three broad sections described earlier in the NOFO: Approach Evaluation and Performance Measurement Applicants Organizational Capacity to Implement the Approach Phase III Review: Applications submitted under will be funded by score and rank as determined by the objective review panel. The Selecting official shall rely on the rank order established by the objective review panel as the primary factor in making awards. However, there may be exceptions to this process that may affect the final funding decision, e.g., risk, geographic diversity, and racial and ethnic diversity.

93.479 Good Health and Wellness in Indian Country

N/A,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Public Health Service Act (PHS Act), Section Sections 301(a) and 317 of the Public Health Service Act, 42 U.S.C. Section 241(a) and 247(b).

OBJECTIVES:

The purpose of this NOFO is to reduce rates of death and disability from commercial tobacco use, diabetes, heart disease and stroke, reduce the prevalence of obesity and other chronic disease risk factors and conditions, (e.g., oral health, dementia, COPD). This NOFO has three (3) separate, competitive components with eligibility and scope of work requirements for each. Applicants must submit a separate application for each component for which they are applying. The NOFO combines evidence-informed policy, systems, and environmental changes, and clinical-community linkages strategies which may be culturally adapted to meet the needs of Native American Tribe and Alaska Native Village communities. The long-term outcomes of this NOFO are: Increased purchasing of healthy foods. Increased physical activity with an emphasis on walking. Reduced prevalence of commercial tobacco use. Reduced incidence of type 2 diabetes. Reduced prevalence of high blood pressure. Reduced prevalence of high blood cholesterol. This is a five-year funding opportunity (five year period of performance, one year budget period).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to pay overhead, travel costs, and other expenses directly related to achievement of the strategies and activities identified to fulfill the obligations specified in the notice of funding. Funds can be used for salaries for personnel to plan, implement, monitor, and evaluate program activities; for subawards, contracts or agreements to implement, evaluate, and communicate program activities, including Area tribes, multi-sector partners, community-based organizations,

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healthcare systems and paraprofessionals, or coalitions to provide policy, system, and environmental change strategies and community-clinical linkage strategies to increase purchasing of healthy foods, increase physical activity with an emphasis on walking, reduce prevalence of commercial tobacco use, reduce incidence of type 2 diabetes, reduce prevalence of high blood pressure, and reduce prevalence of high blood cholesterol. Funds can be used to pay for activities and supplies necessary to implement the strategies outlined here, including evidence-informed, culturally-tailored strategies.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Component 1: Federally-recognized Tribes and Urban Indian Organizations Component 2: Tribal Organizations, up to 1 in each of the 12 IHS Administrative Areas, including the Urban Area Component 3: Tribal Organization

Beneficiary Eligibility:

Native American Organizations;

Credentials/Documentation:

Federally-recognized tribes, Tribal Organization within 12 IHS Administrative Areas This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Instructions are covered by 2 CFR. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications will be submitted via GrantSolutions as specified in the NOFO. All applications submitted by the deadline that are complete and responsive to the NOFO will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award from the CDC Office of Financial Resources. The Notice of Award shall be the only binding, authorizing document between the recipient and CDC and will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. N/A

Appeals:

From 120 to 180 days.

Renewals:

From 120 to 180 days. The project period is 5-years with 12-month budget periods. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This is a five-year cooperative agreement; however, funding for this program will be awarded on annual basis and the funding will be available for obligation by recipients for a period of 12-months. Method of awarding/releasing assistance: other

Reports:

Awardees will be expected to provide reports so that CDC can provide continuous

program monitoring. These reports identify successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees will be expected to submit annual performance reports, ongoing performance measure data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the notice of award to successful applicants. Progress reports are required on annual basis Recipients will submit Annual Federal Financial Reports using the SF-424. These reports are required and must be submitted in Grant Solutions to the CDC Grants Management Officer no later than 90-days after the end of each budget period. Annual performance report no later than 120 days before the end of a budget period. The annual performance report serves as a continuation application. Final performance report due no later than 90 days after the end of the period of performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

CDC requires awardees to meet all record retention requirements defined in the notice of award, the funding opportunity, the HHS grants policy statement, and 45 CFR Part 75.

Account Identification:

75-0953-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$19,395,060.00; FY 21 est \$19,660,060.00; FY 22 est \$19,660,060.00;

Range and Average of Financial Assistance:

Up to 29 awards will be made to address the strategies identified in 3 separate components of this NOFO. Component 1: Component 1 recipients may apply for up to \$375,000. Component 2: Up to 12 tribal organizations, up to 1 in each of the 12 IHS Administrative Areas, including the Urban Area, will be funded under Component 2 of this NOFO. Recipient tribal organizations must provide subawards to at least 4 American Indian Tribes, Alaska Native Villages and Urban Indian Organizations in their Area. Component 2 recipients may apply as follows: Areas with fewer than 25 federally recognized American Indian Tribes/Alaskan Native Villages: recipients may receive up to \$950,000. Areas with 25-49 federally recognized American Indian Tribes/Alaskan Native Villages: recipients may receive up to \$1,250,000. Areas with 50+ federally recognized American Indian Tribes/Alaskan Native Villages: recipients may receive up to \$1,450,000. Component 2 recipients must provide at least 50% of their award to Area Tribes/IUOs as subawards. These should not be Tribes/ UIOs receiving a Component 1 award from this program. Component 3: Up to 1 tribal organization will be funded under Component 3 of this NOFO. Component 3 recipient may apply for up to \$800,000.

TAFS Codes:

75-0953.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NONE

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855
Fax:

Website Address:

No Data Available.

ASSISTANCE LISTINGS DESCRIPTIONS

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I Review: This funding opportunity has three separate components and applicants must submit separate applications if they apply for more than one component. All applications will be initially reviewed for eligibility and completeness by the Office of Grants Services. Complete applications will be reviewed for responsiveness by Grants Management Officials and Program Officials. Non-responsive applications will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility and/or published submission requirements. Phase II Review: Phase II consists of an objective merit review process and/or external peer review process that evaluates complete, eligible applications using criteria aligned with three broad sections of the NOFO: Approach, Evaluation and Performance Measurement, and Applicants Organizational Capacity to Implement the Approach. Each application is assigned a score based up the evaluation criteria listed in the funding opportunity.

93.488 National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity

The Harm Reduction NOFO (PS19-1909),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section Sections 301 and 317 (k)(2) of the Public Health Service Act (42 U.S.C. section 241 and 274b), as amended.

OBJECTIVES:

The purpose of this program is to strengthen harm reduction programs in order to prevent infectious disease resulting from injection drug use, and improving health outcomes for people who inject drugs; including strengthening linkage to medication-assisted treatment. It is comprised of three components: technical assistance, communications and monitoring and evaluation.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The purpose of this program is to increase the capacity of government and non-government organizations to strengthen harm reduction programs, including Syringe Services Programs (SSPs). Activities by the cooperative agreement program include technical assistance, communication, and monitoring and evaluation of harm reduction programs. These activities will facilitate and sustain efforts to effectively and efficiently address the infectious disease consequences of opioid use and injection drug use.

Applicant Eligibility:

U.S. Territories and possessions; Federal; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Profit organization; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Other private institutions/organizations;

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Not applicable

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

From 120 to 180 days. Not applicable

Renewals:

>180 Days.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

September 1, 2019 Method of awarding/releasing assistance: other

Reports:

Required annually Recipients are required to report carryover funds annually Progress reports are due and must outline how the recipient is meeting the goals and objectives as outlined in the NOFO. Required annually Performance reports are required as part of the progress report

Audits:

Records:

Financial records, supporting documentation, statistical records, and all other reports pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any pending audits or resolutions. In all cases records must be retained until resolution of any audit findings. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0953-0-1-550.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$2,673,912.00; FY 21 est \$2,330,580.00; FY 22 est \$3,500,000.00; Cooperative Agreements FY 20 \$26,395,000.00; FY 21 est \$24,050,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

FY 2020 - \$125,000 to \$850,000; FY 2021 - \$125,000 to \$850,000

TAFS Codes:

75-0953.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 1.Developed harm reduction Technical Assistance Center and have provided or are providing TA to almost 2 dozen organizations2.Developed and implemented patient navigation programs at 8 SSPs (Utah, Puerto Rico, North Carolina, Iowa, California, Minnesota, Pennsylvania, Virginia)3.Conducted monitoring and evaluation of the impact of COVID-19 on SSPs4.Submitted a package to OMB to conduct surveillance of injection drug use nationwide

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:

None.

Headquarters Office:

Richard Davis,
1600 Clifton Road, MS CS12-3,
Atlanta GA 30329
Email: rbdavis@cdc.gov
Phone: 404-639-2363
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.489 Child Care Disaster Relief

Child Care Disaster Funds,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND
HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Supplemental Appropriations for Disaster Relief Act of 2019, Title , Public Law
116-20

OBJECTIVES:

Disaster relief funds were awarded to State, Territory, and Tribal Lead Agencies for child care expenses directly related to Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes that occurred in calendar year 2018, and tornadoes and floods occurring in calendar year 2019. Federal interest provisions did not apply to the renovation or rebuilding of privately-owned family child care homes. Funds were not available for costs that are reimbursed by the Federal Emergency Management Agency, or under a contract for insurance, or by self- insurance. Provisions related to the Child Care and Development Fund (CCDF) allocation formula did not apply to the use of these funds.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Lead Agencies administering Child Care and Development Fund programs had to use the funds for child care expenses directly related to Hurricanes Florence and Michael, Typhoon Mangkhut, Super Typhoon Yutu, wildfires and earthquakes that occurred in calendar year 2018, and tornadoes and floods occurring in calendar year 2019. Lead Agencies funding requests were in the following areas: (1) minor renovating, repairing, or rebuilding of child care facilities; (2) replacing materials, supplies, furnishings, vehicles, and equipment; (3) mental health consultation; (4) quality improvement activities; and (5) direct services. Federal interest provisions did not apply to the renovation or rebuilding of privately-owned family child care homes. ACF shall not retain Federal interest after a period of 10 years in any facility renovated, repaired, or rebuilt with these funds.

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State, Territory, and Tribal Lead Agencies administering CCDF programs were eligible.

Beneficiary Eligibility:

Infant (0-5); Child (6-15); Individual/Family; Low Income; If funds are used for child care subsidies, eligible beneficiaries are children under age 13 (or, at the option of the recipient, up to age 19, if physically or mentally incapable of self-care or under court supervision), who (1) reside with a family whose income does not

exceed 85% of State median income for a family of the same size, and (2) who reside with a parent (or parents) who is working or attending job training or education, or who are in need of, or are receiving protective services.

Credentials/Documentation:

State, Territory, and Tribal CCDF Lead Agencies were required to attest (at time of plan amendment) that funds requested were not be used for costs that were reimbursed by FEMA, under a contract for insurance, or by self-insurance. Terms and Conditions of the award stipulated that the recipient had to reimburse HHS for any costs that were subsequently covered by FEMA, under a contract for insurance, or by self-insurance. Cost principles do apply to Tribal CCDF construction/renovation at child care facilities. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Each Recipient must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Funds provided, the duties of which shall include developing a CCDF plan.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACF released specific instructions to eligible Lead Agencies interested in applying for these funds. See Program Instructions CCDF-ACF-PI-2019-06 and 2020-01 at:

<https://www.acf.hhs.gov/occ/resource/program-instructions>.

Award Procedure:

The Administration for Children and Families reviewed the CCDF plan amendments for approval and acted on the plans within 90 days.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Grants were awarded after the receipt and approval of the CCDF plan amendments by the Administration for Children and Families.

Appeals:

Other. Guidelines for appealing the disapproval of CCDF plans are specified in regulations (45 CFR Part 99).

Renewals:

Not Applicable. This funding was for one-time emergency disaster assistance, and renewal of funding was not expected.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant awards were made to Lead Agencies with approved CCDF plans. Unless funds were used for construction or renovation, recipients had to obligate all Discretionary Funds in the fiscal year in which they are granted or in the succeeding fiscal year. Those funds had to have been liquidated no later the end of the third fiscal year. Funds awarded in FY 2020 were available for obligation through September 2021. For funds used for construction or renovation, Lead Agencies had to obligate the funds during the year of award or the three following years, and had one additional year (beyond the obligation period) to liquidate the funds. Method of awarding/releasing assistance: lump sum.

Reports:

N/A for this funding. If requesting funds for major renovation and/or construction of facilities, applicants are required to submit an SF-429 Real Property Status ReportCover Page with Attachment B in the GrantSolutions OLDC system. While this requirement will not apply to renovations or rebuilding of privately-owned family child care homes or minor renovations, the State, Territory, and Tribe must request approval, as well as maintain a list for the period of this funding regarding the amount, purpose, and address of these facilities. For those facilities that require federal interest, the State, Territory, and Tribe will be required to submit a SF-429 Real Property Status ReportCover Page with Attachment A on an annual basis until such time that the property is disposed of or the federal interest expires. When

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property with federal interest is no longer needed before the expiration of federal interest, the SF-429 Attachment C must be submitted in GrantSolutions OLDC. The prime recipient is responsible for any real property reporting regarding major renovation and/or construction at their subrecipient entities. Please see the ACF Property, Real Property Reporting instructions at https://www.acf.hhs.gov/real-property#book_content_4 and the ACF Property, Disposition instructions for Real Property at https://www.acf.hhs.gov/real-property#book_content_5. States and Territories must also submit quarterly expenditure reports. Tribes report expenditures annually. Performance monitoring is in accordance with 45 CFR 98.90.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program. Additional auditing may be necessary.

Records:

Lead Agencies and subrecipients must retain all CCDF records for three years from the day the Lead Agency or subrecipient submits the Financial Reports as required by the regulations at 45 CFR 98.90. The State, Tribe, and Territory must retain all records pertinent to the construction or renovation of a facility for a period equal to the period of the recipient's use of the facility plus three years, or for three years after the State, Tribe, and Territory makes final payment and all pending matters (including any ongoing audits, claims, or litigation) are completed or closed, whichever is later.

Account Identification:

75-1515-0-1-609.

Obligations:

Formula Grants FY 20 \$29,085,313.00; FY 21 est \$914,687.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The range of grants under the Child Care Disaster Relief Funds in FY 2020 is \$75,011 - \$11,132,249. The average award is \$1,454,266. The range of grants in FY2021 is \$2,885 - \$406,066. The average award is \$65,335.

TAFS Codes:

75-1921.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funding was awarded through grants to 20 eligible States, Territories, and Tribes in FY 2020. Fiscal Year 2021: Funding was awarded through grants to 14 eligible States and Tribes in FY 2021. Fiscal Year 2022: No awards are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 98 and 99. Additional policy guidance can be found at: <https://www.acf.hhs.gov/occ/resource-library>.

Regional or Local Office:

None.

Headquarters Office:

Child Care Program Specialist Andrew Williams,
U.S. Department of Health and Human Services, 330 C Street, S.W.,
Washington DC 20201
Email: Andrew.Williams@acf.hhs.gov
Phone: 202-401-4795
Fax:

Website Address:

<https://www.acf.hhs.gov/occ>

RELATED PROGRAMS:

93.575 Child Care and Development Block Grant; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ACF released specific instructions to eligible Lead Agencies interested in applying

for these funds. See Program Instructions CCDF-ACF-PI-2019-06 and 2020-01 at: <https://www.acf.hhs.gov/occ/resource/program-instructions>.

93.494 Global Tuberculosis: Developing, Evaluating, Implementing Evidence-based and Innovative Approaches to Find, Cure, and Prevent Tuberculosis Globally

N/A.

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Law The United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003; The Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008-Public Law 108-25; Public Law 110-293, Title 42 [42 USC] USC Code Section 307 [42 USC 242I], as amended and Section 301(a) [42 USC 241(a)], as amended.

OBJECTIVES:

CDC's global health priorities include efforts towards ending the global tuberculosis (TB) epidemic by: expanding access to improved screening, contact tracing, and diagnostic tools to find the missing TB cases (FIND); optimizing treatment for TB and multi-drug-resistant (MDR) TB, including identifying and evaluating better treatment regimens, and expanding access to care and treatment (CURE); breaking the cycle of TB transmission by strengthening infection control, identifying TB hotspots to target screening, and scaling-up treatment to prevent TB (PREVENT); and helping countries strengthen their surveillance and laboratory systems, and workforce and research capacity to support sustainable health systems including promoting the wider use and adoption of recommended TB strategies and guidelines (SUSTAIN).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Uses and Restrictions are specified in individual Notice of Funding Opportunities. Funds may be spent for reasonable program purposes, including personnel, travel, operating costs including supplies, fuel for transportation, utilities, staff training costs including registration fees and purchase and rental of training related equipment, and purchase of reagents, test kits and laboratory equipment for Tuberculosis (TB) testing and services. Equipment may be purchased if deemed necessary to accomplish program objectives; however, prior approval by CDC officials must be requested in writing. All requests for funds contained in the budget shall be stated in U.S. dollars. Once an award is made, CDC will not compensate foreign grantees for currency exchange fluctuations through the issuance of supplemental awards. The costs that are generally allowable in grants to domestic organizations are allowable to foreign institutions and international organizations, with the following exception: With the exception of the American University, Beirut, and the World Health Organization (WHO), Indirect Costs will not be paid (either directly or through sub-award) to organizations located outside the territorial limits of the United States or to international organizations regardless of their location. The applicant may contract with other organizations under this program; however, the applicant must perform a substantial portion of the activities (including program management and operations, and delivery of prevention services for which funds are required). You must obtain an annual audit of these CDC funds (program-specific audit) by a U.S.-based audit firm with international branches and current licensure/authority in-country, and in accordance with International Accounting Standards or equivalent standard(s) approved in writing by CDC. A fiscal Recipient Capability Assessment may be required, prior to or post award, in order to review the applicant's business management and fiscal capabilities regarding the handling of U.S. Federal funds. Please see the specific Notice of Funding Opportunity (NOFO).

Applicant Eligibility:

U.S. Territories and possessions; Government - General; Federal; Interstate; State;

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State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Profit organization; Competition is open, limited, or single eligibility by authorizing legislation.

Beneficiary Eligibility:

Federal; Interstate; State; Local; Sponsored organization; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; This is only for non-research and research activities supported by CDC/ATSDR. For the definition of research, please see the CDC website at the following Internet address: <http://www.cdc.gov/od/science/quality/resources>

Credentials/Documentation:

Requirements for application are stated in each Notice of Funding Opportunity (NOFO). 2 CFR 200, Sub-part E Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As specified in the Notice of Funding Opportunity (NOFO).

Award Procedure:

As specified in the Notice of Funding Opportunity (NOFO).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. N/A

Appeals:

Not Applicable. N/A

Renewals:

From 60 to 90 days. From 60 to 90 days. Project periods are for 3 to 5 years with 12-month budget. Renewals are made by competitive applications and Reviews.

Formula and Matching Requirements:

Statutory Formula: Title N/A, Chapter N/A, Part N/A, Subpart N/A, Public Law N/A. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From one to five years. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. Method of awarding/releasing assistance: other

Reports:

As specified in the Notice of Funding Opportunity (NOFO). As specified in the Notice of Funding Opportunity (NOFO). As specified in the Notice of Funding Opportunity. As specified in the Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Domestic Organizations: In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year on Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. International Organizations: a.) International organizations agree that their financial records will be subject to internal audit and that these audit reports will be disclosed to the awarding agency. They agree to provide additional clarifications as may be reasonably requested by the agency regarding questions arising from audit reports relating to the award. If the agency becomes aware of factors that indicate the need for closer scrutiny of HHS-funded activities, HHS will alert the recipient and the recipient's audit office will determine, after consultation with HHS, the need for a special independent audit and the scope and plan for any such audit. HHS is responsible for the costs of such an audit. Audit requirements for Subrecipients to whom 45 CFR 75 Subpart F applies: The recipient must ensure that the subrecipients receiving CDC funds also meet these requirements. The recipient must also ensure to take appropriate corrective action within six months after receipt of the subrecipient audit report in instances of non-compliance with applicable Federal law and regulations (45 CFR 75 Subpart F and HHS Grants Policy Statement). The recipient may consider whether subrecipient audits necessitate adjustment of the recipient's own accounting records. If a subrecipient is not required to have a program-specific audit, the recipient is still required to perform adequate monitoring of subrecipient activities. The recipient shall require each subrecipient to permit the independent auditor access to the subrecipient's records and financial statements. The recipient must include this requirement in all subrecipient contracts.

Records:

The Recipient Agreement Books and Records. The recipient must maintain accounting books, records, documents and other evidence relating to the Agreement, adequate to show, without limitation, all costs incurred by the recipient, the receipt and use of goods and services acquired by the recipient, agreed-upon cost sharing requirements, the nature and extent of solicitations of prospective suppliers of goods and services acquired by the recipient, the basis of award of recipient contracts and orders, and the overall progress of the Agreement toward completion ("Agreement books and records"). The recipient must maintain Agreement books and records in accordance with generally accepted accounting principles prevailing in the United States, or at the recipient's option, with approval by HHS/CDC, other accounting principles, such as those (1) prescribed by the International Accounting Standards Committee (an affiliate of the International Federation of Accountants), or (2) prevailing in the country of the recipient. Agreement books and records must be maintained for at least three years after the date of last disbursement by HHS/CDC or for such longer period, if any, required to resolve any litigation, claims or audit findings.

Account Identification:

75-0955-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$3,069,815.00; FY 21 est \$6,644,888.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

No data available

TAFS Codes:

75-0955.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients must comply with the administrative and public policy requirements outlined in 45 CFR Parts 74, 75, and 92 and the HHS Grants Policy Statement, as appropriate. The HHS Grants Policy Statement is available at <http://www.hhs.gov/sites/default/files/grants/grants/policies->

ASSISTANCE LISTINGS DESCRIPTIONS

regulations/hhs9ps107.pdf. The full text of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards, 45 CFR 75, can be found at: <https://www.ecfr.gov/cgi-bin/text-idx?node=pt45.1.75>.

Regional or Local Office:

None. Tracey Moore, Branch Chief, Global Health Services Branch 770-488-2074 2939 Flowers Road Mail Stop TV-2 Vanderbilt Bldg. Atlanta, GA 30341

Headquarters Office:

Carla (Casey) Boudreau,
1600 Clifton Rd. NEMail stop H21-9,
Atlanta GA 30333
Email: znc5@cdc.gov
Phone: 404-639-8404
Fax: N/A

Website Address:

<https://www.cdc.gov>

RELATED PROGRAMS:

93.067 Global AIDS;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in the Notice of Funding Opportunity (NOFO).

93.495 Community Health Workers for Public Health Response and Resilient

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under Public Health Service Act, Section 301(a) to provide assistance to prevent and control the introduction, transmission and spread of diseases., Title Public Health Service Act, 42 U.S.C. 301(a), Section Section 301(a)

OBJECTIVES:

To scale up Community Health Worker (CHW) activities across the nation related to public health response efforts in those communities hit hardest by an outbreak and among populations that are at high risk for exposure, infection, and poorer health outcomes (priority populations). Emphasis and or focus areas are the: 1) Training Community Health Workers to ensure comprehensive acquisition and reinforcement of relevant knowledge, roles, and skills in support of a public health response to manage outbreaks and community spread; 2) Deploying Community Health Workers to support the public health response to manage outbreaks among priority populations within communities; 3) Engaging Community Health Workers to help build and strengthen community resilience to mitigate the impact of an outbreak by improving the overall health of priority populations within communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients may develop, implement, and evaluate the following potential activities linked to COVID-19 response activities: 1) Train Community Health Workers to ensure comprehensive acquisition and reinforcement of relevant knowledge, roles, and skills to support the COVID-19 public health response to manage outbreaks and community spread. 2) Deploy Community Health Workers to support the COVID-19 public health response to manage outbreaks and spread of COVID-19 among priority populations within communities. 3) Engage Community Health Workers to help build and strengthen community resilience to mitigate the impact of COVID-19 by improving the overall health of priority populations within communities.

Applicant Eligibility:

U.S. Territories and possessions; State; State (includes District of Columbia, public

institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Eligibility applicants include: State government health departments; local governments (including city, county, and district health departments); Native American tribal governments (Federally recognized); Native American tribal organizations (other than Federally recognized tribal governments); Urban Indian Health Programs; Government Organizations: State (includes the District of Columbia), Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, American Indian or Alaska Native tribal governments (federally recognized or state-recognized) Applicants, through full and open competition, must be a bona fide agency of a state, locality, territory or tribe, including, but not limited to, health departments.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. This program is covered under OMB Circular No. A-102. Applicants must download the SF424 application forms through [Grants.gov/Apply](https://www.Grants.gov/Apply). Only the form package directly attached to a specific Notice of Funding Opportunity (NOFO) can be used. If an applicant does not have access to the internet, or if they have difficulty accessing the forms online, contact the Office of Financial Resources (OFR) Technical Information Management Section (OGSTIM) staff. For this, or further assistance, contact OGS TMS: Telephone (770) 488-2700. Email: OGSTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 888-232-6348. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.Grants.gov).

Award Procedure:

All applications that are complete and responsive to this competitive Notice of Funding Opportunity announcement will be evaluated for programmatic and technical merit. Applications will be reviewed for completeness by the Office of Financial Resources (OFR) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and the Office of Financial Resources (OFR). Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants were notified the application did not meet submission requirements. Technical reviews will be conducted on complete and responsive applications according to the evaluation criteria listed in notice of funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the Office of Financial Resources (OFR). The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicants must download application forms from www.Grants.gov Applications must be submitted electronically through www.Grants.gov

Appeals:

Not Applicable. Not applicable

Renewals:

From 120 to 180 days. From 120 to 180 days. Period of Performance is 2 years with 12-month budget periods for the grant; 3 years with 12-month budget periods for the cooperative agreement. Renewals are based on availability of funds;

ASSISTANCE LISTINGS DESCRIPTIONS

renewal notifications will be posted on Grants.gov and Applications must be submitted through the GrantSolutions System.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Approximately 6/1/2021-5/31/2023; Method of Award/releasing assistance: Notice of award. Method of awarding/releasing assistance: other

Reports:

Yes. The reporting schedule will be based on the requirements listed in the Notice of Funding Opportunity Announcement. An Annual Progress Report and Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final progress report and final Federal Financial Report are due 90 days after the end of the project period. Yes, progress reports are required annually. Yes. Federal Financial Reports (FFRs) must be submitted to the GMO/GMS no later than 90 days after the end of the budget period. The awardee must submit the Annual Performance Report 120 days before the end of the budget period. Additional guidance will be provided in the Notice of Funding Opportunity Announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 est \$122,760,011.00; FY 22 est \$122,760,011.00;

Range and Average of Financial Assistance:

CDC will award approximately \$94 million annually (\$188 million over 2 years) through grants to organizations of varying sizes to both increase capacity to deploy CHWs and amplify the use of existing CHW networks immediately upon award. These grants will be funded for two years and will range from \$350,000 - \$3 million depending on the size and scope of activity. The range of funds is broad to accommodate a varied number of organizations based on capacity.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. 93.795: Community Health Workers for Covid Response and Resilient Communities is referencing a proposed Notice of Funding Opportunity; future information about this program will be posted on grants.gov. Further information will be posted on <https://www.cdc.gov/chronicdisease/programs-impact/nofo/covid-response.htm>

Headquarters Office:

Cathleen Walsh,
477 Buford Highway, NE,
Atlanta GA 30341
Email: cmw@cdc.gov
Phone: 7704880877

Fax: Sheryl Heard,
4770Buford Hwy, NE, NCCDPHP,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

93.795 Community Health Workers for COVID Response and Resilient Communities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All eligible applications will be initially reviewed for completeness by the Office of Grants Services (OGS) staff. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements. An objective review panel will evaluate complete applications on the following criteria: 1. Approach, 2. Evaluation and Performance Measurement, 3. Applicants Organizational Capacity to Implement the Approach, and 4. Budget.

93.496 Family Violence Prevention and Services/Culturally Specific Domestic Violence and Sexual Violence Services

Family Violence Prevention and Services Act Culturally Specific Services
Discretionary Grants,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND
HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

American Rescue Plan Act of 2021, Pub L. No. 117-2, Title II, Part 2, Section
2204, 42 USC Code 10401 Family Violence Prevention and Services Act.

OBJECTIVES:

The objective of this program is to fund a wide range of discretionary activities to (A) provide culturally specific activities for survivors of sexual assault and domestic violence (B) provide culturally specific activities to promote strategic partnership development and collaboration (C) respond to the impact of COVID19 and other public health concerns on survivors of sexual assault and domestic violence.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Discretionary financial assistance may be made to public and private entities and may take the form of a competitive grant, cooperative agreement, and includes subrecipient awards. Applicants must meet the program and administrative requirements specified in the program instruction guidance memo.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An applicant may be a nonprofit private organization, tribal organization, federally-recognized Indian tribe, Native Hawaiian organization, local public agency, institution of higher education, private organization, Alaska Native Village, or nonprofit Alaska Native Regional Corporation.

Beneficiary Eligibility:

Individual/Family; These discretionary grants will benefit victims of domestic violence, dating violence, sexual violence and their dependents and families.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

No credentials or documentation required. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75.2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. ACF will post a program instruction guidance memo on its website at <https://www.acf.hhs.gov/fysb/grants#awards> All applications must contain the SF-424 and SF-424A, and all required certifications, assurances and disclosures. Required Standard Forms and certifications are available at Grants.gov Forms Repository.

Award Procedure:

The Commissioner, Administration on Children, Youth and Families, will make the final decision on all awards. Funds are awarded directly to recipients. Recipients are notified that funds have been awarded through a Notice of Award (NOA) issued by the Administration for Children and Families. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

See the program instruction guidance memo for deadline information.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Renewals (non-competing continuations), if authorized under the terms and conditions of the award, will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects may vary from a 12-month project period to a 5-year project period depending on statutory and programmatic requirements. Method of awarding/releasing assistance: other

Reports:

Specific reports for each type of Discretionary grant are published in the program instruction guidance memo. Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is semi-annual. For more information, see: <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>. Expenditure reports are required using the SF-425 Federal Financial Report (FFR). The frequency of reporting is semi-annual. For more information, see: <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>. Tangible Personal Property: If requesting funds to purchase equipment, the

recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Specific reports for each type of Discretionary grant are published in the program instruction guidance memo.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

Recipients are required to keep financial and program records necessary for program review and audit to ensure that funds have been expended in accordance with the statute, regulations, grant conditions, and administrative terms of the project funded. All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$49,500,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 2021 American Rescue Plan Grants to Support Culturally Specific Populations subawards are estimated to range between \$99,000 and \$165,000.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is estimated that 500 subawards will be awarded to culturally specific community based organizations that have a history of providing services and supports for survivors of domestic violence and sexual violence from racial and ethnic specific communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 1370 applies to this program. Subpart A - General Provisions (1370.1 - 1370.6) Subpart D - Discretionary Grants and Contracts (1370.30 - 1370.32)

Regional or Local Office:

None.

Headquarters Office:

Senior Program Specialist Shawndell Dawson, Director, Division of Family Violence Prevention and Services,
US Department of Health & Human Services 330 C Street SW,
Washington DC 20201
Email: shawndell.dawson@acf.hhs.gov
Phone: 2022051476
Fax:

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the program instruction guidance memo. Applications competing for financial assistance will be reviewed and evaluated by an objective review process using the criteria described in program instruction guidance memo.

93.497 Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports

Family Violence Prevention and Services Act Sexual Assault/Rape Crisis Grants for States and Native American Tribes (including Alaska Native Villages) and Tribal Organizations,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Pub. L. 111-320, Title Family Violence Prevention and Services Act, 42 U.S.C. 10401, , Pub L. No. 117-2, Title American Rescue Plan Act of 2021, Section 2204(d)

OBJECTIVES:

This funding will support allowable activities to assist states, territories, tribal governments, and community efforts to assist sexual assault survivors and address the increased need for virtual access and emergency services and as a result of COVID-19 public health emergency. Section 2204 (d) of the American Rescue Plan Act (ARPA) provides flexibility in how each recipient uses the funding to support (1) the transition to virtual services including counseling, mobile advocacy, telehealth, teletherapy, and peer support (2) providing emergency assistance for survivors such as temporary housing, rental assistance, and nominal relocation expenses paid to third party vendors on behalf of victims (3) the purchase of supplies, equipment, technology, and software to assist in carrying out remote services, and (4) the purchase supplies needed to safely staff and operate rape crisis centers during the COVID-19 public health emergency.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal funds are used by States and Tribes to assist sexual assault survivors and address the increased need for virtual access and emergency services and as a result of COVID-19 public health emergency. Section 2204 (d) of the American Rescue Plan Act (ARPA) provides flexibility in how each recipient uses the funding to support (1) the transition to virtual services including counseling, mobile advocacy, telehealth, teletherapy, and peer support (2) providing emergency assistance for survivors such as temporary housing, rental assistance, and nominal relocation expenses paid to third party vendors on behalf of victims (3) the purchase of supplies, equipment, technology, and software to assist in carrying out remote services, and (4) the purchase supplies needed to safely staff and operate rape crisis centers during the COVID-19 public health emergency. Federal funds are awarded by the States through subgrants to local public agencies and nonprofit private organizations. States and tribes may may issue subaward grants to (1) state sexual violence coalitions and tribal coalitions to support local rape crisis centers and tribal sexual assault programs with virtual services provisions and the implementation of supportive services, and (2) rape crisis centers, sexual assault programs, culturally specific programs, and other nonprofit, nongovernmental organizations or tribal programs that provide direct intervention and related assistance to victims of sexual assault, without regard to age. States, their subrecipients, and Tribes may not impose an income eligibility standard on individuals receiving services supported by funds appropriated under the Family Violence Prevention and Services Act, and Federal funds may not be used as direct payment to any victims. The receipt of supportive services is voluntary and no

condition may be applied for the receipt of emergency shelter. Each State may not use more than 5% of the amounts allotted under Section 10406(a) for State administrative costs.

Applicant Eligibility:

U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments; Federally Recognized Indian Tribal Governments, U.S. Territories and possessions, State. Eligible applicants for the State Grants are the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the U.S. territories of Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands. Eligible applicants for the Tribal Grants are the federally recognized Indian Tribes (including Alaska Native Villages), or a tribal organization or nonprofit private organization authorized by an Indian Tribe.

Beneficiary Eligibility:

Individual/Family; This program will benefit victims and survivors of sexual violence and sexual assault and their dependents.

Credentials/Documentation:

No credentials or documentation required. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Mandatory grant recipients are required to use the Online Data Collection System (OLDC) to submit the Application for Federal Assistance SF-424 Mandatory Form (SF-424M) and upload all required documents. The form is available to applicants and grantees at <http://www.grants.gov/web/grants/forms/sf-424-mandatory-family.html>. ACF will not accept paper applications, or those submitted via email or facsimile, without a waiver.

Award Procedure:

Formula grants are awarded directly to States, U.S. Territories, and eligible Native American Tribes (including Alaska Native Villages) and Tribal Organizations. Recipients are notified that funds have been awarded through a Notice of Award (NOA) issued by the Administration for Children and Families. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

See the FVPSA program instruction guidance memo for deadline information.

Range of Approval/Disapproval Time:

From 60 to 90 days. All applications will undergo an administrative review to ensure that activities align with the legislative requirements.

Appeals:

Other. Subject to the limitations of the Appendix A to 45 CFR Part 16, appeals may take place in accordance with 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds may be used for expenditures on and after October 1 of each fiscal year for which they are granted, and will be available for expenditure through September 30

ASSISTANCE LISTINGS DESCRIPTIONS

of the following fiscal year. Method of awarding/releasing assistance: other

Reports:

Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. Recipients are required to submit an annual performance progress report (SF-PPR) describing the activities carried out and an assessment of the effectiveness of those activities in achieving the purposes of the grant (Section 10406(d)). A section of this performance report must be completed by each grantee or subgrantee that provided program services and activities. State grantees should compile subgrantee performance reports into a comprehensive report for submission to the program office through the Online Data Collection system (OLDC) by December 29th of each year. A copy of the required PPR can be found at www.acf.hhs.gov/programs/fysb/resource/ppr-state-fypsa. Guam, American Samoa, the U.S. Virgin Islands and the Commonwealth of the Northern Mariana Islands need not submit an application under this program announcement if they choose to have their allotment included as part of a consolidated grant application. If funds are designated under their consolidated grant for activities and purposes covered under the Family Violence Prevention and Services Act, then territories are required to submit an annual performance progress report (PPR) using the standardized format. Please note that selected recipients may be identified for site visits or desk review by Federal Project Officers annually. Recipients must submit an annual Federal Financial Report (SF-425) by December 29 of each year to the HHS Division of Payment Management through the Payment Management System. Tangible Personal Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Recipients will be required to participate in quarterly calls and meetings to discuss implementation progress.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 45 CFR Part 75 Subpart F applies to this program.

Records:

Grant recipients are required to maintain records documenting the purposes for which expenditures were made. All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$198,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Grant awards are estimated to range between \$601,920 to \$10,135,726 for states and a range from \$55,000 to \$2,039,912 for tribes.

TAFS Codes:

00-0000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2021, 56 grants were awarded to the States and Territories, and 144 grants were awarded to Tribes (including grants to consortium Tribes), to assist sexual assault survivors, sexual assault programs, and rape crisis centers with preventing, preparing for, and responding to the COVID-19 public health emergency.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 1370 applies to this program. Subpart A - General Provisions (1370.1 - 1370.6) Subpart B - State and Indian Tribal Grants (1370.10).

Regional or Local Office:

None.

Headquarters Office:

Senior Program Specialist Shawndell Dawson, Director, Division of Family Violence Prevention and Services,
US Department of Health & Human Services 330 C Street SW,
Washington DC 20201

Email: shawndell.dawson@acf.hhs.gov

Phone: 2022051476

Fax:

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each State, Territory, and eligible Tribe, will receive its respective share of funds if the application submitted meets the necessary requirements.

93.498 Provider Relief Fund

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

\$100,000,000,000, to remain available until expended, to prevent, prepare for, and respond to coronavirus, domestically or internationally, for necessary expenses to reimburse, through grants or other mechanisms, eligible health care providers for health care related expenses or lost revenues that are attributable to coronavirus., Title Coronavirus Aid, Relief, and Economic Security Act (CARES Act) (P.L. 116-136), Part DIVISION B EMERGENCY APPROPRIATIONS FOR CORONAVIRUS HEALTH RESPONSE AND AGENCY OPERATIONS, Section Title VIII Department of Health and Human Services, Office of the Secretary, Public Health and Social Services Emergency Fund, \$75,000,000,000, to remain available until expended, to prevent, prepare for, and respond to coronavirus, domestically or internationally, for necessary expenses to reimburse, through grants or other mechanisms, eligible health care providers for health care related expenses or lost revenues that are attributable to coronavirus., Title Paycheck Protection Program and Health Care Enhancement Act (PPPHEA) (P.L. 116-139), Part Division B, Section Title 1, \$3,000,000,000, to remain available until expended, to prevent, prepare for, and respond to coronavirus, domestically or internationally, which shall be for necessary expenses to reimburse, through grants or other mechanisms, eligible health care providers for health care related expenses or lost revenues that are attributable to coronavirus., Title Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) Act (P.L. 116-260),

OBJECTIVES:

The Provider Relief Fund supports eligible health care providers in the battle against the COVID-19 pandemic. The Coronavirus Aid, Relief, and Economic Security Act (CARES Act), the Paycheck Protection Program and Health Care Enhancement Act (PPPHEA) and the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA), appropriated \$178 billion to prevent, prepare for, and respond to coronavirus, domestically or internationally, for necessary expenses to reimburse, through grants or other mechanisms, eligible health care providers for health care-related expenses or lost revenues that are attributable to coronavirus. A portion of this funding will be distributed as direct payments through the Provider Relief Fund (PRF), administered by the Health Resources and Services Administration (HRSA). Eligible providers of health care, services, and support may receive Provider Relief Fund payments for health care-related expenses or lost revenues attributable to coronavirus.

ASSISTANCE LISTINGS DESCRIPTIONS

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This funding is for eligible providers of health care, services, and support for health care-related expenses or lost revenues attributable to coronavirus. The funding may only be used to prevent, prepare for, and respond to coronavirus.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health care providers that provide or provided after January 31, 2020, diagnoses, testing, or care for individuals with possible or actual cases of COVID-19; are not currently terminated from participation in Medicare or precluded from receiving payment through Medicare Advantage or Part D; are not currently excluded from participation in Medicare, Medicaid, and other Federal health care programs; and do not currently have Medicare billing privileges revoked.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Documentation varies with the funding distributions available. Please see the Provider Relief Fund website for descriptions of past, present, and upcoming funding distributions: <https://www.hhs.gov/coronavirus/cares-act-provider-relief-fund/index.html> Providers receiving funds must sign an attestation and agree to the program or specific distributions terms and conditions. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Sections of 45 CFR part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards apply to this program refer to compliance requirements below for additional details.

Award Procedure:

Providers determined eligible for payment may receive funds directly or may be required to apply for funds. For more information, please visit www.hhs.gov/providerrelief.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

March 27, 2020 until expended Method of awarding/releasing assistance: Deadlines for different portions of the Provider Relief Fund vary and some portions do not have applications. Visit <https://www.hhs.gov/coronavirus/cares-act-provider-relief-fund/index.html> for information. Method of awarding/releasing assistance: other

Reports:

The Recipient shall submit reports as the Secretary determines are needed to ensure compliance with conditions that are imposed on this Payment, and such reports shall be in such form, with such content, as specified by the Secretary in future program instructions directed to all Recipients. Refer to the reporting requirements for additional information. <https://www.hhs.gov/coronavirus/cares-act-provider-relief-fund/reporting-auditing/index.html>

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 45 CFR part 75, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards during the entities fiscal year will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.503 but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). HHS Regulations at 45 CFR 75.501 differ from 2 CFR 200.501 and impose audit requirements on commercial organizations. Refer to 45 CFR 75.501(i-j) for additional information.

Records:

Account Identification:

75-0140-0-1-550.

Obligations:

Direct Payments for Specified Use FY 20 (\$1,825,384,521.00); FY 21 est \$65,118,673.00; FY 22 Not Available; Direct Payments for Specified Use FY 20 \$305,182,380.00; FY 21 est \$636,260,812.00; FY 22 Not Available; Direct Payments for Specified Use FY 20 \$0.00; FY 21 est (\$1,294,967,296.00); and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Approximately \$156.8 billion is available through Provider Relief Fund distributions for direct payments to eligible providers of health care, services, and support. Eligible health care providers may receive Provider Relief Fund payments for health care-related expenses or lost revenues attributable to coronavirus. Refer to the Provider Relief Fund webpage for additional information on application periods <https://www.hhs.gov/coronavirus/cares-act-provider-relief-fund/providers/index.html>.

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see <https://www.hhs.gov/coronavirus/cares-act-provider-relief-fund/index.html>

Regional or Local Office:

None.

Headquarters Office:

Health Resources and Services Administration,
5600 Fishers Lane,
Rockville MD 20857

Email: ProviderReliefContact@hrsa.gov

Phone: (866) 569-3522

Fax:

Website Address:

<https://www.hhs.gov/coronavirus/cares-act-provider-relief-fund/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.500 Pregnancy Assistance Fund Program

PAF,

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Patient Protection and Affordable Care Act, Public Law 111-148 SEC. 10211 - 10214,

OBJECTIVES:

The Pregnancy Assistance Fund (PAF) grant program provides support for States and Tribes to develop and implement programs to improve the educational, health, and social outcomes for expectant and parenting teens, women, fathers, and their families. The PAF aims to strengthen access to and completion of education (secondary and postsecondary); improve child and maternal health outcomes; improve pregnancy planning and spacing and reduce the likelihood of repeat teen pregnancies; increase parenting skills for mothers, fathers, and families; strengthen co-parenting; decrease intimate partner violence; and raise awareness of available resources.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Providing support for expectant and parenting students in Institutions of Higher Education (IHE); Providing support for expectant and parenting teens, young adults, women, fathers and their families in high schools and community service centers; Improving services for pregnant or parenting women who are victims of domestic violence, sexual violence, sexual assault, and stalking; and/or Increasing public awareness and education of services available for expectant and parenting teens, young adults, women, fathers and their families. For purposes of this funding, the term expectant includes both women and men who are expecting a child. The term teens refers to both young men and women of high-school age and students refers to women and men enrolled in institutions of higher education. The term young adults refer to both men and women ages 20 -24. Families include, but are not limited to spouses, partners, and children.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; Eligible applicants are from States, which include the District of Columbia, any commonwealth, possession, or other territory of the of the United States and any Federally-recognized Indian tribe, reservation or consortium or council (referred to as States or tribes), for the development and implementation of programs for expectant and parenting teens, women, fathers and their families. The authorized representative from the State or tribe must apply for grant funds available through this announcement to assist expectant and parenting teens, women, fathers and their families.

Beneficiary Eligibility:

Anyone/general public; Women; Youth (16-21); 1. States, which include the District of Columbia, any commonwealth, possession, or other territory of the United States, and any Federally-recognized Indian Tribe, reservation, consortium or council. 2. Education, Health, Non-profits, Community-based organizations, and entities within tribal communities.

Credentials/Documentation:

The authorized representative from the State or tribe must apply for grant funds available through this announcement to assist expectant and parenting teens, women, fathers and their families. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The Governor(s) of the State(s) in which the project is to be located is to be given 60 days in which to review and comment on applications for funding under this authority. An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the Public Health Systems Reporting Requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The announcement for this grant opportunity may be found on www.Grants.gov. The application forms and guidelines are available for online submission only. Submitted applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified in the announcement (Grants.gov). Any application submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted.

Award Procedure:

An independent review panel will evaluate applications that pass the screening and meet the responsiveness criteria if applicable. These reviewers are experts in their fields, and are drawn from academic institutions, non-profit organizations, state and local government, and Federal government agencies. Based on the Application Review Criteria as outlined under Section V.1, the reviewers will comment on and score the applications, focusing their comments and scoring decisions on the identified criteria. In addition to the independent review panel, Federal staff will review each application for programmatic, budgetary, and grants management compliance. Final award decisions will be made by the Director of the Office of Adolescent Health. All applicants are notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Each year of the approved project period, grantees are required to submit a noncompeting application, which includes a progress report for the current budget year, and work plan, budget and budget justification for the upcoming year. Specific guidance will be provided via Grant Solutions well in advance of the application due date.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. An eligible institution of higher education that receives funding shall contribute an amount from non-Federal funds equal to 25 percent of the amount of the funding provided. The non-Federal share may be in cash or in-kind, fairly evaluated, including services, facilities, supplies or equipment. The non-Federal contribution does not apply to eligible high schools and community service organizations. Funds are made available for competitive grant awards under the authority of Sections 10211-10214 of the Patient Protection and Affordable Care Act (Public Law 111-148; Affordable Act). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Method of awarding/releasing assistance: other

Reports:

Please see Notice of Award for detailed requirements. Grantees must submit quarterly SF425 Federal Financial Reports to Payment Management Services due on one of the standard due dates on which cash reporting is required or at the end of a calendar quarter. The FFR is due 30 days after the end of each calendar quarter. Noncompeting applications, which includes a progress report for the current budget year, work plan, and budget and budget justification for the upcoming year. Annual reports are due within 90 days of the close of the budget period. Grantees are required to submit yearly performance measure data within 90-days of the close of the budget period via a web-based system. Grantees must submit quarterly SF425 Federal Financial Reports to the Office of Grants Management 30 days after the

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end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. Performance monitoring is conducted by office staff (project officers) via monthly conference calls, email communication, progress reports, and site visits (when funds are available for travel).

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings. HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$250,000 - \$1,000,000 per year.

TAFS Codes:

75-0117.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

In accepting the grant award, the grantee stipulates that the award and any activities thereunder are subject to all provisions of 45 CFR parts 75, currently in effect or implemented during the period of the grant or other Department regulations and policies effective at the time of the award. In addition, recipients must comply with all terms and conditions outlined in their grant awards, the Department of Health and Human Services (HHS) Grants Policy Statement, requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable, as well as any requirements or limitations in any applicable appropriations acts.

Regional or Local Office:

See Regional Assistance Locations. Program Contact: Department of Health and Human Services, Office of the Assistant Secretary for Health, Office of Adolescent Health; Dr. Diane Foley ; 1101 Wootton Parkway, Rockville, MD 20852, Telephone (240) 453-2800.

Headquarters Office:

Director Scott Moore,
1101 Wootton Parkway Tower Building,
Rockville MD 20852
Email: Scott.Moore@hhs.gov
Phone: (240) 453-8822

Website Address:

<http://www.hhs.gov/ash/oah>

RELATED PROGRAMS:

93.297 Teenage Pregnancy Prevention Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must contain prevention services requirements specified in the statute. The Pregnancy Assistance Fund (PAF) grant program provides support for States and tribes to develop and implement programs to improve the educational,

health, and social outcomes for expectant and parenting teens, women, fathers and their families. The PAF aims to strengthen access to and completion of education (secondary and postsecondary); improve child and maternal health outcomes; improve pregnancy planning and spacing and reduce the likelihood of repeat teen pregnancies; increase parenting skills for mothers, fathers, and families; strengthen co-parenting relationships and marriage where appropriate, increase positive paternal involvement; decrease intimate partner violence; and raise awareness of available resources. For purposes of this funding, the term expectant includes both women and men who are expecting a child. The term teens refer to both young men and women of high-school age and students refers to women and men enrolled in institutions of higher education. The term young adults refer to both men and women age 20 -24. Families include, but are not limited to spouses, partners, and children. Applicants may apply for funding in one or more of the following categories: Category 1 Providing support for expectant and parenting students in Institutions of Higher Education (IHE); Category 2 Providing support for expectant and parenting teens, young adults, women, fathers and their families in high schools and community service centers; Category 3 - Improving services for pregnant and parenting women who are victims of domestic violence, sexual violence, sexual assault, and stalking; or Category 4 Increasing public awareness and education of services available for expectant and parenting teens, young adults, women, fathers and their families. OAH has two program priorities for this FOA: 1) proposals that seek to involve expectant and parenting fathers and 2) proposals that target young adults (ages 20-24). A signed letter from the authorized representative must accompany the application and should include documentation establishing the authorized representatives authority to apply for and administer the grant funds on behalf of the State or tribe. OAH will accept only one application per State or tribe. All qualified applications will be given equal considerations.

93.501 Grants for School-Based Health Center Capital Expenditures

School Based Health Center Capital (SBHCC),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

42 USC 280h-4 and section 2110(c)(9) of the Social Security Act (42 USC 1397j(c)(9)),

OBJECTIVES:

The goal of the program is to award funds made available to expand school-based health center capacity to provide primary health care services for school-aged children. This competitive funding opportunity is available for school-based health centers to address significant and pressing capital improvement needs, including minor: alteration and renovation (A/R), and equipment purchases.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

An eligible entity shall use funds provided under an awarded grant only for expenditures for facilities, equipment, or similar expenditures. No funds provided shall be used for expenditures for personnel or to provide health services. See above and notice of funding opportunity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); To be eligible for a grant under this subsection, an entity shall be a school-based health center or a sponsoring facility of a school-based health center as defined in section 2110(c)(9) of the Social Security Act (42 USC 1397j(c)(9)). A school-based health center is defined as a health clinic that: 1. Is located in or near a school facility of a school district or board of an Indian tribe or tribal organization; 2. Is organized through school, community, and health

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provider relationships;3. Is administered by a sponsoring facility;4. Provides through health professionals primary health services to children in accordance with State and local law, including laws relating to licensure and certification; and5. Satisfies such other requirements as a State may establish for the operation of such a clinic.A sponsoring facility includes any of the following:1. A hospital2. A public health department3. A community health center4. A non-profit health care agency5. A school or school system6. A program administered by the Indian Health Service or the Bureau of Indian Affairs or operated by an Indian tribe or a tribal organization

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Native American Organizations; State; Local; Public nonprofit institution/organization; School-based health centers or a sponsoring facility of a school-based health center.

Credentials/Documentation:

Applicants should review the applicable HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. The National Environmental Policy Act of 1969 (NEPA), 42 U.S.C. 4321 (Public Law 91-190, Section 2, January 1, 1970, 83 Stat., 852), including Public Disclosure, Section 102 of NEPA, and E.O. 11514, requires Federal agencies to assess the environmental impacts of major Federal actions, including construction projects supported in whole or in part through Federal contracts, grants, subsidies, loans, or other forms of funding assistance. HRSA requires that applicants provide information on anticipated environmental impact as part of their applications. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Taking into consideration the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Refer to the applicable notice of funding opportunity under this CFDA program.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are for 2 years. Method of awarding/releasing assistance: other

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program notice of funding opportunity and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. A final report is due within 90 days after the project period ends. If

applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity notice are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. No cash reports are required. A progress report must be submitted quarterly. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. Performance monitoring is not applicable. A progress report must be submitted quarterly. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

07-0352-0-1-035.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Varies. See applicable notice of award.

TAFS Codes:

75-0352.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The HRSA Health Center Program improves the health of the nations underserved communities and vulnerable populations by assuring access to comprehensive, culturally competent, quality primary health care services. Over 1,400 health centers operate nearly 13,000 service delivery sites that provide patient-centered, comprehensive, integrated care to nearly 30 million patients, or 1 in 11 people, throughout the United States.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 75. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Refer questions to Headquarters Office contact listed below.

Headquarters Office:

Branch Chief, Capital Development Branch Bureau of Primary Health Care, Health Resources and Services Administration,
5600 Fishers Lane.,
Rockville MD 20857
Email: BPHCFunding@hrsa.gov
Phone: (301) 594-4300

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

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EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant addresses the Review Criteria outlined in Section V of the notice of funding opportunity.

93.504 Family to Family Health Information Centers

F2F HICs.

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title V, 501(c) (42 U.S.C. 701(c)), as amended by 216 of the Medicare Access and Childrens Health Insurance Program (CHIP) Reauthorization Act of 2015 (P.L. 114-10), and 50501 of the Bipartisan Budget Act of 2018 (P.L. 115-123)..

OBJECTIVES:

To develop and support Family to Family Health Information Centers. The Family-to-Family Health Information Centers (1) assist families of children with disabilities or special health care needs to make informed choices about health care in order to promote good treatment decisions, cost-effectiveness, and improved health outcomes for such children; (2) provide information regarding the health care needs of, and resources available for, such children; (3) identify successful health delivery models for such children; (4) develop with representatives of health care providers, managed care organizations, health care purchasers, and appropriate State agencies, a model for collaboration between families of such children and health professionals; (5) provide training and guidance regarding caring for such children; (6) conduct outreach activities to the families of such children, health professionals, schools, and other appropriate entities and individuals; and (7) are staffed by such families who have expertise in Federal and State public and private health care systems; and by health professionals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 501(c)(A) of the Social Security Act. Restricted Uses: Indirect costs that are allowed for administrative costs incurred as a result of the project are limited to 8 percent of direct costs. Student support through stipends, tuition, and fees is not eligible for support.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include public and private entities, including an Indian tribe or tribal organization (as those terms are defined at 25 U.S.C. 450b), faith-based organizations, and community-based organizations. The law limits the site of eligible organizations to the 50 States and the District of Columbia. Although Tribes and tribal organizations may apply, they must meet all applicable requirements, including targeting of all children and youth with special health care needs (CYSHCN) across the State for services. Applicants must have experience related to the purpose of the program, the effort must be family-staffed/run, and the effort must

have a focus on health for CYSHCN (as defined by the MCH Bureau). For example, if an applicant is a family organization with a history of funding that is condition-specific or related to education, mental health or developmental disabilities, as with any applicant, evidence of health for the broad CYSHCN population must be evident in their application. Applicants MUST demonstrate that they have EXISTING and effective fiduciary, administrative and management systems. If a different fiduciary agency is needed, such as a university, activities must still be family-staffed/run for the entire grant period.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Other private institution/organization; Anyone/general public; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Moderate Income; Low Income; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Projects will benefit (1) public or private agencies, organizations and institutions engaged in activities for CYSHCN; (2) family members and children who receive services through the program; and (3) professionals and trainees who provide services to CYSHCN.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for up to three (3) years of support. Funding is dependent on the availability of appropriated funds for the program in subsequent fiscal years, grantee satisfactory performance, and a decision that continued funding is in the best interest of the Federal government. Method of awarding/releasing assistance: other

Reports:

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The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Refer to information in "program reports" above. Refer to information in "program reports" above. Refer to information in "program reports" above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$5,702,196.00; FY 21 est \$5,708,250.00; FY 22 est \$5,708,250.00;

Range and Average of Financial Assistance:

FY 20 est. \$90,696-\$96,750. Average \$96,647FY 21 est. \$96,750. Average \$96,750FY22 est. \$96,750. Average award \$96,750

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 20, HRSA funded centers in 59 states and territories to provide information, education, technical assistance, and peer support to families of children and youth with special health care needs (CYSHCN) and the professionals who serve them.

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Yasmin Mazloomdoost, MPH, MSW, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, US Department of Health and Human Services, Room 18W09-A, 5600 Fishers Lane, Rockville, Maryland 20857 Email: YMazloomdoost@hrsa.gov Phone:(301) 443-3740

Headquarters Office:

Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau,, Health Resources and Services Administration, US Department of Health and Human Services, Room 18W09-A, 5600 Fishers Lane,,

Rockville MD 20857

Email: YMazloomdoost@hrsa.gov

Phone: (301) 443-3740

Website Address:

<http://www.mchb.hrsa.gov>

RELATED PROGRAMS:

93.994 Maternal and Child Health Services Block Grant to the States; 93.251 Universal Newborn Hearing Screening; 93.127 Emergency Medical Services for Children; 93.926 Healthy Start Initiative; 93.110 Maternal and Child Health Federal Consolidated Programs; 93.365 Sickle Cell Treatment Demonstration Program; 93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in the Application Review Information in the notice of funding opportunity.

93.516 Public Health Training Centers Program

Regional Public Health Training Center (PHTC),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Regional PHTC: Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295 and 295a),.

OBJECTIVES:

REGIONAL PUBLIC HEALTH TRAINING CENTER (PHTC):The purpose of the Regional PHTC program is to improve the Nation's public health system by strengthening the technical, scientific, managerial and leadership competencies of the current and future public health workforce through the provision of education, training and consultation services. The Regional PHTC Programs together comprise a national program that serves all 50 states, the District of Columbia, the U.S. Virgin Islands, Puerto Rico, and the six U.S. Pacific Jurisdictions. Ten regions are funded to ensure that the U.S. and its jurisdictions all have access to public health workforce education and training. The work of the Regional PHTCs will be accomplished through the provision of education, training, and consultation to State, local, and Tribal health department staff as a primary audience, particularly frontline public health workers and middle managers. The secondary audience includes staff from other parts of the public health system such as non-profit organizations, federally qualified health centers, accountable care organizations, and hospitals, among others.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grant funds may support salaries, stipends, consultant costs, equipment, and travel as requested to develop and implement the program. Grant funds may not be used for construction, patient services, student tuition or international activities unless associated with a recognized territorial awardee. Grant funds may not be used to supplant training and education activities which should be provided as part of the mission of the awardee or sub-awardee institution. In addition, grant funds are not intended to supplant funds for educational efforts which should be supported by nonprofit private industry or other public agencies. Applicants are expected to leverage their resources to create enhanced training opportunities through partnerships and collaboration. Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education

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and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Accredited school of public health, or another public or nonprofit private institution accredited for the provision of graduate or specialized training in public health. This program also includes a statutory funding preference for accredited schools of public health. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Individual/Family; Minority group; Private nonprofit institution/organization; Native American Organizations; Health Professional; Student/Trainee; Migrant; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Welfare Recipient; Low Income; Public nonprofit institution/organization; Each trainee receiving stipend support must be a citizen of the United States, a non-citizen U.S. national, or a foreign national having in his or her possession a visa permitting permanent residence in the United States.

Credentials/Documentation:

Applicants should review the individual Notice of Funding Opportunity documents issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window) (opens in new window) HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of award is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award..

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 4-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. All recipients are required to submit annual performance and non-competing progress reports. A Federal Financial Report (SF-425) is required for both programs according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FSR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0353-0-1-552.

Obligations:

Cooperative Agreements FY 20 \$9,100,000.00; FY 21 est \$9,100,000.00; FY 22 est \$9,100,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Regional PHTCs FY 20 Range: \$767,470 to 1,087,248 Average: \$891,381 FY 21 Range: \$0 Average: \$0 FY 22 est Range: \$0 Average: \$0 NCCPHTFY 20 Range: \$0 Average: \$0 FY 21 Range: \$0 Average: \$0 FY 22 est Range: \$0 Average: \$0

TAFS Codes:

75-0353.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Awardees developed and delivered more than 2,350 continuing education courses to nearly 170,000 practicing professionals, 22 percent of whom indicated employment in medically underserved communities, and collaborated with 241 training sites to provide public health students with clinical training experiences, 75 percent of which were located in medically underserved communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Project Officer Megan Lincoln, Project Officer, Behavioral and Public Health Branch, Division of Nursing and Public Health, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, 11N94D, Rockville MD 20857
Email: mllincoln@hrsa.gov
Phone: 301-443-2702

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Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.249 Public Health Training Centers Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of applications have been instituted to provide for an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF

ELC/EIP - Prevention and Public Health Fund and other Capacity-Building Activities,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Patient Protection and Affordable Care Act (PL 11-148), Title IV, Section 4002 (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2012 Prevention and Public Health Funds (PPHF-2012), Section 301 and 317, 42 USC Code 241 and 247b. Sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended; and Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund), Title IV, Section 4002

OBJECTIVES:

The Prevention and Public Health Fund (Title IV, Section 4002) was established under the Patient Protection and Affordable Care Act to provide for expanded and sustained national investment in prevention and public health programs to improve health and help restrain the rate of growth in private and public sector health care costs. The Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) and Emerging Infections Program (EIP) cooperative agreements were formed in 1995 as key components of CDC's national strategy to address and reduce emerging infectious disease (EID) threats. The programs play a critical role in strengthening national infectious disease infrastructure by serving as collaborative platforms for state and local health departments, CDC programs, and academic and various other public health partners to improve the ability to detect and respond to EIDs and other public health threats. Specifically, the programs build epidemiology, laboratory, and information systems capacity, integrate epidemiology and laboratory practice, implement active surveillance, and conduct targeted research aimed at improving methods and informing national surveillance and response activities. Overall, additional funds from multiple sources including PPHF will allow ELC and EIP partner agencies to substantially address gaps in EID epidemiology and laboratory capacity (e.g. number and training level of epi and lab staff, efficient/functional information systems, etc).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, and the implementation of other program elements to build public health epidemiology, laboratory, and health information systems capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

U.S. Territories and possessions; State; Eligible applicants include the current ELC and EIP grantees which consists of all U.S. states, 6 large local health departments (Los Angeles County, Philadelphia, New York City, Chicago, Houston, and the District of Columbia), U.S. territories (Puerto Rico, Guam, U.S. Virgin Islands) and other U.S. affiliates in the Pacific (American Samoa, the Republic of Palau, Federated States of Micronesia, Marshall Islands, Mariana Islands and American Samoa).

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; State; Local; State health departments, large local health departments, the District of Columbia, U.S. Territories, and the general public.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Only current recipients under CK19-1904 are eligible to apply.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted electronically via GrantSolutions.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Office of Grants Services (OGS). The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized OGS Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon availability of funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Financial assistance is available for a 12-month budget period within periods of performance ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). The reporting schedule will be based on the requirements listed in the ELC and EIP funding opportunity announcements. Funded applicants must provide an interim and annual progress report. Federal Financial Reports (SF 425) and Final Progress Report are due no more than 90 days after the end of the budget period. All reports must be submitted to the Grants Management Specialist indicated in the NoA. Federal Financial Reports (SF 425) are due no more than 90 days after the end of the budget period. Federal Financial Reports and Progress Reports are required, no more than 90 days after the end of the budget/project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0949-0-1-550. 75-0951-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Jason Snow,
Scientific Programs & Development Branch (SPDB) Division of Preparedness and Emerging Infections (DPEI) National Center for Emerging and Zoonotic Infectious Diseases (NCEZID) Centers for Disease Control and Prevention (CDC) 1600 Clifton, Building 24, Room 11111.2 Mailstop H24-11 Atlanta, GA 30329,
Atlanta GA 30329
Email: itk0@cdc.gov
Phone: 4046394577
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.323 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC);
93.815 Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity

for Infectious Diseases (ELC). ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that includes quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcements.

93.524 Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF)

CBA to Strengthen Public Health Infrastructure and Performance,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 U.S.C. 241 and 247b as amended, and the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Section 4002 (Prevention and Public Health Fund), Title IV, Section 4002, Public Law 111-148, 42 USC Code 242,247.

OBJECTIVES:

This program will cover projects under two funding initiatives: 1) specific capacity building activities (CBA) under PPHF; and 2) CBA activities under an umbrella cooperative agreement for unique target populations. Applicant organizations will have the opportunity to compete for PPHF supported projects as well as high priority CDC projects. This program will assist state, tribal, local and U.S. territorial health departments, and other components of the public health system by making available to them capacity building assistance (including technical consultation, skills building/training, information, and technology transfer assistance) that will result in: systems and organizational efficiencies; a capable and qualified workforce; state-of-the-art information technology systems and integrated and standardized community and population data; improved planning, implementation and evaluation of evidence-based public health policies, laws, programs and services; results driven local and national public and private partnerships; and increased availability and accessibility of public health resources, such as publications, educational materials, syndicated website material, training curricula, assessments and evaluation tools, and other products for improvement of public health agencies and other agencies in the public health system. The overall goal of capacity building assistance is to ensure improvements in the public health infrastructure so that it is prepared for responding to both acute and chronic threats relating to the Nations health such as emerging infections, disparities in health status, and increases in chronic disease and injury rates. Capacity building assistance will focus on the provision of technical consultation, skills building/training, and information and technology transfer assistance to ensure successful planning, implementation, coordination and evaluation of the key areas for public health infrastructure investments. CBA may also be provided for CDC-funded projects which may include limited CBA to international public health agencies where there is a benefit to US public health efforts or to the US public health system. Investing in CBA is expected to help public health agencies and other public health components fulfill their mission of protecting and promoting health in their communities and effectively performing essential public health services. Some of the capacity building needs are in the following areas: Improving the public health workforce (e.g., e-learning, other training, and fellowship programs); vital statistics systems (electronic birth and death registration); food and water borne disease identification and prevention, prevention of hospital acquired

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infections, electronic health record/IT systems, communications systems and processes (e.g. information syndication and social media capacity), and laboratory and epidemiologic capacity. Developing information systems to support adoption, implementation, enforcement, and/or evaluation of public health legislation, codes, rules, regulations, ordinances, and other policies. Improving public health system development/redevelopment by building or re-engineering infrastructure to improve networking, coordination, standardization, and centralization of public health services to effectively and efficiently address priority health indicators. Implementing best health care practices and conducting impact evaluation. Improving information and data system capacity through policy/communication for standardized data collection and analysis systems, information management technology, information dissemination, and meaningful data use and translation. Improving organizational capacity to effectively and efficiently use resources such as fiscal agents, and intermediaries, and Improving technical and program guidance and evaluation of preventive services to expand coverage for community and clinical preventive services. This includes technical consultation and promotion on the adoption and utilization of the recommendations from the Guide for Community Preventive Services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used for costs associated with providing capacity building assistance to strengthen the infrastructure of State, Tribal, Local, and Territorial public health agencies and other components of the public health system. For the purposes of this program, other components of the public health system refers to specific professional constituencies (i.e., epidemiologists, community health nurses, health education and promotion specialists tribal health leaders, chronic disease directors, health information specialists, Medicaid/Medicare Directors, state/local public health financial officials, etc.) or key components of the public health system (i.e., community and neighborhood health centers, public health and primary care delivery systems, community and faith-based organizations, public health and accreditation boards, public health institutes, primary care residency programs, health insurance consortia, family/social services programs, maternal/child health associations, etc.).

Applicant Eligibility:

Other public institution/organization; Non-Government - General; 1. Eligible Applicants: Organizations with nonprofit 501(c)(3) or nonprofit 501(c)(6) IRS status (other than institutions of higher education). 2. Special Eligibility Requirements: The applicant organization must provide evidence of national scope of work and of public health charge or mission. 3. Justification for Less than Maximum Competition: The program leadership in the Office of State, Tribal, Local and Territorial Support (OSTLTS) determined that in order to achieve its strategic priorities for strengthening the public health infrastructure and advancing the quality of public health decision making, OSTLTS will need to expand its capacity building assistance (CBA) efforts through national, non-profit organizations with experience and expertise providing capacity building assistance to governmental and non-governmental components of the public health system. Eligible applicants are limited to national, non-profit professional public health mission organizations with experience and expertise providing capacity building assistance (CBA) to governmental and non-governmental components of the public health system. The CDC is requesting the provision of capacity building assistance (CBA) to public health agencies and other public health entities across the United States and its territories in order to strengthen public health practice to improve health for all populations. These national public health mission organizations are the only entities positioned to effectively and efficiently execute on the expected capacity building outcomes, outputs, and activities outlined in the FOA. The characteristics that position these organizations are: 1) designated mission and experience working nationally, 2) demonstrated infrastructure, experience and expertise providing CBA, and 3) relationship to the public health system workforce across the United States and Territories. Therefore, eligibility is limited to the above specified types of applicants/organizations that are expected to demonstrate significant experience and expertise providing capacity building assistance (CBA) to the target populations described in the attached OT13-1302 FOA. This expertise is necessary for the grantee to effectively and efficiently complete the related activities and achieve the program outcomes described in the funding opportunity announcement. Additionally the following capacities will facilitate the completion of projects in the specified timeframe:  Infrastructure to organize,

conduct work and disseminate key outcomes.  Communicate key information to organization members, stakeholders and the public health community on a regular basis.  Leverage a wide array of resources among organization members and the public health community to expeditiously achieve results in a cost-effective manner.  Interact with other public health organizations; act as a networking hub to build the capacity of governmental and non-governmental components of the public health system.

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; State; Local; Federally Recognized Indian Tribal Governments; Beneficiaries include state health departments; tribal health organizations; local health departments; the District of Columbia; U.S. Territories; and other components of the public health system. The general public will also serve as beneficiaries.

Credentials/Documentation:

The applicant organization must include evidence of national scope of work and of public health role or mission. Articles of incorporation, board resolution or by-laws are acceptable forms of evidence. The applicant must also provide evidence of federally assigned 501(c)(3) or 501(c)(6) status designation by submitting a copy of the current, valid Internal Revenue Service (IRS) determination letter. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit non-governmental organizations. Applicants must download application forms from www.grants.gov, and completed applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

1. Phase I Review: All eligible applications will be initially reviewed for completeness by CDC's Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by CDC/OSTLTS and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance to Phase II review. Applicants will be notified if the application did not meet eligibility and/or published submission requirements. 2. Phase II (Initial Base-Funding) Review: An objective review panel will evaluate complete and responsive applications according to the criteria listed in the Criteria section of the FOA. The applications will be compiled and reviewed according to the category for which applicants submitted their applications. Applicants will be notified electronically if the application did not meet eligibility and/or published submission requirements thirty (30) days after the completion of Phase II review. 3. Phase III Review: In addition, the following factors may affect the funding decision during Phase II (Initial Base-Funding Competition) Review: a. Preference to avoid duplication of CBA services to the same target populations. b. Preference may be given to the funding of applicants that propose to provide CBA services to target populations not served by higher ranking applicants. c. Preference will be given to ensure funding of organizations that provide CBA services to target populations not duplicated in other CDC funding mechanisms. CDC will provide justification for any decision to fund outside of ranked order of scores. Final funding determinations will be based on application scores from the objective review panels and consideration for CDC's funding preferences. 4. Phase IV (Secondary CIO-Funding) Review: Applicants that are successful in Phase II (Initial Base-Funding) Review and are awarded funds will be eligible to participate in the Phase IV (Secondary CIO-Funding) Review for CDC-wide public health projects. Eligible awardees will receive an application packet detailing the Phase IV (Secondary CIO-Funding) application submission process upon receipt of the Phase II (Initial-Base Funding) Notice of Award. The awardees must submit stand-alone applications in response to a range of CBA projects that represent public health disciplinary areas and cross-cutting disciplines and/or topics. CIO-funded projects may also include limited CBA to international public health constituents where there is a benefit to U.S. public health efforts or to the U.S. public health

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system. The applications will be compiled and reviewed according to the Category and CIO project for which applicants submitted proposals. In the event a technical review is more efficient (i.e., there is one proposal submitted for a particular CIO project), the technical review will be held in place of an objective review. Final funding determinations will be based on application scores from the objective review (and technical review) panels.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon the conditions in the funding announcement and are subject to the availability of CIO discretionary and programmatic funding, and funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

a.Reporting: Reporting allows for continuous program monitoring and identifies successes and challenges that awardees encounter throughout the award. Reporting is also necessary for awardees to apply for yearly continuation of funding. In addition, reporting is helpful to CDC and awardees because it helps target support to awardees, particularly for cooperative agreements. Provides CDC with periodic data to monitor awardee progress towards meeting the FOA outcomes and overall performance. Allows CDC to track performance measures and evaluation findings for continuous program improvement throughout the project period and to determine applicability of evidence-based approaches to different populations, settings, and contexts. Enables the assessment of the overall effectiveness and impact of the FOA. For organizations that receive PPHF funding, additional reporting is required. These requirements will be communicated to awardees post-award. As described below, awardees must submit one report per year; ongoing performance measures data, administrative reports, and a final performance and financial report. Below are the specific reporting requirements: b. Annual Performance Report (due 120 days before the end of the budget period; serves as a continuation application). This report must not exceed 35 pages, excluding work plan and administrative reporting. Attachments are not permitted when submitting this report. This report must include the following: Performance Measures (including outcomes): Awardees must report on performance measures for each budget period and update measures, if needed. Evaluation Results: Awardees must report evaluation results for the work completed to date (including any impact data). Work Plan (maximum of 25 pages): Awardees should update the work plan each budget period. Successes: Awardees must report progress on completing activities outlined in the work plan. Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year. Awardees must describe success stories. Challenges: Awardees should describe any challenges that hinder achievement of both annual and project period outcomes, performance measures, or their ability to complete the activities in the work plan. Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year. CDC Program Support to Awardees: Awardees should describe how CDC could assist them in overcoming any challenges to achieve both annual and project period outcomes and performance measures, and complete activities outlined in the work plan. Administrative Reporting (not subject to page limits): SF-424A Budget Information-Non-construction Programs; Budget Narrative Must use the format outlined in Section IV. Content and Form of Application Submission, Budget Narrative Section; Indirect Cost Rate Agreement. During years 25, awardees may request up to 75% of their estimated

unobligated funds to be carried forward into the next budget period. The carryover request must: Express a bona fide need for permission to use an unobligated balance. Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which the fund will be transferred (can request up to 75% unobligated balances). Include a list of proposed activities, an itemized budget, and a narrative justification of those activities. The applicant must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. c. Performance Measure Reporting: CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project. d. Federal Financial Reporting: The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days after the end of each budget period. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Management Officer will receive the information. e. Final Performance and Financial Report: At the end of the five-year project period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages. At a minimum, this report must include the following: Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period. Evaluation results: Awardees should report final evaluation results for the project period. Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories. The completed FFR (SF-425). The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA. Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5: This award requires the recipient to complete projects or activities which are funded under the 2013 Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public. Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the sub-recipient). Note: Recipients that were previously financed solely by PPHF funds and are now financed solely by a source other than PPHF do not need to adhere to the reporting and tracking requirements for the continuation portion of the project. Grantees that do not have FY13 PPHF funding do not need to adhere to the PPHF reporting requirements for FY13. However, grantees will still need to adhere to PPHF reporting requirements for the PPHF funding from FY12. No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2012 and reporting by January 10, 2013, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). CDC programs must require awardees to submit performance measures at least annually. CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data. CDC programs should specify reporting frequency, required data fields, and format for awardees at the beginning of the award. As indicated in the previous section, awardees will submit annual performance reports based on their selected program strategies and activities. These performance measures and the

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frequency of reporting will vary by project. At the end of the five-year project period, awardees should submit a final report to include a final financial and performance report. This report is due 90 days after the end of the project period and should not exceed 40 pages. At a minimum, this report must include the following: Performance Measures (including outcomes): Awardees should report final performance data for all performance measures for the project period. Evaluation results: Awardees should report final evaluation results for the project period. Impact/Results: Awardees should describe the impact/results of the work completed over the project period, including success stories. The completed FFR (SF-425). The report should be emailed to the CDC Project Officer and the GMS listed in Agency Contacts section of the FOA. Grantees will be monitored by project officers to ensure accomplishment of approved objectives and activities (including development and dissemination of deliverables, and number of training and technical assistance episodes delivered), and for capacity building outcomes such as adoption of best practices by state, tribal, local and territorial health departments and increases in the implementation and evaluation of public health infrastructure investments.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The floor of individual award range is \$4 million for Category A, \$1 million for Category B and \$100,000 for Category C. The approximate average award ranges for the 12-month budget period are up to \$9 million for Category A, up to \$2.5 million for Category B and up to \$1 million for Category C.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm> Evaluation activities such as submission of annual reports and site visit participation will be required to ensure awardees adhere to the program guidelines and regulations. Detailed report requirements are described in Funding Opportunity Announcement CDC-RFA-OT13-1302.

Regional or Local Office:

None.

Headquarters Office:

Caroline Sulal,
1825 Century Blvd MS V18-1,
Atlanta GA 30345
Email: CSTLTSPartnersCoAg@cdc.gov
Phone: 770.488.1522
Fax: 404.498.0430

Website Address:

<https://www.cdc.gov/publichealthgateway/funding/rfaot13.html>

RELATED PROGRAMS:

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications were evaluated based on: (1) demonstrated track record of providing technical consultation, skills building/training, information, or technology transfer assistance to components of the public health system, including state, tribal, local and territorial health departments; (2) understanding of the capacity building infrastructure development needs of state, tribal, local, and territorial health departments; (3) a clear plan of action with objectives achievable in one year and subsequent years; and (4) a clear assessment strategy (plan) to measure the effectiveness of capacity building assistance in improving the planning, implementation, coordination, evaluation (including dissemination of best practices) of public health infrastructure investments.

93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The American Rescue Plan Act (ARPA) of 2021 (P.L. 117-2),

OBJECTIVES:

The purpose of this funding opportunity is to modernize or update any system, program, or technology that is necessary for implementation of Sections 9661 and 9663 of the ARPA, which make changes to the premium assistance amounts available to certain taxpayers in Plan Years (PY) 2021 and 2022 who enroll in a qualified health plan through an Exchange. Eligible applicants may also request funding to make other system or program changes that would ensure an Exchange is compliant with all applicable federal requirements.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Cooperative agreement funds are to be used for activities related to modernizing the American Health Benefit Exchange. Please see the specific notice of funding opportunity for more information on how funds can be used.

Applicant Eligibility:

U.S. Territories and possessions; State; This is a Limited Competition Notice of Funding Opportunity (NOFO) that is open only to eligible applicants. Eligible applicants are American Health Benefits Exchanges (referred to as State-based Exchanges or SBEs) in operation for PY 2021 or expected to be in operation for PY 2022. State-based Exchanges on the Federal Platform, defined under section 1321(c) of the Patient Protection and Affordable Care Act (42 U.S.C. 18041(c)), are not eligible applicants, unless transitioning to operate as a full SBE for PY 2022.

Beneficiary Eligibility:

U.S. Territories; State; Projects will benefit States and Territories or entities authorized by the State or Territory that operate Exchanges in States or Territories

Credentials/Documentation:

Applicants should review the specific notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

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is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications and required forms for each program can be obtained from Grants.gov. The Center for Consumer Information and Insurance Oversight (CCIO) requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the comments of the objective review committee, the CCIO program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award (NoA).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding must be used by September 30, 2022. Please see the specific notice of funding opportunity for more information of applicable period of performance. Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: quarterly

Reports:

: Submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The report identifies cash transactions against the authorized funds for the cooperative agreement. The FFR must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Final Project Report- includes information on project status, implementation activities initiated, accomplishments, barriers, and lessons learned in order to ensure that funds are used for authorized purposes and instances of fraud, waste, error, and abuse are mitigated. Also includes changes in work plan components and progress on milestones, goals, objectives, and activities. Progress will be evaluated by the Project Officers and determinations regarding the approval of drawdowns will be based on the recipient's progress. Final project reports are also required. Work Plan Updates - As necessary, recipients will provide CMS with documentation of substantive approved changes to their work plans. Please see the specific FOA to determine if this is applicable. On an annual basis, the Federal Financial Report (FFR) must also include information on expenditures. Failure to submit the report may result in the inability to access grant funds. On an annual basis, the Federal Financial Report (FFR) must also include information on expenditures. Failure to submit the report may result in the inability to access grant funds. : Performance Review - as described in the applicable notice of funding opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Single State Audit requirements will apply for grantees expending \$750,000 or more of grant funds.

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-2122-0-1-551.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$20,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$0 - \$1,111,111.00.

TAFS Codes:

75-2122.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. CCIO awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://dhhs.gov/asfr/ogapa/aboutog/ogpoe.html>.

Regional or Local Office:

See Regional Assistance Locations. Michelle T. Brown, Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Department of Health and Human Services, 200 Independence Ave, SW, Washington DC 20201.

Headquarters Office:

Michelle T. Brown,
200 Independence Ave., SW.,
Washington DC 20201
Email: michelle.brown@hhs.gov
Phone: (301) 492-4312.

Website Address:

<http://www.cciio.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V -Application Review and Selection Information - of the specific notice of funding opportunity.

93.526 Grants for Capital Development in Health Centers

Capital Development Grants,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 330(e)(3) of the Public Health Service Act, 42 U.S.C. 254b(e)(3); Section 10503(b) of the Patient Protection and Affordable Care Act of 2010, P.L. 111-148; and Division G, Title II, p. 2466, Consolidated and Further Continuing Appropriations Act, 2015, P.L. 113-235,

OBJECTIVES:

To award Health Center Capital Development Grants for: (1) immediate facility improvements or (2) building capacity.

TYPES OF ASSISTANCE:

Project Grants

ASSISTANCE LISTINGS DESCRIPTIONS

USES AND USE RESTRICTIONS:

Funds will be used (1) to address immediate and pressing capital needs or (2) to support the costs of alteration/renovation or construction of a facility that is consistent with the Health Center Programs mission to provide affordable, quality primary health care services for medically underserved communities and vulnerable populations.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Eligibility is limited to currently-funded health centers (see applicable Notice of Funding Opportunity for detail).

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Public nonprofit institution/organization; Populations in medically underserved areas.

Credentials/Documentation:

Applicants should review the applicable HRSA notice of funding opportunity issued under the relevant program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Refer to the applicable notice of funding opportunity under this program.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the applicable funding notice of funding opportunity under this program.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made when funding is available. Grant funds will be awarded for up to 3 years Method of awarding/releasing assistance: other

Reports:

Refer to the applicable notice of funding opportunity under this assistance listing for reporting information

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Award recipients are required to maintain grant accounting records 3 years after the

end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available; Project Grants FY 20 \$0.00; FY 21 est \$1,000,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Varies. See applicable notice of funding opportunity.

TAFS Codes:

75-0352.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The most recent capital investment awards made in HRSA Health Center Program grant recipients in fiscal years 2015 and 2016 resulted in the support of 450 projects to increase patient capacity and to provide additional comprehensive primary and preventive health services to medically underserved populations.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 75. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Refer questions to Headquarters Office contact listed.

Headquarters Office:

Bureau of Primary Health Care, Health Resources and Services Administration, Health Resources and Services Administration, 5600 Fishers Lane, Rockville MD 20857

Email: BPHCFunding@hrsa.gov

Phone: (301) 594-4300

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the applicable notice of funding opportunity issued under this program.

93.527 Grants for New and Expanded Services under the Health Center Program

Grants for New and Expanded Services under the Health Center Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 330 of the Public Health Service Act (42 U.S.C. 254b), as amended),.

OBJECTIVES:

To provide for expanded and/or sustained national investment in health centers funded under section 330 of the Public Health Service Act, or designated by HRSA as meeting the requirements of the Health Center Program (referred to as look-alikes). Objectives may include expanding access to primary health care on a national basis by creating new access points (i.e., new health centers and sites), expanding service provision at new or existing health centers, expanding networks

ASSISTANCE LISTINGS DESCRIPTIONS

that facilitate health information technology-based quality improvements in health centers, and expanding primary health care services related to emerging public health issues, including public health emergencies or developing, implementing and evaluating innovative, evidence-based strategies that optimize the use of virtual care.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Refer to the notice of funding opportunity for additional information.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants for funding for new access points are public and private non-profit entities, including federally recognized Indian Tribal governments and Native American, faith-based, and community-based organizations that have the capacity to effectively administer the grant in alignment with the requirements outlined in Section 330 of the Public Health Services Act, as amended. Refer to the applicable notice of funding opportunity under this program for additional information. Eligible applicants for funding for expanded services, such as HIV prevention and school-based services, as well as virtual care innovation are current Health Center Program award recipients (funded under section 330 of the Public Health Service Act, as amended). Refer to the applicable notice of funding opportunity under this assistance listing for additional information. Eligible applicants for funding for Health Center Controlled Networks are networks that are at least majority controlled and, as applicable, at least majority owned by Health Center Program award recipients; or current Health Center Program award recipients, funded for at least the 2 consecutive preceding years, applying on behalf of a Health Center Controlled Network. Refer to the applicable notice of funding opportunity under this assistance listing for additional information. Eligible applicants for expansion of COVID-19 related services are current Health Center Program awardees designated look-alikes, and Health Center Controlled Networks. Refer to the applicable notice of funding opportunity or supplemental funding instructions under this assistance listing for additional information.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; State; Local; Public nonprofit institution/organization; Populations in medically underserved areas, medically underserved populations, and special populations such migratory and seasonal agricultural workers and their families, people experiencing homelessness, and public housing residents.

Credentials/Documentation:

Applicants should review the applicable HRSA notice(s) of funding opportunity issued under this program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. The cost principles codified by the United States Department of Health and Human Services at 45 CFR Part 75 apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program.

Award Procedure:

Notification is made in writing by a Notice of Award (NoA).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the applicable notice of funding opportunity under this program.

Appeals:

Not Applicable.

Renewals:

Other. Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the projects goals, satisfactory performance, and a determination that continued funding would be in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: Statutory formula and matching requirements are not applicable to this assistance listing..

Length and Time Phasing of Assistance:

Awards are made when funding is available. After notices of awards are issued, award recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Refer to the applicable notice of funding opportunity under this assistance listing for reporting information. The awardee will be required to submit federal financial reports (see the program notice of funding opportunity and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is due according to the following schedule: http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrsc_hedule.pdf. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Expenditure reports are not applicable. Performance monitoring occurs through review of yearly reports. Refer to the applicable notice of funding opportunity under this assistance listing for additional information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Award recipients are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$25,000,000.00; FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$89,500,000.00; FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$50,000,000.00; Project Grants FY 20 \$42,000,000.00; FY 21 est \$42,000,000.00; FY 22 est \$42,000,000.00; Project Grants FY 20 \$1,983,000,000.00; FY 21 est \$1,805,032,704.00; FY 22 est \$0.00; Project Grants FY 20 \$21,000,000.00; FY 21 est \$150,000,000.00; and FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$11,000,000.00; and FY 22 est \$0.00; Project Grants FY 20 \$53,700,000.00; FY 21 est \$102,300,000.00; and FY 22 est \$152,300,000.00; Project Grants FY 20 \$1,175,000,000.00; FY 21 est \$0.00; and FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

Varies. See applicable notice of funding opportunity.

TAFS Codes:

75-0360.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The HRSA Health Center Program improves the health of the nations underserved communities and vulnerable populations by assuring access to comprehensive, culturally competent, quality primary health care services. The Health Center Program provides patient-centered, comprehensive, integrated care to nearly 30 million people a year in communities across the United States through nearly 1,400 HRSA health centers that operate nearly 13,000 delivery sites. Through new and expanded funding, nearly 90% of health centers are part of a network, and health centers have been able to support their communities and patients throughout the COVID-19 pandemic and expand critical services, such as HIV prevention.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is subject to the provision of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 75 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations.

Headquarters Office:

Bureau of Primary Health Care, Health Resources and Services Administration, 5600 Fishers Lane, Rockville MD 20857
Email: BPHCFunding@hrsa.gov
Phone: 3015944300
Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers; 93.224 Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are available in the notice(s) of opportunity under this assistance listing.

93.528 National Forum for State and Territorial Chief Executives

National Forum,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 311(a) of the Public Health Service Act, as amended.,

OBJECTIVES:

The National Forum cooperative agreement aligns state and federal efforts by providing opportunities for state and territorial decision-makers to collaborate on the development and implementation of innovative strategies and best practices related to health workforce issues, health systems, and access to healthcare. The purpose of the National Forum is to convene high level decision makers representing state/territorial governors, their policy advisors, and state, federal, health and human services providers, as well as private sector interests around issues of importance to state and territorial governments. As such, HRSA's support of the National Forum program will assist governors and key policy staff to develop and implement innovative solutions to challenges facing the health care safety net at the state and national level. This will further HRSA's goals for enhancing service to the safety net population and supporting sustainability of programs. The three core functions of the Cooperative Agreement are: 1) facilitate collaboration among states, territories, federal partners and other key stakeholders to achieve common goals; 2) encourage integration of public health practice, primary care delivery systems and public health infrastructures; and 3) disseminate best practices and lessons learned to other states, territories and key stakeholders through various formats and mechanisms.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This cooperative agreement will provide assistance to the National Forum to carry out initiatives that support states and territories efforts that align with the purpose of the National Forum. The cooperative agreement also leverages limited HHS and HRSA resources to support cohesiveness with regional and national health and human services initiatives. Program expectations: The National Forum program will assist governors and key policy staff to develop and implement innovative solutions to governance and policy development in their jurisdictions. As such, the award recipient will be expected to facilitate partnerships across the state to include local government entities, stakeholders in various regions and across health care systems and health professional disciplines. The National Forum shall include initiatives where success is measurable and significant, the results are reproducible, the efforts are sustainable, and the approach cost effective. The full amount will be used to cover the activities described in the Notice of Funding Opportunity.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Eligible applicants include public and nonprofit entities. Faith-based and community-based organizations, and tribes and tribal organizations as those terms are defined in 25 U.S.C.450b, are eligible to apply. National bi-partisan organizations representing governors and their staff of a broad cross-section of states, the Commonwealths of the Northern Mariana Islands and Puerto Rico, the U.S. flag territories of American Samoa, Guam, and the U.S. Virgin Islands are eligible to apply.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Interstate; Native American Organizations; Health Professional; Intrastate; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Local; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See eligible beneficiaries listed above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under 2 CFR 200, Subpart E -

ASSISTANCE LISTINGS DESCRIPTIONS

Cost Principles. Applicants must demonstrate how their proposed activities would promote bi-partisan participation, for example, as evidenced by letters of support from at least 10 states that reflect their interest in pursuing bi-partisan and/or nonpartisan objectives relating to health policy, public health, Medicaid, insurance coverage, behavioral health, social work, housing, and health care workforce. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Funding beyond the first year is dependent on the availability of appropriated funds for the National Forum in subsequent fiscal years, awardee satisfactory performance, and a decision that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is for up to five years. Method of awarding/releasing assistance: other

Reports:

Refer to the HRSA Notice of Funding Opportunity for additional information. The award recipient will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. The award recipient must submit a progress report to HRSA on an annual/quarterly basis. For multi-year awards: Submission and HRSA approval of the Progress Report(s) triggers the budget period renewal and release of subsequent year funds. The progress report typically has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data including performance measurement data to measure the progress and impact of the project. An annual Federal Financial Report is required.

The report is an accounting of expenditures under the project that year. More specific information will be included in the Notice of Award. Refer to the HRSA funding opportunity announcement for the roles and responsibilities of the Federal government and the recipient.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$467,500.00; FY 21 est \$600,000.00; FY 22 est \$600,000.00;

Range and Average of Financial Assistance:

In FY 2021, approximately \$600,000 is expected to be available to fund one (1) recipient. There will likely be a New and Competing Continuation funding opportunity in FY 23 for a 5-year project period (FY23-FY28).

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In project year three, the award recipient released and distributed the following products to stakeholders through the National Forum Program: A 2020 Maternal and Child Health (MCH) Update, including findings from a survey fielded among senior state and territory health officials including MCH Directors, Medicaid Directors, and Governors offices; A brief about how states are employing strategies to mitigate direct health care workforce challenges; and A policy brief exploring how states are supporting and safeguarding rural hospitals, a publication on promising practices to reduce maternal mortality in New Jersey (which the NJ's First Lady Tammy Murphy tweeted about).

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Kathryn Brothers,
5600 Fishers Lane,
Rockville MD 20857
Email: KBrothers@hrsa.gov
Phone: 301-443-2304
Fax: 301-443-2206

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers; 93.011 National Organizations of State and Local Officials;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review Criteria are outlined in Section V of the HRSA Notice of Funding Opportunity.

ASSISTANCE LISTINGS DESCRIPTIONS

93.530 Teaching Health Center Graduate Medical Education Payment

Teaching Health Center Graduate Medical Education (THCGME) Payment Program; Teaching Health Center Planning and Development (THCPD) Program; and the Teaching Health Center Planning and Development Technical Assistance (THCPD-TA) Cooperative Agreement.

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 340H of the Public Health Service Act.

OBJECTIVES:

The objective of the THCGME program is to expand primary care medical and dental residency training programs in community-based ambulatory care settings. The objective of the THCPD program is to establish newly accredited and sustainable community-based residency programs or rural training track (RTT) programs. The objective of the THCPD-TA cooperative agreement is to provide technical assistance to THCPD Program award recipients to support the creation of newly accredited and sustainable community-based residency programs or RTT residency programs.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are restricted to eligible teaching health centers for direct expenses and the other indirect expenses associated with operating approved graduate medical residency programs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible entities include community-based ambulatory patient care centers that operate a primary care residency program. Specific examples of eligible entities include, but are not limited to: Federally qualified health centers, as defined in section 1905(l)(2)(B) of the Social Security Act Community mental health centers, as defined in section 1861(ff)(3)(B) of the Social Security Act Rural health clinics, as defined in section 1861(aa) of the Social Security Act Health centers operated by the Indian Health service, an Indian tribe, or tribal organization, or an urban Indian organization, as defined in section 4 of the Indian Health Care Improvement Act An entity receiving funds under Title X of the Public Health Service Act. In addition, the eligible entity must be listed as the institutional sponsor by the relevant accrediting body, including the Accreditation Council for Graduate Medical Education (ACGME) or the Council on Dental Accreditation (CODA) Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Black; American Indian; Spanish Origin; Asian; Other Non-White; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Sponsored organization; Moderate Income; Low Income; Public nonprofit institution/organization; Other public institution/organization; Community-based ambulatory patient care centers that operate a primary care residency programs.

(THCGME) Community-based ambulatory patient care centers that are establishing newly accredited primary care residency programs. (THCPD)

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity (NOFO) issued under this CFDA program for any required proof or certifications that must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified new applications will be forwarded to an objective review committee. All applications will be reviewed for eligibility including accreditation status, program approval, qualifications of the Project Director, completeness, accuracy and compliance with the requirements outlined in the Notice of Funding Opportunity. Based on this review, the HRSA program official with delegated authority is responsible for final selection and formula-based funding decisions. Notification is made in writing by a Notice of Award. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart . Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

THCGME awards are based on an annual assessment of residency FTE slots. Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. THCPD recipients have a 2-year period of performance. Recipients will receive the full award amount (up to \$500,000) in the first year of the period of performance and are required to allocate it across two years. The THCPD-TA recipient has a 3-year period of performance. The award recipient will receive the full award amount (up to \$5,000,000) in the first year of the period of performance and is required to allocate it across all three years. Method of awarding/releasing assistance: other

Reports:

Program Reports: Both program and financial reports are required: The awardee must submit progress reports and performance data reports. THCGME awardees are required to complete reconciliation at the end of each budget period. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice

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of Award

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. The programs are excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. The programs are excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. However, the program may be included in a single audit for other (non-THCGME/THCPD) federal grant funding that a THCGME/THCPD awardee may also receive. DHHS, or any other authorized Federal agency, may conduct an audit to determine whether the applicant has complied with all governing laws and regulations in its application for funding. Any and all information submitted to DHHS by an applicant or participating THCGME/THCPD recipients during or after the award of funds is subject to review in an audit. The THCGME statute provides for a reconciliation process at the end of each budget period, through which overpayments may be recouped and underpayments may be adjusted. (See section 340H (f) of the Public Health Service Act.) The reconciliation process is based on the number of resident FTEs reported by a THC for the academic year. The FTE number determines the final amount payable to the THC for the budget period.

Records:

Recipients are required to maintain grant accounting records 3 years after the end of the program. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Formula Grants FY 20 \$126,499,629.00; FY 21 est \$50,518,000.00; FY 22 est \$61,450,000.00; Formula Grants FY 20 \$0.00; FY 21 est \$25,000,000.00; and FY 22 est \$0.00; Formula Grants FY 20 \$0.00; FY 21 est \$5,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Teaching Health Center Graduate Medical Education (THCGME) FY 20 Actual \$214,226 - \$8,847,287; Average: \$1,976,557FY21 Range: \$510,300 - \$9,270,450; Average: \$2,309,329FY22 Range: \$480,000 - \$8,720,000; Average: \$0Teaching Health Center Planning and Development (THCPD) FY20: \$0FY 21 Range: est. 50 recipients requesting up to \$500,000 eachFY 22: \$0Teaching Health Center Planning and Development Technical Assistance (THCPA-TA) Cooperative Agreement FY 20: \$0FY 21 Range: 1 cooperative agreement requesting up to \$5,000,000FY 22: \$0

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since the THCGME program began in FY 2011, 1,434 new primary care physicians and dentists that represent an expansion over and above current training caps have graduated and entered workforce. Cumulative follow-up data of all graduates since the program began indicate that 65 percent of physicians and dentists are currently practicing in a primary care setting and approximately 56 percent are currently practicing in a medically underserved community (MUC) and/or rural setting.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75, with the exception of Sub-part F Audit Requirements. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Tonya Twyman, Division of Medicine and Dentistry, Bureau of Health Workforce, 5600 Fishers Lane, Room 15N146A, Rockville MD 20857
Email: ttwyman@hrsa.gov

Phone: 301 443-6535

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicable to new (competing) programs only: Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.533 Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease

NVSN,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs.,

OBJECTIVES:

The purpose of the program is to support a network of sites that provide surveillance and data collection on new vaccine use, the impact of new and upcoming vaccines and other immunoprophylaxis, new vaccine policies / policies under consideration, through enhanced inpatient and Emergency Department(ED) surveillance, applied epidemiologic research, and investigator-initiated investigations. Measurable outcomes of the program will be in alignment with the following performance goal for NCIRD. Outcomes: 1) To evaluate the impact of new or upcoming vaccines and other immunoprophylaxis, and new vaccine policies on disease in site populations. 2) To evaluate the impact of new vaccines and new vaccine policies / policies under consideration on administration of vaccines. 3) To understand the burden of vaccine preventable diseases (VPDs) or potentially VPDs in the population.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S.

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Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicant Eligibility: Higher Education Institutions: Public/State Controlled Institutions of Higher Education Private Institutions of Higher Education The following types of Higher Education Institutions are always encouraged to apply for CDC support as Public or Private Institutions of Higher Education: Hispanic-serving Institutions Historically Black Colleges and Universities (HBCUs) Tribally Controlled Colleges and Universities (TCCUs) Alaska Native and Native Hawaiian Serving Institutions Nonprofits Other Than Institutions of Higher Education Nonprofits (Other than Institutions of Higher Education) Governments State Governments County Governments City or Township Governments Special District Governments Indian/Native American Tribal Governments (Federally Recognized) Indian/Native American Tribal Governments (Other than Federally Recognized) Eligible Agencies of the Federal Government U.S. Territory or Possession Other Independent School Districts Public Housing Authorities/Indian Housing Authorities Native American tribal organizations (other than Federally recognized tribal governments) Faith-based or Community-based Organizations Regional Organizations Bona Fide Agents Entities must be States (or bona fide agents of States), political subdivision of States, or other public or non-profit private entities.

Beneficiary Eligibility:

Anyone/general public; Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

Allowable costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal governments. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the headquarters, as appropriate, or the funding opportunity announcement for application deadlines.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial Assistance is available for a 12-month budget period. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 425) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report.

Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 425) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 425) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$67,461,407.00; FY 21 est \$70,066,003.00; FY 22 est \$57,164,320.00;

Range and Average of Financial Assistance:

Awards will range from approximately \$450,000 to \$550,000 with an average of approximately \$500,000. Supplemental award possible subject to all necessary procedures and approvals.

TAFS Codes:

99-9999.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Program Management Official Thijuanie Lockhart,
1600 Clifton Road,
Atlanta GA 30329
Email: tlockhart@cdc.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 404-639-1305
Ricky Bankston,
1600 Clifton Road,
Atlanta GA 30329
Email: ouq5@cdc.gov
Phone: 404-718-7410
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

93.185 Immunization Research, Demonstration, Public Information and Education, Training and Clinical Skills Improvement Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the Extramural Research Program Office (ERPO), NCHHSTP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission Information, subsection Evaluation Criteria within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.534 Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards

Agency for Toxic Disease Registry, Division of Health Studies,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Affordable Care Act (ACA) Section 10323(b) amends Title XX of the Social Security Act (42 U.S.C. 1397 et seq.) to establish a Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards. (Section 2009 of the Social Security Act, as amended) (42 U.S.C. 1397h).,

OBJECTIVES:

The overall goals of this program are for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program provides screening, health education, and outreach services for residents of a geographic area subject to declared public health emergencies under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA)

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Non-Government - General; Other private institutions/organizations; State; Eligibility as defined by the authorizing legislation. A hospital or community health center. A Federally qualified health center. A facility of the Indian Health Service. A National Cancer Institute-designated cancer center. An agency of any State or local government. A nonprofit organization.

Beneficiary Eligibility:

Other private institution/organization; State; U.S. Citizen; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments;

Eligibility as defined by the authorizing legislation. A hospital or community health center. A Federally qualified health center. A facility of the Indian Health Service. A National Cancer Institute-designated cancer center. An agency of any State or local government. A nonprofit organization.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Institutions of Higher Education, Other non-profits and Community Organizations and Hospitals also 45 CFR part 92, State, Local and Tribal Governments. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and ATSDR. The NOA will be signed by an authorized Grants Management Officer, Centers for Disease Control and Prevention (CDC), acting as agent for ATSDR.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project periods are for 1 to 5 years with 12 month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, acting as agent for ATSDR. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

May be requested on a quarterly basis. May be requested on a quarterly basis. May be requested on a quarterly basis. May be requested on a quarterly basis. May be requested on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with HHS "Superfund Financial

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Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General. Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 10 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0944-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$4,999,938.00; FY 21 est \$12,818,976.00; FY 22 est \$5,353,000.00;

Range and Average of Financial Assistance:

Expected: \$2,000,000 3,000,000

TAFS Codes:

75-0944.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74 and 45 CFR Part 92.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Tonica Gleaton,
4770 Buford Hwy NEMS-F45,
Atlanta GA 30341
Email: tdg6@cdc.gov
Phone: 404-498-6858

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Additional criteria will be listed in individual funding opportunity announcements.

93.545 Consumer Operated and Oriented Plan [CO-OP] Program

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Patient Protection and Affordable Care Act (PPACA), Section 1322.,

OBJECTIVES:

To provide assistance to applicants for activities related to establishing and maintaining a Consumer Operated and Oriented (CO-OP), nonprofit health insurance issuer. Please refer to the Funding Opportunity Announcement (FOA) for additional information

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Section 1322 of The Patient Protection and Affordable Care Act (PPACA), calls for the establishment and continuation of the CO-OP Program, which will foster the creation and maintenance of qualified nonprofit health insurance issuers to

offer competitive health plans in the individual and small group markets. The American Taxpayer Relief Act of 2012 (ATRA) rescinded, as of the date of enactment, ninety percent of the unobligated balance under Section 1322 of the PPACA, transferred the remaining ten percent of the unobligated balance to the Consumer Operated and Oriented Plan Program Contingency Fund, and rescinded authority to further issue CO-OP loans. As of the date of the rescission, CO-OP loan agreements had closed with 24 CO-OP borrowers. The loan agreement with one of those borrowers was terminated in 2013 before the entity had obtained an insurance license. The other 23 borrowers became licensed. Between 2015 and 2020, twenty of them have ceased operations and gone into state-supervised receivership or liquidation, or otherwise left the program after settling all debt obligations to the government. Although CMS no longer has the authority to make loans to new borrowers, Section 644 of ATRA authorizes CMS to continue to provide assistance and oversight to existing CO-OPs as they work to achieve program milestones and maintain viable CO-OPs in each state in which they operate. No further funding is available to borrowers under the original Funding Opportunity Announcement (FOA) published on December 9, 2011, Number OO-COO-001. The CO-OP Program awards two types of loans: Start-up Loans: Start-up Loans are intended to assist applicants with the start-up costs associated with establishing a new health insurance issuer, such as: renting or developing administrative technology systems; renting or developing provider networks; hiring counsel and consultants to assist with State licensure requirements; hiring actuaries and management staff; conducting community and prospective member education; developing strategic plans to build enrollment; developing plans for quality improvement, member and provider services, and grievances and appeals systems with feedback loops to improve operations; establishing and participating in a private purchasing council; and other start-up costs. Start-up Loans cannot be used to fund costs associated with construction of facilities, including clinical facilities, nor can Start-up Loans be used for clinical expenses, such as provider salaries or payments, provider clinical space or administrative staff associated with clinical functions, and clinical equipment. These items are intended to be covered by the premiums and reflected in the reimbursement to providers. Funds drawn from Start-up Loans must be repaid within five years of disbursement. Solvency Loans: Solvency Loans are intended to assist eligible entities with meeting the solvency and capitalization requirements of states in which they do business. Funds drawn from Solvency Loans must be repaid within 15 of disbursement. Loan recipients must use the loans awarded under the CO-OP program to fund the activities proposed and approved in the loan recipients application, consistent with the terms of the CO-OPs loan agreement with CMS. Loan recipients are prohibited from using the loans awarded under the CO-OP program to conduct marketing or carry on propaganda and other activities attempting to influence legislation. Additional information on both start-up and solvency loans can be found in the original FOA for this program, to acquire details on each type of loan and the requirements for each type of loans use and repayment.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); To be eligible to apply for a loan under the CO-OP program, an applicant must: 1. Intend to become a CO-OP; 2. Have formed a private nonprofit member organization (see Section IV.B of the FOA for acceptable evidence of certified nonprofit status). ;3. Submit in its loan application an Eligibility Affidavit and Application Certification signed by the applicants Chief Executive Officer, Chief Financial Officer, or an officer of the applicants Board of Directors, certifying the accuracy, completeness, and truthfulness of all information contained in the loan application; and certifying that, if the applicant organization is awarded loan(s) under this FOA, it will repay them according to the terms laid out in this FOA, finalized in 45 CFR part 156 subpart F, and in the Loan Agreement issued when the award is announced. The signatory must be legally authorized to bind the CO-OP. For a description of the Eligibility Affidavit and Application Certification, see Section IV.B.10. 4. Commit to offering a CO-OP qualified health plan at the silver and gold benefit levels in every individual market Exchange that serves the geographic regions in which it is licensed and intends to provide health care coverage; and 5. If choosing to offer at least one plan in the small group market outside the Exchange, commit to offering a CO-OP qualified health plan at both the silver and gold benefit levels in each Small Business Health Options Program (SHOP) that serves the geographic regions in which the organization offers coverage in the small group market; and 6.

ASSISTANCE LISTINGS DESCRIPTIONS

Commit that at least two-thirds of the contracts issued by the CO-OP will be CO-OP qualified health plans offered in the individual market or individual and small group markets of the States in which the CO-OP is licensed

Beneficiary Eligibility:

Individual/Family; Small business; Anyone/general public; Not applicable.

Credentials/Documentation:

Applicants must provide proof that they have formed a nonprofit member organization and are not prohibited from program participation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All qualified applications will be forwarded to objective external reviewers. The CMS program official with delegated authority is responsible for final selection and funding decisions. In making these decisions, the program official will take into consideration: recommendations of the external reviewers; reviews for programmatic and grants management compliance; the reasonableness of the size of the loan request and anticipated results of funding the application; ability to repay the loan; and the likelihood that the proposed project will result in the benefits expected. CMS reserves the right to conduct pre-award Budget Negotiations with potential loan recipients. Notification is made in writing by a Notice of Award.

Deadlines:

October 17, 2011. First Round Oct 17, 2011: First Round. Oct 17, 2011: First Round. First Round Loans

- Grants.gov application due date: October 17, 2011;
- First loan award date: February 17, 2012 and applications were accepted on a rolling basis until the passage of ATRA. The last loan award to fund the creation of a new CO-OP was awarded in December 2012. Subsequent loans for additional funding to existing borrowers will be awarded as funding is available.

Range of Approval/Disapproval Time:

From 60 to 90 days. Loan awards or a response to the application will be provided approximately 75 days after each applicant receives notice that its application is complete.

Appeals:

Other. An applicant may request reconsideration of a loan application determination. Any determination made by CMS with respect to that loan application as a result of reconsideration is final and is not subject to reconsideration, appeals, or other administrative or judicial review related to that specific loan application. However, an applicant is not prohibited from submitting an entirely new loan application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Start-up Loans must be repaid within 5 years of the date of each drawdown and Solvency Loans must be repaid within 15 years of the date of each drawdown. Method of awarding/releasing assistance: other

Reports:

Start-up and Solvency Loan Recipients. All successful applicants under this announcement must comply with the following reporting and review activities: a. Monthly Reporting. Loan recipients must provide the Account Manager information related to enrollment on a monthly basis. b. Quarterly Reporting (NAIC Report). Loan recipients must provide Account Managers with information that is reflected in regulatory filings on a quarterly basis. c. Semi-Annual Progress

Report. Loan recipients must provide the Account Manager on a semi-annual basis, information such as, but not limited to: progress on the goals, objectives, milestones, and activities identified in its Business Plan and the Loan Agreement; accomplishments, barriers, and lessons learned; data on the loan recipients responsiveness to member grievance, maintenance of consumer control, and quality of care. More details of the semi-annual progress report are outlined in the Loan Agreement and loan recipients were provided with a reporting template.d. Changes/updates in the business plan. e. Updated financial reports and Pro forma reports. f. Annual Program Report; In addition to the semi-annual progress report, each loan recipient will be required to submit a program report annually, such as, but not limited to: updated financial reports and Pro forma reports; certification of compliance with all applicable state insurance regulation or an explanation of any deficiencies or adverse actions or determinations by state insurance regulators and a timeline for resolving all issues with state insurance regulators; an updated Business Plan. More details of the annual program report are outlined in the Loan Agreement and loan recipients were provided with a reporting template. g. Marketplace Rate Analysis, varies per state. h. Corrective Action Plan (CAP). If CMS concludes that a loan recipient has not complied with the requirements set forth in Section 1322 of the Patient Protection and Affordable Care Act, 45 CFR part 156 subpart F, or in its Loan Agreement, CMS may require the loan recipient, via a notice of violation, to develop and submit a CAP and implement the CAP as approved by CMS. 1. The loan recipient must submit, for CMS approval, a CAP by the deadline indicated on the notice of violation. 2. The CAP must specify the actions that the loan recipient will take to ensure that the loan recipient, its members, its providers and suppliers, and contracted entities performing services or functions on its behalf, will correct any deficiencies and remain in compliance with program requirements. 3. CMS will monitor the loan recipients performance during the CAP process. 4. Failure to submit, obtain approval for, or implement a CAP may result in termination of the loan agreement, as may failure to demonstrate improved performance upon completion of the CAP. i. Enhanced Oversight Plan (EOP). CMS may place a loan recipient in an EOP (used in lieu of implementing a CAP, or in conjunction with a CAP), if the loan recipient consistently underperforms or repeatedly has difficulty in meeting program milestones and benchmarks, as identified in its loan agreement. Under an EOP, CMS will conduct stronger and more frequent review of the loan recipients operational and financial status. In addition, CMS may provide technical assistance if CMS determines that doing so would improve the performance of the loan recipient and increase the likelihood of loan repayment. j. Transparency Act Reporting Requirements. New awards issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (P. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Recipients must report information for each first-tier sub award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at www.frs.gov). The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the description provided in Reports (111) (c.) of this template for information on the semi-annual progress report; loan recipient also submits quarterly regulatory filings submitted to state regulators and the NAIC. Each loan recipient must also submit a quarterly electronic SF 425, via the Payment Management System. The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the FOA. Each loan recipient must submit a quarterly electronic SF 425 via the Payment Management System. The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the description provided in Reports (111) a. of this template for information on the semi-annual progress report. Each loan recipient must submit a quarterly electronic SF 425 via the Payment Management System. The report identifies cash transactions and expenditures against the authorized funds for the loan. Please refer to the FOA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. A loan recipient must comply with the requirements contained in the Funding Opportunity Announcement and its loan agreement, specifically, the Right to audit. The loan recipient must agree, and must require its providers, suppliers, and contracted entities performing services or functions on behalf of the loan recipient to agree, that HHS, the Comptroller General, the OIG or their designees have the right to audit, inspect, and evaluate any books, contracts, records, documents, and other evidence of the loan recipient, and its providers and suppliers, and contracted entities that pertain to (1) The loan recipients compliance with program requirements. CMS may conduct onsite performance reviews and site visits. The timing of any performance review and any site visit is at the discretion of CMS. CMS has to conduct these audits of all loan recipients within the first 12 months post-award. Following the first audit, regular audits will be conducted at least every three years. CMS also can perform ad-hoc or focused audits of CO-OP at their discretion and independent of the timing of the planned on-site audits. review and any site visit is at the discretion of CMS. CMS has to conduct these audits of all loan recipients within the first 12 months post-award. Following the first audit, regular audits will be conducted at least every three years. CMS also can perform ad-hoc or focused audits of CO-OP at their discretion and independent of the timing of the planned on-site audits.

Records:

A loan recipient must comply with the requirements contained in the Funding Opportunity Announcement (FOA) and its loan agreement, specifically, the Maintenance of records. A loan recipient must agree and must require its providers, suppliers, and contracted entities performing functions or services on behalf of the loan recipient to agree to the following: (1) To maintain and give HHS, the Comptroller General, OIG, or their designees access to all books, contracts, records, documents, and other evidence sufficient to enable the audit, evaluation, and inspection of the loan recipients compliance with program requirements; (2) To maintain such books, contracts, records, documents, and other evidence for a period of 10 years from the final date of the repayment period or from the date of completion of any audit, evaluation, or inspections, whichever is later, unless (i) CMS determines there is a special need to retain a particular record or group of records for a longer period and notifies the loan recipient at least 30 days before the normal disposition date; (ii) There has been a termination, dispute, or allegation of fraud or similar fault by the loan recipient, its providers, suppliers, or contracted entities that perform functions or services on its behalf, in which case the loan recipient must retain records for an additional 6 years from the date of any resulting final resolution of the termination, dispute, or allegation of fraud or similar fault; (iii) There is a reasonable possibility of fraud or similar fault by the loan recipient or its providers and suppliers, or contracted entities performing services or functions on behalf of the loan recipient, in which case CMS may inspect, evaluate, and audit the loan recipient at any time while the loan funds are in repayment; and (3) Notwithstanding any arrangements between or among a loan recipient and its members, providers and suppliers, and contracted entities performing functions or services on its behalf, the loan recipient must have ultimate responsibility for adhering to and otherwise fully complying with all terms and conditions of its loan agreement with CMS, including the requirements set forth in this section.

Account Identification:

75-0118-0-1-551.

Obligations:

Direct Loans FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Loan Range: \$56,656,900 to \$265,133,000 Average Loan Size: \$ 106,278,338.

TAFS Codes:

75-0118.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should refer to the FOA for applicable regulations, guidance, and/or literature.

Regional or Local Office:

See Regional Assistance Locations. Health and Human Services, Hubert H. Humphrey Building, Room 737F, 200 Independence Ave., SW, Washington, D.C. 20201

Headquarters Office:

Reed Cleary,
200 Independence Ave., SW, Room 739H,
Washington DC 20201
Email: Reed.Cleary@cms.hhs.gov
Phone: 301-492-4127

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In awarding initial start-up and solvency loans, CMS evaluated applications (based upon type of loan) using factors identified in the FOA. Please refer to the FOA for additional evaluation criteria. In awarding additional funding to existing borrowers, CMS evaluates the applications on the ability to demonstrate that the additional loan funding would assist the existing borrower applicant in establishing and maintaining a viable CO-OP, including ability to repay the loan.

93.547 National Health Service Corps

National Health Service Corps (NHSC) NHSC Loan Repayment Program (LRP), NHSC Substance Use Workforce (SUD) LRP, NHSC Rural Community LRP, NHSC Students to Service (S2S) LRP.,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 331-338I (42 United States Code Section 254d-254q1), as amended by P.L. 111-148; Medicare Access and CHIP Reauthorization Act of 2015 (Public Law 114-10). Section 2602 of the American Rescue Plan Act of 2021 (P.L.117-2),

OBJECTIVES:

The National Health Service Corps (NHSC) assists Health Professional Shortage Areas (HPSAs) in every State, Territory, and Possession of the United States to meet their primary care medical, oral, and mental and behavioral health service needs by increasing the supply of clinicians through the following programs: National Health Service Corps Loan Repayment Program (LRP) and the Students to Service (S2S) Loan Repayment Program). In 2018, NHSC expanded to improve access to quality opioid and substance use disorder (SUD) treatment in rural and underserved areas nationwide through the NHSC Substance Use Disorder (SUD) Workforce Loan Repayment Program and Rural Community (RC) Loan Repayment Program. The NHSC Loan Repayment Program offers fully trained primary care clinicians the opportunity to receive financial assistance to repay their qualifying educational loans in exchange for service in a HPSA. The NHSC SUD Loan Repayment Program offers fully trained and licensed SUD providers the opportunity to receive financial assistance to repay their qualifying educational loans in exchange for service in a NHSC SUD treatment facility within a HPSA. The Rural Community (RC) Loan Repayment Program offers fully trained and licensed SUD providers the opportunity to receive financial assistance to repay their qualifying educational loans in exchange for service in a NHSC Rural SUD treatment facility within a HPSA. The Students to Service (S2S) Loan Repayment Program provides financial assistance to repay qualifying educational loans to medical students in their last year of school in exchange for service in a HPSA.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

NHSC Loan Repayment Awards provide payments of up to \$50,000 towards a participants qualified educational loans for 2 years of full time practice (up to

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\$25,000 for 2 years of half time practice) at an approved NHSC Service Site under a written agreement with this program. NHSC Scholarships pay tuition and required fees to the school, a monthly stipend to students for living expenses, and a single annual payment to the student to assist with other reasonable educational expenses. Students to Service Loan Repayment Program provides payments of up to \$120,000 for the first 3 years of full-time service or 6 years of half-time service. Federal grant funds cannot be used to cover the costs of administering the program or as the source of State matching funds. Refer to the individual HRSA Application and Program Guidance issued under this CFDA for specific requirements for the NHSC Loan Repayment and NHSC Scholarship Programs. Programs. Restricted Uses: As applicable, indirect costs that are allowed for administrative costs incurred as a result of the project, are limited to 8 percent of direct costs. Please see the applicable program funding announcements Please see the applicable program funding opportunity announcements. Restricted Uses: As applicable, indirect costs that are allowed for administrative costs incurred as a result of the project, are limited to 8 percent of direct costs. Please see the applicable program funding announcements

Applicant Eligibility:

U.S. Territories and possessions; Individual/Family; State; For specific program and eligibility requirements, please see: <http://nhsc.hrsa.gov/>

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Graduate Student; U.S. Citizen; Education (13+); Health professional U.S. citizen Education (13+)

Credentials/Documentation:

Applicants should review the individual HRSA Application and Program Guidance (NHSC LRP, NHSC SUD Workforce LRP, NHSC RC LRP, NHSC S2S LRP) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applicants under this assistance listing apply through <http://nhsc.hrsa.gov/>.

Award Procedure:

NHSC LRP awards are made based on the highest need as determined by descending health professional shortage area scores. Priority will be given to individuals who demonstrate a commitment to practicing in underserved communities and those from disadvantaged backgrounds. In addition, priority may be given to applicants whose training is in a health discipline or specialty determined by the Secretary of Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to serve in health professional shortage areas. NHSC SUD Workforce LRP awards are made based on the highest need as determined by descending health professional shortage area scores. Priority is given to applicants trained and licensed in SUD treatment which has been determined by the Secretary of Health and Human Services to be needed by the NHSC. NHSC RC LRP awards are made based on the highest need as determined by descending health professional shortage area scores. Priority is given to applicants trained and licensed in SUD treatment and serving in a rural community which has been determined by the Secretary of Health and Human Services to be needed by the NHSC. NHSC S2S LRP awards are prioritized based on having a disadvantaged background and characteristics likely to remain in a HPSA as identified by their essays and letters of recommendation.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notification to all applicants are made no later than September 30th of the

application year.

Appeals:

Not Applicable.

Renewals:

Other. NHSC LRP and S2S LRP: continuation contracts will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: Other This program has no statutory formula. Matching Requirements: Matching requirement does not apply to NHSC LRP, and NHSC S2S LRP. The Federal share must be used to repay the government and commercial loans of health professionals for tuition, educational expenses and living expenses described in Section 338B(g)(1) of the Public Health Service Act. States must assume administrative costs. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

NHSC LRP - Loan repayments for 2 year of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. NHSC SUD Workforce LRP - Loan repayments for 3 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. NHSC RC LRP - Loan repayments for 3 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. NHSC S2S LRP - Loan repayments for 3 years of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. Method of awarding/releasing assistance: other

Reports:

NHSC LRP, NHSC SUD Workforce LRP, NHSC Rural Community LRP, NHSC S2S LRP - The employing facility must complete and send a semi-annual In-Service Verification Form verifying that the participating health professional is providing service. The certification includes a signature by an appropriate official of the facility. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required. SLRP Both program and financial reports are required. Recipient States must submit: (1) a Financial Report within 90 days of the end of each budget period; (2) Semi-Annual Field Strength Reports through the HRSA Electronic Handbooks System (EHBs); (3) annual non-competing continuation progress report(s) through the EHBs; (4) a Final Report due 90 days after the project period ends.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Annual audits do not apply to NHSC LRP and S2S LRP.

Records:

None for NHSC LRP and S2S LRP.

Account Identification:

75-0350-0-1-550.

Obligations:

Direct Payments for Specified Use FY 20 \$164,349,000.00; FY 21 est \$167,000,000.00; FY 22 est \$392,500,000.00; Direct Payments for Specified Use FY 20 \$20,000,000.00; FY 21 est \$20,000,000.00; FY 22 est \$23,000,000.00;

Range and Average of Financial Assistance:

FY 19 no data available FY 20 no data available. FY 21 no data available.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since its inception in 1972, the National Health Service Corps (NHSC) has worked to support qualified health care providers dedicated to working in underserved communities in urban, rural, and tribal areas. Across the nation, NHSC clinicians serve patients in Health Professional Shortage Areas (HPSAs) communities with limited access to health care. As of September 30, 2020, there were 7,203 primary care HPSAs, 6,487 dental HPSAs, and 5,733 mental health HPSAs. The NHSC seeks clinicians who demonstrate a commitment to serve the Nations medically underserved populations at NHSC-approved sites located in HPSAs. NHSC-approved sites provide care to individuals regardless of ability to pay; currently, there are over 16,400 NHSC-approved sites. Eligible sites include Federally Qualified Health Centers (FQHC) and FQHC Look-Alikes, American Indian and Native Alaska health clinics, rural health clinics, critical access hospitals and hospitals managed or owned by the Indian Health Service (IHS), school-based clinics, mobile units, free clinics, community mental health centers, state or local health departments, community outpatient facilities, federal facilities such as the Bureau of Prisons, U.S. Immigration and Customs Enforcement, IHS, and private practices. In particular, the NHSC has partnered closely with HRSA-supported FQHCs to help meet their staffing needs. Over 60 percent of NHSC clinicians serve in Health Centers around the nation, and 15 percent of clinical staff at FQHCs are NHSC clinicians. The NHSC also places clinicians in other community-based systems of care that serve underserved populations, targeting HPSAs of greatest need. As of September 30, 2020, there are 13,000 primary care medical, dental, and mental and behavioral health practitioners providing service nationwide.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NHSC LRP, and S2S LRP at <http://nhsc.hrsa.gov/HRSA> awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices.

Headquarters Office:

Israel Ali, Division of National Health Service Corps, Bureau of Health Workforce (BHW) Health Resources and Services Administration, Room 14N56, 5600 Fishers Lane, Rockville MD 20857
Email: IAli@hrsa.gov
Phone: (301) 594-4400

Website Address:

<http://nhsc.hrsa.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.288 National Health Service Corps Scholarship Program; 93.165 Grants to States for Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

NHSC LRP - Priority will be given to individuals who demonstrate a commitment to practicing in underserved communities and those from disadvantaged backgrounds. In addition, priority may be given to applicants whose training is in a health discipline or specialty determined by the Secretary of Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to serve in health professional shortage areas. NHSC SUD Workforce LRP - Priority will be given to individuals who demonstrate a commitment to practicing in a NHSC SUD treatment facility in underserved communities within health professional shortage areas. Priority is also given to applicants trained and licensed in SUD treatment which has been determined by the Secretary of Health and Human Services to be needed by the NHSC. NHSC RC LRP - Priority will be given to individuals who demonstrate a commitment to practicing in a NHSC Rural SUD treatment facility in underserved communities within health professional shortage areas. Priority is also given to applicants trained and licensed in SUD treatment serving in a rural community, which has been determined by the

Secretary of Health and Human Services to be needed by the NHSC. NHSC S2S LRP - Priority will be given to applicants who have a disadvantaged background and characteristics likely to remain in a HPSA as identified by their essay and letters of recommendation.

93.550 Transitional Living for Homeless Youth

Transitional Living Program (TLP) and Maternity Group Homes (MGH),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Runaway and Homeless Youth Act, Title III, Part B, Section 321, 34 USC Code 11221.

OBJECTIVES:

The primary goal of the Transitional Living Program (TLP) and Maternity Group Home (MGH) Program is to help runaway and homeless youth and/or pregnant or parenting runaway and homeless youth between the ages of 16 to under 22 establish sustainable living and well-being for themselves and if applicable, their dependent child(ren). Through the provision of residential stay up to 540 days (and in exceptional circumstances 635 days) and comprehensive supportive services, TLP youth between the ages of 16 to under 22 will realize improvements in four core outcome areas: social and emotional well-being, permanent connections, education or employment, safe and stable housing.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Transitional Living Programs and Maternity Group Homes are required to provide youth, and when applicable the youths' dependent children, with safe, stable, and appropriate shelter, and comprehensive services designed to help young people who are homeless make a successful transition to sustainable living. Living accommodations may be host family homes, group homes, Maternity Group Homes, or "supervised apartments." (Supervised apartments are either agency-owned apartment buildings or "scattered site" apartments, which are single-occupancy apartments rented directly by young people with support from the agency.) Comprehensive services may include case management, transitional living plan, basic life skills resources, counseling services, educational advancement, job attainment skills, and physical and behavioral health care, and aftercare services. These services are designed to help youth who are homeless develop the skills necessary to make a successful transition to self-sufficient living.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public (state and local) and private nonprofit entities (including faith-based and community organizations) and coordinated networks of such entities, are eligible to apply unless they are part of the juvenile justice system. For profit organizations are not eligible. Private institutions of higher education must be nonprofit entities. Priority will be given to public and non-profit private agencies that have experience in providing shelter and services to youth experiencing homelessness and their young families. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards. States, localities, private entities, and coordinated networks of such entities are eligible to apply for a Transitional Living Program or Maternity Group Home grant unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Faith-based organizations and small community-based organizations are eligible to apply.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Youth (16-21); Homeless youth (ages 16 to under 22) and, if applicable, their dependent children are the beneficiaries.

Credentials/Documentation:

No credentials or documentation required. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR Part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Please see the funding opportunity announcement for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals not related to unsuccessful applications may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. Matching Requirements: Percent: 10%. Federal share of grant is up to 90 percent. The nonfederal share may be in cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded competitively for a project period of three years, with three 12-month budget periods. Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds. Method of awarding/releasing assistance: other

Reports:

Reports are submitted quarterly using the SF-272 Federal Cash Transaction Report through the payment management system. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Federal program specialists conduct on-site monitoring visits in concert with the information from RHY-HMIS to assess performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants FY 20 \$47,781,355.00; FY 21 est \$47,295,900.00; FY 22 est \$50,133,732.00;

Range and Average of Financial Assistance:

Range of awards in FY 2020 was \$100,000 to \$250,000; the average award is \$225,000

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: ACF awarded 239 grants, all of which will support existing programs. Fiscal Year 2021: It is estimated a total of 234 grants will be awarded with 143 supporting existing programs. The remaining 91 grants will be awarded to Maternity Group Homes and Transitional Living Programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.hhs.gov/programs/fysb> under the "About" section. Information is also available through the National Clearinghouse on Homeless Youth and Families, 4340 East-West Highway Suite 1100Bethesda, MD 20814. Telephone: 833-438-7494. The National Clearinghouse on Homeless Youth and Families website is <https://rhyclearinghouse.acf.hhs.gov> and the email address is address is GetRHYi@NCHYF.org Runaway and Homeless Youth Program Regulations can be found at 45 CFR 1351.

Regional or Local Office:

None.

Headquarters Office:

Program Manager Christopher Holloway,
330 C. Street, SW,
Washington DC 20201
Email: christopher.holloway@acf.hhs.gov
Phone: (202) 205-9560

Website Address:

<https://www.acf.hhs.gov/fysb>

RELATED PROGRAMS:

93.623 Basic Center Grant; 93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth; 93.674 Chafee Foster Care Independence Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO.

93.556 MaryLee Allen Promoting Safe and Stable Families Program

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV, Part B, Section Subpart 2, Sections 430 - 435; 436(a), (b)(1), (3) - (4) and (c) and 437(a), (b)(1), (3), (c) - (e), and (g).

OBJECTIVES:

The objectives of the MaryLee Allen Promoting Safe and Stable Families Program (PSSF) are: 1) to prevent child maltreatment among families at risk through the provision of supportive family services; 2) to assure children's safety within the home and preserve intact families in which children have been maltreated, when the family's problems can be addressed effectively; 3) to address the problems of families whose children have been placed in foster care so that reunification may occur in a safe and stable manner; 4) to support adoptive families by providing support services as necessary so that they can make a lifetime commitment to their children. This is accomplished through the issuance of grants to child welfare agencies in states, territories, and eligible Indian tribes to serve families at risk or in crisis, to develop or expand and operate coordinated programs of community-based family support services, family preservation services, family reunification services, and adoption promotion and support services. In addition, a portion of funds is reserved for separate formula grants for states and territories to support monthly caseworker visits with children who are in foster care. A small proportion of appropriated funds are reserved for research, evaluation, and technical assistance, which may be awarded competitively through contracts or discretionary grants. Additional funds were appropriated to develop, enhance or evaluate kinship navigator programs for FYs 2018 - 2021. These programs assist kinship caregivers in learning about, finding, and using programs and services to meet the needs of children they are raising and their own needs, and to promote effective partnerships among public and private agencies to ensure kinship caregiver families are served.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Under the main Promoting Safe and Stable Families Formula Grants, states must spend a significant portion of funds (approximately 20 percent) on each of the service categories of family preservation, family support services, time-limited family reunification services and adoption promotion and support services. State recipients must limit administrative costs to 10 percent of the Federal funds. Caseworker visit formula grants: States and territories are required to spend funds to improve the quality of monthly caseworker visits with children in foster care under the responsibility of the state, with an emphasis on improving caseworker decision making on the safety, permanency, and well-being of foster children, and on activities designed to increase retention, recruitment, and training of caseworkers. Discretionary Grants: Grants are typically awarded for research, evaluation, and technical assistance activities relating to family support, family preservation, family reunification, and adoption promotion support. Project funds may not be used for construction.

Applicant Eligibility:

State; U.S. Territories and possessions; Federally Recognized Indian Tribal Governments; (1) Formula Grants: States, the District of Columbia, Puerto Rico, the U. S. Virgin Islands, the Northern Marianas, Guam, American Samoa, and Federally-recognized Indian tribes are eligible applicants. For caseworker visit funds, only states and territories are eligible applicants. (2) Discretionary Grants: Please see the Notice of Funding Opportunity (NOFO) for applicant eligibility.

Beneficiary Eligibility:

Individual/Family; Families and children who need services to assist them to stabilize their lives, strengthen family functioning, prevent out-of-home placement of children, enhance child development and increase competence in parenting

abilities, facilitate timely reunification of the child, and promote appropriate adoptions.

Credentials/Documentation:

Formula Grants: The state agency which administers the formula grant programs under Title IV-B of the Social Security Act must be the same agency that administers the social services program under Title XX of the Social Security Act (Social Services Block Grant). Discretionary Grants: Nonprofit agencies must submit proof of nonprofit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Application Procedure (1) Formula Grants: A 5-year agency plan and the SF-424M must be submitted through the Online Data Collection (OLDC) system. A full plan (every five years) or a plan update is due for submission by June 30th of each year. The plan must be jointly developed by the Secretary and the state or Indian tribe and written after consultation by the agency with appropriate public and non-profit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations. (2) Discretionary Grants: Announcement of availability of funds is posted on the www.grants.gov website and on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov/>. Eligible applicants must submit applications by specified deadlines.

Award Procedure:

Formula Grants: Funds will be awarded after the agency plan, or annual update is submitted and approved. Discretionary Grants: Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Discretionary grants: Please see latest NOFO(s).

Formula grants: The plan or its annual update is due on June 30 of each year.

Range of Approval/Disapproval Time:

Other. Formula Grants: The agency plan or its annual update is due June 30 of each

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year. Approval/disapproval by the Children's Bureau is due September 30 of each year. Discretionary Grants: The approval/disapproval range is three to six months.

Appeals:

Other. There is no appeal process for unsuccessful discretionary applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Discretionary Grants: Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter Sections 433 and 437, Part B, Subpart 2, Public Law Social Security Act. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. (1) Formula Grants: State allotments are computed based on the number of children receiving food stamps over a three year rolling average. Allotments to Indian tribes, including tribal consortia are based on a percent set aside of the total appropriation in each fiscal year and computed based on the number of children in the tribe compared to the total number of children in eligible Indian tribes. However, grants will not be made to Indian tribes whose allotment is less than \$10,000. Allotments to territories are based on the formula in subpart 1 of Title IV-B. Matching Requirements: FFP is available up to the full allotment at the rate of 75 percent for allowable program expenditures made by the recipient. Recipients must provide a 25 percent match for these expenditures. (2) Discretionary Grants: The funding formula and matching requirements are described in each NOFO. This program has MOE requirements, see funding agency for further details. Additional Information: A MOE requirement is applicable to the formula grants to states. States may not use the Federal funds under title IV-B, Subpart 2, to supplant Federal or non-Federal funds for existing family preservation and family support services as of 1992 (base year). There are no matching requirements for Kinship Navigator Grants. The discretionary grants component of this program does not have MOE requirements..

Length and Time Phasing of Assistance:

(1) Formula Grants: Grant awards are generally made on a quarterly basis. Grants for PSSF, Caseworker Visit grants and the Kinship Navigator Grants are available for obligation and liquidation in the FY granted and in the subsequent fiscal year. (2) Discretionary Grants: Please see the NOFO(s) for more information. Method of awarding/releasing assistance: other

Reports:

(1) Formula Grants: After the end of each of the first four fiscal years, the states, territories, and Indian tribes must submit an interim review of progress toward accomplishment of the goals in the state or tribal plan. After the end of the fifth fiscal year, the states, territories, and Indian tribes must perform a final review of progress toward accomplishment of the goals. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). (2) Discretionary Grants: Recipients are required to submit semi-annual program reports. Final reports are due 90 days after completion of the grant. Final financial reports are due 90 days after completion of the grant. Financial reporting is conducted via the PSC-272 and SF-425. Progress reports are included in program reports. Expenditure reports are required using the SF-425 Federal Financial Report. Formula grants require the SF-425 to be submitted 90 days after completion of the grant. The frequency of reporting for the discretionary grants will be listed in the NOFO(s) and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Performance monitoring of state agencies is conducted through the Child and Family Services Review (CFSR) process in accordance with 45 CFR 1355.31 - 1355.37.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1512-0-1-506.

Obligations:

Formula Grants FY 20 \$346,769,509.00; FY 21 est \$422,347,510.00; FY 22 est \$357,067,000.00; Cooperative Agreements (Discretionary Grants) FY 20 \$3,992,664.00; FY 21 est \$4,413,540.00; FY 22 est \$4,385,569.00;

Range and Average of Financial Assistance:

In FY 2020, formula grants for main grant program: states, territories, and tribes ranged from \$1,723 to \$6,034,873 with an average of \$556,367. In FY 2020, discretionary grants ranged from \$27,663 to \$1,992,757 with an average of \$1,337,555.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Formula grants were awarded to 194 states, territories, and tribes and discretionary grants were awarded to 3 recipients in FY 2020. Fiscal Year 2021: PSSF formula grants were awarded to 197 states and territorial, tribal, and discretionary grants were awarded to 3 recipients in FY 2021. The Consolidated Appropriations Act, 2021 (P.L. 116-260) was enacted into law on December 27, 2020 and included Division X, the Supporting Foster Youth and Families through the Pandemic Act. Division X contained additional, supplemental or enhanced funding for several programs authorized under titles IV-B and IV-E of the Act. For the PSSF program, an additional \$75 million was provided in formula grants for states, territories and tribes. These one-time grants were awarded to the 197 FY 2021 recipients. Due to this one-time funding from P.L. 116-260, FY 2021 amounts will differ from other years.

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula grants: See Program Instructions: ACYF-CB-PI-20-13, ACYF-CB-PI-20-14, ACYF-CB-PI-21-04, and ACYF-CB-PI-21-05.

Regional or Local Office:

None. Contact Children's Bureau Regional Program Managers.

Headquarters Office:

Child Welfare Program Specialist Catherine Heath,
330 C Street SW, Room 3508B,
Washington DC 20201

Email: catherine.heath@acf.hhs.gov

Phone: 2026907888

Fax:

Website Address:

<https://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.659 Adoption Assistance; 93.648 Child Welfare Services Training Grants; 93.586 State Court Improvement Program; 93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse;

EXAMPLES OF FUNDED PROJECTS:

2021 The Children's Bureau continues to fund one Center for Excellence in Foster Family Development in FY 2021. The purpose of the Center is to implement a model program for the selection, development, and support of foster families that will work in close collaboration with birth families to preserve and nurture critical parent-child relationships and support reunification. The program will run through 2023.

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CRITERIA FOR SELECTING PROPOSALS:

Discretionary grants: The criteria for selecting discretionary proposals will be published in the NOFO(s). This is not applicable to formula grants.

93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth

Street Outreach Program (SOP),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Runaway and Homeless Youth Act, Title III, Part E, Section 351, 34 USC Code 11261.

OBJECTIVES:

The Service Connection for Youth on the Streets, also referred to as the Street Outreach Program (SOP) makes grants available to nonprofit agencies for the purpose of providing street-based services to runaway, homeless and street youth who have been subjected to, or are at risk of being subjected to sexual abuse, prostitution, sexual exploitation, and severe form of trafficking in persons.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The SOP provides outreach to runaway and homeless youth on the streets or in areas that increase the risk of sexual exploitation and human trafficking, the goal being to help young people get off the streets and into safe settings. The program also provides education and prevention services, directly or through collaboration with other agencies, to runaway, homeless and street youth that have been subjected to or are at risk of sexual exploitation or abuse.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public (state and local) and private non-profit entities (including faith-based and community organizations) and coordinated networks of such entities, are eligible to apply for a RHY Program grant unless they are part of the juvenile justice system. For-profit organizations are not eligible. Private institutions of higher education must be non-profit entities. In selecting applications to receive grants under the SOP, priority will be given to public and non-profit private agencies that have experience in providing services to runaway, homeless, and street youth. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards.

Beneficiary Eligibility:

Individual/Family; Youth (16-21); Runaway and homeless street youth 21 years of age or younger and their families are the beneficiaries.

Credentials/Documentation:

ACF recipients must follow the requirements in 45 CFR Part 75. Nonprofit organizations must submit proof of nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Consultation or assistance is available from the Family and Youth Services Bureau (FYSB), Administration for Children and Families, Department of

Health and Human Services. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its State POC).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, subject to the limitations of the Appendix A to 45 CFR Part 16, appeals may take place in accordance with 45 CFR Part 16.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. Matching Requirements: Recipients must provide a nonfederal share or match of at least 10 percent of the Federal funds awarded pursuant to 34 U.S.C. 11274. The nonfederal share may be met by cash or in-kind contributions. MOE requirements are not applicable to this program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded competitively for a project periods of three years, with three 12-month budget periods. Method of awarding/releasing assistance: other

Reports:

Reports are submitted quarterly using the SF-272 Federal Cash Transaction Report through the payment management system. Recipients are legislatively required to keep adequate statistical records profiling the youth and families assisted under this federal grant. Effective April 2015, Runaway and Homeless Youth -Homeless Management Information System (RHY-HMIS) serves as the designated data collection system, enabling recipients to collect and transmit youth-level data to FYSB on a semi-annual basis. Expenditure reports are required using the SF-425

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Federal Financial Report (FFR). The frequency of reporting is semi-annual. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is semi-annual. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Federal program specialists conduct on-site monitoring visits in concert with the information from RHY-HMIS to assess performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$17,393,644.00; FY 21 est \$18,000,000.00; FY 22 est \$19,080,000.00;

Range and Average of Financial Assistance:

The range of assistance in Fiscal Year 2020 is \$90,000 to \$150,000 per budget period; the average award is \$140,982.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: ACF awarded 121 grants to include 30 new grant awards and 91 non-competing continuations to support existing programs. Fiscal Year 2021: ACF anticipates awarding 122 grants including 37 to support new programs and 85 to support existing programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

You may also get information on the Family and Youth Services Bureau's website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "About" section. Information is also available through the National Clearinghouse on Homeless Youth and Families, 4340 East-West Highway Suite 1100 Bethesda, MD 20814. Telephone: 833-438-7494. The National Clearinghouse on Homeless Youth and Families website is <https://rhyclearinghouse.acf.hhs.gov> and the email address is GetRHYi@NCHYF.org. The RHY program regulations can be found at 45 CFR Part 1351.

Regional or Local Office:

None.

Headquarters Office:

Youth Services Program Manager Christopher Holloway,

330 C. Street, SW,

Washington DC 20201

Email: christopher.holloway@acf.hhs.gov

Phone: (202) 205-9560

Website Address:

<https://www.acf.hhs.gov/fysb>

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.623 Basic Center Grant;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO(s).

93.558 Temporary Assistance for Needy Families

(TANF),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section N/A, 42 USC Code 601 et seq..

OBJECTIVES:

To provide grants to States and the District of Columbia, Territories, and Indian Tribes to assist needy families with children so that children can be cared for in their own homes or in the homes of relatives; end the dependence of needy parents on government benefits by promoting job preparation, work, and marriage; prevent and reduce the incidence of out-of-wedlock pregnancies; and encourage the formation and maintenance of two-parent families.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Formula Grants

USES AND USE RESTRICTIONS:

TANF funds monthly cash assistance payments to low-income families with children, as well as a wide range of services that are reasonably calculated to address the programs four broad purposes. States, Territories, the District of Columbia, and Federally-recognized Indian Tribes operating their own TANF programs have flexibility to use the grant funds in any manner that meets the purposes of the program and in ways that States and Territories were authorized to use funds received under the predecessor Aid to Families with Dependent Children (AFDC), Job Opportunities and Basic Skills Training (JOBS), and Emergency Assistance (EA) programs. States and Territories may also transfer a limited portion of their assistance grant funds to the Child Care and Development Block Grant (CCDBG) and Social Services Block Grant (SSBG) Programs. Not more than 15 percent of any State grant may be spent on administrative costs, exclusive of certain computerization and information technology expenses. Cash grants, work opportunities, and other services are made directly to needy families with children. For Tribal programs, ACF will negotiate a limitation on administrative costs for the first year of the program's operation not to exceed 35 percent, for the second year of the program's operation not to exceed 30 percent, and then for the third and subsequent years of the program's operation not to exceed 25 percent. Grantees may use PEAFF funds only to provide certain non-recurrent, short term (NRST) benefits. For the purposes of PEAFF, NRST benefits mean cash payments or other benefits that meet the regulatory definition (45 CFR 260.31(b)(1)), but are limited to those that fall into the specific expenditure reporting category mentioned in the legislation (line 15 of the ACF-196R, the state financial reporting form for the TANF program).

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Eligible entities include States and Indian Tribes. According to 45 C.F.R. 260.30, State refers to the 50 States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, Guam, and American Samoa, unless otherwise specified. Indian Tribe is defined under 25 U.S.C. 5304 per 42 U.S.C. 619(4)(A), and includes the 13 specified entities in Alaska, per 42 U.S.C. 619(4)(B). States and Indian Tribes that operate TANF programs must do so under plans determined to be complete (or for Tribes, approved) by the Department of Health and Human Services (HHS). In accordance with 42 U.S.C. 603(b) for Contingency Funds, all States and the District of Columbia are eligible if they are determined to be a "needy State", as defined under 42 U.S.C. 603(b)(5), by satisfying criteria related to the state's unemployment rate or the average number of participants in the Supplemental Nutrition Assistance Program (or SNAP, formerly known as food stamps). Tribes are not eligible for Contingency Funds. See 45 C.F.R. 264.70(c). Per the American Rescue Plan Act of 2021, eligible grantees for Pandemic Emergency Assistance Funds are: states, the District of Columbia, Tribes operating a Tribal TANF program, and all five U.S. territories.

Beneficiary Eligibility:

Individual/Family; Needy families with children, as determined eligible by the State, Territory, or Tribe in accordance with the State or Tribal plan submitted to HHS.

Credentials/Documentation:

Federal funds go to the State and the District of Columbia, Territory, or Tribal agency certified by the Chief Executive Officer. Needy families must meet State or

ASSISTANCE LISTINGS DESCRIPTIONS

Tribal eligibility requirements. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See "Policy Requirements" for more information on applicable subparts of 2 CFR 200 and 45 CFR 75. In accordance with section 402 of the Social Security Act [42 U.S.C. 602], each State must develop a State plan and include the certifications signed by the Executive Officer (Governor). Applicants must consult with local governments and private organizations and provide them 45 days to comment on the plan. The State plan and certifications must be submitted to the Secretary of HHS, and the State must make available to the public a summary of any plan or plan amendment section. Indian Tribes may apply to the Secretary to receive funds and to administer the TANF block grant, as well as submit a 3-year family assistance plan. The Secretary, in consultation with the Indian Tribe, would set program requirements and time-limits for receipt of welfare-related services, consistent with the purposes of the program and economic conditions/resources of each Tribe. Indian Tribes should contact the OFA Regional TANF Program Manager for further Tribal plan submittal procedures. For Contingency Funds, each State must request these funds monthly for each month they meet either the unemployment or SNAP triggers. For Pandemic Emergency Assistance Funds, each eligible state, territory, and tribe must request the funds by the statutory deadline.

Award Procedure:

Once a plan is determined complete, or in the case of an Indian Tribe, the plan is approved, by HHS, Family Assistance Grants are awarded in quarterly payments. Supplemental Grants are also awarded in quarterly payments. Contingency Fund awards will be made monthly. Pandemic Emergency Assistance Fund awards were made in a one-time lump sum FY 2021 payment to grantees who requested their initial allotment by the statutory deadline. Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. States and Indian Tribes must implement their assistance programs according to their State and Tribal plans. The Secretary does not have authority to approve or disapprove a State plan, only to determine its completeness. Tribal plans are subject to approval by the Secretary.

Appeals:

Other. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR 204.4 and 45 CFR 286.240.

Renewals:

>180 Days. In accordance with section 402 of the Social Security Act [42 U.S.C. 602] states must periodically renew their funding status by submitting their TANF plan. A state must submit a new plan for a fiscal year if the state has not submitted to the Secretary a plan that the Secretary has found to be complete during the 27month period ending with the close of the 1st quarter of the fiscal year. This means that a plan is due every two or three years. The regulations outline the process for tribes to submit renewal plans every three years at 45 CFR 286.65. For Contingency Funds, requests for additional funding must be made monthly.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter n/a, Part A, Subpart 403, Public Law . Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

States and Indian Tribes are awarded their assistance grants in quarterly payments. They may reserve grant monies, without fiscal year limitation, to provide any

allowable benefits and services that are consistent with the purposes of the TANF program. With certain exceptions, per 42 U.S.C. 608(a)(7), most families are limited to no more than 5 years of assistance under the Federal grant. Tribes have the flexibility to establish time limits on receipt of assistance. For Contingency Funds, grant awards are issued monthly to States meeting the eligibility criteria stipulated under 45 C.F.R 264.70. For the Pandemic Emergency Assistance Fund (PEAF), a grantee has from April 1, 2021, to September 30, 2022, to expend its initial allotment of funds. If a grantee has not expended all PEAF funds by that date, HHS will reallocate any unused funds, to other states, territories, and tribes, which must expend them within 12 months of receipt, as specified in the legislation. Method of awarding/releasing assistance: quarterly

Reports:

States, Territories, the District of Columbia, and Tribes operating their own Tribal TANF programs are required to collect and report to the Secretary on a quarterly basis case record information on the families receiving assistance. They are also required to report financial data and work participation rate data. Public Law 112-96 added new reporting requirements, as states must report on the implementation of policies and practices related to restricting recipients from using their TANF assistance in electronic benefit transfer transactions at specified locations and must include in their state plans information on how the state will ensure that recipients have adequate access to their cash assistance. There are required quarterly financial reports. States submit the ACF-196R, Territories submit the ACF-196TR, and Indian Tribes submit the ACF-196T through the On Line Data Collection System (OLDC). Tangible Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property must be submitted. Please see the ACF Property, Property Reporting instruction at <https://www.acf.hhs.gov/grants/real-property-and-tangible-personal-property#chapter-5> and the ACF Property, Disposition instructions for Tangible Personal Property at <https://www.acf.hhs.gov/grants/real-property-and-tangible-personal-property#chapter-6>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits shall be conducted by the Inspector General under Chapter 75 of Title 31, United States Code. If a State or Territory is found to have used funds from the State Family Assistance Grant in violation of the statute, the Secretary shall reduce the grant payable to them for the immediately succeeding fiscal year by that same amount. For Tribes, the Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and 45 CFR Part 75. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 45 CFR Part 75 Subpart F applies to this program.

Records:

States, Territories, the District of Columbia, and Tribes must maintain records containing information the Secretary requires as described in 45 CFR 205.60. Record retention requirements are covered by 45 CFR 75.361-365.

Account Identification:

75-1552-0-1-609.

Obligations:

Formula Grants FY 20 \$608,000,000.00; FY 21 est \$608,000,000.00; FY 22 est \$608,000,000.00; Formula Grants FY 20 \$77,617,558.00; FY 21 est \$77,617,558.00; FY 22 est \$77,617,558.00; Formula Grants FY 20 (\$746,494,044.00); FY 21 est (\$745,614,330.00); FY 22 est (\$745,614,330.00); Formula Grants FY 20 \$0.00; FY 21 est \$994,577,293.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

State and Tribal Family Assistance grants are estimated from \$77,195 to \$3,634,266,664 with an approximate average of \$154,631,330.

TAFS Codes:

75-1522. 75-1552.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2020: In FY 2020, grants were made to 50 states and the District of Columbia, three territories, and 75 Tribes. Fiscal Year 2021: In FY 2021, grants were made to 50 states and the District of Columbia, three Territories, and 75 Tribes. Additionally, ACF disbursed Pandemic Emergency Assistance Funds to 49 states and the District of Columbia, five territories, and 75 Tribes. Fiscal Year 2022: In FY 2022, it is projected that grants will be made to 50 states and the District of Columbia, three territories, and 77 Tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Temporary Assistance for Needy Families (TANF) Final Rule was originally published in the Federal Register on April 12, 1999 (Vol. 64, No. 69). Publication of the Deficit Reduction Act of 2005 was published in the Federal Register on February 5, 2008 (Vol. 73, No. 24) to incorporate changes resulting from reauthorization of the TANF program. Program rules for State programs can be found at 45 CFR Parts 260 through 265. The Tribal Temporary Assistance for Needy Families (TANF) Final Rule was published in the Federal Register on February 18, 2000 (Vol. 65, No. 34). Tribal rules can be found at 45 CFR Part 286.

Regional or Local Office:

None. Region I (CT, MA, ME, NH, RI, VT):Shantel Mickens, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (212) 264 2890 x128.Region II (NJ, NY, PR, VI):Shantel Mickens, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264 2890 x128.Region III (DC, DE, MD, PA, VA, WV):Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.Region IV (AL, FL, GA, KY, MS, NC, SC, TN):LaMonica Shelton, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2938.Region V (IL, IN, MI, MN, OH, WI):Eileen Friedman, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (215) 861-4058.Region VI (AR, LA, NM, OK, TX): Kisha Russell, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (303) 844-1483. Region VII (IA, KS, MO, NE):LaMonica Shelton, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106. Telephone: (404) 562-2938.Region VIII (CO, MT, ND, SD, UT, WY):Kisha Russell, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, Byron G. Rogers Federal Office Building, 1961 Stout Street, Ninth Floor, Denver, CO 80294. Telephone: (303) 844-1483.Region IX (AZ, CA, GU, HI, NV):Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.Region X (AK, ID, OR, WA):Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 701 Fifth Avenue, Suite 1500, M/S 71, Seattle, WA 98104. Telephone (206) 615-2569.

Headquarters Office:

Deputy Director Susan Golonka,
Office of Family Assistance,
Washington DC 20201
Email: Susan.Golonka@acf.hhs.gov
Phone: 202-401-9275
Fax:

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.667 Social Services Block Grant; 93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.560 Payments to Territories Adults

Payments to Territories - Adults,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title I, , 42 USC Code 301 306. Social Security Act, Title X, , 42 USC Code 1201 1206. Social Security Act, Title XIV, , 42 USC Code 1351 1355. Social Security Act, Title XVI, , 42 USC Code 1381 notes.

OBJECTIVES:

To provide aid to the aged, blind, and the permanently and totally disabled in Guam, Puerto Rico, and the Virgin Islands.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Cash payments are made to eligible needy aged, blind, or disabled persons in Guam, Puerto Rico, and the Virgin Islands. These payments are to cover costs for food, shelter, clothing, and other daily living needs recognized as necessary by each Territory's program. In addition, an individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least 18 years of age and totally disabled to receive aid to the permanently and totally disabled.

Applicant Eligibility:

U.S. Territories and possessions; Certified territorial welfare agencies in Puerto Rico, the Virgin Islands and Guam are eligible and must operate under Department of Health and Human Services (HHS) approved plans and agreements, which must comply with all Federal statutory and regulatory requirements governing these programs.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Low Income; The beneficiary must be needy -- i.e., meet specific income and resource requirements. In addition, the individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least 18 years of age and totally disabled to receive aid to the permanently and totally disabled. These programs also have specific unearned and earned income disregards that are applied in determining the amount of assistance payable.

Credentials/Documentation:

Federal funds must go to a certified Territorial welfare agency. Individuals must meet all eligibility requirements. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Eligible individuals should apply directly to their Territorial or local welfare agency. The Territories should contact the Regional Temporary Assistance for Needy Families (TANF) program managers for application forms. (See Contact Information section for addresses.)

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45

ASSISTANCE LISTINGS DESCRIPTIONS

CFR Part 75. Eligible individuals should apply directly to their Territorial or local welfare agency. The Territories should contact the Regional Temporary Assistance for Needy Families (TANF) program managers for application forms. Territories contact the Regional TANF program manager for applications for administrative funds. Office of Family Assistance (OFA)/Regional TANF program managers have authority to approve applications and amendments; disapproval authority is held by the Director of the Office of Family Assistance, in the Administration for Children and Families.

Award Procedure:

Once an application is approved, Territories are awarded funds quarterly based on ACF-approved estimates of maintenance assistance and administrative costs. Notification of awards must be made to the designated Territorial agency. Eligible individuals receive monthly subsistence checks from Territorial welfare agencies. Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. Welfare agencies must have a time standard for notifying applicants of approval/disapproval; not over 45 days after application, except 60 days for aid to the disabled.

Appeals:

From 90 to 120 days. Individuals denied assistance must be given a fair hearing on appeal (45 CFR 205.10). Territorial agencies may request a hearing before the Assistant Secretary of ACF regarding adverse decisions (45 CFR 201.14; 45 CFR Part 16).

Renewals:

>180 Days. The agency must conduct periodic reviews to determine whether conditions justifying eligibility still exist.

Formula and Matching Requirements:

Statutory Formula: Title III, Chapter 7, Part A, Subpart XVI, Public Law 92-603, Social Security Act. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Program funds are provided based on a formula linked to the number of recipients receiving benefits each month. Administrative costs are matched at 50%. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Individuals receive support from the Territorial or local welfare agency until they no longer meet the eligibility requirements. Method of awarding/releasing assistance: other

Reports:

Territorial claims must be submitted quarterly to receive Federal matching funds. Territories submit expenditure reports via OLDC on report form ACF 196TR. Tangible Personal Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 45 CFR Part 75 Subpart F applies to this program.

Records:

Territories must maintain records which substantiate eligibility determination and grants that are made. Record retention must be kept in accordance with 45 CFR 75.361-365.

Account Identification:

75-1501-0-1-609.

Obligations:

Formula Grants FY 20 \$23,670,306.00; FY 21 est \$27,000,000.00; FY 22 est \$27,000,000.00;

Range and Average of Financial Assistance:

This funding range is \$716,830 to \$31,046,869 with an average of \$10,998,552.

TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Three grants were awarded in FY 2020. Fiscal Year 2021: It is anticipated that three grants will be awarded in FY 2021. Fiscal Year 2022: It is anticipated that three grants will be awarded in FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific regulations are found throughout 45 CFR 201 through 45 CFR 237.

Regional or Local Office:

See Regional Assistance Locations. Region II (NJ, NY, PR, VI): Shantel Mickens, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (646) 905-8143. Region IX (AZ, CA, GU, HI, NV): Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579.

Headquarters Office:

Deputy Director Susan Golonka,
Office of Family Assistance,
Washington DC 20201
Email: susan.golonka@acf.hhs.gov
Phone: (202) 401-9275

Fax:

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.778 Medical Assistance Program; 96.006 Supplemental Security Income; 96.001 Social Security_Disability Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.563 Child Support Enforcement

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security, Title IV, Part D, Section , 42 USC Code 651.

OBJECTIVES:

The purpose of this program is to enforce the support obligations owed by absent parents to their children, locate absent parents, establish paternity, and obtain child, spousal and medical support.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

States and some Tribes provide support enforcement services directly to individuals who are receiving federally-funded Foster Care Maintenance Payments, Medicaid, Temporary Assistance to Needy Families (TANF) (or those who cease to receive TANF), and to individuals not otherwise eligible for such services. TANF, Medicaid, and certain federally funded Foster Care applicants or recipients must have assigned support rights to the State. Non-TANF individuals other than

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those who cease to receive TANF and/or who provide authorization to the IV-D agency to continue support enforcement services, must have signed a written application for support enforcement services. The State must provide services to locate absent parents, establish paternity and enforce support obligations.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); All States, the District of Columbia, Puerto Rico, Virgin Islands, and Guam. Each of these jurisdictions is required to establish or designate a single and separate State Child Support Enforcement Agency. Tribes are eligible and we currently have sixty tribal child support programs.

Beneficiary Eligibility:

Individual/Family; The State must provide support enforcement services to: (1) All applicants for, or recipients of TANF, Foster Care Maintenance Payments, and Medicaid, for whom an assignment to the State of support rights has been made and who are in need of such services; (2) all individuals who cease to receive TANF; (3) individuals who provide authorization to the IV-D agency to continue support enforcement services; and (4) any other individual who is in need of such services and who has applied for them.

Credentials/Documentation:

The State IV-D agencies must be operating in accordance with an approved Title IV-D State plan. Costs eligible for Federal funding will be determined in accordance with 45 CFR 304. Tribes must submit a Tribal IV-D application and plan and upon approval receive funding to operate a IV-D program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Except for 75.202 and 75.351 through 75.353 of Subpart D the requirements in Subpart C, Subpart D, and Subpart E of do not apply to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. State officials prepare and review state plans and amendments. Regional Office staff are available for consultation or assistance in preparation of State plans and amendments. The standard application form SF-424M and State Plan as required by 45 CFR Chapter II Part 200, must be used for this program. State officials review State Plans and amendments before they are submitted.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are made in the form of an SF-424M and a State Plan, prepared in the format provided by the Office of Child Support Enforcement. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Costs eligible for Federal funding will be determined in accordance with 45 CFR 304. Except for 75.202 and 75.351 through 75.353 of Subpart D the requirements in Subpart C, Subpart D, and Subpart E of do not apply to this program.

Award Procedure:

States are awarded funds quarterly based on their estimates of funds needed to provide support enforcement services to individuals eligible under an approved Title IV-D State plan. These awards are made up of matching funds (Federal Financial Participation FFP), and incentive funds that states earn based on good or improved performance. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State Plans and amendments submitted as new State Plans, and Plan amendments may require up to 90 days for approval.

Appeals:

From 30 to 60 days. The State may request reconsideration of plan disapproval or plan amendment disapproval within 60 days after that disapproval.

Renewals:

Other. Once approved, State IV-D plans remain in effect until amended or revised.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter , Part D, Subpart 455. Public Law Social Security Act. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. States receive a 66 percent Federal Financial Participation (FFP). Federally recognized Indian tribes and Alaska Native organizations are eligible to apply for direct funding for child support programs. Those with approved applications receive 90 percent FFP of their program needs during the first three years and 80 percent FFP thereafter. Tribes also may apply for two-year start-up grants. There are currently sixty tribal child support program grantees. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds. Performance is assessed on an annual basis. Method of awarding/releasing assistance: quarterly

Reports:

Periodic audits are made as part of recipient's systems of financial management and internal control in accordance with Public Law 98-502, the Single Audit Act of 1984. Cash reports are done on Form SF-425. Periodic reports are required on the progress of the programs. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. Quarterly financial reports of administrative expenditures and child support collections and annual program reports including caseload data are required by OCSE. Expenditure reports for other costs are required using the SF-425 Federal Financial Report. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. NA

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Except for 75.202 and 75.351 through 75.353 of Subpart D the requirements in Subpart C, Subpart D, and Subpart E of do not apply to this program. However, grants and cooperative agreements are subject to inspection and audits by DHHS Inspector general and State Audit (private groups) and other Federal government officials. OCSE conducts data reliability of variables used to compute performance measures. These audits were mandated by The Child Support Performance and Incentive Act of 1998 (CSPIA).

Records:

The IV-D agency must maintain records necessary for the proper and efficient administration of the State IV-D plan. All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1501-0-1-609.

Obligations:

Formula Grants FY 20 (\$717,290,486.00); FY 21 est (\$991,638,428.00); FY 22 est (\$716,412,508.00);

Range and Average of Financial Assistance:

FY 2020, from \$3,331,252 to \$493,729,547; Average Award Amount \$65,210,581,

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FY 2021, from \$3,075,801 to \$455,868,753; Average Award Amount \$60,210,021 and FY 2022, from \$3,332,070 to \$493,850,711; Average Award Amount \$65,226,584.

TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 54 grants are expected to be awarded to States and Territories. Fiscal Year 2021: 54 grants are expected to be awarded to States and Territories.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR, Chapter III Part 300, Child Support Enforcement Program 45 CFR Part 16, Procedures of the Departmental Appeals Board 45 CFR Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards 45 CFR Part 95, Grant Programs (Public Assistance, Medical Assistance and State Childrens Health Insurance Programs) 45 CFR Part 213, Practice and Procedure for Hearings to States on Conformity of Public Assistance Plans to Federal Requirements.

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Joseph Arthur,
330 C. St. SW, 5th Floor,
Washington DC 20201
Email: Joey.Arthur@acf.hhs.gov
Phone: 202-823-1019

Fax:

Website Address:

<https://www.acf.hhs.gov/css>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.597 Grants to States for Access and Visitation Programs; 93.647 Social Services Research and Demonstration; 93.658 Foster Care Title IV-E; 93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.564 Child Support Enforcement Research

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security, Title IV, Part D, Section 1115, 42 USC Code 1315.

OBJECTIVES:

This program provides federal funds for experimental, pilot, or demonstration projects that are likely to assist in promoting the objectives of Section 1115, Part D of Title IV of the Social Security Act, which stipulates that 'the project - 1) must be designed to improve the financial well-being of children or otherwise improve the operation of the child support program; 2) may not permit modifications in the child support program which would have the effect of disadvantaging children in need of support; and 3) must not result in increased cost to the federal government under Part A of such title. Any responsibility to the federal government is a condition for receiving the grant, but not a principal purpose.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds can be only used for purposes described in the funding opportunity announcements issued for this Federal Assistance number each fiscal year.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; Section 1115 grants may

be made only to State or Tribal Child Support Enforcement agencies or their umbrella agencies.

Beneficiary Eligibility:

Individual/Family; Families and children served by state and tribal Title IV-D child support agencies.

Credentials/Documentation:

No credentials or documentation required. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find funding opportunity announcements (FOAs) on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Eligible interested parties are encouraged to submit applications on Grants.gov. The application must be executed by an individual authorized to act for the applicant agency or organization and to assume for the agency or organization the obligations imposed by the terms and conditions of the grant. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in FOA. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Please see the funding opportunity announcement for information on deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 120 days.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, subject to the limitations of the Appendix A to 45 CFR Part 16, appeals may take place in accordance with 45 CFR Part 16.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

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are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project duration is specified in the funding opportunity announcement. For multi-year projects, non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds. Method of awarding/releasing assistance: other

Reports:

Program narrative reports and quarterly expenditure reports are required. Periodic monitoring is conducted by the federal project office when progress reports are reviewed. Quarterly expenditure reports are required. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is semi-annual. For more information, see:

<https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>.

Periodic monitoring is conducted by the Federal Project Officer when progress reports are reviewed. Expenditure reports are required using the SF-425 Federal Financial Report (FFR). The frequency of reporting is semi-annual. For more information, see: <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Periodic monitoring is conducted by the Federal Project Officer when progress reports are reviewed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR Part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1501-0-1-609.

Obligations:

Project Grants FY 20 \$3,835,966.00; FY 21 est \$4,000,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

\$100,000 to \$800,000. Average changes each fiscal year.

TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: OCSE awarded seven continuation grants and nine new grants

Fiscal Year 2021: OCSE Anticipates awarding up to 15 new grants Fiscal Year

2022: OCSE Anticipates awarding up to 15 new grants

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact the ACF Regional Administrator for assistance.

Headquarters Office:

Michelle Jadcak,
330 C. Street S.W.,
Washington DC 20201
Email: michelle.jadcak@acf.hhs.gov
Phone: 2024014578
Fax:

Website Address:

<https://www.acf.hhs.gov/css>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.563 Child Support Enforcement; 93.597 Grants to States for Access and Visitation Programs; 93.647 Social Services Research and Demonstration; 93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the Funding Opportunity Announcement(s).

93.566 Refugee and Entrant Assistance State/Replacement Designee Administered Programs

Refugee Cash and Medical Assistance Program and Refugee Support Services Program,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Refugee Education Assistance Act of 1980, Title V, Section 501(a), Statue 94-1799, Public Law 96-422, 8 USC Code 1522 note. William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, Section 212-235, Public Law 110-457, Victims of Trafficking and Violence Protection Act of 2000, , Public Law 106-386, Refugee Act of 1980, Section 412, Statue 94-111, Public Law 96-212, 8 USC Code 1522(e).

OBJECTIVES:

The Refugee Cash and Medical Assistance (CMA) Program reimburses States and Replacement Designees for the cost of cash and medical assistance provided to refugees, certain Amerasians from Vietnam, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants during the first eight months after their arrival in this country or date of eligibility. Specifically, CMA covers the Refugee Cash Assistance (RCA), Refugee Medical Assistance (RMA), and Refugee Medical Screening (RMS) programs, as well as recipient administrative costs for these programs. CMA also includes the Unaccompanied Refugee Minors (URM) program, and reimbursement is provided for care of enrolled URMs and grantee administrative costs. The Refugee Support Services (RSS) Program provides formula funding to be used for employment and other social services for the same population for five years after their date of arrival or date of eligibility.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Assistance is limited to refugees, certain Amerasians from Vietnam, Cuban and Haitian entrants, asylees, victims of a severe form of human trafficking, and Iraqi and Afghan Special Immigrants. Costs of case management services, as defined in 45 CFR 400.2, may not be charged to the Cash and Medical Assistance grant.

Applicant Eligibility:

State; State agencies and Replacement Designees are eligible to receive these funds.

Beneficiary Eligibility:

Refugee/Alien; Refugees, certain Amerasians from Vietnam, Cuban and Haitian

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entrants, asylees, victims of a severe form of human trafficking, and Iraqi and Afghan Special Immigrants are eligible for benefits and services. Detailed information on eligibility of ORR-funded projects is available at 45 CFR 400.43 and ORR Policy Letter 16-01, which can be found on the ORR website at: www.acf.hhs.gov/programs/orr/resource/policy-letter-16-01. Cash and medical assistance is transitional for up to eight months; Refugee Support Services may be provided for up to five years.

Credentials/Documentation:

Assistance is authorized for refugees, certain Amerasians from Vietnam, asylees, Cuban and Haitian entrants, asylees, victims of a severe form of human trafficking, and Iraqi and Afghan Special Immigrants with documentation on their immigration status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Recipients are required to submit a State Plan, an Annual Service Plan, and annual State Cash and Medical Assistance estimates.

Award Procedure:

Cash and Medical Assistance grants are made to State agencies and Replacement Designees following submittal of approved State Plans and annual Cash and Medical Assistance Program Estimates (ORR-1). For Refugee Support Services grants, State agencies and Replacement Designees must submit an Annual Service Plan (ASP).

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A. Also: States may request a hearing on the decisions made by the Director or designee on any plan or plan amendment in accordance with 45 CFR 400.9.

Renewals:

Other. Annual submission of Refugee Cash and Medical Assistance Program Estimates (ORR-1) and a State Plan are required in order to receive Refugee Cash and Medical Assistance funding. Annual submission of an Annual Service Plan for Refugee Support Services grants is required in order to receive funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are awarded on a quarterly basis. Funds for Refugee Cash and Medical Assistance and administrative costs must be obligated within the fiscal year of appropriation and liquidated by the end of the next fiscal year. Funds for services and administration for unaccompanied refugee minors may be obligated and expended in the year of award and in the subsequent year. Funds for Refugee Support Services must be obligated by end of the fiscal year after the fiscal year of appropriation and liquidated by the end of the following fiscal year. Method of awarding/releasing assistance: other

Reports:

ORR-6 Program Performance Reports, summarizing the activities and accomplishments of the project in relation to the approved goals and objectives, are required annually for the Refugee Medical Assistance Program and every six months for the other components of the Refugee Cash and Medical Assistance and Refugee Support Services programs. Cash reports are not applicable. Recipients submit a Federal Financial Report (SF-425) for Refugee Support Services and an ORR-2 for Refugee Cash and Medical Assistance four times a year. For more information on the SF-425 see: <https://www.acf.hhs.gov/grants/discretionary-post->

award-requirements#book_content_1 ORR regularly monitors recipients, including Refugee Cash and Medical Assistance, Refugee Support Services, and the Unaccompanied Refugee Minors program. During monitoring, ORR reviews a representative selection of case files to assess whether clients are receiving appropriate services. Specifically, ORR reviews client legal, financial, categorical, and time eligibility; the family self-sufficiency plan; receipt of cash and medical assistance, if either or both are applicable; case management, as applicable; employment services and outcomes, as applicable; and any other program-specific services provided. ORR also interviews agency staff and clients to ensure that information gleaned from the case file, agency staff, and clients corroborates with one another. In addition to program monitoring, ORR reviews State Plans and State Plan amendments, annual and semi-annual performance reports, quarterly Federal Financial Reports (ORR-2), and annual goal and services plans to ensure that grantees are spending funds appropriately and are performing in a manner consistent with program requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

The grantee must maintain financial and program records in accordance with 45 CFR 75.361-365.

Account Identification:

75-1503-0-1-609.

Obligations:

Formula Grants FY 20 \$136,000,000.00; FY 21 est \$136,000,000.00; FY 22 est \$290,330,000.00; Formula Grants FY 20 \$14,850,000.00; FY 21 est \$14,850,000.00; FY 22 est \$32,670,000.00; Formula Grants FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; and FY 22 est \$9,000,000.00; Formula Grants FY 20 \$8,340,000.00; FY 21 est \$8,340,000.00; and FY 22 est \$12,000,000.00; Formula Grants FY 20 \$5,175,000.00; FY 21 est \$4,600,000.00; and FY 22 est \$16,000,000.00; Formula Grants FY 20 \$20,000,000.00; FY 21 est \$0.00; and FY 22 est \$0.00; Project Grants FY 20 \$238,113,909.00; FY 21 est \$318,000,000.00; and FY 22 est \$420,000,000.00;

Range and Average of Financial Assistance:

In FY 2020, the Refugee Cash and Medical Assistance cost reimbursement program grants ranged from \$5,549 to \$25,928,644. The Refugee Support Services program grants ranged from \$70,000 to \$36,307,215. In FY 2021, the Refugee Cash and Medical Assistance cost reimbursement program grants ranged from \$16,908 to \$33,573,293. The Refugee Support Services program grants ranged from \$50,000 to \$33,573,293.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 62 Refugee Cash and Medical Assistance and 57 Refugee Support Services grants were issued to States and Replacement Designees. Fiscal Year 2022: It is projected that 62 Refugee Cash and Medical Assistance and 57 Refugee Support Services grants will be issued to States and Replacement Designees

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 400, Subparts A-I.

Regional or Local Office:

None.

Headquarters Office:

Director of Refugee Assistance Carl Rubenstein, Director- Division of Refugee Assistance,

Mary E. Switzer Building 330 C Street SW, 5th Floor West, Washington DC 20201

Email: carl.rubenstein@acf.hhs.gov

Phone: (202) 205-5933

Fax:

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

<https://www.acf.hhs.gov/orr>

RELATED PROGRAMS:

93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.598 Services to Victims of a Severe Form of Trafficking;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.567 Refugee and Entrant Assistance Voluntary Agency Programs

Voluntary Agencies Matching Grant Program,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Defense Authorization Act of 2008, , Public Law 110-181, Victims of Trafficking and Violence Protection Act of 2000, , Public Law 106-386, Refugee Act of 1980, Title VIII, Section 412, Statute 94-111, Public Law 96-212, 8 USC Code 1522(c)(1)(A). Consolidated Appropriations Act 2008, , Public Law 110-161, Refugee Assistance Extension Act of 1986, , Statute 100-3449, Public Law 99-605

OBJECTIVES:

The Matching Grant Program was created in 1979 as an intensive case management program with the objective to fast track new arrivals toward economic self-sufficiency six months (180 days) of program enrollment, without accessing public cash assistance. The Matching Grant Program assists refugees in becoming self-supporting and independent members of American society by providing grant funds to private nonprofit organizations to support case management, transitional assistance, and social services for new arrivals

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Federal funds of up to \$2,750 per enrollment are provided on a matching basis of \$2 of Federal funds matched by \$1 of private funds and in-kind contributions. Required services include case management, employment services, housing and utilities, food, transportation, cash allowance, health and medical, English language training, social adjustment, and other support services for four to six months.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Grant awards are limited to private nonprofit organizations that have a Reception and Placement Cooperative Agreement with the Department of State or Department of Homeland Security.

Beneficiary Eligibility:

Refugee/Alien; Refugees, certain Amerasians, Cuban and Haitian entrants, asylees, certified victims of a severe form of trafficking, and Special Immigrants from Iraq and Afghanistan are the beneficiaries. Detailed information on eligibility of ORR-funded projects is available at 45 CFR 400.43 and ORR Policy Letter 16-01, which can be found on the ORR website at:

www.acf.hhs.gov/programs/orr/resource/policy-letter-16-01. Enrollment must occur within 31 to 90 days of the individuals date of eligibility. The date of eligibility for Matching Grant Services is counted from the date of arrival into the country for refugees and Amerasians; the date a Cuban/Haitian becomes an entrant; the date of the final grant of asylum for asylees; the date of the certification or eligibility letter for Victims of Severe Forms of Trafficking; and the date an Special Immigrant Visa (SIV) arrives in the U.S. or the date of adjustment of status if applying for Special Immigrant Status within the U.S. At least one member of the case unit must be deemed 'employable' for the case to be enrolled in the Matching Grant Program, and all other members must be otherwise Program eligible. Elderly or disabled individuals who are expected to receive Supplemental Security Income within nine

months after arrival; individuals who are already economically self-sufficient; and individuals receiving other forms of cash assistance or supplementary income are not eligible for the matching grant program.

Credentials/Documentation:

Newly arriving refugees, certain Amerasians, Cuban or Haitian Entrants, asylees, and Iraqi or Afghan Special Immigrants, with eligibility documentation from the United States Citizenship and Immigration Services (USCIS) and victims of severe forms of trafficking with certification documents issued by HHS. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Funding under this program is limited to private nonprofit organizations that have a Reception and Placement Cooperative Agreement with the Department of State or Department of Homeland Security.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible recipients are invited to submit a yearly project plan to HHS.

Award Procedure:

Grant amounts are formula based dependent on the number of eligible individuals each recipient is likely to resettle, consideration of past performance and availability of funds. The Director of the Office of Refugee Resettlement makes final funding decisions before grant awards are issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. A deadline for the submission of a project plan is established in the invitation to each voluntary agency. The invitation also establishes the dates of the grant and awards are issued accordingly.

Appeals:

Other. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. The yearly grant will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. **Actual Percentage is 33.33% (one-third of total program cost). Grants are awarded on a basis of \$2 in Federal funds for each \$1 of private funds up to a maximum of \$2,750 per enrollment. The nonfederal share may be through in-kind donations or cash. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are awarded on a yearly basis. Method of awarding/releasing assistance: quarterly

Reports:

For this Program, recipients must submit biannual and annual narrative and programmatic statistical reports, and quarterly and annual financial reports as established in the award terms and conditions and the Matching Grant Program Guidelines. Semi-annual and annual progress reports are required. SF-425 Federal Financial Reports are required quarterly and a final financial status report is due 210 days after the project period. For more information, see:

https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. In addition to the progress reports, performance monitoring activities include on site and desk monitoring of recipients. Matching Grant Program guidelines include the requirement that national recipients must monitor their local service providers and report back to ORR as part of their semi-annual reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1503-0-1-609.

Obligations:

Formula Grants FY 20 \$34,647,502.00; FY 21 est \$45,000,000.00; FY 22 est \$147,000,000.00;

Range and Average of Financial Assistance:

In FY 2020, the grants ranged from \$1,382,025 to \$6,540,250 with an average award of \$3,849,722. In FY 2021, the grants ranged from \$1,650,000 to \$8,549,750 with an average award of \$4,501,444.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The nine voluntary agency recipients received quarterly awards totaling \$34,647,502 to provide for up to 8,918 individuals Fiscal Year 2021: The nine voluntary agency recipients are expected receive quarterly awards totaling \$45,000,000 to provide services for up to 9,000 individuals. Fiscal Year 2022: The nine voluntary agency recipients are expected to receive quarterly awards totaling \$147,000,000 and serve 37,051 individuals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual Matching Grant Program guidelines are issued by the Office of Refugee Resettlement.

Regional or Local Office:

None.

Headquarters Office:

Anastasia Brown, Director Division of Refugee Services,
Mary E. Switzer Building, 330 C Street SW,
Washington DC 20201
Email: anastasia.brown@acf.hhs.gov
Phone: (202) 401-4559
Fax: (202) 401-0981

Website Address:

<https://www.acf.hhs.gov/orr/programs>

RELATED PROGRAMS:

93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.566 Refugee and Entrant Assistance_State Administered Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding under this program is limited to private nonprofit organizations that have a Reception and Placement Cooperative Agreement with the Department of State.

93.568 Low-Income Home Energy Assistance

LIHEAP,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Energy Policy Act of 2005, , Public Law 109-58, Section 121, Low Income Home Energy Assistance Act of 1981, as amended, , 42 USC Code 8621-30. Coronavirus Aid, Relief, and Economic Security Act (CARES), Title , Part , Section , Public Law 116-136, American Rescue Plan (ARP) Act of 2021, Title , ,

Public Law 117-2

OBJECTIVES:

The objective of the Low Income Home Energy Assistance Program (LIHEAP) is to make grants available to States, the District of Columbia, US Territories, and Native American Tribes and tribal organizations for the purpose of assisting eligible households to meet the costs of home energy. Supplemental Leveraging Incentive funds may be awarded to reward States that provide additional benefits and services to LIHEAP-eligible households beyond what could be provided with Federal funds. A portion of the leveraging incentive funds may be set aside by HHS for LIHEAP recipients to provide services through local administrating agencies to help LIHEAP-eligible households reduce their energy vulnerability under the Residential Energy Assistance Challenge Program (REACH). Training and Technical Assistance funds are authorized to conduct federal monitoring and provide training and technical assistance to recipients administering the LIHEAP block grant.

TYPES OF ASSISTANCE:

Formula Grants, Training

USES AND USE RESTRICTIONS:

Energy Assistance Block Grants: Funds are awarded to States, eligible Tribes and Tribal Organizations, and Territories, which then make payments directly to an eligible low-income household or, on behalf of such household, to an energy supplier to assist in meeting the cost of home energy. Up to 10 percent of these funds may be used for planning and administration by state and territories recipients. Tribal organizations have a different administrative earmark. Up to 15 percent may be used for low-cost residential weatherization. Beginning April 1st each year, LIHEAP recipients may request in writing a waiver from HHS for that federal fiscal year to increase the amount of funds that can be allotted by the recipient for residential weatherization up to 25 percent of the total LIHEAP grant for that year. Grantees must reserve a reasonable amount of funding for crisis assistance through at least March 15th each year. Leveraging: Depending upon specific appropriations, HHS may allocate supplemental LIHEAP leveraging incentive funds to current recipients, based upon receipt of a Leveraging Report made available by the Department regarding the extent to which the recipients acquired nonfederal leveraged resources in order to provide additional benefits and services to LIHEAP-eligible households to help them meet their home heating and cooling needs. REACH: Funds may also be allocated by HHS to current LIHEAP recipients, based upon a REACH proposal submitted by the recipient to the Department, when solicited. The proposal must provide services (through community-based nonprofit organizations for state recipients) to LIHEAP-eligible households to reduce their energy vulnerability, including health and safety risks posed by a high home energy burden, preventing homelessness due to home energy, and increasing the efficient usage of home energy. Emergency Contingency: Additional funds may be authorized and distributed as emergency contingency funds to meet needs arising from a natural disaster or other emergency. Such funds will be made available only after submission to Congress of a formal budget request for all or part of the funds by the President that designates the amount of the request as an emergency under the Balanced Budget and Emergency Deficit Control Act of 1985. Generally: LIHEAP grants funds of any kind must not be used by the State/recipient, or by any other person with which the State makes arrangements to carry out the purposes of this program, for the purchase or improvement of land, or the purchase, construction, or permanent improvement (other than low-cost residential weatherization or other energy-related home repairs) of any building or other facility. The FY 2020 CARES Act supplemental funding is obligated by grantee to meet the home energy needs the same way they obligate their regular block grant award. Training and Technical Assistance: Under the LIHEAP statute (42 U.S.C. 8628a) the Secretary has authority to set aside up to \$300 thousand for technical assistance and training purposes. In FY 2012 Congress raised the amount available to the Secretary for LIHEAP T&TA to \$3 million as part of the year's appropriation. Since FY 2014, Congress has earmarked approximately \$2,988 million for each fiscal year from each years appropriation for training and technical assistance services and monitoring. These training and technical assistance activities can be accomplished through: grants, contracts, or jointly financed cooperative or interagency agreements with other Federal departments, States, Indian tribes, tribal organizations, public agencies, private companies, and private nonprofit organizations. Funds are used to conduct on-site compliance reviews of LIHEAP grantees and to provide training and technical assistance. The nature and amount

ASSISTANCE LISTINGS DESCRIPTIONS

awarded for training and technical assistance vary from year to year. Congress did not appropriate T&TA funding within the CARES Act or ARP funding for LIHEAP; therefore, ACF distributed all of the CARES and ARP Act LIHEAP funding directly to recipients.

Applicant Eligibility:

U.S. Territories and possessions; Federally Recognized Indian Tribal Governments; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Energy Assistance Block Grants, REACH Grants, Leveraging Grants: All States, the District of Columbia, federally-and State-recognized Indian Tribal governments and tribal organization which request direct funding, Commonwealth of Puerto Rico, Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands may receive direct grants. The territories may choose to apply for funding through a consolidated Social Services Block Grant Plan. Training and Technical Assistance Grant, Contracts, Cooperative Agreements, or Interagency Agreements: States, Indian tribes or tribal organizations, Territories, public agencies, private nonprofit organizations and other private entities may apply. CARES Act Grants: Only LIHEAP grantees active in FY 2020 received CARES Act LIHEAP grants without need for a separate application to ACF. There were 13 tribal grantees that did not receive CARES Act LIHEAP funding because ACF had already awarded the maximum permitted for FY 2020 to those tribes based on the pre-negotiated fixed award amounts that were greater than the minimum annual amount they were entitled to under the federal LIHEAP statute. ARP Act Grants: Only LIHEAP grantees active in FY 2021 received ARP Act LIHEAP grants without need for a separate application to ACF. There were 10 tribal grantees that did not receive ARP Act LIHEAP funding because ACF had already awarded the maximum permitted for FY 2021 to those tribes based on the pre-negotiated fixed award amounts that were greater than the minimum annual amount they were entitled to under the federal LIHEAP statute.

Beneficiary Eligibility:

Low Income; Energy Assistance Block Grants: Households with incomes up to the greater of 150 percent of the federal poverty guidelines (FPG) or 60 percent of the estimated State median income (SMI) are eligible for benefits. Grantees may establish lower income eligibility levels, but they may not set the limit below 110 percent of FPG. Training and Technical Assistance Grants/Contracts: States, the District of Columbia, Indian tribes or tribal organizations, and Territories managing LIHEAP programs are the intended beneficiaries of the technical assistance services.

Credentials/Documentation:

Energy Assistance Block Grants: The chief executive officer of the State, Tribe or Territory or his/her designee, must certify to 16 assurances required by federal law and submit documentation of the public participation the grantee obtained on its Plan for the coming year. Households must meet beneficiary eligibility requirements set by grantees. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. All prospective LIHEAP recipients must obtain public participation (inspection and comment) on their proposed LIHEAP Plans prior to submitting their Plans to ACF as their funding request. Additionally, States must conduct public hearings with respect to the proposed use and distribution of funds to be provided. Tribal recipients are not required to conduct public hearings, however meaningful public input is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Some sections of 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program (see also 45 CFR Part 75). Each State/Territory/Tribe desiring to receive an allotment for any federal fiscal year shall submit an application (LIHEAP Plan) via the ACF OLDC system no later than September 1st each year (unless otherwise directed by ACF). The prospective recipient's Plan must contain certification of the federal LIHEAP assurances by the chief executive officer of the State (Tribal Chief/Chairperson), or his/her designee. The Plans must include an explanation of how the entity sought public participation on the Plan and to what extent their Plan (and policies)

changed as a result of the public comments received.

Award Procedure:

Energy Assistance Block Grants: Grants are made upon receipt of a complete application (plan) by the Administration for Children and Families, Office of Community Services, Headquarters Office via the OLDC system. Leveraging Incentive Funds can be awarded based on applications submitted by recipients when sought by ACF. Instructions will be issued if emergency contingency funds are released. REACH Grants: Each proposal/application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be "non-responsive" and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management of financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Energy Assistance Block Grants: States and Territories must submit their applications by September 1, prior to the federal fiscal year for which funds are sought, unless the Department agrees to a later date in form of approved extension. The Department will only award new funding after it has reviewed and accepted complete plans from prospective recipients. Recipients that submit incomplete plans must address the deficiencies, no later than December 15, of the fiscal year for which funds are sought, unless the Department (in the case of States and Territories) or the State (in the case of tribal recipients) agrees to a later date on form of approved extension. Leveraging incentive fund reports are due by November 30 of each year, if funding is available. REACH applications are due by March 30 of each year, if funding is available. No application was needed to receive CARES Act or ARP Act LIHEAP funding.

Range of Approval/Disapproval Time:

Other. Energy Assistance Block Grants: The Department reviews plans for completeness and will act on the plans submitted as quickly as possible. Leveraging Incentive and REACH applications will be reviewed and acted upon within deadlines. Training and Technical Assistance: Range of time varies, depending upon the nature and complexity of a request for proposals and as established by the Department. Energy Assistance Block Grants: Annual applications are required. Separated annual applications are also required for Leveraging Incentive Funds and REACH funds. Instructions will be issued if emergency contingency funds are released.

Appeals:

Other. Energy Assistance Block Grants: Recipients may request a hearing regarding repayment of funds or withholding of funds under Section 2608 of the authorization and 45 CFR 96.52. Training and Technical Assistance: There is no appeal for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Energy Assistance Block Grants: Annual applications are required. Separate annual applications are also required for Leveraging Incentive Funds and REACH funds. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory Formula: Title n/a, Chapter n/a, Part n/a, Subpart n/a, Public Law n/a. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Energy Assistance Block Grants: Grant awards are made to recipients with complete applications (plans) for carrying out the program within the federal fiscal year. Up to 10 percent of a federal fiscal year's grant may be held available for obligation by the recipient in the subsequent federal fiscal year. For Leveraging Incentive Funds or REACH funds, obligation must occur by the end of the federal fiscal year following the year in which the funds are appropriated, without regard to the 10 percent carryover limit. For CARES Act LIHEAP funds, obligation must occur by September 30, 2021, without regard to the 10 percent carryover limit in the LIHEAP statute. For ARP Act LIHEAP funds, obligation must occur by September 30, 2022, without regard to the 10 percent carryover limit in the LIHEAP statute. Method of awarding/releasing assistance: other

Reports:

Energy Assistance Block Grants: A LIHEAP Household Report is required annually from all LIHEAP recipients. States and Territories must submit the Long Form Household Report regarding the number and income levels of households served by assistance type and an unduplicated total household count served during the previous federal fiscal year, the number of households that are served that have members who are elderly, disabled, or young children, and the number and income levels of all households that apply for assistance whether or not they are served. Tribes must submit the Short Form Household Report regarding the number of households served by each type of LIHEAP assistance (heating, cooling, crisis, weatherization) in the prior federal fiscal year. This report must be included as part of the annual plan before new funds are released. The LIHEAP Carryover and Re-allocation Report is required annually, typically by August 1st. This report includes the estimated amount of funds that the recipient desires to carry over for obligations in the succeeding federal fiscal year, the reasons any such funds will not be used during the first fiscal year, the types of assistance to be provided with funds carried over and the amount of funds, if any, to be subject to reallocation (return to HHS). Grant awards for the following fiscal year will not be released until this report is received. State recipients only are required to complete the annual LIHEAP Performance Data Form. The first module includes recipient information on sources and uses of LIHEAP funds, average LIHEAP household benefits, and the maximum income cutoff for 4-person households for each type of LIHEAP assistance provided by each state. The second module includes state recipient developmental performance measurement data on home energy burden targeting, restoration of home energy services, and prevention of loss of home energy services. Accounting and Reporting on LIHEAP CARES and ARP Act Funding: LIHEAP recipients must track, account for, and report on, the LIHEAP CARES and ARP Act supplemental funding separate from the rest of their FY 2021 funding. There will be additional reporting requirements specific to this supplemental funding. Additional guidance on reporting will be forthcoming. Tangible Personal Property - When the equipment acquired under this program is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Recipients are required to submit information on sub awards and executive total compensation as required by the Federal Funding Accountability and Transparency Act of 2006, 2 CFR Part 170. A SF-425, Federal Financial Report, is due annually no later than December 31st via the Payment Management System (PMS). This report concerns the obligation balances for each federal fiscal year and for each type of LIHEAP grant award (block grants, allotment, emergency contingency, Leveraging, and REACH). A report is required from those recipients expending up to 5 percent of funds under section 2605(b)(16) (42 USC 8624(b)(16)) for services that encourage and enable households to reduce their home energy needs. A report is due by November 30 of each year, if funding is available, for those recipients that which to receive Leveraging Incentive Funds, reporting the amount value of leveraged resources they added to the program in the previous fiscal year. For REACH, a financial status report is due 90 days after the end of the program year and a final evaluation is due six months after the end of the federal fiscal year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. However, according to 42 USC 8624(b)(10) and 45 CFR 96.31(a), LIHEAP recipients and sub-recipients are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507). An independent auditor in accordance with generally accepted Government auditing standards covering financial audits shall make the audits.

Records:

Grant accounting records must be maintained in accordance with 42 USC 8624(b)(10) and 45 CFR 96.30(a).

Account Identification:

75-1502-0-1-609.

Obligations:

Training FY 20 \$2,988,000.00; FY 21 est \$3,500,000.00; FY 22 est \$3,500,000.00; Formula Grants FY 20 (\$557,651,296.00); FY 21 est (\$585,666,336.00); FY 22 est (\$448,427,296.00); Training FY 20 \$657,885.00; FY 21 est \$1,400,127.00; FY 22 est \$1,029,000.00; Training FY 20 \$900,000,000.00; FY 21 est \$0.00; FY 22 est \$0.00; Training FY 20 \$0.00; FY 21 est \$205,032,704.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Average grant to primary recipients in FY2021 is \$18,006,315 for regular funding and \$22,959,184 for American Rescue Plan (ARP) Act LIHEAP funding. The estimated range in direct grants (depending on total funding) is approximately \$1,842 to \$373,415,920 for regular FY 2021 funding. For ARP of FY2021 LIHEAP funding, the estimated range in direct grants is approximately \$1,969 to \$535,967,074.

TAFS Codes:

75-1502.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 206 entities received regular LIHEAP FY 2021 funding. ACF awarded 196 recipients with ARP of FY 2021 LIHEAP funding on May 4, 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96, Subpart H and 45 CFR 75 as applicable.

Regional or Local Office:

None.

Headquarters Office:

Director Lauren Christopher,
Division of Energy Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services,
Washington DC 20201
Email: Lauren.Christopher@acf.hhs.gov
Phone: 2024014870
Fax: 2024015661

Website Address:

<https://www.acf.hhs.gov/ocs/low-income-home-energy-assistance-program-liheap>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria pertinent to each funding opportunity are announced in an Action Transmittal to eligible entities regarding regular energy assistance block grants, Leveraging grants, or REACH grants. Criteria for selecting REACH proposals will be published in the Notice of Funding Opportunities.

93.569 Community Services Block Grant

(CSBG),

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Community Services Block Grant (CSBG) Act of the Omnibus Budget Reconciliation Act of 1981, Title VI, Public Law 105-285, 42 USC Code 9901-9920. Coronavirus Aid, Relief and Economic Security (CARES) Act, Title, Public Law 116-136

OBJECTIVES:

The objectives are to provide assistance to States and local communities, working through a network of community action agencies and other neighborhood-based organizations, for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient (particularly families who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act) and (1) to provide services and activities having a measurable and potential major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem; (2) to provide activities designed to assist low-income participants, including the elderly poor, to: (a) secure and retain meaningful employment; (b) attain an adequate education; (c) make better use of available income; (d) obtain and maintain adequate housing and a suitable living environment; (e) obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including health services, nutritious food, housing, and employment-related assistance; (f) remove obstacles and solve problems which block the achievement of self-sufficiency; (g) achieve greater participation in the affairs of the community; and (h) make more effective use of other related programs; (3) to provide on an emergency basis for the provision of such supplies and services, nutritious foodstuffs, and related services, as may be necessary to counteract conditions of starvation and malnutrition among the poor; and (4) to coordinate and establish linkages between governmental and other social services programs to assure the effective delivery of such services to low-income individuals. In addition to the CSBG block grants to states, the Office of Community Services funds additional discretionary projects for technical assistance including: Up to 20 CSBG CARES Act Rapid Cycle Impact Projects (RCIP) (The goal of CSBG CARES RCIP grants is to adapt current eligible entity service models to effectively prevent, prepare for, and respond to the economic and social impacts of COVID-19, while also preparing for a future model that realigns local services); Center of Excellence (COE) for Human Capacity and Community Transformation (HCCT); 11 Regional Performance and Innovation Consortia (RPIC), a Learning Communities Resource Center, and a Legal Training and Technical Assistance Center.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary), Training

USES AND USE RESTRICTIONS:

States receive block grants to ameliorate the causes of poverty in communities. The block grant approach gives the States flexibility to tailor their programs to the particular services needs in their communities. States are required to use at least 90 percent of their allocations for grants to "eligible entities" as defined in the Community Services Block Grant (CSBG) Act, as amended; this includes primarily locally-based community action agencies and/or organizations that serve seasonal or migrant farm workers. No more than the greater of \$55,000 or 5 percent of each State's allocation may be used for administrative expenses at the State level. Funds may not be used for the purchase or improvement of land, or the purchase, construction, or permanent improvement (other than low-cost residential weatherization or other energy-related home repairs) of any building or other facility unless a waiver is approved by the Secretary. For discretionary grants for T/TA: funds may be used in accordance with the objectives outlined in each Notice of Funding Opportunity (NOFO) and the terms and conditions of the CSBG and CSBG CARES award. CSBG CARES is to be used to prevent, prepare for, and respond to the coronavirus.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; U.S. Territories and possessions; The Secretary is authorized to make CSBG grants to States. This includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Secretary also provides assistance directly to

the governing body of an Indian Tribe or Tribal organization upon application by the tribe. Only State-recognized tribes, as evidenced by a statement to that effect by the Governor, or tribes formally recognized by the Secretary of the Interior, under the procedure for such recognition in 25 CFR 54, are eligible to receive direct grants. In order for a private nonprofit or public organization to be considered an eligible entity, they must administer the Community Services Block Grant program through a tripartite board as described in 42 USC 9910 that fully participates in the development, planning, implementation, and evaluation of the program to serve low-income communities. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards. For the discretionary technical assistance and training (T/TA) grants: Eligible entities include CSBG eligible entities, or statewide or local organizations or associations, with demonstrated expertise in providing training to individuals and organizations on methods of effectively addressing the needs of low-income families and communities. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards. For CSBG CARES Act awards, funding is generally anticipated to be made available through supplements to current recipients.

Beneficiary Eligibility:

Individual/Family; Senior Citizen (60+); Unemployed; Low Income; Public nonprofit institution/organization; States make grants to qualified locally-based nonprofit community antipoverty agencies and other eligible entities which provide services to low-income individuals and families. The official poverty line, as established by the Secretary of Health and Human Services, is used as a criterion of eligibility in the Community Services Block Grant program. When a State determines that it serves the objectives of the block grant, it may revise the income limit, not to exceed a certain percentage of the official poverty line. Historically, the percentage has been set at 125%. However, appropriations made under the Coronavirus Aid, Relief, and Economic Security Act (the CARES Act) allows states to revise the percentage to 200% of the official poverty line.

Credentials/Documentation:

CSBG Block Grants direct grantees are excluded from coverage under 2 CFR 200, Subpart E - Cost Principles; however, Subpart E does apply to subrecipients of these funds pursuant to 42 USC 9916(a)(1)(B). See "Policy Requirements" for more information. For discretionary grants, 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. States are also required to conduct public hearings on the proposed use and distribution of funds to be provided under the Act.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See "Policy Requirements" for more information on applicable sections of 2 CFR 200. For CSBG Block Grants: Each State desiring to receive an allotment for a fiscal year is required to submit an application to the Secretary of Health and Human Services (HHS). Each application must contain assurances by the appropriate State designee that the State will comply with Section 676 of the Community Services Block Grant Act and also meet conditions enumerated in Sections 678(B-D). The State is required to hold at least one legislative hearing every three years in conjunction with the development of the State Plan (Section 676(a)(3)). and at least one public hearing for the period covered by each state plan. The latter sets forth the general purpose for which funds will be used, restrictions on administrative expenses, eligible recipients, board requirements for community action agencies and other nonprofit organizations, fiscal control, monitoring, and Federal investigation provisions, coordination between antipoverty programs in each community and certain prohibitions on political activities. The Chief Executive Officer of each State is also required to designate a lead agency to prepare and submit a plan to the Secretary of HHS describing how the State will carry out the assurances in Section 676. This program is excluded from coverage under 45 CFR, Part 1050. For discretionary grants: Applicants may find Notice of Funding Opportunities (NOFOs) on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

HHS determines the amount of funds to be allocated as block grants to each State in accordance with the formula set forth in the Community Services Block Grant Act. The Office of Management and Budget (OMB) has the authority to apportion to the HHS through the course of a year the Congressional appropriation for block grants. Consistent with OMB's apportionment of funds, HHS will assign allotments to the States through individual awards or a series of awards, normally on a quarterly basis. For discretionary grants: Each application will be screened to determine whether it meets any of the disqualifying factors; missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be "non-responsive" and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Funds may be withheld from any State which does not utilize its allotment substantially in accordance with the provisions of the Community Services Block Grant Act and the assurances provided in its application. States may terminate or reduce funding to an eligible entity, but this may be done only after adequate notice and an opportunity for a hearing is conducted within the affected State. For discretionary grants: There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable. For discretionary grants: Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory Formula: Title N/A, Chapter N/A, Part N/A, Subpart N/A, Public Law 105-285. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

For CSBG Block Grants: Entitlement amounts are for a specific fiscal year and the grant funds allotted to the State will be awarded in accordance with apportionment of funds from the Office of Management and Budget. Amounts unobligated by the State at the end of a fiscal year remain available for obligation during the succeeding fiscal year. CSBG CARES funds issued awarded in FY 2020 are available for expenditure through FY 2022. CSBG CARES funds issued in FY 2021 are available for expenditure through FY 2023. Method of awarding/releasing assistance: other

Reports:

All CSBG Block grant recipients shall participate in a performance measurement system in accordance with Section 678E of the CSBG statute, which includes state-established performance standards and participation in a Result Oriented Management and Accountability-(ROMA) process or a comparable process approved by HHS. Recipients shall also prepare and submit an annual report on the

measured performance of the State and the eligible entities within the State. The annual report submitted to the Office of Community Services contains accomplishments and results from the implementation of the plan submitted at the beginning of the fiscal year. Expenditure and program reports may be requested by the Office of Community Services as part of a monitoring review of eligible entities. For those States with an approved waiver for land purchase, construction or major renovation, the following reporting requirements apply. Real Property - 1). If requesting funds for major renovation, construction or purchase of facilities, recipients are required to submit an SF-429 Real Property Status Report Cover Page with Attachment B in the GrantSolutions OLDC system. 2). For facilities that have federal interest, the recipient will be required to submit a SF-429 Real Property Status Report Cover Page with Attachment A on an annual basis. When property with federal interest is no longer needed, the SF-429 Attachment C must be submitted in GrantSolutions OLDC. 3). Please see the ACF Property, Real Property Reporting instructions at https://www.acf.hhs.gov/real-property#book_content_4 and the ACF Property, Disposition instructions for Real Property at https://www.acf.hhs.gov/real-property#book_content_5. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment acquired under this program is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. For CSBG Block and CSBG Discretionary grants, expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1 and <https://www.acf.hhs.gov/grants/mandatory-formula-block-and-entitlement-grants>. For discretionary grants, Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

States are required to keep records sufficient to permit preparation of the required reports and to permit tracing of funds to a level of expenditure adequate to ensure that funds have not been spent unlawfully. For discretionary grants, all records are to be maintained in accordance with 45 CFR 75.361-365. For those States with an approved waiver for land purchase, construction or major renovation, records must be retained for 3 years after final disposition (i.e., an approved SF-429C).

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$728,444,254.00; FY 21 est \$733,369,255.00; FY 22 est \$742,108,410.00; Training FY 20 \$6,221,092.00; FY 21 est \$6,755,405.00; FY 22 est \$6,755,405.00; Project Grants (Discretionary) FY 20 \$1,250,000.00; FY 21 est \$750,000.00; FY 22 est \$750,000.00; Formula Grants FY 20 \$941,469,929.00; FY 21 est \$43,530,070.00; FY 22 est \$0.00; Project Grants (Discretionary) FY 20 \$5,041,888.00; FY 21 est \$9,958,112.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

In 2020 the CSBG awards ranged from \$2,809 to \$155,404,859; for an average of \$6,453,324. In 2021, it is estimated that the CSBG awards will range from \$1,205 to \$66,181,262; with an average award of \$5,048,052. The CARES Act funds awards range from \$1,623 to \$89,165,627; average \$7,755,906. Due to statutory limitations on states receiving minimum allotments, a portion of the CSBG CARES awards were awarded in FY 2021.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 134 grants were awarded in FY 2020. CARES Act funds are considered a supplement to the FY2020 CSBG awards and for the purposes of this disclosure, are not considered a separate award. CSBG CARES Act funds were provided to each grantee that applied for and received FY2020 CSBG funds. Fiscal Year 2021: It is anticipated that 133 awards for CSBG will be issued in FY 2021. Fiscal Year 2022: It is anticipated that 153 awards for CSBG will be issued in FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16, 45 CFR 75 (as applicable), 45 CFR 96, Subparts D and I, 45 CFR Part 97

Regional or Local Office:

None.

Headquarters Office:

Charisse Johnson,
330 C Street, SW, 5th Floor, Suite 5412 Washington, DC 20201,
Washington DC 20201
Email: charisse.johnson@acf.hhs.gov
Phone: 202-401-4666
Fax:

Website Address:

<http://acf.hhs.gov/ocs/programs/community-services-block-grant-csbg>

RELATED PROGRAMS:

93.568 Low-Income Home Energy Assistance; 93.600 Head Start; 93.045 Special Programs for the Aging Title III, Part C Nutrition Services;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For CSBG Block Grants: Not applicable. For discretionary grants: The criteria for selecting proposals will be published in the NOFO.

93.570 Community Services Block Grant Discretionary Awards

Community Economic Development & Rural Community Programs,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 680(a) and 680(a)(3)(B) of the Community Services Block Grant (CSBG) Act of 1981, as amended by the Community Opportunities, Accountability and Training & Education Services Act of 1998 (Public Law 105-285) 42 USC 9921(a) and 42 USC 9921(a)(3)(B).

OBJECTIVES:

To support program activities to alleviate the causes of poverty in distressed communities which: (1) assist businesses in creating jobs for low-income individuals, i.e., develop employment and business development opportunities for low-income individuals; (2) create a better standard of living for rural low-income individuals in terms of water and waste water treatment. Currently, the Office of Community Services is funding the Community Economic Development Program (CED) (including a pilot initiative with emphasis on Social Enterprises) and the Rural Community Development Program for Water and Wastewater Treatment Systems (RCD). The CED program provides grants to Community Development Corporations (CDCs) for projects designed to address the economic needs of individuals and families with low income through the creation of employment and business opportunities. The CED program seeks to fund projects that address the personal and community barriers that must be overcome to help individuals with low incomes become self-sufficient. The RCD program provides grants to multi-state, regional, private, and non-profit organizations for projects designed to

provide training and technical assistance to low-income rural communities in developing and managing affordable, safe water and wastewater treatment facilities.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Federal funds awarded under the Secretary's Discretionary Authority may be used for activities that: create jobs, improve the quality of the economic and social environment of low-income residents by providing resources to eligible applicants, by arresting tendencies toward dependency, chronic unemployment and community deterioration in urban and rural areas; and by addressing needs of safe water and wastewater treatment in rural communities. CED grants are awarded to cover project costs for (1) startup or expansion of business, physical improvements, or commercial activities. (2) capital expenditures such as the purchase of equipment or real property; (3) allowable operating expenses; and (4) loans or equity investments. Expenditures must result in the creation of employment or business opportunities for low-income individuals. Generally, the project must be completed within 36 months for non-construction projects and 60 months for construction projects. Projects must document public/private partnership mobilizing cash and/or in-kind contributions; and be located in areas characterized by poverty and other indicators of socioeconomic distress, such as high levels of unemployment. Grant funds may cover project costs for business start-up or expansion and the development of new products and services. RCD, also referred as Rural Community Facilities (RCF) grants, are awarded to provide training and technical assistance to small, rural communities concerning their water and waste water treatment needs. RCD grants focus on the development and upgrading of safe water and wastewater systems in low-income rural areas. Types of services provided include training of persons responsible for community water and wastewater facilities; assisting communities with problem-solving and planning for facilities; producing and disseminating publications, newsletters, videos, and training manuals about rural safe water and wastewater systems; and assisting rural communities in leveraging Federal, State, local, and private sector financing for facilities. All funds are discretionary.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); For Community Economic Development, eligibility is restricted to private, locally initiated, nonprofit community development corporations governed by a board consisting of residents of the community and business and civic leaders. This includes faith-based community development corporations. For Rural Community Development Activity projects, eligibility is restricted to multi-state, regional, private, non-profit organizations, including those that support tribal entities, to enable the organizations to provide training and technical assistance to small, rural communities concerning meeting their community facility needs that can provide training and technical assistance to small, rural communities interested in safe water and waste water treatment needs. For both programs: Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards.

Beneficiary Eligibility:

Individual/Family; Unemployed; Low Income; A project must be targeted to address the needs of a specific segment of low-income individuals or families. The official poverty line established by the Director of the Office of Management and Budget, published annually by the Department of Health and Human Services, is used as a criterion of eligibility in the Community Economic Development Discretionary Grant program.

Credentials/Documentation:

Costs will be determined in accordance with the provisions of Title 45 CFR 75 Subpart E. Applicant must be a private, nonprofit CDC with 501(c)(3) status; Applicant must have articles of incorporation or bylaws demonstrating that the CDC has a principal purpose the planning, developing, or managing of low-income housing or community economic development activities; and the Board of Director must have representation from each of the following: community residents, business leaders, and civic leaders. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Applications to the Office of Community Services for grants under the announcement should be submitted through the grants.gov website. Applicants are urged to inform and coordinate application submissions with State and local governments where such information and coordination is appropriate or necessary for the success of the program. Applications must be submitted in accordance with the requirements and format detailed in the NOFO. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://grants.gov).

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Application may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested. The Director, Office of Community Services, Administration for Children and Families (ACF), will make the final decision on all grant awards.

Deadlines:

Deadlines for Community Economic Development awards are posted in the Notices of Funding Opportunities (NOFOs), found on www.Grants.gov.

Range of Approval/Disapproval Time:

Other. Generally, solicited grants will be acted upon within 60 to 120 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regard to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. For the Social Enterprise Pilot Initiative, and RCD projects, non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government. CED projects are fully funded at the time of award and do not have non-competing continuations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, Community Economic Development financial assistance awards are made available for periods of 36 to 60 months. Rural Community Development financial assistance awards are awarded for 12-month budget periods with a five-year project period. For the Social Enterprise Pilot Initiative, and RCD projects, non-competing continuations will be issued based on availability of funds,

satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government. CED projects are fully funded at the time of award and do not have non-competing continuations. Method of awarding/releasing assistance: other

Reports:

Real Property - 1). If requesting funds for major renovation, construction or purchase of facilities, recipients are required to submit an SF-429 Real Property Status ReportCover Page with Attachment B in the GrantSolutions OLDC system. 2). For facilities that have federal interest, the recipient will be required to submit a SF-429 Real Property Status ReportCover Page with Attachment A on an annual basis. When property with federal interest is no longer needed, the SF-429 Attachment C must be submitted in GrantSolutions OLDC. 3). Please see the ACF Property, Property Reporting instruction at <https://www.acf.hhs.gov/grants/real-property-and-tangible-personal-property#chapter-5> and the ACF Property, Disposition instructions for Real Property at <https://www.acf.hhs.gov/grants/real-property-and-tangible-personal-property#chapter-6>. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal PropertyCover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Semi-annual performance progress reports (PPR) and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required by OCS. CED Recipients will be required to use the CED program-specific PPR to submit performance progress reports, on a semi-annual basis. Information on PPR reporting requirements is available on the OCS website at <https://www.acf.hhs.gov/ocs/resource/ced-ppr-forms-and-instructions>. A newly revised PPR is available at GrantSolutions, and provides comprehensive management of Performance Progress Report (PPR) for Grantors and Grant Recipients. Federal Program staff schedule report cycles and determine due dates. Grant Recipients view, manage, and electronically submit PPRs in GrantSolutions. PPRs are submitted on a quarterly, semi-annual, or annual basis, as directed by the Federal agency. When required, a final PPR is submitted at the completion of the award agreement. Grantees are expected to contact their OGM Program Specialist, along with their assigned OCS Program Officer to received supplementary instructions in reporting through the On-line Data Reporting System.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 . 45 CFR Part 75 Subpart F applies to this program. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

Grant recipients are required to keep all financial, business and program reports necessary for program review and audit to ensure that funds have been expended in accordance with the regulations, grant terms and conditions of this program. Audits and supporting records must be kept on file in accordance with 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$16,899,256.00; FY 21 est \$16,643,160.00; FY 22 est \$17,500,000.00; Project Grants (Discretionary) FY 20 \$9,184,472.00;

ASSISTANCE LISTINGS DESCRIPTIONS

FY 21 est \$9,478,800.00; FY 22 est \$10,078,800.00;

Range and Average of Financial Assistance:

The range of assistance under the CED program is \$100,000 - Up to \$800,000. The average award is \$750,000. The range of assistance under the RCD program is the same as for Community Economic Development and up to \$1,181,975.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The CED program awarded 26 grants and RCF awarded eight grants. Fiscal Year 2021: It is estimated that the CED program will award 25 grants and RCF will award eight grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, Guidelines, and Literature can be found:

<https://www.acf.hhs.gov/ocs/law-regulation/ced-rfd-laws-and-regulations>.

Regional or Local Office:

None.

Headquarters Office:

Program Specialist Gerald Shanklin,
330 C Street, SW,
Washington DC 20201

Email: Gerald.Shanklin@acf.hhs.gov

Phone: 855-792-6551

Website Address:

<https://www.acf.hhs.gov/ocs>

RELATED PROGRAMS:

21.020 Community Development Financial Institutions Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Current funded CED project can be found at:

<https://www.acf.hhs.gov/ocs/map/ced-map-current-grantee-locations-fy-2020.RCD>

funded projects can be found at: <https://www.acf.hhs.gov/ocs/grant-funding/rfd-fy2020-grant-awards>.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO.

93.575 Child Care and Development Block Grant

Child Care and Development Fund (CCDF),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Child Care and Development Block Grant Act, as amended, 42 U.S. Code 9857, Title , Part , , Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act, , Public Law 116-260, Coronavirus Aid, Relief, and Economic Security (CARES) Act, , Public Law 116-136, American Rescue Plan (ARP) Act of 2021, , Public Law 117-2

OBJECTIVES:

The Child Care and Development Fund (CCDF) is the primary federal funding source to help certain low-income families access child care and to improve the quality of child care for all children. As a block grant, CCDF gives funding to States, Territories, and Tribes to provide child care subsidies primarily through vouchers or certificates to low-income families. In addition, CCDF funds are used to improve the quality of child care for both subsidized and unsubsidized children. CCDF consists of both Discretionary funding authorized by the Child Care and Development Block Grant (CCDBG) Act and Mandatory and Matching funding under the Social Security Act. The CCDBG was created by the Omnibus Budget Reconciliation Act of 1990 (P.L. 101-508) and is subject to annual appropriations. The Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996 (P.L. 104-193) consolidated funding for child care under the Social Security Act, where CCDF mandatory and matching funding is authorized, and made such funding generally subject to the requirements of the CCDBG Act.

The Child Care and Development Block Grant Act of 2014 (P.L. 113-186) reauthorized the discretionary portion of CCDF through FY 2020 and made significant changes to improve the health, safety, and quality of child care and provide more continuous child care assistance to low-income children and families. In addition to the block grants to States, Territories and Tribes, a small portion of the CCDF is used by the Office of Child Care to provide technical assistance to grantees on administering the program. A small portion of CCDF is also used for child care research, demonstration and evaluation activities. The Discretionary portion of the CCDF funds provides about \$5.9 billion in federal funding per year for child care. Additional funding is provided by state matching and maintenance of effort funds, as well as funds transferred from the Temporary Assistance for Needy Families (TANF) program. Further, the Coronavirus Aid, Relief, and Economic Security Act (CARES Act) (P. L. 116-136) was enacted March 27, 2020 and appropriated an additional \$3.5 billion in supplemental CCDF Discretionary funds to prevent, prepare for, and respond to the Coronavirus Disease 2019 (COVID-19). The Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act (P. L. 116-260) was enacted December 27, 2020 and appropriated \$10 billion in supplemental CCDF Discretionary funds to prevent, prepare for, and respond to coronavirus. The American Rescue Plan (ARP) Act of 2021 (P. L. 117-2) was enacted March 11, 2021 and appropriated \$38,965,000,000 through two funding streams in supplemental CCDF Discretionary funds and include: \$14,990,000,000 (Section 2201) for CCDF Supplemental Discretionary Funds, available until September 30, 2024; and \$23,975,000,000 (Section 2202) for child care stabilization grants available until September 30, 2023. Requirements for competitive grants including research grants vary and can be found in the Notice of Funding Opportunities (NOFOs). There was an increase in mandatory and matching funding for CCDF, which is a permanent annual appropriation and is detailed in CFDA 93.596.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

In the FY 2020 Further Consolidated Appropriations Act (P.L. 116-94), Congress directs that funds appropriated for the Child Care and Development Block Grant (CCDBG or Discretionary Funds) must be used to supplement, not supplant, State general revenue funds for child care assistance for low-income families. Lead Agencies must use Discretionary Funds for child care services on a sliding fee scale basis and for quality improvement activities. In addition to the block grants awarded to States, Territories and Tribes, a portion of the Discretionary Funds is designated for the U.S. Department of Health & Human Services to carry-out research, demonstration, and evaluation projects, and provide technical assistance through the use of contracts, grants, cooperative agreements, or interagency agreements. Not more than 5% of the aggregate amount of CCDF Funds expended by the State (15% for Tribes or tribal organizations) may be expended for administrative costs incurred by the State to carry-out all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Eligibility: All 50 States, the District of Columbia, the Virgin Islands, Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and Federally recognized Tribal Governments and consortia. Eligibility for TA and Research projects can be found in the Notices of Funding Opportunities (NOFOs) or other solicitations.

Beneficiary Eligibility:

Individual/Family; Low Income; Children under age 13 (or, at the option of the Lead Agency, up to age 19, if physically or mentally incapable of self-care or under court supervision), who (1) reside with a family whose income does not exceed 85% of the State median income for a family of the same size, and (2) who reside with a parent (or parents) who is working or attending job training or educational program, or who are in need of, or are receiving, protective services are eligible. A Lead Agency shall re-determine a child's eligibility for child care services no sooner than 12 months following the initial determination or most recent re-determination. Once determined eligible, children are expected to receive a minimum of 12 months of child care services, unless family income rises above 85% State median income or, at Lead Agency option, the family experiences a non-temporary cessation of work, education, or training.

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

Lead Agencies must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the recipient will comply with the requirements of the CCDBG Act and all applicable Federal law(s). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Each recipient must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Discretionary Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. See most recent guidance regarding submission of state plans on OCCs website at <https://www.acf.hhs.gov/occ>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A State, Territory, or Tribal Lead Agency desiring to receive a block grant allotment for a fiscal year is required to submit a three-year CCDF plan to the Administration for Children and Families, as well as, financial and other information necessary for the grants process. Each CCDF plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the CCDBG Act. The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the CCDBG; an outline of the intended use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99. TA and research projects may be funded through grants, cooperative agreements, or contracts. For projects funded through discretionary grants, applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>.

Award Procedure:

Block grants to States, Territories and Tribes are awarded after the receipt and approval of the CCDF plan by the Administration for Children and Families. TA and research projects may be funded through grants, cooperative agreements, or contracts. For projects funded through discretionary grants, each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than

requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The Administration for Children and Families will review the CCDF plans for approval and will act on the plans within 90 days.

Appeals:

Other. Guidelines for appealing the disapproval of CCDF plans are specified in regulations (45 CFR Part 99). For discretionary grants, there is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. CCDF plans for block grant funding must be submitted every three years by States, Territories, and Tribes. TA and research projects may be funded through grants, cooperative agreements, or contracts. For projects funded through discretionary grants, non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory Formula: Title 42, Chapter n/a, Part n/a, Subpart 9858m, Public Law n/a. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. Recipients must obligate all Discretionary Funds in the fiscal year in which they are granted or in the succeeding fiscal year. Those funds must be liquidated no later than the end of the third fiscal year. Requirements for competitive grants including research grants vary and can be found in the NOFO. Method of awarding/releasing assistance: quarterly

Reports:

As specified in 45 CFR Part 98, States receiving block grant funds must report to ACF annually aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. Tribes must also provide annual aggregate data on children and families served through CCDF. Program reporting requirements for TA and research projects are specified in the NOFO or other solicitation. Please see Planned Use of CCDF CRRSA Act Funds Report Program Instruction (CCDF-ACF-PI-2021-01) at <https://www.acf.hhs.gov/occ/policy-guidance/planned-use-child-care-and-development-fund-ccdf-coronavirus-response-and>. States and Territories must submit an annual Quality Progress Report. For Tribal Lead agencies that have an approved request for construction or major renovation the following reporting requirements apply: Real Property - 1). If requesting funds for major renovation, construction or purchase of facilities, recipients will be required to submit an SF-429 Real Property Status Report Cover Page with Attachment B in the GrantSolutions OLDC system. 2). For facilities that have federal interest, the recipient will be required to submit a SF-429 Real Property Status Report Cover Page with Attachment A on an annual basis. When property with federal interest is no longer needed, the SF-429 Attachment C must be submitted in the GrantSolutions OLDC system. 3). Please see the ACF Property, Real Property Reporting instructions at https://www.acf.hhs.gov/real-property#book_content_4 and the ACF Property, Disposition instructions for Real Property at https://www.acf.hhs.gov/real-property#book_content_5. States receiving block grant funds must also submit quarterly expenditure reports. Territories are required to report estimates and expenditures for the Discretionary Fund only on a quarterly basis. States, DC, and Puerto Rico are required to measure, calculate, and report improper payments as well as identify strategies for reducing future improper payments. One-third of the 50 States, DC, and Puerto Rico must report annually on a three-year cycle. Tribes report expenditures for the Tribal Mandatory, Discretionary, and Construction/Renovation funds. For discretionary grants, expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see:

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https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance monitoring for block grant funding is in accordance with 45 CFR 98.90. For discretionary grants, performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the funding opportunity announcement and in the award terms and conditions. For more information, see:

https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

State, Territory, and Tribal Lead Agencies and subrecipients must retain all CCDF records for three years from the day the Lead Agency or subrecipient submits the Financial Reports as required by the regulations at 45 CFR 98.90. For TA and research projects awarded through discretionary grants, all financial records are to be maintained in accordance with 45 CFR 75.361-365. The Tribal Lead Agency must retain all records pertinent to the construction or renovation of a facility for a period equal to the period of the recipient's use of the facility plus 3 years (after final disposition), or for 3 years after the Tribe makes final payment and all pending matters (including any ongoing audits, claims, or litigation) are completed or closed, whichever is later.

Account Identification:

75-1515-0-1-609.

Obligations:

Formula Grants FY 20 \$1,471,272,704.00; FY 21 est \$1,555,422,704.00; FY 22 est (\$1,288,654,592.00); Project Grants FY 20 \$59,760,000.00; FY 21 est \$60,610,000.00; FY 22 est \$75,720,000.00; Formula Grants FY 20 (\$794,967,296.00); FY 21 est \$0.00; and FY 22 est \$0.00; Formula Grants FY 20 \$0.00; FY 21 est \$1,395,065,408.00; and FY 22 est \$0.00; Formula Grants FY 20 \$0.00; FY 21 est \$310,294,336.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

For the States, including DC and Puerto Rico, the range of grants in FY 2020 is: \$6,666,231 to \$594,693,775; the average grant is \$103,886,827. For the 265 Tribal grantees, the range of grants in FY 2020 is: \$51,399 to \$44,214,149; the average grant is \$1,256,585. For the four Territories, the range of grants in FY 2020 is \$5,415,595 to \$10,687,582; the average grant is \$7,282,500. These figures do not include supplemental CCDF funds appropriated by the CARES Act. These figures are not inclusive of funds received through CFDA 93.596.

TAFS Codes:

75-1515.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Discretionary grants were awarded to 50 States, District of Columbia, five Territories, 265 Tribal grantees, and other grantees (TA and research). CARES Act supplemental grants were awarded to 50 States, District of Columbia, five Territories, and 265 Tribal grantees. Fiscal Year 2021: Discretionary grants were awarded to 50 States, District of Columbia, five Territories, 265 Tribal grantees, and other grantees (TA and research). CRRSA Act supplemental grants were awarded to 50 States, District of Columbia, five Territories, and 265 Tribal grantees and other grantees (TA and research). ARP Act Discretionary supplemental grants and ARP Act Stabilization grants were awarded to 50 States, District of Columbia, five Territories, and 265 Tribal grantees. Fiscal Year 2022: Discretionary grants will be awarded to 50 States, District of Columbia, five Territories, 265 Tribal grantees, and other grantees (TA and research).

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99. Additional policy guidance can be found at:

<https://www.acf.hhs.gov/occ/resource-library>.

Regional or Local Office:

None. For a list of Office of Child Care Regional Program

Managers: <https://www.acf.hhs.gov/occ/resource/regional-child-care-program>

managers.

Headquarters Office:

Andrew Williams,
Office of Child Care, Administration for Children and Families, Department of Health and Human Services,
Washington DC 20201

Email: Andrew.Williams@acf.hhs.gov

Phone: 202-401-4795

Website Address:

<https://www.acf.hhs.gov/occ>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

TA and research projects may be funded through grants, cooperative agreements, or contracts. The criteria for selecting proposals will be published in the NOFO(s) or other solicitations.

93.576 Refugee and Entrant Assistance Discretionary Grants

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Refugee Education Assistance Act of 1980, Title , , Statue 94-1809, Public Law 96-422, Refugee Act of 1980, Title VIII, Section 412, Statue 94-111, Public Law 96-212, Chapter 12, 8 USC Code 1522.

OBJECTIVES:

The Office of Refugee Resettlement (ORR) within the Administration for Children and Families (ACF) is funding eight programs under this listing: Refugee Individual Development Accounts (IDA), Refugee Agricultural Partnership Program (RAPP), Refugee Family Child Care Microenterprise Development Program (RFCCMED), Refugee Career Pathways (RCP) Program, Refugee Microenterprise Development (MED) Program, Preferred Communities (PC) Program, Ethnic Community Self Help (ECSH) Program, and the Refugee Technical Assistance Program (RTAP). The objectives of these discretionary grant programs include: (1) building capacity for the placement of refugees in locations with good opportunities and providing specialized case management for vulnerable cases; (2) assisting low-income refugees with matching funds for individual development accounts and with financial literacy classes; (3) providing micro-credit to refugees interested in starting new businesses but unable to access commercial sources of capital; (4) providing agricultural training and opportunities to improve the local food systems for refugee farmers; (5) promoting integration; (6) assisting refugees to achieve career advancement; (7) assisting refugees to open family based child care businesses; and (8) providing technical assistance to the refugee service providers; (9) providing employer based educational and language acquisition opportunities. Through the Refugee IDA Program recipients manage IDAs for low-income refugee participants. Eligible refugee participants who enroll in these projects will open and contribute systematically to IDAs for specified Asset Goals, including home ownership, business capitalization, vehicles for educational or work purposes, professional certification, and education (limited to postsecondary education, college entrance exam fees, and preparation and test fees for the Test of English as a Foreign Language (TOEFL) and General Education Development (GED) exam). Recipients coordinate their policies and procedures for developing and administering refugee IDA projects with ORR and with the existing refugee IDA network. The RAPP recipients develop strategies that incorporate agriculture and food systems to improve the livelihoods and economic self-sufficiency of refugee families, with particular emphasis on newly arrived refugees. RAPP requirements are to provide: 1) access to land; 2) farming

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production; 3) training and technical assistance (TA); and 4) coordination with the refugee resettlement community. The RFCCMED Program enables recipients to help refugees to achieve self-sufficiency by establishing small family child care businesses. The program provides refugee participants with training and TA in professional child care, microenterprise development, and financial literacy; assists refugee participants in navigating the child care licensing process; and provides direct financial assistance as needed to enable participants to prepare their homes for child care business operation. Through the RCP Program, ORR provides funding to enable refugees to obtain self-sufficiency by obtaining the means to secure professional or skilled employment drawing upon previously-acquired knowledge, skills, and experience. The overall goal of the Refugee MED Program is to assist refugees to become economically self-sufficient by 1) assisting refugees to establish microenterprise businesses through the provision of MED loans, Training and TA, and 2) assisting refugees in building credit history and/or repairing their credit score. The goal of the ECSH Program is to support Ethnic Community-Based Organizations (ECBOs) in providing refugee populations with critical services to assist them in becoming integrated members of American society. An ECBO as a non-profit organization whose board of directors is comprised of at least 60 percent current and/or former refugees. Under the ECSH Program, the following three main objectives must be implemented: 1) to strengthen ECBOs provision of culturally and linguistically appropriate services to refugees within five years after their initial resettlement; 2) to support ECBOs' organizational development and engagement in capacity building by encouraging their collaboration with established refugee service providers and mainstream organizations; and 3) to support ECBOs in promoting community building and civic participation by refugee individuals and refugee community members. The PC Program supports the resettlement of especially vulnerable refugee groups at resettlement sites that PC service providers designate as Preferred Communities. The term refers to locations that offer excellent opportunities for the integration and resettlement of the most vulnerable newly and recently arrived refugees. The twin goals of the PC Program are: the successful resettlement and integration of especially vulnerable refugees (and other ORR client populations); and the enhancement of PC service providers capacity to serve such populations at new or established PC locations. The RTAP creates a national one-stop source or hub for refugee TA and training. This national hub provides coordinated, innovative TA and training to ORR-funded state refugee programs and ORR funded refugee service providers, filling gaps where no other such TA and training exists. The goal of the Employer Engagement Program (EEP) will be to develop relationships with employers to provide ESL and on-the-job learning opportunities for refugees. Funding may be used to support contracts for language instruction, career counseling, employer engagement/education, and other instructional material; and to offset costs of such programming for the employer.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Income Security/Social Service/Welfare Funds are used for the administration of programs and to provide services as outlined in each Notice of Funding Opportunity (NOFO). Funds may be used only for purposes set forth in the NOFO and in the notice of grant award. Services provided must not supplant services that may be available through existing federal, state, or local programs. Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred to raise capital or obtain contributions are unallowable. Fund raising costs for the purposes of meeting the Federal program objectives are allowable with prior written approval from the Federal awarding agency. (45 CFR 75.442) Proposal costs are the costs of preparing bids, proposals, or applications on potential Federal and non-Federal awards or projects, including the development of data necessary to support the non-Federal entity's bids or proposals. Proposal costs of the current accounting period of both successful and unsuccessful bids and proposals normally should be treated as indirect (F&A) costs and allocated currently to all activities of the non-Federal entity. No proposal costs of past accounting periods will be allocable to the current period. (45 CFR 75.460) Grant awards will not allow reimbursement of pre-award costs. Construction is not an allowable activity or expenditure under this grant award. Purchase of real property is not an allowable activity or expenditure under this grant award. Federal funds awarded under this NOFO may not be used to acquire (i.e., purchase), construct, and/or make major renovations to real property, including land improvements. Any costs associated with these types of activities or

expenditures, such as interest payments, financing payments, and capital payments, are unallowable, and therefore any 45 CFR Part 75 uniform administrative requirement reference allowing such costs would not apply to grant awards made under this listing. The funds under this listing are for discretionary programs.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments; Public nonprofit institution/organization (includes institutions of higher education and hospitals); For Refugee IDA, RAPP, RTAP, RFCCMED, RCP, MED, PC, EEP: Public and private nonprofit agencies may apply for these grants. For Ethnic Community Programs: Public and private nonprofit agencies must have current and/or former refugees who comprise at least 60 percent of the organization's board of directors. Organizations that do not meet this requirements will be disqualified. For all programs: Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible.

Beneficiary Eligibility:

Refugee/Alien; Refugees, certain Amerasians, Cuban and Haitian entrants, asylees, certified victims of a severe form of trafficking, and Special Immigrants from Iraq and Afghanistan are the beneficiaries. Detailed information on eligibility of ORR-funded projects is available at 45 CFR 400.43 and ORR Policy Letter 16-01, which can be found on the ORR website at:

www.acf.hhs.gov/programs/orr/resource/policy-letter-16-01.

Credentials/Documentation:

Proof of non-profit status is required for recipients. Assistance is authorized for refugees, certain Amerasians from Vietnam, asylees, Cuban and Haitian entrants, asylees, victims of a severe form of human trafficking, and Iraqi and Afghan Special Immigrants with documentation on their immigration status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window)(opens in new window). Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov> Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

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Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds made available under this program are for project periods ranging from 1 to 5 years. Funds are awarded annually during the project. Method of awarding/releasing assistance: other

Reports:

Program Performance Reports are required, usually on a quarterly or semi-annual basis. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. Final program reports summarizing the activities and accomplishments of the project in relation to the original approved goals and objectives are required 90 days after the end of the project period. For more information, see: For more information, see:

https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1 .

Federal Financial Reports (SF-425) are required, usually on a quarterly or semi-annual basis. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. Final Federal Financial Reports are required 90 days after the end of the project period. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance monitoring activities include on-site and desk monitoring of projects. On site, the ORR team interviews agency staff and clients and reviews case files and financial documents.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

Grant recipients are required to keep all financial, business, and program records necessary for program review and audit in accordance with 45 CFR Part 75.361-365.

Account Identification:

75-1503-0-1-609.

Obligations:

Project Grants (Discretionary) FY 20 \$16,607,101.00; FY 21 est \$16,607,101.00; FY 22 est \$47,890,885.00; Project Grants (Discretionary) FY 20 \$8,000,000.00; FY 21 est \$0.00; and FY 22 est \$0.00; Project Grants (Discretionary) FY 20 \$1,699,813.00; FY 21 est \$1,699,820.00; and FY 22 est \$3,175,619.00; Project Grants (Discretionary) FY 20 \$3,807,024.00; FY 21 est \$3,890,004.00; and FY 22 est \$6,351,237.00; Project Grants (Discretionary) FY 20 \$4,478,705.00; FY 21 est \$4,478,778.00; and FY 22 est \$7,621,484.00; Project Grants (Discretionary) FY 20 \$1,470,983.00; FY 21 est \$1,597,371.00; and FY 22 est \$2,667,520.00; Project Grants (Discretionary) FY 20 \$4,121,896.00; FY 21 est \$4,121,896.00; and FY 22 est \$7,113,385.00; Project Grants (Discretionary) FY 20 \$4,280,806.00; FY 21 est \$4,280,806.00; and FY 22 est \$6,666,678.00; Project Grants (Discretionary) FY 20 \$1,194,063.00; FY 21 est \$1,194,063.00; and FY 22 est \$2,540,495.00; Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

In FY 20 Preferred Community grants ranged from a minimum of \$1,289,431 to a maximum of \$3,144,736; for FY 21 & FY 22 the estimated minimum grants will be \$1,500,000 and the maximum \$4,500,000. Refugee Agricultural Partnership grants range from a minimum of \$99,865 to maximum of \$100,000. Ethnic Self-Help grants range from a minimum of \$120,061 to maximum of \$200,000.

Microenterprise grants range from a minimum of \$146,300 to maximum of \$250,000. Microenterprise Childcare grants range from a minimum of \$158,705 to maximum of \$187,500. Career Pathways grants range from a minimum of \$181,085 to maximum of \$250,000. Individual Development Accounts grants range from a minimum of \$150,000 to maximum of \$250,000. RTAP is issued to one recipient, and therefore a range and average award is not available. Employer Engagement will be a new program in FY 2022.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: There were 113 discretionary a grants awarded in FY 2020.

Fiscal Year 2021: It is projected that there will be 113 discretionary grants awarded in FY 2021. Fiscal Year 2022: It is projected that there will be 140 discretionary grants awarded in FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOFOs are posted on the ACF website and can also be accessed through Grants.gov. Inquiries may be directed to the Information Contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Anastasia Brown, Director, Division of Refugee Services (IDA, RAPP,

RFCCMED, RCP, MED, ECSH),

Mary E. Switzer Building 330 C St SW, MS 5123,

Washington DC 20201

Email: anastasia.brown@acf.hhs.gov

Phone: (202) 401-4559

Fax: Director of Refugee Assistance Carl Rubenstein, Director, Division of

Refugee Assistance (RTAP),

Mary E. Switzer Building 330 C Street SW, MS 5123,

Washington DC 20201

Email: carl.rubenstein@acf.hhs.gov

Phone: 2022055933

Fax:

Website Address:

<https://www.acf.hhs.gov/orr/programs>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567

Refugee and Entrant Assistance_Voluntary Agency Programs; 93.583 Refugee and

Entrant Assistance_Wilson/Fish Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO(s).

93.579 U.S. Repatriation

Repatriation Program,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND

HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title XI, Part A, Section 1113, 42 USC Code 1313. 24 USC

Code 321-329. Executive Order E.O. 12656 - Assignment of emergency

preparedness responsibilities, 53 FR 47491, Title , Section

OBJECTIVES:

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The U.S. Repatriation Program was established to provide temporary assistance to private U.S. citizens and their dependents identified by the Department of State (DOS) as having returned from a foreign country to the U.S. because of (1) destitution, illness, war, threat of war, or a similar crisis, and are without available resources, or (2) mental illness. The U.S. Repatriation Program also provides support to states and territories for emergency preparedness for repatriation activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Repatriation Program provides temporary assistance for up to 90 days to eligible repatriates. Temporary assistance is defined by legislation as money payments, medical care, temporary billeting (e.g. public shelter), transportation, and other goods and services necessary for the health or welfare of individuals (including guidance, counseling, and other welfare services). This temporary assistance is provided in the form of a loan and must be repaid to the U.S. Government. States and territories may use funds for emergency planning and preparedness for repatriation.

Applicant Eligibility:

Government - General; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible. Under 42 U.S.C. 1313 (a)(3), the Secretary "may provide assistance directly or through utilization of the services and facilities of appropriate public or private agencies and organizations." Additionally, per Public Law 86-571 (24 U.S.C. 322), the Secretary is "authorized to enter into suitable arrangements with appropriate State or other public or nonprofit agencies."

Beneficiary Eligibility:

Individual/Family; State; U.S. Territories; Citizens of the United States and their dependents are the beneficiaries, if they are identified by the Department of State as having returned from a foreign country to the United States because of 1. destitution, illness, war, threat of war, invasion, or similar crisis, and are without available resources or 2. mental illness. ACF Repatriation Program authorized staff determine if citizens and their dependents meet eligibility requirements. States and territories may also benefit from using funds for emergency planning activities for repatriation.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find funding opportunity announcements (FOAs) on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in FOA. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three

reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants for providing temporary assistance are awarded for a five-year project period with annual budget periods. Method of awarding/releasing assistance: other

Reports:

Weekly, monthly, and annual reports on costs associated with the provision of temporary assistance as well as type of assistance provided, country of origin, and final destination. These reports do not apply to emergency planning activities. Progress reports are required quarterly and ad-hoc. The progress reports include updates on the individual, case management, the status of payments and collections, among other necessary information. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the funding opportunity announcement and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the funding opportunity announcement and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All financial records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1501-0-1-609.

Obligations:

Cooperative Agreements FY 20 \$994,000.00; FY 21 est \$1,793,000.00; FY 22 est \$1,793,000.00;

Range and Average of Financial Assistance:

The range of assistance is \$938,000 to \$1,793,000. The average assistance is \$1,508,000.

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TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: One Cooperative Agreement of \$1,793,000 is expected to be awarded. Fiscal Year 2022: One Cooperative Agreement of \$1,793,000 is expected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are found under 45 C.F.R. Parts 211- 212

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Social Services CAPT William Bolduc, MSW, LCSW,
Office of Human Services Emergency Preparedness and Response,
Washington DC 20201

Email: william.bolduc@acf.hhs.gov

Phone: 2026906243

Fax:

Website Address:

<https://www.acf.hhs.gov/ohsepr/repatriation>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria are included in the funding opportunity announcement.

93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality

Environmental Regulatory Enhancement (ERE),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND
HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Native American Programs Act of 1974 (NAPA), as amended, Section 803(d),
42 USC Code 2991b(d).

OBJECTIVES:

The purpose of the Environmental Regulatory Enhancement (ERE) program is to provide funding for the costs of planning, developing, and implementing programs designed to improve the capability of tribal governing bodies to regulate environmental quality pursuant to federal and tribal environmental laws. The ERE program supports the principle that projects must follow tribal cultural preservation and natural resource management priorities in order to achieve environmentally healthy, sustainable Native American and Alaska Native communities. The Administration for Native Americans (ANA) is therefore interested in supporting locally designed projects that strengthen tribal environmental regulatory programs in a manner consistent with the goals of native communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for: providing training and education to employees responsible for enforcing or monitoring compliance with environmental quality laws; developing tribal laws, regulations, and ordinances to protect the environment; enforcing and monitoring environmental quality laws, regulations, and ordinances; establishing baseline condition for regulatory purposes; informing the community about regulations and environmental stewardship; building the technical and program capability of the tribe or organization to perform essential environmental program functions to meet tribal and federal regulatory requirements; and establishing demonstration projects to exhibit technologies, which can lead to compliance with environmental regulations. This is a discretionary grant program

subject to 45 CFR Part 75.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Eligible applicants include, federally recognized Indian tribes; consortia of Indian tribes; incorporated non-federally recognized tribes; incorporated state-recognized tribes; Alaska Native villages, as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or non-profit village consortia; non-profit Alaska Native regional corporation/associations in Alaska with village specific projects; other tribal or village organizations or consortia of Indian tribes; and Tribal governing bodies (IRA or traditional councils) as recognized by the Bureau of Indian Affairs. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards.

Beneficiary Eligibility:

Minority group; Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members are representative of a Native American community to be served. Applicants must submit documentation that identifies each board member by name and indicates his/her affiliation or relationship to at least one of ANAs three categories of community representation, which include: (1) members of federally or state-recognized tribes; (2) persons who are recognized by members of the eligible Native American community to be served as having a cultural relationship with that community; or (3) persons considered to be Native American as defined in 45 CFR 1336.10 and Native American Pacific Islanders as defined in Section 815 of the Native American Programs Act. Applicants that do not include this documentation will be considered non-responsive, and the application will not be considered for competition. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pursuant to 45 CFR 1336.35, all applicants must submit documentation demonstrating that the governing body of the organization on whose behalf the application is submitted approves the applications submission to ANA for the current grant competition period. Please see the Notice of Funding Opportunity (NOFO) for more information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find Notice of Funding Opportunity (NOFO) on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Paper applications received from applicants that have not been approved for an exemption from required electronic submission will be disqualified from competitive review. Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format. See NOFO for detailed information for applicants that have received a waiver to submit applications in paper format.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors. Disqualified applications are considered to be "non-responsive" and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and ranking are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g., ensuring coverage of states, counties, or service areas) in its pre-award decisions. ANAs staff will perform an internal review and analysis of the applications ranked highest as a result of the panels

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review and scoring. This internal review is used to determine the applications consistency with the purposes of the Native American Programs Act (NAPA), all relevant statutory and regulatory requirements, and the requirements of the relevant NOFO. ANAs Commissioner has discretion to make all final funding and award decisions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Other. Appeals are only available for unsuccessful applicants upon a finding of ineligibility for funding and are subject to ANA regulations at 45 CFR 1336.35. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The 20% match (which may include cash or property from a Federal agency other than ANA) is required unless waived in accordance with criteria published in 45 CFR 1336.50. This program has MOE requirements, see funding agency for further details. Additional Information: A sample of a standard Maintenance of Effort (MOE) certification is available in the application instructions for this NOFO at www.grants.gov. Applicants self-certify their maintenance of effort..

Length and Time Phasing of Assistance:

Recipients may apply for non-competing continuation support within a project period. Method of awarding/releasing assistance: other

Reports:

ANA recipients are required to report project progress using the Objective Progress Report (OPR) (OMB No. 0970-0452, expiration date 9/30/2023). The Federal Financial Report (FFR), also known as SF-425, is required to be submitted on a semi-annual basis. For more information, see:

https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

ANA reviews recipient semiannual and annual reports to determine whether the recipient is meeting its goal and objectives and completing activities identified in the OWP. If progress concerns are identified, ANA may require quarterly reports. In addition, ANA is required to describe and measure the impact of funded projects, their effectiveness in achieving stated goals, their impact on related programs, and when feasible, to obtain the views of persons participating in and served by funded projects. ANA carries out this requirement through review of recipient-submitted reports and through the use of structured on-site interviews using a data collection tool (OMB No. 0970-0379, expiration date 06/30/2022).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants FY 20 \$2,880,393.00; FY 21 est \$2,985,970.00; FY 22 est \$3,166,737.00;

Range and Average of Financial Assistance:

For FY 20 and FY 21, the range is \$100,000 - \$300,000 with an average of \$182,000 per budget period.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Environmental Regulatory Enhancement program received 12 applications and awarded 5 new grants. In addition, 11 non-competing continuations were issued. Fiscal Year 2021: It is anticipated that 5 New and 10 Non-Competing Continuations will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

All ANA regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Program Operations, Carmelia A. Strickland, Administration for Native Americans, Administration for Children and Families, Department of Health and Human Services, Mary E. Switzer Building, 330 C Street SW., Washington DC 20201
Email: carmelia.strickland@acf.hhs.gov
Phone: (877) 922-9262

Website Address:

<https://www.acf.hhs.gov/ana>

RELATED PROGRAMS:

66.926 Indian Environmental General Assistance Program (GAP);

EXAMPLES OF FUNDED PROJECTS:

2020 The Lower Elwha Klallam Tribe will launch a multi-tribal initiative to monitor and conserve the Olympic Peninsula's diverse wildlife. Currently, tribes in the Olympic Peninsula have limited capacity to protect wildlife species and their habitats. Using wildlife cameras, project staff will take 76,000 photos of various species, allowing staff to make informed population estimates. Among these species will be cougars, who are a culturally significant animal to all tribes involved. This will lead to a more comprehensive understanding of current animal populations and migrating patterns. Ultimately, this project will allow the tribe and partnering tribal communities to provide long-term stewardship over culturally important species and resources. In Alaska, the Chugach Regional Resources Commission will develop a tribally led Harmful Algal Blooms (HABs) monitoring program in Southcentral Alaska that provides accurate, informative data for community subsistence harvesters in order to reduce the risk of Paralytic Shellfish Poisoning (PSP) illnesses. Currently, the Paralytic Shellfish Poisoning (PSP) and other marine bio toxin illnesses are on the rise in Southcentral Alaska, due in part, to climate change. The project will specifically collect and analyze 46 phytoplankton samples and 26 shellfish samples so that the 7500 tribal citizens in Tatitlek Village IRA Council, Native Village of Eyak, Port Graham Village Council, Nanwalek IRA Council, Chenega Bay IRA Council, Qutekcaak Native Tribe, and the Valdez Native Tribe can access the SEATOR database. Ultimately, this project will aim to build a regional HAB monitoring program that allows Tribes to test local shellfish samples, leading to effective subsistence shellfish management plans that provide accurate advisory notices to community members regarding high levels of paralytic shellfish toxins (PSTs).

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO. In general, proposals are judged on the basis of relevance to program objectives as stated in the NOFO, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.583 Refugee and Entrant Assistance Wilson/Fish Program

Wilson-Fish -TANF Coordination Program,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND

ASSISTANCE LISTINGS DESCRIPTIONS

HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2008, , Statute 121-2212, Public Law 110-161, Victims of Trafficking and Violence Protection Act of 2000, , Public Law 106-386, Refugee Act of 1980, Section 412, Statute 94-111, Public Law 96-212, 8 USC Code 1522. Refugee Education Assistance Act of 1982, Title V, , Statute 94-1809, Public Law 96-422, 8 USC Code 1522. Defense Authorization Act, 2008, , Statute 122-398, Public Law 110-181, Public Law 98-473, H.J.Res.648 - A joint resolution making continuing appropriations for the fiscal year 1985, and for other purposes.

OBJECTIVES:

The objective is to develop alternative projects that promote early employment of refugees, certain Amerasians from Vietnam, Cuban and Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants. The purpose of the Wilson-Fish program is to provide innovative approaches to integrated cash and medical assistance and/or services (employment, case management, English language instruction, and other social services) otherwise-available through the State-administered program, in order to increase refugees' prospects for early employment and self-sufficiency, reduce their level of welfare dependence, and promote coordination among service providers. The Wilson-Fish Temporary Aid for Needy Families (TANF) Coordination Program (FY 2020 FY 2024) does not include cash assistance and targets ORR-eligible clients with children under the age of 18.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To be eligible for Wilson-Fish funding, recipients must ensure refugees meet all requirements of 45 C.F.R. 400.43, "Requirements for documentation of refugee status." The scope of assistance and services available to refugees from Wilson-Fish recipients is similar to that of the State-administered Refugee Resettlement Programs, which in turn is similar to regular domestic public assistance programs. The primary cost of the Wilson-Fish TANF Coordination Program will include direct support services, such as case management, employment services, and other services.

Applicant Eligibility:

State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public or private non-profit agencies are eligible. Applicants for the FY 2020-2024 Wilson-Fish TANF Coordination Program were required to provide a letter of support from the subdivision of the state agency tasked with the operation of the states TANF program (or state TANF office). In this letter, the state TANF office was required to indicate its intention to coordinate with the applicant's proposed WF TC project and include a commitment to submit a formal and structured agreement, within 120 days of grant award, between the project and the state TANF office, to coordinate in the development and implementation of relevant, customized, and culturally and linguistically appropriate services for TANF-eligible refugees. This letter was required to be on letterhead of the state TANF office and signed by the state TANF director or the director's designee. Applicants that did not include this letter were disqualified from review and from funding under the Wilson-Fish TANF Coordination Program

Beneficiary Eligibility:

Refugee/Alien; Refugees, certain Amerasian immigrants from Vietnam, Cuban/Haitian entrants, asylees, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants are eligible for services and assistance through funded projects in a community.

Credentials/Documentation:

Assistance is authorized for refugees, certain Amerasians from Vietnam, asylees, Cuban and Haitian entrants, asylees, victims of a severe form of human trafficking, and Iraqi and Afghan Special Immigrants with documentation on their immigration status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. For in the Wilson-Fish TANF Coordination Program (FY 2020-2024) preapplication coordination is not required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on [grants.gov](https://www.grants.gov). Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations are issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are made available for this program for one-year budget periods. The project period is up to four years. Method of awarding/releasing assistance: other

Reports:

For the Wilson-Fish TANF Coordination Program (FY 2020-2024), ORR-6 Program Performance Reports are required every six months. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

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Records:

Recipients are required to keep all financial, business and program reports necessary for program review and audit for the project period in accordance with 45 CFR 75.361-5 to ensure that funds have been expended in accordance with the regulations, grant terms, and conditions of the program.

Account Identification:

75-1503-0-1-609.

Obligations:

Cooperative Agreements FY 20 \$6,707,775.00; FY 21 est \$8,200,000.00; FY 22 est \$16,000,000.00;

Range and Average of Financial Assistance:

In FY 2020 awards ranged from \$100,000 to \$600,000.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, the Wilson-Fish TANF Coordination Program received 22 applications and 21 awards were issued. Fiscal Year 2021: In FY 2021, the Wilson-Fish TANF Coordination Program is expected to issue 21 non-competing continuations. Fiscal Year 2022: In FY 2022, the Wilson-Fish TANF Coordination Program with anticipated 21 non-competing continuations.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Wilson-Fish Program is referenced in 45 CFR 400.69.

Regional or Local Office:

None.

Headquarters Office:

Director of Refugee Assistance Carl Rubenstein, Director, Division of Refugee Assistance,

Mary E. Switzer Building 330 C Street SW, 5th Floor West, Washington DC 20201

Email: carl.rubenstein@acf.hhs.gov

Phone: 2022055933

Fax:

Website Address:

<https://www.acf.hhs.gov/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance State/Replacement Designee Administered Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are published in the NOFO.

93.586 State Court Improvement Program

State and Tribal Court Improvement Programs,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV-B, Part 2, Section 438

OBJECTIVES:

This program provides grant opportunities to state and tribal courts to improve the quality of court processes and legal representation. Before FY 2022, the program provided three grants: a basic grant for assessment work; a grant for data collection and analysis; and a grant to increase training of court personnel, including cross training with agency staff. These were consolidated into one grant with the Consolidated Appropriations Act of 2021, but retain the prior program purposes. The program provides courts flexibility to design assessments which identify barriers to timely and effective decision-making, highlight practices which are not fully successful, examine areas they find to be in need of correction or added

attention, and then implement reforms which address specific needs. State courts are required to collaborate with the state child welfare agency and tribes in this work. The program also provides \$1,000,000 annually for tribal court recipients.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants under the State Court Improvement Program may be used for assessment and improvement activities, broadly defined, of the child welfare functions of a court system to promote continuous quality improvement with respect to: due process; timeliness and quality of court hearings; quality legal representation; engagement of the entire family in court processes; improved case tracking and analysis of child welfare cases; data collection, analysis and sharing; and training of legal and judicial personnel in child welfare cases, including cross-training with child welfare agency staff and contractors.

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; The highest state courts in each of the 50 states, the District of Columbia, Puerto Rico and the U.S. Virgin Islands are eligible to apply for funding. The term "highest state court" means the judicial tribunal which is the ultimate court of appeals in the state. Tribal courts or tribal governments of federally recognized Tribes may apply for grants. To be eligible, a tribe must be operating an approved title IV-E program in accordance with section 479B of the Act; or plan to operate a title IV-E program and have received a title IV-E plan development grant, as authorized by section 476 of the Act; or have a court responsible for proceedings related to foster care or adoption (section 438(c)(3)(A)(iv) of the Act).

Beneficiary Eligibility:

Individual/Family; Families and children who are served by state and tribal courts in proceedings related to foster care, guardianship and adoption.

Credentials/Documentation:

All state courts which submit applications as per instructions released by the Children's Bureau, Administration on Children, Youth, and Families (ACYF) are eligible to receive Court Improvement Program grant funds. Tribal Court Improvement Program grants are discretionary and are awarded on a competitive basis to federally recognized tribes. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Program instructions for the formula program can be obtained from the Children's Bureau, ACYF and the appropriate Health and Human Services (HHS) Regional Offices. NOFOs for the tribal program are published on [Grants.gov](https://www.grants.gov). Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>.

Award Procedure:

For the tribal program, each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding;

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however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Formula Grants: Contact the headquarters or regional location, as appropriate for application deadlines.

Discretionary Grants: See the Notice of Funding Opportunity (NOFO) for deadline information.

Range of Approval/Disapproval Time:

Other. Federal approval time will be between 30 and 120 days.

Appeals:

Other. There is no appeal process for unsuccessful tribal applicants. For existing tribal program recipients and formula program recipients, appeals for disputes not related to unsuccessful applications may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Renewal applications will be accepted every five years for the State Court Improvement Program. The discretionary Tribal Court Improvement Program will be re-competed following completion of the five year project period for existing projects, and non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter 7, Part B, Subpart 2 Section 438(d), Public Law Social Security Act. Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Each state court with an approved application is allotted \$255,000. In addition to this base amount, the remainder of the amount appropriated for all state courts will be divided among those courts with approved applications according to each state's proportionate share of children under the age of 21. If any state courts do not apply for their share of these funds, the unclaimed amount will be reallocated each year to all other state courts with approved applications. Non-federal share (or match) is required at the rate of 25 percent of the total budget. Division X funds do not require a match. There is no match requirement for the Tribal Court Improvement Program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds. Method of awarding/releasing assistance: quarterly

Reports:

Annual self-assessment reports are due on June 30th for the formula program. Performance progress reports for the tribal program are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Fiscal reports for the formula program are required annually and are due 90 days after the close of the grant year. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Expenditure reports for the tribal program are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property

Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1512-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$30,202,241.00; FY 21 est \$40,262,245.00; FY 22 est \$30,270,000.00; Formula Grants FY 20 \$10,441,244.00; FY 21 est \$11,441,245.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

FY 2020: State grant awards ranged from \$86,413 to \$803,667 with an average of \$197,004.62. FY 2020: Tribal grant awards ranged from \$112,882 to \$151,157 with an average of \$134,428.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fifty-three new grants were awarded to states and territories under the CIP formula grants and there were 7 tribal grant non-competing continuations. Fiscal Year 2021: Grants were awarded to 53 states and territories under the CIP formula grants and there were 7 tribal grant non-competing continuations in discretionary grants. The Consolidated Appropriations Act, 2021 (P.L. 116-260) was enacted into law on December 27, 2020 and included Division X, the Supporting Foster Youth and Families through the Pandemic Act. Division X contained additional, supplemental or enhanced funding for several programs authorized under titles IV-B and IV-E of the Social Security Act (the Act). For the Court Improvement Program it provided an additional \$10 million to states and eligible tribes. Please see Program Instruction ACYF-CB-PI-21-04 (<https://www.acf.hhs.gov/cb/policy-guidance/pi-21-04>) for more information. Therefore, FY 2021 grant award information will differ from other years.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following Program Instructions (PI) apply to this program: ACYF-CB-PI-20-12, ACYF-CB-PI-21-02, and ACYF-CB-PI-21-04. The Court Improvement Program is not regulated.

Regional or Local Office:

None. Contact the Children's Bureau Regional Program Managers

Headquarters Office:

Director of the Division of Program Implementation Scott Trowbridge,
330 C Street SW, Room 3507A,
Washington DC 20201
Email: scott.trowbridge@acf.hhs.gov
Phone: (202)795-7771
Fax:

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.643 Children's Justice Grants to States; 93.556 Promoting Safe and Stable Families;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

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States that submit an acceptable plan will receive funding. For the tribal program, the criteria for selecting proposals will be published in the NOFO.

93.587 Promote the Survival and Continuing Vitality of Native American Languages

Native American Language Preservation and Maintenance (P&M) and the Esther Martinez Immersion (EMI),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Native Americans Programs Act (NAPA) of 1974, as amended, Title , Part , Section 803C(a) and (b)(7), 42 USC Code 2991b-3. The American Rescue Plan Act (ARP) authorized Section 816 of the Native Americans Programs Act (NAPA) of 1974 (42 USC 2992d) to amend Section 803C of NAPA(42 USC 2991b-3) to create (g) Emergency Grants for Native American Language Preservation and Maintenance to award grants to entities eligible to receive assistance under subsection (a) (1) to ensure the survival and continuing vitality of Native American languages during and after the public health emergency declared by the Secretary with respect to the COVID-19 pandemic., Title , Section

OBJECTIVES:

The Administration for Native Americans (ANA) offers Native Language programs to promote and ensure the survival and continuing vitality of Native American languages for American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders from American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands (CNMI). The Native American Language Preservation and Maintenance (P&M) Grants are 12, 24 or 36-month projects that enable communities to conduct a broad range of projects including teacher credentialing, language instruction, language resource developments, language assessments, and other projects that support the communities long-term language preservation goals. The Esther Martinez Immersion (EMI) Grants are 36, 48, or 60-month projects that will be awarded to applicants that meet the statutory requirements for immersion projects with language nests or language survival schools in accordance with 42 USC 2991b-3(c)(7). ANA supports locally determined projects that achieve community goals through specific, measurable outcomes. In an effort to perpetuate language preservation efforts for Native communities, if appropriate, all ANA funded language projects should identify opportunities for the replication or modification of such project for use by other Native Americans; and include a plan for the preservation of the products of the Native American language project for the benefit of future generations of Native Americans and other interested persons. The American Rescue Plan Act (ARP) makes emergency awards available to ensure the survival and continuing vitality of Native American languages during and after the public health emergency with respect to the COVID-19 pandemic. Native languages and cultures are at a critical point in their existence due to the number of Elders and language speakers that have died as a result of the COVID-19 virus. This emergency funding is intended to support as many tribal and Native communities as possible in their efforts to maintain and preserve Native languages. The ARP Grants are 12, 24, or 36-month projects. The proposed emergency language projects should identify one or two of the following domains that will be the focus of the project: Resources and Documentation; Instruction; Technology and Infrastructure; Planning; Elder or intergenerational engagement.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for activities related to the preservation of Native American languages including: collecting and organizing data to assess a community's Native American language status; planning and implementing an immersion, master/apprentice, or distance learning model; training and certifying teachers, interpreters, or translators in a Native American language; and developing, printing, and disseminating materials to be used for the teaching and enhancement of a Native American language. This is a discretionary grant program subject to restrictions at 45 CFR Part 75.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally-recognized Indian Tribes, as recognized by the Bureau of Indian Affairs; Incorporated non-federally recognized Tribes; Incorporated state-recognized Indian Tribes; Consortia of Indian Tribes; Incorporated nonprofit multi-purpose community-based Indian organizations; Urban Indian Centers; Native Community Development Financial Institutions (Native CDFIs); Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or nonprofit village consortia; Nonprofit native organizations in Alaska with village specific projects; Incorporated non-profit Alaska Native multi-purpose, community-based organizations; Non-profit Alaska Native Regional Corporations/Associations in Alaska with village-specific projects; Non-profit Alaska Native community entities or tribal governing bodies (Indian Reorganization Act or Traditional Councils) as recognized by the Bureau of Indian Affairs; Public and nonprofit private agencies serving Native Hawaiians; National or regional incorporated nonprofit Native American organizations with Native American community-specific objectives; Public and nonprofit private agencies serving Native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands; Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands which serve Native American Pacific Islanders are eligible for funding. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards. For ARP, Native American tribal governments (federally recognized); Federally-recognized Indian Tribes, as recognized by the Bureau of Indian Affairs (BIA); and Incorporated state-recognized Indian Tribes are eligible. If designated by a federally-recognized or state-recognized Tribe to receive the Tribes funding allocation, the following entities are eligible to receive an award: Incorporated non-profit multi-purpose community-based Indian organizations; Non-profit Native organizations in Alaska with village-specific projects; Incorporated non-profit Alaska Native multipurpose, community-based organizations; Tribal colleges and universities. The designation must be submitted either by the Tribe as an official statement on letterhead or a resolution with its application. For ARP Native Hawaiian and Pacific Islanders (NHPI): Only the following Native Hawaiian and Pacific Islander organizations are invited to apply for funding: The Office of Hawaiian Affairs in Hawaii; Kumisin i Fino CHamoru yan i Finanguen i Historia yan Linala i Taotao Tno in Guam; Commonwealth of the Northern Mariana Islands (CNMI) Public School System for CNMI; American Samoa Community College, and Samoan Studies Institute in American Samoa.

Beneficiary Eligibility:

Minority group; Native American Organizations; American Indian; Other Non-White; Federally Recognized Indian Tribal Governments; American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members are representative of a Native American community to be served. Applicants must submit documentation that identifies each board member by name and indicates his/her affiliation or relationship to at least one of ANA's three categories of community representation, which include: (1) members of federally or state-recognized tribes; (2) persons who are recognized by members of the eligible Native American community to be served as having a cultural relationship with that community; or (3) persons considered to be Native American as identified in 45 CFR 1336.10 and Native Pacific Islanders as defined in Section 815 of the Native Americans Program Act. Applicants that do not include this documentation will be considered non-responsive, and the application will not be considered for competition. 45 CFR Part 75, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

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Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. All applicants must submit documentation demonstrating that the governing body of the organization on whose behalf the application is submitted approves the applications submission to ANA for the current grant competition period. Please see the Notice of Funding Opportunity (NOFO) for more information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Paper applications received from applicants that have not been approved for an exemption from required electronic submission will be disqualified from competitive review. Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format. The same requirements also apply to ARP. See NOFO for detailed information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors. Disqualified applications are considered to be "non-responsive" and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and ranking are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g., ensuring coverage of states, counties, or service areas) in its pre-award decisions. ANAs staff will perform an internal review and analysis of the applications ranked highest as a result of the panels review and scoring. This internal review is used to determine the applications consistency with the purposes of the Native American Programs Act (NAPA), all relevant statutory and regulatory requirements, and the requirements of the relevant NOFO. For ARP, this funding is non-competitive due to the nature of addressing the emergency of the imminent loss of Native American languages. For ARP funding to tribes, all applications will be screened by ANA staff to ensure the eligibility of only one award per federal or state recognized Tribe or their designee. All applications that are screened as eligible will receive an equal allocation award, based division of the total number of applications received from federal and state-recognized Tribes. For ARP funding to NHPs, all applications will be screened by ANA staff to ensure the eligibility of only one award per invited NHPI organization. All applications that are screened as eligible will receive an award up to the award ceiling of \$250,000. Entities that submit an application that are not invited to do so, will not receive an award. ANAs Commissioner has discretion to make all final funding and award decisions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Other. Appeals are only available for unsuccessful applicants upon a finding of ineligibility for funding and are subject to ANA regulations at 45 CFR 1336.35. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a

determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The 20% match is required unless waived in accordance with criteria published in 45 CFR 1336.50. For ARP Grants, there is no match requirement. This program has MOE requirements, see funding agency for further details. Additional Information:

Length and Time Phasing of Assistance:

Recipients may apply for non-competing continuation support within a project period. P&M projects can be 12, 24 or 36 months, and EMI projects are 36, 48, or 60-month grants. Method of awarding/releasing assistance: other

Reports:

ANA recipients are required to report project progress using the Objective Progress Report (OPR) (OMB No. 0970-0452, expiration date 9/30/2023). For ARP Grants, recipients are required to report project progress using the Progress Report (OMB CN: 0970-0490, Expiration Date 1/31/2023). The Federal Financial Report (FFR), also known as SF-425, is required to be submitted on a semi-annual basis. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. ANA reviews recipient semiannual and annual reports to determine whether the recipient is meeting its goal and objectives and completing activities identified in the Objective Work Plan (OWP). If progress concerns are identified, ANA may require quarterly reports. In addition, ANA is required to describe and measure the impact of funded projects, their effectiveness in achieving stated goals, their impact on related programs, and when feasible, to obtain the views of persons participating in and served by funded projects. ANA carries out this requirement through review of recipient-submitted reports and through the use of structured on-site interviews using a data collection tool (OMB No. 0970-0379, expiration date 06/30/2022). Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. For ARP Grants, ANA will review performance progress mid-year, and after the end of the project using Post Project Report (OMB CN:0970-0490, Expiration Date 01/31/2023).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants FY 20 \$6,790,909.00; FY 21 est \$8,225,000.00; and FY 22 est \$8,637,000.00; Project Grants FY 20 \$4,970,850.00; FY 21 est \$5,400,000.00; and FY 22 est \$5,669,000.00; Formula Grants FY 20 \$0.00; FY 21 est \$20,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

For FY20, the range of assistance for P&M was \$100,000-\$300,000, and the average per Budget Period was \$295,000. The range of assistance for EMI was the 100,000-\$300,000, and the average per Budget Period was \$285,000.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For the Native American Language Preservation and Maintenance program, 67 applications were received, and 7 new awards were issued. There were also 19 continuation awards issued. For the Native American Language Preservation and Maintenance-Esther Martinez Immersion (EMI) program, 16 applications were received and 4 new were issued. There were also 15 continuation awards issued. Fiscal Year 2021: It is anticipated that 16 new awards

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and 17 continuation awards will be made for P&M. It is anticipated that 8 new awards and 12 continuation awards will be made for EML. It is anticipated that 230 awards will be made for ARP.

REGULATIONS, GUIDELINES, AND LITERATURE:

ANA regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Program Operations, Carmelia Strickland,
Administration for Native Americans, Administration for Children and
Families, Department of Health and Human Services, 330 C. St. SW., Switzer
Building, Mailstop 4126,
Washington DC 20201
Email: carmelia.strickland@acf.hhs.gov
Phone: (877) 922-9262

Website Address:

<https://www.acf.hhs.gov/ana>

RELATED PROGRAMS:

93.612 Native American Programs; 93.581 Improving the Capability of Indian
Tribal Governments to Regulate Environmental Quality;

EXAMPLES OF FUNDED PROJECTS:

2020 For Native American Language Preservation and Maintenance, the Southern Ute Indian Tribe in partnership with the Ute Mountain Ute Tribes, will increase Ute language usage and fluency among the community through inter-generational language preservation and education. The Ute language is currently not spoken in familial settings nor at tribal gatherings. Compounding this, there are only 32 elder speakers left in the community who are fluent in the language. To address the decline in language use, this project will establish the Southwest Indigenous Language Development Institute (SILDI) certification program in tandem with Fort Lewis College and elder speakers. SILDI will provide fluency and linguistic pedagogical training to a cohort of 15 teachers. This cohort will also develop an online audio-visual dictionary of the Ute language and develop a Ute textbook that will expand their capacity, skill, and opportunities for language immersion instruction. The project will ultimately bring older and younger tribal citizens together so that the Ute language can regain its rightful place in the lives, hearts, and minds of Utes now and into the future. Under the Esther Martinez Immersion program, the Oneida Nation of Wisconsin aims to expand the capacity of language immersion programming for the Tehatiwanakha Language Nest (TLN) by increasing student proficiency of the Oneida language, increasing instructional hours and number of staff, and increasing cultural connectedness by parents and families of students enrolled in the program. At the end of the five-year project, language nest students, ages 3 -8 years old, will increase their proficiency of the Oneida language by three steps as measured by the Oneida Language Proficiency scale. Language nest instructional staff will increase their abilities in Oneida pedagogy by three steps as measured by the CREDE-ECE standards for effective Indigenous pedagogy. Language instruction will be offered to parents through online learning to help foster language acquisition in the home and community. Parents of students will increase overall well-being through bimonthly engagement in language nest activity by two levels as measured by the cultural connectedness scale (CCS). Presently, only 2 fluent speakers remain and are between 55 and 65 years of age. By focusing on students, parents, and teachers learning the language, this project will reinvestigate the Oneida language into the future.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFOs. In general, proposals are evaluated on the basis of relevance to program objectives as stated in the NOFO, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel. For ARP in general, proposals are evaluated to ensure projects align with one of the 5 domains that will preserve and protect the vitality of the applicants native language during and after the COVID-19 pandemic, and document the status of their living language.

93.590 Community-Based Child Abuse

Prevention Grants

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND
HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Child Abuse Prevention and Treatment Act (CAPTA), as amended by P.L.115-271,
enacted on December 20, 2010., , 42 USC Code 5116 et.seq..

OBJECTIVES:

The objectives of the Community-Based Child Abuse Prevention Grants are (1) to support community-based efforts to develop, operate, expand, and enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and (2) to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are used to develop, operate, expand, and enhance community-based, prevention focused programs and activities designed to strengthen and support families to prevent child abuse and neglect. One percent is set aside for discretionary grants to migrant and tribal populations and an additional amount to fund a national resource center to provide training and technical assistance to state lead agencies.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands are eligible. Before a state can apply, the Governor must designate a lead entity to administer the funds for the implementation of community-based child abuse and neglect prevention programs and activities. Tribes may participate through application for grants made available by a 1% (of the entire CBCAP apportionment, of both mandatory and discretionary funds) annual funds set aside, legislated for tribes, tribal organizations and migrant programs. These are discretionary competitive grants, awarded every five years. Notices of Funding Opportunity (NOFO) for these projects will be posted to Grants.gov.

Beneficiary Eligibility:

Individual/Family; There are no eligibility requirements. Beneficiaries are children, their families, and other care-givers.

Credentials/Documentation:

Applications require certification and/or documentation that the state meets the eligibility requirements described in the Act, requiring that: (1) the Governor of the State has designated a lead entity to administer funds under this title for the purposes identified under the authority of this title; (2) the Governor of the State has provided assurances that the lead entity will provide, or be responsible for providing, community-based, prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect; composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from public and private sector members, parents, and public and private nonprofit service providers, individuals and organizations experienced in working in partnership with families with children with disabilities; and (3) the Governor of the State has provided additional assurances that the lead entity has demonstrated certain capacities that ensure the state's ability to meet the purposes of this authority. Applicable costs and administrative procedures will be determined in accordance with 45 CFR 75. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372,

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"Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States and territories respond to an annual Program Instruction (PI) which announces availability of funds. Applications must include assurance and information required in the PI, as well as completed forms listed in the PI. Applicants for discretionary funds may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>.

Award Procedure:

Formula grant applications are reviewed against all eligibility requirements. Approval/disapproval is decided by the Commissioner of the Administration on Children, Youth and Families (ACYF). For discretionary grant applications, each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in FOIA. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Formula Grants: Contact the headquarters or regional location, as appropriate for application deadlines.

Project Grants: See the NOFO for deadline information.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines will be provided in the annual Program Instruction (PI) for formula programs. Contact the headquarters office listed in the Contact Information for future deadlines.

Appeals:

Other. There is no appeal process for unsuccessful discretionary applicants. Formula grant and existing discretionary program recipient appeals for disputes not related to unsuccessful applications may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Grants are made annually. A new application is required each year for the formula program. For the discretionary program non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory Formula: Title N/A, Chapter NA, Part NA, Subpart NA, Public Law . Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. States that meet all of the eligibility requirements will be awarded funds based on the following statutory formula: (a) 70 percent of the total amount appropriated is allotted among the states based on the number of children under the age of 18 in each such state, except that each state shall receive not less than \$175,000; and (b) the remaining 30 percent of the total amount appropriated is allotted in an amount that bears the same proportion to such amount appropriated as the amount leveraged by the state from private, state, or other non-federal sources, and directed through the state lead agency in the preceding fiscal year

bears to the aggregate of the amount leveraged by all states from private, state, or other non-federal sources, and directed through the lead agency of such states in the preceding fiscal year. There is also a required 20 percent state match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Formula grants are awarded annually. The project duration for discretionary projects is specified in the NOFO. Method of awarding/releasing assistance: other **Reports:**

Financial and program progress reporting requirements are described in Program Instructions. A state receiving a grant under this title, through reports provided to the Commissioner of the Administration on Children, Youth and Families, should include the following: 1) Community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate) composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from private and public sector members, parents, adult former victims of child abuse and neglect, and public and private nonprofit service providers and individuals and organizations experienced in working in partnership with families with children with disabilities (section 202(2)(A)); 2) Direction to an interdisciplinary, collaborative, public-private structure with balanced representation from private and public sector members, parents, adult former victims of child abuse and neglect, public sector and private nonprofit sector service providers, and parents with disabilities (section 202(2)(B)); 3) Direction and oversight through identified goals and objectives, clear lines of communication and accountability, the provision of leveraged or combined funding from Federal, state and private sources, centralized assessment and planning activities, the provision of training, technical assistance, evaluation assistance and reporting and evaluation functions (section 202(2)(C)); 4) A demonstrated commitment to parental participation in the development, operation and oversight of the community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect (section 202(3)(A)); 5) A comprehensive strategy to provide a continuum of preventive, family-centered, comprehensive services for children and families, especially to young parents, to parents with young children and to parents who are adult former victims of domestic violence or child abuse and neglect, through public and private partnerships (section 202(3)(B)); 6) Operational support (both financial and programmatic) and training, technical assistance and evaluation assistance to community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect, through innovative, interagency funding and inter-disciplinary service delivery mechanisms (section 202(3)(C)); and, 7) Integration of its efforts with individuals and organizations experienced in working in partnership with families with children with disabilities, parents with disabilities and with the child abuse and neglect prevention activities of the state, and a financial commitment to those activities (section 202(3)(D)). . Program progress reporting requirements for formula grants are described in Program Instructions (PI). Performance progress reports for discretionary grants are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Financial reporting requirements for formula grants are described in the PI. Expenditure reports for discretionary grants are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2 .

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program. 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$53,618,545.00; FY 21 est \$58,359,400.00; FY 22 est \$77,551,000.00; Project Grants (Discretionary) FY 20 \$392,455.00; FY 21 est \$606,600.00; FY 22 est \$800,000.00;

Range and Average of Financial Assistance:

In FY 2020 for formula grants the range of financial assistance was from \$200,000 to \$4,904,214 with an average of \$893,642.42. In FY 2020 for project grants the range of financial assistance was from \$128,392 to \$132,546 with an average of \$130,898.33.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 60 formula grants and 3 project grants were awarded in FY 2020. Fiscal Year 2021: It is anticipated that 60 formula grants and 3 project grants will be awarded in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction (PI). The current PI is ACYF-CB-PI-20-07.

Regional or Local Office:

None. Contact Children's Bureau Regional Program Managers

Headquarters Office:

Julie Fliss,
Office on Child Abuse and Neglect,
Washington DC 20201
Email: julie.fliss@acf.hhs.gov
Phone: (202) 205-8879

Website Address:

<https://www.acf.hhs.gov/cb/resource/cbcap-state-grants>

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.648 Child Welfare Services Training Grants; 93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program; 93.669 Child Abuse and Neglect State Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States which meet all eligibility requirements for formula grants will receive funds under this program. The criteria for selecting discretionary proposals will be published in the NOFO.

93.591 Family Violence Prevention and Services/State Domestic Violence Coalitions

Family Violence Prevention and Services Act Grants to State Domestic Violence Coalitions,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Family Violence Prevention and Services Act, 42 U.S.C. 10401 et seq., as amended by Section 201 of the CAPTA Reauthorization Act of 2010, Pub. L. 111-320.

OBJECTIVES:

The purpose of this program is to provide funding for State Domestic Violence Coalitions (Coalitions): 1) to confirm the federal commitment to reducing domestic violence; 2) to urge states, localities, cities, and the private sector to improve the responses to and the prevention of domestic violence, and encourage stakeholders and service providers to plan toward an integrated service delivery approach that meets the needs of all victims, including those in underserved communities; 3) to provide for technical assistance and training relating to domestic violence programs; and 4) to increase public awareness about and prevention of domestic violence and increase the quality and availability of shelter and supportive services for victims of domestic violence and their dependents.* Includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Coalitions shall use funds for administration and operations to support a range of activities, including but not limited to (1) working with local family violence, domestic violence, and dating violence service programs and providers of direct services to encourage appropriate and comprehensive responses to family violence, domestic violence, and dating violence against adults or youth within the State involved, including providing training and technical assistance and conducting State needs assessments; (2) participating in planning and monitoring the distribution of subgrants and subgrant funds within the State under Section 10408(a); (3) working in collaboration with service providers and community-based organizations to address the needs of family violence, domestic violence, and dating violence victims, and their dependents, who are members of racial and ethnic minority populations and underserved populations; (4) collaborating with and providing information to entities in such fields as housing, health care, mental health, social welfare, or business to support the development and implementation of effective policies, protocols, and programs that address the safety and support needs of adult and youth victims of family violence, domestic violence, or dating violence; (5) encouraging appropriate responses to cases of family violence, domestic violence, or dating violence against adults or youth, including by working with judicial and law enforcement agencies; (6) working with family law judges, criminal court judges, child protective service agencies, and children's advocates to develop appropriate responses to child custody and visitation issues in cases of child exposure to family violence, domestic violence, or dating violence; (7) providing information to the public about prevention of family violence, domestic violence, and dating violence, including information targeted to underserved populations; and (8) collaborating with Indian tribes and tribal organizations (and corresponding Native Hawaiian groups or communities) to address the needs of Indian (including Alaska Native) and Native Hawaiian victims of family violence, domestic violence, or dating violence, as applicable in the State.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); To be eligible for a grant under Section 10411, an entity shall be a statewide nongovernmental nonprofit private domestic violence organization that has a membership that includes a majority of the primary-purpose domestic violence service providers in the State; has board membership that is representative of primary-purpose domestic violence service providers, and which may include representatives of the communities in which the services are being provided in the State; has as its purpose to provide education, support, and technical assistance to such service providers to enable the providers to establish and maintain shelter and supportive services for victims of domestic violence and their dependents; and serves as an information clearinghouse, primary point of contact, and resource center on domestic violence for the State and supports the development of policies, protocols, and procedures to enhance domestic violence intervention and prevention in the State.

Beneficiary Eligibility:

Individual/Family; This program will benefit youth and adult victims of family violence, domestic violence, dating violence, their children and other dependents,

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their families, other persons affected by such violence including friends, relatives, and the general public. The program will also benefit communities, including the State and business and nonprofit sectors.

Credentials/Documentation:

Applicants must provide proof of 501(c)(3) determination. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Mandatory grant recipients are required to use the Online Data Collection System (OLDC) to submit the Application for Federal Assistance SF-424 Mandatory Form (SF-424M) and upload all required documents. The form is available to applicants and grantees at <http://www.grants.gov/web/grants/forms/sf-424-mandatory-family.html>. ACF will not accept paper applications, or those submitted via email or facsimile, without a waiver. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75.

Award Procedure:

Funds are awarded directly to designated State Domestic Violence Coalitions. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

Deadline Information will be included in the Notice of Funding Opportunity (NOFO).

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. Subject to the limitations of the Appendix A to 45 CFR Part 16, appeals may take place in accordance with 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title III, Chapter Section 303, Part N/A, Subpart N/A, Public Law Public Law 111-320. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds may be used for expenditures on and after October 1 of each fiscal year for which they are granted, and will be available for expenditure through September 30 of the following fiscal year. Funds must be liquidated within 90 days of the end of the 24 month expenditure period. Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds. Method of awarding/releasing assistance: lump sum.

Reports:

Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. The SF-428 Tangible Property Report and the SF-429 Real Property Status Report are required. The forms are available at: <https://www.acf.hhs.gov/grants/real-property-and-tangible-personal-property#chapter-5> Quarterly cash transaction reports (SF-425) are required to be filed with the HHS Division of Payment Management through the Payment Management System. Recipients must submit an annual Performance Progress Report (SF-PPR) by December 29 of each year to the Program Office through the Online Data Collection system (OLDC). Recipients must submit an annual Federal Financial Report (SF-425) by December 29 of each year to the HHS Division of Payment Management through the Payment Management System. Recipients must also submit a quarterly SF-425 expenditure report. Tangible Personal Property: If requesting funds to purchase equipment, the recipient must

request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Performance progress reports are reviewed and selected grantees may be identified for site visits or desk review by Federal Project Officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

State Domestic Violence Coalitions are required to maintain financial and program records necessary for program review and audit to ensure that funds have been expended in accordance with the statute, regulations, grant conditions, and administrative terms of the project funded. All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$20,350,000.00; FY 21 est \$33,162,500.00; FY 22 est \$17,758,750.00;

Range and Average of Financial Assistance:

The award amount for each State and Territorial Domestic Violence Coalition for FY 2020 is \$363,392, including CARES Act Supplemental Funding. For FY 2021, the award amount is \$592,187, including ARP Supplemental Funding.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 56 State Domestic Violence Coalitions will receive grants (including Territories). Fiscal Year 2021: It is anticipated that 56 Domestic Violence Coalitions will receive grants (including Territories).

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 1370 applies to this program. Subpart A - General Provisions (1370.1 - 1370.6) Subpart C - State Domestic Violence Coalition Grants (1370.20)

Regional or Local Office:

None.

Headquarters Office:

Rachel Orsinger, Supervisory Program Manager, Division of Family Violence Prevention and Services,

US Department of Health & Human Services 330 C Street SW, Washington DC 20201

Email: rachel.orsinger@acf.hhs.gov

Phone: (202) 205-9814

Fax: Senior Program Specialist Shawndell Dawson, Director, Division of Family Violence Prevention and Services,

US Department of Health & Human Services 330 C Street SW, Washington DC 20201

Email: shawndell.dawson@acf.hhs.gov

Phone: (202) 205-1476

Fax:

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

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RELATED PROGRAMS:

93.667 Social Services Block Grant; 93.556 Promoting Safe and Stable Families; 93.669 Child Abuse and Neglect State Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each HHS designated State Domestic Violence Coalition will receive its respective share of funds if the application submitted meets the necessary requirements.

93.592 Family Violence Prevention and Services/Discretionary

Family Violence Prevention and Services Act Discretionary Grants,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Family Violence Prevention and Services Act, et seq., as amended by Section 201 of the CAPTA Reauthorization Act of 2010, Pub. L. 111-320., Title II, Part N/A, Section 201, 42 USC Code 10401.

OBJECTIVES:

The purpose of this program is to fund a wide range of discretionary activities for the purpose of: (1) providing resource information, training and technical assistance to improve the capacity of individuals, organizations, government entities, and communities to prevent family violence, domestic violence, and dating violence and to provide effective intervention services; (2) improving the design, delivery, and coordination of services to address family violence, domestic violence and dating violence; (3) gathering information on the incidence and prevalence of family violence, domestic violence and dating violence; and (4) increasing knowledge and understanding of the issues through research, demonstration, and evaluation projects. Specific sections in the Act authorize funding for such discretionary projects as a National Resource Center on Domestic Violence; a National Indian Resource Center Addressing Domestic Violence and Safety for Indian Women; Special Issue Resource Centers including Culturally-Specific Issue Resource Centers; State Resource Centers to Reduce Tribal Disparities; Specialized Services for Abused Parents and Their Children; and a National Domestic Violence Hotline.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Discretionary financial assistance may be made to public and private entities and may take the form of a competitive grant or cooperative agreement. Applicants must meet the program and administrative requirements specified in the Funding Opportunity Announcement.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Depending on the purpose of the project and the statutory requirements, an applicant may be a nonprofit private organization, tribal organization, federally-recognized Indian tribe, Native Hawaiian organization, local public agency, institution of higher education, private organization, Alaska Native Village, or nonprofit Alaska Native Regional Corporation.

Beneficiary Eligibility:

Individual/Family; These discretionary grants will benefit victims of family violence, domestic violence, dating violence, and their dependents, families, other interested persons, the general public, and communities and government entities.

Credentials/Documentation:

Private non-profit organizations applying for funding are required to submit proof

of their non-profit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grants are awarded on a competitive basis. All applications must contain the SF-424 and SF-424A, and all required certifications, assurances and disclosures. Required Standard Forms and certifications are available at Grants.gov Forms Repository. Applicants may also find funding opportunity announcements (FOAs) on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Commissioner, Administration on Children, Youth and Families, will make the final decision on all awards. Selection of applicants will be determined based on the review criteria published in the Funding Opportunity Announcement. Funds are awarded directly to recipients. Recipients are notified that funds have been awarded through a Notice of Award (NOA) issued by the Administration for Children and Families. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

See the Notice of Funding Opportunity (NOFO) for deadline information.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Renewals (non-competing continuations), if authorized under the terms and conditions of the award, will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projects may vary from a 12-month project period to a 5-year project period depending on statutory and programmatic requirements. Method of awarding/releasing assistance: other

Reports:

Specific reports for each type of Discretionary grant are published in the funding announcement. Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. Quarterly cash transaction reports (SF-425) are required to be filed with HHS Division of Payment Management through the Payment Management System. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is semi-annual. For more information, see: <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>. Expenditure reports are required using the SF-425 Federal Financial Report (FFR). The frequency of reporting is semi-annual. For more information, see: <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>. Tangible Personal Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must

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be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

Recipients are required to keep financial and program records necessary for program review and audit to ensure that funds have been expended in accordance with the statute, regulations, grant conditions, and administrative terms of the project funded. All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$12,335,000.00; FY 21 est \$25,839,988.00; FY 22 est \$13,039,988.00; Cooperative Agreements (Discretionary Grants) FY 20 \$8,500,000.00; FY 21 est \$21,875,008.00; FY 22 est \$10,375,000.00; Cooperative Agreements (Discretionary Grants) FY 20 \$14,000,000.00; FY 21 est \$14,798,853.00; FY 22 est \$13,000,000.00;

Range and Average of Financial Assistance:

FY 2020 National Hotline: \$14,000,000 (including CARES Act Supplemental), 1 grant award was made for \$14,000,000; Discretionary National Resource Centers and Training and Technical Assistance Centers: \$450,000 - \$1,400,000, the average award is \$1,000,000; Specialized Services for Abused Parents and Their Children: \$301,538 for 26 grantees, each grant award was \$301,538 for all 26 grantees. FY 2021 National Hotline: \$12,798,853, 1 grant award was made for \$12,798,853; Discretionary National Resource Centers and Training and Technical Assistance Centers: \$450,000 - \$1,400,000, the average award is \$1,000,000; Specialized Services for Abused Parents and Their Children: \$399,038 for 26 grantees, each grant award was \$399,038 for all 26 grantees. FY 2021 ARP Supplemental National Hotline: \$2,000,000, 1 grant award was made for \$2,000,000; Discretionary National Resource Centers and Training and Technical Assistance Centers: \$400,000 - \$1,400,000, the average is \$1,000,000; Specialized Services for Abused Parents and Their Children: \$442,308 for 26 grantees, each award was \$442,308 for all 26 grantees..

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: For FY 2020 40 discretionary grants were awarded, including the National Domestic Violence Hotline. The Specialized Services for Abused Parents and their Children grants have doubled to 26 for FY 2020. Fiscal Year 2021: It is estimated that 40 discretionary grants will be awarded, including the National Domestic Violence Hotline. The Specialized Services for Abused Parents and their Children grants have doubled to 26 for FY 2021 each grant award will be \$442,308 for all 26 grantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 1370 applies to this program. Subpart A - General Provisions (1370.1 - 1370.6) Subpart D - Discretionary Grants and Contracts (1370.30 - 1370.32)

Regional or Local Office:

None.

Headquarters Office:

Rachel Orsinger, Supervisory Program Manager, Division of Family Violence

Prevention and Services,
US Department of Health & Human Services 330 C Street SW,
Washington DC 20201

Email: rachel.orsinger@acf.hhs.gov

Phone: (202) 205-9814

Fax: Senior Program Specialist Shawndell Dawson, Director, Division of Family Violence Prevention and Services,

US Department of Health & Human Services 330 C Street SW,
Washington DC 20201

Email: shawndell.dawson@acf.hhs.gov

Phone: (202) 205-1476

Fax:

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

93.612 Native American Programs; 93.667 Social Services Block Grant; 93.556 Promoting Safe and Stable Families; 93.669 Child Abuse and Neglect State Grants; **EXAMPLES OF FUNDED PROJECTS:**

2020 In FY 2020, one grant to a National Resource Center on Domestic Violence; one grant to a National Indian Resource Center Addressing Domestic Violence and Safety for Indian Women; four Special Issue Resource Center grants; three Culturally Specific Special Issue Resource Center grants serving racial and ethnic minority communities; one grant to the National Domestic Violence Hotline; twelve demonstration grants for Specialized Services for Abused Parents and their Children; one grant for a National LGBTQ Institute on Intimate Partner Violence; one grant for an Alaska Native Resource Center; one grant for a National Capacity Building Center on Safe and Supportive Housing; one grant for a Capacity Building and Technical Assistance Center for Family Violence and Services Act Formula Grantees; and one grant for a National Capacity Building Center for Expanded Services for Children, Youth and Abuse Adults Impacted by Domestic Violence.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the Funding Opportunity Announcement(s). Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in FOA. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

93.594 Tribal Work Grants

(Native Employment Works; NEW),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 412

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OBJECTIVES:

To allow eligible Indian Tribes and Alaska Native organizations to operate a program to make work activities available. work-related activities to support job readiness, job placement, and job retention for Native Americans. NEW funding enables grantees to serve their designated service populations through these work activities and supportive services.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Tribes have broad flexibility to use the grant for the purpose of making work activities available. Examples of allowable activities include, but are not limited to: Educational activities, alternative education, post-secondary education, job readiness activity, job search, job skills training, training and employment activities, job development and placement, on-the-job training (OJT), employer work incentives related to OJT, community work experience, innovative approaches with the private sector, pre/post-employment services, job retention services, unsubsidized employment, subsidized public or private sector employment, community service programs, entrepreneurial training, management training, job creation activities, economic development leading to job creation, and traditional subsistence activities. The NEW Program grantee may provide, pay for or reimburse expenses for supportive services, including but not limited to transportation, child care, traditional or cultural work related services, and other work or family sufficiency related expenses that the Tribe determines are necessary to enable a client to participate in the program.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; An Indian Tribe or Alaska Native organization that conducted a Tribal JOBS (Job Opportunities and Basic Skills Training) Program in fiscal year 1995.

Beneficiary Eligibility:

American Indian; Service areas and populations as designated by the eligible Indian Tribe or Alaska Native organization.

Credentials/Documentation:

The recipient's chief executive officer must sign the application for assistance (the recipient's Native Employment Works plan), documenting the Tribe's official support for, and approval of, the application/plan. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Each eligible Indian Tribe or Alaska Native organization must submit a NEW plan for approval by the HHS Secretary, along with the SF-424M as an attachment

Award Procedure:

The Administration for Children and Families (ACF) will issue grant awards to entities with approved plans.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 days.

Appeals:

Other. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter 7, Part A, Subpart Section 412(a)(2), Public Law Social Security Act, as amended, 42 USC 612. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant awards are issued annually. Effective for NEW funds awarded on or after July 1, 1999, recipients may carry forward (carry over) unobligated NEW fund balances for use in subsequent fiscal years, without fiscal year limitation. Method of awarding/releasing assistance: other

Reports:

The annual program report includes a narrative report in which grantees summarize their activities, achievements, and barriers during the report period, and a statistical report in which grantees reports on clients they served during the report period. As required by Action Transmittal No. OGM-AT-13-01 (See https://www.acf.hhs.gov/sites/default/files/documents/ofa/ogm_at_13_01_online_reporting.pdf), tribes implementing a Native Employment Works program must submit an electronic copy of their annual report through the ACF On-Line Data Collection (OLDC) system. (See NEW-ACF-PI-2018-01 at <https://www.acf.hhs.gov/ofa/resource/new-acf-pi-2018-01> for further instructions). Each Tribe or Tribal Organization is required to submit an annual program progress report, documenting the prior program years activities and conformance with current program requirements by September 28 (90 days after the June 30 end of the program year covered by the report. (See NEW-ACF-PI-2018-01). Recipients must electronically submit SF 425 (Federal Financial Report) annually. Any remaining reports for awards for FY 2015 or earlier are submitted through the ACF OLDC system. Reports for awards for FY 2016 or later are submitted through the HHS Payment Management System (PMS). Tangible Personal Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and 45 CFR 75 Subpart F. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 45 CFR Part 75 Subpart F applies to this program.

Records:

Indian Tribes and Alaska Native organizations must maintain records according to 45 CFR 75.361-365.

Account Identification:

75-1552-0-1-609.

Obligations:

Formula Grants FY 20 \$7,535,110.00; FY 21 est \$7,535,110.00; FY 22 est \$7,535,110.00;

Range and Average of Financial Assistance:

The range is \$5,187 to \$1,752,666; the average award is \$96,000.

TAFS Codes:

75-1552.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, 78 grants were awarded. Fiscal Year 2021: In FY 2021, 78 grants were awarded. Fiscal Year 2022: In FY 2022, it is anticipated that

ASSISTANCE LISTINGS DESCRIPTIONS

78 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 287, Regulations for the Native Employment Works (NEW) program were published on February 18, 2000, and became effective June 19, 2000.

Regional or Local Office:

None.

Headquarters Office:

Director Stan Koutstaal,

330 C Street SW,

Washington DC 20201

Email: stanley.koutstaal@acf.hhs.gov

Phone: 202-401-5457

Website Address:

<http://www.acf.hhs.gov/programs/ofa/programs/tribal/new>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 17.265 Native American Employment and Training;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.595 Welfare Reform Research, Evaluations and National Studies

Welfare Research,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 413 of the Social Security Act, 42 U.S.C. 613, as amended by the Consolidated Appropriations Act of 2017, , Public Law 115-31

OBJECTIVES:

The objectives are to support research on the benefits, effects, and costs of operating different State welfare programs, including studies on the effects of different programs and the operation of such programs on welfare dependency, employment rates, child well-being, family formation and healthy marriage, illegitimacy, teen pregnancy, and others; to assist States in developing and evaluating innovative approaches for reducing welfare dependency and increasing the well-being of minor children living at home. The objectives of the Center for Research on Hispanic Children and Families are to lead and support research on the needs of Hispanic populations served by ACF and promising approaches to promote social and economic well-being among low-income Hispanic populations. The objectives of the Center For Research on African American Children & Families are to lead and support research on the needs of African American populations served by ACF and promising approaches to promote social and economic well-being among low-income African American populations. The objectives of the Family Self-Sufficiency Scholars Network Grants are to support research on strategies for improving family self-sufficiency conducted in partnership with state or local human services agencies. The objectives of the Career Pathways Secondary Data Analysis Grants are to support rigorous, policy-relevant research through secondary data analysis on topics relevant to understanding the role of career pathways programs in improving the educational attainment, employment, and economic stability of the low-income, low-skilled adults. The objectives of the Family Self-Sufficiency Demonstration Development Grants are to provide evaluation-related technical assistance to entities looking to strengthen and evaluate coordinated, client-centered approaches to improving economic self-sufficiency among TANF and TANF-eligible families. The objectives of the Tribal Research Center on Early Childhood Development and Systems (TRECS) are to support research focused on family economic self-sufficiency in American Indian and Alaska Native (AI/AN) communities. The objectives of the Making State TANF Data More Comparable are to explore ways

to increase the usefulness of state TANF data by examining proposed methods to adjust for client and contextual differences.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for Children and Families (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, nonprofit and for-profit organizations (if fee is waived), and faith- and community-based organizations. Grants or cooperative agreements cannot be made directly to individuals or sole proprietorships.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Unemployed; Welfare Recipient; Low Income; Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to cooperate when the project involves the use of other agencies, facilities, or services. Nonprofit organizations must submit proof of nonprofit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

ASSISTANCE LISTINGS DESCRIPTIONS

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 365 days. Generally, solicited grants and cooperative agreements will be acted upon within 120 days.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals for disputes not related to unsuccessful applications may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Recipients are often required to share in the cost of projects. Cost sharing may range up to 25 percent of the total approved project cost for grants or cooperative agreements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: other

Reports:

Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see:

https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 . 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1552-0-1-609.

Obligations:

Cooperative Agreements FY 20 \$492,532.00; FY 21 est \$491,643.00; FY 22 est \$491,643.00; Cooperative Agreements FY 20 \$294,278.00; FY 21 est \$224,556.00; and FY 22 est \$0.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$3,500,000.00; and FY 22 est \$3,500,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$900,000.00; and FY 22 est \$0.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$200,000.00; and FY 22 est \$200,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$500,000.00; and FY 22 est \$0.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$499,514.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

For FY20, 9 grants were awarded: * 5 new grants in the Family Self-Sufficiency Resource Consortium, between \$93,517 and \$100,000, averaging \$98,506.4* 4 new grants in the Career Pathways follow-up Studies, between \$70,000 and \$75,000, averaging \$73,569.60

TAFS Codes:

75-1552.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Several new grants were awarded in 2020, to include a new cohort of 5 Family Self-Sufficiency Research Consortium Scholars Network awards, and 4 Career Pathways Secondary Analysis grants Fiscal Year 2021: It is anticipated that the 5 Family Self-Sufficiency Research Consortium Scholars and 3 of the Career Pathways Secondary Analysis grants will be renewed. Several new grants are planned; 20 Family Self-Sufficiency Demonstration Development grants, and a grant titled Making State TANF Data More Comparable. In addition, funding will be added to the Tribal Research Center on Early Childhood Development and Systems (TRECS) grant to support the grantees study of economic self-sufficiency and its impact on child development for American Indian and Alaska Native (AIAN) families. Fiscal Year 2022: It is anticipated that the 5 Family Self-Sufficiency Research Consortium Scholars, 20 Family Self-Sufficiency Demonstration Development grants, and the TRECS grant will be renewed. No new grant programs are anticipated at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Financial Specialist and Budget Officer Sheila M Celentano,
330 C St SW., 4625A,
Washington DC 20201

Email: Sheila.Celentano@acf.hhs.gov

Phone: 202-401-5803

Website Address:

<https://www.acf.hhs.gov/opre>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.647 Social Services Research and Demonstration;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO(s).

93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund

Child Care and Development Fund (CCDF),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, as amended, Title IV, Section 418, 42 USC Code 618. Child Care and Development Block Grant Act of 1990, as amended (42 USC 9857, et seq), , Public Law -

OBJECTIVES:

The Mandatory and Matching portion (or Child Care Entitlement or CCE) of the Child Care and Development Fund (CCDF) program consists of Mandatory funds (which are 100% federal) and Matching funds (which require a State match and maintenance of effort). The Mandatory and Matching funds are made available in section 418 of the Social Security Act (42 U.S.C. 618) and are not subject to annual appropriations. Allocations of the Mandatory Funds are based on a State's Federal share of the expenditures for the now-repealed AFDC-linked child care programs (AFDC/JOB Child Care, Transitional Child Care, and At-Risk Child Care) in 1994 or 1995, or the average of 1992 through 1994, whichever was greater. A State is not required to expend any State funds in order to receive its share of the Mandatory Funds. Previously, the Mandatory and Matching funds provided about \$2.9 billion in federal funding per year for child care. The American Rescue Plan (ARP) Act of 2021 (P. L. 117-2) was enacted March 11, 2021 and appropriated

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\$3,550,000,000 (Section 9801) in mandatory and matching funding for CCDF, which is a permanent annual appropriation effective fiscal year 2021. The appropriation includes \$3.375 billion to States, \$75 million to Territories (previously not eligible), and \$100 million to Tribes. To access Matching Funds, a State must obligate all of its Mandatory Funds allotted in a fiscal year and maintain 100% of the State's share of expenditures for the former programs in fiscal year 1994 or fiscal year 1995, whichever is greater (i.e., maintenance of effort). Matching Funds must be matched at the applicable Federal Medical Assistance Percentage (FMAP) rate, which is the Medicaid Program matching rate. The Matching Funds are distributed based on the number of children under age 13 in a State compared with the national total of children under age 13. Section 9801 of the ARP Act of 2021 (P. L. 117-2) appropriated \$3.375 billion in CCDF Mandatory and Matching funds to States. Because the state Mandatory fund amount remains fixed in law, the \$512,250,000 increase appropriated to states by the ARP Act are awarded in Matching funds. Matching funds are available to states if three conditions are met by the end of the fiscal year in which the funds are awarded: (1) all Mandatory funds are obligated; (2) the states Maintenance-of-Effort funds are expended; and (3) the state provides its share of Matching funds at the FMAP rate. However, states are not required to match the additional funds awarded in section 9801 of the ARP Act in FY 2021 or FY 2022. Section 9801 of the ARP Act appropriated CCDF Mandatory funds to territories for the first time, including American Samoa, Guam, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and the Virgin Islands of the United States. Mandatory funds to territories are allotted based on the number of children under age five living in territories and per capita income in the territories. Territory Mandatory funds are not subject to any matching requirements. Territory Mandatory funds must be obligated in the fiscal year that they are awarded and liquidated in the following year. Not less than 1%, but not more than 2% of the total Mandatory and Matching Funds are reserved for Tribes and tribal organizations based on the number of children living on or near Tribal reservations or other appropriate area served by the tribal recipient. Tribes and tribal organizations are not required to provide matching funds. Prior to the ARP Act, Tribal lead agencies received a proportion of the child care funds appropriated under Section 418 of the Social Security Act. Section 9801 of the ARP Act of 2021 (P. L. 117-2) amended the Social Security Act to explicitly appropriate \$100 million in Mandatory funds to Tribal lead agencies. This increases the initial FY 2021 Mandatory funds awarded to Tribal lead agencies by 70 percent. Otherwise, the requirements for Tribal Mandatory funds remain the same. Mandatory funds to Tribes are allocated based on the number of children under the age of 13 in a Tribes service area.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Title IV of the Social Security Act appropriates funds (Mandatory and Matching Funds) for the purpose of providing child care assistance. Lead Agencies must use Mandatory and Matching Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the CCDBG Act.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; State; U.S. Territories and possessions; Eligibility: All 50 States, the District of Columbia, and Federally recognized Tribal Governments, including Alaskan Native Corporations. Section 9801 of the ARP Act of 2021 (P. L. 117-2) provides CCDF Mandatory funds to territories for the first time, including American Samoa, Guam, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and the Virgin Islands of the United States.

Beneficiary Eligibility:

Individual/Family; Infant (0-5); Child (6-15); Low Income; Children under age 13 (or, at the option of the Lead Agency, up to age 19, if physically or mentally incapable of self-care or under court supervision), who (1) reside with a family whose income does not exceed 85% of the State median income (SMI) for a family of the same size, and (2) who reside with a parent (or parents) who is working or attending job training or educational program, who or are in need of, or are receiving protective services. A Lead Agency shall re-determine a child's eligibility for child care services no sooner than 12 months following the initial determination or most recent re-determination. Once determined eligible, children are expected to receive a minimum of 12 months of child care services, unless family income rises

above 85% SMI or, at Lead Agency option, the family experiences a non-temporary cessation of work, education, or training.

Credentials/Documentation:

Lead Agencies must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the recipient will comply with the requirements of the CCDBG Act and all applicable Federal law(s). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Each recipient must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Mandatory and Matching Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. Please see Information Memorandum for ARP Act Increased Mandatory and Matching Funds (CCDF-ACF-IM-2021-04) at <https://www.acf.hhs.gov/oc/policy-guidance/arp-act-increased-mandatory-and-matching-funds>.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Lead Agency desiring to receive an allotment for a fiscal year is required to submit a three-year CCDF plan to the Administration for Children and Families, as well as financial and other information necessary for the grants process. Each plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the Child Care and Development Block Grant Act (CCDBG). The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the amended CCDBG; an outline of the proposed use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99.

Award Procedure:

Grants are awarded after the receipt and approval of an application and plan by the Administration for Children and Families.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The Administration for Children and Families will review the CCDF plans for approval and will act on the plans within 90 days.

Appeals:

Other. Guidelines for appealing disapprovals of CCDF plans are specified in regulations at 45 CFR Part 99.

Renewals:

Other. CCDF plans must be submitted every three years.

Formula and Matching Requirements:

Statutory Formula: Title n/a, Chapter n/a, Part n/a, Subpart n/a, Public Law n/a. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. Statutory/Regulatory Formula: Section 418 of the Social Security Act; Title 45 CFR, Part 98.55. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. If Matching Funds are requested, Mandatory Funds must be obligated by the end of the first fiscal year. There is no time limit on liquidation of Mandatory Funds and no time

ASSISTANCE LISTINGS DESCRIPTIONS

limit on obligation of Mandatory Funds if no Matching Funds are requested. Matching Funds must be obligated by the end of the first fiscal year and liquidated by the second fiscal year. State funds expended toward the Maintenance of Effort requirement must be both obligated and expended by the end of the first fiscal year. Mandatory and Matching Funds awarded to Tribes and tribal organizations must be obligated by the end of the second fiscal year and liquidated by the end of the third fiscal year. Method of awarding/releasing assistance: quarterly

Reports:

As specified in 45 CFR Part 98, States must report to the Administration on Children and Families on an annual basis aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. Tribes must also provide annual aggregate data on children and families served through CCDF. States and Territories must submit annual Quality Progress Reports. For Tribal Lead agencies that have an approved request for construction or major renovation the following reporting requirements apply: Real Property - 1). If requesting funds for major renovation, construction or purchase of facilities, recipients will be required to submit an SF-429 Real Property Status ReportCover Page with Attachment B in the GrantSolutions OLDC system. 2). For facilities that have federal interest, the recipient will be required to submit a SF-429 Real Property Status ReportCover Page with Attachment A on an annual basis. When property with federal interest is no longer needed, the SF-429 Attachment C must be submitted in GrantSolutions OLDC. 3). Please see the ACF Property, Real Property Reporting instructions at https://www.acf.hhs.gov/real-property#book_content_4 and the ACF Property, Disposition instructions for Real Property at https://www.acf.hhs.gov/real-property#book_content_5. States must also submit quarterly expenditure reports. Territories are required to report estimates and expenditures for the Discretionary Fund only on a quarterly basis. States, DC, and Puerto Rico are required to measure, calculate, and report improper authorizations for payments as well as identify strategies for reducing future improper authorizations for payments. One-third of the 50 States, DC, and Puerto Rico must report annually on a three-year cycle. Tribes report expenditures for the Tribal Mandatory, Discretionary, and Construction/Renovation funds. Monitoring is in accordance with 45 CFR 98.90. Performance monitoring is in accordance with 45 CFR 98.90.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 . 45 CFR Part 75 Subpart F applies to this program.

Records:

Lead Agencies and subrecipients must retain all CCDF records for three years from the day the Lead Agency or subrecipient submits the Financial Reports as required by the CCDF regulations at 45 CFR 98.90. The Tribal Lead Agency must retain all records pertinent to the construction or renovation of a facility for a period equal to the period of the recipient's use of the facility plus 3 years (after final disposition), or for 3 years after the Tribe makes final payment and all pending matters (including any ongoing audits, claims, or litigation) are completed or closed, whichever is later.

Account Identification:

75-1550-0-1-609.

Obligations:

Formula Grants FY 20 (\$1,465,477,296.00); FY 21 est (\$953,227,296.00); FY 22 est (\$953,717,296.00); Formula Grants FY 20 \$0.00; FY 21 est \$75,000,000.00; and FY 22 est \$75,000,000.00; Formula Grants FY 20 \$58,340,000.00; FY 21 est \$100,000,000.00; and FY 22 est \$100,000,000.00; Project Grants FY 20 \$29,170,000.00; FY 21 est \$33,260,000.00; and FY 22 est \$33,750,000.00;

Range and Average of Financial Assistance:

For States, including DC, the range of grants in FY 2020 is: \$5,883,253 to \$288,859,396; the average grant is \$55,480,196. For 248 Tribal recipients, the range of grants in FY 2020 is: \$3,987 to \$8,071,773; the average grant is \$235,242. These figures are not inclusive of funds received through CFDA 93.575.

TAFS Codes:

75-1550.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Awarded grants to 50 States, the District of Columbia, 248 Tribes and other recipients (research and TA). Fiscal Year 2021: Awarded grants to 50 States, the District of Columbia, 248 Tribes, five Territories (first time and permanent) and other recipients (research and TA). Fiscal Year 2022: Grants will be awarded to 50 States, the District of Columbia, 248 Tribes, five Territories and other recipients (research and TA).

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

None. A list of Office of Child Care Regional Program Managers is located at:<https://www.acf.hhs.gov/occ/resource/regional-child-care-program-managers>

Headquarters Office:

Andrew Williams,
330 C Street SW,
Washington DC 20201
Email: Andrew.Williams@acf.hhs.gov
Phone: 202-401-4795

Website Address:

<https://www.acf.hhs.gov/occ>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.575 Child Care and Development Block Grant;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.597 Grants to States for Access and Visitation Programs

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV, Part D, Section 469B, 42 USC Code 669b.

OBJECTIVES:

The purpose of this program is to enable States to create programs which support and facilitate access and visitation by non-custodial parents with their children. Activities may include mediation, counseling, education, development of parenting plans, visitation enforcement and development of guidelines for visitation and alternative custody arrangements.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

A State to which a grant is made under this section may not use the grant to supplant expenditures by the State for allowable activities, but must use the grant to supplement such expenditures at a level at least equal to the level of such expenditures for fiscal year 1995.

Applicant Eligibility:

U.S. Territories and possessions; All States, the District of Columbia, Puerto Rico, Virgin Islands and Guam.

Beneficiary Eligibility:

Individual/Family; Custodial and non-custodial parents.

Credentials/Documentation:

Documentation that the Governor has designated a single State agency to represent the State in carrying out this responsibility. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45

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CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Applications are submitted every three years using the standard application form, as furnished by the Department of Health and Human Services. Application kits which contain the prescribed information on the access and visitation grant program are available from: The Office of Child Support Enforcement, Administration for Children and Families, 5th Floor, 330 C. Street, SW., Washington DC 20201.

Award Procedure:

Each state and territory that submits an application is reviewed. If the proposed activities meet the requirements in the program authorization and regulations, then it is funded based on the formula in the statute. The statute addresses the funding ceilings, how allotments are determined by formula, funding restrictions, and administration requirements: Sec. 469B. [42 U.S.C. 669b](a) In General The Administration for Children and Families shall make grants under this section to enable States to establish and administer programs to support and facilitate noncustodial parents access to and visitation of their children, by means of activities including mediation (both voluntary and mandatory), counseling, education, development of parenting plans, visitation enforcement (including monitoring, supervision and neutral dropoff and pickup), and development of guidelines for visitation and alternative custody arrangements. (b) Amount of Grant The amount of the grant to be made to a State under this section for a fiscal year shall be an amount equal to the lesser of 1.90 percent of State expenditures during the fiscal year for activities described in subsection (a); or 2. the allotment of the State under subsection (c) for the fiscal year. (c) Allotments to States 1. In general The allotment of a State for a fiscal year is the amount that bears the same ratio to \$10,000,000 for grants under this section for the fiscal year as the number of children in the State living with only 1 biological parent bears to the total number of such children in all States. 2. Minimum allotment The Administration for Children and Families shall adjust allotments to States under paragraph (1) as necessary to ensure that no State is allotted less than (A) \$50,000 for fiscal year 1997 or 1998; or (B) \$100,000 for any succeeding fiscal year. (d) No Supplantation of State Expenditures A State to which a grant is made under this section may not use the grant to supplant expenditures by the State for activities specified in subsection (a), but shall use the grant to supplement such expenditures at a level at least equal to the level of such expenditures for fiscal year 1995. (e) State Administration Each State to which a grant is made under this section 1. May administer State programs funded with the grant directly or through grants to or contracts with courts, local public agencies, or nonprofit private entities; 2. Shall not be required to operate such programs on a statewide basis; and 3. Shall monitor, evaluate, and report on such programs in accordance with regulations prescribed by the Secretary. Official notice of approved grant applications is made through issuance of a Notice of Award (NoA). This provides the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period, and the total grantee participation. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 120 days.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable. Extensions and continuations of grants are not available.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter N/A, Part D, Subpart Section 469B, Public Law Social Security Act. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. 10 percent of the total award. The award amount is based on the number of children in a State living with one biological parent as determined by the Census Bureau. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are awarded for a two-year project period. Method of awarding/releasing assistance: other

Reports:

Annual reports are required. Reports are required. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is annual. For more information, see:

<https://www.acf.hhs.gov/grants/post-award-requirements> Expenditure reports are required using the SF-425 Federal Financial Report (FFR). The frequency of reporting is semi-annual. For more information, see:

<https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Monitoring is done when the progress reports are reviewed for accuracy and completeness.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1501-0-1-609.

Obligations:

Formula Grants FY 20 \$10,000,000.00; FY 21 est \$10,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

The amount of funding provided to each grantee is based on an allocation formula with a designated minimum amount, as provided in the program statute.

TAFS Codes:

75-1501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: OCSE awarded 54 grants in FY 2020. Fiscal Year 2021: OCSE anticipates awarding 54 grants in 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program regulations are in 45 CFR Part 303, Standards for Program Operations. Section 303.109 (a) Monitoring. The State must monitor all programs funded under Grants to States for Access and Visitation Programs to ensure that the

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programs are providing services authorized in section 469B(a) of the Act, are being conducted in an effective and efficient manner, are complying with Federal evaluation and reporting requirements, and contain safeguards to insure the safety of parents and children. Section 303.109 (b) Evaluation. The State: 1. May evaluate all programs funded under Grants to States for Access and Visitation Programs; 2. Must assist in the evaluation of significant or promising projects as determined by the Secretary; Section 303.109 (c) Reporting. The State must: 1. Report a detailed description of each program funded, providing the following information, as appropriate: service providers and administrators, service area (rural/urban), population served (income, race, marital status), program goals, application or referral process (including referral sources), voluntary or mandatory nature of the programs, types of activities, and length and features of a completed program; 2. Report data including: the number of applicants/referrals for each program, the total number of participating individuals, and the number of persons who have completed program requirements by authorized activities (mediation--voluntary and mandatory, counseling, education, development of parenting plans, visitation enforcement--including monitoring, supervision and neutral. drop-off and pickup) and development of guidelines for visitation and alternative custody arrangements; and 3. Report the information required in paragraphs (c)(1) and (c)(2) of this section annually, at such time, and in such form, as the Secretary may require.

Regional or Local Office:

None.

Headquarters Office:

Senior Program Manager Michael Hayes,
330 C. Street S.W.,
Washington DC 20201
Email: Michael.Hayes@acf.hhs.gov
Phone: 202 401-5651

Website Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.563 Child Support Enforcement;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.598 Services to Victims of a Severe Form of Trafficking

Trafficking Victim Assistance Program (TVAP), and the National Human Trafficking Hotline (NHTH),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

22 U.S.C. 7105(b)(1)(B) (Section 107(b) of the Trafficking Victims Protection Act of 2000, as amended by Section 4(a)(2)(B)(ii) of the Trafficking Victims Protection Act of 2003; and Section 603 of the Justice for Victims of Trafficking Act of 2015); 22 U.S.C. 7104(b) (Section 106(b) of the Trafficking Victims Protection Act of 2000).

OBJECTIVES:

Under the Services to Victims of a Severe Form of Trafficking, the Office on Trafficking in Persons (OTIP) has established the Trafficking Victim Assistance Program (TVAP) and the National Human Trafficking Hotline (NHTH) to build health and human service capacity to respond to human trafficking. The primary purpose of TVAP is to efficiently fund time-limited comprehensive case management services on a per capita basis to foreign national victims of a severe form of trafficking in persons and potential victims of trafficking seeking the U.S. Department of Health and Human Service (HHS) certification so they can reestablish their ability to live independently. Each TVAP Notice of Funding

Opportunity (NOFO) was divided into ACF regions, specifically regions 14, regions 58, and regions 910. The NHTH provides 24/7, yearlong, timely, person-centered, trauma-informed, culturally responsive, and linguistically appropriate emergency assistance and information to potential domestic and foreign victims of sex and labor trafficking. Under the NHTH, the following activities are required: operate the NHTH, a 24/7 U.S. national hotline that receives signals through telephone, text, chat, and website; promote NHTH services to increase the identification and protection of victims of severe forms of human trafficking; provide information and service referrals to victims of trafficking using a trauma-informed, person-centered, culturally responsive, and linguistically appropriate approach, and in a timely manner; and notify law enforcement agencies of potential cases of human trafficking, as well as instances when a trafficking victim is in imminent danger, and document emerging trafficking schemes to assist in the detection and investigation of trafficking cases. The NHTH must respond to and track calls and texts in a timely manner; operate website and respond to online signals; maintain national coverage to serve the U.S. and all U.S. territories; develop and maintain a referral database; and raise awareness about NHTH and resources.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

The Administration for Children and Families (ACF) leads HHS's efforts to combat human trafficking and modern forms of slavery by administering anti-trafficking programs through grants and contracts and collaborating with federal, state, tribal, and local government and non-government organizations. OTIP is responsible for the overall leadership of anti-trafficking programs and services under the purview of ACF, including, but not limited to implementing provisions of relevant federal legislation and advising the Assistant Secretary in the development of anti-trafficking strategies, policies, and programs. The TVPA of 2000, as amended, and subsequent reauthorizations authorize HHS to assist foreign national and domestic (United States citizen and lawful permanent resident) victims of human trafficking through a number of efforts including screening and identifying victims; providing victims benefits and services; conducting research; training for the identification of trafficking survivors; and raising awareness and preventing human trafficking. Funds may be used only for the purpose set forth in the NOFOs. NHTH funding may be used to support the operation of a dedicated, toll-free, 24-hour, seven days a week, every day of the year U.S. national telephone and online communication system staffed by trained and experienced human trafficking advocates that provide services and assistance to victims of severe forms of labor and sex trafficking in persons. No funds made available through NHTH may be used to promote, support, or advocate the legalization or practice of prostitution. Nothing in the preceding sentence shall be construed to preclude assistance designed to promote the purposes of the TVPA by ameliorating the suffering of, or health risks to, victims while they are being trafficked or after they are out of the situation that resulted from such victims being trafficked. No funds may be paid for international travel. TVAP funding may be used to fund time-limited comprehensive case management services on a per capita basis to foreign national victims of a severe form of trafficking in persons and potential victims of trafficking seeking the Health and Human Services (HHS) certification so they can re-establish their ability to live independently. No funds made available through TVAP may be used to promote, support, or advocate the legalization or practice of prostitution. Nothing in the preceding sentence shall be construed to preclude assistance designed to promote the purposes of the TVPA by ameliorating the suffering of, or health risks to, victims while they are being trafficked or after they are out of the situation that resulted from such victims being trafficked. No funds may be paid for international travel. As referenced in 45 CFR 75.216(b), HHS funds are prohibited from being paid as profit to any recipient even if the recipient is a commercial organization. Profit is defined as any amount in excess of allowable direct and indirect costs. Consistent with 45 CFR 75.435(g) recipients may not use these funds to act as attorneys of record, or to provide legal services for victims of trafficking or possible victims of trafficking, in the prosecution of claims against the Federal Government. This includes including appeals of final HHS agency decisions. As to costs incurred in connection with any civil or administrative proceeding that is or has been commenced by the Federal Government (or a State, local government, or foreign government) please see detailed provisions at 45 CFR 75.435 (b) (e). As a result of the expansion of OVC funding to include both pre-

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certified and certified foreign national victims, TVAP lead agencies and subrecipients with OVC funding will no longer be able to serve certified foreign national victims with TVAP funds effective in the beginning of the FY19 funding cycle and beyond.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Non-Government - General; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; State; NOFOs under this program are unrestricted and open to any of the following entities; city or township governments; special district governments; independent school districts; public and state controlled institutions of higher education; Native American tribal governments (federally recognized); public housing authorities/Indian housing authorities; Native American tribal organizations (other than federally recognized tribal governments); nonprofits having a 501(c)(3) status with the IRS other than institutions of higher education; nonprofits without 501(c)(3) status with the IRS other than institutions of higher education; private institutions of higher education; for-profit organizations other than small businesses; and small businesses.

Beneficiary Eligibility:

Individual/Family; Minority group; Migrant; Black; Spanish Origin; Asian; Other Non-White; Women; These discretionary grants will benefit U.S Citizens, legal permanent residents, and foreign national victims of human trafficking and those vulnerable to trafficking as well as health care and social service agencies working with vulnerable populations, other interested persons, and the general public.

Credentials/Documentation:

Proof of Nonprofit Status is required for 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the Administration for Children and Families, Office of Grants Management or review the FOA, as appropriate, for application deadlines.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Awards are made for 3-year project periods. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are generally made available for 3-year project periods with funding on an annual basis. Starting in FY21, the NHTH was made available for a 5-year project period. In the first year of the project, grants will be awarded on a competitive basis. Grant applications will be considered on a noncompetitive basis for years two and three subject to availability of funds, satisfactory progress of the recipient, and a determination that continued funding would be in the best interest of the Federal Government. Method of awarding/releasing assistance: other

Reports:

Performance progress reports are required on a quarterly or semi-annual basis. Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required 90 days after the end of the project period. Federal Financial Reports (SF-425) are due on semi-annual basis and a financial report is due to the HHS Division of Payment Management on a quarterly basis. Final financial reports are also required 90 days after the end of the project period. Performance monitoring will be conducted by desk monitoring and on-site visits. Additionally, recipients are expected to participate in cluster meetings, and other training and technical assistance opportunities. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting is quarterly. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance progress reports are required on a quarterly basis for TVAP and semi-annually for the NHTH. Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required 90 days after the end of the project period. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1503-0-1-609.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$4,436,500.00; FY 21 est \$3,500,000.00; FY 22 est \$4,000,000.00; Cooperative Agreements (Discretionary Grants) FY 20 \$5,353,349.00; FY 21 est \$7,500,000.00; FY 22 est \$5,500,000.00;

Range and Average of Financial Assistance:

In FY 21, total funding for the NHTH grant was \$3,500,000. In FY 21 TVAP regions 1-4, the total funding amount for one cooperative agreement was \$3,182,000. In FY 21 TVAP regions 5-8, the total funding amount for one

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cooperative agreement was \$4,318,000. In FY 21 TVAP regions 9-10, the total funding amount for one cooperative agreement was \$1,962,212.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 1 new grant for the NHTH and 3 non-competing continuation TVAP grants were awarded. Fiscal Year 2021: OTIP continued funding 1 new grant through the NHTH and 3 non-competing continuations for TVAP. Fiscal Year 2022: OTIP will continue funding 1 NHTH grant, and proposes to fund 3 new awards through TVAP.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director, Office on Trafficking in Persons Carolyn Hightower,
330 C. Street, SW., 4th Floor,
Washington DC 20201
Email: Carolyn.Hightower@acf.hhs.gov
Phone: (202) 401-9253

Website Address:

<https://www.acf.hhs.gov/otip>

RELATED PROGRAMS:

16.320 Services for Trafficking Victims; 93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.676 Unaccompanied Alien Children Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFOs.

93.599 Chafee Education and Training Vouchers Program (ETV)

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, as amended, Title IV, Part E, Section 477(i)

OBJECTIVES:

To provide resources to states and eligible Indian tribes to make available vouchers for postsecondary training and education to youth who have experienced foster care at age 14 or older, who have aged of foster care, or who have been adopted or left for kinship guardianship from the public foster care system after age 16.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used to provide vouchers for postsecondary education and training to youth otherwise eligible for services under the state's or tribe's Chafee program. Vouchers provided to individuals may be used for the cost of attending an institution of higher education (as defined in section 472 of the Higher Education Act) and shall not exceed the lesser of \$12,000 per grant year for fiscal years 2021 and 2022 or the total cost of attendance as defined in section 472 of the Higher Education Act. Participation in the program is limited to five years whether or not the years are consecutive.

Applicant Eligibility:

State; U.S. Territories and possessions; Federally Recognized Indian Tribal

Governments; State governments, including the 50 states, the District of Columbia, Puerto Rico, and U.S. Virgin Islands (hereafter "states"), Guam and American Samoa, and eligible Indian tribes, Indian tribal organizations, or Indian tribal consortia (hereafter "tribes") with an approved plan.

Beneficiary Eligibility:

Youth (16-21); Youth and young adults (14 - 26) who have experienced foster care at age 14 or older, youth who leave foster care for adoption or kinship guardianship at age 16 or older, and youth who aged out of foster care. Youth otherwise eligible for the John H. Chafee Foster Care Program for Successful Transition to Adulthood (Chafee program) may receive vouchers. Eligible youth may receive vouchers up until their 26th birthday, provided they are making satisfactory progress toward completing their course of study or training, but may receive vouchers for no more than five years.

Credentials/Documentation:

No credentials or documentation required. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applications must include the information and assurances required from the state or tribe. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must include the information and assurances required from the state or tribe. A 5-year state or tribal plan must be submitted with annual updates (see ACF-PI-20-14). The plan must coordinate the provision of services under the state's or tribe's Education and Training Voucher Program with services under other Federal or Federally-assisted programs serving the same population. Plans are submitted to the appropriate Regional Child Welfare Program Manager in the appropriate ACF Regional Office.

Award Procedure:

Funds are disbursed through the U.S. Department of Health and Human Services Payment Management System (PMS) on a quarterly basis for up to the amount of one-fourth of the state or tribe's annual allotment.

Deadlines:

The plan or its annual update is due June 30 of each year.

Range of Approval/Disapproval Time:

Other. The agency plan or its annual update is due June 30 of each year, and will be approved or disapproved by September 30th of each year.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter 7, Part E, Subpart 477, Public Law Pub. L. 74-271. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The Federal government will pay 80 percent of the total amount of funds expended by the grantee up to the amount of Chafee Education and Training Voucher Program funds allocated. The grantee must provide matching contributions to cover the additional 20 percent of the costs. Division X funds do not require any match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Formula grants are awarded annually. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Annual updates to the state or tribe plan. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). An SF-425 Federal Financial Report (FFR) must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal Property Reports: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$45,771,858.00; FY 21 est \$92,608,145.00; FY 22 est \$47,533,145.00;

Range and Average of Financial Assistance:

FY 2020 States: \$13,637 to \$5,094,431 with an average of \$803,196.55 per state. FY 2020 Tribes: \$2,025 to \$13,875 with an average of \$6,454.67 per tribe.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fifty-nine grants were awarded to states (including the District of Columbia, Puerto Rico, and the Virgin Islands) and to eligible tribes. Fiscal Year 2021: Fifty-nine grants were awarded to states (including the District of Columbia, Puerto Rico, and the Virgin Islands) and to eligible tribes. The Consolidated Appropriations Act, 2021 (P.L. 116-260) was enacted into law on December 27, 2020 and included Division X, the Supporting Foster Youth and Families through the Pandemic Act. Division X contained additional, supplemental or enhanced funding for several programs authorized under titles IV-B and IV-E of the Act. For the Education and Training Voucher Program it provided an additional \$50 million to states and eligible tribes. The law also provided certain flexibilities in program requirements that remained in effect through September 30, 2021. It also increased the maximum amount of voucher award to \$12,000 for fiscal years 2021 and 2022. Please see Program Instruction ACYF-CB-PI-21-04 for more information. Therefore, FY 2021 grant award information will differ from other years.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following Program Instructions (PI) apply to this program: ACYF-CB-PI-20-13, ACYF-CB-PI-20-14, and ACYF-CB-PI-21-04.

Regional or Local Office:

None. Contact Children's Bureau Regional Program Managers

Headquarters Office:

Child Welfare Program Specialist Catherine Heath,
330 C Street SW, Room 3508B,
Washington DC 20201

Email: Catherine.Heath@acf.hhs.gov

Phone: 202-690-7888

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.645 Child Welfare Services_State Grants; 93.556 Promoting Safe and Stable Families; 93.674 Chafee Foster Care Independence Program; 93.658 Foster Care_Title IV-E;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States and eligible tribes who submit an approvable plan will receive funds under this program.

93.600 Head Start

Head Start,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007, , Public Law 110-134, 42 USC Code 9831.

OBJECTIVES:

The objectives are to promote school readiness by enhancing the social and cognitive development of low-income children, including children on federally recognized reservations and children of migratory farm workers, through the provision of comprehensive health, educational, nutritional, social and other services; and to involve parents in their children's learning and to help parents make progress toward their educational, literacy and employment goals. Head Start also emphasizes the significant involvement of parents in the administration of their local Head Start programs. Through Early Head Start programming, the focus includes the development of children, and the enabling of parents to fulfill their roles while moving toward self-sufficiency. These programs promote the physical, cognitive, social, and emotional development of infants and toddlers through safe and developmentally enriching caregiving, preparing children for continued growth and development and eventual success in school and life. To achieve their goals, programs are supported by a three-tier training and technical assistance system (national, regional, and local) designed to complement one another. Objectives of the training and technical assistance system includes expanding staff qualifications, and improving management systems and learning environments.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants, Project Grants (with Formula Distribution)

USES AND USE RESTRICTIONS:

At least 90 percent of the enrollees in a program must be income eligible; i.e. from families whose income is below the poverty line, from families receiving public assistance, from homeless families or children in foster care. Programs may serve an additional 35 percent of participants with incomes up to 130 percent of poverty if they can demonstrate that they already are meeting the needs of children below the poverty line in the area served. American Indian and Alaska Native programs may enroll additional over-income children if they enroll all children in their service area that are income eligible and predominantly serve children meeting the low income criteria. Training and technical assistance grants are available to Head Start programs. CARES Act and FY2021 COVID-19 supplemental funding may be used by recipients for prevention, preparation, and/or response to the coronavirus. Examples of expenses include mental health and crisis support services; additional classroom staffing to support social distancing requirements; and supplies and contracted services for sanitation and cleaning of classrooms and equipment, including vehicles. Funds distributed by the American Rescue Plan are prioritized to support in-person services. This includes summer programming for rising kindergartners, children with disabilities, children experiencing food or housing

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insecurity, and children who were not able to receive any in-person services during the 2020-2021 program year.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Any government, federally-recognized Indian tribe, or public or private nonprofit or for profit agency which meets the requirements listed in a Notice of Funding Opportunity (NOFO) may apply for a grant. However, applications will be considered only when submitted in response to a specific opportunity, published at the following website address: <http://www.grants.gov>, which solicits proposals to expand Head Start/Early Head Start programs or establish new ones. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards. All recipients providing direct services to children and families were eligible to receive CARES Act, FY21 COVID-19 Supplemental, and American Rescue Plan Act funding .

Beneficiary Eligibility:

Individual/Family; Minority group; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Refugee/Alien; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Preschool; Infant (0-5); Welfare Recipient; Low Income; Education (0-8); Head Start/Early Head Start programs are for children from birth up to the age when the child enters the school system; however, Head Start programs only serve pre-school age children while Early Head Start programs serve children from birth through age three as well as pregnant women. The Early Head Start-Child Care Partnership programs are expanding access to high quality early learning and development opportunities for infants and toddlers from birth through age four. No less than 10 percent of each Head Start program's enrollment shall be for children with disabilities.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 75 as applicable of the Code of Federal Regulations. For profit Head Start recipients must comply with subparts A through E of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov> Recipients applied for CARES Act, FY2021 COVID-19 supplemental, and American Rescue Plan funds through the Head Start Enterprises System. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

Awards are made to recipients through a competitive process. Applications are scored and ranked by an objective non-federal review panel and the final rankings along with other factors are taken into consideration as OHS makes final funding decisions. Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling.

Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested. Awards are made for a period of five years, during which the recipient is measured by a criteria that could make it eligible for a new five year project. If the criteria is not met, the service area and funding becomes available through competition. Once a decision is made, awards are issued by 10 Regional Offices that are geographically responsible for grants in certain states or territories. Awards to American Indian and Alaska Native, and Migrant and Seasonal programs are issued by the Central Office. Central and Regional Offices issued awards for CARES Act, FY2021 COVID-19 supplemental relief, and American Rescue Plan allocations to recipients for amounts proportionate to their total federal funded enrollment levels.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. This, depending on the nature of the proposal, can vary considerably from 90 days to as much as several months.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

From 90 to 120 days. OHS Headquarters or Regional Offices informs recipients of the application procedures for renewal. Non-competing continuations are issued based on availability of an appropriation, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. Recipients are required to meet a 20% non-federal share match requirement under section 640(b) of the Head Start Act. However, programs can request to waive the non-federal share match, if necessary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for a 12-month budget period and are released periodically based on recipient expenditures. Method of awarding/releasing assistance: other

Reports:

Annual information on the types of children served and the services provided is required. If requesting funds for major renovation, construction or purchase of facilities, recipients are required to submit an SF-429 Real Property Status Report Cover Page with Attachment B in the GrantSolutions OLDC system. For facilities that have federal interest, the recipient will be required to submit a SF-429 Real Property Status Report Cover Page with Attachment A on an annual basis. Likewise, recipients who do not have covered real property with federal interest must submit the SF-429 Attachment A No Property form annually. When property with federal interest is no longer needed, the SF-429 Attachment C must be submitted in GrantSolutions OLDC. 3). Please see the ACF Property, Real Property Reporting instructions at https://www.acf.hhs.gov/real-property#book_content_4 and the ACF Property, Disposition instructions for Real Property at https://www.acf.hhs.gov/real-property#book_content_5. If requesting

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funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property-Cover Page must be submitted. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. - If requesting funds to purchase equipment, the recipient must request prior approval. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Every agency is monitored on different aspects of their program throughout their five-year grant. New recipients are monitored after their first year in operation. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. 45 CFR Part 75 Subpart F applies to this program. The Office of Head Start provides the annual Head Start audit supplement, which includes Head Start-specific guidance and procedures. Lastly, there are also audit-type requirements associated with Head Start fiscal monitoring events.

Records:

Recipients must maintain an accounting system adequate to meet the purposes of the grant. Requirements for records are found in 45 CFR Part 75.361-5 as applicable.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants FY 20 \$1,603,116,724.00; FY 21 est \$1,903,087,731.00; FY 22 est (\$1,350,538,102.00); Project Grants (with Formula Distribution) FY 20 \$738,524,100.00; FY 21 est \$11,475,900.00; FY 22 est \$0.00; Project Grants (with Formula Distribution) FY 20 \$0.00; FY 21 est \$250,000,000.00; and FY 22 est \$0.00; Project Grants (with Formula Distribution) FY 20 \$0.00; FY 21 est \$1,000,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Fiscal Year 2020 range of assistance: \$265,150 - \$158,105,088; average award: \$4,636,356 Fiscal Year 2021 range of assistance: \$268,263 - \$160,009,976; average award: \$4,760,441 CARES Act funding range of assistance: \$10,546 - \$10,050,044; average award: \$340,284 CRRSA (Consolidated Appropriations Act, 2021) funding range of assistance: \$4,515 - \$3,188,467; average award: \$156,790 American Rescue Plan funding range of assistance: \$17,948 - \$12,675,721; average award: \$624,162

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Head Start program funded 852,501 early childhood slots in FY 2020 where comprehensive services were provided to children and families. These slots were funded through 2,208 awards. CARES Act supplemental funding was included in awards issued to recipients during FY2020. Fiscal Year 2021: It is estimated that the Head Start program will fund 857,615 early childhood slots in FY 2021 that will provide comprehensive services to children and families. These slots will be funded through approximately 2,200 awards. An additional 1,600 awards will be issued to include FY2021 COVID-19 supplemental and American Rescue Plan funds. Fiscal Year 2022: It is estimated that the Head Start program will fund 906,215 early childhood slots in FY 2022 that will provide comprehensive services to children and families. These slots will be funded through approximately 2,370 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 1305.

Regional or Local Office:

None. Region I (CT, MA, ME, NH, RI, VT): Marina Winkler, Regional Program Manager, Administration for Children and Families, Office of Head Start, Boston Regional Office, JFK Building, Rm. 2000, 15 New Sudbury Street, Boston, MA 02203. Telephone: (617) 565-1150. Region II (NJ, NY, PI, VI): Carolyn Baker-Goode, Regional Program Manager, Administration for Children and Families, Office of Head Start, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 173. Region III (DC, DE, MD, PA, VA, WV): Brenda Hewitt, Regional Program Manager, Administration for Children and Families, Office of Head Start, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4048. Region IV (AL, FL, GA, KY, MS, NC, SC, TN): Maria Goss, Program Operations Division Director, Administration for Children and Families, Office of Head Start, Atlanta Regional Office, Atlanta Federal Center, 61 Forsyth Street, SW, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (312) 886-4961. Region V (IL, IN, MI, MN, OH, WI): Karen McNamara, Acting Regional Program Manager, Administration for Children and Families, Office of Head Start, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-2571. Region VI (AR, LA, NM, OK, TX): Kenneth Gilbert, Regional Program Manager, Administration for Children and Families, Office of Head Start, Dallas Regional Office, 1301 Young Street, Room 914, Dallas, TX 75202. Telephone: (214) 767-8844. Region VII (IA, KS, MO, NE): Clarence Small, Regional Program Manager, Administration for Children and Families, Office of Head Start, Kansas City Regional Office, 601 East 12th Street, Rm. 276, Kansas City, MO 64106. Telephone: (816) 426-2275. Region VIII (CO, MT, ND, SD, UT, WY): Cheryl Lutz, Regional Program Manager, Administration for Children and Families, Office of Head Start, Denver Regional Office, 1961 Stout Street, Suite 924, Denver, CO 80294. Telephone: (303) 844-1171. Region IX (AZ, CA, HI, NV): Cynthia Yao, Regional Program Manager, Administration for Children and Families, Office of Head Start, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8451. Region X (AK, ID, OR, WA): Kathy Schuknecht, Regional Program Manager, Administration for Children and Families, Office of Head Start, Seattle Regional Office, 701 5th Avenue, Suite 1510 MS-76, Seattle, WA 98104. Telephone: (206) 615-2618.

Headquarters Office:

Director, Division of Policy and Planning Colleen Rathgeb,
330 C Street SW, Washington, DC 20201,
Washington DC 20024
Email: colleen.rathgeb@acf.hhs.gov
Phone: 202-205-7378

Website Address:

<https://acf.hhs.gov/ohs>

RELATED PROGRAMS:

93.356 Head Start Disaster Recovery;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO(s).

93.602 Assets for Independence Demonstration Program

AFI Program,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Community Opportunities, Accountability, And Training And Educational Services Act Of 1998, Title IV, Section 414(d), Public Law 105-285, 42 USC

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Code 604 note..

OBJECTIVES:

No funds were appropriated for the Assets for Independence (AFI) program since federal fiscal year 2017, therefore, the Administration of Children and Families (ACF) will not be making new grant awards for the AFI program. The statutory purposes for the AFI program, as stated in Section 403 of the AFI Act, are to provide for the establishment of demonstration projects designed to determine: (1) the social, civic, psychological, and economic effects of providing to individuals and families with limited means an incentive to accumulate assets by saving a portion of their earned income; (2) the extent to which an asset-based policy that promotes saving for post-secondary education, homeownership, and microenterprise development may be used to enable individuals and families with limited means to increase their economic self-sufficiency; and (3) the extent to which an asset-based policy stabilizes and improves families and the community in which the families live.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary), Training

USES AND USE RESTRICTIONS:

The AFI Act imposes the following limitations on uses of AFI grant funds: Entities that receive AFI grants must reserve at least 85% of the Federal AFI grant amount for matching participants' IDA savings. The recipients may use no more than 15% of the Federal AFI grant amount for all other costs such as administering the project, reporting, and recruiting and enrolling participants; training participants in such areas as financial education, economic literacy, budgeting, and credit; providing supports that assist participants to achieve economic self-sufficiency; and providing information to organizations evaluating the AFI demonstration. Of the 15 percent: 1) Not less than 2 percent of the federal AFI grant funds shall be used for the collection of data and other information required for evaluation. 2) Up to 5.5 percent of federal AFI grant funds may be used for non-administrative functions as follows: assisting participants in the demonstration project in obtaining the skills and information necessary to achieve economic self-sufficiency. 3) Administrative costs, including program management, reporting requirements, recruitment and enrollment of individuals, and monitoring, may be up to 7.5 percent of the federal AFI grant funds. If the non-administrative function costs are less than 5.5 percent, the excess may be used for administrative costs, provided that the combined administrative and non-administrative expenditures do not exceed 13 percent of the federal AFI grant funds.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); This program supports innovative projects administered by national, State-wide, regional and community-based organizations. Eligible applicants are: (1) Private nonprofit organizations that are tax exempt under Section 501(c)(3) of the Internal Revenue Code; (2) State or local governments or agencies or Tribal governments submitting applications jointly with a nonprofit with a 501(c)(3) status; (3) credit unions designated as low-income credit unions by the National Credit Union Administration; or an organization designated as a Community Development Financial Institution by the Secretary of the Treasury.

Beneficiary Eligibility:

Individual/Family; Small business; Small Business Person; Welfare Recipient; Low Income; The Assets for Independence Act limits eligibility for participation in AFI-funded projects to individuals and families with the following characteristics: 1) Individuals who are members of households that are eligible to receive support under the Federal Temporary Assistance for Needy Families program; 2) Individuals whose adjusted gross household income is less than twice the Federal poverty line, taking into consideration the number of household members, and whose household net worth as of the end of the prior calendar year was less than \$10,000; and 3) Individuals whose adjusted gross household income enables them to qualify for the Federal Earned Income Tax Credit, taking into consideration the number of household members, and whose household net worth as of the end of the

prior calendar year was less than \$10,000. When determining the net worth of the household, a household's assets shall not be considered to include the primary dwelling unit and one motor vehicle owned by a member of the household.

Credentials/Documentation:

Low Income Credit Unions must submit official documentation that the National Credit Union Administration has designated the organization as such. Community Development Financial Institutions must submit documentation that the Department of the Treasury has designated the organization as such. Private nonprofit organizations that are tax exempt under Section 510(3) of the Internal Revenue Code must provide documentation of nonprofit status. Applications submitted by credit unions and community development financial institutions must demonstrate a strong collaborative relationship with one or more community-based organization(s) that seek to address poverty and the economic needs of community residents. Title 45 CFR Part 75 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards were granted on a competitive basis. Opportunities concerning the availability of funds, requests for applications, along with application forms and assurance documents were posted to <https://www.grants.gov>. No new awards were made for FY 2020 and FY 2021. For FY 2022, no new awards are expected. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

The Director, Office of Community Services makes funding decisions based on recommendations of a panel of experts who review funding proposals. The panel review was based on criteria set forth in the NOFO. No new awards were granted in FY 2019 and FY 2020. In FY 2021, no new awards are expected.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications were normally approved/disapproved and grant awards made within 90 days of application receipt.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

These grants have 5-year budget and project periods. Method of awarding/releasing assistance: other

Reports:

AFI grantees are required to report on project performance using the AFI program-specific Performance Progress Report (PPR) (OMB No. 0970-0483, expiration date 8/31/2019) on a quarterly basis. AFI grantees will submit three short forms and one long form each year. Grantees use Standard Form - Federal Financial Report (SF-425) for financial reporting. Post-award reporting Forms are available at: <https://www.grants.gov/forms/post-award-reporting-forms.html> Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/discretionary-post-award->

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requirements#book_content_1. AFI grantees are required to report on project performance using the AFI program-specific Performance Progress Report (PPR) (OMB No.0970-0483, expiration date 8/31/2022) on a quarterly basis. AFI grantees will submit three short forms and one long form each year. For more information, see: <https://www.acf.hhs.gov/ocs/policy-guidance/afi-resource-guide-performance-progress-report-ppr-overview>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 . 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Training FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The Office of Community Services (OCS) awarded grants for this program ranging up to \$1,000,000. The average grant was approximately \$350,000. New funding for this grant program ended September 30, 2016.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: No awards made. Fiscal Year 2021: No awards are anticipated. Fiscal Year 2022: No awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following regulations apply: Title 45 CFR Part 75 Uniform Administrative requirement, Cost Principles, and Audit requirements for HHS awards, Part 16 - DHHS Grant Appeals Process, Part 76 - Debarment and Suspension from Eligibility For Financial Assistance, Sub part F - Drug Free Workplace Requirements, Part 80 - Non-discrimination Under Programs Receiving Federal Assistance through Department of Health and Human Services Effectuation of Title VI of the Civil Rights Act of 1964, Part 81 - Practice and Procedures for Hearings Under Part 80 of this Title, Part 83 - Regulation for the Administration and Enforcement of Sections 799A and 845 of the Public Health Service Act, Part 84 - Non-discrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance, Part 85 - Enforcement of Non-discrimination on the Basis of Handicap in Programs or Activities Conducted by Department of Health and Human Services, Part 86 - Non-discrimination on the Basis of Sex in Education Programs and Activities Receiving or Benefiting from Federal Financial Assistance, Part 91 - Non-discrimination on the Basis of Age in Health and Human Services Programs or Activities Receiving Federal Financial Assistance, Part 93 - New Restrictions on Lobbying, Part 1000 - Individual Development Accounts.

Regional or Local Office:

None.

Headquarters Office:

Team Leader Rafael J. Elizalde, Program Manager,
Division of Community Discretionary Programs, Office of Community Services,
Administration for Children and Families, Department of Health and Human
Services, 330 C Street, S.W,
Washington DC 20201
Email: rafael.elizalde@acf.hhs.gov
Phone: 202-401-5115
Fax: 202-401-4719

Website Address:

<https://www.acf.hhs.gov/ocs/programs/afi>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications were reviewed in accordance with the criteria specified in the NOFO.

93.603 Adoption and Legal Guardianship Incentive Payments

Adoption and Legal Guardianship Incentive Payments.

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section , Social Security Act, Title IV, Part E, Section 473A and 479B

OBJECTIVES:

The objective of the Adoption and Legal Guardianship Incentives program is to provide incentives to States and eligible Tribes to increase annually the number of children in foster care who find permanent homes through adoption or legal guardianship.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

State and tribal agencies receiving incentive payments must spend the funds for services (including post-adoption services) and activities allowable under Titles IV-B and IV-E of the Social Security Act (the Act). Payments must be used to supplement and not supplant Federal or non-Federal funds for services under title IV-B or IV-E of the Act (see section 473A(f) of the Act).

Applicant Eligibility:

State; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Applications are not required. States (including the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam and American Samoa) and tribes that have an approved title IV-E plan and that submit data to the Adoption and Foster Care Analysis and Reporting System (AFCARS) are eligible to receive payments. The Children's Bureau (CB) shall determine an agency's eligibility for funding based on foster care, adoption and guardianship data reported to AFCARS. AFCARS data must be reported and accepted by CB no later than May 15 of the fiscal year subsequent to the fiscal year in which the adoptions or guardianships were finalized (i.e., the "earning year").

Beneficiary Eligibility:

Individual/Family; Infant (0-5); Child (6-15); Youth (16-21); Beneficiaries are those children and families eligible under Title IV-B and Title IV-E of the Social Security Act, as amended.

Credentials/Documentation:

An approved Title IV-E plan is required to be eligible for funding. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Except for 75.202 and 75.351 through 75.353 of Subpart D of this part, the requirements in Subpart C of this part, Subpart D of this part, and Subpart E of this part do not apply to Foster Care and Adoption Assistance (Title IV-E of the Act, 42 U.S.C. 670-679c). This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45

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CFR Part 75. Except for 75.202 and 75.351 through 75.353 of Subpart D of this part, the requirements in Subpart C of this part, Subpart D of this part, and Subpart E of this part do not apply to Foster Care and Adoption Assistance (Title IV-E of the Act, 42 U.S.C. 670-679c). Applications are not required. States (including the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam and American Samoa) and tribes that have an approved Title IV-E plan and that submit data to the Adoption and Foster Care Analysis and Reporting System (AFCARS) are eligible to receive payments.

Award Procedure:

Incentive payments will be issued annually, in the fiscal year subsequent to the earning year. Payment will be based on AFCARS data submitted no later than May 15 of the fiscal year following the earning year. AFCARS data must be accepted and approved by the Children's Bureau. Adoption and Legal Guardianship Incentive awards are generally made in August or September each year. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

AFCARS data submissions must be received no later than May 15 of each year to be considered for incentive payments.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable. Each "earning year" will be considered on its own merit.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter 7, Part E, Subpart Sections 424, 434, and 474, Public Law Social Security Act. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Payments to a Title IV-E agency in a fiscal year will remain available for use by the Title IV-E agency for 36 months. Method of awarding/releasing assistance: lump sum.

Reports:

Agencies must identify in the Child and Family Services Plan (CFSP) or Annual Progress and Services Report (APSR) as applicable (due June 30 of each year), the services they have provided to children and families as a result of the expenditure of adoption and legal guardianship incentive payments. Should more than one fiscal year's incentive funds be expended during a given reporting period, the report should reflect the services provided and identify the fiscal year's funds expended. Actual expenditures of incentive funds must be reported annually on SF-425, Federal Financial Report (FFR). Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting is annually. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements>. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F

applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$75,000,000.00; FY 21 est \$75,000,000.00; FY 22 est \$75,000,000.00;

Range and Average of Financial Assistance:

FY 2020 earning year totals are not available at this time; prior year earnings are typically calculated during the 4th quarter of the following year. For FY 2019 earning year, 49 states and the District of Columbia earned incentive payments that ranged from \$20,000 to \$7,685,000 with an average award amount of \$1,408,480.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following Program Instruction (PI) applies to this program: ACYF-CB-PI-15-08, issued July 8, 2015.

Regional or Local Office:

None. Contact Children's Bureau Regional Welfare Program Managers

Headquarters Office:

Director, Division of Program Implementation Linda Viales,
330 C Street, SW, Room 3509B,
Washington DC 20201
Email: linda.viales@acf.hhs.gov
Phone: (202)205-5783

Website Address:

<https://www.acf.hhs.gov/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.556 Promoting Safe and Stable Families; 93.659 Adoption Assistance; 93.658 Foster Care_Title IV-E;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.604 Assistance for Torture Victims

Services for Survivors of Torture,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Torture Victims Relief Act of 1998, Title , Part , Section 5 (a), Public Law 105-320, 22 USC Code 2152.

OBJECTIVES:

The Office of Refugee Resettlement (ORR) provides funding and technical support to survivors of torture programs in the United States. The primary objective of the Direct Services for Survivors of Torture (DS SOT) grant program is to provide holistic services using an integrated, strengths-based, and trauma-informed approach to assist survivors in managing their health and rebuilding their lives. A secondary objective is to increase awareness of the needs of survivors, build local service capacity, expand access to services, and promote sustainable, quality care for survivors through education, training, strategic partnerships, and client evaluations. The main objectives of the Technical Assistance to the Survivors of Torture grant program are to: 1) Build the capacity of DS SOT recipients and ORR-funded organizations to provide effective, holistic, and integrated services to

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survivors and their families; and 2) Assess and strengthen the quality and sustainability of services provided by DS SOT recipients to improve effectiveness and ensure availability beyond the project period.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants, Project Grants (Cooperative Agreements or Contracts), Salaries and Expenses

USES AND USE RESTRICTIONS:

The Torture Victims Relief Act (TVRA) of 1998 restricts funding for domestic programs to direct services, research and training. The authorizing legislation uses the definition of torture given in 18 U.S.C 2340(1). Service providers are restricted to serving individuals who meet the eligibility criteria based on this definition.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Other private institutions/organizations; Small business (less than 500 employees); State; Federally Recognized Indian Tribal Governments; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Profit organization; Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards. Eligibility is open to the following types of entities: State governments; county governments; city or township governments; special district governments; independent school districts; public and state controlled institutions of higher education; Native American tribal governments (federally recognized); public housing authorities/Indian housing authorities; Native American tribal organizations (other than federally recognized tribal governments); nonprofits having a 501(c)(3) status with the IRS other than institutions of higher education; nonprofits without 501(c)(3) status with the IRS other than institutions of higher education; private institutions of higher education; for profit organizations other than small businesses; and small businesses.

Beneficiary Eligibility:

Individual/Family; Refugee/Alien; The Services for Survivors of Torture program serves individuals and families, regardless of immigration status, who have suffered torture in a foreign country and are currently residing in the U.S.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The Direct Services grant program is designed to assist survivors of torture in the healing and recovery process by providing access to medical, psychological, legal, and social services which are provided directly by the grantee and indirectly through partner organizations. SOT grantees also provide professional training to local service providers to assist them in identifying and serving survivors of torture. The Technical Assistance grant seeks to ensure that survivors of torture have access to quality and sustainable services by providing trainings, resources, and consultations to direct service grantees and other ORR-funded organizations serving survivors of torture

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on [grants.gov](https://www.grants.gov). Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

ORR requires that appropriate proposals and applications are submitted and that a determination is made that the recipients are the ones that can best perform the services in accordance with 8 U.S.C. 1522(a)(4)(A). Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review

process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in FOA. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested. Applications are reviewed by an independent panel comprised of three reviewers and one chairperson. After the applications are evaluated pursuant to criteria specified in the NOFO, the Director of ORR makes final funding decisions before grant awards are issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions, and a determination that continuation funding is in the best interest of the government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants were awarded on 9/30/2018 for a four-year project period with one-year budget periods. Method of awarding/releasing assistance: other

Reports:

Program Data Points are required on an annual basis. Expenditure reports are required using the SF-425 Federal Financial Reports semi-annually. A final SF-425 Federal Financial Report is required 90 days after the end of the project period. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance progress reports are required using the SF-PPR ACF Performance Progress Report on a semi-annual basis. Final Program Performance Reports summarizing the activities and accomplishments of the project over the entire project period are required 90 days after the end of the project period. For more information, see:

https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. ORR staff review Program Performance Reports and monitor recipients to review the financial and programmatic aspects of the project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

Grant recipients are required to keep all financial and program reports necessary for program review and audit for the project period in accordance with 45 CFR 75.361-5 to ensure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program..

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Account Identification:

75-1503-0-1-609.

Obligations:

Salaries and Expenses FY 20 \$779,302.00; FY 21 est \$796,002.00; FY 22 est \$1,264,238.00; Project Grants (Cooperative Agreements or Contracts) FY 20 \$15,191,119.00; FY 21 est \$16,203,998.00; FY 22 est \$25,735,762.00;

Range and Average of Financial Assistance:

Grant awards range from \$236,457.00 to \$625,000. The average award was 423,722.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: ORR awarded 35 direct service recipients and one technical assistance provider non-competing continuations, as well as 3 direct service recipients and one technical assistance provider supplement awards. Fiscal Year 2021: ORR awarded 35 direct service recipients and one technical assistance provider non-competing continuations. Fiscal Year 2022: It is projected that ORR will award 44 direct service recipients and one technical assistance provider in a new funding cycle.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOFOs are posted on the ACF website and can also be accessed through <https://www.Grants.gov>. Inquiries may be directed to the Contact Information listed below.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Refugee Health Dr. Curi Kim, Director, Division of Refugee Health,
Mary E. Switzer Building 330 C Street, SW,
Washington DC 20201
Email: curi.kim@acf.hhs.gov
Phone: (202) 401-5585
Fax: (202) 401-0981

Website Address:

<https://www.acf.hhs.gov/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are included in the NOFO.

93.605 Family Connection Grants

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV, Part B, subpart 1, Section 427

OBJECTIVES:

This program provides matching grants to state, local, or tribal child welfare agencies, institutions of higher education, and private nonprofit organizations that have experience working with foster children or children in kinship care arrangements for the purpose of helping children who are in, or at risk of entering, foster care to reconnect with family members.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are provided for services or activities that are consistent with the purpose of

Public Law 110-351, Fostering Connections to Success and Increasing Adoptions Act, Section 102 and may include the following: (1) a kinship navigator program to assist kinship caregivers in learning about, finding and using programs and services to meet the needs of the children they are raising and their own needs, and to promote effective partnerships among public and private agencies to ensure kinship caregiver families are served; (2) intensive family-finding efforts that utilize search technology to find biological family members for children in the child welfare system; (3) family group decision-making meetings for children in the child welfare system that enable families to make decisions and develop plans that nurture children and protect them from abuse and neglect; and (4) residential family treatment program that enable parent and their children to live in a safe environment for a period of not less than 6 months.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State, local or tribal child welfare agencies, institutions of higher education, and private nonprofit organizations that have experience working with foster children or children in kinship care arrangements.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Infant (0-5); Child (6-15); Youth (16-21); Children at risk of entering foster care and their families.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Applicable costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.Grants.gov).

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than

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requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 3 to 6 months.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The required match must increase over the course of the grant period, such that recipients must contribute 25 percent of the program costs for the first and second year of the grant and 50 percent of the program costs for the third year of the three year grant. (Section 427(d) of the Social Security Act). No more than 50 percent of the non-federal share may be in kind, as required by Section 427(e) of the Act. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are available for 36-month project period with three 12-month budget periods. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual program reports. Final program reports are due 90 days after completion of the grant. Financial reporting is conducted via the SF-425. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the funding opportunity announcement and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting is semi-annually. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Performance monitoring is described in progress reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1512-0-1-609.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$600,000.00; FY 22 est

\$600,000.00;

Range and Average of Financial Assistance:

This program received no new funding in FY 2021. No additional funding for this program is anticipated.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients may refer to the Remarks section of the Notice of Grant Award for specific Terms and Conditions pertaining to this grant program. Information on Post Award Requirements are posted at: <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>.

Regional or Local Office:

None. Contact Children's Bureau Regional Program Managers

Headquarters Office:

Director, Division of Program Innovation Jan Shafer,

330 C Street SW, Room 3503,

Washington DC 20201

Email: jan.shafer@acf.hhs.gov

Phone: 202-205-8172

Fax:

Website Address:

<https://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.670 Child Abuse and Neglect Discretionary Activities; 93.645 Child Welfare Services_State Grants; 93.556 Promoting Safe and Stable Families; 93.648 Child Welfare Services Training Grants; 93.652 Adoption Opportunities; 93.669 Child Abuse and Neglect State Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO(s).

93.606 Affordable Care Act - Preparedness and Emergency Response Learning Centers

Preparedness and Emergency Response Learning Centers (PERLC).

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Patient Protection and Affordable Care Act, Title IV, Section 4002, Public Law 111-148, Public Health Service Act, Section 319F(d), 42 USC Code 247d-6(d).

OBJECTIVES:

The purpose of this program is to address legislative requirements, as stated in section 319F(d) of the Public Health Service (PHS) Act (42 USC 247d-6(d)), as part of a plan to improve the nations public health and medical preparedness and response capabilities for emergencies, whether deliberate, accidental, or natural. Accredited schools of public health will collaborate, develop and deliver core competency-based training and education that respond to the public health preparedness and response needs of state, local and tribal public health authorities, and emphasize essential public health security capabilities. The intent of this program is to meet the preparedness and response training and educational needs of the U.S. public health workforce by providing assistance to U.S. states, localities and tribal public health authorities within defined service areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support public health approaches to public health

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preparedness and response described in Section 0.50 above. The use of and use restrictions are as follows:* Recipients may only expend funds for reasonable public health preparedness and response activities, and program purposes, including personnel, travel, supplies, and services, such as contractual arrangements with educational partners or consultants.* Recipients may not use funds for research* Recipients may not use funds for clinical care.* Recipients may not generally use funding for construction or the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.* Reimbursement of pre-award costs is not allowed.* The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants for this project are accredited Schools of Public Health, as required by section 319F-2(d) of the Public Health Service Act. Only schools accredited by the Council on Education for Public Health are eligible.

Beneficiary Eligibility:

Federal; U.S. Territories; Private nonprofit institution/organization; Anyone/general public; Health Professional; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; The general public, and federal state, local, and tribal public health preparedness programs that protect the public from all-hazards will benefit from the objectives of this program.

Credentials/Documentation:

Applicants must provide documentation of current accreditation by the Council on Education for Public Health to confirm eligibility. Applicants must document how the proposed research would address stated objectives of the program, outline the research methods to be followed, and provide a budget justification for funds. OMB Circular A-21 applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications was obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms were used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-21 for educational institutions.

Award Procedure:

All applications that are complete and responsive to the FOA will undergo an objective review process in accordance with the review criteria stated in the FOA. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Unsuccessful applicants will receive notification of the results of the application review by mail

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with 12 month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period is for 5 years with 12-month budget periods. See the following for information on how assistance is awarded/released: Notice of Award. Method of awarding/releasing assistance: lump sum.

Reports:

Final financial status and performance reports are required 90 days after the end of a project period. Annual and semiannual progress reports are required. Financial status reports are required 90 days after the end of each budget period. Performance monitoring is maintained by oversight of Project Officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 n/a

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 2011 Actual: \$714,286 to \$714,286 Avg - \$714,286

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74 for higher education, hospitals, or other nonprofit organizations and commercial organizations.

Regional or Local Office:

Headquarters Office:

Van A. King,
OPHPRN1600 Clifton RoadMS D-29,
Atlanta GA 30029-4018
Email: vbk5@cdc.gov
Phone: 4046395276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by OPHPR and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section VI. Application Review Information, subsection Evaluation Criteria within the

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individual funding opportunity announcement. Applicants will be notified if their application did not meet program requirements.

93.612 Native American Programs

Social and Economic Development Strategies (SEDS),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Native American Programs Act of 1974 (NAPA), Section 803(a), Title , Section , 42 USC Code 2991b..

OBJECTIVES:

The purpose of the Social and Economic Development Strategies (SEDS) program is to promote economic and social self-sufficiency for American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders from American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands. The SEDS program supports the principle that social and economic development are interrelated and essential for the development of thriving Native communities. The Administration for Native Americans (ANA) is interested in supporting community-driven projects designed to grow local economies, increase the capacity of tribal governments, strengthen families, preserve Native cultures, and increase self-sufficiency and community well-being. ANA may use the SEDS program for special initiatives to meet emerging needs in Native communities. The most current special initiatives are Social and Economic Development Strategies for Alaska (SEDS-AK) and SEDS-GO (Growing Organizations). The purpose of the SEDS-AK program is specifically aimed to promote social and economic self-sufficiency for Alaska Natives. The SEDS-AK program provides funding for projects designed to enhance governance and programmatic management capacity for Alaska Native villages to empower them to promote social and economic self-sufficiency for Alaska Natives. The purpose of the Social Economic Development Strategies for Growing Organizations (SEDS-GO) program is to provide financial assistance to American Indian Tribes, Alaska Native villages, and Native non-profit organizations that identify as growing organizations and seek to build their internal capacity to enhance their ability to better serve their communities. Growing organizations are defined as those that are striving to reach their full potential as highly functioning entities able to effectively serve their Native American communities. Any tribe or organization that is challenged in fulfilling their mission through the capabilities of their internal organization, management and governance practices, resources, or partnerships and are unable to evaluate achievements or improve upon results may be considered a growing organization. Over the past five years, special SEDS initiatives included: Native Asset Building Initiative (NABI), Native Youth Initiative for Leadership, Empowerment, and Development (I-LEAD), and Sustainable Employment and Economic Development Strategies Initiative (SEEDS). ANA funding is meant for projects that identify direct, measurable outcomes which will be achieved within the proposed project period.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funding may be used for such purposes as: (1) Social Development-Projects that develop and implement culturally appropriate strategies to meet the social service needs of Native Americans, foster the well-being of Native youth, promote family preservation and responsible parenting, and reconnecting with traditional healing; (2) Economic Development-Projects that promote the creation of a sustainable local economy; (3) Governance- which is defined as increasing the ability of tribal and Alaska Native village governments to exercise local control and decision-making, and to develop and enforce laws, regulations, codes, and policies that reflect and promote the interests of community members.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S.

Territories and possessions (includes institutions of higher education and hospitals); Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally-recognized Indian Tribes, as recognized by the Bureau of Indian Affairs; Incorporated non-federally recognized Tribes; Incorporated state-recognized Indian Tribes; Consortia of Indian Tribes; Incorporated nonprofit multi-purpose community-based Indian organizations; Urban Indian Centers; Native Community Development Financial Institutions Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or nonprofit village consortia; Nonprofit native organizations in Alaska with village specific projects; Incorporated non-profit Alaska Native multi-purpose, community-based organizations; Non-profit Alaska Native Regional Corporations/Associations in Alaska with village-specific projects; Non-profit Alaska Native community entities or tribal governing bodies (Indian Reorganization Act) as recognized by the Bureau of Indian Affairs; Public and nonprofit private agencies serving Native Hawaiians; National or regional incorporated nonprofit Native American organizations with Native American community-specific objectives; Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands; Tribal Colleges and Universities, and colleges and universities located in Hawaii, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands which serve Native American Pacific Islanders are eligible for funding. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards.

Beneficiary Eligibility:

Minority group; Native American Organizations; American Indian; Other Non-White; Federally Recognized Indian Tribal Governments; U.S. Territories; Rural; Low Income; American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. For applicants that are not Tribes or Native Alaska villages, organizations applying for funding must show that a majority of board members are representative of a Native American community to be served. Applicants must submit documentation that identifies each board member by name and indicates his/her affiliation or relationship to at least one of ANAs three categories of community representation, which include: (1) members of federally or state-recognized tribes; (2) persons who are recognized by members of the eligible Native American community to be served as having a cultural relationship with that community; or (3) persons considered to be Native American as defined in 45 CFR 1336.10 and Native American Pacific Islanders as defined in Section 815 of the Native American Programs Act. Applicants that do not include this documentation will be considered non-responsive, and the application will not be considered for competition. 45 CFR Part 75, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Paper applications received from applicants that have not been approved for an exemption from required electronic submission will be disqualified from competitive review Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format. See the NOFO for detailed information. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

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Each application will be screened to determine whether it meets any of the disqualifying factors in the NOFO. Disqualified applications are considered to be "non-responsive" and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and ranking are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g., ensuring coverage of states, counties, or service areas) in its pre-award decisions. ANAs staff will perform an internal review and analysis of the applications ranked highest as a result of the panels review and scoring. This internal review is used to determine the applications consistency with the purposes of the Native American Programs Act (NAPA), all relevant statutory and regulatory requirements, and the requirements of the relevant NOFO. ANAs Commissioner has discretion to make all final funding and award decisions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Other. Appeals are only available for disqualified applicants upon a finding of ineligibility for funding and are subject to ANA regulations at 45 CFR 1336.35. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The 20% match is required unless waived in accordance with criteria published in 45 CFR 1336.50. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Recipients may apply for non-competitive continuation support within a project period of 1 to 5 years, depending on the Notice of Funding Opportunity (NOFO). Method of awarding/releasing assistance: other

Reports:

ANA recipients are required to report project progress using the Objective Progress Report (OPR) (OMB No. 0970-0452, expiration date 09/30/2023). In addition, recipients will be required to submit an Annual Data Report (ADR) (OMB No. 0970-0475, expiration date 2/28/2022) to report project data once a year and at the end of the project period. The Federal Financial Report (SF-425) is required to be submitted semi-annually and at the end of the project period. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. ANA reviews recipient semi-annual and annual reports to determine whether the recipient is meeting its project goal and objectives and completing activities identified in the Objective Work Plan (OWP) as well as to evaluate project effectiveness. If progress concerns are identified, ANA may require quarterly reports. In addition, ANA is required to describe and measure the impact of funded projects, their effectiveness in achieving stated goals, their impact on related programs, and when feasible, to obtain the views of persons participating in and served by funded projects. ANA carries out this requirement through review of recipient submitted reports and through the use of structured on-site interviews using a data collection tool (OMB No. 0970-0379, expiration date 06/30/2022).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits are conducted in accordance with the requirements in 45 CFR Part 75 Subpart F.

Records:

All financial records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$30,500,078.00; FY 21 est \$32,191,270.00; FY 22 est \$35,421,634.00;

Range and Average of Financial Assistance:

SEDS-For FY20 the range of assistance is \$100,000 to \$400,000; the average is = \$280,000 per budget period. SEDS-AK-For FY20 the range of assistance is \$50,000 to \$200,000; the average is = \$125,000 per budget period. SEDS-GO-For FY20 the range of assistance is \$50,000 to \$200,000; the average is = \$175,000 per budget period.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY2020, there were 54 Continuations and 24 New Awards for SEDS, 2 Continuations and 4 New Awards for SEDS-AK, 3 Continuation Awards for SEEDS, and 16 Continuation Awards for ILEAD, and 9 New Awards for SEDS-GO. Fiscal Year 2021: It is anticipated that 52 Continuations and 31 New Awards will be made for SEDS, 4 Continuations and 6 New Awards will be made for SEDS-AK, 7 Continuations and 8 New Awards will be made for SEDS-GO.

REGULATIONS, GUIDELINES, AND LITERATURE:

ANA regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Program Operations, Carmelia A. Strickland, Administration for Native Americans, Administration for Children and Families, Department of Health and Human Services, 330 C Street SW., Switzer Building, Mail Stop 4126, Washington DC 20201
Email: carmelia.strickland@acf.hhs.gov
Phone: (877) 922-9262

Website Address:

<https://www.acf.hhs.gov/ana>

RELATED PROGRAMS:

93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality; 93.587 Promote the Survival and Continuing Vitality of Native American Languages; 93.340 Native American Community Research, Demonstration, and Pilot Projects;

EXAMPLES OF FUNDED PROJECTS:

2020 The Nottawaseppi Huron Band of the Potawatomi (NHBP) will increase tribal members' access to, knowledge of, and inclusion of traditionally and locally grown/sourced foods and culturally significant plants and animals in daily diets by streamlining and enhancing NHBP's food sovereignty initiatives and policies. The tribe, which is located near Grand Rapids, Michigan, will develop Phase 1 of the interdepartmental Bodewadmi Food & Lifeways Restoration Project to sustain a food sovereignty initiative to provide healthy, local food to community members year round. Community health assessments found that its members suffer from high rates of obesity and chronic diseases with declining access to traditional and traditionally grown foods. Only 10% of the community incorporates these foods into their daily diets while the majority of the NHBP members need further assistance and education to harvest, preserve, and prepare traditional foods in order to incorporate them into their daily diets.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFOs. In general,

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proposals are judged on the basis of relevance to program objectives as stated in the NOFO, project strategy, community support in project design and implementation, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems

PAVA,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Help America Vote Act, Title II, Part 5, Section 291, Public Law 107-252., Title II, Part 5, Section 291, Public Law 107-252

OBJECTIVES:

Section 291 of HAVA provides that funds be made available to Protection and Advocacy Systems to: (1) ensure full participation in the electoral process for individuals with disabilities; (2) provide education, training and assistance to individuals with disabilities that will promote their participation in the electoral process; (3) participate in advocacy and education efforts revolving around HAVA implementation efforts in their State or Territory; (4) training and education of election officials, poll workers, and election volunteers regarding the rights of the voters with disabilities and best practices in working with individuals with disabilities; (5) assist individuals with disabilities in filing complaints under the State-based administrative grievance procedure required by HAVA and represent individuals with disabilities in any hearing that may be held regarding the complaint; (6) provide assistance to States and other governmental entities regarding the physical accessibility of polling places; and (7) obtain training and technical assistance on voting issues, including education regarding accessible voting equipment and systems. Once educated, the information may be used to inform others of the availability of accessible voting equipment and its use.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To assist Protection and Advocacy Systems to ensure full participation in the electoral process of individuals with a full-range of disabilities. Grant funds are only available for five years after the appropriation year, as required by 31 U.S.C. Section 1552.

Applicant Eligibility:

State; States and Territories who have a Protection and Advocacy System in place in accordance with the Developmental Disabilities Assistance and Bill of Rights Act of 2000 with the exception of the Commonwealth of the Northern Mariana Islands and Native Americans.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Individuals with a full-range of disabilities.

Credentials/Documentation:

Cost will be determined in accordance with 45 CFR Part 75. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards applies to this program. The Protection and Advocacy Systems (P&As) for each territory and state must submit an application by the requested deadline as indicated in the Program Instruction. The application may be in the format of its choice addressing the seven areas in emphasis which the Help America Vote Act (HAVA) funds should be used. Funds requested by the P&As are used to provide education, training and assistance to individuals with disabilities that will promote their participation in the electoral process in seven different areas. The application is faxed, emailed and mailed to the Administration on Intellectual and Developmental Disabilities (AIDD) for furthering review and processing. The application is reviewed thoroughly in accordance with the eligibility criteria as outlined in the program instruction and processed approved by the program office.

Award Procedure:

Funds will be awarded annually.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Other. None. Awards are generally made for a 12-month project period.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded annually. The Protection and Advocacy System in each State including the District of Columbia or the Commonwealth of Puerto Rico shall receive less than \$70,000 and no Territory will receive less than \$35,000. Method of awarding/releasing assistance: quarterly

Reports:

Annual narrative report that describes how any funds authorized are due no later than December 31st of each year. Annual program and annual financial status reports required. Expenditures are to be reported using a Financial Status Report Short Form (SF-425) submission of annual financial reports are due 90 days after the end of each 12-month period (October 1 - September 30). Monitoring is performed through the review of annual program and financial status reports required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

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Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$7,463,000.00; FY 21 est \$7,963,000.00; FY 22 est \$9,963,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75, Grant Administrative Requirements.

Regional or Local Office:

None. Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services, 330 C Street, SW, Washington, DC 20201. Contact: Melvenia Wright. Telephone: (202) 795-7472.

Headquarters Office:

Program Specialist Melvenia Wright,
330 C Street, SW,
Washington DC 20201
Email: melvenia.wright@acl.hhs.gov
Phone: (202) 795-7472

Website Address:

<http://www.acl.gov/Programs/AIDD/Index.aspx>

RELATED PROGRAMS:

93.617 Voting Access for Individuals with Disabilities_Grants to States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.621 Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents

Nursing Facility Initiative,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Affordable Care Act, Public Law 111-148, section 3021.,

OBJECTIVES:

Under this Initiative, the Centers for Medicare & Medicaid Services (CMS) selected eligible organizations to test a series of evidence-based clinical interventions. Eligible organizations will partner with long-term care (LTC) facilities and practitioners to implement and test a new payment model with the goal of improving the health and health care among LTC facility residents and ultimately reducing avoidable hospital admissions. The goal of these interventions is to improve the health and health care among long-stay nursing facility residents and ultimately reduce avoidable inpatient hospital admissions. Successful applicants will implement the payment model along with interventions that will have the following objectives (consistent with Phase I): Reduce the frequency of avoidable hospital admissions and readmissions; Improve resident health outcomes; Improve the process of transitioning between inpatient hospitals and nursing facilities; and Reduce overall health care spending without restricting access to care or choice of providers.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Under the Cooperative Agreements, CMS funded enhanced care & coordination providers to implement an intervention that meets the objectives of the Initiative. All interventions must include the following activities: Hire staff who shall maintain a physical presence at nursing facilities and who shall partner with nursing facility staff to implement preventive services and improve recognition, assessment, and management of conditions such as pneumonia, congestive heart failure, chronic obstructive pulmonary disease and asthma, urinary tract infections, dehydration, skin ulcers, falls, and other common causes of avoidable hospitalizations; Work in cooperation with existing providers, including residents primary care providers, nursing facility staff, and families to implement best practices and improve the overall quality of nursing facility care, focusing on quality improvement activities that most directly relate to avoidable hospitalizations; Facilitate residents transitions to and from inpatient hospitals and nursing facilities, including facilitating timely and complete exchange of health information among providers and providing support for residents and nursing facility staff to support successful discharge to the community as appropriate; Provide support for improved communication and coordination among hospital staff (including attending physicians), nursing facility staff, residents primary care providers and other specialists, and pharmacies; and Coordinate and improve management and monitoring of prescription drugs to reduce risk of polypharmacy and adverse drug events for residents, including inappropriate prescribing of psychotropic drugs. All interventions must also: Demonstrate a strong evidence base; Demonstrate strong potential for replication and sustainability in other communities and institutions; Supplement (rather than replace) existing care provided by nursing facility staff; Coordinate closely with State Medicaid and State survey and certification agencies and State public health and health reform efforts, including other CMS demonstrations and waivers; and Allow for participation by nursing facility residents without any need for residents or their families to change providers or enroll in a health plan. (Residents will be able to opt-out from participating, if they choose.)The enhanced care & coordination providers must collaborate with State Medicaid and State survey and certification agencies and participating nursing facilities, with each enhanced care & coordination provider implementing its intervention in at least 15 Medicare- and Medicaid-certified nursing facilities in the same State. In addition to implementing the interventions and executing other activities outlined in the Initiative funding opportunity announcement, enhanced care & coordination providers must also participate in ongoing learning and diffusion activities and cooperate with operations support and evaluation efforts, including adapting models based on needed mid-course corrections.

Applicant Eligibility:

Federal; Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants eligible to be enhanced care & coordination providers included, but were not limited to: Organizations that provide care coordination, case management, or related services; Medical care providers, such as physician practices; Health plans (although this initiative will not be capitated managed care); Public or not-for-profit organizations, such as Aging and Disability Resource Centers, Area Agencies on Aging, Behavioral Health Organizations, Centers for Independent Living, universities, or others; Integrated delivery networks, if they will extend their networks to include unaffiliated nursing facilities. Nursing facilities, entities controlled by nursing facilities, or entities for which the primary line of business is the delivery of nursing facility/skilled nursing facility services were excluded from serving as enhanced care & coordination providers under this cooperative agreement. Legal Status: To be eligible, an organization must have been recognized as a single legal entity by the State where it is incorporated, and must have had a unique Tax Identification Number (TIN) designated to receive payment. The

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organization must have had a governing body capable of entering into a cooperative agreement with CMS on behalf of its members.

Beneficiary Eligibility:

Federal; Health Professional; State; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Local; Senior Citizen (60+); Moderate Income; Low Income; The primary target population for the clinical interventions is fee-for-service Medicare-Medicaid enrollees in nursing facilities, but fee-for-service long-stay residents who are not yet Medicare-Medicaid enrollees will also benefit (i.e., Medicare beneficiaries not yet eligible for Medicaid, or Medicaid beneficiaries not yet eligible for Medicare but who represent similar opportunities for inpatient reductions).

Credentials/Documentation:

Six (6) organizations, which fulfilled these requirements (below), have already been selected for this Initiative. LTC facilities must execute a participation agreement with the ECCP prior to participating in the payment model. This agreement must also attest or state the LTC facilities commitment to meeting and maintaining the above criteria through the end of the Initiative (we note that a facility's ability to meet the demographic criteria of an average daily census of greater than 80 residents with greater than 40% of the total LTC facility census as long-stay Medicare enrollees in traditional FFS Medicare, may be outside of the facility's control and may fluctuate throughout the period of performance. CMS will address these fluctuations on a case by case basis). Executed agreements between LTC facilities and ECCPs for this phase of the Initiative may be submitted in lieu of a Letter of Intent (executed agreements preferred). For LTC facilities partnering with ECCPs for phase one of the Initiative, agreements for phase two should not supersede the existing agreements for phase one. Rather, these new agreements should supplement what has already been agreed upon. In addition to this documentation, the application is expected to address how the applicant will implement the cooperative agreement program, including how it will meet the clinical intervention requirements, and ultimately, meet the objectives of this Initiative. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Not Applicable

Award Procedure:

Six (6) organizations, which completed the review process as outlined below, have already been selected for this Initiative. The review process included the following: (1) An independent, objective review of applications will be conducted. The CMS review panel will assess the application based on the review criteria outlined in section V.1 above to determine the merits of the application and the extent to which it furthers the purposes of the Initiative. The review panel comments and recommendations will be condensed into a summary statement that will assist CMS in making the final award decision. CMS will use the information to judge the likelihood that the project will be successfully implemented and will have tangible, beneficial outcomes. (2) A program integrity screening of the applicant, its affiliates, or any other relevant individuals or entities to determine if prior investigations, CMS administrative actions, or claims analysis indicate these entities present a high risk for fraud and abuse under the Initiative. (3) Applications determined to be ineligible, incomplete, and/or non-responsive based on the initial screening may be eliminated from further review. However, the CMS/OAGM/GMO, in his or her sole discretion, may continue the review process for an ineligible application if it is in the best interest of the government to meet the objectives of the program

Deadlines:

March 21, 2016 - October 23, 2020. The period of performance is September 24, 2012 through September 23, 2016. Six (6) organizations, which fulfilled these requirements, have already been selected for this Initiative.

Potential applicants were required to submit a non-binding Notice of Intent to Apply by September 9, 2015. Eastern Standard Time in order to be eligible for a

funding award. Applications were due by October 29, 2015, 5:00 p.m. Eastern Standard Time.

Range of Approval/Disapproval Time:

Other. Cooperative agreement awards were made on September 24, 2012.

Appeals:

Not Applicable. None

Renewals:

Not Applicable. Not Applicable

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period of performance is 48-months and is expected to last from September 2012 to September 2016. No restriction is placed on the time permitted to spend the money awarded. Method of awarding/releasing assistance: other

Reports:

The six (6) award recipients must comply with the report requirements as outlined below: Enhanced care & coordination providers will be funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to: There will be a separate work plan for Group A and Group B. Each work plan will identify key milestones, tasks, interdependencies, and the responsible parties for each, as well as a related timeline. Each work plan should contain, at a minimum, target dates for the following: In consultation with CMS, finalizing a list of LTC facilities to target for recruitment for Group A (this list should be large enough to form an adequate pool of prospective LTC facilities from which to screen and propose a final list of Group A LTC facilities to CMS).

Confirming a primary and secondary contact at each prospective participating LTC facility. Educating the targeted list of LTC facilities on all aspects of the Initiative. Educating and finalizing the list of respective practitioners at each LTC facility for both groups (can be different target dates for each group). Completion of the recruitment of prospective LTC facilities for Group A. Completion of screening of practitioners to confirm eligibility to participate and for final submission to CMS (for both groups). Completion of the screening and prioritization of the list of LTC facilities to propose and submit to CMS for Group A. For both groups, assessing LTC facilities current status as compared to the readiness review requirements (can be different target dates for each group). This will be tracked using a Readiness Review Tracker (see Appendix D for sample template). For both groups, completion of requirements in order to pass the readiness review. For Group B, a plan to implement any changes to the clinical intervention necessary to address any challenges identified to date, improve performance and to better coordinate the clinical intervention with the introduction of facility and practitioner payments. Obtaining executed agreements for participation from each partnering LTC facility. Target dates proposed should align with the deliverables described in this FOA. However, ECCPs may choose to propose earlier dates (e.g., obtain primary and secondary contacts for LTC facilities prior to the submission of an application for this FOA). The work plan will be reviewed regularly, as frequently as bi-weekly, and updated and provided to CMS, as needed. CMS may also share it with the State Medicaid agency and State survey and certification agency. CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The six (6) award recipients must comply with the report requirements as outlined below: The enhanced care & coordination provider must submit a semi-annual electronic SF 425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The six (6) award recipients must comply with the report requirements as outlined below: Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to: Meeting proposed milestones and deliverables as outlined in the work plan and communications plan; Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds; Participating in

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ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections. CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The six (6) award recipients must comply with the report requirements as outlined below: The enhanced care & coordination provider shall detail how cooperative agreement funds were used for each six-month period. This information shall be provided to CMS using the SF 424A form and the Monthly Financial Plan template (Appendix D of the funding opportunity announcement). CMS will use this information, in addition to quarterly progress reports, to monitor operations. Within 30 calendar days of the end of each six-month period, the enhanced care & coordination provider shall provide the completed SF 424A and relevant table from the Monthly Financial Plan. The six (6) award recipients must comply with the report requirements as outlined below: Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to: Meeting proposed milestones and deliverables as outlined in the work plan and communications plan; Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds; Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections. CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The six (6) award recipients must comply with the report requirements as outlined below: The enhanced care & coordination provider must submit a semi-annual electronic SF 425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The six (6) award recipients must comply with the report requirements as outlined below: Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to: Meeting proposed milestones and deliverables as outlined in the work plan and communications plan; Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative agreement funds; Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections. CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation. The six (6) award recipients must comply with the report requirements as outlined below: The enhanced care & coordination provider shall detail how cooperative agreement funds were used for each six-month period. This information shall be provided to CMS using the SF 424A form and the Monthly Financial Plan template (Appendix D of the funding opportunity announcement). CMS will use this information, in addition to quarterly progress reports, to monitor operations. Within 30 calendar days of the end of each six-month period, the enhanced care & coordination provider shall provide the completed SF 424A and relevant table from the Monthly Financial Plan. The six (6) award recipients must comply with the report requirements as outlined below: Enhanced care & coordination providers will be measured and funded based on their ability to execute their proposed work plan. The components of the work plan include, but are not limited to: Meeting proposed milestones and deliverables as outlined in the work plan and communications plan; Satisfying all Enhanced Care & Coordination Provider Activities, including a) submitting quarterly progress reports as scheduled and providing complete and accurate information for all required data fields in those reports and b) submitting timely, complete, and accurate semi-annual funding reports that show efficient use of cooperative

agreement funds; Participating in ongoing learning and diffusion activities, including those offered through the CMS Learning Community; and Cooperating with operations support and evaluation efforts, including adapting models based on needed midcourse corrections. CMS will regularly monitor operations. Awardees will be required to cooperate in providing the necessary data elements to CMS. CMS will contract with independent entities to assist in monitoring the programs and to conduct an independent evaluation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Not applicable.

Records:

The six (6) award recipients must comply with the report requirements as outlined below: Enhanced care & coordination providers must track data required for quarterly progress reports and semi-annual funding reports.

Account Identification:

75-0522-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$24,906,887.00; FY 21 est \$413,720.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The six (6) organizations which received funding are: Alabama Quality Assurance Foundation Alabama, Comagine Health Nevada, Indiana University Indiana, The Curators of the University of Missouri Missouri, The Greater New York Hospital Foundation, Inc. New York City, and UPMC Community Provider Services - Pennsylvania. The awards ranged from: \$5 million to \$25 million to cover a four-year period of performance.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The background provided in the funding opportunity announcement describes relevant literature. A list of references is also included.

Regional or Local Office:

None. Not Applicable

Headquarters Office:

Nicole Perry,
7500 Security Blvd.,
Baltimore MD 21214
Email: Nicole.Perry@cms.hhs.gov
Phone: 410-786-8786

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedures (093)

93.623 Basic Center Grant

Basic Center Program (BCP),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

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Runaway and Homeless Youth Act, Title III, Part A, Section 311, 34 USC Code 11211.

OBJECTIVES:

The purpose of the Basic Center Program is to establish or strengthen the capacity of community-based public and private agencies to address the immediate needs of runaway and homeless youth under the age of 18 and their families. Emergency shelter and supportive services must be delivered outside of the law enforcement, child welfare, mental health, and juvenile justice systems. The goals and objectives of the Basic Center Program are to: 1) provide outreach, crisis intervention and aftercare; 2) reunite youth with their families, if deemed safe, and encourage the resolution of intra-family problems through counseling and other services; 3) strengthen family relationships and encourage stable living conditions for youth; and 4) help youth decide on constructive courses of action.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Each Basic Center Program is required to provide outreach to runaway and homeless youth; street-based services to youth victims of trafficking; temporary shelter for up to 21 days; food; clothing; individual, group and family counseling; aftercare and referrals, as appropriate. Basic Center Programs shelters must accommodate no fewer than 4 and no more than 20 youth unless a state or local law or regulation requires a higher maximum to comply with licensure requirements for facilities serving child and youth. Some programs also provide part or all of their shelter services through host homes (usually private homes under contract to the centers), with counseling and referrals being provided from a central location. Basic Center Programs offer shelter to youth who are less than 18 years of age and who are at risk of separation from their family. Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred to raise capital or obtain contributions are unallowable. Fund raising costs for the purposes of meeting the Federal program objectives are allowable with prior written approval from the Federal awarding agency. (45 CFR 75.442) Proposal costs are the costs of preparing bids, proposals, or applications on potential Federal and non-Federal awards or projects, including the development of data necessary to support the non-Federal entity's bids or proposals. Proposal costs of the current accounting period of both successful and unsuccessful bids and proposals normally should be treated as indirect (F&A) costs and allocated currently to all activities of the non-Federal entity. No proposal costs of past accounting periods will be allocable to the current period. (45 CFR 75.460) Grant awards will not allow reimbursement of pre-award costs. Construction is not an allowable activity or expenditure under this grant award. Costs for renovation of existing structures are authorized but may not exceed 15 percent of the grant amount awarded. Costs for acquisition is not allowable by statute. Applicants are advised that no grant funds may be used for any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug. Prospective grantees are advised that entities receiving BCP grant funds and operating a program to distribute sterile needles or syringes for hypodermic injections of illegal drugs must account for all funds used for such programs separately from any expenditure of BCP grant funds. HHS funds may not be spent for an abortion. The prohibition on using HHS funds for abortion has an exception for cases of rape, incest, or danger of death to the pregnant woman. See section 507(a) of title V, div. H of the Consolidated Appropriations Act, 2021 (Public Law 116-260).

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public and nonprofit entities and combinations of such entities are eligible to apply unless they are part of the juvenile justice system. For profit organizations are not eligible. Private institutions of higher education must be non-profit entities. In selecting applications for award, the Secretary shall give priority to eligible applicants who have demonstrated experience in providing services to runaway and homeless youth. Applications from

individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards.

Beneficiary Eligibility:

Individual/Family; Child (6-15); Youth (16-21); Runaway and homeless youth and their families are the beneficiaries. Services can be provided to youth under the age of 18.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFO on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, subject to the limitations of the Appendix A to 45 CFR Part 16, appeals may take place in accordance with 45 CFR Part 16.

Renewals:

Other. Awards are generally made for 3-year project periods with three 12-month budget periods. Annually, non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. Federal share of grant is up to 90 percent pursuant to 34 U.S.C. 11274. The nonfederal share may be in cash or in-kind contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of three years, with three 12-

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month budget periods. Method of awarding/releasing assistance: other

Reports:

Reports are submitted quarterly using the SF-272 Federal Cash Transaction Report through the payment management system. Recipients are legislatively required to keep adequate statistical records profiling the youth and families assisted under this federal grant. Runaway and Homeless Youth -Homeless Management Information System (RHY-HMIS) serves as the designated data collection system, enabling grantees to collect and transmit youth-level data to the Family and Youth Services Bureau (FYSB) on a semi-annual basis. Expenditure reports are required using the SF-425 Federal Financial Report (FFR). The frequency of reporting is semi-annual. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Funded applicants are required to submit semi-annual SF-425 financial reports as well as a final SF-425 financial report 90 days after the completion of the three-year funding cycle. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is semi-annual. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Funded applicants are required to submit semi-annual program narrative reports, a final program narrative at the completion of the three-year funding cycle as well as Runaway and Homeless Youth Homeless Management Information System (RHY-HMIS) client service data reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$54,519,796.00; FY 21 est \$57,806,100.00; FY 22 est \$61,274,565.00;

Range and Average of Financial Assistance:

The range of awards in Fiscal Year 2020 was from \$50,000 to \$200,000 per budget period. The average award is \$184,813, per budget period.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: A total of 295 grants were awarded with 128 being new awards and 167 being non-competing continuation to support existing programs. Fiscal Year 2021: It is estimated a total of 303 grants will be awarded with 95 being new awards and 208 being non-competing continuation to support existing programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

You may also get information on the Family and Youth Services Bureau website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "About" section. Information is also available through the National Clearinghouse on Homeless Youth and Families, 4340 East-West Highway Suite 1100Bethesda, MD 20814. Telephone: 833-438-7494. The National Clearinghouse on Homeless Youth and Families website is <https://rhyclearinghouse.acf.hhs.gov> and the email address is GetRHYi@NCHYF.orgThe RHY program regulations are found at 45 CFR Part 1351.

Regional or Local Office:

None.

Headquarters Office:

Youth Services Program Manager Christopher Holloway,
330 C Street, SW,
Washington DC 20201
Email: christopher.holloway@acf.hhs.gov
Phone: (202) 205-9560

Website Address:

<https://www.acf.hhs.gov/fysb>

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO.

93.624 Community Health Access and Rural Transformation (CHART) Model

State Innovation Models (SIM) Community Health Access and Rural Transformation (CHART),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Section 1115A of the Social Security Act (Added by Section 3021 of the Affordable Care Act).

OBJECTIVES:

The Community Health Access and Rural Transformation (CHART) Model is a voluntary payment Model. CHART will test whether aligned financial incentives across payers and robust technical support enable rural providers to transform care on a broad scale and increase uptake of Alternative Payment Models (APMs) in ways that improve access to high quality care and reduce Medicare and Medicaid costs. CHART will equip Award Recipients with funding and a framework to assess their Community's health needs, create a care delivery and redesign strategy, and select an Alternative Payment Model (APM) to offer providers in their area. Communities participation in CHART will begin with a year-plus pre-implementation period, during which they will develop a care delivery redesign strategy and recruit participants. Following the pre-implementation period, Award Recipients will have 6 performance years (PYs) SIMThe State Innovation Model (SIM) is based on the premise that state innovation with broad stakeholder input and engagement will align delivery system transformation across multiple payers and populations to provide better care at lower costs. SIM is focused on public and private sector collaboration to transform the states delivery system. SIM provides financial and technical support to states to test the ability of state governments to use their regulatory and policy levers to accelerate health transformation efforts. In Round 1, CMS partnered with 6 Model Test states to implement state-wide health transformation strategies and 19 Model Design states to develop and refine State Healthcare Innovation Plans (SHIPs) to guide future implementation efforts. In Round 2, CMS partnered with an additional 11 Model Test states to implement their SHIPs and 17 states, 3 territories, and the District of Columbia to develop and/or refine their SHIPs. All of the Design states from both rounds of SIM have completed their project period 2 of the 6 Round 1 Test states have completed their tests and the other 4 are in their final year. The 11 Round 2 Test states are currently in the active testing phase. There are no current opportunities for additional rounds of SIM.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants

USES AND USE RESTRICTIONS:

CHARTIllustrative List of Allowable Model Test CostsAllowable costs could include:Pre-implementation period:In collaboration with the Advisory Council, convene stakeholder groups to provide input into the Transformation Plans.Work with State Medicaid Agency and CMCS to ensure necessary authorities are in place to operate CHART model.Recruit payers to participate in creating Transformation Plans and offering APMs to align with the APM.Develop written agreements with payers governing APM alignment and data-sharing.Recruit providers and work with them to provide input into Transformation Plans.Contract technical assistance and/or expand staff capacity to support Transformation Plan creation.Report required data to CMS.Performance Years:Monitor and track the progress of

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ongoing transformation efforts and identify any necessary changes. Facilitate updated needs assessments as needed and update Transformation Plans annually, in collaboration with the Advisory council and/or support from contracted technical assistance. Assess the compliance of all involved parties with CHART program requirements. Provide strategic and operational technical assistance to entities involved in the Transformation Plan. Recruit additional payers in effort to expand multi-payer alignment in Community. Recruit additional hospitals to expand APM participation. Report required data to CMS. No funds under this award may be used for any of the activities/costs outlined below unless an exception is specifically authorized by statute. In the event that the Lead Organization is the State Medicaid Agency (SMA), it will not be permitted to claim federal financial participation (FFP) for administrative activities for which the SMA receives cooperative agreement funding through CHART, to prevent the duplication of funding by CMS. Model funds cannot be used by award recipients to conduct their own evaluations. To provide individuals with services that are already funded through any other source, including but not limited to Medicare, Medicaid, and CHIP. For specific components, devices, equipment, or personnel that are not integrated into the program proposal. To match any other Federal funds. To provide services, equipment, or supports that are the legal responsibility of another party under Federal, State, or Tribal law (e.g., vocational rehabilitation or education services) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party. To provide goods or services not allocable to the approved project. To supplant existing State, local, Tribal or private funding of infrastructure or services, such as staff salaries, etc. To be used by local entities to satisfy state matching requirements. To pay for construction. To pay for capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life as a direct cost, except with the prior written approval of the Federal awarding agency. To pay for the cost of independent research and development, including their proportionate share of indirect costs (unallowable in accordance with 45 CFR 75.476). To use as profit to any award recipient even if the award recipient is a commercial organization, (unallowable in accordance with 45 CFR 75.216(b)), except for grants awarded under the Small Business Innovative Research (SBIR) and Small Business Technology Transfer Research (STTR) programs (15 U.S.C. 638). Profit is any amount in excess of allowable direct and indirect costs. To expend funds related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any state government, state legislature or local legislature or legislative body Indirect Costs If requesting indirect costs, an Indirect Cost Rate Agreement will be required. For this Cooperative Agreement funding opportunity indirect costs are limited to 10%. The provisions of 2 CFR Part 225 (previously OMB Circular A-87) govern reimbursement of indirect costs under this solicitation. A copy of these cost principles is available online at: http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&tpl=/ecfrbrowse/Title02/2cfr225_main_02.tpl. Reimbursement of Pre-Award Costs No cooperative agreement funds awarded under this solicitation may be used to reimburse pre-award costs. SIM Illustrative List of Allowable Model Test Costs Allowable costs associated with state Model Test work could include: Technical resources necessary to implement new models Model performance data collection, analysis, reporting cost Data center costs, and system information processing associated with the Model Test Provider costs for data collection Coordination with Innovation Center rapid cycle evaluation, and costs for collecting and preparing data for Innovation Center evaluator and/or state evaluator Staff resources associated with model management and project management, including travel to SIM workshops and conferences Simulation and modeling cost Provider and beneficiary data management system cost Costs of certified EHR technology/applications to support the states health transformation plan for providers ineligible for the Medicare/Medicaid EHR Incentive Programs. Health information exchange costs associated with the model Infrastructure costs to build or expand telemedicine system Model beneficiary assignment or reconciliation cost Web and internet collaborative learning and communication cost Project management and reporting cost Business operation associated with the model Model contract management and administration Building a statewide allpayer database Impact model evaluation data collection, reporting, beneficiary and provider survey data, and other costs associated with final model evaluation Other activities necessary to implement the overall State Health System Innovation Plan that will further the testing of payment and service delivery models and improve

outcomes for Medicare, Medicaid and CHIP beneficiaries. Allowable costs associated with state Model Design work could include: State staff costs to engage in model design Staff participation and travel to relevant learning collaboratives and workshops and other relevant learning and diffusion opportunities Investments in State data collection and analysis capacity and cost and utilization pattern analysis Consumer and provider engagement and focus group costs Actuarial modeling Performance measure development and evidence-based improvement research Business process analysis and requirement system analysis Policy, legal, and regulatory research to address legislative and legal frameworks for models Planning and convening for creating a statewide allpayer data-base Planning work relating to public health programs including the states Healthy People 2020 plan, and meeting goals for the National Quality Strategy and/or National Prevention Strategy Model Design costs, including: Model scope development Theory of action development Target population research Setting performance targets Financial analysis and analysis of health care trend impacts Budget planning Travel to SIM workshop and conferences The following are areas that are out of scope and were not be considered under the state Innovation Models initiative: a. Medicare eligibility changes; b. Coverage or benefits reductions in Medicare or Medicaid or any changes that would have the effect of rationing care; c. Increases in premiums or cost sharing; d. Increases in net federal spending under the Medicare, Medicaid or CHIP programs; e. Medicaid FMAP formula changes; f. Changes to the EHR incentive program for eligible professionals and eligible hospitals; g. Changes in State Financial Alignment Models; h. Reductions in Medicare beneficiary choice of provider or health plan, or Medicaid choice of provider or health plan beyond those allowed today, or changes to maintenance of effort requirements; i. Changes to CMS sanctions, penalties, or official denial of participation currently in effect. CMS will not fund proposals that duplicate models for populations that are already being funded and tested as part of any other CMS and/or HHS initiatives. For example, if the state receives a Strong Start for Mothers and Newborns cooperative agreement, SIM funding will only be used in a coordinated manner to complement and not to duplicate or supplant funding for Strong Start for Mothers and Newborns. SIM funding may not supplant existing federal or state funding. States may propose the use of SIM test funds to support additional costs associated with or created by testing a SIM model. States may not use SIM funds as state match under the Medicaid or CHIP programs nor use funds to substitute for currently funded Medicaid or CHIP services or administrative activities. Indirect Costs If requesting indirect costs, an Indirect Cost Rate Agreement will be required. For this Cooperative Agreement funding opportunity indirect costs are limited to 10%. The provisions of 2 CFR Part 225 (previously OMB Circular A-87) govern reimbursement of indirect costs under this solicitation. A copy of these cost principles is available online at: http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&tpl=/ecfrbrowse/Title02/2cfr225_main_02.tpl. Direct Services Cooperative Agreement funds may not be used to provide individuals with services that are already funded through Medicare, Medicaid, and/or CHIP Reimbursement of Pre-Award Costs No cooperative agreement funds awarded under this solicitation may be used to reimburse pre-award costs. Prohibited Uses of Cooperative Agreement Funds To match any other Federal funds. To provide services, equipment, or support that are the legal responsibility of another party under Federal or state law (e.g., vocational rehabilitation, criminal justice, or foster care) or under any civil rights laws. Such legal responsibilities include, but are not limited to, modifications of a workplace or other reasonable accommodations that are a specific obligation of the employer or other party. To supplant existing Federal state, local, or private funding of infrastructure or services. To be used by local entities to satisfy state matching requirements. To pay for the use of specific components, devices, equipment, or personnel that are not integrated into the entire service delivery and payment model proposal. To lobby or advocate for changes in Federal and/or state law. CMS will not fund proposals that duplicate models for populations that are already being funded and tested as part of CMS or HHS initiatives. For example, if the state receives a Strong Start for Mothers and Newborns cooperative agreement, SIM funding will only be used in a coordinated manner and not to supplant funding for Strong Start for Mothers and Newborns. States may propose the use of SIM testing funds to support additional costs associated with or created by testing a SIM model.

Applicant Eligibility:

U.S. Territories and possessions; CHART Lead Organizations can only receive up to one CHART cooperative agreement. CHART award recipient are regional or state entities that are responsible for accomplishing CHART's three core program

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components: (1) establishing robust technical support and regional partnerships, (2) implementing care delivery redesign, and (3) implementing value-based payment reform. Specifically, Lead Organizations will carry out the following key program activities: (1) convene an Advisory Council, (2) create a Transformation Plan, and (3) implement the APM. Entities must meet the following criteria to be a CHART awardee: 1. Have a documented presence in the Community or the state as of one year prior to the release of the Notice of Funding Opportunity (NOFO) for which they are applying 2. Have demonstrated expertise in rural health issues, rural healthcare delivery, and healthcare payment models 3. Have received grant funding of at least \$500,000 total over the last three years Examples of potential awardees include but are not limited to State Medicaid Agencies (SMAs), State Offices of Rural Health (SORHs), local public health departments, Independent Practice Associations (IPAs), and Academic Medical Centers (AMCs). SIMCMS invites the 50 state Governors Offices, United States Territories Governors Offices (American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the Virgin Islands), and the Mayors Office of the District of Columbia to apply. Only one application from a Governor per state is permitted for either a Model Design or a Model Test award (assuming the state applied and was not selected for funding under the first round of Model Test awards). A state cannot receive multiple Round 2 Model Design or Model Test awards. A state cannot receive both a Round 2 Model Design award and a Round 2 Model Test award. Each application must include a letter from the Governor (or the Mayor, if from the District of Columbia) officially endorsing the application for a Model Design award or for a Model Test award. States currently engaged in a Model Test award with CMS are NOT eligible to apply for funding under Round 2. A state may propose that an outside organization focused on quality and state delivery system transformation, such as a non-profit affiliated with the State Department of Health or a public-private partnership supported by the Governors Office, receive and administer funds through a Model Design or Model Test award. The Governors Office must submit such requests in writing to CMS with its Letter of Intent and include a justification for the request and an attestation that the state will actively participate in all activities described in its proposal. Approval of such requests will be at the sole discretion of CMS. Only one such request supported by the Governor will be allowed per state. A state pursuing this approach will still be expected to address all of the required areas described in this FOA. Eligibility Threshold Criteria: All applicants must have submitted a required letter of intent to the programmatic point of contact in Section VI. Agency Contacts by June 6, 2014. If a letter of intent has not been submitted by the required due date, any subsequent application submitted by the entity will be ineligible. See Section IV.2.A, Letter of Intent to Apply, for more information. Application deadline: Applications not received by the application deadline (TBD) through www.grants.gov will not be reviewed. Application requirements: Applications will be considered for funding only if the application meets the requirements outlined in Section III, Eligibility Information and Section IV, Application and Submission Information. Page limits: Model Test applications shall not be more than 55 pages in length. Model Design applications shall not be more than 27 pages in length. Both types of applications must be limited to the page maximums, sequence of sections, and section content specified in Section IV.2 Content and Form of Application Submission, parts C & D. In addition, applications should include attestations of support from key stakeholders. The letters of support will not be included in the page limits for applications. The letters should attest to stakeholders active engagement in the model and must contain specific information about how the stakeholders will contribute to the SIM process. The standard forms, project abstract, Governors endorsement, and curriculum vitae are also not included in these page limits. States are strongly encouraged to review the criteria information provided in Section V of the FOA, Application Review Information, to help ensure that the proposal adequately addresses all the criteria that will be used in evaluating applications and determining appropriate funding levels for each award.

Beneficiary Eligibility:

Federal; State; CHART Each CHART Community must meet the following criteria: 1. Be a set of contiguous or non-contiguous counties or census tracts classified as rural, as defined by the Federal Office of Rural Health Policies list of eligible counties and census tracts used for its grant programs 2. Must contain a minimum of 10,000 Medicare FFS beneficiaries SIM The emphasis is on targeting Medicare, Medicaid, and CHIP populations. Proposals will describe the target populations, geographic areas, or communities that will be the focus of service delivery and payment model testing, the current quality and beneficiary experience

outcomes including current health population status, and the specific improvement targets expected from the model.

Credentials/Documentation:

CHART/SIM Employer Identification Number: All applicants must have a valid Employer Identification Number (EIN) assigned by the Internal Revenue Service. Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number): All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. See Section IV, Application and Submission Information, for more information on obtaining a DUNS number. All applicants must register in the System for Award Management (SAM) database (formerly CCR) (<https://www.sam.gov/portal/public/SAM>) in order to be able to submit an application at <http://www.grants.gov>. The SAM process is a separate process from submitting an application. Applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines. In order to register, applicants must provide their DUNS and EIN numbers. Additional information about SAM is available at <https://www.sam.gov/portal/public/SAM/>. Applicants must successfully register with SAM prior to submitting an application or registering in the Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS) as a prime awardee user. Organizations must report executive compensation as part of the registration profile at <https://www.sam.gov/portal/public/SAM> by the end of the month following the month in which this award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by Section 6202 of Public Law 110-252 and implemented by 2 CFR Part 170)). Primary awardees must maintain a current registration with the SAM database, and may make subawards only to entities that have DUNS numbers. See Section VI, Award Administration Information, for more information on FFATA. The Grants Management Specialist assigned to monitor the subaward and executive compensation reporting requirements is Iris Grady, who can be reached at divisionofgrantsmanagement@cms.hhs.gov. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Project Abstract (Required for all applicants) Applicants should submit a one-page, single-spaced abstract to serve as a succinct description of the proposed project. The abstract should include the proposed Model geographic service area, the goals of the project, the total budget, and a description of how the cooperative agreement funds will be used. The abstract is often distributed to provide information to the public and Congress, applicants should write the abstract so that it is clear, accurate, concise, and without reference to other parts of the application. Personal identifying information should be excluded from the abstract. Applicants should upload the abstract in the Grants Application Package which can be found at <http://www.grants.gov>; select the Project Abstract Summary, and complete the form. Project Narrative Review criteria for the Project Narrative are described below. All elements of the Project Narrative are required and will be used to assess an applicants ability to design and implement a Model intervention that lowers costs and improves quality of care for rural communities. Incomplete, unclear, and confusing proposals will receive point deductions. Project Narratives with significant content deficiencies may receive a score of zero. Proposals that merely restate the content of the Notice of Funding Opportunity, without responding to the Program Requirements and Application Review Criteria, will receive a score of zero. Each component of the Project Narrative will be weighted as indicated below. The Project Narrative must be double-spaced and should not exceed 60 pages in length. This page limit does not include the Letters of Intent (LOIs), organizational charts, job descriptions, or

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resumes that should be attached as appendices. The LOIs requested in the Project Narrative section of the CHART application are distinct from the general LOI that awardees may submit within 30 days of NOFO release.

Model Context Characteristics of Proposed Community Applicants must provide a high-level summary of their chosen community's health status, including key information on residents and existent health delivery infrastructure. Applicants should highlight the characteristics of the community that will be most influential to their care delivery redesign and value-based payment reform strategy. This section should provide application readers with an understanding of the community's context to guide their evaluation of the applicants' Model Implementation Plan. Specifically, applicants must provide the following information:

- a) A definition of the Community, including a list of the set of contiguous or non-contiguous rural counties and/or rural census tracts within non-rural counties that comprise the Community
- b) Number of FFS Medicare and Medicaid beneficiaries and average annual total cost of care
- c) Number of managed care Medicare and Medicaid beneficiaries and average annual total cost of care
- d) Number of Medicare-Medicaid dual eligible beneficiaries and average annual total cost of care
- e) Number of beneficiaries with commercial insurance
- f) Number of uninsured residents
- g) The types of providers that are located in the Community, the number of each provider type, and what proportion of each type offer Medicare and Medicaid services to patients living in the Community
- h) A summary of any care delivery redesign or APMs that the community has undertaken previously, as well as their outcomes
- i) Preliminary information on the population health, access, and quality outcomes of greatest interest to the community (e.g. specific chronic conditions or health disparities)
- j) A description of existent and planned Certificate of Public Advantage (COPA) arrangements and/or merger activity among providers and payers. At a minimum, please describe:
 - i) Number of providers participating and their provider types
 - ii) Proportion of providers the COPA or merger activity served or will serve within each payers mix
 - iii) Any price-related details included in the COPA or merger activity such as price growth caps, market share limits, and its effect on prices and available services
 - iv) At the discretion of the applicant, no more than three additional data points that describe the communityIf any of the data requested above are not available, the applicant should provide an explanation for why such data are not available and, if possible, a proxy for each missing data point. Applicants should provide verifiable sources for all data points.
- k) Community Selection Rationale and Gap Analysis In this section, applicants must demonstrate how the proposed community would benefit from the CHART Model and describe the key issues that would be accounted for in their Transformation Plan. Specifically, applicants must:
 - a) Provide a rationale for why they selected their proposed community (e.g. the area has relatively high need; the lead organizations connection with the region; existing health systems infrastructure etc.). Within their rationale, applicants should justify their community's geographic parameters.
 - b) Provide a Gap Analysis, a survey of the key issues existent in their community that they want to address in their care delivery redesign and value-based payment reform strategies (e.g. hospitals at risk of closure).

MODEL IMPLEMENTATION PLAN Organizational Capacity of Lead Organization Applicants must demonstrate their capacity to organize and manage the Model. Specifically, applicants must:

- a) Provide a description of the Lead Organization
- b) Describe the Lead Organization's experience with the following tasks:
 - i) Managing a group of stakeholders
 - ii) Designing and implementing care delivery reform
 - iii) Designing and implementing alternative payment Models
 - iv) Managing cooperative agreement funds in accordance with federal grant regulations and HHS grants policy
 - v) Engaging and maintaining provider participation in innovative care Models
 - vi) Establishing, modifying as needed, and maintaining agreements between providers
 - vii) Conducting outreach and developing relationships with providers in the community
 - viii) Conducting outreach and developing relationships with stakeholders in the community
- c) Describe how the Lead Organization meets the following eligibility requirements:
 - i) Must have a documented presence in the Community or the state as of one year prior to the release of the Notice of Funding Opportunity (NOFO)
 - ii) Must have demonstrated expertise in rural health issues, rural healthcare delivery, and healthcare payment Models
 - iii) Must have received grant funding of at least \$500,000 total over the last three years
 - iv) Describe staff capacity (organizational charts, job descriptions, and resumes attached as appendices do not count towards page limits):
 - i) Provide job descriptions (including for positions that may be currently vacant) for all staff that will be involved in the Model
 - ii) Identify the individual or individuals who will have management authority over the Model and provide a resume or curriculum vitae as an appendix for each identified manager
 - iii) Identify the individual who will be the project manager and

primary liaison to CMS for the Model, and provide a resume or curriculum vitae for that person.

iv) Provide an organizational chart for the Lead Organization that identifies lines of authority

v) Describe management controls and coordination mechanisms that will be utilized to ensure the timely and successful execution of this project

f) If applicable, describe the anticipated role of any contractors

The Lead Organization may engage to help implement CHART

Advisory Council Although award recipients are expected to finalize their care delivery redesign and value-based payment strategies during the pre-implementation year of the CHART Model, applicants must establish their Advisory Council before submitting their application. In this section applicants must verify that they have established their Advisory Council and describe the proposed council's capacity to implement CHART. CMS will evaluate the extent to which the Advisory Council is responsive to the Community's needs. In this section applicants must:

- a) For each Advisory Council member, provide the following information:
 - i) Members name
 - ii) Organization they represent and their position title within that organization
 - iii) Anticipated role and responsibilities of that specific member within the Advisory Council
- b) Attach members resume as an appendix to this application
- c) Provide a description of the Lead Organization's key objectives for the Advisory Council during the pre-implementation period, including a strategy for creating a transformation plan
- d) Include a beneficiary or caregiver representative
- e) Provide a LOI (attached as an appendix), signed by all members of the Advisory Council, that includes a description of Advisory Council member responsibilities and anticipated time commitment

Care Delivery Redesign A key element of CHART is that Lead Organizations will finalize their care delivery redesign strategy with Advisory Council and CMS input, during the pre-implementation period of the Model through the development of their Transformation Plans. However, applicants must:

- a) Provide a summary of preliminary care delivery redesign concepts and, if possible, describe how many beneficiaries they expect their interventions to touch

Concepts presented in this section are non-binding. Applicants are encouraged to note areas that are not finalized, highlight where they plan to seek stakeholder input, and outline key options. CMS will evaluate concept efficacy, responsiveness to the issues identified in the applicants' gap analysis, and the extent to which applicants identify opportunities to collaborate with stakeholders. Applicants are encouraged to refer to Appendix VII. Care Transformation Examples for inspiration.

Value-Based Payment Reform A key element of CHART is that awardees will finalize their value-based payment reform strategy, with stakeholder and CMS input, during the pre-implementation period of the Model through the development of their Transformation Plan. However, in this section applicants must:

- c) Applicants must submit a non-binding LOI from their state Medicaid agency that includes the following information (NOTE: Information included in a CHART Model application will be used solely for the purpose of application review and does not represent a formal request for a State Plan Amendment, waiver, or demonstration approval on the part of the state; nor a commitment of approval on the part of CMS):
 - i) Confirmation that they understand the Medicaid Program Requirements of the CHART Model
 - ii) A populated Medicaid Changes Needs Assessment Table

Applicants must submit the following LOIs, expressing a non-binding intent to participate in both the transformation planning process and the APM:

- i) They must submit at least one LOI from a hospital Sustainability Plan

Applicants must describe their strategy for sustaining care delivery and value-based payment reform after the Model performance period ends. It is recommended that applicants secure supplemental funding either prior to or during the CHART Model. If applicable, applicants should describe whether they have secured any other supplemental sources of funding, are in the process of securing other funding, or have any plans to explore other funding sources. Securing supplemental funding is not a program requirement for the CHART Model. CMS will utilize this section to assess the likelihood that an award would lead to sustained change.

Reporting Plan Applicants must submit a plan for gathering and reporting data to CMS, including periodic progress reports

Data Sharing and Evaluation

Evaluation Applicants should use this section to describe their strategy for ensuring participation in the CMS mandatory Model evaluation from all Model partners and participants, including the APM participants, patients and any other individuals or entities. Applicants must indicate their willingness and ability to share program documents, training materials, and any other program related materials with CMS and its contractors and to assist in arranging other data gathering activities. Specifically, the awardee should demonstrate its capacity to participate in patient- and program-level data provision and qualitative evaluation tasks, which may include arranging site visits, observations, interviews and focus groups with

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providers and patients as well as program staff; screening patients for health-related social needs; submitting patient medical information through a system that complies with privacy standards including HIPAA and 42 C.F.R. Part 2; gathering required consent; and other activities as needed. All applicants must provide personal identifiers that will allow all participants to be identified in Medicaid FFS and managed care claims. If applicants desire to use data for purposes beyond those that are covered under section 1115A of the Act, then applicants should indicate their ability to gain Institutional Review Board (IRB) approval (if needed) and/or describe any other necessary permissions for evaluation activities, data collection, and data sharing and submission. T-MSIS Additionally, applicants must describe the T-MSIS data submission status of their state Medicaid agency. If the applicants state Medicaid agency is not submitting production data monthly to T-MSIS and/or their catch-up data files are not current, then the applicant must coordinate with them to submit a timeline for achieving timely, monthly production data submission. If the state believes they may be unable to submit T-MSIS data for a portion of the CHART performance period, the applicant must provide CMS with a data submission plan for Medicaid data. Model Impact Analysis Applicants should describe specific impact goals and the strategies they plan to implement to achieve them. Applicants should quantify effects as much as possible. Specifically, applicants must: a) Identify how their proposed interventions will impact quality of care and access to care (especially for primary, behavioral, and preventative care); b) Describe how their care delivery redesign and value-based payment strategies will yield savings in community-wide healthcare spending. Applicants should consider whether proposed changes could lead to cost increases; c) Describe the impact of their proposed program on beneficiaries health outcomes. SIM 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This Funding Announcement offers two separate funding opportunities: (1) Model Test awards and (2) Model Design awards. Model Test: Proposal Requirements The Innovation Center will award up to 12 Model Test awards to states through this Funding Announcement. Model Test awards will provide financial and technical support to implement fully developed proposals for successful state-wide transformation. Awards will range from \$20-100 million per state totaling \$700 million over a four-year period, based on the size of the states population and the scope of the proposal. If a Model Test applicant is not selected for a Model Test award, CMS may select the state/entity for a Model Design award if (1) after all possible states/entities which applied for Model Design awards are selected and funding is still available to issue additional Model Design awards (not to exceed overall maximum of 15 Model Design awards); and (2) CMS determines the state/entity is not ready for a Model Test award and would benefit from Model Design funding. States currently engaged in a Model Test award with CMS will not be eligible to apply for funding in Round 2. The selection criteria for the Round 2 Model Test application will be based on a states ability to successfully apply policy and regulatory levers over the award performance period to address three focus areas: (1) improving population health; (2) transforming health care delivery systems; and (3) decreasing per capita total health care spending. During the selection process, CMS will require additional discussions with applicants regarding their proposals. Selection for a Round 2 Model Test will not constitute nor guarantee approval of a request for a Medicaid State Plan Amendment (SPA) or a Medicaid 1115 Waiver. States seeking a Medicaid SPA or Medicaid 1115 waiver may do so under established processes. In addition to an expert panel review, selected applicants for Model Test cooperative agreements will be required to present their Round 2 proposals. Selected applicants will be invited and strongly encouraged to present their proposal in person, or conversely can present virtually to a panel comprised of external experts as well as HHS leadership. The states presentation must be led by a cabinet-level health official, such as the Secretary of Health, and include providers and commercial payers who have committed to actively participate in the model. In the case of public-private partnership entities applying for a state innovation model test, senior leaders from the private and public sector, including senior leaders of the applicant entity, shall be present. All travel expenses incurred by applicants will not be reimbursed by CMS nor can they be reimbursed by any funding awarded under this FOA. In addition, if a state does not participate in the required presentation, it will not be eligible for funding. Model Test applications must address the following required areas: A. Model Test Project Narrative. The state must produce a detailed and fully developed proposal capable of creating state-wide health transformation for the preponderance of care within the state. For each individual element and/or program in the test proposal, the state must highlight how the element or program will (1) improve population health; (2)

transform the health care delivery system; and/or (3) decrease per capita health care spending, drawing on a supporting evidence base. B. While Round 2 provides states with significant flexibility to design contextually-specific plans for state-wide health transformation, each proposal must also include the following core elements: (1) Plan for improving Population Health. The state must develop a state-wide plan to improve population health during the project period. The state will be offered the opportunity to obtain technical support from the Centers for Disease Control in developing the plans. The plans should include integration of population health strategies with public health officials and health care delivery systems for all populations. At a minimum, plans should address the core measures identified in the population health metrics document, namely: tobacco use and the incidence of obesity, and diabetes. (See Appendix 1.) In addition, states should consider integrating state strategies to address child wellness and prevention priorities, as applicable, including such factors as reducing childhood obesity, preventing early childhood dental caries, and addressing maternal depression to foster healthy child development. (2) Health Care Delivery System Transformation Plan. CMS has identified the following characteristics to be closely associated with transformed health care delivery systems: a. Providers across the state and across the care continuum participate in integrated or virtually integrated delivery models; b. Over 80% of payments to providers from all payers are in fee-for-service alternatives that link payment to value; c. Every resident of the state has a primary care provider who is accountable both for the quality and for the total cost of their health care; d. Care is coordinated across all providers and settings; e. There is a high-level of patient engagement and quantifiable results on patient experience; f. Providers leverage the use of health information technology to improve quality; g. There is an adequate health care workforce to meet state residents needs; h. Providers perform at the top of their license and board certification; i. Performance in quality and cost measures is consistently high; j. Population health measures are integrated into the delivery system; and k. Data is used to drive health system processes. The state must describe in detail how it will engage providers in health care delivery system transformation across the state, working towards the goals described above. CMS recognizes that individual state proposals will vary considerably. However, in reviewing the Health Care Delivery Transformation Plan, and the proposed cost and quality targets, CMS will consider state, regional, and national demographics, proposal parameters, alignment/overlap of existing CMS programs, and other factors that impact health. One or more specific payment and/or service delivery models that include, but are not limited to, the states Medicaid population, state employee population, and/or commercial payers populations. The payment and/or service delivery models must identify the targeted populations, the number of beneficiaries served, the number of participating providers, and the services to be delivered. CMS encourages applicants to propose payment models that directly align with one or more existing Medicare programs, demonstrations, and/or models, such as accountable care organizations (ACOs), primary care medical homes, and bundled payment programs. Medicare participation is not guaranteed and will be assessed on a case-by-case basis after thorough review of the proposed model. As SIM aims to reach a preponderance of a states population and Medicaid can serve as an important lever for driving health care delivery system transformation, the state should describe any Medicaid expansion activities and the percentage of the states population that is covered by Medicaid. The state must commit to using multiple regulatory authorities to influence the structure and performance of the states health care system. Regulatory authorities whose uses are envisioned under SIM include, but are not limited to the following: a. Aligning certificate of need processes and criteria (if applicable) to reinforce accountable care and delivery system transformation or developing alternative approaches to certificate of need programs, such as community-based approaches that could include voluntary participation by all providers and payers; b. Developing regulatory approaches to improve the effectiveness, efficiency and appropriate mix of the health care work force, such as through professional licensure/accreditation of providers and/or expanding scope of practice statutes; c. Creating opportunities to align state regulations and requirements for health insurers with the broader goals of multi-payer delivery system and payment reform; d. Integrating value-based principles into health insurance exchange Qualified Health Plan (QHP) certification processes, state employee plans, or Medicaid managed care plans including through selective contracting with carriers to provide health care coverage plans that provide the most competitive combination of value, quality, and choice; and e. Requiring academic medical centers and professional schools to integrate transformation-based teachings into medical education programs. f. This list is not intended to be exhaustive. States may propose alternative regulatory

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authorities that support delivery system transformation to satisfy this requirement in consultation with CMS. CMS recognizes that health information technology and data analytics will be important to achieving optimal efficiency and improved outcomes in state-wide health care delivery. States may propose to use SIM funds for the implementation of specific technology, software, applications, or other analytical tools as part of state infrastructure development to support the Model Test as long as the state provides a clear strategy for how, if applicable, the technological approach will be financed in addition to SIM, how it will not supplant other funding sources, and how it will be sustained after the cooperative agreement period has ended. Proposals must document the current state of health information technology adoption and utilization in the state, including current EHR adoption levels, percentage of providers meeting Meaningful Use requirements in the EHR Incentive Programs, and use of technology to support HIE activities. The Model Test proposals must also provide detailed descriptions for health information technology plans in the following domains:

a. Governance: Describe how state leadership will direct the planning and oversight of implementation; supply a comprehensive plan to implement infrastructure to support the Model Test that leverages existing assets and aligns with federally-funded programs and state enterprise IT systems; and explain how the governance structure will incorporate and expand existing public/private health information exchanges, including those operated by ACOs.

b. Policy: Describe policy and regulatory levers that will be used to accelerate standards based health information technology adoption to improve care in the state Model Test; describe methods to improve transparency and encourage innovative uses of data; offer a plan for promotion of patient engagement and shared-decision making; and propose multi-payer strategies to enable and expand the use of health information technology.

c. Infrastructure: Describe how the state will implement analytical tools and use data driven evidence based approach to coordinate and improve care across the state Model Test; offer plans to utilize telehealth and perform remote patient monitoring to increase access to care and the timeliness of care; articulate plans to use standards based health IT to enable electronic quality reporting; explain how public health IT systems (such as clinical registry systems) will be integrated; and describe how support of electronic data will drive quality improvement at the point of care.

d. Technical Assistance: Define how the state will provide technical assistance to providers; identify targeted provider groups that will receive assistance and what services will be delivered; and identify how the state intends to extend resources to providers ineligible for Meaningful Use incentive payments, if applicable. This list is not intended to be exhaustive. States may propose alternative approaches to data analytics and health information technology that support delivery system transformation. States will be offered the opportunity to obtain technical support from the Office of the National Coordinator for Health IT in developing the plans. In addition to explaining the individual components of the test, the State must address its rationale for how the specified elements and/or programs, in combination, will achieve state-wide health transformation. States may elect to focus on select areas of the state and/or to sequence elements or programs in the test geographically or temporally. The state should identify the tests geographic scope in this section and address any sequencing of individual elements and/or programs in its response to Section I.4.A.iv., Operational Plan, below. The state must demonstrate how it will use its unique role as a stakeholder convener to accelerate state-wide health transformation. The state must (1) demonstrate that there are a significant number of key stakeholders representative of the entire state population engaged and actively committed to the implementation of the state Model Test proposal and (2) present a clear and pragmatic strategy for maintaining stakeholder commitment throughout implementation of the proposed test. Stakeholders must include health care providers/systems, commercial payers/purchasers, state hospital and medical associations, community-based and long term support providers, consumer advocacy organizations, and, as applicable, tribal communities. The state must submit attestations of support from each identified stakeholder as part of its application (template provided in Appendix 3). Notwithstanding the above, representatives from stakeholder organizations must be prepared to travel to CMS or participate in a virtual teleconference during the selection process to discuss their commitment to the state proposal. The state must provide plans to develop a state-wide plan to align quality measures across all payers in the state. If the state and key stakeholders have not yet reached consensus on such a plan at the time of submission, the proposal must describe in detail any progress to date on quality measure alignment, including the successes and challenges faced, and must articulate a path for developing a realizable plan by the conclusion of the up to 12 month pre-implementation period. The plan should also

demonstrate the payers commitment to reducing the administrative and/or non-clinical burden to providers in the state. The state must provide quantifiable measures for regularly monitoring the impact of its proposed model, including the effectiveness of the policy and regulatory levers applied under the Model Test, on the three key outcomes of (1) strengthening population health; (2) transforming the health care delivery system; and (3) decreasing per capita health care spending. Measures should be selected with a focus on the particularized state health demographics and health needs the Model Test proposal aims to address. All quality and cost measures must use the state's entire population in the denominator. Examples of measure domains that may apply include: Population health: percentage of state residents using tobacco; Health care delivery system transformation: percentage of state residents attributed to a primary care doctor; Per capita cost spending: per capita Medicare inpatient costs. Final measures will be refined in conjunction with CMS during the up to 12 month pre-implementation period. The state also will be responsible for monitoring and reporting to CMS on the progress and impact of its Model Test at regular intervals. In addition, CMS will conduct an independent evaluation of funded proposals in accordance with the requirements set forth in Section 1115A of the Social Security Act (added by Section 3021 of the Affordable Care Act). The state must identify all existing health care innovation initiatives occurring within the state, including CMS, HHS, federal, and external initiatives (e.g., the Robert Wood Johnson Foundation Aligning Forces for Quality program), and demonstrate how the proposal aligns with these health care innovation efforts. The state must describe how the proposal will (1) coordinate with and build upon existing initiatives and (2) ensure that federal funding will not be used for duplicative activities, or to supplant current federal or state funding. For example, if a state is participating in the State Financial Alignment Model, the state should describe how the State Financial Alignment Model complements the state's proposed SIM model. As part of its application, the state must submit a SF-424A and a budget narrative. The budget narrative must be consistent with the SF-424A and Model Test requirements as well as limit overhead and administrative costs to no more than 10% of direct costs. States should indicate other resources that will aid in implementing the Model Test plan. See Section IV. 2. Content and Form of Application Submission for more information. As part of its application, the state must submit a Financial Analysis. The Financial Analysis must estimate the proposals return on investment for the Model, and specifically for Medicare, Medicaid, and/or CHIP populations, over the performance period of the award as well as on a projected annualized basis after the term of the award is finished. The state must explain how its interventions will reduce total cost of care for the beneficiaries its model serves. The Financial Analysis also must provide financial models explaining the logic driving their forecasted cost of care savings. The state must obtain and submit an external actuarial certification of their Financial Analysis with their application. A qualified actuary who is a member of the American Academy of Actuaries must complete the external certification. The CMS Office of the Actuary will assist in reviewing the reasonableness of the estimated cost to the government, and will review the potential for federal savings. The external actuarial certification, as well as the review of the CMS Actuary, will be considered in final selection of Model Test awards. The state must submit a detailed Operational Plan that describes the activities and budgets for each year of the model and provide a detailed timeline for implementation and major milestones for successfully executing the Plan. The Operational Plan must show how the applicant plans to scale implementation activities to ramp up to an operational start within twelve months of receiving funding. The applicant must also establish accountability targets for the project, including specific quarterly milestones and metrics associated with each investment or activity that would be financed in whole or in part by this award. Projected quarterly targets for the test period should indicate the number and/or proportion of health care providers, hospitals, and beneficiaries that will be engaged by each Model Test component. The Operational Plan must also address any assumptions made and risks to the operational timeline, probability and impact of identified risks actually occurring, and projected strategies for mitigating identified risks. In addition, the application should show that the applicant has the resources and track record needed to operate the model and report on the progress it is making during the operation. Applicants shall include a list of key personnel; and for each person on this list, applicants should describe their relevant background, their roles, and overall responsibility. Applicants should address the Governors existing and future involvement in the models design and implementation, and the state agencies and/or departments that will be actively involved in executing the model. Applicants may also propose an operational plan

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that implements their State Health System Innovation Plan through a public-private partnership. Under such an approach, the state must demonstrate active engagement and participation in the public-private partnership. Model Design: Proposal Requirements The Innovation Center will award up to 15 Model Design states through this Funding Announcement. Model Design awards will provide financial and technical support to design proposals for successful state-wide transformation. Awards will range from \$1-3 million over a one-year project period. Eligible states include both those that did not participate in Round 1, as well as Round 1 Model Design states that met the terms and condition of the Round 1 project but require additional design work in order to implement the plan (i.e. increased stakeholder engagement, measurable public health value, cost and quality targets). Round 1 Model Design state proposals shall include the extent to which the state will develop a design that enhances the existing state plan for delivery transformation. To the extent feasible, Round 2 Model Design awardees will be required to implement and test the plans they develop. Model Design applications must address the following required areas: The state must demonstrate a clear process for designing or refining a plan with the engagement of multiple components of state government. The state should address the elements detailed in Section I.4.A.i, Model Test Project Narrative, and listed by name below, including specifically identifying the levers the state will aim to incorporate into a comprehensive state plan, such as the states Medicaid program, state employee health plans, and state-owned academic medical centers. In their design plans, states must explain the unique features of their design efforts and their strategies for designing a plan that aligns with existing CMS efforts. Further, state plans must include multiple payers. This narrative must include a modified stakeholder engagement strategy, as outlined in Section I.4.B.i.6 below. o Plan for Improving Population Health o Health Care Delivery System Transformation Plano Payment and/or Service Delivery Modelo Leveraging Regulatory Authorityo Health Information Technologyo Stakeholder Engagement. The application must identify the proposed stakeholders that will actively participate in the Model Design process and present a clear and pragmatic strategy for engaging and maintaining their commitment to developing a State Health System Innovation Plan. States are expected to work with a broad group of stakeholders representative of the entire state population in their Model Design process, including, but not limited to, a significant number of health care providers/systems, long term service and supports providers, commercial payers, state hospital and medical associations, tribal communities and consumer advocacy organizations. The state must describe the strategy for designing a state health plan that includes multi-payer payment innovation and measure alignment. o Quality Measure Alignmento Monitoring and Evaluation Plano Alignment with State and Federal InnovationAs part of its application, the state must submit a SF-424A and a budget narrative. The budget narrative must be consistent with the SF-424A and Model Design requirements as well as limit overhead and administrative costs to no more than 10% of direct costs. States should indicate other resources that will aid in designing the State Health System Innovation Plan. See Section IV. 2. Content and Form of Application Submission for more information.As part of its application, the state must submit a Financial Analysis. The Financial Analysis must, at minimum, describe the populations being addressed and their respective total medical and other services costs as per member per month and population total. If known, the Financial Analysis should also describe (1) anticipated cost savings resulting from specified interventions, including the types of costs that will be affected by the model and the anticipated level of improvement by target population and (2) expected total cost savings and return on investment for the overall state model and basis for expected savings (previous studies, experience, etc.). The state must submit a detailed Operational Plan that describes the activities and budgets for the performance period of the award and a detailed timeline for the design process with major milestones. The plan should also include roles and responsibilities of key partners and payer participants (if applicable) and major milestones and dates for successfully executing the Operational Plan. Applicants also should include a list of key personnel; for each person on this list, applicants should describe their relevant background, their roles, and overall responsibility. Applicants should address the Governors existing and future involvement in the models design and implementation, and the state agencies and/or departments that will be actively involved in designing the model.Applicants may also propose an operational plan that seeks to design the State Health System Innovation Plan through a public-private partnership. Under such an approach, the state must demonstrate active engagement and participation in the public-private partnership. The operational plan must also address any assumptions made and risks to the operational timeline,

and projected strategies for mitigating identified risks.The Funding Opportunity Announcement serves as the application package for this cooperative agreement and contains all the instructions to enable a potential applicant to apply. The application should be written primarily as a narrative with the standard forms required by the Federal government for all cooperative agreements. A separate and complete application must be submitted for each type of submission and for each round of submission. A non-binding letter of intent to apply must be submitted to the CMS programmatic contact listed in Section VI. Agency Contacts by June 6, 2014. Entities which do not submit a letter of intent by this deadline will be ineligible to apply. As explained in Section III. Eligibility Information, a Governor must submit an official request, along with its letter of intent, if it plans to propose that an outside organization focused on quality and state delivery transformation, such as a non-profit affiliated with the State Department of Health or a public-private partnership supported by the Governors Office, receives and administers funds through a Model Design or Model Test award. A justification must be included with the request as well as an attestation that the state will actively participate in all activities described in the proposal. Approval of such requests will be at the sole discretion of CMS. Only one application supported by the Governor will be allowed per state. Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with Grants.gov, contact support@grants.gov or call 1-800-518-4726. The Funding Opportunity Announcement can also be viewed on the Innovation Center website at <http://innovations.cms.gov>. Specific instructions for applications submitted via <http://www.grants.gov>: You can access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number shown on the cover page of this announcement. At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov>, because of the time needed to complete the required registration steps. All applicants under this announcement must have an Employer Identification Number (EIN) to apply. Please note, the time needed to complete the EIN registration process can be substantial, and applicants should therefore begin the process of obtaining an EIN immediately upon posting of this FOA to ensure the EIN is received in advance of application deadlines. All applicants, as well as sub-recipients, must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number at the time of application in order to be considered for a grant or cooperative agreement. A DUNS number is required for using the Government-wide electronic portal, www.grants.gov. The DUNS number is a nine-digit identification number that uniquely identifies business entities. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. This number should be entered in the block with the applicant's name and address on the cover page of the application (Item 8c on the Form SF 424, Application for Federal Assistance). The name and address in the application should be exactly as given for the DUNS number. Applicants should obtain this DUNS number as soon as possible after the announcement is posted to ensure all registration steps are completed in time. Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with Grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password, at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines. When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz point-of-contact will receive an e-mail notification. The e-mail address provided in the profile will be the e-mail used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence. The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications. Any files uploaded or attached to the Grants.Gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file format as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted

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as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above constraints will be excluded from the application materials during the review process. After you electronically submit your application, you will receive an automatic email from <http://www.grants.gov> that contains a Grants.gov tracking number. Please be aware that this notice does not guarantee that the application will be accepted by Grants.gov. Rather, this email is only an acknowledgement of receipt of the application by Grants.gov. All applications must be validated by Grants.gov before they will be accepted. Please note, applicants may incur a time delay before they receive acknowledgement that the application has been validated and accepted by the Grants.gov system. In some cases, the validation process could take up to 48 hours. If for some reason the application is not accepted, then the applicant will receive a subsequent notice from Grants.gov indicating that the application submission has been rejected. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline because the original submission failed validation and is therefore rejected by Grants.gov, as a result of errors on the part of the applicant, will not be accepted by CMS and/or granted a waiver. For this reason, CMS recommends that applicants apply in advance of the application due date and time. After HHS retrieves your application package from Grants.gov, a return receipt will be e-mailed to the applicant contact. This will be in addition to the validation number provided by <http://www.grants.gov>. Each year organizations and entities registered to apply for Federal grants and cooperative agreements through <http://www.grants.gov> will need to renew their registration with the System for Award Management (SAM). You can register with SAM online; registration will take about 30 minutes to complete (<https://www.sam.gov/portal/public/SAM/>). Failure to renew SAM registration prior to application submission will prevent an applicant from successfully applying via Grants.gov. Similarly, failure to maintain an active SAM registration during the application review process can prevent HHS from issuing your agency an award under this program. Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service. All applications for the awards must be submitted electronically and be received through <http://www.grants.gov> by the deadlines listed below. All applications will receive an automatic time stamp upon submission and state applicants will receive an e-mail reply acknowledging the applications receipt. Please be aware of the following: 1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement. 2) If you experience technical challenges while submitting your application electronically, please contact Grants.gov Support directly at: www.grants.gov/customersupport or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays). 3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved. To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all state applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. Grants.gov complies with Section 508 of the Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site, including forms contained with an application package, he or she can e-mail the Grants.gov contact center at support@grants.gov for help, or call 1-800-518-4726. Each application must include all contents described below, in the order indicated, and in conformance with the following specifications: Use 8.5 x 11 letter-size pages with 1 margins (top, bottom, and sides). Other paper sizes will not be accepted. This is particularly important because it is often not possible to reproduce copies in a size

other than 8.5 x 11. All pages of the project narrative must be paginated in a single sequence. Font size must be 12-point with an average character density no greater than 14 characters per inch. The budget and project narrative portions of the application must be double-spaced. The project abstract is restricted to a one-page summary, which can be single-spaced. Applications and attached proposals must not be more than 55 pages in length for Model Test awards, and no more than 27 pages for Model Design awards. For Model Test applications this total includes the project narrative, budget narrative, financial analysis, and operational plan. For Model Design, this total includes the project narrative, budget narrative, financial analysis, and operational plan. The maximum page limit includes all supporting materials, including documentation related to financial projections, profiles of participating organizations, etc. In addition, states should submit letters of support from other payers and stakeholders. The standard forms, project abstract, Governors letter of endorsement, and attestations of support from other payers and stakeholders are NOT included in the page limits. The state must ensure that its submission meets the technical requirements outlined above. Failure to adhere to these technical requirements may exclude an application from consideration for a cooperative award. The following standard forms must be completed with an electronic signature and enclosed as part of the proposal. Failure to submit these forms will result in the application not being reviewed: a. SF 424: Official Application for Federal Assistance (see note below) b. SF 424A: Budget Information Non-Construction c. SF 424B: Assurances-Non-Construction Programs d. SF LLL: Disclosure of Lobbying Activities All applicants must submit this document. If your agency does not engage in lobbying, please insert Non-Applicable on the document and include the required Authorized Organizational Representative (AOR) name, contact information, and signature. Project Site Location Forms(s) f. Project Abstract Summary (see description below) Note: On SF 424 Application for Federal Assistance: a. On Item 11 Descriptive Title of Applicants Project, state the specific cooperative agreement opportunity for which you are applying: State Innovation Models. b. For Item 15 please provide a succinct descriptive title of the applicants project. Please do not add attachments in Item 15.c. Check No to item 16b, as Review by State Executive Order 12372 does not apply to these cooperative agreements. A letter from the Governor (or Mayor, if from the District of Columbia) endorsing the project and identifying the title of the project, the principal contact person and the major partners, departments, and organizations collaborating on the project. The letter (addressed as below) must be uploaded in the application. The original signed letter must be sent to the following address: Gabriel NahGrants Management Specialist Office of Acquisition and Grants Management Centers for Medicare and Medicaid Services U.S. Department of Health and Human Services Mailstop # 7700 Bethesda 5600 Fishers Lane Rockville, MD 20857 The one-page abstract (single-spaced) must succinctly describe the proposal and should include the goals of the proposal; the total budget; the number of included beneficiaries, providers, and payer participants; the projected total cost of care savings; and a description of how the funds will be used. The abstract is often distributed to provide information to the public and Congress, so it must be written in a manner that it is clear, accurate, concise, and without reference to other parts of the application. Personal identifying information should be excluded from the abstract.

Award Procedure:

CHART/SIM Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer. The NoA is the document authorizing the cooperative agreement award and will be sent through electronic mail to the applicant organization as listed on the SF424. Any communication between CMS and applicants prior to issuance of the NoA is not an authorization to begin performance of a project. Unsuccessful applicants are notified within 30 days of the final funding decision for each cooperative agreement and will receive a disapproval letter via the U.S. Postal Service and/or electronic mail.

Deadlines:

October 31, 2014 - December 31, 2018. Please see "Other Deadline Information" Oct 31, 2014 to Dec 31, 2018: Please see "Other Deadline Information".

Anticipated Notice of Cooperative Agreement Announcement Dates:

Round Two Model Design: October 31, 2014

Round Two Model Test: October 31, 2014

Anticipated Cooperative Agreement Period of Performance:

Round Two Model Design: January 1, 2015 to December 31, 2015

Round Two Model Test: January 1, 2015 to December 31, 2018 (Inclusive of a pre-

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implementation period of up to 12 months).

Range of Approval/Disapproval Time:

Other. CHART Due Date for Letter of Intent (e.g., MM/DD/YYYY) 04/13/2021 Application Due Date & Time 05/11/2021 Anticipated Issuance Notice(s) of Award September 2021 Period of Performance Start Date October 2021 Period of Performance End Date December 2028 SIM Oct 31, 2014 to Dec 31, 2018: Please see "Other Deadline Information". Anticipated Notice of Cooperative Agreement Announcement Dates: Round Two Model Design: October 31, 2014 Round Two Model Test: October 31, 2014 Anticipated Cooperative Agreement Period of Performance: Round Two Model Design: January 1, 2015 to December 31, 2015 Round Two Model Test: January 1, 2015 to December 31, 2018 (Inclusive of a pre-implementation period of up to 12 months). Anticipated Notice of Cooperative Agreement Award Dates: Model Design Phase: Award Date: November 15, 2012 Round One Model Testing: Award Date: November 15, 2012.

Appeals:

Other. CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

Not Applicable. CHART/SIM CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Initial funding of Model Test and Model Design awards is contingent upon the states acceptance of the awards terms and conditions through the initial drawdown of funds and, in the case of Model Test awards, explicit CMS approval of an operational plan submitted by the state. States that received Model Design awards had twelve months from the award start date to complete their State Health System Innovation Plans and Model Designs. The project period and budget period for Model Design was one year, anticipated to be until December 31, 2015. See the following for information on how assistance is awarded/released: The 48-month project period for Round 2 Model Test is divided into four budget periods, with an initial budget period of twelve months for pre-implementation work followed by three budget periods of 12 months each. Following the initial twelve-month budget period, non-competing continuation awards have been/will be granted for each additional year of the cooperative agreement contingent upon availability of funding, state performance, and demonstrated progress towards the goals and objectives of this FOA. The anticipated test completion date for states receiving Model Test Round 2 awards is January 31, 2019. The specific period of performance for each state model will be included in the cooperative agreement and be executed upon the initial drawdown of funds by the recipient. Method of awarding/releasing assistance: other

Reports:

SIM The Innovation Center takes an active and substantial role in the evaluation and monitoring of the SIM Model Test awards. The activities funded under the cooperative agreement and their resulting State responsibilities are part of performance tracking, measuring, and evaluation responsibilities of CMS and the Innovation Center. For Model Test states CMMI will examine the extent to which states plans are being implemented, whether health care spending in those states changed over time, and the impact on health care quality. Performance assessment, monitoring, and evaluation for Model Test awards focus on: impact on quality of care, patient experience, and health status Impact on health care costs Implementation and test performance, including: o Meeting proposed design and planning or implementation and test milestones. o Demonstrating readiness to carry out design and planning work or implementation activities required to test the proposed model. o Producing timely and accurate reports showing clear progress on design and planning activities or providing the required data, and/or reports on health care cost, quality, and population health performance, as delineated in the cooperative agreement. o Community integration of health care Awardees must

agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports are submitted electronically and include information on how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS provides the format for project and model reporting and technical assistance necessary to complete required report forms. States must also agree to respond to requests that are necessary for the evaluation of their Model Test efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. CMS will continue to make requests for data related to its evaluation beyond the end of the states performance period. The period for which CMS may continue to make such requests is further clarified in the terms and conditions of award. CMS has enlisted a third party entity to assist in evaluating the model implementation and testing performance results and outcomes. All awardees are required to cooperate in providing the necessary data elements to CMS or CMS contractor. The contractor assists CMS in developing cost, quality, beneficiary experience, and population health monitoring and review model performance to ensure model requirements are met; tracking performance across awardees and providing for monitoring and early detection of model performance issues; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements and assisting with state implementation, including coordination between states and CMS and its other contractors. Data for monitoring includes process, safety, and performance measures including beneficiary experience. It includes, but is not limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the Model Test and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the delivery system and/or payment model. This will include detailed information on participant characteristics and outcomes reported in a standard format. Data for monitoring is collected from awardees and/or CMS claims data, electronic health record, public health or other sources. The model monitoring aspect of this initiative balances the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course corrections that improve or optimize performance of the delivery system or payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation also assess whether there is evidence of harm or unintended consequences as a result of the models or testing methods. The evaluation strategy for this initiative includes an overall design and data collection phase and an impact evaluation. Broadly, CMS evaluates each state model and then compares all models to identify themes related to improved care and health outcomes and reduced costs. CMS has ultimate responsibility for the evaluation process and reports. An external evaluation contractor supports the Innovation Center during the Implementation and Test process. This Innovation Center evaluator works with each state to develop standards for data collection and use and for data reporting, as well as requirements for those data elements that will be collected by the states and reported to CMS. The Innovation Center evaluator also defines the measures to be used and evaluation methods to be employed. Data collection is central to the success of the evaluation. Adhering to the data collection requirements is a condition of participating in this initiative. States are expected to cooperate in the evaluation process and provide the necessary data to evaluate state models. This data is shared with the state evaluator team and with Innovation Center evaluation contractors. The evaluation relies on multi-pronged data collection in order to understand the context of the model and to capture the nuances occurring at the model sites. Data for the analyses is collected collaboratively between the Innovation Center evaluation contractor and the states themselves, and comes from sources including, but not limited to: provider surveys; Medicare administrative claims; state Medicaid and CHIP programs; beneficiary experience surveys; site visits with practices; and focus groups with beneficiaries and their families and caregivers, practice staff, direct support workers and others (e.g., payers). Additional data requirements may include states providing Medicaid encounter data (baseline and during the model test period) if relevant to program evaluation. The Innovation Center evaluation will assess the impact of the models on the quality of care, health outcomes, community health, and net saving in total costs. Towards the end of the Model Test, the Innovation Center evaluation contractor will conduct impact evaluations of the effectiveness of each state model on key outcomes for target Medicare, Medicaid, and CHIP

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beneficiaries. This Innovation Centers impact evaluation should provide key messages about what types of state strategies are associated with success. Ultimately, the evaluation results will identify and characterize the most effective models to inform future policy making around improving beneficiary care, improving beneficiary health, and reducing costs. The State self-evaluation contractor creates State-specific evaluations relevant to all populations and payers involved in their SIM initiative; data collection, storage, cleaning and creation of analytic datasets; continuous quality improvement and analysis of evaluation metrics on a quarterly basis; and working with the Innovation Center evaluator to supply necessary data. The State self-evaluation contractor needs to be an independent entity. The goal is for states to continue these evaluations once the SIM initiative is complete. The States agreement with their evaluation contractor will be reviewed by CMS to ensure the evaluators capabilities. This State self-evaluation contractor will provide data to both CMS evaluators and the Innovation Center external evaluation contractor(s). The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. States must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. The quarterly reporting due dates are as follows: 4/30, 7/30, 10/30, 1/30. A Quick Reference Guide for completing the FFR in PMS is at: www.dpm.psc.gov/grant_recipient/guides_forms/ffr_quick_reference.aspx. In addition to submitting the quarterly FFR to PMS, states must also provide, on an annual basis, a FFR to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual FFRs must be submitted within 90 calendar days of the applicable year end date. The final FFR must be submitted within 90 calendar days of the project period end date. More details are outlined in the Notice of Award. Awards issued under this FOA are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at www.fhrs.gov).

Non-Competing Continuation awardees may be subject to this requirement and will be so notified in the Notice of Award. States must submit a quarterly electronic SF-425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. States must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. In addition to submitting the quarterly FFR to PMS, states must also provide, on an annual basis, a hard copy FFR to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be mailed and received within 90 calendar days of the project period end date. More details are outlined in the Notice of Award. States must submit a quarterly electronic SF-425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. Each awardee will be required to submit quarterly and annual progress reports. CMS will provide awardees with guidance and a template for progress report submissions. These reports will include narrative updates on Model activities as well as information on operational and performance milestones in accordance with the CHART Model cooperative agreement. The Innovation Center will use the periodic reports to track progress on Model goals, identify technical assistance needs, and inform learning activities for

all awardees. The operations and performance milestones will support Innovation Center efforts to confirm that each awardee is able to meet program requirements and deliver high quality care to beneficiaries. The Innovation Center will also share these findings with awardees individually on an ongoing basis for quality improvement purposes. Awardees must maintain records of all source data used to calculate program milestone measures and make such data available to the Innovation Center for periodic audits. CMS will consider awardees for corrective action, funding restrictions, or termination if they do not meet the Model reporting requirements outlined in their cooperative agreement Notice of Award and Terms and Conditions. Financial Reports: Quarterly Cash Transaction Financial Reporting Recipient must report, on a quarterly basis, cash transaction data via the Payment Management System (PMS) using the Federal Financial Report (SF-425 or FFR) form. The FFR combines the information that recipients previously provided using two forms: the Federal Cash Transactions Report (PSC-272) and the Financial Status Report (SF-269). Cash transactions data is reflected through completion of lines 10a-10c on the FFR. Recipient must include information on indirect costs if approved as part of grant (or cooperative agreement) award. The quarterly FFR is due within (30) days after the end of each quarter. Semi-Annual, Annual, and Final Expenditure Reporting Recipient must also report on Federal expenditures, Recipient Share (if applicable), and Program Income (if applicable and/or allowable) at least annually. Frequency of expenditure reporting, whether semi-annually or annually, is stipulated in the Program Terms and Conditions of award. This information is reflected through completion of lines 10d through 10o of the FFR. Recipient must include information on indirect costs if approved as part of grant (or cooperative agreement) award. Additional information on financial reporting will be provided in the terms and conditions of award. Federal Funding Accountability and Transparency Act Reporting Requirements New awards issued under this NOFO are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109 282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at [https://www.fhrs.gov/Payment Management System Reporting Requirements](https://www.fhrs.gov/Payment%20Management%20System%20Reporting%20Requirements)). Once an award is made, the funds are posted in recipient accounts established in the Payment Management System (PMS). Recipients may then access their funds by using the PMS funds request process. Recipients must submit a quarterly SF-425 via PMS. The report identifies cash transactions against the authorized funds for the award. Failure to submit the report may result in the ability to access funds. The PMS funds request process enables recipients to request funds using a Personal Computer with an Internet connection. The funds are then delivered to the recipient via Electronic Funds Transfer (EFT). If you are a new recipient, please go to PMS Access Procedures to find information to register in PMS. If you need further help with that process, please contact the One-DHHS Help Desk via email at pmssupport@psc.gov or call (877) 614-5533 for assistance. SIM The Innovation Center takes an active and substantial role in the evaluation and monitoring of the SIM Model Test awards. The activities funded under the cooperative agreement and their resulting State responsibilities are part of performance tracking, measuring, and evaluation responsibilities of CMS and the Innovation Center. For Model Test states CMMI will examine the extent to which states plans are being implemented, whether health care spending in those states changed over time, and the impact on health care quality. Performance assessment, monitoring, and evaluation for Model Test awards focus on: impact on quality of care, patient experience, and health status Impact on health care costs Implementation and test performance, including: Meeting proposed design and planning or implementation and test milestones. Demonstrating readiness to carry out design and planning work or implementation activities required to test the proposed model. Producing timely and accurate reports showing clear progress on design and planning activities or providing the required data, and/or reports on health care cost, quality, and population health performance, as delineated in the cooperative agreement. Community integration of health care Awardees must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports are submitted electronically and include information on how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS provides the format for project

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and model reporting and technical assistance necessary to complete required report forms. States must also agree to respond to requests that are necessary for the evaluation of their Model Test efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. CMS will continue to make requests for data related to its evaluation beyond the end of the states performance period. The period for which CMS may continue to make such requests is further clarified in the terms and conditions of award. CMS has enlisted a third party entity to assist in evaluating the model implementation and testing performance results and outcomes. All awardees are required to cooperate in providing the necessary data elements to CMS or CMS contractor. The contractor assists CMS in developing cost, quality, beneficiary experience, and population health monitoring and review model performance to ensure model requirements are met; tracking performance across awardees and providing for monitoring and early detection of model performance issues; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements and assisting with state implementation, including coordination between states and CMS and its other contractors. Data for monitoring includes process, safety, and performance measures including beneficiary experience. It includes, but is not limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the Model Test and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the delivery system and/or payment model. This will include detailed information on participant characteristics and outcomes reported in a standard format. Data for monitoring is collected from awardees and/or CMS claims data, electronic health record, public health or other sources. The model monitoring aspect of this initiative balances the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course corrections that improve or optimize performance of the delivery system or payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation also assess whether there is evidence of harm or unintended consequences as a result of the models or testing methods. The evaluation strategy for this initiative includes an overall design and data collection phase and an impact evaluation. Broadly, CMS evaluates each state model and then compares all models to identify themes related to improved care and health outcomes and reduced costs. CMS has ultimate responsibility for the evaluation process and reports. An external evaluation contractor supports the Innovation Center during the Implementation and Test process. This Innovation Center evaluator works with each state to develop standards for data collection and use and for data reporting, as well as requirements for those data elements that will be collected by the states and reported to CMS. The Innovation Center evaluator also defines the measures to be used and evaluation methods to be employed. Data collection is central to the success of the evaluation. Adhering to the data collection requirements is a condition of participating in this initiative. States are expected to cooperate in the evaluation process and provide the necessary data to evaluate state models. This data is shared with the state evaluator team and with Innovation Center evaluation contractors. The evaluation relies on multi-pronged data collection in order to understand the context of the model and to capture the nuances occurring at the model sites. Data for the analyses is collected collaboratively between the Innovation Center evaluation contractor and the states themselves, and comes from sources including, but not limited to: provider surveys; Medicare administrative claims; state Medicaid and CHIP programs; beneficiary experience surveys; site visits with practices; and focus groups with beneficiaries and their families and caregivers, practice staff, direct support workers and others (e.g., payers). Additional data requirements may include states providing Medicaid encounter data (baseline and during the model test period) if relevant to program evaluation. The Innovation Center evaluation will assess the impact of the models on the quality of care, health outcomes, community health, and net saving in total costs. Towards the end of the Model Test, the Innovation Center evaluation contractor will conduct impact evaluations of the effectiveness of each state model on key outcomes for target Medicare, Medicaid, and CHIP beneficiaries. This Innovation Centers impact evaluation should provide key messages about what types of state strategies are associated with success. Ultimately, the evaluation results will identify and characterize the most effective models to inform future policy making around improving beneficiary care, improving beneficiary health, and reducing costs. The State self-evaluation contractor creates State-specific evaluations relevant to all populations and payers

involved in their SIM initiative; data collection, storage, cleaning and creation of analytic datasets; continuous quality improvement and analysis of evaluation metrics on a quarterly basis; and working with the Innovation Center evaluator to supply necessary data. The State self-evaluation contractor needs to be an independent entity. The goal is for states to continue these evaluations once the SIM initiative is complete. The States agreement with their evaluation contractor will be reviewed by CMS to ensure the evaluators capabilities. This State self-evaluation contractor will provide data to both CMS evaluators and the Innovation Center external evaluation contractor(s). The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. States must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. The quarterly reporting due dates are as follows: 4/30, 7/30, 10/30, 1/30. A Quick Reference Guide for completing the FFR in PMS is at: www.dpm.psc.gov/grant_recipient/guides_forms/ffr_quick_reference.aspx. In addition to submitting the quarterly FFR to PMS, states must also provide, on an annual basis, a FFR to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual FFRs must be submitted within 90 calendar days of the applicable year end date. The final FFR must be submitted within 90 calendar days of the project period end date. More details are outlined in the Notice of Award. Awards issued under this FOA are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at www.fhrs.gov). Non-Competing Continuation awardees may be subject to this requirement and will be so notified in the Notice of Award. States must submit a quarterly electronic SF-425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. States must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. In addition to submitting the quarterly FFR to PMS, states must also provide, on an annual basis, a hard copy FFR to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be mailed and received within 90 calendar days of the project period end date. More details are outlined in the Notice of Award. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. States must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. In addition to submitting the quarterly FFR to PMS, states must also provide, on an annual basis, a hard copy FFR to CMS which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be mailed and received within 90 calendar days of the project period end date. More details will be outlined in the Notice of Award. CMS will enlist a third party entity to assist us in monitoring the model implementation and testing

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performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the different state models, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, beneficiary experience, and population health monitoring and review model performance to ensure model design requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of model performance issues; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements and assisting with state implementation, including coordination between states and CMS and its other contractors. Data for monitoring will include process, safety, and performance measures including beneficiary experience. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the model testing and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the delivery system and/or payment model. This will include detailed information on participant characteristics and outcomes reported in a standard format. Data for monitoring will be collected from awardees and/or CMS claims data, electronic health record, public health or other sources. The model monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course corrections that improve or optimize performance of the delivery system or payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation will also assess whether there is evidence of harm or unintended consequences as a result of the models or testing methods.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 CHARTRecipients must comply with audit requirements outlined in HHS regulation 45 CFR Part 75 (implementing 2 CFR Part 200). See Subpart F Audit Requirements. <http://www.ecfr.gov/cgi-bin/text-idx?node=pt45.1.75#sp45.1.75.f>. SIMIn accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

CHART/SIMStates must comply with the audit requirements of Office of Management and Budget (OMB) Circular A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars. States must submit a quarterly electronic SF-425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The SF-425 Certification page should be faxed to the PMS contact at the fax number listed on the SF-425, or it may be submitted to: Division of Payment Management HHS/ASAM/PSC/FMS/DPMPPO Box 6021 Rockville, MD 20852 Telephone: (877) 614-553. States must submit a quarterly electronic SF-425 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. The SF-425 Certification page should be faxed to the PMS contact at the fax number listed on the SF-425, or it may be submitted to: Division of Payment Management HHS/ASAM/PSC/FMS/DPMPPO Box 6021 Rockville, MD

20852 Telephone: (877) 614-553.

Account Identification:

75-0522-0-1-551.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$8,000,000.00; FY 22 est \$16,950,000.00;

Range and Average of Financial Assistance:

CMS will award up to 15 cooperative agreements of \$5 million per Community. SIMModel Test: 11 Model Test cooperative agreements were awarded under this State Innovation Models initiative. Awards for Model Test states range from \$20-100 million per state, based on the size of the state population and the scope of the proposal. The amount awarded will include any state cost of testing the model and meeting state and federal evaluation requirements as specified in Section V.3 below. While the Innovation Center is responsible for the evaluation of each Model Test, states must also develop their own model evaluation process, under the guidance of the Innovation Center. The state evaluations should include an examination of the models impact on the entire state population. In general, CMS expects that Model Test awards will cover only costs that are not normally part of a states operational cost, data collection cost, or administrative cost.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

CHART/SIMCooperative agreements issued under this FOA are subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. Standard terms and special terms of award accompany the Notice of Award. Potential awardees should be aware that special requirements could apply to awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the HHS review panel. The General Terms and Conditions that are outlined in Section II of the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary (as specified in the Notice of Award). The following categories of special terms of award are in addition to, and not in lieu of, otherwise applicable OMB administrative guidelines, OMB cost principles at 2 CFR Part 225 (previously OMB Circular A-87), HHS grant administration regulations at 45 CFR Part 92 (Part 92 is applicable when state and local Governments are eligible to apply), and other HHS and PHS grant administration policies. CMS reserves the right to include any of the terms outlined below in the cooperative agreement with an appropriate level of specific details: Reporting (financial, quality, operational and accountability targets progress) Learning and Diffusion (training) Stakeholders (public notice, tribal consultation) Beneficiaries (access, enrollment, change in rights) Providers (approval of training) Payers (rate setting, marketing) Project Monitoring (contract review, audits) Data Collection (data integrity, use of data) Evaluation (rapid cycle and impact) Termination Funding Financial Arrangements Operations (information technology, claims, personal health information) Program IntegrityThe administrative and funding instrument used for this program is a cooperative agreement, an assistance mechanism which involves substantial CMS programmatic involvement with the State during the performance of model test activities. Under each cooperative agreement, CMS purpose is to support and stimulate the state's activities by involvement in and otherwise working jointly with the award state in a partnership role. To facilitate appropriate involvement during the period of this cooperative agreement, CMS and the state will be in contact monthly and more frequently when appropriate. Cooperative Agreement Roles and Responsibilities are as follows: CHARTCMS will have substantial involvement in program awards, as outlined below: Technical Assistance: CMS will provide technical assistance throughout the period of the cooperative agreement. Program Evaluation: CMS will work with communities to implement lessons learned. Project Officers and Monitoring: CMS will assign specific Project Officers to each Cooperative Agreement award to support and monitor awardees throughout the period of performance. HHS Grants Management Officers and Project Officers will monitor, on a regular basis, progress of each awardee. This monitoring may be by phone, document review, on-site visit, other meeting and by other appropriate means, such as reviewing program progress reports and Federal Financial Reports (SF425). This monitoring will be to determine compliance with programmatic and financial

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requirements. Conference and Training Opportunities: CMS will host opportunities for training and/or networking, including conference calls and other vehicles. SIMC CMS will have substantial involvement in program awards, as outlined below: Technical Assistance: CMS will provide technical assistance throughout the period of the cooperative agreement. Collaboration: To facilitate compliance with the terms of the cooperative agreement and to more effectively support states, CMS will actively coordinate with certain critical stakeholders, such as: state-designated entities and other relevant federal agencies including but not limited to the Centers for Disease Control, the Administration for Community Living, the Substance Abuse and Mental Health Services Administration, the Health Resources and Services Administration, the U.S. Office of Personnel Management, the Indian Health Service, the Office of the National Coordinator for Health Information Technology, the Internal Revenue Service, the Department of Homeland Security, the Administration for Children and Families, the Department of Veterans Affairs, and the Social Security Administration. Program Evaluation: CMS will work with states to implement lessons learned to enable other states to undertake health transformation plans. Progress against the Model Test and Model Design Work Plans: CMS will evaluate grant performance and progress against the states operational plan and will allow access to funding in alignment with state progress. Project Officers and Monitoring: CMS will assign specific Project Officers to each Cooperative Agreement award to support and monitor States throughout the period of performance. HHS Grants Management Officers and Project Officers will monitor, on a regular basis, progress of each State. This monitoring may be by phone, document review, on-site visit, other meeting and by other appropriate means, such as reviewing program progress reports and Federal Financial Reports (SF425). This monitoring will be to determine compliance with programmatic and financial requirements. Conference and Training Opportunities: CMS will host opportunities for training and/or networking, including conference calls and other vehicles.

Regional or Local Office:

None.

Headquarters Office:

Stephen Cha,
2810 Lord Baltimore Drive,
Baltimore MD 21244
Email: Stephen.Cha@cms.hhs.gov
Phone: 410-786-1876
Sally Caine Leathers,
7500 Security Boulevard,
Baltimore MD 21244
Email: SallyCaine.Leathers1@cms.hhs.gov
Phone: 410-786-9731
Fax:

Website Address:

<http://www.innovations.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Model Test applications will be reviewed by an expert review panel and scored based on the quality of the proposals. The SIM Round 2 Model Test criteria for selection are as follows: Model Test Plan (50 points) a. Model Test applicant must demonstrate the ability to test innovative payment reforms that have the potential to accelerate transformation. The elements of the Model Test plans will be evaluated on the following criteria: Well developed, detailed and clear annual cost and quality targets, which the state commits to review and report at least annually;Use of policy and regulatory state levers to support successful health care transformation in the state;Alignment with existing CMS programs and other state programs;Number of residents directly affected by the Model Test;Number of providers and payers participating in the Model Test;Likelihood of accelerating delivery system transformation;Development and use of health IT infrastructure (See Appendix 2: Health Information Technology Plan). b. As this initiative is intended to reach a preponderance of a states population, a states decision to expand

Medicaid will be an important factor in assessing the states readiness to implement a state-wide plan for improving population health. Additionally, because Medicaid can serve as an important lever for driving delivery transformation, states should describe Medicaid expansion activities and the percentage of a states population covered by Medicaid. c. The Model Test must offer and clearly demonstrate a pathway to a high potential for success in producing better health, better care and lower costs through improvement for Medicare, Medicaid/CHIP, and Medicare-Medicaid enrollee populations as well as other health care consumers within the state. d. The model must describe in detail the target populations, geographic areas, or communities that will be the focus of Model Testing, the current quality and beneficiary experience outcomes including current health population status, and the specific improvement targets expected from the Model. e. The state must identify specific implementable plans to collaborate with the CDC to develop a state-wide plan for improving population health. The plans will include developing collaborative approaches to improving population health that engage public health officials and provider organizations. (See Appendix 1: Plan for Improving Population Health). f. The state must demonstrate engagement in HHS initiatives to improve health and health care delivery. g. Integrated data is used not only to directly support the implementation of health care interventions but also to inform and improve the model throughout the period of the award. The state must include a clear feedback loop and strategies for continuous monitoring and improvement of the model through collection and analysis of data across payers and partners. h. The state must identify strategies they will employ to leverage State Marketplace Exchanges to further advance value-based payment methodologies. Provider Engagement Strategy (10 Points) The state must demonstrate a clear, sustained commitment to participation and implementation of the health transformation model of major stakeholders including but not limited to advocacy groups, local governments, social service providers, and providers of acute health care, behavioral/mental health care, long term care (including home and community services as well as long term care facility services) in the state, including but not limited to state-owned entities, providers of acute health care, behavioral/mental health care, long term care (including home and community services as well as long-term care facility services). Payer and Other Stakeholder Strategy (10 Points) The state must also demonstrate participation on the part of commercial payers with respect to both financial and quality measurement alignment. The state should identify a broad group of stakeholders involved in the execution of the Model Test, including but not limited to advocacy groups, local governments and social service providers. Operational Plan (20 points) States must demonstrate the organizational and operational capacity, organizational structure, leadership and expertise to successfully implement Model Test processes. The detailed project plan and timeline should be well described and clearly demonstrate how the state will successfully lead health transformation in the state with resources provided. The project leadership must clearly demonstrate the required knowledge, skills, abilities and experience to ensure efficient, smooth and effective implementation. States must also include a sustainability plan for the next 4 years beyond the period of the award that includes changes in personnel or administration as well as a clearly detailed plan for continued financing to support sustained health reform/transformation after CMMI award funding is exhausted. Model Test Budget Narrative and Financial Analysis (10 points) The proposed budget is carefully developed, is consistent with the Model Test requirements, and is clearly linked to support of a successful implementation plan. Overhead and administrative costs are limited to 10% of direct costs with funding focused on direct support of the Model Test. States must indicate other specific resources that will aid in implementing the Model Test plan, including descriptions of how these resources directly support health transformation in the state. The proposal must document how the overall Financial Analysis, including population and intervention specific savings, will be developed, how return on investment will be calculated, and how the state will incorporate non-CMMI funding (particularly commitments from the multi-payer collaborators, including but not limited to other state and local government resources) into the overall health transformation plan. Based on scores from the Expert Panel Review, selected applicants will be invited to present in person (in the Baltimore/Washington Metropolitan area) to an HHS Leadership Panel (see Section I.4.A. Model Test: Proposal Requirements for more information). The HHS Leadership Panel members are individuals who possess knowledge or expertise in innovative health care payment and service delivery models. They will review the applications prior to the presentations, consult during the presentations, and subsequently provide advice to the approving official. The presentations will help to ensure that only those applications that offer the greatest potential for

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furthering program purposes are selected for funding. The presentations will include the information from the FOA but will also be expected to highlight the following: State and Stakeholder Commitment States must demonstrate a commitment by a broad coalition of stakeholders, including state leadership, during the in-person presentation. The role and contribution of each stakeholder will be considered. Likelihood of Success States must demonstrate that their specific approach, through the joint efforts of stakeholders, will be likely to result in achieve success by reducing costs, improving quality and promoting delivery system transformation. Novelty of Payment Model State should demonstrate how their payment model presents a unique approach to delivery reform that would accelerate delivery transformation in a manner that has not been test on a state-wide scale. Ability to Align with Medicare Programs States should articulate how their proposal would align with existing CMS programs. For example, a multi-payer ACO approach could complement and align with the Medicare Share Savings program. State could also demonstrate how this alignment will further delivery transformation and reduces costs and improve care for all-payers, including Medicare. Model Design applications will be reviewed and scored based on the quality of the proposals. In-person presentations are not required for the Model Design applicants. The criteria for Round 2 Model Design selection as follows: Model Design Strategy (30 points) States must demonstrate a clear process for designing a plan with the engagement of multiple components of state government and with key stakeholders. The design strategy should specifically identify the levers the state would seek to develop and incorporate into a comprehensive state plan, such as the states Medicaid program, state employee health plans, stated-owned academic medical centers, etc. States must explain the unique features of their design efforts and their strategy for designing a plan that aligns with existing CMS efforts and can be implemented on a multi-payer basis. States should demonstrate efforts to improve access to care to vulnerable populations. States should also include strategies that leverage State Marketplace Exchanges in expanding value-based payment methodologies. As this initiative is intended to reach a preponderance of a states population, a states decision to expand Medicaid will be an important factor in assessing its potential impact. Continuing Round 1 Model Design states must demonstrate progress in developing their design plans and clearly articulate how proposed strategies will enhance their Round 1 efforts. Provider Engagement Strategy (15 Points) States must demonstrate the commitment of major providers of health care in the state, including behavioral/mental health care, long term care providers and state-owned entities, behavioral/mental health care, long-term care, and long-term services and supports, to participate in the design of the State Health System Innovation Plan. Continuing Round 1 Model Design states must demonstrate appreciable progress to date in engaging providers. Payer and other Stakeholder Engagement Strategy (15 points) The state must describe its strategy for designing a State Health System Innovation Plan that includes multi-payer payment innovation and measure alignment. The design of these aspects of the plan must include the participation of commercial payers and purchasers as well as various stakeholders, including state health associations and advocacy groups. States are expected to identify a broad group of stakeholders and create a mechanism for their effective participation in planning of the State Health System Innovation Plan and document the development of a multi-payer Model Design with stakeholder input. Round 1 Model Design states must demonstrate results in engaging payers and other stakeholders. Operational Plan (10 points) The state must demonstrate the organizational capacity, organizational structure, leadership, and expertise to successfully complete the Model Design process. The project plan and timeline should be detailed and well described. The staff or consultants proposed to lead the planning effort should have the skills and experience needed to ensure smooth and effective implementation. Model Design Budget Narrative and Financial Analysis (30 points) The proposed budget is carefully developed and consistent with the Model Design requirements. Overhead and administrative costs are reasonable (limited to 10% of direct costs) with funding focused on supporting the Model Design effort. States should indicate other resources that will aid in designing the State Health System Innovation Plan. The proposal should document how the overall Financial Analysis, including population and intervention specific savings, will be developed. Part of the review process will include an analysis of the readiness of the state to complete the design process within one year after approval of a cooperative agreement award.

93.630 Developmental Disabilities Basic Support and Advocacy Grants

(State Councils on Developmental Disabilities and Protection and Advocacy Systems).

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000, Title I, Section 121, Public Law 106-402, 42 U.S.C 15021-15045. , Title I, Section 121, Public Law 106-402, 42 U.S.C 15021-15045., Title I, Section 121, Public Law 106-402, 42 USC Code 15021-15045.

OBJECTIVES:

Developmental Disabilities Basic Support and Advocacy Grants: To enable individuals with developmental disabilities to become independent, productive, integrated and included into their communities. Funding under these programs is to assist States in the development of a plan for a comprehensive and coordinated system of services and other activities to enhance the lives of individuals with developmental disabilities and their families to their maximum potential, and to support a system which protects the legal and human rights of individuals with developmental disabilities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Developmental Disabilities Basic Support and Advocacy Grants: (1) Allotments under the basic developmental disabilities formula grant program may be used by States for priority area and other activities, including administrative costs, to build capacity, to refocus existing services, and to advocate to better meet the needs of individuals with developmental disabilities. The designated State agency in each State receives, accounts for and disburses funds, and provides for required assurances and other administrative support services on behalf of the State Developmental Disabilities Council, which carries out the priority area activity and other activities under an approved five year State Plan. This plan and corresponding budget is developed and administered by the State Developmental Disabilities Council. Federal funds may be expended for up to half the cost of the functions of the designated State agency under this program, but may not exceed five percent of a State's allotment or \$50,000, whichever is less. (2) Allotments under the protection and advocacy program may be used to assist States in supporting a system which will have authority to pursue legal and other remedies to protect the rights of individuals with developmental disabilities within the State.

Applicant Eligibility:

State; State grant agencies are the designated State agencies of the respective States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, Northern Mariana Islands, American Samoa. Under the basic developmental disabilities program, the designated State agency must not provide or pay for services to individuals with developmental disabilities, unless it has held such designation on the date of the enactment of the Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1994, and the Governor of the State (or the legislature, where appropriate and in accordance with State law) determines prior to June 30, 1994, not to change the designation of such agency. The State can only receive funding under the basic developmental disabilities program if it is also participating in the protection and advocacy program. The agency designated to implement the State system under the protection and advocacy program cannot provide or pay for services to individuals with developmental disabilities, and that agency must have authority to obtain access to records of individuals with developmental disabilities.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; To be eligible for a grant, an agency must be designated to administer the program on behalf of the State. The Basic Program benefits individuals with developmental disabilities through systems change. The Protection and Advocacy system benefits individuals with developmental disabilities. Developmental disability is defined here as a severe chronic disability of an individual that is attributable to mental, physical, or a combination of impairments, is manifested

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before age 22, that is likely to continue indefinitely, that results in substantial functional limitations in three or more of the following major life activities (self-care, receptive and expressive language, learning, mobility, self-direction, capacity for independent living, and economic self-sufficiency), and that reflects an individual's lifelong need for services. Infants and children from birth to age 9, inclusive, are included if they have a developmental delay or condition with a high probability of resulting in developmental disabilities if services are not provided.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR 75. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Basic Support: Requests for allotments are submitted in the form of a State plan, which must be submitted not less than every 5 years, and must address all of the requirements of the Statute. Protection and Advocacy: Application is made by the State's submission of a description of the Protection and Advocacy system in the State and appropriate assurances as required by law, and by annual submission statement on the goals and priorities.

Award Procedure:

Formula awards are processed through a payment management system to the State.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. None.

Appeals:

Other. Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Other. Automatic, under approved application.

Formula and Matching Requirements:

Statutory Formula: Title I Programs for Individuals with Developmental Disabilities, Public Law 106-402. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Match is only for the Councils. No match is required for Protection and Advocacy allotments. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds provided under allotments must be obligated by states by the end of the fiscal year following the fiscal year for which appropriations were received. Such obligation must be liquidated by the end of the following fiscal year. Payments are made through Electronic Transfer System or, when such is not practicable, on basis of payment requests from the State to meet current needs. Method of awarding/releasing assistance: lump sum.

Reports:

Annual financial status reports and annual program performance reports for the Protection and Advocacy and Basic Support grant programs are required. An annual program performance for the Protection and Advocacy and Basic Support grant programs are required. Performance monitoring is performed by grantees receiving technical assistance through on-site compliance reviews.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR

200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$78,000,000.00; FY 21 est \$79,000,000.00; FY 22 est \$88,480,000.00;

Range and Average of Financial Assistance:

(Formula Grants) SCDD FY 20 est \$78,000,000.00; FY 21 79,000,000 and FY 22 est 88,480,000; PADD FY 20 \$40,784,000.00; FY 21 \$41,784,000.00; est FY 22 \$46,798,000

TAFS Codes:

75-4201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92, Grant Administrative Requirements; and Program Regulations in Chapter XIII of Title 45 of the Code of Regulations, Parts 1385 and 1386.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Contact the Regional Administrator, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog for list of addresses.)

Headquarters Office:

Ophelia McLain,
330 C Street, SW, Suite 1122,
Washington DC 20201
Email: Ophelia.mclain@acl.hhs.gov
Phone: (202) 795-7401

Website Address:

<http://acl.gov/Programs/AIDD/Index.aspx>

RELATED PROGRAMS:

93.631 Developmental Disabilities Projects of National Significance; 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.631 Developmental Disabilities Projects of National Significance

Developmental Disabilities Projects of National Significance,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000, Title I, Section 161, Public Law 106-402, 42 USC 15081-15083U.S.C 15081-15083., Title I, Section 161, Public Law 106-402, 42 USC Code 15081-15083.

OBJECTIVES:

To provide for grants, contracts and cooperative agreements for projects of national significance that create opportunities for individuals with intellectual and

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developmental disabilities to directly and fully contribute to, and participate in, all facets of community life; and support the development of national and State policies that reinforce, promote the self-determination, independence, productivity, and integration and inclusion of individuals with intellectual and developmental disabilities in all facets of community life.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Project Grants

USES AND USE RESTRICTIONS:

PNS Uses and Restrictions: Project grants, contracts, and cooperative agreements are approved for personnel, equipment, travel, supplies, etc. Duplicative Federal assistance is precluded. Uses include: (1) Family support activities; (2) Projects that educate policy makers; (3) projects that conduct data collection and analysis; (4) projects that provide technical assistance for developing information and referral systems; (5) Federal interagency initiatives; (6) technical assistance to self-advocacy organizations of individuals with intellectual and developmental disabilities; (7) projects that enhance opportunities for individuals with intellectual and developmental disabilities who are from racial and ethnic minority backgrounds; (8) projects that address the needs of aging individuals with intellectual and developmental disabilities and caregivers of adults with developmental disabilities; (9) projects concerning the transition of youth with intellectual and developmental disabilities from school to work and to adult life; and (10) other projects of national significance. Funds are not awarded solely for direct service delivery, construction, or for the continuation or expansion of existing projects, but rather for projects which are considered innovative and likely to have significant national impact.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; In general, any State, local, public or private nonprofit organization or agency may apply.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; In general, any State, local, public or private nonprofit organization or agency may apply.

Credentials/Documentation:

Proof of community need and consistency with developmental disabilities State Plans. Proof of nonprofit status. Compliance with statutes, regulations, and approval of State agency and DHHS/ACF Regional Offices. Costs and administrative procedures will be determined in accordance with Part 75 of Title 45 of the Code of Federal Regulations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Forms and instructions for project grants are available from HHS Central Office and the appropriate DHHS-ACF Regional Office. Forms and instructions for projects of national significance grants are available at www.grants.gov. One signed original and two copies of the grant application are required, including all attachments, and must be submitted to the address specified in the application kit. Three additional copies would be useful to facilitate processing. In addition, concurrently, one (1) copy of the application should be submitted to the applicant's DD State Council and two (2) copies to the appropriate State Single Point of Contact (SPOC) for review and comment as per Executive Order 12372, "Intergovernmental Review of Federal Programs." (Addresses for State Developmental Disabilities Councils and SPOCs are included in the application kit.) The application must be signed by an individual authorized to act for the applicant agency and to assume for the agency the obligations imposed by the terms and conditions of the grant award including the regulations for the Projects of National Significance Grants Program.

Award Procedure:

Applications are reviewed and recommendations made by the Central Office, Administration on Intellectual and Developmental Disabilities. Successful applicants are notified through the issuance of a Financial Assistance Award which sets forth in writing the amount of funds granted, the purpose of the grant, the terms and conditions of the grant award, the effective date of the award, the budget period for which support is given and the total grantee participation. The initial award, when applicable, also specifies the project period for which support is contemplated.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies, from 60 to 180 days according to the type of program being requested.

Appeals:

Other. Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching requirements are specified in each published funding opportunity announcement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods for grants are specified in each published funding opportunity announcement. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required for each budget period. A Final progress report is required 90 days after the end of project period. Expenditure reports are required for each budget period. Performance monitoring includes review of reports and site visits as required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years.

Account Identification:

75-0142-0-1-506.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$12,250,000.00; FY 21 est \$12,250,000.00; FY 22 est \$13,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1387.

Regional or Local Office:

See Regional Assistance Locations. Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services; 330 C Street, SW, Washington, District of Columbia

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20201

Headquarters Office:

Director Allison Cruz,
330 C St, SW...
Washington DC 20201
Email: Allison.Cruz@acl.hhs.gov
Phone: (202) 795-7334

Website Address:

<http://www.acl.gov/Programs/AIDD/Index.aspx>

RELATED PROGRAMS:

93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service; 93.630 Developmental Disabilities Basic Support and Advocacy Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

No application can be approved unless it shows/provides evidence of its non-profit status. Project's proposed design is complete and feasible, and includes measurable objectives and an evaluation component. Project does not supplant activities that are funded under other Federal programs. Competing grant applications will be reviewed and evaluated against criteria that are specified in each published funding opportunity announcement.

93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service

(University Centers (UCEDD)),

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000 Title I, Section 151, Public Law 106-402, 42 U.S.C 15061-15066., Title 1, Section 151, Public Law 106-402, 42 USC Code 15061-15066.

OBJECTIVES:

To pay the Federal share of the cost of administration and operation of interdisciplinary centers that: (1) Provide interdisciplinary training for personnel concerned with developmental disabilities; (2) provide community service activities that include training and technical assistance and may include direct services, e.g., family support, individual support, educational, vocational, clinical, health and prevention; (3) conduct research (basic and applied), evaluation and analysis of public policy in areas affecting individuals with developmental disabilities; and (4) disseminate information as a national and international resource.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Salaries for administrators, coordinators, and others needed to operate the Center and expenses required to start up new programs, and faculty for training programs who will meet critical manpower shortages and are not eligible for support from other sources.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Existing Centers; A public or nonprofit entity which is associated with, or is an integral part of a college or university and which provides at least: interdisciplinary training; demonstration of exemplary services, technical assistance, research and dissemination of findings.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Mentally Disabled; Public nonprofit institution/organization; Individuals of all ages with

developmental disabilities attributable to a mental and/or physical impairment, their families, and personnel and trainees providing services to them.

Credentials/Documentation:

Assurance of maintenance of effort; statement of financial resources, especially other federally-assisted programs; identification of personnel needs and resources as found in developmental disabilities State Plans for the applicant's service area; evaluation of present training programs and utilization of space in the facility; and capability of informing researchers and others in development of services related research. Eligible candidate designated in the previous fiscal year as a Center. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms are available from the DHHS Central Office. Completed application forms and narrative should be sent to the State Developmental Disabilities Council, Designated State Agency and Protection and Advocacy agency for review and comment. The standard application forms, as furnished by DHHS must be used for this program.

Award Procedure:

Applications are evaluated by outside peer reviews and grants are awarded at the DHHS AIDD Central Office. Recommendations for funding are made by the Headquarters Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 45 to 90 days.

Appeals:

Other. Appeals are processed in accordance with regulations in 45 CFR, Part 16.

Renewals:

Other. Same as Application Procedure.

Formula and Matching Requirements:

Statutory Formula: Title I, Subpart D, Public Law 106-402. Matching Requirements: Percent: 75%. Requirement: Matching requirements are voluntary. If project activities or products target individuals with developmental disabilities who live in an urban or rural poverty area, the Federal share may not exceed 90 percent of the project's necessary costs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

University Centers awards are made for 5 years with a 12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

An annual progress report is required. Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant applications. An annual progress report is required. A Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant specifications. Grantees receive technical assistance through on-site compliance reviews and other supports. Fiscal reports are required as prescribed by grant applications. An annual progress report is required. A Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant specifications. Grantees receive technical assistance through on-site compliance reviews and other supports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$41,619,000.00; FY 21 est \$42,119,000.00; FY 22 est \$47,173,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1388.

Regional or Local Office:

None. Administration on Intellectual and Developmental Disabilities, Administration for Community Living, Department of Health and Human Services

Headquarters Office:

Program Specialist Pam O'Brien,
330 C Street SW,
Washington DC 20201
Email: Pamela.O'Brien@acl.hhs.gov
Phone: (202) 795-7417

Website Address:

<http://www.acl.gov/Programs/AIDD/Programs/UCEDD/index.aspx>

RELATED PROGRAMS:

93.631 Developmental Disabilities Projects of National Significance; 93.630 Developmental Disabilities Basic Support and Advocacy Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

University Centers must address the provisions of the Act and regulations. Geographic dispersion. University Affiliation.

93.634 Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals

Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Statutory Authority: section 1115A of the Social Security Act (added by section 3021 of the Patient Protection and Affordable Care Act (P.L. 111-148)),

OBJECTIVES:

CMS is presenting this Funding Opportunity Announcement (FOA) to ensure that the beneficiaries of the Financial Alignment Demonstration models as well as their caregivers and authorized representatives -- have access to person-centered

assistance in resolving problems and selecting appropriate health care coverage related to the Plans and providers.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Through this cooperative agreement, CMS seeks to fund activities necessary to plan for, develop and implement Demonstration Ombudsman Program services and beneficiary counseling to beneficiaries and their families, as appropriate.

Restrictions: Direct services already provided by Medicare or Medicaid.

Applicant Eligibility:

Intrastate: States which have signed an MOU with CMS to implement one of the financial alignment demonstration models.

Beneficiary Eligibility:

Consumer; State; Disabled (e.g. Deaf, Blind, Physically Disabled); States which have signed an MOU with CMS to implement one of the financial alignment demonstration models. Enrollees and caregivers in the Financial Alignment Demonstration,

Credentials/Documentation:

A state must be pursuing a financial alignment model. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awards will be made to state agencies or their designated entities to implement ombudsman and counseling programs to support the Financial Alignment Model Demonstration.

Deadlines:

Applications were due on 1st round: May 30, 2019, 2nd Round: May 1, 2020 and 3rd Round: August 17, 2020. There are no future deadlines for this program.

Range of Approval/Disapproval Time:

From 60 to 90 days. 60-90 days

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This program has one project period. There is a 45-month project period. Funds are released annually. Method of awarding/releasing assistance: other

Reports:

Monthly, quarterly and ad-hock reporting will be required. Quarterly reporting will be required Semi-Annual progress reports will be required. Quarterly expenditure reports will be required

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Accounting and Programmatic records must be maintained for three years.

Account Identification:

75-0522-0-1-551.

Obligations:

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Cooperative Agreements FY 19 \$7,168,035.00; FY 20 est \$6,267,122.00; FY 21 est \$6,276,094.00;

Range and Average of Financial Assistance:

Awards range from \$149,000-\$1,494,476 per year.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Kemuel Johnson,
7500 Security Boulevard Baltimore, MD 21207,
Baltimore MD 21207

Email: kemuel.johnson@cms.hhs.gov

Phone: 4107868200

Website Address:

<http://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialModelstoSupportStatesEffortsInCareCoordination.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be scored with a total of 100 points if only applying for one program and 200 points if applying for both ombudsman and counseling funding.

93.638 ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs)

TCPI,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 1115A of the Social Security Act (the Act), as added by 3021 of the Affordable Care Act, which authorizes the Center for Medicare & Medicaid Innovation (CMMI or the Innovation Center) to test innovative payment and service delivery models to reduce spending under Medicare, Medicaid, or CHIP, while preserving or enhancing the quality of care furnished to beneficiaries under those programs. The TCPI is a service delivery model that tests whether providing technical assistance in a specific complex adaptive manner will enable clinicians and their practices to rapidly transform the way they deliver care to patients, resulting in improved health outcomes and reduced costs. The authority for the TCPI model is section 1115A of the Social Security Act (the Act). Under section 1115A(d)(1) of the Act, the Secretary of Health and Human Services may waive such requirements of Titles XI and XVIII and of sections 1902(a)(1), 1902(a)(13), and 1903(m)(2)(A)(iii) as may be necessary solely for purposes of carrying out section 1115A with respect to testing models described in section 1115A(b). For this model and consistent with this standard, the Secretary may consider issuing waivers of certain fraud and abuse provisions in sections 1128A, 1128B, and 1877 of the Act. Waivers are not being issued in this document; waivers, if any, would be set forth in separately issued documentation. Thus, notwithstanding any other provision of this Funding Opportunity Announcement, awardees and subawardees must comply with all applicable laws and regulations, except as explicitly provided in any such separately documented waiver issued pursuant to section 1115A(d)(1) specifically for the TCPI model. Any such waiver would apply solely to the TCPI model and could differ in scope or design from waivers granted for other programs

or models.,

OBJECTIVES:

The Transforming Clinical Practice Initiative (TCPI) model will test whether a three-pronged approach to national technical assistance will enable large scale transformation of thousands of clinician practices to deliver better care and result in better health outcomes at lower costs. Practice Transformation Networks (PTN) formed by group practices, health care systems, and others that join together to serve as trusted partners to provide clinician practices with quality improvement (QI) expertise, best practices, coaching and help as they prepare and begin clinical and operational practice transformation. These organizations will collaboratively lead clinicians and practices through the TCPI phases of transformation, achieve the TCPI goals, and through adaptive redesign position these clinicians and their practices to be sustainable components of the changing care delivery system.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds shall be used to implement, manage toward results, and evaluate models that support system transformation toward higher quality care at lower costs. Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery model proposal. Funds shall not be used to build or purchase health information technology or other information technology that exceed more than 10% of total costs of the applicants proposed budget. CMS will not fund proposals that replicate models that CMS is currently testing in other initiatives.

Applicant Eligibility:

Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); InterstateIntrastateLocalSponsored Organizations Federally Recognized Indian Tribal GovernmentPrivate Nonprofit Institution/Organization Quasi-public Nonprofit Institution/OrganizationOther private Institution/OrganizationNative American OrganizationSpecialty groupSmall BusinessProfit OrganizationOther public Institution/OrganizationPublic Nonprofit Institution/OrganizationOther public Institution/Organization*Clinicians receiving other CMMI support (e.g. CPCI) and those already participating in alternate payment programs (e.g. Medicare Shared Savings Program) are not eligible for participation in TCPI.

Beneficiary Eligibility:

Individual/Family; Minority group; Anyone/general public; Native American Organizations; Health Professional; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Moderate Income; Low Income; Other Urban; Rural; The Beneficiary eligibility includes the list as noted above with the exception of:Federal,

InterstateIntrastateStudent/Trainee and Graduate StudentsArtist/HumanistEngineer/Architect, Builder/Contractor/DeveloperFarmer/Rancher/Agriculture ProducerIndustrialist/Business PersonSmall Business PersonHomeownerProperty OwnerAnyone/General Public

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions, and may also include additional specific cooperative agreement terms and conditions. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the review panel. The NoA is the legal document issued to notify the awardee that an award has been made and that funds may be requested from the HHS payment system. The NoA will be sent electronically to the awardee organization as listed on its SF 424. Any communication between CMS and awardees prior to issuance of the NoA is not an authorization to begin performance of a project. Unsuccessful applicants will be notified by letter, sent through electronically to the applicant organization as listed on its SF 424.

Deadlines:

October 23, 2014 - January 06, 2015. Applications are due in grants.gov for this FOA by January 6, 2015. Applicants are highly encouraged to submit a Letter of Intent by November 20, 2014.

Range of Approval/Disapproval Time:

Other. The anticipated date of awards for Transforming Clinical Practice Initiative is April 2015.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements, see funding agency for further details..

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: quarterly

Reports:

CMS plans to continuously monitor PTN awardees for this model test through monthly, quarterly and annual reporting requirements in order to ensure that milestones that they are responsible for achieving are met, and to ensure that technical assistance and recruitment activities are being conducted in a manner that is effective, as well as equitable toward and inclusive of CMS beneficiaries and the medically underserved. This will include quarterly monitoring trends in a PTNs recruited clinicians and their practices characteristics, as well as utilization patterns for populations served by PTN participants. We will be requiring regular aggregate reporting of practice population characteristics, attrition and accretion, and utilization stratified by the payer categories: Medicare, Medicaid, CHIP, commercial, and other, in order to monitor equity in both composition and impact of services. Doing so will also allow us to estimate cost savings by payer category. These data would be reported by the PTN on a monthly basis, and will also be used to assess savings impacts for the model over time. In addition, we will use existing CMS data (Medicare fee for service claims data) and reporting systems (physician quality reporting and meaningful use program data) as part of our monitoring efforts, in order to minimize the reporting burden on practices participating in PTNs. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. The quarterly reporting due dates are as follows: 4/30, 7/30, 10/30, 1/30. A Quick Reference Guide for completing the FFR in PMS is at: www.dpm.psc.gov/grant_recipient/guides_forms/ffr_quick_reference.aspx. In addition to submitting the quarterly FFR to PMS, Grantees must also provide, on an annual basis, a report to be uploaded into GrantSolutions which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the

final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be uploaded in GrantSolutions within 90 calendar days of the project period end date. More details will be outlined in the Notice of Award. Awardees must agree to cooperate with any Federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. In the implementation of TCPI, applicants will receive qualitative and quantitative data from their recruited practices. Applicants selected as PTNs will submit reports electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project and model reporting and technical assistance necessary to complete required report forms. Awardees must also agree to respond to requests that are necessary for the evaluation of the TCPI model and provide data on key elements of model performance and on results from the cooperative agreement activities. CMS will enlist a third party entity to assist CMS in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the different networks, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, and population health monitoring and review network performance to ensure requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of performance problems; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, and population health improvements and assisting with awardee implementation, including coordination between awardees and CMS and its other contractors.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

TCPI participants are required to keep records for a minimum of 30 days after the conclusion of the TCPI program.

Account Identification:

75-0522-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

This will be a new service delivery model. Therefore, no funds (\$0) have been requested for past or current fiscal years.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Grants Management Officer/Centers for Medicare & Medicaid Services/Office of Acquisitions and Grants Management/Phone: 410-786-5239

Headquarters Office:

LT Fred Butler, Jr.,
7500 Security Boulevard,
Baltimore MD 21244
Email: fred.butler@cms.hhs.gov
Phone: 4107865239

Website Address:

<http://www.innovation.cms.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Section V of the FOA.

93.639 Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services

State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 9813 of the American Rescue Plan Act of 2021 amended Title XIX of the Social Security Act (the Act) by adding after section 1946 (42 U.S.C. 1396w5) the following new section: SEC. 1947. State Option to Provide Qualifying Community-Based Mobile Crisis Intervention Services.,

OBJECTIVES:

The Centers for Medicare & Medicaid Services (CMS) is making available planning grants to state Medicaid agencies to support the implementation of a new state plan option, community-based mobile crisis intervention services for Medicaid recipients in the community who are experiencing a mental health or substance use disorder (MH/SUD) crisis. The purpose of this notice of funding opportunity (NOFO) is to solicit applications for state planning grants to provide funding for developing state plan amendments (SPA), section 1115 demonstrations, section 1915(b) or 1915(c) waiver program requests (or amendments to existing waivers) to provide qualifying community-based mobile crisis intervention services under the Medicaid program. Eligible applicants are state Medicaid agencies.. Support Alignment Networks (SANs) formed by group practices, health care systems, and others that join together to serve as trusted partners to provide clinician practices with quality improvement (QI) expertise, best practices, coaching and help as they prepare and begin clinical and operational practice transformation. These organizations will collaboratively lead clinicians and practices through the TCPI phases of transformation, achieve the TCPI goals, and through adaptive redesign position these clinicians and their practices to be sustainable components of the changing care delivery system.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds shall be used for purposes of implementing, administering, and making planning grants to states. Award dollars cannot be used for specific components, devices, equipment, or personnel that are not integrated into the entire service delivery model proposal.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Any state Medicaid agency may apply. Only one application can be submitted for a given state. The term State Medicaid Agency means the single state agency for medical assistance provided under Title XIX of the Social Security Act (the Act). A Territory or Tribal organization, if interested, must come under the auspices of and work with the State Medicaid Agency in the implementation of this demonstration program. The state Medicaid agency or designee must be the signatory and oversee implementation of the grant but may apply in conjunction with other co-applicant(s) including any other state agencies and/or Territory, Tribes, or Tribal organizations operating mental health and SUD treatment and recovery programs.

Beneficiary Eligibility:

Health Professional; Consumer; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; The Beneficiary eligibility includes the

list as noted above with the exception of:Federal,

InterstateIntrastateStudent/Trainee and Graduate

StudentsArtist/HumanistEngineer/Architect,

Builder/Contractor/DeveloperFarmer/Rancher/Agriculture

ProducerIndustrialist/Business PersonSmall Business PersonHomeownerProperty

OwnerAnyone/General Public

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicable to: 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program and 45 CFR Part 75 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) certified by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include Standard Terms and Conditions, and may include Programmatic Terms and Conditions. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the review panel. The NoA is the legal document issued to notify the awardee that an award has been made and that funds may be requested from the HHS Payment Management System. The NoA will be sent electronically to the awardee organization as listed on its SF 424. Any communication between CMS and the awardee prior to issuance of the NoA is not an authorization to begin performance of a project. Unsuccessful applicants will be notified by letter, and sent via electronic means to the applicant organization as listed on its SF 424.

Deadlines:

NOFO has not been posted to Grants.gov yet. All deadlines will be established in the NOFO.

Range of Approval/Disapproval Time:

Other. The anticipated date of awards for State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services is September 3, 2021.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

September 30, 2021 through September 29, 2022. Method of awarding/releasing assistance: quarterly

Reports:

CMS plans to continuously monitor SANs awardees for this model test through monthly, quarterly and annual reporting requirements in order to ensure that milestones that they are responsible for achieving are met, and to ensure that technical assistance and recruitment activities are being conducted in a manner that is effective, as well as equitable toward and inclusive of CMS beneficiaries and the medically underserved. This will include quarterly monitoring trends in a SANs recruited clinicians and their practices characteristics, as well as utilization patterns for populations served by SANs participants. We will be requiring regular aggregate reporting of practice population characteristics, attrition and accretion, and utilization stratified by the payer categories: Medicare, Medicaid, CHIP, commercial, and other, in order to monitor equity in both composition and impact

ASSISTANCE LISTINGS DESCRIPTIONS

of services. Doing so will also allow us to estimate cost savings by payer category. These data would be reported by the SANs on a monthly basis, and will also be used to assess savings impacts for the model over time. In addition, we will use existing CMS data (Medicare fee for service claims data) and reporting systems (physician quality reporting and meaningful use program data) as part of our monitoring efforts, in order to minimize the reporting burden on practices participating in SANs. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in addition to completing a SF-272/SF272A. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. The quarterly reporting due dates are as follows: 4/30, 7/30, 10/30, 1/30. The PMS User Guide for completing the FFR in PMS is at: <https://pms.psc.gov/pms-user-guide/federal-cash-transaction-report.html>. In addition to submitting the quarterly FFR to PMS, Grantees must also provide, on a semi-annual basis, a financial report to be uploaded into PMS which includes their award subaccount expenditures and any program income generated in addition to completing a Federal Financial Report (FFR) (SF 425). Expenditures and any program income generated should only be included on the semi-annual FFR, as well as the final FFR. Electronic FFRs should be submitted in PMS within 30 calendar days of the performance period end date. The final FFR should be uploaded in PMS within 90 calendar days of the project period end date. More details will be outlined in the Notice of Award. Awardees must agree to submit quarterly programmatic progress reports and a final progress report. These reports should include narrative updates on planning grant activities as well as information on each recipients approved work plan as specified in each recipients approved application in accordance with the Section 9813 State Planning Grants for Mobile Crisis Intervention Services Cooperative Agreement. CMS will enlist a third party entity to assist CMS in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the different networks, and these monitoring and surveillance elements will feed into the evaluation. All awardees will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, and population health monitoring and review network performance to ensure requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of performance problems; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, and population health improvements and assisting with awardee implementation, including coordination between awardees and CMS and its other contractors.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 2003), "Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

N/A

Account Identification:

75-0522-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$15,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

This will be a new planning grant. \$15 million is available until expended. Awards are expected to range from \$300,000 to \$1,000,000.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Grants Management OfficerCenters for Medicare & Medicaid ServicesOffice of Acquisitions and Grants ManagementPhone: 410-786-5239

Headquarters Office:

Mary Greene,
7500 Security Blvd,
Baltimore MD 21244
Email: Mary.Greene@cms.hhs.gov
Phone: 410-786-5239
Fax:

Website Address:

<http://www.innovation.cms.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See Section E of the NOFO.

93.640 Basic Health Program (Affordable Care Act)

(BHP),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 1331 of the Patient Protection and Affordable Care Act, (Pub. L. 111-148), and the Health Care and Education Reconciliation Act of 2010 (Pub. L. 111-152, enacted March 30, 2010) which are collectively referred to as the Affordable Care Act.,

OBJECTIVES:

Section 1331 of the Affordable Care Act gives states the option of creating a Basic Health Program (BHP), a health benefits coverage program for low-income residents who would otherwise be eligible to purchase coverage through the Health Insurance Marketplace. The program is for specified individuals who do not qualify for Medicaid but whose income does not exceed 200 percent of the federal poverty level (FPL).

TYPES OF ASSISTANCE:

Formula Grants, Insurance

USES AND USE RESTRICTIONS:

The federal BHP payment is made to a state trust fund for BHP. Funds in the state trust fund may not be used for any purposes other than paying BHP benefits and reducing premiums and cost-sharing for eligible individuals enrolled in BHP standard health plans. BHP Trust funds may not be expended for any purpose other than those specified above. In addition, BHP trust funds may not be used for purposes including but not limited to: (1) determining the amount of non-federal funds for the purposes of meeting matching or expenditure for federal funding; (2) program administration of BHP or any other program; (3) payment to providers not associated with BHP services or requirements; or (4) coverage for individuals not eligible for BHP.

Applicant Eligibility:

State; Any State that submits a BHP Blueprint may be considered for certification by the Secretary of HHS.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Individual/Family; Anyone/general public; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Juvenile Delinquent; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); The program is for specified individuals who do not qualify for Medicaid but whose income does not exceed 200 percent of the federal poverty level (FPL).

Credentials/Documentation:

The BHP Blueprint is a comprehensive written document submitted by the state to the Secretary for certification of a BHP in the form and manner specified by HHS. The Blueprint will establish compliance with applicable requirements by including a description, or if applicable, an assurance of: (1) minimum benefits offered including essential health benefits; (2) the competitive process that the state will undertake to contract for the provision of standard health plans; (3) the standard contract requirements that the State will incorporate into its standard health plan contracts; (4) the methods by which the State will enhance the availability of standard health plan coverage; (5) the methods by which the state will ensure and promote coordination with other insurance affordability programs; (6) the premium standards; (7) the cost sharing imposed under the BHP; (8) the disenrollment procedures and consequences of nonpayment of premiums; (9) the standards used to determine eligibility for the program; (10) the states policies regarding enrollment, disenrollment and verification, along with a plan to ensure coordination with and eliminate gaps in coverage for individuals transitioning to other insurance affordability programs; (11) the fiscal policies and accountability procedures; (12) the process by which BHP trust fund trustees shall be appointed, the qualifications and responsibilities of such trustees, and any arrangements to insure or indemnify such trustees against claims or breaches of their fiduciary responsibilities; (13) a description of how the state will ensure program integrity; (14) an operational assessment establishing operating agency readiness; (15) a transition plan if a state participating in 2015 plans to propose an alternative enrollment strategy for initial implementation. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Secretary will certify a BHP Blueprint provided it meets all of the following standards: (1) The Blueprint contains sufficient information for the Secretary to determine that the BHP will comply with the requirements of section 1331 of the Affordable Care Act and Part 600 of 42 CFR; (2) The BHP Blueprint demonstrates adequate planning for the integration of BHP with other insurance affordability programs in a manner that will permit a seamless, coordinated experience for a potentially eligible individual; (3) The Blueprint is a complete and comprehensive description of the BHP and its operations, demonstrating thorough planning and a concrete program design, without reserved decisions on operational features.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. The Blueprint should be submitted with enrollment data and premium information at least 6 weeks prior to effective date requested.

Appeals:

Not Applicable.

Renewals:

Other. In the event that a State seeks to make significant changes that alter program operations, the BHP benefit package, enrollment, disenrollment and verification policies described in the certified BHP Blueprint, the state must submit a revised Blueprint to the Secretary for review and certification. The State is responsible for continuing to operate under the terms of the existing certified Blueprint until and unless a revised Blueprint is certified.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching

requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: quarterly

Reports:

The state must submit an annual report that includes any evidence of fraud, waste, or abuse on the part of participating providers, plans, or the state BHP agency known to the state, and a detailed data-driven review of compliance with the following: (1) eligibility verification requirements; (2) limitations on the use of Federal funds; (3) requirements to collect quality and performance measures from all participating standard health plans focusing on quality of care and improved health outcomes; (4) requirements specified by the Secretary at least 120 days prior to the date of the annual report as requiring further study to assess continued state compliance with federal law, regulations and the terms of the states certified Blueprint. No cash reports are required. No progress reports are required. No expenditure reports are required. To determine whether the state is complying with the Federal requirements and the provisions of its BHP Blueprint, HHS may review, as needed, but no less frequently than annually, the compliance of the state with applicable laws, regulations and interpretive guidance. To determine whether the State is complying with the Federal requirements and the provisions of its BHP Blueprint, HHS may review, as needed, but no less frequently than annually, the compliance of the State with applicable laws, regulations and interpretive guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

a) Maintain an accounting system and supporting fiscal records to assure that the BHP trust funds are maintained and expended in accord with the applicable federal requirements such as OMB Circulars A-87 and A-133. (b) Obtain an annual certification from the BHP trustees, the states chief financial officer, or designee, certifying all of the following: (1) the states BHP trust fund financial statement for the fiscal year; (2) The BHP trust funds are not being used as non-federal share for purposes of meeting any matching of expenditure requirement of any federally-funded program; (3) the use of BHP trust funds is in accordance with federal requirements consistent with those specified for the administration and provision of the program. (c) Conduct an independent audit of BHP trust fund expenditures over a 3 year period to determine that expenditures made in 3 year period were allowable; (d) Publish annual reports on the use of funds, including a separate line item that tracks the use of funds to further reduce premiums and cost sharing or for the provision of additional benefits within 10 days of approval by the trustees and findings of audit conducted under (c) above if applicable.

Account Identification:

20-0949-0-1-551.

Obligations:

Formula Grants FY 20 \$2,008,410,844.00; FY 21 est \$787,370,299.00; FY 22 est \$1,064,221,246.00;

Range and Average of Financial Assistance:

N/A based on formula of state-specific data.

TAFS Codes:

20-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Contact the Associate Regional Administrator, Division of Medicaid, Center for Medicaid, CHIP and Survey & Certification. (See Appendix IV of the Catalog for addresses and telephone numbers.)

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Kelly Whitener,
7500 Security Blvd.,
Baltimore MD 21244
Email: Kelly.Whitener@cms.hhs.gov
Phone: 410-786-0719

Website Address:

<http://www.medicaid.gov/basic-health-program/basic-health-program.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.643 Children's Justice Grants to States

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title I, Child Abuse Prevention and Treatment Act, Section 107, 42 USC Code 5106c..

OBJECTIVES:

To encourage states to enact reforms which are designed to improve (1) the assessment and investigation of suspected child abuse and neglect cases, including cases of suspected child sexual abuse and exploitation, in a manner that limits additional trauma to the child and the child's family; (2) the assessment and investigation of cases of suspected child abuse-related fatalities and suspected child neglect-related fatalities; (3) the investigation and prosecution of cases of child abuse and neglect, including child sexual abuse and exploitation; and (4) the assessment and investigation of cases involving children with disabilities or serious health-related problems who are suspected victims of child abuse or neglect.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are to be used for (a) investigative, administrative, and judicial handling of cases of child abuse and neglect, including child sexual abuse and exploitation, as well as cases involving suspected child maltreatment related fatalities and cases involving a potential combination of jurisdictions, such as interstate, federal-state, and state-tribal, in a manner which reduces the additional trauma to the child victim and the victim's family and which also ensures procedural fairness to the accused; (b) experimental, model, and demonstration programs for testing innovative approaches and techniques which may improve the prompt and successful resolution of civil and criminal court proceedings or enhance the effectiveness of judicial and administrative action in child abuse and neglect cases, particularly child sexual abuse and exploitation cases, including the enhancement of performance of court-appointed attorneys and guardians ad litem for children, and which also ensure procedural fairness to the accused; and (c) reform of state laws, ordinances, regulations, protocols and procedures to provide comprehensive protection for children from abuse, including sexual abuse and exploitation, while ensuring fairness to all affected persons.

Applicant Eligibility:

U.S. Territories and possessions; State; States (including Puerto Rico and the District of Columbia), Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Marianas.

Beneficiary Eligibility:

Infant (0-5); Child (6-15); Youth (16-21); Beneficiaries include victims of child abuse and neglect, particularly child sexual abuse and exploitation.

Credentials/Documentation:

The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must

follow the requirements in 45 CFR Part 75. Applications require certification and/or documentation that the state meets eligibility requirements described in section 107 of the Social Security Act (the Act). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Assurances and information described in the annual Program Instruction must be included. Advice and technical assistance to state applicants are available from the Children's Bureau, Office on Child Abuse and Neglect within the Administration on Children, Youth and Families.

Award Procedure:

Recipients are notified that funds have been awarded through a Notice of Award (NOA) issued by the Administration for Children and Families. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

Deadlines will be contained in the Program Instruction sent to each state and other eligible entities announcing the availability of funds under this program.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines will be contained in the Program Instruction sent to each state and other eligible entities announcing the availability of funds under this program.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to limitations of the Appendix A.

Renewals:

Not Applicable. Grants are made annually. A new application is required each year.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter N/A, Part Section 106, Subpart N/A, Public Law N/A. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds may be expended for a period of 3 years after the end of the fiscal year in which the funds are awarded. Method of awarding/releasing assistance: other

Reports:

Financial reporting is conducted through the SF-425. Program progress reporting requirements are described in the Program Instructions (see ACF-ACYF-PI-20-06). Financial reporting requirements are described in the Program Instructions.

Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting is annually. For more information, see:

<https://www.acf.hhs.gov/grants/post-award-requirements>. Tangible Personal

Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

15-5041-0-2-754.

Obligations:

Formula Grants FY 20 \$17,000,000.00; FY 21 est \$17,000,000.00; FY 22 est \$17,000,000.00;

Range and Average of Financial Assistance:

FY 2020: \$53,285 to \$1,772,461 with an average grant of \$303,571.43.

TAFS Codes:

15-5041.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 56 grants were awarded in FY 2020. Fiscal Year 2021: It is anticipated that 56 grants will be awarded in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction.

Regional or Local Office:

None. Contact your Children's Bureau Regional Program Manager

Headquarters Office:

Lauren Fischman,
Office on Child Abuse and Neglect,
Washington DC 20201
Email: lauren.fischman@acf.hhs.gov
Phone: (202) 205-4539

Website Address:

<http://www.acf.hhs.gov/cb>.

RELATED PROGRAMS:

93.670 Child Abuse and Neglect Discretionary Activities; 93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes; 93.669 Child Abuse and Neglect State Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed against all eligibility requirements contained in the authorizing legislation. States which meet all eligibility requirements and propose projects which meet the required uses of these funds will receive grants.

93.645 Stephanie Tubbs Jones Child Welfare Services Program

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV, Part B Subpart 1, , Public Law 116-20, Public Law 116-136

OBJECTIVES:

The purpose of the Stephanie Tubbs Jones Child Welfare Services program is to promote state and tribal flexibility in the development and expansion of a coordinated child and family services program that utilizes community-based

agencies and ensures all children are raised in safe, loving families.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Funds may be used for the following purposes: (a) protecting and promoting the welfare of all children; (b) preventing the abuse, neglect, or exploitation of children; (c) supporting at-risk families through services that allow children to remain with their families or return to their families in a timely manner; (d) promoting the safety, permanence, and well-being of children in foster care and adoptive families; and (e) providing training, professional development, and support to ensure a well-qualified workforce. State and Tribal grantees may spend no more than 10 percent of the Federal allocation under Title IV-B, Subpart 1 for administrative costs. Also, states may also not spend more Title IV-B, Subpart 1, funds for child care, foster care maintenance and adoption assistance payments than the State expended for those purposes in FY 2005. In addition, the amount of state expenditures of non-Federal funds for foster care maintenance payments that may be used as match for the Title IV-B, Subpart 1 award may not exceed the amount of such non-Federal expenditures applied as state match for title IV-B, Subpart 1 for the FY 2005 grant.

Applicant Eligibility:

State; U.S. Territories and possessions; Federally Recognized Indian Tribal Governments; Territories and possessions include only Puerto Rico, U.S. Virgin Islands, Northern Marianas, Guam, and American Samoa.

Beneficiary Eligibility:

Individual/Family; Infant (0-5); Child (6-15); Youth (16-21); Families and children in need of child welfare services will benefit.

Credentials/Documentation:

For states, the department which administers the services program under Title XX must be the designated single state agency to administer child welfare services. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A five-year agency plan must be submitted. A full plan (every five years) or a plan update is due for submission by June 30th of each year. The plan must be jointly developed by the Secretary and the state or Indian tribe and written after consultation with appropriate public and nonprofit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75.

Award Procedure:

Funds will be awarded after the plan, or annual update, is submitted and approved.

Deadlines:

The plan or its annual update is due on June 30 of each year.

Range of Approval/Disapproval Time:

Other. Approval/disapproval of the plan or annual update will occur by September 30 of each year, within 60 days of receiving the plan.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitation of the Appendix A.

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Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title IV., Chapter :None., Part B., Subpart 1.,Public Law - Social Security Act.Matching Requirements: Percent: 25%. Requirement: Matching requirements are mandatory. Matching Requirements: Federal Financial Participation (FFP) is available up to the full allotment at the rate of 75 percent for allowable program expenditures made by the grantee. Grantees must provide a 25 percent match for these expenditures.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant awards are made on a quarterly basis, and are available for obligation and liquidation in the FY granted and in the subsequent FY. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds. Method of awarding/releasing assistance: quarterly

Reports:

After the end of each of the first four fiscal years, the states, territories, and Indian tribes must submit an interim review of progress toward accomplishment of the goals in the state or tribal plan. After the end of the fifth fiscal year, the states, territories, and Indian tribes must perform a final review of progress toward accomplishment of the goals. Performance monitoring of state agencies is conducted through the Child and Family Services Review (CFSR) process in accordance with 45 CFR 1355.31 - 1355.37. Required progress reporting is described above.CWS Disaster Relief Funds: If requesting funds to purchase equipment, the recipient must request prior approval. An SF-425 Federal Financial Report (FFR) must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). For more information, see:

[https://www.acf.hhs.gov/grants/post-award-requirements/Tangible Personal Property](https://www.acf.hhs.gov/grants/post-award-requirements/Tangible%20Personal%20Property): If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements in 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants (Apportionments) FY 20 \$268,735,000.00; FY 21 est \$268,735,000.00; FY 22 est \$275,000,000.00;

Range and Average of Financial Assistance:

FY 2020: Awards for states and territories ranged from \$98,408 to \$27,673,886 with an average of \$4,675,161.80.FY 2020: Awards for tribes ranged from \$1,050 to \$987,201 with an average of \$38,909.77.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Grants were awarded to 234 grantees in states, territories, and Indian tribes. In FY 2020, \$45 million in supplemental funding was provided through the CARES Act for response to COVID 19. Therefore, funding levels for FY 2021 will likely show a significant reductions compared to FY 2020 levels. It is anticipated that 232 grants will be awarded to states, territories, and Indian tribes. Fiscal Year 2021: Grants were awarded to 234 grantees in state, territories, and Indian tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations at 45 1355 and 45 CFR 1357, and Program Instructions ACYF-CB-PI-20-02 and ACYF-CB-PI-20-03 apply to this program. See also 42 USC 623.

Regional or Local Office:

None. Contact Children's Bureau Regional Child Welfare Program Managers

Headquarters Office:

Child Welfare Program Specialist Linda Viales,
330 C Street SW, Room 3509B,
Washington DC 20201

Email: linda.viales@acf.hhs.gov

Phone: (202) 205-5783

Fax:

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.667 Social Services Block Grant; 93.556 Promoting Safe and Stable Families; 93.090 Guardianship Assistance; 93.600 Head Start; 93.659 Adoption Assistance; 93.648 Child Welfare Services Training Grants; 93.658 Foster Care_Title IV-E;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.647 Social Services Research and Demonstration

SSRD,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title XI, Section 1110, 42 USC Code 1310.

OBJECTIVES:

The SSRD program was authorized to promote research and demonstrations related to the prevention and reduction of dependency, or the administration and effectiveness of programs related to that purpose.The objectives of the Behavioral Intervention Scholars is to support studies that apply a behavioral science lens to specific research questions relevant to social services programs and policies and other issues facing low-income families, to add to the growing body of knowledge on effective behavioral interventions for ACF programs and populations.The objective of the State Interoperability Grants is to accelerate data sharing efforts by human services organizations through innovative approaches to interoperability implementation. Effective data sharing promotes informed decision making, improved accuracy and data quality, reduced system integration costs, and stronger protection of sensitive data. The ability to share data is often described as interoperability, which includes the technology, business, legal, organizational, and process-oriented factors that determine how we use data and collaborate across programs and systems.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for

ASSISTANCE LISTINGS DESCRIPTIONS

Children and Families (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Quasi-public nonprofit institution/organization; Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, nonprofit and for-profit organizations (if fee is waived), and faith-based and community organizations. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards.

Beneficiary Eligibility:

Scientist/Researchers; American Indian; Disabled (e.g. Deaf, Blind, Physically Disabled); Infant (0-5); Child (6-15); Youth (16-21); Welfare Recipient; Low Income; Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to cooperate when the project involves the use of other agencies, facilities, or services. Nonprofit organizations must submit proof of nonprofit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants can find a synopsis of grant or cooperative agreement opportunities and apply electronically on <https://www.Grants.gov>. Applicants can also find the complete text of grant opportunities on the ACF web site at: <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 60 to 365 days. Generally, solicited grants and cooperative agreements will be acted upon within 120 days.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For

existing recipients, appeals not related to unsuccessful applications may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: other

Reports:

Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see:

https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Cooperative Agreements or Contracts) FY 20 \$118,230.00; FY 21 est \$125,000.00; FY 22 est \$200,000.00; Cooperative Agreements FY 20 \$49,387.00; FY 21 est \$50,000.00; and FY 22 est \$0.00; Cooperative Agreements FY 20 \$1,199,992.00; FY 21 est \$0.00; and FY 22 est \$1,200,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$100,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

In FY20, 8 grants were awarded: Behavior Interventions Scholars* 2 continuing , both at \$25,000* 3 new ranging from \$18,230 to \$25,000, averaging \$22,743.33 Center for Research on Hispanic Children & Families* 1 continuing grant for \$49,387 State Interoperability Grants* 2 new grants ranging from \$599,992 to \$600,000, averaging \$599,996

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 8 grants were awarded in FY 2020 with 5 new grants and 3 non-competing continuations. Fiscal Year 2021: It is anticipated that 7 grants will be awarded in FY 2021, 5 new grants and 2 non-competing continuations.

REGULATIONS, GUIDELINES, AND LITERATURE:

A variety of guidance on grants can be found at <https://www.grants.gov> and the ACF website: <https://www.acf.hhs.gov/grants>.

Regional or Local Office:

None.

Headquarters Office:

Financial Specialist and Budget Officer Sheila Celentano,

ASSISTANCE LISTINGS DESCRIPTIONS

330 C St, SWRoom 4625A,
Washington DC 20201
Email: Sheila.Celentano@acf.hhs.gov
Phone: 202-401-5803

Website Address:

<https://www.acf.hhs.gov/opre>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.648 Child Welfare Services Training Grants; 93.595 Welfare Reform Research, Evaluations and National Studies;

EXAMPLES OF FUNDED PROJECTS:

2021 We plan to fund the second year of 1 of the Behavioral Interventions Scholar grants from 2020; to fund 4 new FY21 Scholar grants to support dissertation research in behavioral science; and to contribute funding to the continuing grant supporting the Center for Research on Hispanic Children and Families. In addition, we will be contributing to a new grant supporting the African American Child and Family Research Center. 2022 We plan to fund the second year of 1 of the Behavioral Interventions Scholar grants from 2021; to fund 4 new FY22 Scholar grants to support dissertation research in behavioral science; and to contribute funding to two continuing grants; one supporting the Center for Research on Hispanic Children and Families and one supporting the African American Child and Family Research Center. In addition we plan to fund 2 new one year State Interoperability Grants.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO.

93.648 Child Welfare Research Training or Demonstration

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Section 426 and 429, 42 U.S.C. 626 and 628b, Title , Part , Section 426 and 429, USC Code .

OBJECTIVES:

This program supports research and demonstration projects which are of national or regional significance and special projects for the demonstration of new methods which show promise of substantial contribution to the advancement of child welfare. Projects are intended to demonstrate the utilization of research in the field of child welfare to encourage experimental and special types of child welfare services, and provide professional education opportunities to prospective and current child welfare agency staff and to develop competency-based training curricula and special projects for training child welfare personnel in specific areas.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Awards are made for specific projects that may train prospective and current personnel for work in the field of child welfare, including trainee-ships with stipends; develop child welfare demonstration projects of national or regional significance; or utilize of child welfare research to encourage experimental and special types of welfare services.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants include public or other nonprofit institutions of higher learning, public

or other nonprofit agencies or organizations engaged in research on child welfare activities; state or local public agencies responsible for administering, or supervising the administration of the title IV-B plan; and public or other nonprofit institutions of higher learning for special projects for training personnel for work in the field of child welfare. Please see the Notice of Funding Opportunity (NOFO) for further information.

Beneficiary Eligibility:

Individual/Family; Infant (0-5); Child (6-15); Youth (16-21); Grants are made to accredited public or other nonprofit institutions of higher learning, public or other nonprofit agencies and/or organizations and to state or local child welfare agencies for specific projects to train prospective and current personnel for work in the field of child welfare, including trainee-ships with stipends; child welfare demonstration projects of national or regional significance; and for utilization of child welfare research to encourage experimental and special types of welfare services.

Credentials/Documentation:

Nonprofit organizations must provide proof of nonprofit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Applications are made in the format provided by and at the time specified in the annual NOFO. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Three to six months.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

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Renewals:

Other. Multi-year projects are funded on an annual basis through submission of a non-completing continuation application. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project duration is specified in the NOFO. Method of awarding/releasing assistance: other

Reports:

Recipients are required to biannually submit the SF-425. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see:

<https://www.acf.hhs.gov/grants/post-award-requirements>. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$10,650,174.00; FY 21 est \$11,395,519.00; FY 22 est \$86,450,895.00;

Range and Average of Financial Assistance:

FY 2020 grants ranged from \$450,528 to \$4,800,000 with the average of \$1,775,029.00.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 6 grants were awarded in FY 2020. Fiscal Year 2021: It is anticipated that 8 grants will be awarded in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients may refer to the Remarks section of their Notice of Grant Award for specific Terms and Conditions pertaining to their grant program. Information on Post Award Requirements are posted at: <https://www.acf.hhs.gov/discretionary-post-award-requirements>

Regional or Local Office:

None. Contact the Regional Administrator, ACF.

Headquarters Office:

Director, Capacity Building Division Joe Bock,
330 C Street SW,
Washington DC 20201
Email: joe.bock@acf.hhs.gov
Phone: (202) 205-8594

Website Address:

<https://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.670 Child Abuse and Neglect Discretionary Activities; 93.645 Child Welfare Services_State Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFO.

93.649 Nutrition and Physical Activity Programs

Micronutrient,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act , Title 42, Section 243, 247b(k)(2),

OBJECTIVES:

The purpose of this program is to achieve three goals related to risk factors for illness, disability, and premature death as follows: Improve dietary quality to support healthy child development and reduce chronic disease. Decrease prevalence of obesity through prevention of weight gain and maintenance of healthy weight

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

More specific goals will be provided in funding opportunities. In general, assistance requested under this program is to: Implement and affect behavioral, policy, system level and environmental changes through approaches that are the most effective. Increase the body of evidence-based approaches, educational resources and opportunities for healthy food and activity choices, access and number of healthy and affordable food and beverages outlets and places to be physically active. Improved dietary quality most related to the population burden of chronic disease and unhealthy child development, including micronutrients. Measured environmental changes in the adoption of food service, breastfeeding, nutrition, physical activity and obesity standards in schools and child care centers, schools, worksites and communities that promote and reinforce healthful behaviors and practices. Funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements related to the objectives identified in 050 above. Recipients may expend funds for reasonable policies, systems and environmental program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease. Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may not generally use funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Anyone/general public; Additional eligibility requirements may apply for funding opportunities that address high needs/risks and disparate populations.

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Beneficiary Eligibility:

Private nonprofit institution/organization; Interstate; Intrastate; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Additional eligibility requirements may apply for funding opportunities that address high needs/risks and disparate populations.

Credentials/Documentation:

Additional eligibility requirements may apply for funding opportunities that address high needs/risks and disparate populations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. No applicants other than non-competing will be eligible for the current five year period

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals will be based upon the availability of funding and satisfactory programmatic progress.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods based on individual funding opportunities. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. Reporting provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of each budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, CDC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any

unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. Awardees are required to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting is limited to data collection. When funding is awarded initially, CDC programs will specify required reporting frequency, data fields, and format.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$750,000.00; FY 21 est \$173,611.00; FY 22 est \$750,000.00;

Range and Average of Financial Assistance:

Average award depends on funding opportunity announcement.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770Buford Hwy, NE, NCCDPHP,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855

Website Address:

No Data Available.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A

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review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA. There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements. Contact Information Website: <http://www.cdc.gov> Headquarters Office: Linda Barnes 4770 Buford Hwy, NE, NCCDPHP, Atlanta, Georgia 30341 Email: lbarnes@cdc.gov Phone: 404-867-9697

93.650 Accountable Health Communities

AHC,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 1115A of the Social Security Act (the Act), as amended by section 3021 of the Affordable Care Act (ACA), authorizes the Innovation Center to test innovative payment and service delivery models to reduce Medicare, Medicaid, or CHIP expenditures, while preserving or enhancing the quality of beneficiaries care. The AHC is a service delivery model under section 1115A that tests whether systematic screening for health-related social needs in clinical settings, along with referral to community resources and navigation services, impacts overall health care cost and utilization.

OBJECTIVES:

The Centers for Medicare & Medicaid Services (CMS), Center for Medicare and Medicaid Innovation (the Innovation Center), will assess whether systematically identifying and addressing the health-related social needs of community-dwelling Medicare and Medicaid beneficiaries, including those who are dually eligible, impacts those beneficiaries total health care costs and their inpatient and outpatient utilization. Section 3021 of the ACA authorizes the Innovation Center to design, implement and evaluate innovative payment and service delivery models to improve quality and reduce costs. Rigorous evaluation requirements of the models impact influence model design parameters. The Accountable Health Communities (AHC) Model is based on emerging evidence that addressing health-related social needs through enhanced clinical-community linkages can improve health outcomes and reduce costs. This model will support community collaboration to systematically: (1) screen beneficiaries to identify unmet health-related social needs; (2) refer beneficiaries to increase awareness of community services; (3) provide person-centered navigation services to assist beneficiaries with accessing community services to resolve health related social needs; and (4) align clinical and community services to ensure that community services are available and responsive to the needs of beneficiaries. The expectation is that these efforts will lead to a reduction in health care utilization and costs. The funding opportunity announcement (FOA) initially offered three interventions of varying intensity (each referred to as a track) to better link beneficiaries to community services: the Assistance Track Intervention, the Alignment Track Intervention or a third opportunity called the Awareness Track. The Awareness Track opportunity has subsequently been withdrawn. The Assistance Track Intervention will test whether assisting beneficiaries with accessing services through community service navigation impacts total health care cost and inpatient and outpatient health care utilization. This intervention offers universal screening of health-related social needs, use of a community resource inventory to connect beneficiaries to available community services, identification of high-risk beneficiaries as part of a smarter spending strategy to target additional resources where they are needed the most, and community service navigation to assist high risk beneficiaries with resolving health-related social needs. The Alignment Track Intervention builds on the intervention of the Assistance Track and tests whether a combination of navigation services at the individual beneficiary level and partner alignment at the community level impacts total health care costs and ED visits and inpatient hospital admissions. This approach recognizes that there are significant barriers to effective integration of the health care delivery systems, governmental public health systems, and the community-based service system due to different cultures, funding streams and data systems. Effective and responsive infrastructures are needed to ensure that community services are available and address the health-

related social needs of beneficiaries. The Alignment intervention incorporates innovations included in the Assistance Track and adds structural and financial support designed to foster community-wide realignment of resources to more effectively address beneficiaries health-related social needs. Successful applicants were selected to participate in a single track for a five-year period. Although tracks share common design elements, each tracks intervention pathway and underlying hypothesis is distinct.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The FOA provided three different funding opportunities; eligible entities applied for awards in the Assistance Track, Alignment Track, or a third opportunity called the Awareness Track. The Awareness Track opportunity has subsequently been withdrawn. The Assistance Track Intervention, through a randomized design, is testing whether assisting beneficiaries with accessing community services through community service navigation impacts total health care costs and inpatient and outpatient health care utilization. These cooperative agreements support systematic screening and referral and community service navigation. Funding for the Assistance Track supports the required work to implement this intervention. CMMI expects awardees to: (1) Offer to systematically screen all community-dwelling Medicare and Medicaid beneficiaries for health-related social needs; (2) Prepare a community referral summary for each beneficiary with a health-related social need that addresses the health-related social needs identified through the screening tool; (3) Review the community referral summary with each beneficiary with an identified health-related social need; (4) Distribute a copy of the community referral summary to each beneficiary with an identified health-related social need; (5) Identify high-risk beneficiaries with health-related social needs based on ED utilization history; and (6) Provide community service navigation services to high-risk beneficiaries in the intervention group, including an in-depth personal interview, development of a person-centered action plan and follow-up to address health-related social needs. The Alignment Track Intervention will determine whether a combination of referrals to community service providers and community service navigation at the individual beneficiary level and partner alignment at the community level impacts total health care costs and inpatient and outpatient health care utilization. These cooperative agreements support: systematic screening and referral; community service navigation; and partner alignment. Funding for the Alignment Track supports the required work to implement this intervention. CMMI expects awardees to: (1) Offer to systematically screen all community-dwelling Medicare and Medicaid beneficiaries for health-related social needs; (2) Prepare a community referral summary for each beneficiary that addresses the health-related social needs identified through the screening tool; (3) Review the community referral summary with each beneficiary with an identified health-related social need; (4) Distribute a copy of the community referral summary to each beneficiary with an identified health-related social need; (5) Identify high-risk beneficiaries with health-related social needs based on ED utilization history; (6) Provide community service navigation services to high-risk beneficiaries in the intervention group, including an in-depth personal interview, development of a person-centered action plan and follow-up to address health-related social needs; and (7) Implement a community-level quality improvement approach whereby the award recipient delineates a geographic target area and assumes certain integrator functions, including: convening and working with an Advisory Board; collecting data and using those data to conduct gap analyses; and developing quality improvement plans to inform community decision-making and coordination between clinical delivery sites, community service providers, local government and other partners such as MA plans and MCOs that cover community-dwelling beneficiaries in the geographic target area. Allowable costs associated with the AHC intervention include: Data collection (including at the clinical delivery sites), analysis, and reporting cost associated with program performance and continuous quality improvement; Coordination with CMS rapid cycle evaluation, and costs for collecting and preparing data for CMS evaluator; Staff resources associated with model management and project management, including travel to AHC workshops and conferences; Intervention service delivery costs; Tracking system for collecting and reporting metrics required by CMS; Infrastructure costs related to providing: systematic screening and referrals, community service navigation, and partner alignment (Alignment track only); Model beneficiary assignment or reconciliation costs; Web and internet collaborative learning and communication costs; Project management and reporting costs; Business operations associated with the model;

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and Model management and administration for consortia and clinical delivery site relationships. CMS did not fund proposals that duplicated models for populations that are already being funded and tested as part of another CMS or federal government initiative. CMS is not paying directly for community service provision (e.g., housing, food, violence intervention programs, and transportation) through the AHC Model. Rather, award money will fund systems change interventions to connect beneficiaries with available community services. Costs that were not over and above financing provided for existing Medicaid State plans or waivers series were not considered. No cooperative agreement funds awarded under this solicitation can be used to reimburse pre-award costs. Prohibited Uses of Cooperative Agreement funds also include the use of funds to: match any other federal funds; provide services, equipment, or support that are the legal responsibility of another party under federal or state law or under any civil rights laws; supplant existing federal, state, local, or private funding of infrastructure or services; pay for the use of specific components, devices, equipment, or personnel that are not integrated into the proposed AHC intervention; and Lobby or advocate for changes in federal and/or state law. Terms and Conditions: Cooperative agreements issued under this FOA are subject to the Department of Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/about/hhsgps107.pdf>. The standard terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary. Standard and program specific terms of award accompany the Notice of Award. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the CMS review panel. The recently released Uniform Guidance (2 CFR Part 200) and HHS regulations (45 CFR Part 75) supersede information on administrative requirements, cost principles, and audit requirements for grants and cooperative agreements included in the current HHS Grants Policy Statement where differences are identified. Awardees must also agree to respond to requests, from CMS that are necessary for the evaluation of national efforts and provide data on core elements of their own cooperative agreement activities. Cooperative Agreement (Special) Terms and Conditions of Award: The following categories of special terms of award are in addition to, and not in lieu of, otherwise applicable HHS grant administration policies. CMS reserves the right to include any of these in the cooperative agreement with an appropriate level of specific details, some of which are provided below: Reporting (financial, quality, progress); Learning and Diffusion (training); Stakeholders (public notice, tribal consultation); Beneficiaries (related to AHC services); Providers (training related to AHC); Project monitoring; Data collection; Evaluation; Termination; Funding; Financial arrangements; Operations; and Program Integrity.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Community-based organizations, individual and group healthcare practices, hospitals and health systems, institutions of higher education (IHE), local government entities, tribal organizations and for-profit and not-for-profit local and national entities with the capacity to develop and maintain relationships with clinical delivery sites and community service providers. Applicants from all 50 states, United States territories (American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the Virgin Islands), and the District of Columbia were eligible to become bridge organizations. Eligible IHEs were limited to college and university health care affiliates delivering clinical services, such as community-based clinics, hospital networks, and health systems. Local government entities included, but were not limited to, local units of state and regional agencies where such classifications exist, local and tribal health departments, local public housing authorities, Indian housing authorities, and local community service agencies. Local government entities excluded state Medicaid agencies.

Beneficiary Eligibility:

Federal; U.S. Territories; State; Federally Recognized Indian Tribal Governments; Potential applicants were limited to the eligible entities described in the sections above. Successful applicants provide intervention services to community-dwelling Medicare and Medicaid beneficiaries, including dually eligible beneficiaries. Community-dwelling beneficiaries include beneficiaries not residing

in a correctional facility or long-term care institution (e.g., long-stay nursing homes) when accessing clinical care at a participating clinical delivery site. Awardee applications described the target populations, geographic areas, and communities that are the focus of the AHC intervention, the current quality and beneficiary experience outcomes, including current needs assessments of health-related social needs, and specific targets to be impacted by the AHC intervention.

Credentials/Documentation:

Employer Identification Number: All applicants needed a valid Employer Identification Number (EIN) assigned by the Internal Revenue Service. Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number): All applicants needed a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. See Section IV, Application and Submission Information, for more information on obtaining a DUNS number. System for Award Management (SAM): All applicants were required to register in the System for Award Management (SAM) database (<https://www.sam.gov/portal/public/SAM/>) in order to be able to submit their application at <http://www.grants.gov>. In order to register, applicants provided their DUNS and EIN numbers. Each year, organizations and entities that registered to apply for Federal grants through Grants.gov must renew their registration with SAM. Failure to renew SAM registration prior to submitting an application would have prevented an applicant from successfully applying via Grants.gov. Similarly, the failure to maintain an active SAM registration during the application review process would have prevented CMS from issuing an award under this program. Awardees must successfully register with SAM prior to registering in the Federal Funding Accountability and Transparency Act Sub-award Reporting System (FSRS) as a prime awardee user; awardees may make sub-awards only to entities that have DUNS numbers. Organizations reported executive compensation as part of the registration profile at <https://www.sam.gov/portal/public/SAM/> by the end of the month following the month when their awards were made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by Section 6202 of Public Law 110-252 and implemented by 2 Code of Federal Regulations (CFR) Part 170). The Grants Management Specialist assigned to monitor the sub-award and executive compensation reporting requirements is Iris Grady, who can be reached at divisionofgrantsmanagement@cms.hhs.gov. Awardees were previously required to register with the Central Contractor Registration (CCR). The CCR was a government-wide registry for organizations that sought to do business with the federal government. CCR collected, validated, stored, and disseminated data to support a variety of federal initiatives. This function is now fulfilled by SAM. SAM has integrated the CCR and will also incorporate seven other federal procurement systems into a new, streamlined system. If an awardee had an active record in CCR prior to the rollout of SAM, an active record would be available in SAM. Please consult the SAM website listed above for additional information. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination was not applicable. Environmental impact information was not required for this program. This program was excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application period for the model expired. New applications are not being accepted.

Award Procedure:

Applications were forwarded to a review panel. The review panel evaluated the proposals based on how well they addressed the evaluation criteria outlined in the FOA. Based on the advice of the review panel, the CMS selection official approved the selected application and issued Notices of Award. Successful applicants received a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that set forth the amount of the award and other pertinent

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information. The award also included standard Terms and Conditions. Special requirements can apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel.

Deadlines:

The application period is closed; the AHC Model is currently in progress.

Range of Approval/Disapproval Time:

Other. Notice of Award date: April 1, 2017.

Appeals:

Other. CMS reserved the right to approve or deny any or all proposals for funding. Section 1115A of the Social Security Act, which created the Center for Medicare and Medicaid Innovation (CMMI), states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models (Section 1115A(d)(2)).

Renewals:

Other. The budget and project period for each Cooperative Agreement awarded will be five years from the date of award. The project period for awards is May 1, 2017 April 30, 2022. The grant period consists of five, one-year budget periods. Year one 12-month project and budget period: May 01, 2017 April 30, 2018. Year two 12-month project and budget period: May 01, 2018 April 30, 2019. Year three 12-month project and budget period: May 01, 2019 April 30, 2020. Year four 12-month project and budget period: May 01, 2020 April 30, 2021. Year five 12-month project and budget period: May 01, 2021 April 30, 2022.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The budget and project period for each cooperative agreement awarded is five years from the date of award. The project period for awards is May 1, 2017 April 30, 2022. The cooperative agreement period consists of five, one-year budget periods. Year one 12-month project and budget period: May 1, 2017 April 30, 2018. Year two 12-month project and budget period: May 1, 2018 April 30, 2019. Year three 12-month project and budget period: May 1, 2019 April 30, 2020. Year four 12-month project and budget period: May 1, 2020 April 30, 2021. Year five 12-month project and budget period: May 1, 2021 April 30, 2022. Method of awarding/releasing assistance: other

Reports:

All successful applicants under this announcement must comply with the following reporting and review activities: Progress Reports: Award recipients must agree to cooperate with any Federal evaluation of Accountable Health Communities and at a minimum provide quarterly and final (at the end of the performance period) reports, as required, in the format prescribed by CMS. To facilitate programmatic involvement of CMS, additional information on program progress may be requested in written or verbal formats during monthly progress meetings. Programs not meeting programmatic milestones may be required to provide progress updates as frequently as weekly. Reports will be submitted electronically. Programs should be prepared to report quarterly on each intervention element and their programs progress towards goals. The first quarterly report was due August 31, 2017. The program progress narrative report elements include but are not limited to: i. Program Name; ii. Program Leader Name; iii. Reporting Period; iv. Budget Status - include amounts for planned expenditure, actual expenditure, and deficit/surplus; v. Work Plan Chart/Timeline status; vi. Project description - short summary; vii. Milestones - Milestones are high-level goals that often define the phases of a project. Record milestones that have been reached at this point in the project here; viii. Accomplishments - Tasks that were accomplished during this reporting period; ix. Projected Goals - Goals projected to be completed during the next reporting period; and x. Issues - Issues that must be addressed for the project to be successful. The due date for the first year-end narrative reports was July 31, 2018. Annual project reports for future budget periods will be due within 45 days of each 12-month budget period. The due date for the final progress report is July 31, 2022, 90 days after the end of the project period. Model participants must submit electronic SF-425 quarterly via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. Any additional financial reporting information required via the Federal Financial

Report (FFR) will be stipulated in the terms and conditions of the award. Federal Funding Accountability and Transparency Act Reporting Requirements New awards issued under this FOA are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Grant and Cooperative Agreement recipients must report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at <https://www.fhrs.gov/>). As detailed above, award recipients must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every six months), annual and final (at the end of the five-year performance period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Successful applicants must also agree to respond to requests that are necessary for the evaluation of the model design, pre-testing assistance, or model testing efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. As detailed above, Successful applicants must submit Federal Financial Reports and meet Payment Management System reporting requirements. CMS enlisted Mathematic Policy Research (MPR) to assist CMS monitor model implementation and testing performance results and outcomes. CMS collects data elements to monitor all AHC cooperative agreement recipients, and these monitoring and surveillance elements are utilized in the models evaluation. All award recipients are required to cooperate in providing the necessary data elements to CMS or MPR. MPR assists CMS develop a cost-efficient and quality beneficiary experience; population health monitoring; model performance reviews to ensure model design requirements are met; awardee performance tracking; rapid cycle evaluation and early detection of model performance issues; develop a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements; and assisting with state implementation, including coordination between states, CMS and any of its other contractors. Monitoring data also includes process, safety, and other performance measures including, but not limited to background characteristics data of the target population and for the geographic target area collected periodically and standardized over the course of the models implementation. Monitoring data is collected from awardees, Medicare and Medicaid claims data, electronic health records, public health data and other sources. The model monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course corrections that improve or optimize performance of the delivery system or payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation will also assess whether there is evidence of harm or unintended consequences as a result of the models or testing methods. Payment Management Requirements States must submit a quarterly electronic SF-425 via the payment management system. The report identifies cash expenditures against the authorized funds for the cooperative agreement. Failure to submit the report may result in the inability to access funds. Federal Financial Report (FFR) Additional information on financial reporting will be provided in the terms and conditions of award. Federal Funding Accountability and Transparency Act Reporting Requirements New awards issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252 and implemented by 2 CFR Part 170. Grant and Cooperative Agreement recipients must report information for each first-tier sub-award of \$25,000 or more in Federal funds and executive total compensation for the recipients and sub-recipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (available online at <https://www.fhrs.gov/>). As detailed above, award recipients must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly, semi-annual (every 6 months), annual and final (at the end of the 5 year performance period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the

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format for project or model reporting and technical assistance necessary to complete required report forms. Successful applicants must also agree to respond to requests that are necessary for the evaluation of the Model Design, pre-testing assistance, or Model Testing efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. As detailed above. Successful applicants must submit reports to Federal Funding Report and meet Payment Management System reporting requirements. CMS will enlist a third party entity to assist us in monitoring the model implementation and testing performance results and outcomes. CMS plans to collect data elements to be part of monitoring for all of the AHC cooperative agreement recipients, and these monitoring and surveillance elements will feed into the evaluation. All award recipients will be required to cooperate in providing the necessary data elements to CMS or a CMS contractor. The contractor would assist CMS in developing a cost, quality, beneficiary experience, population health monitoring, and review model performance to ensure model design requirements are met; tracking performance across awardees and providing for rapid cycle evaluation and early detection of model performance issues; developing a system to collect, store, and analyze data to assess health care cost and utilization, quality performance, beneficiary experience, and population health improvements and assisting with state implementation, including coordination between states and CMS and its other contractors. Data for monitoring will include process, safety, and performance measures. It will include, but will not be limited to, data on the background characteristics of the target population and target area, data characterizing the activities of the model testing and a battery of follow-up data describing relevant characteristics of the target population or target area and metrics at selected intervals after commencement of the delivery system and/or payment model. This will include detailed information on participant characteristics and outcomes reported in a standard format. Data for monitoring will be collected from awardees and/or CMS claims data, electronic health records, public health data or other sources. The model monitoring aspect of this initiative will balance the examination of the extent to which awardees demonstrate fidelity to their proposed delivery system and payment models and the potential need to make mid-course corrections that improve or optimize performance of the delivery system or payment models based on feedback from the monitoring and rapid cycle evaluation findings. The evaluation will also assess whether there is evidence of harm or unintended consequences as a result of the models or testing methods.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees are required to maintain proper records including financial records, supporting documents, statistical records, and all other records pertinent to the program for the duration of the award, and retain these for a minimum of three years. If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the three years, the records should be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later.

Account Identification:

75-0522-0-1-551.

Obligations:

Formula Grants FY 20 \$22,305,196.00; FY 21 est \$20,407,508.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The anticipated total funding per award, per budget period is \$410,000 - \$1,158,000. The total amount of federal funds available is up to \$30.84 million to 10 award recipients to implement the Assistance Track Intervention and up to \$90.20 million to 18 award recipients to implement the Alignment Track Intervention.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements issued under this FOA are subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary. Standard and program specific terms of award will accompany the NoA. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the CMS review panel. The recently released Uniform Guidance (2 CFR Part 200) and HHS regulations (45 CFR Part 75) supersedes information on administrative requirements, cost principles, and audit requirements for grants and cooperative agreements included in the current HHS Grants Policy Statement where differences are identified. Awardees must also agree to respond to requests from CMS that are necessary for the evaluation of national efforts and provide data on core elements of their own cooperative agreement activities.

Regional or Local Office:

None.

Headquarters Office:

Emly Jones,
7500 Security Blvd.,
Baltimore MD 21244
Email: emily.jones@cms.hhs.gov
Phone: 410-786-2736

Website Address:

<http://www.innovation.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Assistance Track: Eligible applicants submitted an application to the Assistance Track that was reviewed and scored based on the quality of their proposals. Assistance Track applicants that received the highest scores, and met other criteria specified in the FOA, were offered cooperative agreements to test the Assistance Track intervention. Part of the review process included an analysis of the readiness of the applicant to implement a model within one year of the cooperative agreement award. Applications were scored with a total of 175 points allocated across the following areas: Project Narrative, 110 points; Implementation Plan, 8 points; MOU with state Medicaid agency, 25 points; MOUs with clinical delivery sites, 15 points; Health Resource Equity Statement, 2 points; and Budget Narrative, 15 points. Alignment Track: Eligible applicants submitted an application to the Alignment Track that was reviewed and scored based on the quality of their proposals. Alignment Track applicants that received the highest scores, and met other criteria specified in the FOA, were offered cooperative agreements to test the Alignment Track intervention. Part of the review process included an analysis of the readiness of the applicant to implement a model within one year of the cooperative agreement award. Applications were scored with a total of 220 points allocated across the following areas: Project Narrative, 145 points; Implementation Plan, 8 points; MOU with state Medicaid agency, 25 points; MOUs with clinical delivery sites, 15 points; MOUs with community partners, 10 points; Health Resource Equity Statement, 2 points; and Budget Narrative, 15 points.

93.652 Adoption Opportunities

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Child Abuse Prevention and Treatment and Adoption (CAPTA) Reform Act of 1978. 42 U.S.C. 5111 et seq., Title II, Section 205

OBJECTIVES:

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The purpose of this program is to eliminate barriers, including geographic barriers, to adoption and to provide permanent, loving home environments for children who would benefit from adoption, particularly children with special needs.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Required activities under the Adoption Opportunities program include: (1) conducting an education and training program on adoption and prepare, publish and disseminate information and education and training materials regarding adoption, adoption assistance and post-legal adoption services; (2) conducting extensive recruitment efforts on a national level to promote the adoption of children with special needs and develop a national public awareness effort to unite children in need of adoption with appropriate adoptive parents; (3) operating a national adoption information exchange system; (4) providing technical assistance to programs related to the adoption of special needs children and promote professional leadership training of minorities in the adoption field; (5) encouraging corporations and small businesses to establish adoption benefit programs for employees who adopt children; (6) supporting placement of children in kinship care arrangements, pre-adoptive or adoptive homes; (7) increasing the effective use of public or private agencies for the recruitment of potential adoptive and foster families and to provide assistance in the placement of children for adoption, especially special needs children; (8) consulting with other appropriate Federal departments and agencies to promote the maximum coordination of services relating to adoption; (9) maintaining a National Resource Center for Special Needs Adoption; (10) providing programs to increase the numbers of minority children placed in adoptive families with a special emphasis on recruitment of minority families; and (11) providing programs to increase the number of older children placed in adoptive families. Project funds may not be used for construction. Grants shall supplement, not supplant, services provided using any other funds made available for the same general purposes.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Federally Recognized Indian Tribal Governments; State, local government entities, public or private licensed child welfare or adoption agencies or community based organizations are eligible. See the Notice of Funding Opportunity (NOFO) for information on eligibility.

Beneficiary Eligibility:

Individual/Family; Infant (0-5); Child (6-15); Youth (16-21); Children who are in foster care with the goal of adoption, especially children with special needs, that is, children who are older, minority children and infants and toddlers with disabilities who have a life-threatening condition.

Credentials/Documentation:

Proof of non-profit status must be submitted prior to the award of a grant. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-application assistance may be available. Applicants may refer to the NOFO on Grants.gov for further information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on [grants.gov](https://www.grants.gov). The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must

follow the requirements in 45 CFR Part 75. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested. Funding decisions will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range for approval/disapproval is three to six months.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of Appendix A.

Renewals:

Other. Multi-year projects are funded on an annual basis through submission of a non-competing continuation application. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may extend from 3 to 5 years. Average duration is expected to be 5 years. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual program reports. Final program reports are due 90 days after completion of the grant. Recipients are required to submit semi-annual financial reports. Final financial reports are due 90 days after completion of the grant. Financial reporting is conducted via the SF-425. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is semi-annual. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Expenditure reports are required using the SF-425 Federal Financial Report (FFR). The frequency of reporting is semi-annual. For more information see: <https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Performance monitoring is described in progress

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reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$22,422,512.00; FY 21 est \$26,255,522.00; FY 22 est \$28,706,823.00;

Range and Average of Financial Assistance:

FY 2020: \$400,000 to \$5,670,000 with an average of \$2,038,410.18.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 11 grants were awarded in FY 2020. Fiscal Year 2021: It is anticipated that 14 grants will be awarded in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grantees may refer to the Remarks section of their Notice of Grant Award for specific Terms and Conditions pertaining to their grant program. Information on Post Award Requirements are posted at: <https://www.acf.hhs.gov/discretionary-post-award-requirements>

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Program Innovation Jan Shafer,
330 C Street SW, Room 3503,
Washington DC 20201
Email: jan.shafer@acf.hhs.gov
Phone: 202-205-8172

Fax:

Website Address:

<https://www.acf.hhs.gov/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the Funding Opportunity Announcement.

93.653 Indian Health Service Domestic Violence Prevention Programs

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

25 USC Code 1601-1683. 25 USC Code 13. Public Law 111-8, Statue 123-524, 735

OBJECTIVES:

The objective of the Domestic Violence Prevention (DVP) Program is to promote prevention efforts that address domestic and sexual violence including sexual exploitation/human trafficking, Missing and Murdered AI/AN, and child maltreatment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Domestic Violence Prevention (DVP) awards support the development and/or expansion of a DVP program by incorporating prevention efforts addressing social, spiritual, physical and emotional well-being of victims through the integration of culturally appropriate practices and trauma informed services for Tribes, Tribal organizations and Urban Indian organizations (UIO) serving the American Indian / Alaska Native (AI/AN) population. The DVP program aims to promote prevention efforts that address domestic and sexual violence including sexual exploitation/human trafficking, Missing and Murdered AI/AN, and child maltreatment. To create an effective DVP program, cross-system collaboration with other community sectors to address violence is key especially with law enforcement, emergency department, social service, legal service, education, coalitions, health care providers, behavioral health, shelters, and advocacy groups. A well-rounded program includes raising awareness of and mitigating the negative health effects and social burden of domestic violence, sexual abuse and assault, child maltreatment (physical, sexual, and psychological/emotional abuse, neglect), sexual exploitation/human trafficking, and Missing and Murdered AI/AN; providing victims advocacy services; integrating evidence-based practice or traditional and/or faith-based services; collecting and communicating data about prevalence, incidence, and risk factors; and establishing a plan to ensure the sustainability of the program beyond the life of this grant. Forensic Health Care (FHC) awards also support efforts to provide access to treatment for AI/AN victims of domestic and sexual violence by supporting the development of and/or expansion of FHC services that are culturally appropriate and trauma informed. This includes promoting treatment, intervention, and prevention efforts for the social, spiritual and emotional well-being of victims including child maltreatment. To address domestic and sexual violence, including victims of sexual exploitation/human trafficking, applicants are encouraged to use a Multidisciplinary Team (MDT) and Sexual Assault Response Team (SART) approaches. Using these types of team approaches is crucial especially among local, state, and Federal agencies that includes healthcare providers, law enforcement, child protective services, social service, legal service, coalitions, behavioral health, and victim advocacy. The MDT/SART are community-based approaches in responding to sexual assault, intimate partner violence, and sexual abuse victims. Without the advantage of a team approach method, a program is more likely to fail. Improving collaboration through agreements can improve the response time for sexual assault victims.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible entities include Federally-recognized Indian Tribes as defined by 25 U.S.C. 1603(14); Tribal organizations as defined by 25 U.S.C. 1603(26); and Urban Indian Organizations as defined by 25 U.S.C. 1603(29).

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All application steps are listed in the Notice of Funding Opportunity. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.Grants.gov).

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

Applications selected for award are processed in GrantSolutions and notified of award by e-mail from GrantSolutions.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewal or extension is processed via a noncompeting continuation application that is available for at least 30 days, and is typically due 120 days prior to the end of the current budget period.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is limited to 5 years or less. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support. Method of awarding/releasing assistance: other

Reports:

Federal Financial Report (FFR or SF-425), Cash Transaction Reports are due 30 days after the close of every calendar quarter to the Payment Management Services, HHS at <https://pms.psc.gov>. The applicant is also requested to upload a copy of the FFR (SF-425) into our grants management system, GrantSolutions. Failure to submit timely reports may result in adverse award actions blocking access to funds. Program progress reports are required annually, within 30 days after the budget period ends (specific dates will be listed in the NoA Terms and Conditions). Progress reports are required to be submitted via the IHS behavioral health online data portal and GrantSolutions. These reports must include a brief comparison of actual accomplishments to the goals established for the period, a summary of progress to date or, if applicable, provide sound justification for the lack of progress, in addition to other information requested by the Division of Behavioral Health. A final report must be submitted within 90 days of expiration of the period of performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, reports, books, documents, papers, or other records of the grantee, contractor, or subcontractor, or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR 75, Subpart D, as applicable, grantees are required to maintain grant records for 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records have been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$2,500,000.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$7,890,000.00;

Range and Average of Financial Assistance:

No awards have yet been made. Forensic Healthcare Services awards are anticipated to be between \$200,000 and \$250,000. Domestic Violence Prevention awards are anticipated to be between \$100,000 and \$200,000.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75; HHS Grants Policy Statement (Rev.) January, 2007.

Regional or Local Office:

See Regional Assistance Locations. For Domestic Violence Prevention, Contact: Audrey Solimon, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. For Forensic Health Care, Contact: Audrey Solimon, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Grants Policy Office,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: 3014435204

Fax:

Website Address:

<https://www.ihs.gov/dgm/funding/>

RELATED PROGRAMS:

93.933 Demonstration Projects for Indian Health;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are screened for completeness and responsiveness to the Notice of Funding Opportunity (NOFO). All applications that are completed and responsive to the NOFO are sent to an objective review committee for review. The sponsoring office uses the results of the review to select applications to be awarded.

93.654 Indian Health Service Behavioral Health Programs

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF
AUTHORIZATION:

Public Law 110-161, 25 USC Code 13. 25 USC Code 1601-1683. Public Law 115-31

OBJECTIVES:

The Indian Health Service Behavioral Health Programs consists of several funding programs designed to help Tribes, Tribal Organizations, and Urban Indian Organizations (UIO) assist American Indian and Alaska Native (AI/AN) populations achieve and realize the highest possible level of physical, mental, social and spiritual health through addressing health concerns and delivery systems with behavioral components. The Substance Abuse and Suicide Prevention (SASP) Programs objectives are to reduce the prevalence of suicide and substance use and decrease the overall use of addicting and illicit substances among AI/AN populations, by improving care coordination; expanding behavioral health care services through the use of culturally appropriate evidence-based and practice-based models to address these issues; develop or expand on activities for the Generation Indigenous Initiative (Gen-I) by implementing early intervention strategies for AI/AN youth at risk for suicidal behavior. The objective of the Behavioral Health Integration Initiative (BH2I) program is to improve the physical and mental health status of people with behavioral health issues by developing an

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integrative, coordinated system of care. Awardees of this program will increase capacity among Tribal and Urban Indian organization (UIO) health facilities to implement an integrative approach in the delivery of behavioral health services, including trauma-informed care, nutrition, exercise, social, spiritual, cultural, and primary care services to improve morbidity and mortality outcomes among the AI/AN population. In addition, this effort will support activities that address improving the quality of life for individuals suffering from mental illness, substance use disorders, and adverse childhood experiences. The objective of the Preventing Alcohol-Related Deaths (PARD) through Social Detoxification Program is to increase access to community-based prevention strategies to provide social detoxification, evaluation, stabilization, fostering patient readiness for and entry into treatment for alcohol use disorders and when appropriate, other substance use disorders. The objective of the Zero Suicide Initiative (ZSI) is a key concept of the National Strategy for Suicide Prevention (NSSP) and is a priority of the National Action Alliance for Suicide Prevention. The Zero Suicide model focuses on developing a system-wide approach to improving care for individuals at risk of suicide who are currently utilizing health and behavioral health systems. The objective of the Youth Regional Treatment Center (YRTC) Aftercare Program is to address gaps in services that occur when youth transition from an YRTC treatment program and return home or to their designated caregiver. There may be limited, if any, aftercare support services available in a youths home community. Limited access to an aftercare support system significantly decreases the likelihood of successful recovery and increases the probability of relapse and other poor outcomes.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Federal assistance is to be used for projects and studies that provide American Indians/Alaska Natives with impetus and involvement in their health care and that promote improved health care to Indian people. All IHS Grant funds are for discretionary grant activities.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally recognized Indian tribes; tribal organizations; nonprofit inter-tribal organizations; nonprofit urban Indian organizations contracting with the Indian Health Service under Title V of the Indian Health Care Improvement Act; public or private nonprofit health and education entities; and State and local government health agencies.

Beneficiary Eligibility:

American Indian; Native American Organizations; Federally Recognized Indian Tribal Governments; American Indians/Alaska Natives will be the ultimate beneficiaries of the funding projects either directly or indirectly depending upon the nature of the program. For example, those individuals who participate in research studies and receive services will be direct beneficiaries while those impacted by policy changes resulting from analyses of Indian health care issues will be indirect beneficiaries.

Credentials/Documentation:

Credentials and documentation required depend on the program. These are described in the published notice of funding opportunity on the Federal Register during the competition for award. Links to the Federal Register announcements can be found at the IHS Division of Grants Management web site, at www.ihs.gov/dgm/funding/. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. These programs are subject to the provisions of 45 CFR part 75. The application package may be found in Grants.gov. You may not e-mail an electronic copy of a grant application to IHS. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval, a Notice of Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals at the end of the project period are handled through a competitive process involving a new Notice of Funding Opportunity published in the Federal Register, and a new application submitted under the same guidelines and requirements as the original award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is limited to 5 years or less, with specific lengths listed in the initial notice of funding opportunity on the Federal Register. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support. Method of awarding/releasing assistance: quarterly

Reports:

Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Services. Progress Reports are due 90 days after the end of each budget period, or more frequently if stated in the terms and conditions of the IHS grant award. Reports should be sent to the attention of the Grants Management Specialist at the Division of Grants Management. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Services. All IHS grant awards are monitored for Financial compliance by the Division of Grants Management and for Programmatic Compliance by the IHS Program Staff. NIHA - other reports as required and specified in the program announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the DHHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 75.361 grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$14,000,000.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$14,000,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$2,500,000.00; Project

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Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$55,000,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$2,000,000.00;

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$900,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0390. 75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, and Health and Human Services Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contacts:For Behavioral Health Integration, contact: Steven Whitehorn, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857.For Prevention of Alcohol-Related Deaths, and Youth Regional Treatment Center, contact: JB Kinlacheeny, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857.For Suicide and Substance Abuse Prevention, contact: Audrey Solimon, SASP National Program Coordinator, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857.For Youth Regional Treatment Center Aftercare, contact: JB Kinlacheeny, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857.For Zero Suicide Initiative, contact: Monique Richards, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857.Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857

Email: grantspolicy@ihs.gov

Phone: 3014435204

Fax:

Website Address:

<https://www.ihs.gov/dgm/>

RELATED PROGRAMS:

93.933 Demonstration Projects for Indian Health;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are: Statement of problem(s) requiring solution; need for assistance; results or benefits expected from the project; approach or soundness of the applicant's plan for conducting the project; key personnel and their capability to carry out the project; and adequacy of management controls. Consideration will be given to the demonstrative aspects of the project and the compatibility of the project with the overall goals and objectives of the Indian Health Service.

93.658 Foster Care Title IV-E

Title IV-E Foster Care,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV-E, Section 470, et seq., as amended.,

OBJECTIVES:

The Title IV-E Foster Care program helps states, Indian tribes, tribal organizations and tribal consortia (tribes) to provide safe and stable out-of-home care for children under the jurisdiction of the state or tribal child welfare agency until the children are returned home safely, placed with adoptive families, or placed in other planned arrangements for permanency. The program provides funds to assist with the costs of foster care maintenance for eligible children; administrative costs to manage the

program; and training for public agency staff, foster parents and eligible professional partner agency staff. In addition, \$3 million is reserved for technical assistance and plan development/implementation grants to eligible tribes.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Formula grant funds may be used by the state or local (under state supervision) child welfare agency or by the tribal child welfare agency for Federal Financial Participation (FFP) in foster care maintenance payments on behalf of eligible children; for administrative and training costs; and for costs related to design, implement and operate a statewide data collection system. Funds may not be used for costs of social services such as those that provide counseling or treatment to ameliorate or remedy personal problems, behaviors, or home conditions for a child, the child's family, or the child's foster family. Public Law 115-123 amended the program beginning in FY 2020, unless a state or tribe has elected a delayed effective date of up to two years to place limitations on Title IV-E foster care maintenance payments for placements that are not foster family homes. Title IV-E agencies must also develop policies and procedures to identify, document, and determine appropriate services for any child or youth in the placement, care or supervision of the Title IV-E agency who is at-risk of becoming a sex trafficking victim or who is a sex trafficking victim. Plan development/implementation grants to tribes are one-time grants for projects lasting up to 24 months that are to be used to develop a plan to operate a Title IV-E program for foster care, adoption assistance and kinship guardianship as specified under section 479B of Title IV-E.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; State; Funds are available to states (including the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, and American Samoa) and to tribes with approved Title IV-E plans. For Plan Development/Implementation Grants: Eligibility is limited to Indian tribes, tribal organizations, and tribal consortia. The terms "Indian tribe" and "tribal organization" have the meanings given those terms in section 4 of the Indian Self-Determination and Education Assistance Act, Pub. L. 93-638, 25 U.S.C. 450b. Also see sections 479B(a) and 476(c)(2)(A)(iii) of the Social Security Act.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Infant (0-5); Child (6-15); Youth (16-21); Children meeting eligibility criteria for the former Aid to Families with Dependent Children program (except for up to a 12-month period for those children placed with a parent residing in a licensed residential family-based treatment facility for substance abuse treatment) whose removal and placement in foster care are in accordance with a voluntary placement agreement or judicial determinations to the effect that continuation in the home would be contrary to the child's welfare and that reasonable efforts were made to prevent the removal (or that such efforts were not necessary), and whose placement and care are the responsibility of the state or tribal agency administering the Title IV-E program. Due to the COVID-19 public health emergency, a youth may not be required to leave foster care solely due to age or failure to meet the education and employment conditions from December 27, 2020 through September 30, 2021. Any youth who left or leaves foster care due to reaching the state/tribes maximum age or a failure to meet the education and employment conditions during the COVID-19 public health emergency (January 27, 2020 thru at least July 19, 2021 and subject to extension up to September 30, 2021) is also permitted to voluntarily re-enter foster care without regard to age or the education and employment conditions. See section 472 of the Social Security Act and the Code of Federal Regulations at 45 CFR 1356.71 for additional details on program eligibility requirements.

Credentials/Documentation:

Formula Grants:The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Subpart C (except 75.202) does not apply to this program. Subparts D and E apply. Plan Development/Implementation Grants: Non-profit agencies must submit proof of non-profit status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

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is not required for this program. This program is excluded from coverage under E.O. 12372. Formula Grants: Consultation is available to States and Tribes from Regional Administration for Children and Families (ACF) offices. Plan Development/Implementation Grants: Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Formula Grants: Applications are made in the form of an SF-424M and Title IV-E Plan, submitted through the Online Data Collection System (OLDC). Title IV-E plans and amendments must be reviewed by the ACF Regional Child Welfare Program Manager for approval. Plan Development/Implementation Grants: applicants may find funding opportunity announcements (FOAs) on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Eligible applicants must submit applications by specified deadlines.

Award Procedure:

Formula Grants: Quarterly awards are made on the basis of estimated expenditures that are later revised accordingly to include only actual allowable claimed expenditures. Next quarter estimates are due on July 30, October 30, January 30, and April 30 each year. Final decisions and awards are made by the headquarters office. Plan Development/Implementation Grants: Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in FOA. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Formula Grants: HHS approves or disapproves Title IV-E plans within 45 days of receipt by HHS. Plan Development/Implementation Grants: HHS approves or disapproves within 3 to 6 months.

Appeals:

Other. There is no appeal process for unsuccessful Development/Implementation Grant applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable. Formula Grants: Awards remain effective without plan renewal, but Title IV-E plans may be amended as necessary. Project Grants: If awarded, non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory Formula: Title IV, Chapter NA, Part E, Subpart NA, Public Law Social Security Act, Pub. L. 74-271. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The non-Federal share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the Title IV-E agency. Matching funds from state agencies must be appropriated monies raised from non-Federal revenue sources.

Matching funds from tribal agencies must also be appropriated monies, but may, at the option of the tribe, include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs. Additionally, in accordance with 45 CFR 1356.68 for administration and training costs only, tribal matching funds may include in-kind expenditures (or contributions) from allowable third party sources. Plan Development/Implementation Grants: There is no matching requirement. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Plan Development/Implementation Grants: Provided on a one-time basis generally for a 24 month project period. Method of releasing assistance: lump sum. Formula Grants: Federal financial participation is available to a state or tribe operating under an approved Title IV-E plan. Funds are available via the Division of Payment Management (DPM). Method of awarding/releasing assistance: quarterly

Reports:

Plan Development/Implementation Grants: Progress and expenditure reports are required in accordance with the instructions in the funding opportunity announcement. Plan Development/Implementation Grants: Financial reports are required in accordance with the funding opportunity announcement. Plan Development/Implementation Grants: Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the funding opportunity announcement and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Formula Grants: A report of estimated expenditures, actual expenditures, and number of children assisted (Form CB-496) is required 30 days after the end of each quarter and other reports as required by the Secretary. Plan Development/Implementation Grants: Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the funding opportunity announcement and in the award terms and conditions. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Performance monitoring of state agencies is conducted through the Child and Family Services Review process in accordance with 45 CFR 1355.31 - 1355.37.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365. Formula Grants: States and tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with written guidance from the Administration for Children and Families.

Account Identification:

75-1545-0-1-609.

Obligations:

Formula Grants FY 20 \$1,022,409,028.00; FY 21 est \$1,453,187,023.00; FY 22 est \$1,487,155,659.00; Project Grants (Discretionary) FY 20 \$2,915,895.00; FY 21 est \$2,968,642.00; FY 22 est \$2,956,067.00;

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Range and Average of Financial Assistance:

FY 2020 Formula Grants to states ranged from \$2,419,311 to \$1,319,458,950 with an average of \$86,370,961. Formula grants to tribes ranged from \$64,539 to \$4,488,673 with an average of \$1,099,527. FY 2020 Tribal Plan Development/Implementation Grants: Five tribes each received a \$300,000 award covering a 24-month period ending in either FY 2021 or FY 2022.

TAFS Codes:

75-1545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 53 states (including the District of Columbia, Puerto Rico, and the Virgin Islands) and 12 tribes received grant awards. Training and technical assistance grants were provided to 4 tribes for program development activities. Fiscal Year 2021: It is anticipated that 53 states (including the District of Columbia, Puerto Rico, and the Virgin Islands) and ten tribes will receive grant awards. It is anticipated that training and technical assistance grants will be provided to up to five tribes for program development activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations at 45 CFR 1355-1356 apply to this program.

Regional or Local Office:

None. Consult the Children's Bureau Regional Child Welfare Program Manager

Headquarters Office:

Child Welfare Program Specialist Jennifer Butler-Hembree,
330 C Street SW, Room 3512,
Washington DC 20201

Email: jennifer.butler-hembree@acf.hhs.gov

Phone: 225-654-2527

Website Address:

<https://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.090 Guardianship Assistance; 93.471 Title IV-E Kinship Navigator Program; 93.472 Title IV-E Prevention and Family Services and Programs; 93.556 Promoting Safe and Stable Families; 93.560 Family Support Payments to States_Assistance Payments; 93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.659 Adoption Assistance; 93.674 Chafee Foster Care Independence Program; 93.603 Adoption and Legal Guardianship Incentive Payments;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Development/Implementation Grants: the criteria for selecting proposals will be published in the Funding Opportunity Announcement(s).

93.659 Adoption Assistance

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title IV-E, Section 470 et seq., as amended.

OBJECTIVES:

This program provides Federal Financial Participation (FFP) to states, Indian tribes, tribal organizations and tribal consortia (tribes) in adoption subsidy costs for the adoption of children with special needs who cannot be reunited with their families and who meet certain eligibility tests. This assistance is intended to prevent inappropriately long stays in foster care and to promote the healthy development of children through increased safety, permanency and well-being.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

All parents adopting special needs children are eligible for the nonrecurring cost of adoption up to \$2,000.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State; U.S. Territories and possessions; Funds are available to states (including the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam and American Samoa) and to tribes with approved Title IV-E plans.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Infant (0-5); Child (6-15); Youth (16-21); Eligible beneficiaries include certain children who are legally freed for adoption where an adoption assistance agreement has been entered into prior to the finalization of an adoption. These children must: (1) have been determined by the state or tribe to be special needs, e.g., a special factor or condition which makes it reasonable to conclude that they cannot be adopted without adoption assistance; a state or tribe determination that the child cannot or should not be returned home; and a reasonable effort has been made to place the child without providing financial or medical assistance and (2) meet one of the relevant statutory categorical eligibility criteria. These criteria differ during the phase-in period (federal fiscal years (FFYs) 2010 through June 30, 2024) based on the FFY in which the adoption assistance agreement was entered into and the child's circumstances at that time. See section 473 of the Act for additional details on program eligibility requirements. FFP is available from the time of placement for adoption in accordance with state, local or tribal law or final adoption decree to age 18 (21 if the state/tribe finds that a disability means aid should continue or where provided through an adoption assistance agreement that was entered for a child that had attained at least 16 years of age before the agreement became effective) as long as the parent supports the child. No child adopted prior to the approval of the state or tribal Title IV-E plan is eligible for FFP. No means test applies to adopting parents, but the amount of subsidy is agreed to by agency and parents and may be readjusted by joint agreement.

Credentials/Documentation:

The state or tribe must submit an application for approval by the Children's Bureau. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Consultation to states and tribes is available from the Administration for Children and Families (ACF) Regional Offices.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Applications are made in the form of a Title IV-E Plan, prepared in the format provided by the ACF Children's Bureau (CB). Title IV-E plans and amendments are submitted to the ACF Regional Child Welfare Program Manager for approval.

Award Procedure:

Quarterly awards are made on the basis of estimated expenditures, which are later revised to include only actual allowable claimed expenditures. Quarterly estimates are due on July 30, October 30, January 30, and April 30 each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. ACF will approve/disapprove applications (Title IV-E plans or amendments to them) within 45 days of receipt.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

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Not Applicable. Awards remain effective without plan renewal but Title IV-E plan may be amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Title IV-E, Chapter Section 474(a)(1-3), Part NA, Subpart NA, Public Law Pub. L. 74-271 Social Security Act. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The non-Federal Share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the Title IV-E agency. Matching funds from state agencies must be appropriated monies raised from non-Federal revenue sources. Matching funds from tribal agencies must also be appropriated monies, but may, at the option of the tribe, include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs. Additionally, in accordance with 45 CFR 1356.68 for administration and training costs only, tribal matching funds may be included as in-kind expenditures (or contributions) from allowable third-party sources. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Federal financial participation is available to a state or tribe operating under an approved Title IV-E plan. Post award, the Division of Payment Management will establish an account from which a recipient may draw down award funds. Method of awarding/releasing assistance: quarterly

Reports:

Reports as required by the Secretary: A quarterly report of estimated expenditures, actual expenditures, and number of children assisted (Form CB-496) is required 30 days after the end of the quarter. An annual adoption saving calculation and accounting report (Form CB-496 Part 4) is also required 30 days after the end of the fourth quarter of each FY. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

States and tribes must maintain all financial and programmatic records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, the utilization of awarded grants and other funds for the provision of financial assistance and allocated indirect and direct administrative and training costs in accordance with the provisions of 45 CFR 75.361-365 Records Retention, and written guidance from ACF.

Account Identification:

75-1545-0-1-609.

Obligations:

Formula Grants FY 20 (\$910,481,162.00); FY 21 est (\$492,922,296.00); FY 22 est (\$558,830,296.00);

Range and Average of Financial Assistance:

FY 2020 Grants to states ranged from \$1,169,512 to \$658,242,148 with an average of \$65,102,295. FY 2020 Grants to tribes ranged from: \$101 to \$202,486 with an average of \$76,190.

TAFS Codes:

75-1545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 53 states (including the District of Columbia, Puerto Rico, and the U.S. Virgin Islands) and 6 tribes received grant awards. Fiscal Year 2021: It is anticipated that 53 states (including the District of Columbia, Puerto Rico, and the U.S. Virgin Islands) and 6 tribes will receive grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations at 45 CFR 1355-1356 apply to this program.

Regional or Local Office:

None. Contact the Regional Administrator, ACF, HHS in the appropriate Regional Office

Headquarters Office:

William Meltzer,
330 C Street SW,
Washington DC 20201
Email: william.meltzer@acf.hhs.gov
Phone: (646) 905-8131
Fax:

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.090 Guardianship Assistance; 93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.652 Adoption Opportunities; 93.658 Foster Care_Title IV-E; 93.603 Adoption and Legal Guardianship Incentive Payments;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.662 Extramural Research Facilities

Restoration Program: Hurricanes Harvey, Maria, and Irma Construction

Extramural Research Facilities Restoration Program: Hurricanes Harvey, Maria, and Irma Construction,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Bipartisan Budget Act of 2018, , Public Law 115-123

OBJECTIVES:

As written in the Bipartisan Budget Act of 2018 (P.L. 115-123), this program will fund disaster response and recovery, and other expenses directly related to Hurricane Harvey, Hurricane Irma, or Hurricane Maria, which are in the FEMA-declared major disaster states (Connecticut, Delaware, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Virginia, West Virginia, and the District of Columbia Texas, Florida, U.S. Virgin Islands, Puerto Rico, Georgia, and South Carolina), relevant to supporting the recovery of losses at non-Federal biomedical or behavioral research facilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

These funds can be used for research, renovation and repair, constructions, training, and counseling to address the objectives identified in section 050. Funds appropriated in this paragraph shall not be available for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance; Amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Definition: Self-Insurance is a formal plan, pursuant to law or regulation, in which amounts are set aside in a fund to cover losses of specified types and amounts, typically by a commercial insurance company. Appropriated funds are precluded from expenses that are or can be reimbursed by the formal self-insurance plan.

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See individual Funding Opportunity Announcements (FOAs) for specific information.

Credentials/Documentation:

Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See individual Funding Opportunity Announcements (FOAs) for specific information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Award Procedure:

See individual Funding Opportunity Announcements (FOAs) for specific information

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See individual Funding Opportunity Announcements (FOAs) for specific information

Appeals:

Other. See individual Funding Opportunity Announcements (FOAs) for specific information

Renewals:

Not Applicable. Renewals are not allowed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See individual Funding Opportunity Announcements (FOAs) for additional information. Method of awarding/releasing assistance: other

Reports:

See individual FOAs for specific information on program reports. See individual FOAs for specific information on cash reports See individual FOAs for specific information on progress reports See individual FOAs for specific information on expenditure reports See individual FOAs for specific information on performance monitoring

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for at least three years after the end of the project period or until all audit or compliance issues are resolved, whichever is latest.

Account Identification:

07-5182-0-0-846.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

See individual Funding Opportunity Announcements (FOAs) for specific information.

TAFS Codes:

75-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See individual Funding Opportunity Announcements (FOAs) for specific information.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Financial or Grants Management contact as provided on the initiative, or Director, Office of Policy for Extramural Research Administration (OPERA), OER, NIH for award policy or application issues: 301-435-0938.

Headquarters Office:

NIH General Grants Contacts page at <http://grants.nih.gov/grants> NIH General Grants Contacts page at <http://grants.nih.gov/grants/contacts.htm>, Bethesda, Maryland 20892,

NIH General Grants Contacts page at <http://grants.nih.gov/grants/contacts.htm>, Bethesda MD 20892

Email: GrantsPolicy@OD.NIH.GOV

Phone: NIH General Grants Contacts page

Adam Graham,

6705 Rockledge Drive, 807-E,

Bethesda MD 20901

Email: adam.graham@nih.gov

Phone: 301.402.3263

Fax:

Website Address:

<http://www.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See individual Funding Opportunity Announcements (FOAs) for specific information.

ASSISTANCE LISTINGS DESCRIPTIONS

93.663 Extramural Research Restoration

Program: Hurricanes Harvey, Maria, and Irma non-construction

Extramural Research Restoration Program: Hurricanes Harvey, Maria, and Irma non-construction,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Bipartisan Budget Act of 2018, Title 115, Section 123

OBJECTIVES:

As written in the Bipartisan Budget Act of 2018 (P.L. 115-123), this program will fund disaster response and recovery, and other expenses directly related to Hurricane Harvey, Hurricane Irma, or Hurricane Maria, which are in the FEMA-declared major disaster states (Connecticut, Delaware, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Virginia, West Virginia, and the District of Columbia Texas, Florida, U.S. Virgin Islands, Puerto Rico, Georgia, and South Carolina), relevant to supporting the recovery of losses at non-Federal biomedical or behavioral research facilities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

These funds can be used for research, renovation and repair, constructions, training, and counseling to address the objectives identified in section 050. Funds appropriated in this paragraph shall not be available for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance; Amounts are designated by the Congress as being for an emergency requirement pursuant to section 251(b)(2)(A)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Definition: Self-Insurance is a formal plan, pursuant to law or regulation, in which amounts are set aside in a fund to cover losses of specified types and amounts, typically by a commercial insurance company. Appropriated funds are precluded from expenses that are or can be reimbursed by the formal self-insurance plan.

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-

insurance. Definition: Self-Insurance is a formal plan, pursuant to law or regulation, in which amounts are set aside in a fund to cover losses of specified types and amounts, typically by a commercial insurance company. Appropriated funds are precluded from expenses that are or can be reimbursed by the formal self-insurance plan.

Credentials/Documentation:

Applicants will be required to attest (at time of application) that funds requested will not be used for costs that are reimbursed by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. Terms and Conditions of the award will stipulate that the grantee must reimburse HHS for any costs that are subsequently covered by the Federal Emergency Management Agency, under a contract for insurance, or by self-insurance. The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. See individual Funding Opportunity Announcements (FOAs) for specific information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Award Procedure:

See individual Funding Opportunity Announcements (FOAs) for specific information.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. See individual Funding Opportunity Announcements (FOAs) for specific information.

Appeals:

Other. See individual Funding Opportunity Announcements (FOAs) for specific information.

Renewals:

Not Applicable. Renewals and extensions are not allowed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All funds awarded must be obligated and outlaid within a 24-month period after the award date. Automatic No Costs Extensions are prohibited. See the following for information on how assistance is awarded/released: The project period and budget period will be up to 24 months. See individual Funding Opportunity Announcements (FOAs) for additional information. Method of awarding/releasing assistance: other

Reports:

See individual FOAs for specific information on program reports. See individual FOAs for specific information on cash reports See individual FOAs for specific information on progress reports See individual FOAs for specific information on expenditure reports See individual FOAs for specific information on performance monitoring

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

ASSISTANCE LISTINGS DESCRIPTIONS

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

All records must be maintained for at least three years after the end of the project period or until all audit or compliance issues are resolved, whichever is latest.

Account Identification:

07-5182-0-0-846.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

See individual Funding Opportunity Announcements (FOAs) for specific information.

TAFS Codes:

75-0846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See individual Funding Opportunity Announcements (FOAs) for specific information.

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. Financial or Grants Management contact as provided on the initiative, or Director, Office of Policy for Extramural Research Administration (OPERA), OER, NIH for award policy or application issues: 301.435.0938

Headquarters Office:

NIH General Grants Contacts page at <http://grants.nih.gov/grants> NIH General Grants Contacts page at <http://grants.nih.gov/grants/contacts.htm>, Bethesda, Maryland 20892,

NIH General Grants Contacts page at <http://grants.nih.gov/grants/contacts.htm>, Bethesda MD 20892

Email: GrantsPolicy@OD.NIH.GOV

Phone: NIH General Grants Contacts page

Adam Graham,

6705 Rockledge Drive, 807-E,

Bethesda MD 20892

Email: adam.graham@nih.gov

Phone: 301-402-3263

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See individual Funding Opportunity Announcements (FOAs) for specific information.

93.664 Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act

Section 1003 Demonstration Project to Increase Substance Use Provider Capacity,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Substance Use-Disorder Prevention that Promotes Opioid Recovery and

Treatment for Patients and Communities Act, or the SUPPORT for Patients and Communities Act, Section Section 1003, Public Law 115-271

OBJECTIVES:

Section 1003 of the SUPPORT Act amends Title XIX with a provision directing CMS, in consultation with the Substance Abuse and Mental Health Services Administration (SAMHSA), to conduct a 54-month demonstration project to increase the treatment capacity of providers participating in Medicaid to provide substance use disorder (SUD) treatment or recovery services. Planning grants were awarded to 15 states for the planning phase of the demonstration. Up to 5 states that receive planning grants will be selected to implement 36-month demonstrations. Planning Grants: For the planning phase of the project, under section 1003(aa)(2)(A) (I-IV)), the HHS Secretary awarded planning grants totaling \$48.4 million to 15 states (based on geographic diversity, with a preference to states with a prevalence of opioid use disorders comparable to or higher than the national average). Planning grant activities include assessing the behavioral health treatment needs of the state and supporting the development of state infrastructure to recruit prospective providers to treat substance use disorders and training for those providers. The maximum time period for funding for planning grants is 18 months. Pursuant to section 1135(b)(5) of the Social Security Act (Act), the end date of the 18-month period for the planning phase of the SUPPORT Act section 1003 Substance Use Disorder Provider Capacity Demonstration is modified by 6 months to September 30, 2021. The start of the 36-month post-planning demonstration phase is modified by 6 months to October 1, 2021.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 1003 (aa)(3) (B)(i-iii) required CMS to select states for planning grants using the following criteria:-Select States with an approved Medicaid State plan (or waiver of the State plan) -Select States in a manner that ensures geographic diversity-Give preference to States with a prevalence of substance use disorders (in particular opioid use disorders) that is comparable to or higher than the national average prevalence, as measured by aggregate per capita drug overdoses, or any other measure that CMS deems appropriate.

Applicant Eligibility:

Intrastate; The statutory authority limits eligibility to states including the District of Columbia. Eligible applicants are State Medicaid Agencies (SMAs).

Beneficiary Eligibility:

Intrastate; Planning grants are aimed to increase the capacity of Medicaid providers to provide SUD treatment or recovery services to: Medicaid eligible individuals; low income individuals/families; individuals with disabilities; consumers; individuals with behavioral health disorders including mental health and substance use disorders.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants are encouraged to submit a non-binding Notice of Intent to Apply. Notices of Intent to Apply are not required and their submission or failure to submit a notice has no bearing on the scoring of proposals received. Receipt of such notices enables CMS to better plan for the application review process.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Notice of Funding Opportunities (NOFO) for this listing was posted on Grants.gov. Applicants submitted their applications electronically through <http://www.grants.gov>. Applicants were encouraged to submit a non-binding Letter of Intent to Apply. Letters of Intent to Apply are not required and a states submission or failure to submit a letter has no bearing on the scoring of proposals received. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications were awarded based on the outcomes of the Federal review panel. An

ASSISTANCE LISTINGS DESCRIPTIONS

independent review of all applications was conducted by a panel of experts. The review panel assessed each application to determine the merits of the proposal. Final approval of the SUPPORT ACT planning grant awards was made by CMS after consideration of the comments and recommendations of the review panelists, program office recommendations, and the availability of funds. CMS reserved the right to approve or deny any or all proposals for funding.

Deadlines:

Letter of Intent to Apply Due Date: July 18, 2019

Electronic Application Due Date: August 9, 2019

Range of Approval/Disapproval Time:

Other. Contact the regional office for application deadlines. Successful applicants received a Notice of Award (NoA) prior to September 30, 2019. Unsuccessful applicants were notified by letter, sent through the U.S. Postal Service to the applicant organization as listed on its SF- 424 prior to September 30, 2019.

Appeals:

Other. Appeals are governed by Title 45--Public Welfare SUBTITLE A--DEPARTMENT OF HEALTH AND HUMAN SERVICES PART 16--PROCEDURES OF THE DEPARTMENTAL GRANT APPEALS BOARD.

Renewals:

Other. Planning grants agreements were funded on an 18-month basis, with support subsequent years contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Pursuant to section 1135(b)(5) of the Social Security Act (Act), the end date of the 18-month period for the planning phase of the SUPPORT Act section 1003 Substance Use Disorder Provider Capacity Demonstration is modified to expand 6 months to September 30, 2021. The start of the 36-month post-planning demonstration phase is modified by 6 months to October 1, 2021. For purposes of the Planning Grants, there is appropriated, out of any funds in the Treasury not otherwise appropriated, \$50,000,000, to remain available until expended.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Planning grants are awarded for an 18 month period; funds must be expended within 18 months of award. Pursuant to section 1135(b)(5) of the Social Security Act (Act), the end date of the 18-month period for the planning phase of the SUPPORT Act section 1003 Substance Use Disorder Provider Capacity Demonstration is modified to expand by 6 months to September 30, 2021. Method of awarding/releasing assistance: lump sum.

Reports:

CMS requires States to submit financial and progress reports on a quarterly basis. Other reports may be required for evaluation purposes. States must submit a quarterly electronic Federal Financial Report (FFR) via the Payment Management System. The quarterly report identifies cash transactions against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. The final FFR, including both cash transactions and expenditures, must be submitted and received within 90 days of the end of the project period. Quarterly and semi-annual progress reports are required. Grantees must include expenditures on the annual Federal Financial Report (FFR) submitted at the end of the budget period. The final FFR must be submitted within 90 days after the end of the project period end date and include both cash transactions and expenditures data. Performance monitoring is not required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Recipients are required to maintain grant accounting records 3 years after the date the grant is officially closed. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0516-0-1-551.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$49,847,381.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$833,701 - \$5,000,000

TAFS Codes:

75-0516.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Melanie M. Brown PhD, MPH Centers for Medicare & Medicaid Services 7500 Security Boulevard Mail Stop: S2-13-20 Baltimore, MD 21244-1850 melanie.brown@cms.hhs.gov Melanie M. Brown PhD, MPH Centers for Medicare & Medicaid Services 7500 Security Boulevard Mail Stop: S2-13-20 Baltimore, MD 21244-1850 melanie.brown@cms.hhs.gov

Headquarters Office:

Melanie M. Brown Ph.D., MPH,
7500 Security Boulevard,
Baltimore MD 21244
Email: melanie.brown@cms.hhs.gov
Phone: 410-786-1095
Fax: Melanie M. Brown Ph.D., MPH,
7500 Security Boulevard,
Baltimore MD 21244
Email: melanie.brown@cms.hhs.gov
Phone: 410-786-1095

Fax:

Website Address:

<https://www.medicaid.gov/medicaid/benefits/bhs/support-act-provider-capacity-demos/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications were screened by Federal staff to determine eligibility for further review using the criteria detailed in the Eligibility Information section of this solicitation. Applications were objectively reviewed by a panel of CMS and SAMHSA experts. The review panel utilized the objective criteria described in the Application Review Criteria Information section of this solicitation to establish an overall numeric score for each application. The results of the objective review of applications were used to advise the approving CMS official. Additionally, CMS staff made final recommendations to the approving official after ranking applications using the scores and comments from the review panel and weighing other factors based on geographic diversity, with a preference to states with a prevalence of opioid use disorders comparable to or higher than the national average.

93.665 Emergency Grants to Address Mental and Substance Use Disorders During COVID-19

Emergency COVID-19.

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Title Coronavirus Aid, Relief, and Economic Security Act or the CARES Act, ,

ASSISTANCE LISTINGS DESCRIPTIONS

Public Law H.R.748-116-136

OBJECTIVES:

This program is to provide mental and substance use disorder treatment, crisis counseling, and other related supports for children and adults impacted by the COVID-19 pandemic.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Provides funds for staff, travel, service providers and other expenses incident to the provision of mental and substance use disorders services, counseling to and referral of individuals impacted by the COVID-19 pandemic.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments;

Beneficiary Eligibility:

Individual/Family; Child (6-15); States and Territories, including the District of Columbia, and Federally Recognized Tribes and Tribal Organizations.

Credentials/Documentation:

Costs will be determined in accordance with HHS Regulations 45 CFR Part 92 for State and local governments, must be used for this program., 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed by and objective review committee, scored, and recommended for approval or disapproval.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. 90 day no cost extensions may be allowable after the project period has ended.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance may be for 16 months with a possible extension of up to 90 days as approved by SAMHSA Method of awarding/releasing assistance: other

Reports:

A program report is due 90 days after the end of the project period. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management, 90 days after the final 12 month project period. Progress reports are to be submitted on project progress at the midpoint of Year 1 (i.e., at 6 months post award) and an annual report at the end of the grant year. A performance report is required at the end of the project period to determine a no cost extension.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3 year period if audit findings have not been resolved.

Account Identification:

75-1362-1-1-551.

Obligations:

Project Grants FY 20 \$110,000,000.00; FY 21 est \$195,889,981.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

It is estimated that 267 awards will be made in FY 2021 and 60 awards will be made in fiscal year 2020.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 44 CFR 205.HHS Grants Policy Statement, HHS (Rev.) January 1, 2007.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Grants Management Officer Roger George,
5600 Fishers Lane,
Rockville MD 20857
Email: roger.george@samhsa.hhs.gov
Phone: 2402761418
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.667 Social Services Block Grant

SSBG Program,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Medicaid and Medicare Patient and Program Act of 1987, , Public Law 100-93, Family Support Act of 1998, , Public Law 100-485, Social Security Act, as amended, Title XX, , 42 USC Code 1397 et seq.. Omnibus Budget Reconciliation Act of 1987, , Public Law 100-203, Omnibus Budget Reconciliation Act of 1993, , Public Law 103-66, Omnibus Budget Reconciliation Act of 1981, as amended, , Public Law 97-35

OBJECTIVES:

The objective is to enable each State to furnish social services best suited to the needs of the individuals residing in the State. Federal block grant funds may be used to provide services directed toward one of the following five goals specified in the law: (1) To prevent, reduce, or eliminate dependency; (2) to achieve or

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maintain self-sufficiency; (3) to prevent neglect, abuse, or exploitation of children and adults; (4) to prevent or reduce inappropriate institutional care; and (5) to secure admission or referral for institutional care when other forms of care are not appropriate.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal funds may be used by States for the proper and efficient operation of social service programs. There are 29 categories for social services allowable under the SSBG program. These categories include: adoption services; case management services; congregate meals; counseling services; day care services-adults; day care services-children; education and training services; employment services; family planning services; foster care services for adults; foster care services-children; health related and home health services; home based services; home delivered meals; housing services; independent and transitional living services; information and referral; legal services; pregnancy and parenting services for young parents; prevention and intervention services; protective services for adults; protective services for children; recreational services; residential treatment services; special treatment services for persons with developmental or physical disabilities; special services for youth involved in or at risk of involvement with criminal activity; substance abuse services; transportation services; and other services. A portion of funds may be used for technical assistance if needed. Except for items (1) and (4) below, for which a waiver from the Secretary may be requested, Federal funds cannot be used for the following: (1) The purchase or improvement of land, or the purchase, construction, or permanent improvement of any building or other facility; (2) the provision of cash payments for costs of subsistence or the provision of room and board (other than costs of subsistence during rehabilitation, room and board provided for a short term as an integral but subordinate part of a social service, or temporary shelter provided as a protective service); (3) the payment of wages to any individual as a social service (other than payment of wages to welfare recipients employed in the provision of child day care services); (4) the provision of medical care (other than family planning services, rehabilitation services or initial detoxification of an alcoholic or drug dependent individual) unless it is an integral but subordinate part of a social service for which grants may be used; (5) social services (except services to an alcoholic or drug dependent individual or rehabilitation services) provided in and by employees of any hospital, skilled nursing facility, intermediate care facility, or prison, to any individual living in such institution; (6) the provision of any educational service which the State makes generally available to its residents without cost and without regard to their income; (7) any child day care service unless such service meets applicable standards of State and local law; (8) the provision of cash payments as a service; or (9) for payment for any item or service (other than an emergency item or service) furnished by an individual or entity during the period when such individual or entity is excluded pursuant to Section 1128 or Section 1128(A) of the Social Security Act from participation in this program; or at the medical direction or on the prescription of a physician during the period when the physician is excluded based on Section 1128 or 1128(A) from participation in the program and when the person furnishing such item or service knew or had reason to know of the exclusion (after a reasonable time period after reasonable notice has been furnished to the person). A State may transfer up to 10 percent of its allotment for any fiscal year to the preventive health and health services, alcohol and drug abuse, mental health services, primary care, maternal and child health services, and low-income home energy assistance block grants. In addition, funds may be transferred to other Federal block grants for support of health services, health promotion and disease prevention activities, or low-income home energy assistance (or any combination of those activities). Under section 2002(d) of the Social Security Act (42 U.S.C. 1397a(d)), funds may be transferred in accordance with the provisions of that section to the preventive health and health services, alcohol and drug abuse and mental health services, primary care, maternal and child health services, and low-income home energy assistance block grants. In addition, funds may be transferred to other Federal block grants for support of health services, health promotion and disease prevention activities, or low-income home energy assistance (or any combination of those activities).

Applicant Eligibility:

State; U.S. Territories and possessions; The 50 States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, and American Samoa are eligible entities.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Under Title XX of the Social Security Act, each eligible jurisdiction determines the services that will be provided and the individuals that will be eligible to receive services.

Credentials/Documentation:

Prior to expenditure of funds, the State must report on the intended use of the payments the State is to receive, including information on the types of activities to be supported and the categories or characteristics of individuals to be served. 2 CFR 200, Subpart E- Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of a Pre-Expenditure Report/Intended Use Plan application is required.

Award Procedure:

The Pre-Expenditure Report and Intended Use Plan (OMB #0970-0234) must be reviewed and accepted before the State receives an award. States are awarded funds quarterly.

Deadlines:

June 1 and September 1 of the fiscal year are the deadline for the pre-expenditure report.

Post Expenditure Report no later than six months following the close of the fiscal year. For recipients following the state fiscal year July 1 through June 30, the report is due close of business December 30. For recipients following the federal fiscal year September 1 through October 30, their Post Expenditure Reports are due no later than close of business March 30.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. See 45 CFR, Part 16, Procedures of the Departmental Appeals Board and 45 CFR 96.52, Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XX, Chapter n/a, Part n/a, Subpart n/a, Public Law 97-35. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are awarded quarterly on a fiscal year basis. Payments to a State from its allotment for any fiscal year must be expended by the State in such fiscal year or in the succeeding fiscal year. The Division of Payment Management Services will be used based for monthly draw downs of funds. Method of awarding/releasing assistance: quarterly

Reports:

An annual (OMB Form No. 0970-0234: Pre-Expenditure and Post-Expenditure) report covering the most recent completed fiscal year are required. Each year States are required to submit a pre-expenditure Report and Intended Use Plan as prerequisites to receiving SSBG funds. The Intended Use Plan reports intended or planned uses of SSBG funds, including the types of activities (or services) to be supported, and the categories and characteristics of individuals to be served (such as children, adults 59 and younger, adults 60 and older, and the disabled) (42 U.S.C. 1397c). Post Expenditure: This report shows the number of individuals receiving services, the amounts spent on each service, the total amount of federal, state and local funds spent on each service, the methods by which each service was provided, and the criteria for determining eligibility for services. For entities requesting a waiver or who have received an approved waiver for the purchase or improvement of land, or the purchase, construction, or permanent improvement of any building or other facility, the following reporting requirements apply: 1) If

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requesting funds for major renovation, construction or purchase of facilities, recipients are required to submit an SF-429 Real Property Status ReportCover Page with Attachment B in the GrantSolutions OLDC system. 2) For facilities that have federal interest, the recipient will be required to submit a SF-429 Real Property Status ReportCover Page with Attachment A on an annual basis. When property with federal interest is no longer needed, the SF-429 Attachment C must be submitted in GrantSolutions OLDC. 3) Please see the ACF Property, Real Property Reporting instructions at https://www.acf.hhs.gov/real-property#book_content_4 and the ACF Property, Disposition instructions for Real Property at https://www.acf.hhs.gov/real-property#book_content_4 and the ACF Property, Disposition instructions for Real Property at https://www.acf.hhs.gov/real-property#book_content_5. Tangible Personal Property - When the equipment acquired under this program is no longer needed, the SF-428 Tangible Personal PropertyCover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. An annual (pre-expenditure and post-expenditure) report covering the most recent completed fiscal year are required. Each year States are required to submit a Pre-Expenditure Report and Intended Use Plan as prerequisites to receiving SSBG funds. The Intended Use Plan reports intended or planned uses of SSBG funds, including the types of activities (or services) to be supported, and the categories and characteristics of individuals to be served (such as children, adults 59 and younger, adults 60 and older, and the disabled) (42 U.S.C. 1397c). A Post-Expenditure is required this report shows the number of individuals receiving services, the amounts spent on each service, the total amount of federal, state and local funds spent on each service, the methods by which each service was provided, and the criteria for determining eligibility for services.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 45 CFR Part 75 Subpart F applies to this program.

Records:

States are required to maintain records documenting the purposes for which expenditures were made. For entities requesting a waiver or who have received an approved waiver for the purchase or improvement of land, or the purchase, construction, or permanent improvement of any building or other facility, records must be retained for 3 years after final disposition (i.e., an approved SF-429C).

Account Identification:

75-1534-0-1-506.

Obligations:

Formula Grants FY 20 \$1,599,700.00; FY 21 est \$1,599,700.00; FY 22 est \$1,603,100.00;

Range and Average of Financial Assistance:

FY 2021: \$55,162 to \$193,090,896 ; \$28,303,508 on average.

TAFS Codes:

75-1534.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 56 grants were awarded in FY2020. Fiscal Year 2021: 56 grants were awarded in FY 2021 Fiscal Year 2022: 56 grants will be awarded in FY 2022

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96 and 45 CFR 75, 45 CFR Part 97 as applicable.

Regional or Local Office:

None.

Headquarters Office:

Supervisor J. Janelle George,
330 C Street SW, 5th Floor West, Suite 5403, Mail Stop 5403,
Washington DC 20201
Email: Jolleen.George@acf.hhs.gov
Phone: 2024014830
Fax: 2024015648

Website Address:

<https://www.acf.hhs.gov/ocs/programs/ssbg>

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes; 93.600 Head Start; 93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers; 93.647 Social Services Research and Demonstration; 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services; 93.658 Foster Care_Title IV-E; 93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.669 Child Abuse and Neglect State Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All States, the District of Columbia, and Territories will receive their share of funds if they submit a pre-expenditure report and Intended Use Plan that meets the requirements.

93.669 Child Abuse and Neglect State Grants

CAPTA State Grants,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Child Abuse Prevention and Treatment Act (CAPTA), as amended, Section 106, 42 USC Code 5101 et seq..

OBJECTIVES:

To assist States in the support and improvement of their child protective services systems.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The CAPTA State Grant is a formula grant to States only, to be used for improving child protective services systems. States can choose from a wide variety of activities as outlined in the legislation. Under the CAPTA Reauthorization Act of 2010, each state was required to submit a new state plan for fiscal year (FY) 2011 grant funds specifying which of the 14 program areas described in section 106(a) of the CAPTA they planned to address. Examples include the intake, assessment, screening, and investigation of reports of abuse and neglect; creating and improving the use of multidisciplinary teams and interagency protocols to enhance investigations; improving legal preparation and representation; case management; developing, improving, and implementing risk and safety assessment tools and protocols; developing and updating systems of technology; training regarding research-based strategies to promote collaboration with families, legal duties of such individuals and personal safety training for case workers; improving the skills, qualifications, and availability of individuals providing services to children and families, and the supervisors of such individuals; developing and delivering information to improve public education relating to the role and responsibilities of the child protection system and the nature and basis for reporting suspected incidents of child abuse and neglect; supporting and enhancing interagency collaboration between the child protection system and the juvenile justice system for improved delivery of services and treatment; supporting and enhancing collaboration among public health agencies, the child protection system, and private community-based programs to provide child abuse and neglect prevention and treatment services (including linkages with education systems) and to address the health needs, including mental health needs, of children identified as abused or neglected, including supporting prompt, comprehensive health and developmental evaluations for children who are the subject of substantiated child maltreatment reports, or developing and implementing procedures for collaboration among child protective services, domestic violence services, and other agencies in investigations, interventions, and the delivery of services and treatment provided to

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children and families, including the use of differential response, where appropriate, and the provision of services that assist children exposed to domestic violence, and that also support the caregiving role of their non-abusing parents.

Applicant Eligibility:

State; U.S. Territories and possessions; This includes States, the District of Columbia, Puerto Rico, Guam, the U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Individual/Family; Infant (0-5); Child (6-15); Youth (16-21); There are no eligibility requirements associated with the beneficiaries of these funds (abused and neglected children and their families).

Credentials/Documentation:

State plans require certification of the state's eligibility under Section 106(b) of the authorizing legislation. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Technical assistance is available at the regional level to assist states in meeting conditions specified in the Act.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. State plans and annual updates must be submitted to the Regional Offices. Complete information is furnished annually to the appropriate State office regarding format and timing of state plan applications and updates.

Award Procedure:

Funds will be awarded after the plan or annual update is submitted and approved. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

The plan or its annual update is due on June 30th of each year.

Range of Approval/Disapproval Time:

Other. The state agency annual update is due by June 30th of each year. Approval/disapproval by the Children's Bureau is completed by September 30th of each year.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Grants are made annually. States submit a plan and annual updates are required each year.

Formula and Matching Requirements:

Statutory Formula: Title N/A, Chapter NA, Part Section 106, Subpart NA, Public Law Child Abuse Prevention and Treatment Act (CAPTA), as amended. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant awards are generally made on an annual basis. Method of awarding/releasing assistance: other

Reports:

An annual report on the use of CAPTA funds is required. Financial data reporting requirements are described in the annual Program Instructions. Funds under CAPTA must be expended within five years of the end of the FY in which granted.

States are required to submit the SF-425 Federal Financial Report (FFR) at the end of each 12-month segment (October 1 through September 30) of the five-year expenditure period. For more information, see:

<https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements in 45 CFR 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$90,091,000.00; FY 21 est \$88,966,000.00; FY 22 est \$118,375,000.00;

Range and Average of Financial Assistance:

In FY 2020 grants ranged from \$70,198 to \$10,638,411 with an average award of \$1,608,767.86.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 56 grants were awarded in FY 2020. Fiscal Year 2021: Funds were awarded to 56 state and territorial grantees in FY 2021. Supplemental funding of \$100 million was appropriated for the CAPTA program under Public Law (P.L.) 117-2, The American Rescue Plan Act of 2021, enacted on March 21, 2021. As with the regular appropriation, this additional funding may be used to improve the child protective services system of the state in a manner consistent with any of the 14 program purposes of CAPTA. These supplemental funds were awarded to the 56 grantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following Program Instructions (PI) apply to this program: ACYF-CB-PI-11-06, ACYF-CB-PI-20-13, and ACYF-CB-PI-21-07.

Regional or Local Office:

None. Contact Children's Bureau Regional Program Managers.

Headquarters Office:

Director of the Division of Program Implementation Gail Collins,
330 C Street SW, Room 3512,
Washington DC 20201
Email: gail.collins@acf.hhs.gov
Phone: 202-205-8552

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.643 Children's Justice Grants to States; 93.670 Child Abuse and Neglect Discretionary Activities; 93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes; 93.600 Head Start;

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EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.670 Child Abuse and Neglect Discretionary Activities

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Child Abuse Prevention and Treatment Act of 1978, Title I, as amended, Public Law 111-320, The CAPTA Reauthorization Act of 2010., 42 USC Code 5101 et seq.

OBJECTIVES:

To improve the national, state, and community activities for the prevention, assessment, identification, and treatment of child abuse and neglect through research, demonstration, service improvement, evaluation of best practices, dissemination of information, and technical assistance.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To award grants and contracts to : (1) Provide technical assistance to public and private nonprofit agencies; (2) conduct research and service demonstration projects to identify, assess, prevent and treat child abuse and neglect, which may include the nature and scope of effective practices relating to differential response, child abuse and neglect issues facing Native American, Alaskan Native and Native Hawaiians, the impact of child abuse and neglect on the incidence and the progression of disabilities; effective approaches to inter-agency collaboration between child protection, juvenile justice and domestic violence providers; (3)research on the incidence, consequences and prevalence of child abuse and neglect, and (4) disseminate information on the incidence, causes, prevention and treatment of child abuse and neglect which may include the dissemination of best practices to meet the needs of special populations. Project funds may not be used for construction.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Other private institutions/organizations; Grants: States, local governments, tribes, public agencies or private agencies or organizations (or combinations of such agencies or organizations)engaged in activities related to the prevention, identification, and treatment of child abuse and neglect.

Beneficiary Eligibility:

Individual/Family; Infant (0-5); Child (6-15); Youth (16-21); Children that have been abused or neglected, or that are at-risk for abuse or neglect, and their families.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Pre-application assistance may be available. Applicants may refer to the Notice of Funding

Opportunity on www.Grants.gov for further information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find funding opportunity announcements (FOAs) on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in FOA. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

See latest Notice of Funding Opportunity Announcement (NOFO) published on Grants.gov.

Range of Approval/Disapproval Time:

Other. The range for approval/disapproval is three to six months.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Multi-year projects are funded on an annual basis through submission of a non-competing continuation application. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are generally available for a 12, 24, 36 or 60-month project period. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit semi-annual program reports. Final program reports are due 90 days after completion of the grant. Recipients are required to submit semi-annual financial reports. Final financial reports are due 90 days after completion of the grant. Financial reporting is conducted via the SF-425. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting is semi-annual. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Expenditure reports are required using the SF-425 Federal Financial Report (FFR). The frequency of reporting is semi-annual. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Tangible Personal

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Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Performance monitoring is described in program reporting.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$16,416,382.00; FY 21 est \$15,264,843.00; FY 22 est \$13,445,784.00;

Range and Average of Financial Assistance:

FY 2020 grants ranged between \$350,000 and \$2,243,763 with an average award of \$912,021.22.

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 18 grants were awarded in FY 2020. Fiscal Year 2021: It is anticipated that 20 grants will be awarded in FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Recipients may refer to the Remarks section of their Notice of Grant Award for specific Terms and Conditions pertaining to their grant program. Information on Post Award Requirements are posted at: <https://www.acf.hhs.gov/discretionary-post-award-requirements>

Regional or Local Office:

None.

Headquarters Office:

Director Jan Shafer,
330 C Street SW, Room 3503,
Washington DC 20201
Email: jan.shafer@acf.hhs.gov
Phone: (202) 205-8172.

Website Address:

<http://www.acf.hhs.gov/cb>

RELATED PROGRAMS:

93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.590 Community-Based Child Abuse Prevention Grants; 93.600 Head Start; 93.648 Child Welfare Services Training Grants; 93.669 Child Abuse and Neglect State Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the Notice of Funding Opportunity Announcement.

93.671 Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services

Family Violence Prevention and Services Act Formula Grants for States and Native American Tribes (including Alaska Native Villages) and Tribal Organizations,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Family Violence Prevention and Services Act, et seq., as amended by Section 201 of the CAPTA Reauthorization Act of 2010., Title II, Part N/A, Section 201, Public Law 111-320, 42 USC Code 10401.

OBJECTIVES:

The purpose of this program is to assist States* and Native American Tribes (including Alaska Native Villages) and Tribal Organizations [Tribes] in efforts to increase public awareness about, and primary and secondary prevention of family violence, domestic violence, and dating violence; and assist States and Tribes in efforts to provide immediate shelter and supportive services for victims of family violence, domestic violence, or dating violence, and their dependents. * The term "State" means each of the several States, the District of Columbia, the Commonwealth of Puerto Rico and the territories of Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal funds are used by States and Tribes to support the establishment, maintenance, and expansion of programs and projects: (1) to prevent incidents of family violence, domestic violence, and dating violence; (2) to provide immediate shelter, supportive services, and access to community-based programs for victims of family violence, domestic violence, or dating violence, and their dependents; and (3) to provide specialized services for children exposed to family violence, domestic violence, or dating violence, underserved populations, and victims who are members of racial and ethnic minority populations. Federal funds are awarded by the States through subgrants to local public agencies and nonprofit private organizations.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Eligible applicants for the State Grants are the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the U.S. territories of Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands. Eligible applicants for the Tribal Grants are the federally recognized Indian Tribes (including Alaska Native Villages), or a tribal organization or nonprofit private organization authorized by an Indian Tribe.

Beneficiary Eligibility:

Individual/Family; This program will benefit victims of family violence, domestic violence, and dating violence, and their dependents.

Credentials/Documentation:

No Credentials or Documentation are required. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget

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(OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Mandatory grant recipients are required to use the Online Data Collection System (OLDC) to submit the Application for Federal Assistance SF-424 Mandatory Form (SF-424M) and upload all required documents. The form is available to applicants and grantees at <https://www.grants.gov/web/grants/forms/sf-424-mandatory-family.html>. ACF will not accept paper applications, or those submitted via email or facsimile, without a waiver.

Award Procedure:

Formula grants are awarded directly to States, U.S. Territories, and eligible Native American Tribes (including Alaska Native Villages) and Tribal Organizations. Recipients are notified that funds have been awarded through a Notice of Award (NOA) issued by the Administration for Children and Families. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

See the Notice of Funding Opportunity (NOFO) for deadline information.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. Subject to the limitations of the Appendix A to 45 CFR Part 16, appeals may take place in accordance with 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title III, Chapter Section 303, Part N/A, Subpart N/A, Public Law 111320, CAPTA Reauthorization Act of 2010. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. 42 U.S.C. 10406(c)(4) No grant shall be made to any entity other than a state or tribe unless the entity agrees that, with respect to the cost to be incurred by the entity in carrying out the program or project for which the grant is awarded, the entity will make available (directly or through donations from public or private entities) non-federal contributions in an amount that is not less than \$1 for every \$5 of federal funds provided under the grant. The non-federal contributions required may be in cash or in kind. A tribe, as defined in 42 U.S.C. 10402(5), is exempt from the match requirement under the FVPSA. Tribes are not required to provide match under this FOA (see 42 U.S.C. 10406(c)(4)). Any entity other than a state or Indian tribe that receives FVPSA funding to provide the services specified in 42 U.S.C. 10408(b)(1)(A) (H) (including training and technical assistance), must provide no less than a 20 percent match. Tribal organizations (as defined by 25 U.S.C. 5304) are not exempt and must provide match. The determination of whether the match is exempt or required for a tribal consortium depends on the entities that comprise or make up the consortium. A Tribal consortium is defined as a partnership between one or more tribes (including qualifying Alaska Native villages and entities) that authorizes a single tribal organization or nonprofit organization to submit an application and administer the FVPSA grant funds on their behalf. A tribal consortium may also consist of a group of tribal organizations or nonprofit organizations. A tribal consortium comprised of a group of tribes is exempt from providing a match, and a tribal consortium comprised of a group of tribal organizations or nonprofit organizations is required to provide a match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds may be used for expenditures on and after October 1 of each fiscal year for which they are granted, and will be available for expenditure through September 30 of the following fiscal year. Method of awarding/releasing assistance: other

Reports:

Awards may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 CFR Part 170. Quarterly cash transaction reports (SF-425) are required to be filed with the HHS Division of Payment Management through the Payment Management System. Recipients are required to submit an annual performance progress report (SF-PPR) describing the activities carried out and an assessment of the effectiveness of those activities in achieving the purposes of the grant (Section 10406(d)). A section of this performance report must be completed by each grantee or subgrantee that provided program services and activities. State grantees should compile subgrantee

performance reports into a comprehensive report for submission to the program office through the Online Data Collection system (OLDC) by December 29th of each year. A copy of the required PPR can be found at <https://www.acf.hhs.gov/fysb/form/performance-progress-report-form-state-and-tribal-fvpsa-grantees> Guam, American Samoa, the U.S. Virgin Islands and the Commonwealth of the Northern Mariana Islands need not submit an application under this program announcement if they choose to have their allotment included as part of a consolidated grant application. If funds are designated under their consolidated grant for activities and purposes covered under the Family Violence Prevention and Services Act, then territories are required to submit an annual performance progress report (PPR) using the standardized format. Recipients must submit an annual Federal Financial Report (SF-425) by December 29 of each year to the HHS Division of Payment Management through the Payment Management System. Tangible Personal Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. Performance progress reports are required and selected recipients may be identified for site visits or desk review by Federal Project Officers.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 45 CFR Part 75 Subpart F applies to this program.

Records:

Grantees are required to maintain records documenting the purposes for which expenditures were made. All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$145,825,000.00; FY 21 est \$232,137,500.00; FY 22 est \$114,887,500.00; Formula Grants FY 20 \$27,350,000.00; FY 21 est \$58,162,500.00; FY 22 est \$23,412,500.00;

Range and Average of Financial Assistance:

FY2020States: \$738,498 - \$7,480,470 Territories: \$138,688 Indian Tribes: \$55,826 - \$1,940,306 FY2020 CARES Act Supplemental States: \$60,685 - \$3,014,784 Territories: \$43,594 Indian Tribes: \$6,089 - \$548,047 FY2021 States: \$727,674 to \$10,135,726 Territories: \$127,215 Indian Tribes: \$55,826 to \$2,039,912

TAFS Codes:

75-1536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2020, 56 grants were awarded to the States and Territories, and 144 grants were awarded to Tribes (including grants to consortium Tribes), including CARES Act Supplemental Funding in FY2020 to assist with preventing, preparing for, and responding to the COVID-19 public health emergency

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 1370 applies to this program. Subpart A - General Provisions (1370.1 - 1370.6) Subpart B - State and Indian Tribal Grants (1370.10)

Regional or Local Office:

None.

Headquarters Office:

Rachel Orsinger, Supervisory Program Manager, Division of Family Violence

ASSISTANCE LISTINGS DESCRIPTIONS

Prevention and Services,
US Department of Health & Human Services 330 C Street SW,
Washington DC 20201
Email: rachel.orsinger@acf.hhs.gov
Phone: (202) 205-9814
Fax: Senior Program Specialist Shawndell Dawson, Director, Division of Family
Violence Prevention and Services,
US Department of Health & Human Services 330 C Street SW,
Washington DC 20201
Email: shawndell.dawson@acf.hhs.gov
Phone: (202) 205-1476
Fax:

Website Address:

<http://www.acf.hhs.gov/programs/fysb/programs/family-violence-prevention-services>

RELATED PROGRAMS:

93.612 Native American Programs; 93.667 Social Services Block Grant; 93.556 Promoting Safe and Stable Families; 93.669 Child Abuse and Neglect State Grants;
EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each State, Territory, and eligible Tribe, will receive its respective share of funds if the application submitted meets the necessary requirements.

93.674 John H. Chafee Foster Care Program for Successful Transition to Adulthood

The Chafee Program,
FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 677

OBJECTIVES:

To assist states and eligible Indian tribes in establishing and carrying out programs designed to assist youth who experienced foster care at age 14 or older, youth who leave foster care for adoption or kinship guardianship after attaining age 16, youth likely to remain in foster care until age 18, and former foster care recipients between 18 and 21 years, to make a successful transition to adulthood and self-sufficiency. States or tribes that operate an extended foster care program for youth up to age 21 have the option to extend services under the Chafee program to youth up to their 23rd birthday.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants may be used to assist youth to make the transition to self-sufficiency; to receive education, training and related services; to prepare for and obtain employment; to prepare for and enter post-secondary training and educational institutions; to provide personal and emotional support to youth through mentors and the promotion of interactions with dedicated adults; and to provide financial, housing, counseling, employment, education, other appropriate support and services to current and former foster care recipients up to the age of 21 or age 23 if the state or tribe operates an extended foster care program and opts to extend services; and to ensure that children who are likely to remain in foster care until 18 years of age have regular, on-going opportunities to engage in age or developmentally appropriate activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State governments, including the 50 states, the District of Columbia, Puerto Rico, and the U.S. Virgin Islands (hereafter "states"), and eligible Indian

tribes, Indian tribal organizations or Indian tribal consortia (hereafter "tribes").

Beneficiary Eligibility:

Youth (16-21); Youth who experienced foster care at 14 or older, youth who left foster care for adoption or kinship guardianship after attaining age 16, and former foster care recipients between 18 and 21 years may receive services under the Chafee program. States or tribes that operate an extended foster care program for youth up to age 21 have the option to extend services under the Chafee program to youth up to their 23rd birthday.

Credentials/Documentation:

No credentials or documentation required. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. A five-year state or tribal plan must be submitted with annual updates. The plan must coordinate the provision of services under the state's or tribe's Chafee Foster Care Program for Successful Transition to Adulthood with services under other Federal or Federally-assisted programs serving the same population. Plans are submitted to the appropriate Regional Child Welfare Program Manager in the appropriate ACF Regional Office.

Award Procedure:

Quarterly awards are made up to the amount of one-fourth of the state's annual allotment. Post award, the Division of Payment Management will establish an account from which a grantee may draw down award funds.

Deadlines:

The plan or its annual update is due on June 30th of each year.

Range of Approval/Disapproval Time:

Other. The plan or its annual update is due June 30 of each year. Approval or disapproval by the Children's Bureau is completed by September 30th of each year.

Appeals:

Other. Appeals may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title N/A, Chapter Section 474(a)(4), Part E, Subpart N/A, Public Law Social Security Act, P.L. 74-271. Matching Requirements: Percent: 20%. Requirement: Matching requirements are mandatory. The Federal government will pay 80 percent of the total amount of funds expended by the recipient up to the amount of Chafee Foster Care Program for Successful Transition to Adulthood funds allocated. The grantee must provide matching contributions to cover the additional 20 percent of the costs. Division X funds do not require any match. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

To receive funds, a recipient must submit a five-year plan and annual plan updates. Recipients must annually request to receive their allotments and must spend the funding in a two-year period, either the fiscal year it is received or in the succeeding fiscal year. Method of awarding/releasing assistance: quarterly
Reports:

Annual updates to the state or tribal plan. An SF-425 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report).

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For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements>
Tangible Personal Property: If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365. Recipients must develop and maintain records which permit review of expenditures in accordance with the retention requirements.

Account Identification:

75-1545-0-1-609.

Obligations:

Formula Grants FY 20 \$140,855,000.00; FY 21 est \$492,909,359.00; FY 22 est \$140,855,000.00;

Range and Average of Financial Assistance:

FY 2020 Grants for states range from \$500,000 to \$16,313,168 with an average of \$2,654,333. FY 2020 Grants for tribes range from \$6,268 to \$58,113 with an average of \$25,045.00.

TAFS Codes:

75-1545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Sixty grants were awarded to states (including the District of Columbia, Puerto Rico, and the Virgin Islands) and 7 to eligible tribes. Fiscal Year 2021: 60 grants were awarded to states (including the District of Columbia, Puerto Rico, and the Virgin Islands) and to 7 eligible tribes. The Consolidated Appropriations Act, 2021 (P.L. 116-260) was enacted into law on December 27, 2020 and included Division X, the Supporting Foster Youth and Families through the Pandemic Act. Division X contained additional, supplemental or enhanced funding for several programs authorized under titles IV-B and IV-E of the Act. For the John H. Chafee Program for Successful Transition to Adulthood an additional \$344 million was provided to states and eligible tribes to serve older youth. The law also provided certain flexibilities in program requirements that remained in effect through September 30, 2021. Please see Program Instruction ACYF-CB-PI-21-04 for more information. Therefore, FY 2021 amounts will differ from other years.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following Program Instructions (PI) apply to this program: ACYF-CB-PI-05-06, ACYF-CB-PI-20-13, ACYF-CB-PI-20-14, and ACYF-CB-PI-21-04.

Regional or Local Office:

None. Contact Children's Bureau Regional Program Managers

Headquarters Office:

Child Welfare Program Specialist Catherine Heath,
330 C Street SW, Room 3509A,
Washington DC 20201

Email: catherine.heath@acf.hhs.gov

Phone: 202-690-7888

Website Address:

<http://www.acf.dhhs.gov/cb>.

RELATED PROGRAMS:

93.599 Chafee Education and Training Vouchers Program (ETV); 93.550 Transitional Living for Homeless Youth; 93.645 Child Welfare Services_State Grants; 93.556 Promoting Safe and Stable Families; 93.658 Foster Care_Title IV-E;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States and tribes meeting requirements for entitlement funds will receive grants.

93.676 Unaccompanied Alien Children Program

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Homeland Security Act of 2002, Section 462, Statue 116-2202-2205, Public Law 107-296, 6 USC Code 279. William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, Section 235, Statue 122-5074-5082, Public Law 110-457, 8 USC Code 1232. Prison Rape Elimination Act of 2003, Title , 42 USC Code 15607. Violence Against Women Reauthorization Act of 2013, Title , Section 1101(c), Public Law 113-4

OBJECTIVES:

The Unaccompanied Children's program is designed to provide for the care and placement of unaccompanied minors who are apprehended in the U.S. by Homeland Security agents, Border patrol agents, or other federal law enforcement agencies and are taken into care pending resolution of their claims for relief under U.S. immigration law or release to parent, adult family members or another responsible adult. Resolution of their claims may result in release, granting of an immigration status (such as special immigrant juvenile or asylum), voluntary departure, or removal. The Office of Refugee Resettlement (ORR), Division of Unaccompanied Children Operations (DUCO) has six Notices of Funding Opportunities (NOFOs) under this program, which delineate the different types of care for Unaccompanied Children: Shelter and Transitional Foster Care (Shelter/TFC), Staff-Secure, Secure, Long-Term Foster Care (LTFC), Therapeutic, and Home Study and Post-Release Services.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts), Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the grant. Services may be provided only to unaccompanied children who are referred by the Department of Homeland Security or referred by other federal agencies or departments. Additional restrictions may be put in place on a case by case basis applicable to all types of NOFOs.

Applicant Eligibility:

State; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Small business (less than 500 employees); Secure facilities must provide documentation of state or county licensing to operate a secure juvenile detention facility. Staff secure facilities must be licensed to provide residential services for dependent children. LTFC facilities must be licensed by a state licensing agency to provide residential, group, or foster care services for dependent children. Therapeutic facilities are required to be licensed by a state licensing agency to provide RTCs, psychiatric treatment facilities and/or therapeutic residential services for children. Care providers providing residential treatment or psychiatric treatment facilities are required to be accredited by The Joint Commission or an equivalent accreditation agency in order to ensure that child welfare best practices and nationally recognized child welfare standards are incorporated into daily programming. Long Term Foster Care providers are

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required to be licensed by a state licensing agency to provide residential, group, or foster care services for dependent children. Care providers for all categories, need to be licensed prior to applying. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and private for-profit organizations who can provide state licensed residential capacity and other requisite services are eligible. Temporary shelter influx facilities are located on federal land thus licensure requirements may not apply. However, the selected temporary shelter care provider must comply with all of ORR policies and procedures, Flores mandates, and federal regulations.

Beneficiary Eligibility:

Refugee/Alien; Infant (0-5); Youth (16-21); Beneficiaries for all NOFOs are unaccompanied children, up to 18 years of age, who are in Federal custody by reason of their immigration status.

Credentials/Documentation:

Non-profit organizations applying for funding are required to submit proof of their non-profit status. For-profit organizations (including small businesses) applying for funding must submit proof of their legal status. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Grant applications found to be eligible for funding will undergo an evaluation by an independent review panel. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines for applications are noted in NOFOs. It is anticipated that the approval/disapproval time will not exceed 90 days in urgent situations and will not exceed 120 days in normal application situations.

Appeals:

Not Applicable. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants are made on one to three year cycle and are budgeted on an annual basis.

Method of awarding/releasing assistance: other

Reports:

All recipients are monitored at least once every two years by program monitors who review the care provider recipients on site for compliance with ORR policies and procedures, statutory requirements and licensing standards. Other program staff conduct regular site visits and make recommendations for non-compliance or improvements. ORR regularly requires program reports on a daily, weekly, monthly, semi-annual, and annual basis for a variety of functions. In the event deficiencies or needs for improvement are indicated, the items are documented through monitoring reports and corrective action plans are required from the recipient. Monthly progress reports of placements are required. Additional progress reports are required, usually on a quarterly or semi-annual basis, but sometimes more frequently. Federal Financial Reports (SF-425) are required, usually on a quarterly or semi-annual basis. Final financial status reports are also required at the end of the project period. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. ORR conducts programmatic on-site and virtual monitoring of recipients for the purpose of ensuring that the recipient's service delivery program meet the requirements and standards of the program. Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see:

https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants, contracts, and other awards. 45 CFR Part 75 Subpart F applies to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-1503-0-1-506.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$1,610,372,077.00; FY 21 est \$2,002,766,254.00; FY 22 est \$1,666,200,246.00; Project Grants (Cooperative Agreements) FY 20 \$20,794,401.00; FY 21 est \$29,477,039.00; FY 22 est \$30,213,966.00; Project Grants (Cooperative Agreements) FY 20 \$4,654,061.00; FY 21 est \$4,107,456.00; FY 22 est \$4,210,142.00; Project Grants (Cooperative Agreements) FY 20 \$44,493,145.00; FY 21 est \$49,077,694.00; FY 22 est \$49,211,397.00; Project Grants (Cooperative Agreements) FY 20 \$13,224,871.00; FY 21 est \$28,394,539.00; FY 22 est \$27,240,669.00; Project Grants (Cooperative Agreements) FY 20 \$56,959,285.00; FY 21 est \$72,045,138.00; FY 22 est \$150,000,000.00;

Range and Average of Financial Assistance:

FY 2021: The UC Program received 108 applications and awarded 43 new grants for Shelter and Transitional Foster care services. The award amounts ranged from \$685,420 to \$27,767,725. Average of Awards: \$5,356,594.

TAFS Codes:

75-1503.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Unaccompanied Children's Program began the year experiencing lower-than-normal referral numbers due to the COVID-19 pandemic.

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Beginning in February 2021, referrals increased substantially. The program received a total of 52,206 referrals across all levels of care in FY 21 through July. During the same time frame, the program successfully discharged a grand total of 50,216 children. In FY 2021, the program will continue to assess the services it provides to Unaccompanied Children to ensure and maintain its service delivery model. In addition, the program awarded a total of 142 grants in the following categories: Shelter/TFC: A total of 99 awards were issued of which 7 were single-source and 93 non-competing continuations. LTFC: A total of 18 awards were issued of which 16 new awards and 2 non-competing continuations. Secure: A total of 1 new award was issued. Staff Secure: A total of 6 awards were issued of which 3 were new and 3 non-competing continuations. Therapeutic: A total of 9 awards were issued of which 7 were new and 2 non-competing continuations. Home Study and Post Release Services: A total of 9 new awards were issued. Fiscal Year 2022: In FY 2022, the Program expects referral levels to match FY 2019. Referral levels are inherently unpredictable, and changes from FY 2019 levels could affect funding amounts. The program is anticipating to post NOFOs in FY 2022. The FY 2022 level reflects expansion of PRS with three levels of PRS services, expanded to include intensive and safety and well-being virtual check ins.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOFOs can be found at <http://www.acf.hhs.gov/programs/orr>, <https://ami.grantsolutions.gov/>, and <https://www.grants.gov>. All inquiries should be directed to the information contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Director Jallyn Sualog,
Mary E. Switzer Building, 330 C Street SW,
Washington DC 20201

Email: Jallyn.Sualog@acf.hhs.gov

Phone: (202) 401-4997

Fax: (202) 401-1022.

Website Address:

<https://www.acf.hhs.gov/orr>

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.598 Services to Victims of a Severe Form of Trafficking;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be published in the NOFOs.

93.680 Medical Student Education

Medical Student Education Program (MSE) (T99),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Division B, Title Division B, Title II of the Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019 (P.L. 115-245). Division A of the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2020, Part , Section

OBJECTIVES:

The MSE Program provides grants to public institutions of higher education to expand or support graduate education for medical students preparing to become physicians in the top quintile of states with a projected primary care provider shortage in 2025 (U.S. Department of Health and Human Services, Health Resources and Services Administration. HRSA, 2015. State-Level Projections of Supply and Demand for Primary Care Practitioners: 2013-2025. November 2016). The program is designed to prepare and encourage medical students training in the

most underserved states to choose residencies and careers in primary care that serve tribal communities, rural communities, and/or medically underserved communities (MUCs) after they graduate. This will be accomplished by supporting the development of medical school curricula, clinical training site partnerships, and faculty training programs, with the goal of educating medical students who are likely to choose career paths in primary care, especially for tribal communities, rural communities, and/or MUCs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Education of medical students in the awardee schools.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; The MSE program is limited to public institutions of higher education located in the top quintile of states with a projected primary care provider shortage in 2025, as determined by the Secretary. Public non-profit colleges of medicine in the states in the top quintile of states with projected shortage of primary care physicians in 2025.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Student/Trainee; Graduate Student; Beneficiaries include physician training programs that train medical students. Public non-profit colleges of medicine in the states in the top quintile of states with projected shortage of primary care physicians in 2025.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications, which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window)HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Other. There is no appeal procedure for applications that are not approved.

Renewals:

Other. No information on renewal. No cost extension requests may be requested at the end of the initial project period.

Formula and Matching Requirements:

Statutory Formula: Title II of the Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019 (P.L. 115-245), Chapter , Part , Subpart .Public Law .Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. Statutory formulas are not applicable to this program. Matching requirements are applicable to this program. The authorizing legislation requires

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not less than ten percent match in non-federal contributions for this grant each budget period. Applicant organizations must match at least 10 percent of federal funds provided under this grant either in cash or in-kind. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 4-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Annual progress reports are required and reviewed by project officer to determine if awardee has carried out their activities, and is to be awarded continuation funding. See program reports. Reviewed to determine if continuation is in the best interests of the government. Federal Financial Reports are required. Performance reports are required annually to collect data on progress in meeting each objective.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional audit requirements.

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues, which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$28,600,647.00; FY 21 est \$64,313,566.00; FY 22 est \$14,528,878.00;

Range and Average of Financial Assistance:

FY 20 Range \$1,750,000 \$4,004,767; Average \$2,860,064. FY 21 Range \$6,033,645 - \$6,750,000; Average \$6,431,357. FY 22 est. Range \$1,102,305 - \$1,750,000 ; Average \$1,452,888

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Medical Student Education (MSE) program cohort 1 of 5 medical schools began in 2019, and cohort 2 of 5 additional medical schools began in 2020. In Academic Year 2019-2020, the Medical Student Education (MSE) program had 551 trainees. Approximately 22.7% of MSE trainees reported coming from financially and/or educationally disadvantaged backgrounds, and 16% were underrepresented minorities. Faculty developed or enhanced 32 courses and trained 1,146 individuals.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None. Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce, Health Resources and Services Administration, Department of Health and Human Services

Headquarters Office:

Anthony Anyanwu, MD, MHA, MSc.,
5600 Fishers Lane, Room 15N-186B,
Rockville MD 20857
Email: aanyanwu@hrsa.gov
Phone: 301-443-8437

Fax: 301-443-0162

Website Address:

<https://bhwh.hrsa.gov/grants/medicine>

RELATED PROGRAMS:

93,884 Grants for Primary Care Training and Enhancement;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed review criteria.

93.684 Engaging State and Local Emergency Management Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 301(a) and 317(a) of the Public Health Service Act, 42 U.S.C. section 247b (a), as amended,

OBJECTIVES:

The purpose of this program is to prepare the nations public health systems to minimize the consequences associated with all-hazards events. The Office of Public Health Preparedness and Response/Division of State and Local Readiness (OPHPR/DSLRL) works to promote the integration of public health emergency preparedness into overarching emergency preparedness for all-hazards responses. OPHPR/DSLRL works through and with national partners to develop and enhance public health emergency preparedness.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to strengthen public health infrastructure through engagement with state and local emergency management agencies. This engagement will improve the Nations overall ability to prevent, protect mitigate, respond to, and recover from all-hazards emergency incidents and events by further preparing state, local and territorial public health systems to minimize consequences associated with all-hazards incidents and events.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Applicant must have experience as a professional association of and for emergency management directors from all 50 states, eight U.S. territories, and the District of Columbia, the applicant's unique ability in representing state emergency management directors will greatly assist with emergency preparedness planning and coordination efforts between public health and emergency management sectors nationally.

Beneficiary Eligibility:

Federal; State; Local; Applicant must have experience as a professional association of and for emergency management directors from all 50 states, eight U.S. territories, and the District of Columbia, the applicant's unique ability in representing state emergency management directors will greatly assist with emergency preparedness planning and coordination efforts between public health

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and emergency management sectors nationally. The nation's public is the ultimate recipient of benefits from this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public.

Award Procedure:

Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Notice of Funding Opportunity (NOFO) project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum. See the following for information on how assistance is awarded/released: Automatic Drawdown from the Payment Management Service. Method of awarding/releasing assistance: other

Reports:

Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Performance monitoring is applicable with substantial involvement from CDC. Quarterly expenditure projections are required, no more than 30 days after the end of each quarter. Annual or semiannual progress reports are required. Quarterly expenditure reports required, no more than 30 days after the end of each quarter. CDC will provide guidance on performance reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, including documents to support entries on accounting records

and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

09-2956-2-0-181.

Obligations:

Cooperative Agreements FY 20 \$165,000.00; FY 21 est \$165,000.00; FY 22 est \$165,000.00;

Range and Average of Financial Assistance:

Subject to availability of funds. Approximate Average Award: \$150K Anticipated Award Date: September 1, 2019 Budget Period Length: 12 months Project Period Length: 5 years

TAFS Codes:

75-0956.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Headquarters Office:

Van A. King,
1600 Clifton Road MS D-29,
Atlanta GA 30029-4018
Email: vbk5@cdc.gov
Phone: 4046395276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.686 Ending the HIV Epidemic: A Plan for America Ryan White HIV/AIDS Program Parts A and B

EHE.

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 243(c) and title XXVI of the Public Health Service Act, Title , Section

OBJECTIVES:

In February 2019, the Administration announced a new initiative, Ending the HIV Epidemic in the U.S. This 10-year initiative beginning FY 2020 seeks to achieve the important goal of reducing new HIV infections in the United States to less than 3,000 per year by 2030. The purpose of the first phase of this initiative is to focus resources in 48 counties, Washington, D.C., San Juan, Puerto Rico (PR), and seven states with substantial rural HIV burden (hereafter referred to as jurisdictions) to implement effective and innovative strategies, interventions, approaches, and services to achieve the goals of the initiative.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

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Funded recipients will use these initiative resources in conjunction with the RWHAP Parts A and B systems of HIV care and treatment to develop, implement, and/or enhance innovative approaches to engaging people with HIV in the focus jurisdictions who are newly diagnosed, not in care and/or not virally suppressed, as well as provide rapid access to a comprehensive continuum of high quality care. All funds under this notice are subject to the following conditions: Funds from this announcement may not pay for any item or service to the extent that payment has been made (or reasonably can be expected to be made), with respect to that item or service, under any state compensation program, insurance policy, federal or state benefits program, or any entity that provides health services on a prepaid basis (except for a program administered by or providing the services of the Indian Health Service). All providers of care will have a participation agreement under the State plan approved under title XIX of the Social Security Act, or, if not qualified to receive payments under such state plan, the provider does not, in providing health care services, impose a charge or accept reimbursement available from any third-party payor, including reimbursement under any insurance policy or under any Federal or State health benefits program. Prohibited uses of funds: oCash payment to intended recipients of services. oClinical research. oInternational travel. oConstruction (minor alterations and renovations to an existing facility to make it more suitable for the purposes of the award program are allowable with prior HRSA approval). oSyringe Services Programs (SSPs). Some aspects of SSPs are allowable with HRSAs prior approval and in compliance with HHS and HRSA policy. oPre Exposure Prophylaxis (PrEP) medications and related medical services or Post-Exposure Prophylaxis (PEP), as the person using PrEP or PEP is not living with HIV and therefore not eligible for HRSA HAB initiative funded medication, as outlined in the June 22, 2016 Program Letter . Supplantation of funds for any other federal award or state funds

Applicant Eligibility:

U.S. Territories and possessions; State; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Eligible applicants are: RWHAP Part A funded Eligible Metropolitan Areas (EMAs) or Transitional Grant Areas (TGAs) whose service area includes one or more of the identified 48 HIV high burden counties; and the EMAs of Washington, D.C. and San Juan, PR. RWHAP Part B funded States/Territories identified as having a substantial rural HIV burden: Alabama, Arkansas, Kentucky, Mississippi, Missouri, Oklahoma, and South Carolina, and the RWHAP Part B Program of the State of Ohio on behalf of Hamilton County.

Beneficiary Eligibility:

Individual/Family; Individuals with HIV.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants are encouraged to participate in the pre-application technical assistance call. Details will be provided in the Notice of Funding Opportunity.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The following 2 CFR part 200 policy requirements apply to this assistance listing: Subpart B, General provisions Subpart C, Pre-Federal Award Requirements and Contents of Federal Awards Subpart D, Post Federal; Award Requirements Subpart E, Cost Principles Subpart F, Audit Requirements The following 2 CFR part 200 policy requirements are excluded from coverage under this assistance listing: Not Applicable45 CFR 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. Awards will be made for up to 5 years, subject to the availability of funds. After initial awards, annual noncompetitive awards may be made contingent upon the submission of noncompetitive applications/progress reports, availability of funds, and the continued best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This is the first 5-year phase of the 10-year EHE initiative, which began FY 2020. See the following for information on how assistance is awarded/released:

Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports: See Notice of Funding Opportunity (NOFO) and Notice of Award (NOA) for additional details on the program reports listed below: Program Terms Report EHE Performance Report Triannual Data Report Triyearly Progress Report The recipient must submit a quarterly electronic Federal Cash Transaction Report (FFR-FCTR) via the Payment Management System (PMS) within 30 days of the end of each calendar quarter. Federal Financial Reports (FFR): Recipients must submit a Federal Financial Report (FFR) or SF 425 within 150 days after the end of each budget period. Progress Reports: Please refer to the notice of funding opportunity and Notice of Award for any applicable program data and fiscal reports. Annual program progress reports are required. Expenditure Reports: Please refer to the notice of funding opportunity and Notice of Award for any applicable program data and fiscal reports. Annual program allocation and expenditure reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain financial records for 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

73-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$55,070,000.00; FY 21 est \$87,521,834.00; FY 22 est \$109,402,293.00;

Range and Average of Financial Assistance:

For FY21, awards range from \$1,000,000 to \$10,925,485 (average award \$1,862,166)

TAFS Codes:

75-0356.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Among clients served during March through August 2020 by the EHE-funded providers, nearly 6,300 were new to HIV care and an additional 3,600 were re-engaged in RWHAP services. Despite the challenges presented by

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coronavirus disease 2019 (COVID-19), EHE recipients made significant progress towards implementing EHE activities, including developing administrative and service delivery infrastructure, engaging with community members and new partners, and delivering services to clients.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Applications Procedures on page 6. All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75.

Regional or Local Office:

None.

Headquarters Office:

Deputy Associate Administrator Heather Hauck,
5600 Fishers Lane,
Rockville MD 20857
Email: hhauck@hrsa.gov
Phone: (301) 443-1993
Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.914 HIV Emergency Relief Project Grants; 93.917 HIV Care Formula Grants; 93.145 HIV-Related Training and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 Fiscal Year 2020: This funding was awarded to select existing Ryan White HIV/AIDS Program (RWHAP) Part A & B recipients to provide HIV care and treatment services and systems enhancements to meet the goals of the initiative.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed review criteria.

93.687 Maternal Opioid Misuse Model

MOM Model,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 1115A of the Social Security Act (42 U.S.C. 1315a), establishes the Center for Medicare and Medicaid Innovation (Innovation Center) and authorizes the Innovation Center to test innovative payment and service delivery models to reduce Medicare, Medicaid, or CHIP expenditures, while preserving or enhancing the quality of beneficiaries care., Title Social Security Act, , 42 USC Code 1315a.

OBJECTIVES:

The Centers for Medicare & Medicaid Services (CMS), through its Center for Medicare and Medicaid Innovation (Innovation Center), seeks applications to the Maternal Opioid Misuse (MOM) model. The MOM model is the next step in CMS multi-pronged strategy to combat the nations opioid crisis. The model aims to address fragmentation in the care of pregnant and postpartum Medicaid beneficiaries with opioid use disorder through state-driven transformation of the delivery system surrounding this vulnerable population. By supporting the coordination of clinical care and the integration of other services critical for health, wellbeing, and recovery, the MOM model has the potential to improve quality of care and reduce costs for mothers and infants

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The MOM model provides three distinct funding opportunities for awardees: Implementation Funding will be available annually during each year of the model to support infrastructure and capacity-building. Transition Funding will be available only during the Transition Period and only to support intake, assessment, and creation of a treatment plan (payment available once per pregnancy per beneficiary) and coordination, engagement, and referral activities (payment available on a monthly basis for up to 12 months for each beneficiary who receives these services) not otherwise covered by the State Plan. Model funds may not be used to supplant or duplicate existing funding sources. The state must take over these costs in alignment with its Coverage and Payment Strategy by Year 3 of the model. Transition Funding is restricted and not guaranteed. Milestone Funding will be available during the Full Implementation Period based on an awardees demonstrated performance on pre-determined quality milestones. Milestone Funding is restricted and not guaranteed. Applicants must describe in detail how they will use Implementation Funding and Transition Funding to implement the model. In addition to the detailed justifications for use of Implementation Funding and Transition Funding, each applicant should provide a plan for how Milestone Funding, if unrestricted, would be used to support the goals of the MOM model.

Applicant Eligibility:

U.S. Territories and possessions; State; State Medicaid agencies are eligible to apply for MOM model funding.

Beneficiary Eligibility:

State; Intrastate; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Native American Organizations; State Medicaid Agencies may apply for MOM model assistance.

Credentials/Documentation:

All applicants under this announcement must have: An Employer Identification Number (EIN), otherwise known as a Taxpayer Identification Number (TIN), to apply. All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number. The applicant must also register in the System for Award Management (SAM) database in order to be able to submit the application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each state Medicaid agency that applies for the MOM model must respond to the Program Requirements set out in the NOFO. The awardee will be responsible for determining the budget allocations for staff time and infrastructure costs consistent with the terms of the cooperative agreement, and demonstrating how the applicant and its care-delivery partner(s) will use funds to accomplish their respective roles in model implementation as part of their application. The application procedure is as follows: Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with <http://www.grants.gov>, contact support@grants.gov or 1-800-518-4726. At [Grants.gov](http://www.grants.gov), applicants will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the [Grants.gov](http://www.grants.gov) website. Specific instructions for applications submitted via <http://www.grants.gov>: You may access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number. At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov> because of the time needed to complete the required registration steps. The applicant must be registered in the System for Award Management (SAM) database in order to be

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able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so. Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must register with grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines. When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organizations E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence. The E-Biz POC must then login to Grants.gov (using the organizations DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications. Any files uploaded or attached to the Grants.gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process. After you electronically submit your application, you will receive an acknowledgement from <http://www.grants.gov> that contains a Grants.gov tracking number. HHS will retrieve your application package from Grants.gov. Please note, applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be reviewed. After HHS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov. Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on the applicable due date. Applications not successfully submitted to Grants.gov by the due date and time will not be eligible for review. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt. Please be aware of the following: 1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement. 2) If you experience technical challenges while submitting your application electronically, please contact Grants.gov Support directly at: www.grants.gov/customersupport or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays). 3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved. To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all State applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. This statement does not apply to an individual entity having internet service problems. In order for there to be any consideration there must be an effect on the public at large. Grants.gov complies with Section 508 of the

Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site including forms contained Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

1. Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions. Applicant should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel. 2. Award funds will be distributed through the Payment Management System.

Deadlines:

The MOM NOFO is pending release in early 2019 and application deadlines are not finalized. Points of contact for the MOM model are included below and can provide updated information when it is available.

Range of Approval/Disapproval Time:

Other. 6 months

Appeals:

Not Applicable. Not Applicable. CMS reserves the right to approve or deny any or all proposals for funding. The Innovation Centers authorizing statute at 1115A(d)(2) of the Social Security Act states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

MOM model funding will be available on an annual basis to awardees for five years. The model will start in FY 2020 and end in FY 2024. Method of awarding/releasing assistance: other

Reports:

The award recipient must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly and final reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Awardees are required to submit Federal Financial Reports (FFR) to report cash transaction data, expenditures, and any program income generated. Awardees are required report of their progress on a set of program milestones that cover operational aspects of model implementation as well as performance on specific measures of beneficiary impact. These milestones are detailed in the NOFO. These reports will be submitted as part of the quarterly and annual progress reports. Awardees are required to submit Federal Financial Reports (FFR) to report cash transaction data, expenditures, and any program income generated. Awardees are required report of their progress on a set of program milestones that cover operational aspects of model implementation as well as performance on specific measures of beneficiary impact. These milestones are detailed in the NOFO. These reports will be submitted as part of the quarterly and annual progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees are required to maintain a record of all identifiable source data used to generate the values reported for program monitoring and milestones and must make this data available to CMS for program monitoring, auditing, and evaluation purposes upon request.

Account Identification:

ASSISTANCE LISTINGS DESCRIPTIONS

75-0522-0-1-551.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$7,448,578.00; FY 21 est \$10,152,250.00; FY 22 est \$4,800,000.00;

Range and Average of Financial Assistance:

The MOM model will award up to \$64.56 million in cooperative agreement funds across up to 12 awardees during the five-year performance period.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Published information pertinent to the MOM model is available at <https://innovation.cms.gov/initiatives/maternal-opioid-misuse-model/>

Regional or Local Office:

None. None. The MOM model is being developed and will be managed by the CMS Innovation Center. See below for Contact Information.

Headquarters Office:

MOM Model Mailbox,
7500 Security Blvd,
Baltimore MD 21244
Email: MOMmodel@cms.hhs.gov
Phone: 410-786-4338
Fax:

Website Address:

<https://innovation.cms.gov/initiatives/maternal-opioid-misuse-model/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be forwarded to a review panel. The review panel will evaluate the proposals based on how well they address the evaluation criteria outlined in the NOFO. Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions. Applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel.

93.691 Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program

IHE FHIR.

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Title HR 6157 - Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019, Part , , Public Law 115-245, Division B, Title II., Title H.R. 1865 Further Consolidated Appropriations Act, 2020, Pub. L. 116- 94, Division A- DEPARTMENTS OF LABOR, HEALTH AND HUMAN, , Title Consolidated Appropriations Act, 2021, Division H, Title II,

OBJECTIVES:

The Office of the National Coordinator for Health Information Technology (ONC) is the principal federal entity charged with coordination of nationwide efforts to implement and use the most advanced health information technology (health IT) and the electronic exchange of health information. Created in 2004 through Executive Order and legislatively mandated in the Health Information Technology

for Economic and Clinical Health Act (HITECH Act) of 2009, ONC is at the forefront of the federal governments health IT efforts. This Funding Opportunity Announcement (FOA) is aimed ensuring consistency in the development of implementation guides for the standards necessary to achieve interoperability among health IT systems and to reach the milestones identified in the 21st Century Cures Act. The overarching goal is to advance the implementation consistency and readiness of the current and new versions of Integrating Healthcare Enterprise (IHE) technical implementation guides that include Fast Healthcare Interoperability Resource (FHIR) technical standards for adoption by the healthcare industry.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used for contracts/sub awards

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); This is a non-competitive funding opportunity and is restricted to Integrating the Healthcare Enterprise. Organizations not designated as such are not eligible to apply for this opportunity, and therefore should not submit an application. Applications submitted by organizations not referenced in this section will not be considered.

Beneficiary Eligibility:

Federal; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Health Professional; The purpose of this cooperative agreement is to advance the markets ability to electronically exchange health information through the use of certified health IT and to ensure that the products function in the production environment in the form and manner they are certified to. While the beneficiaries are ultimately the broader public at-large, the direct beneficiaries include those who work directly with certified health IT products.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Awarding agency will issue the NOFO for a 30-day window. At the end of the 30-day application period, the awarding agency will review all applications over a 30-day window. The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Applicant awardees will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement their pilot. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements and it is the expectation of the awarding agency that the awardees work together in carrying out the activities contemplated in the agreement.

Deadlines:

Please reference the Notice of Funding Opportunity for applicable deadlines related to this listing or contact the headquarters POC.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days. The awarding agency may issue a 30-day rework period should the majority of the NOFO applicants fail to submit the required objectives

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as described in the FOA SOW. There will be no appeals process for this program.

Renewals:

From 30 to 60 days. Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance will be made for the duration of the award period; five years. Funds must be expended no later than 90 after the expiration of the period of performance for costs incurred during the period of performance. Method of awarding/releasing assistance: lump sum.

Reports:

Awardees will be required to submit monthly written reports to ONC, quarterly programmatic progress, annual programmatic progress, and a final report to ONC at the end of the period-of-performance Semi Annual Federal Cash Transaction Reports are required to be submitted to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit written quarterly programmatic progress reports describing achievements and plans toward meeting specified goals. Yes, expenditure reports will be required as a part of awardees quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report. Awardees will be required to submit written quarterly programmatic progress reports describing achievements and plans toward meeting specified goals, as well as a final financial and reconciliation report of award expenditures as part of the program close-out. The ONC Project Officer may conduct regular performance monitoring through phone calls and site visits as deemed necessary.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees will be required to maintain all award related documents, including but not limited to project plans, procedures and results, expenditures, and contracts and agreements (proprietary information may be redacted). All cooperative agreement-related records, including cooperative agreement-related accreditations, are required to be maintained for no less than 3-years following the submission of their final reports.

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$500,000.00; FY 21 est \$500,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

\$500,000 has been obligated to this program in FY 19. \$500,000 has been obligated to this program in FY 20. \$500,000 has been obligated to this program in FY 21.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75

Regional or Local Office:

None.

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201

Email: carmel.halloun@hhs.gov

Phone: (202) 720-2919

Fax: Dan Chaput,

330 C Street SW,

Washington DC 20201

Email: Daniel.Chaput@hhs.gov

Phone: (202) 260-0368

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employee and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals based on the merit review criteria of Understanding the Requirements, Innovative Business and Technical Approach for Enhanced Surveillance, Operational Experience and Knowledge of Health IT Certification and Surveillance, and Reasonableness of Budget Narrative. A detailed list of merit review criteria in these areas are detailed in the notice of funding opportunity.

93.696 Certified Community Behavioral Health Clinic Expansion Grants

CCBHC Expansion Grants,

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Title Protecting Access to Medicare Act of 2014., Part 42 USC 1396a note, Section 223 subsections (a), (b), (d)(7).

OBJECTIVES:

The purpose of this program is to increase access to and improve the quality of community mental and substance use disorder treatment services through the expansion of CCBHCs. CCBHCs provide person- and family-centered integrated services.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Provision of mental health services and integrated mental health/primary care services.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Non-Government - General; Certified community behavioral health clinics or community-based behavioral health clinics who may not yet be certified but meet the certification criteria and can be certified within 4 months of award.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Existing, experienced, and appropriately credentialed organizations with demonstrated infrastructure and expertise will be able to provide required services quickly and effectively. You must meet two requirements related to the provision of services. The two requirements are: the applicant must be either: (1) a certified CCBHC; OR (2) can meet all of CCBHC criteria and become certified within four months following award. If the applicant is proposing to have designated collaborating organizations (DCOs) assist in providing services to CCBHC patients, each DCO must be an organization for direct client substance use disorder treatment, substance misuse prevention and/or mental health services appropriate to

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the grant. More than one DCO can be used. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are awarded based on the outcomes of the SAMHSA Peer Review, CMHS National Advisory Council review; and approval of the SAMHSA Administrator. Grants are awarded directly by SAMHSA to the Applicant Organization.

Award Procedure:

Applications are awarded based on the outcomes of the SAMHSA Peer Review, CMHS National Advisory Council review; and approval of the SAMHSA Assistant Secretary. Grants are awarded directly by SAMHSA to the Applicant Organization.

Deadlines:

March 10, 2020

Range of Approval/Disapproval Time:

From 30 to 60 days. To be determined by agency or office administering the funds.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Two years. Method of awarding/releasing assistance: lump sum.

Reports:

GPRA and data collection reports; quarterly progress reports; reporting capacity and provide information in support of meeting Prospective Payment Systems requirements, quality reporting requirements, and demonstration evaluation reporting requirements listed under Quality measures and Other reporting Requirements in Appendix II of the RFA; cost reports that conform to CMS Guidance; reporting of encounter data, clinical outcomes data, quality data; and assessment of the quality. A Federal Cash Transaction Report (FCTR) is due quarterly to the Division of Payment Management. Quarterly progress reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for at least 3 years; SAMHSA has the right to disallow costs and recover funds on the basis of a later audit or other review; records shall be retained beyond the 3 year period for that reason.

Account Identification:

75-1363-0-1-551.

Obligations:

Project Grants (Discretionary) FY 19 \$0.00; FY 20 est \$197,000,000.00; and FY 21 est \$197,000,000.00;

Range and Average of Financial Assistance:

Up to \$2,000,000 per year

TAFS Codes:

75-1363.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: SAMHSA requests funding to support 85 CCBHC-C continuation grants and a new cohort of 20 grants to continue the improvement of mental disorder treatment, services, and interventions for children and adults.

REGULATIONS, GUIDELINES, AND LITERATURE:

P.L. 113-93 Protecting Access to Medicare Act of 2014; 42 USC 1396(a), note section 223 subsections (a),(b),(d)(7). Criteria for the Demonstration Program to Improve Community Mental Health Centers and to Establish Certified Community Behavioral Health Clinics found in Appendix II of the Funding Opportunity Announcement (FOA). Section 223 Demonstration Programs to Improve Community Mental Health Services Prospective Payment System (PPS) Guidance.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Officer Roger George,
5600 Fishers Lane,
Rockville MD 20857
Email: roger.george@samhsa.hhs.gov
Phone: 2402761418
Fax: Grants Management Officer Roger George,
5600 Fishers Lane,
Rockville MD 20857
Email: roger.george@samhsa.hhs.gov
Phone: 2402761418
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must respond to evaluation criteria in five areas: Population of Focus/Statement of Need; Proposed Implementation Approach/Evidence-Based Practices/Staff and Organizational Experience; Data Collection and Performance Measurement

93.697 COVID-19 Testing and Mitigation for Rural Health Clinics

COVID-19 Testing and Mitigation for Rural Health Clinics,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Paycheck Protection Program and Health Center Enhancement Act (P.L. 116-139), Division B, Title I, Title , Part , Section , American Rescue Plan Act of 2021 (P.L. 117-2), Section 2401,

OBJECTIVES:

Provides funding specifically for COVID-19 testing and mitigation and related expenses to rural health clinics.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This funding is for Rural Health Clinics to conduct COVID-19 testing, COVID-19 mitigation, and COVID-19 testing or mitigation related expenses. This funding may only be used for conducting COVID-19 testing and related expenses and COVID-19 mitigation and related expenses, in accordance with the terms and conditions applicable to the payment.

Applicant Eligibility:

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Other public institution/organization; Rural health clinics as defined in section 1861(aa)(2) of the Social Security Act. RHC COVID-19 Testing: Under this distribution, HHS will make uniform payments to all RHCs listed in either the Centers for Medicare and Medicaid (CMS) Provider of Service file (March 2020) or the CMS Survey & Certification's Quality, Certification and Oversight Reports (QCOR) before May 7, 2020. RHC COVID-19 Testing and Mitigation: Under this distribution, HHS will make uniform payments to all RHCs that meet eligibility criteria and are listed in either the Centers for Medicare and Medicaid (CMS) Provider of Service file (April 2021) or the CMS Survey & Certification's Quality, Certification and Oversight Reports (QCOR) before April 18, 2021.

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

N/A 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A applications not accepted, funds distributed to eligible Rural Health Clinics.

Award Procedure:

Participating RHCs will use funds to conduct COVID-19 testing, COVID-19 mitigation, and COVID-19 testing or mitigation related expenses.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Other.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

April 24, 2020 until expended Method of awarding/releasing assistance: other

Reports:

All providers receiving payments will be required to comply with the reporting requirements described in the Terms and Conditions and specified in future directions issued by the Secretary. The specific reporting obligations imposed on providers receiving \$150,000 or more from any Act primarily making appropriations for the coronavirus response and related activities, which is a statutory requirement, begins for the calendar quarter ending June 30. The Secretary may request additional reports prior to that date. HHS will provide guidance in the future about the type of documentation we expect recipients to submit.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 TBD

Records:

Account Identification:

75-0140-0-1-550.

Obligations:

Direct Payments for Specified Use FY 20 \$225,000,000.00; FY 21 est

\$460,000,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

75-7501.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Participating RHCs reported over 11 million COVID-19 tests from April 2020 to April 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit [HHS.gov](https://www.hhs.gov) for additional information.

Regional or Local Office:

None. <https://www.hrsa.gov/coronavirus/rural-health-clinics/testing>

Headquarters Office:

Lindsey Nienstedt,

N/A,

Rockville MD 20857

Email: RHCCOVID-19Testing@hrsa.gov

Phone: 301-443-0835

Fax: N/A

Website Address:

<https://www.hhs.gov/coronavirus/cares-act-provider-relief-fund/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.702 National Center for Research Resources, Recovery Act Construction Support

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 481A, 42 USC Code 287.

OBJECTIVES:

To renovate existing research facilities and build new research facilities to meet basic and clinical space requirements, laboratory safety, biohazard containment, and animal care standards in order to support the facility demands of NIH research programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

New physical resources for research include basic research laboratories, clinical and support facilities, and those related to biohazard control that are integral parts of an overall effort. Proposed facilities must be part of an existing research effort or a developing research program. Facilities shall be used for research pertinent to the NIH mission for as long as needed. The Recovery Act has modified the usual period of Federal interest from 20 years to 10 years. Funds must be used for purposes stated in the approved grant application.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Construction/Renewal/Rehabilitation

Beneficiary Eligibility:

Public nonprofit institution/organization; Public nonprofit institution/organization

Credentials/Documentation:

Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental

ASSISTANCE LISTINGS DESCRIPTIONS

summary of the impact of the proposed facility as detailed in Public Law 90-190, the National Environmental Policy Act, and listing of all relevant licenses, permits, or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit institutions. For grants issued to hospitals, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Appendix E, "Principles for Determining Costs Applicable to Research and Development Under Grants and Contracts with Hospitals". 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication assistance is available from the address noted below under Information Contacts.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Prepare and submit applications according to instructions provided in the applicable ARRA Funding Opportunities announcement. This program is subject to the provisions of 45 CFR 74 including applicable federal cost principles as referenced, the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: other

Reports:

Grantee is responsible for performance of contractor. Contractor must be covered by performance and payment bonds. Grantees are required to file a Notice of Federal Interest at the time construction begins. In addition, all projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure

report for the grant period.

Account Identification:

75-0848-0-1-552.

Obligations:

Project Grants FY 16 \$0.00; FY 17 est \$0.00; and FY 18 est \$0.00;

Range and Average of Financial Assistance:

Awards will range from \$2M to \$20M total costs. The average award is expected to be \$10M.

TAFS Codes:

75-0848.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003.

The PHS 398 may be obtained from NIH's Extramural Research Home Page:

<http://grants1.nih.gov/grants/oer.htm>.

Regional or Local Office:

See Regional Assistance Locations. Division Coordinator, Office of Grants Management, NCRR, 6701 Democracy Blvd., Room 1040, Bethesda, MD 20892-4874. (301) 435-0852; huffq@mail.nih.gov.

Headquarters Office:

Gregory K. Farber.,
6701 Democracy Blvd., Room 960,
Bethesda MD 20892-4874
Email: farberg@mail.nih.gov
Phone: (301) 435-08778.

Website Address:

<http://www.ncrr.nih.gov>

RELATED PROGRAMS:

93.310 Trans-NIH Research Support; 93.350 National Center for Advancing Translational Sciences;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to this program will be evaluated for scientific and technical merit by an appropriate peer review group. The major elements in evaluating proposals include: (1) significance and need of the project, (2) the description of project management and institutional commitment, (3) evaluation of the proposed design, (4) justification for requested equipment, (5) the commitment to sustainable design/environmentally friendly design principles.

93.714 ARRA Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program

TANF Emergency Fund, Recovery Act,

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Title , Part , Section , Public Law 111-5, Social Security Act, Title IV, Part A, , 42 USC Code 603(c).

OBJECTIVES:

This funding was to provide economic stimulus to the nation while furthering the Administration for Children and Families (ACF) mission to promote the economic and social well-being of children, youth, families, and communities. The objective of the program was to provide up to \$5 billion for jurisdictions (States, Territories, and Tribes) in fiscal year (FY) 2009 and FY 2010 that had an increase in assistance caseloads and/or certain types of expenditures. This Emergency Fund was in addition to the TANF Contingency Fund in section 403(b) of the Social Security

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Act that gives money to qualifying States (but not Territories or Tribes) during an economic downturn.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Emergency Fund grants were Federal TANF funds. Jurisdictions used these funds in accordance with section 404 of the Social Security Act. This did not include authority to transfer emergency funds to either the Social Services Block Grant (SSBG) or the Child Care and Development Block Grant (CCDBG) because that transfer authority is limited to grants made under section 403(a) of the Act.

Emergency funds are available until expended. Jurisdictions may carry over emergency funds for use in a succeeding fiscal year as authorized under section 404(e) of the Act, i.e., the funds are available until expended.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; TANF agencies in all 50 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, and Guam were eligible. Tribal TANF agencies were eligible.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; State; Local; Low Income; Federally Recognized Indian Tribal Governments; Needy families with children, as determined eligible by the State, Territory, or Tribe in accordance with the State or Tribal plan submitted to HHS.

Credentials/Documentation:

Federal funds go to the State, Territory, or Tribal TANF agencies. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Funding was available in fiscal years 2009 and 2010 for jurisdictions that were eligible by having an increase in assistance caseloads or certain types of expenditures.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Jurisdictions had to submit applications by September 1, 2010.

Award Procedure:

Requests for emergency funds approved by HHS were distributed quarterly.

Deadlines:

September 01, 2010. Initial requests were submitted by September 1, 2010, for qualifying expenditures made in fiscal years 2009 and 2010, with updates to data allowed until September 30, 2011.

Range of Approval/Disapproval Time:

Not Applicable. Review and evaluation of applications has been a priority and we acted upon them within an as soon as possible timeframe.

Appeals:

Not Applicable. Jurisdictions facing an adverse action by the Secretary of HHS may appeal under both administrative and judicial procedures.

Renewals:

Not Applicable. Funding for the program ended September 30, 2010.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Jurisdictions were awarded their emergency fund grants as applications were approved. They may reserve grant monies, without fiscal year limitation. Method of awarding/releasing assistance: quarterly

Reports:

Jurisdictions have to submit information on caseloads and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits shall be conducted by the Inspector General and the jurisdictions under Chapter 75 of Title 31, United States Code.

Records:

Jurisdictions must maintain records containing information the Secretary may require by regulation. Information submitted in support of a request for Emergency Funds was tested for reliability and accuracy. Accordingly, jurisdictions were expected, as required by the federal regulations at 45 CFR 75.361, to maintain pertinent documentation related to caseload and expenditure data used to support the request for funds, be able to link the information to the relevant reporting and accounting system, and make such information available in a clear and understandable form that can be validated by an auditor.

Account Identification:

75-1523-0-1-506.

Obligations:

Formula Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

75-1523.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program rules for State programs can be found at 45 CFR Parts 260 through 265. Tribal rules can be found at 45 CFR Part 286. Guidance for the submittal of applications for the Emergency Fund, was accomplished through issuance of a Policy Announcement and Program Instruction, including reporting forms approved under the Paperwork Reduction Act.

Regional or Local Office:

None. Region I (CT, MA, ME, NH, RI, VT):Shantel Mickens, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (212) 264 2890 x128. Region II (NJ, NY, PR, VI):Shantel Mickens, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264 2890 x128. Region III (DC, DE, MD, PA, VA, WV):Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058. Region IV (AL, FL, GA, KY, MS, NC, SC, TN):LaMonica Shelton, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2938. Region V (IL, IN, MI, MN, OH, WI):Eileen Friedman, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (215) 861-4058. Region VI (AR, LA, NM, OK, TX): Kisha Russell, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (303) 844-1483. Region VII (IA, KS, MO, NE):LaMonica Shelton, Acting Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 349, 601 E 12 St., Kansas City, MO 64106. Telephone: (404) 562-2938. Region VIII (CO, MT, ND, SD, UT, WY):Kisha Russell, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, Byron G. Rogers Federal Office Building, 1961 Stout Street, Ninth Floor, Denver, CO 80294. Telephone: (303) 844-1483. Region IX (AZ, CA, GU, HI, NV):Julie Fong, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-7579. Region X (AK, ID, OR, WA):Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 701 Fifth Avenue, Suite 1500, M/S 71, Seattle, WA 98104. Telephone (206) 615-2569.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Deputy Director Susan Golonka,
Office of Family Assistance,
Washington DC 20201
Email: Susan.Golonka@acf.hhs.gov
Phone: 202-401-9275
Fax: 202-205-5887

Website Address:

<https://www.acf.hhs.gov/ofa>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.667 Social Services Block Grant; 93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.732 Mental and Behavioral Health Education and Training Grants

Behavioral Health Workforce Education and Training (BHWET) Opioid Workforce Expansion Program Professionals (OWEP-Pro) Opioid Workforce Expansion Program Paraprofessionals (OWEP-Para) Opioid Impacted Family Support Program (OIFSP) Addiction Medicine Fellowship Program (AMF) Integrated Substance Use Disorder Training Program (ISTP) Behavioral Health Workforce Development Technical Assistance and Evaluation (BHWD TAE) Program Health and Public Safety Workforce Resiliency Training Program (HPSWTP) Promoting Resilience and Mental Health Among Health Professional Workforce (PRMHW) Health and Public Safety Workforce Resiliency Technical Assistance Center (WRTAC),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Sections 755, 756, 760, 765 and 799A of the Public Health Service Act (42 U.S.C. 294e, 42 U.S.C. 294e-1, 42 U.S.C. 294k, and 42 U.S.C. 295 and 42 U.S.C. 295o-2), Section 2703 and 2705 of the American Rescue Plan Act of 2021 (P.L.117-2).

OBJECTIVES:

The purpose of the behavioral health programs is to develop and expand the behavioral health workforce and address mental health and provider resiliency concerns among health care workers. Expanding the behavioral health workforce is addressed through the implementation of the following programs: 1) Behavioral Health Workforce Education and Training program, 2) Opioid Workforce Expansion Program- Professionals, 3) Opioid Workforce Expansion Program-Paraprofessionals, 4) Opioid-Impacted Family Support Program, 5) Addiction Medicine Fellowship Program, 6) Integrated Substance Use Disorder Training Program 7) Behavioral Health Workforce Development Technical Assistance and Evaluation Program. The behavioral health programs also seek to promote efforts to address mental health and provider resiliency concerns among health care workers through education and training activities. This is addressed through the implementation of the following programs: 1) Promoting Resilience and Mental Health Among Health Professional Workforce (PRMHW), 2) Health and Public Safety Workforce Resiliency Training Program (HPSWTP); and 3) Health and Public Safety Workforce Resiliency Technical Assistance Center (WRTAC).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Behavioral Health Workforce Education and Training (BHWET) Program develops and expands the behavioral health workforce serving populations across

the lifespan, including in rural and medically underserved areas. The BHWET Program places special emphasis on establishing or expanding internships or field placement programs in behavioral health that include interdisciplinary training for: 1) students/interns, 2) faculty, and 3) field supervisors to provide quality behavioral health services to communities in need. There are two tracks for this program: 1) BHWET Professional Track and 2) BHWET Paraprofessional Certificate Track. Opioid Workforce Expansion Program (OWEP) - Professionals Program enhances community-based experiential training for students preparing to become behavioral health professionals with a focus on Opioid Use Disorder (OUD) and other Substance Use Disorders (SUD) prevention, treatment, and recovery services. Opioid Workforce Expansion Program (OWEP) Paraprofessionals Program enhances community-based experiential training for students preparing to become peer support specialists and other types of behavioral health-related paraprofessionals with a focus on Opioid Use Disorder (OUD) and other Substance Use Disorders (SUD) prevention, treatment and recovery services. Opioid-Impacted Family Support Program (OIFSP) Program supports training programs that enhance and expand paraprofessionals knowledge, skills and expertise, and to increase the number of peer support specialists and other behavioral health-related paraprofessionals who work on integrated, interprofessional teams in providing services to children whose parents are impacted by opioid use disorders (OUD) and other substance use disorders (SUD), and their family members who are in guardianship roles. Addiction Medicine Fellowship Program seeks to expand the number of fellows at accredited Addiction Medicine Fellowship (AMF) and Addiction Psychiatry Fellowship (APF) programs trained as addiction medicine specialists who work in underserved, community-based settings that integrate primary care with mental health disorders and substance use disorder (SUD) prevention and treatment services. The AMF Program encompasses both psychiatry and an addiction subspecialty for primary care doctors. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. The Integrated Substance Use Disorder Training Program (ISTP) expand the number of nurse practitioners, physician assistants, health service psychologists, and/or social workers trained to provide mental health and substance use disorder (SUD), including opioid use disorder (OUD) services in underserved community-based settings that integrate primary care, mental health, and SUD services. Restricted Uses: Indirect costs under training awards to organizations other than state, local or Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. The BHWD TAE Program aims to build an educational and interconnected behavioral and public health infrastructure prepared to respond and provide treatment to those impacted by opioid use disorders (OUD) and other substance use disorders (SUD). The PRMHW is to support health care, health care providers associations, and FQHCs to establish, enhance, or expand evidence informed or evidenced-based programs or protocols to promote resilience, mental health, and wellness among their providers, other personnel, and members. The HPSWRP is to reduce and address burnout, suicide, mental health conditions and substance use disorders and promote resiliency among health care students, residents, professionals, paraprofessionals, trainees, public safety officers, and employers of such individuals, particularly in rural and medically underserved communities. The WRTAC aims to Enhance HRSA's workforce resiliency grantee recipients framework by providing critically needed resources through technical assistance and training to promote mental and behavioral health, prevent suicide and reduce burnout and substance use occurrences.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); BHWET Professional Track: Accredited institutions of higher education or accredited behavioral health professional training programs in psychiatry, behavioral pediatrics, social work,

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school social work, substance use disorder prevention and treatment, marriage and family therapy, occupational therapy, school counseling, or professional counseling. Programs must require a pre-degree clinical field placement in behavioral health as part of the training and a prerequisite for graduation. Accredited schools of masters or doctoral-level training in psychiatric nursing programs. American Psychological Association (APA)-accredited doctoral level schools and programs of health service psychology or school psychology. BHWET Paraprofessional Track: Behavioral paraprofessional certificate training programs and peer paraprofessional certificate training programs offered by States, political subdivisions of states, Indian tribes and tribal organizations, public or nonprofit private health professions schools, academic health centers, State or local governments, or other appropriate public or private nonprofit entities as determined appropriate by the Secretary. OWE Pro: Eligible applicants include accredited institutions of higher education or accredited behavioral health professional training programs; accredited masters or doctoral-level degree programs that include: psychiatry, psychology, school psychology, behavioral pediatrics, psychiatric nursing, social work, school social work, SUD prevention and treatment, marriage and family therapy, occupational therapy, school counseling, or professional counseling. OWE Para: Eligible applicants include state-licensed mental health nonprofit and for profit organizations; eligible entities including academic institutions (i.e., universities, community colleges or technical schools), must be accredited by a nationally recognized accrediting agency, as specified by the U.S. Department of Education; Tribal organizations may apply, if otherwise eligible. OIFSP: State-licensed mental health nonprofit and for-profit organizations, including academic institutions, universities, community colleges, and technical schools, which must be accredited by a nationally recognized accrediting agency. AMF: eligible applicants include sponsoring institutions of accredited addiction medicine fellowship programs, or a consortium consisting of at least one teaching health center and one sponsoring institution of an addiction medicine or addiction psychiatry fellowship program. The sponsoring institution of addiction medicine or addiction psychiatry fellowship programs must be accredited by the Accrediting Council for Graduate Medical Education (ACGME) or, until 2021, by American College of Academic Addiction Medicine (ACAAM). Programs accredited by the ACAAM must demonstrate their ability to become ACGME accredited by 2021. For all programs, domestic faith-based and community-based organizations, tribes, and tribal organizations may apply for these funds, if otherwise eligible. ISTP: eligible applicants include Teaching Health Centers; Federally Qualified Health Centers; Community Mental Health Centers; Rural Health Clinics; health centers operated by the Indian Health Service, Indian tribes, tribal organizations, or urban Indian organizations (as defined in section 4 of the Indian Health Care Improvement Act); Entities with a demonstrated record of success in providing training for nurse practitioners, physician assistants, health service psychologists, and/or social workers. BHWET TAE eligible applicants are Health professions schools, academic health centers, State or local governments, or other public or private nonprofit entities that provide services and training to health professions. PRMHW eligible applicants are entities providing health care, including health care providers associations and Federally Qualified Health Centers (FQHCs). HPSWRP eligible applicants are Health professions schools, academic health centers, state or local governments, Indian tribes and tribal organizations, or other appropriate public or private nonprofit entities (or consortia of such entities, including entities promoting multidisciplinary approaches. WRTAC eligible applicants are health professions schools, academic health centers, state or local governments, Indian Tribes and tribal organizations, or other appropriate public or private nonprofit entities (or consortia of entities, including entities promoting multidisciplinary approaches). Entities providing health care, including health care providers associations and Federally Qualified Health Centers (FQHCs).

Beneficiary Eligibility:

U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Health Professional; Student/Trainee; State; U.S. Citizen; Veteran/Service person/Reservist (including dependents; Mentally Disabled; Drug Addict; Alcoholic; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; BHWET: In both the Professional and Paraprofessional Certificate Tracks, students must be enrolled in the school or program receiving the grant award in order to receive stipend and tuition support in the BHWET Program. In addition, students/interns must be U.S. citizens, U.S. nationals, or foreign nationals who possess a visa permitting permanent residence in the United States. Individuals on

temporary or student visas are not eligible to participate. OWE Pro Students must be enrolled full or part time in the school or program receiving the grant award in order to receive stipend support. Students/interns must be eligible to work in the United States. A student/trainee receiving support must be a U.S. citizen or foreign national who possesses a visa permitting permanent residence in the United States. OWE Para: Student must be enrolled full or part time in the school or program receiving the grant award in order to receive tuition support. OIFSP: Level I pre-service trainees must be enrolled full or part time in the school or program receiving the award in order to receive stipend and tuition support. Level II in-service trainees must have completed Level I pre-service training. A trainee receiving support must be a U.S. citizen or foreign national who possesses a visa permitting permanent residence in the United States. AMF: Fellows must be enrolled full or part-time in the sponsoring institution receiving the grant award in order to receive stipend support. A fellow must be a citizen of the United States or a foreign national who possesses a visa permitting permanent residence in the United States. Individuals on temporary or student visas are not eligible to participate. ISTP: participants or faculty/instructors receiving support from grant funds must be a citizen, national, or permanent resident of the United States. BHWET TAE: HRSA-funded programs: Behavioral Health Workforce Education and Training --Professionals (BHWET Pro), Behavioral Health Workforce Education and Training Program Paraprofessional (BHWET Para), Opioid Workforce Expansion Program - Professionals (OWEP Pro), Opioid Workforce Expansion Program -Paraprofessionals (OWEP Para) and Graduate Psychology Education (GPE) Programs. PRMHW: health professional workforce (providers, other personnel, and members) HPSWRP: health care students, residents, professionals, paraprofessionals, trainees, public safety officers, and employers of such individuals WRTAC: HRSA-funded resiliency programs: PRMHW and HPSWRP

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this program for any required proof or certifications which must be submitted with an application package. Applicant institutions are required to include documentation of accreditation in their application. All programs must be accredited institutions of higher education or accredited professional training programs. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. BHWET- Match is only required for doctoral-level Psychology, Psychiatry, Behavioral Pediatrics and Psychiatric Nursing internships, where applicable, to cover any student stipend costs beyond \$28,352 per geographic reimbursement

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requirements set by the applicable association. OWEP- Paraprofessionals: No match or cost sharing is required. OWEP- Professionals: No match is required. Cost sharing is only required for doctoral-level Psychology, Psychiatry, Behavioral Pediatrics and psychiatric Nursing Internships where applicable, to cover any student stipend costs beyond \$28,352 per geographic reimbursement requirements set by the applicable association. OIFSP- No match or cost sharing required. AMF: Match is only required, where applicable, to cover any fellows stipend costs beyond \$100,000 per geographic reimbursement requirements set by the applicable educational institution or association. ISTP does not require cost matching. BHWD TAE does not require cost matching. PRMHW does not require cost matching. HPSWRP does not require cost matching. WRTAC does not require cost matching. Matching requirements are mandatory. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

The BHWET program provides support for a four-year project period. Opioid Workforce Expansion Program- Professionals and Paraprofessionals will provide support for a 3-year project period. The Opioid Impacted Family Support program will provide support for a 4-year project period. Addiction Medicine Fellowship program will provide support for a 5-year project period. The Behavioral Health Workforce Development Technical Assistance and Evaluation Program will provide support for a 4-year project period. The Promoting Resilience and Mental Health Among Health Profession Workforce will provide support for a 3-year project period. The Health and Public Safety Workforce Resiliency Training Program will provide support for a 3-year project period. The Health and Public Safety Workforce Resiliency Technical Assistance Center will provide support for a 3-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. The Integrated Substance Use Disorder Training Program will provide support for a 5-year project period. Method of awarding/releasing assistance: Recipients will be awarded the first year for use over the entire period of performance. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSAs Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0353-0-1-550.

Obligations:

Project Grants FY 20 \$50,000,000.00; FY 21 Estimate Not Available; FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$12,464,121.00; FY 21 est \$14,386,205.00; FY 22 est \$14,465,246.00; Project Grants FY 20 \$20,337,564.00; FY 21 est \$21,802,007.00; FY 22 est \$23,209,795.00; Project Grants FY 20 Not Available; FY 21 est \$65,983,740.00; and FY 22 est \$67,220,171.00; Project Grants FY 20 Not Available; FY 21 est \$24,300,000.00; and FY 22 est \$16,300,000.00; Project Grants FY 20 Not Available; FY 21 est \$11,597,151.00; and FY 22 Estimate Not Available; Project Grants FY 20 Not Available; FY 21 est \$2,500,000.00; and FY 22 est \$2,500,000.00; Project Grants FY 20 Not Available; FY 21 est \$1,425,000.00; and FY 22 est \$897,000.00; Project Grants FY 20 Not Available; FY 21 est \$32,380,000.00; and FY 22 est \$21,270,000.00; Project Grants FY 20 Not Available; FY 21 est \$2,000,000.00; and FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

BHWETFY 20 Range: \$83,320-\$480,000; Average \$343,126 OWEP- ProfessionalFY 20 Range: \$ 0; Average \$0 FY 21 est Range: \$ 0; Average \$0FY 22 est Range: \$0; Average \$0OWEP- ParaprofessionalFY 20 Range: \$ 0; Average \$0FY 21 est Range: \$0; Average \$0FY 22 est Range: \$0; Average \$0OIFSP FY 20 Range: \$500,000-\$600,000 est. average \$575,000 FY 21 Range: \$194,749-\$600,000 est. average \$513,793 FY 22 est Range: \$192,568-\$600,000 est. average \$516,619 Addiction Medicine Fellowship ProgramFY 20 Range: actual \$58,341 to \$800,000; Average \$462,217 FY 21 est range \$58,341 to \$800,000; Average \$507,023FY 22 est Range: \$157,900-\$800,000; Average \$511,256Integrated Substance Use Disorder Training Program (ISTP)FY 20: Range: NA Average: NAFY 21 Range: \$1,822,862 to \$2,575,000 ; Average \$2,319,430FY22 est TBDPromoting Resilience and Mental Health Among Health Profession Workforce (PRMHW)FY 20: range: NA Average: NAFY 21: range: TBD, Average: TBDFY 22: range: TBD, Average: TBDHealth and Public Safety Workforce Resiliency Training Program (HPSWTP) FY 20: range: NA Average: NAFY 21: range: TBD, Average: TBDFY 22: range: TBD, Average: TBDHealth and Public Safety Workforce Resiliency Technical Assistance Center (WRTAC)FY 20: range: NA Average: NAFY 21: range: TBD, Average: TBDFY 22: range: TBD, Average: TBD

TAFS Codes:

03-0353.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Behavioral Health Workforce Education and Training (BHWET) Program Accomplishments for Academic Year 2019-2020BHWET program awardees supported the clinical training of 6,119 graduate-level social workers, psychologists, school and clinical counselors, psychiatric nurse practitioners, marriage and family therapists and paraprofessionals of which 4,449. Of the BHWET students trained, 132, 436 reported coming from an underrepresented minorities, 139,235 coming from a disadvantages backgrounds and 145,052 coming from a rural background. Opioid Workforce Expansion Program (OWEP) Professionals Accomplishments for Academic Year 2019-2020Successfully trained 510 behavioral health professional students in the provision of OUD and other SUD prevention, treatment, and recovery services to enter into the health workforce. Successfully trained 1,996 Faculty in the provision of OUD and other SUD prevention, treatment, and recovery services. Opioid Workforce Expansion Program (OWEP) Paraprofessionals Accomplishments for Academic Year 2019-2020Successfully trained 491 paraprofessional students in the provision of OUD and other SUD prevention, treatment, and recovery services to enter into the health workforce. Successfully developed or enhanced 14 paraprofessional virtual certificate-training programs. No data available for OIFSP, BHWD TAE, ISTP, PRMHW, HPSWRP, and WRTAC at this time. These programs will provide

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performance data in future Congressional Justifications.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None. Behavioral Health Workforce Education and Training Program for Paraprofessionals: Nicole Wilkerson, Project Officer, Division of Nursing and Public Health, Bureau of Health Workforce, Health Resources and Services Administration; 5600 Fishers Lane, Room 11N94A, Rockville, MD 20857BHWET-Para@hrsa.gov301-443-7759 Opioid Workforce Expansion Program- Paraprofessionals: Christina Ramsey, Project Officer, Division of Nursing and Public Health 5600 Fishers Lane, Room 11N100B Rockville, MD 20857OWEP-Para@hrsa.gov301-443-1728 Integrated Substance Use Disorder Training Program Dr. Steven Coulter, Project Officer, Division of Medicine and Dentistry 5600 Fishers Lane, Room 15N 118 Rockville, MD 20857scoulter@hrsa.gov301-945-3336 Behavioral Health Workforce Development Technical Assistance and Evaluation Program Megan Lincoln, Project Officer, Division of Nursing and Public Health, 5600 Fishers Lane, Room 11N94D, Rockville, MD 20857BHWDTAE@hrsa.gov301-443-2702 Promoting Resilience and Mental Health among Health Profession Workforce: Nancy V. Douglas-Kersellus, Project Officer, Division of Medicine and Dentistry, 5600 Fishers Lane, 15N194B, Rockville, MD 20857, ndouglas@hrsa.gov301-443-0907 Health and Public Safety Workforce Resiliency Training Program: Andrea Knox, Project Officer, Division of Nursing and Public Health, 5600 Fishers Lane, 11N128C, Rockville, MD 20857, aknox@hrsa.gov301-443-4170 Health and Public Safety Workforce Resiliency Technical Assistance Center: Christina Ramsey, Project Officer, Division of Nursing and Public Health, 5600 Fishers Lane, 11N100B, Rockville, MD 20857, wrtac@hrsa.gov301-443-1728

Headquarters Office:

Behavioral Health Workforce Education and Training Program: Isabel Nieto, Project Officer, Division of Nursing and Public Health, Bureau of Health Workforce,, Health Resources and Services Administration; 5600 Fishers Lane, Room 11N130A, Rockville MD 20857
Email: BHWET@hrsa.gov
Phone: 301-443-2351
Opioid Workforce Expansion Program- Professionals: Larry Byrd, Project Officer, Division of Nursing and Public Health, 5600 Fishers Lane, Room 11N130B, Rockville MD 20857
Email: owep-pro@hrsa.gov
Phone: 301-443-0336
Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.243 Substance Abuse and Mental Health Services, Projects of Regional and National Significance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information,

Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by the Prevention and Public Health Fund (PPHF)

Immunization Program 2012 Prevention and Public Health Fund and Other Capacity-Building Activities,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Service Act (42 U.S.C. Sections 247b), as amended; and the Patient Protection and Affordable Care Act (PL 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2012 Prevention and Public Health Funds (PPHF-2012),.

OBJECTIVES:

This program will improve the efficiency, effectiveness, and/or quality of immunization practices by strengthening the immunization information technology infrastructure, building capacity for public health department insurance billing, and expanding immunization delivery partnerships so that more children, adolescents, and adults are protected against vaccine-preventable diseases. The specific objectives may include but are not limited to: 1. Develop strategic plans for billing for immunization services in health department clinics; 2. Enhance interoperability between electronic health records (EHRs) and Immunization Information Systems (IIS) and reception of HL7 standard messages in IIS; 3. Develop a vaccine ordering module in an immunization information system that interfaces with CDC's VTrckS vaccine ordering and management system; 4. Vaccine barcode improvement to improve the system functions to capture data from 2-D barcodes on vaccine vials and syringes as well as improve data capture from the Vaccine Information Statements; 5. Improve vaccine management, storage and handling at provider and grantee level; 6. Use immunization information systems to improve adolescent vaccination coverage; 7. Conduct hepatitis B vaccination pilot to reduce the incidence of acute hepatitis B infection among adults through targeted hepatitis B vaccination of adults who present for medical care in high risk settings or who have behaviors that increase their risk of hepatitis B virus (HBV) infection; 8. Conduct school vaccination assessment evaluation to implement substantial improvements in the quality of kindergarten coverage and exemption data collected at state and local levels while building state and local area capacity; 9. Use immunization information systems to conduct small area analysis of vaccination coverage.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting immunization programs directed toward vaccine-preventable diseases, and for the implementation of other program elements as described in Section 050 above and through CDC allowable and unallowable expenses.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; Eligibility is limited to the current 64 CDC Immunization Program grantees and some program areas have more specific criteria. Private individuals; private, nonprofit agencies; and Indian tribes are not eligible.

Beneficiary Eligibility:

U.S. Territories; State; Local; Any U.S. state, political subdivision and U.S. territories (as described above), and other public entities will benefit.

Credentials/Documentation:

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Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87) for state and local governments. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit, non-governmental organizations. Applicants must download supplemental application forms from www.Grants.gov. Supplemental applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone: 770-488-2700, email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

All applications that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under Section 317 of the Public Health Service Act or under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and 24-month project period. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the funding opportunity announcement. Funded applicants must provide a Monthly Progress Report within 30 days after the award and monthly thereafter. An Annual Progress Report is due 90 days after the end of the budget period. Federal Financial Reports (SF 425) and Final Progress Report are due 90 days after the end of the budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in the Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audits requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Awards will range from approximately \$25,000 to \$900,000 with an average of approximately \$300,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available for 45 CFR 92 and HHS Grants Policy statement at <http://www.ahrq.gov/fund/hhspolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Management Analyst Tiffany Jacobs,
1600 Clifton Road MSA19,
Atlanta GA 30333
Email: tlw4@cdc.gov
Phone: 404-718-1111

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.268 Immunization Grants; 93.539 Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Immunization and Respiratory Diseases and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.734 Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs financed by

ASSISTANCE LISTINGS DESCRIPTIONS

Prevention and Public Health Funds (PPHF)

Chronic Disease Self-Management Education Programs,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The statutory authority for grants under this Funding Opportunity Announcement is contained in the Consolidated Appropriations Act, 2017, Pub. L. 115-31, Title II; Public Health Service Act, 42 U.S.C. 300u-2 (Community Programs) and 300u-3 (Information Programs); and the Patient Protection and Affordable Care Act, 42 U.S.C. 300u-11 (Prevention and Public Health Fund), Title Patient Protection and Affordable Care Act, Part 42 U.S.C., Section 300u-11, The statutory authority for grants under this Funding Opportunity Announcement is contained in the Continuing Appropriations Act, 2020 and Health Extenders Act of 2019, Pub.L.116-59; Public Health Service Act, 42 U.S.C. 300u-2 (Community Programs) and 300u-3 (Information Programs); and the Patient Protection and Affordable Care Act, 42 U.S.C. 300u-11 (Prevention and Public Health Fund), Title Continuing Appropriations Act, 2020 and Health Extenders Act of 2019, Part Public Health Service Act, 42 U.S.C. 300u-2,

OBJECTIVES:

The cooperative agreements are intended to increase the number of chronic disease self-management education program participants, while concurrently increasing the sustainability of these proven programs in the aging and disability networks. This funding also supports a cooperative agreement for a National Chronic Disease Self-Management Education Resource Center.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Discretionary), Salaries and Expenses

USES AND USE RESTRICTIONS:

Grant funding could potentially be used for agreed-upon activities associated with the following goals:1) To significantly increase the number of older adults and adults with disabilities in underserved areas and populations who participate in evidence-based self-management education and support programs to empower them to better manage their chronic conditions; and2) To implement innovative funding arrangements, including contracts and collaborations with one or more sustainability partners, to support evidence-based self-management education and support programs during and beyond the grant period. Additionally, embed programs into an integrated, sustainable evidence-based prevention program network via centralized, coordinated processes.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants are domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Beneficiary Eligibility:

Disabled (e.g. Deaf, Blind, Physically Disabled); Senior Citizen (60+); The ultimate beneficiaries of this funding opportunity are older and disabled adults with chronic conditions residing in States, Tribes and Territories. .

Credentials/Documentation:

The successful applicant will be an organization that meets the following criteria:1.Proposed only evidence-based programs (including at least one CDSME program and, on an optional basis, one self-management support program) that are on the lists of pre-approved CDSME and self-management support programs in the Appendices.2.Provided signed letters of commitment from key partners and collaborators named in the proposal.3.Included a Budget Narrative/Justification. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of Award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As specified in guidelines published in the Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be made for up to a 3 year project period. Annual or fully funded budget periods maybe awarded subject to the availability of funds. Method of awarding/releasing assistance: Payment of grant expenses will be through the Payment Management System. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: letter. Method of awarding/releasing assistance: letter

Reports:

This award requires the grantee to complete projects or activities which are funded under the Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System. Program Progress Reports are due semi-annually (within 30 days following each six month period), effective with the start date of the award. This report must be submitted as a grant note using an authorized Grant Solutions account. Grantees are required to submit annual financial status reports (SF 425), which are due 30 days following each twelve month reporting period. You must reconcile your cash accounts with your expenditures for the reporting period and submit a cumulative report each year. A final report is due 90 days after the expiration date of the project period and must reconcile with the final cash portion reported on line 10c. Grantees will be expected to develop a quality assurance plan including performance indicators and a process for ongoing performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years after submission of the final financial report.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

75-0142-0-1-506.

Obligations:

Salaries and Expenses FY 20 \$8,000,000.00; FY 21 est \$8,000,000.00; FY 22 est \$8,000,000.00;

Range and Average of Financial Assistance:

FY2021: \$ \$268,514 - \$1,261,378

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with all requirements specified for grants funded through the Prevention and Public Health fund. Grantees also must comply with policies outlined in HHS Grants Policy Statement located at

<http://www.hhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None. U.S. Department of Health and Human Services Administration for Community Living 330 C Street, SW, Washington, DC 20201
Leshia Spencer-Brown, MPH, CPH
Leshia.spencer-brown@acl.hhs.gov 202-795-7331

Headquarters Office:

Leshia Spencer-Brown, MPH, CPH,
U.S. Department of Health and Human Services Administration for Community Living 330 C Street, SW, Washington, DC 20201,
Washington DC 20202
Email: Leshia.spencer-brown@acl.hhs.gov
Phone: 202-795-7331
Fax:

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the Funding Opportunity Announcement.

93.735 State Public Health Approaches for Ensuring Quitline Capacity Funded in part by Prevention and Public Health Funds (PPHF)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, Fiscal Year 2012, Public Law 112-74, for the Departments of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the Consolidated and Further Continuing Appropriations Act, Fiscal Year 2012, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV Section 4002 Prevention and Public Health Fund.,

OBJECTIVES:

As part of the overall effort to reduce the burden of chronic diseases and chronic disease risk factors, the Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP), Office on Smoking and Health (OSH) announces the opportunity to apply for funds to ensure and support state quitline capacity, in order to respond to

upcoming federal initiatives such as the National Tobacco Education Campaign. <http://www.cdc.gov/tobacco>. This program addresses the Healthy People 2020 focus area of tobacco use and the goal of reducing illness, disability, and death related to tobacco use and secondhand smoke exposure. Preventing tobacco use and helping tobacco users quit can improve the health and quality of life for Americans of all ages. People who stop smoking greatly reduce their risk of disease and premature death. Benefits are greater for people who stop at earlier ages, but quitting tobacco use is beneficial at any age. This FOA will be a new, 2-year cooperative agreement for all states and territories that currently have a quitline. Funds will be used for the following: Address the anticipated increase in calls Expand capacity and eligibility to ensure all callers receive some form of assistance Increase efficiencies of quitline operations, such as demonstrating how they will connect to or incorporate an interactive voice recording system (IVR) at the state or federal level Incorporate technological enhancements to provide additional forms of assistance to callers who want to quit Expand paid and earned media to promote the quitline and increase quit attempts Develop and/or implement private/public partnerships or other strategies to sustain quitline capacity.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Of the \$22 million dollars will be used to support intensive community approaches to chronic disease prevention and control described in Section 0.50 above.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; State; Eligible applicants that can apply for this funding opportunity are listed below: Eligibility is limited to currently funded recipients under RFA-DP09-901 and RFA-DP09-902. These include state, District of Columbia, and the U.S. territorial health departments of Guam and Puerto Rico because they are the only entities with the authority to prevent and control tobacco use and which provide quitline services within the states and territories. State and territorial health departments are also uniquely qualified to address the anticipated increase in calls to quitlines due to federal media education campaigns, while expanding capacity and eligibility to ensure all callers receive some form of assistance. These agencies are also uniquely qualified to promote the quitline, increase quit attempts, and increase public and private partnerships to ensure quitline sustainability.

Beneficiary Eligibility:

U.S. Territories; State; Any State and territorial health department, and other public entities will benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is covered under OMB Circular No. A-102. Applicants must download the SF424 application forms through Grants.gov/Apply. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

Eligible applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the DP12-1214PPHF12. Measures of effectiveness must relate to the performance goals stated in the Purpose section of this announcement. Measures of effectiveness must be objective, quantitative and measure the intended outcome of the proposed program. The measures of effectiveness must be included in the application and will be an element of the evaluation of the submitted application. All applications that are complete and responsive to this competitive funding opportunity announcement will be evaluated for programmatic and technical merit.

ASSISTANCE LISTINGS DESCRIPTIONS

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants were notified the application did not meet submission requirements. Technical reviews will be conducted on complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement DP12-1214PPHF12. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon the availability of funding under the Public Health Prevention Fund.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

until June 24, 2014 Method of awarding/releasing assistance: other

Reports:

Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov: 1.The interim progress report is due no less than 120 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:a.Standard Form (SF) 424S Form.b.SF-424A Budget Information-Non-Construction Programs.c.Budget Narrative.d.Indirect Cost Rate Agreement.e.Project Narrative.Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports: Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes: 1Progress towards objectives.2 Successes.3Barriers encountered.4Technical Assistance and training requested.Final performance and Federal Financial Reports (SF 425).*, no more than 120 days after the end of each budget year. Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov: 1.The interim progress report is due no less than 120 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:a.Standard Form (SF) 424S Form.b.SF-424A Budget Information-Non-Construction Programs.c.Budget Narrative.d.Indirect Cost Rate Agreement.e.Project Narrative.Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports: Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes: 1Progress towards objectives.2Successes.3Barriers encountered.4Technical Assistance and training requested.Final performance and Federal Financial Reports (SF 425).*, no more than 120 days after the end of each budget year. Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov: 1.The interim progress report is due no less than 120 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:a.Standard Form (SF) 424S Form.b.SF-424A Budget Information-Non-Construction Programs.c.Budget Narrative.d.Indirect Cost Rate Agreement.e.Project Narrative.Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports: Annual progress report, due 90 days after the end of the budget period. Information needed in an annual report includes: 1Progress towards objectives.2Successes.3Barriers encountered.4Technical Assistance and training requested.Final performance and Federal Financial Reports (SF 425).*, no more than 120 days after the end of each budget year.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$50,000-\$2,771,803.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

www.cdc.gov/tobacco

Regional or Local Office:

Headquarters Office:

Branch Chief Karla Sneegas,
4770 Buford Hwy. NE, Mailstop K-50,
Atlanta GA 30341
Email: KSneegas@cdc.gov
Phone: 770-488-1221

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be reviewed on the following criteria.I.Executive SummaryII.Background and NeedIII.Program InfrastructureIV.Program Plan :
Quitline Capacity, Media, and/or Public and Private Partnerships Recipient ActivitiesV.Monitoring and EvaluationVI.Budget- Should be included in application appendicesOther criteria will be listed in individual funding opportunity announcements.

93.738 PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds

Racial and Ethnic Approaches to Community Health (REACH),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

ASSISTANCE LISTINGS DESCRIPTIONS

This program is authorized under section 301(a) and 317(k)(2) Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) and Title IV Section 4002 of the Affordable Care Act (PPHF).

OBJECTIVES:

The purpose of the proposed FY2012 REACH program is to support the implementation of projects to reduce racial and ethnic health disparities. Program activities will focus on prevention of chronic diseases and conditions across multiple identified health areas, including: cardiovascular disease, diabetes, breast and cervical cancer, infant mortality, asthma, and child and adult immunizations. The program will build upon the body of knowledge from previous REACH programs. The program is designed to fund national organizations and community-based organizations to implement sustainable evidence- and practice-based strategies in targeted populations. Plans for FY2012 advance REACHs past and successes, provide rigorous evaluation and ensure that the practice-based evidence expands. More specific goals within these broad goal areas will be provided in funding announcements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to support intensive approaches to chronic disease prevention and control described in Section 0.50 above.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Minority group; Profit organization; State; Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Additional guidance may be provided in individual program announcements.

Beneficiary Eligibility:

Anyone/general public; The general public will benefit from the objectives of this program. Additionally, colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, and faith-based organization, states, political subdivisions of states, local health authorities, and individuals or organizations with specialized health interests will benefit.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is covered under OMB Circular No. A-87. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Pre-application coordination is not required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project period is for 5 years with a 12 month budget period. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period is for five years with a 12-month budget period. Method of awarding/releasing assistance: other

Reports:

performance reports are required 90 days after the end of a project period. Annual or semiannual progress reports are required, and Final financial status required 90 days after the end of a project period

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 n/a

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$46,314,278.00; FY 21 est \$28,553,636.00; FY 22 est \$28,553,636.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74.

Regional or Local Office:

Headquarters Office:

ASSISTANCE LISTINGS DESCRIPTIONS

Public Health Analyst Sandee Coulberson,
4770 Buford Highway, NE., Mailstop K30,
Atlanta GA 30333
Email: scoulberson@cdc.gov
Phone: 404-498-3058

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.745 PPHF: Health Care Surveillance/Health Statistics Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund

Behavioral Risk Factor Surveillance System (BRFSS),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 301 of the Public Health Service Act (as amended),,

OBJECTIVES:

The purpose of this program is to provide assistance to State and Territorial Health Departments to maintain and expand:1) Specific health surveillance using telephone and multi-mode survey methodology for the behaviors of the general population that contribute to the occurrences and prevention of chronic diseases, injuries, and other public health threats;2) The collection, analysis, and dissemination of BRFSS data to State and Territorial Health Department Categorical Programs for their use in assessing trends, directing program planning, evaluating program priorities, developing policy, and targeting relevant population groups. Specifically, this program will: A. Add questions specifically on health care access and use to the 2013 and 2014 Behavioral Risk Factor Surveillance System (BRFSS) questionnaires to measure the effect of ACA on the population; B. Increase the BRFSS headline sample size to restore the number of completed interviews achieved to 2011 levels. BRFSS programs should develop plans for increasing their sample size of their 2013 surveys which will increase the number of completed interviews achieved which will increase the precision of estimates in small areas and sub-populations; C. Increase the proportion of cell phone interviews completed on the 2013 BRFSS survey to maintain coverage and validity achieving at least a 25% completed interview rate by cell phone mode.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, implementing and data collecting surveillance information through the BRFSS for adding health care access and use questions to the 2013 BRFSS survey, and for implementing other program elements as described in Section 050 above and through CDC allowable and unallowable expenses. (Are there restrictions upon the use of the assistance? Yes#9633; Not Applicable. (If you select yes, you must describe the restrictions) Restrictions on Funding: Section 503(a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or

defeat the enactment of legislation before the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government itself. No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive Order proposed or pending before the Congress or any State Government, State Legislature, or Local Legislative body, other than normal and recognized executive-legislative relationships or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government. The prohibitions in subsections (1) and (b) shall include any activity to advocate or promote any proposed, pending, or future Federal, State, or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale and marketing, including but not limited to the advocacy or promotion of gun control. Sec. 218. None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control. Sec. 253. Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of illegal drugs. Sec. 738. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal or State law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent, and made a determination that this further action is not necessary to protect the interests of the Government. Sec. 739. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that any unpaid Federal tax liability that had been assessed, for which judicial and administrative remedies have been exhausted or have passed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government. Section 433. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, made a grant to, or provide a loan or loan guarantee to, any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent and made a determination that this further action is not necessary to protect the interests of the Government. Section 434. None of the funds made available by this Act may be used to enter into a grant to, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation with respect to which any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that the suspension or debarment is not necessary to protect the interests of the Government. Recipients may not use funds for research. Recipients may not use funds for clinical care and pharmaceutical products. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. Reimbursement of pre-award costs is not allowed

Applicant Eligibility:

Interstate; U.S. Territories and possessions; Eligibility includes all 50 states, Washington D.C., Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa,

ASSISTANCE LISTINGS DESCRIPTIONS

Palau, and the Federated States of Micronesia, who are currently funded through the Behavioral Risk Factor Surveillance System (BRFSS) Funding Opportunity Announcements (CDC-RFA-SO11-1101 and CDC-RFA-SO11-1102).

Beneficiary Eligibility:

U.S. Territories; State; Any U.S. State, political subdivision, and U.S. Territories as described above.

Credentials/Documentation:

Applicants should document a detailed work plan for meeting the objectives of the project, outline their method of operation, describe their evaluation procedures, and provide a budget with justification of funds requested. Applicants must comply with Administrative Requirements (ARs) outlined in 45 CFR Part 74 or Part 92 as appropriate. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download the SF424 (R&R) application package associated with this supplemental funding opportunity from www.Grants.gov. If access to the internet is not available or if the applicant encounters difficulty accessing the forms on-line, contact the HHS/CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff at (770) 477-2700 for further instructions. HHS/CDC Telecommunications for the hearing impaired or disabled is available at TTY 1-888-232-6348. If an applicant encounters technical difficulties with www.Grants.gov, the applicant should contact Grants.gov Customer Service. The Grants.gov Contact Center is available 24 hours a day, 7 days a week, with the exception of all Federal Holidays. The Contact Center provides customer service to the applicant community. The extended hours will provide applicants support around the clock, ensuring the best possible customer service is received any time it is needed. Applicants can reach the Grants.gov Support Center at 1-800-518-4726 or by e-mail at support@grants.gov. Submissions sent by e-mail, fax, CDs or thumb drives of applications will not be accepted.

Award Procedure:

Eligible applications will be jointly reviewed for responsiveness by the National Center for Chronic Disease Prevention and Health Promotion and the CDC Procurement and Grants Office. Incomplete applications and applications that are non-responsive will not advance through the review process. Recipients will be notified in writing of the results. A technical review panel within the Population Health Surveillance Branch (PHSB), Division of Population Health (DPH), National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP), CDC, will evaluate complete and responsive supplemental applications according to the published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be only binding, authorizing document between the recipient and the CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Unsuccessful recipients will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 21-45 days after application submission deadline

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be based on the conditions in the supplemental funding announcement and are subject to the availability of funds under Section 301 of the Public Health Service Act (as amended) and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period and a 22-month project period Method of awarding/releasing assistance: other

Reports:

Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as: 1. The name of the entity receiving the award 2. The amount of the award 3. Information on the award including transaction type, funding agency, etc. 4. The location of the entity receiving the award 5. A unique identifier of the entity receiving the award; and 6. Names and compensation of highly-compensated officers (as applicable) Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000. For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf. Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as: 1. The name of the entity receiving the award 2. The amount of the award 3. Information on the award including transaction type, funding agency, etc. 4. The location of the entity receiving the award 5. A unique identifier of the entity receiving the award; and 6. Names and compensation of highly-compensated officers (as applicable) Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000. For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf. Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as: 1. The name of the entity receiving the award 2. The amount of the award 3. Information on the award including transaction type, funding agency, etc. 4. The location of the entity receiving the award 5. A unique identifier of the entity receiving the award; and 6. Names and compensation of highly-compensated officers (as applicable) Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000. For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf. Federal Funding Accountability and Transparency Act of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible web site, www.USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as: 1. The name of the entity

ASSISTANCE LISTINGS DESCRIPTIONS

receiving the award².The amount of the award³.Information on the award including transaction type, funding agency, etc.⁴.The location of the entity receiving the award⁵.A unique identifier of the entity receiving the award; and⁶.Names and compensation of highly-compensated officers (as applicable)Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards/subcontracts/consortiums over \$25,000.For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please view the following web site: http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f.s2590enr.txt.pdf.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Records must follow the Records Management protocols.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

Awards will range from approximately \$50,000 through \$125,000 with an average award of approximately \$80,000 for FY12.Awards will range from approximately \$50,000 through \$100,000 with an average award of approximately \$68,000 for FY13.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. For competing supplements, Administrative Regulations (ARs) remain in effect as published in the original funding announcement. For more information on the Code of Federal Regulations , see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr-table-search.html>.

Regional or Local Office:

None.

Headquarters Office:

Team Lead Rosalyn Bell,
4602 Buford Hwy/Mail Stop: F-78,
Atlanta GA 30341
Email: aii9@cdc.gov
Phone: 7704884588

Website Address:

<http://www.grants.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the supplemental funding opportunity announcement. Applicants will be notified if their application did not

meet program requirements.

93.747 Elder Abuse Prevention Interventions Program

Elder Abuse Prevention Intervention Projects,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 2042 of the Patient Protection and Affordable Care Act, Section 411 of the Older Americans Act, and Section 4002 of the Affordable Care Act (PPHF), Title Elder Justice Act of 2009, Section 2042

OBJECTIVES:

To develop, implement, and evaluate successful or promising interventions, practices, and programs to prevent elder abuse, neglect, and exploitation, including adult protective services programs. Through this program, ACL hopes to demonstrate how existing research can be used to craft new, useful programs to address elder abuse, neglect, and exploitation and improve and enhance adult protective services programming.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The use of these funds is to support primary, secondary, and/or tertiary elder abuse, neglect, and exploitation activities, interventions, and programs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible entities for grants authorized under Section 411 of the Older Americans Act are: domestic public or private nonprofit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education, are eligible. For grants authorized under Section 2042 of the Patient Protection and Affordable Care Act, state government entities are eligible to apply.

Beneficiary Eligibility:

Private nonprofit institution/organization; Native American Organizations; State; Local; Senior Citizen (60+); Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments;

Credentials/Documentation:

The application must include a letter of commitment from identified partners, with specific details on how the partner will substantively contribute to the achievement of the project's goals and objectives. Letters of intent apply are requested. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application materials will be published on the Grants.gov website (<http://www.grants.gov>) or the AoA website (<http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>).ACL/AoA is requiring applications for all announcements to be submitted electronically through <http://www.grants.gov>.

Award Procedure:

Merit review of all applications and issuance of a Notice of Award to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

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Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Cooperative agreements will be made for up to a 3 year period, depending upon funding availability. Grant extensions are available and may be requested from the grantees Project Officer. Method of awarding/releasing assistance: letter

Reports:

Grantees will also be expected to comply with new reporting requirements related to the Prevention and Public Health Fund. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System as identified in their award documents through the life of the grant. Semi-annual progress reports are required. The fully completed SF-425 is required as denoted in the Notice of Award terms and conditions. Semi-annual progress reports is required. Grantees will be expected to work through AoA project officers to report on data for the purposes of a multi- component evaluation.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$12,000,000.00; FY 21 est \$12,000,000.00; FY 22 est \$19,000,000.00;

Range and Average of Financial Assistance:

Cooperative Agreements (Discretionary Grants)FY 20 \$12,000,000.00;FY 21 \$12,000,000.00; (COVID-19 Funds (CARES ACT round 1 funding \$93,880,000, ARPA round 2 funding \$86,060,000)) FY 22 \$19,000,000.00 (est)

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Information: <https://www.acl.gov/node/16> and <https://www.acl.gov/node/564>Grantees also must comply with polices outlined in HHS Grants Policy Statement located at <http://www.hhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Aiesha.Gurley,
Administration on AgingAdministration for Community Living330 C Street, SW,
Washington DC 20201

Email: Aiesha.gurley@acl.hhs.gov

Phone: 202-795-7358

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the program announcement. Major emphases are: 1) documented need, 2) expected reach , outcomes and impact , 3) organizational capacity, 4) coordination and partnerships, and 5) strong basis in evidence or theory.

93.753 Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program

Preventive and Public Health Fund (PPHF) - Childhood Lead Poisoning Prevention Surveillance,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 317A and 317B 317(k)(2) of the Public Health Service Act [42 U.S.C Sections 247b and 247b-2 and 247b-3] as amended; Section 4002, Patient Protection and Affordable Care Act [42 U.S.C 300u-11],.

OBJECTIVES:

To support and enhance surveillance capacity at the state and city level to prevent and eliminate childhood lead poisoning.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The program provides funding to assist in eliminating childhood lead poisoning.

Applicant Eligibility:

Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants should review the individual CDC Funding Opportunity Announcement document issued under this CFDA program for any required proof or certifications that must be submitted prior to, or simultaneous with, submission of an application package.

Beneficiary Eligibility:

Infant (0-5); Child (6-15); Youth (16-21); Others who will receive benefits from the program include infants and children from six months to six years of age who are screened for lead poisoning and family members who care for lead-poisoned children. Lead poisoning potentially affects all children, but disproportionately affects minority children and children of low-income families. Since the side effects of lead poisoning can be long lasting, benefits of the program can also affect youth and adults, persons at all educational and income levels, and urban, suburban and rural populations.

Credentials/Documentation:

Applicants must document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification for funds requested. 2 CFR 200, Sub-part E - Cost

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Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms must be used for this program. Applicants must register their organizations through www.grants.gov, the official agency-wide E-grant website, at least 30 days prior to submitting their applications. Registration information is located on the get Registered screen of www.grants.gov. Applications must be submitted electronically at www.grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from www.grants.gov on the deadline date. The application package can be downloaded from www.grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the www.grants.gov website. The applicant must submit all application attachments using a PDF file format, as listed in the FOA, when submitting via www.grants.gov. Directions for creating PDF files can be found on the www.grants.gov website. Use of file formats other than PDF may result in the file being unreadable by staff. All application procedures listed in the FOA must be followed.

Award Procedure:

A review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcement (FOA). Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office (PGO). The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by the authorized Grants Management Officer, CDC.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals will be based upon the availability of funding and satisfactory programmatic progress. Project will be using 12-month budget periods.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period will be no longer than 5 years with 12-month budget periods Method of awarding/releasing assistance: other

Reports:

Grantees awarded a grant, cooperative agreement, or contract from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively). Grantee reports must reference the NoA number and title of the grant, and include a summary of the activities undertaken and identify any sub-awards (including the purpose of the award and the identity of each sub-recipient). Annual Federal Financial Reporting (FFR) form (SF-425) is required. Refer to FOA for details. Performance Measurement Plan is required. Refer to FOA for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 See NOFO

Records:

Records must be retained in accordance with 45 CFR 92.42

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

The estimated annual average range of an award is \$250,000 to \$500,000.

TAFS Codes:

75-0947. 75-0947.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Extramural/ Resource Specialist Tonica Gleaton,
4770 Buford Highway, F45,
Atlanta GA 30341
Email: tdg6@cdc.gov
Phone: 404-498-6858

Website Address:

<http://www.cdc.gov/nceh/lead/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selection of applicants are listed in the funding opportunity announcement

93.755 Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF)

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Patient Protection and Affordable Care Act (PL 111-148), , Public Health Service Act, Sections 301 and 317(k)(1) [42 U.S.C. 2421 and 247b(k)(1)], as amended,

OBJECTIVES:

The purpose of the program is to conduct surveillance to detect, prevent and control diseases and evaluate existing health programs to improve the health of refugees and/or immigrants that are newly arrived in the United States. The program will:1) enhance existing surveillance networks for communicable and non-communicable diseases, including, but are not limited to: vaccine-preventable diseases, malaria, hepatitis, intestinal parasites, nutritional deficiencies and anemia;2) evaluate the health status of refugees and/or immigrants for the purposes of informing and improving U.S. programs for overseas and the post-arrival health assessments and interventions, such as presumptive treatment for parasitic infections; and3) improve the health of refugees and/or immigrants undergoing U.S. resettlement and protect the health of their receiving communities by controlling the spread of communicable diseases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting surveillance, effectiveness, and health improvement programs directed toward immigrants and refugees in the United States, and for the

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implementation of other program elements as described in Section 050 above and through CDC allowable and unallowable expenses.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligibility is limited to any domestic entity providing health care services to immigrants and refugees newly arrived in the United States.

Beneficiary Eligibility:

U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Any U.S. state, political subdivision and U.S. territories and other public entities providing healthcare services to newly arrived refugees and immigrants will benefit

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

>180 Days. Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is typically available for a 12-month budget period and 60-month project period. Method of awarding/releasing assistance: lump sum.

Reports:

A Semi-annual Progress Report is required per Public Health and Prevention Fund guidelines. An Interim Progress Report is required as part of a continuation application. A Federal Financial Report is due 90 days after the end of every

Budget Period. A Final Progress Report and Federal Financial Report is required 90 days after the end of the Project Period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 n/a

Records:

Account Identification:

75-0943-0-1-551. 75-0949-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Awards will range from approximately \$25,000 to \$150,000 with an average of approximately \$80,000.

TAFS Codes:

75-0943. 75-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

PHA Nekeia Gray,
1600 Clifton Rd, MS E-03,
Atlanta GA 30333
Email: hvq6@cdc.gov
Phone: 404-639-0712

Website Address:

<http://www.cdc.gov/immigrantrefugeehealth/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Emerging and Zoonotic Diseases and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements.

93.759 Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds

Consortium for Tobacco Use Cessation Technical Assistance,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

For all authorizations/amendments, you must supply the Act or the Executive Order in order to continue Consolidation Appropriations Act, Fiscal Year 2013, Public Law 112-74, for the Department of Labor, Health and Human Services, and Education and the Department of Interior and Related Agencies; and the

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Consolidated and Further Continuing Appropriations Act, Fiscal Year 2013, Public Law 112-55 for the United States Department of Agriculture, and Related Agencies. Title IV section 4002 Prevention and Public Health Fund.,

OBJECTIVES:

To provide technical assistance to state tobacco control programs and national and state partners. The technical assistance will focus on translating the science of tobacco control cessation into public health action in order to increase the number of tobacco users in the United States who quit

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting tobacco cessation technical assistance strategies. CDC will have substantial involvement with the award recipient during performance of the funded activity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; A Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required

Beneficiary Eligibility:

Anyone/general public; The general public will benefit from the objectives of this program.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications that are complete and responsive to competitive cooperative agreement announcement will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under Section 317 of the Public Health Service Act or under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

5-year project period w/12-month budget period Method of awarding/releasing assistance: lump sum.

Reports:

Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5: This award requires the recipient to complete projects or activities which are funded under the Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public. Recipients awarded a grant, cooperative agreement, or program reports (for example Annual Progress Reports, etc.) from such funds with a value of \$25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1- June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the subrecipient). Annual Progress reports are required Federal Financial Reports are required 90 Days after the end of the calendar quarter Annual Progress reports are required

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Effective tobacco cessation treatments are available, including group, individual, and telephone counseling and FDA-approved cessation medications. However, less than one third of cigarette smokers use these treatments when trying to quit. Physician cessation interventions increase patient quit rates, but many physicians do not routinely intervene with their patients who use tobacco products. Population-based strategies that increase cessation include, but are not limited to, reducing out-of-pocket costs for evidence-based tobacco cessation treatments (i.e., ensuring that health insurance plans cover these treatments), provider reminder systems in health care settings (including reminders embedded in electronic health records) that prompt health care providers to screen for tobacco use and provide cessation advice, telephone quitlines, and mobile-phone based cessation texting interventions. CDC recommends that state tobacco control programs implement these effective population-based strategies for increasing cessation and put in place a comprehensive plan to address cessation. The Office on Smoking and Health has worked with several national organizations in providing information to state tobacco control programs and national and state partners on OSH's three major cessation priorities: enhancing state quitline capacity and sustainability, promoting comprehensive coverage of evidence-based tobacco cessation treatments in health insurance plans, and promoting health systems changes that improve the rates of tobacco use screening and cessation intervention in health care settings. CDC recommendations regarding cessation activities to be implemented by state tobacco control programs are described in greater depth in CDC's Best Practices for Comprehensive Tobacco Control Programs 2014 (see http://www.cdc.gov/tobacco/stateandcommunity/best_practices/pdfs/2014/sectionA-III.pdf).

Regional or Local Office:

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None.

Headquarters Office:

Steve Babb,
NCCDPHP, 4770 Buford Highway NE, MSK50,
Atlanta GA 30341
Email: SBabb@cdc.gov
Phone: 770-488-1172
Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified if the application did not meet submission requirements. CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.761 Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF)

Falls Prevention,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The statutory authority for cooperative agreements under this Funding Opportunity Announcement is contained in the Consolidated Appropriations Act, 2014, Pub. L. 113-76, Div. H., Title II, 219(a); Public Health Service Act, 42 U.S.C. 300u-2 and 300u-3; and the Patient Protection and Affordable Care Act, 42 U.S.C. 300u-11 (Prevention and Public Health Fund),

OBJECTIVES:

These cooperative agreements are intended to increase the number of evidence-based falls prevention programs available to older adults, while concurrently increasing the sustainability of these proven programs in the aging and disability networks. This funding also supports a cooperative agreement for a National Falls Prevention Resource Center.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grant funding could potentially be used for agreed-upon activities associated with the following goals: Goal 1: Significantly increase the number of older adults and older adults with disabilities at risk for falls who participate in evidence-based community programs to reduce falls and falls risks. Goal 2: Implement innovative funding arrangements, including contracts and collaborations with one or more sustainability partners, to support evidence-based falls prevention program(s) both during and beyond the grant period. Additionally, embed the program(s) into an

integrated, sustainable evidence-based prevention program network via centralized, coordinated processes.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants for grants are domestic public or private non-profit entities including state and local governments, Indian tribal governments and organizations (American Indian/Alaskan Native/Native American), faith-based organizations, community-based organizations, hospitals, and institutions of higher education. Any additional restrictions/guidance will be provided in the funding opportunity announcement(s).

Beneficiary Eligibility:

Individual/Family; The ultimate beneficiaries of this funding opportunity are older and disabled adults at risk for falls residing in states, tribes, and territories.

Credentials/Documentation:

The successful applicant will be an organization that meets the following criteria: 1. Proposed only evidence-based falls prevention programs that are on the list of pre-approved programs in the Funding Opportunity Announcement. 2. Provided signed letters of commitment from key partners and collaborators named in the proposal. 3. Included a Budget Narrative/Justification. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. As specified in guidelines published in the Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be made for up to a 3 year project period. Annual or fully funded budget periods may be awarded subject to the availability of funds. Method of awarding/releasing assistance: Payment of grant expenses will be through the Payment Management System. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: letter. Method of awarding/releasing assistance: letter

Reports:

This award requires the grantee to complete projects or activities which are funded under the Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Management System. Program Progress Reports are due semi-annually (within 30 days following each six month period), effective with the start date of the award. This report must be

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submitted as a grant note using an authorized Grant Solutions account. Grantees are required to submit annual financial status reports (SF 425), which are due 30 days following each twelve month reporting period. You must reconcile your cash accounts with your expenditures for the reporting period and submit a cumulative report each year. A final report is due 90 days after the expiration date of the project period and must reconcile with the final cash portion reported on line 10c. Grantees required reports should reflect their progress in meeting program goals, as applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$5,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$5,000,000.00;

Range and Average of Financial Assistance:

FY2021: \$273,051- \$1,054,885

TAFS Codes:

75-4201.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with all requirements specified for grants funded through the Prevention and Public Health fund. Grantees also must comply with policies outlined in HHS Grants Policy Statement located at <https://www.hhs.gov/grants/grants/grants-policies-regulations/index.html>.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of Health and Human Services Administration for Community Living, 330 C Street, S.W. Washington, DC 20201 Donna Bethge Email: Donna.Bethge@acl.hhs.gov Phone: (202) 795-7659

Headquarters Office:

Shannon Skowronski,
U.S. Department of Health and Human Services Administration for Community Living, 330 C Street SW,
Washington DC 20201

Email: Shannon.Skowronski@acl.gov

Phone: 2027957438

Fax:

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

93.734 Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by 2012 Prevention and Public Health Funds (PPHF-2012); 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the Funding Opportunity Announcement.

93.762 A Comprehensive Approach to Good Health and Wellness in Indian County financed solely by Prevention and Public Health

Tribal Wellness,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 301(a) and 317 (k) of the Public Health Service Act, {42 U.S.C. section 241(a) and 247 (k) and Title IV Section 4002 Prevention and Public Health Fund. Public Service Act,

OBJECTIVES:

This five-year funding opportunity offers support to prevent heart disease, diabetes and associated risk factors in American Indian and Alaska Native (AI/AN) communities through a holistic approach to population health and wellness. There will be two components to this funding opportunity announcement. Component One will fund 12 AI/AN tribes, one within each of the 12 Indian Health Service (IHS) areas, who will use a combination of community chosen and culturally adapted policies, systems, and environmental changes to increase access to fresh, healthy traditional foods, and offer alternatives to less healthy packaged and processed foods high in sodium and fat. Component One will also strive to promote programs to increase physical activity, health education, and team based strategies to strengthen community-clinical linkages promoting lifelong health and preventing chronic diseases such as diabetes, heart disease, and stroke. Awardees will customize environmental approaches for their communities to foster sustainability, broaden community and cross-sector partnerships, and demonstrate population change to promote health and reduce risk factors that lead to chronic disease. Component Two awardees will be Tribal organizations, as set forth in 25 U.S.C., Section 1603, and other Tribal-Serving Organizations. Twelve Tribal Organizations will receive funding (no more than one in each Area) to provide Technical Assistance, Training, and Resources to AI/AN tribes and villages within their IHS areas to promote community chosen and culturally adapted policies, systems, and environmental changes. These changes will lead to sustainable increased access to fresh, healthy traditional foods, decreased access to less healthy packaged and processed foods, increased physical activity, health education, as well as strengthened community-clinical linkages to promote lifelong health and prevent chronic diseases such as diabetes, heart disease, and stroke.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Component One awardees can potentially use assistance to apply a combination of community chosen and culturally adapted policies, systems, and environmental changes to increase access to fresh, healthy traditional foods and healthy beverages, such as water, and offer alternatives to less healthy packaged, processed foods high in sodium and fat, and less healthy beverages. Component One will also implement policy, systems, and environmental changes that increase physical activity, health education, and team-based strategies to strengthen community-clinical linkages promoting lifelong health and preventing chronic diseases such as diabetes, heart disease, and stroke. Awardees will customize environmental approaches for their communities to foster sustainability, broaden community and cross-sector partnerships, and demonstrate target/intended population change to promote health and reduce risk factors that lead to chronic disease. Component Two awardees can potentially use assistance to provide Technical Assistance, Training, and Resources (including funding for contracts with designated area tribes or

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villages) to AI/AN tribes and villages within their IHS areas to promote community chosen and culturally adapted policies, systems, and environmental changes. These changes will lead to sustainable increased access to fresh, healthy traditional foods and beverages, decreased access to less healthy processed foods and beverages, increased physical activity, reduced commercial tobacco use, health education, as well as strengthened community-clinical linkages to promote lifelong health and prevent chronic diseases such as diabetes, heart disease, and stroke. Applicants must submit an itemized budget narrative, which may be scored as part of the Organizational Capacity of Awardees to Execute the Approach. When developing the budget narrative, applicants must consider whether the proposed budget is reasonable and consistent with the purpose, outcomes, and program strategy outlined in the project narrative. The budget must include: Salaries and wages, Fringe benefits, Consultant costs, Equipment, Supplies, Travel, Other categories, Total Direct costs, Total Indirect costs, Contractual costs

Applicant Eligibility:

Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligibility: Federally recognized American Indian Tribes and Alaska Native Villages and Corporations which meet the definition set forth in 25 U.S.C. Section 1603. Tribal organizations, as set forth in 25 U.S.C. Section 1603. Urban Indian Organizations that meet the definition set forth in 25 U.S.C., section 1603. Tribal college or university as set forth in section 1059c (b) of title 20. Tribal epidemiology Centers as set forth in 25 U.S.C. Section 1621m

Beneficiary Eligibility:

Individual/Family; Anyone/general public; Native American Organizations; Preschool; Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Moderate Income; Low Income; Rural; Federally Recognized Indian Tribal Governments; Federally recognized Indian Tribal Government, Individual/Family, Native American Organization, Pre-school, infant, Child, Youth, Senior Citizen, unemployed, welfare recipient, pension recipient, moderate income, low income, rural

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operations, describe evaluation procedures, and provide a budget with justification of funds requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. a. Data Universal Numbering System: All applicant organizations must obtain a Data Universal Numbering System (DUNS) number. A DUNS number is a unique nine-digit identification number provided by Dun & Bradstreet (D&B). It will be used as the Universal Identifier when applying for federal awards or cooperative agreements. The applicant organization may request a DUNS number by telephone at 1-866-705-5711 (toll free) or internet at <http://fedgov.dnb.com/webform/displayHomePage.do>. The DUNS number will be provided at no charge. If funds are awarded to an applicant organization that includes sub-awardees, those sub-awardees must provide their DUNS numbers before accepting any funds. b. System for Award Management (SAM): The SAM is the primary registrant database for the federal government and the repository into which an entity must submit information required to conduct business as an awardee. All applicant organizations must register with SAM, and will be assigned a SAM number. All information relevant to the SAM number must be current at all times during which the applicant has an application under consideration for funding by CDC. If an award is made, the SAM information must be maintained until a final financial report is submitted or the final payment is received, whichever is later. The SAM registration process usually requires not more than five business days, and registration must be renewed annually. Additional information about registration procedures may be found at www.SAM.gov. c. Grants.gov: The first step in submitting an application online is registering your organization at www.grants.gov, the official HHS E-grant Web site. Registration information is located at the Get Registered option at

www.grants.gov. All applicant organizations must register at www.grants.gov. The one-time registration process usually takes not more than five days to complete.

Applicants must start the registration process as early as possible. 2. Request Application Package: Applicants may access the application package at www.grants.gov. 3. Application Package: Applicants must download the SF-424, Application for Federal Assistance, package associated with this funding opportunity at www.grants.gov. If Internet access is not available, or if the online forms cannot be accessed, applicants may call the CDC PGO staff at 770-488-2700 or e-mail PGOTIM@cdc.gov for assistance. Persons with hearing loss may access CDC telecommunications at TTY 1-888-232-6348.

Award Procedure:

Awardees will receive an electronic copy of the Notice of Award (NoA) from CDC PGO. The NoA shall be the only binding, authorizing document between the awardee and CDC. The NoA will be signed by an authorized GMO and e-mailed to the awardee program director. Any applicant awarded funds in response to this FOA will be subject to the DUNS, SAM Registration, and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements. Unsuccessful applicants will receive notification of these results by e-mail with delivery receipt or by U.S. mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Renewals will be based upon availability of funding and satisfactory programmatic progress. Project period is for 5 years with 12 month budget periods.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Five year project period with 12 month budget periods Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual reports from each entity awarded a cooperative agreement or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported with resources from the Prevention and Public Health Fund (PPHF) to a public website. <http://www.hhs.gov/open/records> and reports/prevention/index.html Reporting provided continuous program monitoring and identifies success and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of the budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, DC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation and include the date by which the information will be provided. Awardees must provide a more detailed evaluation and performance

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measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. At a minimum, and in addition to the elements of the initial plan, this plan must: Indicate the frequency that evaluation and performance data are to be collected. Describe how data will be reported. Describe how evaluation findings will be used to ensure continuous quality and program improvement. Describe how evaluation and performance measurement will yield findings that will demonstrate the value of the FOA (e.g., effect on improving public health outcomes, effectiveness of FOA as it pertains to performance measurement, cost-effectiveness, or cost-benefit). Describe dissemination channels and audiences (including public dissemination). Describe other information requested and as determined by the CDC program. When developing evaluation and performance measurement plans, applicants are encouraged to use the Introduction to Program Evaluation for Public Health Programs: A Self-Study Guide, available at: <http://www.cdc.gov/eval/guide/index.htm>

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of the budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PGS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$15,427,492.00; FY 21 est \$14,584,142.00; FY 22 est \$10,147,502.00;

Range and Average of Financial Assistance:

Anticipated amounts are: FY2014: \$13-15 million; \$14-15m per year with \$65075 million over the five years; average annual award \$500,000, range \$300,000-\$800,000

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

92 CFR Parts 215 and 220 Cost Principles for Educational Institutions (OMB Circular A-21) and OMB Circular No. A-122 for nonprofit organizations and Congress directed HHS guidance/requirements regarding terms and conditions and reporting requirements for recipients of programs supported with resources from the Prevention and Public Health Fund

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC/NCCDPHP and PGO.

Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications for Component 1 in accordance with the Criteria section of the FOA. A review panel will evaluate complete, eligible applications for Component 2 in accordance with the Criteria section of the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.763 Alzheimers Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF)

Alzheimers Initiative,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Funding Authority: PPTA 42USC 300u-11 Prevention and Public Health

FundGrant Authority: Older Americans Act Title IV,

OBJECTIVES:

The purpose of the Alzheimers Disease Initiative: Specialized Supportive Services (ADI-SSS) project is to fill gaps in long term services and supports (LTSS) for persons living with Alzheimers disease and related dementias (ADRD) and their caregivers by expanding the availability of specialized services and supports. The grants are intended to fill existing service gaps in the following areas: 1) Provision of effective supportive services to persons living alone with ADRD in the community. 2) Development of dementia capable systems designed to improve the quality and effectiveness of programs and services dedicated to individuals aging with intellectual and developmental disabilities and at high risk of developing ADRD. 3) Provision of effective care/supportive services for persons living with moderate to severe impairment from ADRD and their caregivers. 4) Behavioral symptom management training and expert consultation for family caregivers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grants are intended to fill existing service gaps in the following areas: 1) Provision of effective supportive services to persons living alone with ADRD in the community. 2) Development of dementia capable systems designed to improve the quality and effectiveness of programs and services dedicated to individuals aging with intellectual and developmental disabilities and at high risk of developing ADRD. 3) Provision of effective care/supportive services for persons living with moderate to severe impairment from ADRD and their caregivers. 4) Behavioral symptom management training and expert consultation for family caregivers. Program funds must be used in the implementation of projects designed to address three of the aforementioned gaps areas, including direct services in the areas noted in the funding opportunity announcement. The following activities are not fundable: Construction and/or major rehabilitation of buildings, basic research (e.g. scientific or medical experiments), continuation of existing projects without expansion, pre-award costs, publicity and propaganda, use of funds to advocate or promote gun control, and needle exchanges.

Applicant Eligibility:

Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal

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Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Funding eligibility is limited to public and/or private entities that are able to 1) demonstrate the existence of a dementia capable system dedicated to the population that they serve, and 2) articulate opportunities and additional services that would enhance and strengthen the existing system. Organizations that have not received previous awards through this program, individuals, foreign entities and sole proprietorship organizations are not eligible to compete for, or receive awards under this announcement.

Beneficiary Eligibility:

U.S. Territories; Quasi-public nonprofit organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Public and/or private entities that are able to 1) demonstrate the existence of a dementia capable system dedicated to the population that they serve, and 2) articulate opportunities and additional services that would enhance and strengthen the existing system. Eligible consumers of eligible applicants are the beneficiaries of this program.

Credentials/Documentation:

Qualified applicants would be those eligible entities that are operating within in an existing dementia-capable home and community-based service system and are able to demonstrate that through their partnerships the existing system will be enhanced and strengthened. Applicants should include letters of commitment from organizations and agencies identified as key collaborators in the proposal. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is open to both public and private entities, so both circulars 102 and 110 could be applicable dependent on who the applicant is.

Award Procedure:

Application forms are submitted through a centralized depository and received by the awarding agency Administration for Community Living. Applicants generally apply under a program announcement indicating all the necessary application procedures to be followed.

Deadlines:

September 01, 2014 - August 31, 2018. Dependent on the posting date, the anticipated application due date is approximately 60 days from that date.

Range of Approval/Disapproval Time:

From 60 to 90 days. Range is 60 to 90 days from receipt of application, as noted in the Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. The required match for this program is 25%, with applicants having the opportunity to apply for waivers of a portion of the match. Match will not be lower than 15%. The ADI-SSS funding opportunity announcement will include a detailed section describing the ways in which grantees can provide in-kind match to meet these requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are typically 3 years in duration. Length of future awards will be published in the Funding Opportunity Announcement and on Grants.gov. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: by letter of credit. Method of

awarding/releasing assistance: letter

Reports:

The reporting cycle format will be reflected in the Notice of Award. Grantees are also expected to comply with the semi-annual reporting requirements related to the Prevention and Public Health Fund. Grantees are required to complete the federal cash transactions portion of the SF-425 within the Payment Managements System as identified in their award documents for the calendar quarters ending 3/31, 6/30, 9/30, and 12/31 through the life of their award. The AoA program progress reports are due semi-annually from the start date of the award. The final progress report reports are due 90 days after the end of the project period. Grantees will be required to submit program reports and Federal financial reports as described in the Notice of Award. Grantees will be required to submit program reports and Federal financial reports as described in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must comply with all requirements specified for grants funded through the Prevention and Public Health Fund and appropriate Departmental regulations regarding grants. All grantees must also comply with policies outlined in HHS Grants Policy Statement.

Regional or Local Office:

See Regional Assistance Locations. U.S. Department of Health and Human Services Administration for Community Living Washington, DC 20201 Attn: Erin Long Email: erin.long@acl.hhs.gov

Headquarters Office:

Erin Long,
U.S. Department of Health and Human Services Administration for Community Living
330 C Street SW,
Washington DC 20201
Email: erin.long@acl.hhs.gov
Phone: 202-795-7389

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be evaluated by experts in the field and awards made on a competitive basis. Specific details for evaluating applications included in the Funding Opportunity Announcement.

93.765 PPHF-CDC Partnership: Strengthening Public Health Laboratories

NA.

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Patient Protection and Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. This program is funded in part by 2015 Prevention and Public Health Funds (PPHF-2015), Section 301 and 317, 42 U.S.C 241 and 247b; Sections 301 and 317 of the Public Health Service Act (PHS Act), 42 USC, 241 and 247b as amended; and Funding is appropriated under Affordable Care Act (PL 111-148), Title IV, Section 4002 (Prevention and Public Health Fund), Title IV, Section 4002.,

OBJECTIVES:

The major objective is to enhance and strengthen the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories. These areas include the following: improving public health laboratory infrastructure, increasing the capacity through workforce development, promoting quality laboratory practices, expanding and improving health security, promoting and supporting informatics, and enhancing communication linkages. The specific objective may include but are not limited to: 1. Assess public health infectious disease laboratory capabilities and capacities by conducting and analyzing formal and informal surveys and other methodologies. 2. Convene Committees/Task Forces/Workgroups to develop or review guidelines and recommendations on PHL laboratory capacity and quality laboratory practice. 3. Provide training and other resources to address knowledge and capacity gaps. 4. Convene forums to exchange knowledge and build communities of practice and promote the role of public health laboratories in infectious disease control, especially in emerging infectious diseases. 5. Provide programmatic and technical support to other focus areas, including policy, emergency preparedness, global health, informatics, and professional development.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting, and supporting public health laboratory infrastructure programs, and for the implementation of other program elements as described in Section 050 above. CDC's rules on allowable expenses must be followed. The purpose of the program is to enhance the work of public health laboratories in the U.S. and abroad. The program aims to promote quality public health laboratory practice, improve public health laboratory infrastructure, strengthen the public health laboratory system, and to develop a well-trained public health laboratory workforce in the U.S. and globally. It also aims to ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and enhance communication linkages between state and local public health laboratories and the clinical laboratory testing community improvement of public health laboratory infrastructure, state-of-the-art training for the nation laboratories to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications. This award will be used for direct assistance funding.

Applicant Eligibility:

Government - General; Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public

nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible applicants that can apply for this funding opportunity are listed below: Nonprofit organizations Small, minority, and women-owned businesses Universities Colleges Research institutions Hospitals Community-based organizations Faith-based organizations Federally recognized or state-recognized American Indian/Alaska Native tribal governments American Indian/Alaska native tribally designated organizations Alaska Native health corporations Urban Indian health organizations Tribal epidemiology centers State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) Political subdivisions of States (in consultation with States) A Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application. If applying as a bona fide agent of a state or local government, a legal, binding agreement from the state or local government as documentation of the status is required. Attach with Other Attachment Forms when submitting via www.grants.gov.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Applicants must have experience with enhancing and strengthening the work and functionality of public health laboratories both domestically and abroad. The overarching goal is to improve several aspects of public health laboratories. The nations public is the ultimate recipient of benefits from this program

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit organizations costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A letter of intent is required for this funding opportunity agreement. To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet

ASSISTANCE LISTINGS DESCRIPTIONS

address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: 770-488-2700.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: other

Reports:

Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract, summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported with resources from the Prevention and Public Health Fund (PPHF) to a public website. Semi-Annual and Annual progress reports are required. Federal Financial Reports are required 90 days after the end of the calendar quarter in which the budget period ends. Consult with CDC about performance monitoring activities.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 n/a

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

Formula Grants (Cooperative Agreements) FY 20 \$2,160,093.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY 2015 PPHF actual awarded amount \$3,046,800. FY2016 anticipated PPHF awarded amount: \$4,000,000; FY 2017 estimated PPHF award: \$4,000,000 FY 2018 estimated PPHF award \$4,000,000; FY 2019 estimated PPHF award \$4,000,000 ; FY2020 estimated PPHF award amount \$4,000,000. Total anticipated PPHF award from FY2015 -FY2020 : 19,046,800.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 45, basic grant administration policies of DHHS and PHS are also applicable, 46 CFR 74 and 45 CFR 92, PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000 (Rev.) April 1, 1994

Regional or Local Office:

See Regional Assistance Locations. For program technical assistance, contact:Se'Veda Kent, Project OfficerDepartment of Health and Human ServicesCenters for Disease Control and PreventionMS: V24-3, 2400 Century Parkway NEAtlanta, GA 30345Telephone: 404-488-8268E-mail: khx9@cdc.govFor financial, grants management, or budget assistance, contact:Louven Asante, Grants Management SpecialistDepartment of Health and Human ServicesCDC Office of Grant Services Branch 32939 Flowers Rd. South, Floor 2, Room 2222, MS: TV-2Atlanta, GA 30341Telephone: 770-488-2835 E-Mail: lha5@cdc.govCDC Telecommunication for the hearing impaired or disabled is available: TTY (770) 488-2783

Headquarters Office:

Project Officer Se'Veda Kent, Centers for Disease Control and PreventionCenter for Surveillance, Epidemiology and Laboratory Services (CSELS)MS: V24-3, 2400 Century Parkway NE, Atlanta GA 30345

Email: khx5@cdc.gov

Phone: 404-488-8268

Brittany Dunigan-Willis,

Centers for Disease Control and Prevention Center for Surveillance, Epidemiology and Laboratory Services (CSELS) MS: V24-3, 2400 Century Parkway NE, Atlanta GA 30345

Email: nfv9@cdc.gov

Phone: 404-498-0824

Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria. The budget is not scored.

93.767 Children's Health Insurance Program

(CHIP),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

CHIP: Title XXI of the Social Security Act (the Act)CKC grants: Section 2113 of the Act,

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The objective of the Childrens Health Insurance Program (CHIP) is to provide funds to States to enable them to maintain and expand child health assistance to uninsured, low-income children, and at a state option, low-income pregnant women and legal immigrants, primarily by three methods: (1) operating a separate CHIP program; (2) expanding eligibility for children under the State's Medicaid program; and (3) or operating both a separate CHIP and a Medicaid expansion program. The objective of the Connecting Kids to Coverage (CKC) Outreach and Enrollment Grants is to reduce the number of uninsured children eligible for Medicaid, CHIP and insurance affordability programs who are not enrolled and improve retention of those who are already enrolled. The latest cohort of grants were authorized under Section 3004(a) of the Helping Ensure Access for Little Ones, Toddlers, and Hopeful Youth by Keeping Insurance Delivery Stable Act (referred to as the HEALTHY KIDS Act and included in Pub. L. 115-120). CMS awarded a total of \$48 million to eligible entities, including states, local governments, schools, health care providers, community-based public or non-profit organizations, and Indian tribes or tribal consortiums, tribal organizations, urban Indian organizations receiving funds under Title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq.), and Indian Health Service providers. These grants support outreach strategies aimed at increasing enrollment of eligible, but not enrolled, children in Medicaid and CHIP, emphasizing outreach and enrollment activities tailored to communities where eligible children and families reside and enlisting community leaders and programs that serve eligible children and families. These grants also fund activities designed to help families understand application procedures and health coverage opportunities under Medicaid and CHIP. The Centers for Medicare & Medicaid Services (CMS) also made awards of \$6 million exclusively for Indian health care providers and tribal entities to conduct outreach and enrollment activities aimed at American Indians and Alaska Natives. Indian health care providers and tribal entities were permitted to apply for either or both funding opportunities as long as the work described was different in each proposal.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

CHIP: No State is eligible for payments for child health assistance for coverage provided prior to October 1, 1997. Standards used to determine eligibility may include those related to geographic areas to be served by the plan. Age, income and resources, residency, disability status (as long as the standard does not restrict eligibility), access to or coverage under other health coverage, and duration of eligibility are factors. Standards may not discriminate on the basis of diagnosis. Eligibility standards must not cover higher-income children without covering lower-income children, and must not deny eligibility based on a child having a pre-existing medical condition. The State must ensure that only targeted low-income children are furnished child health assistance under the plan. Children found through screening to be eligible for Medicaid are to be enrolled in Medicaid. The insurance provided using Federal funds under the State plan does may not substitute for coverage under group health plans. Coordination with other public and private programs providing creditable coverage for low-income children should occur. Child Health Assistance (other than Medicaid), must consist of any of the following: Benchmark coverage; benchmark equivalent coverage (which can be FEHBP-equivalent coverage); State employee coverage or coverage offered through the HMO with the largest insured commercial non-Medicaid enrollment in the State; existing comprehensive State-based coverage; or Secretary-approved coverage. A State child health plan must include a description of the amount (if any) of cost-sharing and must be in accordance with a public schedule. Cost-sharing may be varied in a way that does not favor higher-income children over lower-income children. No cost-sharing is permitted for well-baby and well-child care, including age-appropriate immunizations. Cost-sharing for children at 150 percent of poverty must be consistent with Medicaid; Cost-sharing for children at 150 percent of poverty and above must be based on an income-related sliding scale. The aggregate cost sharing for all children in a family cannot exceed 5 percent of the family's income. The State child health plan may not impose pre-existing condition exclusions for covered benefits. Funds provided to a State under this Title may only be used to carry out the purposes of this Title. Health insurance coverage provided may include coverage of abortion only if necessary to save the life of the mother or if the pregnancy is the result of an act of rape or incest. States may spend up to 10 percent of their total CHIP expenditures (Federal and State) on non-benefit activities, including: outreach conducted to identify and enroll eligible children in CHIP; administration costs; health services initiatives; and other child

health assistance. These expenditures are matched at the enhanced CHIP matching rate and counted against both the 10 percent limit and the allotment. Monetary amounts provided by the Federal government or services assisted or subsidized to any extent by the Federal government, may not be included in determining the amount of nonfederal contributions required for State matching purposes. CKC grants: All Connecting Kids to Coverage awardees must adhere to all HHS financial and program terms and conditions regarding uses and exclusions of funds. All awardees will receive this information in their award packages.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); CHIP: States, Territories, and Commonwealths CKC grants: The following entities are eligible to apply for the Connecting Kids to Coverage Cooperative Agreements: s: States with an approved child health plan under this title [42 U.S.C. Section 1397aa et seq.]; local governments; Indian tribes or tribal consortium, tribal organizations, urban Indian organizations receiving funds under title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq.), or Indian Health Service providers; federal health safety net organizations; national, state, local, or community-based public or nonprofit private organizations, including organizations that use community health workers or community-based doula programs; faith-based organizations or consortia, to the extent that a cooperative agreement awarded to such an entity is consistent with the requirements of Section 1955 of the Public Health Service Act (42 U.S.C. 300x-65) relating to a grant award to nongovernmental entities; and/or elementary or secondary schools may apply. For eligibility requirements for the Connecting Kids to Coverage Cooperative Agreements, refer to the funding opportunity announcements (Agency Funding Opportunity Numbers: CMS-141-19-001 for the General Outreach and Enrollment Grants and CMS- 2D2-20-001 for the Outreach and Enrollment Grants Focused on Increasing the Enrollment and Retention of American Indian and Alaska Native Children in Medicaid and CHIP).

Beneficiary Eligibility:

U.S. Territories; Individual/Family; State; Low Income; U.S. Territories, Individual/Family, State, Low Income CHIP: Targeted low-income children will benefit. These children are defined (for the purposes of Title XXI) as children who have been determined eligible by the State for child health assistance under their State plan; are low-income children as defined by each state and are not found to be covered under a group health plan or under other health insurance coverage. CKC grants: Children who are eligible but unenrolled in coverage will benefit.

Credentials/Documentation:

States and Territories must submit and have approved by the Secretary of HHS, a State Child Health Plan. Individuals must meet State requirements. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. CHIP: Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. CKC grants: Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. For the Connecting Kids to Coverage Cooperative Agreement application and award process, refer to the funding opportunity announcement (Funding Opportunity Number CMS-141-19-001 for the General Outreach and Enrollment Grants and Funding Opportunity Number CMS- 2D2-20-001 for the Outreach and Enrollment Grants Focused on Increasing the Enrollment and Retention of American Indian and Alaska Native Children in Medicaid and CHIP) for additional information. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

ASSISTANCE LISTINGS DESCRIPTIONS

Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. CHIP: Title XXI plans and amendments are submitted by the State Governor, or designee, to the CMS Center for Medicaid and CHIP Services; Children and Adults Health Programs Group (CMCS/CAHPG). The Title XXI plan is a stand alone document that fully addresses each relevant Section of the statutory requirements. CKC grants: The Notice of Funding Opportunity is made available on grants.gov and contains all the instructions and applications materials that a potential applicant requires to apply for grant funding.

Award Procedure:

CHIP: The CMS Administrator exercises delegated authority to approve Title XXI state plans and amendments. Letters of approval will be signed by the CMS Administrator. CKC grants: The cooperative agreements are awarded after the application has gone through a multi-phase review process that consists of at least three expert reviewers. The phases consist of screening applications, panel review of eligible applications, and leadership team review who will make the final recommendations to the CMS approving official.

Deadlines:

CHIP: No deadlines

CKC grants: Application deadlines for the Connecting Kids to Coverage Cooperative Agreements are dependent on the final release date for the Notice of Funding Opportunity Announcement (NOFO). Refer to the website www.insurekidsnow.gov for the NOFO and application deadline updates.

Range of Approval/Disapproval Time:

Other. CHIP: Section 2106 of the Law, specifies that a State plan is considered approved unless the Secretary notifies the State in writing, within 90 days after receipt of the plan, that the plan is disapproved (and the reasons for disapproval) or that specific additional information is needed. Informal clarification and discussion between the State and the DHHS review team is permitted and encouraged during the review period. This does not stop the "90-day clock." The 90-day review period may be stopped by formal written requests for additional information and clarification. The 90-day review period may be stopped as many times as necessary to obtain completed information necessary to disapprove or approve the plan. The 90-day period will resume when the finalized additional information is received by CMS. CKC grants: Cooperative agreements are approved within 120 days after the application has been selected through the three-phase review process.

Appeals:

Other. CHIP: If a State wishes to appeal a disapproval of a state plan amendment, it may petition for a reconsideration of this decision within 60 days after the date of receipt of the disapproval letter, by submitting a written request for reconsideration to the CMS project officer. States also have the option to submit a new state plan amendment following the disapproval, starting a new 90-day review clock. CKC: If an applicant wishes to discuss why their application was denied, they may contact the Office of Acquisitions and Grants Management.

Renewals:

Other. CHIP: An approved State child health plan shall continue in effect unless the State amends that plan or the Secretary finds substantial noncompliance of the plan in accordance with the requirements of Title XXI. CKC grants: The CMS Administrator exercises delegated authority to award cooperative agreements. Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information including the Terms and Conditions. Once an award is made, the funds are posted in recipient accounts established in the Payment Management System (PMS). Grantees may then access their funds by using the PMS funds request process. The funds are then delivered to the recipient via Electronic Funds Transfer.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart , Public Law . Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Statutory Formula: Title Chapter Part Subpart Public Law Matching Requirements: Percent: Other Statutory Formula: Title XXI of the the Act Matching Requirements: Percent: Section 2105(b), provides for an "enhanced Federal Matching Assistance Percentage (EFMAP)" for child health assistance provided under Title XXI that is equal to the current FMAP for the period under section 1905(b) of the Act, increased by 30 percent of the difference between 100 and the current FMAP for that fiscal year, not to exceed 85 percent. For the periods 10/1/2015 9/30/2019 and

10/1/2019 9/30/2020 states EFMAPs were increased by 23 percentage points and 11.5 percentage points, respectively, not to exceed 100 percent. In FY 2009, the CHIPRA implemented a limitation on matching rates for states that propose to cover children with effective family income that exceeds 300 percent of the poverty line to FMAP rather than EFMAP, unless a waiver or State Plan Amendment or state law was in place to cover this population before the enactment of CHIPRA. Section 2104(a) of the Act provides appropriations through September 30, 2027 for the purpose of providing allotments to the states to fund their CHIP programs. States with approved State Plans by the end of the fiscal year are included in the final allotment calculation. In general, in FY 2020, the states annual allotments were calculated as follows The FY 2020 CHIP allotment will be determined in accordance with section 2104(m)(2)(B)(i) of the Act as the sum of your states FY 2019 CHIP allotment and any Contingency Fund payments made to a state in FY 2019 multiplied by a states FY 2020 allotment increase factor as determined under section 2104(m)(6) of the Act. Child Enrollment Contingency Fund payments may be available for states that meet the criteria provided in section 2104(n) of the Act through FY 2027. States may also qualify to receive an increase in their FY 2010, FY 2012, FY 2014, FY 2016, FY 2018, FY 2020, FY 2022, FY 2024, and FY 2026 allotments if they meet the criteria described in section 2104(m)(7) of the Act which includes submitting a request for such an increase by August 30 preceding the fiscal year involved. Matching requirements are voluntary. This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements, see funding agency for further details..

Length and Time Phasing of Assistance:

CHIP: CHIP allotments are obligated to states by issuing grant awards. Under section 2104(e) of the Act, CHIP allotments for a fiscal year are available for expenditure through the end of the succeeding fiscal year. CKC grants: Federal funds are obligated to the Connecting Kids to Coverage grantees by issuing grant awards. To ensure that all of the appropriated funds are available to grantees, CMS will issue cooperative grant awards for one year from the award date to all grantees selected. Awardees must meet all reporting deadlines and demonstrate strong performance to be eligible for a non-competing continuation award for subsequent budget periods. The awards are released as a lump sum into their Payment Management System (PMS) account. Method of awarding/releasing assistance: lump sum.

Reports:

CHIP: States must report annually to CMS on their CHIP programs pursuant to section 2108 of the Act. CKC grants: For the Connecting Kids to Coverage Cooperative Agreements, the following reports are required: the Monthly Enrollment and Renewal Report and Semi-Annual Progress Report templates are provided to grantees to monitor performance and are reviewed by the technical assistance evaluation contractor and CMS Project Officer. Federal Financial Reports (FFR) report cash transaction data, expenditures, and any program income generated are submitted on a quarterly basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

CHIP: Record keeping requirements are described in 42 CFR 457.226, 457.236, 457.965, 457.950, and 457.1201(q). CKC grants: For the Connecting Kids to Coverage Cooperative Agreements, grantees must include an assurance that they

ASSISTANCE LISTINGS DESCRIPTIONS

will collect and verify application and enrollment data as an important measure of program performance, in order to enable the Secretary to monitor grant program administration and compliance and to evaluate and compare the effectiveness of awardees.

Account Identification:

75-0515-0-1-551.

Obligations:

Formula Grants (Apportionments) FY 20 \$1,369,014,961.00; FY 21 est \$888,465,430.00; FY 22 est \$1,892,189,160.00;

Range and Average of Financial Assistance:

CHIP: For matching grants for annual CHIP allotments, the projected awards for FY 2021 will range from \$6,085,339 (American Samoa) to \$3,337,555,291 (California). CKC grants: For the Connecting Kids to Coverage Cooperative Agreements, the awarded amounts ranged from \$297,533 up to \$1,500,000 for the full three years of the project period.

TAFS Codes:

75-0515.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

CHIP: CHIP regulations are located in 42 C.F.R Part 457CKC grants: Regulations and guidance issued related to the Connecting Kids to Coverage (CKC) Outreach and Enrollment Cooperative Agreements may be accessed at: www.insurekidsnow.gov/campaign/funding/index.html. Regulations and guidance issued related to the Children's Health Insurance Program may be accessed at: <https://www.medicaid.gov/chip/index.html>.

Regional or Local Office:

See Regional Assistance Locations. Contact the Regional Administrator, Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for addresses and telephone numbers).

Headquarters Office:

Grace Ponte,
7500 Security Boulevard,
Baltimore MD 21244
Email: Grace.Ponte@cms.hhs.gov
Phone: (410) 786-5780

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

93.778 Medical Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.770 Medicare Prescription Drug Coverage

(Medicare Part D),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Authorized under Part D of Title XVIII of the Social Security Act.,

OBJECTIVES:

To provide prescription drugs to Medicare beneficiaries through their voluntary participation in prescription drug plans, with an additional subsidy provided to lower-income beneficiaries.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Insurance

USES AND USE RESTRICTIONS:

Payments will be made to participating prescription drug plans.

Applicant Eligibility:

Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); An entity organized and licensed under State law as a risk-bearing entity eligible to offer health insurance in each State in which it is to offer a plan, meeting the requirements in 42 CFR 423.504 and 42 CFR 423.505. The entity may offer prescription drug coverage in conjunction with a Medicare Advantage plan or as a separate standalone benefit.

Beneficiary Eligibility:

Individual/Family; Minority group; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Low Income; Eligible beneficiaries include individuals who are entitled to Medicare benefits under Part A or enrolled in Part B and who reside in the plan's service area. Individuals in a Medicare Advantage Plan with Part D coverage may not be separately enrolled in a stand alone prescription drug plan.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Potential sponsors apply to CMS to become an approved prescription drug plan. Generally, individuals enroll directly with the prescription drug program sponsor. The sponsor forwards the enrollment and eligibility information to CMS, which verifies eligibility for the drug benefit. Some individuals who are entitled to both Medicare and Medicaid have been enrolled automatically. Low income beneficiaries may complete a subsidy application at any Social Security office or through their State Medicaid office.

Award Procedure:

Payment will be made by CMS to the prescription drug plan sponsors.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Up to six months for plan sponsors.

Appeals:

Other. Sponsors whose applications to become a prescription drug plan sponsor are rejected have the right to a reconsideration and appeal process. Beneficiaries have the right to a reconsideration and appeal process for adverse coverage determinations.

Renewals:

Other. Contracts with sponsors may be renewed annually. Beneficiaries may enroll and disenroll from plans according to the timeframes established in 42 CFR 423.30-423.46.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Indefinite. Method of awarding/releasing assistance: other

Reports:

Plans must provide periodic reports to CMS, enrollees and the general public on cost; utilization; availability, accessibility and acceptability of services; fiscal soundness and other information required by CMS. Plans must provide an annual report of business transactions and combined financial statements.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Periodic audits of plans by HHS, the Comptroller General or their designees. Periodic audits by CMS and the HHS Office of Inspector General (OIG) of plan cost reporting. Audit by CMS of financial records of at least one-third of participating plans every year.

ASSISTANCE LISTINGS DESCRIPTIONS

Records:

None.

Account Identification:

75-8308-0-7-571.

Obligations:

Insurance FY 20 \$1,055,719,488.00; FY 21 est (\$261,247,808.00); FY 22 est (\$273,116,992.00);

Range and Average of Financial Assistance:

Determined by plan offerings, number of enrollees, and utilization.

TAFS Codes:

75-8308.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are authorized under Part D of Title XVIII of the Social Security Act and are available at 42 CFR 423.

Regional or Local Office:**Headquarters Office:**

Lori Levine,
7500 Security Blvd,
Baltimore MD 21244
Email: Lori.Levine@cms.hhs.gov
Phone: 410-786-7625

Website Address:

<http://www.cms.hhs.gov>

RELATED PROGRAMS:

93.778 Medical Assistance Program; 93.774 Medicare_Supplementary Medical Insurance; 93.773 Medicare_Hospital Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.772 Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement

Tribal Umbrella CoAg,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order 13175, , Public Health Service Act, , 42 USC Code 247(b)(k)(2).

OBJECTIVES:

This program's ultimate outcomes are 1) decreased morbidity and mortality among AI/ANs; 2) advanced capacity of Indian Country to identify, respond to, and mitigate public health threats; 3) improved capacity of the workforce to deliver essential public health services; 4) increased culturally-appropriate practice-based evidence programs and policies that are effective and sustainable throughout Indian Country; and 5) improved capacity to collaboratively and strategically address AI/AN health needs and advance health equity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Historically, tribal public health systems have been separated from the larger US public health system, which has led to limited strategic partnerships with federal, state, and local partners; underdeveloped infrastructure; data access barriers; and diminished public health workforce. Tribal health systems provide public health services across the United States and are central to reducing health disparities in

tribal nations. Building tribal public health infrastructure enhances Indian Country's capacity to prevent disease, promote health, and prepare for and respond to emerging threats and chronic challenges. Implementation of business practice improvements leading to efficiencies and cost savings; education/training of workforce; integration of technology in data collection and information systems, implementation of culturally adapted and traditional evidence-based public health programs, policies and services; development of capacity to meet national standards; development of strategic partnerships to enhance capacity to implement evidenced-based public health intervention strategies; improvement responsiveness to disease trends through culturally relevant public health communication tools; and improvement in quality, availability and accessibility of public health tools and resources.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Executive Order 13175, Consultation and Coordination with Indian Tribal Governments, 65 FR 67, 249, issued by President Clinton on November 6, 2000, and the Presidential Memorandum for the Heads of Executive Departments and Agencies on Tribal Consultation, 74 FR 57881, signed by President Obama on November 5, 2009 [<http://www.gsa.gov/portal/content/101569>] encourage strengthening intergovernmental relations between the federal government and AI/AN tribal nations. As such, eligibility for this CoAg is limited to federally recognized American Indian and Alaska Native (AI/AN) tribal nations and regional tribally designated organizations. AI/AN tribal nations have the right of self-determination and governance over their citizens. In addition, AI/AN tribal nations and regional tribally designated organizations have a unique understanding of AI/AN cultures, fluency in Native languages, knowledge of indigenous history and traditional practices. These entities are the best qualified to address AI/AN public health needs in an efficient, effective, and culturally-appropriate manner. AI/AN tribal public health infrastructure and capacity initiatives and quality improvements are more likely to be sustained when they begin as tribal initiatives rather than as external initiatives. This CoAg will further efforts in Indian Country by supporting a culturally-appropriate approach advocated for and by the CDC/ATSDR Tribal Advisory Committee, tribal public health practitioners and partners. Direct funding will support tribal governments and tribal-serving organizations in making sound and efficient public health planning and resource allocation. The funding method for this CoAg will allow for more programs in CDC to provide direct funding to Indian Country in order to improve tribal public health systems and reach the outcomes stated in the logic model.

Beneficiary Eligibility:

Native American Organizations; American Indian; Federally Recognized Indian Tribal Governments; Eligible applicants should be able to demonstrate tribal affiliation including (1) a record of effectively working with American Indian and Alaska Native populations (2) an ability to methodically and efficiently reach tribal members in American Indian and Alaska Native communities and (3), if recipient is a tribally owned and operated organization, it should provide a letter of approval from Tribal council for the proposed program.

Credentials/Documentation:

An applicant must be in one of the applicant types listed here to be eligible for funding. Federally recognized AI/AN tribal nations must demonstrate support from the tribe by providing an official letter from a currently elected tribal leader, or a tribal resolution. Regional AI/AN tribally designated organizations must demonstrate support in the form of tribal resolutions or letters of support from currently elected tribal leaders from at least half of the tribes within the organization's service area. Official email correspondence from elected leaders will be accepted. Files should be named "Tribal Support", and uploaded as PDFs at www.grants.gov. CDC will consider any application that does not include this documentation as non-responsive and it will not receive further review. Please note the following NOFO-specific terms: Federally recognized AI/AN tribal nations refers to Native American tribal governments (Federally recognized) and American Indian or Alaska Native tribal governments (federally recognized). Regional AI/AN tribally designated organizations refer to Native American tribal organizations (other than federally recognized tribal governments) and American Indian or Alaska Native tribally designated organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each application should address one of the following target population categories: 1) Category A: HHS Regions I, II, III, and IV; 2) Category B: HHS Regions V, VI, and VII; and 3) Category C: HHS Regions VIII, IX, and X. Applications should reflect one or more of the seven collaboratively identified Tribal practices around health and wellness. Each applicant identifies the practices, which will guide their programmatic approach: (1) Family and community activities that connect cultural teachings to health and wellness. (2) Seasonal cultural and traditional practices that support health and wellness. (3) Social and cultural activities that promote community wellness. (4) Tribal, intertribal, governmental, and nongovernmental collaborations that strengthen well-being. (5) Intergenerational learning opportunities that support well-being and resilience. (6) Cultural teachings and practices about traditional healthy foods to promote health, sustenance, and sustainability. (7) Traditional and contemporary physical activities that strengthen well-being.

Award Procedure:

This funding opportunity will use a two-part strategy. Funding Strategy 1: Initial Funding - Responsive applications submitted under this funding opportunity will be reviewed objectively as described in the Review and Selection Process section of this NOFO. Awards under Funding Strategy 1 will support building capacities and capabilities. Applicants selected for Funding Strategy 1 will become part of a group of organizations that are eligible for funding under Funding Strategy 2. Funding Strategy 2: CIO Project Plans - The second funding strategy is subject to the availability of appropriated funds and agency priorities. To maximize CDC's program priorities and health system needs in Indian Country, applicants funded under Funding Strategy 1 will be eligible to apply for additional funding under Funding Strategy 2. Under Funding Strategy 2, CDC will publish and compete CIO project plans according to geographic categories. The plans will be published on www.grants.gov as a supplement to CDC-RFA-OT18-1803. Organizations will submit "Work Plans in Response to CIO Project Plans" that are relevant to the geographic category and target population for which they were awarded funding under Funding Strategy 1.

Deadlines:

February 23, 2018 - April 24, 2018. Letter of Intent (optional) - March 30, 2018

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Other. Annual Performance Report (due 120 days before the end of the budget period; serves as a continuation application) for FY2019-FY2022.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All funds awarded must be spent by the end of the 12 month budget year. There are 5 budget years in the project period. Method of awarding/releasing assistance: lump sum.

Reports:

The recipient must submit the Annual Progress Report via www.Grantsolutions.gov no later than 120 days prior to the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but web links are allowed. This report must include the following: Performance Measures: Recipients must report on performance measures for each budget period and update measures, if needed. Evaluation Results: Recipients must report evaluation results for the work completed to date (including findings from process or outcome evaluations). Work Plan: Recipients must update work plan each budget period to reflect any changes in period of performance outcomes, activities, timeline, etc. Successes: Recipients must report progress on completing activities and progress towards achieving the period of performance outcomes described in the logic model and work plan. Recipients must describe any additional successes (e.g. identified through evaluation results or

lessons learned) achieved in the past year. Recipients must describe success stories. Challenges: Recipients must describe any challenges that hindered or might hinder their ability to complete the work plan activities and achieve the period of performance outcomes. Recipients must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year. CDC Program Support to Recipients: Recipients must describe how CDC could help them overcome challenges to complete activities in the work plan and achieving period of performance outcomes. Administrative Reporting (No page limit) SF-424A Budget Information-Non-Construction Programs. Budget Narrative Must use the format outlined in "Content and Form of Application Submission, Budget Narrative" section. Indirect Cost Rate Agreement. Administrative Reporting (No page limit) SF-424A Budget Information-Non-Construction Programs. Budget Narrative Must use the format outlined in "Content and Form of Application Submission, Budget Narrative" section. Indirect Cost Rate Agreement. As indicated, recipients will submit annual performance reports based on their selected program strategies and activities. These performance measures and the frequency of reporting will vary by project.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Fiscal & Programmatic records pertaining to the program shall be maintained for 3 years, after all closeout actions are completed, or until the completion and resolution of any audit in process or pending.

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$67,024,658.00; FY 21 est \$13,990,848.00; FY 22 est \$13,990,848.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per the Funding Opportunity Announcement OT18-1803, additional applicable HHS/CDC regulations and requirements specified, detailed and listed in corresponding Notice of Award apply.

Regional or Local Office:

None.

Headquarters Office:

Kimberly J. Wilson,
MS V18-1, Centers for Disease Control & Prevention,
Atlanta GA 30329
Email: KJWilson@cdc.gov
Phone: 404-498-6121
Fax:

Website Address:

<https://www.cdc.gov/tribal/>

RELATED PROGRAMS:

93.098 Tribal Public Health Capacity Building and Quality Improvement; 93.421 Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

CRITERIA FOR SELECTING PROPOSALS:

As specified in the funding opportunity announcement OT18-1803, Section II E, application review and Selection Process. An objective review panel with experience working with tribes or tribal organizations will evaluate complete applications. Reviewers will be primarily selected from a pool of CDC subject matter experts with demonstrated experience working with tribes or in tribal settings to establish cultural competence. The specific objective reviewer scores will be used to produce a rank order of proposals. However, proposals may be funded out of rank order based upon the following criteria: health conditions and geographical diversity of populations that the applicants will directly serve.

93.773 Medicare Hospital Insurance

(Medicare Part A),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Affordable Care Act (Public Law 111-148), American Recovery and Reinvestment Act of 2009, Public Law 111-5, Title XVIII of the Social Security Act, Health Care and Education Reconciliation Act (Public Law 111-152),

OBJECTIVES:

To provide hospital insurance protection for covered services to persons age 65 or above, to certain disabled persons and to individuals with chronic renal disease.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Insurance

USES AND USE RESTRICTIONS:

Hospital insurance benefits are paid to participating hospitals, inpatient rehabilitation facilities, skilled nursing facilities, home health agencies, and hospice agencies. Payments are made in order to cover the prospective payment amount or reasonable cost of medically necessary services furnished to individuals who are entitled under this program. In limited circumstances, Medicare will also pay for certain aspects of receiving care in an inpatient religious non-medical health care setting.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Persons age 65 or over and certain disabled persons are eligible to receive hospital insurance benefits. Nearly all individuals who had reached the age of 65 before 1968 are eligible for Part A, including people not eligible for cash Social Security benefits. A person reaching the age of 65 from 1968 to the present, and who is not eligible for social security benefits, needs to have accumulated work credits (amount dependent on age) to qualify for hospital insurance benefits. Hospital insurance (Medicare Part A) is also available to persons aged 65 and over through payment of a monthly premium, which is currently \$437 per month, effective January 1, 2019. A reduced Part A premium of \$240 per month is applied to persons with 30 to 39 quarters in which they have paid into the social security system. This reduced Part A premium applies to their spouse, surviving spouse or divorced spouse as well. Federal employees began contributing toward Medicare hospital insurance coverage in 1983. Employees who have worked in the federal government prior to this year are still eligible to receive credit for prior non-contributory quarters of Federal employment. State and local government employees not already in Social Security-covered positions and who were hired on or after April 1, 1986 also contribute toward Medicare hospital insurance coverage. Although states may request agreements to cover individuals employed prior to April 1, 1986, no credit is given toward establishing Medicare entitlement for prior employment. Persons under the age of 65 who have been entitled to Social Security or Railroad Retirement disability benefits for at least 29 months are also eligible to receive hospital insurance benefits, as are any individuals who have been diagnosed with End Stage Renal Disease (ESRD).

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Senior Citizen (60+); Pension Recipient; Persons age 65 or over and qualified disabled persons.

Credentials/Documentation:

Proof of age or disability. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Telephone or visit the local Social Security Office. Individuals entitled to Social Security or railroad retirement are enrolled without application.

Award Procedure:

The individual will be notified by mail of enrollment, whether automatic or applied for.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. None

Appeals:

Other. Telephone or visit the local Social Security Office or Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal Courts.

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

75-8005-0-7-571.

Obligations:

Insurance FY 20 \$1,363,041,472.00; FY 21 est (\$1,100,318,272.00); FY 22 est (\$1,760,252,864.00);

Range and Average of Financial Assistance:

Benefits may be paid based on the prospective payment amount or the reasonable costs of covered inpatient hospital services and based on the reasonable costs of covered post-hospital extended care services, which are incurred during a benefit period. For benefit periods beginning in calendar year 2020 the beneficiary is responsible for \$1,408 inpatient hospital deductible, a \$352 per day coinsurance amount for 61 through 90 days of inpatient hospital care, a \$704 per day coinsurance amount for inpatient hospital care during the 60 lifetime reserve days, and a \$176.00 per day coinsurance amount for days 21 through 100 of care in a skilled nursing facility. Home health services are paid in full.

TAFS Codes:

75-8005.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405 and 422; Title 42, Parts 400, 401, 405, 406, 409, 412, 417, and 418. " Medicare and You," SSA-79-10050, and other publications are available from any Social Security Office without charge.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

See Regional Assistance Locations. Consult Appendix IV of the Catalog for a listing of Regional Offices.

Headquarters Office:

Budget Analyst Rob Ludwig,
7500 Security Boulevard,
Baltimore MD 21244
Email: Robert.Ludwig@cms.hhs.gov
Phone: 4107865407
Fax:

Website Address:

<http://www.cms.hhs.gov>

RELATED PROGRAMS:

93.770 Medicare_Prescription Drug Coverage; 57.001 Social Insurance for Railroad Workers; 93.774 Medicare_Supplementary Medical Insurance; 96.001 Social Security_Disability Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.774 Medicare Supplementary Medical Insurance

(Medicare Part B),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act Title XVIII, Section 1831.,

OBJECTIVES:

To provide medical insurance protection for covered services to persons age 65 or over, to certain disabled persons and to individuals with end-stage renal disease. Enrollment in Part B is voluntary.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Insurance

USES AND USE RESTRICTIONS:

Managed care benefits are paid on the basis of Medicare capitation rates. Fee-for-service benefits are paid on the basis of fee schedules or other approved amounts for services furnished by physicians and other suppliers of medical services to aged or disabled enrollees. Benefits are paid on the basis of prospective payment systems for certain covered services furnished by participating providers such as hospitals and home health agencies.

Applicant Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); All persons who are eligible for premium-free hospital insurance benefits (see 93.773), and persons age 65 and older who reside in the United States and are either citizens or aliens lawfully admitted for permanent residence who have resided in the United States continuously during the five years immediately preceding the month in which the application for enrollment is filed, may voluntarily enroll for Part B supplementary medical insurance (SMI). The beneficiary pays a monthly premium and an annual deductible. Beginning in calendar year 2008, the Part B premiums have been set based upon beneficiary income. The calendar year 2019 premiums range from \$135.50 to \$460.50 per month. The annual deductible is \$185.00. Some States and other third parties may pay the SMI PART B premium on behalf of qualifying individuals.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Senior Citizen (60+); Pension Recipient; All persons who qualify for hospital insurance, and those who do not qualify for hospital insurance but meet eligibility requirements and choose to purchase Part "B".

Credentials/Documentation:

Proof of age, disability or lawful admission status. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Telephone or visit the local Social Security Office. Most persons entitled to hospital insurance and already receiving benefits from Social Security or the Railroad Retirement Board are enrolled automatically for supplementary medical insurance. Since the program is voluntary, you may decline coverage. Persons not entitled to hospital insurance must file an application.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Telephone or visit the local Social Security Office or the Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews, of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal courts.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Providers, suppliers, and Medicare Advantage plans are subject to audit requirements.

Records:

None.

Account Identification:

75-8004-0-7-571.

Obligations:

Insurance FY 20 \$1,727,139,584.00; FY 21 est \$685,106,880.00; FY 22 est (\$137,566,080.00);

Range and Average of Financial Assistance:

Generally, with exceptions of certain services, the beneficiary is responsible for meeting the annual \$185 deductible before you may begin. Thereafter, Medicare pays a percent of the approved amount of the covered service. This percentage is 80 percent for most services.

TAFS Codes:

75-8004.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405, and 422; Title 42, Parts 401, 405, 407, 408, 410, 413, 416, and 417. "Your Medicare Handbook," and other publications are available from any Social Security Office without charge.

Regional or Local Office:

See Regional Assistance Locations. Consult Appendix IV of the Catalog for listing

ASSISTANCE LISTINGS DESCRIPTIONS

of Regional Offices.

Headquarters Office:

Lori Levine,
7500 Security Blvd,
Baltimore MD 21244
Email: Lori.Levine@cms.hhs.gov
Phone: 4107867625
Fax:

Website Address:

<http://www.cms.hhs.gov>

RELATED PROGRAMS:

64.012 Veterans Prescription Service; 64.013 Veterans Prosthetic Appliances;
93.778 Medical Assistance Program; 93.770 Medicare_Prescription Drug
Coverage; 57.001 Social Insurance for Railroad Workers; 96.001 Social
Security_Disability Insurance; 93.773 Medicare_Hospital Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.775 State Medicaid Fraud Control Units

(SMFCU's),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND
HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act (The Act), as amended, Title XIX, Section 1902(a)(61)

OBJECTIVES:

To investigate and prosecute Medicaid provider fraud as well as patient abuse or
neglect in health facilities and board and care facilities and of Medicaid
beneficiaries in non-institutional or other settings.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The statute authorizes 90 percent matching funds for the first 12 quarters, and 75
percent matching funds thereafter for investigation and prosecution of fraud and
patient abuse in the State Medicaid Programs. A unit must be separate and distinct
from the single State Medicaid agency. A unit must also employ sufficient
professional, administrative and support staff to carry out its duties and
responsibilities in an effective and efficient manner.

Applicant Eligibility:

State; States, the District of Columbia, and the U.S. Territories. An established
State Medicaid Fraud Control Unit must be a single identifiable entity of the State
government which the Secretary certifies (and the Office of Inspector General
annually re-certifies) as complying with the requirements of 1903(q) of the Social
Security Act (42 CFR 1007) regarding location, function and procedure. Applicants
must also comply with section 1902 (a)(61) of the Act as amended by the Omnibus
Budget Reconciliation Act of 1993. Tribes (American Indian/Alaskan
Native/Native American) are not eligible to apply.

Beneficiary Eligibility:

State; Grantees are State entities.

Credentials/Documentation:

Units must submit applications containing proposed organization, administration,
agreements, detailed budgets and procedures for certification of eligibility (see 42
CFR 1007). Costs will be determined in accordance with 2 CFR 200 and 45 CFR
75. Governors in States applying for Federal assistance must approve the
application pursuant to 42 CFR 1007.15(a). 2 CFR 200, Sub-part E - Cost
Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

is not required for this program. This program is excluded from coverage under
E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit
Requirements for Federal Awards applies to this program. The standard application
forms (SF 424 and SF 424A) must be used for the application. The Inspector
General's staff is available to assist with technical development of programs, plans,
and budget amendments and revisions.

Award Procedure:

States are awarded funds based on a detailed description of estimated expenditures
needed to support the program. Award funds are distributed quarterly on a fiscal
year basis as follows: October 1, January 1, April 1, and July 1.

Deadlines:

Contact the headquarters or regional location, as appropriate for application
deadlines.

Range of Approval/Disapproval Time:

Other. The time required to process an application may take up to 90 days.

Appeals:

From 60 to 90 days. If the application is not approved, the State may submit an
amended application at any time.

Renewals:

Other. The application for the annual re-certification must be submitted 60 days
prior to the end of each certification period.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching
Requirements: Percent: 0%. Requirement: Matching requirements are mandatory.
States are awarded, through the issuance of a grant award, for 90 percent of their
costs for the first 12 quarters, and 75 percent thereafter, computed against a
quarterly maximum allowable of the higher of \$125,000, or one-fourth of 1 percent
of the sums expended by the Federal, State, or local government in carrying out the
State plan under Title XIX of the Social Security Act.MOE requirements are not
applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant periods are 1 year in length and funds are distributed quarterly based on an
approved annual budget and limitations on entitlement. Method of
awarding/releasing assistance: quarterly

Reports:

Annual Reports are required and must be submitted 60 days prior to the expiration
of the MFCU's certification period. Federal Cash Transaction Reports (FCTR) to
report cash transactions are required on a quarterly basis to PMS. An Annual
Report to report the MFCU's statistics is required. Expenditure reports on the SF-
425 are required to be submitted in PMS and are due 30 days following each
quarter and a final expenditure report is required and is due 90 days after the grant
period end date. Performance monitoring is required through the submission of
annual reports by the MFCUs to HHS/OIG and through site visits to the MFCUs
that are conducted by the HHS/OIG.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,
nonfederal entities that expend financial assistance of \$750,000 or more in Federal
awards will have a single or a program-specific audit conducted for that year. Non-
Federal entities that expend less than \$750,000 a year in Federal awards are exempt
from Federal audit requirements for that year, except as noted in 2 CFR 200.503
OIG performs periodic onsite reviews of the State program, as appropriate, as well
as an annual re-certification review of each SMFCU.

Records:

All records are to be retained for 3 years, in accordance with 45 CFR 75.361.

Account Identification:

75-0512-0-1-551.

Obligations:

Formula Grants FY 20 \$290,000,000.00; FY 21 est \$299,000,000.00; FY 22 est
\$308,000,000.00;

Range and Average of Financial Assistance:

FY 2021: Range \$344,536 to \$41,264,032; Average \$5,408,956

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

75-0512.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 1007, 45 CFR 75, and Performance Standards originally issued September 1994 and revised in June 2012.

Regional or Local Office:**Headquarters Office:**

Director, Medicaid Fraud Policy Oversight Division Richard B. Stern,
330 Independence Ave., S.W., Cohen Building,
Washington DC 20201
Email: richard.stern@oig.hhs.gov
Phone: (202) 619-0480

Website Address:

<http://www.oig.hhs.gov>

RELATED PROGRAMS:

93.778 Medical Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII)

Medicare

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Sections 1863, 1864, 1865, 1902, 1903, and 1919, Title XVIII, and the IMPACT Act P.L. 113-185,

OBJECTIVES:

To provide financial assistance to any State which is able and willing to determine through its State health agency or other appropriate State agency that providers and suppliers of health care services are in compliance with Federal regulatory health and safety standards and conditions of participation.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Formula Grants

USES AND USE RESTRICTIONS:

Funds are made available to States for the purpose of inspecting providers and suppliers of health care services, to ensure mandatory adherence to Medicare/Medicaid health and safety standards and conditions. Funds made available under these programs are used to support or reimburse State staff for performing survey activities and for State administration of the program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Under Title XVIII, States enter into Section 1864 agreements with the Secretary of Health and Human Services whereby the designated agency of the State will be supported or reimbursed for on-site inspection of health care providers and suppliers. The designated State agency is usually that unit performing licensure activities within the State health department.

Beneficiary Eligibility:

State; NA

Credentials/Documentation:

A signed 1864 Agreement for Title XVIII in order to carry out the survey function, 2 CFR 200 applies to this program 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Sign an 1864 Agreement for support of Medicare/Medicaid survey and certification activities.

Award Procedure:

States are provided a quarterly award based on an approved annual budget under Title XVIII, IMPACT Act, and quarterly formula grants under Title XIX. States are reimbursed for Title XVIII and Title XIX survey activities under Electronic Funds Transfer System procedures. States draw funds through the Department's Payment Management System (PMS).

Deadlines:

August 10, 2010. By the end of the 4th quarter of the previous Fiscal Year, Annual budget proposals for Title XVIII for the upcoming Fiscal Year are requested from the appropriate State agency as negotiated with Regional Office. By the end of the 4th quarter of the previous Fiscal Year, Annual budget proposals for Title XVIII and IMPACT Act for the upcoming Fiscal Year are requested from the appropriate State agency as negotiated with Regional Office. By the end of the 4th quarter of the previous Fiscal Year, Annual budget proposals for Title XVIII and IMPACT Act for the upcoming Fiscal Year are requested from the appropriate State agency as negotiated with Regional Office.

Range of Approval/Disapproval Time:

From 30 to 60 days. This timeline is for initial approval or disapproval.

Appeals:

Other. Title XVIII appeals are reviewed by the Armed Services Contracts Appeal Board.

Renewals:

Other. Ongoing renewals are awarded to States that are willing and able to conduct required survey activities in compliance with statutory mandate.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. States operate under 1864 Agreement (Title XVIII). Quarterly expenditure reports are required 30 days after the close of each quarter and monitoring is carried out by the Department of Health and Human Services. Performance monitoring is required. Comprehensive final reports are due no later than 90 days after termination projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 DHHS Office of Inspector General staff or designated representatives make periodic audits of State survey agencies. In addition, under the Single State Audit Act, States may elect to conduct their own nonpartisan audits and certify the results to the Secretary of Health and Human Services.

Records:

States must maintain surveyor time records, line item and expenditure documentation, which substantiate the costs relating to survey activities.

Account Identification:

75-0511-0-1-550.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Direct Payments for Specified Use FY 20 \$362,819,941.00; FY 21 est \$368,138,680.00; FY 22 est \$439,678,143.00;

Range and Average of Financial Assistance:

FY 19 range is from \$524,726 to \$46,465,231 with an average of \$6,650,795 (includes IMPACT Act funding). FY 20 range is from \$502,561 to \$46,693,435 with an average of \$6,596,726 (includes IMPACT Act funding).

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title XVIII, Social Security Act as amended Sections 1863, 1864 and 1865.

IMPACT Act P.L. 113-185

Regional or Local Office:

See Regional Assistance Locations. Contact the Regional Administrator for Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for listing of Regional Offices).

Headquarters Office:

David Wright,
7500 Security Blvd,
Baltimore MD 21244
Email: David.Wright@cms.hhs.gov
Phone: 410786-9493

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.778 Medical Assistance Program; 93.774 Medicare_Supplementary Medical Insurance; 93.773 Medicare_Hospital Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selecting criteria for Federal funding of State certification proposals are available to State survey agencies upon request.

93.778 Medical Assistance Program

(Medicaid; Title XIX),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title XIX, as amended; Public Laws 89-97, 90-248, and 91-56; 42 U.S.C. 1396 et seq., as amended; Public Law 92-223; Public Law 92-603; Public Law 93-66; Public Law 93-233; Public Law 96-499; Public Law 97-35; Public Law 97-248; Public Law 98-369; Public Law 99-272; Public Law 99-509; Public Law 100-93; Public Law 100-202; Public Law 100-203; Public Law 100-360; Public Law 100-436; Public Law 100-485; Public Law 100-647; Public Law 101-166; Public Law 101-234; Public Law 101-239; Public Law 101-508; Public Law 101-517; Public Law 102-234; Public Law 102-170; Public Law 102-394; Public Law 103-66; Public Law 103-112; Public Law 103-333; Public Law 104-91; Public Law 104-191; Public Law 104-193; Public Law 104-208,104-134; Balanced Budget Act of 1997, Public Law 105-33; Public Law 106-113; Public Law 106-554; Public Law 108-27; Public Law 108-173; Public Law 109-91; Public Law 109-171; Public Law 109-432; Public Law 110-28; Public Law 110-161; Public Law 111-3; Public Law 111-5; Public Law 111-8; Public Law 111-31; Public Law 111-68; Public Law 111-88; Public Law 111-117; Public Law 111-118; Public Law 111-148; Public Law 111-150; Public Law 111-150; Public Law 111-152; Public Law 111-309, Public Law 112-10, Public Law 112-33, Public Law 112-36, Public Law 112-55, Public Law 112-74, Public Law 112-78, Public Law 112-96, Public Law 112-175, P.L. 113-6, Public Law 113-46, Public Law 113-73, Public Law 113-76, Public Law 113-235, Public Law 114-10, Public Law 114-113, Public Law 115-31.,

OBJECTIVES:

To provide financial assistance to States for payments of medical assistance on behalf of cash assistance recipients, children, pregnant women, and the aged who meet income and resource requirements, and other categorically-eligible groups. In certain States that elect to provide such coverage, medically-needy persons, who, except for income and resources, would be eligible for cash assistance, may be eligible for medical assistance payments under this program. Financial assistance is provided to States to pay for Medicare premiums, copayments and deductibles of qualified Medicare beneficiaries meeting certain income requirements. More limited financial assistance is available for certain Medicare beneficiaries with higher incomes.

TYPES OF ASSISTANCE:

Formula Grants, Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

For the categorically needy, States must provide in and out-patient hospital services; rural health clinic services; federally-qualified health center services; other laboratory and x-ray services; nursing facility services, home health services for persons over age 21; family planning services; physicians' services; early and periodic screening, diagnosis, and treatment for individuals under age 21; pediatric or family nurse practitioner services; and services furnished by a nurse-midwife as licensed by the States. For the medically needy, States are required to provide a minimum mix of services for which Federal financial participation is available (see section 1902(a)(10)(C)(iv) of the Social Security Act).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State and local welfare agencies must operate under an HHS-approved Medicaid State Plan and comply with all Federal regulations governing aid and medical assistance to the needy.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Child (6-15); Youth (16-21); Senior Citizen (60+); Low-income persons who are over age 65, blind or disabled, members of families with dependent children, low-income children and pregnant women, certain Medicare beneficiaries and, in many States, medically-needy individuals may apply to a State or local welfare agency for medical assistance. At the State's option, eligibility to non-elderly individuals with family incomes up to 133 percent of the federal poverty level will start in calendar year 2014. Eligibility is determined by the State in accordance with Federal regulations.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency. Individuals must meet State requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Individuals needing medical assistance should apply directly to the State or local welfare agency. States should contact the Regional Administrator, CMS for application forms. (See Appendix IV of the Catalog for agency Regional Office addresses.)

Award Procedure:

States are awarded funds quarterly based on their estimates of funds needed to provide medical assistance to the needy. Awards are made quarterly on a fiscal year basis as follows: October 1, January 1, April 1, and July 1. Individuals receive medical care from providers of medical care who are participating in the Medicaid program.

Deadlines:

November 15, 2010. February 15, 2011. June 15, 2011. August 08, 2013. An individual needing medical assistance may apply to the State at any time. States must submit quarterly estimates of funds needed no later than August 8, November 15, February 15, and May 15, in order to receive a timely quarterly grant award for

ASSISTANCE LISTINGS DESCRIPTIONS

the following quarter.

Range of Approval/Disapproval Time:

Other. Up to 60 days. The States usually provide needy individuals with immediate medical assistance.

Appeals:

Other. Individuals denied medical assistance by the State or local welfare agency must be given a fair hearing on appeal (see 42 CFR, Subchapter C, Part 431, Subpart E). States have 60 days to resubmit revised applications.

Renewals:

Other. Recipients receive assistance as long as they are qualified under State requirements.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Federal funds are available to match State expenditures for medical care. Under the Act, the Federal share for medical services may range from 50 percent to 83 percent. The statistical factors used for fund allocation are: (1) Medical assistance expenditures by State; and (2) per capita income by State based on a 3-year average (source, "Personal Income," Department of Commerce, Bureau of Economic Analysis). Statistical factors for eligibility do not apply to this program. This program has maintenance of effort (MOE) requirements, see funding agency for further details. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

The needy receive medical assistance as necessary. States receive funds quarterly. The Electronic Transfer System will be used by States for monthly cash draws on the Federal Reserve Bank. Method of awarding/releasing assistance: lump sum.

Reports:

States must submit fiscal and statistical reports, as required, to the Centers for Medicare and Medicaid Services, Department of Health and Human Services. A Treasury Report TUS-5401 is required monthly. States must submit certified expenditure reports within 30 days after the end of each quarter.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

States must maintain records which substantiate direct and indirect costs charged to the grant award activity.

Account Identification:

75-0512-0-1-551.

Obligations:

Formula Grants (Apportionments) FY 20 (\$684,231,816.00); FY 21 est \$310,179,928.00; FY 22 est \$563,667,540.00;

Range and Average of Financial Assistance:

\$18,426,000 TO \$59,200,006,000. Average assistance is \$7,638,304,000

TAFS Codes:

75-0512.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR, Subchapter C.

Regional or Local Office:

See Regional Assistance Locations. Contact the Associate Regional Administrator, Division of Medicaid, Center for Medicaid, CHIP and Survey & Certification. (See Appendix IV of the Catalog for addresses and telephone numbers.).

Headquarters Office:

Associate Regional Administrator Division of Medicaid,
7500 Security Boulevard,
Baltimore MD 21244
Email: sean.danus@cms.hhs.gov

Phone: (410) 786-3870.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.560 Family Support Payments to States_Assistance Payments; 64.012 Veterans Prescription Service; 64.013 Veterans Prosthetic Appliances; 93.767 State Children's Insurance Program; 93.110 Maternal and Child Health Federal Consolidated Programs; 93.774 Medicare_Supplementary Medical Insurance; 96.006 Supplemental Security Income; 93.775 State Medicaid Fraud Control Units; 93.777 State Survey and Certification of Health Care Providers and Suppliers; 93.773 Medicare_Hospital Insurance; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations

(CMS Research),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title XI, Sections 1110 and 1115; 42 U.S.C. 1310 and 1315(a); Title XVIII, Section 1875; 42 U.S.C. 1395 and 42 U.S.C. 1881 (f); Section 402, Public Law 90-248, as amended; Section 222, Public Law 92-603.,

OBJECTIVES:

The Centers for Medicare & Medicaid Services (CMS) conducts research, demonstrations, and evaluations in support of CMS' key role as a beneficiary-centered purchaser of high-quality health care at a reasonable cost. These grants are awarded are in the form of research grants and cooperative agreements; Hispanic health services grants; historically black colleges and university grants. For fiscal years 2010 and 2011, CMS research, demonstrations and evaluations will focus on expanding agency efforts to improve the efficiency of payment, delivery, access and quality of our health care programs that serve millions of beneficiaries.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Under all authorizations, all applications must meet standards of excellence in research or evaluation design. Funds may not be used for construction or renovation of buildings. Funds authorized by Section 1115 of the Social Security Act are limited to State agencies administering the Medicaid program. The research issues and/or hypotheses identified by a project should be clearly stated and realistic and should reflect the issues of interest to CMS and, where appropriate, HHS, Federal Government, and the broader health services research community. The research design should clearly identify the measures that will be collected and analyzed to address the issues and/or hypotheses. These proposed measures should reflect concepts and variables that are consistent with the stated issues and hypotheses. The research design should also address the reliability of measures i.e., a measurement should not change when the concept being measured remains constant in value. A common reliability concern is the level of inter-rater reliability of an instrument, determined by the extent to which two or more persons measuring the same characteristic would assign the same score. The research design should address the validity of proposed measures i.e., the extent to which differences in scores on variable or the measuring instrument represent true differences in the characteristic we are trying to measure, rather than constant or random errors or the influence of other factors.

Applicant Eligibility:

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State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Grants or cooperative agreements may be made to private, or public agencies or organizations, including State agencies that administer the Medicaid program. Private profit organizations may apply.

Beneficiary Eligibility:

Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Welfare Recipient; Low Income; All Medicare and Medicaid beneficiaries are eligible.

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to cooperate when the project involves collaborative efforts or the utilization of non-CMS facilities or services. Costs will be determined in accordance with OMB Circular No. A-102 for State and local governments. The standard forms, as furnished by DHHS and required by OMB Circular No. A-102, must be used. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. CMS research and demonstration projects are solicited by publication in grants.gov as well.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application forms are submitted to the Acquisition and Grants Group, CMS, 2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. A letter of intent to file an application is necessary 30 days prior to the closing date of each solicitation cycle.

Award Procedure:

Official notice of approved applications is made through issuance of a Notice of Cooperative Agreement or Grant Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Range from 150 to 180 days.

Appeals:

Other. No formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated. Applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval. In the case of solicited proposals, extensions may be allowed to prepare revisions which clarify various aspects of projects.

Renewals:

Other. Extensions and continuations of projects are available if formally applied for and approved. If a grant/cooperative agreement application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Reports of progress and expenditures are required on all projects. Comprehensive final reports are due no later than 90 days after termination of projects. Reports of progress are required on all projects. Reports on expenditures are required on all projects. Reports on performance is required on all projects.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0511-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$500,000.00; FY 21 est \$500,000.00; FY 22 est \$4,230,031.00;

Range and Average of Financial Assistance:

Not determined at this time.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

Regional or Local Office:

See Regional Assistance Locations. Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices.)

Headquarters Office:

Center for Strategic Planning, Office of Research, Development and Information of Research,

7500 Security Boulevard,

Baltimore MD 21244

Email: research@cms.hhs.gov

Phone: (1-800) 633-4277.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.778 Medical Assistance Program; 93.774 Medicare_Supplementary Medical Insurance; 93.773 Medicare_Hospital Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The review process for grants/cooperative agreements consists of initially screening applications for completeness and relevancy to CMS priority areas. If the application is not relevant to CMS priority areas, it will be returned to the applicant. If accepted as submitted it will be reviewed and evaluated. The review will be conducted by a panel of not less than three experts. CMS Project Officer will coordinate the panel's review, but will not vote. This individual will also prepare the panel's recommendation to the Director, Office of Research, Development, and Information (ORDI). The panel's recommendations will contain numerical ratings, rankings of applications, and a written assessment of each application. The recommendations will be based on published criteria as stated in the Federal Register. The review process for applications is also stated in the Federal Register Announcement.

93.787 Title V Sexual Risk Avoidance Education Program (Discretionary Grants)

ASSISTANCE LISTINGS DESCRIPTIONS

Title V Sexual Risk Avoidance Education Program (Discretionary Grants),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Title V SRAE Program is authorized and funded by section 510 of the Social Security Act (42 U.S.C. 710), as amended by section 50502 of the Bipartisan Budget Act of 2018 (Public Law No. 115-123) and extended by Division CC, Title III, Section 303 of the Consolidated Appropriations Act, 2021 (Public Law No. 116-260), Title V Social Security Act, Section 510, Public Law 115-123, 42 USC Code 710.

OBJECTIVES:

The purpose of the Title V Competitive SRAE Program is to fund projects to implement education exclusively on sexual risk avoidance that teaches youth participants to voluntarily refrain from non-marital sexual activity. Title V Competitive SRAE is a prevention education program targeted to youth ages 10 to 19. Eligible applicants are expected to submit plans for the implementation of sexual risk avoidance education that normalizes the optimal health behavior of avoiding non-marital sexual activity. The program is designed to teach youth the holistic and individual societal benefits associated with personal responsibility; self-regulation; goal setting; healthy decision-making; a focus on future goals; and how other youth risk behaviors, such as drug and alcohol usage, increase the risk for teen sex. Programmatic services must be medically accurate and complete; age-appropriate; based on adolescent learning and developmental theories for the age group receiving the education; and culturally appropriate, recognizing the experiences of youth from diverse communities, backgrounds, and experiences. Education on sexual risk avoidance must address each of the following topics: (A) The holistic individual and societal benefits associated with personal responsibility, self-regulation, goalsetting, healthy decision making, and a focus on the future. (B) The advantage of refraining from non-marital sexual activity to improve the future prospects and physical and emotional health of youth. (C) The increased likelihood of avoiding poverty when youth attain self-sufficiency and emotional maturity before engaging in sexual activity. (D) The foundational components of healthy relationships and their impact on the formation of healthy marriages and safe and stable families. (E) How other youth risk behaviors, such as drug and alcohol usage, increase the risk for teen sexual activity. (F) How to resist and avoid, and receive help regarding, sexual coercion and dating violence, recognizing that even with consent teen sex remains a youth risk behavior.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Title V Competitive SRAE discretionary grant program supports funding to projects that provide educational services for youth ages 10 to 19 that normalizes the optimal health behavior of avoiding non-marital sexual activity. Title V Competitive SRAE Programs are designed to teach youth the benefits associated with: personal responsibility; self regulation; goal setting; healthy decision-making; focusing on the future; and preventing youth risk behaviors such as underage drinking and illicit drug use.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Small business (less than 500 employees); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Federally Recognized Indian Tribal Governments; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Government - General; U.S. Territories and possessions (includes institutions of higher education and hospitals); Eligible applicants for FY2021 awards are limited to local organizations and entities or consortia with the capacity to develop and implement Title V Competitive SRAE projects in states and territories that did not accept Title V State SRAE funding. Applicants located in and/or proposing to manage a project from an ineligible state cannot propose a project that serves an eligible state. Funds are not available for FY2021 new awards in Delaware, Kansas, North Dakota, Wyoming, American Samoa, and the U.S. Virgin Islands as a portion of FY2021 allocations will be used to fund the second year of the two-

year project of competitive grants awarded in FY2020. Eligible applicants for FY021 new awards are organizations and entities, including faith-based organizations or consortia, in the following states and territories: Alaska, California, Connecticut, District of Columbia, Hawaii, Illinois, Maine, New Hampshire, Rhode Island, Vermont, Washington, Guam, Marshall Islands, Northern Mariana Islands, and Palau.

Beneficiary Eligibility:

Child (6-15); Youth (16-21); Black; American Indian; Spanish Origin; Asian; Other Non-White; Juvenile Delinquent; Title V Competitive SRAE discretionary grant program will fund organizations to provide youth ages 10 to 19 with education on sexual risk avoidance (voluntarily refraining from sexual activity) and other risky behaviors.

Credentials/Documentation:

Non-profit organizations must submit proof of non-profit status. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NOFO for this listing will be posted on Grants.gov (opens in new window)(opens in new window). Applicants may find Notice of Funding Opportunities (NOFOs) on <https://grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the notice of funding opportunity (NOFO). Each panel is composed of experts with knowledge and experience in the area under review. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested.

Deadlines:

See the notice of funding opportunity (NOFO) for deadline information.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications recommended for approval in the objective review process, but not selected for award, may receive funding if additional funds become available in the current Fiscal Year. For those applications determined as approved but unfunded, notice will be given of the determination by email.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals may take place in accordance with 45 CFR Part 16, subject to

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the limitations of the Appendix A.

Renewals:

Other, Non-Competing Continuation (NCC) applications are required to request grant funds for each new budget period of the project period, and to ensure that proposed programmatic activities are consistent with the scope of the program announcement. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government. Awards are based upon appropriate performance/progress and availability of funds. The grantee will receive notification through the Grant Solutions system when the Non-Competing Continuation Application is available for completion. The recipient must submit a Non-Competing Continuation packet through Grant Solutions by the due date indicated.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Discretionary awards will have a 24-month project period with two 12-month budget periods. Method of awarding/releasing assistance: Method of awarding/releasing assistance: other

Reports:

Each award recipient will submit semi-annual performance progress and performance measure data reports. Grantees must submit all required reports in a timely manner and in the recommended format provided. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of performance progress reporting is semi-annually. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements> Financial/expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting is semi-annually. For more information, see: <https://www.acf.hhs.gov/grants/post-award-requirements>. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personal-property#book_content_2. FYSB requires project officers to conduct routine performance reviews that includes off-site and on-site monitoring of the Title V Competitive SRAE grants.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The Department of Health and Human Services (HHS) adopted the Office of Management and Budget (OMB) Guidance in 2 CFR part 200, and has codified the text, with HHS-specific amendments in 45 CFR Part 75. ACF recipients must follow the requirements in 45 CFR Part 75. Audits are conducted in accordance with the requirements of 45 CFR Part 75 Subpart F. 45 CFR Part 75 Subpart F applies to this program.

Records:

Retention requirements for records are covered by the provisions of 45 CFR 75.361-365.

Account Identification:

75-1512-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$11,892,255.00; FY 21 est \$13,389,260.00; FY 22 est \$13,389,260.00;

Range and Average of Financial Assistance:

The FY2021 estimated range in awards is \$13,501 to \$450,000 with an estimated average award of \$350,000. The FY2020 range in awards was \$13,501 to \$450,000 with an average award of \$400,000.

TAFS Codes:

75-1512.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Six (6) non-competing continuation awards and 28 new awards were made in FY2020. Fiscal Year 2021: It is anticipated that 24 non-competing continuation awards and 15 new awards will be made in FY2021. Fiscal Year 2022: Fiscal Year 2022: It is anticipated that 15 non-competing continuation awards and 20 new awards will be made in FY2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACF recipients must follow the requirements in 45 CFR Part 75.

Regional or Local Office:

None.

Headquarters Office:

Program Specialist Courtney Gaskins, Ph.D.,

330 C Street., SW,
Washington DC 20021

Email: courtney.gaskins@acf.hhs.gov

Phone: 202-841-1952

Fax: 2022059535

Website Address:

<http://www.acf.hhs.gov/programs/fysb>

RELATED PROGRAMS:

93.235 Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program; 93.060 Sexual Risk Avoidance Education ; 93.092 Affordable Care Act (ACA) Personal Responsibility Education Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications competing for financial assistance will be reviewed and evaluated using the criteria described in the NOFO. The corresponding point values indicate the relative importance placed on each review criterion. Points will be allocated based on the extent to which the application proposal addresses each of the criteria listed.

93.788 Opioid STR

State Targeted Response to the Opioid Crisis Grants,

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

21st Century Cures Act., Title I, Section 1003, Public Law 114-255

OBJECTIVES:

Addressing the opioid abuse crisis within such States, used for carrying out activities that supplement activities pertaining to opioids undertaken by the State agency responsible for administering the substance abuse prevention and treatment block grant under subpart II of part B of title XIX of the Public Health Service Act (42 U.S.C. 300x21 et seq.).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Uses:(A) Improving State prescription drug monitoring programs.(B) Implementing prevention activities, and evaluating such activities to identify effective strategies to prevent opioid abuse.(C) Training for health care practitioners, such as best practices for prescribing opioids, pain management, recognizing potential cases of substance abuse, referral of patients to treatment programs, and overdose prevention.(D) Supporting access to health care services, including those services provided by Federally certified opioid treatment programs or other appropriate health care providers to treat substance use disorders.(E) Other public health-related activities, as the State determines appropriate, related to addressing the opioid abuse crisis within the State.Limitations:(1) notwithstanding

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any transfer authority in any appropriations Act, shall not be used for any purpose other than the grant program in subsection (c); and(2) shall be subject to the same requirements as substance abuse prevention and treatment programs under titles V and XIX of the Public Health Service Act (42 U.S.C. 290aa et seq., 300w et seq.).

Applicant Eligibility:

U.S. Territories and possessions; N/A

Beneficiary Eligibility:

U.S. Territories; State; N/A

Credentials/Documentation:

Cost Principles, and Audit Requirements for HHS Awards 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

A Notice of Award (NoA) signed by SAMHSA's Grants Management Officer is sent to the applicant agency. The NoA is the sole obligating document that allows the grantee to receive Federal funding for work on the grant project.

Deadlines:

February 07, 2017.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Each annual allotment is available for obligation by the State during the fiscal year in which it was allotted. Method of awarding/releasing assistance: other

Reports:

Biannual Progress Report Biannual Progress Report Annual federal financial report (SF-425) A State receiving a grant under subsection shall include in a report related to substance abuse submitted to the Secretary pursuant to section 1942 of the Public Health Service Act (42 U.S.C. 300x52), a description of(1) the purposes for which the grant funds received by the State under such subsection for the preceding fiscal year were expended and a description of the activities of the State under the program; and(2) the ultimate recipients of amounts provided to the State in the grant.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

75-1363-0-1-551.

Obligations:

Formula Grants FY 20 \$2,189,130.00; FY 21 est \$22,159,145.00; FY 22 est

\$9,162,829.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is estimated 143 awards will be made. Fiscal Year 2022: It is estimated 342 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

CFR 200/45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS awards.

Regional or Local Office:

None.

Headquarters Office:

Branch Chief, Formula Grants Branch Odessa Crocker,
5600 Fishers Lane,
Rockville MD 20857

Email: odessa.crocker@samhsa.hhs.gov

Phone: (240) 276-1078

Fax:

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

93.959 Block Grants for Prevention and Treatment of Substance Abuse;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.791 Money Follows the Person Rebalancing Demonstration

(Money Follows the Person Demonstration),

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

section 6071, Deficit Reduction Act of 2005, Public Law 109-171; section 2403, Affordable Care Act, Public Law 111-148, section 2, Medicaid Extenders Act of 2019, Public Law 116-3; section 5, Medicaid Services Investment and Accountability Act of 2019, Public Law 116-16; section 4, Sustaining Excellence in Medicaid Act of 2019, Public Law 116-39; section 205, Further Consolidated Appropriations Act, 2020, Public Law 116-94; section 3811, Coronavirus Aid, Relief, and Economic Security Act, 2020, Public Law 116-136; section 2301, Continuing Appropriations Act, 2021 and Other Extensions Act Public, Law 116-159; section 1107, Further Continuing Appropriations Act, 2021, and Other Extensions Act, Public Law 116-215; section 204, Consolidated Appropriations Act, 2021, Public Law 116-260,

OBJECTIVES:

The Money Follows the Person (MFP) Rebalancing Demonstration, authorized by section 6071 of the Deficit Reduction Act of 2005 (P.L. 109-171), was designed to assist States to balance their long-term care systems and help Medicaid enrollees transition from institutions to the community. Congress initially authorized up to \$1.75 billion in Federal funds through Fiscal Year (FFY) 2011. With the subsequent passage of the Patient Protection and Affordable Care Act (P.L. 111-148) in 2010, section 2403 extended the program through September 30, 2016. An additional \$2.25 billion in Federal funds was appropriated through FFY 2016. Since then, section 2 of the Medicaid Extenders Act of 2019 (P.L. 116-3) added \$112 million in Federal funds and changed the end date for the program from September 30, 2016 to September 30, 2021. Section 5 of the Medicaid Services Investment and Accountability Act of 2019 (P.L. 116-16) changed the additional

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funding appropriated through the Medicaid Extenders Act from \$112 million to \$132 million and section 4 of the Sustaining Excellence in Medicaid Act of 2019 (P.L. 116-39) changed the additional funding appropriated through the Medicaid Services Investment and Accountability Act from \$132 million to \$254.5 million. Section 205 of the Further Consolidated Appropriations Act, 2020 (P.L. 116-94) and section 3811 of the Coronavirus Aid, Relief, and Economic Security Act (P.L. 116-136) provided an additional \$337.5 million. Section 2301 of the Continuing Appropriations Act, 2021 and Other Extensions Act (P.L. 116-159) added \$66.4 million, section 1107 of the Further Continuing Appropriations Act, 2021, and other Extensions Act (P.L. 116-215) added \$6.5 million. Section 204 of the Consolidated Appropriations Act, 2021 (P.L. 116-260) added \$1.253 billion, extended and made changes to the program. Any funds remaining at the end of each fiscal year carry over to the next fiscal year, and can be used to make grant awards to current grantees through FY 2023. Any unused grant funds in 2023 can be used until 2027. No additional funding will be available after the final 2023 awards are made; however, grantees will submit documentation to identify projected costs and justify expenditures on an annual basis. Grantees can request to continue transitioning MFP participants until December 31, 2025 with services being provided and eligible for MFP-enhanced match through December 31, 2026. All claiming of services must be finalized by September 30, 2027. The MFP Demonstration supports State efforts to rebalance their long-term support system so that individuals have a choice of where they live and receive services. MFP program goals are (1) increase the use of home and community-based services (HCBS) and reduce the use of institutionally-based services; (2) eliminate barriers in State law, State Medicaid plans, and State budgets that restrict the use of Medicaid funds to let people get long-term care in the settings of their choice (3) strengthen the ability of Medicaid programs to provide HCBS to people who choose to transition out of institutions, and (4) put procedures in place to provide quality assurance and improvement of HCBS. The demonstration provides for enhanced Federal Medical Assistance Percentage (FMAP) for 12 months for qualified home and community-based services for each person transitioned from an institution to the community during the demonstration period. Eligibility for transition is dependent upon residence in a qualified institution for more than 60 consecutive days. The State must continue to provide community-based services after the 12-month period for as long as the person needs community services and is Medicaid eligible. Under the demonstration, the State must propose a system of Medicaid home and community-based care that will be sustained after the demonstration period and is deemed qualified by the Secretary. Specifically, the program must be conducted in conjunction with a "qualified HCBS program" which is a program that is in operation (or approved) in the State for such individuals in a manner that assures continuity of Medicaid coverage of services in the qualified HCBS program for eligible individuals. States may also propose to enhance the services they will provide during the demonstration period to achieve greater success with transition. States will be required to participate in a national qualitative and quantitative evaluation conducted by CMS. Data collected on a national level will help evaluate the core objectives of the statute.

TYPES OF ASSISTANCE:

Formula Grants (Apportionments), Project Grants

USES AND USE RESTRICTIONS:

Indirect Costs: The provisions of the 2 CFR 225 (formerly OMB Circular A-87) govern reimbursement of indirect costs under this solicitation. A copy of OMB Cost Principles for State, Local, and Indian Tribal Governments is available online at <https://www.grants.gov/learn-grants/grant-policies/omb-uniform-guidance-2014.html>

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Applicants for this Demonstration Grant must be any single State Medicaid Agency, State Mental Health Agency, or instrumentality of the State. Only one application can be submitted for a given State. By "State" we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments." By "territory or possession", we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

State: As defined in section 6071(b)(2) of the DRA and amended by section 204 of the Consolidated Appropriations Act, the term eligible individual means an individual in the State who, immediately before beginning participation in the MFP demonstration project: (i) resides (and has resided, for a period of not less than 60 consecutive days in an inpatient facility; (ii) is receiving Medicaid benefits for inpatient services furnished by such inpatient facility; and (iii) with respect to whom a determination has been made that, but for the provision of home and community-based long-term care services, the individual would continue to require the level of care provided in an inpatient facility and, in any case in which the State applies a more stringent level of care standard as a result of implementing the State plan option permitted under section 1915 (i) of the Social Security Act, the individual must continue to require at least the level of care which had resulted in admission to the institution.

Credentials/Documentation:

Applications can only be submitted by the single State Medicaid agency. A cover letter must accompany the application. The cover letter must be signed by the State Medicaid Director, and must indicate the title of the project, the principal contact person, the amount of funding requested, and the names of the major partners actively collaborating in the project. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Award Procedure:

An independent review of all applications will be conducted by a panel of experts including members of the disability community, advocates, providers and staff from State and Federal agencies including CMS. The review panel will assess each application to determine the merits of the proposal and the extent to which it furthers the purposes of the demonstration program. The panel will evaluate each application for further review by CMS. CMS reserves the right to request that States revise or otherwise modify certain sections of their proposals based on the recommendations of the panel and the budget. A low score in one or two areas, even if offset by high scores in other areas, may result in a rejection of the proposal. Final approval of demonstration projects will be made by the Administrator of CMS after consideration of the comments and recommendations of the review panelists, program office recommendations, and the availability of funds. CMS reserves the right to approve or deny any or all proposals for funding. CMS seeks to achieve reasonable balance among the grants to be awarded in a particular category in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the "Award Information" section of this solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

Deadlines:

November 01, 2009. January 7, 2011 The deadline for applications was January 7, 2011, (11:59pm Eastern Standard Time).

Range of Approval/Disapproval Time:

Other. Successful applicants will receive a Financial Assistance Award (FAA) signed and dated by the CMS Grants Management Officer. The FAA is the document authorizing the grant award and will be sent through the U.S. Postal Service to the applicant organization as listed on its SF 424. Any communication between CMS and applicants prior to issuance of the FAA is not an authorization to begin performance of a project. Unsuccessful applicants will be notified by letter, sent through the U.S. Postal Service to the applicant organization as listed on its SF 424.

Appeals:

Other. Appeals are governed by the Code of Federal Regulations, Title 45--Public

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Welfare, Subtitle A Department of Health and Human Services, Part 16 Procedures of the Departmental Grant Appeals Board.

Renewals:

Other. Any funding allocated under the demonstration for the first year of this grant that remain unspent will be carried over to the next year of the Grant.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. A State receiving an award under this solicitation will receive reimbursement for home and community-based services provided under the demonstration on a quarterly basis at the following Federal Medical Assistance Percentage (FMAP) rates: The FMAP rate will be adjusted to reflect the increased FMAP available to States through the American Recovery and Reinvestment Act of 2009 each quarter from October 1, 2008 and extended by passage of Education, Jobs and Medicaid Assistance Act (P.L. 111-226) of 2010 through June 30, 2011 (increased rate). The funding for the increased FMAP will be provided from Money Follows the Person grant demonstration appropriations. The enhanced FMAP provided by the DRA of 2005 (Enhanced Rate increased up to 50% of the State Match capped at 90%) will be applied to the Recovery Act increased quarterly FMAP. Service Category Match rate for a 12-month demonstration period for "Qualified HCB program" services and HCB Demonstration services are at the Increased and Enhanced Match Rate. Supplemental Demonstration services will be provided at the Increased Rate only for the Recovery Act period. Administrative costs will be reimbursed according to the requirements of CFR 42, 433.15. At the end of the Recovery Act period, the yearly-published FMAP Rate in the Federal Register will be used to determine the Enhanced Rate and the State match requirements for the prior quarters to the Recovery Act period and subsequent quarters until the end of the demonstration. This program has MOE requirements, see funding agency for further details. Total expenditures under the State Medicaid program for home and community-based long-term care services will not be less for any fiscal year during the MFP demonstration project than for the greater of such expenditures for fiscal year 2005 or any succeeding fiscal year before the first of the year of the MFP demonstration project. This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements, see funding agency for further details..

Length and Time Phasing of Assistance:

The demonstration period is twenty-one years. The project period is from January 1, 2007 through September 30, 2027. The budget period is from January 1, 2007 through September 30, 2027. Method of awarding / releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum Method of awarding/releasing assistance: lump sum.

Reports:

Federal Financial Report, form (SF-425), will be required to be submitted semi-annually. This financial status report will account for all uses of grant monies during each reporting period. During the pre-implementation period, CMS will work with the grantees to determine if additional reporting requirements are required. At the end of each demonstration grant year, States will be required to produce documentation that they have not exceeded the determined budget ceiling and meet all CMS financial requirements. The submission of the finalized Operational Protocol (OP) will be due no later than 9 months after receipt of the Notice of Award. The OP will be considered the first report due under this demonstration. Semi-Annual MFP Progress Reports are required to be completed twice annually to document program implementation.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to follow 45 CFR 74.53: Code of Federal Regulations, Title 45--Public Welfare, Subtitle A--Department of Health and Human Services, Section--74.53 Retention and access requirements for records.

Account Identification:

75-0516-0-1-551.

Obligations:

Formula Grants (Apportionments) FY 20 \$323,300,000.00; FY 21 est \$855,800,000.00; FY 22 est \$565,500,000.00;

Range and Average of Financial Assistance:

There is not a prescribed or predetermined maximum floor or ceiling grant award. Each State is unique in the number of individuals that will be projected for transition under the demonstration grant. In addition, the costs of individuals transitioning to community settings may vary, by targeted population. Applicants are advised to request a grant award that is sufficient in the amount needed to transition the projected individuals into community settings. CMS reserves the right to reduce the requested grant award, based on the number and size of additional grant awards given under this demonstration, as well as because of concerns contained within a State's application (i.e., concerns with the number of costs of individuals projected for transition by the individual State.

TAFS Codes:

75-0516.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

To promote effective outcomes from the demonstration, the statute provides waiver authority for four provisions of title XIX of the Social Security Act, to the extent necessary to enable a State initiative to meet the requirements and accomplish the purposes of the demonstration. These provisions are: (1) Statewide (Section 1902(a)(1) of the Social Security Act) - in order to permit implementation of a State initiative in a selected area or areas of the State; (2) Comparability (Section 1902(a)(10)(B) - in order to permit a State initiative to assist a selected category or categories of individuals enrolled in the demonstration; (3) Income and Resource Eligibility (Section 1902(a)(10)(C)(i)(III) - in order to permit a State to apply institutional eligibility rules to individuals transitioning to community-based care; and (4) Provider agreement (Section 1902(a)(27)) - in order to permit a State to implement self-direction services in a cost-effective manner for purposes of this demonstration program. By "State" we refer to the definition provided under 45 CFR 74.2 as any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. By "territory or possession", we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. CMS will reimburse States for home and community-based services provided under the demonstration on a quarterly basis at a rate equal to the State's Federal Medical Assistance Percentage (FMAP) or the State's Enhanced FMAP, as specified in the solicitation. Administrative costs will be reimbursed according to the requirements of 42 CFR 433.15. Applicants completed an electronic application package, including all required forms. Standard application forms and related instructions were available online at <http://gsa.gov/forms>. Standard forms are available as detailed in, Section V.A, Address to Request Application Package. The following standard forms were completed with an original signature and enclosed as part of the proposal: SF 424: Official Application for Federal Assistance (see Note below*) SF 424A: Budget Information SF 424B: Assurances - Non-Construction Programs SF LLL: Disclosure of Lobbying Activities PHS-5161-1 (7/00) and Additional Certifications. Usual Requirements that must be adhered to by law and regulation: Specific administrative and policy requirements of grantees as outlined in 45 CFR 74 and 45 CFR 92, apply to this grant opportunity. All grantees receiving awards under these grant programs must meet the requirements of: Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, The Age Discrimination Act of 1975, Hill-Burton Community Service nondiscrimination provisions, and Title II Subtitle A of the Americans with Disabilities Act of 1990.

Regional or Local Office:

None.

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Jennifer Bowdoin, PhD., Director,
7500 Security Boulevard,
Baltimore MD 21244
Email: Jennifer.Bowdoin@cms.hhs.gov
Phone: (410) 786-8551

Website Address:

<https://www.medicaid.gov/medicaid/lts/money-follows-the-person/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.792 Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R.

n/a.

FEDERAL AGENCY:

CDC NATIONAL CENTER FOR CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

n/a, Title Public Health Service Act (PHS Act), Section 317(k)(2), 42 U.S.C 247b (k)(2); Section 301 (a), 42 U.S.C. 241(a).

OBJECTIVES:

The purpose of this five-year program is to reduce the rates of disability and death associated with chronic disease in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and Puerto Rico. The U.S. Affiliated Pacific Islands include American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Federated States of Micronesia, Republic of the Marshall Islands, and Republic of Palau. Evidence-based strategies to reduce tobacco use and secondhand smoke exposure, prevent and improve management of diabetes and cardiovascular disease (CVD), improve availability and quality of data to inform programs, including maternal and child health and oral health, and monitor progress will be supported. Cross-cutting and unified approaches to address chronic disease and their risk factors, with an emphasis on tobacco use, diabetes, CVD, maternal and child health, and oral health will be supported. The purpose of this NOFO is: a) To reduce tobacco use and secondhand smoke exposure b) To improve prevention and management of diabetes c) To prevent persons at risk for pre-diabetes from developing diabetes d) To improve prevention and management of high blood pressure and high cholesterol e) To collect data to inform programs and policies that aim to improve maternal and child health f) To better address oral health needs

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds can be used to pay overhead, travel costs, and other expenses directly related to achievement of the strategies and activities identified to fulfill the obligations specified in the notice of funding. Funds can be used for salaries for personnel to plan, implement, monitor, and evaluate program activities; for contracts or agreements to implement and evaluate program activities, including assessments and convening coalitions; contracts or agreements with community-based organizations or coalitions to provide health education and lifestyle support programs, or to improve the health of the physical environment (for example to improve access to healthy food, physical activity, or decrease tobacco exposure); or other activities that promote or support healthy behaviors and improved health in the population. Funds can be used to pay for activities and supplies necessary to provide the services outlined here, including self-blood pressure monitoring

equipment. Funds can be used to develop and maintain data collection systems to collect and report biomeasures and behavior information and for monitoring systems for chronic disease.

Applicant Eligibility:

State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; Small business (less than 500 employees); Applicants must provide proof of ability to serve populations in one of the following jurisdictions: Commonwealth of the Northern Mariana Islands (CNMI), American Samoa, Guam, Federated States of Micronesia (FSM), Republic of the Marshall Islands (RMI), Republic of Palau, U.S. Virgin Islands, Puerto Rico.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans);

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Instructions are covered by 2 CFR.

Award Procedure:

Applications will be submitted via GrantSolutions as specified in the NOFO. All applications submitted by the deadline that are complete and responsive to the NOFO will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award from the CDC Office of Financial Resources. The Notice of Award shall be the only binding, authorizing document between the recipient and CDC and will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. n/a

Appeals:

Not Applicable. None

Renewals:

>180 Days. The project period is 5-years with 12-month budget periods. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding for this program will be awarded on annual basis and the funding will be available for obligation by recipients for a period of 12-months. Method of awarding/releasing assistance: other

Reports:

Awardees will be expected to provide reports so that CDC can provide continuous program monitoring. These reports identify successes and challenges that awardees

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encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees will be expected to submit annual performance reports, ongoing performance measure data, administrative reports, and a final performance and financial report. A detailed explanation of any additional reporting requirements will be provided in the notice of award to successful applicants. n/a Progress reports are required on annual basis Recipients will submit Annual Federal Financial Reports using the SF-424. These reports are required and must be submitted to the CDC Grants Management Officer no later than 90-days after the end of each budget period. Annual performance progress and monitoring reports will be expected from all awardees no later than 120 days prior to the end of each budget period. This report will serve as the continuation application for the follow-on budget period. The contents of the report should include the information specified in the solicitation from the CDC Grants Management Officer.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

CDC requires awardees to meet all record retention requirements defined in the notice of award, the funding opportunity, the HHS grants policy statement, and 45 CFR Part 75.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 Not Available; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Awards are expected to range from \$250,000 to \$1,500,000 (total award for both Core and Competitive Components, where applicable). All activities supported through this NOFO must contribute to health improvements across the target population and across population subgroups. Funding strategy will also include the awardees proposed activities and goals, estimated population reach, and program capacity as described in the application.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. n/a

Headquarters Office:

Stacy De Jesus,
4770 Buford Highway Mail Stop F-80,
Atlanta GA 30341
Email: sdejesus@cdc.gov
Phone: 770-488-6393
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Phase I: All applications will be reviewed initially for completeness by CDC OGS staff and will be reviewed jointly for eligibility by the CDC National Center for Chronic Disease Prevention and Health Promotion and CDC OGS. Applicants must provide proof of ability to serve populations in American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Federated States of Micronesia, Republic of the Marshall Islands, Republic of Palau, U.S. Virgin Islands, or Puerto Rico. Incomplete applications that do not meet the eligibility criteria will not advance to Phase II review. Phase II Review: This funding

opportunity is comprised of two components Core Component and Competitive Components. Core Component: The Core Component will support recipients to implement the strategies and activities as outline in the NOFO. Applicants must propose a comprehensive work plan that addresses the activities following broad Strategies indicated in the NOFO. Applicants for the Core Component will be evaluated based on the evaluation criteria as indicated in the NOFO. Competitive Components: This NOFO has two competitive Component that will support maternal and child health and oral health activities. All applicants must apply for the Core Component in order to be considered for either (or both) of the Competitive Components. Only those successful applicants under the Core Component will be eligible for Competitive Component funding. Applicants must apply for competitive Component funding at the same time they make application for Core Component funding. Competitive components will be evaluated based on the evaluation criteria as indicated in the NOFO. Phase III Review: Applications submitted under the Core Component will be funded by score and rank as determined by the objective review panel. Applications submitted under the Competitive Component will be funded by score and rank determined by the objective review panel. The Selecting official shall rely on the rank order established by the objective review panel as the primary factor in making awards.

93.795 Community Health Workers for COVID Response and Resilient Communities

CCR,

FEDERAL AGENCY:

CDC NATIONAL CENTER FOR CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under Public Health Law 116-136, the Coronavirus Aid, Relief, and Economic Security Act or the CARES Act to prevent, prepare for, and respond to coronavirus, domestically or internationally., Title Coronavirus Aid, Relief, and Economic Security (CARES) Act of 2020,

OBJECTIVES:

This Notice of Funding Opportunity is intended to scale up Community Health Worker (CHW) actions across the nation to support COVID-19 public health response efforts in those communities hit hardest by COVID-19 and among populations that are at high risk for COVID exposure, infection, and poorer health outcomes (priority populations). Strategies include: 1) Train Community Health Workers to ensure comprehensive acquisition and reinforcement of relevant knowledge, roles, and skills to support the COVID-19 public health response to manage outbreaks and community spread. (Category A) 2) Deploy Community Health Workers to support the COVID-19 public health response to manage outbreaks and spread of COVID-19 among priority populations within communities. (Category B) 3) Engage Community Health Workers to help build and strengthen community resilience to mitigate the impact of COVID-19 by improving the overall health of priority populations within communities. (Category C)

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients may develop, implement, and evaluate the following potential activities linked to COVID-19 response activities: 1. Participate with CDC and other partners (e.g., HRSA) in the development of national CHW core competencies, training frameworks, and pedagogy to enable the new paradigm. 2. Support and training for CHWs to incorporate telehealth activities or effective alternatives to increase awareness, access, and effective support from health and social service systems for populations experiencing COVID-19 and other health disparities living in areas with inadequate health, inadequate access to healthy food, and/or transportation resources. 3. Expansion of the roles and responsibilities of CHWs, including reimbursement models, to provide leadership in improving community-level resilience, and addressing environmental injustices. 4. Systems and training to increase the capacity of CHWs to identify and respond to social needs, preventive care needs, environmental hazards, and untreated or undiagnosed conditions during

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contact tracing or periodic outreach. 5. Systems and training to increase the capacity of CHWs to gather and use data to identify and better serve populations experiencing health disparities. 6. Enhance strategic community partnerships to connect individuals and organizations and increase number of social services and other essential partners (e.g., faith-based organizations, employers, health care providers and systems, etc.) in the recipients network.

Applicant Eligibility:

U.S. Territories and possessions; Government - General; Federal; State; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations);

Beneficiary Eligibility:

Federal; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Health Professional; Native American Organizations; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Industrialist/Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Eligibility applicants include: State governments; local governments (including city, county, and district health departments); Native American tribal governments (Federally recognized); Native American tribal organizations (other than Federally recognized tribal governments); Urban Indian Health Programs; Government Organizations: State (includes the District of Columbia), Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, American Indian or Alaska Native tribal governments (federally recognized or state-recognized). Applicants, through full and open competition, must be a bona fide agency of a state, locality, territory or tribe, including, but not limited to, health departments. Any state, locality, territory, tribe, tribal organization, urban Indian health organization, or health service provider to tribes will benefit. States, localities, territories, tribes, tribal organizations, urban Indian health organizations, or health service providers to tribes

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications that are complete and responsive to this competitive Notice of Funding Opportunity announcement will be evaluated for programmatic and technical merit. Applications will be reviewed for completeness by the Office of Financial Resources (OFR) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and the Office of Financial Resources (OFR). Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants were notified the application did not meet submission requirements. Technical reviews will be conducted on complete and responsive applications according to the evaluation criteria listed in notice of funding opportunity announcement. Successful applicants will receive a Notice of Award (NOA) from the Office of Financial Resources (OFR). The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically through www.Grants.gov

Appeals:

Not Applicable.

Renewals:

Not Applicable. From 120 to 180 days. Period of Performance is 2 years with 12-month budget periods for the grant; 3 years with 12-month budget periods for the cooperative agreement. Renewals are based on availability of funds; renewal notifications will be posted on Grants.gov and Applications must be submitted through the GrantSolutions System.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

6/1/2020-5/31/2022; Method of Award/releasing assistance: Notice of award. Method of awarding/releasing assistance: other

Reports:

Yes. The reporting schedule will be based on the requirements listed in the Notice of Funding Opportunity Announcement. An Annual Progress Report and Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final progress report and final Federal Financial Report are due 90 days after the end of the project period. Yes, progress reports are required annually. Yes, Federal Financial Reports (FFRs) must be submitted to the GMO/GMS no later than 90 days after the end of the budget period. The awardee must submit the Annual Performance Report 120 days before the end of the budget period. Additional guidance will be provided in the Notice of Funding Opportunity Announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-5-5-151.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

CDC will award approximately \$95 million annually (\$190 million over 2 years) through grants to organizations of varying sizes to both increase capacity to deploy CHWs and amplify the use of existing CHW networks immediately upon award. These grants will be funded for two years and will range from \$350,000 - \$3 million depending on the size and scope of activity. The range of funds is broad to accommodate a varied number of organizations based on capacity.

TAFS Codes:

75-0943, 75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

L.C. Browning,
2939 Flowers Rd S.E. Vanderbilt BldgMS: TV-2Atlanta, GA 30341,
Atlanta GA 30341
Email: imu8@cdc.gov
Phone: 770 488 2756
Fax:

Website Address:

<https://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All eligible applications will be initially reviewed for completeness by the Office of Grants Services (OGS) staff. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements. An objective review panel will evaluate complete applications on the following criteria: 1. Approach, 2. Evaluation and Performance Measurement, 3. Applicants Organizational Capacity to Implement the Approach, and 4. Budget.

93.796 State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Sections 1863, 1864, 1865, 1902, 1903 and 1919, Title XIX,

OBJECTIVES:

To provide (Medicaid) financial assistance to any State which is able and willing to determine through its State health agency or other appropriate State agency that providers and suppliers of health care services are in compliance with Federal regulatory health and safety standards and conditions of participation.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are made available to States for the purpose of inspecting providers and suppliers of health care services, to ensure mandatory adherence to Medicaid health and safety standards and conditions. Funds made available under this program are used to support or reimburse State Staff for performing survey activities and for State administration of the program.

Applicant Eligibility:

State; The Federal government reimburses States for the Federal Financial Participation share for costs of inspection. Such participation is dependent on an approved State activity plan.

Beneficiary Eligibility:

State; Low Income; NA

Credentials/Documentation:

State must have an approved State Plan for Title XIX. A signed 1864 Agreement for Title XIX in order to carry out the survey function. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication is required.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. States submit an acceptable State Plan for support of Medicaid survey and certification activities. Budget proposals and estimated expenditures must comply with OMB Circular No. A-87 cost principles and regulatory guidelines.

Award Procedure:

States are provided a quarterly award based on an approved annual budget. States are reimbursed for survey activities under Electronic Funds Transfer System procedures. States draw funds through Department's Payment Management System.

Deadlines:

October 01, 2012 - August 15, 2013. Annual budget proposals are requested from the appropriate State agency as negotiated with Regional Office.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. Appeals are reviewed by the Department Grants Appeal Board.

Renewals:

Other. Ongoing renewals are awarded to State agencies that are willing and able to conduct required survey activities in compliance with statutory mandate.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Formula Grants. This program does have a matching requirement (75% Fed/25% State). MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding authority is provided for a full year to State agencies performing survey and certification activities pertaining to Medicaid survey activities. Method of awarding/releasing assistance: lump sum.

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

State must maintain surveyor time records, line item and expenditure documentation, which substantiate the costs relating to survey activities.

Account Identification:

75-0512-0-1-550.

Obligations:

ASSISTANCE LISTINGS DESCRIPTIONS

Formula Grants FY 20 \$227,547,888.00; FY 21 est \$296,000,000.00; FY 22 est \$306,000,000.00;

Range and Average of Financial Assistance:

FY 18 range is from \$479,794 to \$37,750,211 with an average of \$5,154,420. FY 19 range is from \$550,940 to \$41,341,640 with an average of \$5,450,598. FY 20 range is from \$516,537 to \$35,020,175 with an average of \$4,550,958. (some states are not in and all have not been reconciled)

TAFS Codes:

75-0512.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title XIX, Social Security Act as amended Sections 1863, 1864 and 1865.

Regional or Local Office:

Headquarters Office:

David Wright,
7500 Security Blvd,
Baltimore MD 21244
Email: David.Wright@cms.hhs.gov
Phone: 410-786-9493

Website Address:

<http://www.cms.hhs.gov/contracts>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.799 CARA Act Comprehensive Addiction and Recovery Act of 2016

CARA - Comprehensive Addiction and Recovery Act Enhancement Grant,

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

CARA Act - Comprehensive Addiction and Recovery Act of 2016, Title 1, Section 103, Public Law 114-198, 42 USC Code 201.

OBJECTIVES:

The purpose of this program is to prevent and reduce the abuse of opioids or methamphetamines and the abuse of prescription medications among youth ages 12-18 in communities throughout the United States. Grants awarded through the CARA Act are intended as an enhancement to current or formerly funded Drug-Free Communities (DFC) Support Program grant award recipients as established community-based youth substance use prevention coalitions capable of effecting community-level change. For the purposes of this FOA and the DFC Support Program, a coalition is defined as a community-based formal arrangement for cooperation and collaboration among groups or sectors of a community in which each group retains its identity, but all agree to work together toward a common goal of building a safe, healthy, and drug-free community. CARA grant award recipients, also referred to as recipients, are expected to conduct the day-to-day operations of the grant program. CARA recipients are not permitted to serve as a conduit for CARA funds passing through them or to another agency. Coalitions receiving CARA Act funds, as current or formerly funded DFC recipients, are expected to work with leaders in their communities to identify and address local youth opioid, methamphetamine, and/or prescription medication abuse and create sustainable community-level change. Additionally, recipients are expected to implement comprehensive community-wide strategies.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Allowable Activities CARA grants awarded through this program are intended to: Enhance the ability of established community organizations to create community-level change regarding opioids or methamphetamines and the abuse of prescription medications. Strengthen collaboration among communities, the federal government, state, local, and tribal governments to reduce the use of opioids or methamphetamines and the abuse of prescription medications among youth within the area served by the coalition. Enhance intergovernmental cooperation and coordination on the issue of opioids or methamphetamines and the abuse of prescription medications among youth. Develop a comprehensive community-wide action plan to address the issue of opioids or methamphetamines and the abuse of prescription medications among youth. The types of activities that are expected and allowable include, but are not limited to: data collection to better understand the current local youth opioid, methamphetamine, and/or prescription medication abuse issues in the community; community education on the dangers of opioid, methamphetamine, and/or prescription medication abuse; prescriber education and training; awareness and availability of naloxone/narcotics policies; increase awareness and availability of safe storage and prescription drug disposal options; promotion of proper usage of Prescription Drug Monitoring Programs (PDMP); and/or seek specialized training and technical assistance to help increase the effectiveness of community anti-drug coalitions.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; The statutory authority for this program, 42 USC 1536 of the Comprehensive Addiction and Recovery Act, limits eligibility to domestic public and private nonprofit entities that are current or former Drug-Free Communities (DFC) Support Program recipients. Eligible applicants are community-based coalitions addressing local youth opioid, methamphetamine, and/or prescription medication abuse. The purpose of this program is to prevent and reduce the abuse of opioids or methamphetamines and the abuse of prescription medications among youth ages 12-18 in communities throughout the United States

Beneficiary Eligibility:

Youth (16-21): The purpose of this program is to prevent and reduce the abuse of opioids or methamphetamines and the abuse of prescription medications among youth ages 12-18 in communities throughout the United States*For the purposes of this FOA, youth is defined as individuals 18 years of age and younger.

Credentials/Documentation:

- Signed Assurance of Legal Eligibility - Disclosure of Drug-Free Communities (DFC) Support Program funding- Statement of Rates of Abuse of Opioids and Methamphetamines- Meeting Minutes Coalition meeting minutes from a meeting that took place within the four months prior to the deadline for this application that documents efforts to address an emerging issue or a local crisis related to the abuse of opioids, methamphetamines, and/or prescription drugs in the community.- Applicant must demonstrate ability to comply with the CARA Program Evaluation requirements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This grant program is covered under Executive Order (EO) 12372, as implemented through Department of Health and Human Services (DHHS) regulation at 45 CFR Part 100. Under this Order, states may design their own processes for reviewing and commenting on proposed federal assistance under covered programs. Certain jurisdictions have elected to participate in the EO process and have established State Single Points of Contact (SPOCs).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

ASSISTANCE LISTINGS DESCRIPTIONS

Award Procedure:

1. Applications received, statutory eligibility screening conducting 2. Peer Review is conducted, applications scored 3. Budget Reviews and Negotiations Conducted 4. Notice of Award (NoA) will then be sent electronically to the individual listed as the Business Official on the Application for federal Assistance. 5. list of awardees will be posted at <http://www.whitehouse.gov/ondcp/Drug-Free-Communities-Support-Program>. 6. 2017 all applicants whose applications were sent to peer review will receive a letter from SAMHSA through postal mail and sent to the individual listed as the Business Official on the Application for Federal Assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Non Competing Continuation is required for Renewal each year. Funding is subject to the availability of federal funds, and that matching funds, (if applicable), are verifiable, and progress of the award is documented and acceptable

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The award project period is three years, with 12 month budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Financial Report (FFR) (SF-425) is required quarterly to the Payment Management System. Federal Financial Report (FFR) (SF-425) is required annually, 90 days after the end of each budget period. CARA Local Drug Crises grant award recipients are required to participate in the CARA Local Drug Crises Program Evaluation. At a minimum, CARA Local Drug Crises recipients are required to provide data on the following (four) core measures for prescription drugs for three grades between the 6th and 12th grades. 1. Past 30-day use. 2. Perception of risk or harm. 3. Perception of parental disapproval of use. 4. Perception of peer disapproval of use

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Non-Federal recipients that expend \$750,000 or more in Federal awards during the recipient's fiscal year must have a single or program-specific audit conducted for that year in accordance with the provisions of 45 CFR 75.501(a).

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

07-5201-7-1-365.

Obligations:

Formula Grants FY 19 \$2,744,145.00; FY 20 est \$2,744,729.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

Anticipated Total Available Funding: \$2,750,000 Estimated Award Amount: Up to \$50,000

TAFS Codes:

75-1365.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is estimated 55 awards will be made. Fiscal Year 2021: It is estimated 58 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Okay

Headquarters Office:

Branch Chief, Formula Grants Branch Odessa Crocker,
5600 Fishers Lane,
Rockville MD 20857
Email: odessa.crocker@samhsa.hhs.gov
Phone: 240-276-1078

Website Address:

<https://www.samhsa.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the CARA Funding Opportunity Announcement, Application Review Information.

93.800 Organized Approaches to Increase Colorectal Cancer Screening

Colorectal Cancer Screening Program,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Authorized under section 301(a) of the Public Health Service Act, [42 U.S.C. section 241(a)], as amended.,

OBJECTIVES:

The purpose of the program is to increase CRC screening rates among an applicant-defined target population of persons 50-75 years of age within partner health system(s), defined geographical areas, or disparate populations. This program will fund implementation of EBIs and other strategies in partnership with health systems with the goal of instituting organized screening programs. In addition, this program will fund a small number of awardees to pay for direct screening and follow-up services for a limited number of individuals that belong to the Program Priority Population.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program will fund implementation of EBIs and other strategies in partnership with health systems with the goal of instituting organized screening programs. In addition, this program will fund a small number of awardees to pay for direct screening and follow-up services for a limited number of individuals that belong to the Program Priority Population. Component 1: Health Systems Change to Increase and Improve Colorectal Cancer (CRC) Screening. To increase capacity and support organized CRC screening, awardees must partner with health systems, such as federally qualified health centers (FQHCs), health plan clinic networks, large physician practices, Medicaid, Medicare, and insurance plans that serve the applicant-defined target population to: a) implement EBIs identified in The Community Guide to increase CRC screening and b) measure outcomes for evaluation. To increase CRC screening and follow-up rates, awardee activities that may be funded include, but are not limited to: formally partnering with an FQHC to implement a provider-oriented intervention such as provider reminders, formally partnering with a state primary care association to create or modify FQHC operational models, or formally partnering with an insurer to implement client-oriented EBIs such as client reminders. Component 2 - CRC Screening Delivery to Improve Access to the Underserved (Optional): Awardees must use an active, existing organized screening provision program to provide CRC screening and diagnostic follow-up services for the programs priority population as described above. Applicants must demonstrate an existing clinical and programmatic infrastructure and currently provide colorectal screening and follow-up services to the programs priority population.

ASSISTANCE LISTINGS DESCRIPTIONS

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Eligible applicants are the official State/Territorial health agencies of the United States or their bona fide agents; Tribes/Tribal Organizations and Private/Public Colleges and Universities.

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; Eligible applicants are the official State/Territorial health agencies of the United States or their bona fide agents; Tribes/Tribal Organizations and Private/Public Colleges and Universities.

Credentials/Documentation:

Applicants should document the need for assistance; state the objectives of the project, outline the method of operation, describe evaluation procedures, identify and describe nonfederal contributions, and provide a budget with justification for funds requested 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Information on the submission of applications may be obtained from Ms. Veronica Davis, Grants Management Specialist, in the Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, Georgia 30341. Telephone: (770) 488-2743. This program is subject to the provisions of 45 CFR 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 must be used for this program.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. In the competitive year one and after objective review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. For non-competitive continuation years, after review and approval on the Interim Progress Report (IPR), a notice of award is prepared and processed, along with appropriate notification to the public

Appeals:

Not Applicable.

Renewals:

>180 Days. Information on renewals may be obtained from Wayne Woods, Grants Management Specialist, Office of Grants Services, Office of Financial Resources, Centers for Disease Control and Prevention, Atlanta, GA 30341; Telephone: (770)488-2948

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12-month budget period with a 5 year project period June 29, 2015 to Jun 30, 2016 Budget Period June 29, 2015 to June 30, 2020 Project Period Method of awarding/releasing assistance: lump sum.

Reports:

Awardee Evaluation and Performance Measurement Plan - 6 months into award Annual Performance Report (APR) - 120 days before end of budget period. Serves as yearly continuation application. Data on Performance Measures CDC program determines. Only if program wants more frequent performance measure

reporting than annually in APR. Federal Financial Reporting Forms - 90 days after end of calendar quarter in which budget period ends Final Performance and Financial Report - 90 days after end of project period. Awardee Evaluation and Performance Measurement Plan - 6 months into award Annual Performance Report (APR) - 120 days before end of budget period. Serves as yearly continuation application. Data on Performance Measures CDC program determines. Only if program wants more frequent performance measure reporting than annually in APR.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Per OMB circular A-133

Records:

Not Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$22,000,000.00; FY 21 est \$22,382,304.00; FY 22 est \$22,382,304.00;

Range and Average of Financial Assistance:

Funding for Component 1 annual awards will range from \$350,000 to \$800,000. Funding for Component 2 annual awards will range from \$500,000 to \$1,000,000.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program supports strategies to increase and improve the quality of colorectal cancer screening, community-clinical linkages, and preventive services in the following national plans and guidelines: The Guide to Community Preventive Services: <http://www.thecommunityguide.org> The National Partnership for Action to End Health Disparities: <http://minorityhealth.hhs.gov/npa/> The National Prevention Strategy: <http://www.healthcare.gov/prevention/nphpphc/strategy/report.pdf> The National Quality Strategy: <http://www.ahrq.gov/workingforquality/>

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855
Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the CDC Procurement and Grants Office (PGO), staff and for responsiveness.

93.801 Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport

ASSISTANCE LISTINGS DESCRIPTIONS

Entrance Screenings from Affected Countries in West Africa

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 311 (42 U.S.C. 243),

OBJECTIVES:

To enable select public health departments serving regions where enhanced airport screenings are occurring to procure personal protective equipment and supplies, train staff, retrofit facilities, and carry out other necessary Ebola-specific preparedness, response, and recovery activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The primary purpose of the funding is to improve the applicants abilities to prepare for, respond, to, and recover from an Ebola event by undertaking activities in any or all of the following priority areas:-Personal Protective Equipment (PPE) Procurement and Training-Purchase Point of Care (POC) laboratory equipment and associated supplies

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Eligible applicants are state or city departments of public health serving the five major airports wherein the Department of Homeland Security (DHS) is conducting enhanced airport entrance screenings for Ebola.

Beneficiary Eligibility:

State; State or city departments of public health listed above, hospitals and supporting health care systems

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications from eligible applicants will be reviewed and final funding award recommendations forwarded to ASPR.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the programs Grants Management Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period is limited to up to 6 months. Budget period is limited to up to 6 month. Method of awarding/releasing assistance: other

Reports:

Recipients must report cash transaction data via the Payment Management System

(PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semiannual and year end progress reports are required. Recipients are required to submit the FFR SF425 Federal Financial Report (FFR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Performance monitoring is not applicable. Year-end progress reports are required. Final FFR is required

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 A-133

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of the grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 6 month period, whichever is later. More detailed information regarding retention requirements are provided in Title 45 CFR Part 92.

Account Identification:

75-0140-0-1-551.

Obligations:

Project Grants FY 18 Not Available; FY 19 est \$0.00; FY 20 est \$0.00;

Range and Average of Financial Assistance:

Est. Average Amount: \$142,857

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Project Officer Steve Tise,
200 C Street, SW, RM C4K12,
Washington DC 20020

Email: Stephen.Tise@hhs.gov

Phone: (202) 245-0740

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicants must submit their application in accordance with the fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions, require the applicant to resubmit supporting information within a prescribed timeframe. Upon review and approval, the revised application can then be submitted to the Office of Grants Management for processing and issuing a notice of grant award.

ASSISTANCE LISTINGS DESCRIPTIONS

93.809 National Organizations for Chronic Disease Prevention and Health Promotion

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 301(a) and 317(k)(2) of the Public Health Service Act [42 U.S.C. Section 241(a) and 247b (k)(2)] as amended,

OBJECTIVES:

The purpose of the funding is to develop effective state chronic disease prevention and health promotion programs through cross-cutting activities supportive of all chronic disease programs, focusing on Training and Technical Assistance, Performance Monitoring and Evaluation, Innovation and Leadership and Development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants that can apply for this funding opportunity are national organizations whose primary focus is on health education, chronic disease prevention and health promotion, and related public health training and who are of the type listed below: National organizations that work specifically with state and territorial health departments and that have organizational units focusing on state-based public health issues and reducing chronic diseases and their associated risk factors, are uniquely qualified to successfully implement the activities of this FOA because they have the knowledge, experience, and skills in working on reducing the burden of chronic diseases and on implementing policy, systems, and environmental changes that address chronic disease risk factors in states and community settings that no other national organization entities have. Focusing on these types of national organizations provides CDC the greatest potential to successfully implement the activities of this FOA. National organizations with health departments as their members are uniquely qualified to respond to the burden of chronic diseases in their communities through their vast experience of continuous implementation of evidence and practice-based interventions, implementation of self-management educational activities, and having the local, state, and territorial public health infrastructure in place to provide the proper foundation and support for programs that focus on reducing the chronic disease burden across the nation.

Beneficiary Eligibility:

Anyone/general public; This cooperative agreement will support the development of effective state chronic disease prevention and health promotion programs through cross-cutting activities supportive of all chronic disease programs. This FOA will serve to improve the effectiveness of chronic disease prevention and health promotion programs by focusing on cross-cutting activities that are supportive of all Chronic Disease Programs; better identify major components/priority areas based on the needs of the NCCDPHP that will serve as the core functions; increase the effectiveness of CDC's work with state chronic disease programs, so that work with state programs is more effective; and to experience the collective benefit of having strong state chronic disease programs by allowing for better coordination of efforts and greater utilization of resources, increase efficiency and minimize duplication of efforts

Credentials/Documentation:

Applicants should document the need for assistance, state objectives of the project, address the background, approach strategies and evaluation methods of the project/program, and provide a budget with justification of funds. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO.

Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. b. Phase II Review A review panel will evaluate complete, eligible applications in accordance with the criteria below. i. Approach ii. Evaluation and Performance Management iii. Applicants Organizational Capacity to Implement the Approach. Phase III Review The Selecting Official shall rely on the rank order established by the objective review as the primary factor in making awards. However, in order to maximize the reach and impact of federal funding and an effort to strive for greater health equity, the Selecting Official may depart from the rank order to achieve a more balance and equitable approach award that demonstrates health equity throughout the approach, performance, evaluations and organizational capacity, in order to meet a more valued, equitable and comprehensive decision.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 month - budget period within a five year project period Method of awarding/releasing assistance: lump sum.

Reports:

Annual Progress Reports Various reports are due at various time periods during the project period. Final Financial Report (FFR) is due 90 days after the end of each budget period. CDC will monitor the cooperative agreement in partnership with the awarded applicant. Monitoring milestones and performance measures ensures the mutual success of CDC and the awardees in achieving the FOA outcomes. The awarded applicant and CDC staff will work together to assess key capacity areas aligned with strategies to establish a baseline for monitoring program improvement over time.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,000,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

lump sum.

Reports:

Progress reports are required twice a year Interim and annual progress reports towards meeting the goals and activities of the FOA are required FFR due 90 days after the end of the budget period Bi-monthly one-on-one grantee calls are held with each grantee to monitor their performance in addition to the interim and annual progress reports

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$67,400,000.00; FY 21 est \$7,775,000.00; FY 22 est \$7,775,000.00;

Range and Average of Financial Assistance:

700,000 -800,000

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.814 Partner support for heart disease and stroke prevention

Partner Support,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, section 301(a) and 317 (k) (2) [42 U.S.C. Section 241 (a) and 247b(k)(2)],

OBJECTIVES:

To provide partner support around cardiovascular disease prevention activities

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To provide partnership support around cardiovascular disease prevention efforts, including dissemination of evidence-based practices, tools and strategies, creating

opportunities for peer learning and sharing of best practices, facilitating community and clinical linkages at the state and local level, and packaging and promoting the use of cardiovascular tools and products.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; Anyone/general public; Government and non-governmental organizations, including state, local, tribal and territorial governments or their bona fide agents.

Beneficiary Eligibility:

Anyone/general public; non-governmental organizations, including state, local, tribal and territorial governments or their bona fide agents

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted electronically at www.Grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from [Grants.gov](http://www.Grants.gov) on the deadline date. The application package can be downloaded from www.Grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the [Grants.gov](http://www.Grants.gov) Web site. The applicant must submit all application attachments using a PDF file format when submitting via [Grants.gov](http://www.Grants.gov). Directions for creating PDF files can be found on the [Grants.gov](http://www.Grants.gov) Web site. Use of file formats other than PDF may result in the file being unreadable by staff. Applications submitted through [Grants.gov](http://www.Grants.gov) (<http://www.grants.gov>), are electronically time/date stamped and assigned a tracking number. The tracking number serves to document submission and initiate the electronic validation process before the application is made available to CDC for processing.

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that the application did not meet eligibility and/or published submission requirements. An objective review panel will evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information, subsection entitled Criteria. Applications will be funded in order by score and rank determined by the review panel. CDC will provide justification for any decision to fund out of rank order. Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

From 120 to 180 days. For year 2 and beyond of the award awardees may request that as much as 75% of their estimated unobligated funds be carried over into the next budget period. The carryover request must: Express a bona fide need for permission to use an unobligated balance; Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which funds will be transferred (as much as 75% of unobligated balances); and Include a list of proposed activities, an itemized budget, and a narrative justification for those activities

Formula and Matching Requirements:

Statutory Formula: Title Pilot Program for Enhancement of Employee Whistleblower Protections, Chapter , Part , Subpart , Public Law . Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is a 12 month budget period in which assistance is available. Method of awarding/releasing assistance: lump sum.

Reports:

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. Annual Performance Report (APR) (required): The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but Web links are allowed. This report is due 90 days after the end of the project period. This report covers the entire project period and can include information previously reported in APRs. The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to PGO and include the date by which the Grants Officer will receive information. CDC programs may require more frequent reporting of performance measures than annually in the APR. If this is the case, CDC programs must specify reporting frequency, data fields, and format for awardees at the beginning of the award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

The award range is \$250,000-\$500,000, with an average of \$400,000 per budget year.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Stephanie Bernard,
4770 Buford Highway NE, MS F-72,
Atlanta GA 30341
Email: sij9@cdc.gov
Phone: 7704885108
Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding through DP12-1213: Partner Support for Heart Disease and Stroke Prevention, in which a collaboration of organizations aimed at addressing the goals of CDC's Million Hearts initiative, provided support around partner engagement efforts in cardiovascular disease prevention.

93.816 Preventing Heart Attacks and Strokes in High Need Areas

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Services Act (PHS Act), 42 U.S.C. 247b(k)(2) as amended.,

OBJECTIVES:

The purpose of program is to support implementation of population-wide and priority population approaches to prevent and control high blood pressure, and reduce health disparities associated with high blood pressure, among adults in Mississippi's 18-county Delta Region, which is a high burden, underserved, rural area.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to implement two components Component 1 and Component 2 across MS's 18-county Delta Region: Component 1 is to implement or strengthen policy, system, and environmental (PSE) strategies to: increase environments that promote, support, or reinforce physical activity and healthy foods and beverages, particularly those with low or no sodium; and to increase tobacco- and smoke-free environments. Component 2 is to implement or strengthen health systems strategies and community-clinical linkage strategies-- In their proposed budget, Mississippi Department of Health / Mississippi Delta Health Collaborative must allocate more funding (i.e., at least 60% of their proposed budget) towards Component 2 (health systems strategies and community-clinical linkage strategies) than Component 1 (policy, system, and environmental strategies).-- Mississippi Department of Health / Mississippi Delta Health Collaborative must sub-award 50% of award funds to local entities to contribute to the work, must provide leadership and technical assistance to selected MS Delta communities, and must ensure overall coordination.-- Mississippi Department of Health / Mississippi Delta Health Collaborative should allocate between 5% - 10% of their total funding award to evaluation and performance monitoring.-- In order to maximize the reach of federal funding, Mississippi Department of Health / Mississippi Delta Health Collaborative must ensure that efforts are complementary and not duplicative of other efforts in the 18-county MS Delta Region, such as State Public Health Actions (DP13-1305), Partnerships to Improve Community Health (PICH) (DP14-1417), and Racial and

ASSISTANCE LISTINGS DESCRIPTIONS

Ethnic Approaches to Community Health (REACH) (DP14-1419).

Applicant Eligibility:

State; The Mississippi Department of Health / Mississippi Delta Health Collaborative is uniquely qualified positioned to carry out the activities outlined in this FOA because:-- To meet Congressional intent detailed in the FY 2015 Appropriations language, CDC needs to continue supporting the Mississippi Department of Health / Mississippi Delta Health Collaborative's work related to policy, systems, and environmental approaches, as well as community-clinical linkages for the prevention and control of chronic diseases, such as heart disease and stroke.-- The Mississippi Department of Health's mission is to promote and protect the health of the citizens of Mississippi. In addition, The Mississippi Department of Health is designated as the sole and official agency to administer and supervise all health planning responsibilities for the state, as established by Section 41-7-171 et seq., of the Mississippi Code 1972. Therefore they are uniquely qualified to identify those areas of greatest need in the state; develop strategies to reduce barriers and deficiencies in the state's public health care system; and establish policies to encourage the provision of appropriate and quality care in the public and private health care system. Through its program, the Mississippi Delta Health Collaborative, reach of the Mississippi Department of Health is further extended to address health issues of rural areas of the state (i.e., the 18-county MS Delta Region). The MS Delta Region bears a disproportionate burden of heart disease and stroke, and related conditions as compared to the rest of Mississippi and to the nation. -- Mississippi Delta Health Collaborative has provided leadership and coordination of heart disease and stroke prevention and control efforts in communities across the MS Delta Region. Also, by directly engaging, and providing funding and technical assistance to community organizations to promote coordination of public health efforts, the Mississippi Delta Health Collaborative is poised to expeditiously and successfully implement strategies related to policy, systems, and environmental approaches; health systems; and community-clinical linkages to prevent and control heart disease and stroke in the MS Delta Region

Beneficiary Eligibility:

Anyone/general public; Local; The ultimate benefits of this program will be received by the general and priority adult populations in a local, rural area of Mississippi (i.e., the MS Delta Region) who have high blood pressure, and experience health disparities associated with high blood pressure. Priority adult populations are those adult population subgroups in the MS Delta Region who experience racial/ethnic and socioeconomic disparities, including inadequate access to care and poor quality of care. Strategies related to the prevention and control of heart disease and stroke will be implemented and tailored to meet the unique local needs of the MS Delta Region and to achieve the greatest impact.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The awardee will be subject to a term and condition that applies the terms of 48 CFR section 3.908 to (the award and requires that grantees inform their employees in writing (in the predominant native language of the workforce) of employee whistleblower rights and protections under 41 U.S.C. 4712 -- The awardee is subject to the DUNS, SAM Registration, and Federal Funding Accountability And Transparency Act of 2006 (FFATA) requirements.

Award Procedure:

-- Financial assistance from this FOA will be provided only to the Mississippi Department of Health / Mississippi Delta Health Collaborative. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants

Management Officer and e-mailed to the program director. A hard copy of the NoA will be mailed to the recipients fiscal officers identified in the applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. September 30, 2015 to September 29, 2018 Throughout the project period, CDC will continue the award based on the availability of funds, the evidence of satisfactory progress by the awardee (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. The total number of years for which federal support has been programmatically approved (project period) will be shown in the Notice of Award. This information does not constitute a commitment by the Federal Government to fund the entire period. The total project period comprises the initial competitive segment and any subsequent non-competitive continuation award(s).

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. September 30, 2015 to September 29, 2018 Throughout the project period, CDC will continue the award based on the availability of funds, award(s).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

FY 15 Budget Year: 12 months (September 30, 2015 to September 29, 2016)Total Project Period Length: 3 years (September 30, 2015 to September 29, 2018)-- Throughout the project period, CDC will continue the award based on the availability of funds, the evidence of satisfactory progress by the awardee (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. The total number of years for which federal support has been programmatically approved (project period) will be shown in the Notice of Award. This information does not constitute a commitment by the Federal Government to fund the entire period. The total project period comprises the initial competitive segment and any subsequent non-competitive continuation award(s). Method of awarding/releasing assistance: lump sum.

Reports:

4 program/progress reports are required: 1. Awardee Evaluation and Performance Measurement Plan; due 6 months into award.2. Annual Performance Report (APR); due 120 days before end of budget period, and serves as yearly continuation application.3. Federal Financial Reporting Forms; due 90 days after end of calendar quarter in which budget period ends.4. Final Performance and Financial Report; due 90 days after end of project period Four program/progress reports are required: 1. Awardee Evaluation and Performance Measurement Plan; due 6 months into award.2. Annual Performance Report (APR); due 120 days before end of budget period, and serves as yearly continuation application.3. Federal Financial Reporting Forms; due 90 days after end of calendar quarter in which budget period ends. . Final Performance and Financial Report; due 90 days after end of project period -- Having routine and ongoing communication between CDC and awardee.-- Conducting site visits by CDC to awardee.-- Reviewing and providing feedback on awardee reporting (including work plans, performance, and financial reporting).-- Tracking awardees progress in achieving the desired outcomes.-- Ensuring the adequacy of awardees systems that underlie and generate data reports.-- Creating an environment that fosters integrity in program performance and results.-- Ensuring that awardees work plans are feasible based on the budget and consistent with the intent of the award.-- Ensuring that awardee is performing at a sufficient level to achieve outcomes within stated timeframes.-- Working with awardee on adjusting the work plan based on achievement of outcomes, evaluation results, and changing budgets.-- Working with awardee on performance measures (both programmatic and financial) to assure satisfactory performance levels.-- Monitoring and reporting activities that assist grants management staff (e.g., grants management officers and specialists, and project officers) in the identification, notification, and management of high-risk grantees

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,150,000.00; FY 21 est \$3,150,000.00; FY 22 est \$3,150,000.00;

Range and Average of Financial Assistance:

Funding for the Mississippi Department of Health / Mississippi Delta Health Collaborative has ranged from \$1,058,464 to \$4,000,000.FY16 and 17 supports new FOA DP16-1612

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Highway, NE.,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.817 Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Title VI of Division G of the Consolidated and Continuing Appropriations Act, 2015 and Public Health Service Act, Section 311 (42 U.S.C. 243).

OBJECTIVES:

This program covers two separate, but related projects: Part A Health Care System Preparedness for Ebola and Part B Development of a Regional Network for Ebola Patient Care. The purpose of this program is to (1) improve healthcare system preparedness for Ebola and (2) develop a regional hospital network for Ebola patient care.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Part A - Health Care System Preparedness for Ebola Through Part A, HHS will provide funding to all 62 Hospital Preparedness Program (HPP) awardees to support state- or jurisdiction-designated Ebola treatment centers, assessment hospitals, and health care coalitions for overall health care system preparedness. Jurisdictions may use a portion of the funding to: compensate health care facilities for Ebola preparedness activities undertaken since July 2014, build additional capabilities to ensure the nations health care system and health care workers are ready to safely and successfully identify, isolate, assess, transport, and treat patients under investigation with Ebola or confirmed to have Ebola, and be well prepared for a future Ebola-like event. Applicants should review the individual funding opportunity announcement document for specific information about the use of funds for this program. Part B - Development of a Regional Network for Ebola Patient Care HHS will award funding through Part B of the FOA to up to 10 Hospital Preparedness Program (HPP) awardees (although the decision has been made for Region 4 and Region 7 facilities) to develop a regional network for Ebola patient care, including the establishment of approximately ten regional Ebola and other special pathogen treatment centers with significantly enhanced Ebola and other infectious disease capabilities that will accept a confirmed Ebola patient from their region, across the U.S., or medically evacuated from West Africa, as necessary. All HPP jurisdictions are eligible to apply for Part B funding. HPP will award a maximum of 10 awards through Part B (two sole source awards for [1] the Georgia Department of Public Health to support the Emory University Hospital, Atlanta, GA to serve as the Region 4 regional Ebola and other special pathogen treatment center and [2] the Nebraska State Department of Health and Human Services to support the Nebraska Medicine - Nebraska Medical Center, Omaha, NE to serve as the Region 7 regional Ebola and other special pathogen treatment center) and up to eight additional competitive awards for the remaining HHS regions.

Applicant Eligibility:

State; Eligible applicants are the 62 Hospital Preparedness Program (HPP) awardees, which include health departments in all 50 States, the District of Columbia, the nations three largest municipalities (Chicago, Los Angeles County, and New York City, the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam, and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

State; Health departments listed above, hospitals and supporting health care systems

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities

Award Procedure:

Notification is made in writing through a Notice of Award issued from the Office of the Assistant Secretary for Preparedness and Response

Deadlines:

February 23, 2015. Application deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period is 5 years with 12-month budget periods. Method of awarding/releasing assistance: letter

Reports:

Quarterly SF 425 Applicants funded under this announcement will be required to electronically submit an annual program progress report. semi-annual and annual financial reporting requirement

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 A-133

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of the grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45 CFR Part 92

Account Identification:

75-0140-0-1-551.

Obligations:

Formula Grants (Cooperative Agreements) FY 19 \$2,414,022.00; FY 20 est \$2,000,000.00; FY 21 est \$14,000,000.00; Formula Grants (Cooperative Agreements) FY 19 \$2,414,022.00; FY 20 est \$12,000,000.00; and FY 21 est \$14,000,000.00;

Range and Average of Financial Assistance:

Part A - Health Care System Preparedness for Ebola:Range: \$202,989 - \$15,229,780Est. Average Amount: \$2,612,903Part B - Development of a Regional Network for Ebola Patient Care:Range: \$3,250,000 - \$4,600,000Est. Average Amount: \$3,250,000

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organization; 2 CFR 200 (subparts A through D)

Regional or Local Office:

Headquarters Office:

Robert Scott Dugas,
Hospital Preparedness Program, Division of National Healthcare Preparedness Programs, Office of Emergency Management, Office of the Assistant Secretary for Preparedness and Response, U.S. Department of Health and Human Services, 200 C Street, SW, RM C4K12, Washington, DC 20024, E-mail: Robert.Dugas@hhs.gov , Telephone: (202) 245-0732, Washington DC 20024
Email: Robert.Dugas@hhs.gov
Phone: (202) 245-0732

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

93.074 Hospital Preparedness Program (HPP) and Public Health Emergency

Preparedness (PHEP) Aligned Cooperative Agreements;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicants must submit their application in accordance with the fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions, require the applicant to resubmit supporting information within a prescribed timeframe. Upon review and approval, the revised application can then be submitted to the Office of Grants Management for processing and issuing a notice of grant award.Part B - Development of a Regional Network for Ebola Patient Care:Applications that successfully proceed through the initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the funding opportunity. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act Sections 317A and 317B 317(k)(2) of the Public Health Service Act [42 U.S.C Sections 247b and 247b-2and 247b-3] as amended,

OBJECTIVES:

The objective of the project is to contribute to on-going efforts to reduce the morbidity, mortality and to break the chain of transmission of EVD by strengthening capacities at the district level of affected countries in western Africa to actively find, investigate and refer cases, register all potential contacts and monitor them for symptom development, strengthen data collection, reporting and analysis, and promote appropriate messaging for behavior change. This project also aims to address other public health issues in the affected countries that are exacerbated by the EVD outbreak.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Financial and technical resources are intended to be used for non-research activities necessary to complete the objectives stated above.

Applicant Eligibility:

Non-Government - General; World Health Organization (WHO). For purposes of this document, the term WHO includes its regional offices (e.g., PAHO, EMRO, AFRO, SEARO, etc.)

Beneficiary Eligibility:

Anyone/general public; Individuals worldwide, including the U.S.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Funds for this program will be provided via a supplemental award under the

ASSISTANCE LISTINGS DESCRIPTIONS

existing CDC-WHO cooperative agreement (FOA CK14-1402)

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Funds will be awarded as a supplement to the existing CDC-WHO cooperative agreement. Award is expected to be made within 30 days

Appeals:

Not Applicable.

Renewals:

Other. Annual renewals within an awarded multi-year project period are subject to the availability of funds and are made via a non-competitive process. Additional renewal info can be found in the published FOA CK14-1402

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 36-month budget period within project periods ranging from one to three years. Method of awarding/releasing assistance: lump sum.

Reports:

No later than 10 days after the end of each calendar quarter, recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). Annual Progress Reports (APR) are due at 15 months and 27 months during the budget/project period. Additional instructions regarding progress reporting requirements will be described in the official Notice of Award (NoA) from the CDC Procurement and Grant Office and can also be found in the published FOA CK14-1402. Federal Financial reports (SF-425) are due at 15 months, and 27 months during the budget/project period. The final progress report is due 90 days after the end of the project period.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years after the end of a period of performance. If questions still remain, such as those raised as result of an audit, records must be retained until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$0.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available; Cooperative Agreements FY 20 Not Available; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range and Average Award for FY2015: Approx \$50M

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program can be found in the published Funding Opportunity Announcement and official Notice of Award (NoA). Regulations are also published under 42 CFR 55b. Guidelines are available under 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhsolicy.htm>

Regional or Local Office:

Headquarters Office:

CGH Extramural Team Lead Caroline Gardner,,
1600 Clifton Rd, MSE29,
Atlanta GA 30329
Email: ctg8@cdc.gov
Phone: 404-639-4276

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.099 Collaboration with the World Health Organization and its regional offices for global health security and the International Health Regulations (IHR 2005);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.822 Health Careers Opportunity Program (HCOP)

HCOP: National HCOP Academies,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Section 739 and Section 740(c) of the Public Health Service Act (42 USC 293c and 293d),.

OBJECTIVES:

The Health Careers Opportunity Program (HCOP) also known as the National HCOP Academies strives to develop a more competitive applicant pool to build diversity in the health professions. Program's goal is to provide students from economically and educationally disadvantaged backgrounds who are interested in pursuing a health profession to develop the needed skills to compete for, enter, and graduate from a health or allied health professions program, graduate program in behavioral and mental health, and/or programs for the training of physician assistants. HCOP strives to improve retention, matriculation and graduation rates by implementing tailored enrichment programs designed to address the academic and social needs of trainees from disadvantaged backgrounds. It also provides opportunities for community-based health professions training, emphasizing experiences in underserved communities. HCOP focuses on three key milestones of education: (1) graduation from high school; (2) retention and graduation from college; and (3) acceptance, retention, and completion of a health career's degree program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 739 provides for assisting individuals from disadvantaged backgrounds to undertake education to enter a health or allied health profession. Grant funds may be used for: (1) recruitment; (2) facilitating entry; (3) counseling, mentoring and other services; (4) preliminary education and health research training; (5) financial aid information dissemination; (6) primary care exposure activities; (7) development of a more competitive applicant pool; (8) stipends; and (9) scholarships. Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals);

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Eligible applicants include accredited schools of medicine, osteopathic medicine, public health, dentistry, veterinary medicine, optometry, pharmacy, allied health, chiropractic, podiatric medicine, public and nonprofit private schools that offer graduate programs in behavioral and mental health, programs for the training of physician assistants, and other public or private nonprofit health or educational entities including community, technical and tribal colleges. HCOP grant programs may only operate in the fifty (50) states, the District of Columbia, Commonwealth of Puerto Rico, Commonwealth of Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, Republic of the Marshall Islands, and the Federated States of Micronesia. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; U.S. Citizen; Unemployed; Moderate Income; Low Income; Individual/Family, Student/Trainee, U.S. Citizen, Unemployed, Moderate Income, Low Income Eligible participants of the HCOP grant program must A) meet the definition of economically disadvantaged or be from an educationally disadvantaged background; and B) express an interest in pursuing a health degree program. Individuals must be U.S. citizens, non-citizen nationals, or foreign nationals who possess a visa permitting permanent residence in the United States. An individual will be determined to be disadvantaged if he or she comes from a background that has inhibited the individual from obtaining the knowledge, skills, and abilities required to enroll in and graduate from a health professions school or program providing education or training in an allied health profession; or comes from a family with an annual income below a level based on low income thresholds according to family size published by the Bureau of the Census, adjusted annually for changes in the Consumer Price Index, and adjusted by the Secretary for use in health professions programs.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system

for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$13,733,088.00; FY 21 est \$13,321,173.00; FY 22 est \$13,321,173.00;

Range and Average of Financial Assistance:

FY 2020 range: \$572,361 - \$665,228 Average award: \$653,956 FY 2021 range: \$609,819 - \$640,000 Average award: \$634,341 FY 2022 est. range: \$0 Average award est.: \$0

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year 2019-2020, all National HCOP Academies supported training programs and activities to promote interest and success in the health professions among prospective disadvantaged students. In total, the National HCOP Academies reached 4,259 disadvantaged trainees across the country through their structured programs, including 2,813 graduates.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Kim Y Evans, Division of Health Careers and Financial Support, Bureau of Health Workforce,
Health Resources and Services Administration, Department of Health and Human Services, Room 15N38D, 5600 Fishers Lane,
Rockville MD 20857
Email: kevans@hrsa.gov
Phone: (301)443-3918

ASSISTANCE LISTINGS DESCRIPTIONS

Website Address:

<https://bhwh.hrsa.gov/grants/healthcareers>

RELATED PROGRAMS:

93.416 ARRA - Health Careers Opportunity Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.825 National Ebola Training and Education Center (NETEC)

(NETEC).

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Title VI of Division G of the Consolidated and Continuing Appropriations Act, 2015 and Public Health Service Act, Section 311 (42 U.S.C. 243),

OBJECTIVES:

CDC, will increase the competency of health care and public health workers and the capability of health care facilities to deliver efficient and effective Ebola patient care through the nationwide, regional network for Ebola and other infectious diseases. Comprised of staff from hospitals that have successfully evaluated and treated Ebola patients in the U.S., and in collaboration with staff from CDC and ASPR, the NETEC will offer expertise, education, training, technical assistance, peer review assessments, recognition reporting, and, if feasible, certification to regional Ebola and other special pathogen centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals expertise, education regional Ebola and other special pathogen treatment centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals. This cooperative agreement will support a single consortium agreement, under which the awardee collaborates with one or more other organizations in carrying out the cooperative agreement-supported activities of the NETEC.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

HHS will provide funding to health care facilities that have safely and successfully evaluated and treated patients with Ebola in the U.S. to support a consortium agreement to establish the National Ebola Training and Education Center (NETEC). The NETEC will increase the competency of health care and public health workers and the capability of health care facilities to deliver efficient and effective Ebola patient care through the nationwide, regional network for Ebola and other infectious diseases. Comprised of staff from hospitals that have successfully evaluated and treated Ebola patients in the U.S., and in collaboration with staff from CDC and ASPR, the NETEC will offer expertise, education, training, technical assistance, peer review assessments, recognition reporting, and, if feasible, certification to regional Ebola and other special pathogen centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals expertise, education regional Ebola and other special pathogen treatment centers, state- and jurisdiction-based Ebola treatment centers, and assessment hospitals. Applicants should review the individual funding opportunity announcement document for

specific information about the use of funds for this program.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Other private institutions/organizations; Eligible applicants are limited to health care facilities that have safely and successfully evaluated and treated patients with Ebola in the U.S. The lead applicant will collaborate, coordinate, plan, and work directly with the other facilities on appropriate activities described in the individual funding opportunity announcement, as well as distribute the funds from the funding opportunity announcement to support those activities.

Beneficiary Eligibility:

Other public institution/organization; Public health departments, hospitals and supporting health care systems

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities

Award Procedure:

Notification is made in writing through a Notice of Award issued from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period is 5 years with 12-month budget periods. Method of awarding/releasing assistance: letter

Reports:

Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semi-annual and year-end annual progress reports are required. Recipients are required to submit the FFR SF425 Federal Financial Report (FFR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

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Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 A-133

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of the grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45 CFR Part 75.

Account Identification:

75-0140-0-1-551.

Obligations:

Project Grants (Cooperative Agreements) FY 19 \$5,397,501.00; FY 20 est \$10,000,000.00; FY 21 Estimate Not Available;

Range and Average of Financial Assistance:

12,000,000

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of OMB 2 CFR Part 200 (subparts A through F), HHS Administrative Requirements, which can be found in 45 CFR Part 75

Regional or Local Office:

None.

Headquarters Office:

Melissa Harvey,
Division of National Healthcare Preparedness Programs, Office of Emergency Management, Office of the Assistant Secretary for Preparedness and Response, U.S. Department of Health and Human Services, 200 C Street, SW, RM C4K12, Washington, DC 20024, Washington DC 20024
Email: Melissa.Harvey@hhs.gov
Phone: (202) 692-4673
Team Lead, ESAR-VHP Jennifer Hannah,
Division of National Healthcare Preparedness Programs, Office of Emergency Management, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, 200 C Street, SW, Washington, District of Columbia 20024, Washington DC 20024
Email: jennifer.hannah@hhs.gov
Phone: 2022450722
Fax:

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements; 93.817 Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that successfully proceed through the initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the funding opportunity. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

93.826 Closing the Gap Between Standards Development and Implementation

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Consolidated Appropriations Act, 2017, Pub. L. No. 115-31, Division H, Title II, OBJECTIVES:

To establish a mechanism for ongoing long-term collaborative engagement with Health Level 7 International (HL7) in order to support advancements in the technical standards necessary to achieve interoperability among health IT systems, and to reach the milestones identified in the Nationwide Interoperability Roadmap.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds for this cooperative agreement can be used to provide a sub-recipient funds through a contract or subgrant.

Applicant Eligibility:

Non-Government - General; Sole source award to Health Level 7 International
Beneficiary Eligibility:

Federal; Health Professional; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; The beneficiaries will include all health care organizations and patients using electronic health records.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application must be entered into Grants.gov.

Award Procedure:

The Notice of Grant Award (NGA) is signed by the ONC Grants Management Officer. The successful applicants Authorized Representatives will receive the NGA electronically from ONC. The NGA is considered the official authorizing award document. The NGA will include amount of funds awarded, the terms and conditions of the cooperative agreement, the effective date of the award, the budget period for which support will be given, the total project period time frame, and the total approved budget.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The assistance is available during the period of performance. Method of awarding/releasing assistance: other

Reports:

Reports will be developed by ONC staff prior to award of the funds. Federal Cash Transaction Report Reports will be developed by ONC Project Officer prior to

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award of funds. Federal Cash Financial Report Reports will be developed by the ONC Project Officer prior to award of funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$1,360,000.00; FY 21 est \$1,360,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

A total of \$4,930,000 has been obligated between FY 17 and FY20. An estimated \$1,360,000 is set to be obligated for FY21.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 Subparts B through D and F

Regional or Local Office:

None.

Headquarters Office:

Program Analyst Matthew Rahn,
Office of the National Coordinator for Health IT 330 C Street, SWSuite 726-G,
Washington DC 20201
Email: matthew.rahm@hhs.gov
Phone: 202-691-2132

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.829 Section 223 Demonstration Programs to Improve Community Mental Health Services

Section 223 Behavioral Health Demonstration,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

(For all authorizations/amendments, you must supply the Act or the Executive Order in order to continue) P.L. 113-90 Protecting Access to Medicare Act of 2014, 42 USC 1396a note, section 223 subsections (a), (b), (d)(7),

OBJECTIVES:

Funding to support development of proposals to participate in time-limited demonstration programs described in subsection (d) of section 223 of P.L. 113-93 Protecting Access to Medicare Act of 2014, 42 USC 1396(a) note.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 223 (c)(2) Use of funds-A State awarded planning grant under this subsection shall (A) solicit input with respect to the development of such a demonstration program from patients, providers, and other stakeholders; (B) certify clinics as certified community behavioral health clinics for purpose of participating in a demonstration program conducted under subsection (d);

Applicant Eligibility:

Interstate; The statutory authority limits eligibility to states including the District of Columbia. Eligible applicants are either the State Mental Health Authority (SMHSA) or the Single State Agency for Substance Abuse Services (SSA) or the State Medicaid Agency (SMAs).

Beneficiary Eligibility:

Interstate; Individual/Family; Non-profit organization; Consumer; Mentally Disabled; Drug Addict; Alcoholic; Child; Youth; Senior Citizen

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download the SF_424 application forms through <http://www.samhsa.gov/grants/apply.aspx>.

Award Procedure:

Applications are awarded based on the outcomes of the SAMHSA Peer Review, CMHS National Advisory Council review; and approval of the SAMHSA Administrator. Grants are awarded directly by SAMHSA to the Applicant Organization.

Deadlines:

October 23, 2016 - October 22, 2017. 10/23/16 – 10/22/17

Range of Approval/Disapproval Time:

From 30 to 60 days. 30-60 days. To be determined by agency or office administering the funds.

Appeals:

Not Applicable. N/A

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: Lump sum.

Reports:

GPRa and data collection reports; quarterly progress reports; reporting capacity and provide information in support of meeting Prospective Payment Systems requirements, quality reporting requirements, and demonstration evaluation reporting requirements listed under Quality measures and Other reporting Requirements in Appendix II of the RFA; cost reports that conform to CMS Guidance; reporting of encounter data, clinical outcomes data, quality data; and assessment of the quality. Quarterly progress reports. GPRa and data collection reports; quarterly progress reports

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F Audit Requirements, "nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program- specific audit conducted for that year.

ASSISTANCE LISTINGS DESCRIPTIONS

Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Records must be retained for at least 3 years; SAMHSA has the right to disallow costs and recover funds on the basis of a later audit or other review; records shall be retained beyond the 3 year period for that reason.

Account Identification:

75-0516-0-1-551.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$195,708,799.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Up to \$3 Million. It is estimated that 251 awards will be made.

TAFS Codes:

75-0516.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

P.L. 113-93 Protecting Access to Medicare Act of 2014; 42 USC 1396(a), note section 223 subsections (a),(b),(d)(7). Criteria for the Demonstration Program to Improve Community Mental Health Centers and to Establish Certified Community Behavioral Health Clinics found in Appendix II of the Request for Application (RFA). Section 223 Demonstration Programs to Improve Community Mental Health Services Prospective Payment System (PPS) Guidance.

Regional or Local Office:

See Regional Assistance Locations. Cynthia Kemp MA, LPC, CMHS, SAMHSA, 1 Choke Cherry Road, Room 6-1019, Rockville, MD 20850, Phone: 240-276-1906, Email: Cynthia.kemp@SAMHSA.HHS.gov; David Morrisette, Ph.D., LCSW, CAPT, US PHS, CMHS, SAMHSA, 1 Choke Cherry Road, Room 6-1011, Rockville, MD 20850, Phone: 240-276-1912, Email: David.morrisette@SAMHSA.HHS.gov

Headquarters Office:

Roger George,
1 Choke Cherry Road, Room 7-1097,
Rockville MD 20850
Email: Roger.george@SAMHSA.HHS.gov
Phone: 240-276-1418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SAMHSA applications are peer-reviewed according to the evaluation criteria listed in the RFA. Decisions to fund a grant are based on: -The strengths and weaknesses of the application as identified by peer reviewers;-When the individual award is over \$150,000, approval by the Center for Mental Health Services National Advisory Council; -availability of funds;-equitable distribution of awards in terms of geography (including urban, rural, and remote settings) and balance among populations of focus and program size.

93.831 Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act and P.L. 109-149 Department of Labor, Health and Human Services and Education and related agencies Appropriations Act,

OBJECTIVES:

To establish a mechanism for ongoing collaboration among ONC and various SDOs. It aims to provide support to these organizations for standards and interoperability work within these organizations portfolios that is of mutual interest to ONC. Additionally, it is anticipated that these ongoing cooperative relationships could include specific work aimed at the range of different technical standards developed and maintained by these SDOs, how those standards align with other SDOs work, and how to measure the use of published standards.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds for this cooperative agreement can be used to provide a sub-recipient funds through a contract or subgrant.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be a United States-based non-profit institution or organization, state or local government, agency or group.

Beneficiary Eligibility:

Federal; Health Professional; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; The beneficiaries will include all health care organizations and patients using electronic health records.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Notice of Grant Award (NGA) is signed by the ONC Grants Management Officer. The successful applicants Authorized Representatives will receive the NGA electronically from ONC. The NGA is considered the official authorizing award document. The NGA will include amount of funds awarded, the terms and conditions of the cooperative agreement, the effective date of the award, the budget period for which support will be given, the total project period timeframe, and the total approved budget.

Deadlines:

September 30, 2015.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available during the performance period. Method of awarding/releasing assistance: other

Reports:

Reports will be developed by ONC staff prior to award of funds. Federal Financial Cash Transaction Report. Reports will be developed by ONC Project Officer prior

ASSISTANCE LISTINGS DESCRIPTIONS

to award of the funds. Federal Financial Cash Transaction Report. Reports will be developed by ONC Project Officer prior to award of the funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements FY 19 \$0.00; FY 20 Estimate Not Available; FY 21 Estimate Not Available; Cooperative Agreements FY 19 Not Available; FY 20 est \$0.00; and FY 21 Estimate Not Available;

Range and Average of Financial Assistance:

Average of \$100,000.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Office of the National Coordinator for Health IT Attn: Mera Choi and Carmen Smiley 200 Independence Ave, SW Suite 729-D Washington, DC 20201

Headquarters Office:

Grants Management Officer Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 2027202919

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A panel that may include both expert peer reviewers and Federal staff will review the applications to ensure that it meets the responsiveness and screening criteria outlined in this section. The purpose of this review is to determine if the approach and strategy are aligned with grant requirements. The detailed results of this review will be shared with the applicants upon request. The applications will be scored according to the following point system: 1. Discussion and Understanding of the Project 2. Technical Approach 3. Personnel Qualification and Past Performance 4. Management Plan 5. Budget Plan

93.832 Promoting the Cancer Surveillance Workforce, Education and Data Use

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Authorized under section 301(a) of the Public Health Service Act, [42 U.S.C. section 241(a)], as amended.,

OBJECTIVES:

The purpose of this program is to expand the capacity of CDC-funded National

Program of Cancer Registries (NPCR) through external partners, to pursue activities that impact the national cancer surveillance workforce.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

APPLICANT WILL ADHERE TO ALL CDC GUIDELINES REGARDING ALLOWABLE AND UNALLOWABLE EXPENSES. RESTRICTIONS THAT MUST BE CONSIDERED WHILE PLANNING THE PROGRAMS AND WRITING THE BUDGET ARE: Awardees may not use funds for research. Awardees may use funds for reasonable program purposes, including personnel, travel, supplies, and services.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Nonprofit with 501C3 IRS status (other than institution of higher education) Nonprofit without 501C3 IRS status (other than institution of higher education) Private colleges and universities

Beneficiary Eligibility:

Anyone/general public; Nonprofit with 501C3 IRS status (other than institution of higher education) Nonprofit without 501C3 IRS status (other than institution of higher education) Private colleges and universities

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted electronically at www.Grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from www.Grants.gov on the deadline date. The application package can be downloaded from www.Grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the [Grants.gov](http://www.Grants.gov) Web site. The applicant must submit all application attachments using a PDF file format when submitting via [Grants.gov](http://www.Grants.gov). Directions for creating PDF files can be found on the [Grants.gov](http://www.Grants.gov) Web site. Use of file formats other than PDF may result in the file being

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

>180 Days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There is a 12 month budget period in which assistance is available. Method of

ASSISTANCE LISTINGS DESCRIPTIONS

awarding/releasing assistance: lump sum.

Reports:

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period. Annual Performance Report (APR) (required): The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but Web links are allowed. : Federal Financial Reporting (FFR): The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to PGO and include the date by which the Grants Officer will receive information CDC programs may require more frequent reporting of performance measures than annually in the APR. If this is the case, CDC programs must specify reporting frequency, data fields, and format for awardees at the beginning of the award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

75-0904-2-1-150.

Obligations:

Cooperative Agreements FY 20 \$300,000.00; FY 21 est \$400,000.00; FY 22 est \$400,000.00;

Range and Average of Financial Assistance:

The award range is \$200,000 per budget year for five years.

TAFS Codes:

75-0904.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC's National Center for Chronic Disease Prevention and Health Promotion/ Division of Heart Disease and Stroke

Prevention and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the criteria below. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.833 Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States

Chronic Kidney Disease (CKD),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 317(k)(2) of the Public Health Service Act (PHS Act), 42 U.S.C. 247b(k)(2), Section 301(a) of the PHS Act, 42 U.S.C. 241(a),.

OBJECTIVES:

This award will build upon previous work to continue developing, supporting and enhancing the CKD Surveillance System in the United States, to monitor the burden and trends of CKD and its risk factors over time, and monitor and evaluate trends in achieving Healthy People 2020 objectives.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines regarding allowable and unallowable expenses. Maintain and enhance a viable and functional CKD Surveillance System website. www.cdc.gov/ckd/surveillance Increase the visibility of the CKD Surveillance System and disseminate the CKD surveillance findings by the number of publications and related citations in peer-reviewed journals and presentations at national and international meetings and conferences. Improve the breadth and scope of CKD surveillance data in the United States by the number of established and current data sharing and access agreements with appropriate federal, public and private partners for access to data relevant to CKD surveillance. Expand and grow the CKD Surveillance System by the number of CKD topics and indicators focusing on CKD burden and health consequences, risk factors, at-risk or vulnerable populations, and geographic indicators with up-to-date data and trends. Assess and evaluate the CKD Surveillance System content, data sources to keep or include, gaps in surveillance data, and future data and research needs. Provide data analysis reports for HP2020 progress review in achieving CKD objectives.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Public nonprofit organizations Private nonprofit organizations For profit organizations Small, minority, and women-owned businesses Universities Colleges Research institutions Hospitals Community-based organizations Faith-based organizations Indian/Native American Tribal Governments (Federally Recognized) Indian/Native American Tribal Governments (other than Federally recognized) Indian/Native American Tribally Designated Organizations State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Note: A Bona Fide Agent is an agency/organization identified by the state as eligible to submit an application under the state eligibility in lieu of a state application.

Beneficiary Eligibility:

Anyone/general public; The general public will benefit from the objectives of this

ASSISTANCE LISTINGS DESCRIPTIONS

program. Kidney diseases are the ninth leading cause of death in the United States and more than 1 of 10 US adults may have CKD. The CKD Surveillance System is intended to raise awareness about CKD and its risk factors, promote early diagnosis, and improve outcomes for those living with CKD.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q, 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Project periods are for 1 to 5 years with 12-month budget periods contingent on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal government. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 budget period within a 5 year project period Method of awarding/releasing assistance: lump sum.

Reports:

APR are due 120 days before the end of the budget period. APR are due 120 days before the end of the budget period. Federal Financial Reports (FFRs) are due 90 days after the end of the budget period Provided in the Funding Opportunity Announcement

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$1,286,288.00; FY 21 est \$1,200,000.00; FY 22 est \$1,200,000.00;

Range and Average of Financial Assistance:

Current FOA DP11-1109 funds three awardee ranging from 200000 400000; new FY16 will fund two range is contingent upon funding request.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or 92 as appropriate.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants must be able to demonstrate:Previous experience with the development of large-scale CKD surveillance systemsEstablished and current data sharing and access agreements with appropriate federal, public and private partners for access to data relevant to the CKD surveillance systemA proven track record of publications related to the establishment of a national CKD surveillance system and dissemination of surveillance findings.Previous experience with national, regional, and hospital based data pertaining to CKD and its risk factorsPrevious experience with working in a collaborative multi-center project for the development and maintenance of a national surveillance system.

93.834 Capacity Building Assistance (CBA) for High-Impact HIV Prevention

The Access to Prevention and Care Capacity Building Assistant Project (APAC Project),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Public Health Service Act, Part 42 U.S.C., Section 301(a) and 318 of the Public Health Service Act, 42 U.S.C. sections 241 (a) and 247c,

OBJECTIVES:

To reduce morbidity and mortality by preventing cases and complications of HIV and other sexually transmitted diseases by building the capacity of healthcare organizations, community based organizations, and State and local health departments. Grants and cooperative agreements may be for (1) training, technical assistance, or information dissemination; (2) HIV testing and diagnosis; (3) interventions and strategies that support targeted HIV prevention and the HIV care continuum; and (4) use of data for program quality improvement. Project grants under Section 318c awarded to State and local health departments emphasize the development and implementation of nationally uniform prevention and control programs which focus on disease intervention activities designed to reduce the incidence of these diseases, with applied research, demonstration, and public and professional education activities supporting these basic program activities authorized under Section 318b of the Public Health Service Act.

TYPES OF ASSISTANCE:

Cooperative Agreements

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USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be for (1) training, technical assistance, or information dissemination; (2) HIV testing and diagnosis; (3) interventions and strategies that support targeted HIV prevention and the HIV care continuum; and (4) use of data for program quality improvement.

Applicant Eligibility:

U.S. Territories and possessions; Non-Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Open Competition/Unrestricted

Beneficiary Eligibility:

U.S. Territories; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Official public health agencies of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, they may contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIM@cdc.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Recipients will receive an electronic copy of the Notice of Award (NOA) from CDC OGS. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized GMO and emailed to the Recipient Business Officer listed in application and the Program Director. Any applicant awarded funds in response to this NOFO will be subject to the DUNS, SAM Registration, and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements. Unsuccessful applicants will receive notification of these results by e-mail with delivery receipt or by U.S. mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewal will be awarded based on the information contained in the NOFO Notice of Award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period for these grants is 1 to 5 years, and the budget period is 12 months. Method of awarding/releasing assistance: letter of credit. Method of awarding/releasing assistance: letter

Reports:

See notice of funding opportunity (NOFO) for details. See notice of funding opportunity (NOFO) for details. See FOA for details. See notice of funding opportunity (NOFO) for details. See notice of funding opportunity (NOFO) for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. The full text of the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards, 45 CFR 75, can be found at: <https://www.ecfr.gov/cgi-bin/text-idx?node=pt45.1.75>

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$26,394,992.00; FY 21 est \$27,049,992.00; FY 22 est \$27,049,992.00; Cooperative Agreements FY 20 \$24,049,992.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$26,395,000.00; FY 21 est \$24,050,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No data available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 95-50.000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096
Email: mzf3@cdc.gov
Phone: 4046398864
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs; 93.947 Tuberculosis Demonstration, Research, Public and Professional Education; 93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1. Review and Selection Process: Applications will be reviewed in three phases.a.

ASSISTANCE LISTINGS DESCRIPTIONS

Phase I ReviewAll applications will be initially reviewed for eligibility and completeness by the Office of Grants Services. Complete applications will be reviewed for responsiveness by Grants Management Officials and Program Officials. Non-responsive applications will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility and/or published submission requirements. b. Phase II ReviewA review panel will evaluate complete, eligible applications in accordance with the criteria below.i. Approach ii. Evaluation and Performance Measurement iii. Applicants Organizational Capacity to Implement the ApproachNot more than thirty days after the Phase II review is completed, applicants will be notifiedelectronically if their application does not meet eligibility or published submission requirements.

93.835 Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Executive Order Executive Order 13691, , Act, 2015, P.L. 113-235,

OBJECTIVES:

The first objective of this award is to gain an understanding of the cybersecurity threat information needs of the Sector and the gaps within both the Sector as a whole and the awardees organization specifically in meeting these needs.The second objective of this award is to increase organizational capacity and develop a strategy to expand cybersecurity threat information sharing in the Sector.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Objective 1: Cybersecurity Threat Information Gap AnalysisObjective 2: Capacity Building and Strategy Development

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); N/A

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Health Professional; Scientist/Researchers; Public nonprofit institution/organization; Other public institution/organization; Beneficiary Eligibility: Select as many that apply (see pages 11 through 14 of the Reference Manual)Nonprofit with 501(c)3 IRS status (other than institution of higher education)Nonprofit without 501(c)3 IRS status (other than institution of higher education)UniversitiesCollegesResearch institutionsHospitalsCommunity-based organizationsFaith-based organizations

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See FOA for details

Award Procedure:

See FOA for details

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. See FOA for details

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 month budget period See FOA for details Method of awarding/releasing assistance: letter

Reports:

Quarterly See FOA for details Semi-annual See FOA for details

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 A-133

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of the grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0140-0-1-551.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 19 \$500,000.00; FY 20 est \$680,000.00; FY 21 est \$680,000.00;

Range and Average of Financial Assistance:

\$150,000

TAFS Codes:

75-0140.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Chief Grants Management Officer Virginia Simmons,
Assistant Secretary Preparedness & ResponseUS Department of Health and Human Services200 C Street SW,
Washington DC 20024
Email: virginia.simmons@hhs.gov
Phone: 2022600400

Website Address:

<http://PHE.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

see FOA for details

ASSISTANCE LISTINGS DESCRIPTIONS

93.837 Cardiovascular Diseases Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Reauthorization Act of 2000, Public Law 106-554.,

OBJECTIVES:

To foster heart and vascular research in the basic, translational, clinical and population sciences, and to foster training to build talented young investigators in these areas, funded through competitive research training grants. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual predoctoral and postdoctoral national research service awards (NRSA) are made directly to individuals through their universities for research training in heart and vascular diseases. NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6 to 12 months in duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Direct to Phase II SBIR awards are for projects that have been developed beyond the feasibility phase using resources other than NIH Phase I awards that meet program needs and exhibit potential for commercial application. SBIR Phase IIB awards are for promising projects that require additional time and funding to advance to commercialization. The Phase IIB program promotes partnerships between SBIR or STTR Phase II awardees and third-party investors and/or strategic partners by encouraging matching contributions. STTR Phase I awards (normally of 6 to 12 months in duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. The SBIR/STTR Commercialization Readiness Pilot Program awards facilitate the transition of previously or currently funded SBIR and STTR Phase II and Phase IIB projects to the commercialization phase by providing additional support for technical assistance and later stage research and development not typically supported through Phase II or Phase IIB grants or contracts including replication of key studies, Investigational New Drug (IND)-enabling studies, clinical studies, manufacturing costs, regulatory assistance or a combination of services. While the intent of the SBIR/STTR programs is commercialization (Phase III), only SBIR/STTR technical and business assistance (TABA) funds are allowed to be used for commercialization activities such as patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and

partnerships. The remaining SBIR/STTR funds are for research and development purposes only and exclude these commercialization activities.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals, Public nonprofit institution/organization (includes institutions of higher education and hospitals), Individual/Family, Small business (less than 500 employees), Private nonprofit institution/organization (includes institutions of higher education and hospitals). Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit or owned by another small business that itself is independently owned and operated for profit, or owned by multiple venture capital operating companies, hedge funds, private equity firms, or any combination of these and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects must be performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principal investigator of an STTR award may be employed with either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies

ASSISTANCE LISTINGS DESCRIPTIONS

to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff inform applicants of the results of the review. If support is contemplated, staff initiate preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

>180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 7 years. Funding commitments are made annually. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 to 12 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 6 to 12 months; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. Method of awarding/releasing assistance: other

Reports:

Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSAs to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSAs to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

Project Grants FY 20 \$1,611,281,772.00; FY 21 est \$1,620,340,891.00; FY 22 est \$1,703,830,680.00;

Range and Average of Financial Assistance:

Grants: \$5,000 to \$8,750,000; \$520,988. SBIR Phase I - \$791,543; Phase II - up to \$1,997,399; STTR Phase I - \$398,081, Phase II - \$1,270,287.

TAFS Codes:

75-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The estimates for fiscal year 2021 are 2,964 research grants and 424 National Research Service Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the NIH, CDC, and FDA for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the NIH for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

NHLBI Referral Officer Dana A. Phares,
6701 Rockledge Drive, Room 7176,
Bethesda MD 20892
Email: pharesda@nhlbi.nih.gov
Phone: 301-827-7968

Website Address:

<https://www.nhlbi.nih.gov/about/scientific-divisions/division-cardiovascular->

ASSISTANCE LISTINGS DESCRIPTIONS

sciences

RELATED PROGRAMS:

93.838 Lung Diseases Research; 93.839 Blood Diseases and Resources Research; 93.233 National Center on Sleep Disorders Research; 93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) the soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) the adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) The adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.838 Lung Diseases Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.,

OBJECTIVES:

The Division of Lung Diseases supports research and research training on the causes, diagnosis, prevention, and treatment of lung diseases and sleep disorders. Research is funded through investigator-initiated and Institute-initiated grant programs and through contract programs in areas including asthma, bronchopulmonary dysplasia, chronic obstructive pulmonary disease, cystic fibrosis, respiratory neurobiology, sleep and circadian biology, sleep-disordered breathing, critical care and acute lung injury, developmental biology and pediatric pulmonary diseases, immunologic and fibrotic pulmonary disease, rare lung

disorders, pulmonary vascular disease, and pulmonary complications of AIDS and tuberculosis. The Division is responsible for monitoring the latest research developments in the extramural scientific community as well as identifying research gaps and needs, obtaining advice from experts in the field, and implementing programs to address new opportunities. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6 to 12 months duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Direct to Phase II SBIR awards are for projects that have been developed beyond the feasibility phase using resources other than NIH Phase I awards that meet program needs and exhibit potential for commercial application. SBIR Phase IIB awards are for promising projects that require additional time and funding to advance to commercialization. The Phase IIB program promotes partnerships between SBIR or STTR Phase II awardees and third-party investors and/or strategic partners by encouraging matching contributions. STTR Phase I awards (normally of 6 to 12 months duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. The SBIR/STTR Commercialization Readiness Pilot Program awards facilitate the transition of previously or currently funded SBIR and STTR Phase II and Phase IIB projects to the commercialization phase by providing additional support for technical assistance and later stage research and development not typically supported through Phase II or Phase IIB grants or contracts including replication of key studies, Investigational New Drug (IND)-enabling studies, clinical studies, manufacturing costs, regulatory assistance or a combination of services. While the intent of the SBIR/STTR programs is commercialization (Phase III), only SBIR/STTR technical and business assistance (TABAs) funds are allowed to be used for commercialization activities such as patent fees; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships. The remaining SBIR/STTR funds are for research and development purposes only and exclude these commercialization activities.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any nonprofit organization engaged

ASSISTANCE LISTINGS DESCRIPTIONS

in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit or owned by another small business that itself is independently owned and operated for profit or owned by multiple venture capital operating companies, hedge funds, private equity firms, or any combination of these, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects are generally performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principal investigator of an STTR award may be employed with either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Health Professional; Education Professional; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the

National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

>180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods typically ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 to 12 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 6 to 12 months; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. Method of awarding/releasing assistance: other

Reports:

Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and paycheck provisions. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and paycheck provisions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH.

Foreign organizations and Federal institutions must retain records for 3 years from

ASSISTANCE LISTINGS DESCRIPTIONS

the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

Project Grants FY 20 \$742,352,501.00; FY 21 est \$746,526,324.00; and FY 22 est \$784,991,793.00;

Range and Average of Financial Assistance:

Grants: \$4,861 to \$13,299,576; \$485,090. SBIR Phase I - \$299,983; Phase II - up to \$1,515,600; STTR Phase I - \$465,219; Phase II \$750,000.

TAFS Codes:

75-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The estimates for fiscal year 2021 are 1,331 research grants and 190 National Research Service Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the NIH, CDC, and FDA for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the NIH for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

NHLBI Referral Officer Dana A. Phares, Ph.D.,
6701 Rockledge Drive, Room 7176,
Bethesda MD 20892
Email: pharesda@nhlbi.nih.gov
Phone: 301-827-7968

Website Address:

<https://www.nhlbi.nih.gov/about/scientific-divisions/division-lung-diseases>

RELATED PROGRAMS:

93.233 National Center on Sleep Disorders Research; 93.837 Cardiovascular Diseases Research; 93.839 Blood Diseases and Resources Research; 93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the scientific, technical, or technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; (8) the adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; (8) The adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.839 Blood Diseases and Resources Research

Division of Blood Diseases and Resources (DBDR),

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF
AUTHORIZATION:

Public Health Service Act, Section 301, 422, and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285 and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.,

OBJECTIVES:

The Division of Blood Diseases and Resources supports research and research training on the pathophysiology, diagnosis, treatment, and prevention of non-malignant blood diseases, including anemias, sickle cell disease, thalassemia; leukocyte biology, pre-malignant processes such as myelodysplasia and myeloproliferative disorders; hemophilia and other abnormalities of hemostasis and thrombosis; and immune dysfunction. Funding encompasses a broad spectrum of hematologic inquiry, ranging from stem cell biology to medical management of blood diseases and to assuring the adequacy and safety of the nation's blood supply. Programs also support the development of novel cell-based therapies to bring the expertise of transfusion medicine and stem cell technology to the repair and regeneration of human tissues and organs. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research

ASSISTANCE LISTINGS DESCRIPTIONS

training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6 to 12 months duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Direct to Phase II SBIR awards are for projects that have been developed beyond the feasibility phase using resources other than NIH Phase I awards that meet program needs and exhibit potential for commercial application. SBIR Phase IIB awards are for promising projects that require additional time and funding to advance to commercialization. The Phase IIB program promotes partnerships between SBIR or STTR Phase II awardees and third-party investors and/or strategic partners by encouraging matching contributions. STTR Phase I awards (normally of 6 to 12 months duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. The SBIR/STTR Commercialization Readiness Pilot Program awards facilitate the transition of previously or currently funded SBIR and STTR Phase II and Phase IIB projects to the commercialization phase by providing additional support for technical assistance and later stage research and development not typically supported through Phase II or Phase IIB grants or contracts including replication of key studies, Investigational New Drug (IND)-enabling studies, clinical studies, manufacturing costs, regulatory assistance or a combination of services. While the intent of the SBIR/STTR programs is commercialization (Phase III), only SBIR/STTR technical and business assistance (TABAs) funds are allowed to be used for commercialization activities such as patent fees; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships. The remaining SBIR/STTR funds are for research and development purposes only and exclude these commercialization activities.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit or owned by multiple venture capital operating companies, hedge funds, private equity firms, or any combination of these, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects are generally performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business

concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principal investigator of an STTR award may be employed with either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>).

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparations of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the

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Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

>180 Days. Renewals require application and review in the same manner as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 7 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 to 12 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 6 to 12 months; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. Method of awarding/releasing assistance: other

Reports:

Annual reports from grantees are required on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports from grantees are required on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel record for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

Project Grants FY 20 \$439,590,904.00; FY 21 est \$442,062,419.00; and FY 22 est \$464,840,155.00;

Range and Average of Financial Assistance:

Grants: \$5,000 to \$9,872,158; \$466,959. SBIR Phase I-- \$420,000, Phase II-- \$2,022,100; STTR Phase I- \$249,310, Phase II- \$935,957.

TAFS Codes:

72-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The estimates for fiscal year 2021 are 890 research grants and 127 National Research Service Awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the NIH, CDC, and FDA for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the NIH for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

NHLBI Referral Officer Dana A. Phares, Ph.D.,
6701 Rockledge Drive, Room 7176,
Bethesda MD 20892
Email: pharesda@nhlbi.nih.gov
Phone: 301-827-7968

Website Address:

<https://www.nhlbi.nih.gov/about/scientific-divisions/division-blood-diseases-and-resources>

RELATED PROGRAMS:

93.837 Cardiovascular Diseases Research; 93.838 Lung Diseases Research; 93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders; 93.233 National Center on Sleep Disorders Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; (8) the adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of

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the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.840 Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Reauthorization Act of 2000, Public Law 106-554.,

OBJECTIVES:

The Center for Translation Research and Implementation Science (CTRIS) supports the conduct of pragmatic trials which identify the best strategies for ensuring successful integration of evidence-based interventions within clinical and public health settings, such as health centers, worksites, communities, and schools in the United States and abroad. Trials may use qualitative, quantitative, and/or mixed methods approaches. Mixed methods also known as multi-methods involve the integration of quantitative and qualitative data collection and analytical approaches into a single study or program of inquiry to generate new knowledge. It can include either concurrent or sequential use of these two classes of methods to follow a line of inquiry. Pragmatic trials constitute a cornerstone of late-stage T4 translation research process. They are unlike explanatory trials that confirm a physiological or clinical hypothesis and form the pillars of the earlier stages of the translational research spectrum. Rather, pragmatic trials are designed to generate the knowledge needed for the adoption of interventions, already proven to be effective in explanatory trials, into real-world clinical practice and community settings. This research helps to turn discoveries into improved health. Programs support the biomedical research workforce and career development and research dissemination including training of early-stage scientists and established investigators to conduct late-stage translation phase (T4) and implementation science research in heart, lung, and blood diseases and sleep disorders and research education. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; use small business to meet Federal research and development needs; foster and encourage participation in innovation and entrepreneurship by socially and economically disadvantaged persons; and increase private-sector commercialization of innovations derived from Federal research and development funding related to late-stage translation phase (T4), including implementation science, research education, and research dissemination products, for both domestic and global health. Small Business Technology Transfer (STTR) program: To stimulate technological innovation; foster technology transfer through cooperative R&D between small businesses and research institutions, and increase private sector commercialization of innovations derived from federal R&D to late-stage translation phase (T4) research including implementation science, research education, and research dissemination products for both domestic and global health.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may support stipends, research expenses, supplies, travel, and research training tuition as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to

appoint individuals selected by the institution for research training. Certain service and payback provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I awards (of approximately 6 to 12 months in duration) are to establish the scientific and technical merit of the proposed research effort, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Direct to Phase II SBIR awards are for projects that have been developed beyond the feasibility phase using resources other than NIH Phase I awards that meet program needs and exhibit potential for commercial application. SBIR Phase IIB awards are for promising projects that require additional time and funding to advance to commercialization. The Phase IIB program promotes partnerships between SBIR or STTR Phase II awardees and third-party investors and/or strategic partners by encouraging matching contributions. STTR Phase I awards (normally of 6 to 12 months in duration) are to establish the scientific and technical merit of the proposed cooperative research effort between a small business and non-profit research institution, and feasibility of ideas that appear to have commercial potential. Phase II awards are for the continuation of research initiated in Phase I that meets particular program needs and exhibits potential for commercial application. Fast-Track is an option whereby Phase I and Phase II SBIR or STTR projects are submitted and reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II. The SBIR/STTR Commercialization Readiness Pilot Program awards facilitate the transition of previously or currently funded SBIR and STTR Phase II and Phase IIB projects to the commercialization phase by providing additional support for technical assistance and later stage research and development not typically supported through Phase II or Phase IIB grants or contracts including replication of key studies, Investigational New Drug (IND)-enabling studies, clinical studies, manufacturing costs, regulatory assistance or a combination of services. While the intent of the SBIR/STTR programs is commercialization (Phase III), only SBIR/STTR technical and business assistance (TABAs) funds are allowed to be used for commercialization activities such as patent fees; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships. The remaining SBIR/STTR funds are for research and development purposes only and exclude these commercialization activities.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Small business (less than 500 employees); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals), Public nonprofit institution/organization (includes institutions of higher education and hospitals), Individual/Family, Small business (less than 500 employees), Private nonprofit institution/organization (includes institutions of higher education and hospitals). Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit or owned by multiple venture capital operating companies, hedge funds, private equity firms, or any combination of these, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects are generally performed at least 67% by the applicant small business in Phase I and at least 50% of the Project in Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative

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research and development. The principal investigator of an STTR award may be employed by either the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Individual/Family; Small business; Profit organization; Private nonprofit institution/organization; Health Professional; Education Professional; Graduate Student; Scientist/Researchers; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Individual NRSA awardees and Institutional NRSA trainees must be citizens or noncitizen nationals of the United States, or have been admitted for permanent residency. Two levels of training are available: graduate level predoctoral training and postdoctoral training. All potential trainees must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For SBIR and STTR grants, the applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through grants.gov. Electronic submission of NIH Research Performance Progress Reports (RPPR) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications are submitted electronically. Visit the following link to obtain application information and instructions (<http://grants1.nih.gov/grants/funding/424/index.htm>)

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff inform applicants of the results of the review. If support is contemplated, staff will initiate preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. > 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 to 9 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available in the NIH Guide at the following URL <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

>180 Days. > 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods ranging from 1 to 7 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 to 9 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 6 to 12 months; normally, Phase II awards are for 2 years. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. Method of awarding/releasing assistance: other

Reports:

Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75.361 and 45 CFR 75.364 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. In accordance with 45 CFR 75.364, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

Project Grants FY 20 \$48,201,839.00; FY 21 est \$48,472,844.00; and FY 22 est \$50,970,459.00;

Range and Average of Financial Assistance:

Range of Dollar Amount: \$21,626 - \$1,510,020. Average Dollar Amount:

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\$590,334.

TAFS Codes:

75-0872.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The estimates for fiscal year 2021 are 75 research grants and 11 National Research Service Award.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 75; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the NIH, CDC, and FDA for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the NIH for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

NHLBI Referral Officer Dana A. Phares, Ph.D.,
6701 Rockledge Drive, Room 7176,
Bethesda MD 20892
Email: pharesda@nhlbi.nih.gov
Phone: 301-827-7968

Website Address:

<https://www.nhlbi.nih.gov/about/scientific-divisions/center-translation-research-and-implementation-science>

RELATED PROGRAMS:

93.837 Cardiovascular Diseases Research; 93.838 Lung Diseases Research; 93.839 Blood Diseases and Resources Research; 93.233 National Center on Sleep Disorders Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) the adequacy of plans to include both genders and minorities and their subgroups as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will be evaluated; and (9) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.843 ACL Assistive Technology State Grants for Protection and Advocacy

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 5 of the Assistive Technology Act of 1998, as amended.,

OBJECTIVES:

To support protection and advocacy services through the systems established to provide protection and advocacy services under the Developmental Disabilities Assistance and Bill of Rights Act for the purpose of assisting in the acquisition, utilization, or maintenance of assistive technology or assistive technology services for individuals with disabilities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used to support protection and advocacy services related to assistive technology services and devices. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 76-564-76.569.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Designated protection and advocacy agencies in States and outlying areas only.

Beneficiary Eligibility:

Individual/Family; Student/Trainee; State; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Persons with disabilities who may benefit from assistive technology services and devices.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms are furnished by the Office of Special Education and Rehabilitative Services, Rehabilitation Services Administration. Contact the Program Office for more information.

Award Procedure:

Applications are reviewed by Department of Health and Human Services staff.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. The grantee may appeal to the Office of Administrative Law/Judges (34 CFR part 81).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary.

ASSISTANCE LISTINGS DESCRIPTIONS

Funds are distributed on a State population basis, with a minimum annual grant of \$50,000. Territories receive a minimum of \$30,000. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Annual Formula Grants. See the following for information on how assistance is awarded/released: Electronic Transfer. Method of awarding/releasing assistance: other

Reports:

Annual progress reports are required. Quarterly cash reports are required. Annual expenditure reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F-Audit Requirements, under 45 CFR Part 75.500, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, review other applicable credits acquired under this grant.

Account Identification:

75-0142-0-1-506.

Obligations:

Formula Grants FY 20 \$4,800,000.00; FY 21 est \$4,800,000.00; FY 22 est \$4,800,000.00;

Range and Average of Financial Assistance:

no data available

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for this information.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Director Allison Cruz,
330 C St, SW.,
Washington DC 20201
Email: Allison.Cruz@acl.hhs.gov
Phone: 202-795-7334

Fax:

Website Address:

<http://www.acl.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

93.844 ACL Centers for Independent Living, Recovery Act

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To provide independent living services to individuals with significant disabilities to assist them to function more independently in family and community settings, by developing and supporting a statewide network of centers for independent living.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Federal funds are used for the establishment and operation of centers for independent living which offer a combination of services. Services must include independent living core services, which include information and referral services, training in independent living skills, peer counseling, individual and systems advocacy, and as appropriate, a combination of any other independent living services specified in Title II of the Rehabilitation Act. Each center must have a governing board composed of a majority of persons with significant disabilities. The majority of the staff and individuals in decision making positions must be individuals with disabilities. Not less than 1.8 percent and not more than 2 percent of Federal funds appropriated for the program must be reserved to provide training and technical assistance through entities experienced in the operation of centers for independent living.

Applicant Eligibility:

Federal; THIS PROGRAM IS NO LONGER ACTIVE

Beneficiary Eligibility:

State; Beneficiary eligibility should reflect none

Credentials/Documentation:

Costs for States that administer these grants through the authorization in section 723 will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs for all other grantees will be determined in accordance with OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awards were made under approval of the Commissioner of Rehabilitation Services Administration, and the Assistant Secretary of OSERS, based on the findings of Federal and nonfederal reviews of applications to ensure the applicants satisfactorily demonstrate that they will meet the standards and assurances described in Section 725 (b) and (c) the Rehabilitation Act.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Renewals:

Other. These projects may be continued under CFDA 84.132 based on a self-evaluation report, and accomplishments as described in the Center's self-evaluation and annual report requirements under Section 725 (c)(8) of the Act, submittal of a continuation application, and the availability of funds. Renewals are available through the regular continuation application process and are subject to the availability of funds under the regular program appropriation (see CFDA program 84.132).

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Section 721 of the Rehabilitation Act provides a population-based formula for the allocation of program funds among States. These funds are then awarded through competitive grants to non-profit organizations in the State. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available for obligation at the Federal level until September 30, 2010. Grantees have an additional 12-month period to obligate their funds. New projects are typically funded for five years with funding approved on a 12-month basis. Continuation awards will be subject to the availability of funds under the regular program (see CFDA program 84.132). Method of awarding/releasing assistance: other

Reports:

Grantees receiving funding under the Recovery Act will be required to report at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Specific reporting requirements will be included in grant award documents. Contact the program office for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of Subpart F - Audit Requirements, under 45 CFR Part 75.500, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year. Except as noted in 45 CFR Part 75.500.

Records:

Fiscal records must be maintained for 3 years after the grant ends and the final financial report is submitted or until all audit questions are resolved.

Account Identification:

75-0142-0-1-506.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Regulations at 34 CFR 364 and 366.

Regional or Local Office:

None.

Headquarters Office:

Corinna Stiles,
330 C Street, SW,
Washington DC 20201

Email: corinna.stiles@acl.hhs.gov

Phone: 2027957446

Fax:

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for this program include extent of need for the project, past performance, satisfaction of the standards and assurances set forth in Section 725, quality of key personnel, budget and cost-effectiveness, evaluation plan, plan of operation, and involvement of individuals with significant disabilities. Criteria for evaluating applications are published in program regulations at 34 CFR 366.

93.845 Promoting Population Health through Increased Capacity in Alcohol Epidemiology

Alcohol Epidemiology,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 301(a) of the Public Health Service Act,

OBJECTIVES:

The purpose of this FOA is to support the building of capacity in alcohol epidemiology in state and large city Health Departments and help provide the tools needed to perform core public health functions, such as public health surveillance. This increased epidemiologic capacity will help build the public health infrastructure that is needed to address excessive alcohol use & #8722; the fourth leading preventable cause of death in the U.S.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These funds will be used to support state and local public health capacity in alcohol epidemiology, including staffing, travel, training, supplies, surveys, and/or required analytic software.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); State; Eligibility will be limited to State and District of Columbia Health Departments, large city health departments (900,00 residents or more), or their Bona Fide Agents.

Beneficiary Eligibility:

Anyone/general public; State; Public nonprofit institution/organization; State and local health departments' work may benefit work may benefit others outside the institution including health professionals, non-profit organizations, and the general public.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director and fiscal officers identified in the applications.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Project period is 5 years with 12-month budget periods

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period is 5 years with 12-month budget periods Method of awarding/releasing assistance: lump sum.

Reports:

Annual Progress Reports due 90 days from the end of the budget period Federal Financial Reports (FFRs) are due 90 days after the end of the fiscal year

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

There is a 5-year records retention requirement; records shall be retained beyond the 5-year period if final audit has not been done, or findings resolved. Property records must be retained in accordance with HHS Policy

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$750,000.00; FY 21 est \$1,852,054.00; FY 22 est \$1,852,054.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 92 is the regulations that governs this program

Regional or Local Office:

None. Successful applicants must comply with the administrative requirements outlined in 45 CFR Part 74 or 92 as appropriate

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341

Email: slh3@cdc.gov

Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be reviewed based on their responses to the Funding Opportunity Announcement.

93.846 Arthritis, Musculoskeletal and Skin Diseases Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301, 437, 487, Public Law 78-410, 42 USC Code 241.

OBJECTIVES:

The National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) mission is to support research into the causes, treatment, and prevention of arthritis and musculoskeletal and skin diseases; training of basic and clinical scientists to carry out this research; and dissemination of information on research progress in these diseases. The Division of Extramural Research promotes and supports basic, translational, and clinical studies of Systemic Rheumatic and Autoimmune Diseases, Skin Biology and Diseases, Bone Biology and Diseases, Muscle Biology and Diseases, and Joint Biology and Diseases and Orthopaedics. NIAMS Systemic Rheumatic and Autoimmune Diseases programs address basic, translational, and clinical research, including clinical trials and observational and mechanistic studies, focused on immune-mediated arthritis and autoimmune-related acute and chronic disorders in adults and children. NIAMS Skin Biology and Diseases programs support basic, translational, and clinical research in skin, including both common and rare skin diseases. These programs include investigations of the basic molecular, cellular, and developmental biology of skin, as well as studies of skin as an immune, sensory, endocrine, and metabolic organ. NIAMS Bone Biology and Diseases programs support research on the control of bone formation, resorption, and mineralization as well as the effects of signaling molecules on bone cells. They support clinical studies of interventions to prevent fractures associated with osteoporosis and research into less common bone diseases. NIAMS Muscle Biology and Diseases programs encourage research on muscle developmental biology, growth, maintenance, and hypertrophy; physiology of contraction; structural biology of the contractile apparatus; disease mechanisms; biomarkers and outcome measures; and development and clinical testing of therapies for conditions including the muscular dystrophies. NIAMS Joint Biology, Diseases, and Orthopaedics programs support a broad spectrum of research centered on the interplay among the body's muscles, bones, and connective tissues. They encourage tissue engineering and regenerative medicine research, molecular biology, imaging, and clinical research, and the treatment and prevention of orthopaedic conditions. NIAMS participates in the Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs. The SBIR program is intended to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The STTR program is intended to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Research Grants provide funds for salaries, equipment, supplies, travel, and other

ASSISTANCE LISTINGS DESCRIPTIONS

expenses associated with scientific investigation relevant to program objectives. Individual National Research Service Awards (NRSAs) are made to individuals for research training in specified biomedical shortage areas. In addition, grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Research Grants: Individuals and public and private institutions, both nonprofit and for-profit, who propose to establish, expand, and improve research activities in health sciences and related fields. National Research Service Awards: Individuals must be nominated and sponsored by a public or private, for-profit or nonprofit institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). Nonprofit domestic organizations may apply for the Institutional National Research Service grant. Small Business Innovation Research grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which partner with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individual/Family; Private nonprofit institution/organization; Health Professional; Student/Trainee; Graduate Student; Scientist/Researchers; U.S. Citizen; Public nonprofit institution/organization; Research Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. National Research Service Awards: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

The cost principles for awards under this program are set forth in HHS regulations at 45 CFR 75, Subpart E and Appendix IX (hospitals) to Part 75. Commercial organizations are subject to the cost principles located at 48 CFR 31.2 Federal Acquisition Regulation. See the NIH Grants Policy Statement for further guidance on the applicability of cost principals (<http://grants.nih.gov/grants/policy/nihgps/index.htm>). Requirements are specified in the application form. For-profit organizations' costs are determined in

accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, part 75, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Research grants: Applications must be prepared electronically through grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or, for multi-project applications, submitted using ASSIST. FAQs to guide applicants can be found at http://grants.nih.gov/grants/electronicreceipt/faq_full.htm. Individual funding opportunity announcements will indicate the appropriate application options available. A listing of NIAMS and other funding opportunities can be found through the NIAMS web site (http://www.niams.nih.gov/Funding/Funding_Opportunities/filter.asp), through the Grants.gov web site (<http://www.grants.gov/>), or on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>). Electronic applications are submitted through the Grants.gov website or ASSIST as directed in the Funding Opportunity Announcement. For assistance contact GrantsInfo, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Research Funding Opportunities" web page at <http://grants1.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the Grants.gov sites and the NIH Guide to Grants and Contracts (see URLs listed above). All SBIR and STTR applications must be submitted electronically. Electronic applications are submitted through the Grants.gov website. The SBIR/STTR programs are subject to the provisions of 45 CFR, Part 75. Awards made under this program are subject to 2 CFR 200, as implemented by 45 CFR 75 Public Welfare, Uniform Administrative Requirements, Cost Principles and Audit Requirements for HHS Awards. The policies and procedures generally applicable to NIH grants are set forth in the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/index.htm>).

Award Procedure:

Following review by the appropriate study section and council, the successful applicant is notified by the National Institute of Arthritis and Musculoskeletal and Skin Diseases through a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Research grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7 to 12 months.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html> and at <http://grants.nih.gov/grants/guide/notice-files/not-od-11-064.html>.

Renewals:

Other. Research grants: renewals by competitive application and review. Extension by request and administrative action. National Research Service Awards: individual awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research grants: Awards may be recommended for up to 5 years. Awards usually are made for 12-month budget periods. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). For specific audit procedure guidance, please see 45 CFR 75. Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FFR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FFR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FFR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FFR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 75, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0888-0-1-552.

Obligations:

Project Grants FY 20 \$505,547,334.00; FY 21 est \$509,933,908.00; FY 22 est \$544,973,903.00;

Range and Average of Financial Assistance:

Research Grants: \$2,000 to \$1,500,000; \$353,933. National Research Service Awards: \$27,922 to \$650,493; \$146,146. SBIR: Phase I awards -- approximately \$236,046; Phase II awards --approximately \$720,277. STTR: Phase I awards -- approximately \$251,880; Phase II awards --approximately \$1,008,619.

TAFS Codes:

75-0888.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Research Grants: In fiscal year 2020, a total of 1,241 noncompeting and competing research grants were funded, including 36 SBIR and STTR awards. The fiscal year 2021 estimate is 1,261 research grant awards, including 37 SBIR and STTR awards. The fiscal year 2022 estimate is 1,326 research grants, including 39 SBIR and STTR awards. National Research Service Awards (NRSA): In fiscal year 2020, 277 trainees were funded. The estimate for fiscal year 2021 is 277 trainees and 277 trainees for fiscal year 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: 2 CFR 200 and 45 CFR 75; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 45 CFR 75 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Acting Director, Office of Extramural Operations Melinda B. Nelson,
6701 Democracy Boulevard, Ste 800,
Bethesda MD 20892

Email: melinda.nelson@nih.gov

Phone: (301) 435-5278

Website Address:

<http://www.niams.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment.

ASSISTANCE LISTINGS DESCRIPTIONS

93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 428, 431, 487, 491, 493, 495, and 498, as amended; Public Laws 78-410, 99-158, 100-607, 106-554, and 107-360; 42 U.S.C. 241, as amended; 42 U.S.C. 285c-2, 42 U.S.C. 285c-5, 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

(1) To promote extramural basic and clinical biomedical research that improves the understanding of the mechanisms underlying disease and leads to improved preventions, diagnosis, and treatment of diabetes, digestive, and kidney diseases. Programmatic areas within the National Institute of Diabetes and Digestive and Kidney Diseases include diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases. Specific program areas of interest include the following: (a) For diabetes, endocrine, and metabolic diseases areas: Fundamental and clinical studies including the etiology, pathogenesis, prevention, diagnosis, treatment and cure of diabetes mellitus and its complications; Normal and abnormal function of the pituitary, thyroid, parathyroid, adrenal, and other hormone secreting glands; Hormonal regulation of bone, adipose tissue, and liver; on fundamental aspects of signal transduction, including the action of hormones, coregulators, and chromatin remodeling proteins; Hormone biosynthesis, secretion, metabolism, and binding; and on hormonal regulation of gene expression and the role(s) of selective receptor modulators as partial agonists or antagonists of hormone action; and Fundamental studies relevant to metabolic disorders including membrane structure, function, and transport phenomena and enzyme biosynthesis; and basic and clinical studies on the etiology, pathogenesis, prevention, and treatment of inherited metabolic disorders (such as cystic fibrosis). (b) For digestive disease and nutrition areas: Genetics and genomics of the GI tract and its diseases; Genetics and genomics of liver/pancreas and diseases; Genetics and genomics of nutrition; genetics and genomics of obesity; Bariatric surgery; Clinical nutrition research; Clinical obesity research; Complications of chronic liver disease; Fatty liver disease; Genetic liver disease; HIV and liver; Cell injury, repair, fibrosis and inflammation in the liver; Liver cancer; Liver transplantation; Pediatric liver disease; Viral hepatitis and infectious diseases; Gastrointestinal and nutrition effects of AIDS; Gastrointestinal mucosal and immunology; Gastrointestinal motility; Basic neurogastroenterology; Gastrointestinal development; Gastrointestinal epithelial biology; Gastrointestinal inflammation; Digestive diseases epidemiology and data systems; Nutritional epidemiology and data systems; Autoimmune liver disease; Bile, Bilirubin and cholestasis; Bioengineering and biotechnology related to digestive diseases, liver, nutrition and obesity; Cell and molecular biology of the liver; Developmental biology and regeneration; Drug-induced liver disease; Gallbladder disease and biliary diseases; Exocrine pancreas biology and diseases; Gastrointestinal neuroendocrinology; Gastrointestinal transport and absorption; Nutrient metabolism; Pediatric clinical obesity; Clinical trials in digestive diseases; Liver clinical trials; Obesity prevention and treatment; and Obesity and eating disorders. (c) For kidney, urologic and hematologic diseases areas: Studies of the development, physiology, and cell biology of the kidney; Pathophysiology of the kidney; Genetics of kidney disorders; Immune mechanisms of kidney disease; Kidney disease as a complication of diabetes; Effects of drugs, nephrotoxins and environmental toxins on the kidney; Mechanisms of kidney injury repair; Improved diagnosis, prevention and treatment of chronic kidney disease and end-stage renal disease; Improved approaches to maintenance dialysis therapies; Basic studies of lower urinary tract cell biology; development, physiology, and pathophysiology; Clinical studies of bladder dysfunction, incontinence, pyelonephritis, interstitial cystitis, benign prostatic hyperplasia, urolithiasis, and vesicoureteral reflux; Development of novel diagnostic tools and improved therapies, including tissue engineering strategies, for urologic disorders; Research on hematopoietic cell differentiation; metabolism of iron overload and deficiency; Structure, biosynthesis and genetic regulation of hemoglobin; as well as Research on the etiology, pathogenesis, and

therapeutic modalities for the anemia of inflammation and chronic diseases. (2) To encourage basic and clinical research training and career development of scientists during the early stages of their careers. The Ruth L. Kirschstein National Research Service Award (NRSA) funds basic and clinical research training, support for career development, and the transition from postdoctoral biomedical research training to independent research related to diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases. (3) To expand and improve the Small Business Innovation Research (SBIR) program. The SBIR Program aims to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to enhance small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. (4) To utilize the Small Business Technology Transfer (STTR) program. The STTR Program intends to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. NRSA's are made directly to individuals for research training in specified biomedical shortage areas, or, to institutions to enable them to make NRSA's to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Individual/Family; Minority group; Small business (less than 500 employees); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Project Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both non-profit and for-profit, and individuals who propose to establish, expand, and improve research activities in health sciences and related fields. NRSA's: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. To be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Individuals must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR and STTR grants can be awarded only to domestic small businesses that meet the following criteria: 1) Is independently owned and operated, is not dominant in the field of operation in which it is proposing, has a place of business in the United States and operates primarily within the United States or makes a significant contribution to the US economy, and is organized for profit; 2) Is (a) at least 51% owned and controlled by one or

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more individuals who are citizens of, or permanent resident aliens in, the United States, or (b) for SBIR only, it must be a for-profit business concern that is at least 51% owned and controlled by another for-profit business concern that is at least 51% owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States. 3) Has, including its affiliates, an average number of employees for the preceding 12 months not exceeding 500, and meets the other regulatory requirements found in 13 C.F.R. Part 121. Business concerns are generally considered to be affiliates of one another when either directly or indirectly, (a) one concern controls or has the power to control the other; or (b) a third-party/parties controls or has the power to control both. STTR grants which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individual/Family; Minority group; Small business; Private nonprofit institution/organization; Student/Trainee; Graduate Student; Scientist/Researchers; U.S. Citizen; Public nonprofit institution/organization; Health professionals, graduate students, health professional students, scientists, and researchers, any nonprofit or for-profit organization, company, or institution engaged in biomedical research. Project Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. NRSAs: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Individual NRSA applications for postdoctoral training must include the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training. Institutional Training grant applications for predoctoral and postdoctoral training must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 75, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form PHS 398 is used to apply for SBIR and STTR Phase I Phase II and Phase I/Phase II Fast Track. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Project Grants: Applications for Federal assistance must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. Applications may not be submitted in paper format. A registration process through Grants.gov is necessary before submission and applicants are highly encouraged to start the process at least four weeks prior to the grant submission date. Two steps are required for on time submission: (1) The application must be successfully received by Grants.gov no later than 5:00 p.m. local time (of the applicant institution/organization) on the submission/receipt date. (2) Applicants must complete a verification step in the eRA Commons within two business days of notification from NIH. Note: Since

email can be unreliable, it is the responsibility of the applicant to periodically check on their application status in the Commons. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program by those applicants that are State or local units of government. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms.

Award Procedure:

Research Grant and Training Program applications are reviewed initially for scientific merit by an appropriate review panel, composed of scientific authorities, and by the National Diabetes and Digestive and Kidney Diseases Advisory Council composed of leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds. The successful applicant is sent a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Project Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Other. Project Grants: Renewals are determined by competitive application and review. Extensions considered upon request. Individual NRSAs: Awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project Grants: Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: other

Reports:

Project Grants: Expenditures and other financial records, including documents supporting accounting records and substantive charges to each grant, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. NRSAs: Documentation of expenditures and other fiscal records must be kept readily available for examination by authorized Government personnel and must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Annual and terminal progress reports, annual reports of inventions, and annual certification with respect to research involving human subjects are required. Reports of expenditures are required. NRSAs: Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

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Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 75.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0884-0-1-552.

Obligations:

Project Grants FY 20 \$1,811,521,000.00; FY 21 est \$1,867,322,000.00; FY 22 est \$1,944,842,000.00;

Range and Average of Financial Assistance:

Project Grants: Range of \$1,200 to \$15,950,000; \$428,000 averageNRSAs: Range of \$4,900 to \$795,000; \$112,000 averageSBIR: Range of \$25,000 to \$1,983,000; \$575,000 average

TAFS Codes:

75-0884.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: FY2021 Enacted:Project Grants: \$1,736,581,000 with 3,356 awards estimatedNRSAs: \$59,502,000 with 463 awards and 1,068 FTTPs/trainees estimatedSBIR: \$71,239,000 with 116 awards estimated Fiscal Year 2022: FY 2022 President Budget: Project Grants: \$1,807,342,000 with 3,448 awards estimatedNRSAs: \$63,810,000 with 497 awards and 1,063 FTTPs/trainees estimated SBIR: \$73,690,000 with 119 awards estimated

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants: 42 CFR 52; 42 CFR 66; 42 CFR 74; 45 CFR 75; 45 CFR 92. Administration Policy Directive No. 65 01 (47 Fed. Reg. 52966 et seq. (1982), as amended by Policy Directive No. 65 01.1 (48 Fed. Reg. 38794 et seq. (1983)). Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement, http://grants.nih.gov/grants/policy/nihgps_2003/; Omnibus Solicitation of the Public Health Service for SBIR Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for STTR Grant Applications.

Regional or Local Office:

None. Project Grants: Dr. William Cefalu, Director, Division of Diabetes, Endocrinology, and Metabolic Diseases, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 6037, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301)

435-1011; Dr. Stephen James, Director, Division of Digestive Diseases and Nutrition, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 6029, 6707 Democracy Blvd., Telephone: (301) 594-7680; Dr. Robert Star, Director, Division of Kidney, Urologic and Hematologic Diseases, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 6119, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301) 496-6325. Grants Management Contact: Mr. Robert Pike, Chief Grants Management Officer, Grants Management Branch, Division of Extramural Activities, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 7333, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-8854. Use the same numbers for FTS.

Headquarters Office:

Deputy Budget Officer Michelle Shorter,
31 Center Drive, Room 9A34,
Bethesda MD 20892
Email: Shorterm@mail.nih.gov
Phone: 3015948842

Website Address:

<http://www2.niddk.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Division of Diabetes, Endocrinology, and Metabolic Diseases:Yoshihara E, OConnor C, Gasser E,Evans RM. Immune-evasive human islet-like organoids ameliorate diabetes. Nature 586: 606-611, 2020. Researchers Develop First Functional, Lab-generated Islets That Evade Immune System Attack: Scientists have developed functional human islet-like organoids (HILOs) that can be shielded from immune system attack, an advance that allowed these HILOs to treat a mouse model of type 1 diabetes for weeks without immunosuppressive drugs. Pancreatic islet dysfunction can compromise the bodys ability to maintain healthy blood glucose (sugar) levels. In type 1 diabetes, the immune system plays a key role in this dysfunction, destroying insulin-producing (beta) cells in the islets. Transplanting healthy, insulin-producing islets into people with diabetes can help manage blood glucose levels without the need for insulin injections, but several major issues keep this procedure from being routinely used. One hurdle is that limited amounts of cadaveric islets of transplantable quality are available. Another issue is that immunosuppressant drugs that can cause serious side effects must be used to prevent transplant rejection. However, making human islet-like cell clusters in the lab that both mimic healthy islets and evade the immune system has proven to be challenging. Now, a group of scientists has identified physical and biological factors needed to produce functional, immune-evading HILOs. Building on previous research, they grew -like cells derived from human induced pluripotent stem cells in a gel-like, three-dimensional scaffold that more closely mimics the human pancreas. These growing conditions produced mature cell clusters that had many of the characteristics of healthy islets, including producing insulin in response to glucose and reducing blood glucose levels in a mouse model of type 1 diabetes. But how could these HILOs be protected from immune attack? Previous work had suggested that a protein called PD-L1 helps shield islets from the immune system. When the scientists treated HILOs to induce PD-L1 production, these HILOs were protected from immune attack when transplanted into a mouse model of type 1 diabetes. Even when transplanted into a mouse model engineered to have a human-like immune system, the HILOs provided steady blood glucose control for over 50 days without the need for immunosuppression. More research is needed to clarify how long the HILOs immune protection can last and how long they can remain functional when transplanted. These issues and others are key to determining whether HILOs can be used to manage blood glucose levels in people with diabetes. Overall, these findings give hope that it may one day be possible to protect transplanted islets in the human body from immune attack. Such advances could lead to improved treatments that free people with type 1 diabetes from the need for insulin injections without incurring the risks of immunosuppression.Spracklen CN, Horikoshi M, Kim YJ,Sim X. Identification of type 2 diabetes loci in 433,540 East Asian individuals. Nature 582: 240- 245, 2020.Combined Analysis of Studies with East Asian Participants Yields a Dramatic Increase in Knowledge of Type 2 Diabetes Genetics: Bringing together information from multiple genetic studies of type 2 diabetes in people of East

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Asian descent has yielded a wealth of new information about the disease that may one day help improve its treatment and prevention for people whether or not they have East Asian genetic heritage. Understanding genetic risk for type 2 diabetes has grown in importance in recent decades as lifestyle changes have interacted with genetic traits that might have been benign in prior generations, but now predispose a person to type 2 diabetes. This dynamic is helping fuel a worrisome rise in diabetes prevalence around the world, and East Asian countries are seeing some of the largest increases. Researchers have identified over 240 different genetic regions mostly within the last 15 years where variations appear to have a measurable influence on susceptibility to type 2 diabetes. Most of these advances came from genome-wide association (GWA) studies, in which scientists look for genetic features that are either more or less common in people with a disease than in people without it. By themselves, most of the known diabetes risk genes have only small effects on a person's likelihood of getting the disease, and early GWA studies often required genomic analysis of thousands of participants in order to detect them. As the field progressed, even larger studies were required to find genes with still smaller effects, or for which the variants of interest were rarer. Eventually, the only practical way to obtain a large enough sample size was to combine results from several previous studies so that hundreds of thousands of research participants were effectively included. The great majority of type 2 diabetes susceptibility genes found in this manner, however, were identified by combining GWA studies whose participants were primarily of European ancestry. As a result, less is known about unique genetic risk factors for the disease in people of East Asian and other non-White backgrounds. Researchers have now completed a pooled analysis of numerous previous GWA studies conducted in Japan, China, Korea, and other East Asian countries, yielding a cumulative total of 433,540 participants, 77,418 of whom had type 2 diabetes. In this way they identified 183 different parts of the genome where genetic features influence predisposition to type 2 diabetes in people with ancestry from this part of the world. Most of these genetic regions had been identified in previous studies as affecting the risk for type 2 diabetes, confirming and bolstering what was known about the relationship of those gene regions to the disease. However, a remarkably large number could be clearly distinguished from previously detected type 2 diabetes genetic risk factors, and were therefore new discoveries. Some of the gene regions newly linked to type 2 diabetes risk were notable for affecting susceptibility to the disease even in participants considered to have a healthy body weight. These findings may help explain an intriguing scientific mystery: although overweight and obesity are risk factors for type 2 diabetes throughout the world, people with East Asian ancestry are more likely than those from other backgrounds to develop type 2 diabetes at lower body weight. Increasing the number of known genetic risk factors for type 2 diabetes by about 25 percent, this study sheds light on aspects of type 2 diabetes genetics that are unique to those of East Asian descent and may someday make it possible to tailor diabetes prevention or care for a large fraction of the world's population. The findings have also reinforced and clarified previous discoveries in participants from other parts of the world and yielded information that could eventually lead to new therapeutic approaches with potential to benefit anyone with type 2 diabetes, regardless of where his or her ancestors come from. Division of Digestive Diseases and Nutrition: Martin JC, Chang C, Boschetti G, Kenigsberg E. Single-cell analysis of Crohns disease lesions identifies a pathogenic cellular module associated with resistance to anti-TNF therapy. *Cell* 178: 1493-1508.e20, 2019. A Cellular Signature Reveals Why Current Crohns Disease Therapy Does Not Work for Some People: Scientists have identified a tell-tale combination of cells in people with Crohns disease who do not respond to one of its most effective treatments, shedding light on the nature of the disease and revealing potential new targets for therapy. Crohns disease, a form of inflammatory bowel disease characterized by chronic inflammation in parts of the small intestine, can cause debilitating flares of diarrhea and abdominal pain, leading to malnutrition and weight loss. Treating Crohns disease is challenging because the response to therapy varies greatly from person to person, and no single treatment works for everyone. Well-tolerated anti-inflammatory medications, for example, do not work in many people with Crohns disease. Stronger medications that block a major component of the inflammatory response called tumor necrosis factor (TNF) have been approved to treat Crohns disease and are effective for many whose health did not improve with the milder anti-inflammatory drugs. However, for unclear reasons, a substantial portion of people do not respond to TNF-blocking drugs and must eventually resort to more drastic approaches to control the inflammation, which usually means surgically removing the affected areas. In a recent study, scientists applied state-of-the-art technology to determine why some people do not benefit

from certain Crohns disease treatments. They started by isolating and identifying single cells from intestinal lesions of 11 men and women with Crohns disease. Comparing these cells with cells from healthy intestinal tissue from the same patients, the researchers found a specific combination of cells including activated immune cells and cells that make up connective tissue in the lesions from several of the study participants. When the researchers looked for this cellular signature in a larger, well-characterized group of male and female children with Crohns disease, they found it was more likely present in those whose symptoms did not respond to anti-TNF therapy. Analysis of how the cells communicate with each other showed that this particular cellular combination does not rely solely upon TNF to maintain gut inflammation, which may explain why TNF-blocking drugs are ineffective. Importantly, this analysis also identified several other molecular targets for other potential therapies that, if used in combination with TNF-blocking drugs, might be able to prevent inflammation in people with Crohns disease who do not respond to anti-TNF medications alone. This study builds upon recent findings showing that there are probably at least several distinct types of Crohns disease, which could explain why treatment responses vary so widely from person to person. The results also show that the cellular makeup of the inflammatory lesions could affect how well different Crohns disease medications will work. Biological signatures such as this could help health care providers predict which therapies would be most effective, and they could provide the basis for new treatments for people with this disease. Todoric J, Di Caro G, Reibe S, Karin M. Fructose stimulated de novo lipogenesis is promoted by inflammation. *Nat Metab* 2: 1034-1045, 2020. How High Fructose Intake May Trigger Fatty Liver Disease: A team of researchers supported by the NIDDK, as well as other NIH Institutes, discovered that consuming high amounts of fructose may promote nonalcoholic fatty liver disease by damaging the intestinal barrier, which leads to inflammation and effects on the liver. Fructose is a common type of sugar in the American diet, including its processed form called high-fructose corn syrup that is used to sweeten a variety of foods. Studies have linked excessive consumption of high-fructose corn syrup and other added sugars to health problems like obesity, diabetes, heart disease, and nonalcoholic fatty liver disease (NAFLD), in which too much fat is stored in liver cells. Fatty liver disease can lead to liver inflammation and liver damage, resulting in a more aggressive disease called nonalcoholic steatohepatitis (NASH) that can progress to scarring of the liver (cirrhosis), liver cancer, and liver failure. Scientists have been unsure how high fructose consumption might contribute to NAFLD. A research team set out to explore fructose's role in NAFLD. The researchers gave male mice either a high-fructose diet or a control diet with equivalent calories from corn starch, which breaks down into glucose, the sugar cells use for energy. Within a few months, mice on the high-fructose diet developed fatty livers and had greater rates of liver tumors than mice on the control diet. Mice bred to be prone to develop NASH showed clinical signs of the disease on the high-fructose diet. The team found that mice fed the high-fructose diet for long periods showed not only liver inflammation, but also deterioration of their intestinal barrier, which normally prevents bacteria and toxins in the gut from leaking into the bloodstream. Mice fed a high-fructose diet also had higher circulating levels of endotoxins released from certain bacteria when they die. The team discovered that leaked endotoxins prompted immune cells in the liver called macrophages to react and increase the production of cell signaling proteins like tumor necrosis factor (TNF) that can cause inflammation. Further experiments showed that these signaling proteins boosted enzymes that convert fructose into fatty deposits in the liver. Restoring the mice's intestinal barrier prevented this fatty buildup in the liver. Using drugs and genetic manipulations, the team was able to stop the gut barrier deterioration from excessive fructose intake and prevent the onset of severe fatty liver disease and liver tumors. Experiments in human liver cells showed that a similar cellular process could take place in people: adding TNF to the human liver cells increased the conversion of fructose into fat. Overall, this study points to a pathway in which high fructose levels could trigger a breakdown in the intestinal barrier and leakage of gut microbial products into the liver, thereby exacerbating inflammation and boosting the conversion of fructose into fatty deposits. The findings from this study could lead to new ways to treat and prevent NAFLD, which affects an increasingly large percentage of the U.S. population. For example, future studies could test agents that restore the integrity of the intestinal barrier in people at risk for NAFLD. Division of Kidney, Urologic, and Hematologic Diseases: Bonnicri S, Karadkhele G, Couchoud C, Patzer RE, Greenbaum LA, and Hogan J. Sex and glomerular filtration rate trajectories in children. *Clin J Am Soc Nephrol* 15: 320-329, 2020. Identifying Children at Increased Risk of Chronic Kidney Disease Progression: A new study has described different trajectories of chronic kidney

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disease (CKD) progression in children that will aid in identifying those at high risk for progressive disease. The basic functional unit of the kidney is the nephron, which consists of various cells and structures that work together to filter waste products, remove excess fluid from the blood, and balance various body chemicals. Of these structures, the glomerulus is the fundamental filtering apparatus. A common kidney function measurement called the glomerular filtration rate (GFR) is an estimate of blood filtered per minute by all the nephrons within the kidneys. The ability to predict CKD progression, as measured by declining GFR, is a major challenge for nephrology (the medical specialty focused on the kidneys). Using publicly available Chronic Kidney Disease in Children (CKiD) study data through the NIDDK Central Repository, researchers employed a statistical modeling approach to establish GFR trajectories (i.e., changes in kidney function over time) in female and male participants with glomerular and nonglomerular diseases. CKiD is a multi-center study of children ages 1 to 16 years with mildly to moderately impaired kidney function. The CKiD researchers are monitoring the health of these children over time in several areas, including determining the risk factors for decline in kidney function, and defining how progressive decline in kidney function affects biomarkers of risk factors for cardiovascular disease, evaluating several brain functions (e.g., attention, perception, memory, language, and behavior), and assessing growth failure and its associated morbidity. Using data from CKiD, this study found two distinct GFR trajectories for glomerular disease while four distinct GFR trajectories were reported for nonglomerular disease. Glomerular and non-glomerular diseases displayed very different GFR trajectories among those with glomerular disease, a subset of female participants had a rapid GFR trajectory decline indicating rapid loss of kidney function, whereas no difference was observed between sexes in those with non-glomerular diseases. This valuable new information from a subset of girls with rapidly progressing glomerular disease will enable clinicians to better prepare their patients and their families for kidney transplantation or dialysis. Furthermore, girls have lower access to kidney transplantation in the United States than boys, and this study could help address this disparity. Ghanem MA, Maxwell AD, Wang Y-N, Bailey MR. Noninvasive acoustic manipulation of objects in a living body. Proc Natl Acad Sci USA 117: 16848-16855, 2020. Moving Objects with Ultrasound Beams Potential Application to Urinary Stone Disease: Researchers have developed a noninvasive technology using ultrasound beams to lift and reposition an object in a living animal an advance that could potentially be used to treat people with urinary stone disease. Urinary stones (also referred to as kidney stones) are one of the most common disorders of the urinary tract. Smaller stones may pass with little or no pain, while larger stones may get stuck along the lower urinary tract and block the flow of urine, causing severe pain and/or bleeding. Current treatments for urinary stones, such as lithotripsy that breaks stones into smaller pieces, may leave behind residual stone fragments. Most fragments will pass on their own, but others may grow larger, cause pain, and may require the need for additional treatment. Working to advance safe, effective, and more efficient ways to reposition and encourage the passage of urinary stones, researchers have developed a noninvasive strategy to essentially trap a stone in an ultrasound beam (i.e., acoustic trapping). Trapped within this beam, the stone can be moved or repositioned by either moving the device that generates the ultrasound waves (e.g., transducer) or electronically steering the ultrasound beam by altering the phase of the wave. The scientists first demonstrated that the ultrasound beam could trap, lift, and steer a 3-millimeter glass sphere under ideal conditions in a water tank. They moved the sphere a total distance of 6 millimeters vertically and 6 millimeters horizontally. The researchers further reported that this noninvasive acoustic trapping approach successfully moved glass spheres in the urinary bladders of three female pigs. To accomplish this, a single 3-millimeter glass sphere was placed in the bladder of each anesthetized animal using a tube with a camera-equipped scope and a device carrying the glass sphere. The camera monitored the sphere movement and was used to evaluate changes to the bladder wall after each acoustic trapping manipulation. Importantly, the technology did not cause detectable injury to the animals bladder wall. This study demonstrates the ability to manipulate objects in the pig bladder using acoustic trapping, with potential application to treating urinary stones as well as other medical uses. Future research will be needed to evaluate the safety and effectiveness of this approach with respect to variations in shape, structure, and composition of urinary stones along the entirety of the urinary system, as well as to determine if it could be used in people. The technology associated with this translational work has been licensed to a small business.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.850 Improving Epilepsy Programs, Services, and Outcomes through National Partnerships

Epilepsy Programs,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

This program is authorized under the sections 301(a) and 317(k)(2) of the Public Health Service Act, [42 U.S.C. 241(a) and 247b(k)(2), as amended],

OBJECTIVES:

The purpose of this program is to: Reduce the treatment gap (i.e., shortening the time to diagnosis, and increasing referral to specialty care) by improving professional education about epilepsy diagnosis, treatment, and management; Increase access to appropriate health care services, community resources, and self-management supports among people with epilepsy and their families; Improve the public's knowledge about, and attitudes toward, epilepsy to reduce epilepsy stigma; and Improve health, social participation, and quality of life for people with epilepsy.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Specific goals will be provided in a funding opportunity announcement. In general, assistance requested under this program will be used to: Assure a competent health professional workforce. Mobilize community partnerships to improve the delivery and coordination of epilepsy care. Inform and educate people about epilepsy, and empower people with epilepsy and their caregivers. Funds may be used for costs associated with planning, organizing, implementing, and evaluating program elements related to the objectives identified in 050 above. Recipients may expend funds for reasonable policies, systems, and program purposes, including personnel, travel, supplies, and services (including contractual).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; Open and full competition. However, to fulfill the requirements of the cooperative agreement, applicants must

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be able to demonstrate that their organization has specialized knowledge and experience addressing the complex and challenging health and social needs of individuals with epilepsy and their families. Applicants must also be able to demonstrate that they have the ability to work at both the national level and locally in all geographical regions of the United States (Northeast, Southeast, Middle West, Southwest, and West.)

Beneficiary Eligibility:

Minority group; Anyone/general public; School; Child (6-15); Education (0-8); Education (13+); Individual/Family Racial/ethnic minority groups People with disabilities Specialty group Children Adults Older adults Schools Health Professional Educational Professional Student/trainee Low income Moderate income Rural Urban Suburban Education (0-13+) Nonprofit groups

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. OMB Circular No. A-110 OMB Circular No. A-102

Award Procedure:

After review and approval, a Notice of Award is prepared and processed, along with appropriate notification to the public. The initial award provides funds for first budget period (12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. Applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12 budget period within a 5 year project period Method of awarding/releasing assistance: lump sum.

Reports:

Reporting on progress provides continuous program monitoring and identifies successes and challenges that awardees encounter throughout the project period. Also, reporting is a requirement for awardees who want to apply for yearly continuation of funding. Awardees must submit an Annual Performance Report via www.grants.gov 120 days before the end of each budget period. The report must not exceed 45 pages excluding administrative reporting; attachments are not allowed, but Web links are allowed. This report must include the following: performance measures, evaluation results, work plan, successes, challenges, CDC program support needs, and administrative reporting. The annual FFR form (SF-425) is required and must be submitted through eRA Commons within 90 days after each budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final report must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. The final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data must correspond; no discrepancies between the data sets are permitted. Failure to submit the required information by the due date may affect adversely the future funding of the project. If the information cannot be provided by the due date, awardees are required to

submit a letter of explanation and include the date by which the information will be provided. Awardees must provide a more detailed evaluation and performance measurement plan within the first six months of the project. This more detailed plan must be developed by awardees as part of first-year project activities, with support from CDC. This more detailed plan must build on the elements stated in the initial plan, and must be no more than 25 pages. Awardees are required to submit performance measures annually as a minimum, and may require reporting more frequently. Performance measure reporting is limited to data collection. When funding is awarded initially, CDC programs will specify required reporting frequency, data fields, and format.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,567,141.00; FY 21 est \$4,698,890.00; FY 22 est \$4,698,890.00;

Range and Average of Financial Assistance:

1 award expected for \$3,200,000;

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE.,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA. There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

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93.851 Tracking Electronic Health Record Adoption and Capturing Related Insights in U.S. Hospitals

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Consolidated Appropriations Act 2017, Pub L No. 115-31, Division H, Title II and Title XXX, 3001 (c)(2) and 3011 of the Public Health Service Act.

OBJECTIVES:

Significant federal investments to accelerate the adoption of electronic health records (EHRs) and exchange of clinical data are now in place. It is critical to continue to track the adoption and use of EHRs and related insights to: (1) ascertain the status of adoption and evaluate existing and proposed policy decisions; (2) evaluate the impact of ONC programs and related incentives for meaningful use; and (3) make resources available to ONC and external researchers to assess the effects of health IT adoption and exchange on key aspects of care, such as quality and efficiency. The data generated under this funding opportunity will enable ONC and researchers to carry out these important activities for inpatient settings.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contract, Subgrants

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); This is a non-competitive funding opportunity and is restricted to a designated organization. Organizations not designated as such are not eligible to apply for this opportunity, and therefore should not submit an application. Applications submitted by organizations not designated below will not be considered. The designated organization for this opportunity is the American Hospital Association (AHA).

Beneficiary Eligibility:

Federal; Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Scientist/Researchers; The beneficiaries will include the Office of the National Coordinator for Health Information Technology, the American Hospital Association, and any researchers or members of the general public interested in survey data on the adoption and use of health IT by U.S. hospitals.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The Notice of Grant Award (NGA) is signed by the ONC Grants Management Officer. The successful applicants Authorized Representatives will receive the NGA electronically from ONC. The NGA is considered the official authorizing award document. The NGA will include amount of funds awarded, the terms and conditions of the cooperative agreement, the effective date of the award, the budget period for which support will be given, the total project period timeframe, and the total approved budget.

Deadlines:

September 10, 2015.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals will be available if the Office of the Coordinator for Health Information Technology continues to report on hospital adoption and use of health information technology using these survey methods, and continues to be satisfied with the quality of the output.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds will be available through the period of performance. Method of awarding/releasing assistance: lump sum.

Reports:

Reports will be developed by ONC Staff prior to award Federal Financial Report-Cash Transaction Reports are required quarterly in the Payment Management System Reports will be developed by ONC Staff prior to award Federal Financial Reports SF-425 are due semi-annually Reports will be developed by ONC Staff prior to award

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards, Subpart F, Audit Requirements sets forth standards for obtaining consistency and uniformity among Federal agencies for the audit of non-Federal entities expending Federal awards. In general, a non-Federal entity that expends \$750,000 or more during the non-Federal entity's fiscal year in Federal awards must have a single or program-specific audit. Subpart F provides further guidance including the manner in which expenditures are determined, the distinction between a single audit and a program-specific audit, frequency of audits, and roles and responsibilities in the conduct of audits.

Records:

Not Data Available.

Account Identification:

00-9907-5-0-075.

Obligations:

Cooperative Agreements FY 18 \$105,000.00; FY 19 Estimate Not Available; FY 20 Estimate Not Available; Cooperative Agreements FY 18 Not Available; FY 19 est \$180,000.00; and FY 20 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200 Subparts B through D and F

Regional or Local Office:

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 202-720-2919

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The AHA has an ongoing data collection effort in place designed to capture information on the adoption and use of electronic health records, as well as users attitudes towards them in U.S. hospitals. Since 2008, ONC has supported the data collection and acquired the resulting data through a single-source cooperative agreement. The AHA Annual Survey of Hospitals collects data from more than 6,300 hospitals through the U.S. This data is a primary resource for government agencies and has been used by the Centers for Disease Control and Prevention, the U.S. Census Bureau, and CMS. The IT Supplement currently reaches more than 3,500 hospitals in the U.S. The IT Supplement is a separate collection effort from the AHAs general census tracking survey project that has been conducted continuously since 1946. Over this time span, the general census tracking survey has enjoyed an average response rate in excess of 75 percent. Such a high level of response is exceptional for a voluntary survey that includes both member and non-member hospitals. Building on the success of the general survey, the response rates for the IT Supplement have exceeded 60 percent each year since its inception, an exemplary response rate for a comparatively newer data collection effort. As the leading acute care hospital membership organization (approximately 80 percent of non-federal acute care hospitals are members), the AHA is uniquely suited to build on the response rate successes of prior years. Its credibility and reputation as a hospital advocacy and research center are unmatched by any other organization. In addition, the AHA has more than 60 years of experience in survey development and design and effective data collection through mail-based, and now Internet-based, efforts. In an effort to measure key components of interoperability, ONC has made it a priority to measure health care providers ability to electronically send, receive, find, and integrate information received from outside sources. Without funding to supplement the cost of data collection, the AHA would not conduct an IT survey of this scope. ONCs input on the survey instrument also allows the agency to track key performance measures regarding the interoperable exchange of health information by hospitals. The AHAs IT Supplement is the only known source that tracks key performance measures regarding the interoperable exchange of health information by hospitals. Our national progress on interoperability also has direct implications on the success of federal initiatives such as delivery system reform and achieving widespread interoperability as outlined in MACRA. Tracking and reporting on progress allows us to know where we are collectively starting from, how much progress we have made over time, and whether we have met important milestones. In addition, the AHAs IT Supplement has the capability to track specific functionalities included or under consideration for future inclusion in federal programs (such as meaningful use). ONCs ability to provide input on the content of the IT Supplement to measure interoperability and EHR functionalities is critical to informing and evaluating federal health IT policies and programs. This survey has served the public good in that it has been the foundation of important public research on EHR adoption, as well as examining the impact EHR use has on the quality and efficiency of care in inpatient settings. Examples of such studies include Holmgren et al. 2016, Lammers et al. 2016, Walker et al. 2016, and McLaughlin et al. 2015.

93.852 National Syndromic Surveillance Program Community of Practice (NSSP CoP)

N/A,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act , Title 42, Section 243, 247b(k)(2),.

OBJECTIVES:

The purpose of the FOA is to fund an organization with extensive experience developing and supporting syndromic surveillance practice to develop, implement and maintain a community of practice for CDCs National Syndromic Surveillance Program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Syndromic surveillance emphasizes the use of near real-time pre-diagnostic data, and statistical tools to detect and characterize unusual activity for further public health investigation or response. In 2015, CDC issued CDC-RFA-OE15-1502: The National Syndromic Surveillance Program: Enhancing Syndromic Surveillance Capacity and Practice to fund about 25 health departments to elevate and strengthen the overall science and practice of syndromic surveillance. The purpose of NSSP is to strengthen the practice of syndromic surveillance at local and state public health agencies and to support the use of syndromic surveillance data for regional and national situation awareness. The purpose of this new FOA is to fund an organization to support the development, implementation, promotion, and ongoing maintenance of the NSSP Community of Practice (NSSP CoP). The purpose of the NSSP CoP is to promote the use and practice of syndromic surveillance among a broad range of public health practitioners and stakeholders interested in sharing knowledge to advance and improve syndromic surveillance use and practices. The NSSP CoP will focus on providing a peer-to-peer collaborative environment to foster and facilitate knowledge sharing and collaboration. Working together through the NSSP CoP will allow CDC to leverage the talents of a broad range of public health practitioners and stakeholders to enhance the nations electronic surveillance capacity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Anyone/general public; Organizations with more than a decade of experience in advancing the science and practice of syndromic surveillance and managing a distributed community of practice.

Beneficiary Eligibility:

State; Local; Education (13+); and States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit. Colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. n/a

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. This a non competitive continuation coag

Appeals:

Not Applicable.

Renewals:

>180 Days.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Annually Method of awarding/releasing assistance: other

Reports:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements. Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements. Federal Financial Reporting (FFR) (required) The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to PGO and include the date by which the Grants Officer will receive information. Final Performance and Financial Report (required) This report is due 90 days after the end of the project period. CDC programs must indicate that this report should not exceed 40 pages. This report covers the entire project period and can include information previously reported in APRs. At a minimum, this report must include the following: Performance Measures Awardees must report final performance data for all process and outcome performance measures. Evaluation Results Awardees must report final evaluation results for the project period for any evaluations conducted. Impact/Results/Success Stories Awardees must use their performance measure results and their evaluation findings to describe the effects or results of the work completed over the project period, and can include some success stories. Additional forms as described in the Notice of Award (e.g., Equipment Inventory Report, Final Invention Statement). Awardee Evaluation and Performance Measurement Plan (required) With support from CDC, awardees must elaborate on their initial applicant evaluation and performance measurement plan. This plan must be no more than 20 pages; awardees must submit the plan 6 months into the award. This plan should provide additional detail on the following: The frequency that evaluation and performance data are to be collected. How data will be reported. How evaluation findings will be used for continuous quality and program improvement. How evaluation and performance measurement will yield findings to demonstrate the value of the FOA (e.g., improved public health outcomes, effectiveness of FOA, cost-effectiveness or cost benefit). Dissemination channels and audiences. Other information requested as determined by the CDC program. Annual Performance Report (APR) (required) The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but web links are allowed. This report must include the following: Performance Measures: Awardees must report on performance measures for each budget period and update measures, if needed. Evaluation Results: Awardees must report evaluation results for the work completed to date (including findings from process or outcome evaluations). Work Plan: Awardees must update work plan each budget period to reflect any changes in project period outcomes, activities, timeline, etc. Successes Awardees must report progress on completing activities and progress towards achieving the project period outcomes described in the logic model and work plan. Awardees must describe any additional successes (e.g., identified through evaluation results or lessons learned) achieved in the past year. Awardees must describe success stories. Challenges Awardees must describe any challenges that hindered or might hinder their ability to complete the work plan activities and achieve the project period outcomes. Awardees must describe any additional challenges (e.g., identified through evaluation results or lessons learned) encountered in the past year. CDC Program Support to Awardees Awardees must

describe how CDC could help them overcome challenges to complete activities in the work plan and achieving project period outcomes. Administrative Reporting (No page limit) SF-424A Budget Information-Non-Construction Programs. Budget Narrative Must use the format outlined in "Content and Form of Application Submission, Budget Narrative" section. Indirect Cost Rate Agreement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements

Account Identification:

75-0943-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Average award depends on funding opportunity announcement.

TAFS Codes:

75-0956.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. NA

Headquarters Office:

Marilyn Reynolds,
2500 Century Center Blvd MS V25-2,
Atlanta GA 30345
Email: mpr3@cdc.gov
Phone: 4044982441

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDC NCCDPHP and PGO.

Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the FOA. There may or may not be an additional review which will be provided in the FOA. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

ASSISTANCE LISTINGS DESCRIPTIONS

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, , Public Law 102-564, Public Health Service Act, Sections 301, 405,408, 457, 458, 459, and 487, , Public Law 78-410, 42 USC Code 241-285L-2.

OBJECTIVES:

(1) To support extramural research funded by the National Institute of Neurological Disorders and Stroke (NINDS) including: basic research that explores the fundamental structure and function of the brain and the nervous system; research to understand the causes and origins of pathological conditions of the nervous system with the goal of preventing these disorders; research on the natural course of neurological disorders; improved methods of disease prevention; new methods of diagnosis and treatment; drug development; development of neural devices; clinical trials; and research training in basic, translational and clinical neuroscience. The Institute is the largest funder of basic neuroscience in the US and supports research on topics including but not limited to: development of the nervous system, including neurogenesis and progenitor cell biology, signal transduction in development and plasticity, and programmed cell death; synapse formation, function, and plasticity; learning and memory; channels, transporters, and pumps; circuit formation and modulation; behavioral and cognitive neuroscience; sensorimotor learning, integration and executive function; neuroendocrine systems; sleep and circadian rhythms; and sensory and motor systems. In addition, the Institute supports basic, translational and clinical studies on a number of disorders of the nervous system including (but not limited to): stroke; traumatic injury to the brain, spinal cord and peripheral nervous system; neurodegenerative disorders; movement disorders; brain tumors; convulsive disorders; infectious disorders of the brain and nervous system; immune disorders of the brain and nervous system, including multiple sclerosis; disorders related to sleep; and pain. Programmatic areas, which are primarily supported by the Division of Neuroscience, are also supported by the Division of Extramural Activities, the Division of Translational Research, the Division of Clinical Research, the Office of Training and Workforce Development, the Office of Programs to Enhance Neuroscience Workforce Development, and the Office of International Activities. (2) To expand and improve the Small Business Innovation Research (SBIR) program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. To utilize the Small Business Technology Transfer (STTR) program; to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Research grants may be used to provide salaries, equipment, supplies, travel and other expenses for research. The grantee institution is obliged to expend grant funds prudently for the purposes stated in the application and award document. National Research Service Awards (NRSA) are made directly to individuals for research training in specified biomedical shortage areas, or to institutions, to enable them to make NRSA to individuals selected by them. Each individual who receives a NRSA may be obligated upon termination of the award to comply with service and payback provisions. Career Development Awards such as the Independent Scientist Awards (K02) provide support for newly independent scientists with health-related degrees who can demonstrate the need for a period of intensive research focus as a means of enhancing their research careers and facilitating their ability to obtain major NIH research awards. Mentored Clinical Research Scientist Development Awards (K08) provide support for clinically trained health professionals who need an additional period of sponsored research experience as a way to gain expertise in a research area new to the candidate or in an area that would demonstrably enhance the candidate's scientific career. The K01 and K22 Mentored Research Scientist Development Awards are used to promote

diversity in neuroscience research and support an intensive supervised research career development experience for underrepresented, disabled or disadvantaged career neuroscientists. The Mentored Patient-Oriented Research Career Development Award (K23) provide support for a period of supervised study and research for clinically trained professionals who have the potential to develop into productive clinical investigators. SBIR and STTR Phase I grants (of approximately 6-months to 2 years in duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants (of approximately 1 to 3 years in duration) are for the continuation of the research efforts initiated in Phase I that are likely to result in commercial products or processes. Phase II funding is based on results of research initiated in Phase I, scientific and technical merit, and commercial potential of Phase II application. Grant funds may be expended only for the purpose stated in the application and award document. The NINDS participates in the NIH SBIR/STTR "fast track" and Phase IIB initiatives.

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Research Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for Institutional National Research Service Awards but are eligible for Individual NRSA. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research environment, and for significance of the problem. Approved proposals compete for available funds. All Career Development Program awardees, with the exception of awardees of the Pathway to Independence Award (K99/R00), must be citizens or have been admitted to the United States for permanent residence. Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or territories. To be eligible, postdoctoral NRSA trainees or fellows must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the entire research must be performed in the United States. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Native American Organizations; Health Professional; Student/Trainee; Graduate Student; Scientist/Researchers; State; U.S. Citizen; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Health professionals, graduate students, health professional students, scientists, and researchers.

Credentials/Documentation:

ASSISTANCE LISTINGS DESCRIPTIONS

Research grants are awarded to an institution in the name of an individual investigator. Persons qualified to carry out research related to the extramural programs described above may apply for funds to support their investigations. Mentored Career Development Program training must be conducted under the direction of a competent sponsor. National Research Service Awards: individual NRSA Fellowships for postdoctoral training: the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. Institutional Training Grants for predoctoral and postdoctoral training: the applicant institution must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR 75. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Research grant applications that request \$500,000 or more in direct costs in any yearly budget period will not be accepted unless the NINDS has agreed to accept the application prior to submission (see <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-02-004.html>).

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR 75 applies to this program. NIH has transitioned to the SF424 family of forms and electronic submission through Grants.gov for most research programs and funding mechanisms. All applications must be submitted in response to a specific funding opportunity announcement (FOA). The FOA will specify which forms should be used for submission.

Award Procedure:

Research Grant, Training, Fellowship and SBIR/STTR applications are reviewed initially by technical panels, composed of scientific authorities, and by the National Advisory Neurological Disorders and Stroke Council composed of 18 leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Research grants: Approximately 6 to 9 months. Career program: From 7 to 8 months. SBIR/STTR applications: Approximately 6 to 9 months. Institutional Training Grants: From 6 to 12 months.

Appeals:

Other. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute, and subsequently, the P.I. and applicant institution may appeal to the NINDS appeals officer. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Other. By application and review in same manner as new applications. A P.I. should consult the specific FOA to determine if a particular grant is renewable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Research grant awards are made for a 12-month period with recommendation of up

to 4 years of additional support, with limited exceptions for longer duration awards. Career Program awards provide support for 3 to 5 years. Training Program awards are usually for a 12-month period with recommendation of additional support of up to a total of 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly

Reports:

Research Grants: annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Development Program: awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No cash reports are required. No expenditure reports are required. No performance monitoring is required. Research Grants: annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required. No cash reports are required.

Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75 nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 CFR 75, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$750,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of 45 CFR 75, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of 45 CFR 75. In accordance with NIH Grants Policy Statement, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from

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the date of submission of the annual FSR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants. In accordance with 45 CFR 75 of Federal Regulations, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipient's personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0886-0-1-552.

Obligations:

Project Grants (Contracts) FY 20 \$148,901,463.00; FY 21 est \$134,514,675.00; FY 22 est \$147,645,250.00; Project Grants FY 20 \$1,703,587,636.00; FY 21 est \$1,779,998,005.00; FY 22 est \$1,974,908,295.00;

Range and Average of Financial Assistance:

Research grants: \$11,918 to \$8,655,949; \$504,460. National Research Service Awards: Institutional \$53,763 to \$695,760; \$246,718. Individual: \$10,858 to \$79,130; \$43,721. SBIR/STTR: Phase I not to exceed \$150,000; Phase II not to exceed \$1,000,000; however with appropriate justification, budget caps for Phase I and Phase II are \$225,000 and \$1,500,000 respectively

TAFS Codes:

07-0886.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: There was 999 competing research application awards made.
Fiscal Year 2021: There will be 914 competing research application awards made.
Fiscal Year 2022: There will be 981 competing research application awards made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75 ; Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at . 45 CFR 75 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. National Institute of Neurological Disorders and Stroke (NINDS), Division of Extramural Activities (DEA), National Institutes of Health, Department of Health and Human Services, Neuroscience Center, 6001 Executive Boulevard, Bethesda, MD 20892. Director DEA: Dr. Robert Finkelstein, Suite 3309, Telephone: (301) 496-9248. Dr. Linda Bambrick, Repair and Plasticity, Suite 2207, Telephone: (301) 496-1447; Dr. Francesca Bosetti, Neural Environment, Suite 2113, Telephone: (301) 496-1431; Dr. Beth-Anne Sieber, Neurodegeneration, Suite 2223, Telephone: (301) 496-5680; Dr. Janet He, Systems & Cognitive Neuroscience, Suite 2227, Telephone: (301) 496-9964; Dr. Vicky Whittemore, Channels, Synapses & Circuits, Suite 2133, Telephone: (301) 496-1917; Dr. Robert Riddle, Neurogenetics, Suite 2114, Telephone: (301) 496-5745; Grants Management Branch: Dr. Anna Taylor, Acting Chief, Suite 3258, Telephone: (301) 496-9231; Dr. Stephen Korn, Office of Training & Workforce Development, Suite 2186, Telephone: (301) 496-4188; Dr. Michelle Jones-London, Office of Programs to Enhance Neuroscience Workforce Diversity, Suite 2181, Telephone: 301-451-7966.

Headquarters Office:

Robert F. Finkelstein, Director,
6001 Executive Boulevard, Suite 3309,
Bethesda MD 20892
Email: finkelsR@nih.gov
Phone: (301) 496-9248
Fax: (301) 402-4370

Website Address:

<http://www.ninds.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) the soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) the degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.855 Allergy and Infectious Diseases Research

Allergy and Infectious Diseases Research,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, , Public Law 102-564, 42 USC Code 241. Public Health Service Act, Title IV, Section 301, Public Law 78-410

OBJECTIVES:

To assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to conduct developmental research, to produce and test research materials. To assist public, private and commercial institutions to conduct developmental research, to produce and test research materials, to provide research services as required by the agency for programs in infectious diseases, and controlling disease caused by infectious or parasitic agents, allergic and immunologic diseases and related areas. Projects range from studies of microbial physiology and antigenic structure to collaborative trials of experimental drugs and vaccines, mechanisms of resistance to antibiotics as well as research dealing with epidemiological observations in hospitalized patients or community populations and progress in allergic and immunologic diseases. Because of this dual focus, the program encompasses both basic research and clinical research. Small Business Innovation Research (SBIR) program expands and improves private sector participation in biomedical research. The SBIR Program intends to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal

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research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program stimulates and fosters scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Research Career Development Awards support the development of scientists during the formative stages of their careers. Individual National Research Service Awards (NRSA) are made directly to approve applicants for research training in specified biomedical shortage areas. In addition, Institutional National Research Service Awards are made to enable institutions to select and make awards to individuals to receive training under the aegis of their institutional program.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Beginning with fiscal year 1998, the direct costs awarded in the first year to a research project grant resulting from a competing renewal application will be limited to no more than a 20 percent increase over the direct costs awarded in the last non-competing year of that grant. Research grants provide funds for salaries, equipment, supplies, and travel. They also afford the collateral benefits of enriching the training experience of research workers. Grantees are expected to be judicious in using these funds. The application for a research grant sets forth specific terms and conditions and requires the signatures of the principal investigator and an official authorized to sign for the institution. Scientists and institutions are under an obligation to expend grant funds prudently for the purposes stated in the application and award document. For Research Career Development Awards (RCDAs) the scientists must demonstrate an outstanding research potential for independent research in the sciences related to transplantation, immunology, allergies, and immunological diseases. For National Research Service Awards (NRSA), each individual who receives NRSA support is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Universities, colleges, hospitals, laboratories, and other public or private nonprofit domestic institutions, including State and local units of government, and individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than

one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Student/Trainee; Scientist/Researchers; State; Local; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Research grant applicants must define the objectives, methodology, and facilities for the program, and must present the program director's competence and scientific interest. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Applicants for individual NRSA must include their academic record, research experience, citizenship, institute sponsorship, and the proposed area and plan of training in their applications. The applicant for an institution must specify the objectives, methodology, and resources for the research training program, the qualifications experience of directing staff, the criteria to be used in selecting individuals for award, and a detailed budget justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations HHS has implemented 2 CFR 200s administrative requirements, cost principles, and audit requirements under 45 CFR 75. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NIH has transitioned to the SF424 family of forms and electronic submission through Grants.gov for most research programs and funding mechanisms. All applications must be submitted in response to a specific funding opportunity announcement (FOA). The FOA will specify which forms should be used for submission. Application forms and information concerning current areas of science being supported are available from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" homepage at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: telephone: (301) 206-9385; fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710,

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Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and a national advisory council. If recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant and applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. New Non-AIDS research applications: February 1, June 1 and October 1. For Renewal and Supplemental Non-AIDS research grant applications: March 1, July 1, and November 1. For all AIDS research grant applications: January 2, May 1, September 1. Research Career Development Award programs: February 1, June 1, October 1. Individual NRSAs: April 5, August 5, and December 5. Institutional NRSA: September 10. SBIR/STTR: April 1, August 1 and December 1.

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. Final decisions on unresolved appeals are made with the advice of the National Advisory Allergy and Infectious Diseases Council.

Renewals:

Other. Renewals of research grant support are by competitive application and review. The competitive application may request support for a segmental period of up to 5 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: MOE requirements apply with allocations over \$100 million.

Length and Time Phasing of Assistance:

Research grant project periods average 4 years; they may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: other

Reports:

Require quarterly expenditure reports and yearly or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Annual progress reports with information on inventions and expenditures are required. Require quarterly expenditure reports and yearly or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Yearly and final progress reports are monitored

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. HHS has implemented 2 CFR 200s administrative requirements, cost principles, and audit requirements under 45 CFR 75.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment

is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH.

Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 75.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0885-0-1-552.

Obligations:

Project Grants FY 20 (\$544,108,296.00); FY 21 est (\$390,451,296.00); FY 22 est (\$345,307,296.00);

Range and Average of Financial Assistance:

from \$2,500 to \$6,395,901 and the average \$426,165.

TAFS Codes:

75-0885.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

Headquarters Office:

Financial Analyst Kevin Richardson,
5601 Fishers Lane Suite 5E39,
Rockville MD 20852
Email: kevin.richardson@nih.gov
Phone: 3017617870

Website Address:

<http://www.niaid.nih.gov>

RELATED PROGRAMS:

93.856 Microbiology and Infectious Diseases Research;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed

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research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.858 National Collaboration to Support Health, Wellness and Academic Success of School-Age Children

NA,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under the Public Health Service Act, 317(k)(1) of the PHS Act, 42 U.S.C. Section 247b(k)(1); and 317(k)(2).

OBJECTIVES:

The purpose of this announcement is to fund applicants to improve the health of youth by funding NGOs to assist CDC funded grantees and the organizations constituents (e.g., states, school districts and/or schools) to implement environmental and systems changes that support and reinforce healthful behaviors and reduce disparities. This program announcement places a strong emphasis on training and professional development, technical assistance, dissemination and communication, and program implementation and evaluation, and all activities are to be developed and delivered within the Whole School, Whole Community, Whole Child framework.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applicants must adhere to all CDC guidelines/policy/regulations regarding allowable and unallowable cost.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); - Eligibility is limited to Community-Based Organizations, Faith Based Organizations, American Indian or Alaska Native Tribally-Designated organizations, Nonprofit without or with 501C3 IRS Status (other than Institution of Higher Education) are eligible.

Beneficiary Eligibility:

Anyone/general public; Native American Organizations; Public nonprofit institution/organization; Other public institution/organization; Nonprofit, Community-based, Faith based, American Indian/Alaska Native

Credentials/Documentation:

Proof of 501C3 status and Applicants should document the need for assistance, state objective of the project, address the background, approach strategies and evaluation methods for the project/program, and provide a budget with justification of funds. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the Centers for Disease Control and Prevention, Procurement and Grants Office. The NoA and any additional attachments shall be the only binding, authorizing document between the applicant and CDC. The NoA will be signed by an authorized Grants Management Officer or their designee and e-mailed to the Principal Investigator/Program Director. A hard copy of the NoA will be mailed to the business official identified in the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. The anticipated start date is June 1, 2016 - May 31, 2017. This information will be provided in the FOA DP16-1601.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. This program is a 5 year project period with 12-month budget periods.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

12-month budget period within a 5-year project period Method of awarding/releasing assistance: lump sum.

Reports:

Annual Performance Report (APR) - This report must not exceed 30 pages excluding administrative reporting; attachments are allowed. The awardee must submit the APR via 90 days before the end of the budget period Annual Performance Report (APR) - This report must not exceed 30 pages excluding administrative reporting; attachments are allowed. The awardee must submit the APR via 90 days before the end of the budget period The awardee must submit a Federal Financial Report (FFR) 90 days after the budget period. Information will be provided in Funding Opportunity Announcement

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Information will be provided in the Funding Opportunity Announcement

Records:

This is a 5-year records retention requirement, records shall be retained beyond the 5-year period if final audit has not been done, or audit findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,766,410.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Funding range \$300,000 - \$450,000 for FY16

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or 92 as appropriate. The following additional requirements apply to this program. For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address:

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<http://www.access.gpo.gov/nara/cfr/cfr-tables-search.html>

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An objective review panel will be held to review applications based on criteria described in the Funding Opportunity Announcement (FOA) - DP16-1601

93.859 Biomedical Research and Research Training

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Section 301 and Title IV of the Public Health Service Act, as amended.,

OBJECTIVES:

The National Institute of General Medical Sciences (NIGMS) supports basic research that increases our understanding of biological processes and lays the foundation for advances in disease diagnosis, treatment, and prevention. NIGMS-funded scientists investigate how living systems work at a range of levels from molecules and cells to tissues and organs, in research organisms, humans, and populations. Additionally, to ensure the vitality and continued productivity of the research enterprise, NIGMS provides leadership in training the next generation of scientists, in enhancing the diversity of the scientific workforce, and in developing research capacity throughout the country.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

NIGMS is organized into divisions that support research, research training, and capacity building in a range of scientific fields. Division of Biophysics, Biomedical Technology, and Computational Biosciences: Facilitates advances in basic biomedical research by supporting the development of biophysical and computational methods and tools for understanding basic biological questions; physical and theoretical methodologies, bioinformatics tools, and sophisticated quantitative approaches to lay a foundation for advances in disease diagnosis, treatment, and prevention; and the creation of innovative tools and new technologies for the study of macromolecular, cellular, and organelle processes and function. The long-term goals of the division are to leverage data, methods, and technologies to answer fundamental biological questions, to develop a more robust computing infrastructure for the biomedical research community, and to promote and facilitate the development and use of new biophysical, computational, and experimental technologies in biomedical research. Division of Genetics and Molecular, Cellular, and Developmental Biology: Supports research to understand the structure and function of cells and cellular components, and the cellular and molecular mechanisms that underlie inheritance, gene expression, and development. In most cases, research whose overall goal is to gain knowledge about a specific organ or organ system or the pathophysiology, treatment, or cure of a specific disease or condition is not supported by this division. Division of Pharmacology, Physiology, and Biological Chemistry: Supports a broad spectrum

of research aimed at improving the molecular-level understanding of fundamental biological processes and discovering approaches to their control. Research supported by the division takes a multifaceted approach to problems in pharmacology, physiology, biochemistry, and biological chemistry that are very basic in nature. Division for Research Capacity Building: Supports research, faculty development, research training, and research infrastructure improvements in states where levels of NIH research funding have historically been low through the Institutional Development Award (IDeA). It also supports research directed by and research capacity in Native American and Alaska Native tribal organizations through the Native American Research Centers for Health (NARCH) program, faculty development at institutions that primarily serve students from underrepresented groups in biomedical research through the Support of Competitive Research (SCORE) program, and science education through the Science Education Partnership Awards (SEPA) program. The division also oversees the STTR Regional Technology Transfer Accelerator Hubs for IDeA States. Division of Training, Workforce Development, and Diversity: Supports programs that foster research training and the development of a strong and diverse biomedical research workforce. The division funds research training, career development, and diversity-enhancing activities through a variety of programs at the undergraduate, graduate, postdoctoral, faculty, and institutional levels. In addition, it administers the NIH Common Fund initiative, Enhancing the Diversity of the NIH-Funded Workforce.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; NIGMS trainees must be U.S. citizens, non-citizen nationals, or permanent residents.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; Student/Trainee; Scientist/Researchers; U.S. Citizen; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NIH announces availability of funds for grant programs by issuing funding opportunity announcements in the NIH Guide for Grants and Contracts (<https://grants.nih.gov/grants/guide>) and on Grants.gov (<https://www.grants.gov>). Application packages are available at and must be submitted through Grants.gov.

Award Procedure:

All approved applications compete for available funds on the basis of scientific merit and program emphasis. For an overview of NIGMS grant funding policy, see <https://www.nigms.nih.gov/research/pages/policies.aspx>.

Deadlines:

Application due dates are provided in each funding opportunity announcement.

Range of Approval/Disapproval Time:

Other. Project Grants: about 9 months. NRSA: (institutional) 9-12 months, (individual) 6 months. Small Business Innovation Research (SBIR)/Small Business Technology Transfer Research (STTR): about 6 months.

Appeals:

Other. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on

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the NIH website at <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>.

Renewals:

Other. Renewal grants are generally made prior to the expiration of any current award.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Almost all awards are made for at least 1 year, with additional support (up to 4 more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance and availability of funds. Method of awarding/releasing assistance: other

Reports:

Varies by program, always on an annual basis Varies by program, always on an annual basis Varies by program, always on an annual basis Varies by program, always on an annual basis Varies by program, always on an annual basis

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits of profit making organizations are addressed in 45 CFR Part 72 (Subpart C, Section 74.26). In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0897-0-1-552.

Obligations:

Project Grants FY 20 (\$1,557,859,896.00); FY 21 est (\$1,507,240,296.00); FY 22 est (\$1,410,927,296.00);

Range and Average of Financial Assistance:

\$20,000 to \$10,000,000.

TAFS Codes:

75-0851.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16 Procedures of the Departmental Grant Appeals Board; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure; miscellaneous program literature from headquarters office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; and Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Communications and Public Liaison Branch,
45 Center Drive MSC6200,
Bethesda MD 20892
Email: info@nigms.nih.gov
Phone: (301) 496-7301
Fax:

Website Address:

<https://www.nigms.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All accepted applications are reviewed for scientific and technical merit by an appropriate initial review group and by a national advisory council. For an overview of NIGMS grant application and review, see

<https://www.nigms.nih.gov/research/application/pages/grantapprev.aspx>. For more information about NIGMS, visit <https://www.nigms.nih.gov>.

93.860 Emerging Infections Sentinel Networks

NA,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act Sections 301(a)[42 U.S.C.241(a)], 317(k)(1)[42 U.S.C.247b(k)(1)], and 317(k)(2)[42 U.S.C.247b(k)(2)], as amended.,

OBJECTIVES:

In its 1994 monograph, Addressing Emerging Infectious Disease Threats A Prevention Strategy for the United States, the Centers for Disease Control and Prevention (CDC) called for the establishment of provider-based Emerging Infections Sentinel Networks (EISNs) to monitor and evaluate conditions that are not covered by health department surveillance and that are likely to be seen by specific kinds of health providers. There are currently three provider-based networks established through CDCs EISN cooperative agreement programs: 1) Surveillance network of infectious disease specialists, 2) Global surveillance network of travel medicine clinics, and 3) Emergency department-based network of academically affiliated emergency departments for research on emerging infectious diseases. The first two networks (infectious disease specialists and travel medicine clinics) are non-research programs, and the third network (academically affiliated EDs) is a research program. These networks contribute to surveillance for emerging infectious diseases, including drug resistant, foodborne and waterborne, and vaccine-preventable or potentially vaccine-preventable diseases, and enhance information exchange leading to early identification of and response to trends and outbreaks. Objectives for the provider-based sentinel networks include the following: -To support linked groups of participating individuals or organizations in monitoring a variety of infectious disease problems and enhancing communication and collaboration among network members and the public health community; -To serve as readily accessible mechanisms to address urgent public health infectious disease problems rapidly; and -To improve understanding of specific public health issues, including, but not limited to, international travel, and enhance preparedness to meet new infectious disease threats among domestic and globally mobile populations. Additional objectives for the "research" EISN include: -To focus studies on the most important issues to be addressed by participating hospital EDs and CDC; and -To estimate the community burden of diseases.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. More detailed information regarding use of funding for specific projects/activities will be included in the published NOFOs for this program.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family;

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Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligibility for this program is open, however, only institutions/organizations that meet and can demonstrate the necessary qualifications described in the published NOFOs for this program should apply. Additional eligibility information is also described below: There are both research and non-research funding opportunities under this program. For the research opportunity, only organizations currently operating a nationwide provider-based sentinel network that link academically affiliated hospital emergency departments in monitoring a variety of infectious disease problems are eligible. For the non-research opportunity, only organizations currently operating provider-based sentinel networks that link groups of participating individuals or organizations organized around either infectious disease clinicians or travel medicine in monitoring a variety of infectious disease problems are eligible. Applicants should refer to the published NOFOs for more information regarding applicant eligibility.

Beneficiary Eligibility:

Anyone/general public; Health Professional; - Health Professionals- Anyone/general public

Credentials/Documentation:

Only organizations currently operating provider-based sentinel networks that link academically affiliated hospital emergency departments, or groups of participating individuals/organizations organized around either infectious disease clinicians or travel medicine, in monitoring a variety of infectious disease problems should submit an application. Applicants must provide documentation of the applicants qualifications, standing, and relationships in representing a group of academically affiliated hospital emergency departments or providers in a sentinel network. Include letters of support from network members. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Detailed instructions regarding application procedures and requirements will be included in the published NOFO for this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit by an Objective Review Panel (for the non-research funding opportunity) or a Special Emphasis Panel (SEP) (for the research funding opportunity) in accordance with CDC review policies and procedures. CDC will not review incomplete and non-responsive applications. Complete and responsive applications will undergo the appropriate review process (Objective Review or SEP Review), receive a written critique and be scored according to the published review criteria. Any deviation from this process will be detailed in the published NOFO for this program. Successful applicants will receive a Notice of Award (NOA) from the CDC Office of Grants Services. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. Once competing applicants have been selected for the first year of funding in the project period, those selected will be eligible to submit annual non-competitive continuation applications for each successive budget period of the project period.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Awards under this Program are typically issued for 1- to 5-year project periods. Renewals at the end of each project period are expected to be made available via publishing of a Renewal Competing Continuation NOFO with a complete application and review/award process as described above. Annual renewals within an awarded multi-year project period are made via a non-competitive process and are subject to the availability of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

No later than 10 days after the end of each calendar quarter, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). The reporting schedule is/will be based on the requirements listed in the published Notice of Funding Opportunity for this Program. Funded applicants must provide CDC with an Annual Progress Report (APR). Additionally, funded applicants must provide CDC with a Federal Financial Report (SF-425) no more than 90 days after the end of each budget period, and a final Performance and Federal Financial Report no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist/Officer indicated in the NoA. Additional details regarding the reporting requirements for this program are/will be included in the published NOFOs. Federal Financial Reports (SF-425) are due no more than 90 days after the end of each budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be retained for a minimum of 3 years after the end of the budget period, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-0949-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$5,797,784.00; FY 21 est \$0.00; FY 22 Estimate Not Available; Formula Grants (Cooperative Agreements) FY 20 \$8,447,784.00; FY 21 est \$250,000.00; and FY 22 est \$500,000.00;

Range and Average of Financial Assistance:

Award Range: \$250k-\$5m Average Award: \$500k

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are/will be published in the Notice of Funding Opportunity and identified on the notices of award. Regulations are also published under 42 CFR 55B. Guidelines are available under 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>.

Regional or Local Office:

None. Angela Slaughter 1600 Clifton Rd., NEMS-H24-11 Atlanta, GA 30333

ASSISTANCE LISTINGS DESCRIPTIONS

Headquarters Office:

Program Manager Angela Y. Slaughter,
Centers for Disease Control and Prevention 1600 Clifton Road, MS C-18,
Atlanta GA 30329

Email: ASlaughter@cdc.gov

Phone: 4046397722

Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed against specific Evaluation Criteria published in the Notice of Funding Opportunity for this program.

93.861 Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF)

CBA to Strengthen Public Health Infrastructure and Performance in USAPIs,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under sections 307 and 317(k)(2) of the Public Health Service Act [42 USC 242(l) and 247(b)(k)(2), as amended]. In addition, this program is authorized under sections 311 and 1703 of Public Health Service Act [42 USC 243 and 300 u-2, as amended] and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148., Title IV, Section 4002, Public Law 111-148, 42 USC Code 242, 247.

OBJECTIVES:

The purpose of this funding initiative to ensure provision of capacity building assistance (CBA) to the USAPIs public health officials and public health systems. Capacity building efforts will support the formation of sound policies, strengthened organizational structures (e.g., governance, workforce recruitment/retention, and health services), effective management and revenue control, build jurisdictional partnerships, and address important cross-cutting issues such as health equity programs and services, and improved accountability measures for performance effectiveness and efficiency.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting and supporting capacity building assistance efforts in the USAPI as described in Section 050 above and through CDC allowable and unallowable expenses. Per CDC-RFA-OT16-1601 Funding Restrictions. Detailed budget restrictions will be specified in most recent Notice of Award.

Applicant Eligibility:

Other public institution/organization; The only eligible applicant for this award is the Pacific Island Health Officers Association (PIHOA), a 501(c)3 independent accrediting body that administers the national voluntary public health department accreditation program.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; State; Local; Public nonprofit institution/organization; Governmental public health departments, workforce segments across governmental public health departments, and/or nongovernmental public health professionals in the US-Affiliated Pacific Islands (USAPI). The US-Affiliated Pacific Islands (USAPI) consist of three U.S. Flag Territories of American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands,

as well as three sovereign states that have a Compact of Free Association with the United States (US)--Freely Associated States of the Republic of the Marshall Islands, Republic of Palau, and Federated States of Micronesia.

Credentials/Documentation:

The applicant must demonstrate 1) nonprofit 501(c)(3) or nonprofit 501(c)(6) IRS status (other than institutions of higher education); 2) a Pacific Islands regional scope of work; and 3) a public health charge or mission. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Phase I Review: The eligible application will be initially reviewed for completeness by the CDC Procurement and Grants Office (PGO) staff. The eligible application will then be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive applications that do not meet eligibility criteria will not advance to Phase II review and the applicant will be notified. Phase II Review: An objective review panel will evaluate the complete and responsive application according to the criteria listed in the FOA. The successful applicant will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

>180 Days. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the five-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Annual financial reporting is required via the Federal Financial Reporting document Performance Measures (including outcomes)awardees must report on performance measures for each budget period and update measures as least annually (Annual Performance Report). CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 No additional requirements.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42

Account Identification:

75-0943-0-1-551.

ASSISTANCE LISTINGS DESCRIPTIONS

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

1 award at approximate average award of \$2,000,000

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT16-1601, and as additionally specified in most current Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Caroline Sulal,
1825 Century BlvdMS V18-1,
Atlanta GA 30345
Email: PIHOACoAg@cdc.gov
Phone: 770.488.1522
Fax: 404.498.0430
Website Address:

<https://www.cdc.gov/publichealthgateway/partnerships/pihoa.html>

RELATED PROGRAMS:

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments; 93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance ; 93.424 NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announce CDC-RFA-OT16-1601, Application Review and Selection Process listed in Section 093 Award Procedure above.

93.865 Child Health and Human Development Extramural Research

Child Health and Human Development,

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301, 448 and 487, as amended, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241; 42 U.S.C. 285g; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564, , Public Law 116-260

OBJECTIVES:

To conduct and support laboratory research, clinical trials, and studies with people that explore health processes. NICHD researchers examine growth and development, biologic and reproductive functions, behavior patterns, and population dynamics to protect and maintain the health of all people. To examine the impact of disabilities, diseases, and defects on the lives of individuals. With this information, the NICHD hopes to restore, increase, and maximize the capabilities of people affected by disease and injury. To sponsor training programs for scientists, doctors, and researchers to ensure that NICHD research can continue. By training these professionals in the latest research methods and technologies, the NICHD will be able to conduct its research and make health research progress until all children, adults, families, and populations enjoy good health. The mission of the

NICHD is to ensure that every person is born healthy and wanted, that women suffer no harmful effects from reproductive processes, and that all children have the chance to achieve their full potential for healthy and productive lives, free from disease or disability, and to ensure the health, productivity, independence, and well-being of all people through optimal rehabilitation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grantee agrees to administer the grant in accordance with the regulations and policies governing the research grant programs of the Public Health Service as stated in the terms and conditions on the application for the grant. National Research Service Awards: Awarded to individuals for full-time research training in specified behavioral and biomedical shortage areas. Awardees may utilize some of their time in academic and clinical duties if such work is closely related to their research training. Awards may be made to institutions to enable them to make NRS awards to individuals selected by them. Each individual awardee is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both nonprofit and for-profit, and individuals. National Research Service Award: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. Individuals with a professional or scientific degree are eligible (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Predoctoral research training grants to institutions are also supported. Proposed study must result in biomedical or behavioral research training in a specified shortage area and which may offer opportunity to research health scientists, research clinicians, etc., to broaden their scientific background or to extend their potential for research in health-related areas. Applicants must be citizens of the United States or be admitted to the United States for permanent residency; they also must be nominated and sponsored by a public or private institution having staff and facilities suitable to the proposed research training. Domestic nonprofit organizations may apply for the institutional NRS grant. SBIR: SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

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Individual/Family; Private nonprofit institution/organization; Student/Trainee; Scientist/Researchers; State; U.S. Citizen; Local; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical or biobehavioral research.

Credentials/Documentation:

Applicants should submit electronically via Grants.gov as directed in the relevant NIH Funding Opportunity Announcement. All required forms specified in the application kit are to be completed by the applicant and submitted with the application package. National Research Service Award: Individual Award: The applicant's academic record, research experience, citizenship, and institution sponsorship should be documented in the application. Institutional Award: the applicant organization must show the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations, 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. National Research Service Award: Prior to formal application, an individual must arrange for acceptance at a sponsoring institution by a sponsor who will supervise the training. Individuals must be sponsored by a domestic or foreign institution. SBIR/STTR: Same as for grants (above). Applications are submitted electronically via Grants.gov following the general guidance provided at: <http://grants.nih.gov/grants/forms.htm> and the specific instructions for the respective Funding Opportunities Announcement which may be found at: <https://grants.nih.gov/funding/index.htm>

Award Procedure:

Each application receives a dual scientific review by non-NIH scientists. Awards are issued by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD). National Research Service Awards: Applications are reviewed for scientific merit by an appropriate study section committee or by an institute review committee. If recommended for approval and a decision is made to make an award, a formal award notice will be sent to the applicant and sponsor. Institutional Awards are issued by the Eunice Kenney Shriver National Institute of Child Health and Human Development (NICHD). All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for the available SBIR/STTR set-aside funds on the basis of scientific and technical merit and the commercial potential of the proposed research, program relevance, and program balance among the areas of research. Contact the headquarters or regional office, as appropriate, for application deadlines, or consult the specific Funding Opportunity Announcement listed in the NIH Guide for Grants and Contracts at: <https://grants.nih.gov/funding/searchGuide/nih-guide-to-grants-and-contracts.cfm>. General guidance about application due dates may be found at: <http://grants.nih.gov/grants/how-to-apply-application-guide/due-dates-and-submission-policies/standard-due-dates.htm>

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. From 6 to 9 months: National Research Service Awards: From 6 to 9 months. SBIR/STTR: approximately 6 months.

Appeals:

Not Applicable. A principal investigator (P.I.) may question the substantive or

procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH web site at:

<http://public.csr.nih.gov/ApplicantResources/InitialReviewResultsAppeals/Pages/default.aspx>

Renewals:

>180 Days. Renewal applications are accepted, as described in the relevant Funding Opportunity Announcement (FOA) found at: <http://grants.nih.gov/grants/guide/index.html?CFID=50541572&CFTOKEN=87322295&jsessionid=f630f3b44e23db8088b9e5e5224596361271>. National Research Service Awards: awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years. Institutional Awards may be renewed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are usually made annually with no project period to exceed 5 years in length. National Research Service Awards: From 1 to 3 years. SBIR: Phase I awards are generally for 6 months; Phase II awards normally may not exceed 2 years. STTR Phase I awards are generally for 1 year; Phase II awards normally may not exceed 2 years. Method of awarding/releasing assistance: other

Reports:

NIH requires that grantees periodically submit financial and progress reports. Other required reports may include annual invention utilization reports, lobbying disclosures, conflict of interest reports, audit reports, reports to the appropriate payment points (in accordance with instructions received from the payment office), and specialized programmatic reports. Grantees also are expected to publish the results of research in peer-reviewed journals and to provide information to the public on the objectives, methodology, and findings of their NIH-supported research activities, as specified in Administrative Requirements Availability of Research Results: Publications, Intellectual Property Rights, and Sharing Research Resources. The GMO is the official receipt point for most required reports. However, NIH has centralized the submission of annual progress reports; details are provided below. In addition, electronic submission through the eRA Commons is required for some annual progress reports and available for all closeout documents (final grant progress reports, final invention statements and certifications, and final financial status reports). When a paper non-competing continuation progress report is submitted, only a signed original is required; no copies are required. Submission of these reports to an address other than the centralized one may result in delays in processing of the non-competing continuation award or the submission being considered delinquent. FFRs must be electronically submitted to OFM (see Financial Reports below) through the eRA Commons eFFR feature unless otherwise indicated in the awards terms and conditions. Grantees are allowed a specified period of time to submit required financial and final progress reports (see 45 CFR parts 74.51 and 74.52, 92.40 and 92.41, and the discussion in this subsection). Failure to submit complete, accurate, and timely reports may indicate the need for closer monitoring by NIH or may result in possible award delays or enforcement actions, including withholding, removal of certain NIH Standard Terms of Award, or conversion to a reimbursement payment method (also see Administrative Requirements Enforcement Actions). The schedule for submission of the non-competing continuation progress report is discussed in the next subsection. The FFR has a dedicated section to report Federal cash receipts and disbursements. For domestic grantees this information is submitted quarterly directly to the PMS using the web-based tool. Quarterly reports are due 30 days following the end of each calendar quarter. The reporting period for this report continues to be based on the calendar quarter. Questions concerning the requirements for this quarterly financial report should be directed to the PMS. For awards issued to foreign institutions after October 1, 2012, even though payment is now through PMS, the requirement for quarterly cash reporting does not apply. These awards are now administered in PMS using subaccounts and payments will be specific to each grant at the time the grantee draws funds. Progress reports usually are required annually as part of the non-competing continuation award process. NIH may require these reports more frequently. The Non-Competing Continuation Progress Report (PHS 2590) or

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equivalent documentation (e.g., Research Performance Progress Report [RPPR]) must be submitted to, and approved by, NIH to non-competitively fund each additional budget period within a previously approved project period (competitive segment). Except for awards subject to SNAP, the progress report includes an updated budget in addition to other required information. NIH continues to transition to using the RPPR for progress reporting. The RPPR is a federal wide format for the submission of required annual or other interim performance reporting on grant and cooperative agreement awards. The transition to RPPR will be implemented in phases through a module in the eRA Commons. The RPPR is now required for all SNAP and fellowship awards. The PHS 2590 non-competing continuation progress report is currently required for all other NIH awards; however, the RPPR will eventually replace the PHS 2590 paper progress report. Reports of expenditures are required as documentation of the financial status of grants according to the official accounting records of the grantee organization. NIH requires all financial expenditure reports to be submitted using the Federal Financial Report (FFR) system located in the eRA Commons. This includes all initial FFRs being prepared for submission and any revised FSR/FFRs being submitted or re-submitted to NIH. The eRA Commons Federal Financial Report (FFR) system allows participants to view information on currently due and late expenditure reports and to submit these reports electronically to NIH. Paper expenditure reports are not accepted. Expenditure data submitted to NIH is initially reviewed and accepted by OFM. NIH IC grants management staff also review these expenditure reports. Except for awards under SNAP and awards that require more frequent reporting, the FFR is required on an annual basis. An annual FFR is required for awards to foreign organizations made prior to October 1, 2012 and Federal institutions made prior to October 1, 2013, whether or not they are under SNAP. When required on an annual basis, the report must be submitted for each budget period no later than 90 days after the end of the calendar quarter in which the budget period ended. The reporting period for an annual FFR will be that of the budget period for the particular grant; however, the actual submission date is based on the calendar quarter. Failure to submit timely reports may affect future funding. The report also must cover any authorized extension in time of the budget period. If more frequent reporting is required, the NoA will specify both the frequency and due date. In lieu of the annual FFR expenditure data, NIH will monitor the financial aspects of grants under SNAP by using the information submitted directly to PMS. The GMO may review the report for patterns of cash expenditures, including accelerated or delayed drawdowns, and to assess whether performance or financial management problems exist. For these SNAP awards, FFR expenditure data is required only at the end of a competitive segment. It must be submitted within 90 days after the end of the competitive segment and must report on the cumulative support awarded for the entire segment. An FFR must be submitted at this time whether or not a competing continuation award is made. If no further award is made, this report will serve as the final FFR. The grantee institution is required to submit a progress report on an annual basis for each grant award, which includes monitoring of performance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0844-0-1-552.

Obligations:

Project Grants FY 20 \$1,133,277,384.00; FY 21 est \$1,391,298,000.00; FY 22 est \$1,472,695,000.00;

Range and Average of Financial Assistance:

For research project grants, fiscal year 2020, range is \$50,000 to \$5,000,000; average is \$481,011. Individual research fellowship awards: Basic stipend (first year beyond the doctoral degree) of approximately \$45,000. The sponsoring institution will be provided, on application, with an allowance of up to

approximately \$8,000 per year to help defray the cost of training. No dependency allowances. SBIR: Average Phase I awards are for approximately \$225,000 (grant activity R43 - for up to six months); Phase II awards may be made for amounts up to \$1,500,000 (grant activity R44 - for up to two years).

TAFS Codes:

75-0844.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Budget Request: Fiscal year 2020 competing and noncompeting research project grants estimates were 1,941. Of this number, 81 were SBIR/ STTR awards. Precisely 53 research centers were awarded. 442 other research grants were awarded. There were institutional training grants which supported 438 trainees awarded in fiscal year 2020. Individual training awards for fiscal year 2020 were 257. Fiscal Year 2021: Budget Request: Fiscal year 2021 competing and noncompeting research project grants estimates are 1,953. Of this number, 81 are expected to be SBIR/ STTR awards. Approximately 54 research centers will be awarded. A planned 437 other research grants are anticipated to be awarded. There are projected institutional training grants to support 455 trainees that are planned to be awarded in fiscal year 2021. The individual training award are projected for fiscal year 2021 to be 261. Fiscal Year 2022: Budget Request: Fiscal year 2022 competing and noncompeting research project grants estimates are 2,066. Of this number, 102 are expected to be SBIR/ STTR awards. Approximately 54 research centers will be awarded. A planned 460 other research grants are anticipated to be awarded. There are projected institutional training grants to support 439 trainees that are planned to be awarded in fiscal year 2022. The individual training award are projected for fiscal year 2022 to be 279.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Grants Policy Statement, (Rev.) March 1, 2001, available on the NIH website at http://grants.nih.gov/grants/policy/nihgps_2001/; NIH Guide to Grants and Contracts, available on the NIH website at <http://grants.nih.gov/grants/guide/>. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

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<https://www.nichd.nih.gov/grants-contracts/process-strategies>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Reproductive Health, Pregnancy, and Perinatology: The NICHD program in reproductive health, pregnancy, and perinatology supports basic, clinical, and translational research on gynecologic and andrologic disorders; contraception; fertility and infertility; pregnancy; and newborn care. NICHD manages a broad research portfolio to understand, treat, and prevent common, painful, and costly gynecologic health conditions, including endometriosis, uterine fibroids, chronic pelvic pain, and pelvic floor disorders. A recent genome-wide study of fibroid subtypes discovered multiple mutations that alter the expression of three genes. This research calls into doubt the long-standing characterization of fibroids as a single condition; instead, uterine fibroids may be more similar to breast cancers a group of similar conditions that arise in a variety of ways. Such basic biology insights may lead to more targeted and more effective treatments. The new NICHD-supported centers of excellence in endometriosis research will also focus on understanding the basic biology of endometriosis to help scientists develop non-invasive diagnostics, improved treatment, and ultimately, a cure for this painful condition that affects 10 percent of women. Millions of couples across the United

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States have difficulty conceiving and establishing a healthy pregnancy, yet only limited information is available to understand the causes of infertility, minimize risk factors, and improve treatment options. Scientists recently discovered a key protein, SKP1, that drives a crucial step in the sperm production process. The discovery may inform strategies to treat certain forms of male infertility. As NIHs leader in pregnancy research, NICHD supports scientific studies on clinical treatments to reduce the risk of pregnancy complications for both pregnant women and their offspring. For example, scientists found that negative pressure wound therapy placing a low-pressure pump over a closed surgical wound to create negative air pressure did not appear to lower the risk of infection for women with obesity after cesarean delivery. Scientists are also working on basic and translational studies to understand, and ultimately prevent, adverse pregnancy outcomes. For example, researchers recently found that high concentrations of platelet factor 4a chemical released by small cell fragments in blood that start the first stage of chemical blood clotting could serve as a predictor of risk of placenta-mediated pregnancy complications. NICHD led the Congressionally-mandated Task Force on Research Specific to Pregnant Women and Lactating Women (PRGLAC), which sought to address gaps in understanding how to guide treatment decisions during pregnancy and breastfeeding. In September 2020, PRGLAC submitted its Implementation Plan to the HHS Secretary with pragmatic steps for carrying out the Task Forces earlier recommendations. NICHD is emphasizing obstetric pharmacology studies; in 2020-2021 NICHD is launching a new effort to provide more researchers with obstetric and pediatric pharmacology expertise, basic science research, and technology platforms to advance clinical research with pregnant and lactating women and children at all stages of development. Perinatal research supported by NICHD continues to yield important advances, including early detection of and protection against disorders that threaten the survival and development of newborns. Scientists showed that in children born between 22-26 weeks of gestation, a combination of antenatal treatment with both corticosteroids and magnesium sulfate was associated with lower rates of severe neurodevelopmental impairment or death compared with antenatal corticosteroids alone. Researchers recently developed a new imaging system, Electromyometrial Imaging (EMMI), to model fetal kicks, contractions, and fetal/maternal movements in utero. The system uses individualized body-uterus geometry mapped by Magnetic Resonance Imaging (MRI), a large number of electrodes placed around the abdomen, and specialized software for 3D mapping of electrical activation signals across the entire surface of the uterus during labor. Child Health: Research on child health explores basic biological processes that control healthy or atypical development, translational research from the bench to the bedside, behavioral and social science research, and clinical studies in pediatric pharmacology, infectious diseases, nutrition, endocrinology, trauma and critical illness, and other aspects of pediatric medicine. As described above, children infected with the SARS-CoV-2 virus are vulnerable to serious complications like MIS-C. NICHDs longstanding expertise in pediatric critical care and pediatric pharmacology has positioned the Institute to lead efforts to accelerate understanding of how COVID-19 affects children. Additional funds in the FY 2022 budget request will facilitate expansion of these efforts. Moreover, the COVID-19 pandemic affects many aspects of child health and development for children who remain uninfected. One of the greatest upheavals caused by the COVID-19 pandemic was the sudden shutdown of elementary, middle, and high schools to prevent the spread of the virus. Across the country, as many as three-quarters of large school districts were employing a fully-online learning model over some period. The move to online learning raised concerns about access to computers and the internet, potential increases in food insecurity for children who received free or reduced-price lunches, changes in physical activity, and lack of social interactions with peers. Suspension of classroom time disproportionately affects children with intellectual and developmental disabilities, who often require special educational services, increased teacher-student ratios, and specialized interventions, many of which need to be administered in person by skilled professionals. Beyond educational changes, children have been affected by pandemic-related changes in family and social interaction (e.g., less time with peers and grandparents), nutrition, and other changes. NICHD-supported researchers are assessing the impact of these changes on child development. For example, one group of scientists is researching the impact of prolonged school closures from COVID-19 on health, nutrition and weight of low-income children, and determining the effects of emergency food programs. NICHDs basic research portfolio on developmental biology has led to a better understanding of normal embryonic development, as well as mechanisms that underlie causes of structural and functional birth defects. For example,

scientists have long suggested that neural tube defects like spina bifida can be associated with multiple developmental signaling pathways, genetically controlled processes in cell interactions that lead to organ formation. However, mutations in such pathways have been identified in few cases of neural tube defects, leaving the underlying causes unclear. Scientists recently identified eight rare genetic variants associated with spina bifida and are exploring ways to enhance the functions that are critical for normal neural tube closure. Pediatric clinical researchers recognize that children are not small adults often, children react differently than adults do to both disease and to treatment. For example, childrens growing bodies and changing metabolism affect how the body processes and reacts to medications. Ongoing activities under the Best Pharmaceuticals Act for Children (BPCA) include identifying off-patent drugs in need of further study of dosing, safety, and efficacy for pediatric indications, prioritizing needs in pediatric therapeutics, and sponsoring clinical studies of prioritized drugs, with a goal of the U.S. Food and Drug Administration (FDA) labeling for use in children. Recent FDA label changes supported by this research provide clinicians with evidence-based prescribing information on the antibiotics doxycycline and clindamycin to treat serious infections in children. The NICHD-supported Pediatric Trials Network (PTN) quickly began collecting data on COVID-19 drugs that clinicians at approximately 40 PTN sites are using to treat infants, children, and adolescents, including a group of children with Down syndrome. Intellectual and Developmental Disabilities: Intellectual and developmental disabilities (IDDs) were once thought to be permanent and untreatable, but new science discoveries have challenged this belief, inspiring renewed efforts to improve the lives of individuals with IDDs. NICHDs program on IDDs supports research and research training aimed at preventing and ameliorating both common and rare disorders, such as Down syndrome (DS), Fragile X syndrome (FXS), Rett syndrome, and muscular dystrophy; inborn errors of metabolism; autism spectrum disorders (ASD); congenital conditions currently or potentially detectable through newborn screening; and IDDs that have no identified cause or are not associated with a specific syndrome. NICHDs IDD portfolio includes a broad array of investigator-initiated research and also incorporates key programs that support larger-scale innovative projects. For example, the trans-NIH Autism Centers of Excellence supports large-scale multidisciplinary studies on ASDs, with the goal of determining the disorders' causes and potential treatments. The Centers for Collaborative Research on Fragile X will study FMR1-associated conditions, as well as the FMR1 gene, the role of the gene in development and progression of these conditions, and other aspects of the NIH Strategic Plan for Research on FMR1-Associated Conditions. NICHDs Eunice Kennedy Shriver Intellectual and Developmental Disabilities Research Centers offer a wide range of research services to scientists, including informatics and biostatistics; genomic, proteomic, and metabolomics facilities; cellular neuroimaging and optogenetic services; neuroimaging; and animal and human behavioral testing. The INCLUDE program, to be transferred from the NIH OD to NICHD, is designed to support targeted, high-risk, high-reward basic science and clinical studies to address the needs of individuals with DS. In FY 2022, INCLUDE will continue to support promising research and will update the INCLUDE Research Plan, integrating it with the NIH Research Plan on Down Syndrome (for release in 2021), signifying INCLUDEs important role in continuing a trans-NIH approach to DS. A priority for NICHD is advancing the inclusion of individuals with IDDs in clinical research from which they typically are excluded, even though their developmental disability may co-exist with cardiovascular, metabolic, and other disorders and health risks of the general population. For example, a recent NICHD grant supports research on the most effective way to optimize weekly COVID-19 testing of students with IDDs and staff. Prompted by challenges in recruiting an adequate research cohort of infants and toddlers with tuberous sclerosis complex (TSC), researchers developed a remote model for research participation. A rare, multi-system genetic disease, TSC affects the central nervous system, resulting in combinations of symptoms including ASD, seizures, impaired intellectual development, behavioral issues, and physical symptoms. Working with TSC childrens caregivers, researchers modified their experimental intervention so that caregivers could provide the intervention to children at home, alongside weekly videoconferences with researchers. Within a year, study enrollment increased tenfold and the cohort of affected infants was more diverse and clinically representative. Through the INCLUDE program and NICHDs Down Syndrome Registry (DS-Connect), expanded opportunities for individuals with Down syndrome to participate in research are now available. Lessons from the success of these programs can be applied to other IDD conditions. Demography and Behavior: The program in demography and behavior

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incorporates NICHD's strong portfolio on behavioral and social influences on health. For example, one NICHD-funded study assessed whether stricter state standards for school nutritional programs were associated with improved eating habits and obesity in more than 2,000 adolescents. Teens in states with strong farm-to-school laws to increase the amount of fresh produce in schools consumed more whole fruits, fewer snacks, and less soda than those in states with weak or no such laws. A key priority for child development and behavior research at the NICHD is to investigate the impact of the digital age on child development. When researchers studied children's screen time behavior at one to three years of age and at eight years of age, the scientists found that the amount of screen time greatly exceeded levels recommended by the American Academy of Pediatrics. The research results also showed that patterns of screen time behavior developed at early ages, often as early as infancy. Over 85 percent of the children in the study had higher screen use than recommended for their age, and the average time the children spent in front of screens increased as they grew, from nearly 1 hour per day at 12 months to nearly 2 hours a day at 3 years. This study was conducted prior to the COVID-19 pandemic, and child use of digital media has increased significantly during the past year. NICHD also supports behavioral research related to reproductive health. For example, in response to Delaware reporting the highest rate of unintended pregnancies in the United States, NICHD-funded researchers assessed the impact of a public-private partnership initiative to increase women's use of contraceptives. The program was designed to ensure free, same-day access for all women to all contraceptive options and address provider inexperience in inserting and removing long-acting reversible contraceptives. The program was associated with a 40 percent increase in the use of recommended, long-acting contraception. Rehabilitation: The NICHD's National Center for Medical Rehabilitation Research (NCMRR) fosters research and research training to enhance the health, productivity, independence, and quality of life of people with physical disabilities. With its leadership role in trans-NIH and broader medical rehabilitation research collaborations, NCMRR takes a collaborative approach to advance a broad range of research and research training, including efforts to understand the underlying biology of injury and disability and the body's own mechanisms of recovery and adaptation. Bioelectronic devices that can modulate nervous system functioning have shown promise in treating neurological disorders. However, the use of these devices in children has been limited, because of the need to adapt devices as children grow. Recently, NCMRR-supported scientists designed and implanted a morphing bioelectronic device, MorphE, under the sciatic nerve of experimental rats in their most rapid period of growth, adolescence. A series of tests indicated that MorphE was capable of actively adapting to the animals' tissue growth. Further evaluation over longer duration of implantation of the new technology could pave the way to better efficacy and reduced complications of implantable bioelectronic devices for young patients. Through basic, translational, and clinical research, NCMRR aims to foster development of scientific knowledge needed to create and refine real-world interventions. The Medical Rehabilitation Research Resource (MR3) Network is funded through NCMRR with support from NICHD and five other NIH ICs. The MR3 Network builds research infrastructure in medical rehabilitation by providing researchers with access to expertise, technologies, and resources to foster clinical and translational research in medical rehabilitation. The network focuses on several key areas, including pediatric rehabilitation, technology to improve real-world outcomes, regenerative rehabilitation promoting tissue engineering and function, stimulation of nerve activity to restore function and relieve pain, and translational/dissemination. 2021 Directors Overview On January 20, 2020 the first U.S. case was diagnosed with coronavirus disease 2019 (COVID-19), and the disease swept swiftly across the country. In less than a year, over half a million Americans died. The biomedical research community mobilized rapidly in response to the pandemic. Within weeks, scientists developed new protocols to analyze clinical data and pivoted existing experiments to contribute to the growing understanding of how the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) works and how best to slow its spread. The biomedical research infrastructure, built steadily over many years, facilitated this extraordinary response. Research networks, registries, data analysis methods, scientific collaborations, and a deep knowledge base in basic and clinical sciences are employed to meet this public health crisis. This robust infrastructure also supports the continuing research response to other longstanding and persistent public health issues. The Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD) is the leading Federal agency for biomedical research focused on the health needs of women, children, and individuals with disabilities.

NICHD's mission is to lead research and training to understand human development, improve reproductive health, enhance the lives of children and adolescents, and optimize abilities for all. NICHD's expertise and research infrastructure help to ensure that children, pregnant women, and people with disabilities are fully included in the rapid response to public health emergencies. NICHD is using its clinical network infrastructure to rapidly initiate research projects to respond to the COVID-19 crisis. Scientists at NICHD's long-standing Maternal-Fetal Medicine Units (MFMU) Network, established to support clinical trials in maternal-fetal medicine and obstetrics, are analyzing the medical records of up to 24,500 women to assess pregnancy outcomes related to COVID-19. Results indicate that pregnant women who were severely ill with COVID-19 had a higher incidence of cesarean delivery, postpartum hemorrhage, preeclampsia, and preterm birth. Network scientists also found that transmission of SARS-CoV-2 from mother to child was rare, with one percent of newborns testing positive for the virus.² At eight sites within the NICHD-funded Global Network for Womens and Childrens Health Research, researchers developed a study to estimate the prevalence of SARS-CoV-2 infection during pregnancy. Using antibody testing at delivery, the scientists will compare the maternal, fetal, and neonatal outcomes of infected women to those of non-infected women. NICHD's Perinatology Research Branch is studying the effects of COVID-19 on pregnant women who give birth at the Detroit Medical Center. They showed that placental cells lack the cellular receptor that is necessary for SARS-CoV-2 to enter a cell, which may explain why there are few cases of pregnant women transmitting COVID-19 to their fetuses. We do not yet fully understand how COVID-19 affects children. For some children, exposure to the SARS-CoV-2 virus leads to Multisystem Inflammatory Syndrome in Children (MIS-C), a severe and sometimes fatal inflammation of organs and tissues. NICHD and two other National Institutes of Health (NIH) Institute partners are supporting the Collaboration to Assess Risk and Identify loNG-term outcomes for Children with COVID (CARING for Children with COVID), which will assess both short- and long-term effects of MIS-C and other severe illness related to COVID-19 in children, including cardiovascular and neurodevelopmental complications. In addition, with support through NIH's Rapid Acceleration of Diagnostics Radical (RADxSM-rad) initiative, research studies are underway to identify predictive biomarkers to indicate which children may be at risk for later developing MIS-C. At the outset of the pandemic, researchers in NICHD's Pediatric Trials Network (PTN) (supported through the Best Pharmaceuticals for Children Act) quickly began researching the dosing and safety of drugs being used to treat children with COVID-19. Because many of these drugs were never previously tested in children, PTN investigators are collecting information on side effects and patient outcomes, as well as analyzing blood samples from routine medical procedures to understand how children of all ages metabolize these medications. The budget request includes funds for research on MIS-C and the spectrum of SARS-CoV-2 risks in children, which could augment current studies to enable increased enrollment, long-term follow up, and streamlined data sharing. NICHD is working through the NIH-wide RADxSM-Underserved Populations (RADxSM-UP) initiative to understand the factors associated with disparities in COVID-19 morbidity and mortality. These efforts focus in part on increasing access to and use of testing among underserved populations, including individuals with intellectual and developmental disabilities (IDD). Funding from RADx-UP is supporting a team of NICHD researchers to help determine best practices for prevention, testing, and contact tracing for individuals with IDD in regional school systems. In addition, NICHD is leading a program through RADx-UP that will support researchers to develop and evaluate COVID-19 diagnostic testing approaches for children and staff in underserved and vulnerable communities, facilitating a safe return to in-person schooling. In April 2021, \$33 million in awards were made for this program, with additional awards anticipated in Summer 2021. While the COVID-19 crisis moved to the forefront of scientific research efforts, pregnant women, children, and people with disabilities continue to face other persistent public health concerns. Maternal mortality is higher in the United States than in other industrialized nations, and many of these deaths may be preventable. Maternal death and severe maternal morbidity are significantly more likely to occur among women in racial and ethnic minority groups and women in rural areas. NICHD is co-leading a new trans-NIH initiative entitled Implementing a Maternal health and PRegnancy Outcomes Vision for Everyone (IMPROVE). IMPROVE is using an integrated approach to understand biological, behavioral, sociocultural, and structural factors contributing to maternal morbidity and mortality by building an evidence base for improved care and outcomes in specific populations and regions of the country. In September 2020, NIH made awards to

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institutions across the country to carry out the first phase of this multipronged, innovative research initiative. The Presidents Budget requests additional funds to expand the IMPROVE initiative to support research to mitigate preventable maternal mortality, decrease severe maternal morbidity, and promote health equity. Methodological innovation by scientists at the NICHD-funded Population Health Centers yielded early warnings of rising U.S. maternal mortality, including disparate impacts among some groups. Researchers mobilized NICHDs clinical research infrastructure to hasten the development of strategies to prevent placental complications, preeclampsia, thromboembolism, postpartum hemorrhage, and other serious conditions. For example, NICHDs MFMU network is conducting a clinical trial of tranexamic acid, a drug used against hemorrhage in trauma and high-risk surgery patients, to prevent hemorrhage after cesarean delivery. In addition, NICHDs new Maternal and Pediatric Precision in Therapeutics (MPRINT) Hub program will augment the research infrastructure by providing expertise, basic science research, and technology platforms for scientists conducting pharmacology research in pregnant women, lactating women, and children. NICHD-funded scientists are examining how key features of the context in which people live such as income inequality, community violence, and geographic distribution increase health risks and contribute to health disparities. One team of NICHD-supported researchers showed that a quality improvement initiative at 99 California hospitals was effective in reducing deaths (or complications) from obstetric hemorrhage by implementing evidence-based clinical practice recommendations. NICHD-funded researchers are also looking at the consequences of racial segregation and inequality in neonatal intensive care units. A recent cohort study of very low-birthweight and very preterm infants found that Neonatal Intensive Care Units (NICUs) (even in the same geographic area) varied widely in the proportions of minority families they served. Moreover, compared with White infants, Black infants were concentrated at NICUs with poorer health outcomes. NICHD is also supporting several efforts to increase the diversity of the extramural workforce in the research community and to increase the scientific evidence needed to address health disparities. The Institute continues to support young scientists via the F31 predoctoral diversity fellowship and the diversity supplement program. NICHD routinely monitors the outcomes of diversity supplement recipients (e.g., subsequent application and receipt of NIH grants). The Institute uses a wide range of communications platforms to highlight the diversity of researchers and actively participates in outreach efforts to engage with diverse audiences. 2022 NICHDs and NIHs foundational research is critical to understanding the typical neurocognitive, psychological, behavioral, physical, and social-emotional development of infants, children, and adolescents. Understanding typical development is essential to describing the differences seen in children with intellectual, developmental, and physical disabilities. For example, the NIH Toolbox Cognition Battery, a group of brief memory, executive function, processing speed, and language tests, was designed for use in the general population. Researchers tested this cognitive portion of the NIH Toolbox in children with intellectual disability and found that all the tests were feasible and reliable for a high percentage of individuals with intellectual disability, although adaptation may be required for younger children and children with lower cognitive functioning. NICHD also co-leads the trans-NIH Investigation of Co-occurring conditions across the Lifespan to Understand Down syndrome (INCLUDE) Project. In FY 2022, management of the INCLUDE program will move from the Office of the NIH Director to NICHD, with continued commitment to addressing critical health and quality-of-life needs for individuals with Down syndrome. NICHD is poised to respond effectively to public health challenges because of previous investments and scientific advances in basic, translational, and clinical sciences. For example, newborn screening tests can detect potentially dangerous disorders soon after a baby is born. Advances in newborn screening technology, such as the application of rapid whole-genome sequencing, automated phenotyping and interpretation, and data analysis with machine learning have allowed for faster diagnosis, improved accuracy, and reduced false positives. Recently, NICHD has supported research leading to new newborn screening tests and devices for spinal muscular atrophy, cystic fibrosis, Niemann-Pick Type C, Prader-Willi Syndrome, and lysosomal disorders. Understanding the effects of environmental exposures on child health and development is also consistent with NICHDs mission and is the primary aim of NIHs Environmental Influences on Child Health Outcomes (ECHO) program. In FY 2022, the ECHO program will move from the Office of the NIH Director to NICHD. ECHO will continue to focus on using pediatric cohort studies to discover developmental origins of health and disease and identify the effects of environmental exposures on child health. ECHO also will maintain

support for the IDeA States Pediatric Clinical Trials Network (ISPCTN), which includes children and infants from rural areas in research while building pediatric research capacity through professional development and infrastructure support. The ECHO ISPCTN and NICHD currently collaborate on two Advancing Clinical Trials in Neonatal Opioid Withdrawal (ACT NOW) trials as part of NIHs Helping to End Addiction Long-term (HEAL) InitiativeSM. These trials will build evidence for best practices to care for newborns with opioid withdrawal syndrome. ISPCTN also supports trials to understand vitamin D pharmacokinetics and telehealth treatment of children with obesity. Although NICHD is making significant strides to advance its mission, the potential for additional advances and the need for future investments remains enormous. Over the past year, the Institute worked diligently to implement the NICHD Strategic Plan that was released in September 2019. Over the next five years, NICHD plans to expand efforts to develop new tools for studying embryonic development and structural birth defects. The Institute will lead efforts to delineate the many factors underlying gynecologic and andrologic disorders. NICHD is committed to advancing understanding of typical and atypical child development in contemporary cohorts, with an emphasis on identifying sensitive time periods when prevention and treatment strategies will have the greatest impact. NICHD will also lead efforts to develop, test, and evaluate new and existing therapeutics and devices to find safe and effective solutions to meet the unique needs of pregnant and lactating women, children, and people with intellectual and physical disabilities.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of the significance of the proposed research; approach; innovation; investigators; and environment. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.866 Aging Research

(Aging).

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, , Public Law 102-564, National Institutes Reform Act of 2006, Title IV, Part F, Section 487, Statute 120-3675, Public Law 109-482, Public Health Service Act, Title IV, Part C, Section 444,445,445 A-F, Public Law 99-158, 42 USC Code 285. Public Health Service Act, Title III, Part A, Section 301, Public Law 78-410, 42 USC Code 241. Public Health Service Act, Title IV, Part F, Section 487, Public Law 100-607, 42 USC Code 288.

OBJECTIVES:

To encourage biomedical, social, and behavioral research and research training directed toward greater understanding of the aging process and the diseases, special problems, and needs of people as they age. The National Institute on Aging has

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established programs to pursue these goals. The Division of Aging Biology emphasizes understanding the basic biological processes of aging. The Division of Geriatrics and Clinical Gerontology supports research to improve the abilities of health care practitioners to respond to the diseases and other clinical problems of older people. The Division of Behavioral and Social Research supports research that will lead to greater understanding of the social, cultural, economic and psychological factors that affect both the process of growing old and the place of older people in society. The Division of Neuroscience fosters research concerned with the age-related changes in the nervous system as well as the related sensory, perceptual, and cognitive processes associated with aging and has a special emphasis on Alzheimer's disease. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Capacity Building and Complaint Processing, Training)

USES AND USE RESTRICTIONS:

Research grants are intended to support the direct costs of a project in accordance with an approved budget, plus an appropriate amount for facilities and administrative costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. National Research Service Awards (NRSA) (Individual) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, National Research Service Awards (Institutional) may be made to institutions to enable them to make NRSA's to individuals selected by them. Each individual who receives a postdoctoral NRSA is obligated, upon termination of the award, to comply with certain service and payback provisions. Regulations are published in the Code of Federal Regulations and 42 CFR, Part 66. SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions (both for-profit and nonprofit), and individuals. National Research Service Award: Individual NRSA's may be made for postdoctoral training

to applicants who hold a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree) or for predoctoral training to applicants registered for doctoral research training. Institutional NRSA's may be made for both predoctoral and postdoctoral research training. Predoctoral awardees must have a baccalaureate degree. Applicants must be citizens of the United States or admitted for permanent residency. Individual NRSA awardees must be nominated and sponsored by a public or private nonprofit institution having staff and facilities suitable to the proposed research training. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application other than a fellowship must be assessed for scientific merit by a scientific review group and receive approval from a national advisory council. Individual funding opportunity announcements published in the NIH Guide provide more detail on eligibility.

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Other private institution/organization; Native American Organizations; Student/Trainee; Graduate Student; Scientist/Researchers; State; U.S. Citizen; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research. Students pursuing doctoral research training.

Credentials/Documentation:

The grants application process at NIA and NIH has now completed the transition to electronic submission. Details on registration can be found at:

<http://grants.nih.gov/grants/ElectronicReceipt/index.htm> 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Consultation from institute staff is available for all grant programs. Applicants are encouraged to visit the Internet Web sites of the 27 institutes and centers at the NIH to obtain contact information for program staff. Applicants for multi-project awards are strongly encouraged to consult program staff before applying. Applicants may obtain forms and instructions for application preparation and submission at the following Internet Web site: <http://grants.nih.gov/grants/forms.htm> When completed these applications should be submitted electronically. See: <http://grants.nih.gov/grants/ElectronicReceipt/index.htm>. Applications are reviewed for scientific merit, evaluation of applicant qualifications, adequacy of the research environment, and significance of the proposed problem to be studied. The application form and instructions for the preparation and submission of applications to the National Research Service Awards Research Training Grants and Fellowships (NRSA) Program, PHS Form 416-1, can be obtained from the following URL (<http://grants.nih.gov/grants/funding/416/phs416.htm>). Prior to making formal application to the NRSA programs, individual NRSA applicants must be nominated and sponsored by a Federal, public or nonprofit institution having staff and facilities appropriate to the proposed research training program. Information concerning current areas of science being supported by those institutes participating in the NRSA Program at the NIH is available on the individual institute Web sites. A complete list of institutes at NIH is available at: <http://www.nih.gov/icd>. In addition, information about each institute's NRSA research topics and information about the NRSA Program can be obtained from the

ASSISTANCE LISTINGS DESCRIPTIONS

Division of Extramural Outreach and Information Resources, (see address above). The NRSA program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. The annual NIH Small Business Innovation Research (SBIR)-Small Business Technology Transfer (STTR) Omnibus Solicitation and SBIR-STTR Contract Solicitation may be obtained electronically by accessing the following URL: <http://grants1.nih.gov/grants/funding/sbir.htm>. The solicitations include application preparation and submission guidelines and instructions, information about review considerations, and Internet hyperlinks to the SBIR-STTR application and/or or contract proposal forms. Applications to NIH's SBIR-STTR Programs must be submitted electronically through the U.S. Federal Government's Internet Web site, Grants.gov: www.grants.gov. Submission of SBIR-STTR applications through Grants.gov requires pre-registration, which typically takes 2-4 weeks to complete. Information about registration to submit applications through Grants.gov can be found at: <http://era.nih.gov/ElectronicReceipt/preparing.htm>. Applicants are encouraged to read and refer to the extensive information on electronic submission of grant applications on the NIH Electronic Submission of Grants Applications Internet Web site at: <http://era.nih.gov/ElectronicReceipt>.

Award Procedure:

Grants and Institutional NRSA's: Each application receives an initial scientific review by non-NIH scientists and a secondary review by the National Advisory Council on Aging. Individual NRSA's do not receive a secondary review by the National Advisory Council on Aging. Awards are issued by the NIA to the grantee institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

From 30 to 60 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A formal appeal requires the signature of the appropriate institutional official.

Renewals:

>180 Days. Grants: Renewal applications are accepted for most programs. Most individual NIH postdoctoral fellowship support is for no more than 3 years. Most individual predoctoral support is for no more than five years. Exploratory/developmental grant awards and Small Grant awards may not be renewed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant Awards are usually made annually and usually with project periods not to exceed 5 years in length. National Research Service Awards: Institutional awards may be made for up to 5 years, and individual awards may be made for as many as 3 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: lump sum.

Reports:

Grants: Annual progress reports and reports of expenditures are required. National Research Service Awards: Institutional awards require that a statement of appointment for each trainee selected by the Program Director be submitted to the NIA for each year of training. Reports are required after termination of the awards to ascertain compliance with the service and payback provisions for each institutionally selected trainee. Individual awards require reports upon award

expiration to determine compliance with the service and payback provisions.

Grants: Annual progress reports and reports of expenditures are required. National Research Service Awards: Institutional awards require that a statement of appointment for each trainee selected by the Program Director be submitted to the NIA for each year of training. Reports are required after termination of the awards to ascertain compliance with the service and payback provisions for each institutionally selected trainee. Individual awards require reports upon award expiration to determine compliance with the service and payback provisions.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel records for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0843-0-1-552.

Obligations:

Project Grants FY 19 (\$1,585,953,296.00); FY 20 est (\$1,225,645,296.00); FY 21 est (\$1,502,572,296.00); Project Grants (Capacity Building and Complaint Processing, Training) FY 19 \$33,970,000.00; FY 20 est \$37,239,000.00; FY 21 est \$33,516,000.00;

Range and Average of Financial Assistance:

Awards vary in range depending on the particular activity codes. Individual fellowships range from \$23,376 to \$57,504 and average about \$46,000. Research grants have much larger ranges - from \$75,000 to several million dollars. Average costs of research grants are around \$400,000. All costs are shown on a single year basis. Awards may be for up to five years.

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

75-0843.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Director, Division of Extramural Activities Kenneth Santora,
Division of Extramural Activities, National Institute on Aging, 7201 Wisconsin
Avenue, Room 2W113,
Bethesda MD 20892
Email: ksantora@nih.gov
Phone: (301) 496-9322
Fax: (301) 402-2945

Website Address:

<http://www.nia.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.867 Vision Research

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, as amended, Title III, Part A, Section 301; Health Research Extension Act of 1985, Public Law 99-158, 42 U.S.C. 241; Title IV, Part B, Section 405; 42 U.S.C. 284; Part C, Section 455; 42 U.S.C. 285i; Health Omnibus Programs Extension Act of 1988, Section 487(d); Public Law 100-607, 42 U.S.C. 288(d); Small Business Research and Development Enhancement Act of

1992, Public Law 102-564.,

OBJECTIVES:

1) To support eye and vision research projects that address the leading causes of blindness and impaired vision in the U.S. These include retinal diseases; corneal diseases; cataract; glaucoma and optic neuropathies; strabismus; amblyopia; and low vision and blindness rehabilitation. 2) To increase understanding of the normal development and function of the visual system in order to better prevent, diagnose, and treat sight-threatening conditions; and, to enhance the rehabilitation, training, and quality of life of individuals who are partially-sighted or blind. 3) To support a broad program of basic vision research through grants and cooperative agreements; to encourage high quality clinical research, including clinical trials, other epidemiological studies, and health services research; to encourage research training and career development in the sciences related to vision; and to sponsor scientific workshops in high priority research areas to encourage exchange of information among scientists. 4) Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements provide funds for salaries, consultant costs, equipment, supplies, travel, patient costs, alterations and renovations, other expenses, and consortium/contractual costs. The scientists and institutions are under an obligation to expend grant funds prudently for the purposes as stated in the application and award document. The Conference Cooperative Agreement supports scientific meetings and workshops in high priority research areas to encourage exchange of information among scientists. The Center Core Grant is intended to enhance an institution's environment and capability to conduct vision research, to facilitate collaborative studies of the visual system and its disorders, and to attract scientists of diverse disciplines to research on the visual system. Career Development Awards include the Mentored Clinical Scientist Development Award, Mentored Patient-Oriented Research Career Development Award, Institutional Clinical Scientist Development Program, and the NIH Pathway to Independence Award. The Clinical Study Planning Grant is designed to support the development of an applied clinical research plan. NEI Research Grants for Secondary Data Analysis provide limited support for meritorious research projects that involve secondary analysis of research data generated from clinical trials, population research and other applied clinical vision research projects supported by the Institute. Bioengineering Research Grants (BRG) support basic bioengineering research whose outcomes are likely to advance health or health-related vision research. BRGs may propose to apply basic bioengineering design-directed or hypothesis-driven research to an important vision research area. Bioengineering Research Partnership grants support multidisciplinary research teams applying an integrative, systems approach to develop knowledge and/or methods to prevent, detect, diagnose, or treat diseases of the eye and visual system. NEI Translational Research Program on Therapy for Visual Disorders grants provide support to collaborative, multidisciplinary research focused on the development of novel therapies to restore or prevent the loss of function due to visual diseases and disorders. Small Business Innovation Research Phase I grants are awarded to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for research, usually initiated in Phase I, that is likely to result in commercial products or processes. Small Business Technology Transfer Research, Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research usually initiated in Phase I and scientific and technical

ASSISTANCE LISTINGS DESCRIPTIONS

merit and commercial potential on Phase II application. National Research Service Awards (NRSAs), both individual and institutional, support training in vision research. Some individuals who receive an NRSA may be obligated upon termination of the award to comply with certain service and payback provisions. The NIH Extramural Loan Repayment Programs provides payback of a portion of eligible student loan debt of qualified health professionals who agree to conduct clinical research.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public/State-controlled institutions of higher education, private institutions of higher education, Hispanic-serving institutions, Historically Black Colleges and Universities, tribally-controlled colleges and universities, Alaska Native- and Native Hawaiian-serving Institutions, nonprofits with or without 501(c)(3) IRS status, Small Businesses, for-profit organizations, federal institutions, and State and local units of government are eligible to make application for research grants, cooperative agreements, and career development awards. Foreign institutions may apply for research grants and cooperative agreements only. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. Candidates for the Mentored Clinical Scientist Development and Mentored-Patient Oriented Research Career Awards are restricted to those holding health professional degrees in the clinical sciences (M.D., O.D., D.D.S., D.V.M., or equivalent). Individual and institutional NRSAs are provided for predoctoral and postdoctoral research training. Individual postdoctoral NRSAs may be made for applicants who hold a professional or scientific degree (M.D., Ph.D., O.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent degree). Predoctoral awardees must have completed the baccalaureate degree. All awardees must be citizens of the United States, or have been admitted to the U.S. for permanent residence prior to award. Individual NRSA awardees must be nominated and sponsored by a Federal, public or private nonprofit institution having staff and facilities suitable to the proposed research training. Federal and for-profit organizations, and State and local governments may not apply for an institutional NRSA. Refer to the NIH Program Guidelines on NRSAs for further information. The Small Business Innovation grants: SBIRs can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have not more than 500 employees). Primary employment (more than one-half time) of the Principal Investigator must be with the small business at the time of the award and during the conduct of the proposed project. The research during both Phase I and Phase II must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. Individuals applying for the NIH Loan Repayment Programs must be engaged in patient oriented research and be trained in a medical subspecialty as defined under Section 206 of Public Law 106-505. These individuals must be U.S. citizens, U.S. citizen nationals, or lawfully admitted for permanent residence in the U.S.; have a student loan debt which equals or exceeds 20 percent of their university compensation; have no Federal judgment lien against their property arising from a Federal debt; and owe no obligation of health professional service to the Federal government, a State, or other entity unless deferrals are granted during the length of their Loan Repayment

Program service obligation.

Beneficiary Eligibility:

Federal; Small business; Profit organization; Private nonprofit institution/organization; Student/Trainee; Scientist/Researchers; State; U.S. Citizen; Local; Public nonprofit institution/organization; Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

(1) Research grants and cooperative agreements: Applications for research involving the use of human subjects must include documentation that all key personnel have received training in human subject protection. Applicants may provide additional documentation to establish the scientific and technical merit and the programmatic relevance of the application. (2) Individual NRSA applications: The applicant's academic record, research experience, institutional sponsorship, and the proposed area and plan of training must be included. (3) Institutional NRSA application: The applicant institution must discuss the objectives, methodology and resources for the research training program; the qualifications and experience of staff; the criteria to be used in selecting individuals for an appointment; and a budget and justification for the grant funds requested. Costs will be determined in accordance with the appropriate OMB Circular or with HHS Regulations 45 CFR, Part 75. (4) For SBIR and STTR grants, the small business concern must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Loan Repayment Program applicants must provide certification of loan status, loan data verification, and an institutional supporting statement. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applicants for core grants, clinical study planning grants, cooperative agreements, small research grants for data analyses, and bioengineering research partnerships should seek preapplication coordination through contact with the National Eye Institute staff. All staff may be contacted at (301) 451-2020. Applicants for planning to submit a research project grant application requesting \$500,000 or more in direct costs for any year are required to discuss their eligibility with the NEI staff, at (301) 451-2020.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard application forms, as required by 45 CFR 75 and 92, must be used for this program. Information regarding the application process, access to forms, and information regarding the <http://www.Grants.Gov> site are available on the NEI web site at <http://www.nei.nih.gov>. Prior to making formal application to the NRSA programs, individual NRSA applicants must be nominated and sponsored by a federal, public, or nonprofit institution having staff and facilities appropriate to the proposed research program. NEI specific instructions for preparing applications for Core Grants, Clinical Study Planning Grants, the NEI Translational Program on Therapy for Visual Disorders, and Cooperative Clinical Research Agreements are specified in the Funding Opportunity Announcements, links to which are available at <http://www.nei.nih.gov>. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm>.

Award Procedure:

Applications are reviewed initially for scientific and technical merit. A second level review of the programmatic relevance of applications is provided by the National Advisory Eye Council. Final approval is made by the Director, National Eye Institute. Formal award notices are transmitted to the appropriate institutional official.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately nine months for Research Grants, Individual Career Development Awards, Clinical Study Planning Grants, cooperative clinical studies or conferences, Core Grants, and NRSA Institutional applications; from six to seven months for NRSA individual applications; and for SBIR, about eight months.

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Appeals:

Other. A principal investigator may communicate to the staff of the National Eye Institute any concerns regarding the substantive or procedural aspects of the review of an application.

Renewals:

Other. Renewals of research grant or cooperative agreement support are by competitive application and review. Individual Career Development Awards, Clinical Study Planning Grants, NEI Translational Research Program on Therapy for Visual Disorders, and NEI Research Grants for Secondary Data Analysis are non-renewable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to five years for research grants and cooperative agreements, Career Development Awards, Core Grants, individual predoctoral NRSA's, and institutional NRSA's. Up to three years for Academic Research Enhancement Awards and NRSA individual postdoctoral awards. Clinical Study Planning Grants: one year. SBIR: Normally, Phase I awards are for six months; normally, Phase II awards are for two years. STTR: Normally, Phase I awards are for one year; normally, Phase II awards are for two years. Loan Repayment Program Awards are for two years with the opportunity for renewal. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Expenditures and other financial records must be retained for three years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0887-0-1-552.

Obligations:

Project Grants FY 20 \$643,010,476.00; FY 21 est \$642,809,669.00; FY 22 est \$655,665,862.00; Project Grants (Fellowships) FY 20 \$11,368,285.00; FY 21 est \$11,707,597.00; FY 22 est \$11,941,749.00;

Range and Average of Financial Assistance:

FOR 2020 YTD: Research Grants (R): \$5,272 to \$2,200,637; Avg \$409,046. Cooperative Agreements: \$87,070 to \$8,500,000; Avg \$1,196,560. Centers (P): \$250,000 to \$831,401; Avg \$660,537. K (Institutional): \$183,986 to \$531,415; Avg \$379,636. K (Individual): \$42,738 to \$290,835; Avg \$169,238. NRSA (Institutional): \$15,885 to \$526,759; Avg \$135,921. NRSA (Individual): \$30,778 to \$73,650; Avg \$50,040. SBIR Phase I: \$55,000 to \$380,007; Avg \$200,908. SBIR Phase II: \$77,597 to \$1,025,600; Avg \$559,429. STTR Phase I: \$219,896 to \$336,954; Avg \$263,190. STTR Phase II: none YTD. SBIR/STTR Phase III: none YTD. FOR 2021 YTD: Research Grants (R): \$12,907 to \$2,189,617; Avg \$403,719. Cooperative Agreements: \$12,968 to \$9,588,029; Avg \$1,103,673. Centers (P): \$539,591 to \$802,140; Avg \$695,259. K (Institutional): \$183,986 to \$545,160; Avg \$433,002. K (Individual): \$84,624 to \$263,101; Avg \$169,074. NRSA (Institutional): \$19,094 to \$561,999; Avg \$125,810. NRSA (Individual): \$15,640 to \$72,630; Avg \$49,377. SBIR Phase I: \$29,697 to \$356,501; Avg \$235,571. SBIR Phase II: \$45,000 to \$897,021; Avg \$595,309. STTR Phase I: \$108,736 to \$171,923; Avg \$140,330. STTR Phase II: \$352,130 to \$654,974; Avg \$503,552. SBIR/STTR Phase III: none YTD.

TAFS Codes:

75-0887.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 75; 45 CFR 92. PHS Grants Policy Statement DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994, and Addendum effective February 15, 1995; "NIH Guide for Grants and Contacts and Supplements." National Eye Institute Guidelines are available on the internet at <http://www.nei.nih.gov>. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. The National Eye Institute encourages applicants to visit its internet site at www.nei.nih.gov. Among the many recent additions to the site is an expanded "Research Funding" section which contains all National Eye Institute application guidelines and internet links to relevant NIH information.

Headquarters Office:

Director, Division of Extramural Activities Kathleen C. Anderson, Ph.D., National Eye Institute, National Institutes of Health, Department of Health and Human Services, Bethesda MD 20892
Email: kanders1@nei.nih.gov
Phone: (301) 451-2020
Fax: (301) 496-2297 Chief, Grants Management Branch Karen Smith, 6700B Rockledge Dr., Rm. 3446, Bethesda MD 20892
Email: karen.robinson.smith@nei.nih.gov
Phone: (301) 451-2020
Fax:

Website Address:

<http://www.nei.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in the initial scientific and technical review of most applications include an assessment of significance, approach, innovation, investigator training and experience, and the scientific environment. In addition to these criteria, in accordance with NIH policy, all applications will also be reviewed with respect to the following: the adequacy of plans to include both genders, minorities, and their subgroups as appropriate for the scientific goals of the research; the reasonableness of the proposed budget and duration in relation to the proposed research; and, the adequacy of the proposed protection for humans, animals or the environment, to the extent they may be adversely affected by the project proposed in the application. A second level review of the programmatic relevance of most applications is provided by the National Advisory Eye Council. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding

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human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.870 Maternal, Infant and Early Childhood Home Visiting Grant

MIECHV Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title V, 511(c) (42 U.S.C. 711(c)), as amended by the Bipartisan Budget Act of 2018 (P.L.115-123), Title VI, Subtitle A.,

OBJECTIVES:

The goals of the Maternal, Infant, and Early Childhood Home Visiting Program (MIECHV Program) are to: (1) strengthen and improve the programs and activities carried out under Title V of the Social Security Act; (2) improve coordination of services for at-risk communities; and (3) identify and provide comprehensive services to improve outcomes for eligible families who reside in at-risk communities. The MIECHV Program was created to support voluntary, evidence-based home visiting services for at-risk pregnant women and parents with young children up to kindergarten entry. The MIECHV Program builds upon decades of scientific research that shows home visits conducted by a nurse, social worker, early childhood educator, or other trained professional during pregnancy and in the first years of a child's life improves the lives of children and families. Home visiting helps prevent child abuse and neglect, supports positive parenting, improves maternal and child health, and promotes child development and school readiness. The investment provides coordinated and comprehensive high-quality voluntary, evidence-based home visiting services with a national reach. The MIECHV Program plays a crucial role in building high-quality, comprehensive statewide early childhood systems to support pregnant women, parents and caregivers, and children from birth to kindergarten entry and ultimately, to improve health and development outcomes.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants

USES AND USE RESTRICTIONS:

Authorizing legislation reserves the majority of funding for the delivery of services through implementation of one or more evidence-based home visiting service delivery models that meet the HHS criteria of evidence of effectiveness with fidelity to the model selected. Per legislation, recipients may expend no more than 25% of the grant awarded for a fiscal year for conducting and evaluating a program using a service delivery model that qualifies as a promising approach. Recipients must not submit an application with a budget request exceeding the recipient's specified total grant award ceiling. Awards to support projects will be contingent upon Congressional appropriation, satisfactory progress in meeting the projects objectives, and a determination that continued funding would be in the best interest of the Federal Government. No more than 10% of the award amount may be spent on expenditures related to administering the grant. Section 511(i)(2)(C) of the Social Security Act requires that section 504(d) (relating to a limitation on administrative expenditures) shall apply to a grant made under this section to the same extent and in the same manner as such provisions apply to allotments made under section 502(c). No more than 25% of the MIECHV grant award may be expended to support recipient-level infrastructure expenditures. Funds made available to recipients under this guidance must be used to support the delivery of home visiting services under the MIECHV Program. Recipients may coordinate with and refer to direct medical, dental, mental health or legal services and providers covered by other sources of funding, for which non-MIECHV sources of funding may provide reimbursement. The MIECHV Program generally does not fund the delivery or costs of direct medical, dental, mental health, or legal services; however, some limited direct services may be provided (typically by the home visitor) to the extent required in fidelity to an evidence-based model approved for use under the MIECHV Program. Section 511(j)(2)(B) also provides a 3 percent set-aside in funding for research and evaluation activities to be conducted under Section 511 (h)(3). Since FY 2018, two cooperative agreement programs are

funded under this authority through FY 2022, including the Home Visiting Research and Development Platform (HV R&D Platform) and the Home Visiting Collaborative Improvement and Innovation Network (HV CoIIN). The HV R&D Platform supports a transdisciplinary research network for scientific collaboration and infrastructure building for innovative home visiting research. The HV CoIIN facilitates the delivery and accelerates the improvement of home visiting and early childhood services provided by MIECHV Program recipients. The Bipartisan Budget Act of 2018 provides authority for recipients to use a MIECHV grant for a pay for outcomes initiative. As a part of a Pay for Outcomes initiative, recipients are able to use up to 25 percent of the grant for outcomes or success payments related to a pay for outcomes initiative that will not result in a reduction of funding for home visiting services. Funds made available for this specific purpose will be available for expenditure for not more than 10 years after the funds are made available.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Eligible entities include those currently funded under the MIECHV Program: 47 states, 3 nonprofit organizations serving Florida, North Dakota, and Wyoming, and 6 territories and jurisdictions serving District of Columbia, Puerto Rico, Guam, the U.S. Virgin Islands, the Commonwealth of the Northern Mariana Islands, and American Samoa. For those states that have elected not to participate in MIECHV, nonprofit organizations with an established record of providing early childhood home visiting programs or initiatives in a state or several states are eligible to apply to carry out programs in those states.

Beneficiary Eligibility:

Public nonprofit institution/organization; As directed in statute, awardees must give priority in providing services under the MIECHV program to the following: Eligible families who reside in communities in need of such services, as identified in the statewide needs assessment required under subsection 511(b)(1)(A); Low-income eligible families; Eligible families with pregnant women who have not attained age 21; Eligible families that have a history of child abuse or neglect or have had interactions with child welfare services; Eligible families that have a history of substance abuse or need substance abuse treatment; Eligible families that have users of tobacco products in the home; Eligible families that are or have children with low student achievement; Eligible families with children with developmental delays or disabilities; and Eligible families that include individuals who are serving or formerly served in the Armed Forces, including such families that have members of the Armed Forces who have had multiple deployments outside of the United States.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity guidance issued under this CFDA program for any required proof or certifications of education and/or training which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov

Award Procedure:

All applications for this funding will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in the funding opportunity guidance.

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Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contact the Headquarters or Regional Office as appropriate for application deadlines or view the specific notice of funding opportunity.

Appeals:

Not Applicable.

Renewals:

Other. Formula funds are awarded on an annual basis

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: This program has maintenance of effort (MOE) requirements. Per Social Security Act, Title V, 511(f), awardees must supplement, and not supplant, funds from other sources for early childhood home visitation programs or initiatives. Awardees may demonstrate compliance by maintaining non-federal funding (state general funds) for evidence-based home visiting and home visiting initiatives, expended for activities proposed in application submissions, at a level which is not less than expenditures for such activities as of the most recently completed state fiscal year. Nonprofit awardees must agree to take all steps reasonably available for this purpose and should provide appropriate documentation from the state supporting its accomplishment of the maintenance of effort/non-supplantation requirement. The baseline for maintenance of effort is the state fiscal year prior to the fiscal year during which an application is submitted. For purposes of maintenance of effort/non-supplantation in the MIECHV Program, home visiting is defined as an evidence-based program implemented in response to findings from the most current statewide needs assessment that includes home visiting as a primary service delivery strategy, and is offered on a voluntary basis to pregnant women or caregivers of children birth to kindergarten entry. Awardees may NOT consider any Title V funding used for evidence-based home visiting as part of the maintenance of effort demonstration..

Length and Time Phasing of Assistance:

Funds awarded to a recipient for a federal fiscal year shall remain available for expenditure by the recipient through the end of the second succeeding federal fiscal year after award. Grant funds that have not been obligated for expenditure by the recipient during the period of availability for use by the recipient will be de-obligated. FY 2020 funds must be obligated prior to September 30, 2022, and liquidated by December 31, 2022. See the following for information on how assistance is awarded/released: Applicant organizations must obtain a valid DUNS number and provide that number in their application. Each applicant must also register with the System for Award Management (SAM) and continue to maintain active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by an agency (unless the applicant is an individual or federal agency that is exempted from those requirements under 2 CFR 25.110(b) or (c), or has an exception approved by the agency under 2 CFR 25.110(d)).HRSA may not make an award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time HRSA is ready to make an award, HRSA may determine that the applicant is not qualified to receive an award and use that determination as the basis for making an award to another applicant. The Grants.gov registration process requires information in three separate systems: Dun and Bradstreet (<http://fedgov.dnb.com/webform/pages/CCRSearch.jsp>) System for Award Management (SAM) (<https://www.sam.gov>) Grants.gov (<http://www.grants.gov/>). Method of awarding/releasing assistance: other

Reports:

HRSA MCHB Discretionary Grant Information System (DGIS) New Competing Performance Reports including Forms 1, 2, 4, 6, and Products and Publications, Core Measures 1, 2, and 3, and Capacity Building Measure 4 are due within 120 days of the project period start date. Project Period End Performance Reports are due 90 days after then project period end date. The MIECHV Program recipients must also provide demographic, service utilization, and select clinical indicators, and performance indicators and systems outcomes measures into the Home Visiting Information System (HVIS) accessed through the Electronic Handbooks

(EHBs) on an annual basis. The demographic, service utilization, and select clinical indicators performance report (Form 1) includes: an unduplicated count of enrollees; participant race and ethnicity; socioeconomic data; other demographics; number of households from priority populations; service utilization across all models; among other measures. The performance indicators and systems outcomes performance report (Form 2) includes data collected for the 19 constructs defined by HRSA within the six benchmarks. These constructs include: preterm birth, breastfeeding, depression screening, well child visits, postpartum care, tobacco cessation referrals, safe sleep, child injury, child maltreatment, parent-child interaction, early language and literacy activities, developmental screening, behavioral concerns, intimate partner violence screening, primary caregiver education, continuity of insurance coverage, completed depression referrals, completed developmental referrals, and intimate partner violence referrals. Program recipients must also submit quarterly performance monitoring reports that are due no later than 30 days after the end of each reporting period.The Federal Financial Report (SF-425) will be required on an annual basis and timely FFATA reporting is required by the recipient of federal grant funds to the FFATA Sub-award Reporting System. The Federal Financial Report (SF-425) will be required on an annual basis and timely FFATA reporting is required by the recipient of federal grant funds to the FFATA Sub-award Reporting System. Refer to the progress reports section above. Refer to the progress reports section above. Refer to the progress reports section above. The Federal Financial Report (SF-425) will be required on an annual basis and timely FFATA reporting is required by the recipient of federal grant funds to the FFATA Sub-award Reporting System. Refer to the progress reports section above. Refer to the progress reports section above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0321-0-1-550.

Obligations:

Formula Grants FY 20 \$340,660,219.00; FY 21 est \$382,290,964.00; FY 22 est \$419,158,841.00; Cooperative Agreements FY 20 \$3,233,620.00; FY 21 est \$3,229,000.00; FY 22 est \$24,874,841.00;

Range and Average of Financial Assistance:

(Formula Project Grants) FY 20 \$867,026 - \$19,610,292; \$6,083,218 FY 21 est. \$100,000 - \$19,397,203; \$3,413,312FY 22 est. \$200,000-\$19,397,203; \$3,742490(Cooperative Agreements) FY 20 est. \$1,300,000-\$1,858,779; \$1,616,810FY 21 est. \$1,529,000-\$1,625,000; \$1,614,500FY 22 est. \$1,300,000-\$2,000,000; \$1,913,449

TAFS Codes:

75-0321.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: the MIECHV Program served over 140,000 parents and children and provided more than 925,000 home visits in over 1,000 communities across 50 states, the District of Columbia, and 5 territories.

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Program Contact: Meseret Bezuneh Chief, Implementation Branch, Division of Home Visiting and Early Childhood Systems/Maternal and Child Health Bureau/Health Resources and Services

ASSISTANCE LISTINGS DESCRIPTIONS

Administration 5600 Fishers Lane, Rockville, Maryland 20852 Telephone: (301) 594-4149 E-mail: mbezuneh@hrsa.gov

Headquarters Office:

Implementation Branch Chief, Division of Home Visiting and Early Mesereth
Bezuneh, M.S.Ed.
5600 Fishers Lane.,
Rockville MD 20857
Email: mbezuneh@hrsa.gov
Phone: (301) 594-4149

Fax:

Website Address:

<http://mchb.hrsa.gov/programs/homevisiting>

RELATED PROGRAMS:

93.994 Maternal and Child Health Services Block Grant to the States; 93.110 Maternal and Child Health Federal Consolidated Programs; 93.615 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs; 93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications for this funding will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in the notice of funding opportunity (NOFO).

93.872 Tribal Maternal, Infant, and Early Childhood Home Visiting

Tribal MIECHV, Tribal Home Visiting, Tribal Research Center for Early Childhood Development and Systems (TRCECS),

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

The Social Security Act, Title V, 511 (42 U.S.C. 711(j)(1)(H)), as funded and extended by the Bipartisan Budget Act of 2018 (Pub.L. 115-123), , 2021 American Rescue Plan Act (ARPA), , Public Law 117-2

OBJECTIVES:

The Tribal Maternal, Infant, and Early Childhood Home Visiting Program (Tribal MIECHV) is administered by The Administration for Children and Families (ACF) Office of Child Care (OCC), in collaboration with the Health Resources and Services Administration (HRSA). Assistance is available to eligible Tribes (or consortia of Tribes), Tribal Organizations, and Urban Indian Organizations, to strengthen and improve maternal and child health programs, improve service coordination for at-risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at-risk communities. The goals of the Tribal MIECHV program are to: support the development of happy, healthy, and successful American Indian and Alaska Native (AIAN) children and families through a coordinated home visiting strategy that addresses critical maternal and child health, development, early learning, family support, and child abuse and neglect prevention needs; implement high-quality, culturally-relevant, evidence-based home visiting programs in AIAN communities; expand the evidence base around home visiting interventions with Native populations; and support and strengthen cooperation and coordination and promote linkages among various early childhood programs, resulting in coordinated, comprehensive early childhood systems. The ACF, Office of Planning, Research and Evaluation (OPRE), is administering Tribal Research Center for Early Childhood Development and Systems (TRECS). The TRECS will provide leadership and collaboration to promote excellence in community-based participatory research and evaluation of ACF early childhood initiatives that serve tribal communities. The 2021 American Rescue Plan Act (ARPA) (P.L. 117-2)

dedicated funds for entities that are conducting a MIECHV program as of the date of enactment of the legislation and can be used for provision of home visiting services (including virtual visits), and training staff in conducting virtual home visits, and increase the number of at-risk families receiving home visiting services during the pandemic and ensure that current and additional families are able to obtain basic necessities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Uses: Assistance for the Tribal MIECHV Program will be used to: conduct a needs assessment that considers community characteristics and the quality and capacity of existing home visiting programs and other supportive services; is coordinated with other relevant needs assessments; and involves community stakeholders as appropriate; collaborative planning efforts to address identified needs by developing capacity and infrastructure; providing high-quality, evidence-based home visiting services to pregnant women and families with young children aged birth to kindergarten entry; establishing, measuring, and reporting on progress toward meeting performance measures in six legislatively-mandated benchmark areas; and conducting or participating in rigorous evaluation activities. Consistent with statutory authority, Home Visiting funds for the TRCECS will be used to: (a) Identify and consult with researchers and tribal community leaders, and consult with federal/national partners (including ACF and HRSA) who have been involved in conducting research and evaluation on home visiting in tribal communities; (b) Establish a national network of partnerships that provide a peer learning environment among tribes, researchers, and practitioners (e.g., program directors, teachers, home visiting staff) on issues related to the research and evaluation of MIECHV programs in tribal communities. This includes initiation, facilitation, and sustainment of activities that link partnerships and promote peer learning; (c) Identify, validate, and/or develop measures of culturally meaningful proximal and distal outcomes of Tribal MIECHV (e.g., measures related to native language development; measures of child well-being; parent/provider interaction and family relationships; measures of implementation in tribal contexts; measures of collaboration and systems building in tribal contexts; measures of parenting stress and trauma; measures of organizational/systems functioning in tribal contexts); (d) Provide training or career development opportunities for early career scientists with interdisciplinary expertise relevant to Tribal MIECHV, as well as for Tribal MIECHV staff who want to increase their understanding of and experience with research; and (e) Provide forums to increase cultural competence and sensitivity to tribal voices in research and evaluation of Tribal MIECHV.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Specifically: Only Tribes (or a consortium of Indian Tribes), Tribal Organizations, or Urban Indian Organizations, as defined by Section 4 of the Indian Health Care Improvement Act, Public Law 94-437, are eligible applicants for the Tribal MIECHV Grant Program. For the TRCECS, eligibility is open to public and private entities that include state, city, and local governments; public, private, and state-controlled institutions of higher education; private, non-profit organizations with, and without, 501(c)(3) IRS tax status; Native American tribal governments (federally recognized) and Native American tribal organizations; and for-profit organizations. Applications from individuals (including sole proprietorships) and foreign entities are not eligible. Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards.

Beneficiary Eligibility:

American Indian, Infant (0-5); Child (6-15); Low Income; Other Urban; Suburban; Rural; Eligible families in at-risk AIAN communities include pregnant women, expectant fathers, parents, and primary caregivers of children aged birth through kindergarten entry, including grandparents or other relatives of the child, foster parents who are serving as the child's primary caregiver, and non-custodial parents who have an ongoing relationship with, and at times provide physical care for, the child. Specifically: Eligible families residing in at-risk American Indian/Alaskan

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Native communities in need of such services, as identified in a needs assessment; Low-income eligible families; Eligible families who are pregnant women under age 21; Eligible families with a history of child abuse or neglect or have had interactions with child welfare services; Eligible families with a history of substance abuse or need substance abuse treatment; Eligible families that have users of tobacco products in the home; Eligible families that are or have children with low student achievement; Eligible families with children with developmental delays or disabilities; and Eligible families who, or that include individuals serving or formerly serving in the Armed Forces, including those with members who have had multiple deployments outside the US. Eligible family: A woman who is pregnant, and the father of the child if available, or A parent or primary caregiver of the child, including grandparents or other relatives and foster parents serving as the child's primary caregiver from birth until kindergarten entry, including a noncustodial parent with an ongoing relationship with, and at times provides physical care for the child.

Credentials/Documentation:

Applicants should review the individual ACF Notice of Funding Opportunity (NOFO) issued under this program for any required proof or certifications of education and/or training which must be submitted with the application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may find NOFOs on <https://www.grants.gov> or on the ACF website at <https://ami.grantsolutions.gov/>. Applicants may apply on <https://grants.gov>. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Each application will be screened to determine whether it meets any of the disqualifying factors: missing the application deadline, required electronic submission or waiver requested and approved, or exceeding the Award Ceiling. Disqualified applications are considered to be non-responsive and are excluded from the competitive review process. Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in the NOFO. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson. Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process. ACF reserves the right to evaluate applications in the larger context of the overall portfolio by considering geographic distribution of federal funds (e.g. ensuring coverage of states, counties, or service areas) in its pre-award decisions. ACF will complete a review of risk posed by applicants as described in 45 CFR 75.205. ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than requested. In addition, federal staff will conduct an administrative review of the applications and the results of the competitive review and will make recommendations for funding to the Director, Office of Child Care (OCC). No grant award will be made under this announcement on the basis of an incomplete application. TRECS is funded under three statutory authorities. Consistent with the Tribal MIECHV statutory authority: the Tribal MIECHV funds (\$250,000) will be devoted to (a) activities to promote excellence in research and evaluation of MIECHV programs in tribal communities and (b) measurement development activities that will expand the evidence base around home visiting programs for AIAN populations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Other. There is no appeal process for unsuccessful applicants. For existing recipients, appeals in regards to disputes may take place in accordance with 45 CFR Part 16, subject to the limitations of the Appendix A.

Renewals:

Other. Non-competing continuations will be issued based on availability of funds, satisfactory progress, compliance with grant terms and conditions and a determination that continuation funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Tribal MIECHV grants (cooperative agreements) are generally awarded for a 5-year project period. In the first year of the project, grants will be awarded on a competitive basis. Pending successful completion of Year 1 activities, submission of a plan that meets requirements, and approval of this plan, applications will be considered on a noncompetitive continuation basis for Years 2-5 subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for 12-month budget periods with a 5-year project period. The project period for the TRCECS will be 5 years. Funds awarded to grantees under this program will be made available for expenditure through the end of the second succeeding fiscal year after award. Method of awarding/releasing assistance: other

Reports:

Grantees under this announcement will be required to submit performance progress and financial reports periodically throughout the project period. The frequency of required reporting is described in each funding opportunity announcement. Instructions on submission of reports electronically will be provided with award documents. Tangible Personal Property - If requesting funds to purchase equipment, the recipient must request prior approval. When the equipment is no longer needed, the SF-428 Tangible Personal Property Cover Page with the SF-428 Attachment C must be submitted to the Office of Grants Management. Please see the ACF Property, Tangible Personal Property Reporting instructions at https://www.acf.hhs.gov/tangible-personalproperty#book_content_1 and the ACF Property, Tangible Personal Property Disposition instructions at https://www.acf.hhs.gov/tangible-personalproperty#book_content_2. All expenditure reports will be due on one of the standard due dates by which cash reporting is required to be submitted to PMS OR at the end of a calendar quarter as determined by the Operating Division. As a result, a recipient that receives awards from more than one OPDIV may be subject to more than one approach, but will not be required to change its current means of submission or be subjected to more than eight standard due dates. All affected ACF grantees will be required to submit an SF-425 report as frequently as is required in the terms and conditions of their award using due dates for reports to PMS. Fillable versions of the SF-425 form in Adobe PDF and MS-Excel formats, along with instructions, are available at <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>. ACF grantees are required to submit the SF-PPR Cover Page. ACF Programs that utilize reporting forms or formats in addition to, or instead of, the SF-PPR have listed the reporting requirements. Grant award documents will inform grantees of the appropriate performance progress report form or format to use. Grantees should consult their award documents to determine the appropriate performance progress report format required under their award. Performance progress reports are due 30 days after the end of the reporting period. Final program performance reports are due 90 days after the close of the project period. The SF-PPR may be found at <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>. Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis. Additional information on frequency of reporting is available on the ACF Funding Opportunities web site at <https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2>. Expenditure reports are required using the SF-425 Federal Financial Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1. Grantees under the Tribal MIECHV program must collect, analyze, use, and report data on program implementation and

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improvements for eligible families participating in the program in the legislatively-mandated benchmark areas of: I) improved maternal, newborn, and child health; II) prevention of child injuries, child abuse, neglect, or maltreatment, and reduction of emergency room visits; III) improvements in school readiness and child academic achievement; IV) reductions in crime or domestic violence; V) improvements in family economic self-sufficiency; and VI) improvements in the coordination and referrals for other community resources and supports. Grantees will also collect and report on demographic and service utilization and service capacity, place-based services, family engagement, and staffing data. Performance progress reports are required using the SF-PPR ACF Performance Progress Report. The frequency of reporting will be listed in the NOFO and in the award terms and conditions. For more information, see: https://www.acf.hhs.gov/grants/discretionary-post-award-requirements#book_content_1.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 to this program.

Records:

All records are to be maintained in accordance with 45 CFR 75.361-365.

Account Identification:

75-0321-0-1-506.

Obligations:

Cooperative Agreements FY 20 \$12,000,000.00; FY 21 est \$12,000,000.00; FY 22 est \$12,000,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$3,724,381.00; and FY 22 est \$775,619.00;

Range and Average of Financial Assistance:

In FY20, the range of funding was \$250,000-\$895,000 per budget period for Tribal MIECHV grants. For the TRCEC, the range of funding is up to \$650,000 per budget period (across all funding sources), \$250,000 of which comes under the authorization of the Tribal MIECHV program.

TAFS Codes:

75-0321.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: \$12,000,000 was awarded for 23 non-competing continuation grants in FY 2020. Fiscal Year 2021: In FY21, it is estimated that \$12,000,000 will be awarded for 23 non-competing continuation grants and \$3,724,381 in ARP supplements will also be awarded to recipients. Fiscal Year 2022: In FY22, it is estimated that \$12,000,000 will be awarded and \$775,619 in ARP supplements will also be awarded to current recipients.

REGULATIONS, GUIDELINES, AND LITERATURE:

<https://www.acf.hhs.gov/occ/initiatives/tribal-home-visiting>

Regional or Local Office:

None.

Headquarters Office:

Anne Bergan,
Mary E. Switzer Building 330 C Street, SW, Suite 3014F,
Washington DC 20201
Email: anne.bergan@acf.hhs.gov
Phone: 2022608515

Website Address:

<https://www.acf.hhs.gov/occ/initiatives/tribal-home-visiting>

RELATED PROGRAMS:

93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program; 93.575 Child Care and Development Block Grant; 93.600 Head Start; 93.870 Maternal, Infant and Early Childhood Home Visiting Grant Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in each NOFO.

93.873 State Grants for Protection and Advocacy Services

ACL Protection and Advocacy: Traumatic Brain Injury Awards for the States/Territories,

FEDERAL AGENCY:

ADMINISTRATION FOR COMMUNITY LIVING (ACL), HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title XII, Section 1253 as amended by the Childrens Health Act of 2000, sec 1304, PL 106-310, as further amended by the Traumatic Brain Injury Act of 2008, sec 6(b), PL 110-206. Title XII, Section 1253, Title XII, Section 1253, Public Law 110-206.

OBJECTIVES:

To make grants to Protection and Advocacy systems as established in each State for the purpose of enabling such systems to provide services to individuals with traumatic brain injury which may include: (1) information, referrals, and advice; (2) individual and family advocacy; (3) legal representation; and (4) specific assistance in self-advocacy.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used to plan for, develop outreach strategies for, and carry out services for individuals with traumatic brain injury as authorized in Section 1253 of the PHS Act. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Specialized group (e.g. health professionals, students, veterans); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State Grant Agencies

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Individuals with disabilities and family members

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Cost will be determined in accordance with 45 CFR 75. OMB Circular No. A-87 applies to this program.

Award Procedure:

Formula awards are processed through a payment management system to the State.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Contact the headquarters or regional office, as appropriate, for application deadlines.

Appeals:

Other. Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Other. Automatic, under approved application

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Title I Programs for Individuals with Developmental Disabilities, Public Law 106-402. Matching Requirements: Percent: 25%. Match is only for the Council. No

ASSISTANCE LISTINGS DESCRIPTIONS

match is required for Protection Advocacy Traumatic Brain Injury allotments. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is four (4) years. Funding beyond the first year is dependent on the availability of appropriated funds for the State PATBI Program in subsequent fiscal years, grantee satisfactory performance, and a decision that continued funding is in the best interest of the Federal Government. Method of awarding/releasing assistance: quarterly

Reports:

Yearly SF-425 Progress reports due every 6 months

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Grantees are required to maintain grant accounting records for 4 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 4-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 4-year period, whichever is later.

Account Identification:

75-1536-0-1-506.

Obligations:

Formula Grants FY 20 \$4,000,000.00; FY 21 est \$4,000,000.00; FY 22 est \$4,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0142.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR 74 and 92, Grant Administrative Requirements; and Program Regulations in Chapter XIII of Title 45 of the Code of Regulations, Parts 1385 and 1386.

Regional or Local Office:

None.

Headquarters Office:

Management and Program Analyst Yi-Hsin Yan,
330 C Street, SW 1104-B,
Washington DC 20201
Email: Yi-Hsin.Yan@acl.hhs.gov
Phone: 202-795-7474

Website Address:

No Data Available.

RELATED PROGRAMS:

93.631 Developmental Disabilities Projects of National Significance; 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.874 Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF)

CBA to Strengthen Public Health Infrastructure and Performance in USAPIs (Non-PPHF).

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under sections 307 and 317(k)(2) of the Public Health Service Act [42 USC 242(l) and 247(b)(k)(2), as amended]. In addition, this program is authorized under sections 311 and 1703 of Public Health Service Act [42 USC 243 and 300 u-2, as amended] and Section 4002 of the Patient Protection and Affordable Care Act, Public Law 111-148., Title IV, Section 4002, Public Law 111-148, 42 USC Code 242, 247.

OBJECTIVES:

The purpose of this funding initiative to ensure provision of capacity building assistance (CBA) to the USAPIs public health officials and public health systems. Capacity building efforts will support the formation of sound policies, strengthened organizational structures (e.g., governance, workforce recruitment/retention, and health services), effective management and revenue control, build jurisdictional partnerships, and address important cross-cutting issues such as health equity programs and services, and improved accountability measures for performance effectiveness and efficiency.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting and supporting capacity building assistance efforts in the USAPI as described in Section 050 above and through CDC allowable and unallowable expenses. Per CDC-RFA-OT16-1601 Funding Restrictions. Detailed budget restrictions will be specified in most recent Notice of Award.

Applicant Eligibility:

Other public institution/organization; The only eligible applicant for this award is the Pacific Island Health Officers Association (PIHOA), a 501(c)3 independent accrediting body that administers the national voluntary public health department accreditation program.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; State; Local; Public nonprofit institution/organization; Governmental public health departments, workforce segments across governmental public health departments, and/or nongovernmental public health professionals in the US-Affiliated Pacific Islands (USAPI). The US-Affiliated Pacific Islands (USAPI) consist of three U.S. Flag Territories of American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands, as well as three sovereign states that have a Compact of Free Association with the United States (US)---Freely Associated States of the Republic of the Marshall Islands, Republic of Palau, and Federated States of Micronesia.

Credentials/Documentation:

The applicant must demonstrate 1) nonprofit 501(c)(3) or nonprofit 501(c)(6) IRS status (other than institutions of higher education); 2) a Pacific Islands regional scope of work; and 3) a public health charge or mission. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Phase I Review: The eligible application will be initially reviewed for completeness by the CDC Procurement and Grants Office (PGO) staff. The eligible application will then be jointly reviewed for responsiveness by CDC OSTLTS and PGO. Incomplete and/or non-responsive applications that do not meet eligibility criteria will not advance to Phase II review and the applicant will be notified. Phase II Review: An objective review panel will evaluate the complete and responsive application according to the criteria listed in the FOA. The successful applicant will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC.

Deadlines:

July 01, 2016.

ASSISTANCE LISTINGS DESCRIPTIONS

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

>180 Days. Renewals will require a yearly continuation application based upon availability of funds and programmatic compliance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period, within the 5-year project period. Method of awarding/releasing assistance: lump sum.

Reports:

Annual financial reporting is required via the Federal Financial Reporting document Performance Measures (including outcomes)awardees must report on performance measures for each budget period and update measures as least annually (Annual Performance Report). CDC may require more frequent reporting of performance measures. Performance measure reporting should be limited to the collection and reporting of data.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42

Account Identification:

75-0943-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$2,480,669.00; FY 21 est \$14,000,000.00; FY 22 est \$14,000,000.00;

Range and Average of Financial Assistance:

1 award at approximate average award of 2,000,000

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Per Funding Opportunity Announcement CDC-RFA-OT16-1601, and as additionally specified in most current Notice of Award.

Regional or Local Office:

None.

Headquarters Office:

Caroline Sulal,
1825 Century BlvdMS V18-1,
Atlanta GA 30345
Email: PIHOACoAg@cdc.gov
Phone: 770.488.1522
Fax: 404.498.0430

Website Address:

<https://www.cdc.gov/publichealthgateway/partnerships/pihoa.html>

RELATED PROGRAMS:

93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments; 93.524 The Affordable Care Act (ACA): Capacity Building

Assistance to Strengthen Public Health Infrastructure and Performance ; 93.424 NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As specified in Funding Opportunity Announce CDC-RFA-OT16-1601, Application Review and Selection Process listed in Section 093 Award Procedure above.

93.876 Antimicrobial Resistance Surveillance in Retail Food Specimens

NARMS Retail Food Surveillance,

FEDERAL AGENCY:

FOOD AND DRUG ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 1702(a) [42 U.S.C. 300u-1(a)] and Section 1703(a) and (c) [42 U.S.C. 300u-2(a) and (c)] of the Public Health Service Act, as amended,

OBJECTIVES:

The National Antimicrobial Resistance Monitoring System (NARMS) is a U.S. public health surveillance system that tracks antibiotic resistance in enteric bacteria from humans, retail meats, and food animals. When antimicrobial drugs are used in food-producing animals, they can enrich for populations of resistant strains that reach humans via the food supply. The chief goal of the NARMS retail food surveillance program is to improve the detection of and surveillance for antimicrobial resistance among enteric bacteria in raw retail food commodities, particularly fresh retail meat. The NARMS program is looking to collaborate with institutions or organizations to enhance and strengthen antibiotic resistance surveillance in retail food specimens. Partnering with the NARMS retail food surveillance program will enable the collection of critical data for FDA policy and regulatory actions including but not limited to pre-approval safety evaluation of new animal antibiotics, determining parameters for the antimicrobial use in veterinary medicine, and the ability to provide information to promote interventions to reduce resistance among foodborne bacteria. This collaboration will address NARMS programmatic needs to implement effective surveillance and response for antibiotic resistance as recommended in the National Strategy for Combating Antibiotic-Resistant Bacteria (CARB).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be applied to supplies, equipment, personnel, job training, and/or travel needed to conduct retail food surveillance activities as defined in the cooperative agreement and referenced in the NARMS retail food surveillance protocol. Assistance can be applied to improving the way antibiotic resistance surveillance samples are collected and processed, improve microbiological testing standards, improve quality assurance practices, improve collaboration and coordination of surveillance activities with the FDA, improve the exchange of relevant and complete surveillance data with the FDA, improve the use of data to inform program and policy development, improve data for education on current and emerging hazards, improve awareness of antimicrobial resistance among the public, improve the identification of foodborne illness outbreaks and coordinate outbreak investigations with the FDA, improve the way surveillance data are collected and analyzed, and for conducting ad hoc pilot studies as needed for consensus definitions.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally

ASSISTANCE LISTINGS DESCRIPTIONS

Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants should review the individual funding opportunity announcement issued under this CFDA program to see which applicant organizations are eligible to apply.

Beneficiary Eligibility:

Federal; U.S. Territories; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Intrastate; Small Business Person; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Improving antimicrobial resistance surveillance, food safety, and antimicrobial drug approvals for animals and humans.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Applicants should review the individual funding opportunity announcement issued under this CFDA program for any required proof of certification which must be submitted prior to or simultaneous with submission of an application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply, access the announcement through www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. Applicants should review the individual funding opportunity announcement issued under this CFDA program for specific application procedures.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be ineligible will not be reviewed. Accepted applications will be subject to objective review for technical merit. Applications will be evaluated by an objective review committee composed of experts. Final funding decisions will be determined by the NVPO Office Director; taken into consideration are the recommendations of the review committee; program needs, stated preferences; geographic location. All applicants are notified in writing of actions taken on their applications. A Notice of Award is issued for those applications that are approved and funded. Applicants should review the individual funding opportunity announcement issued under this CFDA program to see the specific award procedures that apply.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals are permitted as indicated in the published funding opportunity announcement issued under this CFDA program. Renewal awards may be approved pending availability of funding and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the projects, program relevance and the availability of funds. Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support. Applicants should review the individual funding opportunity announcement issued under this CFDA program to see the specific available length and time that apply. Method of awarding/releasing assistance: other

Reports:

Program reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of award. Bi-annual Progress Reports must be submitted each year. A Final Report will be due 90 days after the end of the project period. A revised work plan must be submitted each year to indicate any service and/or partnership revisions. Federal Financial Reporting (FFR) SF425 are required annually and must be submitted via eRA Commons. Final FFR will be due 90 days after the end of the project period. The FFR has a dedicated section to report Federal cash receipts and disbursements. For recipients this information must be submitted quarterly directly to the Payment Management System (PMS) using the web-based tool. Quarterly reports are due 30 days following the end of each calendar quarter. Performance monitoring is required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Applicants should review the individual Funding Opportunity Announcement (FOA) issued under this CFDA program to see the specific requirement that applies.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a project period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0600-0-1-554.

Obligations:

Cooperative Agreements FY 20 \$3,440,815.00; FY 21 est \$3,540,000.00; FY 22 est \$3,732,493.00;

Range and Average of Financial Assistance:

Estimated \$2,500,000 available for anticipated 30 awards.

TAFS Codes:

75-0600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should review the individual Funding Opportunity Announcement issued under this CFDA program to see the specific regulations, guidelines, and/or literature that applies. HHS Grants Policy Statement is available online: <http://www.hhs.gov/asfr/ogapa/aboutog/hhsgps107.pdf>.

Regional or Local Office:

Headquarters Office:

Director, National Antimicrobial Resistance Monitoring System Patrick McDermott,

ASSISTANCE LISTINGS DESCRIPTIONS

8401 Muirkirk Rd,
Laurel MD 20708
Email: patrick.mcdermott@fda.hhs.gov
Phone: 240-402-0891

Website Address:
<http://www.fda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants should review the individual Funding Opportunity Announcement issued under this CFDA program to see the specific evaluation criteria that applies.

93.877 Autism Collaboration, Accountability, Research, Education, and Support

Autism CARES,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act - Section 399BB Programs under the Combating Autism Act of 2006 as amended by the Combating Autism Reauthorization Act of 2011 (P.L. 11232), the Autism CARES Act of 2014 (P.L. 113157), and the Autism CARES Act of 2019 (P.L. 116-60),.

OBJECTIVES:

This Program supports activities to: provide information and education on autism spectrum disorder (ASD) and other developmental disabilities (DD) to increase public awareness; promote research into the development and validation of reliable screening tools and interventions for autism spectrum disorder and other developmental disabilities and disseminate information; promote early screening of individuals at higher risk for autism spectrum disorder and other developmental disabilities as early as practicable, given evidence-based screening techniques and interventions; increase the number of professionals who are able to confirm or rule out a diagnosis of autism spectrum disorder and other developmental disabilities; and increase the number of professionals able to provide evidence-based interventions for individuals diagnosed with autism spectrum disorder or other developmental disabilities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Training grants provide interdisciplinary training to prepare future leaders to improve the health of children who have or are at risk of developing autism spectrum disorder and other developmental disabilities (ASD/DD). Research grants advance the knowledge base pertaining to ASD/DDs, leading to improvements in interventions for children and adolescents with ASD/DDs and improve the early identification and treatment for ASD/DDs. State systems grants support States in improving access to comprehensive, coordinated healthcare and related services for children and youth with ASD and other DDs. Indirect costs that are allowed for administrative costs incurred as a result of training grants, are limited to 8 percent of direct costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations,

partnerships, associations); For training grants: eligible applicants include public or nonprofit agencies, including institutions of higher education. For research grants: eligible applicants include any public or private nonprofit entity, including research centers or networks. Faith-based and community-based organizations, Tribes, and tribal organizations are eligible to apply. Any public or private entity is eligible for other project grants.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Health Professional; Student/Trainee; Graduate Student; Scientist/Researchers; State; Women; Mentally Disabled; Local; Infant (0-5); Child (6-15); Youth (16-21); Public nonprofit institution/organization; Other public institution/organization; For training grants: (1) Trainees in the health professions related to MCH; and (2) mothers and children who receive services through training programs. For research grants: public or private nonprofit entities, including research centers or networks. For other projects: (1) Public or private agencies, organizations and institutions; and (2) mothers and children, and persons who receive services through the programs.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable. After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. Method of awarding/releasing assistance: other

Reports:

Annual program reports, annual program service reports, and special reports as required. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards

ASSISTANCE LISTINGS DESCRIPTIONS

(Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. See the notice of funding opportunity and notice of award for details for required performance monitoring.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$2,249,594.00; FY 21 est \$2,855,477.00; FY 22 est \$2,455,477.00; Cooperative Agreements FY 20 \$1,275,000.00; FY 21 est \$1,275,000.00; FY 22 est \$1,275,000.00;

Range and Average of Financial Assistance:

(Project Grants) FY 20: \$95,760 to \$372,000; \$249,955FY 21 est. \$100,000 to \$372,000; \$259,589FY 22 est. \$300,000 to \$372,000; \$350,782(Cooperative Agreements) FY 20: \$275,000 to \$500,000; 425,000FY 21 est. \$275,000 to \$500,000; \$425,000FY 22 est. \$275,000 to \$500,000; \$425,000

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 2019, the LEND and DBP programs provided interdisciplinary leadership training to 25,253 trainees, enhanced the knowledge and skills of over 152,000 practicing professionals through 1,611 continuing education events, and provided interdisciplinary diagnostic services to 121,610 children to confirm or rule out ASD/DD.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 75 State, local and tribal governments, institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. 42 CFR Part 51a Project Grants for Maternal Child and Health. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Program Contacts:Robyn Schulhof: Rschulhof@hrsa.gov; 301-443-2058Rita Maldonado: Rmaldonado@hrsa.gov; 301-443-3622Karla Brewer: Kbrewer@hrsa.gov; 301-443-2520Rita Maldonado: Rmaldonado@hrsa.gov; 301-443-3622Kaitlyn Bagley: Kbagley@hrsa.gov; 301-443-3972Jessica DiBari: JDibari@hrsa.gov; 301-443-4690

Headquarters Office:

Michael D. Warren, Associate Administrator for Maternal and Child Health, 5600 Fishers Lane, Rockville MD 20857
Email: MWarren@hrsa.gov
Phone: 301-443-2170
Fax:

Website Address:

<http://hrsa.gov>

RELATED PROGRAMS:

93.994 Maternal and Child Health Services Block Grant to the States; 93.251 Universal Newborn Hearing Screening; 93.926 Healthy Start Initiative; 93.110 Maternal and Child Health Federal Consolidated Programs; 93.365 Sickle Cell Treatment Demonstration Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the program guidance materials provided with application kits. Contact Central Office for details.

93.878 Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID)

N/A.

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Title VI of Division G of the Consolidated and Continuing Appropriations Act, 2015 and section 311 of the Public Health Service Act, as amended.,

OBJECTIVES:

Enhance state and local level emergency medical services operational plans for the management of confirmed or suspected high consequence infection disease, such as Ebola.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants), Salaries and Expenses

USES AND USE RESTRICTIONS:

To enhance the ability of state and/or local emergency medical services to safely and effectively transfer patients with confirmed or suspected high consequence infectious diseases, such as Ebola.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); The applicant must be a nonprofit organization representing all of the 50 state emergency medical services officials.

Beneficiary Eligibility:

Interstate; State; Local; EMS officials and operators at the state and local levels

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. NA

Award Procedure:

NA

Deadlines:

July 13, 2016. NA

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable. NA

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

18 months Method of awarding/releasing assistance: other

Reports:

Awardees are required to electronically submit an annual program progress report. Awardees must report cash transaction data using the Federal Financial Report (FFR), SF-425. Recipients will utilize the SF-425 lines 10.a through 10.c to report cash transaction data to the Division of Payment Management. The FFR SF-425 (lines 10.a through 10.c) is due to the Payment Management System 30 days after the end of each calendar quarter. Awardees are required to electronically submit an annual program progress report. The SF-425 will also be used for reporting of expenditure data to meet ASPRs semi-annual and annual financial reporting requirement. If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings described in paragraph 2 of Appendix XII to 2 CFR part 200. Award Term and Condition for Recipient Integrity and Performance Matters. This is a statutory requirement under section 872 of Public Law 110-417, as amended (41 U.S.C. 2313). As required by section 3010 of Public Law 111-212, all information posted in the designated integrity and performance system on or after April 15, 2011, except past performance reviews required for Federal procurement contracts, will be publicly available. For more information about this reporting requirement related to recipient integrity and performance matters, see Appendix XII to 2 CFR Part 200.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. If your organization receives \$750,000 or greater of Federal funds, it must undergo an independent audit in accordance with 2 CFR 200/45 CFR part 75, subpart F or regulations and policy effective at the time of the award.

Records:

NA

Account Identification:

07-5151-9-0-140.

Obligations:

Salaries and Expenses FY 18 \$0.00; FY 19 est \$0.00; FY 20 est \$0.00;

Range and Average of Financial Assistance:

\$250,000- \$350,000

TAFS Codes:

07-1519.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. NA

Headquarters Office:

Director, Division of Health System Policy Gregg S. Margolis,

200 C. Street SW,

Washington DC 20201

Email: gregg.margolis@hhs.gov

Phone: 202-690-3830

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that successfully proceed through the initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the funding opportunity. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

93.879 Medical Library Assistance

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title IV, Part D, Subpart 2, Section 472-476, Public Health Service Act, Title III, Part A, Section 301

OBJECTIVES:

To meet a growing need for investigators trained in biomedical informatics research and data science by training qualified pre- and post-doctoral candidates; to conduct research in biomedical informatics, bioinformatics and related computer, information and data sciences; to facilitate management of electronic health records and clinical research data; to prepare scholarly works in biomedicine and health; to advance biocomputing and bioinformatics through participation in Federal initiatives relating to biomedical informatics, bioinformatics and biomedical computing; and to stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions, through Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) grants.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

RESEARCH GRANT PROGRAMS: Research Grants are available for fundamental and applied research in biomedical informatics and data science. Areas of research interest include: representation, organization and retrieval of biomedical and biological knowledge, data and images; enhancement of human intellectual capacities through virtual reality, dynamic modeling, artificial intelligence, and machine learning; medical decision-making; linguistic analyses for natural language processing and understanding; informatics topics relevant to public health, consumer information and disaster information management. Research Project Grants (R01) are available for rigorous scientific research studies and experiments. From time to time, NLM issues Requests for Applications and Notices of Special Interest for innovative informatics and data science research in special priority areas. Exploratory/Developmental Grants (R21) support early, conceptual work and feasibility tests. Other research grants include Informatics Conference Grants (R13) and several NIH-wide grant programs on high priority topics such as health literacy, behavioral research, health disparity, climate change, and detection of gene environment interactions for complex human diseases. Further information on research grants is available at <http://www.nlm.nih.gov/ep/Grants.html#research>. TRAINING GRANT PROGRAMS: NLM's University-based Biomedical Informatics Research Training Programs (T15) promote the training of talented individuals who seek research careers in biomedical informatics, translational bioinformatics, clinical research informatics, public health informatics and data science. A competition for these institution-based training grants is announced every five years. The most recent competition was held in 2016. University-based training support may be at the pre- or post-doctoral level. Training sites recruit and choose their trainees, and are expected to have well-established computing resources, strong informatics research and education programs, experienced faculty and staff committed to research in the

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field of biomedical informatics and/or biomedical computing, and available courses or experience in biomedical informatics, information science, and cognitive sciences. Institutional training grants provide trainee stipends, tuition and fees, travel, and certain institutional support costs. Further information on training grants is available at <http://www.nlm.nih.gov/ep/Grants.html#training>. CAREER DEVELOPMENT GRANT PROGRAMS: NLM offers NIH Pathway to Independence awards (K99/R00) that are reserved for investigators who are making the transition to their first research positions. These grants can last up to five years. They include a mentored period, followed by an unmentored period, and provide both salary and research support. Further information on career development grants is available at <http://www.nlm.nih.gov/ep/Grants.html#career>. DIVERSITY and RE-ENTRY SUPPLEMENTS: Research supplement awards are offered to promote diversity in health-related informatics and data science research, and to promote re-entry into informatics and data science careers. Diversity and re-entry awards are issued as supplements to existing research grants funded by NLM. RESOURCE GRANT PROGRAMS: NLM funds cooperative agreements (UG4) to support Regional Medical Libraries (RMLs) as an integral component of the Network of the National Library of Medicine (NNLM). The goal of the NNLM is to advance the progress of medicine and improve public health by providing U.S. health professionals with equal access to biomedical information, and to improve individuals access to information to enable them to make informed decisions about their health. The RMLs coordinate the operation of the network of libraries and other organizations that make up the NNLM. NLM Information Resource Grants to Reduce Health Disparities (G08) support implementation projects that bring useful, usable health information to health disparity populations and their health care providers. NLM offers Grants for Scholarly Works (G13) to support preparation of books in the history and philosophy of biomedicine, bioethics, and public policy areas of importance to health professionals and biomedical scientists. The purpose, requirements, funding periods and award limits vary for these grant programs and are described in the funding opportunity announcements (FOAs). Further information on resource grants is available at <http://www.nlm.nih.gov/ep/Grants.html#resource>. SMALL BUSINESS RESEARCH & DEVELOPMENT GRANT PROGRAMS: Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) grants are made to U.S. small businesses that seek to undertake informatics and data science research and development leading to commercialization. The STTR program requires a small business applicant organization to formally collaborate with a research institution in Phase I and Phase II. SBIR Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. STTR Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I, and scientific and technical merit and commercial potential of the proposed project in Phase II application. Further information on SBIR/STTR grants is available at <http://www.nlm.nih.gov/ep/Grants.html#small>.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Any individual(s) with the skills, knowledge, and resources necessary to carry out the proposed research as the project director/principal investigator (PD/PI) is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as individuals with disabilities are always encouraged to apply for NIH support. See Beneficiary Eligibility below for further details.

Beneficiary Eligibility:

U.S. Territories; Small business; Profit organization; Private nonprofit institution/organization; Native American Organizations; Health Professional;

Student/Trainee; Scientist/Researchers; U.S. Citizen; School; Education (13+); Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Research Grants are available to public or private, domestic or foreign, for profit or not-for-profit institutions or organizations with research capabilities in biomedical informatics, bioinformatics, computer sciences, information sciences, data sciences and related disciplines. Training Grants may be made to nonfederal public and nonprofit private institutions. With the exception of NIH Pathway to Independence awards, trainees must be citizens or non-citizen nationals of the United States or have been lawfully admitted to the United States for permanent residence. Resource grants (Information Resource, Scholarly Works) are open to any U.S. public or private nonprofit institution or organization. SBIR and STTR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Credentials/Documentation:

This program is subject to the Uniform Guidelines (2 CFR 200), Subpart E - Cost Principles. These guidelines consolidate all cost principles, audit and administrative requirements for Federal grants and cooperative agreements. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Generally, electronic applications are accepted for Research & Training Grants, SBIR and STTR grants, Resource Grants as well as Fellowship awards. Electronic grant submission of the SF424 (R&R) form is via <http://www.grants.gov>. For instructions on submitting grants electronically, see <http://era.nih.gov/ElectronicReceipt/>. These programs are subject to the provisions of 45 CFR Part 75 as applicable. Updates on NLM application deadlines and links to available forms can also be found at <http://www.nlm.nih.gov/ep/>.

Award Procedure:

Research, training, career development and resource applications are evaluated for merit by a committee of scientific experts and for program relevance by the Board of Regents (BOR) of the National Library of Medicine (NLM). If favorably recommended, the applications are considered for funding. An award notice is prepared when it is determined that a grant is to be paid. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by Board of Regents (BOR). All applications receiving a priority score compete for available SBIR/STTR set-aside funds based on scientific and technical merit and commercial potential of the proposed research, program relevance, and portfolio balance among the areas of research.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. From 9-10 months after the application deadline.

Appeals:

Other. NIH policy allows a principal investigator (PI) to appeal the outcome of a review if procedural errors or factual errors entered into the review of the application. A description of the NIH Peer Review Appeal procedures is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-064.html>. However, differences of scientific opinion that often occur between investigators and reviewers may not be contested through these procedures. In addition, communications from investigators consisting of additional information that was not available to the reviewers are not considered to be appeals. The updated NIH policy on submission of grant applications, including resubmissions, can be found at: <https://grants.nih.gov/grants/guide/notice-files/NOT-OD-18-197.html>.

Renewals:

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>180 Days. Competing renewal applications follow the same review procedures as new applications; dates for submission are at <http://www.nlm.nih.gov/ep/Deadlines.html>. NIH also permits the resubmission of an application that has been revised following merit review. Deadlines for resubmissions are listed with other deadlines. Applicants should consult the funding opportunity announcement to find out if renewals are allowed for that grant program.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of a grant award varies by the type of grant. R01 research grants generally last three or five years. Resource grants, Exploratory/Developmental grants, and Career Transition awards have defined maximum periods that are provided in the funding opportunity announcements. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award will be provided to the successful applicant organization. Method of awarding/releasing assistance: other

Reports:

Annual progress reports submitted to NLM outline scientific progress made by the grantee within the intended specific aims and scope outlined in the competing grant application. Mid-year progress reports may be requested by an NLM Program Officer. At the end of a grant, the awardee must file a Final Progress Report, Final Invention statement and final Federal Financial Report. A Federal Financial Report must be filed when requested. A final Federal Financial Report must be filed at the end of the grant. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. All audit requirements for this program are defined in 2 CFR 200, Subpart F - Audit Requirements.

Records:

Financial and programmatic grant records must be retained for three (3) years from the day on which the grantee submits the final Federal Financial Report (FFR). The beginning date for record retention purposes is the date of the FFR submission for the competitive segment of the grant.

Account Identification:

75-0807-0-2-552.

Obligations:

Project Grants FY 20 \$69,224,137.00; FY 21 est \$68,484,891.00; FY 22 est \$70,108,126.00;

Range and Average of Financial Assistance:

\$6,000 to \$1,600,000 (range);\$405,191 average cost for Research Grant (R01);\$125,288 average cost for Information Resource Grant (G08); \$47,280 - average total cost for Scholarly Works Grant (G13)

TAFS Codes:

75-0807.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 450 new and continuing applications were received. 160 new and continuing awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Library of Medicine Grant Programs are awarded under the Public Health Service Act and the Medical Library Assistance Act. Grants and funding awards are made available under the authority of the Acts as codified under 45 CFR Part 75 (Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for HHS Awards); the NIH Grants Policy Statement is available to grantees as a single document governing the NIH/DHHS policy requirements and terms and conditions of NIH/DHHS grant awards. Additional regulations and guidelines are provided in the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR - R43, R44) Grant and Cooperative Agreement Applications including the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR - R41, R42) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Program Officer Extramural Programs,
Extramural Programs National Library of Medicine, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20894. Telephone: (301) 496-4621. Program Contact: Program Officer: Telephone: (301) 594-4882.Grants Management Contact: Grants Management Officer: Telephone: (301) 496-4221. Grant Review Contact: Scientific Review Officer: Telephone: (301) 594-4937.Use the same numbers for FTS. Website: <http://www.nlm.nih.gov/ep/>, Bethesda, Maryland 20892 Phone: (301) 496-4621, Bethesda MD 20892
Email: nlmepinfo@mail.nih.gov
Phone: (301) 496-4621
Program Analyst Amie Park,
6705 Rockledge Drive, Suite 500V,
Bethesda MD 20892
Email: amie.park@nih.gov
Phone: 301-594-3074
Fax: 301-402-2952

Website Address:

<https://www.nlm.nih.gov/ep/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 A list of NLM grants awarded may be found at <http://www.nlm.nih.gov/Awards2020.html>.

CRITERIA FOR SELECTING PROPOSALS:

The standard NIH review criteria, significance, investigator, innovation, approach and environment are used as the basis of merit review. Considerations for final award decisions include level of innovation, program priority, portfolio balance, and availability of funds. For NLM resource grants, review criteria include considerations as to relevance to program objectives, impact on the management and transmission of biomedical knowledge, institutional readiness and resources available to project, expertise of project director and key personnel, scientific or technical merit of project, sustainability of what is deployed, and appropriateness of budget. For Career awards, review criteria include issues pertaining to the suitability of the candidates and their career development plan, research plan, mentors/consultants/collaborators, as well as the environment and institutional commitment to the candidates. For Training awards, review criteria include evidence of soundness in the areas of: Training Program and Environment, Training Program Principal Investigator(s), Preceptors and Mentors, Trainees, and Training Record. Comparative priorities on the above criteria are based on collective judgment of peer reviewers. The following criteria are used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the scientific, technical, or technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications are reviewed based upon similar criteria, and on the degree to which the Phase I objectives were met and feasibility demonstrated.

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93.881 The Reduction of Issuer Burden Through Technology Grant Program and The Health Insurance Enforcement and Consumer Protections Grant Program

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Patient Protection and Affordable Care Act (PPACA), Section 1003,

OBJECTIVES:

The Reduction of Issuer Burden Through Technology Grant will provide funding to the National Association of Insurance Commissioners (NAIC) to build an IT connection between the System for Electronic Rate and Form Filing (SERFF) and the Unified Rate Review (URR) module in the Health Insurance and Oversight System (HIOS) to increase the efficiency of federal processes and reduce the administrative burden imposed on health insurance issuers and state regulators at Departments of Insurance. The Health Insurance Enforcement and Consumer Protections grants was awarded in 2016 and provided a funding source to assist states in implementing and planning several of the federal market reforms and consumer protections under Part A of Title XXVII of the Public Health Service Act (PHS Act). The Health Insurance Enforcement and Consumer Protections grants provided states with the opportunity to ensure their laws, regulations, and procedures are in line with federal requirements and that states are able to effectively oversee and enforce the PHS Acts title XXVII Part A provisions with respect to health insurance issuers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Reduction of Issuer Burden Through Technology Grant: Health Insurance Issuers in 49 states and the District of Columbia are currently submitting duplicate rate filing information through the SERFF and the Health Insurance Oversight System (HIOS). This duplication of effort is an administrative burden for issuers which must ensure that identical information is submitted in both systems and any changes to the submission must be made in both systems. Having one version submitted through one system would alleviate the extent to which CMS and state regulators must coordinate their reviews.

Applicant Eligibility:

State; The Reduction of Issuer Burden Through Technology Grant: The National Association of Insurance Commissioners (NAIC)The NAIC is uniquely positioned as the only applicant under this funding opportunity to meet the objectives of this funding opportunity as they own, operate, and maintain the State Electronic Rate and Form Filing (SERFF) system and the SERFF system is what is utilized to submit rate filing justification data in 49 states and the District of Columbia.The Health Insurance Enforcement and Consumer Protections Grant: StateGrants: StateThe Health Insurance Enforcement and Consumer Protections grant was open to all states that were currently enforcing the ACA market reforms and also for those states who were not currently enforcing the ACA market reforms to assist with their respective transition to an active enforcement role for all the market reforms and consumer protections under Part A of Title XXVII of the Public Health Service Act.

Beneficiary Eligibility:

State; The Reduction of Issuer Burden Through Technology Grant: The National Association of Insurance Commissioners (NAIC)The Health Insurance Enforcement and Consumer Protections Grant: Grants to States' (including the District of Columbia) for planning and/or implementing the market reforms and consumer protections in Part A of title XXVII of the PHS Act.

Credentials/Documentation:

Applicants should review the CMS Notice of Funding Opportunity documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR

200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Reduction of Issuer Burden Through Technology Grant: 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. CMS requires all applicants to apply electronically through Grantsolutions.gov. The Health Insurance Enforcement and Consumer Protections Grant: 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. CMS requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the CMS program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

The Reduction of Issuer Burden Through Technology Grant: Grant application must be submitted electronically and be received through <http://www.grantsolutions.gov> by 3:00 pm Eastern Daylight Time on May 10, 2021.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. The Reduction of Issuer Burden Through Technology Grant: Not ApplicableThe Health Insurance Enforcement and Consumer Protections Grant: Section 1003 of the ACA provides for funding to be awarded between Fiscal Year 2010 and Fiscal Year 2014. Section 2794(c)(2)(B) of the Public Health Service Act specifies that if there are any appropriated Rate Review Grant funds that are not fully obligated by the end of FY 14, such amounts shall remain available to the Secretary for grants to states for planning and implementing the insurance market reforms and consumer protections under Part A of title XXVII of the PHS Act. Refer to funding opportunity announcement for additional information on subsequent grants awarded for premium review authorized under Section 1003 of ACA.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Reduction of Issuer Burden Through Technology Grant: Grant funding for this Notice of Funding Opportunity will be available through Fiscal Year 2023. CMS will receive and review the application as well as issue the award through GrantSolutions. Grantee will drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Duration of grant program: June 18, 2021 June 17, 2023. See the following for information on how assistance is awarded/released: Awards will be created and released by GrantSolutions. The Health Insurance Enforcement and Consumer Protections Grant: Grant funding for this funding opportunity announcement was initially available through FY 2018. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. October 31, 2016-October 30, 2018. See the following for information on how assistance is awarded/released: Awards was created and released by GrantSolutions. Method of awarding/releasing assistance: other

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

Quarterly, Annual and Final programmatic reports are required in the funding opportunity announcement. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. Required Semi-Annual and Final Progress Reports. Grantees must provide HHS with information such as, but not limited to, project status, implementation activities initiated, accomplishments, barriers, and lessons learned in order to ensure that funds are used for authorized purposes. Such performance includes submission of the status progress toward the milestones identified in its Work Plan. HHS reserves the right to restrict funds for activities related to unmet milestones. : Recipients must submit annual expenditures information through submission of a Federal Financial Report (FFR). All recipients are required to report on expenditures at least annually. Since this is a multi-year project, then recipients will be required to report on expenditures every 12 months (on annual Federal Financial Report (FFR or SF-425) and final Federal Financial Report).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0511-0-1-551.

Obligations:

Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$250,000.00;

Range and Average of Financial Assistance:

The NAIC will receive \$250,000 as an award. Grantees from the Health Insurance Enforcement and Consumer Protections received a minimum of \$476,998 as a baseline award amount.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards implemented by HHS regulation 45 CFR Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards.

Regional or Local Office:

None.

Headquarters Office:

Jim Taing,
200 Independence Avenue,
Washington DC 20201
Email: james.taing@cms.hhs.gov
Phone: 301-492-4182

Website Address:

https://www.cms.gov/CCIIO/Programs-and-Initiatives/Health-Insurance-Market-Reforms/Health_Insurance_Enforcement_and_Consumer_Protections-Grants-.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.882 High Impact Pilot Awards

HIP Awards,

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Consolidated Appropriations Act, 2016, Pub. L. No. 114-113, Division H, Title II,

OBJECTIVES:

The purpose of these pilots is to advance a scalable process of interoperable exchange of electronic health data using standards that will improve the delivery of how and where health care is delivered, improve patient outcomes, and reduce cost. These pilots will be administered as Cooperative Agreements so as to foster an interactive and collaborative dialogue that stimulates innovation and focuses efforts in a harmonized manner. All awardees will be expected to measure the progress of their pilots and the level of impact towards the advancement of interoperability and produce a final evaluation report that includes lessons learned.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contracts, Subgrant

Applicant Eligibility:

Interstate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; The objective of this award is to advance a scalable process of interoperable exchange of electronic health data using standards that will improve the delivery of how and where health care is delivered, improve patient outcomes, and reduce cost. Collaborative groups of multiple stakeholders across different organizations will be considered, as well as encouraged, to support widespread interoperability.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; As health care delivery impacts everyone, the beneficiary eligibility includes all of the listed groups.

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

If applicants intend to apply as a collaborative group, a list of confirmed and potential participants need to be included as part of the application; letters of commitment from confirmed participants will also be required. Applicants must also be able to provide sufficient documentation that show their ability to advance the use of interoperable standards for the electronic exchange of health data in their identified use case, including but not limited to a project plan addressing the SOW from the FOA and proposed timeline, budget proposal on how they would use the award money, existing health IT infrastructure, certification of any current health IT module(s), and list of key personnel, including a CV. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are expected to provide a Funding Opportunity Announcement (FOA) application that address all of the requirements as described in the FOAs statement of work (SOW). For purposes of encouraging innovation and interoperable cooperation, collaborative groups of different organizations will be considered, as well as encouraged. If this is a collaborative response, applicants should designate a primary applicant who will be the primary organization responsible for all deliverables and reports, including financial reports. A complete list of project partners (both confirmed and potential) should be included in the FOA application; if a partner is confirmed, a letter of commitment should be included.

Award Procedure:

Awarding agency will issue the FOA for a 60-day window. At the end of the 60-day application period, the awarding agency will review all applications over a 30-day window. The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Applicant awardees will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement their pilot. If the awardee is a collaborative (primary applicant with subawardees), all awardees will be subject to the terms and conditions of the award; however, the primary awardee will be responsible for all deliverables and reports, including financial reports. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements and it is the expectation of the awarding agency that the awardees work together in carrying out the activities contemplated in the agreement.

Deadlines:

May 09, 2016 - July 08, 2016.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance will be made available for the 12 month period of performance. Method of awarding/releasing assistance: quarterly

Reports:

Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned. Semi Annual Federal Cash Transaction Reports are required to be submitting to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit quarterly progress reports Yes, expenditure reports will be required as a part of awardees quarterly progress reports, as well as a final

financial and reconciliation report of award expenditures as part of the program close-out report. Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

All program-related records are required to be maintained for no less than 3-years following the official close-out date of the program.

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements FY 19 \$0.00; FY 20 est \$0.00; FY 21 Estimate Not Available; Cooperative Agreements FY 19 \$0.00; FY 20 est \$0.00; and FY 21 Estimate Not Available;

Range and Average of Financial Assistance:

There has been \$1,250,000 for FFY16-17 for this award. We estimate that we would award no more than four (4) awards total; the award amounts would be no less than \$250,000 and may not exceed \$500,000 per awardee. The award amount will be determined upon the scope and strength the awardees proposal.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75

Regional or Local Office:

Headquarters Office:

Grants Management Officer Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 2027202919

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employees and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals, their ability to meet the scope of their proposed projects and the identified outcomes and objectives, and their use case. Selection criteria will include, but not be limited to, meeting the scope of work requirements, addressing an selected priority area, selection of no less than three (3) domains, the use of standards to advance interoperability, their evaluation and measurement plan, estimated cost of their proposal, if the applicants are leveraging partnerships, and other criteria yet to be determined.

93.883 Standards Exploration Award

Standards Exploration Awards,

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2016, Pub. L. No. 114-113, Division H, Title II,

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The Office of the National Coordinator for Health Information Technology (ONC) is seeking to fund up to four (4) pilot programs that will advance the use of interoperable standards in particular use cases. The purpose of these pilots is advance a scalable process of interoperable exchange of electronic health data using standards that will improve the delivery of how and where health care is delivered, improve patient outcomes, and reduce cost. These pilots will be administered as Cooperative Agreements so as to foster an interactive and collaborative dialogue that stimulates innovation and focuses efforts in a harmonized manner. All awardees will be expected to measure the progress of their pilots and the level of impact towards the advancement of interoperability and produce a final evaluation report that includes lessons learned.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contracts, Subgrant

Applicant Eligibility:

Intrastate; Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; The objective of this award is to advance a scalable process of interoperable exchange of electronic health data using standards that will improve the delivery of how and where health care is delivered, improve patient outcomes, and reduce cost. Collaborative groups of multiple stakeholders across different organizations will be considered, as well as encouraged, to support widespread interoperability.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; U.S. Citizen; Refugee/Alien; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; As health care delivery impacts everyone, the beneficiary eligibility includes all of the listed groups as provided in the reference manual.

Credentials/Documentation:

If applicants intend to apply as a collaborative group, a list of confirmed and potential participants need to be included as part of the application; letters of commitment from confirmed participants will also be required. Applicants must also be able to provide sufficient documentation that show their ability to advance the use of interoperable standards for the electronic exchange of health data in their identified use case, including but not limited to a project plan addressing the SOW from the FOA and proposed timeline, budget proposal on how they would use the award money, existing health IT infrastructure, certification of any current health IT module(s), and list of key personnel, including a CV. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are expected to provide a Funding Opportunity Announcement (FOA) application that address all of the requirements as described in the FOAs statement of work (SOW). For purposes of encouraging innovation and interoperable cooperation, collaborative groups of different organizations will be considered, as well as encouraged. If this is a collaborative response, applicants should designate a primary applicant who will be the primary organization responsible for all deliverables and reports, including financial reports. A complete list of project partners (both confirmed and potential) should be included in the FOA application; if a partner is confirmed, a letter of commitment should be included.

Award Procedure:

Awarding agency will issue the FOA for a 60-day window. At the end of the 60-day application period, the awarding agency will review all applications over a 30-day window. The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Applicant awardees will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement their pilot. If the awardee is a collaborative (primary applicant with subawardees), all awardees will be subject to the terms and conditions of the award; however, the primary awardee will be responsible for all deliverables and reports, including financial reports. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements and it is the expectation of the awarding agency that the awardees work together in carrying out the activities contemplated in the agreement.

Deadlines:

July 08, 2016. The FOA will have an open application period of 60 days.

Targeted FOA Release Date: May 9, 2016

Targeted FOA Application Close Date: July 8, 2016

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance will be made available for the 12 month period of performance. Method of awarding/releasing assistance: other

Reports:

Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned. Semi Annual Federal Cash Transaction Reports are required to be submitted to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit quarterly progress reports. Yes, expenditure reports will be required as a part of the awardees quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report. Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Not Data Available.

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements FY 19 \$0.00; FY 20 est \$0.00; FY 21 Estimate Not

ASSISTANCE LISTINGS DESCRIPTIONS

Available; Cooperative Agreements FY 19 \$0.00; FY 20 est \$0.00; and FY 21 Estimate Not Available;

Range and Average of Financial Assistance:

There has been \$250,000 for FFY16-17 for this award. We estimate that we would award no more than four (4) awards total; the award amounts would be no less than \$20,000 and may not exceed \$75,000 per awardee. The award amount will be determined upon the scope and strength of the awardees proposal.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 45

Regional or Local Office:

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 2027202919

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employees and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals, their ability to meet the scope of their proposed projects and the identified outcomes and objectives, and their use case. Selection criteria will include, but not be limited to, meeting the scope of work requirements, addressing an selected priority area, selection of no less than three (3) domains, the use of standards to advance interoperability, their evaluation and measurement plan, estimated cost of their proposal, if the applicants are leveraging partnerships, and other criteria yet to be determined.

93.884 Primary Care Training and Enhancement

Primary Care Training and Enhancement; PCTE,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 747 of the Public Health Service Act (42 U.S.C. 293k) as amended by the CARES Act (P.L. 116-136),.

OBJECTIVES:

The overarching purpose of the PCTE Program (TOB) is to strengthen the primary care workforce by supporting enhanced training for future primary care. Activities include: (1) plan, develop, and operate a program that provides training experiences in new competencies, such as providing training relevant to providing care through patient-centered medical homes, developing tools and curricula relevant to patient-centered medical homes, and providing continuing education to primary care providers relevant to patient-centered medical homes; (2) plan, develop and operate a program for the training of physicians who plan to teach in family medicine, general internal medicine, or general pediatrics; (3) plan, develop, and operate a program for the training of physicians or physician assistants teaching in community-based settings; (4) provide need-based financial assistance in the form of traineeships and fellowships to students, residents, practicing physicians or other medical personnel, who are participants in any such program,

who plan to work in, teach, or conduct research in family medicine, general internal medicine, general pediatrics, or physician assistant education; and (5) plan, develop and operate joint degree programs to provide interdisciplinary and interprofessional graduate training in public health and other health professions to provide training in environmental health, infectious disease, disease prevention and health promotion, epidemiological studies and injury control. In FY 2017 and FY 2018, PCTE grantees were provided the opportunity to request supplemental funding for training related to Medication Assisted Treatment (MAT). The PCTE program also funded the following: 1.The Training Primary Care Champions (TPCC) Program (T13), to strengthen primary care and the workforce by establishing fellowship programs to train community-based practicing primary care physician and/or physician assistant champions to lead health care transformation and enhance teaching in community-based settings. Awardees must develop academic-community partnerships to train and support primary care physician and or physician assistant champions to lead health care transformation in community-based settings and enhance teaching in community based settings. Applicants are encouraged to partner with National Health Service Corps-approved sites. 2.The Integrating Behavioral Health and Primary Care (IBHPC) program (TOB), which funds innovative training programs that integrate behavioral health care into primary care, particularly in rural and underserved settings with a special emphasis on the treatment of opioid use disorder. 3.The Physician Assistant (PA) Program (D57), which increases the number of primary care physician assistants, particularly in rural and underserved settings, and improve primary care training in order to strengthen access to and delivery of primary care services nationally.4. The PCTE Academic Units (AU) for Primary Care Training and Enhancement Program(UH1), which provided the opportunity to request supplemental funding to continue to support collaborative activities that are intended to enable joint research, development of a common community of practice that will assist with dissemination and application of the research into education and practice, and develop plans for sustaining the scope of work of all six centers after federal funding. The PCTE-AU program will close in FY2021. 5. The PCTE Residency Training in Primary Care (RTPC) Program (D58) supports the development of rural and/or underserved residency tracks within existing accredited residency programs for family medicine, general internal medicine, general pediatrics and combined internal medicine and pediatrics (med-peds) programs.6. PCTE-Community Prevention and Maternal Health (PCTE-CPMH) program (T34). The purpose of the PCTE-CPMH program, funded in FY2021, is to train primary care physicians in maternal health care clinical services or population health in order to improve maternal health outcomes. The program will increase the number of primary care physicians trained in public health and general preventive medicine with maternal health care expertise and the number of primary care physicians trained in enhanced obstetrical care practicing in rural and/or underserved areas.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grants may not be used for new construction or patient services. Stipends are not allowed for residents or medical students, except for the RTPC program. PCTE Residency Training in Primary Care program, stipends are allowable for medical residents while training in rural and/or underserved areas in settings outside of the awardee institution, who are in the following resident specialties: family medicine, general internal medicine, general pediatrics and combined internal medicine and pediatrics (med-peds) programs.Restricted Uses: Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.For the PCTE-TPCC program, fringe benefits are not allowed for fellows receiving stipend support. Health insurance is allowable, but no other fringe benefits. (Refer to pages 111-112 of the HHS Grants Policy Statement (HHS GPS), available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.)

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes

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institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Eligible entities include accredited public or nonprofit private hospitals, schools of allopathic or osteopathic medicine, academically affiliated physician assistant training programs, or a public or nonprofit private entity that the Secretary has determined is capable of carrying out such grants. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Beneficiaries include physician and physician assistant training programs that train medical students, physician assistant students, medical residents, practicing physician and physician assistants, and physician and physician assistant faculty.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award..

Length and Time Phasing of Assistance:

Each funding opportunity provides support for a 5-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this Notice of Funding Opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law

110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$749,962.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$525,658.00; FY 21 est \$400,000.00; FY 22 est \$0.00; Project Grants FY 20 \$400,000.00; FY 21 est \$400,000.00; FY 22 est \$0.00; Project Grants FY 20 \$300,000.00; FY 21 est \$300,000.00; FY 22 est \$0.00; Project Grants FY 20 \$500,000.00; FY 21 est \$500,000.00; FY 22 est \$0.00; Project Grants FY 20 \$500,000.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Academic Units for Primary Care Training and Enhancement Program: FY 2020 Range actual \$727,702 to \$749,962; Average award \$744,511 FY 2021 \$0 FY 2021 \$0 Primary Care Training and Enhancement Program (PCTE): FY 2021 est \$0 FY 21 est \$0 FY 22 est \$0 PCTE Program; MAT supplement Range: \$1,487 to \$80,000; Average: \$69,210 FY 2020 actual Range \$169,826 to \$500,000; Average award \$431,480 PCTE-Training Primary Care Champions (TPCC) FY 2020 Range actual \$376,219 to \$525,658; Average Award \$417,014 FY 2021 Range est \$361,278 to \$400,000; Average award \$394,237 FY 2022 Range est \$361,278 to \$400,000; Average Award \$394,237. PCTE: IBHPC Program: Integrating Behavioral Health and Primary Care FY 2020 Range actual \$382,990 to \$400,000; Average Award actual \$397,058 FY 2021 Range est \$392,000 to \$400,000; Average Award est. \$397,963 FY 2022 Range est \$392,000 to \$400,000; Average award \$397,963 Physician Assistant Training in Primary Care FY 2020 Range actual \$226,606 to \$300,000; Average Award \$286,850 FY 2021 Range est \$155,453 to \$300,000; Average Award \$290,129 FY 2022 Range est \$251,536 to \$300,000; Average Award \$296,062 Residency Training in Primary Care FY 2020 actual \$252,780 to \$500,000; Average Award \$442,369 FY 2021 Range est \$307,713 to \$500,000; Average Award \$449,694 FY 2022 Range est \$292,092 to \$500,000; Average: \$451,090 Community Prevention and Maternal Health FY 2020 \$0 FY 21 Range est \$213,709 to \$600,000; Average: \$521,609 FY 22 Range est \$274,449 to \$600,000; Average Award: \$516,087

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year, 2019-2020, the PCTE programs supported various types of primary care training programs for 14,194 health professions students, residents, and fellows. Out of this number of trainees, 4,137 were from disadvantaged background, 2,708 from underrepresented minorities, and 1,915 from rural background. Also, in Academic Year 2019-2020 out of the 14,194 trainees supported by the PCTE program 3,796 graduated, including 613 from underrepresented minorities, 1,101 from disadvantaged backgrounds, and 551 from rural backgrounds. In Academic Year 2019-2020, the TPCC program supported 151 Champion fellows, of which 34 fellows self-identified as underrepresented minorities in health professions and 35 fellows from disadvantaged backgrounds. Of the 151 trained fellows, the TPCC programs graduated 52 fellows, approximately 13 identified as underrepresented minorities in health professions

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and 15 from disadvantaged backgrounds. Of the 151 individuals who received stipend support, approximately 45 percent of trainees received training in substance use treatment and 44 percent of trainees received training in opioid use treatment. Additionally, nearly half of funded trainees (49 percent) received specific training in integrated behavioral health in primary care.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None. Anthony Anyanwu, Project Officer, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce, 5600 Fishers Lane, Room 15 N 186B, Rockville, Maryland 20857; e-mail anyanwu@hrsa.gov; Phone: 301-443-8437 Nancy Douglas Kersellius, Project Officer, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce, 5600 Fishers Lane, Room 15 N 194B, Rockville, Maryland 20857; e-mail: ndouglas@hrsa.gov; Phone: 301-443-0907

Headquarters Office:

Cynthia Hame, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, HRSA Bureau of Health Workforce Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 15N-18, Rockville MD 20857
Email: charne@hrsa.gov
Phone: 301-443-7661
Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.403 ARRA - Training in Primary Care Medicine and Dentistry;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.889 National Bioterrorism Hospital Preparedness Program

(HPP),

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Section 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Reauthorization Act (PAHPRA) of 2013, Public Law 113-5.,

OBJECTIVES:

To ready hospitals and other healthcare systems, in collaboration with other

partners, to deliver coordinated and effective care to victims of terrorism and other public health emergencies.

TYPES OF ASSISTANCE:

Cooperative Agreements, Formula Grants, Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions (includes institutions of higher education and hospitals); State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

U.S. Territories; State; Local; All State health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual ASPR Hospital Preparedness Program Funding Opportunity Announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications from eligible applicants will be reviewed and final funding award recommendations forwarded to ASPR.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the program's Grants Management Office.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Project periods are limited up to 3 years. Budget periods are limited up to 12 months. Method of awarding/releasing assistance: other

Reports:

Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial

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Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semi-annual and year end progress reports are required. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 A-133

Records:

Awardees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR Part 92.

Account Identification:

75-0140-0-1-551.

Obligations:

Formula Grants (Cooperative Agreements) FY 19 \$231,500,000.00; FY 20 est \$233,500,000.00; FY 21 Estimate Not Available; Cooperative Agreements FY 19 Not Available; FY 20 est \$225,000,000.00; and FY 21 est \$225,000,000.00;

Range and Average of Financial Assistance:

Range in FY 13: \$270,000 - to \$27,000,000. FY 13 Average: \$5,351,000

TAFS Codes:

75-0001.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Robert Scott Dugas,
, Hospital Preparedness Program, U.S. Department of Health and Human Services,
Assistant Secretary for Preparedness and Response, 200 C E Street, SW,
Concourse C4K17,

Washington DC 20024

Email: Robert.Dugas@hhs.gov

Phone: (202) 245-0732

Team Lead, ESAR-VHP Jennifer Hannah,

Division of National Healthcare Preparedness Programs, Office of Emergency Management, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, 200 C Street, SW, Washington,

District of Columbia 20024,

Washington DC 20024

Email: jennifer.hannah@hhs.gov

Phone: 2022450722

Fax:

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

93.003 Public Health and Social Services Emergency Fund;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. The applicants must submit their application in accordance with fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions, require the applicant to resubmit supporting information within a prescribed time frame. Upon review and approval, the revised application can then be submitted to Grants Management for processing and issuing a notice of grant award.

93.893 Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO)

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Consolidated Appropriations Act, 2016, Pub. L. No. 114-113, Division H, Title II,

OBJECTIVES:

The purpose of this cooperative agreement is to build the capacity of an information sharing and analysis organization (ISAO) to share information bi-directionally with the Health and Public Health (HPH) sector and HHS about cyber threats and provide outreach and education surrounding cybersecurity awareness.

Under this FAO, the awardee will access Federal resources to develop the infrastructure and sector-specific expertise needed to function as a health care specific information sharing and analysis organization (ISAO).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Contracts, Subgrant, Personnel

Applicant Eligibility:

Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); In order to be eligible for funding, the entity needs to be performing some of the functions of an Information Sharing and Analysis Organizations (i.e., currently sharing information bi-directionally with the Health and Public Health (HPH) sector or sectors about cyber threats and providing outreach and education surrounding cybersecurity awareness) and possess the capacity to expand.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; Migrant; U.S. Citizen; Refugee/Alien; Veteran/Service person/Reservist (including dependents; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Drug Addict; Alcoholic; Local; Juvenile Delinquent; Preschool; School; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Unemployed; Welfare Recipient; Pension Recipient; Sponsored organization; Moderate Income; Low Income; Major Metropolis (over 250,000); Other Urban; Suburban; Rural; Education (0-8); Education (9-12); Education (13+); Public

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nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; As health care delivery impacts everyone, the beneficiary eligibility includes all of the listed groups as provided in the reference manual.

Credentials/Documentation:

Organization must be currently functioning as a cybersecurity information sharing organization. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Awarding agency will issue the FOA for a 60-day window. At the end of the 60-day application period, the awarding agency will review all applications over a 30-day window. The award review process will include award review panels comprised of subject matter experts and at least one federal employee. The awarding agency will inform all applicants in writing the status of their application (awarded, not awarded). Applicant awardees will be provided with the terms and conditions of their award, and will commence working with the awarding agency to implement. If the awardee is a collaborative (primary applicant with subawardees), all awardees will be subject to the terms and conditions of the award; however, the primary awardee will be responsible for all deliverables and reports, including financial reports. The awarding agency will provide oversight of the awards, including but not limited to quarterly reporting. These awards will be issued as Cooperative Agreements and it is the expectation of the awarding agency that the awardees work together in carrying out the activities contemplated in the agreement.

Deadlines:

August 08, 2016. The FOA will have an open application period of 30 days.

FOA Release Date: July 20, 2016

FOA Application Close Date: August 19, 2016

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. The awarding agency may issue a 30-day rework period should the majority of the FOA applicants fail to submit the required objectives as described in the FOA SOW. There will be no appeals process for this program.

Renewals:

Other. Renewals may be available if the applicant is able to successfully show progress towards achieving specified goals.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance will be made available for the 5 year period of performance. Method of awarding/releasing assistance: other

Reports:

Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned. Semi Annual Federal Cash Transaction Reports are required to be submitted to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned. Yes, expenditure reports will be required as a part of awardees quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report. Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees will be required to maintain all award related documents, including but not limited to project plans, testing procedures and results, expenditures, and contracts and agreements (proprietary information may be redacted). All program-related records are required to be maintained for no less than 3-years following the official close-out date of the program.

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements FY 19 \$500,000.00; FY 20 Estimate Not Available; FY 21 Estimate Not Available; Cooperative Agreements FY 19 \$0.00; FY 20 est \$0.00; and FY 21 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75

Regional or Local Office:

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 2027202919

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employees and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals, their ability to meet the scope of their proposed projects and the identified outcomes and objectives, and their use case. Selection criteria will include, but not be limited to, meeting the scope of work requirements, addressing an selected priority area, selection of no less than three (3) domains, the use of standards to advance interoperability, their evaluation and measurement plan, estimated cost of their proposal, if the applicants are leveraging partnerships, and other criteria yet to be determined.

93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

301 and 317(k)(2) of the Public Health Service (PHS) Act, [42 U.S.C.]

OBJECTIVES:

The purpose of this FOA is to transition this highly-functional public health infrastructure for cancer prevention and control into new roles and functions to anticipate the nations needs over the next decade. The goals are to (1) seek

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efficiencies across the management and operations of cancer prevention and control programs, (2) focus on high-burden cancers with evidence-based, scalable interventions that already exist and can be broadly implemented, (3) develop organized screening programs that are more effective and efficient than current opportunistic approaches, and (4) maintain high-quality cancer registries and expand their application in prevention and screening.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

APPLICANT WILL ADHERE TO ALL CDC GUIDELINES REGARDING ALLOWABLE AND UNALLOWABLE EXPENSES.RESTRICTIONS THAT MUST BE CONSIDERED WHILE IN PLANNING THE PROGRAMS AND WRITING THE BUDGET ARE:AWARDEESS MAY NOT USE FUNDS FOR RESEARCH.AWARDEESS MAY USE FUNDS FOR REASONABLE PROGRAM PURPOSES, INCLUDING PERSONNEL, TRAVEL, SUPPLIES, AND SERVICES.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Program 1 State governments or their bona fide agents (includes the District of Columbia)Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. American Indian or Alaska Native tribal governments (federally recognized or state-recognized)Native American tribal governments (Federally recognized)Native American tribal organizations (other than Federally recognized tribal governments)American Indian or Alaska native tribally designated organizationsProgram 2 Limited Competition Justification has been submitted Program 3State governments or their bona fide agents (includes the District of Columbia)Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia,

Beneficiary Eligibility:

Anyone/general public; Program 1 State governments or their bona fide agents (includes the District of Columbia)Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. American Indian or Alaska Native tribal governments (federally recognized or state-recognized)Native American tribal governments (Federally recognized)Native American tribal organizations (other than Federally recognized tribal governments)American Indian or Alaska native tribally designated organizationsProgram 2 Limited Competition Justification has been submitted Program 3State governments or their bona fide agents (includes the District of Columbia)Territorial governments or their bona fide agents in the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia,

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications must be submitted electronically at www.Grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from Grants.gov on the deadline date. The application package can be downloaded from www.Grants.gov. Applicants can

complete the application package off-line, and then upload and submit the application via the Grants.gov Web site. The applicant must submit all application attachments using a PDF file format when submitting via Grants.gov. Directions for creating PDF files can be found on the Grants.gov Web site. Use of file formats other than PDF may result in the file being unreadable by staff.

Award Procedure:

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by NCCDPHP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that the application did not meet eligibility and/or published submission requirements.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. The carryover request must:Express a bona fide need for permission to use an unobligated balance;Include a signed, dated, and accurate Federal Financial Report (FFR) for the budget period from which funds will be transferred (as much as 75% of unobligated balances); andInclude a list of proposed activities, an itemized budget, and a narrative justification for those activities.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 60%. Requirement: Matching requirements are voluntary. Program 1 NBCCEDP - \$1 for every \$3 Federal FundsProgram 2 NCCCP - Cost Sharing encouragedProgram 3 NPCR - no less than 25% or \$1 for every \$3 Federal FundsThis program has MOE requirements, see funding agency for further details. Additional Information: Program 1: This program does have MOE requirements Program 2: This program does not have MOE requirementsProgram 3 This program has MOE requirementsSee funding agency for further details..

Length and Time Phasing of Assistance:

There is a 12 month budget period in which assistance is available. Proposed project period is 5 years Method of awarding/releasing assistance: lump sum.

Reports:

The awardee must submit the Annual Performance Report via www.grants.gov 120 days before the end of the budget period.Annual Performance Report (APR) (required): The awardee must submit the APR via www.grants.gov 120 days before the end of the budget period. This report must not exceed 45 pages excluding administrative reporting. Attachments are not allowed, but Web links are allowed. : Federal Financial Reporting (FFR): The annual FFR form (SF-425) is required and must be submitted through eRA Commons 90 days after the end of the calendar quarter in which the budget period ends. The report must include only those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds, and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management Systems (PMS) cash transaction data. Failure to submit the required information by the due date may adversely affect the future funding of the project. If the information cannot be provided by the due date, awardees are required to submit a letter of explanation to PGO and include the date by which the Grants Officer will receive CDC programs may require more frequent reporting of performance measures than annually in the APR. If this is the case, CDC programs must specify reporting frequency, data fields, and format for awardees at the beginning of the award period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

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Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$215,953,500.00; FY 21 est \$220,026,857.00; FY 22 est \$220,026,857.00;

Range and Average of Financial Assistance:

250K - 750K

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,
4770 Buford Hwy, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: 770 488 2855
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs; 93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC PGO staff and will be reviewed jointly for eligibility by the CDCs National Center for Chronic Disease Prevention and Health Promotion/Division of Cancer Prevention and Control and PGO. Incomplete applications and applications that do not meet the eligibility criteria will not advance to Phase II review. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the criteria below. Not more than thirty days after the Phase II review is completed, applicants will be notified electronically if their application does not meet eligibility or published submission requirements.

93.908 Nurse Corps Loan Repayment Program

Nurse Corps Loan Repayment Program (Nurse Corps LRP),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 846 of the Public Health Service Act (PHS) (42 USC 297n)),.

OBJECTIVES:

The Nurse Corps Loan Repayment Program (Nurse Corps LRP) provides loan repayment assistance to professional registered nurses (RN), including advanced practice registered nurses (APRNs), in return for a commitment to work full-time in eligible health care facilities with a critical shortage of nurses or serve as a nurse faculty in an eligible school of nursing. Nurse Corps LRP decreases the economic barriers associated with pursuing careers at Critical Shortage Facilities or in academic school of nursing.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The program offers registered nurses (RNs) and advanced practice registered nurses (APRNs) substantial financial assistance to repay a portion of their qualifying educational loans in exchange for full-time service either at a Critical Shortage Facility or an eligible school of nursing. Nurse Corps LRP participants enter into a two-year contract agreeing to provide full-time service at an approved Critical Shortage Facility or an eligible school of nursing. In return, Nurse Corps LRP will pay participants a total of 60 percent (30 percent for each year) of their total outstanding qualifying nursing education loan balance. Eligible participants may apply for an optional third year service commitment, for which the Nurse Corps LRP will award an additional 25 percent of the participant's original total qualifying educational loan balance, bringing the total to 85 percent of eligible loan balances.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; Individual/Family; Specialized group (e.g. health professionals, students, veterans); Individuals who satisfy the following criteria are eligible to apply: (1) have received a bachelors degree, a masters degree, an associate degree, a diploma, or a doctoral degree in nursing; (2) have outstanding qualifying educational loans leading to a degree or diploma in nursing; (3) a U.S. citizen (either U.S. born or naturalized), U.S., national or a lawful permanent resident of the United States; (4) employed full-time (32 hours or more per week) at a critical shortage facility (CSF) or employed as a full-time nurse faculty member at an accredited, public or private school of nursing; (5) have completed the nursing education program for which the loan balance applies; (6) have a current, full, permanent, unencumbered, unrestricted license in the State in which they intend to practice or be authorized to practice in the State under the Nurse Licensure Compact; and (7) submit a complete application, including a signed contract to work full-time as a registered or advanced practice nurse for 2 years at an eligible health care facility with a critical shortage of nurses or an accredited, eligible school of nursing. Federally Recognized Indian Tribal Government and Native American Organizations may apply if they are otherwise eligible.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Beneficiaries include registered nurses who have received a diploma, an associate degree, a baccalaureate degree or a graduate degree in nursing from an accredited school of nursing.

Credentials/Documentation:

Applicants should review the individual HRSA Application and Program Guidance issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants may apply online at <https://programportal.hrsa.gov/extranet/landing.seam>.

Award Procedure:

Funding preference will be given to applicants with the greatest financial need, defined as those qualified applicants whose debt-to-salary ratio (i.e. total qualifying educational loan balance divided by base annual salary) is 100 percent or greater. Qualified applicants are grouped into funding preference tiers and awards are made in order of decreasing debt-to-salary ratio beginning with Tier 1, until all funds are expended. Award notifications are sent by email no later than September 30 of each year.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

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Other. Notification to all applicants are made no later than September 30th of the application year.

Appeals:

Not Applicable.

Renewals:

Other. Renewals for the third year of service will be considered based on the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

RNs and APRNs must provide full-time service at a public or private Critical Shortage Facility for a minimum of 32 hours per week or provide full time service (as defined by the employer) as a nurse faculty member for a minimum of 9 months per service year at an accredited public or private eligible school of nursing, for a period of two consecutive years beginning on the effective date of the contract. Method of awarding/releasing assistance: Payments are made to individuals via electronic direct deposit. Notifications are made by e-mail. Method of awarding/releasing assistance: other

Reports:

The employing critical shortage facility or the school or nursing must complete and send a semi-annual In-Service Verification Form verifying that the participating nurse is providing full-time continuous service as a registered nurse (RN), nurse practitioner (NP) or nurse faculty.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

75-0350-0-1-550.

Obligations:

Direct Payments for Specified Use FY 20 \$51,047,355.00; FY 21 est \$59,090,000.00; FY 22 est \$59,090,000.00;

Range and Average of Financial Assistance:

FY20 Range for new awards - \$6,227 to \$288,614; Average new award - \$87,883. FY21 est Range for new awards - \$6,227 to \$288,614; Average new award - \$87,883. FY22 est Range for new awards - \$6,227 to \$288,614; Average new award - \$87,883.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Nurse Corps LRP piloted a set aside in FY20 for Nurses specializing in womens health to support the Maternal Mortality Act. This set aside of \$750,000 funded 6 awards. In FY21, funding for this set aside was increased to \$5 million to support the robust application pool received for consideration of this funding in FY20. The average amount of new awards in FY20 was \$87,883, which would yield approximately 57 awards with a budget of \$5 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see application process for NurseCorps LRP at <https://bhw.gov/sites/default/files/bhw/nursecorps-lrp-guidance.pdf>. Refer to the Nurse Corps Loan Repayment Program Guidance.

Regional or Local Office:

None.

Headquarters Office:

Scott Turnbull, Nurse Corps Loan Repayment Program, Division of Health Careers and Financial Support, Bureau of Health Workforce (BHW), Health Resources and Services Administration, 5600 Fishers Lane, Rockville MD 20857

Email: STurnbull@hrsa.gov

Phone: (301) 443-4791

Website Address:

<https://bhw.hrsa.gov/loansscholarships/nursecorps/lrp>

RELATED PROGRAMS:

93.364 Nursing Student Loans; 93.162 National Health Service Corps Loan Repayment Program; 93.547 Affordable Care Act – National Health Service Corps; 93.303 Nursing Scholarship Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding preference is defined as the funding of a specific category of group of approved applicants ahead of other categories or groups of approved applicants. As provided in section 846(e) of the PHS Act, as amended, a funding preference is given to eligible applicants with the greatest financial need. Awards are made to the highest ranked applicants until funds are expended.

93.910 Family and Community Violence Prevention Program

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

Support interventions that employ a public health approach to provide critical life skills development, academic skills, career advisement, and mentoring. Support interventions that integrate violence prevention and crime reduction models with public health and community oriented policing approaches and require partnerships among law enforcement agencies, public health agencies and other community entities. Support evidence-based programs that address unhealthy behaviors in at-risk minority male youth and provide them opportunities to learn skills and gain experience that contribute to more positive lifestyles and enhance their capacity to make healthier life choices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds will be used to support a cooperative agreement for a family and community violence program to prevent minority related violence and improve health and human services to minorities, although services may not be denied to otherwise eligible individuals regardless of race or ethnicity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Minority group; Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); U.S. Territories and possessions; State; U.S. Territories and possessions (includes institutions of higher education and hospitals); * State and local governments (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau)* Nonprofit with 501(c)(3) IRS status (other than institution of higher education)* Nonprofit without 501(c)(3) IRS status (other than institution of higher education)* For-profit organizations (other than small business); for profit organizations must agree to forgo any profit or management fee* Small, minority,

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and women-owned business* Public or non-profit private Universities or colleges* Research institutions* Hospitals* Community-based organizations* Faith-based organizations* Federally recognized or state-recognized American Indian/Alaska Native Tribal governments* American Indian/Alaska Native tribally designated organizations* Alaska Native health organizations* Urban Indian health organizations* Tribal epidemiology centers* Political subdivisions of states (in consultation with states)

Beneficiary Eligibility:

Minority group; Target populations: Alaskan Natives; American Indians; Asians; Blacks/African Americans; Hispanics/Latinos; Native Hawaiians and other Pacific Islanders; or subgroups of these populations; economically or environmentally disadvantaged populations; limited English populations. However, services may not be denied to otherwise eligible individuals based on race or ethnicity.

Credentials/Documentation:

Proof of nonprofit status, if applicable, is required as part of the application submission. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 C.F.R. part 75. To apply, access the announcement through www.grants.gov. Enter the CFDA# and follow the website instructions. Applications submitted after the deadlines will not be accepted for review. The submission deadline will not be extended.

Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via www.grants.gov. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel. The Deputy Assistant Secretary for Minority Health makes final decisions to approve, hold, or reject individual grants or contracts. Applicants are notified regarding the final decision on each application and are furnished with a Notice of Award for all projects approved.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

Not Applicable. None

Renewals:

>180 Days. Grant projects can have period of performance of up to 5 years with a 12-month budget period.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant program will have a 5-year project period with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the notice of grant award is issued. Method of awarding/releasing assistance: by letter of credit. Method of

awarding/releasing assistance: other

Reports:

Annual Program reports are required. Quarterly SF425 Federal Financial Reports must be submitted to Payment Management Services 30 days after the end of each quarter of the federal fiscal year which begins on October 1. Grantees are required to submit Quarterly Reports. Grantees are required to submit program performance reports as specified in the funding opportunity announcement.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 75, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$4,078,508.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions found on www.grants.gov, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact: Office of Minority Health, Division of Program Operations, 1101 Wootton Parkway, Suite 100, Rockville, MD 20852. Telephone: (240) 453-8444.

Headquarters Office:

Division Director Sonsiere Cobb-Souza,
1101 Wootton Parkway, Suite 100,
Rockville MD 20852
Email: Sonsiere.Cobb-Souza@hhs.gov
Phone: 2404538444
Fax: Program Coordinator Stacey Williams,
1101 Wootton Parkway,
Rockville MD 20852
Email: Stacey.Williams@hhs.gov
Phone: 2404538444
Fax:

Website Address:

<http://www.minorityhealth.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed

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implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement

Delta Region Community Health Systems Development Program, Delta Region Rural Health Workforce Program, Rural Maternity and Obstetrics Management Strategies Program Rural Health Network Development Program Delta States Rural Development Network Program Rural Health Care Coordination Network Program Small Health Care Provider Quality Improvement Program Rural Health Network Development Planning Program Rural Health Care Services Outreach Program Rural Northern Border Region Planning Program Rural Communities Opioid Response Program-Planning Rural Communities Opioid Response Program-Implementation Rural Communities Opioid Response Program-Psychostimulant Support Rural Communities Opioid Response Program-Technical Assistance Rural Communities Opioid Response Program-Neonatal Abstinence Syndrome Rural Behavioral Health Workforce Centers Northern Border Region, Rural Tribal COVID-19 Response, Rural Health Clinic Vaccine Confidence Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 330A (f) of the Public Health Service Act, 42 U.S.C. 254(c) (e) (f), as amended by section 201, P.L. 107-251 of the Health Care Safety Net Amendments of 2002., Section 711 (b) of the Social Security Act (42 U.S.C. 912(b)), as amended. Rural Communities Opioid Response Program-Planning Rural Communities Opioid Response Program-Implementation Rural Communities Opioid Response Program-Psychostimulant Support Rural Communities Opioid Response Program-Technical Assistance Rural Communities Opioid Response Program-Neonatal Abstinence Syndrome Rural Behavioral Health Workforce Centers Northern Border Region Rural Tribal COVID-19 Response Rural Northern Border Region Planning Program, Title , Section , Section 2302 of the American Rescue Plan Act of 2021 (P.L. 117-2),

OBJECTIVES:

The Delta Region Community Health Systems Development Program aims to enhance health care delivery in the Delta Region through intensive technical assistance to providers in select rural communities, including Critical Access Hospitals, small rural hospitals, Rural Health Clinics, and other healthcare organizations. The Delta States Rural Development Network Program funds organizations located in the eight Delta States, which include Alabama, Illinois, Kentucky, Tennessee, Arkansas, Louisiana, Mississippi and Missouri. Awardees in these areas aim to promote population health, through the planning, implementation, and the development of integrated health care networks. In addition, eligible entities participate in the networks to achieve efficiencies, expand access to care, as well as to coordinate, and improve the quality of essential health care services. The Delta Region Rural Health Workforce Training Program aims to address the ongoing need in healthcare facilities for trained administrative support or business operations professionals in rural communities through the development of Strategic Networks that support recruitment, formal training, certification, and placement of students. The Rural Health Network Development Program aims to support mature, integrated rural health care networks that have combined the functions of the entities participating in the network in order to address the health care needs of the targeted rural community. Awardees will combine the functions of the entities participating in the network to address the following statutory charges: (i) achieve efficiencies; (ii) expand access, coordinate, and improve the quality of essential health care services; and (iii) strengthen the rural health care system as a whole. The Rural Health Network Development Planning Program assists in the development of an integrated health care network, specifically for entities that do not have a history of formal collaborative efforts.

Health care networks can be an effective strategy to help smaller rural health care providers and health care service organizations align resources and strategies, achieve economies of scale and efficiency, and address challenges more effectively as a group than as single providers. The Rural Health Care Coordination Program aims to support rural health consortiums/networks aiming to achieving the overall goals of improving access, delivery, and quality of care through the application of care coordination strategies in rural communities. In order to achieve the goal of the Care Coordination Program, applicants are required to coordinate the health care delivery services in rural communities through the following four focus areas: Collaboration, Leadership and Workforce, Improved Outcomes, and Sustainability. The Rural Maternity and Obstetrics Management Strategies Program aims to improve access to and continuity of maternal and obstetrics care in rural communities. Rural Maternal Health Networks will develop and test strategies concerning rural regional approaches to risk appropriate care, a network approach to coordinating a continuum of care, leveraging telehealth and specialty care, and financial sustainability. Networks include rural or critical access hospitals, health centers (FQHC), Level III (Subspecialty Care) or Level IV (Regional Perinatal Health Care Centers) facilities, local social services (such as state Home Visiting and Healthy Start programs), and the state Medicaid agency, as partners. The Rural Health Care Services Outreach Program provides support to rural communities to expand and enhance the delivery of health care services through a strong consortium of partners. Outreach projects utilize evidence-based or promising practice models to implement innovative approaches that address community identified health needs, improve population health, demonstrate health outcomes and sustainability. For this time, the program also includes the Healthy Rural Hometown Initiative (HRHI) to address the underlying factors that are driving growing rural health disparities related to the five leading causes of avoidable death (heart disease, cancer, unintentional injury/substance use disorder, chronic lower respiratory disease, and stroke). The Rural Northern Border Region Planning Program assists in the planning and identifying of key rural health issues in the rural Northern Border Regional Commission (NBRC) service area. The grant program supports planning activities to identify key rural health issues, assess rural health challenges, and engage in strategic planning activities to inform rural health plans across the northern border region. The ultimate goal of the program is to help underserved rural communities identify and better address their health care needs. The Rural Communities Opioid Response Program- Planning, supports treatment for and prevention of substance use disorder, including opioid use disorder, in rural counties at the highest risk for substance use disorder. The Rural Communities Opioid Response Program- Implementation aims to reduce the morbidity and mortality from substance abuse disorder (SUD), including opioid use disorder (OUD), by strengthening and expanding prevention, treatment, and recovery support services in high-risk rural communities. The program focuses on expanding treatment options and enhancing treatment and recovery capacity in rural communities. By expanding the options for treatment across the care spectrum, this initiative will help rural residents access treatment and move towards recovery. The Rural Communities Opioid Response Program- Medication Assisted Treatment (MAT) Expansion advances RCORPs overall goal by establishing and/or expanding MAT in eligible hospitals, health clinics, or tribal organizations in high-risk rural communities. RCORP-MAT Expansion aims to increase the number of access points where individuals living in rural communities with OUD can receive evidence-based treatment. The Rural Communities Opioid Response Program- Technical Assistance provides technical assistance (TA) support for rural communities engaging in activities to combat opioid use disorder (OUD). The TA efforts will enhance the organizational and infrastructural capacity of multi-sector consortiums at the community, county, state, and/or regional levels. The overall goal is the reduction of morbidity and mortality associated with opioid overdoses in high-risk rural communities. The Rural Communities Opioid Response Program-Psychostimulant Support aims to strengthen and expand prevention, treatment, and recovery services for rural individuals who misuse psychostimulants to enhance their ability to access treatment and move towards recovery. Over the course of a three-year period of performance, RCORP-PS award recipients will implement a set of core psychostimulant use disorder prevention, treatment, and recovery activities. The Small Health Care Provider Quality Improvement Program aims to provide support to rural primary care providers for the planning and implementation of quality improvement activities. The ultimate goal of the program is to improve the quality and delivery of rural health care services through promoting the development of evidence-based approaches to the quality and delivery of coordinated care in the primary care

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setting. Additional objectives of the program include: improved health outcomes for patients; enhanced chronic disease management; and better engagement of patients and their caregivers. The purpose of the RCORP- Neonatal Abstinence Syndrome project is to advance RCORPs overall goal and reduce the incidence and impact of Neonatal Abstinence Syndrome (NAS) in rural communities by improving systems of care, family supports, and social determinants of health. Rural Behavioral Health Workforce Centers Northern Border Region aims to create a collaborative approach to provide career and workforce training activities in the NBRC region, in order to assist individuals affected by a substance use disorder. The Rural Tribal COVID-19 Response Program is an initiative focused on coronavirus disease (COVID-19) by the Health Resources and Services Administration (HRSA). The purpose of the Rural Tribal COVID-19 Response Program is to provide maximum flexibility in this funding to assist tribes, tribal organizations, urban Indian health organizations, or health service providers to tribes to prevent, prepare for, and respond to the evolving needs of the novel coronavirus disease (COVID-19) in rural communities. The Rural Health Clinic Vaccine Confidence Program is an initiative by the Health Resources and Services Administration focused on COVID-19 and other infectious diseases. The purpose of this program is to address COVID-19 related health equity gaps and improve health care in rural areas by engaging Rural Health Clinics (RHCs) to improve vaccine confidence and counter vaccine hesitancy in rural communities.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Rural Health Care Services Outreach, Rural Health Network Development and Rural Health Network Development Planning Programs: Applicants applying to these programs can be rural public or rural nonprofit private entities. These include faith-based organizations, health departments, Tribal governments whose grant-funded activities are conducted in a federally recognized Tribal area, organizations that serve migrant and seasonal farm- workers in rural areas etc. that include three or more health care providers that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county. Small Health Care Provider Quality Improvement Program: This program is available to rural public or rural nonprofit private health care provider or provider of health care services, such as a critical access hospital or a rural health clinic; or network of small rural providers (including faith-based organizations and federally recognized Tribal governments) that deliver health care services in rural areas. Eligible applicants must be located in a non-metropolitan county or in a rural census tract of a metropolitan county and all services must be provided in a non-metropolitan county or rural census tract. Delta States Rural Development Network Program: This program is available to rural, nonprofit or public entities located in the eight Delta States (Alabama, Arkansas, Illinois, Kentucky, Louisiana, Mississippi, Missouri, and Tennessee) that represent a consortium of three or more diverse organizations that deliver health care services in eligible rural Delta counties/parishes. Rural Health Opioid Program: This program is available to rural public or rural nonprofit private entities (including faith-based organizations and federally recognized Tribal governments) that include three or more health care providers. The administrative headquarters/lead applicant of the organization must be located in a rural county or a rural zip code of an urban county. Rural HIV/AIDS Planning Program: This program is available to rural public or rural nonprofit entities located in the seven states with a disproportionate number of HIV diagnosis in rural areas (Alabama, Arkansas, Kentucky, Mississippi, Missouri, Oklahoma, and South Carolina) that represent a consortium of three or more diverse organizations that deliver health care services in these eligible rural states. Rural Health Care Coordination Program This program is available to rural public or rural nonprofit private entities. These include faith-based organizations, health departments, Tribal governments whose

grant-funded activities are conducted in a federally recognized Tribal area, organizations that serve migrant and seasonal farm- workers in rural areas etc. that include three or more health care providers that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county. Delta Region Community Health Systems Development Program: Eligible applicants include domestic public, private, and non-profit organizations, including tribes and tribal organizations, and faith-based and community-based organizations. Delta Region Rural Health Workforce Training Program: Eligible applicants include domestic public, private, and non-profit organizations, including tribes and tribal organizations, faith-based and community-based organizations, and accredited domestic institutions of higher education including public or private non-profit educational entities, such as four-year colleges and universities, community colleges, technical colleges, vocational schools, Historically Black Colleges and Universities (HBCUs), Hispanic Serving Institutions (HSIs), and Tribal Colleges and Universities (TCUs) located in one of the eight states in the DRA region. Rural Maternity and Obstetrics Management Strategies Program: Applicants applying to these programs can be public or nonprofit private entities. These include faith-based organizations, health departments, Tribal governments whose grant-funded activities are conducted in a federally recognized Tribal area, organizations that serve migrant and seasonal farm- workers in rural areas etc. that are part of a network. A network is defined as an organizational arrangement among three or more separately owned domestic public and/or private entities, including the applicant organization. For the purposes of this program, the applicant must have a network composition that includes: 1) at least two rural hospitals or CAHs; 2) at least one health center under section 330 of the Public Health Service Act (Federally Qualified Health Center (FQHC) or FQHC look-alike); 3) state Home Visiting and Healthy Start Programs if regionally available; and 4) the state Medicaid agency. Rural Communities Opioids Response Program - Planning: Eligible applicants include all domestic public or private, non-profit or for-profit, entities, including faith-based and community-based organizations, tribes, and tribal organizations, who will serve rural communities at the highest risk for substance use disorder. To ascertain rural eligibility, please refer to <http://datawarehouse.hrsa.gov/RuralAdvisor/>. This website can be searched by eligibility by county and by address. Federally-recognized Tribal Government and Native American Organizations are eligible to apply as long as they meet the eligibility requirements. Rural Communities Opioid Response Program Implementation Eligible applicants include all domestic public or private, non-profit or for-profit entities, including faith-based and community-based organizations, tribes, and tribal organizations. The applicant organization may be located in an urban or rural area and should have the staffing and infrastructure necessary to oversee program activities, serve as the fiscal agent for the award, and ensure that local control for the award is vested in the targeted rural communities. All activities supported by RCORP-Implementation must exclusively occur in HRSA-designated rural counties or rural census tracts in urban counties, as defined by the Rural Health Grant Eligibility Analyzer. Rural Communities Opioid Response Program Neonatal Abstinence Syndrome Eligible applicants include all domestic public or private, non-profit, or for profit entities including accredited, academic institutions. Domestic faith-based and community-based organizations, tribes, and tribal organizations are eligible to apply. Applicants from Puerto Rico, Guam, America Samoa, the U.S. Virgin Islands, and the North Mariana Islands are eligible to apply. The applicant organization may be located in an urban or rural area. However, all activities supported by this program must exclusively occur in HRSA-designated rural counties or rural census tracts in urban counties, as defined by the Rural Health Grants Eligibility Analyzer, and serve the target populations. Rural Communities Opioid Response Program-Psychostimulant Support Eligible applicants include all domestic public or private, non-profit or for-profit entities, including faith-based and community-based organizations and federally-recognized tribes and tribal organizations. Applicants from Puerto Rico, Guam, America Samoa, the U.S. Virgin Islands, and the Northern Mariana Islands are eligible to apply. The applicant organization may be located in an urban or rural area and should have the staffing and infrastructure necessary to oversee program activities, serve as the fiscal agent for the award, and ensure that local control for the award is vested in the targeted rural communities. All activities supported by RCORP-PS (i.e., all service delivery sites) must exclusively occur in HRSA-designated rural counties or rural census tracts in urban counties, as defined by the Rural Health Grants Eligibility Analyzer Rural Tribal COVID-19 Response Program- All tribes, tribal organizations, urban Indian health organizations, or health service providers

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to tribes are eligible to apply. Rural Health Clinic Vaccine Confidence Rural health clinics as defined in section 1861(aa)(2) of the Social Security Act (42 U.S.C. 1395x(aa)(2)).

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Local; Rural; Medically underserved populations in rural areas will receive expanded services in rural communities where they did not previously exist.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 6-9 months.

Appeals:

Not Applicable.

Renewals:

Other. Other. Rural Health Care Services Outreach and Rural Health Network Development awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance. Rural Network Allied Health Training Program awards may be made up for 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of their application, availability of appropriated funds and awardee satisfactory performance. This is a one-time only funding opportunity, the funding period ends FY 2017 (August, 2018). Rural Outreach Benefits Counseling awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of their application, availability of appropriated funds and awardee satisfactory performance. This is a one-time only funding opportunity, the funding period ends FY2017 (July 2018). Rural Health Care Coordination Network Partnership Program awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of their application, availability of appropriated funds and awardee satisfactory performance. This is a one-time only funding opportunity,

the funding period ends FY2017 (August, 2018). Rural Health Network Development Planning Awards are available for one year project periods. The Small Health Care Provider Quality Improvement Program awards may be up to three year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance. The Delta States Rural Development Network awards may be up to three year project periods. After initial awards, projects may be renewed non-competitively contingent upon availability approval of an application, availability of appropriated funds, and awardees satisfactory performance. Rural Health Opioid Program awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance. The Delta Region Community Health Systems Development award may be up to 5-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance. The Delta Region Rural Health Workforce Training Program awards may be up to 5 year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance. The Rural Health Clinic Vaccine Confidence awards may be made for a 1-year period of performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are made annually and funds are available to awardees on an as needed basis throughout the budget period. Method of awarding/releasing assistance: other

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the notice of funding opportunity and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrsc hedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. A Non-competing Continuation report is required once a year throughout their project period. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the notice of funding opportunity and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrsc hedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more

ASSISTANCE LISTINGS DESCRIPTIONS

in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. A Non-competing Continuation report is required once a year throughout their project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$10,000,000.00; FY 22 est \$10,000,000.00; Project Grants FY 20 \$12,991,064.00; FY 21 est \$13,041,328.00; FY 22 est \$13,008,232.00; Project Grants FY 20 \$12,000,048.00; FY 21 est \$12,550,048.00; FY 22 est \$12,000,048.00; Project Grants FY 20 \$6,402,532.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$6,084,692.00; FY 21 est \$6,105,255.00; FY 22 est \$8,000,000.00; Project Grants FY 20 \$1,999,838.00; FY 21 est \$1,996,763.00; FY 22 est \$2,000,000.00; Project Grants FY 20 \$11,671,205.00; FY 21 est \$12,777,425.00; FY 22 est \$12,912,200.00; Project Grants (Cooperative Agreements) FY 20 \$10,000,000.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$91,000,000.00; FY 21 est \$78,000,000.00; FY 22 est \$50,000,000.00; Project Grants FY 20 \$6,000,000.00; FY 21 est \$6,000,000.00; FY 22 est \$10,000,000.00; Project Grants FY 20 \$2,383,996.00; FY 21 est \$5,391,922.00; FY 22 est \$5,396,108.00; Project Grants FY 20 \$16,305,246.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$1,000,000.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$2,494,790.00; FY 21 est \$2,492,960.00; FY 22 est \$2,499,563.00; Project Grants FY 20 \$4,934,449.00; FY 21 est \$5,047,169.00; FY 22 est \$5,014,837.00; Project Grants FY 20 \$0.00; FY 21 est \$7,500,000.00; FY 22 est \$13,000,000.00; Project Grants FY 20 \$0.00; FY 21 est \$1,500,000.00; and FY 22 est \$1,500,000.00; Project Grants FY 20 \$0.00; FY 21 est \$100,000,000.00; and FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$2,000,000.00; and FY 22 est \$2,000,000.00; Project Grants FY 20 \$0.00; FY 21 est \$760,000.00; and FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00.

Range and Average of Financial Assistance:

Program: Rural Health Care Services Outreach Program; Maximum award: \$250,000; Minimum award: \$94,339; Average Award: \$209,465. Program: Rural Health Network Development Planning Program; Maximum award: \$100,000; Minimum award: \$98,415; Average Award: \$99,838. Program: Rural Health Network Development Program; Maximum award: \$300,000; Minimum award: \$217,410; Average Award: \$296,394. Program: Delta States Rural Development Network Program; Maximum award: \$1,188,684; Minimum award: \$622,644; Average Award: \$1,000,004. Program: Small Health Care Provider Quality Improvement Program; Maximum award: \$200,000; Minimum award: \$144,191; Average Award: \$196,943. Program: Rural Health Opioid Program; Maximum award: \$250,000; Minimum award: \$173,934; Average Award: \$246,635.33. Program: Rural Health Care Coordination Program; Maximum award: \$250,000; Minimum award: \$245,504; Average Award: \$249,296. Program: Delta Region Community Health Systems Development; Maximum award \$10,000,000;

Minimum award \$10,000,000. Average Award: \$10,000,000. Program: Delta Region Rural Health Workforce Training Program; Maximum award: \$600,000; Minimum award \$300,000. Average Award: \$500,000. Program: Rural Maternity and Obstetrics Management Strategies Program; Maximum award: \$800,000; minimum awards: \$795,002; Average Award: \$797,307. Program: Rural Northern Border Region Program; Maximum award: \$190,000; Minimum award: \$TBD; Average Award: \$TBD. Program: Rural Communities Opioid Response Program- Planning; Maximum award \$200,000; Minimum award \$200,000. Average award: \$200,000. Program: Rural Communities Opioid Response Program- Implementation; Maximum award \$1,000,000; Minimum award \$1,000,000. Average award: \$1,000,000. Program: Rural Communities Opioid Response Program- Medication Assisted Treatment Expansion; Maximum award: \$725,000; Minimum award: \$449,335. Average award: \$687,617. Program: Rural Communities Opioid Response Program- Technical Assistance; Maximum award: \$6,000,000; Minimum award: \$6,000,000. Average award: \$6,000,000. Program: Rural Communities Opioid Response Program- Neonatal Abstinence Syndrome; Maximum award: \$231,199; Minimum award: \$103,546. Average award: \$164,482. Program: Rural Communities Opioid Response Program- Psychostimulant Support; Maximum award: \$500,000; Minimum award: \$500,000; Average award: \$500,000. Program: Rural Behavioral Health Workforce Centers Northern Border Region; Maximum award: \$375,000; Minimum award: TBD; Average award: TBD. Program: Rural Tribal COVID-19 Response; Maximum award: \$300,000; Minimum award: \$86,917; Average award: \$289,572. Program: Rural Health Clinic Vaccine Confidence; Maximum award: TBD; Minimum award: TBD; Average award: TBD

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Delta Region Community Health Systems Development Program: The program has supported 32 rural communities across the eight Delta Region states, providing comprehensive and individual technical assistance to participating rural hospitals and clinics. Outcomes from technical assistance services include greater collaboration and communication among providers and leaders, improved revenue cycle processes and enhanced reimbursement, and greater adoption of best practices improving operational efficiency. Rural Communities Opioid Response: Programs under the Rural Communities Opioid Response Program (RCORP) Initiative have served more than 1420 rural counties across 46 states and two territories since 2018. Early performance data collected from RCORP grantees shows that more than 450,000 individuals have been screened for substance use disorder and more than 23,000 individuals have received medication-assisted treatment services as a result of RCORP funding. Rural Tribal COVID-19 Response: Key accomplishments among grantees of the Rural Tribal COVID-19 Response program include increased engagement in outreach and education related to COVID-19 within tribal communities; increased utilization and investment in technology and equipment; establishment and use of COVID-19 testing sites; improvements in staffing levels to better allow the tribal communities to respond to COVID-19; and enhanced vaccine preparation activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Ms. Robyn Williams, Rural Health Network Development Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W14C 5600 Fishers Lane, Rockville, MD 20857. JBerube@hrsa.gov, Telephone: (301) 443-4281. Ms. Kanokphan Mew Pongsiri, Small Health Care Provider Quality Improvement Program Coordinator Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W10D, 5600 Fishers Lane, Rockville, MD 20857. KPongsiri@hrsa.gov, Telephone (301) 443-2752. Ms. Nkem Osian, Rural Health Care Coordination Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W10D, 5600 Fishers Lane,

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Rockville, MD 20857. Nkemosian@hrsa.gov Telephone (301) 443-2751. Ms. Jillian Causey, Rural Health Network Development Planning Program; Rural HIV/AIDS Planning Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W17A, 5600 Fishers Lane, Rockville, MD 20857. JCausey@hrsa.gov, Telephone : (301) 443-1493. Ms. Katherine Lloyd, Rural Health Care Services Outreach Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W23A, 5600 Fishers Lane, Rockville, MD 20857. KLloyd@hrsa.gov. Telephone: (301) 443-2933. Ms. Patricia Burbano, Delta States Rural Development Network Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W50, 5600 Fishers Lane, Rockville, MD 20857. PBurbano@hrsa.gov. Telephone: (301) 443-7238. Ms. Cassandra Phillips, Rural Maternity and Obstetrics Management Strategies Program Coordinator, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W45-C, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. CPhillips@hrsa.gov. Telephone: (301) 945-3940. Ms. Jillian Causey, Rural Health Network Development Planning Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W17A, 5600 Fishers Lane, Rockville, MD 20857. JCausey@hrsa.gov, Telephone: (301) 443-1493. Ms. Maribel Nunez, Rural Health Opioid Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W21A, 5600 Fishers Lane, Rockville, MD 20857. mnunez@hrsa.gov, Telephone (301) 443-0466. Ms. Maribel Nunez, Rural Northern Border Region Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W21A, 5600 Fishers Lane, Rockville, MD 20857. mnunez@hrsa.gov, Telephone (301) 443-0466. Ms. Suzanne Snyder, Delta Region Community Health Systems Development Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W54, 5600 Fishers Lane, Rockville, MD 20857. Ssnnyder1@hrsa.gov, Telephone: (301) 443-0178. Ms. Rachel Moscato, Delta Region Rural Health Workforce Training Program, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W54, 5600 Fishers Lane, Rockville, MD 20857. RMoscato@hrsa.gov, Telephone: (301) 443-2724. Ms. Mebrat Tekle, Rural Communities Opioid Response Program- Planning, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W45A, 5600 Fishers Lane, Rockville, MD 20857. mtekle@hrsa.gov, Telephone: (301) 945-0844. Ms. Lea Carroll, Rural Communities Opioid Response Program- Implementation, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W50, 5600 Fishers Lane, Rockville, MD 20857. LCarroll@hrsa.gov, Telephone: (301) 443-3799. Ms. Sabrina Frost, Rural Communities Opioid Response Program- Medication Assisted Treatment Expansion, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W09A, 5600 Fishers Lane, Rockville, MD 20857. sfrost@hrsa.gov, Telephone: (301) 945-5131. Ms. Marcia Colburn, Rural Communities Opioid Response Program- Technical Assistance, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 17W13A 5600 Fishers Lane, Rockville, MD 20857. MColburn@hrsa.gov. Telephone: (301) 443-3261. Ms. Monica Rousseau, Rural Communities Opioid Response Program- Neonatal Abstinence Syndrome, Federal Office of Rural Health Policy, Health Resources and Services Administration, Room 10W57A 5600 Fishers Lane, Rockville, MD 20857. Mrousseau@hrsa.gov. Telephone: (301) 945-0928. Ms. Kim Nesbitt, Rural Communities Opioid Response Program-Psychostimulant Support, Federal Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Rockville, MD 20857, knesbitt@hrsa.gov, Telephone: 301.443.4271. Ms. Sarah O'Donnell, Rural Behavioral Health Workforce Centers Northern Border Region, Federal Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Rockville, MD 20857, sodonnell@hrsa.gov, Telephone: (240) 485-8245. Ms. Erin Towner, Rural Tribal COVID-19 Response, Federal Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 17W55A, Rockville, MD 20857, etowner@hrsa.gov, Telephone: (301) 443-3829. Program: Rural Health Clinic Vaccine Confidence - RHCvaxConfidence@hrsa.gov; (301) 443-0835

Headquarters Office:

Federal Office of Rural Health Policy,
5600 Fishers Lane, Room 17W59-D,
Rockville MD 20857

Email: forhp@hrsa.gov
Phone: 3014430835
Fax:

Website Address:

<http://www.hrsa.gov/ruralhealth/>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are based on the need of the project, innovation, realistic and measurable goals and objectives, clearly defined roles of each network member, strength of applicant's management plan, community involvement, level of local commitment, costs, and program evaluation plan. Specific criteria will be included in the guidance for each program.

93.913 Grants to States for Operation of State Offices of Rural Health

The State Offices of Rural Health (SORH) Program,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 338J; 42 U.S.C. 254r, as amended by sec. P.L. 115-408.,

OBJECTIVES:

The purpose of the State Offices of Rural Health (SORH) grant program is to assist states in strengthening rural health care delivery systems by maintaining a focal point for rural health within each state. The program provides funding for an institutional framework that links small rural communities with state and federal resources to help develop long-term solutions to rural health problems

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This is a matching grant program with states to support the establishment and ongoing operation of Offices of Rural Health. While a wide range of activities can be conducted to address unique state needs, each State Office of Rural Health must: (1) Establish an information clearinghouse for collecting and disseminating information, (2) coordinate state and federal rural health programs throughout the state for the purpose of avoiding duplication, and (3) provide technical assistance that will improve participation in state and federal programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Grants: All fifty states may apply. Each state may only submit one application. Only current SORH awardees, as designated by the Governor, may apply.

Beneficiary Eligibility:

State; Local; Rural; Underserved populations in rural areas; facilities and services in rural areas.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

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Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 6 months.

Appeals:

Not Applicable.

Renewals:

Other. Awardees may request funding beyond an initial project period by submitting a competing continuation application during a regular competing application cycle. All competitive cycles are contingent upon availability of funds for such purposes.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. States must match not less than \$3 for each \$1 of federal funds provided in the grant. Non-federal contributions may be in cash or in kind, fairly evaluated, including plan, equipment, or services. The Secretary may waive or reduce the non-Federal contribution if the Secretary determines that matching funds would limit the SORHs ability to carry out the purposes of the program. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant applications should be submitted for up to a 5-year project period. Awards are normally made in a lump sum for an entire 12-month budget period. Payments are made annually either through a letter of credit or cash demand system. Method of awarding/releasing assistance: other

Reports:

An annual Performance Information Management System report is required within 60 days of end of budget period. An annual Performance Information Management System report is required within 60 days of end of budget period. No cash reports are required. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: <http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrscchedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A

to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Awardees are required to submit performance monitoring data within 60 days after the end of the budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$10,963,593.00; FY 21 est \$11,017,629.00; FY 22 est \$11,017,629.00;

Range and Average of Financial Assistance:

\$179,270 - \$230,000

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: SORH have noted successful collaborations within and across regions. For example, after consultation with Oregon SORH on their successful model, the Kentucky SORH developed the Elder Wellness and Enrichment Initiative, which offers funding to nonprofit rural health care organizations and community-based organizations to innovate or enhance services for older adults to help them age in place in rural Kentucky.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Sarah Ndiang'ui Program Coordinator, State Office of Rural Health Program Federal Office of Rural Health Policy, Health Resources and Services Administration Public Health Service Fishers Lane, Rockville, MD 20857 Telephone: (301) 443-0876 E-mail: sndiangui@hrsa.gov

Headquarters Office:

The Federal Office of Rural Health Policy, 5600 Fishers Lane, Room 17W45C, Rockville MD 20857
Email: sndiangui@hrsa.gov
Phone: (301) 443-0876

Website Address:

<http://www.hrsa.gov/ruralhealth>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(Grants) Grant applications will be evaluated on the basis of the following criteria: (1) The extent to which the application is responsive to the requirements and purposes of the program; (2) the extent to which the applicant has developed measurable goals, objectives, and an evaluation plan for the required, and any

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discretionary, activities; (3) the extent to which the Office is coordinated with, and has the cooperation of, other health entities and activities within the state; (4) the strength of the applicant's plans for administrative and financial management of the Office; (5) the reasonableness of the budget proposed for the Office; and (6) the likelihood that the Office will be continued after federal grant support is completed.

93.914 HIV Emergency Relief Project Grants

Ryan White HIV/AIDS Program (RWHAP) Part A Emergency Relief for Areas with Substantial Need for Services,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 300ff-11 300ff-20, and 300ff-121.,

OBJECTIVES:

To provide direct financial assistance to Eligible Metropolitan Areas (EMAs) and Transitional Grant Areas (TGAs) that have been the most severely affected by the Human Immunodeficiency Virus (HIV) epidemic to enhance access to a comprehensive, effective and cost efficient continuum of high quality, community-based care for low-income individuals and families with HIV and to strengthen strategies to reach minority populations. A comprehensive continuum of care includes the 13 core medical services specified in legislation and appropriate support services that assist people with HIV in accessing treatment for HIV/AIDS that is consistent with the Department of Health and Human Service (HHS) Treatment Guidelines. Comprehensive HIV/AIDS care beyond these core medical services may include supportive services that meet the criteria of enabling individuals and families living with HIV to access and remain in primary medical care to improve their medical outcomes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Not less than 75 percent of grant funds remaining after reserving funds for administration and clinical quality management must be used to provide core medical services. Core medical services include: AIDS Drug Assistance Program Treatments, AIDS Pharmaceutical Assistance, Early Intervention Services, Health Insurance Premium and Cost Sharing Assistance for Low-Income Individuals, Home and Community-Based Health Services, Home Health Care, Hospice, Medical Case Management (including treatment adherence services), Medical nutritional Therapy, Mental Health Services, Oral Health Care, Outpatient/Ambulatory Health Services, Substance Abuse Outpatient Care. The remaining 25% may be used for support services, including: Child Care Services, Emergency Financial Assistance, Food Bank/Home Delivered Meals, Health Education/Risk Reduction, Housing, Legal Services, Linguistic Services, Medical Transportation, Non-Medical Case Management Services, Outreach Services, Permanency Planning, Psychosocial Support Services, Referral for Health Care and Support Services, Rehabilitation Services, Respite Care, and Substance Abuse Services (residential) that support a person with HIV to achieve better health outcomes. An EMA/TGA shall use a percentage of the grant, determined by the percentage of women, infants, children, and youth with HIV/AIDS in the jurisdiction, to provide core medical and support services to infants, children, youth, and women with HIV. Funds may not be used to make payments for any item or service to the extent that payment has been made, or can reasonably be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by any entity that provides health services on a prepaid basis (except for a program administered by or providing the services to the Indian Health Service). Funds may not be used to purchase or improve land, or to purchase, construct or make permanent improvement to any building except for minor remodeling. Funds may not be used to make cash payments to intended recipients of Ryan White HIV/AIDS Program (RWHAP) services. Funds may not be used for pre-exposure prophylaxis (PrEP) or non-occupational post-exposure prophylaxis (nPEP) medications or the related medical services, as outlined in the June 22, 2016

Program Letter. Additionally, the purchase of sterile needles or syringes for the purposes of hypodermic injection of any illegal drug is not allowable. Some aspects of Syringe Services Programs are allowable with HRSA's prior approval and in compliance with HHS and HRSA policy (see: <https://www.hiv.gov/federal-response/policies-issues/syringe-services-programs>). An EMA/TGA may not use more than 10 percent of amounts received under this grant for administration.

Clinical Quality Management expenditures are not included in the administrative or planning and evaluation costs, but are subject to a cap of the lesser of 5 percent of amounts received under the grant or \$3,000,000. In addition, the aggregate total of administrative expenditures for subrecipients, including all indirect costs, may not exceed ten (10) percent of the aggregate amount of all subawards.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); RWHAP Part A recipients that were classified as an EMA or as a TGA in fiscal year (FY) 2007 and continue to meet the statutory requirements are eligible to apply for these funds; new areas that meet the threshold requirements are also eligible. For an EMA, this is more than 2,000 cases of AIDS reported and confirmed during the most recent five calendar years, and for a TGA, this is at least 1,000, but fewer than 2,000 cases of AIDS reported and confirmed during the most recent period of five calendar years for which such data are available. Additionally, they must not have fallen below, for three consecutive years, the required incidence levels already specified AND required prevalence levels (cumulative total of living cases of AIDS reported to and confirmed by the Director of the Centers for Disease Control and Prevention (CDC) as of December 31 of the most recent calendar year for which such data are available). For an EMA, this is 3,000 living cases of AIDS, and for a TGA, this is 1,500 living cases of AIDS, except certain areas which have a cumulative total of at least 1,400 living cases of AIDS and which have no more than 5 percent of the total from formula grants awarded unobligated as of the end of the most recent fiscal year. Eligible metropolitan areas (EMA) with a population of 50,000 or more individuals for which the CDC has reported a cumulative total of more than 2,000 AIDS cases for the most recent period of 5 calendar years include: Atlanta, Georgia; Baltimore, Maryland; Boston, Massachusetts; Chicago, Illinois; Dallas, Texas; Detroit, Michigan, Ft. Lauderdale, Florida; Houston, Texas; Los Angeles, California; Miami, Florida; Nassau/Suffolk Counties, New York; New Haven, Connecticut; New Orleans, Louisiana; New York, New York; Newark, New Jersey; Orlando, Florida; Philadelphia, Pennsylvania; Phoenix, Arizona; San Diego, California; San Francisco, California; San Juan, Puerto Rico; Tampa-St. Petersburg, Florida; Washington, DC; and West Palm Beach, Florida. Transitional Grant areas (TGA) with a population of 50,000 or more individuals for which the CDC has reported a cumulative total of at least 1,000, but not more than 1,999 AIDS cases for the more most recent five year period include: Austin, Texas; Baton Rouge, Louisiana; Bergen-Passaic, New Jersey; Charlotte, North Carolina; Cleveland, Ohio; Columbus, Ohio; Denver, Colorado; Fort Worth, Texas; Hartford, Connecticut; Indianapolis, Indiana; Jacksonville, Florida; Jersey City, New Jersey; Kansas City, Missouri; Las Vegas, Nevada; Memphis, Tennessee; Middlesex, New Jersey; Minneapolis, Minnesota; Nashville, Tennessee; Norfolk, Virginia; Oakland, California; Orange County, California; Portland, Oregon; Riverside-San Bernardino, California; Sacramento, California; St. Louis, Missouri; San Antonio, Texas; San Jose, California; and Seattle, Washington.

Beneficiary Eligibility:

Individual/Family; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Other Urban; Individuals and families living with HIV disease

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 45 CFR 75, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The following 2 CFR part 200 policy requirements apply to this assistance listing: Subpart B, General provisions Subpart C, Pre-Federal Award Requirements and Contents of Federal Awards Subpart D, Post Federal; Award Requirements Subpart E, Cost Principles Subpart F, Audit Requirements The following 2 CFR part 200 policy requirements are excluded from coverage under this assistance listing: Not Applicable 45 CFR 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window). Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Two-thirds of the funds available are disbursed on a formula basis as required by the legislation, subject to a completeness review of required information specified in the HRSA notice of funding opportunity issued under this CFDA program. The remaining one-third of available funds are disbursed as a competitive, supplemental grant, based on criteria specified by the legislation. All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. In addition, Minority AIDS Initiative (MAI) funds available under Section 2693 of the Public Health Service Act are disbursed on a formula basis together with the Formula and Supplemental awards as required by the legislation. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 42 U.S.C., Chapter 300ff-13, Part (a), Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The RWHAP legislation requires Part A recipients to maintain, as a Condition of Award, political subdivision expenditures within the eligible area for HIV-related core medical services and support services at a level equal to the 1-year period preceding the fiscal year for which the recipient is applying to receive a Part A grant. MAI formula funds are based on the number of reported and confirmed living minority cases of HIV/AIDS for the most recent calendar year..

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month period of performance. Method of awarding/releasing assistance: other

Reports:

See Notice of Funding Opportunity (NOFO) and Notice of Award (NoA) for additional details on the program reports listed below. Program Terms Report (Allocations Report, Consolidated List of Contracts, Expenditure Report) Program Submission Annual Progress Report Carryover Request Ryan White HIV/AIDS Program Services Report (RSR) Final Performance Report (if applicable) The

recipient must submit the quarterly electronic Federal Cash Transaction Report (FFR-FCTR) in the Payment Management System (PMS) within 30 days of the end of each calendar quarter. Annual progress and annual financial reports are required. Quarterly financial cash transaction reports are required within 30 days of the end of each calendar quarter. The final performance report and final financial status reports are due 90 days from the end of the period of performance. Reports documenting services and expenditures are required. Recipients must report expenditures for WICY for the previous budget year within 90 days of the end of the grant year as mandated by the RWHAP. Recipients must submit a Federal Financial Report (FFR) or SF 425 within 150 days after the end of the budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$626,910,358.00; FY 21 est \$621,430,104.00; FY 22 est \$630,000,000.00;

Range and Average of Financial Assistance:

FY21: \$2,774,766 to \$90,652,054; Average = \$11,950,579.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: According to the 2019 RWHAP Services Report, RWHAP recipients and subrecipients in cities that receive Part A funding provided health care and support services for 371,667 clients (this includes services provided by Part A recipients and subrecipients who receive RWHAP Parts A, B, C and/or D funding). 87.3 percent of RWHAP clients living in Part A jurisdictions, with available data on viral load, achieved viral suppression in 2019. Viral suppression was based on data for people with HIV who had at least one outpatient ambulatory health service visit and at least one viral load test during the measurement year. Viral suppression was defined as the most recent reported HIV RNA test result of <200 copies/mL.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75. As per 45 CFR part 75.201 and 301, recipients may use a fixed-award instrument to obtain services based on a reasonable estimate of actual cost and based on performance and results related to improvement of program outcomes. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Division of Metropolitan HIV/AIDS Programs Chrissy Abrahms-Woodland, Director, 5600 Fishers Lane, Room 9W12,

ASSISTANCE LISTINGS DESCRIPTIONS

Rockville MD 20857
Email: Chrissy.Abrahms@hrsa.hhs.gov
Phone: (301) 443-1373

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.917 HIV Care Formula Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for supplemental funds must meet the review criteria outlined in the Notice of Funding Opportunity for the applicable period of performance.

93.917 HIV Care Formula Grants

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 300ff-21 32, 300ff-121, and 42 U.S.C. 243(c), Title ,

OBJECTIVES:

To enable States and Territories to improve the quality, availability, and organization of a comprehensive continuum of HIV/AIDS health care, treatment, and support services for eligible individuals with Human Immunodeficiency Virus (HIV) disease.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Not less than 75 percent of grant funds remaining after reserving funds for administration and clinical quality management must be used to provide core medical services. Core medical services include: outpatient ambulatory health services, AIDS Drug Assistance Program (ADAP), local pharmaceutical assistance, oral health care, health insurance premium and cost-sharing, home health care, home and community-based health services, hospice services, early intervention services, medical nutritional therapy, substance abuse outpatient care, mental health services, and medical case management, including treatment adherence services. The remaining 25% may be used for support services, including: non-medical case management, child care services, emergency financial assistance, food bank/home delivered meals, health education/risk reduction, housing services, linguistic services, psychosocial support services, rehabilitation services, respite care, and transportation that support a person with HIV to achieve better health outcomes. Funds may be used to establish and operate HIV Care Consortia that shall conduct planning, funding, monitoring, and reporting on behalf of the recipient. HIV Care Consortia are categorized under the support services category. A State/Territory shall use a percentage of the grant, determined by the percentage of women, infants, children, and youth with HIV/AIDS in the State, to provide core medical and support services to infants, children, youth, and women with HIV. Funds may not be used to make payments for any item or service to the extent that payment has been made, or can reasonably be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by any entity that provides health services on a prepaid basis (except for a program administered by or providing the services to the Indian Health Service). Funds may not be used to purchase or improve land, or to purchase, construct or make permanent improvement to any building except for minor remodeling. Funds may not be used to make cash payments to intended recipients of Ryan White HIV/AIDS Program (RWHAP) services. Funds may not be used for pre-exposure prophylaxis (PrEP) or non-occupational post-exposure prophylaxis (nPEP) medications or the related medical services. Additionally, the purchase of sterile needles or syringes for the purposes of hypodermic injection of any illegal drug is not allowable. Some aspects of Syringe Services Programs are allowable with HRSA's prior approval and in compliance with HHS and HRSA policy (see: <https://www.hiv.gov/federal->

response/policies-issues/syringe-services-programs). A State/Territory may not use more than 10 percent of amounts received under this grant for administration.

However, if a State/Territory receives the minimum allotment, it may use more than 10% of the award if it is required to support one full-time equivalent employee for these activities. A State/Territory may not use more than 10 percent of amounts received under the grant for planning and evaluation activities. When combined, a State/Territory may not use more than 15 percent on administration, planning and evaluation. Clinical quality management (CQM) expenditures are not included in the administrative or planning and evaluation costs, but are subject to a cap of the lesser of 5 percent of amounts received under the grant or \$3,000,000. In addition, the aggregate total of administrative expenditures for subrecipients, including all indirect costs, may not exceed ten (10) percent of the aggregate amount of all subawards. Seventy-five (75) percent of grant funds must be obligated within 120 days of the budget period start date.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Several States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Individual/Family; Individuals with HIV.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity (NOFO) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 45 CFR 75, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

As required by the legislation, RWHAP Part B Base, ADAP Base, and Emerging Communities formula awards are based on the number of reported living cases of HIV/AIDS in the State or Territory in the most recent calendar year as confirmed by CDC and submitted to HRSA. Similarly, for recipients applying for Minority AIDS Initiative (MAI) formula funds, awards are based on the number of reported living minority HIV/AIDS cases for the most recent calendar year as confirmed by CDC and submitted to HRSA. ADAP Supplemental grants are awarded by formula based on living HIV/AIDS cases to states which meet any of the criteria listed in that section of the NOFO for the purpose of providing medications or insurance assistance for people with HIV. The ADAP Emergency Relief Funds (ERF) awards, for the purpose of cost containment activities for preventing, reducing or eliminating ADAP waiting lists, are disbursed via a formula based on a competitive application process with need based criteria listed in the NOFO. In a separate, competitive application process, RWHAP Part B Supplemental funds are disbursed based on criteria specified by the legislation. All qualified applications for ADAP ERF and Part B Supplemental funds will be forwarded to an objective review committee (ORC). Based on the recommendations of the ORC, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Varies.This program has matching requirements; see agency notice of funding opportunity for further details. This program has maintenance of effort (MOE) requirements, see funding agency for further details.This program has MOE requirements, see funding agency for further details. Additional Information: Recipients must maintain State expenditures for HIV-related activities at a level equal to the fiscal year preceding the application deadline for the RWHAP Part B grant. This program has matching requirements; see agency notice of funding opportunity for further details..

Length and Time Phasing of Assistance:

Grants are awarded for a 5-year period of performance composed of five 12-month budget periods. Method of awarding/releasing assistance: other

Reports:

Please refer to the NOFO and Notice of Award for any applicable program, data, and fiscal reports. No cash reports are required. Recipients must submit a Federal Financial Report (FFR) or SF 425 within 90 days after the end of the budget period. Expense reports are not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 45 CFR 75, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted 45 CFR 75.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$1,256,607,592.00; FY 21 est \$1,315,005,000.00; FY 22 est \$1,315,005,000.00;

Range and Average of Financial Assistance:

FY 21: \$42,523 - \$146,344,356 Average \$19,763,315

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The RWHAP AIDS Drug Assistance Programs (ADAPs) provided access to life-saving medications or assistance with health care coverage for 296,930 people with HIV in 2019 (an increase of 11,829 from the previous year). ADAPs have been successful in the efforts to engage and provide services to disproportionately impacted populations. Nearly three-quarters of ADAP clients are from racial/ethnic minority populations. In 2018, 39.1% of clients self-identified as Black/African American, 26.4% as Hispanic/Latino, and less than 2% each as Asian, American Indian/Alaska Native, Native Hawaiian/Pacific Islander, and people of multiple races. More than half of female ADAP clients are Black/African American. In addition, 43.5% of clients served by ADAPs have incomes below 100% of the Federal Poverty Level.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the Uniform Administrative Requirements, Cost

Principles, and Audit Requirements at 45 CFR part 75.HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Susan Robilotto, D.O., Director HIV/AIDS Bureau,
5600 Fishers Lane, Room Mail Stop 09W52,
Rockville MD 20857
Email: srobilotto@hrsa.gov
Phone: (301) 443-6554

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.914 HIV Emergency Relief Project Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants will be awarded to applicants that submit: (1) an acceptable detailed description of the HIV-related services provided in the State to individuals and families with HIV disease during the year preceding the year for which the grant is requested, and the number of individuals and families receiving such services; (2) a comprehensive plan for the organization and delivery of HIV health care and support services to be funded with assistance received under this part that shall include a description of the purposes for which the State intends to use such assistance; (3) an assurance that the public health agency administering the grant for the State will periodically convene a meeting of individuals with HIV, representatives of recipients receiving both HIV Emergency Relief and HIV CARE Grants, providers, and public agency representatives to develop a statewide coordinated statement of need; and (4) an assurance by the State that: (A) the public health agency that is administering the grant for the State will conduct public hearings concerning the proposed use and distribution of the assistance to be received; and (B) the State will (a) to the maximum extent practicable, ensure that HIV-related health care and support services delivered pursuant to a program established with assistance provided under this part will be provided without regard to the ability of the individual to pay for such services and without regard to the current or past health condition of the individual with HIV disease; (b) ensure that such services will be provided in a setting that is accessible to low-income individuals with HIV disease; (c) provide outreach to low-income individuals with HIV disease to inform such individuals of the services available; (d) for continuum of health insurance coverage, submit a plan to the Secretary that demonstrates that the State has established a program that assures that (d.1) such amounts will be targeted to individuals who would not otherwise be able to afford health insurance coverage; and (d.2) income, asset, and medical expense criteria will be established and applied by the State to identify those individuals who qualify for assistance under such program, and information concerning such criteria shall be made available to the public; (e) provide for periodic independent peer review to assess that quality and appropriateness of health and support services provided by entities that receive funds from the State; (f) permit and cooperate with any Federal investigations undertaken regarding programs; (g) maintain HIV-related activities at a level that is equal to not less than the level of such expenditures by the State for the 1-year period preceding the fiscal year for which the State is applying to receive a grant; and (h) ensure that grant funds are not utilized to make payments for any item or service to the extent that payment has been made.

93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease

Ryan White HIV/AIDS Program (RWHAP) Part C Early Intervention Services (EIS),

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 300ff-51 300ff-67, and 300ff-121., Title , Part , Section

OBJECTIVES:

The purpose of the RWHAP Part C Early Intervention Services Program funding is to provide comprehensive HIV primary care and support services in an outpatient setting for low income, uninsured, and underserved people with HIV. This includes: 1) counseling for individuals with respect to HIV; 2) targeted HIV testing; 3) periodic medical evaluations of individuals with HIV and other clinical and diagnostic services regarding HIV; 4) therapeutic measures for preventing and treating the deterioration of the immune system, and for preventing and treating conditions arising from HIV; and 5) referrals for people with HIV to appropriate providers of health and support services. These services are to be provided directly or through referrals, contracts, or memoranda of understanding. The purpose of the RWHAP Part C Capacity Development Program is to strengthen organizational capacity to respond to the changing health care landscape and to increase capacity to develop, enhance, or expand access to high quality HIV primary health care services for low income, uninsured, and underserved people with HIV.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Part C recipients are expected to develop comprehensive and coordinated systems of HIV services which are available to people with HIV in the local service area, especially those populations who are the hardest to reach, have the greatest unmet need, and/or the greatest gaps in HIV primary care services. These HIV service systems should ensure the progress of the target populations along the HIV care continuum with the goal of optimizing health outcomes demonstrated by retention in medical care, viral load reduction, and decreases in new HIV infections in the community. For the Part C program, no more than 10 percent of total grant funds may be expended for administrative expenses, including planning and evaluation. At least 50 percent of total grant funds must be expended for Early Intervention Services (except counseling). At least 75 percent of total grant funds, after reserving funds for clinical quality management, planning/evaluation, and administration must be expended for core medical services. For the Capacity Development Program, no more than 10 percent of the total grant funds may be expended for administrative expenses, including planning and evaluation, and excluding costs of a clinical quality management program. Administrative costs are those direct and indirect costs associated with the administration of the RWHAP Part C Capacity Development grant. For Part C and Part C Capacity Development Programs, all funds must be expended solely for carrying out the approved project in accordance with the notice of funding opportunity, the terms and conditions of the award, and the applicable cost principles. Funds may not be used for purchase, construction, or major alterations or renovations on any building or other facility; purchase or improvement of land; payments for clinical research; payments for nursing home care; cash payments to intended recipients of RWHAP services; research; foreign travel; or for any item or service to the extent that payment has been made, or reasonably can be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by an entity that provides health services on a prepaid basis (except for a program administered by or providing the services of the Indian Health Services). Funds may also not be used towards pre-exposure prophylaxis (PrEP) or non-occupational post-exposure prophylaxis (nPEP) medications or medical services. As outlined in the June 22, 2016 RWHAP and PrEP program letter, the RWHAP legislation provides grant funds to be used for the care and treatment of people with HIV, thus prohibiting the use of RWHAP funds for PrEP medications or related medical services, such as physician visits and laboratory costs. However, RWHAP Parts C recipients and subrecipients may provide prevention counseling and information, which should be part of a comprehensive PrEP program. Additionally, the purchase of sterile needles or syringes for the purposes of hypodermic injection of any illegal drug is not allowable. Some aspects of Syringe Services Programs are allowable with HRSA's prior approval and in compliance with HHS and HRSA policy (see: <https://www.hiv.gov/federal-response/policies-issues/syringe-services-programs>).

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education

and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public and nonprofit private entities that are: a) Federally qualified health centers under section 1905(1)(2)(B) of the Social Security Act; b) Grant recipients under section 1001 (regarding family planning) other than States; c) Comprehensive hemophilia diagnostic and treatment centers; d) Rural health clinics; e) Health facilities operated by or pursuant to a contract with the Indian Health Service; f) Community-based organizations, clinics, hospitals, and other health facilities that provide early intervention services to people who contracted HIV through intravenous drug use; or g) Nonprofit private entities that provide comprehensive primary care services to populations at risk of HIV, including faith-based and community-based organizations. Eligible applicants for the Capacity Development Program include public and nonprofit private entities, including faith-based and community-based organizations, and Tribes and tribal organizations.

Beneficiary Eligibility:

Individual/Family; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Low income, uninsured, and underserved PWH.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity (NOFO) issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 45 CFR 75--Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window). HRSA requires all applicants to apply electronically through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: As a condition of award, applicants must agree to maintain their expenditures for early intervention services at a level equal to not less than the level of such expenditures maintained by the State for the fiscal year preceding the fiscal year for which the applicant is applying to receive the grant..

Length and Time Phasing of Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

Early Intervention Services grants are typically awarded for up to a 3 year period of performance. Continued support, beyond the first year, is contingent upon satisfactory performance and the availability of Federal funds. Capacity development grants may be funded for up to 1 year. Method of awarding/releasing assistance: other

Reports:

Please refer to the NOFO and NOA for any applicable program, data, and fiscal reports. The recipient must submit the annual RWHAP Services Report due the last Monday in March each year, covering the just-ended Jan. 1 - Dec. 31 calendar year. No cash reports are required. Recipients must also submit a RWHAP Allocations Report, 60 days after the start of the budget period, and a RWHAP Expenditures Report due 90 days after the end of the budget period (OMB 0915-0318). Recipients must submit a Federal Financial Report or SF 425 within 90 - 120 days after the end of each budget period. Additionally, each funded program must submit a budget period renewal progress report each year prior to the end of the budget period. A final Federal Financial Report and final performance report must be submitted 90 days after the end of the period of performance. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions 45 CFR 75--Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$7,000,000.00; FY 21 est \$7,000,000.00; FY 22 est \$7,000,000.00; Project Grants FY 20 \$181,752,822.00; FY 21 est \$181,752,822.00; FY 22 est \$181,752,822.00;

Range and Average of Financial Assistance:

FY 2020 Capacity Development: \$51,023 to \$150,000; Average \$136,586. Capacity Development grants are limited to \$150,000. FY 2020 Early Intervention Services: \$92,999 to \$1,507,775; Average \$518,183.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Awarded ten new geographic service area Part C awards in 2018 as part of the current period of performance.

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

HAB Chief Dental Officer Mahyar Mofidi,
5600 Fishers Lane, Room 09N09,
Rockville MD 20857
Email: MMofidi@hrsa.gov
Phone: (301) 443-2075

Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants are reviewed by an objective review committee based on the criteria detailed in the notice of funding opportunity.

93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs

National Breast and Cervical Cancer Early Detection Program (NBCCEDP),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Breast and Cervical Cancer Mortality Prevention Act of 1990, Section 301(a), Section 317(k)(3), and Section 1501, Public Law 101-354; 42 U.S.C.241a, 42 U.S.C. 247b(k)(3), and 42 U.S.C. 300K; Public Law 103-183, , Public Law 101-354, 42 USC Code 241,247,300.

OBJECTIVES:

To work with official State and territorial health agencies or their designees, and tribal health agencies in developing comprehensive breast and cervical cancer early detection programs. To the extent possible, increase screening and follow-up among all groups of women in the State, tribe or territory, with special to reach those women who are of low income, uninsured, underinsured and minority, and Native Americans.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements funds may be used to assure screening of women for breast and cervical cancer as an early detection preventive measure; assure appropriate referrals for follow-up services for women with abnormal screening tests and routine rescreening; develop and disseminate public education and outreach programs for the early detection and control of breast and cervical cancers; improve the education, training and skills of health professionals (including allied health professionals) in the early detection and control of breast and cervical cancers; establish mechanisms through which the States, tribes and territories can monitor the quality of breast and cervical cancer screening procedures in the State, including the interpretation of such procedures; and evaluate program activities through appropriate surveillance and monitoring. Cooperative agreement funds may not be expended for screening and follow-up services to the extent that payment has been made, or can reasonably be expected to be made, with respect to such items or services: (1) under any State compensation program, under any insurance policy or under any Federal or State health benefits program; or (2) by any entity that provides health services on a prepaid basis. Cooperative agreement funds shall not be used for treatment or treatment services. States, tribes and territories are required to make available nonfederal contributions in cash or in-kind toward such cost in an amount equal to not less than \$1 for each \$3 of Federal funds provided. Such contributions may be made directly or through donations from public or private entities. The payment for treatment services or the donation of treatment service may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contributions in excess of the average amount of nonfederal contributions made by the State, tribe or territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive breast and cervical cancer early detection program. In making a determination of the amount of nonfederal contributions for purposes of matching

ASSISTANCE LISTINGS DESCRIPTIONS

fund requirements, applicants may include any nonfederal amounts expended pursuant to Title XIX of the Social Security Act for the purpose of screening and follow-up for women at-risk for breast and cervical cancers.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Eligible applicants are the official State health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, American Samoa, American Indian and Alaska Native tribes and tribal organizations as defined in Section 4 of the Indian Self-Determination and Education Assistance Act.

Beneficiary Eligibility:

U.S. Territories; State; Women; Low Income; Federally Recognized Indian Tribal Governments; Official State and Territorial health agencies, women especially low-income women.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, identify and describe nonfederal contributions, and provide a budget with justification for funds requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from Ms. Shicann Phillips, Grants Management Specialist, in the Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, Georgia 30341. Telephone: (770) 488-2615. This program is subject to the provisions of 45 CFR 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 must be used for this program.

Award Procedure:

In the competitive year one and after objective review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. For non-competitive continuation years, after review and approval on the Interim Progress Report (IPR), a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 4 months.

Appeals:

Not Applicable. None

Renewals:

Other. Information on renewals may be obtained from Pamela Render, Grants Management, Office of Grants Services, Office of Financial Resources Centers for Disease Control and Prevention, Atlanta, GA 30341; Telephone: (770) 488-2712.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 35%. Requirement: Matching requirements are voluntary. Public Law 101-354 requires States, tribes and territories to make available nonfederal contributions (cash or in-kind) toward such costs in an amount equal to not less than \$1 of matching for every \$3 of Federal dollars provided in this cooperative agreement. Such contributions may be made directly or through donations from public or private entities. Payment for treatment services or the donation of treatment services may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contribution in excess of the average amount of nonfederal contributions made by the State, tribe territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive Breast and cervical cancer early detection program. This program has MOE requirements, see funding agency for further details. Additional Information: The average amount

of non-Federal contributions toward breast and cervical cancer programs and activities for the two year period preceding the first Federal fiscal year of funding for NBCCEDP is referred to as Maintenance of Effort (MOE). Only those non-Federal contributions in excess of the MOE amount may be considered matching funds. Supplanting, or replacing, existing program efforts currently paid with Federal or non-Federal sources is not allowable.

Length and Time Phasing of Assistance:

From 1 to 5 years. Budget period is 12 months. Assistance is awarded through the Payment Management System (PMS). Method of awarding/releasing assistance: lump sum.

Reports:

Annual Federal Financial Report (FFR) SF-425 is required and must be submitted no later than 90 days after the end of the calendar quarter in which the budget period ends. The FFR for this budget period is due by September 30. Reporting time frame is June 30 of the previous calendar year through June 29 of the current calendar year. The Annual Performance Report (APR) is due no later than 120 days prior to the end of the budget period, February 28, and serves as the continuing application. This report should include the information specified in the Funding Opportunity Announcement. Final FFR and Final Progress Report are due 90 days after the end of the project period. Grantees must address fiscal progress in the APR & Annual Reports. Financial status reports (FSRs) are due 90 days after the end of the budget period. The Annual Performance Report (APR) is due no later than 120 days prior to the end of the budget period, February 28, and serves as the continuing application. This report should include the information specified in the Funding Opportunity Announcement. Final performance and FSRs are due no more than 90 days after the end of the project period. Final FFR and Final Progress Report are due 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

96,735 to 9,692,758; \$2,234,163

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no regulations, but guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, applies to cooperative agreements. Public Law 101-354 (August 10, 1994) places specific requirements on monies from this law which are to be used for funding State-based breast and cervical cancer early detection programs.

Regional or Local Office:

None.

Headquarters Office:

Chief, Program Services Branch Faye L Wong,,
4770 Buford Highway, Mailstop F76,
Atlanta GA 30341
Email: FWong@cdc.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: (770) 488-4880

Fax: (770) 488-3230.

Website Address:

<http://www.cdc.gov/cancer>.

RELATED PROGRAMS:

93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations; 93.752 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part by Prevention and Public Health Funds;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Extent of disease burden and need; (2) feasibility and appropriateness of operational plan to meet the purpose of the cooperative agreement; (3) the extent of collaboration and community involvement; (4) the extent to which the applicant appears likely to succeed in implementing proposed objectives; (5) the appropriateness of nonfederal contributions; and (6) the extent to which the budget is reasonable, consistent with the intended use of cooperative agreement funds, and includes evidence of the State's commitment to the program application of financial and/or in-kind contributions from nonfederal sources to activities of the proposed program.

93.923 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP)

FLRP.

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 738 of the Public Health Service Act S [293b]), as amended by P.L. 105-392.,

OBJECTIVES:

The Faculty Loan Repayment Program (FLRP) provides loan repayment assistance to faculty members from economically and environmentally disadvantaged backgrounds with eligible health professions degrees or certificates to serve at eligible academic institutions in the following disciplines: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, optometry, nursing (RN or Advanced Practice RN), physician assistants, allied health or graduate programs in public health or behavioral and mental health for a 2-year service commitment.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Funds must be used for loan repayment.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants must: (1) be U.S. citizens (either U.S. born or naturalized), U.S. nationals or lawful permanent residents; (2) be from an economically or environmentally disadvantaged background; (3) have a degree or certificate in one of the following health profession disciplines: allopathic, osteopathic, podiatric or veterinary medicine; dentistry, pharmacy, optometry, nursing (RN or APRN), physician assistants, allied health, or graduate programs in public health or behavioral and mental health; or are enrolled in an approved graduate training program in one of the health professions listed above, or are enrolled as full-time students (in the disciplines listed above) at an accredited health professions institution and are in the final course of study or program leading to a degree from that institution; (4) have an employment commitment from an eligible health professions school for a full-time or part-time (as defined by the school) faculty position for a minimum of 2 years;

and (5) have a written agreement with an eligible health professions school that has agreed to pay full or partial match toward the principal and interest for the applicants education loans in equivalent loan repayment amounts made by HHS under FLRP. For institutions that are unable to provide matching loan repayments, applicants must provide an official letter from the employer requesting a full or partial waiver with supporting documentation justifying the undue financial hardship necessary for a waiver to be granted.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Health Professional; Education Professional; See above

Credentials/Documentation:

Applicants should review the individual HRSA Application and Program Guidance issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

FLRP awards are based on a selection tiering process that determines the sequential order of awards made to qualified applicants, which includes the amount of matching funds provided by the applicant's employing institution. There are three (3) match categories: (1) full matching of the HHS level of loan repayment, (2) partial matching of the HHS level of loan repayment (i.e., <100% match); or (3) no match. Participants will receive up to a maximum of \$40,000 for a full or part-time 2-year service commitment. Awards are made starting with full match, then to partial match (from greatest percentage match to least partial match), then to no match. Full-time applicants in each match category are given preference over part-time applicants. Payments are made to individuals via electronic direct deposit.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Notification to all applicants are made no later than September 30th of the application year.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Participants must provide full or part-time service (as defined by the school) as a faculty member at an eligible health professions school for a period of two consecutive years beginning on the effective date of the contract.: Awards are based on a percentage of the eligible applicant's total debt. Method of awarding/releasing assistance: other

Reports:

The employing institution must complete and send a semi-annual In-Service Verification Form verifying that the participants are providing full-time or part-time continuous service at their approved health professions school.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

ASSISTANCE LISTINGS DESCRIPTIONS

No data available.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$1,098,286.00; FY 21 est \$1,190,000.00; FY 22 est \$1,190,000.00;

Range and Average of Financial Assistance:

FY20 Range: \$20,834 to \$59,858; Average: \$54,914 FY21 Range: \$20,834 to \$59,858; Average: \$54,914 FY22 Range: \$20,834 to \$59,858; Average: \$54,914

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In FY 20, 35 percent of the awards funded were to academic faculty members in behavioral and mental health disciplines. Funding faculty members in these disciplines will help combat the national opioid crisis by providing instruction to future behavior and mental health professionals. This approach will strengthen and expand the behavior and mental health workforce and will support HRSA's Opioids Epidemic and Serious Mental Illness and Serious Emotional Disturbance Initiative.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Scott Turnbull, Faculty Loan Repayment Program, Division of Health Careers and Financial Support, Bureau of Health Workforce (BHW), Health Resources and Services Administration, 5600 Fishers Lane, Rockville MD 20857
Email: sturnbull@hrsa.gov
Phone: (301) 443-4791

Website Address:

<https://bhw.hrsa.gov/loansscholarships/flrp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FLRP - The applicant will be rated on the extent to which the individual meets the intent of the program to expand disadvantaged representation in health professions faculty positions.

93.924 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants

DRP and CBDPP,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 300ff-111(b),

OBJECTIVES:

Dental Reimbursement Program (DRP): To partially compensate accredited dental schools, postdoctoral dental education programs, and dental hygiene education programs for unreimbursed costs they have incurred in providing oral health

services to low income, uninsured, and underserved people with HIV.

Reimbursement will be made for documenting the number of low income people with HIV served and the unreimbursed oral health costs incurred by each institution as compared with the total number of low income people with HIV served and costs incurred by all eligible applicants. The purpose of the DRP is to improve access to oral health care services for low income, uninsured, and underserved people with HIV. Provide clinical training for dental and dental hygiene students and dental residents to deliver oral health care to people with HIV. Community Based Dental Partnership Program (CBDPP): To improve access to oral health care services for low income, uninsured and underserved people with HIV in underserved geographic areas, while simultaneously providing education and clinical training for dental and dental hygiene students, dental residents, or other dental providers in community-based settings. This is achieved through multi-partner collaborations between dental and dental hygiene education programs recognized by the Commission on Dental Accreditation and community-based dental providers.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Dental Reimbursement Program : Funds are limited to accredited dental schools and other accredited dental education programs, such as dental hygiene programs or those sponsored by a school of dentistry, a hospital, or a public or private institution that offers postdoctoral training in the specialties of dentistry, advanced education in general dentistry, or a dental general practice residency that submit an application documenting unreimbursed costs of oral health care provided to low income people with HIV. Community Based Dental Partnership Program Grants: Part F CBDPP recipients are responsible for developing or enhancing partnerships with community-based dental providers, provide oral health services, and provide training to dental and dental hygiene students and dental residents to deliver oral health care to people with HIV. Funds may not be used for purchase, construction, or major alterations or renovations on any building or other facility; purchase or improvement of land; cash payments to intended recipients of RWHAP services; foreign travel; or for any item or service to the extent that payment has been made, or reasonably can be expected to be made, with respect to that item or service under any State compensation program, insurance policy, Federal or State health benefits program or by an entity that provides health services on a prepaid basis (except for a program administered by or providing the services of the Indian Health Services).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants are limited to accredited dental schools and other accredited dental education programs such as dental hygiene programs or those sponsored by a school of dentistry, a hospital, or a public or private institution that offers postdoctoral training in the specialties of dentistry, advanced education in general dentistry, or a dental general practice residency.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Public nonprofit institution/organization; Low income, uninsured, and underserved people with HIV.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. Applicants for Dental Reimbursement program and recipients for the Community Based Dental Partnership Program must be accredited by the Commission on Dental Accreditation. 45 CFR 75--Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Dental Reimbursement Program: All qualified applicants will receive funds utilizing a formula type process dividing the unreimbursed costs for each applicant by the total unreimbursed cost across all applications, then multiplying by the amount available for the Dental Reimbursement Program. Community Based Dental Partnership Program: All qualified applications will be forwarded to an objective review committee. Based on the recommendation of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable. Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: Both programs have maintenance of effort (MOE) requirements, see funding agency for further details. As a condition of award, applicants must agree to maintain expenditures of State funds for DRP-related activities at a level equal to not less than the level of such expenditures for the fiscal year preceding the reimbursement period..

Length and Time Phasing of Assistance:

The DRP is a reimbursement of uncompensated costs incurred in a prior one-year period specified in the notice of funding opportunity. CBDPP grants may be made for periods of performance of up to five years composed of up to five 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

A Dental Services Report (DSR) is required for both the DRP and CBDPP. CBDPP recipients must submit a Federal Financial Report or SF 425 within 90 days after the end of each budget period. Additionally, each CBDPP recipient must submit a budget period renewal progress report each year prior to the end of the budget period. A final Federal Financial Report must be submitted 90 days after the end of the period of performance. Cash reports are not applicable. Allocation and expenditure reports are not applicable. Performance monitoring is accomplished through non competing continuation applications Performance monitoring is not applicable for DRP.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 45 CFR 75--Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75--Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards

Records:

Recipients are required to maintain financial records for 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$3,475,672.00; FY 21 est \$3,475,672.00; FY 22 est \$3,475,672.00; Formula Grants FY 20 \$8,977,802.00; FY 21 est \$8,977,802.00; FY 22 est \$8,977,802.00;

Range and Average of Financial Assistance:

FY 20 Dental Reimbursement: \$2,483 to \$ 1,259,850; Average \$186,398. FY 20 Community-Based Dental Partnership grants: \$219,230 to \$364,172; Average \$289,639.

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Between the two dental programs, over 32,000 people with HIV have received oral health care.

REGULATIONS, GUIDELINES, AND LITERATURE:

All HRSA awards are subject to the 45 CFR 75 --Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

HAB Chief Dental Officer Mahyar Mofidi,
5600 Fishers Lane, Room 09N09,
Rockville MD 20857
Email: MMofidi@hrsa.gov
Phone: (301) 443-2075

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All DRP applicants that meet the eligibility criteria and submit applications documenting unreimbursed costs of oral health care provided to low income people with HIV will receive reimbursement for a portion of costs incurred. Applicants for the CBDPP are reviewed by an objective review committee based on the criteria detailed in the notice of funding opportunity.

93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds

Scholarships for Disadvantaged Students (SDS),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 737 of the Public Health Service Act (42 U.S.C. 293a),

OBJECTIVES:

The Scholarships for Disadvantaged Students (SDS) program increases diversity in

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the health professions and nursing workforce by providing awards to eligible health professions schools for use in awarding scholarships to students from disadvantaged backgrounds who have financial need, including students who are members of racial and ethnic minority groups. The SDS program aims to increase the: 1) number of graduates practicing in primary care, 2) enrollment and retention of full-time students from disadvantaged backgrounds, including students who are members of racial and ethnic minority groups, and 3) number of graduates working in medically underserved communities (MUCs). Schools provide scholarships, and maintain and operate a program to recruit and retain students from disadvantaged backgrounds that encourages completion of school and service in primary care and in medically underserved communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Health/Medical, Higher Education (includes Research) SDS funds awarded to schools must be used to award full or partial scholarships to eligible students enrolled in health professions programs on a full-time basis. Scholarships may only be expended for tuition expenses, other reasonable educational expenses, and reasonable living expenses incurred while in attendance for the year. The Secretary is required to make available at least 16 percent of the funds to schools that will provide scholarships only for nursing students. The Secretary may not make a grant to a school unless the school is carrying out a program for recruiting and retaining students from disadvantaged backgrounds and has met the eligibility criteria of demonstrating the success of outcome measures. Funds can only be used for direct costs; indirect cost are unallowable. Student scholarship awards shall equal an amount no less than 50 percent of the students annual tuition costs up to a maximum amount of \$40,000 to cover the cost of attendance. No student can be awarded SDS funds greater than \$40,000 per year. The scholarship may not exceed a recipient's cost of tuition expenses, other reasonable educational expenses and reasonable living expenses incurred in attendance at such school. The scholarship also may not exceed the student's financial need. In providing scholarships under the SDS program, schools are required to give preference to students for whom the cost of attendance would constitute a severe financial hardship and to former recipients of scholarships under sections 736 [Scholarships for Students of Exceptional Financial Need] and 740(d)(2)(B) [Financial Assistance for Disadvantaged Health Professions Students] (as such sections existed on the day before the date of enactment of this section) of the Public Health Service Act. Funds may not be used for administrative costs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Accredited public or non-profit private schools of medicine, nursing, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, chiropractic, allied health, public health, a school offering a graduate program in behavioral and mental health practice, or an entity providing programs for the training of physician assistants. 1) At least 20 percent of the total enrollment (full-time enrolled) of a program during the specified academic year must be students from disadvantaged backgrounds; and 2) At least 20 percent of the total graduates (who were full-time students) of a program during the specified academic year must have been from disadvantaged backgrounds. Faith-based and community-based organizations, Federally Recognized Indian Tribal Government and Native American Organizations are eligible to apply if all other eligibility requirements are met.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Student/Trainee; Graduate Student; Students who are citizens, nationals, or lawful permanent residents of the United States or the District of Columbia, the Commonwealths of Puerto Rico or the Northern Mariana Islands, the U.S. Virgin Islands, Guam, the American Samoa, the Republic of Palau, the Republic of the Marshall Islands, the Federated States of Micronesia; and enrolled full-time in health professions or nursing schools. A student who is in this country on a student or visitor's visa is not

eligible.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov (opens in new window). 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification is made in writing (electronic) by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award..

Length and Time Phasing of Assistance:

This funding opportunity provides support for a five-year project period. Student scholarships are provided in one year awards. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A

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to 2 CFR Part 170. (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$47,826,377.00; FY 21 est \$47,750,000.00; FY 22 est \$47,750,000.00;

Range and Average of Financial Assistance:

FY 2020: range \$162,980 to \$650,000 Average \$556,121 FY 2021: range \$177,794 to \$650,000 Average \$555,233 FY 2022: range (est.): \$177,794 to \$650,000 Average est. \$555,233

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In Academic Year (AY) 2019-2020, the SDS Program provided scholarships to 2,992 students from disadvantaged backgrounds. The majority of students were considered under-represented minorities (URMs) in their prospective professions (65 percent). Additionally, 1,325 students who received SDS-funded scholarships successfully graduated from their degree programs by the end of AY 2019-2020. Upon graduation, 70 percent intended to work or pursue additional training in medically underserved communities, and 49 percent intended to work or pursue additional training in primary care settings.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Denise Sorrell, Division of Health Careers and Financial Support, Bureau of Health Workforce,,
5600 Fishers Lane, Room 15N78,,
Rockville MD 20857
Email: dsorrell@hrsa.gov
Phone: (301) 443-1173

Website Address:

<https://bhwh.hrsa.gov/funding/apply-grant>

RELATED PROGRAMS:

93.364 Nursing Student Loans; 93.288 National Health Service Corps Scholarship Program; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant

in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See most recent Notice of Funding Opportunity for detailed review criteria.

93.926 Healthy Start Initiative

Healthy Start,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title III, Part D, Section 330H of the Public Health Service Act, (42 U.S.C.254c-8),

OBJECTIVES:

The purpose of this grant program is to improve perinatal health outcomes and reduce racial and ethnic disparities in perinatal health outcomes by using community-based approaches to service delivery, and to facilitate access to comprehensive health and social services for women, infants, and their families. HS grants are provided to communities with rates of infant mortality at least 1 times the U.S. national average and high rates for other adverse perinatal outcomes (e.g., low birthweight, preterm birth, maternal morbidity and mortality) in order to address the needs of high-risk women and their families before, during, and after pregnancy.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

The Healthy Start (HS) program aims to reduce disparities in infant mortality and adverse perinatal outcomes by: 1) improving women's health, 2) improving family health and wellness, 3) promoting systems change, 4) assuring impact and effectiveness. Healthy Start grantees continue to reduce high infant mortality rates (IMR) by assuring access to culturally competent, family-centered, and comprehensive health and social services to women, infants, and their families through a community-based participatory approach. Additionally, HS grantees foster systems integration, coordination, and collaboration to advance community change. HS grantees also coordinate and align with State Title V Maternal and Child Health Block Grant programs to promote cooperation, integration, and dissemination of information with statewide systems and with other community services funded under Title V. Funds may not be used to supplant currently funded activities/services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Eligible Project Area: All applicants applying for funding under this grant notice must identify themselves as serving an urban, rural, or other project area. Project area is defined as a geographic community in which the proposed services are to be implemented. A project area must represent a reasonable and logical catchment area, but the defined areas do not have to be contiguous. A map of the proposed project area must be included in the application. Urban Territory, population, and housing units located within an urbanized area (UA) or an urban cluster (UC), which has: a population density of at least 1,000 people per square mile; and surrounding census blocks with an overall density of at least 500 people per square mile. Rural To determine

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whether the Census tract or County for your proposed project area is defined as a rural area (RA), visit the webpage Rural Health Grants Eligibility Analyzer: (<http://datawarehouse.hrsa.gov/RuralAdvisor/ruralhealthadvisor.aspx?ruralByAddr=1>) and enter the project area address. Other - A project area that does not fit either the urban or rural definitions. Eligible Target Population: The target population is the population that the applicant will serve within their geographic project area and will determine their eligibility. The target population should be the population with the highest infant mortality rate (IMR) within the project area. The majority of the HS program services and resources should be focused on the target population. The project area for which the applicant is applying and the proposed target population within that project area must be clearly identified to confirm eligibility. Eligibility Factors Demonstrating Need: HRSA/MCHB must be able to verify submitted data with the appropriate state/local government agency responsible for vital statistics. "Other" community applicants that cannot provide this verifiable data may use the other indicators specified in the second section below. Project data for the eligibility factors for all applicants must be included in the applications transmittal letter and in the needs assessment section of the submitted application. The existing racial/ethnic disparities in these or other perinatal indicators should also be described in the needs assessment section of the application. Applications that do not provide this information, in the manner described within this notice of funding opportunity (NOFO), will be considered ineligible and the application will be returned without review. An applicant's target population within their proposed project area must meet the following verifiable criteria using the smallest statistical level of verifiable data available -- not to be any larger than a combination of counties (e.g. a city project should not report county-level data). HRSA-19-049, Urban and Rural Communities Using verifiable three-year average data for calendar years 2013 through 2015, the proposed project area for communities which meet the urban or rural community definition must meet the following indicators from the list below. The 2013-2015 combined three-year infant mortality rate (IMR, infant deaths per 1,000 live births over three years) must be equal to or more than 8.8 deaths per 1,000 live births (1.5 times the national average) AND there must be 20 or more infant deaths over the three years for the targeted population. If the combined 2013-2015 number of infant deaths are less than (<)20, then to be eligible the following must be met: The 2013-2015 three-year average low birthweight (LBW) is equal to or more than 12.1% (1.5 times the national average) AND there must be 100 or more LBW births in the targeted population for the three-year period, 2013 to 2015 OR The 2013-2015 preterm births (PTB) is equal to or more than 14.4% (1.5 times the national average) AND there must be 100 or more PTB births in the targeted population for the period, 2013 to 2015. Other Communities Using verifiable data for 2016. The proposed target population for project areas which meet the Other community definition (i.e., A project area that does not fit either the urban or rural definitions above) must have at least 1,000 births for the one year period and meet at least three (3) indicators from the list below. If vital statistics are not available from state/local government agencies, border community applicants can use other verifiable data. -Percentage of pregnant women with diabetes is 10.2 percent or more, -Percentage of pregnant women who are obese is 39.2 percent or more, -Percentage of pregnant women entering prenatal care in the first trimester is less than 38.6 percent, - Percentage of births to women who had no prenatal care is 4 percent or more, and -Percentage of births to women who used tobacco during pregnancy is 10.8 percent or more.

Beneficiary Eligibility:

Individual/Family; Women; Infant (0-5); Service area residents, particularly women and infants in areas with significant perinatal health disparities.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more

information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Final decisions are made approximately 166 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory progress report.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project periods vary depending on specific grant notice with non-competing continuation awards made on an annual basis for up to 5 years depending on satisfactory progress and subject to the availability of funds. Method of awarding/releasing assistance: other

Reports:

The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule: http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrc_hedule.pdf. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. The successful applicant under this notice of funding opportunity must comply with Section 6 of HRSA's SF-424 Application Guide and the following reporting and review activities: 1) Progress Report(s). The awardee must submit a progress report to HRSA on an annual basis. Further information will be provided in the award notice. 2) Performance Report(s). The Health Resources and Services Administration (HRSA) has modified its reporting requirements for SPRANS projects, CISS projects, and other grant programs administered by the Maternal and Child Health Bureau (MCHB) to include national performance measures that were developed in accordance with the requirements of the Government Performance and Results Act (GPRA) of 1993 (Public Law 103-62). This Act requires the establishment of measurable goals for Federal programs that can be reported as part of the budgetary process, thus linking funding decisions with performance. Performance measures for States have also been established under the Block Grant

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provisions of Title V of the Social Security Act, the MCHBs authorizing legislation. Performance measures for other MCHB-funded grant programs have been approved by the Office of Management and Budget and are primarily based on existing or administrative data that projects should easily be able to access or collect. 1. Performance Measures and Program Data To prepare successful applicants for their reporting requirements, the listing of MCHB administrative forms and performance measures for this program can be found at: https://perf-data.hrsa.gov/mchb/DgisApp/FormAssignmentList/H49_2.HTML. 2. Performance Reporting Successful applicants receiving grant funds will be required, within 120 days of the Notice of Award (NoA), to register in HRSA's Electronic Handbooks (EHBs) and electronically complete the program specific data forms that appear for this program at: https://perf-data.hrsa.gov/mchb/DgisApp/FormAssignmentList/H49_2.HTML. This requirement entails the provision of budget breakdowns in the financial forms based on the grant award amount, the project abstract and other grant summary data as well as providing objectives for the performance measures. Performance reporting is conducted for each grant year of the project period. Grantees will be required, within 120 days of the NoA, to enter HRSA's EHBs and complete the program specific forms. This requirement includes providing expenditure data, finalizing the abstract and grant summary data as well as finalizing indicators/scores for the performance measures. 3. Project Period End Performance Reporting Successful applicants receiving grant funding will be required, within 90 days from the end of the four years and nine months project period, to electronically complete the program specific data forms that appear for this program at: https://perf-data.hrsa.gov/mchb/DgisApp/FormAssignmentList/H49_2.HTML. The requirement includes providing expenditure data for the final year of the project period, the project abstract and grant summary data as well as final indicators/scores for the performance measures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,335,279.00; FY 21 est \$4,314,680.00; FY 22 est \$2,814,685.00; Project Grants FY 20 \$111,722,142.00; FY 21 est \$113,931,317.00; FY 22 est \$113,542,689.00;

Range and Average of Financial Assistance:

(Cooperative Agreements) FY 19 \$299,994 - \$2,000,000; \$716,643 FY 20 est. \$299,994-\$3,035,285. Average award \$1,667,629 FY 21 est. \$2,464,686, Average award \$2,464,686 (Grants) FY 19 \$26,458 - \$1,848,358; \$1,050,523 FY 20 est. \$712,219-\$3,035,285. Average award \$1,095,315 FY 21 est. \$712,219-1,122,899. Average award \$1,123,185

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In 2019, the Health Start program served over 59,000 participants.

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Director, Division of Healthy Start and

Perinatal Services, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 13-91, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0543.

Headquarters Office:

Lee Wilson, Director, Division of Healthy Start and Perinatal Services, 5600 Fishers Lane, Room 18N25, Rockville MD 20857

Email: Lwilson@hrsa.gov

Phone: (301) 443-0543

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See the notice of funding opportunity to obtain specific program grant guidance.

93.928 Special Projects of National Significance

SPNS,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

42 U.S.C. 300ff-101),,

OBJECTIVES:

To quickly respond to the care and treatment needs of individuals receiving assistance under the Ryan White HIV/AIDS Program (RWHAP). The RWHAP Special Projects of National Significance (SPNS) supports the development and implementation of innovative delivery models of HIV care, services, and capacity development initiatives, including the dissemination of strategies and tools for implementation by RWHAP recipients and other HIV care and treatment providers. Further, RWHAP SPNS evaluates the effectiveness of these models, and promotes the replication of successful models. Areas of evaluation include design, implementation, utilization, cost, and health related outcomes of effective models. The RWHAP SPNS Program also supports special programs initiatives to develop or enhance health information and technology and data systems to enable RWHAP recipients and their sub-recipients or providers to report and utilize client-level data.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Proposals are expected to adequately define and justify the needs, innovative nature, and evaluation methodology of the proposed model of services. Funds shall be used to create and/or evaluate innovative models of HIV care, services, capacity development initiatives, and health information and technology data systems that would likely not exist nor be evaluated without SPNS Program support, or that would extend the care model to previously underserved or uninsured populations. Funds may not be used for: charges that are billable to third party payers (e.g., private health insurance, prepaid health plans, Medicaid, Medicare); purchasing or construction of new facilities or capital improvements to existing facilities; purchasing or improving land; international travel; cash payments to intended recipients of RWHAP services; pre-exposure prophylaxis (PrEP); non-occupational post-exposure prophylaxis (nPEP) Funds may not be used for outreach programs that have HIV prevention education as their exclusive purpose, or broad-scope awareness activities about HIV services that target the general public. Additionally, the purchase of sterile needles or syringes for the purposes of

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hypodermic injection of any illegal drug is not allowable. Some aspects of syringe services programs are allowable with HRSA's prior approval and in compliance with HHS and HRSA policy (see: <https://www.hiv.gov/federal-response/policies-issues/syringe-services-programs>).

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Academic institutions, non-profit organizations including faith-based organizations, and those eligible for funding under Parts A-D authorized by Title XXVI of the Public Health Service (PHS) Act. Additionally, federally recognized Indian Tribal Governments and tribal organizations are also eligible to apply for these funds.

Beneficiary Eligibility:

Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Individuals with HIV.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 45 CFR 75, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 45 CFR 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the recommendations of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 6 months.

Appeals:

Other. Competitive awards are typically made for up to 2 to 5 years, subject to the availability of funds. After initial awards, annual noncompetitive awards may be made contingent upon the submission of noncompetitive applications/progress reports, availability of funds and a determination that continued funding would be in the best interest of the federal government.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will generally be awarded for two to five year

project period composed of up to two to five 12-month budget periods depending the focus area, implementation and replication activities, evaluation framework, and dissemination plan timelines. See the following for information on how assistance is awarded/released: Recipients draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: Method of awarding/releasing assistance: other

Reports:

Program progress reports are required. Please refer to notice of funding opportunity and Notice of Award for any applicable program and data reports. Recipients must submit a Federal Financial Report (FFR) or SF 425 within 120 days after the end of each budget period. A final performance report is due within 90 days after the end of the period of performance. A final Federal Financial Report must be submitted 90 days after the end of the period of performance. No expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 45 CFR 75, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 45 CFR 75.

Records:

Recipients are required to maintain financial records 3 years after the date they submit the final Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues that arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$2,322,593.00; FY 21 est \$0.00; FY 22 est \$3,030,000.00; Cooperative Agreements FY 20 \$18,677,437.00; FY 21 est \$19,613,131.00; FY 22 est \$18,589,903.00;

Range and Average of Financial Assistance:

Project Grants: FY20 (actual): \$ 197,668 to \$300,000; Average \$ 290,234. Coop. Agreements: FY20 (actual): \$ 550,000 to \$ 4,343,596. Average \$ 1,697,948

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Strengthening Systems of Care for People with HIV and Opioid Use Disorder initiative funds two grant recipients to enhance the capacity of HIV and OUD providers to ensure that people with HIV and OUD have access to behavioral health care, treatment, and recovery services. This initiative is being implemented in 14 states to strengthen system-level coordination and networks of care between RWHAP recipients and other federal, state and local entities. To date, the recipients have collaborated with the 14 states to develop and disseminate resources, guides and toolkits to help other jurisdictions replicate similar models. Using multiple strategies, which include peer support groups, individual counseling, case management sessions and facilitated relationships between behavioral health and clinical care teams, demonstration sites in the Implementation of Evidence-Informed Behavioral Health Models to Improve HIV Health Outcomes for Black Men Who Have Sex with Men initiative have served 809 clients. The Using Evidence-Informed Interventions to Improve Outcomes among People Living with HIV initiative grant recipient has completed the pilot implementation period for 11 evidence-informed interventions at 25 pilot implementation sites. The recipient provided technical assistance and an interactive webinar to the sites to support sustainment of the interventions. The recipient captured the experiences of the 25 sites in highly accessible implementation

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toolkits that will promote the widespread replication of those interventions found to be effective.

REGULATIONS, GUIDELINES, AND LITERATURE:

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Special Projects of National Significance Antigone Dempsey, Director Division of Policy Data HRSA HIV/AIDS Bureau,
5600 Fishers Lane,,
Rockville MD 20857
Email: Adempsey@hrsa.gov
Phone: (301) 443-0360
Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.917 HIV Care Formula Grants; 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease;

EXAMPLES OF FUNDED PROJECTS:

2020 Dissemination of Evidence-Informed Interventions to Improve Health Outcomes along the HIV Care Continuum Initiative Enhancing Linkage of Sexually Transmitted Infection (STI) and HIV Surveillance Data in the Ryan White HIV/AIDS Program Strengthening Systems of Care for People with HIV and Opioid Use Disorder (OUD) Implementation of Evidence-Informed Behavioral Health Models to Improve HIV Health Outcomes for Black Men Who Have Sex with Men Improving HIV Health Outcomes through the Coordination of Supportive Employment and Housing Services

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria vary and are based on the initiative. Refer to criteria included in the notice of funding opportunity for each specific program.

93.932 Native Hawaiian Health Care Systems

Native Hawaiian Health Care Improvement Act (NHHCIA),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Native Hawaiian Health Care Improvement Act, as amended (42 USC 11701-11714),

OBJECTIVES:

To raise the health status of Native Hawaiians to the highest possible level through the provision of comprehensive disease prevention, health promotion, and primary care health services. (H1C, H2C)

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Requirements for Native Hawaiian Health Care Systems and Papa Ola Lokahi are detailed in the authorizing statute and notice of funding opportunity.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Minority group; Specialized group (e.g. health professionals, students, veterans); Eligible entities include Papa Ola Lokahi and the Native Hawaiian Health Care Systems (Systems). The Systems are: (1) organized under the laws of the State of Hawaii; (2) provide or arrange for health care services through practitioners licensed by the State of Hawaii, where licensure requirements are applicable; (3) are public or nonprofit private entities; (4) involve Native Hawaiian health practitioners significantly in the planning, management,

monitoring, and evaluation of health care services; (5) are recognized by Papa Ola Lokahi (a consortium of Hawaiian and Native Hawaiian organizations) for the purpose of planning, conducting, or administering programs or portions of programs, authorized by this act for the benefit of Native Hawaiians; and (6) are certified by Papa Ola Lokahi as having the qualifications and the capacity to provide the services and meet the requirements of this Act for the benefit of Native Hawaiians.

Beneficiary Eligibility:

Minority group; Other Non-White; Native Hawaiian populations

Credentials/Documentation:

Applicants should review the applicable HRSA notice of funding opportunity issued under this program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. The cost principles codified by the United States Department of Health and Human Services at 45 CFR Part 75 apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Refer to the applicable notice of funding opportunity under this program.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Range of Approval/Disapproval Time:

Other. Refer to the applicable notice of funding opportunity under this program.

Appeals:

Not Applicable.

Renewals:

Other. Funding beyond the first year is dependent on the availability of appropriated funds for the NHHCIA in subsequent fiscal years, satisfactory recipient performance, and a decision that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent Matching requirements are required for annual NHHCIA funding for all NHHCIA award recipients except POL, and waived for American Rescue Plan Funding for Native Hawaiian Health Care. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Length and time phasing for assistance varies, see notice of funding opportunity for detail. After notices of awards are issued, award recipients draw down funds, as necessary, from the Payment Management System (PMS): PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Refer to the applicable notice of funding opportunity under this assistance listing for reporting information. The awardee will be required to submit federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) is due according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrsc hedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards

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(Type 1) issued under this notice of funding opportunity announcement are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. Performance Monitoring includes review of annual progress and data reports and may include periodic site visits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Award recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues that arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$19,000,000.00; FY 21 est \$20,500,000.00; FY 22 est \$20,500,000.00; Project Grants FY 20 \$0.00; FY 21 est \$20,000,000.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Varies. See applicable notice of funding opportunity.

TAFS Codes:

75-0360.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: HRSA awards funding under the Native Hawaiian Health Care Improvement Act (NHHCIA) to Native Hawaiian organizations to raise the health status of Native Hawaiians to the highest possible level. In 2019, NHHCIA award recipients provided services to more than 8,700 patients.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 75 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations.

Headquarters Office:

Bureau of Primary Health Care, Health Resources and Services Administration.,
5600 Fishers Lane,
Rockville MD 20857

Email: BPHCFunding@hrsa.gov

Phone: (301) 594-4300

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.224 Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are subject to independent objective review procedures. Refer to notice of funding opportunity for detail.

93.933 Demonstration Projects for Indian Health

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Snyder Act, , 25 USC Code 13. Public Health Service Act, , Public Health Service Act, Section 103 (B)(1), Public Law 93-638

OBJECTIVES:

To promote improved health care among American Indians and Alaska Natives through research studies and demonstration projects, addressing such issues as, but not limited to Women's Health Care, Native American Research Centers for Health (NARCH), National Indian Health Outreach and Education I, II & III, Tribal Dental Clinical and Preventive Support Centers, Public Health Nursing, Methamphetamine and Suicide Prevention Program, National HIV Program and Healthy Lifestyles in Youth.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Federal assistance is to be used for the following purposes: (1) Research, analysis, and investigation of a broad range of issues affecting the health of American Indians and Alaska Natives; and (2) demonstration projects and studies that provide American Indians/Alaska Natives with impetus and involvement in their health care and that promote improved health care to Indian people.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Federally recognized Indian tribes; tribal organizations; nonprofit inter-tribal organizations; nonprofit urban Indian organizations contracting with the Indian Health Service under Title V of the Indian Health Care Improvement Act; public or private nonprofit health and education entities; and State and local government health agencies.

Beneficiary Eligibility:

American Indian; Federally Recognized Indian Tribal Governments; American Indians/Alaska Natives will be the ultimate beneficiaries of the funded projects either directly or indirectly depending upon the nature of the project. For example, those individuals who participate in research studies and receive services will be direct beneficiaries while those impacted by policy changes resulting from analyses of Indian health care issues will be indirect beneficiaries.

Credentials/Documentation:

Costs will be determined in accordance with the applicable OMB Circular: OMB Circular No. A-87 (State, local, and Indian tribal governments); OMB Circular No. A-21 (institutions of higher education); and OMB Circular No. A-122 (nonprofit organizations). Depending upon the nature of the project, letters of support or tribal resolutions may be required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is subject to the provisions of 45 CFR part 75. The application package may be found in Grants.gov. You may not e-mail an electronic copy of a grant application to IHS.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days. Grant appeals will follow PHS appeals procedures: 42 CFR, Part 50, Subpart D and DHHS appeals procedures: 45 CFR 16.

Renewals:

Other. Initial project period of up to 5 years, usually 3 years, with competitive renewals for periods not to exceed a total project period of 5 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is limited to 5 years or less. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support. Method of awarding/releasing assistance: quarterly

Reports:

Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Services. Progress Reports are due 90 days after the end of each budget period, or more frequently if stated in the terms and conditions of the IHS grant award. Reports should be sent to the attention of the Grants Management Specialist at the Division of Grants Management. Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to the Payment Management Services. All IHS grant awards are monitored for financial compliance by the Division of Grants Management and for Programmatic Compliance by the IHS Program Staff. NIHA - other reports as required and specified in the program announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the DHHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 75.361, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$1,250,000.00; FY 21 est \$1,562,500.00; FY 22 est \$1,250,000.00; Project Grants FY 20 \$1,250,000.00; FY 21 est \$2,100,000.00; FY 22 est \$2,100,000.00; Cooperative Agreements FY 20 \$105,000.00; FY 21 est \$0.00; FY 22 est \$0.00; Project Grants FY 20 \$1,500,000.00; FY 21 est \$1,500,000.00; FY 22 est \$1,500,000.00; Project Grants FY 20 \$19,952,596.00; FY 21 est \$0.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$832,469.00; FY 21 est \$832,469.00; Project Grants FY 20 \$7,178,288.00; FY 21 est \$0.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$300,000.00; FY 21 est \$0.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$0.00; Project Grants FY

20 \$4,500,000.00; FY 21 est \$0.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$2,000,000.00; FY 21 est \$900,000.00; FY 22 est \$0.00; Cooperative Agreements FY 20 \$810,000.00; FY 21 est \$1.00; FY 22 est \$0.00; Project Grants FY 20 \$0.00; FY 21 est \$16,229,448.00; and FY 22 est \$16,229,448.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0390. 75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 75, Health and Human Services Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contact: For Behavioral Health Integration Initiative, contact: Steven Whitehorn, Division of Behavioral Health, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08N54A, Rockville, MD 20857. For Clinical and Preventive Dental Support Centers contact: IHS HQ, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. Dr. Christopher Halliday, Telephone 301-443-4323, E-mail Christopher.Halliday@ihs.gov, or Dr. Cheryl Sixkiller, Telephone 301-443-8812, E-mail Cheryl.Sixkiller@ihs.gov. For Community Opioid Intervention Pilot Projects contact: JB Kinlacheeny, Division of Behavioral Health, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. For Domestic Violence Prevention Initiative contact: Audrey Solimon, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. For Healthy Lifestyles in Youth, contact: Division of Diabetes Treatment and Prevention, Indian Health Service, 5600 Fishers Lane, Rockville, MD 20857. For National Indian Health Outreach and Education Programs I, II and III, contact: Ken Coriz, Office of Direct Service and Contracting Tribes, 5600 Fishers Lane, Mail Stop: 09E37, Rockville, MD 20857. Telephone: (301) 443-1104. For Prevention of Alcohol-Related Deaths project, or Youth Regional Treatment Center Aftercare Project, contact: JB Kinlacheeny, Division of Behavioral Health, OCPS, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. For Public Health Nursing Program contact: Jolene Tom, Public Health Nursing, Office of Clinical and Preventive Services, 5600 Fishers Lane, Mail Stop 08N30A, Rockville, MD 20857. Telephone: (301) 945-3215. For Suicide and Substance Abuse Prevention (formerly Methamphetamine and Suicide Prevention Initiative) contact: Audrey Solimon, MSPI National Program Coordinator, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. For Youth Regional Treatment Center Aftercare Project contact: JB Kinlacheeny, Division of Behavioral Health, Indian Health Service, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. For Zero Suicide Initiative, contact: Monique Richards, Division of Behavioral Health, OCPS, 5600 Fishers Lane, Mail Stop: 08N34A, Rockville, MD 20857. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857

Email: grantspolicy@ihs.gov

Phone: 301-443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are: Statement of problem(s) requiring solution; need for assistance; results or benefits expected from the project; approach or soundness of the applicant's plan for conducting the project; key personnel and their capability to carry out the project; and adequacy of management controls. Consideration will be given to the demonstrative aspects of the project and the compatibility of the project with the overall goals and objectives of the Indian Health Service.

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93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

(NIH AIDS Research Loan Repayment Program; AIDS LRP).

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Title VI, Part 68, Section 487A, Public Law 100-607, 288-I

OBJECTIVES:

This listing is no longer in use. To help assure an adequate supply of trained researchers (physicians, registered nurses and scientists) with respect to acquired immunodeficiency syndrome (AIDS) at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants who agree, by written contract, to primarily engage in AIDS research as employees of the NIH.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

This program will provide partial repayment of educational loans incurred by physicians, registered nurses and scientists engaged, as employees of the NIH, in AIDS research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts are available, dependent upon the level of debt and continued involvement in AIDS research, and are issued for one-year periods. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual NIH salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions; Specialized group (e.g. health professionals, students, veterans); Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education in excess of 20 percent of their annual NIH salary (exclusive of special allowances of any kind) on the program eligibility date; (4) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; (5) not have an existing service obligation to Federal, State, or other entities, unless deferred during period of program service; (6) submit an application to participate in the Loan Repayment Program; and (7) submit to the Secretary for Health and Human Services, at the time of application, a signed contract agreeing to engage in AIDS research at the NIH for a minimum of 2 years.

Beneficiary Eligibility:

Scientist/Researchers; AIDS researchers who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: 1) Copies of loan applications and agreements from governmental or commercial educational loans that are being considered for repayment; 2) copies of the standard student budget from schools attended during period when debt was incurred; and 3) other documentation as required by law. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each IC. Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or her/his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The approximate time for approval/disapproval is three months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

From 60 to 90 days. At the conclusion of the initial two-year contract, participants may apply and be recommended for subsequent one-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds that maximum repayable amount for 2-years. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly

Reports:

A year-end summary is provided for inclusion in the OITE annual report. Program participants must be certified quarterly as to having satisfactorily performed their research assignments. Program participants must be certified quarterly as to having satisfactorily performed their research assignments.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation. Grantees generally

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must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel record for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

Project Grants FY 20 \$73,250.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayment awards may range from \$4,000 to \$70,000; tax reimbursements range from \$1,977 to \$34,598. The average contract cost which includes loan and tax reimbursement is \$53,162. There were no new awards in 2009. There 4 renewal awards.

TAFS Codes:

75-0009.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: During Fiscal Year 2020, one AIDS renewal application was funded. There were no new AIDS application.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 100-607, Public Law 103-43, Public Law 105-392; Federal Register, Volume 54, No. 215, November 8, 1989; NIH Guide for Grants and Contracts, Volume 23, No. 24, June 24, 1994; Federal Register, Volume 56, No. 150, August 5, 1991; Section 487A of Public Health Service Act (42 U.S.C. 288-1); and the Federal Register, Volume 78, No. 66, Friday, April 5, 2013. Notice of Final Rule.

Regional or Local Office:

None.

Headquarters Office:

Director, NIH Intramural Loan Repayment Programs Patricia A. Cole, PhD, Office of Intramural Training and Education, National Institutes of Health, Department of Health and Human Services Building 2, Room 2E18, 2 Center Drive,

Bethesda MD 20892-0230

Email: colep@mail.nih.gov

Phone: (301) 402-1283

Fax: (301) 480-4942.

Website Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.220 National Institutes of Health Intramural Loan Repayment Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Loan Repayment Program for AIDS Research after the LRC determines that the applicant will conduct qualified AIDS research. LRC approval, in part, is based upon the appropriateness of the research assignment to qualified AIDS research, the scientific merit of the research, and the credentials of the applicant as they relate to performing qualified AIDS research.

93.939 HIV Prevention Activities Non-Governmental Organization Based

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To provide assistance to local, regional and, national nonprofit organizations to: (a) develop and implement effective community-based Human Immunodeficiency Virus (HIV) prevention programs related to achieving national goals; (b) promote coordination for primary and secondary HIV prevention efforts among community organizations, HIV education/prevention service agencies, and public organizations including local and State health departments and substance abuse agencies; and (c) evaluate the HIV prevention programs for which support is provided.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to promote coordination for primary and secondary HIV prevention efforts among community-based organizations, HIV education/prevention service agencies, and nonprofit national and regional organizations.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Nongovernmental public and nonprofit private entities are eligible, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Public and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

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Requirements for Federal Awards applies to this program. This program is excluded from coverage under 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, they may contact the CDC Office of Grant Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of OMB Circular No. A-110 for nonprofit organizations. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval of an application, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grants Services (OGS), Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

As stated in the Terms and Conditions in the Notice of Award (NoA). Method of awarding/releasing assistance: letter

Reports:

See notice of funding opportunity (NOFO) for details. See NOFO for details. See NOFO for details. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$52,463,022.00; FY 21 est \$53,315,664.00; FY 22 est \$53,315,664.00; Cooperative Agreements FY 20 \$53,353,122.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$52,463,021.00; FY 21 est \$11,274,287.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096
Email: mzf3@cdc.gov
Phone: 4046398864
Fax:

Website Address:

<http://www.cdc.gov/hiv/>

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs; 93.947 Tuberculosis Demonstration, Research, Public and Professional Education; 93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance.

93.940 HIV Prevention Activities Health Department Based

HIV Prevention Activities Health Department,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 317, , Public Law 100-436

OBJECTIVES:

To assist States and political subdivisions of States in meeting the cost of establishing and maintaining Human Immunodeficiency Virus (HIV) prevention programs.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Project funds may be used to support, develop, implement and evaluate primary and secondary HIV prevention programs implemented by State and local health departments.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; States, and in

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consultation with State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Beneficiary Eligibility:

U.S. Territories; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; States, State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. OMB Circular No. A-87 (Revised May 10, 2011) applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is required. This program is eligible for coverage under E.O. 12372. "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to six months.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for one to five years with 12-month budget periods.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period on these grants is one to five years and the budget period is 12 months. Method of awarding/releasing assistance: letter

Reports:

See notice of funding opportunity (NOFO) for details. See notice of funding opportunity (NOFO) for details. See notice of funding opportunity (NOFO) for details. See notice of funding opportunity (NOFO) for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$502,078,800.00; FY 21 est \$510,432,768.00; FY 22 est \$510,432,768.00; Cooperative Agreements (Discretionary Grants) FY 20 \$413,569,913.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$501,546,033.00; FY 21 est \$899,158,028.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit located at www.grants.gov. PHS Grants Policy Statement No. 94- 50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096
Email: mzf3@cdc.gov
Phone: 4046398864
Fax:

Website Address:

<http://www.cdc.gov/hiv/>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.991 Preventive Health and Health Services Block Grant;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) satisfactory progress in meeting project objectives; (2) the extent to which the objectives for the new budget period are specific, measurable, time phased, realistic, and consistent with National HIV Prevention Goals; (3) the extent to which proposed changes in methods of operation are appropriate and likely to improve prevention efforts; (4) evaluation plans which enhance the success of the project; (5) the availability of funds.

93.941 HIV Demonstration, Research, Public and Professional Education Projects

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND

ASSISTANCE LISTINGS DESCRIPTIONS

HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301(a),

OBJECTIVES:

To develop, test, and disseminate improved HIV prevention strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To assist States, political subdivisions of States, and other public and nonprofit entities with research on the prevention of HIV infection at the community level.

Funds may be used to develop, implement and evaluate new interventions, including those targeting people who are infected with HIV. Applicants are encouraged to have research groups participate in the program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and nonprofit private entities.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74. OMB Circular No. A-87 (revised May 10, 2004) applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Contact the headquarters or regional locations, as appropriate, for application deadlines

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, the applicant should contact CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov.

Award Procedure:

After review and approval, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 90 to 120 days/3 to 6 months.

Appeals:

Not Applicable. Not applicable, as noted in the Terms and Conditions.

Renewals:

Other. Projects are for one to five years with 12-month budget periods.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: For 12 months. Method of awarding/releasing assistance: letter

Reports:

See Notice of funding opportunity (NOFO) for details. See Notice of funding opportunity (NOFO) for details. See Notice of funding opportunity (NOFO) for details. See Notice of funding opportunity (NOFO) for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$6,672,180.00; FY 21 est \$4,686,503.00; FY 22 est \$4,686,503.00; Cooperative Agreements FY 20 \$4,319,135.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$2,335,785.00; FY 21 est \$4,327,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94- 50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096

Email: mzf3@cdc.gov

Phone: 4046398864

Fax:

Website Address:

<http://www.cdc.gov/hiv/>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical

ASSISTANCE LISTINGS DESCRIPTIONS

Assistance; 93.991 Preventive Health and Health Services Block Grant;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent to which proposed objectives are specific, measurable, time-phased, and consistent with the stated purpose of this program; the extent to which the applicant's proposed plan will identify and reach appropriate hard-to-reach individuals at risk for HIV, and the quality and scope of the applicant's past and current activities to provide education about HIV prevention and AIDS to high risk individuals; the quality of the applicant's proposed interventions and strategies to motivate and reinforce risk reduction behavior change among hard-to-reach individuals; the quality of the applicant's proposed plan to promote changes in community norms regarding HIV prevention and risk reduction behavior, and to generate support, cooperation, and collaboration with community based organizations serving individuals at high risk for HIV; the extent to which the applicant's proposed plan will determine, monitor, and measure changes in the prevalence of specific knowledge, attitudes, beliefs and self-reported behaviors among hard-to-reach individuals; the quality of the applicant's plan, including proposed methods and instruments of measurement to evaluate effectiveness of the strategies used to motivate and reinforce behavior change among groups targeted by the interventions, and the impact of the programmatic efforts on community norms; the extent to which the applicant's proposed plan will enable it to respond to and redirect programmatic efforts based on new information, changes in behavior, and changes in HIV seroprevalence.

93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States

(Lyme Disease),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301, 42 USC Code 241(a).

OBJECTIVES:

To develop, implement and evaluate practical and effective measures for the primary and secondary prevention of Lyme disease in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding opportunities are made available to develop disease surveillance, conduct ecological and epidemiological studies, develop prevention and control activities, develop better diagnostic tests, and develop and disseminate educational materials and programs. Cooperative Agreements include funds for direct costs, i.e., personnel, travel, equipment, supplies, necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, such as reimbursement of pre-award costs, as well as those for which prior written approval is required, are indicated in the Health & Human Services (HHS) Grants Policy Statement

(<https://www.hhs.gov/sites/default/files/grants/grants/policies-regulations/hhsgrps107.pdf>).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public and nonprofit organizations able to provide services to geographical areas where Lyme disease is endemic or found to be newly emerging in the continental United States. Thus, universities, colleges,

research institutions, State and local health departments, and private nonprofit organizations are eligible.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Native American Organizations; State; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; States, political subdivisions of states, and other public and nonprofit private entities and the general public who may be exposed to the threat of Lyme disease in certain geographical areas.

Credentials/Documentation:

Nonprofit organizations are corporations or associations no part of whose net earnings may lawfully inure to the benefit of any private shareholder or individual. Proof of nonprofit status must be submitted by private nonprofit organizations with the application or, if previously filed with PHS, the applicant must state where and when the proof was submitted. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program has no preapplication requirements.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply to a published funding opportunity, applications must be submitted electronically through Grants.gov (<https://www.grants.gov>) using the SF-424 Research and Related (R&R) forms and the SF-424 (R&R) Application Guide. Detailed application instructions are included in the Notice of Funding Opportunity (NOFO) for federal assistance. Online information can be found at HHS/CDC's Office of Financial Resources, Funding, Grants internet page: <https://www.cdc.gov/grants/index.html>. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Upon receipt, applications will be evaluated for completeness and responsiveness by the HHS/CDC Office of Grant Services and agency extramural program office. HHS/CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and NoA indicates support recommended for the remainder of the period of performance, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Other. Cooperative agreement periods of performance are typically for 3 to 4 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within periods of performance

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typically ranging from 3 to 4 years. Method of awarding/releasing assistance: other
Reports:

The DHHS Public Health Service Non-Competing Continuation Progress Report (PHS 2590, Revised 03/2016) is due no later than 120 days prior to the end of each budget period within the period of performance. This report also serves as the continuation application. This report should include the information specified in the Notice of Funding Opportunity (NOFO). The Annual Federal Financial Report (FFR, SF-425) is required and must be submitted through eRA Commons no later than 90 days after the end of the calendar quarter in which each budget period ends. Final financial status reports are required no more than 90 days after the end of the period of performance. Final performance reports are required no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist indicated in the NoA.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0949-0-1-550.

Obligations:

Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00; Cooperative Agreements (Discretionary Grants) FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

\$250,000 to \$500,000; Average award depends on Notice of Funding Opportunity (NOFO).

TAFS Codes:

75-0949.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information on DHHS Grants Policies and Regulations may be found at <https://www.hhs.gov/grants/grants-policies-regulations/index.html>. Pertinent information may be obtained by contacting the Headquarters Office.

Regional or Local Office:

See Regional Assistance Locations. Bacterial Diseases Branch, Division of Vector-Borne Diseases, National Center for Emerging and Zoonotic Infectious Diseases, Office of Infectious Diseases, Centers for Disease Control and Prevention, 3156 Rampart Road, Fort Collins, CO 80521. Branch Extramural Activities Contacts: Ms. Diane Hamm - Telephone: (970) 221-6414 and Dr. Paul Mead - Telephone: (970) 221-6474. Division Extramural Activities Contacts: Ms. Lisa Mackey - Telephone: (970) 221-6415 or Dr. Charles (Ben) Beard - Telephone: (970) 221-6418.

Headquarters Office:

Amy Ullmann,
3156 Rampart Rd,
Fort Collins CO 80521
Email: aff1@cdc.gov
Phone: 970-225-4251
Fax: 970-567-8915

Website Address:

<http://www.cdc.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for public health research grants and cooperative agreements are evaluated for scientific and technical merit through the HHS/CDC peer review system. Applications are evaluated by a chartered Special Emphasis Panel in accordance with the Federal Advisory Committee Act, and in accordance with CDC peer review policy and manual. Applications will be evaluated on the review criteria described in the Notice of Funding Opportunity (NOFO). In general, the review and selection process of complete and responsive applications to the NOFO consists of determination of the scientific merit by peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the NOFO for additional review criteria.

93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups

Minority HIV Research Initiative (MARI) to Support Epidemiologic and Implementation,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Act, Section 301,

OBJECTIVES:

To support promising epidemiologic and implementation science research in racial/ethnic minority communities disproportionately affected by HIV while strengthening the capacity for conducting such research among investigators working in these communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is provided to support cooperative agreements that examine important epidemiologic research issues concerning AIDS and HIV infection. The research should contribute to the health services knowledge base from which empirically based information can be derived by policy makers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, as well as those for which prior written approval is required, are indicated in the PHS Grants Policy Statement.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants include States, political subdivisions of States or their agents or instrumentalities, private research organizations, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, other public and private nonprofit organizations, and for-profit organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Local; Public nonprofit institution/organization; State and local health agencies; private research organizations, public and private nonprofit organizations, for profit organizations; minority groups, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and persons physically afflicted with AIDS/HIV

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infection.

Credentials/Documentation:

Costs will be determined by HHS Regulations 45 CFR Part 74, for nonprofit organizations and in accordance with OMB Circular No. A-87, "Cost Principles for State and Local Governments." 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, they may contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization for successful applications. The NoA signed by the Grants Management Officer is the authorizing document and will be sent via email to the grantees business official. Recipient must comply with any funding restrictions as described in Section IV.11. Funding Restrictions. Selection of an application for award is not an authorization to begin performance. Any costs incurred before receipt of the NoA are at the recipient's risk. These costs may be allowable as an expanded authority, but only if authorized by CDC

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 3 - 6 months from receipt of application.

Appeals:

Other. As stated in the Terms and Conditions

Renewals:

Other. If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to four years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: letter

Reports:

See notice of funding opportunity (NOFO) for details. See notice of funding opportunity (NOFO) for details

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In evaluating risks posed by applicants, CDC will use a risk-based approach and may consider any items such as the following: (1) Financial stability; (2) Quality of management systems and ability to meet the management standards prescribed in

this part; (3) History of performance. The applicant's record in managing Federal awards, if it is a prior recipient of Federal awards, including timeliness of compliance with applicable reporting requirements, conformance to the terms and conditions of previous Federal awards, and if applicable, the extent to which any previously awarded amounts will be expended prior to future awards; (4) Reports and findings from audits performed under subpart F 45 CFR 75 or the reports and findings of any other available audits; and (5) The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities. CDC must comply with the guidelines on government-wide suspension and debarment in 2 CFR part 180, and require non-Federal entities to comply with these provisions. These provisions restrict Federal awards, subawards and contracts with certain parties that are debarred, suspended or otherwise excluded from or ineligible for participation in Federal programs or activities.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those cited as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements. Recipients also must establish an Institutional Contact for Dual Use Research (ICDUR). The award recipient must maintain records of institutional DURC reviews and completed risk mitigation plans for the term of the research grant, cooperative agreement or contract plus three years after its completion, but no less than eight years, unless a shorter period is required by law or regulation

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$1,656,646.00; FY 22 est \$1,656,646.00; Cooperative Agreements FY 20 \$0.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This provision is intended to ensure that the public has access to the results and accomplishments of public health activities funded by CDC. Pursuant to applicable grant regulations and CDC's Public Access Policy, Recipient agrees to submit into the National Institutes of Health (NIH) Manuscript Submission (NIHMS) system an electronic version of the final, peer-reviewed manuscript of any such work developed under this award upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication. Also at the time of submission, Recipient and/or the Recipient submitting author must specify the date the final manuscript will be publicly accessible through PubMed Central (PMC). Recipient and/or Recipient submitting author must also post the manuscript through PMC within twelve (12) months of the publisher's official date of final publication; however the author is strongly encouraged to make the subject manuscript available as soon as possible. The recipient must obtain prior approval from the CDC for any exception to this provision. The author's final, peer-reviewed manuscript is defined as the final version accepted for journal publication, and includes all modifications from the publishing peer review process, and all graphics and supplemental material associated with the article. Recipient and its submitting authors working under this award are responsible for ensuring that any publishing or copyright agreements concerning submitted articles reserve adequate right to fully comply with this provision and the license reserved by CDC. The manuscript will be hosted in both PMC and the CDC Stacks institutional repository system. In progress reports for this award, recipient must identify publications subject to the CDC Public Access Policy by using the applicable NIHMS identification number for up to three (3) months after the publication date and the PubMed Central identification number (PMCID) thereafter. The grantee will not seek to publish or present results or findings from this project without prior

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clearance and approval from CDC.

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096
Email: mzf3@cdc.gov
Phone: 4046398864
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated for scientific and technical merit by an appropriate peer review group, in accordance with CDC peer review policy and procedures, using the stated review criteria. As part of the scientific peer review, all applications: Will undergo a selection process in which only those applications deemed to have the highest scientific and technical merit (generally the top half of applications under review), will be discussed and assigned an overall impact/priority score. Will receive a written critique. Applications will be assigned to the appropriate HHS/CDC Center, Institute, or Office. Applications will compete for available funds with all other recommended applications submitted in response to this NOFO. Following initial peer review, recommended applications will receive a second level of review. The following will be considered in making funding recommendations: Scientific and technical merit of the proposed project as determined by scientific peer review. Availability of funds. Relevance of the proposed project to program priorities. Applications will be ranked by priority score and the acceptable funding range determined. Funding recommendations may then take into consideration the following program priorities, in rank order of preference (most important to least important): 1) Topic area diversity: At least one award in each of the four pillars for Ending the HIV Epidemic: Diagnose all individuals with HIV as early as possible Treat people with HIV rapidly and effectively to reach sustained viral suppression Prevent new HIV transmissions by using proven interventions, including PrEP and syringe services programs (SSPs) Respond quickly to potential HIV outbreaks to get needed prevention and treatment services to people who need them 2) Project diversity: At least one award for original epidemiologic research and at least one award for implementation science research*.

93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance

HIV/AIDS Surveillance.

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To continue and strengthen effective human immunodeficiency virus (HIV) and acquired immunodeficiency syndrome (AIDS) surveillance programs and to affect, maintain, measure and evaluate the extent of HIV/AIDS incidence and prevalence throughout the United States and its territories, providing information for targeting and implementing HIV prevention activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries of staff conducting HIV/AIDS surveillance and sero-surveillance activities, travel related to carrying out project activities and participating in national planning and implementation meetings, necessary supplies, computer software and hardware, and laboratory, data collection, and analysis costs. Grant funds are to be added to replace existing resources.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); The governments, or their agents or instrumentalities, of any of the States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, territories or possessions of United States, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and local governments who are current recipients of HIV/AIDS surveillance cooperative agreements.

Beneficiary Eligibility:

State: Official health agencies will benefit.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, they may contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval, a notice of award (NoA) is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and NoA (Form 5152-1) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Other. As stated in the Terms and Conditions.

Renewals:

From 120 to 180 days. Renewals may be approved for 1 to 5 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From one to five years. Method of awarding/releasing assistance: letter

Reports:

See notice of funding opportunity (NOFO) for details. See NOFO for details. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

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nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of each budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$22,786,122.00; FY 21 est \$22,255,432.00; FY 22 est \$22,255,432.00; Cooperative Agreements FY 20 \$22,879,785.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$21,934,910.00; FY 21 est \$22,879,785.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS, 45 CFR 92; Application Instructions and Information and Program Guidance established by CDC for HIV/AIDS cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096
Email: mzf3@cdc.gov
Phone: 4046398864
Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Official health agencies which are current recipients of funds for HIV/AIDS surveillance activities; (2) need and background; and (3) a willingness to comply with applicable CDC sero-surveillance protocols.

93.945 Assistance Programs for Chronic Disease

Prevention and Control

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act., Title 42, Section 301(a) and 317

OBJECTIVES:

To work with State health agencies and other public and private nonprofit organizations in planning, developing, integrating, coordinating, or evaluating programs to prevent and control chronic diseases; assist in monitoring the major behavioral risks associated with the 10 leading causes of premature death and disability in the United States including cardiovascular diseases; and, establish new chronic disease prevention programs like Racial and Ethnic

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used for costs associated with planning, implementing, and evaluating chronic disease prevention and control programs. Cooperative agreement funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, tribal organizations, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa. Other public and private nonprofit community based organizations are also eligible (see REACH).

Beneficiary Eligibility:

Consumer; State; State health agencies and community based organizations will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, State the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, and OMB Circular No. A-122 for nonprofit organizations. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92 and 74. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for these programs.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

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deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to four months.

Appeals:

Not Applicable.

Renewals:

Other. After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project Period: From 3 three to 5 five years. Budget period: Usually 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required on a semi-annual basis. An annual Financial Status Report (FSR) is required. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required on a semi-annual basis. An annual Financial Status Report (FSR) is required.

Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required on a semi-annual basis.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0958-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$3,969,485.00; FY 21 est \$9,764,606.00; FY 22 est \$9,764,606.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are program regulations under 42 CFR 51b, Project Grants for Preventive Health Services. Guidelines are also available from PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to grants and cooperative agreements.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV.

Headquarters Office:

Deputy Director, DPH Sheryl Heard,
4770 Buford Highway,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: (770) 488- 2855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation criteria as published in the program and/or Federal Register Announcement.

93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs

Safe Motherhood and Infant Health (Reproductive Health),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act; Safe Motherhood, Section 317K; Prenatal and Postnatal Health, Section 317L, , 42 USC Code 247b-12 and 13.

OBJECTIVES:

To promote optimal and equitable health in women and infants through public health surveillance, research, leadership, and partnership to move science to practice. In carrying out this mission, the Division of Reproductive Health: 1) Enhances the ability of others to identify and address male and female reproductive issues and infant health issues by providing technical assistance, consultation, and training worldwide; 2) supports national and state-based surveillance systems to monitor trends and investigate health issues; 3) conducts epidemiologic, behavioral, demographic and health services research; and 4) works with partners to translate research findings into health care practice, public health policy, and health promotion strategies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

PRAMS: The Pregnancy Risk Assessment Monitoring System, is a surveillance project of the Centers for Disease Control and Prevention (CDC) and state health departments. PRAMS collects state-specific, population-based data on maternal attitudes and experiences before, during, and shortly after pregnancy. PRAMS provides data for state health officials to use to improve the health of mothers and infants; allows CDC and the states to monitor changes in maternal and child health indicators (e.g., unintended pregnancy, prenatal care, breastfeeding, smoking, drinking, infant health); and enhances information from birth certificates used to plan and review state maternal and infant health programs. The PRAMS sample is chosen from all women who had a live birth recently, so findings can be applied to the state's entire population of women who have recently delivered a live-born infant. PRAMS not only provides state-specific data but also allows comparisons among participating states because the same data collection methods are used in all states. SID/SUID (Sudden infant Death/Sudden Unexplained Infant Death): The SUID Case Registry aims to generate public health surveillance information about SUID at the national, state, and local levels that is more detailed than what is currently available. Instead of creating an entirely new system, the SUID Case Registry enhances the National Center for Child Death Review program and their Case Reporting System. The SUID Case Registry generates public health surveillance information that can comprehensively describe the circumstances and events surrounding SUID cases. This will allow researchers, medicolegal investigators, and program prevention planners to better understand characteristics associated with SUID, evaluate case investigation practices, and ultimately prevent infant deaths. Validation of Prenatal Substance Use Screening Tools: The purpose of this research is to validate and compare the performance of the most promising substance abuse screening tools that are already available in the literature (for example, the 4Ps-plus, the 5Ps, the Substance Use Risk Profile Pregnancy Scale (SURP-P), the CRAFFT, the NIDA Quick Screen) and can be used among

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pregnant women seeking medical services (e.g. antenatal or delivery care) in clinical settings in the United States. Medicaid Expansion and Reproductive Health Care for Women: The intent of this FOA is to study the use of medical services and health outcomes among low-income women of reproductive age (15-44 years), before and after expansion of Medicaid eligibility. This research will require linkage of state Medicaid data with other key maternal and child health datasets including, but not limited to, birth certificates, death certificates, and hospital discharge data. Perinatal Quality Collaboratives: This FOA will support states to enhance the capabilities of state-based perinatal quality collaboratives to improve the quality of perinatal care in their states, including but not limited to: reducing early elective deliveries, increasing use and documentation of use of antenatal steroids, increasing breastfeeding rates, and reducing central line-associated blood stream infections in neonates. Module B will continue to support the work of the 3 states that have successfully expanded their Perinatal Quality Collaborative through an existing FOA, CDC-RFA- DP11-1110, that ends September 29, 2014, and will support expansion of PQC projects and formal mentoring and capacity building for Module A awardees. Maternal and Child Health Capacity Building: The program promotes and improves the health and well-being of women, children, and families by building capacity at state, local, and tribal levels and to use and apply sound epidemiologic research and scientific information to maternal and child health programs and policies through collaborations with partners. Special Interest Project (SIP)/Progestin contraception and HIV risk: Clinical and laboratory follow-up of a cohort of HIV-infected and uninfected women: The purpose of this SIP is to establish a cohort of HIV-infected and HIV-uninfected women on different types of progestin-containing contraception to assess differences in women's continuation with a given method and the effects of long-term progestin use on markers of HIV risk and efficacy of ART. Also the project will support laboratory studies to analyze the effects of progestin on HIV shedding, inflammatory/immune markers in the genital tract, and the interaction of progestin with antiretroviral therapy on contraceptive and ART efficacy. Objectives in the topic area of Family Planning and HIV Prevention intend to increase the proportion of pregnancies that are intended; increase the proportion of sexually experienced persons who received reproductive health services; reduce pregnancy rates among adolescent females; and reduce the rate of HIV transmission among adolescents and adults. Teen Pregnancy Prevention: The program is supporting public and private entities to fund medically accurate and age appropriate evidence-based or innovative program models to reduce teen pregnancy. The purpose of the program is to reduce teen pregnancy by working directly with publicly funded clinics to increase access to and use of reproductive health services among vulnerable youth. Activities include building the capacity of staff and clinical providers, establishing partnerships with youth-serving systems, and developing communication efforts. The primary target population is 15- to 19-year-olds, the age group among whom the majority of teen births occur; however, since the Society of Adolescent Health and Medicine and the United Nations Family Planning Association define adolescents as 15-24, the target age group may be expanded. Development and Testing of a Clinic-Based Intervention to Increase Dual Protection against Unintended Pregnancy and STDs among High Risk Female Teens: The purpose of this funding opportunity is to develop and evaluate a clinic-based intervention addressing access to, motivation for, and adherence to dual protection strategies that concurrently protect against unintended pregnancies and sexually transmitted diseases (STDs), including human immunodeficiency virus (HIV) among high risk 15-19 year old females.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Official State and Territorial public health agencies. City of New York public health agency and District of Columbia. Applications may be submitted by public and private nonprofit and for-profit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit and for-profit organizations, State and local governments or their bona fide agents, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations, and small, minority, women-owned

businesses.

Beneficiary Eligibility:

Federal; U.S. Territories; Private nonprofit institution/organization; Other private institution/organization; State; Local; Official State and Territorial public health agencies. City of New York public health agency and District of Columbia. Applications may be submitted by public and private nonprofit and for-profit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit and for-profit organizations, State and local governments or their bona fide agents, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations, and small, minority, women-owned businesses.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Rd, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Information on renewals may be obtained from the Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project Period: up to five years. Budget Period: All programs: For 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required annually Progress reports are required annually Annual financial reports are required at the end of each budget period. A final financial status report is due 90 days after the project period ends. Progress reports

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are required annually

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreements program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0948-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$6,497,686.00; FY 21 Estimate Not Available; FY 22 est \$7,125,160.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0948.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Public Health Analyst, DRH Sheryl Heard,
4770 Buford Highway, NE,
Atlanta GA 30341
Email: slh3@cdc.gov
Phone: (770) 488-2855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.994 Maternal and Child Health Services Block Grant to the States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All programs: (1) The extent to which the application describes and presents appropriate data indicating an understanding of the problem, the program for which assistance is requested, and the purpose of the cooperative agreement; (2) the degree to which the workplan addresses the stated needs, is likely to achieve the purposes of the cooperative agreement, and describes the specific roles and responsibilities of participating personnel; (3) the degree to which the application provides a complete and achievable timetable of appropriate events; (4) the adequacy of the plan to monitor progress toward the stated objectives; (5) the extent to which the budget is reasonable, consistent with the problems identified and the scope of the program proposed to address these problems, and the intended use of cooperative agreement funds, and clearly reflects the applicant's intent to commit nonfederal resources to support the operational costs of these programs. PRAMS only: The degree to which the application describes the process for registering births in the State.

93.947 Tuberculosis Demonstration, Research,

Public and Professional Education

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under Sections 317(k)(2) and 317E of the Public Health Service Act (42 U.S.C. Sections 247b-6), as amended.,

OBJECTIVES:

To assist States, political subdivisions of States, and other public and nonprofit private entities in conducting research into prevention and control of tuberculosis (TB) nationally and internationally. To conduct demonstration projects, provide TB and latent TB infections (LTBI) communication, education, training, medical consultation activities to TB programs, private providers, and other partners for the prevention and control of TB.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Requests for direct assistance (i.e., "in lieu of cash") for personnel, equipment, and supplies directly related to project activities, particularly directly observed therapy, patient outreach, and program assessment will be considered. Project funds may not be used to supplant State or local funds available for tuberculosis control or to support construction costs or inpatient care.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); States, political subdivisions of States, and other public and nonprofit private entities.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; States, political subdivisions of States, other public and nonprofit private entities, serving persons with TB infection and disease.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with DHHS Regulations 45 CFR Part 74 Subpart Q. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, they may contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: OGSTIMS@cdc.gov. This program is subject to the requirements described in 45 CFR 92. In addition, some funding opportunities may require application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After officials review and approve applications, a notice of award (NoA) is prepared and processed, and appropriate notification is made to the public. Initial award provides funds for the first budget period (12 months) and NoA indicates support provided in the initial award and the recommended funding amounts for

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the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 120 to 180 days.

Renewals:

From 60 to 90 days. Project periods are for 3 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office Grants Services, Centers for Disease Control and Prevention.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

From three to five years. Budget Period: For 12 months. Method of awarding/releasing assistance: other

Reports:

The Annual Performance Report is due no later than 120 days prior to the end of the budget period. The Annual Performance Report serves as the continuing application. This report should include the information specified in the notice of funding opportunity (NOFO). The Annual Federal Financial Report is required 90 days after the end of each budget period. Final Financial Reports are required 90 days after the end of a project period. The Annual Federal Financial Report is required 90 days after the end of each budget period. Annual financial status reports are required no later than 90 days after the end of each budget period. Final financial status reports are required 90 days after the end of a project period. The Annual Federal Financial Report is required 90 days after the end of each budget period. Annual financial status reports are required no later than 90 days after the end of each budget period. Final financial status reports are required 90 days after the end of a project period. See notice of funding opportunity (NOFO) for details. Awardees will meet the annual requirement to report on performance measures with the submission of an Annual Performance Report. However, CDC may request an additional report, the Performance Measure Report, in certain instances such as a jurisdiction's response to a large TB outbreak.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report. If any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken. Property records must be retained in accordance with 45 CFR 74.53 and HHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$5,388,040.00; FY 21 est \$5,388,040.00; FY 22 est \$5,388,040.00; Cooperative Agreements FY 20 \$0.00; FY 21 Estimate Not Available; FY 22 Estimate Not Available; Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

\$100,000 to \$1,000,000 with an average of \$120,000.

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Kathryn Koski, Deputy Director, Division of Tuberculosis Elimination, 1600 Clifton Road, MS E-10,

Atlanta GA 30333

Email: kak4@cdc.gov

Phone: 404-639-5259

Fax: 404-639-8604 Karen Bailey,

1600 Clifton Road, MS US8-6,

Atlanta GA 30333

Email: kfa6@cdc.gov

Phone: 404-639-8377

Fax:

Website Address:

<http://www.cdc.gov/tb>

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed initially for completeness by CDC. Incomplete applications that do not meet the eligibility criteria will not advance. Applicants will be notified that their applications did not meet eligibility or published submission requirements. A review panel will evaluate complete, eligible applications in accordance with the Criteria section of the notice of funding opportunity (NOFO).

93.958 Block Grants for Community Mental Health Services

Mental Health Block Grant (MHBG),

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Public Health Service Act, Subpart 1 and III, Title XIX, Part B, , Public Law 116-260

OBJECTIVES:

To provide financial assistance to States and Territories to enable them to carry out the State's plan for providing comprehensive community mental health services to adults with a serious mental illness and to children with a serious emotional disturbance; monitor the progress in implementing a comprehensive community based mental health system; provide technical assistance to States and the Mental Health Planning Council that will assist the States in planning and implementing a comprehensive community based mental health system.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the state to achieve the described objectives

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except for certain requirements. State plans must meet prescribed criteria. Services under the plan will be provided only through appropriate, qualified community programs (which may include community mental health centers, child mental-health programs, psychosocial rehabilitation programs, mental health peer-support programs and mental-health primary consumer- directed programs). Services under the plan will be provided through community mental health centers only if the centers meet prescribed criteria. Up to 5 percent of grant funds may be used for administering the funds. Funds may not be used to provide inpatient services; to make cash payments to intended recipients of health services; to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment; to satisfy any requirement for the expenditure of nonfederal funds as a condition for the receipt of Federal funds; or to provide financial assistance to any entity other than a public or nonprofit private entity. States are required to provide systems of integrated services for children with SED. Each year the State shall expend not less than the amount expended in FY 1994. States are also required to set aside 10 percent of their allocation for implementing programs showing strong evidence of effectiveness that targets individuals with early serious mental illness including psychotic disorders. Other statutory requirements also apply.

Applicant Eligibility:

State; U.S. Territories and possessions; Other private institutions/organizations; For the CMHC Program - States and U.S. Territory Governments and Community Mental Health Centers as defined by Section 1913 (c) of the Public Health Services Act.

Beneficiary Eligibility:

U.S. Territories; State; Other private institution/organization;

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. FY 2021 Community Mental Health Centers (CMHC) Grant Program program is covered under Executive Order (EO) 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Portions of 2 CFR 200/45 CFR Part 75 applies to this program. Chief Executive Officer of the State or Territory must apply annually for an allotment. States are required to submit an application which contains a State Plan that describes comprehensive community mental health services for adults with a serious mental illness and children with a serious emotional disturbance; an Implementation Report that describes State progress in implementing the plan for the preceding year; recommendations from the State Mental Health Planning Council; a report on expenditure of the preceding fiscal year's block grant funds; a maintenance of effort report and agreements signed by the Chief Executive Officer of the State. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

MHBG Grant Awards are issued directly by The Center for Mental Health Services, Substance Abuse and Mental Health Services Administration (SAMHSA) to the designated State agency. CMHC program award procedure are listed in the Funding Opportunity Announcement

Deadlines:

July 01, 2019 - September 01, 2019. The application is due no later than September 1 of the fiscal year for which MHBG funding is being requested. The implementation report is due by December 1.

Applications are due by May 21, 2021 for the FY 2021 Community Mental Health Centers (CMHC) Grant Program

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XIX, Chapter , Part B, Subpart I and III, Public Law 106-310. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: This program has MOE requirements, see funding agency for further details. Additional Information: Under 42 USC, 300x-4(b), States are required to maintain aggregate State expenditures for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant..

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year in which it was allotted through the end of the subsequent fiscal year. Method of awarding/releasing assistance: other

Reports:

Program Reports: As part of the application, each State must submit an Implementation Report that describes the State's progress in implementing the State's Comprehensive Community Mental Health Services Plan for the preceding fiscal year and a report of the purposes for which the grant received for the preceding fiscal year were expended, including a description of the activities of the State under the program and the recipients of the funds. Expenditure Reports: Federal Financial Report SF-425 is due 90 days after the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-1363-0-1-551.

Obligations:

Formula Grants FY 20 \$684,849,033.00; FY 21 est \$717,864,361.00; FY 22 est \$717,864,361.00; Project Grants (Discretionary) FY 20 Not Available; FY 21 est \$825,000,000.00; and FY 22 est \$825,000,000.00;

Range and Average of Financial Assistance:

Block Grant - \$50,000 to \$95,047,871; Avg. \$11,608,623 Community Mental Health Centers Discretionary Funding - \$500,000 to \$2,000,000 per year

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: It is estimated that 176 awards will be made in FY 2021 for the Community Mental Health Services (CMHS) Block Grant and 500+ awards directly to Community Mental Health Centers under the CMHC Discretionary grant program.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 96; also portions of 2 CFR Part 200/45 CFR Part 75.

Regional or Local Office:

Headquarters Office:

Branch Chief, Formula Grants Branch Odessa Crocker,
5600 Fishers Lane,
Rockville MD 20857
Email: odessa.crocker@samhsa.hhs.gov
Phone: 240-276-1078

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

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CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill statutory and regulatory requirements. For information regarding statutory and regulatory requirements, contact Tison Thomas, Division of State & Community Systems Development, Center for Mental Health Services, SAMHSA, HHS, 5600 Fishers Lane, Rockville, MD 20850. Telephone: (240) 276-1100.

93.959 Block Grants for Prevention and Treatment of Substance Abuse

Substance Abuse Block Grant (SABG),

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES
ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF
AUTHORIZATION:

Title XIX, Part B - Block Grants Regarding Mental Health and Substance Abuse,
Section 1921 - 1935, 1941-1956

OBJECTIVES:

To provide financial assistance to States and Territories to support projects for the development and implementation of prevention, treatment and rehabilitation activities directed to the diseases of alcohol and drug abuse.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the States to achieve the statutory objectives, including the fulfillment of certain requirements. Not less than 20 percent of the funds shall be spent for programs for individuals who do not require treatment for substance abuse, but to educate and counsel such individuals and to provide for activities to reduce the risk of such abuse by the individuals by developing community-based strategies for prevention of such abuse, including the use of alcoholic beverages and tobacco products by individuals to whom it is unlawful to sell or distribute such beverages or products. States shall expend not less than 5 percent of the grant to increase (relative to fiscal year 1994) the availability of treatment services designed for pregnant women and women with dependent children (either by establishing new programs or expanding the capacity of existing programs). In addition, a State that has a rate of acquired immune deficiency syndrome in excess of the rate stipulated at 42 USC 300A-24(b) must expend the portion of its block grant specified by 300A-24(b) for HIV early intervention services as defined by the PHS Act. States must require programs of treatment for intravenous drug abuse to admit individuals into treatment within 14 days after making such a request or 120 days of such a request, if interim services are made available within 48 hours. States will provide, directly or through arrangements with other public or nonprofit entities, tuberculosis services such as counseling, testing, treatment, and early intervention services for substance abusers at risk for the human immunodeficiency virus (HIV) disease. Other statutory requirements also apply. Up to 5 percent of grant funds may be used for administering the grant.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); State and U.S. Territory Governments; or Tribal Organizations. NOTE: Only the Red Lake Band of Chippewa Indians is eligible for direct award of Block Grants for Prevention and Treatment of Substance Abuse Funds, per the PHS Act.

Beneficiary Eligibility:

U.S. Territories; Native American Organizations; State; Federally Recognized Indian Tribal Governments; Recipients of State and U.S. Territory Governments; or Tribal Organizations. NOTE: Only the Red Lake Band of Chippewa Indians is eligible for direct award of Block Grants for Prevention and Treatment of Substance Abuse Funds, per the PHS Act.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Portions of 2 CFR 200/45 CFR Part 75 applies to this program. The Chief Executive Officer of the State, Territory, or Tribal Organization (limited to the Red Lake Band of the Chippewa) must apply annually for an allotment.

Award Procedure:

Grant awards are issued directly by the Center for Substance Abuse Treatment, Substance Abuse and Mental Health Services Administration (SAMHSA) to the designated State Agency, Territory, or Tribal organization (limited to the Red Lake Band of the Chippewa).

Deadlines:

October 01, 2016. The application is due no later than October 1 of the fiscal year for which SABG funding is being requested.

Range of Approval/Disapproval Time:

From 30 to 60 days. Synar Report is due by December 31, 2016.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XIX, Chapter , Part B, Subpart II and III, Public Law 106-310. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: Under 42 USC 300x-30, States expenditure for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year it was allotted through the end of the subsequent fiscal year for which the State is applying for the grant. Method of awarding/releasing assistance: quarterly

Reports:

Synar report is due on December 31. A Federal Cash Transactions Report is due to HHS Payment Management Services on a quarterly basis. Federal Financial Report SF-425 is due 90 days after the end of the budget period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 This program is subject to 45 CFR Part 75, Subpart F - Audit requirements and has a compliance supplement.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-1364-0-1-551.

Obligations:

Formula Grants FY 20 \$1,760,455,422.00; FY 21 est (\$1,772,346,547.00); FY 22 Not Available;

Range and Average of Financial Assistance:

\$133,476 to \$254,417,734; Avg. \$29,330,272

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

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Fiscal Year 2020: It is estimated 58 awards will be made. Fiscal Year 2021: It is estimated 180 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 96 - Block Grants; Subsections of 45 CFR Part 75, as identified under 75.101(d); website at <https://www.samhsa.gov/grants/block-grants>.

Regional or Local Office:

None.

Headquarters Office:

Chief, Formula Grants Branch Odessa Crocker,
5600 Fishers Lane,
Rockville MD 20857
Email: odessa.crocker@samhsa.hhs.gov
Phone: 240-276-1078

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill statutory and Federal regulations and regulatory requirements. For information on statutory and Federal regulations requirements contact John J. Campbell, Division of State and Community Assistance, Center for Substance Abuse Treatment, SAMHSA, HHS, Phone: (240) 276-2891, Email: john.campbell@samhsa.hhs.gov.

93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services

Black Lung Clinics Program (BLCP) and the Black Lung Data and Resource Center (BLDRC),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sec. 427(a) of the Federal Mine Safety and Health Act of 1977, as amended (30 U.S.C. 937).

OBJECTIVES:

The Black Lung Clinics Program aims to reduce the morbidity and mortality associated with occupationally-related coal mine dust lung disease (CMDLD) through the provision of quality medical, outreach, educational, and benefits counseling services to coal miners. The primary objective of the Black Lung Data and Resource Center is to improve patient-level data collection and analysis, clinic operations, and the quality and breadth of services provided by the Black Lung Clinics Program (BLCP) recipients.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

USES: Screening, diagnosis and treatment services to active, inactive, disabled, and retired coal miners, including provision of the following services: education and outreach; medical case management; compensation counseling; DOL medical exams in accordance with the authorizing legislation; patient data collection and analysis; and other treatments that may relieve symptoms.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); The BLCP is open

to any state or public or private entity that meets the requirements of the program. This includes faith-based and community-based organizations as well as federally-recognized Tribes and Tribal organizations. The requirements may be met by a state, a single entity in a state, or a newly-formed consortium within a state. The BLDRC is open to any state or public or private entity that meets the requirements of the program. This includes faith-based and community-based organizations as well as federally-recognized Tribes and Tribal organizations.

Beneficiary Eligibility:

Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Per 42 CFR Part55a, a coal miner is defined as: Any individual who works or has worked in or around a coal mine or coal preparation facility in the extraction or preparation of coal. The term also includes an individual who works or has worked in coal mine construction or transportation in and around a coal mine, to the extent that the individual was exposed to coal dust as a result of employment.

Credentials/Documentation:

Applicants should review the individual HRSA notice of funding opportunity issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Up to 6 months.

Appeals:

Not Applicable.

Renewals:

Other. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance will cover operational costs and awardees will generally be expected to begin to deliver services immediately. See the following for information on how assistance is awarded/released: Awardees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Awardees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as

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the Secretary determines appropriate. Awardees will be required to submit a Performance Improvement Measurement System (PIMS) report through the EHB once a year throughout their project period. The awardee will be required to submit performance and progress reports as well as status-federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 30 days of the end of each calendar quarter. A Federal Financial Report (SF-425) according to the following schedule:

<http://www.hrsa.gov/grants/manage/technicalassistance/federalfinancialreport/ffrcschedule.pdf>. A final report is due within 90 days after the project period ends. If applicable, the awardee must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation awardees, etc. may be subject to this requirement and will be so notified in the Notice of Award. A Non-competing Continuation report is required once a year throughout their project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Awardees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$125,000.00; FY 21 est \$125,000.00; FY 22 est \$125,000.00; Project Grants FY 20 \$11,253,686.00; FY 21 est \$11,253,686.00; FY 22 est \$12,065,000.00;

Range and Average of Financial Assistance:

BLCP (FY 2020): Range of awards: \$327,351-\$2,065,696 Average award: \$750,246 BLDRC (FY 2020): Range of award: \$125,000. Average award: \$125,000 BLCP & BLDRC combined (FY 2020): Range of awards: \$125,000 - \$2,065,696 Average award: \$711,168

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The program recently implemented a new reporting system that will better allow the clinics, HRSA, and other stakeholders to understand the burden of disease in the coal miner patient population. BLCP recipients worked in concert with HRSA and the BLDRC to develop and implement the system which was fully deployed in FY19. Quality data for analysis should be available in FY20 and will be used to monitor medical, outreach, educational, and benefits counseling services provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for state, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Krista M. Mastel, blacklung@hrsa.gov, (301)443-0491. Federal Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Mailstop 17W59D, Rockville, MD 20857.

Headquarters Office:

Federal Office of Rural Health Policy, 5600 Fishers Lane, Mailstop 17W59D, Rockville MD 20857
Email: kmastel@hrsa.gov
Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov/ruralhealth/>

RELATED PROGRAMS:

17.307 Coal Mine Workers' Compensation; 93.778 Medical Assistance Program; 93.774 Medicare_Supplementary Medical Insurance; 93.773 Medicare_Hospital Insurance; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Per the 42 CFR Part 55a, funding criteria will take into account: (1) The number of miners to be served and their needs; and (2) The quality and breadth of services to be provided. Additionally, state applicants will be given preference over individual entities who apply within the same state.

93.967 CDC's Collaboration with Academia to Strengthen Public Health

CDC's Collaboration with Academia to Strengthen Public Health,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service, Title 42, Section 317(k)(2), 42 USC Code 317(k)(2).

OBJECTIVES:

This Funding Opportunity Announcement (FOA) seeks to catalyze innovative efforts to provide public health professionals, students, and faculty with the competencies and knowledge to reduce the leading causes of death and illness and build workforce capacity to transform the health system and improve population health.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used to support cross cutting initiatives and to provide leadership, management support and governance for the entirety of the cooperative agreement. Cross cutting management initiatives include cooperative agreement planning, monitoring and evaluation, ensuring a strong governance for the public health workforce. Cross cutting programs include PART A - Core Curricular Enhancements, PART B Workforce Improvement Projects (WIPs), and PART C - Public Health Fellowships. Also, communications (print, electronic and on social media), program evaluation and public policy.

Applicant Eligibility:

Government - General; Federal; Interstate; Intrastate; State (includes District of

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Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business (less than 500 employees); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Anyone/general public; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Open Competition Eligible applicants are groups and organizations that are: A national voice and representative organization for their respective accredited academic constituency member schools or programs; Able to demonstrate evidence of existing and prior collaboration with all or the majority of Council on Education for Public Health (CEPH)-accredited schools or programs of public health, Liaison Committee on Medical Education (LCME)-accredited medical colleges; and, Committee on Collegiate Nursing Education (CCNE)-accredited colleges of nursing at the baccalaureate or higher level; National in scope in order to ensure the broadest coverage; Engaged in established liaison relationships with related accreditation agency for their respective professional target audience; Experienced in implementing community-based activities to improve health; Experienced in convening deans or representative faculty of respective academic constituency schools; Experienced with influencing curriculum standards or requirements of membership schools; Experienced in working across disciplines at the national, regional, state, and/or community level to improve health; and Possess the organizational and administrative capacity, skill, and expertise to perform the stated activities contained in the funding announcement.

Beneficiary Eligibility:

Federal; U.S. Territories; Individual/Family; Minority group; Specialized group (e.g. health professionals, students, veterans); Small business; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Interstate; Native American Organizations; Health Professional; Education Professional; Student/Trainee; Graduate Student; Scientist/Researchers; Artist/Humanist; Engineer/Architect; Builder/Contractor/Developer; Farmer/Rancher/Agriculture Producer; Intrastate; Industrialist/ Business person; Small Business Person; Consumer; Homeowner; Land/Property Owner; Black; American Indian; Spanish Origin; Asian; Other Non-White; State; U.S. Citizen; Veteran/Service person/Reservist (including dependents); Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Mentally Disabled; Local; Sponsored organization; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State, local, Non-profits

Credentials/Documentation:

Eligible applicants are groups and organizations that are: A national voice and representative organization for their respective accredited academic constituency member schools or programs; Able to demonstrate evidence of existing and prior collaboration with all or the majority of Council on Education for Public Health (CEPH)-accredited schools or programs of public health, Liaison Committee on Medical Education (LCME)-accredited medical colleges; and, Committee on Collegiate Nursing Education (CCNE)-accredited colleges of nursing at the baccalaureate or higher level; National in scope in order to ensure the broadest coverage; Engaged in established liaison relationships with related accreditation agency for their respective professional target audience; Experienced in implementing community-based activities to improve health; Experienced in convening deans or representative faculty of respective academic constituency schools; Experienced with influencing curriculum standards or requirements of membership schools; Experienced in working across disciplines at the national, regional, state, and/or community level to improve health; and Possess the organizational and administrative capacity, skill, and expertise to perform the stated activities contained in the funding announcement. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Coordinate with OGS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An informational conference call will be held on February 21, 2017, 2:00-3:00pm EST for applicants interested in applying for this funding opportunity. The call-in number: 1-888-455-9734 (toll free) Participants Passcode: 8632216.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the

Deadlines:

March 31, 2019 - March 01, 2020. n/a Year 1 is 10 ½ months with an award date of 08/15/2017. The project period length for Years 2-5 is 12 months each year with an award date of 07/01

Range of Approval/Disapproval Time:

From 90 to 120 days. n/a

Appeals:

From 15 to 30 days. n/a

Renewals:

From 15 to 30 days. Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period length for Year 1 is 10 1/2 months with an award date of 08/15/2017. The project period length for Years 2 through 5 is 12 months each year with an award date of 07/01. Method of awarding/releasing assistance: other

Reports:

Awardee Evaluation and Performance Measurement Plan 6 months into award. Annual Performance Report (APR) no later than 120 days before end of budget period. Serves as yearly continuation application. Data on Performance Measures must be included in the Annual Performance Report. Cash reports are not applicable. Financial status reports are required no later than 90 days after the end of each budget period. Performance monitoring is not applicable. Quarterly expenditure reports required, no more than 30 days after the end of each quarter. Monitoring activities include routine and ongoing communication between CDC and awardees, site visits, and awardee reporting (including work plans, performance, and financial reporting). Consistent with applicable grants regulations and policies, CDC expects the following to be included in post-award monitoring for grants and cooperative agreements: Tracking awardee progress in achieving the desired outcomes. Ensuring the adequacy of awardee systems that underlie and generate data reports. Creating an environment that fosters integrity in program performance and results. Monitoring may also include the following activities: Ensuring that work plans are feasible based on the budget and consistent with the intent of the award. Ensuring that awardees are performing at a sufficient level to achieve outcomes within stated timeframes. Working with awardees on adjusting the work plan based on achievement of outcomes, evaluation results and changing budgets. Monitoring performance measures (both programmatic and financial) to assure satisfactory performance levels.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503
None

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completed resolved.

Account Identification:

75-0959-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$2,101,411.00; FY 21 est \$2,101,411.00; and FY 22 est \$2,101,411.00;

Range and Average of Financial Assistance:

Total Project Funding \$1,698,035,000 Average One Year Award Funding: \$200,000 per awardee

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. n/a

Headquarters Office:

Public Health Analyst Anamika Rajguru,
2400 Century Center Blvd,
Atlanta GA 30345

Email: vlx7@cdc.gov

Phone: 4044986757

Fax: CGH Extramural Team Lead Caroline Gardner,
1600 Clifton Rd, MSE29,

Atlanta GA 30329

Email: ctg8@cdc.gov

Phone: 4046394276

Fax:

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the technical merit, by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria. The budget is not scored.

93.968 Funding in Support of the Pennsylvania Rural Health Model

N/A,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, section 1115A,

OBJECTIVES:

The purpose of this single source funding opportunity for the Funding in Support of Pennsylvanias Rural Health Model (Model) cooperative agreement is to provide Pennsylvania with the start-up and initial implementation funding component of the Model to assist Pennsylvania in accomplishing the health outcomes, financial, and rural hospital scale targets required of Pennsylvania under the Model. A single-source award to the State of Pennsylvania through first, the Pennsylvania Department of Health and later, the Rural Health Redesign Center once legislatively established, will enable CMS to expeditiously provide assistance to Pennsylvania for the following specific activities: model oversight, global budget administration, data analytics, technical assistance, and quality assurance. These activities are necessary for Pennsylvania to achieve the goals of the Model. We note that the other components of the Model (e.g., health outcomes, financial, and rural hospital scale targets) are governed by a separate State Agreement into which Pennsylvania and CMS have entered

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Model Oversight: provide oversight, approve global budgets and transformation plans. Advise on and approve changes to operational and payment mechanisms Global Budget Administration: Set the precise methodology to set the global budgets for each participating rural hospital, with contributions from all payers participating in the model. Data Analytics: perform ongoing reporting and analysis in support of Model financial targets, population health outcomes and quality metrics. Provide stakeholders with regular reports to inform decision-making. Securely collect and store data from payers and providers. Clean data for performance reporting and budget calculation. Technical Assistance: provide strategic and operational technical assistance to support care delivery transformation. Convene hospitals to share best practices on care management and implementation. Quality Assurance: provide an annual assessment of compliance with transformation plan and global budget targets. Recommend corrective action plans where needed. Contract with an independent outcome evaluation group to provide board and CEO with rigorous evaluation of Models progress against population health, quality of care, and cost targets. Engage stakeholders through an advisory panel for input on program policy and outcomes.

Applicant Eligibility:

State; This single source funding opportunity provides Pennsylvania with the necessary start-up funding for the Model and is open to Pennsylvanias Department of Health and later the Rural Health Redesign Center. First, the Pennsylvania Department of Health is uniquely positioned as the initial applicant under this funding opportunity to meet the objectives of this funding opportunity based on its existing knowledge of the Model, its regulatory authority over healthcare in Pennsylvania, and its capacity to administer the Pennsylvania Rural Health Model including operationalizing the Rural Health Redesign Center, and its existing partnerships and collaborations with Pennsylvania providers. Knowledge of the Model: The Pennsylvania Department of Health is intimately familiar with the objectives of the Model. Pennsylvanias Department of Health was a key member of the discussions between Pennsylvania and CMS during the development of the Model; the Pennsylvanias Secretary of Health and staff dedicated significant time, energy, and resources over the past year in partnering with CMS to establish the Models financial, health outcomes, and rural hospital scale targets. This existing familiarity and knowledge of the Model will help the Pennsylvania Department of Health to expediently deploy the start-up funding offered under this funding opportunity announcement and successfully operationalize the Rural Health Redesign Center. Authority and role in administering the Model: The Pennsylvania Department of Health is responsible for ensuring that changes in Pennsylvanias health system improve the conditions and well-being of Pennsylvanians. The Pennsylvania Department of Health has broad healthcare authority to administer the payments under the model and to improve the health of Pennsylvanians and control the rate of growth in healthcare costs. Additionally, the Pennsylvania Department of Health is a signatory to the Pennsylvania Rural Health Models State Agreement and will be supporting the State in achieving its obligations under the State Agreement. Existing partnership and collaboration: The Pennsylvania Department of Health is located in Pennsylvania and has existing relationships and a history of collaboration with Pennsylvania providers, payers, and community-based resources that would benefit from this funding opportunity. Pennsylvanias

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Department of Health has also been working with these stakeholders for their input and participation throughout the development of the Model. Second, the Rural Health Redesign Center as the second applicant, will also be uniquely positioned to meet the objectives of this funding opportunity. Pennsylvania's Department of Health will legislatively create the Rural Health Redesign Center as an independent entity with authority over the implementation of the Pennsylvania Rural Health Model. The RHRC will approve hospital transformation plans, global budgets, exception adjustments and changes to operational and payment mechanisms associated with the Model as defined under the State Agreement. The RHRC will also establish and build strong relationships with Pennsylvania rural hospitals as the rural hospitals implement the Model. The RHRC will continue to strengthen these relationships as it implements the activities required under the Model. Similar to Pennsylvania's Department of Health, the RHRC will be uniquely positioned to support this Model as it will be legislatively created solely to provide implementation support for the Model.

Beneficiary Eligibility:

State; CMS is committed to achieving better care for individuals, better health for populations, and reduced expenditures for Medicare, Medicaid, and CHIP. Through the Innovation Center, CMS strives towards these goals by testing innovative payment and service delivery models. CMS believes that states can be critical partners of the federal government and other health care payers to facilitate the design, implementation, and evaluation of community-centered health systems that can deliver significantly improved cost, quality, and population health performance results for all state residents, including Medicare, Medicaid, and CHIP beneficiaries. States have policy and regulatory authorities, as well as ongoing relationships with commercial healthcare payers, health plans, and providers that can accelerate delivery system reform. CMS has previously partnered with states to accelerate delivery system reform through initiatives such as the State Innovations Model (SIM) program. SIM provides state-based healthcare transformation efforts with funding to test the ability of states to utilize policy and regulatory levers to accelerate multi-payer health care transformation. Selected states have been working with state-based payers, including Medicaid and commercial payers, and providers to design and implement care delivery and payment reform. States participating in SIM were selected through two rounds of public Funding Opportunity Announcements released on August 23, 2012 (Round 1) and May 22, 2014 (Round 2). Additionally, CMS has released guidance to SIM state participants in which CMS indicated that in certain instances it will consider state proposals for Medicare alignment with state multi-payer payment and care delivery models. According to that guidance, CMS would assess such proposals with consideration of the following principles: 1) patient-centered, 2) accountable for total cost of care, 3) transformative, 4) broad-based, 5) feasible to implement, and 6) feasible to evaluate. Pennsylvania was one state that approached CMS with a desire for Medicare alignment with the states payment and care delivery model, and Pennsylvania submitted its proposal to CMS on May 23, 2016. CMS reviewed Pennsylvania's proposal and determined that it met the requirements necessary to explore in detail a potential Pennsylvania-specific model. CMS, the Governor of Pennsylvania and Pennsylvania's Department of Health, will enter into a State Agreement on the Pennsylvania Rural Health Model. Under the Model, CMS will test the effect of deliberate care delivery transformation of rural acute care hospitals and critical access hospitals (CAHs) on quality and costs of care. The Model places these hospitals on all-payer, prospective global budgets for inpatient and outpatient services. As part of the State Agreement, Pennsylvania committed to legislatively creating the Rural Health Redesign Center (the RHRC) to operate the Model. This funding opportunity offers \$5M in start-up funding to the Pennsylvania Department of Health to operationalize the RHRC. The RHRC will be the central independent entity operating the Model and supporting hospitals through technical assistance, data analytics, monitoring, and evaluation. This funding opportunity also offers the RHRC (once operationalized by the Pennsylvania Department of Health) to apply for an additional \$10 million to begin implementing activities under the Model. In return, Pennsylvania commits to achieving health outcomes, financial, and Rural Hospital scale (number of Rural Hospitals participating in the Model) targets both for Medicare and across all significant healthcare payers as defined in the State Agreement. CMS believes that model oversight, global budget administration, data analytics, technical assistance, and quality assurance will be necessary in order to implement the Model and achieve the financial, health outcomes, and rural hospital scale targets required under the Model. First, CMS will provide start-up funding to the Pennsylvania

Department of Health for 30 months from the date of award. Then, CMS will award a second separate cooperative agreement award. These cooperative agreement awards will support operationalizing the Rural Health Redesign Center and initial Model implementation efforts, and will better enable Pennsylvania to achieve the Models financial, health outcomes, and rural hospital scale targets as defined under the State Agreement.

Credentials/Documentation:

Employer Identification Number: All applicants must have a valid Employer Identification Number (EIN) assigned by the Internal Revenue Service. Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number): All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in order to apply. The DUNS number is a nine-digit identification number that uniquely identifies business entities. Obtaining a DUNS number is free. To obtain a DUNS number, access the following website: www.dunandbradstreet.com or call 1-866-705-5711. See Section IV, Application and Submission Information, for more information on obtaining a DUNS number. System for Award Management (SAM) All applicants must register in the System for Award Management (SAM)* database (<https://www.sam.gov/portal/public/SAM/>) in order to be able to submit an application at <http://www.grants.gov>. In order to register, applicants must provide their DUNS and EIN numbers. Each year, organizations and entities, registered to apply for Federal grants through Grants.gov must renew their registration with SAM. Each year organizations and entities must renew their registration with SAM. Failure to renew SAM registration prior to application submission will prevent an applicant from successfully applying via Grants.gov. Similarly, failure to maintain an active SAM registration during the application review process can prevent CMS from issuing your agency an award under this program. Applicants should begin the SAM registration process as soon as possible after the announcement is posted to ensure that it does not impair your ability to meet required submission deadlines. Applicants must successfully register with SAM prior to submitting an application or registering in the Federal Funding Accountability and Transparency Act Sub-award Reporting System (FSRS) as a prime awardee user; awardees may make sub-awards only to entities that have DUNS numbers. Organizations must report executive compensation as part of the registration profile at <https://www.sam.gov/portal/public/SAM/> by the end of the month following the month in which this award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by Section 6202 of Public Law 110-252 and implemented by 2 CFR Part 170). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications will be submitted electronically through GrantSolutions in response to the Funding Opportunity Announcement (FOA). Applications will be submitted electronically through GrantSolutions in response to the Funding Opportunity Announcement (FOA). Application Materials: Application materials will be available for download at <http://www.grants.gov>. Please note that HHS requires applications for all announcements to be submitted electronically through <http://www.grants.gov>. For assistance with <http://www.grants.gov>, contact support@grants.gov or 1-800-518-4726. At Grants.gov, applicants will be able to download a copy of the application packet, complete it off-line, and then upload and submit the application via the Grants.gov website. Specific instructions for applications submitted via <http://www.grants.gov>: You may access the electronic application for this project at <http://www.grants.gov>. You must search the downloadable application page by the CFDA number. At the <http://www.grants.gov> website, you will find information about submitting an application electronically through the site, including the hours of operation. HHS strongly recommends that you do not wait until the application due date to begin the application process through <http://www.grants.gov> because of the time needed to complete the required registration steps. The applicant must be registered in the System for Award Management (SAM) database in order to be able to submit the application. Applicants are encouraged to register early, and must have their DUNS and EIN/TIN numbers in order to do so. Authorized Organizational Representative: The Authorized Organizational Representative (AOR) who will officially submit an application on behalf of the organization must

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register with grants.gov for a username and password. AORs must complete a profile with Grants.gov using their organizations DUNS Number to obtain their username and password at http://grants.gov/applicants/get_registered.jsp. AORs must wait one business day after successful registration in SAM before entering their profiles in Grants.gov. Applicants should complete this process as soon as possible after successful registration in SAM to ensure this step is completed in time to apply before application deadlines. When an AOR registers with Grants.gov to submit applications on behalf of an organization, that organization's E-Biz POC will receive an email notification. The email address provided in the profile will be the email used to send the notification from Grants.gov to the E-Biz POC with the AOR copied on the correspondence. The E-Biz POC must then login to Grants.gov (using the organization's DUNS number for the username and the special password called M-PIN) and approve the AOR, thereby providing permission to submit applications. Any files uploaded or attached to the Grants.gov application must be PDF file format and must contain a valid file format extension in the filename. Even though Grants.gov allows applicants to attach any file formats as part of their application, CMS restricts this practice and only accepts PDF file formats. Any file submitted as part of the Grants.gov application that is not in a PDF file format, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR, or Adobe Portfolio will not be accepted. The application must be submitted in a file format that can easily be copied and read by reviewers. It is recommended that scanned copies not be submitted through Grants.gov unless the applicant confirms the clarity of the documents. Pages cannot be reduced in size, resulting in multiple pages on a single sheet, to avoid exceeding the page limitation. All documents that do not conform to the above specifications will be excluded from the application materials during the review process. After you electronically submit your application, you will receive an acknowledgement from Grants.gov that contains a Grants.gov tracking number. CMS will retrieve your application package from Grants.gov. Please note that applicants may incur a time delay before they receive acknowledgement that the application has been accepted by the Grants.gov system. Applicants should not wait until the application deadline to apply because notification by Grants.gov that the application is incomplete may not be received until close to or after the application deadline, eliminating the opportunity to correct errors and resubmit the application. Applications submitted after the deadline, as a result of errors on the part of the applicant, will not be accepted. After CMS retrieves your application package from Grants.gov, a return receipt will be emailed to the applicant contact. This will be in addition to the validation number provided by Grants.gov. Applications cannot be accepted through any email address. Full applications can only be accepted through <http://www.grants.gov>. Full applications cannot be received via paper mail, courier, or delivery service. All grant applications must be submitted electronically and be received through <http://www.grants.gov> by 3:00 pm Eastern Daylight Time on the applicable due date. Applications not successfully submitted to Grants.gov by the due date and time will not be eligible for review. All applications will receive an automatic time stamp upon submission and applicants will receive an email reply acknowledging the applications receipt. Please be aware of the following: 1) Search for the application package in Grants.gov by entering the CFDA number. This number is shown on the cover page of this announcement. 2) If you experience technical challenges while submitting your application electronically, please contact Grants.gov Support directly at: www.grants.gov/customersupport or (800) 518-4726. Customer Support is available to address questions 24 hours a day, 7 days a week (except on Federal holidays). 3) Upon contacting Grants.gov, obtain a tracking number as proof of contact. The tracking number is helpful if there are technical issues that cannot be resolved. To be considered timely, applications must be received by the published deadline date. However, a general extension of a published application deadline that affects all State applicants or only those in a defined geographical area may be authorized by circumstances that affect the public at large, such as natural disasters (e.g., floods or hurricanes) or disruptions of electronic (e.g., application receipt services) or other services, such as a prolonged blackout. This statement does not apply to an individual entity having internet service problems. In order for there to be any consideration there must be an effect on the public at large. Grants.gov complies with Section 508 of the Rehabilitation Act of 1973. If an individual uses assistive technology and is unable to access any material on the site including forms contained with an application package, they can email the Grants.gov contact center at support@grants.gov or call 1-800-518-4726. Form of Application Submission The application must include

all contents described below, in the order indicated, and conform to the following specifications: o Use 8.5 x 11 letter-size pages (one side only) with 1 margins (top, bottom, and sides). Other paper sizes will not be accepted. This is particularly important because it is often not possible to reproduce copies in a size other than 8.5 x 11. o All pages of the project and budget narratives must be paginated in a single sequence. o Font size must be at least 12-point with an average of 14 characters per inch (CPI). o The Project Narrative must be double-spaced. o The Budget Narrative may be single-spaced and should follow the justifications and table formats provided in Appendix A, Guidance for Preparing a Budget Request and Narrative in Response to SF 424A. o Tables included within any portion of the application should have a font size of at least 12-point with a 14 CPI and may be single spaced. o The project abstract is restricted to a one-page summary which may be single-spaced. o The application may not exceed 45 pages. Although tables are counted towards the page limitation, the additional required documentation, including Standard Forms and Project Abstract is excluded from the page limitation. The following standard forms are found in the Grants Application Package at www.grantsolutions.gov and must be completed with an electronic signature and submitted as part of the proposal: o Project abstract summary o SF-424: Official Application for Federal Assistance (see note below) o SF-424A: Budget Information Non-Construction o SF-424B: Assurances-Non-Construction Programs o SF-LLL: Disclosure of Lobbying Activities Project Site Location Form(s)

Award Procedure:

Applications will be forwarded to a review panel. The review panel will evaluate the proposal based on how well applicant addresses the evaluation criteria outlined in the FOA. Based on the advice of the review panel, the CMS selection official will approve the selected application and issue a Notice of Award. Successful applicant will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions. Applicant should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified by the review panel.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Anticipated Notice of Award dates are 60 days after application submission

Appeals:

Other. CMS reserves the right to approve or deny any or all proposals for funding. Note that section 1115A of the Social Security Act, which creates the Center for Medicare and Medicaid Innovation (CMMI). Section 1115A(d)(2) states that there is no administrative or judicial review of the selection of organizations, sites, or participants to test models.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance was 30 months from the date of award for Pennsylvania Department of Health and 36 months from the date of award for the Pennsylvania Department of Health of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly

Reports:

The Grantee must submit quarterly progress reports as well as a final progress report. CMS will provide a reporting template for all progress reports. Each quarterly progress report must include the information outlined below. A separate reporting template will be provided by CMS for the final progress report. The purpose of the final progress report is to summarize the grantees progress throughout the entire project period to include overarching lessons learned, unanticipated challenges or successes, etc. All reports must be submitted to www.grantsolutions.gov. The minimum program progress narrative report elements are as follows: i. Program Name; ii. Project Director Name; iii. Reporting Period; iv. Data and Reporting Requirements (refer to Section I.6.b Data and

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Reporting Requirements for the required data elements);v.Additional Metrics Proposed by Applicant (if applicable);vi.Budget Status - include amounts for planned expenditure, actual expenditure, and deficit/surplus;vii.Project description - short summary;viii.Progress on Goals Status on goals that were previously projected to be completed in this reporting period, including explanation of and remediation plans for any goals not met; ix.Accomplishments Specific tasks that were accomplished during this reporting period;x.Project Goals - Goals projected to be completed during the next reporting period; andxi.Issues - Issues that must be addressed for the project to be successful.Quarterly progress reports are due 30 days after the end of the quarterly period. The final progress report is due 90 days after the end of the project period. The Grantee must also agree to cooperate with any federal evaluation of the Model. The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. Recipient must utilize the FFR to report cash transaction data, expenditures, and any program income generated (if applicable for the program). As detailed above, award recipient must agree to cooperate with any federal evaluation of the model and performance results and provide required quarterly and final reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe project or model progress, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project or model reporting and technical assistance necessary to complete required report forms. Successful applicant must also agree to respond to requests that are necessary for the evaluation of the Model Design, pre-testing assistance, or Model Testing efforts and provide data on key elements of model performance and on results from the cooperative agreement activities. As detailed above. Successful applicants must submit reports to Federal Funding Report and meet Payment Management System reporting requirements. Pennsylvania will submit to CMS quarterly reports on the financial targets and annual reports on the health outcomes and rural hospital participation and payer scale targets as stipulated outside of this cooperative agreement but in the Models State Agreement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Award recipient is required to maintain proper records including financial records, supporting documents, statistical records, and all other records pertinent to the program for the duration of the award, and retain these for a minimum of three years. If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the three years, the records should be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later

Account Identification:

75-0522-0-1-551.

Obligations:

Cooperative Agreements FY 20 \$7,000,000.00; FY 21 est \$5,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

In 2020, up to \$7M will be awarded to the Pennsylvania Department of Health. In 2021, up to \$5M will be awarded to the Pennsylvania Department of Health. Finally, in 2022 up to \$3M will be awarded to the Rural Health Redesign Center.

TAFS Codes:

75-0522.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This solicitation is subject to the Health and Human Services Grants Policy Statement (HHS GPS) at <http://www.hhs.gov/asfr/ogapa/aboutg/hhsgps107.pdf>. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary. Standard and program specific terms of award will accompany the NoA. Applicant should be aware that special requirements could apply to cooperative agreement

awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the HHS review panel. The recently released HHS regulation (45 CFR Part 75) supersedes information on administrative requirements, cost principles, and audit requirements for grants and cooperative agreements included in the current HHS Grants Policy Statement where differences are identified.

Regional or Local Office:

None. N/A

Headquarters Office:

Cameron Williams,
7500 Security Blvd.,
Baltimore MD 21244
Email: cameron.williams1@cms.hhs.gov
Phone: 410-786-5910

Website Address:

<http://www.innovations.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must produce a detailed and fully developed proposal exemplifying the applicants readiness to participate in the Funding in Support of the Pennsylvania Rural Health Model. Each proposal must include the following core elements:Description of Need 20 pointsStatement of Project Goals 10 pointsCapacity to implement the project 30 pointsImplementation Plan 20 pointsData Collection and Reporting Plan 10 pointsBudget and Budget Narrative 10 points

93.969 PPHF Geriatric Education Centers

Geriatric Workforce Enhancement Program (GWEP),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 750 and 753(a) of the Public Health Service Act, Health Professions Education Partnerships Act of 1998, Public Law 105-392 as amended by the Coronavirus Aid, Relief, and Economic Security (CARES) Act Public Law No: 116-13; and Section 865 of the Public Health Service Act.,

OBJECTIVES:

The purpose of this program is to improve health outcomes for older adults by developing a healthcare workforce that maximizes patient and family engagement, and by integrating geriatrics and primary care. The goals of this program are: 1) to educate and train the primary care and geriatrics workforce to care for older adults in integrated geriatrics and primary care models, and 2) to partner with community based organizations (CBOs) to address gaps in healthcare for older adults, promote age-friendly health systems and dementia-friendly communities, and address the social determinants of health.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Projects must develop collaborations with at least one non-profit community organization and one primary care facility to ensure integrated delivery systems. Grantees must use funds for the following activities: 1. Develop and implement integrated geriatrics and primary care health care delivery systems to provide clinical experiences for trainees with the goal of improving comprehensive, coordinated care for older adults; 2. Partner with, or create as appropriate, community-based outreach resource centers to address the learning and support needs of older adults, their families and their caregivers. Such programs must address local needs, taking into account available care settings, social resources, and community culture. Topics in addressing psychosocial needs, disease self-

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management, patient engagement, and population health are encouraged; and 3. Provide training to individuals who will provide care to older adults within the context of the above focus areas. Categories of individuals may include: patients, families, caregivers, direct care providers, primary care providers, students, residents, fellows, and faculty. 4. In addition, projects may include Alzheimer's disease and related dementias education and training for patients, families, caregivers, direct care providers, and health professions students, faculty, and providers. Restricted Uses: Student support through stipends, tuition, and fees from other funding sources is not eligible for support. The exception to this restriction is individuals who are preparing for certified nursing assistant certification or advanced education nursing degrees in geriatric nursing, long-term care, geropsychiatric nursing or other nursing areas that specialize in the care of the elderly population. These individuals are eligible for traineeship support. Funds may not be used for the constructions of buildings or the acquisition of land. Indirect costs under training awards to organizations other than State, local or American Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment. Funds may not be used for trainee costs, the constructions of buildings or the acquisition of land.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible applicants are accredited health professions schools and programs. The following entities are eligible applicants: Schools of Allopathic Medicine; Schools of Veterinary Medicine; Schools of Dentistry; Schools of Public Health; Schools of Osteopathic Medicine; Schools of Chiropractic; Schools of Pharmacy; Physician Assistant Programs; Schools of Optometry; Schools of Allied Health; Schools of Podiatric Medicine; and Schools of Nursing. The following accredited graduate programs are also eligible applicants: Health Administration; and Behavioral Health and Mental Health Practice, including: Clinical Psychology, Clinical Social Work, Professional Counseling, and Marriage and Family Therapy. In addition these are also eligible entities under GWEP: a health care facility, a program leading to certification as a certified nurse assistant, a partnership of a school of nursing such and facility, or a partnership of such a program and facility. Faith-based and community-based organizations, Federally Recognized Indian Tribal Governments and Native American Organizations may apply if otherwise eligible. Applicants must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands, Guam, American Samoa, the Republic of Palau, the Republic of the Marshall Islands, or the Federated States of Micronesia.

Beneficiary Eligibility:

Private nonprofit institution/organization; Health Professional; Public nonprofit institution/organization; Accredited health professions schools and programs.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 - 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Other. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award.

Length and Time Phasing of Assistance:

This funding opportunity provides support for a five-year project period. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards (Type 1) issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$35,915,181.00; FY 21 est \$36,252,424.00; FY 22 est \$35,915,181.00; Project Grants FY 20 \$4,350,000.00; FY 21 est \$2,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

FY20 Range: \$535,008 - \$845,625 est Average Award: \$748,232 FY 21 est Range: \$100,000 - \$750,000 Average Award: \$739,845 FY 22 est Range: \$535,0080 - \$750,000 Average Award: \$748,238

TAFS Codes:

75-0350. 75-0353.

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PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: All 48 GWEP grantees have worked with primary care clinics, academic school of health professions, and community-based organizations to promote telehealth for home-bound older adults, nursing home residents, their families and their caregivers in the midst of the COVID-19 pandemic.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Nina Tumosa, PhD, Medical Training and Geriatrics Branch, Division of Medicine and Dentistry, Bureau of Health Workforce,
5600 Fishers Lane, Room 15N194B,
Rockville MD 20857
Email: ntumosa@hrsa.gov
Phone: 301-443-5626

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.970 Health Professions Recruitment Program for Indians

(Recruitment Program),

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Indian Health Care Improvement Act, Title I, Sections 202, 112, 114, and 122. Public Law 94-437, as amended by Public Law 102-573 and Public Law 111-148, Public Laws 96-537, 100-713, and 102-573, 25 U.S.C. 1621p(a-d).

OBJECTIVES:

(1) To identify American Indians and Alaska Natives with a potential for education or training in the health professions, and to encourage and assist them to enroll in health or allied health professional schools; (2) to increase the number of nurses, nurse midwives, nurse practitioners and nurse anesthetists who deliver health care services to American Indians and Alaska Natives; (3) to place health professional residents for short-term assignments at Indian Health Service (IHS) facilities as a recruitment aid; and (4) to remove the multiple barriers to their entrance into IHS and private practice among Indians.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Establishing and operating programs designed to recruit American Indians and Alaska Natives into health and allied health professional schools.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Minority group; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Public or private nonprofit health or educational entities or Indian tribes or tribal organizations as specifically provided in legislative authority. For Indians into Psychology, public or private nonprofit colleges and universities that offer a Ph.D. in clinical programs accredited by the American Psychological Association will be eligible to apply for a grant under this program. However, only one grant will be awarded and funded to a college or university per funding cycle. For Indians into Nursing, schools of nursing providing nursing education and conferring degrees are eligible for this award: A. Accredited Public or Private schools of nursing, B. Accredited Tribally controlled community colleges and Tribally controlled postsecondary vocational institutions (as defined in section 390(2) of the Tribally Controlled Vocational Institutions Support Act of 1990 (20 U.S.C. 2397h(2)), or C. Nurse midwife programs and nurse practitioner programs that are provided by any public or private institution.

Beneficiary Eligibility:

American Indian; Preference is given to applicants in the following order of priority: (1) Indian tribes; (2) tribal organizations; (3) urban Indian organizations and other Indian health organizations; or (4) other public or nonprofit health or educational entities.

Credentials/Documentation:

Costs will be determined by HHS Regulations 45 CFR part 75. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The application package may be found in Grants.gov. You may not e-mail an electronic copy of a grant application to us. This program is subject to the provisions of 45 CFR part 75. For Indians into Psychology, the grant applicant must submit official documentation indicating a Tribes cooperation with and support of the program within the schools on its reservation and its willingness to have a Tribal representative serving on the program advisory board. Documentation must be in the form prescribed by the Tribes governing body, i.e., letter of support or Tribal resolution. Documentation must be submitted from every Tribe involved in the grant program. If application budgets exceed the stated dollar amount that is outlined within this announcement it will not be considered for funding.

Award Procedure:

Grants are made directly by the Indian Health Service to applicant agency, based on results of competitive review process.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 90 days of IHS deadline.

Appeals:

From 60 to 90 days.

Renewals:

From 30 to 60 days. Continuation applications must be submitted annually. Continued funding is subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

ASSISTANCE LISTINGS DESCRIPTIONS

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Payments of grant funds are made through the DHHS Payment Management System (PMS) or DHHS Electronic Transfer System. Information will be forwarded to new grantees regarding the process of obtaining funds. Awards are for a twelve month period. Method of awarding/releasing assistance: quarterly

Reports:

Grantees will be required to submit quarterly Federal Financial Report (SF-425 or FFR) to Payment Management Services. Progress reports are required annually, semi-annually or quarterly depending on the time lines set by the IHS program. A final progress report is due 90 days after the end of each budget period and at the end of the final project period. For Indians into Nursing, program progress reports are required semi-annually, within 30 days after the budget period ends. These reports must include a brief comparison of actual accomplishments to the goals established for the period, or, if applicable, provide sound justification for the lack of progress, and other pertinent information as required. A final report must be submitted within 90 days of expiration of the budget/project period. Federal Financial Report FFR (SF-425), Cash Transaction Reports are due 30 days after the close of every calendar quarter to Payment Management Services, HHS at: www.dpm.psc.gov. It is recommended that the applicant also send a copy of the FFR (SF-425) report to the Grants Management Specialist. Failure to submit timely reports may cause a disruption in timely payments to the organization. IHS grants are monitored by the Division of Grants Management for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

Project Grants FY 20 \$722,373.00; FY 21 est \$722,373.00; FY 22 est \$722,373.00; Project Grants FY 20 \$1,285,000.00; FY 21 est \$1,285,000.00; FY 22 est \$1,285,000.00; Cooperative Agreements FY 20 \$1,686,705.00; FY 21 est \$1,686,705.00; FY 22 est \$1,686,705.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 136.310-319. Final rules and regulations, the Indian Health Care Improvement Act, Public Law 94-437, amended by Public Law 100-713, enacted November 23, 1988; and Public Law 102-573, enacted October 29, 1992; HHS Grants Policy Statement revised January, 2007.

Regional or Local Office:

None. American Indians Into Psychology Contact: Eric Pinto, Office of Public Health Support, Division of Health Professions Support, 5600 Fishers Lane, Mail Stop: 11E26F, Rockville, MD 20857. Telephone: (301) 443-5086. American Indians Into Medicine Contact: Ms. Jackie Santiago, Office of Public Health Support, Division of Health Professions Support, 5600 Fishers Lane, Mail Stop: 11E65B, Rockville, MD 20857. Telephone: (301) 443-3396. American Indians into Nursing Contact: Eric Pinto, Office of Public Health Support, Division of Health Professions Support, 5600 Fishers Lane, Mail Stop: 11E26F, Rockville, MD 20857. Telephone: (301) 443-5086. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Potential effectiveness of the proposed project in carrying out such purposes, (2) the demonstrated capability of the applicants to successfully conduct this type of project, (3) accessibility of the applicant to the target population, (4) relationship of project objectives and known or anticipated Indian health manpower objectives, (5) soundness of the fiscal plan, (6) cost reasonableness, and (7) completeness of the application.

93.971 Health Professions Preparatory Scholarship Program for Indians

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Indian Health Care Improvement Act, , Public Law 94-437

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives for the purpose of completing compensatory pre-professional education to enable the recipient to qualify for enrollment or re-enrollment in a health professions school or curriculum.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native descent. Support is limited to 2 years of full-time education, or part-time equivalent for a maximum of 4 years.

Applicant Eligibility:

Individual/Family; Individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency, and have been accepted for enrollment or are enrolled in a compensatory, pre-professional general education course or curriculum.

Beneficiary Eligibility:

American Indian; Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Students must submit proper documentation of Indian eligibility verification from the college advisor that the courses or curriculum to be taken are required to meet the applicant's deficiency and compensatory needs at the professional level and

ASSISTANCE LISTINGS DESCRIPTIONS

represent the number of credit hours which the school considers as full-time or at least 6 credit hours per semester for part-time. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application form OMB No. 0917-0006 and instructions are provided by the Indian Health Service and are usually available to interested individuals in December (www.ihs.gov/scholarship/applynow). An application form and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) are submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Grants are awarded on a competitive basis. Funding covers only Tuition and Fees, other reasonable costs and a monthly stipend. Tuition and fee payments are made to the recipient's college or university.

Deadlines:

December 15, 2020 - February 28, 2021. The application cycle opens in mid-December and closes on February 28 for current scholarship recipients interested in extending their scholarship for another year. The application deadline for new scholarship applicants is March 28.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved by July 1, by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Other. Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum length of funding is a total of 2 years full-time and 4 years part-time. See the following for information on how assistance is awarded/released: Tuition payments and fees are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the 10-month academic period. An amount for books and other necessary expenses is provided to the student. Method of awarding/releasing assistance: lump sum.

Reports:

Students are required to submit their official transcripts as they are received. Initial progress reports are required for each quarter. Notification of Academic Problem/Change is required at any time a problem or change occurs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The Indian Health Service Scholarship Program maintains records on all students. Records must be maintained for 4 years after the final payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

Training FY 20 \$255,535.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

\$16,617 - \$43,670; average \$27,596

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 136.320-324. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 96-537 (which amends Public Law 94-437, Section 103), published in the Federal Register on February 29, 1984, redesignated May 17, 2002.

Regional or Local Office:

See Regional Assistance Locations. See listing of Area Scholarship Coordinators at <https://www.ihs.gov/scholarship/contact/areascholarshipcoordinators/>. Program Contact: Ms. Reta Brewer, Chief, Scholarship Branch, Division of Health Professions Support, Indian Health Service, 5600 Fishers Lane, Mail Stop; OHR 11E69B, Rockville, MD 20857. Email: scholarship@ihs.gov Telephone: (301) 443-2349. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored based on Academic performance, Faculty/Employer Evaluations and Applicant Essays. Complete information on the applicant selection process is available in the IHS Scholarship Program Application Handbook at https://www.ihs.gov/scholarship/handbooks/application_handbook.pdf.

93.972 Health Professions Scholarship Program

FEDERAL AGENCY:

INDIAN HEALTH SERVICE, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF
AUTHORIZATION:

Indian Health Care Improvement Act, , Public Law 94-437

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives at health professions schools in order to obtain health professionals to serve Indians. Upon completion, scholarship recipients are obligated to serve in the Indian Health Service or an Indian health organization for each year of support with a minimum of 2 years.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarships support students who pursue full-time or part-time courses of study in health professions needed by the Indian Health Service (IHS). Disciplines have included allopathic and osteopathic medicine, addiction counseling, clinical psychology, dentistry, environmental engineer, medical technology, nursing (baccalaureate and graduate), nurse practitioner, physical therapy, pharmacy, physician assistant, public health nutrition (graduate), optometry, social work (graduate), sanitarian, speech pathology/audiology (graduate), x-ray technology and health records. Not all disciplines participate each year.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Individual/Family; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Individuals of American Indian or Alaska Native who are enrolled members of a Federally-recognized tribe are eligible. Applicants for new awards: (1) must be accepted by an accredited U.S. educational institution for a full-time or part-time course of study leading to a degree in medicine, osteopathy, dentistry, or other participating health profession which is deemed necessary by the Indian Health Service; (2) be eligible for or hold an appointment as a Commissioned Officer in the Regular or Reserve Corps of the Public Health Service; or (3) be eligible for civil service in the Indian Health Service.

Beneficiary Eligibility:

Individual/Family; American Indian; Individuals of American Indian or Alaska Native who are enrolled members of Federally-recognized tribe; full-time students of medicine or other health professions; prospective or currently Commissioned Officers in the Regular or Reserve Corps of the Public Health Service; or eligible civilians of the Indian Health Service.

Credentials/Documentation:

Students claiming Indian priority must submit proper certification and documentation as specified in the application. All applicants must provide transcripts of their latest academic work. All incoming freshmen are to provide copies of acceptance letters for enrollment in school. Students must submit proper documentation of Indian eligibility verification from the college advisor that the courses or curriculum to be taken are required to meet the applicant's deficiency and compensatory needs at the professional level and represent the number of credit hours which the school considers as full-time or at least 6 credit hours per semester for part-time. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application form OMB No. 0917-0006 and instructions are provided by the Indian Health Service and are usually available to interested individuals in December (www.ihs.gov/scholarship/applynow). An application form and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) are submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Grants are awarded on a competitive basis. Priority will be given to applicants who are prior recipients. Special consideration is given to junior and senior level students whose specialty plans are in primary care fields. Criteria which determine competitive ranking awards may include: (1) work experience, preferably health-related in communities of health care need; (2) personal background from a community of health care need; (3) career goals of primary care practice in service to Indians; and, (4) academic performance. Student financial need does not affect selection. Funding covers only Tuition and Fees, other reasonable costs and a monthly stipend. Tuition and fee payments are made to the recipient's college or university.

Deadlines:

The application cycle opens in mid-December and closes on February 28 for current scholarship recipients interested in extending their scholarship for another year. The application deadline for new scholarship applicants is March 28.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved by July 1, by the Indian Health Service, Division of Grants Management.

Appeals:

Not Applicable.

Renewals:

Other. Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements

are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Maximum length of funding is a total of 4 years full-time and 8 years part-time.

Method of awarding/releasing assistance: other

Reports:

Students are required to submit their official transcripts as they are received.

Initial progress reports are required for each quarter. Notification of Academic

Problem/Change is required at any time a problem or change occurs.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

The Indian Health Service Scholarship Program maintains records for 6 years following completion of obligated service or other disposition of the scholarship obligation.

Account Identification:

75-0390-0-1-551.

Obligations:

Training FY 20 \$13,546,366.00; FY 21 est \$16,000,000.00; FY 22 est \$16,000,000.00;

Range and Average of Financial Assistance:

\$11,237 - \$126,920; average \$48,004

TAFS Codes:

75-0390.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 136.330-334. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 96-537 (which amends Public Law 94-437, Section 104), published in the Federal Register on February 29, 1984, redesignated May 17, 2002.

Regional or Local Office:

See Regional Assistance Locations. See listing of Area Scholarship Coordinators at <https://www.ihs.gov/scholarship/contact/areascholarshipcoordinators/>. Program Contact: Ms. Reta Brewer, Chief, Scholarship Branch, Division of Health Professions Support, Indian Health Service, 5600 Fishers Lane, Mail Stop: OHR 11E69B, Rockville, MD 20857. Email: scholarship@ihs.gov, Telephone: (301) 443-2349. Grants Management Contact: Mr. Paul Gettys, Acting Director, Division of Grants Management, Indian Health Service, 5600 Fishers Lane, Mail Stop 09E70, Rockville, MD 20857. Telephone: (301) 443-5204.

Headquarters Office:

Division of Grants Management,
5600 Fishers Lane, Mail Stop: 09E70,
Rockville MD 20857
Email: grantspolicy@ihs.gov
Phone: (301) 443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93,288 National Health Service Corps Scholarship Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored based on Academic performance, Faculty/Employer Evaluations and Applicant Essays. Complete information on the applicant selection process is available in the IHS Scholarship Program Application Handbook at https://www.ihs.gov/scholarship/handbooks/application_handbook.pdf.

ASSISTANCE LISTINGS DESCRIPTIONS

93.974 Family Planning Service Delivery Improvement Research Grants

Family Planning Service Delivery Improvement,

FEDERAL AGENCY:

OFFICE OF ASSISTANT SECRETARY FOR HEALTH, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Title X of the Public Health Service Act, Section 1005, 42 USC Code 300a-2.

OBJECTIVES:

Grants are awarded to develop research studies for the improvement of family planning services delivery of projects funded under Title X, Section 1001 of the Public Health Service Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance may be used to conduct research that will lead to feasible and effective strategies to increase access to quality Title X family planning services among hard to reach populations or provide family planning services in resource limited areas. Funds may not be used in programs where abortion is a method of family planning. Regulations and Program Guidelines: The regulations set out at 42 CFR part 59, subpart C, govern grants to provide training for family planning service providers. Prospective applicants should refer to the regulations in their entirety. Training provided must be consistent with the requirements for providing family planning services under Title X. These requirements can be found in the Title X statute, the implementing regulations (42 CFR part 59, subpart A), as well as the following supporting documents, the Program Requirements for Title X-funded Family Planning Projects (Title X requirements) and the clinical recommendations, Providing Quality Family Planning Services Recommendations of CDC and the U.S. Office of Population Affairs, (MMWR, April 25, 2014). In addition, any training regarding sterilization of clients as part of the Title X program should be consistent with 42 CFR part 50, subpart B (Sterilization of Persons in Federally Assisted Family Planning Projects). Copies of the Title X statute, applicable regulations, and Program Guidelines can be obtained by contacting the OASH Office of Grants Management, or may be downloaded from the Office of Population Affairs (OPA) web site at <http://www.hhs.gov/opa>, and are provided in the application kit for this announcement.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; U.S. Territories and possessions; State; Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Any public entity (city, county, local, regional, or State government) or private nonprofit entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Marianas Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this program. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Anyone/general public; All levels of government and nonprofit entities responsible for the efficient and effective delivery of family planning services; providers and recipients of family planning services; and the general public.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status on request. Allowability of costs charged to the grant will be determined in accordance with the following cost principles in 45 CFR part 75. Faith-based organizations are eligible to apply. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notices of Funding Opportunity and application packages are posted on grants.gov under this assistance listing number. All applications must be submitted via grants.gov and time stamped by the due date and time. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The Deputy Assistant Secretary for Population Affairs, DHHS, or their designee will make funding recommendations to the Grants Management Officer. Following a risk assessment consistent with 45 CFR part 75, the Grants Management Officer in OASH will issue Notices of Award to the recommended recipients providing notification of the award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable. Award decision, including the level of funding, are final and not appealable

Renewals:

>180 Days. Funds are typically awarded with an initial 12-month budget period. Subsequent budget years are awarded up to the maximum period of performance on the Notice of Award following review and approval of a non-competing continuation application and subject to availability of funds, satisfactory performance, and the best interests of the government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding is generally awarded as in initial 12 month budget period which may be followed with additional 12-month budget periods not to exceed the performance period on the notice of award subject to approval of a non-competing continuation application. Continuation funding is subject to availability of funds, satisfactory performance, and best interests of the government. Method of awarding/releasing assistance: other

Reports:

Annual Program reports are required. Quarterly SF425 Federal Financial Reports (FFRs) must be submitted to Payment Management System 30 days after the end of each federal fiscal quarter. Final FFRs are due 120 days following the end of the period of performance. Progress reports are required at least annually. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter and an annual FFR 90 days after the end of the budget period/project period end date. Reports are to be submitted electronically through GrantSolutions. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Annual audit requirements are found in 45 CFR part 75, subpart F.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and

ASSISTANCE LISTINGS DESCRIPTIONS

transcripts. In accordance with 45 CFR part 75.361 grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$4,500,000.00; FY 21 est \$4,500,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY17 \$0FY18 \$0FY19 \$250,000 - \$750,000

TAFS Codes:

75-0359.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 9 awards, totaling \$4,500,000 Fiscal Year 2021: 9 awards, totaling \$4,500,000

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR part 75 applies to any awards issued under this assistance listing.

Regional or Local Office:

None.

Headquarters Office:

Alicia Richmond,
1101 Wootton Parkway, Suite 200,
Rockville MD 20875

Email: Alicia.Richmond@hhs.gov

Phone: (240) 453-2816

Fax: Associate Grants Management Officer Scott Moore,

1101 Wootton Parkway, Plaza Level,

Rockville MD 20852

Email: scott.moore@hhs.gov

Phone: 2404538822

Fax:

Website Address:

<http://www.hhs.gov/opa>

RELATED PROGRAMS:

93.260 Family Planning_Personnel Training; 93.217 Family Planning_Services;

EXAMPLES OF FUNDED PROJECTS:

2020 Data Trend Analysis; Innovative service delivery models 2021 Data Trend Analysis; Innovative service delivery models 2022 Data Trend Analysis; Innovative service delivery models

CRITERIA FOR SELECTING PROPOSALS:

Criteria for evaluating applications are found in the Notice of Funding Opportunity.

93.976 Primary Care Medicine and Dentistry Clinician Educator Career Development Awards

PCMD Career Development Awards (CDA),

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Sections 747(a) and 748 of the Public Health Service Act.

OBJECTIVES:

The goal of the career development awards is to support the development and training of primary care medicine and dentistry junior faculty who plan to teach in family medicine, general internal medicine, general pediatrics, physician assistant education programs, general dentistry, pediatric dentistry, or dental public health and innovative projects that involve the transformation of health care delivery systems. (K02, UK3).

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for trainee support costs for physicians, physician assistants, dentists, and dental hygienists who plan to teach in the primary care fields; to provide protected time for trainee's proposed project and for career development activities; support the trainees' proposed project; and/or consultant services. All program income generated as a result of awarded funds must be used for approved project-related activities.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicant Eligibility (081):Eligible applicant organizations include schools of allopathic or osteopathic medicine, academically affiliated physician assistant training programs, dental and dental hygiene schools, accredited public or nonprofit private hospitals, or a public or nonprofit private entity that the Secretary has determined is capable of carrying out such grants. If the applicant organization is not a medical school, physician assistant training program, dental or dental hygiene school, they must be affiliated with one of the listed schools or training programs and provide a letter of agreement from the relevant organization. Faith-based and community-based organizations, Federally Recognized Indian Tribal Government and Native American Organizations may apply for these funds, if otherwise eligible.

Beneficiary Eligibility:

Education Professional; Eligible junior faculty candidates (Project Directors/Principal Investigators) are identified individuals who are applying to HRSA for a clinician educator faculty award through the applicant organization. Candidates must hold a non-tenured faculty appointment (i.e., be a junior faculty such as instructor or assistant professor) before the award is made. The faculty appointment must not be contingent on receipt of the award.

Credentials/Documentation:

Applicants should review the individual HRSA Notice of Funding Opportunity issued under this CFDA program for any required proof or certifications which must be submitted with an application package. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All eligible, qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing (electronic) by a Notice of Award.

Award Procedure:

Notification of award is made in writing (electronic) through a Notice of Award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 120 to 180 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable. Depending on Agency priorities and availability of funding, during the final budget year of the approved project period competing continuation applications may be solicited from interested applicants.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. This program has MOE requirements,

ASSISTANCE LISTINGS DESCRIPTIONS

see funding agency for further details. Additional Information: The recipient must agree to maintain non-federal funding for grant activities at a level which is not less than expenditures for such activities during the fiscal year prior to receiving the award..

Length and Time Phasing of Assistance:

This funding opportunity provides support for a 5-year project period. Method of awarding/releasing assistance: Recipients draw down funds, as necessary, from the Payment Management System (PMS), the centralized web based payment system for HHS awards. Method of awarding/releasing assistance: other

Reports:

Both program and financial reports are required. The recipient will be required to submit annual performance and progress reports. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. A final report is due within 90 days after the project period ends. If applicable, the recipient must submit a Tangible Personal Property Report (SF-428) and any related forms within 90 days after the project period ends. New awards ("Type 1") issued under this notice of funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by section 6202 of Public Law 110-252, and implemented by 2 CFR Part 170. Grants and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive totals compensation for the recipient's and subrecipient's five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (The FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>),. Competing continuation recipients may be subject to this requirement and will be so notified in the Notice of Award.. The recipient will be required to submit annual progress and performance reports as well as a final report, which will due within 90 days after the five-year project period ends. A Federal Financial Report (SF-425) is required according to the schedule in HRSA's Application Guide. HRSA will monitor grant performance throughout the five-year project period, make quarterly contacts with the awardee and require annual performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Recipients are required to maintain grant accounting records 3 years from the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

Project Grants FY 20 \$4,056,391.00; FY 21 est \$4,086,027.00; FY 22 Estimate Not Available; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$927,046.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$1,500,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$0.00; and FY 22 est \$700,000.00;

Range and Average of Financial Assistance:

Primary Care Medicine and Dentistry Clinician Educator Career Development Program (K02)MedicineFY 2020: Range \$93,800 to \$200,000; Average: \$186,096 FY 2021: Range \$96,021 to \$200,000; Average: \$200,000FY 2022: est Range \$150,420 to \$199,569; Average: \$185,409Oral HealthFY 2020: Range: \$142,144 to \$199,439; Average: \$179,806 FY 2021: Range: \$146,788 to \$199,988; Average: \$181,230FY2022: est Range: \$187,500 to \$187,500; Average: \$187,500

TAFS Codes:

75-0350.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Primary Care Clinician Educator Career Development Awards: In Academic Year 2019-2020, this program supported 22 faculty, including 13 individuals from the field of medicine, 5 in dentistry, and 4 in physician assistant.

Grantees developed or enhanced 137 curricula activities that were offered to 7,390 individuals. In addition, grant-funded faculty offered 54 courses to 977 faculty and 3,154 primary care medical and dental students, advanced trainees, dental residents and medical residents. The Primary Care Dental Faculty Development Program is a new program beginning FY 2022.

REGULATIONS, GUIDELINES, AND LITERATURE:

All administrative and audit requirements and the cost principles that govern Federal monies associated with this activity will be subject to the Uniform Guidance 2 CFR 200 as codified by HHS at 45 CFR 75. HRSA awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

None.

Headquarters Office:

Anne Patterson, Medicine Programs,
5600 Fishers Lane,
Rockville MD 20857
Email: apatterson@hrsa.gov
Phone: 301-443-6822
Jennifer Holtzman, DDS, Dental Programs,
5600 Fishers Lane, Room 15N186A,
Rockville MD 20857
Email: jholtzman@hrsa.gov
Phone: 301-945-3368
Fax:

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Procedures for assessing the technical merit of grant applications have been instituted to provide an objective review of applications and to assist the applicant in understanding the standards against which each application will be judged. Critical indicators have been developed for each review criterion to assist the applicant in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation. Competing applications are reviewed by non-Federal expert consultant(s) for technical merit recommendations. Applications will be reviewed and evaluated against the following criteria: (1) Purpose and Need; (2) Response to Program Purpose; (3) Impact; (4) Organizational Information, Resources and Capabilities; and (5) Support Requested. See the most recent Notice of Funding Opportunity for detailed selection criteria.

93.977 Sexually Transmitted Diseases (STD) Prevention and Control Grants

STD Prevention: PS18-1808, PS19-1901, PS19-1907,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Project grants to States: The Secretary is also authorized to make project grants to States and, in consultation with the State health authority, to political subdivisions of States, for (1) sexually transmitted diseases surveillance activities, including the reporting, screening, and followup of diagnostic tests for, and diagnosed cases of, sexually transmitted diseases; (2) casefinding and case followup activities respecting sexually transmitted diseases, including contact tracing of infectious cases of sexually transmitted diseases and routine testing, including laboratory tests and followup systems; (3) interstate epidemiologic referral and followup activities

ASSISTANCE LISTINGS DESCRIPTIONS

respecting sexually transmitted diseases; and (4) such special studies or demonstrations to evaluate or test sexually transmitted diseases prevention and control strategies and activities as may be prescribed by the Secretary., Title Public Health Service Act, Part 51b Project Grants for Preventive Health Services, Section 318 (42 U.S. Code 247c), Public Law Public Law 100-202, Public Law 100-202, 42 U.S. Code 247c -Sexually transmitted diseases; prevention and control projects USC Code 247c.

OBJECTIVES:

The purpose of the assistance is to strengthen STD prevention programs in eligible jurisdictions. Project grants under Section 318 awarded to State and local health departments, academic and public health organizations emphasize the development and implementation of nationally uniform prevention and control programs which focus on the core public health functions of assessment, assurance, policy development, and prevention services. This includes surveillance activities, including the reporting, screening, and follow up of persons diagnosed with STDs, and disease intervention activities to find cases, and notify and refer exposed partners to STD into care.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used for: (1) STD surveillance activities, including reporting, and follow-up of diagnostic tests for, and diagnosed cases of, STD; (2) STD case finding and case follow-up activities, including notification, referral and follow up of sex partners for STD testing and treatment, as appropriate; (3) interstate epidemiological referral and follow-up activities; (4) program-specific professional (including appropriate allied health personnel) STD education and training as authorized under section 318 of the PHS Act; (5) program-specific and public STD education and information dissemination as authorized under Section 318 of the PHS Act; (6) applied research, special studies or demonstrations to evaluate or test STD prevention and control activities; and (7) other control strategies and activities as may be prescribed by the Secretary.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions; State; Any State, and, in consultation with the appropriate State Health Authority, any political subdivision of a State, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries; academic institutions and national and public health organizations.

Beneficiary Eligibility:

State; Local; Any State or authorized subdivision including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, academic institutions and public health organizations.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. 2 CFR 200, Subpart E - Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. This program is subject to the provisions of 45 CFR, 92. The standard application forms, as furnished by PHS and required by 45 CFR, 92 for State and local governments, must be used for this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval, a Notice of Award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 120 days; from 3 to 4 months. Unsuccessful applicants will receive notification of the results of the application review by email with delivery receipt or by mail.

Appeals:

Not Applicable.

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period: From one to five years; budget period: 12 months. Method of awarding/releasing assistance: letter of credit. Method of awarding/releasing assistance: letter

Reports:

See notice of funding opportunity (NOFO) for details. See NOFO for details. See NOFO for details. See NOFO for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$96,469,000.00; FY 21 est \$99,506,000.00; FY 22 est \$99,506,000.00; Cooperative Agreements FY 20 \$96,469,000.00; FY 21 est \$96,469,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Range: \$250,000 to \$7,719,732; Average: \$1,421,526

ASSISTANCE LISTINGS DESCRIPTIONS

TAFS Codes:

75-0950.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096
Email: mzf3@cdc.gov
Phone: 4046398864
Fax:

Website Address:

<http://www.cdc.gov/std/>

RELATED PROGRAMS:

93.856 Microbiology and Infectious Diseases Research; 93.260 Family Planning_Personnel Training; 93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.855 Allergy, Immunology and Transplantation Research; 93.865 Child Health and Human Development Extramural Research; 93.217 Family Planning_Services; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the magnitude of the problem, the establishment of specific and measurable objectives to address the problem, and the development of a sound operational plan which will ensure the implementation of each program element. A formula may be added for the next notice of funding opportunity (NOFO).

93.978 Sexually Transmitted Diseases (STD)

Provider Education Grants

STD Training Centers: PS17-1708, PS20-2002 PS20-2003, PS20-2004, PS20-2008,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title Public Health Service Act, Part 42 CFR Part 51b - PROJECT GRANTS FOR PREVENTIVE HEALTH SERVICES, Section Section 318 (42 U.S. Code 247c), Public Law 100-202, 42 U.S. Code 247c - Sexually transmitted diseases; prevention and control projects USC Code Section 318 (42 U.S. Code 247c).

OBJECTIVES:

The purpose of the assistance is to fund academic institutions and clinical and public health training organizations to develop, deliver and evaluate training, and educational and clinical skills improvement activities for health care professionals and appropriate allied health personnel for the prevention, control and clinical management of gonorrhea, chlamydia, syphilis, and other STDs.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Cooperative agreement funds may be used to support academic institutions and

clinical training organizations to develop, deliver and evaluate training, and educational and clinical skills improvement activities for health care professionals and allied health personnel for the prevention, control and clinical management of gonorrhea, chlamydia, syphilis, and other STD. Grant funds may be used for: (1) The costs associated with planning, organizing, and conducting professional STD education, training, and clinical skills improvement activities for health care providers and appropriate allied health personnel. Cooperative agreement funds may not be used for supplanting funds supporting existing STD control services provided by a State or locality. Proposals for applied research involving human subjects must follow current Public Health Service (PHS) and Centers for Disease Control and Prevention (CDC) guidelines on human experimentation. This NOFO will have indirect costs budgeted and reimbursed at 8% of modified total direct costs rather than on the basis of a negotiated rate agreement and are not subject to upward or downward adjustment. This indirect cost rate is applicable to organizations other than state, tribal, or local governments.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Academic institutions and national, state and Tribal clinical and public health training organizations.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Health Professional; Private and public clinical providers, such as physicians, nurse practitioners, nurses, physician assistants, pharmacists, and others.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. 2 CFR, Subpart E - Cost Principles applies to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Office of Grants Services Technical Information Management Section (OGSTIMS) staff. For this, or further assistance, contact OGSTIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. This program is subject to the provisions of 45 CFR, 92. The standard application forms, as furnished by PHS and required by 45 CFR, 92 for State and local governments, must be used for this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

After review and approval, a Notice of Award (NoA) is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 4 months. Any applicant awarded funds in response to the NOFO will be subject to the DUNS, SAM Registration, and Federal Funding Accountability And Transparency Act Of 2006 (FFATA) requirements. Unsuccessful applicants will receive notification of these results by e-mail with delivery receipt or by U.S. mail.

Appeals:

Not Applicable.

Renewals:

ASSISTANCE LISTINGS DESCRIPTIONS

Other. Same as Application Procedure.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project period: From one to five years; budget period: 12 months. Method of awarding/ releasing assistance: by letter of credit. Method of awarding/releasing assistance: letter

Reports:

See notice of funding opportunity (NOFO) for details. See notice of funding opportunity (NOFO) for details. See notice of funding opportunity (NOFO) for details. See notice of funding opportunity (NOFO) for details.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0950-0-1-550. 75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$7,191,786.00; FY 21 est \$3,950,000.00; FY 22 est \$3,950,000.00; Cooperative Agreements FY 20 \$7,191,786.00; FY 21 est \$3,950,000.00; FY 22 est \$3,950,000.00;

Range and Average of Financial Assistance:

Range: \$316,667 - \$1,900,000; Average - \$564,286

TAFS Codes:

75-0950. 75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b. Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland,
1600 Clifton Road, MS E-07,
Atlanta GA 30096
Email: mzf3@cdc.gov
Phone: 4046398864
Fax:

Website Address:

<http://www.cdc.gov/std/>

RELATED PROGRAMS:

93.856 Microbiology and Infectious Diseases Research; 93.260 Family Planning_Personnel Training; 93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.855 Allergy, Immunology

and Transplantation Research; 93.865 Child Health and Human Development Extramural Research; 93.217 Family Planning_Services; 93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers);

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the need for the proposal and its potential benefit(s) to STD control; possible effects upon local STD control programs; consistency with national program goals; specific and measurable objectives; a workable and meaningful plan for evaluation; and a sound operational plan that ensures the proposal can be carried out to reach stated objectives.

93.980 Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease

Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Executive Order 12372, , Public Health Service Act, 42 USC 247 b (K)(2),

OBJECTIVES:

The purpose of the program is to strengthen the nations capacity to carry out public health activities in the area of primary immunodeficiency diseases (PID) by increasing and improving physician education and public health awareness for/of PID. The intent is to disseminate educational information on a national level to health care providers, educators, third-party payers, impacted families, and others who may help expedite clinical recognition and improve health outcomes for Americans with primary immunodeficiency diseases.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Grants funds will not be used for research activities. Funding for this grant will be used to reduce the burden of primary immunodeficiency diseases. Funding for activities should be allocated per the strategy to best meet the short, medium, and long term outcome goals.

Applicant Eligibility:

Anyone/general public; This FOA will be full and open competition. The ideal applicant will have ten years of experience in: conducting effective physician education and public awareness campaigns for primary immunodeficiency diseases, collaborating effectively with health care and public health partners, and maximizing resources dedicated to campaign materials development and distribution to meet outcome goals.

Beneficiary Eligibility:

Anyone/general public; The general public will benefit from outcome of this FOA. The ideal applicant will have ten years of experience in: conducting effective physician education and public awareness campaigns for primary immunodeficiency diseases, collaborating effectively with health care and public health partners, and maximizing resources dedicated to campaign materials development and distribution to meet outcome goals.

Credentials/Documentation:

Applicants are required to include all required documents with their application package at www.grantsolutions.gov. Costs will be determined in accordance with OMB Circular No A-87 for State and Local governments. For all non-profit grantees, costs will be determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program, 2 CRF

ASSISTANCE LISTINGS DESCRIPTIONS

200, Subpart E-Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. N/A

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. To apply for this funding opportunity, use guidance listed in the announcement. If you do not have internet, if you have difficulty accessing the forms online, you may contact CDC Office of Grants Services at 770-488-2700.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Office of Grant Services (OGS). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification

Deadlines:

July 20, 2017 - July 19, 2022.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. The grant project period is 1 to 5 years. Subject to availability of funds after initial award. Projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. See FOA announcement for information on how assistance is awarded/released. Grantee should consult OGS for additional information. Method of awarding/releasing assistance: other

Reports:

Interim reports from each entity award summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded to be posted no later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported by federal funds. No cash reports are required. Federal financial reports are required 90 days after the end of the project period. Consult with CDC about performance monitoring activities. Interim reports from each entity award summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded to be posted no later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported by federal funds. No cash reports are required. Federal financial reports are required 90 days after the end of the project period. Consult with CDC about performance monitoring activities. Federal Financial Report (FFR). Consult with CDC about final reporting requirements. Interim reports from each entity award summarizing the activities undertaken and identifying any sub-grants or sub-contracts awarded to be posted no later than 30 days after the end of each 6-month period. Congress directed HHS to provide information on activities and programs supported by federal funds. No cash reports are required. Federal financial reports are required 90 days after the end of the project period. Consult with CDC about performance monitoring activities. Consult with CDC about performance monitoring requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F-Audit requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or program specific audited conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal funds are exempt from Federal audit requirements for that year except as noted in 2 CFR 200.503.

Records:

Consult with CDC Office of Grant Services for information.

Account Identification:

75-0959-0-1-550.

Obligations:

Salaries and Expenses FY 20 \$921,500.00; FY 21 est \$2,825,998.00; FY 22 est \$2,800,000.00; Cooperative Agreements FY 20 \$1,904,500.00; FY 21 est \$2,800,000.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

FY 2017 Estimated at 921, 500FY2018 Estimated at 921, 500FY2019 Estimated at 921, 500FY2020 Estimated at 921, 500FY2021 Estimated at 921, 500Total anticipated funding is \$4,607,500. Future funding is subject to availability of funds.

TAFS Codes:

75-0959.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Scott Bowen,
1600 Clifton Road,
Atlanta GA 30329
Email: sbowen1@cdc.gov
Phone: 404-498-0068
Fax: 404-498-0140 Zingarelli, Linda,

1600 Clifton Rd.,
Atlanta GA 30329
Email: lkz1@cdc.gov
Phone: 404-498-0055

Fax:

Website Address:

<http://WWW.CDC.GOV>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated on the review criteria described in the funding Opportunity Announcement (FOA). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective review panel, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria. The budget is not scored.

93.981 Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools

Improving Student Health and Academic Achievement through Nutrition, Physical

ASSISTANCE LISTINGS DESCRIPTIONS

Activity and the Management of Chronic Conditions in Schools,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

This program is authorized under sections 301(a) and 317(k)(2) of the Public Health Services Act.,

OBJECTIVES:

This program supports evidence-based strategies and activities to reduce the risk of children and adolescents developing chronic disease in the future, manage chronic conditions prevalent in student populations (asthma, diabetes, epilepsy, food allergies, oral health) and improve academic success. Long-term outcomes include: (1) increasing the number of students who consume nutritious food and beverages, (2) increasing the number of students who participate in daily physical activity, and (3) reducing chronic health issues among students and improving health outcomes in schools.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance can be used to pay for employee salaries, overheads, travel costs, and other expenses directly related to achievement of the strategies and activities listed in the FOA towards the purpose of fulfilling obligations related to providing technical assistance to CDC and its awardees. This assistance may be used to strengthen state education agencies and Local Education Areas infrastructure, professional development and training, and technical assistance to support program and policy implementation related to improving the school nutrition environment, physical education and physical activity, and managing chronic health conditions among students.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; The intent of this funding opportunity announcement (FOA) is to improve the health and educational outcomes of youth/adolescents through the implementation of evidence-based strategies and activities within school settings. Funding eligibility is limited to state education agencies or equivalents since these agencies have the greatest likelihood of reaching Local Education Areas, schools and the youth/adolescents they serve

Beneficiary Eligibility:

U.S. Territories; State; Federally Recognized Indian Tribal Governments; The intent of this FOA is to improve the health and educational outcomes of youth/adolescents through the implementation of evidence-based strategies and activities within school settings. Funding eligibility is limited to state education agencies or equivalents since these agencies have the greatest likelihood of reaching Local Education Areas, schools and the youth/adolescents they serve.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. n/a

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications will be submitted via GrantSolutions as specified in the FOA. All applications submitted by the deadline that are complete and responsive to FOA will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award from the CDC Office of Financial Resources. The Notice of Award shall be the only binding, authorizing document between the recipient and CDC and will be signed by an authorized CDC Grants Management Officer.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. The range of approval/disapproval is between 120 to 180 days. The anticipated start date is 06/30/2018. This information will be provided in the FOA DP18-1801. If the application is not submitted by the deadline published in the FOA, it will not be processed. CDC OFR personnel will notify the applicant that their application did not meet the deadline. The applicant must receive pre-approval to submit a paper application. If the applicant is authorized to submit a paper application, it must be received by the deadline provided by OFR.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. The project period is 5-years with 12-month budget periods. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the grantee (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The project period is 5-years with 12-month budget periods. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the grantee (as documented in required reports, in documented technical assistance, and in other relevant documented activities), and the determination that continued funding is in the best interest of the federal government. Method of awarding/releasing assistance: lump sum.

Reports:

The reporting schedule will be based on the requirements listed in the FOA. An Annual Progress Report and Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final progress report and final Federal Financial Report are due 90 days after the end of the project period. An Annual Progress Report is due 90 days after the end of the budget period. The Annual Progress Report will describe successes, challenges, and progress toward program goals, objectives and performance measures. Federal Financial Report (SF425) are due 90 days after the end of the budget period. A final Federal Financial Report are due 90 days after the end of the project period. Grantee performance will be monitored through: (1) technical review of the Annual Progress Report, (2) ongoing communication between the grantee, project officer, and grants management officer via email, conference calls, and meeting, and (3) periodic site visits. The grantee will describe their activities and progress toward meeting goals, objectives and performance measures in the progress reports submitted.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

75-0943-0-1-550.

Obligations:

Cooperative Agreements FY 20 \$11,599,151.00; FY 21 est \$12,794,647.00; FY 22 est \$12,794,647.00;

Range and Average of Financial Assistance:

Estimated floor of award: \$300,000 Estimated average award: \$355,000 Estimated ceiling of award: \$375,000

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program supports evidence-based strategies and activities to reduce the risk of children and adolescents developing chronic disease in the future, manage chronic conditions prevalent in student populations, and improve academic success as outlined in the following national plans and guidelines: CDC Winnable Battles:

<http://www.cdc.gov/winnablebattles/> CDC and ASCD Whole School, Whole Community, Whole Child model: <http://www.cdc.gov/healthyyouth/wscc/> The Guide to Community Preventive Services:

<http://www.thecommunityguide.org/index.html> The National Prevention Strategy: <http://www.healthcare.gov/prevention/nphpphc/strategy/report.pdf> National Physical Activity Plan: <http://www.physicalactivityplan.org> Accelerating Progress in Obesity Prevention: Solving the Weight of the Nation:

<http://www.iom.edu/Reports/2012/Accelerating-Progress-in-Obesity-Prevention.aspx> Lets Move! Active Schools: www.letsmoveschools.org

Presidential Youth Fitness Program: www.presidentialyouthfitnessprogram.org

National After School Alliance Healthy Eating and Physical Activity Standards: http://www.niost.org/pdf/host/Healthy_Eating_and_Physical_Activity_Standards.pdf United States Department of Agriculture Smart Snacks:

http://www.fns.usda.gov/sites/default/files/allfoods_flyer.pdf United States Department of Agriculture School Meal Programs:

<http://www.fns.usda.gov/nslp/national-school-lunch-program-nslp> United States Department of Agriculture Local School Wellness Policy:

<http://www.fns.usda.gov/tn/local-school-wellness-policy> CDC School Health Guidelines to Promote Healthy Eating and Physical Activity:

<http://www.cdc.gov/mmwr/pdf/rr/rr6005.pdf> National Asthma Education and Prevention Program Expert Panel Review-3 Guidelines for the Diagnosis and Management of Asthma (NAEPP EPR-3): <http://www.nhlbi.nih.gov/health-pro/guidelines/current/asthma-guidelines/full-report>

Regional or Local Office:

None.

Headquarters Office:

Sheryl Heard,

4770 Buford Hwy, NE,

Atlanta GA 30341

Email: slh3@cdc.gov

Phone: 7704882855

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.945 Assistance Programs for Chronic Disease Prevention and Control;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.982 Mental Health Disaster Assistance and

Emergency Mental Health

(Mental Health Disaster Assistance),

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES

ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act., Section 416,

Public Law 100-707

OBJECTIVES:

To provide supplemental emergency mental health counseling to individuals

affected by major disasters, including the training of workers to provide such

counseling.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Provides funds for staff, travel, consultants and other expenses incident to the provision of mental health counseling to and referral of individuals in a disaster area. Funds may not be used for long-term treatment.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants may be State or local nonprofit agencies as recommended by the State Governor and accepted by the Secretary.

Beneficiary Eligibility:

Individual/Family; Individuals who were victims of major disasters.

Credentials/Documentation:

Costs will be determined in accordance with HHS Regulations 45 CFR Part 92 for State and local governments, must be used for this program..., 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as Application for Federal Assistance SF-424 required by 45 CFR, Part 92 for State and local governments, must be used for this program. Application form (SF-424) is submitted by the State Coordinating Officer to the Regional Director, Federal Emergency Management Agency, no later than 60 days following declaration of a disaster. This program is subject to the provisions of 45 CFR 92 for State and local governments.

Award Procedure:

Applications are reviewed by an objective review committee and recommended for approval or disapproval. Final determination for an award is made by Federal Emergency Management Agency.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. 30 to 60 days.

Appeals:

Other. None.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance may be for 9 months with a possible extension of up to 90 days as approved by FEMA Regional Director. Method of awarding/releasing assistance: other

Reports:

A progress report is due 90 days after the end of the budget period. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 90 days after the end of each 12 month period and 90 days after the final 12 month period. A final progress report is due 90 days after the end of the budget period. The project officer requires a quarterly budget expenditure report to determine the supplemental funding. The project officers monitors grantee's progress through frequent site visits and request for budget changes, etc.

ASSISTANCE LISTINGS DESCRIPTIONS

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3 year period if audit findings have not been resolved.

Account Identification:

75-1363-0-1-551.

Obligations:

Project Grants (Discretionary) FY 20 \$38,318,213.00; FY 21 est \$117,929,910.00; FY 22 Not Available;

Range and Average of Financial Assistance:

\$694,600 to \$2,134,752; \$1,305,100

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is estimated 21 awards will be made. Fiscal Year 2021: It is estimated 100 awards will be made. Fiscal Year 2022: No further estimates are available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 44 CFR 205. Guidelines available in application kit. Disaster Assistance and Emergency Mental Health, HHS Publication No. (ADM) 76-327. HHS Grants Policy Statement, HHS (Rev.) January 1, 2007.

Regional or Local Office:

See Regional Assistance Locations. Contact the Regional Director, Federal Emergency Management Agency in the appropriate regional office. (See Appendix IV of the Catalog.)

Headquarters Office:

Grants Management Officer Roger George,
5600 Fishers Lane,
Rockville MD 20857
Email: roger.george@samhsa.hhs.gov
Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Presidential declaration of the disaster area. Justified need for supplementary services.

93.983 Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program

Market Transparency Project,

FEDERAL AGENCY:

IMMED OFFICE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2017, Pub. L. No. 115-31, Division H, Title II,

OBJECTIVES:

The purpose of this program is to increase market awareness and transparency associated with the wide range of costs associated with health IT interoperability services.

TYPES OF ASSISTANCE:

Cooperative Agreements, Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Contracts, Subgrants

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Must have proven knowledge of and familiarity working with either Health IT interoperability services, developing online tools for crowd sourced reporting, or a combination of the two.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Anyone/general public; Health Professional; Sponsored organization; Public nonprofit institution/organization; Beneficiaries include healthcare CIOs, CFOs, contracting personnel, sales teams, EHR vendors, application developers, among many others that seek to determine fair market value and costs of various health IT interoperability services.

Credentials/Documentation:

If applicants intend to apply as a collaborative group, a list of confirmed and potential participants need to be included as part of the application; letters of commitment from confirmed participants will also be required. Applicants must be able to provide sufficient documentation that show their ability to comply with each of the required deliverables, including but not limited to a project plan addressing the SOW from the FOA and proposed timeline, budget proposal on how they would use the award money, existing experience working with crowdsourcing methodologies or how they plan to obtain such expertise, and list of key personnel, including a CV. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

The successful applicants Authorized Representatives will receive the NGA electronically from ONC. The NGA is considered the official authorizing award document. The NGA will include amount of funds awarded, the terms and conditions of the cooperative agreement, the effective date of the award, the budget period for which support will be given, the total project period timeframe, and the total approved budget.

Deadlines:

July 31, 2018. Contact the headquarters or regional office, as appropriate for application deadlines

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are available through the period of performance set for the award, and 90 days after to reconcile and close out. Method of awarding/releasing assistance: lump sum.

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Awardees will be required to submit quarterly progress reports, as well as a program close-out report. Reports will be developed by ONC staff prior to award of the funds. Semi Annual Federal Cash Transaction Reports are required to be submitting to the Office of Procurement and Grants, as well as quarterly cash transaction reports. Awardees will be required to submit quarterly progress reports. Yes, expenditure reports will be required as a part of awardees quarterly progress reports, as well as a final financial and reconciliation report of award expenditures as part of the program close-out report Awardees will be required to submit quarterly progress reports, as well as a program close-out report that should include lessons learned.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. If the entity has a total of \$750,000 federal funds expended in one year, this program must be rolled into the single audit.

Records:

financial, programmatic, statistical, and other pertinent records, as well as supporting documents

Account Identification:

75-0130-0-1-551.

Obligations:

Cooperative Agreements FY 19 Not Available; FY 20 Estimate Not Available; FY 21 Estimate Not Available; Cooperative Agreements FY 19 \$0.00; FY 20 est \$0.00; and FY 21 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

75-0130.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR PART 75 UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR HHS AWARDS

Regional or Local Office:

Headquarters Office:

Carmel Halloun,
330 C Street SW,
Washington DC 20201
Email: carmel.halloun@hhs.gov
Phone: 2027202919

Website Address:

<http://www.healthit.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by a review panel comprised of no less than one (1) federal employees and subject matter experts (non-federal employees). Each proposal will receive a score based on strength and merit of their proposals, their ability to meet the scope of their proposed projects and the identified outcomes and objectives, and their use case. Selection criteria will include, but not be limited to: meeting the scope of work requirements, their evaluation and measurement plan, estimated cost of their proposal, if the applicants are leveraging partnerships, and other criteria yet to be determined.

93.986 Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program

N/A,

FEDERAL AGENCY:

CENTERS FOR MEDICARE AND MEDICAID SERVICES, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Section 1848(s)(6) of the Social Security Act, as added by section 102 of the Medicare Access and Childrens Health Insurance Program Reauthorization Act of 2015.,

OBJECTIVES:

In accordance with section 1848(s)(6) of the Social Security Act, as added by section 102 of the Medicare Access and Childrens Health Insurance Program Reauthorization Act of 2015, this funding opportunity will be used for developing, improving, updating or expanding quality measures for use in the Quality Payment Program under the Merit-Based Incentive Payment System and/or Advanced Alternative Payment Models. Recognizing the benefits of measure development by external stakeholders with

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements), Salaries and Expenses

USES AND USE RESTRICTIONS:

Funds are to be used for measure development activities to provide for a fully developed measure suitable for use in the CMS Quality Payment Program. Funds may be used to secure technical measure development expertise with external (to the recipient) experts. Funds should be used in accordance with generally accepted measure development principles.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Anyone/general public; Clinical specialty societies, clinical professional organizations, patient advocacy organizations, educational institutions, independent research organizations, health systems, and other entities engaged in quality measure development. For this funding opportunity, the above categories are referenced collectively as entity or entities. The language, engaged in quality measure development, pertains to each of the above referenced entities. Entities must: 1) demonstrate, or partner with an organization with demonstrated, quality measure development expertise 2) be engaged in quality measure conceptualization, development, or evaluation 3) be involved in developing evidence-based clinical practice guidelines. Otherwise eligible entities must not be actively receiving federal funding for quality measure development, implementation, maintenance, or public reporting activities for quality measures. However, such an entity may be a sub-recipient on one or multiple applications.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Anyone/general public; Public nonprofit institution/organization; Other public institution/organization; Quality Payment Program provides new opportunities to improve care delivery by supporting and rewarding clinicians as they find new ways to engage patients, families, and caregivers and to improve care coordination and population health management. The quality measures in MIPS and APMs serve as the mechanism of measuring the improved care delivery. With this funding opportunity, CMS is engaging eligible entities to develop the quality measures as a way of enhancing the measure portfolio with additional measures for specialties. An enhanced measure portfolio helps to ensure that a higher percentage of providers can use quality measurement to improve their practice. This results in consumers making more informed decisions regarding their healthcare and overall health care quality improvements. The ultimate beneficiaries from this work are providers, consumers, healthcare stakeholders, and anyone interested in quality as the quality measures developed better reflect the complexity and diversity of our healthcare system and improve the quality of healthcare for everyone.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. N/A

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) signed and dated by the CMS Grants Management Officer that will set forth the amount of the award and other pertinent information. The award will also include standard Terms and Conditions, and may also include additional specific cooperative agreement terms and conditions. Potential applicants should be aware that special requirements could apply to cooperative agreement awards based on the particular circumstances of the effort to be supported and/or deficiencies identified in the application by the review panel. The NoA is the legal document issued to notify the awardee that an award has been made and that funds may be requested from the HHS payment system. The NoA will be sent electronically to the Authorized Official and awardee organization as listed

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Anticipated dates of awards for Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program is approximately 60 days after the application due date.

Appeals:

Not Applicable. N/A

Renewals:

Not Applicable. N/A

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The award is available for up to a 3-year project period comprised of 3 separate 12-month budget periods. Funding will be issued each year through a non-competing continuation award based upon a demonstration of progress. Applicants may apply for a 1, 2, or 3 year project period. Method of awarding/releasing assistance: other

Reports:

The Federal Financial Report (FFR or Standard Form 425) has replaced the SF-269, SF-269A, SF-272, and SF-272A financial reporting forms. All grantees must utilize the FFR to report cash transaction data, expenditures, and any program income generated. Grantees must report on a quarterly basis cash transaction data via the Payment Management System (PMS) using the FFR in lieu of completing a SF-272/SF272A. Failure to submit the report may result in the inability to access funds. CMS may enlist a third party entity to assist CMS in monitoring the results and outcomes. The FFR, containing cash transaction data, is due within 30 days after the end of each quarter. A Quick Reference Guide for completing the FFR in PMS is at:

www.dpm.psc.gov/grant_recipient/guides_forms/ffr_quick_reference.aspx. The CMS Center for Clinical Standards and Quality will take an active and substantial role in the management, implementation, evaluation, and monitoring of the Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program awards. The activities funded under the cooperative agreement and their resulting recipient responsibilities will be part of performance tracking, measuring, and evaluation responsibilities of CMS. CMS will examine how the entities that receive funds used the money. In addition to submitting the quarterly FFR to PMS, Grantees must also provide, on an annual basis, a report to be uploaded into Grant Solutions which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be uploaded in Grants Solutions within 90 calendar days of the project period end date. Awardees must agree to cooperate with any Federal evaluation of the project

and performance results and provide The CMS Center for Clinical Standards and Quality will take an active and substantial role in the management, implementation, evaluation, and monitoring of the Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program awards. The activities funded under the cooperative agreement and their resulting recipient responsibilities will be part of performance tracking, measuring, and evaluation responsibilities of CMS. CMS will examine how the entities that receive funds used the money. In addition to submitting the quarterly FFR to PMS, Grantees must also provide, on an annual basis, a report to be uploaded into Grant Solutions which includes their expenditures and any program income generated in lieu of completing a Financial Status Report (FSR) (SF269/269A). Expenditures and any program income generated should only be included on the annually submitted FFR, as well as the final FFR. Annual hard-copy FFRs should be mailed and received within 30 calendar days of the applicable year end date. The final FFR should be uploaded in Grants Solutions within 90 calendar days of the project period end date. Awardees must agree to cooperate with any Federal evaluation of the project and performance results and provide required monthly, quarterly, semi-annual (every six months), annual and final (at the end of the cooperative agreement period) reports in a form prescribed by CMS. Reports will be submitted electronically. These reports will include how cooperative agreement funds were used, describe progress in their quality measure development work, and describe any barriers, delays, and measurable outcomes. CMS will provide the format for project reporting and technical assistance necessary to complete required report forms. All awardees will be required to cooperate in providing the necessary information to CMS and/or a CMS contractor for ongoing performance monitoring. The contractor would assist CMS in developing appropriate monitoring protocols and tracking performance across awardees and providing for rapid cycle evaluation and early detection of performance problems, including coordination between awardees and CMS and its other contractors. Performance monitoring will include but is not limited to monthly calls with CMS and/or a CMS contractor.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 N/A

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained by the grantee for a period of 3 years from the date of submission of the final SF-425 (FFR) except if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken.

Account Identification:

75-0511-0-1-551.

Obligations:

Salaries and Expenses FY 20 \$8,832,783.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

The Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program Cooperative Agreements expect to award 5-10 agreements with a range of \$0-\$2,000,000 a year for up to 3 years. The expected total funding is \$10,000,000 a years for 3 years totaling \$30,000,000. This is a new program with no financial assistance awards (dollars) that were made in the past and current fiscal years.

TAFS Codes:

75-0511.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 1848(s)(6) of the Social Security Act, as added by section 102 of the Medicare Access and Childrens Health Insurance Program Reauthorization Act of 2015 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards 45 CFR 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards (HHS

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regulation implementing OMB regulation 2 CFR 200)

Regional or Local Office:

Headquarters Office:

Wilfred Agbenyikey,
7500 Security Boulevard,
Woodlawn MD 21244
Email: Wilfred.Agbenyikey@cms.hhs.gov
Phone: 410-786-4399

Website Address:

<http://www.cms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.989 International Research and Research Training

Global Health Research and Research Training.

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, HEALTH AND HUMAN SERVICES,
DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Sections 301 and 405, as amended; 42 U.S.C. 241 and 284.,

OBJECTIVES:

The John E. Fogarty International Center (FIC) supports research and research training to reduce disparities in global health and to foster partnerships between U.S. scientists and their counterparts abroad. FIC supports basic biological, behavioral, and social science research, as well as related research training and career development. The research portfolio is divided into several programs that support a wide variety of funding mechanisms to meet programmatic objectives.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (including individual awards), Training

USES AND USE RESTRICTIONS:

Research and Research Training Grants provide funds for salaries, equipment, supplies, travel, tuition, career development activities and other expenses associated with research training and scientific investigation concerning global health issues. These grants are awarded to universities; colleges; medical, nursing and dental schools; hospitals; and other non-profit institutions. Awards include grants, cooperative agreements, international research training grants, and career development awards.

Applicant Eligibility:

Government - General; Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Minority group; Specialized group (e.g. health professionals, students, veterans); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; State; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); In general, universities, colleges, hospitals, laboratories, Federal institutions and other public or private non-profit and for-profit domestic and foreign institutions, and State and local units of government are eligible to submit applications for research grants, research training grants, cooperative agreements, and career development

awards. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. The eligibility requirements may differ amongst FIC programs, based on the eligibility section found in our Requests For Applications (RFAs) and Program Announcements (PAs) at:

<http://www.fic.nih.gov/Funding/Pages/default.aspx>

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Scientist/Researchers; Public nonprofit institution/organization; Other public institution/organization; Usually any non-profit or for-profit organization, company or institution engaged in health and biomedical research.

Credentials/Documentation:

Applications for research grants must present the objectives, methodology, and resources for the specific research project, and must demonstrate the applicant's competence and interest. For training grants, the applicant's academic record, research experience, and the proposed area and plan of training must be included in the application. The applicant institution must present the objectives, training program, and resources for the research-training program, the research qualifications and experience of directing staff in training students (the criteria to be used in selecting individuals for awards), a detailed budget, and justification for the amount of grant funds requested. Costs will be determined in accordance with 45 CFR 75 and 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Standard application forms, as required by 45 CFR, Part 75, must be used for this program. Detailed instructions for submitting applications to our programs are provided in our Requests For Applications (RFAs) and Program Announcements (PARs) at: <http://www.fic.nih.gov/Funding/Pages/default.aspx>

Award Procedure:

Applications are reviewed initially for scientific and technical merit by a review group that is assembled by the Center for Scientific Review at the National Institute of Health. This is followed by a second level review by the Fogarty International Center's Advisory Board. Decisions to fund are then made by FIC program officers and FIC Executive Leadership based on reviews by the original review group and the Advisory Board.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Grants: From six to nine months.

Appeals:

Other. A Principal Investigator (PI) may question the substantive or procedural aspects of the initial scientific review of his or her application by communicating with FIC staff.

Renewals:

Other. Non-competing renewal applications may be made for all multi-year awards. Applicants must submit their Research Performance Progress report (RPPR) approximately two months before the end of the last budget period. Competing renewal applications may be submitted only if allowed in the RFA or PAR.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Approval of a project includes determination of support for the authorized project period (not to exceed five years). Awards to support the project are usually made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Award (Form PHS-1533) indicates the support recommended and

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expected to be made available for the remainder of the project beyond the approved initial project period. Funds are disbursed via the Payment Management System Electronic Transfer System for domestic and foreign grantees. Loan Repayment Program Awards are for two years with the opportunity for renewal. Method of awarding/releasing assistance: other

Reports:

For most projects, Federal Financial Reports (FFR), Form SF425, must be filed 90 days after each competitive segment is completed. Reports must be provided to FIC staff in accordance with the CFR Title 42 Part 52 - Grants for Research Projects and the CFR Title 45 Part 75 - Uniform Administrative Requirements for grants and cooperative agreements. The grantee is responsible for annual progress reports. These reports are required for program analysis as part of all continuation applications. In addition, a final report must be filed within 120 days of the termination of the grant. The final report includes an account of progress made toward achievement of the originally stated aims; a list of the significant results; and a list of publications and inventions resulting from the projects. All grantees should use the Research Performance Progress Reporting (RPPR) forms to submit the annual progress report. For the final projects report and the final FFR at the end of the project period, the RPPR format is highly recommended, but the older 2590 form may be used for all grants and cooperative agreements. Performance is monitored annually by the NIH/Fogarty Center program staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FFR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FFR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FFR to NIH. See 45 CFR 75 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 75 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 75, the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0819-0-1-552.

Obligations:

Project Grants (including individual awards) FY 20 \$56,253,548.00; FY 21 est \$58,349,368.00; FY 22 est \$60,249,368.00;

Range and Average of Financial Assistance:

Fogarty International Center offered two new programs in 2020. The first was HIV-Associated Non-Communicable Diseases Research at Low- and Middle-Income Country (LMIC) Institutions, for research examining the intersection of HIV and non-communicable diseases. The second was an expansion of our program in Mobile Health: Technology and Outcomes in Low and Middle Income Countries (mHealth R21/R33), which supports exploratory and developmental research to study the development, validation, feasibility and effectiveness of

innovative mobile health interventions or tools specifically suited for LMICs. The current funding opportunity announcement provides support for up to two years (R21 phase) for technology development and feasibility studies, followed by a possible transition to expanded research support (R33 phase) for validation, larger-scale feasibility, and effectiveness studies. Transition to the R33 depends on the completion of applicant-defined milestones, as well as program priorities and the availability of funds. We continued to offer opportunities in our standing program announcements including Career Development Awards for US Scientists (International Research Scientist Development Award for US Scientists, IRSDA K01); Career Development Awards for Scientists from Low and Middle Income Countries (Emerging Global Leader Award for LMIC scientists, K43); Global Infectious Diseases Research Training, Research Training in Non-communicable Diseases Across the Lifespan; HIV Research Training Program; Bioethics Educational Development and Bioethics Research Training Programs; Ecology and Evolution of Infectious Diseases; Reducing Stigma to Improve HIV Prevention, Treatment, and Care in Low and Middle Income Countries (R21); and Brain Disorders across the Lifespan (R21 and R01). Information on all our programs is available on our website: www.fic.nih.gov. We continued to administer and lead a Bioinformatics training program through Human Health and Heredity in Africa (H3Africa), a trans-NIH program sponsored by the Common Fund in the office of the NIH Director. We also participated in Funding Opportunities led by other Institutes at NIH including HIV-Associated Malignancy Research Centers (HAMRCs) with NCI (<https://grants.nih.gov/grants/guide/rfa-files/RFA-CA-20-001.html>); Heart, Lung, and Blood Co-morbidity Implementation Models in People Living with HIV (HLB SIMPLE) with NHLBI (<https://grants.nih.gov/grants/guide/rfa-files/RFA-HL-20-025.html>); Minority Health and Health Disparities Research Training Program (MHRT; <https://www.nimhd.nih.gov/programs/extramural/domestic-international-research-training.html>); and Dissemination and Implementation Research in Health R03 (<https://grants.nih.gov/grants/guide/pa-files/PA-19-276.html>). We participated in several administrative supplement programs. These provide additional funding to awarded grants to add a component, and included opportunities to support investigators from under-represented minorities (Research Supplements to Promote Diversity in Health-Related Research: <https://grants.nih.gov/grants/guide/pa-files/pa-18-586.html>); to build capacity to address Sexual Harassment in LMIC institutions active in HIV/AIDS research with support from the Office of AIDS research; trans-NIH opportunities in the areas of Alzheimers Disease and Related Dementias with the National Institute on Aging, and Bioethics Research with the Office of Science Policy at NIH. Fiscal Year 2021 We continued to offer our ongoing programs mentioned above and described on our website. In addition, we made awards for Research Hubs (U54) and Data Science Training programs (U2R) in a new trans-NIH initiative supported by the NIH Directors Common Fund on Harnessing Data Science for Health Discovery and Innovation in Africa (see <https://www.fic.nih.gov/Programs/Pages/harnessing-data-science-for-health-discovery-innovation-africa.aspx>). We reissued the Funding Opportunity Announcement (FOA) for our Global Environmental and Occupational Health (GEOhealth) program, which supports linked awards for training and research in environmental and occupational health in LMICs, with new awards anticipated in FY22. We also reissued a FOA for our Trauma and Injury Training program for LMICs, with new grants to be awarded in FY21. We solicited and awarded supplements to our portfolio of active awards to include work related to covid-19, including supplements to look at the intersection of HIV/AIDS and covid-19 in partnership with the Office of AIDS Research, as well as supplements for training grants and K awardees impacted by covid-19, to ensure completion of training and fellowship activities. Finally, we partnered with NIDDK and NIEHS on an initiative entitled: Chronic Kidney Diseases of Uncertain Etiology (CKDu) in Agricultural Communities (CURE) Research Consortium - Field Site (U01) and co-funded new awards. Fiscal Year 2022: We will continue to offer the programs mentioned above. We have reissued the Global Fellows and Scholars program (Launching Future Leaders in Global Health (LAUNCH) Research Training Program (RFA-TW-21-004)), with anticipated awards in FY22. This program supports young investigators from the U.S. and LMICs representing a large diversity of biomedical and clinical fields for one-year research training at NIH sites in LMICs. As mentioned in FY21, we anticipate making new awards for our GEOhealth program in FY22. Information on all our programs is available on our website: www.fic.nih.gov.

TAFS Codes:

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75-0819.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for these awards are outlined in the following: the grant program legislation, terms and conditions cited in the Notice of Grant Award; the restrictions on the expenditure of Federal funds in appropriations acts, to the extent those restrictions are pertinent to the award, 45 CFR Part 75, as applicable; and the NIH Grants Policy Statement.

Regional or Local Office:

Headquarters Office:

Division Director Flora Katz,
Building 31, Room B2C3931 Center Drive, MSC 2220,
Bethesda MD 20892
Email: flora.katz@nih.gov
Phone: 301-496-1653

Website Address:

<http://www.fic.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessment of: (1) the scientific merit and general significance of the proposed study or research training program and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to the announced program objectives. All pertinent evaluation criteria are provided in the RFAs and PAs.

93.990 National Health Promotion

APTR.

FEDERAL AGENCY:

OFFICE OF DISEASE PREVENTION AND HEALTH PROMOTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Title III of the U.S. Public Health Service Act: 42 U.S.C. Section 301, also Section 1110 of the Social Security Act (42 U.S.C. 1310), along with funds appropriated under 42 U.S.C. 300U-6, Section 1707 of the Public Health Service Act, as amended., , 42 USC Code 300u-6..

OBJECTIVES:

This program is no longer funded. The previous grant award ended on August 13, 2017.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance must be provided to satisfy program needs of the National Health Promotion Program, including but not limited to: (1) identifying or developing materials for health promotion programs for use by schools, medical treatment sites, worksites, and community health promotion programs; (2) adding to the scientific data base, especially to fill gaps identified in the "Healthy People 2020: National Health Promotion and Disease Prevention Objectives"; and (3) identifying the needs of special population groups, such as Blacks, Hispanics, Asian/Pacific Islanders, American Indians/Alaska Natives, those with disabilities or special health care needs, and elderly Americans.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education

and hospitals); This program is no longer funded. The grant award ended on August 31, 2017.

Beneficiary Eligibility:

Anyone/general public; U.S. Citizen; Low Income; Other Urban; Rural; This program is no longer funded. The grant award ended on August 31, 2017.

Credentials/Documentation:

Proof of nonprofit status is required. Costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All grant announcements are posted on www.grants.gov.

Award Procedure:

N/A. The program is no longer funded.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable. N/A. The program is no longer funded.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A. This program is no longer funded. Method of awarding/releasing assistance: other

Reports:

Annual Program reports are required. Quarterly SF425 Federal Financial Reports must be submitted to Payment Management Services 30 days after the end of each calendar quarter. Progress reports are required for each budget period. Quarterly SF425 Federal Financial Reports must be submitted to the Office of Grants Management 30 days after the end of each calendar quarter and a final FFR 90 days after the project period end date. Reports are to be submitted electronically through GrantSolutions. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 75.361, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

Cooperative Agreements FY 18 Not Available; FY 19 Not Available; and FY 20 Not Available;

ASSISTANCE LISTINGS DESCRIPTIONS

Range and Average of Financial Assistance:

Unavailable

TAFS Codes:

75-0120.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact: Tiffani Kigenyl, Public Health Analyst, Office of Disease Prevention and Health Promotion, 1101 Wootton Parkway, Suite LL 100, Rockville, MD 20852, Telephone (240) 453-8280.

Headquarters Office:

Eric C. West,
Tower Building, Suite 550, 1101 Wootton Parkway,
Rockville MD 20852

Email: eric.west@hhs.gov

Phone: (240) 453-8822.

Website Address:

<http://www.healthypeople.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.991 Preventive Health and Health Services Block Grant

(PHHS Block Grants),

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, as amended; Omnibus Budget Reconciliation Act of 1981, Title XIX, Section 1905, Public Law 97-35, as amended; Preventive Health Amendments of 1984, Public Law 98-555; Health Omnibus Programs Extension Act of 1988, Public Law 100-607; Preventive Health Amendments of 1992, Public Law 102-531.,

OBJECTIVES:

To provide States with the resources to improve the health status of the population of each grantee by: (A) conducting activities leading to the accomplishment of the most current Healthy People objectives for the nation; (B) rapidly responding to emerging health threats; (C) providing emergency medical services excluding most equipment purchases; (D) providing services for sex offense victims including prevention activities; and (E) coordinating related administration, education, monitoring and evaluation activities.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Except as described below, Block Grant funds may be used for preventive health service programs for: (A) Activities to achieve improvements in the health status of populations through achievement of the most current Healthy People health objectives for the nation; (B) preventive health service programs for the control of rodents and for community and school-based fluoridation programs; (C) feasibility studies and planning for emergency medical services systems and the establishment, expansion, and improvement of such systems; Funds may not be used to operate emergency medical services systems or to purchase more than 50

percent of the cost of communications equipment for such systems. (D) providing services to victims of sex offenses and prevention of sex offenses; (E) the establishment, operation, and coordination of effective and cost-efficient systems to reduce the prevalence of asthma and asthma related illnesses, especially among children; (F) related planning, administration, and educational activities; and (G) monitoring and evaluation activities related to (A) through (F).

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Only State and U.S. Pacific Territorial governments, the District of Columbia, the Kickapoo Tribe of Kansas and the Santee Sioux Tribe of Nebraska are eligible for Preventive Health and Health Services Block Grants.

Beneficiary Eligibility:

Anyone/general public; The general public will benefit from the objectives of this program with special attention to disparately affected populations.

Credentials/Documentation:

Applications shall contain certification by the CEO of the State that the State has complied with provisions of and will meet the requirements of PHS Act, Title XIX, Section 1905, and Title XVII, Chapter 2 of the Omnibus Reconciliation Act of 1981. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Each State shall submit an application to the funding agency. Specific format is required, and forms are provided.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Award is made to the applicant by the Centers for Disease Control and Prevention.

Deadlines:

October 01, 2016. Applications will be accepted beginning on October 1 of the eligible fiscal year.

Range of Approval/Disapproval Time:

From 15 to 30 days. About 3 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Each allotment is available for obligations over a 24-month period; payments are made through the Electronic Transfer System. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Yearly audits required.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

ASSISTANCE LISTINGS DESCRIPTIONS

Account Identification:

75-0943-0-1-551.

Obligations:

Project Grants FY 20 \$147,332,088.00; FY 21 est \$149,098,135.00; FY 22 est \$148,000,000.00;

Range and Average of Financial Assistance:

\$21,258 to \$7,026,219; \$1,572,013. (Note: A formula based on fiscal year 1981 allocations to States is used for the annual basic grant allocation.)

TAFS Codes:

75-0943.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 Code of Federal Regulations, Part 96 (Published in the Federal Register on July 6, 1982 and revised in the Federal Register, October 13, 1987).

Regional or Local Office:

None.

Headquarters Office:

Kimberly J. Wilson,
MS V18-1, Centers for Disease Control and Prevention,
Atlanta GA 30329
Email: KJWilson@cdc.gov
Phone: 404-498-6121
Fax:

Website Address:

<http://www.cdc.gov/phhsblockgrant>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.994 Maternal and Child Health Services Block Grant to the States

MCH Block Grants,

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Social Security Act, Title V, Section 501(a) (1), as amended.,

OBJECTIVES:

To enable States to improve the health and well-being of the Nation's mothers, children and families by supporting and promoting the development and coordination of systems of care for the maternal and child health population, particularly vulnerable populations who do not have access to adequate health care.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

States may use funds to develop systems of care for the provision of health services and related activities, including planning, administration, education and evaluation consistent with the State's annual application. Funds may not be used for (1) Inpatient services other than those provided to children with special health care needs or to high-risk pregnant women and infants and such other inpatient services as the Secretary may approve; (2) cash payments to intended recipients for health services; (3) purchase and improvement of land, construction or permanent improvement of buildings or purchase of major medical equipment; (4) matching other Federal grants; or (5) providing funds for research or training to any entity other than a public or private nonprofit entity. Beginning in FY 1991, States must

use at least 30 percent of their Federal allotment for preventive and primary care services for children, and at least 30 percent for services for children with special health care needs. In addition, each State must establish and maintain a toll-free information number for parents on maternal and child health (MCH) and Medicaid providers. No more than 10 percent of each State's allotment may be used for administration.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Title V MCH Block Grants are limited to States and insular areas.

Beneficiary Eligibility:

Individual/Family; Specialized group (e.g. health professionals, students, veterans); Women; Infant (0-5); Child (6-15); Low Income; Mothers, infants, children, including CSHCN, and their families, particularly those of low-income.

Credentials/Documentation:

Application guidance for this program is approved by the Office of Management and Budget every three years. Applicants review the current Guidance for requirements in applying annually to receive Title V Maternal and Child Health Block Grant funding. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination between a State Maternal and Child Health Agency and other State and local entities within the State is at the discretion of the State. States are required to have mechanisms in place for obtaining public input and feedback on the development of their Title V Maternal and Child Health Block Grant applications and Title V supported programs.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. HRSA requires all applicants to apply electronically through its Electronic Handbooks (EHB).As a Block Grant to States, allocations are determined by legislative formula.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

July 15, 2021. Applications are due to HRSA by COB on July 15 of each year. (If the application due date falls on a Saturday or Sunday, the deadline will be extended to the following Monday.) In response to the COVID pandemic, the FY 21 Application due date was extended to September 15, 2020 and the FY 22 Application due date has been extended to September 1, 2021.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other. Funds will be made available annually to any State submitting an acceptable application.

Formula and Matching Requirements:

Statutory Formula: Title V Section 502(c), Chapter , Part , Subpart .Public Law Social Security Act.Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. For each quarter, the Secretary shall make payments of an amount equal to 4/7 of the total of the sums expended by the State during that quarter.This program has MOE requirements, see funding agency for further details. Additional Information: .

Length and Time Phasing of Assistance:

Funds are awarded each fiscal year in quarterly installments and remain available for expenditure for the current and subsequent fiscal year. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

The awardee will be required to submit annually an Application/Annual Report as well as federal financial reports (see the program announcement and notice of award for details for each required report). The awardee must submit a final electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System within 120 days of the end of the grant period. New awards (Type 1) issued under this funding opportunity are subject to the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109282), as amended by section 6202 of Public Law 110252, and implemented by 2 CFR Part 170. Grant and cooperative agreement recipients must report information for each first-tier subaward of \$25,000 or more in federal funds and executive total compensation for the recipients and subrecipients five most highly compensated executives as outlined in Appendix A to 2 CFR Part 170 (FFATA details are available online at <http://www.hrsa.gov/grants/ffata.html>). Cash reports are not applicable. Please refer to program reports above. Please refer to program reports above. Please refer to program reports above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0354-0-1-550.

Obligations:

Formula Grants FY 20 \$545,924,488.00; FY 21 est \$547,333,470.00; FY 22 est \$546,649,272.00;

Range and Average of Financial Assistance:

FY 20: \$147,736-\$39,383,025; \$ 9,252,957FY 21: est. \$148,183-\$39,250,013; \$9,276,838FY 22: est. \$148,071-\$38,682,931; \$9,265,242

TAFS Codes:

75-0354.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Most recent Block Grant Program data (from FY 19 Annual Reports) indicates that through direct, enabling, and public health services, states reached 92% of pregnant women, 98% of infants, and 60% of children ages 1-21, which includes children with special health care needs. For FY 20, FY 21, and FY 22, it is estimated that states will continue to reach 92% of pregnant women, 98% of infants, and 60% of children ages 1-21

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 96 as applicable and individual State Regulations. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Assistance Locations. Program Contact: Division of State and Community Health, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2204.

Headquarters Office:

Christopher Dykton, Acting Director; Division of State and Community Health, 5600 Fishers Lane, Rockville MD 20857

Email: CDykton@hrsa.gov

Phone: (301) 443-2204

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.997 Assisted Outpatient Treatment

Assisted Outpatient Treatment Grant Program for Individuals with Serious Mental Illness (Short title: Assisted Outpatient Treatment [AOT]),

FEDERAL AGENCY:

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF AUTHORIZATION:

Protecting Access to Medicare Act, of 2014, Section 224, Statue 128-1083, Public Law 113-93, 42 USC Code USC 290aa.

OBJECTIVES:

In April of 2014, Congress passed the Protecting Access to Medicare Act of 2014 (PAMA), which authorized a four year pilot program to award grants for Assisted Outpatient Treatment (AOT) programs for individuals with serious mental illness. The goal of the pilot program is to improve the health and social outcomes for the individuals served in the program such as increasing healthcare utilization, improving behavioral health and other health outcomes, and reducing rates of homelessness and incarceration. The AOT program will prepare and execute evidence-based, recovery oriented, and person-centered treatment plans with consumer input, provide case management and evidence-based services that support the individual and the treatment plan, ensure individuals are made aware of criteria for AOT completion, and ensure appropriate referrals to medical and social services providers based on the individuals needs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities.

Applicant Eligibility:

State; states, counties, cities mental health systems (including state mental health authorities), mental health courts, or any other entity with authority under the law of the state in which the applicant grantee is located to implement, monitor, and oversee AOT programs.

Beneficiary Eligibility:

State; States, counties, cities mental health systems (including state mental health authorities), mental health courts, or any other entity with authority under the law of the state in which the applicant grantee is located to implement, monitor, and oversee AOT programs.

Credentials/Documentation:

Applicants must provide proof of licensure, accreditation, certification, or chartering to provide substance abuse and/or mental health services or such other credential documentation as specific for a particular topical area. 2. CFT 200, Subpart E Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Standard application forms, Application for Federal Assistance SF-424 as required by 45 CFR Part 92 for State.

Deadlines:

ASSISTANCE LISTINGS DESCRIPTIONS

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Project will be funded for up to 4 years. Payments will be made under an Electronic Transfer System. Method of awarding/releasing assistance: other

Reports:

Semi-annual progress reports are required by the program officials. Semi-annual progress reports are required by the program officials. The (SF-425) Federal Financial Report is required by SAMHSA, Division of Grants Management 90 days after the end of each 12 month period and 90 days after the final 12 month period. SAMHSA monitors the grant program through Government Performance and Result Act (GPRA Government Project Officer), conference calls, site visits, and semi-annual reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if the final audit has not been done or findings resolved.

Account Identification:

75-1363-0-1-551.

Obligations:

Project Grants (Discretionary) FY 20 \$15,808,664.00; FY 21 est \$2,395,555.00; FY 22 est \$17,616,259.00;

Range and Average of Financial Assistance:

Up to \$1,000,000.

TAFS Codes:

75-1362.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: It is estimated 18 awards will be made. Fiscal Year 2021: It is estimated 35 awards will be made. Fiscal Year 2022: It is estimated 26 awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 75 and the HHS Grant Policy Statement, terms and conditions of award.

Regional or Local Office:

Headquarters Office:

Grants Management Officer Roger George,
5600 Fishers Lane,
Rockville MD 20857
Email: roger.george@samhsa.hhs.gov
Phone: 2402761418

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted related to merit, peer review criteria scored, and generally funded in score order.

93.998 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention

Autism and Other Developmental Disabilities,

FEDERAL AGENCY:

CENTERS FOR DISEASE CONTROL AND PREVENTION, HEALTH AND HUMAN SERVICES, DEPARTMENT OF

AUTHORIZATION:

Public Health Service Act, Executive Order 317(k)(2), Title 42, Part 241(a), Section 301(a), 42 U.S.C 247b(k)(2), Title 42, Part 241(A), Section 301(a)

OBJECTIVES:

This funding supports State health agencies, universities, and public and private nonprofit organizations in planning, implementing, coordinating, or evaluating programs related to autism and other developmental disabilities and the improvement of infant and child health and developmental outcomes. Programs may include the following activities: conducting epidemiologic research or surveillance; providing a national, State or local platform in the area of autism and developmental disabilities prevention that focuses on improving early identification of children with autism and other developmental disabilities so children and families can get the services and support they need; enhancing existing research or surveillance programs to better address research questions, monitor changes in prevalence and contributing factors, and inform policy and program activities; employing epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with autism and other developmental disabilities; disseminating data and health information; employing strategies to reduce racial/ethnic disparities in the identification of autism and other developmental disabilities; and expanding public health training opportunities through fellowships to introduce geneticists, epidemiologists, and clinicians to public health practice through education, training and career-enhancing experiences.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, expand, build upon or enhance research, surveillance, health promotion, education, and prevention/intervention activities that contribute to the mission and activities of the Division of Human Development and Disability (DHDD). Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for autism and other developmental disabilities, and infant and child health and developmental outcomes, including related health promotion, education and training, and prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations, state and local agencies, and public and private sector organizations in the United States. Funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; N/A

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Local; Public

ASSISTANCE LISTINGS DESCRIPTIONS

nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State; Consumer; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Private nonprofit institution/organizations and others.

Credentials/Documentation:

Credentials/Documentation (083): Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

After review and approval, a Notice of Award from the CDC Office of Grant Services is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Notice of Funding Opportunity, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other. Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Office of Grant Services, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: quarterly

Reports:

Semiannual or annual progress reports are required. Annual Progress reports and federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The

reporting format and required report content details are specified in Funding Opportunity Announcements. Final federal financial reports and performance reports are required 90 days after the end of the project period. Cash reports are not applicable.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 n/a

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0958-1-1-550.

Obligations:

Cooperative Agreements FY 20 \$4,500,000.00; FY 21 est \$4,500,000.00; FY 22 Estimate Not Available; Cooperative Agreements FY 20 Not Available; FY 21 Estimate Not Available; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

FY20/21 range is depended upon funding availability. Award amount range is \$75,000-\$800,000.

TAFS Codes:

75-0958.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. The HHS Grants Policy Statement can be found online at:

<http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

Headquarters Office:

Bruce Heath,
4770 Buford Hwy., MS-E86,
Atlanta GA 30341
Email: rbh5@cdc.gov
Phone: 404-639-1938

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

ASSISTANCE LISTINGS DESCRIPTIONS

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.002 Retired and Senior Volunteer Program

RSVP.

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title 2, Part A, Section 201, Public Law 93-113, 42 USC Code 5001.

OBJECTIVES:

The Retired and Senior Volunteer Program (RSVP) provides grants that support volunteers 55 years and older serving in a diverse range of activities that meet specific community needs and respond to the National Performance Measures.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Volunteers are not to supplant hiring, displace employed workers, or impair existing contracts for service. No agency supervising volunteers may request or receive compensation for services of the volunteers. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organizations, lobbying, or partisan or non-partisan political activities. Grants may be used for staff salaries and fringe benefits, staff travel, equipment, and related expenses, and for volunteer out-of-pocket expenses, primarily for transportation. In addition, eligible agencies or organizations may, with a Notice of Grant Award from AmeriCorps, receive technical assistance and materials to aid in establishing and operating non-AmeriCorps funded RSVP projects using local funds. Refer to the program's Notice of Federal Funding for additional information for uses and restrictions including the prohibited activities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); See the program's Notice of Funding Availability/Opportunity.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Persons age 55 and older who are willing to volunteer on a regular basis by serving in a diverse range of activities that meet specific community needs. See the program's Notice of Federal Funding for additional information.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Please program's Notice of Funding Availability/Opportunity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See program's Notice of Funding Availability/Opportunity and application instructions for information on award procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

See programs Notice of Funding Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. See programs Notice of Funding Availability/Opportunity.

Appeals:

Not Applicable. No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding. See programs Notice of Funding Availability/Opportunity.

Renewals:

From 120 to 180 days. See programs Invitation to Apply.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. The RSVP sponsor is required to match funds in part for their projects through local, non-federal contributions. The required local contribution in Year 1 of the grant is at least 10 percent of the total project budget. The required portion is at least 20 percent in Year 2, and at least 30 percent in Year 3 and subsequent years, if the grant is renewed beyond three years. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps collects Federal Financial Reports (FFR). FFRs are collected electronically by the HHS Payment Management System for AmeriCorps. AmeriCorps and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

Project Grants (Discretionary) FY 20 \$47,371,556.00; FY 21 est \$57,067,587.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The range of financial assistance ranges from \$0 to \$728,668. The average amount of financial assistance requested is \$80,239.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

REGULATIONS, GUIDELINES, AND LITERATURE:

See programs Notice of Funding Availability/Opportunity or Invitation to Apply for specific regulations and guidelines.

Regional or Local Office:

See Regional Assistance Locations. AmeriCorps maintains regional offices. Refer to the AmeriCorps website for address and contact information:

<https://americorps.gov/contact>.

Headquarters Office:

Linda Cook,
250 E Street, SW,
Washington DC 20525
Email: lcCook@cns.gov
Phone: 2026066961
Fax:

Website Address:

<https://americorps.gov/partner/how-it-works/americorps-seniors-rsvp>

RELATED PROGRAMS:

94.011 Foster Grandparent Program; 94.017 Senior Demonstration Program;
94.016 Senior Companion Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding Availability/Opportunity or Invitation to Apply.

94.003 State Commissions

Commission Support Grants,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1990, as amended; Edward M. Kennedy Serve America Act., Public Law 111-13

OBJECTIVES:

To develop a State plan, to assist States in the application process, and to provide oversight of funded AmeriCorps programs within each State. The funds enable States to form a 15 to 25 member, independent, bipartisan commission appointed by a governor to implement service programs in their State.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are used to operate a commission to oversee service programs.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions; Eligibility is limited to State or Territory Commissions for national service. State governments may house or form non-profit organizations as commissions to receive awards. Use of assistance must be consistent with funded approved grant application.

Beneficiary Eligibility:

State; States will benefit. See programs Application Instructions.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For final procedures contact the Headquarters Office.

Award Procedure:

See programs Application Instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The range is from one to two months.

Appeals:

Other. AmeriCorps does not offer an appeals process.

Renewals:

Other. See programs Application Instructions.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 50%. Requirement: Matching requirements are voluntary. See programs Application Instructions.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of one year; funding must be obligated during the award project period. Method of awarding/releasing assistance: lump sum.

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps collects Federal Financial Reports (FFR). FFRs are collected electronically by the HHS Payment Management System for AmeriCorps. AmeriCorps and its grant recipients are required to practice risk-based monitoring, and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2720-0-1-506.

Obligations:

Project Grants FY 20 \$17,514,826.00; FY 21 est \$18,538,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range may vary based on annual appropriations and population based formulas. Range has been from \$250,000 to \$755,810 over the course of the program.

TAFS Codes:

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95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Instructions. For detailed information contact the Headquarters Office.

Regional or Local Office:

None.

Headquarters Office:

Arminda Pappas,
250 E Street, SW,
Washington DC 20525
Email: APappas@cns.gov
Phone: 202-606-6659
Fax:

Website Address:

<https://americorps.gov/partner/how-it-works/americorps-state-national>

RELATED PROGRAMS:

94.006 AmeriCorps;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

94.006 AmeriCorps

AmeriCorps State and National,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Edward M. Kennedy Serve America Act, , Public Law 111-13, 42 USC Code 12501 note.. The National and Community Service Act of 1990, as amended, , Statute 42-12501 et seq.

OBJECTIVES:

AmeriCorps State and National grants are awarded to eligible organizations that identify an unmet need in their community that will be addressed by AmeriCorps members that the organization recruits, trains, and manages. An AmeriCorps member is an individual who is enrolled in an approved national service position and engages in community service. Members may receive a living allowance and other benefits while serving. Upon successful completion of their service, members receive an education award from the National Service Trust. AmeriCorps grant funding is distributed to Governor-appointed State Commissions and multi-state grantees. State Commissions award subgrants to organizations in their states, and the multi-state grantees work through operating sites in more than one state. These organizations recruit AmeriCorps members to respond to local needs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used to operate or plan national and community service programs.

Applicant Eligibility:

Intrastate; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Use of assistance must be

consistent with the funded approved grant application. See programs Notice of Funding.

Beneficiary Eligibility:

Federal; U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; Other private institution/organization; Interstate; Intrastate; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Beneficiaries must be identified within application for assistance.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Federal Funding and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Federal Funding and application instructions for information on other award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other. AmeriCorps does not offer an appeals process.

Renewals:

Other. See program's Notice of Federal Funding.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Match is 24 percent for the first three-year funding period. Starting with year four, the match requirement gradually increases every year to 50 percent by year ten and beyond.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps collects Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for AmeriCorps. AmeriCorps and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period

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of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-6-000.

Obligations:

Project Grants FY 20 \$399,115,225.00; FY 21 est \$431,890,435.00; FY 22 Not Available;

Range and Average of Financial Assistance:

See Notice of Federal Funding.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the Notice of Federal Funding.

Regional or Local Office:

See Regional Assistance Locations. A list has been included in Appendix IV of the Catalog. State Commissions should also be contacted.

Headquarters Office:

Arminda Pappas,
250 E Street, SW,
Washington DC 20525
Email: APappas@cns.gov
Phone: 202-606-6659

Fax:

Website Address:

<https://americorps.gov/partner/how-it-works/americorps-state-national>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program's Notice of Federal Funding.

94.008 Commission Investment Fund

TTA Commission Investment Funds,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1990, as amended; Edward M. Kennedy Serve America Act,

OBJECTIVES:

AmeriCorps is seeking to expand the capacity (knowledge, skills, and resources) of State Service Commissions and Alternative Administrative Entities in priority performance areas through the Training and Technical Assistance (TTA) Commission Investment Funds. These funds support AmeriCorps overall approach to help commissions implement their duties as required by the National and Community Service Act of 1990, as amended. With this grant program, AmeriCorps is particularly interested in activities that will forge new collaborations and partnerships or develop new skills and knowledge to produce significant and

demonstrable improvements within the one-year grant period. The Commission Investment Funds may be used to support: commission staffing and staff development in priority performance areas; training events; and collaborative activities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds are used to improve commission service delivery to national service programs.

Applicant Eligibility:

U.S. Territories and possessions; State; See programs Notice of Funding Opportunity

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; American Indian; State; Local; Public nonprofit institution/organization; Other public institution/organization; States will benefit.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Funding Opportunity and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Funding Opportunity and application instructions for information on award procedures

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other. CNCS does not offer an appeals process.

Renewals:

Other. See program's Notice of Funding Opportunity

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps collects Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for AmeriCorps. AmeriCorps and its award recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt

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from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

Project Grants (Discretionary) FY 20 \$8,499,999.00; FY 21 est \$8,735,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Arminda Pappas,
250 E Street, SW,
Washington DC 20525
Email: APappas@cns.gov
Phone: 202-606-6659
Fax:

Website Address:

<https://americorps.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

94.009 Training and Technical Assistance

Regional Training Conferences,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

The National and Community Service Act of 1990, as amended., , 42 USC Code 12501 et seq.. The Domestic Volunteer Service Act of 1973, as amended., , 42 USC Code 4950 et seq..

OBJECTIVES:

To support the planning and delivery of regional training conferences that focus on strengthening and building the capacity of AmeriCorps programs and AmeriCorps

Seniors projects across the country.

TYPES OF ASSISTANCE:

Dissemination of Technical Information, Project Grants (Discretionary), Training
USES AND USE RESTRICTIONS:

Training and Technical Assistance Regional Training Conferences grants are used to support the planning and delivering regional training conferences that focus on strengthening and building the capacity of AmeriCorps programs and AmeriCorps Seniors projects across the country. The content of the Regional Training Conferences will include critical programmatic and fiscal training determined by AmeriCorps, as well as relevant content determined through a participatory planning process.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Restrictions on use of funds are described in programs Notice of Federal Funding and Application Instructions. Use of funds must be consistent with the funded application, and are subject to AmeriCorps' grants administration laws, regulations, and policies. Applications are limited to State Service Commissions (or approved alternative administrative entities) or partnerships/consortia of State Service Commissions.

Beneficiary Eligibility:

Federal; Profit organization; Private nonprofit institution/organization; American Indian; State; Local; Public nonprofit institution/organization; Other public institution/organization; AmeriCorps State and National programs and AmeriCorps Seniors projects, AmeriCorps Indian Tribes programs, AmeriCorps VISTA programs (especially multi-site projects), Social Innovation Fund recipients, Operation AmeriCorps grant recipients and AmeriCorps National Civilian Community Corps (NCCC) staff will benefit.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact AmeriCorps for application procedures.

Award Procedure:

Cooperative Agreements and grants are awarded by AmeriCorps. Pre-award negotiations are usually held.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. A time frame has not been established.

Appeals:

Other. AmeriCorps does not offer an appeals process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of one year; Method of awarding/releasing assistance: lump sum.

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the Notice of Federal Funding and awarded cooperative agreement terms and conditions or provisions. AmeriCorps collects

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Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for AmeriCorps. AmeriCorps utilizes a risk-based monitoring approach to identify risk and develops a monitoring plan based on the risk level of the grantee.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2720-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$223,483.00; FY 21 est \$1,000,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

Range will vary as determined by the scope of the projects. AmeriCorps will make awards in the range of \$75,000 to \$115,000.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact AmeriCorps for guidelines. Information on the regulations and guidelines is also contained in the Notice of Federal Funding.

Regional or Local Office:

None.

Headquarters Office:

Arminda Pappas,
250 E Street, SW,
Washington DC 20525
Email: APappas@cns.gov
Phone: 202-606-6659
Fax:

Website Address:

<https://americorps.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

94.011 Foster Grandparent Program

FGP,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended., Title 2, Part B, Section 211, Public Law 93-113, 42 USC Code 5011.

OBJECTIVES:

The Foster Grandparent Program (FGP) provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 years of age or older, with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality volunteer experience that will enrich the lives of the volunteers. Program funds are used to support Foster Grandparents in providing supportive, person to person service to children with exceptional or special needs or in circumstances identified as limiting their academic, social, or emotional development.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grants may be used for: low-income Foster Grandparent stipends, transportation, physical examinations and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. Foster Grandparents may be assigned to children and youth in residential and non-residential facilities, including schools and preschools and to children living in their own homes. Volunteers may not supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers may request or receive compensation for services of the volunteers. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, with a Notice of Grant Award from AmeriCorps, receive technical assistance and materials to aid in establishing and operating a non-AmeriCorps funded FGP project using state, local, and/or private funds.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); See the program's Notice of Funding Availability/Opportunity.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Foster Grandparents must be: 55 years of age or older, with an income of up to 200 percent of poverty, based on the Department of Health and Human Services Poverty Guidelines, and interested in serving infants, children, and youth with special or exceptional needs. (However, individuals who are not income eligible may serve as non-stipended volunteers under certain conditions.) Foster Grandparents must be physically, mentally, and emotionally capable and willing to serve selected infants, children or youth on a person-to-person basis. See the program's Notice of Funding Availability/Opportunity for additional information.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Please see the program's Notice of Funding Availability/Opportunity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See program's Notice of Funding Availability/Opportunity and application instruction for information on award procedures. Notice of Funding Opportunities (NOFO) for this listing will be

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posted on Grants.gov.

Award Procedure:

See programs Notice of Funding Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. See the programs Notice of Funding Availability/Opportunity.

Appeals:

Not Applicable. No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding. See programs Notice of Funding Availability/Opportunity.

Renewals:

From 120 to 180 days. See the program's Invitation to Apply.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory. The FGP sponsor is required to match funds for their projects in part through local, non-federal contributions. The required local contribution is at least 10 percent of the total project budget. The local contribution portion should be reflected in the Budget Section of the application. The non-federal share can be cash on in-kind matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps collects Federal Financial Reports (FFR). FFRs are collected electronically by the HHS Payment Management System for AmeriCorps. AmeriCorps and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

Project Grants (Discretionary) FY 20 \$106,669,845.00; FY 21 est \$122,258,257.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The range of financial assistance is from \$0 to \$1,917,775. The average of financial assistance is \$310,711.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See programs Notice of Funding Availability/Opportunity or Invitation to Apply for specific regulations and guidelines.

Regional or Local Office:

See Regional Assistance Locations. AmeriCorps maintains regional offices. Refer to the AmeriCorps website for address and contact information:

<https://americorps.gov/contact>.

Headquarters Office:

Linda Cook,
250 E Street, SW,
Washington DC 20525
Email: lcCook@cns.gov
Phone: 2026066961
Fax:

Website Address:

<https://americorps.gov/partner/how-it-works/americorps-seniors-foster-grandparent-program>

RELATED PROGRAMS:

94.017 Senior Demonstration Program; 94.002 Retired and Senior Volunteer Program; 94.016 Senior Companion Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding Availability/Opportunity or Invitation to Apply.

94.012 September 11th National Day of Service and Remembrance Grants

September 11th Grants,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

The National and Community Service Act of 1990, Title 1, Part 1, Subtitle H, Section 198(k), Statue 104-3127, Public Law 101-610, 42 USC Code 12653(k).

OBJECTIVES:

The purpose of the September 11th National Day of Service and Remembrance grant funding is to mobilize more Americans to engage in service activities that meet vital community needs and honor the sacrifice of those who lost their lives on September 11, 2001, or who rose in service as a result of that tragedy.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

See program's Notice of Funding Opportunity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; See program's

ASSISTANCE LISTINGS DESCRIPTIONS

Notice of Funding Opportunity.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Private nonprofit institution/organization; State; Local; School; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See program's Notice of Funding Opportunity.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Funding Opportunity and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Funding Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Appeals:

From 60 to 90 days. AmeriCorps does not offer an appeals process.

Renewals:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. See programs Notice of Funding Opportunity for matching information. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps electronically collects Federal Financial Reports (FFRs) in the eGrants system. Separately, quarterly cash reports are electronically collected by the HHS Payment Management System. AmeriCorps and its award recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards,

copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

Project Grants FY 20 \$2,256,551.00; FY 21 est \$2,100,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

See programs Notice of Funding Opportunity for matching information.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. AmeriCorps maintains regional locations in the United States. Refer to the AmeriCorps website for address and contact information: <https://americorps.gov/contact>

Headquarters Office:

Sam Schuth,
250 E Street, SW,
Washington DC 20525
Email: dayservice@ens.gov
Phone: 202-491-9084
Fax:

Website Address:

<https://americorps.gov/partner>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding Opportunity.

94.013 Volunteers in Service to America

AmeriCorps VISTA, VISTA, or Volunteers In Service To America,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title I, Part A, , Statue 87-394, Public Law 93-113, 42 USC Code 4951-49600.

OBJECTIVES:

AmeriCorps VISTA is the national service program of AmeriCorps designed specifically to fight poverty. VISTA supports efforts to alleviate poverty by engaging individuals, 18 years and older, from all walks of life, in a year of full-time service with a sponsoring organization (sponsor) to create or expand programs designed to bring individuals and communities out of poverty.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

See program's Notice of Funding Opportunity and Annual Program Guidance at

ASSISTANCE LISTINGS DESCRIPTIONS

<https://americorps.gov/partner/funding-opportunities>. This is not a grant-based program.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; The proposed project must have a clear anti-poverty focus, include the involvement of the low-income community, and the activities must lead towards sustainability of the project. The activities of the VISTA members may not supplant staff or current volunteers. See program's Notice of Federal Funding for more information.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Low Income; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; VISTA activities must benefit low-income persons and communities by building the capacity of the sponsoring organization to find permanent solutions to poverty. VISTAs live and serve in some of our nations poorest areas. They receive a modest living allowance and other benefits during their service. After successfully completing a term of service, VISTAs and Summer Associates may receive a Segal AmeriCorps Education Award, which can be used to pay for college or student loans, or an end-of-service cash stipend. Sponsors may contribute to the cost of a VISTA through "cost share," but are under no obligation to do so.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Continuing sponsors may be asked to update initial documentation. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

<https://www.whitehouse.gov/omb/office-federal-financial-management/>

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See program's Notice of Funding Opportunity and application instructions for information on other application procedures.

Award Procedure:

See program's Notice of Funding Opportunity and application instructions for information on other application procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable. No formal appeals for denial of initial project application; however, regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

From 120 to 180 days. Renewal applications are required annually at least 120 days prior to the end of the project period. Renewals are subject to the same review procedures as new projects.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Assistance is awarded for approximately one year at a time, up to an average of 3-4 years total. Note that, with certain small exceptions, the VISTA program does not grant financial resources to organizations, but rather provides the resource through the placement of a VISTA member. Method of awarding/releasing assistance: other

Reports:

In the case of VISTA projects that receive cash grants, cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the Memorandum of Agreement and reporting forms. In the case of VISTA projects that receive cash grants, Federal Financial Reports are required as specified in the grant award provisions. AmeriCorps and its VISTA sponsors are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Audits are required only for those projects receiving cash grants. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Sponsors are expected to maintain records pertaining to volunteer assignments and training activities for 3 years after the end of the project year. Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

Provision of Specialized Services FY 20 \$25,589,742.00; FY 21 est \$26,655,270.00; FY 22 Not Available;

Range and Average of Financial Assistance:

See programs Notice of Funding Opportunity for information.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: AmeriCorps VISTA members serving in FY2020 were able to generate over \$199M in cash and in-kind resources for their sponsoring organizations anti-poverty programming, while mobilizing over 1.2M hours of community volunteer service to implement the programming and support the sponsoring organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1201

Regional or Local Office:

See Regional Assistance Locations. AmeriCorps maintains regional offices. Refer to the AmeriCorps website for address and contact information: : <http://www.americorps.gov/contact/region-offices>.

Headquarters Office:

Lead Program Specialist Kelly L. Daly.,
250 E Street SW,
Washington DC 20525

ASSISTANCE LISTINGS DESCRIPTIONS

Email: VISTA@americorps.gov
Phone: (202) 606-6849

Robert Cox,
250 E Street SW,
Washington DC 20525

Email: VISTA@americorps.gov
Phone: 202-606-6851

Fax:

Website Address:

<https://americorps.gov/partner/how-it-works/ameri-corps-vista>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All of the following elements must be found in the applicant's proposal for new or renewal projects. The project must: (1) be poverty oriented in scope and comply with the provisions of the Domestic Volunteer Service Act of 1973 applicable to VISTA and all published regulations and AmeriCorps policies; (2) show that the project goals, objectives and Volunteer tasks are attainable within the time frame during which the Volunteers will be serving on the project and will produce a measurable result; (3) must recruit and involve low-income community residents in the planning, development and implementation of the VISTA project; (4) provide for frequent and effective supervision of the Volunteers; (5) identify resources needed and make them available for Volunteers to perform their tasks; and (6) have the management capability to carry out the project.

94.014 Martin Luther King Jr Day of Service Grants

MLK Grants,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

The National and Community Service Act of 1990, Title 1, Part Subtitle H, Section 198i, Statue 104-3127, Public Law 101-610, 42 USC Code 12653(i).

OBJECTIVES:

The purpose of the Martin Luther King Jr. Day of Service grant funding is to mobilize more Americans to observe the Martin Luther King Jr. federal holiday as a day of service in communities, to encourage those who serve on this holiday to make a long-term commitment to community service, and to bring people together to focus on service to others.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

See program's Notice of Funding Opportunity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; See program's Notice of Funding Opportunity.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Private nonprofit institution/organization; State; Local; School; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See

program's Notice of Funding Opportunity.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Funding Opportunity and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Funding Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Appeals:

From 60 to 90 days. AmeriCorps does not offer an appeals process.

Renewals:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 70%. Requirement: Matching requirements are mandatory. See programs Notice of Funding Opportunity for matching information. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps electronically collects Federal Financial Reports (FFRs) in the eGrants system. Separately, quarterly cash reports are electronically collected by the HHS Payment Management System. AmeriCorps and its award recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records

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must be maintained within grant records.

Account Identification:

95-2728-1-2-000.

Obligations:

Project Grants FY 20 \$943,449.00; FY 21 est \$1,100,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

See programs Notice of Funding Opportunity for matching information.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. AmeriCorps maintains regional locations in the United States. Refer to the AmeriCorps website for address and contact information: <https://americorps.gov/contact>

Headquarters Office:

Sam Schuth,
250 E Street, SW,
Washington DC 20525
Email: daysofservice@cns.gov
Phone: 202-491-9084
Fax:

Website Address:

<http://www.nationalservice.gov/mlkday>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding Opportunity.

94.016 Senior Companion Program

SCP,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title 2, Part C, Section 213, Public Law 93-113, 42 USC Code 5011.

OBJECTIVES:

The Senior Companion Program (SCP) provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 and older, particularly those with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality experience that will enrich the lives of the volunteers. Program funds are used to support Senior Companions in providing supportive, individualized services to help adults with special needs maintain their dignity and independence.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grants may be used for: Senior Companion stipends, transportation, physical examinations, insurance, and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. Assignment of Senior Companions to adults may occur in residential and non-residential facilities and in their own homes. Volunteers do not supplant hiring or displace employed workers, or impair existing contracts for

service. No agency supervising volunteers shall request or receive compensation for services of the volunteers. Volunteers are not to be involved in and funds are not to be used for religious activities, labor or anti-labor organizations, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, under a Notice of Grant Award from AmeriCorps, receive technical assistance and materials to aid in establishing and operating non-AmeriCorps funded SCP projects using state, local and private funds.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); See the program's Notice of Funding Availability/Opportunity.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Senior Companions must be: 55 years of age or older, with an income of up to 200 percent of poverty, based on the Department of Health and Human Services Poverty Guidelines; interested in serving special-needs adults, especially the frail elderly, and must be physically, mentally and emotionally capable, and willing to serve on a person-to-person basis. However, non-income eligible individuals may serve as non-stipended volunteers under certain conditions.

Credentials/Documentation:

Various record and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Please see the program's Notice of Funding Availability/Opportunity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See program's Notice of Funding Availability/Opportunity and application instructions for information on award procedures. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

See programs Notice of Federal Availability/Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

>180 Days. See the program's Notice of Funding Availability/Opportunity.

Appeals:

Not Applicable. No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding. See program's Notice of Funding Availability/Opportunity.

Renewals:

From 120 to 180 days. See the programs Invitation to Apply.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are voluntary. The SCP sponsor is required to match funds for their projects in part through local, non-federal contributions. The required local contribution is at least 10 percent of the total project budget. The local contribution portion should be reflected in the Budget Section of the application. The non-federal share can be cash or in-kind matching funds. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding

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must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps collects Federal Financial Reports (FFR). FFRs are collected electronically by the HHS Payment Management System for AmeriCorps. AmeriCorps and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-0-1-506.

Obligations:

Project Grants FY 20 \$44,332,994.00; FY 21 est \$50,498,112.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The range of financial assistance is from \$0 to \$779,169. The average of financial assistance is \$228,258.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See programs Notice of Funding Availability/Opportunity or Invitation to Apply for specific regulations and guidelines.

Regional or Local Office:

See Regional Assistance Locations. AmeriCorps maintains regional offices. Refer to the AmeriCorps website for address and contact information:

<https://americorps.gov/contact>.

Headquarters Office:

Linda Cook,
250 E Street, SW,
Washington DC 20525
Email: lcCook@cns.gov
Phone: 2026066961
Fax:

Website Address:

<https://americorps.gov/partner/how-it-works/americorps-seniors-senior-companion-program>

RELATED PROGRAMS:

94.011 Foster Grandparent Program; 94.017 Senior Demonstration Program;
94.002 Retired and Senior Volunteer Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the programs Notice of Funding Availability/Opportunity or Invitation to Apply.

94.017 Senior Demonstration Program

SDP.

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended., , Public Law 93-113, 42 USC Code 5028-5028(a).

OBJECTIVES:

To provide grants to qualified agencies for the purpose of conducting innovative activities involving older Americans as volunteers.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The following are illustrative activities cited in the authorizing legislation: (1) linking youth groups and older American organizations in volunteer activities; (2) involving older volunteers in programs and activities different from existing programs and activities supported in the community; and (3) testing whether older American volunteer programs may contribute to new objectives or certain national priorities.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); See programs Notice of Federal Funding or Invitation to Apply.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Persons age 55 and older who are willing to volunteer by serving in a diverse range of activities that meet specific community needs. See the program's Notice of Federal Funding/Opportunity for additional information.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. Please see the program's Notice of Funding Availability/Opportunity. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See program's Notice of Funding Availability/Opportunity and application instructions on award procedures.

Award Procedure:

Applications are reviewed by the applicable AmeriCorps Office, which then submits a recommendation for approval or disapproval to the Director of AmeriCorps Seniors.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

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deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. See the program's Notice of Funding Availability/Opportunity.

Appeals:

Not Applicable. No formal appeals for denial of initial grant application, but regulations provide for hearing on terminations and suspensions, and opportunity to show cause in cases of denial of refunding. See program's Notice of Funding Availability/opportunity.

Renewals:

From 120 to 180 days. Grants entirely funded from non-federal sources may be renewed, subject to satisfactory performance.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of one to three years. Method of awarding/releasing assistance: other

Reports:

Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps and its grant recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award.

Account Identification:

95-2728-0-1-506.

Obligations:

Project Grants (Discretionary) FY 20 \$0.00; FY 21 est \$5,350,000.00; FY 22 Not Available;

Range and Average of Financial Assistance:

The range and average are not applicable to this program.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

AmeriCorps Seniors Grant Application (OMB Control No. 3045-0035). When federal funds are available for this program, specific guidelines will be developed.

Regional or Local Office:

See Regional Assistance Locations. AmeriCorps maintains regional offices. Refer to the AmeriCorps website for address and contact information:

<https://americorps.gov/contact>.

Headquarters Office:

Linda Cook,

250 E Street, SW,
Washington DC 20525

Email: lcook@cns.gov

Phone: 2026066961

Fax:

Website Address:

<https://americorps.gov/partner>

RELATED PROGRAMS:

94.011 Foster Grandparent Program; 94.002 Retired and Senior Volunteer Program; 94.016 Senior Companion Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for federally funded programs are developed in cooperation with the funding agency, and may vary widely.

94.019 Social Innovation Fund

Social Innovation Fund (SIF),

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1990, as amended, , Public Law 101-610, 42 USC Code 12653k. Federal Grant and Cooperative Agreement Act, , 31 USC Code 6301-6308.

OBJECTIVES:

To grow the impact of innovative community-based solutions that have compelling evidence of improving the lives of people in low-income communities throughout the United States. Direct resources toward increasing the evidence-base-capacity and scale of the organizations SIF funds in order to improve the lives of people served by those organizations. AmeriCorps defines social innovation as the development of a potentially transformative practice or approach to meeting critical social needs. By investing in social innovation as a driver of results and accountability, the federal government will play a central role in accelerating the spread of promising solutions to address our most pressing national and local challenges. SIF awards are focused on improving measurable outcomes in the following priority areas: Economic Opportunity Increasing the economic opportunities and financial stability for economically disadvantaged individuals and families Youth Development Preparing Americas children and youth for success in school, active citizenship, productive work, and healthy and safe lives, including crime reduction initiatives focused on juvenile delinquency and victimization prevention and response Healthy Futures Improving health outcomes, promoting healthy lifestyles and decreasing health disparities that disproportionately affect low-income communities. SIF competitively selects experienced grant-making institutions (recipients) to do the critical work of identifying promising solutions to community problems and selecting high-performing nonprofit community organizations (subrecipients) on a competitive basis. To be awarded funding, intermediaries must demonstrate: they have advanced beyond the beginning stages, are showing signs of effectiveness and have the potential for greater scale (larger programmatic and scaling dollars are awarded to programs that show higher levels of evidence and have the potential for greater impact); also must have at least preliminary evidence of effectiveness and then undergo rigorous, independent, formal evaluations a track record of using rigorous evidence to select, invest in, and monitor the growth and progression of their grantees expertise and demonstrated impact in the proposed issue area(s) of focus depth and breadth of relationships with stakeholders in the issue area or region of focus. The SIF will also attract and leverage private donors to match federal dollars, bringing new resources to support promising organizations. The statute requires both the SIF intermediaries and their subgrantees to match their grants dollar-for-dollar, in cash, with non-federal funding.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use (Cooperative

ASSISTANCE LISTINGS DESCRIPTIONS

Agreements)

USES AND USE RESTRICTIONS:

SIF funds are competitively awarded to existing intermediary organizations, grant-making institutions, or grant-making partnerships (recipients) to do the critical work of identifying promising solutions to community problems. Recipients will competitively select high-performing nonprofit community organizations (subrecipients) working to address priority issues in low-income communities across the nation.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; See program's Notice of Funding Opportunity.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Private nonprofit institution/organization; State; U.S. Citizen; Local; School; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See program's Notice of Funding Opportunity.

Credentials/Documentation:

SIF recipients are required to raise match funds equal to the grant they receive. The match must be in cash from non-federal sources. At the time of application, the SIF applicants must demonstrate the ability to meet 50 percent of their first year cash match requirement. Subrecipients also will be required to match 100 percent of the grant they receive from the recipient in cash from non-federal sources. A signed match documentation letter, either in digital or physical form, to be sent to the program on or before the application deadline. Please see the Notice of Funding Opportunity for more detailed information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See program's Notice of Funding Opportunity for additional information on the application procedures.

Award Procedure:

See program's Notice of Funding Opportunity for additional information on the award procedure.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See program's Notice of Funding Opportunity for additional information on the approval process.

Appeals:

Not Applicable. AmeriCorps does not offer an appeals process.

Renewals:

Other. This is a grant and cooperative agreement program with up to 5 year awards.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 100%. Requirement: Matching requirements are voluntary. All grants to intermediaries and subsequent subgrants to subgrantees must be matched 1:1 (100%) by the recipient of the grant or subgrant using non-federal, unrestricted cash. Please see the Notice of Funding Opportunity for additional information on match requirements. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The SIF awards are made to intermediaries for up to periods of 5 years, but funded

annually. If a grantee is awarded \$5 million in an upcoming competition, then that amount will be awarded for the first year of the overall grant period. Grantees will be eligible for further annual funding contingent on the availability of appropriations, compliance with grant conditions (including having secured cash matching funds), and satisfactory performance. Subsequent years of funding for the same program are funding via continuation, rather than competitive, grants.

Method of awarding/releasing assistance: other

Reports:

Performance Progress Reports and Federal Financial Reports. Please see the Notice of Federal Funding for additional information on program reports. Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. AmeriCorps electronically collects Federal Financial Reports (FFRs) in the eGrants system. Separately, quarterly cash reports are electronically collected by the HHS Payment Management System. Federal Financial Reports are required as specified in the grant award provisions. See program's Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award.

Account Identification:

95-2728-1-2-000.

Obligations:

Direct Payments for Specified Use (Cooperative Agreements) FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The Social Innovation Fund (SIF) grantmaking investment used public and private resources to find and grow community-based nonprofits with evidence of results. The SIF awarded backbone Intermediaries with five-year funding to build portfolios and pass through subgrants to smaller nonprofits that focused on overcoming challenges in economic opportunity, healthy futures, and youth development in underserved communities. SIF was no longer appropriated by Congress in 2016; There were a total of 6 cohorts from FY2010 through FY2016 with a total of 39 grantees. Total federal investment was \$317.8M and generated over \$650.1M in leveraged match for the Intermediary and community based subgrantee grantee organizations. A total of 126 intervention/model evaluation reports, additional national assessment including a rigorous impact evaluation as well as meta-analysis research and knowledge documents contribute to the evidence footprint and legacy of the grantmaking conducted. To review evaluation and research documents on SIF, please visit the AmeriCorps Evidence Exchange: <https://americorps.gov/about/our-impact/evidence-exchange>

REGULATIONS, GUIDELINES, AND LITERATURE:

See program's Notice of Funding Opportunity for additional information related to literature.

Regional or Local Office:

None. Not Applicable

Headquarters Office:

Lily Zandniapour, Ph.D.,
250 E Street, SW,
Washington DC 20525
Email: lzandniapour@cns.gov

ASSISTANCE LISTINGS DESCRIPTIONS

Phone: 202-606-6939

Website Address:

<https://americorps.gov/partner>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See program's Notice of Funding Opportunity for additional information related to the selection criteria.

94.020 CNCS Disaster Response Cooperative Agreement

AmeriCorps Disaster Response Team,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

National and Community Service Act of 1990, as amended., , Public Law 101-610, Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), , Public Law 100-707, 42 USC Code 5121-5208.

OBJECTIVES:

The AmeriCorps Disaster Response Cooperative Agreement (DRCA) is an initiative through which national service programs provide support to communities affected by disaster and are reimbursed for expenses in times of disasters. Programs that hold a DRCA are part of the AmeriCorps Disaster Response Team (A-DRT) Program, a national service disaster focused program. Only programs with an executed Disaster Response Cooperative Agreement will be eligible for deployment and reimbursement of expenses. Through the A-DRT Program, AmeriCorps establishes cooperative agreements with eligible organizations that can provide national service participants to support disaster response. Organizations that want to be part of the A-DRT Program must be AmeriCorps grantees and sub-grantees, to include AmeriCorps State, AmeriCorps Seniors, State Service Commissions, and AmeriCorps National Direct. Only programs with an executed Disaster Response Cooperative Agreement will be eligible for deployment and reimbursement of expenses.

TYPES OF ASSISTANCE:

Cooperative Agreements, Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

These agreements will allow reimbursement of approved disaster-related expenses for existing CNCS grantees deployed by CNCS to disaster impacted areas.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; See application instructions.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Private nonprofit institution/organization; Anyone/general public; State; Local; School; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See application instructions.

Credentials/Documentation:

Various records and forms required for first time applicants and a copy of the applicant's last audit may be requested. Applicants will need proof of liability

coverage. First time applicants must certify financial systems. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Eligible organizations include the following groups: AmeriCorps subgrantees: AmeriCorps State, Senior Corps programs (including youth corps, local volunteer and service programs, etc.) with the capacity to deploy and support national service participants to impacted areas to provide disaster service functions. State Service Commissions and AmeriCorps National Direct Grantees: These organizations administer, support, represent and/or coordinate networks of national service programs. AmeriCorps National Direct grantees will deploy AmeriCorps members currently assigned to their program to provide disaster service functions, while State Service Commissions can utilize their networks to engage other national service participants in disaster response activities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Federal Acquisition Regulations (FAR) also apply to for-profit entities.

Award Procedure:

Awards are given as a reimbursement for approved, actual disaster related costs. If an organization is not deployed on a disaster, no award is given.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Rolling application process.

Appeals:

Other. AmeriCorps does not offer an appeals process.

Renewals:

Other. Agreements are renewed every three years. Agreements are dependent on base grants. If the base grant is terminated, so is the agreement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Reimbursement is provided per deployment for actual costs incurred. Method of awarding/releasing assistance: lump sum.

Reports:

Daily reporting on progress and accomplishments are required when programs are deployed on a disaster. A deployment reimbursement form must be completed and submitted to claim reimbursement. Performance monitoring is incorporated into base grant performance monitoring requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grantees must maintain documentation for all reimbursable expenses.

Account Identification:

95-8981-0-0-000.

Obligations:

Direct Payments for Specified Use (Cooperative Agreements) FY 20 Not Available; FY 21 Not Available; FY 22 Not Available;

Range and Average of Financial Assistance:

Variable depending on levels of disaster activity across the country.

TAFS Codes:

95-2728.

ASSISTANCE LISTINGS DESCRIPTIONS

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines provided in cooperative agreement language, application instructions, and AmeriCorps guidance.

Regional or Local Office:

None.

Headquarters Office:

Lead Disaster Services Specialist Rita Pratte,
250 E Street, SW,
Washington DC 20525
Email: rpratte@cns.gov
Phone: 202-815-5719
Fax:

Website Address:

<https://americorps.gov/partner>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria outlined in Cooperative Agreement application.

94.021 Volunteer Generation Fund

Volunteer Generation Fund,

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

Edward M. Kennedy Serve America Act, , Public Law 111-13

OBJECTIVES:

The Volunteer Generation Fund will increase the number of people who serve in meaningful roles as volunteers dedicated to addressing important needs in communities across America. More specifically, the Fund will support efforts that expand the capacity of volunteer connector organizations to recruit, manage, support, and retain individuals to serve in high quality assignments, including those that are aligned with special days of service such as the Martin Luther King Jr. Day of Service and the September 11th National Day of Service and Remembrance.

TYPES OF ASSISTANCE:

Project Grants, Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This competition is open to State Service Commissions on National and Community Service only. Commissions that receive funding through the Volunteer Generation Fund may directly carry out the activities supported under the award, or may carry out the activity by making subgrants to community-based entities.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Use of assistance must be consistent with the funded, approved grant application.

Beneficiary Eligibility:

U.S. Territories; State; N/A

Credentials/Documentation:

See application requirements found in the Notice of Federal Funding and application instructions. Various records and forms are required for first time applicants, and a copy of the applicant's last audit may be requested. First time applicants must certify financial systems. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. See programs Notice of Federal Funding and application instructions for information on other application procedures.

Award Procedure:

See programs Notice of Federal Funding and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. See programs Notice of Federal Funding.

Appeals:

Other. AmeriCorps does not offer an appeals process.

Renewals:

Other. See programs Notice of Federal Funding.

Formula and Matching Requirements:

Statutory Formula: Title Edward M. Kennedy Serve America Act, Chapter Subtitle H, Part Sec. 1808 Clearinghouses, Subpart Sec. 198P Volunteer Generation Fund, Public Law 111-13. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. See programs Notice of Federal Funding. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reporting requirements are described in the awarded grant terms and conditions or provisions. Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. AmeriCorps and its grant recipients are required to practice risk-based monitoring, and routine field and desk-based oversight of all grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by the AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps must first clear a National Service Criminal History Check, and those check records must be maintained within grant records.

Account Identification:

95-2728-1-6-000.

Obligations:

Project Grants FY 20 \$6,063,277.00; FY 21 est \$6,150,977.00; FY 22 Not Available;

Range and Average of Financial Assistance:

ASSISTANCE LISTINGS DESCRIPTIONS

See programs Notice of Federal Funding for matching information.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See programs Notice of Federal Funding for specific regulations and guidelines.

Regional or Local Office:

None. Not Applicable

Headquarters Office:

Arminda Pappas,
250 E Street, SW,
Washington DC 20525
Email: APappas@cns.gov
Phone: 202-606-6659
Fax:

Website Address:

<https://americorps.gov/partner/how-it-works/volunteer-generation-fund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program's Notice of Federal Funding.

94.026 National Service and Civic Engagement Research Competition

FEDERAL AGENCY:

Corporation for National and Community Service, CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

The National and Community Service Act of 1990, as amended., , Public Law 101-610, 42 USC Code 12501.

OBJECTIVES:

To further achieve its mission, AmeriCorps has a strong belief in the importance of research in order to identify effective strategies for national service and increase the evidence-base for its programs. This means engaging the broader community of academic researchers involved in studying and evaluating national service, volunteerism, and civic infrastructure. The goal of this competition is to increase the nations understanding and knowledge about the importance and potential of volunteering, national and community service, and/or civic engagement in America. We are seeking applications that build on existing research in the field, address gaps in knowledge, and provide new ideas and methodological approaches to the study of national service.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

See program's Notice of Funding Opportunity.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); See programs Notice of Funding Opportunity.

Beneficiary Eligibility:

Federal; U.S. Territories; Private nonprofit institution/organization; Quasi-public nonprofit organization; Anyone/general public; Interstate; Native American

Organizations; Graduate Student; Scientist/Researchers; Intrastate; State; Local; Sponsored organization; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; See programs Notice of Funding Opportunity.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as a copy of the applicant's last audit, may be requested. First time applicants must certify financial systems. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please contact CNCS Headquarters for Application and Award Information.

Award Procedure:

See programs Notice of Funding Opportunity and application instructions for information on award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Appeals:

From 60 to 90 days. CNCS does not offer an appeals process.

Renewals:

From 60 to 90 days. See program's Notice of Funding Opportunity.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

All the cohorts are eligible for up to 3 years; funding must be obligated during the award project period and liquidated by the end of the closeout period. Method of awarding/releasing assistance: lump sum.

Reports:

See program announcement for program reporting guidance. Cash management reports are required. AmeriCorps uses the US HHS Payment Management System for payments and cash management reporting. Progress reporting requirements are described in the awarded grant terms and conditions or provisions. AmeriCorps collects Federal Financial Reports (FFRs). FFRs are collected electronically by the HHS Payment Management System for AmeriCorps. AmeriCorps and its award recipients are required to practice risk-based monitoring and routine field and desk-based oversight of all project/grant awards and expenditures.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 AmeriCorps grants are subject to audit by AmeriCorps employees, the AmeriCorps Inspector General, the Government Accountability Office, and auditors contracted by AmeriCorps.

Records:

Grant recipients must maintain all grant financial and program records for a period of three years from the date of submission of the final Federal Financial Report for the award. This includes but is not limited to all financial records including receipts, disbursements, and vouchers for federal and non-federal costs; time cards, copies of all contracts, personnel records, and job descriptions; program execution and performance records, criminal history checks, and similar records to reflect all activities and spending performed under the award. Note that all individuals receiving a salary, living allowance, stipend, National Trust Education Award or similar compensation through a national service program funded by AmeriCorps

ASSISTANCE LISTINGS DESCRIPTIONS

must first clear a National Service Criminal History Check and those check records must be maintained within grant records.

Account Identification:

95-2728-1-5-000.

Obligations:

Cooperative Agreements FY 20 \$1,917,263.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

See programs Notice of Funding Opportunity for matching information.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. AmeriCorps maintains regional offices. Refer to the AmeriCorps website for address and contact information:

<https://americorps.gov/contact>

Headquarters Office:

Research and Evaluation Manager Andrea Robles,
250 E St SW,

Washington DC 20525

Email: arobles@cns.gov

Phone: 2026066687

Website Address:

<https://americorps.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria are described in the program's Notice of Funding Opportunity.

94.027 AmeriCorps VISTA Recruitment Support

FEDERAL AGENCY:

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

AUTHORIZATION:

(2)(A) The Director shall establish and maintain within the national headquarters of the Corporation (or any successor entity of such agency) a volunteer placement office which shall be responsible for all functions related to the recruitment and placement of volunteers under this part. Such functions and activities shall be carried out in coordination or in conjunction with recruitment and placement activities carried out under the National and Community Service Act of 1990.(2)(C) The Director shall assign or hire as necessary, such additional national, regional, and State personnel to carry out the functions described in this subsection and subsection (c) as may be necessary to ensure that such functions are carried out in a timely and effective manner. The Director shall give priority in the hiring of such additional personnel to individuals who have formerly served as volunteers under this part and to individuals who have specialized experience in the recruitment and management of volunteers., Title Domestic Volunteer Service Act of 1973, as amended, Title 1, Part A, Section 103 (2)(A) and 103 (2)(C), 42 US Code 4951-4960 Public Law 93-113

OBJECTIVES:

Develop support relationships with higher education institutions to assist in their examination and improvement of efforts to maximize public responsiveness and recognition of AmeriCorps VISTA service opportunities within campus communities and, where appropriate, surrounding cities and communities. Increase the number of applicants applying to AmeriCorps VISTA service opportunities

associated with those communities and environments.

TYPES OF ASSISTANCE:

Salaries and Expenses

USES AND USE RESTRICTIONS:

The institutions of higher education will use funds to hire a Campus Recruiter to carry out the activities outlined in the recruitment strategy.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Institutions of higher education (2 CFR 200.55), both four-year and two-year, with at least 5,000 undergraduate students, that have DUNS numbers that are active and are registered in System for Award Management (SAM).Under Section 132A(b) of the National and Community Service Act of 1990, as amended, organizations that have been convicted of a federal crime may not receive assistance described in this Notice.Applications that propose to engage in activities that are prohibited under AmeriCorps statutes, regulations, or the terms and conditions of its awards are not eligible to receive AmeriCorps funding.If AmeriCorps is aware that any corporation has any unpaid federal tax liabilitythat has been assessedfor which all judicial and administrative remedies have been exhausted or have lapsedthat is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liabilitythen that corporation is not eligible for an award under this Notice. However, this exclusion will not apply to a corporation which a federal agency has considered for suspension or debarment and has made a determination that suspension or debarment is not necessary to protect the interests of the federal government.Pursuant to the Lobbying Disclosure Act of 1995, an organization described in the Internal Revenue Code of 1986, 26 U.S.C. 501 (c)(4) that engages in lobbying activities is not eligible to apply for AmeriCorps funding.

Beneficiary Eligibility:

Individual/Family;

Credentials/Documentation:

Must have DUNS numbers that are active and are registered in System for Award Management (SAM). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Contact AmeriCorps for application procedures.

Award Procedure:

The cooperative agreement shall be awarded by AmeriCorps and its program AmeriCorps VISTA. Pre-award negotiations are usually held.

Deadlines:

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Renewals are subject to performance, continuing need, and the availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards will be made for one year of funding. Method of awarding/releasing assistance: lump sum.

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Reports shall be submitted in accordance with the terms of the cooperative agreement and as required by law. HHS Payment Management System collects quarterly cash transaction reports on behalf of AmeriCorps. Progress reports shall be submitted in accordance with the terms of the cooperative agreement and as required by law. Federal Financial Reports are required as specified in the Terms and Conditions of the grant award. Performance reports shall be submitted in accordance with the terms of the cooperative agreement and as required by law.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records shall be maintained in accordance with the terms of the cooperative agreement and as required by law.

Account Identification:

95-2728-1-9-000.

Obligations:

Salaries and Expenses FY 20 \$158,768.00; FY 21 est \$0.00; FY 22 Not Available;

Range and Average of Financial Assistance:

AmeriCorps anticipates approximately \$200,000 for AmeriCorps VISTA Campus Recruitment Services 2019 awards. Award amounts will vary, as determined by the scope of the projects. AmeriCorps expects to make awards in the range of \$15,000 to \$20,000.

TAFS Codes:

95-2728.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Beth Binley,
250 E Street SW,
Washington DC 20525
Email: bbinkley@cns.gov
Phone: 202.606.7572
Fax:

Website Address:

<https://americorps.gov/partner>

RELATED PROGRAMS:

94.013 Volunteers in Service to America;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

AmeriCorps will assess the VISTA Recruitment Support proposals for quality of design/strategy, organizational capacity, and cost-effectiveness.

EXECUTIVE OFFICE OF THE PRESIDENT

95.001 High Intensity Drug Trafficking Areas Program

(HIDTA).

FEDERAL AGENCY:

EXECUTIVE OFFICE OF THE PRESIDENT

AUTHORIZATION:

Reauthorization of the Office of National Drug Control Policy, , Public Law 115-

271

OBJECTIVES:

To reduce drug trafficking and drug production in the United States by-- (A) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; (B) enhancing law enforcement intelligence sharing among federal, state, local, and tribal law enforcement agencies; (C) providing reliable law enforcement intelligence to law enforcement agencies needed to design effective enforcement strategies and operations; and (D) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs in designated areas and in the United States as a whole.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This program, established by the Anti-Drug Abuse Act of 1988, authorizes the Director of ONDCP to designate areas within the United States which exhibit serious drug trafficking problems and harmfully impact other areas of the country as High Intensity Drug Trafficking Areas. Grant funds are provided to law enforcement initiatives in areas that have been designated as a HIDTA. Initiatives must include collocated federal and state/local/tribal law enforcement officers. Initiatives must also share information with regional intelligence or information centers and deconflict their operations. The reauthorization statutes provide the restrictions for the HIDTA program. Discretionary funding availability is based upon appropriated amounts.

Applicant Eligibility:

Government - General; In order to apply for and receive funds, the law enforcement initiatives must be located and operate in an area designated as a HIDTA by the Director of ONDCP. The request for funding must be supported by the Executive Board of the regional HIDTA under which they will operate. Petitions may be submitted for consideration to become a new HIDTA or to become a part of an already existing HIDTA. In both cases the petition must be submitted by a coalition of law enforcement leaders. In the case of submitting to become a new HIDTA region, a funding request will be provided by the coalition of law enforcement officers. In the case where the petition is to become a part of an already established HIDTA, the funding request will be submitted to the Executive Board for their consideration/action. Applicants must agree to operate in accordance with HIDTA Program Policy and Budget Guidance and the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.

Beneficiary Eligibility:

Federal; State; Local; Federally Recognized Indian Tribal Governments; Law enforcement drug task forces; drug-related law enforcement initiatives; drug-related intelligence or information centers located in designated HIDTAs.

Credentials/Documentation:

Operate in an area designated as HIDTA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Office of National Drug Control Policy administer and manage the HIDTA Program. Applicants must submit a proposal to the office on Standard Form 424, Federal Assistance Applications. Additional application procedures are included in HIDTA Program Policy and Budget Guidance. The receipt, review, and analysis of applications will follow ONDCP policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by ONDCP, a letter is sent to the applicant agency with copies of the Grant Award. The signed copy of the Grant Award must be signed by the authorized official and returned to the National HIDTA Assistance Center.

Deadlines:

June 15, 2021. June 15th annually.

ASSISTANCE LISTINGS DESCRIPTIONS

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other. Each grantee must resubmit annually. The Executive Board of each Regional HIDTA will prepare and submit to ONDCP, an annual request for funds that includes a specific projected budget for each initiative, a description of the initiative for which the funds are requested, and performance data for the prior years activities.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Under the HIDTA program, award length is mandated by appropriation law. Currently awards are made for two years. Method of awarding/releasing assistance: other

Reports:

Under the HIDTA Program, quarterly progress and financial reports are required. FFR Cash reports are required to be submitted quarterly. Under the HIDTA Program, quarterly progress and financial reports are required. FFR Financial reports are required to be submitted quarterly. Under the HIDTA Program, quarterly progress and financial reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1070-0-1-754.

Obligations:

Project Grants FY 20 \$257,200,000.00; FY 21 est \$264,200,000.00; FY 22 est \$261,100,000.00;

Range and Average of Financial Assistance:

Award amounts vary by HIDTA.

TAFS Codes:

11-1070.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HIDTA Program Policy and Budget Guidance

Regional or Local Office:

None.

Headquarters Office:

Budget Officer Phuong DeSear,

1800 G Street, NW,

Washington DC 20503

Email: Phuong_DeSear@ONDCP.EOP.GOV

Phone: (202) 395-6739

Website Address:

<https://www.whitehouse.gov/ondcp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

ONDCP uses a threat-driven process that requires each HIDTA Executive Board to assess the drug trafficking activities in its region; design a strategy to counter those activities; plan initiatives to carry out the strategy; and develop a budget that is sufficient to carry out the planned initiatives. ONDCP awards funds to HIDTAs based on a review and performance based assessment of the Threat Assessment, Strategy, Initiative Description and Budget Proposals that each HIDTA submits to ONDCP.

95.003 DFC NATIONAL COMMUNITY ANTIDRUG COALITION INSTITUTE

NATIONAL COALITION INSTITUTE (NCI),

FEDERAL AGENCY:

EXECUTIVE OFFICE OF THE PRESIDENT

AUTHORIZATION:

Title Reauthorization of the Office of National Drug Control Policy (ONDCP), Public Law 115-271, , Public Law -

OBJECTIVES:

Per the authorizing legislation, for the Drug-Free Communities (DFC) Support Program, as directed by section 4 of Public Law 10782, as amended by section 8204 of Public Law 115271. The organization receiving the grant under subsection (a) shall establish a National Community Antidrug Coalition Institute to: (1) provide education, training, and technical assistance for coalition leaders and community teams, with emphasis on the development of coalitions serving economically disadvantaged areas; (2) develop and disseminate evaluation tools, mechanisms, and measures to better assess and document coalition performance measures and outcomes; and (3) bridge the gap between research and practice by translating knowledge from research into practical information.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

NCI funds are appropriated to provide education, training, and technical assistance for substance use prevention coalition leaders and coalition sector representatives. The NCI should be the premier resource for substance use prevention coalition and prevention specialist. NCI should focus funds and activities to translate key substance use prevention science into practice. The NCI should train community coalitions on: data driven practices; implementing strategies and activities that are effective and backed by research; environmental prevention strategies; evaluation tools and measures to better assess coalition performance measures; and prepare coalitions for leveraging local resources to sustain their local efforts. All trainings, materials, and technical assistance must be accessible in various formats (i.e., virtual), 508 compliant, and available in different languages.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Per the statute, an organization eligible for the grant under subsection (a) is any national nonprofit organization that represents, provides technical assistance and training to, and has special expertise and broad, national-level experience in community antidrug coalitions under section 1032 of the National Narcotics Leadership Act of 1988 (21 U.S.C. 1532).

Beneficiary Eligibility:

Beneficiary eligibility is the same as applicant eligibility.

Credentials/Documentation:

Per the statute, an organization eligible for the grant under subsection (a) is any national nonprofit organization that represents, provides technical assistance and training to, and has special expertise and broad, national-level experience in community antidrug coalitions under section 1032 of the National Narcotics Leadership Act of 1988 (21 U.S.C. 1532). 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under

ASSISTANCE LISTINGS DESCRIPTIONS

E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by ONDCP, a grant and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant will be available for four years, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

Yearly Program Report is required to evaluate NCI outcomes and determine next steps. Federal Financial Report - Financial Cash Transaction Report is required to be submitted electronically via the HHS-PMS. A mid-yearly progress report is required to evaluate progress and determine if changes are needed. Federal Financial Report - Financial Status Report is required to be submitted electronically via the HHS-PMS.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR 200

Records:

Grantee must keep complete records on the disposition of funds and records related to the cooperative agreement must be retained for 3 years.

Account Identification:

11-1460-0-0-000.

Obligations:

Project Grants FY 20 \$2,000,000.00; FY 21 est \$2,500,000.00; FY 22 est \$2,500,000.00;

Range and Average of Financial Assistance:

Per the authorizing legislation, for the DFC Program, shall be made available as directed by section 4 of Public Law 10782, as amended by Public Law 109469 and Public Law 115-271 (21 U.S.C. 1521 note).

TAFS Codes:

11-1460.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear,
1800 G Street, NW,
Washington DC 20503
Email: Phuong_DeSear@ondcp.eop.gov
Phone: 202-395-6739

Fax:

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will not be considered that fail to demonstrate the organization's expertise and extensive experience in providing training and technical assistance and training to community coalitions. Applicants will also have special expertise and broad, national-level experience in community anti-drug coalitions under section 1032 of the National Narcotics Leadership Act of 1988 (21 U.S.C. 1532).

95.004 Anti-Doping Activities

FEDERAL AGENCY:

EXECUTIVE OFFICE OF THE PRESIDENT

AUTHORIZATION:

Reauthorization of the Office of National Drug Control Policy, Public Law 115-271,

OBJECTIVES:

The Office of National Drug Control Policy (ONDCP), Executive Office of the President, is seeking applications from a grantee to provide continued support of anti-doping efforts to educate athletes on the dangers of drug use and eliminate doping in amateur athletic competitions recognized by the United States Olympic Committee. Specifically: 1) Provide continued support of anti-doping efforts to educate athletes on the dangers of drug use and eliminate doping in amateur athletic competitions recognized by the United States Olympic Committee; 2) Provide support for athlete drug testing programs, research initiatives, educational programs and efforts to inform athletes of the adopted rules governing the use of prohibited substances outlined in the World Anti-Doping Code (the Code); and 3) Provide support for legal efforts to enforce compliance with the Code and adjudicate athlete appeals involving doping violations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The anti-doping efforts must be accomplished by an agency that: (1) Is an independent anti-doping organization for the amateur athletic competitions recognized by the United States Olympic Committee; (2) Ensures that athletes participating in amateur athletic activities recognized by the United States Olympic Committee are prevented from using performance-enhancing drugs, or other prohibited performance-enhancing methods adopted by the Agency; (3) Implements anti-doping education, research, testing, and adjudication programs to prevent United States Amateur Athletes participating in any activity recognized by the United States Olympic Committee from using performance-enhancing drugs or prohibited performance-enhancing methods adopted by the Agency; (4) Serves as the United States representative responsible for coordination with other anti-doping organizations coordinating amateur athletic competitions recognized by the United States Olympic Committee to ensure the integrity of athletic competition, the health of the athletes and the prevention of use by United States amateur athletes of performance-enhancing drugs or prohibited performance-enhancing methods adopted by the Agency.

Applicant Eligibility:

Sponsored organization; Anti-doping activities

Beneficiary Eligibility:

Sponsored organization; Anti-doping activities

Credentials/Documentation:

Applications under this program must be submitted electronically as specified via Grants.gov. The funding opportunity announcement includes eligibility requirements and required certifications that must be submitted at the time of application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants must register in, and submit applications through Grants.gov, a one-stop storefront to find Federal funding opportunities and apply for funding. Complete instructions on how to register and submit an application are at www.Grants.gov.

Award Procedure:

Upon approval by ONDCP, a grant letter and conditions are sent to the selected grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Application due dates are established in the Funding Opportunity Announcement found in Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant will be available for 12 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program reports are required. Federal Financial Reports are required quarterly with a final report being submitted within 120 days of project end date. Federal Financial Reports are required quarterly with a final report being submitted within 120 days of project end date.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$14,000,000.00; FY 22 est \$14,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

11-1460.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear,

1800 G Street, NW,
Washington DC 20503

Email: phuong_desear@ondcp.eop.gov

Phone: 202-395-6739

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must demonstrate the organizations expert knowledge and extensive experience in anti-doping requirements, techniques, and application of programs to determine compliance. Applicants must be recognized or be acceptable by the United States Olympic Committee.

95.005 Drug Court Training and Technical Assistance

FEDERAL AGENCY:

EXECUTIVE OFFICE OF THE PRESIDENT

AUTHORIZATION:

Reauthorization of the Office of National Drug Control Policy, Public Law 115-271,

OBJECTIVES:

The Administration supports a combined public health and public safety approach to reducing drug use and its consequences. The National Drug Control Strategy recognizes that for individuals who come into contact with the justice system, substance use disorders must be identified and treated as early in the justice process as possible, and incarceration cannot be the only (or even the primary) response to people with substance use disorders. Drug courts and other evidence-based diversion programs are key to the success of this approach. The Office of National Drug Control Policy (ONDCP) will award one or more federal grants to establish training, technical assistance, and other resources to advance criminal justice reforms, including drug courts that respond to the needs of justice-involved individuals with substance use disorders.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

ONDCP is seeking providers for the following issue areas: 1. Evidence-based Interventions and Systemic Implementation 2. Behavioral Management and Science of Addiction 3. Current and Emerging Issues Facing Drug Courts

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Organizations with expert knowledge of drug courts and extensive experience in brokering and developing training and technical assistance for drug court professionals are eligible. The trainings and technical assistance will require organizations with relevant subject matter expertise and extensive experience in developing and providing training and technical assistance for a variety of disciplines (e.g. judges, prosecutors, public defenders, defense counsel, probation and parole officers, law enforcement officers, law enforcement executives, court administrators, and treatment providers). Applicants can partner with other entities to achieve required expertise.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Not applicable.

Credentials/Documentation:

Organizations with expert knowledge of drug courts and extensive experience in brokering and developing training and technical assistance for drug court professionals are eligible. 2 CFR 200, Sub-part E - Cost Principles applies to this

ASSISTANCE LISTINGS DESCRIPTIONS

program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a grant letter and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant will be available for 24 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

Program report required every 6 months and final report to be submitted within 90 days. They should include information on the trainings, technical assistance and information developed, conducted and delivered during the reporting period. Including number of trainings provided, what information has been developed, what type of technical assistance was provided, how many participants received training, and how many entities received technical assistance. Program reports should also include information on performance measures outlined below. Federal Financial Report required quarterly and final report to be submitted within 120 days. Federal Financial Report required quarterly and final report to be submitted within 120 days. Successful applicants must provide data that measure the results of their work. Report will include measures of adhering to project timelines, meeting deliverables schedules, obtaining input from customers, and seeking feedback from stakeholders. Applicants must describe any baseline data that will be used, the method to store data, and any safeguards put in place to protect personally identifiable information. Reports are due every 6 months.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$4,500,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Varies by appropriated amount

TAFS Codes:

11-1460.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear,
1800 G Street, NW,
Washington DC 20503

Email: phuong_desear@ondcp.eop.gov

Phone: 202-395-6739

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that meet basic minimum requirements will be evaluated, scored and rated based on the following criteria: statement of the problem, program design and implementation, capabilities and competencies, performance measure data collection plan, budget, and budget narrative.

95.006 Model Acts Program

Model Acts Program,

FEDERAL AGENCY:

EXECUTIVE OFFICE OF THE PRESIDENT

AUTHORIZATION:

Reauthorization of the Office of National Drug Control Policy (ONDCP), Public Law 115-271, Section

OBJECTIVES:

The purpose of the Model Acts Program includes: A) advising states on establishing laws and policies to address illicit drug use issues and (B) revising such model state drug laws and draft supplementary model state laws to take into consideration changes in illicit drug use issues in the state involved.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

ONDCP is seeking a provider to:- Conduct research and analysis on state and local drug laws, policies and programs; - Draft comprehensive model state drug legislation, revise current model laws and draft supplementary model state laws; and - Provide technical assistance and maintain a repository and web-based resource center on model state drug laws, policies and programs.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must have expert knowledge and extensive experience in conducting research and analysis, providing technical assistance, and drafting model state drug laws, policies and programs.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Not applicable.

Credentials/Documentation:

Applicants must have expert knowledge and extensive experience in conducting research and analysis, providing technical assistance, and drafting model state drug laws, policies and programs. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by ONDCP, a grant letter and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant will be available for 24 months, with extensions possible. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Program Report required quarterly and final report to be submitted within 120 days. Federal Financial Report required quarterly and final report to be submitted within 120 days. Federal Program Report required quarterly and final report to be submitted within 120 days. Federal Financial Report required quarterly and final report to be submitted within 120 days. Federal Program Report required quarterly and final report to be submitted within 120 days.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$2,500,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Range varies based upon appropriated amount

TAFS Codes:

11-1460.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear,
1800 G Street, NW,
Washington DC 20503
Email: phuong_desear@ondcp.eop.gov
Phone: 202-395-6739

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will not be considered that fail to demonstrate the organizations expertise and extensive experience in drafting model state drug laws, conducting research and analysis, and providing technical assistance on model state drug laws. Application must include: statement of the problem, program design and implementation, capabilities and competencies, budget worksheet and narrative, and performance measures.

95.007 Research and Data Analysis

FEDERAL AGENCY:

EXECUTIVE OFFICE OF THE PRESIDENT

AUTHORIZATION:

Reauthorization of the Office of National Drug Control Policy (ONDCP), Public Law 115-271,

OBJECTIVES:

The purpose of research and data analysis is to inform policy formulation and assessment. The work involves research and analysis of data as it pertains to drug policy.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ONDCP is seeking a provider to conduct research and data analysis on relevant drug topics.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must have expert knowledge and extensive experience in conducting research and analysis.

Beneficiary Eligibility:

Public nonprofit institution/organization; Other public institution/organization; Applicants must have expert knowledge and extensive experience in conducting research and analysis.

Credentials/Documentation:

Applicants must have expert knowledge and extensive experience in conducting research and analysis. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by ONDCP, a grant letter and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

ASSISTANCE LISTINGS DESCRIPTIONS

this assistance listing.

Length and Time Phasing of Assistance:

The cooperative agreement will be available for 12 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

Federal Program Report required quarterly and final report to be submitted within 120 days. Federal Financial Report required quarterly and final report to be submitted within 120 days. Federal Program Report required quarterly and final report to be submitted within 120 days. Federal Financial Report required quarterly and final report to be submitted within 120 days. Federal Program Report required quarterly and final report to be submitted within 120 days.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1457-0-0-000.

Obligations:

Cooperative Agreements FY 20 \$2,150,000.00; FY 21 est \$3,000,000.00; FY 22 est \$3,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

11-1457.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear,
1800 G Street, NW,
Washington DC 20503
Email: phuong_desear@ondcp.eop.gov
Phone: 202-395-6739

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will not be considered that fail to demonstrate the organizations expertise and extensive experience in drug research and data analysis.

95.008 Drug-Free Communities Support Program - National Youth Leadership Initiative

FEDERAL AGENCY:

EXECUTIVE OFFICE OF THE PRESIDENT

AUTHORIZATION:

Reauthorization of the Office of National Drug Control Policy (ONDCP), Public Law 115-271,

OBJECTIVES:

Implementation of the National Youth Leadership Initiative (NYLI) at the National Leadership Forum and the Mid-Year Training Institute. Also develop infrastructure to expand outreach by the NYLI to diverse youth populations. These functions would further assist in providing technical assistance to Drug-Free Communities (DFC) Support Program funded coalitions in their substance use prevention efforts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

NYLI equips youth and their adult advisors with the essential knowledge and skills needed to make significant community-level change. This youth-led, adult-guided approach follows the best practices in youth development, empowering young people to be vocal and visible as they work to impact a broad range of public health issues where they live, study, and play.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be a non-profit entity (501(c)3) with expert knowledge and extensive experience in community mobilizing using the Seven Strategies for Community Change. Applicants must have served as an essential partner in assisting DFC Support Program with technical assistance to community coalitions in their substance use prevention efforts.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Supports NYLI training program, where there is strong participation by DFC-funded communities.

Credentials/Documentation:

Applicants must be a non-profit entity 501(c)3) with expert knowledge and extensive experience in community mobilizing using the Seven Strategies for Community Change. Applicants must have served as an essential partner in assisting DFC Support Program with technical assistance to community coalitions in their substance use prevention efforts. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Upon approval by ONDCP, a grant and conditions are sent to the grantee.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The grant will be available for 24 months, with extensions possible. Method of awarding/releasing assistance: lump sum.

Reports:

A written report on the NYLI Youth in Action community-based change projects will be provided to ONDCP to document the outputs of NYLI participants at the local level in support of coalition work. FFR reports are due quarterly. FFR reports are due quarterly. FFR reports are due quarterly. A report on the progress and

ASSISTANCE LISTINGS DESCRIPTIONS

outcomes of the NYLI outreach to diverse populations will be included in the NYLI Youth in Action report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grantee must keep complete records on the disposition of funds and records related to the cooperative agreement must be retained for 3 years.

Account Identification:

11-1460-0-1-754.

Obligations:

Cooperative Agreements FY 20 \$0.00; FY 21 est \$250,000.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

11-1460.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear,
1800 G Street, NW,
Washington DC 20503
Email: phuong_desear@ondcp.eop.gov
Phone: 202-395-6739

Website Address:

<https://www.whitehouse.gov/ONDCP>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will not be considered that fail to demonstrate the organization's expertise and extensive experience in supporting training programs for DFC-funded community coalitions.

SOCIAL SECURITY ADMINISTRATION

96.001 Social Security Disability Insurance

Social Security Disability Insurance,

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act of 1935, Title II, as amended; Public Laws 96-265; 97-123, and 97-35. 42 U.S.C. 401; 42 U.S.C. 402; 42 U.S.C. 416; 42 U.S.C. 420-425, , Public Law 96-265, II

OBJECTIVES:

To replace part of the earnings lost because of a physical or mental impairment, or a combination of impairments, severe enough to prevent a person from working.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to entitled disabled persons and to entitled auxiliary

beneficiaries throughout the period of disability generally after a 5-month waiting period. Costs of vocational rehabilitation also are paid for certain beneficiaries.

There are no restrictions on the use of benefits received by beneficiaries, although the right to future benefits is not transferable or assignable. In general, State agencies make initial disability determinations for the Federal Government. The Federal Government gives the States funds, in advance or by way of reimbursement, for necessary costs in making disability determinations under 20 CFR 404.1503.

Applicant Eligibility:

Individual/Family; A disabled worker is entitled to Social Security disability benefits if he or she has worked for a sufficient period of time under Social Security to be insured, has not attained "full-benefit retirement age" (66 years old for workers age 62 in 2005), has filed an application, and is under a disability as defined in the Social Security law. The law defines disability as the inability to do any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months. The insured status requirements depend upon the age of the applicant and the date he or she became disabled. Coverage credits under the social security systems of certain foreign countries with which the U.S. has reciprocal agreements may be taken into account to meet the requirements. Certain family members of disabled workers are also entitled to benefits: (1) Unmarried children under age 18, or under age 19 for full-time students in elementary or secondary school; (2) unmarried adult offspring at any age if continuously disabled since before age 22; (3) wife or husband at any age if child in his or her care is receiving benefits on worker's Social Security record and is under age 16 or disabled; (4) spouse age 62 or over; and (5) divorced wives or husbands age 62 or over who were married to the worker for at least 10 years. (Benefits are also payable to auxiliaries, including certain disabled widow(er)s, disabled surviving divorced spouses, children under age 19 who are full-time students in an elementary or secondary school, and disabled children of the worker, after the worker dies. See 96.004 "Social Security-Survivors' Insurance".) For workers who are first entitled after 1985 for both (a) a pension based on non-covered employment; and (b) Social Security disability (or retirement) benefits, a less generous benefit formula applies. In addition, Social Security disability benefits are reduced (offset) by the amount that the sum of all disability benefits payable under Social Security and certain Federal, State, or local public disability and workers' compensation laws or plans exceeds the higher of 80 percent of the worker's average current earnings or the total Social Security benefit that would otherwise be payable on the disabled worker's record. The Social Security benefit for a spouse of a disabled worker is subject to a pension offset if the spouse receives a governmental pension based on his or her own work in non-covered employment. However, the offset does not apply if: (i) the person received or became eligible to receive the pension before December 1, 1982, and can meet requirements for Social Security auxiliaries' benefit as they existed in January 1977; or (ii) if the person received, or was eligible to receive, the pension before July 1, 1983, and the person was dependent on his or her spouse for at least one-half support at the time the spouse died, became disabled or became entitled to Social Security benefits. The amount of the public pension used for purposes of the offset against Social Security spouse's benefits is equal to two-thirds of the public pension. The Social Security benefit for the spouse of a disabled worker is also offset dollar for dollar by the amount of any Social Security benefit the spouse receives based on his or her own work. All benefits to spouses and children of disabled workers are subject to an earnings test unless those beneficiaries are full-benefit retirement age or older. Beginning with the year 2000, the retirement earnings test was eliminated beginning with the month in which the beneficiary reaches full-benefit retirement age (FRA). A person at and above FRA will not have Social Security benefits reduced because of earnings. In the calendar year in which a beneficiary reaches FRA, benefits are reduced \$1 for every \$3 of earnings above the limit allowed by law, \$31,800 in 2005, but this reduction is applied only to months prior to attainment of FRA. For years before the year the beneficiary attains FRA, the reduction in benefits is \$1 for every \$2 of earnings over the annual exempt amount. Further, no benefit can be paid to an alien in the United States unless he or she is lawfully present in the United States. In addition, an alien cannot qualify for benefits if he or she never had a work-authorized Social Security Number (SSN) (effective for benefit applications based on SSNs issued after 2003).

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Individual/Family; Student/Trainee; Women; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Qualified disabled workers under full retirement age (FRA). Under the definition of disability in the Social Security Law, disability benefits are provided to a person who is unable to engage in any substantial gainful activity by reason of a medically determinable physical or mental impairment that has lasted or is expected to last at least 12 months, or to result in death. Disabled widow(er)s' benefits are covered under survivors insurance. Felony-related impairments and confinement-related impairments cannot be considered in determining whether an individual is under a disability if the individual has been convicted of a felony which was committed after October 19, 1980. Effective for claims finally adjudicated on or after March 29, 1996, (or for claims approved before then, with benefits payable beginning January 1, 1997) eligibility can no longer be based on drug addiction or alcoholism.

Credentials/Documentation:

Proof of disability and possibly proof of age. If applying for benefits for family members, additional proofs of age, relationship to disabled worker, or full-time school attendance may be required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Telephone toll free at 1 (800) 772-1213 or telephone or visit the local Social Security Office.

Award Procedure:

After review of the application is completed, the applicant (or representative payee) will be notified by mail.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. An individual should apply for disability benefits when he or she believes the entitlement requirements may be met. Retroactivity of benefit payments is limited to 1 year before filing.

Appeals:

Other. Telephone or visit any Social Security Office. The appeal process ranges from a reconsideration through hearings and appeals levels to a review by the Federal courts.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Regarding reports: Any change in address or other requirement (such as improvement of disabling condition or work activity) must be reported to the local Social Security Office when it occurs. Continuing Disability Reviews: Persons are contacted periodically to see if they continue to qualify for benefits.

Records:

Not applicable.

Account Identification:

20-8007-0-7-651.

Obligations:

Direct Payments with Unrestricted Use FY 16 Not Available; FY 17 Not Available; and FY 18 Not Available;

Range and Average of Financial Assistance:

Monthly cash benefits for a worker disabled in 2005 range up to a maximum of \$2,099 based on the level of the worker's earnings and the age at which a worker becomes disabled. The corresponding maximum for such a worker with a family is \$3,148.60 As of December 31, 2004, the average benefit paid to a disabled worker alone was \$880 and the average amount payable to a disabled worker with eligible dependents was \$1,496. This takes into account stipulations set forth in Public Law 96-265 and Public Law 97-35. Under Public Law 97-123, the minimum amount is no longer applicable for workers who either become disabled or first met the insured status requirements after December 1981, and a lesser amount can be paid, depending on the worker's average earnings.

TAFS Codes:

28-8007.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Consult Appendix IV of the Catalog.

Headquarters Office:

<http://www.socialsecurity.gov/>,
see website for local SSA office.,

Baltimore MD 21235

Phone: 1-800-772-1213

Website Address:

<http://www.socialsecurity.gov>

RELATED PROGRAMS:

93.560 Family Support Payments to States_Assistance Payments; 17.307 Coal Mine Workers' Compensation; 64.103 Life Insurance for Veterans; 57.001 Social Insurance for Railroad Workers; 93.774 Medicare_Supplementary Medical Insurance; 17.302 Longshore and Harbor Workers' Compensation; 96.006 Supplemental Security Income; 93.773 Medicare_Hospital Insurance; 96.002 Social Security_Retirement Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.002 Social Security Retirement Insurance

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Executive Order as amended, Title II, , Social Security Act of 1935, Title II, , Public Law 401-208, 42 USC Code 401-433.

OBJECTIVES:

To replace part of the earnings lost due to retirement.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to eligible retired workers and their eligible auxiliaries. There are no restrictions on use of benefits by a beneficiary, although the right to future benefits is not transferable or assignable.

Applicant Eligibility:

Individual/Family; Retired workers age 62 and over who have worked the required number of years under Social Security are eligible for monthly benefits. Coverage

ASSISTANCE LISTINGS DESCRIPTIONS

credits under the social security systems of certain foreign countries with which the U.S. has reciprocal agreements may be taken into account to meet the requirements. If an eligible worker age 62 or over receives benefits before full-benefit retirement age (FRA)(age 66 for workers age 62 in 2005), the individual's retirement benefit will be permanently reduced. Also, certain family members can receive benefits including: (1) A wife or husband age 62 or over; (2) a spouse at any age, if a child who is under age 16 or is disabled is in his or her care and is entitled to benefits based on the worker's record; (3) unmarried children under age 18 (or under age 19 for students in elementary or secondary school); (4) unmarried adult offspring at any age if disabled before age 22; and (5) divorced wives or husbands age 62 or over who were married to the worker for at least 10 years. Beginning January 1985, spouses ages 62 or over who have been divorced for at least 2 years (and married to the worker for at least 10 years) may become entitled to benefits regardless of whether the former spouse who is at least age 62 and fully insured has applied for benefits. Effective January 1991, the 2-year waiting period for payment of divorced spouse's benefits without regard to the former spouse's earnings was waived if the former spouse was entitled to benefits prior to the divorce. All benefits, other than benefits to disabled beneficiaries, and beneficiaries FRA and older, are subject to an earnings test. Beginning with the year 2000, the retirement earnings test was eliminated beginning with the month in which the beneficiary reaches FRA. A person at and above FRA will not have Social Security benefits reduced because of earnings. In the calendar year in which a beneficiary reaches FRA, benefits are reduced \$1 for every \$3 of earnings above the limit allowed by law, \$31,800 in 2005, but this reduction is applied only to months prior to attainment of FRA. For years before the year the beneficiary attains FRA, the reduction in benefits is \$1 for every \$2 of earnings over the annual exempt amount, \$16,920 in 2017. For workers who are first eligible after 1985 for both (a) a pension based on non-covered employment; and (b) Social Security retirement (or disability) benefits, a different benefit formula applies which provides somewhat lower benefits. The Social Security benefit for a spouse of a retired worker is subject to a pension offset if the spouse receives a governmental pension based on his or her own work in non-covered employment. However, the offset does not apply if: (i) the person has received or became eligible to receive the pension before December 1, 1982, and met eligibility requirements for Social Security auxiliaries' benefits as they existed in January 1977; or (ii) if the person receives, or is eligible to receive, the pension before July 1, 1983, and the person was dependent on his or her spouse for at least one-half support at the time the spouse died, became disabled or became entitled to Social Security benefits. The amount of the public pension used for purposes of the offset against Social Security spouse's benefits is equal to two-thirds of the public pension. The benefit for the spouse of a retired worker is also offset dollar for dollar by the amount of any Social Security benefit the spouse receives based on his or her own work. Effective for those who have reached age 62 since August 1981, a retired worker or spouse can receive benefits only for months in which he or she has attained at least age 62 for the entire month. No benefit can be paid to an alien in the United States unless he or she is lawfully present in the United States. In addition, an alien cannot qualify for benefits if he or she never had a work-authorized Social Security Number (SSN) effective for benefit applications based on SSNs issued after 2003.

Beneficiary Eligibility:

Individual/Family; Women; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Pension Recipient; Benefits are paid to retired workers age 62 and over who have worked the required number of years under Social Security, and to certain family members.

Credentials/Documentation:

Proof of age is required. If applying for benefits for auxiliaries, additional proofs of age, dependency, and relationship to the retired worker may be required. To receive benefits, auxiliaries must have a SSN. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Call toll free at 1 (800) 772-1213 or, telephone or visit the local Social Security Office. Retired workers, spouses and divorced spouses may also apply via the Internet.

Award Procedure:

After review of the application is completed, the applicant (or representative payee) will be notified by mail.

Deadlines:

January 01, 2017 - December 31, 2017. It is to the worker's advantage to apply for benefits in the three months before he or she retires. Retroactivity is limited to 6 months if unreduced benefits are being awarded. No retroactivity is provided where reduced benefits are being awarded.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. Call toll free at 1(800) 772-1213 or telephone or visit the local Social Security office. The appeal process can range from a case review to a review by the Federal Courts. An appeal must be requested in writing within 60 days of the date on which a written notice of SSA's decision is received by the applicant. The 60 days start the day after notice is received.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

N/A Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

Any change in address or eligibility requirements (such as work status, marital or family status, or school attendance) must be reported to the Social Security Office when it occurs.

Account Identification:

20-8006-0-7-651.

Obligations:

Direct Payments with Unrestricted Use FY 16 Not Available; FY 17 Not Available; and FY 18 Not Available;

Range and Average of Financial Assistance:

Monthly cash benefits for a worker retiring at full retirement age (FRA) in 2017 range up to \$2,687 and to a maximum of \$4,702.60 for a family of such a worker receiving benefits. As of December 31, 2016, the average benefit paid to a retired worker alone (no family members receiving benefits) was \$1,360 and the average amount payable to a retired worker with an eligible spouse was \$1,574.

TAFS Codes:

28-8006.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 404, and 422. "Your Social Security", "Thinking About Retiring", and many other publications are available from any Social Security office without charge.

Regional or Local Office:

See Regional Assistance Locations. Consult Appendix IV of the Catalog.

Headquarters Office:

Office of Public Inquiries www.socialsecurity.gov,
Room 4100, Annex, Social Security Administration,
Baltimore MD 21235
Phone: 1-800-772-1213

Website Address:

<http://www.socialsecurity.gov>

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers; 96.006 Supplemental Security

ASSISTANCE LISTINGS DESCRIPTIONS

Income; 96.001 Social Security_Disability Insurance; 96.004 Social Security_Survivors Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.004 Social Security Survivors Insurance

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Executive Order as amended, Title II, , Social Security Act of 1935, Title II, , 42 USC Code 401-433.

OBJECTIVES:

To replace part of the earnings lost to dependents because of the worker's death.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to eligible family members of deceased workers. There are no restrictions on the use of benefits, although the right to future benefits is not transferable or assignable. The Federal government gives the States funds, in advance or by way of reimbursement, for necessary costs in making disability determinations under 20 CFR part 404 subparts P and Q. Necessary costs are direct as well as indirect costs as defined in 41 CFR Part 1-15, Subpart 1-15.7 of the Federal Procurement Regulations System for costs incurred before April 1, 1984; and 48 CFR 31, Subpart 31.6 of the Federal Acquisition Regulations System and Federal Management Circular A-74-4 as amended or superseded for costs incurred after March 31, 1984.

Applicant Eligibility:

Individual/Family; Benefits are payable only if the deceased was insured for survivors insurance protection. Coverage credits under the social security systems of certain foreign countries with which the U.S. has reciprocal agreements may be taken into account to meet the requirements. Survivors eligible for monthly cash benefits are the following: widows or widowers age 60 or over; surviving divorced spouses age 60 or over (married to the deceased worker for at least 10 years); disabled widows, widowers or surviving divorced spouses ages 50- 59; widows, widowers, or surviving divorced spouses at any age who have in their care a child under age 16 or disabled and entitled to benefits on the deceased worker's Social Security record; unmarried children under age 18, under age 19 and a full time student in elementary or secondary school; or age 18 or older and under a disability which began before age 22; and dependent parents age 62 and over. All survivors benefits, other than for beneficiaries at full retirement age (FRA) or older, are subject to an earnings test. Beginning with the year 2000, the retirement earnings test was eliminated beginning with the month in which the beneficiary reaches FRA. A person at and above FRA will not have Social Security benefits reduced because of earnings. In the calendar year in which a beneficiary reaches FRA, benefits are reduced \$1 for every \$3 of earnings above the limit allowed by law, \$44,880 in 2017, but this reduction is applied only to months prior to attainment of FRA. For years before the year the beneficiary attains FRA, the reduction in benefits is \$1 for every \$2 of earnings over the annual exempt amount, \$16,920 in 2017 . Except for benefits to children and dependent parents, all survivors benefits are subject to a pension offset if the person is also receiving a governmental pension based on his or her own work in non-covered employment. However, the offset does not apply: (1) If the person receives, or is eligible to receive, the pension before December 1, 1982, and can meet the requirements for the Social Security auxiliary's benefit as they existed in January 1977; or (2) if the person receives, or is eligible to receive, the pension before July 1, 1983, and the person was dependent on his or her spouse for at least one-half support at the time the spouse died, became disabled or became entitled to Social Security benefits; or (3) if the last 60 months of a persons government service before retirement was covered by both Social Security and the pension plan that provides the government pension. The amount of the public pension used for purposes of the offset against

Social Security survivor's benefits is equal to two-thirds of the public pension. Benefits for widows/widowers and surviving divorced spouses are also offset dollar for dollar by any Social Security benefit the surviving spouse receives based on his or her own work. Under certain conditions, a lump-sum death payment of \$255 is payable to the widow or children of the deceased worker. Further, no benefit can be paid to an alien in the United States unless he is lawfully present in the United States. Also, an alien cannot qualify for benefits if he or she never had a work-authorized Social Security Number (SSN) (effective for benefit applications based on SSNs issued after 2003).

Beneficiary Eligibility:

Student/Trainee; Women; Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Widows, widowers, and surviving divorced spouses age 60 or over are entitled as long as the worker met the insurance requirements. Widows, widowers, and surviving divorced spouses also qualify at any age if they have entitled children of the worker under age 16 or disabled children in their care; unmarried children: under age 18, under age 19 and a full-time student in elementary or secondary school or age 18 or older and under a disability which began before age 22; and dependent parents age 62 and over. To claim benefits as disabled widows, widowers, or surviving divorced spouses, individuals ages 50-59 must show that they have a disability that started no later than 7 years after the insured died or 7 years after certain other events. "Disability" currently has the same meaning for these entitlements as it does for workers who claim disability insurance (see 96.001). As in worker disability claims, there is also a 5-month waiting period after the disability began before benefits begin and entitlement to Medicare after 24 months of entitlement to benefits. Children of the worker claiming benefits because of disability are also subject to the definition of disability used for workers and must show that they have been disabled since before they reached age 22. There is no waiting period for these benefits.

Credentials/Documentation:

Social Security number, proof of death, age, and relationship. For certain auxiliaries, proof of support may be required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Call toll free at 1 (800) 772-1213 or telephone or visit the local Social Security Office.

Award Procedure:

After review of the application is completed, the applicant (or representative payee) will be notified by mail.

Deadlines:

January 01, 2017 - December 31, 2017. Retroactivity is limited to 6 months if unreduced benefits are being awarded. Other than a minor exception that permits one-month retroactivity if the widow(er) files for benefits in the month after the month of the worker's death, no retroactivity is provided in most cases when reduced benefits are being awarded. For disabled surviving spouses and disabled surviving divorced spouses, benefits may be retroactive for up to 12 months.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable. Call toll free at 1 (800) 772-1213 or telephone or visit the local Social Security office. The appeal process can range from a case review to a review by the Federal courts. An appeal must be decision in writing within 60 days of the date on which a written notice of SSA's decision is received by the applicant. The 60 days start, the day after receipt of the notice.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Not applicable Method of awarding/releasing assistance: other

ASSISTANCE LISTINGS DESCRIPTIONS

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

20-8006-0-7-651.

Obligations:

Direct Payments with Unrestricted Use FY 16 Not Available; FY 17 Not Available; and FY 18 Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

28-8006.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Consult Appendix IV of the Catalog.

Headquarters Office:

Office of Public Inquiries www.socialsecurity.gov,
Room 4100, Annex, Social Security Administration,
Baltimore MD 21235
Phone: 1-800-772-1213

Website Address:

<http://www.socialsecurity.gov>

RELATED PROGRAMS:

17.307 Coal Mine Workers' Compensation; 64.103 Life Insurance for Veterans;
57.001 Social Insurance for Railroad Workers; 17.302 Longshore and Harbor
Workers' Compensation; 96.006 Supplemental Security Income; 96.001 Social
Security_Disability Insurance; 64.105 Pension to Veterans Surviving Spouses, and
Children; 96.002 Social Security_Retirement Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.006 Supplemental Security Income

Supplemental Security Income,

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act of 1935, Title XVI, as amended; 42 U.S.C. 1381-1383f., Title XVI, , 42 USC Code 1381-1383f.

OBJECTIVES:

To ensure a minimum level of income to persons who have attained age 65 or are blind or disabled, and whose income and resources are below specified levels.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Supplemental Security Income (SSI) payments are made to persons who have attained age 65 or who are blind or disabled and meet the means-tested and other requirements of the program. Generally, there are no restrictions on the use of benefits received by beneficiaries, although the right to future benefits is not transferable or assignable. The Federal government gives the States funds, in

advance or by way of reimbursement, for necessary costs in making disability determinations under 20 CFR 404 subparts P and Q and part 416 subparts I and J. Necessary costs are direct as well as indirect costs as defined in 41 CFR 1-15, subpart 1-15.7 of the Federal Procurement Regulations System for costs incurred before April 1, 1984; and 48 CFR 31, Subpart 31.6 of the Federal Acquisition Regulations System and Federal Management Circular No. A-74-4 as amended or superseded for costs incurred after March 31, 1984.

Applicant Eligibility:

Individual/Family; To be found disabled for SSI purposes: an individual age 18 or older must be unable to perform any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of at least 12 months; an individual under age 18 must have a medically determinable physical or mental impairment or combination of impairments that causes marked and severe functional limitations, and that can be expected to cause death or that has lasted or can be expected to last for a continuous period of at least 12 months. An individual under age 18 who files a new application for benefits and is engaging in substantial gainful activity will not be considered disabled. To be found blind for SSI purposes, an individual of any age must be "statutorily blind." This means central visual acuity of 20/200 or less in the better eye with use of a correcting lens. The eligibility of an individual who has attained age 65 or who is blind or disabled is determined on the basis of an assessment of the individual's monthly income and resources, citizenship or alien status, U.S. residency, and certain other eligibility requirements. In determining a month's income, the first \$20 of Social Security or other unearned income is not counted. An additional \$65 of earned income (\$85 if the person had no unearned income) received in a month plus one-half of the remainder above \$65 (or \$85) also is not counted. If, after these (and other) exclusions, an individual's countable income, effective January 2018, is less than \$750 per month (\$1,125 for a couple, both of whom are aged, blind or disabled) and countable resources are less than \$2,000 (\$3,000 for a couple), the individual may be eligible for payments. The values of household goods, personal effects, an automobile, life insurance, and property needed for self support are, if within limits set out in regulations, excluded in determining value of resources. Burial spaces for an individual and immediate family and burial funds, up to \$1,500 each for an individual and spouse, are excluded from resources. The value of a home which serves as the principal place of residence is also excluded in resource valuation.

Beneficiary Eligibility:

Individual/Family; Disabled (e.g. Deaf, Blind, Physically Disabled); Physically Afflicted (e.g. TB, Arthritis, Heart Disease); Senior Citizen (60+); Low Income; Individuals who have attained age 65 or are blind or disabled, who continue to meet the income and resources tests, citizenship/qualified alien status, U.S. residence, and certain other requirements. Eligibility may continue for beneficiaries who engage in substantial gainful activity despite disabling physical or mental impairments.

Credentials/Documentation:

Proof of age, marital status, income and resources, establishment of blindness or disability, proof of residence in the U.S. and citizenship, or alien status is required. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Call toll free at 1-800-772-1213 or telephone or visit the local Social Security Office.

Award Procedure:

The individual (and representative payee, if any,) will be notified by mail of award or denial.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other. Call toll free at 1 (800) 772-1213 or telephone or visit the local Social

ASSISTANCE LISTINGS DESCRIPTIONS

Security Office. The appeal process ranges from a case review or field office conference to a review by the Federal Courts. An appeal must be requested within 60 days of the date on which a written notice of SSA's initial determination is received by the applicant. The 60 days start the day after you receive the notice.

Renewals:

Other. A redetermination of a person's benefit amount and continuing eligibility will be made on a scheduled basis at periodic intervals. Unscheduled redeterminations are made when changes in circumstances are reported. The length of time between scheduled redetermination varies depending on the likelihood that the beneficiary's situation may change in a way that affects payment amount or eligibility.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Benefits are paid monthly. Accrued benefits are paid in a lump sum unless they exceed a specified amount in which case they are paid in up to three installments at 6 month intervals. In the case of a disabled child, accrued payments over a certain amount must be retained in a dedicated account and used only for certain approved expenditures. Method of awarding/releasing assistance: other

Reports:

No reports are required.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Reports: Any change of address or any event affecting eligibility or benefit amount (such as an increase in resources or income or improvement of disabling condition) must be reported to the Social Security Administration when the event occurs.

Records:

None.

Account Identification:

75-0406-0-1-609.

Obligations:

Direct Payments with Unrestricted Use FY 17 (\$1,234,574,848.00); FY 18 Not Available; FY 19 Not Available;

Range and Average of Financial Assistance:

Monthly Federal cash payments range from \$1 to \$750 for an aged, blind, or disabled individual who does not have an eligible spouse, and from \$1 to \$1,125 for an aged, blind, or disabled individual and an eligible spouse. These rates became effective January 2018. The average Federal monthly benefit payment for January 2018 was \$536.

TAFS Codes:

75-0406.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 416, and 422. "SSI for Aged, Blind, and Disabled People" and other publications are available from any Social Security Office without charge. The Social Security internet address is www.ssa.gov and it includes copies of all disability-related laws, regulations, rulings, and free publications, as well as other information about Social Security programs.

Regional or Local Office:

See Regional Assistance Locations. Consult Appendix IV of the Catalog.

Headquarters Office:

SSA www.socialsecurity.gov, see website for local SSA office.,
Baltimore MD 21235
Email: ORDP.Helpdesk@ssa.gov
Phone: 1-800-772-1213
Fax: 1-800-772-1213 Office of Income Security Programs OISP,
6401 Security Boulevard Baltimore, Maryland 21235,

Baltimore MD 21235

Email: ordp.webmaster@ssa.gov

Phone: 1-800-772-1213

Fax: 1-800-772-1213

Website Address:

<http://www.socialsecurity.gov>

RELATED PROGRAMS:

93.560 Family Support Payments to States_Assistance Payments; 93.778 Medical Assistance Program; 96.001 Social Security_Disability Insurance; 96.004 Social Security_Survivors Insurance; 96.002 Social Security_Retirement Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.007 Social Security Research and Demonstration

(SSA Research and Demonstration),

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Executive Order Title II and Title XVI, as amended; 42 U.S.C. 401-433, 902, 1310, 1381-1388c, Title II, Section 1110, Social Security Act of 1935, Title II, Section 1110

OBJECTIVES:

(1) To conduct social, economic, and demographic research on topics important to the Social Security Old Age, Survivors and Disability Insurance and Supplemental Security Income (SSI) programs and the current and future well-being of their beneficiaries; (2) to develop and carry out experiments and research demonstration projects to determine the efficacy of: (a) alternative ways of rehabilitating beneficiaries and encouraging their return to work; and (b) modifying conditions applicable to such beneficiaries including: (i) early referral for rehabilitation services; and (ii) greater use of employers and others in the rehabilitation and placement process.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Grants are awarded for innovative research and demonstrations which add to existing knowledge and improve methods and techniques for the management, administration, and effectiveness of Social Security Administration (SSA) programs. Grant funds may not be used for construction or major renovation of buildings.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Non-Government - General; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Applicants applying for grant funds may include research organizations, associations of research organizations, State and local governments, educational institutions, hospitals, public and private organizations, and nonprofit and profit organizations. Private individuals are not eligible to apply. Profit organizations may apply with the understanding that no grant funds may be paid as profit to grant recipients. Profit is considered any amount in excess of the allowable costs of the grant recipient. A profit organization is a corporation or other legal entity that is organized or operated for the profit or benefit of its shareholders or other owners and must be distinguishable or legally separable from that of an individual acting on his/her own behalf.

Beneficiary Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Infant (0-5); Child (6-15); Youth (16-21); Senior Citizen (60+); Welfare Recipient; Pension Recipient; Moderate Income; Low Income; State agencies, local governments, educational institutions, hospitals, nonprofit organizations, and profit organizations are eligible to apply for grant funding.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SSA prefers that applications be submitted electronically through www.grants.gov. The www.grants.gov, "Getting Started" webpage is available to help explain the registration and application submission process. If applicants experience problems with the steps related to registering to do business with the Federal government or application submission, the first point of contact is the Grants.gov support staff at www.grants.gov/CustomerSupport. If difficulties are not resolved, applicants may also contact the SSA Grants Management Team for assistance. Applicants without Internet access should contact the Grants Management Office for further information on applying. Please send inquiries to Grants.Team@ssa.gov. Also, SSA grant program announcements and application information can be obtained from the SSA/OAG Internet site at: <http://www.socialsecurity.gov/oag/grants/>. To be considered for a grant award, all applicants must complete the prescribed application forms and submit them to the Grants Management Team. The application shall be executed by an individual authorized to act for the applicant agency or organization and who will assume the obligations imposed by the terms and conditions of the grant. As part of the project title, the applicant must clearly indicate whether the application submitted is in response to a priority area identified in a program announcement, and must reference the applicable priority area (e.g., "001") in which it is competing. At least three independent reviewers prepare written assessments of each program-relevant grant application. Applications found irrelevant to program objectives are returned to the applicants. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](http://www.grants.gov).

Award Procedure:

OAG provides a Notice of Grant Award (or a Notice of Cooperative Agreement Award) as official notice for approved applications. The notice indicates award amount, the purpose of the award, award terms and conditions, the budget period, the anticipated project period, and the grantee's cost-sharing requirement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally, 3 to 6 months after the closing date established in the Federal Register announcement.

Appeals:

Other. There are no formal appeal procedures. If an application is not approved, the reasons will be stipulated in the denial notice.

Renewals:

Other. Grants can be extended and continued via formal application, which is subject to approval. If an application is recommended for approval for 2 or more budget years, the grantee must submit a formal request for funding continuation each year accompanied by a progress report. This will be evaluated prior to a recommendation of continuation of funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 5%. Requirement: Matching requirements are mandatory. Grantees must match 5 percent of the total project cost. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are usually granted for a period of 12 to 24 months. SSA awards funds in a lump sum per budget period. Method of awarding/releasing assistance: other

Reports:

Program reports may be required depending on the program. The Federal Financial Report - SF 425 is required periodically depending on the program. Reports may be annual or quarterly depending on the program. Expenditures are reported using the Federal Financial Report SF 425 Performance milestones are reported in the progress report.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Audits are required in accordance with 2 CFR 200, Subpart F.

Records:

Grantees must maintain financial records, supporting documents, statistical records and all other records pertinent to an award for 3 years. In the event of an audit, records must be maintained until all questions are resolved.

Account Identification:

28-8704-0-7-601.

Obligations:

Salaries and Expenses FY 18 \$10,575,000.00; FY 19 est \$10,575,000.00; FY 20 est \$10,575,000.00;

Range and Average of Financial Assistance:

Range: \$300,000 to \$2,535,621 and Average \$1,762,500.

TAFS Codes:

28-0406.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dionne J. Mitchell,
Social Security Administration Office of Acquisition and Grants 1540 Robert M. Ball Building 6401 Security Boulevard,
Baltimore MD 21235
Email: dionne.mitchell@ssa.gov
Phone: 4109659534

Website Address:

<http://www.ssa.gov>

RELATED PROGRAMS:

96.008 Social Security - Work Incentives Planning and Assistance Program ; 93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.648 Child Welfare Services Training Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Research grants are selected based on the merit, quality of analysis, research and dissemination strategies. Certain programs focus on the ability to perform program management activities; understanding the scope of the program, experience and knowledge of disability issues and past performance.

96.008 Social Security - Work Incentives Planning and Assistance Program

(SSA Work Incentives Planning and Assistance (WIPA) Program) or Work Incentives Outreach Program,

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act, Title 1, Section 1149, Statute 1860-1887-1891, Public Law 106-170

OBJECTIVES:

To comply with the Ticket-to-Work and Work Incentives Improvement Act (TWWIA) which was passed in December 1999, and reauthorized by the Social Security Protection Act of 2004, which requires the SSA to establish a community-based work incentives planning and assistance program. The purpose of the program is to support beneficiaries who want to return to work to make a successful and profitable transition to the workforce. Beneficiaries that continue to work improve their economic independence and financial security. WIPA projects help beneficiaries take advantage of our work incentives programs, which may delay or reduce the effect of work on monetary benefits and health insurance. Additionally, WIPA projects act as repositories for information about other work incentives, benefits counseling services, and other resources that may help beneficiaries succeed in their work attempts, or may assist beneficiaries to improve financial stability as they return to work.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Cooperative agreements are awarded to organizations to: (1) Provide statewide work incentives planning and assistance, including information on the availability of protection and advocacy services, to all Social Security Disability Insurance (SSDI) and Supplemental Security Income (SSI) beneficiaries with disabilities, and to conduct ongoing outreach to those beneficiaries with disabilities and to their families that are potentially eligible to participate in State or Federal work incentives programs. (2) Disseminate accurate information to beneficiaries with disabilities (including transition-to-work aged youth) about work incentives programs and issues related to such programs, to enable them to make informed choices about working and whether or when to assign their Ticket to Work, as well as how available work incentives can facilitate their transition into the workforce. Cooperative agreement funds may not be used for construction or major renovation of buildings.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants applying for cooperative agreement funds may include State or local governments (excluding any State administering the State Medicaid program), public or private organizations, or nonprofit or for-profit organizations (for-profit organizations may apply with the understanding that no cooperative agreement funds may be paid as profit to any awardee), as well as Native American tribal organizations that the Commissioner determines is qualified to provide work incentives planning and assistance to all SSDI and SSI beneficiaries with disabilities, within the targeted geographic area. These may include Centers for Independent Living established under Title VII of the Rehabilitation Act of 1973, protection and advocacy organizations, Native American tribal entities, client assistance programs established in accordance with Section 112 of the Rehabilitation Act of 1973, State Developmental Disabilities Councils established in accordance with Section 124 of the Developmental Disabilities Assistance and Bill of Rights Act, and State agencies administering the State program funded under Part A of Title IV of the Act. The Commissioner may also award a cooperative agreement to a State or local Workforce Investment Board, a Department of Labor (DOL) One-Stop Career Center System established under the Workforce Improvement Act of 1998, or a State Vocational Rehabilitation agency. Cooperative agreements may not be awarded to any individual, the Social Security Administration Field Offices, any State agency administering the State Medicaid program under Title XIX of the Act, any entity that the Commissioner determines would have a conflict of interest if the entity were to receive a cooperative agreement under the Work Incentives Planning and Assistance (WIPA) Program or any organization described in Section 501(c)(4) of the Internal Revenue Code of 1968 that engages in lobbying (in accordance with Section 18 of the Lobbying Disclosure Act of 1995, 2 U.S.C. 1611).

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; WIPA projects serve beneficiaries who are age 14 and older, and receive any of the following benefits based on their own disabilities: Social Security Disability Insurance Benefits; Childhood Disability Benefits; Disabled Widow(er)s Benefits; SSI based on blindness or disability; Medicare under the Extended Period of Medicare Coverage (for former disability beneficiaries performing substantial work); Medicaid under Section 1619(b) of the Social Security Act (for SSI beneficiaries ineligible for payment due to work income); A State supplementary SSI payment (even if the beneficiary is not due a Federal SSI payment); or Medicare coverage based on disability and Medicare qualified government employment.

Credentials/Documentation:

Costs and administrative requirements for grantees will be determined in accordance with 2 CFR 200. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms furnished by SSA must be used for this program. When cooperative agreement opportunities are available, SSA publishes a notice in the Federal Register soliciting cooperative agreement applications. Application packages will be provided at www.grants.gov. If extenuating circumstances prevent you from submitting an application through www.grants.gov please contact the Grants Management Team at Office of Acquisition and Grants (OAG), Social Security Administration, Attention: Dionne Mitchell, 1540 Robert. M. Ball Building, 6401 Security Boulevard, Baltimore Maryland 21235. To be considered for a cooperative agreement award, all applicants must complete the prescribed application forms, through www.grants.gov unless the applicant has received prior approval from OAG to submit a paper application to the Grants Management Team. The application shall be executed by an individual authorized to act for the applicant agency or organization who will assume the obligations imposed by the terms and conditions of the cooperative agreement. At least three independent reviewers prepare written assessments of each program relevant cooperative agreement application. Applications found irrelevant or unresponsive to program objectives are returned to the applicants.

Award Procedure:

OAG provides a Notice of Cooperative Agreement Award as official notice for approved applications. The notice indicates award amount, the purpose of the award, award terms and conditions, the budget period, the anticipated project period, and the awardee's cost sharing requirement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Generally, 3 to 6 months after the closing date established in the Federal Register announcement.

Appeals:

Other. There are no formal appeal procedures. If an application is not approved, the reasons will be stipulated in the denial notice.

Renewals:

Other. Cooperative agreements can be continued via formal continuation application, which is subject to approval. If an application is recommended for approval for 2 or more budget periods, the awardee must submit a formal request for funding continuation each year. Continuation applications are evaluated prior to a recommendation of additional funding.

Formula and Matching Requirements:

Statutory Formula: Title 1, Chapter Ticket to Work Incentives Improvement Act of 1999, Part C, Subpart Sec. 1149, [42 U.S.C. 1320b20], Public Law 106-170. Matching Requirements: Percent: 5%. Requirement: Matching requirements are mandatory. Awardees of SSA cooperative agreements are required to contribute a non-Federal match of at least 5 percent toward the total cost of each project. The total cost of the project is the sum of the Federal Award (up to 95

ASSISTANCE LISTINGS DESCRIPTIONS

percent) and the non-Federal share (at least 5 percent). The non-Federal share may be cash or in-kind (property or services) contributions. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are usually granted for a period of 12 months. Funds are obligated annually and generally for a five-year project period. Method of awarding/releasing assistance: other

Reports:

Requests for Advance or Reimbursement (SF 270) and Federal Financial Reports (SF 425) Quarterly Progress Reports describing activities and beneficiary services provided.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees must follow 2 CFR 200, Subpart F - Audit Requirements.

Records:

Awardees must maintain financial records, supporting documents, statistical records and all other records pertinent to an award for 3 years after the end of the project. In the event of an audit, records must be maintained until all questions are resolved.

Account Identification:

28-8704-0-7-999.

Obligations:

Salaries and Expenses FY 18 \$19,583,013.00; FY 19 est \$19,583,013.00; FY 20 est \$19,583,013.00;

Range and Average of Financial Assistance:

Range \$100,000 to \$300,000, average \$235,940

TAFS Codes:

28-8704.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Dionne J. Mitchell,
Social Security Administration Office of Acquisition and Grants 1540 Robert M.
Ball Building 6401 Security Boulevard,
Baltimore MD 21235
Email: dionne.mitchell@ssa.gov
Phone: 4109659534

Website Address:

<http://www.ssa.gov>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

96.009 Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries

Protection and Advocacy (P&A) Systems: PABSS and SPSSB,

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Social Security Act, Title 1, Section 1150, Statute 1860-1887-1891, Public Law 106-170, An act to amend titles II, VIII, and XVI of the Social Security Act to improve and strengthen the representative payment program., Title TITLE I - STRENGTHENING OVERSIGHT AND BENEFICIARY PROTECTION, Section 101, Public Law 115-165

OBJECTIVES:

Support State designated Protection and Advocacy (P&A) systems. The Protection and Advocacy for Beneficiaries of Social Security (PABSS) provides legal support, advocacy and information to help you resolve disability employment-related concerns while the Strengthening Protections of Social Security Beneficiaries (SPSSB) or Rep-Payee grant program pays for performance reviews and monitoring of representative payees.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded to organizations to: (1) Provide information and advice about obtaining vocational rehabilitation and employment services; (2) Provide advocacy or other services that Social Security Disability Insurance (SSDI) and Supplemental Security Income (SSI) beneficiaries with disabilities may need to secure, maintain, or regain gainful employment and (3) Conduct reviews of Rep Payees. Grant funds may not be used for construction or major renovation of buildings.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants applying for grant funds are limited to State protection and advocacy systems established pursuant to Part C of Title I of the Developmental Disabilities Assistance and Bill of Rights Act.

Beneficiary Eligibility:

Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; All individuals within the State who are entitled to SSDI or eligible for SSI benefits based on disability or blindness.

Credentials/Documentation:

SPSSB funded positions working with beneficiaries must undergo a background investigation and obtain a favorable suitability determination. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms furnished by SSA must be used for this program. When grant opportunities are available, SSA sends a letter to the applicable protection and advocacy agency. Application kits that contain the prescribed application forms and supplemental descriptive information on the priority areas are available from the Internet site at www.grants.gov. SSA grant program announcements and application information also can be obtained from the Grants Management Team, Office of Operations Contracts and Grants (OOCG), Office of Acquisition and Grants (OAG), Office of the Deputy Commissioner for Budget, Finance, Quality, and Management (DCBFQM), Social Security Administration, 6401 Security Blvd., Baltimore, MD 21235. Contacts: Dionne Mitchell, 410-965-9534. To be considered for a grant, all applicants must complete the prescribed application forms and submit them to the Grants Management Team. The application shall be executed by an individual authorized to act for the applicant agency or organization who will assume the obligations imposed by the terms and conditions of the cooperative agreement.

Award Procedure:

OAG provides a Notice of Grant Award as official notice for approved applications. The notice indicates award amount, the purpose of the award, award terms and conditions, the budget period, the anticipated project period, and the awardee's cost-sharing requirement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

ASSISTANCE LISTINGS DESCRIPTIONS

deadlines.

Range of Approval/Disapproval Time:

Other. Generally, 3 to 6 months after the closing date established in the Federal Register announcement.

Appeals:

Other. There are no formal appeal procedures. If an application is not approved, the reasons will be stipulated in the denial notice.

Renewals:

Other. Grants can be continued via formal application, which is subject to approval. If an application is recommended for approval for 2 or more budget periods, the awardee must submit a formal request for funding continuation each year. Continuation applications are evaluated prior to a recommendation of additional funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are usually granted for a period of 12 to 17 months. Funds, however, can be granted for a minimum of 3 months, and up to a maximum of 60 months. Method of awarding/releasing assistance: other

Reports:

. Grantees submit Requests for Reimbursement and/or Advances (SF-270).

Grantees must submit periodic Performance Progress reports online. Grantees must submit quarterly to semi-annual Federal Financial Reports (SF-424) per the terms and conditions of their awards.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grantees must follow the audit procedures found in 2 CFR 200, Subpart F.

Records:

Awardees must maintain financial records, supporting documents, statistical records and all other records pertinent to an award for 3 years after the end of the project. In the event of an audit, records must be maintained until all questions are resolved.

Account Identification:

28-8704-0-7-999. 28-1887-0-4-999.

Obligations:

Project Grants FY 18 \$6,725,001.00; FY 19 est \$6,725,001.00; FY 20 est \$6,725,001.00; Project Grants FY 18 \$25,574,884.00; FY 19 est \$26,478,000.00; FY 20 est \$26,478,000.00;

Range and Average of Financial Assistance:

PABSS Range: \$50,000 to \$319,000 average \$107,496. SPSSB Range: \$30,000 to \$2,300,000 average \$446,491

TAFS Codes:

28-8704.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Grants Management Officer Dionne J. Mitchell,
Social Security Administration Office of Acquisition and Grants 1540 Robert M. Ball Building 6401 Security Boulevard,
Baltimore MD 21235
Email: dionne.mitchell@ssa.gov
Phone: 4109659534

Website Address:

<http://www.ssa.gov>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Generally, applications are evaluated on the following criteria: (1) capability; (2) relevance and adequacy of project design; (3) resources and management; and (4) quality assurance.

96.020 Special Benefits for Certain World War II Veterans

(Special Veterans Benefits; SVB),

FEDERAL AGENCY:

SOCIAL SECURITY ADMINISTRATION

AUTHORIZATION:

Foster Care Independence Act of 1999, Section 251, Public Law 106-169; Social Security Act, Title VIII, Title VII, Section 251, Public Law 106

OBJECTIVES:

To pay a special benefit to certain World War II veterans, who are eligible for Supplemental Security Income (SSI) benefits and meet other specified criteria for each month that they reside outside of the United States.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to certain World War II veterans who meet the qualification criteria prescribed in the law for each month they reside outside the United States on the first day of that month. There are no restrictions on the use of benefits, although the right to future benefits is not transferable or assignable.

Applicant Eligibility:

Individual/Family; Be age 65 or older on December 14, 1999, be a World War II veteran (includes Filipino veterans of World War II or organized guerrilla forces under the auspices of the U.S. military); be eligible for SSI benefits for December 1999 and for the month that the application for SVB was filed; and, have other benefit income that is less than 75 percent of the SSI Federal benefit rate. For 2018, 75 percent of the SSI Federal benefit rate is \$562.50.

Beneficiary Eligibility:

Individual/Family; Veteran/Service person/Reservist (including dependents; Benefits are paid to certain World War II veterans meeting the criteria specified under Applicant Eligibility. Credentials/Documentation

Credentials/Documentation:

Proof of age, military service, the receipt and amount of benefit income, and foreign residence are required. Application and Award Process This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under E.O. 12372

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application may be filed at any local Social Security office, the U.S. Embassy, 1201 Roxas Boulevard, Ermita 0930, Manila, and U.S. Embassies and consulates worldwide

Award Procedure:

The applicant (and representative payee, if any) will be notified by mail of award or denial.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

ASSISTANCE LISTINGS DESCRIPTIONS

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 30 to 60 days. A request for appeal may be filed at any local Social Security office, the U.S. Embassy, 1201 Roxas Boulevard, Ermita 0930, Manila and U.S. Embassies and consulates worldwide. The appeal process ranges from a local office review to a review by the Federal courts. Generally, appeals must be filed within 60 days of the date on which a written notice of decision is received by the applicant

Renewals:

Other. The law allows for the redetermination of a person's benefit amount and continuing eligibility. The procedures for conducting such reviews are not yet determined.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Benefits are paid to certain World War II veterans meeting the criteria specified under Applicant Eligibility. Method of awarding/releasing assistance: other

Reports:**Audits:**

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements.

Records:

None.

Account Identification:

28-0401-0-1-701.

Obligations:

Direct Payments with Unrestricted Use FY 16 \$2,906,072.00; FY 17 est \$2,388,885.00; and FY 18 Not Available;

Range and Average of Financial Assistance:

The maximum monthly SVB payable for current year 2018 is \$562.50 (Per Title VIII of the Act, the benefit amount equals 75 percent of the SSI Federal benefit rate.) This amount will change yearly. The monthly SVB amount payable to an individual is reduced by the amount of that person's other benefit income for the month. Other benefit income includes any recurring payment received as an annuity, pension, retirement or disability benefit. In FY 2017, there were 549 beneficiaries receiving SVB payments totaling \$208,888. The average monthly federal payment for January 2017 is \$380.49.

TAFS Codes:

28-0401.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Part 408, Special Benefits for Certain World War II Veterans, subparts A through L, a program fact sheet "Special Benefits to Certain World War II Veterans," and a booklet "Special Benefits for World War II Veterans" are available from any Social Security office without charge. They can also be found on the Social Security internet site.

Regional or Local Office:

See Regional Assistance Locations. Consult Appendix IV of the Catalog.

Headquarters Office:

Social Insurance Specialist Phyllis Mathers,
SSA, OISP6401 Security Boulevard,
Baltimore MD 21235
Email: Phyllis.Mathers@ssa.gov
Phone: 410-965-3549
Program Specialist Sathya Sharma,
SSA, CDPS, 6th Floor 1221 Nevin Avenue,
Richmond CA 94801
Email: Sathya.Sharma@ssa.gov

Phone: 510-970-8258

Fax:

Website Address:

<http://www.socialsecurity.gov>

RELATED PROGRAMS:

96.020 Special Benefits for Certain World War II Veterans;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

HOMELAND SECURITY, DEPARTMENT OF

97.005 State and Local Homeland Security National Training Program

Homeland Security National Training Program National Domestic Preparedness Consortium (NDPC) and Continuing Training Grants (CTG),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Section 1204 of the Implementing Recommendations of the 9/11 Commission Act of 2007, , Public Law 110-53, 6 USC Code 1102.

OBJECTIVES:

Continuing Training Grants: Through its Continuing Training Grants (CTG) program, the Department of Homeland Security Fiscal Year (FY) 2021 Homeland Security National Training Program (HSNTP) plays an important role in the National Preparedness System. The CTG program supports building, sustaining, and delivering core capabilities through the development and delivery of training to achieve the National Preparedness Goal (the Goal), which is a secure and resilient Nation with the capabilities required across the whole community to prevent, protect against, mitigate, respond to, and recover from the threats and hazards that pose the greatest risk. Specifically, the CTG program provides funding for eligible applicants to support and target training solutions for state, local, tribal, and territorial (SLTT) partners, which supports the objective of the National Preparedness System to facilitate an integrated, whole community, risk-informed, capabilities-based approach to preparedness. The FY 2021 CTG programs purpose is to address specific threats and gaps through the development and delivery of learning solutions and facilitate a national whole community approach to focus on the challenges with the greatest impact on the Nations preparedness. FEMA, through CTG recipients, will concentrate on developing training to address national priorities while considering the challenges presented by a pandemic environment. Continuing Training Grants National Cybersecurity Preparedness Consortium: The Department of Homeland Security Fiscal Year (FY) 2021 Homeland Security National Training Program (HSNTP), Continuing Training Grants (CTG), National Cybersecurity Preparedness Consortium (NCPC) provides funding to the eligible applicant to develop and deliver cybersecurity training solutions to address national preparedness gaps, map training to the core capabilities, and ensure training is available and accessible to a nationwide audience. The NCPC addresses the below Presidential Policy Directives through training of State, Local, Tribal and Territorial (SLTT) first responders. Presidential Policy Directive 8: National Preparedness Presidential Policy Directive 21: Critical Infrastructure Security and Resilience The NCPC plays an important role in the National Training and Education System (NTES), which is part of the larger National Preparedness System (the System). The System is designed to build, sustain, and deliver the core capabilities and achieve the desired outcomes identified in the National Preparedness Goal (the Goal). The Goal is a secure and resilient nation with the capabilities required across the whole community to prevent, protect against, mitigate, respond to, and recover from the threats and hazards that pose the greatest risk. The System provides a consistent and reliable approach to support decision making, resource allocation, and measure progress toward these outcomes. Continuing Training Grants Mississippi State Universitys (MSU) Federal

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Aviation Administration (FAA) Center of Excellence (COE) Unmanned Aircraft Systems (UAS): This CTG program provides funding for Mississippi State University (MSU) Federal Aviation Administration (FAA) Center of Excellence (COE) Unmanned Aircraft Systems (UAS) (hereafter, FAA COE UAS MSU) to support and target training solutions for state, local, tribal, and territorial (SLTT) partners, which supports the objective of the National Preparedness System to facilitate an integrated, whole community, risk-informed, capabilities-based approach to preparedness. This FY 2021 CTG programs purpose is to address emerging threats and FEMA Community Lifelines through the development and delivery of training and facilitate a national whole community approach to focus on the challenges with the greatest impact on the Nations preparedness. FEMA, through FAA COE UAS MSU recipients, will concentrate on developing training to address long-term gaps including a regional training program for State, local, tribal and territorial (SLTT) responders in using Unmanned Aircraft Systems (UAS) for disaster preparedness and response. The CTG program advances three of the strategic goals and objectives contained in the DHS Strategic Plan (FY) 2020-2024 to keep Americans safe, secure and resilient: Prevent terrorism and enhance security; Safeguard and secure cyberspace; and Ensure resilience to disasters. The CTG program addresses the below Presidential Policy Directives through development and delivery of training for SLTT partners: Presidential Policy Directive 8: National Preparedness Presidential Policy Directive 21: Critical Infrastructure Security and Resilience National Domestic Preparedness Consortium: The Department of Homeland Security Fiscal Year (FY) 2021 Homeland Security National Training Program (HSNTP), National Domestic Preparedness Consortium (NDPC) provides funding to eligible applicants to develop and deliver training solutions to address national preparedness gaps, map training to the core capabilities, and ensure training is available and accessible to a nationwide audience. The NDPC addresses the below Presidential Policy Directives through training of State, Local, Tribal and Territorial (SLTT) first responders. Presidential Policy Directive 8: National Preparedness Presidential Policy Directive 21: Critical Infrastructure Security and Resilience The NDPC plays an important role in the National Training and Education System (NTES), which is part of the larger National Preparedness System (the System). The System is designed to build, sustain, and deliver the core capabilities and achieve the desired outcomes identified in the National Preparedness Goal (the Goal). The Goal is a secure and resilient nation with the capabilities required across the whole community to prevent, protect against, mitigate, respond to, and recover from the threats and hazards that pose the greatest risk. The System provides a consistent and reliable approach to support decision making, resource allocation, and measure progress toward these outcomes.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Civil Defense/Disaster Prevention and Relief/Emergency Preparedness Provides funding through cooperative agreements to the National Domestic Preparedness Consortium, and Continuing Training Grants program for the purpose of the development and delivery of all-hazards training for Federal, State, local, and tribal emergency responders. Refer to the NDPC and CTG NOFOs for specific information on eligibility. These funds must be used to develop and deliver all hazards training to State, local, tribal, and territorial whole communities. Other special conditions will apply to the recipients of the award. NDPC: These funds must be used to develop and deliver all hazards training to State, local, tribal, and territorial whole communities. Other special conditions will apply to the recipients of the award. A portion of these funds will be awarded in specific focus areas identified in the NOFO. Grant funds must be used in accordance with 2 C.F.R. Part 200.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; The HSNTP/NDPC is a closed solicitation, available only to eligible organizations. Non-Federal members that make up the National Domestic Preparedness Consortium (NDPC) which consist of the following institutions: Louisiana State University, Texas A&M, New Mexico Institute of Mining and Technology, and the University of Hawaii. The Continuing Training Grants (CTG) program is an open competition to develop and

deliver training in selected focus areas. Through the CTG, FEMA identifies important focus areas for applicants to use in the development of their application. Applicants may submit only one application per focus area. Applications will undergo a review to determine whether all required guidelines are followed and selection criteria are met. The full application review process will conclude with a rigorous, competitive review process used to select programs for recommendation for award. NDPC To receive funding under this program, recipients must be members of the NDPC as defined by 6 U.S.C. 1102PC

Beneficiary Eligibility:

State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; State and Local units of government, public nonprofits, and Federally recognized tribal entities.

Credentials/Documentation:

Training Expertise. Applicants must currently administer an existing training program, consistent with the National Incident Management System (NIMS), relevant to the selected Training Topic(s), or have demonstrable expertise to create and administer a training program capable of developing and delivering training for a national whole community audience, relevant to the selected Training Topic(s). For the purposes of the CTG program, the minimum standard for training expertise is defined as the principal activities that an organization conducts which are primarily focused on the development and delivery of training for an external audience, but may include an internal audience, resulting in a change or increase of knowledge, skills, and/or abilities. Training types/modes that meet this definition include web-based training, instructor-led courses conducted in classrooms and/or training areas (to include mobile courses), and seminars and workshops that measure a change in knowledge, skill, and/or abilities. Drill and exercise-only type activities, without a learning component, do not meet the definition of training expertise for the CTG program. Organizations that do not describe suitable expertise in training are ineligible. Organizational Partnerships. Eligible applicants may apply individually or as the submitting member (e.g., executive agent) of a partnership such as a consortium. However, only one organization may serve as the applicant. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the HSNTP NDPC and CTG Notices of Funding Opportunity (NOFO).

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

Other. Refer to HSNTP NDPC and CTG NOFOs.

Renewals:

Other. One-year extensions of some awards. Refer to HSNTP CTG NOFO.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

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Refer to HSNTP NDPC and CTG NOFOs. Method of awarding/releasing assistance: other

Reports:

Semi-annual progress reports. Quarterly Financial Reports. Semi-Annual Progress Reports. Semi-Annual Progress Reports are required. Grantees are required to submit Quarterly Federal Financial Status Reports (FRSR). The FFSRs are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final FFSR report is due 12090 days after the expiration or termination of grant award, whichever occurs first. Semi-Annual Progress Reports (SAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Final SAPR report is due 90120 days after the expiration or termination of grant award, whichever occurs first. Quarterly Financial Reports. Semi-Annual Progress Reports. Semi-Annual Progress Reports are required. Grantees are required to submit Quarterly Federal Financial Status Reports (FRSR). The FFSRs are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final FFSR report is due 12090 days after the expiration or termination of grant award, whichever occurs first. Semi-Annual Progress Reports (SAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Final SAPR report is due 90120 days after the expiration or termination of grant award, whichever occurs first. Semi-Annual Progress Reports (SAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Final SAPR report is due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at: <http://www.gao.gov/govaud/ybk01.htm>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at: <https://www.gpo.gov/fdsys/browse/collectionCfr.action?collectionCode=CFR>.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period

depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0560-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$87,000,000.00; FY 21 est \$91,000,000.00; FY 22 est \$91,000,000.00; Cooperative Agreements FY 20 \$87,000,000.00; FY 21 est \$91,000,000.00; FY 22 est \$91,000,000.00;

Range and Average of Financial Assistance:

\$5,000,000 (low) to \$25,000,000 (high).

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Developed and delivered training solutions to address national preparedness gaps.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to HSNTP NDPC and CTG NOFO.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security National Preparedness Directorate (NPD), Grants Program Directorate (GPD), FEMA, National Training and Education Division 400 C Street SW, Washington DC 20472
Email: askcsid@dhs.gov
Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.007 Homeland Security Preparedness Technical Assistance Program;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HSNTP and CTG NOFO.

97.007 Homeland Security Preparedness Technical Assistance Program

(HSPTAP).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Statue Homeland Security Act of 2002, as amended, Public Law 107-296, 503(b)(2)(G) (codified as amended at 6 U.S.C. 313(b)(2)(G))

OBJECTIVES:

The Homeland Security Technical Assistance Program (HSPTAP) is established to build State, local, tribal and territorial (SLTT) capabilities to prevent, protect against, respond to, and recover from major events, including threats or acts of terrorism. This overarching programmatic goal of building state and local capabilities will be achieved through various means and methods, each one aimed at making achieving the National Preparedness Goal and furthering our collective efforts to remain a safe and secure nation. Specific program activities anticipated for achieving this goal will include, but will not be limited to: production and dissemination of written products relating to emerging homeland security, emergency management and public safety issues and established or model state

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homeland security and emergency management efforts; providing educational seminars, training and workshops for apprising newly appointed Governors Homeland Security Advisors (HSAs) and Emergency Management Directors of federal homeland security doctrine, best-practices and established principles; facilitating calls, meetings, or discussions amongst representatives from key stakeholder groups on a regular basis which serve to share information, knowledge, experiences, and practices relating to current and emerging homeland security, emergency management and public safety issues; and, convening trainings, workshops, and other meeting opportunities designed to bring together in a common forum nationwide Homeland Security Advisors, state, local and tribal Emergency Management Directors, as well as other professional organizations in the public and private sectors and to inform partners on the impacts of federal homeland security, emergency management and public safety legislation, regulations and policies on the states. Each recipient will conduct these specific activities to support either State Emergency Management Directors, Homeland Security Advisors to further build SLTT capabilities. An additional key purpose for the HSPTAP is that the program also furthers the building of a national system of emergency management capabilities that can effectively and efficiently utilize the full measure of the Nation's resources to respond to catastrophic incidents, including natural disasters, acts of terrorism, and other man-made emergencies or events. HSPTAP recipients will conduct activities that bring together the collective perspectives of state and local elected officials and the private sector to provide a broad-based sounding board on homeland security issues for all national stakeholders. The program will serve to establish, provide, and maintain a forum for organizations to exchange ideas, conduct targeted discussions, as well as to provide technical assistance to governors offices, associations and organizations on all relevant homeland security policy areas. Finally, HSPTAP will engage the recipient organizations which can work closely with FEMA to develop, transfer, and institutionalize pertinent knowledge at the State and local level for addressing current public policy challenges facing State EM Directors, HSAs and other state and local emergency management officials. HSPTAP recipients, through collaboration with FEMA and other national, regional, state and local entities, will facilitate focused and enhanced communication and coordination among all disciplines and levels of government to address the complex challenges of developing and implementing homeland security policies and practices including: intelligence sharing; State homeland security organization, structure and governance; communications interoperability; critical infrastructure protection; and all hazards disaster prevention, protection, mitigation, preparedness, response and recovery to include areas of emergency management, homeland security, fire, law enforcement, Emergency Management Services (EMS), agriculture, National Guard, emergency communications, and public works. In sum, in addition to building state and local capabilities, the activities conducted under the HSPTAP are structured to address the full spectrum of mission areas, national priorities, and core capabilities outlined in the National Preparedness System and are critical to achieving the National Preparedness Goal.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

For costs associated with developing and delivering technical assistance services to State and local homeland security personnel to address / support the full spectrum of mission areas, national priorities, and target capabilities as outlined in the National Preparedness Guidelines, National Priorities, and national strategies and doctrine related to homeland security. Refer to program guidance for complete information.

Applicant Eligibility:

Other public institution/organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Eligible Applicants: DHS Preparedness Homeland Security Grant Program grantees and sub-grantees.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Refer to FY2019 HSPTAP Notice of Funding Opportunity

Credentials/Documentation:

Refer to FY2019 HSPTAP Notice of Funding Opportunity 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not

required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidance

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Refer to program guidance

Award Procedure:

Refer to program guidance

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

Other.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance Method of awarding/releasing assistance: other

Reports:

Refer to program guidance Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award. For certain types of records, the record retentions period starts at a different point. See 2 C.F.R. 200.334 for more information.

Account Identification:

70-0560-0-1-999.

Obligations:

Project Grants (Cooperative Agreements) FY 20 \$525,000.00; FY 21 est \$525,000.00; FY 22 est \$525,000.00;

Range and Average of Financial Assistance:

Refer to program guidance document.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Fiscal Year 2020: Hosted a panel discussion on resilience during National Governors Associations (NGA) biannual gathering of Governors. Featured three HSAs alongside private sector partners to discuss the innovative ways governors can make smart investments in critical infrastructure, land development, and local economies to advance preparedness. Highlighted how states and private partners could work together to identify and utilize private equity, tailored financing, and federal pre-disaster mitigation funding. As well as partnership to support emergency management goals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to program guidance

Regional or Local Office:

None. <http://www.fema.gov/national-incident-management-system/fema-technical-assistance-division>

Headquarters Office:

Office of Preparedness Integration, FEMA John Allen,
DHS/FEMA500 C Street, Seventh Floor,
Washington DC 20472-3100
Email: john.allen5@fema.dhs.gov
Phone: 202-786-0849

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.067 Homeland Security Grant Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Production and dissemination of written products relating to emerging homeland security, emergency management and public safety issues and established or model state homeland security and emergency management efforts

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.008 Non-Profit Security Program

NSGP,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2021 USC Code (Pub. L. No. 116-260) and Sections 2003 and 2004.

OBJECTIVES:

The FY 2021 Nonprofit Security Program (NSGP) provides funding support for physical security enhancements and other security-related activities to nonprofit organizations that are at high risk of a terrorist attack. The FY 2021 NSGP seeks to integrate the preparedness activities of nonprofit organizations with broader state and local preparedness efforts. There are two funding sources appropriated for nonprofit organizations: 1) Nonprofit Security Grant Program - Urban Area (NSGP-UA): NSGP-UA funds nonprofit organizations located within Urban Area Security Initiative (UASI)-designated urban areas. 2) Nonprofit Security Grant Program - State (NSGP-S): Under NSGP-S, each state will receive a target allocation for nonprofit organizations located outside of UASI-designated urban areas.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used in accordance with 2 C.F.R. Part 200. Grant funds may not be used for matching funds for other Federal grants or cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal Government or any other government entity. Pre-award costs are allowable only with the prior written approval of DHS/FEMA and if they are included in the award agreement. To request pre-award costs a written request must be included with the application, signed by the Authorized Organizational Representative of the entity. The letter

must outline what the pre-award costs are for, including a detailed budget break-out of pre-award costs from the post-award costs, and a justification for approval.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals) The SAA is the only entity eligible to apply for FY 2021 NSGP funds on behalf of eligible nonprofit organizations.

Nonprofit organizations must apply for FY 2021 NSGP through their SAA. A list of SAA points of contact is available at: <http://www.fema.gov/media-library/assets/documents/28689?id=6363>. SAAs, in coordination with the Urban Area Working Groups (UAWG) or other relevant state partners, are encouraged to notify and actively inform eligible nonprofit organizations of the availability of FY 2021 NSGP funding. Eligible nonprofit organizations are those organizations that are: 1. Described under section 501(c)(3) of the Internal Revenue Code of 1986 (IRC) and exempt from tax under section 501(a) of such code. Note: The Internal Revenue Service (IRS) does not require certain organizations such as churches, mosques, and synagogues to apply for and receive a recognition of exemption under section 501(c)(3) of the IRC. Such organizations are automatically exempt if they meet the requirements of section 501(c)(3). These organizations are not required to provide recognition of exemption. For organizations that the IRS requires to apply for and receive a recognition of exemption under section 501(c)(3), the state may or may not require recognition of exemption, as long as the method chosen is applied consistently. Refer to links below for additional information: <https://www.irs.gov/charities-non-profits/charitable-organizations/exemption-requirements-section-501-c-3-organizations> <https://www.irs.gov/publications/p557/ch03.html> <https://www.irs.gov/charities-non-profits>

2. Able to demonstrate, through the application, that the organization is at high risk of a terrorist attack; and 3. For NSGP-UA, located within a UASI-designated urban area; or for NSGP-S, located outside of a UASI-designated urban area. Eligible nonprofits located within UASI-designated urban areas may apply to the SAA to receive funding only under NSGP-UA. Eligible nonprofit organizations located outside of FY 2021 UASI-designated urban areas may apply to the SAA to receive funding only under NSGP-S. DHS/FEMA will verify that nonprofits have applied to the correct program and may disqualify the applications of nonprofits that apply to the wrong program. Beneficiary Eligibility Designations Private nonprofit institution/organization, Interstate, State, Local Private nonprofit institutions/organizations; Interstate, State, Local Eligible nonprofit organizations are those organizations that are: 1. Described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code. Note: The Internal Revenue Service (IRS) does not require certain organizations such as churches, mosques, and synagogues to apply for and receive a recognition of exemption under section 501(c)(3). Such organizations are automatically exempt if they meet the requirements of section 501(c)(3). These organizations are not required to provide recognition of exemption. For organizations that the IRS requires to apply for and receive a recognition of exemption under section 501(c)(3), the state may or may not require recognition of exemption, as long as the method chosen is applied consistently. Refer to the following links for additional information: <https://www.irs.gov/charities-non-profits/charitable-organizations/exemption-requirements-section-501-c-3-organizations>; <https://www.irs.gov/publications/p557/ch03.html>; and <https://www.irs.gov/charities-non-profits>. 2. Able to demonstrate, through the application, that the organization is at high risk of a terrorist attack; and 3. For NSGP-UA, located within a UASI-designated urban area; or for NSGP-S, located outside of a UASI-designated urban area. Eligible nonprofits located within UASI-designated urban areas may apply to the SAA to receive funding only under NSGP-UA. Eligible nonprofit organizations located outside of FY 20202021 UASI-designated urban areas may apply to the SAA to receive funding only under NSGP-S. DHS/FEMA will verify that nonprofits have applied to the correct program and may disqualify the applications of nonprofits that apply to the wrong program. For more information, refer to the FY 20202021 NSGP Notice of Funding Opportunity (NOFO) on Grants.gov.

Beneficiary Eligibility:

Private nonprofit institution/organization; Interstate; State; Local; Private nonprofit institutions/organizations; Interstate, State, Local Eligible nonprofit organizations are those organizations that are: 1. Described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such code. Note: The Internal Revenue Service (IRS) does not require certain organizations

ASSISTANCE LISTINGS DESCRIPTIONS

such as churches, mosques, and synagogues to apply for and receive a recognition of exemption under section 501(c)(3). Such organizations are automatically exempt if they meet the requirements of section 501(c)(3). These organizations are not required to provide recognition of exemption. For organizations that the IRS requires to apply for and receive a recognition of exemption under section 501(c)(3), the state may or may not require recognition of exemption, as long as the method chosen is applied consistently. Refer to the following links for additional information: <https://www.irs.gov/charities-non-profits/charitable-organizations/exemption-requirements-section-501-c-3-organizations>; <https://www.irs.gov/publications/p557/ch03.html>; and <https://www.irs.gov/charities-non-profits>. 2. Able to demonstrate, through the application, that the organization is at high risk of a terrorist attack; and 3. For NSGP-UA, located within a UASI-designated urban area; or for NSGP-S, located outside of a UASI-designated urban area. Eligible nonprofits located within UASI-designated urban areas may apply to the SAA to receive funding only under NSGP-UA. Eligible nonprofit organizations located outside of FY 2020 UASI-designated urban areas may apply to the SAA to receive funding only under NSGP-S. DHS/FEMA will verify that nonprofits have applied to the correct program and may disqualify the applications of nonprofits that apply to the wrong program. For more information, refer to the FY 2020 NSGP Notice of Funding Opportunity (NOFO) on Grants.gov.

Credentials/Documentation:

Organizations must meet the requirements under Section 501(c)(3) of the Internal Revenue Code of 1986 (IRC) and exempt from tax under section 501(a) of such code. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For more information, refer to the NSGP NOFO located online at <http://www.fema.gov/grants> as well as on www.grants.gov. Each eligible nonprofit organization must submit the following to their SAA: Vulnerability/Risk Assessment: A vulnerability/risk assessment specific to the location/facility for which the nonprofit organization is applying. Currently, there are no other FEMA specific requirements for the vulnerability assessment. NSGP Investment Justification (IJ): The IJ is the application form used to apply for NSGP. It must include the nonprofit organizations risks, vulnerabilities, and the proposed projects that are intended to address/mitigate the identified risks and vulnerabilities. Proposed projects must be for the locations that the nonprofit occupies at the time of application. Applicants can find the NSGP IJ DHS/FEMA Form 089-24 (OMB Control Number: 1660-0110) on grants.gov (Search Grants Tab; CDF: 97.008). Mission Statement: A Mission Statement and any mission implementing policies or practices that may elevate the organizations risk. The SAA will use the Mission Statement along with the information provided in the IJ to determine the central purpose of the organization and will validate the nonprofits organization type selected by the nonprofit organization on the IJ. The organization type may be one of the following: 1) Ideology-based/Spiritual/Religious; 2) Educational; 3) Medical; or 4) Other. Supporting documentation related to actual incidents that have occurred at the location/facility: If applicable, nonprofit organizations may include any proof of actual incidents that have occurred at the location or facility; this includes items such as police reports or photographs (include a brief description of the items you are submitting in your IJ). Any other SAA required documentation: Contact your SAA to get information on any additional requirements. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Financial Integrity Criteria: Prior to making a federal award, DHS/FEMA is required by 31 U.S.C. 3354, as amended, by the Payment Integrity Information Act of 2019, Pub. L. No. 116-117 (2020); 41 U.S.C. 2313; and 2 C.F.R. 200.206 to review information available through any OMB-designated repositories of government wide eligibility qualification or financial integrity information. Therefore, application evaluation criteria may include the following risk-based considerations of the applicant: 1. Financial stability. 2. Quality of management systems and ability to meet management standards. 3. History of performance in managing federal award. 4. Reports and findings from audits. 5. Ability to effectively implement statutory, regulatory, or other requirements. Supplemental Financial Integrity Criteria and Review Prior to making a federal award where the anticipated total federal share will be greater than the simplified acquisition threshold, currently \$250,000: 1. DHS/FEMA is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through the System for Award Management (SAM), which is currently the Federal Awardee Performance and Integrity Information System (FAPIS) and is accessible through the SAM website. 2. An applicant, at its option, may review information in FAPIS and comment on any information about itself that a federal awarding agency previously entered. DHS/FEMA will consider any comments by the applicant, in addition to the other information in FAPIS, in making a judgment about the applicants integrity, business ethics, and record of performance under federal awards when completing the review of risk posed by applicants as described in 2 C.F.R. 200.206. Security Review DHS Intelligence and Analysis (I&A) receives a list of potential NSGP awardee organizations, which it reviews against U.S. intelligence community (IC) reporting. Any potentially derogatory information, as well as any potentially mitigating information, that could assist in determining if a security risk exists is sent to FEMA and is used in making final award decisions. All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions. Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards. For more information, refer to the FY 2021 NSGP NOFO.

Deadlines:

Refer to Notice of Funding Opportunity

Range of Approval/Disapproval Time:

Other. The Funding Selection Announcement Date was 07/16/2021. The Period of Performance is 36 months from date of award.

Appeals:

Not Applicable. Refer to the NSGP Notice of Funding Opportunity.

Renewals:

Not Applicable. Refer to the NSGP Notice of Funding Opportunity.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Projected Award Start Date(s): September 30, 2020/2021 Projected Award End Date(s): August 31, 2023/2024 Period of Performance: 36 months The period of performance outlined above supports the effort to expedite the outlay of federal assistance to support the program objectives. SAAs should request waivers sparingly, and they will be granted only due to compelling legal, policy, or operational challenges. Method of awarding/releasing assistance: Based on program need. Recipients Grantees must accept their grant awards no later than 690 days from the award date. The recipient grantee shall notify the awarding agency of its intent to accept and proceed with work under the award or provide a written notice of intent to decline. Funds will remain on hold until the grantee accepts the award through official correspondence, e.g., written, electronic signature, signed letter or fax to GPD, and all other conditions of award have been

ASSISTANCE LISTINGS DESCRIPTIONS

satisfied, or the award is otherwise rescinded. Method of awarding/releasing assistance: Method of awarding/releasing assistance: other

Reports:

Recipients are responsible for providing updated performance reports on a biannual basis as an attachment in ND Grants. The Program Performance Report (PPR) should include the following: A brief narrative of overall project(s) status A summary of project expenditures A description of any potential issues that may affect project completion Federal Financial Report (FFR) Recipients must report obligations and expenditures ported on a quarterly basis through the FFR (SF-425) to DHS/FEMA. Recipients must file the FFR electronically using the Payment and Reporting Systems (PARS). A FFR must be submitted quarterly throughout the period of performance, including partial calendar quarters, as well as for periods where no grant award activity occurs. The final FFR is due 120 days after the end of the performance period. Progress Reports: Recipients will be required to report on progress towards implementing projects described in their application on a biannual basis. The following periods and due dates apply to the PPR: Reporting Period Report Due date January 1 - June 30: July 30; July 1 - December 31: January 30. For additional details, see the NSGP NOFO and the Preparedness Grants Manual. Grantees Recipients are obligated to submit various financial and programmatic reports as a condition of their award acceptance. Please see below for a summary of financial and/or programmatic reports as required. Future awards and funds drawdown may be withheld if these reports demonstrate lack of progress, or are insufficient in detail. Monitoring. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 FEMA grant recipients are subject to audit oversight from multiple entities including the DHS OIG, the GAO, the pass-through entity, or independent auditing firms for single audits, and may cover activities and costs incurred under the award. Auditing agencies such as the DHS OIG, the GAO, and the pass-through entity, if applicable, and FEMA in its oversight capacity, must have access to records pertaining to the FEMA award. Recipients and subrecipients must retain award documents for at least three years from the date the final FFR is submitted, and longer in some cases, subject to the requirements of 2 C.F.R. 200.334. In the case of administrative closeout, documents must be retained for at least three years from the date of closeout, or longer, subject to 2 C.F.R. 200.334. If documents are retained longer than the required retention period, the DHS OIG, GAO, and pass-through entity, as well as FEMA in its oversight capacity, have the right to access these records as well. See 2 C.F.R. 200.334, 200.337. Additionally, non-federal entities must comply with the single audit requirements at 2 C.F.R. Part 200, Subpart F. Specifically, non-federal entities, other than for-profit subrecipients, that expend \$750,000 or more in federal awards during their fiscal year must have a single or program-specific audit conducted for that year in accordance with Subpart F. 2 C.F.R. 200.501. A single audit covers all federal funds expended during a fiscal year, not just FEMA funds. The cost of audit services may be allowable per 2 C.F.R. 200.425, but non-federal entities must select auditors in accordance with 2 C.F.R. 200.509, including following the proper procurement procedures. The objectives of single audits are to: Determine if financial statements conform to generally accepted accounting principles (GAAP); Determine whether the schedule of expenditures of federal awards is presented fairly; Understand, assess, and test the adequacy of internal controls for compliance with major programs; and Determine if the entity complied with applicable laws, regulations, and contracts or grants. For single audits, the auditee is required to prepare financial statements reflecting its financial position, a schedule of federal award expenditures, and a summary of the status of prior audit findings and questioned costs. The auditee also

is required to follow up and take appropriate corrective actions on new and previously issued but not yet addressed audit findings. The auditee must prepare a corrective action plan to address the new audit findings. 2 C.F.R. 200.508, 200.510, 200.511. Non-federal entities must have an audit conducted, either single or program-specific, of their financial statements and federal expenditures annually or biennially pursuant to 2 C.F.R. 200.504. Non-federal entities must also follow the information submission requirements of 2 C.F.R. 200.512, including submitting the audit information to the Federal Audit Clearinghouse within the earlier of 30 calendar days after receipt of the auditors report(s) or nine months after the end of the audit period. The audit information to be submitted include the data collection form described at 2 C.F.R. 200.512(c) and Appendix X to 2 C.F.R. Part 200 as well as the reporting package described at 2 C.F.R. 200.512(b). The non-federal entity must retain one copy of the data collection form and one copy of the reporting package for three years from the date of submission to the Federal Audit Clearinghouse. 2 C.F.R. 200.512; see also 2 C.F.R. 200.517 (setting requirements for retention of documents by the auditor and access to audit records in the auditors possession). FEMA, the DHS OIG, the GAO, and the pass-through entity, if applicable, as part of monitoring or as part of an audit, may review a non-federal entity's compliance with the single audit requirements. In cases of continued inability or unwillingness to have an audit conducted in compliance with 2 C.F.R. Part 200, Subpart F, FEMA and the pass-through entity, if applicable, are required to take appropriate remedial action under 2 C.F.R. 200.339 for noncompliance, pursuant to 2 C.F.R. 200.505.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0560-0-1-754.

Obligations:

Formula Grants FY 20 \$90,000,000.00; FY 21 est \$180,000,000.00; FY 22 est \$180,000,000.00;

Range and Average of Financial Assistance:

For FY 2021 NSGP-UA, nonprofits may apply for up to \$150,000. For FY 2021 NSGP-S, nonprofits may apply for up to \$150,000 but the State Administrative Agency (SAA) may cap the maximum grant award at a lesser amount.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Fiscal Year 2021: \$180,000,000 in NSGP funding will be provided to nonprofit organizations that are at high risk of a terrorist attack to support their physical security needs.

REGULATIONS, GUIDELINES, AND LITERATURE:

ASSISTANCE LISTINGS DESCRIPTIONS

The following 2 C.F.R. Part 200 requirements apply to this assistance listing: Subpart A, Acronyms and Definitions Subpart B, General provisions Subpart C, Pre-Federal Award Requirements and Contents of Federal Awards Subpart D, Post Federal; Award Requirements Subpart E, Cost Principles Subpart F, Audit Requirements

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management,
400 C Street SW,
Washington DC 20472
Email: askcsid@fema.dhs.gov
Phone: 18003686498
Fax:

Website Address:

<http://www.fema.gov/grants>

RELATED PROGRAMS:

97.042 Emergency Management Performance Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 FY 2021 NSGP allowable costs are focused on security-related activities. Generally, funding can be used for contracted security personnel, as well as security-related planning; exercises; training; and the acquisition and installation of security equipment on real property (including buildings and improvements) owned or leased by the nonprofit organization at the time of application. Below are some examples of each: Planning Activities related to the development of plans such as: o Security Risk Management Plans o Continuity of Operations Plans o Response Plans Equipment: Authorized Equipment List Sections 14 and 15 only; examples include: o Access control equipment o Surveillance equipment o Physical protective measures such as fences, blast-proof windows, and concrete barriers Training: Active Shooter Training o Security Training for employees, or members/congregation Exercises: Response exercises For a complete description on allowable investments, see the FY 2021 NSGP Notice of Funding Opportunity (NOFO).

CRITERIA FOR SELECTING PROPOSALS:

FY 2021 NSGP applications will be scored by the SAA in coordination with its state, territory, and urban area partners, as applicable. The SAA will submit a prioritized list of IJs with all scores to FEMA. FEMA will review the highest state scored applications. In the final scoring process, organizations that are at risk due to their ideology, beliefs, or mission are prioritized; additionally, organizations that have never received NSGP funding are also prioritized. For additional information on how IJs are reviewed and scored at the SAA and Federal levels, please refer to the FY 2021 NSGP NOFO.

97.010 Citizenship Education and Training

FEDERAL AGENCY:

U.S. CITIZENSHIP AND IMMIGRATION SERVICES, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Consolidated Appropriations Act, 2021, Title IV, Section , Public Law 116-260-93

OBJECTIVES:

The Office of Citizenship (OoC), within U.S. Citizenship and Immigration Services (USCIS), Department of Homeland Security (DHS), is charged with promoting instruction and training on the rights and responsibilities of citizenship. USCIS recognizes that naturalization is the most important milestone in the civic integration of immigrants. Naturalization requirements, such as knowledge of English and of U.S. history and civics, encourage civic learning and build a strong foundation upon which immigrants can fully integrate into society. Through preparing for naturalization, immigrants will gain the tools to become successful citizens and meet their responsibilities as United States citizens. The goal of the Citizenship and Integration Grant Program is to expand the availability of high quality citizenship preparation services for lawful permanent residents (LPRs)

across the nation and to provide opportunities for lawful permanent residents to gain the knowledge and training necessary to integrate into the fabric of American society. Additional activities that support this goal include developing, identifying, and sharing best practices in citizenship preparation; increasing the use of and access to technology in citizenship preparation programs; working with local libraries and museums which serve as vital resources for immigrant communities; and incorporating strategies to foster welcoming communities as part of the citizenship and civic integration process. Furthermore, the goals of the Citizenship and Integration Grant Program address the DHS mission to Enforce and Administer Our Immigration Laws as stated in the 2014 Quadrennial Homeland Security Review as the program provides lawful permanent residents instruction on the rights and responsibility of U.S. citizenship and information and support on how to apply for naturalization within the authorized practice of immigration law.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Project Grants (Contracts)

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the agreement, and must be consistent with the statutory authority for the award. Expenses that may be paid for with grant funds include the following: Resources to support citizenship instruction and naturalization application services, including staff salaries, textbooks/materials, standardized assessments, software, etc. Restrictions on use of funds will be identified in the announcement/program guidance and award provisions.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Community-and faith-based organizations, public libraries, as well other nonprofit institutions/organizations that conduct citizenship education classes for permanent residents, are eligible. Restrictions on the type of organization eligible to apply for a specific funding opportunity will be identified in the announcement.

Beneficiary Eligibility:

Refugee/Alien; Immigrant Service Providers; Refugee/Alien.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. 2 CFR 200, Subpart E- Cost Principles apply to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Deadline: Contact DHS at citizenshipgrantprogram@uscis.dhs.gov or CISMailbox@hq.dhs.gov for future application deadlines.

Appeals:

Other. None

Renewals:

Other. Grant recipients must reapply for continued funding.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 10%. Requirement: Matching requirements are mandatory.

ASSISTANCE LISTINGS DESCRIPTIONS

Administrative costs capped at 15% MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Monetary awards may be subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Grant recipients are required to file: 1. Quarterly performance and financial reports during the performance period; and 2. Final performance and financial reports within three months of the close of performance period. Federal Financial Reports, SF-425, are due 30 days after each calendar quarter and within three months of the close of the performance period. Grant recipients are required to file: 1. Quarterly performance reports during the performance period, and 2. Final performance reports within three months of the close of performance period. Federal Financial Reports, SF-425, are due 30 days after each calendar quarter and within three months of the close of the performance period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0300-0-1-751.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$10,000,000.00; FY 22 est \$10,000,000.00;

Range and Average of Financial Assistance:

Not applicable for dissemination of technical information projects.

TAFS Codes:

70-5088.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Since its inception, the Citizenship and Integration Program has served a total of 279,416 Lawful Permanent Residents (LPRs) under all its funding opportunities. 127,015 LPRs have enrolled in citizenship classes; 190,891 LPRs have been screened for naturalization eligibility; 122,602 LPRs submitted their Forms N-400; and 66,169 LPRs have become naturalized citizens.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

USCIS Stephen T. McHale,
245 Murray Lane, SW, Bldg. 410, Stop 0115,
Washington DC 20528

Email: Stephen.T.McHale@uscis.dhs.gov

Phone: 202-357-7927

Fax:

Website Address:

<http://www.uscis.gov/grants>

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.012 Boating Safety Financial Assistance

RBS Program,

FEDERAL AGENCY:

U.S. COAST GUARD, HOMELAND SECURITY, DEPARTMENT OF AUTHORIZATION:

Public Law 114-94, 46 USC Code 131.

OBJECTIVES:

The U.S. Coast Guard supports the recreational boating safety community by funding three grant programs that encourage greater participation and uniformity in boating safety; to reduce the number of accidents, injuries, and deaths on domestic waterways; to permit states, territories, and/or nonprofit organizations to assume the greater share of boating safety education, assistance, and enforcement activities; to assist states, territories, and/or nonprofit organizations in developing, carrying out, and financing their recreational boating safety programs; and to gather important information and data regarding the boating community, the number and different types of boats operated domestically, and how many hours are spent operating on the water.

TYPES OF ASSISTANCE:

Formula Grants, Project Grants

USES AND USE RESTRICTIONS:

Please see the Notice of Funding Opportunity for program-specific information. Program objectives and priorities, directing how assistance may be used, may vary per competition. Nonprofit applicants should review the competition announcement for a list of Areas of Interest. States and Territories may use funds for: acquisition, maintenance and operating costs of state recreational boating safety program facilities, equipment, and supplies; personnel salaries and reimbursable expenses; costs of training personnel; public boating safety education; cost of acquiring, constructing and repairing public access sites; costs of administering the program; and other expenses which the Secretary considers appropriate. All expenditures under the national recreational boating safety survey must be for activities related to the National Recreational Boating Safety Survey Grant Program.

Applicant Eligibility:

State; U.S. Territories and possessions; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Other private institutions/organizations; States & Territories: States and Territories must have a Coast Guard-approved boating safety program as described by 46 U.S.C. 131: Recreational Boating Safety. Nonprofits: Organizations do not have to be boating-related, but it must be a nongovernmental organization that has been accorded tax exempt status which is defined as having, or being capable of obtaining an IRS tax exempt classification (26 U.S.C. 501(c)(3)). Boating Safety Survey Grant: Institutions of higher education or other public nonprofit institution/organization, quasi-public nonprofit institution/organization, or other private institution/organization that can sufficiently demonstrate through their application significant experience in their ability to design, manage, and complete a nationwide statistical survey for the Federal Government.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); Quasi-public nonprofit organization; Other private institution/organization; State; Local; Sponsored organization; Public nonprofit institution/organization; Other public institution/organization; Private nonprofit institution/organization; Please see the Notice of Funding Opportunity for program-specific information.

Credentials/Documentation:

Please see the Notice of Funding Opportunity for program-specific credentials and/or required documentation. Our requirements may vary per competition. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

ASSISTANCE LISTINGS DESCRIPTIONS

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please see the Notice of Funding Opportunity for program-specific application procedures. Our procedures vary per competition. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Please see the published Notice of Funding Opportunity for program-specific award procedures.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter 46, Part 13101-13109, Subpart ,Public Law 114-94.Matching Requirements: Percent: 50%. Requirement: Matching requirements are mandatory. States and Territories: The Federal share of funds expended on boating safety may not exceed 50 percent of total cost of a state program. There is no matching requirement for the nonprofit and national recreational boating safety survey grant programs. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Please see the Notice of Funding Opportunity for program-specific information. The length of award and period of performance may vary per competition. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Please see the Notice of Funding Opportunity for information on program reports. Grantees are provided post-award guidance on how to track and report cash on-hand. Please see the Notice of Funding Opportunity for information on progress reports. Grantees are provided post-award guidance on how to track and report expenditures. Please see the Notice of Funding Opportunity for information on performance reports.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Account Identification:

70-8149-0-7-403.

Obligations:

Project Grants FY 19 \$110,134,520.00; FY 20 est \$113,553,583.00; FY 21 est \$109,554,485.00;

Range and Average of Financial Assistance:

Please see our website for previous and current awards: <http://www.uscgboating.org/grants/grant-archives.php>. State and Territory grants are determined by a three-part formula mandated by statute. The 2020 nonprofit grants range from \$50,000 to \$500,000. The average award amount is approximately \$144,000.

TAFS Codes:

70-8149.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Grants Management Branch Chief, U.S. Coast Guard Pavlo Oborski,
2703 Martin Luther King Jr. Ave, SE,
Washington DC 20593
Email: Pavlo.Oborski@uscg.mil
Phone: (202) 372-1055

Website Address:

<http://www.uscgboating.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see the Notice of Funding Opportunity for program-specific selection criteria.

97.018 National Fire Academy Training Assistance

Student Stipend Reimbursement Program,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Federal Fire Prevention and Control Act of 1974, Section 7, Public Law 93-498, 15 USC Code 2206(f). Homeland Security Act of 2002, , Public Law 107-296, Executive Order Title Executive Order 12127, Federal Emergency Management Agency, 12127, 44 Fed. Reg. 19,367, 3 C.F.R., 1979 Comp., P. 376,

OBJECTIVES:

To provide travel stipends to first responders and emergency managers attending National Fire Academy (NFA) courses. NFA curricula and programs are designed to support PPD-8 (<https://www.dhs.gov/presidential-policy-directive-8-national-preparedness>) (through training programs which support State and local response agencies to prevent, mitigate, prepare for, and respond to local, regional and national emergencies.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants (including travel grants)

USES AND USE RESTRICTIONS:

NFA training assists first responders and emergency managers in building and improving capabilities necessary to prepare, prevent, protect against, mitigate the effects of, respond to, and recover from man-made and natural disasters. Presently, the program covers reimbursement for the cost of a 21-day pre-purchase, nonrefundable ticket for round-trip transportation by common carrier, or privately owned vehicle mileage reimbursement not to exceed the cost of the airline ticket; for NFA-sponsored on-campus program courses at the NFA. For NFA-sponsored on-campus courses, students are required to pay the cost of meals daily. Reimbursements are not made to Federal employees who are attending on behalf of their employer, employees from private industry, or foreign students.

Applicant Eligibility:

Sponsored organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Any student who is a member of a fire department or has significant responsibility for fire prevention

ASSISTANCE LISTINGS DESCRIPTIONS

and control and has been accepted into an eligible course at NFA may apply for stipend reimbursement. Federal or private industry employees or foreign students may be accepted into NFA courses but are not eligible for stipend reimbursement.

Beneficiary Eligibility:

Student/Trainee; Student or sponsoring organization.

Credentials/Documentation:

Student Stipend Agreement (FEMA Form 75-3) and Student Stipend Agreement (Amendment) (FEMA Form 75-3a) accompanied by a copy of the required supporting documentation. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. This program is generally excluded from coverage under 2 C.F.R. Part 200, Uniform Administrative Requirements, Subpart E-Cost Principles, and Subpart F-Audit Requirements for Federal Awards. Application is made to the National Emergency Training Center Admission's Office using the General Admissions Application (FEMA Form 119-25-1) and Student Stipend Agreement (Amendment) (FEMA Form 119-25-2) after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation. The completed agreement package will be processed immediately.

Award Procedure:

The completed agreement package will be processed immediately. A U.S. Department of the Treasury payment schedule will be prepared and a reimbursement will be issued electronically to the beneficiary's bank account.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. https://www.usfa.fema.gov/training/nfa/about/training_costs.html or NETC Welcome Package (https://www.usfa.fema.gov/downloads/pdf/netc_welcome_package.pdf).

Appeals:

Other. May be made to the Superintendent of the National Fire Academy, or his designee.

Renewals:

Other. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

See Program Guidance

https://www.usfa.fema.gov/training/nfa/about/training_costs.html or in the NETC Welcome Package

(https://www.usfa.fema.gov/downloads/pdf/netc_welcome_package.pdf). Method of awarding/releasing assistance: other

Reports:

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

None.

Account Identification:

70-0564-0-1-800.

Obligations:

Project Grants (including travel grants) FY 20 \$897,103.00; FY 21 est \$1,774,998.00; FY 22 est \$1,775,000.00;

Range and Average of Financial Assistance:

Refer to Program Guidance. Guidance can be found in the NETC Welcome Package (https://www.usfa.fema.gov/downloads/pdf/netc_welcome_package.pdf).

TAFS Codes:

70-0564.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal Year 2020: NFA trained 84,389 fire and emergency services personnel through NFA-sponsored 2-, 6-, and 10-day on- and off-campus courses, State-sponsored 2-, 6-, 10-day courses, and NFA Online distance learning courses. The number of students is lower than normal because all resident courses were canceled from March September due to COVID-19. Financial assistance/stipends were paid to 2,007 students attending 129 resident 6- and 10-day course offerings at the NETC campus. With continued restrictions due to COVID-19, the NFA did not resume on-campus classes until April 12, 2021. Only a limited number of stipends have been processed since classes just resumed. It is anticipated that the amount paid to stipends will be significantly lower than previous years due to cancellation of courses until the April timeframe, and delivering courses for the remainder of the FY at 50% capacity.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following 2 C.F.R. Part 200 requirements apply to this assistance listing: Subpart A, Acronyms and Definitions; Subpart B, General provisions; The following 2 C.F.R. Part 200 requirements are excluded from coverage under this assistance listing: Subpart C, Pre-Federal Award Requirements and Contents of Federal Awards; Subpart D, Post Federal; Award Requirements; Subpart E, Cost Principles; Subpart F, Audit Requirements

Regional or Local Office:

Headquarters Office:

U.S. Fire Administration, FEMA,
500 C Street, S.W.,
Washington DC 20472
Email: NETC-Admissions@dhs.gov
Phone: (1-800) 238-3828

Website Address:

<http://www.usfa.fema.gov>

RELATED PROGRAMS:

97.043 State Fire Training Systems Grants;

EXAMPLES OF FUNDED PROJECTS:

2021 Funding is used to reimburse students traveling to attend NFA courses at the National Emergency Training Center (NETC) in Emmitsburg, MD. Students are reimbursed for round trip airline, train, or bus ticket, or mileage for POV (one round trip) to the NETC training location.

CRITERIA FOR SELECTING PROPOSALS:

https://www.usfa.fema.gov/training/nfa/about/training_costs.html or in the NETC Welcome Package

(https://www.usfa.fema.gov/downloads/pdf/netc_welcome_package.pdf).

97.022 Flood Insurance

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

The National Flood Insurance Act of 1968, 42 U.S.C. 4001 et seq. authorized the National Flood Insurance Program (NFIP), Title 13, Public Law 90-448, 44 CFR, Ch. 1, Subch. B,

OBJECTIVES:

To enable personal and business property owners and renters to purchase flood insurance coverage for buildings and/or contents in low-to-moderate flood risk areas, as well as in high-risk flood zones to reduce taxpayer provided federal

ASSISTANCE LISTINGS DESCRIPTIONS

disaster assistance and to promote wise floodplain management practices in the Nation's high-risk flood zones.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

The maximum limits of flood insurance coverage for a building and/or personal property, eligible building types, and more detailed additional flood insurance information is contained in the Flood Insurance Manual available online at <https://www.fema.gov/flood-insurance-manual>. In a Regular Program Community the residential building limit is \$250,000 and non-residential building limit is \$500,000. The residential contents limit is \$100,000 and the non-residential contents limit is \$500,000. Lower limits apply to a limited number of Emergency Program buildings and buildings in Alaska, Guam, Hawaii and U.S. Virgin Islands and are listed in the Flood Insurance Manual. The Flood Disaster Protection Act of 1973, as amended by the Flood Insurance Reform Act of 1994, requires the purchase of flood insurance as a condition of receiving any form of Federal or federally-related financial assistance for acquisition or construction, including Federal grants, disaster assistance, the Small Business Administration (SBA) low-interest disaster assistance loans, and mortgage loans from federally regulated lending institutions and Federal Housing Administration (FHA), Farm Credit Administration, Veterans Administration (VA) or guaranteed mortgages secured by buildings in high-risk flood zones, which are called the Special Flood Hazard Areas (SFHAs). Communities having one or more identified SFHAs must enter into the NFIP within 1 year of the official identification of the SFHAs or be denied Federal financial assistance for acquisition or construction purposes within those areas. Federally regulated conventional sources (i.e., banks, savings and loan associations, or similar lending institutions) are permitted to make conventional loans secured by improved real estate or Manufactured Housing located or to be located in SFHAs of a nonparticipating community which has been formally identified as flood-prone for more than 1 year, but Federal disaster assistance for acquisition or construction purposes will not be available in the event of flood or flood-related property damage. The conventional lender is statutorily required to notify the borrower, before making a loan in such an area, that Federal flood insurance and disaster assistance will not be available to the property. The Coastal Barrier Improvement Act of 1990 amended the Coastal Barrier Resources Act of 1982 by greatly expanding the acreage included in the designated coastal barrier resources system. The 1990 Act also added "otherwise protected areas." "Otherwise protected areas" are defined as an undeveloped coastal barrier within the boundaries of an area established under Federal, State, or local law, or held by a qualified organization, primarily for wildlife refuge, sanctuary, recreational or natural resource conservation purposes. The 1990 Act prohibits the sale of new flood insurance on or after November 16, 1990 for new construction or substantial improvements of structures located on any new coastal barrier within the Coastal Barrier Resources System designated or modified by this Act. It also prohibits the sale of new flood insurance on or after November 16, 1991 for new construction or substantial improvements of structures located in an otherwise protected area that are not used in a manner consistent with the purpose of the otherwise protected area. Subsequent pieces of legislation have added or deleted areas.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federal flood insurance can be made available in any community (a State or political subdivision thereof with authority to adopt and enforce floodplain management measures for the areas within its jurisdiction) that adopts and enforces floodplain management measures consistent with the National Flood Insurance Program regulations.

Beneficiary Eligibility:

Individual/Family; Homeowner; Land/Property Owner; Residential and business property owners, renters and state owned property.

Credentials/Documentation:

Same as Applicant Eligibility. 2 CFR Part 200, Subpart E - Cost Principles does not apply to this program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Community officials must submit an NFIP eligibility application form, which is available from the FEMA, together with: copies of adopted floodplain management measures meeting the minimum standards of 44 CFR Section 60.3(a), 60.3(b), 60.3(c), 60.3(d), and/or 60.3(e), as appropriate for the type of flood hazards identified; a list of any incorporated communities within the applicant's boundaries; and estimates of population and, by kind, of buildings situated in the known flood-prone areas of the community. Such Applications should be submitted to the Mitigation Directorate, FEMA, Washington, DC 20024.

Award Procedure:

FEMA reviews the application and if complete, designates the community as participating. The community is informed of its admission, and notice is published in the Federal Register. Residents and property owners may then purchase flood insurance through any property insurance agent or broker.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. For complete applications, a maximum of 10 working days is allowed for review and notification. Communities with one or more identified special flood hazard areas must enter the program within 1 year after the identification of those areas or else prohibitions against Federally related financial assistance for acquisition or construction purposes in identified special flood hazard areas take force. Once the community does qualify, after the prescribed date, these prohibitions are removed. Adequate floodplain management measures must be in effect within 6 months of the date that the special flood hazard area is identified and within 6 months of the date flood water surface elevations are provided.

Appeals:

Other. Communities are allowed to appeal flood-prone identification and are also given an opportunity to appeal proposed flood elevation determinations for new construction and substantial improvement of existing structures in the special flood hazard areas. Notice of the proposed elevations is published in the Federal Register and is sent directly to the local governments. Following notification to the local government, the notice of proposed flood elevation determinations is published twice during a 10-day period in a prominent newspaper. The local community and its individual residents then have 90 days following the second newspaper notice to submit any scientific or technical data that tend to negate or contradict FEMA's findings. If a conflict in data exists and cannot be resolved at the community level, the community consolidates all individual appeals for review by FEMA. FEMA shall resolve the appeals by consultation with officials of the local government, or by submission of conflicting data to an independent scientific body, or by administrative hearings. When a flood insurance claim from a policyholder is denied in writing by an insurer participating in the NFIP, the policyholder may appeal the denial to FEMA. See 44 C.F.R. 62.20. The policyholder must submit the appeal within 60 days of the date of the denial letter, include a copy of the denial letter, state the basis for the appeal in writing, and include any relevant information that supports a different claim decision. FEMA will review the information submitted by the policyholder, as well as the claim file documentation from the insurer, and submit its decision in writing to the policyholder.

Renewals:

Not Applicable. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: Refer to program guidance.

Method of awarding/releasing assistance: other

Reports:

Every two years to FEMA on progress of the floodplain management program.

Refer to program guidance. Refer to program guidance. Refer to program guidance.

Refer to program guidance.

Audits:

ASSISTANCE LISTINGS DESCRIPTIONS

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Account Identification:

70-4236-0-4-453.

Obligations:

Insurance FY 20 \$2,737,359.00; FY 21 est \$4,233,371.00; FY 22 est \$5,923,371.00;

Range and Average of Financial Assistance:

Minimum Payment \$ 2.19m Maximum Payment \$ 1,116,266.35

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Cutover to Pivot as new NFIP System of Record Develop new policy forms that align with a new rating scheme to further the goal of a transformed NFIP. Deliver an enhanced data analytics tool set. Publish the updated claims and underwriting manuals. Deliver targeted messages to consumers to encourage flood insurance purchases, and the retention of current policyholders. Implemented the Disaster Recovery Reform Act of 2018 (DRRA), Section 1212 for Group Flood Insurance Policy (GFIP) which doubled the maximum coverage limit. Increased oversight of WYO claims and underwriting processes from triennial operation reviews to annual operation reviews. Launched customer service surveys through NFIP Direct Lab for improved policyholder claims experience. Strengthened partnerships with industry partners (insurance adjusters, WYO companies, vendors) through increased engagement.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation 44 CFR 59 et seq. Publication, "Answers to Questions About the National Flood Insurance Program," "Mandatory Purchase of Flood Insurance Guidelines," "Elevated Residential Structures," "Guide To Flood Insurance Rate Maps," "Flood Insurance Manual" (agents manual), "National Flood Insurance Program. Application Forms," "Manufactured Home Installation in Flood Hazard Areas," "Coastal Construction Manual," "Alluvial Fans: Hazards and Management," "Floodplain Management in the United States: An Assessment Report," "Design Guidelines for Flood Damage Reduction" and "Repairing Your Flooded Home," "Technical Bulletin Series (FIA-TB)" "Answers to Questions About Substantially Damaged Buildings," "Reducing Losses in High Risk Flood Hazard Areas," "Design Manual for Retrofitting Flood-Prone Residential Structures" and "Flood Proofing Non-Residential Structures."

Regional or Local Office:

See Regional Assistance Locations. NFIP Regional Offices are listed online at: http://www.fema.gov/business/nfip/nfip_regions.shtm Contact the appropriate FEMA regional office, or the State office responsible for coordinating the NFIP activities.

Headquarters Office:

Dep. Asst. Admin., Federal Insurance Directorate Paul Huang - Asst. Admin., Federal Insurance Directorate, FIMA, FEMA, 400 C Street SW Washington, DC 20024, Washington DC 20024

Email: Paul.Huang@fema.dhs.gov

Phone: (800) 621-FEMA (3363)

Website Address:

<http://www.fema.gov/business/nfip>

RELATED PROGRAMS:

59.008 Disaster Assistance Loans; 10.450 Crop Insurance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.023 Community Assistance Program State Support Services Element (CAP-SSSE)

(CAP-SSSE),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

The National Flood Insurance Act of 1968, , Public Law 90-448, 42 USC Code 4001 et seq.

OBJECTIVES:

The Community Assistance Program State Support Services Element (CAP-SSSE) program provides funding to states and territories to provide technical assistance to communities in the National Flood Insurance Program (NFIP) and to evaluate community performance in implementing NFIP floodplain management activities. CAP-SSSE aligns with and supports Presidential Policy Directive 8 and DHS Strategic Goal 5: to Strengthen National Preparedness and Resilience. CAP-SSSE strives to leverage state knowledge and expertise to provide support to communities through activities that most effectively reduce flood losses. The CAP-SSSE cooperative agreement funds eligible activities to enable state NFIP coordinating offices to meet the provisions set forth in 44 C.F.R. 60.25 and the goals and performance expectations of the funding agency: FEMA and the NFIP.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CAP-SSSE funds are used for activities that support the NFIP. Such activities include the fostering of effective floodplain management programs in participating communities and assisting non-participating communities in enrolling in the NFIP.

Applicant Eligibility:

Government - General; The Cooperative Agreement is only available to the state or territorial agency so designated by the Governor, as the state or territorial National Flood Insurance Program State Coordinating Agency, (44 C.F.R. 60.25). The CAP-SSSE is administered through the Mitigation Division, Floodplain Management and Insurance Branch (FM&I) of each FEMA Regional Office. FEMA's Regional Offices work with eligible states and territories to negotiate a mutually acceptable grant agreement. Funding is made available when the grant agreement is negotiated and agreed upon. Continued participation in the CAP-SSSE is dependent upon completing activities described in the grant agreement. The CAP-SSSE is designed to utilize the floodplain management capabilities of individual states and territories in a cost-effective cooperative agreement with FEMA. Grantees must complete a Tiered State Framework (TSF) assessment to receive the grant and be assessed every three years (starting in 2020). Grantees must achieve designated performance measures to continue to receive funding.

Beneficiary Eligibility:

State; Local; The direct beneficiary of the CAP-SSSE is the individual state or territory receiving financial assistance. In addition, participating NFIP communities and local governments which receive flood plain management and flood loss reduction assistance provided by the state or territory are also (indirect) beneficiaries because of the services they receive.

Credentials/Documentation:

A State's participation in the CAP-SSSE is voluntary and is contingent upon need and skill level capability as determined by FEMA. In addition, applicant states are required to provide any relevant documentation contained in the grant agreement package provided by FEMA. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

ASSISTANCE LISTINGS DESCRIPTIONS

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is excluded from coverage under E.O. 12372. FEMA's ten Regional Offices will provide each State Governor's Office with the grant application package. The Cooperative Agreement is only available to that state or territorial agency so designated by the Governor, as the state or territorial National Flood Insurance Program State Coordinating Agency. The CAP-SSSE is administered through the Mitigation Division of each FEMA Regional Office. FEMA's Regional Offices will contact eligible States to negotiate a mutually acceptable grant agreement. Funding is made available when the grant agreement is negotiated and agreed upon. Continued participation in the CAP-SSSE is dependent upon completing activities described in the grant agreement. The CAP-SSSE is designed to utilize the floodplain management capabilities of individual States in a cost effective cooperative agreement with FEMA. A State's participation in the CAP-SSSE is voluntary and is contingent upon need and skill level capability.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance. States must have a completed TSF Assessment and approved Activity Planning and Pricing (APP) tool to develop projected costs of activities.

Award Procedure:

Applications are reviewed by FEMA Regional Office program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 30-60 days, Refer to Program Guidance.

Appeals:

Other. Appeals can be made to the appropriate FEMA Regional Office.

Renewals:

Other. Participation in the CAP-SSSE can be renewed each year contingent upon meeting requirements.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Matching Requirements: Percent: 25 percent of the Federal amount. Both cash and in-kind resources may meet the 25 percent cost-match.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Awards are for 12 months with no cost extensions allowed. Refer to program guidance. Method of awarding/releasing assistance. Method of awarding/releasing assistance: other

Reports:

States or territories must report quarterly to the Regions to demonstrate progress in meeting agreed upon performance measures. Grantees are expected to cooperate with Regions by submitting documentation or other evidence that demonstrates completion of approved activities. Activities are required to be entered digitally into the NFIP Community Information System (CIS) as the tasks are completed. Grantees must provide a final status report on meeting their performance measures to the Region within 120 days from the end of the Period of Performance (POP) of each year in which the state or territory was granted CAP-SSSE funds. For complete reporting requirement information refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503 These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, the earlier of 30 calendar days after receipt of the auditors report or 9 months after the end of the audit period.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-4236-0-4-453.

Obligations:

Cooperative Agreements FY 20 \$10,400,000.00; FY 21 est \$11,000,000.00; FY 22 est \$15,000,000.00;

Range and Average of Financial Assistance:

\$10,400,000 Total Funding for all 50 states and US territories, average of \$200,000 per state or territory.

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Completed Tiered State Framework (TSF) assessment of all grantees which defines a states NFIP program as below foundational, foundational, proficient or advanced. TSF sets forth a process by which states are assessed at least every three years and aligned to a tier which guides the work activities and performance expectations. Created the Advanced State Partner Group (ASPG) which includes the nine states that achieved Advanced TSF tier rating. They participate in quarterly meetings with Floodplain Management HQ to discuss current and future priorities of national Floodplain Management program. 43 states developed a State Substantial Damage Plan to lead their communities in conducting substantial damage work. States have worked to improve compliance with the NFIP regulations for state-owned property in the Special Flood Hazard Area (SFHA). States have set and achieved goals of a designated percentage of community contacts to document their ongoing efforts to provide technical support to communities in their state. States have set and achieved goals of a designated percentage of community engagements to ensure adoption of higher standards for floodplain management beyond what is minimally required by the NFIP. Conducted trainings with states for use of new State Activity Planning and Pricing

ASSISTANCE LISTINGS DESCRIPTIONS

Tool which costs out activities for upcoming Statement of Work (SOW). Also conducted trainings for new funding formula which will define states funding levels made up of three elements; base funding which covers basic floodplain management needs of; risk and workload factors, incentive funding which is a multiplier on base funding States who are proficient or advanced and discretionary funding which is competitive funding based on regional priorities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to Program Guidance.

Regional or Local Office:

See Regional Assistance Locations. FEMA Regional office information is available on the Internet at <http://www.fema.gov/about/structure>. Information may also be obtained by contacting the State office responsible for coordinating CAP-SSSE activities.

Headquarters Office:

Community Assistance Program - State Support Services, FEMA Julie Grauer - Community Assistance Program - State Support Services, 400 C St., SW Washington, DC 20472, Washington DC 20472
Email: Julie.Grauer@fema.dhs.gov
Phone: (202) 212-3460

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.022 Flood Insurance;

EXAMPLES OF FUNDED PROJECTS:

2020 This grant funds activities conducted by the recipient and is a non-construction grant. There are 14 Eligible activities which are identified in Appendix A of the Notice of Funding Opportunity (NOFO) and tracked in the systems of record; Community Information Service (CIS) and Non-Disaster (ND) Grants.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.024 Emergency Food and Shelter National Board Program

(EFSP).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title 3, Section 301, Public Law 100-77, 42 USC Code 11331-11346.

OBJECTIVES:

EFSP funding supplements the on-going efforts of local service organizations to provide emergency food and shelter to those in need. Program funds support the National Preparedness Goal (NPG) by complementing actions undertaken to achieve an all-of-Nation preparedness approach that optimizes the use of available resources. When a disaster strikes, it is often the poor or those with economic vulnerabilities who suffer the most. By providing emergency economic assistance that keeps people off the streets, from being evicted from their homes, or with groceries to prevent hunger, the EFSP prepares a population that could otherwise be even more adversely impacted by a disaster situation and mitigates potential burdens imposed on recovery efforts to assist such people. For additional details on the NPG, please refer to <http://www.fema.gov/pdf/prepared/npg.pdf> Supplemental program funds appropriated under the Coronavirus Aid, Relief, and Economic Security Act (Pub. L. No.116-136) in FY 2020 address the impacts of the economic consequences of the COVID-19 public health emergency by providing food, shelter, and supportive services to those in need as is done with the programs annually appropriated funds. Supplemental program funds appropriated under Section 4007 and Section 4008 of the American Rescue Plan Act of 2021 (Pub. L. No. 117-2) provide funding in addition to amounts otherwise made available to the

EFSP and for the purposes of providing humanitarian relief to migrant families and individuals encountered by the U.S. Department of Homeland Security, respectively.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Food and related expenses (such as transporting food/food preparation and serving equipment); mass shelter; other shelter (such as hotels and motels); and rent/mortgage and/or utility assistance limited to one month. Emergency Food and Shelter National Board Program (EFSP) funds cannot be used for: security deposits of any kind, cash payments of any kind, lobbying efforts, salaries (except as administrative allowance and limited to that total allowance of 2 percent of total award where provided by Local Boards), purchases or improvements of an individual's private property, telephone costs, repairs to government-owned or profit-making facilities and any payments for services not incurred. For a complete listing on eligible and ineligible costs under this program, refer to the Responsibilities and Requirements Manual of the current Phase (fiscal year) issued by the Emergency Food and Shelter Program National Board. See www.efsp.unitedway.org.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Quasi-public nonprofit institution/organization; Public nonprofit institution/organization (includes institutions of higher education and hospitals), Private nonprofit institution/organization (includes institutions of higher education and hospitals), Quasi-public nonprofit institution/organization Since funds are initially distributed to jurisdictions based on either a National Board formula or recommendations from State Set-Aside Committees, there is no application process for jurisdictions. All jurisdictions are considered within the National Board formula and all jurisdictions in an individual State may be considered by the State Set-Aside Committee for either initial or additional (if the jurisdiction had previously been selected by the National Board) funding. At the local level, following award notification by the National Board to the jurisdiction, a Local Board is formed. The Local Board then advertises the availability of the funds to local nonprofit agencies and governments. The Local Board must conduct a local application process for agencies to apply for funding. The Local Board selects which local nonprofit and governmental organizations are to receive funding and submits their selections via a Local Board Plan to the National Board. For American Rescue Plan Act of 2021, Section 24008, Humanitarian Relief, funding, there is a different process the National Board determines which local nonprofit and governmental organizations are to be awarded funds in providing humanitarian relief to migrant families and individuals encountered by the U.S. Department of Homeland Security.

Beneficiary Eligibility:

Anyone/general public; Public nonprofit institution/organization; Other public institution/organization; Public nonprofit institution/organization, Other public institution/organization The law directs that the Local Boards, which manage the program at the local level, shall "determine which private nonprofit organizations or public organizations of the local government in the individual locality shall receive grants to act as service providers." The range of participant groups on the Local Boards include the affiliates of the National Board membership which consists of American Red Cross, Catholic Charities USA, The Jewish Federations of North America, National Council of Churches of Christ in the USA, The Salvation Army, and United Way Worldwide, with a local government representative replacing FEMA. Also participating are thousands of independent nonprofits (such as Community Action Agencies and Food Banks and food pantries) which provide food and/or shelter services. Due to the broad category of people in need of such emergency services, the providers can include specialized groups such as domestic violence shelters and organizations providing food or shelter to: AIDS patients, handicapped individuals, the elderly, homeless veterans, non-wards of the State teenage runaways, and many other groups with emergency food and shelter needs. As noted earlier, the decisions on selections are made through the consensus of the Local Board as they have assessed their community's most urgent needs. It is important that such agencies use these funds to supplement, not replace, their current efforts. This program is intended to supplement ongoing programs and allow them to extend and expand upon their existing services. Under

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the American Rescue Plan Act of 2021, Section 24008, Humanitarian Relief, funding is for the purpose of providing humanitarian relief to migrant families and individuals encountered by the U.S. Department of Homeland Security.

Credentials/Documentation:

The program employs a Local Recipient Organization Certification Form. This form certifies an applicant's status (i.e., that it is a nonprofit or government agency capable of delivering eligible services) and that it will abide by the program guidelines (both on eligible spending and reporting requests), and comply with other fiscal and program rules so stipulated. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There is no application process for local jurisdictions since the award notification to local jurisdictions is based on either a National Board formula or recommendations from State Set-Aside Committees. The local jurisdiction (i.e., Local Board) must conduct a local application process for local agencies to apply for funding. For American Rescue Plan Act of 2021, Section 24008, Humanitarian Relief, funding, the National Board establishes the application process and determines which local nonprofit and governmental organization are to be awarded funds.

Award Procedure:

At the local level, following award notification by the National Board, a Local Board is formed. The Local Board then advertises the availability of the funds to local government and nonprofit agencies. The Local Board must conduct a local application process for these local agencies to apply for funding. The Local Board selects which agencies are to receive funding and submits their selections via a Local Board Plan to the National Board. The National Board confirms all pertinent information has been submitted and disburses funds directly to the awarded local agencies.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to announcement or application guidance for further information.

Appeals:

Other. Refer to the program guidance (see www.efsp.unitedway.org) Responsibilities and Requirements Manual issued by the Emergency Food and Shelter Program National Board for information on the appeal process. Those cases that cannot be handled locally should be referred to the National Board, giving details on action that has been taken up to that point. Any allegations regarding fraud or the misuse of funds should be referred directly to the DHS Office of Inspector General.

Renewals:

Not Applicable. Each phase (fiscal year) is a new program.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Payment based on Project need. Refer to program guidance; see www.efsp.unitedway.org. Method of awarding/releasing assistance Method of awarding/releasing assistance: other

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503. In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0707-0-1-605.

Obligations:

Project Grants FY 20 \$125,000,000.00; FY 21 est \$640,000,000.00; FY 22 est \$130,000,000.00;

Range and Average of Financial Assistance:

Refer to program guidance. www.efsp.unitedway.org

TAFS Codes:

70-0708.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 220,008,656 Meals Provided; 7,449,063 Nights of Lodging Provided; 120,519 Rent/Mortgage Payments Made; 144,438 Utility Payments Made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Food and Shelter National Board Program, Responsibilities and Requirements Manual; www.efsp.unitedway.org.

Regional or Local Office:

See Regional Assistance Locations. Refer to program guidance; see www.efsp.unitedway.org

Headquarters Office:

DHS/Federal Emergency Management Agency Community Services

ASSISTANCE LISTINGS DESCRIPTIONS

Section/Individual Assistance Division/Recovery Directorate,
500 C Street, S.W., Room 614,
Washington DC 20472
Email: Randy.Windham@fema.dhs.gov
Phone: 202.646.2500

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

10.568 Emergency Food Assistance Program (Administrative Costs);

EXAMPLES OF FUNDED PROJECTS:

2021 Served meals and rent/mortgage programs of a local social service organization providing an estimated 5,000 meals and 100 separate one-month rent/mortgage payments, respectively; a mass shelter program of a local social service organization providing an estimated 9,730 nights of lodging.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance; see www.efsp.unitedway.org

97.025 National Urban Search and Rescue (US&R) Response System

(US&R).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

OBJECTIVES:

The purpose of this Readiness Cooperative Agreement is to support the continued development and maintenance of a national urban search and rescue capability among the 28 task forces within the National Urban Search and Rescue Response System. This program supports Mission Area: Response, Mass Search and Rescue Operations, with the target of conducting search and rescue operations in order to locate and rescue persons in distress, based on the requirements of the state and local authorities. This program supports Presidential Policy Directive 8 National Preparedness, designed to help guide the domestic efforts of all levels of government, the private and nonprofit sectors, and the public to build and sustain the capabilities outlined in the national preparedness goal.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Civil Defense/Disaster Prevention and Relief/Emergency Preparedness/Rescue Response System Sponsoring Agencies may jointly develop one or more US&R Task Force(s), as defined in the National US&R Response System Operations Manual. Use of cooperative agreement funding is to support program management/administration, training, equipment acquisition, and storage and maintenance of equipment, to meet task force position criteria, and conduct and participate in meetings determined to benefit US&R. Equipment acquisitions are limited to those included in FEMA's approved equipment cache list, including equipment for management/administration and other items approved by the FEMA US&R Branch. Training requirements as included in the Statement of Work, Training Program Administrative Manual and current US&R Program Directives. Refer to Notice of Funding Opportunity. Use of readiness cooperative agreement funding is restricted to program management/administration, training, equipment acquisition, and storage and maintenance of equipment, to meet task force position criteria, and conduct and participate in meetings determined to benefit US&R. Equipment acquisitions are limited to those included in FEMA's approved equipment cache list, including equipment for management/administration and other items approved by the FEMA US&R Branch. Training requirements as included in the Statement of Work, Training Program Administrative Manual and current US&R Program Directives. Refer to Notice of Funding Opportunity.

Applicant Eligibility:

Government - General; Other public institution/organization; Only the 28 sponsoring agencies currently designated by FEMA as members of the National

Urban Search and Rescue Response System are eligible for readiness and response cooperative agreements.

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Only the 28 sponsoring agencies currently designated by FEMA as members of the US&R are eligible for readiness and response cooperative agreements.

Credentials/Documentation:

Only the 28 sponsoring jurisdictions currently designated by FEMA as members of the National Urban Search and Rescue Response System are eligible for readiness and response cooperative agreements. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained within the yearly Notice of Opportunity Announcement.

Award Procedure:

Applications and budget plans are reviewed by FEMA US&R Branch and the Grant Programs Directorate. Any issues or concerns noted in the application will be negotiated with the applicants prior to issuance of the award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Approximately 30 - 60 days from time of submission.

Appeals:

Other. Refer to guidance posted on Grants.gov site.

Renewals:

Other. Not applicable - Annual applications must be submitted.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to Notice of Funding Opportunity. Method of awarding/releasing assistance: other

Reports:

The following program reports are required: Semi-Annual Performance Reports, Annual Task Force Self-Evaluations, Annual Equipment Database CD. Refer to Notice of Funding Opportunity, Appendix A Statement of Work. Quarterly Financial Reports (SF-425). Semi-Annual Performance Reports, Annual Self-Evaluations. Refer to Notice of Funding Opportunity, Appendix A Statement of Work. Only for those recipients who are found to have a history of performance issues and noncompliance to aspects of the terms and conditions and/or scope of the Cooperative Agreement, per 2 CFR Part 200.205, 2 CFR Part 200.207 and 2 CFR Part 200.338. Refer to Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For audits of fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000 or more from Federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at:

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<http://www.gao.gov/govaud/ybk01.htm>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at: <https://www.govinfo.gov/app/details/CFR-2014-title2-vol1/CFR-2014-title2-vol1-part200-subpartF>

Records:

Grant records shall be retained for a minimum period of 3 years from the day the recipient submits its final expenditure report, or longer, as required by 2 CFR 200.333. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Additionally, records for real property and equipment acquired with Federal funds must be retained for 3 years after final disposition of the property. Similarly, the record retention period may be extended by the awarding agency or the cognizant agency for audit. Program income and indirect costs may also result in a longer record retention period. Please consult 2 CFR 200.333 for additional requirements. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999.

Obligations:

Project Grants FY 20 \$34,820,165.00; FY 21 est \$35,766,584.00; FY 22 est \$35,766,584.00;

Range and Average of Financial Assistance:

FY2020: \$1,236,878 - \$1,441,378

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FEMA US&R responded to eight disasters including the Puerto Rico Earthquake, Tropical Cyclones Douglas, Marco/Laura, Sally, Beta, Delta and Zeta, and the Oregon Wildfires. US&R deployed eight NIMS Type 1 US&R Task Forces, nine NIMS Type 3 US&R Task Forces, nine NIMS Type 4 US&R Resources with Swift Water, and 15 other types including Mission Ready Package Water Rescue and Hazardous Materials Equipment Push Packages. The 28 US&R task forces also maintained the minimum training requirements, professional qualifications and certifications. Each also continued to recapitalize their aging equipment and maintained their respective equipment caches as required, assuring operational readiness. They also maintained their warehouse and training facilities in order to perform day to day activities and meet their training and exercise requirements.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 208 National Urban Search and Rescue Response System.

Regional or Local Office:

None.

Headquarters Office:

Section Chief Elwood Ey (Buddy),
500 C Street, SW.,
Washington DC 20472
Email: elwood.ey-iii@fema.dhs.gov
Phone: (202) 212-3799

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Notice of Funding Opportunity for information on criteria for selecting proposals.

97.026 Emergency Management Institute Training

Assistance

(Student Stipend Reimbursement Program (SEP),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, , 42 USC Code 5121 et seq. National Security Act of 1947, , 50 USC Code 401 et seq.. Defense Production Act of 1950, as amended, , 50 USC Code App. 2061. Earthquake Hazards Reduction Act of 1977, as amended, , 42 USC Code 7701 et seq.

OBJECTIVES:

To defray travel and per diem expenses of State, local, tribal, and Territorial emergency management personnel who attend training courses conducted by the Emergency Management Institute (EMI), at the Emmitsburg, Maryland facility; the Center for Domestic Preparedness (CDP) in Anniston, Alabama; and selected off-site locations. Its purpose is to improve emergency management practices among State, local, tribal, and Territorial government managers, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: planning, preparedness, mitigation, response, and recovery. EMI training is conducted in an effort to strengthen the security and resilience of the United States through systematic preparation for the threats that pose the greatest risk to the security of the Nation, including acts of terrorism, cyber-attacks, pandemics, and catastrophic natural disasters.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

State (includes territories), local, and tribal emergency management officials, who have been accepted for emergency management training by the Emergency Management Institute, Emmitsburg, Maryland. Reimbursement may be made for a portion of student's travel, but attendees are required to pay for meals. Refer to program guidance document or contact administering program office for additional information.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions (includes institutions of higher education and hospitals); Government - General, Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals, U.S. Territories and possessions (includes institutions of higher education and hospitals) Individuals who are assigned to an emergency management position in State, local or tribal government are eligible. Some restrictions apply, refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:

State; Local; Government - General, Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals, U.S. Territories and possessions (includes institutions of higher education and hospitals) Individuals who are assigned to an emergency management position in State, local or tribal government are eligible. Some restrictions apply, refer to program guidance document or contact administering program office for additional information.

Credentials/Documentation:

Individuals must first be accepted/approved by EMI and National Emergency Training Center (NETC) Admissions, to attend the training course. Student Stipend Agreement (FEMA Form 119-25-3) accompanied by required supporting documentation. Verification of State, local territorial and tribal emergency management personnel to receive stipends. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Applications for admission to training course, are processed/ coordinated through county, State, tribal, and regional emergency management

ASSISTANCE LISTINGS DESCRIPTIONS

entities.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application is made to the Admissions Office, Management Operations and Support Services Division at the National Emergency Training Center (NETC) using the Student Stipend Agreement after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation.

Award Procedure:

The completed agreement will be processed immediately. If reimbursement is to be made, a U.S. Department of Treasury payment schedule is prepared and funds are reimbursed via direct deposit into the individuals bank account within 60 days.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.<http://www.training.fema.gov>

Appeals:

Other. May be made to the Superintendent of the Emergency Management Institute.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Refer to program guidance document or contact administering program office for additional information. Certain match requirements (payment of meals by attendees) apply to all participants. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

2-6 days for each emergency management course Method of awarding/releasing assistance: Refer to program guidance document. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not Applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

Direct Payments for Specified Use FY 20 \$296,685.00; FY 21 est \$468,747.00; FY 22 est \$744,330.00;

Range and Average of Financial Assistance:

Average stipend cost of \$514 per student day

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Improved emergency management practices among State, local, tribal, and Territorial government managers

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog.

Regional or Local Office:

See Regional Assistance Locations. See Catalog Appendix IV for FEMA Regional Offices.

Headquarters Office:

Protection and National Preparedness, National Preparedness Directorate, National Training and Education Division, Emergency Management Institute, FEMA, 16825 South Seton Avenue, Emmitsburg MD 21727

Email: Christina.holtz@fema.dhs.gov

Phone: (301) 447-1286

Website Address:

<http://www.training.fema.gov>

RELATED PROGRAMS:

97.028 Emergency Management Institute (EMI)_Resident Educational Program;

97.027 Emergency Management Institute (EMI)_Independent Study Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Defray travel and per diem expenses of State, local, tribal, and Territorial emergency management personnel who attend training courses conducted by the Emergency Management Institute (EMI), at the Emmitsburg, Maryland facility; the Center for Domestic Preparedness (CDP) in Anniston, Alabama; and selected off-site locations.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.<http://www.training.fema.gov>

97.027 Emergency Management Institute (EMI) Independent Study Program

ISP,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

National Security Act of 1947, , 50 USC Code 401 et seq. Earthquake Hazards Reduction Act of 1977, as amended, , 42 USC Code 7701 et seq. Robert T. Stafford Disaster Relief and Emergency Assistance Act, , 42 USC Code 5121 et seq. Defense Production Act of 1950 as amended, , 50 USC Code 2061.

OBJECTIVES:

The program currently consists of over 195 Emergency Management courses available to state, local, tribal, and territorial governments, federal and voluntary organizations to strengthen the security and resilience of the United States through systematic preparation for the threats that pose the risk to the Nation

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

The ISP provides online training free of charge to the public, Federal, State local, tribal, and territorial government personnel, and non-government and voluntary organizations.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Unlimited Application.

Anyone/general public - Any person(s), without regard to specified eligibility criteria.

Beneficiary Eligibility:

Student/Trainee; State; Local; Anyone/General Public.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Course electronic enrollment is processed via the internet at <http://training.fema.gov/IS>.

Award Procedure:

Independent study courses furnished directly to applicants via online access.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Training courses under the ISP are available online 365 days a year, 24 hours.

Training is provided free of charge. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

Training FY 20 \$685,463.00; FY 21 est \$773,403.00; FY 22 est \$791,328.00;

Range and Average of Financial Assistance:

Not Applicable

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: 229 online emergency management independent study courses

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog or Independent Study Program Brochure found on the web at <http://www.training.fema.gov/IS/crslst.asp>.

Regional or Local Office:

See Regional Assistance Locations. See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency, Protection and National Preparedness, National Preparedness Directorate, National Training and Education Division, Emergency Management Institute, 16825 South Seton Avenue, Emmitsburg MD 21727
Email: Christina.holtz@fema.dhs.gov
Phone: (301) 447-1057

Website Address:

<http://www.training.fema.gov/IS>

RELATED PROGRAMS:

97.028 Emergency Management Institute (EMI)_Resident Educational Program;
97.026 Emergency Management Institute_Training Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.028 Emergency Management Institute (EMI) Resident Educational Program

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

National Security Act of 1947, , 50 USC Code 401 et seq., Robert T. Stafford Disaster Relief and Emergency Assistance Act, , 42 USC Code 5121 et seq. Defense Production Act of 1950, as amended., , 50 USC Code App 2061 et. Earthquake Hazards Reduction of 1977, , 42 USC Code 7701 et seq.

OBJECTIVES:

To improve emergency management practices among State, local and tribal and territorial government managers, and Federal officials as well, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: planning, preparedness, mitigation, response, and recovery. Emergency Management Institute (EMI) training is conducted in an effort to strengthen the security and resilience of the United States through systematic preparation for the threats that pose the greatest risk to the security of the Nation, including acts of terrorism, cyber-attacks, pandemics, and catastrophic natural disasters.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Courses are not open to the general public. The primary audience is State, local and tribal government officials. Acceptance into specific courses is based on a need to know the information and how it will be used for the benefit of the community.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Individuals assigned to an emergency management position in State, local, tribal, or Territorial government are eligible. Restrictions apply, however; refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:

Anyone/general public; Student/Trainee; State; Local; General Public.

Credentials/Documentation:

Applicants may be asked to verify their emergency management responsibilities. The EMI instructor qualification process requires instructors to provide appropriate credentials and support documentation to ensure they meet the instructor qualification criteria to teach specific courses. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to acceptance into the course.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to acceptance into the course.

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Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

Other. May be made to the Superintendent of the Emergency Management Institute.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Indefinite. Method of awarding/releasing assistance: other

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. There may be an evaluation following completion of most courses to determine the application of the information and the benefit derived by the community.

Records:

Not applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

Training FY 20 \$443,500.00; FY 21 est \$498,940.00; FY 22 est \$1,054,075.00;

Range and Average of Financial Assistance:

Not applicable.

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: In FY 2020, 1,774 students required housing at the NETC Campus in Emmitsburg, Maryland. There were only a limited number of students requiring housing for FY2020 due to the COVID-19 closure of campus. During this time, EMI delivered more virtual training courses. In FY 2021, we estimate 1,919 students will require housing at the NETC Campus in Emmitsburg, Maryland. The limited number of students requiring housing for FY2021 is due to the COVID-19 closure of campus and reduction of students in classes in order to properly social distance. EMI continues to deliver more virtual training, using Video-teleconferencing, virtual tabletop exercises, Zoom, and Adobe Connect webinars. In FY 2022, we estimate 3,833 students required housing at the NETC Campus in Emmitsburg, Maryland. EMI will also continue to deliver more off-site and virtual training, using Video-teleconferencing, virtual tabletop exercises, Zoom, and Adobe Connect webinars.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog.

Regional or Local Office:

See Regional Assistance Locations. See EMI Catalog for listing of FEMA Regional Offices. <http://www.training.fema.gov>

Headquarters Office:

Protection and National Preparedness, National Preparedness Directorate, National

Training and Education Division, Emergency Management Institute, FEMA,
16825 South Seton Avenue,
Emmitsburg MD 21727
Email: Christina.holtz@fema.dhs.gov
Phone: (301) 447-1507

Website Address:

<http://www.training.fema.gov>

RELATED PROGRAMS:

97.027 Emergency Management Institute (EMI)_Independent Study Program;
97.026 Emergency Management Institute_Training Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on selection criteria.

97.029 Flood Mitigation Assistance

(FMA),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

The National Flood Insurance Act of 1968, 42 U.S.C. 4001 et seq. authorized the National Flood Insurance Program (NFIP), Title 13, Public Law 90-448, see also the Flood Disaster Protection Act of 1973, the National Flood Insurance Act of 1994, the Flood Insurance Reform Act of 2004, the Biggert-Waters Flood Insurance Reform Act of 2012, the Homeowner Flood Insurance Affordability Act of 2014; and implementing regulations at 44 C.F.R., Ch. 1, Subch. B.,

OBJECTIVES:

The Flood Mitigation Assistance (FMA) Program makes Federal funds available to States, U.S Territories, Indian Tribal governments, and local communities to reduce or eliminate the risk of repetitive flood damage to buildings and structures insured under the National Flood Insurance Program (NFIP). The FMA program strengthens national preparedness and resilience and supports the mitigation mission area through Strategic Goal #1 Building a Culture of Preparedness, Objectives 1.1, 1.2, 1.3, and 1.4 of the 2018 2022 FEMA Strategic Plan. In Fiscal Year (FY) 2020, the FMA Program will prioritize proposals that address community flood risk by setting aside \$70 million for this purpose. FEMA will seek to fund two types of community flood mitigation activities: Project Scoping for flood mitigation design and development of community flood mitigation projects that will subsequently reduce flood claims, up to \$4 million. Mitigation projects that address community flood risk for the purpose of reducing NFIP flood claim payments, with the remaining balance of the \$70 million after Advance Assistance applications are selected. The remaining funding, at least \$90 million, will be used for FMA technical assistance, flood mitigation planning, and mitigation projects that reduce the risk of flooding to severe repetitive loss (SRL) and repetitive loss (RL) properties. See Section E, Application Review Information, of this Notice of Funding Opportunity (NOFO) for more information on FY 2020 FMA funding priorities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Flood mitigation projects to reduce or eliminate the long-term risk of flood damage to properties insured under the National Flood Insurance Program (NFIP) are eligible for the FMA program. Eligible project types include: acquisition-demolition and acquisition-relocation; elevation of existing structures to the Base Flood Elevation (BFE) or an Advisory Base Flood Elevation (ABFE) or higher; minor localized flood risk reduction projects; and dry-flood proofing (historic properties and non-residential structures). FMA funds can only be used for the flood hazard component of a hazard mitigation plan that meets the planning criteria outlined in 44 CFR Part 201. See also 44 CFR 79.6(b),(d)(1). Individual planning grants using FMA funds shall not exceed \$50,000 to any applicant or \$25,000 to

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any subapplicant.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); The 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and Federally-recognized Indian Tribal governments may apply for FMA assistance as the Applicant to FEMA. Either the state Emergency Management Agency (EMA) or the office that has primary floodplain management responsibility is eligible to apply directly to FEMA for FMA funds as an applicant; however, only one application will be accepted from each state, tribe or territory. Applicants must have a FEMA approved mitigation plan as of the application deadline in order to apply for mitigation projects in accordance with 44 CFR Part 201.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Native American Organizations; Homeowner; Land/Property Owner; State; Local; Federally Recognized Indian Tribal Governments; State agencies (other than the EMA), tribal governments (non-federally recognized), and local governments and communities may apply as subapplicants for FMA grants through their applicant state/tribe/territory. Subapplicants must have a FEMA approved mitigation plan as of the application deadline in order to apply for mitigation projects in accordance with Title 44 CFR Part 201.

Credentials/Documentation:

Please see the Hazard Mitigation Assistance (HMA) program guidance. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The Notice of Funding Opportunity is posted on www.Grants.gov.

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Applicants are responsible for distributing funds to sub-applicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Please see the NOFO Announcement posted on www.Grants.gov.

Appeals:

Not Applicable. Refer to HMA program guidance document.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart ,Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. FEMA may contribute up to 100 percent Federal cost share for severe repetitive loss properties or the expected savings to the NFIP for acquisition or relocation activities (the Greatest Savings To the Fund value for property acquisition may be offered to the property owner if the project is not cost-effective using pre-event or

current market value); FEMA may contribute up to 90 percent Federal cost share for repetitive loss properties; and FEMA may contribute up to 75 percent Federal cost share for NFIP-insured properties. The applicant or subapplicant is responsible for the remaining non-Federal share of eligible activity costs. Refer to HMA program guidance for additional information. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to the NOFO posted on www.Grants.gov for information on applicable Periods of Performance and Availability of Funds. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: Payment based on Project need. Method of awarding/releasing assistance: other

Reports:

Every two years to FEMA on progress of the floodplain management program. Refer to program guidance. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-award. Quarterly Financial Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 120 days after the expiration or termination of grant award. Recipients are required to submit quarterly financial reports. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-award. Quarterly Financial Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 120 days after the expiration or termination of grant award. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-award. Quarterly Financial Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 120 days after the expiration or termination of grant award. Recipients are required to submit quarterly financial reports.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period

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depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0701-0-1-453.

Obligations:

Project Grants FY 20 \$200,000,000.00; FY 21 est \$200,000,000.00; and FY 22 est \$200,000,000.00;

Range and Average of Financial Assistance:

Not available.

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Cutover to Pivot as new NFIP System of Record Leveraged remote claims adjusting techniques to protect the health and safety of policyholders and insurance professionals during COVID-19. Delivered an enhanced data analytics tool set. Published updated claims and underwriting manuals. Delivered targeted messages to consumers to encourage flood insurance purchase.

Implemented the Disaster Recovery Reform Act of 2018 (DRRA), Section 1212 for Group Flood Insurance Policy (GFIP) which doubled the maximum coverage limit. Increased oversight of WYO claims and underwriting processes from triennial operation reviews to annual operation reviews. Launched customer service surveys through the NFIP Direct for improved policyholder claims experience. Strengthened partnerships with industry partners (insurance adjusters, WYO companies, vendors) through increased engagement. For FY20, FEMA selected 117 subapplications totaling \$199,947,302 federal share in projects that will reduce future flood claims to the National Flood Insurance Fund (NFIF). Fiscal Year 2020: \$900 Million in losses avoided

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be governed by the FMA Program Regulations at 44 CFR Part 79; 44 CFR Part 200; 44 CFR Part 201, the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, and the HMA program guidance.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations. NFIP Regional Offices are listed online at: http://www.fema.gov/business/nfip/nfip_regions.shtml Contact the appropriate FEMA regional office, or the State office responsible for coordinating the NFIP activities.

Headquarters Office:

Dep. Asst. Admin., Federal Insurance Directorate Paul Huang - Asst. Admin., Federal Insurance Directorate, FIMA, FEMA, 400 C Street SW Washington, DC 20024, Washington DC 20024

Email: Paul.Huang@fema.dhs.gov

Phone: 8006213363

Fax:

Website Address:

<http://www.fema.gov/business/nfip>

RELATED PROGRAMS:

97.039 Hazard Mitigation Grant; 97.047 Pre-Disaster Mitigation;

EXAMPLES OF FUNDED PROJECTS:

2020 Mitigation projects that address community flood risk for the purpose of reducing NFIP flood claim payments

CRITERIA FOR SELECTING PROPOSALS:

Refer to Notice of Funding Opportunity posted on www.Grants.gov for information on criteria for selecting proposals.

97.030 Community Disaster Loans

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 417, 42 USC Code 5184.

OBJECTIVES:

To provide loans subject to Congressional loan authority, to any local government that has suffered substantial loss of revenues in an area in which the President designates a major disaster in order to assist communities affected by an incident to recover effectively. The funds can only be used to maintain existing functions of a municipal operating character and the local government must demonstrate a need for financial assistance.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

Civil Defense/Disaster Prevention and Relief/Emergency Preparedness Loans are made only to local governments or other political subdivisions of the State and are based on need. The funds cannot be used to meet the non-federal share of any Federal program, finance capital improvements, or repair or restore public facilities. Applicants must be in a designated major disaster area and unless specified differently through a particular public law must demonstrate that they meet the specific conditions of FEMA Emergency Management and Assistance Regulations at 44 C.F.R. Part 206, Subpart K, Community Disaster Loans. To be eligible, the applicant must demonstrate: 1) a substantial loss of revenues as a result of a major disaster; 2) a need for financial assistance to perform its governmental functions. For traditional CDLs, the amount of the loan is based on the demonstrated need, and shall not exceed 25% of the applicants Operating Budget expenditures, up to \$5 million. The interest rate is the U.S. Treasury rate for 5-year maturities on the date the Promissory Note is executed, adjusted to the nearest 1/8th. The term of the loan is usually 5 years but may be extended. Interest accrues on the funds as they are disbursed. When applicable, the Assistant Administrator of the Recovery Directorate may cancel repayment of all or part of the traditional loan if the revenues of the applicant in the 3 fiscal years following the disaster are insufficient to meet the operating budget because of disaster-related revenue losses and un-reimbursed disaster related operating expenses.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and some hospitals) government applicants must be in a designated major disaster area and must demonstrate that they meet the specific conditions of FEMA Emergency Management and Assistance Regulations at 44 C.F.R. Part 206, Subpart K, Community Disaster Loans. To be eligible, the applicant must demonstrate: 1) a substantial loss of revenues as a result of a major disaster; 2) a need for financial assistance to perform its governmental functions. During the 2017 hurricane season, a supplemental provided funding and new guidance specific to Hurricanes Harvey, Irma, and Maria. Public Law 115-72 and Public Law 115-123 provide additional supplemental appropriations and eligibility criteria for disaster relief requirements for the fiscal year ending September 30, 2018. Further, P.L. 116-260 removed the loan cap, provided alternate eligibility criteria, and extended the deadline for FEMA to issue a loan only for those disasters that were declared in calendar year 2018.

Beneficiary Eligibility:

Local; Local governments in a designated disaster area.

Credentials/Documentation:

The CDL application is developed from financial information contained in the local government's published financial reports and current annual operating budget. Loan awards will be determined in accordance with 2 C.F.R. Grants and Agreements, Part 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, Subparts B, C, D, E, and F. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental

ASSISTANCE LISTINGS DESCRIPTIONS

Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Upon declaration of a major disaster, an application for a Community Disaster Loan is made through the Governor's Authorized Representative to the FEMA Regional Administrator in accordance with FEMA Emergency Management and Assistance Regulations at 44 C.F.R. 206.364. The Assistant Administrator of the Recovery Directorate or designee approves or disapproves the loan. The Designated Loan Officer will execute a Promissory Note with the applicant. The promissory note must be co-signed by the State, or if the State cannot legally co-sign the note, the local government must pledge collateral security.

Award Procedure:

Funds are disbursed to the local government when requested in accordance with the Schedule of Loan Increments in the Promissory Note, or submittal of the Disbursement Request Form. All borrowers must follow requirements of 2 C.F.R. Part 200.

Deadlines:

The Assistant Administrator for the Recovery Directorate may approve a loan in either the fiscal year in which the disaster occurred or the fiscal year immediately following that year. For 2018 disasters only, the period was extended to a total of four fiscal years starting with the fiscal year of the disaster. Contact FEMA Headquarters for appropriate application deadlines.

Range of Approval/Disapproval Time:

Other. From 1 to 6 months. The loan must be approved in the fiscal year of the disaster or the fiscal year immediately following. For disasters declared in calendar year 2018, the loan must be approved by the end of the third fiscal year following the fiscal year of the disaster.

Appeals:

Other. If a loan application is disapproved, in whole or in part, a revised application may be resubmitted by the local government within 60 days of the date of the disapproval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funds are transferred by FEMA into the account established by the Department of Health and Human Services (HHS) for each applicant in HHS Payment Management System (PMS). Disbursements are requested by the applicant from the PMS to the established local governments bank account for the loan. Method of awarding/releasing assistance: Payment based on project need. Method of awarding/releasing assistance: other

Reports:

The applicant must demonstrate a financial need prior to any incremental disbursement by submitting a copy of its most recent financial report as well as data on anticipated and actual revenues. The applicant must submit annual copies of its annual audited financial statements. Refer to program guidance found in <https://www.fema.gov/media-library/assets/documents/176527>. Refer to program guidance. <https://www.fema.gov/media-library/assets/documents/176527>. Refer to program guidance. <https://www.fema.gov/media-library/assets/documents/176527>. Refer to program guidance. <https://www.fema.gov/media-library/assets/documents/176527>. Refer to program guidance. <https://www.fema.gov/media-library/assets/documents/176527>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the audit period.

Records:

Annual Operating Budgets including revenue and expense and balance sheets must be retained for the duration of the loan. Additional record requirements are detailed in the FEMA Emergency Management and Assistance Regulations at 44 C.F.R. 206, Subpart K.

Account Identification:

70-0703-0-1-453.

Obligations:

Direct Loans FY 20 \$76,211,950.00; FY 21 est \$157,370,908.00; FY 22 est \$87,000,000.00;

Range and Average of Financial Assistance:

Loans issued to-date during FEMA's fiscal years 2020 and 2021 range between \$946,371 to \$5 million (and one exception of \$88,734,930 based on P.L. 116.260), averaging \$7,117,780.04.

TAFS Codes:

70-0703.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 206, Subpart K. Pub. L. No. 115-72 and Pub. L. No. 115-123

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Federal Emergency Management Agency Martha M. Polanco,
500 C Street, SW Mail Stop #3163,
Washington DC 20472
Email: MarthaM.Castro@fema.dhs.gov
Phone: (202) 646-5761

Website Address:

<https://www.fema.gov/media-library/assets/documents/176527>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Loans subject to Congressional loan authority, made to local government units that suffered substantial loss of revenues in an area in which the President designates a major disaster

CRITERIA FOR SELECTING PROPOSALS:

Applicants must demonstrate a greater than 5% revenue loss in the fiscal year in which the major disaster took place, or in the fiscal year immediately following the fiscal year of the major disaster event.

97.032 Crisis Counseling

(CCP),

FEDERAL AGENCY:

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5183., Section 416, Public Law 93-288

OBJECTIVES:

The Crisis Counseling Assistance and Training Program (CCP) supports the Recovery core capability and mission area as defined in the National Preparedness Goal. It accomplishes this by assisting individuals and communities in recovering from the challenging effects of natural and human-caused disasters through the provision of community-based outreach and psycho-educational services. The CCP goals are to: Reach large numbers of people affected by disasters through face-to-face outreach to shelters, homes, and other locations. Assess the emotional needs of survivors and make referrals to traditional behavioral health services when necessary. Identify tangible needs and link survivors to community resources and disaster relief services. Provide emotional support, education, basic crisis counseling, and connection to familial and community support systems. Train and educate CCP staff and other community partners about disaster reactions, appropriate interventions, and CCP services. Develop partnerships with local disaster and other organizations. Work with local stakeholders to promote community resilience and recovery. Collect and evaluate data to ensure quality services and justify program efforts. Leave behind a permanent legacy of improved coping skills, educational and resource materials, and enhanced community linkages.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Crisis Counseling Assistance and Training Program (CCP) provides supplemental funding to states, the District of Columbia, U.S. territories and Federally recognized Tribes for technical assistance and training as well as short-term crisis counseling services to individuals impacted by a presidentially-declared major disaster that includes Individual Assistance. CCP services involve the counseling goals of assisting disaster survivors in understanding their current situations and reactions, mitigating additional stress, assisting survivors in reviewing their options, promoting the use or development of coping strategies, providing emotional support and encouraging linkages with other individuals and agencies who may help survivors recover to their pre-disaster level of functioning. The CCP is comprised of the Immediate Services Program (ISP) and the Regular Services Program (RSP). The Immediate Services Program grant provides 60 days of services from the declaration date and enables the State or local agency to respond to the immediate behavioral health needs of people affected by the disaster. The Regular Services Program grant provides up to nine months of crisis counseling, outreach, consultation and education services to people affected by a disaster. Funding for the ISP and RSP programs is considered separate and distinct as these are two different grants.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Federally Recognized Indian Tribal Governments, U.S. Territories, States, District of Columbia, States, territories, tribes, and the District of Columbia (collectively referred to here as states) are eligible for grants. If the Governor determines, during an assessment of the need for crisis counseling services, that because of unusual circumstances or serious conditions within the state or local mental health network, the state cannot carry out the crisis counseling program, he/she may identify a public or private mental health agency or organization to carry out the program, or request FEMA to identify, with the assistance of the Department of Health and Human Services, such an agency or organization.

Beneficiary Eligibility:

Individual/Family; In order to be eligible for services under this program, an individual must be a resident of the designated disaster area or must have been in the designated area at the time the disaster occurred.

Credentials/Documentation:

Grant awards will be determined in accordance with 2 CFR Part 200 for State and local governments. States, territories, and tribes are eligible for grants. If the Governor determines, during an assessment of the need for crisis counseling services, that because of unusual circumstances or serious conditions within the state or local mental health network, the state cannot carry out the crisis counseling

program, he/she may identify a public or private mental health agency or organization to carry out the program or request the Department of Homeland Security's Federal Emergency Management Agency (FEMA) Regional Administrator to identify, with assistance of the Secretary of the Department of Health and Human Services (DHHS), such an agency or organization. In order to be eligible for services under this program, an individual must be a resident of the designated disaster area or must have been in the designated area at the time the disaster occurred. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application for Federal assistance for the Immediate Services Program must be submitted by the State to FEMA no later than 14 days after the Presidential declaration. Application for Federal assistance for the Regular Services Program must be submitted by the State to FEMA, along with a copy to the Substance Abuse and Mental Health Service Administration (SAMHSA) Center for Mental Health Services (CMHS) within the United States Department of Health and Human Services (HHS), no later than 60 days after the Individual Assistance designation in the Presidential declaration.

Award Procedure:

Immediate Services Program applications are reviewed by FEMA program staff in consultation with SAMHSA CMHS. Awarding authority sits with the Regional Administrator as indicated by the Delegation of Authority. Grant award is provided by FEMA to the State Emergency Management Agency. Regular Services Program applications are reviewed by FEMA with formal recommendation from SAMHSA CMHS. Grant funds are provided by FEMA to SAMHSA CMHS for award to the State's mental health agency.

Deadlines:

The deadline for application for the Immediate Services Program is 14 days after the date of disaster declaration. The deadline for application for the Regular Services Program is 60 days after the designation for Individual Assistance in the disaster declaration.

Range of Approval/Disapproval Time:

Other. Refer to announcement or application guidance for further information.

Appeals:

Other. Immediate Services Program - The State may appeal the Regional Administrator's decision in writing within 60 days of the date of notification of the application decision. The State may further appeal the Regional Administrator's decision to the Assistant Administrator, Recovery, within 60 days of the date of the Regional Administrator's notification of the application decision. Regular Services Program - The State may appeal FEMA's decision in writing within 60 days of the date of notification of the decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Grantee is required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Final financial and program reports are due 120 days after the end of the grant period of performance. Refer to Program Guidance. <https://www.fema.gov/media-library/assets/documents/177489> for more information. SF-425 is required on a quarterly basis and 120 days after the project end date. Refer to program guidance. The Quarterly Report must include an interim budget expenditure report. A final expenditure report showing expenditure of funds by category and budget line is also required. Refer to program guidance for details. Refer to program guidance.

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Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0702-0-1-453.

Obligations:

Project Grants FY 20 \$382,779,756.00; FY 21 est \$55,725,778.00; FY 22 est \$50,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Assessed the emotional needs of survivors and made referrals to traditional behavioral health services when necessary

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.171; Crisis Counseling Assistance and Training and the FEMA Crisis Counseling Assistance and Training Program Guidance.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security Federal Emergency Management Agency (FEMA), Individual Assistance, 500 C Street, SW, Sixth Floor, Washington DC 20472-3100
Email: FEMA-HQ-CSS@dhs.gov
Phone: (202) 212-1117

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

93.982 Mental Health Disaster Assistance and Emergency Mental Health;

EXAMPLES OF FUNDED PROJECTS:

2020 Following a major Presidential disaster declaration that includes Individual Assistance, a state, territory, or tribe may apply for a CCP in order to augment mental health services within the affected areas. The CCP provides short-term, limited psycho-educational services and information on coping for those affected by the disaster; all interactions with crisis counselors are anonymous and treated as one-time interactions.

CRITERIA FOR SELECTING PROPOSALS:

The application must be submitted by the regulatory deadline, as per 44 C.F.R. 206.171; the application must be complete (i.e., include the forms and authorized representative signatures) and must include: The geographical areas within the designated disaster area for which services will be provided; 2 An estimate of the number of disaster victims requiring assistance; 3. A description of the State and local resources and capabilities, and an explanation of why these resources cannot meet the need; 4. A description of response activities from the date of the disaster incident to the date of application; 5. A plan of services to be provided to meet the identified needs; and 6. A detailed budget, showing the cost of proposed services separately from the cost of reimbursement for any eligible services provided prior to application.

97.033 Disaster Legal Services

(DLS).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 416, Public Law 93-288, 42 USC Code 5182.

OBJECTIVES:

The Disaster Legal Services Program (DLS) supports the NPG Mission Area of Recovery and the specific capability targets of economic recovery and health and social services. DLS accomplishes this by providing legal assistance to low-income individuals who, prior to or as a result of a Presidentially declared disaster, are unable to secure legal services adequate to meet their disaster-related needs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Provision of Specialized Services

USES AND USE RESTRICTIONS:

The Disaster Legal Services program provides free legal advice for individuals impacted by a Presidentially-declared major disaster that includes Individual Assistance. Legal advice is limited to cases that do not produce a fee. This free legal advice includes assistance with insurance claims; counseling on landlord/tenant problems; assistance with home repair contracts; assistance in consumer protection matters; counseling on mortgage foreclosure problems; replacement of wills and other important legal documents; drafting powers of attorney; estate administration; preparation of guardianships and conservatorships; and referrals to local and State agencies for additional assistance.

Applicant Eligibility:

Other public institution/organization; FEMA enters into a contract with the American Bar Association Young Lawyers Division to cover the administrative costs of implementing and managing the Disaster Legal Services program.

Beneficiary Eligibility:

Individual/Family; The Disaster Legal Services program provides free legal advice for individuals impacted by a Presidentially-declared major disaster that includes Individual Assistance. Legal advice is limited to cases that do not produce a fee. This free legal advice extends to disaster-related issues including: assistance with insurance claims; counseling on landlord/tenant problems; assistance with home repair contracts; assistance in consumer protection matters; counseling on mortgage foreclosure problems; replacement of wills and other important legal documents; drafting powers of attorney; estate administration; preparation of

ASSISTANCE LISTINGS DESCRIPTIONS

guardianships and conservatorships; and referrals to local and State agencies for additional assistance.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FEMA makes a formal request to the National Director of the Disaster Legal Services (DLS) program indicating the intent to implement the DLS program.

Award Procedure:

Administrative funds are made available through a contractual agreement as outlined in the Memorandum of Agreement between FEMA and the American Bar Association on behalf of its Young Lawyers Division.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other. None.

Appeals:

Other. None.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of the program is generally tied to the need for services and varies with each disaster. Method of awarding/releasing assistance: other

Reports:

Volunteer attorneys submit disaster-specific reports on services and/or advice given to clients. Reporting is set by the applicable leadership on the disaster, but are typically provided on a monthly basis. Reports include aggregate data. Volunteer attorneys submit disaster-specific reports on services and/or advice given to clients. Reporting is set by the applicable leadership on the disaster, but are typically provided on a monthly basis. Reports include aggregate data. Refer to Program guidance. Refer to Program guidance.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. Subpart F, Audit Requirements

Records:

Maintain records in keeping with federal guidelines and per program guidance at <https://www.fema.gov/media-library/assets/documents/177489>.

Account Identification:

70-0702-0-1-453.

Obligations:

Provision of Specialized Services FY 20 \$120,000,000.00; FY 21 est \$120,000,000.00; FY 22 est \$120,000,000.00;

Range and Average of Financial Assistance:

None.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Program enabled free legal advice for disaster-related matters to disaster survivors

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.164; and Disaster Legal Services Handbook.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security Federal Emergency Management Agency (FEMA) Disaster Assistance Directorate,
500 C Street, SW, Sixth Floor,
Washington DC 20472-3100
Email: FEMA-HQ-CSS@dhs.gov
Phone: 202) 646-2500

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Under a presidential major disaster declaration designated for Individual Assistance, a state, tribe, or territory may request a DLS program. FEMA partners with the American Bar Association-Young Lawyers Division (ABA-YLD) to provide confidential legal assistance to survivors who are unable to secure legal services to meet their unmet disaster-caused needs without a cost-share. No survivor information is shared with FEMA.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.034 Disaster Unemployment Assistance

(DUA).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 410, Public Law 93-288

OBJECTIVES:

The Disaster Unemployment Assistance (DUA) Program supports the NPG Mission Area of Recovery and the specific capability target of Economic Recovery. DUA accomplishes this by providing temporary benefits to individuals whose employment or self-employment has been lost or interrupted as a direct result of a major disaster and who are not eligible for regular unemployment insurance (UI).

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

The Disaster Unemployment Assistance (DUA) program provides weekly benefits and re-employment assistance services to individuals unemployed as a direct result of a Presidentially-declared major disaster that includes Individual Assistance. Funds are used for benefit payments to individuals and project administrative costs to States. DUA weekly benefits and re-employment assistance require proof of employment eligibility, proof of employment or self-employment to assist in determining a Weekly Benefit Amount (WBA). Aliens must prove they are "qualified aliens" (as determined by USCIS) in order to be eligible to receive a federal public benefit.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); State; Federally Recognized Indian Tribal Governments, U.S. Territories (includes institutions of higher education and hospitals), States, the District of Columbia The State Workforce Agency (SWA) applies for Disaster Unemployment Assistance funds to provide to eligible beneficiaries.

Beneficiary Eligibility:

Individual/Family; The (DUA) program provides weekly benefits and re-

ASSISTANCE LISTINGS DESCRIPTIONS

employment assistance services to individuals unemployed as a direct result of a presidentially declared major disaster that includes Individual Assistance. Funds are used for benefit payments to individuals and project administrative costs to States. DUA weekly benefits and re-employment assistance require proof of employment eligibility, proof of employment or self-employment to assist in determining a Weekly Benefit Amount (WBA). Aliens must prove they are "qualified aliens" (as determined by the U.S. Citizenship and Immigration Services (USCIS)) in order to be eligible to receive a federal public benefit.

Credentials/Documentation:

FEMA Emergency Management and Assistance regulations 44 C.F.R. 206.141 and the U.S. Department of Labor (DOL) regulations at 20 C.F.R. Part 625. FEMA and DOL have adopted 2 C.F.R. 200 Subpart E - Cost Principles for state implementation of DUA through the DOL Employment & Training Administration (ETA) DUA Handbook, which guides the program. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. This program is excluded from coverage under E.O. 12372. The state governor makes a request for a Presidential declaration of a major disaster through the appropriate FEMA Regional Administrator having jurisdiction over the state. Requests for assistance must be made by the governor in accordance with section 401 of the Stafford Act and FEMA Emergency Management and Assistance regulations, 44 C.F.R. Part 206.36. An applicant should consult the office or officials designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An applicant should consult the office or officials designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Award Procedure:

FEMA provides funding to the Department of Labor, which administers the DUA program on FEMA's behalf. Individual applicants for DUA assistance must apply through their local SWA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. In general, individual applications are approved or denied within 1 to 2 weeks. Generally, applications for DUA must be filed within 30 days after the date of the SWA announcement regarding availability of DUA. When applicants have good cause, they may file claims after the 30-day deadline. However, no initial application will be considered if filed after the 26th week following the declaration date.

Appeals:

Other. Appeals must be filed in accordance with DOL regulations at 20 C.F.R. Part 625.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

DUA may be paid from the period beginning with the first week (as defined in State law) following the date the major disaster began, and extending up through, but no longer than, the 26th week following the Presidential major disaster declaration date. See the following for information on how assistance is awarded/released. Method of awarding/releasing assistance: Payment based on

Project need. Method of awarding/releasing assistance: other

Reports:

The State Workforce Agency submits reports when requesting additional funding and at the close of the DUA program. Closeout reports must include the ETA 9130 and a narrative. Refer to DOL ETA DUA Handbook. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Record retention in accordance with DOL Regulation 20 CFR Part 625.

Account Identification:

70-0702-0-1-453.

Obligations:

Direct Payments for Specified Use FY 20 \$8,397,790.00; FY 21 est \$6,000,000.00; FY 22 est \$6,000,000.00; Project Grants FY 20 \$21,999,999.00; FY 21 est \$22,000,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Provided temporary benefits to individuals whose employment or self-employment has been lost or interrupted as a direct result of a major disaster, and who are not eligible for regular unemployment insurance (UI).

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA regulations at 44 C.F.R. 206.8 and 44 C.F.R. 206.141; DOL Regulations at 20 C.F.R. Part 625; DOL ETA DUA Handbook; Individual Assistance Program and Policy Guide 1.1.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA),

500 C Street, SW, Sixth Floor,

Washington DC 20472-3100

Email: FEMA-HQ-CSS@dhs.gov

Phone: 202) 646-2500

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Following a Presidential major disaster declaration designated for Individual Assistance, a state, tribe, or territory may apply for a DUA program. DUA provides temporary benefits to individuals whose employment or self-employment has been lost or interrupted as a direct result of a major disaster and who are not eligible for regular unemployment insurance. For more information, please visit <https://oui.doleta.gov/unemploy/disaster.asp>.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters)

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND

ASSISTANCE LISTINGS DESCRIPTIONS

SECURITY, DEPARTMENT OF

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, , Public Law 93-288, 42 USC Code 5121-5206.

OBJECTIVES:

To assist state, tribal, territorial, and local governments and eligible private non-profits in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures, and the repair, restoration, reconstruction or replacement of public and eligible private non-profit facilities or infrastructure damaged or destroyed as the result of federally declared disasters or emergencies.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, or wildfire. Program activates only after issuance of a presidential declaration. Public Assistance is awarded in the form of cost-shared grants to States, local governments, other political subdivisions such as special districts, federally recognized Indian tribal governments and Alaska Native villages or organizations, and certain eligible Private Non-Profit organizations. A State is defined as any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of Northern Marianna Islands. Following a Presidential declaration, grants may be made for: removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); State and local governments, other political subdivisions, federally recognized Indian tribal governments, Alaska Native villages or organizations, but not Alaska Native Corporations, and certain Private Non-Profit organizations in designated emergency or major disaster areas.

Beneficiary Eligibility:

State; Civil Defense/Disaster Prevention and Relief/Emergency Preparedness Restricted to Presidentially-declared disasters or emergencies, such as an earthquake, hurricane, tornado, or wildfire. Program activates only after issuance of a presidential declaration. Public Assistance is awarded in the form of cost-shared grants to States, local governments, other political subdivisions such as special districts, federally recognized Indian tribal governments and Alaska Native villages or organizations, and certain eligible Private Non-Profit organizations. A State is defined as any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of Northern Marianna Islands. Following a Presidential declaration, grants may be made for: removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Credentials/Documentation:

Cost will be determined in accordance with FEMA Disaster Assistance Regulations, 44 CFR Part 206 and Part 13, and the FEMA Schedule of Equipment Rates. Grant awards will be determined in accordance with 2 CFR Part 200, and for declarations that precede issuance of 2 CFR Part 200, with OMB Circulars No. A-102 and No. A-87 for States, local governments and Indian Tribes. Awards made to Institutions of Higher Education, Hospitals and other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on

the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The State Governor makes a request for a Presidential declaration of an emergency or a major disaster through the FEMA Regional Director. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206.36, except as provided in Part 206.35(d) for emergency declarations involving primarily Federal responsibility.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Presidential Disaster or Emergency Declaration must be issued, following which an applicant may submit a Request for Public Assistance through the Governor's Authorized Representative to the FEMA Regional Administrator

Award Procedure:

Funds are allocated from the Disaster Relief Fund for use in a designated emergency or major disaster area. FEMA approves grants from this allocation on the basis of project applications for eligible applicants, eligible facilities, eligible work, and eligible costs. State and Tribal recipients are responsible for distributing funds to subrecipients. As a condition of receiving assistance under the Stafford Act, the applicable State, Territorial, or Tribal government must enter into an agreement with FEMA regarding the understanding, commitments, and conditions under which FEMA provides assistance known as a FEMA-State/Territory/Tribe Agreement. Applicants must also maintain a FEMA-approved State Mitigation Plan and an Administrative Plan.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 1 day to 6 weeks . A Request for Public Assistance must be submitted by the applicant at the Applicants' Briefing or within 30 days of the respective area being designated in the emergency declaration or major disaster declaration for the Public Assistance Program.

Appeals:

Other. Any determination made under the Public Assistance Program may be appealed. All appeals must be made through the State and the appropriate FEMA Regional Office listed in Appendix IV of the Catalog. The appeal deadlines are: 60 days from receipt of notification of the action being appealed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Robert T. Stafford Disaster Relief and Emergency Assistance Act, Chapter 42, Part 5121-5206, Subpart ,Public Law 93-288. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Statutory Formula: Title Robert T. Stafford Disaster Relief and Emergency Assistance Act Chapter 42 Part 5121-5206 Subpart Public Law 93-288 Matching Requirements : Percent: 25 The Federal share of the grant is not less than 75 percent with the State and local governments, or eligible private non-profits, responsible for the remainder. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of the assistance period varies depending on the type of work or service. Reimbursement for small projects under \$131,100 is made based on the Federal estimate of costs. For projects over \$131,100, reimbursement of actual eligible costs is made as the costs are incurred. The \$131,100 limit is adjusted annually to reflect the Consumer Price Index. When purchase of insurance is required, the applicant is obliged to maintain the policy for the economic and useful life of the repairs or of the insured property, whichever is the lesser. Also, applicants must comply with Floodplain Management, Hazard Mitigation, Environmental, and Historic Preservation considerations. See the following for information on how assistance is awarded/released: payment based on project need. Method of awarding/releasing assistance: payment based on project need. Method of awarding/releasing assistance: Payment based on Project need. Method of awarding/releasing assistance: other

Reports:

A Program Performance Report is used to collect performance information from

ASSISTANCE LISTINGS DESCRIPTIONS

recipients of Public Assistance funds awarded. Program Performance Reports must be submitted quarterly for Public Assistance. Recipients need to plan for the due dates and pass-through entities need to make sure subrecipients submit reports with enough time for the pass-through entity to complete reports and get them into FEMA on time. PA Recipients must submit Large Project Quarterly Progress Reports (QPR) on all open Large Projects quarterly. This is a tool that allows FEMA and the Recipient to track the progress of all Large Projects. The Recipient, once it receives the report, must submit the QPR to FEMA no later than 30 days after the end of each quarter. Recipients must also submit Federal Financial Reports (FFRs) (SF-425s) quarterly to the respective FEMA Regional Office. The FFR provides the status of funds for the prime award, the Recipients expenditure drawdowns, and whether the Recipient is meeting its cost-share requirements. Refer to <https://www.fema.gov/media-library/assets/documents/111781>. program guidance. Refer to program guidance. <https://www.fema.gov/media-library/assets/documents/111781>. Refer to program guidance. <https://www.fema.gov/media-library/assets/documents/111781>. Refer to program guidance. <https://www.fema.gov/media-library/assets/documents/111781>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Recipient and Subrecipients are subject to Federal and non-Federal audits. Records are subject to audit by State or Territorial government auditors, FEMA, the U.S. Department of Homeland Security Office of Inspector General (OIG), and the U.S. Government Accountability Office (GAO). FEMA may adjust project funding based on audit findings. A single audit or program-specific audit is performed when a Recipient or Subrecipient expends \$750,000 or more in Federal funds during its fiscal year. The Department of Homeland Security's OIG conducts independent audits and investigations on FEMA programs, operations, activities, and functions; how Recipients and Subrecipients expend Federal funds; and oversight of non-Federal audits such as single audits. The OIG evaluates activities to identify, deter, and address fraud, waste, and abuse. The OIG has authority to audit any project, including Alternative Procedures Projects. The GAO is the investigatory arm of Congress and is under the direction of the Comptroller General of the United States. GAO is an independent, nonpartisan agency that investigates how the Federal Government spends taxpayer dollars. Its mission is to help improve the performance and accountability of the Federal Government. Although the GAO usually audits FEMA programs, it has authority to audit any project.

Records:

Subrecipients must maintain all source documentation for each Project for 3 years after the date of transmission of the final expenditure report for the project completion as certified by the Recipient. The Recipient must keep all financial and program documentation for 3 years after the date it submits the final SF-425. There are several exceptions to this timeframe that may require longer retention periods, including: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). When records are transferred to or maintained by the Federal awarding agency or pass-through entity, the 3-year retention requirement is not applicable to the non-Federal entity. See 2 C.F.R. 200.334(d).

Account Identification:

70-0702-0-1-453.

Obligations:

Project Grants FY 20 \$1,747,772,868.00; FY 21 est \$17,252,519.00; FY 22 est \$2,093,126,567.00;

Range and Average of Financial Assistance:

The minimum project threshold for a PA grant as of FY 2021 is \$3,320, and FEMA provides funding based on eligibility costs without a set limit.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: FEMA has continued to develop and implement improvement to the PA program in order to meet the needs of survivor communities in the face of unprecedented disaster activity. In 2017, FEMA redesigned the PA program to focus on consistency in eligibility decision-making, project processing, documentation collection, and disaster operations. FEMA redesigned the program delivery model to increase simplicity, increase accuracy, increase efficiency, improve timeliness, and improve accessibility of the program. Civil Defense/Disaster Prevention and Relief/Emergency Preparedness Restricted to Presidentially declared disasters or emergencies, such as an earthquake, hurricane, tornado, or wildfire. Eligibility includes State and Territorial governments, including the District of Columbia, American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and the U.S. Virgin Islands. Eligible Applicants also include federally recognized Indian Tribal governments, including Alaska Native villages and organizations. Local governments such as counties and parishes, municipalities, cities, towns, boroughs, townships, and school districts, are also eligible Applicants. Certain private nonprofit organizations are also eligible to apply for FEMA Public Assistance funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 205 for disasters and emergencies declared prior to November 23, 1988; Federal Disaster Assistance Regulations, 44 CFR Part 206 for disasters declared on or after November 23, 1988; "Public Assistance Guide," FEMA 322 (formerly FEMA 286); "Public Assistance Policy Digest," FEMA 321; "Public Assistance Applicant Handbook," FEMA 323. See Public Assistance web page at <http://www.fema.gov/government/grant/pa/index.shtm>.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Director, Public Assistance Division Traci Brasher, Acting Director,
500 C Street, S.W.,
Washington DC 20472
Email: FEMA-HQ-CSS@dhs.gov
Phone: (202) 646-4136 or 1-800-621-3363

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.034 Disaster Unemployment Assistance; 10.054 Emergency Conservation Program; 12.102 Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works; 12.103 Emergency Operations Flood Response and Post Flood Response;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.039 Hazard Mitigation Grant

(HMGP),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 404, Public Law 93-288, 42 USC Code 5170c.

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The objective of the program is to provide funding support to states, Indian tribal governments, territories, communities, and other eligible applicants to reduce the risk of future damage, loss of life and property in any area affected by a major disaster. This program promotes implementation of activities designed to reduce injuries, loss of life, hardship, suffering, and damage and destruction to property from natural hazards which is consistent with DHS QHSR Goal 5.1, Mitigate Hazards and links to Presidential Policy Directive (PPD-8) - National Preparedness, Security, Resilience, Prevention, Mitigation, Response, Protection, and Recovery.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Except for HMGP Postfire which is triggered by a Stafford Act, Section 420 Fire Management Assistant Grant (FMAG) declaration, restricted to major Presidential-declared disasters, such as an earthquake, hurricane, tornado, or wildfire.

Following a major disaster Presidential declaration, grants may be made for: construction activities that will result in reduction of risk from natural hazards; retrofitting of facilities; acquisition of real property, relocation, demolition of structures; elevation of residential structures; major or minor flood reduction projects; structural retrofitting of existing structures; safe room construction; initial implementation of vegetation management programs; elevation or dry flood-proofing of non-residential structures; initial training of building officials and other professionals to facilitate the implementation of newly adopted State or local mitigation standards and codes, and mitigation planning actions. Projects must meet all eligibility criteria including technical feasibility; cost-effectiveness; compliance with environmental and historic preservation laws and regulations and other program requirements. Please see the Hazard Mitigation Assistance (HMA) Guidance on the FEMA website for detailed information:

<http://www.fema.gov/media-library/assets/documents/103279>.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Government - General, State (includes District of Columbia), Federally Recognized Indian Tribal Governments, certain U.S. Territories States Federally-recognized Indian tribal governments, Territories in designated major disaster areas shall serve as the Applicant to FEMA for HMGP assistance. A State is defined as any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands. Applicants that have a current, approved Standard State/Tribal Mitigation Plan at the time of the declaration of a major disaster are eligible to receive up to 15% of the value of all other disaster assistance grants for HMGP. Applicants that have an approved Enhanced State/Tribal Mitigation Plan in effect may receive 20 percent of the value of all other disaster assistance grants for HMGP.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Native American Organizations; Homeowner; Land/Property Owner; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories, Individual/Family, Homeowner, Land/Property Owner, State, Local, Public nonprofit institution/organization, Federally Recognized and non-Federally recognized Indian Tribal Governments, State and local governments; other political subdivisions such as a special districts, private, non-profit organizations that own or operate a private, non-profit public facility; certain qualified conservation organizations may apply as subapplicants for acquisition or relocation for open space projects; Indian tribes (non-Federally-recognized) or authorized tribal organizations and Alaska Native villages or organizations, but not Alaska native corporations with ownership vested in private individuals in designated emergency or major disaster areas are eligible to apply as subapplicants for assistance. All interested subapplicants must apply to the Applicant, who then applies to FEMA. Homeowners are not eligible to apply as subapplicants but may request their local jurisdiction to apply on their behalf. See program guidance.

Credentials/Documentation:

Refer to HMA program guidance document. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is

required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Rather than require applicants to submit a separate pre-application in order for FEMA and the State to identify the need for assistance, FEMA will employ the administrative and Mitigation plans in this manner. When States submit plans for review and indicate their intention to apply for assistance to implement one or more projects described in it, FEMA will use the plan to identify a need for funding assistance, and to discourage applications that are unlikely to be funded. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A major Disaster Presidential Declaration must be issued, which is then followed by an application for the HMGP through the Governor's Authorized Representative to the Regional Administrator of FEMA. For applications under HMGP Postfire, a Stafford Act Section 420 Fire Mitigation Assistance Grant (FMAG) declaration must be issued. A major disaster Presidential declaration is not required for HMGP Postfire.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in an area under a major disaster Presidential declaration. The FEMA Regional Administrator approves grants from this allocation on the basis of project applications from eligible applicants. Applicants are responsible for distributing funds to subapplicants.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to HMA program guidance document.

Appeals:

Other. An eligible applicant or subapplicant may appeal any determination previously made related to an application for or the provision of Federal assistance according to procedures set forth in 44CFR Section 206.440.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title, Chapter, Part, Subpart, Public Law. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. FEMA can fund up to 75 percent of the eligible costs of projects submitted under each disaster declaration. The State or project applicant is responsible for the remainder which can be a combination of cash, in-kind services, or materials. Refer to HMA program guidance for additional information. Statutory Formula: Title Chapter Part Subpart Public Law Matching Requirements: Percent: Other FEMA can fund up to 75 percent of the eligible costs of projects submitted under each disaster declaration. The State or project applicant is responsible for the remainder which can be a combination of cash, in-kind services, or materials. Refer to HMA program guidance for additional information. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length of the assistance period varies depending on the type of work or service. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

ASSISTANCE LISTINGS DESCRIPTIONS

Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due quarterly and a final financial report is due 120 days after the expiration or termination of grant award. Recipients are required to submit quarterly financial reports. Quarterly Financial Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial reports are due quarterly and a final financial report is due 120 days after the expiration or termination of grant award. Recipients are required to submit quarterly performance reports. Quarterly Performance Reports must include the progress of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final performance reports are due quarterly and a final financial report is due 120 days after the expiration or termination of grant award. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final reports are due quarterly and a final financial report is due 120 days after the expiration or termination of grant award. Recipients are required to submit quarterly performance reports. Quarterly Performance Reports must include the progress of each subaward. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final performance reports are due quarterly and a final financial report is due 120 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0700-0-1-999.

Obligations:

Project Grants FY 20 \$65,021,134.00; FY 21 est \$238,229,621.00; FY 22 est \$500,000,000.00;

Range and Average of Financial Assistance:

Refer to HMA program guidance.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be governed by 44 CFR Subpart N Hazard Mitigation Grant Program Sections 206.430-206.440, 44 CFR Part 9, 44 CFR Part 80, 44 CFR Part 201; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended; Hazard Mitigation Assistance Guidance (2015) and past program guidance, as applicable.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of FEMA's Regional Offices.

Headquarters Office:

Kayed Lakhia, Deputy Director, Hazard Mitigation, Federal Insurance and Mitigation Administration,
400 C Street SW,
Washington DC 20472

Email: kayed.lakhia@fema.dhs.gov

Phone: (202)646-3458

Fax: (202)646-2880

Website Address:

<https://www.fema.gov/hazard-mitigation-grant-program>

RELATED PROGRAMS:

97.047 Pre-Disaster Mitigation; 97.029 Flood Mitigation Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 Mitigation measures to reduce injuries, loss of life, hardship, suffering, and damage and destruction to property from natural hazards

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program regulations and guidance for information on criteria for selecting projects.

97.040 Chemical Stockpile Emergency Preparedness Program

(CSEPP),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Department of Defense Authorization Act of 1986, Title 14, Part B, Section 1412, Public Law 99-145, 50 USC Code 1521.

OBJECTIVES:

The objective is to enhance emergency preparedness capabilities of the States and local communities at the two U.S. Army chemical weapons stockpile storage facilities. The programs purpose is to assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage and ultimate disposal of chemical warfare materials.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications are accepted only from the State of Colorado and the Commonwealth of Kentucky. These eligible States house the U.S. Army stockpiles unitary chemical warfare agent as bulk chemicals and munitions. Local governments are eligible to participate as subrecipients under their State's application. In keeping

ASSISTANCE LISTINGS DESCRIPTIONS

with the intent of the Congressional appropriations, CSEPP funds are to be utilized for effective emergency management capabilities in the two affected States and localities surrounding the two Army stockpile locations. CSEPP funds may not be used as a substitute for other mandated, unfunded programs required for existing needs or by other laws. CSEPP funds may not be used to supplant other forms of emergency management funding. CSEPP funds may not be commingled with other, non-CSEPP funds. CSEPP funds are used for the purpose set forth in the Cooperative Agreement (CA) and must be consistent with the statutory authority for the award. CA funds may not be used for matching funds for other Federal grants/CAs, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. The recipients financial management systems must be sufficient to permit the tracing of funds to a level of expenditures adequate to establish that the funds have been used in compliance with applicable laws, regulations, and the terms and conditions of the award per 2 C.F.R. 200.302(a). Refer to NOFO for additional information.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Applications are accepted only from the State of Colorado and the Commonwealth of Kentucky. These eligible States host the U.S. Army stockpiles of unitary chemical warfare agent as bulk chemicals and munitions. Local governments are eligible to participate as subrecipients under their State's application.

Beneficiary Eligibility:

Anyone/general public; State; Local; State, local and tribal governments, and general public.

Credentials/Documentation:

The budget worksheets used for CSEPP must be included in the official application package. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application procedures and other relevant application information are contained in the CSEPP NOFO . <https://www.grants.gov/web/grants/view-opportunity.html?opId=324302>

Award Procedure:

Final award decisions are made and reviewed by DHS/FEMA program and awards administration staff, Aany issues or concerns will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Contact the headquarters or regional office, as appropriate, for application deadlines. Refer to the Notice of funding Opportunity for additional information.

Appeals:

Not Applicable.

Renewals:

Not Applicable. A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

Statuary formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Upon receipt of appropriated funding from the Department of Defense, release of a FEMA Notice of Funding Opportunity, application by eligible recipients, and FEMA approval, cooperative agreements are awarded to eligible recipients by the respective FEMA regions, generally with a twenty-four-month period of performance that may be extended. Funds are made available to recipients in the Federal Emergency Management Agency Payment and Reporting System (PARS) and may be drawn as needed to reimburse expenditures. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to the Notice of Funding Opportunity. <https://www.grants.gov/web/grants/view-opportunity.html?opId=324302> Recipients are required to submit performance reports for each funded CSEPP National Benchmark 30 days after the end of each quarter. Report dates are: January 30, April 30, July 30, and October 30. The final performance report is due 90 days after the close of the Cooperative Agreement. Expenditure reports are created in CSEPPWebCA. Expenditure reports will be monitored to identify any funding that may not be used and could be available to reallocate either for CSEPP requirements within the State or for return to FEMA. Performance Reports: Refer to the Notice of Funding Opportunity for detailed information. <https://www.grants.gov/web/grants/view-opportunity.html?opId=324302> Refer to the Notice of Funding Opportunity for detailed information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for

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negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0711-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$21,696,442.00; FY 21 est \$20,356,891.00; and FY 22 est \$16,884,169.00;

Range and Average of Financial Assistance:

\$1 to \$22,000,000

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Colorado and Kentucky communities will maintain and further enhance preparedness capabilities as measured by the twelve programmatic benchmarks, which include a full-scale exercise at each site, upgraded and expanded emergency communication and alerting systems, training across emergency management disciplines, and coordinated hazard-specific planning .

REGULATIONS, GUIDELINES, AND LITERATURE:

2CFR200; Chemical Stockpile Emergency Preparedness Program Guidebook and CSEPP Strategic Plan. All available, relevant guidance is routinely provided to all eligible State participants. State and local organizations desiring information about this program should contact their State Emergency Management Agencies or Departments.

Regional or Local Office:

See Regional Assistance Locations. Charles Williams, FEMA Region IV3003 Chamblee Tucker RoadAtlanta, GA 30341(404) 895-2219Cheryl Layman, FEMA Region VIII Denver Federal CenterBuilding 710, Box 25267Denver, CO 80225-0267(303) 235-4761Cheryl.Layman@fema.dhs.gov

Headquarters Office:

Martin J. Cybulski,
400 C St, SW.,
Washington DC DC 20472
Email: martin.cybulski@fema.dhs.gov
Phone: (202) 716-8503
Fax:

Website Address:

<http://www.fema.dhs.gov>

RELATED PROGRAMS:

97.020 Hazardous Materials Training Program;

EXAMPLES OF FUNDED PROJECTS:

2021 Improvements in local capacity to plan for and respond to accidents associated with the storage and ultimate disposal of chemical warfare materials.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the CSEPP Notice of Funding Opportunity for information on criteria for selecting proposals . <https://www.grants.gov/web/grants/view-opportunity.html?oppId=324302>

97.041 National Dam Safety Program

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Title The National Dam Safety Program Act, , Public Law Pub. L. No. 104-303 (codified as amended at 33 U.S.C. 467-467n)

OBJECTIVES:

Both the National Dam Safety Program (NDSP) state assistance grant and the Rehabilitation of High Hazard Potential Dams (HHPD) grant programs improve the safety of dams in the United States and strive to achieve the key objective of national dam safety hazard reduction. Both grant programs implement the

Department of Homeland Security and Presidential Preparedness Directive (PPD)-8 objective of hazard mitigation, to develop and maintain those capabilities necessary to reduce the loss of lives and property by lessening the impact of the failure of dams. NDSP State Assistance Grant Program: The NDSP accomplishes this objective by supporting state and U.S. territory governments in the development and maintenance of dam safety programs, and enabling states and U.S. territories to take precautions that ensure the safety of the dams, such as the development of regulatory authority for the design, construction, operation, and maintenance of dams, the undertaking of dam inspections, and development of Emergency Action Plans (EAPs) for dams. Rehabilitation of HHPD Grant Program: The HHPD grant program accomplishes this objective by providing technical, planning, design, and construction assistance in the form of grants to non-Federal governmental organizations or nonprofit organizations. The grants will be used for planning for rehabilitation of eligible high hazard potential dams to address risk and bring the dams into compliance with state dam safety regulations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For costs associated with the establishment and maintenance of effective State dam safety programs. Restrictions: Funds may only be used for the purposes set forth in the grant and must be consistent with the statutory authority for the award. Grant funds must be used in accordance with 2 C.F.R. Part 200. Funds may not be used for matching funds for other Federal grants or cooperative agreements. Funds may not be used for lobbying, or intervention in federal regulatory or adjudicatory proceedings. Funds awarded under this grant may not be used to construct or repair any Federal or non-Federal dam. In addition, funds may not be used to sue the Federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. Pre-award costs are allowable only with the written consent of DHS and such costs must be reflected in the award agreement. For complete information on Uses and Restrictions, refer to program guidance. Eligible applicants are States, as defined by the National Dam Safety Program Act. This includes the 50 States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any other territory or possession of the United States that has a legislated dam safety program. Eligible states and territories must be working toward and/or developing a State dam safety program that is 1) authorized and budgeted by State or territory legislation and 2) includes, at a minimum, the 10 specified criteria and budgeting requirements outlined in the program guidance. For complete information regarding applicant eligibility and the 10 specified criteria and budgeting requirements refer to program guidance. The grant is intended to encourage dam safety through assisting States to develop and establish effective dam safety programs. Funding provided is for approved State Dam Safety Program activities.

Applicant Eligibility:

Government - General; All States and U.S. territories with a legislated and approved dam safety program are eligible for the National Dam Safety Program Assistance.

Beneficiary Eligibility:

State; Local; The State Dam Safety Program Office of eligible States.

Credentials/Documentation:

NDSP State Assistance Grant Program: For a State to be eligible for assistance under the NDSP program, the state must be working toward and/or developing a dam safety program that 1) is authorized and budgeted by State legislation and 2) includes, at a minimum, the 10 specified criteria and budgeting requirements outlined in the program guidance. For complete information, refer to the Department of Homeland Security (DHS) Notice of Funding Opportunity (NOFO) FY 2021 National Dam Safety Program. Rehabilitation of HHPD Grant Program: For a state to be eligible for assistance under the HHPD program, the state must submit a list of all eligible dams in that state. For each eligible dam, the state must submit official documentation that the dam owner has been notified of the deficiencies and unacceptable risk to the public that make the dam eligible for HHPD grant funding. Official documentation must be on official state or state dam safety program letterhead and may include official citations issued from the state dam safety program to the dam owner. See the Department of Homeland Security

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(DHS) Notice of Funding Opportunity (NOFO) FY 2021 Rehabilitation of High Hazard Potential Dams (HHPD) for specific details. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov. Application deadline and other information are contained in the application/program guidance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications for the NDSP State Assistance Grant Program are reviewed by FEMA Regional Office program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Applications for the Rehabilitation of High Hazard Potential Dams (HHPD) are reviewed by FEMA Headquarters program and administrative staff. FEMA makes the award to the State Administrative Agency (SAA). Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Other.

Formula and Matching Requirements:

Statutory Formula: Title National Dam Safety Program Act, Chapter , Part , Subpart ,Public Law 92-367. Matching Requirements: This program has MOE requirements, see funding agency for further details. Additional Information: National Dam Safety Program State Assistance Grant Statutory Formula: National Dam Safety Program Act, 33 U.S.C. 467f (e)(4). Matching requirements are not applicable to the National Dam Safety Program State Assistance Grant listing. The National Dam Safety Program State Assistance Grant has maintenance of effort (MOE) requirements, see funding agency for further details. Additional Information: , as amended. Assistance may not be provided to a State under the National Dam Safety State Assistance Grant for a fiscal year unless the State enters into such agreement with the Administrator to ensure that the State will maintain the aggregate expenditures of the State from all other sources for programs to ensure dam safety for the protection of human life and property at or above a level equal to the average annual level of such expenditures for the two (2) fiscal years preceding the fiscal year. National Dam Safety Act, 33 U.S.C. 467f (e)(4). Rehabilitation of HHPD Grant Program Statutory Formula: The National Dam Safety Program Act, 33 U.S.C 467f-2(g)(2). Matching requirements: Cost share is required under this program. Federal funding is available for up to 65 percent of the eligible activity costs. The remaining 35 percent of eligible activity costs must be derived from non-Federal sources, which may be in-kind. Requirements for cash and third-party in-kind contributions can be found in 2 CFR Section 200.306. Rehabilitation of High Hazard Potential Dams, 33 U.S.C.467f2..

Length and Time Phasing of Assistance:

Refer to Rehabilitation of High Hazard Potential Dams (HHPD) and National Dam Safety Funding Opportunity Announcement. Method of awarding/releasing assistance: other

Reports:

Program Specific Narrative and Work Plan Reporting requirements must be met

throughout the life of the grant (refer to the program guidance and the special conditions found in the award package for a full explanation of these requirements). Reports are due on January 30, April 30, July 30, and October 30. The report must consist of a comparison of actual accomplishments to the approved project objectives and performance measures. Copies of Performance Reports must be submitted through the ND Grants system and the Program Officer listed in the applicable NOFO. Final Report The recipient must submit two error free color laser copies of a report to the Grants Management Specialist and the Program Officer listed in section VII within thirty (30) days after the expiration of the grant. The final report is due 120 days after the end of the performance period. This report must document and summarize the results of the entire project. The report must provide a qualitative assessment of the impact this effort had related to emergency responder roadway operational safety public education and outreach, as well as documentation of met performance measures. The final report must be submitted in both Print and Web Publishing. Federal Financial Report (FFR) required quarterly. Quarterly progress reports are required to monitor progress. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425), which replaced the SF-269 and SF-272, which is due within thirty (30) days of the end of each calendar quarter (e.g., for the quarter ending March 31, the FFR is due no later than April 30). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent. The final FFR is due 120 (ninety) (90) days after the end date of the performance period. FFRs must be filed according to the process and schedule below: FFRs must be filed manually to the GPD or Regional Point of Contact listed in Part VII below: Reporting periods and due dates: October 1 December 31; Due January 30 January 1 March 31; Due April 30 April 1 June 30; Due July 30 July 1 September 30; Due October 30 The Recipient must submit quarterly performance reports within 30 days after the end of each period. Reports are due on, January 30, April 30 and July 30, October 30. The report must consist of a comparison of actual accomplishments to the approved project objectives and performance measures. Copies of Performance Reports must be submitted through the ND Grants system and the Program Officer listed in Part VII of the FY2012 grant guidance language. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is

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earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0711-0-1-453.

Obligations:

Project Grants FY 20 \$16,000,000.00; FY 21 est \$18,000,000.00; FY 22 est \$18,000,000.00;

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0711.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Development of regulatory authority for the design, construction, operation, and maintenance of dams, the undertaking of dam inspections, and development of Emergency Action Plans (EAPs) for dams.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Performance Office of Partnership Agreement and Cooperative Agreement guidance, Office of Financial Management Modular Instruction, FEMA's National Dam Safety Program Implementation Plan (September 97).

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Jenai Jordan,
400 C Street S.W.,
Washington DC 20472
Email: jenai.jordan@fema.dhs.gov
Phone: 202-805-8655
Darlene Messina,
400 C Street S.W.,
Washington DC 20472
Email: darlene.messina@fema.dhs.gov
Phone: 215-873-5303
Fax:

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.023 Community Assistance Program State Support Services Element (CAP-S SSE);

EXAMPLES OF FUNDED PROJECTS:

2020 Technical, planning, design, and construction assistance

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.042 Emergency Management Performance Grants

EMPG,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

OBJECTIVES:

The FY 2021 Emergency Management Performance Grant (EMPG) Program is one of the grant programs that constitute the Department of Homeland Security

(DHS)/Federal Emergency Management Agency (EFMA) focus on all-hazards emergency preparedness. These grant programs are part of a comprehensive set of measures authorized by Congress and implemented by the Administration. Among the five basic homeland security missions noted in the DHS Quadrennial Homeland Security Review, the EMPG supports the goal to Strengthen National Preparedness and Resilience. The 2018-2022 FEMA Strategic Plan creates a shared vision for the field of emergency management and sets an ambitious, yet achievable, path forward to unify and further professionalize emergency management across the country. The EMPG Program supports the goals of Building a Culture of Preparedness and Ready the Nation for Catastrophic Disasters. The purpose of EMPG is to provide Federal funds to states to assist state, local, territorial, and tribal governments in preparing for all hazards, as authorized by Section 662 of the Post-Katrina Emergency Management Reform Act (6 U.S.C. 762) and the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.). Title VI of the Stafford Act authorizes DHS/FEMA to make grants for the purpose of providing a system of emergency preparedness for the protection of life and property in the United States from all hazards and to vest responsibility for emergency preparedness jointly in the Federal Government, states, and their political subdivisions. The Federal Government, through EMPG, provides direction, coordination, and guidance, and provides necessary assistance, as authorized in this title, to support a comprehensive all-hazards emergency preparedness system. The FY 2021 EMPG Program will provide Federal funds to assist state, local, tribal, and territorial emergency management agencies to obtain the resources required to support implementation of the National Preparedness System and the National Preparedness Goal (the Goal) of a secure and resilient Nation. EMPG Program objectives include 1) closing capability gaps that are identified in the states or territories most recent Stakeholder Preparedness Review (SPR), and 2) building or sustaining those capabilities that are identified as high priority through the Threat and Hazard Identification and Risk Assessment (THIRA)/SPR process and other relevant information sources. The American Rescue Plan Act (ARPA) of 2021 provides an extra \$100 million in funding for the EMPG-ARPA Program to assist State, local, tribal, and territorial emergency management agencies in their continuing efforts with preventing, preparing for, and responding to the Coronavirus Disease 2019 (COVID-19) public health emergency. Funding will support planning and operational readiness for COVID-19 preparedness and response, as well as the development of tools and strategies for prevention, preparedness, and response, and ensure ongoing communication and coordination among federal, State, local, tribal, and territorial partners throughout the response. In addition, in FY 2020, the Coronavirus Aid Relief and Economic Security Act provided supplemental EMPG funding (EMPG-S) to assist State, local, tribal, and territorial emergency management activities supporting the prevention of, preparation for, and response to the COVID-19 public health emergency.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); All EMPG and EMPG-ARPA applicants are required to develop and submit a Work Plan as described in the EMPG Work Plan section of the Preparedness Grants Manual (PGM). The submitted Work Plan must address all the information requirements included in the template, the FY 2021 EMPG Program Notice of Funding Opportunity (NOFO)/FY 2021 EMPG-ARPA NOFO, and PGM. Recipients shall ensure that their EMPG Program Work Plans and Integrated Preparedness Plans (IPPs) align with and are complementary to one another and are used in tandem to support shared priorities for building and sustaining the state/territories preparedness capabilities. States/territories must coordinate with the FEMA Regional Administrator (RA) to identify 3-5 mutually agreed priorities, which will serve as the primary focus for developing the required Work Plan. Applicants are required to account for the supplemental EMPG-ARPA allocation in a Work Plan. Additionally, new for FY 2021, recipients will set outcome-oriented performance goals for closing capability gaps related to the three to five priorities agreed upon with the region, aligning funding with high-priority strategic preparedness needs. An outcome-oriented approach will allow recipients

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to define success, benchmark their projects, and measure their progress in building capability. Prior to submission, the applicant must work with the FEMA Regional Administrator, or designated FEMA Regional Program Manager, to ensure that appropriate regional and state/territory priorities are effectively addressed in the Work Plan. All EMPG and EMPG-ARPA Work Plans will require final approval by the applicable FEMA Regional Administrator. EMPG Regional Program Managers will work closely with states/territories to monitor Work Plans during the performance period and may request further documentation from the recipients to clarify the projected work plan. In addition, the applicable EMPG Regional Program Manager must approve final Work Plans before States may draw down EMPG or EMPG-ARPA Program funds.

Beneficiary Eligibility:

U.S. Territories; State; Local; Federally Recognized Indian Tribal Governments; Funding under this program is ultimately used by emergency management organizations and programs of States, the District of Columbia, territories and possessions of the United States, local, and Indian Tribal governments.

Credentials/Documentation:

All EMPG and EMPG-ARPA applicants are required to develop and submit a Work Plan as described in the EMPG Work Plan section of the Preparedness Grants Manual (PGM). The submitted Work Plan must address all the information requirements included in the template, the FY 2021 EMPG Program Notice of Funding Opportunity (NOFO)/FY 2021 EMPG-ARPA NOFO, and PGM. Recipients shall ensure that their EMPG Program Work Plans and Integrated Preparedness Plans (IPPs) align with and are complementary to one another and are used in tandem to support shared priorities for building and sustaining the state/territory preparedness capabilities. States/territories must coordinate with the FEMA Regional Administrator (RA) to identify 3-5 mutually agreed priorities, which will serve as the primary focus for developing the required Work Plan. Applicants are required to account for the supplemental EMPG-ARPA allocation in a Work Plan. Additionally, new for FY 2021, recipients will set outcome-oriented performance goals for closing capability gaps related to the three to five priorities agreed upon with the region, aligning funding with high-priority strategic preparedness needs. An outcome-oriented approach will allow recipients to define success, benchmark their projects, and measure their progress in building capability. Prior to submission, the applicant must work with the FEMA Regional Administrator, or designated FEMA Regional Program Manager, to ensure that appropriate regional and state/territory priorities are effectively addressed in the Work Plan. All EMPG and EMPG-ARPA Work Plans will require final approval by the applicable FEMA Regional Administrator. EMPG Regional Program Managers will work closely with states/territories to monitor Work Plans during the performance period and may request further documentation from the recipients to clarify the projected work plan. In addition, the applicable EMPG Regional Program Manager must approve final Work Plans before States may draw down EMPG or EMPG-ARPA Program funds. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For more information, refer to the EMPG Program NOFO/FY 2021 EMPG-ARPA NOFO and PGM. The applicable NOFOs are located online at <http://www.fema.gov/grants> as well as on www.grants.gov. The following forms or information are required to be submitted in either Grants.gov or the ND Grants System. The Standard Forms (SFs),

submitted either through Grants.gov, through forms generated in the ND Grants System, or as an attachment in the ND Grants System, are available at <https://www.grants.gov/web/grants/forms/sf-424-family.html>. SF-424, Application for Federal Assistance, submitted through Grants.gov Grants.gov Lobbying Form, Certification Regarding Lobbying, submitted through Grants.gov SF-424A, Budget Information (Non-Construction), submitted via the forms generated by the ND Grants System SF-424B, Standard Assurances (Non-Construction), submitted via the forms generated by the ND Grants System SF-LLL, Disclosure of Lobbying Activities, via the forms generated by the ND Grants System Indirect Cost Agreement or Proposal, submitted as an attachment in ND Grants if the budget includes indirect costs and the applicant is required to have an indirect cost rate agreement or proposal. See further information in the applicable NOFO regarding allowability of indirect costs and documentation requirements, including if the applicant does not have or is not required to have an indirect cost rate agreement or proposal, or contact the relevant Program Analyst or Grants Management Specialist for further instructions. In addition, applicants must submit a Program Work Plan. The Work Plan requirements are outlined in the applicable EMPG NOFO and the PGM, which are available online at <http://www.fema.gov/grants>. The EMPG NOFO is also available on www.grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications or plans are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to award being issued. All DHS/FEMA grant recipients are required to comply with DHS Standard Administrative Terms and Conditions. Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the recipient point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards. For more information, refer to the FY 2021 EMPG NOFO/FY 2021 EMPG-ARPA NOFO available online at <http://www.fema.gov/grants> as well as on www.grants.gov.

Deadlines:

February 25, 2021 - May 14, 2021. FY 2021: Feb 25, 2021 to May 14, 2021
Application Submission Deadline Date: May 14, 2021, at 05:00 PM EDT Funding Selection Announcement Date: June 30, 2021; Anticipated Award Date: No later than September 30, 2021

Range of Approval/Disapproval Time:

Other. Refer to the applicable FY 2021 EMPG NOFO and FY 2021 EMPG-ARPA NOFO, which are available online at <http://www.fema.gov/grants> as well as on www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable. A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009, Chapter , Part , Subpart ,Public Law 110-329. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching Requirements: Percent: Other Statutory Formula: In FY 2021, the Federal share of the cost of an activity carried out using funds made available under the program shall not exceed 50 percent of the total budget. State cost match (cash or in-kind) requirement, as authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 93-288), as amended, 42 U.S.C. 5121-5207, specifically, Title VI, sections 611(j) and 613; and section 662(c) of the Post-Katrina Emergency Management Reform Act of 2006 (6 U.S.C. 762(c)). Unless otherwise authorized by law, Federal funds cannot be matched with other Federal funds. FEMA administers cost matching requirements in accordance with 2 C.F.R. 200.306 . To meet matching requirements, the recipient contributions must be reasonable, allowable, allocable, and necessary under the grant program and must comply with all Federal requirements and regulations. In accordance with 48 U.S.C. 1469a, match requirements are waived for the insular areas: the U.S.

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territories of American Samoa, Guam, the U.S. Virgin Islands, the Trust Territory of the Pacific Island, and the Commonwealth of the Northern Mariana Islands.

Maintenance of Effort requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The funds provided under this announcement will be awarded prior to the end of FY 2021 and will have a three-year period of performance. Method of awarding/releasing assistance: other

Reports:

Recipients are responsible for providing updated performance reports on a quarterly basis as an attachment in ND Grants. The Performance Progress Report (PPR) should report on progress toward completing activities and projects as outlined in the approved Work Plan, to include: A brief narrative of overall project(s) status A summary of project expenditures A description of any potential issues that may affect project completion Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent. The final FFR is due 120 days after the end date of the performance period. Recipients are responsible for providing updated performance reports to FEMA using a Microsoft Word (Word) document summary attached in ND Grants, and this must be done on a quarterly basis. The Quarterly Performance Progress Reports must be based on the approved EMPG Work Plan and are due no later than 30 days after the end of the quarter (i.e., on January 30, April 30, July 30, and October 30). Recipients must complete the summary of activities and submit it as an attachment to the ND Grants system. Recipients are required to report quarterly on progress toward completing activities and projects approved in their EMPG Work Plans, how expenditures support maintenance and sustainment of core capabilities, and progress made toward implementing the National Preparedness System. This includes reporting on the following information: List of completed training courses and exercises and the Training and Exercise Data Table Percent completion of the Training and Exercise Plan (TEP) outlined in the EMPG Work Plan Grantees are obligated to submit various financial and programmatic reports as a condition of their award acceptance. Please see below for a summary of financial and/or programmatic reports as required. Future awards and funds drawdown may be withheld if these reports are delinquent, demonstrate lack of progress, or are insufficient in detail. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503 FEMA grant recipients are subject to audit oversight from multiple entities including the DHS OIG, the GAO, the pass-through entity, or independent auditing firms for single audits, and may cover activities and costs incurred under the award. Auditing agencies such as the DHS OIG, the GAO, and the pass-through entity, if applicable, and FEMA in its oversight capacity, must have access to records pertaining to the FEMA award. Recipients and subrecipients must retain award documents for at least three years from the date the final FFR is submitted, and longer in some cases, subject to the requirements of 2 C.F.R. 200.334. In the case of administrative closeout, documents must be retained for at

least three years from the date of closeout, or longer, subject to 2 C.F.R. 200.334. If documents are retained longer than the required retention period, the DHS OIG, GAO, and pass-through entity, as well as FEMA in its oversight capacity, have the right to access these records as well. See 2 C.F.R. 200.334, 200.337. Additionally, non-federal entities must comply with the single audit requirements at 2 C.F.R. Part 200, Subpart F. Specifically, non-federal entities, other than for-profit subrecipients, that expend \$750,000 or more in federal awards during their fiscal year must have a single or program-specific audit conducted for that year in accordance with Subpart F. 2 C.F.R. 200.501. A single audit covers all federal funds expended during a fiscal year, not just FEMA funds. The cost of audit services may be allowable per 2 C.F.R. 200.425, but non-federal entities must select auditors in accordance with 2 C.F.R. 200.509, including following the proper procurement procedures. The objectives of single audits are to: Determine if financial statements conform to generally accepted accounting principles (GAAP); Determine whether the schedule of expenditures of federal awards is presented fairly; Understand, assess, and test the adequacy of internal controls for compliance with major programs; and Determine if the entity complied with applicable laws, regulations, and contracts or grants. For single audits, the auditee is required to prepare financial statements reflecting its financial position, a schedule of federal award expenditures, and a summary of the status of prior audit findings and questioned costs. The auditee also is required to follow up and take appropriate corrective actions on new and previously issued but not yet addressed audit findings. The auditee must prepare a corrective action plan to address the new audit findings. 2 C.F.R. 200.508, 200.510, 200.511. Non-federal entities must have an audit conducted, either single or program-specific, of their financial statements and federal expenditures annually or biennially pursuant to 2 C.F.R. 200.504. Non-federal entities must also follow the information submission requirements of 2 C.F.R. 200.512, including submitting the audit information to the Federal Audit Clearinghouse within the earlier of 30 calendar days after receipt of the auditors report(s) or nine months after the end of the audit period. The audit information to be submitted include the data collection form described at 2 C.F.R. 200.512(b) and Appendix X to 2 C.F.R. Part 200 as well as the reporting package described at 2 C.F.R. 200.512(c). The non-federal entity must retain one copy of the data collection form and one copy of the reporting package for three years from the date of submission to the Federal Audit Clearinghouse. 2 C.F.R. 200.512; see also 2 C.F.R. 200.517 (setting requirements for retention of documents by the auditor and access to audit records in the auditors possession). FEMA, the DHS OIG, the GAO, and the pass-through entity, if applicable, as part of monitoring or as part of an audit, may review a non-federal entity's compliance with the single audit requirements. In cases of continued inability or unwillingness to have an audit conducted in compliance with 2 C.F.R. Part 200, Subpart F, FEMA and the pass-through entity, if applicable, are required to take appropriate remedial action under 2 C.F.R. 200.339 for noncompliance, pursuant to 2 C.F.R. 200.505.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for

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negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0519-0-1-999.

Obligations:

Formula Grants FY 20 \$455,100,000.00; FY 21 est \$455,100,000.00; FY 22 est \$355,099,999.00;

Range and Average of Financial Assistance:

EMPG Program funding is determined based on population. The range of awards varies from \$50,000 to over \$27 million

TAFS Codes:

70-0718.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The FY 2021 EMPG will provide Federal funds to assist state, local, tribal, and territorial emergency management agencies to obtain the resources required to support implementation of the National Preparedness System and the National Preparedness Goal (the Goal) of a secure and resilient Nation.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following 2 C.F.R. Part 200 requirements apply to this assistance listing: Subpart A, Acronyms and Definitions; Subpart B, General provisions; Subpart C, Pre-Federal Award Requirements and Contents of Federal Awards; Subpart D, Post Federal; Award Requirements; Subpart E, Cost Principles; Subpart F, Audit Requirements

Regional or Local Office:

See Regional Assistance Locations. DHS/FEMA Regional Offices also may provide fiscal support, including pre- and postaward administration and technical assistance such as conducting cash analysis, financial monitoring, and audit resolution to the grant programs included in this solicitation. GPD will provide programmatic support and technical assistance. A list of contacts in FEMA Regions is available online at <https://www.fema.gov/fema-regional-contacts>.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management,
500 C Street SW,
Washington DC 20472
Email: askcsid@fema.dhs.gov
Phone: 18003686498
Fax:

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.067 Homeland Security Grant Program; 97.008 Urban Areas Security Initiative ;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As the EMPG is a formula grant, funding is awarded to all eligible recipients and the award amounts are predetermined, as noted in the applicable NOFO which are available online at <http://www.fema.gov/grants> as well as on www.grants.gov.

97.043 State Fire Training Systems Grants

National Fire Academy State Fire Training Grants,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF AUTHORIZATION:

Homeland Security Act of 2002, , Public Law 107-296, U.S.A. Patriot Act of 2001, , Public Law 107-56, Federal Fire Prevention and Control Act of 1974, Title 21, , Public Law 93-498, Title Federal Fire Prevention and Control Act of 1974,

OBJECTIVES:

The National Fire Academy (NFA) promotes the professional development of the fire and the emergency response community and its allied professionals. NFA supports State and local training organizations to fulfill their obligation to the career and volunteer fire and emergency services. NFA also develops, delivers, and manages educational and training programs having a national focus that are outside State and local training missions or that exceed State and local capabilities because of cost or audience. The programs are designed to support PPD-8 through training programs which support State and local response agencies to prevent, mitigate, prepare for, and respond to local, regional, and national emergencies. NFA training helps to strengthen the States abilities to meet individual training mandates and bridge the gaps in their training programs with delivery of NFA courses and programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds are to be used within each State to deliver NFA training courses/programs and for marketing, administrative costs, and electronic feedback of student data. Each State Fire Training System (State) will receive a total of \$20,000 with \$15,000 earmarked for delivery of NFA training programs and \$5,000 for marketing, administrative costs, and electronic feedback of student data. DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other federal grants / cooperative agreements, or for lobbying or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. States must use funds for the purpose of delivering NFA-developed courses. Pre-award costs are allowable only with the written consent of DHS and such costs must be reflected in the award agreement. See program guidance for information on eligibility. Applicant eligibility is restricted to the 50 State Fire Training Systems.

Applicant Eligibility:

Other public institution/organization; Representatives from the 50 State Fire Training Systems.

Beneficiary Eligibility:

State; Local; Specialized Group; fire and emergency response personnel.

Credentials/Documentation:

Credentials: Applicants must provide a work plan that includes a narrative project description along with the proposed costs for the project. The work plan will be used as a guide by the program office to approve / disapprove payment requests, therefore, the plan must be specific and must align with the amount of funding requested / received for the project description. Documentation: All beneficiaries / students must submit the standard FEMA Form 75-5 (long form) to the State or sponsoring host organization prior to the start of the class. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal Grants.gov. Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. Only applications made through www.grants.gov will be accepted. The on-line application requires (among other documentation), the Standard Forms 424, Project Narrative, and Budget. For complete information on all required forms, attachments, submission instructions / requirements, deadlines, and other procedural information, refer to program guidance.

Award Procedure:

ASSISTANCE LISTINGS DESCRIPTIONS

The application will be reviewed and recommended for funding by the DHS/FEMA/USFA/NFA Project Officer based on the program criteria identified in the announcement / guidance. Notification of award is made through the electronic Non Disaster Grant System (NDGrants). Once an award has been approved, a notice is sent to the authorized grantee official. Awards are subject to certain administrative, national policy, and cost principle requirements delineated in 2 CFR For complete information on the award procedure, and CFR (and other) requirements, refer to program guidance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Method of awarding/releasing assistance: other

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance.

Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>

Records:

Grant records shall be retained for a period of at least 3 years from the day the recipient submits its final expenditure report. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award. 2 C.F.R. 200.3343 requires the retention of records beyond 3 years of the date of the final expenditure report for other reasons as well. The record retention period may be longer than three years in certain cases or the retention period might start a different point in time. These include: Records for real property and equipment acquired with Federal funds must be retained for 3 years after final disposition of the property. See 2 C.F.R. 200.3334(c). If any litigation, claim, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.3334(a). The record retention period will be extended if the recipient is notified in writing of the extension by DHS/FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.3343(b). Where DHS/FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.3334(e). For indirect cost rate proposals, cost allocation plans, or other rate computation records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not

submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.3334(f).

Account Identification:

70-0564-0-1-800.

Obligations:

Project Grants FY 20 \$885,145.00; FY 21 est \$1,000,000.00; FY 22 est \$1,000,000.00;

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0564.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: 47 States participated in the State Fire Training grant. Total number of students trained by state, under this grant, can be viewed at <https://www.usfa.fema.gov/training/nfa/about/profiles>

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. FEMA regional offices.

Headquarters Office:

United States Fire Administration, FEMA Diane Close, Project Officer, 16825 South Seton Avenue, Emmitsburg MD 21727

Email: Diane.Close@fema.dhs.gov

Phone: (301) 447-1376

Website Address:

<http://www.usfa.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance.

97.044 Assistance to Firefighters Grant

(Fire Grants),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF AUTHORIZATION:

The Federal Fire Prevention and Control Act of 1974, , Public Law 112-239, 15 USC Code 2229 et seq. Title Coronavirus Aid, Relief, and Economic Security (CARES) Act, Div. B, Public Law 116-136,

OBJECTIVES:

The purpose of the Assistance to Firefighters Grants (AFG) Program is to enhance the safety of the public and firefighters with respect to fire and fire-related hazards by providing direct financial assistance to eligible fire departments, nonaffiliated emergency medical service (EMS) organizations, and State Fire Training Academies (SFTA) for critically needed resources to equip and train emergency personnel to recognized standards, enhance operational efficiencies, foster interoperability, and support community resilience. The purpose of the Fire Prevention and Safety (FP&S) Grant Program is to enhance the safety of the public and firefighters with respect to fire and fire-related hazards and support firefighter health and safety research and development by providing financial assistance directly to eligible fire departments, national, regional, state, local, tribal and non-profit organizations such as academic (e.g., universities), public health, occupational health, and injury prevention institutions.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Project Grants

USES AND USE RESTRICTIONS:

AFG Program grants are both competitive and discretionary and are direct financial assistance awards to support firefighting and EMS operations and safety, vehicle acquisition and regional projects. Specific activities funded include professional training, wellness and fitness programs, equipment, PPE, modifications to facilities for first responder safety, other equipment and supplies that support firefighting and EMS operations and safety; firefighting vehicles, and emergency vehicles. Grants also are awarded for the FP&S Program are awarded to support activities to protect the public from fire and fire-related hazards. Specific activities funded include general public education and awareness; code enforcement and awareness; fire and arson investigation; national, state, and regional programs and studies; and firefighter safety and research and development. Grantees must adhere to the restrictions stated in the NOFO for the program and fiscal year in which they receive the award. Furthermore, as a condition of receiving an award, recipients also must agree to adhere to the award articles of agreement that require grantees to use the funds for the purpose stated in the awarded grant applications, and to meet the special conditions specified in the articles of agreement.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions (includes institutions of higher education and hospitals); Specialized group (e.g. health professionals, students, veterans); Eligible applicants for the AFG program are limited to fire departments, nonaffiliated EMS organizations, and SFTAs operating in any of the 50 States plus the District of Columbia, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, and Puerto Rico. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression to a population within a fixed geographical area on a first-due basis. A "nonaffiliated EMS organization" is defined as a public or private nonprofit emergency medical services organization that provides direct emergency medical services, including medical transport, to a specific geographic area on a first-due basis but is not affiliated with a hospital and does not serve a geographic area where emergency medical services are adequately provided by a fire department. A State Fire Training Academy is defined as the primary state fire training academy, agency, or institution, for each state; which provides entity-wide delivery of fire training (and EMS training if applicable) as specified by legislative authorization, by general statutory authorization or charter, or is ad-hoc in nature with the general acceptance of the fire service.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans); State; Local; The ultimate beneficiaries of this program are the local or tribal communities serviced by the applicants, including, but not limited to, local businesses, homeowners and property owners. Additionally, children under 16 years of age, senior citizens, and firefighters would be the beneficiaries since these groups are the targeted "risk groups" for the fire prevention program.

Credentials/Documentation:

Applicant must certify that they are an eligible applicant, i.e., a fire department, as described in program guidance documents: fire departments; and national, regional, state, local, federally recognized tribal, and non-profit organizations that are recognized for their experience and expertise in fire prevention and safety programs and activities. Both private and public non-profit organizations are eligible to apply for funding in the Fire Prevention and Safety Grant Program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. NOFOs for The AFG and FP&S NOFOs are published on grants.gov and fema.gov. The online applications are available through the AFG Programs FEMA GO (FEMA Grants Outcomes) application portal, at <https://go.fema.gov>. All applicants for this award must: 1. Be registered and active in System for Award Management (SAM) in order to apply; 2. Provide a valid DUNS number in its application; and 3. Continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by DHS FEMA.

Award Procedure:

Submitted applications are reviewed by peer review panelists and then by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the program guidance and application kit document.

Appeals:

Other. Applicants whose grant requests are not recommended for funding after the peer review panel evaluation may request a reconsideration of that decision. Such a request may be successful if it is determined that the rating criteria were not appropriately applied, or there was a flaw in the competitive process that unfairly disadvantaged the applicant, or there was human error in the processing of the grant application. Requests for reconsideration must be submitted in writing within 30 days of the date of the notification of denial.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. AFG Matching Requirements: Applicants who protect a population of over 1,000,000 are required to provide a nonfederal cost-share of not less than 15 percent of the grant awarded. Applicants who protect a population between 20,000 and 1,000,000 are required to provide a nonfederal cost-share of not less than 10 percent of the grant awarded. Applicants who protect a population of less than 20,000 are required to provide a nonfederal cost-share of not less than 5 percent of the grant awarded. Generally, Nno waivers of this requirement will be granted except for fire departments of Insular Areas as provided for in 48 U.S.C. 1469a. FP&S Matching Requirements: An eligible applicant seeking an FP&S Grant Program award to carry out an activity shall agree to make available non-federal funds to carry out such activity in an amount equal to and not less than 5 percent of the grant awarded. This program has MOE requirements, see funding agency for further details. Additional Information: These programs have Maintenance of Effort (MOE) in that grantees/recipients are required to maintain operating expenditures during the grants period of performance in the areas funded by a grant at a level equal to or greater than the average of their operating expenditures in the 2 years preceding the year in which the grant is received. This requirement is intended to ensure that the Federal funds are used to supplement, not supplant, existing resources. Applicants may apply for an economic hardship waiver of these requirements in accordance with the instructions of the applicable NOFO..

Length and Time Phasing of Assistance:

Grants are available during the period of performance, which is 12-36 months. Refer to the NOFOs for specific details. AFG: <https://www.fema.gov/media-library/assets/documents/185574> AFG-S: <https://www.fema.gov/media-library/assets/documents/187460> FP&S: <https://www.fema.gov/media-library/assets/documents/187247> Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit various financial and programmatic reports as a condition of their award acceptance. Future awards and funds drawdown may be withheld if these reports are delinquent. Recipients should keep detailed records of all transactions involving the grant FEMA may at any time request copies of purchasing documentation along with copies of cancelled checks or other proof of payment documentation for verification. Refer to the current fiscal year AFG and FP&S NOFOs for further information on reporting. Refer to program guidance.

ASSISTANCE LISTINGS DESCRIPTIONS

Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0413-0-1-999.

Obligations:

Project Grants FY 20 \$355,000,000.00; FY 21 est \$360,000,000.00; FY 22 est \$370,000,000.00;

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0561.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Provided 26 local/county fire and rescue companies with volunteers. Success stories for the AFG programs can be found here: <https://www.fema.gov/grants/preparedness/firefighters/success-stories>

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 33 of the Federal Fire Prevention and Control Act of 1974, 15 U.S.C. 2229. In addition, the program guidance and application kit, the FAQs, an applicant tutorial, and other program information can be found on the program's website at www.fema.gov/firegrants.

Regional or Local Office:

See Regional Assistance Locations. The Assistance to Firefighters Grants are administered by FEMA. FEMA has 10 regional offices which employ Fire Program Specialists who may be contacted for information on the program.

Headquarters Office:

Grant Programs Directorate, Assistance to Firefighters Grant Department of Homeland Security/Federal Emergency Management Agency, 400 C Street, SW Washington, DC 20472, Washington DC 20742-3635
Email: firegrants@fema.dhs.gov
Phone: 8662740960
Fax:

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.018 National Fire Academy Training Assistance; 15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.043 State Fire Training Systems Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 The AFG Program funds critically needed fire and EMS personal protective equipment (PPE), Equipment and Vehicle projects that aim to help recipients reach 100% compliance with national, state, and local standards; Facility Modification projects that enhance health and safety of the emergency personnel; and Wellness and Fitness activities that aim to strengthen emergency responders to withstand the demands of all hazardous operations. The FP&S Program funds fire prevention education and training, community risk reduction, fire code enforcement, fire/arson investigation projects, and research and development activities aimed at improving firefighter safety, health, and wellness and reduce firefighter fatalities and injuries.

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities and programmatic criteria for evaluating AFG and FP&S applications are established by FEMA based on the recommendations from the Criteria Development Panel (CDP). Each year, FEMA convenes a panel of fire service professionals to develop funding priorities for the AFG Program. The panel makes recommendations about funding priorities as well as developing criteria for awarding grants. FEMA will rank all complete and submitted applications based on how well they respond to the evaluation criteria. Answers to the applications activity specific questions provide information used to determine each applications ranking. Prior to making a federal award, FEMA is required by 31 U.S.C. 3354, as amended, by the Payment Integrity Information Act of 2019, Pub. L. No. 116-117 (2020); 41 U.S.C. 2313; and 2 C.F.R. 200.206 to review information available through any Office of Management and Budget (OMB)-designated repositories of government-wide eligibility qualification or financial integrity information, including whether the applicant is suspended or debarred. FEMA may also pose additional questions to the applicant to aid in conducting the pre-award risk review. Therefore, application evaluation criteria may include the following risk-based considerations of the applicant: financial stability; quality of management systems and ability to meet management standards; history of performance in managing federal award; reports and findings from audits; and ability to effectively implement statutory, regulatory, or other requirements. Refer to the current fiscal year AFG and FP&S Program NOFOs for specific eligibility and award criteria.

97.045 Cooperating Technical Partners

(CTP).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Flood Insurance Act of 1968, , 42 USC Code 4101. Biggert-Waters Flood Insurance Reform Act of 2012, , National Flood Insurance Reform Act of 1994, , Flood Disaster Protection Act of 1973,

OBJECTIVES:

The Cooperating Technical Partners (CTP) Program exists to strengthen and enhance the effectiveness of the National Flood Insurance Program (NFIP). The CTP Program supports efforts to Strengthen National Preparedness and Resilience, one of six main goals of the DHS 2020-2024 Strategic Plan. Additionally, the program supports the National Mitigation Investment Strategy, a supporting document to Presidential Policy Directive (PPD-8) on National Preparedness and

ASSISTANCE LISTINGS DESCRIPTIONS

Strategic Goal One and Two of FEMAs 2018-2022 Strategic Plan, Building a Culture of Preparedness and Ready the Nation for Catastrophic Disaster. It helps achieve these goals by fostering strong federal, state, tribal, territorial, regional, and local partnerships to identify flood risks, reduce flood losses and promote community resiliency. The goals of the CTP Program are to primarily support the mission and objectives of the NFIPs Flood Hazard Mapping Program through FEMAs flood hazard identification and risk assessment programs, including the Risk Mapping, Assessment and Planning (RiskMAP) initiative. The vision for Risk MAP is to deliver quality data that increases public awareness of flood hazard risk and leads to action that reduces flood risk to life and property. The CTP supports Risk MAP to develop flood hazard data and maps for communities that have never had identified risks as well as building on effective flood hazard data and flood insurance rate maps (FIRMS); increase public awareness of risk and potential mitigation options to reduce risk and better inform mitigation planning. Additional information about Risk MAP (including goals, strategies, progress and success stories), can be found on FEMAs website at Risk Mapping, Assessment and Planning (RiskMAP) | FEMA.gov.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Civil Defense/Disaster Prevention and Relief/Emergency Preparedness Assistance may be used for costs associated with Community Engagement and Risk Communication, Program Management, Technical Risk Analysis and Mapping, Letter of Map Revision (LOMR) Review and Special Project activities as detailed in the FY2021 NOFO. Grant funds must be used in accordance with 2 C.F.R. Part 200. Use of funds must be consistent with the statutory authority for the award. Restrictions: The recipient must have in-house staff capabilities in the appropriate technical area for the activity approved in the award. If the work for any portion of a mapping activity is contracted, the recipient of the award must have in-house staff with the technical capability to monitor the contractor(s) and approve the product(s) developed by the contractor(s). Applicants must meet the eligibility criteria outlined in the FY2021 NOFO. This criteria includes (but is not limited to) the requirement that potential partners have existing processes and/or systems in place to support mapping or data collection activities that contribute to flood hazard identification and that potential partners have the demonstrated capability and capacity to implement, or contract, the activities for which funding is being sought. Yes, all funds are discretionary.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Only qualified CTPs are eligible for federal assistance awards through this program. Eligible recipients generally include entities who already perform certain functions in flood risk analysis, flood hazard identification, flood risk communication and mitigation processes in States and local communities to reduce flood losses and protect life and property from the risk of future flood damage. Specifically, eligible CTPs include three main groups: (1) NFIP-participating communities, as defined in 44 CFR 59 (typically this includes State, Tribal and local governments), who are in good standing with the NFIP (i.e., not on probation or suspended); (2) State, local and regional governmental agencies, such as water management districts, river authorities, municipal utility districts, State Universities, etc., whose activities support floodplain management and flood mitigation actions within the NFIP communities they serve; and (3) non-profit organizations whose primary mission is to support the ability of NFIP communities to more effectively understand and manage their flood risk. In accordance with Section 1361 of the National Flood Insurance Act of 1968 (42 U.S.C. 4102), these entities and organizations must have existing facilities and services to carry out studies and investigations with respect to land management and use, flood control, flood zoning and flood damage prevention in flood-prone areas, floodways, building codes and permits, and associated laws, regulations and ordinances. For additional information, see the Eligibility Criteria in the Funding Opportunity Announcement.

Beneficiary Eligibility:

U.S. Territories; Specialized group (e.g. health professionals, students, veterans);

Small business; Private nonprofit institution/organization; Anyone/general public; State; Local; Federally Recognized Indian Tribal Governments; State, local, specialized group, small business, general public.

Credentials/Documentation:

At a minimum, potential recipients must have entered into a signed Partnership Agreement (Memorandum of Agreement) with FEMA confirming they are a partner in FEMAs Cooperating Technical Partners Program to receive funds under the CTP Program. The details for how to enter into a Partnership Agreement with FEMA are described in the FY2021 Notice of Funding Opportunity (NOFO) under Appendix B: Establishing a Partnership Agreement. In addition, an applicant must meet all eligibility criteria to qualify for federal assistance through a cooperative agreement under the CTP Program as defined in the FY2021 NOFO. Potential partners/applicants must meet the criteria outlined in the FY2021 NOFO, including (but not limited to): evidence that they have sufficient technical capability (including credentials or certifications) and capacity necessary to perform program activities, a signed Partnership Agreement with the appropriate FEMA office (Region or HQ), and meet the eligibility requirements. Applicants must be NFIP participating communities in good standing or serve communities in good standing. Documentation: Allowable costs are determined by reference to OMB Uniform Administrative Requirements, 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. All applicants should consult with the appropriate FEMA awarding office (i.e., Regional office or HQ) for application information and technical assistance. Non-profits should also consult with FEMA HQ for pre-approval, application information and/or technical assistance. Environmental impact information is outlined in the FY21 NOFO.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Eligible applicants must apply through <http://www.grants.gov>. For complete information on all required forms, attachments, submission instructions / requirements, deadlines, and other procedural information, please refer to program guidance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Qualified FEMA programmatic staff (regional and/or Headquarters), with sufficient program knowledge and expertise in floodplain mapping, flood risk assessment, flood risk communication and floodplain management, review and select CTP projects for funding. Applications are reviewed to ensure compliance with the eligibility criteria and selected primarily on FEMAs priorities and the availability of FEMA funds (i.e., funding is not guaranteed to new or existing applicants). FEMA considers the following elements when prioritizing funding for and selecting CTPs for awards: program priorities, past performance, technical capability and capacity, and partner contribution. Applications are also reviewed by qualified FEMA grants management staff to ensure compliance with grants management principles, such as administrative requirements and cost principles. Applicants/applications that do not meet eligibility or submission requirements are removed from consideration. Any issues or concerns noted in the application might be addressed with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Successful applications are typically selected and awarded between 60 and 90 days from submission.

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

Other. None.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grant dollars will be awarded in the current fiscal and grants are typically 3-5 years in length. Method of awarding/releasing assistance: Dollars are typically available in quarterly or annual funding periods based on project needs. Grant applications are made through the federal governments www.grants.gov website. Method of awarding/releasing assistance: other

Reports:

Performance Reports and Financial Status Reports (FSRs) must be submitted quarterly. These reports are due on January 30, April 30, July 30, and October 30. Performance Reports must include the progress of each sub-grant award. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Reports must be submitted to the FEMA Regional CTP Grant and Program Officers. For complete information regarding report submission requirements, refer to the Notice of Funding Opportunity. Refer to the Notice of Funding Opportunity. Refer to the Notice of Funding Opportunity. Refer to the Notice of Funding Opportunity. Refer to the Notice of Funding Opportunity.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503 In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503 In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503 These audits are due to the cognizant Federal agency the earlier of 30 calendar days after receipt of the auditors report or 9 months after the end of the audit period.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention

period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0413-0-2-453.

Obligations:

Cooperative Agreements FY 20 \$102,299,842.00; FY 21 est \$100,000,000.00; FY 22 est \$100,000,000.00;

Range and Average of Financial Assistance:

Range: \$25,000 to \$9,239,974 Average: approximately \$1.2M

TAFS Codes:

70-0413.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Developed flood hazard data and maps for communities that have never had identified risks

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual program guidance is available to all CTP initiative participants and the general public through the funding opportunity on www.grants.gov. Additional information regarding mitigation program goals, priorities and standards is available on <http://www.fema.gov/cooperating-technical-partners-program>.

Regional or Local Office:

See Regional Assistance Locations. FEMA Regional Offices will manage all Regional program activities under the CTP Program and HQ will manage all HQ activities. For a listing of the programmatic representatives from Regional and HQ staff, refer to the FY19 Notice of Funding Opportunity

Headquarters Office:

National CTP Program Lead Laura Algeo,
Federal Emergency Management Agency (FEMA) Federal Insurance and Mitigation Administration (FIMA) 400 C Street SW Washington, DC, 20024, Washington DC 20024
Email: laura.algeo@fema.dhs.gov
Phone: 202.212.1054
Fax:

Website Address:

<http://fema.gov>

RELATED PROGRAMS:

97.070 Map Modernization Management Support;

EXAMPLES OF FUNDED PROJECTS:

2020 Allowable activities are detailed in the FY2020 NOFO

CRITERIA FOR SELECTING PROPOSALS:

FEMA will consider the following elements when prioritizing funding for and selecting cooperative agreements: program priorities, past performance, technical capability and capacity, and partner contributions as detailed in the FY2021 NOFO

97.046 Fire Management Assistance Grant

(FMAG),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Act, Section 420, Public Law 93-288, 42 USC Code 5187.

OBJECTIVES:

ASSISTANCE LISTINGS DESCRIPTIONS

The Fire Management Assistance Grant Program is authorized by the Stafford Act. Grants are provided to States, Indian tribal and local governments for the mitigation, management and control of any fire burning on publicly (non-federal) or privately owned forest or grassland that threatens such destruction as would constitute a major disaster. The funds are primarily used for fire suppression services (response) but also for essential assistance (emergency protective measures) as described in Section 403 (42 U.S.C 5170b) of the Stafford Act. This includes, but is not limited to evacuation and sheltering, police barricading, equipment and supplies, and other costs related to fire suppression operations and administration in support of these operations. Of the 32 core capabilities described in the National Preparedness Goal, Second Edition, 2015, this program fits into several including Fire Management and Response), Physical Protective Measures, Public Information and Warning, Infrastructure Systems, and On-Scene Security, Protection, and Law Enforcement categories.

TYPES OF ASSISTANCE:

Project Grants, Provision of Specialized Services

USES AND USE RESTRICTIONS:

The Fire Management Assistance Grant Program, authorized by the Stafford Act, provides for cost-shared Fire Management Assistance Grants for the mitigation, management and control of fires that threaten such destruction as would constitute a major disaster.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); The State (includes District of Columbia, U.S. Territories and possessions, and State governments) and Indian tribal governments are eligible for fire management assistance grants. The State or Indian tribal government may be the Recipient. The Recipient is the government entity that receives the award and is accountable for the use of funds provided. A State, local, or Indian tribal government may apply as a subrecipient under the Recipients award.

Beneficiary Eligibility:

State; Federally Recognized Indian Tribal Governments; Civil Defense/Disaster Prevention and Relief/Emergency Preparedness The Fire Management Assistance Grant Program, authorized by the Stafford Act and amended by the Disaster Mitigation Act of 2000, provides for cost-shared Fire Management Assistance grants for the mitigation, management, and control of any fire on publicly (nonfederal) or privately-owned forestland or grassland that threatens such destruction as would constitute a major disaster.

Credentials/Documentation:

A State must request a Fire Management Assistance Declaration. After FEMA approves a declaration, the State or Indian Tribal Government Applicant must apply for assistance by completing an SF 424 (Application for Federal Assistance). In addition, the Applicant must also provide documentation that shows they have met the Fire Cost Threshold for the calendar year in which the fire was declared, and must have prepared, submitted, and received approval for a State Administrative Plan and a Hazard Mitigation Plan. Costs are determined in accordance with FEMA Regulations, at 44 CFR Part 204, and agency policies. Federal funds will be awarded to subrecipients in accordance with State law and procedure and in compliance with 44 CFR Part 204. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required via a FEMA-State/Tribal/Territorial FMAG Agreement. This program is excluded from coverage under E.O. 12372. A FEMA-State/Tribal/Territorial Agreement for the Fire Management Assistance Grant Program (the agreement) is signed by the Governor or designated tribal executive and the Regional Administrator at the beginning of the calendar year or after a State's first fire management assistance grant declaration in a calendar year. The agreement is amended for subsequent approvals of assistance for the remainder of the year. This agreement contains the terms and conditions for requesting and receiving assistance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit

Requirements for Federal Awards applies to this program. After an FMAG declaration is approved, a State Governor, Governor's Authorized Representative, or Tribal executive may request a fire management assistance grant as a recipient or subrecipient in accordance with IAW the procedures in the immediate preceding paragraph above.

Award Procedure:

To receive fire management assistance grant funds, a State (Recipient) must have requested and received approval for a Fire Management Assistance Declaration and must complete and submit an SF-424 (Request for Federal Assistance). The Recipient must also provide documentation that shows they have met the Fire Cost Thresholds for the calendar year in which the fire was declared. The Recipient must submit its application within 9 months of the declaration (with a possible 6-month extension). The Regional Administrator has 45 days from receipt of the Recipient's grant application or an amendment to the Recipient's grant application, including attached supporting Project Worksheet(s), to review and approve or deny the grant application or amendment or to notify the recipient of a delay in processing funding. Indian tribal governments are eligible for fire management assistance grants as a Recipient or Subrecipient. The Recipient is the government entity that receives the award and is accountable for the use of funds provided. A Subrecipient is an applicant that is awarded a subaward and is accountable to the Recipient for the use of grant funding provided. A Subaward is a n award of financial assistance is provided by a pass-through entity (the Recipient) to a Subrecipient for the Subrecipient to carry out part of a Federal award received by the pass-through entity.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. From 1 hour to 72 hours. The application timelines for an FMAG declaration are found in 44 C.F.R. part 204.22-24. FMAG grant application timelines are in 44 C.F.R. part 204.51-52.

Appeals:

Other. States may appeal the denial of a Fire Management Assistance Declaration and may also appeal any other decision related to the Fire Management Assistance Grant Program. To appeal a denied Fire Management Assistance Declaration, the Governor or GAR may appeal the decision in writing within 30 days after the date of the denied declaration. The State submits the request to the FEMA Administrator through the Regional Administrator. The Administrator will reevaluate the State's request and notify the State of the final determination within 90 days of receipt of the appeal. An eligible subrecipient, or recipient may appeal any determination FEMA makes related to an application for the provision of Federal assistance. The subrecipient makes the appeal in writing through the recipient to the Regional Administrator. The recipient will review and evaluate all subrecipient appeals before submission to the Regional Administrator. The appeal must include documented justification supporting the appellant's position, the monetary figure in dispute, and the provisions in Federal law, regulation, or policy with which the appellant believes the initial action was inconsistent. The Regional Administrator will render a determination on first appeals for fire management assistance grant-related decisions within 90 days following receipt of the appeal or requested additional information. In the event the Regional Administrator denies the appeal, the appellant may submit a second-level appeal to the Director of the Public Assistance Division in the Recovery Directorate at FEMA Headquarters. Within 90 days following receipt of a second appeal, the Director of the Public Assistance Division will notify the appellant (i.e., recipient) in writing of the final decision or of the need for additional information. If the decision is to grant the appeal, the Regional Administrator will take appropriate implementing action. The decision of the Director of the Public Assistance Division at the second appeal level is the final decision of FEMA.

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Cost Share Requirements: Fire Management Assistance Grants may be available to States on a 75 percent Federal/25 percent nonfederal cost-sharing basis when the State's application demonstrates either of the following: total eligible costs for the

ASSISTANCE LISTINGS DESCRIPTIONS

declared fire meet or exceed the individual fire cost threshold or total costs of all declared and non-declared fires in a given calendar year meet the cumulative fire cost threshold. The individual fire cost threshold for a State for FY 2021 is the greater of \$100,000 or five percent x \$1.55 x state population. The cumulative fire cost threshold for a State for FY 2021 is the greater of \$500,000 or three times the five percent x \$1.553 x State population. Both formulas are adjusted annually for inflation using the Consumer Price Index for All Urban Consumers published annually by the Department of Labor. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

There are two time-related periods. The first is the incident period, which is the time interval during which a declared fire occurs and is actively burning. Generally, costs must be incurred during the incident period to be eligible for assistance. The incident period varies from several days to more than a month depending on the severity and duration of the declared fire. The second time interval is the Period of Performance. Grant funds are normally available for a period of three years following a fire management assistance grant declaration with time extensions possible. This Period of Performance is stated in the FEMA-State/Tribal/Territorial Agreement and the SF-424 Application for Federal Assistance. Method of awarding/releasing assistance: Reimbursement for State grant costs is accomplished via the U.S. Department of Health and Human Services Payment Management System in compliance with 2 C.F.R. 200.305 and U.S. Treasury 31 C.F.R. Part 205, Cash Management Improvement Act. Recipients and subrecipients prepare and submit Project Worksheets that document costs associated with fire suppression activities. Cost eligibility information is provided in 44 C.F.R. Part 204 and the Fire Management Assistance Grant Program Guide. Method of awarding/releasing assistance: other

Reports:

Within 120 days of the Performance Period expiration date, the State must submit a Federal Financial Report (SF-425) which reports all costs incurred within the incident period and all administrative costs incurred within the performance period. Refer to program guidance. <https://www.fema.gov/policies-and-publications>. Refer to program guidance. <https://www.fema.gov/policies-and-publications>. Refer to program guidance. <https://www.fema.gov/policies-and-publications>. Refer to program guidance. <https://www.fema.gov/policies-and-publications>.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation

plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0702-0-1-453.

Obligations:

Project Grants FY 20 \$133,677,532.00; FY 21 est \$250,000,000.00; FY 22 est \$250,000,000.00;

Range and Average of Financial Assistance:

20-year average is \$89, 578,817/year

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Provided funds for fire suppression services and associated services, i.e. fire engines, water tankers, aircraft and retardant, heavy equipment, personnel, and supplies that are deployed in fire suppression activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance, Fire Management Assistance Grant Program Regulations, 44 CFR Part 204. See the Fire Management Assistance Grant Program page at: <http://www.fema.gov/fire-management-assistance-grant-program>

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA),

Department of Homeland Security / FEMA / Public Assistance Division, Control Desk 6th Floor, 500 C St. SW., Washington DC 20523

Email: Allen.wineland@fema.dhs.gov

Phone: 18003686498

Fax:

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Projects include, but are not limited to, fire suppression services and associated services, i.e. fire engines, water tankers, aircraft and retardant, heavy equipment, personnel, and supplies that are deployed in fire suppression activities. Funded projects also include emergency protective measures such as search and rescue, sheltering, dissemination of public information, and other services essential to saving lives and protecting and preserving property or public health and safety.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.047 BRIC: Building Resilient Infrastructure and Communities

BRIC: Building Resilient Infrastructure and Communities,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), as amended (Pub. L. No. 93-288) (42 U.S.C. 5133)., Title Section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance

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Act.

OBJECTIVES:

The Building Resilient Infrastructure and Communities (BRIC) program makes federal funds available to states, U.S territories, federally recognized tribal governments, and local communities for hazard mitigation activities. The guiding principles of the program are to (1) support state and local governments, tribes, and territories through capability- and capacity-building to enable them to identify mitigation actions and implement projects that reduce risks posed by natural hazards; (2) encourage and enable innovation while allowing flexibility, consistency, and effectiveness; (3) promote partnerships and enable high-impact investments to reduce risk from natural hazards with a focus on critical services and facilities, public infrastructure, public safety, public health, and communities; (4) provide a significant opportunity to reduce future losses and minimize impacts on the Disaster Relief Fund; (5) promote equity, including by helping members of vulnerable groups; and (6) support the adoption and enforcement of building codes, standards, and policies that will protect the health, safety, and general welfare of the public, take into account future conditions, prominently including the effects of climate change, and have long-lasting impacts on community risk reduction, including for critical services and facilities and for future disaster costs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Capability- and Capacity-Building (C&CB) Activities: Activities which enhance the knowledge, skills, expertise, etc., of the current workforce to expand or improve the administration of mitigation assistance. This includes activities in the following sub-categories: building codes activities, partnerships, project scoping, mitigation planning and planning-related activities, and other activities. Mitigation Projects: Cost-effective projects designed to increase resilience and public safety; reduce injuries and loss of life; and reduce damage and destruction to property, critical services, facilities, and infrastructure from natural hazards. Management Costs: Financial assistance to reimburse the recipient and subrecipient for eligible and reasonable indirect costs, direct administrative costs, and other administrative expenses associated with a specific mitigation measure or project in an amount up to 15 percent of the total amount of the grant award, of which not more than 10 percent of the total award amount may be used by the recipient and 5 percent by the subrecipient for such costs. Non-financial Direct Technical Assistance: Non-financial Direct Technical Assistance to build a community's capacity and capability to improve its resiliency to natural hazards and to ensure stakeholders are capable of building and sustaining successful mitigation programs, submitting high-quality applications, and implementing new and innovative projects that reduce risk from a wide range of natural hazards.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); States/District of Columbia/U.S. territories Federally recognized tribal governments Each state, territory, the District of Columbia, and federally recognized tribal governments shall designate one agency to serve as the applicant for BRIC funding. Each applicant's designated agency may submit only one BRIC grant application to FEMA. Subapplications under which two or more entities would carry out the award are eligible, such as a multi-state or multi-tribal initiative; however, only one entity may be the applicant with primary responsibility for carrying out the award. Communities, including local governments, including cities, townships, counties, special district governments, and tribal governments (including federally recognized tribes who choose to apply as subapplicants) are considered subapplicants and must submit subapplications for financial assistance to their state/territory/tribal applicant agency. Contact information for the State Hazard Mitigation Officers (SHMOs) is provided on the FEMA website at <http://www.fema.gov/state-hazard-mitigation-officers>. For applicant eligibility criteria and other eligibility criteria, refer to the Notice of Funding Opportunity posted on www.Grants.gov.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Native American Organizations; Homeowner; Land/Property Owner; State; Local; Federally Recognized Indian Tribal Governments; State/Local/Federally Recognized Indian Tribal Governments/U.S. Territories/Possession Communities/State agencies, federally recognized Indian

tribal governments, and local governments and communities are eligible to apply as subapplicants for assistance under the BRIC program. All interested subapplicants must apply to the Applicant. Individuals are not eligible to apply as subapplicants but may request their local jurisdiction to apply on their behalf. Please refer to the Notice of Funding Opportunity posted on www.Grants.gov.

Credentials/Documentation:

Documentation required will be outlined in other program implementation material and included in the Notice of Funding Opportunity (NOFO) posted on www.Grants.gov 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FEMA will administer all BRIC awards in conformance with Section 203 of the Stafford Act (42 U.S.C. 5133), Section 322 of the Stafford Act (42 U.S.C. 5165), 44 C.F.R. Part 80, 44 C.F.R. Part 201, 2 C.F.R. Part 200, 44 C.F.R. Part 9; DHS Directive 023-01 Rev 01 and Instruction Manual 023-01-001-01 Rev 01, FEMA Directive 108-1 and Instruction 108-1-1, and all other applicable environmental and historic preservation laws, regulations, executive orders, and agency policy; and 44 C.F.R. 60.3 and all other applicable federal, state, tribal, and local laws and regulations. For more information view the Notice of Funding Opportunity posted on www.Grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful Applicant prior to the award being issued. Upon award the Applicant is subsequently referred to as the Recipient. Recipients are responsible for distributing funds to subrecipients. For more information view the Notice of Funding Opportunity posted on www.Grants.gov

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Please see the Notice of Funding Opportunity is posted on www.Grants.gov.

Appeals:

Not Applicable. Please see the Notice of Funding Opportunity is posted on www.Grants.gov.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Robert T. Stafford Disaster Relief and Emergency Assistance Act, Chapter 42, Part 5133, Subpart ,Public Law 100-707. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Generally, the cost-share for this program is 75 percent federal/25 percent non-federal. This means federal funding is available for up to 75 percent of eligible costs. The remaining 25 percent of eligible costs must be derived from non-federal sources. Economically disadvantaged rural communities are eligible for an increase in cost share up to 90 percent federal/10 percent non-federal. FEMA will provide 100 percent federal funding for management costs. Please refer to the Notice of Funding Opportunity posted on www.Grants.gov. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Please refer to the Notice of Funding Opportunity posted on www.Grants.gov.

Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit various financial and programmatic reports as a condition of award acceptance. Please refer to the Notice of Funding Opportunity

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posted on www.Grants.gov. Financial Reports: Recipients are required to submit quarterly and final financial reports that include obligations and expenditures. Please refer to the Notice of Funding Opportunity for reporting requirements. Performance Reports: Recipients are required to submit quarterly and final performance reports that include the progress of each subaward. Please refer to the Notice of Funding Opportunity for reporting requirements. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Please refer to the Notice of Funding Opportunity for reporting requirements. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Please refer to the Notice of Funding Opportunity for reporting requirements. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Please refer to the Notice of Funding Opportunity for reporting requirements. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Please refer to the Notice of Funding Opportunity for reporting requirements. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Please refer to the Notice of Funding Opportunity for reporting requirements. Recipients are required to submit quarterly financial reports. Quarterly Reports must include the expenditures of each subaward. Please refer to the Notice of Funding Opportunity for reporting requirements.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Non-federal entities, other than for-profit subrecipients, that expend \$750,000 or more in federal awards during their fiscal year must have a single or a program-specific audit conducted for that year in accordance with Subpart F, 2 C.F.R. 200.501. Please refer to the Notice of Funding Opportunity posted on www.Grants.gov.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final FFR is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. Please refer to the Notice of Funding Opportunity posted on www.Grants.gov.

Account Identification:

70-0508-0-1-402.

Obligations:

Project Grants FY 20 \$500,000,000.00; FY 21 est \$1,000,000,000.00; FY 22 est \$1,000,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0716.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Supported state and local governments, tribes, and territories through capability- and capacity-building to enable them to identify mitigation actions and implement projects that reduce risks posed by natural hazards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be governed by Section 203 of the Stafford Act; the DHS FEMA Real Property Acquisition Regulations at 44 C.F.R. Part 80; 44 C.F.R. 201, 2 C.F.R. 200 and the BRIC policy .

Regional or Local Office:

See Regional Assistance Locations. See Regional Agency Offices. See <https://www.fema.gov/fema-regional-contacts> for the list of addresses of Federal Emergency Management Agency Regional Offices

Headquarters Office:

Director, Hazard Mitigation Assistance Division Angela Gladwell,
400 C Street SW,
Washington DC 20472
Email: Angela.Gladwell@fema.dhs.gov
Phone: 202-302-0038
Fax: (202) 646-2880

Website Address:

<http://www.fema.dhs.gov/bric>

RELATED PROGRAMS:

97.039 Hazard Mitigation Grant; 97.029 Flood Mitigation Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 Risk-informed planning and prioritization of mitigation needs. 2021 Risk reduction activities that lessen the impact of disasters on infrastructure, people and property. Risk-informed planning and prioritization of mitigation needs

CRITERIA FOR SELECTING PROPOSALS:

Please refer to the Notice of Funding Opportunity posted on www.Grants.gov.

97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas

(IHP).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Section 408 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended and Code of Federal Regulations. Title 44: Emergency Management and Assistance, Part 206.110 206.120, , Public Law 106-390, 42 USC Code 5174.

OBJECTIVES:

To provide financial assistance, and if necessary, direct services to individuals and households affected as a direct result of a Presidentially declared major disaster or emergency, who have uninsured or under-insured, necessary expenses and serious needs and are unable to meet such expenses or needs through other means. This program supports disaster recovery by providing the financial means or direct services to disaster survivors for Temporary Housing, Repair Assistance, Replacement Assistance, or Permanent or Semi-permanent Housing Construction in addition to financial assistance to address Other Needs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Restricted to presidentially-declared disasters or emergencies which designate Individual Assistance, such as an earthquake, hurricane, tornado, wildfire, or flood, etc. Program activates only after issuance of a presidential declaration. Housing Assistance, either Financial or Direct, may be provided for the following: (1) Temporary Housing, (2) Home Repair, (3) Home Replacement and (4) Permanent Housing Construction. Assistance not used for the specified purpose will be required to be returned. Other Needs Assistance may be provided for: (1) Medical, (2) Dental, (3) Child Care, (4) Funeral, (5) Personal Property, (6) Transportation, (7) Group Flood Insurance Policy, and (8) Other Necessary Expenses and Serious Needs: the expense or need must be caused by the disaster and approved by FEMA.

Applicant Eligibility:

Individual/Family; Individuals and households, in areas declared a major disaster or emergency by the President that include Individual Assistance, who have necessary expenses and serious needs they are unable to meet through insurance or other means, are eligible to apply for this program. All needs must be caused by the disaster. Basic conditions of eligibility include: the individual or a member of the household must be a citizen of the United States, a non-citizen national, or a qualified alien. Housing Assistance: 1) Temporary Housing Assistance (financial) - proof of primary residence, proof of occupancy, disaster-caused displacement, and/or paid receipts for Rent or Lodging Expenses; 2) Temporary Housing Assistance (direct) Authorized on a disaster-by-disaster basis when applicants are unable to make use of financial assistance to secure temporary housing proof of primary residence, proof of occupancy, disaster-caused displacement; 3) Repair - primary residence, proof of occupancy and ownership, disaster caused home damage; 3) Replacement - primary residence, proof of occupancy and ownership, home destroyed by the disaster; 4) Permanent Housing Construction - primary

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residence, proof of occupancy and ownership, disaster-caused home damage, authorized on a disaster by disaster basis when located in an insular area outside the continental United States and in other locations in which no alternative housing resources are available, and types of temporary housing assistance are unavailable, infeasible, or not cost-effective. Other Needs Assistance: 1) Medical: disaster-caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental: disaster-caused expenses, and/or paid receipts (bills) for treatment; 3) Child Care: disaster-caused expenses and paid receipts or estimates for services; 4) Funeral: disaster-caused expenses, and paid receipts or estimates for services; 5) Personal Property: disaster-caused personal property damage; 6) Transportation: disaster-caused vehicle damage; 7) Group Flood Insurance Policy: Three years of a flood insurance policy premium for applicants who may not otherwise be able to purchase a policy and receive flood damage in a Special Flood Hazard Area; and 8) Other Miscellaneous Expenses: the expense or need must be caused by the disaster and approved by FEMA. Section 1211 of the Disaster Recovery Reform Act authorized state, territorial, and tribal governments to apply for financial assistance to provide direct services for Temporary Housing Assistance to eligible individuals and households, in areas declared an emergency or major disaster by the president where FEMA has determined eligible individuals and households are unable to make use of financial Temporary Housing Assistance, and have a Housing Strategy approved by FEMA. State, territorial and tribal governments in areas declared an emergency or major disaster by the president in insular areas outside of the continental United States and in other locations where FEMA has determined no alternative housing resources are available, and temporary housing is unavailable, infeasible, or not cost effective, and have a Housing Strategy approved by FEMA, are eligible to apply for financial assistance to provide direct services for Permanent Housing Construction. Under Section 1211 of the Disaster Recovery Reform Act, FEMA could provide this assistance to a state, territorial, and tribal government as part of a pilot program which expired on October 5, 2020. FEMA is currently pursuing the regulatory changes needed to permanently implement this authority.

Beneficiary Eligibility:

Individual/Family; Local; Individual/Family; Homeowner

Credentials/Documentation:

Emergency Management and Assistance regulations at 44 C.F.R. 206.110-120. 2 C.F.R. 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state, territory, or tribe for more information on the process the state, territory, or tribe requires to be followed in applying for assistance, if the state, territory, or tribe has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the state or territorial Governor or Indian Tribal Chief Executive makes a request (through the FEMA Regional Administrator) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made in accordance with Emergency Management and Assistance regulations, 44 C.F.R. Part 206, except as provided in Part 206.24(d) for emergency declarations involving primarily federal responsibility. A Presidential Disaster or Emergency Declaration must first be issued. To receive the grant, the state, territorial, or tribal government must have a Housing Strategy approved by FEMA. The state, territorial, or tribal government must also provide a plan demonstrating its ability to manage the program, how assistance will be provided, and how it will comply with applicable federal and state, territorial, or tribal laws and regulations.

Award Procedure:

A Presidential Disaster or Emergency Declaration must be issued before individuals and households can register an application for assistance with FEMA via a toll-free number or FEMA's Internet Application or by visiting a Disaster Recovery Center. Assistance for real property damages will not be awarded to individuals residing outside of the area declared as a disaster. Funds are allocated from the Disaster Relief Fund for use in a designated emergency or major disaster. Households receive assistance directly from FEMA or through the state, territory, or tribe for Direct Temporary Housing Assistance, Permanent Housing Construction, or Other Needs Assistance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Varies, in general 7-21 days. Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Appeals:

Other. Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter via fax to 1-800-827-8112 or via mail to the following address: Individuals and Households Program/National Processing Service Center/P.O. Box 10055 Hyattsville, MD 20782-8055

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Matching Requirements: Percent: 25 percent sStatutory formula is not applicable to this assistance listing. Housing - not applicable. Other Needs - FEMA or the sState, territory, or tTribe may administer the Other Needs Assistance Program. The sState, territory, or tTribe provides 25 percent of the funds for the total number of grants disbursed for the designated disaster. The fFederal gGovernment provides the remaining 75 percent of the costs for grant awards as well as the regulations, policies, and procedures that govern the program. States, territories or tTribes may also receive up to 5 percent of the fFederal share of the program for administrative costs. The Governor or Indian Tribal Chief Executive may request a loan of the state, territory, or tribesState's or Tribes 25 percent share. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, one time grant assistance with a total assistance period not exceeding 18 months. Method of awarding/releasing assistance: other

Reports:

The Individual Assistance Program and Policy Guide (IAPPG) Version 1.1 serves as the singular policy guide for all Individual Assistance programs offered by FEMA to state, territorial, tribal, or local government jurisdictions affected by a disaster. FEMA conducts a comprehensive review of the IAPPG and makes necessary updates no less than every three years. The IAPPG is available to the public and can be found at: <https://www.fema.gov/media-library/assets/documents/177489>. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. In accordance with the provisions of 2 C.F.R. 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in fFederal awards are exempt from fFederal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in fFederal awards are exempt from fFederal audit requirements

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for that year, except as noted in 2 CFR 200.503.

Records:

Pursuant to 2 CFR 200.333-200.337, the state, territory, or, or tribe that is approved for IHP is required to retain records, including source documentation, to support expenditures/costs incurred against the grant award, for 3 years from the date of submission to FEMA of the Financial Status Report. The state, territory, or, or tribe is responsible for resolving questioned costs that may result from an audit conducted during the three-year record retention period and for returning disallowed costs from ineligible activities

Account Identification:

70-0702-0-1-453.

Obligations:

Project Grants FY 20 \$764,873,922.00; FY 21 est \$280,585,086.00; FY 22 est \$1,363,529,527.00;

Range and Average of Financial Assistance:

FY 20: Range--\$50 - \$35,500; Average--\$4,530.30.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: As of June 2, 2021, \$280,585,086 has been awarded for 15 major disaster declarations, totaling 595,354 registrations, excluding the COVID-19 related declarations which originally only provided funds under CFDA 97.050. Presidentially Declared Disaster Assistance to Individuals and households Other Needs. As of July 13, 2021, \$630,721,667 has been awarded for COVID-19 Funeral Assistance totaling 241,456 registrations, which was authorized under 57 separate declarations to provide IHP Funeral Assistance for individuals who died due to COVID-19. While these disasters were declared in FY 2020, Funeral Assistance was not provided until FY 2021. \$9,336,964 was awarded on December 30, 2020 for the DR-4559-LA State-Administered Direct Housing Grant.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA),

500 C Street, SW, Sixth Floor,
Washington DC 20472-3100

Email: FEMA-HQ-CSS@dhs.gov

Phone: (202) 212-1000

Website Address:

<http://www.FEMA.gov>

RELATED PROGRAMS:

97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs;

EXAMPLES OF FUNDED PROJECTS:

2020 In 2020, FEMA approved the State of Louisiana State-Administered Direct Housing Grant application in response to Hurricane Laura (DR-4559-LA). Recognizing the necessity of the State of Louisiana to establish procedures for assessing the unmet needs for housing and supporting services for families and persons in need, the State of Louisiana was approved for a grant to support FEMA in joint recertifications of occupants in all forms of Direct Temporary Housing Assistance. The State of Louisiana supports disaster survivors in the identification of potential housing and recovery issues and facilitate problem-solving and partnerships to support the recovery needs by sharing state-wide information and utilizing community resources. The State also validates properties listed on the State-supported rental resource portal. This approval was granted as a pilot program and was authorized by Section 1211 of the 2018 Disaster Recovery Reform Act. The authority for state, territorial, or tribal governments to apply for a State-Administered Direct Housing Grant during this pilot period expired on October 5, 2020. FEMA is currently pursuing the regulatory changes needed to permanently implement this authority.

CRITERIA FOR SELECTING PROPOSALS:

These general conditions must be met for an applicant to be eligible to receive IHP Assistance: 1.The applicant must be a U.S. citizen, non-citizen national, or qualified alien. 2.FEMA must be able to verify the applicant's identity. 3.The applicants insurance, or other forms of disaster assistance received, cannot meet their disaster-caused needs 4.The applicants necessary expenses and serious needs are directly caused by a declared disaster.Some types of assistance may have additional criteria.

97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs

(ONA),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, Section 408, Public Law 106-390, 42 USC Code 5174.

OBJECTIVES:

This type of assistance supports disaster recovery by providing financial assistance to disaster survivors who, as a direct result of a major disaster or emergency, have necessary expenses and serious needs that are unmet through insurance or other means.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Civil Defense/Disaster Prevention and Relief/Emergency Preparedness The Other Needs Assistance provision of the Individuals and Households Program activates only after issuance of a presidential declaration that includes Individual Assistance. Other Needs Assistance may be provided for the following: 1) Medical, 2) Dental, 3) Child Care, 4) Funeral, 5) Personal Property, 6) Transportation, 7) Group Flood Insurance Policy, and 8) Other Miscellaneous Expenses. Assistance not used for the specified purpose will be required to be returned. If FEMA is administering the Other Needs Assistance, please refer to Assistance Listing 97.048.

Applicant Eligibility:

Individual/Family; Individuals and households, in areas declared an emergency or major disaster that includes Individual Assistance by the President, who have necessary expenses and serious needs they are unable to meet through insurance or other means, are eligible for Other Needs Assistance. Basic conditions of eligibility include: the individual or a member of the household must be a citizen of the United States, a non-citizen national, or a qualified alien. 1) Medical: disaster-caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental: disaster-caused expenses, and/or paid receipts (bills) for treatment; 3) Child Care: disaster-caused expenses; 4) Funeral: disaster-caused expenses, and/or paid receipts (bills) for services; 5) Personal Property: proof of ownership, disaster-caused personal property damage; 6) Transportation: proof of ownership, vehicle complies with State laws, disaster-caused vehicle damage; and 7) Other Necessary Expenses and Serious Needs: the expense or need must be caused by the disaster and approved by FEMA.

Beneficiary Eligibility:

Individual/Family; Individuals and households, in areas declared an emergency or major disaster that includes Individual Assistance by the President, who have necessary expenses and serious needs they are unable to meet through insurance or other means, are eligible for Other Needs Assistance. Basic conditions of eligibility include: the individual or a member of the household must be a citizen of the United States, a non-citizen national, or a qualified alien. 1) Medical: disaster-caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental: disaster-caused expenses, and/or paid receipts (bills) for treatment; 3) Child Care: disaster-caused expenses and paid receipts or estimates for services; 4) Funeral: disaster-caused expenses, and paid receipts or estimates for services; 5) Personal Property: disaster-caused personal property damage; 6) Transportation: disaster-caused vehicle damage; 7) Group Flood Insurance Policy: Three years of a flood

ASSISTANCE LISTINGS DESCRIPTIONS

insurance policy premium for applicants who may not otherwise be able to purchase a policy and receive flood damage in a Special Flood Hazard Area; and 8) Other Miscellaneous Expenses: the expense or need must be caused by the disaster and approved by FEMA.

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Per 44 C.F.R. 206.120(c), the delivery of assistance by a state, territory, or tribe under this section is contingent upon approval of a State, Territory, or Tribe Administrative Plan (SAP), which describes the procedures the state, territory, or tribe will use to deliver assistance under section 408 of the Stafford Act, 42 U.S.C. 5174, when a state, territory, or tribe requests a grant to administer Other Needs Assistance. All implementation procedures must be in compliance with Federal laws and requirements and state, territorial, or tribal laws and procedures. 44 C.F.R. 206.120 (c)(1) requires that states, territories, or tribes must submit a SAP to the FEMA Regional Administrator by November 30th of each year.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. A Presidential Disaster or Emergency Declaration must first be issued. To receive the grant, the state, territory, or tribe must make an annual submission of FEMA Form 010-0-11.

Award Procedure:

Funds are allocated from the Disaster Relief Fund for use in a designated emergency or major disaster. Individuals and households receive assistance directly from FEMA or the state, territory, or tribe. As outlined in Section 408(g)(2) of the Stafford Act, FEMA is responsible for funding 75% of Other Needs Assistance and the state, territory, or tribe is responsible for the remaining 25%.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration. This registration period may be extended beyond 60 days based on disaster-specific needs.

Appeals:

Other. Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter via fax to 1-800-827-8112 or via mail to the following address: Individuals and Households Program National Processing Service Center P.O. Box 10055 Hyattsville, MD 20782-8055

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart , Public Law . Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Matching Requirements: Percent: 25 The state, territory, or tribe provides 25 percent of the funds for the total number of grants disbursed for the designated disaster. The federal government provides the remaining 75 percent of the costs for grant awards as well as the regulations, policies, and procedures that govern the provision. States, territories, or tribes may also receive up to 5 percent of the federal share of the award for administrative costs. The Governor or Indian Tribal Chief Executive may request a loan of the state, territory, or tribes 25 percent share. Matching requirements are voluntary. Maintenance of effort requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Generally, a one-time assistance payment, with a total assistance period of no longer than 18 months. Method of awarding/releasing assistance: Payment based

on the needs of the individual or household. Method of awarding/releasing assistance: other

Reports:

The Individual Assistance Program and Policy Guide (IAPPG) serves as the singular policy guide for all Individual Assistance programs offered by FEMA to state, territorial, tribal, or local government jurisdictions affected by a disaster. FEMA conducts a comprehensive review of the IAPPG and makes necessary updates no less than every three years. Refer to program guidance. <https://www.fema.gov/media-library/assets/documents/177489>. Refer to program guidance. Due to the state, territory, or tribe cost share associated with Other Needs Assistance, expenditure reports are coordinated between state, territorial, or tribal governments and regional FEMA offices as identified in the State , Territory, or Tribe Administrative Plan. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 C.F.R. 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in 2 C.F.R. 200.503 In accordance with the provisions of 2 C.F.R. 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in federal awards are exempt from federal audit requirements for that year, except as noted in 2 C.F.R. 200.503.

Records:

Pursuant to 2 C.F.R. 200.333-200.337, the state, territory, or tribe that is approved for IHP is required to retain records, including source documentation, to support expenditures/costs incurred against the grant award, for 3 years from the date of submission to FEMA of the Financial Status Report. The state, territory, or tribe is responsible for resolving questioned costs that may result from an audit conducted during the three-year record retention period and for returning disallowed costs from ineligible activities

Account Identification:

70-0702-0-1-453.

Obligations:

Direct Payments for Specified Use FY 19 Not Available; FY 20 est \$11,872,817.00; FY 21 Not Available;

Range and Average of Financial Assistance:

FY 20: Range--\$50 - \$35,500; Average--\$2,497.51.-

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Program provided \$38,692,099.394 in Lost wage assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA),
500 C Street SW,
Washington DC 20523
Email: ASKCSid@fema.dhs.gov
Phone: (202) 212-1000
Fax:

Website Address:

<http://www.fema.dhs.gov>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

97.048 Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas ;

EXAMPLES OF FUNDED PROJECTS:

2020 In 2020, FEMA did not provide any states (including the fifty states and the District of Columbia), territories, or tribes with Other Needs Assistance under the Joint or State, Territorial, or Tribal Option in which the state, territory, or tribe administers its own Other Needs Assistance. Under a Joint Option, the state, territorial, or tribal government administers Other Needs Assistance jointly with FEMA. Under the State, Territorial, or Tribal Option, FEMA provides Other Needs Assistance as a grant to the state, territorial, or tribal government who then administers the assistance. Additionally, on August 8, 2020, a Presidential Memorandum authorized FEMA to expend up to \$44 billion from the Disaster Relief Fund to ease the economic burden for those who experienced lost wages due to the COVID-19 pandemic. FEMA delivered this supplemental assistance through the Other Needs Assistance provision of the Individuals and Households Program. FEMA provided lump sum grants to participating states, territories, tribes, and the District of Columbia to administer delivery of Lost Wages Assistance for which they could have received an additional amount up to 5% of the total grant award to cover administrative costs, subject to the 75% federal and 25% state, territory, or tribe cost share. The state, territory, or tribe grant amounts were established in coordination with the participating states, territories, and tribes based on estimated participant data compiled through their respective unemployment offices. The Period of Assistance and funding for Lost Wages Assistance ended on December 27, 2020.

CRITERIA FOR SELECTING PROPOSALS:

These general conditions must be met for an applicant to be eligible to receive IHP Assistance: 1.The applicant must be a U.S. citizen, non-citizen national, or qualified alien. 2.FEMA must be able to verify the applicant's identity. 3.The applicants insurance, or other forms of disaster assistance received, cannot meet their disaster-caused needs. 4.The applicants necessary expenses and serious needs are directly caused by a declared disaster.

97.052 Emergency Operations Center

(EOC),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

The Department of Defense and Full-Year Continuing Appropriations Act, 2011, , Public Law 112-10, Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 614, 42 USC Code 5196. Implementing Recommendations of the 9/11 Commission Act of 2007, , Public Law 110-53

OBJECTIVES:

The purpose of the Emergency Operations Center (EOC) Grant Program is to improve emergency management and preparedness capabilities by supporting flexible, sustainable, secure, strategically located and fully interoperable EOCs with a focus on addressing identified deficiencies and needs. This program provides funding for construction or renovation of a State, local or Tribal government's principal EOC. Fully capable emergency operations facilities at the State and local levels are an essential element of a comprehensive national emergency management system and are necessary to ensure continuity of operations and continuity of government in major disasters caused by any hazard.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The EOC grant program supports EOC construction and renovation. Funds must be spent in compliance with rules and regulations noted in the EOC Grant Program Guidance and Application Kit.

Applicant Eligibility:

Government - General: Specific information on applicant eligibility is identified in the funding opportunity announcement and in the EOC Grant Program Guidance and Application Kit

Beneficiary Eligibility:

U.S. Territories; State; Local; Federally Recognized Indian Tribal Governments; Funding under this program is ultimately to benefit State and local governments.

Credentials/Documentation:

Specific Information on applicant eligibility is identified in the funding opportunity announcement and in the EOC Grant Program Guidance and Application Kit . 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. There are no preapplication coordination requirements for this program as there is no funding available for award.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For existing awards, see the refer to the EOC Grant Program Guidance and Application Kit. There are no current application procedures for this program as there is no funding available for award.

Award Procedure:

There are no current award procedures for this program as there is no funding available for award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to in the EOC Grant Program Guidance and Application Kit .

Appeals:

Not Applicable. None

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25 The program will require a 75 percent Federal and 25 percent grantee cost share cash or in-kind match requirement. Unless otherwise authorized by law, Federal funds cannot be matched with other Federal funds. Maintenance of Effort requirements are not applicable to this assistance listing. Matching requirements are voluntary. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to the EOC Grant Program Guidance and Application Kit. Method of awarding/releasing assistance: other

Reports:

Refer to in the EOC Grant Program Guidance and Application Kit. Refer to in the EOC Grant Program Guidance and Application Kit. Refer to in the EOC Grant Program Guidance and Application Kit. Refer to in the EOC Grant Program Guidance and Application Kit. Refer to in the EOC Grant Program Guidance and Application Kit.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. This program is covered under 2 C.F.R. Part 200, Subpart F - Audit Requirements.

Records:

Refer to in the EOC Grant Program Guidance and Application Kit.

Account Identification:

70-0560-0-1-000.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

ASSISTANCE LISTINGS DESCRIPTIONS

Range and Average of Financial Assistance:

Refer to in the EOC Grant Program Guidance and Application Kit.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the EOC Grant Program Guidance and Application Kit. In addition, 2 C.F.R. Part 200, Subpart F Audit Requirements, applies to existing awards for this program for recipients fiscal years that began on or after December 26, 2014. If new funding is provided for this program, all of 2 C.F.R. Part 200 will apply to this program.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations.

Headquarters Office:

Department of Homeland Security, FEMA Department of Homeland Security/Federal Emergency Management Agency,
400 C Street S.W. Washington, DC 20472,
Washington DC 20472

Email: askcsid@dhs.gov

Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.042 Emergency Management Performance Grants;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

There are no current criteria for selecting proposals for this program as there is no funding available for award.

97.055 Interoperable Emergency Communications

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Title Section 1809 of the Homeland Security Act of 2002, Pub. L. No. 107-296, as amended (6 U.S.C. 579),

OBJECTIVES:

To provide governance, planning, training and exercise funding to States, territories, and local and tribal governments to carry out initiatives to improve interoperable emergency communications, including communications in collective response to natural disasters, acts of terrorism, and other man-made disasters. All activities proposed under the program must be integral to interoperable emergency communications and must be aligned with the goals, objectives, and/or initiatives identified in the recipients approved Statewide Communication Interoperability Plan (SCIP). The Program provides the flexibility to purchase interoperable communications equipment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Refer to program guidance document.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals. All 56 states and territories.

Beneficiary Eligibility:

Local; Beneficiary Eligibility: States, territories, and local and tribal governments.

Credentials/Documentation:

Refer to program guidance document. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. There are no preapplication coordination requirements for this program as there is no funding available for award. If there is a new appropriation for this program, then 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

There are no current award procedures for this program as there is no funding available for award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25%. Requirement: Matching requirements are voluntary. Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 25 Grant awards will require a 25 percent nonfederal cost share on all equipment purchases. The source of the match funds must be identified in the grant application. The match does not need to be a cash match. In-kind contributions are permitted and must be activities in direct support of and eligible under the project. The nonfederal share may not be funded by other federal funds unless permitted by Federal statute. Maintenance of Effort requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Method of awarding/releasing assistance: other

Reports:

Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Quarterly Federal Financial Reports (FFR), Semi-Annual Assistance Progress Report (SAPR), and Biannual Strategy Implementation Reports (BSIR). Refer to program guidance document.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 This program is covered under 2 C.F.R. Part 200, Subpart F - Audit Requirements.

Records:

Refer to in the program guidance document.

Account Identification:

58-0101-0-1-999.

Obligations:

Project Grants FY 18 \$0.00; FY 19 est \$0.00; and FY 20 est \$0.00;

Range and Average of Financial Assistance:

Refer to program guidance document.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

70-0560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to program guidance. In addition, 2 C.F.R. Part 200, Subpart F Audit Requirements, applies to existing awards for this program for recipients fiscal years that began on or after December 26, 2014. If new funding is provided for this program, all of 2 C.F.R. Part 200 will apply to this program.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations

Headquarters Office:

Department of Homeland Security, Federal Emergency Management,
400 C Street SW,
Washington DC 20523

Email: askcsid@fema.dhs.gov

Phone: 18003686498

Fax: Department of Homeland Security, Federal Emergency Management,
400 C Street SW,
Washington DC 20472

Email: askcsid@fema.dhs.gov

Phone: 18003686498

Fax:

Website Address:

<http://www.fema.gov/government/grant/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

There are no current criteria for selecting proposals for this program as there is no funding available for award.

97.056 Port Security Grant Program

PSGP,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Maritime Transportation Security Act of 2002, Section 102, Public Law 107-295, 46 USC Code 70107.

OBJECTIVES:

The Fiscal Year (FY) 2021 Port Security Grant Program (PSGP) is one of four grant programs that constitute DHS/FEMAs focus on transportation infrastructure security activities. These grant programs are part of a comprehensive set of measures authorized by Congress and implemented by the Administration to help strengthen the Nations critical infrastructure against risks associated with potential terrorist attacks. The PSGP provides funds to state, local, and private sector maritime partners to support increased port-wide risk management and protect critical surface transportation infrastructure from acts of terrorism, major disasters, and other emergencies. Among the five basic homeland security missions noted in the DHS Strategic Plan, PSGP supports the goal to Strengthen National Preparedness and Resilience.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The vast majority of U.S. maritime critical infrastructure is owned and/or operated by State, local, and private sector maritime industry partners. PSGP funds available to these entities are intended to improve port-wide maritime security risk management; enhance maritime domain awareness; support maritime security training and exercises; and to maintain or reestablish maritime security mitigation

protocols that support port recovery and resiliency capabilities. PSGP investments must address U.S. Coast Guard identified vulnerabilities in port security and support the prevention, detection, response, and/or recovery from attacks involving IEDs and other non-conventional weapons. PSGP grant recipients and sub-recipients may only use PSGP grant funds for the purpose set forth in the grant and must be consistent with the statutory authority for the award. Grant funds must be used in accordance with 2 C.F.R. Part 200. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. PSGP grant recipients and sub-recipients may only use PSGP grant funds for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. See FY 2021 PSGP NOFO and PGM.

Applicant Eligibility:

Government - General; Non-Government - General; Quasi-public nonprofit institution/organization; All entities subject to an Area Maritime Security Plan (AMSP), as defined by 46 U.S.C. 70103(b), may apply for PSGP funding. Eligible applicants include but are not limited to port authorities, facility operators, and state and local government agencies. A facility operator owns, leases, or operates any structure or facility of any kind located in, on, under, or adjacent to any waters subject to the jurisdiction of the United States. Examples of facility operators include, but are not limited to terminal operators, ferry systems, bar/harbor pilots, and merchants exchanges. See the Applications Submitted by Eligible Entities section below for further detail.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Critical national seaports and terminals.

Credentials/Documentation:

Eligible entities may submit only one application within each Port Area. An application may contain up to five investment justifications. All PSGP applicants must be fully compliant with relevant Maritime Security Regulations (33 C.F.R. Parts 101-106). Any applicant who, as of the grant application deadline, has an open or outstanding Notice of Violation (NOV) will not be considered for PSGP funding if: 1. The applicant has failed to pay the NOV within 45 days of receipt of the NOV and the applicant has failed to decline the NOV within 45 days of receipt resulting in the U.S. Coast Guard (USCG) entering a finding of default; or 2. The applicant appealed the NOV and received a final appeal decision from the Commandant, U.S. Coast Guard, and failed to come into compliance with the terms of the final appeal decisions within the appropriate timeline. FY 2021 PSGP recipients must adopt and/or maintain implementation of the National Incident Management System (NIMS). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information, refer to the FY 2021 PSGP NOFO.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applying for an award under this program is a multi-step process. Failure to comply with any of the required steps before the deadline for submitting the application may disqualify the application from funding. The steps involved in applying for an award under this program are: Failure of an applicant to comply with any of the required steps before the deadline for submitting an application may disqualify that application from funding. To apply for an award under this program, all applicants must: a. Apply for, update, or verify their Data Universal Numbering System (DUNS) number from Dun & Bradstreet and Employer Identification Number (EIN) from the Internal Revenue Service; b. In the application, provide a valid DUNS number, which is currently the unique entity identifier; c. Have an account with login.gov; d. Register for, update, or verify their SAM account and ensure the account is active before submitting the application; e. Create a Grants.gov account; f. Add a profile to a Grants.gov account; g. Establish an Authorized Organizational Representative (AOR) in Grants.gov; h. Register in ND Grants i. Submit an initial application in Grants.gov; j. Submit the final application in ND Grants, including electronically signing applicable forms; and k. Continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by a federal awarding agency. As part of this, applicants must also provide information on an applicant's immediate and highest-level owner and subsidiaries, as well as on all predecessors that have been awarded federal contracts or federal financial assistance within the last three years, if applicable. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

All successful applicants for all PSGP grants are required to comply with DHS Standard Administrative Terms and Conditions. Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. FY 2021 applications were due 05/14/2021, and funding selections were announced on 07/16/2021.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are mandatory. All applicants will be required to commit to the cost share requirement at the time of application. All public sector and non-governmental, nonprofit PSGP award recipients meaning recipients other than private, for-profit entities must provide a non-Federal entity contribution supporting 25 percent (25%) of the total of all project costs. Private, for-profit PSGP award recipients must provide a non-Federal entity contribution supporting 50 percent (50%) of the total of all project costs. The non-Federal entity contribution should be specifically identified for each proposed project. The non-Federal entity contribution can be cash or third-party in-kind, with the exception of construction activities, which must be a cash-match (hard). The non-Federal contribution, whether cash or third party in-kind, has the same eligibility requirements as the Federal share (e.g. operational costs for routine patrol are ineligible, and operational costs for overtime to conduct an approved exercise may be eligible as part of the investment justification) and must be justified as part of the project within the investment justification. Exceptions to the cost match requirement may apply. For example, the cost share requirements for projects that have a port-wide benefit need only to be funded at the public sector matching fund level of 25 percent. These projects must be certified by the COTP as

having a port-wide benefit. Maintenance of Effort requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance is 36 months. For more information, refer to the FY 2021 PSGP NOFO. Recipients must accept their awards no later than 60 days from the award date. The recipient shall notify FEMA of its intent to accept and proceed with work under the award or provide a notice of intent to decline through the ND Grants system. For instructions on how to accept or decline an award in the ND Grants system, please see the Grant Recipient User Guide. Failure to accept a grant award within the 60-day timeframe may result in a loss of Method of awarding/releasing assistance: other

Reports:

Recipients are responsible for providing updated performance progress reports (PPR) on a biannual basis as an attachment in ND Grants. The PPR should include the following: A brief narrative of overall project(s) status A summary of project expenditures A description of any potential issues that may affect project completion Recipients must report obligations and expenditures on a quarterly basis through the Federal Financial Report (FFR) (SF-425) to FEMA. Recipients must file the FFR electronically using the Payment and Reporting System (PARS). A FFR must be submitted quarterly throughout the period of performance, including partial calendar quarters, as well as for periods where no grant award activity occurs. The final FFR is due 90 days after the end of the performance period. Future awards and fund drawdowns may be withheld if these reports are delinquent, demonstrate lack of progress, or are insufficient in detail. The Federal Financial Reporting Form (FFR) is available online at:

[https://www.grants.gov/web/grants/forms/post-award-reporting-](https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortBy=1,SF-425)

[forms.html#sortBy=1, SF-425](https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortBy=1,SF-425) Recipients are responsible for providing updated PPRs on a biannual basis as an attachment in ND Grants. The PPR should include the following: Status Summary: Provide a brief narrative of the overall project status; Identify accomplishments and milestones achieved as they relate to the approved project; and Describe any potential issues that may affect project completion. Best Practices / Lessons Learned Summary, which describes any best practices or lessons learned identified to date through the program. The purpose of this information is to develop and enhance guidance materials, tools, templates, and lessons learned and best practices summaries. Issues List, which identifies any program-related challenges that may require assistance or impact successful and on time completion of the funded project. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS. Grant Close-Out Process. Within 90 days after the end of the period of performance, or after a Grant Adjustment Notice (GAN) has been issued to close out a grant, whichever comes first, grantees must submit a final FFR and final progress report detailing all accomplishments throughout the period of performance. After these reports have been reviewed and approved by FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The grantee is responsible for returning any funds that have been drawn down but remain as unliquidated on grantee financial records. Grant recipients will be monitored on an annual and as needed basis by recipients will be monitored on an annual and as needed basis by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-

ASSISTANCE LISTINGS DESCRIPTIONS

Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0560-0-1-402.

Obligations:

Project Grants FY 20 \$100,000,000.00; FY 21 est \$100,000,000.00; FY 22 est \$100,000,000.00;

Range and Average of Financial Assistance:

For more information, refer to the FY 2021 PSGP Notice of Funding Opportunity (NOFO) and the FY 2021 FEMA Preparedness Grants Manual (PGM).

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funds were appropriated and will be allocated to support increased port-wide risk management; enhance domain awareness; conduct training and exercises; expand of port recovery and resiliency capabilities; further capabilities to prevent, detect, respond to, and recover from attacks involving improvised explosive devices (IEDs) and other non-conventional weapons. These activities will assist ports in the implementation of the NPS by supporting the building, sustainment, and delivery of core capabilities essential to achieving the Goal of a secure and resilient Nation.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following 2 C.F.R. Part 200 policy requirements apply to this assistance listing: Subpart A, Acronyms and Definitions; Subpart B, General provisions; Subpart C, Pre-Federal Award Requirements and Contents of Federal Awards; Subpart D, Post Federal; Award Requirements; Subpart E, Cost Principles; Subpart F, Audit Requirements; Maritime Security Regulations, 33 C.F.R. Parts 101-106

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management,
400 C Street SW,
Washington DC 20472
Email: askscsid@fema.dhs.gov
Phone: 1 800 368 6498
Fax:

Website Address:

<http://www.fema.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.075 Rail and Transit Security Grant Program;

EXAMPLES OF FUNDED PROJECTS:

2020 Given the evolving threat landscape, it is incumbent upon DHS/FEMA to continuously evaluate the national risk profile and set priorities that help ensure appropriate allocation of scarce security dollars. In assessing the national risk profile for FY 2021, one area attracts the most concern: 1) Enhancing cybersecurity. Likewise, there are several enduring security needs that crosscut the homeland security enterprise. The following are second-tier priorities that help recipients implement a comprehensive approach to securing critical maritime transportation infrastructure: 1) Enhancing the protection of soft targets/crowded places; 2) Addressing emerging threats (e.g., transnational criminal organizations, weapons of mass destruction [WMD], unmanned aerial systems [UASs], etc.); 3) Effective planning; 4) Training and awareness campaigns; 5) Equipment and capital projects; and 6) Exercises.

CRITERIA FOR SELECTING PROPOSALS:

The PSGP uses a risk-based methodology for making funding decisions whereby each Port Area relative threat, vulnerability, and consequences from acts of terrorism are considered. This approach helps ensure that program funding is directed toward those Port Areas that present the highest risks in support of the Goal of a secure and resilient Nation. Please refer to the Preparedness Grants Manual for further information on the Goal. The PSGP will only fund those eligible projects that close or mitigate maritime security risk vulnerabilities gaps as identified in the applicable AMSP, FSP, VSP, and/or Port-wide Risk Management Plan (PRMP). Projects that enhance business continuity and resumption of trade within a Port Area will also be considered for funding. FY 2021 PSGP applications will be evaluated through a three-part review and selection process that encompasses: 1) an Initial Screening; 2) a Field Review; and 3) a National Review. There are five core PSGP scoring criteria applied in each step of this process: 1) Projects that support development and sustainment of the core capabilities in the Goal. Projects are ranked and weighted based on alignment with core capabilities across the five mission areas of the Goal: Prevention, Protection, Mitigation, Response, and Recovery. A composite score is given to each project to determine a Port Area prioritized ranking of all reviewed projects. The following scale shall be used: 0=None; 1=Minimal; 3=Moderate; 9=Significant/Gap Filled 2) Projects that address priorities outlined in the applicable AMTSP, FSP, and/or VSP, as mandated under the MTSA and/or in an applicable PRMP. AMSP priorities are the top three Transportation Security Incidents (TSIs) (as defined in 46 U.S.C. 70101(6)) ranked and correspondingly weighted. Each IJ will be given a score (using the same scale as the National Priorities module) based on how well it addresses one or more TSIs within the context of the five mission areas of the Goal. The following scale shall be used: 0=None; 1=Minimal; 3=Moderate; 9=Significant/Gap Filled 3) Projects that address additional maritime security priorities based on the USCG Caption of the Port (COTP) s expertise and experience within the specific Port Area. 4) Projects that are eligible and feasible, based on the available period of performance. In addition, a recipients past performance demonstrating competent stewardship of Federal funds may influence funding decisions. IJs should justify the scope, breadth, and cost of a project, as well as a timeline for completing the project as required within this NOFO. Projects failing to demonstrate these minimum funding considerations may be denied funding. The following scale shall be used: 0=No Funding Recommended; 1=Funding Recommended 5) Projects submitted by a public sector applicant or projects otherwise certified by the USCG COTP as having a port-wide benefit (please see the Preparedness Grants Manual as well as the cost match section of this NOFO for further information regarding what constitutes a port-wide benefit) will have their final scores increased by a multiplier of 10 percent.

ASSISTANCE LISTINGS DESCRIPTIONS

97.057 Intercity Bus Security Grants

Intercity Bus Security.

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Public Law Department of Homeland Security Appropriations Act, 2019 ,(Pub. L. No. 116-6), Public Law 1532 of the Implementing Recommendations of the 9/11 Commission Act of 2007 , Public Law 110-53, 6 US Code 1531

OBJECTIVES:

Provide funds to eligible private operators of intercity over-the-road bus transportation systems to protect critical transportation infrastructure and travelling public from acts of terrorism, and to increase the resilience of the transit infrastructure.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. In accordance with Homeland Security Presidential Directive (HSPD)-5, Management of Domestic Incidents, the adoption of NIMS is a requirement to receive Federal preparedness assistance, through grants, contracts, and other activities. Federal NIMS implementation must be considered prior to allocation of any Federal preparedness awards in FY 2020.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Private operators providing intercity over-the-road bus transportation that have also completed a vulnerability assessment and developed a security plan, which the Secretary of Homeland Security has approved as described in Section 1531 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (9/11 Act) (Pub. L. No. 110-53) (6U.S.C. 1181). Private operators are non-governmental entities that may include, but are not limited to, sole proprietorships, affiliates, parent companies and subsidiaries. This program is limited to applicants that meet one or both of the following criteria: 1) Operate fixed-route intercity bus transportation providing services to one or more historically eligible UASI jurisdictions as indicated below; or 2) Operate a charter bus service using over-the-road buses and provide a minimum of 50 trips annually to one or more historically eligible UASI jurisdictions as indicated below.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Other private institution/organization; Public nonprofit institution/organization; Other public institution/organization; Private nonprofit institution/organization, Other private institution/organization, Public nonprofit institution/organization, Other public institution/organization.

Credentials/Documentation:

Applicants must have completed a vulnerability assessment and developed a security plan, which the Secretary of Homeland Security has approved as described in Section 1531 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (9/11 Act) (Pub. L. No. 110-53) (6 U.S.C. 1181). Private Operators are non-governmental entities that may include, but are not limited to, sole proprietorships, affiliates, parent companies and subsidiaries. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372

"Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review Please refer to the FY 2020 IBSGP NOFO for information on applying for assistance .

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. FEMA makes all funding opportunities available on the Internet at <http://www.grants.gov>. Application forms and instructions are available at Grants.gov. The on-line application includes the following required form: Standard Form 424, Application for Federal Assistance Applying for FY 20210 IBSGP funds require a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Step 1 involves submitting a complete Standard Form 424 to www.grants.gov. The Standard Form 424 will be retrieved by ND Grants and the system will automatically populate the relevant data fields in the application. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Late submissions to grants.gov to complete Step 1 could result in applicants missing the application deadline in Step 2. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the ND Grants system. The application must be completed and final submission made through the ND Grants system located at <https://portal.fema.gov>. Unless otherwise referenced, the ND Grants system includes the following required forms and submissions: Standard Form 424A, Budget Information (Non-construction) Standard Form 424B, Standard Assurances (Non-construction) Standard Form 424C, Budget Information (Construction) Standard Form 424D, Standard Assurances (Construction) Standard Form LLL, Disclosure of Lobbying Activities (if the grantee has engaged or intends to engage in lobbying activities) Grants.gov (GG) Lobbying Form, **Award Procedure:**

All successful applicants for all DHS grants are required to comply with DHS Standard Administrative Terms and Conditions. Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the recipient point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Deadlines:

Application Start Date: 02/25/2021 Application Submission Deadline Date: 5/14/2021 at 5:00 PM EDT Funding Selection Announcement Date: 07/16/2021 Award Date: by 09/30/2021

Range of Approval/Disapproval Time:

Other. FY 20210 applications were due 05/14/2021, and funding selections are estimated to be announced on 07/16/2021.

Appeals:

Not Applicable. None

Renewals:

Not Applicable. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Matching Requirements: Percent: 50 The FY 2021 IBSGP has a cost share requirement. Cash (Hard Match): The only acceptable form of cost sharing is a hard match, meaning cash, by the recipient. In-kind contributions, also known as soft matches, are not acceptable for the recipients cost share requirement. Eligible IBSGP applicants shall agree to make available non-federal funds to carry out an IBSGP award in an amount equal to, and not less than, 50 percent of the total project cost as submitted in the application and approved in the award. The

ASSISTANCE LISTINGS DESCRIPTIONS

recipients contribution should be specifically identified for each proposed project. The non-federal contribution has the same eligibility requirements as the Federal share and must be justified as part of the project within the investment justification. These cash, hard-match contributions must consist of eligible costs and must be identified as part of the submitted application. A cash match includes cash spent for project related costs. The cost match requirement for the IBSGP award may not be met by funds from another federal grant or assistance program or funds used to meet matching requirements for another federal grant or assistance program, unless otherwise permitted by federal statute. Additionally, normal routine operational costs cannot be used as a cost match unless a completely new capability is being awarded. Please see 2 C.F.R. 200.306, as applicable, as well as the FEMA Preparedness Grants Manual (PGM) for additional guidance regarding cost matching. Maintenance of Effort requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for the FY 2021 IBSGP is 24 months. Refer to the annual Notice of Funding Opportunity and the Preparedness Grants Manual (insert hyperlink) for more information. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. IBSGP Notification of award approval is made through the ND Grants system through an automatic email to the awardee point of contact (the authorized official) listed in the initial application. The award date for IBSGP is the date that FEMA approves the award, and marks the beginning of the period of performance POP. Recipients must accept their awards no later than 960 days from the award date. Failure to accept the grant award within the 60 -day timeframe may result in a loss of funds . Method of awarding/releasing assistance: Payment based on Project need. Method of awarding/releasing assistance: other

Reports:

Recipients are responsible for providing updated performance reports on a biannual basis as an attachment in ND Grants. The PPR should include the following: A brief narrative of overall project(s) status A summary of project expenditures A description of any potential issues that may affect project completion. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS. IBSGP recipients are responsible for providing updated performance progress reports (PPRs) using the SF-PPR on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). The Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 120 days after the end date of the performance period. FFRs must be filed electronically through PARS. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also

known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0560-0-1-402.

Obligations:

Project Grants FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Refer to program guidance available at <https://www.fema.gov/media-library/assets/documents/185913>.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funds were appropriated and will be allocated to enhance over-the-road bus security.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information on requirements and guidance please refer to the FEMA Preparedness Grants Manual (PGM)

Regional or Local Office:

See Regional Assistance Locations. Contact and Resource Information Centralized Scheduling and Information Desk (CSID) CSID is a non-emergency comprehensive management and information resource developed by DHS/FEMA for grants stakeholders. CSID provides general information on all DHS/FEMA grant programs and maintains a comprehensive database containing key personnel contact information at the Federal, state, and local levels. When necessary, recipients will be directed to a Federal point of contact who can answer specific programmatic questions or concerns. CSID can be reached by phone at (800) 368-6498 or by e-mail at askcsid@fema.gov, Monday through Friday, 9:00 a.m. 5:00 p.m. ET.

Headquarters Office:

Department of Homeland Security/FEMA Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW, Washington DC 20472 Email: askcsid@dhs.gov

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Phone: 18003686498

Fax:

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.075 Rail and Transit Security Grant Program; 97.056 Port Security Grant Program ;

EXAMPLES OF FUNDED PROJECTS:

2021 Video surveillance, fencing, Global Position System equipment, etc.

CRITERIA FOR SELECTING PROPOSALS:

FY 2020 IBSGP applications will be evaluated through a review process for completeness, adherence to programmatic guidelines, and anticipated effectiveness of the proposed investments. A National Review Panel will score each application based on cost effectiveness, ability to reduce risk of catastrophic events, sustainability without additional Federal funds and leveraging of other funding, adherence to timelines, risk to critical infrastructure, and alignment with one of the National Priority Areas.

97.061 Centers for Homeland Security

(COE),

FEDERAL AGENCY:

SCIENCE AND TECHNOLOGY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Homeland Security Act of 2002, Section 1205, Public Law 107-296, 6 USC Code 188(b).

OBJECTIVES:

DHS S&T seeks to establish a university-led consortium that work closely with DHS Components and their partners to conduct research, develop and transition mission-relevant science and technology and educate the next generation of homeland security technical and scientific challenges identified by DHS components. To accomplish this objective, DHS S&T has developed the following Centers of Excellence (COEs) and associated objectives: The Arctic Domain Awareness Center (ADAC) will focus on interdisciplinary research, education, and technology transition in maritime security, maritime domain awareness, and extreme and remote maritime environment issues. ADAC will focus on interdisciplinary research, education, and technology transition in maritime security, maritime domain awareness, and extreme and remote maritime environment issues. An interdisciplinary and experienced team of researchers is required to create an integrated array of research and education programs in the following areas: 1) maritime risk, threat analysis, and resilience research; 2) maritime domain awareness; and 3) maritime technology research. DHS is interested in pursuing research that will address the needs and technological shortfalls that enable the USCG and other Arctic maritime operators to respond to catastrophic events. Greater situational awareness in the Arctic maritime domain, including the Northern Bering Sea and Bering Strait, is a requirement to prevent and respond to incidents of national significance. The Center for Accelerating Operational Efficiency (CAOE) will conduct end-user focused research to enhance the application of analytic tools to enable the DHS components and offices to realize achievable improvements in operational efficiency, and to support real-time decision making to address homeland security-related threats and hazards. The research will provide technologies, education and training to improve data management and analysis, to facilitate operations research and systems analysis, to identify the economic impact of security threats and hazards, and to critically assess risks to DHS missions and the people and property they protect. DHS expects this Center to develop the next generation of applied mathematical, computational, and statistical theory (including algorithms and methods), and to advance the quantitative analytical capabilities of DHS components and offices and their partners. This Center also will provide quantitative and analytical tools to administrators, investigators, field operators, and analysts across DHS components. The Cross Border Threat Screening and Supply Chain Defense (CBTS) Center will research and develop solutions, protocols, and capabilities to

support DHS operations that detect, assess, and respond to known and unknown biological threats and hazards that could adversely impact the Nations people, agriculture, and economy. This Centers research will provide innovations and methods to improve biothreat screening and detection, prevention, and support response. Researchers will seek to understand how advances in bioengineering can support the needs of operators in the field when confronted with emerging threats, both naturally occurring and in situations involving intentional exposures. The Center will also research how to make sense of large amounts of data emerging from diagnostics, genetics, sensors, and the environment. Today's analysts, first responders, professionals in public and animal health, and operators in the field are confronted with enormous amounts of data which, if understood, could assist in improving our ability to identify threats earlier and make decisions that save lives and the economy. The Criminal Investigations and Network Analysis (CINA) Center will provide solutions to enhance investigation strategies to counter the proliferation of transnational criminal networks, cross-border criminal activities, and the growth in criminal use and exploitation of the cyber domain. CINA will develop and conduct research and education programs that will help DHS and its partners enhance investigation strategies to counter the proliferation of transnational criminal networks, cross-border criminal activities, and the growth in criminal use and exploitation of the cyber domain. The Center will provide education and professional development to improve the cost-effectiveness of criminal investigations, prosecution, prediction and prevention. CINA will also develop data, methods, tools, knowledge-products, and technology-based solutions for agents, officers and investigators to better coordinate investigative strategies and operations to predict, avert and prosecute trans-national crimes. The Critical Infrastructure Resilience Institute (CIRI) will conduct research and education that will enhance the Nations ability to prepare and more rapidly recover from catastrophic disruptions, supporting DHS and its partners in solving complex, interdisciplinary challenges that affect the Nations infrastructure. Using a place-based approach, researchers will investigate multiple linked industrial sectors, utilities, and public services. These entities collectively prove critical to business continuity and community recovery within a specific metropolitan area. The place-based approach will allow CIRI to develop solutions to enhance preparedness and recovery of the nations critical infrastructure systems. Critical infrastructures are the assets, systems, and networks, whether physical or virtual, so vital to the United States that their incapacitation or destruction would have a debilitating effect on security, national economic security, national public health or safety, or any combination thereof. The Coastal Resilience Center (CRC) will conduct research and education to enhance the Nations ability to safeguard people, infrastructure, and economies from catastrophic coastal natural disasters such as floods and hurricanes. The Coastal Resilience Center also will consider future climate trends and their impacts on coastal resilience. Areas of research will include coastal infrastructure resilience, building resilient communities, and disaster dynamics. The overarching goal of the Center will be to advance our understanding of coastal hazards to benefit a large number of public and private entities. DHS anticipates this research will produce tangible research and education results for use by DHS, other federal agencies, state and local governments, and other relevant entities. The Engineering Secure Environments from Targeted Attacks (ESE) Center will focus efforts in protecting transportation environments and public venues, improving systems of collaboration across public and private entities, and producing new engineering approaches to securing crowded spaces with novel design concepts. There are growing threats from malicious threat actors that target unsecure spaces where they can inflict the gravest injury to human life and property. These threats result in physical, psychological, and financial losses on the individual, collective and societal level to the American population. To address these threats, DHS can make a difference by ensuring future public and private investment in urban infrastructure includes security principals. DHS seeks research that will ensure the Departments long-term needs are considered when infrastructure recapitalization occurs. ESEs research will directly support the departments strategic framework to counter terrorism and targeted violence. The goal of ESE is to advance research that safeguards spaces where traditional methods to physical security are impractical to implement at scale. The Maritime Security Center (MSC) will continue ongoing research and education activities in coordination with U.S. Coast Guard and other customers to facilitate transition of appropriate projects to DHS components and advance integrated education efforts. MSC research projects focus on the following areas: Maritime Risk, Threat Analysis, and Resilience Research; Maritime Domain Awareness (MDA) Research; Maritime Technology Research; and Integration of Science and Engineering with Maritime Security Governance

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and Policy Research. In addition to pursuing key research and education initiatives based on DHS challenges, the Center will also focus on enhancing the knowledge, technical skills, and leadership capabilities of the Nations current and prospective maritime security workforce. At the core of the Centers mission is the transfer of its research and expertise into relevant, innovative educational programs. The Centers educational programs leverage the subject matter expertise and research assets of its academic partners and stakeholder network to provide multidisciplinary and experiential learning opportunities for students and tailored programs for professional audiences. The National Counterterrorism Innovation, Technology, and Education (NCITE) Center will conduct research and develop solutions, protocols, and capabilities to support DHS operations that detect, assess, and respond to domestic terrorist activities that would harm the people and infrastructure of the United States. The Centers research portfolio will focus on four major theme areas: the nature of counterterrorism operations, development of the Nationwide Suspicious Activity Reporting Initiative, Terrorism Prevention, and Workforce Development. The Center will provide education and professional development to ensure that the current and future homeland security enterprise workforce is adequately trained and educated to prepare for and respond to terrorist activities.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

Proposals must be submitted by an accredited U.S. institution of higher education that, along with its chosen partners, has the ability and capacity to conduct the required research. An accredited public and private institution of higher education in the United States must be identified as the official lead for proposal submission and subsequent negotiations. COEs may partner with other academic institutions, historically black colleges and universities (HBCUs) and/or other MSIs and institutions in states that are part of the Experimental Program to Stimulate Competitive Research (EPSCoR), public or private sector, and non-profit organizations, including any organizations that meet the definition of nonprofit in OMB Circular A-122, relocated to 2 CFR Part 230. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. Partnering entities will generally be funded through subawards from the lead institutions. National laboratories funded by Federal agencies may not apply.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; U.S. Territories and possessions (includes institutions of higher education and hospitals); Eligible applicants are accredited U.S. institutions of higher education.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans); Private nonprofit institution/organization; Public nonprofit institution/organization; Public and private colleges and universities.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff for eligibility and responsiveness. Applications will undergo merit review by a panel of subject matter experts which may include federal and non-federal reviewers. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to announcement or program guidance document.

Appeals:

Other. None

Renewals:

Other. Renewals of grant awards are based on performance, program plan, and availability of funds.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Refer to program guidance. Required to submit a Cash Transaction Report (SF 272) to the Department of Health and Human Services Division of Payment Management with a copy of the SF 272 to the DHS Grants Officer. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0803-0-1-999.

Obligations:

Salaries and Expenses FY 20 \$29,657,026.00; FY 21 est \$27,870,000.00; FY 22 est \$30,500,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-2022.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Continuation Applications of 8 COEs. OUP awarded one new COE in FY20. ADAC - completed successful tests of the Long-range Autonomous Vehicle (LRAUV) and marine induced polarization technologies under development and transitioned the High-Resolution Ice-Ocean Modeling and Assimilation System (HIOMAS) to USCG customer. BTI - completed Border Management/Cross Border Trade Course Curriculum and university offering courses for CBP officers and general public. Completed Cross-Border E-Commerce Challenges with Emerging Technologies research utilized by CBP and posted on its website. CAO E - developed tools that predict fluctuating demands at airport screening facilities at Phoenix Sky Harbor, helping to improve the efficiency of screening checkpoints without compromising security. This is a large-scale educational collaboration between airports in Phoenix, Las Vegas and San Diego contributed to the work. CBTS - In response to the COVID19 pandemic, the COE quickly initiated several new research projects examining the pandemics impact on various global supply chains. CIRI - provided funding and research opportunities to over 29 graduate students from 10 universities. These students collaborated alongside faculty researchers to address homeland security challenges. CRC developed a Graduate Certificate in Disaster Resilient Policy.

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Engineering and Design that was approved and implemented by the North Carolina State University (NCSSU) Curriculum Committee and the Graduate School in May 2020. CRC researchers developed the certificate program to further interdisciplinary education focused on achieving disaster resilience. CINA - produced its first and second prototypes in digital forensics and network analysis. The Hash prototype allows the U.S. Immigration and Customs Enforcement (ICE) Homeland Security Investigations (HSI) Cyber Crimes Center (C3) to reduce dramatically the number of hours searching for evidence on recovered devices. MSC - developed a two-day Maritime Cybersecurity Professional Development Course in conjunction with U.S. Coast Guard (USCG) Cyber Command and USCG Sector NY. The course provides Coast Guard personnel with foundational knowledge in cyber security concepts and increased awareness of cyber security vulnerabilities and mitigations, to assist in making cyber assessments as part of facility and vessel inspections and cyber incident response efforts. NCITE - supported the analysis and dissemination of a monthly report about ISIS in America, which details updated state-level statistics about recent U.S. Persons charged with material support charges across the United States. The report was sent to 78 DHS Fusion Centers and FBI's Joint Terrorism Task Force listserv, which allows for information sharing about threats across FLSTT boundaries at an UNCLASSIFIED level for broad consumption from stakeholders. Fiscal Year 2021: 2021 (estimated): Continuation Applications of 8 COEs. OUP will award one new COE in FY21. ADAC developed and filed a provisional patent for a Long-Range Autonomous Vehicle (LRAUV) System and developed Arctic All-Hazard WebGIS software, which was successfully integrated into Homeland Security Information Network (HSIN) Geospatial Information Infrastructure (GII) and incorporated a USCG Automatic Identification System (AIS) feed into the application. CAO E - developed and filed a provisional patent for an invention that advances the characterization of network scanning for vulnerable machines in the Internet or to perform reconnaissance, which is often the first step in a cyberattack, advancing automated characterization of network scanning that that will enable actionable cyber defense intelligence. CBTS - completed the development of Animal and Plant Disease Epidemiology 101 and 102 Courses and provided training classes to CBP Training Officers and completed a Comprehensive Curriculum Gap Analysis and Needs Assessment of CBP Training and Education programs. CIRI - developed the Probabilistic Resilient Interdependent Infrastructure System Modeling (PRIISM), a software product that conducts interdependent infrastructure risk assessments. The tool aims to provide a way to conduct more comprehensive risk assessments of critical infrastructure considering interdependencies between multiple networks. CRCs - ADCIRC model was incorporated into the National Oceanic and Atmospheric Administrations (NOAA) Global Extratropical Surge & Tide Operational Forecast System (ESTOFS-Global). Used by forecasters at Weather Forecasting Offices and the Ocean Prediction Center (OPC) to generate their storm surge forecasts during winter storms, the model also now offers improved spatial resolution in U.S. waters and enhanced physics. CINA - produced its third and fourth prototypes in multimedia analytics. The third prototype, voice analysis, provides U.S. Coast Guard (USCG) and Federal Bureau of Investigation (FBI) investigators with new and valuable information about the speaker in voice recordings (e.g. from 911 calls, interviews, etc.). The fourth prototype, video analytics system, increases the capacity of HSI, TSA, and the U.S. Customs and Border Protection (CBP) to perform face and body identification and reidentification and edge processing, even using low-quality equipment. MSC - conducted two successful pilots of its Maritime Cybersecurity Professional Development Course. The course was piloted remotely on October 1-2, 2020, and December 3-4, 2020, and included a total of 34 marine safety personnel representing 18 Sectors from nine USCG Districts. NCITE - successfully involved 66 students within their core operations, research, and internships. Students were able to enhance their technical expertise, awareness of the Departments missions, and were provided stipends and/or tuition. Fiscal Year 2022: Estimated to receive continuation applications of 7 COEs. OUP will award 2 new COEs in FY22.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact is Rebecca Medina

Headquarters Office:

Department of Homeland Security, University Programs, S&T,
245 Murray Lane, Building 410, Mail Stop 0205,

Washington DC 20523

Email: universityprograms@hq.dhs.gov

Phone: (202) 254-8680

Department of Homeland Security, University Programs, S&T,

245 Murray Lane, Building 410, Mail Stop 0205,

Washington DC 20523

Email: universityprograms@hq.dhs.gov

Phone: 2022548680

Fax:

Website Address:

<http://www.dhs.gov/science-and-technology/centers-excellence>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.062 Scientific Leadership Awards

FEDERAL AGENCY:

SCIENCE AND TECHNOLOGY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Homeland Security Act of 2002, Section 308, Public Law 107-296, 6 USC Code 188(b); 182(10).

OBJECTIVES:

In support of the Science and Technology Directorate's mission to build a pipeline of diverse talent knowledgeable in the Department of Homeland Security, the Office of University Programs administers the Scientific Leadership Awards (SLA) for Minority Serving Institutions (MSI). SLAs fund homeland security-related science, technology, engineering, and mathematics research at MSIs and supplement existing academic institution curricula with homeland security challenges to prepare MSI students for successful careers within the homeland security enterprise. OBJECTIVES: The objectives of this program are: (1) increase the intellectual capacity, skills and talents, especially those of U.S. citizens in areas of relevance to homeland security; (2) to attract undergraduate and graduate students to obtain advanced degrees in disciplines of importance to homeland security; (3) provide advanced professional and research development opportunities for fellows, scholars and faculty in targeted areas; and (4) to increase the diversity of and highly talented cadre of new and emerging science and technology expertise working in areas of importance and need to homeland security.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use, Project Grants

USES AND USE RESTRICTIONS:

Support is provided to Minority Serving Institutions (MSIs) to develop capacity in HS-STEM and provide financial support and career guidance to students.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans); Applicants must be from accredited U.S. colleges and universities defined as "minority institutions" by subsection 365 (3) of the Higher Education Act (HEA)(20 U.S.C. subsection 1067K (3)). Student applicants must be U.S. citizens studying in a STEM field relevant to the U.S. Department of Homeland Security's mission and objectives. US accredited MSIs are eligible to apply. Student recipients must be U.S. citizens studying in one of the following areas including: computer science, engineering, life sciences, math, physical sciences, psychology, social sciences. Students must have career and employment goals aligned with the mission and objectives of the U.S. Department of Homeland Security.

Beneficiary Eligibility:

Other private institution/organization; Other public institution/organization; Undergraduate Student, Graduate Student, and Minority Serving Institution

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Faculty.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications are reviewed by subject matter experts (SMEs). Awards are made based on agency priorities and available funding.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

Other. None

Renewals:

Not Applicable. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Method of awarding/releasing assistance: other

Reports:

Refer to program guidance. Refer to program guidance. Yearly progress reports and a final report are required. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 Refer to program guidance.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

Project Grants FY 20 \$868,035.00; FY 21 est \$1,700,000.00; FY 22 est \$1,700,000.00;

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0803.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Ten Minority Serving Institutions to include seven Historically Black Colleges and Universities (HBCU) successfully executed more than \$1.7M in research and development funding in support of Homeland Security mission objectives providing critical research and experiential learning opportunities for

faculty and students. Fiscal Year 2021: Six Minority Serving Institutions to include three HBCU received upwards of \$470,000 each for a total of \$2,810,178 in SLA funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

DHS, Office of University Programs, S&T Gregory Simmons,
245 Murray Lane, Building 410, Mail Stop 0217,
Washington DC 20523
Email: universityprograms@dhs.gov
Phone: (202) 254-5631

Website Address:

<http://www.dhs.gov/science-and-technology/office-university-programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.067 Homeland Security Grant Program

(HSGP).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Title Homeland Security Act of 2002 , Public Law 107-296,

OBJECTIVES:

The objective of the FY 2021 HSGP is to provide funds to eligible entities to support state, local, tribal, and territorial efforts to achieve core capabilities related to preventing, preparing for, protecting against, and responding to acts of terrorism. HSGP consists of three components: the State Homeland Security Program (SHSP), Urban Area Security Initiative (UASI), and Operation Stonegarden (OPSG). SHSP supports state, local, tribal, and territorial preparedness activities in efforts to build, sustain, and deliver the capabilities necessary to prevent, protect against, mitigate, respond to, and recover from acts of terrorism. UASI assists high-threat, high-density Urban Areas in efforts to build, sustain, and deliver the capabilities necessary to prevent, protect against, mitigate, respond to, and recover from acts of terrorism. OPSG supports enhanced cooperation and coordination among Customs and Border Protection (CBP), United States Border Patrol (USBP), and federal, state, local, tribal, and territorial law enforcement agencies. OPSG provides funding to support joint efforts to secure the United States borders along routes of ingress from international borders to include travel corridors in states bordering Mexico and Canada as well as states and territories with international water borders. For FY 2020/2021, DHS/FEMA will award SHSP and UASI funds based on DHS/FEMAs relative risk methodology pursuant to the Homeland Security Act of 2002, as amended. National Priority Investments for SHSP and UASI: SHSP and UASI applicants will be required to submit an Investment Justification (IJ) for each of the following four five national priorities for at least between 5% and 7.5% of their total award per priority area: 1. Enhancing cybersecurity (including election security); 2.7.5%); 2. Enhancing the protection of soft targets/crowded places (including election security);5%); 3. Enhancing information and intelligence sharing and cooperation with federal agencies, including DHS; and (5%); 4. Combatting domestic violent extremism (7.5%); 45. Addressing emerging threats (e.g., transnational criminal organizations, weapons of mass destruction [WMDs], unmanned aerial systems [UASs], etc.).The Tribal Homeland Security Grant Program (THSGP) is a carve-out of SHSP. The objective of the THSGP is to provide funding directly to eligible tribes to strengthen their capacities to prevent, prepare for, protect against, and respond to

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potential terrorist attacks.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Civil Defense/Disaster Prevention and Relief/Emergency Preparedness
DHS/FEMA grant funds may only be used for the purpose set forth in the grant and must be consistent with the statutory authority for the award. Grant funds must be used in accordance with 2 C.F.R. Part 200. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. For additional information on allowable activities, please refer to <http://www.fema.gov/grants>. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. There may be limitations on the use of HSGP funds for the following categories of costs: Management and Administration Planning Organization Equipment Training Exercises Maintenance and Sustainment Critical Emergency Supplies Construction and Renovation. For additional details on restrictions on the use of funds, please refer to the FY 2021 HSGP NOFO. Funds Transfer Restriction: The recipient is prohibited from transferring funds between programs (includes SHSP, UASI, and OPSG). Recipients are allowed to submit an investment/project where funds come from multiple funding sources (i.e., SHSP/UASI); however, recipients are not allowed to divert funding from one program to another due to the risk-based funding allocations, which were made at the discretion of DHS/FEMA. Awards made to the SAA for HSGP carry additional pass-through requirements. Pass through is defined as an obligation on the part of the SAA to make funds available to local units of government, combinations of local units, tribal governments, or other specific groups or organizations. The SAA must obligate at least 80 percent (80%) of the funds awarded under SHSP and UASI to local or Tribal units of government within 45 days of receipt of the funds. Receipt of the funds occurs either when the SAA accepts the award or 15 calendar days after the SAA receives notice of the award, whichever is earlier. Four requirements must be met to pass through grant funds: There must be some action to establish a firm commitment on the part of the SAA; The action must be unconditional on the part of the awarding entity (i.e., no contingencies for availability of SAA funds); There must be documentary evidence (i.e., award document, terms and conditions) of the commitment; and The award terms must be communicated to the official recipient. The signatory authority of the SAA must certify in writing to DHS/FEMA that pass-through requirements have been met. A letter of intent (or equivalent) to distribute funds is not considered sufficient. The pass-through requirement does not apply to SHSP awards made to the District of Columbia, Guam, American Samoa, the U.S. Virgin Islands, or the Commonwealth of the Northern Mariana Islands. Under SHSP, the SAA may retain more than 20 percent of funding for expenditures made by the state on behalf of the local unit(s) of government. This may occur only with the written consent of the local unit of government, specifying the amount of funds to be retained and the intended use of funds. States shall review their written consent agreements yearly and ensure that they are still valid. If a written consent agreement is already in place from previous fiscal years, DHS/FEMA will continue to recognize it for FY 2021, unless the written consent review indicates the local government is no longer in agreement. If modifications to the existing agreement are necessary, the SAA should contact their assigned FEMA HQ Program Analyst. OPSG: The recipient is prohibited from obligating or expending funds provided through this award until each unique and specific county-level or equivalent Operational Order/Fragmentary Operations Order budget has been reviewed and approved through an official electronic mail notice issued by DHS/FEMA removing this special programmatic condition. Risk Methodology: Based upon the requirements of the Homeland Security Act of 2002, as amended, DHS/FEMA continues to use risk to determine final HSGP allocations. DHS/FEMA defines risk as: potential for an unwanted outcome resulting from an incident, event, or occurrence, as determined by its likelihood and the associated consequences (see <http://www.dhs.gov/xlibrary/assets/dhs-risk-lexicon-2010.pdf>). The risk methodology determines the relative risk of terrorism faced by a given area considering the potential risk of terrorism to people, critical infrastructure, and economic security. The analysis includes threats from violent domestic extremists, international terrorist groups, and individuals inspired by

terrorists abroad.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals, U.S. Territories and possessions (includes institutions of higher education and hospitals))The SAA is the only entity eligible to submit HSGP applications to DHS/FEMA, including those applications submitted on behalf of UASI and OPSG applicants. All 56 states and territories, including any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, are eligible to apply for SHSP funds. Tribal governments may not apply directly for HSGP funding; however, funding may be available to tribes under the SHSP and OPSG through the SAA. Eligible high-risk urban areas for the FY 2021 UASI program have been determined through an analysis of relative risk of terrorism faced by the 100 most populous Metropolitan Statistical Areas (MSAs) in the United States. Subwards will be made by the SAAs to the designated high-risk urban areas. Eligible subrecipients under FY 2021 OPSG are local units of government at the county level or equivalent level of government and federally-recognized tribal governments in states on or near the border with Canada or Mexico, and states and territories with international water borders. All applicants must have active ongoing USBP operations coordinated through a CBP sector office to be eligible for OPSG funding. Under FY 2021 OPSG, subrecipients eligible to apply for and receive a subaward directly from the SAAs are divided into three Tiers. Tier 1 entities are local units of government at the county level or equivalent and federally recognized tribal governments that are on a physical border in states bordering Canada or Mexico, and states and territories with international water borders. Tier 2 eligible subrecipients are those not located on the physical border or international water but are contiguous to a Tier 1 eligible subrecipient. Tier 3 eligible subrecipients are those not located on the physical border or international water but are contiguous to a Tier 2 eligible subrecipient. Tier 2 and Tier 3 eligible subrecipients may be eligible to receive funding based on border security risk as determined by the USBP. Tribes that meet the eligibility criteria outlined in the Notice of Funding Opportunity are eligible to apply under THSGP.

Beneficiary Eligibility:

U.S. Territories; State; Local; U.S. Territories, State, Local, Tribal

Credentials/Documentation:

As part of the FY 2021 HSGP application process for SHSP and UASI funds, applicants must develop formal investment justifications (IJs) that address the proposed investments. Each IJ must demonstrate how proposed investments: Support terrorism preparedness; Support closing capability gaps or sustaining capabilities identified in the 2020 Threat and Hazard Identification and Risk Assessment (THIRA)/Stakeholder Preparedness Review (SPR) process and national priorities as outlined in the National Preparedness Report; and Engage and/or impact the whole community, including children, older adults, pregnant women, and individuals with limited English proficiency, individuals with disabilities and others with access and functional needs, and ensure the protection of civil rights in the building, sustainment, and delivery of core capabilities. Applicants must propose at least one, and may include up to 10 investments. Within each investment in their IJ, applicants must propose at least one project to describe the activities they plan to implement with HSGP funds. There is no limit to the number of projects that may be submitted. Any projects funded with HSGP funds that are not included in the application must subsequently be included in the first Biannual Strategy Implementation Report (BSIR). Of the proposed HSGP-funded investments, one (1) single investment must be in support of a designated fusion center. Recipients must coordinate with the fusion center when developing a fusion center investment prior to submission. Of the proposed HSGP-funded investments, at least one (1) investment must be in support of the state or territories cybersecurity efforts. At least one (1) investment for SHSP and UASI must address each National Priority Area, and account for at between 5% - 7.5% of the state/urban areas total award (for a total of at least five investments and 30% of total award). Recipients must limit the use of HSGP funds for projects that support the security and functioning of critical infrastructure and core capabilities as they

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relate to terrorism preparedness and may simultaneously support enhanced preparedness for other hazards unrelated to acts of terrorism. All emergency communications investments must describe how such activities align with their Statewide Communication Interoperable Plan (SCIP). Recipients must coordinate with their Statewide Interoperability Coordinator (SWIC) and/or Statewide Interoperability Governance Body (SIGB) when developing an emergency communications investment prior to submission to ensure the project supports the statewide strategy to improve emergency communications and is compatible and interoperable with surrounding systems. As part of the FY 2021 OPSG application process, each eligible local unit of government at the county or Federally-recognized tribal government level must develop a strategic plan called a Concept of Operations (CONOP)/Application, which is a formal proposal of action to address a specific situation and forms the basis for Operations Orders, in coordination with state and Federal law enforcement agencies, to include, but not limited to CBP/USBP. CONOPs that are developed at the county level should be inclusive of city, county, tribal, and other local law enforcement agencies that are eligible to participate in OPSG operational activities, and the CONOP/Application should describe participating agencies in the Executive Summary. CONOP/Application details should include the names of the agencies, points of contact, and individual funding requests. All CONOPs/Applications must be developed in collaboration with the local USBP sector office, the State Administrative Agency (SAA) and the local unit of government. Requests for funding in CONOPs/Applications must be based on risks and the operational enforcement support requirements of its corresponding USBP Sector. Sector offices will forward the CONOPs to USBP Headquarters for vetting and coordination. Applicants will forward corresponding OPSG Applications to the SAA for submission to FEMA. USBP Headquarters will reconcile all submitted CONOPs with the OPSG Applications. For the FY 2021 THSGP, tribes must self-certify their eligibility based on the criteria outlined in the Notice of Funding Opportunity, and submit Investment Justifications for each proposed project that outline the capability gap to address, expected outcomes, milestones, and how the proposed project will address the capability gap. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All applications must be received by the established deadline. The Non-Disaster (ND) Grants System has a date stamp that indicates when an application is submitted. Applicants will receive an electronic message confirming receipt of the full application. In general, DHS/FEMA will not review applications that are not received by the deadline or consider them for funding. DHS/FEMA may, however, extend the application deadline on request for any applicant who can demonstrate that good cause exists to justify extending the deadline. Application forms and instructions are available at [Grants.gov](https://www.grants.gov). To access the application package, select Applicants then Apply for Grants followed by Get Application Package. Enter the Funding Opportunity Number located on the first page of this NOFO. Select Apply and then Create Workspace. Follow the prompts to download the instructions and begin the application. Notice of Funding Opportunities (NOFO) for this listing will be posted on [Grants.gov](https://www.grants.gov).

Award Procedure:

SHSP Allocations: FY 2021 SHSP funds will be allocated based on two factors: minimum amounts as legislatively mandated, and DHS/FEMAs risk methodology. Each state and territory will receive a minimum allocation under the SHSP using thresholds established in the Homeland Security Act of 2002, as amended. All 50 States, the District of Columbia, and the Commonwealth of Puerto Rico will

receive 0.35 percent of the total funds allocated for grants under Section 2003 and Section 2004 of the Homeland Security Act of 2002, as amended. Each of the four territories (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) will receive a minimum allocation of 0.08 percent of the total funds allocated for grants under Section 2003 and 2004 of the Homeland Security Act of 2002, as amended. The remaining funds will be awarded based on risk. UASI Allocations: FY 2021 UASI funds will be allocated based on DHS/FEMAs risk methodology. Eligible candidates for the FY 2021 UASI program have been determined through an analysis of relative risk of terrorism faced by the 100 most populous MSAs in the United States, in accordance with the Homeland Security Act of 2002, as amended. Detailed information on MSAs is publicly available from the United States Census Bureau at <https://www.census.gov/programs-surveys/metro-micro.html>. OPSG Allocations: The FY 2021 OPSG Risk Assessment is designed to identify the risk to border security and to assist with the distribution of funds for the grant program. Funding under OPSG is distributed based on the risk to the security of the border. All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The Funding Selection Announcement Date was 07/16/2021. FY 2021 SHSP and UASI are non-competitive programs. Funds for FY 2021 OPSG and THSGP will be allocated competitively. Award selections were announced on 07/16/2021.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Period of Performance is 36 months. For more information, refer to the FY 2010 HSGP and THSGP Notices of Funding Opportunity (NOFO). Awards are subject to the Cash Management Act for payment and/or reimbursement of expenditures. The period of performance outlined above support the effort to expedite the outlay of grant funding and provide economic stimulus. Agencies should request waivers sparingly, and they will be granted only due to compelling legal, policy, or operational challenges. Method of awarding/releasing assistance: Based on project need. Method of awarding/releasing assistance: other

Reports:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions available within Section 6.1.1 of <http://www.dhs.gov/xlibrary/assets/cfo-financial-management-policy-manual.pdf>. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent. The final FFR is due 90 days after the end date of the performance period. FFRs must be filed electronically through PARS. Grant Close-Out Process. DHS/FEMA will close out the grant award when it determines that all applicable administrative actions and all required work of the HSGP award have been completed by the recipient. This section summarizes the actions that the recipient must take to complete the closeout process in accordance with 2 C.F.R. 200.343 at the end of the grants period of performance or the

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issuance of a Grant Amendment Notice issued to close out the grant. Within 90 days after the end of the period of performance, or after an amendment has been issued to close out a grant, whichever comes first, recipients must submit a final FFR and final progress report detailing all accomplishments and a qualitative summary of the impact of those accomplishments throughout the period of performance, as well as the following documentation: 1) Final request for payment, if applicable; 2) SF-425 Final Federal Financial Report; 3) SF-PPR Final Performance Progress Report; 4) A qualitative narrative summary on the impact of those accomplishments throughout the entire period of performance submitted to the respective Grant Programs Directorate (GPD) HQ Program Analyst in a Word document; 5) SF-428 Tangible Personal Property Report Inventory of all tangible personal property acquired using funds from this award. 6) Other documents required by program guidance or terms and conditions of the award. If applicable, an inventory of all construction projects that used funds from this program has to be reported using the Real Property Status Report (Standard Form SF 429) available at http://www.whitehouse.gov/sites/default/files/omb/grants/approved_forms/sf-429.pdf. Additionally, the recipient must liquidate all obligations incurred under the HSGP award no later than 90 calendar days after the end date of the period of performance or issuance of a Grant Amendment Notice that closes out the award, whichever comes first. Recipients who do not liquidate their obligations within this time period may have the costs of their unliquidated obligations disallowed. Recipients are also responsible for promptly returning to DHS/FEMA the balance of any funds that have been drawn down, but remain unliquidated. After these reports have been reviewed and approved by DHS/FEMA, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds the recipient has not drawn down that will be deobligated, and address requirements for record retention, and disposition and reporting requirements for any equipment or real property purchased using THSGP grant funding. In addition, any HSGP recipient that issues subawards to any subrecipient is responsible for closing out those subawards as described in 2 C.F.R. 200.343. HSGP recipients must ensure that they complete the closeout of their subawards in time to submit all necessary documentation and information to DHS/FEMA during the closeout of their own grant award. SHSP and UASI recipients are responsible for providing updated performance reports on a biannual basis as an attachment in ND Grants. The Program Performance Report (PPR) should include the following: A brief narrative of overall project(s) status A summary of project expenditures A description of any potential issues that may affect project completion. In addition to the quarterly financial and biannual performance progress reports, recipients are responsible for completing and submitting BSIRs through the Grants Reporting Tool (GRT). The BSIR is due within 30 days after the end of the reporting period. Updated obligations, expenditures, and significant developments must be provided within the BSIR to show the progress of implementation for every project as well as how expenditures support Planning, Organization, Equipment, Training, and Exercises (POETE). Recipients are also responsible for completing and submitting a closeout BSIR. When an awards POP or the liquidation period ends in the middle of a reporting period, a regular BSIR must be submitted with full accounting of actual project information/expenditures before a Closeout BSIR can be created/submitted. The last regular BSIR is required because the Closeout BSIR does not contain full functionality to edit any project information/expenditures. Once the last regular BSIR is approved by GPD, the Closeout BSIR can be created/submitted. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 120 days after the end date of the performance period. FFRs must be filed electronically through the Payment and Reporting System (PARS). Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. FEMA grant recipients are subject to audit oversight from multiple entities including the DHS OIG, the GAO, the pass-through entity, or independent auditing firms for single audits, and may cover activities and costs incurred under the award. Auditing agencies such as the DHS OIG, the GAO, and the pass-through entity, if applicable, and FEMA in its oversight capacity, must have access to records pertaining to the FEMA award. Recipients and subrecipients must retain award documents for at least three years from the date the final FFR is submitted, and longer in some cases, subject to the requirements of 2 C.F.R. 200.334. In the case of administrative closeout, documents must be retained for at least three years from the date of closeout, or longer, subject to 2 C.F.R. 200.334. If documents are retained longer than the required retention period, the DHS OIG, GAO, and pass-through entity, as well as FEMA in its oversight capacity, have the right to access these records as well. See 2 C.F.R. 200.334, 200.337. Additionally, non-federal entities must comply with the single audit requirements at 2 C.F.R. Part 200, Subpart F. Specifically, non-federal entities, other than for-profit subrecipients, that expend \$750,000 or more in federal awards during their fiscal year must have a single or program-specific audit conducted for that year in accordance with Subpart F. 2 C.F.R. 200.501. A single audit covers all federal funds expended during a fiscal year, not just FEMA funds. The cost of audit services may be allowable per 2 C.F.R. 200.425, but non-federal entities must select auditors in accordance with 2 C.F.R. 200.509, including following the proper procurement procedures. The objectives of single audits are to: Determine if financial statements conform to generally accepted accounting principles (GAAP); Determine whether the schedule of expenditures of federal awards is presented fairly; Understand, assess, and test the adequacy of internal controls for compliance with major programs; and Determine if the entity complied with applicable laws, regulations, and contracts or grants. For single audits, the auditee is required to prepare financial statements reflecting its financial position, a schedule of federal award expenditures, and a summary of the status of prior audit findings and questioned costs. The auditee also is required to follow up and take appropriate corrective actions on new and previously issued but not yet addressed audit findings. The auditee must prepare a corrective action plan to address the new audit findings. 2 C.F.R. 200.508, 200.510, 200.511. Non-federal entities must have an audit conducted, either single or program-specific, of their financial statements and federal expenditures annually or biennially pursuant to 2 C.F.R. 200.504. Non-federal entities must also follow the information submission requirements of 2 C.F.R. 200.512, including submitting the audit information to the Federal Audit Clearinghouse within the earlier of 30 calendar days after receipt of the auditors report(s) or nine months after the end of the audit period. The audit information to be submitted include the data collection form described at 2 C.F.R. 200.512(c) and Appendix X to 2 C.F.R. Part 200 as well as the reporting package described at 2 C.F.R. 200.512(b). The non-federal entity must retain one copy of the data collection form and one copy of the reporting package for three years from the date of submission to the Federal Audit Clearinghouse. 2 C.F.R. 200.512; see also 2 C.F.R. 200.517 (setting requirements for retention of documents by the auditor and access to audit records in the auditors possession). FEMA, the DHS OIG, the GAO, and the pass-through entity, if applicable, as part of monitoring or as part of an audit, may review a non-federal entities compliance with the single audit requirements. In cases of continued inability or unwillingness to have an audit conducted in compliance with 2 C.F.R. Part 200, Subpart F, FEMA and the pass-through entity, if applicable, are required to take appropriate remedial action under 2 C.F.R. 200.339 for noncompliance, pursuant to 2 C.F.R. 200.505.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final FFR is submitted. See 2 C.F.R. 200.334.

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Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0560-0-1-999.

Obligations:

Formula Grants FY 20 \$1,135,000,000.00; FY 21 est \$1,135,000,000.00; FY 22 est \$1,135,000,000.00;

Range and Average of Financial Assistance:

SHSP 4,602,500 to 70,639,800, average N/A, awards based on pre-determined allocations. UASI 3,800,000 to 178,750,000, average N/A, awards based on pre-determined allocations. OPSG \$90,000,000 total to be awarded, average N/A, awards subject to Secretary of Homeland Security approval. THSGP \$15,000,000 total to be awarded, FY 2020 range was \$54,964 to \$1,766,830, and the average award was \$576,923 .

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Funds were appropriated and allocated to this program, and will be awarded for projects that help states, urban areas, tribes, and localities near the border prevent, prepare for, protect against, and respond to acts of terrorism.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY 2021 Homeland Security Grant Program (HSGP) Notice of Funding Opportunity (NOFO)

Regional or Local Office:

See Regional Assistance Locations. FEMA Regions may also provide fiscal support, including pre- and post-award administration and technical assistance, to the grant programs included in this solicitation.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA),

500 C Street SW Washington, DC 20472,

Washington DC 20523

Email: askcsid@dhs.gov

Phone: 18003686498

Fax:

Website Address:

<http://www.FEMA.gov/government/grant/index.shtm>

RELATED PROGRAMS:

97.042 Emergency Management Performance Grants; 97.008 Urban Areas Security Initiative ;

EXAMPLES OF FUNDED PROJECTS:

2020 An example of a funded project under one of the programs National Priorities would be the installation of a security camera system at a high-risk asset to protect

a Soft Target/Crowded Place from acts of terrorism and other potential catastrophic events.

CRITERIA FOR SELECTING PROPOSALS:

FEMA will evaluate the FY 2021 HSGP applications for completeness, adherence to programmatic guidelines, and anticipated effectiveness of the proposed investments. FEMA's review will include verification that each IJ or project: Aligns with at least one core capability identified in the Goal; Demonstrates how investments support closing capability gaps or sustaining capabilities identified in the THIRA/SPR process; and Supports a NIMS-typed resource and whether those assets are deployable/shareable to support emergency or disaster operations per existing EMAC agreements. SHSP and UASI applicants must also submit at least one investment for each of the National Priority Areas that is 5% or 7.5% (depending on the Area) of their total award. In addition to the above, FEMA will evaluate whether proposed projects are: 1) both feasible and effective at reducing the risks for which the project was designed; and 2) able to be fully completed within the three-year period of performance (POP). FEMA will use the information provided in the application and after the submission of the first BSIR to determine the feasibility and effectiveness of a grant project. Funding under OPSG is distributed based on the risk to the security of the border. For the purposes of OPSG, risk is defined as the potential for an adverse outcome assessed as a function of threats, vulnerabilities, and consequences associated with an incident, event, or occurrence. Based upon ongoing intelligence analysis and extensive security reviews, DHS/CBP continues to focus the bulk of OPSG funds based upon risk analyses. The risk model used to allocate OPSG funds considers the potential risk that certain threats pose to border security and estimate the relative risk faced by a given area. In evaluating risk, DHS/CBP considers intelligence, situational awareness, criminal trends, and statistical data specific to each of the border sectors, and the potential impacts that these threats pose to the security of the border area. For vulnerability and consequence, DHS/CBP considers the expected impact and consequences of successful border events occurring in specific areas. Threat and vulnerability are evaluated based on specific operational data from DHS/CBP. Threat components present in each of the sectors are used to determine the overall threat score. These components are terrorism, criminal aliens, drug trafficking organizations, and alien smuggling organizations. THSGP applications will be reviewed to ensure that the applicant meets all eligibility requirements, and that the application is complete. Eligible and complete applications are then reviewed based on the elements of the Investment Justification: Overview, Baseline, Project Management and Milestones, and Accomplishments and Impacts. FEMA HQ Grants Management Specialists then conduct a financial review of the top scoring investments on allowability, allocability, and financial reasonableness of the proposed budget; and whether the applicant meets the financial and legal requirements listed in 2 C.F.R. Part 200.

97.070 Map Modernization Management Support

MMMS,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

The Flood Disaster Protection Act of 1973, as amended, , 42 USC Code 5121-5202. Housing and Urban Development Act of 1968 (also known as the National Flood Insurance Act of 1968), as amended, , 42 USC Code 4101. The National Flood Insurance Reform Act of 1994, , Public Law 103-325, 42 USC Code 4001.

OBJECTIVES:

To increase local involvement in, and ownership of, management of, the development and maintenance of flood hazard maps produced for the National Flood Insurance Program (NFIP).

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

The funds are authorized to supplement, not supplant (replace), ongoing flood hazard mapping management efforts by the local, regional, or State agency.

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Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; U.S. Territories and possessions (includes institutions of higher education and hospitals); All States and Commonwealths (including the District of Columbia and territories and possessions of the United States), regional agencies, and communities may apply. All applicants must be communities participating and in good standing in the NFIP or agencies which service participating NFIP communities.

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; Small Business Person; Land/Property Owner; State; Local; State; local; U.S. Territory & Possession; other public institution/organization; small business; engineer/architect; builder, contractor, developer; land/property owner; general public.

Credentials/Documentation:

Proposed Business Plans must be pre-approved by the FEMA regional office and all approved activities must ultimately contribute to the achievement of four overarching goals of the Map Modernization Program. Refer to program guidance document for further information. All applicants must be communities participating and in good standing in the NFIP or agencies which service participating NFIP communities. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

Other. None

Renewals:

Other. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. There are no matching requirements; however, Regional Directos shall determine individual levels of funding based upon the activities proposed and the level of commitment shown in the Business Plans, and how these activities will contribute toward the overall goals of map modernization. In addition applicants must be legislatively, administratively, and technically capable of providing proposed products or services. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance Refer to

program guidance Refer to program guidance Refer to program guidance

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-5464-0-2-453.

Obligations:

Salaries and Expenses FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

Refer to program guidance

TAFS Codes:

70-0000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As this program will not be funded in FY2010, annual program guidance is not available.

Regional or Local Office:

See Regional Assistance Locations. FEMA Regional Offices will manage all program activities under the MMMS initiative. See Appendix IV of the Catalog.

Headquarters Office:

Engineering Services Branch Chief Patrick "Rick" F. Sacbibit, P.E.,
400 C Street SW,
Washington DC 20523
Email: patrick.sacbibit@fema.dhs.gov
Phone: 1-800-621-3363

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

97.045 Cooperating Technical Partners;

EXAMPLES OF FUNDED PROJECTS:

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Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.075 Rail and Transit Security Grant Program

TSGP/IPR (AMTRAK),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, Section 1406, Public Law 110-53, 6 USC Code 1135.

OBJECTIVES:

The Fiscal Year (FY) 2021 Intercity Passenger Rail (IPR) Amtrak program, and the FY 2021 Transit Security Grant Program (TSGP), are two of four grant programs that constitute the Department of Homeland Security (DHS)/FEMAs focus on transportation infrastructure security activities. These grant programs are part of a comprehensive set of measures authorized by Congress and implemented by the Administration to help strengthen the Nations critical infrastructure against risks associated with potential terrorist attacks. IPR provides funds to Amtrak to protect critical surface transportation infrastructure and the traveling public from acts of terrorism, major disasters, and other emergencies. The TSGP provides funds to transit agencies to protect critical surface transportation infrastructure and the traveling public from acts of terrorism, major disasters, and other emergencies. Among the five basic homeland security missions noted in the DHS Quadrennial Homeland Security Review, the IPR and TSGP programs support the goal to Strengthen National Preparedness and Resilience. The 2018-2022 FEMA Strategic Plan creates a shared vision for the field of emergency management and sets an ambitious, yet achievable, path forward to unify and further professionalize emergency management across the country. IPR and TSGP support the goal of Ready the Nation for Catastrophic Disasters. Given the evolving threat landscape, it is incumbent upon DHS/FEMA to continuously evaluate the national risk profile and set priorities that help ensure appropriate allocation of scarce security dollars. In assessing the national risk profile for FY 2021, three priority areas emerge that are applicable to IPR and TSGP: 1) Enhancing the protection of soft targets/crowded places; 2) Enhancing cybersecurity; and 3) Addressing emergent threats, such as unmanned aerial systems (UAS). Likewise, there are several enduring security needs that crosscut the Transportation Sector and form a second tier of priorities that help ensure a comprehensive approach to securing the Nations transportation systems. These are: 1) effective planning; 2) training and awareness campaigns; 3) equipment and capital projects; and 4) exercises.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used in accordance with 2 C.F.R. Part 200. Grant funds may not be used for matching funds for other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and if they are included in the award agreement. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. In accordance with Homeland Security Presidential Directive (HSPD)-5, Management of Domestic Incidents, the adoption of NIMS is a requirement to receive Federal preparedness assistance, through grants, contracts, and other activities. Federal NIMS implementation must be considered prior to allocation of any Federal preparedness awards in FY 2021. Refer to the FY 2021 TSGP or IPR Notice of Funding Opportunity (NOFO) for more information

Applicant Eligibility:

Sponsored organization; Non-Government - General; Agencies eligible for the FY 2021 TSGP are determined based upon daily unlinked passenger trips (ridership) and transit systems that serve historically eligible Urban Area Security Initiative

(UASI) jurisdictions as indicated below. Certain ferry systems are eligible to participate in the FY 2021 TSGP and receive funds under this program. However, any ferry system electing to participate in and receive funds under the FY 2021 TSGP will not be eligible to participate under the FY 2021 Port Security Grant Program (PSGP) and will not be considered for funding under the FY 2021 PSGP. Likewise, any ferry system that participates in the FY 2020 PSGP will not be eligible for funding under the TSGP. Sections 1405 (6 U.S.C. 1134) and 1406 (6 U.S.C. 1135) of the Implementing Recommendations of the 9/11 Commission Act of 2007 require that high risk public transportation agencies that receive grant funding develop a security plan based on a security assessment. Additionally, the statutes direct that grant funds be used to address items in the security assessment or the security plan. To be eligible for the FY 2021 TSGP, transit agencies must have developed or updated their security plan. The security plan must be based on a security assessment, such as the Baseline Assessment for Security Enhancement (BASE), which is performed by the Transportation Security Inspectors-Surface Division of the Transportation Security Administration (TSA). This security assessment must have been conducted within the three years prior to receiving an FY 2021 TSGP award. A copy of the security plan and security assessment must be provided to DHS/FEMA upon request. Please see the Preparedness Grants Manual for more information on security plan requirements. Entities providing transit security (e.g., city/county police departments or the public transportation agencies own police departments) for a public transportation agency must approve the security plan. The signature of a responsible official from the agencies transit security provider serves as this approval. If there is more than one provider in the core service area, all transit security providers must review and concur with the plan. Associated documentation of this approval must be provided to DHS/FEMA upon request. In addition, agencies transit security providers are encouraged to review the Investment Justifications (IJs) prior to submission. Each public transportation agency receiving funds through this program must also participate in a Regional Transit Security Working Group (RTSWG) or develop a RTSWG if one does not already exist. The RTSWG should serve as the forum for regional partners to discuss risk, planning efforts, and mitigation strategies. These discussions should be held regardless of funding to continue enhancing the overall security of the region. Regional working groups are a best practice for enhancing security and are encouraged for all jurisdictions. The National Passenger Railroad Corporation (Amtrak) is the only entity eligible to apply for funding under FY 2021 IPR Program. For more information, refer to the FY 2021 TSGP and IPR Program Notice of Funding Opportunity (NOFO) National Incident Management System (NIMS) Implementation. In accordance with Homeland Security Presidential Directive (HSPD)-5, Management of Domestic Incidents, the adoption of NIMS is a requirement to receive Federal preparedness assistance, through grants, contracts, and other activities. Prior to allocation of any Federal preparedness awards in FY 2021, grantee must ensure compliance and/or alignment with the NIMS implementation plan. The list of objectives against which progress and achievement are assessed and reported can be found at <http://www.fema.gov/emergency/nims/ImplementationGuidanceStakeholders.shtml#item2>. The primary grantee/administrator of FY 2021 TSGP and IPR Program award funds is responsible for determining if sub-awardees have demonstrated sufficient progress in NIMS implementation to disburse awards.

Beneficiary Eligibility:

Anyone/general public; Sponsored organization; Specialized group; general public.

Credentials/Documentation:

Sections 1405 (6 U.S.C. 1134) and 1406 (6 U.S.C. 1135) of the Implementing Recommendations of the 9/11 Commission Act of 2007 require that high risk public transportation agencies that receive grant funding develop a security plan based on a security assessment. Additionally, the statute directs that grant funds be used to address items in the security assessment or the security plan. Similarly, to be eligible for the FY 2021 IPR, Amtrak must have developed, or updated, its security plan based on a security assessment. For both programs, the security assessment must have been conducted within the last three years prior to receiving an FY 2021 award. A copy of the security plan and security assessment must be provided to DHS/FEMA upon request. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental

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Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Please refer to the annual TSGP and IPR NOFOs for information on applying for assistance

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. EMA makes all funding opportunities available on the Internet at <http://www.grants.gov>. Application forms and instructions are available at [Grants.gov](http://www.grants.gov). The on-line application includes the following required form: Standard Form 424, Application for Federal Assistance Applying for FY 2021 TSGP and IPR Program funds require a two-step process. Step 1: initial submission to determine eligibility and Step 2: full application. Step 1 involves submitting a complete Standard Form 424 to www.grants.gov. The Standard Form 424 will be retrieved by ND Grants and the system will automatically populate the relevant data fields in the application. Successful completion of this step is necessary for FEMA to determine eligibility of the applicant. Once FEMA has determined an applicant to be eligible, applicants can proceed to Step 2 which involves submitting the full application package via the ND Grants system. The application must be completed and final submission made through the ND Grants system located at <https://portal.fema.gov>. Unless otherwise referenced, the ND Grants system includes the following required forms and submissions: Standard Form 424A, Budget Information (Non-construction) Standard Form 424B, Standard Assurances (Non-construction) Standard Form 424C, Budget Information (Construction) Standard Form 424D, Standard Assurances (Construction) Standard Form LLL, Disclosure of Lobbying Activities (if the grantee has engaged or intends to engage in lobbying activities) Grants.gov (GG) Lobbying Form, Certification Regarding Lobbying

Award Procedure:

All successful applicants for all DHS grant and cooperative agreements are required to comply with DHS Standard Administrative Terms and Conditions. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the grantee point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. FY 2021 applications were due 05/14/2021, and funding selections are anticipated to be announced on 07/16/2021.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Recipients must accept their awards no later than 60 days from the award date. Failure to accept the grant award within the 60 -day timeframe may result in a loss of funds. In accordance with the 9/11 Act, all funds awarded to Amtrak under the IPR are transferred to Department of Transportation Federal Railroad Administration (DOT/FRA) within five (5) business days of award for disbursement. Method of awarding/releasing assistance: other

Reports:

Recipients are responsible for providing updated performance reports on a biannual basis as an attachment in ND Grants. The PPR should include the following: A brief narrative of overall project(s) status A summary of project expenditures A description of any potential issues that may affect project completion. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 120 days after the end date of the performance period. FFRs must be filed electronically through PARS. TSGP and IPR Program awardees are responsible for providing updated performance progress (PPRs) reports using the SF-PPR (OMB Approval Number 0970-0334) on a semi-annual basis. The SF-PPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31). The SF-PPR can be accessed online at http://www.na.fs.fed.us/fap/SF-PPR_Cover%20Sheet.pdf. Federal Financial Report (FFR) required quarterly. Obligations and expenditures must be reported on a quarterly basis through the FFR (SF-425). A report must be submitted for every quarter of the period of performance, including partial calendar quarters, as well as for periods where no grant activity occurs. Future awards and fund draw downs may be withheld if these reports are delinquent. The final FFR is due 120 days after the end date of the performance period. FFRs must be filed electronically through PARS. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period

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depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0560-0-1-999.

Obligations:

Project Grants FY 20 \$98,000,000.00; FY 21 est \$98,000,000.00; FY 22 est \$98,000,000.00;

Range and Average of Financial Assistance:

For more information, refer to the FY 2021 TSGP and IPR Program Notice of Funding Opportunity (NOFO).

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Funds were appropriated and allocated to these programs, and are expected to be awarded to Amtrak and public transit systems to protect critical surface transportation infrastructure and the traveling public from acts of terrorism, major disasters, and other emergencies, and address the relevant National Priorities.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following 2 C.F.R. Part 200 requirements apply to this assistance listing for both TSGP and IPR: Subpart A, Acronyms and Definitions; Subpart B, General provisions; Subpart C, Pre-Federal Award Requirements and Contents of Federal Awards; Subpart D, Post Federal Award Requirements; Subpart F, Audit Requirements. For TSGP only, the following 2 C.F.R. Part 200 requirements apply to this assistance listing: Subpart E, Cost Principles For IPR only, the following 2 C.F.R. Part 200 requirements are excluded from coverage under this assistance listing: Subpart E, Cost Principles For IPR only, costs charged must be consistent with the Federal Acquisition Regulation (FAR) Part 31.2 in lieu of 2 C.F.R. Part 200, Subpart E.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency,
500 C Street SW,
Washington DC 20472
Email: askcsid@dhs.gov
Phone: 18003686498
Fax:

Website Address:

<http://www.fema.gov/government/grant/index.shtml>

RELATED PROGRAMS:

97.056 Port Security Grant Program ;

EXAMPLES OF FUNDED PROJECTS:

2021 Examples of funded IPR projects are found in the Notice of Funding Opportunity under Funding Priorities. Similarly, examples of funded projects for the TSGP can be found in the TSGP Notice of Funding Opportunity. The section includes examples relating to operational deterrence activities, cybersecurity risk assessments, physical security enhancements at critical transportation infrastructure, Chemical, Biological, Radiological, Nuclear, and Explosive (CBRNE) detection equipment, and more.

CRITERIA FOR SELECTING PROPOSALS:

he FY 2021 IPR applications will be evaluated through a review process for completeness, adherence to programmatic guidelines, and anticipated effectiveness of the proposed investments. Amtrak is the only entity eligible to submit an application for the IPR program. Investment justifications will be reviewed and selected based on the following criteria: 1. Alignment to funding priorities. 2. Ability to reduce risk of catastrophic events. 3. Sustainability without additional federal funds and leveraging of other funding. 4. Adherence to timelines. Grant projects must be both (1) feasible and effective at reducing the risks for which the

project was designed and (2) able to be fully completed within the 3-year period of performance. The FY 2021 TSGP applications will be scored based on four individual parts: an agency-based risk score, a score associated with an applications alignment to the funding priority areas, a score based on project risk mitigation, and the degree of regional collaboration.

97.076 CyberTipline

ICE/HSI,

FEDERAL AGENCY:

U.S. IMMIGRATION AND CUSTOMS ENFORCEMENT, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Title Department of Homeland Security Appropriations Act, 2021, Consolidated Appropriations Act 2021, Title II, Part Division F, Section U.S. Immigration and Customs Enforcement, Public Law 116-260., , Public Law -

OBJECTIVES:

This programs objectives are to promote public awareness of the CyberTipline, formerly known as the National Child Pornography Tip Line. The CyberTipline belongs to the National Center for Missing and Exploited Children and is a law enforcement priority. This funding opportunity is to support promotional global activities to educate law enforcement, the public, electronic service providers (ESPs) and other entities, of the benefits of using the CyberTipline to make reports of abduction, abuse and sexual exploitation of children and/or assist law enforcement globally in their investigations and/or recovery of those children.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Civil Defense/Disaster Prevention and Relief/Emergency Preparedness The CyberTipline award is designated for a non-profit with or without 501(3) IRS status other than institutions of higher education, for-profit organizations and small businesses which meet Congress objectives and programmatic criteria for promoting the CyberTipline for reporting the abduction, abuse, and sexual exploitation of children; and/or assisting law enforcement agencies in the investigation and recovery of those children. Refer to program guidance.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Non-profits with 501(3) IRS status, other than institution of higher education Non-profits without 501(3) IRS status, other than institution of higher education For-profit organizations other than small businesses Small Businesses

Beneficiary Eligibility:

Anyone/general public; General public.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

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Other: None

Renewals:

Other: An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Grantees must submit annual and final reports. Grantees must submit annual and final reports. Refer to program guidance. See program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three (3) years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0400-0-1-751.

Obligations:

Project Grants FY 20 \$305,000.00; FY 21 est \$305,000.00; FY 22 est \$305,000.00;

Range and Average of Financial Assistance:

Amount may vary.

TAFS Codes:

70-0400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In 2020, the number of Cyber Tipline reports increased by 28% over 2019 numbers. The reports from the public in 2020 more than doubled from 2019. Fiscal Year 2021: Continue to increase the number of Cyber Tipline reports and the number of ESPs that are making reports.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional information on program requirements and guidance, please contact the headquarters office at the address listed below.

Regional or Local Office:

None.

Headquarters Office:

DHS/ICE, Homeland Security Investigations Margie Jones,
11320 Random Hills Rd., Suite 400,
Fairfax VA 22030
Email: Margie.m.jones@ice.dhs.gov
Phone: (703) 293-9207

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

16.543 Missing Children's Assistance;

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application is reviewed by the DHS/HSI Cyber Crimes Center and subject matter experts. Application is evaluated according to the program objectives and goals established by Congress.

97.077 Evaluation and Demonstration of Technologies Related to Countering Weapons of Mass Destruction

Countering Weapons of Mass Destruction (CWMD),

FEDERAL AGENCY:

Countering Weapons of Mass Destruction, HOMELAND SECURITY,

DEPARTMENT OF

AUTHORIZATION:

Homeland Security Act of 2002, Title Homeland Security Act of 2002, 6 U.S.C. ss101, 592(a)(6), 596(amended 2018) as construed by Countering Weapons of Mass Destruction Act of 2019, P.L. 115-387, 132 Stat. 5162, 5166(b)(2)(2018).. Section 1906, Public Law 115-387, 6 USC Code 592.

OBJECTIVES:

Support the PPD-8 objective of prevention related to terrorist acts involving nuclear or radiological materials, or weapons using these materials. Support basic research to enhance national security's capability to detect and prevent the illicit entry, transport, assembly, or potential use within the United States of unauthorized chemical, biological, radiological, or nuclear (CBRN) materials, devices or agents and otherwise help protect against an attack using such materials, devices, or agents. The Academic Research Initiative (ARI) Program has two primary objectives: 1) Engage the academic community to advance fundamental knowledge for CBRN sciences applicable to countering Weapons of Mass Destruction (CWMD) with emphasis on fundamental research to solve long-term, high-risk challenges and 2) develop human capital for the CBRN science and engineering profession. Further, the program works to sustain a long-term commitment to basic research in this field and coordinates research efforts across the federal government to develop new capabilities to combat the threat of WMD threat detection.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance under the ARI may be used for the execution of research at accredited universities and colleges. Financial assistance under the research and development activities funded by CWMD outside of ARI may be used to sponsor research within industry and academia. Supported costs include: salaries, materials and supplies, equipment, travel, publication costs, subcontractor, supporting costs and overhead required for technical and other activities necessary to achieve research objectives. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals), Public nonprofit institution/organization (includes institutions of higher education and hospitals), Other public institution/organization Eligible Applicants: The ARI is limited to State, public or private accredited institutions of higher education. Research and development activities funded by CWMD outside of ARI support State, Public nonprofit institution/organizations; Private nonprofit institution/organizations; Small businesses; Profit organizations and Other private institution/organizations.

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization;

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Other public institution/organization; Federally Recognized Indian Tribal Governments; Scientist/Researchers; Education (13+); ARI: State, public or private accredited institutions of higher education; Scientists/Researcher; Graduate Student; Education (13+). Research and development activities funded by CWMD outside of ARI: Public Nonprofit Institution/Organization; Small Business; Profit Organization; Private Organization; Other Private Institution/organization; Scientists/Researcher; State, public or private accredited institutions of higher education. SETCP: 10-Federal; 14-State; 15-Local; 20-public non-profit; 21-other; 22-Federally recognized Indian Tribal Governments; 31- Individual/Family; 35-profit organization; 36-private non-profit organizations. SETCP: 10: Federal; 14 State; 15 Local; 20 public non-profit; 21 other; 22 Federally recognized Indian Tribal Governments; 31 Individual/Family; 35 profit organization; 36 private non-profit organizations.

Credentials/Documentation:

May be required by U.S. Statute, regulation, or as described in the program announcement. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Proposals are submitted in response to announcements. Details of proposal review and selection criteria are contained within these announcements. All proposals are reviewed and recommended for award by DHS program and administrative staff. Upon source selection, awards are issued by the DHS SETCP Applications are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. 180 to 270 days.

Appeals:

Not Applicable.

Renewals:

Other. Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to announcement and program guidance. For ARI, awards are made for annual budget periods, with a non-competing continuation application required for each budget period that comprises the awarded project duration. Funds awarded must be expended within each budget period. Funds for research and development activities funded by CWMD outside of ARI are awarded by research phase, with generally 3-5 phases and phase durations appropriate to the effort. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: Awards are paid in advance using the U.S. Department of Health and Human Services/Payment Management System (SMARTLINK), provided it maintains or demonstrates the willingness and ability to maintain procedures to minimize the time elapsing between the transfer of the funds from the DHS and expenditure disbursement by the Recipient. When these requirements are not met, the Recipient will be required to be on a reimbursement for costs incurred method. Method of awarding/releasing assistance: other

Reports:

For ARI, performance reports are required as specified in the terms and conditions of the award. For research and development activities funded by CWMD outside of ARI, reports may include, but are not limited to, the following: monthly performance reports, quarterly review reports, and end of phase reports. Refer to program guidance. For ARI, performance reports are required as specified in the terms and conditions of the award. "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each six months, with performance reports due 90 days after the expiration or termination of grant award. For research and development activities funded by CWMD outside of ARI, reports may include, but are not limited to, the following: monthly performance reports, quarterly review reports, and end of phase reports. For SETCP, progress reports are required. Refer to program guidance. For ARI, Federal Financial Reports are required as specified in the terms and conditions of the award. For SETCP, expenditure reports are required. Refer to program guidance. Yes. Performance may be evaluated through on-site reviews of the research activity.

Audits:

This program is excluded from coverage under 2 CFR 200, Subpart F - Audit Requirements. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$5,602,674.00; FY 21 est \$6,114,346.00; FY 22 est \$13,016,816.00;

Range and Average of Financial Assistance:

See announcement and program guidance.

TAFS Codes:

70-0860.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Issued a Notice of Funding Opportunity for new ARI activities leading to award of 7 Cooperative Agreements. Completed evaluations of the 11 on-going activities. Supported 48 students and produced 36 technical publications.DHS CWMD Academic Research Initiative (ARI) FY20 AccomplishmentsCarnegie Mellon University: Threat Detection at Checkpoints ModelingoThe team implemented a prototype system for characterizing passing conveyances using computer vision algorithms for detection and tracking of vehicles in video. An initial set of detection and classification results from traffic data collection was obtained. The team will continue efforts to develop new approaches to improve the effectiveness of radiation portal monitors for threat detection at checkpoints.University of Michigan: Fast Neutron Detectors for Active InterrogationoThe program is transitioning firmware to a board-ready version for hardware implementation. Neural network-based pile-up recovery was demonstrated successfully in an interrogation environment, and results were verified by simulation, activation analysis, and conventional detection methods. This work will lead to laboratory demonstrations of shielded SNM detection with neutron signals in a high-intensity photon interrogation environment.University of Tennessee: IDEAS for SNMoThe radiation hardness of LKH5 scintillating glass was characterized, and samples were coupled to commercially available silicon thin film transistor arrays. These arrays were shown to have better radiography performance than CdWO4.Yale University: Active Interrogation with Superheated Emulsions:oThe team has developed large, uniform-droplet superheated emulsion detectors with an optical readout to detect neutrons in an active interrogation environment. The next phase of development will involve laboratory testing of the detectors in a field-representative active interrogation scenario.University of California-Berkeley: Enhanced Search by Fusing Radiological and Non-Radiological SourcesoThe team continued integration of mobile radiation search

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system and object tracking approach into data fusion capability is underway. The team will complete algorithm integration into the data fusion system to support anomaly detection by end of FY21, providing improved capability for wide area nuclear search and monitoring. Preliminary analysis indicates contextual information can improve detection capabilities and provides critical attribution capabilities. Object tracking and data fusion are ongoing efforts. Lidar enhancements have improved contextual sensor data when synchronized. University of Tennessee: Methylammonium Lead Halide ScintillatorsoEfforts focused on optimization of the growth parameters to enhance the electronic properties of perovskites relevant to radiation sensing. Growth techniques included modifications of precursor ratios and concentrations, heating/cooling rates, and incorporation of substitutional elements such as lithium, cesium, and chlorine. The team made significant progress in optimizing growth processes for different HOIP variants. Incorporation of different anions and cations to improve performance, to include the addition of lithium for thermal neutron sensing was made. Funding also supported a new graduate level course, NE 597/697 Topics of Semiconductor Detectors, which was taught by the PI during this period. University of Utah: Machine Learning of Nuclear Forensic DataoDemonstrated that new image classification technique resulted in higher predictive average value. This supports ongoing work in evaluating the feasibility of using machine learning for image analysis of forensics samples, to reduce the data processing time through automated assessment of sample images and identifying crucial trends in large data sets. A PhD student on this project received an Innovations in Nuclear Technology R&D Award from the Department of Energys Office of Nuclear Technology R&D. Southern Methodist University: Radiation Background Characterization for Anomaly DetectionoMeasurements on the Aviation Pathway continued with an additional 38 flight segments on passenger and 65 on cargo aircraft completed. Passenger flights utilized passengers carrying Kromek DS3 detectors in airplane mode while cargo flights utilized FEDEX shipments. Results showed that altitude is the dominant effect on background at airline and cargo altitudes, with geomagnetic latitude as the second most important effect (greater effect at lower latitudes). The team also demonstrated the ability to predict count rate given altitude and geomagnetic latitude. George Mason University: Surveying Ingress Pathways for Energetic Radiation BackgroundoThe team continued work to combine advanced radiation detector systems and platforms with robust mobile ad-hoc network connectivity and advanced machine learning algorithms to quantify background signatures and enhance real-time anomaly detection. During FY20, the testbed was expanded to include at least one mobile platform to determine impact on anomaly detection. The team determined the threshold for anomaly detection for machine learning algorithm for a fixed network and fixed source. Finally, the team developed a background radiation simulation module for planning tool; and down selected to best II-VI and oxide-based p-and n-thin film semiconductor to be used in p-i-n photodiode structure, and evaluated a silicon diode / scintillator element using ⁶⁰Co source and standard nuclear counting electronics. Carnegie Mellon University: Robust Interpretable Anomaly Detection for CWMDoThis project examines multiple approaches for anomaly detection in radiation measurements, scoring that describes which anomalies are of most importance, combining disparate data sources such as manifest with radiation portal monitor, and explainability of the output of machine learning. Each of these subjects was advanced in FY20. oThe Spectral Anomaly Detection algorithm was improved by requiring structured deviations across multiple observations. This improved anomaly detection while retaining low false positive rates. oAutomatic scoring of anomalies was developed with consideration of the Enhanced Radiological Nuclear Inspection and Evaluation (ERNIE) application used on radiation portal monitors. Scoring was developed that focuses on threat anomalies rather than the many other anomalies that may be generated from benign variations. University of Tennessee: Multimodal Data Fusion for Anomaly DetectionoAnomaly detection code was run on a virtual single board computer to assess the ultimate resource requirements for field deployment. oEntered final version of Autoencoder Radiation Anomaly Detector (ARAD) into secure code repository at UTK. oThe PhD student involved in ARAD development graduated in FY20 and moved to a team at Oak Ridge National Laboratory. In the intervening months, he has become an essential part of a technology evaluation team at ORNL working on a CWMD Advanced Technology Demonstration for wearable radiation detectors. Exploratory Research (ER) ProgramThe ER Program specifically focused on innovative, high risk, early-stage applied research expected to have transformational impact, and when conducted with a clear and well supported technical approach, would provide new capabilities to help counter the threat of nuclear terrorism. Research under this

Program culminated in a Proof-of-Concept (PoC) demonstration, to support transitioning to an advanced technology demonstration program or supporting direct commercialization of the technology. Final Exploratory Research project (Carnegie Mellon University), completed 8/31/2020oThe team completed feasibility evaluation into development of an algorithmic framework for aggregating data from multiple sensing modalities on vehicle platforms to reliably monitor, detect, localize, track, and characterize radiological/nuclear threats in real time in cluttered scenes such as urban traffic scenarios. Fiscal Year 2021: Issue a Notice of Funding Opportunity for new ARI activities leading to award of up to 11 Cooperative Agreements. Complete evaluations on the on-going activities. Fiscal Year 2022: Issue a Notice of Funding Opportunity for new ARI activities leading to award of a TBD number of Cooperative agreements. Complete evaluations on all on-going activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures. Additional regulations are indicated in program announcement and award terms and conditions.

Regional or Local Office:

None.

Headquarters Office:

Principal Deputy Assistant Director Anthony Esposito , Program Manager, Research & Development, 245 Murray Lane, SW, CWMD Stop 0315, Washington DC 205280550
Email: anthony.esposito@hq.dhs.gov
Phone: 2023941763
Fax:

Website Address:

<http://www.dhs.gov/countering-weapons-mass-destruction-office>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to announcement and program guidance. In general, ARI proposals are reviewed for intellectual merit, transformational impact, and broader impact. Proposals for research and development activities funded by CWMD outside of ARI are reviewed for strategic impact, technical approach, capability and experience, and management approach.

97.082 Earthquake Consortium

Earthquake Consortium and State Support (ECSS).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Title Earthquake Hazards Reduction Act of 1977, Part 95-124.

OBJECTIVES:

The National Earthquake Hazards Reduction Program (NEHRP) is the Federal Governments coordinated approach to addressing earthquake risks. In support of NEHRP, the Federal Emergency Management Agency (FEMA) administers the Earthquake State Assistance grant program which is designed to increase and enhance the effective implementation of earthquake risk reduction activities at the national, regional, state and local level, by making funding available through annual, non-competitive and competitive grants. NEHRP implements the Department of Homeland Security (DHS) and Presidential Policy Directive (PPD -

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8) objective of hazard mitigation, to develop and maintain those capabilities necessary to reduce the loss of lives and property by lessening the impact of earthquakes. In an effort to provide eligible States and Territories with multiple funding and project management options, as well as to allow for multi-state coordination of projects, the Earthquake State Assistance grant program utilizes two separate funding opportunities: Individual State Earthquake Assistance (ISEA), and Multi-State and National Earthquake Assistance (MSNEA). The Individual State Earthquake Assistance funding opportunity provides funding directly to those States and Territories that have been determined to be at a high, or a very high risk of earthquakes, and who can provide the statutory 25 percent cost-share. They must also be able to demonstrate that the assistance will result in enhanced seismic safety in the State. Funding is provided to eligible States and Territories through non-competitive grants that are administered by FEMA Regional earthquake program management staff. Allowable activities include: Support of seismic mitigation planning, developing inventories and conducting seismic safety inspections of critical structures and lifeline infrastructure, updating building codes, zoning codes, and ordinances to enhance seismic safety, increasing earthquake awareness and education, participation in emergency management exercises that substantially benefit earthquake mitigation efforts, and the promotion of earthquake insurance. Additionally, the Multi-State and National Earthquake Assistance funding opportunity is designed to facilitate the development and management of National, regional and multi-state earthquake risk reductions activities. Funding is made available through competitive grants to nonprofit organizations, as defined by 2 C.F.R. 200.70 and institutions of higher education as defined by 2 C.F.R. 200.55. The grants are administered by FEMA Headquarters earthquake program management staff.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

For costs associated with providing guidance, technology transfer, and assistance to States, for earthquake hazard mitigation activities. The Individual State Earthquake Assistance NOFO is a non-competitive grant, and restricted to certain specialized/qualified organizations designated by FEMA. Funding may only be used for the purpose set forth in the grant and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other federal grants / cooperative agreements, or for lobbying or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. All financial and progress reports must be current at the time of application. Refer to the Notice of Funding Opportunity. Pre-award costs are not allowed.

Applicant Eligibility:

Other public institution/organization; The purpose of this funding is to support the earthquake mitigation efforts of States and Territories with High to Very High seismic risk as determined by the DHS, FEMA Program Office, non-profit organizations as defined by 2 C.F.R. 200.70 and institutions of higher education as defined by 2 C.F.R. 200.55. These efforts include 1) delivering and increasing awareness and education; 2) developing policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities from earthquakes.

Beneficiary Eligibility:

U.S. Territories; Anyone/general public; State; Local; Federally Recognized Indian Tribal Governments; Eligible States and Territories with High to Very High seismic risks as determined by the DHS, FEMA Program Office, and eligible non-profit organizations and institutions of higher education.

Credentials/Documentation:

Eligible States and Territories with High to Very High seismic risks as determined by the Program Office, non-profit organizations as defined by 2 C.F.R. 200.70, and institutions of higher education as defined by 2 C.F.R. 200.55. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Preapplication coordination is required. This program is excluded from coverage under Executive Order (EO) 12372, Intergovernmental Review of Federal Programs, dated July 14, 1982 FEMA has prepared a Record of Environmental Consideration for both

funding opportunities that documents EHP compliance for the range of activities eligible for funding under this program pursuant to FEMAs Instructions on Implementation of the Environmental Planning and Historic Preservation Responsibilities and Program requirements, FEMA Instruction 108-1-1, dated October 10, 2018.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Refer to official Notice of Funding Opportunity on Grants.gov.

Award Procedure:

Refer to official Notices of Funding Opportunity on Grants.gov.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to official Notice of Funding Opportunity on Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Up to 12 months for both Individual State Earthquake Assistance, and Multi-State and National Earthquake Assistance. Extensions to the period of performance will be considered only through formal requests to FEMA and will only be granted for specific and compelling reasons. All extension requests must be submitted to FEMA at least sixty (90) days prior to the expiration of the grant period of performance. Any unobligated funds will be de-obligated at the end of the 90-day close-out period. For more information on Extensions and how assistance is awarded/released, refer to the official Notices of Funding Opportunity on grants.gov. Method of awarding/releasing assistance: other

Reports:

Refer to official Notice of Funding Opportunity on Grants.gov. Refer to official Notice of Funding Opportunity on Grants.gov. Refer to official Notice of Funding Opportunity on Grants.gov. Refer to official Notice of Funding Opportunity on Grants.gov. Refer to official Notice of Funding Opportunity on Grants.gov.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Preapplication coordination is required. This program is excluded from coverage under Executive Order (EO) 12372, Intergovernmental Review of Federal Programs, dated July 14, 1982 FEMA has prepared a Record of Environmental Consideration for both funding opportunities that documents EHP compliance for the range of activities eligible for funding under this program pursuant to FEMAs Instructions on Implementation of the Environmental Planning and Historic Preservation Responsibilities and Program requirements, FEMA Instruction 108-1-1, dated October 10, 2018.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before

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the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0711-0-1-453.

Obligations:

Cooperative Agreements FY 20 \$3,378,278.00; FY 21 est \$3,639,000.00; FY 22 est \$3,500,000.00; Project Grants FY 20 \$1,900,000.00; FY 21 est \$1,900,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Refer to the official Notice of Funding Opportunity on Grants.gov by year for actual target allocations by program by eligible applicant.

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Support of Seismic Mitigation Planning; Developing inventories and seismic safety inspections of critical structures and lifeline infrastructure; Updating building codes, zoning codes, and ordinances to enhance seismic safety; Activities that increase earthquake awareness and education; Participating in emergency management exercises that substantially benefit earthquake mitigation efforts; Promotion of earthquake insurance; Monitoring the disaster-resistant provisions of the Nations model building codes; Support for the NETAP; Support for the development of multi-state groups to support the goals of NEHRP.

REGULATIONS, GUIDELINES, AND LITERATURE:

Authorizing Authority for the Program. Public Law 115-307, National Earthquake Hazards Reduction Program Reauthorization Act of 2018. The specific authority to implement the Individual State Earthquake Assistance funding opportunity through the use of Grants comes from 42 U.S. Code 7704(a)(2)(B) and (b) (2) (a) (iv) National Earthquake Hazards Reduction Program (NEHRP). Appropriation Authority for Program: The Department of Homeland Security Appropriations Act, 2021(Public Law No. 116-260), Title III, FEMA, OPERATIONS AND SUPPORT, paragraph 1

Regional or Local Office:

None. Jos A. Lebron One World Trade Center, 52 Floor Mail Room New York, New York 10007 Email: jose.lebron@fema.dhs.gov Office: (202) 805-7712
Region IV (, Kentucky, Mississippi, , South Carolina, Tennessee)Noriko Kibble 3003 Chamblee-Tucker Road Atlanta, Georgia 30341Email: noriko.kibble@fema.dhs.gov Office: 770-220-8870 Region V (Illinois, Indiana,) Daniel Ryan 536 South Clark Street Chicago, IL 60605 Email: daniel.p.ryan@fema.dhs.gov Office: (312) 408-4432 Region VI (Arkansas, , Oklahoma,) Bart Moore Federal Regional Center 800 North Loop 288 Denton, TX 76209 Email: bart.moore@fema.dhs.gov Office: (940) 898-5363 Region VII (Missouri) Cheikh Koma 11224 Holmes Road Kansas City, MO 64131 Email: Cheikh.koma@fema.dhs.gov Office: (816) 283-7067 Region VIII (, Montana, Utah, Wyoming) Sean McGowan Denver Federal Center, Building 710A Denver, Colorado 80225 Email: sean.mcgowan@fema.dhs.gov Office: 303-235-4681 Region IX (, Arizona, California, Guam, Hawaii, Nevada, Northern Mariana Islands) Anne Rosinski 1111 Broadway Oakland, California 94607 Email: anne.rosinski@fema.dhs.gov Office: (510) 627-7172 Region X (, Idaho, Oregon, Washington) Amanda Siok 130 228th Street, SW Bothell, Washington 98021 Email: amanda.siok@fema.dhs.gov Office: (425) 487-4626

Headquarters Office:

Federal Insurance and Mitigation Administration (FIMA), FEMA G. David Javier, 400 C Street, SW, Washington DC 20009

Email: Gabriele.Javier@fema.dhs.gov

Phone: (202) 646-4037

Federal Insurance and Mitigation Administration (FIMA), FEMA Jonathan Foster, 400 C Street SW, Washington DC 20009

Email: Jonathan.Foster@fema.dhs.gov

Phone: (202) 212-7852

Fax:

Website Address:

<https://www.fema.gov/emergency-managers/risk-management/earthquake>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Administration of the National Earthquake Technical Assistance Program (NETAP); Annual earthquake preparedness drills for individuals and communities; Business community earthquake risk awareness and mitigation activities; Hosting the annual National Earthquake Program Manager meeting (NEPM); and Multi-State assistance with emergency management exercises, policy development, and outreach activities.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.083 Staffing for Adequate Fire and Emergency Response (SAFER)

(SAFER ACT),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Section 34 of the Federal Fire Prevention and Control Act of 1974, , Public Law 93-498, 15 USC Code 2229 et seq.

OBJECTIVES:

The goal of the SAFER Grant Program is to assist local fire departments with staffing and deployment capabilities in order to respond to emergencies, and assure that communities have adequate protection from fire and fire-related hazards.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Not all fire departments will be eligible to apply. Training, equipment, overtime, and administrative expenses are not eligible under the Hiring of Firefighters Grant activity. Individuals seeking personal assistance are not eligible. This program is intended to assist fire departments and recognized organizations as identified in the Notice of Funding Opportunity, and only those fire departments and organizations as specified in the Notice of Funding Opportunity are eligible. Funds may be used to recruit and hire firefighters, but cannot be used to retain or supplant (replace) the applicants funds budgeted for that purpose. Recipients must maintain pre-existing staffing levels and retain grant funded personnel throughout the period of performance. This program is restricted to the jurisdictions/organizations described in the Notice of Funding Opportunity. For specific information, refer to the Notice of Funding Opportunity. In summary, for the purpose of this program, "State" is defined as the 50 states, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Alaska Village Initiative, a nonprofit organization incorporated in the State of Alaska, shall also be considered eligible for purposes of receiving assistance under this program on behalf of Alaska Native villages. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression

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on a first-due basis to a population within a fixed geographical area. Fire departments may be comprised of members who are all volunteer, combination volunteer/career, or all career.

Applicant Eligibility:

Government - General; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Other public institution/organization; Specialized group (e.g. health professionals, students, veterans); This program is restricted to the jurisdictions/organizations described in program guidance documents. In summary, for the purpose of this program, "State" is defined as the fifty States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Alaska Village Initiative, a nonprofit organization incorporated in the State of Alaska, shall also be considered eligible for purposes of receiving assistance under this program on behalf of Alaska Native villages. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression on a first-due basis to a population within a fixed geographical area. Fire departments may be comprised of members who are all volunteer, combination volunteer/career, or all career.

Beneficiary Eligibility:

Industrialist/ Business person; Homeowner; Land/Property Owner; American Indian; Local; Local or tribal communities serviced by the fire department including, local businesses, homeowners and property owners.

Credentials/Documentation:

Applicant must certify that they are an eligible applicant, i.e., a fire department, as described in program guidance documents. Refer to Notice of Funding Opportunity document for specific information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. SAFER application materials are available through the Assistance to Firefighters Grant Programs FEMA GO (FEMA Grants Outcomes) application portal, at <https://go.fema.gov>. All applicants for this award must: 1. Be registered and active in System for Award Management (SAM) in order to apply; 2. Provide a valid DUNS number in its application; and 3. Continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by DHS FEMA. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications or plans are peer reviewed and subsequently also reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to Notice of Funding Opportunity document.

Appeals:

Other. Applicants that receive an adverse decision or denial, can request reconsideration of the decision. Request for reconsideration must be submitted in writing, to the address contained on the program's website within 30 days of the

notification of denial by the administering program office.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching requirements are not applicable to this assistance listing. This program has MOE requirements, see funding agency for further details. Additional Information: See applicable NOFO for more information..

Length and Time Phasing of Assistance:

The hiring grants have a three year performance period. The recruitment and retention grants are made in one-year increments up to a possible four-year period of performance. Refer to the Notice of Funding Opportunity for further details. Method of awarding/releasing assistance: other

Reports:

Refer to Notice of Funding Opportunity document. Refer to Notice of Funding Opportunity document. Quarterly progress reports. Quarterly Financial Status Reports. Refer to Notice of Funding Opportunity document

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R.

200.333(f). Regulations, Guidelines, and Literature Section 34 of the Federal Fire Prevention and Control Act of 1974, Public Law 93-498, 15 U.S.C. 2229a

Account Identification:

70-0561-0-1-999.

Obligations:

Project Grants FY 20 \$355,000,000.00; FY 21 est \$360,000,000.00; FY 22 est \$370,000,000.00;

ASSISTANCE LISTINGS DESCRIPTIONS

Range and Average of Financial Assistance:

Refer to Notice of Funding Opportunity document.

TAFS Codes:

70-0561.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Thanks to a SAFER grant, a local fire department was able to use the extra work force made available from SAFER funding to quickly contain a fire at a high rise structure as well as as well as rescue five people from the flames.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 34 of the Federal Fire Prevention and Control Act of 1974, Public Law 93-498, 15 U.S.C. 2229a

Regional or Local Office:

See Regional Assistance Locations. SAFER grants are directed from the FEMA Headquarters Office. There are 10 regional offices in FEMA who provide monitoring and oversight of FEMA grantees.

Headquarters Office:

Grant Programs Directorate, Assistance to Firefighters Grant Department of Homeland Security/Federal Emergency Management Agency, 500 C Street, SW Washington, DC 20742,

Washington DC 20742

Email: firegrants@fema.dhs.gov

Phone: (866) 274-0960

Website Address:

<http://www.fema.gov/firegrants>

RELATED PROGRAMS:

97.018 National Fire Academy Training Assistance; 15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.043 State Fire Training Systems Grants;

EXAMPLES OF FUNDED PROJECTS:

2020 Under the Hiring of Firefighters Activity, recipients hire new, additional firefighters to improve staffing levels or changing the status of part-time or paid-on-call firefighters to full-time firefighters. Under the Recruitment and Retention of Volunteer Firefighters Activity, grants assist fire departments with the recruitment and retention of volunteer firefighters who are involved with or trained in the operations of firefighting and emergency response.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Funding Opportunity Announcement for information on criteria for selecting proposals.

97.088 Disaster Assistance Projects

(Earmarked Projects or Limited Scope Disaster Projects. Restricted to entities designated by DHS or congressional statute.).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Public Health Bioterrorism and Public Health Emergencies Act of 2002, , Public Law 107-188, Robert T. Stafford Disaster Relief and Emergency Assistance Act, , Public Law 93-288

OBJECTIVES:

This category is not for unsolicited proposals. Provides funding that has been designated by Congressionally appropriated authorization, generally for a specified Project, or to provide unique or limited scope funding for certain disaster assistance Projects identified by congress or a DHS program office. Program funds support the National Preparedness Goal of enhancing core capabilities in the areas of disaster response and recovery. One such disaster project is the Disaster Case Management Program, which is a disaster-related federal award. DCM supports the Recovery core capability and mission area as defined in the National Preparedness Goal. It accomplishes this by assisting individuals and households through the

recovery process with finding resources to meet their disaster caused unmet needs. A disaster caused unmet need is any un-resourced item, support, or assistance that has been assessed and verified as necessary for a survivor to recover from disaster. This may include food, clothing, shelter, first aid, emotional and spiritual care, household items, temporary housing, home repair, or rebuilding.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funding is restricted to nonfederal entities providing case management services to individuals and families impacted by a Presidentially declared major disaster with Individual Assistance authorized. See IAPPG 1.1., at p. 188. The need for DCM must exceed the capacity of the SLTT government.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State (includes the fifty states, the District of Columbia, and the U.S. Territories), Local government (includes State-designated Indian Tribes), Public or private nonprofit institution/organization (includes institutions of higher education and hospitals), Public or private profit institution/organization, and Federally Recognized Indian Tribal Governments. Funds are restricted to nonfederal entities, e.g. state, local government, private, public, profit or nonprofit organization, Indian Tribal government.

Beneficiary Eligibility:

Individual/Family; Profit organization; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family, Public or private profit institution/organization, Public or private nonprofit institution/organization, State, Local government, Federally Recognized Indian Tribal government.

Credentials/Documentation:

Per section 426 of the Stafford Act, 42 U.S.C. 5189d, the President may provide case management services, including financial assistance, to state or local government agencies or qualified private organizations to provide such services, to victims of major disasters to identify and address unmet needs. Criteria are also set forth by the Disaster Recovery Reform Act of 2018. 2 C.F.R. Part 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. The applicant is notified of funding availability through letter or electronic mail communication. The applicant will be advised on how to apply for the funding through this notification.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF-424, budget, program or project narrative and assurances) or plan must be submitted that outlines the implementation of the project and expenditure of funds pursuant to section 426 of the Stafford Act, 42 U.S.C. 5189d and the Individual Assistance Program and Policy Guide 1.1 .

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant and award processed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range of approval time depends on the type of project to be funded.

ASSISTANCE LISTINGS DESCRIPTIONS

Appeals:

Other. None.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Funding amounts and match requirements are specified in the program guidance document. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to the Individual Assistance Program and Policy Guide 1.1 at <https://www.fema.gov/assistance/individual/program-policy-guide> or the terms of the award. Method of awarding/releasing assistance: Refer to program guidance. Method of awarding/releasing assistance: other

Reports:

Financial and program progress reports must be submitted in compliance with 2 CFR 200, program policy, and articles of agreement within each individual notice of award. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Refer to program policy at <https://www.fema.gov/media-library/assets/documents/177489> or the terms of the award. Refer to program policy at <https://www.fema.gov/media-library/assets/documents/177489> or the terms of the award. Refer to program policy at <https://www.fema.gov/media-library/assets/documents/177489> or the terms of the award. Refer to program policy at <https://www.fema.gov/media-library/assets/documents/177489> or the terms of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency no later than 9 months after the end of the grantees fiscal year.

Records:

Regarding DCM, financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the

record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0702-0-1-760.

Obligations:

Project Grants FY 20 \$50,492,544.00; FY 21 est \$30,000,000.00; FY 22 est \$19,000,000.00;

Range and Average of Financial Assistance:

Each "earmark" or project funding is designated by the appropriation statute or identified by the program office.

TAFS Codes:

70-0702.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Program provided disaster case managers, to find resources and address disaster-caused unmet needs of disaster survivors.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants regulations at 2 CFR Part 200 and FEMA policy including the Individual Assistance Program and Policy Guide 1.1.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Community Services Section/Individual Assistance Division/Recovery Directorate, 500 C St., SW.,

Washington DC 20472

Email: FEMA-HQ-CSS@dhs.gov

Phone: 202.646.2500

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Following a Presidential major disaster declaration designated for Individual Assistance, a state, tribe, territory, or qualified private organization may apply for a disaster case management (DCM) award. Under a DCM program, survivors work collaboratively with a single point of contact, a disaster case manager, to find resources and address disaster-caused unmet needs.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.089 Driver's License Security Grant Program

Driver's License Security Grant Program,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Homeland Security Act of 2002 Homeland Security Act of 2002 USC Code Pub. L. No. 107-296..

OBJECTIVES:

This program provides funding to prevent terrorism, reduce fraud and improve the reliability and accuracy of personal identification documents that states and territories issue. The program is intended to address a key recommendation of the 9/11 Commission to improve the integrity and security of State-issued drivers licenses (DL) and identification cards (IC).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Restricted to State Drivers License Agencies (DLA), also known as State

ASSISTANCE LISTINGS DESCRIPTIONS

Departments of Motor Vehicles (DMV) or Motor Vehicle Administrations (MVA) that are responsible for issuing driver's licenses. Other eligible applicants include State Public Safety Agencies or Departments, which may apply on behalf of the State DMV/MVA. Funds may be used in accordance with uses defined in the program guidance document/application kit. Allowable funding categories include: management and administration, planning, equipment, and maintenance and sustainment.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); U.S. Territories and possessions (includes institutions of higher education and hospitals); The issuing authority for motor vehicle licenses in each State or territory, as identified by the DHS, is the only agency eligible to apply for the Driver's License Security Grant Program.

Beneficiary Eligibility:

U.S. Territories; Small business; Interstate; Intrastate; State; Local; Other public institution/organization; State, U.S. Territory and local governments, intrastate, interstate, small business, general public, other public institution/organizations.

Credentials/Documentation:

If required, will be identified in the program guidance/solicitation. The issuing authority for motor vehicle licenses in each State or territory, as identified by the DHS, is the only agency eligible to apply for the Driver's License Security Grant Program. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

There are no current award procedures for this program as there is no funding available for award.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Other. None.

Renewals:

Other. None.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance for DLSGP grants is 36 months. See the following for information on how assistance is awarded/released: Payment based on project need. Method of awarding/releasing assistance: other

Reports:

Progress Reports are due semi-annually within 30 days after the end of the reporting periods. Reports are due: July 30 and January 30. The Final Progress Report is due 90 days after the expiration date of the award period. These reports

should consist of a brief narrative discussing achievements to date, and progress towards annual goals, and any issues which may need to be addressed. Required Financial Status Reports (SF 269A) are due quarterly after the end of the reporting period. Reports are due: April 30, July 30, October 30, and January 30. The Final Financial Report is due 90 days after the expiration date of the award period. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency no later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-0560-0-1-999.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Will be identified in the solicitation/program guidance.

TAFS Codes:

70-0561.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: See implementation of the Real ID Act at <https://www.dhs.gov/real-id>

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

ASSISTANCE LISTINGS DESCRIPTIONS

None.

Headquarters Office:

Department of Homeland Security, FEMA Department of Homeland Security/Federal Emergency Management Agency,
500 C Street, SW Washington, DC 20472,
Washington DC 20472-3615

Email: askscid@dhs.gov
Phone: (1-800) 368-6498

Website Address:

<http://www.fema.gov/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Activities related to reducing the issuance and use of fraudulent drivers licenses and identification cards. Activities related to reducing the costs of program implementation for individuals, States, and the Federal Government.

CRITERIA FOR SELECTING PROPOSALS:

There are no current application procedures for this program as there is no funding available for award.

97.091 Homeland Security Biowatch Program

FEDERAL AGENCY:

Countering Weapons of Mass Destruction, HOMELAND SECURITY,
DEPARTMENT OF

AUTHORIZATION:

Title Homeland Security Appropriations Act 2021, Section Div. F, Public Law 116-260

OBJECTIVES:

The BioWatch program is a federally managed, locally operated early warning system that is designed to detect the intentional release of select aerosolized biological agents. The BioWatch programs mission is to deploy, sustain, and maintain a national 24x7x365 operational ability to detect, and respond to a bioterrorist event in metropolitan areas across the country. The DHS Countering Weapons of Mass Destruction (CWMD) administers the BioWatch program and is currently engaged in advanced development, test, evaluation and operations to improve sampling technologies, collection procedures, sample analysis, and develop and implement a next generation biodetection system. The Biowatch program and PPD-8 share the following elements. First, in accordance with the National Preparedness Goal, the Biowatch program provides a comprehensive strategy for countering biological terrorism. Next, following the National Preparedness System and the BioWatch Program is a federally managed, locally operated early warning operational capability designed to enhance national preparedness. The program provides an early warning system that detects the release of a select biological agent that may pose a danger to the public health in select jurisdictions. Biowatch, in accordance with the National Planning Frameworks and Federal Interagency Operational Plans, acts as an early warning system which enhances the security of jurisdictions by providing the needed time to execute their comprehensive concept of operations plans to counter biological terrorism. In addition, the BioWatch program provides advice and guidance to jurisdictions to develop biological preparedness programs that properly address the needed mitigation plans that reduces the negative impacts to a biological attack. The Biowatch program is a critical part of an ongoing national effort to build and sustain preparedness which helps the United States maintain momentum through targeted jurisdictional planning that highlights preventative actions necessary to allow for a proper and timely response and begin the process to recovery from a biological agent release. To provide funding and/or property for the conduct of operations and other related activities intended to identify, counter, or respond to biological and chemical threats.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Generally, State and local governments or as specified by U.S. Appropriation Statute. Specific applicant eligibility will be identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State; Local; State and local governments.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. An application (SF-424, Budget, program or project narrative and assurances) must be submitted that outlines the implementation of the project and expenditure of funds in response to the project identified through the announcement.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant, and award processed.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range of approval depends on the type of project to be funded.

Appeals:

Not Applicable.

Renewals:

Other. Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Specified in the funding opportunity announcement. Method of awarding/releasing assistance: other

Reports:

Requirements identified in the terms and conditions of the award. Financial reports submission are identified in the Terms and Conditions of the award. Program and progress reports must be submitted and are identified in the Terms and Conditions of the award. Financial reports submission are identified in the Terms and Conditions of the award. Requirements are identified in the Terms and Conditions of the award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements,

ASSISTANCE LISTINGS DESCRIPTIONS

nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Financial records, supporting documentation and all other records to validate the performance of the grant or cooperative agreement must be retained for 3 years from the date the final financial status report is submitted to the DHS.

Account Identification:

70-0800-0-1-999.

Obligations:

Salaries and Expenses FY 20 \$27,264,140.00; FY 21 est \$30,210,336.00; FY 22 est \$30,663,491.00;

Range and Average of Financial Assistance:

Specified in the announcement.

TAFS Codes:

70-0800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Fiscal year 2020: BioWatch through their Federal, State, and local partners successfully collected and tested more than 225,000 samples for the presence of biological agents in 32 major metropolitan cities (jurisdictions). Additionally, the BioWatch Program supported the following:* 27 local special events* 5 BioWatch National Conference Calls (BWNCC) * 1 BAR notification (Chicago) * 4 Drills* 63 BioWatch local exercises * Local notification drills: 44 * Local BAC exercises (primary local call): 14 * Local environmental sampling exercises: 5

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

DHS, Office of Health Affairs Daniel Yereb,
245 Murray Lane, SW, Mail Stop 0115,
Washington DC 20528
Email: Daniel.Yereb@hq.dhs.gov
Phone: 202-306-7190
Fax:

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Earmark or as identified in the funding opportunity announcement or program guidance.

97.092 Repetitive Flood Claims

RFC,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

The RFC program is authorized by Section 1323 of the National Flood Insurance

Act (NFIA), , 42 USC Code 4030, which was repealed by Pub. L. 112-141, div. F, title II, 100225(b) (July 6, 2012).

OBJECTIVES:

To assist States, Indian tribal governments, and communities to reduce or eliminate the long-term risk of flood damage to structures insured under the National Flood Insurance Program (NFIP) that have had one or more claims for flood damages through mitigation activities that are in the best interest of the National Flood Insurance Fund (NFIF). This grant program supports the Mitigate component of the Presidential Preparedness Directive 8 (PPD-8) in the following ways: by providing funding to states and communities that promotes Long-Term Vulnerability Reduction through various mitigation measures including elevating at-risk homes or removing structures from flood hazard areas. Overall, this program also supports Community Resilience by reducing the need for emergency services in flood-prone areas of the community.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

States, Indian tribal governments, local governments and communities may apply for RFC project grants for activities that reduce or eliminate the long - term risk of flood damage to structures, insured under the National Flood Insurance Program (NFIP), that have had one or more claims for flood damages. This may include the acquisition of insured structures for the purpose of converting flood-prone land to permanent open space use; elevation of existing structures; dry floodproofing; and minor localized flood reduction projects.

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Entities eligible to apply for RFC grants include the emergency management agency (EMA) or a similar office of the 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and Federally recognized Indian Tribal governments. Each State, Territory, Commonwealth, or Federally recognized Indian Tribal government shall designate one agency to serve as the Applicant for each HMA program. Please see the HMA program guidance for more detailed information.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Native American Organizations; Homeowner; Land/Property Owner; State; Local; Federally Recognized Indian Tribal Governments; State agencies (other than the EMA), Indian Tribal governments (non-federally recognized) , and local governments and communities are eligible to apply as subapplicants for assistance under the RFC program. All interested subapplicants must apply to their State/Tribe/Territory Applicant. Subapplicants (and Applicants) may submit applications on behalf of homeowners and property owners. Please see the HMA program guidance for detailed information.

Credentials/Documentation:

Please see the HMA Program Guidance. 2 CFR Part 200 , Subpart E - Cost Principles applies to this program for awards made on or after December 26, 2014, the date the Department of Homeland Security adopted these regulations. 2 CFR 200, Subpart E - Cost Principles applies to this program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. Depending on the project type, a Record of Environmental Consideration will be required before funding the activity. The environmental review may result in an Environmental Assessment or additional analysis. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

ASSISTANCE LISTINGS DESCRIPTIONS

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicable to the program for awards made on or after December 26, 2014. Contact the headquarters or regional office, as appropriate, for application deadlines.

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to HMA program guidance document.

Appeals:

Not Applicable. Refer to HMA program guidance document.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Refer to HMA program guidance for additional information. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: Refer to HMA program guidance. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-4236-0-3-453.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

Refer to Hazard Mitigation Assistance (HMA) program guidance.

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Hazard Mitigation Assistance Unified grant program guidance, 2 CFR Part 200 (for awards made on or after December 26, 2014), the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, which is available to the public on the FEMA internet site.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for a listing of addresses of FEMA's Regional Offices.

<http://www.fema.gov/government/mitigation.shtm> Kayed Lakhia, Director Hazard Mitigation Assistance Division 400 C St., SW, Washington, DC 20472 kayed.lakhia@fema.dhs.gov 2026463458

Headquarters Office:

Director Hazard Mitigation Assistance Division Kayed Lakhia - Director Hazard Mitigation Assistance Division, 400 C St., SW Washington, DC 20472, Washington DC 20472

Email: kayed.lakhia@fema.dhs.gov
Phone: 2026463458

Fax:

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

97.039 Hazard Mitigation Grant; 97.110 Severe Loss Repetitive Program; 97.047 Pre-Disaster Mitigation; 97.029 Flood Mitigation Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 Residential structure demolition and property acquisition, structure elevation, structure reconstruction to or exceeding community floodplain elevation standards, and structure relocation outside of the floodplain.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance for information on criteria for selecting proposals.

97.106 Securing the Cities Program

(STC),

FEDERAL AGENCY:

Countering Weapons of Mass Destruction, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

6 USC Code 591-596. Homeland Security Act of 2002, Title Countering Weapons of Mass Destruction Act of 2018, , Public Law 115-387, Title Homeland Security Act of 2002, as amended (6 U.S.C. Subsection 596b)., Section 1928

OBJECTIVES:

The DHS Secretary established the STC Program, managed through the Assistant Secretary for Countering Weapons of Mass Destruction, to enhance the ability of the United States to detect and prevent terrorist attacks and other high-consequence events utilizing nuclear or other radiological materials that pose risk to homeland security in high-risk urban areas. Support to recipients includes assistance in developing and integrating local or regional programs into a national detection structure, guiding the development of Concepts of Operations (CONOPs) and standard operating procedures, providing radiological/nuclear (R/N) detection equipment, and providing training and exercise products to ensure detection is integrated into day-to-day activities and that partners are proficient in the detection mission area. As such, the STC Program has the following objectives: Objective 1: Assist State, local, territorial and tribal governments in developing local radiological/nuclear detection architectures resulting in awareness, training, technical support, exercises, and capability development. Objective 2: Provide a common information sharing environment. Establish information connectivity among domestically deployed detection systems and Federal, State, local, tribal, territorial, and regional data analysis centers, to include connectivity for technical reachback and adjudication support. Objective 3: Use a regional approach to coordinate activities and resources for all participating agencies. Establish administrative infrastructure to support a nuclear detection program. Objective 4: Establish coordination mechanisms between operational partners that support the Domestic Detection Concept of Operations * and have concurrence from all principal STC partners. * DHS CWMD worked with the Federal Bureau of Investigation and the Department of Energy to create the Domestic Detection CONOPS to facilitate an effective "whole of government" response among mission partners to detect and communicate about potential or actual threats involving R/N materials within the United States. DHS will provide the Domestic Detection CONOPS to grant recipients as a basis for planning their regional CONOPS.

TYPES OF ASSISTANCE:

Cooperative Agreements, Dissemination of Technical Information, Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

DHS grant or cooperative agreement funds may only be used for the purpose set forth in the agreement and must be consistent with the statutory authority for the award. Grant or cooperative agreement funds and non-monetary support must be used to supplement and not supplant other funds (cost-sharing or match) dedicated to the agreement. Financial and non-financial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Grant or cooperative agreement funds may not be used for lobbying, intervention in Federal regulatory or adjudicatory proceedings, or to sue the Federal government or any other government entity.

Restrictions, if any, will be identified in the specific announcement, and award provisions.

Applicant Eligibility:

Interstate; Intrastate; Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance, or as specified by U.S. Appropriation Statute. This includes State, and local governments, Interstate or Intrastate governmental organizations, and Indian Tribal Governments.

Beneficiary Eligibility:

Interstate; Intrastate; State; Local; State, and local governments, Interstate or intrastate governmental organizations, and Indian tribal governments.

Credentials/Documentation:

Consistent with the Homeland Security Act of 2002 ("HSA") (Pub. L. 107-296), as amended by section 2(a)(10) of the Countering Weapons of Mass Destruction Act of 2018 (Pub. L. 115-387), codified at 6 U.S.C. 596b., subsection 1928(c), the STC eligibility requirement is based on Urban Area Security Initiative eligibility. Specific information of applicant eligibility is identified in the funding opportunity announcement and program guidance, or as specified by U.S. Appropriation Statute. This may include State, and local governments, interstate or intrastate governmental organizations, and Indian tribal governments; however, excludes institutions of higher education and hospitals. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Preapplication coordination is not applicable. However, the Lead Agency is responsible for serving as the focal point for coordination between STC partners. The applicant should describe how activities under the STC Program will complement, but not duplicate, activities under other U.S. government-funded programs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. By submitting an application, applicants agree to comply with the requirements of the funding opportunity and the terms and conditions of the award, should they receive an award.

Award Procedure:

Applications from eligible applicants will be reviewed by the STC Program Office and the DHS Grants and Financial Assistance Division (GFAD) personnel to ensure that the application contains all the required information and that the project aligns with the priorities of the STC Program. If additional information is required, the appropriate DHS point of contact will contact the Lead Agency to coordinate the required documentation. DHS may withhold making an award or make an award with restrictions until the required documentation is received.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The deadline for submission of application may range from 14- 90 days following the NOFO posting. All applications must be received by 23:59:59 Eastern time on the due date established for each funding opportunity.

Appeals:

Not Applicable. None

Renewals:

Other. An awardee must demonstrate significant operational or procurement issues to be considered for an extension. Extensions will be considered on a case by case basis and are contingent upon future Congressional funding. DHS has no obligation to provide additional resources/funding as a result of an extension. Extensions to the Performance Period may be awarded, but are not guaranteed and might not include increased funding. Extension approvals will be based on the availability of

ASSISTANCE LISTINGS DESCRIPTIONS

funds within the award and acceptable performance and at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The length and time phasing of assistance varies with each award. The award package includes instructions on administering the grant award, as well as the associated terms and conditions. Recipients must accept all conditions of an award, as well as any special terms and conditions in the Notice of Award to receive an award under this program. Refer to program guidance or the specific notice of funding opportunity (NOFO) for the method of awarding/releasing assistance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

See Program Guidance. See Program Guidance. See Program Guidance. See Program Guidance. See Program Guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0411-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$29,033,466.00; FY 21 est \$25,150,000.00; and FY 22 est \$27,040,000.00;

Range and Average of Financial Assistance:

See Program Guidance.

TAFS Codes:

70-0411.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Continue Phase III (Previous years' STC and local funding) activities in the NYC/Newark, Los Angeles/Long Beach, National Capital Region, and Houston STC implementation sites. Complete Phase II activities in the Chicago STC implementation site. Begin Phase I activities with six new FY20 STC Regions (Atlanta, Miami, Phoenix, Seattle, San Francisco, and Denver). Begin Phase I activities with six new FY20 STC Regions (Atlanta, Denver, Miami, Phoenix, San Francisco, and Seattle). Fiscal Year 2021: STC has initiated Phase I activities in Boston, New Orleans, and Phoenix. It is anticipated STC will begin Phase II activities in the other five new implementation sites (Atlanta, Miami, Seattle, San Francisco, and Denver). Continue Phase III activities in the Chicago implementation site. Begin Phase IV activities in NYC/Newark, Los Angeles/Long Beach, National Capital Region, and Houston STC implementation sites. Begin Phase IV activities in NYC/Newark, Los Angeles/Long Beach, National Capital Region, and Houston STC implementation sites.

REGULATIONS, GUIDELINES, AND LITERATURE:

>Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, 44 CFR 13. >OMB Circular A-87, Cost Principles for State, Local, and Tribal Governments, Relocated to 2 CFR Part 225; >OMB

Circular A-110, relocated to 2 CFR Part 215. Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. >OMB Circular A-122, relocated to 2 CFR Part 230. Cost Principles for Nonprofit Organizations. >OMB Circular A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Program Management Specialist DNDO Christopher Magrino, DHS STC Pgrm. Mgr.,

245 Murray Lane, Mail stop 0550,

Washington DC 20528

Email: Christopher.Magrino@hq.dhs.gov

Phone: 202-254-7443

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for eligible applicants are unique to each award. Refer to the program guidance and specific NOFO for information on criteria for selecting proposals.

97.107 National Incident Management System (NIMS)

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Homeland Security Act of 2002, , Public Law 107-296, The Post-Katrina Emergency Management Reform Act of 2006, Section 661 (a), Public Law 109-295, 6 USC Code 761 (a).

OBJECTIVES:

Our nation faces a wide range of threats and hazards. As FEMAs 2018-2022 Strategic Plan states (under Strategic Objective 2.3: Posture FEMA and the Whole Community to Provide Life-Saving and Life-Sustaining Commodities, Equipment, and Personnel from all Available Sources), No one agency or organization is able to store enough equipment, supplies, and commodities, or marshal enough internal teams to quickly and fully meet all the needs of a catastrophic incident. These devastating incidents necessitate the collective assets and contributions of many supporting organizations aligned through a coordinated structure. Communities can address these challenges by using a systematic approach that builds on proven preparedness activities. The National Incident Management System (NIMS) provides stakeholders across the whole community with the shared vocabulary, systems, and processes to deliver the capabilities described in the National Preparedness System (NPS). The implementation of NIMS directly supports The DHS Strategic Plan Fiscal Years 2020-2024, Goal 5: Strengthen Preparedness and Resilience, Objective 5.1: Build a National Culture of Preparedness and Objective 5.2: Respond During Incidents The NPS the instrument the nation uses to build, sustain, and deliver core capabilities to achieve a secure and resilient nation. Complex and far-reaching threats and hazards require a collaborative, whole-community approach to national preparedness that engages individuals, families, communities, the private and nonprofit sectors, faith-based organizations, and all levels of government. The guidance, programs, processes, and systems that support each component of the NPS promote integrated preparedness efforts that build, sustain, and deliver core capabilities and achieve the National Preparedness Goals desired outcomes. DHS and FEMA focus on building stronger capabilities across jurisdictions at all levels and with the whole community.

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funding will support implementation of the National Incident Management System (NIMS) which includes incident management standards, related projects, and program support.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance. www.grants.gov

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; Local; Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; State, local, Public Nonprofit Institution/Organization, Federal Recognized Indian Tribal Government, U.S. Territory/Possession, Private Organization, Other Public Institution/Organization.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidance www.grants.gov

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications or plans are reviewed and approved for award by DHS program and administrative staff in accordance with program guidance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

Other. Refer to program guidance.

Renewals:

Other. Annual.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The Period of Performance for this assistance is 12 months and extensions to the period of performance are not allowable. Federal funds made available through this award are only used for the purpose set forth in this award and must be consistent with the statutory authority for the award. Award funds may not be used for matching funds for any other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Funds will be disbursed monthly upon receipt and processing of invoices. FEMA uses Pay and Reporting System (PARS) for financial reporting, invoice processing, and tracking payments. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit quarterly financial and performance reports.

Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 120 days after the expiration or termination of grant award. See program guidance. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 120 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 31, April 30, July 31, and Oct. 31. Final financial and performance reports are due 120 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 120 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of at least 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award. For certain types of records, the record retention period starts at a different point. See 2 C.F.R. 200.334 for more information.

Account Identification:

70-0700-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$2,000,000.00; FY 21 est \$2,000,000.00; FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Refer to program guidance www.grants.gov

TAFS Codes:

70-0700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Development, enhancement, and maintenance of EMACs information management systems for the availability, request, dispatch, use, tracking, and return of resources in all states during all-hazard incidents requiring mutual aid. The system development will solve several barriers to interoperability that hinders expedient deployment of resources. Maintenance and implementation of a formalized sub-grant program in coordination with FEMA.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program guidance.

Regional or Local Office:

None.

Headquarters Office:

National Integration Center, Federal Emergency Management Agency,
400 C Street S.W., 7NW-1405,
Washington DC 20742-3620

ASSISTANCE LISTINGS DESCRIPTIONS

Email: FEMA-NIMS@fema.dhs.gov

Phone: 2023845008

Fax:

Website Address:

<https://www.fema.gov/national-incident-management-system>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 Cooperative agreements in support of the Emergency Management Assistance Compact (EMAC).

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance.

97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies

FEDERAL AGENCY:

SCIENCE AND TECHNOLOGY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Homeland Security Act of 2002, , Public Law 107-296, 6 USC Code 308(b)(1)(c). Implementing Recommendation of the 9/11 Commission Act of 2007, Section 1901, Public Law 110-53, Science and Technology Management and Administration, , Public Law 113-76

OBJECTIVES:

To provide funding and/or property for the purpose of conducting research, development, testing, evaluation, and demonstration of national preparedness and homeland security technologies intended to identify, counter, or respond to terrorist threats. The DHS S&T First Responders Group (FRG) utilizes grants and cooperative agreements to enhance safety in the first responder community by supporting the research, acquisition of knowledge, and the development of technology, materials, processes, methods, devices, or techniques to support advancement in equipment or technologies. The purpose of these grants and cooperative agreements is to garner high quality, advanced concepts research and development efforts related to important scientific problems and capability gaps identified by the first responder community that could lead to significant public benefits. DHS S&T-FRG-IAS and FEMA-ICPD are seeking to address trauma training for the high school-age communities under this NOFO. School-Age Trauma Training (SATT - final name of training to be proposed by grantee and approved by FEMA) is intended to establish a long-term, self-sustaining mechanism (e.g. no further Federal funding beyond Phase III), to deliver free to the public, lifesaving trauma training to high school age students for mass casualty events. The government expects the grantee to design, develop and implement a training capability based upon the Phase tasks and to sustain the offerings beyond the Phase III funding resource provided by the government. Uncontrolled bleeding is the number one cause of preventable death from trauma. This initiative is designed to enhance a bystanders ability to take decisive, lifesaving action to assist victims with traumatic injuries. The training is intended to provide the knowledge necessary to stabilize the injured and until first responders arrive on the scene. The effort is aimed at a broad youth-based approach through public/private schools, not-for-profit organizations, faith-based and other civic communities of interest. This high school centric training initiative is designed to enhance a bystanders ability to take decisive, lifesaving action to assist victims with traumatic injuries. The training is intended to provide the knowledge necessary to stabilize the injured and control severe bleeding until first responders arrive on the scene. The training provides research-validated guidance to stop uncontrolled bleeding from traumatic injuries using materials readily found at an incident or worn by the victim and citizen responders. The primary target audience is high school age students. The design, development, delivery and operational maintenance of the SATT initiative is intended to be a self-sustaining, not-for-profit-based, public/private partnership to advance life saving techniques into high schools and other age appropriate youth-based communities of interest. Wildland firefighters are exposed to a variation of respiratory hazards to include carbon monoxide (CO), acrolein,

polynuclear aromatic hydrocarbons (PAH), aldehydes and ketones, and a wide variety of wood pyrolysis and combustion products. The traditional self-contained breathing apparatus (SCBA) used by structural firefighters is too heavy and not designed for use for extremely long periods. Most often, wildland firefighters limit their respiratory protection to a wet bandana or nothing at all. S&T has embarked upon the development of a respirator device specifically for use by the wildland firefighter. The Wildland Respiratory Protective Device (WRPD) will be easy to wear and provide the wildland firefighter protection against particulates and chemical vapor hazards defined in NFPA 1984: Standard on Respirators for Wildland Fire Fighting Operations, 2016 Edition. The First Responder Resource Group (FRRG) identified a capability gap for hand protection in helicopter hoist rescue operations. The normal rescue gloves (typically Fire Department or Rope Rescue based solutions) used by helicopter rescue crews to guide the rescue hoist cable are quickly worn and need to be replaced often. There is a requirement for hand protection with increased durability, comfort and longevity that can be utilized by rescue helicopter personnel. A rescue helicopter hoist operator uses one hand to guide the hoist cable during rescue descents and ascents. A significant amount of force perpendicular to the moving cable is sometimes required to mitigate swing and cable oscillation. The friction created by this operation rapidly wears out the material covering the web, palm, and inside fingers of the guide hand. A glove with a very strong abrasion protection surface to guide the hoist cable is required. However, the glove must have enough flexibility for the rescue helicopter personnel to perform other required duties and the solution cannot negatively impact the responders range of motion. In addition, as the glove wears out from use, it cannot shed material onto the cables being used in the rescue efforts. Any glove material that produces bits and pieces while it wears is undesirable because it works its way between the strands of the hoist cable and shortens the life of the cable dramatically.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Funding is used for labor and materials in support of basic efforts supporting the purpose of this grant.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Individual/Family; Profit organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); States, local governments, private, public, profit or nonprofit organizations, Indian Tribal governments, or individuals specified by U.S. Appropriation Statute, including U. S. and international institutions of higher education and educational laboratories.

Beneficiary Eligibility:

Federal; Individual/Family; Profit organization; Private nonprofit institution/organization; Native American Organizations; State; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Federal, State, and local governments, private, public, profit or nonprofit organizations, Indian tribal governments, and individuals.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application

ASSISTANCE LISTINGS DESCRIPTIONS

deadlines.

Range of Approval/Disapproval Time:

Other. Refer to program guidance document.

Appeals:

Other. None

Renewals:

Other. None

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. The PPE projects from the First Responder Group (FRG) have the requirement for performers to submit Monthly Reports to the FRG Program Manager. This is followed up with a monthly teleconference between performer personnel and FRG Program staff to discuss the status of the project and any potential issues or concerns. Critical Design Reviews, and Final Reports are also required. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

Project Grants FY 20 \$4,214,319.00; FY 21 est \$0.00; and FY 22 est \$0.00; Cooperative Agreements FY 20 \$2,350,000.00; FY 21 est \$1,100,129.00; and FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The Phase 2 activities for the cooperative agreement "Vehicle Warning for Early Inspection (VIEW), achieved numerous accomplishments including: 1) Biweekly calls between Phase 2 awardees and DHS components to discuss work plan activities, functional and operational requirements. 2) Development and demonstration of prototype capabilities of under-carriage scanning of vehicles. Demonstrations provided via video files and detailed briefings - all during COVID pandemic. 3) One grantee selected to continue research and development addressing Phase 3. The FAST (SATT) promotional video was delivered to NCDMPH in support of the FAST curriculum. Link: <https://www.dhs.gov/medialibrary/assets/videos/23360> Drafted content outline for FAST curriculum(s), both instructor-led and self-taught. Drafted materials for FAST curriculum. Drafted and released mobile application. Drafted learning and delivery

aids Preliminary design modification of the Wildland Respirator Protective Device (WRPD) from an air purifying respirator (APR) to a powered air purifying respirator (PAPR). Provided select members of the First Responders Resource Group (FRRG) a prototype version of the WRPD in preparation for the virtual Critical Design Review (CDR). Facilitated a virtual CDR with wildland firefighters to obtain Voice of the Customer feedback on the WRPD design. Modified design of the WRPD to include both a chest-mounted and a hip-mounted unit based on feedback received during the CDR. Initial glove design presented to first responders responsible for rescue hoist operations during the Preliminary Design Review (PDR). Feedback received resulted in modification of the initial prototype design and inclusion of a wear indicator to alert first responders to the need for glove replacement. Fiscal Year 2021: Phase 3 grantee on track to deliver operational prototypes that are improved in several areas from current technology, including 3D viewing of vehicle undercarriage. The FAST course will be released for the 2021/2022 school year and offered at no charge to high school students under the age of 19. Develop and release enhanced content for SATT curriculum(s), both instructor-led and self-taught. Estimate instructor count to triple by the end of calendar year. FAST curriculum to be released on 8/19/2021 through the Red Cross Learning Management System (LMS). Final operational capability for an on-line presence that serves as an outreach, awareness and central access point to view, access training content as well as to purchase or donate financial self-sustainability resources to the SATT initiative. Finalize Designs and Perform the Design Failure Mode Engineering Analysis on the two Wildland Respirator Protective Device (WRPD) designs. Construct 20 of each WRPD version using injection molded, glass-filled housings. Perform the necessary tests to vet the pre-production prototypes operability. Demonstrate the WRPDs commercial viability to potential manufacturers. Begin the necessary certification process with NIOSH and the NFPA. Work with NUSTL and other matrix partners to host an in-person operational field assessment. NUSTL facilitated an operational field assessment of the enhanced hoist rescue glove at the United States Coast Guard Facility in Elizabeth City, NC. Project concluded in FY21 with multiple prototype gloves being provided to first responders via a Cooperative Research and Development Agreement (CRADA) to assess glove performance and provide feedback to the Project Performer. HDMI began the process of identifying manufacturers for transitioning the glove to the commercial market.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 200

Regional or Local Office:

None.

Headquarters Office:

First Responders Group; S&T Directorate Jeffrey Booth,
245 Murray Lane, SW,
Washington DC 20548
Email: Jeffre.Booth@hq.dhs.gov
Phone: 202-254-6347
Fax: Ronald Langhelm/Kimberly Jones-Holt,
1120 Vermont Ave. NW,
d DC 20004
Email: Ronald.Langhelm@hq.dhs.gov
Phone: /202-254-8968

Fax:

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.110 Severe Repetitive Loss Program

(SRL).

ASSISTANCE LISTINGS DESCRIPTIONS

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

The Severe Repetitive Loss (SRL) program was authorized by Section 1361A of the NFIA, Public Law 108-264, , Public Law -, 42 USC Code 4102a, which was repealed by Pub. L. 112-141, Div. F. title II, 100225(c). July 6, 2012.

OBJECTIVES:

To assist States, tribal governments and local governments in supporting actions that reduce or eliminate the long-term risk of flood damage to residential properties insured under the National Flood Insurance Program (NFIP) that meet the definition of severe repetitive loss property, and to reduce losses to the National Flood Insurance Fund (NFIF) by funding projects that result in the greatest savings to the NFIF in the shortest time period. This grant program supports the Mitigate component of the Presidential Preparedness Directive 8 (PPD-8) in the following ways: by providing funding to states and communities that promotes Long-Term Vulnerability Reduction through various mitigation measures including by elevating at-risk homes or removing structures from flood hazard areas. Overall, this program also supports Community Resilience by reducing the need for emergency services in flood-prone areas of the community.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

States, Territories, local and Federally - recognized Indian tribal governments may apply for SRL funding to reduce or eliminate the long-term risk of flood damage to residential properties insured under the NFIP that meet severe repetitive loss property eligibility criteria. Eligible activities under this program include: acquisition and relocation of at-risk structures and the permanent conversion of property to open space; elevation of existing structures to the Base Flood Elevation (BFE) or an Advisory Base Flood Elevation (ABFE) or higher (for the SRL program Mitigation Reconstruction is only permitted when traditional elevation cannot be implemented); minor physical localized flood control projects; and dry-flood proofing (historic properties only).

Applicant Eligibility:

Government - General; State (includes District of Columbia, public institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; U.S. Territories and possessions (includes institutions of higher education and hospitals); Entities eligible to apply for SRL grants include the emergency management agency (EMA) or a similar office of the 50 States, the District of Columbia, American Samoa, Guam, the U.S. Virgin Islands, Puerto Rico, the Northern Mariana Islands, and Federally recognized Indian Tribal governments. Each State, Territory, Commonwealth, or Federally recognized Indian Tribal government shall designate one agency to serve as the Applicant for each FMA program. Please see the HMA program guidance for more detailed information.

Beneficiary Eligibility:

U.S. Territories; Individual/Family; Native American Organizations; Homeowner; Land/Property Owner; State; Local; Federally Recognized Indian Tribal Governments; State agencies, (other than EMA) Indian Tribal governments (non-federally recognized), and local governments and communities are eligible to apply as subapplicants for assistance under the SRL program. All interested subapplicants must apply to their Applicant State/tribe/territory. Subapplicants (and Applicants) may submit applications on behalf of homeowners and property owners. Please see the HMA program guidance for detailed information.

Credentials/Documentation:

44 CFR Part 79; Please see the HMA Program Guidance . 2 CFR Part 200, Subpart E - Cost Principles applies to this program for RFC awards made on or after December 26, 2014, when the Department of Homeland Security adopted 2 CFR Part 200 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State

has selected the program for review. Preapplication coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. It is for awards made on or after December 26, 2014, the date the Department of Homeland Security adopted 2 CFR Part 200.

Award Procedure:

Applications are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to HMA program guidance document.

Appeals:

Other. Property owners who refuse an SRL mitigation offer may become subject to increases of their NFIP insurance premium rates, and have the right to appeal such rate increases. Refer to HMA program guidance or the administering program office for further information.

Renewals:

Other. Refer to HMA program guidance document.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart .Public Law .Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Refer to HMA program guidance for additional information.MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to HMA program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include a report on the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include a report on the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial and performance reports. Quarterly Performance Reports must include a report on the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information. Recipients are required to submit quarterly financial and performance reports. Quarterly

ASSISTANCE LISTINGS DESCRIPTIONS

Performance Reports must include a report on the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Please refer to HMA program guidance for more information.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

70-4236-0-3-453.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 est \$0.00;

Range and Average of Financial Assistance:

Refer to Hazard Mitigation Assistance (HMA) program guidance document.

TAFS Codes:

70-4236.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The SRL and FMA Program Regulations at 44 CFR Part 79; the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80. 2 CFR Part 200, as applicable, and the HMA program guidance.

Regional or Local Office:

See Regional Assistance Locations. See Appendix IV of the Catalog for listing of addresses of the DHS FEMA Regional Offices.

Headquarters Office:

Kayed Lakhia - Director, Hazard Mitigation, Federal Insurance and Mitigation

Administration,
400 C St., SW Washington, DC 20472,
Washington DC 20472
Email: kayed.lakhia@fema.dhs.gov
Phone: (202)646-3458
Fax: (202) 646-2880

Website Address:

<http://www.fema.gov/government/mitigation.shtm>

RELATED PROGRAMS:

97.039 Hazard Mitigation Grant; 97.092 Repetitive Flood Claims; 97.047 Pre-Disaster Mitigation; 97.029 Flood Mitigation Assistance;

EXAMPLES OF FUNDED PROJECTS:

2020 Residential structure demolition and property acquisition, structure elevation, structure reconstruction to or exceeding community floodplain elevation standards, and structure relocation outside of the floodplain.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the HMA program guidance for information on criteria for selecting proposals.

97.111 Regional Catastrophic Preparedness Grant Program (RCPGP)

(RCPGP).

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

OBJECTIVES:

The overall objective of the RCPGP is to close known preparedness capability gaps, encourage innovative regional solutions to issues related to catastrophic incidents, and build on existing regional preparedness efforts. Regional pandemic preparedness continues as a program objective for FY 2021, with specific focus on pandemic planning activities, to address the Community Lifelines and Core Capabilities that are essential to pandemic preparedness. In addition, recognizing value of all-hazards emergency preparedness planning, and consistent with the objectives of the FY 2021 RCPGP, applicants may continue to consider projects that improve capability levels in the Food, Water, and Sheltering Community Lifeline as measured in the Housing or Logistics and Supply Chain Management core capabilities reported through the Threat and Hazard Identification and Risk Assessment (THIRA)/Stakeholder Preparedness Review (SPR) process. Additionally, applicants should consider the needs of socially vulnerable populations and involve representatives from the affected communities in the development and execution of proposed projects to ensure their needs are sufficiently addressed.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Civil Defense/Disaster Prevention and Relief/Emergency Preparedness Refer to program notice of funding opportunity document available at:

<https://www.fema.gov/grants/preparedness/regional-catastrophic>

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals) Refer to program notice of funding opportunity available at: <https://www.fema.gov/media-library/assets/documents/188196>

Beneficiary Eligibility:

Local; Refer to program notice of funding opportunity available at:

<https://www.fema.gov/grants/preparedness/regional-catastrophic>

ASSISTANCE LISTINGS DESCRIPTIONS

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is required. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to the program notice of funding opportunity for additional information.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Notice of Funding Opportunities (NOFO) for this listing will be posted on grants.gov and also at <https://www.fema.gov/grants/preparedness/regional-catastrophic>. Application deadline and other information are contained in the application/program guidance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application might be addressed with the successful applicant prior to the award being issued. All DHS/FEMA grant recipients are required to comply with DHS Standard Administrative Terms and Conditions. Upon approval of an application, the award will be made in the form of a grant. The date the approval of award is entered in the system is the award date. Notification of award approval is made through the ND Grants system through an automatic e-mail to the recipient point of contact listed in the initial application. Once an award has been approved and recorded in the system, a notice is sent to the authorized grant official. The authorized grant official should carefully read the award package for instructions on administering the grant and to learn more about the terms and conditions associated with responsibilities under Federal awards. For more information, refer to the FY 2021 RCPGP NOFO available online at <http://www.fema.gov/grants> as well as on www.grants.gov.

Deadlines:

FY 2021 RCPGP applications are due July 16, 2021. Funding Selection Announcement will be made in August 2021.

Range of Approval/Disapproval Time:

Other. FY 2021 RCPGP applications are due July 16, 2021, and funding selections will be announced in August 2021. Refer to program guidance document available at: <https://www.fema.gov/grants/preparedness/regional-catastrophic>.

Appeals:

Not Applicable. None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: 0%. Requirement: Matching requirements are voluntary. Statutory formula is not applicable to this assistance listing. Matching Requirements: Percent: Other Statutory formula is not applicable to this assistance listing. Matching Requirements: there are no required matches or cost shares. Matching requirements are voluntary. Maintenance of Effort requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to program notice of funding opportunity. Method of awarding/releasing assistance: other

Reports:

Recipients are responsible for providing updated performance reports on a quarterly basis as an attachment in ND Grants. The Performance Progress Report (PPR) should report on progress toward completing activities and projects as outlined in the approved Work Plan, to include: A brief narrative of overall project(s) status A summary of project expenditures A description of any potential issues that may affect project completion Federal Financial Report (FFR): Recipients must report obligations and expenditures ported on a quarterly basis through the FFR (SF-425) to DHS/FEMA. Recipients must file the FFR electronically using the Payment and Reporting Systems (PARS). An FFR must be submitted quarterly throughout the period of performance, including partial calendar quarters, as well as for periods where no grant award activity occurs. The final FFR is due 120 days after the end of the performance period. Quarterly reports. Recipients are obligated to submit various financial and programmatic reports as a condition of their award acceptance. Future awards and funds drawdown may be withheld if these reports are delinquent, demonstrate lack of progress, or are insufficient in detail. Grant recipients will be monitored periodically by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring may be accomplished through either a desk-based review or on-site monitoring visits, or both. Monitoring will involve the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions and other support may be needed.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503. FEMA grant recipients are subject to audit oversight from multiple entities including the DHS OIG, the GAO, the pass-through entity, or independent auditing firms for single audits, and may cover activities and costs incurred under the award. Auditing agencies such as the DHS OIG, the GAO, and the pass-through entity, if applicable, and FEMA in its oversight capacity, must have access to records pertaining to the FEMA award. Recipients and subrecipients must retain award documents for at least three years from the date the final FFR is submitted, and longer in some cases, subject to the requirements of 2 C.F.R. 200.334. In the case of administrative closeout, documents must be retained for at least three years from the date of closeout, or longer, subject to 2 C.F.R. 200.334. If documents are retained longer than the required retention period, the DHS OIG, GAO, and pass-through entity, as well as FEMA in its oversight capacity, have the right to access these records as well. See 2 C.F.R. 200.334, 200.337. Additionally, non-federal entities must comply with the single audit requirements at 2 C.F.R. Part 200, Subpart F. Specifically, non-federal entities, other than for-profit subrecipients, that expend \$750,000 or more in federal awards during their fiscal year must have a single or program-specific audit conducted for that year in accordance with Subpart F. 2 C.F.R. 200.501. A single audit covers all federal funds expended during a fiscal year, not just FEMA funds. The cost of audit services may be allowable per 2 C.F.R. 200.425, but non-federal entities must select auditors in accordance with 2 C.F.R. 200.509, including following the proper procurement procedures. The objectives of single audits are to: Determine if financial statements conform to generally accepted accounting principles (GAAP); Determine whether the schedule of expenditures of federal awards is presented fairly; Understand, assess, and test the adequacy of internal controls for compliance with major programs; and Determine if the entity complied with applicable laws, regulations, and contracts or grants. For single audits, the auditee is required to prepare financial statements reflecting its financial position, a schedule of federal award expenditures, and a summary of the status of prior audit findings and questioned costs. The auditee also is required to follow up and take appropriate corrective actions on new and previously issued but not yet addressed audit

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findings. The auditee must prepare a corrective action plan to address the new audit findings. 2 C.F.R. 200.508, 200.510, 200.511. Non-federal entities must have an audit conducted, either single or program-specific, of their financial statements and federal expenditures annually or biennially pursuant to 2 C.F.R. 200.504. Non-federal entities must also follow the information submission requirements of 2 C.F.R. 200.512, including submitting the audit information to the Federal Audit Clearinghouse within the earlier of 30 calendar days after receipt of the auditors report(s) or nine months after the end of the audit period. The audit information to be submitted include the data collection form described at 2 C.F.R. 200.512(c) and Appendix X to 2 C.F.R. Part 200 as well as the reporting package described at 2 C.F.R. 200.512(b). The non-federal entity must retain one copy of the data collection form and one copy of the reporting package for three years from the date of submission to the Federal Audit Clearinghouse. 2 C.F.R. 200.512; see also 2 C.F.R. 200.517 (setting requirements for retention of documents by the auditor and access to audit records in the auditors possession). FEMA, the DHS OIG, the GAO, and the pass-through entity, if applicable, as part of monitoring or as part of an audit, may review a non-federal entity's compliance with the single audit requirements. In cases of continued inability or unwillingness to have an audit conducted in compliance with 2 C.F.R. Part 200, Subpart F, FEMA and the pass-through entity, if applicable, are required to take appropriate remedial action under 2 C.F.R. 200.339 for noncompliance, pursuant to 2 C.F.R. 200.505.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final FFR is submitted. See 2 C.F.R. 200.334. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.334(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.334(a). The record retention period will be extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.334(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.334(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.334(f).

Account Identification:

70-0560-0-1-999.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$12,000,000.00; FY 22 est \$12,000,000.00;

Range and Average of Financial Assistance:

Awards range from around \$380,000 to almost \$1,000,000. The average award is roughly \$830,000.

TAFS Codes:

70-0413.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: The FY 2021 RCPGP will provide Federal funds to build state and local capacity to manage catastrophic incidents by improving and expanding regional collaboration for catastrophic incident preparedness, including pandemic preparedness.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following 2 C.F.R. Part 200 requirements apply to this assistance listing: Subpart A, Acronyms and Definitions Subpart B, General provisions Subpart

C, Pre-Federal Award Requirements and Contents of Federal Awards Subpart D, Post Federal; Award Requirements Subpart E, Cost Principles Subpart F, Audit Requirements For additional regulations and guidelines, please refer to the program notice of funding opportunity.

Regional or Local Office:

None. See Regional Assistance Locations. FEMA Regions may also provide fiscal support, including pre- and post-award administration and technical assistance, to the grant programs included in this solicitation.

Headquarters Office:

Department of Homeland Security/Federal Emergency Management Agency,
500 C Street S.W. Washington, DC 20472,
Washington DC 20472

Email: FEMA_RCPGP@fema.dhs.gov

Phone: (202) 212 - 3330

Fax:

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Previous years activities funded under RCPGP include: Developing and updating local and regional emergency logistics and distribution management plans for multiple jurisdictions, and ensuring they are deconflicted for the region under the guidance of a regional working group; Identifying leading indicators for the government entities, non-profit entities, and private sector entities to use to begin to address food and water needs throughout the region; Developing checklists and Standard Operating Procedures (SOPs) for each stakeholder to ensure they respond to and address food and water needs throughout the region appropriately and according to the plans. Training stakeholders in resource management, logistics, and supply chain management; Creating an exercise cycle consisting of a tabletop exercise and a functional or full-scale exercise specifically to test the stakeholders on plans, leading indicators, and checklists/SOPs, and updating the plans as necessary after the exercises and implement a plan review/update schedule to ensure sustainment into the future. 2021 FY 2021 RCPGP encourages eligible applicants to submit project proposals that address regional pandemic preparedness. For such projects, applicants are required to identify the specific lifelines and capabilities that will be improved through the proposed project. At a minimum, within the Health and Medical Lifeline, the Public Health, Healthcare, and Emergency Medical Service; Fatality Management Services; Economic Recovery; Health and Social Services; and Logistics and Supply Chain Management core capabilities should be addressed. Applicants should also consider how regional preparedness capabilities may be impacted by a major disaster while dealing with an ongoing pandemic emergency.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.120 Rural Emergency Medical Communications Demonstration Project

REMCDP,

FEDERAL AGENCY:

Cybersecurity and Infrastructure Security Agency, HOMELAND SECURITY,
DEPARTMENT OF
AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2020 (Pub. L. No. 116-93), Division D, Title III, Protection, Preparedness, Response, and Recovery; "Cybersecurity and Infrastructure Security Agency." The agreement includes \$2,000,000 for CISA to administer SLTT projects, as in prior years, that aid in the implementation of the National Emergency Communications Plan and demonstration of emergency medical communications in rural areas.; Title Homeland Security Act of 2002, Section 102, Public Law 107-296., Title Homeland Security Act of 2002, Section Section 102, Public Law -

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OBJECTIVES:

The 97.120 CFDA was used in FY 2016 for the newly authorized Rural Emergency Medical Communications Demonstration Project (REMCDP) and again in FY 2018 and 2020 to reestablish the grant. The grant opportunity leverages emergency communications infrastructure, improves operational effectiveness, addresses National Emergency Communications Plan (NECP) implementation gaps, and provides communications training and education to enable improved rural medical services. The Presidential Policy Directive 8 emphasizes national preparedness as a shared responsibility of the whole community, requiring greater involvement across multiple jurisdictions and disciplines. REMCDP aids in meeting that goal by working with a public as well as state controlled institutions of higher education to examine communications barriers and identify solutions that enhance existing emergency communications infrastructure.

TYPES OF ASSISTANCE:

Project Grants, Salaries and Expenses

USES AND USE RESTRICTIONS:

The improvement of medical communication systems and existing infrastructures, and of rural communities ability to access medical services.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Please refer to the Notice of Funding Opportunity Announcement.

Beneficiary Eligibility:

Public nonprofit institution/organization; Refer to program guidance for further information.

Credentials/Documentation:

Refer to program guidance for further information. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Refer to program guidance.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application/program guidance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

The REMCDP review process will involve two review phases. First, all applications will be reviewed to confirm eligibility and completeness. Then, a panel of SMEs knowledgeable in emergency communications and interoperability in local communities will review the applications and determine a merit score. The merit review panel may be composed of Federal employees, state and local representatives, and subject matter experts on emergency communications and interoperability. The merit score will be based on the degree to which an application addresses the Application Evaluation Criteria listed in the program guidance.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The BDP application period has closed and the FY16 REMCDP application period will be opened for a new award.

Appeals:

Other. Refer to program guidance for further information.

Renewals:

Other. Refer to program guidance for further information.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

this assistance listing.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues that arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

Salaries and Expenses FY 20 \$2,000,000.00; FY 21 est \$0.00; and FY 22 est \$0.00;

Range and Average of Financial Assistance:

Refer to program guidance.

TAFS Codes:

70-0565.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: The FY 2018 REMCDP grant period of performance continues, and the grant was closed out March 31, 2021. Additionally, a new award was made on September 30, 2020. Fiscal Year 2021: Grant activities for FY 2020 REMCDP reporting requirements continue to be submitted each quarter. The program reviews the grant activities that support emergency responders and medical practitioners so they can communicate in rural, underserved communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Parts 200 and 225

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

Department of Homeland Security Cyber Security and Information Security Agency,
4200 Wilson Blvd.,
Arlington VA 22201
Email: OECGrants@hq.dhs.gov
Phone: (703) 235-4025

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

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CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance.

97.123 State, Local, Tribal and Territorial Security Operations Center/Information Sharing and Analysis Center

State, Local, Tribal and Territorial Security Operations Center/Information Sharing and Analysis Center,

FEDERAL AGENCY:

Cybersecurity and Infrastructure Security Agency, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Title Homeland Security Appropriations Act, 2021, Title III,

OBJECTIVES:

This program addresses the DHS mission to Safeguard and Secure Cyberspace, as defined in the Quadrennial Homeland Security Review, through efforts to support the state, local, tribal and territorial (SLTT) governments and election infrastructure to keep pace in sharing cyber threat information and responding to cyber risk in as close to real-time as possible. This program leverages the strength of market technology forces to spur innovative strategies within a Security and Operations Center (SOC) to enable a cyber-relevant Infrastructure Sharing and Analysis Center (ISAC) operating model that is consistent with section 2209 of the Homeland Security Act, Executive Order 13636, Executive Order 13691, and Presidential Decision Directive-63 (PDD-63). Specifically, section 2209(d)(E) requires the composition of the national cybersecurity and communications center to include an entity that collaborates with State and local governments on cybersecurity risks and incidents. This program convenes state-of-the-art SOC technology and their cyber analytic expertise with SLTT cyber coordinators, cyber and fusion center coordinators, and critical SLTT sectors (to include elections, communications, energy etc.) to evolve cyber capabilities from mission control to community collaboration models that face current threats in the digital battlefield. Services will allow SLTT stakeholders to consume and exchange awareness of threats via technologies that serve to derive a more accurate and timelier picture of prioritized cyber defense actions. All the while, ensuring coordination is sustained with federal partners to defend forward and prevent or thwart malicious cyberspace actors and activities. Thus, through these efforts, making improvements in technical cyber expertise, providing for a more efficient response and recovery time of systems during attack and developing resilience capabilities for our nations SLTT government sector. Objectives Provide a 24x7 SOC/ISAC that fosters a platform for generating and disseminating cyber threat information and coordinating cybersecurity expertise upon which SLTT governments can rely when making decisions that enable adequate preventative and response measures to cyber threat. By integrating the SLTT cyber ecosystem, and empowering insight and cyber situational awareness from across the SLTT trusted circle, the community will mutually benefit from the innovative measures that protect and improve defenses. Priorities: Successful execution will focus customer relationships on enhanced models for threat intelligence exchange. New technologies in data analytic correlation, collaborative threat intelligence and orchestration have created opportunities for faster and actionable threat intelligence exchange. The priority in focus is to advance SLTT cybersecurity risk management and to help build a healthy and resilient SLTT cyber ecosystem via automated collective community action.

TYPES OF ASSISTANCE:

Cooperative Agreements, Salaries and Expenses

USES AND USE RESTRICTIONS:

Restricted to supporting the activities of Security Operations Center and Information Sharing and Analysis Center (MS-ISAC), involving engagement with State, Local, Tribal and Territorial governments. Additional information concerning uses and restrictions is contained in the Funding Opportunity Announcement document or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel,

publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See Funding Opportunity Announcement. Refer to program guidance.

Applicant Eligibility:

Sponsored organization; This funding opportunity is awarded through an open competitive process. Specific information on applicant eligibility is identified in the funding opportunity announcement.

Beneficiary Eligibility:

U.S. Territories; State; Local; Federally Recognized Indian Tribal Governments; State Governments, local government, territorial governments, tribal governments and territories

Credentials/Documentation:

Refer to Section 140: Regulations, Guidelines, and Literature. 2 CFR 200, Subpart E - Cost Principles applies to this program 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the application.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Other. Subject to future appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching Requirements: This cooperative agreement is a cost share program. The applicant is required to commit to the cost share requirement at the time of the application. The required cost share is based on and calculated against the total program cost as reflected in the application budget. The maximum Federal share is 70 percent of the total program cost as reflected in the application budget. The recipient must provide a minimum non-federal entity contribution supporting 30 percent of the total program costs as reflected in the application budget. A MOE provision is required as a condition of eligibility for federal funding, to maintain a financial contribution to the program at not less than 100 percent of its program income contribution for the prior fiscal year. The federal government wants awardees to rely on state and local funds thus ensuring that federal funds "supplement" rather than "supplant" (normal) activities. MOE requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to Funding Opportunity Announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit semi-annual financial and performance reports. Reports are due on April 30 and Oct. 31. Quarterly Federal Financial Reports (Cash Transactions) are due no later than Jan. 31, April 30, July 31, and Oct. 31. Final financial and performance reports are due 90 days after the expiration or termination of the grant award. Grantees are required to submit a monthly report of

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planned, projected, and disbursed funds. Grantees are required to submit monthly performance reports. Monthly program reports must include the progress of each sub-grant award. Grantees are required to submit a monthly report of planned, projected, and disbursed funds. Reports are due by the 10th of each month. Refer to the program guidance. Activity Based Costing (ABC) Reports: Grantees are required to submit a report of planned, projected and disbursed funds for each logical program activity. Quarterly ABC Reports are due no later than Jan. 31, April 30, July 31, and Oct. 31. Grantees are required to submit a monthly report of planned, projected, and disbursed funds.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0566-0-1-999.

Obligations:

Salaries and Expenses FY 20 \$10,468,300.00; FY 21 est \$23,294,000.00; FY 22 Estimate Not Available;

Range and Average of Financial Assistance:

Refer to Funding Opportunity Announcement.

TAFS Codes:

70-0566.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: During this period, the MS-ISAC increased in membership by 20%. There was an increase in managed cybersecurity service offerings to the Elections Subsector, including Endpoint Detection and Response (EDR) capability. The MS-ISAC launched the new Malicious Domain Blocking and Reporting (MDBR) managed service offering to mitigate threats to the SLTT community, and saw 546 SLTT organizations subscribe. During this period, the MS-ISAC added 360 members to the Indicator Sharing Program, a 73% increase. Adoption of the Nationwide Cybersecurity Review (NCSR), the cybersecurity maturity assessment, increased by over 300%. Fiscal Year 2021: Thus far, the MS-ISAC and EI-ISAC have expanded MDBR adoption by 224% to 1,815 enrolled entities as of the end of May 2021, and expanded EDR endpoint coverage by 172% year to date. This represents a large expansion in managed service adoption. The MS-ISAC adopted a new Threat Intelligence Platform and worked to engage SLTTs in indicator sharing and direct access to the platform. Since implementing STIX/TAXII and supporting with automated workflows and Analyst1, the MS-ISAC is able to "score" and thus prioritize the sharing of IOCs most relevant to SLTTs. The MS-ISAC ingests 211 total intelligence feeds, with 147 new added YTD, a 230% increase. 1,847 threat groups are currently tracked, and over 60,000 campaigns with potential impact to SLTTs were tracked since October 2020. Additionally, the ISAC innovated in providing remote, distanced outreach and stakeholder engagement through virtual service reviews and remote speaking engagements.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, A-87, Cost Principles for State, Local and Indian Tribal Governments (2 CFR Part 225), A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education,

Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (iii. 2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

None. Program Manager for this award is: Amy Nicewick, Cybersecurity and Infrastructure Security Agency 703-203-0634, Amy.Nicewick@cisa.dhs.gov

Headquarters Office:

Department of Homeland Security, NPPD Cybersecurity & Infrastructure Security Agency Stop 0380,

245 Murray Lane,

Washington DC 20528

Email: Amy.Nicewick@cisa.dhs.gov

Phone: (703) 203-0634

Website Address:

<https://www.cisa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This continuation and supplemental funding opportunity is restricted to the Center for Internet Security, DHS Award Number 19PDMSI00002-01-00 as awarded via DHS NOFO: DHS-19-CISA-123-ISAC000001. Specific information on criteria for selecting proposals is identified in the funding opportunity announcement.

97.127 Cybersecurity Education and Training

CETAP,

FEDERAL AGENCY:

Cybersecurity and Infrastructure Security Agency, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

6 U.S.C. Subsection 652 et seq. Public Law 116-283. William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021. Title XVII Cyberspace-Related Matters, Section 2217. Public Law 116-260 consolidated Appropriations Act, 2021. Division F - Department of Homeland Security Appropriations Act, 2021. Title III Protection, Preparedness, Response, and Recovery, Cybersecurity and Infrastructure Security Agency. Section 102(b)(2) of the Homeland Security Act of 2002, as amended (6 U.S.C. Subsection 112(b)(2)), Title William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021., Section Section 2217, Public Law 116-283

OBJECTIVES:

The Department of Homeland Security (DHS), has the lead for the federal government in securing civilian government computer systems, and works with industry and state, local, tribal and territorial governments to secure critical infrastructure and information systems by: analyzing and reducing cyber threats and vulnerabilities distributing threat warnings coordinating the response to cyber incidents to ensure that our computers, networks, and cyber systems remain safe. Furthermore, Presidential Policy Directive 21 requires DHS to serve as the focal point for the security of cyberspace. Within DHS, the Cybersecurity and Infrastructure Security Agency (CISA) works to enhance the security, resiliency, and reliability of the Nations cyber and communications infrastructure. As the nation responds to ongoing rapid technological changes and advances, we must fill critical shortages in the cybersecurity workforce and to remain competitive, our nation will need to reimagine how it educates and trains cybersecurity professionals. Strengthening our cybersecurity workforce requires diverse perspectives from communities that represent America. Increasing the number of cybersecurity professionals within underserved communities is key to the success of our nation. The CISAs Cyber Defense Education and Training (CDET) Branch manages programs to assist in developing cybersecurity professionals needed to

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secure the nations cyber infrastructure and information systems. CDET addresses current and future cybersecurity challenges by making key investments to build and sustain an adaptive cybersecurity workforce to include partnering and facilitating cybersecurity educational programs at colleges, universities, as well as, investing in resources to educate and train individuals from K-12, traditional post-secondary school, and non-traditional post-secondary training environments. These initiatives help to ensure that the Federal, State, Local, Tribal and Territorial Governments and Critical Infrastructure stakeholders have well-trained cybersecurity professionals today as well as a strong pipeline of future cybersecurity leaders tomorrow. Furthermore, the recruitment and retention of individuals with these skills is an important element in the development of our nations cybersecurity workforce. CISA, through grants and cooperative agreements under this assistance listing, seeks to accomplish the following goals: Goal 1: Expand awareness of national cybersecurity training, education, and workforce issues. Goal 2: Encourage entry into cybersecurity programs and careers. Goal 3: Develop and implement a comprehensive engagement strategy that enables DHS/CISA to reach an increasing number of K-12, traditional post-secondary, and non-traditional post-secondary stakeholders. Goal 4: Identify new innovations for attracting, developing, and retaining a cybersecurity workforce.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

Restricted to supporting the development and implementation of cybersecurity-related training programs to engage teachers and students by a coordinated effort. Additional information concerning uses and restrictions is contained in the funding opportunity announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See the funding opportunity announcement for any restrictions.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Nonprofits with 501(c)(3) IRS status, other than Institutions of higher education. Specific information on applicant eligibility is identified in the funding opportunity announcements.

Beneficiary Eligibility:

Education (13+); Refer to program guidance.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Application deadline and other information are contained in the funding opportunity announcements.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in an application will be negotiated with the successful applicants prior to an award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Other. Subject to future appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcements. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: other

Reports:

Refer to program guidance. Quarterly Progress Reports must include the progress of each sub-grant award.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

Project Grants FY 20 \$4,300,000.00; FY 21 est \$6,000,000.00; FY 22 est \$4,300,000.00; Cooperative Agreements FY 20 \$0.00; FY 21 est \$2,000,000.00; and FY 22 est \$2,000,000.00;

Range and Average of Financial Assistance:

Refer to the funding opportunity announcements.

TAFS Codes:

70-0565.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Cybersecurity Education and Training Assistance Program (CETAP) significantly increased virtual training opportunities for teachers through self-paced and facilitated trainings. CETAP had 25,000 teachers accessing the teacher resources during the year as well as trained a total of 2,700 teachers. Fiscal Year 2021: Cybersecurity Education and Training Program (CETAP) expects to continue to see significant increases in teachers accessing the curriculum as well as professional development workshops. By the end of FY21, it is anticipated that the program will impact 32,000 educators through curricula access and have trained over 22,000 teachers over the lifespan of the grant. Major projects include multiple state-wide adoptions of CYBER.ORGs cybersecurity standards as well as major advancements in its HBCU feeder and students with disabilities program. It is estimated that the Cybersecurity Workforce Development and Training Pilot for Underserved Communities will identify up to two non-traditional technical training providers to develop curricula, a virtual or hybrid in-person training hub, and an entry-level skilled apprenticeship program for underserved populations. Fiscal Year 2022: Cybersecurity Education and Training Assistance Program (CETAP) will continue to see significant increases and building off of previous years successes. By the end of FY22, CETAP should impact nearly 40,000 educators through curricula access and have trained just shy of 30,000 teachers over the lifespan of the grant. It is estimated that the Cybersecurity Workforce Development and Training Pilot for Underserved Communities will continue to see significant development of curricula, a virtual or hybrid in-person training hub, and an entry-level skilled apprenticeship program for underserved populations. The non-traditional technical training providers will have an approved Cybersecurity Pathways Retention Strategy and data on performance measures for reaching the target population over the lifespan of the cooperative agreement.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR

ASSISTANCE LISTINGS DESCRIPTIONS

Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Assistance Locations. Cybersecurity and Infrastructure Security Agency (CISA)

Headquarters Office:

DHS, National Protection and Programs Directorate (NPPD) Latasha McCord, 245 Murray Lane,

Washington DC 20528

Email: CETAP-Info@dhs.gov

Phone: 2028534799

Fax:

Website Address:

<http://www.cisa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcements for information on criteria for selecting proposals.

97.128 CISA Cyber Security Awareness Campaign

Cybersecurity and Infrastructure Security Agency (CISA),

FEDERAL AGENCY:

Cybersecurity and Infrastructure Security Agency, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Title Department of Homeland Security Appropriation Act, 2021, , Public Law 116-260

OBJECTIVES:

The Cybersecurity and Infrastructure Security Agency (CISA) serves as the Nations risk advisor to build the national capacity to defend against cyber-attacks, coordinate security and resilience efforts across the public and private sectors, and identify and address the most significant risks to the national critical functions provided by our Nations critical infrastructure. In accordance with the 2020-2024 DHS Strategic Plan (found at <https://www.dhs.gov/publication/department-homeland-securitys-strategic-plan-fiscal-years-2020-2024>), CISA supports the following DHS guiding principles: Championing Relentless Resilience for All Threats and Hazards: DHS will remain resolute against today's threats and hazards by keeping pace with our adversaries and preparing for those of tomorrow by identifying and confronting systemic risk, ensuring the Nations citizens remain resilient, building redundancy and resilience into community lifelines, and raising the baseline of our security across the board and across the world. Promote Citizen Engagement and Strengthen and Expand Trusted Partnerships: Homeland security is a whole-of-society endeavor, from every federal department and agency to every American across this Nation. We will work together and empower partners to leverage national capacity and capabilities, improve training exercises, and develop contingency plans that make America safe, secure, and resilient against all threats and all hazards. This grant specifically supports the following DHS Strategic Plan Goals: 3.1: Secure federal civilian networks 3.2: Strengthen the security and resilience of critical infrastructure 3.3: Assess and counter evolving cybersecurity risks. 5.1: Build a National culture of preparedness 5.3: Support outcome-driven community recovery. Accordingly, coordination and collaboration with appropriate private sector and non-profit organizations, as well as federal, state, and local government entities, is necessary to facilitate a comprehensive cybersecurity awareness campaign program. This awareness campaign program intends to execute a research-driven strategy focused on implementing specific programs,

activities, materials and outreach efforts, building on its cybersecurity awareness campaign to include activities and initiatives associated with Cybersecurity Awareness Month in October. Objectives A comprehensive nationwide cybersecurity awareness campaign will: Educate at-risk segments of the public about the dangers of cyber threats and key actions that can be taken to mitigate risks; Identify effective approaches to increase cybersecurity awareness among the general public and specific target audiences; Build relationships and coalitions across cybersecurity stakeholders to support a broad national effort; Execute means of measuring impact of campaign strategies on increasing public cybersecurity awareness, and Serve to further the Administrations National Security Strategic priority to build a culture of preparedness, informing and empowering communities and individuals to obtain the skills and take the preparatory actions necessary to become more resilient against the threats and hazards that Americans face.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. DHS grant funds may only be used for the purpose set forth in the cooperative agreement, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Refer to funding opportunity announcement. See above.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other public institution/organization; Nonprofits with 501(c)(3) status, other than institutions of higher education. Financial assistance will be awarded through a competitive process. Eligible applicants will include public or private non-profit organizations, dedicated to promoting cybersecurity-related awareness and safe behavior online through creative website content and additional communication mediums nationwide. CISA will not review applications that fail to meet the eligibility criteria.

Beneficiary Eligibility:

Public nonprofit institution/organization; See above.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 CFR Part 200 applies to the ISAO program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Other. Refer to the funding opportunity announcement.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to

ASSISTANCE LISTINGS DESCRIPTIONS

this assistance listing.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Annual Lump sum (Period of Performance will be up to five years comprised on 5-12 month budget periods). Applicants will be making drawdowns through the HHS-Payment Management System in accordance with the Cash Management Improvement Act. Method of awarding/releasing assistance: Annual Lump sum (Period of Performance will be two years comprised of 2-12 month budget periods). Applicants will be making drawdowns through the HHS Payment Management System in accordance with the Cash Management Improvement Act. Method of awarding/releasing assistance: other

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See above. See above. Expenditure reports are not applicable. See above. See above. See above. See above.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 2 CFR Part 200 applies to the ISAO program.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$549,996.00; FY 21 est \$549,996.00; and FY 22 est \$549,996.00;

Range and Average of Financial Assistance:

Refer to the funding opportunity announcement.

TAFS Codes:

70-0565.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: Developed new content, collateral, and campaign materials for awareness raising across the nation. Increased numbers of industry partner participation and involvement in consumer/employee cybersecurity awareness to include participation in National Cybersecurity Awareness Month. Assisted DHS with tracking and analyzing success metrics of campaigns and messaging. Lead content and messaging on social media for awareness messages.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.) 2 CFR Part 200 applies to the ISAO program.

Regional or Local Office:

None.

Headquarters Office:

DHS, National Protection and Programs Directorate (NPPD) R'Nita Valentin Rabelais,
245 Murray Ln., SW,
Washington DC 20528

Email: R'Nita.V.Rabelais@CISA.dhs.gov

Phone: 703-740-7565

Website Address:

No Data Available.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcement for information on criteria for selecting proposals.

97.130 National Nuclear Forensics Expertise Development Program

(NNFEDP).

FEDERAL AGENCY:

Countering Weapons of Mass Destruction, HOMELAND SECURITY,

DEPARTMENT OF

AUTHORIZATION:

Nuclear Forensics and Attribution Act (Public Law 111-140; Sec. 4(a)(12)), , Public Law 111-140, USC Code 4(a)(12).

OBJECTIVES:

The objectives of this program are: (1) to provide a stable foundation from which to develop and maintain the nuclear forensics workforce; (2) to provide an academic pathway from undergraduate to post-doctorate study in disciplines directly relevant to nuclear forensics, including but not limited to radiochemistry, geochemistry, nuclear physics, nuclear engineering, materials science, and analytical chemistry; and (3) to increase a diverse and highly talented cadre of new and emerging forensics experts who will fill specific expertise gaps in the area of nuclear forensics, an important homeland security mission. These objectives are aligned with the Nuclear Forensics and Attribution Act, P.L.111-140. The following goals have been established to meet these objectives: Recruit and produce a new generation of leaders and technical experts in a wide-range of fields relevant to nuclear forensics. Enhance the ability for universities and the national and defense laboratories to work collaboratively on advanced research in the nuclear forensics field. Implement a Nuclear Forensics Undergraduate summer opportunity to provide outreach to the academic community, facilitate experiential learning in nuclear forensics-related research areas, and encourage undergraduate students to pursue advanced degrees in radiochemistry and other nuclear forensics-related disciplines. Implement nuclear forensics research awards to encourage undergraduate and graduate students to perform forensic-related research in collaboration with academic professors and researchers at the national laboratories. These awards promote research that advances nuclear forensics methods, such as detection, characterization of materials, and analysis of signatures, while encouraging universities to invest in these types of programs, and feed students into post-doctoral/permanent staff positions in nuclear forensics at the national laboratories of federal agencies. Implement education award programs to support universities interested in developing and maintaining academic programs in nuclear forensics-related disciplines.

TYPES OF ASSISTANCE:

Cooperative Agreements, Project Grants

USES AND USE RESTRICTIONS:

These funds will be used to select an entity to administer the NNFEDP program. As the program administrator, the selected recipient will issue funds to eligible students or institutions of higher education for the following: materials, supplies, laboratory equipment/facilities upgrades, travel, publications costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. Refer to program guidance for further information.

Applicant Eligibility:

ASSISTANCE LISTINGS DESCRIPTIONS

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Refer to the program guidance.

Beneficiary Eligibility:

Federal; Education (13+); Refer to the program guidance.

Credentials/Documentation:

2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in an application will be negotiated with the successful applicants prior to an award being issued.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Other. Subject to future appropriations.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: annual. Method of awarding/releasing assistance: other

Reports:

Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

Cooperative Agreements FY 20 \$700,000.00; FY 21 est \$564,700.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

Refer to the funding opportunity announcements.

TAFS Codes:

70-0860.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: In 2008, DHS established the Nuclear Forensics Graduate Fellowship Program (NFGFP) to provide fellowships to graduate students pursuing graduate degrees in nuclear, geochemical, and other disciplines directly relevant to nuclear forensics. This program aimed to develop the next generation of qualified scientists to meet U.S. Government needs for nuclear forensics expertise and to build a student career path in nuclear forensics. Forty-five students participated in the NFGFP, with 40 receiving doctoral degrees. This program closed in Spring, 2021. NNFEDP established the Nuclear Forensics Research Awards program in 2018. Two NFRAs remain active through FY 2021.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Assistance Locations.

Headquarters Office:

National Nuclear Forensics Expertise Development Program, DNDO Sandra Gogol, DHS CWMD, 245 Murray L.N.M.S. 0315, Washington DC 20528
Email: Sandra.Gogol@hq.dhs.gov
Phone: (202) 254-7435

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcement for information on criteria for selecting proposals.

97.131 Emergency Management Baseline Assessments Grant (EMBAG)

EMBAG,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

OBJECTIVES:

The EMBAG program supports investment in national-level standards for emergency management that target critical functions necessary to prepare for and manage disasters, with the goal of supporting the following short and long-term outcomes: In the short to mid-term, jurisdiction emergency management programs participating in the EMBAG achieve compliance with national-level standards for critical functions necessary to prepare for and manage disasters. Long-term, these programs increase their preparedness to manage disasters. In the short to mid-term, emergency management professionals tied to jurisdiction emergency management programs and participating in the EMBAG achieve compliance with national-level standards for critical functions necessary to manage emergency management programs. Long-term, these professionals increase their capability to manage these programs during disasters. For more details, please refer to the current program Notice of Funding Opportunity (NOFO) on [grants.gov](https://www.grants.gov).

TYPES OF ASSISTANCE:

ASSISTANCE LISTINGS DESCRIPTIONS

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The FY 21 EMBAG Program targets solutions that address gaps in capabilities and contribute to efforts to reduce risk and support rapid stabilization and restoration of the seven Community Lifeline areas [Safety and Security; Food, Water, and Sheltering; Health and Medical; Energy (Power and Fuel); Communications; Transportation; and Hazardous Waste], which align with the common core capabilities for Planning, Operational Coordination, and Situational Assessment. Emergency management programs require these capabilities to successfully execute response operations and emergency management professionals require the ability to support emergency management programs in doing so. FEMA invites applicants to submit applications that identify and address solutions for current and emerging gaps in emergency management program accreditation and emergency management professional certification that empower jurisdictions to better address and prepare for disasters.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); The following are eligible applicants for this funding opportunity: Nonprofits as defined by 2 C.F.R. 200.70; and Institutions of higher education as defined by 2 CFR 200.55. Institutions of higher education as defined by 2 CFR 200.55; and For-profit organizations, including small businesses. For more details, please refer to the current program notice of funding opportunity on grants.gov: <https://www.grants.gov/web/grants/view-opportunity.html?oppld=325609>.

Beneficiary Eligibility:

U.S. Territories; Private nonprofit institution/organization; State; U.S. Territories, State, Local, Federally Recognized Indian Tribal Governments Funding under this program is ultimately intended to benefit U.S. State, Local, Tribal, and Territorial emergency management programs.

Credentials/Documentation:

The criteria that organizations must meet in order to be eligible for the EMBAG are defined below. Applicants that do not meet eligibility and application submission requirements will not be evaluated and scored by the review panel. Eligible nonprofit organizations and institutes of higher education must be able to demonstrate, for either emergency management program accreditation AND/OR emergency management professional certification, that they are qualified to develop, update, revise, and enhance national-level standards for emergency management and implement assessments against them for emergency management programs AND/OR emergency management professionals, according to questions defined in Appendix D: Project Narrative Template. For more details, please refer to the current program NOFO on grants.gov. This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards found in 2 C.F.R. Part 200 apply to this program. NOFO for this listing will be posted on grants.gov. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Funds will not be made available for obligation, expenditure, or drawdown until the applicants budget and budget narrative have been approved by FEMA.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The period of performance is 12 months. For additional information, please refer to the program NOFO. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit quarterly financial reports and performance reports. Recipients must also submit additional reporting at closeout. For additional details regarding requirements for Performance Progress Reports, additional program reporting, and other post-award requirements, please refer to the current program NOFO on grants.gov. Quarterly Progress Reports which reflect progress toward the accomplishment of grant objectives, due within 30 days after the end of each quarter ending December 31st, March 31st, June 30th, and September 30th. 1. The Quarterly Federal Financial Report (FFR) SF-425, due within 30 days after the end of each quarter: January 30th, April 30th, July 30th, October 30th. 2. The Final FFR, SF-425, due within 120 days after the Period of Performance expires. 3. Final Payment/Unexpended Funds: due within 120 days after the Period of Performance expires. Reports are not required; however, Grant recipient will be monitored by FEMA staff, both programmatically and financially, to ensure that the project goals, objectives, performance requirements, timelines, milestone completion, budgets, and other related program criteria are being met. Monitoring will be accomplished through a combination of office-based desk reviews and on-site monitoring visits. Monitoring will involve the review and analysis of the financial, programmatic, performance, and administrative issues relative to the program and will identify areas where technical assistance or other support may be needed. The recipient is responsible for monitoring award activities to provide reasonable assurance that the Federal award is administered in compliance with requirements. Responsibilities include the accounting of receipts and expenditures, cash management, maintaining adequate financial records, and refunding expenditures disallowed by audits.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Records must be retained in accordance with 2 C.F.R. 200.334(c). Grant records shall be retained for a period of at least 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award. For certain types of records, the record retention period starts at a different point. See 2 C.F.R. 200.334 for more information.

Account Identification:

70-0560-0-1-999.

Obligations:

Direct Payments for Specified Use FY 20 \$825,000.00; FY 21 est \$825,000.00;

ASSISTANCE LISTINGS DESCRIPTIONS

FY 22 est \$825,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2021: Assessments for 10 Emergency Management Program Accreditations and certification fees for at least 25 Emergency Manager Certifications.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Notice of Funding Opportunity Announcement (NOFO).

Regional or Local Office:

None.

Headquarters Office:

Emergency Management Baseline Assessments Grant (EMBAG), FEMA Madeline Clark, , Program Manager, 400 C Street, NW,

Washington DC 20472-3630

Email: sharon.kushnir@fema.dhs.gov

Phone: 202-786-9451

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2021 1.The development, maintenance, update, revision, and enhancement of voluntary national-level standards and peer review assessment processes for emergency preparedness and response that are driven, established, validated, and revised through consensus;2.Related efforts to develop or update national-level accreditation for these standards; and 3.The assessment of select state, local, tribal, and territorial emergency management programs and professionals against these national-level standards. For more details, please refer to the current program NOFO on grants.gov.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program notice of funding opportunity for information on criteria for selecting proposals.<https://www.grants.gov/web/grants/view-opportunity.html?opId=325609>.

97.132 Financial Assistance for Targeted Violence and Terrorism Prevention

Targeted Violence and Terrorism Prevention(TVTP),

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

OBJECTIVES:

The purpose of the federal assistance is to expand and enhance locally-based efforts and terrorism prevention frameworksObjectives of Local Prevention Frameworks1.The local community has awareness of the radicalization to violence process and what the threat of targeted violence and terrorism looks like.2.The local community has awareness of both the risk factors for and the protective factors against - radicalizing to violence.3.Members of the local community engage among the broadest set of local stakeholders that sustain trusted partnerships and increase communications addressing radicalization to violence4.Members of the local community have the ability to act on their awareness training and help members of their community before they threaten other members of the community by knowing how to contact and understanding the role of threat assessment and management teams. 5.Members of the local community have access to multi-disciplinary (psychologists, educators, faith leaders, medical personnel, inter alia) threat assessment and management teams that can intervene with an individual who

has radicalized to violence before it becomes a criminal justice issue6.The local community has a variety of programs (e.g., service activities, career centers, after-school groups, mentoring, inter alia) that address risk factors while also providing services supporting threat management approaches.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Federal funds made available through this award may only be used for the purpose set forth in this award and must be consistent with the statutory authority for the award. Award funds may not be used for matching funds for any other Federal grants/cooperative agreements, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Federally Recognized Indian Tribal Governments; Private nonprofit institution/organization (includes institutions of higher education and hospitals); State; State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals, Public nonprofit institution/organization (includes institutions of higher education and hospitals), Federally Recognized Indian Tribal Governments, Private nonprofit institution/organization (includes institutions of higher education and hospitals), State Please refer to program guidance.

Beneficiary Eligibility:

U.S. Territories; State; Local; Education (13+); Public nonprofit institution/organization; Other public institution/organization; Federally Recognized Indian Tribal Governments; Refer to the Funding Opportunity Announcement for the program.

Credentials/Documentation:

Refer to funding opportunity announcement www.grants.gov 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Please refer to program guidance. Notice of Funding Opportunities (NOFO) for this listing will be posted on Grants.gov.

Award Procedure:

Refer to Program Guidance www.grants.gov

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. Please refer to the Notice of Funding Opportunity for deadline submission.

Appeals:

Other. Please refer to the Notice of Funding Opportunity.

Renewals:

Other. Please refer to the Notice of Funding Opportunity.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Refer to Funding Opportunity Announcement for the program. Method of

ASSISTANCE LISTINGS DESCRIPTIONS

awarding/releasing assistance: other

Reports:

Recipients and sub-recipients will be required to file periodic programmatic and financial reports describing funded activities and a final programmatic report as a condition of their award acceptance, periodically throughout and at the conclusion of the Period of Performance. Details of the Program Reporting requirements are described in the Notice of Funding Opportunity . Fund drawdowns may be withheld if these reports are delinquent. Refer to program Guidance Recipients are responsible for providing updated performance reports on a quarterly basis following the format outlined in the Notice of Funding Opportunity. Other progress reports may be required more frequently should unusual circumstances arise. Progress reports are due within 30 days after the end of the reporting period. Refer to Program Guidance. As the awarding agency, FEMA will conduct performance monitoring of the prime recipient(s) related to financial aspects of the award(s). The DHS Office of Community Partnerships, as the programmatic lead, will conduct performance monitoring of prime recipients. The Prime Recipient(s) will be responsible for conducting programmatic and financial monitoring of subrecipient(s).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 For fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000.00 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report, also known as a single audit report. The audit must be performed in accordance with the requirements of Government and Accountability Offices (GAO) Government Auditing Standards, located at <https://www.gao.gov/yellowbook/overview>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?node=sp2.1.200.f>.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-453.

Obligations:

Project Grants FY 20 \$10,000,000.00; FY 21 est \$20,000,000.00; FY 22 est \$20,000,000.00;

Range and Average of Financial Assistance:

Refer to Funding Opportunity Announcement

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2020: This funding addressed a gap in our nations prevention capabilities: Enhancing awareness to the threat of individuals mobilizing or radicalizing to violence; Intervening with such individuals; Developing resilience or protective factors in communities and with individuals; and Providing alternative messages or activities that challenge violent narratives.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 3002.

Regional or Local Office:

None.

Headquarters Office:

Office of Terrorism Prevention Partnerships DHS Office for Targeted Violence and Terrorism Prevention,
707 Martin Luther King Jr. Ave. SE,

Washington DC 20528

Email: TerrorismPrevention@hq.dhs.gov

Phone: 2022828000

Fax:

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Please see examples of funded projects at www.dhs.gov/TVTPGrants

CRITERIA FOR SELECTING PROPOSALS:

refer to the Funding Opportunity Announcement for the program.

97.133 Preparing for Emerging Threats and Hazards

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

113Section 102(b)(2) of the Homeland Security Act of 2002, as amended (Pub. L. No. 107-296) and Section 543 of the Department of Homeland Security Appropriations Act, 2016(Pub. L. No. 114-113)., Title V, Section 543, Public Law 114-113

OBJECTIVES:

The FY 2016 CCTA Program objective is to build and sustain capabilities of local, state, tribal, and territorial jurisdictions to enhance their preparedness for complex coordinated terrorist attacks by achieving the following activities: Identifying capability gaps related to preparing for, preventing, and responding to a complex coordinated terrorist attack. Developing and/or updating plans, annexes, and processes to address the identified gaps. Training personnel and the whole community to implement the plans and processes and build needed capabilities. Conducting exercise(s) to validate capabilities and identify opportunities for additional corrective action.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible activities shall include, but not be limited to, planning, training, and exercises related to preparing for emerging threats and hazards, as well as other activities as the Secretary determines appropriate.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; Federally Recognized Indian Tribal Governments; U.S. Territories and possessions; State; Please refer to the FY2016 Funding Opportunity Announcement.

Beneficiary Eligibility:

U.S. Territories; State; Local; Federally Recognized Indian Tribal Governments; Local governments (as defined by 2 C.F.R. 200.64, includes any unit of government within a state, including a: (a) County; (b) Borough; (c) Municipality; (d) City; (e) Town; (f) Township; (g) Parish; (h) Local public authority, including any public housing agency under the United States Housing Act of 1937; (i) Special district; (j) School district; (k) Intrastate district; (l) Council of governments, whether or not incorporated as a nonprofit corporation under state law; and (m) Any other agency or instrumentality of a multi-, regional, or intrastate or local government; State and territorial governments (includes all 56 States and territories, which consists of any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands); and Federally-recognized Tribal governments.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost

ASSISTANCE LISTINGS DESCRIPTIONS

Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program.

Award Procedure:

Prime recipient(s) and any sub-recipient awards will be based on the following factors: Need: Demonstration of need for funding support, including an explanation of their associated risk, capability gaps, and limitations on federal assistance associated with the emerging threat/hazard. Design and Implementation: Demonstration of an effective and sustainable project approach for achieving program objectives, including the specific project implementation, project management, and regional and whole community approaches.

Impact: Demonstration of the proposed projects impact, including how the project will increase the jurisdictions preparedness and resilience and how collaboration with identified regional partners and whole community stakeholders will enhance project effectiveness. Budget: Demonstration of a reasonable and cost-effective budget, including explanation of reasonable project costs across the requested categories and the projects relative cost effectiveness. Additional priority may be given to those projects that include creative or innovative approaches and/or plans for distributing project results to other jurisdictions.

Deadlines:

October 01, 2016 - October 15, 2016. Please refer to the FY2016 Notice of Funding Opportunity.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Other.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

The expected period of performance is three years from the date of award. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients and sub-recipients will be required to file periodic programmatic reports describing funded activities and a final programmatic report at the conclusion of the period of performance. Recipients are required to submit various financial and programmatic reports as a condition of their award acceptance. Future awards and fund drawdowns may be withheld if these reports are delinquent. Federal Financial Reporting Requirements. Recipients must report obligations and expenditures ported on a quarterly basis through the FFR (SF-425) to FEMA or more frequently should unusual circumstances arise. Recipients must file the FFR electronically using the Payment and Reporting System (PARS). An FFR must be submitted quarterly throughout the period of performance, including partial calendar quarters, as well as for periods where no grant award activity occurs. Future awards and fund drawdowns may be withheld if these reports are delinquent, demonstrate lack of progress, or are insufficient in detail. Recipients may review the Federal Financial Reporting Form (FFR) (SF-425) here:

https://www.whitehouse.gov/sites/default/files/omb/grants/approved_forms/SF-425.pdf, SF-425 OMB #00348-0061. Financial and Compliance Audit Report For audits of fiscal years beginning on or after December 26, 2014, recipients that expend \$750,000 or more from all federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the requirements of GAOs Government Auditing Standards, located at <http://www.gao.gov/govaud/ybk01.htm>, and the requirements of Subpart F of 2 C.F.R. Part 200, located at <http://www.ecfr.gov/cgi-bin/text-idx?SID=55e12eed565605b4d529d82d276105c&node=2:1.1.2.1.1.6&rgn=div6>. Audit reports are currently due to the Federal Audit Clearinghouse no later than

nine months after the end of the recipients fiscal year. Recipients and sub-recipients will be required to file periodic programmatic reports describing funded activities and a final programmatic report at the conclusion of the period of performance. Recipients are responsible for providing updated performance reports using the Performance Progress Report (SF-PPR) on a quarterly basis or more frequently should unusual circumstances arise. The SF-PPR is due within 30 days after the end of the reporting period. Recipients must complete the cover page of the SF-PPR and submit it as an attachment to the ND Grants system. The SF-PPR can be accessed online at <http://www.fema.gov/media-library/assets/documents/29485> OMB #0970-0334. The following reporting periods and due dates apply: Reporting Period Report Due Date October 1 December 31 January 30 January 1 March 31 April 30 April 1 June 30 July 30 July 1 September 30 October 30 Close Out Reporting Requirements. Within 90 days after the end of the period of performance, or after an amendment has been issued to close out a grant, whichever comes first, recipients must submit a final FFR and final progress report detailing all accomplishments and a qualitative summary of the impact of those accomplishments throughout the period of performance. If applicable, an inventory of all construction projects that used funds from this program has to be reported using the Real Property Status Report (Standard Form SF 429) available at http://www.whitehouse.gov/sites/default/files/omb/grants/approved_forms/sf-429.pdf. After these reports have been reviewed and approved by FEMA Grant Programs Directorate and the Program Office, a close-out notice will be completed to close out the grant. The notice will indicate the period of performance as closed, list any remaining funds that will be deobligated, and address the requirement of maintaining the grant records for three years from the date of the final FFR. The recipient is responsible for returning any funds that have been drawn down but remain as unliquidated on recipient financial records. Recipients and sub-recipients will be required to file periodic expenditure reports in compliance with all relevant regulations governing this program. As the awarding agency, FEMA will conduct performance monitoring of the prime recipient(s) related to financial aspects of the award(s). The Prime Recipient(s) will be responsible for conducting programmatic and financial monitoring of subrecipient(s).

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-453.

Obligations:

Project Grants FY 20 \$0.00; FY 21 est \$0.00; FY 22 est \$0.00;

Range and Average of Financial Assistance:

N/A

TAFS Codes:

70-0560.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 200.

Regional or Local Office:

None. N/A

Headquarters Office:

Complex Coordinated Terrorist Attack Program Office, FEMA Complex
Coordinated Terrorist Attack Program Office, FEMA,
500 C Street., SW,

ASSISTANCE LISTINGS DESCRIPTIONS

Washington DC 20472
Email: FEMA-CCTA@fema.dhs.gov
Phone: 1(800) 368-6498

Website Address:

<http://www.FEMA.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Need: Demonstration of need for funding support, including an explanation of their associated risk, capability gaps, and limitations on federal assistance associated with the emerging threat/hazard. Design and Implementation: Demonstration of an effective and sustainable project approach for achieving program objectives, including the specific project implementation, project management, and regional and whole community approaches. Impact: Demonstration of the proposed projects impact, including how the project will increase the jurisdictions preparedness and resilience and how collaboration with identified regional partners and whole community stakeholders will enhance project effectiveness. Budget: Demonstration of a reasonable and cost-effective budget, including explanation of reasonable project costs across the requested categories and the projects relative cost effectiveness. Additional priority may be given to those projects that include creative or innovative approaches and/or plans for distributing project results to other jurisdictions.

97.134 Presidential Residence Protection Security Grant

PRPA Grant,

FEDERAL AGENCY:

FEDERAL EMERGENCY MANAGEMENT AGENCY, HOMELAND SECURITY, DEPARTMENT OF

AUTHORIZATION:

Public Law Department of Homeland Security Appropriations Act, 2020 (Pub. L. No. 116-93)

OBJECTIVES:

To Provide Federal funds to reimburse state and local law enforcement agencies for extraordinary law enforcement personnel costs incurred while protecting any non-governmental residence of the President of the United States that is designated or identified to be secured by the United States Secret Service (USSS).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

DHS/FEMA grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds must be used in accordance with 2 C.F.R. Part 200. Award funds may not be used for matching funds for any other Federal award, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal Government or any other government entity. Costs incurred as a result of normal agency activities (e.g., salaries incurred during normal patrol hours for security operations) are not allowable for reimbursement. Funding shall not be used for hiring new or additional personnel. Funding shall not be used for purchasing equipment.

Applicant Eligibility:

Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals; State; Eligible applicants are limited to state and local law enforcement agencies, either directly or through the State Administrative Agency (SAA), that conducted protection activities associated with any non-governmental residence of the President of the United States designated or identified to be secured by the United States Secret Service. The SAA may apply on behalf of one or more eligible state or local law enforcement agencies. If the SAA submits an application on behalf of any law enforcement agency, those law enforcement

agencies covered under the SAAs application cannot also apply directly to FEMA for funding under the applicable years Notice of Funding Opportunity (NOFO). Multiple applications may result in a delay in reimbursement and/or an application to be denied funding. Coordination between the SAA and any eligible state or local law enforcement agency is therefore encouraged. Local includes designated Indian Tribes, but excludes institutions of higher education and hospitals.

Beneficiary Eligibility:

State; Local;

Credentials/Documentation:

The applicant must include a signed letter from the head of each state or local law enforcement agency for which reimbursement is requested. The certification letter must be addressed to the FEMA Administrator and certify that the protection activities were requested by the Director of the USSS, for all overtime for which reimbursement under this grant is requested. The certifications must be included as separate attachments to the application. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. 2 C.F.R. Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. For more information, refer to the applicable PRPA NOFO. The FY 2021 PRPA NOFO is available online at <http://www.fema.gov/grants> as well as on www.grants.gov.

Award Procedure:

See NOFO for details. In general, the steps in determining an award to eligible applicants are described in the Application Evaluation Criteria, Review and Selection Process, and the Supplemental Financial Integrity Review.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Funding will be awarded as a reimbursement for activities already completed during the period of performance (POP). For the FY 2021 PRPA grant program, the POP is from October 1, 2020 to September 30, 2021. Method of awarding/releasing assistance: refer to applicable Notice of Funding Opportunity. Method of awarding/releasing assistance: other

Reports:

Recipients are required to submit one Programmatic Report. The Programmatic Report is a qualitative narrative summary in the form of a word document on the impact of the reimbursements made to each law enforcement agencies. The Programmatic Report must be submitted only once, during Closeout. Recipients must report obligations and expenditures through the Federal Financial Report

ASSISTANCE LISTINGS DESCRIPTIONS

(FFR) (SF-425) to FEMA. Recipients must file the FFR electronically using the Payment and Reporting Systems (PARS). The FFR must be submitted only once, during Closeout. Monitoring involves the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions, and other support may be needed. Recipients may be monitored through an on-site monitoring visit by DHS/FEMA staff. Recipients are required to submit one Programmatic Report. The Programmatic Report is a qualitative narrative summary in the form of a word document on the impact of the reimbursements made to each law enforcement agencies. The Programmatic Report must be submitted only once, during Closeout. Recipients must report obligations and expenditures through the Federal Financial Report (FFR) (SF-425) to FEMA. Recipients must file the FFR electronically using the Payment and Reporting Systems (PARS). The FFR must be submitted only once, during Closeout. Monitoring involves the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions, and other support may be needed. Recipients may be monitored through an on-site monitoring visit by DHS/FEMA staff. Monitoring involves the review and analysis of the financial, programmatic, performance, compliance and administrative processes, policies, activities, and other attributes of each Federal assistance award and will identify areas where technical assistance, corrective actions, and other support may be needed. Recipients may be monitored through an on-site monitoring visit by DHS/FEMA staff.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, non-federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503 In accordance with the provisions of 2 C.F.R. Part 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 C.F.R. 200.503 Recipients that expend \$750,000 or more from all Federal funding sources during their fiscal year are required to submit an organization-wide financial and compliance audit report. The audit must be performed in accordance with the requirements of the U.S. Government Accountability Offices (GAO) Generally Accepted Government Auditing Standards. The Department of Homeland Security Appropriations Act, 2020, charges the DHS OIG with auditing reimbursements made under the PRES Grant. Evidence that supports the expenses submitted for reimbursement in the Detailed Budget Spreadsheets (e.g., signed and approved time cards that contain detailed descriptions of the services performed or other supporting documentation permitted under 2 C.F.R. 200.430) must be maintained by the non-federal entity and be provided to DHS/FEMA upon request.

Records:

Financial records, supporting documents, statistical records, and all other non-federal entity records pertinent to a federal award generally must be maintained for at least three years from the date the final Federal Financial Report (FFR) is submitted. See 2 C.F.R. 200.333. Further, if the recipient does not submit a final FFR and the award is administratively closed, FEMA uses the date of administrative closeout as the start of the general record retention period. The record retention period may be longer than three years or have a different start date in certain cases. These include: Records for real property and equipment acquired with federal funds must be retained for three years after final disposition of the property. See 2 C.F.R. 200.333(c). If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken. See 2 C.F.R. 200.333(a). The record retention period will be

extended if the recipient is notified in writing of the extension by FEMA, the cognizant or oversight agency for audit, or the cognizant agency for indirect costs. See 2 C.F.R. 200.333(b). Where FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipients fiscal year in which program income is earned. See 2 C.F.R. 200.333(e). For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation. If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation. If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipients fiscal year or other accounting period covered by that indirect cost rate. See 2 C.F.R. 200.333(f).

Account Identification:

07-0171-8-0-413.

Obligations:

Project Grants FY 20 \$40,999,999.00; FY 21 est \$12,700,000.00; FY 22 est \$30,000,000.00;

Range and Average of Financial Assistance:

Since FY 2017, PRPA grant program award amounts have ranged from approximately \$30 thousand to over \$36 million.

TAFS Codes:

70-0413.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The U.S. Department of Homeland Security (DHS) Notice of Funding Opportunity (NOFO) for the Fiscal Year 2020 Presidential Residence Extraordinary Security Grant will be published on www.grants.gov.

Regional or Local Office:

See Regional Assistance Locations. See Regional Assistance Locations. Applicants and recipients should contact their FEMA Headquarters Program Analyst with any questions or concerns. Applicants or recipients that are unsure who their respective FEMA Headquarters Program Analyst is should contact the Centralized Scheduling and Information Desk (CSID). CSID can be reached by phone at (800) 368-6498 or by e-mail at askcsid@fema.dhs.gov, Monday through Friday, 9:00 a.m. 5:00 p.m. ET.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency, 500 C Street SW , Washington, DC 20472, Washington DC 20472
Email: brian.willis@fema.dhs.gov
Phone: (202) 786-9589
Fax:

Website Address:

<http://fema.gov/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

2020 Operational overtime and the backfill overtime activities are the only costs eligible for reimbursement under this grant.

CRITERIA FOR SELECTING PROPOSALS:

FEMA will review each application and make a determination as to the level of reimbursement, if any, after consideration of the information provided in response to the requirements set forth in the PRPA NOFO, and contingent upon available funding. FEMA may request to review source documents to verify allowability of costs prior to making awards. Failure to provide adequate source documentation may result in some or all of the reimbursement requests to be denied.

AGENCY FOR INTERNATIONAL DEVELOPMENT

98.001 USAID Foreign Assistance for Programs

ASSISTANCE LISTINGS DESCRIPTIONS

Overseas

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

The Foreign Assistance Act of 1961.

OBJECTIVES:

The Foreign Assistance Program works to support long-term and equitable economic growth and advance U.S. foreign policy objectives by supporting economic growth, agriculture and trade; global health; and democracy, conflict prevention and humanitarian assistance.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental U.S. organizations and educational institutions, to carry out activities in line with the Agency's objectives in Sub-Saharan Africa; Asia and the Near East; Latin America and the Caribbean, and Europe and Eurasia. Funds generally are limited for direct support of activities conducted outside the United States in furtherance of the Agency's strategic objectives. The majority of funds for these programs is discretionary, and is generally awarded through a competitive process. Examples of activities that may be funded may be found at http://www.fedgrants.gov/Applicants/AID/postdate_1.html/.

Applicant Eligibility:

Anyone/general public; While an individual grant may include limitations on whom may apply, generally any type of applicant may apply.

Beneficiary Eligibility:

Anyone/general public; Beneficiaries are foreign governments, foreign public or private institutions or organizations, or foreign individuals.

Credentials/Documentation:

Applicants who have never received a grant from USAID may be required to provide the following with their application, where appropriate: (1) Copies of the applicant's audited financial statements for the previous three-year period, which have been audited by a certified public accountant or other auditor satisfactory to USAID; (2) Organization chart; and (3) Copies of applicable policies and procedures (e.g., accounting, purchasing, property management, personnel). 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372. NA

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted in response to specific announcements (Requests for Applications or Annual Program Statements) synopsisized and published on the Grants.gov FIND Web site (http://www.fedgrants.gov/Applicants/AID/postdate_1.html). All applications must be submitted using the Standard Form 424. Each announcement provides specific additional instructions regarding the contents of the narrative description of the activity, budget justification and other required information.

Award Procedure:

Official notice of approved application is made by the Agreement Officer through the issuance of a Grant Award or a Cooperative Agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. The range is from 60 to 90 days.

Appeals:

From 1 to 15 days.

Renewals:

Other. Extensions to the project period may be made if deemed appropriate by the Agreement Officer.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants and cooperative agreements may be issued for up to a five-year period, and are generally funded on a 12-month basis. Support beyond the first year is contingent upon the availability of funds. Method of awarding/releasing assistance: other

Reports:

Grantees must submit progress reports. Reporting periods vary from quarterly to annually. Are reports required by the funding agency?

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1095-0-1-151. 72-1093-0-1-151. 72-1010-0-1-151. 72-1037-0-1-151. 72-1021-0-1-151. 72-1035-0-1-151.

Obligations:

Project Grants FY 19 \$1,710,065,408.00; FY 20 est \$1,710,065,408.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$1,710,065,408.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Wade Warren Office of Development Planning Africa Bureau Larry Brady Office of Strategic Planning and Operations Asia Near East Bureau Susan Hill Strategy and Program Office Latin America and Caribbean Bureau Sherry Grossman Program Office Europe and Eurasia Bureau United States Agency for International Development Washington, DC 20523.

Headquarters Office:

Ricardo Willis,
301 4th Street SW,
Washington DC 20547
Email: rwillis@usaid.gov
Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.002 Cooperative Development Program (CDP)

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

The Foreign Assistance Act of 1961,

OBJECTIVES:

Deliver the quality and magnitude of support necessary for CDOs to attract the financial, human, institutional and financial resources necessary to significantly enhance their impact on cooperative development worldwide, contributing to self-reliant cooperative enterprises that meet the evolving needs of their members and contribute to the quality of member lives, their communities and nation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used, among other things, for basic feasibility studies and providing technical assistance or advisory services to cooperatives, private voluntary and other non-governmental organizations, governments and groups of individuals that wish to develop new cooperatives or improve existing cooperatives. Emphasis is given to developing, testing and implementing solutions to major issues facing international cooperative development including, but not limited to, governance, modern management, cooperative legislation and regulation, achieving scale and salience.

Applicant Eligibility:

Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations); Applicants eligible are U.S. cooperatives and recognized cooperative development organizations (CDOs) that are organically-linked to cooperatives and groups of cooperatives and whose demonstrated capabilities are broadly consistent with USAID's strategic objectives.

Beneficiary Eligibility:

Native American Organizations; Cooperatives and their members in USAID eligible countries.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Contact USAID Local Sustainability Office: localsustainability@usaid.gov

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As may be specified in a published Request for Applications. This would normally include, but would not necessarily be limited to Forms SF-424 and 424A, as well as the applicant organization's name, address, contact person and information; project objectives; funding and budget; proposed partners; executive summary, organizational overview, program description, program management and structure; monitoring and impact assessment; cost proposal with budget narrative; planning matrix; staffing plans with resumes of key personnel; letters from proposed partners evidencing knowledge of the program and agreement to participate; evidence of concurrence from USAID missions in countries of proposed implementation; and a summary of USAID-financed activities conducted over the three years preceding the application.

Award Procedure:

Applications are submitted to the Cooperatives Coordinator, Office of Private and Voluntary Cooperation, Democracy, Conflict and Humanitarian Assistance Bureau. All applications are reviewed by committees that include a technical reviewer with

knowledge of the specific area of cooperation concerned as well as knowledgeable USAID officials drawn from different Bureaus. Each application is scored by all participating USAID officials using criteria incorporated in the Request for Applications. Awards are given based on relative scores.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately three to four months from the receipt of applications.

Appeals:

Other. If an application is rejected, the reasons for rejection are fully stated.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative Development Program cooperative agreements are generally for a period of three to five years and may be extended for a total period not to exceed ten years. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

72-1021-0-1-151.

Obligations:

Project Grants FY 19 \$16,000,000.00; FY 20 est \$17,000,000.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$11,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Ricardo Willis,
301 4th Street SW,
Washington DC 20547
Email: rwillis@usaid.gov
Phone: 202-567-4688

Website Address:

ASSISTANCE LISTINGS DESCRIPTIONS

http://www.usaid.gov/hum_response/pvc/coop.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.003 Ocean Freight Reimbursement Program (OFR)

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961,

OBJECTIVES:

Reimburses registered U.S. private voluntary organizations (PVOs) to ship commodities overseas for use in privately funded development and humanitarian assistance programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used to pay transportation charges on shipments by PVOs registered with USAID to further the efficient use of U.S. voluntary contributions for development, relief, and the rehabilitation of friendly people. Participating PVOs are responsible for ancillary costs such as commodity acquisition, warehousing, insurance, local transportation and distribution. OFR awards will not exceed \$150,000 per year.

Applicant Eligibility:

Profit organization; Applicants must be a U.S. PVO registered with USAID's Office of Private and Voluntary Cooperation; receive at least 20 percent of its total annual financial support for its international programs from non-U.S. government sources; ship only those commodities and only to those countries approved by USAID; have staff or consignees in-country to ensure proper pick-up and distribution of commodities; and provide Duty-Free Certification with the application for each country to which commodities will be shipped.

Beneficiary Eligibility:

Profit organization; Registered PVOs in the United States, as well as people located in USAID eligible countries who are in need of humanitarian assistance or relief, benefit from these grants.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As specified in a published Request for Applications. This would include the applicant organization's name, address, contact person and information; project objectives; funding and budget; proposed partners; executive summary, organizational overview, program description, program management and structure; monitoring and consignee receiving distribution system.

Award Procedure:

Awards are made on the basis of competitive Technical Evaluation Committee review of applications. Each application is scored using criteria incorporated in the Request for Applications. Awards are given based on relative scores.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately five to seven months from the receipt of applications.

Appeals:

Other. If an application is rejected, the reasons for rejection are fully stated. Applicants may request a debriefing of the RFA on the strengths and weaknesses of the proposal. Such requests will be routed to the OFR program officer.

Renewals:

Other. OFR Program grant agreements are for a period of two years. Once awarded, projects will not compete for funding the second year. Funding for projects beyond the first year is contingent upon the availability of program funds, the extent to which the recipient meets program objectives and the recipients ability to utilize OFR funds in a timely manner.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

OFR Program grant agreements are for a period of two years. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 OFR grant awards will not exceed \$150,000 for each year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133 (Revised June 27, 2003 in Federal Register).

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

Project Grants FY 19 \$90,000,000.00; FY 20 est \$91,000,000.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$300,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Ricardo Willis,
301 4th Street SW,
Washington DC 20547
Email: rwillis@usaid.gov
Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

ASSISTANCE LISTINGS DESCRIPTIONS

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.004 Non-Governmental Organization Strengthening (NGO)

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

The Foreign Assistance Act of 1961,

OBJECTIVES:

The NGO Strengthening program aims to help local indigenous non-governmental organizations, networks, and intermediate service organizations become more efficient and effective in the delivery of development services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through cooperative agreements with U.S. private voluntary organizations to carry out activities to improve the capacities of local indigenous non-governmental organizations in developing countries.

Applicant Eligibility:

Sponsored organization; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; 1. Applicants must meet the following eligibility requirements. Be registered as a PVO with USAID; Receive at least 20 percent of total annual financial support for its international programs from non-U.S. government sources; and 2. Current Matching Grants recipients are eligible.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Sponsored organization; Direct beneficiaries are foreign private institutions or organizations; indirect beneficiaries are the recipients of improved service delivery in developing countries.

Credentials/Documentation:

Applicants must be registered as a PVO with USAID. In addition, they must provide a 30 percent match for the proposed program; the match may be a combination of cash and in-kind. At a minimum, half of the total required match must be in cash. The Applicant must demonstrate ability to raise the match proposed. Actual and/or expected sources and amounts of the cost-share amount must be stipulated; they must propose in an eligible country or countries; have an established track record (minimum of five years) in planning, managing, monitoring and evaluating overseas development programs and demonstrated experience in local NGO capacity buildings; and, have completed an external evaluation of its NGO capacity strengthening activities within the last three years, not to be confused with a financial audit. PVC cannot finance any of the following programs: Academic research-oriented endeavors; Construction or commodity procurement; or Activities not focused on development, such as short-term emergency relief activities. USAID encourages applications for development activities that focus on conflict prevention, mitigation or resolution programs; Inconsistent with the Establishment Clause. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted in response to a Requests for Applications synopsis in FedGrants and

published on the USAID Web site

(http://www.usaid.gov/procurement_bus_opp/procurement/solicitation/). All applications must be submitted using the Standard Form 424. The RFA provides specific additional instructions regarding the contents of the narrative description of the activity, budget justification and other required information.

Award Procedure:

Official notice of approved application is made by the Agreement Officer through the issuance of a Cooperative Agreement.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The range is from 60 to 90 days.

Appeals:

Other.

Renewals:

Other. Extensions to the project period may be made if deemed appropriate by the Agreement Officer.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements may be issued for a five-year period, and are generally funded on a 12-month basis. Support beyond the first year is contingent upon the availability of funds. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, which In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later ever is later.

Account Identification:

72-1021-0-1-151.

Obligations:

Project Grants FY 19 \$5,000,000.00; FY 20 est \$5,000,000.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$100,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

ASSISTANCE LISTINGS DESCRIPTIONS

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Ricardo Willis,
301 4th Street SW,
Washington DC 20547
Email: rwillis@usaid.gov
Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.005 Institutional Capacity Building (ICB)

(Institutional Capacity Building),

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

The Foreign Assistance Act of 1961,

OBJECTIVES:

To strengthen the capacity of new and experienced Food for Peace Title II Partners, both to plan and implement effective food security programs which reduce vulnerability of food insecure populations, including in emergency situations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Project funds can be used for establishing systems, training staff and strengthening mechanisms for implementing Title II food security programs. Ineligible activities/costs include: (1) Activities which are not in support of existing or planned Title II activities; (2) Disaster-related personnel or activities not associated with food assistance, which otherwise are the responsibility of the Office of Foreign Disaster Assistance (OFDA) or which may be funded by USAID's Regional Bureaus; (3) Procurement of Motor Vehicles.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; Private non-profit institutions/organizations are eligible for this program. Specifically, an organization must be a US Private Voluntary Organization (PVO) or cooperative headquartered in the US, that is registered with USAID as of the date of its application.

Beneficiary Eligibility:

Private nonprofit institution/organization; Other private institution/organization; Private, non-profit institutions/organizations will benefit from this program along with vulnerable and food insecure populations in low income food deficit countries (LIFDCs) and least developed countries. (LDCs).

Credentials/Documentation:

USAID Registration; Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information

is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Technical and Cost Application (including SF 424 and 424a as required by a published Request for Applications.

Award Procedure:

Applications are submitted to the Office of Procurement. All applications are reviewed for completeness by the recipient office and then given to a technical review committee for a competitive review. All committee members using criteria outlined in the Request for Applications score each application. Awards are negotiated based on the scoring of applications technical merit and cost.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately three to four months from submission deadline.

Appeals:

Other.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This funding is available for up to five years under the current program. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB circular No A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations," non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

ASSISTANCE LISTINGS DESCRIPTIONS

Regional or Local Office:**Headquarters Office:**

Ricardo Willis,
301 4th Street SW,
Washington DC 20547
Email: rwillis@usaid.gov
Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.006 Foreign Assistance to American Schools and Hospitals Abroad (ASHA)

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

The Foreign Assistance Act of 1961, as amended, Section 214

OBJECTIVES:

The purpose of the American Schools and Hospitals Abroad (ASHA) program is to furnish assistance to schools and libraries outside the United States founded or sponsored by United States citizens and serving as study and demonstration centers for ideas and practices of the United States, and to hospital centers for medical education and research outside the United States, founded or sponsored by United States citizens. Within this context of the U.S. Foreign Assistance Program, the objectives of the ASHA program are to strengthen overseas schools and hospitals that best demonstrate American ideas and practices, and are likely to survive over a long term.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through grant agreements with private and non-profit U.S. organizations to carry out activities in line with the Agency's objectives. Funds are limited for direct support of activities conducted outside the United States in furtherance of the Agency's strategic objectives. ASHA grants are awarded through a competitive process.

Applicant Eligibility:

Public nonprofit institution/organization (includes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); Pursuant to Section 214 of the Foreign Assistance Act of 1961, as amended, grant assistance is made available to schools and libraries outside the United States founded or sponsored by United States citizens and serving as study and demonstration centers for ideas and practices of the United States, and to hospital centers for medical education and research outside the United States, founded or sponsored by United States citizens. Grants made under this program help such institutions demonstrate to people overseas the achievements of the United States in education and medicine. The applicant must be a non-profit U.S. organization, which either founded or sponsors the institution for which assistance is sought. The applicant, for example, can be a nonprofit university or a tax exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954 as amended. The applicant must demonstrate a continuing supportive relationship with the overseas institution. Evidence of this would be the provision of financial and management support for the institution. Other more detailed eligibility requirements are addressed in the published Request for Applications which appear on the USAID/ASHA web site and www.grants.gov.

Beneficiary Eligibility:

Private nonprofit institution/organization; Public nonprofit institution/organization; Beneficiaries must be institutions located outside the U.S. and must not be under the control or management of a government or any other of its agencies. The majority of the users of these overseas institutions, e.g., students or patients, must be citizens of countries other than the U.S.

Credentials/Documentation:

The overseas institutions must demonstrate competence in professional skills and exhibit sound management and financial practices. An applicant must present a strategy that demonstrates the ability to achieve professional competence, commitment to promote U.S. ideas and practices, and to operate in accordance with sound management and financial practices. Institutions must be open to all persons regardless of race, religion, sex, color, or national origin. All overseas institutions are expected to reflect favorably upon, and to increase the understanding as well as to enhance the image of the United States. An applicant requesting assistance must provide an estimate of the total cost (including cost share and U.S. contribution) for which assistance is requested. Such applicants must also provide information and assurances with respect to right to occupy the premises and/or the land upon which new construction is planned. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. An environmental impact assessment is required for this listing. This program is excluded from coverage under E.O. 12372. Per the United States Agency for International Development Environmental Compliance Procedures, 22 CFR 216.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applications are submitted in response to specific announcements (Requests for Applications) synopsis and published on the grants.gov web site. All applications must be submitted using the Standard Form 424. Each announcement provides specific instructions regarding the contents of the description of the activity, budget documentation and other required information.

Award Procedure:

Following selection for award, a successful applicant will receive an electronic copy of the notice of award signed by the Agreement Officer which serves as the authorizing document. USAID will issue the award to the contacts specified by the applicant in its application documents and /or the Authorized Individuals submitted by the application.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

Other. The range is from 7 to 9 months.

Appeals:

Not Applicable.

Renewals:

Other. Extensions to the project period may be made if deemed appropriate by the Agreement Officer.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants may be issued for up to a 5 year period. Method of awarding/releasing assistance: letter

Reports:

Grantees must submit quarterly progress reports and annual organizational reports. Comprehensive final Project Reports are due no later than 90 days after the completion of projects. Expenditure reports are required 30 days after the end of each progress reporting period.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal

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awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Foreign non-profit organizations, host governments, and sub-recipients that expend \$300,000 or more in USAID awards (i.e., organizations that receive USAID funds either directly or through a prime contractor or recipient) during their fiscal year, must have an annual audit conducted of those funds in accordance with the Guidelines for Financial Audits Contracted by Foreign Recipients as issued in USAID's official Agency regulations ADS Chapter 591.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1021-0-1-151.

Obligations:

Project Grants FY 19 Not Available; FY 20 Estimate Not Available; FY 21 Estimate Not Available; Project Grants FY 19 \$20,000,000.00; FY 20 est \$20,000,000.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$30,000,000.00;

Range and Average of Financial Assistance:

Range from \$500,000 to \$2,000,000 in total USAID funding for a performance period up to a 5 year period starting in fiscal year 2012.

TAFS Codes:

72-1021.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administration policies are in 22 CFR 226, and may be found at <http://www.gpo.gov/nara/cfr/index.html>. Internal USAID policy directives, including standard formats, may be found in Automated Directive Systems (ADS) Chapter 303. The ADS is available on the USAID website at <http://www.usaid.gov/pubs/ads/>. Other information regarding USAID's program may be found at www.usaid.gov.

Regional or Local Office:

None. For general inquiries please contact ASHAinfo@usaid.gov

Headquarters Office:

United States Agency for International Development, Bureau for D
USAID/DCHA/ASHA,
1300 Pennsylvania Ave, NW,
Washington DC 20523
Email: ASHAinfo@usaid.gov
Phone: 2027120510

Website Address:

<https://www.usaid.gov/work-usaid/business-funding/grant-programs/american-schools-and-hospitals-abroad>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Detailed eligibility requirements are addressed in the published Request for Applications which appear grants.gov on the USAID/ASHA web site.

98.007 Food for Peace Development Assistance Program (DAP)

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

The Foreign Assistance Act of 1961,

OBJECTIVES:

To improve access, availability and utilization of food in food insecure environments abroad. The priority for Title II development programs is a focus on improving household nutrition and health status, especially in children and mothers, and on increasing agricultural productivity, including field production, post-harvest handling and transformation and marketing.

TYPES OF ASSISTANCE:

Project Grants, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

U.S. and local Private Voluntary Organizations registered with USAID may submit proposals requesting commodities and cash to carry out food aid programs in food insecure countries. The activities outlined in new DAPs should be in conformity with USAID, host government and multilateral food security assessments and strategies. Coordination with USAID Mission development priorities for the associated countries is highly desirable; program and resources integration is encouraged. However, no proposal will be disapproved solely because it is not integrated. Proposals will be reviewed and considered eligible for approval so long as they do not violate the Bellmon Amendment or other statutory provisions, are consistent with the Food Aid Food Security policy paper, and address the host country's most serious problems in the areas of food access, availability, and utilization.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private non-profit institutions/organizations are eligible for this program. Specifically, an organization must be a Private Voluntary Organization (PVO) or cooperative. PVOs must be registered with USAID as of the date of its application. In order to be awarded a program the organization must also be registered with the Office of Food for Peace.

Beneficiary Eligibility:

Private nonprofit institution/organization; Private, non-profit institutions/organizations will benefit from this program along with vulnerable and food insecure populations in low income food deficit countries (LIFDCs) and least developed countries (LDCs).

Credentials/Documentation:

USAID Registration; Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Application (including SF 424 and 424a) as required by DAP guidelines and application instructions.

Award Procedure:

Applications are submitted to the Office of Food for Peace. All applications are reviewed for completeness by the recipient office and then given to a technical review committee for a competitive review.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Up to 120 days following submission.

Appeals:

Other.

Renewals:

Other. Possible

ASSISTANCE LISTINGS DESCRIPTIONS

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

This funding is available for up to five years under the current program. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB circular No A-133 (Revised June 23, 2003), Audits of States, Local Governments and Non-Profit Organizations, non-federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds and commodities are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

Project Grants FY 19 \$29,000,000.00; FY 20 est \$29,000,000.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$350,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Ricardo Willis,
301 4th Street SW,
Washington DC 20547
Email: rwillis@usaid.gov
Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.008 Food for Peace Emergency Program (EP)

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

The Foreign Assistance Act of 1961,

OBJECTIVES:

To improve access, availability and utilization of food in food insecure environments abroad. The priority for Title II emergency programs is to prevent hunger and malnutrition in food insecure areas or countries.

TYPES OF ASSISTANCE:

Project Grants, Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Private Voluntary Organizations may submit proposals requesting commodities and cash to carry out food aid programs in food insecure areas or countries.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Private non-profit institutions/organizations are eligible for this program. Specifically, an organization must be a Private Voluntary Organization (PVO) or cooperative. If a U.S. based PVO, the organization must be registered with USAID as of the date of its application. In order to be awarded a program the organization must also be registered with the Office of Food for Peace.

Beneficiary Eligibility:

Private nonprofit institution/organization; Private, non-profit institutions/organizations will benefit from this program along with vulnerable and food insecure populations.

Credentials/Documentation:

USAID Registration; Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is required. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Submission of Application (including SF 424 and 424a) in line with Emergency Proposal Guidelines.

Award Procedure:

Unsolicited emergency applications are submitted to the Office of Food for Peace throughout the year to respond to natural disasters, complex emergencies and post-emergency stabilization needs. An emergency appeal or disaster declaration is the "triggering mechanism" that allows FFP to consider requests for emergency food assistance. All applications for assistance are reviewed for completeness and then given a technical review. Awards are negotiated based on a demonstrated need for food aid and the ability of the proposed assistance to respond to the indicated need(s).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. Although there is no binding timeframe, emergency situations often require a quick response. Therefore, FFP/EP works with the objective of completing the proposal review within 21 working days of receipt of a complete proposal. Delays may occur when required information is not included in the proposal.

Appeals:

Other. Cooperating sponsors are given the opportunity to re-work their proposed programs to effectively meet demonstrated need.

Renewals:

Other. Possible.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Emergency Programs are approved for up to one year. The average time frame for an emergency response is six months to a year. Method of awarding/releasing

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assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB circular No A-133 (Revised June 24, 1997), Audits of States, Local Governments and Non-Profit Organizations, non-federal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year except as noted in OMB Circular A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds and commodities are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

Project Grants FY 19 Not Available; FY 20 Estimate Not Available; FY 21 Estimate Not Available; Project Grants FY 19 \$1,000,000,000.00; FY 20 est \$1,000,000,000.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$1,000,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Ricardo Willis,
301 4th Street SW,
Washington DC 20547
Email: rwillis@usaid.gov
Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.009 John Ogonowski Farmer-to-Farmer Program

(Development assistance program).

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961,

OBJECTIVES:

Improve global food production and marketing by transferring technical skills of the U.S. agricultural community to farmers in participating countries. The purpose of the program is to assist farmers in increasing food production and distribution, by improving the effectiveness of farming and marketing operations."

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used to assist developing countries, middle-income countries, emerging markets, sub-Saharan African countries, and Caribbean Basin countries to: 1. increase farm production and farmer incomes by increasing food production and distribution and improving the effectiveness of the farming and marketing operations of agricultural producers in those countries; 2. improve agricultural and agribusiness operations and agricultural systems in those countries, including improving i) animal care and health; ii) field crop cultivation; iii) fruit and vegetable growing; iv) livestock operations; v) food processing and packaging; vi) farm credit; vii) marketing; viii) inputs; and ix) agricultural extension, and strengthen cooperatives and other agricultural groups in those countries, and 3. transfer the knowledge and expertise of United States agricultural producers and businesses, on an individual basis, to those countries while enhancing the democratic process by supporting private and public agriculturally related organizations that request and support technical assistance activities through cash and in-kind services.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Private nonprofit institution/organization (includes institutions of higher education and hospitals); The following types of organizations are eligible to apply for grants: agricultural producers, agriculturalists, colleges and universities (including historically black colleges and universities, land grant colleges or universities, and foundations maintained by colleges or universities), private agribusinesses, private organizations (including grassroots organizations with an established and demonstrated capacity to carry out such a bilateral exchange program), private corporations, and nonprofit farm organizations. Applicants must also be registered with USAID (if a U.S. PVO); and waive profits and/or fees under the USAID grant (if a for-profit business).

Beneficiary Eligibility:

Private nonprofit institution/organization; State; Local; Farms, cooperatives, farmers associations, agricultural and food processing and marketing enterprises, rural finance institutions and in some cases public entities seeking assistance in improving agricultural policy and public services to the agricultural sector in developing countries, middle-income countries, emerging markets, sub-Saharan African countries, and Caribbean Basin countries.

Credentials/Documentation:

Organizations aspiring to implement the John Ogonowski Farmer to Farmer Program, must be registered with USAID (if a U.S. PVO); and waive profits and/or fees under the USAID grant (if a for-profit business). In addition they should provide documentation that demonstrates that they have the organizational capacity to implement the program. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. As may be specified in a published Request for Applications. This would normally include, but would not necessarily be limited to Forms SF-424 and 424A, as well as the applicant organization's name, address, contact person and information; project objectives; funding and budget; proposed partners; executive summary, organizational overview, program description, program management and structure; monitoring and impact assessment; cost proposal with budget narrative; planning matrix; staffing plans with resumes of key personnel; letters from proposed partners evidencing knowledge of the program and agreement to participate; evidence of concurrence from USAID missions in countries of proposed implementation; and a summary of USAID-financed activities conducted over the three years preceding

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the application.

Award Procedure:

As may be specified in a published Request for Applications (RFA). Applications are submitted to the Office of Acquisitions and Assistance, Attn: Farmer-to-Farmer Program, United States Agency for International Development, 1300 Pennsylvania Avenue, Washington DC 20523.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately three to four months from the receipt of applications.

Appeals:

Other. If an application is rejected, the reasons for rejection are fully stated.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Cooperative agreements are generally for a period of five years and may be extended for a total period not to exceed ten years. The length of grants is also governed by the length of the governing legislation. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2278-0-1-151.

Obligations:

Project Grants FY 19 Not Available; FY 20 Estimate Not Available; FY 21 Estimate Not Available; Project Grants FY 19 \$15,000,000.00; FY 20 est \$15,000,000.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$15,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Ricardo Willis,
301 4th Street SW,

Washington DC 20547

Email: rwillis@usaid.gov

Phone: 202-567-4688

Website Address:

http://www.usaid.gov/our_work/agriculture/farmer_to_farmer.htm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.010 Denton Program

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961,

OBJECTIVES:

To put the empty space on U.S. Military transport to good use by providing humanitarian relief transportation for nongovernmental organizations or private citizens, at little or no cost to them.

TYPES OF ASSISTANCE:

Project Grants, Provision of Specialized Services

USES AND USE RESTRICTIONS:

The Denton Program is a commodity transportation program that is jointly administered by the U.S. Agency for International Development (USAID), the Department of State (DOS), and the Department of Defense (DOD). The program provides the authority for DOD to use any extra space on U.S. military cargo aircraft to transport donated goods for humanitarian relief. The minimum and maximum weight allowance for Denton shipments is 2,000 lbs. and 100,000 lbs., respectively. Time and or temperature sensitive cargo, perishable food stuff, pharmaceutical samples, passengers, livestock, hazardous material, and vehicles requiring a special driver are ineligible commodities under the Denton program.

Applicant Eligibility:

Private nonprofit institution/organization (includes institutions of higher education and hospitals); Applicants must be an U.S. based private voluntary organization (PVOs), non-governmental organization (NGOs) or small organization.

Beneficiary Eligibility:

Private nonprofit institution/organization; PVOs, NGOs and International Organizations (IOs) in eligible countries.

Credentials/Documentation:

Evidence that the goods are in usable condition; the consignee in country is legitimate and has the capacity to receive and distribute goods; and the goods are packaged properly and in compliance with U.S. military procedures. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. All prospective donors are encouraged to apply online for U.S. military space available transportation under the Denton program. Information on the guidelines and the application can be accessed at www.dentonfunded.ida.org. The procedures are specified in the application. These include the applicant name, address, contact information; consignee name, address, contact information; the description of beneficiaries, impact areas, distribution plan; the detailed list of items; the cargo weight, volume and U.S. warehouse location; and the duty-free certification.

Award Procedure:

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Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. The Denton Program requires the coordination of USAID, DOS, and DOD throughout the approval process. Applications take from 6-8 weeks to be approved and an additional three months or more (depending upon availability) to receive Department of Defense space-available transport. Neither approval nor transportation is guaranteed.

Appeals:

Other.

Renewals:

Other. Donors may submit only one application per country at a time. They cannot reapply to a country until the prior transportation request has been completed.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Since the Denton program is a space available program, it is impossible to predict when transportation will be provided; therefore, no guarantees can be made regarding completion of a donated humanitarian goods shipment. Method of awarding/releasing assistance: quarterly

Reports:

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503

Records:

Not applicable.

Account Identification:

12-2278-0-1-151.

Obligations:

Project Grants FY 19 Not Available; FY 20 Estimate Not Available; FY 21 Estimate Not Available; Project Grants FY 19 \$0.00; FY 20 est \$0.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$0.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Ricardo Willis,
301 4th Street SW,
Washington DC 20547
Email: rwillis@usaid.gov
Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.011 Global Development Alliance

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961,

OBJECTIVES:

The Global Development Alliance (GDA) business model provides a framework for enabling public-private alliances (PPA's) to carry-out activities that promote international development objectives. Through the leveraging, sharing the cost of resources and pooled expertise, the PPA model seeks to achieve greater impact than individual entities working alone. For further information please visit http://www.usaid.gov/our_work/global_partnerships/gda/.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through grants, cooperative agreements, and Leader-with Associate Grants, to U.S. and non-U.S. for profit and not for profit organizations to carry out activities to enhance development objectives. Potential for-profit applicants should note that USAID policy prohibits the payment of fee/profit to recipients under assistance instruments. Potential partners should note that cash and in-kind contributions, including volunteered services qualify as cost-share or leveraging, however forgone profit does not qualify as cost-sharing or leveraging. The resource contribution of the partners must be at least as great as that requested of USAID to achieve a minimum 1:1 leverage ratio.

Applicant Eligibility:

Federal; State (includes District of Columbia, public institutions of higher education and hospitals); Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals); Public nonprofit institution/organization (includes institutions of higher education and hospitals); Individual/Family; Private nonprofit institution/organization (includes institutions of higher education and hospitals); Other private institutions/organizations; The eligibility criteria for potential alliance partners is broad, and the following list of potential partners is for illustrative purposes: U.S. and non-U.S. Non-Governmental Organizations, U.S. and non-U.S. private businesses, foundations, business and trade associations, international organizations, U.S. and non-U.S. colleges and universities, U.S. cities and states, other U.S. Government agencies, civic groups, other donor governments, host country governments, regional organizations, host country parastals, individual and group philanthropies and funds etc. Non-Governmental Organizations (NGO) do not need to be registered as Private Voluntary Organizations to submit a proposal.

Beneficiary Eligibility:

Federal; Individual/Family; Private nonprofit institution/organization; Other private institution/organization; State; Local; Public nonprofit institution/organization; Beneficiaries are foreign governments, foreign public or private institutions or organizations, and/or the people of the developing world.

Credentials/Documentation:

Applicants who have never received a grant from USAID may be required to provide additional documentation that will be requested by the Operating Unit issuing the grant. 2 CFR 200, Sub-part E - Cost Principles applies to this program.

Preapplication Coordination:

Pre-application coordination is required. An environmental impact statement is required for this listing. This program is excluded from coverage under E.O. 12372.

Application Procedures:

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Applicants are encouraged to apply at individual USAID Missions and operating units. The GDA Secretariat staff is readily available to meet with prospective partner organizations

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and can assist organizations with points of contacts within USAID. The Secretariat may also provide feedback and comments on applicant concept papers. USAID encourages applicants to use the standard format that will be in the formal solicitation to be issued at www.grants.gov.

Award Procedure:

Official notice of approved application is made by the Agreement Officer through the issuance of a Grant Award, Cooperative Agreement or a Leader with Associate Grant.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The range for receiving a response is from 60 to 90 days.

Appeals:

Other.

Renewals:

Other. Extensions to the PPA project period may be made if deemed appropriate by the Agreement Officer. Existing awards may be modified to include alliance components.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

Grants, cooperative agreements and leader with associate grants may be issued for up to a five-year period, and are generally funded on a 12-month basis. Support beyond the first year is contingent upon the availability of funds. Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. In accordance with the provisions of OMB Circular A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 22 CFR Part 226.53, grantees are to maintain accounting records for a minimum of 3 years after the end of the date of submission of the final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

72-1035-0-1-151. 72-1021-0-1-151. 72-1093-0-1-151. 72-1010-0-1-151. 72-1095-0-1-151. 72-1037-0-1-152.

Obligations:

Project Grants FY 19 \$17,095,000.00; FY 20 est \$17,200,000.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$3,500,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Assistance Locations. Jim Thompson, GDA Secretariat, 202-712-4272, United States Agency for International Development, Washington, DC 20523. USAID Mission's contact information can be found at www.usaid.gov.

Headquarters Office:

Ricardo Willis,
301 4th Street SW,
Washington DC 20547
Email: rwillis@usaid.gov
Phone: 202-567-4688

Website Address:

http://www.usaid.gov/our_work/global_partnerships/gda/.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

98.012 USAID Development Partnerships for University Cooperation and Development

FEDERAL AGENCY:

AGENCY FOR INTERNATIONAL DEVELOPMENT

AUTHORIZATION:

U.S. Foreign Assistance Act of 1961,

OBJECTIVES:

The purpose of the program is to support and advance the achievement of USAID's strategic objectives through the application of higher education resources, talents and expertise.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance can be used by U.S. institutions of higher education to collaborate with institutions of higher education in developing countries to conduct projects that address critical development needs. Projects must be advance USAID development objectives in the proposed country of activity. A comprehensive list of prior activities funded under this agreement can be found at www.HEDProgram.org/. Use restrictions are also specified in the terms of each solicitation issued under the cooperative agreement. Additional information regarding the cooperative agreement can be found at www.HEDProgram.org/.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals); US institutions of higher education, including community colleges, are eligible to apply, subject to the terms of any solicitation. Solicitations and any additional eligibility requirements unique to the solicitation are published at www.HEDProgram.org/.

Beneficiary Eligibility:

State; US institutions of higher education, including community colleges, are eligible to apply, subject to the terms of any solicitation. Solicitations and any additional eligibility requirements unique to the solicitation are published at www.HEDProgram.org/.

Credentials/Documentation:

This program is excluded from coverage under 2 CFR 200, Subpart E - Cost Principles.

Preapplication Coordination:

Pre-application coordination is not applicable.

Application Procedures:

ASSISTANCE LISTINGS DESCRIPTIONS

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. Solicitations and respective application procedures are announced via the Higher Education for Development Office. (See www.HEDProgram.org/).

Award Procedure:

Applications and proposals are peer-reviewed. Awards are made to the top proposals under the terms of the solicitation, subject to USAID approval and available funding. The awards are issued under USAID's cooperative agreement with the American Council on Education. Additional information can be found at www.HEDProgram.org/.

Deadlines:

Contact the headquarters or regional location, as appropriate for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicants should expect between 45-90 days for approval or disapproval.

Appeals:

Other.

Renewals:

Other.

Formula and Matching Requirements:

Statutory formula is not applicable to this assistance listing. Matching requirements are not applicable to this assistance listing. MOE requirements are not applicable to this assistance listing.

Length and Time Phasing of Assistance:

varies Method of awarding/releasing assistance: quarterly

Reports:

No reports are required.

Audits:

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, nonfederal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503 In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Non-Profit Organizations, non-federal entities that expand financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

72-1021-0-1-151.

Obligations:

Project Grants FY 19 \$30,000,000.00; FY 20 est \$31,000,000.00; FY 21 Estimate Not Available; Project Grants FY 19 Not Available; FY 20 Estimate Not Available; and FY 21 est \$11,000,000.00;

Range and Average of Financial Assistance:

No Data Available.

TAFS Codes:

72-1000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

Headquarters Office:

Ricardo Willis,
301 4th Street SW,

Washington DC 20547

Email: rwillis@usaid.gov

Phone: 202-567-4688

Website Address:

<http://www.usaid.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

APPENDIX I: LISTINGS REQUIRING EXECUTIVE ORDER 12372 REVIEW

This appendix catalogs listings requiring Executive Order 12372 review. Executive Order 12372 "Intergovernmental Review of Federal Programs," structures the Federal government's system of consultation with State and local governments on its decisions involving grants, other forms of financial assistance, and direct development. Under E.O. 12372, States, in consultation with their local governments, design their own review processes and select those Federal financial assistance and direct development activities they wish to review.

Since October 1, 1983 most States have acted to establish a review and comment system in response to E.O. 12372. In such cases, applicants for grants will need to meet the requirements of the intergovernmental consultation process established by a particular State and its local governments before Federal agencies take action on an application.

For more information on the process a particular State requires to be followed, an applicant should contact the office or official designated as the single point of contact in his or her State. Consult the individual listing description in the Publication to determine whether the listings under which he/she plans to apply is subject to E.O.12372. Consult the Federal agency's application package for instructions on State notification.

AGRICULTURE, DEPARTMENT OF			
10.025	Plant and Animal Disease, Pest Control, and Animal Care (B, Z)	10.960	Technical Agricultural Assistance (B, C)
10.028	Wildlife Services (B, Z)	10.961	Scientific Cooperation and Research (B, C)
10.164	Wholesale Farmers and Alternative Market Development (K, M)	10.962	Cochran Fellowship Program-International Training-Foreign Participant (B, C)
10.351	Rural Business Development Grant (A, B)	COMMERCE, DEPARTMENT OF	
10.377	Agriculture Innovation Center Demonstration Program (B)	11.012	Integrated Ocean Observing System (IOOS) (B)
10.411	Rural Housing Site Loans and Self Help Housing Land Development Loans (E)	11.016	Statistical, Research, and Methodology Assistance (B)
10.415	Rural Rental Housing Loans (B, E)	11.020	Cluster Grants (B)
10.433	Rural Housing Preservation Grants (B)	11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)
10.438	Section 538 Rural Rental Housing Guaranteed Loans (F)	11.024	BUILD TO SCALE (B)
10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)	11.031	Broadband Infrastructure Program (B)
10.464	Socially Disadvantaged Farmers and Ranchers Policy Research Center (B)	11.112	Market Development Cooperator Program (B)
10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)	11.300	Investments for Public Works and Economic Development Facilities (B)
10.553	School Breakfast Program (A)	11.302	Economic Development Support for Planning Organizations (B)
10.555	National School Lunch Program (A)	11.307	Economic Adjustment Assistance (B)
10.557	WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A, B)	11.312	Research and Evaluation Program (B)
10.560	State Administrative Expenses for Child Nutrition (A)	11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)
10.561	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A, Z)	11.407	Interjurisdictional Fisheries Act of 1986 (A)
10.566	Nutrition Assistance For Puerto Rico (C, Z)	11.408	Fishermen's Contingency Fund (D)
10.568	Emergency Food Assistance Program (Administrative Costs) (A)	11.415	Fisheries Finance Program (E)
10.600	Foreign Market Development Cooperator Program (A, C)	11.419	Coastal Zone Management Administration Awards (A, B)
10.601	Market Access Program (A, C)	11.420	Coastal Zone Management Estuarine Research Reserves (B)
10.603	Emerging Markets Program (B, C)	11.426	Financial Assistance for National Centers for Coastal Ocean Science (B)
10.604	Technical Assistance for Specialty Crops Program (B, C)	11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
10.605	Quality Samples Program (B, C)	11.429	Marine Sanctuary Program (B)
10.606	Food for Progress (C, H)	11.433	Marine Fisheries Initiative (B)
10.613	Faculty Exchange Program (B, C)	11.434	Cooperative Fishery Statistics (B)
10.617	PL-480 Market Development and Technical Assistance (B)	11.435	Southeast Area Monitoring and Assessment Program (B)
10.618	Agricultural Trade Promotion Program (B)	11.436	Columbia River Fisheries Development Program (B)
10.619	International Agricultural Education Fellowship Program (B)	11.437	Pacific Fisheries Data Program (B)
10.620	Scientific Exchanges Program (B)	11.438	Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)
10.754	Higher Blends Infrastructure Incentive Program (A)	11.439	Marine Mammal Data Program (B)
10.760	Water and Waste Disposal Systems for Rural Communities (B, E, F)	11.452	Unallied Industry Projects (B)
10.763	Emergency Community Water Assistance Grants (B)	11.454	Unallied Management Projects (B)
10.766	Community Facilities Loans and Grants (B, E, F)	11.455	Cooperative Science and Education Program (B)
10.770	Water and Waste Disposal Loans and Grants (Section 306C) (B, E)	11.457	Chesapeake Bay Studies (B)
10.777	Norman E. Borlaug International Agricultural Science and Technology Fellowship (B, C)	11.463	Habitat Conservation (B)
10.865	Biorefinery Assistance (C, F)	11.467	Meteorologic and Hydrologic Modernization Development (B, D, L, M)
10.874	Delta Health Care Services Grant Program (B)	11.472	Unallied Science Program (B)
10.904	Watershed Protection and Flood Prevention (B, K, Z)	11.473	Office for Coastal Management (B)
10.916	Watershed Rehabilitation Program (B, C, K, Z)	11.474	Atlantic Coastal Fisheries Cooperative Management Act (B)
10.951	Agricultural Statistics (L)	11.482	Coral Reef Conservation Program (B, Z)
		11.999	Marine Debris Program (B, Z)

APPENDIX I: LISTINGS REQUIRING EXECUTIVE ORDER 12372 REVIEW

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.013 Volunteers in Service to America (J)

DEPT OF DEFENSE

12.002 Procurement Technical Assistance For Business Firms (B)
 12.003 Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (B)
 12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services (B, Z)
 12.600 Community Investment (B, C, L)
 12.604 Community Economic Adjustment Assistance for Reductions in Defense Spending (B, L)
 12.607 Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)
 12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)
 12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)
 12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)
 12.615 Research and Technical Assistance (B)
 12.617 Economic Adjustment Assistance for State Governments (B)
 12.618 Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)
 12.750 Uniformed Services University Medical Research Projects (B, Z)
 12.902 Information Security Grants (B)
 12.905 CyberSecurity Core Curriculum (B)

EDUCATION, DEPARTMENT OF

84.004 Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (B)
 84.011 Migrant Education State Grant Program (A)
 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)
 84.016 Undergraduate International Studies and Foreign Language Programs (B)
 84.027 Special Education Grants to States (A)
 84.031 Higher Education Institutional Aid (B)
 84.042 TRIO Student Support Services (B)
 84.044 TRIO Talent Search (B)
 84.047 TRIO Upward Bound (B)
 84.060 Indian Education Grants to Local Educational Agencies (A)
 84.066 TRIO Educational Opportunity Centers (B)
 84.103 TRIO Staff Training Program (B)
 84.116 Fund for the Improvement of Postsecondary Education (B)
 84.129 Rehabilitation Long-Term Training (B)
 84.141 Migrant Education High School Equivalency Program (B)
 84.144 Migrant Education Coordination Program (B)
 84.145 Federal Real Property Assistance Program (H)
 84.149 Migrant Education College Assistance Migrant Program (B)
 84.153 Business and International Education Projects (B)
 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)
 84.165 Magnet Schools Assistance (B)
 84.173 Special Education Preschool Grants (A)
 84.181 Special Education-Grants for Infants and Families (A)
 84.184 School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (B)
 84.191 Adult Education National Leadership Activities (B, C)
 84.206 Javits Gifted and Talented Students Education (B)
 84.217 TRIO McNair Post-Baccalaureate Achievement (B)
 84.220 Centers for International Business Education (B)
 84.235 Rehabilitation Services Demonstration and Training Programs (B)
 84.263 Innovative Rehabilitation Training (B)

84.264 Rehabilitation Training Technical Assistance Centers (B)
 84.274 American Overseas Research Centers (B)
 84.283 Comprehensive Centers (B)
 84.295 Ready-To-Learn Television (B)
 84.299 Indian Education -- Special Programs for Indian Children (B)
 84.315 Traditionally Underserved Populations (B)
 84.323 Special Education - State Personnel Development (B)
 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)
 84.328 Special Education Parent Information Centers (B)
 84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)
 84.335 Child Care Access Means Parents in School (B)
 84.336 Teacher Quality Partnership Grants (B)
 84.351 Arts in Education (B)
 84.354 Credit Enhancement for Charter School Facilities (B)
 84.370 DC Opportunity Scholarship Program (B)
 84.373 Special Education Technical Assistance on State Data Collection (B)
 84.374 Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)
 84.382 Strengthening Minority-Serving Institutions (B)
 84.415 Indian Education National Activities (State Tribal Education Partnership (STEP) and Native American Language (NAL) (B)
 84.423 Supporting Effective Educator Development Program (B)

HEALTH AND HUMAN SERVICES, DEPARTMENT OF

93.042 Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)
 93.043 Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)
 93.044 Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)
 93.045 Special Programs for the Aging, Title III, Part C, Nutrition Services (A)
 93.051 Alzheimer's Disease Demonstration Grants to States (B)
 93.053 Nutrition Services Incentive Program (A)
 93.062 Biomonitoring Programs for State Public Health Laboratories (B)
 93.069 Public Health Emergency Preparedness (B)
 93.070 Environmental Public Health and Emergency Response (B)
 93.079 Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)
 93.082 Sodium Reduction in Communities (B)
 93.083 Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)
 93.084 Prevention of Disease, Disability, and Death by Infectious Diseases (B)
 93.087 Enhance Safety of Children Affected by Substance Abuse (B)
 93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)
 93.129 Technical and Non-Financial Assistance to Health Centers (B)
 93.134 Grants to Increase Organ Donations (B)
 93.137 Community Programs to Improve Minority Health Grant Program (B)
 93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)
 93.155 Rural Health Research Centers (B)
 93.161 Health Program for Toxic Substances and Disease Registry (B)
 93.184 Disabilities Prevention (B)
 93.185 Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)
 93.197 Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)
 93.211 Telehealth Programs (B)

APPENDIX I: LISTINGS REQUIRING EXECUTIVE ORDER 12372 REVIEW

93.223	Development and Coordination of Rural Health Services (B)	93.602	Assets for Independence Demonstration Program (B, M)
93.224	Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)	93.604	Assistance for Torture Victims (B, C, Z)
93.234	Traumatic Brain Injury State Demonstration Grant Program (B)	93.618	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A, B)
93.240	State Capacity Building (B)	93.643	Children's Justice Grants to States (A)
93.243	Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)	93.645	Stephanie Tubbs Jones Child Welfare Services Program (A)
93.253	Poison Center Support and Enhancement Grant (B)	93.649	Nutrition and Physical Activity Programs (B)
93.257	Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	93.652	Adoption Opportunities (B)
93.260	Family Planning Personnel Training (B)	93.665	Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 (B)
93.268	Immunization Cooperative Agreements (B)	93.669	Child Abuse and Neglect State Grants (A)
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	93.670	Child Abuse and Neglect Discretionary Activities (B)
93.276	Drug-Free Communities Support Program Grants (B)	93.702	National Center for Research Resources, Recovery Act Construction Support (B)
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	93.759	Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (B)
93.305	PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (B)	93.788	Opioid STR (A)
93.317	Emerging Infections Programs (B)	93.792	Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (B)
93.323	Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (B)	93.796	State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)
93.325	Paralysis Resource Center (B)	93.799	CARA Act – Comprehensive Addiction and Recovery Act of 2016 (A, B)
93.336	Behavioral Risk Factor Surveillance System (B)	93.800	Organized Approaches to Increase Colorectal Cancer Screening (B)
93.352	Construction Support (B)	93.810	Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)
93.365	Sickle Cell Treatment Demonstration Program (B)	93.814	Partner support for heart disease and stroke prevention (B)
93.366	State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (B)	93.816	Preventing Heart Attacks and Strokes in High Need Areas (B)
93.369	ACL Independent Living State Grants (A)	93.833	Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)
93.422	National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (B)	93.834	Capacity Building Assistance (CBA) for High-Impact HIV Prevention (B)
93.426	Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (B)	93.843	ACL Assistive Technology State Grants for Protection and Advocacy (A)
93.431	Networking2Save™: CDC's National Network Approach to Preventing and Controlling Tobacco-related Cancers in Special Populations (B)	93.844	ACL Centers for Independent Living, Recovery Act (B)
93.432	ACL Centers for Independent Living (B)	93.845	Promoting Population Health through Increased Capacity in Alcohol Epidemiology (B)
93.435	Innovative State and Local Public Health Strategies to prevent and Manage Diabetes and Heart Disease and Stroke- (B)	93.870	Maternal, Infant and Early Childhood Home Visiting Grant (A, B)
93.436	WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (WISEWOMAN) (B)	93.898	Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (B)
93.439	State Physical Activity and Nutrition (SPAN) (B)	93.910	Family and Community Violence Prevention Program (B)
93.464	ACL Assistive Technology (A, B)	93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement (B)
93.479	Good Health and Wellness in Indian Country (B)	93.913	Grants to States for Operation of State Offices of Rural Health (B)
93.496	Family Violence Prevention and Services/Culturally Specific Domestic Violence and Sexual Violence Services (B)	93.914	HIV Emergency Relief Project Grants (B)
93.497	Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports (A)	93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)
93.500	Pregnancy Assistance Fund Program (B)	93.926	Healthy Start Initiative (B)
93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)	93.939	HIV Prevention Activities Non-Governmental Organization Based (B)
93.527	Grants for New and Expanded Services under the Health Center Program (B)	93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)
93.534	Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)	93.942	Research, Prevention, and Education Programs on Lyme Disease in the United States (B)
93.556	MaryLee Allen Promoting Safe and Stable Families Program (A, B)	93.945	Assistance Programs for Chronic Disease Prevention and Control (B)
93.563	Child Support Enforcement (A)	93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)
93.564	Child Support Enforcement Research (B)	93.965	Coal Miners Respiratory Impairment Treatment Clinics and Services (B)
93.570	Community Services Block Grant Discretionary Awards (B)	93.977	Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)
93.590	Community-Based Child Abuse Prevention Grants (A, B)	93.981	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)
93.592	Family Violence Prevention and Services/Discretionary (B)	93.994	Maternal and Child Health Services Block Grant to the States (A)
93.597	Grants to States for Access and Visitation Programs (A)		
93.598	Services to Victims of a Severe Form of Trafficking (B, C)		
93.600	Head Start (B, C)		

APPENDIX I: LISTINGS REQUIRING EXECUTIVE ORDER 12372 REVIEW

HOMELAND SECURITY, DEPARTMENT OF

97.005	State and Local Homeland Security National Training Program (B)
97.007	Homeland Security Preparedness Technical Assistance Program (B)
97.008	Non-Profit Security Program (A, B)
97.023	Community Assistance Program State Support Services Element (CAP-SSSE) (B)
97.024	Emergency Food and Shelter National Board Program (B)
97.025	National Urban Search and Rescue (US&R) Response System (B)
97.029	Flood Mitigation Assistance (B)
97.030	Community Disaster Loans (E)
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
97.039	Hazard Mitigation Grant (B)
97.040	Chemical Stockpile Emergency Preparedness Program (B)
97.041	National Dam Safety Program (B)
97.042	Emergency Management Performance Grants (A)
97.043	State Fire Training Systems Grants (B)
97.045	Cooperating Technical Partners (B)
97.047	BRIC: Building Resilient Infrastructure and Communities (B)
97.048	Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B, C)
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
97.052	Emergency Operations Center (B)
97.056	Port Security Grant Program (B)
97.067	Homeland Security Grant Program (A)
97.070	Map Modernization Management Support (B, Z)
97.075	Rail and Transit Security Grant Program (B)
97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)
97.089	Driver's License Security Grant Program (B)
97.091	Homeland Security Biowatch Program (B, I, Z)
97.092	Repetitive Flood Claims (B)
97.107	National Incident Management System (NIMS) (B)
97.110	Severe Repetitive Loss Program (B)
97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)
97.120	Rural Emergency Medical Communications Demonstration Project (B, Z)
97.131	Emergency Management Baseline Assessments Grant (EMBAG) (C)
97.132	Financial Assistance for Targeted Violence and Terrorism Prevention (B)
97.134	Presidential Residence Protection Security Grant (B)

HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

14.126	Mortgage Insurance Cooperative Projects (F)
14.128	Mortgage Insurance Hospitals (F)
14.129	Mortgage Insurance Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)
14.135	Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
14.138	Mortgage Insurance Rental Housing for the Elderly (F)
14.139	Mortgage Insurance Rental Housing in Urban Renewal Areas (F)
14.151	Supplemental Loan Insurance Multifamily Rental Housing (F)
14.157	Supportive Housing for the Elderly (B, C)
14.181	Supportive Housing for Persons with Disabilities (B, C)
14.188	Housing Finance Agencies (HFA) Risk Sharing (F)
14.218	Community Development Block Grants/Entitlement Grants (A, B)
14.279	Specialized Housing and Services for Victims of Human Trafficking (B)
14.850	Public and Indian Housing (A, C)
14.878	Affordable Housing Development in Main Street Rejuvenation Projects (B)
14.920	Lead Hazard Control for High Risk Areas (B)

INTERIOR, DEPARTMENT OF THE

15.011	Experienced Services Program (B)
15.015	Good Neighbor Authority (B)
15.016	Experienced Services Program (B)
15.224	Cultural and Paleontological Resources Management (B)
15.230	Invasive and Noxious Plant Management (B, M)
15.231	Fish, Wildlife and Plant Conservation Resource Management (B, M)
15.232	Joint Fire Science Program (B)
15.233	Forest and Woodlands Resource Management (B)
15.234	Secure Rural Schools and Community Self-Determination (B, M)
15.235	Southern Nevada Public Land Management (B)
15.236	Environmental Quality and Protection (B)
15.237	Rangeland Resource Management (B)
15.238	Challenge Cost Share (B)
15.239	Management Initiatives (B)
15.241	Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B, M)
15.243	Youth Conservation Opportunities on Public Lands (B)
15.244	Fisheries and Aquatic Resources Management (B)
15.245	Plant Conservation and Restoration Management (B, M)
15.246	Threatened and Endangered Species (B)
15.247	Wildlife Resource Management (B)
15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B, C)
15.252	Abandoned Mine Land Reclamation (AMLR) (A, B)
15.423	Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (B)
15.424	Marine Minerals Activities (B)
15.427	Federal Oil and Gas Royalty Management State and Tribal Coordination (B)
15.443	Not For Profit (B)
15.444	Take Pride (B)
15.506	Water Desalination Research and Development (B)
15.509	Title II, Colorado River Basin Salinity Control (B)
15.512	Central Valley Improvement Act, Title XXXIV (B)
15.521	Lower Rio Grande Valley Water Resources Conservation and Improvement (B)
15.524	Recreation Resources Management (B)
15.529	Upper Colorado and San Juan River Basins Endangered Fish Recovery (B)
15.538	Lower Colorado River Multi-Species Conservation (B)
15.548	Reclamation Rural Water Supply (B)
15.554	Cooperative Watershed Management (B)
15.571	Salton Sea Program (B)
15.605	Sport Fish Restoration (A)
15.608	Fish and Wildlife Management Assistance (B)
15.611	Wildlife Restoration and Basic Hunter Education (A)
15.614	Coastal Wetlands Planning, Protection and Restoration (B)
15.615	Cooperative Endangered Species Conservation Fund (B)
15.616	Clean Vessel Act (B)
15.622	Sportfishing and Boating Safety Act (B)
15.623	North American Wetlands Conservation Fund (B)
15.626	Enhanced Hunter Education and Safety (A)
15.628	Multistate Conservation Grant (B)
15.630	Coastal (B)
15.633	Landowner Incentive (B)
15.634	State Wildlife Grants (A, B)
15.635	Neotropical Migratory Bird Conservation (B)
15.637	Migratory Bird Joint Ventures (B)
15.647	Migratory Bird Conservation (B)
15.648	Central Valley Project Improvement Act (CVPIA) (B)
15.649	Service Training and Technical Assistance (Generic Training) (B)

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15.650	Research Grants (Generic) (B)	16.540	Juvenile Justice and Delinquency Prevention (A, B, M)
15.654	National Wildlife Refuge System Enhancements (B)	16.544	Youth Gang Prevention (A, B)
15.655	Migratory Bird Monitoring, Assessment and Conservation (B)	16.550	State Justice Statistics Program for Statistical Analysis Centers (B)
15.656	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement (B)	16.554	National Criminal History Improvement Program (NCHIP) (B)
15.657	Endangered Species Recovery Implementation (B)	16.556	State Domestic Violence and Sexual Assault Coalitions (A, B)
15.658	Natural Resource Damage Assessment and Restoration (B)	16.578	Public Benefit Conveyance Program (H)
15.660	Candidate Species Conservation (B)	16.587	Violence Against Women Discretionary Grants for Indian Tribal Governments (B)
15.661	Lower Snake River Compensation Plan (B)	16.588	Violence Against Women Formula Grants (A)
15.662	Great Lakes Restoration (B)	16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)
15.664	Fish and Wildlife Coordination and Assistance (B)	16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)
15.665	National Wetlands Inventory (B)	16.593	Residential Substance Abuse Treatment for State Prisoners (A)
15.666	Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)	16.606	State Criminal Alien Assistance Program (C)
15.667	Highlands Conservation (B)	16.609	Project Safe Neighborhoods (B)
15.668	Coastal Impact Assistance (A)	16.610	Regional Information Sharing Systems (B)
15.669	Cooperative Landscape Conservation (B)	16.614	State and Local Anti-Terrorism Training (B, K, L, M)
15.670	Adaptive Science (B)	16.710	Public Safety Partnership and Community Policing Grants (B)
15.674	National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L, M)	16.726	Juvenile Mentoring Program (B)
15.676	Youth Engagement, Education, and Employment (B)	16.736	Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)
15.677	Hurricane Sandy Disaster Relief Activities-FWS (B)	16.738	Edward Byrne Memorial Justice Assistance Grant Program (A, B)
15.678	Cooperative Ecosystem Studies Units (B)	16.741	DNA Backlog Reduction Program (A, B)
15.679	Combating Wildlife Trafficking (B)	16.742	Paul Coverdell Forensic Sciences Improvement Grant Program (A, B)
15.680	Mexican Wolf Recovery (B)	16.752	Economic, High-Tech, and Cyber Crime Prevention (B)
15.683	Prescott Marine Mammal Rescue Assistance (B)	16.823	Emergency Planning for Juvenile Justice Facilities (B)
15.684	White-nose Syndrome National Response Implementation (B)	16.827	Justice Reinvestment Initiative (B)
15.875	Economic, Social, and Political Development of the Territories (A, B, C, D)	16.835	Body Worn Camera Policy and Implementation (A, B, I, J, K, L, M, N)
15.904	Historic Preservation Fund Grants-In-Aid (A, B)	16.836	Indigent Defense (B)
15.916	Outdoor Recreation Acquisition, Development and Planning (A, B)	16.888	Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)
15.923	National Center for Preservation Technology and Training (B)	16.889	Grants for Outreach and Services to Underserved Populations (B)
15.926	American Battlefield Protection (B)		
15.928	Battlefield Land Acquisition Grants (B)		
15.930	Chesapeake Bay Gateways Network (B)		
15.935	National Trails System Projects (B)		
15.941	Mississippi National River and Recreation Area State and Local Assistance (B, K)		
15.982	Radium Remediation at Land-Grant Universities (B)		

JUSTICE, DEPARTMENT OF

16.016	Culturally and Linguistically Specific Services Program (B)
16.017	Sexual Assault Services Formula Program (A)
16.019	Tribal Registry (B)
16.021	Justice Systems Response to Families (B)
16.023	Sexual Assault Services Culturally Specific Program (B)
16.024	Tribal Sexual Assault Services Program (B)
16.025	Special Domestic Violence Criminal Jurisdiction Implementation (B)
16.026	OVW Research and Evaluation Program (B)
16.027	National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)
16.028	Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)
16.029	Office on Violence Against Women Special Projects (B)
16.034	Coronavirus Emergency Supplemental Funding Program (A)
16.038	National Decertification Index (B)
16.524	Legal Assistance for Victims (B)
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)
16.526	OVW Technical Assistance Initiative (B)
16.528	Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)

LABOR, DEPARTMENT OF

17.235	Senior Community Service Employment Program (A, B)
17.258	WIOA Adult Program (A)
17.259	WIOA Youth Activities (A)
17.278	WIOA Dislocated Worker Formula Grants (A)
17.281	WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)
17.801	Jobs for Veterans State Grants (A)

STATE, DEPARTMENT OF

19.221	Regional Democracy Program (B)
19.500	Middle East Partnership Initiative (B)
19.600	Bureau of Near Eastern Affairs (B)

TRANSPORTATION, DEPARTMENT OF

20.106	Airport Improvement Program and COVID-19 Airports Programs (B, K)
20.108	Aviation Research Grants (B, I)
20.109	Air Transportation Centers of Excellence (B, I, J)
20.205	Highway Planning and Construction (A, B, Z)
20.219	Recreational Trails Program (A)
20.224	Federal Lands Access Program (A, B)
20.231	Performance and Registration Information Systems Management (B)
20.301	Railroad Safety (B)
20.313	Railroad Research and Development (B)
20.314	Railroad Development (B)
20.318	Maglev Project Selection Program - SAFETEA-LU (B)

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20.320	Rail Line Relocation and Improvement (B)
20.321	Railroad Safety Technology Grants (B)
20.324	Restoration and Enhancement (B)
20.325	Consolidated Rail Infrastructure and Safety Improvements (B)
20.326	Federal-State Partnership for State of Good Repair (B)
20.500	Federal Transit Capital Investment Grants (A, B)
20.505	Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (A)
20.507	Federal Transit Formula Grants (A)
20.509	Formula Grants for Rural Areas and Tribal Transit Program (A)
20.513	Enhanced Mobility of Seniors and Individuals with Disabilities (A, B)
20.514	Public Transportation Research, Technical Assistance, and Training (B, C, L, M)
20.516	Job Access and Reverse Commute Program (B)
20.520	Paul S. Sarbanes Transit in the Parks (B)
20.526	Buses and Bus Facilities Formula, Competitive, and Low or No Emissions Programs (A, B)
20.527	Public Transportation Emergency Relief Program (B)
20.529	Bus Testing (B)
20.530	Public Transportation Innovation (B, C, L, M)
20.531	Technical Assistance and Workforce Development (B, C, L, M)
20.600	State and Community Highway Safety (A)
20.607	Alcohol Open Container Requirements (A, B)
20.608	Minimum Penalties for Repeat Offenders for Driving While Intoxicated (A, B)
20.611	Incentive Grant Program to Prohibit Racial Profiling (A)
20.615	E-911 Grant Program (A)
20.616	National Priority Safety Programs (A)
20.700	Pipeline Safety Program State Base Grant (A)
20.703	Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
20.723	PHMSA Pipeline Safety Research and Development "Other Transaction Agreements" (B)
20.725	PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)
20.816	America's Marine Highway Grants (B)
20.934	Nationally Significant Freight and Highway Projects (B)

TREASURY, DEPARTMENT OF THE

21.006	Tax Counseling for the Elderly (B)
21.017	Social Impact Partnerships to Pay for Results Act (SIPPRA) (B)

VETERANS AFFAIRS, DEPARTMENT OF

64.005	Grants to States for Construction of State Home Facilities (B)
64.203	Veterans Cemetery Grants Program (B)

APPENDIX II: BUDGET FUNCTIONAL CODE APPENDIX

FINANCIAL INFORMATION

Account Identification - This 11-digit budget code identifies the account from which an assistance listing is funded as expressed in the Appendix to the Federal Budget. For identification code 75-0350-0-1-551, the first two digits represent the Federal agency code, and the third through sixth digits identify the appropriation (or fund) basic account symbol assigned by the Treasury Department. Where two or more accounts with different Treasury basic account symbols are included in a consolidated assistance listing, 99 will be the third and fourth digits, the fifth digit will be the fund type and the sixth digit will reflect the sequence of consolidated assistance listings for that fund type within the agency. The seventh digit identifies the timing of transmittal of budget estimates:

1. 0: Regular budget schedules
2. 1: Supplemental appropriation
3. 2: Legislative proposal, not subject to PAYGO
4. 3: Appropriations language to be transmitted later
5. 4: Legislative proposal, subject to PAYGO
6. 5: Recession proposal
7. 6: Adjustments to 1996 continuing resolution levels

The eighth digit identifies the type of government fund involved:

1: General fund - General Fund Appropriation Accounts - Accounts established to record amounts appropriated by law to be expended for the general support of the Government. General Fund Receipt Accounts - Accounts credited with all receipts that are not earmarked by law for a specific purpose.

2: Special fund - Special Fund Appropriation Accounts - Accounts established to record appropriated amounts of special fund receipts to be expended for special programs in accordance with specific provisions of law. Special Fund Receipt Accounts - Accounts credited with receipts from specific sources that are earmarked by law for a specific purpose.

3: Public Enterprise Fund Accounts - Funds authorized by Congress to be credited with receipts primarily from the public, that are generated by, and earmarked to finance, a continuing cycle of business-type operations.

4: Intragovernmental Revolving or Management Fund Intragovernmental Fund Accounts - Accounts established to facilitate financing transactions within and between Federal agencies. These funds may be classified as intragovernmental revolving funds or management funds. Intragovernmental Revolving Fund Accounts - Funds authorized by law to carry out a cycle of intragovernmental business-type operations. These funds are credited with offsetting collections from other agencies and accounts.

7: Trust Fund (non-revolving) - Trust funds are established to account for the receipt and expenditure of monies by the Government for carrying out specific purposes and listings in accordance with the terms of a statute that designates the fund as a trust fund (such as the Highway Trust Fund) or for carrying out the stipulations of a trust agreement (such as any of several trust funds for gifts and donations for specific purposes). In a trust non-revolving fund, the receipts designated for the trust fund are in a separate account.

8: Trust Fund (revolving) - In a trust revolving fund, the fund is credited with collections earmarked by law to carry out a cycle of business-type operations.

9: For use when account consolidations cross fund types. The last three digits represent the functional classification (code) used in the latest Federal Budget document, unless noted otherwise by the Office of Management and Budget. In cases where a listing is split between two or more sub functions: (a) if all sub functions are in the same major function, the code is the same as for the major function; or (b) if two or more major functions are involved the digits '999' are used. (Example: 75-1361-0-1-550)

1. A: Formula Grants
2. B: Project Grants
3. C: Direct Payments for Specified Use
4. D: Direct Payments with Unrestricted Use
5. E: Direct Loans
6. F: Guaranteed/Insured Loans
7. G: Insurance
8. H: Sale, Exchange, or Donation of Property and Goods
9. I: Use of Property, Facilities, and Equipment
10. J: Provision of Specialized Services
11. K: Advisory Services and Counseling
12. L: Dissemination of Technical Information
13. M: Training
14. N: Investigation of Complaints
15. O: Federal Employment
16. Z: Salaries and Expenses

Listed below are major functions and sub-functions as used in the budget document to show allocations of budget authority and outlays according to end purposes without regard to agency jurisdiction. The central nature of each major function is identified and defined. Publication assistance listings are listed following each applicable sub function (or major function). Note that some sub functions are not applicable to Publication assistance listings. The alphabet(s) in parentheses following the listing title, shows the type(s) of assistance available through that assistance listing. The alphabet codes with accompanying types of assistance are as follows:

APPENDIX II: BUDGET FUNCTIONAL CODE APPENDIX

(050) National Defense

This function includes those programs directly related to the common defense and security of the United States. It includes the raising, equipping, and maintaining of armed forces (including civilian supporting activities), development and utilization of weapons systems (including nuclear weapons), and related programs. National defense includes direct compensation and benefits paid to active military and civilian personnel; contributions to their retirement, health, and life insurance funds; the conduct of defense research, development, testing, and evaluation; and procurement, construction, stockpiling, and other activities undertaken with the direct object of fostering national security. Excluded from national defense are benefits or compensation to veterans and their dependents (veterans benefits are generally available to personnel who served the country in national emergencies; benefits for career military personnel are generally charged as a cost to the national defense function) and military retired pay, which is included in the income security function. Also excluded from national defense is the peaceful conduct of foreign relations; foreign military, economic, and humanitarian assistance; subsidies to business by civilian agencies (such as maritime subsidies) that may be partially justified as promoting national security; and research and operations of agencies (such as space research) whose program mission is not directly designed to promote national defense but which could result in some significant benefits to our national security.

81.137 Minority Economic Impact (A, B)

(051) Department of Defense-Military

The entire agency is included in this sub function. Because of the method of funding and accounting in the Defense Department no further subdivisions on program basis are available in terms of outlays.

12.002 Procurement Technical Assistance For Business Firms (B)
 12.003 Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (B)
 12.013 Defense Civilian Training Corps (DCTC) - Scholarship/Internship (B)
 12.300 Basic and Applied Scientific Research (B)
 12.330 Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (B)
 12.335 Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (B)
 12.340 Naval Medical Research and Development (B)
 12.355 Pest Management and Vector Control Research (B)
 12.360 Research on Chemical and Biological Defense (B)
 12.400 Military Construction, National Guard (B)
 12.401 National Guard Military Operations and Maintenance (O&M) Projects (B, Z)
 12.401 National Guard Military Operations and Maintenance (O&M) Projects (B, Z)
 12.404 National Guard Challenge Program (A)
 12.420 Military Medical Research and Development (B)
 12.420 Military Medical Research and Development (B)
 12.431 Basic Scientific Research (B)
 12.432 U.S. Military Academy Athletic Programs at West Point (B)
 12.460 Fisher House Foundation (B)
 12.550 The Language Flagship Grants to Institutions of Higher Education (B, Z)
 12.551 National Security Education Program David L. Boren Scholarships (B)
 12.552 National Security Education Program David L. Boren Fellowships (B)
 12.554 English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (B)
 12.600 Community Investment (B, C, L)
 12.604 Community Economic Adjustment Assistance for Reductions in Defense Spending (B, L)
 12.607 Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (B)
 12.610 Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (B)

12.611 Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (B)
 12.614 Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (B)
 12.615 Research and Technical Assistance (B)
 12.617 Economic Adjustment Assistance for State Governments (B)
 12.618 Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (B)
 12.620 Troops to Teachers Grant Program (B)
 12.630 Basic, Applied, and Advanced Research in Science and Engineering (B)
 12.800 Air Force Defense Research Sciences Program (B)
 12.800 Air Force Defense Research Sciences Program (B)
 12.900 Language Grant Program (B)
 12.901 Mathematical Sciences Grants (B)
 12.902 Information Security Grants (B)
 12.903 GenCyber Grants Program (B)
 12.905 CyberSecurity Core Curriculum (B)
 12.910 Research and Technology Development (B)

(053) Atomic Energy Defense Activities

Those programs of the Department of Energy devoted to national defense activities, such as naval ship reactors and nuclear weapons.

17.310 Energy Employees Occupational Illness Compensation (D)
 81.005 Environmental Monitoring, Independent Research, Technical Analysis (B)
 81.009 Molybdenum-99 Program (B)
 81.092 Remedial Action and Waste Management (B)
 81.104 Environmental Remediation and Waste Processing and Disposal (B)
 81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)
 81.112 Stewardship Science Grant Program (B)
 81.113 Defense Nuclear Nonproliferation Research (B)
 81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)
 81.124 Predictive Science Academic Alliance Program (B)

(054) Defense-related Activities

Miscellaneous defense activities such as the expenses connected with selective service and civil defense activities in the Federal Emergency Management Agency.

12.369 Marine Corps Systems Command Federal Assistance Program (B, Z)
 12.369 Marine Corps Systems Command Federal Assistance Program (B, Z)
 20.813 Maritime Security Fleet Program or Ship Operations Cooperation Program (C)
 47.078 Polar Programs (B)
 81.140 Los Alamos National Laboratory - Fire Protection (B)

(150) International Affairs

This function is concerned with the maintenance of peaceful relations, commerce, and travel between the United States and the remainder of the world, and with the promotion of international security and economic development abroad. It includes the conduct of foreign affairs; cost of membership in international organizations; foreign information and exchange activities; foreign military, economic, and humanitarian assistance programs; and loan programs designed to foster U.S. exports. Excluded from international affairs are outlays from domestic programs that may tangentially affect foreign relations or citizens of other nations.

19.221 Regional Democracy Program (B)
 19.300 Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (B)
 19.500 Middle East Partnership Initiative (B)

APPENDIX II: BUDGET FUNCTIONAL CODE APPENDIX

93.832 Promoting the Cancer Surveillance Workforce, Education and Data Use (B)

(151) International Development and Humanitarian Assistance

This includes humanitarian assistance, development assistance, security supporting assistance, grants to and investments in international financial and development institutions, and the costs associated with concessionary agricultural exports.

- 10.608 Food for Education (C, H)
- 10.612 USDA Local and Regional Food Aid Procurement Program (B)
- 19.018 Resettlement Support Centers (RSCs) for U.S. Refugee Resettlement (B)
- 19.510 U.S. Refugee Admissions Program (B)
- 19.511 Overseas Refugee Assistance Programs for East Asia (B)
- 19.515 Contributions to International Organizations for Overseas Assistance (B, C)
- 19.517 Overseas Refugee Assistance Programs for Africa (B)
- 19.518 Overseas Refugee Assistance Programs for Western Hemisphere (B)
- 19.519 Overseas Refugee Assistance Program for Near East (B)
- 19.520 Overseas Refugee Assistance Programs for Europe (B)
- 19.522 Overseas Refugee Assistance Programs for Strategic Global Priorities (B)
- 19.523 Overseas Refugee Assistance Program for South Asia (B)
- 70.002 Foreign Investment Financing (E, F)
- 70.002 Foreign Investment Financing (E, F)
- 70.002 Foreign Investment Financing (E, F)
- 70.002 Foreign Investment Financing (E, F)
- 70.002 Foreign Investment Financing (E, F)
- 70.002 Foreign Investment Financing (E, F)
- 70.003 Foreign Investment Insurance (G)
- 85.002 MCC Foreign Assistance for Overseas Programs (B, C)
- 85.750 IAF Assistance for Overseas Programs (B)
- 93.795 Community Health Workers for COVID Response and Resilient Communities (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.001 USAID Foreign Assistance for Programs Overseas (B)
- 98.002 Cooperative Development Program (CDP) (B)
- 98.003 Ocean Freight Reimbursement Program (OFR) (B)
- 98.004 Non-Governmental Organization Strengthening (NGO) (B)
- 98.005 Institutional Capacity Building (ICB) (B)
- 98.006 Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (B)
- 98.007 Food for Peace Development Assistance Program (DAP) (B, H)
- 98.008 Food for Peace Emergency Program (EP) (B, H)
- 98.009 John Ogonowski Farmer-to-Farmer Program (B)
- 98.010 Denton Program (B, J)
- 98.011 Global Development Alliance (B)
- 98.011 Global Development Alliance (B)
- 98.011 Global Development Alliance (B)
- 98.011 Global Development Alliance (B)
- 98.011 Global Development Alliance (B)
- 98.012 USAID Development Partnerships for University Cooperation and Development (B)

(152) International Security Assistance

This includes all of international security assistance. It involves the transfer of defense articles and services to foreign governments through grants, credit sales, and training.

- 98.011 Global Development Alliance (B)

(153) Conduct of Foreign Affairs

This includes the diplomatic and consular operations of the Department of State and closely-related activities in other agencies (such as the Arms Control and Disarmament Agency).

- 91.005 Priority Grant Competition (B)

(154) Foreign Information and Exchange Activities

This includes student and cultural exchange programs and foreign library, radio, or other media information activities designed to promote mutual understanding between the people of the United States and other Nations.

- 19.009 Academic Exchange Programs - Undergraduate Programs (B)
- 19.010 Academic Exchange Programs - Hubert H. Humphrey Fellowship Program (B)
- 19.011 Academic Exchange Programs - Special Academic Exchange Programs (B)
- 19.012 Professional and Cultural Exchange Programs - Special Professional and Cultural Programs (B)
- 19.015 Cultural, Technical and Educational Centers (B)
- 19.015 Cultural, Technical and Educational Centers (B)
- 19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)
- 19.400 Academic Exchange Programs - Graduate Students (B)
- 19.401 Academic Exchange Programs - Scholars (B)
- 19.402 Professional and Cultural Exchange Programs - International Visitor Leadership Program (B)
- 19.408 Academic Exchange Programs - Teachers (B)
- 19.415 Professional and Cultural Exchange Programs - Citizen Exchanges (B)
- 19.421 Academic Exchange Programs - English Language Programs (B)
- 19.432 Academic Exchange Programs - Educational Advising and Student Services (B)
- 19.440 ECA U.S. Speaker Program (B)
- 19.450 ECA Individual Grants (B)
- 19.451 Special International Exchange Grant Programs (B)
- 19.452 International Exchange Alumni Programs (B)
- 90.500 International Broadcasting Independent Grantee Organizations (B, Z)
- 90.500 International Broadcasting Independent Grantee Organizations (B, Z)

(155) International Financial Programs

This includes export credit, the military sales trust fund, international commodity agreements, international monetary programs, and other programs designed to improve the functioning of the international financial system.

- 31.007 Export - Loan Guarantee/Insured Loans (E, F)

(250) General Science, Space, and Technology

This function shows budget resources allocated to science and research activities of the Federal Government to meet the national need to advance knowledge in this area. In addition, research and technology programs that have diverse goals and cannot readily be classified into one specific function are also placed here to avoid detailed splitting of accounts. Included in this function are general scientific research and civilian space programs that are not an integral part of the programs conducted under any other function. This includes the research conducted by the National Aeronautics and Space Administration, and general science research supported by the Department of Energy. As is noted above, research programs that are an integral part of the achievement of other major functions — e.g., health, national defense, energy — are excluded from this function. Only if a research program cannot readily be included in other functions is it classified in this function.

- 12.113 State Memorandum of Agreement Program for the Reimbursement of Technical Services (B, Z)
- 81.092 Remedial Action and Waste Management (B)
- 81.102 Student Driven Research and Long Term Monitoring of Selected Populations in the Valley and Ridge Eco-region (B)
- 81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)
- 81.139 Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (B)

(251) General Science and basic research

APPENDIX II: BUDGET FUNCTIONAL CODE APPENDIX

This includes the expenses of conducting the National Science Foundation programs and the general science activities of the Department of Energy.

- 47.041 Engineering (B)
- 47.049 Mathematical and Physical Sciences (B)
- 47.050 Geosciences (B)
- 47.050 Geosciences (B)
- 47.070 Computer and Information Science and Engineering (B)
- 47.074 Biological Sciences (B)
- 47.075 Social, Behavioral, and Economic Sciences (B)
- 47.076 Education and Human Resources (B)
- 47.078 Polar Programs (B)
- 47.078 Polar Programs (B)
- 47.079 Office of International Science and Engineering (B)
- 47.083 Integrative Activities (B)
- 81.049 Office of Science Financial Assistance Program (B)

(252) Space flight, research and supporting activities

This subfunction is devoted to the development and operation of space transportation systems, basic scientific research connected with outer space, research and demonstrations designed to provide terrestrial applications of technology developed through space research, and development of new space technologies for future missions. Also included are the costs of tracking and data relay support for NASA space science and applications for flight missions.

- 43.001 Science (B, C, I, M)
- 43.003 Exploration (B, C, I, J, L, M)
- 43.007 Space Operations (B)
- 43.008 Office of Stem Engagement (OSTEM) (B)
- 43.009 Safety, Security and Mission Services (B)
- 43.012 Space Technology (B, C, I, M)

(270) Energy

The energy function includes those programs designed to promote an adequate supply and appropriate use of energy to serve the needs of the economy. It includes most of those programs operated by the Department of Energy and its predecessor agencies except for atomic energy defense activities and for general science research not closely related to energy.

- 81.036 Inventions and Innovations (B, K, L)
- 81.041 State Energy Program (A, L)
- 81.042 Weatherization Assistance for Low-Income Persons (A)
- 81.086 Conservation Research and Development (B)
- 81.087 Renewable Energy Research and Development (B)
- 81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)
- 81.119 State Energy Program Special Projects (B)
- 81.135 Advanced Research Projects Agency - Energy (B)
- 81.136 Long-Term Surveillance and Maintenance (B)

(271) Energy supply

This includes those programs designed to increase the supply of energy through the development of domestic resources and systems capable of using them. Included in energy supply are the costs of research and demonstration of supply systems.

- 10.212 Small Business Innovation Research (B)
- 10.219 Biotechnology Risk Assessment Research (B)
- 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)
- 10.751 Rural Energy Savings Program (RESP) (E)
- 10.850 Rural Electrification Loans and Loan Guarantees (E, F)
- 10.851 Rural Telephone Loans and Loan Guarantees (E, F)
- 10.851 Rural Telephone Loans and Loan Guarantees (E, F)
- 10.854 Rural Economic Development Loans and Grants (B, E, F)
- 81.057 University Coal Research (B)
- 81.065 Nuclear Legacy Cleanup Program (B, C)
- 81.089 Fossil Energy Research and Development (B)

- 81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)
- 81.126 Federal Loan Guarantees for Innovative Energy Technologies (E, F)
- 81.126 Federal Loan Guarantees for Innovative Energy Technologies (E, F)
- 81.131 Expand and Extend Clean Coal Power Initiative (B)

(272) Energy conservation

Programs in this subfunction are designed to encourage the prudent use of energy resources.

- 81.126 Federal Loan Guarantees for Innovative Energy Technologies (E, F)
- 81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A, B)

(276) Energy information, policy, and regulation

This includes the unallocable overhead activities of the Department of Energy plus the costs of energy information and regulation activities.

- 77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)
- 77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B)
- 77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)
- 77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)
- 81.138 State Heating Oil and Propane Program (B, Z)

(300) Natural Resources and Environment

This function includes those programs whose primary purpose is to develop, manage, and maintain the Nation's natural resources and environment. It encompasses development and management of water resources; protection and enhancement of environmental quality; development and maintenance of recreational resources; promotion of the prudent use of forest and mineral resources; the fostering of conservation practices on both public and private lands; marine, earth, and atmospheric -related research, and the management of the public domain. Excluded from this function are the outlays for community water supply programs, basic sewer system, and waste treatment plants, which are part of a community or regional development (rather than an environmental enhancement) program or are part of the cost of operating a Federal facility (such as a military installation).

- 15.532 Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (B)
- 15.550 Fishing Events for Disadvantaged Children (B)
- 90.300 Japan-U.S. Friendship Commission Grants (B)

(301) Water resources

This includes water protection, conservation, irrigation, and related activities including the total costs of multipurpose water projects where it is not feasible to separate out the transportation (navigation) or energy (power) segments of these projects.

- 10.904 Watershed Protection and Flood Prevention (B, K, Z)
- 10.916 Watershed Rehabilitation Program (B, C, K, Z)
- 10.916 Watershed Rehabilitation Program (B, C, K, Z)
- 10.923 Emergency Watershed Protection Program (B, Z)
- 10.927 Emergency Watershed Protection Program - Disaster Relief Appropriations Act (B, Z)
- 10.928 Emergency Watershed Protection Program - Floodplain Easements - Disaster Relief Appropriations Act (C, Z)
- 12.010 Youth Conservation Services (B)
- 15.504 Title XVI Water Reclamation and Reuse (A, B)
- 15.506 Water Desalination Research and Development (B)
- 15.507 WaterSMART (Sustain and Manage America's Resources for Tomorrow) (B)
- 15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (B)
- 15.509 Title II, Colorado River Basin Salinity Control (B)
- 15.510 Colorado Ute Indian Water Rights Settlement Act (B, C)
- 15.511 Cultural Resources Management (B)
- 15.512 Central Valley Improvement Act, Title XXXIV (B)
- 15.514 Reclamation States Emergency Drought Relief (B)
- 15.516 Fort Peck Reservation Rural Water System (B, C)

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15.517	Fish and Wildlife Coordination Act (B)	10.093	Voluntary Public Access and Habitat Incentive Program (A, B, Z)
15.518	Garrison Diversion Unit (B)	10.652	Forestry Research (B)
15.519	Indian Tribal Water Resources Development, Management, and Protection (B, C)	10.664	Cooperative Forestry Assistance (A, B)
15.520	Lewis and Clark Rural Water System (B)	10.664	Cooperative Forestry Assistance (A, B)
15.521	Lower Rio Grande Valley Water Resources Conservation and Improvement (B)	10.674	Wood Utilization Assistance (B)
15.522	Mni Wiconi Rural Water Supply Project (B)	10.674	Wood Utilization Assistance (B)
15.524	Recreation Resources Management (B)	10.675	Urban and Community Forestry Program (B)
15.525	Rocky Boy's/North Central Montana Regional Water System (B)	10.676	Forest Legacy Program (B)
15.526	San Gabriel Basin Restoration (B)	10.676	Forest Legacy Program (B)
15.527	San Luis Unit, Central Valley (B)	10.678	Forest Stewardship Program (B)
15.529	Upper Colorado and San Juan River Basins Endangered Fish Recovery (B)	10.679	Collaborative Forest Restoration (B)
15.530	Water Conservation Field Services (WCFS) (B)	10.679	Collaborative Forest Restoration (B)
15.531	Yakima River Basin Water Enhancement (YRBWE) (B)	10.680	Forest Health Protection (A, B)
15.533	California Water Security and Environmental Enhancement (B)	10.681	Wood Education and Resource Center (WERC) (B)
15.535	Upper Colorado River Basin Fish and Wildlife Mitigation (B)	10.682	National Forest Foundation (C)
15.537	Middle Rio Grande Endangered Species Collaborative (B)	10.682	National Forest Foundation (C)
15.538	Lower Colorado River Multi-Species Conservation (B)	10.682	National Forest Foundation (C)
15.539	Equus Beds Division Acquirer Storage Recharge (B)	10.682	National Forest Foundation (C)
15.540	Lake Mead/Las Vegas Wash (B)	10.683	National Fish and Wildlife Foundation (C)
15.541	Colorado River Basin Act of 1968 (B)	10.683	National Fish and Wildlife Foundation (C)
15.542	Arizona Water Settlement Act of 2004 (B, C)	10.683	National Fish and Wildlife Foundation (C)
15.543	Lake Tahoe Regional Wetlands Development (B)	10.683	National Fish and Wildlife Foundation (C)
15.544	Platte River Recovery Implementation (B)	10.684	International Forestry Programs (B)
15.545	Bunker Hill Groundwater Basin, Riverside-Corona Feeder (B)	10.684	International Forestry Programs (B)
15.546	Youth Conservation (B)	10.684	International Forestry Programs (B)
15.548	Reclamation Rural Water Supply (B)	10.689	Community Forest and Open Space Conservation Program (CFP) (B)
15.552	Navajo-Gallup Water Supply (B)	10.689	Community Forest and Open Space Conservation Program (CFP) (B)
15.553	Eastern New Mexico Rural Water System (B)	10.690	Lake Tahoe Erosion Control Grant Program (B)
15.554	Cooperative Watershed Management (B)	10.690	Lake Tahoe Erosion Control Grant Program (B)
15.555	San Joaquin River Restoration (B)	10.691	Good Neighbor Authority (B)
15.556	Crow Tribe Water Rights Settlement (C)	10.691	Good Neighbor Authority (B)
15.557	Applied Science Grants (B)	10.691	Good Neighbor Authority (B)
15.558	White Mountain Apache Tribe Rural Water System (C)	10.691	Good Neighbor Authority (B)
15.559	New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (B, C)	10.693	Watershed Restoration and Enhancement Agreement Authority (B)
15.560	SECURE Water Act – Research Agreements (B)	10.693	Watershed Restoration and Enhancement Agreement Authority (B)
15.563	Suisun Marsh Preservation Agreement (B)	10.693	Watershed Restoration and Enhancement Agreement Authority (B)
15.564	Central Valley Project Conservation (B)	10.694	Southwest Forest Health and Wildfire Prevention (C)
15.565	Implementation of the Taos Pueblo Indian water rights settlement (B)	10.694	Southwest Forest Health and Wildfire Prevention (C)
15.565	Implementation of the Taos Pueblo Indian water rights settlement (B)	10.697	State & Private Forestry Hazardous Fuel Reduction Program (B)
15.566	Upper Klamath Basin Hydrolic Analyses (A, B, C, L)	10.698	State & Private Forestry Cooperative Fire Assistance (B)
15.567	Colorado River System Conservation Pilot (B)	10.698	State & Private Forestry Cooperative Fire Assistance (B)
15.569	Educational Outreach (B)	10.698	State & Private Forestry Cooperative Fire Assistance (B)
15.570	Bay-Delta Restoration Program (B)	10.699	Partnership Agreements (C)
15.571	Salton Sea Program (B)	10.699	Partnership Agreements (C)
15.572	Agricultural Water Use Efficiency Program (B)	10.699	Partnership Agreements (C)
15.573	Temperature Control Devices at Folsom Dam (B)	10.699	Partnership Agreements (C)
15.574	Water Storage Enhancement (B)	10.701	Stewardship Agreements (B)
15.648	Central Valley Project Improvement Act (CVPIA) (B)	10.701	Stewardship Agreements (B)
66.958	Water Infrastructure Finance and Innovation (WIFIA) (E)	10.703	Cooperative Fire Protection Agreement (B, C)
66.958	Water Infrastructure Finance and Innovation (WIFIA) (E)	10.704	Law Enforcement Agreements (B)
		10.705	Cooperative Forest Road Agreements (B)
		10.705	Cooperative Forest Road Agreements (B)
		10.707	Research Joint Venture and Cost Reimbursable Agreements (C)
		10.707	Research Joint Venture and Cost Reimbursable Agreements (C)
		10.707	Research Joint Venture and Cost Reimbursable Agreements (C)
		10.707	Research Joint Venture and Cost Reimbursable Agreements (C)
		10.707	Research Joint Venture and Cost Reimbursable Agreements (C)
		10.707	Research Joint Venture and Cost Reimbursable Agreements (C)
		10.708	Community Wood Energy and Wood Innovation Program (B)
		10.711	Forest Service 638 Authority for Tribes (B)
		10.711	Forest Service 638 Authority for Tribes (B)

(302) Conservation and land management

This includes the costs of operating the public domain (including national forests), payments to encourage private land conservation activities, and surfacemining reclamation efforts.

10.069	Conservation Reserve Program (C, D)
10.072	Wetlands Reserve Program (B, Z)
10.072	Wetlands Reserve Program (B, Z)
10.072	Wetlands Reserve Program (B, Z)

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10.712	Great American Outdoors Act Deferred Maintenance Program (C)	15.244	Fisheries and Aquatic Resources Management (B)
10.902	Soil and Water Conservation (K, Z)	15.245	Plant Conservation and Restoration Management (B, M)
10.903	Soil Survey (L, Z)	15.246	Threatened and Endangered Species (B)
10.904	Watershed Protection and Flood Prevention (B, K, Z)	15.247	Wildlife Resource Management (B)
10.905	Plant Materials for Conservation (J, Z)	15.248	National Landscape Conservation System (B)
10.907	Snow Survey and Water Supply Forecasting (L, Z)	15.250	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B, C)
10.912	Environmental Quality Incentives Program (C, Z)	15.408	Bureau of Ocean Energy Management Renewable Energy (B)
10.913	Farm and Ranch Lands Protection Program (C, Z)	15.421	Alaska Coastal Marine Institute (B)
10.913	Farm and Ranch Lands Protection Program (C, Z)	15.422	Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)
10.914	Wildlife Habitat Incentive Program (C, Z)	15.423	Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (B)
10.914	Wildlife Habitat Incentive Program (C, Z)	15.427	Federal Oil and Gas Royalty Management State and Tribal Coordination (B)
10.917	Agricultural Management Assistance (C, Z)	15.428	Marine Gas Hydrate Research Activities (B)
10.920	Grassland Reserve Program (C, Z)	15.441	Safety and Environmental Research and Data Collection for Offshore Energy and Mineral Activities (B)
10.921	Conservation Security Program (C, Z)	15.442	Alaska Native Science and Engineering (B)
10.922	Healthy Forests Reserve Program (HFRP) (C, Z)	15.443	Not For Profit (B)
10.922	Healthy Forests Reserve Program (HFRP) (C, Z)	15.444	Take Pride (B)
10.924	Conservation Stewardship Program (B, Z)	15.608	Fish and Wildlife Management Assistance (B)
10.925	Agricultural Water Enhancement Program (C, Z)	15.615	Cooperative Endangered Species Conservation Fund (B)
10.926	Chesapeake Bay Watershed Program (C, Z)	15.619	Rhinoceros and Tiger Conservation Fund (B)
10.929	Water Bank Program (B, C, Z)	15.620	African Elephant Conservation Fund (B)
10.931	Agricultural Conservation Easement Program (B, Z)	15.621	Asian Elephant Conservation Fund (B)
10.932	Regional Conservation Partnership Program (B, Z)	15.623	North American Wetlands Conservation Fund (B)
10.933	Wetland Mitigation Banking Program (B, Z)	15.629	Great Apes Conservation Fund (B)
10.934	Feral Swine Eradication and Control Pilot Program (B, Z)	15.630	Coastal (B)
10.935	Urban Agriculture and Innovative Production (B, Z)	15.631	Partners for Fish and Wildlife (B)
11.472	Unallied Science Program (B)	15.633	Landowner Incentive (B)
15.013	Alaska Native Science and Engineering (B)	15.634	State Wildlife Grants (A, B)
15.015	Good Neighbor Authority (B)	15.635	Neotropical Migratory Bird Conservation (B)
15.016	Experienced Services Program (B)	15.636	Alaska Subsistence Management (B)
15.017	Eastern Nevada Conservation, Recreation and Development (B)	15.637	Migratory Bird Joint Ventures (B)
15.017	Eastern Nevada Conservation, Recreation and Development (B)	15.639	Tribal Wildlife Grants (B)
15.034	Agriculture on Indian Lands (C, J, K)	15.640	Latin America and Caribbean Regional (B)
15.035	Forestry on Indian Lands (C, J, K)	15.641	Wildlife Without Borders-Mexico (B)
15.037	Water Resources on Indian Lands (C, J, K)	15.643	Alaska Migratory Bird Co-Management Council (B)
15.038	Minerals and Mining on Indian Lands (C, J, L)	15.645	Marine Turtle Conservation Fund (B)
15.051	Endangered Species on Indian Lands (C, K, L)	15.647	Migratory Bird Conservation (B)
15.065	Safety of Dams on Indian Lands (C)	15.649	Service Training and Technical Assistance (Generic Training) (B)
15.148	Tribal Energy Development Capacity Grants (C)	15.650	Research Grants (Generic) (B)
15.158	DOI National Fire Plan (B)	15.651	Central Africa Regional (B)
15.214	Non-Sale Disposals of Mineral Material (H)	15.652	Invasive Species (B)
15.222	Cooperative Inspection Agreements with States and Tribes (B)	15.654	National Wildlife Refuge System Enhancements (B)
15.224	Cultural and Paleontological Resources Management (B)	15.655	Migratory Bird Monitoring, Assessment and Conservation (B)
15.225	Recreation and Visitor Services (B)	15.656	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)
15.228	BLM Fuels Management and Community Fire Assistance Program Activities (B, I, L, M)	15.656	Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)
15.229	Wild Horse and Burro Resource Management (B)	15.657	Endangered Species Recovery Implementation (B)
15.230	Invasive and Noxious Plant Management (B, M)	15.658	Natural Resource Damage Assessment and Restoration (B)
15.231	Fish, Wildlife and Plant Conservation Resource Management (B, M)	15.658	Natural Resource Damage Assessment and Restoration (B)
15.232	Joint Fire Science Program (B)	15.660	Candidate Species Conservation (B)
15.233	Forest and Woodlands Resource Management (B)	15.661	Lower Snake River Compensation Plan (B)
15.233	Forest and Woodlands Resource Management (B)	15.662	Great Lakes Restoration (B)
15.234	Secure Rural Schools and Community Self-Determination (B, M)	15.663	NFWF-USFWS Conservation Partnership (B)
15.235	Southern Nevada Public Land Management (B)	15.664	Fish and Wildlife Coordination and Assistance (B)
15.236	Environmental Quality and Protection (B)	15.665	National Wetlands Inventory (B)
15.237	Rangeland Resource Management (B)	15.666	Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (B)
15.238	Challenge Cost Share (B)	15.669	Cooperative Landscape Conservation (B)
15.239	Management Initiatives (B)	15.670	Adaptive Science (B)
15.241	Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B, M)	15.671	Yukon River Salmon Research and Management Assistance (B)
15.243	Youth Conservation Opportunities on Public Lands (B)		
15.243	Youth Conservation Opportunities on Public Lands (B)		

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15.674	National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L, M)	15.948	National Fire Plan-Wildland Urban Interface Community Fire Assistance (B, I, J, K, L)
15.676	Youth Engagement, Education, and Employment (B)	15.954	National Park Service Conservation, Protection, Outreach, and Education (B)
15.677	Hurricane Sandy Disaster Relief Activities-FWS (B)	15.955	Martin Luther King Junior National Historic Site and Preservation District (B)
15.678	Cooperative Ecosystem Studies Units (B)	15.956	Cooperative Management of Ebey's Landing National Historical Reserve. (B, C, J)
15.679	Combating Wildlife Trafficking (B)	15.957	Emergency Supplemental Historic Preservation Fund (A, B)
15.680	Mexican Wolf Recovery (B)	15.958	Route 66 Corridor Preservation (B)
15.682	Experienced Services (B)	15.961	Federal Historic Preservation Tax Incentive (K)
15.683	Prescott Marine Mammal Rescue Assistance (B)	15.962	National Wild and Scenic Rivers System (B)
15.684	White-nose Syndrome National Response Implementation (B)	15.963	Southwest Border Resource Protection Program (B)
(303) Recreational resources		15.963	Southwest Border Resource Protection Program (B)
<p>These are programs involving the acquisition, improvement, and operation of recreational lands and facilities including fish and wildlife and parks. It also includes outlays for the preservation of historic areas.</p>			
15.011	Experienced Services Program (B)	15.964	9/11 Memorial Act Grant Program (B)
15.012	Residential Environmental Learning Centers (B)	(304) Pollution control and abatement	
15.014	Supporting the Lower Mississippi Delta Initiative (B)	<p>This includes outlays for controlling and reducing air, water, and land pollution that are specifically funded as an environmental enhancement program. It excludes water resources programs, water treatment plants, and similar programs that are not funded as part of an environmental enhancement activity.</p>	
15.406	National Park Service Centennial Challenge (B)	11.451	Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (B, Z)
15.407	Keweenaw National Historical Park (NHP) Preservation Grants (B)	15.982	Radium Remediation at Land-Grant Universities (B)
15.605	Sport Fish Restoration (A)	66.001	Air Pollution Control Program Support (A, B)
15.611	Wildlife Restoration and Basic Hunter Education (A)	66.032	State Indoor Radon Grants (A, B)
15.614	Coastal Wetlands Planning, Protection and Restoration (B)	66.033	Ozone Transport Commission (B)
15.616	Clean Vessel Act (B)	66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)
15.622	Sportfishing and Boating Safety Act (B)	66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)
15.626	Enhanced Hunter Education and Safety (A)	66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)
15.628	Multistate Conservation Grant (B)	66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)
15.628	Multistate Conservation Grant (B)	66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)
15.653	National Outreach and Communication (B)	66.037	Internships, Training and Workshops for the Office of Air and Radiation (B)
15.658	Natural Resource Damage Assessment and Restoration (B)	66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)
15.904	Historic Preservation Fund Grants-In-Aid (A, B)	66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)
15.912	National Historic Landmark (K)	66.038	Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)
15.915	Technical Preservation Services (K, L)	66.039	Diesel Emission Reduction Act (DERA) National Grants (B)
15.916	Outdoor Recreation Acquisition, Development and Planning (A, B)	66.040	Diesel Emissions Reduction Act (DERA) State Grants (A)
15.921	Rivers, Trails and Conservation Assistance (B, K)	66.042	Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)
15.922	Native American Graves Protection and Repatriation Act (B)	66.042	Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)
15.923	National Center for Preservation Technology and Training (B)	66.110	Healthy Communities Grant Program (B)
15.925	National Maritime Heritage Grants (B)	66.121	Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)
15.926	American Battlefield Protection (B)	66.123	Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)
15.928	Battlefield Land Acquisition Grants (B)	66.124	Coastal Wetlands Planning Protection and Restoration Act (B)
15.929	Save America's Treasures (B)	66.125	Lake Pontchartrain Basin Restoration Program (PRP) (B)
15.930	Chesapeake Bay Gateways Network (B)	66.126	The San Francisco Bay Water Quality Improvement Fund (B)
15.931	Youth and Veteran Organizations Conservation Activities (B)	66.129	Southeast New England Coastal Watershed Restoration Program (B)
15.932	Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (B)	66.130	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (B)
15.933	Preservation of Japanese American Confinement Sites (B)	66.202	Congressionally Mandated Projects (B)
15.935	National Trails System Projects (B)		
15.938	Boston African-American National Historic Site (B)		
15.939	Heritage Partnership (B)		
15.941	Mississippi National River and Recreation Area State and Local Assistance (B, K)		
15.941	Mississippi National River and Recreation Area State and Local Assistance (B, K)		
15.942	Environmental Outreach and Conservation - North Cascades (B)		
15.943	Challenge Cost Share (B, C)		
15.944	Natural Resource Stewardship (B)		
15.945	Cooperative Research and Training Programs – Resources of the National Park System (B)		
15.945	Cooperative Research and Training Programs – Resources of the National Park System (B)		
15.946	Cultural Resources Management (B)		
15.946	Cultural Resources Management (B)		
15.947	Boston Harbor Islands Partnership (B, C)		
15.947	Boston Harbor Islands Partnership (B, C)		

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66.202	Congressionally Mandated Projects (B)	66.484	South Florida Geographic Initiatives Program (B)
66.202	Congressionally Mandated Projects (B)	66.508	Senior Environmental Employment Program (B)
66.203	Environmental Finance Center Grants (B)	66.508	Senior Environmental Employment Program (B)
66.204	Multipurpose Grants to States and Tribes (A)	66.508	Senior Environmental Employment Program (B)
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	66.508	Senior Environmental Employment Program (B)
66.306	Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (B)	66.508	Senior Environmental Employment Program (B)
66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	66.509	Science To Achieve Results (STAR) Research Program (B)
66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)
66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)
66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)
66.309	Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (B)	66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)
66.312	State Environmental Justice Cooperative Agreement Program (B)	66.514	Science To Achieve Results (STAR) Fellowship Program (B)
66.313	International Compliance and Enforcement Projects (B)	66.516	P3 Award: National Student Design Competition for Sustainability (B)
66.418	Construction Grants for Wastewater Treatment Works (B)	66.517	Regional Applied Research Efforts (RARE) (B)
66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)	66.518	State Senior Environmental Employment Program (B)
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	66.521	Innovative Water Technology Grant Program (B)
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)
66.432	State Public Water System Supervision (A)	66.600	Environmental Protection Consolidated Grants for the Insular Areas - Program Support (A)
66.433	State Underground Water Source Protection (A)	66.604	Environmental Justice Small Grant Program (B)
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	66.605	Performance Partnership Grants (A, B)
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	66.608	Environmental Information Exchange Network Grant Program and Related Assistance (B)
66.437	Long Island Sound Program (B)	66.609	Protection of Children from Environmental Health Risks (B)
66.440	Urban Waters Small Grants (B)	66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
66.441	Healthy Watersheds Consortium Grant Program (B)	66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
66.442	Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A) (A)	66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
66.443	Reducing Lead in Drinking Water (SDWA 1459B) (B)	66.611	Environmental Policy and Innovation Grants (B)
66.444	Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d)) (A)	66.700	Consolidated Pesticide Enforcement Cooperative Agreements (A, B)
66.445	Innovative Water Infrastructure Workforce Development Program (SDWA 1459E) (B)	66.701	Toxic Substances Compliance Monitoring Cooperative Agreements (A, B)
66.446	Technical Assistance for Treatment Works (Clean Water Act [CWA] Section 104(b)(8)) (B)	66.707	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (A)
66.447	Sewer Overflow and Stormwater Reuse Municipal Grant Program (A)	66.708	Pollution Prevention Grants Program (B)
66.448	Drinking Water System Infrastructure Resilience and Sustainability Program - SDWA 1459A(l) (B)	66.714	Pesticide Environmental Stewardship Program (PESP) Grants (B)
66.454	Water Quality Management Planning (A)	66.714	Pesticide Environmental Stewardship Program (PESP) Grants (B)
66.456	National Estuary Program (B)	66.716	Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)
66.458	Capitalization Grants for Clean Water State Revolving Funds (A)	66.716	Research, Development, Monitoring, Public Education, Outreach, Training, Demonstrations, and Studies (B)
66.460	Nonpoint Source Implementation Grants (A)	66.717	Source Reduction Assistance (B)
66.461	Regional Wetland Program Development Grants (B)	66.801	Hazardous Waste Management State Program Support (A)
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	66.802	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
66.466	Chesapeake Bay Program (B)	66.804	Underground Storage Tank (UST) Prevention, Detection, and Compliance Program (A)
66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)	66.804	Underground Storage Tank (UST) Prevention, Detection, and Compliance Program (A)
66.469	Great Lakes Program (B, I, L)	66.805	Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
66.472	Beach Monitoring and Notification Program Implementation Grants (A)	66.806	Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.473	Direct Implementation Tribal Cooperative Agreements (B)	66.806	Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.473	Direct Implementation Tribal Cooperative Agreements (B)	66.808	Solid Waste Management Assistance Grants (B)
66.475	Gulf of Mexico Program (B)	66.809	Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
66.481	Lake Champlain Basin Program (B)	66.812	Hazardous Waste Management Grant Program for Tribes (B)
66.482	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (A)		
66.483	Disaster Relief Appropriations Act (DRAA) Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (A)		

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66.813	Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	11.441	Regional Fishery Management Councils (B)
66.814	Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)	11.452	Unallied Industry Projects (B)
66.815	Brownfields Job Training Cooperative Agreements (B)	11.454	Unallied Management Projects (B)
66.816	Headquarters and Regional Underground Storage Tanks Program (B)	11.455	Cooperative Science and Education Program (B)
66.816	Headquarters and Regional Underground Storage Tanks Program (B)	11.457	Chesapeake Bay Studies (B)
66.817	State and Tribal Response Program Grants (A)	11.459	Weather and Air Quality Research (B)
66.818	Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Cooperative Agreements (B)	11.460	Special Oceanic and Atmospheric Projects (B)
66.926	Indian Environmental General Assistance Program (GAP) (B)	11.462	Hydrologic Research (B)
66.931	International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)	11.463	Habitat Conservation (B)
66.950	National Environmental Education Training Program (B)	11.467	Meteorologic and Hydrologic Modernization Development (B, D, L, M)
66.951	Environmental Education Grants (B)	11.467	Meteorologic and Hydrologic Modernization Development (B, D, L, M)
66.956	Targeted Airshed Grant Program (B)	11.468	Applied Meteorological Research (B)
66.961	Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core) (B)	11.469	Congressionally Identified Awards and Projects (B)
66.962	Columbia River Basin Restoration (CRBR) Program (B)	11.472	Unallied Science Program (B)
66.963	Healthy Environmental Living Program (HELP) (B)	11.473	Office for Coastal Management (B)
66.964	Chesapeake Bay Program Implementation, Regulatory/Accountability and Monitoring Grants (B)	11.474	Atlantic Coastal Fisheries Cooperative Management Act (B)
		11.477	Fisheries Disaster Relief (B)
		11.478	Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)
		11.480	National Ocean Service Intern Program (B, Z)
		11.481	Educational Partnership Program (B)
		11.482	Coral Reef Conservation Program (B, Z)
		11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
		11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
		11.999	Marine Debris Program (B, Z)
		15.424	Marine Minerals Activities (B)
		15.668	Coastal Impact Assistance (A)
		15.805	Assistance to State Water Resources Research Institutes (A, B)
		15.807	Earthquake Hazards Program Assistance (B)
		15.808	U.S. Geological Survey Research and Data Collection (B)
		15.810	National Cooperative Geologic Mapping (B)
		15.812	Cooperative Research Units (B)
		15.814	National Geological and Geophysical Data Preservation (B)
		15.815	National Land Remote Sensing Education Outreach and Research (B)
		15.817	National Geospatial Program: Building The National Map (B)
		15.818	Volcano Hazards Program Research and Monitoring (B)
		15.819	Energy Cooperatives to Support the National Energy Resources Data System (B)
		15.820	National and Regional Climate Adaptation Science Centers (B)
		15.978	Upper Mississippi River Restoration Long Term Resource Monitoring (B)
		15.980	National Ground-Water Monitoring Network (B)
		15.981	Water Use and Data Research (B)
		19.666	EUR/ACE National Endowment for Democracy Small Grants (B)
(306) Other natural resources			
This includes miscellaneous natural resources programs not classified in othersubfunctions, such as marine, earth, and atmosphere-related research, and the cost of geological surveys and mapping.			
11.008	NOAA Mission-Related Education Awards (B)		
11.011	Ocean Exploration (B)		
11.012	Integrated Ocean Observing System (IOOS) (B)		
11.015	Broad Agency Announcement (B)		
11.017	Ocean Acidification Program (OAP) (B)		
11.021	NOAA Small Business Innovation Research (SBIR) Program (B)		
11.022	Bipartisan Budget Act of 2018 (B)		
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (B)		
11.405	Cooperative Institute (Inter-Agency Funded Activities) (B)		
11.405	Cooperative Institute (Inter-Agency Funded Activities) (B)		
11.407	Interjurisdictional Fisheries Act of 1986 (A)		
11.413	Fishery Products Inspection and Certification (J)		
11.417	Sea Grant Support (B)		
11.419	Coastal Zone Management Administration Awards (A, B)		
11.420	Coastal Zone Management Estuarine Research Reserves (B)		
11.420	Coastal Zone Management Estuarine Research Reserves (B)		
11.426	Financial Assistance for National Centers for Coastal Ocean Science (B)		
11.429	Marine Sanctuary Program (B)		
11.431	Climate and Atmospheric Research (B)		
11.432	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)		
11.432	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)		
11.433	Marine Fisheries Initiative (B)		
11.434	Cooperative Fishery Statistics (B)		
11.435	Southeast Area Monitoring and Assessment Program (B)		
11.436	Columbia River Fisheries Development Program (B)		
11.437	Pacific Fisheries Data Program (B)		
11.437	Pacific Fisheries Data Program (B)		
11.438	Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)		
11.438	Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (B)		
11.439	Marine Mammal Data Program (B)		
11.440	Environmental Sciences, Applications, Data, and Education (B)		
11.440	Environmental Sciences, Applications, Data, and Education (B)		
		11.441	Regional Fishery Management Councils (B)
		11.452	Unallied Industry Projects (B)
		11.454	Unallied Management Projects (B)
		11.455	Cooperative Science and Education Program (B)
		11.457	Chesapeake Bay Studies (B)
		11.459	Weather and Air Quality Research (B)
		11.460	Special Oceanic and Atmospheric Projects (B)
		11.462	Hydrologic Research (B)
		11.463	Habitat Conservation (B)
		11.467	Meteorologic and Hydrologic Modernization Development (B, D, L, M)
		11.467	Meteorologic and Hydrologic Modernization Development (B, D, L, M)
		11.468	Applied Meteorological Research (B)
		11.469	Congressionally Identified Awards and Projects (B)
		11.472	Unallied Science Program (B)
		11.473	Office for Coastal Management (B)
		11.474	Atlantic Coastal Fisheries Cooperative Management Act (B)
		11.477	Fisheries Disaster Relief (B)
		11.478	Center for Sponsored Coastal Ocean Research Coastal Ocean Program (B)
		11.480	National Ocean Service Intern Program (B, Z)
		11.481	Educational Partnership Program (B)
		11.482	Coral Reef Conservation Program (B, Z)
		11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
		11.483	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (B)
		11.999	Marine Debris Program (B, Z)
		15.424	Marine Minerals Activities (B)
		15.668	Coastal Impact Assistance (A)
		15.805	Assistance to State Water Resources Research Institutes (A, B)
		15.807	Earthquake Hazards Program Assistance (B)
		15.808	U.S. Geological Survey Research and Data Collection (B)
		15.810	National Cooperative Geologic Mapping (B)
		15.812	Cooperative Research Units (B)
		15.814	National Geological and Geophysical Data Preservation (B)
		15.815	National Land Remote Sensing Education Outreach and Research (B)
		15.817	National Geospatial Program: Building The National Map (B)
		15.818	Volcano Hazards Program Research and Monitoring (B)
		15.819	Energy Cooperatives to Support the National Energy Resources Data System (B)
		15.820	National and Regional Climate Adaptation Science Centers (B)
		15.978	Upper Mississippi River Restoration Long Term Resource Monitoring (B)
		15.980	National Ground-Water Monitoring Network (B)
		15.981	Water Use and Data Research (B)
		19.666	EUR/ACE National Endowment for Democracy Small Grants (B)
(351) Farm income stabilization			
Loans, subsidies, and other payments for purposes of stabilizing agricultural prices at an equitable level. This includes the cost of acquisition and storage of agricultural commodity stockpiles but does not include the cost of foreign agricultural export losses (which are classified in the international affairs function) or domestic donations of food (which are part of an income support, rather than a farm price support, program).			
10.053	Dairy Indemnity Payment Program (D)		
10.056	Farm Storage Facility Loans (E)		
10.056	Farm Storage Facility Loans (E)		
10.089	Livestock Forage Disaster Program (C)		
10.098	Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (D)		
10.099	Conservation Loans (F)		
10.099	Conservation Loans (F)		
10.099	Conservation Loans (F)		

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10.120	2017 Wildfires and Hurricanes Indemnity Program (D)	10.207	Animal Health and Disease Research (A)
10.128	Heirs' Property Relending Program (F)	10.210	Higher Education – Graduate Fellowships Grant Program (B)
10.129	Wildfires and Hurricanes Indemnity Program Plus (D)	10.212	Small Business Innovation Research (B)
10.130	Coronavirus Food Assistance Program 1 (D)	10.212	Small Business Innovation Research (B)
10.132	Coronavirus Food Assistance Program 2 (D)	10.215	Sustainable Agriculture Research and Education (B)
10.133	Quality Loss Adjustment Program (D)	10.216	1890 Institution Capacity Building Grants (B)
10.136	American Rescue Plan Act of 2021 Loan Payment (C)	10.217	Higher Education - Institution Challenge Grants Program (B)
10.137	Pandemic Assistance for Timber Harvesters and Haulers (PATHH) Program (D)	10.219	Biotechnology Risk Assessment Research (B)
10.138	Pandemic Livestock Indemnity Program (D)	10.219	Biotechnology Risk Assessment Research (B)
10.145	Pandemic Assistance Block Grant (A)	10.220	Higher Education - Multicultural Scholars Grant Program (B)
10.404	Emergency Loans (E)	10.221	Tribal Colleges Education Equity Grants (B)
10.406	Farm Operating Loans (E, F)	10.222	Tribal Colleges Endowment Program (A)
10.406	Farm Operating Loans (E, F)	10.223	Hispanic Serving Institutions Education Grants (B)
10.406	Farm Operating Loans (E, F)	10.226	Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)
10.407	Farm Ownership Loans (E, F)	10.227	1994 Institutions Research Program (B)
10.407	Farm Ownership Loans (E, F)	10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)
10.407	Farm Ownership Loans (E, F)	10.229	Extension Collaborative on Immunization Teaching & Engagement (B)
10.421	Indian Tribes and Tribal Corporation Loans (E)	10.230	Farm of the Future (B)
10.421	Indian Tribes and Tribal Corporation Loans (E)	10.231	Agriculture Business Innovation Center at HBCU (B)
10.435	State Mediation Grants (B)	10.232	Blue Ribbon (B)
10.449	Boll Weevil Eradication Loan Program (E)	10.233	Open Data Framework (B)
10.449	Boll Weevil Eradication Loan Program (E)	10.250	Agricultural and Rural Economic Research, Cooperative Agreements and Collaborations (B, L)
10.450	Crop Insurance (B, G)	10.253	Consumer Data and Nutrition Research (B, L)
10.460	Risk Management Education Partnerships (B)	10.255	Research Innovation and Development Grants in Economic (RIDGE) (A, L)
10.610	Export Guarantee Program (F)	10.290	Agricultural Market and Economic Research (B)
10.615	Pima Agriculture Cotton Trust Fund (D)	10.291	Agricultural and Food Policy Research Centers (B)
10.616	Agriculture Wool Apparel Manufacturers Trust Fund (D)	10.303	Integrated Programs (B)
		10.304	Homeland Security Agricultural (B)
		10.306	Biodiesel (B)
		10.307	Organic Agriculture Research and Extension Initiative (B)
		10.308	Resident Instruction Grants for Insular Area Activities (B)
		10.309	Specialty Crop Research Initiative (B)
		10.310	Agriculture and Food Research Initiative (AFRI) (B)
		10.311	Beginning Farmer and Rancher Development Program (B)
		10.313	Veterinary Medicine Loan Repayment Program (C)
		10.318	Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (B)
		10.319	Farm Business Management and Benchmarking Competitive Grants Program (B)
		10.320	Sun Grant Program (B)
		10.322	Distance Education Grants for Institutions of Higher Education in Insular Areas (B)
		10.326	Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (B)
		10.328	National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (B)
		10.329	Crop Protection and Pest Management Competitive Grants Program (B)
		10.330	Alfalfa and Forage Research Program (B)
		10.331	Food Insecurity Nutrition Incentive Grants Program (B)
		10.332	Agricultural Genome to Phenome Initiative (B)
		10.333	Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative (B)
		10.334	Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (B)
		10.336	Veterinary Services Grant Program (B)
		10.500	Cooperative Extension Service (A, B)
		10.511	Smith-Lever Funding (Various Programs) (A, B)
		10.512	Agriculture Extension at 1890 Land-grant Institutions (A)
		10.513	1890 Facilities Grants Program (B)
		10.514	Expanded Food and Nutrition Education Program (A, B)

(352) Agricultural research and services

This includes all other costs of agricultural programs including expenses for programs such as agricultural research and extension services.

10.001	Agricultural Research Basic and Applied Research (B)
10.025	Plant and Animal Disease, Pest Control, and Animal Care (B, Z)
10.025	Plant and Animal Disease, Pest Control, and Animal Care (B, Z)
10.028	Wildlife Services (B, Z)
10.030	Indemnity Program (D)
10.153	Market News (L)
10.156	Federal-State Marketing Improvement Program (B)
10.162	Inspection Grading and Standardization (J, Z)
10.162	Inspection Grading and Standardization (J, Z)
10.163	Market Protection and Promotion (J, K, M, Z)
10.164	Wholesale Farmers and Alternative Market Development (K, M)
10.165	Perishable Agricultural Commodities Act (N)
10.167	Transportation Services (K, M)
10.168	Farmers Market Promotion Program (B)
10.170	Specialty Crop Block Grant Program - Farm Bill (A, B)
10.172	Local Food Promotion Program (B)
10.173	Sheep Production and Marketing Grant Program (B)
10.174	Acer Access Development Program (B)
10.175	Farmers Market and Local Food Promotion Program (B)
10.176	Dairy Business Innovation Initiatives (B)
10.177	Regional Food System Partnerships (B)
10.179	Micro-Grants for Food Security Program (B)
10.180	Meat and Poultry Interstate Shipment and Inspection Readiness Program (ISIRP) (B)
10.181	Agricultural Worker Pandemic Relief and Protection Program (B)
10.200	Grants for Agricultural Research, Special Research Grants (B)
10.202	Cooperative Forestry Research (A)
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act (A)
10.205	Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
10.206	Grants for Agricultural Research_Compulsive Research Grants (B)

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10.515	Renewable Resources Extension Act and National Focus Fund Projects (A, B)	14.110	Manufactured Home Loan Insurance Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F, H)
10.516	Rural Health and Safety Education Competitive Grants Program (B)	14.117	Mortgage Insurance Homes (F)
10.517	Tribal Colleges Extension Programs (B)	14.119	Mortgage Insurance Homes for Disaster Victims (F, H)
10.518	Food Animal Residue Avoidance Databank (B)	14.122	Mortgage Insurance Homes in Urban Renewal Areas (F)
10.519	Equipment Grants Program (EGP) (B)	14.123	Mortgage Insurance Housing in Older, Declining Areas (F)
10.520	Agriculture Risk Management Education Partnerships Competitive Grants Program (B)	14.126	Mortgage Insurance Cooperative Projects (F)
10.521	Children, Youth and Families At-Risk (B)	14.128	Mortgage Insurance Hospitals (F)
10.522	Food and Agriculture Service Learning Program (B)	14.129	Mortgage Insurance Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)
10.523	Centers of Excellence at 1890 Institutions (B)	14.133	Mortgage Insurance Purchase of Units in Condominiums (F)
10.524	Scholarships for Students at 1890 Institutions (B)	14.134	Mortgage Insurance Rental Housing (F)
10.525	Farm and Ranch Stress Assistance Network Competitive Grants Program (B)	14.135	Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
10.527	New Beginnings for Tribal Students (B)	14.138	Mortgage Insurance Rental Housing for the Elderly (F)
10.613	Faculty Exchange Program (B, C)	14.139	Mortgage Insurance Rental Housing in Urban Renewal Areas (F)
10.619	International Agricultural Education Fellowship Program (B)	14.142	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F, H)
10.620	Scientific Exchanges Program (B)	14.151	Supplemental Loan Insurance Multifamily Rental Housing (F)
10.700	National Agricultural Library (B, L)	14.155	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)
10.777	Norman E. Borlaug International Agricultural Science and Technology Fellowship (B, C)	14.157	Supportive Housing for the Elderly (B, C)
10.950	Agricultural Statistics Reports (L)	14.162	Mortgage Insurance Combination and Manufactured Home Lot Loans (F, H)
10.951	Agricultural Statistics (L)	14.175	Adjustable Rate Mortgages (F)
10.960	Technical Agricultural Assistance (B, C)	14.181	Supportive Housing for Persons with Disabilities (B, C)
10.961	Scientific Cooperation and Research (B, C)	14.183	Home Equity Conversion Mortgages (F)
10.962	Cochran Fellowship Program-International Training-Foreign Participant (B, C)	14.188	Housing Finance Agencies (HFA) Risk Sharing (F)
90.203	Delta Creative Place-Making Pilot Initiative (B)	14.191	Multifamily Housing Service Coordinators (B)
90.204	States' Economic Development Assistance Program (B)	14.198	Good Neighbor Next Door Sales Program (H)

(370) Commerce and Housing Credit

This function includes the promotion and regulation of commerce and the housing and thrift insurance industries. Commerce includes the collection and dissemination of social and economic data unless they are an integral part of another function (such as health). This function includes general purpose loans and subsidies to business and to the housing industry. In general, credit and insurance programs are included in this function; however, if such programs are means of achieving the basic objectives of another function and are an integral part of the programs of that function they are classified in the other function.

19.027	Energy Governance and Reform Programs (B)
19.121	Conflict and Stabilization Operations (B)
19.750	Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (B)

(371) Mortgage credit

Includes homeownership and related loan and insurance programs.

10.405	Farm Labor Housing Loans and Grants (B, E, F)
10.405	Farm Labor Housing Loans and Grants (B, E, F)
10.410	Very Low to Moderate Income Housing Loans (E, F, G)
10.410	Very Low to Moderate Income Housing Loans (E, F, G)
10.411	Rural Housing Site Loans and Self Help Housing Land Development Loans (E)
10.411	Rural Housing Site Loans and Self Help Housing Land Development Loans (E)
10.415	Rural Rental Housing Loans (B, E)
10.415	Rural Rental Housing Loans (B, E)
10.417	Very Low-Income Housing Repair Loans and Grants (B, E)
10.417	Very Low-Income Housing Repair Loans and Grants (B, E)
10.446	Rural Community Development Initiative (B)
10.446	Rural Community Development Initiative (B)
10.447	The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B, C, E)
10.495	Farm Labor Housing Technical Assistance Grants (B)
10.495	Farm Labor Housing Technical Assistance Grants (B)
14.108	Rehabilitation Mortgage Insurance (F)

(373) Deposit insurance

Includes insurance programs protecting depository thrift institutions.

44.002	Community Development Revolving Loan Fund Program for Credit Unions (B, C, E)
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(376) Other advancement of commerce

This includes loan programs designed to aid specialized forms of business (such as small business) that are not included elsewhere in the functional structure. It also includes the costs of collection and dissemination of economic and demographic statistics (such as census data) and of regulation of business.

11.013	Education Quality Award Ambassadorship (B)
11.112	Market Development Cooperator Program (B)
11.408	Fishermen's Contingency Fund (D)
11.415	Fisheries Finance Program (E)
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (B)
11.549	State and Local Implementation Grant Program (B)
11.553	Special Projects (B)
11.553	Special Projects (B)

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11.553	Special Projects (B)
11.557	Broadband Technology Opportunities Program (BTOP) (B)
11.601	Calibration Program (H, J)
11.603	National Standard Reference Data System (H)
11.603	National Standard Reference Data System (H)
11.604	Standard Reference Materials (H)
11.604	Standard Reference Materials (H)
11.606	Weights and Measures Service (H, J, K, L, M)
11.606	Weights and Measures Service (H, J, K, L, M)
11.609	Measurement and Engineering Research and Standards (B)
11.609	Measurement and Engineering Research and Standards (B)
11.609	Measurement and Engineering Research and Standards (B)
11.609	Measurement and Engineering Research and Standards (B)
11.610	Standards Information Center (A, L)
11.610	Standards Information Center (A, L)
11.611	Manufacturing Extension Partnership (B, L)
11.612	Advanced Technology Program (A, B)
11.616	Technology Innovation Program (TIP) (B)
11.620	Science, Technology, Business and/or Education Outreach (B)
11.620	Science, Technology, Business and/or Education Outreach (B)
11.802	Minority Business Resource Development (B)
11.804	MBDA Business Center - American Indian and Alaska Native (B)
11.805	MBDA Business Center (B)
14.171	Manufactured Home Dispute Resolution (J)
19.204	Fishermen's Guaranty Fund (B, G)
32.002	UNIVERSAL SERVICE FUND - HIGH COST (C)
32.003	UNIVERSAL SERVICE FUND - LIFELINE (C)
32.004	UNIVERSAL SERVICE FUND - SCHOOLS and LIBRARIES (C)
32.005	UNIVERSAL SERVICE FUND - RURAL HEALTH CARE (C)
32.006	COVID-19 Telehealth Program (C)
32.007	Connected Care Pilot Program (C)
32.008	Emergency Broadband Benefit Program (C)
32.009	Emergency Connectivity Fund Program (C)
32.010	Supply Chain Reimbursement Program (C)
59.006	8(a) Business Development Program (J)
59.007	7(j) Technical Assistance (B)
59.011	Small Business Investment Companies (F)
59.012	7(a) Loan Guarantees (F)
59.016	Surety Bond Guarantees (G)
59.026	SCORE (B)
59.037	Small Business Development Centers (A, B, J, K, L)
59.041	504 Certified Development Loans (F)
59.043	Women's Business Ownership Assistance (B)
59.044	Veterans Outreach Program (B)
59.046	Microloan Program (A, E, K)
59.050	Prime Technical Assistance (B)
59.053	Ombudsman and Regulatory Fairness Boards (N)
59.054	7(a)Export Loan Guarantees (F)
59.058	Federal and State Technology Partnership Program (B)
59.061	State Trade Expansion (B)
59.062	Intermediary Loan Program (E)
59.065	Growth Accelerator Fund Competition (C)
59.066	Transition Assistance – Entrepreneurship Track (Boots to Business) (B, K)
59.071	Makerspace Training, Collaboration, and Hiring (MaTCH) (B)
59.073	Paycheck Protection Loan Program (PPP) (F)
59.074	OED Resource Partners Training Portal (RPTP) (B)
59.075	Shuttered Venue Operators Grant Program (C)
59.077	Community Navigator Pilot Program (B)
59.078	Restaurant Revitalization Fund (C)

(400) Transportation

This function includes all programs with the primary aim of providing for the transportation of the general public and/or their property, regardless of whether local or national and regardless of the particular mode of transportation. It includes the construction of facilities; purchase of equipment; research, testing, and evaluation; provision of communications directly related to transportation (for example, air traffic control by the FAA); and operating subsidies for transportation facilities (such as mass transit) and industries (such as railroads).

Transportation includes regulatory activities directed toward transportation. Excluded from this function are the costs of moving personnel or equipment as part of the operation of other government services; the provision of foreign economic assistance which may take the course of assisting transportation facilities or programs abroad; the construction of roads, trails, etc., as an integral part of the operation of public lands, parks, forests, or military reservations, unless they are specifically funded as a part of a broader transportation program; the construction of roads or other transportation facilities as an integral part of an abroad community facility or regional development program where the clear intent of the program is regional development and the provisions of transportation facilities is only an incidental by-product or means to attain the objective of regional development. Also excluded from transportation are research and technology activities devoted to space research, except for aeronautical technology, even though this research may eventually redound to the advantage of general transportation.

20.707	Hazardous Materials State Inspection (HMSI) Grant (B)
20.936	Transportation Demonstration Program (B)

(401) Ground transportation

Outlays to aid and/or regulate the various modes of ground transportation, such as roads and highways, railroads, and urban mass transit.

10.705	Cooperative Forest Road Agreements (B)
20.200	Highway Research and Development Program (B)
20.205	Highway Planning and Construction (A, B, Z)
20.215	Highway Training and Education (B, M)
20.218	Motor Carrier Safety Assistance (A)
20.219	Recreational Trails Program (A)
20.223	Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E, F)
20.223	Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E, F)
20.223	Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E, F)
20.224	Federal Lands Access Program (A, B)
20.231	Performance and Registration Information Systems Management (B)
20.232	Commercial Driver's License Program Implementation Grant (B)
20.233	Border Enforcement Grants (B)
20.234	Safety Data Improvement Program (B)
20.235	Commercial Motor Vehicle Operator Safety Training Grants (B)
20.237	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (B)
20.240	Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)
20.301	Railroad Safety (B)
20.301	Railroad Safety (B)
20.301	Railroad Safety (B)
20.313	Railroad Research and Development (B)
20.314	Railroad Development (B)
20.314	Railroad Development (B)
20.314	Railroad Development (B)
20.314	Railroad Development (B)
20.315	National Railroad Passenger Corporation Grants (B)
20.315	National Railroad Passenger Corporation Grants (B)
20.316	Railroad Rehabilitation and Improvement Financing Program (E, F)
20.317	Capital Assistance to States - Intercity Passenger Rail Service (B)
20.318	Maglev Project Selection Program - SAFETEA-LU (B)
20.318	Maglev Project Selection Program - SAFETEA-LU (B)
20.319	High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (B)
20.319	High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (B)
20.320	Rail Line Relocation and Improvement (B)

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20.321 Railroad Safety Technology Grants (B)
 20.321 Railroad Safety Technology Grants (B)
 20.323 Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (B)
 20.324 Restoration and Enhancement (B)
 20.325 Consolidated Rail Infrastructure and Safety Improvements (B)
 20.325 Consolidated Rail Infrastructure and Safety Improvements (B)
 20.326 Federal-State Partnership for State of Good Repair (B)
 20.500 Federal Transit Capital Investment Grants (A, B)
 20.500 Federal Transit Capital Investment Grants (A, B)
 20.500 Federal Transit Capital Investment Grants (A, B)
 20.500 Federal Transit Capital Investment Grants (A, B)
 20.505 Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (A)
 20.507 Federal Transit Formula Grants (A)
 20.507 Federal Transit Formula Grants (A)
 20.507 Federal Transit Formula Grants (A)
 20.509 Formula Grants for Rural Areas and Tribal Transit Program (A)
 20.509 Formula Grants for Rural Areas and Tribal Transit Program (A)
 20.509 Formula Grants for Rural Areas and Tribal Transit Program (A)
 20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A, B)
 20.513 Enhanced Mobility of Seniors and Individuals with Disabilities (A, B)
 20.514 Public Transportation Research, Technical Assistance, and Training (B, C, L, M)
 20.516 Job Access and Reverse Commute Program (B)
 20.516 Job Access and Reverse Commute Program (B)
 20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)
 20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)
 20.519 Clean Fuels (B)
 20.519 Clean Fuels (B)
 20.521 New Freedom Program (A)
 20.522 Alternatives Analysis (B)
 20.524 Passenger Rail Investment and Improvement (PRIIA) Projects for Washington Metropolitan Area Transit Authority (WMATA) (B)
 20.525 State of Good Repair Grants Program (A)
 20.525 State of Good Repair Grants Program (A)
 20.526 Buses and Bus Facilities Formula, Competitive, and Low or No Emissions Programs (A, B)
 20.526 Buses and Bus Facilities Formula, Competitive, and Low or No Emissions Programs (A, B)
 20.527 Public Transportation Emergency Relief Program (B)
 20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (A)
 20.529 Bus Testing (B)
 20.529 Bus Testing (B)
 20.530 Public Transportation Innovation (B, C, L, M)
 20.530 Public Transportation Innovation (B, C, L, M)
 20.530 Public Transportation Innovation (B, C, L, M)
 20.531 Technical Assistance and Workforce Development (B, C, L, M)
 20.531 Technical Assistance and Workforce Development (B, C, L, M)
 20.600 State and Community Highway Safety (A)
 20.607 Alcohol Open Container Requirements (A, B)
 20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (A, B)
 20.611 Incentive Grant Program to Prohibit Racial Profiling (A)
 20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (B)
 20.616 National Priority Safety Programs (A)
 20.701 University Transportation Centers Program (B)
 20.934 Nationally Significant Freight and Highway Projects (B)
 20.934 Nationally Significant Freight and Highway Projects (B)

(402) Air transportation

Outlays to aid and/or regulate air transportation, including aeronautical research conducted by the National Aeronautics and Space Administration.

20.106 Airport Improvement Program and COVID-19 Airports Programs (B, K)
 20.108 Aviation Research Grants (B, I)
 20.109 Air Transportation Centers of Excellence (B, I, J)
 20.109 Air Transportation Centers of Excellence (B, I, J)
 20.109 Air Transportation Centers of Excellence (B, I, J)
 20.109 Air Transportation Centers of Excellence (B, I, J)
 20.111 Aircraft Pilots Workforce Development Grant Program (B)
 20.112 Aviation Maintenance Technical Workforce Grant Program (B)
 20.113 Veterans Pilot Training Program (B)
 20.114 Aviation Manufacturing Jobs Protection (AMJP) Program (C)
 20.901 Payments for Essential Air Services (B, C)
 20.930 Payments for Small Community Air Service Development (B)
 21.018 Coronavirus Relief - Pandemic Relief for Aviation Workers (C, Z)
 21.018 Coronavirus Relief - Pandemic Relief for Aviation Workers (C, Z)
 21.018 Coronavirus Relief - Pandemic Relief for Aviation Workers (C, Z)
 43.002 Aeronautics (B, L)
 97.047 BRIC: Building Resilient Infrastructure and Communities (B)
 97.056 Port Security Grant Program (B)
 97.057 Intercity Bus Security Grants (B)

(403) Water transportation

Outlays to aid and/or regulate maritime commerce. (To the extent that the data can readily be segregated, this should extend to include inland and deep draft navigation facilities of water resources projects.)

20.802 Federal Ship Financing Guarantees (F)
 20.803 Maritime War Risk Insurance (G)
 20.806 State Maritime Schools (B, C, I, M)
 20.807 U.S. Merchant Marine Academy (M)
 20.808 Capital Construction Fund (C)
 20.812 Construction Reserve Fund (C)
 20.816 America's Marine Highway Grants (B)
 20.817 Air Emissions and Energy Initiative (B)
 20.820 Maritime Studies and Innovations (B)
 20.821 Women on the Water (WOW) (B)
 20.822 Port of Guam Improvement Enterprise Program (B)
 20.823 Port Infrastructure Development Program (B)
 97.012 Boating Safety Financial Assistance (A, B)

(407) Other transportation

Outlays for general transportation programs and overhead not readily allocable into any of the preceding subfunctions.

20.520 Paul S. Sarbanes Transit in the Parks (B)
 20.615 E-911 Grant Program (A)
 20.700 Pipeline Safety Program State Base Grant (A)
 20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
 20.710 Technical Assistance Grants (B)
 20.720 State Damage Prevention Program Grants (B)
 20.721 PHMSA Pipeline Safety Program One Call Grant (B)
 20.723 PHMSA Pipeline Safety Research and Development "Other Transaction Agreements" (B)
 20.724 Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (B)
 20.725 PHMSA Pipeline Safety Underground Natural Gas Storage Grant (A)
 20.910 Assistance to Small and Disadvantaged Businesses (B, Z)
 20.933 National Infrastructure Investments (B)
 20.935 State and Local Government Data Analysis Tools for Roadway Safety (B)

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(451) Community development

This subfunction includes grants and related programs designed to aid community (largely urban) development. It includes community development block grants and predecessor activities such as the urban renewal and model cities programs. Community development programs are generally carried out by the Department of Housing and Urban Development.

10.379	Rural Energy Pilot Program Grant (B)
10.868	Rural Energy for America Program (B, F)
10.872	Healthy Food Financing Initiative (B)
14.218	Community Development Block Grants/Entitlement Grants (A, B)
14.225	Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
14.228	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A, B)
14.248	Community Development Block Grants Section 108 Loan Guarantees (F)
14.259	Community Compass Technical Assistance and Capacity Building (B)
14.269	Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR) (A)
14.272	National Disaster Resilience Competition (B)
14.506	General Research and Technology Activity (B, J)
14.536	Research and Evaluations, Demonstrations, and Data Analysis and Utilization (B)
14.862	Indian Community Development Block Grant Program (B)
14.900	Lead-Based Paint Hazard Control in Privately-Owned Housing (B)
14.901	Healthy Homes Demonstration Grants (B)
14.902	Lead Technical Studies Grants (B)
14.905	Lead Hazard Reduction Demonstration Grant Program (B)
14.906	Healthy Homes Technical Studies Grants (B)
14.913	Healthy Homes Production Program (B)
21.011	Capital Magnet Fund (B)
21.012	Native Initiatives (B)
21.014	Community Development Financial Institutions Bond Guarantee Program (F)
21.020	Community Development Financial Institutions Program (B)
21.021	Bank Enterprise Award Program (B)
21.024	Community Development Financial Institutions Rapid Response Program (CDFI RRP) (B)
21.025	Small Dollar Loan Program (B)

(452) Area and regional development

Grants, loans, subsidies, and related aids to economic development or depressed areas that are generally either primarily for rural areas or else are more regional in nature or purpose than the community development programs. Area and regional development programs are generally carried out by agencies other than the Department of Housing and Urban Development, such as the Departments of Agriculture, Commerce, and Interior.

10.350	Technical Assistance to Cooperatives (J, K, L, M)
10.351	Rural Business Development Grant (A, B)
10.352	Value-Added Producer Grants (B)
10.377	Agriculture Innovation Center Demonstration Program (B)
10.752	Rural eConnectivity Pilot Program (B, E)
10.752	Rural eConnectivity Pilot Program (B, E)
10.754	Higher Blends Infrastructure Incentive Program (A)
10.755	Rural Innovation Stronger Economy (B)
10.759	Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (B)
10.760	Water and Waste Disposal Systems for Rural Communities (B, E, F)
10.760	Water and Waste Disposal Systems for Rural Communities (B, E, F)
10.761	Technical Assistance and Training Grants (B)
10.762	Solid Waste Management Grants (B)
10.763	Emergency Community Water Assistance Grants (B)
10.766	Community Facilities Loans and Grants (B, E, F)
10.766	Community Facilities Loans and Grants (B, E, F)
10.767	Intermediary Relending Program (E)

10.767	Intermediary Relending Program (E)
10.768	Business and Industry Loans (F)
10.768	Business and Industry Loans (F)
10.770	Water and Waste Disposal Loans and Grants (Section 306C) (B, E)
10.771	Rural Cooperative Development Grants (B)
10.782	Appropriate Technology Transfer for Rural Areas (B)
10.854	Rural Economic Development Loans and Grants (B, E, F)
10.854	Rural Economic Development Loans and Grants (B, E, F)
10.855	Distance Learning and Telemedicine Loans and Grants (B, E)
10.858	Denali Commission Grants and Loans (B)
10.859	Assistance to High Energy Cost Rural Communities (B)
10.862	Rural Decentralized Water Systems Grant Program (B)
10.863	Community Connect Grant Program (B)
10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
10.865	Biorefinery Assistance (C, F)
10.867	Bioenergy Program for Advanced Biofuels (B, D)
10.870	Rural Microentrepreneur Assistance Program (B, E)
10.871	Socially-Disadvantaged Groups Grant (B)
10.874	Delta Health Care Services Grant Program (B)
10.886	Rural Broadband Access Loans and Loan Guarantees (E, F)
10.890	Rural Development Cooperative Agreement Program (B)
11.020	Cluster Grants (B)
11.023	Science, Technology, Engineering, and Mathematics (STEM) Talent Challenge Program (B)
11.024	BUILD TO SCALE (B)
11.028	Connecting Minority Communities Pilot Program (B)
11.029	Tribal Broadband Connectivity Program (B)
11.031	Broadband Infrastructure Program (B)
11.300	Investments for Public Works and Economic Development Facilities (B)
11.302	Economic Development Support for Planning Organizations (B)
11.303	Economic Development Technical Assistance (B)
11.307	Economic Adjustment Assistance (B)
11.312	Research and Evaluation Program (B)
11.313	Trade Adjustment Assistance for Firms (B)
15.020	Aid To Tribal Governments (C)
15.021	Consolidated Tribal Government (C)
15.022	Tribal Self-Governance (C)
15.024	Indian Self-Determination Contract Support (C)
15.025	Services to Indian Children, Elderly and Families (C)
15.029	Tribal Courts (C)
15.030	Indian Law Enforcement (C)
15.032	Indian Economic Development (B, C)
15.033	Road Maintenance Indian Roads (B, C)
15.036	Indian Rights Protection (C)
15.040	Real Estate Programs Indian Lands (C)
15.041	Environmental Management Indian (C)
15.052	Litigation Support for Indian Rights (C)
15.053	Attorney Fees Indian Rights (C)
15.057	Navajo-Hopi Indian Settlement (C, J)
15.060	Indian Vocational Training United Tribes Technical College (D, M)
15.061	Indian Job Placement United Sioux Tribes Development Corporation (D, K)
15.062	Replacement and Repair of Indian Schools (C)
15.063	Improvement and Repair of Indian Detention Facilities (C)
15.108	Indian Employment Assistance (C)
15.113	Indian Social Services Welfare Assistance (C)
15.124	Indian Loans Economic Development (F)
15.133	Native American Business Development Institute (A)
15.141	Indian Housing Assistance (B, L)
15.144	Indian Child Welfare Act Title II Grants (B)
15.146	Ironworker Training (B)
15.147	Tribal Courts Trust Reform Initiative (B)

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15.152	Land Buy-Back For Tribal Nations (B)
15.160	BIA Wildland Urban Interface Community Fire Assistance (B, I, L, M)
21.015	Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (A)
23.001	Appalachian Regional Development (See individual Appalachian Programs) (B)
23.002	Appalachian Area Development (B)
23.003	Appalachian Development Highway System (B)
23.009	Appalachian Local Development District Assistance (B)
23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)
87.051	Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component Program (B)
87.052	Gulf Coast Ecosystem Restoration Council Oil Spill Impact Program (B)
90.100	Denali Commission Program (B)
90.100	Denali Commission Program (B)
90.100	Denali Commission Program (B)
90.199	Shared Services (B)
90.200	Delta Regional Development (B)
90.201	Delta Area Economic Development (A, B)
90.202	Delta Local Development District Assistance (B)

(453) Disaster relief and insurance

This subfunction includes those Federal programs uniquely devoted to assist communities to recover from natural disasters and assist families on an emergency basis when they are adversely affected by such disasters.

10.054	Emergency Conservation Program (C, D)
10.102	Emergency Forest Restoration Program (D)
59.008	Disaster Assistance Loans (E)
59.072	Economic Injury Disaster Loan Emergency Advance (C)
97.022	Flood Insurance (G)
97.023	Community Assistance Program State Support Services Element (CAP-SSSE) (B)
97.029	Flood Mitigation Assistance (B)
97.030	Community Disaster Loans (E)
97.032	Crisis Counseling (B)
97.033	Disaster Legal Services (C, J)
97.034	Disaster Unemployment Assistance (B, C)
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
97.041	National Dam Safety Program (B)
97.045	Cooperating Technical Partners (B)
97.046	Fire Management Assistance Grant (B, J)
97.048	Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B, C)
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
97.070	Map Modernization Management Support (B, Z)
97.082	Earthquake Consortium (B)
97.092	Repetitive Flood Claims (B)
97.110	Severe Repetitive Loss Program (B)
97.132	Financial Assistance for Targeted Violence and Terrorism Prevention (B)
97.133	Preparing for Emerging Threats and Hazards (B)

(500) Education, Training, Employment, and Social Services

This function includes those outlays designed to promote the general extension of knowledge and skills, to provide public services to needy individuals, and/or to assist individuals to become self-supporting members of society. It includes the provision of education or training child care services, employment counseling, vocational rehabilitation, and other social services provided to individuals and families. It also includes cash payments (scholarships, loans, or stipends) to persons when such payments are provided to enable a person to participate in education or training programs; it includes programs designed to provide services to the poor (such as community services) that are not an integral part of some other function, and also includes the costs of research directly related to the programs in this function. This function excludes education or training undertaken as an integral part of the achievement of another functional end (such as training of military personnel; veterans education, training, and rehabilitation; or training of health workers in a health program) and also excludes general purpose scientific research. Nutrition or food service programs that are funded separately from social services or education shall be included as income security rather than being included in this function.

12.014	OnRampII (C)
19.800	Weapons Removal and Abatement (B)
84.323	Special Education - State Personnel Development (B)
84.329	Special Education Studies and Evaluations (B)

(501) Elementary, secondary, and vocational education

This includes pre-school, elementary, secondary and vocational education programs.

15.026	Indian Adult Education (C)
15.027	Assistance to Tribally Controlled Community Colleges and Universities (B)
15.028	Tribally Controlled Community College Endowments (B)
15.042	Indian School Equalization (C)
15.043	Indian Child and Family Education (B, M)
15.044	Indian Schools Student Transportation (C)
15.046	Administrative Cost Grants for Indian Schools (B)
15.047	Indian Education Facilities, Operations, and Maintenance (C)
15.048	Bureau of Indian Affairs Facilities Operations and Maintenance (C)
15.058	Indian Post Secondary Schools (M)
15.059	Indian Graduate Student Scholarships (B)
15.114	Indian Education Higher Education Grant (B)
15.130	Indian Education Assistance to Schools (C)
15.149	FOCUS on Student Achievement (B)
15.150	Juvenile Detention Education (B)
15.151	Education Enhancements (B)
15.959	Education Program Management (C)
15.960	Tribal Technical Colleges (B)
84.002	Adult Education - Basic Grants to States (A)
84.004	Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (B)
84.010	Title I Grants to Local Educational Agencies (A)
84.011	Migrant Education State Grant Program (A)
84.013	Title I State Agency Program for Neglected and Delinquent Children and Youth (A)
84.027	Special Education Grants to States (A)
84.040	Impact Aid Facilities Maintenance (B)
84.041	Impact Aid (A, B)
84.048	Career and Technical Education -- Basic Grants to States (A)
84.051	Career and Technical Education -- National Programs (B)
84.060	Indian Education Grants to Local Educational Agencies (A)
84.101	Career and Technical Education - Grants to Native Americans and Alaska Natives (B)
84.141	Migrant Education High School Equivalency Program (B)
84.144	Migrant Education Coordination Program (B)
84.149	Migrant Education College Assistance Migrant Program (B)
84.165	Magnet Schools Assistance (B)
84.173	Special Education Preschool Grants (A)
84.181	Special Education-Grants for Infants and Families (A)
84.184	School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (B)
84.191	Adult Education National Leadership Activities (B, C)

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84.196	Education for Homeless Children and Youth (A)	84.022	Overseas Programs - Doctoral Dissertation Research Abroad (B)
84.206	Javits Gifted and Talented Students Education (B)	84.031	Higher Education Institutional Aid (B)
84.215	Innovative Approaches to Literacy; Promise Neighborhoods; and Full-Service Community Schools (B)	84.033	Federal Work-Study Program (C)
84.215	Innovative Approaches to Literacy; Promise Neighborhoods; and Full-Service Community Schools (B)	84.033	Federal Work-Study Program (C)
84.245	Tribally Controlled Postsecondary Career and Technical Institutions (B)	84.042	TRIO Student Support Services (B)
84.259	Native Hawaiian Career and Technical Education (B)	84.044	TRIO Talent Search (B)
84.282	Charter Schools (B)	84.047	TRIO Upward Bound (B)
84.283	Comprehensive Centers (B)	84.063	Federal Pell Grant Program (C)
84.287	Twenty-First Century Community Learning Centers (A, B)	84.066	TRIO Educational Opportunity Centers (B)
84.295	Ready-To-Learn Television (B)	84.103	TRIO Staff Training Program (B)
84.299	Indian Education -- Special Programs for Indian Children (B)	84.116	Fund for the Improvement of Postsecondary Education (B)
84.310	Statewide Family Engagement Centers (B)	84.120	Minority Science and Engineering Improvement (B)
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)	84.153	Business and International Education Projects (B)
84.326	Special Education Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)	84.200	Graduate Assistance in Areas of National Need (B)
84.327	Special Education Educational Technology Media, and Materials for Individuals with Disabilities (B)	84.217	TRIO McNair Post-Baccalaureate Achievement (B)
84.328	Special Education Parent Information Centers (B)	84.220	Centers for International Business Education (B)
84.336	Teacher Quality Partnership Grants (B)	84.229	Language Resource Centers (B)
84.351	Arts in Education (B)	84.268	Federal Direct Student Loans (E)
84.354	Credit Enhancement for Charter School Facilities (B)	84.274	American Overseas Research Centers (B)
84.356	Alaska Native Educational Programs (B)	84.334	Gaining Early Awareness and Readiness for Undergraduate Programs (B)
84.358	Rural Education (A)	84.335	Child Care Access Means Parents in School (B)
84.362	Native Hawaiian Education (B)	84.336	Teacher Quality Partnership Grants (B)
84.365	English Language Acquisition State Grants (A)	84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)
84.367	Supporting Effective Instruction State Grants (formerly Improving Teacher Quality State Grants) (A)	84.382	Strengthening Minority-Serving Institutions (B)
84.368	Competitive Grants for State Assessments (formerly Grants for Enhanced Assessment Instruments) (B)	84.407	Transition Programs for Students with Intellectual Disabilities into Higher Education (B)
84.369	Grants for State Assessments and Related Activities (A)	84.408	Postsecondary Education Scholarships for Veteran's Dependents (A, C)
84.370	DC Opportunity Scholarship Program (B)	84.417	Directed Grants and Awards (C)
84.371	Comprehensive Literacy Development (B)	84.417	Directed Grants and Awards (C)
84.373	Special Education Technical Assistance on State Data Collection (B)	84.417	Directed Grants and Awards (C)
84.374	Teacher and School Leader Incentive Grants (formerly the Teacher Incentive Fund) (B)	84.417	Directed Grants and Awards (C)
84.380	Special Education - Special Olympics Education Programs (B)	85.200	Barry M. Goldwater Scholarship Program (C)
84.403	Consolidated Grant to the Outlying Areas (A)	85.400	Morris K. Udall Scholarship Program (C)
84.403	Consolidated Grant to the Outlying Areas (A)	85.402	Morris K. Udall Native American Congressional Internship Program (C)
84.403	Consolidated Grant to the Outlying Areas (A)		
84.403	Consolidated Grant to the Outlying Areas (A)		
84.411	Education Innovation and Research (formerly Investing in Innovation (i3) Fund) (B)		
84.415	Indian Education National Activities (State Tribal Education Partnership (STEP) and Native American Language (NAL) (B)		
84.422	American History and Civics Education (B)		
84.423	Supporting Effective Educator Development Program (B)		
84.424	Student Support and Academic Enrichment Program (A)		
84.425	Education Stabilization Fund (A, B)		
84.938	Disaster Recovery Assistance for Education (A, B)		
(502) Higher education		(503) Research and general education aids	
This includes postsecondary, college level and graduate school education programs.		This includes assistance for the arts, the humanities, educational radio and television, public libraries, and museums.	
84.007	Federal Supplemental Educational Opportunity Grants (C)	12.440	Dissertation Year Fellowship (B)
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	45.024	Promotion of the Arts Grants to Organizations and Individuals (B)
84.016	Undergraduate International Studies and Foreign Language Programs (B)	45.025	Promotion of the Arts Partnership Agreements (B, K)
84.017	International Research and Studies (B)	45.129	Promotion of the Humanities Federal/State Partnership (A, B)
84.018	Overseas Programs Special Bilateral Projects (B)	45.130	Promotion of the Humanities Challenge Grants (B)
84.021	Overseas Programs - Group Projects Abroad (B)	45.149	Promotion of the Humanities Division of Preservation and Access (B)
		45.160	Promotion of the Humanities Fellowships and Stipends (B)
		45.161	Promotion of the Humanities Research (B)
		45.162	Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (B)
		45.163	Promotion of the Humanities Professional Development (B)
		45.164	Promotion of the Humanities Public Programs (B)
		45.169	Promotion of the Humanities Office of Digital Humanities (B)
		45.201	Arts and Artifacts Indemnity (G)
		45.301	Museums for America (B, C)
		45.308	Native American/Native Hawaiian Museum Services Program (B, C)
		45.309	Museum Grants for African American History and Culture (B, C)
		45.310	Grants to States (A)
		45.311	Native American and Native Hawaiian Library Services (B, C)
		45.312	National Leadership Grants (B, C)

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45.313	Laura Bush 21st Century Librarian Program (B, C)	84.177	Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (B)
84.145	Federal Real Property Assistance Program (H)	84.187	Supported Employment Services for Individuals with the Most Significant Disabilities (A)
84.305	Education Research, Development and Dissemination (B)	84.235	Rehabilitation Services Demonstration and Training Programs (B)
84.324	Research in Special Education (B)	84.240	Program of Protection and Advocacy of Individual Rights (A)
84.372	Statewide Longitudinal Data Systems (B)	84.246	Rehabilitation Short-Term Training (B)
(504) Training and employment			
This includes programs of job or skill training, employment services and placement, and payments to employers to subsidize employment of marginal, unemployed, or low income workers.			
17.201	Registered Apprenticeship (B, K, M, Z)	84.250	American Indian Vocational Rehabilitation Services (B)
17.201	Registered Apprenticeship (B, K, M, Z)	84.263	Innovative Rehabilitation Training (B)
17.235	Senior Community Service Employment Program (A, B)	84.264	Rehabilitation Training Technical Assistance Centers (B)
17.258	WIOA Adult Program (A)	84.315	Traditionally Underserved Populations (B)
17.259	WIOA Youth Activities (A)	84.421	Disability Innovation Fund (DIF) (B)
17.261	WIOA Pilots, Demonstrations, and Research Projects (B)	84.426	Randolph-Sheppard – Financial Relief and Restoration Payments (A)
17.264	National Farmworker Jobs Program (A)	93.041	Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)
17.265	Native American Employment and Training (A)	93.042	Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (A)
17.268	H-1B Job Training Grants (B)	93.043	Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (A)
17.270	Reentry Employment Opportunities (B)	93.044	Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (A)
17.274	YouthBuild (B)	93.045	Special Programs for the Aging, Title III, Part C, Nutrition Services (A)
17.276	Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (B)	93.047	Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (A)
17.277	WIOA National Dislocated Worker Grants / WIA National Emergency Grants (B)	93.048	Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (B)
17.278	WIOA Dislocated Worker Formula Grants (A)	93.051	Alzheimer's Disease Demonstration Grants to States (B)
17.280	WIOA Dislocated Worker National Reserve Demonstration Grants (B)	93.052	National Family Caregiver Support, Title III, Part E (A)
17.281	WIOA Dislocated Worker National Reserve Technical Assistance and Training (B)	93.053	Nutrition Services Incentive Program (A)
17.283	Workforce Innovation Fund (B)	93.054	National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (A, B)
17.285	Apprenticeship USA Grants (B)	93.060	Sexual Risk Avoidance Education (B)
17.286	Hurricanes and Wildfires of 2017 Supplemental– National Dislocated Worker Grants (B)	93.071	Medicare Enrollment Assistance Program (A, B)
17.287	Job Corps Experimental Projects and Technical Assistance (B)	93.072	Lifespan Respite Care Program (B)
17.701	Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program (B)	93.086	Healthy Marriage Promotion and Responsible Fatherhood Grants (B, L)
(505) Other labor services			
This includes aids to or regulation of the labor market (including gathering labor statistics and mediation and conciliation services) other than employment and training programs, and other than occupational safety and health programs.			
12.016	Transportation - DASH 102X and WMATA 7M Shuttles (B)	93.087	Enhance Safety of Children Affected by Substance Abuse (B)
17.002	Labor Force Statistics (B, L, Z)	93.092	Affordable Care Act (ACA) Personal Responsibility Education Program (A, B)
17.003	Prices and Cost of Living Data (L, Z)	93.093	Health Profession Opportunity Grants (B)
17.004	Productivity and Technology Data (L, Z)	93.234	Traumatic Brain Injury State Demonstration Grant Program (B)
17.005	Compensation and Working Conditions (B, L, Z)	93.235	Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program (A)
17.007	International Labor Programs (B)	93.324	State Health Insurance Assistance Program (B)
17.309	Labor Organization Reports (K, L, N, Z)	93.325	Paralysis Resource Center (B)
17.401	International Labor Programs (B)	93.340	Native American Community Research, Demonstration, and Pilot Projects (B)
17.700	Women's Bureau (K, Z)	93.356	Head Start Disaster Recovery (B)
17.720	Disability Employment Policy Development (B)	93.362	Assistive Technology National Activities (B)
(506) Social services			
This is composed of two forms of activities: (a) programs involving the provision of a broad range of services to individuals to assist them to improve their vocational capabilities (vocational rehabilitation) or family status, and (b) provision of services to the poor and elderly that are not primarily for income support and that are not an integral part of some other function (for example, community action programs).			
84.126	Rehabilitation Services Vocational Rehabilitation Grants to States (A)	93.369	ACL Independent Living State Grants (A)
84.129	Rehabilitation Long-Term Training (B)	93.432	ACL Centers for Independent Living (B)
84.160	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	93.433	ACL National Institute on Disability, Independent Living, and Rehabilitation Research (B)
84.161	Rehabilitation Services Client Assistance Program (A)	93.464	ACL Assistive Technology (A, B)
		93.469	Assistive Technology Alternative Financing Program (B)
		93.470	Alzheimer's Disease Program Initiative (ADPI) (B)
		93.496	Family Violence Prevention and Services/Culturally Specific Domestic Violence and Sexual Violence Services (B)
		93.497	Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports (A)
		93.550	Transitional Living for Homeless Youth (B)
		93.556	MaryLee Allen Promoting Safe and Stable Families Program (A, B)
		93.557	Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
		93.569	Community Services Block Grant (A, B, M)

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93.570	Community Services Block Grant Discretionary Awards (B)	93.059	Training in General, Pediatric, and Public Health Dentistry (B)
93.581	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)	93.061	Innovations in Applied Public Health Research (B)
93.586	State Court Improvement Program (A, B)	93.062	Biomonitoring Programs for State Public Health Laboratories (B)
93.587	Promote the Survival and Continuing Vitality of Native American Languages (A, B)	93.064	Laboratory Training, Evaluation, and Quality Assurance Programs (B)
93.590	Community-Based Child Abuse Prevention Grants (A, B)	93.064	Laboratory Training, Evaluation, and Quality Assurance Programs (B)
93.591	Family Violence Prevention and Services/State Domestic Violence Coalitions (A)	93.065	Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)
93.592	Family Violence Prevention and Services/Discretionary (B)	93.066	State Vital Statistics Improvement Program (B)
93.599	Chafee Education and Training Vouchers Program (ETV) (A)	93.067	Global AIDS (B)
93.600	Head Start (B, C)	93.068	Chronic Diseases: Research, Control, and Prevention (B)
93.602	Assets for Independence Demonstration Program (B, M)	93.069	Public Health Emergency Preparedness (B)
93.603	Adoption and Legal Guardianship Incentive Payments (A)	93.070	Environmental Public Health and Emergency Response (B)
93.612	Native American Programs (B)	93.073	Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)
93.618	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A, B)	93.074	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A, B)
93.623	Basic Center Grant (B)	93.079	Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (B)
93.630	Developmental Disabilities Basic Support and Advocacy Grants (A)	93.080	Blood Disorder Program: Prevention, Surveillance, and Research (B)
93.631	Developmental Disabilities Projects of National Significance (B)	93.082	Sodium Reduction in Communities (B)
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)	93.083	Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (B)
93.645	Stephanie Tubbs Jones Child Welfare Services Program (A)	93.084	Prevention of Disease, Disability, and Death by Infectious Diseases (B)
93.647	Social Services Research and Demonstration (B)	93.088	Advancing System Improvements for Key Issues in Women's Health (B)
93.648	Child Welfare Research Training or Demonstration (B)	93.099	Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (B)
93.652	Adoption Opportunities (B)	93.107	Area Health Education Centers (B)
93.667	Social Services Block Grant (A)	93.110	Maternal and Child Health Federal Consolidated Programs (B)
93.669	Child Abuse and Neglect State Grants (A)	93.116	Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)
93.670	Child Abuse and Neglect Discretionary Activities (B)	93.118	Acquired Immunodeficiency Syndrome (AIDS) Activity (B)
93.671	Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (A)	93.121	Oral Diseases and Disorders Research (B)
93.676	Unaccompanied Alien Children Program (B)	93.124	Nurse Anesthetist Traineeship (A)
93.714	ARRA – Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)	93.127	Emergency Medical Services for Children (B)
93.734	Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF) (B, Z)	93.129	Technical and Non-Financial Assistance to Health Centers (B)
93.747	Elder Abuse Prevention Interventions Program (B)	93.130	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)
93.761	Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (B)	93.134	Grants to Increase Organ Donations (B)
93.763	Alzheimer's Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (B)	93.135	Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)
93.787	Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (B)	93.145	HIV-Related Training and Technical Assistance (B)
93.843	ACL Assistive Technology State Grants for Protection and Advocacy (A)	93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)
93.844	ACL Centers for Independent Living, Recovery Act (B)	93.155	Rural Health Research Centers (B)
93.872	Tribal Maternal, Infant, and Early Childhood Home Visiting (B)	93.157	Centers of Excellence (B)
93.873	State Grants for Protection and Advocacy Services (A)	93.162	National Health Service Corps Loan Repayment (C)
94.003	State Commissions (B)	93.165	Grants to States for Loan Repayment (B, C)
94.009	Training and Technical Assistance (B, L, M)	93.178	Nursing Workforce Diversity (B)
94.016	Senior Companion Program (B)	93.184	Disabilities Prevention (B)
94.017	Senior Demonstration Program (B)	93.185	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (B)
		93.186	National Research Service Award in Primary Care Medicine (B)
		93.191	Graduate Psychology Education (B)
		93.197	Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)
		93.211	Telehealth Programs (B)
		93.217	Family Planning Services (B)
		93.223	Development and Coordination of Rural Health Services (B)

(550) Health

This function includes those programs that have as their basic purpose the promotion of the physical and mental health of the nation's population, including the prevention of illness and accidents. It includes planning, financing, and provision of medical care; research into the causes and cure of disease; the financing of specialized programs for training of health workers and researchers; and promotion of consumer and occupational health and safety. Excluded from the health function are Federal health care costs that are an integral part of another function, such as Medicare and medical care for military personnel and for veterans. General scientific research that has medical applications (such as that conducted by the National Science Foundation) and health programs financed through foreign assistance programs are also excluded from the health function.

93.011 National Organizations of State and Local Officials (B)

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93.224	Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (B)	93.394	Cancer Detection and Diagnosis Research (B)
93.236	Grants to States to Support Oral Health Workforce Activities (B)	93.395	Cancer Treatment Research (B)
93.241	State Rural Hospital Flexibility Program (B)	93.396	Cancer Biology Research (B)
93.247	Advanced Nursing Education Workforce Grant Program (B)	93.397	Cancer Centers Support Grants (B)
93.250	Geriatric Academic Career Awards Department of Health and Human Services (B)	93.398	Cancer Research Manpower (B)
93.251	Early Hearing Detection and Intervention (B)	93.399	Cancer Control (B)
93.253	Poison Center Support and Enhancement Grant (B)	93.421	Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (B)
93.255	Children's Hospitals Graduate Medical Education Payment Program (C)	93.422	National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (B)
93.257	Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	93.424	NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (B)
93.260	Family Planning Personnel Training (B)	93.426	Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (B)
93.261	Scaling the National Diabetes Prevention Program to Priority Populations (B)	93.427	Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes. (B)
93.262	Occupational Safety and Health Program (B, M)	93.430	PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (B)
93.264	Nurse Faculty Loan Program (NFLP) (A)	93.431	Networking2Save™: CDC's National Network Approach to Preventing and Controlling Tobacco-related Cancers in Special Populations (B)
93.266	Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (B)	93.435	Innovative State and Local Public Health Strategies to prevent and Manage Diabetes and Heart Disease and Stroke- (B)
93.268	Immunization Cooperative Agreements (B)	93.436	WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (WISEWOMAN) (B)
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	93.437	PPHF2018-National Organization for Chronic Disease Prevention and Health Promotion-financed in part by 2018 Prevention and Public Health Funds (B)
93.270	Viral Hepatitis Prevention and Control (B)	93.439	State Physical Activity and Nutrition (SPAN) (B)
93.276	Drug-Free Communities Support Program Grants (B)	93.446	Partner Actions to Improve Oral Health Outcomes (B)
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance (B)	93.456	CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (B)
93.288	National Health Service Corps Scholarship Program (C)	93.461	HRSA COVID-19 Claims Reimbursement for the Uninsured Program and the COVID-19 Coverage Assistance Fund (C)
93.300	National Center for Health Workforce Analysis (B)	93.465	Tobacco Prevention and Control Legal Technical Assistance (B)
93.301	Small Rural Hospital Improvement Grant Program (B)	93.478	Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (B)
93.303	Nurse Corps Scholarship (C)	93.479	Good Health and Wellness in Indian Country (B)
93.304	Racial and Ethnic Approaches to Community Health (B)	93.488	National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (B)
93.312	Child Development and, Surveillance, Research and Prevention (B)	93.498	Provider Relief Fund (C)
93.314	Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (B)	93.504	Family to Family Health Information Centers (B)
93.315	Rare Disorders: Research, Surveillance, Health Promotion, and Education (B)	93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)
93.316	Public Health Preparedness and Response Science, Research, and Practice (B)	93.521	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (B)
93.317	Emerging Infections Programs (B)	93.526	Grants for Capital Development in Health Centers (B)
93.319	Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (B)	93.527	Grants for New and Expanded Services under the Health Center Program (B)
93.322	CSELS Partnership: Strengthening Public Health Laboratories (B)	93.528	National Forum for State and Territorial Chief Executives (B)
93.328	National Implementation and Dissemination for Chronic Disease Prevention (B)	93.530	Teaching Health Center Graduate Medical Education Payment (A)
93.334	The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (B)	93.547	National Health Service Corps (B, C)
93.336	Behavioral Risk Factor Surveillance System (B)	93.606	Affordable Care Act - Preparedness and Emergency Response Learning Centers (B)
93.339	Public Health Conference Support (B)	93.649	Nutrition and Physical Activity Programs (B)
93.342	Health Professions Student Loans, Including Primary Care Loans and Loans for Disadvantaged Students (B)	93.680	Medical Student Education (B)
93.353	21st Century Cures Act - Beau Biden Cancer Moonshot (B)	93.686	Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B (B)
93.359	Nurse Education, Practice Quality and Retention Grants (B)	93.697	COVID-19 Testing and Mitigation for Rural Health Clinics (C)
93.364	Nursing Student Loans (E)	93.732	Mental and Behavioral Health Education and Training Grants (B)
93.365	Sickle Cell Treatment Demonstration Program (B)		
93.366	State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (B)		
93.376	Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (B)		
93.377	Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (B)		
93.391	Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (B)		
93.393	Cancer Cause and Prevention Research (B)		

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<p>93.738 PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (B)</p> <p>93.755 Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)</p> <p>93.759 Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (B)</p> <p>93.762 A Comprehensive Approach to Good Health and Wellness in Indian Country – financed solely by Prevention and Public Health (B)</p> <p>93.765 PPHF-CDC Partnership: Strengthening Public Health Laboratories (A)</p> <p>93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A, C)</p> <p>93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)</p> <p>93.792 Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (B)</p> <p>93.796 State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (A)</p> <p>93.800 Organized Approaches to Increase Colorectal Cancer Screening (B)</p> <p>93.809 National Organizations for Chronic Disease Prevention and Health Promotion (B)</p> <p>93.810 Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (B)</p> <p>93.814 Partner support for heart disease and stroke prevention (B)</p> <p>93.816 Preventing Heart Attacks and Strokes in High Need Areas (B)</p> <p>93.818 Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (B)</p> <p>93.822 Health Careers Opportunity Program (HCOP) (B)</p> <p>93.833 Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (B)</p> <p>93.834 Capacity Building Assistance (CBA) for High-Impact HIV Prevention (B)</p> <p>93.845 Promoting Population Health through Increased Capacity in Alcohol Epidemiology (B)</p> <p>93.850 Improving Epilepsy Programs, Services, and Outcomes through National Partnerships (B)</p> <p>93.852 National Syndromic Surveillance Program Community of Practice (NSSP CoP) (B)</p> <p>93.858 National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (B)</p> <p>93.860 Emerging Infections Sentinel Networks (A, B)</p> <p>93.870 Maternal, Infant and Early Childhood Home Visiting Grant (A, B)</p> <p>93.877 Autism Collaboration, Accountability, Research, Education, and Support (B)</p> <p>93.884 Primary Care Training and Enhancement (B)</p> <p>93.898 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (B)</p> <p>93.908 Nurse Corps Loan Repayment Program (C)</p> <p>93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement (B)</p> <p>93.913 Grants to States for Operation of State Offices of Rural Health (B)</p> <p>93.914 HIV Emergency Relief Project Grants (B)</p> <p>93.917 HIV Care Formula Grants (A, B)</p> <p>93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)</p> <p>93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)</p> <p>93.923 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (B, C)</p> <p>93.924 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (A, B)</p> <p>93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)</p> <p>93.926 Healthy Start Initiative (B)</p> <p>93.928 Special Projects of National Significance (B)</p> <p>93.932 Native Hawaiian Health Care Systems (B)</p>	<p>93.939 HIV Prevention Activities Non-Governmental Organization Based (B)</p> <p>93.940 HIV Prevention Activities Health Department Based (B)</p> <p>93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)</p> <p>93.942 Research, Prevention, and Education Programs on Lyme Disease in the United States (B)</p> <p>93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)</p> <p>93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)</p> <p>93.945 Assistance Programs for Chronic Disease Prevention and Control (B)</p> <p>93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)</p> <p>93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)</p> <p>93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)</p> <p>93.967 CDC's Collaboration with Academia to Strengthen Public Health (B)</p> <p>93.969 PPHF Geriatric Education Centers (B)</p> <p>93.974 Family Planning Service Delivery Improvement Research Grants (B)</p> <p>93.976 Primary Care Medicine and Dentistry Clinician Educator Career Development Awards (B)</p> <p>93.977 Sexually Transmitted Diseases (STD) Prevention and Control Grants (B)</p> <p>93.978 Sexually Transmitted Diseases (STD) Provider Education Grants (B)</p> <p>93.978 Sexually Transmitted Diseases (STD) Provider Education Grants (B)</p> <p>93.980 Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (B, Z)</p> <p>93.981 Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (B)</p> <p>93.994 Maternal and Child Health Services Block Grant to the States (A)</p> <p>93.998 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (B)</p>
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(551) Health care services

This includes the costs of financing the provision of medical services to individuals and families whether such services are provided directly by the Federal Government or financed through grants, contracts, insurance, or reimbursements.

<p>12.011 DOD HIV/AIDS Prevention Program (B)</p> <p>12.350 Department of Defense HIV/AIDS Prevention Program (B)</p> <p>15.252 Abandoned Mine Land Reclamation (AMLR) (A, B)</p> <p>93.007 Public Awareness Campaigns on Embryo Adoption (B)</p> <p>93.008 Medical Reserve Corps Small Grant Program (B)</p> <p>93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B, Z)</p> <p>93.074 Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (A, B)</p> <p>93.078 Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (B)</p> <p>93.081 ASPR Science Preparedness and Response Grants (B)</p> <p>93.085 Research on Research Integrity (B)</p> <p>93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)</p> <p>93.097 Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (B)</p> <p>93.098 Tribal Public Health Capacity Building and Quality Improvement (B)</p> <p>93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)</p>

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93.123	Health Professions Pre-graduate Scholarship Program for Indians (M)	93.525	State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges (B)
93.136	Injury Prevention and Control Research and State and Community Based Programs (B)	93.533	Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (B)
93.137	Community Programs to Improve Minority Health Grant Program (B)	93.534	Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (B)
93.161	Health Program for Toxic Substances and Disease Registry (B)	93.545	Consumer Operated and Oriented Plan [CO-OP] Program (E)
93.164	Indian Health Service Educational Loan Repayment (C)	93.621	Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (B)
93.193	Urban Indian Health Services (B)	93.624	Community Health Access and Rural Transformation (CHART) Model (A, B)
93.210	Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)	93.634	Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals (B)
93.228	Indian Health Service, Health Management Development Program (B)	93.638	ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (B)
93.231	Epidemiology Cooperative Agreements (B)	93.639	Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services (B)
93.237	Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (B)	93.640	Basic Health Program (Affordable Care Act) (A, G)
93.240	State Capacity Building (B)	93.650	Accountable Health Communities (A)
93.243	Substance Abuse and Mental Health Services Projects of Regional and National Significance (B)	93.653	Indian Health Service Domestic Violence Prevention Programs (B)
93.284	Injury Prevention Program for American Indians and Alaskan Natives Cooperative Agreements (B)	93.654	Indian Health Service Behavioral Health Programs (B)
93.291	Surplus Property Utilization (H)	93.664	Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (B)
93.297	Teenage Pregnancy Prevention Program (B)	93.665	Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 (B)
93.305	PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (B)	93.687	Maternal Opioid Misuse Model (A)
93.311	Mobilization For Health: National Prevention Partnership Awards (B)	93.691	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (B)
93.318	Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (B)	93.696	Certified Community Behavioral Health Clinic Expansion Grants (B)
93.326	Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (B)	93.733	Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (B)
93.332	Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (B)	93.735	State Public Health Approaches for Ensuring Qiltline Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (B)
93.343	Public Health Service Evaluation Funds (B)	93.745	PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (B)
93.345	Leading Edge Acceleration Projects (LEAP) in Health Information Technology (B)	93.753	Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (B)
93.346	Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (B)	93.755	Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (B)
93.347	Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (B)	93.767	Children's Health Insurance Program (A)
93.348	Technical Assistance to Increase Tobacco Cessation (B)	93.772	Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (B)
93.355	Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program) (B)	93.775	State Medicaid Fraud Control Units (A)
93.360	Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)	93.778	Medical Assistance Program (A)
93.378	Integrated Care for Kids Model (A)	93.788	Opioid STR (A)
93.380	The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (B)	93.791	Money Follows the Person Rebalancing Demonstration (A, B)
93.381	Emergency Triage, Treat, and Transport (ET3) Model (A)	93.801	Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (B)
93.382	Indian Health Service Community Health Aide Program (B)	93.817	Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (A)
93.382	Indian Health Service Community Health Aide Program (B)	93.825	National Ebola Training and Education Center (NETEC) (B)
93.387	National and State Tobacco Control Program (B)	93.826	Closing the Gap Between Standards Development and Implementation (B)
93.413	The State Flexibility to Stabilize the Market Grant Program (B)	93.829	Section 223 Demonstration Programs to Improve Community Mental Health Services (B)
93.423	1332 State Innovation Waivers (B)	93.831	Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (B)
93.441	Indian Self-Determination (C)	93.835	Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (B)
93.444	Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)	93.861	Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (B)
93.462	Strengthening the Technical Advancement & Readiness of Public Health via Health Information Exchange Program (B)	93.874	Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (B)
93.494	Global Tuberculosis:Developing,Evaluating,Implementing Evidence-based and Innovative Approaches to Find, Cure, and Prevent Tuberculosis Globally (B)	93.881	The Reduction of Issuer Burden Through Technology Grant Program and The Health Insurance Enforcement and Consumer Protections Grant Program (B)
93.495	Community Health Workers for Public Health Response and Resilient (B)		
93.500	Pregnancy Assistance Fund Program (B)		
93.524	Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations-financed in part by Prevention and Public Health Funds (PPHF) (B)		

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93.882	High Impact Pilot Awards (B)	93.361	Nursing Research (B, M)
93.883	Standards Exploration Award (B)	93.516	Public Health Training Centers Program (B)
93.889	National Bioterrorism Hospital Preparedness Program (A, B)	93.702	National Center for Research Resources, Recovery Act Construction Support (B)
93.893	Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (B)	93.837	Cardiovascular Diseases Research (B)
93.910	Family and Community Violence Prevention Program (B)	93.838	Lung Diseases Research (B)
93.933	Demonstration Projects for Indian Health (B)	93.839	Blood Diseases and Resources Research (B)
93.958	Block Grants for Community Mental Health Services (A, B)	93.840	Translation and Implementation Science Research for Heart, Lung, Blood Diseases, and Sleep Disorders (B)
93.959	Block Grants for Prevention and Treatment of Substance Abuse (A)	93.846	Arthritis, Musculoskeletal and Skin Diseases Research (B)
93.968	Funding in Support of the Pennsylvania Rural Health Model (B)	93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research (B)
93.970	Health Professions Recruitment Program for Indians (B)	93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders (B)
93.971	Health Professions Preparatory Scholarship Program for Indians (M)	93.855	Allergy and Infectious Diseases Research (B)
93.972	Health Professions Scholarship Program (M)	93.859	Biomedical Research and Research Training (B)
93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)	93.865	Child Health and Human Development Extramural Research (B)
93.983	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (B)	93.866	Aging Research (B)
93.986	Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (A, Z)	93.867	Vision Research (B)
93.990	National Health Promotion (B)	93.879	Medical Library Assistance (B)
93.991	Preventive Health and Health Services Block Grant (A, B)	93.936	National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B, C)
93.997	Assisted Outpatient Treatment (B)	93.989	International Research and Research Training (B, M)

(552) Health research and training

This includes the cost of all research programs _ whether basic or applied _ that are financed specifically as health or medical research. It excludes research that is an integral part of other functions (such as biomedical research in the space program). It also includes programs specifically funded as health training programs.

93.113	Environmental Health (B)
93.117	Preventive Medicine Residency (B)
93.140	Intramural Research Training Award (C)
93.142	NIEHS Hazardous Waste Worker Health and Safety Training (B)
93.143	NIEHS Superfund Hazardous Substances_Basic Research and Education (B)
93.172	Human Genome Research (B, M)
93.173	Research Related to Deafness and Communication Disorders (B)
93.187	Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (C)
93.209	Contraception and Infertility Research Loan Repayment Program (C)
93.213	Research and Training in Complementary and Integrative Health (B, M)
93.220	National Institutes of Health Intramural Loan Repayment Program (C)
93.225	National Research Service Awards Health Services Research Training (M)
93.226	Research on Healthcare Costs, Quality and Outcomes (B)
93.232	Loan Repayment Program for General Research (C)
93.233	National Center on Sleep Disorders Research (B)
93.242	Mental Health Research Grants (B, M)
93.273	Alcohol Research Programs (B)
93.279	Drug Abuse and Addiction Research Programs (B, M)
93.280	National Institutes of Health Extramural Loan Repayment Program (C)
93.285	National Institutes of Health Pediatric Research Loan Repayment Program (C)
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health (B)
93.307	Minority Health and Health Disparities Research (B)
93.308	National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (C)
93.310	Trans-NIH Research Support (B, M)
93.350	National Center for Advancing Translational Sciences (B)
93.351	Research Infrastructure Programs (B)
93.352	Construction Support (B)

(554) Consumer and occupational health and safety

This includes such programs as meat and poultry inspection, food and drug inspection, consumer product safety, and occupational safety.

10.475	Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
10.477	Meat, Poultry, and Egg Products Inspection (B, J)
10.479	Food Safety Cooperative Agreements (B)
17.502	Occupational Safety and Health Susan Harwood Training Grants (B, M)
17.503	Occupational Safety and Health State Program (A, B)
17.504	Consultation Agreements (A, B)
17.600	Mine Health and Safety Grants (B)
17.601	Mine Health and Safety Counseling and Technical Assistance (K, L, Z)
17.602	Mine Health and Safety Education and Training (M, Z)
17.603	Brookwood-Sago Grant (B)
17.604	Safety and Health Grants (B)
87.002	Virginia Graeme Baker Pool and Spa Safety (B)
93.103	Food and Drug Administration Research (B)
93.876	Antimicrobial Resistance Surveillance in Retail Food Specimens (B)

(571) Medicare

Medicare is composed of the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds. This includes all off-setting collections to these two funds, except for employers share and employee retirement (subfunction_951) and net interest (interest received by on_budget trust funds_902). Also includes trust funds and general funds payments to those funds.

93.770	Medicare Prescription Drug Coverage (C, G)
93.773	Medicare Hospital Insurance (C, G)
93.774	Medicare Supplementary Medical Insurance (C, G)

(601) General retirement and disability insurance (excluding social security)

This includes non-needs-tested retirement and disability programs except for those specifically restricted to Federal employees and social security. Most programs in this subfunction are financed through trust fund mechanisms but it includes some Federal funds programs such as payments to coal miners. The subfunction is composed mainly of the railroad retirement fund and special benefits for coal miners.

17.150	Employee Benefits Security Administration (L, Z)
17.302	Longshore and Harbor Workers' Compensation (D)
17.307	Coal Mine Workers' Compensation (D)
57.001	Social Insurance for Railroad Workers (D, Z)

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57.001	Social Insurance for Railroad Workers (D, Z)	14.869	Title VI Federal Guarantees for Financing Tribal Housing Activities (F)
57.001	Social Insurance for Railroad Workers (D, Z)	14.870	Resident Opportunity and Supportive Services - Service Coordinators (B)
86.001	Pension Plan Termination Insurance (G)	14.870	Resident Opportunity and Supportive Services - Service Coordinators (B)
96.007	Social Security Research and Demonstration (B, Z)		

(603) Unemployment compensation

Benefits for unemployed workers that are not conditioned by needs tests. This subfunction does not include other benefits (such as food stamps) that an unemployed person might be eligible for under any other program.

17.225	Unemployment Insurance (A, D)
17.225	Unemployment Insurance (A, D)
57.001	Social Insurance for Railroad Workers (D, Z)
57.001	Social Insurance for Railroad Workers (D, Z)
57.001	Social Insurance for Railroad Workers (D, Z)
57.001	Social Insurance for Railroad Workers (D, Z)

(604) Housing assistance

Federal income support and related expenses that are specifically for and limited to financing or providing housing for individuals and families.

10.405	Farm Labor Housing Loans and Grants (B, E, F)
10.417	Very Low-Income Housing Repair Loans and Grants (B, E)
10.420	Rural Self-Help Housing Technical Assistance (B)
10.427	Rural Rental Assistance Payments (C)
10.427	Rural Rental Assistance Payments (C)
10.433	Rural Housing Preservation Grants (B)
10.438	Section 538 Rural Rental Housing Guaranteed Loans (F)
10.448	Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)
10.495	Farm Labor Housing Technical Assistance Grants (B)
14.103	Interest Reduction Payments Rental and Cooperative Housing for Lower Income Families (C, F)
14.169	Housing Counseling Assistance Program (B)
14.195	Section 8 Housing Assistance Payments Program (C)
14.231	Emergency Solutions Grant Program (A)
14.235	Supportive Housing Program (B, C)
14.238	Shelter Plus Care (B)
14.239	Home Investment Partnerships Program (A)
14.241	Housing Opportunities for Persons with AIDS (A, B)
14.247	Self-Help Homeownership Opportunity Program (B)
14.249	Section 8 Moderate Rehabilitation Single Room Occupancy (B)
14.250	Rural Housing and Economic Development (B)
14.261	Homeless Management Information Systems Technical Assistance (B)
14.265	Rural Capacity Building for Community Development and Affordable Housing Grants (B)
14.266	Border Community Capital Initiative (B)
14.267	Continuum of Care Program (B, C)
14.268	Rural Housing Stability Assistance Program (B, C)
14.270	Appalachia Economic Development Initiative (B)
14.271	Delta Community Capital Initiative (B)
14.273	Pay for Success Permanent Supportive Housing Demonstration (B)
14.275	Housing Trust Fund (A)
14.276	Youth Homelessness Demonstration Program (B, C)
14.278	Veterans Housing Rehabilitation and Modification Program (B, Z)
14.279	Specialized Housing and Services for Victims of Human Trafficking (B)
14.316	Housing Counseling Training Program (B)
14.322	Tenant Education and Outreach Program (B)
14.327	Performance Based Contract Administrator Program (C)
14.327	Performance Based Contract Administrator Program (C)
14.537	Eviction Protection Grant Program (B)
14.850	Public and Indian Housing (A, C)
14.856	Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (C)
14.867	Indian Housing Block Grants (A, B)

14.871	Section 8 Housing Choice Vouchers (C)
14.872	Public Housing Capital Fund (A)
14.873	Native Hawaiian Housing Block Grants (B)
14.878	Affordable Housing Development in Main Street Rejuvenation Projects (B)
14.879	Mainstream Vouchers (C)
14.879	Mainstream Vouchers (C)
14.880	Family Unification Program (FUP) (C)
14.881	Moving to Work Demonstration Program (A)
14.881	Moving to Work Demonstration Program (A)
14.881	Moving to Work Demonstration Program (A)
14.888	Lead-Based Paint Capital Fund Program (B)
14.888	Lead-Based Paint Capital Fund Program (B)
14.889	Choice Neighborhoods Implementation Grants (B)
14.892	Choice Neighborhoods Planning Grants (B)
14.893	Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (M)
14.895	Jobs-Plus Pilot Initiative (B)
14.895	Jobs-Plus Pilot Initiative (B)
14.896	Family Self-Sufficiency Program (B)
14.899	Tribal HUD-VA Supportive Housing Program (B)

(605) Food and nutrition assistance

Federal income support and related expenses that are specifically for and limited to financing or providing food or nutritional assistance to individuals and families.

10.155	Marketing Agreements and Orders (J, K, Z)
10.225	Community Food Projects (B)
10.528	USDA WIC Telehealth Evaluation Collaborative (B)
10.529	Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project (B)
10.531	State Agency: Farm to School Program Training and Curricula (B)
10.532	School Nutrition Training Grant for Allied Professional Organizations (B)
10.533	SNAP-Ed Toolkit (B)
10.533	SNAP-Ed Toolkit (B)
10.534	CACFP Meal Service Training Grants (A)
10.535	SNAP Fraud Framework Implementation Grant (B)
10.536	CACFP Training Grants (B)
10.537	Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (B)
10.539	CNMI Nutrition Assistance (B, C)
10.540	Participant Research Innovation Laboratory for Enhancing WIC Services (B, Z)
10.541	Child Nutrition-Technology Innovation Grant (B)
10.542	Pandemic EBT Food Benefits (A)
10.543	Healthier US School Challenge: Smarter Lunchrooms (C)
10.545	Farmers' Market Supplemental Nutrition Assistance Program Support Grants (B, Z)
10.547	Professional Standards for School Nutrition Employees (B, M)
10.551	Supplemental Nutrition Assistance Program (A, B, C, Z)
10.553	School Breakfast Program (A)
10.555	National School Lunch Program (A)
10.556	Special Milk Program for Children (A)
10.557	WIC Special Supplemental Nutrition Program for Women, Infants, and Children (A, B)
10.558	Child and Adult Care Food Program (A)
10.559	Summer Food Service Program for Children (A)
10.560	State Administrative Expenses for Child Nutrition (A)

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10.561	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A, Z)
10.565	Commodity Supplemental Food Program (A, H, Z)
10.566	Nutrition Assistance For Puerto Rico (C, Z)
10.567	Food Distribution Program on Indian Reservations (B, C, H)
10.568	Emergency Food Assistance Program (Administrative Costs) (A)
10.569	Emergency Food Assistance Program (Food Commodities) (A)
10.572	WIC Farmers' Market Nutrition Program (FMNP) (A, B)
10.574	Team Nutrition Grants (B)
10.575	Farm to School Grant Program (B, L, M)
10.576	Senior Farmers Market Nutrition Program (A)
10.577	SNAP Partnership Grant (B)
10.578	WIC Grants To States (WGS) (A, B)
10.579	Child Nutrition Discretionary Grants Limited Availability (B)
10.580	Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (B, Z)
10.582	Fresh Fruit and Vegetable Program (B)
10.585	FNS Food Safety Grants (B)
10.587	National Food Service Management Institute Administration and Staffing Grant (B, Z)
10.592	Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (B)
10.593	Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (B)
10.594	Food Distribution Program on Indian Reservations Nutrition Education Grants (B)
10.596	Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (B)
10.597	School Wellness Policy Cooperative Agreement (B)
10.649	Pandemic EBT Administrative Costs (Z)
97.024	Emergency Food and Shelter National Board Program (B)

(609) Other income security

Income security programs that are not included in any other subfunction. This is composed primarily of either direct payments or grants-in-aid to finance direct payments that constitute cash income to low-income individuals and families, but it also includes refugee assistance and both administrative expenses and offsetting collections in the income security function that are not part of any other subfunction.

93.090	Guardianship Assistance (A)
93.327	Demonstration Grants for Domestic Victims of Human Trafficking (B)
93.471	Title IV-E Kinship Navigator Program (A)
93.472	Title IV-E Prevention Program (A)
93.489	Child Care Disaster Relief (A)
93.558	Temporary Assistance for Needy Families (A, L)
93.560	Payments to Territories – Adults (A)
93.563	Child Support Enforcement (A)
93.564	Child Support Enforcement Research (B)
93.566	Refugee and Entrant Assistance State/Replacement Designee Administered Programs (A, B)
93.567	Refugee and Entrant Assistance Voluntary Agency Programs (A)
93.568	Low-Income Home Energy Assistance (A, M)
93.575	Child Care and Development Block Grant (A, B)
93.576	Refugee and Entrant Assistance Discretionary Grants (B)
93.579	U.S. Repatriation (B)
93.583	Refugee and Entrant Assistance Wilson/Fish Program (B)
93.594	Tribal Work Grants (A)
93.595	Welfare Reform Research, Evaluations and National Studies (B)
93.596	Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A, B)
93.597	Grants to States for Access and Visitation Programs (A)
93.598	Services to Victims of a Severe Form of Trafficking (B, C)
93.604	Assistance for Torture Victims (B, C, Z)
93.605	Family Connection Grants (B)
93.658	Foster Care Title IV-E (A, B)

93.659	Adoption Assistance (A)
93.674	John H. Chafee Foster Care Program for Successful Transition to Adulthood (A)
96.006	Supplemental Security Income (C, D)

(651) Social security

This includes the Old Age and Survivors Insurance (OASI) and Disability Insurance (DI) trust funds and related intergovernmental payments and off-setting collections.

96.001	Social Security Disability Insurance (C, D)
96.002	Social Security Retirement Insurance (D)
96.004	Social Security Survivors Insurance (C, D)

(700) Veterans Benefits and Services

This function consists of programs providing benefits and services, the eligibility for which is related to prior military service but the financing of which is not an integral part of the costs of national defense. It includes veterans service-connected compensation; veterans non-service connected pensions; and veterans readjustment benefits, housing, and medical care programs. As a normal rule, the outlays in this function are similar to those in the broader general purpose functions (such as income security or health) but are restricted to veterans, their dependents, and their survivors. Earned rights of career military personnel that are a cost of the defense budget (such as military retired pay or medical care) are excluded from this function. The subfunctions of this function are so structured that they are readily additive to analogous major functions for the general population.

64.035	Veterans Transportation Program (B)
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(701) Income security for veterans

Includes veterans compensation, pensions, burial benefits and life insurance.

64.030	Life Insurance for Veterans – Face Amount of New Life Insurance Policies Issued (G)
64.030	Life Insurance for Veterans – Face Amount of New Life Insurance Policies Issued (G)
64.031	Life Insurance for Veterans - Direct Payments for Insurance (G)
64.031	Life Insurance for Veterans - Direct Payments for Insurance (G)
64.031	Life Insurance for Veterans - Direct Payments for Insurance (G)
64.031	Life Insurance for Veterans - Direct Payments for Insurance (G)
64.031	Life Insurance for Veterans - Direct Payments for Insurance (G)
64.031	Life Insurance for Veterans - Direct Payments for Insurance (G)
64.101	Burial Expenses Allowance for Veterans (C, D)
64.104	Pension for Non-Service-Connected Disability for Veterans (C, D)
64.105	Pension to Veterans Surviving Spouses, and Children (D)
64.109	Veterans Compensation for Service-Connected Disability (C, D)
64.110	Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)
64.127	Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (C, D)
64.128	Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (D)
64.202	Procurement of Headstones and Markers and/or Presidential Memorial Certificates (C, J, Z)
64.202	Procurement of Headstones and Markers and/or Presidential Memorial Certificates (C, J, Z)
64.205	VA Casket or Urn Reimbursement Program (C)
64.206	VA Outer Burial Receptacle Allowance Program (C)
96.020	Special Benefits for Certain World War II Veterans (D)

(702) Veterans education, training, and rehabilitation

Composed primarily of the "GI bill" readjustment benefits.

17.801	Jobs for Veterans State Grants (A)
17.805	Homeless Veterans' Reintegration Program (B)
17.807	Transition Assistance Program (J)
64.027	Post-9/11 Veterans Educational Assistance (C)
64.028	Post-9/11 Veterans Educational Assistance (C, D)
64.032	Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (C, D)

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- 64.051 Specially Adapted Housing Assistive Technology Grant Program (B)
- 64.100 Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (C)
- 64.106 Specially Adapted Housing for Disabled Veterans (C)
- 64.116 Vocational Rehabilitation for Disabled Veterans (C, D, E)
- 64.116 Vocational Rehabilitation for Disabled Veterans (C, D, E)
- 64.116 Vocational Rehabilitation for Disabled Veterans (C, D, E)
- 64.117 Survivors and Dependents Educational Assistance (C)
- 64.120 Post-Vietnam Era Veterans' Educational Assistance (D)
- 64.124 All-Volunteer Force Educational Assistance (C, D)
- 64.125 Vocational and Educational Counseling for Servicemembers and Veterans (C, K)
- 64.130 Veteran Rapid Retraining Assistance Program (C)

(703) Hospital and medical care for veterans

Composed of medical care and research financed by the Veterans Administration.

- 64.005 Grants to States for Construction of State Home Facilities (B)
- 64.011 Veterans Dental Care (J, Z)
- 64.012 Veterans Prescription Service (H, Z)
- 64.013 Veterans Prosthetic Appliances (C, J)
- 64.014 Veterans State Domiciliary Care (A)
- 64.015 Veterans State Nursing Home Care (A)
- 64.024 VA Homeless Providers Grant and Per Diem Program (B)
- 64.026 Veterans State Adult Day Health Care (A)
- 64.034 VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces (B)
- 64.039 CHAMPVA (C)
- 64.040 VHA Inpatient Medicine (C, D)
- 64.041 VHA Outpatient Specialty Care (C)
- 64.042 VHA Inpatient Surgery (C)
- 64.043 VHA Mental Health Residential (C)
- 64.044 VHA Home Care (C)
- 64.045 VHA Outpatient Ancillary Services (C)
- 64.046 VHA Inpatient Psychiatry (C)
- 64.047 VHA Primary Care (C)
- 64.048 VHA Mental Health clinics (C)
- 64.049 VHA Community Living Center (C)
- 64.050 VHA Diagnostic Care (C)
- 64.053 Payments to States for Programs to Promote the Hiring and Retention of Nurses at State Veterans Homes (B)
- 64.054 Research and Development (B)
- 64.203 Veterans Cemetery Grants Program (B)

(704) Veterans housing

Composed of housing loan and guarantee programs for, and restricted to, veterans and dependents.

- 64.114 Veterans Housing Guaranteed and Insured Loans (F)
- 64.118 Veterans Housing Direct Loans for Certain Disabled Veterans (E)
- 64.126 Native American Veteran Direct Loan Program (E)

(705) Other veterans benefits and services

Mainly the administrative expenses of the Veterans Administration.

- 64.033 VA Supportive Services for Veteran Families Program (B)
- 64.201 National Cemeteries (J, Z)
- 64.202 Procurement of Headstones and Markers and/or Presidential Memorial Certificates (C, J, Z)
- 64.204 Veterans Legacy Grants Program (B)

(750) Administration of Justice

This function includes those programs designed to provide judicial services, police protection, law enforcement (including civil rights), rehabilitation, and incarceration of criminals, and promotion of the general maintenance of domestic order. It includes the provision of court appointed counsel or other legal services to individuals. This function excludes the costs of the legislative branch, the narrow-purpose police or guard activities providing protection to Federal property, or activities which are an integral part of a broader function (such as postal inspectors, tax collection agents, and Park Service Rangers). The costs of National Guard personnel who are called upon occasionally to provide general public safety protection and military police costs are included under the national defense function rather than in this function.

- 19.701 Global Counterterrorism Programs (B)

(751) Federal law enforcement activities

Includes Customs, the Immigration and Naturalization Service, the Drug Enforcement Administration, and police and crime prevention activities in other programs. This subfunction also includes the readily identifiable enforcement costs of civil rights activities.

- 14.400 Equal Opportunity in Housing (N, Z)
- 14.401 Fair Housing Assistance Program State and Local (A, B)
- 14.408 Fair Housing Initiatives Program (B)
- 14.416 Education and Outreach Initiatives (B)
- 14.417 Fair Housing Organization Initiatives (B)
- 14.418 Private Enforcement Initiatives (B)
- 16.001 Law Enforcement Assistance Narcotics and Dangerous Drugs Laboratory Analysis (J, Z)
- 16.003 Law Enforcement Assistance Narcotics and Dangerous Drugs Technical Laboratory Publications (L, Z)
- 16.004 Law Enforcement Assistance Narcotics and Dangerous Drugs Training (M, Z)
- 16.300 Law Enforcement Assistance FBI Advanced Police Training (M)
- 16.301 Law Enforcement Assistance FBI Crime Laboratory Support (J, K, M)
- 16.302 Law Enforcement Assistance FBI Field Police Training (M)
- 16.303 Law Enforcement Assistance FBI Fingerprint Identification (J, L)
- 16.304 Law Enforcement Assistance National Crime Information Center (J, L)
- 16.305 Law Enforcement Assistance Uniform Crime Reports (K, L)
- 16.307 Combined DNA Index System (B)
- 16.308 Indian Country Investigations (M)
- 16.309 Law Enforcement Assistance National Instant Criminal Background Check System (J, L)
- 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K, N, O)
- 30.005 Employment Discrimination Private Bar Program (J)
- 30.008 Employment Discrimination Age Discrimination in Employment (K, N, O)
- 30.010 Employment Discrimination Equal Pay Act (K, N, O)
- 30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K, N, O)
- 30.013 Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (K, N, O, Z)
- 97.010 Citizenship Education and Training (B)
- 97.076 CyberTipline (B)

(752) Federal litigative and judicial activities

Includes the cost of the judiciary, the cost of prosecution and Federal expenses connected with financing legal defense activities.

- 16.922 Equitable Sharing Program (C)

(754) Criminal justice assistance

Includes the cost of grants to State and local governments to assist them in operating and improving their law enforcement and justice system.

- 16.015 Missing Alzheimer's Disease Patient Assistance Program (B)
- 16.016 Culturally and Linguistically Specific Services Program (B)
- 16.017 Sexual Assault Services Formula Program (A)
- 16.019 Tribal Registry (B)
- 16.021 Justice Systems Response to Families (B)
- 16.023 Sexual Assault Services Culturally Specific Program (B)

APPENDIX II: BUDGET FUNCTIONAL CODE APPENDIX

16.024	Tribal Sexual Assault Services Program (B)	16.596	Justice System Infrastructure Program for Indian Tribes (B)
16.025	Special Domestic Violence Criminal Jurisdiction Implementation (B)	16.601	Corrections Training and Staff Development (B, J, L, M)
16.026	OVW Research and Evaluation Program (B)	16.602	Corrections Research and Evaluation and Policy Formulation (B, J, L)
16.027	National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (B)	16.603	Corrections Technical Assistance/Clearinghouse (B, J, L)
16.028	Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (B)	16.606	State Criminal Alien Assistance Program (C)
16.029	Office on Violence Against Women Special Projects (B)	16.607	Bulletproof Vest Partnership Program (C)
16.030	National Center on Restorative Justice (B)	16.608	Tribal Justice Systems and Alcohol and Substance Abuse (B)
16.031	Emmett Till Cold Case Investigations Program (B)	16.609	Project Safe Neighborhoods (B)
16.032	Academic-based Drug Field Testing and Training Initiative (B)	16.610	Regional Information Sharing Systems (B)
16.033	Collaborative Mental Health and Anti-Recidivism Initiative (B)	16.614	State and Local Anti-Terrorism Training (B, K, L, M)
16.034	Coronavirus Emergency Supplemental Funding Program (A)	16.615	Public Safety Officers' Educational Assistance (D)
16.035	Preventing Trafficking of Girls (B)	16.710	Public Safety Partnership and Community Policing Grants (B)
16.036	Prosecuting Cold Cases Using DNA (B)	16.726	Juvenile Mentoring Program (B)
16.037	Strengthening the Medical Examiner - Coroner System (B)	16.731	Tribal Youth Program (B)
16.038	National Decertification Index (B)	16.734	Special Data Collections and Statistical Studies (B)
16.039	Rural Violent Crime Initiative (B)	16.735	PREA Program: Strategic Support for PREA Implementation (B)
16.040	Matthew Shepard and James Byrd, Jr. Hate Crimes Education, Investigation and Prosecution Program (B)	16.736	Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (B)
16.041	National Ashanti Alert Network Training and Technical Assistance Program (B)	16.738	Edward Byrne Memorial Justice Assistance Grant Program (A, B)
16.123	Community-Based Violence Prevention Program (B)	16.739	National Prison Rape Statistics Program (B)
16.203	Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (B, M)	16.741	DNA Backlog Reduction Program (A, B)
16.320	Services for Trafficking Victims (B, C)	16.742	Paul Coverdell Forensic Sciences Improvement Grant Program (A, B)
16.321	Antiterrorism Emergency Reserve (B)	16.745	Criminal and Juvenile Justice and Mental Health Collaboration Program (B)
16.524	Legal Assistance for Victims (B)	16.746	Capital Case Litigation Initiative (B)
16.525	Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (B)	16.750	Support for Adam Walsh Act Implementation Grant Program (B)
16.526	OVW Technical Assistance Initiative (B)	16.751	Edward Byrne Memorial Competitive Grant Program (B)
16.528	Enhanced Training and Services to End Violence and Abuse of Women Later in Life (B)	16.752	Economic, High-Tech, and Cyber Crime Prevention (B)
16.529	Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (B)	16.754	Harold Rogers Prescription Drug Monitoring Program (B)
16.540	Juvenile Justice and Delinquency Prevention (A, B, M)	16.756	Court Appointed Special Advocates (B)
16.543	Missing Children's Assistance (B)	16.757	Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)
16.544	Youth Gang Prevention (A, B)	16.758	Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)
16.548	Title V Delinquency Prevention Program (A)	16.812	Second Chance Act Reentry Initiative (B)
16.550	State Justice Statistics Program for Statistical Analysis Centers (B)	16.813	NICS Act Record Improvement Program (B)
16.554	National Criminal History Improvement Program (NCHIP) (B)	16.815	Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)
16.554	National Criminal History Improvement Program (NCHIP) (B)	16.816	John R. Justice Prosecutors and Defenders Incentive Act (B)
16.556	State Domestic Violence and Sexual Assault Coalitions (A, B)	16.817	Byrne Criminal Justice Innovation Program (B)
16.557	Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (B)	16.818	Children Exposed to Violence (B)
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants (B, L)	16.820	Postconviction Testing of DNA Evidence (B)
16.562	Criminal Justice Research and Development Graduate Research Fellowships (B)	16.823	Emergency Planning for Juvenile Justice Facilities (B)
16.566	National Institute of Justice W.E.B. DuBois Fellowship Program (B)	16.824	Emergency Law Enforcement Assistance Grant (B)
16.571	Public Safety Officers' Benefits Program (D)	16.825	Smart Prosecution Initiative (B)
16.575	Crime Victim Assistance (A)	16.827	Justice Reinvestment Initiative (B)
16.576	Crime Victim Compensation (A)	16.828	Swift, Certain, and Fair Supervision Program: Applying the Principles Behind Project HOPE (B)
16.578	Public Benefit Conveyance Program (H)	16.833	National Sexual Assault Kit Initiative (B)
16.582	Crime Victim Assistance/Discretionary Grants (B, C)	16.834	Domestic Trafficking Victim Program (B)
16.583	Children's Justice Act Partnerships for Indian Communities (B, C)	16.835	Body Worn Camera Policy and Implementation (A, B, I, J, K, L, M, N)
16.585	Drug Court Discretionary Grant Program (B)	16.836	Indigent Defense (B)
16.587	Violence Against Women Discretionary Grants for Indian Tribal Governments (B)	16.838	Comprehensive Opioid, Stimulant, and Substance Abuse Program (B)
16.588	Violence Against Women Formula Grants (A)	16.839	STOP School Violence (B)
16.589	Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (B)	16.840	Keep Young Athletes Safe (B)
16.590	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (B)	16.841	VOCA Tribal Victim Services Set-Aside Program (B)
16.593	Residential Substance Abuse Treatment for State Prisoners (A)	16.842	Opioid Affected Youth Initiative (B)
		16.843	Gulf States Regional Law Enforcement Technology Training and Technical Assistance Initiative (B)
		16.844	Combating Contraband Cell Phone Use in Prisons (B)

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16.888	Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (B)	Includes miscellaneous other costs such as Federal costs of territorial governments.
16.889	Grants for Outreach and Services to Underserved Populations (B)	90.401 Help America Vote Act Requirements Payments (A, C)
93.643	Children's Justice Grants to States (A)	90.404 2018 HAVA Election Security Grants (A)
95.001	High Intensity Drug Trafficking Areas Program (B)	
95.004	Anti-Doping Activities (B)	
95.005	Drug Court Training and Technical Assistance (B)	
95.006	Model Acts Program (B)	
95.008	Drug-Free Communities Support Program - National Youth Leadership Initiative (B)	
97.008	Non-Profit Security Program (A, B)	
(800) General Government		
<p>This function includes the general overhead costs of the Federal Government, including legislative and executive activities; provision of central fiscal, personnel, and property activities; and the provision of services that cannot reasonably be classified in any other major function. As a normal rule, all activities reasonably or closely associated with other functions shall be included in those functions rather than listed as a part of general government.</p>		
97.018	National Fire Academy Training Assistance (B, C)	
97.043	State Fire Training Systems Grants (B)	
(803) Central fiscal operations		
<p>Includes the general tax collection and fiscal operations of the Treasury Department.</p>		
21.004	Exchange of Federal Tax Information With State Tax Agencies (J)	
21.006	Tax Counseling for the Elderly (B)	
21.008	Low Income Taxpayer Clinics (B)	
21.009	Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)	
(804) General property and records management		
<p>Includes most of the operations of the General Services Administration (net of reimbursements from other agencies for services rendered).</p>		
39.002	Disposal of Federal Surplus Real Property (H, Z)	
39.003	Donation of Federal Surplus Personal Property (H)	
39.007	Sale of Federal Surplus Personal Property (H, Z)	
89.001	National Archives Reference Services Historical Research (I, K, L, Z)	
89.003	National Historical Publications and Records Grants (B)	
(806) General purpose fiscal assistance		
<p>Includes Federal aid to State, local, and territorial governments that is available for general fiscal support. It includes payments in lieu of taxes, grants from Forest Service and Interior Department rents, payments to territories and Puerto Rico, and the Federal payment to the District of Columbia.</p>		
15.226	Payments in Lieu of Taxes (C, D)	
15.667	Highlands Conservation (B)	
15.875	Economic, Social, and Political Development of the Territories (A, B, C, D)	
15.875	Economic, Social, and Political Development of the Territories (A, B, C, D)	
15.875	Economic, Social, and Political Development of the Territories (A, B, C, D)	
15.875	Economic, Social, and Political Development of the Territories (A, B, C, D)	
21.019	Coronavirus Relief Fund (C)	
21.023	Emergency Rental Assistance Program (C)	
21.026	Homeowner Assistance Fund (C)	
21.027	CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (C)	
21.028	Coronavirus Economic Relief for Transportation Services Act (A, Z)	
21.029	Coronavirus Capital Projects Fund (A)	
(808) Other general government		
<p>This function code is used where two or more accounts with different Treasury basic account symbols are included in a consolidated program.</p>		
10.051	Commodity Loans and Loan Deficiency Payments (D, E)	
10.069	Conservation Reserve Program (C, D)	
10.087	Biomass Crop Assistance Program (C, D)	
10.108	Livestock Indemnity Program-2014 Farm Bill (D)	
10.109	Livestock Forage Disaster Program (D)	
10.110	Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program (C)	
10.111	Tree Assistance Program (C, D)	
10.112	Price Loss Coverage (C)	
10.113	Agriculture Risk Coverage Program (C, D)	
10.114	Cotton Transition Assistance Program (C, D)	
10.116	The Margin Protection Program (C)	
10.117	Biofuel Infrastructure Partnership (B)	
10.123	Market Facilitation Program (D)	
10.127	Dairy Margin Coverage (C)	
10.131	Seafood Trade Relief Program (STRP) (C)	
10.147	Outreach Education and Technical Assistance (L)	
10.147	Outreach Education and Technical Assistance (L)	
10.147	Outreach Education and Technical Assistance (L)	
10.171	Organic Certification Cost Share Programs (B, C)	
10.178	Trade Mitigation Program Eligible Recipient Agency Operational Funds (A)	
10.443	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (B)	
10.451	Noninsured Crop Disaster Assistance Program (D)	
10.464	Socially Disadvantaged Farmers and Ranchers Policy Research Center (B)	
10.600	Foreign Market Development Cooperator Program (A, C)	
10.601	Market Access Program (A, C)	
10.603	Emerging Markets Program (B, C)	
10.604	Technical Assistance for Specialty Crops Program (B, C)	
10.605	Quality Samples Program (B, C)	
10.606	Food for Progress (C, H)	
10.617	PL-480 Market Development and Technical Assistance (B)	
10.618	Agricultural Trade Promotion Program (B)	
10.665	Schools and Roads - Grants to States (A, B)	
10.666	Schools and Roads - Grants to Counties (C)	
10.850	Rural Electrification Loans and Loan Guarantees (E, F)	
12.114	Collaborative Research and Development (B)	
12.116	Department of Defense Appropriation Act of 2003 (J)	
14.103	Interest Reduction Payments Rental and Cooperative Housing for Lower Income Families (C, F)	
15.031	Indian Community Fire Protection (C)	
15.066	Tribal Great Lakes Restoration Initiative (B)	
15.067	Strengthening Tribal Nations (B, K, L, M)	
15.156	Tribal Climate Resilience (C)	
15.159	Cultural Resources Management (B, C)	
15.161	Native Language Immersion Grant (C)	
15.162	Tiwahe Housing (B)	
15.163	Tribal Education Departments (C)	
15.252	Abandoned Mine Land Reclamation (AMLR) (A, B)	
15.252	Abandoned Mine Land Reclamation (AMLR) (A, B)	
15.252	Abandoned Mine Land Reclamation (AMLR) (A, B)	
15.253	Not-for-Profit AMD Reclamation (B)	
15.254	OSM/VISTA AmeriCorps (B, C)	
15.255	Science and Technology Projects Related to Coal Mining and Reclamation (B)	

APPENDIX II: BUDGET FUNCTIONAL CODE APPENDIX

17.207	Employment Service/Wagner-Peyser Funded Activities (A, B)
17.225	Unemployment Insurance (A, D)
17.225	Unemployment Insurance (A, D)
17.225	Unemployment Insurance (A, D)
17.245	Trade Adjustment Assistance (A)
17.271	Work Opportunity Tax Credit Program (WOTC) (A)
17.272	Permanent Labor Certification for Foreign Workers (J, Z)
17.273	Temporary Labor Certification for Foreign Workers (A, J, O)
81.008	Cybersecurity, Energy Security & Emergency Response (CESER) (B)
81.122	Electricity Research, Development and Analysis (B)
93.354	Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (B)
93.367	Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs (B, Z)
96.008	Social Security - Work Incentives Planning and Assistance Program (B, Z)
96.009	Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)
96.009	Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)
97.005	State and Local Homeland Security National Training Program (B)
97.007	Homeland Security Preparedness Technical Assistance Program (B)
97.025	National Urban Search and Rescue (US&R) Response System (B)
97.026	Emergency Management Institute Training Assistance (C)
97.027	Emergency Management Institute (EMI) Independent Study Program (M)
97.028	Emergency Management Institute (EMI) Resident Educational Program (M)
97.039	Hazard Mitigation Grant (B)
97.040	Chemical Stockpile Emergency Preparedness Program (B)
97.042	Emergency Management Performance Grants (A)
97.044	Assistance to Firefighters Grant (B)
97.055	Interoperable Emergency Communications (B)
97.061	Centers for Homeland Security (B, Z)
97.062	Scientific Leadership Awards (B, C)
97.067	Homeland Security Grant Program (A)
97.075	Rail and Transit Security Grant Program (B)
97.077	Evaluation and Demonstration of Technologies Related to Countering Weapons of Mass Destruction (B)
97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)
97.089	Driver's License Security Grant Program (B)
97.091	Homeland Security Biowatch Program (B, I, Z)
97.106	Securing the Cities Program (B, I, L)
97.107	National Incident Management System (NIMS) (B)
97.108	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B)
97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)
97.120	Rural Emergency Medical Communications Demonstration Project (B, Z)
97.123	State, Local, Tribal and Territorial Security Operations Center/Information Sharing and Analysis Center (B, Z)
97.127	Cybersecurity Education and Training (B)
97.128	CISA Cyber Security Awareness Campaign (B)
97.130	National Nuclear Forensics Expertise Development Program (B)
97.131	Emergency Management Baseline Assessments Grant (EMBAG) (C)

APPENDIX III: SOURCES OF ADDITIONAL INFORMATION

Additional information concerning Federal assistance listings and services is available from the sources listed below. The information in this Appendix is in addition to that supplied in Appendix IV (Regional and Local Office Addresses) however; they are not the offices to which an applicant applies for an assistance listing. If a potential applicant or information seeker is not certain which agency to contact, there are two types of organizations set up to help: The Federal Information Center Program and the Federal Executive Boards.

FEDERAL CITIZEN INFORMATION CENTER PROGRAM -- The Federal Citizen Information Center Program (FCIC) is a focal point for information about the Federal government. The FCIC assists people who have questions about Federal agencies, services, and listings, but do not know where to turn for an answer. FCIC information specialists either answer an inquirer's question directly or perform the necessary research to locate and refer the inquirer to the expert best able to help. Telephone users may call the FCIC toll-free on (800) 688-9889 weekdays between 9 a.m. and 8 p.m., Eastern Time. TTY users may call toll-free to the FCIC from anywhere in the U.S. by dialing (800) 326-2996.

FEDERAL EXECUTIVE BOARDS -- Federal Executive Boards (FEBs) are composed of heads of Federal field offices in the metropolitan area. The FEBs were established to improve internal Federal management practices, provide a central focus for Federal participation in civic affairs in major metropolitan centers of Federal activity, serve as a means for disseminating information within the Federal government and for promoting discussion of Federal policies and activities of importance to all Federal executives in the field. The FEBs carry out their functions under the supervision of the Office of Personnel Management (OPM). Federal Executive Associations, and Councils, have been locally organized in over 80 other metropolitan areas to perform functions similar to the Federal Executive Boards but on a lesser scale of organization and activity. For further information, contact the Assistant for Field Operations, Office of Personnel Management, Room 5524, 1900 E Street, NW., Washington, DC 20415-0001. Telephone: (202) 6061001.

CFDA Print Edition -- In addition to the downloadable electronic version of the CFDA Print Edition, a printed hard copy may be purchased for a fee through the U.S. Government Bookstore at <https://bookstore.gpo.gov/>.

REFERENCE SOURCES

The Budget of the United States Government -- The Budget of the United States Government sets forth the President's comprehensive financial plan and indicates the President's priorities for the Federal government.

Information on assistance listings by function, agency, and account, and detailed budget estimates is located in the Budget. Federal assistance listings listed in the Catalog may be identified by the 11-digit budget account identification code listed in the Budget. These codes also appear in the Financial Information section of each Catalog listing. A complete explanation of the identification code is provided in Appendix III of the Catalog (the Budget Functional Code Appendix). The Budget may be purchased from the Government Printing Office in the Washington, DC area and the U.S. Government bookstores listed in this Appendix.

The Code of Federal Regulations -- The Code of Federal Regulations (CFR) is a codification of the general and permanent rules published in the Federal Register by executive departments and agencies of the Federal government. It includes all statutory regulations of Federal agencies, and is divided into 50 titles, which represent broad subject areas of Federal regulations (e.g., Housing and Urban Development, Public Health, Public Welfare, or Transportation). Each title is divided into chapters, which usually bear the name of the issuing agency. Each chapter is further subdivided into parts covering specific regulatory areas. The number preceding the CFR citation is the title or volume number. The CFR is kept up-to-date by the individual issues of the Federal Register; these two publications must be used together to determine the latest version of any given rule. Individual volumes of the CFR are revised at least once each calendar year and issued on a staggered quarterly basis. The CFR thus serves as a convenient reference for the citizen desiring a comprehensive source for general and permanent Federal regulations.

A General index to the entire Code of Federal Regulations, the CFR Index, is revised as of July 1 each year. The numerical Finding Aids, a cumulative list of

CFR Sections affected is published monthly and is cumulated for 12 months, keyed to the revision dates of the various CFR volumes.

The Code of Federal Regulations is available in Federal depository libraries and most major libraries or Federal bookstores. Individual copies may be purchased from the Government Printing Office.

The Federal Register --

The Federal Register, published daily, is the medium for making available to the public Federal agency regulations and other legal documents of the Executive Branch. These documents cover a wide range of government activities. Here, government requirements are published which involve environmental protection, consumer product safety, food and drug standards, occupational health and safety, and many more areas of concern to the public.

The Federal Register includes proposed changes in regulated areas. Each proposed change published carries an invitation for any citizen or group to participate in the consideration of the proposed regulation through the submission of written data, views, or arguments, and sometimes by oral presentations. Through the publication of proposed rules and notices of public meetings, citizens are given the opportunity to be informed about and participate in the workings of their government.

The Federal Register is also a vehicle for the announcement of newly authorized and/or funded assistance listings, notifications of funding availability, (NOFAs), assistance listing application deadlines, and other important information. The Federal Register Index is based on a consolidation of entries appearing in the issues of the Federal Register together with broad references. It is published monthly and is cumulative for 12 months. The Federal Register and the Federal Register Index are available in Federal depository libraries and most major libraries or Federal bookstores. Additional Federal Register resources include, the Statistical Abstract of the United States; Weekly Compilation of Presidential Documents; The Federal Register: What It Is and How To Use It. All Federal Register publications may be purchased from: Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402.

Information Resources for Legislative Research and Inquiry --

Senate Document Room: (202) 224-7701

House Document Room: (202) 225-3456

Government Printing Office Order Desk: (202) 512-1800

Federal Register Inquiry Desk: (202) 523-5215

The following World Wide Web Sites provide valuable additional information:

Senate: <http://www.senate.gov/>

House of Representatives: <http://www.house.gov/>

Library of Congress: <http://thomas.loc.gov/>

Government Printing Office: <http://www.gpo.gov/>

Office of Management and Budget: <http://www.whitehouse.gov/omb/>

Additional resources available from GPO are: House and Senate Calendars (Monday edition only), How Our Laws Are Made, Digest of Public General Bills and Resolutions, United States Government Manual, Monthly Catalog of United States Government Publications. Stock numbers and prices of these publications can be obtained by calling or writing the GPO. To order or inquire about congressional documents from GPO, call: (202) 512-2465. To order or inquire about any other government publications, call the Order Desk: (202) 512-1800.

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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

AGRICULTURE, DEPARTMENT OF AGRICULTURAL MARKETING SERVICE COTTON DIVISION

Tennessee

(Grading, Marketing Services including Market News)

Nationwide: Alabama, Arizona, Arkansas, California, Georgia, Kansas, Louisiana, Mississippi, Missouri, North Carolina, Oklahoma, South Carolina, Tennessee, Texas, Virginia)

J. Jerome Boyd
3275 Appling Road
Memphis, TN, 38133
(901) 384-3000

Standardization and Quality Assurance Branch

Don West, Chief
3275 Appling Road
Memphis, TN, 38133
(901) 384-3015

DAIRY DIVISION

USDA, AMS, Dairy Division, Marketing Division
2811 Agricultural Drive
Madison, WI, 53704-6777
(608) 224-5080

Illinois

National Field Director, Dairy Grading Section, Dairy Division

800 Roosevelt Road, Building A, Suite 370
Glen Ellyn, IL, 60137
(708) 790-6920

FRUIT AND VEGETABLE DIVISION

Alabama

Robert Spann, Federal Supervisor, In-Charge
1557 Reeves Street
For Mail: P.O. Box 1368
Dothan, AL, 36302
(334) 792-5185

Arizona

Jerry W. Taylor, Regional Director
Tucson Federal Building, Box FB30
300 West Congress Street, Room 7
Tucson, AZ, 85701-1319
(520) 670-4793

Stephen Skuba, In-Charge
522 North Central Avenue, Room 106
Phoenix, AZ, 85004
(602) 379-3066

James E. Nowlin
1688 W. Adams, Room 415
Phoenix, AZ, 85007
(602) 542-0880

Baltimore/Washington

Nathaniel Taylor IV, In-Charge
USDA, AMS, F&VD
Baltimore-Washington Terminal Market Office
8610 Baltimore-Washington Boulevard, Suite 212
Jessup, MD, 20794
(301) 317-4387 and 4587

California

Kurt J. Kimmel, In-Charge
USDA, AMS, MFO
2202 Monterey Street, Suite 102-B
Fresno, CA, 93721
(209) 487-5901

Michael V. Morrelli, Federal Supervisor, In-Charge

1220 N Street, Room A-270
For Mail: P.O. 942871
Sacramento, CA, 94271-0001
(916) 654-0810, 13,15

Frederick Teensma, In-Charge
630 Sansome Street, Room 727
San Francisco, CA, 94111
(415) 705-1300

Clifton Harada, In-Charge
1320 East Olympic Avenue, Room 212
Los Angeles, CA, 90021
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(301) 504-1702

Midsouth Area

Area Budget and Fiscal Officer
Delta States Research Center
P.O. Box 225
Stoneville, MS, 38776
FTS (601) 686-5345

Midwest Area

Area Budget and Fiscal Officer
Northern Regional Research Center
1815 North University Street
Peoria, IL, 61604
FTS (700) 360-4618

North Atlantic Area

Procurement Assistance Officer
Eastern Regional Research Center
600 E. Mermaid Lane
Philadelphia, PA, 19118
FTS (215) 233-6551

Northern Plains Area

Procurement Assistance Officer
1201 Oakridge Drive, Suite 150
Fort Collins, CO, 80525-5526
FTS (303) 229-5513

Pacific West Area

Procurement Assistance Officer
Western Regional Research Center
800 Buchanan Street
Albany, CA, 94710
FTS (510) 559-6016

South Atlantic Area

Area Administrative Officer
Richard B. Russell Research Center
College Station Road
P.O. Box 5677
Athens, GA, 30604-5677
FTS (706) 546-3532

Southern Plains Area

Procurement Assistance Officer
7607 Eastmark Drive, Suite 230
College Station, TX, 77840
FTS (979) 960-9444

ANIMAL AND PLANT HEALTH INSPECTION SERVICE

REGIONAL OFFICES

Central Region

(Texas, Oklahoma, Louisiana, Arkansas, Missouri,
Kansas, Iowa, Nebraska, North Dakota, South
Dakota)
Vacant
3505 Boca Chica Boulevard, Suite 360
Brownsville, TX, 78521-4065
(956) 504-4150

(Arkansas, Iowa, Kansas, Louisiana, Missouri,
Nebraska, North Dakota, Oklahoma, South Dakota,
Texas)

Dr. R. Harrington, Jr., Regional Director
100 West Pioneer Parkway, Suite 100
Arlington, TX, 76010
(817) 276-2201

Eastern Region

(Alabama, Arkansas, Connecticut, Delaware, District

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

of Columbia, Florida, Georgia, Illinois, Indiana, Iowa, Louisiana, Kentucky, Maine, Maryland, Massachusetts, Minnesota, Michigan, Mississippi, Missouri, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, Virgin Islands, West Virginia, Wisconsin)
Gary E. Larson, Regional Director
3322 West End Avenue, Suite 301
Nashville, TN, 37203
(615) 736-2007

(Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, Rhode Island, Vermont, New York, Delaware, Pennsylvania, Wisconsin, Minnesota, Illinois, Indiana, Ohio, Michigan, District of Columbia, Maryland, Virginia, West Virginia)
Jerry L. Fowler, Regional Director
Blason II, Second Floor
505 South Lenola Road
Moorestown, NJ, 08057-1549
(609) 968-4970

Northern Region

Illinois, Indiana, Maryland, Massachusetts, Michigan, Minnesota, New Jersey, New York, Ohio, Pennsylvania, Virginia, West Virginia, Wisconsin)
Thomas Holt, Acting Regional Director
1 Winner's Circle, Suite 100
Albany, NY, 12205
(518) 453-0103

Southeastern Region

(Florida, Georgia, Alabama, Kentucky, Mississippi, Tennessee, North Carolina, South Carolina, Puerto Rico, and Virgin Islands)
Jerry L. Fowler, Regional Director
3505 25th Avenue
Building 1
Gulfport, MS, 39501
(601) 863-1813

Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee)
Harold McCoy, Assistant Regional Director
500 E. Zack Street, Suite 410
Tampa, FL, 33602-3945
(813) 228-2952

Western Region

(Arizona, Alaska, California, Colorado, Hawaii, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming)
W.W. Buisch, Acting Regional Director
384 Inverness Drive South, Suite 150
Englewood, CO, 80112
(303) 784-6202

(Alaska, Arizona, California, Colorado, Guam,

Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming)
Michael Worthen, Regional Director
12345 West Alameda Parkway, Suite 204
Lakewood, CO, 80228
(303) 969-6560

(Hawaii, Washington, Oregon, California, Alaska, Arizona, Colorado, Guam, Idaho, Montana, Nevada, New Mexico, Utah, Wyoming)
James R. Reynolds, Regional Director
9580 Micron Avenue, Suite I
Sacramento, CA, 95827
(916) 857-6065

National Wildlife Research Center
Richard Curnow
1201 Oakridge Drive
Ft. Collins, CO, 80525
(970) 223-1588

FARM SERVICE AGENCY

SERVICE CENTER

Alabama

Daniel Robinson
Alabama State FSA Office
For letter mail: P.O. Box 235013
4121 Carmichael Road, Suite 600
Montgomery, AL, 36106-5013
(334) 279-3500

Alaska

Karen Olson
Alaska State FSA Office
800 West Evergreen, Suite 216
Palmer, AK, 99645-6389
(907) 745-7982

Arizona

George Arrendondo
Arizona State FSA Office
77 East Thomas Road, Suite 240
Phoenix, AZ, 85012-3318
(602) 640-5200

Arkansas

Mike Dunaway
Arkansas State FSA Office
Federal Building, Room 5416
700 West Capitol Avenue
Little Rock, AR, 72201-3225
(501) 301-3000

California

Valente Dolcini, Acting
California State FSA Office

430 G Street, Suite 4161
Davis, CA, 95616-4161
(530) 792-5538

Colorado

Robert Eisenach
Colorado State FSA Office
655 Parfet Street
Suite E 305
Lakewood, CO, 80215-5517
(303) 236-2866

Connecticut

Harvey Polinsky
Connecticut State FSA Office
88 Day Hill Road
Windsor, CT, 06095
(860) 285-8483

Delaware

William Donald Clifton II
Delaware State FSA Office
1201 College Park Drive, Suite 101
Dover, DE, 19904-8713
(302) 678-2547

Florida

Kevin L. Kelley
Florida State FSA Office
440 N.W. 25th Place, Suite 1
Gainesville, FL, 32606
(352) 379-4500

Georgia

Hanson Carter
Georgia State FSA Office
For letter mail: P.O. Box 1907
Federal Building, Room 102
355 East Hancock Avenue
Athens, GA, 30603-1907
(706) 546-2266

Hawaii

Jo-Anna Nakata
Hawaii State FSA Office
300 Ala Moana Boulevard, Room 5106
For letter mail: P.O. Box 50008
Honolulu, HI, 96850
(808) 541-2644

Idaho

Richard R. Rush
Idaho State FSA Office
9173 W. Barners, Suite B
Boise, ID, 83705-1511
(208) 378-5650

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Illinois

Stephen Scates
Illinois State FSA Office
For letter mail: P.O. Box 19273
3500 W Avenue
Springfield, IL, 62794-9273
(217) 241-6600

Indiana

Robert D. Peacock
Indiana State FSA Office
5981 Lakeside Boulevard
Indianapolis, IN, 46278
(317) 290-3030, Ext. 317

Iowa

Robert Soukup
Iowa State FSA Office
10500 Buena Vista Court
Des Moines, IA, 50322
(515) 254-1540, Ext. 600

Kansas

Adrian J. Polansky
Kansas State FSA Office
3600 Anderson Avenue
Manhattan, KS, 66502-2511
(785) 539-3531

Kentucky

Hampton (Hoppy) Henton
Kentucky State FSA Office
771 Corporate Drive, Suite 100
Lexington, KY, 40503-5478
(606) 224-7601

Louisiana

Willie F. Cooper
Louisiana State FSA Office
3737 Government Street
Alexandria, LA, 71302-3395
(318) 473-7721

Maine

G. Arnold Roach
Maine State FSA Office
444 Stillwater Avenue, Suite 1
P.O. Box 406
Bangor, ME, 04402-0406
(207) 990-9140

Maryland

James M. Voss
Maryland State FSA Office
River Center
8335 Guilford Road, Suite E
Columbia, MD, 21046

(410) 381-4550

Massachusetts

Charles A. Costa
Massachusetts State FSA Office
445 West Street
Amherst, MA, 01002-2957
(413) 256-0232
Use the same number for FTS

Michigan

Chris White
Michigan State FSA Office
3001 Coolidge Road, Suite 100
East Lansing, MI, 48823-6321
(517) 337-6659, Ext. 1201

Minnesota

Linda Hennen, Acting
Minnesota State FSA Office
400 Farm Credit Service Building
375 Jackson Street
St. Paul, MN, 55101-1852
(612) 602-7700

Mississippi

David Warrington
Mississippi State FSA Office
P.O. Box 14995
6310 I-55 North
Jackson, MS, 39211
(601) 965-4300

Missouri

Brad Epperson
Missouri State FSA Office
601 Business Loop 70 West
Suite 225, Parkade Plaza
Columbia, MO, 65203
(573) 876-0925

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Bruce E. Nelson
Montana State FSA Office
For letter mail: P.O. Box 670
10 East Babcock Street, Room 557
Bozeman, MT, 59715
(406) 587-6872

Nebraska

Mark Bowen
Nebraska State FSA Office
For letter mail: P.O. Box 57975

7131 A Street
Lincoln, NE, 68510-7975
(402) 437-5581

Nevada

Wendell K. Newman
Nevada State FSA Office
1755 East Plumb Lane, Suite 202
Reno, NV, 89502-3207
(775) 784-5411

New Hampshire

James McConaha
USDA-New Hampshire State FSA Office
22 Bridge Street, 4th Floor
P.O. Box 1388
Concord, NH, 03302-1338
(603) 224-7941

New Jersey

Debbie Borie Holtz
New Jersey State FSA Office
Mastoris Professional Plaza
163 Route 130
Building 2, Suite E
Bordentown, NJ, 08505-2249
(609) 298-3446
Use the same number for FTS

New Mexico

Larry Burnett
New Mexico State FSA Office
6200 Jefferson Street, N.E.
Albuquerque, NM, 87109
(505) 761-4900

New York

Marc A. Smith
New York State FSA Office
441 South Salina Street, Suite 356, 5th Floor
Syracuse, NY, 13202-2455
(315) 477-6303

North Carolina

Phillip Farland
North Carolina State FSA Office
4407 Bland Road, Suite 175
Raleigh, NC, 27609-6296
(919) 875-4800

North Dakota

Scott Stofferahn
North Dakota State FSA Office
1025 28th Street, SW
For letter mail:
P. O. Box 3046
Fargo, ND, 58108
(701) 239-5205

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Ohio

Steve Maurer
Ohio State FSA Office
Federal Building, Room 540
200 North High Street
Columbus, OH, 43215
(614) 469-6735

Oklahoma

Terry L. Peach
Oklahoma State FSA Office
100 USDA, Suite 102
Farm Road & McFarland Street
Stillwater, OK, 74074-2653
(405) 742-1130

Oregon

Jack L. Sainsbury
Oregon State FSA Office
7620 SW Mohawk
For Letter Mail: P.O. Box 1300
Tualatin, OR, 97062-8121
(503) 692-6830

Pennsylvania

William H. Baumgartner
Pennsylvania State FSA Office
One Credit Union Place, Suite 320
Harrisburg, PA, 17110-2994
(717) 237-2113

Puerto Rico

Heriberto J. Martinez
P.O. Box 11188
Fernandez Junzos Station
Suite 309, Cobian's Plaza
1607 Ponce DeLeon Avenue
Santurce, PR, 00909-0001
(809) 729-6872

Rhode Island

Paul E. Brule
Rhode Island State FSA Office
60 Quaker Lane
West Bay Office Complex, Room 40
Warwick, RI, 02886-0111
(401) 828-8232

South Carolina

Laurie C. Lawson
South Carolina State FSA Office
1927 Thurmond Mall, Suite 100
Columbia, SC, 29201-2375
(803) 806-3830

South Dakota

Michael O'Connor

South Dakota State FSA Office
200 Fourth Street, S.W., Room 308
Federal Building
Huron, SD, 57350-2478
(605) 352-1160

Tennessee

David McDole
Tennessee State FSA Office
579 U.S. Courthouse
801 Broadway
Nashville, TN, 37203-3816
(615) 736-5555

Texas

Wayland Shurley, Acting
Texas State FSA Office
Commerce National Bank Building, 2nd Floor
2405 Texas Avenue South, 77840
For letter mail: P.O. Box 2900
College Station, TX, 77841-0001
(409) 260-9207

Utah

James L. Humlicek
Utah State FSA Office
125 South State Street, Room 4239
For letter mail: P.O. Box 11350
Salt Lake City, UT, 84147-0350
(801) 524-5013

Vermont

Ronald Allbee, Acting
Vermont State FSA Office
Executive Square Office Building
346 Shelburne Street
Burlington, VT, 05401-4995
(802) 658-2803

Virginia

Donald Davis
Virginia State FSA Office
Culpeper Building, Suite 138
1606 Santa Rosa Road
Richmond, VA, 23229
(804) 287-1500

Washington

Larry R. Albin
Washington State FSA Office
Rock Pointe Tower, Suite 568
316 West Boone Avenue
Spokane, WA, 99201-2350
(509) 323-3000

West Virginia

Billy B. Burke

West Virginia State FSA Office
New Federal Building
75 High Street, Room 239
For letter mail: P. O. Box 1049
Morgantown, WV, 26507-1049
(304) 291-4351

Wisconsin

Douglas J. Caruso
Wisconsin State FSA Office
6515 Watts Road, Room 100
Madison, WI, 53719-2797
(608) 276-8732, Ext. 100

Wyoming

Carl Jensen
Wyoming State FSA Office
951 Werner Court, Suite 130
Casper, WY, 82601-1307
(307) 261-5231

FOOD AND NUTRITION SERVICE

REGIONAL OFFICES

California

(Western: Alaska, American Samoa, Arizona, California, Commonwealth of the Northern Marianas, Guam, Hawaii, Idaho, Nevada, Oregon, Washington, Freely Associated States of the Pacific)
Dick Montoya
550 Kearny Street, Room 400
San Francisco, CA, 94108
(415) 705-1310
Use the same number for FTS

Colorado

(Mountain Plains: Colorado, Iowa, Kansas, Missouri, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming)
Craig Forman
1244 Speer Boulevard, Suite 903
Denver, CO, 80204
(303) 844-0300
Use the same number for FTS

Georgia

(Southeast: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Jerry Redding
First Floor, Martin Luther King, Jr. Federal Annex
77 Forsythe Street, S.W., Suite 112
Atlanta, GA, 30303
(404) 730-2565
Use the same number for FTS

Illinois

(Midwest: Illinois, Indiana, Michigan, Minnesota,

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Ohio, Wisconsin)
Lawrence Rudmann
77 West Jackson Boulevard, 20th Floor
Chicago, IL, 60604-3507
(312) 353-6664
Use the same number for FTS

Massachusetts

(Northeast: Connecticut, Maine, Massachusetts, New Hampshire, New York, Rhode Island, Vermont)
Charles DeJulius
10 Causeway Street, Room 501
Boston, MA, 02222-1068
(617) 565-6370
Use the same number for FTS

New Jersey

(Mid-Atlantic: Delaware, District of Columbia, Maryland, New Jersey, Pennsylvania, Puerto Rico, Virgin Islands, Virginia, West Virginia)
Walt Haake
Mercer Corporate Park
Corporate Boulevard
CN 02150
Trenton, NJ, 08650
(609) 259-5025
Use the same number for FTS

Texas

(Southwest: Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Judy Snow
1100 Commerce Street, Room 5-C-30
Dallas, TX, 75242
(214) 767-0222
Use the same number for FTS

FOOD SAFETY AND INSPECTION SERVICE

REGIONAL OFFICES

Arkansas

(District 35: Arkansas, Kansas, Missouri)
Mr. Paul Kiecker, District Manager
Country Club Center
4700 South Thompson, Building B, Suite 201
Springdale, AR, 72764
(479) 751-8412

California

(District 05: Arizona, California, Nevada)
Dr. Yudhbir Sharma, District Manager
620 Central Avenue, Building 2C
Alameda, CA, 94501
(510) 337-5000

Colorado

(District 15: Alaska, American Samoa, Colorado, Guam, Hawaii, Idaho, Montana, Nebraska, Northern Mariana Islands, Oregon, Utah, Washington,

Wyoming)
Dr. Ron Nelson, District Manager
Denver Federal Center
P.O. Box 25387, Building 45
Denver, CO, 80225
(303) 236-9800

Georgia

(District 85: Florida, Georgia, Puerto Rico, South Carolina, Virgin Islands)
Dr. Phyllis Adams, District Manager
100 Alabama Street, S.W., Building 1924, Suite 3R90
Atlanta, GA, 30303
(404) 562-5900

Illinois

(District 50: Illinois, Indiana, Michigan, Ohio)
Mr. Paul Wolseley, District Manager
1919 South Highland Avenue, Suite 115C
Lombard, IL, 60148
(630) 620-7474

Iowa

(District 25: Iowa, Minnesota, North Dakota, South Dakota, Wisconsin)
Dr. Dawn Sprouts, District Manager
Room 985, Federal Building
210 Walnut Street
Des Moines, IA, 50309
(515) 727-8960

Mississippi

(District 90: Alabama, Kentucky, Mississippi, Tennessee)
Dr. Paul Resweber, District Manager
713 South Pear Orchard Road, Suite 402
Ridgeland, MS, 39157
(601) 965-4312

North Carolina

(District 80: Delaware, District of Columbia, Maryland, North Carolina, New Jersey, Virginia, West Virginia)
Dr. Steve Lalicker, District Manager
6020 Six Forks Road
Raleigh, NC, 27609
(919) 844-8400

Pennsylvania

(District 60: Connecticut, Massachusetts, Maine, New Hampshire, New York, Pennsylvania, Rhode Island, Vermont)
Mr. Jan Behney, District Manager
U.S. Department of Agriculture
Mellon Independence Center
701 Market Street, Suite 4100A
Philadelphia, PA, 19106
(215) 597-4219

Texas

(District 40: Texas)
Dr. Jennifer Beasley-McKean, District Manager
1100 Commerce, Room 516
Dallas, TX, 75242
(214) 767-9116

FOREST SERVICE

REGIONAL OFFICES

Alaska

Alaska Region
(Region 10: Alaska)
709 W. 9th Street
Juneau, AK, 99801-1807
(907) 586-8806

Colorado

Rocky Mountain- Region 2
(Region 2: Colorado, Kansas, Nebraska, South Dakota, except Northwestern Corner, Eastern two-thirds of Wyoming)

740 Simms Street
P.O. Box 25127
Golden, CO, 80401-4720
(303) 275-5741

Georgia

Southern Region- Region 8
(Region 8: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Oklahoma, Puerto Rico, South Carolina, Tennessee, Texas, Virginia, Virgin Islands)

1720 Peachtree Road, N.W.
Atlanta, GA, 30309
(404) 347-7486

Montana

Northern Region- Region 1
(Region 1: Montana, Northern Idaho, North Dakota, Northwestern corner of South Dakota)

Federal Building
200 East Broadway
P.O. Box 7669
Missoula, MT, 59807-7669
(406) 329-3511

New Mexico

Southwestern- Region 3
(Region 3: Arizona, New Mexico)

333 Broadway Blvd., SE
Santa Fe, NM, 87102-3407
(505) 842-3292

Oregon

Pacific Northwest- Region 6
(Region 6: Oregon, Washington)

333 S.W. First Street, P.O. Box 3623
Portland, OR, 97208-3623
(503) 808-2204

Pennsylvania

State and Private Forestry
(Northeastern Area State and Private Forestry:
Connecticut, Delaware, Illinois, Indiana, Iowa, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, New Hampshire, New Jersey, New York,

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Ohio, Pennsylvania, Rhode Island, Vermont, West Virginia, Wisconsin)

11 Campus Blvd., Suite 200
Newtown Square, PA, 19073-3200
(610) 557-4103

Puerto Rico

International Institute of Tropical Forestry
(International Institute of Tropical Forestry)

Jardin Botanico Sur, 1201 Calle Ceiba
San Juan, PR, 00926-1119
(787) 766-5335

Utah

Intermountain- Region 4
(Region 4: Southern Idaho, Nevada, Utah, western one-third of Wyoming)

Federal Office Building, 324 25th Street
Ogden, UT, 84401-2300
(801) 625-5239

Wisconsin

Eastern- Region 9
Region 9: (Connecticut, Delaware, Illinois, Indiana, Iowa, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, West Virginia, Wisconsin)

626 East Wisconsin Ave., Suite 800 Milwaukee, WI,
53202-4616
(414) 297-3600

To locate Forest Supervisor and Ranger District Offices, consult your local telephone directory under U.S. Department of Agriculture, or the regional offices listed above.

RESEARCH HEADQUARTERS

California

Pacific Southwest- Region 5
(Region 5: California, Hawaii)

1323 Club Drive Vallejo, CA, 95492-1110
(707) 562-8737

Pacific Southwest Research Station
(Pacific Southwest Research Station: California and Hawaii)

800 Buchanan Street, West Annex Building Albany, CA, 94701-0245
(510) 559-6300

Colorado

Rocky Mountain Research Station
(Rocky Mountain Research Station: Arizona, Colorado, New Mexico, Kansas, Nebraska, North Dakota, Panhandle, South Dakota, Western Texas, Eastern two-thirds of Wyoming)

2150 Centre Ave, Building A Fort Collins, CO,
80526-1891
(303) 275-5350

North Carolina

Southern Research Station
(Southern Research Station: Florida, Georgia, North Carolina, South Carolina, Virginia, Alabama, Arkansas, Louisiana, Mississippi, Oklahoma, except Panhandle, Puerto Rico, Tennessee, eastern Texas)

200 W. T. Weaver Boulevard
Asheville, NC, 28804-3454
(704) 257-4301

Oregon

Pacific Northwest Research Station
(Pacific Northwest Research Station: Alaska, Oregon, Washington)

333 SW First Ave Portland, OR, 97204
(503) 808-2592

Pennsylvania

Northern Research Station
(Northern Research Station: Connecticut, Kentucky, Maine, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Vermont, West Virginia)

11 Campus Blvd., Suite 200 Newtown Square, PA,
19073-3200
(610) 557-4023

Utah

(Intermountain: Idaho, Montana, Nevada, Utah, western one-third of Wyoming)

Denver P. Burns
324 25th Street
Ogden, UT, 84401
(801) 625-5421

Wisconsin

Forest Products Laboratory

Forest Products Laboratory
One Gifford Pinchot Drive
Madison, WI, 53726-2398
(608) 231-9200

NATIONAL AGRICULTURAL STATISTICS SERVICE

REGIONAL OFFICES

Arkansas/ Delta Region

10800 Financial Centre Parkway, Suite 110, Little Rock, AR, 72211
501-228-9926, 1-800-327-2970, FAX 855-270-2705

California/ Pacific Region

650 Capitol Mall, Suite 6-100,
P.O. Box 1258 Zip: 95812 Sacramento, CA, 95814
916-738-6601, 1-800-851-1127, FAX 855-270-2722

Colorado/ Mountain Region

One Denver Federal Ctr, Building 67, Room 630,
P.O. Box 150969 Zip: 80215-0969 Denver, CO,
80225
(720) 787-3150, 1-800-392-3202, FAX 866-314-4029

Georgia/Southern Region

Ste 100,
355 E Hancock Ave,
Stephens Federal Bldg, Athens, GA, 30601
706-546-2236, 1-800-253-4419, FAX 855-271-9801

Iowa/ Upper Midwest Region

Federal Building,
210 Walnut Street,
Suite 833 Des Moines, IA, 50309
(515) 776-3400, 1-800-772-0825, FAX 855-271-9802

Kentucky/ Eastern Mountain Region

Gene Snyder and Courthouse Building
601 W. Broadway, Room 645
For letter mail: P.O. Box 1120 Zip: 40201
Louisville, KY, 40202
502-582-5293, 1-800-928-5277, FAX 855-270-2708

Michigan/ Great Lakes Region

3001 Coolidge Road, Suite 400,
P.O. Box 30239 Lansing, Zip: 48909-7739
East Lansing, MI, 48823
(517) 324-5300, 1-800-453-7501, FAX 855-270-2709

Missouri/ Heartland Region

601 Business Loop, 70 West,
Suite 213E,
Columbia, MO, 65203
573-876-0950, 1-800-551-1014, FAX

Nebraska/ Northern Plains Region

Rm 263 Federal Bldg, 100 Centennial Mall North,
P.O. Box 81069 Zip 68501 Lincoln, NE, 68508
402-437-5541, 1-800-582-6443, FAX 855-270-2720

Pennsylvania/ Northeastern Region

4050 Crums Mill Road, Suite 203,
P.O. Box 60607 Zip: 17106-0607 Harrisburg, PA,
17112-2875
(717) 787-3904, 1-800-498-1518, FAX 855-270-2719

Texas/Southern Plains Region

300 East 8th Street
Room 500, Federal Building
For letter mail: P.O. Box 70
Zip: 78676 Austin, TX, 78701
(512) 501-3200, 1-800-626-3142, FAX 855-270-2725

Washington/ Northwest Region

112 Henry St, NE, Suite 202,
P.O. Box 609 Zip: 98507 Olympia, WA, 98506
360-890-3300, 1-800-435-5883, FAX 855-270-2721

NATURAL RESOURCES CONSERVATION SERVICE

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

LONGSHORE AND HARBOR WORKERS' COMPENSATION

(202) 720-4525

(402) 437-5315

Rhett Johnson, Director
Grazing Lands Institute
501 Felix Street, Building 23
P.O. Box 6567
Ft. Worth, TX, 76115
(817) 334-5282, Ext. 3510

Scott Peterson, Director
National Plant Data Center
P.O. Box 74490
Baton Rouge, LA, 70874
(504) 775-6280

Rosendo Trevino III, Acting
West Regional Office
650 Capitol Mall, Room 6072
Sacramento, CA, 95814
(916) 498-5284

Phillip Jones, Head
National Soil Mechanics Center
Federal Building, Room 152
100 Centennial Mall North
Lincoln, NE, 68508-3866
(402) 437-5318

John Werner, Co-Director
National Water & Climate Center
101 SW Main Street
Portland, OR, 97204-3225
(503) 414-3107

SERVICE CENTER

Alabama

Ronnie D. Murphy
3381 Skyway Drive
Auburn, AL, 36830
(334) 887-4500

Carolyn Adams, Director
Watershed Sciences Institute
101 SW Main Street, Suite 1700
Portland, OR, 97204-3225
(502) 414-3001

Dennis Lytle, Chair
National Soil Survey Center
Federal Building, Room 152
100 Centennial Mall North
Lincoln, NE, 68508-3866
(402) 437-5499

Alaska

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800 W. Evergreen Avenue
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Palmer, AK, 99645
(907) 761-7760

National Employee Development Center
501 Felix Street, Building 23
Ft. Worth, TX, 76115

Richard Folsche, Director
National Carto & Geospatial Database Center
501 Felix street, Building 23
Ft. Worth, TX, 76115
(817) 334-5292, Ext. 3031

Arizona

Michael Somerville
3003 North Central Avenue, Suite 800
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Defense Contract Management District (DCMD)
Contact: Associate Directors for Small Business

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(202) 274-6253

Commander, Defense General Supply Center

Richmond, VA, 23297-5100
(804) 275-3801

DCMD Mid-Atlantic

2800 South 20th Street
P.O. Box 7479
Philadelphia, PA, 19101-7478
(215) 737-4006

DCMD North Central

O'Hare International Airport
10601 West Higgins Road
P.O. Box 66926
Chicago, IL, 60666-0926
(312) 825-6020

DCMD Northeast

495 Summer Street
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(617) 451-4317/8

DCMD South

805 Walker Street
Marietta, GA, 30060-2789
(404) 590-6196

DCMD West

222 North Sepulveda Boulevard
El Segundo, CA, 90245-4320
(310) 335-3260

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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875 N Randolph StArlington, VA, 22203
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26 Electronics Parkway
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2130 8th StreetWright Patterson Air Force Base, OH,
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Chicago, IL, 60605
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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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**FEDERAL MEDIATION AND
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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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 1101 S. Saginaw Street
 Flint, MI, 48502-1953
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Fresno Field Office Suite 100 2135 Fresno Street Fresno, CA, 93721-1718 (559) 487-5033, Ext. 232(559) 487-5191 FAX	Anchorage Field Office 3000 C. Street Suite 401 Anchorage, AK, 99503 (907) 677-9800(907) 677-9825 FAX	Atlanta Regional Office Five Points Plaza 40 Marietta Street Atlanta, GA, 30303-2806 (404) 331-4111(404) 730-2392 FAX
Cincinnati Field Office 15 East Seventh Street Cincinnati, OH, 45202-2401 (513) 684-3451(513) 684-6224 FAX	Hartford Field Office One Corporate Center 20 Church Street 19th FloorHartford, CT, 06103-3220 (860) 240-8000(860) 240-4850 FAX	301 N.W. 6th Street Suite 200Oklahoma City, OK, 73102 (405) 609-8532(405) 609-8403 FAX
Honolulu Field Office Three Waterfront Plaza 500 Ala Moana Boulevard, Suite 3A Honolulu, HI, 96813-4918 (808) 522-8175, Ext. 256 or 259(808) 522-8194 FAX	San Antonio Field Office One Alamo Center 106 South St. Mary's Street San Antonio, TX, 78205 (210) 475-6806(210) 472-6804 FAX	(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont) Connecticut State Office One Corporate Center, 19th Floor Hartford, CT, 06103-3220 (860) 240-4844 (860) 240-4850 FAX
Richmond Field Office The 3600 Centre 600 East Broad Street, 3rd Floor Richmond, VA, 23219-4920 (804) 771-2100(804) 771-2090 FAX	Fargo Field Office Federal Building 657 2nd Avenue North, Room 366 Fargo, ND, 58108-2483 (701) 239-5136(701) 239-5249 FAX	San Juan Field Office New San Juan Office Building 171 Carlos E. Chardon Avenue San Juan, PR, 00918-0903 (787) 766-5201(787) 766-5995 FAX
Nashville Field Office 235 Cumberland Bend Drive, Suite 200 Nashville, TN, 37228-1803 (615) 736-5213, Ext. 7120(615) 736-7848 FAX	Washington, D.C. Field Office 820 First Street, N.E., Suite 300 Washington, DC, 20002-4205 (202) 275-9200 (202) 523-9212 FAX	Seattle Federal Office Building 909 First Avenue, Suite 300 Seattle, WA, 98104-1000 (206) 220-5270(206) 220-5234 FAX
Newark Field Office One Newark Center, 13th Floor Newark, NJ, 07102-5260 (973) 622-7900(973) 645-2323 FAX	(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)	Birmingham Field Office 950 22nd Street North Suite 900 Birmingham, AL, 35203-5302 (205) 731-2617 (205) 731-2593 FAX
UMB Plaza 1670 Broadway, 22nd FloorDenver, CO, 80202-4801 (303) 672-5465(303) 672-5003 FAX	Sioux Falls Field Office 2400 West 49th Street, Suite 1-201 Sioux Falls, SD, 57105-6558 (605) 330-4223(605) 330-4428 FAX	Las Vegas Field Office Atrium Building 333 North Rancho Drive, Suite 700 Las Vegas, NV, 89106-3714 (702) 388-6500(702) 388-6244 FAX
Santa Ana Field Office World Trade Tower 1600 North Broadway Suite 101 Santa Ana, CA, 92706-3927 (714) 796-5577(714) 796-1285 FAX	Oklahoma City Field Office 500 West Main Street, Suite 400 Oklahoma City, OK, 73102-2233 (405) 553-7509(405) 553-7588 FAX	Detroit Field Office Patrick V. McNamara Federal Building 477 Michigan Avenue Detroit, MI, 48226-2592 (313) 226-7900(313) 226-5611 FAX
Grand Rapids Field Office Trade Center Building 50 Louis Street, N.W.Grand Rapids, MI, 49503-2633 (616) 456-2100(616) 456-2114 FAX	(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin) (Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)	(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Caribbean, Panama Canal Zone, Puerto Rico, Virgin Islands)
Portland Field Office 400 Southwest Sixth Avenue, Suite 700 Portland, OR, 97204-1632 (503) 326-2561(503) 326-2568 FAX	Lubbock Field Office George H. Mahon Federal Building and United States Courthouse 1205 Texas Avenue, Room 511 Lubbock, TX, 79401-4093 (806) 472-7265, Ext. 3030(806) 472-7275 FAX	Jacksonville Field Office Southern Bell Tower 301 West Bay Street, Suite 2200 Jacksonville, FL, 32202-5121 (904) 232-2627(904) 232-3759 FAX
Syracuse Field Office 128 Jefferson StreetSyracuse, NY, 13202 (315) 477-0616(315) 477-0196 FAX	Orlando Field Office Langley Building 3751 Maguire Boulevard, Suite 270 Orlando, FL, 32803-3032 (407) 648-6441(407) 648-6310 FAX	Denver Regional Office 1600 Broadway Denver, CO, 80202 (303) 672-5440(303) 672-5004 FAX
Phoenix Field Office One N. Central Avenue Suite 600 Phoenix, AZ, 85004 (602) 379-7100(602) 379-3985 FAX	Camden Field Office Hudson Building	
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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Houston Field Office 1301 Fannin Suite 2200Houston, TX, 77002 (713) 718-3199(713) 313-2319 FAX	(414) 297-3214, Ext. 8001(414) 297-3947 FAX (Arkansas, Louisiana, New Mexico, Oklahoma, Texas)	Renaissance Building 1350 Euclid Avenue, Suite 500 Cleveland, OH, 44115-1815 (216) 522-4058, Ext. 7102(216) 522-4067 FAX
Fort Worth Regional Office 801 Cherry Street P.O. Box 2905Fort Worth, TX, 76113-2905 (817) 978-5965(817) 978-5567 FAX	Jackson Field Office Doctor A. H. McCoy Federal Building 100 West Capitol Street, Room 910 Jackson, MS, 39269-1096 (601) 965-4757(601) 965-4773 FAX	Minneapolis Field Office 220 Second Street, South Minneapolis, MN, 55401-2195 (612) 370-3000, Ext. 2045(612) 370-3220 FAX
Columbus Field Office 200 North High Street Columbus, OH, 43215-2463 (614) 469-2540, Ext. 8116(614) 469-2432 FAX	San Francisco Regional Office Phillip Burton Federal Building and U.S. Courthouse 450 Golden Gate Avenue San Francisco, CA, 94102-3448 (415) 436-6532(415) 436-6446 FAX	Dallas Field Office 525 Griffin Street, Room 860 Dallas, TX, 75202-5007 (214) 767-8300(214) 767-8973 FAX (New York, New Jersey)]
Chicago Regional Office Ralph H. Metcalf Federal Building 77 West Jackson Boulevard Chicago, IL, 60604-3507 (312) 353-5680(312) 886-2729 FAX	Salt Lake City Field Office 125 South State Street Suite 3001Salt Lake City, UT, 84138 (801) 524-6070(801) 524-3439 FAX	Greensboro Field Office Asheville Building 1500 Pinemcroe Road Suite 401Greensboro, NC, 27407-3838 (336) 547-4001(336) 547-4138 FAX
One North Central Avenue Suite 600Phoenix, AZ, 85004-2361 (602) 379-7200(602) 379-3101 FAX	Albany Field Office 52 Corporate Circle Albany, NY, 12203-5121 (518) 464-4200, Ext. 4204(518) 464-4300 FAX	Charleston Field Office Kanawha Valley Building 405 Capitol Street, Suite 708 Charleston, WV, 25301-1795 (304) 347-7000, Ext. 101(304)-347-7050 FAX
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Reno, NV, 89502-6581
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Boise, ID, 83712-7743
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Tampa Field Office
Timberlake Federal Building Annex
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Tampa, FL, 33602-3945
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Aberdeen, SD, 57401

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Office Law Enforcement
Bureau of Indian Affairs
101 N. 5th Street
Muskogee, OK, 74401

All Indian Reservations in Alabama, Connecticut, Florida, Louisiana, Maine, Mississippi, New York, North Carolina and Rhode Island
Office of Law Enforcement
Bureau of Indian Affairs
1849 C Street, NW., MS 4550 MIB
Washington, DC, 20240-0001

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Office of Law Enforcement
Bureau of Indian Affairs
N. 5th Street, Bldg 2
Mailing Address:
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Office of Law Enforcement
Bureau of Indian Affairs

P.O. Box 26567
Albuquerque, NM, 87125-6567

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Office of Law Enforcement
Bureau of Indian Affairs
316 North 26th Street
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Juneau, AK, 99802-5520
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Anchorage, AK, 99501-5198
(907) 271-4086

Anchorage Education Field Office
1675 C Street
Anchorage, AK, 99501
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Anchorage Field Office
1675 C Street, Suite 211
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Western Navajo Agency-Education
Highway 160 and Warrior Drive

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Keams Canyon, AZ, 86034
(520) 738-2262

Pima Agency-Education
400 North 5th Street
PO Box 10
Phoenix, AZ, 85001
(602) 379-3944

Western Navajo Agency
P.O. Box 127
Tuba City, AZ, 86045
(520) 283-2254, 2252

Colorado River Agency, Route 1, Box 9-C
Parker, AZ, 85344
(520) 669-7111

Fort Apache Agency
P.O. Box 560
Whiteriver, AZ, 85941
(520) 338-5353

Ft. Apache Agency-Education
Highway 73 and Elm Street
PO Box 920
White River, AZ, 85941
(520) 338-5441

Fort Defiance Agency, P.O. Box 619
Fort Defiance, AZ, 86504
(520) 729-7217, 7218

Chinle Agency
P.O. Box 7H
Chinle, AZ, 86503
(520) 674-5100

Papago Agency
P.O. Box 578
Sells, AZ, 85634
(520) 383-3286

Papago Agency-Education
South Building #49
PO Box 38
Sells, AZ, 85634
(520) 383-3292

Fort Defiance Agency-Education
Building 38, Blue Canyon Highway 110
PO Box 110
Fort Defiance, AZ, 86504-0110
(520) 729-7251

Pima Agency
P.O. Box 8
Sacaton, AZ, 85247
(520) 562-3326

Chinle Agency-Education
Navjo Route 7
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Chinle, AZ, 86503
(520) 674-5130 Ext. 201

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Yuma, AZ, 85366-1000
(760) 572-0248

Truxton Canon Field Office
P.O. Box 37
Valentine, AZ, 86437
(520) 769-2286

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2800 Cottage Way
Sacramento, CA, 95825
(916) 979-2560, Ext. 234

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San Carlos, AZ, 85550
(520) 475-2321

Hopi Agency
P.O. Box 158
Keams Canyon, AZ, 86034
(520) 738-2228

Salt River Field Office
10000 E. McDowell Road
Scottsdale, AZ, 85256
(602) 640-2168

California

Southern California Agency
2038 Iowa Avenue, Suite 101
Riverside, CA, 92507-0001
(909) 276-6624

Central California Agency
1824 Tribute Road, Suite J
Sacramento, CA, 95815
(916) 566-7121

Palm Springs Field Office
P.O. Box 2245
650 E. Tahquitz Canyon Way, Suite A
Palm Springs, CA, 92262
(760) 416-2133

Northern California Field Office
1900 Churn Creek Road, Suite 300
Redding, CA, 96002
(530) 246-5141

(See also Arizona (Western)
Pacific Regional Office
Federal Office Building
2800 Cottage Way
Sacramento, CA, 95825-1846
(916) 979-2600

Colorado

Southern Ute Agency
P.O. Box 315
Ignacio, CO, 81137
(970) 563-4511

Ute Mountain Ute Field Office
P.O. Box KK
Towaoc, CO, 81334
(970) 565-8473

Florida

Seminole Agency
6075 Sterling Road
Hollywood, FL, 33024
(954) 356-7288

Idaho

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850 A Street
Plummer, ID, 83851
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Northern Idaho Agency
P.O. Drawer 277
Lapwai, ID, 83540
(208) 843-2300

Fort Hall Agency
P.O. Box 220
Fort Hall, ID, 83203
(208) 238-2301

Kansas

Haskell Indian Nations University
155 Indian Avenue #1305

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Lawrence, KS, 66046-4800
(785) 749-8404

Haskell Indian Nations University
155 Indian Avenue
Lawrence, KS, 66046
(785) 749-8404

Michigan

Michigan Field Office
2901.5 I-75 Business Spur
Sault Ste Marie, MI, 49783
(906) 632-6809

Horton Field Office
P.O. Box 31
Horton, KS, 66439
(785) 486-2161

Minnesota

Minnesota Agency
Room 418, Federal Building
522 Minnesota Avenue, NW
Bemidji, MN, 56601-3062
(218) 751-2011

Minneapolis Area Education Office
331 South Second Avenue
Minneapolis, MN, 55401-2241
(612) 373-1000, Ext. 1090

Midwest Regional Office
One Federal Drive, Room 550
St. Snelling, MN, 55111
(612) 713-4400, Ext. 1020

Red Lake Field Office
Red Lake, MN, 56671
(218) 679-3361

Mississippi

Choctaw Field Office
421 Powell Street
Philadelphia, MS, 39350
(601) 656-1522

Montana

Northern Cheyenne Agency
P.O. Box 40
Lame Deer, MT, 59043
(406) 477-8242

Crow Agency
Box 69

Billings Area Education Office
316 North 26th Street
Billings, MT, 59101-1397
(406) 247-7953

Flathead Field Office
P.O. Box 40
Pablo, MT, 59855-5555
(406) 675-0242

Rocky Mountain Regional Office
316 North 26th Street
Billings, MT, 59101-1397
(406) 247-7943

Crow Agency, MT, 59022
(406) 638-2672

Fort Belknap Agency
RR 1, Box 980
Harlem, MT, 59526
(406) 353-2901, Ext. 23

Rocky Boy's Field Office
RR 1, Box 542
Box Elder, MT, 59521
(406) 395-4476

Fort Peck Agency
P.O. Box 637
Poplar, MT, 59255
(406) 768-5312

Blackfeet Agency
P.O. Box 880
Browning, MT, 59417
(406) 338-7544

Navajo Regional Office

P.O. Box #1060
Gallup, NM, 87305
(505) 863-8314

Nebraska

Winnebago Agency
P.O. Box 18
Winnebago, NE, 68071
(402) 878-2502

Nevada

Western Nevada Agency
1677 Hot Springs Road
Carson City, NV, 89706
(775) 887-3500

Eastern Nevada Field Office
1555 Shoshone Circle
Elko, NV, 89801
(775) 738-0569

New Mexico

Eastern Navajo Agency, P.O. Box 328
Crownpoint, NM, 87313
(505) 786-6100

Shiprock Agency-Education
Highway 666N
PO Box 3239
Shiprock, NM, 87420-3239
(505) 368-4427, Ext. 360
FTS 8 (700) 479-4370

Zuni Agency
P.O. Box 369
Zuni, NM, 87327
(505) 782-5591

Ramah-Navajo Agency
Route 2, Box 14
Ramah, NM, 87321
(505) 775-3235

Northern Pueblos Agency
P.O. Box 4269
Fairview Station
Española, NM, 87533
(505) 753-1400

Shiprock Agency
P.O. Box 966
Shiprock, NM, 87420
(505) 368-3300

Laguna Agency
P.O. Box 1448
Laguna, NH, 87026
(505) 552-6001

Jicarilla Agency
P.O. Box 167
Dulce, NM, 87528
(505) 759-3951

Southern Pueblos Agency-Education
1000 Indian School Road NW
PO Box 1667
Albuquerque, NM, 87103
(505) 346-2431

Northern Pueblos Agency-Education
1 Mile North of Espanola
Highway 68

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

PO Box 4269
Fairview Station
Española, NM, 87533
(505) 753-1465

Mescalero Agency
P.O. Box 189
Mescalero, NM, 88340
(505) 671-4423

Eastern Navajo Agency-Education
1 Main Street, Building 222
PO Box 328
Crownpoint, NM, 87313
(505) 786-6150

(See also Arizona (Navajo)
Southwest Regional Office
615 1st Street, N.W.
P.O. Box 26567
Albuquerque, NM, 87125-6567
(505) 346-7590

Southern Pueblos Agency
P.O. Box 1667
Albuquerque, NM, 87103
(505) 346-2424

New York

(See Virginia, Eastern Regional Office)

New York Field Office
P.O. Box 7366
Syracuse, NY, 13261-7366
(315) 448-0620

North Carolina

Cherokee Agency
Cherokee, NC, 28719
(704) 497-9131

(See Virginia, Eastern Regional Office)

North Dakota

(See South Dakota)

Standing Rock Agency-Education
Main Street off Highway 106
Agency Avenue
PO Box E
Fort Yates, ND, 58538
(701) 854-3497

Turtle Mountain Agency
P.O. Box 60
Belcourt, ND, 58316
(701) 477-3191

Fort Berthold Agency
P.O. Box 370
New Town, ND, 58763
(701) 627-4707

Southwestern Indian Polytechnic Institute
9169 Coors Road NW
P.O. Box 10146-9196
Albuquerque, NM, 87184
(505) 346-2343

Fort Totten Agency
P.O. Box 270
Fort Totten, ND, 58335
(701) 766-4545

Standing Rock Agency
P.O. Box E
Fort Yates, ND, 58538
(701) 854-3433

Oklahoma Education Office
4149 Highline Boulevard, Suite 380
Oklahoma City, OK, 73108
(605) 945-6051 Ext. 301
(405) 605-6057

Turtle Mountain Agency-Education
School Street
PO Box 30
Belcourt, ND, 58316
(701) 477-6471 Ext. 211

Oklahoma

Concho Field Office
P.O. Box 68
El Reno, OK, 73036-0068
(405) 262-7481

Pawnee Agency, P.O. Box 440,
Pawnee, OK, 74058-0440
(918) 762-2585

Chickasaw Agency
1500 N. Country Club Road
P.O. Box 2240
Ada, OK, 74821
(580) 436-0784

Shawnee Field Office
624 West Independence, Suite 114
Shawnee, OK, 74801
(405) 273-0317

Siletz Field Office
P.O. Box 569
Siletz, OR, 97380

(541) 444-2679

Wewoka Agency
P.O. Box 1060
Wewoka, OK, 74884
(405) 257-6259

Southern Plains Office
P.O. Box 368
Anadarko, OK, 73005-0368
(405) 247-6673, Ext. 257

Miami Field Office
P.O. Box 391
Miami, OK, 74355
(918) 542-3396

Okmulgee Field Office
P.O. Box 370
Okmulgee, OK, 74447
(918) 756-3950

Anadarko Agency
P.O. Box 309
Anadarko, OK, 73005
(405) 247-6677

Talihina Office
Drawer H
Talihina, OK, 74571
(918) 567-2207

Eastern Oklahoma Regional Office
Bureau of Indian Affairs
101 North 5th Street
Muskogee, OK, 74401-6206
(918) 687-2295

Osage Agency
P.O. Box 1539
Pawhuska, OK, 74056
(918) 287-1032

Oregon

Portland Area Education Office
911 North East 11 Avenue
Portland, OR, 97232-4169
(503) 872-2743

Umatilla Agency
P.O. Box 520
Pendleton, OR, 97801
(541) 278-3786

Warm Springs Agency
P.O. Box 1239

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Warm Springs, OR, 97761
(541) 553-2411

(See also Arizona (Western)
Northwest Regional Office
911 N.E. 11th Avenue
Portland, OR, 97232-4169
(503) 231-6702

South Dakota

Great Plains Regional Office
Bureau of Indian Affairs
115 4th Avenue, SE
Aberdeen, SD, 57401-4382
(605) 226-7343

Sisseton Agency
P.O. Box 688
Agency Village, SD, 57262
(605) 698-3001

Cheyenne River Agency
P.O. Box 325
Eagle Butte, SD, 57625
(605) 964-6611

Lower Brule Agency
P.O. Box 190
Lower Brule, SD, 57548
(605) 473-5512

Crow Creek Agency
P.O. Box 139
Ft. Thompson, SD, 57339
(605) 245-2311

Yankton Agency
P.O. Box 577
Wagner, SD, 57380
(605) 384-3651

Pine Ridge Agency
P.O. Box 1203
Pine Ridge, SD, 57770
(605) 867-5125

Crow Creek/Lower Brule Agency-Education
140 Education Avenue
PO Box 139
Fort Thompson, SD, 57339
(605) 245-2398

Rosebud Agency-Education
1001 Avenue D
PO Box 669
Mission, SD, 57555
(605) 856-4478 Ext. 261

Rosebud Agency
P.O. Box 550
Rosebud, SD, 57570
(605) 747-2224

Cheyenne River Agency-Education
100 North Main
PO Box 2020
Eagle Butte, SD, 51625
(605) 964-8722

Pine Ridge Agency-Education
101 Main Street
PO Box 333
Pine Ridge, SD, 57770
(605) 867-1306

Utah

Southern Paiute
Field Office
P.O. Box 720
St. George, UT, 84771
(435) 674-9720

(see Arizona (Navajo and Western), and Oregon)

Uintah and Ouray Agency
P.O. Box 130
Fort Duchesne, UT, 84026
(435) 722-4300

Virginia

Eastern Regional Office
3701 North Fairfax Drive
Suite 260
Arlington, VA, 22203
(703) 235-3006

South and Eastern States Agency-Education
3701 North Fairfax Drive, Suite 260
Arlington, VA, 22203
(703) 235-3233

Washington

Yakima Agency
P.O. Box 151 (Tribal)
P. O. Box 632 (BLA)
Toppenish, WA, 98948
(509) 865-5121

Olympic Peninsula Agency
P.O. Box 48
Aberdeen, WA, 98520
(360) 533-9100

Spokane Agency
P.O. Box 389
Wellpinit, WA, 99040
(509) 258-4561

Makah Field Office
P.O. Box 115
Neah Bay, WA, 98357
(360) 645-3232

(See Oregon)

Colville Agency
P.O. Box 111
Nespelem, WA, 99155-0111
(509) 634-2316

Puget Field Office
2707 Colby Avenue, Suite 1101
Everett, WA, 98201
(425) 258-2651

Wisconsin

Great Lakes Agency
615 Main Street, West
P.O. Box 273
Ashland, WI, 54806-0273
(715)682-4527

(See Minnesota)

Wyoming

Cross-references denote which area or offices provide services to eligible Indians within the State.

Wind River Agency
P.O. Box 158
Fort Washakie, WY, 82514
(307) 332-7810

(See Montana)

BUREAU OF LAND MANAGEMENT

REGIONAL OFFICES

Alaska

USDI, BLM, Alaska State Office
227 W. 7th Ave 13 Anchorage, AK, 99513
(907)267-4323

Arizona

USDI, BLM, Arizona State Office
One Central Ave, Ste 800 Phoenix, AZ, 85004-4427
(602) 417-9296

Branch of Acquisition and Financial Assistance

USDI, BLM, Washington Office

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

20 M Street South East
Washington, DC, 20003-0047
(801)539-4178

California

USDI, BLM, California State Office
2800 Cottage Way, Suite W-1834
Sacramento, CA, 85825-1886
(916) 978-4527

Colorado

USDI, BLM, Colorado State Office
2850 Youngfield Street
Lakewood, CO, 80215-7076
(303) 239-3677

Nevada

USDI, BLM, Nevada State Office
1340 Financial Boulevard
Reno, NV, 89520-0006
(702) 861-6559

New Mexico

USDI, BLM, New Mexico State Office
100 Sun Avenue North East
Pan American Building, Suite 300Albuquerque, NM,
87109
(505) 761-8917

Oregon

USDI, BLM, Oregon State Office
1515 SW 5th Avenue
Portland, OR, 97208
(503) 952-6220

Utah

USDI, BLM, Utah State Office
440 West 200 South, Ste 500Salt Lake City, UT,
84101
(801) 539-4177

Wyoming

USDI, BLM, Wyoming State Office
5353 Yellowstone Road
P.O. Box 1828
Cheyenne, WY, 82005
(307) 775-6056

RESEARCH HEADQUARTERS

Idaho

1387 S. Vinnell Way
Boise, ID, 83709-1657
(208) 373-3909

Montana

5001 Southgate Drive
Billings, MT, 59101
(406)896-5188

BUREAU OF RECLAMATION

Lower Colorado Regional Office

1340 Financial Boulevard Reno, NV, 89520-0006
(702)861-6559

Mid-Pacific Regional Office

2800 Cottage Way, Suite W-1834
Sacramento, CA, 85825-1886
(916)978-4527

Upper Colorado Regional Office

440 West 200 South, Ste 500Salt Lake City, UT,
84101
(801)539-4177

REGIONAL OFFICES

Commissioner's Office, Denver, Colorado

USDI, BLM, National Operation Center
Denver Federal Center, Building 53, PO Box
45047Denver, CO, 80225-0047
(303) 236-6309

Great Plains Regional Office

USDI, BLM, Montana State Office
5001 Southgate DriveBillings, MT, 59101
(406)896-5188

Pacific Northwest Regional Office

USDI, BLM, Idaho State Office
1387 S. Vinnell WayBoise, ID, 83709-1657
(208) 373-3909

NATIONAL PARK SERVICE

National Park Service

Terrel Emmons
Professional Services
1849 C Street N.W.
Washington, DC, 20240
(202) 208-3264

REGIONAL OFFICES

Alaska Support Office

National Park Service
Robert D. Barbee, Regional Director
2525 Gambell Street
Anchorage, AK, 99503-2892
(907) 257-2690

Intermountain Region

National Park Service
Karen Wade
Intermountain Region
12795 West Alameda Parkway
P.O. Box 25287
Denver, CO, 80225-0287
(303) 969-2503

Midwest Region

Alan M. Hutchings, Associate Regional Director
Professional Services and Legislation
National Park Service
1709 Jackson Street
Omaha, NE, 68102
(402) 221-3084

National Capital Region

National Park Service
David Linderman, Chief
Finance Management Officer
1100 Ohio Drive, SW
Washington, DC, 20242
(202) 619-7160

Northeast Region

Marie Rust, Director
National Park Service
U.S. Custom House
200 Chestnut Street, 3rd Floor
Philadelphia, PA, 19106
(215) 597-7013

Pacific West Region

National Park Service
Vacant
Resources, Stewardship and Partnership
111 Jackson Street, Suite 700
Oakland, CA, 94607
(415) 427-1321

Southeast Region

Jerry Belson, Director
National Park Service
Atlanta Federal Center, 1924 Building
100 Alabama Street, S.W.
Atlanta, GA, 30303
(404) 562-3100

SERVICE CENTER

Alabama

Dr. Lee M. Warner, State Historical Preservation
Office
468 South Perry Street
Montgomery, AL, 36130-0900
(334) 242-3184

Alaska

Ms. Judith E. Bittner, Chief, History and Archeology
Department of Natural Resources
Division of Parks and Outdoor Recreation
550 W. 7th Avenue, Suite 1310
Anchorage, AK, 99501-3565
(907) 269-8908

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

American Samoa

Mr. John Enright, Territorial Historic Preservation Officer
American Samoa Historic Preservation Office
American Samoa Government
Pago Pago, AS, 96799
(684) 633-2384

Arizona

Mr. James Garrison, State Historic Preservation Officer
Office of Historic Preservation
Arizona State Parks
1300 W. Washington
Phoenix, AZ, 85007
(602) 542-4174

Arkansas

Vacant
Arkansas Historic Preservation Program
1500 Tower Building
323 Center Street
Little Rock, AR, 72201
(501) 324-9880

California

Dr. W. Knox Mellon, Jr., State Historic Preservation Officer
Office of Historic Preservation
Department of Parks and Recreation
P.O. Box 942896
Sacramento, CA, 94296-0001
(916) 653-6624

Colorado

Ms. Geogianna Contiguglia, State Historic Preservation Officer, Colorado Historical Society
Colorado History Museum
1300 Broadway
Denver, CO, 80203-2137
(303) 866-3355

Commonwealth of Puerto Rico

Ms. Lilliane D. Lopez, State Historic Preservation Officer
La Fortaleza
P.O. Box 82
San Juan, PR, 00901
(787) 721-3737

Commonwealth of the Northern Mariana Islands

Mr. Joseph P. Deleon Guerrero, Historic Preservation Officer
Department of Community and Cultural Affairs
Commonwealth of the Northern Mariana Islands
Saipan, MP, 96950
(670) 664-2120

Connecticut

Mr. John W. Shannahan, State Historic Preservation Officer and Director, Connecticut Historical Commission
59 South Prospect Street
Hartford, CT, 06106
(860) 566-3005

Delaware

Mr. Daniel R. Griffith, Director
Division of Historical and Cultural Affairs
Halls of Records
The Green
Dover, DE, 19901
(302) 739-5685

Denver Service Center

Manager, National Park Service
P.O. Box 25287
Denver, CO, 80225
(303) 969-2100

District of Columbia

Mr. Gregory McCarthy, State Historic Preservation Officer
Office of Policy & Program Evaluation
441 4th Street, N.W., Suite 920-S
Washington, DC, 20002
(202) 727-6979

Federated States of Micronesia (FSM)

Dr. Rufino Mauricio, State Historic Preservation Officer
Department of Health, Education & Social Affairs
FSM National Government
P.O. Box PS 70
Palikir Pohnpei State, FM, 96941
(691) 320-2343 Overseas Operator

Florida

Dr. Janet Snyder Matthews, State Historic Preservation Officer and Director
Division of Historical Resources
Department of State
R.A. Gray Building
500 S. Bronough Street
Tallahassee, FL, 32399-0250
(850) 488-1480

Georgia

Mr. Lonice Barrett, Commissioner and State Historic Preservation Officer
Department of Natural Resources
156 Trinity Avenue S.W., Suite 101
Atlanta, GA, 30333
(404) 656-3500

Guam

Ms. Lynda B. Aguon, State Historic Preservation Officer
Department of Parks and Recreation
Division of Historic Resources
P.O. Box 2950
Agana Heights, GU, 96910
011-671-475-6290

Harpers Ferry Center

National Park Service
Gary Cummins, Manager
P.O. Box 50
Harpers Ferry, WV, 25425-0050
(304) 535-6211

Hawaii

Mr. Gilbert Coloma-Agaran, State Preservation Officer
Department of Land and Natural Resources
601 Komokila Boulevard, Room 555
Honolulu, HI, 96813
(808) 587-0401

Idaho

Mr. Steve Guerber, Interim State Historic Preservation Officer
210 Main Street
Boise, ID, 83702-7264
(208) 334-3890

Illinois

Mr. William L. Wheeler, Associate Director
Illinois Historic Preservation Agency
Preservation Services Division
One Old State Capitol
Springfield, IL, 62701
(217) 785-9045

Indiana

Indianapolis, IN 46204
Mr. Larry D. Macklin, State Historic Preservation Officer and Director
Department of Natural Resources
402 West Washington Street, Room W274
(317) 232-4020

Iowa

Ms. Anita Walker, Acting State Historic Preservation Officer
State Historical Society of Iowa
600 East Locust Street
Des Moines, IA, 50319-0290
(515) 281-8837

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Kansas

Mr. Ramon S. Powers, Executive Director
Cultural Resources Division
6425 Southwest 6th Avenue
Topeka, KS, 66615-1099
(785) 272-8681, Ext. 205

Kentucky

Mr. David Morgan, State Historic Preservation
Officer and Director
Kentucky Heritage Council
300 Washington Street
Frankfort, KY, 40601
(502) 564-7005, Ext. 11

Louisiana

Ms. Laurel Wyckoff, Assistant Secretary
Office of Cultural Development
P.O. Box 44247
Baton Rouge, LA, 70804
(225) 342-8160

Maine

Mr. Earle G. Shettleworth, Jr., Director,
Maine Historic Preservation Commission
55 Capitol Street, Station 65
Augusta, ME, 04333-0065
(207) 287-2132

Maryland

Mr. J. Rodney Little, Executive Director
Historical and Cultural Programs
Department of Housing and Community Development
Peoples Resource Center
100 Community Place, 3rd Floor
Crownsville, MD, 21032-2023
(410) 514-7600

Massachusetts

Ms. Brona Simon, Acting State Historic Preservation
Officer
Executive Director, Massachusetts Historical
Commission
220 Morrissey Boulevard
Boston, MA, 02125
(617) 727-8470

Michigan

Mr. Brian D. Conway
State Historic Preservation Officer
Bureau of Michigan History
Department of State
717 W. Allegan
Lansing, MI, 48918-0001
(517) 373-0511

Minnesota

Dr. Nina M. Archabal, Director
Minnesota Historical Society
State Historic Preservation Office
345 Kellogg Boulevard West
St. Paul, MN, 55102
(612) 296-2747

Mississippi

Mr. Elbert Hilliard, Director
Mississippi Department of Archives and History
P.O. Box 571
Jackson, MS, 39205
(601) 359-6850

Missouri

Mr. Stephan Mahfood, Director
Department of Natural Resources
P.O. Box 176
Jefferson City, MO, 65102
(573) 751-4732

Montana

Dr. Mark Baumler, State Historic Preservation Officer
Montana Historical Society
1410 8th Avenue, P.O. Box 201202
Helena, MT, 59620-1202
(406) 444-7715

Nebraska

Mr. Lawrence J. Sommer, Director
Nebraska State Historical Society
1500 R Street
P.O. Box 82554
Lincoln, NE, 68501
(402) 471-4746

Nevada

Mr. Ronald M. James, State Historic Preservation
Officer
Department of Museums, Library and Arts
100 North Stewart Street
Capitol Complex
Carson City, NV, 89710-4285
(775) 684-3440

New Hampshire

Mrs. Nancy C. Dutton, Director
Division of Historical Resources
P.O. Box 2043
Concord, NH, 03302-2043
(603) 271-6435

New Jersey

Mr. Robert C. Shinn, Jr., Commissioner
Department of Environmental Protection
401 East State Street
P.O. Box 304

Trenton, NJ, 08625
(609) 292-2885

New Mexico

Mr. Elmo Baca, State Historic Preservation Division
Office of Cultural Affairs
Villa Rivera Building, 3rd Floor
228 E. Palace Avenue
Santa Fe, NM, 87503
(505) 827-6320

New York

Mrs. Bernadette Castro, Commissioner
Office of Parks, Recreation and Historic Preservation
Empire State Plaza
Agency Building 1, 20th Floor
Albany, NY, 12238
(518) 474-0443

North Carolina

Dr. Jeffrey J. Crow, Director
Department of Cultural Resources
Division of Archives and History
4617 Mail Service Center
Raleigh, NC, 27699-4617
(919) 733-7305

North Dakota

Mr. Merl Paaverud, Interim Superintendent
State Historical Society of North Dakota
ND Heritage Center
612 East Boulevard Avenue
Bismarck, ND, 58505-0830
(701) 328-2666

Ohio

Dr. Amos J. Loveday, Jr., State Historic Preservation
Officer
Ohio Historic Preservation Office
Ohio Historical Society
567 E. Hudson Street
Columbus, OH, 43211-1030
(614) 298-2000

Oklahoma

Dr. Bob L. Blackburn
State Historic Preservation Officer
2100 N. Lincoln Boulevard
Oklahoma City, OK, 73105
(405) 521-2491

Oregon

Mr. Mike Carrier, Director
Oregon Parks and Recreation Department
1115 Commercial Street NE
Salem, OR, 97310-1001
(503) 378-5019

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Pennsylvania

Dr. Brent D. Glass, State Historic Preservation Officer
Pennsylvania Historical and Museum Commission
400 North Street
Harrisburg, PA, 17108-1026
(717) 787-2891

Republic of Palau

Ms. Victoria N. Kanai, Historic Preservation Officer
Ministry of Social Services
Division of Cultural Affairs
P.O. Box 100, Government of Palau
Koror, PW, 96940
(680) 488-2489

Republic of the Marshall Islands

Mr. Frederick deBrum, Secretary of Interior Affairs and Historic Preservation Officer, Republic of the Marshall Islands
P.O. Box 1454
Majuro, MH, 96960
(692) 625-4642

Rhode Island

Mr. Frederick C. Williamson, State Historic Preservation Officer
Historical Preservation and Heritage Commission
Old State House
150 Benefit Street
Providence, RI, 02903
(401) 222-2678

South Carolina

Dr. Rodger E. Stroup, Director
Department of Archives and History
8301 Parkland Road
Columbia, SC, 29223-4905
(803) 896-6100

South Dakota

Mr. Jay D. Vogt, State Historic Preservation Officer
South Dakota State Historical Society
900 Governors Drive
Pierre, SD, 57501-2217
(605) 773-3458

Tennessee

Mr. Milton H. Hamilton Jr., Commissioner and State Historic Preservation Officer
Department of Environment and Conservation
2941 Lebanon Road
Nashville, TN, 37243-0442
(615) 532-0109

Texas

Mr. Lawrence Oaks, Executive Director
Texas Historical Commission
P.O. Box 12276, Capitol Station
Austin, TX, 78711-2276
(512) 463-6100

Utah

Mr. Max J. Evans, State Historic Preservation Officer and Director
Utah State Historical Society
300 Rio Grande
Salt Lake City, UT, 84101
(801) 533-3551

Vermont

Ms. Emily Wadhams
State Historic Preservation Officer and Director
Agency of Commerce and Community Development
Vermont Division for Historic Preservation
National Life Building, Drawer 20
Montpelier, VT, 05620-0501
(802) 828-3056

Virgin Islands

Mr. Dean C. Plaskett, Esq, Commissioner
Department of Planning and Natural Resources Preservation
Niskey Center, Suite 231
Number 45A, Estate Nisky
St. Thomas, VI, 00802
(340) 776-8605

Virginia

Ms. Kathleen S. Kilpatrick, Director
Department of Historic Resources
2801 Kensington Avenue
Richmond, VA, 23221
(340) 367-2323

Washington

Dr. Allyson Brooks, State Historic Preservation Officer
Office of Archaeology and Historic Preservation, Washington State
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U.S. Department of the Interior
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U.S. Department of the Interior
Director, Big Stone Gap Field Office
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U.S. Department of the Interior
Director, Lexington Field Office
2675 Regency Road
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U.S. Department of the Interior
Director, Casper Field Office
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U.S. Department of the Interior
Director, Tulsa Field Office
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U.S. Department of the Interior
Director, Albuquerque Field Office
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U.S. Department of the Interior
Appalachian Regional Coordinating Center
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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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Eagle Port of Entry
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Nome Port of Entry
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Anchorage, AK, 99519-0688
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Ketchikan Port of Entry
111 Main Street

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2315 Airport Beach Road, Room 205
P.O. Box 750
Dutch Harbor, AK, 99692
(907) 581-4114

Anchorage District Office
620 East 10th Avenue, Suite 102
Anchorage, AK, 99501-3701
(907) 271-3524

Gambell Port of Entry
P.O. Box 1
Gambell, AK, 99742
(907) 985-5211

Skagway Port of Entry
Klondike Highway Border Station
P.O. Box 475
Skagway, AK, 99840-0475
(907) 983-3144

Dalton's Cache Port of Entry
Mile 40 Haines Highway
P.O. Box 1509
Haines, AK, 99827-1509
(907) 767-5580

Turner Port of Entry
P.O. Box 1221
Tok, AK, 99780
(907) 774-2242

Fairbanks Port of Entry
6450 Old Airport Way
Fairbanks, AK, 99709
(907) 474-0307

Arizona

Phoenix Border Patrol
3006 W. Claredon Ave.
Phoenix, AZ, 85017
(520) 670-6865

Phoenix Sky Harbor Airport
P.O. Box 63673
Phoenix, AZ, 85082-2673
(602) 275-7745, 7763, 7785

San Luis Port of Entry
Highway 95
P.O. Box 448
San Luis, AZ, 85349
(520) 627-3591

Casa Grande Border Patrol
396 Camino Mercado
Casa Grande, AZ, 85222
(520) 836-7812

Wellton Border Patrol
29820 Frontage Road
P.O. Box 128
Wellton, AZ, 85356
(520) 785-9364

Douglas Port of Entry
Pan American Ave. & First Street
Douglas, AZ, 85607
(520) 364-5532/6479

Sonoita Border Patrol
Highway 82 and 83
P.O. Box 37
Sonoita, AZ, 85637-0037
(520) 455-5051

Eloy Processing Center
4465 E. Hanna Road, Suite 343
Eloy, AZ, 85231
(520) 466-2000

Florence Processing Center
3250 North Pinal Parkway Avenue
Florence, AZ, 85232
(520) 868-5862

Naco Port of Entry
106 "D" Street
P.O. Box 277
Naco, AZ, 85620
(520) 432-3111/2791

Lukeville Port of Entry
Highway 85
P.O. Box D
Lukeville, AZ, 85341
(520) 387-6047

Naco Border Patrol
2136 Naco Highway
Bisbee, AZ, 85603
(520) 432-5121

Tucson Border Patrol Sector Headquarters
1970 West Ajo Way
Tucson, AZ, 85713
(520) 670-6871/6880

Douglas Border Patrol
1051 Lawrence Street
P.O. Box 1175

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Douglas, AZ, 85608 (520)364-3991	4367 North Golden State Boulevard Fresno, CA, 93722 (209) 487-5506	Ota Mesa Port of Entry 2500 Paseo International P.O. Box 439018 San Diego, CA, 92143-9018 (619) 661-3249, 3231
Yuma Border Patrol 12122 S. Avenue A P.O. Box 2708 Yuma, AZ, 85366-2708 (520) 726-8731	Fresno Sub-Office 865 Fulton Mall Fresno, CA, 93721-2816 (209) 487-5126	Bakersfield Processing Center 17635 Industrial Farm Road P.O. Box 81143 Bakersfield, CA, 93380 (805) 861-4465
Tucson Border Patrol 2010 Ajo Way Tucson, AZ, 85713 (520) 670-6865	Calexico East Port of Entry 1699 E. Carr Road Calexico, CA, 92231 (619) 768-2450	El Centro Border Patrol Sector Headquarters 1111 North Imperial Ave. El Centro, CA, 92243-1795 (619) 352-3241
Willcox Border Patrol 200 W. Downen St. P.O. Box 909 Willcox, AZ, 85644-0909 (520) 384-2412	Sacramento Sub-Office 650 Capital Mall Sacramento, CA, 95814 (916) 498-6460	Oxnard Border Patrol 275 Skyway Drive P.O. Box 88 Camarillo, CA, 93011 (805) 482-8997
Sasabe Port of Entry Federal Inspection Building P.O. Box 326 Sasabe, AZ, 85633-0326 (520) 823-4230	Andrade Port of Entry 235 Andrade Road Winterhaven, CA, 92283 (619) 572-5350, 5184	San Clemente Border Patrol I-5 Northbound Traffic Checkpoint P.O. Box 3188 San Clemente, CA, 92674 (619) 557-5272
Ajo Border Patrol 850 North Tucson - Ajo Hwy. 86 P.O. Drawer "J" Ajo/Highway, AZ, 85321 (520) 387-7002	Indio Border Patrol 45-620 Commerce Street Indio, CA, 92201 (760) 347-3658	Boulevard Border Patrol 39701 Avenue de Robles Verde P.O. Box 1320 Boulevard, CA, 92005 (619) 766-4542
Phoenix District Office 2035 North Central Avenue Phoenix, AZ, 85004-1548 (602) 514-7799	Campo Border Patrol 3 Forest Gate Road P.O. Box 68 Campo, CA, 91906 (619) 557-6144	San Marcos Border Patrol 126 South Pacific P.O. Box 5099 San Marcos, CA, 92069 (619) 557-5589
Nogales Border Patrol 1500 W. La Quinta Road Nogales, AZ, 85621 (520) 377-6000	Long Beach Seaport Unit 501 W. Ocean Boulevard, Suite 6300 Long Beach, CA, 90802 (310) 980-3400	San Ysidro Port of Entry 720 San Ysidro Boulevard P.O. Box 439108 San Diego, CA, 92143-9018 (619) 662-7314, 7240
Yuma Border Patrol Sector Headquarters 350 West 1st Street P.O. Box 2708 Yuma, AZ, 85366-2708 (520) 782-9548	Tecate Port of Entry P.O. Box 219 Tecate, CA, 92080-0219 (619) 478-5029	Ontario Airport 222 E. Airport Drive Ontario, CA, 91761 (909) 395-8668, 8673
Bahamas Freeport Bahamas Sub-Office P.O. Box F-2664 Freeport Grand Bahama, BA, hamas (809) 352-5586	Calexico Port of Entry 200 East 1st Street P.O. Box 1780 Calexico, CA, 92231 (619) 357-1189, 7968, 1143	Oakland International Airport International Terminal 1 Airport Drive Oakland, CA, 94621
California Fresno Border Patrol Station	San Francisco International Airport P.O. Box 280551 Central Terminal, Lower Level Customs Area San Francisco, CA, 94128 (415) 876-2876	

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

(510) 273-7390

Riverside Border Patrol
2060 Chicago Avenue, Suite A2
Riverside, CA, 92507
(909) 686-4100

Stockton Border Patrol
P.O. Box 2047
Stockton, CA, 95201
(209) 946-6211, 6284

San Jose International Airport
C/O P.O. Box 280551
Central Terminal, Lower Level
Customs Area
San Jose, CA, 95110
(408) 291-7209

Long Angeles District Office
300 North Los Angeles Street
Los Angeles, CA, 90012
(213) 894-4627

Blythe Border Patrol
16870 W. Hobsonway
P.O. Box 836
Blythe, CA, 92226
(619) 922-6715

Los Angeles International Airport
380 Worldway, P.O. Box N-20
Tom Bradley International Terminal, Lower Level
Los Angeles, CA, 90045
(310) 215-2104, 2101 - Ext. #107, 103

Imperial Beach Border Patrol
1802 Saturn Way
P.O. Box 68
Imperial Beach, CA, 91933-0068
(619) 662-7149

Western Form Center
5600 Rickenbacker Road
Building 701 Bay A
Bell, CA, 90201
(213) 526-7404

Temecula Border Patrol
43136 Rancho Way
P.O. Box 38
Temecula, CA, 92593
(909) 694-6871

Livermore Border Patrol Station
6102 Ninth Street
Dublin, CA, 94568

(925) 828-0371

San Jose Sub-Office
280 S. First Street, Rm. 1150
San Jose, CA, 95113
(408) 535-5174

Livermore Border Patrol Sector Headquarters
6102 Ninth Street
Dublin, CA, 94568
(925) 828-3770

Brown Field Border Patrol
7560 Britannia Street
San Diego, CA, 92173
(619) 661-3154

Salinas Border Patrol
1636 East Alisal Street
P.O. Box 218
Salinas, CA, 93902
(408) 754-0633

Sacramento Border Patrol Station
7000 Franklin Blvd.
Bldg. 500, Suite 575
Sacramento, CA, 95823
(916) 391-9087

Western Operations Region
24000 Avila Road
P.O. Box 30080
Laguna Niguel, CA, 92607-0080
(714) 643-4236

San Pedro Processing Center
2001 Seaside Avenue
Terminal Island
San Pedro, CA, 90731
(310) 732-0777

El Centro Border Patrol Station
1081 North Imperial Avenue
El Centro, CA, 92243
(760) 353-0541

Bakersfield Satellite Investigations
800 Truxton Avenue, Room 317
Bakersfield, CA, 93301
(805) 861-4478

El Centro Processing Center
1115 North Imperial Ave.
El Centro, CA, 92243-1739
(619) 353-2170

San Luis Obispo Border Patrol Station
1170 Calle Joaquin
P.O. Box 1486
San Luis Obispo, CA, 93406
(805) 543-9490

San Francisco District Office
630 Sansome Street
San Francisco, CA, 94111-2280
(415) 705-3102

San Diego International Airport
Lindbergh Field Airport
3665 N. Harbor Drive
San Diego, CA, 92101
(619) 298-2612

El Cajon Border Patrol
225 Kenney Street
El Cajon, CA, 92020
(619) 557-5072

San Diego District Office
880 Front Street, Suite 1234
San Diego, CA, 92101-8834
(619) 557-5645

Colorado

Pueblo Port of Entry
P.O. Box 1879
Pueblo, CO, 81002
(719) 544-9369

Grand Junction Port of Entry
255 Main Street
Grand Junction, CO, 81501
(303) 243-5141

Denver District Office
4730 Paris Street
Albrook Center
Denver, CO, 80239-2804
(303) 371-6213

Connecticut

Hartford Sub-Office
Ribicoff Federal Building
450 Main Street
Hartford, CT, 06103-3060
(203) 240-3052

Florida

Miami District Office
7880 Biscayne Boulevard
Miami, FL, 33138
(305) 762-3405

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Orlando Border Patrol
P.O. Box 440
Winter Park, FL, 32790-0440
(407) 648-6081

Key West Sub-Office
301 Simonton Street, Room 201
Mail: P.O. Box 86
Key West, FL, 33041
(305) 296-2233

West Palm Beach Sub-Office
301 N. Broadway
Riviera Beach, FL, 33404
(561) 841-0498

Miami International Airport
Concourse E, 3rd Floor
P.O. Box 997895
Miami, FL, 33299-7895
(305) 526-2612, 2626, 2851, 2930

Tampa Border Patrol
1821B East Sahlman Drive
Tampa, FL, 33605
(813) 228-2156 or 2160

Miami Border Patrol Sector Headquarters
7201 Pembroke Road
Pembroke Pines, FL, 33023
(954) 963-9807

Key West Port of Entry
Federal Building
301 Simonton Street, Room 201
Key West, FL, 33040
(305) 293-9150

Nassau, Bahamas Sub-Office, U.S. Immigration
P.O. Box 599009
Miami, FL, 33159-9009
(242) 377-7125

West Palm Beach Port of Entry
4 East Port Road, Room 410
Riviera Beach, FL, 33419
(407) 845-6898

Krome North Service Processing Center
18201 S.W. 12th Street
P.O. Box 160327, Snapper Creek Station
Miami, FL, 33194
(305) 552-1845

Jacksonville Port of Entry
Federal Building, G-18
400 W. Bay Street

Jacksonville, FL, 32202
(904) 232-2164

Georgia

Savannah International Airport 33 Bull Street, Suite 505
Savannah, GA, 31401
(912) 652-4460

Atlanta District Office
77 Forsyth Street S.W.
Atlanta, GA, 30303-0253
(404) 331-0253

Hartsfield International Airport Port of Entry
P.O. Box 45527
Atlanta, GA, 30320
(404) 763-7816

Guam

Guam International Airport
Sirena Plaza, Suite 100
108 Hernan Cortez Avenue
Agana, GU, 96910
(671) 642-7611

Hawaii

Honolulu District Office
595 Ala Moana Boulevard
Honolulu, HI, 96813
(808) 532-3748/3746

Honolulu International Airport
300 Rodgers Boulevard
Terminal Box 50
Honolulu, HI, 96819
(808) 861-8401, 8406, 8400, 8409

Idaho

4620 Overland Road
Room 108
Boise, ID, 83705
(208) 334-1822

Porthill Port of Entry
P.O. Box 40
Porthill, ID, 83853
(208) 267-5309

Eastport Port of Entry
P.O. Box 8
Highway 95
Eastport, ID, 83826
(208) 267-2183, 3745

Illinois

Chicago District Office
10 West Jackson Boulevard, Suite 600
Chicago, IL, 60604
(312) 385-1900

Indiana

Indianapolis Sub-Office
Gateway Plaza, Room 400
950 North Meridan Street
Indianapolis, IN, 46204
(317) 226-6181

Kansas

Garden City Port of Entry
Box 1239
1404 E. Fulton
Garden City, KS, 67846
(316) 275-1054

Wichita Port of Entry
625 North Winterset
Wichita, KS, 67212
(316) 945-9741

Kentucky

Louisville Sub-Office
Room 604
Gene Snyder Courthouse
601 West Broadway
Louisville, KY, 40202
(502) 582-6526

Louisiana

New Orleans Border Patrol Sector Headquarters
3819 Patterson Drive
P.O. Box 6218
New Orleans, LA, 70174
(504) 589-6107

New Orleans International Airport
900 Airline Highway
Kenner, LA, 70062
(504) 589-3949

New Orleans District Office
Postal Services Building
701 Loyola Avenue, Room T-8011
New Orleans, LA, 70113
(504) 589-6521

Oakdale Processing Center
207 E. 5th Avenue
P.O. Box 5095
Oakdale, LA, 71463
(318) 335-0713

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Lake Charles Border Patrol
P.O. Box 868
Lake Charles, LA, 70601
(318) 477-9245

Baton Rouge Border Patrol
P.O. Box 338
9522 Brookline Drive
Baton Rouge, LA, 70821
(504) 389-0231

Maine

Madawaska Port of Entry
2 Bridge Street
Madawaska, ME, 04756-1230
(207) 728-4565

Bridgewater Port of Entry
RFD Rt. 1, Box 42
Boundary Line Road
Bridgewater, ME, 04735
(207) 425-4502

Vanceboro Port of Entry
U.S. Immigration and Naturalization Service
P.O. Box C
Water Street
Vanceboro, ME, 04491
(207) 788-3813

Houlton Border Patrol Sector Headquarters
Route 1, Calais Road
P.O. Box 706
24 Hours
Houlton, ME, 04730
(207) 532-6521/6522

Houlton Border Patrol Station
P.O. Box 706
Houlton, ME, 04730
(207) 532-9061

Fort Fairfield Port of Entry
RR #1, Box 217
Fort Fairfield, ME, 04742
(207) 473-7396

Van Buren Port of Entry
International Bridge
Bridge Street, P.O. Box 116
Van Buren, ME, 04785
(207) 868-2202/3900

Van Buren Border Patrol
P.O. Box 26
Main Street
Van Buren, ME, 04785
(207) 868-3900

Bar Harbor Port of Entry
Marine Atlantic Ferry Terminal
Eden Street
Bar Harbor, ME, 04609
(207) 288-4675

Fort Fairfield Border Patrol
205 Main Street
P.O. Box 410
Fort Fairfield, ME, 04742
(207) 472-5041

Calais Port of Entry
Border Inspection Station
1 Main Street
P.O. Drawer 421
Calais, ME, 04619
(207) 454-2546

Hamlin Port of Entry
H.C. 62, Box 63
Van Buren, ME, 04785
(207) 868-0966

Lubec Port of Entry
Federal Building
U.S. Post Office & Border Station
Washington Street & Campobello Bridge
Lubec, ME, 04652
(207) 733-4960

Jackman Port of Entry
Star Route 76
Box 629
Jackman, ME, 04945
(207) 668-3771

Limestone Port Of Entry
R.F.D. 1, Box 258
Limestone, ME, 04750-9729
(207) 325-4760

Fort Kent Port of Entry
98 West Main Street
Fort Kent, ME, 04743-1016
(207) 834-3223

Coburn Gore Port of Entry
Star Route 73
P.O. Box 35
Eustis, ME, 04936
(207) 297-2771

Calais Border Patrol
One Main Street
P.O. Box 245

Calais, ME, 04619
(207) 454-3613

Bangor Port of Entry
267A Godfrey Boulevard
P.O. Box 677
Bangor, ME, 04402-0677
(207) 945-0334

Jackman Border Patrol
P.O. Box 608
Nickels Road
24 hours
Jackman, ME, 04945
(207) 668-3151

Houlton Port of Entry
P.O. Box 189
Interstate 95
Houlton, ME, 04730
(207) 532-2906

Maryland

Baltimore District Office
Fallen Federal Building
31 Hopkins Plaza
Baltimore, MD, 21201
(410) 962-2010

Massachusetts

Boston Service Processing Center
427 Commercial Street
Boston, MA, 02109
(617) 223-3090/3089/3088

Boston District Office
John F. Kennedy Federal Building
Government Center, Room 1700
Boston, MA, 02203
(617) 565-4214

Michigan

Detroit City Airport
11499 Conner
Room 138C
Detroit, MI, 48213
(313) 521-8430

Detroit International Bridge
3033 Porter
Detroit, MI, 48216
(313) 963-4408

Port Huron Port of Entry
2321 Pinegrove Avenue
Port Huron, MI, 48060
(810) 982-0493

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

<p>Port Huron Border Patrol 2112 River Road Marysville, MI, 48040 (810) 364-6041</p>	<p>Lancaster Port of Entry Route 1 Box 138 - Highway 59 Lancaster, MN, 56735 (218) 762-4100</p>	<p>Duluth, MN, 55802 (218) 720-5207</p>
<p>Sault Ste. Marie Port of Entry Immigration and Naturalization Service International Bridge Plaza Sault Ste. Marie, MI, 49783 (906) 632-8822</p>	<p>Grand Portage Port of Entry Highway 61 Grand Portage, MN, 55605 (218) 475-2494</p>	<p>Roseau Port of Entry Route 1, Box 31 - Highway 310 N Roseau, MN, 56751 (218) 463-2054</p>
<p>Algonac Port of Entry 202 Fruit Street Algonac, MI, 48001 (810) 794-3321</p>	<p>Warroad Border Patrol P.O. Box 24, Highway 11 Warroad, MN, 56763 (218) 386-1802</p>	<p>Pembina Border Patrol Rural Route 1 P.O. Box 106 Noyes, MN, 56740 (218) 823-6528, 6594</p>
<p>Trenton Border Patrol 23100 West Road P.O. Box 206 Trenton, MI, 48183 (313) 676-2972</p>	<p>Pine Creek Port of Entry Highway 89 Star Route 5 Roseau, MN, 56751 (218) 463-1952</p>	<p>Duluth Border Patrol 114 Federal Building 515 West First Street Duluth, MN, 55802 (218) 720-5465</p>
<p>Grand Rapids Border Patrol P.O. Box 1678 100 W. 7th St. Holland, MI, 49422-1678 (616) 392-4070</p>	<p>International Falls Border Patrol Immigration Naturalization Service 1412 Hwy. 11-71 West International Falls, MN, 56649 (218) 283-2461</p>	<p>Baudette Port of Entry International Bridge P.O. Box 487 Baudette, MN, 56623 (218) 634-2661</p>
<p>Detroit Metro Airport International Terminal Romulus, MI, 48242 (313) 955-6293</p>	<p>Ely Port of Entry P.O. Box 28 Ely, MN, 55731 (218) 365-3262</p>	<p>Mississippi Gulfport Port of Entry P.O. Box 1120 Gulfport, MS, 39501 (601) 864-3029</p>
<p>Sault Ste. Marie Border Patrol P.O. Box 141 International Bridge Plaza Sault Ste. Marie, MI, 49783 (906) 632-3383</p>	<p>St. Paul District Office 2901 Metro Drive, Suite 100 Bloomington, MN, 55425 (612) 335-2211</p>	<p>Gulfport Border Patrol P.O. Box 4273 Gulfport, MS, 39502 (228) 863-3582</p>
<p>District/Canada Tunnel 150 E. Jefferson Avenue Detroit, MI, 48226 (313) 568-6019</p>	<p>Noyes Port of Entry Immigration and Naturalization Service Rural Route 1, Box 110 Noyes, MN, 56740 (218) 823-6291</p>	<p>Missouri Kansas City District Office 9747 North Conant Avenue Kansas City, MO, 64153 (816) 891-0864</p>
<p>Minnesota Grand Marais Border Patrol P.O. Box 685, Highway 61 East Grand Marais, MN, 55604 (218) 387-1770</p>	<p>Crane Lake Port of Entry 7544 Gold Coast Road Crane Lake, MN, 55725 (218) 993-2321</p>	<p>St. Louis International Airport P.O. Box 10406 Lambert Field St. Louis, MO, 63145 (314) 425-7179</p>
<p>International Falls 2 Second Avenue International Falls, MN, 56649-2328 (218) 283-8611</p>	<p>Warroad Port Of Entry H.C. 02 P.O. Box 245 Warroad, MN, 56763 (218) 386-1676</p>	<p>St. Louis Sub-Office Robert A. Young Federal Building 1222 Spruce Street, Room 100 St. Louis, MO, 63103-2815 (314) 539-2516</p>
	<p>Duluth Port of Entry U.S. Courthouse & Custom House Federal Building 515 West First Street</p>	<p>Montana</p>

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Roosville Port of Entry
Immigration and Naturalization Service
8395 Highway 93 North
Eureka, MT, 59917-9331
(406) 889-3737

Havre Port of Entry
Immigration and Naturalization Service
Simpson Route
Willow Creek Station
Havre, MT, 59501
(406) 265-6781

Plentywood Border Patrol
P.O. Box 434
Plentywood, MT, 59254
(406) 765-1852

Billings Border Patrol
2601 1st Ave. N.
P.O. Box 2298
Billings, MT, 59103
(406) 247-7563

Loring Port of Entry
Port of Morgan
HC82, Box 9250
Loring, MT, 59537-9600
(406) 674-5248

Sweetgrass Port of Entry
Immigration and Naturalization Service
P.O. Box 165 - Interstate 15
Sweetgrass, MT, 59484
(406) 335-2911

Raymond Port of Entry
P.O. Box 158
Raymond, MT, 59256
(406) 895-2620

Eureka Border Patrol
Highway 93 (JB Shopping Center)
P.O. Box 909
Eureka, MT, 59917
(406) 296-2938

Montana Chief Mountain Port of Entry
P.O. Box 376
via Babb, MT, 59411
(406) 732-4576

Scobey Port of Entry
P.O. Box 2300
Scobey, MT, 59263
(406) 783-5372

Havre Border Patrol Sector Headquarters
2605 5th Avenue S.E.
P.O. Box 112
Havre, MT, 59501
(406) 265-6781

Whitetail Port of Entry
P.O. Box 38 - US Border Inspection Station
Whitetail, MT, 59276
(406) 779-3531

Wild Horse Port of Entry
Immigration and Naturalization Service
Havre, MT, 59501
(406) 394-2371

Shelby Border Patrol
P.O. Box 653
906 Oilfield Ave.
Shelby, MT, 59474
(406) 434-5588

Scobey Border Patrol
P.O. Box 820
Scobey, MT, 59263
(406) 487-2621

Opheim Port of Entry
P.O. Box 317
Opheim, MT, 59250
(406) 724-3212

Willow Creek Port of Entry
Simpson Route
Havre, MT, 59501
(406) 398-5512

Malta Border Patrol
P.O. Box 36
Malta, MT, 59538
(406) 654-2711

Piegan Port of Entry
Immigration and Naturalization Service
P.O. Box 109
Babb, MT, 59411
(406) 732-9297

Del Bonita Port of Entry
Del Bonita Star Route
Cut Bank, MT, 59427
(406) 336-2130

Nebraska

Nebraska Service Center
850 S. Street
P.O. Box 82521

Lincoln, NE, 68501-2521
(402) 437-5464

Omaha District Office
3736 South 132nd Street
Omaha, NE, 68144
(402) 697-9152

Nevada

Las Vegas Mecarren International Airport
5757 Wayne Newton Boulevard
Las Vegas, NV, 89111
(702) 388-6024

Boulder City Border Patrol
P.O. Box 60928
Boulder City, NV, 89006-0928
(702) 293-8507

Las Vegas Sub-Office
3373 Pepper Lane
Las Vegas, NV, 89120
(702) 388-6640

Reno Sub-Office
1351 Coporate Boulevard
Reno, NV, 89502
(702) 784-5186

New Hampshire

Pittsburg Port of Entry
Route #3
P.O. Box 277
Pittsburg, NH, 03592
(819) 656-2261

New Jersey

Newark District Office
Federal Building
970 Broad Street
Newark, NJ, 07102
(201) 645-2298

Newark International Airport
Terminal B, SAT 3
Newark, NJ, 07114
(201) 645-6589

New Mexico

Las Cruces Border Patrol
3120 North Main
Las Cruces, NM, 88001
(505) 527-6895

Lordsburg Border Patrol
441 Duncan Highway, P.O. Box 459

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

<p>Lordsburg, NM, 88045 (505) 542-3221</p>	<p>(315) 654-2781, Ext. 8</p>	<p>Thousand Island Bridge Port of Entry U.S. Immigration and Naturalization Service 46735 U.S. Interstate Route 81 Alexandria Bay, NY, 13607-9796 (315) 482-2681/2065</p>
<p>Truth or Consequences Border Patrol P.O. Box 3310 Truth or Consequences, NM, 87901 (505) 744-5235</p>	<p>Burke Border Patrol P.O. Box 125 Burke, NY, 12917-0125 (518) 483-5941</p>	<p>Watertown Border Patrol Post Office Building P.O. Box 280 153 Arsenal Street Watertown, NY, 13601-0280 (315) 482-7556</p>
<p>Deming Border Patrol P.O. Box 230 Deming, NM, 88031 (505) 546-9036</p>	<p>Service Processing Center 201 Varrick Street, 4th Floor New York, NY, 10014 (212) 620-3441</p>	<p>Albany Sub-Office U.S. Post Office & Customhouse Room 220 445 Broadway Albany, NY, 12207 (518) 431-0339</p>
<p>Alamogordo Border Patrol 1997 Hwy 54 South Alamogordo, NM, 88310-7377 (505) 437-6960</p>	<p>Rouse Point Port of Entry US Route 9B Rouse Point, NY, 12979 (518) 297-7521</p>	<p>Buffalo District Office 130 Delaware Avenue Buffalo, NY, 14202 (716) 846-4741, Ext. 8</p>
<p>Columbus Port of Entry P.O. Box 307, Pershing Road Columbus, NM, 88029 (505) 531-2694, 95, 96</p>	<p>Lewiston Port of Entry 1 Lewiston Queenston Bridge Lewistown, NY, 14092-1980 (716) 285-1676</p>	<p>Champlain Port of Entry Border Inspection Station 234 West Service Road Champlain, NY, 12919 (518) 298-3221, 8433, or 2526</p>
<p>Albuquerque Sub-Office 517 Gold Avenue, S.W. Room: 1010 P.O. Box 567 Albuquerque, NM, 87103 (505) 248-7357</p>	<p>Chateaugay Port of Entry Border Inspection Station Star Route 374 Chateaugay, NY, 12920 (518) 497-6772</p>	<p>Niagara Falls Border Patrol 1708 Lafayette Avenue P.O. Box 281 Niagara Falls, NY, 14305-0281 (716) 285-6444</p>
<p>Las Cruces Patrol Training Office 2320 Temple Street Las Cruces, NM, 88001 (505) 524-4730</p>	<p>John F. Kennedy International Airport International Arrivals Building #50 Jamaica, NY, 11430 (718) 553-1688 (718) 553-1689</p>	<p>Fulton Border Patrol Station 215 South First Street Room 228 P.O.Box 70 Fulton, NY, 13069-0070 (315) 598-8510</p>
<p>New York</p> <p>Massena Port of Entry P.O. Box 195 Roosevelt, NY, 13683 (315) 764-0310 (315) 764-0677</p>	<p>New York District Office 26 Federal Plaza New York, NY, 10278 (212) 264-3911</p>	<p>Trout River Port of Entry Trout River State Route 30 Via Constable, NY, 12926 (518) 483-5021</p>
<p>Mooers Port of Entry Border Inspection Station State Route 22 Mooers, NY, 12958 (518) 236-7116</p>	<p>Champlain Border Patrol P.O. Box 1228 Ridge Road Champlain, NY, 12919 (518) 298-2531</p>	<p>Buffalo Border Patrol Sector Headquarters U.S. Border Patrol 231 Grand Island Boulevard Tonawanda, NY, 14150-6502 (716) 551-4101</p>
<p>Fort Covington Port of Entry Border Inspection Station Water Street Fort Covington, NY, 12937 (518) 358-2231</p>	<p>Ogdensburg Border Patrol 127 North Water Street Ogdensburg, NY, 13669 (315) 393-1150/0100</p>	<p>Niagara Falls Port of Entry Rainbow Bridge</p>
<p>Cape Vincent Port of Entry 46735 U.S. Int. Route 81 Alexandria Bay, NY, 13607-9796</p>	<p>Office of Inspector General JFK Airport Station P.O. Box 999 Jamaica, NY, 11430 (718) 553-7520</p>	

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Niagara Falls, NY, 14303
(716) 282-3141

North Carolina

Raleigh-Durham International Airport Port of Entry
P.O. Box 80216
Raleigh, NC, 27623
(919) 840-5577

Wilmington Port of Entry
Alton Lennon Federal Building
Room 105
2 Princess Street
Wilmington, NC, 28401
(910) 343-4876

Charlotte Sub-Office
6 Woodlawn Green, Room 138
Charlotte, NC, 28217
(704) 344-6313

North Dakota

Sarles Port of Entry
Immigration and Naturalization Service
P.O. Box 67, Route 1
6 miles from Village of Sarles, ND, 58372
(701) 697-5177

Dunseith Port of Entry
Immigration and Naturalization Service
R.R. 1, Box 117
Dunseith, ND, 58329
(701) 263-4513, 4460

Maida Port of Entry
Immigration and Naturalization Service
Hwy #1
Maida, ND, 58255
(701) 256-5087

Portal Border Patrol
P.O. Box 298
Portal, ND, 58772
(701) 926-4111

Carbury Port of Entry
RR #1 Souris, ND
Carbury, ND, 58783
(701) 228-2540

Antler Port of Entry
Immigration and Naturalization Service
RR1 - Box 7
Antler, ND, 58711
(701) 267-3321

Fortuna Port of Entry
Immigration and Naturalization Service
Hwy 85N, P.O. Box #37
Fortuna, ND, 58844
(701) 834-2493

Neché Port of Entry
Hwy 18
Neché, ND, 58265
(701) 886-7744

Pembina Port of Entry
Rural Route 1, P.O. Box 110
Noyes Pembina, ND, 58271
(701) 825-6722

Walhalla Port of Entry
RR2, Box 145
Walhalla, ND, 58282-9459
(701) 549-3233

Noonan Port of Entry
Noonan, ND, 58765
(701) 926-5615

Ambrose Port of Entry
Immigration and Naturalization Service
State Highway 42
Rt. HC-1 BOx 202
Ambrose, ND, 58833
(701) 982-3211

Grand Forks International Airport
RR #2, Box 72
2787 Airport Drive
Highway 2 West
Grand Forks, ND, 58833
(701) 772-3301

Hansboro Port of Entry
Box 237
Hansboro, ND, 58339
(701) 266-5633

Northgate Port of Entry
Flaxton, ND, 58737
(701) 596-3805

Hannah Port of Entry
Hannah, ND, 58239
(701) 283-5271

Bottineau Border Patrol
P.O. Box 6
Bottineau, ND, 58318
(701) 228-3179

Sherwood Port of Entry
Rural Route 2, Box 1D
Sherwood, ND, 58782
(701) 459-2250

St. John Port of Entry
Box 15, Rt. 1
St. John, ND, 58369
(701) 447-3140

Grand Forks Border Patrol Sector Headquarters
P.O. Box 12669 Zip: 58208-2669
2320 S. Washington Street
Grand Forks, ND, 58201
(701) 775-6259

Portal Port of Entry
P.O. Box 8
Portal, ND, 58772
(701) 926-4221

Ohio

Toledo Port of Entry
Federal Office Building, Room 713
234 Summit Street
Toledo, OH, 43604-1536
(419) 259-6474

Cleveland District Office
Anthony J. Celebreeze Federal Building
1240 E. 9th Street, Room 1917
Cleveland, OH, 44199
(216) 522-4766

Oklahoma

Oklahoma Sub-Office
4149 Highline Boulevard, Suite 300
Oklahoma City, OK, 73108
(405) 231-5928

Oregon

Portland District Office
511 N.W. Broadway
Portland, OR, 97209
(503) 326-3962

Roseburg Border Patrol
1036 S.E. Douglas
P.O. Box 1728
Roseburg, OR, 97470
(503) 440-4518

Coos Bay Port of Entry
P.O. Box 209
Coos Bay, OR, 97420
(503) 242-4785

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Astoria Port of Entry
P.O. Box 236
Astoria, OR, 97103
(503) 325-3054

Portland International Airport
P.O. Box 55067
Portland, OR, 97238-5067
(503) 326-3409

Pennsylvania

Philadelphia District Office
1600 Callowhill Street
Philadelphia, PA, 19130
(215) 656-7150

Pittsburgh Sub-Office
INS/Department of Justice
2130 Federal Building
1000 Liberty Avenue
Pittsburgh, PA, 15222
(412) 395-4457

Puerto Rico

San Juan District Office
U.S. Immigration and Naturalization Service
P.O. Box 365068
San Juan, PR, 00936
(787) 766-5514

Aquadilla Service Processing Center
P.O. Box 250480
Aquadilla, PR, 00604-0480
(787) 882-3565/3567/3568

Ponce Port of Entry
Immigration and Naturalization Service
P.O. Box 173
Playa Station
Ponce, PR, 00734-3173
(809) 843-3220

Deferred Inspections
U.S. Federal Building
Room 380
Chardon Street
Hato Rey, PR, 00918
(809) 766-5000

Mayaguez Port of Entry
U.S. Immigration and Naturalization Service
P.O. Box 2990, Marina Station
Mayaguez, PR, 00709-2990
(809) 831-3440

San Juan International Airport
P.O. Box 37900

Isle Verde, PR, 00937-0900
(809) 253-4525

District Director's Office
Immigration and Naturalization Service
New Federal Building, 3rd Floor
Carlos Chardon Street
Hato Rey, PR, 00918

Rhode Island

Providence Sub-Office
200 Dyer Street
Providence, RI, 02903-3993
(401) 528-5528, 5323

South Carolina

Charleston Port of Entry
Federal Building, Room 110
334 Meeting Street
Charleston, SC, 29403
(803) 727-4421

Tennessee

Memphis Sub-Office
245 Wagner Place
Suite 250
Memphis, TN, 38103-3815
(901) 544-4108

Texas

Kingsville Border Patrol
Naval Air Station, Building 3731
Kingsville, TX, 78363
(512) 592-3284

Comstock Border Patrol
P.O. Box 700, Highway 85 East
Comstock, TX, 78837
(915) 292-4450, 4680

Marfa Border Patrol Sector Headquarters
P.O. Box 1
300 Madrid Street
Marfa, TX, 79843
(915) 729-4353

Brackettville Border Patrol
P.O. Box 216
802 West Spring Street
Brackettville, TX, 78832
(830) 563-2477

Brownsville Border Patrol
P.O. Box 5076
Brownsville, TX, 78520
(956) 542-3585

McAllen Border Patrol

4201 W. Military Highway
McAllen, TX, 78503
(956) 618-8163

San Antonio District Office
8940 Four Winds Drive
San Antonio, TX, 78239
(210) 967-7109

Los Ebanos Port of Entry
International Ferry
P.O. Box 399
Los Ebanos, TX, 78565
(512) 485-2721

Port Arthur Port of Entry
2875 75th Street, Room 106
Port Arthur, TX, 77640
(409) 727-3375

Cotulla Border Patrol
692 Main Street
Cotulla, TX, 78014
(830) 879-3051

Zapata Border Patrol
Lot C-2015
U.S. Hwy 83 South
P.O. Box 685
Zapata, TX, 78076-0685
(210) 765-6395

Llano Border Patrol
P.O. Box 134, 211 East Tarrant
Llano, TX, 78643
(915) 247-4912

Brownsville Port of Entry
1500 East Elizabeth Street, Room 203
Brownsville, TX, 78520
(210) 546-1675

Port Isabel Port of Entry
Alien Processing Center (PIC)
Route 3, Box 341
Buena Vista Road
Los Fresnos, TX, 78566
(210) 233-4431

Progreso Port of Entry
International Bridge Route 2, Box 600
Westlaco, TX, 78596
(210) 565-6304

Dallas Border Patrol
2800 South Pipeline Road
Euleless, TX, 76040

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

(817) 540-0150	Juarez-Lincoln International Bridge #2 700 Zaragoza Street, Building #3 Laredo, TX, 78042 (512) 722-5440	P.O. Box 628 Sanderson, TX, 78268 (915) 345-2972
Midland Border Patrol Station P.O. Box 60405 Midland, TX, 79711 (915) 561-8911	Fabens Border Patrol 802 East Main P.O. Box 908 Fabens, TX, 79838 (915) 764-2417	Fort Hancock Border Patrol P.O. Box 218 Fort Hancock, TX, 79839 (915) 769-3978
Corpus Christi Border Patrol 6809 Leopard Street P.O. Box 10344 Corpus Christi, TX, 78460-0344 (512) 289-0552	Rocksprings Border Patrol P.O. Box 576 Rocksprings, TX, 78880 (830) 683-2255	Carrizo Springs Border Patrol P.O. Box 194 Highway 85 East Carrizo Springs, TX, 78834 (830) 876-3557
Harlingen Border Patrol 901 Rangerville Road P.O. Box 642 Harlingen, TX, 78551 (956) 427-8511	Port Isabel Service Processing Center Route 3, Box 341 Los Fresnos, TX, 78566 (210) 548-2530	Houston Intercontinental Airport P.O. Box 60457 Houston, TX, 77205 (713) 233-3700
Hidalgo Port of Entry International Bridge Hidalgo, TX, 78557-3019 (210) 843-2201, 2202	Galveston Port of Entry P.O. Box 388 Galveston, TX, 77550 (409) 766-3581	Brownsville International Airport Brownsville, TX, 78520 (210) 542-8296
San Antonio International Airport 9800 Airport Boulevard Terminal No. 1 San Antonio, TX, 78216 (210) 826-6261	Dallas Forth Worth Port of Entry P.O. Box 610365 Dallas-Fort Worth, TX, 75261 (214) 574-2141	Austin Sub-Office 3708 South Second Street P.O. Box 6496 Austin, TX, 78704 (512) 482-7901, 7904
Port Arthur Port of Entry 4550 Jimmy Johnson Boulevard, Suite 3 Port Arthur, TX, 77642 (409) 727-3375	Falfurrias Border Patrol P.O. Box 479 Falfurrias, TX, 78355 (512) 325-5616	El Paso Port of Entry Paso Del Norte Bridge El Paso, TX, 79901 (915) 534-6767
Mercedes Border Patrol P.O. Box 125 623 International Boulevard Mercedes, TX, 78570 (956) 968-0602	El Paso District Office 1545 Hawkins, Suite 167 El Paso, TX, 79925 (915) 540-1700, 1701	Fort Hancock Port of Entry P.O. Box 235 Fort Hancock, TX, 79839 (915) 769-3810
Rio Grande City Port of Entry International Bridge, P.O. Box 278 Roma, TX, 78584 (210) 487-2200 or (210) 849-1676	Dallas District Office 8101 North Stemmons Freeway Dallas, TX, 75247 (214) 655-3011	Laredo Administrative Offices, Building #2 700 Zaragoza Street P.O. Box 179 Laredo, TX, 78042-0179 (210) 722-3283
Gateway to the American International Bridge #1 100 Convent Street Laredo, TX, 78040 (210) 722-2484	Presidio Port of Entry International Bridge, P.O. Box 937 Presidio, TX, 79845 (915) 229-3265, 3663	San Angelo Border Patrol P.O. Box 61106 San Angelo, TX, 76906 (915) 949-0139
Amarillo Border Patrol P.O. Box 2287 Amarillo, TX, 79105 (806) 324-2278	El Paso Border Patrol Sector Headquarters 8901 Montana Ave., P.O. Box 9578 El Paso, TX, 79986 (915) 834-8350	Big Bend Park Border Patrol P.O. Box 67 Big Bend, TX, 79834 (915) 477-2287
	Sanderson Border Patrol	

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

McAllen Border Patrol Sector Headquarters Mail: P.O. Box 1179 Zip Code 78502 2301 South Main Street McAllen, TX, 78503 (210) 686-5496	(956) 487-2700	(915) 336-2468
Falcon Heights Port of Entry International Dam, P.O. Box 278 Roma, TX, 78584 (210) 848-5221	Port Isabel Border Patrol Route 3, Box 340 Los Fresnos, TX, 78566 (956) 233-9554	Presidio Border Patrol P.O. Box 929 Presidio, TX, 79845 (915) 229-3330
Pharr Port of Entry 9910 S. Cage Boulevard, Suite C Pharr, TX, 78557-9723 (956) 783-4739, 4758	Uvalde Border Patrol Industrial Park #30 Uvalde, TX, 78801 (830) 278-7133	Del Rio Border Patrol Sector Headquarters P.O. Box 2020 Del Rio, TX, 78841-2020 (210) 703-2100
San Antonio Border Patrol 5000 North Industrial Drive San Antonio, TX, 78268 (210) 521-7926	Fabens Port of Entry P.O. Box 908 Fabens, TX, 79838 (915) 764-2419	Eagle Pass Port of Entry International Bridge, P.O. Box 4280 Eagle Pass, TX, 78852 (210) 773-9205, 9206
Processing Center 8915 Montana Avenue El Paso, TX, 79925 (915) 225-1941	Van Horn Border Patrol 700 N.W. Access Road P.O. Box 368 Van Horn, TX, 79854 (915) 283-2795	Harlingen District Office 2102 Teege Road Harlingen, TX, 78550 (210) 427-8691
Office of Inspector General 3 Butterfield Trail Boulevard Suite 120 El Paso, TX, 79906 (915) 534-7370	Amistad Dam Port of Entry P.O. Box 4060 Del Rio, TX, 78841-4060 (210) 775-7213	Utah 5272 South College Drive, Suite 100 Salt Lake City, UT, 84123 (801) 265-8807
Abilene Border Patrol P.O. Box 3076 555 Walnut Street Abilene, TX, 79604-3076 (915) 673-3010	Laredo Border Patrol Sector Headquarter 207 W. Del Mar Boulevard Laredo, TX, 78041 (956) 723-4367	Vermont Highgate Springs Port of Entry Border Inspection Station U.S. Route 7 RFD Swanton, VT, 05488 (802) 868-3349
Hebbronville Border Patrol 802 North Sigrid Street Hebbronville, TX, 78361 (512) 527-3256	Laredo South Border Patrol Station Rural Route 3, P.O. Box USBP-SLS/L Laredo, TX, 78043 (210) 727-0644	Derby Line Port of Entry Route 91 P.O. Box 367 Derby Line, VT, 05830 (802) 873-3316
Intelligence Center 11339 SSG Sims Street El Paso, TX, 79908-8098 (915) 760-2031	Del Rio Border Station P.O. Box 2020, Qualia Drive Del Rio, TX, 78841-2020 (830) 703-2100	Newport Border Patrol P.O. Box 815 Newport, VT, 05855 (802)334-6722
Lubbock Border Patrol P.O. Box 1632, 1205 Texas Avenue Lubbock, TX, 79408 (806) 472-7355	Alpine Border Patrol P.O. Box 958 Alpine, TX, 79831 (915) 837-3550	Beecher Falls Border Patrol P.O. Box 215 24 hours Beecher Falls, VT, 05902 (802) 266-3035
Rio Grand City Border Patrol 2230 East Highway P.O. Box 481 Rio Grand City, TX, 78582	Freer Border Patrol P.O. Box "W" Freer, TX, 78357 (512) 394-7613	Norton Port of Entry Border Inspection Station State Route 114 P.O. Box 117 Norton, VT, 05907

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

(802) 822-5222	RFD #1, Box 40 Richford, VT, 05476 (802) 848-7766	Christiansted St. Croix, Port of Entry P.O. Box 1270 Kingshill Christiansted St. Croix, VI, 00851 (809) 778-6559/6300
West Berkshire Port of Entry RFD #1 Box 1110 Enosburg Falls, VT, 05450 (802) 933-2301	Morses Line Port of Entry RFD #1 Morses Line, VT, 05457 (802)285-2214	Charlotte Amalie, St. Thomas, VI Sub-Office Nisky Center, First Floor, Suite 1A Charlotte Amalie St. Thomas, VI, 00801 (809) 774-1390
St. Albans Sub-Office Federal Building P.O. Box 328 St. Albans, VT, 05478 (802) 527-3191	North Troy Port Of Entry State Route 105 North Troy, VT, 05859 (802) 988-2633	Virginia Norfolk Sub-Office 5Norfolk Federal Building 200 Granby Mall, Room 439 Norfolk, VA, 23510-1882 (804) 441-3095
Beecher Falls Port of Entry Border Inspection Route 102 Beecher Falls, VT, 05902 (802) 266-3320	Swanton Border Patrol Sector Headquarters Grand Ave P.O. Box 705 Swanton, VT, 05488 (802) 868-3361	Washington District Office 4420 North Fairfax Drive Arlington, VA, 22203 (202) 307-1504
Beebe Plain Port of Entry Beebe Plain, VT, 05823 (802) 873-3151	East Richford Port of Entry RFD #1 P.O. Box 1690 East Richford, VT, 05476 (802) 848-3001	Washington Vancouver-BC Canada P.O. Box 450 Point Roberts, WA, 98281-0450 (604) 278-3360, 3986, 2520
Pinnacle Port of Entry R.D. #1, Box 300 Richford, VT, 05476 (802) 848-3319	Swanton Border Patrol Station Route 78 P.O. Box 209 24 Hours Swanton, VT, 05488 (802) 868-3229/3320	Ferry Port of Entry 3559 Toroda Customs Bridge Road Curlew, WA, 99118-9715 (509) 779-4655 (Customs)
Richford Border Patrol Main Street P.O. Box 67 Richford, VT, 05476 (802) 848-7713	Alburg Port of Entry U.S. Border Station State Route 225 Alburg, VT, 05440 (802)796-3703	Danville Port of Entry 19130 North Highway 21 Danville, WA, 99121-9704 (509) 779-4860
Alburg Springs Port of Entry Alburg Springs, RR#2 Alburg, VT, 05440 (802) 796-3704	Canaan Port of Entry Border Inspection Station State Route 114 P.O. Box 129 Canaan, VT, 05903 (802) 266-8994	Tacoma Port of Entry 3600 Port Tacoma Road Suite 303 P.O. Box 1296 Tacoma, WA, 98401 (206) 922-0848
Eastern Region Operations 70 Kimball Avenue South Burlington, VT, 05403-6813 (802) 660-5000	Eastern Form Center P.O. Box 567 Williston, VT, 05495-0567 (802) 951-6225	Nighthawk Port of Entry Star Route Loomis, WA, 98827 (509) 476-2125
Eastern Service Center 75 Lower Welden Street St. Albans, VT, 05479-0001 (802) 527-3100	Virgin Islands Cruz Bay Port of Entry P.O. Box 450 Cruz Bay St. John, VI, 00831 (340) 776-6390	Lynden Border Patrol 8334 Guide Meridian Road P.O. Box 708 Lynden, WA, 98264 (360) 354-4118
Administrative Center 70 Kimball Avenue South Burlington, VT, 05403-6813 (802) 660-1111	Richford Port of Entry Border Inspection Station	Friday Harbor Port of Entry

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

202 Front Street North
Staff by Customs
P.O. Box 1907
Friday Harbor, WA, 98250
(206) 378-2080

Wenatchee Border Patrol
93 Eastmont, McQuaig Bldg.
P.O. Box 1825
Wenatchee, WA, 98807
(509) 884-8893

Spokane Border Patrol
N. 10710 Newport Highway
Spokane, WA, 99218

Seattle Sea Inspections
815 Airport Way South
Seattle, WA, 98134
(206) 553-1246

Laurier Port of Entry
Immigration and Naturalization Service
Highway 395
P.O. Box 40
Laurier, WA, 99146
(509) 684-2100

Seattle District Office
815 Airport Way South
Seattle, WA, 98134
(206) 553-0070

Sumas Port of Entry
109 Cherry Street
P.O. Box 99
Sumas, WA, 98295
(360) 988-4781

Port Townsend Port of Entry
Staffed by Customs)
1322 Washington
P.O. Box 951
Port Townsend, WA, 98368-0004
(360) 385-3777

Longview Port of Entry
P.O. Box 1027
Longview, WA, 98632
(206) 423-5550

Bellingham Port of Entry
104 W. Magnolia and Cornall Street, Room 207
P.O. Box 2055
Bellingham, WA, 98227
(360) 676-8411 (Downtown)
(360) 734-3520 (Airport)

Boundary Port of Entry
5338 North Port Juanita Road
Colville, WA, 99114
(509) 732-4470

Oroville Border Patrol
1105 Main Street
P.O. Box 99
Oroville, WA, 98844-9726
(509) 476-3622

Lynden Port of Entry
9949 Guide Meridian Road
Lynden, WA, 98264
(206) 354-6661

Blaine Port of Entry
Immigration and Naturalization Service
Peace Arch POE
100 Peace Portal Drive
Blaine, WA, 98230
(360) 332-8511

Blaine Border Patrol
1580 H Street
P.O. Box 3529
Blaine, WA, 98231
(360) 332-4205

Oroville Port of Entry
Route 1, Box 130
Oroville, WA, 98844
(509) 476-2454, 3132

Spokane Airport
691 U.S. Courthouse Building
Spokane, WA, 99201
(509) 353-4699

Bellingham Border Patrol
2745 McLeod Rd.
P.O. Box 31428
Bellingham, WA, 98228-3428
(360) 733-8420

Frontier Port of Entry
Immigration and Naturalization Service
4939 Highway 25 North
Northport, WA, 99157
(509) 732-4418

Port Angeles Border Patrol
138 West First Street
Port Angeles, WA, 98362
(360) 452-5970

Yakima Port of Entry

417 E. Chestnut
P.O. Box 49
Yakima, WA, 98907
(509) 575-5944

Blaine Border Patrol Sector Headquarters
1590 H Street
P.O. Box 3529
Blaine, WA, 98231
(360) 332-7707, 7919

Spokane Sub-Office
619 U.S. Courthouse Building
Spokane, WA, 99201
(509) 353-2761

Colville Border Patrol
209 East Juniper Ave.
P.O. Box 146
Colville, WA, 99114
(509) 684-6272

Pacific Highway Port of Entry
9950 Pacific Highway
Blaine, WA, 98230
(360) 332-6091, 7237

Seattle Tacoma International Airport
S. 178 and Pacific Highway
Seattle, WA, 98158
(206) 553-0466, 2299

Metaline Falls Port of Entry
P.O. Box 631
Metaline Falls, WA, 99153
(509) 446-2572

Washington, DC

Immigration and Naturalization Service Headquarters
425 I Street, NW
Washington, DC, 20536
(202) 514-4316

Dulles International Airport
P.O. Box 17775
Washington, DC, 20041
(703) 661-5100

Wisconsin

Milwaukee Sub-Office
Federal Building, Room 186
517 East Wisconsin Avenue
Milwaukee, WI, 53202
(414) 297-3161

OFFICES, BOARDS AND DIVISIONS

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Detroit

(Michigan)
211 West Fort Street, Room 1404
Detroit, MI, 48226
(313) 226-4010

Houston

(Texas)
515 Rusk Avenue, Room 12605
Houston, TX, 77002
(713) 718-4861

Miami

(Florida)
51 First Avenue, S.W., Room 624
Miami, FL, 33130
(305) 536-5206

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
408 Atlantic Avenue, Suite 222
Boston, MA, 02110
(617) 424-5715

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Room 36-118
26 Federal Plaza
New York, NY, 10278
(212) 264-0700

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
2nd and Chestnut Street, Room 208
Philadelphia, PA, 19106
(215) 597-2344

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
75 Piedmont Avenue N.E., Room 900
Atlanta, GA, 30303
(404) 331-6883

Region IX

(Arizona, California, Guam, Hawaii, Nevada)
888 South Figueroa Street, Suite 1880
Los Angeles, CA, 90017
(213) 894-2941

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STATE EMPLOYMENT SECURITY AGENCIES

Alabama

Alabama Department of Industrial Relations
Industrial Relations Building
649 Monroe StreetMontgomery, AL, 36131
(334) 242-8990 (334) 242-3960 FAX

Alaska

AK Department of Labor and Workforce Development
P.O. Box 115509Juneau, AK, 99811-1149
(907) 465-2700 (907) 465-4537 FAX

Arizona

Department of Economic Security
1789 West Jefferson, Mail Drop 1111Phoenix, AZ, 85005
(602) 542-4910 (602) 542-5339 FAX

Arkansas

Arkansas Department of Workforce Services
P.O. Box 2981, # 2 Capitol MallLittle Rock, AR, 72201
(501) 682-5961 (501) 682-1509 FAX

California

Employment Development Department
800 Capitol MallSacramento, CA, 94280-0001
(916) 654-8210 (916) 657-3413 FAX

Colorado

633 17th St, Suite 1200Denver, CO, 80202-3660
(303) 318-8017 (303) 318-8049 FAX

Connecticut

200 Folly Brook BoulevardWethersfield, CT, 06109-1153
(860) 263-6505 (860) 263-6529 FAX

Delaware

Department of Labor
4425 N. Market StreetWilmington, DE, 19802
(302) 761-8001

District of Columbia

Department of Employment Services
4058 Minnesota Avenue, NEWashington, DC, 20019
(202) 671-2871

Florida

107 East Madison Street, MSC 100Tallahassee, FL, 32399-4120
(850) 245-7298 (850) 921-3223 FAX

Georgia

Georgia Department of Labor Sussex Place
148 Andrew Young International Boulevard, N.E.,
Suite 600Atlanta, GA, 30303-1751
(404) 232-7300 (404) 656-2683 FAX

Guam

Guam Department of Labor
414 West Soledad Avenue, Suite 400, GDIC
BuildingHagatna, 2, 96910
(671) 475-7043 (671) 475-7045 FAX

Hawaii

Department of Labor and Industrial Relations
830 Punchbowl Street, Room 321Honolulu, HI, 96813
(808) 586-8850 (808) 586-9099 FAX

Idaho

Department of Labor
317 W. Main StreetBoise, ID, 83735

(208) 332-3570 ext. 3110 (208) 334-6430 FAX

Indiana

Indiana Department of Workforce Development
10 North Senate Avenue, Room SE302Indianapolis, IN, 46204-2277
(317) 232-7676 (317) 233-1670 FAX

Iowa

Iowa Workforce Development
1000 East Grand AvenueDes Moines, IA, 50319-0209
(515) 281-5365 (515) 218-4698 FAX

Kansas

Kansas Department of Commerce
1000 SW Jackson, Suite 100Topeka, KS, 66612-1354
(785) 296-2741 (785) 296-5055 FAX

Kentucky

The 300 Building, 4th Floor
300 Sower BuildingFrankfort, KY, 40601
(502) 564-0372

Louisiana

P.O. Box 45249
1001 North 23rd StreetBaton Rouge, LA, 70804-9094
(225) 342-3001; (225) 342-3778 FAX

Maine

Maine Department of Labor
45 Commerce Drive (Physical)
54 State House Station(mailing)Augusta, ME, 04333-0054
(207) 621-5099 (207) 287-5292 FAX

Maryland

Department of Labor, Licensing and Regulation
500 North Calvert Street, 4th FloorBaltimore, MD, 21202
(410) 230-6020

Massachusetts

Secretary for the Massachusetts Executive Office of Labor and Workforce Development
Charles F. Hurley Building, 3rd Floor
One Ashburton Place, 21st FloorBoston, MA, 02108-1578
(617) 626-7104 (617) 727-1090 FAX

Michigan

201 N. Washington Square, 4th FloorLansing, MI, 48913
(573) 751-4770 (573) 526-7700 FAX

Minnesota

Department of Employment and Economic Development
332 Minnesota Street, E200St. Paul, MN, 55101-2146
(651) 259-7112 (651) 296-4772 FAX

Mississippi

Mississippi Department of Employment Security

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

P.O. Box 1699 Jackson, MS, 39215
(601) 321-6003 (601) 321-6040 FAX

Missouri

Department of Economic Development
PO Box 1157
301 W. High Street Jefferson City, MO, 65102-1157
(573) 751-4770 (573) 526-7700 FAX

Montana

P.O. Box 1728
1315 E. Lockey Avenue Helena, MT, 59624-1728
(406) 444-1785 (406) 444-1394 FAX

Nebraska

Nebraska Department of Labor
P.O. Box 94600
550 South 16th Street Lincoln, NE, 68509-4600
(402) 471-9912 (402) 471-9917 FAX

Nevada

Department of Employment Training and
Rehabilitation
500 East Third Street Carson City, NV, 89713
(775) 684-3911 (775) 684-3908 FAX

New Hampshire

DRED, 172 Pembroke Road, PO Box 1856, Concord,
New Hampshire
03301 (or 03302-1856 for PO Box) Concord, NH,
03302-1856
(603) 271-7275 (603) 271-2629 FAX

172 Pembroke Road
P.O. Box 1826 (zip code 03302-1856 for P.O.
Box) Concord, NH, 03301
(603) 271-2411 (603) 271-2629 FAX

New Hampshire Department of Employment Security
32 South Main Street, Room 204 Concord, NH,
03301-4817
(603) 228-4000 (603) 228-4010 FAX

New Jersey

John Fitch Plaza, 13th Floor
P.O. Box 110 Trenton, NJ, 08625-0110
(609) 292-2323 (609) 633-9271 FAX

New Mexico

401 Broadway NE
Mailing Address: P.O. Box 1928 Albuquerque, NM,
87103
(505) 841-8405 (505) 841-8491 FAX

New York

New York State Department of Labor
State Campus Office, Building 12, Room 500 Albany,
NY, 12240
(518) 457-2746 (518) 457-6908 FAX

North Carolina

Department of Labor, Employment Training
Administration

Division of Workforce Solutions, Department of
Commerce

P.O. Box 25903 Raleigh, NC, 27611
(919) 706-1600 (919) 733-1129 FAX

North Dakota

Job Service North Dakota
P.O. Box 5507, 1000 East Divide Avenue Bismarck,
ND, 58506-5507
(701) 328-6024 (701) 328-1612 FAX

Ohio

Ohio Department of Job & Family Services
30 East Broad Street, 32nd Floor Columbus, OH,
43215
(614) 466-6283 (614) 466-2815 FAX

Oklahoma

Oklahoma Employment Security Commission
Will Rogers Memorial Office Building
P.O. Box 52003
2401 North Lincoln Blvd. Oklahoma City, OK, 73105-
2003
(405) 557-7201 (405) 557-7174 FAX

Oregon

Oregon Employment Department
875 Union Street, N.E. Salem, OR, 97311
(503) 947-1477 (503) 947-1472 FAX

Puerto Rico

Departamento de Desarrollo Economico y Comercio
PO Box 362350 San Juan, PR, 00936-2350
(787) 765-2900 x4013

Rhode Island

Rhode Island Department of Labor and Training
Center General Complex
1511 Pontiac Avenue, Building 72-2 Cranston, RI,
02920-4407
(401) 462-8870 (401) 462-8872 FAX

South Carolina

P.O. Box 995 Columbia, SC, 29202
(803) 737-2617 FAX (803) 737-2629

South Dakota

South Dakota Department of Labor and Regulation
123 W. Missouri Avenue Pierre, SD, 57501-2291
(605) 773-5395 (605) 773-6184 FAX

Tennessee

Tennessee Department of Labor and Workforce
Development
220 French Landing Drive, 4th Floor, Building
ANashville, TN, 37243-1002
(615) 741-6642 (615) 741-5078 FAX

Texas

Texas Workforce Commission
101 East 15th Street, Room 618 Austin, TX, 78778-
0001
(512) 305-9636 (512) 475-2321 FAX

Utah

Utah Department of Workforce Services
P.O. Box 45249
140 E. 300 South Salt Lake City, UT, 84145-0249
(801) 526-9210 (801) 526-9211 FAX

Vermont

Vermont Department of Labor
P.O. Box 488, 5 Green Mountain Drive Montpelier,
VT, 05601-0488
(802) 828-4301 (802) 828-4181 FAX

Virgin Islands

4401 Sion Farm-Christiansted St. Croix, 3, 00820-
4245
(340) 773-1994 (340) 773-0094 FAX

Virginia

Vice Chancellor for Workforce Development
300 Arboretum Place Suite 200 Richmond, VA, 23226
(804) 786-3001

Washington

WA Employment Security Department
212 Maple Park
P.O. Box 9046 Olympia, WA, 98501-9046
(360) 902-9301 (360) 902-9383 FAX

West Virginia

WORKFORCE West Virginia
1900 Kanawha Blvd E Building 3, Suite
300 Charleston, WV, 25305-0112
(304) 558-7024

Wisconsin

Department of Workforce Development
201 East Washington Avenue
P.O. Box 7946 Madison, WI, 53707
(608) 261-6694 (608) 266-1784 FAX

Wyoming

Department of Workforce Services
614 S. Greeley Highway Cheyenne, WY, 82002
(307) 777-5725 (307) 777-5857 FAX

EMPLOYMENT STANDARDS ADMINISTRATION

Alabama

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36609
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92868
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Connecticut

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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150 Court Street, Room 208
New Haven, CT, 06510
(203) 773-2249

Florida

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32803
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Viengkeo Vilaylak, Assistant District Director,
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32301
(850) 942-8341

Hawaii

Terry Trotter, District Director,
300 Ala Moana Blvd., Suite 7225, Honolulu, HI,
96850
(808) 541-1361

Kansas

Shannon Rebolledo, Assistant District Director,
U.S. Courthouse B-37,
401 North Market Street, Wichita, KS, 67202
(316) 269-7166

Maryland

Bruce Dory, Assistant District Director,
6525 Belcrest Road, Suite 560, Hyattsville, MD,
20782
(301) 436-6767

New York

Michael Fitzgerald, Assistant District Director,
130 S. Elmwood Ave., Room 534, Buffalo, NY, 14202
(716) 842-2950

Catherine Quinn-Kay, Assistant District Director,
100 South Clinton Street, FOB Room 1373,
PO Box 7245, Syracuse, NY, 13261
(315) 448-0630

Dinah Solivan, Assistant District Director,
10 Metrotech Center,
625 Fulton Street, 7th Floor, Brooklyn, NY, 11201
(718) 254-9410

Sonia Rybak, Assistant District Director,
140 Grand Street, Suite 304, White Plains, NY, 10601
(914) 682-6348

Oklahoma

Ray Birkhead, Assistant District Director,
1645 South 101 East Avenue, Suite 170, Tulsa, OK,
74128
(918) 581-6303

Glynda Smith, District Director,
Old Post Office Bldg., Room 321,
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73102
(405) 231-4158

Pennsylvania

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Federal Building, Room 357,
228 Walnut Street,
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(717) 221-4539

Tennessee

Nettie Lewis, Assistant District Director,
167 North Main Street, Room 484, Memphis, TN,
38103
(901) 544-3418

Sandra Sanders, Assistant District Director,
John Duncan Federal Bldg., Room 101,
710 Locust Street, Knoxville, TN, 37902
(865) 545-4619

Texas

Vacant, Assistant District Director,
Wilson Plaza, 606 N. Carancahua,
Suite 705, Corpus Christi, TX, 78476
(361) 888-3152

Eden Ramirez, District Director,
320 N. Main Street, Room 238, McAllen, TX, 78501
(956) 682-4631

Virginia

Patricia Pickett, Assistant District Director,
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23510
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Vacant, Assistant District Director,
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22201
(703) 235-1182

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310 W. Wisconsin Avenue, Suite 1170, Milwaukee,
WI, 53203
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WAGE AND HOUR DIVISION

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4001 Carmichael Road, Suite 215
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Arkansas

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Little Rock, AR, 72211
(501) 223-9114

California

Susanna Rincon, District Director,
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San Francisco, CA, 94103
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91791
(626) 966-0478

Kenneth Morrison, District Director,
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550 West C Street, Suite 990
San Diego, CA, 92101
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(Vacant), District Director,
2800 Cottage Way, Room W-1836,
Sacramento, CA, 95825
(916) 978-6123

California-Los Angeles

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Colorado

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Denver, CO, 80202
(720) 264-3250

Connecticut

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Hartford, CT, 06103
(860) 240-4160

Florida

James M. Schmidt, District Director,
Austin Laurel Building, Suite 300,
4905 West Laurel Street,
Tampa, FL, 33607-3838
(813) 288-1242

Will W. Garnitz, District Director,
10300 SW 72nd St.,
Sunset Center, Room 255,
Miami, FL, 33173-3038
(305) 598-6607

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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Fort Lauderdale, FL, 33301-1976
(954) 356-6896

Michael D. Young, District Director,
400 West Bay Street, Room 956,
Jacksonville, FL, 32202
(904) 359-9292

Georgia

Janet Campbell, District Director,
Atlanta Federal Center,
61 Forsyth Street, S.W., Room 7M10,
Atlanta, GA, 30303
(404) 893-4600

Larry Benjamin, Assistant District Director
Juliette Gordon Low Federal Building Complex
124 Barnard Street, Suite B-210
Savannah, GA, 31401-3648
(912) 652-4221, 4229

Illinois

Thomas A. Gauza, District Director,
230 South Dearborn, Room 412,
Chicago, IL, 60604
(312) 596-7230

James Yochim, Assistant District Director
3161 W. White Oaks Drive, Suite 203 Springfield, IL,
62704
(217) 793-5028

Indiana

Daniell Kline, Assistant District Director
2420 Viridian Drive, Suite E
South Bend, IN, 46628
(219) 236-8331, 8332

Patricia A. Lewis, District Director,
U.S. Courthouse, Room 413,
46 East Ohio Street,
Indianapolis, IN, 46204
(317) 226-6801

Iowa

Michael Staebell, District Director,
Federal Building, Room 643,
210 Walnut Street,
Des Moines, IA, 50309
(515) 284-4625

Kansas

James F. Koren, District Director,
Gateway Tower II,
400 State Avenue, Suite 1010,
Kansas City, KS, 66101
(913) 551-5721

Kentucky

James F. Karn, District Director,
332 W. Broadway, Suite 410
Louisville, KY, 40202

(502) 582-5226

Louisiana

Frank McGriggs, District Director,
F. Edward Hebert Bldg., Room 615,
600 S. Maestri Place,
New Orleans, LA, 70130
(504) 589-6172

Maryland

John Kelly, Acting District Director,
Appraisers Stores Building,
103 South Gay Street, Room 207,
Baltimore, MD, 21202
(410) 962-6211

Massachusetts

Vacant, Assistant District Director
104 Dean Street, Room 201 Taunton, MA, 02780
(508) 821-9106

George A. Rioux, District Director,
John F. Kennedy Federal Building, Room 525,
15 Sudbury St., Boston, MA, 02203
(617) 624-6700

Michigan

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James R. Smith, District Director,
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Detroit, MI, 48226-3237
(313) 226-7447

Midwest Region

Anne Baird-Bridges, Regional Administrator,
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Chicago, IL, 60604
(312) 596-7180

Minnesota

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TriTech Office Center, Suite 920,
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Minneapolis, MN, 55401-2233
(612) 370-3341

Mississippi

Eric Nash, Assistant District Director
Dr. A.H. McCoy Federal Building
100 W. Capitol Street, Suite 608
Jackson, MS, 39269-1602
(601) 965-4347, 4348

Missouri

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(314) 539-2706

Nebraska

Richard Tesarek, Assistant District Director
111 South 18th Plaza, Suite 2238
Omaha, NE, 68102-1321
(402) 221-4682

Nevada

Brian Taverner, District Director,
Alan Bible Federal Bldg., Suite 750,
600 Las Vegas Blvd. S., Las Vegas, NV, 89101
(702) 388-6001

New Hampshire

Daniel Cronin, Assistant District Director
1750 Elm St., Suite 111
Manchester, NH, 03104
(603) 666-7716

New Jersey

Joseph Petrecca, District Director,
200 Sheffield Street, Suite 102,
Mountainside, NJ, 07092
(908) 317-8611

Patrick E. Reilly, District Director,
3131 Princeton Pike, Building 5, Room 216,
Lawrenceville, NJ, 08648
(609) 538-8310

New Mexico

Patricia Davidson, District Director,
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Albuquerque, NM, 87102
(505) 248-6108

New York

Christopher Martin, District Director
Leo W. O'Brien Federal Building
Room 822
Albany, NY, 12207
(518) 431-4278

Irving Miljoier, District Director,
1400 Old Country Road, Suite 410,
Westbury, NY, 11590-5119
(516) 338-1890

Maria Rosado, District Director,
26 Federal Plaza, Room 3700,
New York, NY, 10278
(212) 264-8185

North Carolina

Maxine Mills, Assistant District Director
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Somerset Park Building,
Raleigh, NC, 27609-6296
(919) 790-2741, 2742

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Northeast Region

George Ference, Regional Administrator,
Northeast Regional Office,
The Curtis Center, Suite 850 West,
170 S. Independence Mall West,
Philadelphia, PA, 19106-3317
(215) 861-5800

Ohio

Donald Harrison, Assistant District Director
550 Main Street, Room 10-409
Cincinnati, OH, 45202
(513) 684-2908

George L. Victory, District Director,
Federal Office Building, Room 646
200 North High Street,
Columbus, OH, 43215-2408
(614) 469-5678

David Rodenhausen, Federal Office Building, Room
817
1240 East Ninth Street
Cleveland, OH, 44199-2054
(216) 357-5400

Oregon

Jeffrey J. Genkos, District Director,
620 SW Main Street, Room 423,
Portland, OR, 97205
(503) 326-3057

Pennsylvania

Alfonso J. Gristina, District Director,
Stegmaier Building, Suite 373-M,
7 North Wilkes-Barre Boulevard,
Wilkes-Barre, PA, 18702-3594
(570) 826-6316

Stewart C. Bostic, District Director,
U.S. Custom House, Room 400,
Second and Chestnut Streets,
Philadelphia, PA, 19106
(215) 597-4950

John E. DuMont, District Director,
Federal Building, Suite 1416,
1000 Liberty Avenue,
Pittsburgh, PA, 15222
(412) 395-4996

Puerto Rico

Jose R. Vasquez, District Director,
7 Tabonuco Street,
San Patricio Office Center, Suite 402,
Guaynabo, PR, 00968
(787) 775-1924

Rhode Island

Daniel Weeks, Assistant District Director
380 Westminster Mall, Room 546 Providence, RI,
02903
(401) 528-4431

South Carolina

Scott K. Gear, District Director,
Federal Building, Room 1072,
1835 Assembly Street,
Columbia, SC, 29201-9863
(803) 765-5981

Southeast Region

Oliver Peebles, Regional Administrator,
Atlanta Federal Center,
61 Forsyth Street, S.W., Room 7M40,
Atlanta, GA, 30303
(404) 893-4531

Southwest Region

Cynthia Watson, Regional Administrator,
Federal Building, Suite 800,
525 South Griffin Street,
Dallas, TX, 75202-5007
(972) 850-2600

Tennessee

Marcia Collins, District Director,
Executive Plaza,
1321 Murfreesboro Road, Suite 511,
Nashville, TN, 37217-2626
(615) 781-5344

Texas

Curtis L. Poer, District Director,
The Offices @ Brookhollow, Suite 270, Box 22,
1701 East Lamar Blvd.,
Arlington, TX, 76006-7303
(817) 861-2150

Juan G. Coria, District Director,
Northchase I Office Building, Suite 140,
10127 Morocco Street,
San Antonio, TX, 78216
(210) 308-4515

Robin R. Mallett, District Director,
Alliance Tower, Suite 1164,
8701 S. Gessner Drive,
Houston, TX, 77074-2944
(713) 339-5500

Utah

Lee Ann Dunbar, District Director,
150 E. Social Hall Avenue, Suite 695
Salt Lake City, UT, 84111
(801) 524-5706

Virginia

Bruce Clark, District Director,
Federal Building, Suite 416,
400 N. Eighth Street
Richmond, VA, 23219
(804) 771-2995

Washington

Donna M. Hart, District Director,
1111 Third Avenue, Suite 755,
Seattle, WA, 98101-3216
(206) 398-8039

West Virginia

Catherine Glencoe, Assistant District Director
500 Quarrier Street, Suite 120
Charleston, WV, 25301
(304) 347-5206

Western Region

George Friday, Regional Administrator,
90 7th Street, Suite 13-100,
San Francisco, CA, 94103-6714
(415) 625-7700

Wisconsin

740 Regent Street, Suite 102
Madison, WI, 53715
(608) 264-5221

MINE SAFETY AND HEALTH ADMINISTRATION

COAL MINE SAFETY AND HEALTH

Alabama

District 11 (Florida, Mississippi, Puerto Rico, Virgin Islands, Alabama and Georgia).
Region IV
Richard Gates
135 Gemini Circle, Suite 213
Birmingham, AL, 35209
(205) 290-7300

Colorado

District No. 9 (All States west of the Mississippi River, except for Minnesota, Iowa and all counties of Missouri south of the Missouri River; including Alaska and Hawaii)
Federal Region VIII
District Manager (Vacant)
P.O. Box 25367, DFC
Denver, CO, 80225-0367
(303) 231-5458

Indiana

District No. 8 (Illinois, Indiana, Michigan, Minnesota, Wisconsin, Iowa and all counties of Missouri north of the Missouri River).
Federal Region V
A. Simms
2300 Willow Street, Suite 200
Vincennes, IN, 47591
(812) 882-7617

Kentucky

District No. 7 (North Carolina, South Carolina, Tennessee, counties of Kentucky east of and including Boone, Grant, Scott, Woodford, Jessamine, Garrard, Lincoln, Pulaski, and Cinton, up to the District 6 Boundary).
Irvin T. hooker
3837 S. U.S. Highway 25 E. Barbourville, KY, 40906
(606) 546-5123

District No. 10 (Counties of Kentucky west of and including Gallatin, Owen, Franklin, Anderson, Mercer, Boyle, Casey, Russell And Cumberland).

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Federal Region IV
Jim W. Langley
100 YMCA Drive
Madisonville, KY, 42431-9019
(270) 821-4180

District No. 6 (Counties of Kentucky east of and including Mason, Robertson, Fleming, Knott, Rowan, Menifee, Morgan, Magoffin, Floyd, Pike, Letcher).

Federal Region IV
G. Page
100 Fae Ramsey Lane
Pikeville, KY, 41501-3211
(606) 432-0943

Pennsylvania

District No. 2 (Counties of Pennsylvania west of and including Bradford, Lycoming, Union, Snyder, Juniata, Perry, Cumberland, Adams).

Federal Region III
Thomas Light
Paladin Professional Center
631 Excel Drive, Suite 100
Mt. Pleasant, PA, 15666
(724) 925-5150

District No. 1 (Maine, New Hampshire, Vermont, Massachusetts, Rhode Island, Connecticut, New York, New Jersey, Delaware, and counties of Pennsylvania east of and including Susquehanna, Sullivan, Columbia, Montour, Northumberland, Dauphin, York).

Federal Region III
District Manager (Vacant)
The Stegmaier Building, Suite 034
7 North Wilkes-Barre Boulevard
Wilkes-Barre, PA, 18702
(570) 826-6321

Virginia

District No. 5 (Virginia)
Federal Region III
Gregory Meikle
P.O. Box 560
Norton, VA, 24273
(540) 679-0230

West Virginia

District No. 12 (Counties of Southern West Virginia including Logan, McDowell, Mercer, Mingo, Wany, Wyoming and Lincoln).

Federal Region III
Timothy Watkins
1301 Airport Road
Beaver, WV, 25813
(304) 253-5237

West Virginia

District No. 3 (Ohio, Maryland and counties of West Virginia north of and including Jackson, Mason, Roane, Calhoun, Braxton, Lewis, Upshur, Randolph, Pendleton).

Federal Region III
Bob Cornett
604 Cheat Road
Morgantown, WV, 26508
(304) 225-6800

District No. 4 (Counties of Southeastern West

Virginia to include Boone, Clay, Fayette, Greenbriar, Kanawah, Monroe, Nicholas, Pocohontas, Putnam, Raleigh, Summers, Webster).

Federal Region III
David S. Mandeville
100 Bluestone Road
Mt. Hope, WV, 25880
(304) 877-3900

METAL AND NONMETAL MINE SAFETY AND HEALTH

Alabama

Southeastern (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Staunton VA, Tennessee, Virgin Islands).

Doniece Schlick
135 Gemini Circle, Suite 212
Birmingham, AL, 35209
(205) 290-7294

California

Western (Alaska, California, Hawaii, Idaho, Nevada, Oregon, Washington).

Steve Cain
2060 Peabody Rd, Suite 610
Vacaville, CA, 95687-6696
(707) 447-8425

Colorado

Rocky Mountain (Arizona, Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming, Kansas, Nebraska).

Richard Laufenberg
P.O. Box 25367
Denver, CO, 80225-0367
(303) 231-5511

Minnesota

North Central (Illinois, Indiana, Iowa, Michigan, Minnesota, Ohio, Wisconsin).

Steve Richetta
515 West First Street, #333
Duluth, MN, 55802-1302
(218) 720-5448

Pennsylvania

Northeastern (Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, West Virginia)

Donald Foster
230 Executive Drive, Suite 2
Cranberry Township, PA, 16066-6415
(724) 772-2333

Texas

South Central (Arkansas, Louisiana, Missouri, New Mexico, Oklahoma, Texas).

Michael Davis
1100 Commerce Street, Room 4C50
Dallas, TX, 75242-0499
(214) 767-8401

NATIONAL MINE HEALTH AND SAFETY ACADEMY

West Virginia

National Mine Health and Safety Academy
Janet Bertinuson
1301 Airport Road
Beaver, WV, 25813-9426
(304) 256-3200

TECHNICAL SUPPORT

Pennsylvania

Safety and Health Technology Center
William J. Francart
Cochrans Mill Road
P.O. Box 18233
Pittsburgh, PA, 15236
(412) 386-6902

West Virginia

Approval and Certification Center
John P. Faini
R.R. 1, Box 251
Industrial Park Road
Triadelphia, WV, 26059
(304) 547-2029

OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION

Alabama

District Supervisor
3737 Government Boulevard, Suite 100
Mobile, AL, 36693-4309
(251) 441-6131

Area Director

Todd Mall, 2047 Canyon Road
Birmingham, AL, 35216-1981
(205) 731-1534, Ext. 133

Alaska

Area Director
301 West Northern Lights Boulevard, Room 407
Anchorage, AK, 99503-7571
(907) 271-5152

Arizona

Area Director
3221 North 16th Street, Suite 100
Phoenix, AZ, 85016
(602) 640-2007

Arkansas

Area Director
TCBY Building, Suite 450
425 West Capitol Avenue
Little Rock, AR, 72201
(501) 324-6291

California

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Resource Center
5675 Ruffin Road, Suite 330
San Diego, CA, 92123
(619) 557-5904

Resource Center
101 El Camino Boulevard, Suite 105
Sacramento, CA, 95815
(916) 566-7470

Resource Center
71 Stevenson Street, Suite 420
San Francisco, CA, 94105
(415) 975-4316

Colorado

Area Director
1391 Speer Boulevard, Suite 210
Denver, CO, 80204-2552
(303) 844-5285

Area Director
7935 E. Prentice Avenue, Suite 209
Englewood, CO, 80111-2714
(303) 843-4500

Connecticut

Area Director
Federal Office Building
450 Main Street, Room 613
Hartford, CT, 06103
(860) 240-3152

Area Director
Clark Building
1057 Broad Street, 4th Floor
Bridgeport, CT, 06604
(203) 579-5581

Delaware

Area Director
Caleb Boggs Federal Building
844 King Street, Room 2209
Wilmington, DE, 19801
(302) 573-6518

Florida

Area Director
5807 Breckenridge Parkway, Suite A
Tampa, FL, 33610
(813) 626-1177

Area Director
Ribault Building, Suite 227
1851 Executive Center Drive
Jacksonville, FL, 32207
(904) 232-2895

Area Director
Building H100
8040 Peters Road
Fort Lauderdale, FL, 33324
(954) 424-0242

Georgia

Area Director
2400 Herodian Way, Suite 250
Smyrna, GA, 30080-2968
(770) 984-8700

Area Director
Building 7, Suite 110
2183 N. Lake Parkway
La Vista Perimeter Office Park
Tucker, GA, 30084-4154
(770) 493-6644

Area Director
450 Mall Boulevard, Suite J.
Savannah, GA, 31406-1418
(912) 652-4393

Hawaii

Resource Center
300 Ala Moana Boulevard, Suite 5-146
Honolulu, HI, 96850
(808) 541-2685

Idaho

Area Director
1150 North Curtis Road, Suite 201
Boise, ID, 83703
(208) 321-2960

Illinois

Area Director
365 Smoke Tree Plaza
North Aurora, IL, 60542
(630) 896-8700

Area Director
1600 167th Street, Suite 12
Calumet City, IL, 60409
(708) 891-3800

District Supervisor
11 Executive Drive, Suite 11
Fairview Heights, IL, 62208
(618) 632-8612

Area Director
701 Lee Street
Suite 950Des Plains, IL, 60016
(847) 803-4800

Area Director
2918 West Willow Knolls Road
Peoria, IL, 61614
(309) 671-7033

Indiana

Area Director
U.S. Post Office and Courthouse
46 East Ohio Street, Room 423
Indianapolis, IN, 46204
(317) 226-7290

Iowa

Area Director
210 Walnut Street, Room 815
Des Moines, IA, 50309
(515) 284-4794

Kansas

Area Director
8600 Farley, Suite 105
Overland Park, KS, 66212-4677
(913) 385-7380

Area Director
271 W. 3rd Street, Room 400
Wichita, KS, 67202
(316) 269-6644

Kentucky

Area Director
U.S. Department of Labor-OSHA
John C. Watts Federal Building
330 West Broadway, Room 108
Frankfort, KY, 40601-1922
(502) 227-7024

Louisiana

Area Director
9100 Bluebonnet Centre Boulevard, Suite 201
Baton Rouge, LA, 70809
(225) 389-0474

Area Director
202 Harlow Street, Room 211
Bangor, ME, 04401-4906
(207) 941-8177

Maine

District Supervisor
Edmund S. Muskie Federal Bldg.
40 Western Ave., RM G26Augusta, ME, 04330
(207) 626-9160

Maryland

Area Director

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

1099 Winterson Road, Suite 140
Linthicum, MD, 21090
(410) 865-2055/2056

Massachusetts

Area Director
Valley Office Park
13 Branch Street, First Floor
Methuen, MA, 01844
(617)565-8110

Area Director
639 Granite Street, 4th Floor
Braintree, MA, 02184
(617) 565-6924

Area Director
1441 Main Street, Room 550
Springfield, MA, 01103-1493
(413) 785-0123

Michigan

Area Director
801 South Waverly Road, Suite 306
Lansing, MI, 48917-4200
(517) 327-0904

Minnesota

Area Director
300 S. 4th Street, Suite 1205
Minneapolis, MN, 55415
(612) 664-5460

Mississippi

Area Director
3780 I-55 North, Suite 210
Jackson, MS, 39211-6323
(601) 965-4606

Missouri

Area Director
U.S. Department of Labor-OSHA
911 Washington Avenue, Room 420
St. Louis, MO, 63101
(314) 425-4249

Area Director
6200 Connecticut Avenue, Suite 100
Kansas City, MO, 64120
(816) 483-9531

Montana

Area Director
2900 4th Avenue, North, Suite 303
Billings, MT, 59101
(406) 247-7494

Nebraska

Area Director
Overland-Wolf Building, Room 100
6910 Pacific Street
Omaha, NE, 68106
(402) 221-3182

Nevada

Resource Center
Federal Building, Room 204
705 North Plaza
Carson City, NV, 89701
(775) 885-6963

New Hampshire

Area Director
55 Pleasant Street, Room 3901
Concord, NH, 03301
(603) 225-1629

New Jersey

Area Director
Marlton Executive Park
701 Route 73 South, Building 2, Suite 120
Marlton, NJ, 08053
(856) 757-5181

Area Director
500 Route 17 South, 2nd Floor
Hasbrouck Heights, NJ, 07604
(201) 288-1700

Area Director
Plaza 35, Suite 205
1030 St. Georges Avenue
Avenel, NJ, 07001
(732) 750-3270

Area Director
299 Cherry Hill Road, Suite 304
Parsippany, NJ, 07054
(973) 263-1003

New Mexico

Area Director
Western Bank Building
505 Marquette Avenue, N.W., Suite 820
Albuquerque, NM, 87102
(505) 248-5302

New York

Area Director
660 White Plains Road, 4th Floor
Tarrytown, NY, 10591-5107
(914) 524-7510

Area Director
42-40 Bell Boulevard
Bayside, NY, 11361
(718) 279-9060

Area Director
1400 Old Country Road, Suite 208
Westbury, NY, 11590
(516) 334-3344

Area Director
3300 Vickery Road
North Syracuse, NY, 13212
(315) 451-0808

Area Director
201 Varick Street, Room 905
New York, NY, 10014
(212) 620-3200

Area Director
5360 Genesee Street
Bowmansville, NY, 14026
(716) 684-3891

Area Director
John Tomich Federal Building
401 New Karner Road, Suite 300
Albany, NY, 12205-3809
(518) 464-4338

North Carolina

Area Director
Century Station, Federal Building, Room 438
300 Fayetteville Mall
Raleigh, NC, 27601-9998
(919) 856-4770

North Dakota

Area Director
Federal Office Building
1640 East Capitol Avenue
Bismarck, ND, 58501
(701) 250-4521

Ohio

Area Director
Federal Office Building, Room 899
1240 East Ninth Street
Cleveland, OH, 44199
(216) 522-3818

Area Director
Federal Office Building, Room 4028
36 Triangle Park Drive
Cincinnati, OH, 45246
(513) 841-4132

Area Director

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Ohio Building
420 Madison Avenue, Suite 600
Toledo, OH, 43604
(419) 259-7542

Area Director
Federal Office Building
200 North High Street, Room 620
Columbus, OH, 43215
(614) 469-5582

Oklahoma

Area Director
55 N. Robinson, Suite 315Oklahoma City, OK, 73102
(405) 278-9560

Oregon

Area Director
1220 Southwest Third Avenue, Room 640
Portland, OR, 97204
(503) 326-2251

Pennsylvania

Area Director
Federal Building, Room 1428
1000 Liberty Avenue
Pittsburgh, PA, 15522-4101
(412) 395-4903

Area Director
3939 West Ridge Road, Suite B-12
Erie, PA, 16506
(814) 833-5758

Area Director
850 North 5th Street
Allentown, PA, 18102
(610) 776-0592

Area Director
U.S. Customs House, Room 242
Second and Chestnut Streets
Philadelphia, PA, 19106
(215) 597-4955

Area Director
49 North Progress Avenue
Progress Plaza
Harrisburg, PA, 17109
(717) 782-3902

Area Director
7 North Wilkes-Barre Boulevard
Suite 410Wilkes-Barre, PA, 18702
(570) 826-6538

Puerto Rico

Area Director

BBV Plaza Building
1510 F.D. Roosevelt Avenue, Suite 5B
Guaynabo, PR, 00968
(787) 277-1560

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Marthe Kent, Regional Administrator
Department of Labor, OSHA
JFK Federal Building, Low Rise Building, Room E-340
Boston, MA, 02114
(617) 565-9860

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Robert Kulick, Regional Administrator
Department of Labor, OSHA
201 Varick Street, Room 670
New York, NY, 10014
(212) 337-2378

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
John Hermanson, Regional Administrator
The Curtis Center - Suite 740 West
170 Independence Mall West
Philadelphia, PA, 19106-3309
(215) 861-4900

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Cindy Coe, Regional Administrator
61 Forsyth Street S.W., Room 6T50
Atlanta, GA, 30303
(404) 562-2300

Region IX

(Arizona, California, Commonwealth of Northern Mariana Islands, Guam, Hawaii, and Nevada)
Ken Atha, Regional Administrator
Department of Labor, OSHA
71 Stevenson Street, Room 420
San Francisco, CA, 94105
(415) 975-4310

Region V

(Illinois, Indiana, Minnesota, Michigan, Ohio, Wisconsin)
Michael Connors, Regional Administrator
Department of Labor, OSHA
230 South Dearborn Street
32nd Floor, Room 3244
Chicago, IL, 60604
(312) 353-2220

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)

Dean McDaniel, Regional Administrator
Department of Labor, OSHA
525 Griffin Street, Room 602
Dallas, TX, 75202
(214) 767-4731

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Charles E. Adkins, Regional Administrator
Department of Labor, OSHA
City Center Square
1100 Main Street, Suite 800
Kansas City, MO, 64105
(816) 426-5861

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Greg Baxter, Regional Administrator
Department of Labor, OSHA
1999 Broadway Street, Room 1690
Denver, CO, 80202-5716
(303) 844-1600

Region X

(Alaska, Idaho, Oregon, Washington)
Richard Terrill, Regional Administrator
Department of Labor, OSHA
1111 Third Avenue, Suite 715
Seattle, WA, 98101-3212
(206) 553-5930

Rhode Island

Area Director
380 Westminster Mall, Room 243
Providence, RI, 02903
(401) 528-4669

South Carolina

Area Director
1835 Assembly Street, Room 1468
Columbia, SC, 29201
(803) 765-5904

Tennessee

Area Director
2002 Richard Jones Road, Suite C-205
Nashville, TN, 37215-2809
(615) 781-5423

Texas

Area Director
17625 El Camino Real, Suite 400
Houston, TX, 77058
(281) 286-0583

Area Director
903 San Jacinto Boulevard, Suite 319
Austin, TX, 78701

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

(512) 916-5783

Area Director
Corpus Christi Area Office
U.S. Department of Labor, OSHA
Wilson Plaza West
606 N. Carancahua, Suite 700
Corpus Christi, TX, 78476
(361) 888-3420

Area Director
507 North Sam Houston Parkway East, Suite
400Houston, TX, 77060
(281) 591-2438

Area Director
Federal Building, Room 806
1205 Texas Avenue
Lubbock, TX, 79401
(806) 472-7681

Area Director
700 E. San Antonio Street, Room C-408
EL Paso, TX, 79901
(915) 534-6251

Area Director
8344 East R.L. Thornton Freeway, Suite 420
Dallas, TX, 75228
(214) 320-2400

Area Director
North Star II, Suite 302
8713 Airport Freeway
Ft. Worth, TX, 76180-7610
(817) 428-2470

Utah

Area Director
1781 South 300 West
P.O. Box 65200
Salt Lake City, UT, 84165-0200
(801) 487-0521

Virginia

District Supervisor
200 Granby Street, Room 614Norfolk, VA, 23510
(757) 441-3820

Washington

Area Director
505 106th Avenue N.E., Suite 302
Bellevue, WA, 98004
(206) 553-7520

West Virginia

Area Director
405 Capitol Street, Suite 407
Charleston, WV, 25301

(304) 347-5937

Wisconsin

Area Director
4802 E. Broadway
Madison, WI, 53716
(608) 441-5388

Area Director
Henry S. Reuss Building
310 Wisconsin Avenue, Suite 1180
Milwaukee, WI, 53203
(414) 297-3315

Area Director
1648 Tri Park Way
Appleton, WI, 54914
(920) 734-4521

District Supervisor
1310 West Clairmont Ave.
Eau Claire, WI, 54701
(715) 832-9019

OFFICE OF LABOR-MANAGEMENT STANDARDS

California

915 Wilshire Boulevard
Suite 910
Los Angeles, CA, 90017
(213) 534-6405

District of Columbia

375 E Street SWWashington, DC, 20024
(202) 513-7300

Georgia

Atlanta Federal Building
Room 8B85
61 Forsyth Street, S.W.
Atlanta, GA, 30303-2219
(404) 562-2083

Illinois

Federal Office Building
Suite 774
230 S. Dearborn Street
Chicago, IL, 60604-1505
(312) 596-7160

Louisiana

600 South Maestri Place
Room 604New Orleans, LA, 70130
(504) 589-6174

Massachusetts

JFK Federal Building
Room E-365
Boston, MA, 02203-0002
(617) 624-6690

Michigan

211 West Fort Street
Suite 1313
Detroit, MI, 48226-3237
(313) 226-6200

Missouri

1222 Spruce Street
Suite 9.109E
St. Louis, MO, 63103-2830
(314) 539-2667

New York

201 Varick Street
Suite 878
New York, NY, 10014
(646) 264-3190

130 South Elmwood Street
Room 510Buffalo, NY, 14202-2465
(716) 842-2900

Ohio

Federal Office Building
Suite 831
1240 East Ninth Street
Cleveland, OH, 44199-2053
(216) 357-5455

Pennsylvania

The Curtis Center
170 S. Independence Mall West
Room 760W
Philadelphia, PA, 19106-3310
(215) 861-4818

Federal Office Building
Room 1411
1000 Liberty Avenue
Pittsburgh, PA, 15222-4004
(412) 395-6925

Tennessee

233 Cumberland Bend Drive
Suite 110
Nashville, TN, 37228-1809
(615) 736-5906

Texas

A. Maceo Smith Federal Building
Suite 300
525 Griffin Street
Dallas, TX, 75202-5007
(972) 850-2500

REGIONAL OFFICES

California

90 7th Street
Room 2825San Francisco, CA, 94103-6701
(415) 625-2661

Colorado

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

1244 Speer Boulevard
Room 415Denver, CO, 80204
(720) 264-3232

District of Columbia

375 E Street SWWashington, DC, 20024
2025137300

Ohio

36 East Seventh Street
Room 2550
Cincinnati, OH, 45202-3168
(513) 684-6840

Washington

300 5th Avenue
Room 1290Seattle, WA, 98104-3308
(206) 398-8099

Wisconsin

310 West Wisconsin Avenue
Room 1160WMilwaukee, WI, 53203-2213
(414) 297-1504

Veteran's Employment and Training Service

Contact any Veterans' Employment and Training Service Office concerning veterans' reemployment rights.

REGIONAL ADMINISTRATORS AND STATE DIRECTORS FOR VETERANS' EMPLOYMENT AND TRAINING

Region I

DVET Richard Ducey
Veterans' Employment and Training Service
Department of Labor
143 North Main Street, Room 208
Concord, NH, 03301
(603) 225-1424

DVET William Mason
Veterans' Employment and Training Service
Department of Labor
CT Department of Labor Building
200 Folly Brook Boulevard
Wethersfield, CT, 06109
(860) 263-6490

DVET Richard Gray
Veterans' Employment and Training Service
Department of Labor
P.O. Box 603
Post Office Building
87 State Street, Room 303
Montpelier, VT, 05602
(802) 828-4441

DVET John Dunn

Veterans' Employment and Training Service
Department of Labor
57 Spruce Street
Westerly, RI, 02891
(401) 528-5134

DVET Paul L. Desmond
Veterans' Employment and Training Service
Department of Labor
19 Staniford Street
C.F. Hurley Building, 2nd Floor, ES Operations Section
Boston, MA, 02114
(617) 626-6699

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
RAVET David Houle
Veterans' Employment and Training Service
Department of Labor
JFK Federal Building, Room E-315
Government Center
Boston, MA, 02203
(617) 565-2080

DVET Jon Guay
Veterans' Employment and Training Service
Department of Labor
5 Mollison Way
P.O. Box 3106
Lewiston, ME, 04243
(207) 753-9090

Region II

DVET James H. Hartman
Veterans' Employment and Training Service
Department of Labor
Harriman State Campus
Building 12, Room 518
Albany, NY, 12240-0099
(518) 457-7465

(New Jersey, New York, Puerto Rico and Virgin Islands)
Veterans' Employment and Training Service
Department of Labor
201 Varick Street, Room 766
New York, NY, 10014
(212) 337-2211

DVET Alan E. Grohs
Veterans' Employment and Training Service
Department of Labor
Labor Building, 11th Floor, CN058
Trenton, NJ, 08625
(609) 292-2930

DVET Angel Mojica
Veterans' Employment and Training Service
Department of Labor

Puerto Rico Department of Labor and Human Services
198 Calle Guayama, 20th Floor
Hato Rey, PR, 00917
(787) 754-5391

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia and West Virginia)
RAVET Joseph Hartz, Jr.
Veterans' Employment and Training Service
Department of Labor
The Curtis Center, Suite 770 West
170 South Independence Mall West
Philadelphia, PA, 19106
(215) 861-5390

DVET Lawrence Babitts
Veterans' Employment and Training Service
Department of Labor
Labor and Industry Building, Room 1108
Seventh and Forster Streets
Harrisburg, PA, 17121
(717) 787-5834

DVET Roberto Pineda
Veterans' Employment and Training Service
Department of Labor
703 E. Main street, Room 118
Richmond, VA, 23219
(804) 786-7269

DVET Gary Lobdell
Veterans' Employment and Training Service
Department of Labor
1100 North Eutaw Street, Room 210
Baltimore, MD, 21201
(410) 767-2110

DVET Charles Stores, Jr.
Veterans' Employment and Training Service
Department of Labor
112 California Avenue
Capitol Complex - Room 204
Charleston, WV, 25305-0112
(304) 558-4001

DVET Stanley Williams
Veterans' Employment and Training Service
Department of Labor
500 C Street, N.W., Room 108
Washington, DC, 20001
(202) 724-7005

DVET David White
Veterans' Employment and Training Service
Department of Labor
4425 N. Market Street, Room 420
Wilmington, DE, 19809-0828
(302) 761-8138

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Region IV

Ed Gresham
Veterans' Employment and Training Service
Department of Labor
Sussex Place, Suite 504
148 International Boulevard, N.E.
Atlanta, GA, 30303-1751
(404) 656-3127

DVET William C. Plowden, Jr.
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1755
Columbia, SC, 29202-1755
(803) 765-5195

DVET Richard E. Ritchie
Veterans' Employment and Training Service
Department of Labor
915 8th Avenue North
Nashville, TN, 37219-3795
(615) 736-7680

DVET Thomas Karrh
Veterans' Employment and Training Service
Department of Labor
649 Monroe Street, Room 543
Montgomery, AL, 36131-6300
(334) 223-7677

Derek W. Taylor
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1527
Tallahassee, FL, 32302-1527
(850) 942-8800

(Alabama, Florida, Georgia, Kentucky, Mississippi,
North Carolina, South Carolina, Tennessee)
RAVET William Bolls, Jr.
Veterans' Employment and Training Service
Department of Labor
Sam Nunn Atlanta Federal Center
61 Forsyth Street, S.W., Room 6-T85
Atlanta, GA, 30303
(404) 562-2305

DVET Charles R. Netherton
Veterans' Employment and Training Service
Department of Labor
C/O Department for Employment Services
275 East Main Street
Frankfort, KY, 40621-2339
(502) 564-7062

DVET Angelo Terrell
Veterans' Employment and Training Service
Department of Labor

1520 West Capitol Street
P.O. Box 1699
Jackson, MS, 39215-1699
(601) 965-4204

DVET Steven W. Guess
Veterans' Employment and Training Service
Department of Labor
P.O. Box 27625
Raleigh, NC, 27611-7625
(919) 733-7402, 733-7407

Region IX

DVET Rosendo A. Cuevas
Veterans' Employment and Training Service
Department of Labor
800 Capitol Mall, Room W-1142
P.O. Box 826880
Sacramento, CA, 94280-0001
(916) 654-8178

(Arizona, California, Hawaii, and Nevada)
RAVET Rex A. Newell
Veterans' Employment and Training Service
Department of Labor
71 Stevenson Street, Suite 705
San Francisco, CA, 94105
(415) 975-4700

DVET Michael Espinosa
Veterans' Employment and Training Service
Department of Labor
P.O. Box 6123-SC760E
1400 West Washington Street
Phoenix, AZ, 85005
(602) 379-4961

DVET Judy A. Carlisle
Veterans' Employment and Training Service
Department of Labor
1923 North Carson Street, Room 205
Carson City, NV, 89702
(702) 687-4632

DVET Gilbert N. Hough
Veterans' Employment and Training Service
Department of Labor
P.O. Box 3680
Honolulu, HI, 96811
(808) 522-8216

Region V

DVET Michael D. Graham
Veterans' Employment and Training Service
Department of Labor
390 Robert Street North, 1st Floor
St. Paul, MN, 55101
(651) 296-3665

DVET Kim Fulton
Veterans' Employment and Training Service
Department of Labor
7310 Woodward Avenue, Suite 407
Detroit, MI, 48202
(313) 876-5613

DVET D. Bruce Redman
Veterans' Employment and Training Service
Department of Labor Richard A. Mock
10 North Senate Avenue, Room SE103
Indianapolis, IN, 46204
(317) 232-6804

DVET Samuel L. Parks
Veterans' Employment and Training Service
Department of Labor
401 South State Street, 744 North
Chicago, IL, 60605
(312) 793-3433

DVET Carl Price
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1618
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Diane LeBlanc, Regional Liaison
National Archives and Records Administration
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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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Herbert Hoover Library
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(319) 643-5301

Elaine Didier, Director
Gerald R. Ford Library
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Rocky Mountain Region
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National Archives and Records Administration
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Theresa Cejka, Regional Liaison
National Archives and Records Administration
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Kimberly Gentile, Assistant Director
National Archives and Records Administration
National Personnel Records Center (Civilian
Personnel Records)
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SOCIAL SECURITY ADMINISTRATION

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TRANSPORTATION, DEPARTMENT OF FEDERAL AVIATION ADMINISTRATION

NATIONAL MINE HEALTH AND SAFETY ACADEMY

Alaska

AAL-600
20.106 AIRPORT IMPROVEMENT PROGRAM
Byron K. Huffman, Division Manager
222 W. 8th Ave, Rm A36, Anchorage, AK, 99513
(907) 271-5438

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

20.100 AVIATION EDUCATION
Tom Vanderwest AHT-200
(202) 267-3436

Alaska Region

AAL-600
Aviation Education
Byron K. Huffman, Division Manager
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(907) 271-5438

California

20.106 AIRPORT IMPROVEMENT PROGRAM
AWP
Federal Aviation Administration, Western-Pacific
Region Airports Division
15000 Aviation Boulevard, Room 3012 Lawndale,
CA, 90261
(310) 725-3600

Office of the Division Manager
20.100 AVIATION EDUCATION
Mark McClardy - AWP -600
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(Western-Pacific Region)
P.O. Box 92007
Worldway Postal Center
Los Angeles, CA, 90009-2007
(310) 725-3608

Georgia

20.106 AIRPORT IMPROVEMENT PROGRAM
ASO-600
Federal Aviation Administration, Southern Region,
Airports Division, Suite 540,

College Park, GA, 30337
(404) 305-6700

Atlanta District Office
20.100 AVIATION EDUCATION
Scott Seritt -- ASO
Federal Aviation Administration, Southern Region,
Atlanta ADO,
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College Park, GA, 30337-2747
(404) 305-7150

Office of the Division Manager
(Southern Region)
1701 Columbia Avenue
For letter mail: P.O. Box 20636
Atlanta, GA, 30320-0631
(404) 305-6701

Illinois

(Great Lake Region)
Federal Aviation Administration, Chicago Airports
District Office, CHI-ADO-600,
O'Hare Lake Office Center
2300 East Devon Avenue
Des Plaines, IL, 60018
(847) 294-7272

Chicago Airports District Office
20.100 AVIATION EDUCATION
Jim Keefer -- AGL
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AGL-600
Federal Aviation Administration, Airports Division,
O'Hare Lake Office Center,
2300 East Devon Avenue,
Des Plaines, IL, 60018
(847) 294-7272

Massachusetts

20.106 AIRPORT IMPROVEMENT PROGRAM
ANE-600
Federal Aviation Administration, New England
Region,
12 New England Executive Park
Burlington, MA, 01803
(617) 238-7600

20.100 AVIATION EDUCATION
(New England Region)
12 New England Executive Park
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Burlington, MA, 01803-5299
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Missouri

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601 East 12th Street
Kansas City, MO, 64106-2808
(816) 329-2600

Office of the Division Manager
20.100 AVIATION EDUCATION
Jim A. Johnson - ACE-600
(816) 329-2600

20.106 AIRPORT IMPROVEMENT PROGRAM
ACE-600
Federal Aviation Administration, Airports Division,
Rm 335, 901 Locust Kansas City, MO, 64106-2325
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New Jersey

New York Airports District Office
Technical Center
Atlantic City International Airport
Atlantic City, NJ, 08405
(516) 227-3800

New York

Federal Aviation Administration, New York ADO,
600 Old Country Road, Suite 446,
Garden City, NY, 11530
(516) 227-3800

20.106 AIRPORT IMPROVEMENT PROGRAM
AEA-600
Federal Aviation Administration, Airports Division
Eastern Region, 159-30 Rockaway Blvd. Jamaica ,
NY, 11434
(718) 553-3330

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Office of the Division Manager
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2601 Meacham Boulevard Fort Worth, TX, 76137-
4298
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Washington

20.106 AIRPORT IMPROVEMENT PROGRAM
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Federal Aviation Administration, Northwest
Mountain Region, Airports Division,
1601 Lind Avenue, S.W., Suite 315
Renton, WA, 98057-3356
(206) 227-2600

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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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Region I (Northeastern)

(Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Rhode Island, Vermont)
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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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Region IV (Central)

(Illinois, Indiana, Michigan, Minnesota, Wisconsin)
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Region V (Southwestern)

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
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Region VI (Midwestern)

(Iowa, Kansas, Missouri, Nebraska, Colorado)
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Region VII (Western)

(Arizona, California, Nevada, Utah)
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(916) 498-6540

Region VIII (Northwestern)

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Region II

(New Jersey, New York, Virgin Islands)
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(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
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Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee)
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Region IX

(Arizona, California, Hawaii, Nevada, Guam, American Samoa)
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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Louisiana

EASTERN GULF/LOWER MISSISSIPPI GATEWAY (New Orleans): (Alabama; Arkansas; Louisiana; Mississippi; Tennessee; Western Panhandle of Florida) WESTERN GULF GATEWAY (Houston): (Colorado; New Mexico; Oklahoma; Texas)
James Murphy
US Department of Transportation
Maritime Administration
Eastern Gulf/Lower Mississippi Gateway Office
500 Poydras Street, Room 1223

New Orleans, LA, 70130-3394
(504) 589-2000 ext. 229 Use the same number for FTS

Missouri

INLAND WATERWAYS GATEWAY (St Louis): (Iowa; Kansas; Kentucky; Missouri; Montana; Nebraska; North Dakota; South Dakota; Pennsylvania all areas west of Pittsburgh; Southern Illinois; Southern Minnesota; Wyoming)
ROBERT GOODWIN
US Department of Transportation
Maritime Administration
Upper Mississippi Office
1222 Spruce St. Suite 2.202F
St Louis, MO, 63103-2818
314-539-6783

New York

Shashi N. Kumar
United States Merchant Marine Academy
Kings Point, NY, 11024-1699
(516) 773-5000 Use the same number for FTS

(NORTH ATLANTIC GATEWAY (New York): Maine, New Hampshire, Vermont, Massachusetts, Rhode Island, and Connecticut, New York, New Jersey, and Pennsylvania)
Maritime Administration
One Bowling Green, Room 418
New York, NY, 10004-1415
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Southern California

(SOUTHERN CALIFORNIA GATEWAY (Long Beach): (Arizona, Southern California (south of Point Conception), and the west coast of Mexico)
ALAN HICKS
US Department of Transportation
Maritime Administration
Southern California Gateway Office
Glenn M. Anderson Federal Bldg
501 West Ocean Blvd, Room 5190
Long Beach, CA, 90802
562-628-0246

Virginia

MID ATLANTIC GATEWAY (Norfolk): (Maryland; North Carolina; Virginia; West Virginia)
FRANK MACH

US Department of Transportation
Maritime Administration
Mid Atlantic Gateway Office
7737 Hampton Blvd Bldg 19 Suite 300
Norfolk, VA, 23505-1204
(757) 322-5800 Use the same number for FTS

Washington

PACIFIC NORTHWEST/ALASKA GATEWAY (Seattle): Alaska; Oregon; Idaho; Washington)
RANDY ROGERS
US Department of Transportation
Maritime Administration
Pacific Northwest Gateway Office
Henry M. Jackson Federal Building.
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Region I

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Region II

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Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
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Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
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Region IX

(Arizona, California, Hawaii, Nevada, Mariana Islands, Guam, American Samoa)
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Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
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Region VI

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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

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(412) 365-4900 FTS

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

Charlene Szaso
South Lincoln Avenue
Lebanon, PA, 17042
(717) 272-6621
(700) 592-4621 FTS

William Mountcastle, Director
1310 24th Avenue, South
Nashville, TN, 37212-2637
(615) 327-4751
(615) 327-4751 FTS

Vacant
Sam Rayburn Memorial Veterans Center
Bonham, TX, 75418
(903) 583-2111
(700) 760-6200 FTS

Gary W. Devansky, Director
Coatesville, PA, 19320
(610) 384-7711
(700) 695-7711 FTS

Kenneth L. Mulholland, Director
1030 Jefferson Avenue
Memphis, TN, 38104-2193
(901) 523-8990
(700) 222-5110 FTS

Wallace M. Hopkins, Director
6010 Amarillo Boulevard, West
Amarillo, TX, 79106
(806) 355-9703
(700) 735-7711 FTS

VA Pittsburgh Health Care System
Thomas A. Cappello, Director
University Drive
Pittsburgh, PA, 15240
(412) 688-6000
(700) 688-6000 FTS

R. Vincent Crawford, Director
2501 West 22nd Street
Sioux Falls, SD, 57117
(605) 336-3230
(700) 789-6665 FTS

Cary Brown, Director
2400 South Gregg Street
Big Spring, TX, 79720
(915) 263-7361
(700) 728-7000 FTS

Steve Lucas, Director
135 East 38th Street
Erie, PA, 16504
(814) 868-8661
(700) 726-6200 FTS

VA Black Hills Health Care System
Peter P. Henry, Director
I-90 and Highway 34
Fort Meade, SD, 57741
(605) 745-2000
(700) 789-2000 FTS

Jose R. Coronado, Director
VA South Texas Veterans Health Care System
7400 Merton Minter Boulevard
San Antonio, TX, 78284
(210) 617-5300
(700) 779-5011 FTS

Puerto Rico

James Palmer, Director
Barrio Monacillos
Rio Piedras, PR, 00927-5800
(787) 758-7575
(787) 766-5564 FTS

Richard Citron, Acting Director
3400 Lebanon Road
Murfreesboro, TN, 37129-1236
(615) 893-1360
(700) 852-3000 FTS

Alan G. Harper, Director
4500 South Lancaster Road
Dallas, TX, 75216
(214) 376-5451
(700) 749-5011 FTS

Rhode Island

Vacant
380 Westminster Mall
Providence, RI, 02908-4799
(401) 273-7100
(700) 838-3483 FTS

VA Black Hills Health Care System
Peter P. Henry, Director
5th Street
Hot Springs, SD, 57757
(605) 745-2000
(700) 789-2000 FTS

David Whatley, Director
2002 Holcombe Boulevard
Houston, TX, 77030
(713) 479-1414
(700) 528-7000 FTS

South Carolina

R. John Vogel, Director
109 Bee Street
Charleston, SC, 29401-5799
(803) 577-5011
(700) 259-7000 FTS

Texas

Dean S. Billik, Director
VA Central Texas Veterans Health Care System
1901 South First Street
Temple, TX, 76504
(817) 778-4811
(700) 760-4811 FTS

Dean Billik
See VA Central Texas Veterans Health Care System
1016 Ward Street
Marlin, TX, 76661
(817) 883-3511
(700) 540-4998 FTS

Brian Heckert, Director
1801 Assembly Street
Columbia, SC, 29201-1639
(803) 776-4000
(700) 677-6011 FTS

Jose R. Coronado, Director
VA South Texas Veterans Health Care System
Memorial Boulevard
Kerrville, TX, 78028
(210) 896-2020
(700) 491-2000 FTS

Vacant
See VA Central Texas Veterans Health Care System
4800 Memorial Drive
Waco, TX, 76711
(817) 752-6581
(700) 734-6000 FTS

South Dakota

Carl J. Gerber, M.D.
Mountain Home, TN, 37684
(423) 926-1171
(700) 854-7341 FTS

Bryan Jaqua, Director
5919 Brook Hollow Drive
El Paso, TX, 79925
(915) 564-6100

Utah

James Floyd, Director
500 Foothill Boulevard
Salt Lake City, UT, 84148-0001
(801) 582-1565
(700) 539-1565 FTS

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

VA Central Iowa Health Care System

Donald Cooper
1515 West Pleasant Street
Knoxville, IA, 50138-3399
(515) 842-3101
(700) 861-6000 FTS

VA Central Iowa Healthcare System

Gary L. Wilkinson, Director
Highway 6 West
Iowa City, IA, 52246-2208
(319) 338-0581
(700) 863-6011 FTS

Donald Cooper
30th and Euclid Avenue
Des Moines, IA, 50310-5774
(515) 699-5999
(700) 862-5173 FTS

VA Eastern Kansas Health Care System

Edgar L. Tucker, Director
2200 Gage Boulevard
Topeka, KS, 66622
(913) 272-3111
(700) 277-4336 FTS

Edgar L. Tucker, Director
4201 S. 4th Street Traffic Way
Leavenworth, KS, 66048
(913) 682-2000
(700) 758-0000 FTS

VA Northern Indiana Health Care System

Michael W. Murphy, Ph.D (Director)
46952 East 38th Street
Marion, IN, 46953-4589
(317) 674-3321
(700) 364-3011 FTS

Vermont

Gary M. DeGasta, Director
White River Junction, VT, 05001-0001
(802) 295-9363
(700) 829-6000 FTS

Virginia

James W. Dudley, Director
1201 Broad Rock Road
Richmond, VA, 23249
(804) 230-0001
(804) 230-0001 FTS

Steven Lemmons, Director
1970 Roanoke Boulevard
Salem, VA, 24153
(540) 982-2463

(700) 937-2021 FTS

Betty Story, Ph.D., Director
Emancipation Drive
Hampton, VA, 23667
(804) 722-9961
(700) 959-3100 FTS

Washington

Timothy Williams, Director
VA Puget Sound Health Care System
American Lake, WA, 98493
(206) 762-1010
(700) 396-1010 FTS

Vacant
77 Wainwright Drive
Walla Walla, WA, 99362-3975
(509) 525-5200
(700) 434-2000 FTS

Timothy Williams, Director
VA Puget Sound Health Care System
1660 South Columbian Way
Seattle, WA, 98108
(206) 762-1010
(700) 396-1010 FTS

Joseph Manley, Director
North 4816 Assembly Street
Spokane, WA, 99205-6197
(509) 328-4521
(700) 327-4521 FTS

West Virginia

David Pennington, Director
1540 Spring Valley Drive
Huntington, WV, 25704
(304) 429-6741
(700) 924-2411 FTS

George M. Moore, Jr., Director
Route 9
Martinsburg, WV, 25401-9809
(304) 263-0811
(700) 940-0811 FTS

Gerald Husson, Director
200 Veterans Avenue
Beckley, WV, 25801
(304) 255-2121
(700) 924-4011 FTS

Michael Neusch, Director
Milford/Chestnut Streets
Clarksburg, WV, 26301
(304) 623-3461

(700) 923-3461 FTS

Wisconsin

Nathan Geraths, Director
2500 Overlook Terrace
Madison, WI, 53705
(608) 256-1901
(700) 364-1901 FTS

Glen Grippen, Director
5000 West National Avenue
Milwaukee, WI, 53295
(414) 384-2000
(700) 383-1011 FTS

Stanley Q. Johnson, Director
Tomah, WI, 54660
(608) 372-3971
(700) 769-6011 FTS

Wyoming

Richard Fry, Director
2360 West Pershing Boulevard
Cheyenne, WY, 82001-5392
(307) 778-7300
(700) 328-7550 FTS

VETERANS BENEFITS ADMINISTRATION

NATIONAL MINE HEALTH AND SAFETY ACADEMY

The Veterans Benefits Administration provides toll-free telephone service throughout the 50 States, Washington, DC and Puerto Rico. The toll-free telephone number is (800) 827-1000.

Alabama

VA Regional Office
345 Perry Hill Road
Montgomery, AL, 36109-3798

Alaska

VA Outpatient Clinic and Regional Office
2925 DeBarr Road
Anchorage, AK, 99508-2989

Arizona

VA Regional Office
3225 North Central Avenue
Phoenix, AZ, 85012-2405

Arkansas

VA Regional Office
Building 65, Ft. Roots
P.O. Box 1280
North Little Rock, AR, 72115-1280

California

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

VA Regional Office
8810 Rio San Diego Drive
San Diego, CA, 92108-1508

VA Regional Office
Oakland Federal Building
1301 Clay Street
Oakland, CA, 94612-5209

VA Regional Office
Federal Building
11000 Wilshire Boulevard
Los Angeles, CA, 90024-3602

Colorado

VA Regional Office
155 Van Gordon Street
Lakewood, CO, 80228-1709

Connecticut

VA Regional Office
450 Main Street
Hartford, CT, 06103-3077

Delaware

VA Medical and Regional Office Center
1601 Kirkwood Highway
Wilmington, DE, 19805-4988

District of Columbia

VA Regional Office
1120 Vermont Avenue, N.W.
Washington, DC, 20421-1111

Florida

VA Office
Federal Building
51 S.W., 1st Avenue, Room 120
Miami, FL, 33130

VA Office
312 Kenmore Road, Room IG250
Pensacola, FL, 32503-7492

VA Regional Office
9500 Bay Pines Boulevard
P.O. Box 1437
St. Petersburg, FL, 33708

VA Office
1833 Boulevard, Room 3109
Jacksonville, FL, 32206

VA Office
5201 Raymond Street, Room 1704
Orlando, FL, 32806

Georgia

VA Regional Office
1700 Clairmont Road
Decatur, GA, 30033-4032

Hawaii

VA Outpatient Clinic and Regional Office

PJJK Federal Building
300 Ala Moana Boulevard
P.O. Box 50188
Honolulu, HI, 96850

Idaho

VA Regional Office
805 West Franklin Street
Boise, ID, 83702-5560

Illinois

VA Regional Office
536 South Clark Street
P.O. Box 8136
Chicago, IL, 60605-1523

Indiana

VA Regional Office
575 North Pennsylvania Street
Indianapolis, IN, 46204-1526

Iowa

VA Regional Office
210 Walnut Street, Room 1063
Des Moines, IA, 50309-9825

Kansas

VA Medical and Regional Office Center
5500 East Kellogg
Wichita, KS, 67218-1698

Kentucky

VA Regional Office
545 South Third Street
Louisville, KY, 40202-3835

Louisiana

VA Regional Office
701 Loyola Avenue, Room 4210
New Orleans, LA, 70113-1912

Maine

VA Office
475 Stevens Avenue
Building 248, Room 103
Portland, ME, 04103

VA Medical and Regional Office Center
1 VA Center
Togus, ME, 04330-6795

Maryland

VA Regional Office
Federal Building
31 Hopkins Plaza, Room 233
Baltimore, MD, 21201-0001

Massachusetts

VA Regional Office
John F. Kennedy Federal Building
Government Center, Room 1265
Boston, MA, 02203-0393

Michigan

VA Regional Office
Patrick V. McNamara
Federal Building
477 Michigan Avenue, Room 1400
Detroit, MI, 48226-2591

Minnesota

VA Regional Office and Insurance Center
Federal Building, Fort Snelling
St. Paul, MN, 55111-4050

Mississippi

VA Regional Office
1600 E. Woodrow Wilson Avenue
Jackson, MS, 39216-5102

Missouri

VA Office
Federal Office Building
601 East 12th Street, Room 120
Kansas City, MO, 64106-0199

VA Regional Office
400 South 18th Street
St. Louis, MO, 63103-2676

Montana

VA Medical and Regional Office Center
Williams Street
Fort Harrison, MT, 59636-9999

Nebraska

VA Regional Office
5631 South 48th Street
Lincoln, NE, 68516-4198

Nevada

VA Regional Office
1201 Terminal Way
Reno, NV, 89520-0118

New Hampshire

VA Regional Office
Norris Cotton Federal Building
275 Chestnut Street
Manchester, NH, 03101-2489

New Jersey

VA Regional Office
20 Washington Place
Newark, NJ, 07102-3174

New Mexico

VA Regional Office
Dennis Chavez Federal Building
U.S. Courthouse
500 Gold Avenue, S.W.
Albuquerque, NM, 87102-3118

New York

VA Regional Office

APPENDIX IV: AGENCY REGIONAL AND LOCAL ASSISTANCE LOCATION ADDRESSES

245 West Houston Street
New York, NY, 10014-4805

VA Office
Leo W. O'Brien Federal Building
Clinton Avenue and N. Pearl Street
Albany, NY, 12207

VA Office
344 West Genesee Street
Syracuse, NY, 13202

VA Regional Office
Federal Building
111 West Huron Street
Buffalo, NY, 14202-2368

VA Office
Federal Office Building and Courthouse
100 State Street
Rochester, NY, 14614
(800) 827-0619

North Carolina

VA Regional Office
Federal Building
251 North Main Street
Winston-Salem, NC, 27155-1000

North Dakota

VA Medical and Regional Office Center
2101 Elm Street
Fargo, ND, 58102-2417

Ohio

VA Regional Office
Anthony J. Celebrezze Federal Building
1240 East Ninth Street
Cleveland, OH, 44199-2001

VA Office
Federal Building, Room 309
200 North High Street
Columbus, OH, 43215

VA Office
801-B W. 8th Street
Cincinnati, OH, 45203-2001

Oklahoma

VA Office
215 Dean A. McGee Avenue, Room 276
Oklahoma City, OK, 73102

VA Regional Office
Federal Building
125 South Main Street
Muskogee, OK, 74401-7025

Oregon

VA Regional Office
Federal Building
1220 S.W. Third Avenue, Room 1217
Portland, OR, 97204-2825

Pennsylvania

VA Office
1111 East End Boulevard
Wilkes-Barre, PA, 18711

VA Regional Office and Insurance Center
P.O. Box 8079
5000 Wissahickon Avenue
Philadelphia, PA, 19101-8079

VA Regional Office
1000 Liberty Avenue
Pittsburgh, PA, 15222-4004

Philippines

VA Regional Office & Outpatient Clinic
Manila Regional Office
FPO, AP, 96515-1100

Puerto Rico

VA Regional Office
U.S. Courthouse and Federal Building
Carlos E. Chardon Street
Hato Rey
GPO Box 364867
San Juan, PR, 00936-4867
(809) 766-5510

Rhode Island

VA Regional Office
380 Westminster Mall
Providence, RI, 02903-3246

South Carolina

VA Regional Office
1801 Assembly Street
Columbia, SC, 29201-2495

South Dakota

VA Medical and Regional Office Center
P.O. Box 5046
2501 West 22nd Street
Sioux Falls, SD, 57117-5046

Tennessee

Regional Office
U.S. Courthouse Federal Office Building
110 9th Avenue, South
Nashville, TN, 37203-3817

Texas

VA Regional Office
6900 Almeda Road
Houston, TX, 77030-4200

VA Office
4902 34th Street, Suite 10, Room 134
Lubbock, TX, 79410-0001

VA Office
U.S. Courthouse and Federal Office Building
1114 Commerce Street
Dallas, TX, 75242

VA Office
3601 Bluemel Road
San Antonio, TX, 78229-2041

VA Regional Office
One Veteran Plaza
701 Clay Avenue
Waco, TX, 76799-0001

Utah

VA Regional Office
Federal Building
P.O. Box 11500
125 South State Street
Salt Lake City, UT, 84147-0500

Vermont

VA Medical and Regional Office Center
215 N. Main Street
White River Junction, VT, 05009-0001

Virginia

VA Regional Office
210 Franklin Road, S.W.
Roanoke, VA, 24011-2204

Washington

VA Regional Office
Federal Building
915 2nd Avenue
Seattle, WA, 98174-1060

West Virginia

VA Regional Office
640 Fourth Avenue
Huntington, WV, 25701-1340

Wisconsin

VA Regional Office
Building 6
5000 West National Avenue
Milwaukee, WI, 53295-0006

Wyoming

VA Office
2360 East Pershing Boulevard
Cheyenne, WY, 82001-5356

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APPENDIX V: HISTORICAL PROFILE OF PUBLICATION LISTINGS

The following list shows all assistance listings printed in the Annual Publication of Assistance Listings beginning with the 1965 edition and the subsequent action taken related to that assistance listing. For the years 1965, 1967, and 1969 the listings did not have numbers. They were identified only by their titles. Therefore, the first part of each agency listing shows assistance listings by their title if they were in existence before 1970. The assistance listing CFDA numbering system was instituted in 1970. The first line shows the number and title of the assistance listing when it was added to the Catalog. The second and subsequent lines show the year the listing was added and any additional transactions, e.g., title changes, transfers, deletes, consolidations, agency reorganizations, number changes, etc., that may have followed. The alphabet B or U that follows a given year, indicates the transaction was made either in the basic (B) or the update (U) or the U1 and U2 are the first and second updates respectively, published that year.

AGRICULTURE, DEPARTMENT OF

Agricultural Stabilization Programs (1965B)
Agricultural Production Stabilization (1969B)
Archived (1970B)

Cooperative Agriculture and Forestry Research Program (1965B)
Cooperative Forestry Research (1969B)
Archived (1970B)

Cooperative Extension Service (1965B)
Split into 10.500, 10.501, 10.502, 10.503, 10.504, 10.505, 10.506, 10.507, 10.508, 10.509 (1970B)

Economic Research Service (1965B)
Archived (1970B)

Farmers Home Administration_Financial Assistance to Small Towns and Rural Groups (1965B)
Archived (1969B)

Farmers Home Administration_Housing for Senior Citizens (1965B)
Archived (1969B)

Farmers Home Administration_Loans for Forestry Purposes (1965B)
Farm Forestry Loans (1969B)
Archived (1970B)

Farmers Home Administration_Loans for Recreational Purposes (1965B)
Archived (1969B)

Farmers Home Administration_Rural Renewal Loans to Public Agencies (1965B)
Archived (1969B)

Forestry Research (1965B)
Archived (1969B)

Land Stabilization, Conservation, and Erosion Control in Appalachia (1965B)
Archived (1969B)

Timber Development Organizations in Appalachia (1965B)
Archived (1967B)

Administration and Research in Economic Development Programs in Appalachia (1965B)
Transferred to the Department of Commerce (1967B)

Cropland Adjustment Program (1967B)
Archived (1969B)

Payments to Counties, National Grasslands, and Land

Utilization Projects (1967B)
Archived (1969B)

Payments to States with National Forests (1967B)
Archived (1969B)

Appalachian Region Conservation (1969B)
Archived (1970B)

Agricultural Market Supervision and Regulation (1969B)
Archived (1970B)

Agricultural Experiment Stations (1969B)
Archived (1970B)

National Forests_Shared Revenues (1969B)
Archived (1970B)

Timber Management (1969B)
Archived (1970B)

10.001
Agricultural Research Service (1965B)
Agricultural Research_Basic and Applied Research (1969B)
Number changed to 10.875 (1978B)

Number changed from 10.875 (1981U)
Agricultural Research Basic and Applied Research (2018)

Agricultural Research Basic and Applied Research (2018)

Agricultural Research Basic and Applied Research (2019)

Agricultural Research Basic and Applied Research (2020)

Agricultural Research Basic and Applied Research (2021)

10.002
Plant and Animal Disease and Plant Control (1969B)
Number changed to 10.025 (1972B)

10.003
Morrill-Nelson Funds for Food and Agricultural Higher Education (1983U)
Transferred from 84.135 (1983U)
Transferred to 10.210 (1985U)

10.025
Plant and Animal Disease and Pest Control (1972B)
Number changed from 10.002 (1972B)
Plant and Animal Disease, Pest Control, and Animal Care (1990B)
Plant and Animal Disease, Pest Control, and Animal Care (2018)

Plant and Animal Disease, Pest Control, and Animal

Care (2019)
Plant and Animal Disease, Pest Control, and Animal Care (2020)
Plant and Animal Disease, Pest Control, and Animal Care (2021)

10.026
Assistance to States for Intrastate Meat and Poultry Inspection (1972U)
Number changed from 10.151 (1972U)
Number changed to 10.475 (1977U)

10.027
Meat and Poultry Inspection (1972U)
Number changed from 10.157 (1972U)
Number changed to 10.477 (1977U)

10.028
Animal Damage Control (1986B)
Transferred from 15.601 (1986B)
Wildlife Services (1997U)
Wildlife Services (2018)
Wildlife Services (2019)
Wildlife Services (2020)
Wildlife Services (2021)

10.029
Avian Influenza Indemnity Program (2003B)
Archived (2010)

10.030
Indemnity Program (2012)
Indemnity Program (2018)
Indemnity Program (2019)
Indemnity Program (2020)
Indemnity Program (2021)

10.050
Agricultural Conservation Program (1965B)
Agricultural Conservation (1970B)
Rural Environmental Assistance (1971B)
Archived (1973B)

10.051
Price-Support Programs for the Agricultural Stabilization and Conservation Service (1965B)
Agricultural Price-Support (1969B)
Commodity Loans and Purchases (1970B)
Commodity Loans and Loan Deficiency Payments (1999B)
Commodity Loans and Loan Deficiency Payments (2018)
Commodity Loans and Loan Deficiency Payments (2019)
Commodity Loans and Loan Deficiency Payments (2020)
Commodity Loans and Loan Deficiency Payments

APPENDIX V: HISTORICAL PROFILE OF PUBLICATION LISTINGS

(2021)

10.052

Cotton Production Stabilization (1970B)
Split from 10.055 (1970B)
Incorporated into 10.055 (1997B)

10.053

Dairy Farmer Indemnity Payments (1969B)
Dairy Indemnity Payments (1970B)
Dairy Indemnity Program (1984B)
Dairy Indemnity Program (2018)
Dairy Indemnity Program (2019)
Dairy Indemnity Program (2020)
To: Dairy Indemnity Payment Program From: Dairy Indemnity Program (2021)

10.054

Emergency Conservation Measures (1970B)
Emergency Conservation Program (1978U)
Emergency Conservation Program (2018)
Emergency Conservation Program (2019)
Emergency Conservation Program (2020)
Emergency Conservation Program (2021)

10.055

Feed Grain Direct Payments (1970B)
Split into 10.052, 10.055, 10.058 (1970B)
Feed Grain Production Stabilization (1971B)
Production Flexibility Payments for Contract Commodities (1997B)
Incorporated from 10.052, 10.058, 10.065 (1997B)
Direct and Counter-cyclical Payments Program (2005)
Direct and Counter-cyclical Payments Program (2005)
Direct and Counter-cyclical Payments Program (2005)
Direct and Counter-cyclical Payments Program (2018)
Archived (2019)
Reinstated (2020)
Direct and Counter-cyclical Payments Program (2020)
Archived (2021)

10.056

Storage Facilities and Equipment Loans (1970B)
Archived (1989B)
Farm Storage Facility Loans, Reinstated (2001B)
Farm Storage Facility Loans (2018)
Farm Storage Facility Loans (2019)
Farm Storage Facility Loans (2019)
Farm Storage Facility Loans (2020)
Farm Storage Facility Loans (2021)

10.057

Sugar Program (1969B)
Sugar Production Stabilization (1971B)
Archived (1975B)

10.058

Wheat Direct Payments (1970B)
Split from 10.055 (1970B)
Wheat Production Stabilization (1971B)
Incorporated into 10.055 (1997B)

10.059

Wool and Mohair Payments (1970B)
Shorn Wool and Unshorn Lambs (Pulled Wool) and Mohair Payments (1971B)
National Wool Act Payments (1973B)
Archived (1997B)

10.060

Beekeeper Indemnity Payments (1971U)
Archived (1982B)

10.061

Emergency Livestock Feed Program (1972B)
Archived (1977B)

10.062

Water Bank Program (1972B)
Archived (1973B)
Reinstated (1974B)
Deleted (2006)

10.063

Rural Environmental Conservation Program (1974B)
Agricultural Conservation Program (1975B)
Archived (1997B)

10.064

Forestry Incentives Program (1975B)

10.065

Rice Production Stabilization (1976U)
Incorporated into 10.055 (1997B)

10.066

Emergency Hay and Cattle Transportation Assistance and Livestock Feed (1977B)
Emergency Feed Program (1978B)
Archived (1983B)
Emergency Livestock Assistance (1989B)
Archived (1997B)
Livestock Assistance Program, Reinstated (2000U)
Livestock Assistance Program (2006)
Archived (2010)

10.067

Grain Reserve Program (1978B)
Farmer Owned Reserve Program (1994U)
Archived (1997B)

10.068

Rural Clean Water Program (1980B)
Archived (1995B)

10.069

Conservation Reserve Program (1986B)
Conservation Reserve Program (2018)
Conservation Reserve Program (2019)
Conservation Reserve Program (2020)
Conservation Reserve Program (2021)
Conservation Reserve Program (2021)

10.070

Colorado River Salinity Control (1987B)
Colorado River Basin Salinity Control Program (1991B)
Archived (2010)

10.071

Federal-State Cooperation in Warehouse Examination Agreement (1987U)
Archived (2000B)

10.072

Wetlands Reserve Program (1992B)
Wetlands Reserve Program (2018)
Wetlands Reserve Program (2019)
Wetlands Reserve Program (2020)
Wetlands Reserve Program (2021)

10.073

Crop Disaster Program (2000U)
Archived (2010)
Reinstated (2011)
Archived (2011)

10.074

Commodity Credit Corporation Audit of Financial Statements (2018)
Commodity Credit Corporation Audit of Financial Statements (2019)
Archived (2019)

10.075

Special Apple Program (2001B)

10.076

Emergency Loan for Seed Producers (2001B)

10.077

Livestock Compensation Program (2003B)
Archived (2010)

10.078

Bioenergy Program (2003B)
Archived (2009)

10.079

Bill Emerson Humanitarian Trust (2003B)
Archived (2010)

10.080

Milk Income Loss Contract Program (2004)
Archived (2017)

10.081

Lamb Meat Adjustment Assistance Program (2004)
Archived (2009)

10.082

Tree Assistance Program (2004)
Archived (2010)

10.083

Tobacco Loss Assistance Program (2004)

10.084

Dairy Market Loss Assistance Program (2008)
Archived (2010)

10.085

Tobacco Transition Payment Program (2005)
Archived (2016)

APPENDIX V: HISTORICAL PROFILE OF PUBLICATION LISTINGS

10.086

Aquaculture Grants Program (AGP) (2009)
Archived (2012)

10.087

Biomass Crop Assistance Program (2011)
Biomass Crop Assistance Program (2018)
Biomass Crop Assistance Program (2019)
Biomass Crop Assistance Program (2020)
Biomass Crop Assistance Program (2021)

10.088

Livestock Indemnity Program (2010)
Archived (2014)

10.089

Livestock Forage Disaster Program (2010)
Archived (2014)
Archived (2014)
Reinstated (2020)
Livestock Forage Disaster Program (2020)
Livestock Forage Disaster Program (2021)

10.090

Supplemental Revenue Assistance Program (2010)
Supplemental Revenue Assistance Program (2018)
Supplemental Revenue Assistance Program (2019)
Supplemental Revenue Assistance Program (2020)
Archived (2021)

10.091

Emergency Assistance for Livestock, Honeybees, and Farm-Raised Fish Program (2011)
Archived (2014)

10.092

Tree Assistance Program (2010)
Archived (2014)

10.093

Voluntary Public Access and Habitat Incentive Program (2010)
Voluntary Public Access and Habitat Incentive Program (2018)
Voluntary Public Access and Habitat Incentive Program (2019)
Voluntary Public Access and Habitat Incentive Program (2020)
Voluntary Public Access and Habitat Incentive Program (2021)

10.095

Durum Wheat Quality Program (2010)
Archived (2014)

10.098

Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (2013)
Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (2018)
Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (2019)

Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (2020)

Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (2021)

Reimbursement Transportation Cost Payment Program for Geographically Disadvantaged Farmers and Ranchers (2021)

10.099

Conservation Loans (2010)
Conservation Loans (2018)
Conservation Loans (2019)
Conservation Loans (2020)
Conservation Loans (2021)

10.100

Agricultural Futures Markets Supervision (1971B)
Transferred to 78.001 (1975U)

10.101

Hawaii Sugar Disaster Program (2013)
Archived (2014)

10.102

Emergency Forest Restoration Program (2012)
Emergency Forest Restoration Program (2018)
Emergency Forest Restoration Program (2019)
Emergency Forest Restoration Program (2020)
Emergency Forest Restoration Program (2021)

10.103

2009 Aquaculture Grant Program (2011)
Archived (2012)

10.104

Poultry Loss Contract Grant Assistance Program (2011)
Archived (2012)

10.105

Disaster Relief Appropriations Act, Emergency Conservation Program (2013)
Disaster Relief Appropriations Act, Emergency Conservation Program (2018)
Archived (2019)

10.106

Disaster Relief Appropriations Act, Emergency Forest Restoration Program (2013)
Disaster Relief Appropriations Act, Emergency Forest Restoration Program (2018)
Disaster Relief Appropriations Act, Emergency Forest Restoration Program (2019)
Archived (2020)

10.108

Livestock Indemnity Program-2014 Farm Bill (2014)
Livestock Indemnity Program-2014 Farm Bill (2018)
Livestock Indemnity Program-2014 Farm Bill (2019)
Livestock Indemnity Program-2014 Farm Bill (2020)
Livestock Indemnity Program-2014 Farm Bill (2021)

10.109

Livestock Forage Program-2014 Farm Bill (2014)

Livestock Forage Program-2014 Farm Bill (2018)

To: Livestock Forage ProgramFrom: Livestock Forage Program-2014 Farm Bill (2019)

Livestock Forage Program (2019)

To: Livestock Forage Disaster ProgramFrom:

Livestock Forage Program (2020)

Livestock Forage Disaster Program (2020)

Livestock Forage Disaster Program (2021)

10.110

Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill (2015)
Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill (2018)
Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill (2019)
To: Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish ProgramFrom: Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program-2014 Farm Bill (2019)
Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program (2020)
Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish Program (2021)

10.111

Tree Assistance Program-2014 Farm Bill (2014)
Tree Assistance Program-2014 Farm Bill (2018)
Tree Assistance Program-2014 Farm Bill (2019)
To: Tree Assistance ProgramFrom: Tree Assistance Program-2014 Farm Bill (2019)
Tree Assistance Program (2020)
Tree Assistance Program (2021)

10.112

Price Loss Coverage (2015)
Price Loss Coverage (2018)
Price Loss Coverage (2019)
Price Loss Coverage (2020)
Price Loss Coverage (2021)

10.113

Agriculture Risk Coverage Program (2015)
Agriculture Risk Coverage Program (2018)
Agriculture Risk Coverage Program (2019)
Agriculture Risk Coverage Program (2020)
Agriculture Risk Coverage Program (2021)

10.114

Cotton Transition Assistance Program (2018)
Cotton Transition Assistance Program (2019)
Cotton Transition Assistance Program (2020)
Cotton Transition Assistance Program (2021)

10.115

Dairy Product Donation (2015)
Archived (2018)

10.116

The Margin Protection Program (2015)
The Margin Protection Program (2018)
The Margin Protection Program (2019)
The Margin Protection Program (2020)
The Margin Protection Program (2021)

10.117

Biofuel Infrastructure Partnership (2015)

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Biofuel Infrastructure Partnership (2018)	Heirs' Property Relending Program (2021)	Meat and Poultry Inspection (1969B)
Biofuel Infrastructure Partnership (2019)		Assistance to States for Intrastate Meat and Poultry Inspection (1970B)
Biofuel Infrastructure Partnership (2020)		Number changed to 10.026 (1972U)
Archived (2021)		
Reinstated (2021)		
Biofuel Infrastructure Partnership (2021)		
10.118	10.129	10.152
Cotton Ginning Cost Share Program (2016)	Wildfires and Hurricanes Indemnity Program Plus (2019)	Export Payments on Agricultural Products (1970B)
Cotton Ginning Cost Share Program (2018)	Wildfires and Hurricanes Indemnity Program Plus (2020)	Split from 10.157 (1970B)
Cotton Ginning Cost Share Program (2019)	Wildfires and Hurricanes Indemnity Program Plus (2021)	Archived (1973B)
Archived (2020)		
10.119	10.130	10.153
Dairy Assistance Program for Puerto Rico (2018)	Coronavirus Food Assistance Program (CFAP) (2020)	Market News Service for Agricultural Products (1969B)
Dairy Assistance Program for Puerto Rico (2018)	To: Coronavirus Food Assistance Program 1From: Coronavirus Food Assistance Program (CFAP) (2021)	Market News (1970B)
Dairy Assistance Program for Puerto Rico (2019)	Coronavirus Food Assistance Program 1 (2021)	Market News (2018)
Archived (2020)		Market News (2019)
		Market News (2020)
		Market News (2021)
10.120	10.131	10.154
2017 Wildfires and Hurricanes Indemnity Program (2018)	Seafood Trade Relief Program (STRP) (2020)	Market Supervision (1970B)
2017 Wildfires and Hurricanes Indemnity Program (2019)	Seafood Trade Relief Program (STRP) (2021)	Market Supervision and Assistance (1982B)
2017 Wildfires and Hurricanes Indemnity Program (2019)		Market Development and Assistance (1983B)
2017 Wildfires and Hurricanes Indemnity Program (2020)		Incorporated into 10.163 (1984B)
2017 Wildfires and Hurricanes Indemnity Program (2021)		
10.122	10.132	10.155
Conservation Reserve Program Forest Inventory Analysis Pilot Program (2018)	Coronavirus Food Assistance Program 2 (2020)	Agricultural Marketing Agreements and Orders (1969B)
Conservation Reserve Program Forest Inventory Analysis Pilot Program (2019)	Coronavirus Food Assistance Program 2 (2021)	Marketing Agreements and Orders (1970B)
Conservation Reserve Program Forest Inventory Analysis Pilot Program (2020)	Coronavirus Food Assistance Program 2 (2021)	Marketing Agreements and Orders (2018)
Archived (2021)	Coronavirus Food Assistance Program 2 (2021)	Marketing Agreements and Orders (2019)
	Coronavirus Food Assistance Program 2 (2021)	Marketing Agreements and Orders (2020)
		Marketing Agreements and Orders (2021)
10.123	10.133	10.156
Market Facilitation Program (2018)	Quality Loss Adjustment Program (2021)	Agricultural Marketing Studies and Services (1969B)
Market Facilitation Program (2019)	Quality Loss Adjustment Program (2021)	Marketing Services Matching_Fund Grants (1970B)
Market Facilitation Program (2020)		Federal_State Marketing Improvement Program (1973B)
Market Facilitation Program (2021)		Federal-State Marketing Improvement Program (2018)
		Federal-State Marketing Improvement Program (2019)
		Federal-State Marketing Improvement Program (2019)
		Federal-State Marketing Improvement Program (2020)
		Federal-State Marketing Improvement Program (2021)
		Federal-State Marketing Improvement Program (2021)
10.124	10.136	10.157
Bio-Based Fuel Program (2018)	American Rescue Plan Act of 2021 Loan Payment (2021)	Agricultural Futures Market Supervision (1969B)
To: Farm-to-Fleet Feedstock Program Biofuel Production IncentiveFrom: Bio-Based Fuel Program (2019)		Meat and Poultry Inspection (1970B)
Farm-to-Fleet Feedstock Program Biofuel Production Incentive (2019)		Number changed to 10.027 (1972U)
Archived (2020)		
10.125	10.137	10.158
Hazardous Waste Management (2018)	Pandemic Assistance for Timber Harvesters and Haulers (PATHH) Program (2021)	Plentiful Foods Program (1969B)
Hazardous Waste Management (2019)		Plentiful Foods (1970B)
Archived (2019)		Archived (1973U)
10.127	10.138	10.159
Dairy Margin Coverage (2019)	Pandemic Livestock Indemnity Program (2021)	Livestock and Poultry Market Supervision (1978B)
Dairy Margin Coverage (2020)		Number changed from 10.800 (1978B)
Dairy Margin Coverage (2021)		Number changed to 10.800 (1981U)
10.128	10.140	
Heirs' Property Relending Program (2021)	Minority Research and Teaching Grants (1986B)	
	Minority Research and Teaching Program Grants (1990B)	
	Minority Research and Teaching Programs Grants (1990U)	
	Special Emphasis Outreach Programs Grants (1991B)	
	Archived (1997B)	
	10.141	
	Dairy Product Price Support Program (2012)	
	Archived (2014)	
	10.142	
	Pandemic Assistance Block Grant (2021)	
	10.147	
	Outreach Education and Technical Assistance (2021)	
	10.150	
	Agricultural Product Grading (1969B)	
	Consolidated into 10.162 (1982B)	
	10.151	

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10.160

Voluntary Commodity Inspection and Grading Programs (1981U)
Number changed from 10.478 (1981U)
Archived (1982B)

10.161

Egg Products Inspection (1981U)
Number changed from 10.476 (1981U)
Archived (1982B)

10.162

Inspection Grading and Standardization (1982B)
Inspection Grading and Standardization (2018)
Inspection Grading and Standardization (2019)
Inspection Grading and Standardization (2020)
Inspection Grading and Standardization (2021)

10.163

Market Protection and Promotion (1982B)
Market Protection and Promotion (2018)
Market Protection and Promotion (2019)
Market Protection and Promotion (2020)
Market Protection and Promotion (2020)
Market Protection and Promotion (2021)

10.164

Wholesale Market Development (1982B)
Wholesale Farmers and Alternative Market Development (2005)
Wholesale Farmers and Alternative Market Development (2005)
Wholesale Farmers and Alternative Market Development (2005)
Wholesale Farmers and Alternative Market Development (2018)
Wholesale Farmers and Alternative Market Development (2019)
Wholesale Farmers and Alternative Market Development (2020)
Wholesale Farmers and Alternative Market Development (2021)

10.165

Perishable Agricultural Commodities Act (1982B)
Perishable Agricultural Commodities Act (2018)
Perishable Agricultural Commodities Act (2019)
Perishable Agricultural Commodities Act (2020)
Perishable Agricultural Commodities Act (2021)

10.166

Commodity Purchase Services (1985B)
Archived (1987B)

10.167

Transportation Services (1991U)
Transportation Services (2018)
Transportation Services (2019)
Transportation Services (2020)
Transportation Services (2021)

10.168

Farmers' Market Promotion Program (2006)
Farmers' Market and Local Food Promotion Program (2014)
Farmers Market Promotion Program (2017)
Farmers Market Promotion Program (2018)

Farmers Market Promotion Program (2019)
Farmers Market Promotion Program (2020)
Farmers Market Promotion Program (2021)

10.169

Specialty Crop Block Grant Program (2006)
Archived (2011)

10.170

Specialty Crop Block Grant Program - Farm Bill (2008)
Specialty Crop Block Grant Program - Farm Bill (2018)
Specialty Crop Block Grant Program - Farm Bill (2019)
Specialty Crop Block Grant Program - Farm Bill (2019)
Specialty Crop Block Grant Program - Farm Bill (2019)
Specialty Crop Block Grant Program - Farm Bill (2020)
Specialty Crop Block Grant Program - Farm Bill (2021)
Specialty Crop Block Grant Program - Farm Bill (2021)
Specialty Crop Block Grant Program - Farm Bill (2021)

10.171

Organic Certification Cost Share Programs (2011)
Organic Certification Cost Share Programs (2018)
Organic Certification Cost Share Programs (2019)
Organic Certification Cost Share Programs (2019)
Organic Certification Cost Share Programs (2020)
Organic Certification Cost Share Programs (2021)

10.172

Local Food Promotion Program (2014)
Local Food Promotion Program (2018)
Local Food Promotion Program (2019)
Local Food Promotion Program (2020)
Local Food Promotion Program (2021)

10.173

Sheep Production and Marketing Grant Program (2014)
Archived (2018)
Reinstated (2019)
Sheep Production and Marketing Grant Program (2019)
Sheep Production and Marketing Grant Program (2019)
Sheep Production and Marketing Grant Program (2020)
Archived (2021)
Reinstated (2021)
Sheep Production and Marketing Grant Program (2021)

10.174

Acer Access Development Program (2017)
Acer Access Development Program (2018)
Acer Access Development Program (2019)
Acer Access Development Program (2020)
Acer Access Development Program (2021)
Acer Access Development Program (2021)

10.175

Farmers Market and Local Food Promotion Program (2019)
Farmers Market and Local Food Promotion Program

(2020)

Farmers Market and Local Food Promotion Program (2020)
Farmers Market and Local Food Promotion Program (2020)
Farmers Market and Local Food Promotion Program (2021)

10.176

Dairy Business Innovation Initiatives (2019)
Dairy Business Innovation Initiatives (2020)
Dairy Business Innovation Initiatives (2021)
Dairy Business Innovation Initiatives (2021)

10.177

Regional Food System Partnerships (2019)
Regional Food System Partnerships (2020)
Regional Food System Partnerships (2021)
Regional Food System Partnerships (2021)
Regional Food System Partnerships (2021)

10.178

Trade Mitigation Program Eligible Recipient Agency Operational Funds (2019)
Trade Mitigation Program Eligible Recipient Agency Operational Funds (2020)
Trade Mitigation Program Eligible Recipient Agency Operational Funds (2021)

10.179

Micro-Grants for Food Security Program (2020)
Micro-Grants for Food Security Program (2021)
Micro-Grants for Food Security Program (2021)

10.180

Meat and Poultry Interstate Shipment and Inspection Readiness Program (ISIRP) (2021)
Meat and Poultry Interstate Shipment and Inspection Readiness Program (ISIRP) (2021)

10.181

Agricultural Worker Pandemic Relief and Protection Program (2021)

10.200

Scientific Research in Support of Agriculture (1969B)
Contracts and Grants for Scientific Research (1970B)
Grants for Scientific Research (1973B)
Split into 10.200, 10.880 (1978B)
Number changed to 10.876 (1978B)
Number changed from 10.876 (1981U)
Grants for Agricultural Research, Special Research Grants (1981U)
Grants for Agricultural Research, Special Research Grants (2018)
Grants for Agricultural Research, Special Research Grants (2019)
Grants for Agricultural Research, Special Research Grants (2020)
Grants for Agricultural Research, Special Research Grants (2021)

10.201

Agricultural Research Facilities (1969B)
Research Facilities (1970B)
Archived (1971B)

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10.202

Grants for Cooperative Forestry Research (1970U)
Cooperative Forestry Research (1971B)
Number changed to 10.877 (1978B)
Number changed from 10.877 (1981U)
Cooperative Forestry Research (2018)
Cooperative Forestry Research (2019)
Cooperative Forestry Research (2020)
Cooperative Forestry Research (2021)

10.203

Payments to Agricultural Experiment Stations Under Hatch Act (1970U)
Number changed to 10.878 (1978B)
Number changed from 10.878 (1981U)
Payments to Agricultural Experiment Stations Under the Hatch Act (1997U)
Payments to Agricultural Experiment Stations Under the Hatch Act (2018)
Payments to Agricultural Experiment Stations Under the Hatch Act (2019)
Payments to Agricultural Experiment Stations Under the Hatch Act (2020)
Payments to Agricultural Experiment Stations Under the Hatch Act (2021)

10.204

Rural Development Research (1974B)
Number changed to 10.879 (1978B)
Number changed from 10.879 (1981U)
Archived (1982B)

10.205

Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1981U)
Number changed from 10.880 (1981U)
Payments to 1890 Land-Grant Colleges and Tuskegee University (1986B)
Payments to 1890 Land-Grant Colleges and Tuskegee University (2018)
Payments to 1890 Land-Grant Colleges and Tuskegee University (2019)
Payments to 1890 Land-Grant Colleges and Tuskegee University (2020)
Payments to 1890 Land-Grant Colleges and Tuskegee University (2021)

10.206

Grants for Agricultural Research_Competitive Research_Grants (1981U)
Number changed from 10.884 (1981U)
Archived (2011)
Reinstated (2012)
Grants for Agricultural Research_Competitive Research Grants (2018)
Grants for Agricultural Research_Competitive Research Grants (2019)
Grants for Agricultural Research_Competitive Research Grants (2020)
Grants for Agricultural Research_Competitive Research Grants (2021)

10.207

Animal Health and Disease Research (1981U)
Number changed from 10.885 (1981U)
Animal Health and Disease Research (2018)
Animal Health and Disease Research (2019)

Animal Health and Disease Research (2020)
Animal Health and Disease Research (2021)

10.208

Alcohol Fuels Research (1982B)
Archived (1985B)

10.209

1890 Research Facilities (1983B)
Archived (1989B)

10.210

Food and Agricultural Sciences National Needs Graduate Fellowship Grants Transferred from 10.003 (1985U)
Higher Education – Graduate Fellowships Grant Program (2013)
Higher Education – Graduate Fellowships Grant Program (2018)
Higher Education – Graduate Fellowships Grant Program (2019)
Higher Education – Graduate Fellowships Grant Program (2020)
Higher Education – Graduate Fellowships Grant Program (2021)

10.211

Higher Education Strengthening Grants (1985U)
Archived (1993B)

10.212

Small Business Innovation Research (1986B)
Small Business Innovation Research (2018)
Small Business Innovation Research (2019)
Small Business Innovation Research (2020)
Small Business Innovation Research (2021)

10.213

Competitive Research Grants for Forest and Rangeland Renewable Resources Transferred from 15.601 (1986B)
Archived (1990B)

10.214

Morrill-Nelson Funds for Food and Agricultural Higher Education Split from 10.210 (1986B)
Archived (1996B)

10.215

Low Input Farming Systems_Research and Education (1988B)
Sustainable Agriculture Research and Education (1991B)
Sustainable Agriculture Research and Education (2018)
Sustainable Agriculture Research and Education (2019)
Sustainable Agriculture Research and Education (2020)
Sustainable Agriculture Research and Education (2021)

10.216

1890 Institution Capacity Building Grants (1990B)
1890 Institution Capacity Building Grants (2018)
1890 Institution Capacity Building Grants (2019)
1890 Institution Capacity Building Grants (2020)

1890 Institution Capacity Building Grants (2021)

10.217

Higher Education Challenge Grants (1990B)
Higher Education - Institution Challenge Grants Program (2013)
Higher Education - Institution Challenge Grants Program (2018)
Higher Education - Institution Challenge Grants Program (2019)
Higher Education - Institution Challenge Grants Program (2020)
Higher Education - Institution Challenge Grants Program (2021)

10.218

Buildings and Facilities Program (1992B)

10.219

Biotechnology Risk Assessment Research (1993B)
Biotechnology Risk Assessment Research (2018)
Biotechnology Risk Assessment Research (2019)
Biotechnology Risk Assessment Research (2020)
Biotechnology Risk Assessment Research (2021)

10.220

Food and Agricultural Sciences-All Americans Undergraduate Scholars Program (1994B)
Higher Education Multicultural Scholars Program (1995B)
Higher Education - Multicultural Scholars Grant Program (2013)
Higher Education - Multicultural Scholars Grant Program (2018)
Higher Education - Multicultural Scholars Grant Program (2019)
Higher Education - Multicultural Scholars Grant Program (2020)
Higher Education - Multicultural Scholars Grant Program (2021)

10.221

Tribal Colleges Education Equity Grants (1997B)
Tribal Colleges Education Equity Grants (2018)
Tribal Colleges Education Equity Grants (2019)
Tribal Colleges Education Equity Grants (2020)
Tribal Colleges Education Equity Grants (2021)

10.222

Tribal Colleges Endowment Program (1997B)
Tribal Colleges Endowment Program (2018)
Tribal Colleges Endowment Program (2019)
Tribal Colleges Endowment Program (2020)
Tribal Colleges Endowment Program (2021)

10.223

Hispanic Serving Institutions Education Grants (1997B)
Hispanic Serving Institutions Education Grants (2018)
Hispanic Serving Institutions Education Grants (2019)
Hispanic Serving Institutions Education Grants (2020)
Hispanic Serving Institutions Education Grants (2021)

10.224

Fund for Rural America_Research, Education, and Extension Activities (1997U)
Archived (2009)

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10.225

Community Food Projects (1997B)
Community Food Projects (2018)
Community Food Projects (2019)
Community Food Projects (2020)
Community Food Projects (2021)

10.226

Secondary Agriculture Education Grants (1999B)
Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (2003B)
Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (2018)
Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (2019)
Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (2020)
Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (2021)

10.227

1994 Institutions Research Program (2000U)
1994 Institutions Research Program (2018)
1994 Institutions Research Program (2019)
1994 Institutions Research Program (2020)
1994 Institutions Research Program (2021)

10.228

Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (2001B)
Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (2018)
Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (2019)
Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (2020)
Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (2021)

10.229

Extension Collaborative on Immunization Teaching & Engagement (2021)

10.230

Farm of the Future (2021)

10.231

Agriculture Business Innovation Center at HBCU (2021)

10.232

Blue Ribbon (2021)

10.233

Open Data Framework (2021)

10.240

Alternative Agricultural Research and Commercialization Program (1993B)
Archived (2000B)

10.250

Agricultural Economic Research (1969B)
Agricultural and Rural Economic Research (1970B)
Agricultural and Rural Economic Research,

Cooperative Agreements and Collaborations (2012) (2021)

Agricultural and Rural Economic Research,
Cooperative Agreements and Collaborations (2018)
Agricultural and Rural Economic Research,
Cooperative Agreements and Collaborations (2019)
Agricultural and Rural Economic Research,
Cooperative Agreements and Collaborations (2020)

10.251

Technical Assistance to Cooperatives (1978B)
Number changed from 10.350 (1978B)
Number changed to 10.350 (1981U)

10.252

Agricultural Statistical Reports (1978B)
Number changed from 10.950 (1978B)
Agriculture Statistical Reports (1980B)
Number changed to 10.950 (1981U)

10.253

Food Assistance and Nutrition Research Programs (FANRP) (2008)
Archived (2014)
Reinstated (2014)
Consumer Data and Nutrition Research (2014)
Consumer Data and Nutrition Research (2018)
Consumer Data and Nutrition Research (2019)
Consumer Data and Nutrition Research (2020)

10.254

Program of Research on the Economic of Invasive Species Management (PREISM) (2008)
Archived (2014)

10.255

Research Innovation and Development Grants in Economic (RIDGE) (2008)
Research Innovation and Development Grants in Economic (RIDGE) (2018)
Research Innovation and Development Grants in Economic (RIDGE) (2019)
Research Innovation and Development Grants in Economic (RIDGE) (2020)

10.256

Consumer Data Initiative (CDI) (2007)
Archived (2010)
Reinstated (2010)
Consumer Data and Information Program (2010)
Archived (2014)

10.290

AGRICULTURAL MARKET AND ECONOMIC RESEARCH (2008)
Agricultural Market and Economic Research (2008)
Agricultural Market and Economic Research (2018)
Agricultural Market and Economic Research (2020)
Agricultural Market and Economic Research (2021)

10.291

Agricultural and Food Policy Research Centers (2014)
Agricultural and Food Policy Research Centers (2018)
Agricultural and Food Policy Research Centers (2020)
Agricultural and Food Policy Research Centers

10.300

CCC Export Credit Sales (1970B)
Archived (1973B)

10.301

Export Payments (1970B)
Archived (1973U)

10.302

Initiative for Future Agriculture and Food Systems (2000U)

10.303

Integrated Programs (2000U)
Integrated Programs (2018)
Integrated Programs (2019)
Integrated Programs (2020)
Integrated Programs (2021)

10.304

Homeland Security_Agricultural (2004)
Homeland Security Agricultural (2018)
Homeland Security Agricultural (2019)
Homeland Security Agricultural (2020)
Homeland Security Agricultural (2021)

10.305

International Science and Education Grants (2005)
Archived (2017)

10.306

BIODIESEL (2005)
Biodiesel (2018)
Biodiesel (2019)
Biodiesel (2020)
Biodiesel (2021)

10.307

Organic Agriculture Research and Extension Initiative (2005)
Organic Agriculture Research and Extension Initiative (2018)
Organic Agriculture Research and Extension Initiative (2019)
Organic Agriculture Research and Extension Initiative (2020)
Organic Agriculture Research and Extension Initiative (2021)

10.308

Resident Instruction Grants for Insular Area Activities (2005)
Resident Instruction Grants for Insular Area Activities (2018)
Resident Instruction Grants for Insular Area Activities (2019)
Resident Instruction Grants for Insular Area Activities (2020)
Resident Instruction Grants for Insular Area Activities (2021)

10.309

Specialty Crop Research Initiative (2008)
Specialty Crop Research Initiative (2018)

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Specialty Crop Research Initiative (2019)
Specialty Crop Research Initiative (2020)
Specialty Crop Research Initiative (2021)

10.310

AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI) (2009)
Agriculture and Food Research Initiative (AFRI) (2018)
Agriculture and Food Research Initiative (AFRI) (2019)
Agriculture and Food Research Initiative (AFRI) (2020)
Agriculture and Food Research Initiative (AFRI) (2021)

10.311

BEGINNING FARMER AND RANCHER DEVELOPMENT PROGRAM (2009)
Beginning Farmer and Rancher Development Program (2018)
Beginning Farmer and Rancher Development Program (2019)
Beginning Farmer and Rancher Development Program (2020)
Beginning Farmer and Rancher Development Program (2021)

10.312

BIOMASS RESEARCH AND DEVELOPMENT INITIATIVE (2009)
Biomass Research and Development Initiative Competitive Grants Program (BRDI) (2018)
Biomass Research and Development Initiative Competitive Grants Program (BRDI) (2019)
Biomass Research and Development Initiative Competitive Grants Program (BRDI) (2020)
Biomass Research and Development Initiative Competitive Grants Program (BRDI) (2021)

10.313

Veterinary Medicine Loan Repayment Program (2010)
Veterinary Medicine Loan Repayment Program (2018)
Veterinary Medicine Loan Repayment Program (2019)
Veterinary Medicine Loan Repayment Program (2020)
Veterinary Medicine Loan Repayment Program (2021)

10.314

NEW ERA RURAL TECHNOLOGY COMPETITIVE GRANTS PROGRAM (2009)
Archived (2017)

10.315

Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (2009)
Archived (2016)

10.316

Healthy Urban Food Enterprise Development Center (HUFED) (2009)
Archived (2015)

10.317

Food Aid Nutrition Enhancement Program (2010)
Archived (2016)

10.318

Women and Minorities in Science, Technology, Engineering, and (2010)
Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (2018)
Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (2019)
Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (2020)
Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (2021)

10.319

Farm Business Management and Benchmarking Competitive Grants Program (2010)
Farm Business Management and Benchmarking Competitive Grants Program (2018)
Farm Business Management and Benchmarking Competitive Grants Program (2019)
Farm Business Management and Benchmarking Competitive Grants Program (2020)
Farm Business Management and Benchmarking Competitive Grants Program (2021)

10.320

Sun Grant Program (2010)
Sun Grant Program (2018)
Sun Grant Program (2019)
Sun Grant Program (2020)
Sun Grant Program (2021)

10.322

Distance Education Grants for Institutions of Higher Education in Insular Areas (2010)
Distance Education Grants for Institutions of Higher Education in Insular Areas (2018)
Distance Education Grants for Institutions of Higher Education in Insular Areas (2019)
Distance Education Grants for Institutions of Higher Education in Insular Areas (2020)
Distance Education Grants for Institutions of Higher Education in Insular Areas (2021)

10.324

Afghanistan Agricultural Extension Project (AAEP) (2011)
Archived (2016)

10.325

People's Garden Grant Program (2011)
Archived (2016)

10.326

Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (2012)
Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (2018)
Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (2019)
Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (2020)
Capacity Building for Non-Land Grant Colleges of Agriculture (NLGCA) (2021)

10.327

Common Bean Productivity Research for Global Food Security Competitive Program (2012)
Archived (2017)

10.328

National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (2015)
National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (2018)
National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (2019)
National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (2020)
National Food Safety Training, Education, Extension, Outreach, and Technical Assistance Competitive Grants Program (2021)

10.329

Crop Protection and Pest Management Competitive Grants Program (2014)
Crop Protection and Pest Management Competitive Grants Program (2018)
Crop Protection and Pest Management Competitive Grants Program (2019)
Crop Protection and Pest Management Competitive Grants Program (2020)
Crop Protection and Pest Management Competitive Grants Program (2021)

10.330

Alfalfa and Forage Research Program (2014)
Alfalfa and Forage Research Program (2018)
Alfalfa and Forage Research Program (2019)
Alfalfa and Forage Research Program (2020)
Alfalfa and Forage Research Program (2021)

10.331

Food Insecurity Nutrition Incentive Grants Program (2014)
Food Insecurity Nutrition Incentive Grants Program (2018)
Food Insecurity Nutrition Incentive Grants Program (2019)
Food Insecurity Nutrition Incentive Grants Program (2020)
Food Insecurity Nutrition Incentive Grants Program (2021)

10.332

Agricultural Genome to Phenome Initiative (2020)
Agricultural Genome to Phenome Initiative (2020)
Agricultural Genome to Phenome Initiative (2021)

10.333

Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative (2020)
Urban, Indoor, and Other Emerging Agricultural Production Research, Education, and Extension Initiative (2020)
Urban, Indoor, and Other Emerging Agricultural

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Production Research, Education, and Extension Initiative (2021)	Grants (1970B) Archived (1973B)	Archived (1983B)
10.334 Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (2017) Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (2018) Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (2019) Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (2020) Enhancing Agricultural Opportunities for Military Veterans Competitive Grants Program (2021)	10.401 Farmers Home Administration_Loans to Cooperatives (1965B) Economic Opportunity Loans to Cooperatives (1969B) Economic Opportunity Farm Operating Loans to Cooperatives (1970B) Archived (1971B)	10.409 Irrigation and Drainage System Loans (1969B) Irrigation, Drainage and Other Soil and Water Conservation Loans (1970B) Archived (1983B)
10.336 Veterinary Services Grant Program (2018) Veterinary Services Grant Program (2019) Veterinary Services Grant Program (2020) Veterinary Services Grant Program (2021)	10.402 Farmers Home Administration_Loans to Rural Families with Small Incomes (1965B) Economic Opportunity Loans to Rural Families with Low Incomes (1969B) Economic Opportunity Farm Resource Loans (1970B) Archived (1971B)	10.410 Farmers Home Administration_Rural Housing Loans (1965B) Rural Housing Loans (1967B) Rural Renewal Loans (1967B) Low-to Moderate-Income Housing Loans (1970B) Low Income Housing Loans (1983B) Very Low and Low Income Housing Loans (1984B) Very Low to Moderate Income Housing Loans (1991U) Very Low to Moderate Income Housing Loans (2018) Very Low to Moderate Income Housing Loans (2019) Very Low to Moderate Income Housing Loans (2020) Very Low to Moderate Income Housing Loans (2021)
10.350 Farmers Cooperative Service (1965B) Technical Assistance to Cooperatives (1970B) Number changed to 10.251 (1978B) Number changed from 10.251 (1981U) Technical Assistance to Cooperatives (2018) Technical Assistance to Cooperatives (2019) Technical Assistance to Cooperatives (2020) Technical Assistance to Cooperatives (2021)	10.403 Economic Opportunity Nonfarm Enterprise Loans (1970B) Archived (1971B)	10.411 Rural Self-Help Housing Loans (1969B) Mutual and Self-Help Housing Loans (1970B) Rural Housing Site Loans (1970U) Rural Housing Site Loans and Self_Help Housing Land Development Loans (1996B) Archived (2012) Reinstated (2017) Rural Housing Site Loans and Self Help Housing Land Development Loans (2018) Rural Housing Site Loans and Self Help Housing Land Development Loans (2019) Rural Housing Site Loans and Self Help Housing Land Development Loans (2020) Rural Housing Site Loans and Self Help Housing Land Development Loans (2021)
10.351 Rural Business Development Grant (2014) Rural Business Development Grant (2018) Rural Business Development Grant (2019) Rural Business Development Grant (2020) Rural Business Development Grant (2020) Rural Business Development Grant (2021)	10.404 Farmers Home Administration_Emergency Loans (1965B) Farm Emergency Loans (1969B) Emergency Loans (1970B) Emergency Loans (2018) Emergency Loans (2019) Emergency Loans (2020) Emergency Loans (2021)	10.412 Rural Recreation Enterprises (1969B) Recreation Association Loans (1970B) Split into 10.412, 10.413 (1970B) Archived (1971B)
10.352 Value-Added Producer Grants (2005) Value-Added Producer Grants (2018) Value-Added Producer Grants (2019) Value-Added Producer Grants (2020) Value-Added Producer Grants (2021)	10.405 Farmers Home Administration_Loans for Farm Labor Housing (1965B) Loans and Grants for Farm Labor Housing (1967B) Farm Labor Housing (1969B) Farm Labor Housing Loans and Grants (1970B) Archived (1973B) Reinstated (1975B) Farm Labor Housing Loans and Grants (2018) Farm Labor Housing Loans and Grants (2020) Farm Labor Housing Loans and Grants (2021)	10.413 Recreation Facility Loans (1970B) Split from 10.412 (1970B) Archived (1983B)
10.353 National Rural Development Partnership (2003B)	10.406 Farmers Home Administration_Operating Loans (1965B) Farm Operating Loans (1969B) Farm Operating Loans (2018) Farm Operating Loans (2019) Farm Operating Loans (2020) Farm Operating Loans (2021)	10.414 Soil Conservation Service_Resource Conservation Development Projects (1965B) Resource Conservation Development Projects (1967B) Resource Conservation and Development Loans (1970B) Split into 10.414, 10.901 (1970B) Number changed to 10.764 (1993B)
10.375 Human Nutrition Information Service (1982B) Archived (1997B)	10.407 Farmers Home Administration_Ownership Loans (1965B) Farm Ownership Loans (1969B) Farm Ownership Loans (2018) Farm Ownership Loans (2019) Farm Ownership Loans (2020) Farm Ownership Loans (2021)	10.415 Rental Housing (1967B) Rental and Cooperative Housing for Rural People (1969B) Rural Rental Housing Loans (1970B) Rural Rental Housing Loans (2018)
10.377 Agriculture Innovation Center Demonstration Program (2019) Agriculture Innovation Center Demonstration Program (2020) Agriculture Innovation Center Demonstration Program (2021)	10.408 Grazing Association Loans (1969B)	
10.379 Rural Energy Pilot Program Grant (2021)		
10.400 Comprehensive Areawide Water and Sewer Planning		

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Rural Rental Housing Loans (2020)		
Rural Rental Housing Loans (2021)		
10.416	10.425	10.436
Soil and Water Conservation (1969B)	Emergency Livestock Loans (1974U)	Technical Assistance and Training Grants (1988U)
Soil and Water Loans (1970B)	Archived (1979U2)	Number changed to 10.761 (1993B)
Split into 10.416, 10.902 (1970B)	10.426	10.437
Archived (1997B)	Area Development Assistance Planning Grants (1978B)	Interest Rate Reduction Program (1989B)
	Archived (1982B)	Interest Assistance Program (1991U)
		Archived (2014)
10.417	10.427	10.438
Very Low-Income Housing Repair Loans (1970B)	Rural Rental Assistance Payments (1978B)	Farm Credit System Farm Land Acquisition Program (1989B)
Split from 10.410 (1970B)	Rural Rental Assistance Payments (2018)	Archived (1992B)
Very Low-Income Housing Repair Loans and Grants (1977B)	Rural Rental Assistance Payments (2020)	Section 538 Rural Rental Housing Guaranteed Loans (1997B)
Very Low-Income Housing Repair Loans and Grants (2018)	Rural Rental Assistance Payments (2021)	Section 538 Rural Rental Housing Guaranteed Loans (2018)
Very Low-Income Housing Repair Loans and Grants (2019)	10.428	Section 538 Rural Rental Housing Guaranteed Loans (2019)
Very Low-Income Housing Repair Loans and Grants (2020)	Economic Emergency Loans (1978U)	Section 538 Rural Rental Housing Guaranteed Loans (2020)
Very Low-Income Housing Repair Loans and Grants (2021)	Archived (1983B)	Section 538 Rural Rental Housing Guaranteed Loans (2021)
	Reinstated (1984B)	
	Archived (1984U)	
10.418	10.429	10.439
Water and Waste Disposal Systems for Rural Communities (1967B)	Above Moderate Income Housing Loans (1979B)	Intermediary Relending Program (1989B)
Number changed to 10.760 (1993B)	Archived (1980U2)	Number changed to 10.767 (1993B)
	Guaranteed Rural Housing Loans_Demonstration Program (1989B)	
	Archived (1990B)	
10.419	10.430	10.440
Multiple-Purpose Watershed Projects (1965B)	Energy Impacted Area Development Assistance Program (1979U2)	Emergency Community Water Assistance Grants (1989U)
Watershed Development and Flood Prevention (1969B)	Archived (1983B)	Number changed to 10.763 (1993B)
Watershed Protection and Flood Prevention Loans (1970B)	10.431	10.441
Split into 10.419, 10.904 (1970B)	Technical and Supervisory Assistance Grants (1979U2)	Technical and Supervisory Assistance Grants (1993U)
Number changed to 10.765 (1993B)	Archived (1983B)	Archived (2011)
10.420	10.432	10.442
Rural Self-Help Housing Technical Assistance (1970U)	Biomass Energy and Alcohol Fuels Loan and Loan Guarantees (1981B)	Housing Application Packaging Grants (1994B)
Rural Self-Help Housing Technical Assistance (2018)	Archived (1982B)	Archived (2011)
Rural Self-Help Housing Technical Assistance (2019)	10.433	10.443
Rural Self-Help Housing Technical Assistance (2020)	Rural Housing Preservation Grants/Transferred from 13.664 (1986B)	Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers (1994B)
Rural Self-Help Housing Technical Assistance (2021)	Rural Housing Preservation Grants (2018)	Small Farmer Outreach Training or Technical Assistance Program_"Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers" (1995B)
	Rural Housing Preservation Grants (2019)	Small Farmer Outreach Training and Technical Assistance Program (1995U)
	Rural Housing Preservation Grants (2020)	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (2001U)
	Rural Housing Preservation Grants (2021)	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (2014)
10.421	10.434	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (2018)
Indian Tribes and Tribal Corporation Loans (1971B)	Nonprofit National Corporations Loan and Grant Program (1987B)	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (2018)
Indian Tribes and Tribal Corporation Loans (2018)	Archived (1993U)	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (2018)
Indian Tribes and Tribal Corporation Loans (2019)	10.435	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (2019)
Indian Tribes and Tribal Corporation Loans (2020)	Agricultural Loan Mediation Program (1988B)	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (2020)
Indian Tribes and Tribal Corporation Loans (2021)	Certified Mediation Program (1995B)	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (2020)
	State Mediation Grants (1997U)	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers (2021)
	State Mediation Grants (2018)	
	State Mediation Grants (2019)	
	State Mediation Grants (2020)	
	State Mediation Grants (2021)	
10.422		
Business and Industrial Development Loans (1974B)		
Business and Industrial Loans (1976B)		
Number changed to 10.768 (1993B)		
10.423		
Community Facilities Loans (1974B)		
Number changed to 10.766 (1993B)		
10.424		
Industrial Development Grants (1974B)		
Archived (1982B)		
Reinstated (1988B)		
Rural Development Grants (1992B)		
Number changed to 10.769 (1993B)		

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10.444

Direct Housing_Natural Disaster Loans and Grants (1998B)
Archived (2012)

10.445

Direct Housing_Natural Disaster (1998B)
Archived (2011)

10.446

Rural Community Development Initiative (2001U)
Rural Community Development Initiative (2018)
Rural Community Development Initiative (2019)
Rural Community Development Initiative (2020)
Rural Community Development Initiative (2021)

10.447

The Rural Development (RD) Multi-Family Housing Preservation and Revitalization Restructuring Program (MPR) (2007)
The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (2018)
The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (2020)
The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (2021)

10.448

Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (2009)
Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (2018)
Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (2020)
Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (2021)

10.449

Boll Weevil Eradication Loan Program (2000U)
Boll Weevil Eradication Loan Program (2018)
Boll Weevil Eradication Loan Program (2019)
Boll Weevil Eradication Loan Program (2020)
Boll Weevil Eradication Loan Program (2021)

10.450

Crop Insurance (1969B)
Crop Insurance (2018)
Crop Insurance (2019)
Crop Insurance (2020)
Crop Insurance (2021)

10.451

Noninsured Crop Disaster Assistance (1997U)
Noninsured Assistance (2001B)
Noninsured Assistance (2018)
To: Noninsured Crop Disaster Assistance Program From: Noninsured Assistance (2019)
Noninsured Crop Disaster Assistance Program (2019)
Noninsured Crop Disaster Assistance Program (2020)
Noninsured Crop Disaster Assistance Program (2021)

10.452

Disaster Reserve Assistance (1997U)
Archived (2009)

10.453

Fund for Rural America_Farm Ownership Loans (1997U)
Archived (2000B)

10.454

Dairy Options Pilot Program (1998U)
Archived (2010)

10.455

Community Outreach and Assistance Partnership Program (2004)
Archived (2012)

10.456

Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (2004)
Archived (2016)

10.457

Commodity Partnerships for Risk Management Education (2004)
Archived (2010)

10.458

Crop Insurance Education in Targeted States (2004)
Crop Insurance Education in Targeted States (2018)
Crop Insurance Education in Targeted States (2019)
Crop Insurance Education in Targeted States (2020)
Archived (2021)

10.459

Commodity Partnerships for Small Agricultural Risk Management Education Sessions (2005)
Archived (2013)

10.460

Risk Management Education and Outreach Partnerships Program (2012)
Risk Management Education Partnerships (2013)
Risk Management Education Partnerships (2018)
Risk Management Education Partnerships (2019)
Risk Management Education Partnerships (2020)
Risk Management Education Partnerships (2021)

10.464

Socially Disadvantaged Farmers and Ranchers Policy Research Center (2014)
Socially Disadvantaged Farmers and Ranchers Policy Research Center (2018)
Socially Disadvantaged Farmers and Ranchers Policy Research Center (2019)
Socially Disadvantaged Farmers and Ranchers Policy Research Center (2020)
Socially Disadvantaged Farmers and Ranchers Policy Research Center (2020)
Socially Disadvantaged Farmers and Ranchers Policy Research Center (2020)
Socially Disadvantaged Farmers and Ranchers Policy Research Center (2021)

10.465

Farmworker Training Grant Program (2011)
Archived (2013)

10.475

Assistance to States for Intrastate Meat and Poultry Inspection (1977U)

Number changed from 10.026 (1977U)
Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (1980U2)
Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (2018)
Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (2019)
Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (2020)
Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (2021)

10.476

Egg Products Inspection (1977U)
Number changed to 10.161 (1981U)

10.477

Meat and Poultry Inspection (1977U)
Number changed from 10.027 (1977U)
Meat, Poultry, and Egg Inspection (1995B)
Meat, Poultry, and Egg Products Inspection (1995U)
Meat, Poultry, and Egg Products Inspection (2018)
Meat, Poultry, and Egg Products Inspection (2019)
Meat, Poultry, and Egg Products Inspection (2020)
Meat, Poultry, and Egg Products Inspection (2021)

10.478

Voluntary Commodity Inspection and Grading Programs (1977U)
Number changed to 10.160 (1981U)

10.479

Food Safety Cooperative Agreements (2006)
Food Safety Cooperative Agreements (2018)
Food Safety Cooperative Agreements (2019)
Food Safety Cooperative Agreements (2020)
Food Safety Cooperative Agreements (2021)

10.494

Multi-Family Housing Non-Profit Transfer Technical Assistance Grants (2021)
Multi-Family Housing Non-Profit Transfer Technical Assistance Grants (2021)

10.495

Farm Labor Housing Technical Assistance Grants (2021)
Farm Labor Housing Technical Assistance Grants (2021)

10.500

Extension Programs for Assisting in Community Development (1970B)
Extension Programs for Community Development (1971B)
Cooperative Extension Service (1972B)
Number changed to 10.881 (1978B)
Number changed from 10.881 (1981U)
Cooperative Extension Service (2018)
Cooperative Extension Service (2019)
Cooperative Extension Service (2020)
Cooperative Extension Service (2021)

10.501

Extension Programs for Forestry Production and

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Marketing (1970B) Incorporated into 10.500 (1972B) Agricultural Telecommunications Program (1994U) Archived (2000B)			Agriculture Risk Management Education Partnerships Competitive Grants Program (2018) Agriculture Risk Management Education Partnerships Competitive Grants Program (2019) Agriculture Risk Management Education Partnerships Competitive Grants Program (2020) Agriculture Risk Management Education Partnerships Competitive Grants Program (2021)
10.502 Extension Programs for Improved Family Living (1970B) Incorporated into 10.500 (1972B)	10.513 1890 Facilities Grants Program (2017) 1890 Facilities Grants Program (2018) 1890 Facilities Grants Program (2019) 1890 Facilities Grants Program (2020) 1890 Facilities Grants Program (2021)		
10.503 Extension Programs for Improved Nutrition (1970B) Incorporated into 10.500 (1972B)	10.514 Expanded Food and Nutrition Education Program (2017) Expanded Food and Nutrition Education Program (2018) Expanded Food and Nutrition Education Program (2019) Expanded Food and Nutrition Education Program (2020) Expanded Food and Nutrition Education Program (2021)	10.521 Children, Youth and Families At-Risk (2017) Children, Youth and Families At-Risk (2018) Children, Youth and Families At-Risk (2019) Children, Youth and Families At-Risk (2020) Children, Youth and Families At-Risk (2021)	
10.504 Extension Programs for Improving Farm Income (1970B) Incorporated into 10.500 (1972B)	10.515 RENEWABLE RESOURCES EXTENSION ACT and NATIONAL FOCUS FUND PROJECTS (2017) Renewable Resources Extension Act and National Focus Fund Projects (2018) Renewable Resources Extension Act and National Focus Fund Projects (2019) Renewable Resources Extension Act and National Focus Fund Projects (2020) Renewable Resources Extension Act and National Focus Fund Projects (2021)	10.522 Food and Agriculture Service Learning Program (2018) Food and Agriculture Service Learning Program (2019) Food and Agriculture Service Learning Program (2020) Food and Agriculture Service Learning Program (2021)	
10.505 Extension Programs for Marketing and Distribution (1970B) Incorporated into 10.500 (1972B)	10.516 Rural Health and Safety Education Competitive Grants Program (2017) Rural Health and Safety Education Competitive Grants Program (2018) Rural Health and Safety Education Competitive Grants Program (2019) Rural Health and Safety Education Competitive Grants Program (2020) Rural Health and Safety Education Competitive Grants Program (2021)	10.523 Centers of Excellence at 1890 Institutions (2019) Centers of Excellence at 1890 Institutions (2020) Centers of Excellence at 1890 Institutions (2021)	
10.506 Extension Programs for Pesticides Safety and Rural Civil Defense (1970B) Incorporated into 10.500 (1972B)		10.524 Scholarships for Students at 1890 Institutions (2019) Scholarships for Students at 1890 Institutions (2020) Scholarships for Students at 1890 Institutions (2021)	
10.507 Extension Programs for Recreation, Wildlife, and Natural Beauty (1970B) Incorporated into 10.500 (1972B)		10.525 Farm and Ranch Stress Assistance Network Competitive Grants Program (2019) Farm and Ranch Stress Assistance Network Competitive Grants Program (2020) Farm and Ranch Stress Assistance Network Competitive Grants Program (2021) Farm and Ranch Stress Assistance Network Competitive Grants Program (2021)	
10.508 Extension Programs for Soil and Water Conservation (1970B) Incorporated into 10.500 (1972B)	10.517 Tribal Colleges Extension Programs (2017) Tribal Colleges Extension Programs (2018) Tribal Colleges Extension Programs (2019) Tribal Colleges Extension Programs (2020) Tribal Colleges Extension Programs (2021)	10.527 New Beginnings for Tribal Students (2020) New Beginnings for Tribal Students (2020) New Beginnings for Tribal Students (2021)	
10.509 4-H Youth Development Programs (1970B) Incorporated into 10.500 (1972B)	10.518 Food Animal Residue Avoidance Databank (2017) Food Animal Residue Avoidance Databank (2018) Food Animal Residue Avoidance Databank (2019) Food Animal Residue Avoidance Databank (2020) Food Animal Residue Avoidance Databank (2021)	10.528 USDA WIC Telehealth Evaluation Collaborative (2019) USDA WIC Telehealth Evaluation Collaborative (2019) USDA WIC Telehealth Evaluation Collaborative (2020) USDA WIC Telehealth Evaluation Collaborative (2021)	
10.510 Extension Programs for Environmental Improvement (1971B) Incorporated into 10.500 (1972B)	10.519 Equipment Grants Program (EGP) (2020) Equipment Grants Program (EGP) (2020) Equipment Grants Program (EGP) (2020) Equipment Grants Program (EGP) (2021)	10.529 Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project (2019)	
10.511 Smith-Lever Funding (Various Programs) (2017) Smith-Lever Funding (Various Programs) (2018) Smith-Lever Funding (Various Programs) (2019) Smith-Lever Funding (Various Programs) (2020) Smith-Lever Funding (Various Programs) (2021)	10.520 Agriculture Risk Management Education Partnerships Competitive Grants Program (2017)		
10.512 Agriculture Extension at 1890 Land-grant Institutions (2017) Agriculture Extension at 1890 Land-grant Institutions (2018) Agriculture Extension at 1890 Land-grant Institutions (2019) Agriculture Extension at 1890 Land-grant Institutions (2020) Agriculture Extension at 1890 Land-grant Institutions (2021)			

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Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project (2020)

Food Distribution Program on Indian Reservations (FDPIR) Nutrition Paraprofessional Training Project (2021)

10.531

State Agency: Farm to School Program Training and Curricula (2019)

State Agency: Farm to School Program Training and Curricula (2020)

State Agency: Farm to School Program Training and Curricula (2021)

10.532

School Nutrition Training Grant for Allied Professional Organizations (2018)

School Nutrition Training Grant for Allied Professional Organizations (2019)

School Nutrition Training Grant for Allied Professional Organizations (2020)

School Nutrition Training Grant for Allied Professional Organizations (2021)

10.533

SNAP-Ed Toolkit (2018)

SNAP-Ed Toolkit (2019)

SNAP-Ed Toolkit (2020)

SNAP-Ed Toolkit (2021)

10.534

CACFP Meal Service Training Grants (2018)

CACFP Meal Service Training Grants (2019)

CACFP Meal Service Training Grants (2020)

CACFP Meal Service Training Grants (2021)

10.535

SNAP Recipient Integrity Education Grant (2017)

SNAP Recipient Integrity Education Grant (2018)

SNAP Recipient Integrity Education Grant (2018)

To: SNAP Fraud Framework Implementation Grant
From: SNAP Recipient Integrity Education Grant (2019)

SNAP Fraud Framework Implementation Grant (2019)

SNAP Fraud Framework Implementation Grant (2019)

SNAP Fraud Framework Implementation Grant (2020)

SNAP Fraud Framework Implementation Grant (2021)

10.536

CACFP Training Grants (2017)

CACFP Training Grants (2018)

CACFP Training Grants (2019)

CACFP Training Grants (2020)

CACFP Training Grants (2021)

10.537

Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (2017)

Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (2018)

Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (2019)

Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (2020)

Supplemental Nutrition Assistance Program (SNAP) Employment and Training (E&T) Data and Technical Assistance Grants (2021)

10.538

Mobile Technology for Child Nutrition Innovation Laboratory (2017)

Archived (2018)

10.539

CNMI Nutrition Assistance (2017)

CNMI Nutrition Assistance (2018)

CNMI Nutrition Assistance (2019)

CNMI Nutrition Assistance (2020)

CNMI Nutrition Assistance (2021)

10.540

Participant Research Innovation Laboratory for Enhancing WIC Services (2016)

Participant Research Innovation Laboratory for Enhancing WIC Services (2018)

Participant Research Innovation Laboratory for Enhancing WIC Services (2019)

Participant Research Innovation Laboratory for Enhancing WIC Services (2020)

Participant Research Innovation Laboratory for Enhancing WIC Services (2021)

10.541

Child Nutrition-Technology Innovation Grant (2016)

Child Nutrition-Technology Innovation Grant (2018)

Child Nutrition-Technology Innovation Grant (2019)

Child Nutrition-Technology Innovation Grant (2020)

10.542

Pandemic EBT Food Benefits (2021)

Pandemic EBT Food Benefits (2021)

10.543

Healthier US School Challenge: Smarter Lunchrooms (2015)

Healthier US School Challenge: Smarter Lunchrooms (2018)

Healthier US School Challenge: Smarter Lunchrooms (2019)

Healthier US School Challenge: Smarter Lunchrooms (2020)

Healthier US School Challenge: Smarter Lunchrooms (2021)

10.544

Healthy Body Healthy Spirit (2015)

Healthy Body Healthy Spirit (2018)

Archived (2019)

10.545

Farmers' Market Supplemental Nutrition Assistance Program Support Grants (2015)

Archived (2018)

Reinstated (2019)

Farmers' Market Supplemental Nutrition Assistance

Program Support Grants (2019)

Farmers' Market Supplemental Nutrition Assistance Program Support Grants (2020)

Farmers' Market Supplemental Nutrition Assistance Program Support Grants (2021)

10.546

Supplemental Nutrition Assistance Program (SNAP) Recipient Integrity Information Technology Grants (2015)

Archived (2018)

10.547

Professional Standards for School Nutrition Employees (2018)

Professional Standards for School Nutrition Employees (2019)

Professional Standards for School Nutrition Employees (2020)

Professional Standards for School Nutrition Employees (2021)

10.549

Rural Child Poverty Nutrition Center (2014)

Rural Child Poverty Nutrition Center (2018)

Rural Child Poverty Nutrition Center (2019)

Rural Child Poverty Nutrition Center (2020)

Archived (2021)

10.550

Commodity Distribution Program (1965B)

Commodity Distribution (1970B)

Food Distribution (1971U)

Split into 10.550, 10.565 (1979U1)

Deleted (2008)

10.551

Food Stamp Program (1965B)

Food Stamps (1970B)

Supplemental Nutrition Assistance Program (2008)

Supplemental Nutrition Assistance Program (2018)

Supplemental Nutrition Assistance Program (2019)

Supplemental Nutrition Assistance Program (2020)

Supplemental Nutrition Assistance Program (2021)

10.552

Special Food Service Program for Children (1969B)

Nonschool Food Program (1970B)

Special Food Service Program for Children (1971B)

Split into 10.558, 10.559 (1976B)

10.553

Pilot School Breakfast Program (1967B)

School Breakfasts (1969B)

School Breakfast Program (1972B)

School Breakfast Program (2018)

School Breakfast Program (2019)

School Breakfast Program (2020)

School Breakfast Program (2021)

10.554

Equipment Program to Initiate or Expand School Food Service (1967B)

School Lunch Program_Nonfood Assistance (1970B)

Nonfood Assistance for School Food Service

Programs (1972B)

Equipment Assistance for School Food Service

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Programs (1978B)
Archived (1982B)

10.555

National School Lunch Program (1965B)
School Lunches (1969B)
National School Lunch Program (1971B)
National School Lunch Program (2018)
National School Lunch Program (2019)
National School Lunch Program (2020)
National School Lunch Program (2021)

10.556

Special Milk Program for Children (1965B)
School Milk (1969B)
Special Milk Program (1970B)
Special Milk Program for Children (1971B)
Special Milk Program for Children (2018)
Special Milk Program for Children (2019)
Special Milk Program for Children (2020)
Special Milk Program for Children (2021)

10.557

Special Supplemental Food Program for Women, Infants, and Children (1974B)
Special Supplemental Nutrition Program for Women, Infants, and Children (1995U)
WIC Special Supplemental Nutrition Program for Women, Infants, and Children (2017)
WIC Special Supplemental Nutrition Program for Women, Infants, and Children (2018)
WIC Special Supplemental Nutrition Program for Women, Infants, and Children (2019)
WIC Special Supplemental Nutrition Program for Women, Infants, and Children (2020)
WIC Special Supplemental Nutrition Program for Women, Infants, and Children (2021)

10.558

Child Care Food Program (1976B)
Split from 10.552 (1976B)
Child and Adult Care Food Program (1990B)
Incorporated from 10.573 (2000B)
Child and Adult Care Food Program (2018)
Child and Adult Care Food Program (2019)
Child and Adult Care Food Program (2020)
Child and Adult Care Food Program (2021)

10.559

Summer Food Service Program for Children (1976B)
Split from 10.552 (1976B)
Summer Food Service Program for Children (2018)
Summer Food Service Program for Children (2019)
Summer Food Service Program for Children (2020)
Summer Food Service Program for Children (2021)

10.560

State Administrative Expenses for Child Nutrition (1977U)
State Administrative Expenses for Child Nutrition (2018)
State Administrative Expenses for Child Nutrition (2019)
State Administrative Expenses for Child Nutrition (2020)
State Administrative Expenses for Child Nutrition (2021)

10.561

State Administrative Matching Grants for Food Stamp Program (1977U)
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (2008)
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (2018)
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (2019)
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (2020)
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (2021)

10.562

Nutritional Training and Education (1977U)
Archived (1979B)

10.563

Nutrition Education Experimental or Demonstration Projects (1977U)
Archived (1980B)

10.564

Nutrition Education and Training Program (1978U)
Archived (2001U)

10.565

Commodity Supplemental Food Program (1979U1)
Split from 10.550 (1979U1)
Commodity Supplemental Food Program (2018)
Commodity Supplemental Food Program (2019)
Commodity Supplemental Food Program (2020)
Commodity Supplemental Food Program (2021)

10.566

Nutrition Assistance for Puerto Rico (1983B)
Nutrition Assistance For Puerto Rico (2018)
Nutrition Assistance For Puerto Rico (2019)
Nutrition Assistance For Puerto Rico (2020)
Nutrition Assistance For Puerto Rico (2021)

10.567

Food Distribution Program Commodities in Lieu of Food Stamps (Indian Reservations) (1983B)
Food Distribution Program Commodities on Indian Reservations (1983U)
Needy Family Program (1985B)
Food Distribution Program on Indian Reservations (1988B)
Food Distribution Program on Indian Reservations (2018)
Food Distribution Program on Indian Reservations (2019)
Food Distribution Program on Indian Reservations (2020)
Food Distribution Program on Indian Reservations (2021)

10.568

Temporary Emergency Food Assistance (Administrative Costs) (1984B)
Emergency Food Assistance Program (Administrative Costs) (1993U)
Emergency Food Assistance Program (Administrative Costs) (2018)

Emergency Food Assistance Program (Administrative Costs) (2018)

Emergency Food Assistance Program (Administrative Costs) (2019)

Emergency Food Assistance Program (Administrative Costs) (2020)

Emergency Food Assistance Program (Administrative Costs) (2021)

10.569

Temporary Emergency Food Assistance (Food Commodities) (1989B)

Emergency Food Assistance Program (Food Commodities) (1993U)

Emergency Food Assistance Program (Food Commodities) (2018)

Emergency Food Assistance Program (Food Commodities) (2019)

Emergency Food Assistance Program (Food Commodities) (2020)

Emergency Food Assistance Program (Food Commodities) (2021)

10.570

Nutrition Program for the Elderly (Commodities) (1989B)

Nutrition Program for the Elderly (2001U)

Nutrition Services Incentive (2002B)

Deleted (2005)

10.571

Food Commodities for Soup Kitchens (1989B)
Archived (1996U)

10.572

WIC Farmers' Market Nutrition Program (FMNP) (1993U)

WIC Farmers' Market Nutrition Program (FMNP) (2018)

WIC Farmers' Market Nutrition Program (FMNP) (2019)

WIC Farmers' Market Nutrition Program (FMNP) (2020)

WIC Farmers' Market Nutrition Program (FMNP) (2021)

10.573

Homeless Children Nutrition Program (1995U)
Incorporated into 10.558 (2000B)

Deleted Program no longer funded. (2000)

10.574

Team Nutrition Grants (1997U)

Team Nutrition Grants (2018)

Team Nutrition Grants (2019)

Team Nutrition Grants (2020)

Team Nutrition Grants (2020)

Team Nutrition Grants (2021)

10.575

Farm to School Grant Program (2012)

Farm to School Grant Program (2018)

Farm to School Grant Program (2019)

Farm to School Grant Program (2020)

Farm to School Grant Program (2020)

Farm to School Grant Program (2021)

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10.576

Senior Farmers Market Nutrition Program (2004)
Senior Farmers Market Nutrition Program (2018)
Senior Farmers Market Nutrition Program (2019)
Senior Farmers Market Nutrition Program (2020)
Senior Farmers Market Nutrition Program (2021)

10.577

SNAP Partnership Grant (2011)
Archived (2018)
Reinstated (2021)
SNAP Partnership Grant (2021)

10.578

WIC Grants To States (WGS) (2004)
WIC Grants To States (WGS) (2018)
WIC Grants To States (WGS) (2019)
WIC Grants To States (WGS) (2019)
WIC Grants To States (WGS) (2020)
WIC Grants To States (WGS) (2021)

10.579

Child Nutrition Discretionary Grants Limited Availability (2004)
Child Nutrition Discretionary Grants Limited Availability (2018)
Child Nutrition Discretionary Grants Limited Availability (2018)
Child Nutrition Discretionary Grants Limited Availability (2019)
Child Nutrition Discretionary Grants Limited Availability (2020)
Child Nutrition Discretionary Grants Limited Availability (2021)

10.580

Food Stamp Program Research Grants to Improve Access Through New Technology and Partnerships (2004)
Food Stamp Program , Outreach Grants (2005)
Food Stamp Program Outreach Grants (2005)
Food Stamp Program Outreach/Participation Program (2008)
Special Nutrition Assistance Program Outreach/Participation Program (2008)
Supplemental Nutrition Assistance Program, Outreach/Participation Program (2009)
Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (2013)
Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (2018)
Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (2019)
Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (2020)
Supplemental Nutrition Assistance Program, Process and Technology Improvement Grants (2021)

10.581

WIC Discretionary Grant Funds (2004)
Deleted (2004)

10.582

Fresh Fruit and Vegetable Program (2005)
Fresh Fruit and Vegetable Program (2018)
Fresh Fruit and Vegetable Program (2019)
Fresh Fruit and Vegetable Program (2020)

Fresh Fruit and Vegetable Program (2021)

10.583

Hunger Free Communities (2010)
Archived (2015)

10.584

SNAP Research Grants (2012)
Archived (2013)

10.585

FNS Food Safety Grants (2010)
FNS Food Safety Grants (2018)
FNS Food Safety Grants (2019)
FNS Food Safety Grants (2020)
FNS Food Safety Grants (2021)

10.586

Office of Research and Analysis (ORA)
Grants/Cooperative Agreements to Meet Food, Nutrition and Health Needs of Program Eligible Participants (2011)
Special Supplemental Nutrition Program for Women, Infants and Children: Nutrition Education Innovations (2012)
Archived (2018)

10.587

National Food Service Management Institute Administration and Staffing Grant (2012)
National Food Service Management Institute Administration and Staffing Grant (2018)
National Food Service Management Institute Administration and Staffing Grant (2019)
National Food Service Management Institute Administration and Staffing Grant (2020)
National Food Service Management Institute Administration and Staffing Grant (2021)

10.588

Assessment of Alternatives to Face-to-Face Interviews in SNAP (2011)
Archived (2015)

10.589

Child Nutrition Direct Certification Performance Awards (2012)
Archived (2016)

10.590

Disaster Relief Appropriations Act—Emergency Food Assistance Program (Administrative Costs) (2015)
Archived (2015)

10.591

Disaster Relief Appropriations Act—Emergency Food Assistance Program (Commodities) (2013)
Archived (2015)

10.592

Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (2013)
Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (2018)
Healthy, Hunger-Free Kids Act of 2010 Childhood

Hunger Research and Demonstration Projects (2019)
Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (2020)
Healthy, Hunger-Free Kids Act of 2010 Childhood Hunger Research and Demonstration Projects (2021)

10.593

Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (2018)
Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (2019)
Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (2020)
Bill Emerson National Hunger Fellows and Mickey Leland International Hunger Fellows Programs (2021)

10.594

Food Distribution Program on Indian Reservations Nutrition Education Grants (2014)
Food Distribution Program on Indian Reservations Nutrition Education Grants (2018)
Food Distribution Program on Indian Reservations Nutrition Education Grants (2019)
Food Distribution Program on Indian Reservations Nutrition Education Grants (2020)
Food Distribution Program on Indian Reservations Nutrition Education Grants (2021)

10.595

Farm to School Training and Technical Assistance (2014)
Archived (2018)

10.596

Pilot Projects to Promote Work and Increase State Accountability in SNAP (2014)
Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (2014)
Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (2018)
Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (2019)
Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (2020)
Pilot Projects to Reduce Dependency and Increase Work Requirements and Work Effort under SNAP (2021)

10.597

Local Wellness Policy Surveillance System Cooperative Agreement (2014)
School Wellness Policy Cooperative Agreement (2015)
School Wellness Policy Cooperative Agreement (2018)
School Wellness Policy Cooperative Agreement (2019)
School Wellness Policy Cooperative Agreement (2020)
School Wellness Policy Cooperative Agreement (2021)

10.598

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Supplemental Nutrition Assistance Program (SNAP) Recipient Trafficking Prevention Grants (2014)
Archived (2018)

10.599

South Carolina SNAP Recipient Trafficking Prosecution Pilot (2014)
Archived (2018)

10.600

Export Market Development (1967B)
Agricultural Export Services (1969B)
Foreign Market Development and Promotion (1970B)
Foreign Agricultural Market Development and Promotion (1972B)
Archived (1975B)
Reinstated (1985U)
Foreign Market Development Cooperation Program (1997B)
Foreign Market Development Cooperator Program (1999B)
Foreign Market Development Cooperator Program (2018)
Foreign Market Development Cooperator Program (2018)
Foreign Market Development Cooperator Program (2019)
Foreign Market Development Cooperator Program (2019)
Foreign Market Development Cooperator Program (2020)
Foreign Market Development Cooperator Program (2021)

10.601

Targeted Export Assistance (1987B)
Market Promotion Program (1991B)
Market Access Program (1997B)
Market Access Program (2018)
Market Access Program (2018)
Market Access Program (2019)
Market Access Program (2020)
Market Access Program (2021)

10.602

CCC's Dairy Export Incentive Program (2012)
Archived (2016)

10.603

Emerging Markets Program (2002B)
Emerging Markets Program (2018)
Emerging Markets Program (2019)
Emerging Markets Program (2020)
Emerging Markets Program (2021)

10.604

Technical Assistance for Specialty Crops Program (2004)
Technical Assistance for Specialty Crops Program (2018)
Technical Assistance for Specialty Crops Program (2019)
Technical Assistance for Specialty Crops Program (2020)
Technical Assistance for Specialty Crops Program (2021)

10.605

Quality Samples Program (2004)

Quality Samples Program (2018)
Quality Samples Program (2019)
Quality Samples Program (2020)
Quality Samples Program (2021)

10.606

Food for Progress (2003B)
Food for Progress (2018)
Food for Progress (2019)
Food for Progress (2019)
Food for Progress (2020)
Food for Progress (2021)

10.607

Section 416(b) (2003B)
Archived (2010)

10.608

Food for Education (2003B)
Food for Education (2018)
Food for Education (2019)
Food for Education (2020)
Food for Education (2021)

10.609

Trade Adjustment Assistance (2004)
Archived (2017)

10.610

Export Guarantee Program (2010)
Export Guarantee Program (2018)
Export Guarantee Program (2019)
Export Guarantee Program (2020)
Export Guarantee Program (2021)

10.611

Regional Centers for the Transfer of Manufacturing Technology (1989B)

10.612

USDA Local and Regional Food Aid Procurement Pilot Project (2012)
USDA Local and Regional Food Aid Procurement Program (2014)
USDA Local and Regional Food Aid Procurement Program (2018)
USDA Local and Regional Food Aid Procurement Program (2019)
USDA Local and Regional Food Aid Procurement Program (2019)
USDA Local and Regional Food Aid Procurement Program (2020)
USDA Local and Regional Food Aid Procurement Program (2021)

10.613

Faculty Exchange Program (2011)
Faculty Exchange Program (2018)
Faculty Exchange Program (2018)
Faculty Exchange Program (2019)
Faculty Exchange Program (2019)
Faculty Exchange Program (2020)
Faculty Exchange Program (2021)

10.614

Scientific Cooperation Exchange Program with China (2011)

Scientific Cooperation Exchange Program with China (2018)

Scientific Cooperation Exchange Program with China (2019)

Scientific Cooperation Exchange Program with China (2019)

Scientific Cooperation Exchange Program with China (2020)

Archived (2021)

10.615

Pima Agriculture Cotton Trust Fund (2014)
Pima Agriculture Cotton Trust Fund (2018)
Pima Agriculture Cotton Trust Fund (2019)
Pima Agriculture Cotton Trust Fund (2019)
Pima Agriculture Cotton Trust Fund (2020)
Pima Agriculture Cotton Trust Fund (2021)

10.616

Agriculture Wool Apparel Manufacturers Trust Fund (2014)
Agriculture Wool Apparel Manufacturers Trust Fund (2018)
Agriculture Wool Apparel Manufacturers Trust Fund (2019)
Agriculture Wool Apparel Manufacturers Trust Fund (2019)
Agriculture Wool Apparel Manufacturers Trust Fund (2020)
Agriculture Wool Apparel Manufacturers Trust Fund (2021)

10.617

PL-480 Market Development and Technical Assistance (2018)
PL-480 Market Development and Technical Assistance (2018)
PL-480 Market Development and Technical Assistance (2019)
PL-480 Market Development and Technical Assistance (2020)
PL-480 Market Development and Technical Assistance (2021)

10.618

Agricultural Trade Promotion Program (2018)
Agricultural Trade Promotion Program (2019)
Agricultural Trade Promotion Program (2020)
Agricultural Trade Promotion Program (2021)

10.619

International Agricultural Education Fellowship Program (2020)
International Agricultural Education Fellowship Program (2020)
International Agricultural Education Fellowship Program (2021)

10.620

Scientific Exchanges Program (2020)
Scientific Exchanges Program (2021)

10.649

Pandemic EBT Administrative Costs (2021)
Pandemic EBT Administrative Costs (2021)

10.650

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Forest Service Cooperative State and Private Programs (1965B) Forestry Cooperative Programs (1969B) State and Private Forestry Cooperation (1970B) Split into 10.655, 10.656, 10.657, 10.658, 10.659, 10.660 (1974B)	Youth Conservation Corps_Grants to States (1974B) Archived (1982B)	Rural Development, Forestry, and Communities (2000U) Archived (2009) Reinstated (2009) Rural Development, Forestry, and Communities (2018) Archived (2019)
10.651 Forestry Cooperative Research (1970U) Archived (1978U)	10.662 Rural Community Fire Protection (1975U) Consolidated into 10.664 (1978U)	10.673 Wood In Transportation Program (2003B)
10.652 Forestry Research (1970U) Forestry Research (2018) Forestry Research (2019) Forestry Research (2020) Forestry Research (2021)	10.663 Young Adult Conservation Corps (1978B) Young Adult Conservation Corps_Grants to States (1978U) Archived (1983B)	10.674 Technology Marketing Unit (2003B) Forest Products Lab: Technology Marketing Unit (TMU) (2005) Forest Products Lab: Technology Marketing Unit (TMU) (2005) Wood Utilization Assistance (2014) Wood Utilization Assistance (2018) Wood Utilization Assistance (2018) Wood Utilization Assistance (2019) Wood Utilization Assistance (2020) Wood Utilization Assistance (2020) Wood Utilization Assistance (2021)
10.653 Land Adjustments (1970U) Land Exchange in the National Forests (1971B) Archived (1973B)	10.664 Cooperative Forestry Assistance (1978U) Consolidated from 10.655, 10.656, 10.657, 10.658, 10.659, 10.660, 10.662 (1978U) Cooperative Forestry Assistance (2018) Cooperative Forestry Assistance (2019) Cooperative Forestry Assistance (2020) Cooperative Forestry Assistance (2021)	10.675 Urban and Community Forestry Program (2003B) Urban and Community Forestry Program (2018) Urban and Community Forestry Program (2019) Urban and Community Forestry Program (2020) Urban and Community Forestry Program (2021)
10.654 Forestry Cooperative Law Enforcement (1973U) Cooperative Law Enforcement (1975B) Archived (1977B)	10.665 Schools and Roads_Grants to States (1980U2) Secure Payments for States and Counties Containing Federal Land (2008) Schools and Roads - Grants to States (2009) Schools and Roads - Grants to States (2018) Schools and Roads - Grants to States (2019) Schools and Roads - Grants to States (2020) Schools and Roads - Grants to States (2021)	10.676 Forest Legacy Program (2003B) Forest Legacy Program (2018) Forest Legacy Program (2019) Forest Legacy Program (2020) Forest Legacy Program (2020) Forest Legacy Program (2021)
10.655 Assistance to States for Tree Planting and Reforestation (1974B) Split from 10.650 (1974B) Assistance to States for Tree Improvement (1977B) Consolidated into 10.664 (1978U)	10.666 Schools and Roads_Grants to Counties (1980U2) Schools and Roads - Grants to Counties (2018) Schools and Roads - Grants to Counties (2019) Schools and Roads - Grants to Counties (2020) Schools and Roads - Grants to Counties (2021)	10.677 Forest Land Enhancement Program (2003B) Archived (2010)
10.656 Cooperative Forest Fire Control (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)	10.667 School Funds_Grants to Arizona and New Mexico (1980U2) School Funds_Grants to Arizona (1981B) Archived (1984B)	10.678 Forest Stewardship Program (2003B) Forest Stewardship Program (2018) Forest Stewardship Program (2019) Forest Stewardship Program (2020) Forest Stewardship Program (2021)
10.657 Cooperation in Forest Management and Processing (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)	10.668 Additional Lands_Grants to Minnesota (1980U2) Archived (1995B)	10.679 Collaborative Forest Restoration (2005) Collaborative Forest Restoration (2018) Collaborative Forest Restoration (2019) Collaborative Forest Restoration (2020) Collaborative Forest Restoration (2021)
10.658 Cooperative Forest Insect and Disease Control (1974B) Split from 10.650 (1974B) Cooperative Forest Insect and Disease Management (1976B) Consolidated into 10.664 (1978U)	10.669 Accelerated Cooperative Assistance for Forest Programs on Certain Lands Adjacent to the Boundary Waters Canoe AreaArchived (1992B)	10.680 Forest Health Protection (2005) Forest Health Protection (2018) Forest Health Protection (2019) Forest Health Protection (2020) Forest Health Protection (2021)
10.659 Cooperative Production and Distribution of Forest Tree Planting Stock (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)	10.670 National Forest_Dependent Rural Communities (1993B) Archived (2010)	10.681 WOOD EDUCATION AND RESOURCE CENTER
10.660 General Forestry Assistance (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)	10.671 Southeast Alaska Economic Disaster Fund (1997U) Archived (2010)	
10.661	10.672 Rural Development, Forestry and Communities (2000B)	

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(WERC) (2008)	Program (CFP) (2021)	State & Private Forestry Cooperative Fire Assistance (2018)
Wood Education and Resource Center (WERC) (2008)	10.690	State & Private Forestry Cooperative Fire Assistance (2019)
Wood Education and Resource Center (WERC) (2018)	Lake Tahoe Erosion Control Grant Program (2009)	State & Private Forestry Cooperative Fire Assistance (2020)
Wood Education and Resource Center (WERC) (2019)	Lake Tahoe Erosion Control Grant Program (2018)	State & Private Forestry Cooperative Fire Assistance (2021)
Wood Education and Resource Center (WERC) (2020)	Lake Tahoe Erosion Control Grant Program (2019)	
Wood Education and Resource Center (WERC) (2021)	Lake Tahoe Erosion Control Grant Program (2020)	
	Lake Tahoe Erosion Control Grant Program (2021)	
10.682	10.691	10.699
National Forest Foundation (2009)	Good Neighbor Authority (2009)	Partnership Agreements (2016)
National Forest Foundation (2018)	Good Neighbor Authority (2018)	Partnership Agreements (2018)
National Forest Foundation (2019)	Good Neighbor Authority (2018)	Partnership Agreements (2019)
National Forest Foundation (2020)	Good Neighbor Authority (2019)	Partnership Agreements (2020)
National Forest Foundation (2021)	Good Neighbor Authority (2020)	Partnership Agreements (2021)
	Good Neighbor Authority (2021)	Partnership Agreements (2021)
10.683	10.692	10.700
National Fish and Wildlife Foundation (2009)	Disaster Relief Appropriations Act for Emergency Forest Restoration Program (EFRP) (2013)	National Agricultural Library Services (1969B)
National Fish and Wildlife Foundation (2018)	Archived (2017)	Number changed to 10.883 (1978B)
National Fish and Wildlife Foundation (2019)		Number changed from 10.883 (1981U)
National Fish and Wildlife Foundation (2020)	10.693	National Agricultural Library (1982B)
National Fish and Wildlife Foundation (2021)	Watershed Restoration and Enhancement Agreement Authority (2009)	National Agricultural Library (2018)
	Watershed Restoration and Enhancement Agreement Authority (2018)	National Agricultural Library (2019)
10.684	Watershed Restoration and Enhancement Agreement Authority (2019)	National Agricultural Library (2020)
International Forestry Programs (2008)	Watershed Restoration and Enhancement Agreement Authority (2020)	National Agricultural Library (2021)
International Forestry Programs (2018)	Watershed Restoration and Enhancement Agreement Authority (2021)	
International Forestry Programs (2019)		10.701
International Forestry Programs (2020)		Stewardship Agreements (2016)
International Forestry Programs (2021)		Stewardship Agreements (2018)
		Stewardship Agreements (2019)
10.685	10.694	Stewardship Agreements (2020)
Community Wood Energy Program (2009)	Southwest Forest Health and Wildfire Prevention (2010)	Stewardship Agreements (2021)
Archived (2010)	Southwest Forest Health and Wildfire Prevention (2018)	
Reinstated (2011)	Southwest Forest Health and Wildfire Prevention (2019)	10.702
Archived (2016)	Southwest Forest Health and Wildfire Prevention (2020)	Alaska National Interest Lands Conservation Act (ANILCA) Agreements (2016)
	Southwest Forest Health and Wildfire Prevention (2021)	Alaska National Interest Lands Conservation Act (ANILCA) Agreements (2018)
10.686		Alaska National Interest Lands Conservation Act (ANILCA) Agreements (2019)
Forest Biomass for Energy (2009)	10.695	Archived (2020)
Archived (2010)	EASTERN NEVADA LANDSCAPE RESTORATION PROJECT (ENLRP) (2010)	
Reinstated (2012)	Archived (2011)	10.703
Archived (2013)	Reinstated (2016)	Cooperative Fire Protection Agreement (2016)
	Archived (2017)	Cooperative Fire Protection Agreement (2018)
10.687		Cooperative Fire Protection Agreement (2019)
RECOVERY ACT OF 2009: CAPITAL IMPROVEMENT AND MAINTENANCE (2009)	10.697	Cooperative Fire Protection Agreement (2020)
Archived (2016)	10.697 State & Private Forestry Hazardous Fuel Reduction Program (2018)	Cooperative Fire Protection Agreement (2021)
	To: State & Private Forestry Hazardous Fuel Reduction Program	
10.688	From: 10.697 State & Private Forestry Hazardous Fuel Reduction Program (2018)	10.704
Recovery Act of 2009: Wildland Fire Management (2009)	State & Private Forestry Hazardous Fuel Reduction Program (2019)	Law Enforcement Agreements (2016)
Archived (2016)	State & Private Forestry Hazardous Fuel Reduction Program (2020)	Law Enforcement Agreements (2018)
	State & Private Forestry Hazardous Fuel Reduction Program (2021)	Law Enforcement Agreements (2019)
10.689		Law Enforcement Agreements (2020)
Community Forest and Open Space Conservation Program (CFP) (2009)	10.698	Law Enforcement Agreements (2021)
Community Forest and Open Space Conservation Program (CFP) (2018)		10.705
Community Forest and Open Space Conservation Program (CFP) (2019)		Cooperative Forest Road Agreements (2016)
Community Forest and Open Space Conservation Program (CFP) (2020)		Cooperative Forest Road Agreements (2018)
Community Forest and Open Space Conservation Program (CFP) (2020)		Cooperative Forest Road Agreements (2019)
Community Forest and Open Space Conservation Program (CFP) (2020)		Cooperative Forest Road Agreements (2020)
Community Forest and Open Space Conservation Program (CFP) (2020)		Cooperative Forest Road Agreements (2021)
Community Forest and Open Space Conservation Program (CFP) (2020)		
Community Forest and Open Space Conservation Program (CFP) (2020)		10.707
Community Forest and Open Space Conservation Program (CFP) (2020)		Research Joint Venture and Cost Reimbursable Agreements (2018)

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Research Joint Venture and Cost Reimbursable Agreements (2019)
 Research Joint Venture and Cost Reimbursable Agreements (2020)
 Research Joint Venture and Cost Reimbursable Agreements (2021)

10.708

Community Wood Energy and Wood Innovation Program (2020)
 Community Wood Energy and Wood Innovation Program (2021)

10.711

Forest Service 638 Authority for Tribes (2020)
 Forest Service 638 Authority for Tribes (2021)

10.712

Great American Outdoors Act Deferred Maintenance Program (2020)
 Great American Outdoors Act Deferred Maintenance Program (2021)

10.750

Information on Agricultural Activities (1969B)
 Archived (1978B)

10.751

Rural Energy Savings Program (RESP) (2017)
 Rural Energy Savings Program (RESP) (2018)
 Rural Energy Savings Program (RESP) (2019)
 Rural Energy Savings Program (RESP) (2020)
 Rural Energy Savings Program (RESP) (2021)

10.752

Rural eConnectivity Pilot Program (2019)
 Rural eConnectivity Pilot Program (2020)
 Rural eConnectivity Pilot Program (2021)

10.754

Higher Blends Infrastructure Incentive Program (2020)
 Higher Blends Infrastructure Incentive Program (2020)
 Higher Blends Infrastructure Incentive Program (2021)

10.755

Rural Innovation Stronger Economy (2021)
 Rural Innovation Stronger Economy (2021)
 Rural Innovation Stronger Economy (2021)

10.759

PART 1774 – Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (2009)
 Part 1774 $\frac{1}{2}$ Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (2018)
 To: Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH)From: Part 1774 $\frac{1}{2}$ Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (2018)
 Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (2018)
 Part 1774 Special Evaluation Assistance for Rural

Communities and Households (SEARCH) (2019)
 Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (2020)
 Part 1774 Special Evaluation Assistance for Rural Communities and Households (SEARCH) (2021)

10.760

Water and Waste Disposal Systems for Rural CommunitiesNumber changed from 10.418 (1993B)
 Water and Waste Disposal Systems for Rural Communities (2018)
 Water and Waste Disposal Systems for Rural Communities (2019)
 Water and Waste Disposal Systems for Rural Communities (2020)
 Water and Waste Disposal Systems for Rural Communities (2021)

10.761

Technical Assistance and Training GrantsNumber changed from 10.436 (1993B)
 Technical Assistance and Training Grants (2018)
 Technical Assistance and Training Grants (2019)
 Technical Assistance and Training Grants (2020)
 Technical Assistance and Training Grants (2021)

10.762

Solid Waste Management Grants (1993B)
 Solid Waste Management Grants (2018)
 Solid Waste Management Grants (2019)
 Solid Waste Management Grants (2020)
 Solid Waste Management Grants (2021)

10.763

Emergency Community Water Assistance GrantsNumber changed from 10.440 (1993B)
 Emergency Community Water Assistance Grants (2018)
 Emergency Community Water Assistance Grants (2019)
 Emergency Community Water Assistance Grants (2020)
 Emergency Community Water Assistance Grants (2021)

10.764

Resource Conservation and Development LoansNumber changed from 10.414 (1993B)
 Archived (1998U)

10.765

Watershed Protection and Flood Prevention LoansNumber changed from 10.419 (1993B)
 Archived (1998U)

10.766

Community Facilities LoansNumber changed from 10.423 (1993B)
 Community Facilities Loans (1997U)
 Community Facilities Loans and Grants (1998B)
 Community Facilities Loans and Grants (2018)
 Community Facilities Loans and Grants (2019)
 Community Facilities Loans and Grants (2020)
 Community Facilities Loans and Grants (2021)

10.767

Intermediary Relending ProgramNumber changed

from 10.439 (1993B)
 Intermediary Relending Program (2018)
 Intermediary Relending Program (2019)
 Intermediary Relending Program (2020)
 Intermediary Relending Program (2021)

10.768

Business and Industrial LoansNumber changed from 10.422 (1993B)
 Business and Industry Loans (1998B)
 Business and Industry Loans (2018)
 Business and Industry Loans (2019)
 Business and Industry Loans (2020)
 Business and Industry Loans (2021)

10.769

Rural Development GrantsNumber changed from 10.424 (1993B)
 Rural Business Enterprise Grants (2001B)
 Archived (2018)

10.770

Water and Waste Disposal Loans and Grants (Section 306C) (1993B)
 Water and Waste Disposal Loans and Grants (Section 306C) (2018)
 Water and Waste Disposal Loans and Grants (Section 306C) (2019)
 Water and Waste Disposal Loans and Grants (Section 306C) (2020)
 Water and Waste Disposal Loans and Grants (Section 306C) (2021)

10.771

Rural Technology Development Grants (1994U)
 Rural Cooperative Development Grants (1997B)
 Rural Cooperative Development Grants (2018)
 Rural Cooperative Development Grants (2018)
 Rural Cooperative Development Grants (2019)
 Rural Cooperative Development Grants (2020)
 Rural Cooperative Development Grants (2021)

10.772

Empowerment Zones Program (1994U)
 Archived (2010)

10.773

Rural Business Opportunity Grants (1999B)
 Rural Business Opportunity Grants (2018)
 Rural Business Opportunity Grants (2019)
 Archived (2020)

10.774

National Sheep Industry Improvement Center (1998U)
 Archived (2010)

10.775

Renewable Energy Systems and Energy Efficiency Improvements Program (2003B)
 Archived (2010)

10.777

Norman E. Borlaug International Agricultural Science and Technology Fellowship (2010)
 Norman E. Borlaug International Agricultural Science and Technology Fellowship (2018)

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Norman E. Borlaug International Agricultural Science and Technology Fellowship (2018)		Archived (2019)
Norman E. Borlaug International Agricultural Science and Technology Fellowship (2019)		
Norman E. Borlaug International Agricultural Science and Technology Fellowship (2019)		
Norman E. Borlaug International Agricultural Science and Technology Fellowship (2020)		
Norman E. Borlaug International Agricultural Science and Technology Fellowship (2021)		
10.778	10.850	10.858
Research on the Economic Impact of Cooperatives (2006)	Rural Electrification Program (1965B)	RUS Denali Commission Grants and Loans (2002B)
Archived (2014)	Rural Electrification Loans (1970B)	Denali Commission Grants and Loans (2007)
	Rural Electrification Loans and Loan Guarantees (1977B)	Denali Commission Grants and Loans (2011)
	Rural Electrification Loans and Loan Guarantees (2018)	Denali Commission Grants and Loans (2018)
	Rural Electrification Loans and Loan Guarantees (2019)	Denali Commission Grants and Loans (2019)
	Rural Electrification Loans and Loan Guarantees (2020)	Denali Commission Grants and Loans (2020)
	Rural Electrification Loans and Loan Guarantees (2021)	Denali Commission Grants and Loans (2021)
10.780	10.851	10.859
Community Facilities Loans and Grants (2009)	Rural Telephone Service (1969B)	Assistance to High Energy Cost Rural_Communities (2001U)
Archived (2012)	Rural Telephone Loans (1970B)	Assistance to High Energy Cost_Rural Communities (2002B)
	Rural Telephone Loans and Loan Guarantees (1977B)	Assistance to High Energy Cost Rural Communities (2007)
	Rural Telephone Loans and Loan Guarantees (2018)	Assistance to High Energy Cost Rural Communities (2018)
	Rural Telephone Loans and Loan Guarantees (2019)	Assistance to High Energy Cost Rural Communities (2019)
	Rural Telephone Loans and Loan Guarantees (2020)	Assistance to High Energy Cost Rural Communities (2020)
	Rural Telephone Loans and Loan Guarantees (2021)	Assistance to High Energy Cost Rural Communities (2021)
10.781	10.852	10.860
Water and Waste Disposal Systems for Rural Communities - ARRA (2009)	Rural Telephone Bank Loans (1972B)	Rural Business Investment Program (2004)
Archived (2015)		Archived (2010)
10.782	10.853	10.861
Appropriate Technology Transfer for Rural Areas (2013)	Community Antenna Television Loans and Loan Guarantees (1980B)	Public Television Station Digital Transition Grant Program (2005)
Appropriate Technology Transfer for Rural Areas (2018)	Archived (2007)	Archived (2015)
Appropriate Technology Transfer for Rural Areas (2019)		
Appropriate Technology Transfer for Rural Areas (2020)		
Appropriate Technology Transfer for Rural Areas (2021)		
10.783	10.854	10.862
Rural Business Enterprise Grants - ARRA (2009)	Rural Economic Development Loans and Grants (1988U)	Household Water Well System Grant Program (2004)
Archived (2012)	Number changed from 10.853 (1989B)	Household Water Well System Grant Program (2018)
	Rural Economic Development Loans and Grants (2018)	Household Water Well System Grant Program (2019)
	Rural Economic Development Loans and Grants (2019)	Household Water Well System Grant Program (2020)
	Rural Economic Development Loans and Grants (2020)	Household Water Well System Grant Program (2021)
	Rural Economic Development Loans and Grants (2021)	To: Rural Decentralized Water Systems Grant ProgramFrom: Household Water Well System Grant Program (2021)
10.787	10.855	10.863
Broadband Initiatives Program (2009)	Distant Learning and Medical Link Grants (1992B)	Community Connect Grant Program (2004)
Archived (2012)	Distance Learning and Medical Link Grants (1993B)	Community Connect Grant Program (2018)
	Distance Learning and Telemedicine Loans and Grants (1997B)	Community Connect Grant Program (2019)
	Distance Learning and Telemedicine Loans and Grants (2018)	Community Connect Grant Program (2020)
	Distance Learning and Telemedicine Loans and Grants (2019)	Community Connect Grant Program (2021)
	Distance Learning and Telemedicine Loans and Grants (2020)	
	Distance Learning and Telemedicine Loans and Grants (2021)	
10.788	10.856	10.864
Very Low to Moderate Income Housing Loans - Direct (2009)	1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (2004)	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (2005)
Archived (2012)	Archived (2014)	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (2018)
		Grant Program to Establish a Fund for Financing Water and Wastewater Projects (2019)
		Grant Program to Establish a Fund for Financing Water and Wastewater Projects (2020)
		Grant Program to Establish a Fund for Financing Water and Wastewater Projects (2021)
10.789	10.857	10.865
Very Low to Moderate Income Housing Loans - Guaranteed (2009)	State Bulk Fuel Revolving Fund Grants (2001U)	Biorefinery Assistance (2009)
Archived (2012)	State Bulk Fuel Revolving Fund Grants (2018)	
10.800		
Livestock and Poultry Market Supervision (1969B)		
Number changed to 10.159 (1978B)		
Number changed from 10.159 (1981U)		
Livestock, Meat and Poultry Market Supervision (1984B)		
Deleted (2004)		
10.825		
Technical Assistance to Rural Areas (1975B)		
Archived (1977U)		

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Biorefinery Assistance (2018)	10.877	Rural Development Cooperative Agreement Program (2019)
Biorefinery Assistance (2019)	Cooperative Forestry Research (1978B)	Rural Development Cooperative Agreement Program (2020)
Biorefinery Assistance (2020)	Number changed from 10.202 (1978B)	Rural Development Cooperative Agreement Program (2021)
Biorefinery Assistance (2021)	Number changed to 10.202 (1981U)	
10.866	10.878	10.900
Repowering Assistance (2010)	Payments to Agricultural Experiment Stations Under Hatch Act (1978B)	Great Plains Conservation (1969B)
Repowering Assistance (2018)	Number changed from 10.203 (1978B)	
Repowering Assistance (2019)	Number changed to 10.203 (1981U)	10.901
Archived (2020)		Resource Conservation and Development (1970B)
10.867	10.879	Archived (2014)
BIOENERGY PROGRAM FOR ADVANCED BIOFUELS (2010)	Rural Development Research (1978B)	10.902
Bioenergy Program for Advanced Biofuels (2018)	Number changed from 10.204 (1978B)	Soil and Water Conservation (1970B)
Bioenergy Program for Advanced Biofuels (2019)	Number changed to 10.204 (1981U)	Split into 10.902, 10.905, 10.906, 10.907 (1971B)
Bioenergy Program for Advanced Biofuels (2020)		Consolidated from 10.908 (1990B)
Bioenergy Program for Advanced Biofuels (2021)	10.880	Soil and Water Conservation (2018)
	Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1978B)	Soil and Water Conservation (2019)
	Split from 10.200 (1978B)	Soil and Water Conservation (2020)
	Number changed to 10.205 (1981U)	Soil and Water Conservation (2021)
10.868	10.881	10.903
Rural Energy for America Program (2009)	Cooperative Extension Service (1978B)	National Cooperative Soil Survey (1969B)
Rural Energy for America Program (2018)	Number changed from 10.500 (1978B)	Soil Survey (1970B)
Rural Energy for America Program (2019)	Number changed to 10.500 (1981U)	Soil Survey (2018)
Rural Energy for America Program (2020)		Soil Survey (2019)
Rural Energy for America Program (2021)	10.882	Soil Survey (2020)
	Higher Education_Land-Grant Colleges and Universities (1978B)	Soil Survey (2021)
	Transferred from 13.453 (1978B)	
	Number changed to 10.501 (1981U)	10.904
10.870	10.883	Watershed Protection and Flood Prevention (1970B)
Rural Microentrepreneur Assistance Program (2009)	Technical Information Systems (1978B)	Consolidated into 10.911 (1996B)
Rural Microentrepreneur Assistance Program (2018)	Number changed from 10.700 (1978B)	Watershed Protection and Flood Prevention (2018)
Rural Microentrepreneur Assistance Program (2019)	Number changed to 10.700 (1981U)	Watershed Protection and Flood Prevention (2019)
Rural Microentrepreneur Assistance Program (2020)		Watershed Protection and Flood Prevention (2020)
Rural Microentrepreneur Assistance Program (2021)	10.884	Watershed Protection and Flood Prevention (2021)
	Grants for Agricultural Research_Competitive Research_Grants (1978B)	
	Number changed to 10.206 (1981U)	10.905
10.871	10.885	Plant Materials for Conservation (1971B)
Small Socially- Disadvantaged Producer Grants (2013)	Animal Health and Disease Research (1980B)	Split from 10.902 (1971B)
Socially-Disadvantaged Groups Grant (2015)	Number changed to 10.207 (1981U)	Plant Materials for Conservation (2018)
Socially-Disadvantaged Groups Grant (2018)		Plant Materials for Conservation (2019)
Socially-Disadvantaged Groups Grant (2019)	10.886	Plant Materials for Conservation (2020)
Socially-Disadvantaged Groups Grant (2020)	Rural Broadband Access Loans and Loan Guarantees (2005)	Plant Materials for Conservation (2021)
Socially-Disadvantaged Groups Grant (2021)	Rural Broadband Access Loans and Loan Guarantees (2018)	
	Rural Broadband Access Loans and Loan Guarantees (2019)	10.906
	Rural Broadband Access Loans and Loan Guarantees (2020)	River Basin Surveys and Investigations (1971B)
	Rural Broadband Access Loans and Loan Guarantees (2021)	Split from 10.902 (1971B)
10.872	10.890	Consolidated into 10.911 (1996B)
Healthy Food Financing Initiative (2019)	Rural Development Cooperative Agreement Program (2015)	Watershed Surveys and Planning (1997B)
Healthy Food Financing Initiative (2020)	Archived (2016)	Archived (2010)
Healthy Food Financing Initiative (2021)	Reinstated (2016)	10.907
	Rural Development Cooperative Agreement Program (2018)	Snow Survey and Water Supply Forecasting (1971B)
10.874		Split from 10.902 (1971B)
Delta Health Care Services Grant Program (2011)		Snow Survey and Water Supply Forecasting (2018)
Delta Health Care Services Grant Program (2018)		Snow Survey and Water Supply Forecasting (2019)
Delta Health Care Services Grant Program (2019)		Snow Survey and Water Supply Forecasting (2020)
Delta Health Care Services Grant Program (2020)		Snow Survey and Water Supply Forecasting (2021)
Delta Health Care Services Grant Program (2021)		
10.875		10.908
Agricultural Research_Basic and Applied Research (1978B)		Land Inventory and Monitoring (1977B)
Number changed from 10.001 (1978B)		Inventory and Monitoring (1978B)
Number changed to 10.001 (1981U)		Resources Inventory (1983U)
		Consolidated into 10.902 (1990B)
10.876		
Grants for Agricultural Research, Special Research Grants (1978B)		
Number changed from 10.200 (1978B)		
Number changed to 10.200 (1981U)		

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10.909

Resource Appraisal and Program Development (1979B)
Archived (1983U)

10.910

Rural Abandoned Mine Program (1979U1)
Archived (2010)

10.911

Watershed Surveys and Planning Consolidated from 10.904, 10.906 (1996B)
Incorporated into 10.906 (1997B)

10.912

Environmental Quality Incentives Program (1997B)
Environmental Quality Incentives Program (2018)
Environmental Quality Incentives Program (2019)
Environmental Quality Incentives Program (2020)
Environmental Quality Incentives Program (2021)

10.913

Farm Land Protection Program (1997B)
Farmland Protection Program (1997U)
Farm and Ranch Lands Protection Program (2018)
Farm and Ranch Lands Protection Program (2019)
Farm and Ranch Lands Protection Program (2020)
Farm and Ranch Lands Protection Program (2021)

10.914

Wildlife Habitat Incentive Program (1997B)
Wildlife Habitat Incentive Program (2018)
Wildlife Habitat Incentive Program (2019)
Wildlife Habitat Incentive Program (2020)
Wildlife Habitat Incentive Program (2021)

10.915

Fund for Rural America_Small Farmer Outreach Training and Technical Assistance (1998B)
Archived (1999B)

10.916

Watershed Rehabilitation Program (2003B)
Watershed Rehabilitation Program (2018)
Watershed Rehabilitation Program (2019)
Watershed Rehabilitation Program (2020)
Watershed Rehabilitation Program (2021)

10.917

Agricultural Management Assistance (2004)
Agricultural Management Assistance (2018)
Agricultural Management Assistance (2019)
Agricultural Management Assistance (2020)
Agricultural Management Assistance (2021)

10.918

Ground and Surface Water Conservation_Environmental Quality Incentives Program (2004)
Archived (2010)

10.919

Klamath Basin _ Environmental Quality Incentives Program (2004)
Archived (2010)

10.920

Grassland Reserve Program (2004)
Grassland Reserve Program (2018)
Grassland Reserve Program (2019)
Grassland Reserve Program (2020)
Grassland Reserve Program (2021)

10.921

Conservation Security Program (2004)
Conservation Security Program (2018)
Conservation Security Program (2019)
Conservation Security Program (2020)
Conservation Security Program (2021)

10.922

Healthy Forests Reserve Program (HFRP) (2007)
Healthy Forests Reserve Program (HFRP) (2018)
Healthy Forests Reserve Program (HFRP) (2019)
Healthy Forests Reserve Program (HFRP) (2020)
Healthy Forests Reserve Program (HFRP) (2021)

10.923

Emergency Watershed Protection Program (2007)
Emergency Watershed Protection Program (2018)
Emergency Watershed Protection Program (2019)
Emergency Watershed Protection Program (2020)
Emergency Watershed Protection Program (2021)

10.924

Conservation Stewardship Program (2011)
Conservation Stewardship Program (2018)
Conservation Stewardship Program (2019)
Conservation Stewardship Program (2020)
Conservation Stewardship Program (2021)

10.925

Agricultural Water Enhancement Program (2011)
Agricultural Water Enhancement Program (2018)
Agricultural Water Enhancement Program (2019)
Agricultural Water Enhancement Program (2020)
Agricultural Water Enhancement Program (2021)

10.926

Chesapeake Bay Watershed Program (2011)
Chesapeake Bay Watershed Program (2018)
Chesapeake Bay Watershed Program (2019)
Chesapeake Bay Watershed Program (2020)
Chesapeake Bay Watershed Program (2021)

10.927

Emergency Watershed Protection Program - Disaster Relief Appropriations Act (2018)
Emergency Watershed Protection Program - Disaster Relief Appropriations Act (2019)
Emergency Watershed Protection Program - Disaster Relief Appropriations Act (2020)
Emergency Watershed Protection Program - Disaster Relief Appropriations Act (2021)

10.928

Emergency Watershed Protection Program - Floodplain Easements – Disaster Relief Appropriations Act (2013)
Emergency Watershed Protection Program - Floodplain Easements – Disaster Relief Appropriations Act (2018)
Emergency Watershed Protection Program -

Floodplain Easements – Disaster Relief Appropriations Act (2019)
Emergency Watershed Protection Program - Floodplain Easements – Disaster Relief Appropriations Act (2020)
Emergency Watershed Protection Program - Floodplain Easements – Disaster Relief Appropriations Act (2021)

10.929

Water Bank Program (2013)
Water Bank Program (2018)
Water Bank Program (2019)
Water Bank Program (2020)
Water Bank Program (2021)

10.931

Agricultural Conservation Easement Program (2014)
Agricultural Conservation Easement Program (2018)
Agricultural Conservation Easement Program (2019)
Agricultural Conservation Easement Program (2020)
Agricultural Conservation Easement Program (2021)

10.932

Regional Conservation Partnership Program (2014)
Regional Conservation Partnership Program (2018)
Regional Conservation Partnership Program (2019)
Regional Conservation Partnership Program (2019)
Regional Conservation Partnership Program (2020)
Regional Conservation Partnership Program (2021)

10.933

Wetlands Mitigation Banking Program (2015)
Wetlands Mitigation Banking Program (2018)
Wetlands Mitigation Banking Program (2019)
To: Wetland Mitigation Banking Program From: Wetlands Mitigation Banking Program (2020)
Wetland Mitigation Banking Program (2020)
Wetland Mitigation Banking Program (2021)

10.934

Feral Swine Eradication and Control Pilot Program (2019)
Feral Swine Eradication and Control Pilot Program (2020)
Feral Swine Eradication and Control Pilot Program (2021)

10.935

Urban Agriculture and Innovative Production (2020)
Urban Agriculture and Innovative Production (2020)
Urban Agriculture and Innovative Production (2021)

10.950

Agricultural Statistical Reports (1969B)
Number changed to 10.252 (1978B)
Agriculture Statistical Reports (1981U)
Agricultural Statistics Reports (1986U)
Agricultural Statistics Reports (2018)
Agricultural Statistics Reports (2019)
Agricultural Statistics Reports (2020)
Agricultural Statistics Reports (2021)

10.951

Agricultural Statistics (2020)
Agricultural Statistics (2021)

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10.960

Technical Agricultural Assistance (1986B)
 Technical Agricultural Assistance (2018)
 Technical Agricultural Assistance (2018)
 Technical Agricultural Assistance (2019)
 Technical Agricultural Assistance (2019)
 Technical Agricultural Assistance (2020)
 Technical Agricultural Assistance (2021)

10.961

International Agricultural Research_Collaborative Program (1986B)
 International Agricultural Research Program (1991U)
 Scientific Cooperation Program, Incorporated from 10.963 (1999B)
 Scientific Cooperation and Research (2001B)
 Scientific Cooperation and Research (2018)
 Scientific Cooperation and Research (2018)
 Scientific Cooperation and Research (2019)
 Scientific Cooperation and Research (2019)
 Scientific Cooperation and Research (2020)
 Scientific Cooperation and Research (2021)

10.962

International Training_Foreign Participant (1986B)
 Cochran Fellowship Program-International Training-Foreign Participant (2006)
 Cochran Fellowship Program-International Training-Foreign Participant (2018)
 Cochran Fellowship Program-International Training-Foreign Participant (2019)
 Cochran Fellowship Program-International Training-Foreign Participant (2019)
 Cochran Fellowship Program-International Training-Foreign Participant (2020)
 Cochran Fellowship Program-International Training-Foreign Participant (2021)

10.963

Scientific and Technical Cooperation (1990B)
 Incorporated into 10.961 (1999B)

10.994

Peanut Quota Buyout Program (2005)
 Archived (2009)

10.995

Hard White Wheat Incentive Program (2005)
 Archived (2009)

10.998

Long Term Standing Agreements for Storage, Transportation, and Lease (2011)
 Archived (2012)
 Reinstated (2012)
 Archived (2012)

10.999

LONG TERM STANDING AGREEMENTS FOR STORAGE, TRANSPORTATION AND LEASE (2008)
 Archived (2011)
 Reinstated (2012)
 Archived (2016)

COMMERCE, DEPARTMENT OF

Development Highways and Access Roads for the Appalachian Region (1965B)
 Administration and Research in Economic Development Programs in Appalachia (1967B)
 Archived (1969B)

Community Relations Service (1965B)
 Archived (1967B)

Development of Ports (1967B)
 Archived (1969B)

Economic Development Districts (1967B)
 Consolidated into 11.300 (1969B)

Grants and Loans for Public Works and Development Facilities (1967B)
 Consolidated into 11.300 (1969B)

Gross National Product Estimates (1967B)
 Archived (1969B)

High Speed Ground Transportation (1965B)
 Highway Beautification (1965B)
 Transferred/Split into 20.202, 20.203, 20.204 (1970B)

Highway Planning and Construction (1965B)
 Transferred to 20.205 (1970B)

Industry and Commodity Information (1965B)
 Archived (1967B)

Regional Action Planning Commissions (1967B)
 Consolidated into 11.300 (1969B)

State Technical Services Program (1967B)
 Archived (1970B)

Supplements to Federal Grant-in-Aid Programs in Appalachia (1965B)
 Supplemental Grants-in-Aid for Appalachia (1967B)
 Archived (1969B)

11.001

Statistical Data for Small Areas (1965B)
 Census Data (1967B)
 Census and Statistical Reports (1969B)
 Census Bureau Data Products (1980U1)
 Archived (2016)

11.002

Census Data Access and Use Laboratory (1970B)
 Census Data User Services (1972B)
 Census Customer Services (1995U)
 Archived (2016)

11.003

Census Mapping and Statistical Areas (1969B)
 Census Geography (1970B)
 Archived (2016)

11.004

Census Intergovernmental Services (1970B)
 Archived (2016)

11.005

Census Special Tabulations and Services (1969B)

Archived (2016)

11.006

Personal Census Age Search (1967B)
 Personal Census Search (1969B)
 Archived (2016)

11.007

Measures and Analyses of U.S. Economy (1972B)
 Number changed from 11.700 (1972B)
 Number changed to 11.025 (1976U)

11.008

NOAA Mission-Related Education Awards (2009)
 NOAA Mission-Related Education Awards (2019)
 NOAA Mission-Related Education Awards (2019)
 NOAA Mission-Related Education Awards (2020)
 NOAA Mission-Related Education Awards (2020)
 NOAA Mission-Related Education Awards (2020)
 NOAA Mission-Related Education Awards (2021)

11.009

TV Converter Box Coupon Program Consumer Education and Technical Assistance (2009)
 Archived (2010)

11.010

Community Trade Adjustment Assistance (2009)
 Archived (2018)

11.011

Ocean Exploration (2009)
 Ocean Exploration (2019)
 Ocean Exploration (2020)
 Ocean Exploration (2021)

11.012

Integrated Ocean Observing System (IOOS) (2009)
 Integrated Ocean Observing System (IOOS) (2019)
 Integrated Ocean Observing System (IOOS) (2021)
 Integrated Ocean Observing System (IOOS) (2021)

11.013

Education Quality Award Ambassadorship (2009)
 Education Quality Award Ambassadorship (2018)
 Education Quality Award Ambassadorship (2019)
 Education Quality Award Ambassadorship (2020)
 Education Quality Award Ambassadorship (2021)

11.014

Band 14 Incumbent Spectrum Relocation (2015)
 Band 14 Incumbent Spectrum Relocation (2018)
 Band 14 Incumbent Spectrum Relocation (2019)
 Archived (2019)

11.015

Broad Agency Announcement (2015)
 Broad Agency Announcement (2019)
 Broad Agency Announcement (2019)
 Broad Agency Announcement (2020)
 Broad Agency Announcement (2021)

11.016

Statistical, Research, and Methodology Assistance (2016)
 Statistical, Research, and Methodology Assistance

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(2018)		
(2018)		
Statistical, Research, and Methodology Assistance (2018)		
Statistical, Research, and Methodology Assistance (2019)		
Statistical, Research, and Methodology Assistance (2020)		
Statistical, Research, and Methodology Assistance (2021)		
11.017	11.026	11.105
Ocean Acidification Program (OAP) (2016)	National Trade Data Bank (NTDB) (1994B)	Importation of Duty-Free Educational and Scientific Materials (1973B)
Ocean Acidification Program (OAP) (2019)	STAT-USA: Key Business, Economic, and International Trade Information (2006)	Number changed from 11.201 (1973B)
Ocean Acidification Program (OAP) (2020)	Archived (2016)	Archived (1986B)
Ocean Acidification Program (OAP) (2021)		
11.018	11.027	11.106
11.802 (2016)	Business Assistance, Services, and Information (1980B)	Remedies for Unfair Foreign Trade Practices_Antidumping and Countervailing Duty Investigations (2004)
Minority Business Resource Development (2016)	Number changed from 11.104 (1980B)	Archived (2017)
Archived (2016)	Number changed to 11.050 (1980U2)	
	Productivity and Economic Growth (1982B)	
	Number changed to 11.980 (1989B)	
	Economic Bulletin Board (EBB) (1994B)	11.106A
	State of the Nation (2002B)	Trade Adjustment Assistance (1973B)
	Deleted (2006)	Number changed from 11.202 (1973B)
		Number changed to 11.309 (1975U)
	11.028	11.106B
	Connecting Minority Communities Pilot Program (2021)	Antidumping Duties (1980B)
		Transferred from 21.200 (1980B)
		Remedies for Unfair Foreign Trade Practices_Antidumping Duty Investigations (1991B)
		Remedies for Unfair Foreign Trade Practices_Antidumping and Countervailing Duty Investigations (1996U)
		11.107
		Countervailing Duty on Imports (1980B)
		Transferred from 21.201 (1980B)
		Remedies for Unfair Foreign Trade Practices_Countervailing Duty Investigations (1991B)
		Archived (1997B)
		11.108
		International Trade Promotion (1980B)
		Consolidated from 11.101, 11.103 (1980B)
		International Trade (1984B)
		Export Promotion Services (1985B)
		Commercial Service (1995B)
		Archived (2017)
		11.109
		Trade Adjustment Assistance (1980B)
		Archived (1986B)
		Reinstated (1988U)
		Number changed to 11.313 (1991B)
		11.110
		Business Assistance, Services, and Information (1984B)
		Number changed from 11.050 (1984B)
		Trade Development (1985B)
		Manufacturing and Services (2005)
		Manufacturing and Services (2005)
		Industry and Analysis (2016)
		Archived (2017)
		11.111
		Foreign-Trade Zones in the United States (1986U)
		Archived (2017)
		11.112
		Export Promotion_Market Development Cooperator (1994B)
		Export Promotion Market Development Cooperation

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(2002B)

Market Development Cooperator Program (2007)
Market Development Cooperator Program (2018)
Market Development Cooperator Program (2019)
Market Development Cooperator Program (2020)
Market Development Cooperator Program (2021)
Market Development Cooperator Program (2021)

11.113

ITA Special Projects (1995B)
Archived (2016)

11.114

Special American Business Internship Training Program (1997B)

11.115

American Business Center (1998U)
Archived (2001B)

11.150

Export Licensing Service and Information (1988B)
Number changed from 11.100 (1988B)
Export Licensing Service and Information (2019)
Export Licensing Service and Information (2020)
Archived (2020)

11.200

Information and Services to Industrial Community (1967B)
Business Assistance, Services and Information (1969B)
Number changed to 11.104 (1973B)

11.201

Importation of Duty-Free Educational and Scientific Materials (1969B)
Number changed to 11.105 (1973B)

11.202

Trade Adjustment Assistance (1971U)
Number changed to 11.106 (1973B)

11.300

Public Works and Economic Development (1965B)
Economic Development_Grants and Loans for Public Works and Development Facilities (1969B)
Split into 11.300, 11.301, 11.302, 11.303 (1969B)
Archived (1973B)
Reinstated (1973U)
Economic Development_Grants and Loans for Public Works and Development Facilities (1973U)
Economic Development_Grants for Public Works and Development Facilities (1981U)
Economic Development_Grants for Public Works and Infrastructure Development (1995B)
Grants for Public Works and Economic Development (1999B)
Grants for Public Works and Economic Development Facilities (2002B)
Investments for Public Works and Economic Development Facilities (2007)
Investments for Public Works and Economic Development Facilities (2018)
Investments for Public Works and Economic Development Facilities (2019)
Investments for Public Works and Economic

Development Facilities (2020)
Investments for Public Works and Economic Development Facilities (2021)

11.301

Economic Development_Loans for Businesses and Development Companies (1969B)
Split from 11.300 (1969B)
Archived (1973B)
Reinstated (1973U)
Economic Development_Business Development Assistance (1975B)
Archived (1993U)

11.302

Economic Development_Assistance for Distressed Areas (1969B)
Split from 11.300 (1969B)
Economic Development_Planning Assistance (1970B)
Archived (1973B)
Reinstated (1973U)
Economic Development_Support for Planning Organizations (1975B)
Archived (1999B)
Reinstated/Incorporated from 11.305 (2000B)
Economic Development Support for Planning Organizations (2018)
Economic Development Support for Planning Organizations (2019)
Economic Development Support for Planning Organizations (2020)
Economic Development Support for Planning Organizations (2021)

11.303

Economic Development_Technical Assistance Research, and Information (1969B)
Split from 11.300 (1969B)
Economic Development_Technical Assistance (1970B)
Archived (1973B)
Reinstated (1973U)
Economic Development Technical Assistance (2018)
Economic Development Technical Assistance (2019)
Economic Development Technical Assistance (2020)
Economic Development Technical Assistance (2021)

11.304

Economic Development_Public Works Impact Projects (1972B)
Archived (1973B)
Reinstated (1973U)
Economic Development_Public Works Impact Program (1990U)
Archived (1999B)

11.305

Economic Development_State and Local Economic Development Planning (1975B)
Incorporated into 11.302 (2000B)

11.306

Economic Development_District Operational Assistance (1975B)
Archived (1986B)

11.307

Economic Development_Special Economic Development and Adjustment Assistance Program (1975B)
Special Economic Development and Adjustment Assistance Program_Long-Term Economic Deterioration (1979B)
Split into 11.307, 11.311 (1979B)
Special Economic Development and Adjustment Assistance Program_Sudden and Severe or Long-Term Economic Deterioration (1983B)
Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation or Long-Term Economic Deterioration (1984B)
Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation and Long-Term Economic Deterioration (1986U)
Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation (LTED) (1997B)
Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation and Long-Term Economic Deterioration (1997U)
Economic Adjustment Assistance (1999B)
Economic Adjustment Assistance (2018)
Economic Adjustment Assistance (2019)
Economic Adjustment Assistance (2020)
Economic Adjustment Assistance (2021)

11.308

Grants to States for Supplemental and Basic Funding of Titles I, II, and IV Activities (1975U)
Grants to States for Supplemental and Basic Funding of Titles I, II, III, IV and IX Activities (1977B)
Archived (1981U)

11.309

Trade Adjustment Assistance (1975U)
Number changed from 11.106 (1975U)
Archived (1981U)

11.310

Redevelopment Area Loan Program (Section 204) (1977U)
Incorporated into 11.307 (1978B)

11.311

Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation (1979B)
Split from 11.307 (1979B)
Archived (1981U)

11.312

Research and Evaluation Program (1984B)
Research and Evaluation Program (2018)
Research and Evaluation Program (2019)
Research and Evaluation Program (2020)
Research and Evaluation Program (2021)

11.313

Trade Adjustment Assistance Number changed from 11.109 (1991B)

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Trade Adjustment Assistance for Firms (2007)	Cooperative Institute (Inter-Agency Funded Activities) (2021)	(1992B)
Trade Adjustment Assistance for Firms (2018)		Fisheries Obligation Guarantee Program (1994U)
Trade Adjustment Assistance for Firms (2019)		Fisheries Finance Program (1998B)
Trade Adjustment Assistance for Firms (2020)		Fisheries Finance Program (2019)
Trade Adjustment Assistance for Firms (2021)		Fisheries Finance Program (2020)
		Fisheries Finance Program (2021)
11.400	11.406	11.416
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (2004)	Commercial Fisheries Disaster Assistance (1971B)	Jellyfish Control (1971B)
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (2020)	Transferred from 15.002 (1971B)	Transferred from 15.010 (1971B)
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (2021)	Archived (1981B)	Archived (1973B)
11.400A	11.407	11.417
6Geodetic Control Surveys and Tideland Studies (1965B)	Commercial Fisheries Research and Development (1971B)	Sea Grant Institutional Support (1971B)
Geodesy (1970B)	Transferred from 15.003 (1971B)	Transferred from 47.022 (1971B)
Geodetic Control Surveys (1971B)	Interjurisdictional Fisheries Act of 1986 (1987U)	Sea Grant Support (1972B)
	Interjurisdictional Fisheries Act of 1986 (2019)	Sea Grant Support (2019)
	Interjurisdictional Fisheries Act of 1986 (2020)	Sea Grant Support (2020)
	Interjurisdictional Fisheries Act of 1986 (2021)	Sea Grant Support (2021)
11.400B	11.408	11.418
6Geodetic Surveys and Services (1974U)	Current Economic Analysis of Food Fish, Shellfish, and Industrial Fishery Projects (1971B)	Sea Grant Project Support (1971B)
Archived (1989B)	Archived (1973B)	Transferred from 47.023 (1971B)
Reinstated (1991B)	Reinstated (1980B)	Incorporated into 11.417 (1972B)
	Fishermen's Contingency Fund (1980B)	Coastal Zone Management Program Development (1974B)
	Fishermen's Contingency Fund (2019)	Reinstated/Split from 11.417 (1974B)
	Fishermen's Contingency Fund (2021)	Archived (1980B)
	11.409	11.419
	Fisheries Loan Fund (1971B)	Coastal Zone Management Program Administration (1974U)
	Transferred from 15.005 (1971B)	Coastal Zone Management Program Administration Grants (1985B)
	Incorporated into 11.415 (1974B)	Coastal Zone Management Program Implementation and Enhancement Awards (1991B)
	Reinstated/Split from 11.415 (1980B)	Coastal Zone Management Administration Awards (1992B)
	Fishing Vessel and Gear Damage Compensation Fund (1980B)	Coastal Zone Management Administration Awards (2020)
	Archived (1998B)	Coastal Zone Management Administration Awards (2021)
	11.410	11.420
	Fishermen_Reimbursement of Losses (1971B)	Coastal Zone Management Estuarine Sanctuaries (1975B)
	Transferred from 15.004 (1971B)	Coastal Zone Management Estuarine Research Reserves (1986U)
	Fishermen's Guaranty Fund (1981B)	Coastal Zone Management Estuarine Research Reserves (2020)
	Number changed to 19.204 (1987B)	Coastal Zone Management Estuarine Research Reserves (2021)
	11.411	11.421
	Fishery Cooperative Services (1971B)	Coastal Energy Impact Program_Formula Grants (1977U)
	Archived (1986B)	Energy Impact_Formula Grants (1984B)
	11.412	Archived (1986B)
	Fishery Marketing Assistance (1971B)	11.422
	Transferred from 15.006 (1971B)	Coastal Energy Impact Program_Planning Grants (1977U)
	Archived (1973B)	Coastal Energy Impact Grants (1985B)
	11.413	Archived (1986B)
	Fishery Products Inspection and Certification (1971B)	11.423
	Transferred from 15.007 (1971B)	Coastal Energy Impact Program_Loans and
	Fishery Products Inspection and Certification (2019)	
	Fishery Products Inspection and Certification (2021)	
	11.414	
	Fishing Statistics and Market News (1971B)	
	Transferred from 15.008 (1971B)	
	Archived (1973B)	
	11.415	
	Fishing Vessel Mortgage and Loan Insurance (1971B)	
	Transferred from 15.009 (1971B)	
	Fishing Vessel Obligation Guarantees (1973B)	
	Federal Ship Financing Fund Liquidating Account	

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Guarantees (1977U)
Archived (1985U)

11.424

Coastal Energy Impact Program_Environmental Grants (1977U)
Archived (1983B)

11.425

Coastal Energy Impact Program_Outer Continental Shelf State Participation Grants (1980B)
Archived (1985B)

11.426

Ocean Dumping and Monitoring Program (1980B)
Financial Assistance for Marine Pollution Research (1981B)
Archived (1990B)
Reinstated (1991B)
Financial Assistance for National Status and Trends Programs (1991B)
Financial Assistance for Ocean Resources Conservation and Assessment Program (1991U)
Financial Assistance for National Centers for Central Coastal Ocean Science (1999B)
Financial Assistance for National Centers for Coastal Ocean Science (1999U)
Financial Assistance for National Centers for Coastal Ocean Science (2020)
Financial Assistance for National Centers for Coastal Ocean Science (2021)

11.427

Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (1980B)
Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (2019)
Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (2020)
Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (2021)

11.428

Intergovernmental Climate_Demonstration Project (1980U1)
Intergovernmental Climate_Programs (1983U)
Intergovernmental Climate_Program (1995U)
Intergovernmental Climate_Program (NESDIS) (1999B)
Archived (2007)

11.429

Marine Sanctuary Program (1985B)
Marine Sanctuary Program (2020)
Marine Sanctuary Program (2021)

11.430

Undersea Research (1987B)
Archived (2017)

11.431

Climate and Atmospheric Research (1987B)
Climate and Research (1992B)

Split into 11.459 (1992B)
Climate and Atmospheric Research (1992U)
Climate and Atmospheric Research (2019)
Climate and Atmospheric Research (2020)
Climate and Atmospheric Research (2021)

11.432

Environmental Research Laboratories Joint Institutes (1990B)
Environmental Research Laboratories Cooperative Institutes (1992B)
Office of Oceanic and Atmospheric Research (OAR) Cooperative Institutes (1999U)
Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes (2001B)
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (2010)
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (2019)
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (2020)
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (2021)

11.433

Marine Fisheries Initiative (1990U)
Marine Fisheries Initiative (2019)
Marine Fisheries Initiative (2020)
Marine Fisheries Initiative (2021)

11.434

Cooperative Fishery Statistics (1991B)
Cooperative Fishery Statistics (2019)
Cooperative Fishery Statistics (2020)
Cooperative Fishery Statistics (2021)

11.435

Southeast Area Monitoring and Assessment Program (1991B)
Southeast Area Monitoring and Assessment Program (2019)
Southeast Area Monitoring and Assessment Program (2020)
Southeast Area Monitoring and Assessment Program (2021)

11.436

Columbia River Fisheries Development Program (1991B)
Columbia River Fisheries Development Program (2019)
Columbia River Fisheries Development Program (2020)
Columbia River Fisheries Development Program (2021)

11.437

West Coast Fisheries Data Program (1991B)
Pacific Fisheries Data Program (1995B)
Pacific Fisheries Data Program (2019)
Pacific Fisheries Data Program (2020)
Pacific Fisheries Data Program (2021)

11.438

Pacific Salmon Treaty Program (1991B)
Pacific Coast Salmon Recovery_Pacific Salmon Treaty Program (2000B)

Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (2019)
Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (2020)
Pacific Coast Salmon Recovery Pacific Salmon Treaty Program (2021)

11.439

Marine Mammal Data Program (1991B)
Marine Mammal Data Program (2019)
Marine Mammal Data Program (2020)
Marine Mammal Data Program (2021)

11.440

Research in Remote Sensing of the Earth and Environment (1991B)
Environmental Sciences, Applications, Data, and Education (2002B)
Environmental Sciences, Applications, Data, and Education (2019)
Environmental Sciences, Applications, Data, and Education (2020)
Environmental Sciences, Applications, Data, and Education (2021)

11.441

Regional Fishery Management Councils (1991U)
Regional Fishery Management Councils (2019)
Regional Fishery Management Councils (2020)
Regional Fishery Management Councils (2021)

11.442

Research in Oceanographic Data Base Management (1991U)
Archived (1999B)

11.443

Short-Term Climate Fluctuations (1992U)
Short_Term Climate Fluctuations (1995B)
Short Term Climate Fluctuations (1995U)
Archived (2012)

11.444

Mahimahi Aquaculture (1991U)
Aquaculture Program (1994U)
Direct Housing_Natural Disaster Loans and Grants (1999B)
Aquaculture Program (1999U)
Hawaii Sustainable Fisheries Development (Aquaculture Program) (2004)
Archived (2012)

11.445

Stock Enhancement of Marine Fish in the State of Hawaii (1991U)
Hawaii Stock Management (2001B)
Hawaii Stock Management Program (2002B)
Archived (2012)

11.446

Antarctic Marine Living Resources (1991U)
Archived (1999B)

11.448

Federal/State Cooperative Program in Atmospheric Modification Research (1992B)
Federal/State Cooperative Program in Atmospheric

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Research (1995B) Archived (1997B) Reinstated (1998B) Archived (1998U)	Special Oceanic and Atmospheric Projects (2019) Special Oceanic and Atmospheric Projects (2020) Special Oceanic and Atmospheric Projects (2021)	11.473 Coastal Ecosystem Health (1995U) Coastal Services Center (1997B) Office for Coastal Management (2015) Office for Coastal Management (2021)
11.449 Independent Education and Science Projects and Programs (1992B) Archived (2012)	11.461 Cooperative Institute (1992B) Archived (1994U)	11.474 Atlantic Coastal Fisheries Cooperative Management Act (1995B) Atlantic Coastal Fisheries Cooperative Management Act (2019) Atlantic Coastal Fisheries Cooperative Management Act (2020) Atlantic Coastal Fisheries Cooperative Management Act (2021)
11.450 Integrated Flood Observing and Warning System (IFLOWS) (1993B) Archived (2012)	11.462 Hydrologic Research (1994B) Hydrologic Research (2021)	11.477 Fisheries Disaster Relief (1999B) Archived (2012) Reinstated (2019) Fisheries Disaster Relief (2021)
11.451 Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (2014) Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (2020) Gulf Coast Ecosystem Restoration Science, Observation, Monitoring, and Technology (2021)	11.463 Habitat Conservation (1993B) Habitat Conservation (2019) Habitat Conservation (2020) Habitat Conservation (2021)	11.478 Coastal Ocean Program (1998B) Center for Sponsored Coastal Ocean Research_Coastal Ocean Program (2000B) Center for Sponsored Coastal Ocean Research Coastal Ocean Program (2021)
11.452 Unallied Industry Projects (1993U) Unallied Industry Projects (2019) Unallied Industry Projects (2020) Unallied Industry Projects (2021)	11.464 Marine Research_Regional Programs (1993B) Archived (1997B)	11.480 National Ocean Service Intern Program (1999B) Archived (2009) Reinstated (2017) National Ocean Service Intern Program (2020) National Ocean Service Intern Program (2021)
11.454 Unallied Management Projects (1993B) Unallied Management Projects (2019) Unallied Management Projects (2020) Unallied Management Projects (2021)	11.466 Marine Instrumentation Special Projects (1993B) Archived (1994B)	11.481 Educational Partnership Program (2001U) Educational Partnership Program (2019) Educational Partnership Program (2020) Educational Partnership Program (2021)
11.455 Cooperative Science and Education Program (1993U) Archived (2012) Reinstated (2013) Cooperative Science and Education Program (2019) Cooperative Science and Education Program (2020) Cooperative Science and Education Program (2021)	11.467 Meteorologic and Hydrologic Modernization Development (1993U) Meteorologic and Hydrologic Modernization Development (2021)	11.482 Coral Reef Conservation Program (2010) Coral Reef Conservation Program (2020) Coral Reef Conservation Program (2021)
11.457 Chesapeake Bay Studies (1992B) Chesapeake Bay Studies (2019) Chesapeake Bay Studies (2020) Chesapeake Bay Studies (2021)	11.468 Applied Meteorology Research (1993B) Collaborative Applied Hydrometeorological Research Activities (1993U) Cooperative Institute for Applied Meteorological Studies (CIAMS) and Cooperative Institute for Tropical Meteorology (CITM) (1994B) Applied Meteorological Research (2002B) Applied Meteorological Research (2020) Applied Meteorological Research (2021)	11.483 NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (2013) NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (2019) NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (2020) NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction (2021)
11.458 Alaska Salmon Enhancement (1993B) Deleted (2004) Consolidated into 11.438 (2004)	11.469 Congressionally Identified Construction Projects (1993B) Congressionally Identified Awards and Projects (2004) Congressionally Identified Awards and Projects (2019) Congressionally Identified Awards and Projects (2020) Congressionally Identified Awards and Projects (2021)	11.500 Construction_Differential Subsidies (1970B) Number changed to 20.800 (1981U)
11.459 Climate and Air Quality Research (1992B) Split from 11.431 (1992B) Weather and Air Quality Research (2002B) Weather and Air Quality Research (2019) Weather and Air Quality Research (2020) Weather and Air Quality Research (2021)	11.470 Office of Administration Special Programs (1993U) Deleted (2004) Consolidated into 11.481 (2004)	11.501 Marine Development and Promotion Activities (1969B) Development and Promotion of Ports and Intermodal Transport Systems (1970B)
11.460 Congressionally Identified Program Needs (1992B) Special Oceanic and Atmospheric Projects (1992U) Special Oceanic and Atmospheric Projects (2019)	11.472 Unallied Science Program (1994U) Unallied Science Program (2019) Unallied Science Program (2020) Unallied Science Program (2021)	

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Development and Promotion of Ports and Intermodal Transportation (1975B)
Number changed to 20.801 (1981U)

11.502

Aids to Shipping and Shipbuilding (1967B)
Shipping and Shipbuilding Assistance (1969B)
Federal Ship Mortgage Insurance (1970B)
Federal Ship Financing Guarantees (1973B)
Number changed to 20.802 (1981U)

11.503

Maritime War Risk Insurance (1970B)
Number changed to 20.803 (1981U)

11.504

Operating Differential Subsidies (1970B)
Number changed to 20.804 (1981U)

11.505

Ship Exchange Program (1967B)
Ships Exchange and Sales (1969B)
Ship Sales (1972U)
Number changed to 20.805 (1981U)

11.506

State Marine Schools (1967B)
Number changed to 20.806 (1981U)

11.507

Maritime Manpower Training (1969B)
U.S. Merchant Marine Academy (1970B)
Number changed to 20.807 (1981U)

11.508

Capital Construction Fund (1973U)
Number changed to 20.808 (1981U)

11.509

Development and Promotion of Domestic Waterborne Transport Systems (1974U)
Number changed to 20.809 (1981U)

11.510

Supplementary Training (1980U2)
Number changed to 20.810 (1981U)

11.511

Research and Development Assistance (1981B)
Number changed to 20.811 (1981U)

11.512

Construction Reserve Fund (1981B)
Number changed to 20.812 (1981U)

11.549

State and Local Implementation Grant Program (2012)
State and Local Implementation Grant Program (2018)
State and Local Implementation Grant Program (2019)
State and Local Implementation Grant Program (2020)
State and Local Implementation Grant Program (2021)

11.550

Public Telecommunications Facilities (1979B)
Transferred from 13.413 (1979B)
Public Telecommunications Facilities_Construction and Planning (1986B)
Public Telecommunications Facilities_Planning and Construction (1994B)
Public Telecommunications Facilities Planning and Construction (2004)
Archived (2013)
Reinstated (2014)
Reinstated (2014)
Public Telecommunications Facilities Planning and Construction (2018)
Archived (2019)

11.551

Public Telecommunications Services Program (1980U1)
Archived (1983B)
National Endowment for Children's Educational Television (1993U)
Archived (1997B)

11.552

Telecommunications and Information Infrastructure Assistance Program (1994B)
Technology Opportunities (2000B)
Technology Opportunities Program (2004)
Archived (2006)

11.553

NYC 9/11 Digital Television Program (2008)
Archived (2012)
Reinstated (2014)
Special Projects (2018)
Special Projects (2019)
Special Projects (2020)
Special Projects (2021)

11.554

Low-Power Television and Translator Digital-to-Analog Conversion (2007)
Archived (2010)

11.555

Public Safety Interoperable Communications Grant Program (2008)
Archived (2010)
Reinstated (2010)
Archived (2013)

11.556

TV Converter Box Coupon Program (2008)
Archived (2010)

11.557

Broadband Technology Opportunities Program (BTOP) (2009)
Broadband Technology Opportunities Program (BTOP) (2019)
Broadband Technology Opportunities Program (BTOP) (2020)
Broadband Technology Opportunities Program (BTOP) (2021)

11.558

State Broadband Data and Development Grant Program (2009)
Archived (2017)

11.559

Low-Power Television and Translator Upgrade Program (2009)
Archived (2013)

11.600

Building Code (Regulations) Assistance to States (1970B)
Archived (1978U)

11.601

Calibration and Testing Services (1970B)
Physical Measurement Services Program (1991U)
Calibration Program (1992B)
Calibration Program (2018)
Calibration Program (2019)
Calibration Program (2020)
Calibration Program (2021)

11.602

Clearinghouse for Scientific and Technical Information (1967B)
Clearinghouse for Federal Scientific and Technical Information (1970B)
Number changed to 11.650 (1971B)

11.603

Standard Reference Data (1969B)
National Standard Reference Data System (1970B)
National Standard Reference Data System (2018)
National Standard Reference Data System (2019)
National Standard Reference Data System (2020)
National Standard Reference Data System (2021)

11.604

Standard Reference Materials (1969B)
Standard Reference Materials (2018)
Standard Reference Materials (2019)
Standard Reference Materials (2020)
Standard Reference Materials (2021)

11.605

State Invention Program (1970B)
Archived (1975U)

11.606

Weights, Measures, and Other Standards (1967B)
Weights and Measures Service (1969B)
Weights and Measures Service (2018)
Weights and Measures Service (2019)
Weights and Measures Service (2020)
Weights and Measures Service (2021)

11.607

Experimental Technology Incentives Program (1973U)
Archived (1975B)

11.608

Fire Research and Safety Program (1974U)
Archived (1978B)

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11.609

Measurement and Engineering Research and Standards (1983B)
 Archived (2015)
 Reinstated (2015)
 Measurement and Engineering Research and Standards (2018)
 Measurement and Engineering Research and Standards (2019)
 Measurement and Engineering Research and Standards (2020)
 Measurement and Engineering Research and Standards (2021)

11.610

National Center for Standards and Certification Information (1986U)
 Standards Information Center (2017)
 Standards Information Center (2018)
 Standards Information Center (2019)
 Standards Information Center (2020)
 Standards Information Center (2021)

11.611

Manufacturing Technology Centers Program (1992U)
 Manufacturing Extension Program (1993B)
 Manufacturing Technology Centers (1993U)
 Manufacturing Extension Partnership (1994B)
 Consolidated from 11.613 (1995B)
 Manufacturing Extension Partnership (2018)
 Manufacturing Extension Partnership (2019)
 Manufacturing Extension Partnership (2020)
 Manufacturing Extension Partnership (2020)
 Manufacturing Extension Partnership (2021)

11.612

Advanced Technology Program (1990B)
 Archived (2009)
 Reinstated (2014)
 Advanced Technology Program (2018)
 Advanced Technology Program (2019)
 Advanced Technology Program (2020)
 Advanced Technology Program (2021)

11.613

State Technology Extension Program (1990B)
 Consolidated into 11.611 (1995B)

11.614

Experimental Program to Stimulate Competitive Technology (1999B)
 Archived (2002B)

11.616

Technology Innovation Program (TIP) (2008)
 Technology Innovation Program (TIP) (2018)
 Technology Innovation Program (TIP) (2019)
 Technology Innovation Program (TIP) (2020)
 Technology Innovation Program (TIP) (2021)

11.617

Congressionally-Identified Projects (2002U)
 Archived (2015)

11.618

National Institute of Standards and Technology

Construction Grant Program (2008)
 Archived (2015)

11.619

Arrangements for Interdisciplinary Research Infrastructure (2013)
 Arrangements for Interdisciplinary Research Infrastructure (2018)
 Arrangements for Interdisciplinary Research Infrastructure (2019)
 Arrangements for Interdisciplinary Research Infrastructure (2020)
 Arrangements for Interdisciplinary Research Infrastructure (2021)

11.620

Science, Technology, Business and/or Education Outreach (2014)
 Science, Technology, Business and/or Education Outreach (2018)
 Science, Technology, Business and/or Education Outreach (2019)
 Science, Technology, Business and/or Education Outreach (2020)
 Science, Technology, Business and/or Education Outreach (2021)

11.650

National Technical Information Service (1971B)
 Number changed from 11.602 (1971B)
 Archived (2013)

11.651

Commerce Summary Statistical Data (1972B)
 Incorporated into 11.650 (1973B)
 Reinstated/Split from 11.650 (1980U1)
 Science Information Exchange, Inc. (1980U1)
 Archived (1983B)

11.700A

6Measures and Analyses of the U.S. Economy (1969B)
 Measures and Analyses of the United States Economy (1971B)
 Number changed to 11.007 (1972B)

11.700B

6Academy Planning Assistance (1977B)
 Transferred to 83.001 (1979B)

11.701

Importation of Duty-Free Education and Scientific Materials (1969B)
 State Fire Incident Reporting Assistance (1977B)
 Transferred to 83.002 (1979B)

11.702

Internship Program for Postsecondary Students (1999B)
 Archived (2013)

11.750

Cooperative Generic Technology Program (1980U2)
 Archived (1982B)

11.800

Minority Business Enterprise (1970B)
 Minority Business Enterprise_Coordination and Technical Assistance (1971B)
 Minority Business Development_Coordination, Management, and Technical Assistance (1973B)
 Minority Business Development_Management and Technical Assistance (1978U)
 Minority Business Development Centers (1986U)
 Split into 11.801, 11.802, 11.803 (1986U)
 Basic Minority Business Development Centers (2000B)
 Minority Business Development Centers (2001B)
 Minority Business Enterprise Centers (2006)
 Archived (2012)

11.801

American Indian Program (1986U)
 Native American Program (1993U)
 Native American Business Development Centers (2004)
 Native American Business Enterprise Centers (2006)
 Archived (2016)

11.802

Minority Business and Industry Association_Minority Chambers of Commerce (1986U)
 Minority Business Resource Development (1991U)
 Minority Business Development (1998B)
 Deleted (2004)
 Minority Business Resource Development (2018)
 Minority Business Resource Development (2019)
 Minority Business Resource Development (2020)
 Minority Business Resource Development (2021)

11.803

Minority Business Opportunity Committee (2002B)
 Minority Business Development_State and Local Government Program (1986U)
 Reinstated (2002B)
 Archived (1988B)
 Archived (2012)

11.804

MBDA Business Center - American Indian and Alaska Native (2012)
 MBDA Business Center - American Indian and Alaska Native (2018)
 MBDA Business Center - American Indian and Alaska Native (2019)
 MBDA Business Center - American Indian and Alaska Native (2020)
 MBDA Business Center - American Indian and Alaska Native (2021)

11.805

MBDA Business Center (2010)
 MBDA Business Center (2018)
 MBDA Business Center (2019)
 MBDA Business Center (2020)
 MBDA Business Center (2021)

11.806

Minority Business Opportunity Committee Development (2000B)
 Minority Business Opportunity Committee (2002B)
 Archived (2003B)

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11.900

Patent and Trademark Assistance (1969B)
Patent and Trademark Technical Information Dissemination (1970B)
Archived (2017)

11.950

Visitor Services (1969B)
Community Visitor Services (1972B)
Archived (1972U)

11.951

Promotion of Travel from Abroad (1972B)
Matching Funds (1974B)
Archived (1973U)
Reinstated (1974B)
Matching Funds (1974B)
Archived (1980U1)

11.975

Telecommunications Management and Analysis (1971U)
Archived (1973B)

11.980

Productivity and Economic Growth Changed from 11.027 (1989B)
Archived (1993B)

11.999

Marine Debris Program (2015)
Marine Debris Program (2021)

DEPT OF DEFENSE

Drainage Improvement (1965B)
Archived (1969B)

Flood, Hurricane or Abnormal Tide Protection (1967B)
Archived (1969B)

Health Benefits for Uniformed Military Servicemen and Dependents (1967B)
Archived (1969B)

Hydroelectric Power Development (1965B)
Archived (1969B)

Information and Guidance on Flood Hazards (1967B)
Archived (1969B)

Military Support of Community Projects (1967B)
Archived (1969B)

Navigation in Rivers and Harbors (1967B)
Archived (1969B)

Nuclear Disaster Planning and Survival (1967B)
Archived (1969B)

Recreation Facilities at Federal Water Resources Projects (1967B)
Archived (1969B)

Water Quality Control (1967B)
Archived (1969B)

Water Resources Development Program (1965B)
Archived (1967B)

Water Resources Investigation (1967B)
Archived (1969B)

Water Supply (1967B)
Archived (1969B)

12.000

Issue of Department Of Defense excess equipment (2005)

12.001

Machine Tool Loans to Vocational Schools. (1965B)
Machine Tool Loan to Educational Institutions (1970B)
Archived (1973B)
Reinstated (1974B)
Industrial Equipment Loans to Educational Institutions (1974B)
Archived (1994B)

12.002

Procurement Technical Assistance for Business Firms (1988B)
Procurement Technical Assistance For Business Firms (2019)
Procurement Technical Assistance For Business Firms (2019)
Procurement Technical Assistance For Business Firms (2020)
Procurement Technical Assistance For Business Firms (2020)
Procurement Technical Assistance For Business Firms (2020)
Procurement Technical Assistance For Business Firms (2021)

12.003

Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (2019)
Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (2020)
Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (2021)
Community Economic Adjustment Assistance for Responding to Threats to the Resilience of a Military Installation (2021)

12.004

Fort Huachuca Sentinel Landscapes for Military Training (2018)
Fort Huachuca Sentinel Landscapes for Military Training (2020)
Fort Huachuca Sentinel Landscapes for Military Training (2021)

12.005

Conservation and Rehabilitation of Natural Resources on Military Installations (2019)
Conservation and Rehabilitation of Natural Resources on Military Installations (2019)
Conservation and Rehabilitation of Natural Resources on Military Installations (2020)
Conservation and Rehabilitation of Natural Resources

on Military Installations (2020)
Conservation and Rehabilitation of Natural Resources on Military Installations (2021)

12.006

National Defense Education Program (2019)
National Defense Education Program (2020)
National Defense Education Program (2021)

12.007

Military Health Services Research (MHSR) (2020)
Military Health Services Research (MHSR) (2021)

12.008

Dredged Material Containment Area (2020)
Dredged Material Containment Area (2021)

12.009

National Guard Military Construction 12.400 (2020)
Archived (2020)
Reinstated (2021)
National Guard Military Construction 12.400 (2021)
(2021)
Archived (2021)

12.010

Youth Conservation Services (2015)
Youth Conservation Services (2020)
Youth Conservation Services (2021)

12.011

DOD HIV/AIDS Prevention Program (2020)
DOD HIV/AIDS Prevention Program (2021)

12.012

Management of Undersirable Plants on Federal Lands, 7 U.S.C. 2814 (2020)
Archived (2021)

12.013

Defense Civilian Training Corps (DCTC) - Scholarship/Internship (2021)
Defense Civilian Training Corps (DCTC) - Scholarship/Internship (2021)

12.014

OnRampII (2021)
OnRampII (2021)

12.015

Past Conflict Accounting - Vietnam (2021)

12.016

Transportation - DASH 102X and WMATA 7M Shuttles (2021)

12.017

Readiness and Environmental Protection Integration (REPI) Program (2021)

12.018

Science, Technology, Engineering, and Mathematics (STEM) Educational Outreach Programs Grant (2021)

12.100

Aquatic Plant Control (1967B)

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Archived (2019)	Archived (2021)	12.124
Reinstated (2020)		Mississippi Environmental Infrastructure (Section 592) - ARRA (2010)
Archived (2021)		Archived (2016)
12.101	12.111	12.125
Beach Erosion Control (1967B)	Flood Prevention (1983U)	Southern WV Environmental Infrastructure (Section 340) - ARRA (2010)
Beach Erosion Control Projects (1971B)	Emergency Advance Measures for Flood Prevention (1985U)	Archived (2016)
Archived (2019)	Archived (2019)	
12.102	12.112	12.126
Flood Control Works and Federally Authorized Coastal Protection Works, Rehabilitation (1984U)	Payments to States (1986B)	Central WV Environmental Infrastructure (Section 571) - ARRA (2010)
Emergency Rehabilitation of Flood Control Works and Federally Authorized Coastal Protection Works (1985U)	Payments to States in Lieu of Real Estate Taxes (1987B)	Archived (2016)
Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works (1986U)	Archived (2019)	
Archived (2019)	12.113	12.127
12.103	State Memorandum of Agreement Program for the Reimbursement of Technical Services (1991B)	Southern and Eastern KY Environmental Infrastructure (Section 531) - ARRA (2010)
Emergency Operations (1965B)	State Memorandum of Agreement Program for the Reimbursement of Technical Services (2021)	Archived (2016)
Emergency Flood and Hurricane Operations (1969B)	12.114	12.128
Flood Fighting and Rescue Operations, and Emergency Protection of Coastal Protective Works Federally Authorized (1970B)	Collaborative Research and Development (1994U)	Florida Keys Water Quality Improvement Program (Section 109) - ARRA (2010)
Flood Fighting and Rescue Operations (1986U)	Collaborative Research and Development (2021)	Archived (2016)
Emergency Operations Flood Response and Post Flood Response (1987U)	12.116	12.129
Archived (2019)	DEPARTMENT OF DEFENSE APPROPRIATION ACT 2003 (2003B)	Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota (2010)
12.104	Department of Defense Appropriation Act of 2003 (2021)	Archived (2019)
Flood Control (1965B)	12.117	12.130
Flood Plain Management Services (1969B)	ARRA Cooperative Agreements, New Mexico (2010)	Estuary Habitat Restoration Program (2012)
Flood Plain Management Services (2021)	Archived (2016)	Archived (2021)
Archived (2021)	12.118	12.200
12.105	North Dakota Environmental Infrastructure (Section 594) - ARRA (2010)	Memorial Plots in National Cemeteries (1970B)
Protection of Essential Highways, Highway Bridge Approaches, and Public Works (1970B)	Archived (2016)	Transferred to 64.200 (1973U)
Archived (2019)	12.119	12.201
12.106	Northern Wisconsin Environmental Infrastructure (Section 154) - ARRA (2010)	National Cemeteries (1969B)
Small Flood Control Projects (1970B)	Archived (2016)	Transferred to 64.201 (1973U)
Flood Control Projects (1971B)	12.120	12.202
Archived (2019)	Northeastern Minnesota Environmental Infrastructure (Section 569) - ARRA (2010)	Procurement of Headstones and Markers (1970B)
12.107	Archived (2016)	Transferred to 64.202 (1973U)
Limited Water Resources Development Projects (1967B)	12.121	12.217
Small Navigation Projects (1969B)	Montana, Nevada, New Mexico, Utah, Idaho, & Wyoming Environmental Infrastructure (Section 595) - ARRA (2010)	Electronic Absentee Systems for Elections (2011)
Navigation Projects (1971B)	Archived (2016)	Archived (2017)
Archived (2019)	12.122	12.218
12.108	Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota - ARRA (2010)	FVAP Policy Clearinghouse (2013)
Snagging and Clearing for Flood Control (1970B)	Archived (2016)	Archived (2017)
Archived (2019)	12.123	12.219
12.109	South Central Pennsylvania Environmental Infrastructure (Section 313) - ARRA (2010)	EASE 2.0 (2013)
Snagging and Clearing for Navigation (1970U)	Archived (2016)	EASE 2.0 (2019)
Protection, Clearing and Straightening Channels (1978B)		EASE 2.0 (2021)
Archived (2019)		12.225
12.110		Commercial Technologies for Maintenance Activities Program (2013)
Planning Assistance to States (1975U)		Commercial Technologies for Maintenance Activities Program (2018)
		Commercial Technologies for Maintenance Activities

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Program (2019) Commercial Technologies for Maintenance Activities Program (2020) Commercial Technologies for Maintenance Activities Program (2021)	12.310 Inspection, Maintenance, and Calibration of Civil Defense Radiological Equipment (1970B) Civil Defense_Radiological Systems Maintenance and Calibration of Civil Defense Radiological Equipment (1974B) Civil Defense_Radiological Systems Maintenance and Training of Radiological Personnel (1976U) Transferred to 83.206 (1980B)	Civil Defense_State and Local Supporting Systems Equipment (1971B) Civil Defense_State and Local Supporting Materials (1977B) Archived (1980B)
12.234 Civil Defense_Architect/Engineer Student Development (1972U)		12.322 Civil Defense_Loans of Excess Federal Personal Property (1971B) Civil Defense_Contributions Project Loan Program (1973B) Archived (1980B)
12.300 Natural Disasters_Warning, Survival and Rehabilitation (1967B) Civil Defense (1969B) Civil Defense_Architect/Engineer Faculty Development (1970B) Archived (1975B) Basic and Applied Scientific Research (2019) Basic and Applied Scientific Research (2020) Basic and Applied Scientific Research (2021)	12.311 Civil Defense_Marking and Stocking of Public Shelters (1970B) Archived (1971U)	12.323 Civil Defense_Education (1971) Consolidated into 12.325 (1974B)
12.301 Civil Defense_Architect/Engineer Professional Development (1970B) Archived (1977B) Basic and Applied Scientific Research, Reinstated (1999B) Archived (2002B)	12.312 Civil Defense_National Fallout Shelter Survey (1970B) Civil Defense_National Shelter Survey (1975B) Transferred to 83.207 (1980B)	12.324 Civil Defense_Architect/Engineer Student Development (1972U) Transferred to 83.401 (1980B)
12.302 Civil Defense_Community Action (1970B) Civil Defense_Community Preparedness (1974B) Archived (1977B)	12.313 Civil Defense_National Organizations Liaison (1970B) Archived (1973B)	12.325 Civil Defense_State and Local Civil Preparedness Instruction Program (1974B) Consolidated from 12.320, 12.323 (1974B) Archived (1977B)
12.303 Civil Defense_Community Involvement (1970B) Incorporated into 12.302 (1971B)	12.314 Civil Defense_Staff College (1970B) Transferred to 83.400 (1980B)	12.330 Science, Technology, Engineering & Mathematics (STEM) for K-12 & Institutions of Higher Learning-NAVY (2011) Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (2014) Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (2019) Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (2019) Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (2020) Science, Technology, Engineering & Mathematics (STEM) Education, Outreach and Workforce Program (2021)
12.304 Civil Defense_Community Shelter Planning (1970B) Archived (1973B)	12.315 Civil Defense_Personnel and Administrative Expenses (1970B) Civil Defense_State and Local Management (1977B) Transferred to 83.200 (1980B)	
12.305 Civil Defense_Emergency Operating Centers (1970B) Civil Defense_State and Local Emergency Operating Centers (1977B) Archived (1979B)	12.316 Civil Defense_Radiation Shielding Design and Advisory Services (1970B) Civil Defense_Radiation Shielding Design (1978B) Deleted, Transferred to 83.208 (1980B)	
12.306 Civil Defense_Emergency Water Supply Equipment (1970B) Archived (1976U)	12.317 Civil Defense_Radiological Equipment Donation (1970B) Split from 12.300 (1970B) Archived (1974U)	12.335 Navy Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance (2011)
12.307 Civil Defense_Exhibits (1970B) Archived (1975B)	12.318 Civil Defense_Shelter Information (1970B) Archived (1973B)	12.340 Naval Medical Research and Development (2011) Naval Medical Research and Development (2019) Naval Medical Research and Development (2020) Naval Medical Research and Development (2021)
12.308 Civil Defense_Federal Surplus Personal Property Donations (1970B) Archived (1977U)	12.319 Civil Defense_Survival Supplies, Equipment and Training (1970B) Civil Defense_System Maintenance Services (1972B) Civil Defense_State and Local Maintenance and Services (1977B) Transferred to 83.201 (1980B)	12.350 Department of Defense HIV/AIDS Prevention Program (2006) Department of Defense HIV/AIDS Prevention Program (2021)
12.309 Civil Defense_Industrial Participation (1970B) Transferred to 83.205 (1980B)	12.320 Civil Defense_University Extension (1970B) Consolidated into 12.325 (1974B)	12.351 Basic Scientific Research - Combating Weapons of

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- Mass Destruction (2007)
Scientific Research - Combating Weapons of Mass Destruction (2016)
Scientific Research - Combating Weapons of Mass Destruction (2018)
Scientific Research - Combating Weapons of Mass Destruction (2019)
Scientific Research - Combating Weapons of Mass Destruction (2019)
Scientific Research - Combating Weapons of Mass Destruction (2019)
Scientific Research - Combating Weapons of Mass Destruction (2020)
Scientific Research - Combating Weapons of Mass Destruction (2021)
- 12.352**
Scientific Research - Combating Weapons of Mass Destruction (2007)
Archived (2016)
- 12.355**
Pest Management and Vector Control Research (2015)
Pest Management and Vector Control Research (2018)
Pest Management and Vector Control Research (2019)
Pest Management and Vector Control Research (2020)
Pest Management and Vector Control Research (2021)
Pest Management and Vector Control Research (2021)
- 12.357**
ROTC Language and Culture Training Grants (2010)
ROTC Language and Culture Training Grants (2018)
ROTC Language and Culture Training Grants (2021)
ROTC Language and Culture Training Grants (2021)
- 12.360**
Research on Chemical and Biological Defense (2007)
Research on Chemical and Biological Defense (2018)
Research on Chemical and Biological Defense (2019)
Research on Chemical and Biological Defense (2020)
Research on Chemical and Biological Defense (2021)
Research on Chemical and Biological Defense (2021)
- 12.369**
Marine Corps Systems Command Federal Assistance Program (2011)
(2018)
Marine Corps Systems Command Federal Assistance Program (2020)
Marine Corps Systems Command Federal Assistance Program (2021)
- 12.400**
National Guard Armories (1967B)
Construction Program, Army National Guard (1970B)
Construction, Army National Guard (1971B)
Military Construction, Army National Guard (1974B)
Military Construction, National Guard (1994B)
Military Construction, National Guard (2018)
- Military Construction, National Guard (2019)
Military Construction, National Guard (2020)
Military Construction, National Guard (2021)
Military Construction, National Guard (2021)
- 12.401**
National Guard Military Operations and Maintenance (O&M) Projects (1996B)
National Guard Military Operations and Maintenance (O&M) Projects (2019)
National Guard Military Operations and Maintenance (O&M) Projects (2020)
National Guard Military Operations and Maintenance (O&M) Projects (2021)
National Guard Military Operations and Maintenance (O&M) Projects (2021)
National Guard Military Operations and Maintenance (O&M) Projects (2021)
- 12.404**
National Guard Civilian Youth Opportunities (2001B)
National Guard ChalleNGe Program (2010)
National Guard ChalleNGe Program (2018)
National Guard ChalleNGe Program (2020)
National Guard ChalleNGe Program (2021)
National Guard ChalleNGe Program (2021)
- 12.420**
Military Medical Research and Development (1991B)
Military Medical Research and Development (2019)
Military Medical Research and Development (2020)
(2020)
Military Medical Research and Development (2020)
Military Medical Research and Development (2021)
(2021)
Military Medical Research and Development (2021)
- 12.431**
Basic Scientific Research (1992U)
Basic Scientific Research (2019)
Basic Scientific Research (2021)
- 12.432**
U.S. Military Academy Athletic Programs at West Point (2016)
U.S. Military Academy Athletic Programs at West Point (2019)
U.S. Military Academy Athletic Programs at West Point (2021)
- 12.440**
Dissertation Year Fellowship (2013)
Dissertation Year Fellowship (2020)
Dissertation Year Fellowship (2021)
- 12.450**
Fort Huachuca Environmental Training (2021)
Archived (2021)
- 12.460**
Fisher House Foundation (2017)
Fisher House Foundation (2019)
Fisher House Foundation (2020)
Fisher House Foundation (2021)
- 12.500**
U.S. Soldiers Home (1970B)
U.S. Soldiers' and Airmen's Home (1972U)
U.S. Soldiers' and Airmen's Home (USSAH) and U.S. Naval Home (USNH) (1992B)
Archived (1994B)
- 12.501**
Training and Support – Combating Weapons of Mass Destruction (2019)
(2019)
Training and Support – Combating Weapons of Mass Destruction (2019)
Training and Support – Combating Weapons of Mass Destruction (2020)
Training and Support – Combating Weapons of Mass Destruction (2021)
- 12.550**
International Education_U.S. Colleges and Universities (1996U)
National Flagship Language Program Grants To U.S. Institutions Of Higher Education (2006)
The Language Flagship Grants to Institutions of Higher Education (2011)
The Language Flagship Grants to Institutions of Higher Education (2018)
The Language Flagship Grants to Institutions of Higher Education (2021)
(2021)
The Language Flagship Grants to Institutions of Higher Education (2021)
- 12.551**
National Security Education_Scholarships (1998B)
National Security Education Program David L. Boren Scholarships (2006)
National Security Education Program David L. Boren Scholarships (2018)
National Security Education Program David L. Boren Scholarships (2019)
National Security Education Program David L. Boren Scholarships (2021)
- 12.552**
National Security Education_Fellowships (1998B)
National Security Education Program David L. Boren Fellowships (2006)
National Security Education Program David L. Boren Fellowships (2006)
National Security Education Program David L. Boren Fellowships (2018)
National Security Education Program David L. Boren Fellowships (2019)
National Security Education Program David L. Boren Fellowships (2021)
- 12.553**
National Flagship Language Program (NFLP) Fellowships (2006)
National Flagship Language Program Fellowships (2006)
The Language Flagship Fellowships (2011)
Archived (2017)
- 12.554**
English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (2006)

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- Archived (2017)
Reinstated (2019)
English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (2021) (2021)
- 12.555**
English for Heritage Language Speakers Scholarships (2006)
English for Heritage Language Speakers Scholarships (2018)
English for Heritage Language Speakers Scholarships (2019)
Archived (2021)
- 12.556**
Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (2009)
Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (2018)
Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (2019)
Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (2020)
Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (2021)
- 12.557**
Invitational Grants for Military-Connected Schools (2009)
Invitational Grants for Military-Connected Schools (2018)
Invitational Grants for Military-Connected Schools (2019)
Invitational Grants for Military-Connected Schools (2020)
Invitational Grants for Military-Connected Schools (2021)
- 12.558**
Department of Defense Impact Aid (Supplement, CWSD, BRAC) (2009)
Department of Defense Impact Aid (Supplement, CWSD, BRAC) (2018)
Department of Defense Impact Aid (Supplement, CWSD, BRAC) (2019)
Department of Defense Impact Aid (Supplement, CWSD, BRAC) (2020)
Department of Defense Impact Aid (Supplement, CWSD, BRAC) (2020)
Department of Defense Impact Aid (Supplement, CWSD, BRAC) (2021)
- 12.560**
DOD, NDEP, DOTC-STEM Education Outreach Implementation (2010)
DOD, NDEP, DOTC-STEM Education Outreach Implementation (2019)
DOD, NDEP, DOTC-STEM Education Outreach Implementation (2020)
DOD, NDEP, DOTC-STEM Education Outreach Implementation (2020)
DOD, NDEP, DOTC-STEM Education Outreach Implementation (2020)
DOD, NDEP, DOTC-STEM Education Outreach Implementation (2021)
- 12.561**
Community Partners in Suicide Prevention (2015)
Community Partners in Suicide Prevention (2018)
Archived (2019)
- 12.579**
Language Training Center (2012)
Language Training Center (2018)
Language Training Center (2021)
Language Training Center (2021)
- 12.582**
Office for Reintegration Programs (2017)
Office for Reintegration Programs (2018)
Archived (2019)
- 12.597**
Task Force for Business & Stability Operations (2011)
Archived (2017)
- 12.598**
Centers for Academic Excellence (2012)
Centers for Academic Excellence (2018)
Centers for Academic Excellence (2019)
Centers for Academic Excellence (2020)
Archived (2021)
- 12.599**
Congressionally Directed Assistance (2010)
Congressionally Directed Assistance (2018)
Congressionally Directed Assistance (2021)
- 12.600**
Community Economic Adjustment Program (1965B)
Community Economic Adjustment (1969B)
Deleted (2007)
Special Assistance (2012)
Community Investment (2014)
Community Investment (2018)
Community Investment (2018)
Community Investment (2019)
Community Investment (2020)
Community Investment (2021)
- 12.601**
Procurement in Labor Surplus Areas (1965B)
Military Procurement from Labor Surplus Areas (1967B)
Contracting With Labor Surplus Area Concerns Program (1970B)
Contracting with Labor Surplus Area Concerns (1971B)
Archived (1973U)
- 12.602**
Small Business Prime Contracting (1970B)
Archived (1973U)
- 12.603**
Small Business Subcontracting Program (1970B)
Small Business Subcontracting (1971B)
Archived (1973U)
- 12.604**
Community Economic Adjustment (2012)
Community Economic Adjustment Assistance for Reductions in Defense Spending (2015)
Community Economic Adjustment Assistance for Reductions in Defense Spending (2018)
Community Economic Adjustment Assistance for Reductions in Defense Spending (2018)
Community Economic Adjustment Assistance for Reductions in Defense Spending (2019)
Community Economic Adjustment Assistance for Reductions in Defense Spending (2020)
Community Economic Adjustment Assistance for Reductions in Defense Spending (2021)
- 12.605**
Small Business Subcontracting (1973B)
Archived (1973U)
- 12.606**
Selected Reserve Educational Assistance Program (1980U1)
Archived (1985U)
- 12.607**
Military Base Reuse Studies and Community Planning Assistance (1985B)
Community Economic Adjustment Planning Assistance (1994B)
COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR ESTABLISHMENT, EXPANSION, REALIGNMENT, OR CLOSURE OF A MILITARY INSTALLATION (2007)
Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (2016)
Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (2018)
Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (2019)
Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (2020)
Community Economic Adjustment Assistance for Realignment or Closure of a Military Installation (2021)
- 12.608**
Impact Assistance for Areas Affected by the East Coast Trident Program (1985B)
Archived (1994B)
- 12.609**
Selected Reserve Educational Assistance Program (1985U)
Archived (1994B)
- 12.610**
Joint Military/Community Comprehensive Land Use Plans (1989B)
Joint Land Use Studies (1991U)
Community Economic Adjustment Planning Assistance for Joint Land Use Studies (2004)
Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (2012)
Community Economic Adjustment Assistance for

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Compatible Use and Joint Land Use Studies (2018)

Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (2019)
Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (2020)
Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (2021)
Community Economic Adjustment Assistance for Compatible Use and Joint Land Use Studies (2021)

12.611

Community Planning Assistance for Defense Industry Adjustments (1992B)
Community Economic Adjustment Planning Assistance Reduction in Defense Industry Employment (1994B)
Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (2012)
Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (2018)
Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (2019)
Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (2019)
Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (2020)
Community Economic Adjustment Assistance for Reductions in Defense Industry Employment (2021)

12.612

Community Base Reuse Plans (1994B)

12.613

Growth Management Planning Assistance (1994B)

12.614

Community Economic Adjustment Assistance for Advance Planning (2005)
COMMUNITY ECONOMIC ADJUSTMENT DIVERSIFICATION PLANNING (2007)
Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (2012)
Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (2018)
Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (2018)
Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (2019)
Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (2019)
Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (2020)
Community Economic Adjustment Assistance for Advance Planning and Economic Diversification (2021)

12.615

RESEARCH AND TECHNICAL ASSISTANCE (2008)
Research and Technical Assistance (2018)
Research and Technical Assistance (2018)

Research and Technical Assistance (2019)
Research and Technical Assistance (2020)
Research and Technical Assistance (2021)

12.617

Economic Adjustment Assistance for State Governments (2014)
Economic Adjustment Assistance for State Governments (2018)
Economic Adjustment Assistance for State Governments (2018)
Economic Adjustment Assistance for State Governments (2019)
Economic Adjustment Assistance for State Governments (2019)
Economic Adjustment Assistance for State Governments (2020)
Economic Adjustment Assistance for State Governments (2021)

12.618

Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (2018)
Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (2018)
Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (2018)
Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (2019)
Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (2019)
Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (2020)
Community Economic Adjustment Assistance for Establishment or Expansion of a Military Installation (2021)

12.620

Troops to Teachers Grant Program (2018)
Troops to Teachers Grant Program (2021)

12.630

Basic, Applied, and Advanced Research in Science and Engineering (1994U)
Basic, Applied, and Advanced Research in Science and Engineering (2018)
Basic, Applied, and Advanced Research in Science and Engineering (2019)
Basic, Applied, and Advanced Research in Science and Engineering (2020)
Basic, Applied, and Advanced Research in Science and Engineering (2021)

12.631

Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (2010)
Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (2018)
Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (2021)

12.632

Legacy Resource Management Program (2015)
Legacy Resource Management Program (2018)
Legacy Resource Management Program (2021)

12.640

Integrated Helicopter Design Tools (1998B)

12.700

Donations/Loans of DOD Surplus Property (1971B)
Donations/Loans of Obsolete DOD Property (1981U)
Donations/Loans of Obsolete DOD Property (2021)
Archived (2021)

12.740

Past Conflict Accounting (2018)
Past Conflict Accounting (2019)
Past Conflict Accounting (2020)
Past Conflict Accounting (2021)

12.750

Uniformed Services University Medical Research Projects (2011)
Uniformed Services University Medical Research Projects (2020)
Uniformed Services University Medical Research Projects (2021)

12.777

Defense Production Act Title III (DPA Title III) (2018)
Defense Production Act Title III (DPA Title III) (2019)
Defense Production Act Title III (DPA Title III) (2020)
Defense Production Act Title III (DPA Title III) (2020)
Defense Production Act Title III (DPA Title III) (2020)
Defense Production Act Title III (DPA Title III) (2021)

12.800

Air Force Defense Research Sciences Program (1994B)
Air Force Defense Research Sciences Program (2019)
Air Force Defense Research Sciences Program (2020)
Air Force Defense Research Sciences Program (2021)

12.801

Air Force Academy Athletic Programs (2012)
Air Force Academy Athletic Programs (2018)
Air Force Academy Athletic Programs (2019)
Air Force Academy Athletic Programs (2020)
Air Force Academy Athletic Programs (2021)

12.810

Air Force Medical Research and Development (2015)
Air Force Medical Research and Development (2019)
Air Force Medical Research and Development (2020)
Air Force Medical Research and Development (2021)

12.840

Civil Air Patrol Program (2017)
Civil Air Patrol Program (2018)
Civil Air Patrol Program (2019)
Civil Air Patrol Program (2020)

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Civil Air Patrol Program (2021)	Archived (2002B)	14.001 Flood Insurance (1969B) Transferred to 83.100 (1980B)
12.888 OPA Research Fellowship Program (2017) OPA Research Fellowship Program (2018) Archived (2021)	12.987 Upper San Pedro Partnership Support (2016) Upper San Pedro Partnership Support (2020) Upper San Pedro Partnership Support (2021)	14.002 Urban Property Protection and Reinsurance Program (1969B) Urban Property Insurance (1975B) Transferred to 83.101 (1980B)
12.900 Language Grant Program (1992U) Language Grant Program (2019) (2019) Archived (2020) Reinstated (2020) Language Grant Program (2020) Language Grant Program (2021)	HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF <hr/> Advance Acquisition of Land (1965B) Archived (1969B) Advances for Public Works Planning (1965B) Public Works Planning Advances (1969B) Archived (1970B) American Indians_Public Housing Program (1965B) Public Housing Program for American Indians (1967B) Archived (1969B) Homes Association Residential Subdivision (1967B) Archived (1969B) Low-Rent Public Housing (1965B) Incorporated into 14.146 (1970B) Metropolitan Expeditors to Assist Local Officials (1965B) Archived (1969B) Supplementary Grants for Planned Metropolitan Development (1967B) Archived (1969B) Urban Information and Technical Assistance Services (1967B) Archived (1969B) Urban Mass Transportation (1965B) Transferred to the Department of Transportation (1969B) Urban Mass Transportation Demonstration Grants (1967B) Transferred to the Department of Transportation (1969B) Urban Renewal Rehabilitation and Code Enforcement (1965B) Archived (1969B) Mortgage Insurance for Armed Services Housing_Civilian Employees (1969B) Archived (1970B) Mortgage Insurance for Armed Services Housing_Impacted Areas (1969B) Archived (1970B) Mortgage Insurance for Servicemen's Housing (1969B) Archived (1970B) Alaska Housing (1970B) Archived (1970B)	14.003 Crime Insurance (1971U) Transferred to 83.102 (1980B) 14.004 Land Sales_Undeveloped Plots in Interstate Commerce (1972U) Land Sales_Parcels of Subdivided Land (1976B) Number changed to 14.801 (1980B) 14.005 Choice Neighborhoods Planning Grants (2011) Number changed to 14.892 (2012) 14.006 Project Rental Assistance Demonstration (PRAD) Program of Section 811 Supportive Housing for Persons with Disabilities (2011) Number changed to 14.326 (2012) 14.007 Performance Based Contract Administrator Program (2011) Number changed to 14.327 (2012) 14.008 Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (2012) Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (2012) Number changed from 14.008 (2012) Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (2018) (2018) Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (2018) Transformation Initiative: Choice Neighborhoods Demonstration Small Research Grant Program (2019) Archived (2020) 14.009 Self-Help Homeownership Opportunity Program (2018) Self-Help Homeownership Opportunity Program (2018) Archived (2019) Reinstated (2020) Archived (2020) 14.010 Section 4 Capacity Building for Community Development and Affordable Housing (2018) Archived (2019) 14.011 Rural Capacity Building for Community
12.901 Mathematical Sciences Grants Program (1992U) Mathematical Sciences Grants (2018) Mathematical Sciences Grants (2019) (2019) Archived (2020) Reinstated (2020) Mathematical Sciences Grants (2020) Mathematical Sciences Grants (2021)		
12.902 Information Security Grant Program (1995U) Information Security Grants (2018) Information Security Grants (2019) (2019) Archived (2020) Reinstated (2020) Information Security Grants (2020) Information Security Grants (2021)		
12.903 GenCyber Grants Program (2016) GenCyber Grants Program (2019) (2019) Archived (2020) Reinstated (2020) GenCyber Grants Program (2020) GenCyber Grants Program (2020) GenCyber Grants Program (2021)		
12.905 CyberSecurity Core Curriculum (2017) CyberSecurity Core Curriculum (2018) CyberSecurity Core Curriculum (2019) (2019) Archived (2020) Reinstated (2020) CyberSecurity Core Curriculum (2020) CyberSecurity Core Curriculum (2021)		
12.910 Research and Technology Development (1992B) Research and Technology Development (2018) Research and Technology Development (2019) Research and Technology Development (2021) (2021) Research and Technology Development (2021)		
12.911 Defense Technology Conversion, Reinvestment, and Transition Assistance (1994B)		

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Development and Affordable Housing Grants (2018)
Archived (2019)

14.012

Rural Housing and Economic Development (2018)
Rural Housing and Economic Development (2019)
Archived (2019)
Reinstated (2020)
Archived (2020)

14.013

Delta Community Capital Initiative (DCCI) (2018)
Archived (2019)
Reinstated (2020)
Archived (2020)

14.014

Appalachia Economic Development Initiative (2018)
Archived (2019)
Reinstated (2020)
Archived (2020)

14.015

Veterans Housing Rehabilitation and Modification
Pilot Program (2018)
Veterans Housing Rehabilitation and Modification
Pilot Program (2018)
Archived (2019)
Reinstated (2020)
Archived (2020)

14.016

Border Community Capital Initiative (2018)
Archived (2019)
Reinstated (2020)
Archived (2020)

14.017

Specialized Housing and Services for Victims of
Human Trafficking (2019)
To: 14.279From: 14.017 (2019)

14.018

Older Adults Home Modification Grant Program
(2019)
To: 14.921From: 14.018 (2020)

14.019

Eviction Protection Grant Program (2021)
To: 14.537From: 14.019 (2021)

14.100

College Housing Program (1965B)
College Housing Debt Service (1970B)
Split into 14.100, 14.101 (1970B)
Archived (1973B)

14.101

College Housing Direct Loans (1970B)
Split from 14.100 (1970B)
Incorporated into 14.100 (1971B)

14.102

Housing for Elderly or Handicapped (1964B)
Housing Loans_Rental Housing for the Elderly and
the Handicapped (1970B)

Incorporated into 14.103 (1970U)

14.103

Interest Supplements on Mortgages for Rental
Housing for Lower Income Families (1969B)
Interest Reduction Payments_Rental and Cooperative
Housing for Lower Income Families (1970B)
Archived (1994B)
Reinstated (1999B)
Interest Reduction Payments_Rental and Cooperative
Housing for Lower Income Families (2005)
Interest Reduction Payments Rental and Cooperative
Housing for Lower Income Families (2018)
(2018)
Interest Reduction Payments Rental and Cooperative
Housing for Lower Income Families (2020)
Interest Reduction Payments Rental and Cooperative
Housing for Lower Income Families (2021)

14.104

Interest Reduction_Acquisition and Rehabilitation of
Homes for Resale to Lower Income Families (1970U)
Archived (1978U)

14.105

Interest Supplements on Mortgages for Home
Ownership for Lower Income Families (1969B)
Interest Subsidy_Homes for Lower Income Families
(1970B)
Archived (1983U)

14.106

Interest Reduction_Purchase of Rehabilitated Homes
by Lower Income Families (1970B)
Archived (1978U)

14.107

Major Home Improvement Loan Insurance_Homes in
Urban Renewal Areas (1970B)
Archived (1972B)

14.108

Major Home Improvement Loan Insurance_Housing
Outside Urban Renewal Areas (1970B)
Rehabilitation Mortgage Insurance (1980B)
Rehabilitation Mortgage Insurance (2005)
Rehabilitation Mortgage Insurance (2005)
Rehabilitation Mortgage Insurance (2006)
Rehabilitation Mortgage Insurance (2018)
(2018)
Rehabilitation Mortgage Insurance (2019)
Rehabilitation Mortgage Insurance (2020)
Rehabilitation Mortgage Insurance (2021)

14.109

Major Home Improvement Loan Insurance_Rental
Housing in Urban Renewal Areas (1970B)
Archived (1972B)

14.110

Mobile Home Loan Insurance_Financing Purchase of
Mobile Homes as Principal Residence (1970B)
Mobile Home Loan Insurance_Financing Purchase of
Mobile Homes as Principal Residences of Borrowers
(1976B)
Manufactured (Mobile) Home Insurance_Financing
Purchase of Mobile Homes as Principal Residences of

Borrowers (1981B)

Manufactured (Mobile) Home Insurance_Financing
Purchase of Manufactured Homes as Principal
Residences of Borrowers (1984B)
Manufactured Home Insurance_Financing Purchase
of Manufactured Homes as Principal Residences of
Borrowers (1985B)
Manufactured Home Loan Insurance_Financing
Purchase of Manufactured Homes as Principal
Residences of Borrowers (2005)
Manufactured Home Loan Insurance_Financing
Purchase of Manufactured Homes as Principal
Residences of Borrowers (2006)
Manufactured Home Loan Insurance Financing
Purchase of Manufactured Homes as Principal
Residences of Borrowers (2018)
(2018)
Manufactured Home Loan Insurance Financing
Purchase of Manufactured Homes as Principal
Residences of Borrowers (2020)
Manufactured Home Loan Insurance Financing
Purchase of Manufactured Homes as Principal
Residences of Borrowers (2021)
Manufactured Home Loan Insurance Financing
Purchase of Manufactured Homes as Principal
Residences of Borrowers (2021)

14.111

Mortgage Insurance for Purchase and Rehabilitation
of Homes for Resale to Low-Income Purchasers
(1967B)
Mortgage Insurance_Acquisition and Rehabilitation
of Homes for Resale to Low Income Families
(1970B)
Incorporated into 14.103 (1971B)

14.112

Mortgage Insurance_Construction or Rehabilitation of
Condominium Projects (1970B)
Mortgage Insurance_Construction or Substantial
Rehabilitation of Condominium Projects (1980B)
Mortgage Insurance for Construction or Substantial
Rehabilitation of Condominium Projects (1989U)
Mortgage Insurance for Construction or Substantial
Rehabilitation of Condominium Projects (2005)
Mortgage Insurance for Construction or Substantial
Rehabilitation of Condominium Projects (2006)
Archived (2011)

14.113

Mortgage Insurance_Conversion of Below Market
Rate Rental Housing to Condominium Ownership
(1970B)
Archived (1973B)

14.114

Mortgage Insurance_Conversion of Below Market
Rate Rental Housing to Cooperative Housing (1970B)
Archived (1971U)

14.115

Mortgage Insurance_Development of Sales Type
Cooperative Projects (1970B)
Incorporated into 14.126 (1989B)

14.116

Mortgage Insurance for Group Practice Facilities

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(1969B) Mortgage Insurance_Group Practice Facilities (1970B) Consolidated into 14.128 (2002B)	(2006) Mortgage Insurance_Homes in Urban Renewal Areas (2018) (2018) Mortgage Insurance_Homes in Urban Renewal Areas (2020) Mortgage Insurance_Homes in Urban Renewal Areas (2021)	Mortgage Insurance_Nursing Home and Intermediate Care Facilities (1970B) Mortgage Insurance_Nursing Homes, Intermediate Care Facilities and Board and Care Homes (1985U) Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (1995B) Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (2018) (2018) Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (2020) Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (2021)
14.117 Mortgage and Credit Insurance for Residential Rehabilitation and Home Purchase and Improvement (1967B) Major Home Improvement (1969B) Mortgage Insurance_Homes (1970B) Split into 14.118, 14.119, 14.121 (1970B) Mortgage Insurance_Homes (2005) Mortgage Insurance_Homes (2006) Mortgage Insurance_Homes (2018) (2018) Mortgage Insurance_Homes (2019) Mortgage Insurance_Homes (2020) Mortgage Insurance_Homes (2021) Mortgage Insurance_Homes (2021)	14.123 Mortgage Insurance in Older, Declining Neighborhoods (1969B) Mortgage Insurance_Housing in Older, Declining Areas (1970B) Mortgage Insurance_Housing in Older, Declining Areas (2005) Mortgage Insurance_Housing in Older, Declining Areas (2006) Mortgage Insurance_Housing in Older, Declining Areas (2018) (2018) Mortgage Insurance_Housing in Older, Declining Areas (2019) Mortgage Insurance_Housing in Older, Declining Areas (2020) Mortgage Insurance_Housing in Older, Declining Areas (2021)	14.130 Mortgage Insurance for Purchase of Fee Simple Title (1969B) Mortgage Insurance Purchased by Homeowners of Fee Simple Title From Lessors (1970B) Consolidated into 14.11 (2002B)
14.118 Mortgage Insurance_Homes for Certified Veterans (1970B) Split from 14.117 (1970B) Incorporated into 14.117 (1983U)	14.124 Mortgage Insurance_Investor Sponsored Cooperative Housing (1970B) Incorporated into 14.126 (1989B)	14.131 Mortgage Insurance_Purchase of Rehabilitated Homes by Low Income Families (1970B) Archived (1971B)
14.119 Mortgage Insurance for Replacement Housing for Victims of Natural Disasters (1967B) Mortgage Insurance_Homes for Disaster Victims (1970B) Split from 14.117 (1970B) Mortgage Insurance_Homes for Disaster Victims (2005) Mortgage Insurance_Homes for Disaster Victims (2006) Mortgage Insurance_Homes for Disaster Victims (2018) (2018) Mortgage Insurance_Homes for Disaster Victims (2019) Mortgage Insurance_Homes for Disaster Victims (2020) Mortgage Insurance_Homes for Disaster Victims (2021)	14.125 Mortgage Insurance for Land Development and New Communities (1967B) Mortgage Insurance_Land Development and New Communities (1970B) Mortgage Insurance_Land Development (1983U) Archived (1990B)	14.132 FHA Mortgage Insurance for Cooperative Housing (1965B) Mortgage Insurance_Purchase of Sales Type Cooperative Housing Units (1970B) Mortgage Insurance_Purchase of Sales-Type Cooperative Housing Units (1989U) Deleted (2008)
14.120 Mortgage Insurance for Housing for Families of Low and Moderate Income (1967B) Mortgage Insurance for Moderate Income Homes (1969B) Mortgage Insurance_Homes for Low and Moderate Income Families (1970B) Archived (2001U)	14.126 Mortgage Insurance_Management Type Cooperative Projects (1970B) Mortgage Insurance_Cooperative Projects (1989B) Mortgage Insurance Cooperative Projects (2018) (2018) Mortgage Insurance Cooperative Projects (2020) Mortgage Insurance Cooperative Projects (2021)	14.133 FHA Mortgage Insurance for Condominiums (1965B) Mortgage Insurance for Condominiums (1967B) Mortgage Insurance for Condominium Housing (1969B) Mortgage Insurance_Purchase of Units in Condominiums (1970B) Mortgage Insurance Purchase of Units in Condominiums (2018) (2018) Mortgage Insurance Purchase of Units in Condominiums (2019) Mortgage Insurance Purchase of Units in Condominiums (2020) Mortgage Insurance Purchase of Units in Condominiums (2021)
14.121 Mortgage Insurance_Homes in Outlying Areas (1970B) Split from 14.117 (1970B)	14.127 Mortgage Insurance_Mobile Home Courts (1970B) Mortgage Insurance_Manufactured (Mobile) Home Parks (1981B) Archived (2016)	14.134 Mortgage Insurance_Rental Housing (1970B) Mortgage Insurance Rental Housing (2018) (2018) Mortgage Insurance Rental Housing (2020) Mortgage Insurance Rental Housing (2021)
14.122 Mortgage Insurance_Homes in Urban Renewal Areas (1970B) Mortgage Insurance_Homes in Urban Renewal Areas (2005) Mortgage Insurance_Homes in Urban Renewal Areas	14.128 Mortgage Insurance_Nonprofit Hospitals (1965B) Mortgage Insurance_Hospitals (1971B) Mortgage Insurance Hospitals (2018) (2018) Mortgage Insurance Hospitals (2020) Mortgage Insurance Hospitals (2021)	14.135 Mortgage Insurance_Rental Housing for Low and Moderate Income Families (1970B) Mortgage Insurance_Rental Housing for Moderate

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Income Families (1971B)	Renewal Areas (2021)	Low-Rent Public Housing_Leasing (1969B)
Mortgage Insurance_Rental Housing for Moderate Income Families and Elderly, Market Interest Rate (1989B)	14.140	Public Housing_Leased (1970B)
Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (1989U)	Mortgage Insurance for Assistance to Special Credit Risk Families (1969B)	Archived (1976B)
Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (2018)	Mortgage Insurance_Special Credit Risks (1970B)	14.149
(2018)	Consolidated into 14.11 (2002B)	Rent Supplements for Disadvantaged Persons (1965B)
Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (2020)	14.141	Rent Supplements (1969B)
Mortgage Insurance Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (2021)	Low and Moderate Income Housing_Financial Assistance for Non-Profit Sponsors (1969B)	Rent Supplements_Rental Housing for Lower Income Families (1970B)
14.136	Nonprofit Housing Sponsor Loans_Planning Projects for Low and Moderate Income Families (1970B)	Archived (1994B)
Mortgage Insurance for Rental Housing for Families of Low or Moderate Income (1967B)	Nonprofit Sponsor Assistance Program (1980B)	Reinstated (1999B)
Mortgage Insurance_Rental Housing for Low and Moderate Income Families, Below-Market Interest Rate (1970B)	Section 106(b) Nonprofit Sponsor Assistance Program (1987B)	Rent Supplements Rental Housing for Lower Income Families (2018)
Archived (1971B)	Archived (1994B)	(2018)
14.137	14.142	Archived (2020)
Mortgage Insurance for Low and Moderate Income Housing_Market Rate (1969B)	Property Improvement Loan Insurance_All Existing Structures (1970B)	14.150
Mortgage Insurance_Rental Housing for Low and Moderate Income Families, Market Interest Rate (1970B)	Property Improvement Loan Insurance for Improving all Existing Structures and Building of New Nonresidential Structures (1976B)	Supplemental Loan Insurance_Management Type Cooperative Projects (1970B)
Mortgage Insurance_Rental and Cooperative Housing for Low and Moderate Income Families, Market Interest Rate (1979U1)	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (2018)	Archived (1971B)
Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families, Market Interest Rate (1984U)	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (2020)	14.151
Incorporated into 14.126 (1989B)	Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (2021)	FHA Mortgage Insurance for Multifamily Rental Housing (1969B)
14.138	14.143	Multifamily Housing Projects Supplemental Loans (1969B)
FHA Mortgage Insurance Rental Housing for the Elderly (1965B)	Property Improvement Loan Insurance_Construction of Nonresidential Farm Structures (1970B)	Supplemental Loan Insurance_Multifamily Rental Housing (1970B)
Mortgage Insurance for Senior Citizens Housing (1969B)	Archived (1976B)	Supplemental Loan Insurance Multifamily Rental Housing (2018)
Mortgage Insurance_Rental Housing for the Elderly (1970B)	14.144	(2018)
Mortgage Insurance Rental Housing for the Elderly (2018)	Property Improvement Loan Insurance_Construction of Nonresidential or Nonfarm Structures (1970B)	Supplemental Loan Insurance Multifamily Rental Housing (2020)
(2018)	Archived (1976B)	Supplemental Loan Insurance Multifamily Rental Housing (2021)
Mortgage Insurance Rental Housing for the Elderly (2020)	14.145	14.152
Mortgage Insurance Rental Housing for the Elderly (2021)	Property Improvement Loan Insurance_Existing Multifamily Dwellings (1970B)	Mortgage Insurance_Experimental Homes (1972B)
14.139	Archived (1976B)	Number changed to 14.507 (1984B)
Mortgage Insurance for Urban Renewal (1965B)	14.146	14.153
Mortgage Insurance for Urban Renewal Housing (1969B)	Public Housing_Acquisition, Construction, Rehabilitation (1970B)	Mortgage Insurance_Experimental Projects Other Than Housing (1972B)
Mortgage Insurance_Rental Housing in Urban Renewal Areas (1970B)	Low Income Housing_Assistance Program (Public Housing) (1977B)	Number changed to 14.508 (1984B)
Mortgage Insurance Rental Housing in Urban Renewal Areas (2018)	Public Housing_Acquisition (Turnkey and Conventional Production Methods (1980U)	14.154
(2018)	Public Housing (1983B)	Mortgage Insurance_Experimental Rental Housing (1972B)
Mortgage Insurance Rental Housing in Urban Renewal Areas (2020)	Number changed to 14.850 (1983U)	Number changed to 14.509 (1985B)
Mortgage Insurance Rental Housing in Urban	14.147	14.155
Renewal Areas (2021)	Public Housing_Home Ownership (1970B)	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (1975B)
	Low Income Housing_Homeownership Opportunities for Low Income Families (1980U)	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (2018)
	Number changed to 14.851 (1983U)	(2018)
	14.148	Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (2020)
		Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (2021)
		14.156
		Lower_Income Housing Assistance Program (1975B)
		Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (1990U)
		Number changed to 14.856 (1992B)

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14.157

Housing for the Elderly or Handicapped (1977B)
 Housing for the Elderly or Disabled (1991B)
 Supportive Housing for the Elderly (1992U)
 Supportive Housing for the Elderly (2018)
 Supportive Housing for the Elderly (2020)
 Supportive Housing for the Elderly (2021)

14.158

Public Housing_Modernization of Projects (1977B)
 Number changed from 14.607 (1977B)
 Public Housing_Comprehensive Improvement Assistance Program (1977B)
 Number changed to 14.852 (1983U)

14.159

Section 245 Graduated Payment Mortgage Program (1978U)
 Archived (2016)

14.161

Single_Family Home Mortgage Coinsurance (1978U)
 Archived (1990B)

14.162

Mortgage Insurance_Combination and Mobile Home Lot Loans (1979U1)
 Mortgage Insurance_Combination and Manufactured (Mobile) Home Lot Loans (1984B)
 Mortgage Insurance_Combination and Manufactured Home Lot Loans (1985B)
 Mortgage Insurance Combination and Manufactured Home Lot Loans (2018)
 Mortgage Insurance Combination and Manufactured Home Lot Loans (2020)
 Mortgage Insurance Combination and Manufactured Home Lot Loans (2021)

14.163

Mortgage Insurance_Cooperative Financing (1979U2)
 Mortgage Insurance_Single Family Cooperative Housing (1990U)
 Archived (2016)

14.164

Operating Assistance for Troubled Multifamily Housing Projects (1980B)
 Archived (2009)

14.165

Mortgage Insurance_Homes_Military Impacted Areas (1980B)

14.166

Mortgage Insurance_Homes for Members of the Armed Services (1981B)
 Archived (2000B)

14.167

Mortgage Insurance_Two Year Operating Loss Loans, Section 223(d)(f) (1981U)
 Archived (2014)

14.168

Land Sales_Parcels of Subdivided Land (1982B)
 Number changed from 14.801 (1982B)
 Land Sales_Certain Subdivided Land (1989B)
 Land Sales-Certain Subdivided Land (Interstate Land Sales Registration) and Real Estate Settlement Procedures Act (2009)
 Archived (2016)

14.169

Housing Counseling Program (1982B)
 Number changed from 14.802 (1982B)
 Housing Counseling Assistance Program (1983B)
 Housing Counseling Assistance Program (2018)
 Housing Counseling Assistance Program (2019)
 Housing Counseling Assistance Program (2020)
 Housing Counseling Assistance Program (2021)

14.170

Congregate Housing Services Program (1982B)
 Number changed from 14.803 (1982B)
 Archived (1998B)

14.171

Manufactured Housing_Mobile Home Construction (1982B)
 Number changed from 14.804 (1982B)
 Manufactured Housing Construction and Safety Standards (1983B)
 Manufactured Home Construction and Safety Standards (1986U)
 Manufactured Home Dispute Resolution (2011)
 Manufactured Home Dispute Resolution (2018)
 Manufactured Home Dispute Resolution (2019)
 Manufactured Home Dispute Resolution (2020)
 Manufactured Home Dispute Resolution (2021)

14.172

Mortgage Insurance_Growing Equity Mortgages (1983B)
 Archived (2016)

14.173

Section 223(f)_Coinsurance for Private Lenders (1983B)
 Section 223(f)_Coinsurance for the Purchase or Refinancing of Existing Multifamily Projects (1983U)
 Multifamily Coinsurance (1987U)
 Archived (1993B)

14.174

Housing Development Grants (1984B)
 Archived (1994B)

14.175

Adjustable Rate Mortgages (1984U)
 Adjustable Rate Mortgages (2019)
 Adjustable Rate Mortgages (2019)
 Adjustable Rate Mortgages (2020)
 Adjustable Rate Mortgages (2021)

14.176

Section 221(d) Coinsurance for the Construction or Substantial Rehabilitation of Multifamily Housing

Projects (1984U)

Consolidated into 14.173 (1987U)

14.177

Housing Voucher Program (1986U)
 Section 8 Housing Voucher Program (1989U)
 Section 8 Rental Voucher Program (1991B)
 Number changed to 14.855 (1992B)

14.178

Transitional Housing Demonstration Program (1987B)
 Supportive Housing Demonstration Program (1988B)
 Number changed to 14.235 (1990B)

14.179

Nehemiah Housing Opportunity Grant Program (1988U)
 Archived (1997B)

14.180

Lower Income Housing Assistance_Section 8 Existing Housing Certificate Program (1990U)
 Section 8 Rental Certificate Program (1991B)
 Number changed to 14.857 (1992B)

14.181

Supportive Housing for Persons with Disabilities (1991B)
 Supportive Housing for Persons with Disabilities (2018)
 Supportive Housing for Persons with Disabilities (2020)
 Supportive Housing for Persons with Disabilities (2021)

14.182

Lower Income Housing Assistance Program_Section 8 New Construction/Substantial Rehabilitation (1991B)
 Archived (1997B)

14.183

Home Equity Conversion Mortgages (1991U)
 Home Equity Conversion Mortgages (2018)
 Home Equity Conversion Mortgages (2019)
 Home Equity Conversion Mortgages (2020)
 Home Equity Conversion Mortgages (2021)
 Home Equity Conversion Mortgages (2021)

14.184

Mortgages Insurance for Single Room Occupancy (SRO) Projects (1991U)
 Mortgages Insurance for Single Room Occupancy (SRO) Projects (2019)
 Archived (2020)

14.185

Homeownership and Opportunity for People Everywhere (1992B)
 Archived (1997B)

14.186

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Mortgage Insurance_Equity Loans (1992U)
Archived (1997B)

14.187

Preservation of Affordable Housing (1993B)
Consolidated into 14.194 (1998B)

14.188

HFA Risk Sharing Pilot Program (1994B)
Housing Finance Agencies (HFA) Risk Sharing Pilot Program (1994U)
Housing Finance Agencies (HFA) Risk Sharing (2002B)
Housing Finance Agencies (HFA) Risk Sharing (2018)
(2018)
Housing Finance Agencies (HFA) Risk Sharing (2020)
Housing Finance Agencies (HFA) Risk Sharing (2021)

14.189

Qualified Participating Entities (QPE) Risk Sharing Pilot Program (1994U)
Qualified Participating Entities (QPE) Risk Sharing (2002B)
Qualified Participating Entities (QPE) Risk Sharing (2018)
(2018)
Archived (2020)

14.190

Section 8 Community Investment (Pension Fund) Demonstration (1995B)
Archived (1997U)

14.191

Multifamily Housing Service Coordinators (1997U)
Multifamily Housing Service Coordinators (2018)
(2018)
Multifamily Housing Service Coordinators (2020)
Multifamily Housing Service Coordinators (2021)

14.192

Safe Neighborhood Grants (1997U)
Consolidated into 14.868 (1998B)

14.193

Federally Assisted Low-Income Housing Drug Elimination (1997U)

14.194

Portfolio Reengineering DemonstrationConsolidated from 14.187 (1998B)
Archived (1999B)

14.195

Section 8 Housing Assistance Payments Program_Special Allocations (1998B)
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14.196

Secondary Market for Non-Conforming Loans to Low-Wealth Borrowers Demonstration Program (1999B)
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14.197

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14.198

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14.199

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14.200

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14.201

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14.202

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14.203

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14.204

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Transferred to the Department of Interior (1970U)
Archived (1971B)

14.205

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14.206

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Archived (1971B)

14.207

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14.208

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14.209

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Archived (1971B)

14.210

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14.211

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14.212

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14.213

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14.214

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14.215

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14.216

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14.217

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14.218

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14.220	Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (2020)	Archived (2015)
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14.248

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14.249

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14.250

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14.251

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(2008)
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14.252

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14.253

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14.254

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14.255

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(Recovery Act Funded) (2009)
Archived (2010)

14.256

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Funded) (2009)
Archived (2010)

14.257

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14.258

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Funded) (2009)
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14.259

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Capacity Building (2019)
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14.260

Veterans Homelessness Prevention Demonstration
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Archived (2015)

14.261

Homeless Management Information Systems
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14.262

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14.263

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14.264

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14.265

Rural Capacity Building for Community
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14.266

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14.267

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14.268

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14.269

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14.270

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14.271

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14.272

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14.273

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14.275

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14.276

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14.278

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14.279

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14.300

Model Neighborhoods in Demonstration Cities (1967B)
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14.301

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14.302

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14.303

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14.304

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14.305

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14.306

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14.307

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14.308

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14.309

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14.310

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14.311

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14.312

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14.313

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14.314

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14.315

Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (2006)
Archived (2012)

14.316

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14.317

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14.318

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Archived (2016)

14.319

Multifamily Energy Innovation Fund (2011)
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Reinstated (2017)
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14.321

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14.322

Tenant Resource Network Program (2011)
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14.323

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14.324

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14.326

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14.327

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14.329

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14.400

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14.401

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14.402

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14.403

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14.404

Non-Discrimination in Federally Assisted Programs (On the Basis of Handicap) (1983U)
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Archived (2009)

14.405

Non-Discrimination in Federally Assisted Programs (On the Basis of Race, Color or National Origin) (1983U)
Archived (2009)

14.406

Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Sex, Handicap or Age) (1983U)
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14.407

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14.408

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14.409

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14.410

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14.411

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14.412

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14.413

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14.414

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14.415

Non-Discrimination on the Basis of Sex in Education Programs and Activities Receiving Federal Financial Assistance (2001B)
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14.416

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14.417

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14.418

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14.419

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Archived (2014)

14.420

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Archived (2014)

14.421

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Archived (2014)

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<p>14.500 Low Income Housing Demonstration Program (1965B) Archived (1970U)</p>	<p>14.511 Community Outreach Partnership Center Program (1995B)</p>	<p>Sustainable Community Research Grant Program (2018) Archived (2020)</p>
<p>14.501 Mortgage Insurance_Experimental Homes (1970B) Archived (1971B)</p>	<p>14.512 Community Development Work-Study ProgramNumber changed from 14.234 (1995B) Deleted (2007)</p>	<p>14.524 Transformation Initiative Research Grants: Natural Experiments (2010) Transformation Initiative Research Grants: Natural Experiments (2018) (2018)</p>
<p>14.502 Mortgage Insurance_Experimental Projects Other Than Housing (1970B) Archived (1971B)</p>	<p>14.513 Hispanic-Serving Institutions Work-Study Program (1996U) Archived (2000U)</p>	<p>Transformation Initiative Research Grants: Natural Experiments (2018) Archived (2020)</p>
<p>14.503 Mortgage Insurance for Experimental Housing (1969B) Mortgage Insurance_Experimental Rental Housing (1970B) Archived (1971B)</p>	<p>14.514 Hispanic-Serving Institutions Assisting Communities (1999B) Archived (2000B) Reinstated (2000U) Archived (2011)</p>	<p>14.525 Transformation Initiative Research Grants: Demonstration and Related Small Grants (2010) Transformation Initiative Research Grants: Demonstration and Related Small Grants (2018) (2018) Transformation Initiative Research Grants: Demonstration and Related Small Grants (2018) Archived (2020)</p>
<p>14.504 Urban Planning Research and Demonstration (1970B) Archived (1973B)</p>	<p>14.515 Alaska Native/Native Hawaiian Institutions Assisting Communities (2000B) Archived (2011)</p>	<p>14.529 Fellowship Placement Pilot Program (2011) Fellowship Placement Pilot Program (2018) (2018) Archived (2018)</p>
<p>14.505 Urban Renewal Demonstration Grant Program (1965B) Archived (1971B)</p>	<p>14.516 Doctoral Dissertation Research Grants (2001B) Doctoral Dissertation Research Grants (2018) (2018) Archived (2018)</p>	<p>14.534 Strong Cities Strong Communities (SC2) National Resource Network (2012) Strong Cities Strong Communities (SC2) National Resource Network (2018) (2018) Archived (2018)</p>
<p>14.506 General Research and Technology Activity (1972B) General Research and Technology Activity (2018) (2018) General Research and Technology Activity (2018) General Research and Technology Activity (2019) General Research and Technology Activity (2020) General Research and Technology Activity (2020) General Research and Technology Activity (2021)</p>	<p>14.517 Early Doctoral Student Research Grants (2001B) Archived (2010)</p>	<p>14.535 Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (2013) Transformation Initiative: Rental Assistance Demonstration Small Research Grant Program (2018) (2018) Archived (2018)</p>
<p>14.507 Mortgage Insurance_Experimental Homes (1984B) Number changed from 14.152 (1984B) Archived (1997B)</p>	<p>14.518 HUD Urban Scholars Fellowship Grants (2001B)</p>	<p>14.536 Project Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2014) Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2014) Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2018) (2018)</p>
<p>14.508 Mortgage Insurance_Experimental Projects Other Than Housing (1984B) Number changed from 14.153 (1984B) Archived (1997B)</p>	<p>14.519 Tribal Colleges and Universities Program (2001B) Archived (2011)</p>	<p>Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2018) Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2019) Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2020) Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2020) Research and Evaluations, Demonstrations, and Data Analysis and Utilization (2021)</p>
<p>14.509 Mortgage Insurance_Experimental Rental Housing (1985B) Number changed from 14.154 (1985B) Archived (1997B)</p>	<p>14.520 Historically Black Colleges and Universities Program (2002B) Archived (2011) Reinstated (2017) Historically Black Colleges and Universities Program (2018) (2018) Archived (2020)</p>	<p>14.537 Eviction Protection Grant Program (2021)</p>
<p>14.510 Supplemental Assistance for Facilities to Assist the Homeless (1988B) Number changed to 14.236 (1990B) Joint Community Development Program, Number changed from 14.242 (1995B) Archived (1997B)</p>	<p>14.521 Universities Rebuilding America Program - Community Design (2005)</p>	
	<p>14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program (2010) Transformation Initiative Research Grants: Sustainable Community Research Grant Program (2018) (2018) Transformation Initiative Research Grants:</p>	

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To: 14.537From: 14.019 (2021)

Eviction Protection Grant Program (2021)

14.550

Solar Energy and Energy Conservation Bank (1983U)
Incorporated into 14.126 (1989B)

14.600

Certified Areas Grants (1970B)
Archived (1971B)

14.601

Code Enforcement (1965B)
Code Enforcement Grants (1970B)
Archived (1971B)

14.602

Community Renewal Program (1965B)
Community Renewal Planning Grants (1970B)
Archived (1971B)

14.603

Demolition of Unsound Structures (1967B)
Demolition Grants (1969B)
Archived (1971B)

14.604

Housing Rehabilitation Grants (1969B)
Housing Rehabilitation Loans and Grants (1970B)
Archived (1971B)

14.605

Interim Assistance for Blighted Areas (1969B)
Interim Assistance for Blighted Areas Grants (1970B)
Archived (1971B)

14.606

Neighborhood Development Program (1969B)
Archived (1971B)

14.607

Low-Rent Public Housing_Modernization of Projects (1969B)
Public Housing_Modernization of Projects (1970B)
Number changed to 14.158 (1977B)

14.608

Relocation Assistance and Payments (1969B)
Archived (1971B)

14.609

Urban Renewal Projects (1965B)
Archived (1971B)

14.701

Disaster Assistance (1973U)
Transferred to 83.300 (1980B)

14.702

State Disaster Preparedness Grants (1973U)
Transferred to 83.203 (1980B)

14.703

Sustainable Communities Regional Planning Grant Program (2010)
Archived (2014)

14.704

Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants (2010)
Archived (2014)

14.705

Capacity Building for Sustainable Communities (2011)
Archived (2015)

14.800

Neighborhood Self-Help Development (1980B)
Archived (1981U)

14.801

Land Sales_Parcel of Subdivided Land (1980B)
Number changed from 14.004 (1980B)
Number changed to 14.168 (1982B)

14.802

Housing Counseling Service (1969B)
Archived (1970B)
Reinstated (1980U)
Housing Counseling Program (1980U)
Number changed to 14.169 (1982B)

14.803

Congregate Housing Services Program (1980U)
Number changed to 14.170 (1982B)

14.804

Manufactured Housing_Mobile Home Construction (1981U)
Number changed to 14.171 (1982B)

14.850

Public Housing (1983U)
Number changed from 14.146 (1983U)
Public and Indian Housing (1984B)
Public and Indian Housing (2019)
Public and Indian Housing (2019)
Public and Indian Housing (2020)
Public and Indian Housing (2021)

14.851

Low Income Housing_Homeownership Opportunities for Low Income Families (1983U)
Number changed from 14.147 (1983U)
Consolidated into 14.867 (1998B)

14.852

Public Housing_Comprehensive Improvement Assistance Program (1983U)
Number changed from 14.158 (1983U)
Public and Indian Housing_Comprehensive Improvement Assistance Program (1984B)
Public Housing_Comprehensive Improvement Assistance Program (1998B)
Consolidated into 14.872 (2000B)

14.853

Public Housing Resident Management Program (1989B)

Public and Indian Housing Tenant Opportunity Program (1995B)

Public Housing_Tenant Opportunities Program (1998B)
Consolidated into 14.870 (2001B)

14.854

Public Housing Drug Elimination Program (1989U)
Public and Indian Housing Drug Elimination Program (1992B)
Portion incorporated from 14.863 (1997B)

14.855

Section 8 Rental Voucher ProgramNumber changed from 14.177 (1992B)
Consolidated into 14.871 (2000B)

14.856

Lower Income Housing Assistance Program_Section 8 Moderate RehabilitationNumber changed from 14.156 (1992B)
Archived (1998B)
Reinstated (1999B)
Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (2018)
(2018)
Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (2018)
Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (2019)
Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (2020)
Lower Income Housing Assistance Program Section 8 Moderate Rehabilitation (2021)

14.857

Section 8 Rental Certificate ProgramNumber changed from 14.180 (1992B)
Consolidated into 14.871 (2000B)

14.858

HOPE for Public and Indian Housing Homeownership (1992B)
Archived (1997B)

14.859

Public and Indian Housing_Comprehensive Grant Program (1993U)
Public Housing_Comprehensive Grant Program (1998B)
Consolidated into 14.872 (2000B)

14.860

Public and Indian Housing Early Childhood Development (1994U)
Head Start Public Housing Early Childhood/Development Demonstration (1995B)
Archived (1996U)

14.861

Public and Indian Housing Family Investment Centers Program (1994B)
Archived (1996U)

14.862

Indian Community Development Block Grant ProgramNumber changed from 14.223 (1994B)

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Indian Community Development Block Grant Program (2018)	14.863	(2018)
Indian Community Development Block Grant Program (2018)	Title VI Federal Guarantees for Financing Tribal Housing Activities (1999B)	(2018)
Indian Community Development Block Grant Program (2019)	Title VI Federal Guarantees for Financing Tribal Housing Activities (2018)	Loan Guarantees for Native Hawaiian Housing (2018)
Indian Community Development Block Grant Program (2020)	(2018)	Loan Guarantees for Native Hawaiian Housing (2019)
Indian Community Development Block Grant Program (2021)	Title VI Federal Guarantees for Financing Tribal Housing Activities (2019)	Loan Guarantees for Native Hawaiian Housing (2020)
	Title VI Federal Guarantees for Financing Tribal Housing Activities (2020)	Loan Guarantees for Native Hawaiian Housing (2021)
	Title VI Federal Guarantees for Financing Tribal Housing Activities (2021)	
14.863	14.870	14.875
Public and Indian Housing Youth Sports Program (1995B)	Resident Opportunity and Supportive Services (1999B)	Public Housing Neighborhood Networks Grants (2005)
Portion incorporated into 14.854 (1997B)	Consolidated from 14.853, 14.864 (2001B)	Archived (2009)
14.864	Resident Opportunity and Supportive Services (2006)	14.876
Economic Development and Supportive Services Program (1997B)	Resident Opportunity and Supportive Services - Homeownership and Family (2006)	Resident Opportunity and Supportive Services - Elderly and Persons with Disabilities (2006)
Consolidated into 14.870 (2001B)	Resident Opportunity and Supportive Services - Service Coordinators (2008)	Archived (2010)
14.865	Resident Opportunity and Supportive Services - Service Coordinators (2018)	14.877
Public and Indian Housing_Indian Loan Guarantee Program (1997B)	Resident Opportunity and Supportive Services - Service Coordinators (2018)	Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (2006)
Public and Indian Housing Indian Loan Guarantee Program (2018)	Resident Opportunity and Supportive Services - Service Coordinators (2018)	Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (2018)
Public and Indian Housing Indian Loan Guarantee Program (2019)	Resident Opportunity and Supportive Services - Service Coordinators (2019)	(2018)
Public and Indian Housing Indian Loan Guarantee Program (2019)	Resident Opportunity and Supportive Services - Service Coordinators (2020)	Archived (2020)
Public and Indian Housing Indian Loan Guarantee Program (2020)	Resident Opportunity and Supportive Services - Service Coordinators (2021)	14.878
Public and Indian Housing Indian Loan Guarantee Program (2021)	14.871	Affordable Housing Development in Main Street Rejuvenation Projects (2006)
	Section 8 Housing Choice VouchersConsolidated from 14.855, 14.857 (2000B)	Affordable Housing Development in Main Street Rejuvenation Projects (2018)
	Section 8 Housing Choice Vouchers (2018)	(2018)
	Section 8 Housing Choice Vouchers (2018)	Affordable Housing Development in Main Street Rejuvenation Projects (2019)
	Section 8 Housing Choice Vouchers (2019)	Affordable Housing Development in Main Street Rejuvenation Projects (2020)
	Section 8 Housing Choice Vouchers (2020)	Affordable Housing Development in Main Street Rejuvenation Projects (2021)
	Section 8 Housing Choice Vouchers (2021)	
	14.872	14.879
	Public Housing Capital FundConsolidated from 14.852, 14.859 (2000B)	Mainstream Vouchers (2006)
	Public Housing Capital Fund (2018)	Mainstream Vouchers (2018)
	Public Housing Capital Fund (2018)	(2018)
	Public Housing Capital Fund (2018)	Mainstream Vouchers (2019)
	Public Housing Capital Fund (2019)	Mainstream Vouchers (2020)
	Public Housing Capital Fund (2020)	Mainstream Vouchers (2021)
	Public Housing Capital Fund (2021)	
	14.873	14.880
	Native Hawaiian Housing Block Grants (2003B)	Family Unification Program (FUP) (2008)
	Native Hawaiian Housing Block Grants (2018)	Archived (2014)
	(2018)	Reinstated (2017)
	Native Hawaiian Housing Block Grants (2018)	Family Unification Program (FUP) (2018)
	(2018)	(2018)
	Native Hawaiian Housing Block Grants (2019)	Family Unification Program (FUP) (2018)
	Native Hawaiian Housing Block Grants (2019)	Family Unification Program (FUP) (2019)
	Native Hawaiian Housing Block Grants (2020)	Family Unification Program (FUP) (2020)
	Native Hawaiian Housing Block Grants (2020)	Family Unification Program (FUP) (2020)
	Native Hawaiian Housing Block Grants (2021)	Family Unification Program (FUP) (2021)
	14.874	14.881
	Loan Guarantees for Native Hawaiian Housing (2004)	Moving to Work Demonstration Program (2008)
	Loan Guarantees for Native Hawaiian Housing	Moving to Work Demonstration Program (2018)
		(2018)
		Moving to Work Demonstration Program (2019)

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Moving to Work Demonstration Program (2020)	Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (2019)	14.898
Moving to Work Demonstration Program (2021)	Archived (2020)	ROSS Supportive Services Programs (2015)
14.882		ROSS Supportive Services Programs (2018) (2018)
Native American Housing Block Grants (Formula) Recovery Act Funded (2009)	14.892	ROSS Supportive Services Programs (2019)
Archived (2011)	Choice Neighborhoods Planning Grants (2012)	ROSS Supportive Services Programs (2020)
	Number changed from 14.005 (2012)	Archived (2021)
14.883	Choice Neighborhoods Planning Grants (2018) (2018)	
Native Hawaiian Housing Block Grants (Recovery Act Funded) (2009)	Choice Neighborhoods Planning Grants (2018) (2018)	14.899
Archived (2010)	Choice Neighborhoods Planning Grants (2019)	Tribal HUD-VA Supportive Housing Program (2018)
	Choice Neighborhoods Planning Grants (2020)	Tribal HUD-VA Supportive Housing Program (2018) (2018)
14.884	Choice Neighborhoods Planning Grants (2021)	Tribal HUD-VA Supportive Housing Program (2019)
Public Housing Capital Fund Competitive (Recovery Act Funded) (2009)		Tribal HUD-VA Supportive Housing Program (2020)
Archived (2012)	14.893	Tribal HUD-VA Supportive Housing Program (2021)
	Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (2012)	
14.885	Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (2018) (2018)	14.900
Public Housing Capital Fund Stimulus (Formula) Recovery Act Funded (2009)	Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (2018)	Lead-Based Paint Hazard Reduction Grant Program (1993U)
Archived (2010)	Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (2019)	Lead-Based Paint Hazard Control Program (1994B)
	Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (2020)	Lead-Based Paint Hazard Control in Priority Housing (1996B)
14.886	Office of Native American Programs Training and Technical Assistance for Indian Housing Block Grant Program (2021)	Lead-Based Paint Hazard Control in Privately-Owned Housing (1997B)
Indian Community Development Block Grant Program (Recovery Act Funded) (2009)		Lead-Based Paint Hazard Control in Privately-Owned Housing (2019)
Archived (2012)		Lead-Based Paint Hazard Control in Privately-Owned Housing (2020)
		Lead-Based Paint Hazard Control in Privately-Owned Housing (2021)
14.887		
Native American Housing Block Grants (Competitive) Recovery Act Funded (2009)		14.901
Archived (2010)		Healthy Homes Initiative Grants (2000B)
Reinstated (2010)		Healthy Homes Demonstration Grants (2003B)
Archived (2014)		Healthy Homes Implementation Grant Program (2010)
		Healthy Homes Demonstration Grants (2010)
14.888		Archived (2010)
Lead-Based Paint Capital Fund Program (2017)		Reinstated (2020)
Lead-Based Paint Capital Fund Program (2018) (2018)		Healthy Homes Demonstration Grants (2020)
Lead-Based Paint Capital Fund Program (2020)		Healthy Homes Demonstration Grants (2020)
Lead-Based Paint Capital Fund Program (2020)		Healthy Homes Demonstration Grants (2021)
Lead-Based Paint Capital Fund Program (2021)		
		14.902
14.889		Lead Technical Studies Grants (2003B)
Choice Neighborhoods (2010)		Archived (2009)
Choice Neighborhoods Implementation Grants (2011)		Reinstated (2010)
Choice Neighborhoods Implementation Grants (2018) (2018)		Lead Technical Studies Grants (2019)
Choice Neighborhoods Implementation Grants (2018)		Lead Technical Studies Grants (2020)
Choice Neighborhoods Implementation Grants (2019)		Lead Technical Studies Grants (2021)
Choice Neighborhoods Implementation Grants (2020)		
Choice Neighborhoods Implementation Grants (2021)		14.903
		Operation Lead Elimination Action Program (2003B)
14.890		Archived (2009)
Capital Fund Education and Training Community Facilities (2010)		
Archived (2011)		14.904
Reinstated (2012)		Lead Outreach Grants (2003B)
Archived (2014)		Archived (2009)
14.891		14.905
Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (2010)		Lead Hazard Reduction Demonstration Grant Program (2003B)
Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (2018)		Lead Hazard Reduction Demonstration Grant Program (2019)
(2018)		Lead Hazard Reduction Demonstration Grant Program (2020)
		Lead Hazard Reduction Demonstration Grant

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Program (2021)	Lead Hazard Control for High Risk Areas (2021) (2021)	Commercial Fisheries Research and Development (1969B)
14.906	Lead Hazard Control for High Risk Areas (2021)	Transferred to 11.407 (1971B)
Healthy Homes Technical Studies Grants (2004)	14.921	15.004
Lead and Healthy Homes Technical Studies Grants (2010)	Older Adults Home Modification Grant Program (2020)	Fishermen_Reimbursement of Losses (1970B)
Healthy Homes Technical Studies Grants (2010)	To: 14.921From: 14.018 (2020)	Transferred to 11.410 (1971B)
Healthy Homes Technical Studies Grants (2019)	Older Adults Home Modification Grant Program (2020)	15.005
Healthy Homes Technical Studies Grants (2020)	(2020)	Fisheries Assistance (1965B)
Healthy Homes Technical Studies Grants (2021)	Older Adults Home Modification Grant Program (2020)	Fisheries Loan Fund (1970B)
14.907	Older Adults Home Modification Grant Program (2020)	Transferred to 11.409 (1971B)
Lead-Based Paint Hazard Control in Privately-Owned Housing (Recovery Act Funded) (2009)	Older Adults Home Modification Grant Program (2021)	15.006
Archived (2010)		Fishery Marketing Assistance (1970B)
14.908	INTERIOR, DEPARTMENT OF THE	Transferred to 11.412 (1971B)
Healthy Homes Demonstration Grants (Recovery Act Funded) (2009)	Appalachian Region_Sewage Treatment Works Grants (1967B)	15.007
Archived (2010)	Archived (1969B)	Fishery Products Inspection and Certification (1970B)
14.909	Solid Waste Disposal (1967B)	Transferred to 11.413 (1971B)
Lead Hazard Reduction Demonstration Grant Program (Recovery Act Funded) (2009)	Archived (1969B)	15.008
Archived (2010)	Identification of Mentally Retarded American Indian Children (1965B)	Fishing Statistics and Market News (1970B)
14.910	Archived (1970B)	Transferred to 11.414 (1971B)
Healthy Homes Technical Studies Grants (Recovery Act Funded) (2009)	Mining Area Restoration in the Appalachian Region (1965B)	15.009
Archived (2010)	Appalachian Region_Mining Area Restoration (1967B)	Fishing Vessel Mortgage and Loan Insurance (1970B)
14.911	Archived (1970B)	Transferred to 11.415 (1971B)
Green and Healthy Housing Technical Studies Grants (2009)	American Indian Credit and Financing Program (1965B)	15.010
Archived (2010)	Archived (1967B)	Jellyfish Control (1969B)
14.912	American Indian Education Financial Assistance (1965B)	Transferred to 11.416 (1971B)
Lead Hazard Control Capacity Building (2009)	Archived (1967B)	15.011
Archived (2010)	On-Reservation Adult Education Program for American Indians (1965B)	Experienced Workers Support Services Activities (2019)
14.913	Archived (1967B)	Experienced Workers Support Services Activities (2019)
Healthy Homes Implementation Grant Program (2010)	Post High School Training for American Indian Youth (1965B)	Experienced Workers Support Services Activities (2020)
Healthy Homes Production Grant Program (2010)	Archived (1967B)	(2020)
Healthy Homes Production Program (2013)	Summer Programs for American Indian Students (1965B)	To: Experienced Services ProgramFrom: Experienced Workers Support Services Activities (2020)
Archived (2017)	Archived (1967B)	Experienced Services Program (2020)
Reinstated (2018)	Programs for American Indians (1969B)	Experienced Services Program (2020)
Healthy Homes Production Program (2019)	Split into "Indian Programs" (1970B)	Experienced Services Program (2021)
Healthy Homes Production Program (2020)	15.001	15.012
Healthy Homes Production Program (2021)	Anadromous and Great Lakes Fisheries Conservation (1970B)	Residential Environmental Learning Centers (2019)
14.914	Transferred to 11.405 (1971B)	Residential Environmental Learning Centers (2020) (2020)
Asthma Intervention in Multi-Family Housing Grant Program (2010)	15.002	Residential Environmental Learning Centers (2021)
Asthma Interventions in Public and Assisted Multifamily Housing (2010)	Commercial Fisheries Disaster Assistance (1970B)	15.013
Archived (2017)	Transferred to 11.406 (1971B)	Alaska Native Science and Engineering (2021)
Reinstated (2019)	15.003	Alaska Native Science and Engineering (2021)
Asthma Interventions in Public and Assisted Multifamily Housing (2019)	Anadromous and Great Lakes Fisheries Conservation (1970B)	15.014
Archived (2020)	Transferred to 11.405 (1971B)	Supporting the Lower Mississippi Delta Initiative (2021)
14.920	15.004	15.015
Lead Hazard Control for High Risk Areas (2019)	Commercial Fisheries Disaster Assistance (1970B)	Good Neighbor Authority (2021)
Lead Hazard Control for High Risk Areas (2019)	Transferred to 11.406 (1971B)	15.016
Lead Hazard Control for High Risk Areas (2020)		Experienced Services Program (2021)

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15.017

Eastern Nevada Conservation, Recreation and Development (2021)

15.020

Aid to Tribal Governments (1997B)
Aid To Tribal Governments (2018)
Aid To Tribal Governments (2019)
Aid To Tribal Governments (2020)
Aid To Tribal Governments (2020)
Aid To Tribal Governments (2021)

15.021

Consolidated Tribal Government Program (1997B)
Archived (1999B)
Reinstated (2002B)
Archived (2010)
Reinstated (2010)
Consolidated Tribal Government (2016)
Consolidated Tribal Government (2018)
Consolidated Tribal Government (2019)
Consolidated Tribal Government (2020)
Consolidated Tribal Government (2020)
Consolidated Tribal Government (2021)

15.022

Tribal Self-Governance (1997B)
Archived (2010)
Reinstated (2010)
Tribal Self-Governance (2018)
Tribal Self-Governance (2019)
Tribal Self-Governance (2020)
Tribal Self-Governance (2020)
Tribal Self-Governance (2021)

15.023

Tribal Self-Governance Grants (1997B)
Archived (2001B)

15.024

Indian Self-Determination Contract Support (1997B)
Archived (2010)
Reinstated (2010)
Indian Self-Determination Contract Support (2018)
Indian Self-Determination Contract Support (2019)
Indian Self-Determination Contract Support (2020)
Indian Self-Determination Contract Support (2020)
Indian Self-Determination Contract Support (2021)

15.025

Services to Indian Children, Elderly and Families (1997B)
Archived (2010)
Reinstated (2010)
Services to Indian Children, Elderly and Families (2018)
Services to Indian Children, Elderly and Families (2019)
Services to Indian Children, Elderly and Families (2020)
Services to Indian Children, Elderly and Families (2020)
Services to Indian Children, Elderly and Families (2021)

15.026

Indian Adult Education (1997B)
Archived (2010)
Reinstated (2010)
Indian Adult Education (2018)
Indian Adult Education (2019)
Indian Adult Education (2020)
Indian Adult Education (2020)
Indian Adult Education (2021)

15.027

Assistance to Tribally Controlled Community Colleges and Universities (1997B)
Archived (2010)
Reinstated (2010)
Archived (2010)
Reinstated (2011)
Assistance to Tribally Controlled Community Colleges and Universities (2018)
Assistance to Tribally Controlled Community Colleges and Universities (2019)
Assistance to Tribally Controlled Community Colleges and Universities (2020)
Assistance to Tribally Controlled Community Colleges and Universities (2020)
Assistance to Tribally Controlled Community Colleges and Universities (2020)
Assistance to Tribally Controlled Community Colleges and Universities (2021)

15.028

Tribally Controlled Community College Endowments (1997B)
Archived (2010)
Reinstated (2010)
Tribally Controlled Community College Endowments (2018)
Tribally Controlled Community College Endowments (2019)
Tribally Controlled Community College Endowments (2020)
Tribally Controlled Community College Endowments (2020)
Tribally Controlled Community College Endowments (2021)

15.029

Tribal Courts (1997B)
Archived (2010)
Reinstated (2010)
Tribal Courts (2018)
Tribal Courts (2019)
Tribal Courts (2020)
Tribal Courts (2020)
Tribal Courts (2021)

15.030

Indian Law Enforcement (1997B)
Archived (2010)
Reinstated (2010)
Indian Law Enforcement (2018)
Indian Law Enforcement (2019)
Indian Law Enforcement (2020)
Indian Law Enforcement (2020)
Indian Law Enforcement (2021)

15.031

Indian Community Fire Protection (1997B)
Archived (2010)
Reinstated (2010)

Indian Community Fire Protection (2018)
Indian Community Fire Protection (2019)
Indian Community Fire Protection (2020)
Indian Community Fire Protection (2020)
Indian Community Fire Protection (2021)

15.032

Indian Economic Development (1997B)
Archived (2010)
Reinstated (2010)
Indian Economic Development (2018)
Indian Economic Development (2019)
Indian Economic Development (2020)
Indian Economic Development (2020)
Indian Economic Development (2021)

15.033

Road Maintenance_Indian Roads (1997B)
Road Maintenance Indian Roads (2018)
Road Maintenance Indian Roads (2019)
Road Maintenance Indian Roads (2020)
Road Maintenance Indian Roads (2020)
Road Maintenance Indian Roads (2021)

15.034

Agriculture on Indian Lands (1997B)
Agriculture on Indian Lands (2018)
Agriculture on Indian Lands (2019)
Agriculture on Indian Lands (2020)
Agriculture on Indian Lands (2020)
Agriculture on Indian Lands (2021)

15.035

Forestry on Indian Lands (1997B)
Archived (2010)
Reinstated (2010)
Forestry on Indian Lands (2018)
Forestry on Indian Lands (2019)
Forestry on Indian Lands (2020)
Forestry on Indian Lands (2020)
Forestry on Indian Lands (2021)

15.036

Indian Rights Protection (1997B)
Archived (2010)
Reinstated (2010)
Indian Rights Protection (2018)
Indian Rights Protection (2019)
Indian Rights Protection (2020)
Indian Rights Protection (2020)
Indian Rights Protection (2021)

15.037

Water Resources on Indian Lands (1997B)
Archived (2010)
Reinstated (2010)
Water Resources on Indian Lands (2018)
Water Resources on Indian Lands (2019)
Water Resources on Indian Lands (2020)
Water Resources on Indian Lands (2020)
Water Resources on Indian Lands (2021)

15.038

Minerals and Mining on Indian Lands (1997B)
Archived (2010)
Reinstated (2010)
Minerals and Mining on Indian Lands (2018)

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Minerals and Mining on Indian Lands (2019)
Minerals and Mining on Indian Lands (2020)
Minerals and Mining on Indian Lands (2020)
Minerals and Mining on Indian Lands (2021)

15.039

Fish, Wildlife, and Parks Programs on Indian Lands (1997B)

15.040

Real Estate Programs_Indian Lands (1997B)
Archived (2010)
Reinstated (2010)
Real Estate Programs Indian Lands (2018)
Real Estate Programs Indian Lands (2019)
Real Estate Programs Indian Lands (2020)
Real Estate Programs Indian Lands (2020)
Real Estate Programs Indian Lands (2021)

15.041

Environmental Quality Services_Indian Programs (1997B)
Environmental Management_Indian Programs, Consolidated from 15.056 (1999B)
Environmental Management_Indian (2016)
Environmental Management Indian (2018)
Environmental Management Indian (2019)
Environmental Management Indian (2020)
Environmental Management Indian (2020)
Environmental Management Indian (2021)

15.042

Indian School Equalization Program (1997B)
Archived (2010)
Reinstated (2010)
Indian School Equalization (2016)
Indian School Equalization (2018)
Indian School Equalization (2019)
Indian School Equalization (2020)
Indian School Equalization (2020)
Indian School Equalization (2021)

15.043

Indian Child and Family Education (1997B)
Archived (2010)
Reinstated (2010)
Indian Child and Family Education (2018)
Indian Child and Family Education (2019)
Indian Child and Family Education (2020)
Indian Child and Family Education (2020)
Indian Child and Family Education (2021)

15.044

Indian Schools_Student Transportation (1997B)
Indian Schools Student Transportation (2018)
Indian Schools Student Transportation (2019)
Indian Schools Student Transportation (2020)
Indian Schools Student Transportation (2020)
Indian Schools Student Transportation (2021)

15.045

Assistance for Indian Children with Severe Disabilities (1997B)
Archived (2010)
Reinstated (2010)
Archived (2013)

15.046

Administrative Cost Grants for Indian Schools (1997B)
Administrative Cost Grants for Indian Schools (2018)
Administrative Cost Grants for Indian Schools (2019)
Administrative Cost Grants for Indian Schools (2020)
Administrative Cost Grants for Indian Schools (2020)
Administrative Cost Grants for Indian Schools (2021)

15.047

Indian Education Facilities, Operations, and Maintenance (1997B)
Archived (2010)
Reinstated (2010)
Indian Education Facilities, Operations, and Maintenance (2018)
Indian Education Facilities, Operations, and Maintenance (2019)
Indian Education Facilities, Operations, and Maintenance (2020)
Indian Education Facilities, Operations, and Maintenance (2020)
Indian Education Facilities, Operations, and Maintenance (2021)

15.048

Bureau of Indian Affairs Facilities, Operations and Maintenance (1997B)
Bureau of Indian Affairs Facilities Operations and Maintenance (2018)
Bureau of Indian Affairs Facilities Operations and Maintenance (2019)
Bureau of Indian Affairs Facilities Operations and Maintenance (2020)
Bureau of Indian Affairs Facilities Operations and Maintenance (2020)
Bureau of Indian Affairs Facilities Operations and Maintenance (2021)

15.049

Irrigation Operations and Maintenance on Indian Lands (1997B)

15.050

Unresolved Indian Hunting and Fishing Rights (1997B)

15.051

Endangered Species on Indian Lands (1997B)
Archived (2010)
Reinstated (2010)
Endangered Species on Indian Lands (2018)
Endangered Species on Indian Lands (2019)
Endangered Species on Indian Lands (2020)
Endangered Species on Indian Lands (2020)
Endangered Species on Indian Lands (2021)

15.052

Litigation Support for Indian Rights (1997B)
Litigation Support for Indian Rights (2018)
Litigation Support for Indian Rights (2019)
Litigation Support for Indian Rights (2020)
Litigation Support for Indian Rights (2020)
Litigation Support for Indian Rights (2021)

15.053

Attorney Fees_Indian Rights (1997B)

Attorney Fees Indian Rights (2018)
Attorney Fees Indian Rights (2019)
Attorney Fees Indian Rights (2020)
Attorney Fees Indian Rights (2020)
Attorney Fees Indian Rights (2021)

15.054

Unresolved Indian Rights Issues (1997B)
Archived (1999B)

15.055

Alaskan Indian Allotments and Subsistence Preference_Alaska National Interest Lands Conservation Act (1997B)
Archived (2009)

15.056

Waste Management_Indian Lands (1997B)
Consolidated into 15.041 (1999B)

15.057

Navajo-Hopi Indian Settlement Program (1997B)
Archived (2010)
Reinstated (2010)
Navajo-Hopi Indian Settlement (2016)
Navajo-Hopi Indian Settlement (2018)
Navajo-Hopi Indian Settlement (2019)
Navajo-Hopi Indian Settlement (2020)
Navajo-Hopi Indian Settlement (2020)
Navajo-Hopi Indian Settlement (2021)

15.058

Indian Post Secondary Schools (1997B)
Archived (2010)
Reinstated (2010)
Indian Post Secondary Schools (2018)
Indian Post Secondary Schools (2019)
Indian Post Secondary Schools (2020)
Indian Post Secondary Schools (2020)
Indian Post Secondary Schools (2021)

15.059

Indian Graduate Student Scholarships (1997B)
Indian Graduate Student Scholarships (2018)
Indian Graduate Student Scholarships (2019)
Indian Graduate Student Scholarships (2020)
Indian Graduate Student Scholarships (2020)
Indian Graduate Student Scholarships (2021)

15.060

Indian Vocational Training_United Tribes Technical College (1997B)
Archived (2010)
Reinstated (2010)
Indian Vocational Training United Tribes Technical College (2018)
Indian Vocational Training United Tribes Technical College (2019)
Indian Vocational Training United Tribes Technical College (2020)
Indian Vocational Training United Tribes Technical College (2020)
Indian Vocational Training United Tribes Technical College (2021)

15.061

Indian Job Placement_United Sioux Tribes

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Development Corporation (1997B) Archived (2001B) Reinstated (2002B) Indian Job Placement United Sioux Tribes Development Corporation (2018) Indian Job Placement United Sioux Tribes Development Corporation (2019) Indian Job Placement United Sioux Tribes Development Corporation (2020) Indian Job Placement United Sioux Tribes Development Corporation (2021)	Strengthening Tribal Nations (2021)	15.109 Indian_Federal School Facilities_Dormitory Operations (1970B) Indian Education_Dormitory Operations (1972B) Archived (1978B)
15.062 Replacement and Repair of Indian Schools (1997B) Replacement and Repair of Indian Schools (2018) Replacement and Repair of Indian Schools (2019) Replacement and Repair of Indian Schools (2020) Replacement and Repair of Indian Schools (2020) Replacement and Repair of Indian Schools (2021)	15.100 Adult Vocational Training_American Indians (1965B) Indian_Adult Education (1970B) Indian Education_Adults (1972B) Archived (1978B)	15.110 Federal Schools for American Indians (1965B) Indian_Federal Schools (1970B) Indian Education_Federal Schools (1972B) Archived (1978B)
15.063 Construction and Repair of Indian Detention Facilities (1997B) Improvement and Repair of Indian Detention Facilities (1999B) Archived (2010) Reinstated (2010) Improvement and Repair of Indian Detention Facilities (2018) Improvement and Repair of Indian Detention Facilities (2019) Improvement and Repair of Indian Detention Facilities (2020) Improvement and Repair of Indian Detention Facilities (2020) Improvement and Repair of Indian Detention Facilities (2021)	15.101 Indian_Agricultural Extension (1970B) Indian Agricultural Extension (1972B) Archived (1978B)	15.111 Indian_Forest Fire Suppression and Emergency Rehabilitation (1970B) Indian Forests_Fire Suppression and Emergency Rehabilitation (1972B) Archived (1978B)
15.064 Structural Fire Protection_Bureau of Indian Affairs Facilities (1997B) Archived (2010) Reinstated (2010) Archived (2010)	15.102 Indian_Aid to Tribal Governments (1970B) Indian Tribal Government Operations (1972B) Archived (1978B)	15.112 Indian_Forestry Development (1970B) Indian_Forest Management, Protection and Development (1971B) Indian Forests_Management, Protection, and Development (1972B) Archived (1978B)
15.065 Safety of Dams on Indian Lands (1997B) Safety of Dams on Indian Lands (2018) Safety of Dams on Indian Lands (2019) Safety of Dams on Indian Lands (2020) Safety of Dams on Indian Lands (2020) Safety of Dams on Indian Lands (2021)	15.103 Social Services to American Indian Children (1965B) Indian_Child Welfare Assistance (1970B) Indian Social Services_Child Welfare Assistance (1972B) Consolidated into 15.113 (1999B)	15.113 General Assistance and Social Services to American Indians (1965B) Indian_General Assistance (1970B) Indian Social Services_General Assistance (1972B) Consolidated from 15.103 (1999B) Indian Social Services_Welfare Assistance (2001B) Indian Social Services Welfare Assistance (2018) Indian Social Services Welfare Assistance (2019) Indian Social Services Welfare Assistance (2020) Indian Social Services Welfare Assistance (2020) Indian Social Services Welfare Assistance (2021)
15.066 Tribal Great Lakes Restoration Initiative (2015) Tribal Great Lakes Restoration Initiative (2018) Tribal Great Lakes Restoration Initiative (2019) Tribal Great Lakes Restoration Initiative (2020) Tribal Great Lakes Restoration Initiative (2020) Tribal Great Lakes Restoration Initiative (2021)	15.104 Indian_Community Development (1970B) Indian Community Development (1972B) Archived (1974B)	15.114 Scholarship Aid for American Indian Students in Higher Education (1965B) Indian_Higher Education (1970B) Indian Education_Colleges and Universities (1972B) Indian Education_Higher Education Grant Program (1982B) Indian Education_Higher Education Grant (2016) Indian Education Higher Education Grant (2018) Indian Education Higher Education Grant (2019) Indian Education Higher Education Grant (2020) Indian Education Higher Education Grant (2020) Indian Education Higher Education Grant (2021)
15.067 Strengthening Tribal Nations (2015) Strengthening Tribal Nations (2018) Strengthening Tribal Nations (2019) Strengthening Tribal Nations (2020) Strengthening Tribal Nations (2020)	15.105 Indian_Contracts with Indian School Boards (1970B) Indian Education_Contracts with Indian School Boards (1972B) Indian Education_Contracts with Indian Tribal Organizations (1976B) Archived (1978B)	15.115 Indian_Housing Development (1970B) Indian Housing_Development (1972B) Consolidated into 15.141 (1978B)
	15.106 Indian_Construction, Maintenance, and Operation of Irrigation Projects and Related Power Systems (1970B) Indian Lands_Irrigation Construction, Maintenance, Operation, and Related Power Systems (1972B) Archived (1978B)	15.116 Indian_Housing Improvement (1970B) Indian Housing_Improvement (1972B) Consolidated into 15.141 (1978B)
	15.107 Indian_Engineering Technical Assistance (1970B) Indian Engineering Technical Assistance (1972B) Indian Technical Assistance (1973U) Indian Action Team Program (1976B) Archived (1981U)	15.117 American Indian Industrial Development Program (1965B)
	15.108 Direct Employment Assistance_American Indians (1965B) American Indians_Direct Employment Assistance (1967B) Indian_Employment Assistance (1970B) Indian Employment Assistance (1972B) Indian Employment Assistance (2018) Indian Employment Assistance (2019) Indian Employment Assistance (2020) Indian Employment Assistance (2020) Indian Employment Assistance (2021)	

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Indian_Industrial and Tourism Development (1970B) Indian_Industrial and Tourism Development and On-the-Job Training (1971B) Indian Industrial and Tourism Development and On-the-Job Training (1972B) Indian Business Enterprise Development (1975B) Archived (1979U1)	15.127 Indian_Transfer of Federally-Owned Buildings, Improvements, and/or Facilities (1970B) Indian Property Acquisition_Transfer of Federally Owned Buildings, Improvements, and/or Facilities (1972B) Archived (1978B)	15.135 Indian Water Rights (1973U) Indian Rights Protection (1974B) Archived (1978B)
15.118 Indian_Investment of Tribal Trust Funds and Individual Indian Monies (1970B) Indian Investments_Tribal Trust Funds and Individual Indian Monies (1972B) Archived (1978B)	15.128 Indian_Transfer of Indian School Properties (1970B) Indian Property Acquisition_Transfer of Indian School Properties (1972B) Archived (1978B)	15.136 Indian Lands_Environmental Quality Services (1975B) Archived (1978B)
15.119 Indian_Range Management (1970B) Indian Lands_Range Management (1972B) Archived (1978B)	15.129 Indian_Tribal Accounting Services (1970B) Indian Accounting Services for Tribes (1972B) Archived (1978B)	15.137 Facility School Construction Planning and Equipping (1976B) Archived (1978B)
15.120 Indian_Real Estate Appraisal (1970B) Indian Lands_Real Estate Appraisal (1972B) Archived (1978B)	15.130 Financial Assistance to School Districts for American Indian Education (1965B) American Indians_Financial Assistance to School Districts (1967B) Indians_Assistance to Non-Federal Schools (1970B) Indian Education_Assistance to Non-Federal Schools (1972B) Indian Education_Assistance to Schools (1976B) Indian Education Assistance to Schools (2018) Indian Education Assistance to Schools (2019) Indian Education Assistance to Schools (2020) Indian Education Assistance to Schools (2020) Indian Education Assistance to Schools (2021)	15.138 Indian Lands_Minerals and Mining (1976B) Archived (1978B)
15.121 Indian_Real Property Management (1970B) Indian Lands_Real Estate Services (1972B) Archived (1978B)	15.131 Indians_Law and Order (1970B) Indian_Judicial, Crime Prevention, and Enforcement Services (1971B) Indian Judicial, Prevention, and Enforcement Services (1972B) Indian Law Enforcement Services (1974B) Split into 15.131, 15.139 (1977B) Archived (1978B)	15.139 Indian Tribal Judicial Services_Contracts with Indian Tribal Organizations (1977B) Split from 15.131 (1977B) Archived (1978B)
15.122 American Indians_Construction of Reservation Roads and Bridges (1967B) Indian_Reservation Roads and Bridges (1970B) Indian Roads_Reservation Roads and Bridges (1972B) Archived (1978B)	15.132 Indian Social Services_Counseling (1972B) Archived (1978B)	15.140 Indian Lands_Wildlife and Parks (1977B) Archived (1978B)
15.123 Indian_Revolving Fund for Expert Assistance Loans (1970B) Indian Loans_Claims Assistance (1972B) Archived (1993B)	15.133 Native American Business Development Institute (2008) Archived (2010) Reinstated (2012) Native American Business Development Institute (2018) Native American Business Development Institute (2019) Native American Business Development Institute (2020) Native American Business Development Institute (2020) Native American Business Development Institute (2021)	15.141 Indian Housing Assistance (1978B) Consolidated from 15.115, 15.116 (1978B) Indian Housing Assistance (2018) Indian Housing Assistance (2019) Indian Housing Assistance (2020) Indian Housing Assistance (2020) Indian Housing Assistance (2021)
15.124 Indian_Revolving Fund for Loans (1970B) Indian Loans_Economic and Social Development (1972B) Indian Loans_Economic Development (1975B) Indian Loans Economic Development (2018) Indian Loans Economic Development (2019) Indian Loans Economic Development (2020) Indian Loans Economic Development (2020) Indian Loans Economic Development (2021)	15.134 Indian Tribal Affairs Management (1972B) Incorporated into 15.102 (1974B)	15.142 Self Determination Grants_Indian Tribal Governments (1978B) Archived (1996U)
15.125 Indian_Roads Maintenance (1970B) Indian Roads_Maintenance (1972B) Archived (1978B)		15.143 Training and Technical Assistance_Indian Tribal Governments (1978B) Archived (1996U)
15.126 Indian_Soil and Moisture Conservation (1970B) Indian Lands_Soil and Moisture Conservation (1972B) Archived (1978B)		15.144 Indian Child Welfare Act_Title II Grants (1980B) Indian Child Welfare Act Title II Grants (2018) Indian Child Welfare Act Title II Grants (2019) Indian Child Welfare Act Title II Grants (2020) Indian Child Welfare Act Title II Grants (2020) Indian Child Welfare Act Title II Grants (2021)
		15.145 Indian Grants_Economic Development (Indian Grant Program) (1986B) Archived (1997B)
		15.146 Ironworker Training Program (1993U) Ironworker Training (2016) Ironworker Training (2018)

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Ironworker Training (2019)
Ironworker Training (2020)
Ironworker Training (2020)
Ironworker Training (2021)

15.147

Tribal Courts_Trust Reform Initiative (2003B)
Tribal Courts Trust Reform Initiative (2018)
Tribal Courts Trust Reform Initiative (2019)
Tribal Courts Trust Reform Initiative (2020)
Tribal Courts Trust Reform Initiative (2020)
Tribal Courts Trust Reform Initiative (2021)

15.148

Tribal Energy Development Capacity Grants (2008)
Tribal Energy Development Capacity Grants (2018)
Tribal Energy Development Capacity Grants (2019)
Tribal Energy Development Capacity Grants (2020)
Tribal Energy Development Capacity Grants (2020)
Tribal Energy Development Capacity Grants (2021)

15.149

FOCUS on Student Achievement Project (2011)
FOCUS on Student Achievement (2016)
FOCUS on Student Achievement (2018)
FOCUS on Student Achievement (2019)
FOCUS on Student Achievement (2020)
FOCUS on Student Achievement (2020)
FOCUS on Student Achievement (2021)

15.150

Juvenile Detention Education (2011)
Juvenile Detention Education (2018)
Juvenile Detention Education (2019)
Juvenile Detention Education (2020)
Juvenile Detention Education (2020)
Juvenile Detention Education (2021)

15.151

Education Program Enhancements (2011)
Education Enhancements (2016)
Education Enhancements (2018)
Education Enhancements (2019)
Education Enhancements (2020)
Education Enhancements (2020)
Education Enhancements (2021)

15.152

Land Buy-Back Program For Tribal Nations (2013)
Land Buy-Back For Tribal Nations (2016)
Land Buy-Back For Tribal Nations (2019)
Land Buy-Back For Tribal Nations (2020)
Land Buy-Back For Tribal Nations (2021)
Land Buy-Back For Tribal Nations (2021)

15.153

Hurricane Sandy Disaster Relief – Coastal Resiliency Grants. (2013)
Hurricane Sandy Disaster Relief – Coastal Resiliency Grants. (2018)
Hurricane Sandy Disaster Relief – Coastal Resiliency Grants. (2019)
Archived (2020)

15.154

21st Century Conservation Service Corps (2014)

21st Century Conservation Service Corps (2018)
21st Century Conservation Service Corps (2019)
21st Century Conservation Service Corps (2021)
21st Century Conservation Service Corps (2021)
21st Century Conservation Service Corps (2021)
21st Century Conservation Service Corps (2021)

15.155

Office of the Special Trustee for American Indians, Field Operations (2014)
Office of the Special Trustee for American Indians, Field Operations (2018)
Office of the Special Trustee for American Indians, Field Operations (2019)
Office of the Special Trustee for American Indians, Field Operations (2021)
Office of the Special Trustee for American Indians, Field Operations (2021)

15.156

Cooperative Landscape Conservation (2014)
Tribal Climate Resilience (2016)
Tribal Climate Resilience (2018)
Tribal Climate Resilience (2019)
Tribal Climate Resilience (2020)
Tribal Climate Resilience (2020)
Tribal Climate Resilience (2021)

15.158

DOI National Fire Plan (2016)
DOI National Fire Plan (2018)
DOI National Fire Plan (2019)
DOI National Fire Plan (2020)
DOI National Fire Plan (2021)
DOI National Fire Plan (2021)

15.159

Cultural Resources Management (2016)
Cultural Resources Management (2018)
Cultural Resources Management (2019)
Cultural Resources Management (2020)
Cultural Resources Management (2020)
Cultural Resources Management (2021)

15.160

BIA Wildland Urban Interface Community Fire Assistance (2017)
BIA Wildland Urban Interface Community Fire Assistance (2018)
BIA Wildland Urban Interface Community Fire Assistance (2019)
BIA Wildland Urban Interface Community Fire Assistance (2020)
BIA Wildland Urban Interface Community Fire Assistance (2020)
BIA Wildland Urban Interface Community Fire Assistance (2021)

15.161

Native Language Immersion Grant (2017)
Native Language Immersion Grant (2019)
Native Language Immersion Grant (2020)
Native Language Immersion Grant (2020)
Native Language Immersion Grant (2021)

15.162

Tiwahe Housing (2018)
Tiwahe Housing (2019)
Tiwahe Housing (2020)
Tiwahe Housing (2020)
Tiwahe Housing (2021)

15.163

Tribal Education Departments (2018)
Tribal Education Departments (2019)
Tribal Education Departments (2020)
Tribal Education Departments (2020)
Tribal Education Departments (2021)

15.200

Land Lease for Airports (1970B)
Archived (1979B)

15.201

Leases, Permits, and Easements for Public Works (1970B)
Archived (1977U)

15.202

Real Property for Public Parks, Public Recreational Areas, and Public Purposes (1965B)
Public Land for Recreation, Public Purposes, and Historic Monuments (1970B)
Archived (1979B)

15.203

Public Land for Rights-of-Way (1970B)
Archived (1979B)

15.204

Real Property Sales for Residential, Commercial, Agricultural, Industrial, or Public Uses or Development (1965B)
Split into 15.209, 15.211 (1971B)

15.205

Exchange of Public Lands (1971B)
Archived (1977B)

15.206

Grazing Privileges (1971B)
Grazing Preference (1977U)
Archived (1979B)
Reinstated (1981U)
Mineral Privileges (1982B)
Archived (1983B)

15.207

Mineral Leasing (1971B)
Archived (1979B)
Reinstated (1981U)
Archived (1983B)

15.208

Non-Sale Disposals From Public Lands (1971B)
Non-Sale Disposals of Material on Public Lands (1973B)
Archived (1979B)

15.209

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Sale of Contiguous Public Lands (1971B) Split from 15.204 (1971B) Archived (1972B)	Tribes (1990B) Cooperative Inspection Agreements with States and Tribes (2018) Cooperative Inspection Agreements with States and Tribes (2018) Cooperative Inspection Agreements with States and Tribes (2019) Cooperative Inspection Agreements with States and Tribes (2020) Cooperative Inspection Agreements with States and Tribes (2020) Cooperative Inspection Agreements with States and Tribes (2021)	Assistance (2016) BLM Wildland Urban Interface Community Fire Assistance (2018) BLM Wildland Urban Interface Community Fire Assistance (2018) BLM Wildland Urban Interface Community Fire Assistance (2019) To: This program has expanded outside of just local communities to other fuels management activities.From: BLM Wildland Urban Interface Community Fire Assistance (2019) To: BLM Fuels Management and Community Fire Assistance Program ActivitiesFrom: This program has expanded outside of just local communities to other fuels management activities. (2019) BLM Fuels Management and Community Fire Assistance Program Activities (2020) BLM Fuels Management and Community Fire Assistance Program Activities (2020) BLM Fuels Management and Community Fire Assistance Program Activities (2021)
15.210 Sale of Forest Products (1971B) Archived (1973B)	15.223 Recreation and Cultural Resource Management (1992B) Split into 15.224, 15.225 (1994B)	15.229 Wild Horse and Burro Resource Management (2005) Wild Horse and Burro Resource Management (2018) Wild Horse and Burro Resource Management (2018) Wild Horse and Burro Resource Management (2019) Wild Horse and Burro Resource Management (2020) Wild Horse and Burro Resource Management (2020) Wild Horse and Burro Resource Management (2021)
15.211 Sale of Isolated Public Lands (1971B) Split from 15.204 (1971B) Archived (1977B)	15.224 Cultural Resource ManagementSplit from 15.223 (1994B) Cultural and Paleontological Resources Management (2016) Cultural and Paleontological Resources Management (2018) Cultural and Paleontological Resources Management (2019) Cultural and Paleontological Resources Management (2020) Cultural and Paleontological Resources Management (2020) Cultural and Paleontological Resources Management (2021)	15.230 Invasive and Noxious Plant Management (2008) Invasive and Noxious Plant Management (2018) Invasive and Noxious Plant Management (2018) Invasive and Noxious Plant Management (2019) Invasive and Noxious Plant Management (2020) Invasive and Noxious Plant Management (2020) Invasive and Noxious Plant Management (2021)
15.212 Sale of Public Lands Thought to be Private (1971B) Archived (1979B)	15.225 Recreation Resource ManagementSplit from 15.223 (1994B) Recreation and Visitor Services (2018) Recreation and Visitor Services (2018) Recreation and Visitor Services (2018) Recreation and Visitor Services (2019) Recreation and Visitor Services (2020) Recreation and Visitor Services (2020) Recreation and Visitor Services (2021)	15.231 Fish, Wildlife and Plant Conservation Resource Management (2005) Fish, Wildlife and Plant Conservation Resource Management (2018) Fish, Wildlife and Plant Conservation Resource Management (2019) Fish, Wildlife and Plant Conservation Resource Management (2020) Fish, Wildlife and Plant Conservation Resource Management (2020) Fish, Wildlife and Plant Conservation Resource Management (2021)
15.213 Special Land Use Permits (1971B) Archived (1977B)	15.226 Payments in Lieu of Taxes (1999B) Payments in Lieu of Taxes (2019) Payments in Lieu of Taxes (2020) Payments in Lieu of Taxes (2021) Payments in Lieu of Taxes (2021)	15.232 Wildland Fire Research and Studies Program (2008) Wildland Fire Research and Studies (2016) Wildland Fire Research and Studies (2018) Wildland Fire Research and Studies (2019) To: Joint Fire Science ProgramFrom: Wildland Fire Research and Studies (2019) Joint Fire Science Program (2020) Joint Fire Science Program (2020) Joint Fire Science Program (2021)
15.214 Non-Sale Disposals of Mineral Material (1972B) Archived (1979B) Reinstated (1981U) Non-Sale Disposals of Mineral Material (2018) Non-Sale Disposals of Mineral Material (2018) Non-Sale Disposals of Mineral Material (2019) Non-Sale Disposals of Mineral Material (2020) Non-Sale Disposals of Mineral Material (2021)	15.227 Distribution of Receipts to State and Local Governments (2001B) Archived (2017)	15.233 Forests and Woodlands Resource Management (2008)
15.215 Sale of Mineral Material (1972B) Archived (1979B) Reinstated (1981U) Archived (1983B)	15.228 Wildland Urban Interface Community and Rural Fire Assistance (2001B) National Fire Plan - Wildland Urban Interface Community Fire Assistance (2005) National Fire Plan - Wildland Urban Interface Community Fire Assistance (2006) BLM Wildland Urban Interface Community Fire	
15.217 Cooperative Agreements with Interpretive Associations (1981U) Cooperative Agreements with Cooperating Associations (1983B) Archived (1985B)		
15.218 Special Recreation Permits (1981U) Archived (1985B)		
15.219 Wildlife Habitat Management Technical Assistance (1981U) Archived (1995B)		
15.220 Law Enforcement (1981U) Archived (1983B)		
15.221 Cooperative Agreements for Research to Public Lands Management (1983U) Cooperative Agreements for Research in Public Lands Management (1985U) Archived (1994B)		
15.222 Cooperative Inspection Agreements with States and		

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Forests and Woodlands Resource Management (2018)
Forests and Woodlands Resource Management (2019)
To: Forest and Woodlands Resource
ManagementFrom: Forests and Woodlands Resource
Management (2020)
Forest and Woodlands Resource Management (2020)
Forest and Woodlands Resource Management (2021)

15.234

Secure Rural Schools and Community Self-Determination (2008)
Secure Rural Schools and Community Self-Determination (2018)
Secure Rural Schools and Community Self-Determination (2019)
Secure Rural Schools and Community Self-Determination (2020)
Secure Rural Schools and Community Self-Determination (2020)
Secure Rural Schools and Community Self-Determination (2020)
Secure Rural Schools and Community Self-Determination (2021)

15.235

Southern Nevada Public Land Management (2008)
Southern Nevada Public Land Management (2018)
Southern Nevada Public Land Management (2019)
Southern Nevada Public Land Management (2020)
Southern Nevada Public Land Management (2020)
Southern Nevada Public Land Management (2021)

15.236

Environmental Quality and Protection Resource Management (2008)
Environmental Quality and Protection (2017)
Environmental Quality and Protection (2018)
Environmental Quality and Protection (2019)
Environmental Quality and Protection (2020)
Environmental Quality and Protection (2020)
Environmental Quality and Protection (2021)

15.237

Rangeland Resource Management (2008)
Rangeland Resource Management (2018)
Rangeland Resource Management (2019)
Rangeland Resource Management (2020)
Rangeland Resource Management (2020)
Rangeland Resource Management (2021)

15.238

Challenge Cost Share (2008)
Challenge Cost Share (2018)
Challenge Cost Share (2019)
Challenge Cost Share (2020)
Challenge Cost Share (2021)

15.239

Management Initiatives (2008)
Management Initiatives (2018)
Management Initiatives (2019)
Management Initiatives (2020)
Management Initiatives (2020)
Management Initiatives (2021)

15.240

Helium Resource Management (2008)
Helium Resource Management (2018)
Archived (2019)

15.241

Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (2009)
Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (2018)
Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (2019)
Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (2020)
Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (2020)
Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (2021)

15.242

National Fire Plan - Rural Fire Assistance (2005)
BLM Rural Fire Assistance (2016)
BLM Rural Fire Assistance (2018)
Archived (2019)

15.243

Youth Conservation Opportunities on Public Lands (2017)
Youth Conservation Opportunities on Public Lands (2018)
Youth Conservation Opportunities on Public Lands (2019)
Youth Conservation Opportunities on Public Lands (2020)
Youth Conservation Opportunities on Public Lands (2020)
Youth Conservation Opportunities on Public Lands (2021)

15.244

Fisheries and Aquatic Resources Management (2018)
Fisheries and Aquatic Resources Management (2019)
Fisheries and Aquatic Resources Management (2020)
Fisheries and Aquatic Resources Management (2020)
Fisheries and Aquatic Resources Management (2021)

15.245

Plant Conservation and Restoration Management (2018)
Plant Conservation and Restoration Management (2019)
Plant Conservation and Restoration Management (2020)
Plant Conservation and Restoration Management (2020)
Plant Conservation and Restoration Management (2021)

15.246

Threatened and Endangered Species (2018)
Threatened and Endangered Species (2019)
Threatened and Endangered Species (2020)
Threatened and Endangered Species (2020)
Threatened and Endangered Species (2021)

15.247

Wildlife Resource Management (2018)
Wildlife Resource Management (2019)
Wildlife Resource Management (2020)
Wildlife Resource Management (2020)
Wildlife Resource Management (2021)

15.248

National Landscape Conservation System (2019)
National Landscape Conservation System (2020)
National Landscape Conservation System (2020)
National Landscape Conservation System (2021)

15.250

Regulation of Surface Mining and Surface Effects of Underground Coal Mining (1978U)
Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (1980U1)
Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (2019)
Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (2020)
Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (2021)

15.251

Grants for Mining and Mineral Resources, Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1978U)
Grants for Mining and Mineral Resources and Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1980B)
Archived (1981U)

15.252

Abandoned Mine Land Reclamation Program (1979U2)
Abandoned Mine Land Reclamation (AMLR) Program (1982B)
Abandoned Mine Land Reclamation (AMLR) (2016)
Abandoned Mine Land Reclamation (AMLR) (2019)
Abandoned Mine Land Reclamation (AMLR) (2020)
Abandoned Mine Land Reclamation (AMLR) (2021)

15.253

Not-for-Profit AMD Reclamation (1999B)
Not-for-Profit AMD Reclamation (2019)
Not-for-Profit AMD Reclamation (2020)
Not-for-Profit AMD Reclamation (2021)

15.254

Summer Watershed Intern (2002B)
Summer Intern and Year-Long OSM/VISTA Watershed Support (2011)
OSM/VISTA AmeriCorps Program (2013)
OSM/VISTA AmeriCorps (2016)
OSM/VISTA AmeriCorps (2019)
OSM/VISTA AmeriCorps (2020)
OSM/VISTA AmeriCorps (2021)

15.255

Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (2007)
Science and Technology Projects Related to Coal Mining and Reclamation (2012)
Science and Technology Projects Related to Coal Mining and Reclamation (2019)
Science and Technology Projects Related to Coal Mining and Reclamation (2020)
Science and Technology Projects Related to Coal Mining and Reclamation (2021)

15.260

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Joint Abandoned Mine Land Reclamation Economic Development Pilot (2016)
Archived (2017)

15.300

Coal Mine Health and Safety (1970B)
Coal Mine Health and Safety Grants (1971B)
Number changed to 15.350 (1973U)

15.301

Control of Fires in Inactive Coal Deposits (1970B)
Archived (1979B)

15.302

Mine Health and Safety Counseling (1970B)
Mine Health and Safety Counseling and Technical Assistance (1971B)
Number changed to 15.351 (1973U)

15.303

Mineral Research_Grants and Contracts (1970B)
Mineral Resources and Environmental Development (1972B)
Mineral and Materials Research and Development (1974U)
Archived (1975U)

15.304

Mineral Research and Resource Information (1970B)
Mineral Research and Resource Information and Technical Assistance (1971B)
Archived (1979B)

15.305

Mine Health and Safety Education and Training (1971B)
Number changed to 15.352 (1973U)

15.306

Mine Health and Safety_Grants and Contracts (1971B)
Archived (1975U)

15.307

Energy Research_Grants and Contracts (1974U)
Transferred to 24.034 (1975B)

15.308

Grants for Mining and Mineral Resources and Research Institutes (1983B)
Archived (1995B)

15.350

Coal Mine Health and Safety Grants (1973U)
Number changed from 15.300 (1973U)
Transferred to 17.600 (1978B)

15.351

Mine Health and Safety Counseling and Technical Assistance (1973U)
Number changed from 15.302 (1973U)
Transferred to 17.601 (1978B)

15.352

Mine Health and Safety Education and Training (1973U)

Number changed from 15.305 (1973U)
Transferred to 17.602 (1978B)

15.400

Outdoor Recreation Program (1965B)
Outdoor Recreation_Financial Assistance (1969B)
Outdoor Recreation_Acquisition and Development (1971B)
Outdoor Recreation_Acquisition, Development and Planning (1974B)
Number changed to 15.916 (1981B)

15.401

Outdoor Recreation Assistance (1967B)
Outdoor Recreation Financial Assistance (1969B)
Outdoor Recreation Planning_Financial Assistance (1970B)
Outdoor Recreation State Planning_Financial Assistance (1971B)
Incorporated into 15.400 (1974B)

15.402

Outdoor Recreation Technical Assistance (1967B)
Recreation_Technical Assistance (1980U1)
Number changed to 15.917 (1981B)

15.403

Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (1977B)
Number changed to 15.918 (1981B)

15.405

National Registry of Natural Landmarks (1978B)
Number changed from 15.910 (1978B)
National Natural Landmarks Program (1980B)
Number changed to 15.910 (1981B)

15.406

National Park Service Centennial Challenge. (2008)
National Park Service Centennial Challenge (2019)
National Park Service Centennial Challenge (2020)
National Park Service Centennial Challenge (2021)

15.407

Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (2008)
Keweenaw National Historical Park (NHP) Preservation Grants (2018)
Keweenaw National Historical Park (NHP) Preservation Grants (2019)
Keweenaw National Historical Park (NHP) Preservation Grants (2020)
Keweenaw National Historical Park (NHP) Preservation Grants (2021)

15.408

Bureau of Ocean Energy Management Renewable Energy Program (2013)
Bureau of Ocean Energy Management Renewable Energy (2020)
Bureau of Ocean Energy Management Renewable Energy (2020)
Bureau of Ocean Energy Management Renewable Energy (2020)
Bureau of Ocean Energy Management Renewable Energy (2021)

15.410

Historic American Buildings Survey (1978B)
Number changed from 15.903 (1978B)
National Architectural and Engineering Record (1980U1)
Number changed to 15.909 (1981B)
Historic American Buildings Survey/Historic American Engineering Record (1982B)

15.411

Historic Preservation Grants-In-Aid (1978B)
Number changed from 15.904 (1978B)
Number changed to 15.904 (1981B)

15.412

Archeological Investigations and Salvage (1978B)
Number changed from 15.908 (1978B)
Archived (1980B)

15.413

Historic American Engineering Record (1978B)
Number changed from 15.909 (1978B)
Incorporated into 15.410 (1980U1)

15.414

National Historic Landmark (1978B)
Number changed from 15.912 (1978B)
Number changed to 15.912 (1981B)

15.415

Technical Preservation Services (1978B)
Number changed from 15.913 (1978B)
Number changed to 15.915 (1981B)

15.416

National Register of Historic Places (1978B)
Number changed from 15.914 (1978B)
Number changed to 15.914 (1981B)

15.417

Urban Park and Recreation Recovery Program (1979U2)
Number changed to 15.919 (1981B)

15.418

Park and Recreation Technical Assistance (1980B)
Number changed from 15.906 (1980B)
Incorporated into 15.402 (1980U1)

15.419

HCRS/NPRA Park Practice Program (1980B)
Number changed from 15.907 (1980B)
Incorporated into 15.402 (1980U1)

15.420

Training Institute for Park and Recreation Management (1980B)
Number changed from 15.911 (1980B)
Incorporated into 15.402 (1980U1)

15.421

Alaska Coastal Marine Institute (2006)
Alaska Coastal Marine Institute (2020)
Alaska Coastal Marine Institute (2020)
Alaska Coastal Marine Institute (2020)
Alaska Coastal Marine Institute (2021)

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- (2021)
Alaska Coastal Marine Institute (2021)
- 15.422**
Louisiana State University (LSU) Coastal Marine Institute (CMI) (2006)
Louisiana State University (LSU) Coastal Marine Institute (CMI) (2020)
(2020)
Louisiana State University (LSU) Coastal Marine Institute (CMI) (2020)
(2020)
Louisiana State University (LSU) Coastal Marine Institute (CMI) (2020)
Louisiana State University (LSU) Coastal Marine Institute (CMI) (2021)
- 15.423**
Minerals Management Service (MMS) Environmental Studies Program (ESP) (2006)
Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP) (2013)
Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (2020)
(2020)
Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (2020)
Bureau of Ocean Energy Management (BOEM) Environmental Studies (ES) (2021)
- 15.424**
Marine Minerals Activities (2006)
Marine Minerals Activities - Hurricane Sandy (2013)
Marine Minerals Activities (2020)
(2020)
Marine Minerals Activities (2020)
Marine Minerals Activities (2021)
- 15.425**
Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (2006)
Archived (2017)
- 15.426**
Coastal Impact Assistance Program (CIAP) (2007)
Archived (2013)
- 15.427**
Federal Oil and Gas Royalty Management (2008)
Federal Oil and Gas Royalty Management State and Tribal Coordination (2013)
Federal Oil and Gas Royalty Management State and Tribal Coordination (2019)
Federal Oil and Gas Royalty Management State and Tribal Coordination (2021)
(2021)
Federal Oil and Gas Royalty Management State and Tribal Coordination (2021)
- 15.428**
Marine Gas Hydrate Research Activities (2008)
Marine Gas Hydrate Research Activities (2020)
(2020)
Marine Gas Hydrate Research Activities (2020)
Marine Gas Hydrate Research Activities (2020)
Marine Gas Hydrate Research Activities (2021)
- 15.429**
State Select (2011)
State Select (2019)
State Select (2020)
State Select (2021)
(2021)
State Select (2021)
- 15.430**
8(g) State Coastal Zone (2011)
8(g) State Coastal Zone (2019)
8(g) State Coastal Zone (2020)
8(g) State Coastal Zone (2021)
(2021)
8(g) State Coastal Zone (2021)
- 15.431**
Alaska State Select (2011)
Alaska Settlement Agreement (2013)
Alaska Settlement Agreement (2019)
Alaska Settlement Agreement (2020)
Alaska Settlement Agreement (2021)
(2021)
Alaska Settlement Agreement (2021)
- 15.432**
California Refuge Account (2011)
California Refuge Account (2019)
California Refuge Account (2020)
California Refuge Account (2021)
(2021)
California Refuge Account (2021)
- 15.433**
Flood Control Act Lands (2011)
Flood Control Act Lands (2019)
Flood Control Act Lands (2020)
Flood Control Act Lands (2021)
(2021)
Flood Control Act Lands (2021)
- 15.434**
Geothermal Resources (2011)
Geothermal Resources (2019)
Geothermal Resources (2020)
Geothermal Resources (2021)
(2021)
Geothermal Resources (2021)
- 15.435**
GoMESA (2011)
GoMESA (2019)
GoMESA (2020)
GoMESA (2021)
(2021)
GoMESA (2021)
- 15.436**
Late Disbursement Interest (2011)
Late Disbursement Interest (2019)
Late Disbursement Interest (2020)
Late Disbursement Interest (2021)
(2021)
Late Disbursement Interest (2021)
- 15.437**
Minerals Leasing Act (2011)
- Minerals Leasing Act (2019)
Minerals Leasing Act (2020)
Minerals Leasing Act (2021)
(2021)
Minerals Leasing Act (2021)
- 15.438**
National Forest Acquired Lands (2011)
National Forest Acquired Lands (2019)
National Forest Acquired Lands (2020)
National Forest Acquired Lands (2021)
(2021)
National Forest Acquired Lands (2021)
- 15.439**
National Petroleum Reserve - Alaska (2011)
National Petroleum Reserve - Alaska (2019)
National Petroleum Reserve - Alaska (2020)
National Petroleum Reserve - Alaska (2021)
(2021)
National Petroleum Reserve - Alaska (2021)
- 15.440**
South Half of the Red River (2011)
South Half of the Red River (2019)
South Half of the Red River (2020)
South Half of the Red River (2021)
(2021)
South Half of the Red River (2021)
- 15.441**
Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (2012)
Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (2018)
Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (2019)
Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (2020)
(2020)
Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (2020)
To: Safety and Environmental Research and Data Collection for Offshore Energy and Mineral Activities From: Safety and Environmental Enforcement Research and Data Collection for Offshore Energy and Mineral Activities (2020)
Safety and Environmental Research and Data Collection for Offshore Energy and Mineral Activities (2021)
- 15.442**
Alaska Native Science and Engineering (2016)
Alaska Native Science and Engineering (2018)
Alaska Native Science and Engineering (2019)
Alaska Native Science and Engineering (2020)
(2020)
Alaska Native Science and Engineering (2020)
Alaska Native Science and Engineering (2021)
- 15.443**
Not For Profit (2020)

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(2020) Not For Profit (2020) Not For Profit (2021)	15.507 Water 2025 (2004) WaterSMART (Sustaining and Manage America's Resources for Tomorrow) (2010) Water SMART (Sustaining and Manage America's Resources for Tomorrow) (2019) Water SMART (Sustaining and Manage America's Resources for Tomorrow) (2020) To: WaterSMART (Sustain and Manage America's Resources for Tomorrow)From: Water SMART (Sustaining and Manage America's Resources for Tomorrow) (2020) WaterSMART (Sustain and Manage America's Resources for Tomorrow) (2021)	(2021)
15.444 Take Pride (2020) (2020) Take Pride (2020) Take Pride (2021)	15.508 Providing Water to At-Risk Natural Desert Terminal Lakes (2004) Providing Water to At-Risk Natural Desert Terminal Lakes (2019) Providing Water to At-Risk Natural Desert Terminal Lakes (2020) Providing Water to At-Risk Natural Desert Terminal Lakes (2021)	15.513 Dutch John Federal Property and Disposition Assistance Act (2007) Archived (2014)
15.500 Atmospheric Water Resources Research (1970B) Archived (1971B)	15.509 Colorado River Basin Salinity Control Program (2007) Title II, Colorado River Basin Salinity Control Program (2013) Title II, Colorado River Basin Salinity Control (2016) Title II, Colorado River Basin Salinity Control (2019) Title II, Colorado River Basin Salinity Control (2020) Title II, Colorado River Basin Salinity Control (2021)	15.514 Reclamation States Emergency Drought Relief (2007) Archived (2013) Reinstated (2014) Reclamation States Emergency Drought Relief (2019) Reclamation States Emergency Drought Relief (2020) Reclamation States Emergency Drought Relief (2021)
15.501 Irrigation and Drainage Systems Loans (1970B) Irrigation Systems Loans (1970U) Irrigation Distribution System Loans (1971B) Distribution System Loans (1984B) Archived (1994U)	15.510 Colorado Ute Indian Water Rights Settlement Act (2007) Colorado Ute Indian Water Rights Settlement Act (2019) Colorado Ute Indian Water Rights Settlement Act (2020) Colorado Ute Indian Water Rights Settlement Act (2021)	15.515 Federal Resource Protection (2008) Archived (2010)
15.502 Irrigation Systems Rehabilitation and Betterment (1970B) Archived (1994U)	15.511 Cultural Resources Management (2007) Cultural Resources Management (2019) Cultural Resources Management (2020) Cultural Resources Management (2021)	15.516 Fort Peck Reservation Rural Water System (2007) Fort Peck Reservation Rural Water System (2019) Fort Peck Reservation Rural Water System (2020) Fort Peck Reservation Rural Water System (2021)
15.503 Reclamation Projects (1965B) Water Resources Investigation and Small Reclamation Projects Loans (1967B) Small Reclamation Project Loans for Water Resource Projects (1969B) Small Reclamation Projects (1970B) Archived (1994U)	15.512 Central Valley Project Improvement Act, Title XXXIV (2007) Central Valley Improvement Act, Title XXXIV (2016) Central Valley Improvement Act, Title XXXIV (2019) Central Valley Improvement Act, Title XXXIV (2019) Central Valley Improvement Act, Title XXXIV (2020) Central Valley Improvement Act, Title XXXIV (2020)	15.517 Fish and Wildlife Coordination Act (2007) Fish and Wildlife Coordination Act (2019) Fish and Wildlife Coordination Act (2019) Fish and Wildlife Coordination Act (2020) Fish and Wildlife Coordination Act (2021)
15.504 Federal Reclamation Projects (1971B) Archived (1979B) Reclamation and Water Reuse Program, Reinstated (1998B) Water Reclamation and Reuse Program (2001B) Title XVI Water Reclamation and Reuse Program (2013) Title XVI Water Reclamation and Reuse (2016) Title XVI Water Reclamation and Reuse (2019) Title XVI Water Reclamation and Reuse (2020) Title XVI Water Reclamation and Reuse (2021)		15.518 Garrison Diversion Unit (2007) Garrison Diversion Unit (2019) Garrison Diversion Unit (2020) Garrison Diversion Unit (2021)
15.505 National Water Research and Development Program (1983B) Number changed from 15.950 (1983B) National Water Research Program (1984B) Archived (1984U)		15.519 Indian Tribal Water Resources Development, Management, and Protection (2007) Indian Tribal Water Resources Development, Management, and Protection (2019) Indian Tribal Water Resources Development, Management, and Protection (2020) Indian Tribal Water Resources Development, Management, and Protection (2021)
15.506 Water Desalination Research and Development Program (1999B) Water Desalination Research and Development (2016) Water Desalination Research and Development (2019) Water Desalination Research and Development (2020) Water Desalination Research and Development (2021)		15.520 Lewis and Clark Rural Water System (2007) Lewis and Clark Rural Water System (2019) Lewis and Clark Rural Water System (2020) Lewis and Clark Rural Water System (2021)

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Mni Wiconi Rural Water Supply Project (2019)	(YRBWEP) (2007)	
Mni Wiconi Rural Water Supply Project (2020)	Yakima River Basin Water Enhancement (YRBWE) (2016)	15.538
Mni Wiconi Rural Water Supply Project (2021)	Yakima River Basin Water Enhancement (YRBWE) (2019)	Lower Colorado River Multi-Species Conservation Program. (2009)
15.523	Yakima River Basin Water Enhancement (YRBWE) (2020)	Lower Colorado River Multi-Species Conservation (2016)
Perkins County Rural Water System (2007)	Yakima River Basin Water Enhancement (YRBWE) (2021)	Lower Colorado River Multi-Species Conservation (2019)
Archived (2012)		Lower Colorado River Multi-Species Conservation (2020)
15.524	15.532	Lower Colorado River Multi-Species Conservation (2021)
Recreation Resources Management (2008)	Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (2007)	
Recreation Resources Management (2019)	Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (2016)	15.539
Recreation Resources Management (2020)	Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (2019)	Equus Beds Division Acquirer Storage Recharge Project (2009)
Recreation Resources Management (2021)	Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (2020)	Equus Beds Division Acquirer Storage Recharge (2016)
15.525	Central Valley, Trinity River Division, Trinity River Fish and Wildlife Management (2021)	Equus Beds Division Acquirer Storage Recharge (2019)
Rocky Boy's/North Central Montana Regional Water System (2008)		Equus Beds Division Acquirer Storage Recharge (2020)
Rocky Boy's/North Central Montana Regional Water System (2019)		Equus Beds Division Acquirer Storage Recharge (2021)
Rocky Boy's/North Central Montana Regional Water System (2020)		
Rocky Boy's/North Central Montana Regional Water System (2021)		
15.526	15.533	
San Gabriel Basin Restoration Project (2007)	California Water Security and Environmental Enhancement (2007)	
Archived (2014)	California Water Security and Environmental Enhancement (2019)	15.540
Reinstated (2015)	California Water Security and Environmental Enhancement (2020)	Lake Mead/Las Vegas Wash Program (2009)
San Gabriel Basin Restoration (2016)	California Water Security and Environmental Enhancement (2021)	Lake Mead/Las Vegas Wash (2016)
San Gabriel Basin Restoration (2019)		Lake Mead/Las Vegas Wash (2019)
San Gabriel Basin Restoration (2020)		Lake Mead/Las Vegas Wash (2020)
San Gabriel Basin Restoration (2021)		Lake Mead/Las Vegas Wash (2021)
15.527	15.534	
San Luis Unit, Central Valley Project (2007)	Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (2008)	15.541
San Luis Unit, Central Valley (2016)	Archived (2018)	Colorado River Basin Projects Act of 1968 (2009)
San Luis Unit, Central Valley (2019)		Archived (2014)
San Luis Unit, Central Valley (2020)		Reinstated (2015)
San Luis Unit, Central Valley (2021)		Colorado River Basin Act of 1968 (2016)
		Colorado River Basin Act of 1968 (2019)
		Colorado River Basin Act of 1968 (2020)
		Colorado River Basin Act of 1968 (2021)
15.528	15.535	
St. Mary Storage Unit Facilities Rehabilitation Project (2007)	Upper Colorado River Basin Fish and Wildlife Mitigation Program. (2009)	15.542
Archived (2013)	Upper Colorado River Basin Fish and Wildlife Mitigation (2016)	Arizona Water Settlement Act of 2004 (2010)
	Upper Colorado River Basin Fish and Wildlife Mitigation (2019)	Arizona Water Settlement Act of 2004 (2019)
	Upper Colorado River Basin Fish and Wildlife Mitigation (2020)	Arizona Water Settlement Act of 2004 (2020)
	Upper Colorado River Basin Fish and Wildlife Mitigation (2020)	Arizona Water Settlement Act of 2004 (2021)
	Upper Colorado River Basin Fish and Wildlife Mitigation (2021)	
15.529	15.536	
Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (2007)	Deschutes River Conservancy (DRC) (2009)	15.543
Upper Colorado and San Juan River Basins Endangered Fish Recovery (2016)	Archived (2012)	Lake Tahoe Regional Wetlands Development Program (2010)
Upper Colorado and San Juan River Basins Endangered Fish Recovery (2019)		Lake Tahoe Regional Wetlands Development (2016)
Upper Colorado and San Juan River Basins Endangered Fish Recovery (2020)		Lake Tahoe Regional Wetlands Development (2019)
Upper Colorado and San Juan River Basins Endangered Fish Recovery (2021)		Lake Tahoe Regional Wetlands Development (2020)
		Lake Tahoe Regional Wetlands Development (2021)
15.530	15.537	
Water Conservation Field Services Program (WCFS) (2007)	Middle Rio Grande Endangered Species Collaborative Program (2009)	15.544
Water Conservation Field Services (WCFS) (2016)	Middle Rio Grande Endangered Species Collaborative (2016)	Platte River Recovery Implementation Program (2010)
Water Conservation Field Services (WCFS) (2019)	Middle Rio Grande Endangered Species Collaborative (2019)	Platte River Recovery Implementation (2016)
Water Conservation Field Services (WCFS) (2020)	Middle Rio Grande Endangered Species Collaborative (2020)	Platte River Recovery Implementation (2019)
Water Conservation Field Services (WCFS) (2021)	Middle Rio Grande Endangered Species Collaborative (2021)	Platte River Recovery Implementation (2020)
		Platte River Recovery Implementation (2021)
15.531		15.545
Yakima River Basin Water Enhancement Project		Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project (2010)
		Archived (2014)

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- Reinstated (2015)
Bunker Hill Groundwater Basin, Riverside-Corona Feeder (2016)
Bunker Hill Groundwater Basin, Riverside-Corona Feeder (2019)
Bunker Hill Groundwater Basin, Riverside-Corona Feeder (2020)
Bunker Hill Groundwater Basin, Riverside-Corona Feeder (2021)
- 15.546**
Youth Conservation Program (2010)
Youth Conservation (2016)
Youth Conservation (2019)
Youth Conservation (2020)
Youth Conservation (2021)
- 15.547**
San Jacinto Basin Restoration Fund (2011)
Archived (2013)
- 15.548**
Reclamation Rural Water Supply Program (2011)
Archived (2014)
Reinstated (2015)
Reinstated (2015)
Reclamation Rural Water Supply (2016)
Reclamation Rural Water Supply (2019)
Reclamation Rural Water Supply (2020)
Reclamation Rural Water Supply (2021)
- 15.549**
Integrated Regional Water Plan for the Central Valley of California (2011)
Archived (2018)
- 15.550**
Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs through Fishing and Boating Events for Physically Challenged and Disadvantaged Children. (2011)
Increasing Public Awareness of Recreational Opportunities at Reclamation Reservoirs for Physically Challenged and Disadvantaged Children (2011)
Fishing Events for Children with Disabilities and Children Who Experience Disadvantages in Their Lives (2016)
Fishing Events for Disadvantaged Children (2016)
Fishing Events for Disadvantaged Children (2019)
Fishing Events for Disadvantaged Children (2020)
Fishing Events for Disadvantaged Children (2021)
- 15.551**
Madera Water Supply Enhancement Project (2011)
Archived (2014)
Reinstated (2014)
Madera Water Supply Enhancement (2016)
Archived (2018)
- 15.552**
NAVAJO-GALLUP WATER SUPPLY PROJECT (2012)
Navajo-Gallup Water Supply (2016)
Navajo-Gallup Water Supply (2019)
Navajo-Gallup Water Supply (2020)
Navajo-Gallup Water Supply (2021)
- 15.553**
Eastern New Mexico Rural Water System Project (2012)
Eastern New Mexico Rural Water System (2016)
Eastern New Mexico Rural Water System (2019)
Eastern New Mexico Rural Water System (2020)
Eastern New Mexico Rural Water System (2021)
- 15.554**
Cooperative Watershed Management Program (2012)
Cooperative Watershed Management (2016)
Cooperative Watershed Management (2019)
Cooperative Watershed Management (2020)
Cooperative Watershed Management (2021)
- 15.555**
San Joaquin River Restoration Program (2012)
San Joaquin River Restoration (2016)
San Joaquin River Restoration (2019)
San Joaquin River Restoration (2020)
San Joaquin River Restoration (2021)
- 15.556**
Crow Tribe Water Rights Settlement (2012)
Crow Tribe Water Rights Settlement (2019)
Crow Tribe Water Rights Settlement (2020)
Crow Tribe Water Rights Settlement (2021)
- 15.557**
Desert and Southern Rockies Landscape Conservation Cooperatives (2012)
To: Applied Science GrantsFrom: Desert and Southern Rockies Landscape Conservation Cooperatives (2018)
Applied Science Grants (2019)
Applied Science Grants (2020)
Applied Science Grants (2021)
- 15.558**
White Mountain Apache Tribe Rural Water System (2012)
White Mountain Apache Tribe Rural Water System (2019)
White Mountain Apache Tribe Rural Water System (2020)
White Mountain Apache Tribe Rural Water System (2021)
- 15.559**
New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure Project (2012)
New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (2016)
New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (2019)
New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (2020)
New Mexico Rio Grande Basin Pueblos Irrigation Infrastructure (2021)
- 15.560**
SECURE Water Act – Research Agreements (2012)
SECURE Water Act – Research Agreements (2019)
SECURE Water Act – Research Agreements (2020)
SECURE Water Act – Research Agreements (2021)
- 15.561**
Savage Rapids Pumping Plant Project (2012)
Archived (2013)
Reinstated (2015)
Savage Rapids Pumping Plant (2016)
Archived (2018)
- 15.562**
Dixie Valley Water Export Study (2013)
Archived (2018)
- 15.563**
Suisun Marsh Preservation Agreement (2013)
Archived (2018)
Reinstated (2019)
Suisun Marsh Preservation Agreement (2019)
Suisun Marsh Preservation Agreement (2020)
Suisun Marsh Preservation Agreement (2021)
- 15.564**
Central Valley Project Conservation Program (2013)
Central Valley Project Conservation (2016)
Central Valley Project Conservation (2019)
Central Valley Project Conservation (2020)
Central Valley Project Conservation (2021)
- 15.565**
Implementation of the Taos Pueblo Indian water rights settlement (2016)
Implementation of the Taos Pueblo Indian water rights settlement (2019)
Implementation of the Taos Pueblo Indian water rights settlement (2020)
Implementation of the Taos Pueblo Indian water rights settlement (2021)
- 15.566**
Upper Klamath Basin Hydrolic Analyses (2017)
Upper Klamath Basin Hydrolic Analyses (2019)
Upper Klamath Basin Hydrolic Analyses (2020)
Upper Klamath Basin Hydrolic Analyses (2021)
- 15.567**
Colorado River Conservation System (Pilot) (2017)
Colorado River Conservation System (Pilot) (2019)
Colorado River Conservation System (Pilot) (2020)
To: Colorado River System Conservation PilotFrom: Colorado River Conservation System (Pilot) (2020)
Colorado River System Conservation Pilot (2021)
- 15.568**
Colorado River Pilot System Conservation (2018)
Colorado River Pilot System Conservation (2019)
Colorado River Pilot System Conservation (2019)
Colorado River Pilot System Conservation (2020)
Colorado River Pilot System Conservation (2021)
(2021)
Archived (2021)
- 15.569**
Educational Outreach (2019)
Educational Outreach (2020)
Educational Outreach (2021)
- 15.570**
Bay-Delta Restoration Program (2020)

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Bay-Delta Restoration Program (2021)	Fish and Wildlife Management Assistance (1986U)	Clean Vessel Act (2019)
15.571	Fish and Wildlife Management Assistance (2018)	Clean Vessel Act (2020)
Salton Sea Program (2020)	Fish and Wildlife Management Assistance (2019)	Clean Vessel Act (2021)
Salton Sea Program (2021)	Fish and Wildlife Management Assistance (2020)	15.617
15.572	Fish and Wildlife Management Assistance (2021)	Wildlife Conservation and Appreciation (1994B)
Agricultural Water Use Efficiency Program (2020)	15.609	15.618
Agricultural Water Use Efficiency Program (2021)	Wildlife Management (1967B)	Administrative Grants for Federal Aid in Sport Fish and Wildlife Restoration (1995B)
15.573	Wildlife Enhancement (1970B)	Archived (2001B)
Temperature Control Devices at Folsom Dam (2020)	Wildlife Technical Assistance (1974U)	15.619
Temperature Control Devices at Folsom Dam (2021)	Archived (1983U)	Rhinoceros and Tiger Conservation (1996U)
15.574	15.610	Rhinoceros and Tiger Conservation Fund (2005)
Water Storage Enhancement (2020)	Wildlife Research (1970B)	Rhinoceros and Tiger Conservation Fund (2006)
Water Storage Enhancement (2021)	Wildlife Research Information (1971B)	Rhinoceros and Tiger Conservation Fund (2018)
15.600	Wildlife Ecology Research Information (1979B)	Rhinoceros and Tiger Conservation Fund (2019)
Anadromous Fish Management (1967B)	Wildlife Research Information (1983B)	Rhinoceros and Tiger Conservation Fund (2020)
Anadromous Fish Conservation (1970B)	Consolidated into 15.975, 15.976 (1994B)	Rhinoceros and Tiger Conservation Fund (2021)
Archived (1996B)	15.611	15.620
15.601	Wildlife Restoration (1970B)	African Elephant Conservation (1996U)
Animal Control (1965B)	Wildlife Restoration and Basic Hunter Education (2011)	African Elephant Conservation Fund (2005)
Animal Damage Control (1967B)	Wildlife Restoration and Basic Hunter Education (2019)	African Elephant Conservation Fund (2006)
Transferred to 10.213 (1986B)	Wildlife Restoration and Basic Hunter Education (2020)	African Elephant Conservation Fund (2018)
15.602	Wildlife Restoration and Basic Hunter Education (2021)	African Elephant Conservation Fund (2019)
Conservation Law Enforcement Training Assistance (1970B)	15.612	African Elephant Conservation Fund (2020)
Archived (2009)	Rare and Endangered Species Conservation (1976B)	African Elephant Conservation Fund (2021)
15.603	Endangered Species Conservation (1977B)	15.621
Farm Fish Pond Management (1965B)	Archived (1995B)	Asian Elephant Conservation (1999B)
Archived (1986U)	15.613	Asian Elephant Conservation Fund (2005)
15.604	Marine Mammal Grant Program (1979B)	Asian Elephant Conservation Fund (2006)
Fish Research (1970B)	Archived (1983U)	Asian Elephant Conservation Fund (2018)
Fishery Research Information (1971B)	15.614	Asian Elephant Conservation Fund (2019)
Consolidated into 15.975, 15.976 (1994B)	Coastal Wetlands Planning, Protection and Restoration Act (1992B)	Asian Elephant Conservation Fund (2020)
15.605	Coastal Wetlands Planning, Protection and Restoration Program (2014)	Asian Elephant Conservation Fund (2021)
Sport Fish Restoration (1970B)	Coastal Wetlands Planning, Protection and Restoration (2016)	15.622
Sport Fish Restoration Program (2007)	Coastal Wetlands Planning, Protection and Restoration (2019)	Sportfishing and Boating Safety Act (1999B)
Sport Fish Restoration (2016)	Coastal Wetlands Planning, Protection and Restoration (2020)	Sportfishing and Boating Safety Act (2019)
Sport Fish Restoration (2019)	Coastal Wetlands Planning, Protection and Restoration (2021)	Sportfishing and Boating Safety Act (2020)
Sport Fish Restoration (2020)	15.615	Sportfishing and Boating Safety Act (2021)
Sport Fish Restoration (2021)	Cooperative Endangered Species Conservation Fund (1992B)	15.623
15.606	Cooperative Endangered Species Conservation Fund (2019)	North American Wetlands Conservation Fund (1999B)
Migratory Bird Banding and Data Analysis (1970B)	Cooperative Endangered Species Conservation Fund (2020)	North American Wetlands Conservation Fund (2019)
Consolidated into 15.975, 15.976 (1994B)	Cooperative Endangered Species Conservation Fund (2021)	North American Wetlands Conservation Fund (2020)
15.607	15.616	North American Wetlands Conservation Fund (2021)
Pesticide Appraisal and Monitoring (1970B)	Clean Vessel Act (1993U)	15.625
Environmental Contaminant Evaluation (1978B)	Clean Vessel Act Program (2014)	Wildlife Conservation and Restoration (2001B)
Resource Contaminant Assessment (1983U)	Clean Vessel Act (2016)	Archived (2009)
Environmental Contaminant (1989B)		Reinstated (2011)
Environmental Contaminants (1993U)		Archived (2019)
Archived (1995B)		15.626
15.608		Hunter Education and Safety Program (2001B)
Sport Fish Management (1965B)		Firearm and Bow Hunter Education and Safety Program (2009)
Sport Fish Technical Assistance (1974U)		Enhanced Hunter Education and Safety Program (2011)
Fishery Resources Technical Assistance (1984U)		Enhanced Hunter Education and Safety (2016)
		Enhanced Hunter Education and Safety (2019)
		Enhanced Hunter Education and Safety (2020)

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Enhanced Hunter Education and Safety (2021)

15.628

Multi-State Conservation Grants (2001B)
Multi-State Conservation Grant Program (2007)
Multistate Conservation Grant Program (2008)
Multistate Conservation Grant (2016)
Multistate Conservation Grant (2019)
Multistate Conservation Grant (2020)
Multistate Conservation Grant (2021)

15.629

Great Apes Conservation (2001B)
Great Apes Conservation Fund (2005)
Great Apes Conservation Fund (2006)
Great Apes Conservation Fund (2018)
Great Apes Conservation Fund (2019)
Great Apes Conservation Fund (2020)
Great Apes Conservation Fund (2021)

15.630

Coastal Program (2002B)
Coastal (2016)
Coastal (2019)
Coastal (2020)
Coastal (2021)

15.631

Partners for Fish and Wildlife (2002B)
Partners for Fish and Wildlife (2019)
Partners for Fish and Wildlife (2019)
Partners for Fish and Wildlife (2020)
Partners for Fish and Wildlife (2021)

15.632

Conservation Grants Private Stewardship for
Imperiled Species (2002B)
Archived (2009)
Reinstated (2013)
Archived (2016)

15.633

Landowner Incentive (2002B)
Landowner Incentive Program (2007)
Archived (2009)
Reinstated (2010)
Archived (2010)
Reinstated (2011)
Landowner Incentive (2016)
Landowner Incentive (2019)
Landowner Incentive (2020)
Landowner Incentive (2021)

15.634

State Wildlife Grants (2002B)
State Wildlife Grants (2019)
State Wildlife Grants (2020)
State Wildlife Grants (2021)

15.635

Neotropical Migratory Bird Conservation (2003B)
Neotropical Migratory Bird Conservation (2019)
Neotropical Migratory Bird Conservation (2020)
Neotropical Migratory Bird Conservation (2021)

15.636

Alaska Subsistence Management (2004)

Alaska Subsistence Management (2019)
Alaska Subsistence Management (2020)
Alaska Subsistence Management (2021)

15.637

Migratory Bird Joint Ventures (2004)
Migratory Bird Joint Ventures (2019)
Migratory Bird Joint Ventures (2020)
Migratory Bird Joint Ventures (2021)

15.638

Tribal Landowner Incentive Program (2004)
Archived (2009)

15.639

Tribal Wildlife Grants Program (2004)
Tribal Wildlife Grants (2016)
Tribal Wildlife Grants (2019)
Tribal Wildlife Grants (2020)
Tribal Wildlife Grants (2021)

15.640

Wildlife Without Borders- Latin America and the
Caribbean (2004)
Wildlife Without Borders- Latin America and the
Caribbean (2018)
Wildlife Without Borders- Latin America and the
Caribbean (2019)
To: Latin America and Caribbean RegionalFrom:
Wildlife Without Borders- Latin America and the
Caribbean (2019)
Latin America and Caribbean Regional (2020)
Latin America and Caribbean Regional (2021)

15.641

Wildlife Without Borders-Mexico (2004)
Wildlife Without Borders-Mexico (2018)
Wildlife Without Borders-Mexico (2019)
Wildlife Without Borders-Mexico (2020)
Wildlife Without Borders-Mexico (2021)

15.642

Challenge Cost Share (2004)
Challenge Cost Share (2019)
Archived (2020)

15.643

Alaska Migratory Bird Co-Management Council
(2004)
Alaska Migratory Bird Co-Management Council
(2019)
Alaska Migratory Bird Co-Management Council
(2020)
Alaska Migratory Bird Co-Management Council
(2021)

15.644

Federal Junior Duck Stamp Conservation and Design
(2004)
Federal Junior Duck Stamp Conservation and Design
(2019)
Federal Junior Duck Stamp Conservation and Design
(2020)
Archived (2021)

15.645

Marine Turtle Conservation Fund (2005)

Marine Turtle Conservation Fund (2018)
Marine Turtle Conservation Fund (2019)
Marine Turtle Conservation Fund (2020)
Marine Turtle Conservation Fund (2021)

15.647

Migratory Bird Conservation (2005)
Migratory Bird Conservation (2019)
Migratory Bird Conservation (2020)
Migratory Bird Conservation (2021)

15.648

Central Valley Project Improvement (CVPI)
Anadromous Fish Restoration Program (AFRP)
(2006)
Central Valley Improvement (CVI) Anadromous Fish
Restoration (AFR) (2016)
Central Valley Project Improvement Act (CVPIA)
(2018)
Central Valley Project Improvement Act (CVPIA)
(2019)
Central Valley Project Improvement Act (CVPIA)
(2020)
Central Valley Project Improvement Act (CVPIA)
(2021)

15.649

Service Training and Technical Assistance (Generic
Training) (2007)
Service Training and Technical Assistance (Generic
Training) (2019)
Service Training and Technical Assistance (Generic
Training) (2020)
Service Training and Technical Assistance (Generic
Training) (2021)

15.650

Research Grants (Generic) (2007)
Research Grants (Generic) (2008)
Research Grants (Generic) (2019)
Research Grants (Generic) (2020)
Research Grants (Generic) (2021)

15.651

Wildlife Without Borders-Africa Program (2007)
Wildlife Without Borders-Africa (2016)
Wildlife Without Borders-Africa (2018)
Wildlife Without Borders-Africa (2019)
To: Central Africa RegionalFrom: Wildlife Without
Borders-Africa (2019)
Central Africa Regional (2020)
Central Africa Regional (2021)

15.652

Undesirable/Noxious Plant Species (2008)
Invasive Species (2016)
Invasive Species (2019)
Invasive Species (2020)
Invasive Species (2021)

15.653

National Outreach and Communication Program
(2007)
National Outreach and Communication (2016)
National Outreach and Communication (2019)
National Outreach and Communication (2020)
National Outreach and Communication (2021)

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15.654

Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks (2007)
 Visitor Facility Enhancements - Refuges and Wildlife (2011)
 National Wildlife Refuge System Enhancements (2016)
 National Wildlife Refuge System Enhancements (2019)
 National Wildlife Refuge System Enhancements (2020)
 National Wildlife Refuge System Enhancements (2021)

15.655

Migratory Bird Monitoring, Assessment and Conservation (2008)
 Migratory Bird Monitoring, Assessment and Conservation (2019)
 Migratory Bird Monitoring, Assessment and Conservation (2020)
 Migratory Bird Monitoring, Assessment and Conservation (2021)

15.656

Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (2009)
 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (2019)
 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (2020)
 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (2021)

15.657

Endangered Species Conservation – Recovery Implementation Funds (2009)
 Endangered Species Conservation – Recovery Implementation Funds (2019)
 Endangered Species Conservation – Recovery Implementation Funds (2019)
 Endangered Species Conservation – Recovery Implementation Funds (2020)
 To: Endangered Species Recovery ImplementationFrom: Endangered Species Conservation – Recovery Implementation Funds (2020)
 Endangered Species Recovery Implementation (2021)

15.658

Natural Resource Damage Assessment, Restoration and Implementation (2009)
 Natural Resource Damage Assessment and Restoration (2018)
 Natural Resource Damage Assessment and Restoration (2019)
 Natural Resource Damage Assessment and Restoration (2020)
 Natural Resource Damage Assessment and Restoration (2021)

15.659

National Wildlife Refuge Fund (2009)
 National Wildlife Refuge Fund (2019)
 Archived (2020)

15.660

Endangered Species - Candidate Conservation Action Funds (2009)
 Endangered Species - Candidate Conservation Action Funds (2019)
 Endangered Species - Candidate Conservation Action Funds (2020)
 To: Candidate Species ConservationFrom: Endangered Species - Candidate Conservation Action Funds (2020)
 Candidate Species Conservation (2021)

15.661

Lower Snake River Compensation Plan (2009)
 Lower Snake River Compensation Plan (2019)
 Lower Snake River Compensation Plan (2020)
 Lower Snake River Compensation Plan (2021)

15.662

Great Lakes Restoration - Restoration, protection, and enhancement of Great Lakes fish, wildlife, and their habitats. (2010)
 Great Lakes Restoration (2011)
 Great Lakes Restoration (2019)
 Great Lakes Restoration (2020)
 Great Lakes Restoration (2021)

15.663

National Fish and Wildlife Foundation (2010)
 National Fish and Wildlife Foundation (2019)
 To: NFWF-USFWS Conservation PartnershipFrom: National Fish and Wildlife Foundation (2019)
 NFWF-USFWS Conservation Partnership (2020)
 NFWF-USFWS Conservation Partnership (2021)

15.664

Fish and Wildlife Coordination and Assistance Programs (2010)
 Fish and Wildlife Coordination and Assistance (2016)
 Fish and Wildlife Coordination and Assistance (2019)
 Fish and Wildlife Coordination and Assistance (2020)
 Fish and Wildlife Coordination and Assistance (2021)

15.665

National Wetlands Inventory (2010)
 National Wetlands Inventory (2019)
 National Wetlands Inventory (2020)
 National Wetlands Inventory (2021)

15.666

Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (2010)
 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (2019)
 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (2020)
 Endangered Species Conservation-Wolf Livestock Loss Compensation and Prevention (2021)

15.667

Highlands Conservation Program (2011)
 Highlands Conservation (2016)
 Highlands Conservation (2019)

Highlands Conservation (2020)
 Highlands Conservation (2021)

15.668

Coastal Impact Assistance Program (2011)
 Coastal Impact Assistance (2016)
 Coastal Impact Assistance (2019)
 Coastal Impact Assistance (2020)
 Coastal Impact Assistance (2021)

15.669

Cooperative Landscape Conservation (2011)
 Cooperative Landscape Conservation (2019)
 Cooperative Landscape Conservation (2020)
 Cooperative Landscape Conservation (2021)

15.670

Adaptive Science (2011)
 Adaptive Science (2019)
 Adaptive Science (2020)
 Adaptive Science (2021)

15.671

Yukon River Salmon Research and Management Assistance (2011)
 Yukon River Salmon Research and Management Assistance (2019)
 Yukon River Salmon Research and Management Assistance (2020)
 Yukon River Salmon Research and Management Assistance (2021)

15.672

Wildlife Without Borders – Amphibians in Decline (2011)
 Wildlife Without Borders – Amphibians in Decline (2018)
 Wildlife Without Borders – Amphibians in Decline (2019)
 Archived (2020)

15.673

Wildlife Without Borders – Critically Endangered Animal Conservation Fund (2011)
 Wildlife Without Borders – Critically Endangered Animal Conservation Fund (2018)
 Wildlife Without Borders – Critically Endangered Animal Conservation Fund (2019)
 Archived (2020)

15.674

National Fire Plan-Wildland Urban Interface Community Fire Assistance (2011)
 National Fire Plan-Wildland Urban Interface Community Fire Assistance (2019)
 National Fire Plan-Wildland Urban Interface Community Fire Assistance (2020)
 National Fire Plan-Wildland Urban Interface Community Fire Assistance (2021)

15.675

National Fire Plan- Rural Fire Assistance (2011)
 Archived (2013)

15.676

Youth Engagement, Education, and Employment Programs (2013)

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Youth Engagement, Education, and Employment (2016)	Construction Grants (1967B)	
Youth Engagement, Education, and Employment (2019)	Construction Grants for Wastewater Treatment Works (1970B)	15.712
Youth Engagement, Education, and Employment (2020)	Transferred to 66.400 (1971B)	Water Quality and Pollution Control_Orientation and Training Seminars (1970B)
Youth Engagement, Education, and Employment (2020)		Transferred to 66.412 (1971B)
Youth Engagement, Education, and Employment (2021)		
	15.701	
15.677	Water Pollution Control_Grants for Comprehensive Basin Planning (1967B)	15.713
Hurricane Sandy Disaster Relief Activities-FWS (2013)	Water Pollution Control_Comprehensive Basin Planning Grants (1970B)	Water Quality Management Technical Planning Assistance (1970B)
Hurricane Sandy Disaster Relief Activities-FWS (2019)	Transferred to 66.401 (1971B)	Transferred to 66.413 (1971B)
Hurricane Sandy Disaster Relief Activities-FWS (2020)		
Hurricane Sandy Disaster Relief Activities-FWS (2021)		
	15.702	
15.678	Water Pollution Control_Data Publications Services (1970B)	15.800
Cooperative Ecosystem Studies Units (2016)	Transferred to 66.402 (1971B)	Resources Surveys, Water, Mineral, Fuels, Topographic Maps (1965B)
Cooperative Ecosystem Studies Units (2019)		Geologic, Mineral, Water Resource, and Topographic Surveys, Investigations, and Research (1967B)
Cooperative Ecosystem Studies Units (2020)		Split into 15.800, 15.801, 15.802, 15.803, 15.804 (1970B)
Cooperative Ecosystem Studies Units (2020)		Geologic and Mineral Resource Surveys and Mapping (1970B)
Cooperative Ecosystem Studies Units (2021)		Consolidated into 15.808 (1986B)
	15.703	
15.679	Water Pollution Control_Direct Training (1970B)	15.801
Combating Wildlife Trafficking (2016)	Transferred to 66.403 (1971B)	Map Information (1970B)
Combating Wildlife Trafficking (2018)		Split from 15.800 (1970B)
Combating Wildlife Trafficking (2019)		Cartographic Information (1974U)
Combating Wildlife Trafficking (2020)		Cartographic Information, Geologic Information, Hydrologic Information (1989B)
Combating Wildlife Trafficking (2021)		Archived (1990B)
	15.704	15.802
15.680	Water Pollution Control_Interstate Cooperation and Uniform Laws (1970B)	Minerals Discovery Loan Program (1970B)
Mexican Wolf Recovery (2017)	Transferred to 66.404 (1971B)	Split from 15.800 (1970B)
Mexican Wolf Recovery (2019)		Archived (1982B)
Mexican Wolf Recovery (2020)		
Mexican Wolf Recovery (2021)		15.803
	15.705	Topographic Surveys and Mapping (1970B)
15.681	Water Pollution Control_Research, Development, and Demonstration Grants and Contracts (1965B)	Split from 15.800 (1970B)
Cooperative Agriculture (2017)	Water Pollution Control_Research, Development and Demonstration Program (1970)	National Mapping, Geography and Surveys (1980U1)
Cooperative Agriculture (2019)	Transferred to 66.405 (1971B)	Consolidated into 15.808 (1986B)
Cooperative Agriculture (2020)		
Cooperative Agriculture (2021)		
	15.706	
15.682	Water Pollution Control_Training Grants, Contracts, and Research Fellowships (1967B)	15.804
Experienced Services (2019)	Water Pollution Control_Training Grants and Research Training Fellowships (1970B)	Water Resources Investigations (1970B)
Experienced Services (2020)	Split into 15.706, 15.710 (1970)	Split from 15.800 (1970B)
Experienced Services (2020)	Transferred to 66.406 (1971B)	Consolidated into 15.808 (1986B)
Experienced Services (2021)		
	15.707	
15.683	Water Pollution Control_State and Interstate Agency Support (1969B)	15.805
Prescott Marine Mammal Rescue Assistance (2019)	Water Pollution Control_State and Interstate Program Grants (1970B)	Assistance to State Water Resources Research Institutes (1984B)
Prescott Marine Mammal Rescue Assistance (2019)	Transferred to 66.407 (1971B)	Number changed from 15.951 (1984B)
Prescott Marine Mammal Rescue Assistance (2020)		Assistance to State Water Resources Research Institutes (2019)
Prescott Marine Mammal Rescue Assistance (2021)		Assistance to State Water Resources Research Institutes (2020)
	15.708	(2020)
15.684	Water Pollution Control_State and Local Manpower Development (1970B)	Assistance to State Water Resources Research Institutes (2020)
White-nose Syndrome National Response Implementation (2020)	Transferred to 66.408 (1971B)	Assistance to State Water Resources Research Institutes (2021)
White-nose Syndrome National Response Implementation (2021)		
	15.709	
15.700	Water Pollution Control_Technical Assistance and Pollution Surveillance (1970B)	15.806
Water Pollution Control_Waste Treatment Works	Transferred to 66.409 (1971B)	National Water Resources Research Program (1985B)
		Archived (1996B)
	15.710	
	Water Pollution Control_Training Grants (1970B)	15.807
	Split from 15.706 (1970B)	Earthquake Hazards Reduction Program (1986B)
	Transferred to 66.410 (1971B)	
	15.711	
	Water Quality and Pollution Control Data (1970B)	
	Transferred to 66.411 (1971B)	

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Consolidated from 15.800 (1986B)	PROGRAM (2007)	Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (2009)
Earthquake Hazards Research Grants (2014)	Archived (2014)	Energy Cooperatives to Support the National Energy Resources Data System (2017)
Earthquake Hazards Research and Monitoring Assistance (2015)	Archived (2014)	Energy Cooperatives to Support the National Energy Resources Data System (2019)
Earthquake Hazards Program Assistance (2016)	15.814	Energy Cooperatives to Support the National Energy Resources Data System (2020)
Earthquake Hazards Program Assistance (2019)	National Geological and Geophysical Data Preservation Program (2008)	Energy Cooperatives to Support the National Energy Resources Data System (2020)
Earthquake Hazards Program Assistance (2020)	National Geological and Geophysical Data Preservation (2016)	Energy Cooperatives to Support the National Energy Resources Data System (2021)
Earthquake Hazards Program Assistance (2020)	National Geological and Geophysical Data Preservation (2019)	
Earthquake Hazards Program Assistance (2021)	National Geological and Geophysical Data Preservation (2020)	
15.808	National Geological and Geophysical Data Preservation (2020)	
Geological Survey_Research and Data Acquisition (1986B)	National Geological and Geophysical Data Preservation (2021)	
Consolidated from 15.800, 15.803, 15.804 (1986B)	15.815	15.820
U.S. Geological Survey_Research and Data Acquisition, Consolidated from 15.977 (1998B)	National Land Remote Sensing_Education Outreach and Research (2008)	National Climate Change and Wildlife Service Center (2009)
U.S. Geological Survey Research and Data Collection (2018)	National Land Remote Sensing Education Outreach and Research (2019)	National Climate Change and Wildlife Science Center (2010)
U.S. Geological Survey Research and Data Collection (2019)	National Land Remote Sensing Education Outreach and Research (2020)	National and Regional Climate Adaptation Science Centers (2018)
U.S. Geological Survey Research and Data Collection (2020)	National Land Remote Sensing Education Outreach and Research (2020)	National and Regional Climate Adaptation Science Centers (2019)
U.S. Geological Survey Research and Data Collection (2020)	National Land Remote Sensing Education Outreach and Research (2021)	National and Regional Climate Adaptation Science Centers (2020)
U.S. Geological Survey Research and Data Collection (2021)		National and Regional Climate Adaptation Science Centers (2020)
15.809		National and Regional Climate Adaptation Science Centers (2021)
National Spatial Data Infrastructure Competitive Cooperative Agreements Program (1997B)	15.816	
National Spatial Data Infrastructure Cooperative Agreements Program (1998U)	Minerals Resources External Research Program (2008)	15.850
National Spatial Data Infrastructure Cooperative Agreements (2016)	Minerals Resources External Research (2016)	Indian Arts and Crafts Development (1970B)
Archived (2018)	Archived (2016)	Archived (2017)
15.810	15.817	15.875
National Cooperative Geologic Mapping Program (1998B)	National Geospatial Program: Building The National Map (2009)	Economic and Political Development of the Territories and the Trust Territory of the Pacific Islands (1982)
National Cooperative Geologic Mapping (2016)	National Geospatial Program: Building The National Map (2018)	Economic, Social, and Political Development of the Territories and the Freely Associated States (1995U)
National Cooperative Geologic Mapping (2019)	National Geospatial Program: Building The National Map (2019)	Economic, Social, and Political Development of the Territories (2005)
National Cooperative Geologic Mapping (2020)	National Geospatial Program: Building The National Map (2020)	Economic, Social, and Political Development of the Territories (2006)
National Cooperative Geologic Mapping (2020)	National Geospatial Program: Building The National Map (2020)	Economic, Social, and Political Development of the Territories (2019)
National Cooperative Geologic Mapping (2021)	National Geospatial Program: Building The National Map (2021)	Economic, Social, and Political Development of the Territories (2020)
15.811		Economic, Social, and Political Development of the Territories (2021)
Gap Analysis Program (2003B)	15.818	
Gap Analysis (2016)	Volcano Hazards Program Research and Monitoring. (2009)	15.876
Gap Analysis (2019)	Volcano Hazards Program Research and Monitoring (2019)	Experienced Services (2020)
Gap Analysis (2020)	Volcano Hazards Program Research and Monitoring (2020)	Experienced Services (2020)
Archived (2020)	Volcano Hazards Program Research and Monitoring (2020)	Experienced Services (2021)
15.812	Volcano Hazards Program Research and Monitoring (2020)	
Cooperative Research Units Program (2003B)	Volcano Hazards Program Research and Monitoring (2021)	15.900
Cooperative Research Units (2016)		Disposal of Surplus Wildlife (1970B)
Cooperative Research Units (2019)	15.819	Archived (1986B)
Cooperative Research Units (2020)		
Cooperative Research Units (2020)		15.901
Cooperative Research Units (2021)		Domestic Travel Promotion (1970B)
15.813		Transferred/Incorporated into 11.950 (1971B)
COOPERATIVE RESEARCH UNITS TRAINING		15.902

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Environmental Study Areas (1970B) National Environmental Study Areas (1970U) National Environmental Study Areas and National Environmental Education Development Programs (1975U) National Environmental Study Areas (1980B) Archived (1981B)	15.912 National Historic Landmark (1972U) Number changed to 15.414 (1978B) Number changed from 15.414 (1981B) National Historic Landmark (2019) National Historic Landmark (2020) National Historic Landmark (2020) National Historic Landmark (2021)	Comprehensive Management Plan (CMP)_State of New Jersey_Pinelands National Reserve (1984B) Archived (1987B)
15.903 Historic American Buildings Survey (1969B) Historic American Building Survey_Historic American Engineering Record (1970U) Historic American Buildings Survey (1971B) Split into 15.903, 15.909 (1971B) Number changed to 15.410 (1978B)	15.913 Interagency Historic Architectural Services (1976U) Technical Preservation Services (1977U) Number changed to 15.415 (1978B)	15.921 Rivers, Trails and Conservation Assistance (1994B) Rivers, Trails and Conservation Assistance (2019) Rivers, Trails and Conservation Assistance (2020) Rivers, Trails and Conservation Assistance (2021)
15.904 Historic Preservation (1969B) Number changed to 15.411 (1978B) Historic Preservation Grants-in-Aid (1978B) Number changed from 15.411 (1981B) Historic Preservation Fund Grants-In-Aid (1985U) Historic Preservation Fund Grants-In-Aid (2019) Historic Preservation Fund Grants-In-Aid (2020) Historic Preservation Fund Grants-In-Aid (2020) Historic Preservation Fund Grants-In-Aid (2021)	15.914 National Register of Historic Places (1976U) Number changed to 15.416 (1978B) Number changed from 15.416 (1981B) National Register of Historic Places (2019) National Register of Historic Places (2020) National Register of Historic Places (2021) Archived (2021)	15.922 Native American Graves Protection and Repatriation Act (1997U) Native American Graves Protection and Repatriation Act (2019) Native American Graves Protection and Repatriation Act (2020) Native American Graves Protection and Repatriation Act (2020) Native American Graves Protection and Repatriation Act (2021)
15.905 National Environmental Education Development (1970B) Archived (1971B)	15.915 Technical Preservation Services (1981B) Number changed from 15.415 (1981B) Technical Preservation Services (2019) Technical Preservation Services (2020) Technical Preservation Services (2020) Technical Preservation Services (2021)	15.923 National Center for Preservation Technology and Training (1997B) National Center for Preservation Technology and Training (2019) National Center for Preservation Technology and Training (2020) National Center for Preservation Technology and Training (2021) National Center for Preservation Technology and Training (2021)
15.906 Park Planning_Technical Assistance (1969B) Park and Recreation Technical Assistance (1970B) Park Technical Assistance (1979U1) Number changed to 15.418 (1980B)	15.916 Outdoor Recreation_Acquisition, Development and Planning (1981B) Number changed from 15.400 (1981B) Outdoor Recreation Acquisition, Development and Planning (2019) Outdoor Recreation Acquisition, Development and Planning (2020) Outdoor Recreation Acquisition, Development and Planning (2021)	15.924 Historically Black Colleges and Universities Preservation Initiative (1997U)
15.907 Park Practice Program (1970B) Number changed to 15.419 (1980B)	15.917 Recreation_Technical Assistance (1981B) Number changed from 15.402 (1981B) Archived (1984U)	15.925 National Maritime Heritage Grants (1997U) National Maritime Heritage Grants (2016) National Maritime Heritage Grants (2018) National Maritime Heritage Grants (2019) National Maritime Heritage Grants (2020) National Maritime Heritage Grants (2021)
15.908 Archaeological Investigations and Salvage (1971B) Number changed to 15.412 (1978B)	15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (1981B) Number changed from 15.403 (1981B) Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (2019) Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (2020) Archived (2021)	15.926 American Battlefield Protection (1997U) American Battlefield Protection (2018) American Battlefield Protection (2019) American Battlefield Protection (2020) American Battlefield Protection (2020) American Battlefield Protection (2020) American Battlefield Protection (2021)
15.909 Historic American Engineering Record (1971B) Split from 15.903 (1971B) Number changed to 15.413 (1978B) Number changed from 15.410 (1981B) Historic American Buildings Survey/Historic American Engineering Record (1982B) Archived (1996B)	15.919 Urban Park and Recreation Recovery Program (1981B) Number changed from 15.417 (1981B) Archived (1989B) Reinstated (1991B) Deleted (2005)	15.927 Hydropower Recreation Assistance (2002B) Hydropower Recreation Assistance (2018) Hydropower Recreation Assistance (2019) Hydropower Recreation Assistance (2020) Archived (2021)
15.910 National Registry of Natural Landmarks (1971B) Number changed to 15.405 (1978B) Number changed from 15.405 (1981B) National Natural Landmarks Program (1981B) Deleted (2008)	15.920 Land Acquisition and Development of	15.928 Civil War Battlefield Land Acquisition Grants (2003B) Battlefield Land Acquisition Grants (2018) Battlefield Land Acquisition Grants (2018)
15.911 Training Institute for Park and Recreation Management (1974U) Number changed to 15.420 (1980B)		

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Battlefield Land Acquisition Grants (2019)
Battlefield Land Acquisition Grants (2020)
Battlefield Land Acquisition Grants (2020)
Battlefield Land Acquisition Grants (2021)

15.929

Save America's Treasures (2004)
Save America's Treasures (2018)
Save America's Treasures (2019)
Save America's Treasures (2020)
Save America's Treasures (2021)

15.930

Chesapeake Bay Gateways Network (2007)
Chesapeake Bay Gateways Network (2018)
Chesapeake Bay Gateways Network (2019)
Chesapeake Bay Gateways Network (2020)
Chesapeake Bay Gateways Network (2021)

15.931

Conservation Activities by Youth Service Organizations (2007)
Conservation Activities by Youth Service Organizations (2018)
Conservation Activities by Youth Service Organizations (2019)
Conservation Activities by Youth Service Organizations (2020)
Conservation Activities by Youth Service Organizations (2020)
Conservation Activities by Youth Service Organizations (2021)
To: Youth and Veteran Organizations Conservation ActivitiesFrom: Conservation Activities by Youth Service Organizations (2021)

15.932

Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (2009)
Archived (2015)
Reinstated (2019)
Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (2020)
Preservation of Historic Structures on the Campuses of Historically Black Colleges and Universities (HBCUs). (2021)

15.933

Preservation of Japanese American Confinement Sites (2009)
Preservation of Japanese American Confinement Sites (2018)
Preservation of Japanese American Confinement Sites (2019)
Preservation of Japanese American Confinement Sites (2020)
Preservation of Japanese American Confinement Sites (2021)

15.934

Abandoned Mine Hazard Mitigation (2009)
Archived (2015)

15.935

National Trails System Projects (2009)

National Trails System Projects (2018)
National Trails System Projects (2019)
National Trails System Projects (2020)
National Trails System Projects (2021)

15.936

Shenandoah Valley Battlefields National Historic District Battlefield Acquisition and Protection (2009)
Archived (2015)

15.937

Redwood National Park Cooperative Management with the State of California (2010)
Redwood National Park Cooperative Management with the State of California (2018)
Redwood National Park Cooperative Management with the State of California (2019)
Redwood National Park Cooperative Management with the State of California (2020)
Archived (2021)

15.938

Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (2010)
Boston African-American National Historic Site (2018)
Boston African-American National Historic Site (2018)
Boston African-American National Historic Site (2019)
Boston African-American National Historic Site (2020)
Boston African-American National Historic Site (2021)

15.939

National Heritage Area Federal Financial Assistance (2010)
National Heritage Area Federal Financial Assistance (2018)
National Heritage Area Federal Financial Assistance (2019)
To: Heritage PartnershipFrom: National Heritage Area Federal Financial Assistance (2019)
Heritage Partnership (2020)
Heritage Partnership (2021)

15.940

New Bedford Whaling National Historic Park Cooperative Management (2010)
New Bedford Whaling National Historic Park Cooperative Management (2018)
New Bedford Whaling National Historic Park Cooperative Management (2019)
New Bedford Whaling National Historic Park Cooperative Management (2020)
New Bedford Whaling National Historic Park Cooperative Management (2021)

15.941

Mississippi National River and Recreation Area State and Local Assistance (2010)
Mississippi National River and Recreation Area State and Local Assistance (2018)
Mississippi National River and Recreation Area State and Local Assistance (2019)

Mississippi National River and Recreation Area State and Local Assistance (2020)
Mississippi National River and Recreation Area State and Local Assistance (2021)

15.942

Environmental Education and Conservation - North Cascades Bioregion (2010)
Environmental Education and Conservation - North Cascades (2018)
Environmental Education and Conservation - North Cascades (2018)
Environmental Education and Conservation - North Cascades (2019)
Environmental Education and Conservation - North Cascades (2020)
To: Environmental Outreach and Conservation - North CascadesFrom: Environmental Education and Conservation - North Cascades (2021)
Environmental Outreach and Conservation - North Cascades (2021)

15.943

Challenge Cost Share (2010)
Challenge Cost Share (2018)
Challenge Cost Share (2019)
Challenge Cost Share (2020)
Challenge Cost Share (2021)

15.944

Natural Resource Stewardship (2010)
Natural Resource Stewardship (2018)
Natural Resource Stewardship (2019)
Natural Resource Stewardship (2020)
Natural Resource Stewardship (2021)

15.945

Cooperative Research and Training Programs - Resources of the National Park System (2011)
Cooperative Research and Training Programs - Resources of the National Park System (2018)
Cooperative Research and Training Programs - Resources of the National Park System (2019)
Cooperative Research and Training Programs - Resources of the National Park System (2020)
Cooperative Research and Training Programs - Resources of the National Park System (2021)

15.946

Cultural Resources Management (2011)
Cultural Resources Management (2018)
Cultural Resources Management (2019)
Cultural Resources Management (2020)
Cultural Resources Management (2021)

15.947

Boston Harbor Islands Partnership (2011)
Boston Harbor Islands Partnership (2018)
Boston Harbor Islands Partnership (2019)
Boston Harbor Islands Partnership (2020)
Boston Harbor Islands Partnership (2021)

15.948

National Fire Plan- Wildland Urban Interface Community Fire Assistance (2011)
National Fire Plan-Wildland Urban Interface Community Fire Assistance (2018)

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National Fire Plan-Wildland Urban Interface Community Fire Assistance (2019)	Ebey's Landing National Historical Reserve Trust Board (2013)	15.963 Southwest Border Resource Protection Program (2020)
National Fire Plan-Wildland Urban Interface Community Fire Assistance (2020)	Ebey's Landing National Historical Reserve Trust Board (2018)	Southwest Border Resource Protection Program (2021)
National Fire Plan-Wildland Urban Interface Community Fire Assistance (2021)	Ebey's Landing National Historical Reserve Trust Board (2018)	
15.949 National Fire Plan - Rural Fire Assistance (2011) Archived (2018)	Ebey's Landing National Historical Reserve Trust Board (2019)	15.964 9/11 Memorial Act Grant Program (2020) 9/11 Memorial Act Grant Program (2021)
15.950 Additional Water Resources Research (1970B) National Water Research and Development Program (1979B) Number changed to 15.505 (1983B)	To: Cooperative Management of Ebey's Landing National Historical Reserve.From: Ebey's Landing National Historical Reserve Trust Board (2019) Cooperative Management of Ebey's Landing National Historical Reserve. (2020) Cooperative Management of Ebey's Landing National Historical Reserve. (2021)	15.975 Research Information Consolidated from 15.604, 15.610 (1994B) Incorporated into 15.977 (1996B)
15.951 Water Resource Research_Assistance to States for Institutes (1970B) Water Resources Research_Assistance to State Institutes (1975U) Water Resources Research and Technology_Assistance to State Institutes (1979B) Water Research Assistance to State Institutes (1983B) Number changed to 15.805 (1984B)	15.957 Historic Preservation Fund Grants to Provide Disaster Relief to Historic Properties Damaged by Hurricane Sandy (2013) Emergency Supplemental Historic Preservation Fund (2018) Emergency Supplemental Historic Preservation Fund (2018) Emergency Supplemental Historic Preservation Fund (2019) Emergency Supplemental Historic Preservation Fund (2020) Emergency Supplemental Historic Preservation Fund (2021)	15.976 Migratory Bird Banding and Data Analysis (1994B)
15.952 Water Resource Research_Matching Grants to State Institutes (1970B) Water Research and Technology_Matching Funds to State Institutes (1979B) Archived (1983B)	15.958 Route 66 Corridor Preservation Program (2015) Route 66 Corridor Preservation (2016) Route 66 Corridor Preservation (2018) Route 66 Corridor Preservation (2019) Route 66 Corridor Preservation (2020) Route 66 Corridor Preservation (2021)	15.977 State Partnerships (1995B) Incorporated from 15.975 (1996B) Consolidated into 15.808 (1998B)
15.953 Water Resources Scientific Information Center (1972B) Archived (1983B)	15.959 Education Program Management (2019) Education Program Management (2020) Education Program Management (2020) Education Program Management (2021)	15.978 Upper Mississippi River System Long Term Resource Monitoring Program (1998B) Upper Mississippi River System Long Term Resource Monitoring (2016) Upper Mississippi River Restoration Long Term Resource Monitoring (2018) Upper Mississippi River Restoration Long Term Resource Monitoring (2019) Upper Mississippi River Restoration Long Term Resource Monitoring (2020) Upper Mississippi River Restoration Long Term Resource Monitoring (2020) Upper Mississippi River Restoration Long Term Resource Monitoring (2020) Upper Mississippi River Restoration Long Term Resource Monitoring (2020) Upper Mississippi River Restoration Long Term Resource Monitoring (2021)
15.954 National Park Service Conservation, Protection, Outreach, and Education (2012) National Park Service Conservation, Protection, Outreach, and Education (2018) National Park Service Conservation, Protection, Outreach, and Education (2018) National Park Service Conservation, Protection, Outreach, and Education (2019) National Park Service Conservation, Protection, Outreach, and Education (2020) National Park Service Conservation, Protection, Outreach, and Education (2021)	15.960 Tribal Technical Colleges (2015) Tribal Technical Colleges (2019) Tribal Technical Colleges (2020) Tribal Technical Colleges (2020) Tribal Technical Colleges (2021)	15.979 Hurricane Sandy Program (2014) Hurricane Sandy (2016) Hurricane Sandy (2019) Hurricane Sandy (2020) (2020) Archived (2020)
15.955 Martin Luther King Junior National Historic Site and Preservation District (2013) Martin Luther King Junior National Historic Site and Preservation District (2018) Martin Luther King Junior National Historic Site and Preservation District (2019) Martin Luther King Junior National Historic Site and Preservation District (2020) Martin Luther King Junior National Historic Site and Preservation District (2021)	15.961 Federal Historic Preservation Tax Incentive (2016) Federal Historic Preservation Tax Incentive (2018) Federal Historic Preservation Tax Incentive (2019) Federal Historic Preservation Tax Incentive (2020) Federal Historic Preservation Tax Incentive (2020) Federal Historic Preservation Tax Incentive (2021)	15.980 National Ground-Water Monitoring Network (2015) National Ground-Water Monitoring Network (2019) National Ground-Water Monitoring Network (2020) (2020) National Ground-Water Monitoring Network (2020) National Ground-Water Monitoring Network (2021)
15.956 Ebey's Landing National Historical Reserve and	15.962 National Wild and Scenic Rivers System (2016) National Wild and Scenic Rivers System (2018) National Wild and Scenic Rivers System (2019) National Wild and Scenic Rivers System (2020) National Wild and Scenic Rivers System (2021)	15.981 Water Use and Data Research (2016) Water Use and Data Research (2019) Water Use and Data Research (2020) (2020) Water Use and Data Research (2020) Water Use and Data Research (2021)

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15.982

Radium Remediation at Land-Grant Universities (2020)
Radium Remediation at Land-Grant Universities (2020)
Radium Remediation at Land-Grant Universities (2021)

JUSTICE, DEPARTMENT OF

Criminal Justice Act of 1964 (1965B)
Archived (1969B)

Federal Prisoners Rehabilitation Program (1965B)
Archived (1969B)

Law Enforcement Assistance (1965B)
Split into all of the Law Enforcement Assistance Programs (1969B)

National Conference on Bail and Criminal Justice and Follow-Up Programs (1965B)
Archived (1969B)

16.001

Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Support (1969B)
Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Analysis (1970B)

16.002

Law Enforcement Assistance_Narcotics and Dangerous Drugs_State Legislation (1970B)
Archived (1991U)

16.003

Law Enforcement Assistance_Narcotics and Dangerous Drugs Technical Laboratory Publications (1970B)

16.004

Law Enforcement Assistance_Narcotics and Dangerous Drugs_Training (1969B)

16.005

Narcotics and Dangerous Drugs_Public Education (1969B)
Public Education on Drug Abuse_Technical Assistance (1970B)
Public Education on Drug Abuse_Information (1979B)
Deleted (2008)

16.006

Municipal Fire and Emergency Services Domestic Preparedness Equipment Support Program (1999B)
County and Municipal Agency Domestic Preparedness Equipment Support Program (1999U)
Archived (2001B)

16.007

State and Local Domestic Preparedness Equipment Support Program (1999B)
State Domestic Preparedness Equipment Support Program (1999U)
Transferred to 97.004 (2003B)

16.008

State and Local Domestic Preparedness Training Program (2001B)
Transferred to 97.005 (2003B)

16.009

State and Local Domestic Preparedness Exercise Support (2001B)
Transferred to 97.006 (2003B)

16.010

State and Local Domestic Preparedness Technical Assistance (2001B)
Transferred to 97.007 (2003B)

16.011

Urban Areas Security Initiative (2003B)

16.012

Alcohol, Tobacco, and Firearms_Training Assistance (2004)
Archived (2017)

16.013

Violence Against Women Act Court Training and Improvement Grants (2008)
Archived (2017)

16.014

Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking (2008)
Archived (2012)

16.015

Missing Alzheimer's Disease Patient Assistance Program (2009)
Missing Alzheimer's Disease Patient Assistance Program (2018)
Archived (2019)
Reinstated (2019)
Missing Alzheimer's Disease Patient Assistance Program (2020)
Missing Alzheimer's Disease Patient Assistance Program (2021)

16.016

Culturally and Linguistically Specific Services Program (2008)

16.017

Sexual Assault Services Program (2008)
Sexual Assault Services Formula Program (2009)

16.018

Services to Advocate for and Respond to Youth (2010)
Archived (2012)

16.019

Tribal Registry (2008)

16.020

Children and Youth Exposed (2010)
Archived (2012)

16.021

Justice Systems Response to Families (2013)

16.022

Combatting Contraband Cell Phone Use in Prisons (2019)
To: 16.844From: 16.022 (2019)

16.023

Sexual Assault Services Culturally Specific Program (2014)

16.024

Tribal Sexual Assault Services Program (2014)

16.025

Special Domestic Violence Criminal Jurisdiction Implementation (2015)

16.026

OVW Research and Evaluation Program (2016)

16.027

National Clearinghouse on Sexual Assault of American Indian and Alaska Native Women (2016)

16.028

Resource Center on Workplace Response to Domestic Violence, Dating Violence, Sexual Assault, and Stalking (2016)

16.029

Office on Violence Against Women Special Projects (2016)

16.030

National Center on Restorative Justice (2019)
National Center on Restorative Justice (2020)
National Center on Restorative Justice (2021)

16.031

Emmett Till Cold Case Investigations Program (2020)
Emmett Till Cold Case Investigations Program (2020)
Emmett Till Cold Case Investigations Program (2021)

16.032

Academic-based Drug Field Testing and Training Initiative (2020)
Academic-based Drug Field Testing and Training Initiative (2020)
Academic-based Drug Field Testing and Training Initiative (2021)

16.033

Collaborative Mental Health and Anti-Recidivism Initiative (2020)
Collaborative Mental Health and Anti-Recidivism Initiative (2021)

16.034

Coronavirus Emergency Supplemental Funding Program (2020)
Coronavirus Emergency Supplemental Funding Program (2020)
Coronavirus Emergency Supplemental Funding Program (2021)

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Coronavirus Emergency Supplemental Funding Program (2021)

16.035

Preventing Trafficking of Girls (2020)
Preventing Trafficking of Girls (2021)

16.036

Prosecuting Cold Cases Using DNA (2020)
Prosecuting Cold Cases Using DNA (2021)

16.037

Strengthening the Medical Examiner - Coroner System (2020)
Strengthening the Medical Examiner - Coroner System (2021)

16.038

National Decertification Index (2020)
National Decertification Index (2021)

16.039

Rural Violent Crime Initiative (2021)
Rural Violent Crime Initiative (2021)

16.040

Matthew Shepard and James Byrd, Jr. Hate Crimes Education, Investigation and Prosecution Program (2021)

16.041

National Ashanti Alert Network Training and Technical Assistance Program (2021)
National Ashanti Alert Network Training and Technical Assistance Program (2021)

16.100

Desegregation of Public Education_Civil Rights Act of 1964 (1965B)
Desegregation of Public Education (1970B)
Archived (2017)

16.101

Equal Employment Opportunity_Civil Rights Act of 1964 (1965B)
Equal Employment Opportunity (1970B)
Archived (2017)

16.102

Equal Enjoyment of Public Accommodations_Civil Rights Acts of 1964 (1965B)
Equal Employment of Public Accommodations (1970B)
Incorporated into 16.105 (1979B)

16.103

Fair Housing (1970B)
Fair Housing and Equal Credit Opportunity (1977U)
Archived (2017)

16.104

Protection of Voting Rights_Civil Rights Acts of 1957, 1960, 1964, and 1965 (1965B)
Protection of Voting Rights (1970B)
Archived (2017)

16.105

Desegregation of Public Facilities (1972B)
Civil Rights of Institutionalized Persons (1981B)
Archived (2017)

16.106

Indian Civil Rights (1974B)
Archived (1981B)

16.107

Special Civil Rights Litigation (1975U)
Incorporated into 16.105 (1979B)

16.108

Americans With Disabilities Act Technical Assistance Program (1991U)
Archived (2010)

16.109

Civil Rights Prosecution (1992U)
Archived (2017)

16.110

Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (1995U)
Archived (2012)

16.111

Joint Law Enforcement Operations (JLEO) (2013)
Archived (2014)

16.123

Community-Based Violence Prevention Program (2010)
Community-Based Violence Prevention Program (2018)
Community-Based Violence Prevention Program (2019)
Community-Based Violence Prevention Program (2019)
Community-Based Violence Prevention Program (2019)
Community-Based Violence Prevention Program (2019)
Community-Based Violence Prevention Program (2020)
Community-Based Violence Prevention Program (2021)

16.200

Community Relations Service (1967B)
Archived (2017)

16.201

Cuban and Haitian Entrant Resettlement Program (1983U)
Transferred to 97.009 (2003B)

16.202

Offender Reentry Program (2001U)
Prisoner Reentry Initiative Demonstration (Offender Reentry) (2006)
Archived (2010)

16.203

Sex Offender Management Discretionary Grant (2002B)

Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (2006)
Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (2012)
Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (2018)
Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (2019)
Promoting Evidence Integration in Sex Offender Management Discretionary Grant Program (2020)

16.220

Law Enforcement Assistance_Narcotics and Dangerous Drugs_Registration of Manufacturers, Distributors, and Dispensers of Controlled Substances (2004)

16.300

Police Training Programs (1967B)
Law Enforcement Assistance_Advanced Police Training (1969B)
Law Enforcement Assistance_FBI Advanced Police Training (1970B)

16.301

Services of Federal Bureau of Investigation (1965B)
Law Enforcement Assistance_Crime Laboratory Support (1969B)
Law Enforcement Assistance_FBI Crime Laboratory Support (1970B)

16.302

Law Enforcement Assistance_Field Police Training (1969B)
Law Enforcement Assistance_FBI Field Police Training (1970B)

16.303

Law Enforcement Assistance_Fingerprint Identification (1969B)
Law Enforcement Assistance_FBI Fingerprint Identification (1970B)

16.304

Law Enforcement Assistance_National Crime Information Center (1969B)

16.305

Law Enforcement Assistance_Uniform Crime Reports (1969B)

16.306

DRUGFIRE (1996U)
Archived (1998B)

16.307

Combined DNA Index System (1996U)

16.308

Indian County Investigations (1996U)
Indian County Investigations (1997B)

16.309

Law Enforcement Assistance_National Instant Criminal Background Check System (2000B)

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16.320 Services for Trafficking Victims (2004) Services for Trafficking Victims (2018) Services for Trafficking Victims (2019) Services for Trafficking Victims (2020) Services for Trafficking Victims (2021)	(1970B) Number changed to 16.562 (1980B)	16.517 Law Enforcement Assistance Administration_JJDP Special Emphasis Prevention and Treatment (1975U) Law Enforcement Assistance Administration_OJJDP Special Emphasis Prevention and Treatment Programs (1977U) Juvenile Justice and Delinquency Prevention_Special Emphasis Prevention and Treatment Programs (1979B) Number changed to 16.541 (1980B)
16.321 Antiterrorism and Emergency Assistance Program (2002U) Antiterrorism Emergency Reserve (2005) Antiterrorism Emergency Reserve (2006) Antiterrorism Emergency Reserve (2018) Antiterrorism Emergency Reserve (2019) Antiterrorism Emergency Reserve (2020) Antiterrorism Emergency Reserve (2021)	16.506 Law Enforcement Research and Development_Pilot Grants (1970B) Incorporated into 16.507 (1972B)	16.518 Law Enforcement Assistance Administration_JJDP National Institute (1975U) National Institute for Juvenile Justice and Delinquency Prevention (1979B) Number changed to 16.542 (1980B)
16.400 Citizenship Training (1965B) Citizenship Training and Information (1967B) Naturalization (1969B) Citizenship Education and Training (1970B) Transferred to 97.010 (2003B)	16.507 Law Enforcement Research and Development_Project Grants (1970B) Number changed to 16.560 (1980B)	16.519 Law Enforcement Assistance_Office of Community Anti-Crime Programs (1978B) Number changed to 16.535 (1980B)
16.471 FORENSIC CASEWORK DNA BACKLOG REDUCTION PROGRAM (2005)	16.508 Law Enforcement Research and Development_Visiting Fellowships (1970B) Incorporated into 16.507 (1973B) Reinstated/Split from 16.507 (1975U) Number changed to 16.561 (1980B)	16.520 Public Safety Officers' Benefits Program (1978B) Number changed to 16.538 (1980B)
16.500 Law Enforcement Assistance_State Planning (1969B) Law Enforcement Assistance_Comprehensive Planning (1970B) Law Enforcement Assistance_Comprehensive Planning Grants (1971B) Consolidated into 16.530 (1980B)	16.509 Law Enforcement Assistance_Statistics and Information Development_Project Grants (1971B) Law Enforcement Assistance_Statistics Development (1972B) Criminal Justice_Statistics Development (1973B) Number changed to 16.550 (1980B)	16.521 Crime Prevention_Mobilization of Public and Non- Public Resources (1978U) Number changed to 16.536 (1980B)
16.501 Law Enforcement Assistance_Discretionary Grants (1970B) Consolidated into 16.531 (1980B)	16.510 Statistics on Crime and Criminal Justice (1971B) Number changed to 16.551 (1980B)	16.522 Privacy and Security of Criminal Justice Systems (1979B) Number changed to 16.553 (1980B)
16.502 Law Enforcement Assistance_Improving and Strengthening Law Enforcement (1969B) Law Enforcement Assistance_Improving and Strengthening Law Enforcement and Criminal Justice (1974B) Split into 16.530, 16.532 (1980B)	16.511 Law Enforcement Assistance_Educational Development (1971U) Number changed to 16.533 (1980B)	16.523 Juvenile Accountability Incentive Block Grants (1998B) Juvenile Accountability Block Grants (2007) Archived (2018)
16.503 Law Enforcement Assistance_Technical Assistance (1970B) Consolidated into 16.531 (1980B)	16.512 Law Enforcement Assistance_Internships (1972B) Archived (1980B)	16.524 Domestic Violence Victims' Civil Legal Assistance Program (1999B) Legal Assistance for Victims (2001B)
16.504 Law Enforcement Assistance_Students Loans and Tuition Aids (1969B) Law Enforcement Education Program_Student Financial Aid (1970B) Transferred to the Department of Education (1980B)	16.513 Law Enforcement Assistance_Training (1972B) Number changed to 16.534 (1980B)	16.525 Grants to Combat Violent Crimes Against Women on Campuses (1999B) Grants to Reduce Violent Crimes Against Women on Campus (2001B) Grants to Combat Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2007) Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2008)
16.505 Law Enforcement Assistance_Research Demonstrations and Special Projects (1969B) Law Enforcement Research and Development_Graduate Research Fellowships	16.514 Organized Crime Prosecutorial Training (1973U) Consolidated into 16.531 (1980B)	16.526 Technical Assistance and Training Initiative (2002B) OVW Technical Assistance Initiative (2006) Archived (2012) Reinstated (2012)

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16.527 Supervised Visitation, Safe Havens for Children (2002B) Archived (2017)	Number changed to 26.571 (1982B)	Title V Delinquency Prevention Program (2020) Title V Delinquency Prevention Program (2021)
16.528 Training Grants to Stop Abuse and Sexual Assault of Older Individuals or Individuals with Disabilities (2002B) Enhanced Training and Services to End Violence and Abuse of Women Later in Life (2006)	16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (1980B) Number changed from 16.516 (1980B) Juvenile Justice and Delinquency Prevention Program (2017) Juvenile Justice and Delinquency Prevention (2018) Juvenile Justice and Delinquency Prevention (2019) Juvenile Justice and Delinquency Prevention (2020) Juvenile Justice and Delinquency Prevention (2021)	16.549 Part E_State Challenge Activities (1995B)
16.529 Education and Training to End Violence Against and Abuse of Women with Disabilities (2002B) Education, Training, and Enhanced Services to End Violence Against Women with Disabilities (2007) Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (2008)	16.541 Juvenile Justice and Delinquency Prevention_Special Emphasis and Technical Assistance (1980B) Number changed from 16.517 (1980B) Juvenile Justice and Delinquency Prevention_Special Emphasis (1989B) Developing, Testing and Demonstrating Promising New Programs (2004) Part E - Developing, Testing and Demonstrating Promising New Programs (2006) Archived (2018)	16.550 Criminal Justice Statistics Development (1980B) Number changed from 16.509 (1980B) State Justice Statistics Program for Statistical Analysis Centers (1997B) State Justice Statistics Program for Statistical Analysis Centers (2018) State Justice Statistics Program for Statistical Analysis Centers (2019) State Justice Statistics Program for Statistical Analysis Centers (2020) State Justice Statistics Program for Statistical Analysis Centers (2021)
16.530 Criminal Justice_Part D Formula Grants (1980B) Consolidated from 16.500, 16.502 (1980B) Archived (1981B)	16.542 National Institute for Juvenile Justice and Delinquency Prevention (1980B) Number changed from 16.518 (1980B) Part D - Research, Evaluation, Technical Assistance and Training (2004) Deleted (2007)	16.551 Statistics on Crime and Criminal Justice (1980B) Number changed from 16.510 (1980B) Archived (1983U)
16.531 Part F_Discretionary Grants (1980B) Consolidated from 16.501, 16.503, 16.514 (1980B) Archived (1981B)	16.543 Missing Children's Assistance (1986B) Missing Children's Assistance (2018) Missing Children's Assistance (2019) Missing Children's Assistance (2020) Missing Children's Assistance (2021)	16.552 Criminal Justice Systems Development (1980B) Number changed from 16.515 (1980B) Archived (1981U)
16.532 Part E_National Priority Program Grants (1980B) Split from 16.502 (1980B) Archived (1981B)	16.544 Part D_Juvenile Gangs and Drug Abuse and Drug Trafficking (1990B) Gang-Free Schools and Communities_Community-Based Gang Intervention (1996U) Youth Gang Prevention (2010) Youth Gang Prevention (2018) Youth Gang Prevention (2019) Youth Gang Prevention (2020)	16.553 Privacy and Security of Criminal Justice Systems (1980B) Number changed from 16.522 (1980B) Federal Statistics and Information Policy (1981U) Archived (1983U)
16.533 Law Enforcement Assistance_Educational Development (1980B) Number changed from 16.511 (1980B) Archived (1981B)	16.545 Judicial Child Abuse Training (1992B) Combined into 16.547 (1994B)	16.554 National Criminal History Improvement Program (NCHIP) (1995B) National Criminal History Improvement Program (NCHIP) (2018) National Criminal History Improvement Program (NCHIP) (2019) National Criminal History Improvement Program (NCHIP) (2020) National Criminal History Improvement Program (NCHIP) (2021)
16.534 Law Enforcement Assistance_Training (1980B) Number changed from 16.513 (1980B) Archived (1981B)	16.546 Investigation and Prosecution of Child Abuse Through the Criminal Justice System Number changed from 16.584 (1993U) Combined into 16.547 (1994B)	16.555 National Sex Offender Registry Assistance (1998B) Archived (1999B)
16.535 Law Enforcement Assistance Administration_Office of Community Anti-Crime Programs (1980B) Number changed from 16.519 (1980B) Archived (1981B)	16.547 Victims of Child Abuse (1994B) Archived (2010)	16.556 Grants to State Sexual Assault and Domestic Violence Coalitions (2008) State Domestic Violence and Sexual Assault Coalitions (2009) Archived (2012) Reinstated (2012)
16.536 Crime Prevention_Mobilization of Public and Non-Public Resources (1980B) Number changed from 16.521 (1980B) Archived (1981B)	16.548 Title V_Delinquency Prevention Program (1994B) Title V Delinquency Prevention Program (2019)	16.557 Tribal Domestic Violence and Sexual Assault Coalitions Program (2008) Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (2009) Archived (2012)

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Reinstated (2012)	Doctoral Fellowship Program (1999U)	Federal Surplus Property Transfer Program (1986B)
16.560	National Institute of Justice W.E.B. DuBois Fellowship Program (2000B)	Federal Surplus Property Transfer Program (2018)
Justice Research and Development Project Grants (1980B)	National Institute of Justice W.E.B. DuBois Fellowship Program (2018)	Federal Surplus Property Transfer Program (2019)
Number changed from 16.507 (1980B)	National Institute of Justice W.E.B. DuBois Fellowship Program (2019)	Federal Surplus Property Transfer Program (2020)
Justice Research, Development, and Evaluation Project Grants (1991U)	National Institute of Justice W.E.B. DuBois Fellowship Program (2020)	Federal Surplus Property Transfer Program (2020)
National Institute of Justice Research, Evaluation, and Development Project Grants (2000B)	National Institute of Justice W.E.B. DuBois Fellowship Program (2021)	To: Public Benefit Conveyance ProgramFrom: Federal Surplus Property Transfer Program (2020)
National Institute of Justice Research, Evaluation, and Development Project Grants (2018)	16.570	Public Benefit Conveyance Program (2021)
National Institute of Justice Research, Evaluation, and Development Project Grants (2019)	Civil Rights Compliance Activities (1980B)	16.579
National Institute of Justice Research, Evaluation, and Development Project Grants (2020)	Archived (1985U)	State and Local Narcotics Control Assistance Anti-Drug Abuse Act of 1986 (1987B)
National Institute of Justice Research, Evaluation, and Development Project Grants (2021)	16.571	Drug Control and System Improvement_Formula Grant (1989U)
	Public Safety Officers' Benefits Program (1982B)	Byrne Formula Grant Program (1996U)
16.561	Number changed from 16.538 (1982B)	Edward Byrne Memorial Formula Grant Program (2006)
National Institute of Justice Visiting Fellowships (1980B)	Public Safety Officers' Death Benefits Program (1985U)	Archived (2012)
Number changed from 16.508 (1980B)	Public Safety Officers' Benefit Program (1991U)	16.580
Deleted (2006)	Public Safety Officers' Benefits Program (1995U)	Narcotics Control Discretionary Grant Program (1987U)
16.562	Public Safety Officers' Benefits Program (2018)	Drug Control and System Improvement_Discretionary Grant (1989U)
Law Enforcement Research and Development_Graduate Research Fellowships (1980B)	Public Safety Officers' Benefits Program (2019)	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (1995U)
Number changed from 16.505 (1980B)	Public Safety Officers' Benefits Program (2019)	Archived (2012)
Criminal Justice Research and Development_Graduate Research Fellowships (1980U2)	Public Safety Officers' Benefits Program (2020)	16.581
Criminal Justice Research and Development Graduate Research Fellowships (2018)	Public Safety Officers' Benefits Program (2020)	Drug Law Enforcement Program_Prison Capacity (1988B)
Criminal Justice Research and Development Graduate Research Fellowships (2019)	Public Safety Officers' Benefits Program (2021)	Archived (1995U)
Archived (2020)	16.572	16.582
Reinstated (2021)	Marie-Cubans (1984U)	Crime Victim Assistance/Discretionary Grants (1988B)
Criminal Justice Research and Development Graduate Research Fellowships (2021)	Archived (1996B)	Crime Victim Assistance/Discretionary Grants (2018)
	State Criminal Alien Assistance Program, Reinstated (1996U)	Crime Victim Assistance/Discretionary Grants (2019)
16.563	Archived (1998B)	Crime Victim Assistance/Discretionary Grants (2020)
Law Enforcement Family Support (1997B)	16.573	Crime Victim Assistance/Discretionary Grants (2021)
Corrections and Law Enforcement Family Support (1999B)	Criminal Justice Block Grants (1985B)	16.583
Deleted (2007)	Incorporated into 16.579 (1990B)	Children's Justice Act Discretionary Grants for Native American Indian Tribes (1989B)
16.564	16.574	Children's Justice Act Discretionary Grants for Native Americans (1995U)
National Institute of Justice Forensic DNA Laboratory Improvement Program (1999U)	Criminal Justice Discretionary Grants (1985B)	Children's Justice Act Partnerships for Indian Communities (1998B)
National Institute of Justice Crime Laboratory Improvement Program (2000B)	Criminal Justice Discretionary Grant Program (1989B)	Children's Justice Act Partnerships for Indian Communities (2018)
Crime Laboratory Improvement_Combined Offender DNA Index System Backlog Reduction (2001B)	Archived (1996B)	Children's Justice Act Partnerships for Indian Communities (2019)
Deleted (2006)	16.575	Children's Justice Act Partnerships for Indian Communities (2020)
16.565	Crime Victim Assistance (1985B)	Children's Justice Act Partnerships for Indian Communities (2021)
National Institute of Justice Domestic Anti-Terrorism Technology Development Program (1999U)	Crime Victim Assistance (2018)	16.584
National Institute of Justice Domestic Anti-Terrorism Technology Development Program (Counterterrorism Research and Development) (2004)	Crime Victim Assistance (2019)	Investigation and Prosecution of Child Abuse Through the Federal Criminal Justice System (1992B)
Deleted (2006)	Crime Victim Assistance (2020)	Investigation and Prosecution of Child Abuse Through the Criminal Justice System (1993B)
16.566	Crime Victim Assistance (2021)	Number changed to 16.546 (1993U)
National Institute of Justice W.E.B. DuBois Post-	16.576	16.585
	Crime Victim Compensation (1985B)	Drug Court Discretionary Grant Program (1995B)
	Crime Victim Compensation (2018)	
	Crime Victim Compensation (2019)	
	Crime Victim Compensation (2020)	
	Crime Victim Compensation (2021)	
	16.577	
	Emergency Federal Law Enforcement Assistance (1986B)	
	16.578	

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Drug Court Discretionary Grant Program (2018)
Archived (2019)
Reinstated (2019)
Drug Court Discretionary Grant Program (2019)
Drug Court Discretionary Grant Program (2020)
Drug Court Discretionary Grant Program (2021)

16.586

Violent Offender Incarceration and Truth in Sentencing Incentive Grants (1995B)
Archived (2010)

16.587

Violence Against Women Discretionary Grants for Indian Tribal Governments (1995B)
Archived (2012)
Reinstated (2012)

16.588

Violence Against Women Formula Grants (1995B)
Archived (2012)
Reinstated (2012)

16.589

Rural Domestic Violence and Child Victimization Enforcement Grant Program (1996B)
Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Grant Program (2007)
Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (2008)

16.590

Grants to Encourage Arrest Policies (1996B)
Grants to Encourage Arrest Policies and Enforcement of Protection Orders (2001B)
The Community-Defined Solutions to Violence Against Women Grant Program (2010)
Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (2011)

16.591

Managing Released Sex Offenders (1996B)
Archived (1998B)

16.592

Local Law Enforcement Block Grants Program (1996U)
Local Law Enforcement Block Grant Program (2006)
Deleted (2007)
Deleted (2007)

16.593

Residential Substance Abuse Treatment for State Prisoners (1996U)
Residential Substance Abuse Treatment for State Prisoners (2018)
Residential Substance Abuse Treatment for State Prisoners (2019)
Residential Substance Abuse Treatment for State Prisoners (2020)
Residential Substance Abuse Treatment for State Prisoners (2021)

16.594

Prevention, Diagnosis, and Treatment of Tuberculosis in Correctional Institutions (1996U)
Archived (1999B)

16.595

Executive Office for Weed and Seed Number changed from 16.725 (1995B)
Community Capacity Development Office (2005)
Community Capacity Development Office (2006)
Archived (2016)

16.596

Correctional Grant Program for Indian Tribes (1996U)
Tribal Justice Facilities Grant Program for Indian Tribes (2014)
Justice System Infrastructure Program for Indian Tribes (2016)
Justice System Infrastructure Program for Indian Tribes (2018)
Justice System Infrastructure Program for Indian Tribes (2019)
Justice System Infrastructure Program for Indian Tribes (2020)
Justice System Infrastructure Program for Indian Tribes (2020)
Justice System Infrastructure Program for Indian Tribes (2021)

16.597

Motor Vehicle Theft Protection Act Program (1997B)
Archived (2009)

16.598

State Identification Systems Grant Program (1997B)

16.599

Local Firefighting and Emergency Services Training (1997U)
Archived (1999B)

16.600

Correctional Services_Technical Assistance (1970U)
Number changed to 16.603 (1976U)

16.601

Corrections_Training and Staff Development (1976U)

16.602

Corrections_Research and Evaluation (1976U)
Corrections_Research and Evaluation and Policy Formulation (1981U)

16.603

Corrections_Technical Assistance (1976U)
Number changed from 16.600 (1976U)
Corrections_Technical Assistance/Clearinghouse (1988B)

16.604

Corrections_Policy Formulation (1976U)
Archived (1981U)

16.605

Corrections_Clearinghouse (1976U)
Archived (1982B)

16.606

State Criminal Alien Assistance Program (1998B)

State Criminal Alien Assistance Program (2018)
State Criminal Alien Assistance Program (2019)
State Criminal Alien Assistance Program (2020)
State Criminal Alien Assistance Program (2021)

16.607

Bulletproof Vest Partnership Program (1999B)
Bulletproof Vest Partnership Program (2018)
Bulletproof Vest Partnership Program (2019)
Bulletproof Vest Partnership Program (2020)
Bulletproof Vest Partnership Program (2021)

16.608

Tribal Court Assistance Program (1999B)
Tribal Justice Systems and Alcohol and Substance Abuse (2018)
Tribal Justice Systems and Alcohol and Substance Abuse (2018)
Tribal Justice Systems and Alcohol and Substance Abuse (2019)
Tribal Justice Systems and Alcohol and Substance Abuse (2020)
Tribal Justice Systems and Alcohol and Substance Abuse (2021)

16.609

Planning, Implementing, and Enhancing Strategies in Community Prosecution (1999U)
Planning, Implementing, and Enhancing Strategies in Community Prosecution_Gun Violence Prosecution (2001U)
Community Prosecution and Project Safe Neighborhoods (2002B)
Project Safe Neighborhoods (2010)
Project Safe Neighborhoods (2018)
Project Safe Neighborhoods (2019)
Project Safe Neighborhoods (2020)
Project Safe Neighborhoods (2021)
Project Safe Neighborhoods (2021)

16.610

Regional Information Sharing Systems (1999U)
Regional Information Sharing Systems (2018)
Regional Information Sharing Systems (2019)
Regional Information Sharing Systems (2020)
Regional Information Sharing Systems (2021)

16.611

Closed-Circuit Televising of Child Victims of Abuse (1999U)
Archived (2013)

16.612

National White Collar Crime Center (1999U)
Archived (2009)

16.613

Scams Targeting the Elderly (1999U)

16.614

State and Local Anti-Terrorism Training (1999U)
State and Local Anti-Terrorism Training (2018)
State and Local Anti-Terrorism Training (2019)
State and Local Anti-Terrorism Training (2020)
State and Local Anti-Terrorism Training (2021)

16.615

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Public Safety Officers' Educational Assistance (1999U)	Tribal Youth Program (2018)	Program (2021)
Public Safety Officers' Educational Assistance (2018)	Tribal Youth Program (2019)	Edward Byrne Memorial Justice Assistance Grant Program (2021)
Public Safety Officers' Educational Assistance (2019)	Tribal Youth Program (2020)	Edward Byrne Memorial Justice Assistance Grant Program (2021)
Public Safety Officers' Educational Assistance (2020)	Tribal Youth Program (2021)	
Public Safety Officers' Educational Assistance (2020)		
Public Safety Officers' Educational Assistance (2021)		
16.616	16.732	16.739
Indian Country Alcohol and Drug Prevention (2001U)	National Evaluation of the Safe Schools/Healthy Students Initiative (1999U)	National Prison Rape Statistics Program (2005)
Indian Country Alcohol and Drug Prevention (2018)	National Evaluation of the Safe Schools_Healthy Students Initiative (2001B)	National Prison Rape Statistics Program (2018)
Archived (2019)	Deleted (2007)	National Prison Rape Statistics Program (2019)
		National Prison Rape Statistics Program (2020)
		National Prison Rape Statistics Program (2021)
16.684	16.733	16.740
Supporting Teens through Education and Protection Act of 2005 (STEP Act) (2010)	National Incident Based Reporting System (2000B)	Statewide Automated Victim Information Notification (SAVIN) Program (2005)
Archived (2012)		Archived (2018)
16.700	16.734	16.741
Antitrust State Enforcement (1978U)	Special Data Collections and Statistical Studies (2003B)	FORENSIC DNA CAPACITY ENHANCEMENT PROGRAM (2005)
Archived (1981B)	Special Data Collections and Statistical Studies (2018)	Forensic DNA Backlog Reduction Program (2008)
	Special Data Collections and Statistical Studies (2019)	DNA Backlog Reduction Program (2012)
16.710	Special Data Collections and Statistical Studies (2020)	DNA Backlog Reduction Program (2018)
Public Safety and Community Policing Grants (1995B)	Special Data Collections and Statistical Studies (2021)	DNA Backlog Reduction Program (2019)
Public Safety Partnership and Community Policing Grants (1996B)		DNA Backlog Reduction Program (2020)
		(2020)
		DNA Backlog Reduction Program (2020)
		DNA Backlog Reduction Program (2020)
		DNA Backlog Reduction Program (2020)
		DNA Backlog Reduction Program (2021)
16.711	16.735	16.742
Troops to COPS (1995B)	PROTECTING INMATES AND SAFEGUARDING COMMUNITIES (2004)	PAUL COVERDELL FORENSIC SCIENCES IMPROVEMENT GRANT PROGRAM (2005)
Deleted (2004)	PREA Program: Demonstration Projects to Establish "Zero Tolerance" Cultures for Sexual Assault in Correctional Facilities (2014)	Paul Coverdell Forensic Sciences Improvement Grant Program (2018)
	PREA Program: Strategic Support for PREA Implementation (2018)	Paul Coverdell Forensic Sciences Improvement Grant Program (2019)
16.712	PREA Program: Strategic Support for PREA Implementation (2018)	Paul Coverdell Forensic Sciences Improvement Grant Program (2020)
Police Corps (1996U)	PREA Program: Strategic Support for PREA Implementation (2019)	(2020)
	PREA Program: Strategic Support for PREA Implementation (2020)	Paul Coverdell Forensic Sciences Improvement Grant Program (2020)
	PREA Program: Strategic Support for PREA Implementation (2021)	Paul Coverdell Forensic Sciences Improvement Grant Program (2020)
16.725	16.736	16.743
Weed and Seed Program Fund (1993U)	Transitional Housing Assistance for Victims of Domestic Violence, Stalking, or Sexual Assault (2004)	FORENSIC CASEWORK DNA BACKLOG REDUCTION PROGRAM (2005)
Number changed to 16.595 (1995B)	Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (2008)	
16.726	16.737	16.744
Juvenile Mentoring Program (1996B)	Gang Resistance Education and Training (2004)	Anti-Gang Initiative (2006)
Juvenile Mentoring Program (2018)	Number changed from 21.053 (2004)	Archived (2010)
Juvenile Mentoring Program (2019)	Archived (2018)	
Juvenile Mentoring Program (2020)		
Juvenile Mentoring Program (2021)		
16.727	16.738	16.745
Combating Underage Drinking (1998B)	Edward Byrne Memorial Justice Assistance Grant Program (2005)	Criminal and Juvenile Justice and Mental Health Collaboration Program (2006)
Enforcing Underage Drinking Laws Program (1999U)	Edward Byrne Memorial Justice Assistance Grant Program (2018)	Criminal and Juvenile Justice and Mental Health Collaboration Program (2018)
Archived (2018)	Edward Byrne Memorial Justice Assistance Grant Program (2019)	Criminal and Juvenile Justice and Mental Health Collaboration Program (2019)
	Edward Byrne Memorial Justice Assistance Grant Program (2020)	Criminal and Juvenile Justice and Mental Health
	Edward Byrne Memorial Justice Assistance Grant	
16.728		
Drug Prevention Program (1998B)		
16.729		
Drug-Free Communities Support Program Grants (1998B)		
Number changed to 93.276 (2004)		
16.730		
Reduction and Prevention of Children's Exposure to Violence (1999B)		
Archived (2017)		
16.731		
Tribal Youth Program (1999B)		

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Collaboration Program (2020)	Economic, High-Tech, and Cyber Crime Prevention (2021)	Force Program (ICAC) (2009) Archived (2017)
Criminal and Juvenile Justice and Mental Health Collaboration Program (2020)	16.753 Congressionally Recommended Awards (2008) Congressionally Recommended Awards (2018) Archived (2019)	16.801 Recovery Act - State Victim Assistance Formula Grant Program (2009) Archived (2017)
Criminal and Juvenile Justice and Mental Health Collaboration Program (2021)	16.754 Harold Rogers Prescription Drug Monitoring Program (2008) Harold Rogers Prescription Drug Monitoring Program (2018) Harold Rogers Prescription Drug Monitoring Program (2019) Harold Rogers Prescription Drug Monitoring Program (2019) Harold Rogers Prescription Drug Monitoring Program (2020) Harold Rogers Prescription Drug Monitoring Program (2021)	16.802 Recovery Act - State Victim Compensation Formula Grant Program (2009) Archived (2017)
Criminal and Juvenile Justice and Mental Health Collaboration Program (2021)	16.755 Southwest Border Prosecution Initiative (2008) Archived (2017)	16.803 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories (2009) Archived (2017)
16.746 Capital Case Litigation (2006) Capital Case Litigation Initiative (2014) Capital Case Litigation Initiative (2018) Capital Case Litigation Initiative (2019) Capital Case Litigation Initiative (2020) Capital Case Litigation Initiative (2021)	16.756 Court Appointed Special Advocates Program (2009) Court Appointed Special Advocates (2018) Court Appointed Special Advocates (2019) Court Appointed Special Advocates (2020) Court Appointed Special Advocates (2021)	16.804 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government (2009) Archived (2017)
16.747 Crime Victims' Rights Act (2006) Archived (2009)	16.757 Judicial Training on Child Maltreatment for Court Personnel (2009) Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (2018) Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (2019) Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (2020) Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (2021)	16.805 Recovery Act - Transitional Housing (2009) Archived (2012)
16.748 Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review) (2007) Convicted Offender and/or Arrestee DNA Backlog Reduction Program (2010) Archived (2012)	16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (2009) Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (2018) Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (2019) Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (2020) Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (2021)	16.806 Recovery Act - Violence Against Women Discretionary Grants For Indian Tribal Governments (2009) Archived (2012)
16.749 Radiation Exposure Compensation Program (2007) Archived (2012)	16.759 Support for Adam Walsh Act Implementation Grant Program (2018) Support for Adam Walsh Act Implementation Grant Program (2019) Support for Adam Walsh Act Implementation Grant Program (2020) Support for Adam Walsh Act Implementation Grant Program (2021)	16.807 Recovery Act - VOCA Crime Victim Assistance Discretionary Grant Program (2009) Archived (2017)
16.750 SUPPORT FOR ADAM WALSH ACT IMPLEMENTATION GRANT PROGRAM (2008) Support for Adam Walsh Act Implementation Grant Program (2018) Support for Adam Walsh Act Implementation Grant Program (2019) Support for Adam Walsh Act Implementation Grant Program (2020) Support for Adam Walsh Act Implementation Grant Program (2021)	16.808 Recovery Act - Edward Byrne Memorial Competitive Grant Program (2009) Archived (2017)	16.808 Recovery Act - Edward Byrne Memorial Competitive Grant Program (2009) Archived (2017)
16.751 Edward Byrne Memorial Competitive Grant Program (2008) Edward Byrne Memorial Competitive Grant Program (2018) Edward Byrne Memorial Competitive Grant Program (2019) Edward Byrne Memorial Competitive Grant Program (2020)	16.809 Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border of the United States Competitive Grant Program (2009) Archived (2017)	16.809 Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border of the United States Competitive Grant Program (2009) Archived (2017)
16.752 Economic High-Tech and Cyber Crime Prevention (2008) Economic, High-Tech, and Cyber Crime Prevention (2018) Economic, High-Tech, and Cyber Crime Prevention (2018) Economic, High-Tech, and Cyber Crime Prevention (2019) Economic, High-Tech, and Cyber Crime Prevention (2020)	16.810 Recovery Act - Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program (2009) Archived (2017)	16.810 Recovery Act - Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program (2009) Archived (2017)
	16.811 Recovery Act - Correctional Facilities On Tribal Lands (2009) Archived (2017)	16.811 Recovery Act - Correctional Facilities On Tribal Lands (2009) Archived (2017)
	16.800 Recovery Act - Internet Crimes against Children Task	16.812 Second Chance Act Prisoner Reentry Initiative (2009) Second Chance Act Reentry Initiative (2014)

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Second Chance Act Reentry Initiative (2018)
Second Chance Act Reentry Initiative (2019)
Second Chance Act Reentry Initiative (2019)
Second Chance Act Reentry Initiative (2020)
Second Chance Act Reentry Initiative (2021)

16.813

NICS Act Record Improvement Program (2009)
NICS Act Record Improvement Program (2018)
NICS Act Record Improvement Program (2019)
NICS Act Record Improvement Program (2020)
NICS Act Record Improvement Program (2021)

16.814

Northern Border Prosecution Initiative Program (2009)
Archived (2017)

16.815

Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (2010)
Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (2018)
Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (2019)
Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (2020)
Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (2021)

16.816

John R. Justice Prosecutors and Defenders Incentive Act (2010)
John R. Justice Prosecutors and Defenders Incentive Act (2018)
John R. Justice Prosecutors and Defenders Incentive Act (2019)
John R. Justice Prosecutors and Defenders Incentive Act (2020)
John R. Justice Prosecutors and Defenders Incentive Act (2021)

16.817

Byrne Criminal Justice Innovation Program (2012)
Innovations in Community-Based Crime Reduction (2018)
Innovations in Community-Based Crime Reduction (2018)
Innovations in Community-Based Crime Reduction (2019)
Innovations in Community-Based Crime Reduction (2019)
Innovations in Community-Based Crime Reduction (2020)
To: Byrne Criminal Justice Innovation Program
From: Innovations in Community-Based Crime Reduction (2021)
Byrne Criminal Justice Innovation Program (2021)

16.818

Children Exposed to Violence (2012)
Children Exposed to Violence (2018)
Children Exposed to Violence (2019)
Children Exposed to Violence (2020)
Children Exposed to Violence (2021)

16.819

National Forum on Youth Violence Prevention (2012)
National Forum on Youth Violence Prevention (2018)
Archived (2019)

16.820

Postconviction DNA Testing Program (2012)
Postconviction Testing of DNA Evidence to Exonerate the Innocent (2013)
Postconviction Testing of DNA Evidence (2017)
Postconviction Testing of DNA Evidence (2018)
Postconviction Testing of DNA Evidence (2019)
Postconviction Testing of DNA Evidence (2020)
(2020)
Postconviction Testing of DNA Evidence (2020)
Postconviction Testing of DNA Evidence (2020)
Postconviction Testing of DNA Evidence (2020)
Postconviction Testing of DNA Evidence (2021)

16.821

Juvenile Justice Reform and Reinvestment Demonstration Program (2012)
Archived (2018)

16.822

National Center for Campus Public Safety (2013)
National Center for Campus Public Safety (2018)
Archived (2019)

16.823

Emergency Planning for Juvenile Justice Facilities (2013)
Emergency Planning for Juvenile Justice Facilities (2018)
Emergency Planning for Juvenile Justice Facilities (2019)
Emergency Planning for Juvenile Justice Facilities (2020)
Emergency Planning for Juvenile Justice Facilities (2021)

16.824

Emergency Law Enforcement Assistance Grant (2013)
Emergency Law Enforcement Assistance Grant (2018)
Emergency Law Enforcement Assistance Grant (2019)
Emergency Law Enforcement Assistance Grant (2020)
Emergency Law Enforcement Assistance Grant (2021)
Emergency Law Enforcement Assistance Grant (2021)

16.825

Smart Prosecution Initiative (2014)
Smart Prosecution Initiative (2018)
Smart Prosecution Initiative (2019)
Smart Prosecution Initiative (2020)
Smart Prosecution Initiative (2021)

16.826

Vision 21 (2014)
Vision 21 (2018)
Archived (2019)

16.827

Justice Reinvestment Initiative (2014)
Justice Reinvestment Initiative (2018)
Justice Reinvestment Initiative (2019)
Justice Reinvestment Initiative (2020)
Justice Reinvestment Initiative (2021)

16.828

Swift and Certain Sanctions/Replicating the Concepts Behind Project HOPE (2014)
Swift, Certain, and Fair (SCF) Sanctions program: Replicating the Concepts behind Project HOPE (2015)
Swift, Certain, and Fair (SCF) Supervision Program: Including Project HOPE (2018)
Swift, Certain, and Fair (SCF) Supervision Program: Including Project HOPE (2018)
To: Innovative Responses to Behavior in the Community: Swift, Certain, and Fair Supervision Program
From: Swift, Certain, and Fair (SCF) Supervision Program: Including Project HOPE (2019)
Innovative Responses to Behavior in the Community: Swift, Certain, and Fair Supervision Program (2019)
Innovative Responses to Behavior in the Community: Swift, Certain, and Fair Supervision Program (2020)
Innovative Responses to Behavior in the Community: Swift, Certain, and Fair Supervision Program (2021)
To: Swift, Certain, and Fair Supervision Program: Applying the Principles Behind Project HOPE
From: Innovative Responses to Behavior in the Community: Swift, Certain, and Fair Supervision Program (2021)

16.829

Juvenile Justice Education Collaboration Assistance (2014)
Juvenile Justice Education Collaboration Assistance (2018)
Archived (2019)

16.830

Girls in the Juvenile Justice System (2014)
Girls in the Juvenile Justice System (2018)
Girls in the Juvenile Justice System (2019)
Girls in the Juvenile Justice System (2020)
Girls in the Juvenile Justice System (2021)

16.831

Children of Incarcerated Parents (2014)
Children of Incarcerated Parents (2018)
Children of Incarcerated Parents (2019)
Children of Incarcerated Parents (2020)
Children of Incarcerated Parents (2021)

16.832

Children of Incarcerated Parents Web Portal (2014)
Children of Incarcerated Parents Web Portal (2018)
Children of Incarcerated Parents Web Portal (2019)
Children of Incarcerated Parents Web Portal (2020)
Children of Incarcerated Parents Web Portal (2021)

16.833

National Sexual Assault Kit Initiative (2015)
National Sexual Assault Kit Initiative (2018)
National Sexual Assault Kit Initiative (2019)
National Sexual Assault Kit Initiative (2020)
National Sexual Assault Kit Initiative (2021)

16.834

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Domestic Trafficking Victim Program (2016)	(2018)	Experimental and Demonstration Projects for Youth and Adults with Limited Ability to Read and Write (1965B)
Domestic Trafficking Victim Program (2018)	VOCA Tribal Victim Services Set-Aside Program (2019)	Archived (1967B)
Domestic Trafficking Victim Program (2019)	VOCA Tribal Victim Services Set-Aside Program (2020)	Farm Labor Services (1965B)
Domestic Trafficking Victim Program (2020)	VOCA Tribal Victim Services Set-Aside Program (2021)	Employment Services to Rural Areas (1969B)
Domestic Trafficking Victim Program (2021)		Archived (1970B)
16.835	16.842	Job Market Information (1965B)
Body Worn Camera Policy and Implementation (2016)	Opioid Affected Youth Initiative (2018)	Archived (1970B)
Body Worn Camera Policy and Implementation (2018)	Opioid Affected Youth Initiative (2018)	Job Training for Disadvantaged Persons (1965B)
Body Worn Camera Policy and Implementation (2019)	To: Opioid Affected Youth InitiativeFrom: Opioid Affected Youth Initiative (2019)	Archived (1969B)
Body Worn Camera Policy and Implementation (2020)	Opioid Affected Youth Initiative (2019)	New Careers Program (1967B)
Body Worn Camera Policy and Implementation (2021)	Opioid Affected Youth Initiative (2020)	Archived (1969B)
	Opioid Affected Youth Initiative (2021)	Archived (1969B)
16.836	16.843	Occupational Training in Development Areas (1965B)
Indigent Defense (2016)	Gulf States Regional Law Enforcement Technology Training and Technical Assistance Initiative (2019)	Archived (1969B)
Indigent Defense (2018)	Gulf States Regional Law Enforcement Technology Training and Technical Assistance Initiative (2019)	Physically and Mentally Handicapped_Employment Service (1965B)
Indigent Defense (2019)	Gulf States Regional Law Enforcement Technology Training and Technical Assistance Initiative (2020)	Archived (1969B)
Indigent Defense (2020)	Gulf States Regional Law Enforcement Technology Training and Technical Assistance Initiative (2021)	Special Impact Program (1967B)
Indigent Defense (2021)		Special Impact (1969B)
		Archived (1970B)
16.837	16.844	Trade Adjustment Allowances for Workers (1965B)
Building Community Trust (2016)	Combatting Contraband Cell Phone Use in Prisons (2019)	Archived (1969B)
Archived (2018)	To: 16.844From: 16.022 (2019)	General Employment Services (1965B)
	Combatting Contraband Cell Phone Use in Prisons (2019)	U.S. Employment Services (1967B)
16.838	Combatting Contraband Cell Phone Use in Prisons (2020)	Employment Service (1969B)
Comprehensive Opioid Abuse Site-Based Program (2017)	Combatting Contraband Cell Phone Use in Prisons (2021)	Archived (1970B)
Comprehensive Opioid Abuse Site-Based Program (2018)		Veterans Employment Priority Service (1967B)
Comprehensive Opioid Abuse Site-Based Program (2019)	16.888	Archived (1969B)
Comprehensive Opioid Abuse Site-Based Program (2020)	Consolidated And Technical Assistance Grant Program to Address Children and Youth Experiencing Domestic and Sexual Violence and Engage Men and Boys as Allies (2012)	Youth Opportunity Centers (1965B)
To: Comprehensive Opioid, Stimulant, and Substance Abuse ProgramFrom: Comprehensive Opioid Abuse Site-Based Program (2020)		Archived (1970B)
Comprehensive Opioid, Stimulant, and Substance Abuse Program (2020)	16.889	American Indian Vocational Job Training (1965B)
Comprehensive Opioid, Stimulant, and Substance Abuse Program (2021)	Grants for Outreach and Services to Underserved Populations (2014)	Archived (1967B)
		Employment Assistance and Training for Inmates of Correctional Institutions (1969B)
16.839	16.922	Archived (1970B)
STOP School Violence (2018)	Equitable Sharing Program (2012)	Apprenticeship Information Centers (1969B)
STOP School Violence (2018)		Archived (1970B)
STOP School Violence (2018)	LABOR, DEPARTMENT OF	Manpower Recruitment Services (1969B)
STOP School Violence (2019)	Community Employment Development (1965B)	Archived (1970B)
STOP School Violence (2020)	Smaller Communities Program_Rural Areas (1969B)	Archived (1970B)
STOP School Violence (2021)	Archived (1970B)	Older Worker Employment Assistance (1969B)
	Experimental and Demonstration Projects for the Mentally Retarded (1965B)	Archived (1970B)
16.840	Archived (1967B)	Selective Service Rejectee Rehabilitation (1969B)
Keep Young Athletes Safe (2018)	Experimental and Demonstration Projects for Rural Persons (1965B)	Archived (1970B)
Keep Young Athletes Safe (2018)	Archived (1967B)	
Keep Young Athletes Safe (2018)		17.001
Keep Young Athletes Safe (2019)		Foreign Labor Data (1969B)
Keep Young Athletes Safe (2020)		Archived (1972B)
Keep Young Athletes Safe (2021)		
16.841	Experimental and Demonstration Projects under MDTA (1965B)	
VOCA Tribal Victim Services Set-Aside Program (2018)	Archived (1967B)	
VOCA Tribal Victim Services Set-Aside Program		

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17.002

Manpower and Employment Data (1969B)
Labor Force Statistics (1977B)
Labor Force Statistics (2018)
Labor Force Statistics (2019)
Labor Force Statistics (2020)
Labor Force Statistics (2021)

17.003

Price and Cost of Living Data (1969B)
Prices and Cost of Living Data (2018)
Prices and Cost of Living Data (2019)
Prices and Cost of Living Data (2020)
Prices and Cost of Living Data (2021)

17.004

Productivity, Technology, and Growth Data (1969B)
Productivity and Technology Data (1971B)
Split into 17.004, 17.006 (1971B)
Productivity and Technology Data (2018)
Productivity and Technology Data (2019)
Productivity and Technology Data (2020)
Productivity and Technology Data (2021)

17.005

Wages and Industrial Relations Data (1969B)
Compensation and Working Conditions Data (1988B)
Compensation and Working Conditions (2000B)
Compensation and Working Conditions (2018)
Compensation and Working Conditions (2019)
Compensation and Working Conditions (2020)
Compensation and Working Conditions (2021)

17.006

Economic Growth Data (1971B)
Split from 17.004 (1971B)
Economic Research Data (1972B)
Economic Growth Data (1977B)
Economic Growth and Employment Projections Data (1983B)
Employment Projections Data (1989U)
Deleted (2004)
Incorporated into 17.002 (2004)
Incorporated into 17.002 (2004)

17.007

International Labor Programs (2018)
International Labor Programs (2019)
International Labor Programs (2020)

17.100

Labor-Management Relations Services (1970B)
Number changed to 17.130 (1984U)

17.101

Labor Organization Reports (1969B)
Number changed to 17.140 (1984U)

17.102

Reemployment Rights for Veterans (1969B)
Veterans Reemployment Rights (1970B)
Number changed to 17.803 (1984B)

17.103

Welfare and Pension Plan Reports (1969B)
Employee Benefits Security (1975B)

Pension and Welfare Benefit Programs (1977B)
Number changed to 17.150 (1984U)

17.104

Office of Construction Industry Services (1979U1)
Archived (1982B)

17.130

Labor-Management Relations and Cooperative Programs (1984U)
Number changed from 17.100 (1984U)
Archived (1992U)

17.140

Labor Organization Reports (1984U)
Number changed from 17.101 (1984U)
Incorporated into 17.309 (2001U)

17.150

Welfare and Pension Plan Reports (1984U)
Number changed from 17.103 (1984U)
Employee Benefits Security Administration (2003B)
Employee Benefits Security Administration (2019)
Employee Benefits Security Administration (2020)
Employee Benefits Security Administration (2021)

17.151

Employee Benefits Security Administration (EBSA) (2009)
Archived (2012)

17.200

Apprenticeship Outreach (1970B)
Consolidated into 17.243 (1979B)

17.201

Apprenticeship and Training (1965B)
Apprenticeship Training (1970B)
Registered Apprenticeship Training (1997U)
Registered Apprenticeship and Other Training (2000B)
Registered Apprenticeship (2012)
Registered Apprenticeship (2018)
Registered Apprenticeship (2018)
Registered Apprenticeship (2019)
Registered Apprenticeship (2020)
Registered Apprenticeship (2020)
Registered Apprenticeship (2020)
Registered Apprenticeship (2020)
Registered Apprenticeship (2021)

17.202

Certification for Foreign Workers for Seasonal Agricultural Employment (1970B)
Certification of Foreign Workers for Agricultural and Logging Employment (1971B)
Certification of Foreign Workers for Temporary Agricultural and Logging Employment (1980U2)
Certification of Foreign Workers for Temporary Agricultural Employment (1991B)
Deleted (2006)

17.203

Certification for Immigrant Nonagricultural Workers (1970B)
Certification for Immigrant Workers (1979B)
Labor Certification for Alien Workers (1983B)
Deleted (2006)

17.204

Concentrated Employment Program (1969B)
Consolidated into 17.232 (1973B)

17.205

Cooperative Area Manpower Planning System (1969B)
Consolidated into 17.232 (1973B)

17.206

Bonding Assistance (1969B)
Employment Bonding Assistance (1970B)
Archived (1970U)
Reinstated (1971B)
Federal Bonding Program (1971B)
Archived (1972U)

17.207

Employment Service_Industrial Services (1965B)
Employment Services_Grants to States (1970B)
Employment Service (1972B)
Employment Service/Wagner-Peyser Funded Activities (2006)
Employment Service/Wagner-Peyser Funded Activities (2018)
Employment Service/Wagner-Peyser Funded Activities (2018)
Employment Service/Wagner-Peyser Funded Activities (2019)
Employment Service/Wagner-Peyser Funded Activities (2020)
Employment Service/Wagner-Peyser Funded Activities (2021)

17.208

Exemplary Rehabilitation Certificates (1967B)
Number changed to 17.800 (1982B)

17.209

Farm Labor Contractor Registration (1965B)
Number changed to 17.308 (1972U)

17.210

Job Bank (1970B)
Computerized Job Placement (1972B)
Incorporated into 17.207 (1973B)

17.211

Job Corps (1970B)
Transferred from Office of Economic Opportunity (1970B)
Incorporated into 17.232 (1974B)
Reinstated/Split from 17.232 (1975B)
Archived (1981U)

17.212

Job Opportunities in the Business Sector (1969B)
Incorporated into 17.232 (1975B)

17.213

Journeyman Training (1970B)
Archived (1972U)

17.214

Labor Mobility-Relocation Assistance Allowances

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(1965B) Labor Mobility Demonstration Projects (1970B) Archived (1970U)	Archived (1973U)	Projects (1976B) Number changed to 17.248 (1983U)
17.215 Manpower Development and Training_Institutional Training (1970B) Incorporated into 17.232 (1973B)	17.225 Unemployment Insurance_Grants to States (1965B) Unemployment Insurance (1979B) Unemployment Insurance (2018) Unemployment Insurance (2018) Unemployment Insurance (2019) Unemployment Insurance (2020) Unemployment Insurance (2020) Unemployment Insurance (2021) Unemployment Insurance (2021)	17.234 Indian Manpower Programs (1975B) Indian Employment and Training Programs (1976B) Indian and Native American Employment and Training Programs (1977B) Employment and Training_Indians and Native Americans (1978B) Archived (1983U)
17.216 Manpower Development and Training (1965B) Manpower Development and Training Act_On-the-Job Training Program (1970B) Number changed to 17.227 (1970U)	17.226 Work Incentives Program (1969B) Work Incentive Program_Training and Allowances (1970B) Work Incentives Program_Training and Allowances (1973B) Work Incentives Program and Incentives (1974B) Work Incentives Program (1975B) Transferred to 13.646 (1978B)	17.235 Senior Community Service Employment Program (1975B) Senior Community Service Employment Program (2018) Senior Community Service Employment Program (2018) Senior Community Service Employment Program (2019) Senior Community Service Employment Program (2020) Senior Community Service Employment Program (2021)
17.217 Manpower Experimental and Demonstration Projects (1969B) Consolidated into 17.233 (1973U)	17.227 Job Opportunities in the Business Sector_Low Support (1970U) Number changed from 17.216 (1970U) Job Opportunities in the Business Sector_Optional Program (1971B) Consolidated into 17.232 (1973B)	17.236 New Initiatives in Apprenticeship (1978B) Archived (1979B)
17.218 Manpower Research Programs (1965B) Manpower Research_Doctoral Dissertation Grants (1970B) Employment and Training Research_Doctoral Dissertation Grants (1976B) Doctoral Dissertation and Small Grant Research Projects Programs (1977B) Doctoral Dissertation Program (1979U1) Archived (1980U1)	17.228 National On-The-Job Training (1971B) Consolidated into 17.243 (1979B)	17.239 Youth Community Conservation Improvement Program (1978B) Incorporated into 17.232 (1979U1)
17.219 Manpower Research_Institutional Grants (1970B) Manpower Institutional Grants (1974B) Employment and Training Institutional Grants (1976B) Institutional Grant Program (1978B) Archived (1982B)	17.229 Emergency Employment Assistance (1971U) Public Employment Program (1972B) Archived (1973B)	17.240 Youth Employment and Training (1978B) Consolidated into 17.232 (1979U1)
17.220 Manpower Research and Evaluation (1970B) Manpower Research_Contracts (1971B) Manpower Research_Projects (1973B) Consolidated into 17.233 (1973U)	17.230 Migrant Workers (1972B) Transferred from (1972B) Farm Workers (1975B) Migrant and Seasonal Farm Workers (1977B) Migrant and Seasonal Farmworkers (1981U) Number changed to 17.247 (1983U)	17.241 Youth Incentive Entitlement Pilot Projects (1978B) Archived (1981B)
17.221 Manpower Research_Project Grants (1970B) Manpower Research_Small Grant Projects (1973B) Manpower Research_Small Grant Research Projects (1975B) Employment and Training Research_Small Grant Research Projects (1976B) Incorporated into 17.218 (1977B)	17.231 Technology Mobilization and Reemployment (1972B) Archived (1973B)	17.242 Summer Programs for Economically Disadvantaged Youth (1978B) Consolidated into 17.232 (1979U1)
17.222 Neighborhood Youth Corps (1965B) Consolidated into 17.232 (1973B)	17.232 Manpower Revenue Sharing (1973B) Consolidated from 17.204, 17.205, 17.222, 17.227 (1973B) Comprehensive Manpower Programs (1973U) Comprehensive Employment and Training Programs (1974B) Archived (1983U)	17.243 Special Programs and Activities for the Disadvantaged (1979B) Consolidated from 17.200, 17.228 (1979B) Special National Programs and Activities for the Disadvantaged (1980U2) Special National Lever Programs (1983B) Number changed to 17.249 (1983U)
17.223 Operation Mainstream (1967B) National Older Workers Program_Operation Mainstream (1975B) Incorporated into 17.235 (1975U)	17.233 Manpower Research and Development Projects (1973U) Consolidated from 17.217, 17.220 (1973U) Employment and Training Research and Development	17.244 Disabled Veterans Outreach Program (1981B) Number changed to 17.801 (1982B)
17.224 Public Service Careers (1970B)		17.245 Trade Adjustment Assistance_Workers (1981U) Number changed from 17.400 (1981U) Trade Adjustment Assistance (2006) Trade Adjustment Assistance (2018)

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Trade Adjustment Assistance (2018)
Trade Adjustment Assistance (2019)
Trade Adjustment Assistance (2020)
Trade Adjustment Assistance (2021)

17.246

Employment and Training Assistance_Dislocated Workers (1983B)
Consolidated into 17.260 (2001B)

17.247

Migrant and Seasonal Farmworkers (1983U)
Number changed from 17.230 (1983U)

17.248

Employment and Training Research and Development Projects (1983U)
Number changed from 17.233 (1983U)
Employment and Training Evaluation Projects (2000B)
Consolidated into 17.261 (2001U)

17.249

Employment Services and Job Training_Pilot and Demonstration Programs (1983U)
Number changed from 17.243 (1983U)
Employment Services and Job Training Pilots_Demonstrations and Research (2000B)
Consolidated into 17.261, 17.263 (2001U)

17.250

Job Training Partnership Act (1983U)
Consolidated into 17.258, 17.259 (2001B)

17.251

Native American Employment and Training Programs (1984U)
Consolidated into 17.26 (2002B)

17.252

Attestations by Employers Using Alien Crewmembers for Longshore Activities in U.S. Ports (1993B)

17.253

Welfare-to-Work Grants to States and Localities (1998B)

17.254

Welfare-to-Work Grants to Federally Recognized Tribes and Alaska Natives (1998U)

17.255

Workforce Investment Act (1999B)
Consolidated into 17.258, 17.259 (2001B)

17.257

One-Stop Career Initiative (1999B)
Deleted (2004)
Reinstated (2004)
Deleted (2005)
Reinstated (2005)
Deleted (2006)

17.258

WIA Adult ProgramConsolidated from 17.250, 17.255 (2001B)

WIA/WIOA Adult Program (2015)
WIOA Adult Program (2017)
WIOA Adult Program (2018)
WIOA Adult Program (2018)
WIOA Adult Program (2019)
WIOA Adult Program (2020)
WIOA Adult Program (2021)

17.259

WIA Youth ActivitiesConsolidated from 17.250, 17.255 (2001B)
WIA/WIOA Youth Activities (2015)
WIOA Youth Activities (2017)
WIOA Youth Activities (2018)
WIOA Youth Activities (2018)
WIOA Youth Activities (2019)
WIOA Youth Activities (2020)
WIOA Youth Activities (2021)

17.260

WIA Dislocated WorkersConsolidated from 17.246 (2001B)
Archived (2011)
Reinstated (2011)
Archived (2016)

17.261

Employment and Training Administration Pilots, Demonstrations, and Research ProjectsConsolidated from 17.248, 17.249 (2001B)
WIA Pilots, Demonstrations, and Research Projects (2006)
WIA/WIOA Pilots, Demonstrations, and Research Projects (2015)
WIOA Pilots, Demonstrations, and Research Projects (2017)
WIOA Pilots, Demonstrations, and Research Projects (2018)
WIOA Pilots, Demonstrations, and Research Projects (2018)
WIOA Pilots, Demonstrations, and Research Projects (2019)
WIOA Pilots, Demonstrations, and Research Projects (2020)
WIOA Pilots, Demonstrations, and Research Projects (2021)

17.262

Employment and Training Administration Evaluations (2001B)
Archived (2011)

17.263

Youth Opportunity GrantsConsolidated from 17.249 (2001B)
Deleted (2006)

17.264

Migrant and Seasonal Farmworkers (2002B)
Consolidated from 17.247 (2002B)
National Farmworker Jobs Program (2006)
National Farmworker Jobs Program (2018)
National Farmworker Jobs Program (2018)
National Farmworker Jobs Program (2019)
National Farmworker Jobs Program (2020)
National Farmworker Jobs Program (2021)

17.265

Native American Employment and Training (2002B)
Consolidated from 17.251 (2002B)
Native American Employment and Training (2018)
Native American Employment and Training (2018)
Native American Employment and Training (2019)
Native American Employment and Training (2020)
Native American Employment and Training (2021)

17.266

Work Incentives Grant (2002B)
Work Incentive Grants (2006)
Archived (2011)

17.267

WIA Incentive Grants_Section 503 Grants to States (2004)
Incentive Grants - WIA Section 503 (2006)
Incentive Grants - WIA Section 503 (2018)
Archived (2019)

17.268

H-1B High Growth Job Training Grants (2006)
H-1B Job Training Grants (2007)
H-1B Job Training Grants (2018)
H-1B Job Training Grants (2019)
H-1B Job Training Grants (2020)
H-1B Job Training Grants (2021)

17.269

Community Based Job Training Grants (2006)
Archived (2011)

17.270

Prisoner Reentry (2006)
Reintegration of Ex-Offenders (2008)
Reentry Employment Opportunities (2016)
Reentry Employment Opportunities (2018)
Reentry Employment Opportunities (2018)
Reentry Employment Opportunities (2019)
Reentry Employment Opportunities (2020)
Reentry Employment Opportunities (2021)

17.271

Work Opportunity Tax Credit Program (WOTC) and Welfare-to-Work Tax Credit (WtWTC) (2006)
Work Opportunity Tax Credit Program (WOTC) (2007)
Work Opportunity Tax Credit Program (WOTC) (2018)
Work Opportunity Tax Credit Program (WOTC) (2018)
Work Opportunity Tax Credit Program (WOTC) (2019)
Work Opportunity Tax Credit Program (WOTC) (2020)
Work Opportunity Tax Credit Program (WOTC) (2021)

17.272

Permanent Labor Certification for Foreign Workers (2006)
Permanent Labor Certification for Foreign Workers (2018)
Permanent Labor Certification for Foreign Workers (2019)
Permanent Labor Certification for Foreign Workers

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(2020)
Permanent Labor Certification for Foreign Workers (2020)
Permanent Labor Certification for Foreign Workers (2021)

17.273

Temporary Labor Certification for Foreign Workers (2006)
Temporary Labor Certification for Foreign Workers (2018)
Temporary Labor Certification for Foreign Workers (2018)
Temporary Labor Certification for Foreign Workers (2019)
Temporary Labor Certification for Foreign Workers (2020)
Temporary Labor Certification for Foreign Workers (2020)
Temporary Labor Certification for Foreign Workers (2020)
Temporary Labor Certification for Foreign Workers (2021)

17.274

YOUTHBUILD (2007)
Number changed from 14.243 (2007)
YouthBuild (2018)
YouthBuild (2018)
YouthBuild (2019)
YouthBuild (2020)
YouthBuild (2021)

17.275

PROGRAM OF COMPETITIVE GRANTS FOR WORKER TRAINING AND PLACEMENT IN HIGH GROWTH AND EMERGING INDUSTRY SECTORS (2009)
Archived (2016)

17.276

Health Coverage Tax Credit (HCTC) (2009)
Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (2018)
Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (2018)
Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (2019)
Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (2020)
Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (2020)
Health Care Tax Credit (HCTC) National Emergency Grants (NEGs) (2021)

17.277

Workforce Investment Act (WIA) National Emergency Grants (2009)
WIOA National Dislocated Worker Grants / WIA National Emergency Grants (2015)
WIOA National Dislocated Worker Grants / WIA National Emergency Grants (2018)
WIOA National Dislocated Worker Grants / WIA National Emergency Grants (2018)
WIOA National Dislocated Worker Grants / WIA National Emergency Grants (2019)
WIOA National Dislocated Worker Grants / WIA National Emergency Grants (2020)
WIOA National Dislocated Worker Grants / WIA

National Emergency Grants (2020)
WIOA National Dislocated Worker Grants / WIA National Emergency Grants (2021)
WIOA National Dislocated Worker Grants / WIA National Emergency Grants (2021)

17.278

WIA Dislocated Worker Formula Grants (2009)
WIA/WIOA Dislocated Worker Formula Grants (2015)
WIOA Dislocated Worker Formula Grants (2017)
WIOA Dislocated Worker Formula Grants (2018)
WIOA Dislocated Worker Formula Grants (2018)
WIOA Dislocated Worker Formula Grants (2019)
WIOA Dislocated Worker Formula Grants (2020)
WIOA Dislocated Worker Formula Grants (2021)
WIOA Dislocated Worker Formula Grants (2021)

17.279

Green Jobs Innovation Fund Grants (2010)
Archived (2014)

17.280

Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (2010)
WIA/WIOA Dislocated Worker National Reserve Demonstration Grants (2015)
WIOA Dislocated Worker National Reserve Demonstration Grants (2017)
WIOA Dislocated Worker National Reserve Demonstration Grants (2018)
WIOA Dislocated Worker National Reserve Demonstration Grants (2018)
WIOA Dislocated Worker National Reserve Demonstration Grants (2019)
WIOA Dislocated Worker National Reserve Demonstration Grants (2020)
WIOA Dislocated Worker National Reserve Demonstration Grants (2020)
WIOA Dislocated Worker National Reserve Demonstration Grants (2021)
WIOA Dislocated Worker National Reserve Demonstration Grants (2021)

17.281

Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (2010)
WIA/WIOA Dislocated Worker National Reserve Technical Assistance and Training (2015)
WIOA Dislocated Worker National Reserve Technical Assistance and Training (2017)
WIOA Dislocated Worker National Reserve Technical Assistance and Training (2018)
WIOA Dislocated Worker National Reserve Technical Assistance and Training (2018)
WIOA Dislocated Worker National Reserve Technical Assistance and Training (2019)
WIOA Dislocated Worker National Reserve Technical Assistance and Training (2020)
WIOA Dislocated Worker National Reserve Technical Assistance and Training (2020)
WIOA Dislocated Worker National Reserve Technical Assistance and Training (2021)
WIOA Dislocated Worker National Reserve Technical Assistance and Training (2021)

17.282

Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (2010)
Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (2018)
Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (2018)
Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (2019)
Archived (2020)

17.283

Workforce Innovation Fund (2011)
Workforce Innovation Fund (2018)
Workforce Innovation Fund (2018)
Workforce Innovation Fund (2019)
Workforce Innovation Fund (2020)
Workforce Innovation Fund (2021)

17.284

Hurricane Sandy Disaster Relief Appropriations Act Supplemental - National Emergency Grants (NEGs) (2013)
Archived (2017)

17.285

Apprenticeship USA Grants (2016)
Apprenticeship USA Grants (2018)
Apprenticeship USA Grants (2018)
Apprenticeship USA Grants (2019)
Apprenticeship USA Grants (2020)
Apprenticeship USA Grants (2021)

17.286

Hurricanes and Wildfires of 2017 Supplemental-National Dislocated Worker Grants (2018)
Hurricanes and Wildfires of 2017 Supplemental-National Dislocated Worker Grants (2018)
Hurricanes and Wildfires of 2017 Supplemental-National Dislocated Worker Grants (2018)
Hurricanes and Wildfires of 2017 Supplemental-National Dislocated Worker Grants (2019)
Hurricanes and Wildfires of 2017 Supplemental-National Dislocated Worker Grants (2020)
Hurricanes and Wildfires of 2017 Supplemental-National Dislocated Worker Grants (2020)
Hurricanes and Wildfires of 2017 Supplemental-National Dislocated Worker Grants (2021)

17.287

Job Corps Experimental Projects and Technical Assistance (2019)
Job Corps Experimental Projects and Technical Assistance (2019)
(2019)
Job Corps Experimental Projects and Technical Assistance (2019)
Job Corps Experimental Projects and Technical Assistance (2020)
Job Corps Experimental Projects and Technical Assistance (2021)

17.300

Age Discrimination in Employment (1969B)
Transferred to 30.008 (1979U1)

17.301

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Equal Employment Opportunity by Federal Contractors (1969B)

Equal Employment Opportunity by Federal Contractors and Federally Assisted Construction Contractors (1980B)

Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (1983U)
Archived (2017)

17.302

Workmen's Compensation for Federal Employees and Others (1969B)

Longshoremens and Harbor Workers' Compensation (1970B)

Longshore and Harbor Workers' Compensation (1984U)

Longshore and Harbor Workers' Compensation (2018)

Longshore and Harbor Workers' Compensation (2019)

Longshore and Harbor Workers' Compensation (2020)

Longshore and Harbor Workers' Compensation (2021)

17.303

Federal Minimum Wage and Hour Standards (1967B)
Minimum Wage and Hour Standards (1969B)

Split into 17.303, 30.010 (1979U2)

Wage and Hour Standards (1996B)

Archived (2016)

17.304

Labor Standards (1965B)

Safety and Labor Standards (1969B)

Workers Safety and Labor Standards (1970B)

Number changed to 17.307A (1971B)

17.305

Women_Special Programs and Assistance (1967B)

Women's Special Employment Assistance (1970B)

Number changed to 17.700 (1978B)

17.306

Consumer Credit Protection (1970U)

Archived (2016)

17.307

Coal Mine Workers' Compensation (2004)

Coal Mine Workers' Compensation (2018)

Coal Mine Workers' Compensation (2019)

Coal Mine Workers' Compensation (2020)

Coal Mine Workers' Compensation (2021)

17.307A

6Occupational Safety and Health (1971B)

Number changed from 17.304 (1971B)

Number changed to 17.500 (1971U)

17.307B

6Coal Mine Workers' Compensation (1973B)

17.308

Farm Labor Contractor Registration (1972U)

Number changed from 17.209 (1972U)

Archived (2016)

17.309

Labor Organization Reports (1999B)

Incorporated from 17.140 (2001U)

Labor Organization Reports (2018)

Labor Organization Reports (2019)

Labor Organization Reports (2021)

Labor Organization Reports (2021)

17.310

Energy Employees Occupational Illness Compensation (2006)

Energy Employees Occupational Illness Compensation (2018)

Energy Employees Occupational Illness Compensation (2019)

Energy Employees Occupational Illness Compensation (2020)

Energy Employees Occupational Illness Compensation (2021)

17.311

Federal Transit Employee Protection Certifications:

Office of Labor-Management Standards (2009)

Archived (2012)

17.400

Trade Adjustment Assistance_Workers (1971B)

Transferred from 61.003 (1971B)

Number changed to 17.245 (1981U)

17.401

International Labor Programs (2014)

17.500

Occupational Safety and Health (1971U)

Number changed from 17.307A (1971U)

Number changed to 17.504 (1995B)

17.501

Employee Assistance Programs_Drug, Alcohol Abuse (1990B)

Archived (1991U)

17.502

Occupational Safety and Health_Training and Education (1991U)

Occupational Safety and Health_Susan Harwood Training Grants (1999B)

Occupational Safety and Health Susan Harwood Training Grants (2018)

Occupational Safety and Health Susan Harwood Training Grants (2019)

Occupational Safety and Health Susan Harwood Training Grants (2020)

Occupational Safety and Health Susan Harwood Training Grants (2021)

17.503

Occupational Safety and Health_State Program (1994B)

Occupational Safety and Health State Program (2018)

Occupational Safety and Health State Program (2019)

Occupational Safety and Health State Program (2020)

Occupational Safety and Health State Program (2021)

17.504

Consultation AgreementsNumber changed from 17.500 (1995B)

Consultation Agreements (2018)

Consultation Agreements (2019)

Consultation Agreements (2020)

Consultation Agreements (2021)

17.505

OSHA Data Initiative (2003B)

Archived (2017)

17.506

Disaster Relief Appropriations Act Susan Harwood Training Grants (2013)

Archived (2017)

17.600

Mine Health and Safety Grants (1978B)

Transferred from 15.350 (1978B)

Mine Health and Safety Grants (2018)

Mine Health and Safety Grants (2019)

17.601

Mine Health and Safety Counseling and Technical Assistance (1978B)

Transferred from 15.351 (1978B)

Mine Health and Safety Counseling and Technical Assistance (2018)

Mine Health and Safety Counseling and Technical Assistance (2019)

17.602

Mine Health and Safety Education and Training (1978B)

Transferred from 15.352 (1978B)

Mine Health and Safety Education and Training (2018)

Mine Health and Safety Education and Training (2019)

17.603

Brookwood-Sago Grant (2007)

Brookwood-Sago Grant (2018)

Brookwood-Sago Grant (2019)

17.604

Safety and Health Grants (2008)

Archived (2013)

Reinstated (2014)

Reinstated (2014)

Safety and Health Grants (2018)

Safety and Health Grants (2019)

17.700

Women's Special Employment Assistance (1978B)

Number changed from 17.305 (1978B)

Women's Bureau (2003B)

Women's Bureau (2021)

17.701

Women in Apprenticeship and Nontraditional Occupations ("WANTO") Technical Assistance Grant Program (2019)

17.720

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Employment Programs for People with Disabilities (2002B)	Archived (2020)	20.007	Bridge Alteration (1993U) Transferred to 97.014 (2003B)
Transferred from 53.001 (2002B)		20.008	E-911 Grant Program (2009)
Disability Employment Policy Development (2005)	17.805	20.100	Aviation Education (1969B) Archived (2009)
Disability Employment Policy Development (2005)	Homeless Veterans Reintegration Project (1991U)	20.101	Airport Development Program (1965B) Airport Development (1969B) Federal-Aid Airport Program (1970B) Number changed to 20.102 (1970U)
Disability Employment Policy Development (2018)	Archived (1996B)	20.102	Airport Development Aid Program (1970U) Number changed from 20.101 (1970U) Archived (1983B)
Disability Employment Policy Development (2019)	Reinstated (1998B)	20.103	Airport Planning Grant Program (1971B) Archived (1983B)
Disability Employment Policy Development (2020)	Homeless Veterans' Reintegration Program (2017)	20.104	Aviation War Risk Insurance (1971B) Archived (1977U)
Disability Employment Policy Development (2021)	Homeless Veterans' Reintegration Program (2018)	20.105	Loan Guarantees for Purchase of Aircraft and Spare Parts (1980B) Archived (1983U)
	Homeless Veterans' Reintegration Program (2019)	20.106	Airport Improvement Program (1983B) Airport Improvement Program (2018) Airport Improvement Program (2019) Airport Improvement Program (2020) Airport Improvement Program (2021) To: Airport Improvement Program and COVID-19 Airports Programs From: Airport Improvement Program (2021)
17.791	Homeless Veterans' Reintegration Program (2019)	20.107	Airway Science (1987B)
Department of Labor Chief Evaluation Office (2016)	Homeless Veterans' Reintegration Program (2020)	20.108	Aviation Research Grants (1993B) Aviation Research Grants (2018) Aviation Research Grants (2019) Aviation Research Grants (2020) Aviation Research Grants (2020) Aviation Research Grants (2021)
Department of Labor Chief Evaluation Office (2018)	Homeless Veterans' Reintegration Program (2021)	20.109	Air Transportation Centers of Excellence (1993B) Air Transportation Centers of Excellence (2018) Air Transportation Centers of Excellence (2019) Air Transportation Centers of Excellence (2020) Air Transportation Centers of Excellence (2021)
Department of Labor Chief Evaluation Office (2019)		20.110	Space Transportation Infrastructure Matching Grants
Archived (2020)			
17.800	17.806		
Exemplary Rehabilitation Certificates (1982B)	Veteran's Preference in Federal Employment (2000B)		
Number changed from 17.208 (1982B)	Veteran's Preference in Federal Employment (2019)		
Archived (1983B)	(2019)		
	Archived (2019)		
17.801	17.807		
Disabled Veterans Outreach Program (1982B)	Transition Assistance Program (2019)		
Number changed from 17.244 (1982B)	(2019)		
Disabled Veterans Outreach Program (DVOP) (1992B)	Transition Assistance Program (2019)		
Disabled Veterans' Outreach Program (DVOP) (1995B)	Transition Assistance Program (2020)		
Disabled Veterans' Outreach Program (DVOP) (2018)	Transition Assistance Program (2021)		
Disabled Veterans' Outreach Program (DVOP) (2019)			
Disabled Veterans' Outreach Program (DVOP) (2019)	TRANSPORTATION, DEPARTMENT OF		
To: Jobs for Veterans State Grants From: Disabled Veterans' Outreach Program (DVOP) (2019)	Coast Guard Marine, Harbor, and Waterfront Services (1967B)		
Jobs for Veterans State Grants (2020)	Marine, Harbor, and Waterfront Services (1969B)		
Jobs for Veterans State Grants (2021)	Archived (1970B)		
Jobs for Veterans State Grants (2021)	Development Highways and Access Roads for the Appalachian Region (1967B)		
	Archived (1969B)		
17.802	Urban Mass Transportation Capital Assistance (1969B)		
Veterans Employment Program (1984B)	Incorporated into 20.500, 20.501 (1970B)		
Veterans' Employment Program (1995B)			
Archived (2016)	20.001		
17.803	Boating Safety (1970B)		
Reemployment Rights for Veterans (1984B)	Transferred to 97.011 (2003B)		
Number changed from 17.102 (1984B)			
Veterans' Employment and Reemployment Rights (1995B)	20.002		
Uniformed Services Employment and Reemployment Rights (1996B)	Coast Guard Cooperative Marine Sciences Program (1970B)		
Uniformed Services Employment and Reemployment Rights (2019)	Archived (1986B)		
(2019)	20.003		
Archived (2019)	Student Shipboard Opportunities Program (1970B)		
	Incorporated into 20.002 (1971B)		
17.804	20.004		
Local Veterans Employment Representative Program (1984B)	Boating Safety_Financial Assistance (1972U)		
Local Veterans' Employment Representative Program (1995B)	Archived (1980B)		
Local Veterans' Employment Representative Program (2018)	20.005		
Local Veterans' Employment Representative Program (2019)	Boating Safety Financial Assistance (1983U)		
(2019)	Transferred to 97.012 (2003B)		
Local Veterans' Employment Representative Program (2019)	20.006		
(2019)	State Access to the Oil Spill Liability Trust Fund (1993B)		
Local Veterans' Employment Representative Program (2019)	Transferred to 97.013 (2003B)		

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(2014) Archived (2016)	20.205 Highway Planning and Construction (1967B) Transferred from the Department of Commerce (1970B) Highway Research, Planning, and Construction (1972B) Highway Planning and Construction (1981U) Revised (1998U) Highway Planning and Construction (2018) Highway Planning and Construction (2019) Highway Planning and Construction (2020) Highway Planning and Construction (2021)	20.215 Highway Training and Education (1980B) Highway Training and Education (2018) Highway Training and Education (2019) Highway Training and Education (2020) Highway Training and Education (2021)
20.111 Aircraft Pilots Workforce Development Grant Program (2020) Aircraft Pilots Workforce Development Grant Program (2020) Aircraft Pilots Workforce Development Grant Program (2021)	20.206 Highway Studies (1967B) Highway Planning and Research Studies (1970B) Highway Planning and Research (1971B) Incorporated into 20.205 (1972B)	20.216 Highway Educational Grants (1980B) Archived (1985U)
20.112 Aviation Maintenance Technical Workforce Grant Program (2020) Aviation Maintenance Technical Workforce Grant Program (2020) Aviation Maintenance Technical Workforce Grant Program (2021)	20.207 National Highway Safety Program (1965B) Split into 20.207, 20.208, 20.210 (1970B) Highway Safety Demonstration Projects (1970B) Consolidated into 20.600 (1971B)	20.217 Motor Carrier Safety (1980B)
20.113 Veteran Pilot Training Program (2020) Archived (2020) Reinstated (2021) Veteran Pilot Training Program (2021) To: Veterans Pilot Training ProgramFrom: Veteran Pilot Training Program (2021)	20.208 Highway Safety Research Fellowships (1970B) Split from 20.207 (1970B) Consolidated into 20.600 (1971B)	20.218 Motor Carrier Safety Assistance Program (1983U) National Motor Carrier Safety (1999B) Motor Carrier Safety Assistance (2016) Motor Carrier Safety Assistance (2018) Motor Carrier Safety Assistance (2019) Motor Carrier Safety Assistance (2020) Motor Carrier Safety Assistance (2020) Motor Carrier Safety Assistance (2021)
20.114 Aviation Manufacturing Jobs Protection (AMJP) Program (2021) Aviation Manufacturing Jobs Protection (AMJP) Program (2021)	20.209 Public Lands Highways (1970B) Incorporated into 20.205 (1974B)	20.219 National Recreational Trails Program (1993U) National Recreational Trails Funding Program (1995B) Recreational Trails Program, Revised (1998U) Recreational Trails Program (2018) Recreational Trails Program (2019) Recreational Trails Program (2020) Recreational Trails Program (2021)
20.200 Federal-Aid Highways_Emergency Relief (1970B) Incorporated into 20.205 (1974B) Highway Research and Development Program (2018) Highway Research and Development Program (2019) Highway Research and Development Program (2020) Highway Research and Development Program (2021)	20.210 State and Community Highway Safety (1970B) Split from 20.207 (1970B) Consolidated into 20.600 (1971B)	20.222 Highways for LIFE (2006)
20.201 Forest Highways (1970B) Incorporated into 20.205 (1974B)	20.211 Traffic Operations Program to Increase Capacity and Safety (1970B) Incorporated into 20.205 (1972B)	20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2007) Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2018) Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2019) Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2019) (2019) Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2020) Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2021)
20.202 Highway Beautification (1967B) Highway Beautification_Control of Junkyards (1970B) Transferred from the Department of Commerce (1970B) Split into 20.202, 20.203, 20.204 (1970B) Consolidated into 20.214 (1974B)	20.212 Economic Growth Centers and Development Highway Demonstration Projects (1971U) Economic Growth Centers Development_Highways (1972B) Archived (1972U)	20.224 Federal Lands Access Program (2016) Federal Lands Access Program (2018) Federal Lands Access Program (2019) Federal Lands Access Program (2020) Federal Lands Access Program (2021)
20.203 Highway Beautification_Control of Outdoor Advertising (1970B) Split from 20.202 (1970B) Consolidated into 20.214 (1974B)	20.213 Special Bridge Replacement (1971U) Federal-Aid Highways_Special Bridge Replacement (1973B) Incorporated into 20.205 (1974B)	20.230 Crash Data Improvement Program (2005)
20.204 Highway Beautification_Landscaping and Scenic Enhancement (1970B) Split from 20.202 (1970B) Consolidated into 20.214 (1974B)	20.214 Highway Beautification_Control of Outdoor Advertising, Control of Junkyards, Landscaping and Scenic Enhancement (1974B) Consolidated from 20.202, 20.203, 20.204 (1974B) Highway Beautification_Control of Outdoor Advertising, and Control of Junkyards (1977B) Archived (1989B)	20.231 Performance and Registration Information Systems Management (2006) Performance and Registration Information Systems

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Management (2018)	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (2016)	Grants-in-Aid for Railroad Safety_State Participation (1975B)
Performance and Registration Information Systems Management (2019)	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (2018)	Archived (2009)
Performance and Registration Information Systems Management (2020)	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (2019)	20.304
Performance and Registration Information Systems Management (2021)	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (2020)	Railroad Research and Development (1974U)
20.232	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (2020)	Consolidated from 20.300, 20.302 (1974U)
Commercial Driver License State Programs (2005)	Motor Carrier Safety Assistance High Priority Activities Grants and Cooperative Agreements (2021)	Archived (1977U)
Commercial Driver's License Program Improvement Grant (2010)	20.238	20.305
Commercial Driver's License Program Implementation Grant (2017)	Commercial Drivers License Information System (2007)	Local Rail Service Continuation Payments (1976B)
Commercial Driver's License Program Implementation Grant (2018)	Commercial Drivers License Information System (CDLIS) Modernization Grant (2010)	Local Rail Service Continuation Payments_Regional Program (1977B)
Commercial Driver's License Program Implementation Grant (2019)	Archived (2013)	Incorporated into 20.308 (1978B)
Commercial Driver's License Program Implementation Grant (2020)	20.239	20.306
Commercial Driver's License Program Implementation Grant (2020)	Motor Carrier Research and Technology Programs (2009)	Rail Property Acquisition and Modernization Grant Assistance (1976B)
Commercial Driver's License Program Implementation Grant (2021)	Archived (2017)	Incorporated into 20.305 (1977B)
20.233	20.240	20.307
Border Enforcement Grants (2005)	Fuel Tax Evasion-Intergovernmental Enforcement Effort (2006)	Transportation Test Center (1975U)
Border Enforcement Grants (2018)	Fuel Tax Evasion-Intergovernmental Enforcement Effort (2018)	Archived (1983B)
Border Enforcement Grants (2019)	Fuel Tax Evasion-Intergovernmental Enforcement Effort (2019)	20.308
Border Enforcement Grants (2020)	Fuel Tax Evasion-Intergovernmental Enforcement Effort (2020)	Local Rail Service Assistance_National Program (1977B)
Border Enforcement Grants (2021)	Fuel Tax Evasion-Intergovernmental Enforcement Effort (2021)	Local Rail Service Assistance (1978U)
20.234	20.241	Local Rail Freight Assistance (1991U)
Safety Data Improvement Program (2006)	Federal Motor Carrier Safety Administration National Training Center (2009)	Archived (2000B)
Safety Data Improvement Program (2018)	Archived (2011)	20.309
Safety Data Improvement Program (2019)	20.242	Railroad Rehabilitation and Improvement_Guarantee of Obligations (1977B)
Safety Data Improvement Program (2020)	Medical Programs (2010)	Archived (1987B)
Safety Data Improvement Program (2021)	Archived (2011)	20.310
20.235	20.300	Railroad Rehabilitation and Improvement_Redeemable Preference Shares (1977B)
Commercial Motor Vehicle Operator Training Grants (2006)	High-Speed Ground Transportation (1967B)	Railroad Rehabilitation and Improvement_Redeemable Preference Shares or Notes (1983U)
Commercial Motor Vehicle Operators Grants (2016)	Transferred from the Department of Commerce (1967B)	Archived (1986B)
Commercial Motor Vehicle Operator Safety Training Grants (2017)	High-Speed Ground Transportation Research and Development (1970B)	20.311
Commercial Motor Vehicle Operator Safety Training Grants (2018)	Consolidated into 20.304 (1974U)	Railroad Labor/Management Cooperation Program (1987U)
Commercial Motor Vehicle Operator Safety Training Grants (2019)	20.301	Archived (1988B)
Commercial Motor Vehicle Operator Safety Training Grants (2020)	Railroad Safety (1971B)	20.312
Commercial Motor Vehicle Operator Safety Training Grants (2020)	Railroad Safety (2018)	High Speed Ground Transportation (1995B)
Commercial Motor Vehicle Operator Safety Training Grants (2021)	Railroad Safety (2019)	High Speed Ground Transportation_Next Generation High Speed Rail Program (1998B)
20.236	Railroad Safety (2020)	Archived (2009)
Thermal Imaging Inspection System Demonstration Project (2006)	Railroad Safety (2021)	20.313
Archived (2009)	20.302	Railroad Research and Development (2000U)
20.237	Railroad Research (1973B)	Railroad Research and Development (2018)
Commercial Vehicle Information Systems and Networks (2006)	Consolidated into 20.304 (1974U)	Railroad Research and Development (2019)
Safety Data Improvement Program (2008)	20.303	Railroad Research and Development (2020)
Commercial Vehicle Information Systems and Networks (2009)	Grants-in-Aid for Railroad Safety (1974U)	Railroad Research and Development (2021)
		20.314
		Railroad Development (2006)
		Archived (2013)

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Reinstated (2013)	Rail Service – Capital Assistance Grants (2020)	20.400	Transportation Planning Information_Environment and Urban Systems (1970B)
Railroad Development (2018)	High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (2021)		Archived (1971B)
Railroad Development (2019)			Reinstated (1971U)
Railroad Development (2020)			Archived (1972B)
Railroad Development (2021)			
20.315	20.320		20.500
National Railroad Passenger Corporation Grants (2006)	Rail Line Relocation and Improvement (2009)		Urban Mass Transportation Capital Improvement Grants (1970B)
National Railroad Passenger Corporation Grants (2018)	Rail Line Relocation and Improvement (2018)		Federal Transit Capital Improvement Grants (1992B)
National Railroad Passenger Corporation Grants (2019)	Rail Line Relocation and Improvement (2019)		Federal Transit_Capital Investment Grants (1999B)
National Railroad Passenger Corporation Grants (2020)	Rail Line Relocation and Improvement (2020)		Federal Transit Capital Investment Grants (2018)
National Railroad Passenger Corporation Grants (2021)	Rail Line Relocation and Improvement (2021)		Federal Transit Capital Investment Grants (2019)
			Federal Transit Capital Investment Grants (2020)
			Federal Transit Capital Investment Grants (2021)
20.316	20.321		20.501
Railroad Rehabilitation and Improvement Financing Program (2007)	RAILROAD SAFETY TECHNOLOGY GRANTS (2010)		Urban Mass Transportation Capital Improvement Loans (1970B)
Railroad Rehabilitation and Improvement Financing Program (2018)	Railroad Safety Technology Grants (2018)		Archived (1981B)
Railroad Rehabilitation and Improvement Financing Program (2019)	Railroad Safety Technology Grants (2019)		20.502
Railroad Rehabilitation and Improvement Financing Program (2019)	Railroad Safety Technology Grants (2020)		Urban Mass Transportation Grants for University Research and Training (1970B)
Railroad Rehabilitation and Improvement Financing Program (2020)	Railroad Safety Technology Grants (2021)		Incorporated into 20.512 (1984B)
Railroad Rehabilitation and Improvement Financing Program (2021)			Federal Transit Grants for University Research and Training (1992B)
			Archived (2000B)
			Reinstated (2000U)
			Deleted (2005)
20.317	20.323		20.503
Capital Assistance to States - Intercity Passenger Rail Service (2008)	Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (2013)		Urban Mass Transportation Managerial Training Grants (1969B)
Capital Assistance to States - Intercity Passenger Rail Service (2018)	Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (2018)		Urban Mass Transportation Managerial Training (1970B)
Capital Assistance to States - Intercity Passenger Rail Service (2019)	Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (2019)		Urban Mass Transportation Managerial Training Grants (1971B)
Capital Assistance to States - Intercity Passenger Rail Service (2020)	Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (2020)		Federal Transit Managerial Training Grants (1992B)
Capital Assistance to States - Intercity Passenger Rail Service (2021)	Fiscal Year 2013 Hurricane Sandy Disaster Relief Grants to the National Railroad Passenger Corporation (2021)		Archived (2000B)
			Reinstated (2000U)
			Deleted (2005)
20.318	20.324		20.504
Maglev Project Selection Program - SAFETEA-LU (2008)	Restoration and Enhancement (2017)		Urban Mass Transportation Research, Development, and Demonstrations (1969B)
Archived (2013)	Restoration and Enhancement (2018)		Urban Mass Transportation Research, Development, and Demonstration Grants (1971B)
Reinstated (2014)	Restoration and Enhancement (2019)		Mass Transportation Technology (1974U)
Maglev Project Selection Program - SAFETEA-LU (2018)	Restoration and Enhancement (2020)		Split into 20.504, 20.506 (1974U)
Maglev Project Selection Program - SAFETEA-LU (2019)	Restoration and Enhancement (2021)		Consolidated into 20.512 (1984B)
Maglev Project Selection Program - SAFETEA-LU (2020)			
Maglev Project Selection Program - SAFETEA-LU (2021)			
	20.325		20.505
	Consolidated Rail Infrastructure and Safety Improvements (2017)		Urban Mass Transportation Technical Studies Grants (1969B)
	Consolidated Rail Infrastructure and Safety Improvements (2018)		Federal Transit Technical Studies Grants (1992B)
	Consolidated Rail Infrastructure and Safety Improvements (2019)		Federal Transit_Metropolitan Planning Grants (1999B)
	Consolidated Rail Infrastructure and Safety Improvements (2020)		Metropolitan Transportation Planning (2010)
	Consolidated Rail Infrastructure and Safety Improvements (2021)		Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (2014)
			Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (2018)
			Metropolitan Transportation Planning and State and Non-Metropolitan Planning and Research (2019)
			Metropolitan Transportation Planning and State and
20.319	20.326		
High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (2009)	Federal-State Partnership for State of Good Repair (2017)		
High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (2018)	Federal-State Partnership for State of Good Repair (2018)		
High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (2019)	Federal-State Partnership for State of Good Repair (2019)		
High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (2020)	Federal-State Partnership for State of Good Repair (2020)		
High-Speed Rail Corridors and Intercity Passenger Rail Service – Capital Assistance Grants (2021)	Federal-State Partnership for State of Good Repair (2021)		

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Non-Metropolitan Planning and Research (2020)
Metropolitan Transportation Planning and State and
Non-Metropolitan Planning and Research (2021)

20.506

Urban Mass Transportation Demonstration Grants
(1974U)
Split from 20.504 (1974U)
Consolidated into 20.512 (1984B)

20.507

Urban Mass Transportation Capital and Operating
Assistance Formula Grants (1975B)
Federal Transit Capital and Operating Assistance
Formula Grants (1992B)
Federal Transit_Formula Grants (1999B)
Federal Transit Formula Grants (2018)
Federal Transit Formula Grants (2018)
Federal Transit Formula Grants (2019)
Federal Transit Formula Grants (2020)
Federal Transit Formula Grants (2021)

20.508

Urban Mass Transportation Rail Passenger Service
Assistance (1977B)
Archived (1979B)

20.509

Public Transportation for Rural and Small Urban
Areas (1979U2)
Public Transportation for Nonurbanized Areas
(1980U1)
Formula Grants for Other Than Urbanized Areas
(1999B)
Formula Grants for Rural Areas (2013)
Formula Grants for Rural Areas (2018)
To: Formula Grants for Rural Areas and Tribal
Transit ProgramFrom: Formula Grants for Rural
Areas (2019)
Formula Grants for Rural Areas and Tribal Transit
Program (2019)
Formula Grants for Rural Areas and Tribal Transit
Program (2020)
Formula Grants for Rural Areas and Tribal Transit
Program (2021)

20.510

Urban Mass Transportation Planning Methods
Research and Development (1980B)
Consolidated into 20.512 (1984B)

20.511

Human Resource Program (1984B)

20.512

Urban Mass Transportation Technical Assistance
(1984B)
Consolidated from 20.504, 20.506, 20.510 (1984B)
Federal Transit Technical Assistance (1992B)
Deleted (2005)

20.513

Capital Assistance Program for Elderly and
Handicapped Persons (1987U)
Capital Assistance Program for Elderly Persons and
Persons with Disabilities (1992B)
Enhanced Mobility of Seniors and Individuals with

Disabilities (2013)
Enhanced Mobility of Seniors and Individuals with
Disabilities (2018)
Enhanced Mobility of Seniors and Individuals with
Disabilities (2019)
Enhanced Mobility of Seniors and Individuals with
Disabilities (2020)
Enhanced Mobility of Seniors and Individuals with
Disabilities (2021)

20.514

Transit Planning and Research (1993B)
Public Transportation Research (2006)
Public Transportation Research, Technical
Assistance, and Training (2015)
Public Transportation Research, Technical
Assistance, and Training (2018)
Public Transportation Research, Technical
Assistance, and Training (2019)
Public Transportation Research, Technical
Assistance, and Training (2020)
Public Transportation Research, Technical
Assistance, and Training (2021)

20.515

State Planning and Research (1994B)
Split from 20.514 (1994B)
Archived (2014)
This program has been merged with CFDA #20.505.
(2014)

20.516

Job Access_Reverse Commute (2000U)
Job Access and Reverse Commute Program (2018)
Job Access and Reverse Commute Program (2019)
Job Access and Reverse Commute Program (2020)
Job Access and Reverse Commute Program (2021)

20.518

Capital and Training Assistance Program for Over-
the-Road Bus Accessibility (2000U)
Capital and Training Assistance Program for Over-
the-Road Bus Accessibility (2018)
Capital and Training Assistance Program for Over-
the-Road Bus Accessibility (2019)
Capital and Training Assistance Program for Over-
the-Road Bus Accessibility (2020)
Capital and Training Assistance Program for Over-
the-Road Bus Accessibility (2021)

20.519

Clean Fuels (2006)
Clean Fuels (2018)
Clean Fuels (2019)
Clean Fuels (2020)
Clean Fuels (2021)

20.520

Paul S. Sarbanes Transit in the Parks (2009)
Paul S. Sarbanes Transit in the Parks (2018)
Paul S. Sarbanes Transit in the Parks (2019)
Paul S. Sarbanes Transit in the Parks (2020)
Paul S. Sarbanes Transit in the Parks (2021)

20.521

New Freedom Program (2006)
New Freedom Program (2018)

New Freedom Program (2019)
New Freedom Program (2020)
New Freedom Program (2021)

20.522

Alternatives Analysis (2006)
Alternatives Analysis (2018)
Alternatives Analysis (2019)
Alternatives Analysis (2020)
Alternatives Analysis (2021)

20.523

CAPITAL ASSISTANCE PROGRAM FOR
REDUCING ENERGY CONSUMPTION AND
GREENHOUSE GAS EMISSIONS (2009)
Archived (2013)
Reinstated (2015)
Capital Assistance Program for Reducing Energy
Consumption and Greenhouse Gas Emissions (2018)
Capital Assistance Program for Reducing Energy
Consumption and Greenhouse Gas Emissions (2019)
Archived (2020)

20.524

Passenger Rail Investment and Improvement (PRIIA)
Projects for Washington Metropolitan Area Transit
Authority (WMATA) (2012)
Passenger Rail Investment and Improvement (PRIIA)
Projects for Washington Metropolitan Area Transit
Authority (WMATA) (2018)
Passenger Rail Investment and Improvement (PRIIA)
Projects for Washington Metropolitan Area Transit
Authority (WMATA) (2019)
Passenger Rail Investment and Improvement (PRIIA)
Projects for Washington Metropolitan Area Transit
Authority (WMATA) (2020)
Passenger Rail Investment and Improvement (PRIIA)
Projects for Washington Metropolitan Area Transit
Authority (WMATA) (2021)

20.525

State of Good Repair Grants Program (2012)
State of Good Repair Grants Program (2018)
State of Good Repair Grants Program (2019)
State of Good Repair Grants Program (2020)
State of Good Repair Grants Program (2021)

20.526

Bus and Bus Facilities Formula Program (2012)
Bus and Bus Facilities Formula Program (2018)
To: Buses and Bus Facilities Formula, Competitive,
and Low or No Emissions ProgramsFrom: Bus and
Bus Facilities Formula Program (2019)
Buses and Bus Facilities Formula, Competitive, and
Low or No Emissions Programs (2019)
Buses and Bus Facilities Formula, Competitive, and
Low or No Emissions Programs (2020)
Buses and Bus Facilities Formula, Competitive, and
Low or No Emissions Programs (2021)

20.527

Public Transportation Emergency Relief Program
(2013)
Public Transportation Emergency Relief Program
(2018)
Public Transportation Emergency Relief Program
(2019)

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Public Transportation Emergency Relief Program (2020)	20.602 Motorcycle Helmets and Safety Belt Incentive Grants (1992B) Archived (1995B) Occupant Protection, Reinstated (2000U) Occupant Protection Incentive Grants (2009) Archived (2015) Reinstated (2015)	20.611 Incentive Grant Program to Prohibit Racial Profiling (2006) Archived (2015) Reinstated (2015) Incentive Grant Program to Prohibit Racial Profiling (2018) Incentive Grant Program to Prohibit Racial Profiling (2019) Incentive Grant Program to Prohibit Racial Profiling (2020) Incentive Grant Program to Prohibit Racial Profiling (2021)
20.528 Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (2013) Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (2018) Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (2019) Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (2020) Rail Fixed Guideway Public Transportation System State Safety Oversight Formula Grant Program (2021)	Occupant Protection Incentive Grants (2018) Occupant Protection Incentive Grants (2019) Occupant Protection Incentive Grants (2020) Archived (2021)	Incentive Grant Program to Prohibit Racial Profiling (2019) Incentive Grant Program to Prohibit Racial Profiling (2020) Incentive Grant Program to Prohibit Racial Profiling (2021)
20.529 Bus Testing Facility (2015) Bus Testing Facility (2018) Bus Testing Facility (2019) Bus Testing Facility (2020) Bus Testing Facility (2021) To: Bus Testing From: Bus Testing Facility (2021)	20.603 Federal Highway Safety Data Improvements Incentive Grants (2000U)	20.612 Incentive Grant Program to Increase Motorcyclist Safety (2006) Archived (2015) Reinstated (2015) Incentive Grant Program to Increase Motorcyclist Safety (2018) Incentive Grant Program to Increase Motorcyclist Safety (2019) Incentive Grant Program to Increase Motorcyclist Safety (2020) Archived (2021)
20.530 Public Transportation Innovation (2016) Public Transportation Innovation (2018) Public Transportation Innovation (2019) Public Transportation Innovation (2020) Public Transportation Innovation (2021)	20.604 Safety Incentive Grants for Use of Seatbelts (2000U)	20.613 Child Safety and Child Booster Seats Incentive Grants (2006) Archived (2015) Reinstated (2015) Child Safety and Child Booster Seats Incentive Grants (2018) Child Safety and Child Booster Seats Incentive Grants (2019) Child Safety and Child Booster Seats Incentive Grants (2020) Archived (2021)
20.531 Technical Assistance and Workforce Development (2016) Technical Assistance and Workforce Development (2018) Technical Assistance and Workforce Development (2019) Technical Assistance and Workforce Development (2020) Technical Assistance and Workforce Development (2021)	20.605 Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (2000U) Archived (2009)	20.614 National Highway Transportation Safety Administration (NHTSA) Discretionary Safety Grants (2006) National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (2010) National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (2017) National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (2018) National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (2019) National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (2020) National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants and Cooperative Agreements (2021)
20.600 State and Community Highway Safety (1971B) Consolidated from 20.207, 20.208, 20.210 (1971B) State and Community Highway Safety (2018) State and Community Highway Safety (2019) State and Community Highway Safety (2020) State and Community Highway Safety (2021)	20.606 Alcohol Open Container Requirements (2004) Alcohol Open Container Requirements (2018) Alcohol Open Container Requirements (2019) Alcohol Open Container Requirements (2020) Alcohol Open Container Requirements (2021)	
20.601 Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (1991U) Alcohol Impaired Driving Countermeasures Incentive Grants I (2009) Archived (2015) Reinstated (2015) Alcohol Impaired Driving Countermeasures Incentive Grants I (2018) Alcohol Impaired Driving Countermeasures Incentive Grants I (2019) Alcohol Impaired Driving Countermeasures Incentive Grants I (2020) Archived (2021)	20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (2004) Minimum Penalties for Repeat Offenders for Driving While Intoxicated (2018) Minimum Penalties for Repeat Offenders for Driving While Intoxicated (2019) Minimum Penalties for Repeat Offenders for Driving While Intoxicated (2020) Minimum Penalties for Repeat Offenders for Driving While Intoxicated (2021)	
	20.609 Safety Belt Performance Grants (2006) Archived (2012) Reinstated (2012) Archived (2015) Reinstated (2015) Safety Belt Performance Grants (2018) Safety Belt Performance Grants (2019) Safety Belt Performance Grants (2020) Archived (2021)	
	20.610 State Traffic Safety Information System Improvement Grants (2008) Archived (2015) Reinstated (2015) State Traffic Safety Information System Improvement Grants (2018) State Traffic Safety Information System Improvement Grants (2019) State Traffic Safety Information System Improvement Grants (2020) Archived (2021)	
		20.615 E-911 Grant Program (2009) Archived (2010) Reinstated (2018)

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E-911 Grant Program (2018)
E-911 Grant Program (2019)
E-911 Grant Program (2020)
E-911 Grant Program (2021)

20.616

National Priority Safety Programs (2012)
National Priority Safety Programs (2018)
National Priority Safety Programs (2019)
National Priority Safety Programs (2020)
National Priority Safety Programs (2021)

20.700

Gas Pipeline Safety (1971U)
Pipeline Safety (1987B)
Pipeline Safety Program Base Grants (2008)
Pipeline Safety Program State Base Grant (2012)
Pipeline Safety Program State Base Grant (2018)
Pipeline Safety Program State Base Grant (2019)
Pipeline Safety Program State Base Grant (2020)
Pipeline Safety Program State Base Grant (2021)

20.701

University Transportation Centers Program (1992B)
Number changed from 20.902 (1992B)
University Transportation Centers Program (2018)
University Transportation Centers Program (2019)
University Transportation Centers Program (2020)
University Transportation Centers Program (2021)

20.702

University Research Institutes Program (1993B)
Archived (1999B)

20.703

Interagency Hazardous Materials Public Sector Training and Planning Grants (1993B)
Interagency Hazardous Materials Public Sector Training and Planning Grants (2018)
Interagency Hazardous Materials Public Sector Training and Planning Grants (2019)
Interagency Hazardous Materials Public Sector Training and Planning Grants (2020)
Interagency Hazardous Materials Public Sector Training and Planning Grants (2021)

20.704

RITA Hydrogen (2006)
Archived (2010)

20.706

Pipeline Emergency Response Grant (PERG) (2021)
Pipeline Emergency Response Grant (PERG) (2021)

20.707

Hazardous Materials State Inspection (HMSI) Grant (2021)

20.710

Technical Assistance Grants (2009)
Technical Assistance Grants (2018)
Technical Assistance Grants (2019)
Technical Assistance Grants (2020)
Technical Assistance Grants (2021)

20.714

National Pipeline Mapping System (2000U)

20.720

State Damage Prevention Program Grants (2007)
State Damage Prevention Program Grants (2018)
State Damage Prevention Program Grants (2019)
State Damage Prevention Program Grants (2020)
State Damage Prevention Program Grants (2021)

20.721

PHMSA Pipeline Safety Program One Call Grant (2008)
PHMSA Pipeline Safety Program One Call Grant (2018)
PHMSA Pipeline Safety Program One Call Grant (2019)
PHMSA Pipeline Safety Program One Call Grant (2020)
PHMSA Pipeline Safety Program One Call Grant (2021)

20.722

Technology Development Grant (2009)
Archived (2011)

20.723

PHMSA Pipeline Safety Research and Development “Other Transaction Agreements” (2011)
PHMSA Pipeline Safety Research and Development “Other Transaction Agreements” (2018)
PHMSA Pipeline Safety Research and Development “Other Transaction Agreements” (2019)
PHMSA Pipeline Safety Research and Development “Other Transaction Agreements” (2020)
PHMSA Pipeline Safety Research and Development “Other Transaction Agreements” (2021)

20.724

Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (2013)
Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (2018)
Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (2019)
Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (2020)
Pipeline Safety Research Competitive Academic Agreement Program (CAAP) (2021)

20.725

PHMSA Pipeline Safety Underground Natural Gas Storage Grant (2017)
PHMSA Pipeline Safety Underground Natural Gas Storage Grant (2018)
PHMSA Pipeline Safety Underground Natural Gas Storage Grant (2019)
PHMSA Pipeline Safety Underground Natural Gas Storage Grant (2020)
PHMSA Pipeline Safety Underground Natural Gas Storage Grant (2021)

20.750

Program of University Research (1973U)
Archived (1975U)

20.760

University Transportation Centers (2006)

Archived (2009)

20.761

Biobased Transportation Research (2008)
Biobased Transportation Research (2018)
Archived (2019)

20.762

Research Grants (2006)
Research Grants (2018)
Research Grants (2019)
Archived (2020)

20.763

Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle (2008)
Archived (2010)

20.764

Hydrogen Storage Research and Development (2007)
Archived (2015)

20.800

Construction_Differential Subsidies (1981U)
Transferred from 11.500 (1981U)
Archived (1990B)

20.801

Development and Promotion of Ports and Intermodal Transportation (1981U)
Transferred from 11.501 (1981U)
Deleted (2008)

20.802

Federal Ship Financing Guarantees (1981U)
Transferred from 11.502 (1981U)
Federal Ship Financing Guarantees (2018)
Federal Ship Financing Guarantees (2019)
Federal Ship Financing Guarantees (2020)
Federal Ship Financing Guarantees (2021)

20.803

Maritime War Risk Insurance (1981U)
Transferred from 11.503 (1981U)
Archived (1985B)
Maritime War Risk Insurance (2018)
Maritime War Risk Insurance (2019)
Maritime War Risk Insurance (2020)
Maritime War Risk Insurance (2021)

20.804

Operating_Differential Subsidies (1981U)
Transferred from 11.504 (1981U)
Deleted (2004)

20.805

Ship Sales (1981U)
Transferred from 11.505 (1981U)
Deleted (2005)

20.806

State Marine Schools (1981U)
Transferred from 11.506 (1981U)
State Maritime Schools (2006)
State Maritime Schools (2018)
State Maritime Schools (2019)

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State Maritime Schools (2020)
State Maritime Schools (2021)

20.807

U.S. Merchant Marine Academy (1981U)
Transferred from 11.507 (1981U)
U.S. Merchant Marine Academy (2018)
U.S. Merchant Marine Academy (2019)
U.S. Merchant Marine Academy (2020)
U.S. Merchant Marine Academy (2021)

20.808

Capital Construction Fund (1981U)
Transferred from 11.508 (1981U)
Capital Construction Fund (2018)
Capital Construction Fund (2019)
Capital Construction Fund (2020)
Capital Construction Fund (2021)

20.809

Development and Promotion of Domestic Waterborne
Transport Systems (1981U)
Transferred from 11.509 (1981U)
Archived (1985B)

20.810

Supplementary Training (1981U)
Transferred from 11.510 (1981U)
Archived (2009)

20.811

Research and Development Assistance (1981U)
Transferred from 11.511 (1981U)
Archived (1988B)

20.812

Construction Reserve Fund (1981U)
Transferred from 11.512 (1981U)
Construction Reserve Fund (2018)
Construction Reserve Fund (2019)
Construction Reserve Fund (2020)
Construction Reserve Fund (2021)

20.813

Maritime Security Fleet Program (1997B)
Ship Operations Cooperative Program (2015)
Maritime Security Fleet Program or Ship Operations
Cooperation Program (2017)
Maritime Security Fleet Program or Ship Operations
Cooperation Program (2018)
Maritime Security Fleet Program or Ship Operations
Cooperation Program (2019)
Maritime Security Fleet Program or Ship Operations
Cooperation Program (2020)
Maritime Security Fleet Program or Ship Operations
Cooperation Program (2021)

20.814

Assistance to Small Shipyards (2008)
Assistance to Small Shipyards (2018)
Assistance to Small Shipyards (2019)
Assistance to Small Shipyards (2020)
Assistance to Small Shipyards (2021)

20.815

Fireboat Construction (2008)
Archived (2009)

20.816

America's Marine Highway Grants (2010)
Archived (2013)
Reinstated (2014)
America's Marine Highway Grants (2018)
America's Marine Highway Grants (2019)
America's Marine Highway Grants (2020)
America's Marine Highway Grants (2021)

20.817

Air Emissions and Energy Initiative (2012)
Air Emissions and Energy Initiative (2018)
Air Emissions and Energy Initiative (2019)
Air Emissions and Energy Initiative (2020)
Air Emissions and Energy Initiative (2021)

20.818

Great Ships Initiative (2012)
Great Ships Initiative (2018)
Great Ships Initiative (2019)
Great Ships Initiative (2020)
Great Ships Initiative (2021)

20.819

Ballast Water Treatment Technologies (2012)
Ballast Water Treatment Technologies (2018)
Ballast Water Treatment Technologies (2019)
Ballast Water Treatment Technologies (2020)
Ballast Water Treatment Technologies (2021)

20.820

Maritime Studies and Innovations (2015)
Maritime Studies and Innovations (2018)
Maritime Studies and Innovations (2019)
Maritime Studies and Innovations (2020)
Maritime Studies and Innovations (2021)

20.821

Women on the Water (WOW) (2015)
Women on the Water (WOW) (2018)
Women on the Water (WOW) (2019)
Women on the Water (WOW) (2020)
Women on the Water (WOW) (2021)

20.822

Port of Guam Improvement Enterprise Program
(2017)
Port of Guam Improvement Enterprise Program
(2018)
Port of Guam Improvement Enterprise Program
(2019)
Port of Guam Improvement Enterprise Program
(2020)
Port of Guam Improvement Enterprise Program
(2021)

20.823

Port Infrastructure Development Program (2019)
Port Infrastructure Development Program (2020)
Port Infrastructure Development Program (2021)

20.900

Air Transportation_Consumer Affairs (1985B)
Transferred from 26.002 (1985B)
Transportation_Consumer Affairs (1993U)
Archived (2013)

20.901

Payments for Essential Air Services (1985B)
Transferred from 26.003 (1985B)
Payments for Essential Air Services (2018)
Payments for Essential Air Services (2019)
Payments for Essential Air Services (2020)
Payments for Essential Air Services (2021)

20.902

University Transportation Centers Program (1987U)
Number changed to 20.701 (1992B)
Student Training and Education Program (1993B)
Consolidated into 20.907 (1995B)

20.903

Support Mechanisms for Disadvantaged Businesses
(1993B)

20.904

Disadvantaged Business Enterprises_Bonding
Assistance Program (1993U)
Bonding Assistance Program (1998U)
Deleted (2005)
Bonding Assistance Program (2018)
Archived (2019)

20.905

Disadvantaged Business Enterprises_Short Term
Lending Program (1993U)
Disadvantaged Business Enterprises Short Term
Lending Program (2018)
Archived (2019)

20.906

Hispanic Serving Institutions_Student Training and
Education (1994B)
Hispanic Serving Institutions_Entrepreneurial
Training and Technical Assistance (1998U)
Split into 20.908 (2000U)
Deleted (2004)
Consolidated into 20.907 (2006)

20.907

Entrepreneurial Training and Technical Assistance
ProgramConsolidated from 20.902 (1995B)
Historically Black Colleges and
Universities_Entrepreneurial Training and Technical
Assistance (1999B)
Split into 20.908 (2000U)
Deleted (2006)
Entrepreneurial Training and Technical Assistance
Women and Girls Program (2010)
Archived (2013)

20.908

Tribal Colleges_Entrepreneurial Training and
Technical AssistanceSplit from 20.906, 20.907
(2000U)
Deleted (2004)

20.910

Assistance to small and disadvantaged businesses
(2007)
Assistance to Small and Disadvantaged Businesses
(2018)
Assistance to Small and Disadvantaged Businesses

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(2019) Assistance to Small and Disadvantaged Businesses (2020) Assistance to Small and Disadvantaged Businesses (2021)	State and Local Government Data Analysis Tools for Roadway Safety (2019) Archived (2020) Reinstated (2020) State and Local Government Data Analysis Tools for Roadway Safety (2020) State and Local Government Data Analysis Tools for Roadway Safety (2021)	21.007 Availability of Tax Information to Certain Welfare Agencies (1987B) Availability of Tax Information to Certain Agencies Administering Needs-Based Programs (1991B) Archived (1999B)
20.920 Transportation Statistics Research Grants (2000U)		21.008 Low-Income Taxpayer Clinics (1999B) Low Income Taxpayer Clinics (2009) Low Income Taxpayer Clinics (2018) Low Income Taxpayer Clinics (2019) Low Income Taxpayer Clinics (2020) Low Income Taxpayer Clinics (2021) Low Income Taxpayer Clinics (2021)
20.930 Payments for Small community Air Service Development (2004) Payments for Small Community Air Service Development (2018) Payments for Small Community Air Service Development (2019) Payments for Small Community Air Service Development (2020) Payments for Small Community Air Service Development (2021)	20.936 Transportation Demonstration Program (2021)	
20.931 Transportation Planning, Research and Education (2006) Archived (2009) Reinstated (2010) Transportation Planning, Research and Education (2018) Transportation Planning, Research and Education (2019) Archived (2020)	64.129 Monthly Allowance for Certain Children of Vietnam Veterans (2004)	
20.932 Surface Transportation _ Discretionary Grants for Capital Investment. (2009) Archived (2010) Reinstated (2011) Surface Transportation Discretionary Grants for Capital Investment (2018) Surface Transportation Discretionary Grants for Capital Investment (2019) Archived (2020)	TREASURY, DEPARTMENT OF THE Narcotics Law Enforcement Training (1967B) Archived (1969B)	21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (2009) Volunteer Income Tax Assistance (VITA) Matching Grant Program (2019) Volunteer Income Tax Assistance (VITA) Matching Grant Program (2020) Volunteer Income Tax Assistance (VITA) Matching Grant Program (2021) Volunteer Income Tax Assistance (VITA) Matching Grant Program (2021)
20.933 Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments II (2010) Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments (2011) National Infrastructure Investments (2011) National Infrastructure Investments (2018) National Infrastructure Investments (2019) National Infrastructure Investments (2020) National Infrastructure Investments (2021)	16.012 Number changed from 21.052 (2004) Number changed from 21.052 (2004)	
20.934 Nationally Significant Freight and Highway Projects (2016) Nationally Significant Freight and Highway Projects (2018) Nationally Significant Freight and Highway Projects (2019) Nationally Significant Freight and Highway Projects (2020) Nationally Significant Freight and Highway Projects (2021)	21.001 Alcohol, Tobacco, and Firearms_Laboratory Analysis (1970B) Number changed to 21.051 (1972U)	21.010 Financial Education and Counseling Pilot Program (2009) Archived (2018)
20.935	21.002 Assistance in Law Enforcement (1967B) Law Enforcement Training Assistance (1969B) Alcohol, Tobacco, and Firearms_Training Assistance (1970B) Number changed to 21.052 (1972U)	21.011 Capital Magnet Fund (2010) Capital Magnet Fund (2018) Capital Magnet Fund (2019) Capital Magnet Fund (2020) Capital Magnet Fund (2021)
	21.003 Taxpayer Assistance (1969B) Tax Information and Training (1970B) Taxpayer Service (1981B) Tax Information and Education (1972B) Archived (2013)	21.012 Native Initiatives (2010) Native Initiatives (2018) Native Initiatives (2019) Native Initiatives (2020) Native Initiatives (2021)
	21.004 Federal Tax Information for State Tax Officials (1972B) Incorporated from 21.005 (1987B) Exchange of Federal Tax Information With State Tax Agencies (1987B) Exchange of Federal Tax Information With State Tax Agencies (2019) Exchange of Federal Tax Information With State Tax Agencies (2020)	21.013 Qualifying Therapeutic Discovery Project Credit (2010) Archived (2012)
	21.005 State Tax Model (1973U) Individual Tax Model (1978U) Incorporated into 21.004 (1987B)	21.014 Community Development Financial Institutions Bond Guarantee Program (2012) Community Development Financial Institutions Bond Guarantee Program (2018) Community Development Financial Institutions Bond Guarantee Program (2019) Community Development Financial Institutions Bond Guarantee Program (2020) Community Development Financial Institutions Bond Guarantee Program (2021)
	21.006 Tax Counseling for the Elderly (1980U1) Tax Counseling for the Elderly (2019) Tax Counseling for the Elderly (2020) Tax Counseling for the Elderly (2021) Tax Counseling for the Elderly (2021)	21.015 Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf

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Coast States (2013)
Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (2018)
(2018)
Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (2018)
Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (2019)
Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (2020)
Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States (2021)

21.016

Equitable Sharing (2017)
Equitable Sharing (2019)
Equitable Sharing (2020)
(2020)
Equitable Sharing (2020)

21.017

Social Impact Partnerships to Pay for Results Act (SIPRA) (2019)
Social Impact Partnerships to Pay for Results Act (SIPRA) (2020)

21.018

Coronavirus Relief - Pandemic Relief for Aviation Workers (2020)
Archived (2020)
Reinstated (2020)
Coronavirus Relief - Pandemic Relief for Aviation Workers (2020)
Coronavirus Relief - Pandemic Relief for Aviation Workers (2021)
Coronavirus Relief - Pandemic Relief for Aviation Workers (2021)

21.019

Coronavirus Relief Fund (2020)
To: 21.019From: 21.022 (2020)

21.020

Community Development Financial Institutions Program (1998B)
Community Development Financial Institutions Program (2018)
Community Development Financial Institutions Program (2019)
Community Development Financial Institutions Program (2020)
Community Development Financial Institutions Program (2021)

21.021

Bank Enterprise Award Program (1998B)
Bank Enterprise Award Program (2018)
Bank Enterprise Award Program (2019)
Bank Enterprise Award Program (2020)
Bank Enterprise Award Program (2021)

21.022

Coronavirus Relief Fund (2020)
To: 21.019From: 21.022 (2020)

21.023

Emergency Rental Assistance Program (2021)
Emergency Rental Assistance Program (2021)

21.024

Community Development Financial Institutions Rapid Response Program (CDFI RRP) (2021)

21.025

Small Dollar Loan Program (2021)

21.026

Homeowner Assistance Fund (2021)
Homeowner Assistance Fund (2021)

21.027

CORONAVIRUS STATE AND LOCAL FISCAL RECOVERY FUNDS (2021)

21.028

Coronavirus Economic Relief for Transportation Services Act (2021)
Coronavirus Economic Relief for Transportation Services Act (2021)

21.029

Coronavirus Capital Projects Fund (2021)

21.051

Alcohol, Tobacco, and Firearms_Laboratory Analysis (1972U)
Number changed from 21.001 (1972U)
Archived (1990B)

21.052

Alcohol, Tobacco, and Firearms_Training Assistance (1972U)
Number changed from 21.002 (1972U)
Number changed to 16.012 (2004)

21.053

Gang Resistance Education and Training (2000B)
Number changed to 16.737 (2004)

21.100

Secret Service_Training Activities (1970B)
Transferred to 97.015 (2003B)

21.200

Antidumping_Countervailing Duties (1971B)
Antidumping Duties (1975B)
Split into 21.200, 21.201 (1975B)
Transferred to 11.106 (1980B)

21.201

Countervailing Duty on Imports (1975B)
Split from 21.200 (1975B)
Transferred to 11.107 (1980B)

21.300

State and Local Government Fiscal Assistance_General Revenue Sharing (1980B)
State and Local Government Fiscal

Assistance_Revenue Sharing (1985B)
Archived (1987B)

ATOMIC ENERGY COMMISSION

Construction of Nuclear Power Stations (1967B)
Archived (1970B)

Licensing of Nuclear Power Stations (1967B)
Archived (1970B)

Nuclear Education and Training (1967B)
Split into "Nuclear Education, Training" Programs (1970B)

Research Support in the Biological, Medical, and Health Sciences (1967B)
Archived (1970B)

Research Support in the Physical Sciences (1967B)
Archived (1970B)

Surveys and Evaluation of Environmental Radiation Hazards (1967B)
Archived (1970B)

Training of State Personnel in AEC Regulatory Functions (1967B)
Archived (1970B)

Transferred to Industry of AEC Generated Technology (1967B)

Deleted (1970B)

24.001

Access Permits (1970B)
Transferred to 81.001 (1978B)

24.002

Atomic Energy Motion Picture Film Libraries (1970B)
Nuclear Science and Technology_Motion Picture Film Libraries (1974B)
Motion Picture Film Libraries (1975B)
Transferred to 81.002 (1978B)

24.003

Granting of Patent Licenses (1970B)
Transferred to 81.003 (1978B)

24.004

Nuclear Education and Training_Faculty Research Participation (1970B)
Nuclear Education and Training_Faculty Research Participation_Laboratory Cooperative Program (1973U)
Nuclear Science and Technology_Faculty and Student Participation_Laboratory Cooperative Program (1974B)
Faculty and Student Participation_Laboratory Cooperative Program (1975B)
Transferred to 81.004 (1978B)

24.005

Nuclear Education and Training_Faculty-Student Conferences (1970B)
Archived (1973U)

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24.006

Nuclear Education and Training_Faculty-Student Experiments (1970B)
Consolidated into 24.032 (1973B)

24.007

Nuclear Education and Training_Faculty Training Institutes (1970B)
Nuclear Science and Technology_Faculty Training Institutes and Workshops (1974B)
Faculty Training Institutes, Short Courses, and Workshops on Energy and Environmental Subjects (1975B)
Transferred to 81.007 (1978B)

24.008

Nuclear Education and Training_Faculty Workshops (1970B)
Consolidated into 24.032 (1973B)

24.009

Nuclear Education and Training_Honors Program for Senior Science Majors (1970B)
Incorporated into 24.019 (1973B)

24.010

Nuclear Education and Training_Laboratory Graduate Fellowships (1970B)
Nuclear Education and Training_Laboratory Graduate Participants (1971B)
Nuclear Education and Training_Laboratory Graduate Participants_Laboratory Cooperative Program (1973U)
Incorporated into 24.004 (1974B)

24.011

Nuclear Education and Training_Loan of Material to Nonprofit Institutions (1970B)
Nuclear Education and Training_Material for Nonprofit Institutions (1972B)
Nuclear Science and Technology_Research Reactor Materials Assistance (1974B)
Research Reactor and Materials Assistance (1975B)
Transferred to 81.011 (1978B)

24.012

Nuclear Education and Training_Medical Radioisotope Course (1970B)
Archived (1973B)

24.013

Nuclear Education and Training_Mobile Radioisotope Laboratory (1970B)
Archived (1974U)

24.014

Nuclear Education and Training_Nuclear Medical Technology Course (1970B)
Archived (1973B)

24.015

Nuclear Education and Training_Radioisotope Techniques Training Courses (1970B)
Archived (1973B)

24.016

Nuclear Education and Training_Reactor Fuel Cycle Assistance (1970B)
Incorporated into 24.011 (1974B)

24.017

Nuclear Education and Training_Special Fellowships in Nuclear Science and Engineering (1970B)
Archived (1973B)

24.018

Nuclear Education and Training_Special Fellowships in Radiation Science and Protection (1970B)
Nuclear Education and Training_Special Fellowships in Radiation Protection (1971B)
Archived (1973B)

24.019

Nuclear Education and Training_Summer Student Training (1970B)
Nuclear Education and Training_Student Research Participation (1972B)
Nuclear Education and Training_Student Research Participation_Laboratory Cooperative Program (1973U)
Incorporated into 24.004 (1974B)

24.020

Nuclear Education and Training_Traineeships for Graduate Students in Nuclear Engineering (1970B)
Archived (1973B)
Reinstated (1974B)
Nuclear Science and Technology_Traineeships for Graduate Students in Nuclear Engineering, Radiation Protection, and Environmental Engineering (1974B)
Nuclear Science and Technology_Traineeships for Graduate Students in Nuclear Engineering, Radiation Protection, and Environmental Sciences and Engineering (1974U)
Traineeships for Graduate Students in Nuclear Engineering, Radiation Protection, and Environmental Sciences and Engineering (1975B)
Traineeships for Graduate Students in Engineering Studies Related to Energy Conversion, Health and Safety, and Environmental Sciences and Engineering (1976B)
Transferred to 81.020 (1978B)

24.021

Nuclear Education and Training_University Reactor Sharing (1970B)
Incorporated into 24.011 (1974B)

24.022

Nuclear Education and Training_Used Nuclear-Type Equipment Grants (1970B)
Nuclear Science and Technology_Used Nuclear-Type Equipment Grants (1974B)
Used Nuclear-Type Equipment Grants (1975B)
Transferred to 81.022 (1978B)

24.023

Nuclear Science Information (1967B)
Nuclear Science and Technology_Literature and Information Services (1970B)
Nuclear Science and Technology_Literature, Exhibits, and Other Information Services (1974B)

Information Services_Exhibits, Publications, Reference, and Analysis (1975B)
Information Services_Exhibits, Public Speakers, Publications, Reference, and Analysis (1975U)
Transferred to 81.023 (1978B)

24.024

Nuclear Science and Technology_Research and Development (1969B)
Research and Development in Nuclear, Solar, Geothermal, and Advanced Energy Systems, and Physical, Biomedical, and Environmental Sciences (1975B)
Split into 24.024, 24.035 (1975B)
Transferred/Incorporated into 81.037, 81.046, 81.049 (1978B)

24.025

Nuclear Science Lecture Demonstration for Secondary Schools (1970B)
Archived (1973B)

24.026

Payments in Lieu of Property Taxes (1970B)
Transferred to 81.026 (1978B)

24.027

Radiation Control Training Assistance (1969B)
Radiation Control_Training Assistance and Advisory Counseling (1970B)
Transferred to 77.001 (1975B)

24.028

Radiological Safety Assistance (1967B)
Radiological Emergency Assistance (1971B)
Transferred to 81.028 (1978B)

24.029

Uranium Industry Workshops (1970B)
Nuclear Industry Seminars (1972U)
Transferred to 81.029 (1978B)

24.030

Work Experience (1970B)
Transferred to 81.030 (1978B)

24.031

Nuclear Materials Safeguards Training (1970U)
Incorporated into 24.007 (1973B)

24.032

Nuclear Education and Training_Instructional Activities (1973B)
Consolidated from 24.006, 24.008 (1973B)
Archived (1973U)

24.033

Nuclear Science and Technology_Citizens' Workshops (1974B)
Citizens' Workshops (1975B)
Transferred to 81.033 (1978B)

24.034

Research and Development in Fossil Energy (1975B)
Transferred from 15.307 (1975B)
Transferred/Split into 81.037, 81.046 (1978B)

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24.035

Research and Development in Energy Conservation (1975B)
Split from 24.024 (1975B)
Transferred to 81.035 (1978B)

24.036

Energy-Related Inventions (1976B)
Transferred to 81.036 (1978B)

COMMISSION ON CIVIL RIGHTS

Job Discrimination_Reports (1969B)
Archived (1970B)

29.001

Civil Rights (1967B)
Civil Rights Compliance and Information (1969B)
Clearinghouse Services and Civil Rights Complaints (1970B)
Clearinghouse Services, Civil Rights and Sex Discrimination Complaints (1973B)
Clearinghouse Services, Civil Rights Discrimination Complaints (1981B)
Archived (2017)

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Affirmative Action Program_Equal Employment Opportunity (1967B)
Archived (1970B)

Equal Employment Opportunity Grants (1967B)
Archived (1970B)

Job Discrimination_Reports (1969B)
Archived (1970B)

30.001

Job Discrimination_Investigation and Conciliation of Complaints (1969B)
Employment Discrimination_Investigation, Conciliation and Litigation of Unlawful Employment Practices (1975B)
Employment Discrimination_Settlement, Investigation, Conciliation and Litigation of Unlawful Employment Practices (1978B)
Employment Discrimination_Title VII of the Civil Rights Act of 1964 (1986B)
Employment Discrimination Title VII of the Civil Rights Act of 1964 (2018)
Employment Discrimination Title VII of the Civil Rights Act of 1964 (2019)
Employment Discrimination Title VII of the Civil Rights Act of 1964 (2021)

30.002

Job Discrimination_Special Project Grants (1969B)
Employment Discrimination_Special Project Grants and Contracts (1975B)
Employment Discrimination_Special Project Contracts (1976B)
Employment Discrimination_State and Local Anti-Discrimination Agency Contracts (1976U)
Employment Discrimination_State and Local Fair Employment Practices Agency Contracts (1992B)

Archived (2010)

30.003

Job Discrimination_Technical Assistance to Employers, Unions, and Employment Agencies (1969B)
Job Discrimination_Technical Assistance (1973B)
Employment Discrimination_Technical Assistance (1975B)
Archived (1978B)

30.004

Employment Discrimination_Program for the Development of the Private Bar (1975U)
Archived (1977B)

30.005

Employment Discrimination_Project Grants and Demonstration Grants (1978B)
Employment Discrimination_Private Bar Project and Demonstration Contracts (1979U2)
Employment Discrimination_Private Bar Program (1981U)
Employment Discrimination Private Bar Program (2018)
Employment Discrimination Private Bar Program (2019)
Employment Discrimination Private Bar Program (2021)

30.006

Employment Discrimination_Voluntary Compliance by Public Employers (1978U)
Employment Discrimination_Voluntary Compliance by Non-Federal Public Employers (1979U2)
Employment Discrimination_Compliance Program for Non-Federal Public Employers (1980U1)
Archived (1982B)

30.007

Employment Discrimination Project Grants_Tribal Native Americans (1978U)
Archived (1979U2)

30.008

Employment Discrimination_Age Discrimination in Employment (1979U1)
Transferred from 17.300 (1979U1)
Consolidated from 30.012 (1995U)
Employment Discrimination Age Discrimination in Employment (2018)
Employment Discrimination Age Discrimination in Employment (2019)
Employment Discrimination Age Discrimination in Employment (2021)

30.009

Employment Discrimination Project Contracts_Indian Tribes (1979U2)
Archived (2010)

30.010

Employment Discrimination_Equal Pay Act (1979U2)
Transferred/Split from 17.303 (1979U2)
Consolidated from 30.012 (1995U)
Women's Bureau (2004)

Employment Discrimination Equal Pay Act (2005)
Employment Discrimination Equal Pay Act (2005)
Employment Discrimination Equal Pay Act (2018)
Employment Discrimination Equal Pay Act (2019)
Employment Discrimination Equal Pay Act (2021)

30.011

Employment Discrimination_Title I of The Americans with Disabilities Act, Investigations (1992B)
Employment Discrimination_Title I of The Americans with Disabilities Act (1995B)
Consolidated from 30.012 (1995U)
Employment Discrimination Title I of The Americans with Disabilities Act (2018)
Employment Discrimination Title I of The Americans with Disabilities Act (2019)
Employment Discrimination Title I of The Americans with Disabilities Act (2021)

30.012

Employment Discrimination_Title I of The Americans with Disabilities Act, Technical Assistance (1992B)
Consolidated into 30.008, 30.010, 30.011 (1995U)

30.013

Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (2011)
Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (2018)
Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (2019)
Employment Discrimination-Title II of the Genetic Information Nondiscrimination Act of 2008 (2021)

GENERAL SERVICES ADMINISTRATION

Payments to Small Lead and Zinc Mines (1967B)
Archived (1969B)

39.001

Non-Military Procurement from Labor Surplus and Redevelopment Areas (1967B)
Counseling on Doing Business with the Government (1969B)
Business Services (1970B)

39.002

Disposal of Federal Surplus Real Property (1965B)

39.003

Donation of Federal Surplus Personal Property (1965B)

39.004

National Archives Reference Services (1969B)
Transferred to 89.001 (1985B)

39.005

Audiovisual Support Services (1969B)
National Audiovisual Center (1970B)
Transferred to 89.002 (1985B)

39.006

National Historical Sources Grants (1969B)
National Historical Publications Grants (1971B)

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National Historical Publications and Records Grants (1976B)
Transferred to 89.003 (1985B)

39.007

Sale of Federal Surplus Personal Property (1965B)

39.008

Federal Information Center (1971B)
Consolidated into 39.009 (2000B)

39.009

Consumer Product Information Index (1972B)
Consumer Product Information Center (1973B)
Consumer Information Center (1974B)
Federal Consumer Information Center, Consolidated from 39.008 (2000B)
Federal Citizen Information Center (2003B)
Archived (2010)

39.010

Continuity of Government and Management of Resources in Emergencies (1973U)
Transferred from 50.001 (1973U)
Archived (1976B)
Reinstated (1980B)
State Participation in Federal Telpaks (1980B)
Archived (1980U2)

39.011

Election Reform Payments (2003B)
Archived (2005)

39.012

Public Buildings Service (2008)
Archived (2016)

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

Assistance for University Research and Training Activities (1969B)
Archived (1970B)

43.001

Space and Aeronautics Education and Information Services (1969B)
Space Science Education Project (1970B)
Aerospace Education Services Project (1977B)
Aerospace Education Services Program (1991U)
Science (2010)
Science (2019)
Science (2019)
Science (2020)
Science (2021)
Science (2021)

43.002

Technology Utilization (1967B)
Transferred to Private Sector of Aerospace Technology (1969B)
Technology Utilization (1970B)
Technology Transfer (1978U)
Technology Utilization (1984B)
Technology Transfer (1993U)
Aeronautics (2010)
Aeronautics (2019)

Aeronautics (2020)
Aeronautics (2021)

43.003

Exploration (2010)
Exploration (2019)
Exploration (2019)
Exploration (2020)
Exploration (2021)

43.004

Aeronautics, Recovery Act (2009)
Aeronautics, Recovery Act (2019)
Aeronautics, Recovery Act (2020)
Archived (2020)

43.005

Exploration, Recovery Act (2009)
Archived (2017)

43.006

Science, Recovery Act (2009)
Archived (2017)

43.007

Space Operations (2010)
Space Operations (2019)
Space Operations (2019)
Space Operations (2020)
Space Operations (2021)

43.008

Education (2010)
Education (2019)
Education (2019)
To: Office of Stem Engagement (OSTEM)From: Education (2019)
Office of Stem Engagement (OSTEM) (2020)
Office of Stem Engagement (OSTEM) (2020)
Office of Stem Engagement (OSTEM) (2021)

43.009

Cross Agency Support (2010)
Cross Agency Support (2019)
Cross Agency Support (2020)
To: Safety, Security and Mission ServicesFrom: Cross Agency Support (2020)
Safety, Security and Mission Services (2021)

43.010

Construction & Environmental Compliance & Remediation (2010)
Construction & Environmental Compliance & Remediation (2019)
Construction & Environmental Compliance & Remediation (2019)
Construction & Environmental Compliance & Remediation (2020)
Archived (2020)

43.011

Office of Inspector General (2010)
Archived (2019)

43.012

Space Technology (2014)

Space Technology (2019)
Space Technology (2019)
Space Technology (2020)
Space Technology (2021)

43.013

Science Mission Directorate (2021)
Archived (2021)

NATIONAL ENDOWMENT FOR THE ARTS

Grants for Progress in the Arts (1967B)
Split into 45.001 - 45.009 (1970B)

Progress in the Humanities (1969B)
Split into 45.100 - 45.106 (1970B)

45.001

Promotion of the Arts_Architecture and Design (1970B)
Promotion of the Arts_Architecture and Environmental Arts (1971U)
Promotion of the Arts_Architecture, Planning, and Design (1978B)
Promotion of the Arts_Design Arts (1979U2)
Consolidated into 45.024 (1996B)

45.002

Promotion of the Arts_Dance (1970B)
Consolidated into 45.024 (1996B)

45.003

Promotion of the Arts_Education (1970B)
Promotion of the Arts_Artists-in-Schools (1979U2)
Promotion of the Arts_Artists-in-Education (1981B)
Promotion of the Arts_Arts in Education (1986U)
Consolidated into 45.024, 45.025 (1996B)

45.004

Promotion of the Arts_Literature (1970B)
Consolidated into 45.024 (1996B)

45.005

Promotion of the Arts_Music (1970B)
Split into 45.005, 45.014 (1978U)
Consolidated into 45.024 (1996B)

45.006

Promotion of the Arts_Public Media (1970B)
Promotion of the Arts_Media Arts: Film/Radio/Television (1977B)
Consolidated into 45.024 (1996B)

45.007

Promotion of the Arts_State and Community Operations (1970B)
Promotion of the Arts_Federal-State Partnership (1973B)
Promotion of the Arts_State Program/Partnership Coordination (1980B)
Promotion of the Arts_State Programs/Partnership Coordination (1982B)
Promotion of the Arts_Public Partnership (1983B)
Promotion of the Arts_State Programs (1984B)
Promotion of the Arts_States Program (1989U)
Promotion of the Arts_State and Regional Program

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(1992B)
Consolidated into 45.025 (1996B)

45.008

Promotion of the Arts_Theatre (1970B)
Promotion of the Arts_Theater (1984B)
Consolidated into 45.024 (1996B)

45.009

Promotion of the Arts_Visual Arts (1970B)
Consolidated into 45.024 (1996B)

45.010

Promotion of the Arts_Expansion Arts (1971U)
Consolidated into 45.024, 45.025 (1996B)

45.011

Promotion of the Arts_Special Projects (1972B)
Split into 45.011, 45.015 (1978U)
Promotion of the Arts_Internship (Special Projects) (1978U)
Promotion of the Arts_Special Projects (1979B)
Split into 45.011, 45.020 (1980B)
Promotion of the Arts_Inter-Arts (1980U1)
Promotion of the Arts_Presenting and Commissioning (1992B)
Promotion of the Arts_Presenting (1995B)
Consolidated into 45.024, 45.025 (1996B)

45.012

Promotion of the Arts_Museums (1972B)
Consolidated into 45.024 (1996B)

45.013

Promotion of the Arts_Challenge Grants (1977U)
Split into 45.013, 45.022 (1983B)
Consolidated into 45.024 (1996B)

45.014

Promotion of the Arts_Opera and Musical Theatre (1978U)
Split from 45.005 (1978U)
Number changed to 45.015 (1979U2)
Promotion of the Arts_Folk Arts (1980B)
Promotion of the Arts_Opera and Musical Theatre (1980U1)
Promotion of the Arts_Opera and Musical Theater (1984B)
Promotion of the Arts_Opera-Musical Theater (1987B)
Consolidated into 45.024 (1996B)

45.015

Promotion of the Arts_Folk Arts (1978U)
Split from 45.011 (1978U)
Number changed to 45.014 (1979U2)
Promotion of the Arts_Opera and Musical Theatre (1980B)
Promotion of the Arts_Folk Arts (1980U1)
Promotion of the Arts_Folk and Traditional Arts (1995B)
Consolidated into 45.024, 45.025 (1996B)

45.020

Promotion of the Arts_National Endowment Fellowship Program (1980B)
Split from 45.011 (1980B)

Number changed to 45.021 (1980B)

45.021

Promotion of the Arts_Policy, Planning and Research (1983B)
Number changed from 45.020 (1983B)
Promotion of the Arts_Fellowship Program for Arts Management (1984B)
Promotion of the Arts_Arts Management Fellowship Program (1985)
Promotion of the Arts_Arts Administration Fellows Program (1987U)
Archived (1996B)

45.022

Promotion of the Arts_Advancement Grants Split from 45.013 (1983B)
Consolidated into 45.024 (1996B)

45.023

Promotion of the Arts_Test Program of Support for Local Arts Agencies (1983U)
Promotion of the Arts_Locals Program (1989U)
Promotion of the Arts_Local Arts Agencies Program (1992U)
Consolidated into 45.024, 45.025 (1996B)

45.024

Promotion of the Arts_Grants to Organizations and Individuals Consolidated from 45.001 - 45.006, 45.008 - 45.015, 45.022, 45.023 (1996B)
Promotion of the Arts Grants to Organizations and Individuals (2018)
Promotion of the Arts Grants to Organizations and Individuals (2019)
Promotion of the Arts Grants to Organizations and Individuals (2020)
Promotion of the Arts Grants to Organizations and Individuals (2021)

45.025

Promotion of the Arts_Partnership Agreements Consolidated from 45.003, 45.007, 45.010, 45.011, 45.015, 45.023 (1996B)
Promotion of the Arts Partnership Agreements (2018)
Promotion of the Arts Partnership Agreements (2019)
Promotion of the Arts Partnership Agreements (2020)
Promotion of the Arts Partnership Agreements (2021)

45.026

Promotion of the Arts_Leadership Initiatives (1996B)
Deleted (2004)

45.027

Promotion of the Arts_Challenge America Grants (2001B)
Deleted (2003)

45.201

Arts and Artifacts Indemnity (2019)
(2019)
Arts and Artifacts Indemnity (2019)
Arts and Artifacts Indemnity (2020)
Arts and Artifacts Indemnity (2021)

NATIONAL ENDOWMENT FOR THE

HUMANITIES

Consolidated into program 45.024 (2003B)

45.100

Promotion of the Humanities_Afro-American Fellowships (1970B)
Number changed to 45.107 (1970U)

45.101

Promotion of the Humanities_Education (1970B)
Archived (1971U)

45.102

Promotion of the Humanities_Fellowships and Summer Stipends for Younger Humanists (1970B)
Consolidated into 45.118, 45.121 (1974U)

45.103

Promotion of the Humanities_Fellowships_Faculty Development Grants (1970B)
Incorporated into 45.101 (1971B)

45.104

Promotion of the Humanities_Public Programs (1970B)
Promotion of the Humanities_Film/TV Grants (1973B)
Promotion of the Humanities_Media Grants (1974B)
Promotion of the Humanities_Media Humanities Projects (1980B)
Promotion of the Humanities_Humanities Projects in Media (1983U)
Consolidated into 45.164 (1996B)

45.105

Promotion of the Humanities_Research and Publication (1970B)
Promotion of the Humanities_Research Grants (1971U)
Promotion of the Humanities_Research Grants for Junior College Teachers (1974U)
Promotion of the Humanities_General Research Program (1976B)
Split into 45.140, 45.141 (1979U1)

45.106

Promotion of the Humanities_Senior Fellowships (1970B)
Consolidated into 45.118 (1974U)

45.107

Promotion of the Humanities_Fellowships for Guided Study in Selected Fields (1970U)
Number changed from 45.100 (1970U)
Promotion of the Humanities_Fellowships in Selected Fields (1973B)
Consolidated into 45.118, 45.119 (1974U)

45.108

Promotion of the Humanities_Fellowships for Junior College Teachers (1970U)
Promotion of the Humanities_Fellowships and Summer Stipends for Junior College Teachers (1973B)
Consolidated into 45.118, 45.119, 45.121 (1974U)

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45.109

Promotion of the Humanities_Fellowships for the Professions (1971U)
Promotion of the Humanities_Fellowships and Stipends for the Professions (1976B)
Split into 45.109, 45.134 (1978B)
Promotion of the Humanities_Fellowships for Journalists (1982B)
Archived (1983B)

45.110

Promotion of the Humanities_Education Planning and Development (1971U)
Promotion of the Humanities_Education Planning, Program, and Development (1973B)
Promotion of the Humanities_Education Pilot, Program, and Development (1976B)
Promotion of the Humanities_Education Pilot and Development Grants (1976U)
Split into 45.138, 45.139 (1979B)

45.111

Promotion of the Humanities_Education Projects (1971U)
Promotion of the Humanities_Higher Education Projects (1976U)
Split into 45.111, 45.127 (1976U)
Promotion of the Humanities_Higher Education/Regional and National Grants (1979U2)
Promotion of the Humanities_Exemplary Projects, Nontraditional Programs, and Teaching Materials (1983B)
Promotion of the Humanities_Exemplary Projects in Undergraduate and Graduate Education and Nontraditional Programs and Teaching Materials (1983U)
Promotion of the Humanities_Exemplary Projects and Humanities Programs for Nontraditional Learners (1984B)
Consolidated into 45.150 (1987U)

45.112

Promotion of the Humanities_Museum Personnel Development (1971U)
Consolidated into 45.125 (1976B)

45.113

Promotion of the Humanities_Regional Projects (1971U)
Promotion of the Humanities_Special Projects (1973B)
Promotion of the Humanities_Program Development (1974U)
Promotion of the Humanities_Public Program Development (1975U)
Promotion of the Humanities_Program Development (1979B)
Promotion of the Humanities_Special Projects: Program Development (1983U)
Promotion of the Humanities_Special Projects: Humanities Programs for Adults (1984B)
Promotion of the Humanities_Humanities Projects for Adults (1985B)
Promotion of the Humanities_Public Humanities Projects (1986B)
Promotion of the Humanities_Special Projects (1994U)

Consolidated into 45.164 (1996B)

45.114

Promotion of the Humanities_Interpretive Museum Exhibitions (1972U)
Promotion of the Humanities_Interpretive Museum Exhibitions and Community Education Programs (1974B)
Promotion of the Humanities_Interpretive Exhibitions and Community Education Programs (1974U)
Consolidated into 45.125 (1976B)

45.115

Promotion of the Humanities_Youth Grants in the Humanities (1972U)
Promotion of the Humanities_Youth Programs (1976U)
Promotion of the Humanities_Youthgrants (1978B)
Split into 45.115, 45.135 (1978B)
Promotion of the Humanities_Youth Grants (1983B)
Promotion of the Humanities_Special Projects: Younger Scholars (1983U)
Promotion of the Humanities_Special Projects: Humanities Programs for Youth/Younger Scholars (1984B)
Promotion of the Humanities_Humanities Projects for Youth/Younger Scholars (1985B)
Promotion of the Humanities_Younger Scholars (1985U)
Archived (1995B)

45.116

Promotion of the Humanities_Summer Seminars for College Teachers (1973U)
Consolidated into 45.163 (1996B)

45.117

Promotion of the Humanities_Cultural Institutions (1974U)
Incorporated/Split into 45.125, 45.137 (1979B)
Reinstated/Split from 45.125, 45.137 (1979U1)
Incorporated/Split into 45.125, 45.137 (1980B)

45.118

Promotion of the Humanities_Fellowships for Independent Study and Research (1974U)
Consolidated from 45.102, 45.106, 45.107, 45.108 (1974U)
Consolidated into 45.136 (1978U)

45.119

Promotion of the Humanities_Fellowships in Residence for College Teachers (1974U)
Consolidated from 45.107, 45.108 (1974U)
Consolidated into 45.136 (1978U)

45.120

Promotion of the Humanities_National Humanities Institutes (1974U)
Promotion of the Humanities_Humanities Institutes (1976U)
Incorporated into 45.111 (1979B)

45.121

Promotion of the Humanities_Summer Stipends (1974U)
Consolidated from 45.102, 45.108 (1974U)

Consolidated from 45.152 (1992U)
Consolidated into 45.160 (1996B)

45.122

Promotion of the Humanities_Fellowships at Centers for Advanced Study (1975B)
Promotion of the Humanities_Regrants/Centers for Advanced Study (1985U)
Promotion of the Humanities_Centers for Advanced Study (1990B)
Promotion of the Humanities_Institutional Programs and Resources (1994U)
Promotion of the Humanities_Institutional Programs (1995U)
Consolidated into 45.161 (1996B)

45.123

Promotion of the Humanities_National Board of Consultants (1975B)
Promotion of the Humanities_Consultant Grant Program (1978U)
Consolidated into 45.150 (1983B)

45.124

Promotion of the Humanities_Centers of Research (1976B)
Promotion of the Humanities_Research Collections: Development, Access and Use (1977B)
Promotion of the Humanities_Research Collections (1978U)
Promotion of the Humanities_Research Resources (1979U2)
Promotion of the Humanities_Research Resource, Organization and Improvement Grants (1980U2)
Promotion of the Humanities_Research Resources (1981B)
Promotion of the Humanities_Research Resources_Organization and Improvement Grants (1982B)
Promotion of the Humanities_Research Resources/Access Grants (1983U)
Promotion of the Humanities_Reference Works/Access Grants (1985B)
Promotion of the Humanities_Reference Materials/Access Grants (1985U)
Promotion of the Humanities_Reference Materials/Guides (1991U)
Consolidated into 45.145 (1992B)

45.125

Promotion of the Humanities_Interpretive Museum Exhibitions and Interpretive Programs (1976B)
Consolidated from 45.112, 45.114 (1976B)
Promotion of the Humanities_Museums and Historical Organizations Program (1977B)
Promotion of the Humanities_Museums and Historical Organizations Humanities Projects (1980B)
Promotion of the Humanities_Humanities Projects in Museums and Historical Organizations (1981B)
Consolidated into 45.164 (1996B)

45.126

Promotion of the Humanities_Research Materials (1976B)
Split into 45.145, 45.146, 45.147 (1980B)

45.127

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Promotion of the Humanities_Elementary and Secondary Education Program (1976U)
 Split from 45.111 (1976U)
 Promotion of the Humanities_Instruction in Elementary and Secondary Schools (1983B)
 Promotion of the Humanities_Humanities Instruction in Elementary and Secondary Schools (1984B)
 Consolidated from 45.155 (1994U)
 Consolidated into 45.162, 45.163 (1996B)

45.128

Promotion of the Humanities_Planning and Analysis (1977B)
 Promotion of the Humanities_Planning and Assessment Studies (1977U)
 Split into 45.128, 45.131, 45.133 (1977U)
 Promotion of the Humanities_Planning and Assessment Studies Program (1980B)
 Promotion of the Humanities_Humanities Studies Program (1984U)
 Archived (1985U)

45.129

Promotion of the Humanities_State-Based Program (1977B)
 Promotion of the Humanities_State Programs (1977U)
 Promotion of the Humanities_Federal-State Partnership (1995B)
 Promotion of the Humanities_Federal/State Partnership (1997B)
 Promotion of the Humanities Federal/State Partnership (2018)
 Promotion of the Humanities Federal/State Partnership (2019)
 Promotion of the Humanities Federal/State Partnership (2020)
 Promotion of the Humanities Federal/State Partnership (2021)

45.130

Promotion of the Humanities_Challenge Grant Program (1977U)
 Promotion of the Humanities_Challenge Grants Program (1980U2)
 Promotion of the Humanities_Challenge Grants (1987B)
 Promotion of the Humanities_Challenge Grants (2018)
 Promotion of the Humanities_Challenge Grants (2019)
 Promotion of the Humanities_Challenge Grants (2020)
 Promotion of the Humanities_Challenge Grants (2021)

45.131

Promotion of the Humanities_Special Projects (1977U)
 Split from 45.128 (1977U)
 Archived (1981U)

45.132

Promotion of the Humanities_Research Publications (1977U)
 Promotion of the Humanities_Research Materials: Publications (1980B)
 Promotion of the Humanities_Research Resources/Publications (1983U)
 Promotion of the Humanities_Basic Research/Publications (1985B)

Promotion of the Humanities_Texts/Publication Subvention (1985U)
 Promotion of the Humanities_Publication Subvention (1992B)
 Promotion of the Humanities_Subventions (1992U)
 Promotion of the Humanities_Scholarly Publications (1993U)
 Consolidated from 45.146, 45.147 (1993U)
 Consolidated into 45.161 (1996B)

45.133

Promotion of the Humanities_Science, Technology and Human Values (1977U)
 Split from 45.128 (1977U)
 Promotion of the Humanities_Humanities, Science, and Technology (1983B)
 Promotion of the Humanities_Basic Research/Humanities, Science and Technology (1984B)
 Promotion of the Humanities_Interpretive Research/Humanities, Science and Technology (1985U)
 Promotion of the Humanities_Interpretive Research/Humanities, Science, and Technology (1992B)
 Consolidated into 45.140 (1993U)

45.134

Promotion of the Humanities_Research Development (1978B)
 Split from 45.109 (1978B)
 Promotion of the Humanities_Research Conferences (1979B)
 Promotion of the Humanities_Basic Research/Research Conferences (1984B)
 Promotion of the Humanities_Regrants/Research Conferences (1985U)
 Promotion of the Humanities_Regrants Conferences (1986U)
 Promotion of the Humanities_Regrants/Conferences (1987B)
 Promotion of the Humanities_Conferences (1990B)
 Consolidated into 45.140 (1993U)

45.135

Promotion of the Humanities_NEH Youth Projects (1978B)
 Split from 45.115 (1978B)
 Promotion of the Humanities_Youth Projects (1979U1)
 Promotion of the Humanities_Special Projects: Humanities Programs for Youth/Youth Projects (1984B)
 Promotion of the Humanities_Humanities Projects for Youth/Youth Projects (1985B)
 Promotion of the Humanities_Humanities Projects for Youth (1985U)
 Promotion of the Humanities_Projects for Youth, Incorporated into 45.104, 45.125, 45.133, 45.137 (1986B)

45.136

Promotion of the Humanities_NEH Fellowships, Categories A, B, and C (1978U)
 Consolidated from 45.118, 45.119 (1978U)
 Promotion of the Humanities_Category A, B, and C (1979U1)

Split into 45.142, 45.143, 45.144 (1980B)

45.137

Promotion of the Humanities_Public Library Program (1979B)
 Split from 45.117 (1979B)
 Promotion of the Humanities_Division of Public Programs, Libraries Humanities Projects (1979U2)
 Promotion of the Humanities_Division of Public Programs, Humanities Projects in Libraries (1982B)
 Promotion of the Humanities_Humanities Projects in Libraries (1983B)
 Promotion of the Humanities_Special Projects: Humanities Projects in Libraries (1984B)
 Promotion of the Humanities_Humanities Projects in Libraries (1985B)
 Promotion of the Humanities_Humanities Projects in Libraries and Archives (1988B)
 Consolidated into 45.164 (1996B)

45.138

Promotion of the Humanities_Higher Education Pilot Grants (1979B)
 Split from 45.110 (1979B)
 Promotion of the Humanities_Education Pilot Grants (1979U1)
 Consolidated into 45.150 (1983B)

45.139

Promotion of the Humanities_Higher Education Development Grants (1979B)
 Split from 45.110 (1979B)
 Promotion of the Humanities_Education Development Grants (1979U1)
 Promotion of the Humanities_Education Implementation Grants (1979U2)
 Consolidated into 45.150 (1983B)

45.140

Promotion of the Humanities_Basic Research (1979U1)
 Split from 45.105 (1979U1)
 Split into 45.140, 45.148 (1980U2)
 Promotion of the Humanities_Project Research (1983U)
 Promotion of the Humanities_Basic Research/Project Research (1984B)
 Promotion of the Humanities_Interpretive Research/Projects (1985U)
 Promotion of the Humanities_Interpretive Research/Collaborative Projects (1990U)
 Promotion of the Humanities_Interpretive Research Program (1993B)
 Consolidated from 45.133, 45.134 (1993U)
 Consolidated into 45.161 (1996B)

45.141

Promotion of the Humanities_Regional and Local History (1979U1)
 Split from 45.105 (1979U1)
 Promotion of the Humanities_State, Local, and Regional Studies (1979U2)
 Promotion of the Humanities_Regional Studies (1983B)
 Incorporated into 45.140 (1983U)

45.142

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- Promotion of the Humanities_Fellowships for Independent Study and Research (1980B)
Split from 45.136 (1980B)
Promotion of the Humanities_Fellowships for University Teachers (1986B)
Consolidated into 45.160 (1996B)
- 45.143**
Promotion of the Humanities_Fellowships for College Teachers (1980B)
Split from 45.136 (1980B)
Promotion of the Humanities_Fellowships for College Teachers (1985U)
Promotion of the Humanities_Fellowships for College Teachers and Independent Scholars (1986B)
Consolidated into 45.160 (1996B)
- 45.144**
Promotion of the Humanities_Residential Fellowships for College Teachers (1980B)
Split from 45.136 (1980B)
Archived (1982B)
- 45.145**
Promotion of the Humanities_Research Materials: Tools and Reference Works (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities_Research Materials/Tools and Reference Works (1980U2)
Promotion of the Humanities_Reference Works/Tools (1983U)
Promotion of the Humanities_Reference Materials/Tools (1985U)
Promotion of the Humanities_Reference Materials (1992B)
Consolidated from 45.124 (1992B)
Consolidated into 45.149 (1996B)
- 45.146**
Promotion of the Humanities_Research Materials: Editions (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities_Research Materials/Editions (1980U1)
Promotion of the Humanities_Reference Works/Editions (1983U)
Promotion of the Humanities_Texts/Editions (1985U)
Promotion of the Humanities_Editions (1992B)
Consolidated into 45.132 (1993U)
- 45.147**
Promotion of the Humanities_Research Materials: Translations (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities_Research Materials/Translations (1980U2)
Promotion of the Humanities_Reference Works/Translations (1983U)
Promotion of the Humanities_Texts/Translations (1985U)
Promotion of the Humanities_Translations (1992B)
Consolidated into 45.132 (1993U)
- 45.148**
Promotion of the Humanities_Intercultural Research (1980U2)
Split from 45.140 (1980U2)
- Promotion of the Humanities_Intercultural Research Program (1981U)
Promotion of the Humanities_Basic Research/Intercultural Research Program (1984B)
Promotion of the Humanities_Research in Selected Areas/ Intercultural Research Program (1985B)
Promotion of the Humanities_Regrants Program/International Research (1985U)
Promotion of the Humanities_International Research (1990B)
Archived (1994B)
- 45.149**
Promotion of the Humanities_Research Resources Conservation and Preservation Grants (1980U2)
Promotion of the Humanities_Research Resources/Preservation Grants (1983U)
Promotion of the Humanities_Office of Preservation (1985B)
Promotion of the Humanities_Division of Preservation and Access (1992B)
Promotion of the Humanities Division of Preservation and Access (2018)
Promotion of the Humanities Division of Preservation and Access (2019)
Promotion of the Humanities Division of Preservation and Access (2020)
Promotion of the Humanities Division of Preservation and Access (2021)
- 45.150**
Promotion of the Humanities_Central Disciplines in Undergraduate Education (1983B)
Promotion of the Humanities_Higher Education in the Humanities (1987U)
Consolidated from 45.155 (1994U)
Consolidated into 45.162, 45.163 (1996B)
- 45.151**
Promotion of the Humanities_Summer Seminars for Secondary School Teachers (1983B)
Consolidated into 45.163 (1996B)
- 45.152**
Promotion of the Humanities_Travel to Collections (1983U)
Promotion of the Humanities_Basic Research/Travel to Collections (1984B)
Promotion of the Humanities_Travel to Collections (1985U)
Consolidated into 45.121 (1992U)
- 45.153**
Promotion of the Humanities_Regrants Program/Selected Areas (1986B)
Promotion of the Humanities_Selected Areas (1990B)
Archived (1992B)
- 45.154**
NEH/Reader's Digest Teacher-Scholar Program (1988B)
NEH Teacher-Scholar Program (1991B)
Promotion of the Humanities_NEH Teacher-Scholar Program (1991U)
Promotion of the Humanities_NEH/Reader's Digest Teacher-Scholar Program (1992B)
Promotion of the Humanities_NEH/Teacher-Scholar Program (1993U)
- Program (1993U)
Archived (1994U)
- 45.155**
Foreign Language Education (1991B)
Promotion of the Humanities_Foreign Language Education (1991U)
Consolidated into 45.127, 45.150 (1994U)
- 45.156**
Promotion of the Humanities_Study Grants for College and University Teachers (1992B)
Promotion of the Humanities_Study Grants for College Teachers (1993U)
Archived (1995B)
- 45.157**
Promotion of the Humanities_Dissertation Grants (1992U)
Archived (1996B)
- 45.158**
Leadership Opportunity in Science and Humanities Education (1993B)
Promotion of the Humanities_Leadership Opportunity in Science and Humanities Education (1994U)
Promotion of the Humanities_Integrating Undergraduate Education (1995B)
Promotion of the Humanities_Integrating Undergraduate Education (1995U)
Consolidated into 45.162 (1996B)
- 45.160**
Promotion of the Humanities_Fellowships and StipendsConsolidated from 45.121, 45.142, 45.143 (1996B)
Promotion of the Humanities Fellowships and Stipends (2018)
Promotion of the Humanities Fellowships and Stipends (2019)
Promotion of the Humanities Fellowships and Stipends (2020)
Promotion of the Humanities Fellowships and Stipends (2021)
- 45.161**
Promotion of the Humanities_Collaborative ResearchConsolidated from 45.122, 45.132, 45.140 (1996B)
Promotion of the Humanities_Research (1997B)
Promotion of the Humanities Research (2018)
Promotion of the Humanities Research (2019)
Promotion of the Humanities Research (2020)
Promotion of the Humanities Research (2021)
- 45.162**
Promotion of the Humanities_Education Development and DemonstrationConsolidated from 45.127, 45.150, 45.158 (1996B)
Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (2004)
Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (2018)
Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (2019)
Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (2020)

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Promotion of the Humanities Teaching and Learning Resources and Curriculum Development (2021)

45.163

Promotion of the Humanities_Seminars and InstitutesConsolidated from 45.116, 45.127, 45.150, 45.151 (1996B)

Promotion of the Humanities_Professional Development (2004)

Promotion of the Humanities Professional Development (2018)

Promotion of the Humanities Professional Development (2019)

Promotion of the Humanities Professional Development (2020)

Promotion of the Humanities Professional Development (2021)

45.164

Promotion of the Humanities_Public ProgramsConsolidated from 45.104, 45.113, 45.125, 45.137 (1996B)

Promotion of the Humanities Public Programs (2018)

Promotion of the Humanities Public Programs (2019)

Promotion of the Humanities Public Programs (2020)

Promotion of the Humanities Public Programs (2021)

45.166

Promotion of the Humanities_Extending the Reach Grants to Underserved Areas (2000B)

Consolidated into programs 45.164 and 45.149 (2003B)

Deleted (2003)

45.167

Promotion of the Humanities_Extending the Reach Grants to Presidentially-Designated Minority Institutions (2000B)

Deleted (2004)

45.168

Promotion of the Humanities_We the People (2004)
Archived (2012)

45.169

Promotion of the Humanities_Digital Humanities Initiative (2006)

Promotion of the Humanities_Office of Digital Humanities (2008)

Promotion of the Humanities Office of Digital Humanities (2018)

Promotion of the Humanities Office of Digital Humanities (2019)

Promotion of the Humanities Office of Digital Humanities (2020)

Promotion of the Humanities Office of Digital Humanities (2021)

NATIONAL SCIENCE FOUNDATION

Science Research Support Activities (1969B)
Archived (1970B)

47.001

Antarctic Research (1970B)

Polar Research (1970U)

Polar Research Programs (1971B)

Consolidated into 47.050 (1976B)

47.002

Biological Sciences Research Project Support (1970B)

Consolidated into 47.047 (1975B)

47.003

Computers Activities in Education and Research (1969B)

Computers_Research and Educational Activities (1970B)

Computing Activities in Education and Research (1971B)

Computing Activities (1973B)

Computer Research (1974B)

Consolidated into 47.047 (1975B)

47.004

Departmental Science Development (1970B)
Archived (1971B)

47.005

Graduate Education in Science (1967B)

Doctoral Dissertation Research in the Social Sciences (1970B)

Doctoral Dissertation Research (1971B)

Consolidated into 47.047 (1975B)

47.006

Engineering Sciences Research Initiation Grants (1970B)

Engineering Research Initiation Grants (1973B)

Consolidated into 47.047 (1975B)

47.007

Engineering Sciences Research Project Support (1970B)

Engineering Research Project Support (1973B)

Consolidated into 47.047 (1975B)

47.008

Environmental Sciences Research Project Support (1970B)

Consolidated into 47.047 (1975B)

47.009

Fellowships and Traineeship Program (1970B)

Graduate Fellowship Programs (1973B)

Graduate Student Support (1974B)

Science Manpower Improvement (1976B)

Scientific Personnel Improvement (1978B)

Graduate Research Fellowships (1983B)

Consolidated into 47.076 (1992B)

47.010

Graduate Instructional Program Development (1970B)

Incorporated into 47.032, 47.033 (1973B)

47.011

Graduate Science Facilities (1970B)

Archived (1971B)

47.012

Institutional Support in Science (1967B)

Institutional Grants for Science (1970B)
Archived (1975U)

47.013

Interdisciplinary Research Relevant to Problems of Our Society (1970B)

Archived (1972B)

47.014

International Cooperative Science Activities (1969B)
Consolidated into 47.053 (1977B)

47.015

International Travel (1970B)

Incorporated into 47.014 (1975B)

47.016

Scientific Research Grants (1967B)

Science Research Support Activities (1969B)

Mathematical and Physical Sciences Research Project Support (1970B)

Split into 47.016, 47.017 (1970B)

Consolidated into 47.047 (1975B)

47.017

National and Special Research Programs (1970B)

Split from 47.016 (1970B)

Global Atmospheric Research Program (1974B)

Consolidated into 47.050 (1976B)

47.018

Oceanography_Basic Research Grants (1970B)

Oceanography_Scientific Research Project Support (1971B)

Incorporated into 47.008 (1972B)

47.019

Pre-College Education in Science (1967B)

Pre-College Instructional Personnel Development (1970B)

Instructional Improvement Implementation_Pre-College (1973B)

Consolidated into 47.048 (1975B)

47.020

Pre-College Instructional Program Development (1970B)

Science Education Materials and Methods

Development Pre-College (1973B)

Science Education Materials and Instruction

Development (Pre-College) (1973U)

Science Education Materials and Instruction

Development_Pre-College (1974B)

Consolidated into 47.048 (1975B)

47.021

Pre-College Student Development (1970B)

Archived (1971B)

47.022

Sea Grant Program (1969B)

Sea Grant Institutional Support (1970B)

Split into 47.022, 47.023 (1970B)

Transferred to 11.417 (1971B)

47.023

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Sea Grant Project Support (1970B)
Split from 47.022 (1970B)
Transferred to 11.418 (1971B)

47.024

Science Information Activities_System Development and Improvement (1970B)
Science Information Activities_Information Systems; Data Systems (1973B)
Science Information Activities_National Information Systems; User Support (1974B)
Science Information Activities (1975B)
Consolidated into 47.053 (1977B)

47.025

Science Information Research (1970B)
Incorporated into 47.024 (1975B)

47.026

Science Information Activities (1969B)
Science Information Services and Publications (1970B)
Science Information Activities_Publications (1973B)
Incorporated into 47.024 (1974B)

47.027

Science Planning and Policy Studies (1969B)
University Science Planning and Policy Program (1971B)
Science Policy Research (1972B)
Incorporated into 47.029 (1973B)

47.028

Scientific Conference Grants (1970B)
Consolidated into 47.047 (1975B)

47.029

Social Sciences Research Project Support (1970B)
Consolidated into 47.047 (1975B)

47.030

Special Computer Services for Research and Science Education (1970B)
Archived (1971B)

47.031

Specialized Research Facilities and Equipment (1970B)
Archived (1972B)

47.032

Undergraduate Education in Science (1967B)
Undergraduate Instructional Personnel Development (1970B)
Split into 47.032, 47.033, 47.034 (1970B)
Instructional Improvement Implementation_Higher Education (1973B)
Consolidated into 47.048 (1975B)

47.033

Undergraduate Instructional Program Development (1970B)
Split from 47.032 (1970B)
Science Education Materials and Methods Development_Higher Education (1973B)
Science Education Materials and Instruction

Development (Higher Education) (1973U)
Science Education Materials and Instruction Development_Higher Education (1974B)
Consolidated into 47.048 (1975B)

47.034

Undergraduate Student Development (1970B)
Split from 47.032 (1970B)
Student Oriented Programs (1973B)
Consolidated into 47.048 (1975B)

47.035

University Science Development (1970B)
Archived (1971B)

47.036

Intergovernmental Science Programs (1970U)
Intergovernmental Science and Research Utilization (1973B)
Intergovernmental Science (1975B)
Intergovernmental Program (1976B)
Intergovernmental Programs (1978B)
Intergovernmental Program (1979B)
Intergovernmental Science and Technology Grants (1981B)
Intergovernmental Science and Technology Program (1981U)
Intergovernmental and Public Service Science and Technology Program (1982B)
Intergovernmental Science and Technology Programs (1983B)
Archived (1985U)

47.037

International Decade of Ocean Exploration (1970U)
Consolidated into 47.050 (1976B)

47.038

Public Understanding of Science Program (1971B)
Science and Society Program (1976B)
Science Education Communication Grants (1981B)
Consolidated/Split into 47.038, 47.058 (1981B)
Archived (1982B)

47.039

Scientific Activities (Special Foreign Currency Program) (1971B)
Archived (1975B)

47.040

Materials Research Project Support (1972B)
Consolidated into 47.047 (1975B)

47.041

Research Applied to National Needs (1973U)
Applied Science and Research Applications (1978B)
Engineering and Applied Science (1979U2)
Engineering Grants (1981B)
Consolidated/Split into 47.041, 47.058 (1981B)
Engineering Grants (2018)
To: EngineeringFrom: Engineering Grants (2019)
Engineering (2019)
Engineering (2020)
Engineering (2021)

47.042

Experimental Research and Development Incentives

Program (1973U)
Incorporated into 47.041 (1976B)

47.043

National Research and Development Assessment Program (1973U)
Number changed to 47.052 (1976B)

47.044

Institutional Grants for Research Management Improvement (1973U)
Archived (1975B)

47.045

Technological Innovation in Education (1973U)
Consolidated into 47.048 (1975B)

47.046

Science Education_Problem Assessment and Experimental Projects (1974B)
Consolidated into 47.048 (1975B)

47.047

Scientific Research Project Support (1975B)
Consolidated from 47.002, 47.003, 47.005, 47.006, 47.007, 47.008, 47.016, 47.028, 47.029, 47.040 (1975B)
Split into 47.049, 47.050, 47.051 (1976B)

47.048

Science Education Improvement (1975B)
Consolidated from 47.019, 47.020, 47.032, 47.033, 47.034, 47.045, 47.046 (1975B)
Science Education Research and Development and Resources Improvement (1977B)
Science Education Development and Research and Resources Improvement (1980B)
Archived (1982B)

47.049

Mathematical and Physical Sciences and Engineering (1976B)
Split from 47.047 (1976B)
Mathematical and Physical Sciences (1979U2)
Mathematical and Physical Sciences (2018)
Mathematical and Physical Sciences (2019)
Mathematical and Physical Sciences (2020)
Mathematical and Physical Sciences (2021)

47.050

Astronomical, Atmospheric, Earth and Ocean Sciences (1976B)
Consolidated/Split from 47.001, 47.017, 47.037, 47.047 (1976B)
Geosciences (2018)
Geosciences (2019)
Geosciences (2020)
Geosciences (2021)
Geosciences (2021)

47.051

Biological, Behavioral, and Social Sciences (1976B)
Split from 47.047 (1976B)
Split into 47.074, 47.075 (1992B)

47.052

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Science and Technology Policy Research and Analysis (1976B)

Number changed from 47.043 (1976B)
Consolidated into 47.053 (1977B)

47.053

Scientific, Technological, and International Affairs (1977B)

Consolidated from 47.014, 47.024, 47.052 (1977B)
Consolidated into 47.075 (1992B)

47.054

Industry/University Cooperative Research (1980B)
Incorporated into 47.053 (1981B)

47.055

Two-Year and Four-Year College Research Instrumentation (1980B)
Archived (1984B)

47.056

Research Initiation in Minority Institutions (1980B)
Research Improvement in Minority Institutions (1981B)
Number changed to 47.060 (1982B)

47.057

Minority Research Initiation (1981B)
Archived (1985B)

47.058

Public Service Science and Technology (1981B)
Consolidated from 47.038, 47.041 (1981B)
Archived (1982B)

47.059

Visiting Professorships for Women in Science and Engineering (1982B)
Visiting Professorships for Women (1983B)
Archived (1985B)

47.060

Research Improvement in Minority Institutions (1982B)
Number changed from 47.056 (1982B)
Archived (1985B)

47.061

Precollege Science and Mathematics Teacher Development and Incentives (1983U)
Consolidated into 47.063 (1984B)

47.062

Precollege Science and Mathematics Materials Development (1983U)
Consolidated into 47.063 (1984B)

47.063

Precollege Science and Mathematics Education (1984B)
Consolidated from 47.061, 47.062 (1984B)
Archived (1985B)

47.064

College Science Instrumentation Program (1984U)
Incorporated into 47.071 (1987U)

47.065

Advanced Scientific Computing Resources (1984U)
Archived (1986U)

47.066

Teacher Enhancement and Informal Science Education (1985B)
Teacher Preparation and Enhancement (1986B)
Consolidated into 47.076 (1992B)

47.067

Materials Development and Research (1985B)
Materials Development, Research, and Informal Science Education (1986B)
Materials Development and Informal Science Education (1987B)
Materials Development, Research, and Informal Science Education (1988B)
Consolidated into 47.076 (1992B)

47.068

Studies and Program Assessment (1985B)
Research, Studies, and Program Assessment (1987B)
Studies and Program Assessment (1988B)
Studies, Evaluation and Dissemination (1991B)
Consolidated into 47.076 (1992B)

47.069

Research Initiation and Improvement (1985B)
Human Resource Development (1991B)
Consolidated into 47.076 (1992B)

47.070

Computer and Information Science and Engineering (2004)
Computer and Information Science and Engineering (2018)
Computer and Information Science and Engineering (2019)
Computer and Information Science and Engineering (2020)
Computer and Information Science and Engineering (2021)

47.071

Undergraduate Science, Mathematics, and Engineering Education (1987U)
Undergraduate Science, Engineering, and Mathematics Education (1988B)
Consolidated into 47.076 (1992B)

47.072

Young Scholars (1987U)
Consolidated into 47.076 (1992B)

47.073

Science and Technology Centers (1989B)
Archived (1996B)

47.074

Biological Sciences (1992B)
Split from 47.051 (1992B)
Biological Sciences (2018)
Biological Sciences (2019)
Biological Sciences (2020)

Biological Sciences (2021)

47.075

Social, Behavioral, and Economic Sciences (1992B)
Consolidated from 47.051, 47.053 (1992B)
Social, Behavioral, and Economic Sciences (2018)
Social, Behavioral, and Economic Sciences (2019)
Social, Behavioral, and Economic Sciences (2020)
Social, Behavioral, and Economic Sciences (2021)
Social, Behavioral, and Economic Sciences (2021)

47.076

Education and Human Resources (1992B)
Consolidated from 47.009, 47.066, 47.067, 47.068, 47.069, 47.071, 47.072 (1992B)
Education and Human Resources (2018)
Education and Human Resources (2019)
Education and Human Resources (2020)
Education and Human Resources (2021)
Education and Human Resources (2021)

47.077

Academic Research Facilities and Instrumentation (1993B)
Academic Research Infrastructure (1997B)
Archived (1999B)

47.078

Polar Programs (1997B)
Polar Programs (2018)
Polar Programs (2019)
Polar Programs (2020)
Polar Programs (2021)
Polar Programs (2021)

47.079

International Science and Engineering (OISE) (2005)
Office of International and Integrative Activities (2013)
Office of International Science and Engineering (2015)
Office of International Science and Engineering (2018)
Office of International Science and Engineering (2019)
Office of International Science and Engineering (2020)
Office of International Science and Engineering (2021)

47.080

Office of Cyberinfrastructure (2008)
Archived (2019)

47.081

Office of Experimental Program to Stimulate Competitive Research (2008)
Archived (2016)

47.082

Trans-NSF Recovery Act Research Support (2009)
Archived (2019)

47.083

Office of Integrative Activities (2015)
Office of Integrative Activities (2018)
To: Integrative Activities From: Office of Integrative

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Activities (2019)	Blind Veterans Rehabilitation Centers (1971B)	Veterans Rehabilitation_Alcohol and Drug Dependence (1976B)
Integrative Activities (2019)	Blind Veterans Rehabilitation Centers and Clinics (1987U)	Archived (2017)
Integrative Activities (2020)	Blind Rehabilitation Centers and Clinics (1995U)	
Integrative Activities (2021)	Blind Rehabilitation Centers (1998U)	
Integrative Activities (2021)	Archived (2017)	
47.084		
NSF Technology, Innovation, and Partnerships (2021)	64.008	64.020
Archived (2021)	Veterans' Domiciliary Program (1965B)	Assistance in the Establishment of New State Medical Schools (1974U)
	Veterans Domiciliary Care and Restoration (1970B)	Archived (1979B)
	Veterans Domiciliary Care (1973B)	
VETERANS AFFAIRS, DEPARTMENT OF	Archived (2020)	64.021
Educational Assistance for Veterans' Children		Grants to Affiliated Medical Schools_Assistance to Health Manpower Training Institutions (1974U)
(1967B)		Archived (1979B)
Archived (1969B)		
	64.009	64.022
Guardianship Services for Veterans' Beneficiaries (1967B)	Veterans Hospitalization (1965B)	Hospital Based Home Care (1974U)
Archived (1969B)	RevisedVeterans Medical Care Benefits (1997U)	Veterans Hospital Based Home Care (1979B)
	Archived (2017)	Veterans Home Based Primary Care (1997B)
		Archived (2017)
Relocation Housing for Aged or Families Displaced by Urban Renewal (1967B)	64.010	
Archived (1969B)	Veterans Nursing Home Care Program (1967B)	64.023
	Veterans Nursing Home Care (1970B)	Health Professional Scholarships (1982B)
	Archived (2017)	Health Professional Scholarships_Nursing (1984B)
		Archived (1986B)
Veterans Restoration Centers (1967B)	64.011	
Archived (1969B)	Veterans' Outpatient Services (1967B)	64.024
	Veterans Outpatient Care (1970B)	VA Homeless Providers Grant and Per Diem Program (1995B)
	Veterans Dental Care (1999B)	VA Homeless Providers Grant and Per Diem Program (2020)
	Veterans Dental Care (2020)	
64.001	64.012	64.025
Biomedical Research (1967B)	Veterans Prescription Service (1967B)	Transitional Housing Loan Program (1995B)
Medical Research Support (1986B)	Veterans Prescription Service (2020)	Archived (2001B)
Archived (1991U)		
	64.013	64.026
64.002	Veterans Prosthetic Appliances (1967B)	Veterans State Adult Day Health Care (2008)
Veterans' Community Nursing Home Care Program (1967B)	Veterans Prosthetic Appliances (2020)	Veterans State Adult Day Health Care (2020)
Community Contract Nursing Home Care (1970B)		
Community Nursing Home Care (1971B)	64.014	64.027
Veterans Community Nursing Home Care (1979B)	Veterans State Home Program (1970B)	Post-9/11 Veterans Educational Assistance (2010)
Archived (1981U)	Veterans State Domiciliary Care (1971B)	Post-9/11 Veterans Educational Assistance (2020)
	Veterans State Domiciliary Care (2020)	
	64.015	64.028
64.003	Veterans State Nursing Home Care (1970B)	Post-9/11 Veterans Educational Assistance (2010)
Education and Training of Health Service Personnel (1969B)	Veterans State Nursing Home Care (2020)	Post-9/11 Veterans Educational Assistance (2020)
Archived (1979B)		
	64.016	64.029
64.004	Veterans State Home Hospital Care (1970U)	Purchase Care Program (2010)
Exchange of Medical Information (1970B)	Veterans State Hospital Care (1971B)	Purchase Care Program (2020)
Archived (1982B)	Archived (2016)	
	64.017	64.030
64.005	Grants to States for Remodeling of State Home Hospital/Domiciliary Facilities (1971B)	Life Insurance for Veterans – Face Amount of New Life Insurance Policies Issued (2010)
Grant to States for Construction of State Nursing Home Care Facilities (1970B)	Incorporated into 64.005 (1978B)	Life Insurance for Veterans – Face Amount of New Life Insurance Policies Issued (2020)
Grants to States for Construction of State Home Facilities (1978B)		
Grants to States for Construction of State Home Facilities (2020)	64.018	64.031
	Sharing Specialized Medical Resources (1971B)	Life Insurance for Veterans - Direct Payments for Insurance (2010)
	Sharing Medical Facilities, Equipment, and Information (1975B)	Life Insurance for Veterans - Direct Payments for Insurance (2020)
	Sharing Specialized Medical Resources (1978B)	
	Archived (2017)	64.032
64.006	64.019	Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (2011)
Prosthetics Research (1969B)	Veterans Rehabilitation_Alcohol and Drug Dependency (1971U)	
Rehabilitative Engineering Research (Prosthetics) (1977B)		
Rehabilitative Research (Prosthetics) (1977U)		
Rehabilitative Research (1981B)		
Archived (1981U)		
64.007		
Rehabilitation of Blind Veterans (1967B)		

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Montgomery GI Bill Selected Reserve; Reserve Educational Assistance Program (2020)	VHA Mental Health Residential (2020)	Burial Allowance for Veterans (1969B) Burial Expenses Allowance for Veterans (1981U) Burial Expenses Allowance for Veterans (2020)
64.033 VA Supportive Services for Veteran Families Program (2011) VA Supportive Services for Veteran Families Program (2020)	64.044 VHA Home Care (2014) VHA Home Care (2020)	64.102 Compensation for Service-Connected Deaths for Veterans' Dependents (1969B)
64.034 VA Assistance to United States Paralympic Integrated Adaptive Sports Program (2011) VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces (2016) VA Grants for Adaptive Sports Programs for Disabled Veterans and Disabled Members of the Armed Forces (2020)	64.045 VHA Outpatient Ancillary Services (2014) VHA Outpatient Ancillary Services (2020)	64.103 Life Insurance for Veterans and Servicemen (1967B) Life Insurance for Veterans (1970B) Archived (2020)
64.035 Veterans Transportation Program (2012) Veterans Transportation Program (2020)	64.046 VHA Inpatient Psychiatry (2014) VHA Inpatient Psychiatry (2020)	64.104 Veterans Disability Pension (1965B) Pension for Nonservice-Connected Disability for Veterans (1969B) Pension for Non-Service-Connected Disability for Veterans (2020)
64.036 Veterans Retraining Assistance Program (VRAP) (2012) Archived (2017)	64.047 VHA Primary Care (2014) VHA Primary Care (2020)	64.105 Veterans' Death Pension (1965B) Pension for Veterans Widows and Children (1969B) Pension for Veterans Widows or Widowers and Children (1976B) Pension to Veterans Surviving Spouses, and Children (1980U1) Pension to Veterans Surviving Spouses, and Children (2020)
64.037 VA U.S. Paralympics Monthly Assistance Allowance Program (2012) VA U.S. Paralympics Monthly Assistance Allowance Program (2020)	64.048 VHA Mental Health clinics (2014) VHA Mental Health clinics (2020)	64.106 Specially Adapted Veterans Housing (1967B) Specially Adapted Housing for Disabled Veterans (1970B) Specially Adapted Housing for Disabled Veterans (2020)
64.038 Grants for the Rural Veterans Coordination Pilot (2013) Grants for the Rural Veterans Coordination Pilot (2020) Grants for the Rural Veterans Coordination Pilot (2020) Grants for the Rural Veterans Coordination Pilot (2020) Grants for the Rural Veterans Coordination Pilot (2020) Archived (2020)	64.049 VHA Community Living Center (2014) VHA Community Living Center (2020)	64.107 Veterans Assistance Centers (1969B) Archived (1977U)
64.039 CHAMPVA (2014) CHAMPVA (2020)	64.050 VHA Diagnostic Care (2014) VHA Diagnostic Care (2020)	64.108 Veterans' Business Loans (1967B) Veterans Business Loans_Guaranteed and Insured Loans (1970B) Archived (1975B)
64.040 VHA Inpatient Medicine (2014) VHA Inpatient Medicine (2020)	64.051 Specially Adapted Housing Assistive Technology Grant Program (2015) Specially Adapted Housing Assistive Technology Grant Program (2020)	64.109 Veterans' Disability Compensation (1965B) Veterans Compensation for Service-Connected Disability (1970B) Veterans Compensation for Service-Connected Disability (2020)
64.041 VHA Outpatient Specialty Care (2014) VHA Outpatient Specialty Care (2020)	64.052 Veterans Employment Pay for Success Program (2016) Veterans Employment Pay for Success Program (2020)	64.110 Veterans' Dependency and Indemnity Compensation (1965B) Dependency, Indemnity, and Death Compensation to Veterans' Dependents (1967B) Veterans Dependency and Indemnity Compensation for Service-Connected Death (1970B) Veterans Dependency and Indemnity Compensation for Service-Connected Death (2020)
64.042 VHA Inpatient Surgery (2014) VHA Inpatient Surgery (2020)	64.053 PAYMENTS TO STATES FOR PROGRAMS TO PROMOTE THE HIRING AND RETENTION OF NURSES AT STATE VETERANS HOMES (2016) Payments to States for Programs to Promote the Hiring and Retention of Nurses at State Veterans Homes (2020)	64.111 Educational Assistance for Veterans (1969B)
64.043 VHA Mental Health Residential (2014)	64.054 Research and Development (2017) Research and Development (2020)	
	64.100 Veterans' Automobile Grants (1967B) Automobile Grants for Disabled Veterans (1970B) Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (1971B) Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (2020)	
	64.101 Burial Benefits for Veterans (1967B)	

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Veterans Educational Assistance (1970B)
Archived (1990B)

64.112

Veterans Farm Loans (1969B)
Archived (1975B)

64.113

Veterans Housing_Direct Loans and Advances
(1970B)
Archived (1981B)

64.114

Veterans' Home Loans (1965B)
Veterans Housing_Guaranteed and Insured Loans
(1970B)
Veterans Housing Guaranteed and Insured Loans
(2020)

64.115

Veterans Information and Assistance (1967B)
Archived (2017)

64.116

Vocational Rehabilitation Training for Disabled
Veterans (1965B)
Vocational Rehabilitation for Disabled Veterans
(1970B)
Vocational Rehabilitation for Disabled Veterans
(2020)

64.117

War Orphans Education Program (1965B)
War Orphans and Widows Educational Assistance
(1970B)
Dependents Educational Assistance (1974B)
Survivors and Dependents Educational Assistance
(2020)

64.118

Veterans Housing_Direct Loans for Disabled
Veterans (1971B)
Veterans Housing_Direct Loans for Certain Disabled
Veterans (1998B)
Veterans Housing Direct Loans for Certain Disabled
Veterans (2020)

64.119

Veterans Housing_Mobile Home Loans (1971B)
Veterans Housing_Manufactured Home Loans
(1983B)
Archived (2017)

64.120

Post-Vietnam Era Veterans' Educational Assistance
(1977B)
Post-Vietnam Era Veterans' Educational Assistance
(2020)

64.121

Emergency Veterans Job Training (1984B)
Veterans' Job Training Act (1986B)
Archived (1990U)

64.122

Vocational Rehabilitation for Service-Disabled

Veterans Receiving Unemployability VA
Compensation (1985B)
Archived (1991U)

64.123

Vocational Training for Certain Veterans Receiving
VA Pension (1985B)

64.124

All-Volunteer Force Educational Assistance (1986B)
All-Volunteer Force Educational Assistance (2020)

64.125

Vocational and Educational Counseling for
Servicemembers and Veterans (1992B)
Vocational and Educational Counseling for
Servicemembers and Veterans (2020)

64.126

Native American Veteran Direct Loan Program
(1993B)
Native American Veteran Direct Loan Program
(2020)

64.127

Monthly Allowance Under 38 U.S.C. 1805 for a Child
Born with Spina Bifida Who Is a Child of a Vietnam
Veteran (1997B)
Monthly Allowance for Children of Vietnam Veterans
Born with Spina Bifida (1997U)
Archived (2017)
Reinstated (2019)
Monthly Allowance for Children of Vietnam Veterans
Born with Spina Bifida (2020)

64.128

Vocational Training and Rehabilitation for Vietnam
Veterans' Children with Spina Bifida (1997U)
Vocational Training and Rehabilitation for Vietnam
Veteran's Children with Spina Bifida or Other
Covered Birth Defects (2002B)
Vocational Training and Rehabilitation for Vietnam
Veterans' Children with Spina Bifida or Other
Covered Birth Defects (2020)

64.130

Veteran Rapid Retraining Assistance Program (2021)

64.200

Memorial Plots in National Cemeteries (1973U)
Transferred from 12.200 (1973U)
Memorial Plots and Markers in National Cemeteries
(1974B)
Memorial Plots and Memorial Markers (1979B)
Consolidated into 64.201, 64.202 (1990B)

64.201

National Cemeteries (1973U)
Transferred from 12.201 (1973U)
Archived (2017)
Reinstated (2020)
National Cemeteries (2020)

64.202

Procurement of Headstones and Markers (1973U)
Transferred from 12.202 (1973U)

Procurement of Headstones and Markers and/or
Presidential Memorial Certificates (1991U)
Archived (2017)
Reinstated (2020)

Procurement of Headstones and Markers and/or
Presidential Memorial Certificates (2020)

64.203

State Cemetery Grants (1979U2)
Veterans Cemetery Grants Program (2016)
Veterans Cemetery Grants Program (2020)

64.204

Veterans Legacy Grants Program (2020)

64.205

VA Casket or Urn Reimbursement Program (2020)

64.206

VA Outer Burial Receptacle Allowance Program
(2020)

STATE, DEPARTMENT OF

19.001

Foreign Currency Loans (1970B)
Transferred to 70.001 (1971B)

19.002

Foreign Housing Investment Guaranties (1970B)
Consolidated into 19.008 (1970U)

19.003

Foreign Housing Investment Guaranty Program_Latin
America (1970B)
Consolidated into 19.008 (1970U)

19.004

Foreign Investment Guaranties (1970B)
Transferred to 70.002 (1971B)

19.005

Foreign Investment Insurance (1970B)
Transferred to 70.003 (1971B)

19.006

Institutional Centers to Aid Foreign Development
(1969B)
Archived (1977U)

19.007

Technology Applications for Less-Developed
Countries (1969B)
Archived (1978B)

19.008

Foreign Housing Investment Guaranty_Worldwide
(1970U)
Consolidated from 19.002, 19.003 (1970U)
Housing Investment Guaranty_Worldwide (1971B)
Housing Guaranty_Worldwide (1973U)
Archived (1978B)

19.009

Academic Exchange Programs - Undergraduate
Programs (2009)
Academic Exchange Programs - Undergraduate

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Programs (2012)	Conflict and Stabilization Operations (2013)	
Antiterrorism Assistance – Domestic Training Programs (2019)	Conflict and Stabilization Operations (2019)	
Archived (2021)	Conflict and Stabilization Operations (2019)	
	Conflict and Stabilization Operations (2021)	
19.031	19.123	19.300
Research and Development - Physical Security Programs (2012)	EUR/ACE Humanitarian Assistance Program (2011)	Russian, Eurasian, and East European Research and Training (1992B)
Research and Development - Physical Security Programs (2019)	EUR/ACE Humanitarian Assistance Program (2018)	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (1995U)
Archived (2021)	Archived (2021)	Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (2019)
		Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (2021)
19.032	19.124	19.301
Global Engagement (2012)	U.S. Department of State (2010)	The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (2012)
Global Engagement (2018)	East Asia and Pacific Grants Program (2011)	The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (2019)
Global Engagement (2019)	East Asia and Pacific Grants Program (2018)	The Secretary's Office of the Global Partnership Initiative (S/GPI) Grant Programs (2021)
Archived (2021)	East Asia and Pacific Grants Program (2019)	
	East Asia and Pacific Grants Program (2021)	
19.033	19.129	19.303
Global Threat Reduction (2012)	Organization of American States Programs (2019)	Decrypting PRC Industrial and Technology Policy (2021)
Global Threat Reduction (2019)	Archived (2021)	
Global Threat Reduction (2021)		
19.034	19.202	19.322
Contributions to International Organizations-IO (2019)	Special Domestic Assignments (1981B)	Economic Statecraft (2013)
Archived (2021)		Economic Statecraft (2018)
		Economic Statecraft (2019)
19.035	19.203	Economic Statecraft (2021)
Cyber Capacity Building (2017)	Claims Against Foreign Missions (1984B)	
Cyber Capacity Building (2018)	Archived (1990B)	
Cyber Capacity Building (2019)		
Cyber Capacity Building (2021)		
19.036	19.204	19.345
Cultural Antiquities Task Force (2021)	Fishermen's Guranty FundNumber changed from 11.410 (1987B)	International Programs to Support Democracy, Human Rights and Labor (2009)
Cultural Antiquities Task Force (2021)	Fishermen's Guaranty Fund (2019)	International Programs to Support Democracy, Human Rights and Labor (2018)
	Fishermen's Guaranty Fund (2021)	International Programs to Support Democracy, Human Rights and Labor (2019)
		International Programs to Support Democracy, Human Rights and Labor (2021)
19.040	19.220	19.400
Public Diplomacy Programs (2011)	Ambassador's Special Self Help Fund (2020)	Educational Exchange_Graduate StudentsNumber changed from 82.001 (2000B)
Public Diplomacy Programs (2018)	Ambassador's Special Self Help Fund (2021)	Academic Exchange Programs - Graduate Students (2009)
Public Diplomacy Programs (2019)	To: Ambassadors' Special Self Help FundFrom: Ambassador's Special Self Help Fund (2021)	Academic Exchange Programs - Graduate Students (2018)
Public Diplomacy Programs (2021)	Ambassadors' Special Self Help Fund (2021)	Academic Exchange Programs - Graduate Students (2019)
		Academic Exchange Programs - Graduate Students (2019)
		Academic Exchange Programs - Graduate Students (2020)
		Academic Exchange Programs - Graduate Students (2021)
19.087	19.221	19.401
International Fisheries Commissions (2011)	Near East Regional Democracy (2011)	Educational Exchange_University Lecturers (Professors) and Research ScholarsNumber changed from 82.002 (2000B)
International Fisheries Commissions (2019)	Iran Assistance Program (2014)	Academic Exchange Programs - Scholars (2009)
International Fisheries Commissions (2021)	Regional Democracy Program (2018)	Academic Exchange Programs - Scholars (2018)
	Regional Democracy Program (2019)	Academic Exchange Programs - Scholars (2019)
	Regional Democracy Program (2021)	Academic Exchange Programs - Scholars (2020)
		Academic Exchange Programs - Scholars (2021)
19.100	19.222	19.402
Cultural Presentations_Foreign Tours (1969B)	Trans-Sahara Counterterrorism Partnership (TSCTP) (2016)	
Split into 19.100, 19.102 (1970B)	Trans-Sahara Counterterrorism Partnership (TSCTP) (2019)	
Archived (1971B)	Trans-Sahara Counterterrorism Partnership (TSCTP) (2021)	
19.101	19.223	
Exchange of Persons_Academic Grants (1969B)	South Sudan and Sudan Assistance Program (2021)	
Educational Exchange_Graduate Students (1970B)		
Transferred to 82.001 (1978B)		
19.102	19.224	
Educational Exchange_University Lecturers (Professors) and Research Scholars (1970B)	Nonproliferation and Disarmament Fund (2013)	
Split from 19.100 (1970B)	Nonproliferation and Disarmament Fund (2019)	
Transferred to 82.002 (1978B)	Nonproliferation and Disarmament Fund (2021)	
19.121	19.225	
	Africa Regional Democracy Fund (2021)	

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International Visitors ProgramNumber changed from 82.004 (2000B)		Academic Exchange Programs - English Language Programs (2018)
Professional and Cultural Exchange Programs - International Visitor Leadership Program (2009)	19.411	Academic Exchange Programs - English Language Programs (2019)
Professional and Cultural Exchange Programs - International Visitor Leadership Program (2018)	Educational Exchange_NIS Secondary School InitiativeNumber changed from 82.018 (2000B)	Academic Exchange Programs - English Language Programs (2020)
Professional and Cultural Exchange Programs - International Visitor Leadership Program (2019)	Deleted (2004)	Academic Exchange Programs - English Language Programs (2021)
Professional and Cultural Exchange Programs - International Visitor Leadership Program (2020)	19.412	
Professional and Cultural Exchange Programs - International Visitor Leadership Program (2021)	Educational Exchange_Postgraduates and FacultyNumber changed from 82.026 (2000B)	19.422
	Archived (2002B)	Educational Exchange_Teaching Excellence Awards Program (2001B)
19.403	19.413	Deleted (2004)
American Council of Young Political LeadersNumber changed from 82.006 (2000B)	Cultural Exchange (Performing Arts)Number changed from 82.030 (2000B)	19.423
Archived (2009)	Deleted (2006)	Exchange_English Language Specialist/Speaker Program (2001B)
	19.414	Archived (2009)
19.404	Cultural Exchange (Visual Arts)Number changed from 82.032 (2000B)	
Professional Development_International Educators/AdministratorsNumber changed from 82.009 (2000B)	Deleted (2005)	19.424
Deleted (2004)	19.415	Educational Partnerships Program (2002B)
Consolidated into 19.424 (2004)	Professional Exchange_Annual Open GrantNumber changed from 82.033 (2000B)	Consolidated from 19.406 (2002B)
	Professional Exchanges_Annual Open Grant (2001B)	Deleted (2005)
19.405	Professional and Cultural Exchange Programs - Citizen Exchanges (2009)	19.425
College and University Partnerships ProgramNumber changed from 82.010 (2000B)	Professional and Cultural Exchange Programs - Citizen Exchanges (2018)	Benjamin Gilman International Scholarship (2002B)
Educational Partnerships Program (2003B)	Professional and Cultural Exchange Programs - Citizen Exchanges (2019)	Archived (2009)
Deleted (2004)	Professional and Cultural Exchange Programs - Citizen Exchanges (2020)	
Consolidated into 19.424 (2004)	Professional and Cultural Exchange Programs - Citizen Exchanges (2021)	19.430
	19.416	International Education Training and Research (2008)
19.406	Exchange_English Teaching FellowsNumber changed from 82.034 (2000B)	Archived (2009)
College and University Affiliations ProgramNumber changed from 82.011 (2000B)	Consolidated into 19.421 (2001B)	
Educational Partnerships Program (2001U)	19.417	19.431
Consolidated into 19.42 (2002B)	Exchange_English as a Foreign Language (EFL) FellowsNumber changed from 82.035 (2000B)	Educational Exchange_Scholar-in-Residence (U.S. Institutions of Higher Education Host Lecturing Faculty From Abroad) (2005)
	Consolidated into 19.421 (2001B)	Archived (2009)
19.407	19.418	
Teacher Exchange_New Independent States (NIS)Number changed from 82.012 (2000B)	Educational Exchange_Fulbright American Studies InstitutesNumber changed from 82.038 (2000B)	19.432
Deleted (2005)	Educational Exchange, American Studies Institute (2006)	Overseas Educational Advising (2005)
	Archived (2009)	Academic Exchange Programs - Educational Advising and Student Services (2009)
19.408	19.419	Academic Exchange Programs - Educational Advising and Student Services (2018)
Educational Exchange_Teachers from Secondary and Postsecondary LevelsNumber changed from 82.013 (2000B)	Professional Development_Teacher Training (2000B)	Academic Exchange Programs - Educational Advising and Student Services (2019)
Educational Exchange_Teachers from Secondary and Postsecondary Levels and School Administrators (2001B)	Deleted (2004)	Academic Exchange Programs - Educational Advising and Student Services (2020)
Academic Exchange Programs - Teachers (2009)	19.420	Academic Exchange Programs - Educational Advising and Student Services (2021)
Academic Exchange Programs - Teachers (2018)	Cooperative Grants (2000B)	
Academic Exchange Programs - Teachers (2019)	Deleted (2004)	19.440
Academic Exchange Programs - Teachers (2020)	19.421	IIP Individual Grants (2011)
Academic Exchange Programs - Teachers (2021)	Exchange_English Language Fellow ProgramConsolidated from 19.416, 19.417 (2001B)	IIP Individual Grants (2018)
	Academic Exchange Programs - English Language Programs (2009)	To: ECA Individual GrantsFrom: IIP Individual Grants (2019)
19.409		To: IIP Individual GrantsFrom: ECA Individual Grants (2019)
Creative Arts GrantsNumber changed from 82.015 (2000B)		IIP Individual Grants (2019)
Arts Exchanges on International Issues (2005)		IIP Individual Grants (2020)
Arts Exchanges on International Issues (2005)		To: ECA U.S. Speaker ProgramFrom: IIP Individual Grants (2020)
Archived (2009)		ECA U.S. Speaker Program (2021)
		ECA U.S. Speaker Program (2021)
19.410		ECA U.S. Speaker Program (2021)
Educational Exchange_Congress-Bundestag Youth ExchangeNumber changed from 82.016 (2000B)		ECA U.S. Speaker Program (2021)
Archived (2009)		

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19.441

IIP - American Spaces (2015)
IIP - American Spaces (2018)
To: ECA - American SpacesFrom: IIP - American Spaces (2019)
ECA - American Spaces (2019)
ECA - American Spaces (2019)
To: ECA – American SpacesFrom: ECA - American Spaces (2020)
ECA – American Spaces (2021)
ECA – American Spaces (2021)
ECA – American Spaces (2021)
ECA – American Spaces (2021)

19.450

ECA Individual Grants (2011)
ECA Individual Grants (2018)
ECA Individual Grants (2019)
ECA Individual Grants (2020)
ECA Individual Grants (2021)

19.451

Special International Exchange Grant Programs (2014)
Special International Exchange Grant Programs (2018)
Special International Exchange Grant Programs (2019)
Special International Exchange Grant Programs (2020)
Special International Exchange Grant Programs (2021)

19.452

International Exchange Alumni Programs (2015)
International Exchange Alumni Programs (2018)
International Exchange Alumni Programs (2019)
International Exchange Alumni Programs (2020)
International Exchange Alumni Programs (2021)

19.500

Middle East Partnership Initiative (MEPI) (2004)
Middle East Partnership Initiative (2014)
Middle East Partnership Initiative (2019)
Middle East Partnership Initiative (2021)

19.501

Public Diplomacy Programs for Afghanistan and Pakistan (2009)
Public Diplomacy Programs for Afghanistan and Pakistan (2019)
Public Diplomacy Programs for Afghanistan and Pakistan (2021)

19.510

U.S. Refugee Admissions Program (2004)
U.S. Refugee Admissions Program (2018)
U.S. Refugee Admissions Program (2019)
U.S. Refugee Admissions Program (2021)

19.511

Overseas Refugee Assistance Programs for East Asia (2005)
Overseas Refugee Assistance Programs for East Asia (2018)
Overseas Refugee Assistance Programs for East Asia (2021)

(2019)
Overseas Refugee Assistance Programs for East Asia (2021)

19.515

Contributions to International Organizations for Overseas Assistance (2011)
Contributions to International Organizations for Overseas Assistance (2018)
Contributions to International Organizations for Overseas Assistance (2019)
Contributions to International Organizations for Overseas Assistance (2021)

19.517

Overseas Refugee Assistance Programs for Africa (2005)
Overseas Refugee Assistance Programs for Africa (2018)
Overseas Refugee Assistance Programs for Africa (2019)
Overseas Refugee Assistance Programs for Africa (2021)

19.518

Overseas Refugee Assistance Programs for Western Hemisphere (2005)
Overseas Refugee Assistance Programs for Western Hemisphere (2018)
Overseas Refugee Assistance Programs for Western Hemisphere (2019)
Overseas Refugee Assistance Programs for Western Hemisphere (2021)

19.519

Overseas Refugee Assistance Program for Near East and South Asia (2005)
Overseas Refugee Assistance Program for Near East (2018)
Overseas Refugee Assistance Program for Near East (2019)
Overseas Refugee Assistance Program for Near East (2021)

19.520

Overseas Refugee Assistance Programs for Europe (2005)
Overseas Refugee Assistance Programs for Europe (2018)
Overseas Refugee Assistance Programs for Europe (2019)
Overseas Refugee Assistance Programs for Europe (2021)

19.522

Overseas Refugee Assistance Programs for Strategic Global Priorities (2005)
Overseas Refugee Assistance Programs for Strategic Global Priorities (2018)
Overseas Refugee Assistance Programs for Strategic Global Priorities (2019)
Overseas Refugee Assistance Programs for Strategic Global Priorities (2021)

19.523

Overseas Refugee Assistance Program for South Asia (2018)

Overseas Refugee Assistance Program for South Asia (2019)
Overseas Refugee Assistance Program for South Asia (2021)

19.600

Bureau of Near Eastern Affairs (NEA) (2011)
Bureau of Near Eastern Affairs (2014)
Bureau of Near Eastern Affairs (2021)

19.601

NEA Syria Program (2014)
Syria Assistance Program (2014)
Syria Assistance Program (2019)
Syria Assistance Program (2021)

19.662

Fiscal Transparency Innovation Fund (2019)
Fiscal Transparency Innovation Fund (2021)

19.666

EUR/ACE National Endowment for Democracy Small Grants (2011)
EUR/ACE National Endowment for Democracy Small Grants (2018)
EUR/ACE National Endowment for Democracy Small Grants (2019)
EUR/ACE National Endowment for Democracy Small Grants (2021)

19.700

General Department of State Assistance (2010)
General Department of State Assistance (2018)
General Department of State Assistance (2019)
General Department of State Assistance (2021)

19.701

Global Counterterrorism Programs (2012)
Global Counterterrorism Programs (2021)

19.703

CRIMINAL JUSTICE SYSTEMS (2011)
Criminal Justice Systems (2019)
Criminal Justice Systems (2021)

19.704

COUNTERNARCOTICS (2011)
Counter Narcotics (2019)
Counter Narcotics (2021)

19.705

TRANSNATIONAL CRIME (2011)
Trans-National Crime (2014)
Trans-National Crime (2019)
Trans-National Crime (2021)

19.706

Partnership for Regional East Africa Counterterrorism (2021)

19.750

Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (2011)
Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership

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for the Americas) (2019)

Bureau of Western Hemisphere Affairs (WHA) Grant Programs (including Energy and Climate Partnership for the Americas) (2021)

19.800

Weapons Removal and Abatement (2011)
Weapons Removal and Abatement (2018)
Weapons Removal and Abatement (2019)
Weapons Removal and Abatement (2021)

19.801

Office of Global Women's Issues (2011)
Office of Global Women's Issues (2018)
Office of Global Women's Issues (2019)
Office of Global Women's Issues (2019)
Office of Global Women's Issues (2021)

19.878

EUR-Other (2011)
EUR-Other (2018)
EUR-Other (2019)
EUR-Other (2019)
EUR-Other (2021)

19.900

AEECA PD Programs (2012)
AEECA/ESF PD Programs (2015)
AEECA/ESF PD Programs (2018)
AEECA/ESF PD Programs (2019)
AEECA/ESF PD Programs (2019)
AEECA/ESF PD Programs (2021)

19.901

Export Control and Related Border Security (2011)
Number changed from 19.999 (2011)
Export Control and Related Border Security (2019)
Export Control and Related Border Security (2019)
Export Control and Related Border Security (2021)

19.948

Organization of American States Programs (2018)
Organization of American States Programs (2019)
Organization of American States Programs (2021)

19.979

Office of Security Affairs (2015)
Office of Security Affairs (2018)
Office of Security Affairs (2019)
To: Regional Peace and Security From: Office of Security Affairs (2020)
Regional Peace and Security (2020)
Regional Peace and Security (2021)

19.980

Partnership for Regional East Africa Counterterrorism (PREACT): (2020)

19.990

International Justice and Accountability Programming (2021)
International Justice and Accountability Programming (2021)

19.999

Export Control and Related Border Security (2011)

Number changed to 19.901 (2011)

OFFICE OF PERSONNEL MANAGEMENT

27.001

Opportunities for Federal Civil Service Employment (1967B)
Federal Civil Service Employment (1970B)
Archived (2017)

27.002

Employment Assistance for Veterans (1969B)
Federal Employment Assistance for Veterans (1970B)
Archived (2017)

27.003

Stay in School Campaign_Youth Opportunity (1967B)
Youth Employment (1969B)
Federal Employment for Disadvantaged Youth_Part-Time (1970B)
Consolidated from 27.004 (1995B)
Federal Student Temporary Employment Program (1996B)
Archived (2017)

27.004

Youth Summer Employment (1969B)
Federal Employment for Disadvantaged Youth_Summer (1970B)
Consolidated into 27.003 (1995B)

27.005

Federal Employment for the Handicapped (1970B)
Archived (2017)

27.006

Federal Summer Employment (1970B)
Archived (2017)

27.007

Personnel Management Assistance to State and Local Governments (1970B)
Archived (1971U)

27.008

Recruiting and Staffing Assistance to State and Local Governments (1970B)
Intergovernmental Cooperation in Recruiting and Examining (1971U)
Archived (1984U)

27.009

Training Assistance to State and Local Governments (1970B)
Archived (1996U)

27.010

State Personnel Merit Systems_Technical Assistance (1971B)
Transferred from 13.605 (1971B)
State and Local Personnel Merit Systems and Other Technical Assistance (1978U)
State and Local Merit Personnel Systems and Other Technical Assistance (1979U2)
Archived (1981U)

27.011

Intergovernmental Mobility of Federal, State, and Local Employees (1971U)
Archived (2017)

27.012

Intergovernmental Personnel Grants (1971U)
Archived (1981U)

27.013

Presidential Management Intern Program (1978B)
Archived (2017)

EXPORT-IMPORT BANK OF THE U.S.

31.001

Medium-Term Guarantees to Commercial Banks (1970B)
Split into 31.002, 31.004, 31.005 (1971B)

31.002

Commercial Bank Export Credit Loans (1971B)
Split from 31.001 (1971B)
Archived (1973B)

31.003

Export Credit Information Service for U.S. Commercial Banks (1971B)
Archived (1973B)

31.004

Export Financing_Direct Loans and Participation Financing (1971B)
Split from 31.001 (1971B)
Archived (1973B)

31.005

Export Financing_Guarantees and Insurance (1971B)
Split from 31.001 (1971B)
Archived (1973B)

31.006

Export Financing_Preliminary Commitments (1971B)
Archived (1973B)

31.007

Export - Loan Guarantee/Insured Loans (2009)

FEDERAL COMMUNICATIONS COMMISSION

32.001

Communications_Information, Regulation, and Investigation of Complaints (1969B)
Communications_Information and Investigation of Complaints (1972B)
Communications Information and Assistance and Investigation of Complaints (1983U)
Archived (2017)

32.002

UNIVERSAL SERVICE FUND - HIGH COST (2019)
UNIVERSAL SERVICE FUND - HIGH COST (2020)

32.003

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UNIVERSAL SERVICE FUND - LIFELINE (2019)
UNIVERSAL SERVICE FUND - LIFELINE (2020)

32.004

UNIVERSAL SERVICE FUND - SCHOOLS and
LIBRARIES (2019)
UNIVERSAL SERVICE FUND - SCHOOLS and
LIBRARIES (2020)

32.005

UNIVERSAL SERVICE FUND - RURAL HEALTH
CARE (2019)
UNIVERSAL SERVICE FUND - RURAL HEALTH
CARE (2020)

32.006

COVID-19 Telehealth Program (2020)
COVID-19 Telehealth Program (2020)

32.007

Connected Care Pilot Program (2021)

32.008

Emergency Broadband Benefit Program (2021)

32.009

Emergency Connectivity Fund Program (2021)

32.010

Supply Chain Reimbursement Program (2021)

FEDERAL MARITIME COMMISSION

33.001

Shipping_Investigation of Complaints (1969B)
Shipping Investigation of Complaints (1997B)
Shipping_Dispute Resolution and Investigation of
Complaints (2006)
Archived (2017)

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.001

Labor Mediation and Conciliation (1969B)
Archived (2017)

34.002

Labor-Management Cooperation (1982B)
Archived (1992B)
Labor Management Cooperation (1993B)
Deleted Program no longer funded (2008)

FEDERAL TRADE COMMISSION

36.001

Consumer and Small Business Protection (1967B)
Consumer and Business Protection (1970B)
Fair Competition Counseling and Investigation of
Complaints (1972B)
Archived (2017)

36.002

Public Rulemaking Participation (1976B)
Archived (1981U)

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL

SUBCOMMITTEE

38.006

State Appraiser Agency Support Grants (2020)

38.008

Training and Technical Assistance for State Appraiser
Regulatory Agencies (2020)

38.009

Board Support and Innovation Grant (2020)

UNITED STATES GOVERNMENT PUBLISHING OFFICE

40.001

Government Publications_Depository Library
Distribution (1969B)
Depository Libraries for Government Publications
(1970B)
Depository Libraries for Government Publications
(2004)
Archived (2017)

40.002

Government Publications_Sales to the Public (1969B)
Government Publications_Sales and Distribution
(1970B)
Government Publications Sales and Distribution
(1992B)
Government Publications Sales and Distribution
(2004)
Archived (2017)

LIBRARY OF CONGRESS

42.001

Talking Book Services Program (1965B)
Books and Talking Books for the Blind and the
Physically Handicapped (1967B)
Books for the Blind and Physically Handicapped
(1969B)
Books for the Blind and Physically Handicapped
(2005)
Archived (2017)

42.002

Copyright Service (1969B)
Archived (2017)

42.003

Distribution of Library of Congress Catalog Cards
(1969B)
Distribution of Library of Congress Cataloging
(1976B)
Deleted (2005)

42.004

Library of Congress Photoduplication Service
(1969B)
Archived (1978U)

42.005

Library of Congress Publications (1967B)

42.006

Library of Congress Reference and Bibliographic
Services (1969B)

Library of Congress Research and Reader Services
(1977B)

Library of Congress Research Services (1979U2)

Library of Congress Constituent and Collection
Services (1990B)

Library of Congress_Library Services (1996B)
Deleted (2005)

42.007

Research and Referral Services in Science and
Technology (1969B)
Reference and Referral Services in Science and
Technology (1977B)

Reference Services in Science and Technology
(1981B)

Reference Services in Science, Technology and
Business (2000B)
Deleted (2005)

42.008

Reference and Consultation Services in Preservation,
Restoration, and Protection of Library Materials
(1971B)

Archived (1978U)

Semiconductor Chip Protection Service (1987B)

Archived (2017)

42.009

Vessel Hull Design Protection Service (2004)
Archived (2017)

42.010

Teaching with Primary Sources (2021)

42.011

Library of Congress Grants (2021)

NATIONAL CREDIT UNION ADMINISTRATION

44.001

Federal Credit Union Charter, Examination, and
Supervision (1970B)
Credit Union Charter, Examination, Supervision, and
Insurance (1971B)
Archived (2013)

44.002

Community Development Credit Union Revolving
Loan FundNumber changed from 13.794 (1987B)
Community Development Revolving Loan Program
for Credit Unions (1988B)
Community Development Revolving Loan Fund
Program for Credit Unions (2005)
Community Development Revolving Loan Fund
Program for Credit Unions (2005)
Community Development Revolving Loan Fund
Program for Credit Unions (2018)
Community Development Revolving Loan Fund
Program for Credit Unions (2019)
Community Development Revolving Loan Fund
Program for Credit Unions (2020)
Community Development Revolving Loan Fund
Program for Credit Unions (2021)

THE INSTITUTE OF MUSEUM AND LIBRARY SERVICES

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45.016

Grants to State Library Administrative Agencies (2020)
Grants to State Library Administrative Agencies (2020)
Archived (2021)

45.017

Laura Bush 21st Century Librarian Program (2020)
Archived (2021)

45.018

National Leadership Grants (2020)
Archived (2021)

45.019

Museum Grants for African American History and Culture (2020)
Archived (2021)

45.028

Native American/Native Hawaiian Museum Services (2020)
Archived (2021)

45.029

Native American and Native Hawaiian Library Services (2020)
Archived (2021)
Reinstated (2021)
Archived (2021)

45.030

Museums for America (2020)
Archived (2021)

45.301

Institute of Museum Services (1982B)
Transferred from 84.115 (1982B)
Institute of Museum and Library Services (1997B)
Split into 45.302, 45.303, 45.304, 45.305, 45.306 (1998B)
Institute of Museum and Library Services_General Operating Support (1998B)
Institute of Museum and Library Services (2000B)
Museum for America Grants (2004)
Museums for America (2006)
Museums for America (2021)
Museums for America (2021)
Museums for America (2021)

45.308

Native American/Native Hawaiian Museum Services Program (2005)
Native American/Native Hawaiian Museum Services Program (2021)
Native American/Native Hawaiian Museum Services Program (2021)

45.309

Museum Grants for African American History and Culture (2006)
Museum Grants for African American History and Culture (2021)
Museum Grants for African American History and Culture (2021)

45.310

State Library Program (1998B)
Grants to States (2006)
Grants to States (2021)
Grants to States (2021)

45.311

Native American Library Services (1998B)
Native American and Native Hawaiian Library Services (2004)
Native American and Native Hawaiian Library Services (2021)
Native American and Native Hawaiian Library Services (2021)

45.312

Institute of Museum and Library Services_National Leadership Grants (1998B)
National Leadership Grants (2004)
National Leadership Grants (2021)
National Leadership Grants (2021)

45.313

Institute of Museum and Library Services_Recruiting and Educating Librarians for the 21st Century (2003B)
Librarians for the 21st Century (2004)
Librarians for the 21st Century (2004)
Laura Bush 21st Century Librarian Program (2006)
Laura Bush 21st Century Librarian Program (2021)
Laura Bush 21st Century Librarian Program (2021)

PEACE CORPS

45.400

Peace Corps' Global Health and PEPFAR Initiative Program (2014)

NATIONAL LABOR RELATIONS BOARD

46.001

Labor-Management Relations (1969B)
Archived (2013)

RAILROAD RETIREMENT BOARD

57.001

Social Insurance Program for Railroad Workers (1965B)
Social Insurance for Railroad Workers (1970B)
Social Insurance for Railroad Workers (2018)
Social Insurance for Railroad Workers (2018)
Social Insurance for Railroad Workers (2019)
Social Insurance for Railroad Workers (2019)
Social Insurance for Railroad Workers (2020)
Social Insurance for Railroad Workers (2021)

57.002

Benefits for Milwaukee Railroad Workers (1980U2)
Archived (1985U)

57.003

Benefits for Conrail Employees (1983B)
Archived (1988B)

57.004

Benefits for Rock Island Railroad Employees

(1983U)

Archived (1984U)

57.005

Economic Recovery Payments (2009)
Archived (2014)

SECURITIES AND EXCHANGE COMMISSION

58.001

Investor Protection (1969B)
Securities_Investigation of Complaints and SEC Information (1972B)
Archived (2017)

SMALL BUSINESS ADMINISTRATION

59.001

Displaced Small Business Loans (1969B)
Displaced Business Loans (1970B)
Archived (1981U)

59.002

Economic Injury Disaster Loans (1970B)
Reinstated (1984B)
Consolidated into 59.008 (1989B)
Reinstated (1989U)
Deleted (2008)

59.003

Economic Opportunity Loans for Small Businesses (1965B)
Loans for Small Businesses (1983B)
Archived (1997U)

59.004

Lease Guarantees for Small Businesses (1969B)
Archived (1977B)

59.005

Management Assistance to Small Businesses (1970B)
Business Development Assistance to Small Business (1970B)
Internet-Based Technical Assistance (2005)
Internet-Based Technical Assistance (2005)
Deleted (2008)

59.006

Minority Business Development (1970B)
Minority Business Development_Procurement Assistance (1971B)
Minority Business Development (1991B)
8(a) Business Development (1999B)
8(a) Business Development Program (2006)
8(a) Business Development Program (2019)
8(a) Business Development Program (2019)
8(a) Business Development Program (2020)
8(a) Business Development Program (2021)

59.007

Minority Enterprise_Technical Assistance (1970B)
Management and Technical Assistance for Disadvantaged Businessmen_Research and Demonstration Grants (1971B)
Management and Technical Assistance for Disadvantaged Businessmen (1973B)
Management and Technical Assistance for

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Disadvantaged Businesses (1983B)	Archived (1998U)	SCORE (2019)
Management and Technical Assistance for Socially and Economically Disadvantaged Businesses (1988B)	59.014	SCORE (2020)
Management and Technical Assistance (2001B)	Coal Mine Health and Safety Loans (1971B)	SCORE (2021)
7(i) Technical Assistance (2004)	Mine Safety and Health Loans (1978B)	59.027
7(j) Technical Assistance (2005)	Archived (1981U)	Economic Dislocation Loans (1978B)
7(j) Technical Assistance (2005)	59.015	Archived (1981U)
7(j) Technical Assistance (2005)	Trade Adjustment Loans (1971B)	59.028
7(j) Technical Assistance (2018)	Archived (1971U)	Regulatory Loans (1979B)
7(j) Technical Assistance (2019)	59.016	Archived (1981U)
7(j) Technical Assistance (2019)	Bond Guarantees for Surety Companies (1971U)	59.029
7(j) Technical Assistance (2020)	Surety Bond Guarantees (2008)	Disaster Assistance to Nonagricultural Businesses (Major Source of Employment) (1979U1)
7(j) Technical Assistance (2021)	Surety Bond Guarantees (2018)	Incorporated into 59.008 (1982B)
59.008	Surety Bond Guarantees (2019)	59.030
Disaster Loans (1967B)	Surety Bond Guarantees (2020)	Small Business Energy Loans (1979B)
Physical Disaster Loans (1970B)	Surety Bond Guarantees (2021)	Consolidated from 59.031 (1989B)
Consolidated from 59.002 (1989B)	59.017	Incorporated into 59.012 (1990B)
Disaster Assistance Loans (2007)	Meat and Poultry Inspection Loans (1972B)	59.031
Disaster Assistance Loans (2018)	Consumer Protection Loans (1978B)	Small Business Pollution Control Financing Guarantee (1979B)
Disaster Assistance Loans (2019)	Archived (1981U)	Consolidated into 59.030 (1989B)
Disaster Assistance Loans (2019)	59.018	59.032
Disaster Assistance Loans (2020)	Occupational Safety and Health Loans (1972B)	Office of Women's Business Enterprise (1980U1)
Disaster Assistance Loans (2021)	Archived (1981U)	Office of Women's Business Ownership (1983B)
59.009	59.019	Archived (1968B)
Management and Technical Assistance (1965B)	Minority Vendors Program (1974B)	59.033
Procurement and Management Assistance to Small Businesses (1967B)	Incorporated into 59.035 (1980U1)	Small Business and Capital Ownership Development (1980U1)
Procurement Assistance to Small Businesses (1970B)	59.020	Minority Small Business and Capital Ownership Development (1981B)
Procurement and Technical Assistance to Small Businesses (1976U)	Base Closing Economic Injury Loans (1975B)	Incorporated into 59.007 (1987B)
Procurement Assistance to Small Businesses (1985B)	Archived (1981U)	59.034
Archived (2015)	59.021	Small Business Economic Research (1980U1)
59.010	Handicapped Assistance Loans (1975B)	Archived (1983B)
Product Disaster Loans (1970B)	Archived (1997U)	59.035
Archived (1982B)	59.022	Procurement Automated Source System (1980U1)
59.011	Emergency Energy Shortage Economic Injury Loans (1975B)	Incorporated from 59.019 (1980U1)
Small Business Investment Company Program (1965B)	Archived (1981U)	Archived (1998B)
Small Business Investment Companies (1970B)	59.023	59.036
Small Business Investment Companies (2018)	Strategic Arms Economic Injury Loans (1975B)	Certified Development Company Loans (1981U)
Small Business Investment Companies (2019)	Archived (1981U)	Certified Development Company Loans (503 Loans) (1986U)
Small Business Investment Companies (2019)	59.024	Archived (1989B)
Small Business Investment Companies (2020)	Water Pollution Control Loans (1975B)	59.037
Small Business Investment Companies (2021)	Archived (1981U)	Small Business Development Center (1981U)
59.012	59.025	Small Business Development Centers (2008)
Small Business Financial Assistance Program (1965B)	Air Pollution Control Loans (1975B)	Small Business Development Centers (2018)
Small Business Loans (1970B)	Archived (1981U)	Small Business Development Centers (2019)
7(a) Loan Guarantee (2007)	59.026	Small Business Development Centers (2020)
7(a) Loan Guarantees (2008)	Service Corps of Retired Executives and Active Corps of Executives (1976B)	Small Business Development Centers (2021)
7(a) Loan Guarantees (2018)	Transferred from 72.006 (1976B)	59.038
7(a) Loan Guarantees (2019)	Service Corps of Retired Executives Association (1988B)	Veterans Loan Program (1984B)
7(a) Loan Guarantees (2020)	Service Corps of Retired Executives (2008)	Archived (1997U)
7(a) Loan Guarantees (2020)	SCORE (2015)	
7(a) Loan Guarantees (2021)	SCORE (2018)	
59.013		
Loans to State and Local Development Companies (1965B)		
State and Local Development Company Loans (1969B)		
Local Development Company Loans (1991B)		

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59.039

Currency Devaluation Disaster Loans (1984U)
Currency Fluctuation Economic Injury Loans (1985B)
Archived (1986U)

59.040

Federal Action Disaster Loans (1984U)
Federal Action Economic Injury Loans (1985B)
Archived (1986U)

59.041

Certified Development Company Loans (504 Loans)
(1986U)
504 Certified Development Loans (2008)
504 Certified Development Loans (2018)
504 Certified Development Loans (2019)
504 Certified Development Loans (2020)
504 Certified Development Loans (2021)

59.042

Business Loans for 8(a) Program Participants (1990B)
Archived (1997U)

59.043

Women's Business Ownership Assistance (1990B)
Women's Business Ownership Assistance (2018)
Women's Business Ownership Assistance (2019)
Women's Business Ownership Assistance (2020)
Women's Business Ownership Assistance (2021)

59.044

Veterans Entrepreneurial Training Assistance
(1990B)
Veterans Entrepreneurial Training and Counseling
(1991U)
Veterans Business Development (2008)
Veterans Outreach Program (2015)
Veterans Outreach Program (2018)
Veterans Outreach Program (2019)
Veterans Outreach Program (2020)
Veterans Outreach Program (2021)

59.045

Natural Resource Development (1991B)
Archived (1995U)

59.046

Microloan Demonstration Program (1992U)
Microloan Program (2004)
Microloan Program (2018)
Microloan Program (2019)
Microloan Program (2019)
Microloan Program (2020)
Microloan Program (2021)

59.047

Pre Disaster Mitigation Loan Program (2004)

59.048

Military Reserve Economic Injury Loan (2004)

59.049

Office of Small Disadvantaged Business Certification
and Eligibility (2000B)
Small Disadvantaged Businesses (2007)
Archived (2015)

59.050

Microenterprise Developments Grant (2001U)
PRIME Technical Assistance (2007)
Prime Technical Assistance (2018)
Prime Technical Assistance (2019)
Prime Technical Assistance (2019)
Prime Technical Assistance (2020)
Prime Technical Assistance (2021)

59.051

New Markets Venture Capital (2001U)
NEW MARKETS VENTURE CAPITAL
PROGRAM, OPERATIONAL ASSISTANCE (OA)
GRANTS (2005)
New Markets Venture Capital Program, Operational
Assistance (OA) Grants (2005)
New Markets Venture Capital Program, Operational
Assistance (OA) Grants (2005)
New Markets Venture Capital Program (2007)
Archived (2015)

59.052

Native American Economic Development Assistance
(2005)
Native American Outreach (2008)
Native American Outreach (2018)
Archived (2019)

59.053

Small Business and Agriculture Regulatory
Enforcement Ombudsman and Small Business
Regulatory Fairness Boards (2005)
Ombudsman and Regulatory Fairness Boards (2007)
Ombudsman and Regulatory Fairness Boards (2019)
Ombudsman and Regulatory Fairness Boards (2020)
Ombudsman and Regulatory Fairness Boards (2021)

59.054

7(a) Loans (Export Loans) (2005)
7(a)Export Loan Guarantees (2008)
7(a)Export Loan Guarantees (2019)
7(a)Export Loan Guarantees (2019)
7(a)Export Loan Guarantees (2020)
7(a)Export Loan Guarantees (2021)

59.055

HUBZone Program (2008)
HUBZone Program (2018)
Archived (2019)

59.056

Secondary Market Lending Authority (2009)
Archived (2015)

59.057

America's Recovery Capital Loans (2009)
Archived (2015)

59.058

Federal and State Technology Partnership Program
(2010)
Federal and State Technology Partnership Program
(2018)
Federal and State Technology Partnership Program
(2019)
Federal and State Technology Partnership Program

(2020)

Federal and State Technology Partnership Program
(2021)

59.059

Congressional Grants (2011)
Archived (2015)

59.060

Small Business Teaming Pilot Program (2011)
Archived (2015)

59.061

State Trade and Export Promotion Pilot Grant
Program (2011)
State Trade Expansion (2016)
State Trade Expansion (2018)
State Trade Expansion (2019)
State Trade Expansion (2020)
State Trade Expansion (2021)

59.062

Intermediary Loan Program (2011)
Intermediary Loan Program (2019)
Intermediary Loan Program (2019)
Intermediary Loan Program (2020)
Intermediary Loan Program (2021)

59.063

Disaster Assistance Loans (Disaster Relief
Appropriations Act) (2013)
Archived (2017)

59.064

Entrepreneurial Development Disaster Assistance
(Disaster Relief Appropriations Act) (2013)
Archived (2015)
Reinstated (2016)
Archived (2019)

59.065

Growth Accelerator Fund Competition (2015)
Archived (2017)
Reinstated (2018)
Growth Accelerator Fund Competition (2019)
Growth Accelerator Fund Competition (2020)
Growth Accelerator Fund Competition (2021)

59.066

Transition Assistance – Entrepreneurship Track
(Boots to Business) (2015)
Transition Assistance – Entrepreneurship Track
(Boots to Business) (2018)
Transition Assistance – Entrepreneurship Track
(Boots to Business) (2019)
Transition Assistance – Entrepreneurship Track
(Boots to Business) (2020)
Transition Assistance – Entrepreneurship Track
(Boots to Business) (2021)

59.067

Regional Innovation Clusters (2015)
Regional Innovation Clusters (2018)
Archived (2019)

59.068

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ScaleUp America (2016)
Archived (2017)

59.069

SBA Emerging Leaders initiative (2016)
Archived (2017)
Reinstated (2018)
Archived (2019)

59.070

Drug-Free Workplace Program (2008)
Archived (2015)

59.071

Makerspace Training, Collaboration, and Hiring (MaTCH) (2019)
Makerspace Training, Collaboration, and Hiring (MaTCH) (2020)
Makerspace Training, Collaboration, and Hiring (MaTCH) (2021)

59.072

Economic Injury Disaster Loan Emergency Advance (2020)
Economic Injury Disaster Loan Emergency Advance (2020)
Economic Injury Disaster Loan Emergency Advance (2021)
Economic Injury Disaster Loan Emergency Advance (2021)
Economic Injury Disaster Loan Emergency Advance (2021)

59.073

Payment Protection Program (2020)
To: Paycheck Protection Loan Program (PPP)From: Payment Protection Program (2020)
Paycheck Protection Loan Program (PPP) (2020)
Paycheck Protection Loan Program (PPP) (2021)
Paycheck Protection Loan Program (PPP) (2021)
Paycheck Protection Loan Program (PPP) (2021)

59.074

OED Resource Partners Training Portal (RPTP) (2020)
OED Resource Partners Training Portal (RPTP) (2021)

59.075

Shuttered Venue Operators Grant Program (2021)
Shuttered Venue Operators Grant Program (2021)
Shuttered Venue Operators Grant Program (2021)

59.076

Lab-to-Market (2021)
Lab-to-Market (2021)

59.077

Community Navigator Pilot Program (2021)
Community Navigator Pilot Program (2021)

59.078

Restaurant Revitalization Fund (2021)
Restaurant Revitalization Fund (2021)

ENVIRONMENTAL PROTECTION

AGENCY

66.001

Air Pollution Control Program Grants (1971B)
Transferred from 13.001 (1971B)
Air Pollution Control Program Support (1985U)
Air Pollution Control Program Support (2018)
Air Pollution Control Program Support (2019)
Air Pollution Control Program Support (2020)
Air Pollution Control Program Support (2021)

66.002

Air Pollution Fellowships (1971B)
Transferred from 13.002 (1971B)
Air Pollution Control Fellowships (1976B)
Archived (1979B)

66.003

Air Pollution Manpower Training Grants (1971B)
Transferred from 13.003 (1971B)
Air Pollution Control Manpower Training Grants (1976B)
Air Pollution Control Manpower Training (1985U)
Archived (1999U)

66.004

Air Pollution Research Grants (1971B)
Transferred from 13.004 (1971B)
Air Pollution Control Research Grants (1972B)
Number changed to 66.501 (1973B)

66.005

Air Pollution Survey and Demonstration Grants (1971B)
Transferred from 13.005 (1971B)
Air Pollution Control Survey and Demonstration Grants (1976B)
Archived (1979B)

66.006

Air Pollution Control Technical Training (1971U)
Air Pollution Control_Technical Training (1973B)
Archived (1998B)

66.007

Air Pollution Control National Aerometric Data Information (1971U)
Air Pollution Control_National Ambient Air and Source Emission Data (1972U)
Archived (1996U)

66.008

Air Pollution Control Technical Assistance (1971U)
Air Pollution Control_Technical Assistance (1973B)
Archived (1985B)

66.009

Air Pollution Control Technical Information (1971U)
Air Pollution Control_Technical Information Services (1973B)
Air Pollution_Technical Information Services (1986B)
Air Information Center (1987U)
Protection of Children and the Aging as a Fundamental Goal of Public Health and Environmental Protection (2003)
Protection of Children and Older Adults (Elderly)

from Environmental Health Risks (2004)
Deleted (2005)

66.010

Air Pollution Control_Interstate Cooperation and Uniform Laws (1972B)
Archived (1973U)

66.011

Solid Waste Technical Assistance, Training, and Information Services (1974B)
Number changed from 66.304 (1974B)
Solid Waste Technical Assistance, and Information Services (1975B)
Solid Waste Disposal Technical Assistance, and Information Services (1976B)
Solid Waste Management Technical Assistance, and Information Services (1976U)
Number changed to 66.450 (1977U)

66.012

Water Pollution Control Data Publications Services (1973B)
Number changed from 66.402 (1973B)
Number changed to 66.416 (1974B)

66.013

Water Pollution Control_Direct Training (1973B)
Number changed from 66.403 (1973B)
Number changed to 66.417 (1974B)

66.014

Water Pollution Control_Interstate Cooperation and Uniform Laws (1973B)
Number changed from 66.404 (1973B)
Incorporated into 66.650 (1973U)

66.015

Construction Grants for Wastewater Treatment Works (1973B)
Number changed from 66.400 (1973B)
Number changed to 66.418 (1974B)

66.017

Water Pollution Control_State and Interstate Program Grants (1973B)
Number changed from 66.407 (1973B)
Number changed to 66.419 (1974B)

66.018

Water Pollution Control_State and Local Manpower Development (1973B)
Number changed from 66.408 (1973B)
Number changed to 66.420 (1974B)

66.019

Water Pollution Control_Technical Assistance and Criteria Development (1973B)
Number changed from 66.409 (1973B)
Archived (1974B)

66.020

Water Pollution Control_Training Grants (1973B)
Number changed from 66.410 (1973B)
Number changed to 66.421 (1974B)

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66.021

Water Quality Control_Monitoring Assistance (1973B)

Number changed from 66.411 (1973B)

Number changed to 66.422 (1974B)

66.022

Water Quality Control Information System_Orientation and Training Seminars (1973B)

Number changed from 66.412 (1973B)

Number changed to 66.423 (1974B)

66.023

Water Quality Management Technical Planning Assistance (1973B)

Number changed from 66.413 (1973B)

Archived (1974B)

66.025

Drinking Water Supply_Technical Assistance (1973B)

Number changed from 66.415 (1973B)

Number changed to 66.425 (1974B)

66.026

Solid Waste Training Grants (1975B)

Solid Waste Disposal Training Grants (1976B)

Number changed to 66.453 (1977U)

66.027

Solid Waste Demonstration and Planning Grants (1975B)

Solid Waste Planning Grants (1975U)

Split into 66.027, 66.028 (1975U)

Solid Waste Disposal Planning Grants (1976B)

Number changed to 66.451 (1977U)

66.028

Solid Waste Demonstration Grants (1975U)

Split from 66.027 (1975U)

Solid Waste Disposal Demonstration Grants (1976B)

Number changed to 66.452 (1977U)

66.030

Noise Pollution Control_Technical Assistance (1976B)

Archived (1981U)

66.031

Quiet Communities_State and Local Capacity Building Assistance (1979B)

Archived (1981U)

66.032

State Indoor Radon Grants (1989U)

State Indoor Radon Grants (2018)

State Indoor Radon Grants (2019)

State Indoor Radon Grants (2020)

State Indoor Radon Grants (2021)

66.033

Ozone Transport (1997U)

Ozone Transport Commission (2006)

Ozone Transport Commission (2018)

Ozone Transport Commission (2019)

Ozone Transport Commission (2020)

Ozone Transport Commission (2021)

66.034

Surveys, Studies Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2002B)

Surveys Studies, Investigations Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2003B)

Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005)

Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005)

Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2008)

Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2018)

Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2019)

Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2020)

Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2021)

66.035

Community Action for a Renewed Environment (CARE) Program (2005)

Archived (2014)

66.036

Clean School Bus USA (2005)

Archived (2011)

66.037

Internships, Training, Workshops and Fellowships for the Office of Air and Radiation (2006)

Internships, Training and Workshops for the Office of Air and Radiation (2008)

Internships, Training and Workshops for the Office of Air and Radiation (2018)

Internships, Training and Workshops for the Office of Air and Radiation (2019)

Internships, Training and Workshops for the Office of Air and Radiation (2020)

Internships, Training and Workshops for the Office of Air and Radiation (2021)

66.038

Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (2008)

Archived (2012)

Reinstated (2012)

Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (2018)

Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (2019)

Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (2020)

Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (2021)

66.039

National Clean Diesel Funding Assistance Program (2008)

National Clean Diesel Emissions Reduction Program (2010)

National Clean Diesel Emissions Reduction Program (2018)

National Clean Diesel Emissions Reduction Program (2019)

To: Diesel Emission Reduction Act (DERA) National GrantsFrom: National Clean Diesel Emissions Reduction Program (2020)

Diesel Emission Reduction Act (DERA) National Grants (2020)

Diesel Emission Reduction Act (DERA) National Grants (2021)

66.040

State Clean Diesel Grant Program (2008)

State Clean Diesel Grant Program (2018)

State Clean Diesel Grant Program (2019)

To: Diesel Emissions Reduction Act (DERA) State GrantsFrom: State Clean Diesel Grant Program (2020)

Diesel Emissions Reduction Act (DERA) State Grants (2020)

Diesel Emissions Reduction Act (DERA) State Grants (2021)

66.041

Climate Showcase Communities Grant Program (2009)

Archived (2012)

Reinstated (2013)

Archived (2014)

Reinstated (2016)

Climate Showcase Communities Grant Program (2018)

Archived (2019)

66.042

Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (2010)

Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (2018)

Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (2019)

Temporally Integrated Monitoring of Ecosystems

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(TIME) and Long-Term Monitoring (LTM) Program (2020) Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (2021)	Projects (2009) Archived (2011)	66.126 THE SAN FRANCISCO BAY WATER QUALITY IMPROVEMENT FUND (2010) The San Francisco Bay Water Quality Improvement Fund (2018) The San Francisco Bay Water Quality Improvement Fund (2019) The San Francisco Bay Water Quality Improvement Fund (2020) The San Francisco Bay Water Quality Improvement Fund (2021)
66.043 Regional Healthy Indoor Air Projects for Community Outreach and Education, Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (2013) Archived (2016)	66.119 West Coast Estuaries Initiative (2008) Archived (2013)	66.127 Southeastern U.S. Regional Targeted Watershed Initiative (2011) Archived (2013)
66.050 Potomac Highlands Implementation Grants (2010) Archived (2013)	66.120 Puget Sound Watershed Management Assistance (2009) Archived (2013) Reinstated (2015) Archived (2018)	66.128 Southeastern Multi-Media and Geographic Priority Projects (2012) Archived (2018)
66.100 Pesticides Research Grants (1971B) Transferred from 13.102 (1971B) Number changed to 66.502 (1973B)	66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (2009) Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (2018) Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (2019) Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (2020) Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (2021)	66.129 Southeast New England Coastal Watershed Restoration (2015) Southeast New England Coastal Watershed Restoration (2018) Southeast New England Coastal Watershed Restoration (2019) To: Southeast New England Program (SNEP) for Coastal Watershed Restoration From: Southeast New England Coastal Watershed Restoration (2020) To: Southeast New England Coastal Watershed Restoration Program From: Southeast New England Program (SNEP) for Coastal Watershed Restoration (2020) Southeast New England Coastal Watershed Restoration Program (2020) Southeast New England Coastal Watershed Restoration Program (2021)
66.101 Pesticides Science and Technology_Technical Information (1971U) Number changed to 66.427 (1974B)	66.122 Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (2009) Archived (2016)	66.130 Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (2017) Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (2018) Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (2019) Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (2020) Gulf Coast Ecosystem Restoration Council Comprehensive Plan Component (2021)
66.110 Healthy Communities Grant Program (2005) Healthy Communities Grant Program (2018) Healthy Communities Grant Program (2019) Healthy Communities Grant Program (2020) Healthy Communities Grant Program (2021)	66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (2009) Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (2018) Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (2019) Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (2020) Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (2021)	66.200 Radiation Research Grants (1971B) Split/Transferred from 13.012 (1971B) Number changed to 66.503 (1973B)
66.111 Regional Environmental Priority Projects (2005) Archived (2011)	66.124 Coastal Wetlands Planning Protection and Restoration Act (2010) Coastal Wetlands Planning Protection and Restoration Act (2018) Coastal Wetlands Planning Protection and Restoration Act (2019) Coastal Wetlands Planning Protection and Restoration Act (2020) Coastal Wetlands Planning Protection and Restoration Act (2021)	66.201 Radiation Training Grants (1971B) Split/Transferred from 13.013 (1971B) Archived (1973U)
66.112 Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for Regional Geographic Initiatives (2008) Archived (2009)		66.202 Congressionally Mandated Projects (2006) Congressionally Mandated Projects (2018) Congressionally Mandated Projects (2019)
66.113 Region 3 Environmental Priority Projects (2006) Archived (2013)		
66.114 Environmental Protection Consolidated Grants-Program Support (2006)		
66.115 Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for EPA Region 10 Regional Geographic Initiative (2006)		
66.116 Regional Geographic Initiative/Environmental Priority Projects (2006) Archived (2009)		
66.117 Region 9 multi-media and geographic priority projects (2007) Region 9 Multi-Media and Geographic Priority		

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Congressionally Mandated Projects (2020)
Congressionally Mandated Projects (2021)

66.203

Environmental Finance Center Grants (2008)
Environmental Finance Center Grants (2018)
Environmental Finance Center Grants (2019)
Environmental Finance Center Grants (2020)
Environmental Finance Center Grants (2021)

66.204

Multipurpose Grants to States and Tribes (2016)
Multipurpose Grants to States and Tribes (2018)
Multipurpose Grants to States and Tribes (2019)
Multipurpose Grants to States and Tribes (2020)
Multipurpose Grants to States and Tribes (2021)

66.300

Solid Waste Demonstration and Resource Recovery System Grants (1971B)
Transferred from 13.014 (1971B)
Archived (1973B)

66.301

Solid Waste Planning Grants (1971B)
Transferred from 13.015 (1971B)
Archived (1973B)

66.302

Solid Waste Research Grants (1971B)
Transferred from 13.016 (1971B)
Number changed to 66.504 (1973B)

66.303

Solid Waste Training Grants (1971B)
Transferred from 13.017 (1971B)
Archived (1973B)

66.304

Solid Waste Technical Assistance, Training, and Information Services (1971U)
Number changed to 66.011 (1974B)

66.305

Compliance Assistance_Support for Services to the Regulated Community and Other Assistance Providers (2002U)
66.476 Security Planning Grants for Large Drinking Water Utilities (2002U)
Reinstated - Compliance Assistance_Support for Services to the Regulated Community and Other Assistance Providers (2003)
Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005)
Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005)
Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2018)
Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2019)
Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2020)

Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2021)

66.306

Environmental Justice Collaborative Problem-Solving Grants Program (2004)
Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2005)
Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2005)
Archived (2009)
Reinstated (2013)
Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2018)
Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2019)
Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2020)
Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2021)
Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2021)

66.307

Environmental Justice Training and Fellowship Assistance (2005)

66.308

Environmental Justice Research Assistance (2005)

66.309

Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (2005)
Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (2011)
Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (2018)
Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (2019)
Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (2020)
Surveys, Studies, Investigations, Training and Special Purpose Activities Relating to Environmental Justice (2021)

66.310

Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (2005)
Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (2018)
Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (2019)
Archived (2020)

66.311

Assistance to Non-Profit Organizations with Activities Relating to Environmental Justice (2006)

66.312

State Environmental Justice Cooperative Agreement Program (2008)
Archived (2011)
Reinstated (2020)
State Environmental Justice Cooperative Agreement Program (2020)
State Environmental Justice Cooperative Agreement Program (2020)
State Environmental Justice Cooperative Agreement Program (2020)
State Environmental Justice Cooperative Agreement Program (2021)

66.313

International Compliance and Enforcement Projects (2010)
International Compliance and Enforcement Projects (2019)
International Compliance and Enforcement Projects (2020)
International Compliance and Enforcement Projects (2021)

66.400

Construction Grants for Wastewater Treatment Works (1971B)
Transferred from 15.700 (1971B)
Number changed to 66.015 (1973B)

66.401

Water Pollution Control Comprehensive Basin Planning Grants (1971B)
Transferred from 15.701 (1971B)
Water Pollution Control_Comprehensive Basin Planning Grants (1972B)
Archived (1973B)

66.402

Water Pollution Control Data Publications Services (1971B)
Transferred from 15.702 (1971B)
Number changed to 66.012 (1973B)

66.403

Water Pollution Control_Direct Training (1971B)
Transferred from 15.703 (1971B)
Number changed to 66.013 (1973B)

66.404

Water Pollution Control_Interstate Cooperation and Uniform Laws (1971B)
Transferred from 15.704 (1971B)
Number changed to 66.014 (1973B)

66.405

Water Pollution Control_Research, Development, and Demonstration Grants (1971B)
Transferred from 15.705 (1971B)
Water Pollution Control_Research, Development, and Demonstration (1972B)
Number changed to 66.505 (1973B)

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66.406

Water Pollution Control Research Fellowships (1971B)
Transferred from 15.706 (1971B)
Archived (1973B)

66.407

Water Pollution Control_State and Interstate Program Grants (1971B)
Transferred from 15.707 (1971B)
Number changed to 66.017 (1973B)

66.408

Water Pollution Control_State and Local Manpower Development (1971B)
Transferred from 15.708 (1971B)
Number changed to 66.018 (1973B)

66.409

Water Pollution Control_Technical Assistance and Pollution Surveillance (1971B)
Transferred from 15.709 (1971B)
Number changed to 66.019 (1973B)

66.410

Water Pollution Control Training Grants (1971B)
Transferred from 15.710 (1971B)
Number changed to 66.020 (1973B)

66.411

Water Quality Control Information System (1971B)
Transferred from 15.711 (1971B)
Number changed to 66.021 (1973B)

66.412

Water Quality and Pollution Control_Orientation and Training Seminars (1971B)
Transferred from 15.712 (1971B)
Number changed to 66.022 (1973B)

66.413

Water Quality Management Technical Planning Assistance (1971B)
Transferred from 15.713 (1971B)
Number changed to 66.023 (1973B)

66.414

Water Hygiene Research Grants (1971B)
Transferred from 13.018 (1971B)
Incorporated into 66.405 (1972B)

66.415

Drinking Water Supply_Technical Assistance (1972B)
Number changed to 66.025 (1973B)

66.416

Water Pollution Control_Data Publications Services (1974B)
Number changed from 66.012 (1974B)
Incorporated into 66.423 (1977B)

66.417

Water Pollution Control_Direct Training (1974B)
Number changed from 66.013 (1974B)
Archived (1982B)

66.418

Construction Grants for Wastewater Treatment Works (1974B)
Number changed from 66.015 (1974B)
Archived (1992B)
Reinstated (2000U)
Construction Grants for Wastewater Treatment Works (2018)
Construction Grants for Wastewater Treatment Works (2019)
Construction Grants for Wastewater Treatment Works (2020)
Construction Grants for Wastewater Treatment Works (2021)

66.419

Water Pollution Control_State and Interstate Program Grants (1974B)
Number changed from 66.017 (1974B)
Water Pollution Control State and Interstate Program Support (1985U)
Water Pollution Control State and Interstate Program Support (2004)
Water Pollution Control State and Interstate Program Support (2004)
Water Pollution Control State, Interstate, and Tribal Program Support (2006)
Water Pollution Control State, Interstate, and Tribal Program Support (2018)
Water Pollution Control State, Interstate, and Tribal Program Support (2019)
Water Pollution Control State, Interstate, and Tribal Program Support (2020)
Water Pollution Control State, Interstate, and Tribal Program Support (2021)

66.420

Water Pollution Control_State and Local Manpower Development (1974B)
Number changed from 66.018 (1974B)
Water Pollution Control_State and Local Manpower Program Development (1976B)
Archived (1981U)

66.421

Water Pollution Control_Training Grants (1974B)
Number changed from 66.020 (1974B)
Split into 66.428, 66.429 (1974U)

66.422

Water Quality Control_Monitoring Assistance (1974B)
Number changed from 66.021 (1974B)
Incorporated into 66.423 (1977B)

66.423

Water Quality Control Information System_Orientation and Training Seminars (1974B)
Number changed from 66.022 (1974B)
Water Quality Control Information System_Orientation/Training Seminars, Data and Monitoring Publications (1977B)
Archived (1997B)

66.424

Surveys, Studies, Demonstrations and Special

Purpose_Section 1442 of the Safe Drinking Water Act (2003B)

Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004)
Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (2008)
Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (2018)
Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (2019)
Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (2020)
Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (2021)

66.425

Drinking Water Supply_Technical Assistance (1974B)
Number changed from 66.025 (1974B)
Archived (1983U)

66.426

Water Pollution Control_Area Waste Treatment Management Planning Grants (1974B)
Water Pollution Control_State and Areawide Waste Treatment Management Planning Grants (1976U)
Water Pollution Control_State and Areawide Water Quality Management Planning Agency (1977B)
Archived (1983B)

66.427

Pesticides Science and Technology_Technical Information (1974B)
Number changed from 66.101 (1974B)
Archived (1979B)

66.428

Water Pollution Control_Professional Training Grants (1974U)
Split from 66.421 (1974U)
Archived (1982B)

66.429

Water Pollution Control_Technical Training Grants (1974U)
Split from 66.421 (1974U)
Archived (1981B)

66.430

Water Pollution Control Fellowships (1974U)
Archived (1981U)

66.431

Water Pollution Control Loans (1974U)
Transferred/Incorporated into 59.024 (1979B)

66.432

Grants for State Public Water System Supervision Programs (1975U)
Public Water System Supervision_State Program Grants (1976B)

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State Public Water System Supervision Program Grants (1976U)	Archived (1998B)	Technical Assistance for Treatment Works (CWA 104(b)(8)) (2020)
State Public Water System Supervision (1985U)	66.439	To: Technical Assistance for Treatment Works (Clean Water Act [CWA] Section 104(b)(8))From: Technical Assistance for Treatment Works (CWA 104(b)(8)) (2021)
State Public Water System Supervision (2018)	Targeted Watershed Initiative (2003B)	Technical Assistance for Treatment Works (Clean Water Act [CWA] Section 104(b)(8)) (2021)
State Public Water System Supervision (2019)	Targeted Watershed Grants (2004)	
State Public Water System Supervision (2020)	Targeted Watersheds Grants (2006)	
State Public Water System Supervision (2021)	Archived (2017)	
66.433	66.440	66.447
Grants for Underground Injection Control Programs (1975U)	Urban Waters Small Grants (2011)	Sewer Overflow and Stormwater Reuse Municipal Grant Program (2021)
Underground Water Source Protection_State Program Grants (1976B)	Urban Waters Small Grants (2018)	Sewer Overflow and Stormwater Reuse Municipal Grant Program (2021)
State Underground Water Source Protection Program Grants (1976U)	Urban Waters Small Grants (2019)	
State Underground Water Source Protection_Program Grants (1981B)	Urban Waters Small Grants (2020)	
State Underground Water Source Protection (1985U)	Urban Waters Small Grants (2021)	
State Underground Water Source Protection (2018)		
State Underground Water Source Protection (2019)	66.441	66.448
State Underground Water Source Protection (2020)	Healthy Watersheds Consortium Grant Program (2014)	Drinking Water System Infrastructure Resilience and Sustainability Program – SDWA 1459A(l) (2021)
State Underground Water Source Protection (2021)	Healthy Watersheds Consortium Grant Program (2018)	
	Healthy Watersheds Consortium Grant Program (2019)	66.450
	Healthy Watersheds Consortium Grant Program (2020)	Solid Waste Management Technical Assistance and Information Services (1977U)
	Healthy Watersheds Consortium Grant Program (2021)	Number changed from 66.011 (1977U)
66.434		Archived (1981U)
Safe Drinking Water_State and Local Program Development Grants (1976U)		
Archived (1979B)		
	66.442	66.451
66.435	Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A) (2019)	Solid and Hazardous Waste Management Program Support Grants (1977U)
Water Pollution Control_Lake Restoration Demonstration Grants (1976U)	Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A) (2020)	Number changed from 66.027 (1977U)
Water Pollution Control_Lake Restoration Cooperative Agreements (1980U1)	Assistance for Small and Disadvantaged Communities Drinking Water Grant Program (SDWA 1459A) (2021)	Hazardous Waste Management Program Support Grants (1981U)
Archived (1981U)		Hazardous Waste Management Financial Assistance to States (1982B)
Reinstated (1987U)		Number changed to 66.801 (1983B)
Archived (1998B)		
	66.443	66.452
66.436	Reducing Lead in Drinking Water (SDWA 1459B) (2019)	Solid Waste Management Demonstration Grants (1977U)
Surveys, Studies, Investigations, Demonstrations and Training Grants and Cooperative Agreements_Section 1442 of the Clean Water Act (2003B)	Reducing Lead in Drinking Water (SDWA 1459B) (2020)	Number changed from 66.028 (1977U)
Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004)	Reducing Lead in Drinking Water (SDWA 1459B) (2021)	Archived (1981B)
Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (2018)		
Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (2019)	66.444	66.453
Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (2020)	Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d)) (2019)	Solid Waste Management Training Grants (1977U)
Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (2021)	Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d)) (2020)	Number changed from 66.026 (1977U)
	Lead Testing in School and Child Care Program Drinking Water (SDWA 1464(d)) (2021)	Archived (1981U)
	66.445	66.454
66.437	Innovative Water Infrastructure Workforce Development Program (SDWA 1459E) (2020)	Water Quality Management Planning (1983B)
Long Island Sound Program (2004)	Innovative Water Infrastructure Workforce Development Program (SDWA 1459E) (2021)	Water Quality Management Planning (2018)
Long Island Sound Program (2018)		Water Quality Management Planning (2019)
Long Island Sound Program (2019)		Water Quality Management Planning (2020)
Long Island Sound Program (2020)		Water Quality Management Planning (2021)
Long Island Sound Program (2021)		
	66.446	66.455
	Technical Assistance for Treatment Works (CWA 104(b)(8)) (2020)	Marine Combined Sewer Overflow Special Fund (1984B)
66.438	Technical Assistance for Treatment Works (CWA 104(b)(8)) (2020)	Construction Grants for Wastewater Treatment Works for Combined Sewer Overflows (1985U)
Construction Management Assistance Grants (1978U)		Archived (1986B)
Construction Management Assistance (1985U)		Construction Grants for Abatement of Combined Sewer Overflow Pollution in Marine Bays and Estuaries, Reinstated (1988U)
		Archived (1989U)
		66.456
		Comprehensive Estuarine Management (1985U)
		National Estuary Program (1987U)

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National Estuary Program (2018)
National Estuary Program (2018)
National Estuary Program (2019)
National Estuary Program (2020)
National Estuary Program (2021)

66.458

Capitalization Grants for State Revolving Funds (1988B)
Capitalization Grants for Clean Water State Revolving Funds (2003B)
Capitalization Grants for Clean Water State Revolving Funds (2018)
Capitalization Grants for Clean Water State Revolving Funds (2019)
Capitalization Grants for Clean Water State Revolving Funds (2020)
Capitalization Grants for Clean Water State Revolving Funds (2021)

66.459

Nonpoint Source Reservation (1988B)
Archived (1991B)

66.460

Nonpoint Source Implementation (1990B)
Archived (1991B)
Nonpoint Source Implementation Grants (1993B)
Nonpoint Source Implementation Grants (2018)
Nonpoint Source Implementation Grants (2019)
Nonpoint Source Implementation Grants (2020)
Nonpoint Source Implementation Grants (2021)

66.461

Wetlands Protection_State Development Grants (1989U)
Wetlands Protection_State and Tribal Development Grants (1995U)
Wetlands Protection_Development Grants (1997B)
Wetlands Grants (2000U)
Wetland Program Development Grants (2003B)
Regional Wetland Program Development Grants (2005)
Regional Wetland Program Development Grants (2005)
Regional Wetland Program Development Grants (2018)
Regional Wetland Program Development Grants (2019)
Regional Wetland Program Development Grants (2020)
Regional Wetland Program Development Grants (2021)

66.462

National Wetland Program Development Grants (2005)
National Wetland Program Development Grants and Five-Star Restoration Training Grant (2008)
National Wetland Program Development Grants and Five-Star Restoration Training Grant (2018)
National Wetland Program Development Grants and Five-Star Restoration Training Grant (2019)
National Wetland Program Development Grants and Five-Star Restoration Training Grant (2020)
National Wetland Program Development Grants and Five-Star Restoration Training Grant (2021)

66.463

National Pollutant Discharge Elimination System Related State Program Grants (1991U)
Water Quality Cooperative Agreements (2000U)
Archived (2015)

66.464

Near Coastal Waters (1991U)
Archived (1994B)

66.465

Wellhead Protection Demonstration Projects (1994B)
Archived (1995B)

66.466

Chesapeake Bay Program (1994B)
Chesapeake Bay Program (2005)
Chesapeake Bay Program (2005)
Chesapeake Bay Program (2018)
Chesapeake Bay Program (2019)
Chesapeake Bay Program (2020)
Chesapeake Bay Program (2021)

66.467

Wastewater Operator Training Grant Program (Technical Assistance) (1994U)
Wastewater Operator Training Grant Program (2009)
Archived (2016)

66.468

Capitalization Grants for Drinking Water State Revolving Fund (1997B)
Capitalization Grants for Drinking Water State Revolving Funds (2018)
Capitalization Grants for Drinking Water State Revolving Funds (2019)
Capitalization Grants for Drinking Water State Revolving Funds (2020)
Capitalization Grants for Drinking Water State Revolving Funds (2021)

66.469

Great Lakes Program (1997B)
Great Lakes Program (2018)
Great Lakes Program (2019)
Great Lakes Program (2020)
Great Lakes Program (2021)

66.470

Hardship Grants Program for Rural Communities (1997B)
Archived (1998B)

66.471

State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (2001B)
Archived (2014)

66.472

Beach Monitoring and Notification Program Development Grants (2001B)
Beach Monitoring and Notification Program Implementation Grants (2003B)
Beach Monitoring and Notification Program Implementation Grants (2018)

Beach Monitoring and Notification Program Implementation Grants (2019)
Beach Monitoring and Notification Program Implementation Grants (2020)
Beach Monitoring and Notification Program Implementation Grants (2021)

66.473

Direct Implementation Tribal Cooperative Agreements (2001U)
Direct Implementation Tribal Cooperative Agreements (2018)
Direct Implementation Tribal Cooperative Agreements (2019)
Direct Implementation Tribal Cooperative Agreements (2020)
Direct Implementation Tribal Cooperative Agreements (2021)

66.474

Water Protection Coordination Grants to States (2002B)
Water Protection Grants to the States (2003B)
Water Protection Grants to the States (2018)
Water Protection Grants to the States (2019)
Archived (2020)

66.475

Gulf of Mexico Program (2002B)
Gulf of Mexico Program (2018)
Gulf of Mexico Program (2019)
Gulf of Mexico Program (2020)
Gulf of Mexico Program (2021)

66.476

Vulnerability Assessments and Related Security Improvements at Large Drinking Water Utilities (2003B)
Security Planning Grants for Large Drinking Water Utilities (2004)
Deleted (2005)

66.477

Security Grants for Privately-Owned Water Utilities (2002U)

66.478

Water Security Training and Technical Assistance Grant Program (2003B)
Water Security Training and Technical Assistance (2004)
Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (2007)
Archived (2014)
Archived (2014)

66.479

Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (2005)
Archived (2013)

66.480

Assessment and Watershed Protection Program Grants (2005)
Archived (2013)

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66.481

Lake Champlain Basin Program (2005)
 Lake Champlain Basin Program (2018)
 Lake Champlain Basin Program (2019)
 Lake Champlain Basin Program (2020)
 Lake Champlain Basin Program (2021)

66.482

Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (2013)
 Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (2018)
 Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (2019)
 Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (2020)
 Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants For Clean Water State Revolving Funds (2021)

66.483

Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (2013)
 Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (2018)
 Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (2019)
 Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (2020)
 Disaster Relief Appropriations Act (DRAA)
 Hurricane Sandy Capitalization Grants for Drinking Water State Revolving Funds (2021)

66.484

South Florida Geographic Initiatives Program (2020)
 South Florida Geographic Initiatives Program (2021)

66.500

Environmental Protection_Comprehensive Research Grants (1972U)
 Environmental Protection_Consolidated Research Grants (1974U)
 Environmental Protection_Consolidated Research (1985U)
 Consolidated from 66.501, 66.502, 66.504, 66.505, 66.506, 66.507 (1997B)
 Environmental Protection Consolidated Research (2002B)
 Environmental Protection_Consolidated Research (2003B)
 Deleted (2005)
 Deleted (2005)

66.501

Air Pollution Control Research Grants (1973B)
 Number changed from 66.004 (1973B)
 Air Pollution Control Research (1985U)
 Consolidated into 66.500 (1997B)

66.502

Pesticides Research Grants (1973B)
 Number changed from 66.100 (1973B)
 Pesticides Control Research Grants (1976B)
 Pesticides Control Research (1985U)
 Consolidated into 66.500 (1997B)

66.503

Radiation Research Grants (1973B)
 Number changed from 66.200 (1973B)
 Archived (1977B)

66.504

Solid Waste Research Grants (1973B)
 Number changed from 66.302 (1973B)
 Solid Waste Disposal Research Grants (1976B)
 Solid Waste Disposal Research (1985U)
 Consolidated into 66.500 (1997B)

66.505

Water Pollution Control_Research, Development, and Demonstration (1973B)
 Number changed from 66.405 (1973B)
 Water Pollution Control_Research, Development, and Demonstration Grants (1975B)
 Wastewater Pollution Control_Research, Development, and Demonstration (1985U)
 Consolidated into 66.500 (1997B)

66.506

Water Supply Research Grants (1973B)
 Water Research and Demonstration Grants (1975U)
 Safe Drinking Water Research and Demonstration (1985U)
 Consolidated into 66.500 (1997B)

66.507

Toxic Substances Research Grants (1978B)
 Toxic Substances Research (1985U)
 Consolidated into 66.500 (1997B)

66.508

Senior Environmental Employment Program (1986B)
 Senior Environmental Employment Program (2018)
 Senior Environmental Employment Program (2019)
 Senior Environmental Employment Program (2020)
 Senior Environmental Employment Program (2021)

66.509

Science To Achieve Results (STAR) Program (2002U)
 Science To Achieve Results (STAR) Research Program (2005)
 Science To Achieve Results (STAR) Research Program (2005)
 Science To Achieve Results (STAR) Research Program (2018)
 Science To Achieve Results (STAR) Research Program (2019)
 Science To Achieve Results (STAR) Research Program (2020)
 Science To Achieve Results (STAR) Research Program (2021)

66.510

Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and

Development (2003B)
 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (2018)
 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (2019)
 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (2020)
 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (2021)

66.511

Consolidated Research (2002U)
 66.511, 66.610 Surveys, Studies, Investigations and Special Purpose Grants (2002U)
 Office of Research and Development Consolidated Research (2003)
 Office of Research and Development Consolidated Research/Training (2005)
 Office of Research and Development Consolidated Research/Training (2005)
 Office of Research and Development Consolidated Research/Training/Fellowships (2008)
 Office of Research and Development Consolidated Research/Training/Fellowships (2018)
 Office of Research and Development Consolidated Research/Training/Fellowships (2019)
 Office of Research and Development Consolidated Research/Training/Fellowships (2020)
 Office of Research and Development Consolidated Research/Training/Fellowships (2021)

66.512

Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (2005)
 Archived (2011)

66.513

Greater Research Opportunities Fellowship Program (2004)
 Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study (2006)
 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (2009)
 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (2018)
 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (2019)
 Archived (2020)

66.514

Science To Achieve Results (STAR) Fellowship Program (2004)
 Science To Achieve Results (STAR) Fellowship Program (2018)
 Science To Achieve Results (STAR) Fellowship Program (2019)
 Science To Achieve Results (STAR) Fellowship Program (2020)
 Science To Achieve Results (STAR) Fellowship Program (2021)

66.515

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Support (2021)

66.802

Hazardous Substance Response Trust Fund (1983B)
Superfund State Site-Specific Cooperative Agreements (1994U)
Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2002B)
Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2009)
Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2018)
Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2018)
Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2019)
Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2020)
Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2021)

66.803

Hazardous Waste Management Financial Assistance (1984U)
Archived (1985B)

66.804

State Underground Storage Tanks Program (1985U)
State and Tribal Underground Storage Tanks Program (2000B)
Underground Storage Tank Prevention, Detection and Compliance Program (2009)
Underground Storage Tank Prevention, Detection and Compliance Program (2018)
To: Underground Storage Tank (UST) Prevention, Detection, and Compliance Program
From: Underground Storage Tank Prevention, Detection and Compliance Program (2019)
Underground Storage Tank (UST) Prevention, Detection, and Compliance Program (2019)
Underground Storage Tank (UST) Prevention, Detection, and Compliance Program (2020)
Underground Storage Tank (UST) Prevention, Detection, and Compliance Program (2021)

66.805

Underground Storage Tank Trust Fund Program (1987B)
Leaking Underground Storage Tank Trust Fund Program (1995B)
Leaking Underground Storage Tank Trust Fund (2002B)
Leaking Underground Storage Tank Trust Fund Program (2003B)
Leaking Underground Storage Tank Trust Fund Corrective Action Program (2009)
Leaking Underground Storage Tank Trust Fund Corrective Action Program (2018)
Leaking Underground Storage Tank Trust Fund Corrective Action Program (2019)
Leaking Underground Storage Tank Trust Fund Corrective Action Program (2020)
Leaking Underground Storage Tank Trust Fund Corrective Action Program (2021)

66.806

Superfund Technical Assistance Grants for Citizen

Groups at Priority Sites (1988B)

Superfund Technical Assistance Grants (Tag) for Citizen Groups at Priority Sites (2002B)
Superfund Technical Assistance Grants for Citizen Groups at Priority Sites (2003B)
Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (2004)
Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (2018)
Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (2019)
Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (2020)
Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (2021)

66.807

Superfund Innovative Technology Evaluation Program (1988B)
Deleted (2004)

66.808

Solid Waste Management Assistance (1989U)
Solid Waste Management Assistance Grants (2005)
Solid Waste Management Assistance Grants (2005)
Solid Waste Management Assistance Grants (2018)
Solid Waste Management Assistance Grants (2019)
Solid Waste Management Assistance Grants (2020)
Solid Waste Management Assistance Grants (2021)

66.809

Core Program Cooperative Agreements (1991B)
Superfund State Core Program Cooperative Agreements (1994U)
Superfund State and Indian Tribe Core Program Cooperative Agreements (2002B)
Superfund State and Indian Tribe Core Program Cooperative Agreements (2003B)
Superfund State and Indian Tribe Core Program Cooperative Agreements (2018)
Superfund State and Indian Tribe Core Program Cooperative Agreements (2018)
Superfund State and Indian Tribe Core Program Cooperative Agreements (2019)
Superfund State and Indian Tribe Core Program Cooperative Agreements (2020)
Superfund State and Indian Tribe Core Program Cooperative Agreements (2021)

66.810

Emergency Planning and Community Right-to-Know Technical Assistance Grants (1993U)
Technical Assistance Grants Program (1994U)
CEPP Technical Assistance Grants Program (1995U)
Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (2003B)
Archived (2014)

66.811

Brownfield Pilots Cooperative Agreements (1997B)
Revised (1998U)

66.812

Hazardous Waste Management Grants for Tribes (2002B)
Tribal Hazardous Waste Grants (2003B)
Hazardous Waste Management Grant Program for Tribes (2005)
Hazardous Waste Management Grant Program for Tribes (2005)
Hazardous Waste Management Grant Program for Tribes (2018)
Hazardous Waste Management Grant Program for Tribes (2019)
Hazardous Waste Management Grant Program for Tribes (2020)
Hazardous Waste Management Grant Program for Tribes (2021)

66.813

Alternative/Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (2002U)
Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (2018)
Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (2019)
Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (2020)
Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (2021)

66.814

Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (2003B)
Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (2018)
Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (2019)
Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (2020)
Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (2021)

66.815

Brownfield Job Training Cooperative Agreements (2003B)
Environmental Workforce Development and Job Training Cooperative Agreements (2011)
Environmental Workforce Development and Job Training Cooperative Agreements (2018)
Environmental Workforce Development and Job Training Cooperative Agreements (2019)
Environmental Workforce Development and Job Training Cooperative Agreements (2020)
To: Brownfields Job Training
From: Environmental Workforce Development and Job Training
Cooperative Agreements (2021)
To: Environmental Workforce Development and Job

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Training Cooperative AgreementsFrom: Brownfields Job Training (2021)

To: Brownfields Job Training Cooperative AgreementsFrom: Environmental Workforce Development and Job Training Cooperative Agreements (2021)

Brownfields Job Training Cooperative Agreements (2021)

66.816

Headquarters and Regional Underground Storage Tanks (2002U)

Headquarters and Regional Underground Storage Tanks Program (2005)

Headquarters and Regional Underground Storage Tanks Program (2005)

Headquarters and Regional Underground Storage Tanks Program (2005)

Headquarters and Regional Underground Storage Tanks Program (2018)

Headquarters and Regional Underground Storage Tanks Program (2019)

Headquarters and Regional Underground Storage Tanks Program (2020)

Headquarters and Regional Underground Storage Tanks Program (2021)

66.817

State and Tribal Response Program Grants (2002U)

State and Tribal Response Program Grants (2018)

State and Tribal Response Program Grants (2019)

State and Tribal Response Program Grants (2020)

State and Tribal Response Program Grants (2021)

66.818

Brownfield Assessment and Cleanup Cooperative Agreements (2002U)

Brownfields Assessment and Cleanup Cooperative Agreements (2018)

Brownfields Assessment and Cleanup Cooperative Agreements (2019)

To: Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Cooperative AgreementsFrom: Brownfields Assessment and Cleanup Cooperative Agreements (2020)

Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Cooperative Agreements (2020)

Brownfields Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Cooperative Agreements (2021)

66.819

Disaster Relief Appropriations Act (DRAA)

Hurricane Sandy Leaking Underground Storage Tank Trust Fund Corrective Action Program (2013)

Archived (2018)

66.900

Pollution Prevention Incentives for States (1989U)

Pollution Prevention Grants Program (1991U)

Number changed to 66.708 (1994B)

66.925

State/EPA Data Management Financial Assistance Program (1991B)

Archived (1993U)

66.926

Indian Environmental General Assistance Program (1993U)

Indian Environmental General Assistance Program (GAP) (2003B)

Indian Environmental General Assistance Program (GAP) (2018)

Indian Environmental General Assistance Program (GAP) (2019)

Indian Environmental General Assistance Program (GAP) (2020)

Indian Environmental General Assistance Program (GAP) (2021)

66.930

U.S.-Mexico Border Grants Program (1997B)

Archived (2002B)

66.931

International Financial Assistance Projects (2002U) Agency Request (2009)

International Financial Assistance Projects Sponsored by the Office of International Affairs (2009)

International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (2010)

International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (2018)

International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (2019)

International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (2020)

International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (2021)

66.940

Environmental Policy and State Innovation Grants (2005)

Environmental Policy and State Sustainability Grants (2010)

Archived (2012)

66.950

Environmental Education and Training Program (1992B)

Environmental Education Training Program (2009)

National Environmental Education Training Program (2010)

National Environmental Education Training Program (2018)

National Environmental Education Training Program (2019)

National Environmental Education Training Program (2020)

National Environmental Education Training Program (2021)

66.951

Environmental Education Grants (1992B)

Environmental Education Grants (2018)

Environmental Education Grants (2019)

Environmental Education Grants (2020)

Environmental Education Grants (2021)

66.952

National Network for Environmental Management Studies Fellowship Program (2005)

Archived (2016)

66.953

Building Capacity to Implement EPA National Guidelines for School Environmental Health Programs (2011)

Archived (2012)

66.954

Tribal ecoAmbassadors (2016)

Archived (2017)

66.956

Targeted Air Sheds Grant Program (2018)

Targeted Air Sheds Grant Program (2019)

To: Targeted Airshed Grant ProgramFrom: Targeted Air Sheds Grant Program (2020)

Targeted Airshed Grant Program (2020)

Targeted Airshed Grant Program (2021)

66.958

Water Infrastructure Finance and Innovation (WIFIA) (2019)

Water Infrastructure Finance and Innovation (WIFIA) (2020)

Water Infrastructure Finance and Innovation (WIFIA) (2021)

66.961

Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core) (2019)

Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core) (2019)

Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core) (2020)

Superfund State and Indian Tribe Combined Cooperative Agreements (Site-Specific and Core) (2021)

66.962

Columbia River Basin Restoration (CRBR) Program (2019)

Columbia River Basin Restoration (CRBR) Program (2020)

Columbia River Basin Restoration (CRBR) Program (2021)

66.963

Healthy Environmental Living Program (HELP) (2021)

Healthy Environmental Living Program (HELP) (2021)

66.964

Chesapeake Bay Program Implementation, Regulatory/Accountability and Monitoring Grants (2021)

Chesapeake Bay Program Implementation, Regulatory/Accountability and Monitoring Grants

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(2021)

NATIONAL GALLERY OF ART

68.001

National Gallery of Art Extension Service (1971B)
Archived (2017)

OVERSEAS PRIVATE INVESTMENT CORPORATION

70.001

Foreign Currency Loans (1971B)
Transferred from 19.001 (1971B)
Archived (1979B)

70.002

Foreign Investment Guaranties (1971B)
Transferred from 19.004 (1971B)
Foreign Investment Financing (1994B)

70.003

Foreign Investment Insurance (1971B)
Transferred from 19.005 (1971B)

70.004

Pre-Investment Assistance (1971B)
Archived (1986U)

70.005

Direct Investment Loans (1971B)
Consolidated into 70.002 (1994B)

NUCLEAR REGULATORY COMMISSION

77.001

Radiation Control_Training Assistance and Advisory Counseling (1975B)
Transferred from 24.027 (1975B)
Deleted (2004)

77.002

Radiological Emergency Response_Training Assistance (1979B)
Transferred to 83.402 (1980B)

77.003

Enhance Technology Transfer and Dissemination of Nuclear Energy Process and Safety Information (1980U2)
Archived (1995U)
Reinstated (1997B)
Archived (1998B)

77.004

Enhance Technology Advancement of Nuclear Energy Safety (1980U2)
Archived (1982B)

77.005

Financial Assistance for NRC Local Public Document Rooms (LPDRs) (1990B)
Deleted (2004)

77.006

U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (2006)
U. S. Nuclear Regulatory Commission Nuclear

Education Grant Program (2019)

77.007

U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (2008)
U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (2019)

77.008

U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (2007)
U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (2019)

77.009

Office of Research Program (2009)
U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (2009)
U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (2019)

COMMODITY FUTURES TRADING COMMISSION

78.001

Agricultural Futures Markets Supervision (1975U)
Transferred from 10.100 (1975U)
Split into 78.002, 78.004 (1977B)

78.002

Commodity Futures Market Reports (1977B)
Split from 78.001 (1977B)
Archived (1985U)

78.003

Commodity Futures Consumer Information (1977B)
Archived (1981B)

78.004

Commodity Futures Customer Inquiries, Complaints, and Reparation Claims (1977B)
Split from 78.001 (1977B)
Commodity Futures Reparations Claims (1979B)
Archived (2017)

ENERGY, DEPARTMENT OF

81.001

Access Permits (1978B)
Transferred from 24.001 (1978B)
Archived (1979U1)

81.002

Motion Picture Film Libraries (1978B)
Transferred from 24.002 (1978B)
Archived (1983B)

81.003

Granting of Patent Licenses (1978B)
Transferred from 24.003 (1978B)
Archived (2018)

81.004

University-Laboratory Cooperative Program (1978B)
Transferred from 24.004 (1978B)
Consolidated from 81.097 (1997B)
Archived (1998B)

81.005

Environmental Monitoring, Independent Research, Technical Analysis (2018)
Environmental Monitoring, Independent Research, Technical Analysis (2019)
(2019)
Environmental Monitoring, Independent Research, Technical Analysis (2019)
Environmental Monitoring, Independent Research, Technical Analysis (2020)
Environmental Monitoring, Independent Research, Technical Analysis (2021)

81.007

Faculty Training Institutes, Short Courses, and Workshops on Energy and Environmental Subjects (1978B)
Transferred from 24.007 (1978B)
Faculty Development Projects in Energy (1978U)
Teacher Development Projects in Energy (1979B)
Archived (1983U)

81.008

Cybersecurity, Energy Security & Emergency Response (CESER) (2019)
Cybersecurity, Energy Security & Emergency Response (CESER) (2020)
Cybersecurity, Energy Security & Emergency Response (CESER) (2021)

81.009

Molybdenum-99 Program (2020)
Molybdenum-99 Program (2021)

81.010

Office of Technology Transitions (OTT)-Technology Deployment, Demonstration and Commercialization (2020)
Office of Technology Transitions (OTT)-Technology Deployment, Demonstration and Commercialization (2021)

81.011

Reactor Sharing and Fuel Assistance (1978B)
Transferred from 24.011 (1978B)
University Reactor Fuel Assistance (1980B)
University Reactor Sharing and Fuel Assistance (1980U1)
Consolidated into 81.049 (1992B)

81.020

Traineeships for Graduate Students in Energy Related Fields (1978B)
Transferred from 24.020 (1978B)
Archived (1979B)

81.022

Energy-Related Laboratory Equipment Grants (1978B)
Transferred from 24.022 (1978B)
Used Energy-Related Laboratory Equipment Grants (1981U)
Laboratory Equipment Donation Program (2011)
Archived (2017)

81.023

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Information Services_Exhibits, Public Speakers, Publications, Reference, and Analysis (1978B) Transferred from 24.023 (1978B) Information Services_Exhibits, Public Speakers, and Publications (1979B) Archived (1983B)	National Energy Information Center (NEIC) (1978B) National Energy Information Center (1980B) Deleted (2007)	Pre-Freshman Engineering for Minorities and Women (1980U2) Archived (1981B) Reinstated (1983U) Pre-Freshman Engineering (1983U) Pre-Freshman Enrichment (1990B) Consolidated into 81.049 (1992B)
81.026 Payments in Lieu of Property Taxes (1978B) Transferred from 24.026 (1978B) Archived (1979U1)	81.040 Grants for Offices of Consumer Services (1978B) Archived (1982B)	81.048 Materials Allocation (1978B) Priorities and Allocations for Energy Programs and Projects (1980B) Archived (1996B)
81.028 Radiological Emergency Assistance (1978B) Transferred from 24.028 (1978B) Archived (1991B)	81.041 State Energy Conservation Program (1978B) Transferred from 80.001 (1978B) State Energy Conservation (1980B) Consolidated from 81.052 (1995U) State Energy Program (1997B) State Energy Program (2018) State Energy Program (2019) State Energy Program (2020) State Energy Program (2021)	81.049 Basic Energy Sciences, High Energy and Nuclear Physics, and Advanced Technology and Assessment Projects (1978B) Transferred/Split from 24.024 (1978B) Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, and Program Analysis (1980B) Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Program Analysis and Field Operations Management (1980U2) Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1981B) Incorporated into 81.049, 81.073, 81.074 (1981U) Basic Energy Sciences, High Energy/Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1983U) Basic Energy Services, High Energy and Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1985B) Basic Energy Sciences_University and Science Education (1991B) Consolidated from 81.011, 81.047 (1992B) Office of Energy Research Financial Assistance Program (1997B) Office of Science Financial Assistance Program (1999B) Office of Science Financial Assistance Program (2018) Office of Science Financial Assistance Program (2019) Office of Science Financial Assistance Program (2020) Office of Science Financial Assistance Program (2021)
81.029 Nuclear Industry Seminars (1978B) Transferred from 24.029 (1978B) Uranium Industry Seminars (1980B) Archived (1983U)	81.042 Weatherization Assistance Program for Low-Income Persons (1978B) Transferred from 80.002 (1978B) Weatherization Assistance for Low-Income Persons (1980B) Weatherization Assistance for Low-Income Persons (2018) Weatherization Assistance for Low-Income Persons (2019) Weatherization Assistance for Low-Income Persons (2020) Weatherization Assistance for Low-Income Persons (2021)	
81.030 Work Experience (1978B) Transferred from 24.030 (1978B) Archived (1980B)	81.043 Supplemental State Energy Conservation Program (1978B) Transferred from 80.003 (1978B) Supplemental State Energy Conservation (1980B) Archived (1983B)	
81.033 Citizens' Workshops (1978B) Transferred from 24.033 (1978B) Archived (1980U1)	81.044 Public Education in Energy (1978B) Archived (1980B)	
81.035 Research and Development in Energy Conservation (1978B) Transferred from 24.035 (1978B) Archived (1980U1)	81.045 Special Studies and Projects in Energy Education and Training (1978B) Archived (1980B)	
81.036 Energy-Related Inventions (1978B) Transferred from 24.036 (1978B) Inventions and Innovations (2001U) Inventions and Innovations (2018) Inventions and Innovations (2019) Inventions and Innovations (2020) Inventions and Innovations (2021)	81.046 Research and Development in Biomedical and Environmental Sciences (1978B) Transferred/Split from 24.034, 24.034 (1978B) Environmental Research and Impact Assessments (1978U) Archived (1980B)	81.050 Energy Extension Service (1978B) Archived (1994B)
81.037 Research and Development_Fission, Fossil, Solar, Geothermal, Electric and Storage Systems, Magnetic Fusion (1978B) Transferred/Consolidated from 24.024, 24.034 (1978B) Research and Development_Fission, Fossil, Solar, Geothermal, Electric and Storage Systems (1980B) Archived (1980U1)	81.047 PREFACE (Pre-Freshman and Cooperative Education for Minorities in Engineering) (1978B) PREFACE (Pre-Freshman and Cooperative Education for Minorities and Women in Engineering) (1978U) Pre-Freshman and Cooperative Education for Minorities and Women in Engineering (1980B) Pre-Freshman Education for Minorities and Women in Engineering (1980U1)	81.051 Appropriate Energy Technology (1979U1) Archived (1985U)
81.038 Energy Information Administration_Clearinghouse (EIAC) (1978B) Energy Information Administration_Clearinghouse (1980B) Archived (1982B)		81.052 Energy Conservation for Institutional Buildings (1979U1) Consolidated into 81.041 (1995U)
81.039		81.053

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Public Utility Regulatory Innovative Rates Support (1979U1)
Archived (1982B)

81.054

Public Utility Regulatory Support (1979U1)
Archived (1981U)

81.055

Small Hydroelectric Power Project Feasibility Studies (1980B)
Archived (1981U)

81.056

Coal Loan Guarantees (1980B)
Archived (1987U)

81.057

University Coal Research (1980B)
University Coal Research (2018)
University Coal Research (2019)
University Coal Research (2020)
University Coal Research (2021)

81.058

Geothermal Loan Guarantees (1980B)
Archived (1983B)

81.059

Clearinghouse for Community Energy Efficiency (1980B)
Archived (1982B)

81.060

Electric and Hybrid Vehicle Loan Guaranties (1980B)
Archived (1983B)

81.061

Oil Shale State Grants (1980U1)
Archived (1981U)

81.062

National Clubs, Organizations and Associations
Energy Conservation Projects (1980U1)
National Clubs, Organizations and Associations
Energy Awareness Projects (1981B)
Archived (1983B)

81.063

Office of Minority Economic Impact Loans (1980U2)
Archived (1993U)

81.064

DOE Technical Information Center (1980U2)
Office of Scientific and Technical Information (1984B)
Archived (2016)

81.065

Research and Development Nuclear Energy Programs (1980U2)
Nuclear Waste Disposal Siting (1983B)
Archived (2013)
Reinstated (2018)
Nuclear Legacy Cleanup Program (2018)
Nuclear Legacy Cleanup Program (2019)

Nuclear Legacy Cleanup Program (2020)
Nuclear Legacy Cleanup Program (2021)

81.066

American Indian Energy Production and Efficiency (1980U2)
Archived (1982B)

81.067

Technical Assistance for Local and Tribal Governments (1980U2)
Archived (1982B)

81.068

Biomass Loan Guarantees (1980U2)
Archived (1982B)

81.069

Gasoline Rationing_State Preimplementation Activities (1980U2)
Archived (1982B)

81.070

Energy Auditor Training and Certification Grants (1980U2)
Archived (1982B)

81.071

Emergency Energy Conservation Act Plans (1980U2)
Archived (1982B)

81.072

Conservation Retrofit Delivery_Research and Development (1981U)
Archived (1982B)

81.073

Geothermal Technologies_Research and Development (1981U)
Split from 81.049 (1981U)
Archived (1982B)

81.074

Alcohol Fuels Loan Guarantees (1981U)
Split from 81.049 (1981U)
Archived (1983B)

81.075

Energy Graduate Traineeship Program (1981U)
Archived (1983U)

81.076

Indian Energy Resources (1983B)
Archived (1986U)

81.077

University Research Instrumentation (1983U)
Archived (1996B)

81.078

Industrial Energy Conservation (1983U)
Consolidated into 81.086 (1992U)

81.079

Biomass Energy Technology (1983U)
Biofuels and Municipal Waste Technology (1985U)

Regional Biomass Programs (1990U)

Regional Biomass Energy Programs (1992U)
Regional Biomass Energy Programs (2018)
Regional Biomass Energy Programs (2019)
Regional Biomass Energy Programs (2020)
Regional Biomass Energy Programs (2021)
Archived (2021)

81.080

Energy Policy, Planning and Development (1983U)
Domestic and International Energy Policy Development (1992B)
Consolidated from 81.088 (1992B)
Policy, Planning and Program Development (1993U)
Office of Policy and Financial Assistance (1995B)
Office of Policy Financial Assistance (1996B)
Archived (1998B)

81.081

Energy Task Force for the Urban Consortium (1983U)
Archived (2001B)

81.082

Management and Technical Assistance for Minority Business Enterprises (1984B)
Deleted (2004)

81.083

Minority Educational Institution Research Travel Fund (1984B)
Archived (1995B)

81.084

Minority Honors Vocational Training (1984B)
Minority Honors Training and Industrial Assistance Program (1988B)
Archived (1994B)

81.085

National Energy Information Clearinghouse (1984B)
National Minority Energy Information Clearinghouse (1985B)
Minority Energy Information Clearinghouse (1992B)
Archived (1997B)

81.086

Conservation Research and Development (1984U)
Consolidated from 81.078 (1992U)
Conservation Research and Development (2018)
Conservation Research and Development (2019)
Conservation Research and Development (2020)
Conservation Research and Development (2021)

81.087

Renewable Energy Research and Development (1984U)
Renewable Energy Research and Development (2018)
Renewable Energy Research and Development (2019)
Renewable Energy Research and Development (2020)
Renewable Energy Research and Development (2021)

81.088

International Affairs and Energy Emergencies (1984U)
Consolidated into 81.080 (1992B)

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81.089

Fossil Energy Research and Development (1984U)
Fossil Energy Research and Development (2018)
Fossil Energy Research and Development (2019)
Fossil Energy Research and Development (2020)
Fossil Energy Research and Development (2021)

81.090

State Heating Oil Grants (1985B)
Archived (1988B)

81.091

Socioeconomic and Demographic Research, Data and Other Information (1985U)
Socioeconomic and Demographic Research, Data Analytic Model Development and Information (1993U)
Archived (1997B)

81.092

Remedial Action and Waste Management (2019)
Remedial Action and Waste Management (2019)
Remedial Action and Waste Management (2020)
Remedial Action and Waste Management (2021)

81.093

Nuclear Energy Policy, Planning and Development (1986U)
Archived (1991B)

81.094

Minority Educational Institution Assistance (1986U)
Archived (1991U)

81.095

Nuclear Energy, Reactor Systems, Development, and Technology (1987B)
Archived (1995B)

81.096

Innovative Clean Coal Technology (1988B)
Clean Coal Technology Program (1990B)
Archived (1994B)

81.097

Science and Engineering Research Semester (1989B)
Consolidated into 81.004 (1997B)

81.098

Minority Undergraduate Training for Energy Related Careers (1991B)
Archived (1995B)

81.099

Minority Math/Science Leadership Development Recognition (1991B)
Archived (1993B)

81.102

Student Driven Research and Long Term Monitoring of Selected Populations in the Valley and Ridge Ecoregion (2019)
Student Driven Research and Long Term Monitoring of Selected Populations in the Valley and Ridge Ecoregion (2019)
Student Driven Research and Long Term Monitoring

of Selected Populations in the Valley and Ridge Ecoregion (2020)
Student Driven Research and Long Term Monitoring of Selected Populations in the Valley and Ridge Ecoregion (2021)

81.103

Technology Integration (1991B)
Consolidated into 81.104 (1993U)

81.104

Technology Development for Environmental Restoration and Waste Management (1992U)
Consolidated from 81.103 (1993U)
Technology Development for Environmental Management (1994B)
Office of Science and Technology for Environmental Management (2000B)
Office of Technology Development and Deployment for Environmental Management (2003B)
81.104 OFFICE OF ENVIRONMENTAL WASTE PROCESSING (2008)
Office of Environmental Waste Processing (2008)
Environmental Remediation and Waste Processing and Disposal (2013)
Environmental Remediation and Waste Processing and Disposal (2018)
Environmental Remediation and Waste Processing and Disposal (2019)
Environmental Remediation and Waste Processing and Disposal (2020)
Environmental Remediation and Waste Processing and Disposal (2021)

81.105

National Industrial Competitiveness through Energy, Environment, and Economics (1993B)
National Industrial Competitiveness through Energy, Environment, and Economics (2018)
National Industrial Competitiveness through Energy, Environment, and Economics (2019)
National Industrial Competitiveness through Energy, Environment, and Economics (2020)
National Industrial Competitiveness through Energy, Environment, and Economics (2021)

81.106

Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns and Proposed Solutions (1993B)
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions, and Environmental Monitoring (1994U)
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (1995B)
States and Tribal Concerns, Proposed Solutions (2003B)
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (2018)
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (2019)
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns,

Proposed Solutions (2020)
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (2021)

81.107

Oil Recovery Demonstration (1993U)
Archived (1996B)

81.108

Epidemiology and Other Health Studies Financial Assistance (1994B)
Archived (1996B)
Epidemiology and Other Health Studies Financial Assistance Program, Reinstated (1999B)
Epidemiology and Other Health Studies Financial Assistance Program (2018)
Epidemiology and Other Health Studies Financial Assistance Program (2019)
Epidemiology and Other Health Studies Financial Assistance Program (2020)
Epidemiology and Other Health Studies Financial Assistance Program (2021)

81.109

Financial Assistance Program_Science Education and Technical Information (1994U)
Archived (1997B)

81.110

National Resource Center for Plutonium (1995B)
Archived (2003B)

81.111

Alternative Fuel Transportation Program (1996B)
Archived (1997B)

81.112

Inertial Fusion Science_Support of Stockpile Stewardship (1997U)
Stewardship Science Grant Program (1999B)
Stewardship Science Grant Program (2018)
Stewardship Science Grant Program (2019)
Stewardship Science Grant Program (2020)
Stewardship Science Grant Program (2021)

81.113

Nonproliferation and National Security Research (1998B)
Defense Nuclear Nonproliferation Research (2000B)
Defense Nuclear Nonproliferation Research (2018)
Defense Nuclear Nonproliferation Research (2019)
Defense Nuclear Nonproliferation Research (2020)
Defense Nuclear Nonproliferation Research (2021)

81.114

University Nuclear Science and Reactor Support (1998B)
Archived (2009)

81.116

Science and Engineering Training to Support Diversity- Related Programs (1998U)
Deleted (2004)

81.117

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Energy Efficiency and Renewable Energy
Information Dissemination, Outreach, Training and
Technical Analysis/Assistance (1999B)
Energy Efficiency and Renewable Energy
Information Dissemination, Outreach, Training and
Technical Analysis/Assistance (2018)
Energy Efficiency and Renewable Energy
Information Dissemination, Outreach, Training and
Technical Analysis/Assistance (2019)
Energy Efficiency and Renewable Energy
Information Dissemination, Outreach, Training and
Technical Analysis/Assistance (2020)
Energy Efficiency and Renewable Energy
Information Dissemination, Outreach, Training and
Technical Analysis/Assistance (2021)

81.118

Solar Energy Partnership Support and Barrier
Elimination (1999B)
Archived (2001B)

81.119

State Energy Program Special Projects (2000B)
State Energy Program Special Projects (2018)
State Energy Program Special Projects (2019)
State Energy Program Special Projects (2020)
State Energy Program Special Projects (2021)

81.120

Arms Control and Nonproliferations Policy Analysis
(2001B)
Rescinded (2003B)
Deleted (2003)

81.121

Nuclear Energy Research Initiative (2001U)
Nuclear Energy Research, Development and
Demonstration (2003B)
Nuclear Energy Research, Development and
Demonstration (2018)
Nuclear Energy Research, Development and
Demonstration (2019)
Nuclear Energy Research, Development and
Demonstration (2020)
Nuclear Energy Research, Development and
Demonstration (2021)

81.122

Electricity Delivery and Energy Reliability, Research,
Development and Analysis (2005)
Electricity Delivery and Energy Reliability, Research,
Development and Analysis (2018)
Electricity Delivery and Energy Reliability, Research,
Development and Analysis (2019)
To: Electricity Research, Development and
AnalysisFrom: Electricity Delivery and Energy
Reliability, Research, Development and Analysis
(2019)
Electricity Research, Development and Analysis
(2020)
Electricity Research, Development and Analysis
(2021)

81.123

National Nuclear Security Administration (NNSA)
Historically Black Colleges and Universities
(HBCU) Program (2005)

National Nuclear Security Administration (NNSA)
Minority Serving Institutions (MSI) Program (2006)
National Nuclear Security Administration (NNSA)
Minority Serving Institutions (MSI) Program (2018)
National Nuclear Security Administration (NNSA)
Minority Serving Institutions (MSI) Program (2019)
National Nuclear Security Administration (NNSA)
Minority Serving Institutions (MSI) Program (2020)
National Nuclear Security Administration (NNSA)
Minority Serving Institutions (MSI) Program (2021)

81.124

PREDICTIVE SCIENCE ACADEMIC ALLIANCE
PROGRAM (PSAAP) (2007)
Predictive Science Academic Alliance Program
(2008)
Predictive Science Academic Alliance Program
(2018)
Predictive Science Academic Alliance Program
(2019)
Predictive Science Academic Alliance Program
(2020)
Predictive Science Academic Alliance Program
(2021)

81.126

Federal Loan Guarantees for Innovative Energy
Technologies (2006)
Federal Loan Guarantees for Innovative Energy
Technologies (2018)
Federal Loan Guarantees for Innovative Energy
Technologies (2019)
Federal Loan Guarantees for Innovative Energy
Technologies (2020)
Federal Loan Guarantees for Innovative Energy
Technologies (2021)

81.127

Energy Efficient Appliance Rebate Program (EEARP)
(2009)
Energy Efficient Appliance Rebate Program (EEARP)
(2018)
Energy Efficient Appliance Rebate Program (EEARP)
(2019)
Energy Efficient Appliance Rebate Program (EEARP)
(2020)
Archived (2021)

81.128

Energy Efficiency and Conservation Block Grant
Program (EECBG) (2009)
Energy Efficiency and Conservation Block Grant
Program (EECBG) (2018)
Energy Efficiency and Conservation Block Grant
Program (EECBG) (2019)
Energy Efficiency and Conservation Block Grant
Program (EECBG) (2020)
Energy Efficiency and Conservation Block Grant
Program (EECBG) (2021)

81.129

Energy Efficiency and Renewable Energy
Technology Deployment, Demonstration and
Commercialization (2009)
Energy Efficiency and Renewable Energy
Technology Deployment, Demonstration and
Commercialization (2018)

Energy Efficiency and Renewable Energy
Technology Deployment, Demonstration and
Commercialization (2019)
Energy Efficiency and Renewable Energy
Technology Deployment, Demonstration and
Commercialization (2020)
Archived (2021)

81.130

Carbon Capture and Storage-FutureGen 2.0 (2010)
Archived (2013)

81.131

Expand and Extend Clean Coal Power Initiative
(2009)
Archived (2013)
Reinstated (2020)
Expand and Extend Clean Coal Power Initiative
(2020)
Expand and Extend Clean Coal Power Initiative
(2021)

81.132

Geologic Sequestration Site Characterization (2009)
Archived (2013)

81.133

Geologic Sequestration Training and Research Grant
Program (2009)
Archived (2013)

81.134

Industrial Carbon Capture and Storage (CCS)
Application (2009)
Archived (2013)

81.135

Advanced Research and Projects Agency – Energy
Financial Assistance Program (2009)
Advanced Research Projects Agency - Energy (2013)
Advanced Research Projects Agency - Energy (2018)
Advanced Research Projects Agency - Energy (2019)
Advanced Research Projects Agency - Energy (2020)
Advanced Research Projects Agency - Energy (2021)

81.136

Long-Term Surveillance and Maintenance (2009)
Long-Term Surveillance and Maintenance (2018)
Long-Term Surveillance and Maintenance (2019)
Long-Term Surveillance and Maintenance (2020)
Long-Term Surveillance and Maintenance (2021)

81.137

Minority Economic Impact (2012)
Minority Economic Impact (2018)
Minority Economic Impact (2019)
Minority Economic Impact (2020)
Minority Economic Impact (2021)

81.138

State Heating Oil and Propane Program (2012)
State Heating Oil and Propane Program (2018)
State Heating Oil and Propane Program (2019)
State Heating Oil and Propane Program (2020)
State Heating Oil and Propane Program (2021)

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81.139

Environmental Management R&D for HEPA Filters, High-Level Tank Waste Mixing & Sampling (2012)
Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (2014)
Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (2014)
Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (2018)
Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (2019)
Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (2020)
Environmental Management R&D and Validation Testing on High Efficiency Particulate Air (HEPA) Filters (2021)

81.140

Los Alamos National Laboratory - Fire Protection (2013)
Los Alamos National Laboratory - Fire Protection (2018)
Los Alamos National Laboratory - Fire Protection (2019)
Los Alamos National Laboratory - Fire Protection (2020)
Los Alamos National Laboratory - Fire Protection (2021)

81.214

Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (2010)
Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (2019)
Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (2020)
Environmental Monitoring/Cleanup, Cultural and Resource Mgmt., Emergency Response Research, Outreach, Technical Analysis (2021)

81.250

Energy Policy and Systems Analysis (2016)
Energy Policy and Systems Analysis (2018)
Energy Policy and Systems Analysis (2019)
Energy Policy and Systems Analysis (2020)
Energy Policy and Systems Analysis (2021)

810092

Remedial Action and Nuclear Waste Technology (1986B)
Remedial Action and Waste Technology (1987U)
Environmental Restoration (1990B)
Archived (1995B)

810102

Academic Partnerships (1991B)
Archived (1998B)

FEDERAL EMERGENCY MANAGEMENT

ADMINISTRATION

83.001

Academy Planning Assistance (1975B)
Transferred from 11.700 (1979B)
Number changed to 83.404 (1982B)

83.002

State Fire Incident Reporting Assistance (1977B)
Transferred from 11.701 (1979B)
Number changed to 83.407 (1983B)

83.003

Public Education Assistance Program (1979B)
Archived (1983B)

83.004

Technical Support Services (1980B)
Number changed to 83.408 (1983B)

83.005

Arson Task Force Assistance Program (1980U1)
Archived (1983B)

83.006

Student Stipend Program (1980U2)
Number changed to 83.405 (1982B)

83.007

Reimbursement for Firefighting on Federal Property (1980U2)
Number changed to 83.409 (1983B)
Number changed from 83.409 (1989B)
Transferred to 97.016 (2003B)

83.008

Community-Based Anti-Arson Program (1984U)
Number changed from 83.410 (1989B)
Archived (1996B)

83.009

National Fire Academy_Training Assistance
Number changed from 83.405 (1991B)
National Fire Academy Training Assistance (1993B)
Archived (1996B)
Reinstated (1996U)
Transferred to 97.018 (2003B)

83.010

National Fire Academy Educational Program
Number changed from 83.411 (1991B)
Transferred to 97.019 (2003B)

83.011

Hazardous Materials Training Program for Implementation of the Superfund Amendment and Reauthorization Act (SARA) of 1986 (1993B)
Transferred/Split into 83.552 (2000B)
Transferred to 97.020 (2003B)

83.012

Hazardous Materials Exercise Assistance Program (1993B)
Hazardous Materials Assistance Program (1994B)
Consolidated into 83.534 (1996B)
Reinstated (2000B)
Transferred to 97.021 (2003B)

83.013

National Fire Academy Fire Executive Fellowship Program (1994B)
Archived (1995B)

83.100

Flood Insurance (1980B)
Transferred from 14.001 (1980B)
Transferred to 97.022 (2003B)

83.101

Urban Property Insurance (1980B)
Transferred from 14.002 (1980B)
Archived (1984U)

83.102

Crime Insurance (1980B)
Transferred from 14.003 (1980B)
Archived (1984U)

83.103

State Assistance Program_National Flood Insurance Program (1980U1)
Number changed to 83.501 (1982B)

83.104

Acquisition of Flood-Damaged Structures (1981B)
Number changed to 83.502 (1982B)
Number changed from 83.502 (1990U)
Archived (1995B)

83.105

Community Assistance Program_State Support Services Element (CAP-SSSE) (1993B)
Transferred to 97.023 (2003B)

83.200

Civil Defense_State and Local Management (1980B)
Transferred from 12.315 (1980B)
Emergency Management Assistance (1980U2)
Number changed to 83.503 (1982B)

83.201

Civil Defense_State and Local Maintenance and Services (1980B)
Transferred from 12.319 (1980B)
State and Local Maintenance and Services (1980U2)
Number changed to 83.504 (1982B)

83.203

State Disaster Preparedness Grants (1980B)
Transferred from 14.702 (1980B)
Number changed to 83.505 (1982B)

83.204

Earthquake and Hurricane Loss Study and Contingency Planning Grants (1980B)
Number changed to 83.506 (1982B)

83.205

Civil Defense_Industrial Preparedness Planning (1980B)
Transferred from 12.309 (1980B)
Industrial Preparedness Planning (1980U2)
Number changed to 83.507 (1982B)

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83.206 Civil Defense_Radiological Instrument Maintenance and Calibration (1980B) Transferred from 12.310 (1980B) Radiological Instruments Maintenance and Calibration (1980U2) Radiological Systems Maintenance (1981U) Number changed to 83.508 (1982B)	Emergency Response_Training (1983U) Incorporated into 83.400 (1989U)	Activity (1981U) Archived (1984U)
83.207 Civil Defense_National Shelter Survey (1980B) Transferred from 12.312 (1980B) National Shelter Survey (1980U2) Number changed to 83.509 (1982B)	83.403 Training and Education Field Deployment (1981B) National Emergency Training Center_Field Training Program (1983U) Emergency Management Institute_Field Studies Program (1984U) Number changed to 83.528 (1991B)	83.501 State Assistance Program (1982B) Number changed from 83.103 (1982B) Archived (1985U)
83.208 Civil Defense_Radiation Shielding Design (1980B) Transferred from 12.316 (1980B) Radiation Shielding Design (1980U2) Number changed to 83.510 (1982B)	83.404 Academy Planning Assistance (1982B) Number changed from 83.001 (1982B) Archived (1983B)	83.502 Acquisition of Flood-Damaged Structures (1982B) Number changed to 83.104 (1990U)
83.209 State Radiological Defense Officers (1981B) Number changed to 83.511 (1982B)	83.405 Student Stipend Program (1982B) Number changed from 83.006 (1982B) National Fire Academy Student Stipend Program (1983U) Number changed to 83.009 (1991B)	83.503 Emergency Management Assistance (1982B) Number changed from 83.200 (1982B) Civil Defense_State and Local Emergency Management Assistance (1987B) Consolidated into 83.534 (1996B)
83.210 State and Local Emergency Operating Centers (1981B) Number changed to 83.512 (1982B)	83.406 Training and Fire Programs Educational Program (1983B) National Emergency Training Center_Training Program (1983U) Archived (1986B)	83.504 State and Local Maintenance and Services (1982B) Number changed from 83.201 (1982B) Other State and Local Direction, Control and Warning (1984U) Consolidated into 83.532 (1993B)
83.211 Civil Defense_State and Local Supporting Materials (1981B) Number changed to 83.513 (1982B)	83.407 State Fire Incident Reporting Assistance (1977B) Number changed from 83.002 (1983B) Archived (1984U)	83.505 State Disaster Preparedness Grants (1982B) Number changed from 83.203 (1982B) Consolidated into 83.552 (2000B)
83.212 Nuclear Civil Protection Planning (1981U) Number changed to 83.514 (1982B)	83.408 Technical Support Services (1980B) Number changed from 83.004 (1983B) Archived (1984B)	83.506 Earthquake and Hurricane Loss Study and Contingency Planning Grants (1982B) Number changed from 83.204 (1982B) Earthquake and Hurricane Preparedness Planning Grants (1983B) Earthquake and Hurricane Preparedness Grants (1984U) Archived (1988B)
83.300 Disaster Assistance (1980B) Transferred from 14.701 (1980B) Number changed to 83.516 (1982B)	83.409 Reimbursement for Firefighting on Federal Property (1980U2) Number changed from 83.007 (1983B) Number changed to 83.007 (1989B)	83.507 Industrial Preparedness Planning (1982B) Number changed from 83.205 (1982B) Archived (1983B)
83.400 Emergency Management Institute_Student Expense Program (1980B) Transferred from 12.314 (1980B) Emergency Management Institute_Training Assistance (1983U) Number changed to 83.527 (1991B)	83.410 Community-Based Anti-Arson Program (1984U) Number changed to 83.008 (1989B)	83.508 Radiological Systems Maintenance (1982B) Number changed from 83.206 (1982B) Radiological Instrumentation (1984U) Archived (1988B)
83.401 Emergency Management_Architect/Engineer Student Development (1980B) Transferred from 12.324 (1980B) Emergency Management Institute_Architect/Engineer Student Program (1983U) Incorporated into 83.525 (1990B)	83.411 National Fire Academy Educational Program (1989U) Number changed to 83.010 (1991B)	83.509 National Shelter Survey (1982B) Number changed from 83.207 (1982B) Facility Survey, Engineering and Development (1984B) Archived (1993B)
83.402 Radiological Emergency Response_Training Assistance (1980B) Transferred from 77.002 (1980B) Emergency Management Institute Radiological	83.412 Emergency Management Institute (EMI)_Home Study Program (1989U) Number changed to 83.529 (1991B)	83.510 Radiation Shielding Design (1982B) Number changed from 83.208 (1982B) Shelter Development (1983B) Facility Survey Development (1986B) Archived (1993B)
	83.413 Emergency Management Institute (EMI)_Resident Educational Program (1989U) Number changed to 83.530 (1991B)	
	83.500 General Research, Development, and Demonstration	

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<p>83.511 State Radiological Defense Officers (1982B) Number changed from 83.209 (1982B) Radiological Protection Program (1985B) Radiological Protection Planning and Development (1986B) Radiological Defense Planning and Development (1987U) Archived (1988B)</p>	<p>Consolidated into 83.531 (1993B)</p> <p>83.523 Federal Emergency Management Food and Shelter Program (1989B) Emergency Food and Shelter National Board Program (1996U) Transferred to 97.024 (2003B)</p>	<p>AssistanceConsolidated from 83.012, 83.503, 83.528, 83.531, 83.532 (1996B) Consolidated into 83.552 (2000B)</p>
<p>83.512 State and local Emergency Operating Centers (1982B) Number changed from 83.210 (1982B) Consolidated into 83.532 (1993B)</p>	<p>83.524 Superfund Permanent Relocation Assistance Program (1989U) Archived (1992U)</p>	<p>83.535 Mitigation AssistanceConsolidated from 83.520, 83.521 (1997U) Consolidated into 83.552 (2000B)</p>
<p>83.513 State and Local Supporting Materials (1982B) Number changed from 83.211 (1982B) State and Local Warning and Communication Systems (1983B) Consolidated into 83.532 (1993B)</p>	<p>83.525 Shelter Survey Technician Program_Job Qualification Training (1990B) Archived (1993B)</p>	<p>83.536 Flood Mitigation Assistance (1997U) Transferred to 97.029 (2003B)</p>
<p>83.514 Nuclear Civil Protection Planning (1982B) Number changed from 83.212 (1982B) Population Protection Planning (1983B) Consolidated into 83.531 (1993B)</p>	<p>83.526 National Urban Search and Rescue (US&R) Program (1991B) National Urban Search and Rescue (US&R) Response System (1995B) Transferred to 97.025 (2003B)</p>	<p>83.537 Community Disaster LoansIncorporated from 83.516 (1997U) Transferred to 97.030 (2003B)</p>
<p>83.515 Broadcast Station Protection Program (1982B) Emergency Broadcast System Guidance and Assistance (1983B) Consolidated into 83.532 (1993B)</p>	<p>83.527 Emergency Management Institute_Training AssistanceNumber changed from 83.400 (1991B) Transferred to 97.026 (2003B)</p>	<p>83.538 Cora Brown FundIncorporated from 83.516 (1997U) Transferred to 97.031 (2003B)</p>
<p>83.516 Disaster Assistance (1982B) Number changed from 83.300 (1982B) Split into 83.537, 83.538, 83.539, 83.540, 83.541, 83.542, 83.543, 83.544, 83.545, 83.548 (1997U)</p>	<p>83.528 Emergency Management Institute_Field Training ProgramNumber changed from 83.403 (1991B) Consolidated into 83.534 (1996B)</p>	<p>83.539 Crisis CounselingIncorporated from 83.516 (1997U) Transferred to 97.032 (2003B)</p>
<p>83.517 Electromagnetic Pulse (EMP) Technical Support (1983B) Consolidated into 83.532 (1993B)</p>	<p>83.529 Emergency Management Institute (EMI)_Home Study ProgramNumber changed from 83.412 (1991B) Emergency Management Institute (EMI)_Independent Study Program (1993U) Transferred to 97.027 (2003B)</p>	<p>83.540 Disaster Legal ServicesIncorporated from 83.516 (1997U) Transferred to 97.033 (2003B)</p>
<p>83.518 Emergency Public Information Competitive Challenge Grants (1984U) Archived (1988B)</p>	<p>83.530 Emergency Management Institute (EMI)_Resident Educational ProgramNumber changed from 83.413 (1991B) Transferred to 97.028 (2003B)</p>	<p>83.541 Disaster Unemployment AssistanceIncorporated from 83.516 (1997U) Transferred to 97.034 (2003B)</p>
<p>83.519 Hazard Mitigation Assistance (1985U) Archived (1995B)</p>	<p>83.531 State and Local Emergency Management Assistance_Other AssistanceConsolidated from 83.514, 83.522 (1993B) Consolidated into 83.534 (1996B)</p>	<p>83.542 Fire Suppression AssistanceIncorporated from 83.516 (1997U) Transferred to 83.55 (2002B)</p>
<p>83.520 Hurricane Preparedness Grants (1988B) Hurricane Program (1994B) Consolidated into 83.535 (1997U)</p>	<p>83.532 Facilities and EquipmentConsolidated from 83.504, 83.512, 83.513, 83.515, 83.517 (1993B) Consolidated into 83.534 (1996B)</p>	<p>83.543 Individual and Family GrantsIncorporated from 83.516 (1997U) Transferred to 97.035 (2003B)</p>
<p>83.521 Earthquake Hazards Reduction Grants (1988B) Consolidated into 83.535 (1997U)</p>	<p>83.533 COOPs for Digitization of Flood Maps (1995B) Archived (1996B)</p>	<p>83.544 Public Assistance GrantsIncorporated from 83.516 (1997U) Transferred to 97.036 (2003B)</p>
<p>83.522 Radiological Defense (1988B)</p>	<p>83.534 Emergency Management_State and Local</p>	<p>83.545 Disaster Housing ProgramIncorporated from 83.516 (1997U) Transferred to 97.037 (2003B)</p>
		<p>83.546 National Arson Prevention Initiative (1997U) Archived (1998U)</p>
		<p>83.547 First Responder Anti-Terrorism Training Assistance (1997U) First Responder Counter-Terrorism Training Assistance (1999B) Transferred to 97.038 (2003B)</p>

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83.548

Hazard Mitigation Grant Incorporated from 83.516 (1997U)
Transferred to 97.039 (2003B)

83.549

Chemical Stockpile Emergency Preparedness Program (1998U)
Transferred to 97.040 (2003B)

83.550

National Dam Safety Program (1998U)
Transferred to 97.041 (2003B)

83.551

Project Impact_Building Disaster Resistant Communities (1998U)
Archived (2002B)

83.552

Emergency Management Performance Grants (1999U)
Consolidated from 83.011, 83.505, 83.534, 83.535 (2000B)
Transferred to 97.042 (2003B)

83.553

State Fire Training Systems Grants (2001B)
Transferred to 97.043 (2003B)

83.554

Assistance to Firefighters Grant (2002B)
Transferred to 97.044 (2003B)

83.555

Cooperating Technical Partners (2002B)
Transferred to 97.045 (2003B)

83.556

Fire Management Assistance Grant (2002B)
Transferred to 97.046 (2003B)

83.557

Pre-Disaster Mitigation (2002B)
Transferred to 97.047 (2003B)

83.558

Federal Assistance to Individuals and Households_Housing (2002B)
Transferred to 97.048 (2003B)

83.559

Federal Assistance to Individuals and Households_Disaster Housing Operations (2002B)
Transferred to 97.049 (2003B)

83.560

Federal Assistance to Individuals and Households_Other Needs (2002B)
Transferred to 97.050 (2003B)

83.562

State and Local All Hazards Emergency Operations Planning (2000B)
Transferred to 97.051 (2003B)

83.563

Emergency Operations Centers (2000B)
Transferred to 97.052 (2003B)

83.564

Citizen Corps (2000B)
Transferred to 97.053 (2003B)

83.565

Community Emergency Response Teams (2000B)
Transferred to 97.054 (2003B)

83.566

Interoperable Communications Equipment (2000B)
Transferred to 97.055 (2003B)

EDUCATION, DEPARTMENT OF

84.001

Academic Facilities Reconstruction and Renovation for Removal of Architectural Barriers (1980U1)
Archived (1981U)

84.002

Adult Education_State-Administered Program (1980U1)
Transferred from 13.400 (1980U1)
Adult Education_State Administered Basic Grant Program (1988U)
Adult Education_State Grant Program (1995U)
Adult Education - Basic Grants to States (2008)
Adult Education - Basic Grants to States (2018)
Adult Education - Basic Grants to States (2019)
Adult Education - Basic Grants to States (2020)
Adult Education - Basic Grants to States (2021)

84.003

Bilingual Education (1980U1)
Transferred from 13.403 (1980U1)
Archived (1998B)

84.004

Civil Rights Technical Assistance and Training (1980U1)
Transferred from 13.405 (1980U1)
Desegregation Assistance, Civil Rights Training, and Advisory Services (1989U)
Civil Rights Training and Advisory Services (1997B)
Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (2016)
Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (2018)
Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (2019)
Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (2020)
Civil Rights Training and Advisory Services (also known as Equity Assistance Centers) (2021)

84.005

College Library Resources (1980U1)
Transferred from 13.406 (1980U1)
Archived (1985B)

84.006

Teacher Centers (1980U1)

Transferred from 13.416 (1980U1)
Archived (1982B)

84.007

Supplemental Educational Opportunity Grants (1980U1)
Transferred from 13.418 (1980U1)
Federal Supplemental Education Opportunity Grants (1992U)
Federal Supplemental Educational Opportunity Grants (2018)
Federal Supplemental Educational Opportunity Grants (2019)
Federal Supplemental Educational Opportunity Grants (2020)
Federal Supplemental Educational Opportunity Grants (2021)

84.008

Alcohol and Drug Abuse Education Program (1980U1)
Transferred from 13.420 (1980U1)
Archived (1982B)
Reinstated (1983U)
Alcohol and Drug Abuse Education (1983U)
Archived (1987B)

84.009

Program for Education of Handicapped Children in State Operated or Supported Schools (1980U1)
Transferred from 13.427 (1980U1)
Education of Handicapped Children in State Operated or Supported Schools (1990U)
Education of Children with Disabilities in State Operated or Supported Schools (1994B)
Archived (1995U)

84.010

Educationally Deprived Children_Local Educational Agencies (1980U1)
Transferred from 13.428 (1980U1)
Chapter 1 Programs_Local Educational Agencies (1990U)
Chapter 1 Grants to Local Educational Agencies (1994U)
Title I Grants to Local Educational Agencies (1995B)
Title I Grants to Local Educational Agencies (2018)
Title I Grants to Local Educational Agencies (2019)
Title I Grants to Local Educational Agencies (2020)
Title I Grants to Local Educational Agencies (2021)

84.011

Educationally Deprived Children_Migrants (1980U1)
Transferred from 13.429 (1980U1)
Migrant Education Program_State Formula Grant Program (1980U2)
Migrant Education_Basic State Formula Grant Program (1981U)
Migrant Education_Basic State Grant Program (1994U)
Migrant Education_State Grant Program (2002B)
Migrant Education State Grant Program (2018)
Migrant Education State Grant Program (2019)
Migrant Education State Grant Program (2020)
Migrant Education State Grant Program (2021)

84.012

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Educationally Deprived Children_State Administration (1980U1)
Transferred from 13.430 (1980U1)
Archived (1995U)

84.013

Educationally Deprived Children in State Administered Institutions Serving Neglected or Delinquent Children (1980U1)
Transferred from 13.431 (1980U1)
Neglected and Delinquent Children (1984B)
Chapter 1 Program for Neglected and Delinquent Children (1990U)
Title I Program for Neglected and Delinquent Children (1995B)
Title I State Agency Program for Neglected and Delinquent Children (2010)
Title I State Agency Program for Neglected and Delinquent Children and Youth (2011)
Title I State Agency Program for Neglected and Delinquent Children and Youth (2018)
Title I State Agency Program for Neglected and Delinquent Children and Youth (2019)
Title I State Agency Program for Neglected and Delinquent Children and Youth (2020)
Title I State Agency Program for Neglected and Delinquent Children and Youth (2021)

84.014

Follow Through (1980U1)
Transferred from 13.433 (1980U1)
Archived (1995U)

84.015

International Studies Centers and Foreign Language Area (1980U1)
Transferred from 13.434 (1980U1)
National Resource Centers and Fellowships in International Studies (1981B)
National Resource Centers and Fellowships Program for Language and Area or Language and International Studies (1983B)
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (2008)
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (2018)
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (2019)
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (2020)
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (2021)

84.016

International Studies Programs (1980U1)
Transferred from 13.435 (1980U1)
International Studies Program (1981B)

Undergraduate International Studies and Foreign Language Programs (1981U)
Undergraduate International Studies and Foreign Language Programs (2018)
Undergraduate International Studies and Foreign Language Programs (2019)
Undergraduate International Studies and Foreign Language Programs (2020)
Undergraduate International Studies and Foreign Language Programs (2021)

84.017

Foreign Language and Area Studies_Research (1980U1)
Transferred from 13.436 (1980U1)
International Research and Studies (1981B)
Archived (2014)
Reinstated (2017)
International Research and Studies (2018)
International Research and Studies (2019)
International Research and Studies (2020)
International Research and Studies (2021)

84.018

Teacher Exchange (1980U1)
Transferred from 13.437 (1980U1)
Summer Seminars Aboard (1984U)
Fulbright-Hays Training Grants_Seminars Abroad (1986B)
Fulbright-Hays Seminar Abroad_Special Bilateral Projects (1986U)
Fulbright-Hays Seminars Abroad_Bilateral Projects (1989U)
International: Overseas Seminars Abroad_Bilateral Projects (1994U)
Overseas Seminars Abroad_Bilateral Projects (2003B)
Overseas Programs_Special Bilateral Projects (2004)
Overseas Programs Special Bilateral Projects (2018)
Overseas Programs Special Bilateral Projects (2019)
Overseas Programs Special Bilateral Projects (2020)
Overseas Programs Special Bilateral Projects (2021)

84.019

Fulbright-Hays Training Grants_Faculty Research Abroad (1980U1)
Transferred from 13.438 (1980U1)
International: Overseas_Faculty Research Abroad (1994U)
Overseas_Faculty Research Abroad (2003B)
Overseas Programs - Faculty Research Abroad (2008)
Archived (2012)

84.020

Fulbright-Hays Training Grants_Foreign Curriculum Consultants (1980U1)
Transferred from 13.439 (1980U1)
Archived (1988U)

84.021

Fulbright-Hays Training Grants_Group Projects Abroad (1980U1)
Transferred from 13.440 (1980U1)
International: Overseas_Group Projects Abroad (1994U)
Overseas_Group Projects Abroad (2003B)
Overseas Programs - Group Projects Abroad (2008)

Overseas Programs - Group Projects Abroad (2018)
Overseas Programs - Group Projects Abroad (2019)
Overseas Programs - Group Projects Abroad (2020)
Overseas Programs - Group Projects Abroad (2021)

84.022

Fulbright-Hays Training Grants_Doctoral Dissertation Research Abroad (1980U1)
Transferred from 13.441 (1980U1)
International: Overseas_Doctoral Dissertation (1994U)
Overseas_Doctoral Dissertation (2003B)
Overseas Programs - Doctoral Dissertation Research Abroad (2008)
Overseas Programs - Doctoral Dissertation Research Abroad (2018)
Overseas Programs - Doctoral Dissertation Research Abroad (2019)
Overseas Programs - Doctoral Dissertation Research Abroad (2020)
Overseas Programs - Doctoral Dissertation Research Abroad (2021)

84.023

Handicapped_Research and Demonstration (1980U1)
Transferred from 13.443 (1980U1)
Research in the Education of the Handicapped (1981B)
Handicapped_Research and Demonstration (1981U)
Handicapped_Innovation and Development (1985B)
Disabled_Innovation and Development (1991B)
Special Education_Innovation and Development (1993B)
Archived (1998B)

84.024

Handicapped Early Childhood Assistance (1980U1)
Transferred from 13.444 (1980U1)
Handicapped Early Childhood Education (1985B)
Early Education for Children with Disabilities (1991B)
Archived (1998B)

84.025

Handicapped Innovative Programs_Deaf-Blind Centers (1980U1)
Transferred from 13.445 (1980U1)
Handicapped Innovative Programs_Deaf-Blind (1984B)
Deaf-Blind Centers (1985B)
Handicapped Education_Deaf-Blind Centers (1987U)
Services for Deaf-Blind Children and Youth (1991B)
Services for Children with Deaf-Blindness (1992B)
Archived (1998B)

84.026

Handicapped Media Services and Captioned Films (1980U1)
Transferred from 13.446 (1980U1)
Media and Captioning for Individuals with Disabilities (1991B)
Media and Captioning Services for Individuals with Disabilities (1994B)
Archived (1998B)

84.027

Handicapped Preschool and School Programs

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(1980U1)

Transferred from 13.449 (1980U1)

Handicapped_State Grants (1985U)

Special Education_State Grants (1991B)

Special Education_Grants to States (1994U)

Special Education Grants to States (2018)

Special Education Grants to States (2019)

Special Education Grants to States (2020)

Special Education Grants to States (2021)

84.028

Handicapped Regional Resource Centers (1980U1)

Transferred from 13.450 (1980U1)

Handicapped Regional Resource and Federal Centers (1987U)

Disabled_Regional Resource and Federal Centers (1991B)

Special Education_Regional Resource and Federal Centers (1993B)

Special Education Resource Centers (1994B)

Special Education_Regional Resource Centers (1994B)

Archived (1998B)

84.029

Handicapped Personnel Preparation (1980U1)

Transferred from 13.451 (1980U1)

Training Personnel for the Education of the

Handicapped (1983B)

Special Education Personnel Development (1985B)

Handicapped Education_Special Education Personnel

Development (1987U)

Special Education_Special Education Personnel

Development (1991B)

Special Education_Special Education Personnel

Development and Parent Training (1992B)

Special Education Personnel Development and Parent

Training (1994B)

Special Education_Personnel Development and

Parent Training (1994B)

Archived (1998B)

84.030

Handicapped Teacher Recruitment and Information (1980U1)

Transferred from 13.452 (1980U1)

Handicapped Teacher Recruitment and Information Dissemination (1984B)

Handicapped Teacher Recruitment and Information (1985B)

Clearinghouses for the Handicapped Program

(1987U)

Clearinghouses for the Disabled (1991B)

Clearinghouses for Individuals with Disabilities

(1992B)

Archived (1998B)

84.031

Higher Education_Strengthening Developing

Institutions (1980U1)

Transferred from 13.454 (1980U1)

Higher Education_Institution Aid (1985B)

Higher Education Institutional Aid (2018)

Higher Education Institutional Aid (2019)

Higher Education Institutional Aid (2020)

Higher Education Institutional Aid (2021)

84.032

Higher Education Act Insured Loans (1980U1)

Transferred from 13.460 (1980U1)

Guaranteed Student Loans (1989B)

Federal Family Education Loans (1993U)

Archived (2012)

84.033

College Work-Study Program (1980U1)

Transferred from 13.463 (1980U1)

Federal Work-Study Program (1992U)

Federal Work-Study Program (2018)

Federal Work-Study Program (2019)

Federal Work-Study Program (2020)

Federal Work-Study Program (2021)

84.034

Public Library Services (1980U1)

Transferred from 13.464 (1980U1)

Library Services (1985U)

Public Library Services (1990U)

Archived (1997B)

84.035

Interlibrary Cooperation (1980U1)

Transferred from 13.465 (1980U1)

Interlibrary Cooperation and Resource Sharing (1986U)

Interlibrary Cooperation (1990U)

Interlibrary Cooperation and Resource Sharing (1991B)

Archived (1997B)

84.036

Library Training (1980U1)

Transferred from 13.468 (1980U1)

Library Career Training (1981U)

Library Education and Human Resource Development (1992U)

Library Education and Training (1993U)

Archived (1997B)

84.037

National Defense/Direct Student Loan Cancellations (1980U1)

Transferred from 13.470 (1980U1)

National Defense/National Direct/Perkins Loan Cancellations (1988B)

National Defense/National Direct/Federal Perkins

Loan Cancellations (1992U)

Federal Perkins Loan Cancellations (1994B)

Loan Cancellations (2001B)

Perkins Loan Cancellations (2003B)

Archived (2012)

84.038

National Defense/Direct Student Loans (1980U1)

Transferred from 13.471 (1980U1)

Perkins Loans (1987B)

Perkins Loan Program (1990B)

Perkins Loan Program_Federal Capital Contributions (1990U)

Federal Perkins Loan Program_Federal Capital

Contributions (1992U)

Archived (2010)

84.039

Library Research and Demonstration (1980U1)

Transferred from 13.475 (1980U1)

Archived (1997B)

84.040

School Assistance in Federally Affected

Areas_Construction (1980U1)

Transferred from 13.477 (1980U1)

Impact Aid_Construction (1987U)

Impact Aid_Facilities Maintenance (1995U)

Impact Aid Facilities Maintenance (2018)

Impact Aid Facilities Maintenance (2019)

Impact Aid Facilities Maintenance (2020)

Impact Aid Facilities Maintenance (2021)

84.041

School Assistance in Federally Affected

Areas_Maintenance and Operation (1980U1)

Transferred from 13.478 (1980U1)

Impact Aid_Maintenance and Operation (1987U)

Impact Aid_Maintenance and Operations (1990U)

Impact Aid (1995U)

Impact Aid (2018)

Impact Aid (2019)

Impact Aid (2020)

Impact Aid (2021)

84.042

Special Services for Disadvantaged Students

(1980U1)

Transferred from 13.482 (1980U1)

Student Support Services (1987B)

TRIO_Student Support Services (1995U)

TRIO Student Support Services (2018)

TRIO Student Support Services (2019)

TRIO Student Support Services (2020)

TRIO Student Support Services (2021)

84.043

Strengthening State Educational Agency Management (1980U1)

Transferred from 13.486 (1980U1)

Archived (1982B)

84.044

Talent Search (1980U1)

Transferred from 13.488 (1980U1)

TRIO_Talent Search (1995U)

TRIO Talent Search (2018)

TRIO Talent Search (2019)

TRIO Talent Search (2020)

TRIO Talent Search (2021)

84.045

Teacher Corps_Operations and Training (1980U1)

Transferred from 13.489 (1980U1)

Archived (1982B)

84.046

Community Service and Continuing Education_State

Grants (1980U1)

Transferred from 13.491 (1980U1)

Continuing Education Outreach_State Administered

Program (1981B)

Archived (1983B)

84.047

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Upward Bound (1980U1) Transferred from 13.492 (1980U1) TRIO_Upward Bound (1995U) TRIO Upward Bound (2018) TRIO Upward Bound (2019) TRIO Upward Bound (2020) TRIO Upward Bound (2021)	Archived (1997B)	Indian Education_Adult Indian Education (1980U1) Transferred from 13.536 (1980U1) Indian Education_Adult Education (1990U) Archived (1997B)
84.048 Vocational Education_Basic Grants to States (1980U1) Transferred from 13.493 (1980U1) Career and Technical Education -- Basic Grants to States (2008) Career and Technical Education -- Basic Grants to States (2018) Career and Technical Education -- Basic Grants to States (2019) Career and Technical Education -- Basic Grants to States (2020) Career and Technical Education -- Basic Grants to States (2021)	84.054 Vocational Education_Leadership Development Awards Program (1980U1) Transferred from 13.503 (1980U1) Archived (1981B)	84.063 Basic Educational Opportunity Grant Program (1980U1) Transferred from 13.539 (1980U1) Pell Grant Program (1981B) Federal Pell Grant Program (1992U) Federal Pell Grant Program (2018) Federal Pell Grant Program (2019) Federal Pell Grant Program (2020) Federal Pell Grant Program (2021)
84.049 Vocational Education_Consumer and Homemaking Education (1980U1) Transferred from 13.494 (1980U1) Vocational Education_Consumer and Homemaker Education (1985B) Archived (1996B)	84.055 Higher Education_Cooperative Education (1980U1) Transferred from 13.510 (1980U1) Archived (1997B)	84.064 Higher Education_Veterans' Cost of Instruction Program (1980U1) Transferred from 13.540 (1980U1) Higher Education_Veterans Education Outreach Program (1987B) Archived (1994B)
84.050 Vocational Education_Program Improvement and Supportive Service (1980U1) Transferred from 13.495 (1980U1) Archived (1985B)	84.056 Emergency School Aid Act_Basic Grants to Local Educational Agencies (1980U1) Transferred from 13.525 (1980U1) Archived (1982B)	84.065 Educational Television and Radio (Programming) (1980U1) Transferred from 13.541 (1980U1) Educational Television and Radio Programming (1981B) Archived (1983B)
84.051 Vocational Education_Program Improvement Projects (1980U1) Transferred from 13.498 (1980U1) National Vocational Education Research (1985B) Vocational Education_National Centers for career and Technical Education (2002B) Vocational Education_National Programs (2004) Career and Technical Education -- National Programs (2008) Career and Technical Education -- National Programs (2018) Career and Technical Education -- National Programs (2019) Career and Technical Education -- National Programs (2020) Career and Technical Education -- National Programs (2021)	84.057 Emergency School Aid Act_Grants to Nonprofit Organizations (1980U1) Transferred from 13.529 (1980U1) Archived (1982B)	84.066 Educational Opportunity Centers (1980U1) Transferred from 13.543 (1980U1) TRIO_Educational Opportunity Centers (1995U) TRIO Educational Opportunity Centers (2018) TRIO Educational Opportunity Centers (2019) TRIO Educational Opportunity Centers (2020) TRIO Educational Opportunity Centers (2021)
84.052 Vocational Education_Special Programs for the Disadvantaged (1980U1) Transferred from 13.499 (1980U1) Archived (1985B)	84.058 Emergency School Aid Act_Educational Television and Radio (1980U1) Transferred from 13.530 (1980U1) Archived (1982B)	84.067 Use of Technology in Basic Skills Instruction (1980U1) Transferred from 13.544 (1980U1) Archived (1983B)
84.053 Vocational Education_State Advisory Councils (1980U1) Transferred from 13.500 (1980U1) Vocational Education_State Councils (1985B)	84.059 Emergency School Aid Act_Special Programs and Projects (1980U1) Transferred from 13.532 (1980U1) Split/Incorporated into 84.106, 84.107, 84.108, 84.109, 84.110 (1981B)	84.068 Indochina Refugee Children Assistance (1980U1) Transferred from 13.545 (1980U1) Archived (1982B)
	84.060 Development Awards Program_Indian Education_Local Educational Agencies and Tribal Schools (1980U1) Transferred from 13.534 (1980U1) Indian Education_Entitlement Grants to Local Educational Agencies and Tribal Schools (1981U) Indian Education_Formula Grants to Local Educational Agencies and Tribal Schools (1984U) Indian Education_Formula Grants to Local Educational Agencies (1988U) Indian Education_Grants to Local Educational Agencies (1994U) Indian Education Grants to Local Educational Agencies (2018) Indian Education Grants to Local Educational Agencies (2019) Indian Education Grants to Local Educational Agencies (2020) Indian Education Grants to Local Educational Agencies (2021)	84.069 Grants to States for State Student Incentives (1980U1) Transferred from 13.548 (1980U1) State Student Incentives Grants (1994U) Leveraging Educational Assistance Partnership (1999B) Archived (2012)
	84.061 Indian Education_Special Programs and Projects (1980U1) Transferred from 13.535 (1980U1) Archived (1995U)	84.070 Ethnic Heritage Studies Program (1980U1) Transferred from 13.549 (1980U1) Archived (1982B)
	84.062	84.071 State Postsecondary Education Commissions

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Program_Intrastate Planning (1980U1) Transferred from 13.550 (1980U1) Incorporated into 84.046 (1981B)	Transferred from 13.563 (1980U1) Archived (1982B)	Archived (1996B)
84.072 Indian Education_Grants to Indian-Controlled Schools (1980U1) Transferred from 13.551 (1980U1) Archived (1996B)	84.082 Consumers' Education (1980U1) Transferred from 13.564 (1980U1) Archived (1982B)	84.092 Guidance, Counseling, and Testing in Elementary/Secondary Schools (1980U1) Transferred from 13.577 (1980U1) Archived (1981B)
84.073 National Diffusion Program (National Diffusion Network) (1980U1) Transferred from 13.553 (1980U1) National Diffusion Program (1981B) National Diffusion Network (1981U) Archived (1997B)	84.083 Women's Educational Equity (1980U1) Transferred from 13.565 (1980U1) Women's Educational Equity Act Program (1994U) Women's Educational Equity Program (2010) Archived (2012)	84.093 Emergency Adult Education Program for Indochina Refugees (1980U1) Transferred from 13.579 (1980U1) Archived (1982B)
84.074 Career Education (1980U1) Transferred from 13.554 (1980U1) Archived (1982B)	84.084 Elementary and Secondary School Education in the Arts (1980U1) Transferred from 13.566 (1980U1) Archived (1982B)	84.094 Graduate and Professional Opportunities (1980U1) Transferred from 13.580 (1980U1) Graduate and Professional Study (1981B) Patricia Roberts Harris Fellowships (1987B) Harris Fellowships (1993U) Archived (1997B)
84.075 Public Service Education_Institutional Grants and Fellowships (1980U1) Transferred from 13.555 (1980U1) Incorporated into 84.094 (1981B)	84.085 Domestic Mining and Mineral and Mineral Fuel Conservation Fellow (1980U1) Transferred from 13.567 (1980U1) Incorporated into 84.094 (1981B)	84.095 Citizen Educational for Cultural Understanding Program (1980U1) Transferred from 13.581 (1980U1) International Understanding Program (1981B) Archived (1982B)
84.076 Community Service and Continuing Education_Special Projects (1980U1) Transferred from 13.557 (1980U1) Archived (1980U2)	84.086 Innovative Programs for Severely Handicapped Children (1980U1) Transferred from 13.568 (1980U1) Handicapped Education_Severely Handicapped Program (1987U) Special Education_Severely Disabled Program (1991B) Special Education_Program for Severely Disabled Children (1994U) Archived (1998B)	84.096 Incentive Grants for State Student Financial Assistance Training (1980U1) Transferred from 13.582 (1980U1) Archived (1981U)
84.077 Bilingual Vocational Training (1980U1) Transferred from 13.558 (1980U1) Archived (1996B)	84.087 Indian Education_Fellowships for Indian Students (1980U1) Transferred from 13.569 (1980U1) Archived (1997B)	84.097 Law School Clinical Experience Program (1980U1) Transferred from 13.584 (1980U1) Archived (1997B)
84.078 Regional Education Programs for Deaf and Other Handicapped Persons (1980U1) Transferred from 13.560 (1980U1) Postsecondary Education Programs for Handicapped Persons (1984B) Postsecondary Education Programs for Persons with Disabilities (1991B) Special Education_Postsecondary Education Programs for Persons with Disabilities (1994U) Archived (1998B)	84.088 Instructional Materials and School Library Resources (1980U1) Transferred from 13.570 (1980U1) Archived (1982B)	84.098 Educational Information Centers (1980U1) Transferred from 13.585 (1980U1) Incorporated into 84.046 (1981B)
84.079 Education for the Use of the Metric System of Measurement (1980U1) Transferred from 13.561 (1980U1) Archived (1982B)	84.089 Improvement in Local Educational Practice (1980U1) Transferred from 13.571 (1980U1) Archived (1982B)	84.099 Bilingual Vocational Instructor Training (1980U1) Transferred from 13.586 (1980U1) Archived (1996B)
84.080 Education for Gifted and Talented Children and Youth (1980U1) Transferred from 13.562 (1980U1) Archived (1982B)	84.090 Health Education Assistance Loans (1980U1) Transferred from 13.574 (1980U1) Transferred to 13.108 (1981B)	84.100 Bilingual Vocational Instructional Materials, Methods, and Techniques (1980U1) Transferred from 13.587 (1980U1) Bilingual Vocational Materials, Methods, and Techniques (1985B) Archived (1996B)
84.081 Community Education (1980U1)	84.091 Strengthening Research Library Resources (1980U1) Transferred from 13.576 (1980U1) Improving Access to Research Library Resources (1992U)	84.101 Vocational Education_Program for Indian Tribes and Indian Organizations (1980U1) Transferred from 13.588 (1980U1) Vocational Education_Indian and Hawaiian Natives (1985B) Vocational Education_Indians (1992U) Vocational Education_Indians Set-aside (1994U)

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Career and Technical Education - Indian Set-aside (2008)

Career and Technical Education - Grants to Native Americans and Alaska Natives (2018)

Career and Technical Education - Grants to Native Americans and Alaska Natives (2019)

Career and Technical Education - Grants to Native Americans and Alaska Natives (2020)

Career and Technical Education - Grants to Native Americans and Alaska Natives (2021)

84.102

Emergency School Aid Act_Magnet Schools, University/Business Cooperation and Neutral Site Planning (1980U1)

Transferred from 13.589 (1980U1)

Archived (1982B)

84.103

Training for Special Programs Staff and Leadership Personnel (1980U1)

Transferred from 13.592 (1980U1)

Training Program for Federal TRIO Programs (1993B)

Higher Education_TRIO Staff Training Program (1994U)

TRIO Staff Training Program (2005)

TRIO Staff Training Program (2005)

TRIO Staff Training Program (2018)

TRIO Staff Training Program (2019)

TRIO Staff Training Program (2020)

TRIO Staff Training Program (2021)

84.104

Career Education State Allotment Program (1980U1)

Transferred from 13.596 (1980U1)

Archived (1982B)

84.105

Basic Skills Improvements (1980U1)

Transferred from 13.599 (1980U1)

Basic Skills Improvement (1981B)

Archived (1982B)

84.106

Emergency School Aid Act_Planning Grants (1980U1)

Transferred from 13.685 (1980U1)

Archived (1982B)

84.107

Emergency School Aid Act_Pre-Implementation Assistance Grants (1980U1)

Transferred from 13.686 (1980U1)

Archived (1982B)

84.108

Emergency School Aid Act_Out-of-Cycle Grants (1980U1)

Transferred from 13.687 (1980U1)

Archived (1982B)

84.109

Emergency School Aid Act_Special Discretionary Assistance Grants (1980U1)

Transferred from 13.688 (1980U1)

Archived (1982B)

84.110

Emergency School Aid Act_State Agency Grants (1980U1)

Transferred from 13.689 (1980U1)

Archived (1982B)

84.111

Emergency School Aid Act_Grants for the Arts (1980U1)

Transferred from 13.690 (1980U1)

Archived (1982B)

84.112

Biomedical Sciences for Talented Disadvantaged Secondary Students (1980U1)

Transferred from 13.691 (1980U1)

Archived (1982B)

84.113

Adult Education Program for Adult Immigrants (1980U1)

Transferred from 13.692 (1980U1)

Archived (1982B)

84.114

Capacity Building for Statistical Activities (1980U1)

Transferred from 13.922 (1980U1)

Capacity-Building Grants for Data Systems in State Agencies (1981U)

Archived (1982B)

84.115

Institute of Museum Services (1980U1)

Transferred from 13.923 (1980U1)

Transferred to 45.301 (1982B)

84.116

Fund for the Improvement of Postsecondary Education (1980U1)

Transferred from 13.925 (1980U1)

Archived (2017)

Reinstated (2018)

Fund for the Improvement of Postsecondary Education (2018)

Fund for the Improvement of Postsecondary Education (2018)

Fund for the Improvement of Postsecondary Education (2019)

Fund for the Improvement of Postsecondary Education (2020)

Fund for the Improvement of Postsecondary Education (2021)

84.117

Educational Research and Development (1980U1)

Transferred from 13.950 (1980U1)

Archived (1996B)

84.118

National Adult Education Development and Dissemination Program and Planning Grants (1980U1)

Archived (1981B)

84.119

Pre-College Teacher Development In Science Program (1980U1)

Archived (1982B)

84.120

Minority Institutions Science Improvement Program (1980U1)

Minority Science Improvement (1987B)

Minority Science and Engineering Improvement (1999B)

Minority Science and Engineering Improvement (2018)

Minority Science and Engineering Improvement (2019)

Minority Science and Engineering Improvement (2020)

Minority Science and Engineering Improvement (2021)

84.121

Vocational Education_State Planning and Evaluation (1980U1)

Archived (1985B)

84.122

Secretary's Discretionary Program (1980U1)

Archived (1982B)

Reinstated (1983U)

Secretary's Discretionary (1983U)

Archived (1990B)

84.123

Law-Related Education (1980U1)

Archived (1982B)

Reinstated (1983U)

Instruction in Civics, Government, and Law (1995B)

Archived (1997B)

84.124

Territorial Teacher Training Assistance Program (1980U1)

Archived (1995U)

84.125

Office for Handicapped Individuals (1980U1)

Transferred from 13.603 (1980U1)

Office of Information and Resources for the Handicapped (1980U2)

Clearinghouse on the Handicapped (1981U)

Clearinghouse on Disability Information (1989B)

Archived (1996B)

84.126

Rehabilitation Services_Basic Support (1980U1)

Transferred from 13.624 (1980U1)

Rehabilitation Services_Vocational Rehabilitation of State Grants (1994B)

Rehabilitation Services_Vocational Rehabilitation Grants to States (1994U)

Rehabilitation Services Vocational Rehabilitation Grants to States (2018)

Rehabilitation Services Vocational Rehabilitation Grants to States (2019)

Rehabilitation Services Vocational Rehabilitation Grants to States (2020)

Rehabilitation Services Vocational Rehabilitation Grants to States (2021)

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84.127 Vocational Rehabilitation Services for Social Security Disability Beneficiaries (1980U1) Transferred from 13.625 (1980U1) Archived (1981B)	84.139 Cities in Schools (1980U2) Archived (1982B)	Allen J. Ellender Fellowship Program (1981B) Archived (1988B)
84.128 Rehabilitation Services_Special Projects (1980U1) Transferred from 13.626 (1980U1) Rehabilitation Services_Service Projects (1984B) Archived (2015)	84.140 Push for Excellence (1980U2) Archived (1982B)	84.149 Migrant Education Program_Part B_College Assistance Program (1981B) Split from 84.141 (1981B) Migrant Education_College Assistance Migrant Program (1981U) Migrant Education College Assistance Migrant Program (2018) Migrant Education College Assistance Migrant Program (2019) Migrant Education College Assistance Migrant Program (2020) Migrant Education College Assistance Migrant Program (2021)
84.129 Rehabilitation Training (1980U1) Transferred from 13.629 (1980U1) Rehabilitation Long-Term Training (1993U) Rehabilitation Long-Term Training (2018) Rehabilitation Long-Term Training (2019) Rehabilitation Long-Term Training (2019) Rehabilitation Long-Term Training (2020) Rehabilitation Long-Term Training (2021) Rehabilitation Long-Term Training (2021)	84.141 High School Equivalency and College Assistance Migrant Program (1980U2) Split into 84.141, 84.149 (1981B) Migrant Education Program_Part A_High School Equivalency Program (1981B) Migrant Education_High School Equivalency Program (1981U) Migrant Education High School Equivalency Program (2018) Migrant Education High School Equivalency Program (2019) Migrant Education High School Equivalency Program (2020) Migrant Education High School Equivalency Program (2021)	84.151 Education Consolidation and Improvement Programs_Chapter 2 (1982B) Improving Schools Programs_State Block Grants (1983B) Federal, State, and Local Partnerships for Educational Improvement (1988U) Chapter 2_State Block Grants (1994U) Archived (1995U)
84.130 Rehabilitation Services_Innovation and Expansion (1980U1) Transferred from 13.649 (1980U1) Archived (1981U)	84.142 Housing for Educational Institutions (1980U2) Housing and Other Educational Facilities Loans (1987B) College Housing and other Educational Facilities Loans (1989B) College Facilities Loans (1990B) College Housing and Academic Facilities Loans (1991B) Archived (1998B)	84.152 Neglected or Delinquent Transition Services (1982B) Archived (1984B)
84.131 Vocational Rehabilitation Services for Supplemental Security Income Beneficiaries (1980U1) Transferred from 13.651 (1980U1) Archived (1981B)	84.143 Emergency School Aid Act_Evaluation Contracts (1980U2) Archived (1983B)	84.153 Business and International Education (1983B) International Business Education (2000B) Business and International Education Projects (2001B) Archived (2013) Reinstated (2021) Business and International Education Projects (2021) Business and International Education Projects (2021)
84.132 Centers for Independent Living (1980U1) Transferred from 13.653 (1980U1) Archived (2015)	84.144 Migrant Education_Discretionary Interstate/Intrastate Coordination Program (1980U2) Migrant Education Program_Discretionary Interstate and Intrastate Coordination (1981B) Migrant Education_Interstate and Intrastate Coordination Program (1981U) Migrant Education_Coordination Program (1990B) Migrant Education Coordination Program (2018) Migrant Education Coordination Program (2019) Migrant Education Coordination Program (2020) Migrant Education Coordination Program (2021)	84.154 Library Services and Construction Act_Construction (1983B) Public Library Construction (1985U) Public Library Construction and Technology Enhancement (1991B) Archived (1997B)
84.133 National Institute of Handicapped Research (1980U1) Transferred from 13.654 (1980U1) National Institute on Disability and Rehabilitation Research (1987B) Archived (2015)	84.145 Federal Real Property Assistance Program (1980U2) Federal Real Property Assistance Program (2018) Federal Real Property Assistance Program (2019) Federal Real Property Assistance Program (2020) Federal Real Property Assistance Program (2021)	84.155 Removal of Architectural Barriers to the Handicapped (1983U) Archived (1990B)
84.134 Telecommunications Demonstrations for Health, Education and Other Social Services (1980U1) Transferred from 13.680 (1980U1) Archived (1981U)	84.146 Transition Program for Refugee Children (1981B) Archived (1991B)	84.157 Secretary's Initiative to Improve the Quality of Chapter 1, ECIA Projects (1983U) Archived (1984B)
84.135 Aid to Land-Grant Colleges (1980U2) Transferred to 10.003 (1983U)	84.148	84.158 Secondary Education and Transitional Services for Handicapped Youth (1984B) Secondary Education and Transitional Services for Youth with Disabilities (1991B) Archived (1998B)
84.136 Legal Training for the Disadvantaged (1980U2) Archived (1997B)		
84.138 Educational Services to Cuban and Haitian Entrant Children (1980U2) Archived (1981U)		

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84.159

Handicapped-Special Studies (1984B)
 Disabled_Special Studies and Evaluation (1991B)
 Special Studies for Programs with Disabilities (1994B)
 Special Studies for Persons with Disabilities Program (1994B)
 Special Education_Special Studies for Persons with Disabilities (1994U)
 Archived (1998B)

84.160

Training Interpreters for Deaf Individuals (1984B)
 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (1993B)
 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (2018)
 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (2019)
 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (2020)
 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (2021)

84.161

Client Assistance for Handicapped Individuals (1984B)
 Client Assistance for Individuals with Handicaps (1990U)
 Client Assistance for Individuals with Disabilities (1991B)
 Rehabilitation Services_Client Assistance Program (1991U)
 Rehabilitation Services Client Assistance Program (2018)
 Rehabilitation Services Client Assistance Program (2018)
 Rehabilitation Services Client Assistance Program (2019)
 Rehabilitation Services Client Assistance Program (2019)
 Rehabilitation Services Client Assistance Program (2020)
 Rehabilitation Services Client Assistance Program (2021)

84.162

Emergency Immigrant Education Assistance (1984U)
 Emergency Immigrant Education (1987U)
 Immigrant Education (1995B)
 Archived (2002B)

84.163

Library Services for Indian Tribes and Hawaiian Natives (1985B)
 Archived (1997B)

84.164

State Grants for Strengthening the Skills of Teachers and Instruction in Mathematics, Science, Foreign Languages, and Computer Learning (1985B)
 State Grants for Strengthening the Skills of Teachers and Instruction in Mathematics and Science (1989B)
 Mathematics and Science Education (1990B)
 Eisenhower Mathematics and Science Education_State Grants (1990U)
 Archived (1995U)

84.165

Magnet Schools Assistance (1985B)
 Magnet Schools Assistance in Desegregating Districts (1991B)
 Magnet Schools Assistance (1994U)
 Magnet Schools Assistance (2018)
 Magnet Schools Assistance (2019)
 Magnet Schools Assistance (2019)
 Magnet Schools Assistance (2020)
 Magnet Schools Assistance (2021)

84.167

Library Literacy (1986B)
 Archived (1996U)

84.168

Secretary's Discretionary Program for Mathematics, Science Computer Learning, and Critical Foreign Languages (1985B)
 National Programs for Strengthening, Teaching, and Administration in Mathematics and Science Programs (1989B)
 National Program for Mathematics and Science Education (1989U)
 Eisenhower National Program for Mathematics and Science Education (1991U)
 Dwight D. Eisenhower National Program for Mathematics and Science Education (1992B)
 Eisenhower Mathematics and Science Education_National Program (1994U)
 Eisenhower Professional Development_Federal Activities (1995B)
 Eisenhower Professional Development_National Activities (1995U)
 Eisenhower Professional Development_Federal Activities (1997U)
 Deleted (2004)

84.169

Comprehensive Services for Independent Living (1985B)
 Independent Living Services (1993B)
 Independent Living_State Grants (1993U)
 Archived (2015)

84.170

National Graduate Fellows (1985B)
 Jacob K. Javits Fellowships (1987B)
 Javits Fellowships (1993U)
 Archived (2013)

84.171

Excellence in Education (1985B)
 Archived (1988B)

84.172

Construction, Reconstruction, and Renovation of Academic Facilities (1985B)
 Archived (1990B)

84.173

Handicapped_Preschool Incentive Grants (1985U)
 Handicapped_Preschool Grants (1987U)
 Special Education_Preschool Grants (1991B)
 Special Education Preschool Grants (2018)

Special Education Preschool Grants (2019)
 Special Education Preschool Grants (2020)
 Special Education Preschool Grants (2021)

84.174

Vocational Education_Community Based Organizations (1986B)
 Archived (1996B)

84.175

Rehabilitation Services_Innovation and Expansion (1986B)
 Archived (1986U)

84.176

Carl D. Perkins Scholarships (1986U)
 Paul Douglas Teacher Scholarships (1987U)
 Douglas Teacher Scholarships (1992B)
 Archived (1997B)

84.177

Rehabilitation Services_Independent Living for Older Blind Individuals (1986B)
 Rehabilitation Services_Independent Living Services for Older Blind Individuals (1987U)
 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (1993B)
 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (2018)
 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (2018)
 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (2019)
 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (2019)
 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (2020)
 Rehabilitation Services Independent Living Services for Older Individuals Who are Blind (2021)

84.178

Leadership in Educational Administration Development (1986U)
 Archived (1995B)

84.180

Technology, Educational Media and Materials for the Handicapped (1987B)
 Technology, Educational Media and Materials for Individuals with Disabilities (1991B)
 Technology Applications for Individuals with Disabilities (1993B)
 Technology, Educational Media and Materials for Individuals with Disabilities (1994B)
 Technology Applications for Individuals with Disabilities (1995U)
 Archived (1998B)

84.181

Handicapped Infants and Toddlers (1987B)
 Infants and Toddlers with Disabilities (1991B)
 Grants for Infants and Toddlers with Disabilities (1992U)
 Grants for Infants and Families with Disabilities (1993U)
 Special Education_Grants for Infants and Families with Disabilities (1994U)

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Special Education-Grants for Infants and Families (2008)	Centers (1987B) Archived (1995U)	College Library Technology and Cooperation Grants (1992U) Archived (1996B)
Special Education-Grants for Infants and Families (2018)	84.190 Christa McAuliffe Fellowships (1987B) Archived (1997B)	84.198 Workplace Literacy Partnership (1988B) Workplace Literacy (1988U) Workplace Literacy Partnerships (1990U) National Workplace Literacy Partnerships (1991B) National Workplace Literacy Program (1991U) National Workplace Literacy Partnerships (1993U) Workplace Literacy Partnerships (1994U) Archived (1998B)
Special Education-Grants for Infants and Families (2019)	84.191 National Adult Education Discretionary Program (1987U) National Adult Education Research (1989B) National Adult Education Discretionary Program (1991B) Adult Education_National Programs (1994U) Adult Education_Evaluation and Technical Assistance (1997B) Adult Education_National Leadership Activities (1998U) Adult Education National Leadership Activities (2018) Adult Education National Leadership Activities (2019) Adult Education National Leadership Activities (2020) Adult Education National Leadership Activities (2021)	84.199 Vocational Education_Cooperative Demonstration (1988B) Archived (1996B)
Special Education-Grants for Infants and Families (2020)	84.192 Adult Education for the Homeless (1987U) Adult Education_Literacy Training for Homeless Adults (1994U) Archived (1997B)	84.200 Graduate Assistance in Areas of National Need (1988B) Graduate Assistance in Areas of National Need (2005) Graduate Assistance in Areas of National Need (2005) Graduate Assistance in Areas of National Need (2018) Graduate Assistance in Areas of National Need (2019) Graduate Assistance in Areas of National Need (2020) Graduate Assistance in Areas of National Need (2021)
Special Education-Grants for Infants and Families (2021)	84.193 Demonstration Centers for the Retraining of Dislocated Workers (1987U) Demonstration Centers for the Training of Dislocated Workers (1991B) Archived (1994B)	84.201 School Dropout Demonstration Assistance (1988B) Dropout Demonstration Assistance (1994U) Dropout Prevention Demonstration Assistance (1995U) Archived (1997B)
84.184 National Program for Drug-Free Schools and Communities (1987B) Drug-Free Schools and Communities_National Programs (1990U) Safe and Drug-Free Schools and Communities_National Programs (1996U) School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (2016) School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (2018) School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (2019) School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (2020) School Safety National Activities (formerly, Safe and Drug-Free Schools and Communities-National Programs) (2021)	84.194 Bilingual Education Support Services (1987U) Archived (2002B)	84.202 Grants to Institutions to Encourage Minority Participation in Graduate Education (1988B) Grants to Institutions to Encourage Women and Minority Participation in Graduate Education (1992U) Women and Minority Participation in Graduate Education (1994B) Archived (1996B)
84.185 Robert C. Byrd Honors Scholarships (1987B) Byrd Honors Scholarships (1993U) Archived (2012)	84.195 Bilingual Education Training Grants (1987U) Bilingual Education_Professional Development (1996B) Deleted (2004)	84.203 Star Schools Program (1988B) Star Schools (1994U) Archived (2009)
84.186 Drug-Free Schools and Communities_State Grants (1987B) Safe and Drug-Free Schools_State Grants (1995B) Safe and Drug-Free Schools and Communities_State Grants (1996U) Archived (2012)	84.196 State Activities_Education of Homeless Children and Youth (1987U) State Activities_Education for Homeless Children and Youth (1990B) Education for Homeless Children and Youth_Grants for State and Local Activities (1992B) Education for Homeless Children and Youth (1994U) Education for Homeless Children and Youth (2018) Education for Homeless Children and Youth (2019) Education for Homeless Children and Youth (2020) Education for Homeless Children and Youth (2021)	84.204 School, College, and University Partnership (1988U) School, College, and University Partnerships (1991B) Archived (1997B)
84.187 Supported Employment Services for Individuals with Severe Handicaps (1987B) Supported Employment Services for Individuals with Severe Disabilities (1993B) Supported Employment Services for Individuals with Significant Disabilities (2008) Supported Employment Services for Individuals with the Most Significant Disabilities (2010) Supported Employment Services for Individuals with the Most Significant Disabilities (2018) Supported Employment Services for Individuals with the Most Significant Disabilities (2019) Supported Employment Services for Individuals with the Most Significant Disabilities (2020) Supported Employment Services for Individuals with the Most Significant Disabilities (2021)	84.197 College Library Technology and Cooperation Grants (1988B) College Library Technology (1990U)	84.206 Jacob K. Javits Gifted and Talented Students (1988U) Jacob K. Javits Gifted and Talented Students Education Grant Program (1991U) Javits Gifted and Talented Students Education Grant Program (1994U)
84.188 Drug-Free Schools and Communities_Regional		

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Javits Gifted and Talented Students Education (2011) Archived (2012) Reinstated (2014) Javits Gifted and Talented Students Education (2018) Javits Gifted and Talented Students Education (2019) Javits Gifted and Talented Students Education (2020) Javits Gifted and Talented Students Education (2021)	(2019) Innovative Approaches to Literacy, Full-service Community Schools; and Promise Neighborhoods (2020) To: Innovative Approaches to Literacy; Promise Neighborhoods; and Full-Service Community Schools From: Innovative Approaches to Literacy, Full-service Community Schools; and Promise Neighborhoods (2021) Innovative Approaches to Literacy; Promise Neighborhoods; and Full-Service Community Schools (2021)	State Grants for Technology-Related Assistance to Individuals with Disabilities (1989B) State Grants for Assistive Technology (1994U) Assistive Technology (1999B) Archived (2015)
84.207 Educational Personnel Training (1989B) School Personnel Training (1990B) Drug-Free Schools and Communities_School Personnel Training (1990U) Archived (1995U)	84.216 Private School_Capital Expenses (1988U) Archived (2003B)	84.225 Education for Homeless Children and Youth_Exemplary Grants (1989U) Archived (1992B)
84.208 Native Hawaiian Model Curriculum Implementation Project (1988U) Native Hawaiian Model Curriculum Development (1989U) Archived (1995U)	84.217 Ronald E. McNair Post-Baccalaureate Achievement (1989B) McNair Post-Baccalaureate Achievement (1993U) TRIO_McNair Post-Baccalaureate Achievement (2002B) TRIO McNair Post-Baccalaureate Achievement (2018) TRIO McNair Post-Baccalaureate Achievement (2019) TRIO McNair Post-Baccalaureate Achievement (2020) TRIO McNair Post-Baccalaureate Achievement (2021)	84.226 Income Contingent Loan Program (1990B) Archived (1994B)
84.209 Native Hawaiian Family Based Education Centers (1988U) Deleted (2004)	84.218 State Improvement (1989B) State Program Improvement Grants (1990B) State School Improvement Grants (1996B) Archived (1998B)	84.227 Secondary Schools_Basic Skills Demonstration Assistance (1990B) Archived (1992B)
84.210 Native Hawaiian Gifted and Talented (1988U) Deleted (2004)	84.219 Student Literacy Corps (1989B) Student Literacy Corps and Student Mentoring Corps Programs (1992U) Student Literacy Corps and Student Mentoring Corps (1994B) Archived (1995B)	84.228 Educational Partnerships (1990B) Education Partnerships (1994U) Archived (1995B)
84.211 FIRST Schools and Teachers (1988U) Archived (1995U)	84.220 Center for International Business Education (1989B) Centers for International Business Education (2018) Centers for International Business Education (2019) Centers for International Business Education (2020) Centers for International Business Education (2021)	84.229 Language Resource Centers (1990B) Language Resource Centers (2018) Language Resource Centers (2019) Language Resource Centers (2020) Language Resource Centers (2021)
84.212 FIRST Family School Partnerships (1988U) FIRST Family School Partnership (1989U) Archived (1995U)	84.221 Native Hawaiian Special Education (1989B) Deleted (2004)	84.230 Technology Education Demonstration (1990B) Archived (1994B)
84.213 Even Start_Local Education (1988U) Even Start_Local Education Agencies (1989B) Even Start_Local Educational Agencies (1990U) Even Start_State Educational Agencies (1991U) Archived (2012)	84.222 National School Volunteer Program (1989B) Archived (1991B)	84.231 Demonstration and Innovation Projects of National Significance in Technology-Related Assistance for Individuals with Disabilities (1990B) Demonstration and Innovation Projects of National Significance in Assistive Technology for Individuals with Disabilities (1994U) Archived (1995U)
84.214 Even Start_Migrant Education (1988U) Archived (2012)	84.223 State-Administered English Literacy (1989B) English Literacy Program (1989U) Archived (1995B)	84.232 Mid-Career Teacher Training (1990B) Archived (1993B)
84.215 Innovation in Education (1988U) The Secretary's Fund for Innovation in Education (1989B) Fund for the Improvement of Education (1994U) Fund for the Improvement of Education (2018) To: Innovative Approaches to Literacy, Full-service Community Schools; and Promise Neighborhoods From: Fund for the Improvement of Education (2018) Innovative Approaches to Literacy, Full-service Community Schools; and Promise Neighborhoods (2019) (2019) Innovative Approaches to Literacy, Full-service Community Schools; and Promise Neighborhoods	84.224	84.233 Emergency Grants (1991B) Drug-Free Schools and Communities_Emergency Grants (1992B) Archived (1995U)
		84.234 Projects with Industry (1991B) Archived (2012)
		84.235 Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Handicaps (1991B) Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Disabilities (1992B)

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- Rehabilitation Services Demonstration and Training_Special Demonstration Programs (1999B)
Rehabilitation Services Demonstration and Training Programs (2003B)
Rehabilitation Services Demonstration and Training Programs (2018)
Rehabilitation Services Demonstration and Training Programs (2019)
Rehabilitation Services Demonstration and Training Programs (2020)
Rehabilitation Services Demonstration and Training Programs (2021)
- 84.236**
Training and Public Awareness Projects in Technology Related Assistance for Individuals with Disabilities (1991B)
Training and Public Awareness Projects in Assistive Technology for Individuals with Disabilities (1994U)
Archived (1995U)
- 84.237**
Children and Youth with Serious Emotional Disturbance (1991B)
Special Education_Program for Children with Serious Emotional Disturbance (1994U)
Archived (1998B)
- 84.238**
Training Programs for Educators_Alcohol Abuse (1991B)
Archived (1995B)
- 84.239**
Foreign Language Materials Acquisition (1991B)
Archived (1995B)
- 84.240**
Program of Protection and Advocacy (1991B)
Program of Protection and Advocacy of Individual Rights (1992B)
Program of Protection and Advocacy of Individual Rights (2018)
Program of Protection and Advocacy of Individual Rights (2019)
Program of Protection and Advocacy of Individual Rights (2020)
Program of Protection and Advocacy of Individual Rights (2021)
- 84.241**
Counselor Training (1991B)
Drug-Free Schools_Counselor Training (1994U)
Archived (1995U)
- 84.242**
National Science Scholars (1991B)
Archived (1997B)
- 84.243**
Tech-Prep Education (1991B)
- 84.244**
Business and Education Standards (1991B)
Archived (1997B)
- 84.245**
Tribally Controlled Postsecondary Vocational Institutions (1991B)
Tribally Controlled Postsecondary Vocational and Technical Institutions (1999B)
Tribally Controlled Postsecondary Career and Technical Institutions (2007)
Tribally Controlled Postsecondary Career and Technical Institutions (2018)
Tribally Controlled Postsecondary Career and Technical Institutions (2019)
Tribally Controlled Postsecondary Career and Technical Institutions (2020)
Tribally Controlled Postsecondary Career and Technical Institutions (2021)
- 84.246**
Rehabilitation Short-Term Training (1991B)
Rehabilitation Short-Term Training (2019)
Rehabilitation Short-Term Training (2020)
Rehabilitation Short-Term Training (2021)
- 84.247**
Commercial Drivers Education (1991U)
Archived (1994B)
- 84.248**
Demonstration Projects for the Integration of Vocational and Academic Learning (1991U)
Archived (1997B)
- 84.249**
Foreign Languages Assistance (1991U)
Archived (1995U)
- 84.250**
Rehabilitation Services_American Indians with Disabilities (1991U)
Rehabilitation Services American Indians with Disabilities (2018)
To: American Indian Vocational Rehabilitation ServicesFrom: Rehabilitation Services American Indians with Disabilities (2019)
American Indian Vocational Rehabilitation Services (2019)
American Indian Vocational Rehabilitation Services (2020)
American Indian Vocational Rehabilitation Services (2021)
- 84.251**
Periodicals Published Outside the United States (1992B)
Foreign Periodicals (1993U)
Archived (1996B)
- 84.252**
Urban Community Services (1992B)
Urban Community Service (1994B)
Archived (2001B)
- 84.253**
Supplementary State Grants for Facilities, Equipment, and Other Program Improvement Activities (1992B)
Archived (1995B)
- 84.254**
State Literacy Resource Centers (1992B)
Archived (1997B)
- 84.255**
Literacy for Incarcerated Adults (1992B)
Literacy Programs for Prisoners (1994U)
Deleted (2008)
- 84.256**
Territories_Freely Associated States Education Grant Program (1992B)
Education Grant Program for the Freely Associated States (1994U)
Freely Associated States_Education Grant Program (1997U)
Territories and Freely Associated States Education Grant Program (2010)
Archived (2018)
- 84.257**
National Institute for Literacy (1995B)
- 84.258**
Even Start_Indian Tribes and Tribal Organizations (1992U)
Archived (2012)
- 84.259**
Native Hawaiian Vocational Education (1992U)
Native Hawaiian Career and Technical Education (2007)
Native Hawaiian Career and Technical Education (2018)
Native Hawaiian Career and Technical Education (2019)
Native Hawaiian Career and Technical Education (2020)
Native Hawaiian Career and Technical Education (2021)
- 84.260**
National Academy of Science, Space, and Technology (1993B)
Archived (1995U)
- 84.261**
Dwight D. Eisenhower Leadership Development (1993B)
Eisenhower Leadership (1994B)
Eisenhower Leadership Program (1994U)
Archived (1996B)
- 84.262**
Minority Teacher Recruitment (1993U)
Archived (1999B)
- 84.263**
Rehabilitation Training_Experimental and Innovative Training (1993B)
Archived (2012)
Reinstated (2015)
Rehabilitation Training Experimental and Innovative Training (2018)
To: Innovative Rehabilitation TrainingFrom: Rehabilitation Training Experimental and Innovative

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Training (2019)	Studies (1994B)	
Innovative Rehabilitation Training (2019)	Archived (1996U)	84.285
Innovative Rehabilitation Training (2020)		Family and Community Endeavor Schools Grant Program (1995B)
Innovative Rehabilitation Training (2021)		Archived (1995U)
84.264	84.274	84.286
Rehabilitation Training_Continuing Education (1993B)	American Overseas Research Centers (1994B)	Telecommunications Demonstration Project for Mathematics (1995B)
To: Rehabilitation Training Technical Assistance CentersFrom: Rehabilitation Training Continuing Education (2018)	American Overseas Research Centers (2018)	Ready to Teach (2003B)
Rehabilitation Training Technical Assistance Centers (2018)	American Overseas Research Centers (2019)	Ready to Teach (2005)
Rehabilitation Training Technical Assistance Centers (2019)	American Overseas Research Centers (2020)	Ready to Teach (2005)
Rehabilitation Training Technical Assistance Centers (2020)	American Overseas Research Centers (2021)	Archived (2012)
Rehabilitation Training Technical Assistance Centers (2021)	84.275	84.287
	Rehabilitation Training_General Training (1995U)	21st Century Community Learning Centers Program (1995B)
	Archived (2014)	After School Learning Centers (1997B)
	84.276	Twenty-First Century Community Learning Centers (1998B)
	Goals 2000_State and Local Education Systemic Improvement Grants (1995B)	Twenty-First Century Community Learning Centers (2018)
	Archived (2002B)	Twenty-First Century Community Learning Centers (2019)
	84.277	Twenty-First Century Community Learning Centers (2020)
	Safe Schools Discretionary Grants to Local Education Agencies (1995B)	Twenty-First Century Community Learning Centers (2021)
	Archived (1995U)	
	84.278	84.288
	School to Work Opportunities (2001U)	Bilingual Education_Program Development and Implementation Grants (1995B)
	Archived (2002B)	Archived (2002B)
	84.279	84.289
	Goals 2000_Assessment Development and Evaluation Grants (1995B)	Bilingual Education_Program Enhancement Grants (1995B)
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84.266		
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84.267		
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84.268		
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84.272		
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84.273		
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84.296

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84.297

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84.298

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84.299

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84.300

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84.301

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84.302

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84.303

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84.304

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84.305

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84.306

National Institute on the Education of At-Risk Students (1995U)
Deleted (2004)

84.307

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84.308

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84.309

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84.310

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84.311

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84.312

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84.313

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84.314

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84.315

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84.316

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84.318

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84.319

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84.320

Alaska Native Educational Planning, Curriculum Development, Teacher Training, and Recruitment Program (1997B)
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84.321

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84.322

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84.323

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84.324

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84.325

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84.326

Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (1998B)
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Dissemination to Improve Services and Results for Children with Disabilities (2019)
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84.327

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Special Education Educational Technology Media, and Materials for Individuals with Disabilities (2021)

84.328

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84.329

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84.330

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84.331

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84.332

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84.333

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84.334

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84.335

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84.336

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84.337

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84.338

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84.339

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84.340

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84.341

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84.342

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84.343

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84.372 Statewide Data Systems (2006) Statewide Longitudinal Data Systems (2014) Statewide Longitudinal Data Systems (2018) Statewide Longitudinal Data Systems (2018) Statewide Longitudinal Data Systems (2019) Statewide Longitudinal Data Systems (2020) Statewide Longitudinal Data Systems (2021)	84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (2008) Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (2018) Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (2019) Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (2020) Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (2021)	84.388 School Improvement Grants, Recovery Act (2009) Archived (2011)
84.373 Special Education_Technical Assistance on State Data Collection (2006) Special Education Technical Assistance on State Data Collection (2018) Special Education Technical Assistance on State Data Collection (2019) Special Education Technical Assistance on State Data Collection (2020) Special Education Technical Assistance on State Data Collection (2021)	84.380 Special Education -- Olympic Education Programs (2008) Special Education -- Olympic Education Programs (2018) Special Education -- Olympic Education Programs (2019) Special Education -- Olympic Education Programs (2020) To: Special Education - Special Olympics Education Programs From: Special Education -- Olympic Education Programs (2020) Special Education - Special Olympics Education Programs (2021)	84.389 Title I Grants to Local Educational Agencies, Recovery Act (2009) Archived (2011)
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84.375 Academic Competitiveness Grants (2006) Archived (2012)	84.382 Strengthening Minority-Serving Institutions (2008) Strengthening Minority-Serving Institutions (2018) Strengthening Minority-Serving Institutions (2019) Strengthening Minority-Serving Institutions (2020) Strengthening Minority-Serving Institutions (2021)	84.391 Special Education Grants to States, Recovery Act (2009) Archived (2011)
84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants (2006) Archived (2012)	84.383 Homeless Education Disaster Assistance Program (2009) Archived (2012)	84.392 Special Education - Preschool Grants, Recovery Act (2009) Archived (2011)
	84.384 Statewide Data Systems, Recovery Act (2009) Archived (2011)	84.393 Special Education - Grants for Infants and Families, Recovery Act (2009) Archived (2011)
	84.385 Teacher Incentive Fund, Recovery Act (2009) Archived (2011)	84.394 State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act (2009) Archived (2011)
	84.386 Education Technology State Grants, Recovery Act (2009)	84.395 State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act (2009) Archived (2011)
		84.396 State Fiscal Stabilization Fund (SFSF) - What Works and Innovation Fund, Recovery Act (2009) State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund, Recovery Act (2010) Archived (2011)
		84.397 State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act (2009) Archived (2011)
		84.398 Independent Living State Grants, Recovery Act (2009) Archived (2011)
		84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act (2009)

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84.400

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84.401

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84.402

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Act (2009)
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84.403

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84.404

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84.405

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84.406

Capacity Building for Traditionally Underserved
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84.407

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84.408

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84.409

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84.410

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84.411

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84.412

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84.413

Race to the Top (2012)
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84.414

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Program) (2012)
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84.415
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84.416

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84.417

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84.418

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84.419

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84.420

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84.421

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84.422

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84.423

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(2018)
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(2018)
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(2019)
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84.424

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84.425

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84.938

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85.001

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85.002

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85.003

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85.111

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85.100

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85.101

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85.102

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85.103

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85.104

Life Sciences Awards (2009)

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85.105

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85.200

Barry M. Goldwater Scholarship Program (1997B)

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MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

85.400

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85.401

Morris K. Udall Fellowship Program (1997B)

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85.402

Morris K. Udall Native American Congressional

Internship Program (1997B)

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85.500

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(1997B)

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SMITHSONIAN INSTITUTION

85.300

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85.601

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85.750

IAF Assistance for Overseas Programs (2018)

(2018)

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IAF Assistance for Overseas Programs (2020)

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85.801

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85.802

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85.803

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86.001

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87.001

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87.002

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87.051

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87.052

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88.001

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89.001

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89.002

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90.100

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90.199

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90.200

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90.201

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90.202

Delta Local Development District Assistance (2005)

90.203

90.201 (2017)

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90.204

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90.300

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90.400

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Archived (2017)

90.401

Help America Vote Act Requirements Payments (2005)

90.402

Help America Vote Mock Election Program (2008)
Archived (2017)

90.403

U.S. Election Assistance Commission Research Grants (2010)
Archived (2017)

90.404

2018 HAVA Election Security Grants (2018)

UNITED STATES AGENCY FOR GLOBAL MEDIA, BGG

90.500

International Broadcasting Independent Grantee Organizations (2008)

UNITED STATES INSTITUTE OF PEACE

91.001

International Peace and Conflict Management_Research and Education (1990B)
Unsolicited Grant Program (2000B)
Annual Grant Competition (2009)
Archived (2017)

91.002

International Peace and Conflict Management_Articles and Manuscripts (1990B)
Solicited Grant Program (2000B)
Priority Grant Competition (2009)
Archived (2017)

91.003

91.003 Annual Senior Fellowship Competition (2010)
Archived (2017)

91.004

Public Education for Peacebuilding Awards Program (2013)
Archived (2019)

91.005

Priority Grant Competition (2018)
Priority Grant Competition (2019)
Priority Grant Competition (2019)
Priority Grant Competition (2020)
Priority Grant Competition (2021)

HEALTH AND HUMAN SERVICES, DEPARTMENT OF

93.001

Civil Rights Compliance Activities (1976B)
Number changed from 13.675 (1990U)
Civil Rights and Privacy Rule Compliance Activities (2004)
Archived (2019)

93.002

Consumer Affairs (1976B)
Number changed from 13.678 (1990U)
Archived (1999B)

93.003

Public Health and Social Services Emergency Fund (1992U)
Archived (1994B)
Public Health and Social Services Emergency Fund -- Reinstated (1995U)
Archived (2015)

93.004

Cooperative Agreements to Improve the Health Status of Minority Populations (1997U)
Archived (2019)

93.005

Project Grants for Facilities to Improve the Health Status of Minority Populations (1997U)

93.006

State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (2000B)
Archived (2016)

93.007

Public Awareness Campaigns on Embryo Adoption (2002B)
Public Awareness Campaigns on Embryo Adoption (2018)
Public Awareness Campaigns on Embryo Adoption (2019)
Public Awareness Campaigns on Embryo Adoption (2020)
Public Awareness Campaigns on Embryo Adoption (2020)
Public Awareness Campaigns on Embryo Adoption (2020)
Public Awareness Campaigns on Embryo Adoption (2021)

93.008

Medical Reserve Corps Small Grant Program (2004)
Medical Reserve Corps Small Grant Program (2018)
Medical Reserve Corps Small Grant Program (2019)
Medical Reserve Corps Small Grant Program (2020)

93.009

Compassion Capital Fund (2004)
Archived (2016)

93.010

Community-Based Abstinence Education (CBAE) (2005)
Archived (2015)

93.011

National Organizations of State and Local Officials (2011)
National Organizations of State and Local Officials (2018)
National Organizations of State and Local Officials (2019)
National Organizations of State and Local Officials (2020)
National Organizations of State and Local Officials (2021)

93.012

Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (2005)
Archived (2010)

93.013

Ambassadors for Change Program (2006)
Archived (2010)

93.014

Steps to Healthier Girls Program (2006)
Archived (2010)

93.015

HIV Prevention Programs for Women (2006)
Archived (2019)

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93.016

Postal Model for Medical Countermeasures Delivery and Distribution (2010)
Archived (2014)

93.017

Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (2006)
Archived (2012)

93.018

Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (2006)
Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (2019)
Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (2020)
Archived (2020)

93.019

Technical Assistance and Provision for Foreign Hospitals and Health Organizations (2008)
Archived (2012)
Reinstated (2012)
Technical Assistance and Provision for Foreign Hospitals and Health Organizations (2019)
Technical Assistance and Provision for Foreign Hospitals and Health Organizations (2020)

93.020

Family Support Payments to States_Assistance PaymentsNumber changed from 13.780 (1990U)
Number changed to 93.560 (1992U)

93.021

Job Opportunities and Basic Skills TrainingNumber changed from 13.781 (1990U)
Number changed to 93.561 (1992U)

93.022

Assistance Payments_ResearchNumber changed from 13.782 (1990U)
Number changed to 93.562 (1992U)

93.023

Child Support EnforcementNumber changed from 13.783 (1990U)
Number changed to 93.563 (1992U)

93.024

Child Support Enforcement ResearchNumber changed from 13.784 (1990U)
Number changed to 93.564 (1992U)

93.025

State Legalization Impact Assistance GrantsNumber changed from 13.786 (1990U)
Number changed to 93.565 (1992U)

93.026

Refugee and Entrant Assistance_State Administered ProgramsNumber changed from 13.787 (1990U)

Number changed to 93.566 (1992U)

93.027

Refugee Assistance_Voluntary Agency ProgramsNumber changed from 13.788 (1990U)
Number changed to 93.567 (1992U)

93.028

Low-Income Home Energy AssistanceNumber changed from 13.789 (1990U)
Number changed to 93.568 (1992U)

93.029

Work Incentive Program/WIN Demonstration ProgramNumber changed from 13.790 (1990U)
Archived (1991B)

93.030

Emergency Services and Shelter AFDC Transitional Housing Demonstration ProgramNumber changed from 13.791 (1990U)
Archived (1991B)

93.031

Community Services Block GrantNumber changed from 13.792 (1990U)
Number changed to 93.569 (1992U)

93.032

Community Services Block Grant_Discretionary AwardsNumber changed from 13.793 (1990U)
Number changed to 93.570 (1992U)

93.033

Community Block Grant Discretionary Awards_Community Food and NutritionNumber changed from 13.795 (1990U)
Number changed to 93.571 (1992U)

93.034

Emergency Community Services for the HomelessNumber changed from 13.796 (1990U)
Number changed to 93.572 (1992U)

93.035

Community Services Block Grant Discretionary Awards_Demonstration PartnershipsNumber changed from 13.797 (1990U)
Number changed to 93.573 (1992U)

93.036

Child Care for Families At-Risk of Welfare Dependency (1991B)
Number changed to 93.574 (1992U)

93.037

Payments to States for Day Care Assistance (1991B)
Payments to States for Child Care Assistance (1992B)
Number changed to 93.575 (1992U)

93.038

Refugee and Entrant Assistance_Discretionary Grants (1991U)
Number changed to 93.576 (1992U)

93.039

Child Care Improvement Grants (1991B)
Archived (1992B)

93.040

Family Support Centers Demonstration Program (1992B)
Number changed to 93.578 (1992U)

93.041

Special Programs for the Aging_Title III, Part G_Prevention of Abuse, Neglect, and Exploitation of Older IndividualsNumber changed from 93.552 (1992U)
Special Programs for the Aging_Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (1993U)
Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (2018)
Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (2019)
Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (2020)
Special Programs for the Aging, Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (2021)

93.042

Special Programs for the Aging_Title III, Part A_Long-Term Care Ombudsman Services for Older IndividualsNumber changed from 93.553 (1992U)
Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (1993U)
Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (2018)
Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (2019)
Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (2020)
Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (2021)
Special Programs for the Aging, Title VII, Chapter 2, Long Term Care Ombudsman Services for Older Individuals (2021)

93.043

Special Programs for the Aging_Title III, Part F_Disease Prevention and Health Promotion ServicesNumber changed from 93.555 (1992U)
Special Programs for the Aging_Title III, Part F_Disease Prevention and Health Promotion Services (1994B)
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (2002B)
Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (2018)
Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services

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- (2019)
Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (2020)
Special Programs for the Aging, Title III, Part D, Disease Prevention and Health Promotion Services (2021)
- 93.044**
Special Programs for the Aging, Title III, Part B_Grants for Supportive Services and Senior CentersNumber changed from 93.633 (1992U) Consolidated from 93.046 (2001B)
Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (2018)
Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (2019)
Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (2020)
Special Programs for the Aging, Title III, Part B, Grants for Supportive Services and Senior Centers (2021)
- 93.045**
Special Programs for the Aging, Title III, Part C_Nutrition ServicesNumber changed from 93.635 (1992U)
Special Programs for the Aging, Title III, Part C, Nutrition Services (2018)
Special Programs for the Aging, Title III, Part C, Nutrition Services (2020)
Special Programs for the Aging, Title III, Part C, Nutrition Services (2021)
- 93.046**
Special Programs for the Aging, Title III, Part D_In-Home Services for Frail Older IndividualsNumber changed from 93.641 (1992U) Consolidated into 93.044 (2001B)
- 93.047**
Special Programs for the Aging, Title VI, Part A, Indian Programs_Grants to Indian Tribes and Part B, Grants to Native HawaiiansNumber changed from 93.655 (1992U)
Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians (2002B)
Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (2018)
Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (2019)
Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (2020)
Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (2021)
Special Programs for the Aging, Title VI, Part A, Grants to Indian Tribes, Part B, Grants to Native Hawaiians (2021)
- 93.048**
Special Programs for the Aging, Title IV, Training, Research and Discretionary Projects and ProgramsNumber changed from 93.668 (1992U)
Special Programs for the Aging, Title IV, and Title II_Discretionary Projects (2002B)
Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (2018)
Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (2019)
Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (2020)
Special Programs for the Aging, Title IV, and Title II, Discretionary Projects (2021)
- 93.049**
Special Programs for the Aging, Title VII, Chapter 6_Allotments for Vulnerable Elder Rights Protection Programs (1994B)
Archived (2000B)
- 93.050**
Special Programs for the Aging, Title II_Preconference Programs for the White House Conference on Aging (1994U)
Archived (1995B)
Geosciences (2003)
- 93.051**
New Demonstration Grants to States with Respect to Alzheimer's Disease (1999B)
Alzheimer's Disease Demonstration Grants to States (2002B)
Alzheimer's Disease Demonstration Grants to States (2018)
Alzheimer's Disease Demonstration Grants to States (2019)
Alzheimer's Disease Demonstration Grants to States (2020)
Alzheimer's Disease Demonstration Grants to States (2021)
- 93.052**
Nation Family Caregiver Support Program (2001B)
National Family Caregiver Support (2002B)
National Family Caregiver Support, Title III, Part E (2007)
National Family Caregiver Support, Title III, Part E (2018)
National Family Caregiver Support, Title III, Part E (2019)
National Family Caregiver Support, Title III, Part E (2020)
National Family Caregiver Support, Title III, Part E (2021)
- 93.053**
Nutrition Services Incentive Program (2003B)
Nutrition Services Incentive Program (2018)
Nutrition Services Incentive Program (2019)
Nutrition Services Incentive Program (2020)
Nutrition Services Incentive Program (2021)
- 93.054**
National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2007)
- National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2018)
National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2019)
National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2020)
National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2021)
National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2021)
- 93.055**
Applied Leadership for Community Health Improvement (2011)
PPHF 2012-Applied Leadership for Community Health Improvement (2012)
PPHF - Applied Leadership for Community Health Improvement (2014)
Archived (2016)
- 93.056**
Initiative to Educate State and Territorial Officials about Maintaining and Strengthening Public Health in a Changing Environment (2011)
Archived (2016)
- 93.057**
National Resource Center for HIV Prevention Among Adolescents (2014)
National Resource Center for HIV Prevention Among Adolescents (2018)
National Resource Center for HIV Prevention Among Adolescents (2019)
National Resource Center for HIV Prevention Among Adolescents (2020)
Archived (2020)
- 93.058**
Tobacco Regulation Awareness, Communication, and Education Program (2012)
Archived (2014)
- 93.059**
Training in General, Pediatric, and Public Health Dentistry (2011)
Training in General, Pediatric, and Public Health Dentistry (2018)
Training in General, Pediatric, and Public Health Dentistry (2019)
Training in General, Pediatric, and Public Health Dentistry (2020)
Training in General, Pediatric, and Public Health Dentistry (2021)
- 93.060**
Competitive Abstinence Education (CAE) (2012)
Sexual Risk Avoidance Education (2016)
Sexual Risk Avoidance Education (2018)
Sexual Risk Avoidance Education (2019)
Sexual Risk Avoidance Education (2020)
Sexual Risk Avoidance Education (2020)

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Sexual Risk Avoidance Education (2021)	State Vital Statistics Improvement Program (2020)	Lifespan Respite Care Program (2018)
93.061	State Vital Statistics Improvement Program (2020)	Lifespan Respite Care Program (2019)
Innovations in Applied Public Health Research (2004)	State Vital Statistics Improvement Program (2020)	Lifespan Respite Care Program (2020)
Innovations in Applied Public Health Research (2018)	State Vital Statistics Improvement Program (2021)	Lifespan Respite Care Program (2021)
Innovations in Applied Public Health Research (2018)	93.067	93.073
Innovations in Applied Public Health Research (2019)	Global AIDS (2005)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2010)
Innovations in Applied Public Health Research (2020)	Global AIDS (2018)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2018)
Innovations in Applied Public Health Research (2021)	Global AIDS (2019)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2019)
93.062	Global AIDS (2020)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2019)
Biomonitoring Programs for State Public Health Laboratories (2008)	Global AIDS (2020)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2020)
Biomonitoring Programs for State Public Health Laboratories (2018)	Global AIDS (2021)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2020)
Biomonitoring Programs for State Public Health Laboratories (2019)	93.068	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2021)
Biomonitoring Programs for State Public Health Laboratories (2020)	Chronic Diseases: Research, Control, and Prevention (2005)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2020)
Biomonitoring Programs for State Public Health Laboratories (2021)	Chronic Diseases: Research, Control, and Prevention (2008)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2020)
93.063	Archived (2013)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2021)
Centers for Genomics and Public Health (2004)	Reinstated (2013)	93.074
Archived (2013)	Chronic Diseases: Research, Control, and Prevention (2018)	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (2018)
93.064	Chronic Diseases: Research, Control, and Prevention (2019)	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (2019)
Laboratory Training, Evaluation, and Quality Assurance Programs (2004)	Chronic Diseases: Research, Control, and Prevention (2019)	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (2019)
Laboratory Training, Evaluation, and Quality Assurance Programs (2018)	Chronic Diseases: Research, Control, and Prevention (2020)	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (2020)
Laboratory Training, Evaluation, and Quality Assurance Programs (2019)	Chronic Diseases: Research, Control, and Prevention (2020)	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (2020)
Laboratory Training, Evaluation, and Quality Assurance Programs (2019)	Chronic Diseases: Research, Control, and Prevention (2021)	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements (2021)
Laboratory Training, Evaluation, and Quality Assurance Programs (2020)	93.069	93.075
Laboratory Training, Evaluation, and Quality Assurance Programs (2020)	Public Health Emergency Preparedness (2008)	Systems Interoperability_Health and Human Services (2012)
Laboratory Training, Evaluation, and Quality Assurance Programs (2021)	Public Health Emergency Preparedness (2018)	Archived (2016)
93.065	Public Health Emergency Preparedness (2019)	93.076
Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (2005)	Public Health Emergency Preparedness (2020)	TANF Program Integrity Innovation Grants (2012)
Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (2018)	Public Health Emergency Preparedness (2020)	Archived (2016)
Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (2019)	Public Health Emergency Preparedness (2021)	93.077
Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (2020)	93.070	Family Smoking Prevention and Tobacco Control Act Regulatory Research (2012)
Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (2021)	Environmental Public Health and Emergency Response (2008)	Family Smoking Prevention and Tobacco Control Act Regulatory Research (2018)
93.066	Environmental Public Health and Emergency Response (2018)	Family Smoking Prevention and Tobacco Control Act Regulatory Research (2018)
Vital Statistics Re-engineering Program (2004)	Environmental Public Health and Emergency Response (2019)	Family Smoking Prevention and Tobacco Control Act Regulatory Research (2018)
State Vital Statistics Improvement Program (2007)	Environmental Public Health and Emergency Response (2020)	
State Vital Statistics Improvement Program (2018)	Environmental Public Health and Emergency Response (2021)	
State Vital Statistics Improvement Program (2019)	93.071	
State Vital Statistics Improvement Program (2019)	Medicare Enrollment Assistance Program (2008)	
	Medicare Enrollment Assistance Program (2018)	
	Medicare Enrollment Assistance Program (2019)	
	Medicare Enrollment Assistance Program (2020)	
	Medicare Enrollment Assistance Program (2021)	
	93.072	
	Lifespan Respite Care Program (2009)	

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- Regulatory Research (2019)
Family Smoking Prevention and Tobacco Control Act
Regulatory Research (2020)
Family Smoking Prevention and Tobacco Control Act
Regulatory Research (2021)
- 93.078**
Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (2012)
Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (2019)
Strengthening Emergency Care Delivery in the United States Healthcare System through Health Information and Promotion (2020)
- 93.079**
Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2013)
Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2018)
Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2018)
Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2019)
Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2019)
Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2020)
Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2020)
Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2020)
Cooperative Agreements to Promote Adolescent Health through School-Based HIV/STD Prevention and School-Based Surveillance (2021)
- 93.080**
Blood Disorder Program: Prevention, Surveillance, and Research (2013)
Blood Disorder Program: Prevention, Surveillance, and Research (2018)
Blood Disorder Program: Prevention, Surveillance, and Research (2019)
Blood Disorder Program: Prevention, Surveillance, and Research (2019)
Blood Disorder Program: Prevention, Surveillance, and Research (2019)
Blood Disorder Program: Prevention, Surveillance, and Research (2020)
Blood Disorder Program: Prevention, Surveillance, and Research (2020)
Blood Disorder Program: Prevention, Surveillance, and Research (2021)
- 93.081**
Science Preparedness and Response Grants, Office of Policy and Planning, ASPR (2012)
- Archived (2013)
Reinstated (2013)
ASPR Science Preparedness and Response Grants (2013)
ASPR Science Preparedness and Response Grants (2018)
ASPR Science Preparedness and Response Grants (2019)
ASPR Science Preparedness and Response Grants (2020)
- 93.082**
Sodium Reduction in Communities (2013)
Sodium Reduction in Communities (2018)
Sodium Reduction in Communities (2019)
Sodium Reduction in Communities (2019)
Sodium Reduction in Communities (2019)
Sodium Reduction in Communities (2020)
Sodium Reduction in Communities (2020)
Sodium Reduction in Communities (2020)
Sodium Reduction in Communities (2020)
Sodium Reduction in Communities (2021)
- 93.083**
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2013)
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2018)
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2019)
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2019)
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2019)
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2019)
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2020)
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2020)
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2020)
Prevention of Disease, Disability, and Death through Immunization and Control of Respiratory and Related Diseases (2021)
- 93.084**
Prevention of Disease, Disability, and Death by Infectious Diseases (2013)
Prevention of Disease, Disability, and Death by Infectious Diseases (2018)
Prevention of Disease, Disability, and Death by Infectious Diseases (2019)
Prevention of Disease, Disability, and Death by Infectious Diseases (2019)
Prevention of Disease, Disability, and Death by Infectious Diseases (2020)
Prevention of Disease, Disability, and Death by Infectious Diseases (2020)
Prevention of Disease, Disability, and Death by Infectious Diseases (2020)
Prevention of Disease, Disability, and Death by Infectious Diseases (2020)
Prevention of Disease, Disability, and Death by Infectious Diseases (2020)
- Infectious Diseases (2021)
- 93.085**
Research of the Responsible Conduct of Research (RCR) (2013)
Research on Research Integrity (2015)
Research on Research Integrity (2018)
Research on Research Integrity (2020)
(2020)
Research on Research Integrity (2020)
Research on Research Integrity (2021)
- 93.086**
Healthy Marriage Promotion and Responsible Fatherhood Grants (2006)
Healthy Marriage Promotion and Responsible Fatherhood Grants (2018)
Healthy Marriage Promotion and Responsible Fatherhood Grants (2019)
Healthy Marriage Promotion and Responsible Fatherhood Grants (2020)
Healthy Marriage Promotion and Responsible Fatherhood Grants (2021)
- 93.087**
Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (2007)
Enhance Safety of Children Affected by Substance Abuse (2012)
Enhance Safety of Children Affected by Substance Abuse (2018)
Enhance Safety of Children Affected by Substance Abuse (2019)
Enhance Safety of Children Affected by Substance Abuse (2020)
Enhance Safety of Children Affected by Substance Abuse (2021)
- 93.088**
Advancing System Improvements to Support Targets for Healthy People 2010 (2008)
Advancing System Improvements for Key Issues in Women's Health (2015)
Advancing System Improvements for Key Issues in Women's Health (2018)
Advancing System Improvements for Key Issues in Women's Health (2019)
Advancing System Improvements for Key Issues in Women's Health (2020)
(2020)
Advancing System Improvements for Key Issues in Women's Health (2021)
- 93.089**
Emergency System for Advance Registration of Volunteer Health Professionals (2009)
Emergency System for Advance Registration of Volunteer Health Professionals (2019)
Emergency System for Advance Registration of Volunteer Health Professionals (2020)
- 93.090**
Guardianship Assistance (2009)
Guardianship Assistance (2018)
Guardianship Assistance (2019)
Guardianship Assistance (2020)
Guardianship Assistance (2021)

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93.091

Social Services and Income Maintenance Benefits Enrollment Coordination Grants (2010)
Archived (2016)

93.092

Personal Responsibility Education Program (2010)
Affordable Care Act (ACA) Personal Responsibility Education Program (2011)
Affordable Care Act (ACA) Personal Responsibility Education Program (2018)
Affordable Care Act (ACA) Personal Responsibility Education Program (2019)
Affordable Care Act (ACA) Personal Responsibility Education Program (2020)
Affordable Care Act (ACA) Personal Responsibility Education Program (2021)

93.093

Demonstration Projects to Address Health Professions Workforce Needs (2010)
Health Profession Opportunity Grants (2011)
Affordable Care Act (ACA) Health Profession Opportunity Grants (2011)
Affordable Care Act (ACA) Health Profession Opportunity Grants (2018)
Affordable Care Act (ACA) Health Profession Opportunity Grants (2019)
To: Health Profession Opportunity GrantsFrom: Affordable Care Act (ACA) Health Profession Opportunity Grants (2020)
Health Profession Opportunity Grants (2020)
Health Profession Opportunity Grants (2021)

93.094

WELL-INTEGRATED SCREENING AND EVALUATION FOR WOMEN ACROSS THE NATION (2013)
Well-Integrated Screening and Evaluation for Women Across the Nation (2018)
Well-Integrated Screening and Evaluation for Women Across the Nation (2019)
Well-Integrated Screening and Evaluation for Women Across the Nation (2019)
Archived (2019)

93.095

HHS Programs for Disaster Relief Appropriations Act - Non Construction (2013)
Archived (2018)

93.096

HHS Programs for Disaster Relief Appropriations Act - Construction (2013)
Archived (2018)

93.097

Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (2013)
Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (2018)
Strengthening the Nation's Public Health System

through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (2019)

Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (2020)

Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (2020)

Strengthening the Nation's Public Health System through a National Voluntary Accreditation Program for State, Tribal, Local and Territorial Health Departments (2021)

93.098

Tribal Public Health Capacity Building and Quality Improvement (2013)

Tribal Public Health Capacity Building and Quality Improvement (2018)

Tribal Public Health Capacity Building and Quality Improvement (2019)

Tribal Public Health Capacity Building and Quality Improvement (2019)

Tribal Public Health Capacity Building and Quality Improvement (2020)

Tribal Public Health Capacity Building and Quality Improvement (2020)

Tribal Public Health Capacity Building and Quality Improvement (2021)

93.099

Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (2018)

Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (2019)

Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (2020)

Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (2020)

Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (2020)

Collaboration With the World Health Organization and its Regional Offices for Global Health Security and the International Health Regulations (IHR 2005) (2021)

93.100

Field-Initiated Small Grants in Minority Health (1993B)

Archived (1995B)

Health Disparities Grants in Minority Health, Reinstated (2001B)

Health Disparities in Minority Health (2002B)
Archived (2010)

93.101

Grants for Residential Treatment Programs for Pregnant and Postpartum Women (1993U)
Archived (2002B)

93.102

Demonstration Grants for Residential Treatment for Women and Their Children (1993U)
Archived (2001B)

93.103

Food and Drug Administration_ResearchNumber changed from 13.103 (1990U)
Food and Drug Administration Research (2019)
Food and Drug Administration Research (2020)
Food and Drug Administration Research (2021)

93.104

Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (1993U)

Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (2018)

Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (2018)

Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (2019)

Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (2020)

Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (2021)

93.105

Bilingual/Bicultural Service Demonstration Projects in Minority Health (1993U)

Bilingual/Biculture Service Demonstration Grants (2002B)

Archived (2012)

93.106

Minority International Research Training Grant in the Biomedical and Behavioral Sciences (1993U)
Consolidated into 93.989 (2006)

93.107

Area Health Education Centers_Model Programs (1993U)

Model State-Supported Area Health Education Centers (1999U)

Area Health Education Centers Point of Service Maintenance and Enhancement Awards (2010)

Area Health Education Centers (2016)

Area Health Education Centers (2018)

Area Health Education Centers (2019)

Area Health Education Centers (2020)

Area Health Education Centers (2021)

93.108

Health Education Assistance LoansNumber changed from 13.108 (1990U)
Deleted (2005)

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13.129 (1990U) Technical and Non-Financial Assistance to Health Centers (1997B) Technical and Non-Financial Assistance to Health Centers and National Health Service Corps (NHSC) Delivery Sites (1999U) Technical and Non-Financial Assistance to Health Centers (2005) Technical and Non-Financial Assistance to Health Centers (2018) Technical and Non-Financial Assistance to Health Centers (2019) Technical and Non-Financial Assistance to Health Centers (2020) Technical and Non-Financial Assistance to Health Centers (2021)	OrganizationsNumber changed from 13.134 (1990U) Grants to Increase Organ Donations (1991B) Grants to Increase Organ Donations (2018) Grants to Increase Organ Donations (2019) Grants to Increase Organ Donations (2020) Grants to Increase Organ Donations (2021)	Protection and Advocacy for Mentally Ill IndividualsNumber changed from 13.138 (1990U) Protection and Advocacy for Individuals with Mental Illness (1993B) Protection and Advocacy for Individuals with Mental Illness (2018) Protection and Advocacy for Individuals with Mental Illness (2019) Protection and Advocacy for Individuals with Mental Illness (2020) Archived (2021)
93.130 Primary Care Services Planning and Development Cooperative AgreementsNumber changed from 13.130 (1990U) Primary Care Services_Resource Coordination and Development Primary Care Offices (1995B) Primary Care Services_Resource Coordination and Development_Primary Care Offices (1999U) Primary Care Services_Resource Coordination and Development (2002B) Primary Care Services Resource Coordination and Development (2005) Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2007) Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2018) Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2018) Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2019) Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2020) Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2021)	93.135 Centers for Research and Demonstration for Health Promotion and Disease PreventionNumber changed from 13.135 (1990U) Centers for Research and Demonstration for Health Promotion and Disease Prevention (2018) Centers for Research and Demonstration for Health Promotion and Disease Prevention (2019) Centers for Research and Demonstration for Health Promotion and Disease Prevention (2020) Centers for Research and Demonstration for Health Promotion and Disease Prevention (2020) Centers for Research and Demonstration for Health Promotion and Disease Prevention (2021)	93.139 Financial Assistance for Disadvantaged Health Professions Students (FADHPS)Number changed from 13.139 (1990U) Financial Assistance for Disadvantaged Health Professions Students (1997B) Consolidated into 93.925 (2001B)
93.131 Cooperative Agreements for Addiction Treatment Training Centers (1993U) Consolidated into 93.230 (1999B)	93.136 Injury Prevention and Control Research Projects, Injury Prevention Research Centers, Applied Methods in Surveillance Projects, and State and Community-Based Injury Control ProjectsNumber changed from 13.136 (1990U) Injury Prevention and Control Research Projects (1990U) Injury Prevention and Control Research and State Grants Projects (1992U) Injury Prevention and Control Research and State and Community Based Programs (1996B) Injury Prevention and Control Research and State and Community Based Programs (2018) Injury Prevention and Control Research and State and Community Based Programs (2019) Injury Prevention and Control Research and State and Community Based Programs (2019) Injury Prevention and Control Research and State and Community Based Programs (2020) Injury Prevention and Control Research and State and Community Based Programs (2020) Injury Prevention and Control Research and State and Community Based Programs (2020) Archived (2021) Reinstated (2021) Injury Prevention and Control Research and State and Community Based Programs (2021)	93.140 Intramural Research Training AwardNumber changed from 13.140 (1990U) Intramural Research Training Award (2018) Intramural Research Training Award (2019) Intramural Research Training Award (2020) Intramural Research Training Award (2021)
93.132 Managed Care Demonstration Models for SSI Beneficiaries Disabled Due to Addiction to Alcohol and Other Drugs (1993U) Archived (1997B)	93.137 Minority Community Health Coalition DemonstrationNumber changed from 13.137 (1990U) Community Programs to Improve Minority Health Grant Program (2001B) Community Programs to Improve Minority Health Grant Program (2019) Community Programs to Improve Minority Health Grant Program (2020) (2020) Community Programs to Improve Minority Health Grant Program (2020) Community Programs to Improve Minority Health Grant Program (2021)	93.141 Special State Grant Program for Increasing the Availability of the 9-1-1 Emergency Telephone Number (1993U) Special Initiatives Trauma Grant Program (1994U) Archived (1997B)
93.133 Health Services Delivery to Persons with AIDS_Demonstration GrantsNumber changed from 13.133 (1990U) Archived (1992B)	93.138	93.142 NIEHS Hazardous Waste Worker Health and Safety TrainingNumber changed from 13.142 (1990U) NIEHS Hazardous Waste Worker Health and Safety Training (2018) NIEHS Hazardous Waste Worker Health and Safety Training (2019) NIEHS Hazardous Waste Worker Health and Safety Training (2020) NIEHS Hazardous Waste Worker Health and Safety Training (2021)
93.134 Assistance for Organ Procurement		93.143 NIEHS Superfund Hazardous Substances_Basic Research and EducationNumber changed from 13.143 (1990U) NIEHS Superfund Hazardous Substances_Basic Research and Education (2018) NIEHS Superfund Hazardous Substances_Basic Research and Education (2019) NIEHS Superfund Hazardous Substances_Basic Research and Education (2020) NIEHS Superfund Hazardous Substances_Basic Research and Education (2021)
		93.144 Drug and Alcohol Abuse Prevention_High-Risk Youth Demonstration GrantsNumber changed from 13.144 (1990U) Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Youth

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(1991U) Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Youth (1992U) Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Populations (1995B) Demonstration Grants for the Prevention of Alcohol and Drug Abuse Among High-Risk Populations (1996B) Archived (2002B)	Health Center Grants for Homeless Populations (1997B) Consolidated into 93.224 (2006)	Education for Minorities (1991B) Programs of Excellence in Health Professions Education for Minorities (1991U) Centers of Excellence (1999U) Centers of Excellence (2018) Centers of Excellence (2018) Centers of Excellence (2019) Centers of Excellence (2020) Centers of Excellence (2021)
93.145 AIDS Education and Training CentersNumber changed from 13.145 (1990U) National AIDS Education and Training Centers (1994U) AIDS Education and Training Centers (1998B) HIV-Related Training and Technical Assistance (2016) HIV-Related Training and Technical Assistance (2018) HIV-Related Training and Technical Assistance (2019) HIV-Related Training and Technical Assistance (2020) HIV-Related Training and Technical Assistance (2021)	93.152 Community Demonstration Grant Projects for Alcohol and Drug Abuse Treatment of Homeless IndividualsNumber changed from 13.152 (1990U) Archived (1994)	93.158 Adolescent Health Centers for American Indians/Alaska Natives (1993U) Archived (1999B)
93.146 Temporary AIDS Drug ReimbursementsNumber changed from 13.146 (1990U) Archived (1992B)	93.153 Pediatric AIDS Health Care Demonstration ProgramNumber changed from 13.153 (1990U) Pediatric Family AIDS Health Care Demonstration Program (1993U) HIV Demonstration Program for Children, Adolescents, and Women (1994B) Coordinated HIV Services and Access to Research for Children, Youth, Women, and Families (2000B) Coordinated Services and Access to Research for Women, Infants, Children, and You (2002B) Coordinated Services and Access to Research for Women, Infants, Children, and Youth (2018) Coordinated Services and Access to Research for Women, Infants, Children, and Youth (2019) Coordinated Services and Access to Research for Women, Infants, Children, and Youth (2020) Coordinated Services and Access to Research for Women, Infants, Children, and Youth (2021)	93.159 Health Care Services in the HomeNumber changed from 13.159 (1990U) Archived (1994B)
93.147 Post-Baccalaureate Faculty FellowshipsNumber changed from 13.147 (1990U) Archived (1991B)	93.154 Special International Postdoctoral Research Program in Acquired Immunodeficiency SyndromeNumber changed from 13.154 (1990U) Archived (2001B)	93.160 Minority AIDS Education/Prevention GrantsNumber changed from 13.160 (1990U) Minority AIDS and Related Risk Factors Education/Prevention Grants (1991B) Incorporated into 93.118 (1993B)
93.148 Cooperative Agreements for Collaborative Demonstration Program for Homeless Individuals (1993U) Archived (1997B)	93.155 Rural Health Research CentersNumber changed from 13.155 (1990U) Rural Health Research Centers (2018) Rural Health Research Centers (2018) Rural Health Research Centers (2019) Rural Health Research Centers (2019) Rural Health Research Centers (2019) Rural Health Research Centers (2019) Rural Health Research Centers (2020) Rural Health Research Centers (2020) Rural Health Research Centers (2021)	93.161 Health Program for Toxic Substances and Disease RegistryNumber changed from 13.161 (1990U) Split into 93.200, 93.201, 93.203, 93.204, 93.205, 93.206, 93.207, 93.208 (1994B) Health Program for Toxic Substances and Disease Registry (2019) (2019) Health Program for Toxic Substances and Disease Registry (2019) Health Program for Toxic Substances and Disease Registry (2020) Health Program for Toxic Substances and Disease Registry (2021)
93.149 Grants for Two-Year Programs of Schools of Medicine or Osteopathic MedicineNumber changed from 13.149 (1990U) Archived (1993U)	93.156 Grants for Faculty Training Projects in Geriatric Medicine and DentistryNumber changed from 13.156 (1990U) Geriatric Training Regarding Physicians and Dentists (1999U) Geriatric Training for Physicians, Dentists, and Behavioral/Mental Health Professionals (2002B) Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (2005) Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (2006) Archived (2018)	93.162 National Health Service Corps Loan RepaymentNumber changed from 13.162 (1990U) National Health Service Corps Loan Repayment Program (1993U) National Health Service Corps Loan Repayment Program (2018) National Health Service Corps Loan Repayment Program (2019) To: National Health Service Corps Loan RepaymentFrom: National Health Service Corps Loan Repayment Program (2019) National Health Service Corps Loan Repayment (2020) National Health Service Corps Loan Repayment (2021) National Health Service Corps Loan Repayment (2021)
93.150 Mental Health Services for the Homeless Block GrantNumber changed from 13.150 (1990U) Projects for Assistance in Transition from Homelessness (PATH) (1991B) Projects for Assistance in Transition from Homelessness (PATH) (2018) Projects for Assistance in Transition from Homelessness (PATH) (2019) Projects for Assistance in Transition from Homelessness (PATH) (2020) Archived (2021)	93.157 Excellence in Minority Health Education and CareNumber changed from 13.157 (1990U) Programs for Excellence in Health Professions	93.163 Health Services in the Pacific BasinNumber changed from 13.163 (1990U) Archived (1997B)
93.151 Project Grants for Health Services to the HomelessNumber changed from 13.151 (1990U)		93.164

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Indian Health Service Educational Loan RepaymentNumber changed from 13.164 (1990U)	Biological Research Related to Deafness and Communicative DisordersNumber changed from 13.173 (1990U)	Podiatric Residency in Primary Care (1999U)
Indian Health Service Educational Loan Repayment (2019)	Research Related to Deafness and Communication Disorders (1990U)	Podiatric Residency Training in Primary Care (2002B)
Indian Health Service Educational Loan Repayment (2019)	Research Related to Deafness and Communication Disorders (2018)	Archived (2009)
Indian Health Service Educational Loan Repayment (2020)	Research Related to Deafness and Communication Disorders (2019)	93.182
Indian Health Service Educational Loan Repayment (2021)	Research Related to Deafness and Communication Disorders (2020)	Scholarships for the Undergraduate Education of Professional NursesNumber changed from 13.182 (1990U)
93.165	Research Related to Deafness and Communication Disorders (2021)	Archived (1993B)
Grants for State Loan RepaymentNumber changed from 13.165 (1990U)	93.174	93.183
Grants To States for Loan Repayment Program (2005)	Conference Grant (Substance Abuse)Number changed from 13.174 (1990U)	Federal Assistance for Rural Hospitals for the Advancement and Improvement of Health Care Services and the Enhancement of Quality CareNumber changed from 13.183 (1990U)
Grants to States for Loan Repayment Program (2018)	Knowledge Dissemination Grants (Substance Abuse) (1996B)	Archived (1991B)
Grants to States for Loan Repayment Program (2019)	Archived (1999B)	93.184
To: Grants to States for Loan RepaymentFrom:	93.175	Disabilities PreventionNumber changed from 13.184 (1990U)
Grants to States for Loan Repayment Program (2019)	Drug Abuse Treatment Waiting List Reduction GrantsNumber changed from 13.175 (1990U)	Disabilities Prevention (2018)
Grants to States for Loan Repayment (2020)	Archived (1992B)	Disabilities Prevention (2018)
Grants to States for Loan Repayment (2020)	93.176	Disabilities Prevention (2019)
Grants to States for Loan Repayment (2021)	ADAMHA Small Instrumentation Program GrantsNumber changed from 13.176 (1990U)	Disabilities Prevention (2019)
93.166	NIAAA, NIDA, and NIMH Small Instrumentation Program Grants (1992U)	Disabilities Prevention (2020)
Indian Health Service Health Promotion and Disease Prevention Demonstration ProjectsNumber changed from 13.166 (1990U)	Incorporated into 93.242, 93.273, 93.279 (1993B)	Disabilities Prevention (2020)
Archived (1991B)	93.177	Disabilities Prevention (2021)
93.167	Integrated Community-Based Primary Care and Drug Abuse Treatment ServicesNumber changed from 13.177 (1990U)	93.185
Research Facilities ImprovementNumber changed from 13.167 (1990U)	Archived (1997B)	Immunization Research, Demonstration, Public Information and Education_Education, Training, and Clinical Skills Improvement ProjectsNumber changed from 13.185 (1990U)
Archived (1991U)	93.178	Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (1994U)
93.168	Nursing Education Opportunities for Individuals from Disadvantaged BackgroundsNumber changed from 13.178 (1990U)	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (2018)
International Cooperative Biodiversity Groups Program (1995U)	Nursing Workforce Diversity (2000B)	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (2019)
Consolidated into 93.989 (2006)	Nursing Workforce Diversity (2018)	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (2020)
93.169	Nursing Workforce Diversity (2018)	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (2020)
Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse)Number changed from 13.169 (1990U)	Nursing Workforce Diversity (2019)	Immunization Research, Demonstration, Public Information and Education Training and Clinical Skills Improvement Projects (2021)
Demonstration Grants on Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse) (1991U)	Nursing Workforce Diversity (2020)	93.186
Archived (2000U)	Nursing Workforce Diversity (2021)	National Research Services AwardsNumber changed from 13.186 (1990U)
93.170	93.179	National Research Service Award in Primary Care Medicine (2005)
Community Youth Activity Demonstration GrantsNumber changed from 13.170 (1990U)	State Data Collection_Uniform Alcohol and Drug Abuse DataNumber changed from 13.179 (1990U)	National Research Service Award in Primary Care Medicine (2006)
Archived (1993B)	Archived (1993U)	National Research Service Award in Primary Care Medicine (2018)
93.171	93.180	National Research Service Award in Primary Care Medicine (2019)
Community Youth Activity Block GrantsNumber changed from 13.171 (1990U)	Medical Treatment Effectiveness ResearchNumber changed from 13.180 (1990U)	National Research Service Award in Primary Care Medicine (2021)
Archived (1993B)	Research on Health Care Outcomes and Quality (1997U)	93.187
93.172	Consolidated into 93.226 (1999B)	National Research Service Award in Primary Care Medicine (2021)
Human Genome ResearchNumber changed from 13.172 (1990U)	93.181	93.188
Human Genome Research (2018)	Podiatric Primary Care Residency TrainingNumber changed from 13.181 (1990U)	National Research Service Award in Primary Care Medicine (2021)
Human Genome Research (2019)	Grants for Podiatric Primary Care Residency Training (1993B)	93.189
Human Genome Research (2020)		National Research Service Award in Primary Care Medicine (2021)
Human Genome Research (2021)		93.190
93.173		National Research Service Award in Primary Care Medicine (2021)

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Medicine (2020)	Urban Indian Health Services (2019)	Environmentally Hazardous SubstancesSplit from 93.161 (1994B)
National Research Service Award in Primary Care Medicine (2021)	Urban Indian Health Services (2020)	Environmental Health Education Activities for Health Professionals and Communities (1996B)
	Urban Indian Health Services (2021)	Archived (1997B)
93.187	93.194	93.201
Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (1995U)	Community Partnership Demonstration GrantNumber changed from 13.194 (1990U)	Public Health Assessments and Related Site-Specific Biological TestingSplit from 93.161 (1994B)
Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (2018)	Community Partnership Study Demonstration Grant (1991U)	Archived (1997B)
Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (2019)	Community Partnership Demonstration Grant (1993U)	
Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (2020)	Community Prevention Coalitions (Partnership) Demonstration Grant (1996B)	93.202
Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (2021)	Archived (2002B)	Capacity Building Among American Indian Tribes (2002B)
		Archived (2012)
93.188	93.195	93.203
Special Project Grants to Schools of Public HealthNumber changed from 13.188 (1990U)	Disaster Relief Assistance Grants for Drug Abuse TreatmentNumber changed from 13.195 (1990U)	Health Activities Recommendation Panel Health ActivitiesSplit from 93.161 (1994B)
Public Health Training Centers (1999U)	Archived (1991B)	Archived (1997B)
Archived (2002B)		
93.189	93.196	93.204
Health Education and Training CentersNumber changed from 13.189 (1990U)	Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target CitiesNumber changed from 13.196 (1990U)	Surveillance of Hazardous Substance Emergency EventsSplit from 93.161 (1994B)
Health Education Training Centers Continuing Educational Support for Health Professionals Serving in Underserved Communities (2010)	Archived (2000U)	Archived (2015)
Archived (2012)		
93.190	93.197	93.205
Model Education Projects for Health ProfessionsNumber changed from 13.190 (1990U)	Childhood Lead Poisoning Prevention Projects_State and Community-Based Childhood Lead Poisoning PreventionNumber changed from 13.197 (1990U)	Health Outcome Studies to Hazardous Substances and Adverse Health EffectsSplit from 93.161 (1994B)
Archived (1993B)	Childhood Lead Poisoning Prevention Projects_State and Community-Based Childhood Lead Poisoning Prevention Program (1991B)	Archived (1996B)
	Childhood Lead Poisoning Prevention Projects_State and Community-Based Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (1995B)	
93.191	Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (2000B)	93.206
Allied Health Project GrantsNumber changed from 13.191 (1990U)	Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (2018)	Health Studies Initiative of Priority Health ConditionsSplit from 93.161 (1994B)
Allied Health Projects (1999U)	Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (2019)	Human Health Studies_Applied Research and Development (1997B)
Allied Health Special Projects (2002B)	Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (2020)	Archived (2009)
Patient Navigator and Chronic Disease Prevention Program (2010)	Childhood Lead Poisoning Prevention Projects, State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (2021)	Reinstated (2010)
Graduate Psychology Education Program and Patient Navigator and Chronic Disease Prevention Program (2012)		Archived (2012)
Graduate Psychology Education (2016)		
Graduate Psychology Education (2018)	93.198	93.207
Graduate Psychology Education (2019)	Biological Models and Materials ResourcesNumber changed from 13.198 (1990U)	Surveillance of the Relationship Between Hazardous Substances Exposure and Adverse Health OutcomesSplit from 93.161 (1994B)
Graduate Psychology Education (2020)	Biological Models and Materials Research (1991B)	Archived (1996B)
Graduate Psychology Education (2020)	Consolidated into 93.306 (1996B)	
Graduate Psychology Education (2021)		93.208
	93.199	Great Lakes Human Health Effects ResearchSplit from 93.161 (1994B)
93.192	HIV Home and Community-Based Health ServicesNumber changed from 13.199 (1990U)	Archived (2009)
Interdisciplinary Training for Health Care for Rural AreasNumber changed from 13.192 (1990U)	Archived (1992B)	
Quentin N. Burdick Programs for Rural Interdisciplinary Training (1999U)		93.209
Quentin N. Burdick Program for Rural Interdisciplinary Training (2005)		Contraception and Infertility Loan Repayment Program (1995U)
Archived (2010)		Contraception and Infertility Research Loan Repayment Program (2000B)
		Contraception and Infertility Research Loan Repayment Program (2018)
93.193	93.200	Contraception and Infertility Research Loan Repayment Program (2019)
Urban Indian Alcohol and Substance Abuse Prevention, Treatment, Rehabilitation and EducationNumber changed from 13.193 (1990U)	Educating Health Professionals Regarding	Contraception and Infertility Research Loan Repayment Program (2020)
Urban Indian Health Services (1991U)		Contraception and Infertility Research Loan Repayment Program (2021)
Urban Indian Health Services (2019)		

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- Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreements and IHS Compacts/Funding Agreements (2004)
- Tribal Self-Governance Program: IHS Compacts/Funding Agreements (2008)
- Tribal Self-Governance Program: IHS Compacts/Funding Agreements (2019)
- Tribal Self-Governance Program: IHS Compacts/Funding Agreements (2019)
- Tribal Self-Governance Program: IHS Compacts/Funding Agreements (2020)
- Tribal Self-Governance Program: IHS Compacts/Funding Agreements (2021)
- 93.211**
Rural Telemedicine Grants (1994B)
Telehealth Network Grants (2004)
Telehealth Programs (2010)
Telehealth Programs (2018)
Telehealth Programs (2019)
Telehealth Programs (2020)
Telehealth Programs (2021)
- 93.212**
Chiropractic Demonstration Projects (1994B)
Chiropractic Demonstration Project Grants (2002B)
Archived (2014)
- 93.213**
Research and Training in Alternative Medicine (1994B)
Research and Training in Complementary and Alternative Medicine (2001B)
Research and Training in Complementary and Integrative Health (2015)
Research and Training in Complementary and Integrative Health (2018)
Research and Training in Complementary and Integrative Health (2019)
Research and Training in Complementary and Integrative Health (2020)
Research and Training in Complementary and Integrative Health (2021)
- 93.214**
Extramural Research Facilities Construction Projects (1994B)
Consolidated into 93.389 (1996B)
- 93.215**
Hansen's Disease National Ambulatory Care Program (1995U)
- 93.216**
HIV/AIDS Mental Health Services Demonstration Program (1994U)
Archived (2000U)
- 93.217**
Family Planning_ServicesNumber changed from 13.217 (1990U)
Family Planning Services (2018)
Family Planning Services (2020)
(2020)
- Family Planning Services (2020)
Family Planning Services (2021)
- 93.218**
Substance Abuse Treatment Conference Grants (1994U)
Archived (1999B)
- 93.219**
Matching Grants for Health Professions Scholarships to Indian Tribes (1994U)
- 93.220**
Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1994U)
Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1995B)
Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (2018)
Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (2019)
Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (2020)
To: National Institutes of Health Intramural Loan Repayment ProgramFrom: Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (2021)
National Institutes of Health Intramural Loan Repayment Program (2021)
- 93.221**
Junior National Health Service Corps/Junior Health Careers Opportunity Program (1994U)
Split from 93.258, 93.822 (1994U)
Archived (1997B)
- 93.222**
Centers for Medical Education Research (1994U)
Archived (2000B)
- 93.223**
Development and Coordination of Rural Health Services (1994U)
Split from 93.913 (1994U)
Development and Coordination of Rural Health Services (2018)
Development and Coordination of Rural Health Services (2019)
Development and Coordination of Rural Health Services (2020)
Development and Coordination of Rural Health Services (2020)
Development and Coordination of Rural Health Services (2021)
- 93.224**
Community Health CentersNumber changed from 13.224 (1990U)
Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (2005)
Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (2013)
Health Center Program (Community Health Centers,
- Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (2016)
Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (2018)
Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (2019)
Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (2020)
Health Center Program (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, and Public Housing Primary Care) (2021)
- 93.225**
National Research Service Awards_Health Services Research TrainingNumber changed from 13.225 (1990U)
National Research Service Awards Health Services Research Training (2018)
National Research Service Awards Health Services Research Training (2019)
National Research Service Awards Health Services Research Training (2020)
National Research Service Awards Health Services Research Training (2021)
- 93.226**
Health Services Research and Development GrantsNumber changed from 13.226 (1990U)
Health Care Systems Cost and Access Research and Development Grants (1998B)
Consolidated from 93.180 (1999B)
Research on Healthcare Costs, Quality and Outcomes (2000B)
Research on Healthcare Costs, Quality and Outcomes (2018)
Research on Healthcare Costs, Quality and Outcomes (2019)
Research on Healthcare Costs, Quality and Outcomes (2020)
Research on Healthcare Costs, Quality and Outcomes (2021)
- 93.227**
Surveillance of the Complications of Hemophilia (1995U)
Archived (2001B)
- 93.228**
Indian Health Services_Health Management Development ProgramNumber changed from 13.228 (1990U)
Indian Health Service, Health Management Development Program (2019)
Indian Health Service, Health Management Development Program (2019)
Indian Health Service, Health Management Development Program (2020)
Indian Health Service, Health Management Development Program (2021)
- 93.229**
Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (1995B)

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Archived (2009)

93.230

Consolidated Knowledge Development and Application Program (1996B)
Consolidated from 93.131 (1999B)
Consolidated Knowledge Development and Application (KD&A) Program (1999U)
Archived (2009)

93.231

Epidemiology Cooperative Agreements (1996U)
Epidemiology Cooperative Agreements (2019)
Epidemiology Cooperative Agreements (2019)
Epidemiology Cooperative Agreements (2020)
Epidemiology Cooperative Agreements (2021)

93.232

Educational Loan Repayment Program for Research Professionals (1996U)
Loan Repayment Program for Research (1997B)
Loan Repayment Program for General Research (1997U)
Loan Repayment Program for General Research (2018)
Loan Repayment Program for General Research (2019)
Loan Repayment Program for General Research (2020)
Loan Repayment Program for General Research (2021)

93.233

National Center on Sleep Disorders Research (1997B)
National Center on Sleep Disorders Research (2018)
National Center on Sleep Disorders Research (2019)
National Center on Sleep Disorders Research (2020)
National Center on Sleep Disorders Research (2021)

93.234

Traumatic Brain Injury (1997U)
Traumatic Brain Injury_State Demonstration Grant Program (2000B)
Traumatic Brain Injury State Demonstration Grant Program (2005)
Traumatic Brain Injury State Demonstration Grant Program (2018)
Traumatic Brain Injury State Demonstration Grant Program (2019)
Traumatic Brain Injury State Demonstration Grant Program (2020)
Traumatic Brain Injury State Demonstration Grant Program (2021)

93.235

Abstinence Education (1997U)
Abstinence Education Program (2005)
Abstinence Education Program (2006)
Affordable Care Act (ACA) Abstinence Education Program (2011)
Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program (2018)
Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program (2018)
Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program (2019)
Title V State Sexual Risk Avoidance Education (Title

V State SRAE) Program (2020)
Title V State Sexual Risk Avoidance Education (Title V State SRAE) Program (2021)

93.236

Grants for Dental Public Health (1998B)
Grants for Dental Public Health Residency Training (2000B)
Grants to States to Support Oral Health Workforce Activities (2011)
Grants to States to Support Oral Health Workforce Activities (2018)
Grants to States to Support Oral Health Workforce Activities (2019)
Grants to States to Support Oral Health Workforce Activities (2020)
Grants to States to Support Oral Health Workforce Activities (2021)

93.237

Special Diabetes Program for Indians-Prevention and Treatment Projects (1998B)
Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (2001B)
Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (2019)
Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (2019)
Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (2020)
Special Diabetes Program for Indians Diabetes Prevention and Treatment Projects (2021)

93.238

Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (1998B)
Archived (2009)

93.239

Policy Research and Evaluation Grants (1998B)
Policy Research and Evaluation Grants (2019)
Policy Research and Evaluation Grants (2020)
Policy Research and Evaluation Grants (2020)
Policy Research and Evaluation Grants (2021)

93.240

State Capacity Building (1999B)
State Capacity Building (2019)
State Capacity Building (2019)
State Capacity Building (2020)
State Capacity Building (2021)

93.241

State Rural Hospital Flexibility Program (1999B)
State Rural Hospital Flexibility Program (2018)
State Rural Hospital Flexibility Program (2019)
State Rural Hospital Flexibility Program (2020)
State Rural Hospital Flexibility Program (2021)

93.242

Mental Health Research GrantsNumber changed from 13.242 (1990U)
Incorporated from 93.126, 93.176 (1993B)
Mental Health Research Grants (2018)
Mental Health Research Grants (2019)

Mental Health Research Grants (2020)
Mental Health Research Grants (2021)
Mental Health Research Grants (2021)

93.243

Substance Abuse and Mental Health Services_Projects of Regional and National Significance (2002B)
Substance Abuse and Mental Health Services Projects of Regional and National Significance (2018)
Substance Abuse and Mental Health Services Projects of Regional and National Significance (2018)
Substance Abuse and Mental Health Services Projects of Regional and National Significance (2019)
Substance Abuse and Mental Health Services Projects of Regional and National Significance (2020)
Substance Abuse and Mental Health Services Projects of Regional and National Significance (2021)

93.244

Mental Health Clinical or Service Related Training GrantsNumber changed from 13.244 (1990U)
Mental Health Clinical and AIDS Service-Related Training Grants (1995U)
Archived (2009)

93.245

New Pilot Innovative Food Safety Projects (1999B)
Innovative Food Safety Projects (2000B)
Deleted (2005)

93.246

Migrant Health Centers GrantsNumber changed from 13.246 (1990U)
Health Centers Grants for Migratory and Seasonal Farmworkers (1997B)
Health Centers Grants for Migrant and Seasonal Farmworkers (1999U)
Consolidated into 93.224 (2006)

93.247

Advanced Education Nursing Grant Program (2000B)
Consolidated from 93.298, 93.299, 93.916 (2001B)
Advanced Nursing Education Grant Program (2010)
Advanced Nursing Education Workforce Grant Program (2017)
Advanced Nursing Education Workforce Grant Program (2018)
Advanced Nursing Education Workforce Grant Program (2019)
Advanced Nursing Education Workforce Grant Program (2020)
Advanced Nursing Education Workforce Grant Program (2021)

93.248

Residencies in the Practice of Pediatric Dentistry (2000B)
Consolidated into 93.884 (2003B)
Deleted (2003)

93.249

Public Health Training Centers Grant Program (2000B)
Public Health Training Centers Program (2012)
Archived (2015)

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93.250

Geriatric Academic Career Awards Department of Health and Human Services (2018)
Geriatric Academic Career Awards Department of Health and Human Services (2019)
Geriatric Academic Career Awards Department of Health and Human Services (2020)
Geriatric Academic Career Awards Department of Health and Human Services (2021)

93.2505

Geriatric Academic Career Award (2000B)
Geriatric Academic Career Awards (2002B)
Archived (2016)
Reinstated (2017)

93.251

Universal Newborn Hearing Screening (2000B)
Universal Newborn Hearing Screening (2018)
Universal Newborn Hearing Screening (2019)
To: Early Hearing Detection and InterventionFrom: Universal Newborn Hearing Screening (2019)
Early Hearing Detection and Intervention (2020)
Early Hearing Detection and Intervention (2021)

93.252

Community Access Program (2000B)
Healthy Community Access Program (2004)
Healthy Communities Access Program (2005)
Archived (2010)

93.253

National Poison Control Systems Stabilization and Support (2000U)
Poison Control Stabilization and Enhancement Grants (2002B)
Poison Center Support and Enhancement Grant Program (2010)
Poison Center Support and Enhancement Grant Program (2018)
Poison Center Support and Enhancement Grant Program (2019)
To: Poison Center Support and Enhancement GrantFrom: Poison Center Support and Enhancement Grant Program (2019)
Poison Center Support and Enhancement Grant (2020)
Poison Center Support and Enhancement Grant (2021)

93.254

Infant Adoption Awareness Training (2001B)
Archived (2018)

93.255

Children's Hospitals Graduate Medical Education Payment (2001U)
Children's Hospitals Graduate Medical Education Payment Program (2013)
Children's Hospitals Graduate Medical Education Payment Program (2018)
Children's Hospitals Graduate Medical Education Payment Program (2019)
Children's Hospitals Graduate Medical Education Payment Program (2020)
Children's Hospitals Graduate Medical Education Payment Program (2021)

93.256

State Planning Grant_Health Care Access for the Uninsured (2001U)
State Planning Grants Health Care Access for the Uninsured (2005)
State Planning Grants Health Care Access for the Uninsured (2006)
State Health Access Program (2010)
Archived (2015)

93.257

Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (2002B)
Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (2018)
Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (2019)
Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (2020)
Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (2021)

93.258

National Health Service CorpsNumber changed from 13.258 (1990U)
Split into 93.221 (1990U)
Archived (1997B)

93.259

Rural Access to Emergency Devices Grant (2002B)
Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant (2013)
Rural Access to Emergency Devices Grant and Public Access to Defibrillation Demonstration Grant (2018)
Archived (2019)

93.260

Family Planning_Personnel TrainingNumber changed from 13.260 (1990U)
Family Planning Personnel Training (2018)
Family Planning Personnel Training (2020)
(2020)
Family Planning Personnel Training (2020)
Family Planning Personnel Training (2021)

93.261

National Diabetes Prevention Program: Preventing Type 2 Diabetes Among People at High Risk (2013)
Scaling the National Diabetes Prevention Program to Priority Populations (2017)
Scaling the National Diabetes Prevention Program to Priority Populations (2018)
Scaling the National Diabetes Prevention Program to Priority Populations (2019)
Scaling the National Diabetes Prevention Program to Priority Populations (2019)
Scaling the National Diabetes Prevention Program to Priority Populations (2020)
Scaling the National Diabetes Prevention Program to Priority Populations (2020)
Scaling the National Diabetes Prevention Program to Priority Populations (2020)
Scaling the National Diabetes Prevention Program to Priority Populations (2020)
Scaling the National Diabetes Prevention Program to Priority Populations (2021)

93.262

Occupational Safety and Health Research GrantsNumber changed from 13.262 (1990U)
Occupational Safety and Health Research Projects (2004)
Occupational Safety and Health Program (2005)
Occupational Safety and Health Program (2018)
Occupational Safety and Health Program (2019)
Occupational Safety and Health Program (2019)
Occupational Safety and Health Program (2020)
Occupational Safety and Health Program (2021)

93.263

Occupational Safety and Health_Training
GrantsNumber changed from 13.263 (1990U)
Deleted (2005)

93.264

Nurse Faculty Loan Program (NFLP) (2003B)
Nurse Faculty Loan Program (NFLP) (2018)
Nurse Faculty Loan Program (NFLP) (2019)
Nurse Faculty Loan Program (NFLP) (2020)
Nurse Faculty Loan Program (NFLP) (2021)

93.265

Comprehensive Geriatric Education Program (CGEP) (2003B)
Archived (2018)

93.266

Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (2004)
Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (2012)
Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (2018)
Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (2019)
Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (2020)
Health Systems Strengthening and HIV/AIDS Prevention, Care and Treatment under the President's Emergency Plan for AIDS Relief (2021)

93.267

State Grants for Protection and Advocacy Services (2004)
Archived (2018)

93.268

Childhood Immunization GrantsNumber changed from 13.268 (1990U)
Immunization Grants (1997U)
Immunization Cooperative Agreements (2012)
Immunization Cooperative Agreements (2018)
Immunization Cooperative Agreements (2018)
Immunization Cooperative Agreements (2019)
Immunization Cooperative Agreements (2020)
Immunization Cooperative Agreements (2021)

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93.286

Biomedical Imaging Research (2002B)
Discovery and Applied Research for Technological Innovations to Improve Human Health (2005)
Discovery and Applied Research for Technological Innovations to Improve Human Health (2006)
Discovery and Applied Research for Technological Innovations to Improve Human Health (2018)
Discovery and Applied Research for Technological Innovations to Improve Human Health (2019)
Discovery and Applied Research for Technological Innovations to Improve Human Health (2020)
Discovery and Applied Research for Technological Innovations to Improve Human Health (2021)
Discovery and Applied Research for Technological Innovations to Improve Human Health (2021)

93.287

Bioengineering Research (2002B)
Deleted (2004)

93.288

National Health Service Corps Scholarship Program
Number changed from 13.288 (1990U)
National Health Service Corps Scholarship Program (2018)
National Health Service Corps Scholarship Program (2019)
National Health Service Corps Scholarship Program (2020)
National Health Service Corps Scholarship Program (2021)

93.289

President's Council on Physical Fitness and Sports
Number changed from 13.289 (1990U)
President's Council on Fitness, Sports, and Nutrition (2010)
Archived (2019)

93.290

National Community Centers of Excellence in Women's Health (2000U)
Archived (2019)

93.291

Surplus Property Utilization
Number changed from 13.291 (1990U)
Surplus Property Utilization (2018)
Surplus Property Utilization (2019)
Surplus Property Utilization (2020)
Surplus Property Utilization (2021)

93.292

National Public Health Improvement Initiative (2013)
National Public Health Improvement Initiative (2018)
National Public Health Improvement Initiative (2019)
National Public Health Improvement Initiative (2020)
Archived (2021)

93.293

Supporting Permanent Placements of Foster Care Children Through Electronic Records Exchange (2013)
Archived (2016)

93.294

Mentoring Partnership Program - Protege (2006)
Archived (2010)

93.295

Intergenerational Approaches to HIV/AIDS
Prevention Education with Women across The Lifespan Pilot Program (2006)
Archived (2012)

93.296

State Partnership Grant Program to Improve Minority Health (2006)
State Partnership Grant Program to Improve Minority Health (2018)
State Partnership Grant Program to Improve Minority Health (2020)
State Partnership Grant Program to Improve Minority Health (2021)
Archived (2021)

93.297

Teenage Pregnancy Prevention Program (2010)
Teenage Pregnancy Prevention Program (2018)
Teenage Pregnancy Prevention Program (2020)
Teenage Pregnancy Prevention Program (2020)
Teenage Pregnancy Prevention Program (2021)

93.298

Nurse Practitioner and Nurse Midwife Education and Traineeships
Number changed from 13.298 (1990U)
Nurse Practitioner and Nurse-Midwifery Education Programs (1993U)
Consolidated into 93.247 (2001B)

93.299

Advanced Nurse Education
Number changed from 13.299 (1990U)
Consolidated into 93.247 (2001B)

93.300

National Center for Health Workforce Analysis (2002B)
National Center for Health Workforce Analysis (2018)
National Center for Health Workforce Analysis (2019)
National Center for Health Workforce Analysis (2020)
National Center for Health Workforce Analysis (2021)

93.301

Small Rural Hospital Improvement Grants (2002B)
Small Rural Hospital Improvement Grant Program (2005)
Small Rural Hospital Improvement Grant Program (2018)
Small Rural Hospital Improvement Grant Program (2019)
Small Rural Hospital Improvement Grant Program (2020)
Small Rural Hospital Improvement Grant Program (2021)

93.302

Healthy Schools, Healthy Communities (2004)
Incorporated into 93.224 (2006)

93.303

Nursing Scholarship Program (2007)
NURSE Corps Scholarship Program (2013)
NURSE Corps Scholarship Program (2018)
NURSE Corps Scholarship Program (2019)
To: Nurse Corps Scholarship
From: NURSE Corps Scholarship Program (2019)
Nurse Corps Scholarship (2020)
Nurse Corps Scholarship (2020)
Nurse Corps Scholarship (2021)

93.304

Racial and Ethnic Approaches to Community Health (2013)
Racial and Ethnic Approaches to Community Health (2018)
Racial and Ethnic Approaches to Community Health (2019)
Racial and Ethnic Approaches to Community Health (2019)
Racial and Ethnic Approaches to Community Health (2020)
Racial and Ethnic Approaches to Community Health (2020)
Racial and Ethnic Approaches to Community Health (2020)
Racial and Ethnic Approaches to Community Health (2020)
Racial and Ethnic Approaches to Community Health (2021)
Racial and Ethnic Approaches to Community Health (2021)

93.305

National State Based Tobacco Control Programs (2013)
PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (2018)
PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (2018)
PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (2019)
PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (2019)
PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (2020)
PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (2021)
PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (2021)
PPHF 2018: Office of Smoking and Health-National State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (2021)

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State-Based Tobacco Control Programs-Financed in part by 2018 Prevention and Public Health funds (PPHF) (2021)

93.306

Laboratory Animal Sciences and Primate Research
Research Number changed from 13.306 (1990U)
Comparative Medicine Program (1991U)
Comparative Medicine, Consolidated from 93.198 (1996B)
Consolidated into 93.389 (2003B)
Deleted (2003)

93.307

Loan Repayment for Health Disparities Research (2002B)
Minority Health and Health Disparities Research (2018)
Minority Health and Health Disparities Research (2019)
Minority Health and Health Disparities Research (2020)
Minority Health and Health Disparities Research (2021)

93.308

Extramural Loan Repayment for individuals from Disadvantaged Backgrounds Conducting Clinical Research (2002B)
National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (2011)
National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (2018)
National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (2019)
National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (2020)
National Institute on Minority Health and Health Disparities (NIMHD) Extramural Loan Repayment Programs (2021)

93.309

Bioinformatics and Computational Biology Research (2002B)
Consolidated into 93.859 (2003B)
Deleted (2003)

93.310

Trans-NIH Research Support (2006)
Trans-NIH Research Support (2018)
Trans-NIH Research Support (2019)
Trans-NIH Research Support (2020)
Trans-NIH Research Support (2020)
Trans-NIH Research Support (2020)
Trans-NIH Research Support (2021)

93.311

Mobilization For Health: National Prevention Partnership Awards (NPPA) (2013)
Mobilization For Health: National Prevention Partnership Awards (2013)

Mobilization For Health: National Prevention Partnership Awards (2018)

Mobilization For Health: National Prevention Partnership Awards (2019)

Mobilization For Health: National Prevention Partnership Awards (2020)

Mobilization For Health: National Prevention Partnership Awards (2020)

93.312

Child Development and, Surveillance, Research and Prevention (2013)
Child Development and, Surveillance, Research and Prevention (2018)
Child Development and, Surveillance, Research and Prevention (2019)
Child Development and, Surveillance, Research and Prevention (2019)
Child Development and, Surveillance, Research and Prevention (2020)
Child Development and, Surveillance, Research and Prevention (2020)
Child Development and, Surveillance, Research and Prevention (2020)
Child Development and, Surveillance, Research and Prevention (2020)
Child Development and, Surveillance, Research and Prevention (2021)

93.313

NIH Office of Research on Women's Health (2012)
NIH Office of Research on Women's Health (2018)
NIH Office of Research on Women's Health (2019)
NIH Office of Research on Women's Health (2020)
NIH Office of Research on Women's Health (2021)

93.314

Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2013)
Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2018)
Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2019)
Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2019)
Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2020)
Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2020)
Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2020)
Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2020)
Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2020)
Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program (2021)

93.315

Rare Disorders: Research, Surveillance, Health Promotion, and Education (2013)
Rare Disorders: Research, Surveillance, Health Promotion, and Education (2018)
Rare Disorders: Research, Surveillance, Health Promotion, and Education (2019)
Rare Disorders: Research, Surveillance, Health Promotion, and Education (2019)
Rare Disorders: Research, Surveillance, Health Promotion, and Education (2020)
Rare Disorders: Research, Surveillance, Health Promotion, and Education (2020)

Promotion, and Education (2020)

Rare Disorders: Research, Surveillance, Health Promotion, and Education (2021)

93.316

Public Health Preparedness and Response Science, Research, and Practice (2014)
Public Health Preparedness and Response Science, Research, and Practice (2018)
Public Health Preparedness and Response Science, Research, and Practice (2019)
Public Health Preparedness and Response Science, Research, and Practice (2019)
Public Health Preparedness and Response Science, Research, and Practice (2020)
Public Health Preparedness and Response Science, Research, and Practice (2020)
Public Health Preparedness and Response Science, Research, and Practice (2021)

93.317

Emerging Infections Programs (2014)
Emerging Infections Programs (2018)
Emerging Infections Programs (2019)
Emerging Infections Programs (2020)
Emerging Infections Programs (2020)
Emerging Infections Programs (2021)

93.318

Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (2014)
Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (2018)
Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (2019)
Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (2020)
Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (2020)
Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (2020)
Protecting and Improving Health Globally: Building and Strengthening Public Health Impact, Systems, Capacity and Security (2021)

93.319

Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2014)
Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2018)
Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2019)
Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2019)
Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2020)
Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2020)
Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2020)
Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2020)
Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas (2020)

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Obesity in High Risk Rural Areas (2021)

93.320

Affordable Care Act (ACA) Research and Evaluation of the Maternal, Infant and Early Childhood Home Visiting Program (2016)
Archived (2016)

93.321

Dietary Supplement Research Program (2014)
Dietary Supplement Research Program (2018)
Dietary Supplement Research Program (2019)
Dietary Supplement Research Program (2020)
Dietary Supplement Research Program (2021)

93.322

CSELS Partnership: Strengthening Public Health Laboratories (2014)
CSELS Partnership: Strengthening Public Health Laboratories (2018)
CSELS Partnership: Strengthening Public Health Laboratories (2019)
CSELS Partnership: Strengthening Public Health Laboratories (2019)
CSELS Partnership: Strengthening Public Health Laboratories (2019)
CSELS Partnership: Strengthening Public Health Laboratories (2019)
CSELS Partnership: Strengthening Public Health Laboratories (2020)
CSELS Partnership: Strengthening Public Health Laboratories (2020)
CSELS Partnership: Strengthening Public Health Laboratories (2021)

93.323

Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2014)
Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2018)
Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2019)
Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2020)
Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2020)
Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2020)
Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2020)
Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2021)
Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) (2021)

93.324

State Health Insurance Assistance Program (2014)
State Health Insurance Assistance Program (2018)
State Health Insurance Assistance Program (2019)
State Health Insurance Assistance Program (2020)
State Health Insurance Assistance Program (2021)

93.325

Paralysis Resource Center (2014)
Paralysis Resource Center (2018)
Paralysis Resource Center (2019)
Paralysis Resource Center (2020)
Paralysis Resource Center (2021)

93.326

Protecting and Improving Health Globally:

Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (2014)

Protecting and Improving Health Globally: Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (2018)

To: Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention
From: Protecting and Improving Health Globally: Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (2019)
Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (2020)
Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (2020)
Strengthening Public Health through Surveillance, Epidemiologic Research, Disease Detection and Prevention (2021)

93.327

Demonstration Grants for Domestic Victims of Severe forms of Human Trafficking (2014)
Demonstration Grants for Domestic Victims of Human Trafficking (2015)
Demonstration Grants for Domestic Victims of Human Trafficking (2018)
Demonstration Grants for Domestic Victims of Human Trafficking (2019)
Demonstration Grants for Domestic Victims of Human Trafficking (2020)
Demonstration Grants for Domestic Victims of Human Trafficking (2021)

93.328

National Implementation and Dissemination for Chronic Disease Prevention (2014)
National Implementation and Dissemination for Chronic Disease Prevention (2018)
National Implementation and Dissemination for Chronic Disease Prevention (2019)
National Implementation and Dissemination for Chronic Disease Prevention (2020)
National Implementation and Dissemination for Chronic Disease Prevention (2021)

93.329

Skills Training and Health Workforce Development of Paraprofessionals Grant Program (2014)
Skills Training and Health Workforce Development of Paraprofessionals Grant Program (2018)
Archived (2019)

93.330

Leadership in Public Health Social Work Education Grant Program (2014)
Leadership in Public Health Social Work Education Grant Program (2018)
Leadership in Public Health Social Work Education Grant Program (2019)
Leadership in Public Health Social Work Education

Grant Program (2020)
Archived (2020)

93.331

Partnerships to Improve Community Health (2014)
Partnerships to Improve Community Health (2018)
Archived (2019)

93.332

Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces (2014)
Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces (2018)
To: Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges
From: Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Marketplaces (2018)
Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (2018)
Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (2019)
Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (2020)
Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (2021)
Cooperative Agreement to Support Navigators in Federally-facilitated Exchanges (2021)

93.333

General Clinical Research Centers
Number changed from 13.333 (1990U)
Clinical Research (1996B)

93.334

The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (2014)
The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (2018)
The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (2019)
The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (2020)
The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (2020)
The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (2020)
The Healthy Brain Initiative: Technical Assistance to Implement Public Health Actions related to Cognitive Health, Cognitive Impairment, and Caregiving at the State and Local Levels (2020)

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State and Local Levels (2021)

93.335

Reducing the Effects Attributed to Commercial Tobacco use by Tribes (REACTT) (2014)
Archived (2015)

93.336

Behavioral Risk Factor Surveillance System (2014)
Behavioral Risk Factor Surveillance System (2018)
Behavioral Risk Factor Surveillance System (2019)
Behavioral Risk Factor Surveillance System (2019)
Behavioral Risk Factor Surveillance System (2020)
Behavioral Risk Factor Surveillance System (2020)
Behavioral Risk Factor Surveillance System (2020)
Behavioral Risk Factor Surveillance System (2021)
Behavioral Risk Factor Surveillance System (2021)

93.337

Biomedical Research Support Number changed from 13.337 (1990U)
Consolidated into 93.371, 93.389 (1996B)

93.338

Foreign Public Health Construction (2010)
Foreign Public Health Construction (2018)
Foreign Public Health Construction (2019)
Foreign Public Health Construction (2020)
Archived (2021)

93.339

Public Health Conference Support (2011)
Public Health Conference Support (2018)
Public Health Conference Support (2019)
Public Health Conference Support (2020)
Public Health Conference Support (2020)
Public Health Conference Support (2021)

93.340

Native American Community Research, Demonstration, and Pilot Projects (2014)
Native American Community Research, Demonstration, and Pilot Projects (2018)
Native American Community Research, Demonstration, and Pilot Projects (2019)
Native American Community Research, Demonstration, and Pilot Projects (2020)
Native American Community Research, Demonstration, and Pilot Projects (2021)

93.341

Analyses, Research and Studies to Address the Impact of CMS' Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (2014)
Analyses, Research and Studies to Address the Impact of CMS' Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (2018)
Analyses, Research and Studies to Address the Impact of CMS' Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (2019)
Analyses, Research and Studies to Address the Impact of CMS' Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (2020)

Analyses, Research and Studies to Address the Impact of CMS' Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (2020)
Analyses, Research and Studies to Address the Impact of CMS' Programs on American Indian/Alaska Native (AI/AN) Beneficiaries and the Health Care System Serving these Beneficiaries (2021)

93.342

Health Professions Student Loans Number changed from 13.342 (1990U)
Health Professions Student Loans/Loans for Disadvantaged Students (1991B)
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (1993B)
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (2018)
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (2019)
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (2020)
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (2020)
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (2021)
To: Health Professions Student Loans, Including Primary Care Loans and Loans for Disadvantaged Students
From: Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (2021)

93.343

Public Health Service Evaluation Funds (2014)
Public Health Service Evaluation Funds (2018)
Public Health Service Evaluation Funds (2020)
(2020)
Public Health Service Evaluation Funds (2020)
Public Health Service Evaluation Funds (2021)

93.344

Research, Monitoring and Outcomes Definitions for Vaccine Safety (2014)
Research, Monitoring and Outcomes Definitions for Vaccine Safety (2018)
Research, Monitoring and Outcomes Definitions for Vaccine Safety (2019)
Research, Monitoring and Outcomes Definitions for Vaccine Safety (2020)
(2020)
Research, Monitoring and Outcomes Definitions for Vaccine Safety (2020)

93.345

Leading Edge Acceleration Projects (LEAP) in Health Information Technology (2018)
Leading Edge Acceleration Projects (LEAP) in Health Information Technology (2019)
(2019)
Leading Edge Acceleration Projects (LEAP) in Health Information Technology (2019)
Leading Edge Acceleration Projects (LEAP) in Health Information Technology (2020)
Leading Edge Acceleration Projects (LEAP) in Health Information Technology (2021)

93.346

Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (2018)
Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (2019)
(2019)
Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (2019)
Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (2020)
Enhancing the Logical Observation Identifiers Names and Codes (LOINC®) Standard to meet U.S. Interoperability Needs (2020)

93.347

Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (2018)
Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (2019)
(2019)
Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (2019)
Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (2020)
Trusted Exchange Framework and Common Agreement (TEFCA) Recognized Coordinating Entity (RCE) Cooperative Agreement (2021)

93.348

Technical Assistance to Increase Tobacco Cessation (2018)
Technical Assistance to Increase Tobacco Cessation (2019)
Technical Assistance to Increase Tobacco Cessation (2019)
Technical Assistance to Increase Tobacco Cessation (2020)
Technical Assistance to Increase Tobacco Cessation (2020)
Technical Assistance to Increase Tobacco Cessation (2020)
Technical Assistance to Increase Tobacco Cessation (2021)
Technical Assistance to Increase Tobacco Cessation (2021)

93.349

Packaging and Spreading Proven Pediatric Weight Management Interventions for Use by Low-Income Families (2018)
Packaging and Spreading Proven Pediatric Weight Management Interventions for Use by Low-Income Families (2019)
Archived (2020)

93.350

National Center for Advancing Translational Sciences (2012)
National Center for Advancing Translational Sciences

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(2018) National Center for Advancing Translational Sciences (2019) National Center for Advancing Translational Sciences (2020) National Center for Advancing Translational Sciences (2021) National Center for Advancing Translational Sciences (2021)	Program (2020) To: Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program)From: Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program (2021) Public Health Informatics & Technology Workforce Development Program (The PHIT Workforce Development Program) (2021)	Authority (BARDA), Biodefense Medical Countermeasure Development (2019) Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (2020)
93.351 Research Infrastructure Programs (2012) Research Infrastructure Programs (2018) Research Infrastructure Programs (2019) Research Infrastructure Programs (2020) Research Infrastructure Programs (2021)	93.356 Head Start Disaster Recovery from Hurricanes Harvey, Irma, and Maria (2018) Head Start Disaster Recovery from Hurricanes Harvey, Irma, and Maria (2018) To: Head Start Disaster RecoveryFrom: Head Start Disaster Recovery from Hurricanes Harvey, Irma, and Maria (2019) Head Start Disaster Recovery (2019) Head Start Disaster Recovery (2020) Head Start Disaster Recovery (2021)	93.361 Nursing ResearchNumber changed from 13.361 (1990U) Nursing Research (2018) Nursing Research (2018) Nursing Research (2019) Nursing Research (2020) Nursing Research (2021)
93.352 Construction Support (2012) Construction Support (2018) Construction Support (2019) Construction Support (2020) Construction Support (2021)	93.357 Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and Puerto Ri (2018) Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and Puerto Ri (2019) Archived (2020)	93.362 Assistive Technology National Activities (2018) Assistive Technology National Activities (2019) Assistive Technology National Activities (2020) Assistive Technology National Activities (2021)
93.353 21st Century Cures Act - Beau Biden Cancer Moonshot (2017) 21st Century Cures Act - Beau Biden Cancer Moonshot (2018) 21st Century Cures Act - Beau Biden Cancer Moonshot (2019) 21st Century Cures Act - Beau Biden Cancer Moonshot (2020) 21st Century Cures Act - Beau Biden Cancer Moonshot (2021)	93.358 Professional Nurse TraineeshipsNumber changed from 13.358 (1990U) Advanced Education Nursing Traineeships (2000B) Advanced Nursing Education Traineeships (2010) Advanced Education Nursing Traineeships (2012) Advanced Education Nursing Traineeships (2018) Archived (2019)	93.363 Flexible Alternatives for State Transformation Model (2017) Archived (2018)
93.354 Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (2017) Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (2018) Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (2019) Archived (2020) Reinstated (2020) Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (2020) Public Health Emergency Response: Cooperative Agreement for Emergency Response: Public Health Crisis Response (2021)	93.359 Nurse Training Improvement_Special ProjectsNumber changed from 13.359 (1990U) Nursing_Special Projects (1998B) Basic Nurse Education and Practice Grants (2000B) Nurse Education, Practice and Retention Grants (2003B) Nurse Education, Practice Quality and Retention Grants (2012) Nurse Education, Practice Quality and Retention Grants (2018) Nurse Education, Practice Quality and Retention Grants (2019) Nurse Education, Practice Quality and Retention Grants (2020) Nurse Education, Practice Quality and Retention Grants (2021)	93.364 Nursing Student LoansNumber changed from 13.364 (1990U) Nursing Student Loans (2018) Nursing Student Loans (2019) Nursing Student Loans (2020) Nursing Student Loans (2020) Nursing Student Loans (2021)
93.355 Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program (2017) Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program (2018) Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement Program (2019) Certified Health IT Surveillance Capacity and Infrastructure Improvement Cooperative Agreement	93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (2010) Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (2018) Biomedical Advanced Research and Development	93.365 Sickle Cell Treatment Demonstration Program (2006) Sickle Cell Treatment Demonstration Program (2018) Sickle Cell Treatment Demonstration Program (2019) Sickle Cell Treatment Demonstration Program (2020) Sickle Cell Treatment Demonstration Program (2021)
		93.366 State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2017) State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2018) State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2019) State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2019) State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2020) State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2020) State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2020) State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2020) State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes (2021)

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93.367

Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs (2017)

Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs (2019)

Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs (2020)

Flexible Funding Model - Infrastructure Development and Maintenance for State Manufactured Food Regulatory Programs (2021)

93.368

21st Century Cures Act - Precision Medicine Initiative (2017)

21st Century Cures Act - Precision Medicine Initiative (2018)

21st Century Cures Act - Precision Medicine Initiative (2019)

21st Century Cures Act - Precision Medicine Initiative (2020)

21st Century Cures Act - Precision Medicine Initiative (2021)

93.369

ACL Independent Living State Grants (2015)

ACL Independent Living State Grants (2018)

ACL Independent Living State Grants (2019)

ACL Independent Living State Grants (2020)

ACL Independent Living State Grants (2021)

93.370

21st Century Cures Act: Regenerative Medicine Initiative (2017)

21st Century Cures Act: Regenerative Medicine Initiative (2018)

21st Century Cures Act: Regenerative Medicine Initiative (2019)

21st Century Cures Act: Regenerative Medicine Initiative (2020)

21st Century Cures Act: Regenerative Medicine Initiative (2021)

93.371

Biomedical Research TechnologyNumber changed from 13.371 (1990U)

Biomedical Technology, Consolidated from 93.337 (1996B)

Consolidated into 93.389 (2003B)

Deleted (2003)

93.372

21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (2017)

21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (2018)

21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (2019)

21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (2020)

21st Century Cures Act - Brain Research through Advancing Innovative Neurotechnologies (2021)

93.373

Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2018)

Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2019)

Archived (2020)

93.374

Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2018)

Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2019)

Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2020)

Archived (2021)

93.375

Minority Biomedical Research SupportNumber changed from 13.375 (1990U)

Consolidated into 93.859 (2003B)

Deleted (2003)

93.376

Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2018)

Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2019)

Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2019)

Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2020)

Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2020)

Title: Multiple Approaches to Support Young Breast Cancer Survivors and Metastatic Breast Cancer Patients (2021)

93.377

Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2018)

Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2019)

Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2019)

Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2020)

Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2020)

Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2020)

Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific

Islands, U.S. Virgin Islands, and P. R. (2021)

93.378

Integrated Care for Kids Model (2018)

Integrated Care for Kids Model (2019)

Integrated Care for Kids Model (2020)

Integrated Care for Kids Model (2021)

93.379

Grants for Graduate Training in Family

MedicineNumber changed from 13.379 (1990U)

Consolidated into 93.884 (2001B)

93.380

The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (2018)

The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (2019)

The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (2019)

The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (2020)

The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (2020)

The CDC Public Health Cancer Genomics Program: Translating Research into Public Health Practice (2021)

93.381

Emergency Triage, Treat, and Transport (ET3) Model (2019)

Emergency Triage, Treat, and Transport (ET3) Model (2020)

Emergency Triage, Treat, and Transport (ET3) Model (2021)

93.382

Indian Health Service Community Health Aide Program (2021)

Indian Health Service Community Health Aide Program (2021)

93.385

National Institutes of Health Pediatric Research Loan Repayment Program (2003B)

93.387

National and State Tobacco Control Program (2019)

National and State Tobacco Control Program (2019)

National and State Tobacco Control Program (2020)

National and State Tobacco Control Program (2020)

National and State Tobacco Control Program (2021)

National and State Tobacco Control Program (2021)

93.389

Research Centers in Minority InstitutionsNumber changed from 13.389 (1990U)

Research Infrastructure (1996B)

Consolidated from 93.337, 93.922 (2003)

Archived (2018)

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93.390

Academic Research Enhancement AwardNumber changed from 13.390 (1990U)
Deleted (2006)

93.391

Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (2017)
Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (2018)
Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (2019)
Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (2020)
Activities to Support State, Tribal, Local and Territorial (STLT) Health Department Response to Public Health or Healthcare Crises (2021)

93.392

Cancer Construction (1990U)
Archived (2009)

93.393

Cancer Cause and Prevention ResearchNumber changed from 13.393 (1990U)
Cancer Cause and Prevention Research (2018)
Cancer Cause and Prevention Research (2018)
Cancer Cause and Prevention Research (2019)
Cancer Cause and Prevention Research (2019)
Cancer Cause and Prevention Research (2020)
Cancer Cause and Prevention Research (2021)

93.394

Cancer Detection and Diagnosis ResearchNumber changed from 13.394 (1990U)
Cancer Detection and Diagnosis Research (2018)
Cancer Detection and Diagnosis Research (2018)
Cancer Detection and Diagnosis Research (2019)
Cancer Detection and Diagnosis Research (2020)
Cancer Detection and Diagnosis Research (2021)

93.395

Cancer Treatment ResearchNumber changed from 13.395 (1990U)
Cancer Treatment Research (2018)
Cancer Treatment Research (2018)
Cancer Treatment Research (2019)
Cancer Treatment Research (2020)
Cancer Treatment Research (2021)

93.396

Cancer Biology ResearchNumber changed from 13.396 (1990U)
Cancer Biology Research (2018)
Cancer Biology Research (2018)
Cancer Biology Research (2019)
Cancer Biology Research (2020)
Cancer Biology Research (2021)

93.397

Cancer Centers SupportNumber changed from 13.397 (1990U)
Cancer Centers Support Grants (2018)

Cancer Centers Support Grants (2018)
Cancer Centers Support Grants (2019)
Cancer Centers Support Grants (2020)
Cancer Centers Support Grants (2021)

93.398

Cancer Research ManpowerNumber changed from 13.398 (1990U)
Cancer Research Manpower (2018)
Cancer Research Manpower (2018)
Cancer Research Manpower (2019)
Cancer Research Manpower (2020)
Cancer Research Manpower (2021)

93.399

Cancer ControlNumber changed from 13.399 (1990U)
Cancer Control (2018)
Cancer Control (2019)
Cancer Control (2020)
Cancer Control (2021)

93.400

National Health Service Corps Scholarship Program (2009)
Archived (2016)

93.401

ARRA - National Health Service Corps Loan Repayment (2009)
Archived (2016)

93.402

ARRA - State Loan Repayment Program (2009)
Archived (2014)

93.403

ARRA - Training in Primary Care Medicine and Dentistry (2009)
ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (2010)
Archived (2016)

93.404

ARRA – Dental Public Health Residency Training Grants (2009)
Archived (2014)

93.405

ARRA - Public Health Traineeship Program (2009)
Archived (2013)

93.406

Nursing Education Loan Repayment Program (NELRP) (2009)
NURSE Corps Loan Repayment Program (2013)
Archived (2016)

93.407

ARRA - Scholarships for Disadvantaged Students (2009)
Archived (2013)

93.408

ARRA - Nurse Faculty Loan Program (2009)
Archived (2013)

Reinstated (2017)

93.409

Faculty Loan Repayment Programs (2009)
Archived (2016)

93.410

ARRA – Licensure Portability Grant Program (2009)
Archived (2013)

93.411

ARRA – Equipment to Enhance Training for Health Professionals (2009)
Archived (2013)

93.412

ARRA - Preventive Medicine Residency Program (2009)
Archived (2013)

93.413

The State Flexibility to Stabilize the Market Grant Program (2017)
The State Flexibility to Stabilize the Market Grant Program (2019)
The State Flexibility to Stabilize the Market Grant Program (2020)
The State Flexibility to Stabilize the Market Grant Program (2020)
The State Flexibility to Stabilize the Market Grant Program (2021)

93.414

ARRA - State Primary Care Offices (2009)
Archived (2014)

93.415

ARRA - Centers of Excellence (2009)
Archived (2014)

93.416

ARRA - Health Careers Opportunity Program (2009)
Archived (2013)

93.417

ARRA - Nursing Workforce Diversity (2009)
Archived (2013)

93.418

ARRA - Emergency Medical Services for Children (2009)
Archived (2012)

93.419

ARRA - Maternal and Child Health (MCH) Pediatric Research Network Program (2009)
Archived (2012)

93.420

ARRA - Community Health Applied Research Network (2009)
Archived (2014)

93.421

Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect

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the Nation's Health (2018)
Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (2019)
Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (2019)
Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (2020)
Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (2020)
Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (2020)
Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health (2021)

93.422

National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (2017)
National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (2018)
National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (2019)
National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (2019)
National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (2020)
National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (2021)
National Partnerships to promote cancer surveillance standards and support data quality and operations of National Program of Cancer Registries (2021)

93.423

1332 State Innovation Waivers (2017)
1332 State Innovation Waivers (2018)
1332 State Innovation Waivers (2019)
1332 State Innovation Waivers (2020)
1332 State Innovation Waivers (2021)

93.424

NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (2014)
NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (2018)
NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (2019)
NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (2019)
NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (2021)

Health System to Improve Population Health through National Nonprofit Organizations (2020)
NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (2020)
NON-ACA/PPHF—Building Capacity of the Public Health System to Improve Population Health through National Nonprofit Organizations (2021)

93.425

Centers for Disease Control and Prevention - Resident Postdoctoral Program in Microbiology (2017)
Centers for Disease Control and Prevention - Resident Postdoctoral Program in Microbiology (2018)
Centers for Disease Control and Prevention - Resident Postdoctoral Program in Microbiology (2019)
Archived (2020)

93.426

Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (2017)
Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke—Financed in part by 2018 Prevention and Public Health Funds (2018)
Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke—Financed in part by 2018 Prevention and Public Health Funds (2018)
To: Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke
From: Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke—Financed in part by 2018 Prevention and Public Health Funds (2019)
Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (2019)
Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (2019)
Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (2020)
Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (2020)
Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (2020)
Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (2020)
Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke (2021)

93.427

Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes. (2018)
Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes. (2019)
Provision of Technical Assistance and Training

Activities to Assure Comprehensive Cancer Control Outcomes. (2019)
Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes. (2020)
Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes. (2020)
Provision of Technical Assistance and Training Activities to Assure Comprehensive Cancer Control Outcomes. (2021)

93.430

PPHF 2018: Preventive Health and Health Services Block Grants – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (2018)
PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (2018)
PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (2019)
PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (2019)
PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (2020)
PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (2020)
PPHF 2018: Prevention Health and Health Services – Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health – financed in part by Prevention and Public Health Funds (PPHF) (2021)

93.431

Networking2Save™: CDC's National Network Approach to Preventing and Controlling Tobacco-related Cancers in Special Populations (2018)
Networking2Save™: CDC's National Network Approach to Preventing and Controlling Tobacco-related Cancers in Special Populations (2018)
Networking2Save™: CDC's National Network Approach to Preventing and Controlling Tobacco-related Cancers in Special Populations (2019)
Networking2Save™: CDC's National Network Approach to Preventing and Controlling Tobacco-

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Archived (2013)

93.452

Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (2010)
Archived (2019)

93.453

Coalition for a Healthier Community (2010)
Archived (2013)

93.456

CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (2017)
CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (2018)
CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (2019)
CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (2020)
CDC Undergraduate Public Health Scholars Program (CUPS): A Public Health Experience to Expose Undergraduates Interested in Minority Health to Public Health and the Public Health Professions (2021)

93.461

COVID-19 Testing for the Uninsured (2020)
COVID-19 Testing for the Uninsured (2020)
To: COVID-19 Claims Reimbursement to Health Care Providers and Facilities for Testing, Treatment, and Vaccine Administration for the Uninsured
From: COVID-19 Testing for the Uninsured (2020)
COVID-19 Claims Reimbursement to Health Care Providers and Facilities for Testing, Treatment, and Vaccine Administration for the Uninsured (2020)
COVID-19 Claims Reimbursement to Health Care Providers and Facilities for Testing, Treatment, and Vaccine Administration for the Uninsured (2021)
To: HRSA COVID-19 Claims Reimbursement for the Uninsured Program and the COVID-19 Coverage Assistance Fund
From: COVID-19 Claims Reimbursement to Health Care Providers and Facilities for Testing, Treatment, and Vaccine Administration for the Uninsured (2021)

93.462

Strengthening the Technical Advancement & Readiness of Public Health via Health Information Exchange Program (2020)
Strengthening the Technical Advancement & Readiness of Public Health via Health Information Exchange Program (2021)
(2021)

93.464

ACL Assistive Technology (2015)
ACL Assistive Technology (2018)
ACL Assistive Technology (2019)
ACL Assistive Technology (2020)
ACL Assistive Technology (2021)

93.465

Tobacco Prevention and Control Legal Technical Assistance (2015)
Tobacco Prevention and Control Legal Technical Assistance (2018)
Tobacco Prevention and Control Legal Technical Assistance (2018)
Tobacco Prevention and Control Legal Technical Assistance (2019)
Tobacco Prevention and Control Legal Technical Assistance (2019)
Tobacco Prevention and Control Legal Technical Assistance (2020)
Tobacco Prevention and Control Legal Technical Assistance (2020)
Tobacco Prevention and Control Legal Technical Assistance (2020)
Tobacco Prevention and Control Legal Technical Assistance (2020)
Tobacco Prevention and Control Legal Technical Assistance (2021)

93.466

Building Capacity of the Public Health System to Address Ebola Through National Nonprofit Organizations (2016)
Building Capacity of the Public Health System to Address Ebola Through National Nonprofit Organizations (2018)
Building Capacity of the Public Health System to Address Ebola Through National Nonprofit Organizations (2019)
Building Capacity of the Public Health System to Address Ebola Through National Nonprofit Organizations (2020)
Archived (2020)

93.469

Assistive Technology Alternative Financing Program (2018)
Assistive Technology Alternative Financing Program (2018)
Assistive Technology Alternative Financing Program (2019)
Assistive Technology Alternative Financing Program (2020)
Assistive Technology Alternative Financing Program (2021)

93.470

Alzheimer's Disease Program Initiative (ADPI) (2018)
Alzheimer's Disease Program Initiative (ADPI) (2018)
Alzheimer's Disease Program Initiative (ADPI) (2019)
Alzheimer's Disease Program Initiative (ADPI) (2020)
Alzheimer's Disease Program Initiative (ADPI) (2021)
Alzheimer's Disease Program Initiative (ADPI) (2021)

93.471

Title IV-E Kinship Navigator Program (2018)

Title IV-E Kinship Navigator Program (2019)
Title IV-E Kinship Navigator Program (2020)
Title IV-E Kinship Navigator Program (2021)

93.472

Title IV-E Prevention and Family Services and Programs (2018)
Title IV-E Prevention and Family Services and Programs (2019)
To: Title IV-E Prevention Program
From: Title IV-E Prevention and Family Services and Programs (2020)
Title IV-E Prevention Program (2020)
Title IV-E Prevention Program (2021)

93.475

TANF Policy Academy for Innovative Employment Strategies (PAIES) (2017)
TANF Policy Academy for Innovative Employment Strategies (PAIES) (2018)
TANF Policy Academy for Innovative Employment Strategies (PAIES) (2019)
TANF Policy Academy for Innovative Employment Strategies (PAIES) (2020)
Archived (2021)

93.478

Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (2018)
Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (2019)
Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (2020)
Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (2020)
Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (2020)
Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (2020)
Preventing Maternal Deaths: Supporting Maternal Mortality Review Committees (2021)

93.479

Good Health and Wellness in Indian Country (2018)
Good Health and Wellness in Indian Country (2019)
Good Health and Wellness in Indian Country (2020)
Good Health and Wellness in Indian Country (2020)
Good Health and Wellness in Indian Country (2021)

93.488

National Harm Reduction Technical Assistance, Monitoring and Evaluation, and Communications Funding Opportunity (2019)
To: National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity
From: National Harm Reduction Technical Assistance, Monitoring and Evaluation, and Communications Funding Opportunity (2019)
National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (2019)
National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (2019)
National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (2020)
National Harm Reduction Technical Assistance and

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Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (2020)	Grants for School-Based Health Center Capital Expenditures (2018)	93.507
National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (2020)	Grants for School-Based Health Center Capital Expenditures (2019)	Strengthening Public Health Infrastructure for Improved Health Outcomes (2010)
National Harm Reduction Technical Assistance and Syringe Services Program (SSP) Monitoring and Evaluation Funding Opportunity (2021)	Grants for School-Based Health Center Capital Expenditures (2020)	PPHF 2012 National Public Health Improvement Initiative (2012)
93.489	Grants for School-Based Health Center Capital Expenditures (2021)	PPHF National Public Health Improvement Initiative (2014)
Child Care Disaster Relief (2019)	93.502	PPHF National Public Health Improvement Initiative (2018)
Child Care Disaster Relief (2020)	Affordable Care Act (ACA) Infrastructure to Expand Access to Care (2010)	PPHF National Public Health Improvement Initiative (2019)
Child Care Disaster Relief (2021)	Archived (2016)	PPHF National Public Health Improvement Initiative (2020)
93.494	93.503	Archived (2021)
Global	Affordable Care Act (ACA) Nursing and Home Health Aides Training Program (2010)	93.508
Tuberculosis:Developing,Evaluating,Implementing Evidence-based and Innovative Approaches to Find, Cure, and Prevent Tuberculosis Globally (2019)	Affordable Care Act (ACA) Nursing Assistant and Home Health Aide Program (2012)	Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (2010)
Global	Archived (2015)	Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (2018)
Tuberculosis:Developing,Evaluating,Implementing Evidence-based and Innovative Approaches to Find, Cure, and Prevent Tuberculosis Globally (2020)	93.504	Archived (2019)
Global	Affordable Care Act (ACA) – Family to Family Health Information Centers (2010)	93.509
Tuberculosis:Developing,Evaluating,Implementing Evidence-based and Innovative Approaches to Find, Cure, and Prevent Tuberculosis Globally (2020)	Family to Family Health Information Centers (2014)	Affordable Care Act (ACA) State Health Care Workforce Development Grants (2010)
Global	Family to Family Health Information Centers (2018)	Archived (2015)
Tuberculosis:Developing,Evaluating,Implementing Evidence-based and Innovative Approaches to Find, Cure, and Prevent Tuberculosis Globally (2021)	Family to Family Health Information Centers (2019)	93.510
93.495	Family to Family Health Information Centers (2020)	Affordable Care Act (ACA) Primary Care Residency Expansion Program (2010)
Community Health Workers for Public Health Response and Resilient (2020)	Family to Family Health Information Centers (2021)	Affordable Care Act (ACA) Primary Care Residency Expansion Program (2018)
Community Health Workers for Public Health Response and Resilient (2021)	93.505	Archived (2019)
93.496	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (2010)	93.511
Family Violence Prevention and Services/Culturally Specific Domestic Violence and Sexual Violence Services (2021)	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (2018)	Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (2010)
93.497	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (2019)	Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (2018)
Family Violence Prevention and Services/ Sexual Assault/Rape Crisis Services and Supports (2021)	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (2020)	Archived (2019)
93.498	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (2020)	93.512
Provider Relief Fund (2020)	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (2021)	Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (2010)
Provider Relief Fund (2020)	Archived (2021)	Archived (2015)
Provider Relief Fund (2021)	93.506	93.513
Provider Relief Fund (2021)	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2010)	Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (2010)
93.500	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2018)	Archived (2018)
Pregnancy Assistance Fund Program (2010)	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2019)	93.514
Pregnancy Assistance Fund Program (2018)	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2020)	Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (2010)
Pregnancy Assistance Fund Program (2020)	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2020)	Archived (2018)
Pregnancy Assistance Fund Program (2020)	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2021)	93.515
Pregnancy Assistance Fund Program (2021)	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2021)	Affordable Care Act (ACA) Nurse-Managed Health Clinics (2010)
93.501	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2021)	Archived (2015)
Affordable Care Act (ACA) Grants for School-Based Health Center Capital Expenditures (2010)	93.516	93.516
Grants for School-Based Health Center Capital Expenditures (2017)	Affordable Care Act (ACA) Public Health Training Centers Program (2010)	Affordable Care Act (ACA) Public Health Training Centers Program (2010)
	Prevention and Public Health Fund (PPHF ACA)	

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Public Health Training Centers Program (2012)
Affordable Care Act (ACA) Public Health Training Centers Program, Resources Development and Academic Support to the Public Health Training Centers Program and Public Health Infrastructure and Systems Support (2012)
Affordable Care Act (ACA) Public Health Training Centers Program (2013)
Public Health Training Centers Program (2016)
Public Health Training Centers Program (2018)
Public Health Training Centers Program (2019)
Public Health Training Centers Program (2020)
Public Health Training Centers Program (2020)
Public Health Training Centers Program (2021)

93.517

Affordable Care Act – Aging and Disability Resource Center (2010)
Archived (2016)

93.518

93.518 - Affordable Care Act - Medicare Improvements for Patients and Providers (2010)
Archived (2016)

93.519

Affordable Care Act (ACA) – Consumer Assistance Program Grants (2010)
Affordable Care Act (ACA) – Consumer Assistance Program Grants (2018)
Archived (2019)

93.520

Centers for Disease Control and Prevention –Affordable Care Act (ACA) – Communities Putting Prevention to Work (2010)
Archived (2017)

93.521

The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements (2010)
The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (2012)
The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (2018)
The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (2019)
The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (2019)
The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (2020)

The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (2020)

The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements; PPHF (2021)

93.522

Affordable Care Act (ACA) Prevention Center for Healthy Weight (2010)
Archived (2013)

93.523

The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities (2010)
Archived (2015)

93.524

The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance (2010)

Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by 2013 Prevention and Public Health Funds (PPHF-2013) (2012)

Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (2014)

Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (2018)

Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (2019)

Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (2019)

Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (2020)

Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (2020)

Building Capacity of the Public Health System to Improve Population Health through National, Non-Profit Organizations- financed in part by Prevention and Public Health Funds (PPHF) (2021)

93.525

State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges (2010)
State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges (2018)

Archived (2019)

Reinstated (2021)

State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges (2021)

93.526

Affordable Care Act (ACA) Grants for Capital Development in Health Centers (2010)
Grants for Capital Development in Health Centers (2016)

Grants for Capital Development in Health Centers (2018)

Grants for Capital Development in Health Centers (2019)

Grants for Capital Development in Health Centers (2020)

To: Grants for Capital Development in Health Centers
From: Grants for Capital Development in Health Centers (2020)

Grants for Capital Development in Health Centers (2020)

Grants for Capital Development in Health Centers (2021)

93.527

Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (2010)

Grants for New and Expanded Services under the Health Center Program (2016)

Grants for New and Expanded Services under the Health Center Program (2018)

Grants for New and Expanded Services under the Health Center Program (2019)

Grants for New and Expanded Services under the Health Center Program (2020)

Grants for New and Expanded Services under the Health Center Program (2020)

Grants for New and Expanded Services under the Health Center Program (2021)

93.528

National Forum for State and Territorial Chief Executives (2011)

National Forum for State and Territorial Chief Executives (2018)

National Forum for State and Territorial Chief Executives (2019)

National Forum for State and Territorial Chief Executives (2020)

National Forum for State and Territorial Chief Executives (2021)

93.529

Pre-existing Condition Insurance Program (PCIP) (2011)

Pre-existing Condition Insurance Program (PCIP) (2018)

Archived (2019)

93.530

Affordable Care Act - Teaching Health Center Graduate Medical Education Payments Program (2010)

Affordable Care Act - Teaching Health Center Graduate Medical Education Payment (2016)

Teaching Health Center Graduate Medical Education

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- Payment (2017)
Teaching Health Center Graduate Medical Education Payment (2018)
Teaching Health Center Graduate Medical Education Payment (2019)
Teaching Health Center Graduate Medical Education Payment (2020)
Teaching Health Center Graduate Medical Education Payment (2021)
- 93.531**
The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Community Transformation Grants and National Dissemination and Support for Community Transformation Grants (2011)
PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by 2012 Prevention and Public Health Funds (2012)
PPHF - Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by Prevention and Public Health Funds (2014)
PPHF - Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by Prevention and Public Health Funds (2018)
PPHF - Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by Prevention and Public Health Funds (2019)
Archived (2020)
- 93.533**
Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (2011)
Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (2018)
Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (2019)
Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (2020)
Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (2020)
Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (2021)
Prevention and Public Health Fund (Affordable Care Act): Enhanced Surveillance for New Vaccine Preventable Disease (2021)
- 93.534**
Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (2010)
Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (2019)
Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (2019)
Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (2019)
- Health Hazards (2019)
Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (2020)
Affordable Care Act Program for Early Detection of Certain Medical Conditions Related to Environmental Health Hazards (2021)
- 93.535**
Affordable Care Act (ACA) Childhood Obesity Research Demonstration (2011)
Affordable Care Act (ACA) Childhood Obesity Research Demonstration (2018)
Affordable Care Act (ACA) Childhood Obesity Research Demonstration (2019)
Archived (2020)
- 93.536**
The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (2011)
The Affordable Care Act Medicaid Incentives for Prevention of Chronic Disease Demonstration Project (2018)
Archived (2019)
- 93.537**
Affordable Care Act Medicaid Emergency Psychiatric Demonstration (2011)
Affordable Care Act Medicaid Emergency Psychiatric Demonstration (2018)
Archived (2019)
- 93.538**
Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (2011)
Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (2018)
Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation (2019)
Archived (2020)
- 93.539**
Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance (2011)
PPHF 2012 - Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds (2012)
PPHF Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by Prevention and Public Health Funds (2014)
PPHF Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by Prevention and Public Health Funds (2018)
PPHF Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by Prevention and Public Health Funds (2019)
- PPHF Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by Prevention and Public Health Funds (2020)
Archived (2021)
- 93.540**
Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability (2011)
Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (2012)
Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (2018)
Affordable Care Act Streamlined surveillance for ventilator-associated pneumonia: Reducing burden and demonstrating preventability; and Prevention and Public Health Fund (2019)
Archived (2020)
- 93.541**
The Patient Protection and Affordable Care Act of 2010 (ACA) (2011)
Archived (2017)
- 93.542**
Health Promotion and Disease Prevention Research Centers: Affordable Care Act (ACA) Special Interest Project Competitive Supplements (SIPS) (2011)
Health Promotion and Disease Prevention Research Centers: Affordable Care Act Projects (2011)
Health Promotion and Disease Prevention Research Centers: PPHF 2012 - Affordable Care Act Projects (2012)
Health Promotion and Disease Prevention Research Centers: PPHF - Affordable Care Act Projects (2014)
Health Promotion and Disease Prevention Research Centers: PPHF - Affordable Care Act Projects (2018)
Health Promotion and Disease Prevention Research Centers: PPHF - Affordable Care Act Projects (2019)
Health Promotion and Disease Prevention Research Centers: PPHF - Affordable Care Act Projects (2020)
Archived (2021)
- 93.544**
The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program (2011)
Archived (2017)
- 93.545**
Consumer Operated and Oriented Plan [CO-OP] Program (2011)
Consumer Operated and Oriented Plan [CO-OP] Program (2018)
Consumer Operated and Oriented Plan [CO-OP] Program (2019)
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93.546

Early Retiree Reinsurance Program (2011)
Early Retiree Reinsurance Program (2018)
Early Retiree Reinsurance Program (2019)
Archived (2020)

93.547

Affordable Care Act – National Health Service Corps (2011)
National Health Service Corps (2016)
National Health Service Corps (2018)
National Health Service Corps (2019)
National Health Service Corps (2020)
National Health Service Corps (2021)

93.548

The Patient Protection and Affordable Care Act of 2020 (2010) Nutrition, Physical Activity and Obesity Program (2011)
PPHF 2012: Nutrition, Physical Activity and Obesity Program - financed in part by 2012 Prevention and Public Health Funds (PPHF-2012) (2012)
PPHF2013: State Nutrition, Physical Activity, and Obesity Programs - financed in part by 2013 PPHF (2013)
PPHF: State Nutrition, Physical Activity, and Obesity Programs - financed in part by PPHF (2014)
PPHF: State Nutrition, Physical Activity, and Obesity Programs - financed in part by PPHF (2018)
PPHF: State Nutrition, Physical Activity, and Obesity Programs - financed in part by PPHF (2019)
PPHF: State Nutrition, Physical Activity, and Obesity Programs - financed in part by PPHF (2020)
Archived (2021)

93.549

Affordable Care Act (ACA) The Primary Care Services Resource Coordination and Development Program (2011)
Archived (2017)

93.550

Administration for Children, Youth and Families_Transitional Living for Runaway and Homeless Youth Number changed from 13.550 (1990U)
Transitional Living for Runaway and Homeless Youth (1991U)
Transitional Living for Homeless Youth (1992U)
Transitional Living for Homeless Youth (2018)
Transitional Living for Homeless Youth (2019)
Transitional Living for Homeless Youth (2020)
Transitional Living for Homeless Youth (2021)

93.551

Administration for Children, Youth and Families_Abandoned Infants Number changed from 13.551 (1990U)
Abandoned Infants (1991U)
Abandoned Infants (2018)
Archived (2019)

93.552

Special Programs for the Aging_Title III, Part G_Prevention of Abuse, Neglect, and Exploitation of Older Individuals (1991B)
Number changed to 93.041 (1992U)

93.553

Special Programs for the Aging_Title III, Part A_Long-Term Care Ombudsman Services for Older Individuals (1991B)
Number changed to 93.042 (1992U)

93.554

Emergency Protection Grants_Substance Abuse (1991B)
Archived (1995B)

93.555

Special Programs for the Aging_Title III, Part F_Preventive Health Services (1992B)
Number changed to 93.043 (1992U)

93.556

Family Preservation and Support Services (1993U)
Promoting Safe and Stable Families (2000B)
Promoting Safe and Stable Families (2018)
Promoting Safe and Stable Families (2019)
To: MaryLee Allen Promoting Safe and Stable Families Program From: Promoting Safe and Stable Families (2020)
MaryLee Allen Promoting Safe and Stable Families Program (2020)
MaryLee Allen Promoting Safe and Stable Families Program (2021)

93.557

Education and Prevention to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (1999B)
Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (2018)
Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (2019)
Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (2020)
Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (2021)

93.558

Temporary Assistance for Needy Families (1997B)
Temporary Assistance for Needy Families (2018)
Temporary Assistance for Needy Families (2019)
Temporary Assistance for Needy Families (2020)
Temporary Assistance for Needy Families (2021)

93.559

Federal Loans for State Welfare Programs (1997B)
Archived (2000B)

93.560

Family Support Payments to States_Assistance Payments Number changed from 93.020 (1992U)
Family Support Payments to States Assistance Payments (2018)
Family Support Payments to States Assistance Payments (2019)
To: Payments to Territories – Adults From: Family Support Payments to States Assistance Payments (2020)

Payments to Territories – Adults (2020)
Payments to Territories – Adults (2021)

93.561

Job Opportunities and Basic Skills Training Number changed from 93.021 (1992U)
Archived (1998B)

93.562

Assistance Payments_Research Number changed from 93.022 (1992U)
Archived (1997B)
Reinstated (1997U)
Consolidated into 93.647 (1998B)

93.563

Child Support Enforcement Number changed from 93.023 (1992U)
Child Support Enforcement (2018)
Child Support Enforcement (2019)
Child Support Enforcement (2020)
Child Support Enforcement (2021)

93.564

Child Support Enforcement Research Number changed from 93.024 (1992U)
Archived (1997B)
Reinstated (1997U)
Child Support Enforcement Research (2018)
Child Support Enforcement Research (2019)
Child Support Enforcement Research (2020)
Child Support Enforcement Research (2021)

93.565

State Legalization Impact Assistance Grants Number changed from 93.025 (1992U)
Archived (1997B)

93.566

Refugee and Entrant Assistance_State Administered Programs Number changed from 93.026 (1992U)
Split into 93.584 (1994U)
Refugee and Entrant Assistance_State Administered Programs_State Replacement Designees and Wilson Fish (2017)
Refugee and Entrant Assistance_State/Replacement Designee Administered Programs (2017)
Refugee and Entrant Assistance State/Replacement Designee Administered Programs (2018)
Refugee and Entrant Assistance State/Replacement Designee Administered Programs (2019)
Refugee and Entrant Assistance State/Replacement Designee Administered Programs (2020)
Refugee and Entrant Assistance State/Replacement Designee Administered Programs (2021)

93.567

Refugee Assistance_Voluntary Agency Programs Number changed from 93.027 (1992U)
Refugee and Entrant Assistance_Voluntary Agency Programs (2000B)
Refugee and Entrant Assistance Voluntary Agency Programs (2018)
Refugee and Entrant Assistance Voluntary Agency Programs (2019)
Refugee and Entrant Assistance Voluntary Agency Programs (2020)

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Refugee and Entrant Assistance Voluntary Agency Programs (2021)	Child Care and Development Block Grant (2021)	(2019)
93.568	93.576	Refugee and Entrant Assistance Wilson/Fish Program (2020)
Low-Income Home Energy AssistanceNumber changed from 93.028 (1992U)	Refugee and Entrant Assistance_Discretionary GrantsNumber changed from 93.038 (1992U)	Refugee and Entrant Assistance Wilson/Fish Program (2021)
Low-Income Home Energy Assistance (2018)	Consolidated from 93.987 (1997B)	
Low-Income Home Energy Assistance (2018)	Refugee and Entrant Assistance Discretionary Grants (2018)	93.584
Low-Income Home Energy Assistance (2019)	Refugee and Entrant Assistance Discretionary Grants (2019)	Refugee and Entrant Assistance_Targeted Assistance (1994U)
Low-Income Home Energy Assistance (2020)	Refugee and Entrant Assistance Discretionary Grants (2020)	Split from 93.566 (1994U)
Low-Income Home Energy Assistance (2021)	Refugee and Entrant Assistance Discretionary Grants (2021)	Refugee and Entrant Assistance_Targeted Assistance Grants (2004)
		Refugee and Entrant Assistance Targeted Assistance Grants (2018)
93.569	93.577	Archived (2019)
Community Services Block GrantNumber changed from 93.031 (1992U)	Early Learning Fund (2001U)	
Community Services Block Grant (2018)		93.585
Community Services Block Grant (2019)	93.578	Empowerment Zones Program (1994U)
Community Services Block Grant (2020)	Family Support Centers Demonstration ProgramNumber changed from 93.040 (1992U)	Archived (1998B)
Community Services Block Grant (2021)	Homeless Families Support Services Demonstration Program (1992U)	
	Family Support Center and Gateway Demonstration Program (1993U)	93.586
93.570	Archived (1997B)	State Court Improvement Program (1994U)
Community Services Block Grant_Discretionary AwardsNumber changed from 93.032 (1992U)		State Court Improvement Program (2018)
Community Services Block Grant Discretionary Awards (2018)	93.579	State Court Improvement Program (2019)
Community Services Block Grant Discretionary Awards (2019)	U.S. Repatriate Program (1992U)	State Court Improvement Program (2020)
Community Services Block Grant Discretionary Awards (2020)	U.S. Repatriation Program (1999U)	State Court Improvement Program (2021)
Community Services Block Grant Discretionary Awards (2021)	U.S. Repatriation (2018)	
	U.S. Repatriation (2019)	93.587
	U.S. Repatriation (2020)	Promote the Survival and Vitality of Native American Languages (1994U)
	U.S. Repatriation (2021)	Promote the Survival and Continuing Vitality of Native American Languages (1995B)
		Promote the Survival and Continuing Vitality of Native American Languages (2018)
	93.580	Promote the Survival and Continuing Vitality of Native American Languages (2019)
	Family Resource and Support Program (1993B)	Promote the Survival and Continuing Vitality of Native American Languages (2020)
	Family Resource Centers (1994B)	Promote the Survival and Continuing Vitality of Native American Languages (2021)
	Archived (1995B)	
	93.581	
	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (1994U)	93.588
	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (2018)	Community Schools Program (1995U)
	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (2019)	Community Schools Youth Services and Supervision Program (1996U)
	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (2020)	Archived (1999B)
	Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (2021)	
	93.582	93.589
	Mitigation of Environmental Impacts to Indian Lands Due to Department of Defense Activities (1994U)	Refugee Assistance_Naturalization and Citizenship Activities (1995U)
		Archived (1999B)
	93.583	
	Refugee and Entrant Assistance_Wilson/Fish Program (1994U)	93.590
	Refugee and Entrant Assistance Wilson/Fish Program (2018)	Community-Based Resource Centers (1995U)
	Refugee and Entrant Assistance Wilson/Fish Program (2020)	Community-Based Family Resource and Support Grants (1998B)
		COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS (2005)
		Community-Based Child Abuse Prevention Grants (2006)
		Community-Based Child Abuse Prevention Grants (2018)
		Community-Based Child Abuse Prevention Grants (2019)
		Community-Based Child Abuse Prevention Grants (2020)
		Community-Based Child Abuse Prevention Grants

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(2021)

93.591

Family Violence Prevention and Services: Grants to State Domestic Violence Coalitions (1995U)
 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to State Domestic Violence Coalitions (1997U)
 Family Violence Prevention and Services/Grants to State Domestic Violence Coalitions (2013)
 Family Violence Prevention and Services/Battered Women's Shelters_Grants to State Domestic Violence Coalitions (2013)
 Family Violence Prevention and Services/State Domestic Violence Coalitions (2014)
 Family Violence Prevention and Services/State Domestic Violence Coalitions (2018)
 Family Violence Prevention and Services/State Domestic Violence Coalitions (2019)
 Family Violence Prevention and Services/State Domestic Violence Coalitions (2020)
 Family Violence Prevention and Services/State Domestic Violence Coalitions (2021)

93.592

Family Violence Prevention and Services: Discretionary Grants (1995U)
 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Discretionary Grants (1997U)
 Family Violence Prevention and Services/Discretionary Grants (2013)
 Family Violence Prevention and Services/Battered Women's Shelters_Discretionary Grants (2013)
 Family Violence Prevention and Services/Discretionary (2014)
 Family Violence Prevention and Services/Discretionary (2018)
 Family Violence Prevention and Services/Discretionary (2019)
 Family Violence Prevention and Services/Discretionary (2020)
 Family Violence Prevention and Services/Discretionary (2021)

93.593

Job Opportunities for Low-Income Individuals (1995U)
 Archived (2016)

93.594

Tribal Work Grants (1997B)
 Tribal Work Grants (2018)
 Tribal Work Grants (2019)
 Tribal Work Grants (2020)
 Tribal Work Grants (2021)

93.595

Welfare Reform Research, Evaluations and National Studies (1997B)
 Welfare Reform Research, Evaluations and National Studies (2018)
 Welfare Reform Research, Evaluations and National Studies (2019)
 Welfare Reform Research, Evaluations and National Studies (2020)
 Welfare Reform Research, Evaluations and National

Studies (2021)

93.596

Child Care Mandatory and Matching Funds of the Child Care and Development Fund (1997B)
 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (2018)
 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (2019)
 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (2020)
 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (2021)

93.597

Grants to States for Access and Visitation Programs (1997B)
 Grants to States for Access and Visitation Programs (2018)
 Grants to States for Access and Visitation Programs (2019)
 Grants to States for Access and Visitation Programs (2020)
 Grants to States for Access and Visitation Programs (2021)

93.598

Assistance for Victims of Trafficking (2001U)
 Services to Victims of a Severe Form of Trafficking (2002B)
 Services to Victims of a Severe Form of Trafficking (2018)
 Services to Victims of a Severe Form of Trafficking (2019)
 Services to Victims of a Severe Form of Trafficking (2020)
 Services to Victims of a Severe Form of Trafficking (2021)

93.599

Chafee Education and Training Vouchers Program (ETV) (2003B)
 Chafee Education and Training Vouchers Program (ETV) (2018)
 Chafee Education and Training Vouchers Program (ETV) (2019)
 Chafee Education and Training Vouchers Program (ETV) (2020)
 Chafee Education and Training Vouchers Program (ETV) (2021)

93.600

Administration for Children, Youth and Families_Head StartNumber changed from 13.600 (1990U)
 Head Start (1991U)
 Head Start (2018)
 Head Start (2019)
 Head Start (2020)
 Head Start (2021)

93.601

Child Support Enforcement Demonstration and Special Projects (1999B)
 Child Support Enforcement Demonstrations and Special Projects (2018)
 Archived (2019)

93.602

New Assets for Independence Demonstration Program (1999B)
 Assets for Independence Demonstration Program (2005)
 Assets for Independence Demonstration Program (2006)
 Assets for Independence Demonstration Program (2018)
 Assets for Independence Demonstration Program (2019)
 Assets for Independence Demonstration Program (2020)
 Assets for Independence Demonstration Program (2021)

93.603

Adoption Incentive Payments (1999B)
 Adoption and Legal Guardianship Incentive Payments (2015)
 Adoption and Legal Guardianship Incentive Payments (2018)
 Adoption and Legal Guardianship Incentive Payments (2019)
 Adoption and Legal Guardianship Incentive Payments (2020)
 Adoption and Legal Guardianship Incentive Payments (2021)

93.604

Assistance to Torture Victims (2000B)
 Assistance for Torture Victims (2005)
 Assistance for Torture Victims (2018)
 Assistance for Torture Victims (2019)
 Assistance for Torture Victims (2020)
 Assistance for Torture Victims (2021)

93.605

Family Connection Grants (2008)
 Family Connection Grants (2018)
 Family Connection Grants (2019)
 Family Connection Grants (2020)
 Family Connection Grants (2021)

93.606

Affordable Care Act - Preparedness and Emergency Response Learning Centers (2011)
 Affordable Care Act - Preparedness and Emergency Response Learning Centers (2018)
 Affordable Care Act - Preparedness and Emergency Response Learning Centers (2019)
 Affordable Care Act - Preparedness and Emergency Response Learning Centers (2019)
 Affordable Care Act - Preparedness and Emergency Response Learning Centers (2020)
 Affordable Care Act - Preparedness and Emergency Response Learning Centers (2020)
 Affordable Care Act - Preparedness and Emergency Response Learning Centers (2021)

93.607

Affordable Care Act - Preparedness and Emergency Response Research Centers: A Public Health Systems Approach (2011)
 Archived (2014)

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93.608

Administration for Children, Youth and Families_Child Welfare Research and DemonstrationNumber changed from 13.608 (1990U) Child Welfare Research and Demonstration (1991U) Archived (1998B)

93.609

The Affordable Care Act – Medicaid Adult Quality Grants (2012)
The Affordable Care Act – Medicaid Adult Quality Grants (2018)
The Affordable Care Act – Medicaid Adult Quality Grants (2019)
Archived (2020)

93.610

Health Care Innovation Challenge (2011)
Health Care Innovation Awards (HCIA) (2012)
Health Care Innovation Awards (HCIA) (2018)
Health Care Innovation Awards (HCIA) (2019)
Archived (2020)

93.611

Strong Start for Mothers and Newborns (2012)
Strong Start for Mothers and Newborns (2018)
Archived (2019)

93.612

Native American ProgramsNumber changed from 13.612 (1990U)
Native American Programs (2018)
Native American Programs (2019)
Native American Programs (2020)
Native American Programs (2021)

93.613

Mental Retardation_President's Committee on Mental RetardationNumber changed from 13.613 (1990U) President's Committee for People with Intellectual Disabilities (PCPID) (2018)
President's Committee for People with Intellectual Disabilities (PCPID) (2019)
Archived (2019)

93.614

Child Development Associate ScholarshipsNumber changed from 13.614 (1990U)
Archived (1997B)

93.615

Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Research Programs (2012)
Maternal, Infant, and Early Childhood Home Visiting Research Programs (2016)
Maternal, Infant, and Early Childhood Home Visiting Research Programs (2018)
Maternal, Infant, and Early Childhood Home Visiting Research Programs (2019)
Archived (2020)

93.616

Mentoring Children of Prisoners (2003B)
Archived (2016)

93.617

Voting Access for Individuals with Disabilities_Grants to States and Local Government (2003B)
Voting Access for Individuals with Disabilities_Grants to States (2004)
Archived (2016)

93.618

Voting Access for Individuals with Disabilities_Grants for Protection and Advocacy Systems (2003B)
Voting Access for Individuals with Disabilities_Grants for Protection and Advocacy Systems (2004)
Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (2018)
Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (2019)
Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (2020)
Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (2021)

93.621

Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (2012)
Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (2018)
Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (2019)
Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (2020)
Affordable Care Act Initiative to Reduce Avoidable Hospitalizations among Nursing Facility Residents (2021)

93.622

Affordable Care Act: Coordinating Center for Interprofessional Education and Collaborative Practice (2012)
Coordinating Center for Interprofessional Education and Collaborative Practice (2016)
Archived (2018)

93.623

Administration for Children, Youth and Families_Runaway and Homeless YouthNumber changed from 13.623 (1990U)
Runaway and Homeless Youth (1991U)
Comprehensive Runaway and Homeless Youth (1995U)
Runaway and Homeless Youth (1996B)
Basic Center Grant (2018)
Basic Center Grant (2019)
Basic Center Grant (2020)
Basic Center Grant (2021)

93.624

ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (2012)
ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (2018)

ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (2019)
ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (2020)
ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (2020)
To: Community Health Access and Rural Transformation (CHART) ModelFrom: ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance (2020)
Community Health Access and Rural Transformation (CHART) Model (2021)

93.626

Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (2012)
Affordable Care Act State Health Insurance Assistance Program (SHIP) and Aging and Disability Resource Center (ADRC) Options Counseling for Medicare-Medicaid Individuals in States with Approved Financial Alignment Models (2018)
Archived (2019)

93.627

Affordable Care Act: Testing Experience and Functional Assessment Tools (2012)
Affordable Care Act: Testing Experience and Functional Assessment Tools (2018)
Archived (2019)

93.628

Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (2012)
Affordable Care Act Implementation Support for State Demonstrations to Integrate Care for Medicare-Medicaid Enrollees (2018)
Archived (2019)

93.629

Affordable Care Act Community Health Applied Research Network (CHARN) (2013)
Archived (2013)

93.630

Administration on Developmental Disabilities_Basic Support and Advocacy GrantsNumber changed from 13.630 (1990U)
Developmental Disabilities Basic Support and Advocacy Grants (1991U)
Developmental Disabilities Basic Support and Advocacy Grants (2018)
Developmental Disabilities Basic Support and Advocacy Grants (2019)
Developmental Disabilities Basic Support and Advocacy Grants (2020)
Developmental Disabilities Basic Support and Advocacy Grants (2021)

93.631

Administration on Developmental Disabilities_Projects of National SignificanceNumber changed from 13.631 (1990U)
Developmental Disabilities Projects of National

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Significance (1991U)	Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals (2021)	Children's Justice Grants to States (2019)
Developmental Disabilities Projects of National Significance (2018)		Children's Justice Grants to States (2020)
Developmental Disabilities Projects of National Significance (2019)		Children's Justice Grants to States (2021)
Developmental Disabilities Projects of National Significance (2020)	93.635 Special Programs for the Aging_Title III, Part C_Nutrition ServicesNumber changed from 13.635 (1990U) Number changed to 93.045 (1992U)	93.644 Adult Medicaid Quality: Improving Maternal and Infant Health Outcomes in Medicaid and CHIP (2015) Adult Medicaid Quality: Improving Maternal and Infant Health Outcomes in Medicaid and CHIP (2018) Adult Medicaid Quality: Improving Maternal and Infant Health Outcomes in Medicaid and CHIP (2019) Archived (2019)
Developmental Disabilities Projects of National Significance (2021)	93.636 ACA - Reinvestment of Civil Money Penalties to Benefit Nursing Home Residents (2014) ACA - Reinvestment of Civil Money Penalties to Benefit Nursing Home Residents (2018) Archived (2019)	93.645 Child Welfare Services_State GrantsNumber changed from 13.645 (1990U) Stephanie Tubbs Jones Child Welfare Services Program (2011) Stephanie Tubbs Jones Child Welfare Services Program (2018) Stephanie Tubbs Jones Child Welfare Services Program (2019) Stephanie Tubbs Jones Child Welfare Services Program (2020) Stephanie Tubbs Jones Child Welfare Services Program (2021) Stephanie Tubbs Jones Child Welfare Services Program (2021)
93.632 Administration on Developmental Disabilities_University Affiliated ProgramsNumber changed from 13.632 (1990U) Developmental Disabilities University Affiliated Programs (1991U) University Centers for Excellence in Developmental Disabilities Education, Research, and Service (2002B) University Centers for Excellence in Developmental Disabilities Education, Research, and Service (2018) University Centers for Excellence in Developmental Disabilities Education, Research, and Service (2019) University Centers for Excellence in Developmental Disabilities Education, Research, and Service (2020) University Centers for Excellence in Developmental Disabilities Education, Research, and Service (2021)	93.638 ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (2014) ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (2018) ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (2019) ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (2020) ACA-Transforming Clinical Practice Initiative: Practice Transformation Networks (PTNs) (2021)	93.646 ACA – Testing a Model of Data Aggregation under the Comprehensive Primary Care initiative (2015) ACA – Testing a Model of Data Aggregation under the Comprehensive Primary Care initiative (2018) Archived (2019)
93.633 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior CentersNumber changed from 13.633 (1990U) Number changed to 93.044 (1992U)	93.639 ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (2014) ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (2018) ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (2019) ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (2020) ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (2021) To: Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention ServicesFrom: ACA-Transforming Clinical Practice Initiative: Support and Alignment Networks (SANs) (2021) Section 9813: State Planning Grants for Qualifying Community-Based Mobile Crisis Intervention Services (2021)	93.647 Social Services Research and DemonstrationNumber changed from 13.647 (1990U) Consolidated from 93.562 (1998B) Social Services Research and Demonstration (2018) Social Services Research and Demonstration (2019) Social Services Research and Demonstration (2020) Social Services Research and Demonstration (2021)
93.634 ACA Support for Demonstration Ombudsman Programs Serving Beneficiaries of State Demonstrations to Integrate Care for Medicare-Medicaid (2013) ACA Support for Ombudsman and Beneficiary Counseling Programs for States Participating in the Medicare-Medicaid Financial Alignment Initiative (2018) ACA Support for Ombudsman and Beneficiary Counseling Programs for States Participating in the Medicare-Medicaid Financial Alignment Initiative (2018) To: Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible IndividualsFrom: ACA Support for Ombudsman and Beneficiary Counseling Programs for States Participating in the Medicare-Medicaid Financial Alignment Initiative (2019) Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals (2019) Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals (2020) Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals (2020)	93.640 Basic Health Program (Affordable Care Act) (2014) Basic Health Program (Affordable Care Act) (2018) Basic Health Program (Affordable Care Act) (2019) Basic Health Program (Affordable Care Act) (2020) Basic Health Program (Affordable Care Act) (2021) 93.641 Special Programs for the Aging_Title III, Part D_In-Home Services for Frail Older IndividualsNumber changed from 13.641 (1990U) Number changed to 93.046 (1992U) 93.643 Children's Justice Grants to StatesNumber changed from 13.643 (1990U) Children's Justice Grants to States (2018)	93.648 Child Welfare Services Training GrantsNumber changed from 13.648 (1990U) Child Welfare Research Training or Demonstration (2009) Child Welfare Research Training or Demonstration (2018) Child Welfare Research Training or Demonstration (2019) Child Welfare Research Training or Demonstration (2020) Child Welfare Research Training or Demonstration (2021) 93.649 Nutrition and Physical Activity Programs (2015) Nutrition and Physical Activity Programs (2018) Nutrition and Physical Activity Programs (2019) Nutrition and Physical Activity Programs (2019) Nutrition and Physical Activity Programs (2020) Nutrition and Physical Activity Programs (2020) Nutrition and Physical Activity Programs (2020)

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Nutrition and Physical Activity Programs (2021)	Foster Care Title IV-E (2019) Foster Care Title IV-E (2020) Foster Care Title IV-E (2021)	Use Disorders During COVID-19 (2020) Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 (2021)
93.650 Accountable Health Communities (2015) Accountable Health Communities (2018) Accountable Health Communities (2019) Accountable Health Communities (2020) Accountable Health Communities (2021)	93.659 Adoption AssistanceNumber changed from 13.659 (1990U) Adoption Assistance (2018) Adoption Assistance (2019) Adoption Assistance (2020) Adoption Assistance (2021)	93.666 Comprehensive Child Development CentersNumber changed from 13.666 (1990U) Archived (1996B)
93.651 “Transforming Clinical Practice Initiative (TCPI) – Support and Alignment Network (SAN) 2.0” (2016) “Transforming Clinical Practice Initiative (TCPI) – Support and Alignment Network (SAN) 2.0” (2018) Archived (2019)	93.660 Drug Abuse Prevention and Education Relating to Youth GangsNumber changed from 13.660 (1990U) Drug Education and Prevention Programs for Youth Gangs (1993U) Youth Initiative/Youth Gangs (1994B) Archived (1997B)	93.667 Social Services Block GrantNumber changed from 13.667 (1990U) Social Services Block Grant (2018) Social Services Block Grant (2019) Social Services Block Grant (2020) Social Services Block Grant (2021)
93.652 Administration for Children, Youth and Families_Adoption OpportunitiesNumber changed from 13.652 (1990U) Adoption Opportunities (1991U) Adoption Opportunities (2018) Adoption Opportunities (2019) Adoption Opportunities (2020) Adoption Opportunities (2021)	93.662 Extramural Research Facilities Restoration Program: Hurricanes Harvey, Maria, and Irma – Construction (2018) Extramural Research Facilities Restoration Program: Hurricanes Harvey, Maria, and Irma – Construction (2019) Extramural Research Facilities Restoration Program: Hurricanes Harvey, Maria, and Irma – Construction (2020) Extramural Research Facilities Restoration Program: Hurricanes Harvey, Maria, and Irma – Construction (2021)	93.668 Special Programs for the Aging_Title IV_Training, Research and Discretionary Projects and ProgramsNumber changed from 13.668 (1990U) Number changed to 93.048 (1992U)
93.653 Indian Health Service Domestic Violence Prevention Programs (2020) Indian Health Service Domestic Violence Prevention Programs (2021)	93.663 Extramural Research Restoration Program: Hurricanes Harvey, Maria, and Irma – non-construction (2018) Extramural Research Restoration Program: Hurricanes Harvey, Maria, and Irma – non-construction (2019) Extramural Research Restoration Program: Hurricanes Harvey, Maria, and Irma – non-construction (2020) Extramural Research Restoration Program: Hurricanes Harvey, Maria, and Irma – non-construction (2021)	93.669 Administration for Children, Youth and Families_Child Abuse and Neglect State GrantsNumber changed from 13.669 (1990U) Child Abuse and Neglect State Grants (1991U) Child Abuse and Neglect State Grants (2018) Child Abuse and Neglect State Grants (2019) Child Abuse and Neglect State Grants (2020) Child Abuse and Neglect State Grants (2021)
93.654 Indian Health Service Behavioral Health Programs (2020) Indian Health Service Behavioral Health Programs (2021)	93.664 Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (2019) Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (2020) Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (2021)	93.670 Administration for Children, Youth and Families_Child Abuse and Neglect Discretionary ActivitiesNumber changed from 13.670 (1990U) Child Abuse and Neglect Discretionary Activities (1991U) Child Abuse and Neglect Discretionary Activities (2018) Child Abuse and Neglect Discretionary Activities (2019) Child Abuse and Neglect Discretionary Activities (2020) Child Abuse and Neglect Discretionary Activities (2021)
93.655 Special Programs for the Aging, Title VI_Part A, Indian Programs_Grants to Indian Tribes_Part B, Grants to Native HawaiiansNumber changed from 13.655 (1990U) Special Programs for the Aging, Title VI_Part A, Indian Programs_Grants to Indian Tribes and Part B, Grants to Native Hawaiians (1991B) Number changed to 93.047 (1992U)	93.665 Emergency Grants to Address Mental and Substance	93.671 Family Violence and Prevention ServicesNumber changed from 13.671 (1990U) Family Violence Prevention and Services_Grants to States and Indian Tribes (1995B) Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes (1997U) Family Violence Prevention and Services/Grants for Domestic Violence Shelters and Supportive Services/Grants to States and Native American Tribes and Tribal Organizations (2013) Family Violence Prevention and Services/Battered Women's Shelters_Grants to States and Indian Tribes (2013) Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (2014) Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (2018)
93.656 Temporary Child Care and Crisis NurseriesNumber changed from 13.656 (1990U) Archived (1998B)		
93.657 Drug Abuse Prevention and Education for Runaway and Homeless YouthNumber changed from 13.657 (1990U) Drug Abuse Prevention Program for Runaway and Homeless Youth (1991B) Drug Education and Prevention for Homeless Youth (1993U) Archived (1997B)		
93.658 Foster Care_Title IV-ENumber changed from 13.658 (1990U) Foster Care Title IV-E (2018)		

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Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (2019)	Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events (2021)	Act Construction Support (2009) National Center for Research Resources, Recovery
Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (2020)	93.685	Act Construction Support (2018) National Center for Research Resources, Recovery
Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services (2021)	Drug Vial Size Report (2019)	Act Construction Support (2019) National Center for Research Resources, Recovery
93.672	Drug Vial Size Report (2020)	Act Construction Support (2020) National Center for Research Resources, Recovery
Child Abuse Challenge GrantsNumber changed from 13.672 (1990U)	Archived (2021)	Act Construction Support (2021)
Child Abuse and Neglect State Prevention Grants (1992U)	93.686	93.703
Community-Based Prevention Program (1993U)	Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B (2019)	ARRA – Health Center Integrated Services Development Initiative[g I] (2009)
Archived (1995B)	Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B (2020)	ARRA – Grants to Health Center Programs (2010)
93.673	Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B (2021)	Archived (2017)
Grants to States for Planning and Development of Dependent Care ProgramsNumber changed from 13.673 (1990U)	93.687	93.704
Archived (1997B)	Maternal Opioid Misuse Model (2019)	Trans-NIH Recovery Act Loan Repayment Support (2009)
93.674	Maternal Opioid Misuse Model (2020)	Archived (2018)
Independent LivingNumber changed from 13.674 (1990U)	Maternal Opioid Misuse Model (2020)	93.705
Chafee Foster Care Independent Living (2002B)	Maternal Opioid Misuse Model (2021)	Aging Home-Delivered Nutrition Services for States (2009)
Chafee Foster Care Independence Program (2005)	93.691	Archived (2012)
Chafee Foster Care Independence Program (2006)	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (2019)	93.706
Chafee Foster Care Independence Program (2018)	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (2019)	Aging Nutrition Services for Native Americans (2009)
To: John H. Chafee Foster Care Program for Successful Transition to AdulthoodFrom: Chafee Foster Care Independence Program (2018)	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (2020)	Archived (2016)
John H. Chafee Foster Care Program for Successful Transition to Adulthood (2019)	Integrating the Healthcare Enterprise FHIR Cooperative Agreement Program (2021)	93.707
John H. Chafee Foster Care Program for Successful Transition to Adulthood (2020)	93.696	Aging Congregate Nutrition Services for States (2009)
John H. Chafee Foster Care Program for Successful Transition to Adulthood (2021)	Certified Community Behavioral Health Clinic Expansion Grants (2020)	Archived (2012)
93.676	93.697	93.708
Unaccompanied Alien Children Program (2003B)	COVID-19 Testing for Rural Health Clinics (2020)	ARRA - HEAD START (2009)
Unaccompanied Alien Children Program (2018)	COVID-19 Testing for Rural Health Clinics (2020)	Archived (2016)
Unaccompanied Alien Children Program (2019)	COVID-19 Testing for Rural Health Clinics (2021)	93.709
Unaccompanied Alien Children Program (2020)	To: COVID-19 Testing and Mitigation for Rural Health ClinicsFrom: COVID-19 Testing for Rural Health Clinics (2021)	ARRA EARLY HEAD START (2009)
Unaccompanied Alien Children Program (2021)	93.699	Archived (2016)
93.680	State and National Tobacco Cessation Support Systems (2018)	93.710
Medical Student Education Program (2019)	State and National Tobacco Cessation Support Systems (2019)	ARRA - COMMUNITY SERVICES BLOCK GRANT (2009)
Medical Student Education Program (2019)	State and National Tobacco Cessation Support Systems (2020)	Archived (2016)
To: Medical Student EducationFrom: Medical Student Education Program (2019)	Archived (2021)	93.711
Medical Student Education (2020)	93.700	ARRA – STRENGTHENING COMMUNITIES FUND (2009)
Medical Student Education (2021)	IMR and NCCIM Programs (2012)	Archived (2016)
93.684	Archived (2013)	93.712
Engaging State and Local Emergency Management Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events (2018)	93.701	ARRA - Immunization (2009)
Engaging State and Local Emergency Management Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events (2019)	Trans-NIH Recovery Act Research Support (2009)	Archived (2013)
Engaging State and Local Emergency Management Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events (2019)	Archived (2018)	93.713
Engaging State and Local Emergency Management Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events (2020)	93.702	ARRA – CHILD CARE AND DEVELOPMENT BLOCK GRANT (2009)
Engaging State and Local Emergency Management Agencies to Improve Ability to Prepare for and Respond to All - Hazards Events (2020)	National Center for Research Resources, Recovery	Archived (2016)
Engaging State and Local Emergency Management		93.714
		ARRA – EMERGENCY CONTINGENCY FUND FOR TEMPORARY ASSISTANCE FOR NEEDY

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FAMILIES (TANF) STATE PROGRAMS (2009) ARRA – Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (2018) ARRA – Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (2019) ARRA – Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (2020) ARRA – Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (2021)	ARRA - Prevention and Wellness-State, Territories and Pacific Islands (2009) Archived (2017)	Grants (2020) Mental and Behavioral Health Education and Training Grants (2020) Mental and Behavioral Health Education and Training Grants (2021)
93.715 Recovery Act – Comparative Effectiveness Research - AHRQ (2009) Archived (2015)	93.724 ARRA - Prevention and Wellness -Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (2009) Archived (2017)	93.733 Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF-2012) (2012) Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (2014) Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (2018) Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (2019) Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (2020) Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (2021)
93.716 ARRA – TEMPORARY ASSISTANCE FOR NEEDED FAMILIES (TANF) SUPPLEMENTAL GRANTS (2009) Archived (2017)	93.726 ARRA Accelerating Adoption of Comparative Effectiveness Research (CER) (2010) Archived (2015)	
93.717 ARRA - Preventing Healthcare-Associated Infections (2009) Archived (2013)	93.727 ARRA - Health Information Technology - Beacon Communities (2009) Beacon Communities - Community Health Peer Learning Program (2015) Beacon Communities - Community Health Peer Learning Program (2018) Archived (2019)	
93.718 Health Information Technology Regional Extension Centers Program (2009) Health Information Technology Regional Extension Centers Program (2018) Archived (2019)	93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (2009) ARRA - Strategic Health IT Advanced Research Projects (SHARP) (2018) Archived (2019)	
93.719 ARRA – State Grants to Promote Health Information Technology (2009) Advance Interoperable Health Information Technology Services to Support Health Information Exchange (2015) Advance Interoperable Health Information Technology Services to Support Health Information Exchange (2018) Archived (2019)	93.729 ARRA –Health Information Technology and Public Health (2010) Archived (2013)	
93.720 ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (2009) Archived (2015)	93.730 ARRA Prevention Research Centers Comparative Effectiveness Research Program (2010) Archived (2015)	93.734 Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by 2012 Prevention and Public Health Funds (PPHF-2012) (2012) Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF) (2014) Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF) (2018) Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF) (2019) Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF) (2020) Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by Prevention and Public Health Funds (PPHF) (2021)
93.721 ARRA - Health Information Technology Professionals in Health Care (2009) ARRA - Health Information Technology Professionals in Health Care (2018) Archived (2019)	93.731 ARRA - Prevention and Wellness - Leveraging National Organizations (2010) Archived (2014)	
93.722 IHS ARRA Tribal Agreements (2009) ARRA - IHS Tribal Agreements (2009) Archived (2013)	93.732 Mental and Behavioral Health Education and Training Grants (2012) PPHF-2012 Mental and Behavioral Health Education and Training Grants (2012) Mental and Behavioral Health Education and Training Grants (2012) Mental and Behavioral Health Education and Training Grants (2018) Mental and Behavioral Health Education and Training Grants (2019) Mental and Behavioral Health Education and Training Grants (2019) Mental and Behavioral Health Education and Training Grants (2019) Mental and Behavioral Health Education and Training Grants (2019)	
93.723	Mental and Behavioral Health Education and Training	93.735 State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012) (2012) State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (2014) State Public Health Approaches for Ensuring Quitline

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Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (2018)
State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (2019)
State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (2019)
State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (2020)
State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by Prevention and Public Health Funds (PPHF) (2021)

93.736

Prevention Public Health Fund 2012: Viral Hepatitis Prevention (2012)
Prevention Public Health Fund: Viral Hepatitis Prevention (2014)
Archived (2016)

93.737

PPHF 2012: Community Transformation Grants - Small Communities Program financed solely by 2012 Public Prevention and Health Funds (2012)
PPHF: Community Transformation Grants -Small Communities Program financed solely by Public Prevention and Health Funds (2014)
Archived (2017)

93.738

PPHF 2012: Racial and Ethnic Approaches to Community Health Program financed solely by 2012 Public Prevention and Health Funds (2012)
PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (2014)
PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (2018)
PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (2019)
PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (2020)
PPHF: Racial and Ethnic Approaches to Community Health Program financed solely by Public Prevention and Health Funds (2021)

93.739

PPHF2012: Chronic disease Innovation Grants - financed solely by 2012 Public Prevention Health Funds (2012)
PPHF: Chronic disease Innovation Grants - financed solely by Public Prevention Health Funds (2014)
PPHF: Chronic Disease Innovation Grants - financed solely by Public Prevention Health Funds (2018)
PPHF: Chronic Disease Innovation Grants - financed solely by Public Prevention Health Funds (2019)
PPHF: Chronic Disease Innovation Grants - financed solely by Public Prevention Health Funds (2020)
PPHF: Chronic Disease Innovation Grants - financed solely by Public Prevention Health Funds (2020)
Archived (2021)

93.740

Prevention Public Health Fund 2012: Viral Hepatitis Education (2012)
Prevention Public Health Fund: Viral Hepatitis Education (2014)
Archived (2015)

93.741

Breastfeeding Promotion and Support – Improving Maternity Care Practices project financed solely by 2012 Public Prevention and Health Funds (2012)
Breastfeeding Promotion and Support – Improving Maternity Care Practices project financed solely by Public Prevention and Health Funds (2014)
Archived (2017)

93.742

PPHF 2012: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by 2012 Public Prevention and Health Funds (2012)
PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (2014)
PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (2018)
PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (2019)
PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (2020)
PPHF: Early Childcare and Education Obesity Prevention Program - Obesity Prevention in Young Children - financed solely by Public Prevention and Health Funds (2020)
Archived (2021)

93.743

Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by 2012 Prevention and Public Health Funds (2012)
Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health Funds (2014)
Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health Funds (2018)
Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health Funds (2019)
Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health Funds (2020)
Racial and Ethnic Approaches to Community Health: Obesity and Hypertension Demonstration Projects financed solely by Prevention and Public Health

Funds (2020)
Archived (2021)

93.744

PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds (2012)
PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (2014)
PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (2018)
PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (2019)
PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (2020)
PPHF: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by Prevention and Public Health Funds (2020)
Archived (2021)

93.745

PPHF-2012: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by 2012 Prevention and Public Health Funds (PPHF-2012) (2012)
PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (2014)
PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (2018)
PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (2019)
PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (2020)
PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (2020)
PPHF: Health Care Surveillance/Health Statistics – Surveillance Program Announcement: Behavioral Risk Factor Surveillance System Financed in Part by Prevention and Public Health Fund (2021)

93.747

Elder Abuse Prevention Interventions Program (2012)
Elder Abuse Prevention Interventions Program (2018)
Elder Abuse Prevention Interventions Program (2019)
Elder Abuse Prevention Interventions Program (2020)
Elder Abuse Prevention Interventions Program (2021)

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93.748

PPHF-2012 Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (2012)

PPHF Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (2014)
PPHF Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (2018)
PPHF Cooperative Agreements for Prescription Drug Monitoring Program Electronic Health Record (EHR) Integration and Interoperability Expansion (2019)
Archived (2020)

93.749

PPHF 2012 – Public Health Laboratory Infrastructure – financed solely by 2012 Prevention and Public Health Fund (2012)
PPHF – Public Health Laboratory Infrastructure – financed solely by Prevention and Public Health Fund (2014)
PPHF – Public Health Laboratory Infrastructure – financed solely by Prevention and Public Health Fund (2018)
PPHF – Public Health Laboratory Infrastructure – financed solely by Prevention and Public Health Fund (2019)
Archived (2020)

93.750

PPHF 2013 - Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (2013)
PPHF Cooperative Agreement to Support Navigators in Federally-facilitated and State Partnership Exchanges (2014)
Archived (2015)
Reinstated (2017)
Archived (2018)

93.751

PPHF2013: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by 2013 Prevention and Public Health Funds (2013)
PPHF: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by Prevention and Public Health Funds (2014)
PPHF: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by Prevention and Public Health Funds (2018)
PPHF: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by Prevention and Public Health Funds (2018)
PPHF: Consortium for Tobacco Use Cessation Technical Assistance financed by solely by Prevention and Public Health Funds (2019)
Archived (2020)

93.752

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part by Prevention and Public Health Funds (2014)
Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part

by Prevention and Public Health Funds (2018)
Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations financed in part by Prevention and Public Health Funds (2019)
Archived (2020)

93.753

Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (2014)
Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (2018)
Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (2019)
Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (2020)
Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (2020)
Child Lead Poisoning Prevention Surveillance financed in part by Prevention and Public Health (PPHF) Program (2021)

93.754

Promotion and Support of Optimal Breastfeeding Practices throughout the United States financed solely by Prevention and Public Health Funding (PPHF) (2014)
Archived (2017)

93.755

Surveillance for Diseases Among Immigrants and Refugees financed in part by 2014 Prevention and Public Health Funds (PPHF) (2014)
Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (2014)
Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (2018)
Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (2019)
Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (2020)
Surveillance for Diseases Among Immigrants and Refugees financed in part by Prevention and Public Health Funds (PPHF) (2021)

93.756

Nutrition and Physical Activity Program funded solely by Prevention and Public Health Funds (PPHF) (2014)
Nutrition and Physical Activity Program funded solely by Prevention and Public Health Funds (PPHF) (2018)
Nutrition and Physical Activity Program funded solely by Prevention and Public Health Funds (PPHF) (2019)
Archived (2019)

93.757

State Public Health Actions to Prevent and Control

Diabetes, Heart Disease, Obesity and Associated Risk Factors and Promote School Health financed in part by Prevention and Public Health Funding (PPHF) (2014)
State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke (PPHF) (2018)
State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke (PPHF) (2019)
Archived (2019)

93.758

Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) (2014)
Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) (2018)
Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) (2019)
Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) (2020)
Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) (2020)
Archived (2021)

93.759

Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (2014)
Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (2018)
Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (2019)
Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (2020)
Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (2020)
Consortium for Tobacco Use Cessation Technical Assistance financed solely by Prevention and Public Health Funds (2021)

93.760

Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (2006)
Archived (2011)

93.761

Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (2018)
Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (2019)
Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF) (2020)
Evidence-Based Falls Prevention Programs Financed Solely by Prevention and Public Health Funds (PPHF)

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(2021)

93.762

A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (2014)

A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (2018)

A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (2019)

A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (2019)

A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (2020)

A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (2020)

A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (2020)

A Comprehensive Approach to Good Health and Wellness in Indian County – financed solely by Prevention and Public Health (2021)

93.763

Alzheimer's Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (2014)

Alzheimer's Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (2018)

Alzheimer's Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (2019)

Alzheimer's Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (2020)

Alzheimer's Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (2020)

Alzheimer's Disease Initiative: Specialized Supportive Services Project (ADI-SSS) thru Prevention and Public Health Funds (PPHF) (2021)

93.764

PPHF- Cooperative Agreements to Implement the National Strategy for Suicide Prevention (Short Title: National Strategy Grants) (2014)

PPHF- Cooperative Agreements to Implement the National Strategy for Suicide Prevention (Short Title: National Strategy Grants) (2018)

PPHF- Cooperative Agreements to Implement the National Strategy for Suicide Prevention (Short Title: National Strategy Grants) (2019)

Archived (2020)

93.765

PPHF 2015 – CSELS Partnerships: Enhancing Public Health Laboratories (2014)

PPHF – CSELS Partnerships: Enhancing Public Health Laboratories (2014)

PPHF-CSELS Partnerships: Strengthening Public Health Laboratories (2014)

PPHF-CDC Partnership: Strengthening Public Health Laboratories (2015)

PPHF-CDC Partnership: Strengthening Public Health Laboratories (2018)

PPHF-CDC Partnership: Strengthening Public Health Laboratories (2019)

PPHF-CDC Partnership: Strengthening Public Health Laboratories (2019)

PPHF-CDC Partnership: Strengthening Public Health Laboratories (2020)

PPHF-CDC Partnership: Strengthening Public Health Laboratories (2020)

PPHF-CDC Partnership: Strengthening Public Health Laboratories (2021)

93.766

Health Care Financing Research, Demonstrations and EvaluationsNumber changed from 13.779 (1990U)

93.767

State Children's Insurance Program (1998B)

Children's Health Insurance Program (2009)

Children's Health Insurance Program (2018)

Children's Health Insurance Program (2019)

Children's Health Insurance Program (2019)

Children's Health Insurance Program (2020)

Children's Health Insurance Program (2021)

93.768

Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities (2000U)

Archived (2015)

Reinstated (2017)

93.769

Demonstration to Maintain Independence and Employment (2000U)

Archived (2011)

93.770

Medicare_Prescription Drug Coverage (2005)

Medicare Prescription Drug Coverage (2018)

Medicare Prescription Drug Coverage (2019)

Medicare Prescription Drug Coverage (2020)

Medicare Prescription Drug Coverage (2021)

93.772

Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (2017)

Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (2018)

Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (2019)

Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (2019)

Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (2020)

Tribal Public Health Capacity Building and Quality Improvement Umbrella Cooperative Agreement (2020)

Tribal Public Health Capacity Building and Quality

Improvement Umbrella Cooperative Agreement (2021)

93.773

Medicare_Supplementary Medical InsuranceNumber changed from 13.773 (1990U)

Medicare Hospital Insurance (2018)

Medicare Hospital Insurance (2019)

Medicare Hospital Insurance (2020)

Medicare Hospital Insurance (2021)

93.774

Health Insurance for the Aged_Supplementary Medical InsuranceNumber changed from 13.774 (1990U)

Medicare Supplementary Medical Insurance (2018)

Medicare Supplementary Medical Insurance (2019)

Medicare Supplementary Medical Insurance (2020)

Medicare Supplementary Medical Insurance (2021)

93.775

State Medicaid Fraud Control UnitsNumber changed from 13.775 (1990U)

State Medicaid Fraud Control Units (2018)

State Medicaid Fraud Control Units (2019)

State Medicaid Fraud Control Units (2020)

State Medicaid Fraud Control Units (2021)

93.776

Hurricane Katrina Relief (2006)

Archived (2009)

93.777

State Survey and Certification of Health Care Providers and SuppliersNumber changed from 13.777 (1990U)

State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (2018)

State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (2019)

State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (2020)

State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (2021)

93.778

Medical Assistance ProgramNumber changed from 13.714 (1990U)

Medical Assistance Program (2018)

Medical Assistance Program (2019)

Medical Assistance Program (2020)

Medical Assistance Program (2021)

93.779

Health Care Financing Research, Demonstrations and EvaluationsNumber changed from 13.766 (1990U)

Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (2002B)

Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (2018)

Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (2019)

Centers for Medicare and Medicaid Services (CMS)

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Research, Demonstrations and Evaluations (2020) Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (2021)	Networks (2006) Archived (2013)	Act of 2016 (2019) CARA Act – Comprehensive Addiction and Recovery Act of 2016 (2020)
93.780 Grants to States for Operation of Qualified High-Risk Pools (2003B) Grants to States for Operation of Qualified High-Risk Pools (2018) Archived (2019)	93.791 Money Follows the Person Rebalancing Demonstration (2006) Money Follows the Person Rebalancing Demonstration (2018) Money Follows the Person Rebalancing Demonstration (2019) Money Follows the Person Rebalancing Demonstration (2020) Money Follows the Person Rebalancing Demonstration (2021)	93.800 Organized Approaches to Increase Colorectal Cancer Screening (2014) Organized Approaches to Increase Colorectal Cancer Screening (2018) Organized Approaches to Increase Colorectal Cancer Screening (2019) Organized Approaches to Increase Colorectal Cancer Screening (2019) Organized Approaches to Increase Colorectal Cancer Screening (2020) Organized Approaches to Increase Colorectal Cancer Screening (2020) Organized Approaches to Increase Colorectal Cancer Screening (2021)
93.781 Seed Grants to States for Qualified High-Risk Pools (2003B) Archived (2009)	93.792 Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2018) Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2019) Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2020) Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2020) Prevention and Control of Chronic Disease and Associated Risk Factors in the U.S. Affiliated Pacific Islands, U.S. Virgin Islands, and P. R. (2021)	93.801 Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (2014) Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (2018) Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (2019) Ebola Healthcare Preparedness and Response for Select Cities with Enhanced Airport Entrance Screenings from Affected Countries in West Africa (2020)
93.782 Medicare Transitional Drug Assistance Program for Territories (2004)	93.793 Medicaid Transformation Grants (2006) Archived (2013)	93.802 Social Security_Disability InsuranceNumber changed from 13.802 (1990U) Number changed to 96.001 (1995B)
93.783 Medicare Transitional Drug Assistance Program for States (2004) Archived (2009)	93.794 Reimbursement of State Costs for Provision of Part D Drugs (2006) Archived (2009)	93.803 Social Security_Retirement InsuranceNumber changed from 13.803 (1990U) Number changed to 96.002 (1995B)
93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (2004) Archived (2018)	93.795 Community Health Workers for COVID Response and Resilient Communities (2020) Community Health Workers for COVID Response and Resilient Communities (2021)	93.804 Social Security_Special Benefits for Persons Aged 72 and OverNumber changed from 13.804 (1990U) Number changed to 96.003 (1995B)
93.785 Pilot Program for National and State Background Checks—Direct Patient Access for Long-Term Care (2004) Archived (2009)	93.796 State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2010) State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2018) State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2019) State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2020) State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2021)	93.805 Social Security_Survivors InsuranceNumber changed from 13.805 (1990U) Number changed to 96.004 (1995B)
93.786 State Pharmaceutical Assistance Programs (2004) Archived (2009)	93.797 799 (2017) CARA Act – Comprehensive Addiction and Recovery Act of 2016 (2018) CARA Act – Comprehensive Addiction and Recovery	93.806 Special Benefits for Disabled Coal MinersNumber changed from 13.806 (1990U) Number changed to 96.005 (1995B)
93.787 Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (2018) Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (2018) Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (2019) Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (2020) Title V Sexual Risk Avoidance Education Program (Discretionary Grants) (2021)	93.798 State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2010) State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2018) State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2019) State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2020) State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid (2021)	93.807 Supplemental Security IncomeNumber changed from 13.807 (1990U) Number changed to 96.006 (1995B)
93.788 Opioid STR (2016) Opioid STR (2018) Opioid STR (2019) Opioid STR (2020) Opioid STR (2021)		
93.789 Alternatives to Psychiatric Residential Treatment Facilities for Children (2006) Archived (2013)		
93.790 Alternate Non-Emergency Service Providers or		

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93.808

Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (2014)
Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (2018)
Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (2019)
Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (2020)
Increasing the Implementation of Evidence-Based Cancer Survivorship Interventions to Increase Quality and Duration of Life Among Cancer Patients (2020)
Archived (2021)

93.809

National Organizations for Chronic Disease Prevention and Health Promotion (2014)
National Organizations for Chronic Disease Prevention and Health Promotion (2018)
National Organizations for Chronic Disease Prevention and Health Promotion (2019)
National Organizations for Chronic Disease Prevention and Health Promotion (2019)
National Organizations for Chronic Disease Prevention and Health Promotion (2020)
National Organizations for Chronic Disease Prevention and Health Promotion (2020)
National Organizations for Chronic Disease Prevention and Health Promotion (2020)
National Organizations for Chronic Disease Prevention and Health Promotion (2020)
National Organizations for Chronic Disease Prevention and Health Promotion (2021)

93.810

Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2015)
Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2018)
Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2019)
Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2019)
Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2020)
Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2020)
Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2020)
Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2020)
Paul Coverdell National Acute Stroke Program National Center for Chronic Disease Prevention and Health Promotion (2021)

93.812

Public Assistance Research Number changed from 13.812 (1990U)
Number changed to 96.007 (1995B)

93.813

Heart Disease & Stroke Prevention Program and Diabetes Prevention – State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (2015)
Heart Disease & Stroke Prevention Program and Diabetes Prevention – State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (2018)
Heart Disease & Stroke Prevention Program and Diabetes Prevention – State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (2019)
Heart Disease & Stroke Prevention Program and Diabetes Prevention – State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (2019)
Heart Disease & Stroke Prevention Program and Diabetes Prevention – State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (2019)
Heart Disease & Stroke Prevention Program and Diabetes Prevention – State and Local Public Health Actions to Prevent Obesity, Diabetes, and Heart Disease and Stroke (2020)
Archived (2021)

93.814

Partner support for heart disease and stroke prevention (2015)
Partner support for heart disease and stroke prevention (2018)
Partner support for heart disease and stroke prevention (2019)
Partner support for heart disease and stroke prevention (2020)
Partner support for heart disease and stroke prevention (2020)
Partner support for heart disease and stroke prevention (2021)

93.815

Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC). (2015)
Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC). (2018)
Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC). (2018)
Domestic Ebola Supplement to the Epidemiology and Laboratory Capacity for Infectious Diseases (ELC). (2019)
Archived (2020)

93.816

Preventing Heart Attacks and Strokes in High Need Areas (2015)
Preventing Heart Attacks and Strokes in High Need Areas (2018)
Preventing Heart Attacks and Strokes in High Need Areas (2019)
Preventing Heart Attacks and Strokes in High Need Areas (2019)
Preventing Heart Attacks and Strokes in High Need Areas (2019)

Areas (2020)
Preventing Heart Attacks and Strokes in High Need Areas (2020)
Preventing Heart Attacks and Strokes in High Need Areas (2020)
Preventing Heart Attacks and Strokes in High Need Areas (2021)

93.817

Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (2015)
Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (2018)
Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (2019)
Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities (2020)

93.818

Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (2015)
Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (2018)
Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (2019)
Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (2020)
Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (2020)
Support to the World Health Organization (WHO) for Response to the Ebola Virus Disease Outbreak in Western Africa (2021)

93.819

National Laboratory Biosafety for Ebola and other Highly Infectious Diseases (2015)
Archived (2015)

93.820

Scholarships for Students of Exceptional Financial Need Number changed from 13.820 (1990U)
Archived (2002B)

93.821

Biophysics and Physiological Sciences Number changed from 13.821 (1990U)
Incorporated from 93.863 (1995B)
Cell Biology and Biophysics Research (1995B)
Consolidated into 93.859 (2003B)
Deleted (2003)

93.822

Health Careers Opportunity Program Number changed from 13.822 (1990U)
Split into 93.221 (1994U)
Health Careers Opportunity Program (2018)
Health Careers Opportunity Program (2019)
Health Careers Opportunity Program (2020)
Health Careers Opportunity Program (2020)
Health Careers Opportunity Program (2021)
To: Health Careers Opportunity Program (HCOP) From: Health Careers Opportunity Program

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(2021)

93.823

Ebola Support: Transmission and prevention control, public health preparedness, vaccine development. (2015)

Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (2018)

Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (2018)

Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (2019)

Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (2019)

Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (2020)

Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (2020)

Ebola Support: Transmission and Prevention Control, Public Health Preparedness, Vaccine Development (2020)

Archived (2021)

93.824

Area Health Education Centers Number changed from 13.824 (1990U)

Basic/Core Area Health Education Centers (1999U)

Area Health Education Centers Infrastructure Development Awards (2010)

Archived (2018)

93.825

National Ebola Training and Education Center (NETEC) (2015)

National Ebola Training and Education Center (NETEC) (2018)

National Ebola Training and Education Center (NETEC) (2019)

National Ebola Training and Education Center (NETEC) (2020)

93.826

Closing the Gap Between Standards Development and Implementation (2015)

Closing the Gap Between Standards Development and Implementation (2018)

Closing the Gap Between Standards Development and Implementation (2018)

Closing the Gap Between Standards Development and Implementation (2019)

Closing the Gap Between Standards Development and Implementation (2020)

Closing the Gap Between Standards Development and Implementation (2021)

93.827

Educating State-level Stakeholders on Strategies to Address Winnable Battles in Public Health (2015)

Educating State-level Stakeholders on Strategies to Address Winnable Battles in Public Health (2018)

Archived (2019)

93.829

Section 223 Demonstration Programs to Improve Community Mental Health Services (2015)

Section 223 Demonstration Programs to Improve Community Mental Health Services (2018)

Archived (2019)

Reinstated (2020)

Section 223 Demonstration Programs to Improve Community Mental Health Services (2020)

Section 223 Demonstration Programs to Improve Community Mental Health Services (2021)

93.830

Interoperability Roadmap: Public/Private Partnership (2015)

Interoperability Roadmap: Public/Private Partnership (2018)

Archived (2019)

93.831

Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (2015)

Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (2018)

Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (2018)

Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (2019)

Standards Development Organization Collaboration to Enhance Standards Alignment, Testing, and Measurement (2020)

93.832

Promoting the Cancer Surveillance Workforce, Education and Data Use (2015)

Promoting the Cancer Surveillance Workforce, Education and Data Use (2018)

Promoting the Cancer Surveillance Workforce, Education and Data Use (2019)

Promoting the Cancer Surveillance Workforce, Education and Data Use (2019)

Promoting the Cancer Surveillance Workforce, Education and Data Use (2020)

Promoting the Cancer Surveillance Workforce, Education and Data Use (2020)

Promoting the Cancer Surveillance Workforce, Education and Data Use (2021)

93.833

Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (2015)

Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (2018)

Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (2019)

Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (2019)

Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (2019)

Supporting and Maintaining a Surveillance System

for Chronic Kidney Disease (CKD) in the United States (2020)

Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (2020)

Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (2020)

Supporting and Maintaining a Surveillance System for Chronic Kidney Disease (CKD) in the United States (2021)

93.834

Capacity Building Assistance (CBA) for High-Impact HIV Prevention (2016)

Archived (2017)

Reinstated (2017)

Capacity Building Assistance (CBA) for High-Impact HIV Prevention (2018)

Capacity Building Assistance (CBA) for High-Impact HIV Prevention (2019)

Capacity Building Assistance (CBA) for High-Impact HIV Prevention (2019)

Capacity Building Assistance (CBA) for High-Impact HIV Prevention (2020)

Capacity Building Assistance (CBA) for High-Impact HIV Prevention (2020)

Capacity Building Assistance (CBA) for High-Impact HIV Prevention (2021)

93.835

Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (2015)

Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (2018)

Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (2019)

Planning Grant for Healthcare and Public Health Sector Cybersecurity Information Sharing (2020)

93.837

Heart and Vascular Diseases Research Number changed from 13.837 (1990U)

Cardiovascular Diseases Research (2008)

Cardiovascular Diseases Research (2018)

Cardiovascular Diseases Research (2019)

Cardiovascular Diseases Research (2020)

Cardiovascular Diseases Research (2021)

93.838

Lung Diseases Research Number changed from 13.838 (1990U)

Lung Diseases Research (2018)

Lung Diseases Research (2019)

Lung Diseases Research (2020)

Lung Diseases Research (2021)

93.839

Blood Diseases and Resources Research Number changed from 13.839 (1990U)

Blood Diseases and Resources Research (2018)

Blood Diseases and Resources Research (2019)

Blood Diseases and Resources Research (2020)

Blood Diseases and Resources Research (2021)

93.840

Translation and Implementation Science Research for

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and Academic Success of School-Age Children (2020)

National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (2020)

National Collaboration to Support Health, Wellness and Academic Success of School-Age Children (2021)

93.859

Pharmacological SciencesNumber changed from 13.859 (1990U)

Pharmacology and Biorelated Chemistry (1993U) Incorporated from 93.863 (1995B)

Pharmacology, Physiology, and Biorelated Chemistry (1995B)

Pharmacology, Physiology, and Biological Chemistry Research (1995U)

Biomedical Research and Research Training (2018)

Biomedical Research and Research Training (2019)

Biomedical Research and Research Training (2020)

Biomedical Research and Research Training (2021)

Biomedical Research and Research Training (2021)

93.860

Emerging Infections Sentinel Networks (2015)

Emerging Infections Sentinel Networks (2018)

Emerging Infections Sentinel Networks (2019)

Emerging Infections Sentinel Networks (2020)

Emerging Infections Sentinel Networks (2020)

Emerging Infections Sentinel Networks (2020)

Emerging Infections Sentinel Networks (2021)

Emerging Infections Sentinel Networks (2021)

93.861

Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (2015)

Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (2018)

Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (2019)

Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (2019)

Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (2020)

Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (2020)

Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (2020)

Strengthening the Public Health System in US-affiliated Pacific Islands (PPHF) (2021)

93.862

Genetics ResearchNumber changed from 13.862 (1990U)

Genetics and Developmental Biology Research (1995B)

Genetics and Developmental Biology Research and Research Training (2000B)

Consolidated into 93.859 (2003B)

Archived (2003)

93.863

Cellular and Molecular Basis of Disease

ResearchNumber changed from 13.863 (1990U)

Incorporated into 93.821, 93.859 (1995B)

93.864

Population ResearchNumber changed from 13.864 (1990U)

Consolidated into 93.865 (2003B)

Deleted (2003)

93.865

Research for Mothers and ChildrenNumber changed from 13.865 (1990U)

Center for Research for Mothers and Children (1998B)

Child Health and Human Development Extramural Research (2004)

Child Health and Human Development Extramural Research (2018)

Child Health and Human Development Extramural Research (2019)

Child Health and Human Development Extramural Research (2020)

Child Health and Human Development Extramural Research (2021)

93.866

Aging ResearchNumber changed from 13.866 (1990U)

Aging Research (2018)

Aging Research (2019)

Aging Research (2020)

93.867

Retinal and Choroidal Diseases ResearchNumber changed from 13.867 (1990U)

Incorporated from 93.868, 93.871 (1993B)

Vision Research (1993B)

Vision Research (2018)

Vision Research (2019)

Vision Research (2020)

Vision Research (2021)

93.868

Anterior Segment Diseases ResearchNumber changed from 13.868 (1990U)

Incorporated into 93.867 (1993B)

93.870

Maternal, Infant and Early Childhood Homevisiting Grant Program (2015)

Maternal, Infant and Early Childhood Home Visiting Grant Program (2018)

Maternal, Infant and Early Childhood Home Visiting Grant Program (2019)

To: Maternal, Infant and Early Childhood Home

Visiting GrantFrom: Maternal, Infant and Early

Childhood Home Visiting Grant Program (2019)

Maternal, Infant and Early Childhood Home Visiting Grant (2020)

Maternal, Infant and Early Childhood Home Visiting Grant (2021)

93.871

Strabismus, Amblyopia and Visual

ProcessingNumber changed from 13.871 (1990U)

Incorporated into 93.867 (1993B)

93.872

Tribal Maternal, Infant, and Early Childhood Home

Visiting (2015)

Tribal Maternal, Infant, and Early Childhood Home Visiting (2018)

Tribal Maternal, Infant, and Early Childhood Home Visiting (2019)

Tribal Maternal, Infant, and Early Childhood Home Visiting (2020)

Tribal Maternal, Infant, and Early Childhood Home Visiting (2021)

93.873

State Grants for Protection and Advocacy Services (2015)

State Grants for Protection and Advocacy Services (2018)

State Grants for Protection and Advocacy Services (2019)

State Grants for Protection and Advocacy Services (2020)

State Grants for Protection and Advocacy Services (2021)

93.874

Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (2016)

Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (2018)

Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (2019)

Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (2019)

Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (2020)

Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (2020)

Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (2020)

Strengthening the Public Health System in US-affiliated Pacific Islands (Non-PPHF) (2021)

93.875

Assistance for Oral Disease Prevention and Control (2016)

Assistance for Oral Disease Prevention and Control (2018)

Assistance for Oral Disease Prevention and Control (2019)

Archived (2020)

93.876

Antimicrobial Resistance Surveillance in Retail Food Specimens (2016)

Antimicrobial Resistance Surveillance in Retail Food Specimens (2019)

Antimicrobial Resistance Surveillance in Retail Food Specimens (2020)

Antimicrobial Resistance Surveillance in Retail Food Specimens (2021)

93.877

Autism Collaboration, Accountability, Research, Education, and Support (2016)

Autism Collaboration, Accountability, Research, Education, and Support (2018)

Autism Collaboration, Accountability, Research, Education, and Support (2019)

Autism Collaboration, Accountability, Research,

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Education, and Support (2020)

Autism Collaboration, Accountability, Research, Education, and Support (2021)

93.878

Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (2016)

Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (2018)

Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (2019)

Enhance the Ability of Emergency Medical Services (EMS) to transport patients with highly infectious diseases (HID) (2020)

93.879

Medical Library AssistanceNumber changed from 13.879 (1990U)

Medical Library Assistance (2018)

Medical Library Assistance (2019)

Medical Library Assistance (2019)

Medical Library Assistance (2020)

Medical Library Assistance (2021)

93.880

Minority Access to Research CareersNumber changed from 13.880 (1990U)

Consolidated into 93.859 (2003B)

Deleted (2003)

93.881

Health Insurance Market Reforms (2016)

The Health Insurance Enforcement and Consumer Protections Grant Program (2016)

The Health Insurance Enforcement and Consumer Protections Grant Program (2018)

The Health Insurance Enforcement and Consumer Protections Grant Program (2019)

The Health Insurance Enforcement and Consumer Protections Grant Program (2020)

The Health Insurance Enforcement and Consumer Protections Grant Program (2020)

The Health Insurance Enforcement and Consumer Protections Grant Program (2021)

The Health Insurance Enforcement and Consumer Protections Grant Program (2021)

To: The Reduction of Issuer Burden Through Technology Grant Program and The Health Insurance Enforcement and Consumer Protections Grant

ProgramFrom: The Health Insurance Enforcement and Consumer Protections Grant Program (2021)

93.882

High Impact Pilot Awards (2018)

High Impact Pilot Awards (2019)

High Impact Pilot Awards (2020)

93.883

Standards Explorations Award (2016)

Standards Exploration Award (2016)

Standards Exploration Award (2018)

Standards Exploration Award (2019)

Standards Exploration Award (2020)

93.884

Grants for Residency Training in General Internal Medicine and/or General PediatricsNumber changed from 13.884 (1990U)

Consolidated from 93.379 (2001B)

Grants for Residency Training in Primary Care Medicine and Dentistry (2004)

Grants for Training in Primary Care Medicine and Dentistry (2005)

Grants for Training in Primary Care Medicine and Dentistry (2006)

Grants for Primary Care Training and Enhancement (2012)

Grants for Primary Care Training and Enhancement (2018)

Grants for Primary Care Training and Enhancement (2019)

Grants for Primary Care Training and Enhancement (2020)

Grants for Primary Care Training and Enhancement (2021)

To: Primary Care Training and EnhancementFrom: Grants for Primary Care Training and Enhancement (2021)

93.886

Grants for Physician Assistant Training ProgramNumber changed from 13.886 (1990U)

Physician Assistant Training in Primary Care (1999U) Consolidated into 93.884 (2003B)

Deleted (2003)

93.887

Project Grants for Non-Acute Care Intermediate and Long-Term Care Facilities for Patients with AIDSNumber changed from 13.887 (1990U)

Project Grants for Renovation or Construction of Non-Acute Health Care Facilities (1995U)

Project Grants for Renovation or Construction of Non-Acute Health Care Facilities and Other Facilities (1999U)

Health Care and Other Facilities (2002B)

Archived (2016)

93.888

Specially Selected Health Projects (2004)

Archived (2016)

93.889

National Bioterrorism Hospital Preparedness Program (2004)

National Bioterrorism Hospital Preparedness Program (2018)

National Bioterrorism Hospital Preparedness Program (2019)

National Bioterrorism Hospital Preparedness Program (2020)

93.890

Healthy Communities Access Program (HCAP) Demonstration Authority (2004)

Archived (2010)

93.891

Alcohol Research Center GrantsNumber changed from 13.891 (1990U)

Archived (2010)

93.893

HEALTH CARE AND PUBLIC HEALTH (HPH) SECTOR INFORMATION SHARING AND ANALYSIS ORGANIZATION (ISAO) (2016)

Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (2018)

Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (2018)

Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (2019)

Health Care and Public Health (HPH) Sector Information Sharing and Analysis Organization (ISAO) (2020)

93.894

Resource and Manpower Development in the Environmental Health ServicesNumber changed from 13.894 (1990U)

Deleted (2006)

93.895

Grants for Faculty Development in Family MedicineNumber changed from 13.895 (1990U)

Consolidated from 93.900 (2001B)

Consolidated into 93.884 (2003B)

Deleted (2003)

93.896

Grants for Predoctoral Training in Family MedicineNumber changed from 13.896 (1990U)

Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics) (2000B)

Consolidated into 93.884 (2003B)

Deleted (2003)

93.897

Residency Training and Advanced Education in the General Practice of DentistryNumber changed from 13.897 (1990U)

Residencies and Advanced Education in the Practice of General Dentistry (1999U)

Consolidated into 93.884 (2003B)

Deleted (2003)

93.898

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (2016)

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (2018)

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (2019)

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (2019)

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (2020)

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (2020)

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (2021)

Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations (2021)

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93.900

Grants for Faculty Development in General Internal Medicine and/or General Pediatrics Number changed from 13.900 (1990U)
Consolidated into 93.895 (2001B)

93.901

Communications Programs Aimed Toward the Prevention of Alcohol and Other Drug Problems Number changed from 13.901 (1990U)
Communications Programs Aimed Toward the Prevention of Alcohol, Tobacco, and Other Drug Problems (1992U)
Communications Programs for Demonstrating the Prevention of Alcohol, Tobacco, and Other Drug Problems (1995B)
Communications Programs for Demonstrating the Prevention of Alcohol, and Drug Problems (1996B)
Archived (1999B)

93.902

Model Comprehensive Treatment Programs for Critical Populations (1990U)
Model Comprehensive Drug Abuse Treatment Programs for Critical Populations (1991B)
Archived (1999B)

93.903

Model Criminal Justice Drug Abuse Treatment_Incarcerated Populations_Non-Incarcerated Populations_Treatment Prison (1990U)
Model Criminal Justice Drug Abuse Treatment_Incarcerated Populations_Non-Incarcerated Populations_Juvenile Justice Populations (1991B)
Model Criminal Justice Drug Abuse Treatment for Incarcerated Populations, Non-Incarcerated Populations and Juvenile Justice Populations (1992U)
Archived (1997B)

93.904

Prevention and Primary Health Care Services to Persons with HIV Infection and/or AIDS in Community Health Facilities (1990U)
Archived (1991U)

93.905

Indian Health Service Research (1990U)
Archived (2001B)

93.906

Rural Health Medical Education Demonstration Projects (1990U)
Archived (2000B)

93.907

Grants for Nurse Anesthetist Faculty Fellowships (1990U)
Archived (2000B)

93.908

Nursing Education Loan Repayment Agreements for Registered Nurses Entering Employment at Eligible Health Facilities (1990U)
Nursing Education Loan Repayment Program for Registered Nurses Entering Employment at Eligible Health Facilities (1993U)

Nursing Education Loan Repayment Program (2005)
NURSE Corps Loan Repayment Program (2013)
Nurse Corps Loan Repayment Program (2018)
Nurse Corps Loan Repayment Program (2019)
Nurse Corps Loan Repayment Program (2020)
Nurse Corps Loan Repayment Program (2020)
Nurse Corps Loan Repayment Program (2021)

93.909

Subacute Care Demonstration Grants (1990U)
Archived (1992B)

93.910

Community Coalition Projects to Support Health and Human Services Needs for Minority Males (1990U)
Community Coalition Intervention Demonstration Projects to Support Health and Human Services Needs for Minority Males (1991B)
Community Coalition Demonstration Projects to Support Health and Human Services Needs for Minority Males (1992B)
Family and Community Violence Prevention Program (1995B)
Family and Community Violence Prevention Program (2018)
Family and Community Violence Prevention Program (2020)
Family and Community Violence Prevention Program (2020)
Family and Community Violence Prevention Program (2021)

93.911

Cooperative Agreements for Drug Abuse Campus Treatment Demonstration Projects (1991B)
Archived (1995B)

93.912

Rural Health Services Outreach (1991B)
Rural Outreach_Rural Network Development Program (1997B)
Rural Health Outreach and Rural Network Development Program (2000B)
Rural Health Care Services Outreach and Rural Health Network Development Program (2005)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2010)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2018)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2019)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2019)
To: Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement From: Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2019)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2019)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2020)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care

Provider Quality Improvement (2020)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement (2020)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement (2021)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement (2021)

93.913

Grants to States for Operation of Offices of Rural Health (1991B)
Split into 93.223 (1994U)
Grants to States for Operation of State Offices of Rural Health (2016)
Grants to States for Operation of State Offices of Rural Health (2018)
Grants to States for Operation of State Offices of Rural Health (2019)
Grants to States for Operation of State Offices of Rural Health (2020)
Grants to States for Operation of State Offices of Rural Health (2021)

93.914

HIV Emergency Relief Project Grants (1991B)
Consolidated into 93.915 (1999B)
Reinstated (2000B)
HIV Emergency Relief Project Grants (2018)
HIV Emergency Relief Project Grants (2019)
HIV Emergency Relief Project Grants (2020)
HIV Emergency Relief Project Grants (2021)

93.915

HIV Emergency Relief Formula Grants (1991B)
Consolidated from 93.914 (1999B)
Archived (2000B)

93.916

Nurse Anesthetist Education Programs (1991B)
Consolidated into 93.247 (2001B)

93.917

HIV Care Formula Grants (1991B)
HIV Care Formula Grants (2018)
HIV Care Formula Grants (2019)
HIV Care Formula Grants (2020)
HIV Care Formula Grants (2021)

93.918

Grants to Provide Outpatient Early Prevention Services with Respect to HIV Disease (1991B)
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (2018)
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (2019)
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (2020)
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (2021)

93.919

Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Control Programs (1991B)

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Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (1993B)
 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (2018)
 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (2019)
 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (2020)
 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (2021)

93.920

Facilities Construction Projects to Support Centers for Treatment and Research on Substance Abuse (1991B) Archived (1992B)

93.921

ADAMHA Science Education Partnership Award (1991B)
 NIAAA, NIDA and NIMH Science Education Partnership Awards (1992U)
 Incorporated into 93.922 (1993B)

93.922

NIH Science Education Partnership Award (1991B) Incorporated from 93.921 (1993B)
 NCRR Science Education Award (1994B)
 Consolidated into 93.389 (1996B)

93.923

Disadvantaged Health Professions Faculty Loan Repayments (1991B)
 Disadvantaged Health Professions Faculty Loan Repayment and Fellowship Program (1993B)
 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (2005)
 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (2006)
 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (2016)
 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (2018)
 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (2019)
 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (2020)
 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (2020)
 Disadvantaged Health Professions Faculty Loan Repayment Program (FLRP) (2021)

93.924

HIV/AIDS Dental Reimbursements (1991B)
 Ryan White HIV/AIDS Dental Reimbursements (1996U)
 Ryan White HIV/AIDS Dental Reimbursements/Community Based Dental

Partnership (2005)
 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (2012)
 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (2018)
 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (2019)
 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (2020)
 Ryan White HIV/AIDS Dental Reimbursement and Community Based Dental Partnership Grants (2021)

93.925

Scholarships for Health Professions Students from Disadvantaged Backgrounds (1991B)
 Consolidated from 93.139 (2001B)
 Scholarships for Health Professions Students from Disadvantaged Backgrounds (2018)
 Scholarships for Health Professions Students from Disadvantaged Backgrounds (2019)
 Scholarships for Health Professions Students from Disadvantaged Backgrounds (2020)
 Scholarships for Health Professions Students from Disadvantaged Backgrounds (2021)

93.926

Maternal and Child Health-Targeted Infant Mortality Initiative (1991U)
 Healthy Start Initiative (1992B)
 Healthy Start Initiative (2018)
 Healthy Start Initiative (2019)
 Healthy Start Initiative (2020)
 Healthy Start Initiative (2021)

93.927

Health Services to Residents of Public Housing (1991U)
 Residents of Public Housing Primary Care Program (1992U)
 Health Centers Grants for Residents of Public Housing (1997B)
 Incorporated into 93.224 (2006)

93.928

Special Projects of National Significance (1991U)
 Special Projects of National Significance (2018)
 Special Projects of National Significance (2019)
 Special Projects of National Significance (2020)
 Special Projects of National Significance (2021)

93.929

Center for Medical Rehabilitation Research (1991U)
 Consolidated into 93.865 (2003B)
 Deleted (2003)

93.930

Nursing Education Loan Demonstration (1991U) Archived (1992B)

93.931

Demonstration Grants to States for Community Scholarship (1991U) Archived (2000B)

93.932

Native Hawaiian Health Centers (1991U)
 Native Hawaiian Health Systems (1993B)
 Native Hawaiian Health Care Systems (2013)
 Native Hawaiian Health Care Systems (2018)
 Native Hawaiian Health Care Systems (2019)
 Native Hawaiian Health Care Systems (2020)
 Native Hawaiian Health Care Systems (2021)

93.933

Research and Demonstration Projects for Indian Health (1991U)
 Demonstration Projects for Indian Health (2000B)
 Demonstration Projects for Indian Health (2019)
 Demonstration Projects for Indian Health (2019)
 Demonstration Projects for Indian Health (2020)
 Demonstration Projects for Indian Health (2021)

93.934

Fogarty International Research Collaboration Award (1992B)
 Consolidated into 93.989 (2006)

93.935

Project Grants for Renovation or Construction at Tertiary Perinatal Facilities (1992B) Archived (1993U)

93.936

National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (1992B)
 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (2018)
 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (2019)
 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (2020)
 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (2021)

93.937

Comprehensive Residential Drug Prevention and Treatment Projects for Substance-Using Women and Their Children (1992B) Archived (1999B)

93.938

Cooperative Agreements to Support School Health Education to Prevent the Spread of Acquired Immunodeficiency Syndrome (1992U)
 Split from 93.118 (1992U)
 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (1994B)
 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (2018) Archived (2019)

93.939

HIV Prevention Activities_Non-Governmental Organization Based (1992U)
 Split from 93.118 (1992U)

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HIV Prevention Activities Non-Governmental Organization Based (2018)
HIV Prevention Activities Non-Governmental Organization Based (2019)
HIV Prevention Activities Non-Governmental Organization Based (2019)
HIV Prevention Activities Non-Governmental Organization Based (2020)
HIV Prevention Activities Non-Governmental Organization Based (2020)
HIV Prevention Activities Non-Governmental Organization Based (2021)

93.940

HIV Prevention Activities_Health Department Based (1992U)
Split from 93.118 (1992U)
HIV Prevention Activities Health Department Based (2018)
HIV Prevention Activities Health Department Based (2019)
HIV Prevention Activities Health Department Based (2019)
HIV Prevention Activities Health Department Based (2020)
HIV Prevention Activities Health Department Based (2020)
HIV Prevention Activities Health Department Based (2021)

93.941

HIV Demonstration, Research, Public and Professional Education Projects (1922U)
Split from 93.118 (1992U)
HIV Demonstration, Research, Public and Professional Education Projects (2018)
HIV Demonstration, Research, Public and Professional Education Projects (2019)
HIV Demonstration, Research, Public and Professional Education Projects (2019)
HIV Demonstration, Research, Public and Professional Education Projects (2020)
HIV Demonstration, Research, Public and Professional Education Projects (2020)
HIV Demonstration, Research, Public and Professional Education Projects (2021)

93.942

Research, Treatment and Education Programs on Lyme Disease in the United States (1992B)
Research, Prevention, and Education Programs on Lyme Disease in the United States (2012)
Research, Prevention, and Education Programs on Lyme Disease in the United States (2018)
Research, Prevention, and Education Programs on Lyme Disease in the United States (2019)
Research, Prevention, and Education Programs on Lyme Disease in the United States (2020)
Research, Prevention, and Education Programs on Lyme Disease in the United States (2020)
Research, Prevention, and Education Programs on Lyme Disease in the United States (2021)

93.943

Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected

Population Groups (1992U)
Split from 93.118 (1992U)
Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (2018)
Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (2019)
Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (2020)
Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (2020)
Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (2021)

93.944

Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (1992U)
Split from 93.283 (1992U)
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (2018)
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (2019)
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (2019)
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (2020)
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (2020)
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (2021)

93.945

Assistance Program for Chronic Disease Prevention and Control (1992U)
Split from 93.283 (1992U)
Archived (1994U)
Reinstated (2001B)
Assistance Programs for Chronic Disease Prevention and Control (2006)
Archived (2011)
Reinstated (2011)
Assistance Programs for Chronic Disease Prevention and Control (2018)
Assistance Programs for Chronic Disease Prevention and Control (2019)
Assistance Programs for Chronic Disease Prevention and Control (2019)

Assistance Programs for Chronic Disease Prevention and Control (2020)
Assistance Programs for Chronic Disease Prevention and Control (2020)
Assistance Programs for Chronic Disease Prevention and Control (2020)
Assistance Programs for Chronic Disease Prevention and Control (2021)

93.946

Cooperative Agreements to Support State-Based Pregnancy Risk Assessment Monitoring System (1992U)
Split from 93.283 (1992U)
Cooperative Agreements to Support State-Based Infant Health Initiative Programs (1993B)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2002B)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2018)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2019)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2019)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2020)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2020)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2020)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2021)

93.947

Tuberculosis Demonstration, Research, Public and Professional Education (1992U)
Split from 93.116 (1992U)
Tuberculosis Demonstration, Research, Public and Professional Education (2018)
Tuberculosis Demonstration, Research, Public and Professional Education (2019)
Tuberculosis Demonstration, Research, Public and Professional Education (2019)
Tuberculosis Demonstration, Research, Public and Professional Education (2020)
Tuberculosis Demonstration, Research, Public and Professional Education (2020)
Tuberculosis Demonstration, Research, Public and Professional Education (2021)

93.948

Pilot Clinical Pharmacology Training (1992B)
Archived (2003B)

93.949

HIV/AIDS and Related Diseases Among Substance Abusers: Community-Based Outreach and Intervention Demonstration Program (1992B)
Split from 93.279 (1992B)

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Community-Based Comprehensive HIV/STD/TB Outreach Services for High Risk Substance Abusers Demonstration Program (1996U) Archived (1999B)	(2018) Block Grants for Community Mental Health Services (2019) Block Grants for Community Mental Health Services (2020) Block Grants for Community Mental Health Services (2021) Block Grants for Community Mental Health Services (2021)	Coal Miners Respiratory Impairment Treatment Clinics and Services (2021)
93.950 Capacity Expansion Program (1992B) Archived (1997B)		93.966 The Zika Health Care Services Program (2016) The Zika Health Care Services Program (2018) Archived (2019)
93.951 Demonstration Grants to States with Respect to Alzheimer's Disease (1992U) Archived (2000B)	93.959 Block Grants for Prevention and Treatment of Substance Abuse (1993B) Block Grants for Prevention and Treatment of Substance Abuse (2018) Block Grants for Prevention and Treatment of Substance Abuse (2019) Block Grants for Prevention and Treatment of Substance Abuse (2020) Block Grants for Prevention and Treatment of Substance Abuse (2021)	93.967 CDC's Collaboration with Academia to Strengthen Public Health (2017) CDC's Collaboration with Academia to Strengthen Public Health (2019) CDC's Collaboration with Academia to Strengthen Public Health (2019) CDC's Collaboration with Academia to Strengthen Public Health (2020) CDC's Collaboration with Academia to Strengthen Public Health (2020) CDC's Collaboration with Academia to Strengthen Public Health (2020) CDC's Collaboration with Academia to Strengthen Public Health (2021)
93.952 Improving EMS/Trauma Care in Rural Areas (1992U) Archived (1997B) Reinstated (2001U) Trauma Care Systems Planning and Development (2005) Archived (2010)		93.968 Funding in Support of the Pennsylvania Rural Health Model (2016) Funding in Support of the Pennsylvania Rural Health Model (2018) Funding in Support of the Pennsylvania Rural Health Model (2019) Funding in Support of the Pennsylvania Rural Health Model (2020) Funding in Support of the Pennsylvania Rural Health Model (2021)
93.953 Modification of Trauma Care Component of State EMS Plan (1992U) Archived (1997B) Reinstated (2001U) Trauma Care Systems Planning and Development (2004) Consolidated into 93.952 (2005)	93.960 Special Minority Initiatives (1993B) Consolidated into 93.859 (2003B)	
93.954 Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (1992U) Archived (2013)	93.961 One-Time Funding in Support of the Vermont All-Payer ACO Model (2016) One-Time Funding in Support of the Vermont All-Payer ACO Model (2018) Archived (2019)	
93.955 Health and Safety Programs for Construction Work and Model Construction Safety and Health (1992U) Split from 93.263, 93.962 (1992U) Health and Safety Programs for Construction Work (1995B) Deleted (2005)	93.962 Health Administration Graduate TraineeshipsNumber changed from 13.962 (1990U) Health Administration Traineeships and Special Projects Program (1993B) Health Administration Traineeships Program (2005) Archived (2010)	93.969 Grants for the Training of Health Professions in GeriatricsNumber changed from 13.969 (1990U) Grants for Geriatric Education Centers (1993B) Geriatric Education Centers (2002B) PPHF-2012 Geriatric Education Centers (2012) PPHF Geriatric Education Centers (2014) PPHF Geriatric Education Centers (2018) PPHF Geriatric Education Centers (2019) PPHF Geriatric Education Centers (2020) PPHF Geriatric Education Centers (2020) PPHF Geriatric Education Centers (2021)
93.956 Centers for Agricultural Research, Education and Disease and Injury Prevention and Occupational Respiratory Disease and Musculoskeletal Disorders Evaluation and Rehabilitation (1992U) Split from 93.262, 93.283 (1992U) Agricultural Health and Safety Programs (1996B) Deleted (2005)	93.963 Graduate Programs in Health AdministrationNumber changed from 13.963 (1990U) Archived (1993B)	
93.957 Occupational Health and Surveillance (1992U) Split from 93.262, 93.283 (1992U) Occupational Health and Surveillance_Fatality Assessment and Control Evaluation (1996B) Occupational Health and Surveillance Fatality Assessment and Control Evaluation (1998B) Occupational Health and Safety Surveillance (2004) Deleted (2005)	93.964 Traineeships for Students in Schools of Public Health and Other Graduate Public Health ProgramsNumber changed from 13.964 (1990U) Traineeships for Students in Schools of Public Health (1990U) Public Health Traineeships (1993B) Prevention and Public Health Fund (PPHF) Public Health Traineeships (2012) Archived (2018)	93.970 Health Professions Recruitment Program for IndiansNumber changed from 13.970 (1990U) Health Professions Recruitment Program for Indians (2019) Health Professions Recruitment Program for Indians (2019) Health Professions Recruitment Program for Indians (2020) Health Professions Recruitment Program for Indians (2021)
93.958 Block Grants for Community Mental Health Services (1993B) Block Grants for Community Mental Health Services	93.965 Coal Miners Respiratory Impairment Treatment Clinics and ServicesNumber changed from 13.965 (1990U) Coal Miners Respiratory Impairment Treatment Clinics and Services (2018) Coal Miners Respiratory Impairment Treatment Clinics and Services (2019) Coal Miners Respiratory Impairment Treatment Clinics and Services (2020)	93.971 Health Professions Preparatory Scholarship Program for IndiansNumber changed from 13.971 (1990U) Health Professions Preparatory Scholarship Program for Indians (2019) Health Professions Preparatory Scholarship Program

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for Indians (2019)	Control Grants (2019)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2020)
Health Professions Preparatory Scholarship Program for Indians (2020)	Sexually Transmitted Diseases (STD) Prevention and Control Grants (2020)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2020)
Health Professions Preparatory Scholarship Program for Indians (2021)	Sexually Transmitted Diseases (STD) Prevention and Control Grants (2020)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2020)
93.972	Sexually Transmitted Diseases (STD) Prevention and Control Grants (2020)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2020)
Health Professions Scholarship ProgramNumber changed from 13.972 (1990U)	Sexually Transmitted Diseases (STD) Prevention and Control Grants (2021)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2020)
Health Professions Scholarship Program (2019)	93.978	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2020)
Health Professions Scholarship Program (2019)	Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations and Public Education GrantsNumber changed from 13.978 (1990U)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2021)
Health Professions Scholarship Program (2020)	Archived (2012)	
Health Professions Scholarship Program (2021)	Reinstated (2013)	
93.973	Sexually Transmitted Diseases (STD) Provider Education Grants (2017)	93.982
Special Loans for National Health Service Corps Members to Enter Private PracticeNumber changed from 13.973 (1990U)	Sexually Transmitted Diseases (STD) Provider Education Grants (2018)	Mental Health Disaster Assistance and Emergency Mental HealthNumber changed from 13.982 (1990U)
Archived (1997B)	Sexually Transmitted Diseases (STD) Provider Education Grants (2019)	Mental Health Disaster Assistance and Emergency Mental Health (2018)
93.974	Sexually Transmitted Diseases (STD) Provider Education Grants (2019)	Mental Health Disaster Assistance and Emergency Mental Health (2019)
Family Planning_Service Delivery Improvement Research GrantsNumber changed from 13.974 (1990U)	Sexually Transmitted Diseases (STD) Provider Education Grants (2020)	Mental Health Disaster Assistance and Emergency Mental Health (2020)
Family Planning Service Delivery Improvement Research Grants (2018)	Sexually Transmitted Diseases (STD) Provider Education Grants (2020)	Mental Health Disaster Assistance and Emergency Mental Health (2021)
Family Planning Service Delivery Improvement Research Grants (2020)	Sexually Transmitted Diseases (STD) Provider Education Grants (2021)	
Family Planning Service Delivery Improvement Research Grants (2021)	93.980	93.983
93.975	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (2017)	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (2017)
National All Schedules Prescription Electronic Reporting Grant (2009)	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (2018)	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (2019)
Archived (2015)	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (2019)	Market Transparency Project for Health IT Interoperability Services Cooperative Agreement Program (2020)
93.976	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (2019)	
Primary Care Medicine and Dentistry Clinician Educator Career Development Awards Program (2016)	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (2020)	93.984
Primary Care Medicine and Dentistry Clinician Educator Career Development Awards Program (2018)	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (2020)	Grants for the Establishment of Departments of Family MedicineNumber changed from 13.984 (1990U)
Primary Care Medicine and Dentistry Clinician Educator Career Development Awards Program (2019)	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (2020)	Academic Administrative Units in Primary Care (1999U)
To: Primary Care Medicine and Dentistry Clinician Educator Career Development AwardsFrom: Primary Care Medicine and Dentistry Clinician Educator Career Development Awards Program (2019)	Increasing Public Awareness and Provider Education about Primary Immunodeficiency Disease (2021)	Consolidated into 93.884 (2003B)
Primary Care Medicine and Dentistry Clinician Educator Career Development Awards (2020)	93.981	Deleted (2003)
Primary Care Medicine and Dentistry Clinician Educator Career Development Awards (2021)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2017)	93.985
93.977	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2018)	Assistance for Oral Disease Prevention and Control (2015)
Preventive Health Services_Sexually Transmitted Diseases Control GrantsNumber changed from 13.977 (1990U)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2019)	Archived (2017)
Sexually Transmitted Diseases (STD) Prevention and Control Grants (2017)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2019)	93.986
Sexually Transmitted Diseases (STD) Prevention and Control Grants (2018)	Improving Student Health and Academic Achievement through Nutrition, Physical Activity and the Management of Chronic Conditions in Schools (2019)	Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (2017)
Sexually Transmitted Diseases (STD) Prevention and Control Grants (2019)		Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (2018)
Sexually Transmitted Diseases (STD) Prevention and Control Grants (2020)		Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (2019)

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Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (2020)
 Medicare Access and CHIP Reauthorization Act (MACRA) Funding Opportunity: Measure Development for the Quality Payment Program (2021)

93.987

Health Programs for RefugeesNumber changed from 13.987 (1990U)
 Consolidated into 93.576 (1997B)

93.988

Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance SystemsNumber changed from 13.988 (1990U)
 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (2018)
 Archived (2019)

93.989

Senior International FellowshipsNumber changed from 13.989 (1990U)
 International Research and Research Training (2004)
 International Research and Research Training (2018)
 International Research and Research Training (2019)
 International Research and Research Training (2020)
 International Research and Research Training (2021)

93.990

National Health PromotionNumber changed from 13.990 (1990U)
 National Health Promotion (2018)
 National Health Promotion (2019)
 Archived (2020)
 Reinstated (2021)

93.991

Preventive Health and Health Services Block GrantNumber changed from 13.991 (1990U)
 Preventive Health and Health Services Block Grant (2018)
 Preventive Health and Health Services Block Grant (2019)
 Preventive Health and Health Services Block Grant (2020)
 Preventive Health and Health Services Block Grant (2021)

93.992

Alcohol and Drug Abuse and Mental Health Services Block GrantNumber changed from 13.992 (1990U)
 Archived (1993B)

93.993

Public Health Research Accreditation Project (2004)
 Archived (2011)

93.994

Maternal and Child Health Services Block GrantNumber changed from 13.994 (1990U)
 Maternal and Child Health Services Block Grant to the States (1993B)
 Maternal and Child Health Services Block Grant to

the States (2018)
 Maternal and Child Health Services Block Grant to the States (2019)
 Maternal and Child Health Services Block Grant to the States (2020)
 Maternal and Child Health Services Block Grant to the States (2021)

93.995

Adolescent Family Life_Demonstration ProjectsNumber changed from 13.995 (1990U)
 Archived (2016)

93.996

Bioterrorism Training and Curriculum Development Program (2003B)
 Archived (2010)

93.997

Assisted Outpatient Treatment (2016)
 Assisted Outpatient Treatment (2018)
 Assisted Outpatient Treatment (2019)
 Assisted Outpatient Treatment (2020)
 Assisted Outpatient Treatment (2021)

93.998

Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (2018)
 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (2019)
 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (2020)
 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (2020)
 Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention (2021)

93.999

Test for Suppression Effects of Advanced Energy (2005)

97.074

Law Enforcement Terrorism Prevention Program (LETPP) (2004)

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94.002

Retired Senior Volunteer ProgramNumber changed from 72.002 (1995B)
 Retired and Senior Volunteer Program (1996B)
 Retired and Senior Volunteer Program (2018)
 Retired and Senior Volunteer Program (2019)
 Retired and Senior Volunteer Program (2020)
 Retired and Senior Volunteer Program (2021)

94.003

State Commission (1995B)
 State Commissions (1996B)
 State Commissions (2019)
 State Commissions (2020)
 State Commissions (2021)

94.004

Learn and Serve America_School and Community Based Programs (1995B)

Archived (2012)

94.005

Learn and Serve America_Higher Education (1995B)
 Archived (2012)

94.006

AmeriCorps (1995B)
 AmeriCorps (2019)
 AmeriCorps (2020)
 AmeriCorps (2021)

94.007

Planning and Program Development Grants (1995B)
 Program Development and Innovation Grants (2009)
 Program Development and Innovation Grants (2018)
 Program Development and Innovation Grants (2019)
 Program Development and Innovation Grants (2020)
 Archived (2021)

94.008

Commission Investment Fund (2017)
 Commission Investment Fund (2019)
 Commission Investment Fund (2020)
 Commission Investment Fund (2021)

94.009

Training and Technical Assistance (1995B)
 Program combined into 94.004 (2014)
 Reinstated (2015)
 Training and Technical Assistance (2019)
 Training and Technical Assistance (2020)
 Training and Technical Assistance (2021)

94.010

Literacy CorpsNumber changed from 72.015 (1995B)
 Archived (1997B)

94.011

Foster Grandparent ProgramNumber changed from 72.001 (1995B)
 Foster Grandparent Program (2018)
 Foster Grandparent Program (2019)
 Foster Grandparent Program (2020)
 Foster Grandparent Program (2021)

94.012

September 11th National Day of Service and Remembrance Grants (2016)
 September 11th National Day of Service and Remembrance Grants (2019)
 September 11th National Day of Service and Remembrance Grants (2020)
 September 11th National Day of Service and Remembrance Grants (2020)
 September 11th National Day of Service and Remembrance Grants (2021)

94.013

Volunteers in Service to AmericaNumber changed from 72.003 (1995B)
 Volunteers in Service to America (2018)
 Volunteers in Service to America (2019)
 Volunteers in Service to America (2020)
 Volunteers in Service to America (2021)

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94.014

Martin Luther King Jr Day of Service Grants (2016)
Martin Luther King Jr Day of Service Grants (2019)
Martin Luther King Jr Day of Service Grants (2020)
Martin Luther King Jr Day of Service Grants (2020)
Martin Luther King Jr Day of Service Grants (2021)

94.016

Senior Companion ProgramNumber changed from 72.008 (1995B)
Senior Companion Program (2018)
Senior Companion Program (2019)
Senior Companion Program (2020)
Senior Companion Program (2021)

94.017

Senior Demonstration Program (2009)
Senior Demonstration Program (2018)
Senior Demonstration Program (2019)
Senior Demonstration Program (2020)
Senior Demonstration Program (2021)

94.018

Learn and Serve America Innovative Community-Based Service-Learning Programs (2009)
Archived (2012)

94.019

Social Innovation Fund (2010)
Social Innovation Fund (2018)
Social Innovation Fund (2019)
Social Innovation Fund (2020)
Social Innovation Fund (2021)

94.020

CNCS Disaster Response Cooperative Agreement (2009)
CNCS Disaster Response Cooperative Agreement (2018)
CNCS Disaster Response Cooperative Agreement (2019)
CNCS Disaster Response Cooperative Agreement (2020)
CNCS Disaster Response Cooperative Agreement (2021)

94.021

Volunteer Generation Fund (2010)
Volunteer Generation Fund (2019)
Volunteer Generation Fund (2020)
Volunteer Generation Fund (2021)

94.022

Nonprofit Capacity Building (2009)
Archived (2013)

94.023

AmeriCorps VISTA Training & Logistics Support (2013)
AmeriCorps VISTA Training & Logistics Support (2018)
AmeriCorps VISTA Training & Logistics Support (2019)
Archived (2020)

94.024

Social Innovation Fund Pay for Success (2014)
Social Innovation Fund Pay for Success (2018)
Social Innovation Fund Pay for Success (2019)
Social Innovation Fund Pay for Success (2020)
Archived (2021)

94.025

Operation AmeriCorps (2014)
Operation AmeriCorps (2018)
Operation AmeriCorps (2019)
Operation AmeriCorps (2020)
Archived (2021)

94.026

National Service and Civic Engagement Research Competition (2015)
National Service and Civic Engagement Research Competition (2018)
National Service and Civic Engagement Research Competition (2019)
National Service and Civic Engagement Research Competition (2020)
National Service and Civic Engagement Research Competition (2021)

94.027

AmeriCorps VISTA Recruitment Support (2019)
AmeriCorps VISTA Recruitment Support (2020)
AmeriCorps VISTA Recruitment Support (2021)

EXECUTIVE OFFICE OF THE PRESIDENT

95.001

High Intensity Drug Trafficking Program (1993U)
Archived (1994B)
High Intensity Drug Trafficking Areas Program (2018)
High Intensity Drug Trafficking Areas Program (2019)
High Intensity Drug Trafficking Areas Program (2020)
High Intensity Drug Trafficking Areas Program (2021)

95.002

Crime Prevention_Ounce of Prevention Grants (1996B)
Archived (1996U)

95.003

DFC NATIONAL COMMUNITY ANTIDRUG COALITION INSTITUTE (2018)
DFC NATIONAL COMMUNITY ANTIDRUG COALITION INSTITUTE (2019)
DFC NATIONAL COMMUNITY ANTIDRUG COALITION INSTITUTE (2020)
DFC NATIONAL COMMUNITY ANTIDRUG COALITION INSTITUTE (2021)

95.004

Anti-Doping Activities (2012)
Anti-Doping Activities (2018)
Anti-Doping Activities (2019)
Anti-Doping Activities (2020)
Anti-Doping Activities (2021)

95.005

Drug Court Training and Technical Assistance (2012)
Drug Court Training and Technical Assistance (2018)
Drug Court Training and Technical Assistance (2019)
Drug Court Training and Technical Assistance (2020)
Drug Court Training and Technical Assistance (2021)

95.006

Model State Drug Laws Initiative (2013)
Model State Drug Laws Initiative (2018)
Model State Drug Laws Initiative (2019)
To: Model Acts ProgramFrom: Model State Drug Laws Initiative (2020)
Model Acts Program (2020)
Model Acts Program (2021)

95.007

Research and Data Analysis (2015)
Research and Data Analysis (2018)
Research and Data Analysis (2019)
Research and Data Analysis (2020)
Research and Data Analysis (2021)

95.008

Drug-Free Communities Support Program - National Youth Leadership Initiative (2015)
Drug-Free Communities Support Program - National Youth Leadership Initiative (2018)
Drug-Free Communities Support Program - National Youth Leadership Initiative (2019)
Drug-Free Communities Support Program - National Youth Leadership Initiative (2020)
Drug-Free Communities Support Program - National Youth Leadership Initiative (2021)

95.009

Drug-Free Communities (DFC) Support Program (2019)
Drug-Free Communities (DFC) Support Program (2019)
Archived (2020)

SOCIAL SECURITY ADMINISTRATION

96.001

Social Security_Disability InsuranceNumber changed from 93.802 (1995B)

96.002

Social Security_Retirement InsuranceNumber changed from 93.803 (1995B)

96.003

Social Security_Special Benefits for Persons Aged 72 and OverNumber changed from 93.804 (1995B)
Deleted (2006)

96.004

Social Security_Survivors InsuranceNumber changed from 93.805 (1995B)

96.005

Special Benefits for Disabled Coal MinersNumber changed from 93.806 (1995B)
Deleted (2004)

96.006

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Supplemental Security IncomeNumber changed from 93.807 (1995B)
Supplemental Security Income (2018)

96.007

Social Security_Research and DemonstrationNumber changed from 93.812 (1995B)
Social Security Research and Demonstration (2018)
Social Security Research and Demonstration (2019)
Social Security Research and Demonstration (2020)

96.008

Social Security_Benefits Planning, Assistance, and Outreach Program (2000U)
Social Security - Work Incentives Planning and Assistance Program (2006)
Social Security - Work Incentives Planning and Assistance Program (2018)
Social Security - Work Incentives Planning and Assistance Program (2019)
Social Security - Work Incentives Planning and Assistance Program (2020)

96.009

Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (2002B)
Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (2018)
Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (2019)
Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (2020)

96.020

Special Benefits for Certain World War II Veterans (2000U)
Special Benefits for Certain World War II Veterans (2018)

96.021

SOCIAL SECURITY Economic Recovery Act Payments (2009)
Archived (2017)

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97.001

Special Projects (2003B)
Pilot Demonstration or Earmarked Projects (2005)
Deleted (2008)

97.002

Research Projects (2003B)

97.003

Agriculture Inspection (2003B)

97.004

State Domestic Preparedness Equipment Support Program (2003B)
Transferred from 16.007 (2003B)
Homeland Security Grant Program (2004)
Archived (2010)
Reinstated (2014)
Archived (2014)

97.005

State and Local Domestic Preparedness Training Program (2003B)
Transferred from 16.008 (2003B)
State and Local Homeland Security Training Program (2004)
State and Local Homeland Security National Training Program (2010)
State and Local Homeland Security National Training Program (2018)
State and Local Homeland Security National Training Program (2019)
State and Local Homeland Security National Training Program (2020)
State and Local Homeland Security National Training Program (2021)

97.006

State and Local Domestic Preparedness Exercise Support (2003B)
Transferred from 16.009 (2003B)
State and Local Homeland Security Exercise Support (2004)
Archived (2009)

97.007

State and Local Domestic Preparedness Technical Assistance (2003B)
Transferred from 16.010 (2003B)
Homeland Security Preparedness Technical Assistance (2004)
Homeland Security Preparedness Technical Assistance Program (2006)
Homeland Security Preparedness Technical Assistance Program (2018)
Homeland Security Preparedness Technical Assistance Program (2019)
Homeland Security Preparedness Technical Assistance Program (2020)
Homeland Security Preparedness Technical Assistance Program (2021)

97.008

Urban Areas Security Initiative (2003B)
Transferred from 16.011 (2003B)
Non-Profit Security Program (2010)
Non-Profit Security Program (2018)
Non-Profit Security Program (2019)
Non-Profit Security Program (2020)
Non-Profit Security Program (2021)

97.009

Cuban and Haitian Entrant Resettlement Program (2003B)
Transferred from 16.201 (2003B)
Cuban/Haitian Entrant Program (2004)
Cuban/Haitian Entrant Program (2018)
Cuban/Haitian Entrant Program (2019)
Archived (2020)

97.010

Citizenship Education and Training (2003B)
Transferred from 16.400 (2003B)
Citizenship Education and Training (2018)
Citizenship Education and Training (2019)
Citizenship Education and Training (2020)
Citizenship Education and Training (2021)

97.011

Boating Safety (2003B)
Transferred from 20.001 (2003B)
Archived (2010)

97.012

Boating Safety Financial Assistance (2003B)
Transferred from 20.005 (2003B)
Boating Safety Financial Assistance (2018)
Boating Safety Financial Assistance (2019)
Boating Safety Financial Assistance (2020)

97.013

State Access to the Oil Spill Liability Trust Fund (2003B)
Transferred from 20.006 (2003B)
Archived (2012)

97.014

Bridge Alteration (2003B)
Transferred from 20.007 (2003B)
Archived (2009)

97.015

Secret Service_Training Activities (2003B)
Transferred from 21.100 (2003B)
Archived (2010)

97.016

Reimbursement for Firefighting on Federal Property (2003B)
Transferred from 83.007 (2003B)
Archived (2014)

97.017

Pre-Disaster Mitigation (PDM) Competitive Grants (2004)

97.018

National Fire Academy Training Assistance (2003B)
Transferred from 83.009 (2003B)
National Fire Academy Training Assistance (2018)
National Fire Academy Training Assistance (2019)
National Fire Academy Training Assistance (2020)
National Fire Academy Training Assistance (2021)

97.019

National Fire Academy Educational Program (2003B)
Transferred from 83.010 (2003B)
National Fire Academy Fellowship Program (2010)
National Fire Academy Fellowship (2011)
Archived (2012)

97.020

Hazardous Materials Training Program (2003B)
Transferred from 83.011 (2003B)
Archived (2010)

97.021

Hazardous Materials Assistance Program (2003B)
Transferred from 83.012 (2003B)
Archived (2010)

97.022

Flood Insurance (2003B)

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Transferred from 83.100 (2003B)
Flood Insurance (2018)
Flood Insurance (2019)
Flood Insurance (2020)
Flood Insurance (2021)

97.023

Community Assistance Program_State Support Services Element (CAP-SSSE) (2003B)
Transferred from 83.105 (2003B)
Community Assistance Program State Support Services Element (CAP-SSSE) (2018)
Community Assistance Program State Support Services Element (CAP-SSSE) (2019)
Community Assistance Program State Support Services Element (CAP-SSSE) (2020)
Community Assistance Program State Support Services Element (CAP-SSSE) (2021)

97.024

Emergency Food and Shelter National Board Program (2003B)
Transferred from 83.523 (2003B)
Emergency Food and Shelter National Board Program (2018)
Emergency Food and Shelter National Board Program (2019)
Emergency Food and Shelter National Board Program (2020)
Emergency Food and Shelter National Board Program (2021)

97.025

National Urban Search and Rescue (US&R) Response System (2003B)
Transferred from 83.526 (2003B)
National Urban Search and Rescue (US&R) Response System (2018)
National Urban Search and Rescue (US&R) Response System (2019)
National Urban Search and Rescue (US&R) Response System (2019)
National Urban Search and Rescue (US&R) Response System (2020)
National Urban Search and Rescue (US&R) Response System (2021)

97.026

Emergency Management Institute_Training Assistance (2003B)
Transferred from 83.527 (2003B)
Emergency Management Institute Training Assistance (2013)
Emergency Management Institute Training Assistance (2018)
Emergency Management Institute Training Assistance (2019)
Emergency Management Institute Training Assistance (2020)
Emergency Management Institute Training Assistance (2021)

97.027

Emergency Management Institute (EMI)_Independent Study Program (2003B)
Transferred from 83.529 (2003B)
Emergency Management Institute (EMI) Independent

Study Program (2018)
Emergency Management Institute (EMI) Independent Study Program (2019)
Emergency Management Institute (EMI) Independent Study Program (2020)
Emergency Management Institute (EMI) Independent Study Program (2021)

97.028

Emergency Management Institute (EMI)_Resident Educational Program (2003B)
Transferred from 83.530 (2003B)
Emergency Management Institute (EMI) Resident Educational Program (2018)
Emergency Management Institute (EMI) Resident Educational Program (2019)
Emergency Management Institute (EMI) Resident Educational Program (2020)
Emergency Management Institute (EMI) Resident Educational Program (2021)

97.029

Flood Mitigation Assistance (2003B)
Transferred from 83.536 (2003B)
Flood Mitigation Assistance (2018)
Flood Mitigation Assistance (2019)
Flood Mitigation Assistance (2020)
Flood Mitigation Assistance (2021)

97.030

Community Disaster Loans (2003B)
Transferred from 83.537 (2003B)
Community Disaster Loans (2018)
Community Disaster Loans (2019)
Community Disaster Loans (2020)
Community Disaster Loans (2021)

97.031

Cora Brown Fund (2003B)
Transferred from 83.538 (2003B)
Archived (2017)

97.032

Crisis Counseling (2003B)
Transferred from 83.539 (2003B)
Crisis Counseling (2018)
Crisis Counseling (2019)
Crisis Counseling (2020)
Crisis Counseling (2020)
Crisis Counseling (2021)

97.033

Disaster Legal Services (2003B)
Transferred from 83.540 (2003B)
Disaster Legal Services (2018)
Disaster Legal Services (2019)
Disaster Legal Services (2020)
Disaster Legal Services (2020)
Disaster Legal Services (2021)

97.034

Disaster Unemployment Assistance (2003B)
Transferred from 83.541 (2003B)
Disaster Unemployment Assistance (2018)
Disaster Unemployment Assistance (2019)
Disaster Unemployment Assistance (2020)
Disaster Unemployment Assistance (2021)

97.035

Individual and Family Grants (2003B)
Transferred from 83.543 (2003B)
Deleted (2004)

97.036

Public Assistance Grants (2003B)
Transferred from 83.544 (2003B)
Disaster Grants - Public Assistance (Presidentially Declared Disasters) (2006)
Disaster Grants - Public Assistance (Presidentially Declared Disasters) (2018)
Disaster Grants - Public Assistance (Presidentially Declared Disasters) (2019)
Disaster Grants - Public Assistance (Presidentially Declared Disasters) (2020)
Disaster Grants - Public Assistance (Presidentially Declared Disasters) (2021)

97.037

Disaster Housing Program (2003B)
Transferred from 83.545 (2003B)
Deleted (2004)

97.038

First Responder Counter-Terrorism Training Assistance (2003B)
Transferred from 83.547 (2003B)
Deleted (2005)

97.039

Hazard Mitigation Grant (2003B)
Transferred from 83.548 (2003B)
Hazard Mitigation Grant (2018)
Hazard Mitigation Grant (2019)
Hazard Mitigation Grant (2020)
Hazard Mitigation Grant (2021)

97.040

Chemical Stockpile Emergency Preparedness Program (2003B)
Transferred from 83.549 (2003B)
Chemical Stockpile Emergency Preparedness Program (2018)
Chemical Stockpile Emergency Preparedness Program (2019)
Chemical Stockpile Emergency Preparedness Program (2020)
Chemical Stockpile Emergency Preparedness Program (2021)

97.041

National Dam Safety Program (2003B)
Transferred from 83.550 (2003B)
National Dam Safety Program (2018)
National Dam Safety Program (2019)
National Dam Safety Program (2020)
National Dam Safety Program (2021)

97.042

Emergency Management Performance Grants (2003B)
Transferred from 83.552 (2003B)
Emergency Management Performance Grants (2018)
Emergency Management Performance Grants (2019)
Emergency Management Performance Grants (2020)

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Homeland Security Information Technology and Evaluation Program (2004)
Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (2008)
Archived (2014)

97.067

Homeland Security Grant Program (2004)
Homeland Security Grant Program (2018)
Homeland Security Grant Program (2019)
Homeland Security Grant Program (2020)
Homeland Security Grant Program (2021)

97.068

Competitive Training Grants (2004)
Competitive Training Grant (2010)
Competitive Training Grant (2010)
Archived (2010)
Reinstated (2014)
Archived (2015)

97.069

Aviation Research Grants (2004)
Archived (2014)

97.070

Map Modernization Management Support (2004)
Archived (2011)
Reinstated (2014)
Archived (2015)
Reinstated (2017)
Map Modernization Management Support (2018)
Map Modernization Management Support (2019)
Map Modernization Management Support (2020)

97.071

Metropolitan Medical Response System (2004)
Archived (2012)

97.072

National Explosives Detection Canine Team Program (2004)
Archived (2012)

97.073

State Homeland Security Program (SHSP) (2004)
Archived (2012)
Reinstated (2014)
Archived (2015)

97.074

Archived (2010)

97.075

RAIL AND TRANSIT SECURITY GRANT PROGRAM (2004)
Rail and Transit Security Grant Program (2018)
Rail and Transit Security Grant Program (2019)
Rail and Transit Security Grant Program (2020)
Rail and Transit Security Grant Program (2021)

97.076

National Center for Missing and Exploited Children (NCMEC) (2005)
CyberTipline (2013)

CyberTipline (2018)
CyberTipline (2019)
CyberTipline (2020)
CyberTipline (2021)

97.077

Homeland Security Testing, Evaluation, and Demonstration of Technologies (2005)
Homeland Security Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (2007)
Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (2008)
Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (2013)
Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (2018)
Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (2019)
Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (2019)
Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (2020)
Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (2020)
(2020)

To: Evaluation and Demonstration of Technologies Related to Countering Weapons of Mass Destruction From: Homeland Security Research, Development, Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Threat Detection (2021)
Evaluation and Demonstration of Technologies Related to Countering Weapons of Mass Destruction (2021)

97.078

Buffer Zone Protection Plan (BZPP) (2005)
Buffer Zone Protection Program (BZPP) (2007)
Buffer Zone Protection Program (BZPP) (2018)
Buffer Zone Protection Program (BZPP) (2019)
Archived (2019)

97.079

Public Alert Radios for Schools (2005)
Archived (2010)

97.080

97.080 Information Analysis Infrastructure Protection (IAIP) Pilot Projects (2005)
Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (2009)
Archived (2017)

97.081

Law Enforcement Training and Technical Assistance (2005)
Archived (2010)

97.082

Earthquake Consortium (2005)
Earthquake Consortium (2018)
Earthquake Consortium (2019)
Earthquake Consortium (2020)
Earthquake Consortium (2021)

97.083

Staffing for Adequate Fire and Emergency Response (SAFER) (2005)
Staffing for Adequate Fire and Emergency Response (SAFER) (2018)
Staffing for Adequate Fire and Emergency Response (SAFER) (2019)
Staffing for Adequate Fire and Emergency Response (SAFER) (2020)
Staffing for Adequate Fire and Emergency Response (SAFER) (2021)

97.084

Hurricane Katrina Case Management Initiative Program (2005)
Archived (2012)

97.085

9-11 Heroes Stamp Program (2005)
Archived (2010)

97.086

Homeland Security Outreach, Education, and Technical Assistance (2005)
Archived (2012)

97.087

Alternative Housing Pilot Program (2006)
Archived (2010)
Reinstated (2014)
Archived (2015)

97.088

Disaster Assistance Projects (2005)
Disaster Assistance Projects (2018)
Disaster Assistance Projects (2019)
Disaster Assistance Projects (2020)
Disaster Assistance Projects (2021)

97.089

Real ID Program (2005)
Driver's License Security Grant Program (2010)
Driver's License Security Grant Program (2018)
Driver's License Security Grant Program (2019)
Driver's License Security Grant Program (2020)
Driver's License Security Grant Program (2021)

97.090

Law Enforcement Officer Reimbursement Agreement Program (2006)
Archived (2012)

97.091

Homeland Security Biowatch Program (2006)
Homeland Security Biowatch Program (2018)
Homeland Security Biowatch Program (2019)
Homeland Security Biowatch Program (2020)
Homeland Security Biowatch Program (2020)
(2020)

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Homeland Security Biowatch Program (2021)	Outreach, Technology Transfer, Information Dissemination, and Technical Assistance (2007)	(2009)
Homeland Security Biowatch Program (2021)	Firefighter Health and Safety (2010)	Archived (2018)
97.092	Archived (2012)	97.114
Repetitive Flood Claims (2008)	97.106	Emergency Food and Shelter National Board Program (ARRA) (2009)
Repetitive Flood Claims (2018)	Securing the Cities (2007)	Archived (2017)
Repetitive Flood Claims (2019)	Securing the Cities Program (2013)	
Repetitive Flood Claims (2020)	Securing the Cities Program (2018)	97.115
Repetitive Flood Claims (2021)	Securing the Cities Program (2019)	Assistance to Firefighters Grant (ARRA) (2009)
	Securing the Cities Program (2020)	Archived (2018)
97.093	Securing the Cities Program (2020)	
Fire Service Hazardous Materials Preparedness and Response (2006)	(2020)	97.116
Archived (2012)	Securing the Cities Program (2021)	Port Security Grant Program (ARRA) (2009)
Reinstated (2014)		Archived (2018)
Archived (2015)	97.107	
	National Incident Management System (NIMS) (2007)	97.117
97.094	National Incident Management System (NIMS) (2018)	TSA Airport Checked Baggage Inspection System Program – (ARRA) (2009)
Prevention Advocacy Resources and Data Exchange Program (2006)	National Incident Management System (NIMS) (2019)	Archived (2012)
Archived (2012)	National Incident Management System (NIMS) (2020)	
	National Incident Management System (NIMS) (2021)	97.118
97.095		Advanced Surveillance Program (ASP) (2009)
Safe Kids Worldwide (2006)		Archived (2012)
Archived (2012)	97.108	
	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (2007)	97.119
97.096	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (2018)	2010 Olympics First Responder Training (2009)
Commercial Equipment Direct Assistance Program (CEDAP) (2006)	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (2019)	Archived (2012)
Archived (2010)	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (2020)	
	Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (2021)	97.120
97.097		Border Interoperability Demonstration Project (2010)
Training Resource and Data Exchange (2006)	97.109	Rural Emergency Medical Communications Demonstration Project (2016)
Archived (2012)	Disaster Housing Assistance Grant (2007)	Rural Emergency Medical Communications Demonstration Project (2018)
Reinstated (2014)	Archived (2015)	Rural Emergency Medical Communications Demonstration Project (2019)
Archived (2015)	97.110	Rural Emergency Medical Communications Demonstration Project (2020)
	Severe Loss Repetitive Program (2008)	Rural Emergency Medical Communications Demonstration Project (2020)
97.098	Severe Repetitive Loss Program (2018)	(2020)
Disaster Donations Management Program (2006)	Severe Repetitive Loss Program (2019)	Rural Emergency Medical Communications Demonstration Project (2021)
Archived (2012)	Severe Repetitive Loss Program (2020)	
	97.111	97.121
97.099	Regional Catastrophic Preparedness Grant Program (RCPGP) (2008)	Radiological/Nuclear Detection Pilot Evaluations Program (2010)
Residential Fire Safety & Fire Sprinkler Initiatives (2007)	Archived (2017)	Archived (2014)
Archived (2012)	Reinstated (2019)	Archived (2014)
	Regional Catastrophic Preparedness Grant Program (RCPGP) (2019)	97.122
97.100	Regional Catastrophic Preparedness Grant Program (RCPGP) (2020)	Bio-Preparedness Collaboratory (2010)
Airport Checked Baggage Screening Program (2007)	Regional Catastrophic Preparedness Grant Program (RCPGP) (2020)	Bio-Preparedness Collaboratory (2018)
Archived (2010)	Regional Catastrophic Preparedness Grant Program (RCPGP) (2021)	Bio-Preparedness Collaboratory (2019)
		Bio-Preparedness Collaboratory (2020)
97.101	97.112	Bio-Preparedness Collaboratory (2020)
National Fallen Firefighters Memorial (2011)	Border Infrastructure Improvement Projects (2008)	Archived (2021)
Archived (2017)	Archived (2012)	97.123
	97.113	Multi-State Information Sharing and Analysis Center (2010)
97.103	Rail and Transit Security Grant Program (ARRA)	Multi-State Information Sharing and Analysis Center (2018)
Degrees at a Distance Program (2007)		Multi-State Information Sharing and Analysis Center
Archived (2016)		
97.104		
Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (2007)		
Archived (2019)		
97.105		
Firefighter/Emergency Medical Services (EMS)		

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(2019)
Multi-State Information Sharing and Analysis Center
(2020)
(2020)
Multi-State Information Sharing and Analysis Center
(2020)
To: State, Local, Tribal and Territorial Security
Operations Center/Information Sharing and Analysis
Center/From: Multi-State Information Sharing and
Analysis Center (2021)
State, Local, Tribal and Territorial Security
Operations Center/Information Sharing and Analysis
Center (2021)

97.124

Interoperable Communications and Training Project
(2010)
Archived (2017)

97.125

Virginia Operational Integration Cyber Center of
Excellence (2010)
Archived (2012)
Reinstated (2014)
Archived (2014)

97.126

National Special Security Event (2011)
Archived (2017)

97.127

Upstate New York Cyber Initiative (2011)
Cybersecurity Education and Training Assistance
Program (CETAP) (2011)
Cybersecurity Education and Training Assistance
Program (CETAP) (2018)
Cybersecurity Education and Training Assistance
Program (CETAP) (2019)
Cybersecurity Education and Training Assistance
Program (CETAP) (2020)
Cybersecurity Education and Training Assistance
Program (CETAP) (2020)
(2020)
To: Cybersecurity Education and Training/From:
Cybersecurity Education and Training Assistance
Program (CETAP) (2021)
Cybersecurity Education and Training (2021)

97.128

National Cyber Security Awareness (2018)
National Cyber Security Awareness (2019)
National Cyber Security Awareness (2020)
(2020)
National Cyber Security Awareness (2020)
To: CISA Cyber Security Awareness Campaign/From:
National Cyber Security Awareness (2021)
CISA Cyber Security Awareness Campaign (2021)

97.129

Securing Critical Underground Infrastructure Pilot
Program (2011)
Archived (2017)

97.130

National Nuclear Forensics Expertise Development
Program (2012)
National Nuclear Forensics Expertise Development

Program (2018)
National Nuclear Forensics Expertise Development
Program (2019)
National Nuclear Forensics Expertise Development
Program (2020)
(2020)
National Nuclear Forensics Expertise Development
Program (2020)
National Nuclear Forensics Expertise Development
Program (2021)

97.131

Emergency Management Baseline Assessments Grant
(EMBAG) (2012)
Emergency Management Baseline Assessments Grant
(EMBAG) (2018)
Emergency Management Baseline Assessments Grant
(EMBAG) (2019)
Emergency Management Baseline Assessments Grant
(EMBAG) (2020)
Emergency Management Baseline Assessments Grant
(EMBAG) (2020)
Emergency Management Baseline Assessments Grant
(EMBAG) (2021)

97.132

Financial Assistance for Countering Violent
Extremism (2016)
Financial Assistance for Countering Violent
Extremism (2018)
Financial Assistance for Countering Violent
Extremism (2019)
Financial Assistance for Countering Violent
Extremism (2020)
Financial Assistance for Countering Violent
Extremism (2020)
To: Financial Assistance for Targeted Violence and
Terrorism Prevention/From: Financial Assistance for
Countering Violent Extremism (2020)
Financial Assistance for Targeted Violence and
Terrorism Prevention (2020)
Financial Assistance for Targeted Violence and
Terrorism Prevention (2021)

97.133

Preparing for Emerging Threats and Hazards (2016)
Preparing for Emerging Threats and Hazards (2018)
Preparing for Emerging Threats and Hazards (2019)
Preparing for Emerging Threats and Hazards (2020)
Preparing for Emerging Threats and Hazards (2021)

97.134

Presidential Residence Protection Security Grant
(2017)
Presidential Residence Protection Security Grant
(2018)
(2018)
Presidential Residence Protection Security Grant
(2018)
Presidential Residence Protection Security Grant
(2019)
Presidential Residence Protection Security Grant
(2020)
Presidential Residence Protection Security Grant
(2021)

AGENCY FOR INTERNATIONAL

DEVELOPMENT

98.001

USAID Foreign Assistance for Programs Overseas
(2004)
USAID Foreign Assistance for Programs Overseas
(2019)
USAID Foreign Assistance for Programs Overseas
(2020)

98.002

Cooperative Development Program (CDP) (2004)
Cooperative Development Program (CDP) (2019)
Cooperative Development Program (CDP) (2020)

98.003

Ocean Freight Reimbursement Program (OFR) (2004)
Ocean Freight Reimbursement Program (OFR) (2019)
Ocean Freight Reimbursement Program (OFR) (2020)

98.004

Non-Governmental Organization Strengthening
(NGO) (2004)
Non-Governmental Organization Strengthening
(NGO) (2019)
Non-Governmental Organization Strengthening
(NGO) (2020)

98.005

Institutional Support Assistance (ISA) (2004)
Institutional Capacity Building (ICB) (2005)
Institutional Capacity Building (ICB) (2005)
Institutional Capacity Building (ICB) (2019)
Institutional Capacity Building (ICB) (2020)

98.006

Foreign Assistance to American Schools and
Hospitals Abroad (ASHA) (2004)
Foreign Assistance to American Schools and
Hospitals Abroad (ASHA) (2019)
Foreign Assistance to American Schools and
Hospitals Abroad (ASHA) (2020)

98.007

Food for Peace Development Assistance Program
(DAP) (2004)
Food for Peace Development Assistance Program
(DAP) (2019)
Food for Peace Development Assistance Program
(DAP) (2020)

98.008

USAID Food for Peace Emergency Program (EP)
(2004)
Food for Peace Emergency Program (EP) (2019)
Food for Peace Emergency Program (EP) (2020)

98.009

The John Ogonowski Farmer-to-Farmer Program
(2004)
John Ogonowski Farmer-to-Farmer Program (2019)
John Ogonowski Farmer-to-Farmer Program (2020)

98.010

Denton Program (2004)
Denton Program (2019)
Denton Program (2020)

APPENDIX V: HISTORICAL PROFILE OF PUBLICATION LISTINGS

98.011

Global Development Alliance (2004)

Global Development Alliance (2019)

Global Development Alliance (2020)

98.012

USAID Development Partnerships for University

Cooperation and Development (2005)

USAID Development Partnerships for University

Cooperation and Development (2019)

USAID Development Partnerships for University

Cooperation and Development (2020)